



Draft General Budget of the European Commission for the financial year 2014

**Working Document Part IV
Pilot projects and preparatory actions
(Article 38(3)(c) of the Financial Regulation)**

COM(2013) 450 – June 2013

DB 2014 — Working Document Part IV — Pilot projects and preparatory actions

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**Draft General Budget
of the European Commission
for the Financial Year 2014**

Working Document Part IV

Pilot projects and preparatory actions

(Article 38(3)(c) of the Financial Regulation)

COM(2013) 450 – June 2013

Draft Budget Working Documents

The 2014 Draft Budget is accompanied by ten ‘Working Documents’, as follows:

Part I: Programme Statements of operational expenditure

Working Document I contains Programme Statements, which constitute the main instrument for justifying the operational appropriations requested by the Commission in the Draft Budget. These Statements are coherent with the corresponding legal bases and provide details on the resources which are dedicated to each spending Programme.

Each Statement has the same structure and includes numerical data related to the Programme, EU added value and contribution to the Europe 2020 Strategy (Headline targets, Flagship initiatives) as well as mainstreaming of climate change, general objective(s) accompanied by impact indicators and targets, specific objectives supported by result indicators and targets, and expenditure related outputs, all this classified according to the MFF Headings.

Part II: Commission Human Resources

Working Document II presents information on human resources, both for the establishment plans and for external personnel, across all headings of the multiannual financial framework.

Part III: Bodies set up by the European Union and having legal personality and Public-private partnership

Working Document III presents detailed information relating to all decentralised agencies, executive agencies and Public-Private Partnerships (joint undertakings), with a transparent presentation of revenue, expenditure and staff levels of various Union bodies which actually receive a contribution charged to the EU budget, pursuant to Articles 208 and 209 of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2014 Draft Budget, pursuant to Article 38(3)(c) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2013, information on assigned revenue implementation in 2012, and a progress report on outstanding commitments (RAL) and managing potentially abnormal RAL (PAR) for 2012.

Part VI: Administrative expenditure under Heading 5

This document encompasses administrative expenditure under all budgets to be implemented by the Commission in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO).

Part VII: Commission buildings (Section III)

Working Document VII presents information on buildings under Section III - Commission, both for the Commission and for the bodies set up by the EU and having legal personality, pursuant to Article 203(3) of the Financial Regulation.

Part VIII: Expenditure related to the external actions of the European Union

Working Document VIII presents information on human resources and expenditure related to the external actions of the European Union.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 38(3)(d) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 38(5) of the Financial Regulation.

Report on Pilot Projects and Preparatory Actions
(articles 38(3)(c) and 54(2) of the Financial Regulation)

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Introduction

Pilot Projects and Preparatory Actions – The situation in Draft Budget 2014

This report on pilot projects and preparatory actions provides details of the state of implementation of all those projects and actions which have budget appropriations in the Draft Budget (DB 2014) – commitments and/or payments.

There are no new commitment appropriations, only the payments for which commitments have been made in previous years.

Full details of all the pilot projects and preparatory actions created in the 2013 budget, for which no appropriations are proposed in 2014, were set out in the working document presented to the Budgetary Authority in February 2013.

Financial Regulation Provisions

This report is presented by the Commission to the Budgetary Authority in accordance with the provisions of point (c) of Article 38(3) of the Financial Regulation and covers the pilot projects and preparatory actions referred to in points (a) and (b) of Article 54(2) of the Financial Regulation.

As a general rule, no appropriations may be entered in the budget for any action without the prior adoption of a basic act. However, under article 54(2), the Financial Regulation adds that *“the following may be implemented without a basic act provided the actions which they are intended to finance fall within the competences of the Union:*

- a) *appropriations for pilot projects of an experimental nature designed to test the feasibility of an action and its usefulness. The relevant commitment appropriations may be entered in the budget for not more than two consecutive financial years;*
- b) *appropriations for preparatory actions in the field of application of the TFEU and the Euratom Treaty, designed to prepare proposals with a view to the adoption of future actions. The preparatory actions shall follow a coherent approach and may take various forms. The relevant commitment appropriations may be entered in the budget for not more than three consecutive financial years. The procedure for the adoption of the relevant basic act shall be concluded before the end of the third financial year. In the course of that procedure, the commitment of appropriations shall correspond to the particular features of the preparatory action with regard to the activities envisaged, the aims pursued and the recipients. Consequently, the means implemented shall not correspond in volume to those envisaged for financing the definitive action itself.”*

Expenditure cycle of a Pilot Project or a Preparatory Action

The implementation of a pilot project or a preparatory action has to go through a certain number of steps foreseen by the Financial Regulation. These steps differ according to the procedure to be followed (mainly either procurements or grants). However before arriving to the first step of the financial procedure, which is the financing decision, the Commission has to prepare the way for the best use of the action or project, and the related appropriations entered in the budget.

Thus, the Commission has first to analyse the specific objectives and ways of implementing the proposed action and, on this basis, attribute it to the responsible Directorate General. Sometimes it can take several weeks before a final decision is taken, especially when the action lies on the border line of several policy areas. The authorising officer and the manager responsible then have to elaborate a sufficiently detailed framework, as foreseen by the Financial Regulation, in respect of which the financing decision is finally taken. Only when all these prior phases are completed, can the financial implementation start.

An early dialogue with Parliament could very much improve the financial implementation of these actions. Provisions to this end have been included in the Interinstitutional Agreement adopted on 17 May 2006.

If the success of a pilot project or a preparatory action is to be measured, it is much less on the speediness of the commitments or payments, and rather on the sustainability of the results produced. After a careful and successful initial analysis, the process requires constant monitoring over the lifetime of the PP/PA, and the respect of the procedures.

Application of the Interinstitutional Agreement

According to the provisions of the Interinstitutional Agreement of 17 May 2006, both arms of the budgetary authority are invited to inform the Commission by mid-June of their intentions to create new preparatory actions/pilot projects or to prolong existing ones. This enables the Commission to assess in due time the implementability of the amendments envisaged in this field.

Table 1: Summary table on Pilot projects¹

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013PA	2014CA	2014 PA
02 02 77 11	1a	ENTR	PP2	Cpp	Cpp	Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union	p.m.	600.000	p.m.	286.000	p.m.	286.000
04 03 77 02	1a	EMPL	PP1	PP2	Cpp	Pilot project — Promoting protection of the right to housing	1.000.000	500.000	500.000	650.000	p.m.	600.000
04 03 77 04	1a	EMPL	Cpp	Cpp	Cpp	Pilot project — Measures for employment maintenance	-	450.000	-	200.000	-	65.000
04 03 77 05	1a	EMPL	Cpp	Cpp	Cpp	Pilot project — Enhancing mobility and integration of workers within the Union	-	50.000	-	30.000	-	20.000
04 03 77 06	1a	EMPL	Cpp	Cpp	Cpp	Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment	-	1.000.000	-	800.000	-	350.000
04 03 77 08	1a	EMPL	PP2	Cpp	Cpp	Pilot project — Social solidarity for social integration	1.000.000	1.000.000	p.m.	750.000	p.m.	600.000
04 03 77 12	1a	EMPL	PP1	PP2	Cpp	Pilot project — Health and safety at work of older workers	2.000.000	1.000.000	p.m.	650.000	p.m.	200.000
12 02 77 02	1a	MARKT	PP1	PP2	Cpp	Pilot project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services	1.250.000	1.125.000	1.500.000	1.000.000	p.m.	500.000
12 02 77 04	1a	MARKT		PP1	PP2	Pilot project — The promotion of employee ownership and participation			500.000	250.000	p.m.	250.000
15 03 77 01	1a	EAC	PP2	Cpp	Cpp	Pilot project — Knowledge partnerships	1.000.000	750.000	p.m.	499.900	p.m.	498.600
17 03 77 01	1a	SANCO	Cpp	Cpp	Cpp	Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration	p.m.	300.000	p.m.	p.m.	p.m.	80.000

¹ PP1 – pilot project first year; PP2 – pilot project second year; Cpp – completion pilot project

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013PA	2014CA	2014 PA
32 02 77 01	1a	ENER	PP1	PP2	Cpp	Pilot project — Energy security — Shale Gas	200.000	100.00	-	60.000	-	140.000
Heading 1a – Total							6.450.000	6.875.000	2.500.000	5.175.900	-	3.589.600
13 03 77 08	1b	REGIO	PP2	Cpp	Cpp	Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region	2.000.000	2.000.000	p.m.	600.000	p.m.	1.300.000
Heading 1b – Total							2.000.000	2.000.000	-	600.000	-	1.300.000
05 08 77 01	2	AGRI	PP2	Cpp	Cpp	Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety	p.m.	750.000	p.m.	939.631	p.m.	411.089
05 08 77 08	2	AGRI	PP2	Cpp	Cpp	Pilot project — Exchange programme for young farmers	1.500.000	750.000	p.m.	750.000	p.m.	600.000
07 02 77 09	2	ENV	Cpp	Cpp	Cpp	Pilot project — Certification of low-carbon farming practices	-	400.000	-	370.000	-	95.000
07 02 77 10	2	ENV	Cpp	Cpp	Cpp	Pilot project — Complex research on methods of controlling the spread of ragweed and pollen allergies	-	700.000	-	p.m.	-	150.000
07 02 77 16	2	ENV	PP1	PP2	Cpp	Pilot project — Atmospheric precipitation — Protection and efficient use of fresh water	1.500.000	750.000	p.m.	375.000	-	1.050.000
07 02 77 19	2	ENV	PP1	PP2	Cpp	Pilot project — Marine litter recovery	1.000.000	500.000	p.m.	375.000	-	300.000
07 02 77 20	2	ENV	PP1	PP2	Cpp	Pilot project — Availability, use and sustainability of water for the production of nuclear and fossil energy	500.000	250.000	p.m.	125.00	-	375.000
07 02 77 21	2	ENV	PP1	PP2	Cpp	Pilot project — New knowledge for an integrated management of human activity in the sea	2.000.000	1.000.000	p.m.	500.000	-	600.000
07 02 77 22	2	ENV		PP1	PP2	Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements			2.000.000	1.000.000	p.m.	500.000
07 02 77 23	2	ENV		PP1	PP2	Pilot project — Transversal communication on EU policies related to Environment: Tackling environmental awareness deficit of EU citizens			1.500.000	750.000	p.m.	375.000

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013PA	2014CA	2014 PA
						through audiovisual tools (movies)						
07 02 77 24	2	ENV	PP1	PP2	Cpp	Pilot project — 'Resource efficiency' in practice — Closing mineral cycles	1.000.000	500.000	p.m.	250.000	-	700.000
08 02 77 01	2	RTD	PP1	PP2	Cpp	Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming	500.000	250.000	p.m.	p.m.	p.m.	125.000
11 06 77 02	2	MARE	PP1	PP2	Cpp	Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders	1.500.000	750.000	-	750.000	p.m.	450.000
11 06 77 05	2	MARE		PP1	PP2	Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products			400.000	200.000	p.m.	200.000
17 03 77 03	2	SANCO	PP2	Cpp	Cpp	Pilot project — Fruit and vegetable consumption	1.000.000	1.000.000	-	500.000	-	700.000
17 03 77 04	2	SANCO	PP1	PP2	Cpp	Pilot project — Healthy diet: early years and ageing population	1.000.000	500.000	1.000.000	700.000	-	600.000
17 03 77 05	2	SANCO	PP1	PP2	Cpp	Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes	1.000.000	500.000	-	200.000	-	300.000
17 04 77 01	2	SANCO	PP1	PP2	Cpp	Pilot project — Coordinated European Animal Welfare Network	1.000.000	500.000	-	300.000	-	300.000
Heading 2 – Total							13.500.000	9.100.000	4.900.000	7.959.631	-	7.831.089
02 02 77 13	3	ENTR	PP1	Pp2	Cpp	Pilot project — Development of the European 'Creative Districts'	1.000.000	500.000	p.m.	500.000	p.m.	350.000
02 02 77 14	3	ENTR	PP2	Cpp	Cpp	Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders	2.000.000	1.500.000	-	750.000	p.m.	500.000
09 02 77 02	3	CNECT		PP1	PP2	Pilot project — Implementation of the Media Pluralism Monitoring Tool			500.000	250.000	-	250.000
09 02 77 03	3	CNECT		PP1	PP2	Pilot project — European Centre for Press and Media Freedom			1.000.000	500.000	-	500.000
15 04 77 01	3	EAC	PP1	PP2	Cpp	Pilot project — Economy of cultural diversity	750.000	375.000	250.000	350.000	p.m.	139.264

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013PA	2014CA	2014 PA
15 04 77 04	3	EAC		PP1	PP2	Pilot project — A European Platform for Festivals			1.000.000	500.000	p.m.	200.000
16 02 77 01	3	COMM		PP1	PP2	Pilot project — New narrative on Europe			500.000	250.000	p.m.	250.000
17 03 77 08	3	SANCO		PP1	PP2	Pilot project — European Prevalence Protocol for early detection of Autistic Spectrum Disorders in Europe			1.300.000	650.000	p.m.	390.000
17 03 77 09	3	SANCO		PP1	PP2	Pilot project — Promotion of Self Care Systems in the European Union			1.000.000	500.00	p.m.	300.000
17 03 77 10	3	SANCO		PP1	PP2	Pilot project — Gender specific mechanisms in coronary artery disease in Europe			1.000.000	500.000	p.m.	300.000
18 03 77 05	3	HOME	PP1	PP2	Cpp	Pilot project — Funding for victims of torture	2.000.000	1.000.000	2.000.000	1.000.000	p.m.	1.000.000
18 03 77 07	3	HOME	PP1	PP2	Cpp	Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union	1.000.000	500.000	1.000.000	500.000	p.m.	500.000
33 02 77 04	3	JUST	PP2	Cpp	Cpp	Pilot project — Europe-wide methodology for developing evidence based policies for children's rights	p.m.	943.339	p.m.	p.m.	p.m.	637.000
33 02 77 06	3	JUST		PP1	PP2	Pilot project — Development of indicators to measure the implementation of the European Charter for Equality of Women and Men in Local Life			1.000.000	500.000	p.m.	425.000
33 02 77 07	3	JUST		PP1	PP2	Pilot project — European Union Real Time Sign Language Application and Service			750.000	375.000	p.m.	319.000
33 03 77 02	3	JUST	PP1	PP2	Cpp	Pilot project — European judicial training	1.500.000	750.000	p.m.	750.000	p.m.	492.000
33 03 77 03	3	JUST		PP1	PP2	Pilot project — Information instrument for bi-national couples			1.000.000	500.000	p.m.	425.000
Heading 3 – Total							8.250.000	5.568.339	12.300.000	8.375.000	-	6.977.264
08 02 77 02	4	RTD		PP1	PP2	Pilot project — Recovering critical raw materials through recycling: an opportunity for the EU and African Union			500.000	250.000	-	112.500

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013PA	2014CA	2014 PA
19 02 77 01	4	FPI	Cpp	Cpp	Cpp	Pilot project — Programme for NGO-led peacebuilding activities	p.m.	p.m.	p.m.	p.m.	p.m.	225.000
21 02 77 06	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Finance for agricultural production	p.m.	800.000	p.m.	514.000	-	75.000
21 02 77 12	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)	p.m.	80.000	p.m.	400.000	-	358.452
21 02 77 15	4	DEVCO		PP1	PP2	Pilot project — Strategic investment in sustainable peace and democratisation in the Horn of Africa			1.000.000	500.000	-	150.000
21 03 77 04	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs	p.m.	560.000	p.m.	550.000	-	315.000
21 05 77 01	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat	p.m.	600.000	p.m.	340.000	-	495.000
22 02 77 01	4	ELARG	Cpp	Cpp	Cpp	Pilot project — Preserving and restoring cultural heritage in conflict areas	p.m.	240.000	p.m.	932.000	p.m.	763.960
Heading 4 – Total							-	2.280.000	1.500.000	3.486.000	-	2.494.912
16 03 77 02	5	COMM	PP1	PP2	Cpp	Pilot project — Share Europe Online	1.000.000	500.000	1.000.000	500.000	p.m.	700.000
Heading 5 – Total							1.000.000	500.000	1.000.000	500.000	0	700.000
TOTAL – Pilot projects							31.200.000	26.323.339	22.200.000	26.096.531	-	22.892.865

Table 2: Summary table on Preparatory actions ²

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013 PA	2014 CA	2014 PA
02 02 77 03	1a	ENTR	Cpa	Cpa	Cpa	Preparatory action — Erasmus for Young Entrepreneurs	p.m.	3.930.000	p.m.	1.500.000	p.m.	835.000
02 02 77 08	1a	ENTR	PA1	PA2	PA3	Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products	2.000.000	1.000.000	2.000.000	1.520.000	p.m.	1.250.000
02 02 77 09	1a	ENTR	PA1	PA2	PA3	Preparatory action — Tourism and accessibility for all	1.000.000	500.000	1.000.000	1.000.000	p.m.	690.000
02 02 77 10	1a	ENTR	PA1	PA2	PA3	Preparatory action — Euromed innovation entrepreneurs for change	2.000.000	1.000.000	2.000.000	1.000.000	p.m.	1.000.000
02 06 77 01	1a	ENTR	Cpa	Cpa	Cpa	Preparatory action — GMES operational services	p.m.	2.500.000	p.m.	1.350.000	p.m.	1.058.313
04 03 77 07	1a	EMPL	PA2	PA3	Cpa	Preparatory action — Your first EURES Job	3.250.000	2.125.000	5.000.000	2.250.000	p.m.	3.880.000
04 03 77 09	1a	EMPL		PA1	PA2	Preparatory action — Information centres for posted workers and migrant workers			500.000	250.000	p.m.	100.000
04 03 77 13	1a	EMPL	PA1	PA2	PA3	Preparatory action — Activation measures targeting young people — implementing the 'Youth on the Move' initiative	4.000.000	2.000.000	2.000.000	2.000.000	p.m.	2.000.000
04 03 77 14	1a	EMPL		PA1	PA2	Preparatory action — Social innovation driven by social business and young entrepreneurship			1.000.000	500.000	p.m.	150.000
06 02 77 01	1a	MOVE	PA1	PA2	PA3	Preparatory action — European transport information and booking interface across transport modes	1.500.000	750.000	-	750.000	-	450.000
06 02 77 03	1a	MOVE		PA1	PA2	Preparatory action — Ships fuelled by liquefied natural gas (LNG)			1.000.000	500.000	p.m.	500.000
12 02 77 03	1a	MARKT		PA1	PA2	Preparatory action — Single Market Forum			1.200.000	600.000	p.m.	600.000

² PA1 – preparatory action first year; PA2 – preparatory action second year; PA3 – preparatory action third year; Cpa – completion preparatory action

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013 PA	2014 CA	2014 PA
15 02 77 05	1a	EAC	PA1	PA2	PA3	Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natoлин Campus)	3.500.000	1.750.000	4.000.000	2.600.000	p.m.	700.000
15 02 77 08	1a	EAC	PA1	PA2	PA3	Preparatory action — European partnerships on sport	4.000.000	2.000.000	4.000.000	2.500.000	p.m.	2.492.800
Heading 1a – Total							21.250.000	17.555.000	23.700.000	18.320.000	-	15.706.113
13 03 77 05	1b	REGIO	PA2	PA3	Cpa	Preparatory action — RURBAN — Partnership for sustainable urban-rural development	p.m.	1.000.000	p.m.	p.m.	p.m.	549.014
13 03 77 06	1b	REGIO	PA2	PA3	Cpa	Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	2.000.000	2.000.000	2.000.000	2.000.000	p.m.	2.000.000
13 03 77 07	1b	REGIO	PA2	PA3	Cpa	Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination	1.500.000	1.500.000	1.000.000	900.000	p.m.	1.000.000
13 03 77 09	1b	MARE	PA1	PA2	PA3	Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy	1.200.000	600.000	1.200.000	600.000	-	600.000
13 03 77 10	1b	REGIO	PA1	PA2	PA3	Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status	2.000.000	1.000.000	p.m.	600.000	p.m.	400.000
13 03 77 12	1b	REGIO		PA1	PA2	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region			2.000.000	1.000.000	p.m.	1.000.000
Heading 1b – Total							6.700.000	6.100.000	6.200.000	5.100.000	-	5.549.014
02 03 77 01	2	ENTR	PA1	PA2	PA3	Preparatory action — RECAP: recycling at local scale of internal plastic scrap created by major EU polymer converting regions	1.500.000	750.000	p.m.	375.000	p.m.	373.350
05 08 77 06	2	ESTAT		PA1	PA2	Preparatory action — European farm prices and margins observatory			2.000.000	1.000.000	p.m.	1.000.000

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013 PA	2014 CA	2014 PA
05 08 77 09	2	AGRI		PA1	PA2	Preparatory action — EU plant and animal genetic resources			1.500.000	750.000	p.m.	600.000
07 02 77 04	2	ENV	PA1	PA2	PA3	Preparatory action — Future legal base on harmonised EU forest information	1.000.000	500.000	p.m.	250.000	-	120.000
07 02 77 06	2	ENV	Cpa	Cpa	Cpa	Preparatory action — Climate of the Carpathian basin	-	1.400.000	-	1.200.000	-	700.000
07 02 77 13	2	ENV	PA2	PA3	Cpa	Preparatory action — BEST scheme (voluntary Scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)	2.000.000	2.000.000	2.000.000	2.000.000	-	1.000.000
07 02 77 15	2	ENV	PA2	Pa3	Cpa	Preparatory action — Development of prevention activities to halt desertification in Europe	1.000.000	1.000.000	p.m.	800.000	-	400.000
11 06 77 06	2	MARE		PA1	PA2	Preparatory action — Guardians of the Sea			1.200.000	600.000	p.m.	600.000
17 03 77 06	2	SANCO	PA1	PA2	PA3	Preparatory action — Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage	1.000.000	500.000	-	200.000	-	300.000
17 03 77 11	2	SANCO		PA1	PA2	Preparatory action — Fruit and vegetable consumption			1.000.000	500.000	p.m.	300.000
32 02 77 02	2	ENER	PA1	PA2	PA3	Preparatory action — Cooperation mechanisms implementing the renewable energy sources Directive 2009/28/EC	500.000	250.000	-	150.000	-	350.000
34 02 77 01	2	CLIMA	PA2	PA3	Cpa	Preparatory action — Mainstreaming climate action, adaptation and innovation	5.000.000	3.750.000	3.000.000	3.000.000	-	4.700.000
Heading 2 – Total							12.000.000	10.150.000	10.700.000	10.825.000	-	10.443.350
15 04 77 03	3	EAC	PA1	PA2	PA3	Preparatory action — Culture in external relations	500.000	250.000	200.000	200.000	p.m.	339.973
15 04 77 06	3	EAC	PA1	PA2	PA3	Preparatory action — Circulation of audiovisual works in a digital environment	2.000.000	1.000.000	2.000.000	1.000.000	p.m.	999.100
16 02 77 04	3	COMM		PA1	PA2	Preparatory action — European Civil Society House			250.000	125.000	p.m.	125.000

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013 PA	2014 CA	2014 PA
17 03 77 07	3	SANCO	PA1	PA2	PA3	Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems	1.000.000	500.000	-	200.000	p.m.	300.000
18 03 77 06	3	HOME	PA1	PA2	PA3	Preparatory action — Enable the resettlement of refugees during emergency situations	3.000.000	1.500.000	p.m.	650.000	p.m.	500.000
18 03 77 08	3	HOME		PA1	PA2	Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees			500.000	250.000	p.m.	250.000
Heading 3 – Total							6.500.000	3.250.000	2.950.000	2.425.000	-	2.514.073
07 02 77 02	4	ENV	PA3	Cpa	Cpa	Preparatory action — Environmental monitoring of the Black Sea Basin and a common European framework programme for development of the Black Sea region	p.m.	600.000	-	150.000	-	112.500
07 02 77 03	4	ENV	PA1	PA2	PA3	Preparatory action — Strategic environmental impact assessment on the development of the European Arctic	1.000.000	500.000	p.m.	500.000	p.m.	375.000
21 02 77 01	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Cooperation with middle income group countries in Latin America	p.m.	500.000	p.m.	500.000	p.m.	375.000
21 02 77 02	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Business and scientific exchanges with India	p.m.	2.300.000	p.m.	3.600.000	-	952.768
21 02 77 03	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Business and scientific exchanges with China	p.m.	3.300.000	p.m.	3.700.000	-	815.562
21 02 77 04	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Cooperation with middle income group countries in Asia	p.m.	530.000	p.m.	550.000	-	515.825
21 02 77 05	4	DEVCO	PA3	Cpa	Cpa	Preparatory action — European Union-Asia — Integration of policy and practice	p.m.	200.000	p.m.	300.000	-	281.080
21 02 77 07	4	DEVCO	PA1	PA2	PA3	Preparatory action — Regional African CSO Network for Millennium Development Goal 5	2.000.000	1.000.000	p.m.	500.000	-	375.000
21 02 77 08	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Water management in	p.m.	1.500.000	p.m.	1.500.000	-	1.200.000

Budget line	FF	DG Resp.	2012	2013	2014	Heading	2012 CA	2012 PA	2013 CA	2013 PA	2014 CA	2014 PA
						developing countries						
21 02 77 10	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries	p.m.	1.384.000	p.m.	1.385.000	-	375.000
21 02 77 11	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Research and development on poverty-related, tropical and neglected diseases	p.m.	2.800.000	p.m.	1.270.000	-	300.000
21 02 77 13	4	DEVCO	PA1	PA2	PA3	Preparatory action — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)	2.000.000	1.000.000	1.500.000	1.250.000	-	200.000
21 03 77 03	4	DEVCO	PA1	PA2	PA3	Preparatory action — New Euro-Mediterranean strategy for youth employment promotion	1.500.000	750.000	p.m.	750.000	-	855.000
22 02 77 02	4	ELARG	PA3	Cpa	Cpa	Preparatory action — Preserving and restoring cultural heritage in conflict areas	3.000.000	2.000.000	p.m.	p.m.	p.m.	1.089.670
23 04 77 01	4	ECHO	PA2	PA3	Cpa	Preparatory action — European Voluntary Humanitarian Aid Corps	3.000.000	3.000.000	2.000.000	2.000.000	p.m.	480.000
Heading 4 – Total							12.500.000	21.364.000	3.500.000	17.955.000	-	8.302.405
25 01 77 02	5	BEPA	PA1	PA2	PA3	Preparatory action — Interinstitutional system identifying long-term trends	2.000.000	1.000.000	500.000	1.250.000	p.m.	250.000
26 03 77 01	5	HR /EPSO	PA2	PA3	Cpa	Preparatory action — Erasmus public administration programme	600.000	300.000	600.000	600.000	p.m.	300.000
Heading 5 – Total							2.600.000	1.300.000	1.100.000	1.850.000	-	550.000
TOTAL – Preparatory actions							61.550.000	59.719.000	48.150.000	56.475.000	-	43.064.955

PILOT PROJECTS

Heading 1a - Competitiveness for Growth and Jobs

1. Heading

02 02 77 11 : Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	428 607,00	p.m.	286 000	p.m.	286 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	428 607,00	p.m.	286 000	p.m.	286 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2011 budget.

Objectives followed during the 2011 implementation:

The pilot project follows up on the analysis and recommendations of the previous pilot project ELIOS (“European Liability Insurance Organisation Schemes”) financed under the budget of 2008 and finished in April 2010. The objectives of the pilot project are:

- to provide objective and reliable information on the opportunities and threats of quality/conformity marks and building pathology that could support risk appraisal by (re)insurance;
- to identify possibilities for a greater convergence or mutual recognition of construction insurance regimes in the EU-27 with the view of the Internal Market and the cover of building sustainability performances.

Work carried out during 2012: Collection of information on quality signs for construction products, processes, works, equipment and professional qualifications – State of the art of knowledge in building pathology, in particular for 10 eco-technologies; identification of the criteria to develop an EU-wide database on quality and pathology indicators - Update of the mapping of insurance regimes in the EU-27 made in the previous pilot project; review of different mechanisms that exist to protect investors’ interests – Dissemination activities (1 newsletter, press releases, press articles development of a website) - delivery to the 1st progress report after 6 months

Expected results

- Development of a European register of quality signs (2013-2014),
- Development of an EU-wide database on building pathology (2013-2014)
- Development of personalised information support on construction insurance for cross-border services, (2014)
- Identification of conditions for the convergence of national regulations (2014),
- Proposals for construction insurance scheme models for cross border services and building sustainability performances (2014).

Intentions for the current year 2013:

Continuation of the project and delivery of the 2nd and 3rd interim reports – Critical analysis on the relevance of the information provided by quality signs; appraisal of the use of the quality signs by insurers Specification and development of an EU-wide directory on quality signs;– Needs and criteria to develop an EU-wide database on building pathology indicators; format, informatics requirements; - Information needs about construction insurance; state of the art of insurance schemes in the EU-27 and transition paths;– Dissemination activities.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	03/02/2011
Award decision	18/11/2011
Signature of service contract	20/12/2011
Pre-financing payment	10% in February 2012
	1st progress report after 6 months (July 2012) – 1st interim payment (20%) 2012
Interim payment	2nd progress report after 12 months (January 2013) – 2nd interim payment (10%) 3rd progress report after 18 months (August 2013) – 3rd interim payment (10%)

The payment appropriations requested for 2014 will be used for the following payments:

4th progress report after 24 months (January) – 4th interim payment (10%)

5th progress report after 30 months (August) – 5th interim payment (10%)

Final report after 36 months (December) – final payment (30%)

5.3 Documentation and expected results

- **Is there any documentation setting out the main elements of this pilot project/preparatory action?**

See website of the ELIOS project on <http://www.elios-ec.eu>

- **Do similar activities exist, which are already covered by a legal basis?**

No

- **At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union	Centre d'Etudes d'Assurances (CEA) SA	1.428.690

5.5 Method of implementation (tenders, grants, other) and evaluations

Open call for tender

5.6 Types of beneficiaries

Cf objectives and expected results as defined in section 5.1 above.

1. Heading

04 03 77 02 : Pilot project — Promoting protection of the right to housing

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	500 000	650 000	p.m.	600 000	-100,0 %	-7,7 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	500 000	650 000	p.m.	600 000	-100,0 %	-7,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2012 and 2013 budgets.

This pilot project focuses on the protection of the right to housing seen in a wide context, encompassing prevention, providing support at re-housing for those evicted as well as the impacts on homelessness.

The project will support a Union poverty reduction and active inclusion strategy through combating homelessness, a most serious form of social exclusion as identified by the Europe 2020 Strategy and the Social Investment Package.

In addition, the project contributes to promoting common recognition of fundamental rights in the framework of the wider right to housing assistance, as recognised by Article 34(3) of the Charter of Fundamental Rights of the European Union.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	11 July 2012
Publication of call for tender	June 2013
Closing date of call for tender	August – September 2013
Award decision	November 2013
Pre-financing payment	N/A
Interim payment	Split into two: 20% of the total amount after the submission (by May 2014) of the inception report and 30% of the total amount after the submission of

Step	Date
	the interim report (by February 2015).
Final payment	Remaining 50% by February 2016

Following the adoption of the financing decision in July 2012 (C(2012)4690 of 11th July 2012) a call for tender is planned to be published in 2013 for the total amount of EUR 1.000.000, for signature of the contract by the end of the year.

Under the 2013 budget the budgetary authority voted commitment appropriations for an amount of EUR 500.000 for a second year of the pilot project.

As explained in its executability letter of 24 October 2012 and its first interim report of February 2013, the Commission is still in the implementation phase of the 2012 appropriations for this pilot project. The Commission may not be in a position to use the 2013 appropriations by the end of 2013, as some clarity on the findings of the initial phase is needed before thinking about new developments.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the year 2012, financing Decision C(2012)4690 of 11 July 2012.

The call for tender will be published on DG EMPL website:

<http://ec.europa.eu/social/main.jsp?catId=624&langId=en>

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, it is still premature to conclude on an eventual legislative proposal as the evaluation of the outcome and impact of the project need to be carried out first.

5.4 Type of activities financed, number and amounts

For the year 2012 the pilot project is intended to be implemented by way of a call for tender. A study of national measures to forestall domestic property repossession where this would result in homelessness of the owner or a tenant, analysis of the relation of such policies to the notion of a right to housing and assessment of the scope for a common minimum framework to limit seizure of homes. The measures to be studied may encompass social benefits and allowances specifically for homeowners and tenants and services that may be available to help them.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by way of a call for tender.

5.6 Types of beneficiaries

Not yet known.

1. Heading

04 03 77 04 : Pilot project — Measures for employment maintenance

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	395 663,32	-	200 000	-	65 000	0,0 %	-67,5 %
Reserve							0,0 %	0,0 %
Total	0,00	395 663,32	-	200 000	-	65 000	0,0 %	-67,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this pilot project under the 2010 budget.

Objectives followed during the 2010 implementation:

To implement this project, three studies were undertaken.

Title	Description
Study on "Short-time working arrangements during the crisis and lessons to learn" (T/2010/017)	The main aim of the study is to collect information on the functioning of short-time working arrangements and analyse whether these arrangements have protected jobs in the EU labour market to date and how this protection compares to earlier experiences with similar measures in previous crisis situations. Analysis asked for in this study should be complemented with existing evaluation material. The study should be mainly empirical and based on micro data (firm data or social security data) and compare the behaviour of STWA across different recessions and countries. Control populations should also be studied to assist in forming a view on effectiveness
Study on the apprenticeship supply in Member States (VT/2010/018)	The objective of the study is to provide an overview of apprenticeship-type schemes in VET in the 27 Member States of the EU, with a focus on recent developments including in the context of the crisis. This overview should briefly describe the main features of these schemes (e.g. content, financing, number of participants) and discuss their effectiveness in raising employability and facilitating labour market transitions. The possible effects of the crisis on such schemes (e.g. risk of reduced availability of places) should also be discussed and recommendations should be made whether and how the availability of apprenticeship places could be increased. The European dimension should be included by information about the geographical mobility in apprenticeship-based VET (e.g. opportunities for apprentices to spend part of the learning period in another MS).

Title	Description
Study on a comprehensive overview on traineeship arrangements in Member States (VT/2010/019)	<p>The study will provide a comprehensive overview of the legal frameworks and current practices of traineeships in all EU Member States.</p> <p>To do so the study will present:</p> <ul style="list-style-type: none"> - An overview per Member State of the legislation governing traineeships and of the current practices in each Member State, including whether there are any provisions defining the rights of trainees; - Information about the availability of traineeship places in the public and the private sector, including NGOs and report on the conditions in which these traineeships take place; - Information about public or private programmes or schemes in place in Member States for the funding of traineeships (including financial support for undertaking a traineeship in another Member State); - Information about the use of traineeship schemes as a component of active labour market policies in Member States and eligibility criteria applicable; - A discussion and assessment of the quality aspects of traineeships in Member States.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

The implementation of the project is currently on-going and will be finalised in 2013-2014. The present situation of the three contracts is as follows.

Study	State of play
Study on "Short-time working arrangements during the crisis and lessons to learn" (T/2010/017)	Contract duration 27/05/2011 – 26/05/2012. The inception report has been already sent to the Commission (October 2011). The final report was published in October 2012
Study on the apprenticeship supply in Member States (VT/2010/018)	The contract duration was 15/12/2010 - 14/12/2011. The final report is available.
Study on a comprehensive overview on traineeship arrangements in Member States (VT/2010/019)	Contract duration 15/03/2011 - 14/03/2012. The final report was published at the end of May 2012.

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The terms of reference of the three calls for tender specify the expected results of the three research projects. The final reports are published when available.

The reports of the studies are available on the Europa Website:

<http://ec.europa.eu/social/main.jsp?catId=738&langId=en>

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2010 appropriations

Title / Action	EUR (maximum)
Study on "Short-time working arrangements during the crisis and lessons to learn" (T/2010/017)	150 000
Study on the apprenticeship supply in Member States (VT/2010/017)	184 970
Study on a comprehensive overview on traineeship arrangements in Member States (VT/2010/019)	354 650

5.5 Method of implementation (tenders, grants, other) and evaluations

The methods of implementation are Open Call for tenders and a dissemination conference.

5.6 Types of beneficiaries

Private research institutes:

VT/2010/017: Panteia BV (NL)

VT/2010/018: IKEI (Spain)

VT/2010/019: Institute for Employment studies (UK)

1. Heading

04 03 77 05 : Pilot project — Enhancing mobility and integration of workers within the Union

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	** P	CA	PA	CA	PA	CA	PA
Line	0,00	16 975,00	-	30 000	-	20 000	0,0 %	-33,3 %
Reserve							0,0 %	0,0 %
Total	0,00	16 975,00	-	30 000	-	20 000	0,0 %	-33,3 %

** of which 15 625,00 euro correspond to Final Budget Appropriations

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this pilot project under the 2010 budget.

Objectives followed during the 2010, 2011 and 2012 implementation:

The Commission earmarked an amount of EUR 1 000 000 available for this pilot project, to be implemented through the annual grants for EURES starting in June 2010. Period of eligibility was 1st June 2010-31 May 2011. Additional information on the results of the co-financed activities should be provided after a deeper analysis of the reports provided and the closure of all final accounts.

Activities financed aimed at providing a platform for identifying the labour market issues of EU/ EEA workers living in EU countries and a platform for developing solutions.

The purpose of the project was to:

- develop a greater understanding of the issues facing Migrant workers in EU countries ;
- develop solutions ;
- help Migrant workers living in EU countries with integration.

These Projects intended to develop a network of service providers covering all aspects of the migration process as it affects these workers. Projects had to be based on co-operation between the EURES departments representing the various nationalities in EU countries and varying Government and Non-Governmental Organisation concerned with Migrants.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2010 appropriations

Step	Date
Adoption of financing decision	October 2009
Publication of call for proposals	December 2009
Closing date of call for proposals	April 2010

Step	Date
Award decision	June 2010
Pre-financing payment	2010 and 2011
Interim payment	September 2011
Final payments	2012-2014

Activities started in June 2010 and ended in May 2011. Payments have been made from September 2011 onwards, with final payments to be made in 2013-2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The documentation is contained in the documents submitted for the call for proposals VP 2009/008

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, it is still premature to conclude on an eventual legislative proposal as the evaluation of the outcomes and impacts of the projects should to be carried out. This type of activity is covered by EURES.

5.4 Type of activities financed, number and amounts

The Commission published the call for proposals (VP/2009/008) in December 2009 for a three years partnership with 49 beneficiaries, comprising the EURES network. The call included the above-mentioned activities.

The Commission earmarked EUR 1.000.000 for this pilot project, to be implemented through the annual grants for EURES starting in June 2010. Period of eligibility was 1st June 2010-31 May 2011.

Only a limited number of Public employment Services expressed an interest to implements these activities: CY, BE (VDAB), NL, ML, IRL, NO, SK, PL, UK (and Northern Ireland) and PT.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by way of a call for proposals (VP/2009/008).

5.6 Types of beneficiaries

The following Public employment Services expressed an interest to implements these activities: CY, BE (VDAB), NL, ML, IRL, NO, SK, PL, UK (and Northern Ireland) and PT.

1. Heading

04 03 77 06 : Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	535 057,37	-	800 000	-	350 000	0,0 %	-56,2 %
Reserve							0,0 %	0,0 %
Total	0,00	535 057,37	-	800 000	-	350 000	0,0 %	-56,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this pilot project under the 2010 and 2011 budgets.

This pilot project aimed at increasing the number of employment sectors through which vulnerable sections of the population can be integrated into society and employment (agricultural, industrial, commercial sectors, etc.) and creating networks linking public institutions, commercial firms and not-for-profit enterprises capable of taking an innovative.

The project included also making the business world aware of social responsibilities through support for firms involved in integrating people into the labour market.

This pilot project has been implemented by two calls for proposals: one for 2010 commitment appropriations and one for 2011 commitment appropriations.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2010 appropriations

Step	Date
Adoption of financing decision	25/05/2010
Publication of call for proposals	06/12/2010
Closing date of call for proposals	08/04/2011
Award decision	28/11/2011
Pre-financing payment	30% in December 2011
Interim payments	40 % November and December 2012 2013
Final payment	Remaining 30% in 2013 and 2014.

- 2011 appropriations

Step	Date
Adoption of financing decision	12/07/2011
Publication of call for proposals	26/08/2011
Closing date of call for proposals	15/11/2011
Award decision	26/04/2012
Pre-financing payment	30% paid in June and August 2012
Interim payment	40% to be paid end 2013
Final payment	30% to be paid in 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2010 Call for Proposals: VP/2010/014

The main purpose of this pilot project was to promote new models for cooperation between public institutions, companies and social enterprises, in order to bring about a more effective and coordinated approach, via the creation of specific partnerships.

The maximum available budget was EUR 1.500.000, deadline for submission of the proposals 08/04/2011.

<http://ec.europa.eu/social/main.jsp?catId=631&langId=en&callId=297&furtherCalls=yes>

The 2011 call for proposals: VP/2011/012

The purpose of the call was to set up pilot projects intended to promote innovative models for cooperation and partnership between public institutions and commercial and social enterprises. The aim is to create specific partnerships intended to increase effectiveness and geared towards identifying, measuring and approving good practices which are likely to be reproduced in other EU countries and regions.

The maximum available budget was EUR 1.000.000, deadline for submission of the proposals 15/11/2011.

<http://ec.europa.eu/social/main.jsp?catId=631&langId=en&callId=329&furtherCalls=yes>

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, it is still premature to conclude on an eventual legislative proposal as the evaluation of the outcomes and impacts of the projects should to be carried out.

5.4 Type of activities financed, number and amounts

A total number of 10 projects have been granted by the two calls for proposals.

Six projects were funded by the first call VP/2010/014 for a total amount of 1.498.487,03 EUR.

Four projects were funded by the second call VP/2011/012 for a total amount of 995.674,19 EUR.

Title / Action	Beneficiary / contractor	EUR (maximum)
VS/2011/0478 - Cooperation for Inclusive Affordable Personal Credit	RESEAU FINANCEMENT ALTERNATIF ASBL	268.824,87
VS/2011/0480 Lessons and options for an integrated European Approach to CSR (LOIES)	CENTRO ESTERO PER L INTERNAZIONALIZ ZAZIONE SCPA	239.524,00
VS/2011/0479 COMMunity-shared REsponsibility to promote disadvantaged people's inclusion paths - Acronym: CORE	CONSORZIO SOCIETA DELLA SALUTE COLL INE METALLIFERE	283.516,16

Title / Action	Beneficiary / contractor	EUR (maximum)
VS/2011/0532 WORK in stations (Working On Reinclusion Know-how in European train stations)	ASSOCIATION AGENCE NOUVELLE DES SOLIDARITES ACTIVES	291.500,00
VS/2011/0482 PROXI-MAMED	HANDICAP & LIBERTES ASSOCIATION	185.186,00
VS/2011/0483 Caisse européenne d'investissement en économie sociale	SOCIETE FINANCIERE DE LA NEF SA	229.936,00
VS/2012/0155 COOP – Co-operation for Higher Competitiveness in Local Community	EKONOMSKI INSTITUT MARIBOR EKONOMSK E RAZISKAVE IN PODJETNISTVO DOO	204.930,03
VS/2012/0140 Social Economy Enterprises - A tool to improve social inclusion and economic growth	KOLDING KOMMUNE	318.658,00
VS/2012/0156 GoodWorx ³ - Identifying and Promoting Good Practice in Cross-sectoral Cooperation and Social Impact Assessment for Inclusion, Employment and Development	MUNICIPALITY OF HAIDARI	243.029,92
VS/2012/0158 Commercialisation for social value -To extend the use of social enterprises in the supply chains of private sector organisations	THE GREATER MANCHESTER COMBINED AUTHORITY	229.056,24

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by way of calls for proposals.

5.6 Types of beneficiaries

See section 5.4 above.

1. Heading

04 03 77 08 : Pilot project — Social solidarity for social integration

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	750 000	p.m.	600 000	0,0 %	-20,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	750 000	p.m.	600 000	0,0 %	-20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this pilot project under the 2011 and 2012 budgets.

Objectives followed during the 2011 and 2012 implementation:

This pilot project aims to support and promote the strengthening of an active inclusion strategy in the European Union, ensuring that all people have the resources necessary to lead a life in dignity, to further develop their human capital, and be able to participate actively in the labour market.

The pilot project aims to create a network to facilitate the exchange of good practices between Member States, regional and local administrations, trade unions and associations on national minimum income schemes. The information collected and exchanged should also facilitate the drawing up of a study on possible common measures for a minimum income.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	12/07/2011
Publication of call for call for tender VT/2011/100	21/01/2012
Closing date of call for call for tender	23/03/2012
Award decision	20/12/2012
Pre-financing payment	30/01/2013
Interim payment	30/05/2013 and February 2014
Final payment	31/03/2015

- 2012 appropriations

Step	Date
Adoption of financing decision	11/10/2012
Publication of call for tender	May-June 2013
Closing date of call for tender	August - September 2013
Award decision	November 2013
Pre-financing payment	N/A
Interim payment	Split into two: 20% of the total amount after the submission (by May 2014) of the inception report and 30% of the total amount after the submission of the interim report (by February 2015).
Final payment	Remaining 50% by February 2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the 2011 appropriations: Commission decision C(2011)4963 of 12 July 2011. The Call for Tenders VT/2011/100 published on Europa sets out the objective and the activities to carry out for implementing the first pilot project funded with 2011 appropriations.

<http://ec.europa.eu/social/main.jsp?catId=626&langId=en&callId=337&furtherCalls=yes>

For the 2012 appropriations: Commission decision C(2012) 7044 of 11 October 2012.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, it is still premature to conclude on an eventual legislative proposal as the evaluation of the outcome and impact of the project need to be carried out first.

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Contractor	EUR (maximum)
PILOT PROJECT – SOCIAL SOLIDARITY FOR SOCIAL INTEGRATION	RESEAU EUROPEEN DES ASSOCIATIONS DE LUTTE CONTRE LA PAUVRETE ET L'EXCLUSION SOCIALE AISBL BRUXELLES BELGIQUE	995.950

The subject of the contract is to support, through a pilot project, the constitution of a network for mutual learning and exchange of best practices on minimum income (herein after referred to as the "Minimum Income Network"). Members of the network should be national, regional and local administrations, trade unions and associations, including non-governmental organisations.

For 2012: not known yet

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by way of calls for tenders.

5.6 Types of beneficiaries

For 2011 appropriation: AISBL

For 2012 appropriation: procedure not yet finalised.

1. Heading

04 03 77 12 : Pilot project — Health and safety at work of older workers

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	1 000 000,00	p.m.	650 000	p.m.	200 000	0,0 %	-69,2 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	1 000 000,00	p.m.	650 000	p.m.	200 000	0,0 %	-69,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this pilot project under the 2012 budget.

Objectives followed during the 2012 implementation:

Based on the European Parliament's study on new forms of physical and psychosocial health risks at work (IP/A/EMPL/FWC/2006-205/C1-SC1) and its resolution on the Community strategy 2007-2013 on health and safety at work (OJ C 41 E, 19.2.2009, p. 14), this pilot project should enhance the implementation of the recommendations and the exchange of best practice with the assistance of the European Agency for Safety and Health at Work (OSHA). An assessment should be made as to the appropriateness of a preparatory action with a view to putting in place a Union instrument to reach the objective of ensuring that 75 % of the population aged from 20 to 64 is in employment, as set out in the Europe 2020 Strategy, and of promoting the physical and psychological health of older workers.

An open call for tender was published by OSHA as part of a public procurement process to contract the services to carry out the work.

Intentions for the current year 2013:

- To carry out a state-of-play literature review;
- To request the relevant information to EU-OSHA focal points;
- To take a decision of the tender award;
- To start the review of activities on older workers on Member States;
- To start the review of rehabilitation strategies, programmes and actions;
- To organize a meeting in the European Parliament on the project, in order to provide visibility to the project, to provide some preliminary results and to gather additional input.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

- 2012 appropriations

Step	Date
Adoption of financing decision	11/07/2012
Signature and duration of the delegation agreement with OSHA	10/12/2012, end of agreement 30/11/2015
Publication of call for call for tender (OSHA)	21/11/2012
Closing date of call for call for tender (OSHA)	21/02/2013
Award decision	8 May 2013. The contract is expected to be signed in the 2 nd half of May 2013
Pre-financing payments	2012, 2013, 2014
Final payment	June 2016

5.3 **Documentation and expected results**

- **Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission decision C(2012)4690 of 11 July 2012.

Tender Specifications published by OSHA in OJ No. S224 of 21/11/2012, Tender No. 368249-2012

- **Do similar activities exist, which are already covered by a legal basis?**

No

- **At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

5.4 **Type of activities financed, number and amounts**

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Delegation agreement	European Agency for Safety and Health at Work (OSHA)	2 000 000

The type of activities to be financed are defined in the agreement signed in 2012 laying down the detailed arrangements for the management and control of funds and protection of the financial interests of the Communities concluded with EU-OSHA according to Articles 54 2.b) of the Financial Regulation and 41 of the Implementing Rules.

5.5 **Method of implementation (tenders, grants, other) and evaluations**

A delegation agreement was concluded with the European Agency for Safety and Health at Work (OSHA) for indirect centralised method of implementation.

5.6 **Types of beneficiaries**

These are defined on the basis of the agreed methodology under the agreement.

1. Heading

12 02 77 02 : Pilot Project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 250 000,00	937 500,00	1 500 000	1 000 000	p.m.	500 000	-100,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	1 250 000,00	937 500,00	1 500 000	1 000 000	p.m.	500 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

The aim of the pilot project is, through the support provided by an expertise centre for the benefit of European end-users and non-industry stakeholders, to enhance the latter's capacity to participate in the EU policymaking in the area of financial services. This should also contribute to providing policymakers with other views than those expressed by the financial sector industry and to better information of the wider public about the issues at stake in the regulation of financial markets for consumers, end-users, retail investors and other non-industry stakeholders.

Intentions for the current year 2013:

The Financing Decision was adopted on November 2012 and the call for proposals was published on the same day. The deadline for submitting the proposals was December 2012. Two proposals were received following the call. They have been evaluated in the course of March 2013. The award decision was taken on 8 April 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	16 December 2011
Publication of call for proposals MARKT/2011/175/H	16 December 2011
Closing date of call for proposals	31 January 2012
Award decision	May 2012
Prefinancing payment	June 2012
Interim payment	October 2012
Final payment	May/June 2013

- 2013 appropriations

Step	Date
Adoption of financing decision	16 November 2012
Publication of call for proposals MARKT/2012/119/H	16 November 2012
Closing date of call for proposals	28 December 2012
Award decision	8 April 2013
Pre-financing payment	May 2013
Interim payment	September 2013
Final payment	May/June 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Financing decisions / work programmes: Commission decisions C(2011)9288 of 16 December 2011 and C(2012)8168 of 16 November 2012.

The calls for proposals for 2012 appropriations (MARKT/2011/175/H) and 2013 appropriations (MARKT/2012/119/H) were published on the Europa website.

The expected results to be achieved are a better integration of the interests of end-users and non-industry stakeholders into EU policy in the area of financial services, in particular through the production of expertise (e.g. conduct of research and studies, responses to consultations, participation in conferences, seminars, etc.) in that area, and its sharing both with its members and the larger public (through e.g. issuing of publications, posting of information on internet website, the organisation of conferences or seminars, etc.)

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Yes. Such work should be initiated at the beginning of 2014, following the evaluation of the results of the pilot projects that should take place in the second half of 2013.

5.4 Type of activities financed, number and amounts

2012 appropriations

Beneficiary / contractor	EUR (maximum)
Finance Watch	1 025 000
EuroFinuse	225 000

2013 appropriations

Beneficiary / contractor	EUR (maximum)
Finance Watch	1 213 000
EuroFinuse	287 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Call for proposals for operating grants.

5.6 Types of beneficiaries

Non-governmental, non-profit-making legal persons independent of industry, commercial and business or other conflicting interests, and have as their primary objectives and activities to develop and produce expertise in the area of financial services for the benefit of end-users and non-industry stakeholders in the European Union with the view to ensure that the financial sector is at the service of the real economy and of citizens.

1. Heading

12 02 77 04 : Pilot project — The promotion of employee ownership and participation

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

In March 2012, the European Parliament held a public hearing on employee share ownership. One of the main conclusions was the need to collect accurate data about the different national legislations on employee ownership and participation, with the ambition to set up a "European Centre for Employee Ownership" in each Member State.

In the same vein, the Commission's Action Plan on European company law and corporate governance announced that the Commission will identify and investigate potential obstacles to trans-national employee share ownership schemes, with a view to taking appropriate action encouraging employee share ownership throughout Europe.

Underlying both approaches is the need to collect accurate and relevant data, which could help identify the relevant obstacles that should be addressed. At the same time, the most efficient employee ownership plans and a number of best practices should be identified, as well as the most appropriate methodology of enhancing knowledge of and access to this information.

Due to the specific and technical nature of tasks involved, the project will be implemented through an open call for tender.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	3 April 2013
Publication of call for tender	19 April 2013
Closing date of call for tender	10 June 2013
Award decision	July 2013
Pre-financing payment	-

Step	Date
Interim payment	December 2013
Final payment	September 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decision C(2013)1803 of 3 April 2013.

The call for tender (Contract notice 2013/S 077-128533) was published on 19 April 2013. Information on the call for tender can be found at the following address: <http://ted.europa.eu/udl?uri=TED:NOTICE:128533-2013:TEXT:EN:HTML&src=0>

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the pilot project, such details are not yet known.

The call for tender published on 19 April 2013 foresees the following 2 parts :

1) a mapping and analysis project exercise. It consists in the collection of information on the different national rules on employee ownership and participation together with a qualitative and quantitative analysis on the performance and desirability of employee participation including employee share ownership, with a specific focus on transnational plans and operability;

2) an information sharing strategy project. It consists in the identification of the most appropriate methodology of enhancing knowledge of and access to relevant and complete information on employee participation, including employee share ownership throughout the Union.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by way of a call for tender.

5.6 Types of beneficiaries

The aim of the project is to provide a clear mapping of the current regulatory situation in the Union, identify potential obstacles as regards employee share ownership practices especially in a cross-border context, and propose appropriate action encouraging employee share ownership.

1. Heading

15 03 77 01 : Pilot project — Knowledge partnerships

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	997 196,40	498 598,20	p.m.	499 900	p.m.	498 600	0,0 %	-0,3 %
Reserve							0,0 %	0,0 %
Total	997 196,40	498 598,20	p.m.	499 900	p.m.	498 600	0,0 %	-0,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for 2 years under the 2011 and 2012 budgets.

Objectives followed during the 2011 and 2012 implementation:

The pilot project "Knowledge Partnerships" (or Knowledge Alliances) aims at bringing together higher education institutions and businesses. These partnerships will strengthen the link between education and innovation and will bring value to the higher education institutions, to its staff and its students, and to the companies. They will support the modernisation agenda of higher education in Europe and contribute to increase Europe's innovation capacity.

The proposed pilot project started in 2011 and was continued in 2012. Both calls for proposals met a very wide interest (94 proposals were submitted in 2011 and 103 proposals in 2012). Due to the limited funding available, each year only three "Knowledge Partnerships" projects could be selected for co-funding. They are providing for a structured, coming together of businesses with higher education institutions to develop their innovation capacity, by delivering new curricula, new courses and by developing new, innovative ways of delivering education and supporting learning.

Intentions for the current year 2013:

Intentions for the current year 2013: for the grants committed in 2011, an amount of EUR 499 947 is needed to fulfil the legal obligations linked with the final payments to be done in 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	C(2011)2680 of 20 April 2011
Publication of call for proposals EAC/19/2011	April 2011
Closing date of call for proposals	30/06/2011
Award decision	Dated on 27/10/2011 - Total amount awarded EUR 999.894,71

Step	Date
Prefinancing payment	2011
Interim payment	N/A
Final payment	to be paid after completion of the actions in 2013 and acceptance of the final reports

- 2012 appropriations

Step	Date
Adoption of financing decision	C(2012)1979 of 29 March 2012
Publication of call for proposals EAC/S03/2012	April 2012
Closing date of call for proposals	28/06/2011
Award decision	Dated on 14/11/2012 – Total amount awarded EUR 997.196,40
Pre-financing payment	paid in 2012
Interim payment	N/A
Final payment	to be paid after completion of the actions in 2014

Payment appropriations are requested under the 2014 Draft Budget in order to fulfil the legal obligations linked with the final payments of the 2012 grants to be made in 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For 2011: Commission Decision C(2011)2680 of 20 April 2011

Dedicated page to the call for proposals EAC/19/2011 : http://ec.europa.eu/education/calls/doc2905_en.htm

For 2012: Commission decision C(2012)1979 of 29 March 2012

Dedicated page to the call for proposals EAC/S03/2012 : http://ec.europa.eu/education/calls/s0312_en.htm

Foreseen results are:

Support the set- up of 6 EU Knowledge Partnerships involving higher education institutions in partnership with business and industries to foster their innovation capacity, by delivering new learning and teaching methods, new multi-disciplinary curricula and nurturing fostering entrepreneurial attitudes.

- Do similar activities exist, which are already covered by a legal basis?

Knowledge partnerships will complement existing University-Business co-operation activities financed under Erasmus (Lifelong Learning Programme). The specificity and added value of the Knowledge Partnerships stems from its focus on unleashing the innovative potential of higher education institutions and companies, the quality and combined strength of the university-business partnership, the need to demonstrate the engagement of all partners involved, including businesses and, finally, by supporting a comprehensive set of activities, designed and developed jointly by the partnership.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

These pilot projects will help preparing the roll-out in 2014 of the Knowledge Alliances, foreseen as a specific action line in the Commission's proposal of the Erasmus for All programme (COM(2011)788).

5.4 Type of activities financed, number and amounts

- 2011 appropriations

Title / Action	Beneficiary	EUR (maximum)
EAC-2011-0444 KNOWLEDGE ALLIANCE	COOPERATIVA DE FORMACAO EANIMACAO CULTURAL COFAC CRL	359.950
EAC-2011-0446 EUROPEAN UNIVERSITY ENTREPRISE NETWORK	COVENTRY UNIVERSITY	321.387
EAC-2011-0445 A KNOWLEDGE PARTNERSHIP	UNIVERSITY OF PATRAS	318.556

- 2012 appropriations

Title / Action	Beneficiary	EUR (maximum)
EAC-2012-0600 PP EURL3A FOR THE DEVELOPMENT OF KNOWLEDGE ALLIANCE	STICHTING HOGESCHOOL ZUYD	343.458
EAC-2012-0536 PP EDUCCKATE FOR THE DEVELOPMENT OF KNOWLEDGE ALLIANCE	UNIVERSITY COLLEGE LONDON	360.756
EAC-2012-0534 PP E-NSPIRATION FOR THE DEVELOPMENT OF KNOWLEDGE ALLIANCES	MONTAN UNIVERSITAT LEOBEN	292.981

5.5 Method of implementation (tenders, grants, other) and evaluations

Two calls for proposals were launched (one in 2011 and one in 2012) in order to support through an action grant the setting up of 6 partnerships (3 per year) between EU higher education institutions and business and industries. The duration of the actions implemented under the Pilot Project covers a period of a maximum of 18 months. The actions implemented by beneficiaries will be reimbursed on the basis of real costs incurred for the activities for which no lump sums and flat-rate financing are available on the basis of a maximum EU co-financing rate up to 75% of the total eligible costs.

5.6 Types of beneficiaries

EU higher education, research and training institutions, public and private companies, including SMEs, intermediary organizations (e.g. Chambers of commerce).

1. Heading

17 03 77 01 : Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	150 000,00	p.m.	p.m.	p.m.	80 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	150 000,00	p.m.	p.m.	p.m.	80 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2008 and 2009 budgets.

The objective of the project was to fund initiatives which help dealing with the new employment situation in the health sector particularly in relation to professional qualifications and duties carried out by healthcare workers, healthcare-assistants and lower skilled nurses and which help examine the effects of cross-border mobility both in the host country and in the country of origin.

In 2013, no commitment and payment appropriations were asked under this pilot project. However, for 2014 an amount of 80,000 EUR is needed for final payment.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

For the year 2008:

Commission Decision 2008/773/CE concerning the financial contribution by the Community, for the year 2008, towards a pilot project in the field of health professionals was adopted on 2 October 2008. The Decision foresees the implementation of 4 actions:

- A study on 'Healthcare services, sectors and products in Europe: Assessment of the current state, opportunities, challenges and socio-economic impact' to be launched by DG Enterprise in cooperation with DG Health and Consumers. The action was to be implemented via an open call for tender. Contribution by DG Health and Consumers: EUR 100 000.
- A series of workshops on human resources in health and social care to be organised by the Observatory on Health Systems and Policies. The action was to be implemented via a direct grant agreement with a contribution (max 80%) by the Community of EUR 400 000.
- A study by OECD assessing the working conditions of nurses and policies to promote a more efficient management of the nurse workforce. The action was to be implemented via a direct grant agreement with a contribution (max. 60%) by the Community of EUR 300 000.

- A conference in Brussels for a maximum of EUR 200 000 in order to launch the Green Paper on the European Workforce for Health , to foster the debate and encourage contributions aiming at identifying best practices.

For the year 2009:

Commission Decision 2009/6096/EC concerning the financial contribution by the Community, for the year 2009, towards a pilot project in the field of health professionals was adopted on 6 August 2009. This Decision foresees the implementation of 3 actions:

- A pilot network of nurse educators exchanging best practices to improve the qualifications of healthcare workers, healthcare assistants and lower-skilled nurses with a particular emphasis on cross-border mobility. The action is to be implemented via an open call for proposals with a contribution (max 80%) by the Community of EUR 400 000.
- A series of policy dialogues to be organised by the European Observatory on Health Systems and Policies focusing on the outcome of the public consultation on the Green Paper on the European Workforce for Health. The action is to be implemented via a direct grant agreement with a contribution (max 80%) by the Community of EUR 400 000.
- A study by OECD assessing the long-term care (LTC) workforce: improving data and analysing policies to address the growing demand for care. This study assessing the LTC workforce would build on earlier work of OECD assessing the working conditions of nurses and policies to promote a more efficient management of the nurse workforce in OECD countries. The action is to be implemented via a direct grant agreement with a contribution (max. 60%) by the Community of EUR 200 000.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The activities funded under the pilot project have now been completed or will be completed soon. In addition to the financing decisions, section 5.4 below details the deliverables achieved through the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Actions financed with the 2008 appropriations:

- An open call for tender for the study.
- Direct grant agreements with the OECD and the European Observatory for Health Systems and Health Policies.
- Conference to be organised by the Common Conference and Interpretation Service of the European Commission.

Actions financed under the 2009 appropriations:

- A call for proposals for the pilot network of nurse educators.
- Direct grant agreements with the OECD and the European Observatory for Health Systems and Health Policies.

Progress and results achieved under the actions financed by the pilot project:

2008:

The Commission organised a big stakeholder conference for the launch of the Green Paper on the European Workforce for Health on the 10 of December 2008 attended by more than 100 participants. The conference included three workshops on the following subjects: General capacity and availability of the workforce (regional disparities and migration); maximising the effectiveness of the workforce (training and skill mix); Evidence of effective HR practices –can they be repeated. Feedback from the delegates was positive and the work on the topic of the health workforce was welcomed. The public consultation that was launched at that occasion generated nearly 200 responses. It produced not only proposals for soft action at the EU level but also many diverse opinions on the state of the EU workforce for health that will inform a future initiative by the Commission on health workforce.

2009:

The European Observatory on Health Systems and Policies organised a series of 3 policy dialogues on human resources in health and social care. The topics addressed ranged from migration, workforce planning, the changing roles of nurses and social care workers to coordination of care issues and the role of new technologies in the health and social care sector. The dialogues created a better understanding of the new employment situation in the health and social care sector and the challenges that Member States face in that respect. They were help supporting policy-makers, stakeholders and experts at both, national, regional and European level in identifying good practices for increasing and managing the supply of health and social care professionals, improving their skills and capacities to work in increasingly complex situations, involving different professionals, settings and technologies.

2010:

The study 'Healthcare services, sectors and products in Europe: Assessment of the current state, opportunities, challenges and socio-economic impact' submitted in February by ALCIMED provided a quantitative and qualitative analysis of the healthcare sectors' current and future contribution to European economic growth and employment, focusing on the 27 Member States. Furthermore, it defined political objectives for the European Commission and the Member States to address the healthcare challenges Europe is facing and to take a step further towards increasing healthcare's economic contribution.

The study highlighted the necessity to make work in healthcare sector attractive to young people and those in later life who wish either to return to a career in healthcare or wish to have a career change. This should contribute to addressing shortages in the health workforce and poor retention of trained health professionals. The conclusions of the study are in line with the outcome of the open consultation that the Commission carried out in 2009.

In April 2010, the European Observatory on Health Systems and Policies organised three Policy Dialogues on health workforce issues in the European Union and beyond. The meetings took place in Leuven, Belgium, from 26 to 30 April 2010 and aimed at sharing evidence and stimulating discussion among EU Member States, candidate and EFTA countries, academia and stakeholder groups. Discussions centred around several topics ranging from workforce forecasting and life-long learning to working conditions and feed-back mechanisms to health professionals. Subsequent events, such as the Ministerial Conference on the health workforce held on 9 and 10 September 2010 under Belgian Presidency and the European Health Forum Gastein held from 6 to 9 October 2010 were used to disseminate the results.

The project Assessing the working conditions of nurses and policies to promote a more efficient management of the nurse workforce in OECD countries was designed to describe and compare the working conditions of nurses in OECD countries, with a particular focus on the changing roles and skill mix of nurses. The project also aimed to review certain policies to improve the supply and the working conditions of nurses, with a view to promote a more efficient management of the nurse workforce.

The final report from the project delivered on 30 November comprises two complementary reports:

- A report entitled “Nurses in advanced roles: A description and evaluation of experiences in 12 developed countries”, which has been released as an OECD Working Paper No. 54 in the summer of 2010, in both English and French.
- A report on “Trends in the nurse workforce in OECD countries”, which describes trends in the nurse workforce and also draws some policy options for countries to meet the growing demand for nurses in the coming years.

2011:

On 31 of May, the European Observatory on Health Systems and Policies organised a seminar on "Developing a Community approach to addressing health workforce and professional mobility challenges". This seminar was hosted by the European Parliament and presented the results of the pilot projects.

In June, the OECD delivered the report assessing the long-term care workforce as part of the study "Help Wanted? Providing and Paying for Long-Term Care".

2013:

The pilot network of nurse educators is to deliver its final results.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by way of grants (calls for proposals and direct grants to international organisations or specialised bodies), procurement and organisation of events (conference).

5.6 Types of beneficiaries

Public organisations (WHO and OECD), private companies.

1. Heading

32 02 77 01 : Pilot project — Energy security — Shale gas

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	200 000,00	0,00	-	60 000	-	140 000	0,0 %	133,3 %
Reserve							0,0 %	0,0 %
Total	200 000,00	0,00	-	60 000	-	140 000	0,0 %	133,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

At the end of 2012 a global commitment for 2013 was done.

In 2012 the call for proposals with a view to obtaining grants for organising dialogues with citizens and launching information campaigns on shale gas (DG ENER/C2/SUB/492-2012) was published. Received proposals were evaluated and the evaluation results approved.

Intentions for the current year 2013:

In the beginning of 2013 the award decision was adopted for three projects with public authorities of Lithuania, Poland and Romania.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	June 2012
Publication of call for proposals	August 2012
Closing date of call for proposals	October 2012
Award decision	January 2013
Pre-financing payment	April-May 2013
Interim payment	N/A
Final payment	January 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decision C(2012) 4199 of 26 June 2012.

The call for proposals ENER/C2/SUB/492-2012 is available on the Europa website: http://ec.europa.eu/energy/gas_electricity/grants/20120807_citizens_gas_dialogue_en.htm

- Do similar activities exist, which are already covered by a legal basis?

Stakeholder dialogues and public consultations are organised by the Commission on a regular basis, but organising consultations jointly with Member States on a specific topic affecting a certain region has a pilot character.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Title / Action	Beneficiary / contractor	EUR (maximum)
Public hearings in regions of 3 Member States with current or concretely planned shale gas exploration projects preceded by online consultations.	Public authorities of Lithuania, Poland and Romania	133.631

5.5 Method of implementation (tenders, grants, other) and evaluations

Call for proposals addressed to Member States.

5.6 Types of beneficiaries

Member States with current or concretely planned shale gas exploration projects.

Heading 1b - Economic, Social and Territorial Cohesion

1. Heading

13 03 77 08 : Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	920 000,00	p.m.	600 000	p.m.	1 300 000	0,0 %	116,7 %
Reserve							0,0 %	0,0 %
Total	0,00	920 000,00	p.m.	600 000	p.m.	1 300 000	0,0 %	116,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2011 and 2012 budgets. It was followed under the 2013 budget by a preparatory action (item 13 03 77 12).

Objectives followed during the 2011 and 2012 implementation:

The resources allocated to this pilot project facilitate a governance system for the EU Strategy for the Danube Region which is stable, effective, inclusive and innovative.

The pilot project has been implemented jointly through grants to the Priority Area Coordinators, which hold a de facto monopoly position in the Strategy work. The Priority Area Coordinators are national or regional public bodies. Their appointment is based on an agreement by all countries involved in the Strategy.

The budget was used to ensure a stable implementation of all Priority Areas, including sufficient staffing of Priority Area Coordinators, coordination meetings and stakeholder seminars.

Furthermore, the pilot project supports the implementation of specific projects that demonstrate the added value of the Strategy. These projects to provide innovative solutions to overall societal challenges and are based on an integrated approach and latest research, e.g. the development of a prototype of new ships or the establishment of a Danube Region Research and Innovation Fund).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	20/06/2011
Publication of call for proposals / call for tender	N/A direct grants

Step	Date
Closing date of call for proposals / call for tender	N/A direct grants
Award decision	20/06/2011
Pre-financing payment	1st quarter 2012
Interim payment	Not yet done – expected in 2013
Final payment	Not yet done – expected in 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	02/08/2012
Publication of call for proposals / call for tender	N/A direct grants
Closing date of call for proposals / call for tender	N/A direct grants
Award decision	12/12/2012
Pre-financing payment	Est. in April 2013 – carry over
Interim payment	Not yet done – expected in 2013
Final payment	Not yet done – expected in 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The main elements are laid out in the financing decisions of 2011 and 2012.

The Commission Decision C(2011)4149 of 20 June 2011 sets out the main elements of the implementation of this pilot project for the year 2011.

The Commission Decision (2012)5382 of 2 August 2012 sets out the main elements of the implementation of this pilot project for the year 2012.

Priority Area Coordinators report on an annual basis on the implementation of the governance system and the flagship projects.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

This pilot project is followed by the preparatory action 13 03 77 12 (ex 13 03 35) which is in the first implementation year in 2013. Macro-regional and sea basin strategies is an element foreseen in the Proposal for a “Regulation of the European Parliament and of the Council laying down common provisions on the ERDF, the ESF, the CF, the EARDF and the EMFF covered by the Common Strategic Framework and laying down general provisions on the ERDF, the ESF and the CF and repealing Council Regulation (EC) No 1083/2006” (COM(2011) 615 final/2).

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Encourage a bottom up approach to implementation, involvement of regional and international experts and the implementation of flagship projects	Priority Area Coordinators of the EU Strategy for the Danube Region	2.000.000

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Assistance to Priority Area Coordinators	Priority Area Coordinators of the EU Strategy for the Danube Region	400.000
Danube Investment Facility	Priority Area Coordinator	1.000.000
State of the Region Report	Priority Area Coordinator	500.000
Support to ICPDR	Priority Area Coordinator	100.000

5.5 Method of implementation (tenders, grants, other) and evaluations

The assistance is implemented through grants to the Priority Area Coordinators (PAC), which hold a *de facto* monopoly position in accordance with article 190 of the Rules of application to the financial Regulation (article 168 of the Implementing Rules for the Financial Regulation in force until 01/01/2013).

5.6 Types of beneficiaries

The assistance will be implemented jointly through grants to the Priority Area Coordinators (PAC), which hold a *de facto* monopoly position in the Strategy work. The PACs are national or regional public bodies.

Heading 2 - Sustainable Growth: Natural Resources

1. Heading

05 08 77 01 : Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	C	P	CA	PA	CA	PA	CA	PA
Line	0,00	264 270,96	p.m.	939 631	p.m.	411 089	0,0 %	-56,2 %
Reserve							0,0 %	0,0 %
Total	0,00	264 270,96	p.m.	939 631	p.m.	411 089	0,0 %	-56,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2011 budget.

Objectives followed during the 2011 and 2012 implementation:

The appropriations are allocated to the implementation of the pilot project "Assessing end user' costs of compliance with EU legislation in the fields of environment, animal welfare and food safety". Following an open call for tender, the contract was signed in December 2011. The duration of the work is 24 months.

- Confirm selection of sectors and countries to be included in the scope of the study.
- Put in place networks of experts for the sectors and countries selected.
- Identify relevant legislation to be taken into account in the study.

Intentions for the current year 2013:

- Carry out the costing exercise based on information gathered in earlier stages.
- Identify costs of compliance as a percentage of production costs for the typical farm in the sectors and countries chosen for inclusion in the study.
- Undertake validation by experts in DGs AGRI, ENV and SANCO.
- Prepare final report.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	April 2011
Publication of call for tender	12 July 2011
Closing date of call for tender	December 2011
Award decision	6/12/2011

Step	Date
Pre-financing payment	N/A
Interim payment	August 2012, January 2013, summer and end of 2013
Final payment	Spring 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Call for tender was published in July 2011. The tender specifications can be consulted on the Europa website (http://ec.europa.eu/dgs/agriculture/tenderdocs/2011/216721/specs_en.pdf).

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The current contract for the implementation of the Pilot Project was signed in December 2011. It appears premature to envisage any further step – including possible legislative proposal – at this stage.

5.4 Type of activities financed, number and amounts

Implementation of a study.

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project - Assessing end-user costs of compliance with EU legislation in the fields of environment, animal welfare and food safety	Centro Ricerche Produzioni Animali (C.R.P.A.), Reggio Emilia, Italy	EUR 1 468 172

5.5 Method of implementation (tenders, grants, other) and evaluations

An open call for tender was organised in 2011.

5.6 Types of beneficiaries

The study is conducted by the successful tenderer of the open call for tender: Centro Ricerche Produzioni Animali (C.R.P.A.), Reggio Emilia, Italy.

1. Heading

05 08 77 08 : Pilot project — Exchange programme for young farmers

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	C	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	750 000	p.m.	600 000	0,0 %	-20,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	750 000	p.m.	600 000	0,0 %	-20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2011 and 2012 budget.

Objectives followed during the 2011 and 2012 implementation:

This Pilot Project, which will take the form of a study, is intended to facilitate the establishment of exchange programmes for young farmers, which would allow for exchanges of best practices in farm management, in particular in relation to the challenges facing European agriculture.

An open call for tenders was launched in 2012, in response to which one offer was received. Since this offer was not acceptable on the basis of the award criteria, the procedure for this project has been closed with a non-award. A negotiated procedure started. The 2012 commitment appropriations (EUR 1.500.000) were carried over to 2013.

Intentions for the current year 2013:

The same general objectives are now intended for 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Following the Commission financing decision foreseeing the signature of the contract in 2012 a global commitment on the budget of 2011 was made. The related open call for tenders was launched and, given the characteristics and scope of the project, a substantially longer period was given to potential tenderers for submitting their offers. Since on the basis of the award criteria no acceptable offer was received, the procedure for this project has been closed with a non-award leading to the unfortunate lapse of the 2011 commitment.

Step	Date
Adoption of financing decision	16 November 2011 C(2011)8337
Publication of call for tender	27/07/2012
Closing date of call for tender	05/10/2012
Award decision	Open call for tender procedure closed unsuccessfully on 23/02/2013

- 2012 appropriations (carried over to 2013)

In order to carry out the pilot project, the Commission decided to carry over the 2012 commitment appropriations (EUR 1.500.000) to 2013. A negotiated procedure has been initiated and it is expected to sign the commitment in summer 2013.

Step	Date
Adoption of financing decision	29 January 2013 (C(2013)370)
Negotiated procedure	Following the unsuccessful closing of the call for tender, a negotiated procedure has been initiated.
Award decision	Expected summer 2013
Pre-financing payment	N/A
Interim payment	2013 and 2014
Final payment	2015

The final payment is foreseen to be made in 2015. For 2014, a maximum of two interim payments of 20% of the total contract amount will be possible. Considering the maximum amount of the contract (EUR 1,5 million), an amount of EUR 600 000 is needed to cover the payment needs for 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Documentation on the call for tender can be found on the following link:

http://ec.europa.eu/agriculture/calls-for-tender/2012-237995_en.htm

- Do similar activities exist, which are already covered by a legal basis?

The Commission carried out a preparatory action (final phase in 2012) on Erasmus for Young Entrepreneurs in which young entrepreneurs with links to the agricultural sector can participate. This Preparatory Action was a success and received excellent feedback from participants. A permanent programme exists now based on Commission Decision C(2011) 9230 of 15 December 2011, establishing the 2012 annual work programme for the Entrepreneurship and Innovation Programme for 2012, pursuant to European Parliament and Council Decision No 1639/2006/EC establishing a Competitiveness and Innovation Framework Programme — CIP (2007-2013).

Furthermore, in the strategic framework for education and training, the European Commission offers possibilities for farmers under the Leonardo Da Vinci programme. Farmers' organisations can apply for training programmes abroad aiming at professional and personal development, especially under the target group "People in the labour market" (no age limit for participants). In this case, two different organisations in different countries can apply for support for training sessions on farm in the other Member State and individual placements can last from 2 to 26 weeks. The programme is managed under the grants system of the Commission.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The proposal for a Regulation of the European Parliament and of the Council on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) includes among the measures the possibility for Member States to organise farmers' exchanges within the rural development programmes 2014-2020.

5.4 Type of activities financed, number and amounts

Implementation of a study: as the procedure is still ongoing (negotiated procedure following unsuccessful open call for tender), the details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by way of procurement procedures for a study.

5.6 Types of beneficiaries

The study should have been conducted by the successful tenderer of the open call for tenders. The contract has not been awarded and a negotiated procedure started.

1. Heading

07 02 77 09 : Pilot project — Certification of low-carbon farming practices

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	291 191,20	-	370 000	-	95 000	0,0 %	-74,3 %
Reserve							0,0 %	0,0 %
Total	0,00	291 191,20	-	370 000	-	95 000	0,0 %	-74,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2010 budget.

Objectives followed during the 2010 and subsequent years' implementation:

The aim of this pilot project is to develop a certification scheme for low-carbon farming practices in the Union. The scheme should target the whole farming sector and should aim to take into account all the main factors contributing to carbon emissions from farming, including the utilisation of fertilisers and other inputs, the handling of waste products, the production of renewable energy, the development of carbon sinks and the use of other climate mitigating practices and technologies. In order to ensure its relevance throughout the territory of the Union, the certification scheme to be developed in the pilot project should be tested through practical trials on a number of farming regions appropriately situated in various parts of the Union.

Taking into account the objectives set out in the budget remark, the Commission approached the implementation of the Pilot project along the following main tasks:

- to compare existing farm-level carbon calculators and to find the most suitable or a hybrid suitable for testing. It is envisaged to include other environmental impacts of the farming systems and mitigation measures, so that a carbon calculator for climate change did not lead, for example, to damage to biodiversity;
- to examine what sort of administration would be needed to establish a certification system.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

An Administrative Arrangement (N° 070321/2010/580270/B1) with the JRC was signed in December 2010, with deliverables in 2011 and 2012 for an indicative amount of EUR 700 000.

In addition, an amount of EUR 300 000 was delegated to the Joint Research Centre (JRC) for the procurement of services related to the implementation of the pilot project. Following a call for tender the signed one contract with Solagro on 15 December 2011 for an amount EUR 115 478 dedicated to software development (Carbon calculator to promote low carbon). A specific agreement for an amount of 170.125 EUR under framework contract N° 385309 dated 2/12/2009 with Alterra-DLO was signed on 20/12/2011. The subject of the specific contract is "EU wide data availability survey and testing of low-carbon farming practices assessment tool".

2010 appropriations

Step	Date
Adoption of financing decision	C(2010) 8332 of 1 December 2010
Signature of the administrative arrangement with the JRC	December 2010
Publication of call for tender (JRC)	22 July 2011
Closing date of call for tender (JRC)	5 September 2011
Award decision (JRC)	15 December 2011
Signature of a specific contract under framework contract 385309	20/12/2011
Payments made by the JRC under cross sub-delegation	2012 - pre-financing on first contract 2013 - final payment on first contract 2014 – final payment on second contract
Payments made to JRC under the administrative arrangement	245.000 EUR (2011 –pre-financing) 245.000 EUR (2012 interim payment) Max. 210.000 EUR (2013 final payment)

Final deliverables on the assessment tool are expected in the second semester of 2013. After the due quality check the final payment request is expected in early 2014. The amount of the final payment is EUR 95.000.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decision C(2010) 8332 of 1 December 2010.

Contract notice of the call for tender launched by the JRC in July 2011:
<http://ted.europa.eu/udl?uri=TED:NOTICE:229905-2011:TEXT:EN:HTML>

Expected results:

According to the deliveries foreseen by the contract the financing depends on the work rhythm followed by the contractor and the JRC. In any case Solagro the successful contractor of the software development part of the project is expected to deliver the above mentioned items and the JRC is expected to implement the following tasks:

- make an analysis of existing climate-related certification schemes with impacts on farming, assessing their strengths and weaknesses in encouraging GHG (greenhouse gases) mitigation at farm level, as well as the efficiency and effectiveness of their administrative and control arrangements and their coherence with the principles of certification and accreditation in the EU;
- oversee the description and evaluation of existing farm-level carbon calculators, and the fine-tuning of the calculator considered the most suitable for the purposes of this project;
- oversee the testing of the selected carbon calculator on a representative sample of farms in regions throughout the EU in order to :
 - assess its pertinence in a wide range of different circumstances;
 - identify and analyse possible policy options for making use of such a calculator;
 - identify information needs for making a certification system work;
 - oversee the testing of administrative proposals for such a certification system;
 - make recommendations, including on how to address remaining problems, and assess costs of the various options - including options involving certification, and alternative approaches to encouraging effective low carbon practices and mitigation measures at farm level.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2010 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
JRC-PILOT PROJECT: CERTIFICATION OF LOW CARBON FARMING PRACTICES	Joint Research Centre (administrative arrangement)	700 000
Service contract (JRC under cross-subdelegation): carbon calculator to promote low-carbon farming practices	Solagro, Toulouse, FRANCE	115 478
JRC under cross-subdelegation - Specific contract implementing Framework Contract N° 385309: EU wide data availability survey and testing of low-carbon farming practices assessment tool	Alterra – DLO, Wageningen, THE NETHERLANDS	170.125

5.5 Method of implementation (tenders, grants, other) and evaluations

An Administrative Arrangement (N° 070321/2010/580270/B1) with the JRC has been signed on December the 22nd 2010, with deliverables in 2011 and 2012 for an indicative amount of EUR 700 000. Contract signed on 15/12/2011 following an open call tender. Specific agreement signed on 20/12/11 under framework contract N° 385309.

5.6 Types of beneficiaries

In order to ensure the necessary complementarities between the project and other initiatives, as well as the sustainability of the results of the project, the implementation of the actions associates closely the Environment Directorate General of the European Commission and the scientific and technical expertise of the Joint Research Centre.

1. Heading

07 02 77 10 : Pilot project — Complex research on methods of controlling the spread of ragweed and pollen allergies

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	681 480,10	-	p.m.	-	150 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	681 480,10	-	p.m.	-	150 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

This pilot project was voted for one year only under the 2010 budget.

Objectives followed during the 2010 and subsequent years' implementation:

As per the budgetary remark voted by the Budgetary Authority, the project was intended:

- to analyse the various types of ragweed control and to define the most effective;
- to study the impact of pollen contamination, to estimate its costs and to define allergy prevention and treatment methods;
- to develop prevention mechanism for controlling the spread of ragweed.

Given the extremely wide scope of the budget remark, some contacts with the Parliament were developed in order to define a possible focus not already covered by other policy areas (i.e. health and research).

The pilot project therefore focused on:

- analysing the spread of common ragweed in the EU and quantifying the harmful effects of common ragweed in the EU, with a view to develop protective measures to control its introduction and spread in the EU;
- assessing the feasibility of different methods for ragweed eradication in various production systems and ecosystems.

A global commitment was granted to both the tender and the call for proposal. Offers were evaluated in 2010 and agreements were signed in early 2011.

The study contract and grant agreement were both signed in early 2011. They have a multiannual nature and activities have lasted 18 months for the study contract and will last for 36 months for the grant agreement.

The study contract entitled 'Assessing and controlling the spread and the effects of common ragweed in Europe' was implemented as foreseen and fully in line with the timetable established. The interim report was delivered in December 2011 and comprised three parts: 1) the interim report; 2) a report on completed task 1 and 2; 3) a report on completed task 3.

The interim report gave an overview of progress on the various tasks. The report on task 1 and task 2 gave an account on these two completed tasks, which focused on determining the spreading mechanisms of common ragweed and on establishing the current and possible future conditions and vectors that favour the spread. Finally the report on task 3 established a mapping of the infected areas in the EU. The report delivered was of excellent quality and fully met the expectations.

The draft final report was delivered by 14 July 2012. This was followed by a dissemination workshop on 24 July 2012. The final report, which included the results of all completed tasks as well as the results of the dissemination workshop, was delivered in September 2012 and was accepted. The study synthesised and systematically reviewed information and utilised modern modelling methods to quantify the current extent of ragweed infestation in Europe, its economic, social and environmental harmful effects in all sectors and possible future scenarios (according to control efforts and climate change). The report is published on the Europa website at http://ec.europa.eu/environment/nature/invasivealien/index_en.htm. All background information, including maps, is available at the same address.

The grant agreement is also progressing on schedule and delivering excellent quality material. In 2011 the project's website was set up, a first set of experiments in the field was established and a report on the state of the art was delivered. In 2012 the following reports were delivered: 1) report on the interaction between Ambrosia and the surrounding vegetation and 2) a review of the impacts of control measures on biodiversity; 3) a report on the perspectives for biological control; 4) a report on efficacy and guidance options for thermal control of Ambrosia and 5) a report on efficacy and guidance on herbicide use in minor crops (sunflower and peas).

The project also comprises three project workshops in 2011, 2012 and the final workshop of the project, which will take place in 2013.

Intentions for the current year 2013:

The grant agreement will still be running in 2013. By the end of December 2013 the following report shall be delivered:

- 1) the final project report and the general publication of the project findings;
- 2) control manuals for different uses and countries; recommendations for strategies to fight Ambrosia in different scenarios.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2010 appropriations

Step	Date
Adoption of financing decision	7 May 2010
Publication of call for tender	17/07/2010 ENV.B2/ETU/2010/0037/
Closing date of call for proposals /call for tender	10/09/2010 (call for tender) 30/09/2010 (call for proposals)
Award decision	23/11/2010 (call for proposals - grant) 14/03/2011 (call for tender -study)
Pre-financing payment	03/03/2011 EUR 350.000,00 (grant) 07/07/2011 EUR 292.062,90 (study)
Interim payment	16/02/2012 EUR 292.062.90 (study)
Final payment	20/12/2012 : EUR 389.417,20 (study) 2014 - Grant

Payment appropriations are requested under the 2014 Draft budget in order to cover the final payment of the Grant agreement on delivery and acceptance of Final Report for a maximum of EUR 150.000.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2010)2963 of 7 May 2010 sets out the main elements of implementation of the pilot project. The call for tender and call for proposals published in 2010 on the basis of the financing decision were also published on the Commission website (DG ENV).

The final report from the study is available on the Europa website: http://ec.europa.eu/environment/nature/invasivealien/index_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No specific legislation on ragweed is envisaged, but the knowledge collected through this project have informed the development of the forthcoming legislative proposal on invasive alien species and some of the data collected have fed into the process to prepare the accompanying Impact Assessment.

5.4 Type of activities financed, number and amounts

2010 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
B.2/2010/PILOT PROJECT ON RESEARCH OF METHODS OF CONTROL. THE SPREAD OF RAGWEED (STUDY)	NATURAL ENVIRONMENT RESEARCH COUNCIL	973.543,00
ENV.B2-JULIUS KUHN INST-HALT AMBROSIA-RAGWEED CALL FOR PROPOSALS-PILOT PROJECT	JULIUS KUHN INSTITUT BUNDESFORSCHUN	500.000,00

5.5 Method of implementation (tenders, grants, other) and evaluations

The project was implemented by means of a Call for Tender for a study entitled 'Assessing and controlling the spread and the effects of common ragweed in Europe' and by a call for proposals under which one project was selected or a grant.

5.6 Types of beneficiaries

Research institutes.

1. Heading

07 02 77 16 : Pilot project — Atmospheric precipitation — Protection and efficient use of fresh water

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	p.m.	375 000	-	1 050 000	0,0 %	180,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	p.m.	375 000	-	1 050 000	0,0 %	180,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 Budget.

Objectives followed during the 2012 implementation:

The pilot project will provide:

- a detailed assessment of effectiveness, costs and benefits of natural water retention measures, supporting further scenario modelling with the JRC integrated water modelling platform;
- further development and maintenance of a catalogue of measures and case studies, to be integrated into WISE (Water Information System for Europe);
- targeted information and communication events in a representative selection of river basins with operators and stakeholders to discuss the effectiveness, costs and benefits of natural water retention measures;
- a contribution to the WFD Common Implementation Strategy and to the 2015 River Basin Management Plans.

The financing decision for the implementation of the pilot project was adopted on 24 October 2012 and followed by a global commitment.

Intentions for the current year 2013:

Publication of the call for tender, award decision and implementation of the contract (expected duration of contract 15 months).

5.2. Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	24 October 2012
Publication of the call for tender	16/04/2013
Closing date of the call for tender	28/05/2013
Award decision	August 2013
Pre-financing payment	n.a.
First Interim payment	November 2013
Second Interim payment	March 2014
Final payment	December 2014

Payment appropriations are requested under the 2014 Draft budget to cover the second interim and the final payment of the contract to be concluded for the implementation of the pilot project.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2012)7588 of 24 October 2012 sets out the main elements for the implementation of the pilot project.

The call for tender was published on the Europa website at the following address under the reference ENV.D.1/SER/2013/0010 : http://ec.europa.eu/environment/funding/calls_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As the procurement procedure is ongoing the details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project will be implemented through a call for tender for a service contract.

5.6 Types of beneficiaries

The contractor will be chosen following the call for tender.

1. Heading

07 02 77 19 : Pilot project — Marine litter recovery

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	949 675,00	0,00	p.m.	250 000	-	300 000	0,0 %	20,0 %
Reserve							0,0 %	0,0 %
Total	949 675,00	0,00	p.m.	250 000	-	300 000	0,0 %	20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2012 budget.

Objectives followed during the 2012 implementation:

The aim of the pilot project is to evaluate the three existing forms of "Fishing for Litter". The organisational, economic and environmental aspects linked to this type of fishing were taken into consideration.

The ultimate aim of the project is to have the best 'Fishing for Litter' practices started or in place in all 4 regional seas by identifying responsible organisations that will take this up and bring these best practices further.

Its overall objectives are as follows:

- identification of the on-going fishing for litter practices;
- identification of the potential for developments in these or others areas;
- support to the initiation and/or running of fishing for litter initiatives.

The call for tender was published, offer evaluated and a contract for the winning bid signed end 2012.

Intentions for the current year 2013:

The pilot project runs until end 2014; the inception meeting took place in February 2013 and the inception report was approved.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	08/06/2012
Publication of call for tender	17/07/2012
Closing date of call for tender	10/09/2012
Award decision	18/12/2012

Step	Date
Pre-financing payment	N/A
Interim payment	2 interim payments of 30% contract value each
Final payment	Final payment in 2014 upon acceptance by the Commission of the final report

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2012)3716 of 8 June 2012 sets out the main elements of implementation of the Pilot Project for 2012.

The call for tender was published on the Europa website under the reference ENV.D.2/SER/2012/0039: http://ec.europa.eu/environment/funding/calls2012_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Contractor	EUR (maximum)
Service contract : Marine litter recovery: pilot project 'Fishing for litter'	Milieu Limited, BELGIUM	949 675

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by way of a call for tender.

5.6 Types of beneficiaries

Private company (sub-contracting is foreseen).

1. Heading

07 02 77 20 : Pilot project — Availability, use and sustainability of water for the production of nuclear and fossil energy

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	p.m.	125 000	-	375 000	0,0 %	200,0 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	p.m.	125 000	-	375 000	0,0 %	200,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 Budget.

Objectives followed during the 2012 implementation:

This pilot project is aimed at investigating the issues linked to the availability and use of cooling water for energy production. In particular it intends to provide a detailed picture of the vulnerability of the energy/water system, including an inventory of power plants and its vulnerability to floods, droughts, heat waves, etc.

Moreover, to provide for a detailed framework for the establishment of vulnerability indicators and water resources management targets for the energy sector, this pilot project will have to build on past EU-wide assessments (ClimWatAdapt), on the current work at EEA on water balances at sub-catchment level and the on-going modelling at JRC of scenarios for sustainable management of water resources.

The pilot project will contribute to the WFD Common Implementation Strategy and to provide an effective contribution to 2015 River Basin Management Plans.

In particular, it will aim at preparing a detailed inventory of power plants in the EU and transboundary basins, including information on their impact on water resources and their potential vulnerability, and at integrating such information and data into water balance and modelling tools.

Intentions for the current year 2013:

Publication of the call for tender, award decision and implementation of the contract (expected duration of contract 9 months).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	24 October 2012
Publication of call for tender	29/03/2013
Closing date of call for tender	28/05/2013
Award decision	August 2013
Prefinancing payment	n.a.
First Interim payment	December 2013
Second interim payment and final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2012)7588 of 24 October 2012 sets out the main elements for the implementation of the pilot project.

The call for tender was published on the Europa website at the following address under the reference ENV.D.1/SER/2013/0004: http://ec.europa.eu/environment/funding/calls_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As the procurement procedure is ongoing the details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project will be implemented through a call for tender for a service contract.

5.6 Types of beneficiaries

The contractor will be chosen following the call for tender.

1. Heading

07 02 77 21 : Pilot project — New knowledge for an integrated management of human activity in the sea

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	0,00	p.m.	500 000	-	600 000	0,0 %	20,0 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	0,00	p.m.	500 000	-	600 000	0,0 %	20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for the pilot project were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

The purpose of this Pilot Project is to develop new concept and decision-making tools for integrated environmental monitoring for the Marine Strategy Framework Directive 2008/56/EC (MSFD) to support management of human activities in EU marine waters.

The project will develop integrated monitoring strategies in selected pilot regions, based on existing sampling across various disciplines (physical, chemical, biological) and scope the potential for joint monitoring programmes (within and between Member States). It will aim to develop strategic approaches to the integrated monitoring of pressures from human activities and their effects on the environment to support the Marine Strategy Framework Directive and other environmental legislation. Developing integrated monitoring tools and programmes at sea-region scales will serve to meet the objectives of the Marine Strategy Framework Directive, in particular by contributing to the requirement that Member States develop monitoring programmes by 2014. The outcomes of the pilot project (e.g. strategies, tools) should be transferable to other EU regions. Ideally, pilot regions in different marine regions will be selected and will be asked to cooperate in the implementation of the project.

The specific target is to experiment joint monitoring programmes in different marine regions or sub-regions that help forge synergies between Member States for monitoring and assessing pressures and impacts from human activities in the marine environment in an integrated way (e.g. serving provisions of different environmental legislation) and thereby reducing costs or improving efficiency of existing efforts. In particular, the pilot project will be aimed at testing and developing approaches in several marine regions or sub-regions of Europe in order to evaluate different technical, environmental and administrative issues which can be applied also in other regions. A close and effective link to the regional sea conventions should be ensured. As a priority, such integrated monitoring programmes should be targeted towards the implementation of the monitoring requirements of the Marine Strategy Framework Directive (2008/56/EC) by 2014 building on the ongoing monitoring under the regional sea conventions and, where relevant, the Habitats Directive, the Water Framework Directive and other relevant directives.

The activities will take into account the results to date of other on-going projects, such as e.g. the research projects financed under FP7 (such as "Knowledge-based Sustainable Management for Europe's Seas" - Knowseas or "Policy-

oriented marine Environmental Research in the Southern European Seas" - PERSEUS). It will be also ensured that data and information management is compatible with the European Marine Observation and Data Network (EMODNet), the Infrastructure for Spatial Information in Europe (INSPIRE) and other relevant EU standards and initiatives.

Intentions for the current year 2013:

The pilot project was implemented by way of a call for proposals published in September 2012. In 2013 the selection and award processes will take place and actions selected for grants will take place.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	08/06/2012
Publication of call for proposals	27/09/2012
Closing date of call for proposals	19/12/2012
Award decision	26/04/2013
Pre-financing payment	3rd Quarter 2013 – 40% of the EU contribution
Interim payments	1st Quarter 2014 – 30 % of EU contribution upon receipt of satisfactory interim report
Final payments	2014-2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C (2012) 3716 of 8 June 2012 sets out the main elements of implementation of the pilot project for 2012.

The call for proposals was published on the Europa website under the reference PP/ENV/SEA 2012 at the following address: http://ec.europa.eu/environment/funding/sea_12.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations: 8 proposals were received following the call for proposals. The evaluation was finalised on 24 April 2013. The grant agreements are in preparation for signature by both parties.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by way of a call for proposals.

5.6 Types of beneficiaries

Public or private bodies, actors and institutions, NGO, international organisations, universities and/or research institutes (partnership / sub-contracting is foreseen).

1. Heading

07 02 77 22 : Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		2 000 000	1 000 000	p.m.	500 000	-100,0 %	-50,0 %
Reserve						0,0 %	0,0 %
Total		2 000 000	1 000 000	p.m.	500 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2013 budget.

Intentions for the current year 2013:

This pilot project will compile and critically review existing schemes in Europe of result-oriented agricultural remuneration regimes in grasslands but also other ecosystems which aim at enhancing the state of agro-biodiversity.

It will also include a wide range of practical experiments to assess the feasibility of different result-based remuneration mechanisms in achieving an enhancement in agro-biodiversity. This should be done in a sample of Member States in particular in grassland areas.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	End June 2013
Publication of call for proposals and call for tender	July 2013
Closing date of call for proposals and call for tender	Third quarter of 2013
Award decisions	Fourth quarter 2013 (grants) Beginning 2014 (call for tender)
Pre-financing payments	2014
Final payment	2015

The call for proposals is expected to be published in July 2013. A global commitment will be made in 2013 in accordance with Article 85 of the Financial Regulation. In view of the timetable for implementation of the call for proposals, pre-financing payments will be made in 2013- 2014 and final payments in subsequent years.

The contract will be concluded in the first half of 2014 and the payments will be as follows: 40% in 2014 and 60% in 2015.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

This Pilot project is covered by an amendment of the Financing Decision for 2013 in the environment policy area. The envisaged amendment is currently in inter-service-consultation and is expected to be adopted by end June 2013.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The activities currently covered by the pilot project, namely schemes of result based remunerations for biodiversity enhancements, are yet at an early stage of development and are not sufficiently mature to become part of a specific legal basis. The results of this project could represent an important step forward.

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the pilot project, such details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented via:

- a call for proposals (amount of 1 500 000 EUR) to support the development of concrete voluntary on-farm experiments necessary to test different methods of result-based remuneration schemes and to produce and disseminate a set of recommendations on the best conditions to set up such schemes;
- a call for tenders (maximum amount 500 000 EUR) for the implementation of a study that will take stock of existing result-based schemes currently applied in the EU, perform a critical comparison of their feasibility and effectiveness and establish the right set of framework conditions which are necessary for such types of schemes to be implemented and successful.

Appropriations may be used to cover the payment of interest on late payment due to contractors and beneficiaries in accordance with Articles 92 of the Financial Regulation and Article 111(4) of the Rules of Application, arising from legal commitments concluded on the current budget lines.

5.6 Types of beneficiaries

The contractor and grant beneficiaries will be chosen following open calls for tenders and calls for proposals.

1. Heading

07 02 77 23 : Pilot project — Transversal communication on EU policies related to Environment: Tackling environmental awareness deficit of EU citizens through audiovisual tools (movies)

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 500 000	750 000	p.m.	375 000	-100,0 %	-50,0 %
Reserve						0,0 %	0,0 %
Total		1 500 000	750 000	p.m.	375 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2013 budget.

The pilot project seeks to further increase public awareness about sustainable development, EU biodiversity and nature as well as environmental protection through a pan-European communication initiative. This could be achieved through the production of films/movies dealing with targeted issues to enrich the public knowledge about environmental changes and the impact of human's actions on them and to stimulate further discussion about biodiversity at European level.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2013 appropriations

Step	Date
Adoption of financing decision	End June 2013
Publication of call for proposals	July 2013
Closing date of call for proposals	Third quarter 2013
Award decision	Fourth quarter 2013
Pre-financing payment	Early 2014
Interim payment	2014
Final payment	2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

This Pilot project is covered by an amendment of the Financing Decision for 2013 in the environment policy area. The envisaged amendment is expected to be adopted by end June 2013.

- Do similar activities exist, which are already covered by a legal basis?

The pilot project falls within the scope of the Commission's multiannual communication strategy, in particular its objective to contribute to the policy making at the EU level by building understanding, acceptance and support for policies by promoting a better understanding of the value of biodiversity and nature of our society.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The objectives currently covered by this pilot project fall within the scope of the future Programme for environment and Climate action (LIFE) (COM(2011) 874 final of 12 December 2011), and in particular of its Article 12, which identifies among the specific objectives of the sub-programme for Environment for the priority area Environmental Governance and Information, the following:"

(a) to promote awareness raising on environmental matters, including generating public and stakeholders support to Union policy-making in the field of environment, and to promote education for sustainable development;

(b) to support communication, management, and dissemination of information in the field of environment, and to facilitate knowledge sharing on successful environmental solutions and practice, including by developing cooperation platforms between stakeholders and training".

5.4 Type of activities financed, number and amounts

To ensure the objective of increased public awareness about the given topics, the pilot project must be implemented via a high impact communication tool. The aim of project will therefore be to provide support the production of a feature film (movie). A movie can increase public awareness by bringing light to issues that may have otherwise been unknown to the general public. By enriching the audience's knowledge about environmental changes in EU biodiversity and nature and the impact of human's actions on them, a high quality movie with a large audience could stimulate further awareness of and discussion about biodiversity at European level.

5.5 Method of implementation (tenders, grants, other) and evaluations

A call for proposals will be launched to contribute by providing financial support to the production of a high quality movie on sustainable development, biodiversity and nature issues for European audiences, presented by European production companies.

5.6 Types of beneficiaries

European production companies, whose main object is audiovisual production and which are registered in one of the Member States.

1. Heading

07 02 77 24 : Pilot project — 'Resource efficiency' in practice — Closing mineral cycles

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	250 000	-	700 000	0,0 %	180,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	250 000	-	700 000	0,0 %	180,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

The pilot project seeks to investigate and promote improved techniques of resource efficiency on farms through: recycling of raw materials, processing animal manure into renewable energy, fine-tuning the fertilisation of plants and crops by looking at new and innovative ways of managing resources more efficiently and close the mineral cycles on farm.

Closing NPK (nitrogen, phosphorus, potassium) cycles is highly relevant to the implementation of the Nitrates Directive, as well as the Water Framework Directive, National emissions ceilings (NEC) Directive and climate change policy. Innovation in this area can help farmers contributing to the set ambitious environmental targets while maintaining/increasing competitiveness. The pilot project can also help contribute to the ongoing work on the sustainable use of phosphorus.

The work will focus on analysing the current situation and identifying the possible options for improving the management of the resource and closing mineral cycle on farm and will seek to produce results that are applicable at the farm level.

The pilot project will build on the results of studies carried out in 2010/2011 within the context of the Nitrates Directive implementation, available at <http://ec.europa.eu/environment/water/water-nitrates/studies.html>.

In particular, the above mentioned studies assessed i) the impact of measures included in action programmes adopted under the Nitrates Directive on N gas emissions; ii) manure processing technologies and iii) manure N efficiency. A global commitment was adopted in 2012.

Intentions for the current year 2013:

An individual commitment will be adopted in 2013, after the publication of the open call for tenders, and the award and signature of the contract.

The funding will be used to carry out a study that will look at new and innovative ways of managing resources more efficiently and close the mineral cycles on farm. Sharing knowledge and best-practices among the farming community is a central element in this approach.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	8 June 2012, followed by a global commitment
Publication of call for tender	18/04/2013
Closing date of call for tender	03/06/2013
Award decision	October 2013
Pre-financing payment	n/a
Interim payments	2014
Final payment	2015

Payment appropriations are requested under the 214 Draft budget to cover part of the payments of the contract to be concluded following the call for tender.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2012)3716 of 8 June 2012 sets out the main elements for the implementation of the pilot project.

The call for tender was published on the Europa website on 18 April 2014 under the reference ENV.B.1/ETU/2013/0011. It can be found on the European website at the following address: http://ec.europa.eu/environment/funding/calls_en.htm

- Do similar activities exist, which are already covered by a legal basis?

The pilot project will build on the results of studies carried out in 2010/2011 within the context of the Nitrates Directive implementation, available at <http://ec.europa.eu/environment/water/water-nitrates/studies.html>.

In particular, the above mentioned studies assessed i) the impact of measures included in action programmes adopted under the Nitrates Directive on N gas emissions; ii) manure processing technologies and iii) manure N efficiency.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As the procurement procedure is ongoing these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The call for tender will cover the following tasks:

1. A state of play study on: nutrient concentrations (N, P) in soil and water in the EU, aimed at identifying saturated regions and regions where nutrients are scarce or where there would be scope to use more organic fertiliser; the effects of intensive agriculture on water/air/soil/biodiversity/climate in saturated areas; the analysis of the possibility of transfer of manure (following varying levels of processing) to non-saturated areas where there would be greater demand/better price; the processing techniques in saturated areas (including biogas installations); possible options for increasing manure use efficiency on farms (focus on application techniques/new technologies/timing of applications).

2. Dissemination and education stage. The contractor would take the results from the state of play study and prepare leaflets and organize dissemination events both in three to five Member States and in Brussels.

5.6 Types of beneficiaries

The contractor will be selected following the conclusion of the ongoing call for tender procedure.

1. Heading

08 02 77 01 : Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	250 000,00	p.m.	p.m.	p.m.	125 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	500 000,00	250 000,00	p.m.	p.m.	p.m.	125 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

The research activities will start 2014 (Work Package 9 in the revised Description of Work).

Intentions for the current year 2013:

See above.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	17/12/2012
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	-
Pre-financing payment	21/12/2012
Interim payment	2014
Final payment	2017

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

- Commission Implementing Decision C(2012) 9320 of 17 December 2012.

- The amended Description of Work of IMPRO project (Impact matrix analysis and cost-benefit calculations to improve management practices regarding health status in organic dairy farming), in which the pilot project was integrated.

- Do similar activities exist, which are already covered by a legal basis?

Yes, IMPRO project in which the pilot project was integrated in a research project (KBBE-311824) supported under FP 7.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Title / Action	Beneficiary / contractor	EUR (maximum)
Cost category: "other activities" (FP7 financial rules)	IMPRO Consortium: 1) UNIVERSITAET KASSEL, Germany 2) SVERIGES LANTBRUKSUNIVERSITET, Sweden 3) ECOLE NATIONALE VETERINAIRE, AGROALIMENTAIRE ET DE L'ALIMENTATION NANTES ATLANTIQUE, France" 4) WAGENINGEN UNIVERSITEIT, The Netherlands 5) INSTITUT DE RECERCA I TECNOLOGIA AGROALIMENTARIES, Spain 6) DATA SERVICE PARETZ GMBH, Germany 7) THE UNIVERSITY OF READING, United Kingdom	500 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Grant (amended Grant Agreement of an existing FP7 project: IMPRO KBBE-311824).

5.6 Types of beneficiaries

4 beneficiaries of IMPRO Research Consortium (1,2,4,5).

1. Heading

11 06 77 02 : Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	-	750 000	p.m.	450 000	0,0 %	-40,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	-	750 000	p.m.	450 000	0,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

This pilot project is intended to develop collaborative research between scientists and stakeholders, particularly fishermen and tools for a common governance and sustainable fisheries management at the geographical level of sea-basins and fisheries.

The aim is to seek an improvement of the scientific knowledge underpinning decisions on fisheries management strategies and options, and an increase in comprehension by stakeholders, particularly fishermen, of the scientific process and results, contributing then to increase the acceptability of management measures.

A call for proposals (MARE/2012/24) was launched at the end of 2012 consisting of 3 lots covering different regions and subjects.

- Lot 1: Standardization of tropical tuna catch and effort time series for EU purse seine fleets using FADs in the Atlantic, Indian and Pacific Ocean and estimation of by catch and ecosystem impacts.

- Lot 2: Pilot project on catch and discard composition including solutions for limitation and possible elimination of unwanted by-catches in trawl net fisheries in the Mediterranean.

- Lot 3: Joint projects between the scientific community and the fishing sector in the Northeast Atlantic, subdivided into three grants:- Grant 1: Maximising the use of fisheries data collected in fully documented fisheries (FDF) to improve scientific knowledge; - Grant 2: Protection of Vulnerable Marine Ecosystems and alternative methods for their identification in the Hatton Bank ; - Grant 3: Discard reduction in the Bay of Biscay and Iberian waters.

The projects must involve scientific institutes or bodies and relevant stakeholders in particular fishermen.

Intentions for the current year 2013:

Following the call for proposals, the proposals have been received. They will be evaluated and the grants will be awarded in 2013. Effective implementation of the objectives as defined above will thus start.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	29/05/2012
Publication of call for proposals	04/12/2012
Closing date of call for proposals	28/02/2013
Award decision	June 2013
Pre-financing payment	July 2013
Interim payment	2014
Final payment	2014-2015

Payment appropriations requested under the 2014 Draft Budget will cover interim payments up to 30% of the total grant.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Decision C(2012) 3371 final of 29 May 2012 sets out the main elements for the implementation of the pilot project.

The documentation related to the call for proposals MARE/2012/24 is available on the Europa website: http://ec.europa.eu/dgs/maritimeaffairs_fisheries/contracts_and_funding/calls_for_proposals/2012_24/index_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

Studies on topics related to sustainable fisheries management can be financed under Art. 10 of Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea. However, these studies do not have a collaborative research between scientists and stakeholders component.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The Commission proposes to support in the European Maritime and Fisheries Fund the creation and activities of networks of scientific bodies and fishermen. These networks will carry out data collection activities, studies, dissemination of knowledge and best practices (article 30 of the EMFF proposal COM (2011) 804).

5.4 Type of activities financed, number and amounts

As the call for proposals is ongoing these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by way of a call for proposals (Call for proposals MARE/2012/24).

5.6 Types of beneficiaries

Potential beneficiaries would be research institutes.

1. Heading

11 06 77 05 : Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		400 000	200 000	p.m.	200 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for a first year under the 2013 Budget.

Intentions for the current year 2013:

Dans le cadre de la réforme de l'organisation commune des marchés des produits de la pêche et de l'aquaculture (OCM), la Commission propose d'améliorer l'étiquetage des produits de la pêche et de l'aquaculture (PPA) (Chapitre IV).

Les dénominations commerciales relevant de la compétence des autorités nationales de chaque Etat membre, il conviendrait de mettre en place un instrument unique offrant au consommateur européen les garanties de transparence et de cohérence entre les différentes dénominations et qui faciliterait par ailleurs le contrôle de ces informations.

La réalisation de ce projet pilote vise à la construction:

- d'une base de données, comprenant l'ensemble des informations associées aux dénominations commerciales (codes FAO, nomenclatures combinées, noms scientifiques...)
- d'un système expert pour l'analyse de la cohérence entre les différentes informations et permettant leur extraction sous la forme appropriée aux différentes catégories d'utilisateurs et dans toutes les langues communautaires.
- d'un site Internet spécifique.

A cette fin, il est envisagé de faire appel à un prestataire de service qui devra effectuer les tâches suivantes (tâches qui seront initiées à la fin de 2013, et mises en œuvre en 2014):

1. Création de la base de données

Établir la liste des dénominations commerciales (DC) par Etat membre (langues officielles) de tous les PPA mis sur le marché dans l'UE et en vérifier la validité.

Associer à chaque DC une identification contenant :

- le ou les noms scientifiques
- le code FAO
- la nomenclature combinée

- une photo / illustration

Ajouter des informations qualitatives complémentaires telles que :

- lieux de capture / de production + labels / appellations éventuelles
- le cas échéant: modes possibles de pêche (chalutière, senne, ligne, ...)
- caractéristiques morphologiques
- caractéristiques légales (taille min)
- état des stocks
- saisonnalité
- allégations nutritionnelles.

2. Exploitation de la base de données : extractions

Les requêtes devront permettre d'extraire toutes les informations relatives au champ d'identification introduit. Concrètement, l'utilisateur pourra introduire un des champs suivants: dénomination commerciale, nom scientifique, code FAO, nomenclature combinée, et l'application devra fournir sous le format adéquat toutes les informations associées qui seront disponibles.

A cette fin et sachant que la présentation et la hiérarchie des informations proposées dépendent des attentes des utilisateurs, il est attendu du prestataire qu'il identifie les catégories d'utilisateurs et les attentes ou besoins de chaque catégorie afin de configurer le système de telle sorte que l'information soit présentée de la manière la plus utile et facile à utiliser.

L'interface devra être adaptée au support de type Web ainsi qu'aux applications portables (tablettes, Smartphones).

Aux fins de ce qui précède il convient que :

- tous les champs d'identification (DC, nom scientifique, etc.) puissent être utilisés pour introduire une requête et que les requêtes soient paramétrées en fonction de l'utilisateur (particulier, professionnel, ...).
- le système autorise les approximations (par exemple en suggérant l'orthographe correcte) ou des choix basés sur certains critères.

3. Applications possibles

- création et maintenance d'un site Web dédié ou hébergé sur site de la Commission (DG MARE)
- une/des applications mobiles.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014*

Crédits 2013 (calendrier indicatif)

Step	Date
Adoption de la decision de financement	Fin juin 2013
Publication de l'appel d'offres	30 Juillet 2013
Date de cloture de l'appel d'offres	Septembre 2013
Attribution	Fin Octobre 2013
Paie ment de préfinancement	Decembre 2013
Paie ment final	2014

Sans préjuger de ce que sera le montant du contrat de service, il est prévu que 50% du budget soit payé en 2013 et le solde en 2014, soit 200.000 euros.

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

La décision de financement / programme de travail dont l'adoption est prévue en juin 2013 détaillera les modalités de mise en œuvre du projet pilote.

- Do similar activities exist, which are already covered by a legal basis?

Non

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Non.

Après mise en œuvre du projet pilote, il sera nécessaire d'assurer la mise à jour et la maintenance de la base de données et du site internet.

En ce qui concerne la mise à jour des informations, l'article 43 de la proposition de règlement du Parlement et du Conseil portant organisation commune des marchés dans le secteur des produits de la pêche et de l'aquaculture (COM/2011/0416) prévoit que les États membres établissent et publient une liste des dénominations commerciales admises sur leur territoire.

Les frais de maintenance attendus sont faibles ou nuls et pourraient éventuellement être financées à travers l'article 89 de la proposition de règlement du Parlement et du Conseil relatif au Fonds européen pour les affaires maritimes et la pêche (COM/2011/804).

5.4 Type of activities financed, number and amounts

2013 étant la première année de mise en œuvre de ce projet pilote, ces détails ne sont pas encore connus.

5.5 Method of implementation (tenders, grants, other) and evaluations

Marché public (appel d'offre ouvert).

5.6 Types of beneficiaries

Fournisseur de services.

1. Heading

17 03 77 03 : Pilot project — Fruit and vegetable consumption

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	298 309,26	-	500 000	-	700 000	0,0 %	40,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	298 309,26	-	500 000	-	700 000	0,0 %	40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2011 and 2012 budgets.

Objectives followed during the 2011 and 2012 implementation:

The pilot project aims to test different approaches aimed at increasing the consumption of fresh fruits and vegetables in particular in local communities in EU NUTS2 regions with primary household income below 50% of the EU 27 average.

This project builds on key elements and experience of the EU School Fruit scheme but it is not duplicating it as it is targeting priority and vulnerable groups such as children, elderly people and pregnant women in low income areas. Therefore, the pilot project is developed as part of the implementation of the Strategy for Europe on Nutrition, Overweight and Obesity related Health issues of 30 May 2007.

The results of this action should contribute in building guidelines for interventions with the more disadvantaged, and people of low socio-economic status on how to help increase the consumption of fresh fruits and vegetables among these groups.

Intentions for the current year 2013:

Continued implementation of the action phase especially in terms of cooking sessions in the three selected Member States.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	3 November 2011
Publication of call for tender	21 November 2011
Closing date of call for tender	09 January 2012
Award decision	04 May 2012

Step	Date
Pre-financing payment	August 2012
Interim payment	July 2013
Final payment	July 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	08/08/2012
Publication of call for tender (unsuccessful)	21/12/2012
Re-publication of call for tender	15/06/2013
Closing date of call for tender	31/07/2013
Award decision	Exp. 2 nd semester 2013
Pre-financing payment	Exp. 2 nd semester 2013/ beginning 2014
Interim payment	Exp. 2 nd semester 2014/ beginning 2015
Final payment	Exp. 2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the 2011 appropriations, the main elements are described in the Financing Decision a (C/2011/7721/1) adopted on 3 November 2011, and in the tender specifications prepared for the open call for tender.

For the 2012 appropriations, the Financing Decision has been adopted on 8 August 2012 (C(2012)5535).

The 2011 call for tender was published on 22/11/2011 under the reference SANCO/2011/C401: the contract notice is available on Europa: <http://www.ted.europa.eu/udl?uri=TED:NOTICE:362737-2011:TEXT:EN:HTML>

The 2012 call for tender was initially published in December 2012. As the procedure was unsuccessful the call for tender was re-published on 15/06/2013 under the reference SANCO/2013/C4/02: the contract notice is available on Europa: <http://ted.europa.eu/udl?uri=TED:NOTICE:195523-2013:TEXT:EN:HTML&tabId=1>

Under the 2011 contract the Contractor is required to report to the Commission on the work carried out and the results obtained in relation to the actions foreseen in the contract as well as report on the work programme for the following period. Therefore, the Contractor is submitting monthly progress reports and has to submit an interim report, six months after the commencement of the work in every yearly circle. These reports are published online: http://ec.europa.eu/health/nutrition_physical_activity/key_documents/tender_pilot_project_fresh_fruits_vegetables_en.htm

- Do similar activities exist, which are already covered by a legal basis?

The DG AGRI School Fruit Scheme also serves as documentation for this pilot project; the scheme requires participating Member States to set up strategies including educational and awareness-raising initiatives. The School Fruit Scheme has been evaluated and an implementation report has been published: http://ec.europa.eu/agriculture/sfs/index_en.htm

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Contractor	EUR (maximum)
Pilot project to test different approaches aimed at increasing the consumption of fresh fruits and	Ligaris, Paris, FRANCE	994 364,19

vegetables in particular in local communities in EU NUTS 2 regions with primary household income below 50 % of the EU-27 average		
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The service contract comprises the following tasks:

- Design and testing of tailored communication and educational tools targeted to vulnerable population groups such as children, elderly and low income pregnant women and their children.
- Organisation of distribution of fresh fruits and vegetables and promotion campaigns in at least 3 EU NUTS2 regions with primary household income below 50% of the EU27 average in close collaboration with public health experts, with national and/or regional health administrations of the Member States, NGOs active in the sector, youth organisations, economic operators and the Commission services.
- Establishment of the conclusions deriving from the findings and presentation of guidelines to be applied for such campaigns targeting the priority groups, as described above.
- Dissemination of the results of the study.

For the year 2012, the procurement procedure is ongoing and the details of the selected contractor are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

2011: the pilot project was implemented by way of a call for tender.

2012: the pilot project is implemented by way of a call for tender.

5.6 Types of beneficiaries

The beneficiary of the contract corresponding to the 2011 appropriations is a private company. The beneficiary of the contract corresponding to the 2012 appropriations is not yet known.

The ultimate beneficiaries of this pilot project will be: NGOs, policymakers at various levels (local, regional and national), universities and the governmental actors.

1. Heading

17 03 77 04 : Pilot project — Healthy diet: early years and ageing population

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	1 000 000	700 000	-	600 000	-100,0 %	-14,3 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	1 000 000	700 000	-	600 000	-100,0 %	-14,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

The pilot project aims at raising awareness on the health problems related to poor nutrition, overweight and obesity and at promoting healthy diet along the life cycle from pregnancy to elderly at an EU scale.

The project involves appropriate civil society organisations, such as health-orientated NGOs, as well as healthcare professionals such as, for instance, paediatric doctors and midwives, and national and regional health authorities. Cooperation between these different actors should aim to deliver targeted education about nutrition, independently of the food industry, to both parents and children. Information campaigns could be launched in the form of leaflets (to be given, for example, to pregnant mothers by midwives, or to parents by paediatric doctors) or presentations in schools.

The procurement procedure is still on-going.

Intentions for the current year 2013:

The objectives for the 2013 appropriations will be to ensure further testing of field work initiatives for targeting pregnant and lactating women through various settings and channels, such as paediatric doctors, nurses, midwives, nutritionists, health oriented NGOs and national and regional health authorities.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	01/08/2012
Publication of call for tender	24/10/2012
Closing date of call for tender	07/12/2012
Award decision	expected 2 nd semester 2013
Pre-financing payment	expected end 2013

Step	Date
Interim payment	expected end 2014
Final payment	expected end 2015

- 2013 appropriations

Step	Date
Adoption of financing decision	On-going, expected June-July 2013
Publication of call for tender	2 nd semester 2013
Closing date of call for tender	2 nd semester 2013
Award decision	2 nd semester 2013
Prefinancing payment	2014
Interim payment	2015
Final payment	2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision for 2012 appropriations (C(2012)5519) has been adopted on 1 August 2012.

The Financing Decision for 2013 appropriations is expected to be adopted in June – July 2013.

The 2012 call for tender was published on 24 October 2012 under the reference SANCO/2012/C4/02: the contract notice is available on Europa: <http://www.ted.europa.eu/udl?uri=TED:NOTICE:336449-2012:TEXT:EN:HTML&src=0>

- Do similar activities exist, which are already covered by a legal basis?

Not directly but initiatives related to healthy diet have been co-financed by the Public Health Programme since 2007.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As the procurement procedure is still ongoing the details are not yet known.

Under the 2012 appropriations the service contract will comprise the following tasks:

- Design and testing of tailored communication and educational materials targeted to vulnerable population groups such as children, elderly and pregnant women and their children.
- Ensure the implementation of the campaign(s) in at least seven EU Member States.
- Establishment of the conclusions deriving from the findings and consolidation of guidelines to be applied for such campaigns targeting the priority groups, as described above.
- Dissemination of the results a consolidated study.

The contract foreseen for 2013 should have the following objectives:

- Produce a literature review on the dietary requirements, nutritional knowledge and awareness of healthy diets for pregnant and lactating women, knowledge and awareness about resources available to obtain such science-based knowledge, knowledge and skills about using information given on food labels to make healthy dietary choices.
- Develop educational materials and campaigns for different settings or information channels for raising awareness and educating pregnant and lactating women about:

- a healthy diet and lifestyle (such as acquiring a healthy weight after delivery including a healthy diet and physical activity),
 - resources available to obtain information and skills related to healthy diets and lifestyles independently from the industry,
 - use of information on food labels (ingredient list, nutrition information, allergens) in order to make healthy dietary choices,
 - understanding nutrition and health claims (including use of the EU Register of nutrition and health claims) in order not to be misled,
- Ensure the testing of the educational materials and implementation of the campaigns as pilot initiatives in several EU Member States.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by ways of calls for tender.

5.6 Types of beneficiaries

The final beneficiaries are expected to be health-orientated NGOs, healthcare professionals and national and regional health authorities.

1. Heading

17 03 77 05 : Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	-	200 000	-	300 000	0,0 %	50,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	-	200 000	-	300 000	0,0 %	50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

The overall project goal is to identify and develop best practices for the prevention of type 2 diabetes.

The pilot project was the subject of a call for tender published in 2012 but which was unsuccessful in identifying interested contractors.

Intentions for the current year 2013:

The call for tender will be re-launched in 2013 with modified specifications.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	August 2012
Publication of call for tender	26/10/2012
Closing date of call for tender	20/12/2012
Notice of non award	16/02/2013
Re-publication of the call for tender	06/06/2013
Indicative closing date of new call for tender	19/07/2013
Award decision	last quarter 2013
Pre-financing payment	Expected end 2013
Interim payment	Expected end 2014
Final payment	Expected 2015/2016

The specifications have been revised and adapted to the fact that no offer has been received. The new call is to be launched (27/05/2013) so dates have been defined based on this new call for tender.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision for 2012 appropriations has been adopted in August 2012 (C(2012)5559/3).

The 2012 call for tender initially was published on 7 November 2012 under the reference SANCO/2012/C4/03: the contract notice is available on Europa: <http://www.ted.europa.eu/udl?uri=TED:NOTICE:352871-2012:TEXT:EN:HTML>. Following the unsuccessful procedure a notice of non award was published on 16 February 2013.

The new call for tender was published on 6 June 2013 under the reference SANCO/2013/C4/01: <http://www.ted.europa.eu/udl?uri=TED:NOTICE:183903-2013:TEXT:EN:HTML&src=0>

- Do similar activities exist, which are already covered by a legal basis?

Under the public health programme 2003-2007 and 2008-2013 several projects in the area of diabetes have been financed.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As the call for tender launched in 2012 was unsuccessful and the Commission is currently launching a new call for tender, these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by way of a call for tender.

5.6 Types of beneficiaries

The beneficiary of the contract is not yet known.

The ultimate beneficiaries of this pilot project will be: NGOs, policymakers at various levels (local, regional and national), universities and the governmental actors.

1. Heading

17 04 77 01 : Pilot project — Coordinated European Animal Welfare Network

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	919 286,00	0,00	-	300 000	-	300 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	919 286,00	0,00	-	300 000	-	300 000	0,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

Because of the limited budget and the temporary nature of the project, it was agreed that it will mainly focus on the core aspects of the EP 2010 resolution on 5 May 2010. It was in particular underlined that new Member States should be involved in the project.

The main scope of the project would be to develop a coordinated network to provide assistance to the Commission, the Member States and stakeholders regarding:

- Assessing animal welfare standards on the basis of the latest scientific knowledge;
- Coordinating an EU system for testing new techniques;
- Training and education.

Emphasis should be given to the implementation of the current EU legislation, in particular in the context of future animal based indicators.

The execution of the grant agreement is on-going.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	04/07/2012
Publication of call for proposals	27/03/2012
Closing date of call for proposals	22/06/2012
Award decision	07/09/2012
Pre-financing payment	20/03/2013

Step	Date
Interim payment	2014
Final payment	2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Implementing Decision 2012/C 197/04 of 4 July 2012 (Annex III).

The call for proposals SANCO/2012/10293 was published on the Europa website (http://ec.europa.eu/food/animal/welfare/financing/index_en.htm).

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Not at this stage.

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary	EUR (maximum)
EU WelNet	Network of institutions with recognised knowledge on animal welfare, led by the Department of Animal Environment and Health, Section Ethology and Animal Welfare, Swedish University of Agricultural Sciences	919 286,00

5.5 Method of implementation (tenders, grants, other) and evaluations

Call for proposals.

5.6 Types of beneficiaries

Public bodies or non profit organisations working in the field of education, technical assistance or scientific research.

Heading 3 - Security and Citizenship

1. Heading

02 02 77 13 : Pilot project — Development of the European ‘Creative Districts’

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	954 973,39	286 492,02	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %
Reserve							0,0 %	0,0 %
Total	954 973,39	286 492,02	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2012 budget.

Objectives followed during the 2012 implementation:

A Call for Proposals on “European Creative Districts” was launched on 23 February 2012 to select and award two pilot projects.

The call was implemented through a two-stage procedure: in a first stage (deadline 4 May 2012), interested regional organisations were requested to submit a short "concept note" on their vision to transform traditional industrial regions through a more strategic use of their creative potential. 44 concept notes were received. The 5 highest scoring concept notes were then invited to submit a full proposal by 28 September 2012 setting out their “large scale demonstrator” approach. Two pilot projects were selected and grant agreements were signed in December.

Intentions for the current year 2013:

The two pilot projects started their implementation activities on 7 January 2013 and will run for 30 months.

To assess the overall success of the “European Creative Districts”, key indicators are the additional public and private funds mobilised and the number of companies benefitting from the activities being implemented.

The “European Creative Districts” are being implemented in close coordination with the European Creative Industries Alliance and the European Service Innovation Centre and its related model demonstrator regions. These initiatives shall be instrumental in disseminating and sharing, as widely as possible, the experience of the Creative Districts.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	25/01/2012
Publication of call for proposals	23/02/2012
Closing date of call for proposals	Stage 1: 04/05/2012 Stage 2: 28/09/2012

Step	Date
Signature of grant agreements	17/12/2012
Pre-financing payment	18/12/2012
1st interim report followed by interim payment	January 2014
2nd interim report followed by interim payment	November 2014
End of projects	06 July 2015
Final report followed by payment of balance	November 2015

Justification of the level of appropriations requested under the 2014 Draft Budget : the appropriations are necessary for the two interim payments foreseen in 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decision C(2012)208 of 25 January 2012

The call for proposals was published on the Europa Website:

http://ec.europa.eu/enterprise/newsroom/cf/itemdetail.cfm?item_id=5782&lang=en

An information day was organised on 12 April 2012.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
European Creative Districts	Service Public de la Wallonie, BE & Agenzia di Promozione Economica della Toscana, IT	954.973,39

5.5 Method of implementation (tenders, grants, other) and evaluations

Call for proposals for grants.

5.6 Types of beneficiaries

Cf. section 5.4 above.

1. Heading

02 02 77 14 : Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 521 651,60	704 160,87	-	750 000	p.m.	500 000	0,0 %	-33,3 %
Reserve							0,0 %	0,0 %
Total	1 521 651,60	704 160,87	-	750 000	p.m.	500 000	0,0 %	-33,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for 2 successive years under the 2011 and 2012 budget.

Objectives followed during the 2011 implementation:

The specific objective of the call for proposals is to support actions consisting in the organisation of seminars throughout Europe, e.g. via the networks of chambers of commerce and industry or other non-profit facilities engaged in business promotion.

The seminars should provide SMEs with information on credit management, claims management and the available legal instruments, especially for commercial transactions across borders within the EU, i.e. the sales of products and services to businesses and public authorities established in other Member States.

The target audience of the seminars should be SMEs engaging, or consider engaging, in commercial transactions with businesses and public authorities established in other Member States.

These seminars should take place in the Member States in their official language(s), on one or more of the following subjects:

- A) Credit management in practice for cross-border transactions within the EU;
- B) Legal action for the enforcement of outstanding claims in intra-EU trade.

Intentions for the current year 2012:

The general objective of the project is to support small and medium-sized enterprises (SMEs) operating across borders in debt recovery in order to improve their competitiveness. SMEs will be helped to optimise their business procedures by improving access to information and dissemination in the area of claims management instruments and options. For 2012 it is intended to:

- prepare multilingual and practice-based guidelines to introduce the subject and methodology of credit and claims management and explain the application of existing legal instruments to the national and cross-border enforcement of claims;

- prepare multilingual teaching modules which can be integrated in advanced vocational training and advanced training of young entrepreneurs.

Forecasts for 2013:

The Commission intends to have the guidelines finalised, translated in the EU official languages and published on Europa. Paper copies should also be disseminated in the seminars organised in 2013-2014 – It is intended to organise 17 seminars in 2013 and the last 11 seminars in 2014.

The teaching modules will be finalised, translated in the EU official languages and published on Europa.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Financing decision	14/06/2011
Publication of the call for proposals	26/07/2011
Closing date for the call	30/09/2011
Evaluation of projects	October 2011 – January 2012
Signature of the grant agreement(s)	February – March 2012
Duration of the projects	6 months with a possible extension by 3 months

- 2012 appropriations

Step	Date
Adoption of financing decision	02/05/2012
(1) Preparation of the guidelines	
Publication of call	01/08/2012
Closing date of call	14/09/2012
Award decision	13/11/2012
Pre-financing payment	01/ 2013
1st interim payment	02/2013
(2) Organisation of events throughout Europe	
Publication of call	09/07/2012
Closing date of call	31/08/2012
Award decision	22/11/2012
Pre-financing payment	12/2012
1st interim payment	09/2013
(3) Preparation of multilingual teaching modules	
Publication of call	01/08/2012
Closing date of call	05/10/2012
Award decision	13/11/2012
Interim payment	04/2013
Final payment	09/2013

Payment appropriations requested under the 2014 Draft Budget will serve to cover the payment of RAL (interim and final payments for pre-2013 commitments). The payments are foreseen in February and June 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For 2011 : Commission Decision C(2011)4125 of 14 June 2011 (financing decision).

For 2012 : Commission Decision C(2012)2876 of 2 May 2012 (financing decision).

The guidelines are available on the Europa website: <http://ec.europa.eu/enterprise/policies/sme/business-environment/cross-border-enforcement/>

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Under the 2011 call for proposals (Seminars on cross border enforcement of outstanding claims by SMEs), 13 projects were selected for a total EC contribution of EUR 726 174.19.

2012 appropriations:

Title / Action	Beneficiary / contractor	EUR (maximum)
(1) Preparation of the guidelines	Bundesverbandes Deutscher Inkasso-Unternehmen e.V. (BDIU) – Germany	172.000
(2) Organisation of events throughout Europe	Instituto de Formación Integral S.L.U. – Spain	1.139.741,60
(3) Preparation of multilingual teaching modules	MOSTRA S.A. – Belgium	209.910

5.5 Method of implementation (tenders, grants, other) and evaluations

2011: Call for proposals.

2012: Procurement procedures (calls for tender and / or use of existing framework contracts).

5.6 Types of beneficiaries

2011: Applicants must correspond to the definition, of the following target organisations: non-profit organisations representing SME at regional, national or European level, or Chambers of Commerce and similar non-profit entities.

2012: SMEs and SME stakeholders (for guidelines and events), students and young entrepreneurs (for teaching modules).

1. Heading

09 02 77 02 : Pilot project — Implementation of the Media Pluralism Monitoring Tool

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	-	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	-	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

The tool was developed through an independent study at the request of the European Commission: "Indicators for Media Pluralism in the Member States - Towards a Risk-Based Approach". It is a monitoring tool for assessing risks for media pluralism in the EU Member States and identifying threats to such pluralism based on a set of indicators, covering pertinent legal, economic and socio-cultural considerations, to be applied by an independent entity.

The specific objective of this action is to apply the indicators in practice for obtaining a broad understanding of the risks to media pluralism in the EU Member States. The additional objective of this action is to further study the relevance of these indicators in the light of the growing importance of the internet and to update them if needed.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	End June – beginning July 2013
Award decision	July 2013
Pre-financing payment	July 2013
interim payment	January 2014
Final Payment	July 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision expected to be adopted by end June 2013 will set out the details of the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- **At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

5.4 Type of activities financed, number and amounts

One grant for a maximum amount of EUR 500 000, to be awarded in accordance with the provisions of article provided the conditions set out in article 190(1) (f) of the Rules of Application to the Financial regulation.

5.5 Method of implementation (tenders, grants, other) and evaluations

A grant would be awarded to the European University Institute, Florence. A grant would be awarded to the European University Institute, Florence. By the end of the study work, the European Commission will assess the report on the status of media pluralism in different Member States resulting from the application of indicators on which the Media Pluralism Monitoring tool is based, as well as a paper on the need to update the indicators with concrete suggestions if applicable.

5.6 Types of beneficiaries

European University Institute (unique academic European international organisation with proven expertise in the field of media regulation, law and economic research).

1. Heading

09 02 77 03 : Pilot project — European Centre for Press and Media Freedom

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	-	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	-	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget (under article 33 02 10).

The main objective is the setup of the European Centre for Press and Media Freedom. The Centre will follow on from the EU Charter of Fundamental Rights and European Charter for Freedom of the Press by acting as a European-level 'drop-in centre' for journalistic organisations or individuals and media actors alleging violations of those Charters. Further activities involve the monitoring and documentation of any such violations. Projects will also act as an alarm centre for acute cases, for instance by organising support for journalists who need help. The territorial scope covered will be the EU28 and the Candidate countries. Also, projects may consider providing help to journalists from other countries within geographical Europe.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations:

Step	Date
Adoption of financing decision	End June – beginning July 2013
Publication of the call for proposals	July 2013
Closing date of call for proposals	August 2013
Award decision	October 2013
Pre-financing payment	December 2013
interim payment	June 2014
Final Payment	December 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision expected to be adopted in June 2013 will set out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

It is expected to award two to three grants for a maximum total amount of EUR 1 000 000. As 2013 is the first year of implementation of the pilot project, the details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

A call for proposals will be launched in June 2013. The European Commission expects the beneficiaries to deliver reports on the creation of a database on threats to journalistic freedom. Also, the European Commission expects that the beneficiaries will report on different actions on the promotion of journalistic freedom among different stakeholders, assistance provided to journalists or actions undertaken to organise a system of for example legal help for journalists.

5.6 Types of beneficiaries

Any economic operator established in the EU Member States.

1. Heading

15 04 77 01 : Pilot project — Economy of cultural diversity

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	696 320,00	0,00	250 000	350 000	p.m.	139 264	-100,0 %	-60,2 %
Reserve							0,0 %	0,0 %
Total	696 320,00	0,00	250 000	350 000	p.m.	139 264	-100,0 %	-60,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for 2 years under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

The overall objective of the pilot project is to create an "open laboratory" to test innovative approaches to deal with content for innovation and digital sharing and distribution as a way to explore new business models respecting diversity in the production and distribution chain.

This pilot project will aim at highlighting a number of ideas making an innovative use of ICT based technologies to finance, produce, make available, and disseminate and/or monetise cultural contents. In doing so, it will contribute to facilitate access to culture (including cultural heritage) and culture literacy via on-line applications and promote cultural diversity in the digital environment.

Intentions for the current year 2013:

The implementation of concrete activities under this pilot project was launched in January 2013 by the contractor and will continue throughout the year. In order to achieve the objectives mentioned above a call for ideas will be launched in May 2013. Later on, the submitted ideas will be assessed by a jury. An award ceremony is planned for November 2013 in Brussels to give high visibility to the action. The coaching and online training phase for the selected ideas will start in November 2013 and end in April 2014.

A call for tender was launched in 2012 for the total budget allocated to this Pilot Project under the 2012 budget (EUR 750,000). This award procedure does not allow making additional commitments in 2013.

Furthermore, the tender will cover the promotion of innovative ideas to potential users and developers. The result of this process will provide guidance on the support to innovation in the cultural and creative sectors as from 2014, inter alia through the creative Europe programme.

In these circumstances, the additional EUR 250.000 commitment appropriations proposed for 2013 are not needed.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	17/04/2012
Publication of call for tender	13/06/2012
Closing date of call for tender	10/08/2012
Award decision	30/10/2012
Pre-financing payment	N/A
Interim payment	October 2013 and June 2014
Final payment	February 2015

A call for tender was launched in 2012 for the total budget allocated to this Pilot Project under the 2012 budget (EUR 750,000). This award procedure does not allow making additional commitments in 2013.

Furthermore, the tender will cover the promotion of innovative ideas to potential users and developers. The result of this process will provide guidance on the support to innovation in the cultural and creative sectors as from 2014, inter alia through the creative Europe programme.

In these circumstances, the additional EUR 250.000 commitment appropriations proposed for 2013 are not needed (as already stated in the First interim report of 28 February 2013).

Payment appropriations are requested under the 2014 Draft budget to cover the interim payment of 20% in accordance with the payment schedule of the contract.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission implementing decision C (2012)2421 of 17 April 2012 on the adoption of the 2012 work programmes on the implementation of the pilot project on the economy of cultural diversity and of the preparatory action on culture in external relations.

Call for tender EAC/10/2012 : http://ec.europa.eu/culture/calls-for-proposals/open-call-eac-10-2012_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Call for tender Pilot project on the economy of cultural diversity	Consortium led by Peaceful Fish Productions Ltd	EUR 696,320

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by way of an open call for tender.

5.6 Types of beneficiaries

Public and private entities (Limited company (consortium leader) and non-governmental organisations).

1. Heading

15 04 77 04 : Pilot project — A European Platform for Festivals

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	200 000	-100,0 %	-60,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	200 000	-100,0 %	-60,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project the first year under the 2013 budget.

Intentions for the current year 2013:

First and foremost, the pilot project shall contribute to the objectives of the EU in the field of culture as stated in the Treaty. This pilot project also seeks to cover wider objectives and policies of the EU.

In particular, the pilot project seeks to generate and deliver an added value to the activities carried out by festivals in Europe which contribute to the Europe 2020 strategy to smart, sustainable and inclusive growth thanks to their spill-over capacity in the cultural and creative sectors, in the field of tourism, regional development, etc. It will capitalise on the potential of festivals in order to maximise their contribution to various EU policies, including for instance innovation, social inclusion, education and intercultural dialogue.

Last, by promoting the visibility of Europe's unique values, the European Platform for festivals seeks to increase the European dimension of festivals and through them, to reach a large number of citizens across Europe.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	26 March 2013
Publication of call for proposals	26 April 2013
Closing date of call for proposals	26 June 2013
Award decision	October 2013
Pre-financing payment	November 2013
Second pre-financing payments	2014-2015
Final payment	2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Decision C(2013)1707 of 26 March 2013 on the adoption of the 2013 annual work programme for a pilot project for a European Platform for festivals.

Call for proposals EAC/S07/2013: http://ec.europa.eu/culture/calls-for-proposals/call-eac-s07-2013-pilot-project_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The results of the pilot project will be drawn on with a view to the implementation of the future Creative Europe Programme (COM(2011)785/2).

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the pilot project, such details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The type of grant to be awarded for this pilot project is a grant for action. A call for proposals was launched in April 2013 in order to select the project that will be supported.

5.6 Types of beneficiaries

The potential beneficiary of the pilot project shall be an organisation having a significant capacity or experience in representing a network of arts and cultural festivals across a large European geographical scale. The organisation shall also be capable of designing and implementing a programme of activities seeking to effectively build on the potential of festivals in the cultural, social and economic life of Europe.

Applicants must:

- Be a public or private organisation with legal personality, whose principal activity is in the field of cultural festivals; natural persons are not eligible to apply for a grant under this call;
- Have their registered legal office in one of the 28 Member States (subject to Croatia's accession to the EU in July 2013).

1. Heading

16 02 77 01 : Pilot project — New narrative on Europe

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

This pilot project intends to produce a new narrative on Europe by bringing together certain central figures from the cultural and creative sectors as well as other opinion makers. The main output of the initiative should be a manifesto to be formulated by autumn 2013 in accordance with the commentary to the budget remark adopted by the budgetary authority for this budget line (16 05 01 03 under the 2013 budget nomenclature).

The project needs to be managed to ensure that the manifesto fulfils the requirements set out in the budgetary commentary and is produced on due time. The aims of the project are:

- To produce a new narrative on Europe founded on the narrative of peace through economic and political integration;
- To portray the role for Europe in the context of the new global order;
- To contribute to bringing Europe closer to its citizens and to reviving the European spirit;
- To demonstrate to citizens the value added of the European Union for them; and
- To identify the common cultural values uniting EU's citizens across borders.

The debate on deepening the European Union into a political union needs to be nurtured by politicians, the intellectual and cultural world, business leaders and other opinion formers as well as citizens themselves. The European Year of Citizens 2013 will also contribute to involving citizens and fostering support for the deepening of political union. This pilot project shall primarily contribute to raising the interest of the creative sector and to inciting European opinion formers to make their voice heard.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	25/01/2013
Signature of specific contracts for logistical tasks under a framework contract	22/02/2013 2/04/2013 5/04/2013 circa 1/06/2013 circa 15/07/2013 circa 15/10/2013
Planned event dates	23/04/2013 11/07/2013 circa 8/10/2013 circa 15/01/2014
Payment schedule of contractor	30% at the signature of the specific contract 70% after the end of the specific contract

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2013)289 of 25 January 2013 sets out the main elements for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation, these details are not yet known.

The appropriation is intended to cover the following actions:

- The setting of a "cultural committee" to assist the Commission in the implementation of the project, the preparation of the content for the meetings, and the drafting of the manifesto;
- The logistical organisation of three events: two with the view to discussing and exchanging views during the elaboration of the manifesto and a final event to formally adopt and publicise the manifesto.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by using existing framework contracts on the field of communication. Indeed, the project will require creating a cultural committee to assist the Commission as well as the organization of a launch event and three major events to discuss during the preparation and publicize, once it is adopted, the final manifesto resulting from the work of the committee.

5.6 Types of beneficiaries

External contractor – services company

1. Heading

17 03 77 08 : Pilot project — European Prevalence Protocol for early detection of Autistic Spectrum Disorders in Europe

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 300 000	650 000	p.m.	390 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		1 300 000	650 000	p.m.	390 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

The objectives of this project should focus on the major issue surrounding Autistic Spectrum Disorders (ASD) in Europe, namely early detection of high functioning ASD and developments of means to support such people. The strategic objective is the improvement of the quality of life, social and vocational reintegration of individuals affected by ASD and avoidance of social disintegration and early retirement.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	1st semester 2013
Publication of call for tender	Third quarter 2013
Closing date of call for tender	2nd semester 2013
Award decision	2nd semester 2013
Pre-financing payment	2014
Interim payment	2015
Final payment	2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision / work programme currently in preparation will set out the main details for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No, although several projects have been financed on autism under the 'Public Health' programme (for example project 2005 – 2005112 EAIS - European Autism Information System, and more recently a conference Conference - 2009 – 20094302 EAAC European Autism Action 2020: Working Conference on a European Strategic Plan for Autism, Dublin, 2010 European Autism Action 2).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The objectives currently covered by the pilot project will, from 2014 onwards, fall within the scope of the 'Health for Growth' programme (COM(2011) 709 final) proposed by the Commission under the 2014-2020 MFF. While action could be funded under the 'Health for Growth' Programme, actions in this specific area are currently not foreseen in 2014 or later on.

5.4 Type of activities financed, number and amounts

As 2013 is the first year of the pilot project these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot study will be implemented by a call for tender after the adoption of the financing decision. It is foreseen that the activities of the contract will run for 2 years.

5.6 Types of beneficiaries

Governmental and non-governmental organisations and experts in the field of autism.

1. Heading

17 03 77 09 : Pilot project — Promotion of Self Care Systems in the European Union

Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %

2. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

3. Legal basis

Without legal basis - Pilot project

4. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

The objectives of the project are to put in place a framework for action to enhance self-care at EU level and to develop strategies to support the broader implementation of effective self-care.

These objectives shall be achieved by:

1) undertaking an independent cost/benefit analysis of self-care in the European Union and the current frameworks in place to enhance self-care and patients' empowerment. This analysis should, among other things, contain an identification of advantages and barriers of patient empowerment uptake, identification of the level of resources in health care systems which could be effectively managed by self-care and identification of best practices between Member States.

2) The creation of a platform of experts in self-care and healthcare gathering cross-functional stakeholders. The platform should have clear objectives, deliverables and timeline. The platform should, among other things, identify the gaps between available data and the information which hinders present understanding of self-care, presentation of a guideline on how to promote self-care, proposal of future EU collaboration on self-care.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	1st semester 2013
Publication of call for tender	Third quarter 2013
Closing date of call for tender	2nd semester 2013
Award decision	2nd semester 2013
Pre-financing payment	2014

Step	Date
Interim payment	2015
Final payment	2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision / work programme currently in preparation will set out the main details for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of the pilot project these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by an open call for tender for the study and creation of the platform.

5.6 Types of beneficiaries

Consortia, academics, consultancies etc. within the public health sphere are the expected beneficiaries of the tender.

1. Heading

17 03 77 10 : Pilot project — Gender specific mechanisms in coronary artery disease in Europe

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

The objectives of the project are the following:

- to increase medical and public awareness about gender differences in coronary artery disease (CAD) regarding clinical manifestations and incidence;
- to establish guidelines on gender specific manifestations of CAD and treatments;
- to examine gender implications on health determinants and risk factors;
- to disseminate the best practice plan in Member States.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	1st semester 2013
Publication of call for tender	Third quarter 2013
Closing date of call for tender	2nd semester 2013
Award decision	2nd semester 2013
Pre-financing payment	2014
Interim payment	2015
Final payment	2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision / work programme currently in preparation will set out the main details for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No. However Under the Health programme 2003-2007 and 2008-2013 several projects in the area of cardiovascular diseases and projects with a gender approach have been financed.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The objectives currently covered by the pilot project will, from 2014 onwards, fall within the scope of the 'Health for Growth' programme (COM(2011) 709 final) proposed by the Commission under the 2014-2020 MFF. While action could be funded under the Health for Growth' Programme, actions in this specific area are currently not foreseen in 2014 or later on.

5.4 Type of activities financed, number and amounts

As 2013 is the first year of the pilot project these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot study will then be implemented by a call for tender. It is foreseen that the contract shall run 3 years

5.6 Types of beneficiaries

Governmental and Non-governmental organisations, and experts in the field of cardiovascular diseases or on gender aspect in public health.

1. Heading

18 03 77 05 : Pilot project — Funding for victims of torture

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	312 404,75	2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	312 404,75	2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2012 and 2013 budgets. A similar pilot project was supported under the 2011 budget under heading 4 (item 21 04 77 03) for actions in favour of victims of torture in third countries.

Objectives followed during the 2012 implementation:

The main objective of this pilot project is to create a new line of funding for the final benefit of victims of torture (EU or non-EU citizens) present in the Union. The pilot project will cover the following actions:

- to create rehabilitation centres for victims of torture or to support the existing ones by providing funds for their rehabilitation costs (premises, therapeutical staff, administrative staff, etc.) and their other activities (prevention, advocacy, training, legal support, etc.),
- to provide access to multidisciplinary support and counselling, including physical and psychotherapeutic treatment, psycho-social counselling, legal services, and socio-economic support to the victims,
- to support international networking between rehabilitation in order to build capacities, support the exchange of good practices among specialists, allow peer supervision as a means of prevention of vicarious trauma, etc.,
- to support activities which aim to empower torture victims themselves and, in general, to support the ability of torture victims to integrate into society in European countries

Intentions for the current year 2013:

Continuation of the 2012 objectives.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	29/05/2012
Publication of call for proposals	11/07/2012

Step	Date
Closing date of call for proposals	18/09/2012
Award decision	06/11/2012
Pre-financing payment	As from December 2012
Interim payment	N/A
Final payment	End 2014-mid 2015

- 2013 appropriations

Step	Date
Adoption of financing decision	Second Quarter 2013
Publication of call for proposals	Second Quarter 2013
Closing date of call for proposals	Third Quarter 2013
Award decision	Third Quarter 2013
Pre-financing payment	Fourth Quarter 2013/ beginning 2014
Interim payment	N/A
Final payment	2015/2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2012 annual work programme (C(2012)3391) of 29 May 2012 sets out the main elements for the implementation of the pilot project.

The financing decision and the call for proposals published on 11 July 2012 are available on the Europa website at the following address: http://ec.europa.eu/dgs/home-affairs/financing/fundings/migration-asylum-borders/other-programmes/pilot-project-victims-torture/index_en.htm

The 2013 annual work programme / financing decision of the pilot project is under preparation.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

- 2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
SCHUTZ UND GESUNDHEIT FÜR FOLTERÜBERLEBE	IFF-REFUGIO	308.844,53
REHABILITATION UND EINTRETEN FÜR DIE REC	MFH BOCHUM	249.797,80
CASEWORK COUNSELLING PARTNERSHIP PROJECT	MEDICAL FOUNDATION	197.565,99
PROMETHEUS : IDENTIFICATION AND REHABILI	ΕΛΛΗΝΙΚΟ ΣΥΜΒΟΥΛΙΟ	336.847,25
TIDLIG INDSATS FOR TORTUROVERLEVERE - TV	DANSK RØDE KORS	335.921,0

Title / Action	Beneficiary / contractor	EUR (maximum)
INTERDISCIPLINARY CARE AND ASSISTANCE	FUNDATIA ICAR	182.945,00
PROGRAMME D'ACCOMPAGNEMENT JURIDIQUE	EXIL	311.305,50

- 2013 appropriations

The available budget amounts to 2.000.000 EUR in 2013. The available budget should allow 5 to 11 projects to be co-financed depending on the individual grant amounts.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented through grants awarded pursuant to an open call for proposals.

5.6 Types of beneficiaries

The applicant and its partners must be national, regional and local authorities, non-governmental organisations (NGOs), public or private bodies, university departments, research centres or international organisations.

1. Heading

18 03 77 07 : Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

The aim of this pilot project is to identify good practices on prevention, reception, protection and integration policies for unaccompanied minors. The pilot project should contribute to the implementation of the 2010 Commission action plan on unaccompanied minors (2010-2014) and actions specified thereof:

- identifying prevention actions and policies vis-à-vis the group to which the unaccompanied minors belong (e.g., asylum seekers, victims of trafficking, children who enter illegally into the Union) and/or the country or region of origin,
- identifying best practices on child reception and protection, and in particular on issues such as procedures at first encounter, legal representation, appointment of a guardian, access to and adequacy of reception facilities, initial interviews, education and medical care,
- identifying good practices in specific measures and procedural guarantees for unaccompanied minors in the Union,
- evaluating the various experiences of combating the phenomenon of missing children and identifying best practices,
- identifying best practices on restoring family unity and reintegration of unaccompanied minors in their countries of origin.

Intentions for the current year 2013:

Continuation of 2012 objectives.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

- 2012 appropriations

Step	Date
Adoption of financing decision	08/10/2012
Publication of call for proposals	30/10/2012
Closing date of call for proposals	23/01/2013
Award decision	Second quarter 2013
Pre-financing payment	Third quarter 2013
Interim payment	N/A
Final payment	2015/2016

- 2013 appropriations

Step	Date
Adoption of financing decision	Second quarter 2013
Publication of call for proposals	Second quarter 2013
Closing date of call for proposals	Second quarter 2013
Award decision	Third quarter 2013
Pre-financing payment	Fourth quarter 2013 – beginning 2014
Interim payment	N/A
Final payment	2015/2016

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2012 Annual Work Programme as adopted on 08/10/2012 (C(2012)6978).

The work programme and call for proposals are published on the Europa website at the following address :http://ec.europa.eu/dgs/home-affairs/financing/fundings/migration-asylum-borders/other-programmes/pilot-project-unaccompanied-minors/index_en.htm

The 2013 annual work programme of the pilot project is under preparation.

- Do similar activities exist, which are already covered by a legal basis?

The following similar activities were financed under the European Refugees Fund - Community Actions:

HOME/2009/ERFX/CA/1007 – European Network of Guardianship Institutions: Guardianship in practice (Co-ordinator - Stichting Nidos Foundation)

HOME/2009/ERFX/CA/1011 – Best practices for a coordinated approach to assist unaccompanied minors asylum seekers and former UAMS in EU MS (Co-ordinator - IOM)

HOME/2010/ERFX/CA/1699 – Improving the quality of Unaccompanied Minor Asylum Seekers' Guardianship and Care in Central European Countries (Co-ordinator – IOM)

HOME/2010/ERFX/CA/1718 – Unaccompanied and separated children "on the move" in need of international protection: Addressing protection needs through reception, counselling and referral, and enhancing co-operation between concerned European Union Member States (Co-ordinator – UNHCR).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The proposed Asylum and Migration Fund (COM/2011/0751 final) could cover such measures in the future (see in particular s Articles 2 (d), 9 (2), 11 (f), 12 (e), Annex II (7) of the Commission proposal.

5.4 Type of activities financed, number and amounts

As the call for proposals under the 2012 commitment appropriations is ongoing, such details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by the Commission through awarded grants pursuant to open call for proposals.

5.6 Types of beneficiaries

The applicant and its partners must be national, regional and local authorities, non-governmental organisations (NGOs), public or private bodies, university departments, research centres or international organisations.

1. Heading

33 02 77 04 : Pilot project — Europe-wide methodology for developing evidence based policies for children's rights

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	329 816,24	p.m.	p.m.	p.m.	637 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	329 816,24	p.m.	p.m.	p.m.	637 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2011 budget.

Objectives followed during the 2011 and 2012 implementation:

At Union level, there are major deficiencies and obstacles with regard to the collection of reliable and comparable statistics on children. A methodology therefore needs to be developed for devising indicators relating specifically to children.

The objectives of the pilot project are:

- collection of statistics and best practices relating to children;
- analysing Member States' current legislation on safeguarding children's rights,
- devising indicators (quantitative and qualitative) and benchmarks so as to enhance the comparability, objectivity and reliability of child-related data at European level
- involving and consulting children.

In 2011, the financing decision of the pilot project was adopted. In 2012, three contracts were awarded for three separate studies. Two meetings of the steering group for the study on children's involvement in justice were convened (September and November 2012).

Intentions for the current year 2013:

The results of the study on missing children will be available in July 2013.

The results of the evaluation study on child participation will be available end November 2013.

The final results of the study on children's involvement in justice will be available by 1 September 2014, with the results for criminal justice available in summer 2013.

5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014*

2011 appropriations

Step	Date
Adoption of financing decision	22/06/2011
Missing children study:	
Publication of contract notice	21/03/2012 2012/S 56-090538
Closing date of call for proposals / call for tender	30/04/2012
Award decision	18/06/2012 2012/S 136-226065
Pre-financing payment	September 2012
Children's involvement in justice study:	
Publication of contract notice	26/04/2012 2012/S 81-131975
Closing date of call for proposals / call for tender	08/06/2012
Award decision	20/07/2012 2012/S 179-2937695
Pre-financing payment	October 2012
Children's involvement in justice study – meetings provided for in the terms of reference Steering group meetings	September 2012, November 2012, January 2013, March 2013, etc. (every two months...)
Children's involvement in justice study – meetings provided for in the terms of reference Round table meetings with Member State authorities	01/02/2013, +/-October 2013, +/-April 2014
Evaluation of legislation, policy and practice on child participation in the EU and Croatia	
Request for service 2012/04 issued	23/07/2012
Closing date of call for offers	14/09/2012
Contract signature	07/12/2012
Pre-financing payment	December 2012
Final payments on contracts	2014

5.3 *Documentation and expected results*

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2011) 4236 of 22/06/2011 sets out the details for the implementation of the pilot project. The table under section 5.2 above contains all references to calls for tenders published and award decisions.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Contractor	EUR (maximum)
Study on missing children: mapping, data collection and statistics on missing children in the EU	ECORYS	317.320,00
Study to collect data on children's involvement in judicial proceedings in the EU and Croatia	GHK MILIEU	1.184.475,00
Meeting	AMEX	8.114,06
Meeting	AMEX	10.358,39
Evaluation of legislation, policy and practice of child participation in the EU and Croatia	ECORYS	475.850,00

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by way of procurement procedures: two open calls for tenders and one use of a framework contract.

5.6 Types of beneficiaries

Consultancy companies for execution of the studies.

Reimbursement of travel and accommodation expenses for MS and other experts (steering group, round tables).

1. Heading

33 02 77 06 : Pilot project — Development of indicators to measure the implementation of the European Charter for Equality of Women and Men in Local Life

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	425 000	-100,0 %	-15,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	425 000	-100,0 %	-15,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

The goal of this pilot project adopted in 2013 is to create the relevant indicators for monitoring the European Charter for Equality of Women and Men in Local Life, which was drawn up in 2006 and has been promoted by the Council of European Municipalities and Regions (CEMR). This Pilot Project aims at creating such relevant indicators (specifically and clearly measurable, thorough and comprehensive, realistic and modifiable) that allow the current state of implementation to be benchmarked against the objectives of the Charter. It should finance actions geared towards the development of such indicators.

In 2013, it is intended to adopt the financing decision, to publish the calls for tenders and to award the contracts.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	6 June 2013
Publication of call for tender	Third quarter 2013
Closing date of call for tender	Third quarter 2013
Award decision	Fourth quarter 2013
Pre-financing payment	Fourth quarter 2013
Interim payment	2014, if applicable
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2013)3183 of 6 June 2013 sets out the detailed framework for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the pilot project, these details are not yet available.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by way of procurement (calls for tender).

5.6 Types of beneficiaries

The final beneficiaries of this project will be mainly EU local authorities. The indicators developed will help local authorities that have signed the European charter for equality of men and women in local life to monitor and measure the implementation of the charter. This project will also advertise this charter and propose a solid support to non-signatory local authorities that may encourage them to go one step further in favour of gender equality by signing this charter.

1. Heading

33 02 77 07 : Pilot project — European Union Real Time Sign Language Application and Service

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		750 000	375 000	p.m.	319 000	-100,0 %	-14,9 %
Reserve						0,0 %	0,0 %
Total		750 000	375 000	p.m.	319 000	-100,0 %	-14,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

The specific goal of this project is to develop a concept for a technological platform and a related service for real time sign language application and service. The platform should be able to handle voice, video and Real time text in a simultaneous and coordinated manner in order to provide seamless interfacing. The pilot project will determine the parameters of solutions that are technically, financially and operationally feasible, covering the IT application (infrastructure and software service) and the sign language services (interpreters).

In 2013, it is intended to adopt the financing decision, to publish the calls for tenders and to award the contracts.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	6 June 2013
Publication of call for tender	19 June 2013
Closing date of call for tender	20 August 2013
Award decision	Fourth quarter 2013
Pre-financing payment	Fourth quarter 2013
Interim payment	2014, if applicable
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2013)3183 of 6 June 2013 sets out the detailed framework for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the pilot project, these details are not yet available.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by way of procurement (calls for tender).

5.6 Types of beneficiaries

The technological platform and the related service to be developed by this project will be available for use by any deaf or hard of hearing citizen within the European Union to assist them with real-time live sign language interpretation by a certified sign language interpreter or/and a real-time captioning service in order to enable independent communication with the institutions of the European Union.

The platform shall be designed for use by the European Commission and the European Parliament, but the concept may later be adopted by member States for use within their own public institutions.

1. Heading

33 03 77 02 : Pilot project — European judicial training

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	p.m.	750 000	p.m.	492 000	0,0 %	-34,4 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	p.m.	750 000	p.m.	492 000	0,0 %	-34,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2012 budget.

Objectives followed during the 2012 implementation:

The main objective of this pilot project is to fulfil the goal of building a European judicial culture, in particular through the following:

- Identification of best practices to bring training in national legal systems and traditions as well as in European Union law and judicial cooperation procedures to judges and prosecutors at local level and to promote the dialogue and coordination between EU judges and prosecutors;
- Identification of best practices to bring training in national legal systems and traditions as well as in European Union law and judicial cooperation procedures to lawyers;
- Identification of best practices to bring training in national legal systems and traditions as well as in European Union law and judicial cooperation procedures to court staff;
- Improvement of the cooperation between the European Judicial Training Network and its members with judicial training providers and with the European level professional organisations such as the European Network of the Councils for the Judiciary, the Network of the Presidents of the Supreme Judicial Courts, the Association of the Councils of State and Supreme Administrative Jurisdictions and the Network of the General Prosecutors of the Supreme Judicial Courts of the EU;
- Promotion of exchanges of best practices between legal professions.

The pilot project was adopted in 2012 and a call for tender with four lots was launched. Three of these lots have been awarded in 2012. No acceptable tender was submitted for the fourth lot and the procedure was therefore closed without award for this lot.

Intentions for the current year 2013:

Implementation of contracts awarded: the results of the studies should be received in 2014.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

2012 appropriations

Step	Date
Adoption of financing decision	16/04/2012
Publication of call for tender	12/07/2012
Closing date of call for tender	28/09/2012
Award decision	11/12/2012 (three lots)
Pre-financing payment	January 2013 (three lots)
Interim payment	August 2013 (three lots)
Final payments	2014

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2012) 2331 dated 16/4/2012 sets out the main elements of the pilot project.

The terms of reference for the call for tender (JUST/2012/JUTR/PR/0064/A4) issued in 2012 contain more detailed information about its implementation.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, but the results are designed to complement and provide further impetus to judicial training.

5.4 **Type of activities financed, number and amounts**

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Study on best practices in training of judges and prosecutors (Lot 1)	European judicial training network (EJTN)	432.523,91
Study on the state of play of lawyers training in EU law (Lot 2)	European Institute of Public Administration (EIPA)	496.097,50
Study on the state of play of court staff training in EU law and promotion of cooperation between court staff training providers at EU level (Lot 3)	European Institute of Public Administration (EIPA)	399.654,00

5.5 **Method of implementation (tenders, grants, other) and evaluations**

The pilot project was implemented by procurement procedures (call for tender).

5.6 **Types of beneficiaries**

Judicial training organisations.

1. Heading

33 03 77 03 : Pilot project — Information instrument for bi-national couples

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	425 000	-100,0 %	-15,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	425 000	-100,0 %	-15,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2013 budget.

Intentions for the current year 2013:

The aim of this pilot project adopted in 2013 is to raise awareness among bi-national couples on parental responsibilities and the rights of children in cases of separation or divorce of parents.

Awareness raising activities and tools to be developed aim to highlight the differences between the legal systems of Member States in regard to the consequences of separation and/or divorce, rules on the custody of minors under international conventions and the consequences of international child abduction, especial as concerns the impact on the children involved.

In 2013, it is intended to adopt the financing decision, to publish the call for tenders and to award the contracts.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations – indicative calendar

Step	Date
Adoption of financing decision	7 June 2013
Publication of call for tender	Third quarter of 2013
Closing date of call for tender	Third – fourth quarter of 2013
Award decision	Third – fourth quarter of 2013
Pre-financing payment	Fourth quarter of 2013 if applicable
Interim payment	First quarter of 2014 if applicable
Final payment	Third quarter of 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2013)3425 adopted on 7 June 2013 sets out the detailed framework for the implementation of the pilot project.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the pilot project, these details are not yet available.

5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by way of procurement (calls for tender).

5.6 Types of beneficiaries

The action will be implemented via awarding service contract(s) to commercial companies (specialised in awareness raising activities, event organisation and media campaigns) via public procurement.

The direct beneficiaries of the planned activities will be the citizens of the EU and in particular bi-national couples and their children. The activities will also involve legal professionals in particular officials of authorities of the EU at national (central authorities) and local level (civil registrars, municipality officials etc.).

Heading 4 - Global Europe

1. Heading

08 02 77 02 : Pilot project — Recovering critical raw materials through recycling: an opportunity for the EU and African Union

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	-	112 500	-100,0 %	-55,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	-	112 500	-100,0 %	-55,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2013 budget under budget article n° 21 04 07. As the proposed actions fall under the competence of Research activities, it is proposed to change the budget nomenclature and include this action under Title 08.

In October 2012 the European Parliament budget Committee approved among others, a pilot project for: “Recovering critical raw materials through recycling: an opportunity for the European Union and African Union”. The Pilot project is in line with a number of European policy and research initiatives dealing with Waste Recycling in Africa.

Objectives: The Pilot Project is action driven and aims at establishing the basis for a solid cooperation between Europe and Africa on research and innovation in the field of waste management, recycling and recovery of raw materials.

The outcome of the project will be a roadmap of potential joint European-African research and innovation actions to tackle some of the most urgent issues identified under Waste Management and Raw Material recovery. The roadmap will also include a list of best practices on these issues.

The Pilot Project intends to raise the awareness among policy makers on the challenges and opportunities, knowledge transfer and innovation on environmental technologies related to Waste Management.

The Pilot Project touches upon a number of cross-sectorial issues such as International Cooperation, Development, Raw Materials and Waste Management. For this reason, the Commission has established an inter-service Working Group (including EU delegation in Addis Ababa) to implement the Pilot Project.

Intentions for the current year 2013:

The Pilot Project will be implemented via two seminars of 1.5 days. The date for the first event is agreed to be the 24th and 25th of June 2013 in Addis Ababa (Africa). The second event is expected to take place in November 2013 in Brussels.

The expected Participants (70-80) for the first event (“A joint African-European Research & Innovation Agenda on Waste Management”) will come from Industry, Research and Academia and Governmental bodies from Africa and Europe.

The first event is being organized under the DG EAC'S framework contract (existing RTD's framework contract can only be used for events in Europe). The contractor name is Teamwork.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2013 –First Event of the Pilot Project in June

Service contract with Teamwork signed on 26/05/2013

Pre-financing payment (30 %): May 2013

1st Event report in Africa (July) –Final payment (70 %)

- 2013 Second Event of the Pilot Project in November

Service contract (expected) September 2013

Pre-financing payment (30 %): October 2013

2nd Event report in Africa (December) –Final payment (70 %)

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Website will be ready in mid May 2013: www.JointEUAUWasteWorkshop.teamwork.fr

A brochure with the main elements of the Pilot Project is expected for the beginning of June 2013.

The contractor (TeamWork) will send out the invitations and agenda for the workshop in Addis Ababa on 13th May 2013.

- Do similar activities exist, which are already covered by a legal basis?

A conference was organised on 26 January 2012 in the context of the Joint Africa-EU Strategy Action Plan 2011-13.

http://ec.europa.eu/enterprise/policies/raw-materials/international-aspects/africa-conference/index_en.htm

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

The organisation of the first event in Africa will be supported by a service provider TEAMWORK, who will take care for the preparation and logistics of the workshop. For moderation, harvesting and reporting of the participatory workshops, PROSPEX is subcontracted by TEAMWORK.

Participants (mainly those from Africa) will be reimbursed their travel and accommodation expenses.

The appropriations available under the 2013 budget will be used in the following way for the organisation of the first event:

Title / Action	Beneficiary / contractor	EUR (maximum)
Organization/Logistics of the first event of the Pilot Project Africa (Addis Ababa)	TEAMWORK	EUR 158,675
Reimbursement of travel and accommodation expenses	Participants from Africa	EUR 41,325

5.5 Method of implementation (tenders, grants, other) and evaluations

The first event of the pilot project is being implemented with a framework contract.

5.6 Types of beneficiaries

Name of Contractor: TEAMWORK SARL, France. Delegates and stakeholders from African countries.

1. Heading

19 02 77 01 : Pilot project — Programme for NGO-led peacebuilding activities

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 000 000,00	p.m.	p.m.	p.m.	225 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	1 000 000,00	p.m.	p.m.	p.m.	225 000	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2010 and 2011 budgets.

Objectives followed during the 2010, 2011 and 2012 implementation:

The objectives of the pilot project are to enhance the overall capacity-building of relevant non-state actors both in institutional and project-related terms; encouraging non-state actors to improve their networking skills; facilitating the dialogue between non-state actors active in the field of peace-building and EU institutions.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

In its 2010 Executability Letter and its first interim report of February 2011 the Commission indicated that there was no need for a specific pilot project to work with civil society in peace-building as it already undertakes substantial work with non-governmental organisations (NGOs) under the Stability Instrument (IfS). Therefore, the document stated that the additional EUR 1 million provided by the pilot project would accordingly be implemented within this existing framework, in line with the priorities set in budgetary comments voted by Parliament.

This pilot project was implemented under a new financing decision in 2010 for a 4th PAMF in which a specific funding allocation was foreseen for NGO-led activities. The decision authorised the expenditure under 19.0601 and 19.0609 (the pilot project). A restricted call for proposals was launched, especially for activities to take place in stable conditions as opposed to a crisis situation. The above mentioned financing decision was adopted in the 1st quarter of 2010, and grants for NGO-led activities awarded starting in the 4th quarter 2010.

2011 appropriations

Step	Date
Adoption of financing decision	30/06/2011
Publication of call for proposals	19/07/2012
Closing date of call for proposals	17/10/2012
Award decision	December 2012
Pre-financing payment	January 2013
Interim payment	Foreseen in March 2014

Step	Date
Final payment	Foreseen in 2014/2015 (according to the project duration)

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The appropriations of this Pilot Project have been pooled with the call for proposals of the Annual Action Programme of the crisis preparedness component of the Instrument for Stability (art. 4.3 of the Regulation) in complement to the peace-building partnership support for capacity building of non-state actors active in the field of peace-building.

- Do similar activities exist, which are already covered by a legal basis?

The activities proposed in this Pilot Project are already covered by the legal basis of the Instrument for Stability (art. 4.3 of the current Instrument for Stability the so-called "Peace-Building Partnership").

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The proposed legal base for the Instrument for Stability for the period 2014-2020 covers these activities. A specific objective (out of 3 specific objectives) has been proposed in the new Regulation, thereby reinforcing the visibility in this area. An ad-hoc budget line has also been proposed under the Draft Budget 2014 (19 02 02 - Support conflict prevention, crisis preparedness and peace-building) to support this specific objective.

5.4 Type of activities financed, number and amounts

2010 appropriations

Title / Action	Beneficiary	EUR (maximum)
A Trans-Regional Action in Real-Time Crisis Response	International Crisis Group I.C.G. AISBL	500.000
People's Peacemaking Perspectives (PPP): producing locally informed, expert and accessible policy recommendations based on high-quality consultative civic processes and analysis	Conciliation Resources LBG	500.000

2011 appropriations

Title / Action	Beneficiary	EUR (maximum)
Towards an inclusive and responsible Media in Lebanon	Maharat Foundation	199.889,02
Grassroots in Action for Peace-building	Lebanese Centre for Civic Education	199.884,60
Building Community Capacity for Dispute Resolution & Reconciliation in Lebanon	Mercy Corps	318.684
Establishing Conflict Resilient Communities in the North of Lebanon	Partners for Democratic Change International	200.000

5.5 Method of implementation (tenders, grants, other) and evaluations

Grants following calls for proposals.

5.6 Types of beneficiaries

Non-state actors

1. Heading

21 02 77 06 : Pilot project — Finance for agricultural production

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	506 576,70	p.m.	514 000	-	75 000	0,0 %	-85,4 %
Reserve							0,0 %	0,0 %
Total	0,00	506 576,70	p.m.	514 000	-	75 000	0,0 %	-85,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Ce projet pilote a bénéficié de crédits d'engagement pour deux années consécutives dans le cadre des budgets 2009 et 2010.

Les projets financés au titre de ce projet pilote ciblent Haïti et la Palestine.

Haiti

L'objectif global de ce projet pilote est la réduction de la pauvreté. L'objectif spécifique est l'amélioration de l'accès des agriculteurs au crédit. Par ce biais ils augmenteront la production agricole et de l'élevage et in fine leurs revenus par la vente de l'excédent de production.

La stratégie retenue prévoit de mobiliser une subvention de 2 millions d'euros pour 6 caisses populaires d'épargne et de crédit et l'association des caisses populaires. Ce montant sera utilisé pour financer les crédits (60%), les garanties (30%) qui les accompagnent et apporter un renforcement des capacités (10%). Ce dernier volet permettra:

- d'appuyer les agriculteurs dans la préparation du dossier pour la demande de crédit;
- de renforcer les capacités d'analyse et évaluation des caisses des requêtes de financement exigeant des connaissances spécifique en matière d'agriculture/élevage.

Palestine

During the first year of the project its main purpose was to increase agricultural production – including livestock production – by the provision of financial services in terms of both micro and small loans in rural areas of the West Bank and Gaza Strip, including 'Area C' in the West Bank.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Financial implementation and forecast for the projects.

PALESTINE	EUR
Planned	1.000.000
Committed	1.000.000

PALESTINE	EUR
Disbursed total	999.261
Disbursed 2010	500.000
Disbursed 2011	
Disbursed 2012	221.297
Planned 2013-2014	277.964

HAITI	EUR
Planned	2.000.000
Committed	1.975.000
Disbursed total	1.523.522
Disbursed 2010	
Disbursed 2011	1.238.272
Disbursed 2012	285.280
Planned 2013 - 2014	476.448

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Haiti: Fiches d'action (décision 21981) et conventions de financement.

Palestine: Action Fiche (contract 215620). A final evaluation of the project in Palestine is expected to take place in 2013.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Haiti

La stratégie retenue consiste à donner aux 6 caisses et l'association des caisses populaires une subvention de 2 millions d'euro au total, pour faire du crédit (60 %), servir de garantie (30 %) et apporter un renforcement de capacités (10%). Ce dernier élément devra permettre à certains agriculteurs d'obtenir un appui dans le montage des projets de demande de crédit, et aux caisses de pouvoir également en disposer pour améliorer leur appréciation des certaines demandes exigeant des connaissances techniques agricoles non disponibles internement.

Palestine

The projects provide micro and small loans to agricultural and livestock activities, such as to purchase animals (35% of the total loans), to olive oil producers (30%), to poultry producers (10%).

5.5 Method of implementation (tenders, grants, other) and evaluations

Haiti: Sept contrats ont été signés: 6 contrats pour fonds de crédit et de garantie et un pour faire de l'assistance technique.

Bénéficiaires des contrats de subvention (6 caisses populaires et l'association nationale):

- 1 Coopérative d'épargne et de crédit de Lascahobas (COOPECLAS)
- 2 Caisse Populaire Ste Anne de Camp Perrin (CAPOSAC)
- 3 Caisse Populaire pour l'Avancement de Jérémie (CAPAJ)
- 4 Caisse Populaire Solidarité des Verrettes (CAPOSOV)

- 5 Koperativ Leve Kanpe Milot (KPLKM)
- 6 Koperativ Tet ansanm Tèye Rouj (KPTAT)
- 7 Association Nationale des Caisses Populaires Haïtiennes (ANACAPH)

Palestine: Grant to the implementing partner (Reef financing Company).

5.6 Types of beneficiaries

Types of final beneficiaries are the same in the two countries: Small and medium farm-holders and / or livestock producers.

1. Heading

21 02 77 12 : Pilot project — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	160 000,00	p.m.	400 000	-	358 452	0,0 %	-10,4 %
Reserve							0,0 %	0,0 %
Total	0,00	160 000,00	p.m.	400 000	-	358 452	0,0 %	-10,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2010 budget. The pilot project was followed in 2012 and 2013 by a Preparatory Action (cf. reporting for budget item 21 02 77 13).

Objectives followed during the previous years' implementation:

The 2010 appropriations were carried over 2011 and a global commitment was made on 29/03/2011. A call for proposal was launched and two grant agreements were signed with two NGO respectively on 16/11/2011 with STICHTING OXFAM NOVIB for Nord Kivu and on 28/12/2011 with Malteser International for South Kivu. First payment: EUR 1,460 million.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2010 appropriations (carried over to 2011)

Steps	Date
Primary commitment	Made on 29/03/2011
Signature of grant agreements	December 2011
First tranche payment	31/12/2011
Second tranche payment	31/12/2012
Third tranche payment	30/06/2013
Final payments	2013-2014
Audit and evaluation	July 2013, payment in 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Financing agreement signed with the government and grant agreements signed with NGOs.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

Two subvention agreements for NGO involved in the health sector. Total amount of contract EUR 2 420 000.

The main activities covered are:

- Training for a better medical and surgical support for victims of sexual violence;
- Rehabilitation and equipment of two operating theatres;
- Supply of essentials drugs for VVS for the duration of the project;
- Supervision of medical activities in clinics in which VVS are received for the duration of the project.

Audit and evaluation contracts: EUR 80 000.

5.5 Method of implementation (tenders, grants, other) and evaluations

Grants in direct agreement, on the basis of "crisis declaration" for RDC.

5.6 Types of beneficiaries

NGO.

1. Heading

21 02 77 15 : Pilot project — Strategic investment in sustainable peace and democratisation in the Horn of Africa

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	-	150 000	-100,0 %	-70,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	-	150 000	-100,0 %	-70,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2013 budget.

Intentions for the current year 2013:

Youth play a pivotal role in healthy community development. Youth leaders, parents and researchers have recognized youth as an asset in shaping communities, and find youth civic engagement to produce positive externalities for both young people and the community at large.

The overall Objective of the pilot project is to contribute to sustainable peace and democratisation in selected Horn of Africa countries. The specific objective is to support youth to contribute to problem-solving in their communities.

The objective for the year 2013 is to commit the full project amount by October 2013 so that activities can kick-start immediately after in order to contribute to sustainable peace and democratisation in selected Horn of Africa countries, notably by supporting the youth to contribute to problem-solving in their communities.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	1 st Semester 2013
Publication of call for proposals	1 st Semester 2013
Closing date of call for proposals	September 2013
Award decision	October 2013
Pre-financing payment	December 2013
Interim payment	October 2014
Final payment	October 2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Action programme and project fiche to be adopted by the end of June 2013 will set out the main elements for the implementation of the Pilot project.

- Do similar activities exist, which are already covered by a legal basis?

Under the Cotonou Agreement, the European Development Funds contribute to the implementation of the Horn of Africa Strategy, including objectives such as those sought by the pilot project.

Sudan, although original signatory party to the ACP-EU Partnership (Cotonou) Agreement, has not ratified its 2005 and 2010 revisions. One immediate consequence is that Sudan lost access to EDF funds. This situation is not likely to change in the near future, and the programming of 11th EDF funds covering the period 2014-2020 is on hold. However, this proposed pilot project would complement and seek synergies with other actions funded under the European Instrument for Democracy and Human Rights and thematic instruments (e.g. Non-State Actors) from which the people of Sudan still benefit.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the pilot project, such details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The EU Delegation to Sudan will be responsible for the design of the action and the identification of suitable implementing partner(s), who will be awarded a contract (grant or contribution agreement) under a centralised management mode. The implementing partner(s) will be encouraged to develop partnerships with local civil society organisations in each targeted country in order to facilitate implementation and ensure sustainability.

5.6 Types of beneficiaries

Non-governmental organisations and civil society organisations.

1. Heading

21 03 77 04 : Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	508 969,29	p.m.	550 000	-	315 000	0,0 %	-42,7 %
Reserve							0,0 %	0,0 %
Total	0,00	508 969,29	p.m.	550 000	-	315 000	0,0 %	-42,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2009 budget.

Objectives followed during the 2011 and 2012 implementation:

The project aims to improve the professional skills and ENP-specific knowledge of staff from within the civil service and public administrations of ENP partner countries to give them a better understanding and appreciation of the European Neighbourhood Policy, as well as other relevant EU policies. The project is designed to improve the effectiveness of policies and the relationship between the EU and the neighbourhood countries, and to deepen political dialogue and cooperation with each of the neighbours.

The project is divided into 10 different modules. In all, 16 training seminars will be developed during the 3 years of the project (2011-2013).

Most of 2011 activities were dedicated to set up the adequate structures (i.e., nomination of focal points in each beneficiary country to coordinate activities, consultation missions of project's team in each beneficiary country, design of the training modules, and design of a web-site).

During 2012, 7 training seminars have been organised (see detailed list below).

Intentions for the current year 2013:

Work plan for 2013

Module	Dates	Location	Target n° of participants
Module 3 : EuroMed	23, 24, 25 April 2013	Barcelona	4 participants per southern country
Module 5: EU internal policies (trade)	25, 26, 27 June 2013	Natolin	2 participants per country
Module 5.1: EU internal policies (energy)	25, 26, 27 June 2013	Natolin	2 participants per country
Module 6: EU internal policies (Agriculture)	2, 3, 4 July 2013	Natolin	2 participants per country
Module 6.1: EU internal policies (Environment)	2, 3, 4 July 2013	Natolin	2 participants per country

Module	Dates	Location	Target n° of participants
Module 4: Eastern Partnership	9, 10, 11 July 2013	Natolin	4 participants per eastern country
Module 9: Research, Development and innovation	24, 25, 26 September 2013	Bruges	3 participants per country
Module 10: Legal Approximation	22, 23, 24 October 2013	Bruges	3 participants per country

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2009 commitment appropriations

Step	Date
Adoption of financing decision	15/12/2009
Signature of the contract	23/12/2010
Pre-financing payment	-
Interim payments	November 2011, July 2012, December 2012, March 2013, November 2013
Final payment	First half 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Decision C(2009)9947 of 15/12/2009 on the pilot project proposed by the European Parliament "Preparing staff for EU-ENP related jobs" to be financed under Article 19 08 01 08 of the general budget of the European Union.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2009 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Module 1: EU institutions (28-29-30 November 2011)	ENPI Southern & Eastern countries/'College of Europe'	186.598,87
Module 1: EU institutions (23, 24, 25 April 2012)	ENPI Southern & Eastern countries/'College of Europe'	
Module 2: ENP policy (25, 26, 27 June 2012)	ENPI Southern & Eastern countries/'College of Europe'	322.678,18
Module 7: Project Management - Skills (25, 26, 27 June 2012)	ENPI Southern & Eastern countries/'College of Europe'	
Module 8: EU Negotiation skills (2, 3, 4 July 2012)	ENPI Southern & Eastern countries/'College of Europe'	
Module 3: EuroMed (9, 10, 11 July 2012)	ENPI Southern & Eastern countries/'College of Europe'	315.633,48
Module 2: ENP policy (9, 10, 11 July 2012)	ENPI Southern & Eastern countries/'College of Europe'	
Module 4: Eastern Partnership (16,17,18 July 2012)	ENPI Southern & Eastern countries/'College of Europe'	

5.5 Method of implementation (tenders, grants, other) and evaluations

Service contract, following a restricted call for tender – External Actions Part II Title IV NFR.

No evaluation is foreseen at this stage.

5.6 Types of beneficiaries

Staff from Public administration agencies and Ministries in ENPI Southern & Eastern countries (i.e. Algeria, Armenia, Azerbaijan, Belarus, Egypt, Georgia, Israel, Jordan, Lebanon, Libya, Moldova, Morocco, Occupied Palestinian Territory, Syria, Tunisia and Ukraine). Note: EU co-operation with Syrian authorities (government entities) is currently suspended.

1. Heading

21 05 77 01 : Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	340 000	-	495 000	0,0 %	45,6 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	340 000	-	495 000	0,0 %	45,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2009 and 2010 budgets and contracted respectively as IFS/2009/228-769 and IFS/2010/258-808 having different timeframes and geographical coverage. Both contracts were implemented by the Joint Research Centre.

The objective of the pilot project was to support the protection of Community vessels transiting through or operating in areas threatened by international piracy through identifying and assessing technical means and practices that can be used by stakeholders in the targeted regions to improve their maritime domain awareness, thereby significantly enhancing security situation toward long term stability.

The first contract under the pilot project focused on the seas off the Horn of Africa and the development of tools for increased maritime awareness. Under this intervention which ended in December 2012, the Joint Research Centre developed and tested a system which produces a Maritime Situational Picture which enables stakeholders to survey their seas for pirates and other threats.

The second contract under the pilot project targets the seas off the Gulf of Guinea and adapts the tools developed to this context and needs. The second contract will run until 21 December 2013.

Objectives followed during the 2011 and 2012 implementation:

To identify, assess and test technical means and practices that can be used in the near and medium future by the stakeholders of the Horn of Africa and Gulf of Guinea regions to improve their maritime domain awareness, thereby significantly enhancing the security situation toward long term stability.

Intentions for the current year 2013:

Continue implementation according to contract, extending 2010 project with 6 months (until 21 December 2013).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Step	Date
Financing Decision IFS/2009/21906	21 Dec 2009

Step	Date
Contract / Administrative Arrangement IFS/2009/228769	21 Dec 2009
Decision IFS/2010/22806	21 Oct 2010
Contract / Administrative Arrangement : IFS/2010/258-808	22 Dec 2010
First instalment of pre-financing 2009 IFS	March 2010
Further instalments of pre-financing 2009 IFS	December 2011
Balance payment at the end of the execution period 2009 IFS	June 2013
First instalment of pre-financing 2010 IFS	March 2011
Further instalments of pre-financing 2010 IFS	September 2013
Balance payment at the end of the execution period 2010 IFS	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Administrative Arrangements : IFS/2009/ 228769 dd. 21 Dec 2009 and IFS/2010/258-808 dd. 22 Dec 2010.

- Do similar activities exist, which are already covered by a legal basis?

They exist in EU internal policy, but not in external assistance cooperation.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2009-2010 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative Arrangement on the Pilot Project on Piracy, Maritime Awareness and Risks I (Horn of Africa, Gulf of Aden)	Joint Research Centre (JRC)	1.000.000
Administrative Arrangement on the Pilot Project on Piracy, Maritime Awareness and Risks II (Gulf of Guinea)	Joint Research Centre (JRC)	1.000.000

Tasks foreseen under the administrative arrangements:

1. Establishment of system for maritime surveillance data collection	2 x 668 000
2. Testing phase	2 x 128 000
3. Coordination with beneficiaries	2 x 143 000
4. Offline Analysis	2 x 32 000
5. Provide technical elements for future use the information system	2x 29 000
TOTAL (in EUR)	2 000 000

- Situation and problematic. As first step, to complete the background information of the situation in the region
- Acceptance and commitment. Advertise the project in appropriate forums, aiming to get feedback on the project's objectives and methods for possible re-tuning. Identify decision makers at executive level, and obtain agreements from them needed to deploy fact-finding and capacity building activities in the region.
- Technical study. Identify and characterise technical means, as well as services that are commercial offered, for obtaining maritime domain awareness (vessel reporting systems, observation systems, communication systems, IT systems).

- Cooperation
- Test.
- R&D.
- Regional capacity building. Identify regional operational government services (maritime police, border guards, customs, Navies, port authorities, etc.) that are suitable and promising to start up a discussion on improving their maritime surveillance capabilities. Obtain more detailed information about their situation (remit, requirements, infrastructure) and provide them with information about the technical means identified by the project. This can include training and installing test equipment for pilot trial.

5.5 Method of implementation (tenders, grants, other) and evaluations

Direct centralised management through an Administrative Arrangement with the Joint Research Centre.

Administrative Arrangement : IFS/2009/ 228769 dd. 21 Dec 2009

Administrative Arrangement : IFS/2010/258-808 dd. 22 Dec 2010

5.6 Types of beneficiaries

European Commission – Joint Research Centre (JRC).

1. Heading

22 02 77 01 : Pilot project — Preserving and restoring cultural heritage in conflict areas

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 607 209,13	p.m.	932 000	p.m.	763 960	0,0 %	-18,0 %
Reserve							0,0 %	0,0 %
Total	0,00	1 607 209,13	p.m.	932 000	p.m.	763 960	0,0 %	-18,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted for two consecutive years under the 2008 and 2009 budgets. The objective was to launch a number of actions aiming to rehabilitate cultural heritage sites affected by war-related actions, involving several ethnic and religious communities. It is intended that the actions raise awareness of cultural diversity in the Western Balkans, build confidence and support local education and development of restoration knowledge and skills of high cultural quality.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

The actions supported under this pilot project were selected in 2009 and 2010 and are in implementation phase. Activities carried out since 2011 and planned for 2013 focus on the implementation and completion of restoration projects, with completion and final payments expected in 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decisions C(2009) 1842 of 19 March 2009 amended by C(2010) 6359 of 23 September 2010 and C(2009) 9878 of 11 December 2009 amended by C(2010) 8408 of 6 December 2010.

- Do similar activities exist, which are already covered by a legal basis?

These actions are eligible under the Instrument for Pre-accession Assistance (IPA) and several projects are being financed from the IPA, both under national and multi-beneficiary programmes.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. The Commission considers that programmes and projects for the restoration of cultural heritage in conflict areas are sufficiently covered by the IPA programme (both under the current legal framework and under the proposed programme for the period 2014-2020 and no additional legal base is required.

5.4 Type of activities financed, number and amounts

The sum of EUR 6 000 000 has been programmed through the two-year pilot project for the restoration and preservation of monuments in the Western Balkans, as follows:

Title / Action	EUR (maximum)
Restoration of sites through service and works contracts implemented by (i) the EU Delegations in Bosnia & Herzegovina, Montenegro and Serbia, (ii) the ECLO in Kosovo: Pilot Schemes 2008 and 2009,	4.975.000 (3.000.000 in 2008, 1.975.000 in 2009)
Joint implementation grant with an international organisation (UNDP) implemented by the EU Delegation in Croatia: Pilot Scheme 2009	1.000.000
In order to analyse the impact of the activities of the Pilot Scheme, an evaluation was undertaken during the second half of 2012 (Pilot Scheme 2009).	25.000

5.5 Method of implementation (tenders, grants, other) and evaluations

Service and works contracts resulting from tenders (pilot project 2008 and 2009).

Direct grant (pilot project 2009).

5.6 Types of beneficiaries

International organisation (UNDP).

Public authorities at local and regional level, educational institutions, economic operators, non-governmental organisations and associations and skilled craftsmen conducting projects in the field of cultural heritage.

Heading 5 - Administration

1. Heading

16 03 77 02 : Pilot project — Share Europe Online

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	999 766,50	150 438,14	1 000 000	500 000	p.m.	700 000	-100,0 %	40,0 %
Reserve							0,0 %	0,0 %
Total	999 766,50	150 438,14	1 000 000	500 000	p.m.	700 000	-100,0 %	40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - ADMINISTRATION

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

This pilot project implemented jointly by the European Parliament and the Commission, aims at improving the way the EU Institutions engage with citizens on social media by stepping up the on-line presence of European Parliament Information Offices and Commission Representations in Member States, making their communication more targeted, coordinated and adapted to the specificities of national social media landscapes.

Intentions for the current year 2013:

In its second year of implementation, the project will build on the results of the actions launched at the end of 2012, namely the commissioning of a mapping of the social media landscape in 27 EU Member States as ground-work for the subsequent action consisting of social media community management in the 17 Member States in which the Information Offices and Representations jointly operate European Public Spaces. The intention in 2013 is to gradually extend the project to Representations and Information Offices in all Member States to ensure a level playing field and build capacity for sustained social media activity.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	25 July 2012
Service request under ongoing framework contract (2x)	7 August 2012 /13 November 2012
Award decision / signature of specific contract (2 x)	14 September 2012 / 4 December 2012

Step	Date
Pre financing payment	-
Interim payment	Monthly, starting October 2013
Final payment	September 2013

- 2013 appropriations

Step	Date
Adoption of financing decision	8 May 2013
service request under ongoing framework contract	May 2013
Award decision / signature of specific contract	June 2013
Prefinancing payment	-
Interim payment	Monthly, starting October 2013
Final payment	August 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission decision C(2012) 5124 of 25 July 2012 sets out the main elements for the implementation of the pilot project for the year 2012.

Commission decision C(2013) 2631 of 08 May 2013 sets out the main elements for the implementation of the pilot project for the year 2013.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative follow-up is envisaged at this stage.

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Mapping of social media landscape in 27 MS	Euresin consortium	268.397,00
Social media community management in 17 MS	Euresin consortium	731.369,50

5.5 Method of implementation (tenders, grants, other) and evaluations

The 2013 implementation will be based on the use of existing framework contract for digital communications PO/2007-31/C2.

5.6 Types of beneficiaries

The contractor is a consortium of private law companies.

PREPARATORY ACTIONS

Heading 1a - Competitiveness for Growth and Jobs

1. Heading

02 02 77 03 : Preparatory action — Erasmus for Young Entrepreneurs

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	3 223 492,98	p.m.	1 500 000	p.m.	835 000	0,0 %	-44,3 %
Reserve							0,0 %	0,0 %
Total	0,00	3 223 492,98	p.m.	1 500 000	p.m.	835 000	0,0 %	-44,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2009, 2010 and 2011 budgets.

Objectives followed during the 2011 and 2012 implementation:

The objectives were to achieve a critical mass of registered entrepreneurs and implement the concrete mobility activities within the EU.

Intentions for the current year 2013 :

Continuation of the mobility activities and finalisation of the projects launched within the framework of this preparatory action.

The level of payment appropriations requested for the 2014 draft budget is needed to make the final payments of the actions which will come to their end in 2014.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Information on the timetable for implementation for the years 2009 and 2010 were detailed in the Working document Part IV attached to the 2011 Draft Budget (COM(2010)300 of May 2010

As regards 2011 appropriations, the timetable followed was the following :

Step	Date
Adoption of financing decision	03/02/2011
Publication of call for proposals / call for tender	August 2011
Closing date of call for proposals / call for tender	17/10/2011
Award decision	07/03/2012
Pre-financing payment	June-December 2012
Interim payment	None
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Several documents exist for promotion purposes: a leaflet available in all EU official languages and a Users' Guide in EN, FR and DE. For the implementation of the programme, a Quality Manual is available for the Intermediary Organisations (IOs) along with a media package to be used by the IOs.

All relevant documents relating to the implementation of the preparatory action (and the preceding pilot project) are available on the Europa website :

http://ec.europa.eu/enterprise/policies/sme/promoting-entrepreneurship/erasmus-entrepreneurs/background_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No similar activities existed at the time of the establishment of the preparatory action.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

As from 2012 the “Erasmus for Young Entrepreneurs” financing has been covered by the Decision N° 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) - OJ L 310/15, 09.11.2006.

5.4 Type of activities financed, number and amounts

2009 appropriations

Proposal Acronym	Beneficiary	Country
ULIXES' EYES II	Cámara Oficial de Comercio, Industria y Navegación de Oviedo	ES
WOR.T.Y.C.	Azienda Speciale F.A.I. - Formazione e Assistenza alle Imprese	IT
GYMNASIUM II	Unione Regionale delle Camere di Commercio, Industria, Artigianato e Agricoltura del Veneto - Eurosportello del Veneto	IT
EXE MORE	Friuli Innovazione - Centro di Ricerca e Trasferimento Tecnologico	IT
Oxygen Europe II	Eurochambres a.i.s.b.l.	BE
NWEE	PRODEST - Progetto di Espressione e Sviluppo Territoriale S.c.a.r.l.	IT
YEPP	Universität des Saarlandes	DE
e ³	Fundación Universidad-Empresa	ES
OPEN-EYE-2	Hochschule Fulda	DE
EUROPA EMPRENDE	UNINOVA - Sociedade para a Promoción de Iniciativas Empresariais Innovadoras, S.L.	ES
YEA.Com	EIDEMA Academy	IT
PROMETHEUS II	sequa gGmbH	DE
COLUMBUS-2	Instituto de Fomento Region de Murcia	ES
Me.S.De.P2	Confederazione Italiana Agricoltori	IT
Learning for Business	Comunidad Autónoma de La Rioja - Servicio Riojano de Empleo	ES
GoGlobal	GEA College PIC poslovnoizobraževalni center, d.o.o.	SI
MOV.ENT II	Centro Servizi P.M.I. Soc.Cons. A.R.L.	IT
Net Working Net	Cámara de Comercio, Industria y Navegación de Bilbao	ES
STAY4E3+	INNO-TSD S.A.R.L.	FR
ERASMUS POUR ENTREPRENDRE	Union des Couveuses	FR
EM2	Institut Européen de l'Entrepreneuriat Rural	FR
be-Move !	Agentschap Ondernemen	BE
EUROTROCO	Ayuntamiento de Lugo	ES
EYEs on IT	Universität Paderborn	DE
COVENTRY	Coventry University Enterprises Limited	UK
go for xChange II	EIC Trier - IHK/HWK-Europa- und Innovationscentre GmbH	DE
SEED	Euclid Network	UK

Proposal Acronym	Beneficiary	Country
AJE Zaragoza	Asociación Jovenes Empresarios de Zaragoza	ES
ERA-4-ENT	AVANTIS Zavod za mednarodne aktivnosti in sodelovanje	SI
ELIPSOS	Confederación Española de Organizaciones Empresariales	ES
MOBILITAS	Quality Program SAS di Nicola Martulli & C.	IT
DIRECTIONS	TUCEP - Tiber Umbria Comett Education Programme	IT

2010 appropriations

Proposal Acronym	Beneficiary	Max Grant awarded in EUR
EM3	Centro Studi "Cultura Sviluppo"	180.000,00
GYMNASIUM III	Unione Regionale delle Camere di Commercio, Industria, Artigianato e Agricoltura del Veneto	156.904,00
Prometheus III	Wirtschaftskammer Österreich	117.358,90
OPEN-EYE III	Hochschule Fulda - University of Applied Sciences	180.000,00
EXE-PRIZE ³	Friuli Innovazione - Centro di Ricerca e di Trasferimento Tecnologico	180.000,00
SEE	Coventry University Enterprises Ltd	161.973,90
be-Move! II	Agentschap Ondernemen	170.000,00
CYPRUS' EYE _s ARE OPEN	European Office of Cyprus	158.801,00
SEED2	Euclid Network	172.326,20
COLUMBUS 3	BIC Liguria S.c.p.A	131.762,47
MOBILITAS II	Quality Program S.A.S. di Nicola Martulli & Co.	180.000,00
EYES on IT 2.0	Universität Paderborn	150.379,89
B.net	Camara de Comercio, Industria y Navegación de Bilbao	87.520,52
ULIXES' EYES III	Cámara de Comercio, Industria y Navegación de Oviedo	179.304,59
REMPART+	Instituto Tecnológico de Canarias, S.A.	179.994,41
EUROPA EMPRENDE II	Sociedade para a Promoción de Iniciativas Empresariais Innovadoras, S.L.	172.124,11
SNOWBALL to better business	Seinäjoen koulutuskuntayhtymä	126.523,27
EBE	Qualidade de Basto, Entidade Empresarial Municipal	126.959,50
EYE	Andalucía Emprende, Fundación Pública Andaluza	134.546,96
B.I.R.D.	Consortio Provincial de Desarrollo Económico	147.143,35
SEE.Diversity	Logos Società Cooperativa a.r.l.	171.980,99
FLY off	TK Formazione S.r.l	161.886,91
T-WISE	Alintec S.c.a.r.l.	177.914,26
FIRST ELEMENTS	First Elements Euroconsultants Limited	263.001,43
3E	Prodest - Progetto di Espressione e Sviluppo Territoriale Soc. Coop.a.r.l.	165.369,75
MESDEP3	Confederazione Italiana Agricoltori	175.169,00
WelcomE	Institut Européen de l'Entrepreneuriat Rural	157.717,00

2011 appropriations

Proposal Acronym	Beneficiary	Max Grant awarded in EUR
TOUREUROPE	Seinäjoki Joint Educationa Authority i	179.755,08
OPEN-EYE-4	Hochschule Fulda, University of Applied Sciences	175.903,21

Proposal Acronym	Beneficiary	Max Grant awarded in EUR
EUROPA EMPRENDE III	UNINOVA :	178.026,32
GYMNASIUM 4	Unione Regionale delle Camere di Coñunercio, I.A.A. del Veneto	179.992,12
ULKES EYES IV	OVIEDO CHAMBER OF COMMERCE	179.998,31
REMPART 2020	COMUNIDAD AUTONOMA DE CANARIAS - GOBIERNO DE CANARIAS	179.873,96
YELLOW	University College London - UCL	179.999,99
SEED 3	EUCLID NETWORK !	178.527,38
NWES	Norfolk and Waveney Enterprise Services	147.359,68
EYE (Erasmus for young entrepreneurs)	WKÖ - Austrian Federal Economic Chamber	96.546,77
EROS	Fondazione Politecnico di Milano	179.929,24
EM IV	Centro Studi Cultura Sviluppo	180.000,00
FLY OFF 2	Tk Formazione Srl	161.907,79
FEE	Institutul Postliceal Phoenix	179.955,81
EUROEMPREDMG	SECRETARIA GENERAL DE ACCION EXTERIOR DEL GOBIERNO VASCO	179.816,14
CEFE	Conselleria de Educación, Formación y Empleo - Dirección General de Trabajo, Cooperativismo y Economía Social	179.954,11
VISION	CESIE - EUROPEAN CENTRE OF STUDIES AND INITIATIVES	175.371,29
E-NOW!	Confederazione Italiana Agricoltori	163.404,80
SEE.DIVERSITY II	Logos Società Cooperativa	178.969,96
EYE	Baden-Württemberg International	149.666,61
MOBILITÁS III	Quality Program s.a.s di Nicola Martulli & co.	179.960,58
EYE (Erasmus young entrepreneurs)	EJ TILLÄMPLIGT/SKELLEFTEÅ KOMMUN	150.000,00
Europe EYEs are open	European Office of Cyprus	171.233,10
YOU ENTER IN EUROPE	Provincia di Pesaro e Urbino	153.889,44
Mercure	GECT Pyrénées-Méditerranée	169.507,60
3EII	Progetto de Espressione e Sviluppo Territoriale soc. coop. a.r.l.	179.036,84

5.5 Method of implementation (tenders, grants, other) and evaluations

Grant agreements upon calls for proposals, call for tender and specific contracts under framework contracts.

5.6 Types of beneficiaries

- Chambers of commerce and industry, chambers of handicrafts or similar bodies.
- Business support organisations and start-up centres.
- Business associations and business support networks.
- Public entities offering business support services.
- Education and training providers (public, private, sectorial etc.) active in the field of business support.

1. Heading

02 02 77 08 : Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 999 257,81	457 257,81	2 000 000	1 520 000	p.m.	1 250 000	-100,0 %	-17,8 %
Reserve							0,0 %	0,0 %
Total	1 999 257,81	457 257,81	2 000 000	1 520 000	p.m.	1 250 000	-100,0 %	-17,8 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory Action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 and 2013 budgets

Objectives followed during the 2012 implementation:

Implementation of the preparatory action through:

- The signature of a grant agreement in September 2012 with the European Travel Committee, association representing the National Tourism Organisations of the Member States. This project aims at promoting and increasing the visibility of Europe and its destinations on third markets.
- The organisation of the Mini-Fair and the Conference “Crossroads of Europe” in Pavia (June 2012) to promote the European cultural itineraries.
- The launch of a call for proposals, with the aim to support transnational cooperation projects to create transnational tourism products based on cultural and industrial heritage. The four agreements should be signed in April 2013.

Expected results:

- Development and reinforcement of transnational tourism products;
- Contributing to the economic growth of European regions;
- Creating clusters among SMEs and joint initiatives of different thematic tourism routes;
- Facilitating networking among stakeholders from the tourism and cultural sectors;
- Promoting European values and traditions giving more visibility to European cultural, natural and industrial heritage.
- Raising awareness among European citizens about the European values;
- Raising awareness among regional authorities about the important contribution of cultural and industrial tourism to the regional economy;

- Creating clusters among SMEs and joint initiatives of different thematic tourism routes;
- Facilitating networking among stakeholders from the tourism and cultural sectors;
- Joint promotion of Europe in long-haul markets, and a distinctive European brand, which differentiates 'Destination Europe' from other international regions. Raising the profile of Europe as a tourist destination and increasing international tourist demand for European destinations and bringing new visitors to Europe.

Intentions for the current year 2013:

- 1) Support cooperation and collaboration with the European Tourism Commission (ETC) & its members (National Tourism Authorities) which will focus on the implementation of activities the following areas: promotion of 'Destination Europe' in long-haul markets, development and promotion of pan-European cultural routes/thematic itineraries and tourism products, collaboration on digital marketing activities through the visiteurope.com portal, the 'Destination Europe' brand, joint presence at events, Research and Best Practice. A new grant agreement should be signed in December 2013.
- 2) Finalisation & signature of 4 grant agreements.
- 3) A call for proposals will be launched in the first semester 2013 in order to support transnational cooperation projects to create transnational tourism products based on cultural and industrial heritage. The budget allocated to this action is of EUR 1.000.000.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	25/01/2012
(1) Grant agreement with European Travel Committee	
Signature of the grant agreement: 28 September 2012 Implementation of activities: between September 2012 – March 2014.	
(2) Mini-Fair and the Conference "Crossroads of Europe" Specifications for Framework Contract drafted in January-February. Several Contracts (under FC) signed in March-April Event: June 2012 Specifications for Framework Contract (FC) ready in September- October Contract (under FC) signed in 14 December 2013 Event: Toulouse (14-16/05/2013)	
(3) Call for proposals	
Publication of call	26/06/2012
Closing date of call	28/09/2012 (52 proposals registered)
Award decision	Expected May 2013
Contract signature	Expected to be signed May 2013

- 2013 appropriations

Step	Date
Adoption of financing decision	26/03/2013
(1) Grant agreement with European Travel Committee	
Signature of the grant agreement : December 2013 Implementation of activities April 2014 – July 2015	
(2) Call for proposals	
Publication of call for proposals	April – May 2013
Closing date of call for proposals	June – July 2013

Step	Date
Award decision	October/November 2013
Prefinancing payment	December 2013 – January 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the year 2012: the description of the implementation of this Preparatory Action is included in the financing decision C(2012)208 adopted on 25 January 2012.

For the year 2013: the description of the implementation of this Preparatory Action is included in the financing decision C(2013)1612 adopted on 26 March 2013.

Full documentation on the implementation of the actions is available at:

http://ec.europa.eu/enterprise/sectors/tourism/accessibility/index_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No similar activities existed at the time of the creation of the preparatory action.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Actions in favour of the tourism sector were covered by the combined ex-ante evaluation and impact assessment of the successor to the Entrepreneurship and Innovation Programme (part of the Competitiveness and Innovation Framework Programme 2007-2013). On the basis of these findings, the Commission proposed to finance further tourism related initiatives under the planned COSME Programme (2014-2020).

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
(1) Support cooperation and collaboration with the ETC	European Travel Commission and National Tourism Organisations	1.000.000
(2) Promotional ad-hoc events	TIPIK : Event organizer TEAMWORK : Event organizer	300.000
(3) Support transnational cooperation projects	Not yet known as the award decision should be taken end of April.	700.000

2013 appropriations

Title / Action	Beneficiary / contractor	EUR (estimated)
(1) Support cooperation and collaboration with the ETC	1 grant agreement	1.000.000
(2) Support transnational cooperation projects call for proposals	3 – 4 grant agreements	1.000.000

5.5 Method of implementation (tenders, grants, other) and evaluations

2012 appropriations

Nature/Title	Implementation
(1) Support cooperation and collaboration with the ETC	Ad hoc grant
(2) Promotional ad-hoc events	Specific contracts implementing framework contracts
(3) Support transnational cooperation projects	Call for proposals

2013 appropriations

Nature/Title	Implementation
(1) Support transnational cooperation projects	Call for proposals
(2) Support cooperation and collaboration with the ETC	Ad hoc grant

5.6 Types of beneficiaries

2013: type of beneficiary foreseen

Nature/Title	Type of beneficiaries
(1) Support cooperation and collaboration with the ETC	ETC and National Tourism Organisations
(2) Support transnational cooperation projects	<p>Applicants should act in consortium with other partner organisations. The consortium should be composed of a minimum of 4 partners legally situated in 4 different European countries.</p> <p>Beneficiaries could be public authorities, NGOs, associations and networks of public authorities, chambers of commerce, universities and private companies working in the fields of tourism, culture, communication.</p>

1. Heading

02 02 77 09 : Preparatory action — Tourism and accessibility for all

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	924 519,00	7 879,48	1 000 000	1 000 000	p.m.	690 000	-100,0 %	-31,0 %
Reserve							0,0 %	0,0 %
Total	924 519,00	7 879,48	1 000 000	1 000 000	p.m.	690 000	-100,0 %	-31,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SUSTAINABLE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

During the first year of implementation, the following initiatives have been launched:

- The signature of a service contract in November 2012 after a call for tenders, with the view to assess the demand, the economic impact and travel patterns of accessible tourism in Europe. The study is expected to be delivered in January 2014.
- The signature of a service contract in December 2012 after a call for tenders, with the view to map the skills and training needs to improve accessibility in tourism services. The study is expected to be delivered in March 2014.
- The signature of a service contract in February 2013 after a call for tenders, to realise a mapping and a performance check of the supply of accessible tourism services. The result should be delivered in February 2014.
- The participation to the Conference “Accessibility – a new challenge for inclusive tourism” in Treviso (November 2012) to promote our initiatives in this domain.
- During the 3rd quarter of 2012, we launched a call for proposals, with the view to foster and reward efforts and excellence in accessible tourism. The purpose is to institute a yearly award to private or public operators which make accessibility as a key priority in their promotional offer and commit to continuously improve on accessibility. The agreements should be signed in April 2013 and the first awards should be delivered in February 2014.

Expected results:

- Raising awareness about the economic advantages of accessible tourism
- Identifying new and better skills, promoting adequate training
- Creating network/synergies between stakeholders and operators
- Rewarding and disseminating best practices

- Foster innovative solutions
- Collection of data useful to better understand demand and supply, which in turn will help identifying effective solutions.
- Improving communication and information
- Producing material/guidance for operators.

Intentions for the current year 2013:

1. Launch of a Call for proposals to foster accessible tourism and enhance cooperation amongst DMO, national and local tourism authorities, tourism businesses, accessibility and disability stakeholders. The objective of the call is to encourage the design, implementation and promotion of « accessible tourism itineraries of excellence». This would encompass the whole tourism experience for travellers with special access needs (information, booking, accommodation, entertainment, leisure, shopping, cultural activities, sport, etc...). Special attention will be drawn to:

- incentivising the use of innovation to improve accessibility of tourism products and services;
- incentivising the adaptation of tourist product and services to the needs of travellers with special access needs
- creating a more favourable and accessible environment for the uptake of training on accessibility in and by the tourism sector;
- fostering a more accessible environment for travellers with special access needs.

Indicative budget EUR 950.000

2. Exploiting existing framework contracts to continue raising awareness and sensitise the public opinion and stakeholders to the importance of accessible tourism. Exploit the opportunity of the communication activities performed under the EYC – European Year of Citizens.

Indicative budget: EUR 50.000

Forecasts for 2014:

It is expected from the studies carried out in 2012 and from the actions carried out in 2013 to gain a clearer overview of existing gaps and an indication of where action should be taken with priority. The use of standardisation and other self-regulation tools will also be explored. Additional data mining might prove necessary.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	25/01/2012
(1) Mapping the demand	
Publication of call	04/05/2012
Closing date of call	28/06/2012
Award decision	25/10/2012
Contract signature	26/10/2012
Adoption of financing decision	26/03/2013
(2) "Mapping the Supply"	
Publication of call	11/08/2012
Closing date of call	09/10/2012
Award decision	21/01/2013
Contract signature	21/02/2013
(3) "Mapping the Skills"	
Publication of call	25/07/2012
Closing date of call	28/09/2012

Step	Date
Award decision	10/12/2012
Contract signature	31/12/2012
(4) "Excellence in accessible tourism "	
Publication of call	24/09/2012
Closing date of call	13/11/2012
Award decision	08/04/2013
Contract signature	Expected in April 2013
(5) Participation to the Conference on Tourism accessibility in Treviso	November 2012

- 2013 appropriations

Step	Date
(1)"Accessible tourism itineraries of excellence "	
Adoption of financing decision	26/03/2013
Publication of call	June 2013
Closing date of call	September 2013
Award decision	November 2013
Contract signature	December 2013
(2) Raising awareness and sensitise the public opinion and stakeholders to the importance of accessible tourism. Several specific contracts implementing framework contracts will be signed will be signed throughout the year depending on services required for events.	

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the year 2012: the description of the implementation of this Preparatory Action is included in the financing decision C(2012)208 adopted on 25 January 2012.

For the year 2013: the description of the implementation of this Preparatory Action is included in the financing decision C(2013)1612 adopted on 26 March 2013

Full documentation on the implementation of the actions is available at:

http://ec.europa.eu/enterprise/sectors/tourism/accessibility/index_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No similar activities existed at the time of the creation of the preparatory action.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Actions in favour of the tourism sector were covered by the combined ex-ante evaluation and impact assessment of the successor to the Entrepreneurship and Innovation Programme (part of the Competitiveness and Innovation Framework Programme 2007-2013). On the basis of these findings, the Commission proposed to finance further tourism related initiatives under the planned COSME Programme (2014-2020).

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
(1) To "Map" the target demand	Significant GFK N.V	250.000
(2) To carry out a "performance	Consortium composed of EWORX S.A.	250.000

Title / Action	Beneficiary / contractor	EUR (maximum)
check" of the supply of tourism services for disabled people in Europe	(Group Leader), ENAT a.s.b.l & VALDANI VICARI & ASSOCIATI S.r.L.	
(3) To map the skills and staff training needs to improve accessibility and safety for all	Consortium composed of Valdani Vicari Associati (VVA) (group leader), The European network for Accessible Tourims (ENAT) & 3s Research laboratory	100.000
(4) To foster and reward efforts and excellence in accessible tourism	IT-Provincia di Cagliari (Assessorato al Turismo) IT – Presidenza del Consiglio dei Ministri – dpt turismo MT - Malta Tourism Authority AT - Österreich Werbung CY - Cyprus Tourism Organisation	150.000
(5) To raise awareness and sensitize the public opinion and stakeholders	AMEX – Travel agency	25.000

2013 appropriations

Nature/Title	Number	Estimated Amounts EUR
1) Proposals for accessible tourism itineraries of excellence	Not yet known	950.000
2) Promotional & ad hoc events on accessibility	2/3	50.000

5.5 Method of implementation (tenders, grants, other) and evaluations

2012 appropriations

Nature/Title	Implementation
(1) To "Map" the target demand	Call for tenders
(2) To carry out a "performance check" of the supply of tourism services for disabled people in Europe	Call for tenders
(3) To map the skills and staff training needs to improve accessibility and safety for all	Call for tenders
(4) To foster and reward efforts and excellence in accessible tourism	Call for proposals
(5) To raise awareness and sensitize the public opinion and stakeholders	Specifics contracts implementing framework contracts

2013 appropriations

Nature/Title	Implementation
(1) Proposals for accessible tourism itineraries of excellence	Call for proposals
(2) Promotional & ad hoc events on accessibility	Framework contracts

5.6 Types of beneficiaries

2013 appropriations

Nature/Title	Implementation
(1) Proposals for accessible tourism itineraries of excellence	National authorities in charge of Tourism
(2) Promotional & ad hoc events on accessibility	Event organisers, Travel agency

1. Heading

02 02 77 10 : Preparatory action — Euromed innovation entrepreneurs for change

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 988 102,51	603 777,95	2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 988 102,51	603 777,95	2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

Provision of 2 ad-hoc grants for extension of innovation management and cluster management activities to Euromed countries.

Call for tenders for services related to cluster matchmaking events, networking and small projects of women entrepreneurs, incubators, investor communities, and entrepreneurs in the diaspora communities as well as provision of ‘train-the-trainer events’ related to management of Intellectual Property Rights.

Intentions for the current year 2013:

Ongoing implementation of the 3 actions launched in 2012, call for tenders for new action to be launched in 2013.

Expected results

Action
IMP ³ rove ad-hoc grant: 50 local IMP ³ rove Guides trained 200 local SMEs assessed by trained IMP ³ rove Guides Establishment of local IMP ³ rove Award IMP ³ rove materials translated into Arabic
Cluster Foundation ad-hoc grant : 24 trainers trained 20 cluster managers trained 12 clusters obtaining bronze label for cluster excellence Training materials translated into French and Arabic

Action
Service contract:
Website & presence in social media
5 regional reports on potential for cluster cooperation in IT/media and the creative industries, conventional and renewable energies and energy distribution, environmental technologies and services, agrofood and tourism
Documentation of min. 50 clusters, other business networks and incubators in the four Euromed countries & of policies towards expat communities in Europe
4 business & cultural communication guides
50 training days for intermediaries on IPR management in SMEs
10 soft-landing service centres for start-ups
6 investor workshops
Networking activities for women entrepreneurs, expat communities
Cluster matchmaking events

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	25/01/12
Ad-hoc grants	
Publication of call for proposals	06/03/2012
Closing date of call for proposals	23/03/2013
Signature IMP ³ rove ad-hoc grant	08/08/2012
Pre-financing payment IMP ³ rove	24/08/2012 (EUR 299.099,80)
Interim payment	11/2013
Signature Cluster Foundation ad-hoc grant	26/10/2012
Pre-financing payment Cluster Foundation	12/11/2012 (EUR 199.996,45)
Interim payment	12/2013
Call for tenders	
Publication of call for tenders	05/06/2012
Closing date of call for tenders	27/07/2012
Signature of service contract	27/11/2012
Pre-financing payment	15/12/2012 (EUR 104.690,70)
Interim payment	11/2013

- 2013 appropriations

Step	Date
Adoption of financing decision	26/03/13
Publication of call for tender	05/2013
Closing date of call for tender	07/2013
Signature of service contract	11/2013
Pre-financing payment	12/2013

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the year 2012: the description of the implementation of this Preparatory Action is included in the financing decision C(2012)208 adopted on 25 January 2012.

For the year 2013: the description of the implementation of this Preparatory Action is included in the financing decision C(2013)1612 adopted on 26 March 2013.

- Do similar activities exist, which are already covered by a legal basis?

The activities related to innovation management and cluster excellence build on projects that have been conducted in the EU with financing from the CIP Framework programme. The established platforms are no extended to include the four targeted countries.

The activities foreseen under the call for tender build in part (~30%) on activities supported in the past through the INVESTinMed project. This project ended in 2011 so there are no parallel activities in place funded from other legal bases. The majority of activities included in the tender are new in format or provide the partner countries a possibility to draw on European tools.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The action could be continued under the proposed European Neighbourhood Instrument (COM(2011) 839 final), as part of the implementation of the specific objectives on "achieving progressive integration into the Union internal market and enhanced sector and cross-sectoral cooperation ..." and "sustainable and inclusive development in all aspects ...through private sector development ... climate action ..." (Article 2(b) and (d)).

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
extending the activities of the IMP ³ rove-European Innovation Management Academy	IMP ³ rove-European Innovation Management Academy represented by A.T. Kearney, DE	598.181,60
extending the activities of the European Foundation for Clusters and Competitiveness	European Foundation for Clusters and Competitiveness represented by the University of Navarra, ES	399.992,91
Implementation of services related to cluster matchmaking events, networking and small projects of women entrepreneurs, incubators, investor communities, and entrepreneurs in the diaspora communities as well as provision of 'train-the-trainer events' related to management of Intellectual Property Rights	Consortium led by ANIMA Investment Network Association, FR	989.928,00

2013 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Service contract to implement services related to supporting green technology transfer from Europe to the four Mediterranean countries to help entrepreneurs and SMEs from these countries build new entrepreneurial activities based on green technologies, such as cleantech services that support the "greening of industry" and innovative business solutions in support of resource-efficiency. 24 months duration	Not yet known	2.000.000

5.5 Method of implementation (tenders, grants, other) and evaluations

2012: 2 direct (ad-hoc) grants to European organisations considered to have a de-facto monopoly in their respective fields, 1 open call for tenders resulting in a service contract.

2013: Open call for tenders resulting in service contract.

5.6 Types of beneficiaries

Cf. section 5.4 above. The contractor for the 2013 call for tender will be known after the completion of the tender procedure.

1. Heading

02 06 77 01 : Preparatory action — GMES operational services

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	3 319 711,89	p.m.	1 350 000	p.m.	1 058 313	0,0 %	-21,6 %
Reserve							0,0 %	0,0 %
Total	0,00	3 319 711,89	p.m.	1 350 000	p.m.	1 058 313	0,0 %	-21,6 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for three consecutive years under the 2008, 2009 and 2010 budgets.

Detailed information on actions supported can be found in Reports of previous years.

The objective for 2012 and 2013 is the successful implementation and finalisation of actions financed from 2008 to 2010.

The first phase of the preparatory action was focused on the uptake of the GMES emergency service and successfully closed end of 2011. The second phase has begun to deliver first results on reference data and related inventories. The third phase of the preparatory action focussed on atmosphere and marine applications that have achieved the maturity to obtain operational funding. The follow-up for phase will continue in 2013.

The preparatory action covers three years only from 2008 to 2010. An interim evaluation of the preparatory action was carried out in 2012 (available on the Europa website at the following address : <http://copernicus.eu/pages-principales/library/study-reports/> <http://copernicus.eu/pages-principales/library/study-reports/>).

2013 will see further implementation and payments on actions set up in 2008-2010. The payment appropriations foreseen for 2014 cover the final settlement of the third phase of the Preparatory Action. The related contracts end in December 2013 with the delivery of the Final Reports.

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2013 will see further implementation and payments on actions set up in 2008-2010. The payment appropriations foreseen for 2014 cover the final settlement of the third phase of the Preparatory Action. The related contracts end in December 2013 with the delivery of the Final Reports.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2010: A first payment for lot 1 of 2009 call was done in 2010, interim report and payment in 2011, payment will continue until the final report and payment in 2013. Interim payments for 2008 call, payments will continue until the final report and payment in 2012.

2011: First and interim payments for lot 1 and lot 2 of 2010 call, first and interim payments for lot 2 of 2009 call and interim payment for 2008 call.

2012: Interim payments for lot 1 and lot 2 of 2010 and 2009 calls and final payment for 2008 call.

2013: Final payments for lot 1 and lot 2 of 2009 call. Interim payments for lot 1 and lot 2 of 2010 call.

2014: Final payments for lot 1 and lot 2 of 2010 call.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Is there any documentation setting out the main elements of this pilot project / preparatory action?

For the 2008 commitment appropriations the tender has been published in OJ 2008/S 110-146931 of 7/6/2008. For the 2009 commitment appropriations the tender has been published in OJ N°2009/S 114-163740 of 17/6/2009. In the case of the 2010 commitment appropriations, the tender has been published in OJ 2010/S 99-148566 of 22/05/2010.

- Do similar activities exist, which are already covered by a legal basis?

GMES-related activities, precursors of the future operational services, are currently mainly financed by the 7th Research Framework Programme (FP7).

The overall objective of the preparatory action (covering commitment appropriations under the 2008, 2009, and 2010 budgets) is to bridge the gap between the current situation and the future GMES programme by progressively transferring mature activities into the operational core services. The 2008 action is using the products generated through FP7 activities and focuses on their integration into the users' environment. The 2009 action is implementing and providing to European users reference data for two of the most essential themes of the INSPIRE directive (elevation and hydrography data). Finally, the 2010 action is building again on products generated through FP7 activities to deploy atmosphere and marine applications in the operational environment.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The Preparatory Action was followed up by a legislative proposal in 2009 (COM(2009)0223). On 22 September 2010 the Regulation (EU) No 911/2010 of the European Parliament and of the Council on the European Earth monitoring programme (GMES) and its initial operations (2011 to 2013) was adopted.

5.4 Type of activities financed, number and amounts

2010: EUR 4,1 million commitments (main activity: atmosphere and marine service).

5.5 Method of implementation (tenders, grants, other) and evaluations

For all three years 2008 to 2010 the preparatory action was implemented via calls for tender.

5.6 Types of beneficiaries

2008: e-geos (IT).

2009: Lot 1: Indra Sistemas (ES); Lot 2: SiTI (IT).

2010: Lot 1: GAF AG (DE); Lot 2: Kongsberg Satellite Services (NO).

1. Heading

04 03 77 07 : Preparatory action — Your first EURES Job

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	3 250 000,00	1 197 688,41	5 000 000	2 250 000	p.m.	3 880 000	-100,0 %	72,4 %
Reserve							0,0 %	0,0 %
Total	3 250 000,00	1 197 688,41	5 000 000	2 250 000	p.m.	3 880 000	-100,0 %	72,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this preparatory action under the 2011, 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

"Your first EURES job" (hereinafter referred to as 'YfEj') is a new job mobility scheme in the form of a preparatory action which aims to boost young people's access to the EU labour markets. The action has been initiated in 2011, based on the workers' freedom of movement as set out in the Treaties (Art 45 of the TFEU).

YfEj is one of key actions of the Europe 2020 flagship Communication "Youth on the Move" and the communication "Youth Opportunities Initiative". The objective is to ensure the job placement of around 5000 young people in 2012-2013.

The target groups are young people aged 18-30 and employers (all businesses, SMEs in particular). The scheme is based on the concept of a customised mobility scheme combined with financial support to help young people find a job in other EU countries. It finances inter alia language courses and travel expenses for young job applicants (for job interviews) and gives a contribution to an integration programme, in the case of recruitment by a SME.

Four projects from DE, DK, IT and ES were granted. They are deemed to ensure 2000 job placements (500 per project) over 18 months (until September 2013). Three out of the four projects started activities in mid-2012. The Spanish project has started in December 2012 only, due to national legal proceedings. Some of these projects may be extended beyond September 2013, if necessary, for an optimal implementation.

The main objective in 2012 has been to ensure the kick-off implementation of projects and initiate transnational job matching and job placement activities.

Intentions for the current year 2013:

A second wave of activities with five new projects (IT(2), DK, NL and SE) will soon be initiated, following the publication of the second call for proposals in 2012. These projects are deemed to achieve around 1500 job placements.

The third and last wave of projects (2013 call) is expected to start by early 2014 for another 2000 work placements. It will be open not only to jobs but also to traineeships and apprenticeships, in line with the recommendation by the European Council of June 2012.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

- 2011 appropriations

Step	Date
Adoption of financing decision	12/07/2011
Publication of call for proposals VP/2011/006	20/08/2011
Closing date of call for proposals	20/10/2011
Award decision / signature of grant agreements	February / March 2012
Start of activities	March 2012

- 2012 appropriations

Step	Date
Adoption of financing decision	8/03/2012
Publication of call for proposals	22/06/2012
Closing date of call for proposals	20/09/2012
Award decision	30/01/2013
Pre-financing payment	May 2013
Interim payment	n.a.
Final payment	September 2014

- 2013 appropriations

Step	Date
Adoption of financing decision	03/04/2013
Publication of call for proposals	July 2013 (first half)
Closing date of call for proposals	October 2013 (first half)
Award decision	February 2014
Pre-financing payment	May 2014
Interim payment	n.a.
Final payment	September 2015

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The relevant Financing Decisions and the texts of the calls for proposals, VP/2011/006 and VP/2012/006 respectively. Further info at <http://ec.europa.eu/social/yourfisteuresjob>

For the 2013 appropriations the financing decision C(2013)1833 was adopted on 3 April 2013.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The Commission proposed that YfeJ be continued under the next Multiple Financial Framework (2014-2020 MFF) as a "targeted job mobility scheme", using the resources of the proposed EU Programme for Social Change and Innovation (PSCI, COM(2011)609final), EURES Axis. "Targeted job mobility schemes" are meant to be tailor-made

job mobility actions combined with financial support which aim to support specific economic sectors, countries or jobseekers, young people in particular.

5.4 Type of activities financed, number and amounts

Projects selected further to the 2011 call for proposals.

Beneficiary	Country	Project Title	EU Grant Award (EUR)
Bundesagentur für Arbeit-Zentrale Ausland- und Fachvermittlung	Germany	Provincia di Roma – Dipartimento III Servizio 2	997 500
Servicio Publico de Empleo Estatal – Ministerio de Trabajo e Inmigración	Spain	"Your first EURES job" – Permanent Cooperation Bridges	950 000
The department of Employment, the city of Aarhus	Denmark	"Get started – Your first EURES job"	1 018 875
Provincia di Roma – Dipartimento III Servizio 2	Italy	"EURES Job for Youth"	1 025 919,71
Total			3 992 294,71

Projects selected further to the 2012 call for proposals.

Beneficiary	Country	Project Title*	EU Grant Award (EUR)
Arbetsformedlingen	Sweden	"Your first EURES job" project	537 536,39
GI GROUP SPA	Italy	"Your first EURES job" project	986 011,48
Municipality of Aarhus – Empl. Depart.	Denmark	"Your first EURES job" project	645 136,00
Werkcenter Nederland BV	Netherlands	"Your first EURES job" project	405 890,61
Ministry of Labour and Social Policies	Italy	"Your first EURES job" project	675 184,61
Total			3 249 759,09

* All projects are deemed to have the same brand name

5.5 Method of implementation (tenders, grants, other) and evaluations

YfEj is implemented through annual open calls for proposals.

The Commission has initiated in parallel the evaluation of "Your first EURES job" with the support of an external and independent consultant (Restricted call for tender VT/2012/067). The evaluation study will have around 14 month duration It should identify the success factors for this kind of schemes and provide guidance for future improvements.

5.6 Types of beneficiaries

The YfEj calls for proposals may support and finance:

- EU labour market organisations – public, private or third sector employment services, experienced in transnational job matching and job placement activities (project implementers);
- legal and natural persons (i.e. SMEs and young people) who are the end-recipients of YfEj financial support.

1. Heading

04 03 77 09 : Preparatory action — Information centres for posted workers and migrant workers

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	100 000	-100,0 %	-60,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	100 000	-100,0 %	-60,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this preparatory action for the first year under the 2013 budget.

Intentions for the current year 2013:

- Ensure the equal treatment and non-discrimination of intra-EU migrant workers on the host labour market by means of creating information centres in the Member States to provide information, advice, support, including legal support, to migrant workers, including posted workers.
- Remove existing barriers to mobility of EU workers by enhancing the enforcement of rights conferred by EU law and providing information and legal support to those EU workers who are facing discrimination based on nationality.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	Second Quarter 2013
Publication of call for proposals	Second Quarter 2013
Closing date of call for proposals	Second Quarter 2013
Award decision	Third Quarter 2013
Pre-financing payment	Third Quarter 2013
Interim payment	2014
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision foreseen for June 2013 will set out the main elements of the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

Implementation will be based on the past pilot project “Working and living conditions of posted workers” (budget item 04 03 77 03, former 04 03 09). Information on the implementation of the pilot project was detailed in the Working document Part IV of May 2011 (working document under 2012 Draft Budget COM(2011)300).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Article 46 TFEU is the legal basis for an initiative (in principle a proposal for a Directive) on measures facilitating the effective exercise of free movement of workers within the EU. This proposal is already in an advanced stage of elaboration and is expected to be adopted by the Commission by the time of implementation of the preparatory action. Therefore, the preparatory action will support the said legislative proposal and contribute to the effective implementation of the measures conferred by the Directive.

5.4 Type of activities financed, number and amounts

Not yet known as 2013 is the first year of implementation. See also section 5.5.

5.5 Method of implementation (tenders, grants, other) and evaluations

To implement the proposed activities, it is considered to sign one grant agreement and one service contract:

- For study and pilot measures: a call for proposals addressed to the existing equality bodies is planned to be launched with this regard. A single proposal will be awarded a grant and the project might be led by one body or a partnership of a number of bodies.
- For stakeholders conference, a conference should be organised by a contractor under a framework contract available in the Commission. The conference could gather 60-70 participants, including at least 10-15 equality bodies

5.6 Types of beneficiaries

For the study and pilot measures: Existing equality bodies in the Member States.

1. Heading

04 03 77 13 : Preparatory action — Activation measures targeting young people — implementing the 'Youth on the Move' initiative

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	4 000 000,00	0,00	2 000 000	2 000 000	p.m.	2 000 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	4 000 000,00	0,00	2 000 000	2 000 000	p.m.	2 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory Action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this preparatory action under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

The objectives of the preparatory action are the following:

- target young people including those who are neither in education, nor in employment or training (so-called NEETs),
- explore how the Youth Guarantee would work in practice in the Member States,
- aim at establishing innovative action as regards the transition from unemployment to employment of young people and at spreading this knowledge to all Member States,
- ensure a job or further education or activation measures for young people within four months of unemployment.

Intentions for the current year 2013:

The 2012 Preparatory Action Youth Guarantees funded with EUR 4 million is being implemented via a call for proposals (VP/2012/012 launched in August 2012). 115 proposals were submitted by the deadline of October 2012. Due to the large number of applications received, the evaluation procedure has been longer than initially foreseen and should be finalised in June 2013.

The additional EUR 2 million earmarked under the 2013 Budget for the same objectives will be implemented through a new call for proposals.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	11/07/2012
Publication of call for proposals	20 August 2012

Step	Date
Closing date of call for proposals	22 October 2012
Award decision	Foreseen for June 2013
Pre-financing payments	Foreseen as from July 2013
Final payments	September 2014

- 2013 appropriations

Step	Date
Adoption of financing decision	Foreseen for July 2013
Publication of call for proposals	September 2013
Closing date of call for proposals	November 2013
Award decision	March 2014
Pre-financing payments	Foreseen as from April 2014
Final payments	April 2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

2012 appropriations: Commission Decision C(2012)4690 of 11 July 2012

Call for Proposals VP/2012/012 available on the Europa Website:

<http://ec.europa.eu/social/main.jsp?catId=631&langId=en&callId=362&furtherCalls=yes>

The 2013 financing decision is in preparation and foreseen for July 2013.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

While not directly following up on this project, from 2014 onwards the Youth Guarantee could be financed via the European Social Fund (ESF), including with additional funding foreseen for specific regions through the Youth Employment initiative. These instruments will help Member States to implement the Recommendation to establish a Youth Guarantee Council adopted at the EPSCO in February 2013.

References:

COM/2013/0145 final - Proposal for an Amendment to the Commission Proposal COM(2011) 607 final/2 for a Regulation on the European Social Fund and repealing Regulation (EC) 1081/2006

COM/2013/0146 final - Proposal for an Amendment to a Commission Proposal COM(2012) 496 Regulation of the European Parliament and Council laying down common provisions on the ERDF, the ESF, the Cohesion Fund, the EARDF and the EMFF covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006.

Council Recommendation of 22 April 2013 on establishing a Youth Guarantee (2013/C 120/01).

5.4 Type of activities financed, number and amounts

2012 appropriations: as the award procedure under the call for proposals is being finalised (award decision expected mid-June 2013), the detailed results are not yet available.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented by way of calls for proposals (grants).

5.6 Types of beneficiaries

National, regional or local authorities, social partners, public employment services

1. Heading

04 03 77 14 : Preparatory action — Social innovation driven by social business and young entrepreneurship

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	150 000	-100,0 %	-70,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	150 000	-100,0 %	-70,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this preparatory action for the first year under the 2013 budget.

Intentions for the current year 2013:

The objective of the preparatory action is to identify, develop, promote and disseminate good practices in supporting young or social entrepreneurs. A special focus will be on the preparation of the financial instruments in the 2014-2020 period notably in terms of investment readiness to help develop and establish feasible, suitable and reliable schemes or funds providing equity or mezzanine funding (including venture philanthropy). The preparatory action will target for instance support structures providing business development services for social enterprises or young entrepreneurs, potential finance providers or financial intermediaries in a number of pilot regions.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	July 2013
Publication of call for proposals	July 2013
Closing date of call for proposals	September 2013
Award decision	October 2013
Prefinancing payment	November 2013
Interim payment	December 2013
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision (currently in preparation) will set out the details for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the preparatory action, such details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is likely to be implemented through a call for proposals (grants).

5.6 Types of beneficiaries

Foundations, cooperatives, associations and other social economy actors.

1. Heading

06 02 77 01 : Preparatory action — European transport information and booking interface across transport modes

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	-	750 000	-	450 000	0,0 %	-40,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	-	750 000	-	450 000	0,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 budget.

Objectives followed during the 2012 implementation:

The stated aims of the preparatory action are in line with the contention in the White Paper "Roadmap to a Single European Transport Area –Towards a Competitive and Resource Efficient Transport System" that when abroad, the travel experience should be as easy as for local travellers. The White Paper's vision is for smart, integrated, electronic reservation systems available through user friendly interfaces over the web and covering all available air, rail, ferry and public transport modes and their interfaces with private car use, i.e. including reservation of parking spaces. Passengers should be delivered a coherent service with simplified interchanges, thorough information and hassle-free ticketing.

The Preparatory Action needs to take full account of the rapidly evolving transport traveller information and ticketing sectors. New ticketing strategies are being introduced into the marketplace and consumer needs are changing. At the same time there is increasing pressure from government bodies on operators and local authorities to implement and integrate travel information and smart ticketing solutions in order to modernize and improve services.

The Preparatory Action would involve a consortium whose task is to help decide on the future role that the EU takes to facilitate the White Paper's vision and test out the 'Subsidiarity', 'Proportionality' and 'European Added Value' for Commission involvement in the roll out of integrated, travel information and smart ticketing. The consortium would fully assess how the market is evolving and identify whether a 'business as usual' strategy would result in the market delivering integrated travel information and ticketing. The Preparatory Action would include a regional, cross-border trial involving all relevant transport modes and system providers including the banking and telecom sectors.

Intentions for the current year 2013:

The Preparatory Action resulted in the development of a service contract commenced in April 2013. For 2013, phase I of the work will commence over a nine month period and will take the form of a study that would advise on the future role that the EU takes to facilitate the White Paper's vision and test out the 'subsidiarity', 'proportionality' and 'European added value' for Commission involvement in the roll-out of integrated, multimodal travel information, journey planning and smart ticketing services across the European Union. The study would fully assess how the market is evolving and identify whether a 'business as usual' strategy would result in the market delivering integrated

travel information and ticketing and by when. Phase I of the contract should be completed in 2013 a decision will be made as to whether to continue towards phase 2 and if this is positive then phase 2 will operate throughout 2014.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	08/6/2012
Publication of call for tender	21/07/2012
Closing date of call for tender	28/09/2012
Award decision	07/12/2012
Pre-financing and interim payments	2013
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decision of 8 June 2013 (C(2012) 3704 final)

http://ec.europa.eu/transport/facts-fundings/tenders/work-programme/doc/2012/2012-3704-decision-financing_en.pdf

The call for tender and tender specifications are available on the Europa website:

<http://ec.europa.eu/transport/facts-fundings/tenders/doc/specifications/2012/s139-231662-specifications.pdf>

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Contractor	EUR (maximum)
Call for tender	Amadeus IT Group SA, Madrid, SPAIN	1 391 600

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action was implemented by way of an open call for tender.

5.6 Types of beneficiaries

The parties involved in the development, implementation and operation of multimodal travel information services and integrated smart ticketing can be broadly categorised according to the following headings: the travelling public, transport authorities, transport operators, providers of journey planners (be it public or private), banks, telecom operators, standards bodies, equipment suppliers, and ITS services suppliers.

1. Heading

06 02 77 03 : Preparatory action — Ships fuelled by liquefied natural gas (LNG)

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted under this preparatory action for the first year under the 2013 budget.

Intentions for the current year 2013:

- 1) To address the remaining aspects for completing an EU-wide framework for marine LNG distribution, bunkering and use.
- 2) to create awareness of the benefits of a deployment of LNG as an alternative fuel for maritime transport.
- 3) to provide a market overview and identify some of the barriers.
- 4) to assess and promote financial mechanisms that could support the rapid deployment of the marine LNG technologies.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	07/06/2013
Publication of call for tender	30/08/2013
Closing date of call for tender	30/11/2013
Award decision	28/02/2014
Pre-financing payment	15/04/2014
Interim payment	-
Final payment	15/04/2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2013)3383 o 7 June 2013 sets out the details of the implementation of the preparatory action.

Other reference documents include:

- Commission proposal of a Directive of the European Parliament and the Council on the deployment of alternative fuels infrastructures COM(2013) 18/2.
- Commission Staff working document "Actions towards a comprehensive EU framework on LNG for shipping" SWD(2013) 4 final of 24/01/2013. This LNG working paper is part of the Clean Power for Transport package

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The preparatory action is already closely linked to a legislative proposal - Proposal of a Directive of the European Parliament and of the Council on the deployment of alternative fuels infrastructure (COM (2013)18/2).

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will be implemented by way of procurement. An open call for tender, for each of the objectives a separate work package will be created.

5.6 Types of beneficiaries

As the action will be implemented by way of an open call for tender, no restriction is expected on the type of contractor.

1. Heading

12 02 77 03 : Preparatory action — Single Market Forum

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 200 000	600 000	p.m.	600 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 200 000	600 000	p.m.	600 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory Action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action for the first year under the 2013 Budget. It follows a pilot project (budget line 12 02 03, now 12 02 77 01 for the completion line) for which commitment appropriations were voted under the 2011 and 2012 budgets.

Intentions for the current year 2013:

On 11 November 2010³ the Commission adopted the Communication “Towards a Single Market Act” that announces the following:

"...the Commission will regularly examine the state of the single market in partnership with the actors concerned by organizing a Single Market Forum with the European Parliament each year involving the other institutions, representatives of the Member States (including at regional and local level), national parliaments, citizens and stakeholders. The first Forum is expected to take place in 2011".

The estimated budget for the organization of the Single Market event in the year 2013 is EUR 1 200 000.

Following on the footsteps of the Single Market Forum of 2011, and the Single Market Week in 2012, a new format will be presented in 2013 to connect Europe's citizens and businesses with policy-making, rights, and opportunities in the EU's Single Market. It is planned to hold a month of online debates in each Member State of the EU, with one debate per week on a different theme. During that month, four themes will be debated simultaneously in 24 languages, resulting in 96 debates that will all feed into EU policy-making – as well as give a voice to those who participate. The debates will be preceded by working group discussions, and end with a televised closing debate that will draw on the results of the four themed online debates.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2013 appropriations

Step	Date
Adoption of financing decision	22 May 2013
Publication of call for proposals / call for	NA (use of FW from DG ENTR)

³ COM(2010) 608 final/2

Step	Date
tender	
Closing date of call for proposals / call for tender	NA (use of FW from DG ENTR)
Award decision	End May 2013/Early June 2013
Pre-financing payment	June 2013
Interim payment	July/August 2013
Final payment	1 st Quarter 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2013)29 01 of 22 May 2013 sets out the main elements for the implementation of the preparatory action.

Documentation on the 2011 and 2012 Single Market Forum (financed by a pilot project) is available on the Europa website:

http://ec.europa.eu/internal_market/top_layer/single_market_forum_en.htm

http://ec.europa.eu/internal_market/20years/singlemarket20/index_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2013 appropriations (if already known) :

- Services such as setting up a general online platform for public debates; identifying and mobilising target audiences at European and national level (organisations, networks, online and offline communities, etc.) for each thematic debate; promoting the online debates (translation and implementation of a visual identity, social media presence, possible media partnership, online promotion, media relations, etc.) and moderating and monitoring the debates (including filtering and translating contributions) will be outsourced. Outsourced services may also include setting up of working groups and taking part in the organisation of the closing debate (specific equipment or accommodation for the people invited to this event). The total estimated budget for outsourcing is EUR 1 100 000, which will be implemented under an existing framework contract. A specific contract will be signed with the selected external contractor responsible for the design, development and management of the various actions.
- An amount of EUR 100 000 will be used for public procurement procedures to support Member States in organizing national events in four EU countries, each on one of the main themes of the online debates. The appropriations will relate to expenses for travel arrangements of the speakers, costs of reservation of rooms, rental of IT equipment, promotional materials and additional costs for the closing debate, such as costs of security services of the European Parliament for the use of their premises or the fee for the moderator of the debate. This is in line with the request of the European Parliament. A maximum of 20 contracts will be signed during the second and third quarter of 2013.

5.5 Method of implementation (tenders, grants, other) and evaluations

The appropriations under the Preparatory action are implemented via procurement services.

5.6 Types of beneficiaries

The Single Market Forum gathers market participants, e.g. businesses, social partners, non-governmental organisations and those representing citizens, public authorities at various levels of government and parliaments. It examines the state of the Single Market, the transposition and application of directives, and exchanges best practices. The forum contributes to policy evaluations and plays its part in monitoring the implementation of the Single Market Act and its impact at grassroots level.

1. Heading

15 02 77 05 : Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	3 500 000,00	2 800 000,00	4 000 000	2 600 000	p.m.	700 000	-100,0 %	-73,1 %
Reserve							0,0 %	0,0 %
Total	3 500 000,00	2 800 000,00	4 000 000	2 600 000	p.m.	700 000	-100,0 %	-73,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 and 2013 Budgets. It follows a pilot project (15 02 31, now 15 02 77 03 for the completion line) for which commitment appropriations were voted under the 2010 and 2011 budgets.

This preparatory action first envisioned contributing to the emergence of a new generation of professionals with an excellent knowledge of Europe, its policies and the European Institutions. It was then developed and aimed at graduate students from EU Member States and ENP countries who wanted to take a Master's Degree in European Studies and EU graduate students wanting to specialise in ENP policies.

It goes in line with the budgetary amendment, and the ENP 2012 and 2013 work programme translated it into several objectives:

- Support students from European Neighbourhood countries and from the EU Member States unable to cover their study costs or acquire funding from their own country. The objective is to allow them to study at the College of Europe in order to increase the number of professionals "fully and professionally acquainted with the substance and spirit of EU policies, law and institutions and the *acquis communautaire*" and thus to expand the students' professional capabilities and enable them to deal with the EU policies and ENP policies to encourage the emergence of a new generation of professionals with an excellent knowledge of Europe, its policies and the European Institutions..
- Support the functioning of the ENP Chair in Natolin. The Chair is aiming to facilitate the development of teaching on the theory and practice of EU neighbourhood policies towards its Eastern and Southern neighbours through an interdisciplinary approach. The Chair holder will engage in research focusing on European Neighbourhood Policy and leading to academic publications. The research fellows will be asked to support students working on ENP-related Masters theses by providing academic and also theoretical and methodological advice and guidance. They will be involved in additional activities linked to the Chair (e.g. (international) conferences, seminars, guest lectures, publications and missions for academic staff and researchers). The ENP Chair will also initiate and organize, on an annual basis, a European Neighbourhood

Summer School for researchers, experts and students interested in the political, economic, financial and cultural dimension of the ENP, the neighbourhood itself, and EU foreign policy making in general.

- Within this work programme various academic events on ENP related themes will be organised at the Bruges and Natolin campuses and specialist research trips for students to neighbouring countries to enhance their knowledge and understanding of ENP in practice.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	C(2012)5756 of 17 August 2012
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decisions	Dated 22/08/2012 (Bruges) and 06/09/2012 (Natolin)
Pre-financing payment	EUR 2.800.000 (paid in 2012)
Interim payment	N/A
Final payment	EUR 700.000 after completion of the action in 2014

- 2013 appropriations

Step	Date
Adoption of financing decision	Foreseen in April 2013
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decisions	Foreseen in May 2013
Pre-financing payment	EUR3.200.000 – Foreseen in June 2013
Interim payment	N/A
Final payment	EUR 800.000 after completion of the action in 2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C (2012)5756 of 17 August 2012 adopted for the year 2012 sets out the main elements of the preparatory action.

The 2013 financing decision is under preparation.

Foreseen results are:

1. to enable students in both Campuses to study for a Master's Degree in European Studies and ENP policies during the academic year 2012 – 2013 and to test the feasibility and usefulness of a specific scholarship scheme for students coming from the European Neighbourhood countries to enrol in the quality academic action-programmes of the College of Europe and for the students of EU Member States to specialise in ENP policies.
2. The development of other educational modules.
3. The functioning of the ENP Chair in Natolin.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

In 2014, it is expected to integrate the ENP preparatory action and related activities into the grants foreseen in the 2014 “Erasmus for All” programme in favour of the College of Europe, continuing as a designated institution (cf. article 10 of Commission proposal for the Erasmus for All programme COM(2011)788).

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary	EUR (maximum)
GRANT AGREEMENT - PREP. ACTION EUR. NEIGHBOURHOOD POLICY	COLLEGE OF EUROPE BRUGES	2.040.400
GRANT AGREEMENT - PREP. ACTION EUR. NEIGHBOURHOOD POLICY	COLLEGE OF EUROPE NATOLIN	1.459.600

2013 appropriations

Title / Action	Beneficiary	EUR (maximum)
GRANT AGREEMENT - PREP. ACTION EUR. NEIGHBOURHOOD POLICY	COLLEGE OF EUROPE BRUGES	2.332.000
GRANT AGREEMENT - PREP. ACTION EUR. NEIGHBOURHOOD POLICY	COLLEGE OF EUROPE NATOLIN	1.668.000

5.5 Method of implementation (tenders, grants, other) and evaluations

Under Article 190(1) (f) of the Rules of Application to the Financial Regulation, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation, on condition that the actions concerned do not fall within the scope of a call for proposals.

The amount of the grant in 2013 (EUR 4.000.000) will be split into two action grants (one for each campus) on the basis of a flat-rate financing for the cost of the studies and the reimbursement of part of the actual eligible costs of the other related academic activities awarded to the College of Europe in both Campuses Bruges and Natolin, as well as part of the eligible cost for the functioning of the ENP Chair in Natolin.

5.6 Types of beneficiaries

The direct beneficiary of the grant is the College of Europe in both Campuses Bruges and Natolin, designated in accordance with Article 190(1) (f) of the Rules of Application.

Final beneficiaries of the grant are students from European Neighbourhood countries and EU Member States who study for a Master's Degree in European Studies in the College of Europe (Bruges and Natolin Campuses).

1. Heading

15 02 77 08 : Preparatory action — European partnerships on sport

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	3 999 269,19	1 308 190,39	4 000 000	2 500 000	p.m.	2 492 800	-100,0 %	-0,3 %
Reserve							0,0 %	0,0 %
Total	3 999 269,19	1 308 190,39	4 000 000	2 500 000	p.m.	2 492 800	-100,0 %	-0,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 and 2013 budgets.

The preparatory Action - European Partnerships on Sport, builds on the priorities set in the Communication "Developing the European Dimension in Sport" of January 2011, the Resolution of the Council on an EU Work Plan for Sport for 2011-2014 of May 2011, the Communication "A Budget for Europe 2020" of June 2011 and the proposal from the Commission for a Regulation of the European Parliament and the Council establishing "Erasmus for All", the Union programme for Education, Training, Youth and Sport, adopted on 23 November 2011. A number of actions foreseen in these new policy documents go beyond the experience and scope of the previous Preparatory Actions in the field of sport (2009-2011), which were based on the 2007 White Paper on Sport. They therefore require testing in terms of feasibility and usefulness in preparation for the new programme for the period 2014-2020.

Intentions for the current year 2013:

Building on the objectives above and the implementation of the first year of the Preparatory Action in 2013, the 2013 Preparatory Action - European Partnership on Sports will focus on testing the feasibility of financing actions through the use of third-party funding. This type of action is foreseen in article 12 paragraph 2 of the Commission's proposal for the Regulation establishing "Erasmus for All", which states that "the sport activities supported shall, where appropriate, leverage supplementary funding through partnership with third parties such as private undertakings". The Preparatory Action will test as a priority new activities in areas which have not yet been covered by earlier funding initiatives in the field of sport, such as the mobility of volunteers, coaches and staff of non-profit sport organisations, health and safety of young athletes and traditional sports.

The Preparatory Action will also finance studies, conferences and seminars to be organised in the field of sport so as to create a basis for future EU partnerships.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	29 March 2012
Publication of call for proposals / call for	17 April 2012

Step	Date
tender	
Closing date of call for proposals / call for tender	31 July 2012
Award decision	30 October 2012
Pre-financing payment	December 2012-January 2013
Interim payment	-
Final payment	June 2014-September 2014

- 2013 appropriations

Step	Date
Adoption of financing decision	27 March 2013
Publication of call for proposals / call for tender	Expected mid-April 2013
Closing date of call for proposals / call for tender	Expected 31 July 2013
Award decision	Expected October 2013
Pre-financing payment	Expected December 2013
Interim payment	-
Final payment	Expected June-September 2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Implementing Decision C(2012)1979 of 29 March 2012 on the adoption of the 2012 annual work programmes on grants and contracts for the Preparatory Action - European Partnership on Sports and for the Pilot Project - Knowledge Partnerships.

Commission Decision C(2013)1759 of 27 March 2013 on the adoption of the 2013 annual work programme on grants and procurement for the Preparatory Action European Partnership on Sports and for the Special Annual Events.

White Paper on Sport (2007)

Communication "Developing the European Dimension in Sport" of January 2011.

Resolution of the Council on an EU Work Plan for Sport for 2011-2014 of May 2011.

- Do similar activities exist, which are already covered by a legal basis?

Not at this stage.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

A specific follow-up is foreseen under the new Programme for Education, Training, Youth and Sport "Erasmus for All", which has a dedicated Chapter to actions in the field of sport (see Chapter III of the Commission proposal COM(2011) 788 final).

5.4 Type of activities financed, number and amounts

2012 appropriations

Grants:

Title / Action	Beneficiary	EUR (maximum)
Promoting urban sports for all	Comunità Nuova ONLUS	144.000,00
Keep rugby onside: promoting integrity in rugby	International Rugby Board (IRB)	60.000,00
Educating European Elite Athletes about	European Elite Athletes Association	231.741,00

Title / Action	Beneficiary	EUR (maximum)
Match Fixing		
Don't fix it! An education & prevention programme	FIFPro	179.166,00
Sports for all: European Cities of Sport for promoting sport activities for citizens	ACES Europe	240.000,00
Dodgeball	Zavod SKL za razvoj in promocijo sporta Ljubljana	157.000,00
Running competitions in the cross-border region	Institute for Eastern Studies	66.000,00
ACTIVEAGE - capacity building for physical activity programs for ageing people	Deutscher Turner-Bund e.V.	84.000,00
Let's Pull Together!	Latvijas virves vilksnas federacija	93.401,00
Eduquer et reponsabiliser les gouvernements et les autorités sportives nationales à la menace des matches truqués	Institut de Relations Internationales et Stratégiques	275.015,00
Active network: European Municipalities and Sport Organisations in partnership	ISCA	200.000,00
SportCityNet	TAFISA	90.000,00
Fit for Life Europe - a local HEPA Framework for elderly in european cities & regions	State Capital Stuttgart	180.000,00
Football ² - international youth football and education	Deutsch-Tschechische Fussballschule	142.347,00
Get Physical - Get up, get out, get moving	Sport Initiative et Loisir Bleu	171.086,00
European paralympic snow sport youth circuit	International Paralympic Committee e.V.	175.000,00
European Street Soccer Cup	Danske Gymnastik	139.700,00
Staying on side: How to stop match fixing	Transparency International e.V.	291867,99
Promoting social inclusion through sport	Municipality of Prato	189.000,00
WAP - walking people, it is never too late to start	Commune di Firenze, Assesorato allo Sport	97.500,00

Procurements:

Title / Action	Contractor	EUR (maximum)
Study on sports organisers' rights in the EU	ASSER Institute	267.820,40
Feasibility study on possible future mobility measures for sport in the EU	Univ Catholique Louvain	98.800,00

5.5 Method of implementation (tenders, grants, other) and evaluations

Call for proposals for grants, call for tenders for studies.

Specific contract with Teamwork for organisation of EU Sport Forum Cyprus September 2012.

5.6 Types of beneficiaries

NGO's – sport organisations, Towns and communes.

Heading 1b – Economic, Social and Territorial Cohesion

1. Heading

13 03 77 05 : Preparatory action — RURBAN — Partnership for sustainable urban-rural development

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	155 083,50	p.m.	p.m.	p.m.	549 014	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	155 083,50	p.m.	p.m.	p.m.	549 014	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2011 budget.

Objectives followed during the 2011 and 2012 implementation:

The main activities of this preparatory action have been decided in agreement with the European Parliament and following discussions and results of a built-up Steering Group meeting, with the participation of DG AGRI, DG REGIO and several European Stakeholders.

The main objective for 2011 has been to conclude contracts for the following activities:

- A preparatory study based on the material resulting from previous seminars organised by DG REGIO on Rural Urban linkages and Territorial Cohesion, on intergovernmental activities (Territorial Agenda), on existing research in this field (ESPON, OECD).
- A comprehensive study on Partnership for sustainable rural-urban development. This study is reviewing existing practices of Urban-Rural partnership in several EU Member States. The review has to deal with both EU regional and rural development policies, and other national/regional existing policy tools. The focus will be on existing cases of integration and synergic use of EDRF and EAFRD funds.
- A contribution agreement with OECD for a study “Urban-Rural Partnerships – Evaluation of Good Policy Practices in the European Union and Selected OECD Countries”. Under this study the OECD would develop several case studies to better understand strategy, implementation and governance of partnerships at local level, with the possible support of upper levels. Selected case studies have to be prepared also on the basis of field interviews and visits. Period of this study: 32 months (contract signed in 2011).

In addition, two specific contracts have been signed under DG REGIO’s framework contract on communication in order to organise two international Conferences on Rural-Urban partnerships one in Metz in 2012 and one in Warsaw in 2013.

One contract was signed for the production of a video to widely disseminate the results of RURBAN study.

Intentions for the current year 2013:

Follow-up of the RURBAN activities and respective interim payments.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2011 appropriations

Step	Date
Adoption of financing decision	21/02/2011
Publication of call for proposals / call for tender	N/A (low value contracts, use of Framework Contract, Contribution Agreement with international organisations)
Closing date of call for proposals / call for tender	N/A (low value contracts, use of FWC, Contribution Agreement with international organisation)
Award decision	21/06/2011
Pre-financing payment	2011-2012-2013
Interim payment	2013
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Financing decision for RURBAN preparatory action C(2011)962 of 21/02/2011.

A study on "Partnership for sustainable rural-urban development: existing evidences" was finalised in 2012. The final payment was made in May 2012.

The OECD submitted a report in line with the contribution agreement.

Information on the implementation of the preparatory action is available on the Europa Website: http://ec.europa.eu/regional_policy/what/cohesion/urban_rural/index_en.cfm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Joint Management: Comprehensive Study on Partnership for Sustainable Urban-Rural Development + Technical Assistance	OECD	550.000
Technical assistance: meetings, seminars and others	Mostra, European Service Network	100.000
Preparatory Study + Dissemination	Bundesamt für Bauwesen und Raumordnung Mostra, European Service Network	1.350.000

5.5 Method of implementation (tenders, grants, other) and evaluations

- Use of Framework contracts

- Negotiated procedure for low value contracts

- Joint management with international organisation (OECD)

5.6 Types of beneficiaries

- International organisations (for the joint management part).

- Private companies (for the procurement part).

1. Heading

13 03 77 06 : Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	969 563,49	2 000 000	2 000 000	p.m.	2 000 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	969 563,49	2 000 000	2 000 000	p.m.	2 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2011, 2012 and 2013 budgets. It follows a pilot project (13 03 77 02, formerly 13 03 23) funded under the 2009 and 2010 budgets.

Objectives followed during the 2011 and 2012 implementation:

The preparatory action was successful and the events organised by the Commission have generally experienced a high demand for participation and a positive appreciation of the work undertaken.

In the case of the Eastern Partnership, the strategy has been to use the regional policy dialogues in Ukraine, Georgia and Moldova as a basis for initiating the Pilot Regional Development Programmes (PRDPs) in these countries. Guidelines have been developed by the EEAS for the preparation of Regional Development Strategies as an initial stage of the PRDPs although most countries submitted documents previously prepared for other purposes. The aim was to have the Regional Development Strategies prepared in 2011-2012, with pilot projects identified and feasibility studies completed before the end of 2012. The aim was achieved in most of the partner countries.

The preparatory action was also used for the work with the most active dialogues' partners (such as Brazil and China) and to support further cooperation with other interested countries and organisations, in particular Chile, Japan, Peru and South Africa. Main activities included: Chinese European Training on Regional Policy programme (CETREGIO) which has allowed since 2010 to nearly 100 Chinese decision makers from national and all 31 provinces to exchange experiences and good practices in more than 40 regions of 12 EU Member States; cooperation with OECD to carry out two major studies (Territorial Reviews of Brazil and Ukraine); the URBELAC network (URBan European and Latin American and Caribbean cities) together with the Inter-American Development Bank which has allowed to 9 European cities to exchange experiences and to conceptualise integrated development processes of cities with 13 Latin American cities; technical assistance on regional innovation strategies provided to Latin American regions, etc.

Intentions for the current year 2013:

The first priority in 2013 will be the implementation of the Eastern Partnership through dialogues on regional policy.

The second priority will be to build on the successful, established dialogues (Brazil, China, Russia, Chile), particularly in such fields as strategic planning, integrated approaches to development and multi-level governance.

Finally, priority will be also given to networking and exchanging experience with other partners involving representatives from EU regions and cities on selected key issues, reflecting both third countries' main challenges and the requests and interests showed by the European Parliament, the EEAS, international organisations and EU regions and cities participating in previous cooperation activities, namely: sustainable urban development and urban-rural linkages, cross-border and interregional cooperation, innovation and clusters promotion, and regional insertion of outermost regions and peripheral territories.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	15/03/2011
Evaluation of the extent to which regions and federated states are involved in the process of continental and sub continental integration	
Publication of call for call for tender	No publication - Call for tenders by negotiated procedure for low-value contract (2011CE160AT022)
Closing date of call for call for tender	16/05/2011
Award decision	29/09/2011
Pre-financing payment	n/a
Interim payment	n/a
Final payment	30/01/2013
CBC in Latin America: support to integrated and sustainable development and cross-border cooperation in the Parana axis development	
Publication of call for call for tender	No publication - Call for tenders by negotiated procedure for low-value contract (2011CE160AT029)
Closing date of call for call for tender	23/05/2011
Award decision	01/09/2011
Pre-financing payment	n/a
Interim payment	20/02/2012
Final payment	17/12/2012
EU-Latin America cooperation on Regional Innovation Systems in the framework of Regional Policy	
Publication of call for call for tender	08/07/2011 - Call for tenders by open procedure (2011CE160AT046)
Closing date of call for call for tender	31/08/2011
Award decision	23/11/2011
Pre-financing payment	27/02/2012
Interim payment	22/03/2012, 05/07/2012, 01/02/2013, 16/04/2013, 30/04/2013
Final payment	Awaiting final report – to be paid in 2013
Information and training sessions on Regional Policy with Chinese participants	
Publication of call for call for tender	08/07/2011 - Call for tenders by open procedure (2011CE160AT023)
Closing date of call for call for tender	31/08/2011
Award decision	17/11/2011
Pre-financing payment	14/06/2012
Interim payments	15/06/2012, 21/09/2012, 07/02/2013, 11/02/2013
Final payment	Awaiting final report – to be paid in 2013
EU-Latin America cooperation on cross-border cooperation in the framework of Regional Policy	
Publication of call for call for tender	08/07/2011 - Call for tenders by open

Step	Date
	procedure (2011CE160AT044)
Closing date of call for call for tender	31/08/2011
Award decision	17/11/2011
Pre-financing payment	20/02/2012
Interim payments	0/03/2012, 113/03/2012, 14/09/2012, 15/11/2012, 2013
Final payment	Awaiting final report – to be paid in 2013
Information and training sessions on Regional Policy in countries of the European Neighbourhood	
Publication of call for call for tender	29/07/2011 - Call for tenders by open procedure (2011CE160AT045)
Closing date of call for call for tender	09/09/2011
Award decision	23/11/2011
Pre-financing payment	17/01/2012
Interim payments	21/06/2012, 12/03/2013, 27/03/2013, 09/04/2013, 2013
Final payment	To be paid upon acceptance of final report – expected end 2013 – beginning 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	21/03/2012
EU-Chile cooperation on regional innovation systems in the framework of Regional Policy	
Publication of call for call for tender	14/06/2012 – Call for tenders by open procedure (2012CE160AT036)
Closing date of call for call for tender	26/07/2012
Award decision	19/11/2012
Pre-financing payment	21/02/2013
Interim payments	2013
Final payment	2014
EU-Central America cooperation: support to Central American Integration System (SICA) CBC actions	
Publication of call for call for tender	No publication - Call for tenders by negotiated procedure for low-value contract (2012CE160AT080)
Closing date of call for call for tender	02/10/2012
Award decision	08/11/2012
Pre-financing payment	n/a
Interim payments	2013
Final payment	2014
Study on CBC in West Africa: support to integrated and sustainable development and CBC between Macaronesia, Cape Verde and Senegal	
Publication of call for call for tender	No publication - Call for tenders by negotiated procedure for low-value contract (2012CE160AT109)
Closing date of call for call for tender	16/11/2012
Award decision	13/12/2012
Pre-financing payment	n/a
Interim payments	21/02/2013
Final payment	expected end 2013 – beginning 2014
EU-China Regional Policy Dialogue – Information sessions on Regional Policy	
Publication of call for call for tender	14/06/2012 – Call for tenders by open procedure (2012CE160AT034)

Step	Date
Closing date of call for call for tender	26/07/2012
Award decision	24/10/2012
Pre-financing payment	2013
Interim payments	2013
Final payment	expected end 2013 – beginning 2014
EU-Latin America cooperation on CBC in the framework of Regional Policy	
Publication of call for call for tender	14/06/2012 – Call for tenders by open procedure (2012CE160AT035)
Closing date of call for call for tender	26/07/2012
Award decision	24/10/2012
Pre-financing payment	17/12/2012
Interim payments	30/04/2013, 2013
Final payment	expected end 2013 – beginning 2014
EU-European Neighbourhood-Russia: CBC in the framework of Regional Policy	
Publication of call for call for tender	22/06/2012 – Call for tenders by open procedure (2012CE160AT040)
Closing date of call for call for tender	03/08/2012
Award decision	20/11/2012
Pre-financing payment	22/01/2013
Interim payments	28/02/2013, 2013
Final payment	2014

Payment appropriations will be needed in 2014 to continue actions initiated in previous years. The policy dialogues with main partners (Brazil, China and Russia), as well as support for implementation of the Eastern Partnership have to be constantly developed in order to advance the principles, organisational structures and mechanisms of EU cohesion policy on a global scale.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

2011 - Financing Decision C(2011)1596 of 15 March 2011.

2012 - Financing Decision C(2012)1762 of 21 March 2012.

For the 2013 appropriations the financing decision C(2013)3216 of 5 June 2013 sets out the main elements of implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Yes, a provision to continue these actions is included in the proposals from the Commission for the cohesion policy's rules 2014-2020 (technical assistance). However, it is important to underline that the Proposal is not yet approved and that only EU Member States and regions could benefit from this financial support (stakeholders from third countries cannot accede to Structural Funds funding). Therefore, additional funding would need to be secured from other sources to put this cooperation with third countries into effect and to ensure appropriate follow up of the actions implemented through the preparatory action.

5.4 Type of activities financed, number and amounts

The actions supported included the organisation of events, training programmes, information activities, technical assistance, study visits, networking and studies, communication tools.

- 2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Studies and technical assistance	FOGAR – AEER - ISMERI	350.000
Information seminars	GIZ – AEER – META GROUP -	1.500.000

	AMEX	
Support to information and communication tools	TIPIK - MOSTRA	150.000

- 2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Studies and technical assistance	INFYDE - AEBR	EUR 500.000
Information seminars	GIZ – AEBR – INSTYTUT EUROPEJSKI - AMEX	EUR 1.450.000
Support to information and communication tools	None	EUR 50.000

5.5 Method of implementation (tenders, grants, other) and evaluations

For 2011 and 2012 see details in section 5.2 above.

In 2013 the preparatory action will be implemented through the use of Framework Contracts, call for tenders, grants and joint management.

5.6 Types of beneficiaries

Stakeholders in the field of regional development policy, inter alia national, regional and municipal public administrations from third countries and Europe, international organisations, regional groupings, regional networks, NGO.

1. Heading

13 03 77 07 : Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	719 908,29	1 000 000	900 000	p.m.	1 000 000	-100,0 %	11,1 %
Reserve							0,0 %	0,0 %
Total	0,00	719 908,29	1 000 000	900 000	p.m.	1 000 000	-100,0 %	11,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2011, 2012 and 2013 budgets.

Objectives followed during the 2011 and 2012 implementation:

The resources allocated to this preparatory action facilitate a governance system for the EU Strategy for the Danube Region which is stable, effective, inclusive and innovative.

The preparatory action has been implemented jointly through grants to the Priority Area Coordinators, which hold a de facto monopoly position in the Strategy work. The Priority Area Coordinators are national or regional public bodies. Their appointment is based on an agreement by all countries involved in the Strategy.

The budget was used to ensure a stable implementation of all Priority Areas, including sufficient staffing of Priority Area Coordinators, coordination meetings and stakeholder seminars.

Intentions for the current year 2013:

The implementation of the actions is on-going in 2013. The implementation of the preparatory action will be managed jointly with that of the preparatory action 'Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region (13 03 77 12).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	20/06/2011
Publication of call for proposals / call for tender	N/A (direct grants)
Closing date of call for proposals / call for tender	N/A (direct grants)

Step	Date
Award decision	20/06/2011
Pre-financing payment	1st quarter 2012
Interim payment	Not yet done – expected in 2013
Final payment	Not yet done – expected in 2014

- 2012 appropriations (appropriations carried over to 2013)

Step	Date
Adoption of financing decision	02/08/2012
Publication of call for proposals / call for tender	N/A direct grants
Closing date of call for proposals / call for tender	N/A direct grants
Award decision	12/12/2012
Pre-financing payment	expected in April 2013 – carry over
Interim payment	Not yet done – expected in 2013
Final payment	Not yet done – expected in 2014

It is envisaged that the Commission will further provide assistance via grants to the Priority Area Coordinators in order to pursue longer term objectives. The implementation of the flagship projects will be continued.

The requested payment appropriations under the 2014 Draft Budget will allow the Commission to meet its contractual obligations regarding the final payments as provided for in the grant agreements signed the previous years.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Decision C(2011)4149 of 20 June 2011 sets out the main elements of the implementation of this preparatory action for the year 2011.

The Commission Decision (2012)5382 of 2 August 2012 sets out the main elements of the implementation of this preparatory action for the year 2012.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Assistance to the Priority Area Coordinators-Grants	Priority Area Coordinators	1 500 000

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Assistance to the Priority Area Coordinators-Grants	Priority Area Coordinators	1 500 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The assistance is implemented through grants to the Priority Area Coordinators (PAC), which hold a *de facto* monopoly position in accordance with article 190 of the Rules of application to the financial Regulation (article 168 of the Implementing Rules for the Financial Regulation in force until 01/01/2013).

5.6 Types of beneficiaries

The assistance will be implemented jointly through grants to the Priority Area Coordinators (PAC), which hold a *de facto* monopoly position in the Strategy work. The PACs are national or regional public bodies.

1. Heading

13 03 77 09 : Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	975 000,00	221 266,26	1 200 000	600 000	-	600 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	975 000,00	221 266,26	1 200 000	600 000	-	600 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted by the Budgetary Authority in the 2012 budget. The Preparatory Action is extended in 2013.

Based on European Commission Communication "Developing a Maritime Strategy for the Atlantic Ocean Area" (COM (2011) 782 of 21 November 2011), an Atlantic Forum was set up in 2012 under this Preparatory Action to identify priority areas for research and investment funding that should be included in an Action Plan for the Atlantic area. Five workshops were organised as part of the Forum in Horta (Azores, Portugal), Brest (France), Bilbao (Spain), Cardiff (UK) and Cork (Ireland) to gather ideas from stakeholders, including Atlantic Member States, regions local authorities, the private sector and other interested parties.

The Action Plan will help to promote growth and job creation in the Atlantic area. It will be adopted in May 2013 for further endorsement by the Council under the Irish Presidency.

In order to support the implementation of the Atlantic Action Plan, the Preparatory Action was extended in 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

The preparatory action has financed a platform for dialogue of stakeholders (the "Atlantic Forum"), aiming at defining the priority projects and the governance of the Atlantic Strategy.

Main steps in 2012 were:

Step	Date
Leadership Group meetings*	2 Feb 2012 (kick-off) 21 June 2012 6 December 2012
Steering Group meetings**	26 March 2012 15 June 2012 23 November 2012

Step	Date
Deliverables from National Governments on priority actions and projects	May 2012
Horta (Azores) workshop	21 September 2012
Brest workshop	29-30 October 2012
Bilbao workshop	12 November 2012
Opening of the public 'call for suggestions'	26 November 2012

Note:

* The Leadership Group gives the political guidance of the Atlantic Forum and it is composed by High Level Representatives of DG MARE, DG REGIO, the 5 Atlantic Member States, the European Parliament, the Economic and Social Committee and the Committee of the Regions.

** The Steering Group ensure the operational guidance of the Atlantic Forum and it is composed of delegated representatives from the members of the Leadership Group.

2013 appropriations

The extension of the Preparatory Action will serve to continue the efforts and progress made in 2012. The 2013 appropriations will be used to finance:

- (1) An assistance mechanism to support the implementation of the Atlantic Action plan.
- (2) The organisation of further targeted workshops and activities that can help to identify projects that respond to the priorities of the Atlantic Action Plan.

Below most relevant steps for 2013:

Step	Date
Leadership Group meetings	23 Jan 2013 21 Feb 2013 4 March 2013
Steering Group meetings	8 Feb 2013 14 Feb 2013 27 March 2013
Cardiff workshop	24 January 2013
Closing of the public 'call for suggestions'	15 February 2013
Cork workshop	4-5 April 2013
Adoption of Atlantic Action Plan	May 2013
Adoption of the Financing Decision for the 2013 appropriations	End June 2013
Publication of call for tender for the assistance mechanism	June-July 2013
3 targeted workshops in any of the 5 MS	July-October 2013
Closing date of call for tender for the assistance mechanism	September 2013
Award decision	November 2013

It is foreseen that a significant part of the payments related to the contract which will result from the call for tender will be made in 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the 2012 appropriations Commission decision C(2012)3320 of 25 May 2012 sets out the modalities of implementation of the preparatory action.

The Financing decision for 2013 is in preparation (expected date of adoption end June / July 2013).

Information on the Atlantic Forum activities and documents from meetings can be found on the following website: http://ec.europa.eu/maritimeaffairs/policy/sea_basins/atlantic_ocean/atlanticforum/

Following the outcome of the Atlantic Forum, the Commission will adopt the Atlantic Action Plan in May 2013.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

It is not envisaged to follow up this action with a legislative proposal.

Actions and projects included in the action Plan can be proposed for EU funding in the period 2014-2020. However, the inclusion of a project in the Action Plan does not represent a commitment from the Commission or any other responsible body on its selection for funding, as the project proposals will be subject to the selection procedures applicable for each fund.

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
CONFERENCE ATLANTIC FORUM, PORTUGAL, SEPTEMBER 2012	Communication/publication costs	33.209,56
	Conference organisation costs (room/equipment rental, interpreters, catering, insurance, etc.)	57.639,82
CONFERENCE ATLANTIC FORUM, FRANCE, OCTOBER 2012	Communication/publication costs	36.810,12
	Conference organisation costs (room/equipment rental, interpreters, catering, insurance, etc.)	33.378,91
CONFERENCE ATLANTIC FORUM, SPAIN, NOVEMBER 2012	Conference organisation costs (room/equipment rental, interpreters, catering, insurance, etc.)	60.227,85

5.5 Method of implementation (tenders, grants, other) and evaluations

The assistance mechanism will be funded following a competitive tendering process.

A reporting mechanism to monitor progress will be put in place so that it will be possible to determine the extent to which the priorities identified in the Atlantic Action Plan have been set in motion and to understand the added value that have been given to the Atlantic area.

5.6 Types of beneficiaries

Beneficiaries of the contracts would be external service providers.

1. Heading

13 03 77 10 : Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	1 000 000,00	p.m.	600 000	p.m.	400 000	0,0 %	-33,3 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	1 000 000,00	p.m.	600 000	p.m.	400 000	0,0 %	-33,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations under this preparatory action were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

The objective pursued by this preparatory action "supporting Mayotte with the switchover to outermost-regions status" is to provide assistance to the national administration of Mayotte in its preparation to become an "outermost-region" by 2014.

A grant agreement has been signed in 2012 between the European Commission and the French authorities which are the beneficiaries of the grant. The implementation of the project will last until March 2014. The project consists of the following actions:

- Organising general information meetings and first trainings of local staff in Mayotte,
- Carrying out studies ex-ante evaluation so as to produce an analysis of the area and provide support for local actors in order to lay down a regional strategy and programme priorities,
- Supporting the setting up of a Europe unit to managing, following up and monitoring future operational programmes.

In 2012, a grant has been provided to the "Agence des Services et de Paiement" (ASP) and to the French Prefecture in Mayotte, bodies in a de facto monopoly situation.

Intentions for the current year 2013:

The European Commission has awarded a grant to the French administration (ASP) in order to assist Mayotte to take the status of outermost-region. During 2013 the project is on-going and results are not expected earlier than end 2013 (intermediary report foreseen in December 2013). An interim payment of maximum EUR 600.000 is expected to be paid to the beneficiaries.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

2012 appropriations

Step	Date
Adoption of financing decision	26/04/2012
Publication of call for proposals / call for tender	N/A: Direct grant
Closing date of call for proposals / call for tender	N/A: Direct grant
Award decision	26/04/2012
Prefinancing payment	October 2012
Interim payment	Scheduled for 2013
Final payment	2014

The final payment of EUR 400.000 is scheduled for 2014, in accordance with the Grant Agreement.

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision adopted by the European Commission (C(2012) 2722 final of 26 April 2012) and the grant agreement signed between the European Commission and the French authorities, beneficiaries of the grant.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Mayotte will switch over to "outermost-region" status as of 1 January 2014 and will therefore fall within the scope of the proposal for a "Regulation of the European Parliament and of the Council laying down common provisions on the ERDF, the ESF, the CF, the EARDF and the EMFF covered by the Common Strategic Framework and laying down general provisions on the ERDF, the ESF and the CF and repealing Council Regulation (EC) No 1083/2006" (COM(2011) 615 final/2) which is still under negotiations.

5.4 **Type of activities financed, number and amounts**

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Accompagnement de Mayotte, ou tout autre territoire potentiellement concerné, dans le processus de passage au statut de région ultrapériphérique	"Agence des Services et de Paiement" (ASP) and the French Prefecture in Mayotte	2 000 000

5.5 **Method of implementation (tenders, grants, other) and evaluations**

Direct Grant to bodies in a de facto monopoly situation in accordance with the provisions of article 168 of the implementing rules to the Financial Regulation.

5.6 **Types of beneficiaries**

Public body.

1. Heading

13 03 77 12 : Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2013 budget. The preparatory action 13 03 77 12 (ex 13 03 35) is the continuation of the pilot project 13 03 77 08 (ex 13 03 30).

Intentions for the current year 2013:

The 2013 budget will be implemented following an assessment of the projects already on-going and financed under the 2011 and 2012 budget (Pilot project 13 03 77 08).

The objective of this preparatory action is to contribute to a stable, effective, inclusive and innovative implementation of the Danube Strategy. The resources allocated facilitate the early stages of the implementation of the EU Strategy for the Danube Region. Concrete actions may encompass:

- support to the operational structure of the Strategy, including sufficient staffing the Coordinators, Steering Group meetings and stakeholder seminars, and
- actions contributing to the visibility and communication of the Strategy.

The objective of 2013 is the finalisation of the grant agreements with the final beneficiaries, according to the above described overall objectives.

The objective of 2014 is the implementation of the above described actions.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	Expected date of the adoption 3rd quarter of 2013

As for the pilot project and the related completed preparatory action 13 03 77 07 (definition of governance model for the European Union Danube Region — better and effective coordination), the Commission is envisaging to implement

the preparatory action through grants to the Priority Area Coordinators, which hold a de facto monopoly position in the Strategy work. The Priority Area Coordinators are national or regional public bodies. Their appointment is based on an agreement by all countries involved in the Strategy.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision currently in preparation and expected to be adopted in the third quarter of 2013 will set out the main elements of the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Macro-regional and sea basin strategies is an element foreseen in the Proposal for a “Regulation of the European Parliament and of the Council laying down common provisions on the ERDF, the ESF, the CF, the EARDF and the EMFF covered by the Common Strategic Framework and laying down general provisions on the ERDF, the ESF and the CF and repealing Council Regulation (EC) No 1083/2006” (COM(2011) 615 final/2).

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the preparatory action, these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

It is envisaged to award direct grants to the Priority Area Coordinators Priority Area Coordinators, which hold a de facto monopoly position in the Strategy work. (de facto monopoly position in accordance with article 190 of the Rules of application to the financial Regulation).

5.6 Types of beneficiaries

Public bodies.

Heading 2 – Sustainable Growth: Natural Resources

1. Heading

02 03 77 01 : Preparatory action — RECAP: recycling at local scale of internal plastic scrap created by major EU polymer converting regions

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 493 400,00	149 340,00	p.m.	375 000	p.m.	373 350	0,0 %	-0,4 %
Reserve							0,0 %	0,0 %
Total	1 493 400,00	149 340,00	p.m.	375 000	p.m.	373 350	0,0 %	-0,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

The recycling of plastics waste has increased substantially in recent years. However, whilst the recycling of 'pure' plastic waste streams (i.e. those made up mainly or predominantly of a single material) is rather well developed, there are still substantial problems to overcome as far as the recycling of mixed plastics is concerned, which are reflected in low recycling rates. At the same time, the use of mixed plastics is becoming more and more popular because of their superior use phase characteristics. In order to address these problems, this pilot project is aimed at increasing the recycling of mixed plastics waste (post production and in addition also post consumption – End of Life Vehicles and Waste Electrical and Electronic Equipment). It is expected to develop techniques and procedures for decreasing the quantity of unrecycled plastics waste to a minimum and at opening new markets for recycled plastics while not jeopardising the current single material recycling streams (e.g. for PET bottles, PE films or PVC building materials).

The aim of this action is to develop new recycling channels based on sustainable technologies and business models, to develop new technological solutions for the sorting and material recycling of mixed plastics waste, to optimise waste collection and to prepare the setting up of mixed plastics waste recycling facilities across Europe. It shall also aim at increasing the recycling of plastic waste in general which will increase the sustainable use of resources and help to address the lack of raw materials in the EU.

The preparatory action was implemented by way of a call for tender. The project was awarded to a consortium led by the Association Pôle Européen de Plasturgie, following an open call for tender published on 4 April 2012. The contract was signed on 17 October 2012, for a total duration of 32 months.

Intentions for the current year 2013 and subsequent years:

Detailed list of the deliverables/milestones of the project
Inception report (March 2013): Statistical data and qualitative descriptions regarding the current situation in relation to the recycling of mixed plastics waste, analysis of future trends in plastics recycling
Interim report 1 (June 2013): Identification of existing and emerging technologies to sort and recycle mixed

Detailed list of the deliverables/milestones of the project
plastics waste and a ranking of the most relevant technologies
Interim report 2 (June 2013): Identification of existing and future markets
Interim report 3 (April 2014): Engineering phase for the implementation of a plastics sorting and recycling line using mixed plastics waste, including the relevant technical plans and specifications
Presentation of the working pilot installation at semi-industrial scale (October 2014)
Conference: February – March 2015
Draft final report: facts, conclusions and recommendations, based on the deliverables provided for the different work items, as well as integrating the results of the conference (April 2015).
Final report: May 2015

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	25/01/2012
Publication of call for tender	04/04/2012
Closing date of call for tender	25/05/2012
Award decision	10/09/2012
Prefinancing payment	15/11/2012
Interim payment	July 2013/May 2014
Final payment	June 2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The description of the implementation of the Preparatory Action is included in the financing decision C(2012)208 adopted on 25 January 2012.

The prior information notice n° 2012/S 31-049026 has been published on 15 February 2012. The contract notice has been published in April 2012 and is available via e-tendering : <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=31>.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Contractor	EUR (maximum)
Contract	Consortium led by Association Pôle Européen de Plasturgie, 2 Rue Pierre et Marie Curie, F- 01117 Oyonnax	1.493.400

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action was implemented by way of procurement (open call for tender)

5.6 Types of beneficiaries

Selected contractor following the call for tender:

Consortium led by :

Association Pôle Européen de Plasturgie
F- 01117 Oyonnax

Other members :

European Plastics Recyclers aisbl
International non-profit organisation
B -1000 Brussels

European Plastics Converters aisbl
Industrial trade level association
B - 1000 Brussels

1. Heading

05 08 77 06 : Preparatory action — European farm prices and margins observatory

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2013 budget.

The project aims to fully set up a price monitoring tool along the food chain, starting from prices associated to farms till consumer prices, via producer prices. It targets the enhancement of the existing food price monitoring tool initiated by Eurostat in order to complement it in terms of coverage, quality, technical and communicational aspects.

In particular the project will aim:

- to help improve the food price monitoring tool with the aim to make it more user-friendly, by, for example, including a multilingual interface;
- to extend the coverage of food chain/products;
- to achieve a better price comparability on each grade of the food supply chain within and among Member States;
- to set up the basis for the integration of the food price monitoring tool in the regular production process of multipurpose price statistics within the European Statistical System;
- to identify and highlight the possible synergies with the work done by national price observatories;
- to meet consumers' and farmers' need for more transparency and food price building.

The project will make further advancements in the use of modern collection ways related to the food price chains (use of scanner data, use of internet data, use of electronic devices for the price collection) as well as implement appropriate compilation techniques to enhance the availability of food price chains statistics.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

The preparatory action will be implemented by way of grants and procurements.

Indicative calendar for the 2013 appropriations

Step	Date
Adoption of financing decision	Foreseen in July 2013
Call for proposals	
Publication of call for proposals	July 2013
Closing date of call for proposals	September 2013
Award decision	November 2013
Pre-financing payment	2014 (if applicable)
Interim payment	-
Final payment	December 2015
Call for tender	
Publication of call for tender	July 2013
Closing date of call for tender	September 2013
Award decision	November 2013
Pre-financing payment	-
Interim payment	December 2014
Final payment	December 2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

High-Level forum for better functioning food supply chain – report 2012 (HLF.015.Final)

http://ec.europa.eu/enterprise/sectors/food/files/hlf-third-meeting-final-report-cover_en.pdf

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The pilot project may lead to integrate part of the actions necessary to support the regular production of the figures underlying the Food Price Monitoring Tool in the legislative framework of price statistics – consideration in this sense will be given in 2014.

5.4 Type of activities financed, number and amounts

Data collection relating to the food chain, starting from prices associated to farms till consumer prices and set-up of food price monitoring tool (web dedicated site).

As 2013 is the first year of implementation of the preparatory action, details on individual amounts are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will be implemented by way of grants and procurement procedures, for the following amounts:

- grants (implemented under direct management) : EUR 1 700 000

- procurement (implemented under direct management) : EUR 300 000

For a total budget of EUR 1 700 000, the preparatory action “European farm prices and margins observatory” will be implemented via invitations to submit grant proposals addressed to national statistical authorities to promote actions related to the integration of the food price monitoring tool in the regular production process of multipurpose statistics (notably using modern collection approaches) and to promote the communication on the tool. The Eurostat co-financing percentage, i.e. the EU contribution rate granted to the action is 90%.

The characteristics of the preparatory action for the integration of the food price monitoring tool in the regular national production process of multipurpose price statistics, using modern collection approaches, require that the actions are implemented by the national statistical authorities because of their essential role in the production process of official price statistics. Indeed, national statistical authorities have the power of access to the basic statistical information required for the compilation of official price statistics, the technical specific competence in their compilation, the high degree of specialisation required for the compilation of these statistics and the solely responsibility for such compilation.

For a total budget of EUR 300 000, the preparatory action “European farm prices and margins observatory” will be implemented via a Call for tender to improve the communication tools on the food price monitoring tool (web dedicated site) and the methodological improvements.

5.6 Types of beneficiaries

Grants: National Statistical Institutes of the Member States.

Procurements: Private companies.

1. Heading

05 08 77 09 : Preparatory action — EU plant and animal genetic resources

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 500 000	750 000	p.m.	600 000	-100,0 %	-20,0 %
Reserve						0,0 %	0,0 %
Total		1 500 000	750 000	p.m.	600 000	-100,0 %	-20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory Action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2013 budget.

This appropriation is to be used as a preparatory action for a third EU programme for the conservation and sustainable use of plant and animal genetic resources in agriculture. The recent evaluation of the EU programme underlines the need for further actions which enhance the conservation of agricultural genetic resources through their sustainable use, contribute to quality products and local food chains; and which support cooperation and knowledge exchange between researchers, farmers, breeders and networks of engaged citizens and NGOs, involving the end-users and raising consumer awareness in this field.

The open call for tender is planned for publication in the course of the second quarter 2013 and the contract signature is planned to take place in the fourth quarter of 2013. The preparatory action will continue in 2014 by completing the mapping of initiatives, databases and collections, by having case studies and by organising workshops.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	23 April 2013
Publication of call for tender	Second quarter of 2013
Closing date of call for tender	Third quarter of 2013
Award decision	Fourth quarter of 2013
Pre-financing payment	Fourth quarter of 2013
Interim payment	2014
Final payment	2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Financing decision n° C(2013)2267 adopted on 23 April 2013.

The prior information notice 2013/S 090-152103 for the call for tender was published on 10 May 2013: <http://ted.europa.eu/udl?uri=TED:NOTICE:152103-2013:TEXT:EN:HTML>

- Do similar activities exist, which are already covered by a legal basis?

In the framework of rural development there are different activities which can be financed as Agri-environmental measures e.g. rearing of farm animals of local breeds and in danger of being lost to farming, preservation of certain plant genetic resources (Art. 27.4 and 28 of Commission Regulation (EC) No 1974/2006 of 15 December 2006 laying down detailed rules for the application of Council Regulation (EC) No 1698/2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD)).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The activities to be addressed by the preparatory action for the conservation and sustainable use of genetic resources in agriculture are expected to rely to a large degree on the legal basis for Rural Development Policy as well as the EU Research and Innovation Framework Horizon 2020.

The question whether the provisions under the afore-mentioned policies provide sufficient scope for promoting and enhancing the conservation and sustainable use of Genetic Resources in agriculture will be addressed by the preparatory action, and the respective outcome of these considerations is difficult to predict. Considering the duration of the activities financed under the preparatory action, considerations for a possible legal basis will not be envisaged before 2016.

5.4 Type of activities financed, number and amounts

Different types of activities are foreseen: mapping of initiatives, completing databases and collections, conducting case studies and organising workshops. As 2013 is the first year of implementation of the preparatory actions the details of the contractors and amounts are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

Open call for tender

5.6 Types of beneficiaries

The contractor will be chosen following an open call for tender.

1. Heading

07 02 77 04 : Preparatory action — Future legal basis on harmonised EU forest information

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	250 000	-	120 000	0,0 %	-52,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	250 000	-	120 000	0,0 %	-52,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2012 budget.

Objectives followed during the 2012 implementation:

The preparatory action shall contribute to maintaining and further developing an EU level framework for comparable and harmonised forest information collected by the Member States to serve as a basis for the provision of policy relevant information on forests in the European Union as required under international obligations and proposed by key action 8 of the Forest Action Plan (COM 2006 final).

More specifically, this preparatory action will allow conceptual work for defining modalities on how to collect and process quantitative and qualitative forest data related to climate change, air pollution, biodiversity, and forest condition.

This may include soil condition and carbon sequestration, evaluation and revision of existing trans-nationally harmonised monitoring methods and standards, ensuring continuity and integration of existing data series where possible.

The action shall aim at allowing Member States to collect representative forest information throughout the European Union.

Intentions for the current year 2013:

An Administrative Arrangement between DG ENV and the JRC (EUR 650 000) was signed on 19 December 2012. The work will be implemented in a period of 36 months from the signature of the administrative arrangement.

A cross-subdelegation was established in the last quarter of 2012 between DG ENV and JRC (EUR 350 000). The work will be implemented during 2013 and 2014.

The main objective of this sub-delegation is to perform a comprehensive analysis on the potential effects of climate change in the production of forest ecosystem services, including wood and non-wood products, in Mediterranean EU countries. Current Climate Change scenarios foresee large regional variations of the climate in the Mediterranean region, with decreased precipitation, increased temperatures and drought period in the end of this century. It is thus essential to analyse the potential effects of climate change in the forests in Mediterranean region in order to determine adaptation and mitigation strategies in this region. The data collected will serve several sectoral policies of the EU

regarding forest conservation, biodiversity, biomass availability and energy production. It will also contribute to the implementation of the future EU Forest Strategy.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

- 2012 appropriations

Step	Date
Adoption of financing decision	8 June 2012
Award decision	19/12/2012: signature of the Administrative arrangement with the JRC for an amount of EUR 650 000 Last quarter of 2012. : EUR 350.000 made available to JRC through Cross-sub-delegation
Pre-financing payment	13/03/2013: 455.000 for Administrative Arrangement with the JRC (partly with Payment appropriations carried over from 2013).
Final payment	2014 both for the Administrative arrangements and the contracts to be concluded by the JRC under the cross-sub-delegation

5.3 **Documentation and expected results**

- **Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The financing decision C(2012)3716 setting out the framework for the implementation of the preparatory action was adopted on 8 June 2012.

The main elements of the preparatory actions will consist in:

- Harmonized datasets of forest variables related to the potential harmful effect of climate change.
- Indicators developed on the basis of the above data to provide harmonized cross-border information on forests.
- Scientific and technical reports on the data collected, the methods used for deriving forest related indicators and the resulting harmonized datasets.

- **Do similar activities exist, which are already covered by a legal basis?**

No such activities exist for the moment (May 2013), but forest information and/or forest monitoring proposals can be submitted under the LIFE+ Regulation.

- **At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

The results of this action will be taken in consideration into 2014-2020 Life programme (COM(2011)874 final of 12 December 2011).

5.4 **Type of activities financed, number and amounts**

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Details of tasks under the cross-subdelegation agreement		
Data collection and establishment of a database of forest policy-relevant information in the context of climate change scenarios	Specific contract under JRC framework contract with the Institut National de l'Information Géographique et Forestière — IGN, France	200 000
Scenario analysis for the Mediterranean	Specific contract under JRC framework	150 000

Title / Action	Beneficiary / contractor	EUR (maximum)
EU countries on potential effects of climate change on forest ecosystem services	contract with the Institut National de l'Information Géographique et Forestière — IGN, France	
Details of tasks to be carried out by the JRC under the Administrative Arrangement		
Task 1: Development of a 3-year work plan for a pilot data collection scheme related to specific forest-related applications in close cooperation with DG ENV. Task 2: Selection of relevant variables on the basis of the "Final report of the Ad hoc Working Group of the Standing Forestry Committee on Forest Information in the EU" and establishment of a database of physical variables. Task 3: Development of specific modules for forest biodiversity, forest carbon balance and climate, and forest biomass, including physical variables, metadata documentation according to INSPIRE principles. Task 4: Prototyping an European Forest Information System, including web		650 000

5.5 Method of implementation (tenders, grants, other) and evaluations

Cross-subdelegation with the JRC (implemented by specific contracts under JRC framework contracts).

Administrative arrangement with the JRC for specific tasks implemented directly by the Joint Research Centre with the support of additional scientific and technical staff (cf. details in section 5.4 above).

5.6 Types of beneficiaries

JRC through an Administrative arrangement, contractor IGN through existing Framework Contract for the Provision of Data and Services to the European Forest Data Centre.

1. Heading

07 02 77 06 : Preparatory action — Climate of the Carpathian basin

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	967 292,80	-	1 200 000	-	700 000	0,0 %	-41,7 %
Reserve							0,0 %	0,0 %
Total	0,00	967 292,80	-	1 200 000	-	700 000	0,0 %	-41,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

The preparatory action was voted and implemented for two successive budget years 2009 and 2010.

Objectives followed during the 2009 and subsequent years implementation:

Under 2009 Budget allocation, this preparatory action is expected to contribute to improve the availability of meteorological and climate data in the Carpathian region and to enable the exploitation of these data for climatic and hydro-meteorological applications. In particular, the project will:

- Improve the availability and accessibility of quality controlled, homogeneous and spatially representative time series of climatological and real-time meteorological data for the Carpathian Region. These data will be used for building a climatological atlas of the region as well as for running scenarios
- Demonstrate the use and exploitation of consolidated meteorological data for hydro-meteorological applications such as the climatological characterisation and for drought monitoring and assessment in the Carpathian Region

Under 2010 Budget allocation, the preparatory action focused on a two-fold analysis on the vulnerability of the region to climate change impacts and on potential adaptation measures. In line with the Commission White Paper on Adapting to Climate Change, the results of this analysis are expected to benefit national and regional authorities of the Carpathian/SEE region and to lead to concrete policy proposals of adaptation strategies at international, national or regional level.

The preparatory action was not prolonged in 2011 Budget. It should however be noted that the activities funded under the 2009 and 2010 budget are of a multiannual nature for which the final results will be known in 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2009 appropriations

Step	Date
Adoption of financing decision	October 2009
cross-subdelegation signed with JRC (maximum 1.960.250 EUR)	November 2009

Step	Date
Signature of the administrative arrangement with the JRC (539.750 EUR)	December 2009
Schedule of payment under JRC administrative agreement	2010 : pre-financing 2014 : final payment
Schedule of payments of contract managed by JRC under cross-subdelegation	2011 : pre-financing 2012 : interim payments 2013 : final payment

- 2010 appropriations

Step	Date
Adoption of financing decision	30 April 2010
Launching of 2 open calls for tender and global commitment	July 2010 – August 2010
Signature of first contract (DLO-Alterra Wageningen) (628.476 EUR)	December 2010
Pre-financing payment of first contract	2011
Interim payment on first contract	2012
Final payment on first contract	2014
Re- launching of open call for tender (EUR 1 350 000) following non award of first call	12.03.2011
Closing date of call for tender of Framework contract	20.04.2011
Award decision of Framework contract	27.09.2011
Signature of Framework contract	10.11.2011
Signature of 6 specific contracts under the Framework contract for a total amount of 1.350.000 EUR	27.12.2011
Pre-financing payment on 6 specific contracts signed under the framework contract	2012
Delivery of results and final payments	2013 and 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The essential elements for the implementation of the preparatory action are set out in the Commission financing decisions C(2009)7898 adopted in October the 20th, 2009 and C(2010)2963 adopted on 30 April 2010.

- Do similar activities exist, which are already covered by a legal basis?

Some similar activities exist and are funded through the 6 and 7 Framework Research Programme (in particular on-going relevant research projects such as CLAVIER (<http://www.clavier-eu.org/?q=node>) and CECILIA (<http://www.cecilia-eu.org/>) for which it will be ensured that the proposed activities do not overlap with or duplicate on-going or completed research.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, although the project has a clear link with the Communications on Water Scarcity and Droughts as well as on Adaptation to Climate Change (COM(2009)147 final) and the GMES / Copernicus initiatives.

5.4 Type of activities financed, number and amounts

2009 appropriations

Action and Beneficiary / contractor	EUR (maximum)
Services provided under an Administrative Arrangement concluded on a negotiated basis with the Joint Research Centre / Institute for Environment and Sustainability (JRC/IES), in accordance with the provisions of article 161 paragraph 1 of the Financial Regulation.	539 750
Cross-subdelegation agreement with the Joint Research Centre for the procurement of services. One service contract was signed on 20/12/2010 with the Hungarian Meteorological service (Országos Meteorológiai Szolgálat, OMSZ) following an open call for tender	1 495 000

2010 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Service contract for the integrated assessment of vulnerability of environmental resources and ecosystem-based adaptation measures started in December 2010	DLO-Alterra Wageningen UR	628 476
Framework contract for in-depth assessments of vulnerability of environmental resources and ecosystem-based adaptation measures – 6 specific contracts	REC, the Regional Environmental Centre for Central and Eastern Europe(*)	1 350 000

(*)Regarding the framework contract and specific contracts under the framework contract, due to the unforeseen bankruptcy of the main partner of the Consortium, VITUKI, had to be replaced in the consortium as leader by another partner. This amendment to the contract was performed on the 03.09.2012 with agreement between all the parties. It is to be noted that no payment had been ordered before the bankruptcy and the payments planned were done to the new leader of the Consortia: REC, the Regional Environmental Centre for Central and Eastern Europe.

The subjects of the 6 specific contracts concluded under the framework contract are the following :

- In-depth study on the key climate change threats and impacts on water resources.
- Integral vulnerability assessment in focal areas.
- In-depth study on the impacts of climate change threats on ecosystems.
- Supporting stakeholder interaction.
- In-depth study on the impact of climate change on ecosystem based production systems.
- In-depth study on adaptation measures.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action was implemented via procurement procedures and an administrative arrangement with the JRC.

5.6 Types of beneficiaries

Cf. Section 5.4 above for the name of selected contractors.

1. Heading

07 02 77 13 : Preparatory action — BEST scheme (voluntary Scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 982 090,00	1 923 874,50	2 000 000	2 000 000	-	1 000 000	-100,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	1 982 090,00	1 923 874,50	2 000 000	2 000 000	-	1 000 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for three consecutive years in 2011, 2012 and 2013.

Objectives followed during the 2011 and 2012 implementation:

The objectives of the preparatory action are to provide further means to protect biodiversity and ecosystem services in the EU Outermost Regions and Overseas Countries and Territories.

Specific objectives are:

- 1) promote the establishment and effective management of marine and terrestrial protected areas (PAs) in the EU Outermost Regions and Overseas Countries and Territories, also taking into account already existing PAs;
- 2) implement sustainable management of marine and terrestrial resources, which contribute to protecting important species, habitats and ecosystem functions outside PAs;
- 3) strengthen conservation and sustainable use of biodiversity and ecosystem services in the EU Outermost Regions and Overseas Countries and Territories by :
 - addressing the wider ecosystem challenge of climate change by maintaining healthy, resilient ecosystems and fostering green infrastructure and ecosystem-based approaches to climate change adaptation and mitigation which often bring multiple benefits;
 - strengthening capacities at a local and regional scale, including the neighbouring countries, by promoting exchange of information and best practice amongst all stakeholders including local administration, landowners, private sector, researchers and civil societies etc... ;
 - strengthening existing nature conservation programmes and related efforts within and outside conservation areas;
 - broadening the knowledge base and filling the knowledge gaps, including quantifying the value of ecosystem functions and services;

4) encourage and facilitate transboundary working; addressing issues such as invasive alien species, the impacts of climate change and the implementation of international conventions in particular CITES and the three Rio Conventions ;

5) develop mechanisms to lever resources including ‘payments for ecosystem-services’ (PES).

Means of implementation: two open calls for proposals BEST-2011 and BEST-2012.

Intentions for the current year 2013:

The overall objective for the Preparatory Action followed during 2011 and 2012 remains valid. The focus of the implementation of this third and last year lies on measures towards sustaining the BEST Preparatory Action – inter alia – through the setting up of a structure/platform that would enable and facilitate information sharing on funding availability from different sources (EC but also MS and other possible partners – from international financial institutions to NGOs to business) for projects aimed at the conservation and sustainable use of biodiversity and ecosystem services in EU Outermost Regions and Overseas Countries and Territories. This structure/platform will make information available between the finance providers and possible project implementers, as well as contribute to the development of any required support mechanisms.

Means of implementation foreseen: one open call for tender.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	01/03/2011
Publication of call for proposals	05/05/2011
Closing date of call for proposals	09/09/2011
Award decision	14/11/2011
Pre-financing payment	from the signature of the first grant agreement onwards; 2011-2012
Interim payment	will be done on reception of interim reports of the 9 on-going projects selected under BEST-2011
Final payment	will be done on reception of final reports of the 9 on-going projects

- 2012 appropriations

Step	Date
Adoption of financing decision	21/02/2012
Publication of call for proposals / call for tender	29/03/2012
Closing date of call for proposals / call for tender	01/06/2012
Award decision	14/09/2012
Pre-financing payment	from the signature of the first grant agreement onwards 2012
Interim payment	will be done on reception of interim reports of the 7 on-going projects selected under BEST-2012
Final payment	will be done on reception of final reports of the 7 on-going projects

- 2013 appropriations

Step	Date
Adoption of financing decision	18/04/2013
Publication of call for tender	14/05/2013
Closing date of call for tender	02/09/2013
Award decision	last quarter 2013
Pre-financing payment	NA
Interim payment	First interim payment will be done on reception of the inception report in 2014 further interim payments in the course of 2015 and 2016
Final payment	will be done on the reception of the final report due in 2017

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Financing Decisions C(2011) 1258 and Financing Decision C(2012)1037 and C(2013)2140 of 18 April 2013.

Call for proposals and Guide for Applicants BEST 2011

Call for proposals and Guide for Applicants BEST 2012

These documents are available on the Europa website http://ec.europa.eu/environment/funding/grants_en.htm

The call for tender ENV.B.2/SER/2013/0020 was published on 14 May 2013:

<http://ted.europa.eu/udl?uri=TED:NOTICE:155802-2013:TEXT:EN:HTML&src=0>

- Do similar activities exist, which are already covered by a legal basis?

The BEST scheme is inspired by the Natura 2000 network based on the Nature Directives.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. The Commission services are exploring possibilities to anchor a durable BEST scheme/mechanism under the funding instruments proposed under the 2014-2020 Financial Framework as well as encouraging other donors to engage in a partnership approach in line with the EU Biodiversity Strategy. The call for tender planned for 2013 shall focus on enabling sustaining BEST activities beyond the lifetime of the preparatory action. Several possibilities to ensure the continuation of the activities currently covered by preparatory action are being explored. The results of the activities to be launched this year are supposed to provide a key contribution to the long-term future of BEST.

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary	EUR (maximum)
Quantification des services écosystémiques des aires marines protégées de la Caraïbe en vue de leur paiement (Project CARIPES)	UAG – CRPLC (Université des Antilles et de la Guyane – Centre de Recherche sur les Pouvoirs Locaux de la Caraïbe)	331.265
Coral reefs in a changing world - ecosystemic services from coral reefs : public tools for decision making in New Caledonia and French Polynesia	Institute for the Pacific Coral Reef	221.433
Protection et gestion durable des oiseaux terrestres de Polynésie française menacés d'extinction et de leurs habitats	Association Manu Société d'Ornithologie de Polynésie	250.000
Piloting the Development and Implementation of National-level joint activities between the Rio Conventions in support of Ecosystem-	CBD Secretariat	58.936

Title / Action	Beneficiary	EUR (maximum)
based approaches to climate change mitigation and adaptation		
Building partnerships and awareness of biodiversity and climate change in Europe overseas for the future of BEST	IUCN Brussels Regional Office	325.000
Gestion Raisonnée de l'Environnement et des Espaces Naturels : biodiversité et services écosystémiques de Nouvelle Calédonie	ADECAL (New Caledonia Economic Development Agency)	497.604
Quantification des services écosystémiques associées aux agroécosystèmes - Cas des systèmes à base de bananes plantains en Martinique	CIRAD (Centre de Coopération internationale en recherche agronomique pour le développement)	65.589
Suivie Opérationnelle des Pécaris à lèvres blanche du Parc Amazonien de Guyane	Parc National de la Guyane	127.375
Création de nouvelles aires protégées dans les forêts publiques à la Réunion et à Mayotte	Office National des Forêts	121.555

2012 appropriations

Title / Action	Beneficiary	EUR (maximum)
Conserving Species and Sites of International Importance by the Eradication of Invasive Species in the Caribbean UK Overseas Territories	The Royal Society for the Protection of Birds	266.432
South Pacific Ocean Ecosystemic Analysis	French Marine Protected Area Agency	500.000
Protecting Biodiversity and Creating Multiple Benefits for Local Communities in Greenland	Nordisk Fond for Miljø og Udvikling	319.700
Changes in submersed vegetation: assessing loss in ecosystem services from fondose to depauperate systems dominated by opportunistic vegetation	Universidad de Las Palmas de Gran Canaria	168.460
Identifying important marine areas for macaroni penguins <i>Eudyptes chrysolophus</i> in the UK and FR OCTs	Natural Environment Research Council British Antarctic Survey	287.308
Terrestrial Ecosystems of the Falklands - a climate change risk assessment	Botanic Royal Gardens, Kew	256.640
Migration Routes of <i>Megaptera Novaeangliae</i>	Association Globice Réunion	183.550

5.5 Method of implementation (tenders, grants, other) and evaluations

The years 2011 and 2012 were implemented through two calls for proposals BEST-2011 and BEST 2012. Together they attracted 84 proposals, out of which 16 projects were selected for funding including activities for designation and management of terrestrial and marine protected areas; combating invasive alien species, synergies using ecosystem services for climate change adaptation and mitigation, valuation of ecosystem services, networking, education, capacity building and outreach activities and 35 proposals, which complied with the selection and award criteria, were included on a reserve list. The evaluations were carried in house by the Commission Services.

In 2013 an open call for tenders will be launched for measures towards sustaining the BEST Preparatory Action to promote the conservation and sustainable use of biodiversity and ecosystem services in EU Outermost Regions and Overseas Countries and Territories, in particular through the establishment of an operational platform for information sharing including on available funding possibilities. This structure/platform will make information available between the finance providers and possible project implementers, as well as contribute to the development of any required

support mechanisms including inter alia the development of regional ecosystem profiles. The evaluation of the results of the preparatory action will be carried in house by the Commission Services.

5.6 *Types of beneficiaries*

The beneficiaries include private and public bodies, research institutions, non-governmental organisations and an international organisation (see details under section 5.4 above).

1. Heading

07 02 77 15 : Preparatory action — Development of prevention activities to halt desertification in Europe

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	669 856,60	p.m.	800 000	-	400 000	0,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	669 856,60	p.m.	800 000	-	400 000	0,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

This preparatory action, voted for two successive years under the 2011 and 2012 budgets, is intended to develop prevention activities to halt desertification in Europe. It follows a pilot project (item 07 02 77 05) voted under the 2009 and 2010 budgets.

Objectives followed during the 2011 and 2012 implementation:

In 2011 the budget was used for financing:

- a service contract to provide a link between the pilot basins and EU-wide water and ecosystem accounts. The service contract will end in June 2012
- a call for proposals on developing a holistic approach to water management with a view to halting desertification in 5-6 selected pilot river basins. These three grant agreements signed in 2011 have been finalised or will be finalised by March 2013.

As regards commitment appropriations voted under the 2012 budget, the financing decision adopted was adopted in October 2012 and a global commitment done to cover the next call for proposals and call for tenders.

Intentions for the current year 2013:

March 2013: launch of the call for proposals and call for tender of the fourth phase of the action.

March 2013: Finalisation of all three grants from 2011.

July-August 2013: Award of grants and call for tender.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2011 appropriations

Step	Date
Adoption of financing decision	5 May 2011
Publication of call for proposals / call for tender	30 May 2011 / 03 June 2011

Step	Date
Closing date of call for proposals / call for tender	30 September 2011 / 29 July 2011
Award decision	29 October 2011
Pre-financing payment	2011
Final payment	2012-2013

- 2012 appropriations

Step	Date
Adoption of financing decision (global budgetary commitment)	24/10/2012
Publication of call for proposals	29/04/2013
Closing date of call for proposals	17/06/2013
Award decision	August – September 2013
Pre-financing payment	End 2013
Interim and final payments	2014 - 2015
Publication of call for tender	30/03/2013
Closing date of call for tender	21/5/2013
Award decision	July 2013
Payments	2013-2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The essential elements for the implementation of the pilot project are set out in the Commission financing decision C(2011) 2975 adopted on 5 May 2011 and C(2012) 7588 adopted on 24 October 2012.

As regards actions financed under the 2012 commitment appropriations, the call for proposals is available at the following address on the Europa website: http://ec.europa.eu/environment/funding/desertification_13.htm

The call for tender for service contract n° ENV.D.1/SER/2013/0007 was published at the following address : http://ec.europa.eu/environment/funding/calls_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. This work was however useful in the context of the 2012 policy review on Water Scarcity and Droughts and will influence the development of the second cycle of the River Basin Management Plans to be prepared by the end of 2014 by Member States.

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Grant - System of Economic and Environmental accounts for water in Guadiana River Basin	SM Consultores (Spain)	160 636
Grant - Halting Desertification in the Jucar River Basin	EVREN: Evaluación de Recursos Naturales S.A (Spain)	147 625
Grant - ABOT – Assessment of water balances and optimisation Based Target Setting across EU River basins	Research Institute for Geo-Hydrological Protection, National Research Institute	345 000

	(Italy)	
Service contract : Preparatory action – development of prevention activities to halt desertification in Europe desertification in Europe – Service Contract to contribute to the building of water and ecosystem accounts at EU level	PÖVRY Environment S.A	277 900

As the procedures relating to the 2012 commitment appropriations are ongoing, the details of beneficiaries / contractor are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The fourth phase of the activity (commitment appropriations 2012) will be implemented in 2013 by:

- a call for proposals to identify actions aimed at halting desertification in 5-6 selected pilot river basins by developing water accounts allowing for sustainable management of the water resources – budget of EUR 750.000.
- a service contract to provide a link between the pilot basins and EU-wide water and ecosystem accounts and further develop the methodology for building water accounts at the river basin level – budget of EUR 250.000.

The call for proposals as well as the service contract will build on experience from the 3 year of previous actions.

5.6 Types of beneficiaries

The potential beneficiaries of the 2012 call for tenders and call for proposals are public and private bodies, NGOs, universities, research institutes.

1. Heading

11 06 77 06 : Preparatory action — Guardians of the Sea

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 200 000	600 000	p.m.	600 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 200 000	600 000	p.m.	600 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2013 budget.

Intentions for the current year 2013:

The objectives of the Preparatory actions to be implemented are the following:

- Assess the feasibility for making the best possible use of active fishing vessels which need to leave the EU fleet and of fishermen's experience and practical know-how to the benefit both of the fishermen themselves and society at large.
- The aim should be to preserve jobs in coastal communities and to make the best possible use of fishermen's maritime experience and practical know-how so as to: better integrate them within the broader maritime economy, enhance their job prospects, improve the conditions for the sector as well as for the individual fishermen, and maintain fisheries communities as a source of quality of life in coastal areas.
- Activities that could fall under the scope of the pilot project relate to clean up services (e.g. 'fishing for litter' projects and recovery of lost fishing gear), observation of the seabed and water column, fighting environmental hazards, raising awareness within the profession and within the general public, education and training, maritime surveillance, search and rescue, protection of archaeological maritime heritage, etc.
- In addition to that, for many of these activities a prerequisite for the successful use of fishermen's services is good coordination with the interested regional/local actors in order to ensure appropriate operational planning, support services, supplies and equipment.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations (indicative calendar)

Step	Date
Adoption of financing decision	July 2013
Publication of call for proposals	July 2013
Closing date of call for proposals	September 2013

Step	Date
Award decision	November 2013
Pre-financing payment	December 2013
Interim payment	Not applicable
Final payment	December 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision expected to be adopted by the end of June 2013 will set out the main elements for the implementation of the preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

No. However, it is worth mentioning that the Commission is also implementing a pilot project focused on marine litter and "fishing for litter" practices (see item 07 02 77 19).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

From 2014 onwards similar activities will fall within the scope of the proposal for the European Maritime and Fisheries Fund (COM(2011)804).

5.4 Type of activities financed, number and amounts

As 2013 is the first year of implementation of the preparatory action, such details are not yet known.

Funding for the preparatory action will serve to:

- Test under realistic conditions the technical and economic feasibility of using fishermen to use their maritime experience to undertake activities other than fishing.
- Test under realistic conditions the feasibility to reconvert fishing vessels into vessels operating as a platform for a number of environmental and maritime activities outside fishing, including collecting marine litter and observing the sea.
- Test under realistic conditions the start-up operational cost needed for a vessel operating under the conditions above mentioned and the potential sources of funding.
- Identify ways that such operations can sustain themselves financially in the long-term
- Identify the appropriate training needed for fishermen in order to perform new functions and achieve useful results.
- Support reduction of fishing capacity in line with CFP Reform objectives by providing positive incentives for vessel-owners and fishermen who leave the sector and encouraging them to find/develop alternative activities taking place at sea and/or in coastal areas.
- Encourage complementary activities to fishing for those fishermen staying in the sector.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will principally be implemented through a call for proposals financing of 2-3 projects in Mediterranean coastal regions is envisaged.

One part of the financing will be dedicated to a preparatory guidance, analysis of the feasibility of the projects in the long term, dissemination of the results achieved and reporting.

5.6 Types of beneficiaries

The call for proposals will be addressed to national/regional administrations, economic operators, public or semi-public bodies or other interested associations, in accordance with the conditions specified in the Terms of reference.

1. Heading

17 03 77 06 : Preparatory action — Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	-	200 000	-	300 000	0,0 %	50,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	-	200 000	-	300 000	0,0 %	50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2012 budget.

Objectives followed during 2012 implementation:

This appropriation is intended to:

- to study the issue of the inappropriate use and sale of antimicrobial agents with or without prescription throughout the chain. The action will seek to better evaluate the reasons for the inappropriate use of antibiotics, assess the level of antibiotics sold without prescription in the target countries (including the countries where the enforcement of legislation is poor), identify the reasons for such high levels of sales without prescription, assess whether there is enough information reaching the relevant actors, identifying their motives, incentives, practices and the perception of the overall threat of antimicrobial resistance (AMR) and make recommendations on how to best address this situation,
- to contribute and lead to a comprehensive and integrated Union policy against AMR.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	September 2012
Publication of call for tender	19/12/2012
Closing date of call for tender	08/03/2013
Award decision	by 31/06/2013
Prefinancing payment	2013
Interim payment	2014
Final payment	2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision for 2012 appropriations was adopted in September 2012 (C(2012) 6327)

The call for tender (reference SANCO/2012/C3/01) can be found on the Europa website: http://ec.europa.eu/dgs/health_consumer/funding/call_amr_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Not at this stage. The follow up will depend on the policy recommendations the project is expected to deliver.

5.4 Type of activities financed, number and amounts

As the call for tender is ongoing details of the contractor and amount of the contract are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The Preparatory action is implemented by way of a call for tender.

5.6 Types of beneficiaries

The contractor will be known once the procurement procedure has been completed. Final beneficiaries will be public health stakeholders.

1. Heading

17 03 77 11 : Preparatory action — Fruit and vegetable consumption

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2013 budget. It follows a pilot project (budget item 17 03 77 03) for which commitment appropriations were voted under the 2011 and 2012 budgets.

According to the objectives as stated in the budgetary remarks for the preparatory action in Budget 2013 these appropriations are intended to cover a preparatory action aimed at increasing the consumption of fresh fruit and vegetables in vulnerable population groups (low-income pregnant women and their children, the elderly, etc.), in order to improve public health and stimulate demand in the fruit and vegetables market.

Intentions for the current year 2013:

The Commission is currently implementing the pilot project Fruits and vegetables consumption which received appropriations in 2011 and 2012. In 2012, the contract for the first year of the pilot project was signed, whereas the call for tender procedure is ongoing for the second year.

The Commission will implement the credits available under this preparatory action once the results from the pilot project are known (as stated in the Executability letter of 24 October 2012 and in the first interim report of 28 February 2013).

1. Heading

32 02 77 02 : Preparatory action — Cooperation mechanisms implementing the renewable energy sources Directive 2009/28/EC

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	-	150 000	-	350 000	0,0 %	133,3 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	-	150 000	-	350 000	0,0 %	133,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 budget.

Objectives followed during the 2012 implementation:

This preparatory action will examine all the conditions for the successful implementation of Directive 2009/28/EC's cooperation mechanisms and their interaction with other mechanisms or national support schemes, and to develop specific guidance or other framework for the operation of this mechanism. As requested by Parliament, priority can be given to the application of the mechanism to Member States with limited cross-border network capacities as well as in areas that exhibit high potential for specific renewable energy sources projects.

The action will follow up work undertaken under the Intelligent Energy Europe (IEE) Programme, namely the RES4LESS project and discussions and actions under the Concerted Action on the implementation of Directive 2009/28/EC and need to be complementary to work undertaken under this programme.

Intentions for the current year 2013:

Implement the call for tender and monitor the activities of the contractor.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Step	Date
Adoption of financing decision	26/06/2012
Publication of call for tender	04/10/2012
Closing date of call for tender	19/11/2012
Award decision	March - April 2013
Pre-financing payment	N/A
Interim payment	December 2013
Final payment	September 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission decision C(2012) 4199 final of 26 June 2012 sets out the main elements for the implementation of the preparatory action.

The call for tender and tender specifications were published on the Europa website under the reference ENER/C1/2012/543 at the following address: http://ec.europa.eu/dgs/energy/tenders/2012_en.htm

- Do similar activities exist, which are already covered by a legal basis?

In the framework of the Intelligent Energy Europe (IEE) programme, EACI is implementing the project “Bringing Europe and Third countries closer together through renewable Energies (BETTER)” intended to facilitate cooperation with third countries under the Renewable Energy Directive. An earlier IEE project “RES4Less” has looked into the economic opportunities for intra-EU cooperation by identifying valleys of opportunity and presenting detailed case studies.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. The preparatory action is intended to facilitate the implementation of existing legislation.

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Service contract : cooperation between EU Member States under the Renewable Energy Directive and interaction with support schemes.	Ecofys Netherlands BV	500.000

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action was implemented by way of an open call for tender.

5.6 Types of beneficiaries

The selected contractor is a private Ltd Liability Company.

Beneficiaries of the action will be Member States, other stakeholders (companies, associations, others) involved in the development of cooperation mechanisms.

1. Heading

34 02 77 01 : Preparatory action — Mainstreaming climate action, adaptation and innovation

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	4 803 567,00	1 960 615,68	3 000 000	3 000 000	-	4 700 000	-100,0 %	56,7 %
Reserve							0,0 %	0,0 %
Total	4 803 567,00	1 960 615,68	3 000 000	3 000 000	-	4 700 000	-100,0 %	56,7 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - SUSTAINABLE GROWTH: NATURAL RESOURCES

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2011, 2012 and 2013 budgets.

Objectives followed during the 2011 and 2012 implementation:

It is intended to cover work needed to underpin the Union's developing policy on mainstreaming/integration of climate action and adaptation to climate change, as basis for an impact assessment and the preparation of future policy instruments under the next Multi-Annual Financial Framework. Integrating or mainstreaming climate change mitigation and adaptation actions into other policies is vital in order to achieve the EU's ambitious mitigation targets and to effectively adapt to climate change.

Specific objectives followed in 2011 and 2012:

- To support the transition towards a competitive low carbon economy via innovative approaches;
- To contribute to resource-efficient agriculture and sustainable forestry -;
- To prepare the implementation of the target of 20 % mainstreaming of climate action into other EU programmes and its accounting methodology;
- To launch the Clima-Adapt tool as a knowledge base for collecting data about adaptation plans and for sharing of best practises and to prepare the proposal for an EU Adaptation Strategy to climate change.

Intentions for the current year 2013:

- To raise awareness and organise outreach activities to promote the new EU Adaptation Strategy, to be presented by the Commission in the first half of 2013
- To continue the preparatory work to monitor the implementation and tracking of the 20 % mainstreaming starting in 2014As no legal instrument exists at EU level in the area of adaptation to climate change, the Commission is taking the initiative in this respect following the following the White Paper on adaptation adopted in 2009 that should result in the proposal for a strategic approach in 2013.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

- 2011 appropriations

Step	Date
Adoption of financing decision	19 April 2011 Decision C(2011)2599
Publication of call for proposals / call for tender	2nd Q 2011
Closing date of call for proposals / call for tender	3rd -4th Q 2011
Award decision	3rd – 4th Q 2011
Pre-financing payments	4th Q 2011-1st Q 2012
Interim payments	2012
Final payments	2013

- 2012 appropriations

Step	Date
Adoption of financing decision	12 October 2011 Decision C (2011)7187
Publication of call for proposals / call for tender	2nd Q 2012
Closing date of call for proposals / call for tender	3rd Q 2013
Award decision	3rd -4th Q 2012
Pre-financing payments	4th Q 2012-1st Q 2012
Interim payments	2013
Final payments	2014

- 2013 appropriations

Step	Date
Adoption of financing decision	22 January 2013 Decision C (2013)343
Publication of call for proposals / call for tender	1st Q and 2nd Q 2013
Closing date of call for proposals / call for tender	3rd Q 2013
Award decision	3rd-4th Q 2013
Prefinancing payment	4th Q 2012-1st Q 2012
Interim payment	2014
Final payment	2015

Overall, EUR 4 700 000 of payment appropriations are requested under the 2014 draft budget to honour the commitments from the past 3 years on contracts and grants contracted under the preparatory action.

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The budget is spent on an annual basis and covered by an annual Work programme/financing decision which details the main elements of planned implementation of the preparatory action.

Relevant financing decisions for the years 2011, 2012 and 2013 :

2011: Decision C(2011)2599 of 19 April 2011

2012: Decision C (2011)7187 of 12 October 2011

2013 :Decision C(2013)343 of 22 January 2013

These decisions, along with the calls for tenders published for the implementation of the preparatory action, are published on the Europa website: http://ec.europa.eu/clima/tenders/index_en.htm and http://ec.europa.eu/clima/tenders/2013/index_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The Commission will table in the first half of 2013 a proposal for a comprehensive EU Adaptation Strategy. The main objective of the new Strategy will be to assist in creating a more resilient Europe at national, regional and local level, by enhancing the preparedness and capacity to respond to the adverse impacts of climate change, at the lowest possible cost.

The objective of increasing the proportion of climate mainstreaming in EU programmes up to 20 % in the next MFF 2014-2020 will require careful monitoring and tracking via e.g. the so-called 'Rio-markers' (0%,40% or 100% of agreed mainstreaming).

A new key instrument for supporting climate action policy in the next MFF is the proposal for a Programme for the Environment and Climate Action (Proposal for LIFE Regulation COM(2011)874 final of 12/12/2011). Chapter II of the draft Regulation envisages a specific sub-programme for Climate Action. Climate change adaptation is a priority area of this sub-programme (article 15), with the following specific objectives.

- a. to contribute to the development and implementation of Union policy and legislation on adaptation, including mainstreaming across policy areas, in particular by developing, testing and demonstrating policy or management approaches, best practices, and solutions, for climate change adaptation;
- b. to improve the knowledge base for the development, assessment, monitoring, evaluation and implementation of effective adaptation actions and measures and to enhance the capacity to apply that knowledge in practice;
- c. to facilitate the development and implementation of integrated approaches, such as for adaptation strategies and action plans, at local, regional or national level;
- d. to contribute to the development and demonstration of innovative adaptation technologies, systems, methods and instruments that are suitable for being replicated, transferred or mainstreamed.

5.4 Type of activities financed, number and amounts

2011 appropriations

Theme / area	N° contracts	Amount (EUR)
Services and studies supporting the transition towards a competitive low carbon economy	2	350 000
Studies to prepare and implement the EU Strategy for Adaptation to climate change (presentation in 2013)	4	1 800 000
Studies and analysis contributing to sustainable agriculture such as analysis of options for resource-efficient agriculture and forestry production	1	150 000
Support services and studies in relation to mainstreaming and tracking of climate mitigation and adaptation in other policies in the Union	3	2 700 000

2012 appropriations

Theme / area	N° contracts	Amount (EUR)
Services and studies supporting the transition towards a competitive low carbon economy	4	589 397
Analyses and studies to prepare the EU Strategy for Adaptation to climate change (2013)	8	714 260
Analyse contributing to sustainable agriculture such as analysis of options for resource-efficient agriculture and forestry production	3	514 350

Theme / area	N° contracts	Amount (EUR)
Development and implementation of the climate change impacts and vulnerability and adaptation clearing house mechanisms	3	405 560
Support services and studies in relation to mainstreaming of climate mitigation and adaptation in other policies in the Union	1	2 580 000

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented via calls for tender and occasionally via administrative arrangements with JRC.

5.6 Types of beneficiaries

Private companies, Consultants, Academia, Joint Research Centre Institute for Prospective Technological Studies (JRC).

Heading 3 – Security and Citizenship

1. Heading

15 04 77 03 : Preparatory action — Culture in external relations

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	485 675,00	0,00	200 000	200 000	p.m.	339 973	-100,0 %	70,0 %
Reserve							0,0 %	0,0 %
Total	485 675,00	0,00	200 000	200 000	p.m.	339 973	-100,0 %	70,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action for 2 years under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

The overall objective of the preparatory action is to support on-going policy reflection and development on strengthening the role of culture in external relations and to nurture future work.

Intentions for the current year 2013:

The implementation of concrete activities under this preparatory action was launched in January 2013 and will continue throughout the year. In order to achieve the objectives mentioned above, a comprehensive mapping exercise will be carried out to map out strategies/policies/approaches developed in different countries in relation to the role of culture in their external relations. This will be followed by a consultation process of different categories of stakeholders to gather views on the topic, which will help prepare the final conference to be held mid-2014.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	17/04/2012
Publication of call for tender	13/06/2012
Closing date of call for tender	17/08/2012
Award decision	11/10/2012
Pre-financing payment	21/02/2013
Interim payment	February 2014
Final payment	September 2014

- 2013 appropriations

A call for tender was launched in 2012 for the total budget allocated to this Preparatory Action under the 2012 Budget (EUR 500,000). This award procedure does not allow making additional commitments in 2013. The development of such actions will only be possible when the current project produces concrete results, which should be in December 2013. The results of the preparatory action would be therefore exploitable as from 2014. In this context, the additional commitment appropriations for new actions in 2013 of EUR 200,000 cannot be implemented (as already stated in the First interim report of 28 February 2013).

The payment appropriations requested under the 2014 Draft budget aim to cover the payments under the terms and conditions defined in the contract signed in 2012.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission implementing decision C (2012)2421 of 17 April 2012 on the adoption of the 2012 work programmes on the implementation of the pilot project on the economy of cultural diversity and of the preparatory action on culture in external relations.

Call for tender EAC/09/2012: http://ec.europa.eu/culture/calls-for-proposals/eac-09-2012_call_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The experience gained through the activities on the themes covered in the preparatory action will be taken into account in the implementation of the transversal strand of the 'Creative Europe' programme proposed for the period 2014-2020 (COM(2011)785) as well as for the cooperation with third countries under the "culture strand" of this programme.

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Contractor	EUR (maximum)
Preparatory action on Culture in External Relations	Consortium led by Goethe-Institut e.V.	EUR 485 675

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action was implemented by way of an open call for tender.

5.6 Types of beneficiaries

Public and private entities (NGO, Charity, Non-profit organisation, s.p.r.l, société anonyme de droit public à finalité sociale).

1. Heading

15 04 77 06 : Preparatory action — Circulation of audiovisual works in a digital environment

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	1 600 442,52	2 000 000	1 000 000	p.m.	999 100	-100,0 %	-0,1 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	1 600 442,52	2 000 000	1 000 000	p.m.	999 100	-100,0 %	-0,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action for 2 years under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation and intentions for the year 2013.

The objective of the preparatory action "Circulation of audiovisual works in a digital environment" is to provide information on the degree of complementarities between the different platforms of distribution available to European films: cinema release, VOD, DVD and, potentially, television. This preparatory action will test the impact of a simultaneous or semi-simultaneous release on all available platforms of distribution and in several territories. At the same time, the preparatory action has three complementary and mutually reinforcing objectives:

- Improve the conditions of circulation for European films within the European Union
- Increase and expand the global audience for European films,
- Inform markets and public authorities on the improvements of the complementarities between the different distribution supports.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	27.03.2012
Publication of call for proposals	30.03.2012
Closing date of call for proposals	06.09.2012
Award decision	12.10.2012
Prefinancing payments	05.12.2012 & 11.12.2012
Interim payment	n.a.
Final payment	+/- July 2014

- 2013 appropriations

Step	Date
Adoption of financing decision	27 March 2013
Publication of call for proposals / call for tender	April 2013
Closing date of call for proposals / call for tender	September 2013
Award decision	November 2013
Pre-financing payment	December 2013
Interim payment	n.a.
Final payment	+/- August 2015

An amount of payment appropriations of maximum EUR 399.100 is needed in 2014 to fulfil the legal obligations linked to the grants awarded in 2012.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Implementing Decision C(2012)1890 of 27/03/2012 on the 2012 annual work programme for the Preparatory Action -Circulation of audiovisual works in a digital environment.

Call for proposals 2012: http://ec.europa.eu/culture/media/fundings/digital-circulation/calls_en.htm

Commission Decision C(2013)1780 of 27/03/2013 on the 2013 annual work programme for the Preparatory Action - Circulation of audiovisual works in a digital environment.

Expected results: the selected projects are aiming at experimenting with simultaneous or quasi simultaneous releases on all kind of digital distribution channels and in different European territories. Limited to European films and their distribution within countries of the European Union, projects will cover a substantial number of films and territories. The main results of the experiment and the lessons arising from it should be presented to professionals and public authorities.

- Do similar activities exist, which are already covered by a legal basis?

The traditional distribution of European films in cinema theatres is covered by the MEDIA programme (Distribution scheme). However, unlike this distribution scheme the preparatory action supports innovative ways of distribution based on simultaneous release in cinema and video on demand services.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Provided that the results of this preparatory action prove that such new modes of distribution enable European films to increase their audience, it will be taken into account and integrated in the MEDIA strand of the new CREATIVE EUROPE programme (COM(2011) 785/2 of 23 November 2011).

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
SPEED BUNCH	Wild Bunch	500.000
TIDE	ARP	800.000
EDAD	Artificial Eye	695.500

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented by way of calls for proposals (grants). For the year 2013 call for proposals will be launched in order to select the projects that will be supported under this second year of implementation of the Preparatory action.

For the assistance to the Commission in the selection of projects, use of the experts list created following the call for expression of interests EACEA/2007 for the MEDIA programme.

5.6 *Types of beneficiaries*

A partnership of enterprises or organisations linked to the audiovisual markets (producers, agents, distribution companies, copyright holders, marketing companies, cinemas, etc.), which all (coordinators and partners) have their seat in one of the Member States of the European Union and which include at least 2 selling agents among the partners.

1. Heading

16 02 77 04 : Preparatory action — European Civil Society House

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		250 000	125 000	p.m.	125 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		250 000	125 000	p.m.	125 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action for the first year under the 2013 budget.

Intentions for the current year 2013:

As stated in the budgetary remarks, "the objective of this preparatory action is to enable the establishment of the European Civil Society House (ECSH) physically as a resource centre and advice bureau on European rights and civic participation for both citizens and civil society organizations (CSOs) and as a user-friendly space for brainstorming, ideas exchange and networking of like-minded individuals concerned about Europe's future".

After careful analysis, and given the scope, ambition and financial implications of this project, the Commission intends to carry out an in depth, objective feasibility study.

The study will carry out extensive investigation on the environment and of the resources required and wide spread consultations with the various stakeholders, with a view to assess the feasibility of the project from the various angles, notably:

- the operational feasibility;
- the technical feasibility;
- the financial feasibility and sustainability;
- the management and governance structures for the various phases;
- the legal environment;
- the envisaged time schedule.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	25/04/2013
Award decision via framework contract	July 2013

Step	Date
Pre-financing payment	N/A
Interim payment	December 2013
Final payment	March 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2013)2280 of 25 April 2013 sets out the main elements for the implementation of the Preparatory Action.

- Do similar activities exist, which are already covered by a legal basis?

A project to develop the digital dimension of the European Civil Society House was co-financed by the Europe for Citizens programme in 2010.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

At this current stage, it is not foreseen. This is however without prejudice of the findings of the study.

5.4 Type of activities financed, number and amounts

One feasibility study (250.000 EUR).

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will be implemented via procurement, using a specific contract from an existing multiple framework contract with reopening of competition. The signature of the specific contract is expected by July 2013.

5.6 Types of beneficiaries

This will be contracted out to one of the four contractors who signed the Framework contract on provision of services in the field of evaluation of communication activities/lot 1.

1. Heading

17 03 77 07 : Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	-	200 000	p.m.	300 000	0,0 %	50,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	-	200 000	p.m.	300 000	0,0 %	50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 budget.

Objectives followed during the 2012 implementation:

This appropriation is intended to cover the creation of a European Union network of experts that will promote and sustain innovative and adapted care structures for adolescents with psychological problems. It will collect, exchange, develop and share experience, knowledge and best practices in this field. The network must be seen as a follow-up action to the European Mental Health Pact and the series of conferences organised by the Commission in this field.

Intentions for the current year 2013:

The call for tender launched in 2012 was unsuccessful and officially closed. It will be re-published in May 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	August 2012
Publication of call for tender	07/11/2012
Closing date of call for tender	20/12/2012
Notice of no-award	16/02/2013
Re-launching of a call for tender	06/06/2013
Closing date of new call for tender	19/07/2013
Award decision	Last quarter 2013
Pre-financing payment	Expected end 2013
Interim payment	Expected end 2014
Final payment	Expected 2015-2016

The specifications have been revised and adapted to the fact that no offer has been received. The new call is to be launched (27/05/2013) so dates have been defined based on this new call for tender.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision for 2012 appropriations has been adopted in August 2012 (C(2012)5559/3).

The 2012 call for tender initially was published on 7 November 2012 under the reference SANCO/2012/C4/02: the contract notice is available on Europa: <http://www.ted.europa.eu/udl?uri=TED:NOTICE:352871-2012:TEXT:EN:HTML>. Following the unsuccessful procedure a notice of non award was published on 16 February 2013.

The new call for tender SANCO/2013/C1/005 was published on 6 June 2013: <http://ted.europa.eu/udl?uri=TED:NOTICE:183905-2013:TEXT:EN:HTML&src=0>

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

As the call for tender launched in 2012 was unsuccessful and the Commission is currently launching a new call for tender, these details are not yet known.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented by way of a call for tender.

5.6 Types of beneficiaries

The beneficiary of the contract is not yet known.

The ultimate beneficiaries of this preparatory action will be: adolescents with psychological problems, professionals working with these adolescents in the health, social and educational sectors, parents, NGOs, policymakers at various levels (local, regional, national, EU).

1. Heading

18 03 77 06 : Preparatory action — Enable the resettlement of refugees during emergency situations

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	3 000 000,00	1 425 000,00	p.m.	650 000	p.m.	500 000	0,0 %	-23,1 %
Reserve							0,0 %	0,0 %
Total	3 000 000,00	1 425 000,00	p.m.	650 000	p.m.	500 000	0,0 %	-23,1 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2012 budget.

Objectives followed during the 2012 implementation:

This Preparatory Action aims to complement the existing EU legal and financial framework with a view to fill the gaps in the Union resettlement efforts in providing support to resettlement of persons in need of international protection who find themselves in emergency conditions that are not covered by the current ERF i.e. that could not be pledged in advance under the mechanism foreseen for the allocation of the fixed amount for resettlement under the ERF.

1. To fund rapid resettlement operations of the Member States for persons in need of international protection as identified by UNHCR, in emergency conditions and to guarantee financing for such emergency procedures without disrupting the ongoing resettlement procedures of the Member States,

2. To provide extra financial support for emergencies to the Office of the UNHCR and to its liaison organisations in the Member States and at the EU level, to support an increased capacity in emergency resettlement operations.

Intentions for the current year 2013:

Additional project applications from Member States can be considered for funding up until end of 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	10 October 2012
Budgetary global commitment	12 October 2012
Publication of call for proposals / call for tender	No call for proposal

Step	Date
Award decisions to date	13 December 2012, 5 February 2013
Pre-financing payment	14 December 2012, ongoing
Interim payment	-
Final payment	2014/2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2012 annual work programme was adopted on 10 October 2012 (C(2012)7046).

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The activities and experiences collected from this Preparatory Action will be taken into account for the implementation of the Union Resettlement Programme foreseen under the new Asylum and Migration Fund (AMF) for the period 2014-2020 COM/2011/0751 final – see in particular see Articles 2(f), 7, 17, 21 (2a) and 22 of the Commission proposal.

5.4 Type of activities financed, number and amounts

2012 appropriations (individual commitments)

Title / Action	Beneficiary / contractor	EUR (maximum)
Strengthening the response to emergency resettlement needs	UNHCR	1.500.000
Resettlement from Syria to Ireland	DEPARTMENT OF JUSTICE AND EQUALITY, IRELAND	415.156,56

Following the reservation of 2012 commitment appropriations (EUR 3.000.000) via a global commitment, additional project applications from Member States can be considered for funding up until end of 2013 for the remaining total amount of EUR 1.084.843,44.

5.5 Method of implementation (tenders, grants, other) and evaluations

The actions are implemented directly by the Commission. The grants are awarded without a call for proposals in accordance with the provisions of Article 112(1) of the Financial Regulation and of the Article 168(1)(b) and (c) of its Implementing Rules (article 128 of the Financial Regulation applicable since 1st January 2013 and article 190 of the Rules of application). Article 168(1)(b) of the IR (Article 190(1)(b) of the Rules of application) shall be applied for Objective No 1 above (Member States operations) and Article 168(1)(c) (Article 190(1)(c) of the Rules of application) shall be applied for Objective No 2 (UNHCR).

5.6 Types of beneficiaries

UNHCR and Member States.

1. Heading

18 03 77 08 : Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees

2. Figures

(euro)

	2012 Outturn	2013 Budget		2014 DB		Var(2014/2013)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - SECURITY AND CITIZENSHIP

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action for the first year under the 2013 budget. It follows a pilot project (article 18 03 77 04, former article 18 03 15) funded under the 2011 budget.

Intentions for the current year 2013:

The specific objectives for the 2013 Preparatory Action are the following:

- identifying municipalities or local authorities in Member States that have just started resettlement programmes or are about to do so and arranging meetings with selected municipalities or local authorities in resettlement countries with a view to strengthening ‘new’ resettlement programmes and ensuring that they are of a high quality and sustainable,
- identifying municipalities or local authorities or NGOs in Member States not yet participating in resettlement programmes, but wishing to join the network with a view to future participation in such programmes,
- holding meetings of representatives of the targeted local authorities and municipalities involved, along with the UNHCR, participating NGOs and representatives of resettled refugees, to lay down the activities to be developed jointly, including visits, exchanges of experience, training and thematic meetings focusing on issues such as housing, education and employment,
- designing a form for collecting information from the various stakeholders on procedures, types of monitoring prior to resettlement, types of information collected on the refugees to be resettled (including cultural, health and educational issues and diet), infrastructure, human resources, accommodation, reception, actions taken after resettlement for the integration of refugees, community participation in the process, any economic, legal or educational aid, the role of refugees already resettled in the integration process, and cooperation with NGOs,
- creating a task force, with the necessary human and technical resources, to make the practical arrangements for the project and in particular to provide for the necessary meetings, collect available information, create a website and content for it, thus increasing the exchange of information between the stakeholders in the resettlement process, and create links with existing resettlement-related projects and/or websites. This website

will also allow information on past and future meetings between municipalities or local authorities to be made available to all interested parties on a systematic basis,

- collating the information gathered by the task force, which will then circulate it for discussion on the network, in order to draw conclusions on best practices.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2013 appropriations

Step	Date
Adoption of financing decision	Second quarter 2013
Publication of call for proposals	Third quarter 2013
Closing date of call for proposals	Third quarter 2013
Award decision	Fourth quarter 2013
Pre-financing payment	Fourth quarter 2013 – beginning 2014
Interim payment	-
Final payment	2015/2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2013 annual work programme of the Preparatory action is under preparation.

Information on the implementation of the 2011 pilot project which had similar objectives can be found on the Europa website via the following link: http://ec.europa.eu/dgs/home-affairs/financing/fundings/migration-asylum-borders/other-programmes/pilot-project-resettlement/index_en.htm

- Do similar activities exist, which are already covered by a legal basis?

The following similar activities/projects were financed under the European Refugees Fund - Community Actions:

- JLS/2008/ERF/1019 by IOM, title of project "A joint IOM, UNHCR and ICMC project – Promotion of resettlement in the European Union through practical cooperation by EU Member States and other stakeholders".
- HOME/2010/ERFX/CA/1705 by IOM, title of project "A joint IOM, UNHCR and ICMC project: Linking in EU resettlement - linking the resettlement phases and connecting (local) resettlement practitioners".
- HOME/2010/ERFX/CA/1696 by European University Institute, title of project: "Building knowledge for a concerted and sustainable approach of resettlement in the EU and its Member States".

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No – the proposed Asylum and Migration Fund (COM/2011/0751 final) could cover such measures in the future (see in particular Articles 2 (f) and 7 of the proposal).

5.4 Type of activities financed, number and amounts

The available budget amounts to 500.000 EUR in 2013. The available budget should allow 1 to 2 projects to be co-financed depending on the individual grant amounts.

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action will be implemented by the Commission through grants awarded pursuant to open call for proposals.

5.6 Types of beneficiaries

The applicant and its partners must be national, regional and local authorities, non-governmental organisations (NGOs), public or private bodies, university departments, research centres or international organisations.

Heading 4 – Global Europe

1. Heading

07 02 77 02 : Preparatory action — Environmental monitoring of the Black Sea Basin and a common European framework programme for development of the Black Sea region

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	** P	CA	PA	CA	PA	CA	PA
Line	0,00	693 943,00	-	150 000	-	112 500	0,0 %	-25,0 %
Reserve							0,0 %	0,0 %
Total	0,00	693 943,00	-	150 000	-	112 500	0,0 %	-25,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

This preparatory action was voted for two successive years under the 2010 and 2011 budget and follows a Pilot Project implemented in 2008-2009 (Budget item 07 02 77 01, former article 07 02 03).

This action is intended to promote measures for combating pollution and biodiversity decline in the Black Sea region and for addressing negative effects of environmental degradation.

Its overall objectives are as follows:

- to train people and prepare staff for the actual implementation of monitoring-related activities,
- to study pollution and biodiversity of the marine and coastline environment,
- to develop new technologies for environmental protection,
- to design and put in place an integrated marine and coastline monitoring system in the region,
- to determine and manage efficiently marine protected areas,
- to contribute to water management in the region including through innovative and cost effective ways to increase fresh water supply, in cooperation with other areas facing water scarcity, such as the Mediterranean.

Regular contacts are kept with the European Parliament on the implementation of the actions, including complementarities with actions financed under existing legal bases (neighbourhood policy) and on-going actions financed in previous years by the Pilot project and Preparatory Action.

Objectives followed during past years' implementation:

Under the 2010 funds: two direct grants were awarded (to OECD and the Secretariat of the Black Sea Commission (BSC)). The agreements were signed end 2010, implementation started early 2011.

OECD: With a prolongation of 6 months of the grant agreement due to "delays from the side of the Moldovan authorities and also partly due to internal OECD delays", the OECD grant continued until 31/12/2012. The final report has been approved on 06/03/2013.

The grant awarded to the Black Sea Commission for cooperation with HELCOM on eutrophication issues proceeds as planned – the report for 2012 has been submitted.

Under the 2011 funds: a call for proposals was published with a date for submission of proposals set at 14 October 2011. Two grants were awarded for a total of EUR 1.000.000 with (1) National Institute for Marine Research and Development "Grigore Antipa" NIMRD and (2) Doga Dernegi as the beneficiaries. The grant agreements were signed in 2012 and implementation started right after signature.

Intentions for the current year 2013:

Implementation of the grant agreements with the Black Sea Commission, Doga Dernegi and NIMRD and of the grant awarded to the Black Sea Commission for cooperation with HELCOM. Finalisation / payment of the grant agreement with the OECD (final report approved).

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2010 appropriations

Step	Date
Adoption of financing decision	25/08/2010
Signature of grant agreements with OECD and the Secretariat of the Black Sea Commission (BSC)	End 2010
Pre-financing payments	2011 (OECD & BSC)
Interim payments	2012 (OECD)
Final payment	2013 (OECD) and 2014 (BSC)

- 2011 appropriations

Step	Date
Adoption of financing decision	17/05/2011
Publication of the call for proposals	12/07/2011
Closing date of call for proposals	14/10/2011
Award decision	19/12/2011
Pre-financing payment	2012
Interim payment	2013
Final payment	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2010)5759 of 25/08/2010 sets out the main elements of implementation of the Preparatory Action for 2010.

The Financing Decision C(2011)3227 of 17/05/2011 sets out the main elements of implementation of the Preparatory Action for 2011.

- Do similar activities exist, which are already covered by a legal basis?

Similar activities exist to support implementation of the principles of the EU Marine Strategy Framework Directive in the Black Sea.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative proposal is foreseen at this stage.

5.4 Type of activities financed, number and amounts

2010 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Supporting better wastewater treatment and climate change adaptation in the water sector of Moldova	OECD	EUR 200 000
Environmental monitoring of the Black Sea with focus on nutrient pollution	Permanent Secretariat of the Commission on the protection of the Black Sea against pollution	EUR 300 000

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Marine Strategy Framework Directive. Guiding improvements in the Black Sea Integrated Monitoring System - MISIS	National Institute for Marine Research	EUR 784 859
Preparing the basis for an inventory of Marine Important Bird Areas along the southern Black Sea Coast (Romania, Bulgaria and Turkey)	Doğa Derneği	EUR 215 141

5.5 Method of implementation (tenders, grants, other) and evaluations

Under the 2010 funds the two grants were awarded to the Black Sea Commission and the OECD on the basis of article 168(1) of the implementing rules to the Financial regulation.

In 2011 the preparatory action was implemented through a call for proposals. The grant agreements foresee regular reporting all project long. No external evaluation is foreseen.

5.6 Types of beneficiaries

See under section 5.4 above.

1. Heading

07 02 77 03 : Preparatory action — Strategic environmental impact assessment on the development of the European Arctic

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	998 360,00	0,00	p.m.	500 000	p.m.	375 000	0,0 %	-25,0 %
Reserve							0,0 %	0,0 %
Total	998 360,00	0,00	p.m.	500 000	p.m.	375 000	0,0 %	-25,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2012 Budget.

Objectives followed during the 2012 implementation:

This preparatory action is intended to carry out a Strategic Impact Assessment in the Arctic. The aim is to increase awareness about the Arctic and its changing political, economic and environmental landscape, the impact of the EU policies as well as about Impact Assessments (IA) and their importance as a tool and a channel to put together information for the use of decision- and policymakers and the related legal processes.

The strategic impact assessment will be implemented as a networked undertaking, by leading Arctic communication and research centres and universities within and outside the EU. It will aim at creating a bridge to facilitate two-way information exchange between the EU institutions and the Arctic stakeholders and foster EU-civil society contacts. It should also advance the future establishment of an Arctic Information Centre as expressed in the Commission's 2008 Communication 'The EU and the Arctic Region'.

Intentions for the current year 2013:

Pre-financing payment to be done (Month 4 of the contract).

Interim payment to be done (Month 11 of the contract).

Monitoring and reports every trimester.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	08/06/2012
Publication of call for tender	e-tendering TED on 06/07/2012
Closing date of call for tender	31/08/2012

Step	Date
Award decision	19/11/2012
Pre-financing payment	2013 (Month 4 of the contract)
Interim payment	2013 (Month 11 of the contract)
Final payment	2014 (Month 18 of the contract)

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2012)3716 of 8 June 2012 sets out the main elements of the implementation of the preparatory action.

The call for tender was published under reference ENV.E.1/SER/2012/0038 on the Europa website:

http://ec.europa.eu/environment/funding/calls2012_en.htm

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Strategic Environmental Impact Assessment of the Development of the Arctic	University of Lapland	EUR 998 360

5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action was implemented by way of an open call for tender.

5.6 Types of beneficiaries

The contractor will be chosen following a call for tender.

The following are expected to benefit from the results of the preparatory action:

- The European Union, its Member States;
- EU agencies including the European Environment Agency and the European Maritime Safety Agency;
- The Arctic states: Denmark/Greenland, Finland, Sweden; Norway, Iceland (within the European Economic Area); and Canada, Russian Federation, USA (strategic partners of the EU);
- Research centres, universities and communication/policy centres concerned with Arctic issues;
- The population of the Arctic including indigenous people and other civil society interests concerned with Arctic issues including NGOs, business associations, policy organizations;
- International Organisations active on Arctic issues such as UNEP.

1. Heading

21 02 77 01 : Preparatory action — Cooperation with middle income group countries in Latin America

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	340 734,38	p.m.	500 000	-	375 000	0,0 %	-25,0 %
Reserve							0,0 %	0,0 %
Total	0,00	340 734,38	p.m.	500 000	-	375 000	0,0 %	-25,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted for two successive years under the 2008 and 2009 budgets.

Objectives followed during the 2012 implementation:

No new actions have been implemented during 2012.

Concerning the ongoing actions, the objective was to promote the DVB digital TV Standard in Latin America through pilot projects and the promotion of activities aiming to develop EU compatible norms and standards in the field of electronic telecommunications.

Intentions for the current year 2013:

No new pilot projects or preparatory actions are envisaged. The adoption of the ICI+ instrument took place in December 2011 (entry into force on 31/12/2011) constituting the legal basis for activities of the type.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2008: Adoption of the Commission decision on the "Preparatory Action for middle income group countries in Latin America for 2008 in favour of MERCOSUR in support of the implementation of the audiovisual programme. The financing agreement was signed in 2009. The project is ongoing.

2009: Adoption of the Commission decisions on the "Preparatory actions – Cooperation with middle income group countries in Latin America for 2009 in favour of Uruguay, Panama and Colombia to be financed under budget line 19 09 02" in support for the implementation of the DVB standard. The financing agreements were signed in 2010. With the exception of the action in favour of Uruguay that was cancelled in 2011, the other actions are on-going.

Forecast for 2013: EUR 500 000 payments.

Forecast for 2014: EUR 375 000 payments.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

N/A

- Do similar activities exist, which are already covered by a legal basis?

N/A

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The ICI+ programme, adopted in December 2011, enlarges the geographical scope of the ICI instrument and constitutes the legal basis for future actions in 2012-2013. In December 2011 the Commission tabled a proposal for a new 'Partnership instrument' for the period 2014-2020 (COM(2011)843) which will also allow to cover actions such as those developed under the preparatory Action.

5.4 Type of activities financed, number and amounts

2008	EUR 1,5 million	Programa Mercosur Audiovisual.
2009	EUR 2 million	Promotion of the European Digital TV standard in Latin America (LA) in Uruguay, Panama and Colombia
Since 2010	no new activities financed as preparatory actions	implementation of on-going actions

5.5 Method of implementation (tenders, grants, other) and evaluations

Procurement and grant awards. No evaluation is foreseen.

5.6 Types of beneficiaries

Mercosur, Panama and Colombia.

The 2008 action in favour of MERCOSUR is on-going.

For the 2009 actions in favour of Uruguay, Panama and Colombia in support for the implementation of the DVB standard, the financing agreements were signed in 2010. The action in favour of Uruguay was cancelled in 2011. The action in Colombia concluded its operational phase on 30/12/2012, being under closure phase. The action in Panama is on-going till 24/11/2013.

1. Heading

21 02 77 02 : Preparatory action — Business and scientific exchanges with India

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 666 935,80	p.m.	3 600 000	-	952 768	0,0 %	-73,5 %
Reserve							0,0 %	0,0 %
Total	0,00	1 666 935,80	p.m.	3 600 000	-	952 768	0,0 %	-73,5 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted for three successive years under the 2007, 2008 and 2009 budgets.

The overall objective of the project is to foster links between European and Indian businesses as well as between science and technology with the view to promote the EU interest in India and inject real content into the bilateral policy dialogues by involving, in particular, the private sectors of EU and India.

The project aims to contribute to the achievement of the following:

- Increased business, scientific and technical cooperation partnerships between EU and Indian operators
- Enhanced use of EU technology in India and development of joint new technologies
- Increased visibility of EU in India, in particular on climate change mitigation efforts through technology transfer.
- Improved access for European business to the Indian market.

Intentions for the years 2013 and 2014:

The EBTC project recently had a Mid-term Review (concluded in April 2013) following which a number of key issues related to European value added, complementarity with EU MS support schemes, outreach to and impact on the EU SME's and its final financial sustainability have been highlighted. These issues will be addressed by the Commission with the contractor, EuroChambres. With specific respect to outreach to European SMEs, the new strategy is to concentrate on promotion and mobilisation of EU SME's in Europe (main clients of the EBTC) through dedicated contact points spread across Europe. Focus will be on enhanced awareness-raising efforts in Europe, on-line information services and training sessions on doing business in India, among other innovative activities to connect businesses from both EU and India. EBTC's recent involvement with the EEN is also expected to positively contribute to EBTC's outreach in Europe.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Step	Date
Grant agreement signed EBTC I	11 September 2008

Step	Date
Grant Agreement signed EBTC II	31 December 2008
Grant Agreement signed EBTC III	31 December 2009
Meetings of the Project Advisory Committee (PAC)	February 2009 September 2009 June 2010 February 2011 October 2011 April 2012 October 2012
Ecorys ROM mission	19-23 October 2009
Ecorys ROM Mission Ecorys Monitoring Mission Mid-Term Review	11-20 May 2011 June 2012 April 2013
EBTC-I	All payments implemented
EBTC-II	EUR 1 860 468 paid at October 2012 EUR 571, 429 foreseen September 2013 Final payment foreseen December 2014
EBTC III Payments until October 2012	EUR 505 360 paid at October 2012 EUR 698,928 foreseen for October 2013 Final payment foreseen for December 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Action fiche / Commission decisions.

European Business and Technology Centre (EBTC) Long-term Strategic Development and Business Plan 20010-17 (adopted in August 2010).

- Do similar activities exist, which are already covered by a legal basis?

Not at the time of the preparatory action.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The revision of the ICI Regulation concluded at the end of 2011 (Regulation ICI+) provides the means for the implementation of actions of mutual interest for the EU and third countries, including in Asia. In December 2011 the Commission tabled a proposal for a new 'Partnership instrument' for the period 2014-2020 (COM(2011)843) which might allow to cover actions such as those developed under the preparatory Action if deemed justified.

5.4 Type of activities financed, number and amounts

EBTC is expected to provide the following services to EU companies that have interest in the Indian market:

- Market Access (first entry point- services) – provide first entry-point advisory services to EU SMEs, advise on standards and Regulations, organise specific regulatory aspects and market access issues
- Market Intelligence – Generating knowledge and information on the Indian market for EU companies- This includes sector studies, feasibility studies, information on opportunities in a particular sector for the EU, to name a few.
- Integrator Services- a platform for EU business and S&T Community – promote joint cooperation under the EU programmes (FP7), provide hosting of EU events in the EBTC office.
- Business & Research delegations – Organise 4 flagship missions in each of the focal sectors.

- Supporting & Follow-up services (Market exploration trips, Business Partner Search, organization of B2B meetings, incubation service) - Match-making events, Business and Research missions to promote joint venture, technology transfer initiatives, provide hosting services for companies that need office for short durations in India. Support in government, legal and other necessary procedures.
- Supporting EU SMEs and Research community in bidding for Indian projects.

5.5 Method of implementation (tenders, grants, other) and evaluations

This preparatory action is implemented through grant agreements (3 different agreements) between European Commission (max. 80% co-financing) and EUROCHAMBRES.

5.6 Types of beneficiaries

European companies, SMEs, Science and Technology Communities, Research organisations.

1. Heading

21 02 77 03 : Preparatory action — Business and scientific exchanges with China

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	2 922 417,21	p.m.	3 700 000	-	815 562	0,0 %	-78,0 %
Reserve							0,0 %	0,0 %
Total	0,00	2 922 417,21	p.m.	3 700 000	-	815 562	0,0 %	-78,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted for three successive years under the 2007, 2008 and 2009 budgets.

This budget line has financed or is still financing 4 projects:

- European China Research and Advisory Network (ECRAN);
- Setting-up of an EU Centre for support to European Small & Medium Sized Enterprises;
- Science & Technology Fellowship Programme China (STF China);
- Research, networking and dissemination of information on China to the European business and scientific community.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

ECRAN

Steps	Deadline
Financing Decision	December 2009
Service contract signature after restricted international tender	December 2009
Start of project activities	17 January 2011
First 6-monthly interim report and payment request	July 2011
Second 6-monthly interim report and payment request	March 2012 (paid – EUR 434.342,98)
Third 6-monthly interim report and payment request	September 2012 (paid – EUR 380.676)
Forth 6-monthly interim report and payment request	March 2013
Fifth 6-monthly interim report and payment request	September 2013
Sixth 6-monthly interim report and payment request	Expected 2014

End of project and final payment	End 2014
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‘Understanding China’ - Research, networking and dissemination of information

Steps	Deadline
Service contract signature after restricted international tender	December 2008
Starting date of activities	01/01/2009
1st instalment paid	18/12/2008
2nd pre-financing	19/08/2010
3rd pre-financing	16/09/2011
Final payment	2013

Science and Technology Fellowship Programme (First and Second Edition)

Steps	Deadline
Financing Decision No.1 (19-460)	December 2007
FWC Promotion signature	23/04/2008
FWC Promotion payment and closure	27/10/2009
Service contract signature after restricted international tender	05/08/2008
Starting date of activities service contract (163-251)	15.08.2008
Payments	2008-2012
De-commitment and Closure of Fellowship Contracts (STF2) and of Financing Decisions 1 and 2	December 2012

SME CENTRE

Steps	Deadline
Financial Decision # PA/2008/20273	Amount: EUR 4 991 661
Service contract signature with Consortium of EU Chambers	Amount: EUR 4 991 661 (36 months)
Contract signature and official commencement date:	22/10/2010
End Date of Implementation:	06/10/2013 Extension of duration envisaged to ensure a seamless transition to a second funding phase – possible new March or May 2014
Total paid to date	EUR 3 405 902,79
Balance to be paid 2013-2014	EUR 1 585 758,21, with payments scheduled July 2013, February and November 2014.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Action fiche / Commission decision

- Do similar activities exist, which are already covered by a legal basis?

Not at the time of the implementation of the preparatory action (2007-2009).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The ICI+ programme, adopted in December 2011, enlarges the geographical scope of the ICI instrument and constitutes the legal basis for future actions in 2012-2013. In December 2011 the Commission tabled a proposal for a

new 'Partnership instrument' for the period 2014-2020 (COM(2011)843) which might also allow to cover actions such as those developed under the preparatory Action, if deemed justified.

5.4 Type of activities financed, number and amounts

Action	Description
Science & Technology Fellowship/training programme (STFC)	<p>2 commitments (2007 and 2009) for total of EUR 6.5 million.</p> <p>A total of 58 fellows were selected and took part in 2 Fellowship intakes including 6-month tailor-made Chinese language training course at Beijing Foreign Studies University in Beijing and 18 months research in a Chinese research facility or institute. The EU Delegation signed individual grant contracts with the selected fellows. The first intake was running from 01 April 2009 to 31 March 2011. The second intake started on 01 June 2010 and will end 31 May 2012. The STF Programme came to a close in August 2012. The technical assistance implementing the language and culture training, organising the fellowship selection, PR campaign, networking events, conferences etc. was provided by GOPA consultants. 3 ROM missions and a mid-term review have concluded that a follow up mobility action for European researchers to come to China is highly recommended and relevant, yet lessons learned of STF need to be taken into account. – i.e. being more focus and targeted towards strategic European interests Final payments made.</p>
Research, networking and dissemination of information "Understanding China"	<p>2007 commitment of EUR 3 million.</p> <p>A grant contract for the action called "Understanding China" was signed with Eurochambres in December 2008, comprising a business intermediary training programme as well as a mechanism for the provision of policy advice to European institutions, particularly in relation to economic matters. Final payment made</p>
Setting-up of an EU Centre for support to European Small & Medium Sized Enterprises (SMEs).	<p>2008 commitment of EUR 4 991 661.</p> <p>This Centre offer services to European companies, SMEs in particular, to assist them in penetrating the Chinese market and in a manner that complements services already offered by relevant Member States organisations.</p> <p>The EU SME Centre, fully operational since May 2011, welcomed delegations of enterprises, assisted SMEs on individual basis and also produced a good number of high quality report/case studies for European SMEs. In particular, more than 100 events organised or participated in, 22 Guidelines, 13 Reports and 19 Case Studies prepared, newsletter distributed to more than 1800 registered users of the Centre's website, webinar activities launched. It underwent a ROM mission in November 2011 and the Mid Term Evaluation in February-March 2012.</p> <p>The opportunity to have a second phase of the Centre under ICI will be looked at in 2013 based i.a. on how the on-going performance is improved on a lasting basis.</p>
European China Research and Advisory Network (ECRAN)	<p>2009 commitment of EUR 2.5 million.</p> <p>Building an expert network, design of a web-based database, organisation of an annual conference, and production of policy papers on EU-China relations. The third progress report has been approved. Activities in 2013 foresee the continuation of activities such as the production of short- and long-term policy studies, and the organisation of events targeted at young researchers, annual conference. At the request of the Contractor and of the EEAS, the operational duration of the contract will be extended by 9.5 months (until 31st October 2014) and the resources reallocated without any budgetary impact. The extension of the contract and reallocation of resources will enable the contractor to deepen the ECRAN network and produce more studies.</p>

5.5 Method of implementation (tenders, grants, other) and evaluations

The Preparatory action was implemented through calls for proposals and service contracts.

5.6 Types of beneficiaries

Final beneficiaries: European institutions / bodies dealing with China, especially the European External Action Service.

Key target group: European academic research community specialized in China and EU-China relations.

1. Heading

21 02 77 04 : Preparatory action — Cooperation with middle income group countries in Asia

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	921 246,67	p.m.	550 000	-	515 825	0,0 %	-6,2 %
Reserve							0,0 %	0,0 %
Total	0,00	921 246,67	p.m.	550 000	-	515 825	0,0 %	-6,2 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis - Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted for two successive years under the 2008 and 2009 budgets.

This budget line finances 3 projects:

- "Europe-Asia Policy Forum – EU forAsia";
- MIC - EU Outreach and Services Sector Dialogue & Business Cooperation;
- THAILAND - Setting-up of a European ASEAN Trade Centre.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Europe-Asia Policy Forum – EU for Asia"	
Following an open call for proposals	2007
signature of grant agreement with the International Institute for Asian Studies -Leiden University	December 2008
1st Pre-financing paid	December 2008
Final payment	2011
Final external audit	May 2012

MIC - EU Outreach and Services Sector Dialogue & Business Cooperation	
Open call for proposals	2009
Signature of grant contract with EU-Malaysia Chamber of Commerce & Industry (EUMCCI)	December 2009

MIC - EU Outreach and Services Sector Dialogue & Business Cooperation	
Pre-financings paid (EUMCCI)	December 2009, December 2010, January 2012
Payment of Balance (EUMCCI)	March 2013
Signature of grant contracts with University of Nottingham Malaysia Campus (UNMC)	December 2009
Pre-financings paid (UNMC)	December 2009, February 2012, February 2013
Payment of the balance (UNMC)	2014

THAILAND - Setting-up of a European ASEAN Trade Centre	
Open Call for Proposals	May 2010
Signature of a grant contract with the German Thai Chamber of Commerce (GTCC) (Lead Applicant and Leader of the Consortium)	December 2010
Pre-financings	December 2010, May 2014
Payment of the balance	2015

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Action fiche / Commission Decision.

- Do similar activities exist, which are already covered by a legal basis?

Not at the time of the implementation of the preparatory action (2008-2009).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The ICI + programme, adopted in December 2011, enlarges the geographical scope of the ICI instrument and constitutes the legal basis for future actions in 2012-2013. In December 2011 the Commission tabled a proposal for a new 'Partnership instrument' for the period 2014-2020 (COM(2011)843) which might also allow to cover actions such as those developed under the preparatory Action if deemed justified.

5.4 Type of activities financed, number and amounts

Project	Type of activities financed
Europe-Asia Policy Forum – EU forAsia	<p>Carry out research in the field of EU - Asia relations with a view to the political, economic and social development of Asia</p> <p>Provide focused analysis, studies and recommendations on issues arising in EU - Asia relations</p> <p>Create and maintain networks of institutes, experts, policy -makers and other stakeholders, and support exchanges among them.</p> <p>Analyse and disseminate information on Asian issues, for example by organising conferences, workshops, seminars and policy briefings</p> <p>Circulate publications, research results, conclusions of conferences and other information using all available communication resources;</p> <p>Create databases, collections and easily accessible research tools providing knowledge in the field of EU – Asia studies.</p> <p>Contract finished. Final payment already done in 2011.</p>

Project	Type of activities financed
MIC - EU Outreach and Services Sector Dialogue & Business Cooperation	EU Funding EUR 575.000 EU Pavilion at Trade Fairs Seminars, workshops, breakfast meetings on EU best practice on ICT, logistics, green technology and finance Position papers of European business community
THAILAND - Setting-up of a European ASEAN Trade Centre	EU Funding EUR 2.000.000 Provision of various services, in particular business community advocacy (first position papers already published in mid-2012) and to help European business have better access to the Thai and ASEAN market especially through outreach and communication in Europe, targeting European SMEs in particular.

5.5 Method of implementation (tenders, grants, other) and evaluations

Europe-Asia Policy Forum – EU forAsia : the action is implemented via a grant contract with University of Leiden for "EUforAsia".

MIC - EU Outreach and Services Sector Dialogue & Business Cooperation Grants. ROM mission carried out on both projects in September 2011. External evaluations envisaged through a framework contract.

THAILAND - Setting-up of a European ASEAN Trade Centre - local grant contract.

5.6 Types of beneficiaries

Commission, Parliament & EU council, individual EU members

The business sector, European companies, in particular SMEs

European NGOs and scholars focused on Asian affairs

EU and Malaysian private sector companies, government officials, High-Education Institutions, High-Education students, media.

1. Heading

21 02 77 05 : Preparatory action — European Union-Asia — Integration of policy and practice

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	300 000	-	281 080	0,0 %	-6,3 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	300 000	-	281 080	0,0 %	-6,3 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted for one year under the 2010 budget.

Objectives followed during the 2011 and 2012 implementation:

The specific objective is to foster an integrated approach to the formulation and practice of key dimensions of sustainable development policy for EU and Asia, to enhance the understanding of European and Asian policy makers of current and possible future policy frameworks and practices aimed at enhancing the EU-Asia partnership.

The call for proposal resulted in the award of one grant contract the Konrad Adenauer Stiftung. A kick-off meeting took place at the beginning of February 2012.

After one year of project implementation, the project was in line with the signed contract. The planned activities had been implemented and only minor changes had been made to the timeline.

The final website was launched on 17 June 2012 as well as the Twitter and the Facebook accounts.

Intentions for the current year 2013:

So far, the project activities are all taking place according to the revised activity plan. The first month was needed for the organizational preparations of the project, but the implementation of the activities started directly after the inception workshop was finished. This does not affect the project since all activities take place as outlined in the activity plan and in accordance with the general timeframe.

The second interim report is expected in June 2013. Policy panels, dialogues, conferences and workshops have already started following the planning.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Step	Date
Financing Decision	December 2010
Publication of call for proposals	December 2010

Step	Date
Signature of the grant agreement with Konrad Adenauer Stiftung	4 th November 2011
Duration of the action under the grant agreement	36 months
Pre-financing payments	30 November 2011, 15 February 2013, June 2013
Payment of the balance	March 2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Action fiche / Commission Decision

- Do similar activities exist, which are already covered by a legal basis?

Not at the time of implementation of the preparatory action (2010).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The ICI+ programme, adopted in December 2011, enlarges the geographical scope of the ICI instrument and constitutes the legal basis for future actions in 2012-2013. In December 2011 the Commission tabled a proposal for a new 'Partnership instrument' for the period 2014-2020 (COM(2011)843) which might also allow to cover actions such as those developed under the preparatory Action if deemed justified.

5.4 Type of activities financed, number and amounts

The action is implemented via a grant agreement with the Konrad Adenauer Stiftung, for a total amount of EUR 1.249.997,00 (EU contribution EUR 999.997,60)

Activities financed include :

- 71 comprehensive reports, case studies, comparative studies, papers and recommendations for policy dialogues
- 7 workshops for researchers and policy makers
- 17 political roundtables, policy dialogues, policy panels, political role-out events and political forum
- 7 papers and book publications
- 8 conferences
- 33 decentralised briefings for policy makers, academics and the wider public
- 6 Brussels EU-Asia policy briefings
- the Establishment of an online platform.

5.5 Method of implementation (tenders, grants, other) and evaluations

Grant following a call for proposals.

5.6 Types of beneficiaries

The direct beneficiaries of the grant are the academic community, such as higher education institutions, think tanks or nongovernmental organisations specialized in research activities on EU-Asia relations and sustainable development policy. They are based in either the European member states or Asian countries.

Stakeholders include policy-makers, administration officials and practitioners in the private sector, civil society institutions as well as local authorities both in Europe and Asia. Their approaches to sustainable development policy for EU and Asia are possible subjects of the studies conducted under activity (1). At the same time, they will benefit from the findings of these studies and targeted at under activity (2) "Dissemination and virtualisation of results". The final beneficiaries of the action will be policy-makers and administration officials in Europe and Asia.

1. Heading

21 02 77 07 : Preparatory action — Regional African CSO Network for Millennium Development Goal 5

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	0,00	p.m.	500 000	-	375 000	0,0 %	-25,0 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	0,00	p.m.	500 000	-	375 000	0,0 %	-25,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted under the 2012 budget.

This preparatory action aims to strengthen CSOs in Burundi for more effective advocacy for sexual and reproductive health and rights and achieving MDG 5 in particular. To this end, the project will allow for regional networking between East African regional MDG 5 related organisations, bringing together existing national platforms of advocacy and service-delivery civil society organisations (CSOs) from Burundi, Kenya, Rwanda, Tanzania, and Uganda.

More specifically, the aim of such regional networking would be to provide a forum for the exchange of ideas, information and experience in the field of maternal, sexual and reproductive health, with the ultimate goal of reducing maternal mortality in those countries most off-track with regard to MDG 5, such as Burundi.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	17/12/2012
Publication of call for proposals	May 2013 (indicative)
Closing date of call for proposals	31 July 2013 (indicative)
Award decision	December 2013 (indicative)
Pre-financing payment	2013
Interim payment	2014
Final payment	2015-2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Decision C(2012)9430 of 17 December 2012 sets out the main elements for the implementation of the Preparatory action.

- Do similar activities exist, which are already covered by a legal basis?

This action can be seen as generally complementing the DCI thematic programme ‘Investing in People’ (IiP), which takes a broad approach to human and social development levels in partner countries in line with the United Nations Millennium Declaration and the Millennium Development Goals (MDGs). Under its ‘Good Health for All’ component, Investing in People addresses four key areas, one of which is sexual and reproductive health and rights (SRHR). A call for proposals aimed at strengthening SRHR CSO networks is planned for 2013.

This action will also complement ongoing EU support to the current UNFPA project on ‘Support to the Global Programme to Enhance Reproductive Health Commodity Security’ (DCI-SANTE/2011/ 261-051).

It is also complementary to CSO initiatives financed under the EU’s Thematic Non-state Actors and Local Authorities Programme. The programme’s 2011-13 Strategic Objective 1 (‘Promoting an inclusive and empowered society in partner countries’) will involve support for ‘multinational and/or multiregional interventions to tackle problems common to more than one region (desertification, migration, etc.) and initiatives to structure NSA and LA networks at regional or international levels’.

At regional level, the action will seek complementarity and explore possible synergies with an ongoing East African Community in Burundi, Kenya, Rwanda, Tanzania and Uganda, ‘Invest in adolescents — Building advocacy capacity in East Africa’ (DCI/2009/170-145). This project (due to end in December 2013) focuses on building up the capacity of youth organisations to effectively for the development and implementation of adolescent and youth sensitive SRHR policies, programmes and budgets. It could provide valuable lessons for, and indeed the foundations of, the new pilot project.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

At country level, the preparatory action will tie in particularly with the EU’s priorities for action in Burundi under the 2014-2020 Joint Programming Exercise for the 11th EDF: ‘14. Health — 3.2. Strengthening of health system capacities and performance; 3.3. Population control and reduction of fertility rate; 3.4. Intensifying efforts to combat HIV/AIDs’

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Local Call for Proposals – Burundi.	To be selected after launch of call for proposals	EUR 2.000.000, of which EUR 100.000 shall be earmarked for an independent evaluation at the end of the project period.

5.5 Method of implementation (tenders, grants, other) and evaluations

Call for proposals – grants - managed by the EU Delegation in Burundi.

5.6 Types of beneficiaries

Not-for-profit civil society organisation (CSO) or CSO platforms.

1. Heading

21 02 77 08: Preparatory action — Water management in developing countries

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 994 360,00	p.m.	1 500 000	-	1 200 000	0,0 %	-20,0 %
Reserve							0,0 %	0,0 %
Total	0,00	1 994 360,00	p.m.	1 500 000	-	1 200 000	0,0 %	-20,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory Action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted on this budget line as a pilot project under the 2007 and 2008 budgets, then as a preparatory action under the 2009 and 2010 budgets.

Objectives followed during the 2011 implementation;

As no additional funding was provided in 2011, the general objective following during the 2011 implementation was to manage and monitor the ongoing contracts as well as to complete the process for the 2010 intervention (so-called 'Strengthening the Institutions for Trans-boundary Water Management in Africa'). In view of unforeseen delays, funds were carried over to the beginning of 2011 and the financing decision adopted on 4 March 2011. The subsequent contract with the Global Water Partnership Organisation (GWPO) was signed on 30 December 2011.

Intentions for the current year 2013 and for 2014

The general objective during the 2013 implementation period was to manage and monitor the on-going contracts. Two out of seven contracts under this preparatory action will still be active in 2014. The continuation of their successful implementation will have to be ensured. Moreover, five contracts might terminate in 2013 and measures will be taken to close the activities properly and evaluate the results of the actions.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

There will not be any additional financial decision and budgetary commitment in 2013 and 2014. According to the most recent forecasts, there could be 7 interim or final payments in 2013, 2 interim or final payments in 2014, and 1 final payment in 2015.

Evaluations of the results of actions terminating in 2013 might be launched in 2013 and 2015.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the pilot project: 2007: Commission Decision C(2007)6459 of 20/12/2007, and 2008 Commission Decision C(2009)2374 of 31/3/2009.

For the preparatory action:

2009: Commission Decisions C(2009)10101 and 10134 of 18/12/2009.

2010: Commission Decision C(2011)1295 of 4/3/2011.

Under the Project Management Component of the actions the coordinator monitors project progress, based on key indicators defined before the project launch for each project component. Information from the monitoring system also feeds the national systems in the countries targeted by the actions.

- Do similar activities exist, which are already covered by a legal basis?

Several initiatives and programs are being carried out which cover all aspects of Integrated Water Resources Management (IWRM) and from which lessons have already been drawn, including: good coordination contributes to bilateral and regional cooperation and sustainable economic development; border region represents an opportunity for regional development and communities are willing to develop integrated projects.

In addition, the EU Research Framework Programmes have funded a number of projects on IWRM in Latin America and in Central Asia. An independent review drew some lessons from 10 years of international water research (<http://ec.europa.eu/research/water-initiative>).

As regards the last decision (2011) on Strengthening of African Institutions for trans-boundary water management in Africa, it is worth mentioning that a total support of about EUR 15 600 000 is given from the EC to some specific River Basin Organisations (RBO's), mainly directed at trans-boundary processes and support for trans-boundary RBO establishment in Africa (Nile, Niger, Orange/Senqu, Lake Chad, Senegal, Volta and Lake Victoria), as well as support (EUR 767 000) to the Pan-African Water Information System (AWIS). All these financial contributions are coming to an end and the activities proposed under this preparatory action are therefore an important factor for collecting experiences and for design of future support to trans-boundary water management by the Commission. Such future support is envisaged in particular in the development of the water-energy-food security nexus with a special focus on trans boundary basins.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

These actions could in future be eligible under the DCI 2014-2020 (Thematic programmes in particular Global Public Goods – Environment and Climate Change part).

5.4 Type of activities financed, number and amounts

The following actions were financed under the pilot project and the preparatory action

Region	Action	Amount (EUR million)	Activities
Africa	PP-AP/2009/220-992- Support to the African Network of Centres of Excellence in Water Sciences and Development (ACE-WATER)	1,5	Supporting the regional networks of Centres of Excellence in the water sector Implementing the second phase of the Joint Learning Programme on SWAP through the regional networks of Centres of Excellence in the water sector Guidelines and policy dissemination at continental, regional and national level Knowledge management and development at regional level in the water sector

Region	Action	Amount (EUR million)	Activities
	PP-AP/2009/226-246- Support to the African Union Commission (AUC) and the African Ministers' Council on Water (AMCOW) on transboundary water resources management	1,5	Analyse the AUC/AMCOW organizational chart, workflow, roles and responsibilities, relationships and communication strategy internally concerning water related issues both at technical and political level; analyse relationships, roles and responsibilities between AUC and AMCOW and between AU/AMCOW and other relevant organisations at continental level in the water sector; Design, propose and negotiate adapted and sustainable solutions toward 1) the strengthening and mainstreaming water related issues inside the AU/AMCOW and 2) supporting the AUC/AMCOW in coordinating at continental level the institutional framework Support the African Union/AMCOW in fostering multi-stakeholder participation, better engaging civil society, academia, local authorities, and others; Strengthen the role of the AU/AMCOW toward addressing transboundary and intergovernmental water related issues;
	PP-AP/2011/276-900- Strengthening the institutions for transboundary water management in Africa	3	Institutional development of ANBO; Support to regional cooperation and integration in sustainable transboundary water resource management; Setting up of Integrated Water Resource Management (IWRM) Plans for RBOs developed with linkages to regional, national development priorities ANBO's effective participation in the in the Programme for Infrastructure Development in Africa (PIDA) Effective and sustainable financing of ANBO and RBO's
Latin America	PP-AP/2008/168-416- Fortalecimiento de la capacidad local para el manejo de los recursos hídricos en cuencas transfronterizas en Nicaragua y Honduras - Departamentos El Paraíso y Nueva Segovia	1,419	Strengthening local governance for water resources management: Basin Governance Agreements (between local, regional and national actors), Basin Sector Plans 2008-2015, Local indicative plans, capacity building plans validated in participatory selected municipalities and Learning mechanism in place across borders to promote "safe water for all" Adoption of sustainability-oriented water resources management Implementation of international commitments to strengthen transboundary coordination and development Adoption of hygiene practices for better health
	PP-AP/2010/251-355- Mejorando la Gobernabilidad de los Recursos Hídricos a través de la Creación de Entidades de Cuencas	0,975	Improvement of the capacity of SINAI (National System for Environmental Information) in producing pertinent information for strategic decision-making on water resources Improvement of the mechanisms for participatory and sustainable management of water on a micro-basin level Diversification and validation of new strategies for environmentally friendly agricultural development Generation of new knowledge, practices and attitudes about water resource management

Region	Action	Amount (EUR million)	Activities
	PP-AP/2010/241-167-RALCEA: Latin American Network of Knowledge Centres in the Water Sector	1,95	Selection of knowledge centres to be members of the network, through an analyse of the institutional set up and a baseline study of continental stakeholders of the water sector Establishment of the network of knowledge centres in the water sector and of its plan of activities, by organising the virtual functioning of the network (in collaboration with the existing AquaKnow platform and in partnership and complementary with SIAGUA) Design of a strategy on capacity building in the water sector to support the policy making level, and development and implementation of the strategy through trainings, seminars and workshops, on the issues identified
Central Asia	PP-AP/2008/170-182-Promoting Integrated Water Resources Management and Fostering Transboundary Dialogue in Central Asia	1,5	Support for the Preparation and / or Implementation of the Transboundary Actions Development of platforms for dialogue Development of information, education and communication activities Strengthened planning, governance and capacity-building: Regional Basin Governance Agreements, River Basin management plans, Monitoring System, Provincial indicative plans, training of District municipalities Documenting lessons learnt and exchange with other zones in Latin America

5.5 Method of implementation (tenders, grants, other) and evaluations

The actions are implemented through the following contractual modalities:

- Administrative arrangements with the Joint Research Centre of the European Commission;
- Joint Management with International Organisations;
- Delegation Agreement with a Member State's Agency.

No evaluations have been concluded to date. The evaluations of the actions will follow the contractual provisions. In addition of midyear and end of year reviews, specific participatory mid-term, final and ex post evaluations could be adopted.

Audits will be carried out in line with the procedures of the international organisation or as specified in the agreement.

Information for the budgetary authority (sent, foreseen) will be provided according to usual procedures for reporting on the pilot projects.

5.6 Types of beneficiaries

The contracts have been signed with the following (types of) beneficiaries:

Contract beneficiary		Actions	Other or final beneficiary
Type	Name		
European Commission's Department	Joint Research Centre	ACE-WATER (Africa)	African Union Commission (AUC); New Partnership for Africa's Development (NEPAD)
		RALCEA (Latin America)	Knowledge Centres
International Organisation	Global Water Partnership Organisation (GWP)	Strengthening the institutions for transboundary water management in Africa	African Network Basin Organisation (14 River and Lake Basin Organisation of Africa and water authorities from 19 African countries)
		UN Office for Project	Fortalecimiento de la Local authorities

Contract beneficiary		Actions	Other or final beneficiary
Type	Name		
	Services (UNOPS)	capacidad local en Nicaragua y Honduras	
		Mejorando la Gobernabilidad de los Recursos Hídricos	Entities managing water resources at a local level
	United Nations Development Programme (UNDP)	Promoting IWRM and Fostering Transboundary Dialogue in Central Asia	
MS Agency	Deutsche Gesellschaft für Technische Zusammenarbeit (GTZ)	Support to the AUC and the AMCOW on transboundary water resources management	African Union Commission (AUC); African Ministers' Council on Water (AMCOW)

1. Heading

21 02 77 10 : Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	1 385 000	-	375 000	0,0 %	-72,9 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	1 385 000	-	375 000	0,0 %	-72,9 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2008 and 2009 budgets.

Objectives followed during the 2011 and 2012 implementation:

The objective of the action is to support the improvement of pharmaceutical research, development, and production capacity in developing countries in order to increase access, especially of poor and least developed countries, to health products of the poverty related, tropical and neglected as well as non-communicable diseases.

For the first year of this preparatory action (2008), a contribution agreement was signed with the World Health Organisation (WHO) in December 2008 for the implementation of a large consultation of stakeholders and a series of studies with the purpose of identifying the main challenges and obstacles of local production of medical products and related transfer of technology to developing countries and provide recommendations and guidance for further action in this field. The closing seminar to present and discuss final reports took place in November 2011. Additional studies on selected priority medical devices and blood and blood products were launched in parallel.

For the second year of the action (2009), further action was identified jointly with WHO and UNCTAD as the first results became available and a contribution agreement was signed with WHO in December 2010 for the second phase of the action. Based on the findings of phase I, this phase II sets the framework for concrete capacity-building measures to build policy, regulatory and technical capacity to promote local production and related transfer of technology with the aim to increase access to medicines, vaccines and diagnostics in developing and least developed countries.

Implementation of the Phase I was closed during 2012 (PP-AP/2008/172129).

Intentions for the current year 2013:

Addendum to the implementation of Phase II (PP-AP/2010/259165) was signed by the EC on 14 March to extend the implementation period until end of 2014 and to update the detailed work plan and budget following the findings of the previous Phase.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

Step	Date
Interim payment under Contribution Agreement PP-AP/2010/259165 (Phase II)	2013
payment under Contribution Agreement PP-AP/2010/259165 (Phase II)	2014

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

2008 appropriations: contribution agreement PP-AP/2008/172129

2009 appropriations: contribution agreement PP-AP/2010/259165

Reports from Phase I are available on WHO's webpage on Public Health, Innovation and Intellectual Property: http://www.who.int/phi/publications/local_production/en/index.html

- Do similar activities exist, which are already covered by a legal basis?

Transfer of technology was financed under the previous thematic programme on poverty-related diseases (Regulation (EC) No 1568/2003). The 7th Research Framework Programme also foresees transfer of technology for neglected diseases.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative proposal is foreseen. The subject/theme could be eligible under new DCI and GPGC programmes and Pan African programme. However it is not priority and is not planned to be covered in the initial indicative programmes of these two instruments.

5.4 **Type of activities financed, number and amounts**

The following actions were financed:

Title / Action	Beneficiary / contractor	EUR (maximum)
PP-AP/2008/172129 (Phase I) and addendum PP-AP/2010/236-101	World Health Organisation (WHO)	2 184 000
PP-AP/2010/259165 (Phase II)	World Health Organisation (WHO)	4 481 000

5.5 **Method of implementation (tenders, grants, other) and evaluations**

Joint management with an international organisation.

5.6 **Types of beneficiaries**

World Health Organisation.

1. Heading

21 02 77 11 : Preparatory action — Research and development on poverty-related, tropical and neglected diseases

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 190 665,00	p.m.	1 270 000	-	300 000	0,0 %	-76,4 %
Reserve							0,0 %	0,0 %
Total	0,00	1 190 665,00	p.m.	1 270 000	-	300 000	0,0 %	-76,4 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory Action

5. Complementary information

5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2008, 2009 and 2010 budgets.

The objective of the action is to support research and development on poverty-related, tropical and neglected diseases in order to increase access, especially for poor and least-developed countries, to public health intervention, effective health services, medicines and vaccines to combat these diseases.

For the first year of this preparatory action (2008), a contribution agreement was signed with the World Health Organisation (WHO) in December 2008 for the implementation of a large consultation of stakeholders with the purpose of identifying the most pressing priorities in R&D into poverty-related, tropical and neglected diseases and provide recommendations for further EC action in this field. The consultation is implemented through the Special Programme for Research and Training in Tropical Diseases (TDR) (Contribution Agreement PP-AP/2008/160163).

For the second year (2009), a new contribution agreement was signed with WHO/TDR to support the establishment of sustainable regional health product R&D innovation networks in order to build capacity for product R&D innovation in Africa, Asia and Latin America and to enhance South-South collaboration in this field (Contribution Agreement PP-AP/2009/220143).

For the third and final year (2010), a new contribution agreement was signed with WHO/TDR to support research work on community-directed interventions, for effectively scaling up the role of communities for the delivery of primary health care within the context of national health systems in Africa (Contribution Agreement PPAP/2010/253959).

Objectives followed during the implementation up to 2012:

No additional funding was provided in 2012, the general objective during the 2013 implementation period was to manage and monitor the 3 on-going contracts:

- PP-AP/2008/160163: Final activities including launch event of the Global Report for Research Priorities on Infectious Diseases of Poverty in April and finalisation of EC-specific report by June 2012.
- PP-AP/2009/220143: Implementation of delayed activities of the African Network of Drugs and Diagnostics Innovation.

- PP-AP/2010/253959: Revision of work plan and implementation of activities accordingly.

Intentions for the current year 2013:

No additional funding is provided in 2013, the general objective during the 2013-2014 implementation period is to manage and monitor the 3 on-going contracts.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

Step	Date
Final payment under Contribution Agreement PP-AP/2008/172129 (Phase I) EUR 218 400	2012
Interim payment under Contribution Agreement PP-AP/2010/259165 (Phase II) EUR 1 384 425	2012
Interim payment under Contribution Agreement PP-AP/2010/259165 (Phase II) EUR 1 384 425	2013
Final payments under Contribution Agreement PP-AP/2009/ 220-143 and PP-AP/2010/ 253-959 EUR 300 000	2014

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Action fiches and contribution agreements (cf. reference in section 5.2).

- Do similar activities exist, which are already covered by a legal basis?

The Commission already implements closely related activities on R&D on neglected infectious diseases under the 6th Framework Programme and this component is reinforced under the 7th FP, through SICA (Specific International Cooperation Actions).

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No legislative proposal is foreseen. The subject/theme could be eligible under new DCI and GPGC programmes and Pan African programme. However it is not priority and is not planned to be covered in the initial indicative programmes of these two instruments.

5.4 Type of activities financed, number and amounts

Contribution agreement with WHO to identify priorities in R&D into poverty-related, tropical and neglected diseases – EUR 2.000.000 (PP-AP/2008/160163).

Contribution agreement with WHO to support Regional Networks for Health Product R&D Innovation in Africa, Asia and Latin America – EUR 5.000.000 (PP-AP/2009/220143).

Contribution agreement with WHO to promote research for improved community access to health interventions in Africa – EUR 3.000.000 (PP-AP/2010/253959).

5.5 Method of implementation (tenders, grants, other) and evaluations

Joint management with an international organisation.

5.6 Types of beneficiaries

World Health Organisation.

1. Heading

21 02 77 13 : Preparatory action — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	1 500 000	1 250 000	-	200 000	-100,0 %	-84,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	1 500 000	1 250 000	-	200 000	-100,0 %	-84,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Des crédits d'engagement ont été votés pour cette action préparatoire dans le cadre des budgets 2012 et 2013. Elle fait suite à un projet pilote (voir 21 02 77 12) ayant bénéficié de crédits d'engagement au titre du budget 2010.

Objectives followed during the 2012 implementation:

Il était prévu qu'une fois la décision du VVS 2012-/PP-AP/024-175 adoptée, la Convention de financement soit signée au courant du dernier trimestre 2012. Ceci allait permettre la contractualisation avec l'Organisation non gouvernementale (ONG) Cemubac avant fin décembre 2012.

Malheureusement, suite à situation politique dans l'Est de la RDC en 2012, le montage du projet et l'adoption de la décision a été reportée à mars 2013. Les crédits correspondants (EUR 2.000.000) ont été reportés en 2013.

Le projet révu au titre des crédits 2012 couvre 7 zones de santé (y inclus Kirotshe) de la partie sud du Nord-Kivu et 5 zones de santé du Sud-Kivu. Cette initiative est le résultat d'une réflexion sur l'exécution du projet VVS 2011 et sur la détérioration de la situation dans l'Est de la RDC. Pour rendre les soins en bénéfice des VVS plus efficaces, il est apparu évident que l'approche devait évoluer vers une spécialisation des formations aux prestataires. Le projet VVS 2012 se focalise ainsi sur des formations dans la prise en charge des cas des VVS (réparation des fistules) et des cas gynécologiques et obstétricaux.

Intentions for the current year 2013:

La décision de EUR 2.000.000 (crédits 2012 reportés) a été adoptée le 14 mars 2013 et une nouvelle allocation de EUR 1.500.000 (crédits 2013) a été attribuée à la RDC et devrait être engagée pour la mi-2013.

Il faudra ensuite:

- obtenir la signature de la convention de financement pour les EUR 2.000.000 (VVS 2012 /PP-AP/024-175).
- Initier un avenant à la convention de financement pour les EUR 2.000.000 (VVS 2012 /PP-AP/024-175).
- Obtenir la décision des EUR 1.500.000.
- Obtenir une subvention unique avec Cemubac pour l'ensemble des 2 projets.

L'action préparatoire au titre du budget 2013 (contribution UE de 1,5 millions d'euros) enrichira ce paquet en apportant, dans une logique de continuation de l'approche du VVS 2012, la formation des prestataires des soins dans la réparation des fistules et la prise en charge chirurgicale des cas gynécologiques et obstétricaux - non prise en compte par le projet OMD, et complétant la zone d'intervention du projet VVS 2012. Ainsi, le nouveau projet vise la partie nord du Nord Kivu et la zone contigüe de Ituri -Province Orientale. Ces zones sont accessibles, situées à proximité des zones d'insécurité, et accusent un risque élevé de violences sexuelles à cause des déplacements de la population.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations (carried over) and 2013 appropriations :

Etape	Date
Signature de la convention de financement VVS 2012(PP-AP/024-175)	Avril 2013
Avenant à la convention de financement VVS 2012 (PP-AP/024-175) intégrant le VVS 2013	Juillet 2013
Signature du contrat de subvention (VVS 2012 et VVS 2013)	Septembre 2013
Préfinancement (VVS 2012 et VVS 2013)	Septembre 2013
Paiement intermédiaire (VVS 2012 et VVS 2013)	Août 2014
Paiement du solde ((VVS 2012 et VVS 2013)):	Mars 2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Fiche d'action Projet d'amélioration des soins de santé aux victimes de violences sexuelles dans l'Est de la République Démocratique du Congo - Formations cliniques des prestataires des soins Référence CRIS : 2012/024-175 VVS.

La fiche d'action 2013 est en préparation et devrait être adoptée mi-2013.

- Do similar activities exist, which are already covered by a legal basis?

Les activités financées par l'action préparatoire sont complémentaires à d'autres actions financées dans le cadre du FED.

1. Dans le cadre d'un projet d'accélération des progrès vers les objectifs 4 et 5 du millénaire pour le développement (OMD) en RDC (FED/2012/23801, contribution UE de 40 millions d'euros, et qui devrait commencer dans le courant du 1er semestre de 2013), les mêmes zones de santé concernées dans les provinces mentionnées bénéficieront d'un paquet d'actions dites de "revitalisation" en se focalisant sur la santé de la mère et l'enfant mais comprenant aussi, entre autres, la prise en charge des victimes de violences sexuelles (VVS). Celle-ci concernera la mise à disposition des médicaments, la formation du personnel des centres de santé dans le diagnostic et le traitement des cas des VVS ainsi que la prise en charge psychosociale des cas.

2. Les programmes justice du 10ème FED (PARJ –FED/2009/21351, contribution UE de 21 millions d'euros et +/- 8 millions d'euros de la Coopération suédoise- et PARJE (–FED/2012/22398, contribution UE de 10 millions d'euros et +/- 6 millions d'euros de la Coopération suédoise ainsi que 2 millions d'euros de la coopération belge) envisagent de leur côté plusieurs activités qui visent l'amélioration du respect des droits de la femme dans les provinces d'intervention. Le PARJE intervient, entre autres, dans les deux Kivu et l'Ituri en Province Orientale, provinces qui bénéficient de l'appui des interventions dans le domaine de la santé. Au-delà de quelques actions ponctuelles, comme le suivi des recommandations de l'étude anthropologique réalisé par REJUSCO en 2010, les deux programmes intègrent les questions liées au genre de manière transversale dans la mise en œuvre des toutes les activités de réforme de la justice (sensibilisation / formation des autorités administratives et judiciaire aux droits de la femme, renforcement de l'accès à la justice etc.).

5. Finalement, référence doit-être faite à l'Initiative pour lutter contre les violences basées sur le genre en RDC qui sera lancée en juin 2013 (FED/2013/024301, contribution UE de 20 millions d'euros). La portée géographique de ce

programme est autre que les interventions dans le domaine de la santé (il sera mis en œuvre dans les provinces de Kinshasa, Bandundu et Maniema) mais il incorpore une perspective de transposer l'approche adoptée dans l'ensemble du territoire de la RDC dans le futur. Le programme vise, entre autres, les aspects sociaux des inégalités de genre -y compris les violences basées sur le genre- et le renforcement des acteurs actifs dans la prévention de ce type de violence ainsi que l'appui aux secteurs sécuritaire (police) et judiciaire pour une meilleure protection des victimes. Dans ce sens, il reste largement complémentaire des interventions dans le domaine de la santé.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Non. Les actions financées au titre du projet pilote et de l'action préparatoire pourraient être éligibles dans le cadre du programme thématique 'biens publics mondiaux' de l'instrument de financement de la coopération au développement (proposition de la Commission COM(2011)840 final du 11 décembre 2011).

5.4 Type of activities financed, number and amounts

Les crédits 2012 reportés et les crédits 2013 (total EUR 3.500.000) feront l'objet d'un projet unique.

Titre du projet: Projet d'amélioration des soins de santé aux VVS dans l'est de la RDC: Formations cliniques des prestataires des soins.

Bénéficiaire: L'organisation non gouvernementale internationale dénommée Cemubac.

Le projet comprendra

- 1) Contrat de subvention : (92% des ressources): EUR 3.235.700
- 2) gestion centralisée directe par l'UE (8%): EUR 264.300

Au niveau du contrat de subvention:

- 70% (EUR 2.400.000) seront destinées aux activités du niveau périphérique: formation des médecins (24), des paramédicaux (200), réhabilitation à minima de 25 hôpitaux, la mise à disposition des matériels et équipements dans 125 formations sanitaires.

-30% EUR (835.700) seront destinés aux activités de soutien.

5.5 Method of implementation (tenders, grants, other) and evaluations

Le présent projet sera mis en œuvre en gestion centralisée directe par la Commission Européenne par l'intermédiaire de la Délégation de l'Union Européenne en RDC, dans le cadre d'une convention de financement conclue avec la RDC. La signature d'une convention de financement avec le gouvernement est la meilleure façon pour assurer l'appropriation par l'administration nationale et la cohérence avec la stratégie nationale de lutte contre les violences liées au genre. Compte tenu de l'urgence et de la nécessité de travailler avec des partenaires connaissant bien la zone d'intervention, possédant en plus une bonne capacité de gestion et capable de s'assurer l'intégration du système mis en place dans le système national de santé, un contrat de subvention sans appel sera signé avec Cemubac.

5.6 Types of beneficiaries

Bénéficiaires directs: 24 hôpitaux et 150 centres de santé, sans oublier 2 niveaux provinciaux

Bénéficiaires finaux: 24 zones de santé prenant en charge une population évaluée à plus ou moins 2.500.000 habitants.

1. Heading

21 03 77 03 : Preparatory action — New Euro-Mediterranean strategy for youth employment promotion

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	p.m.	750 000	-	855 000	0,0 %	14,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	p.m.	750 000	-	855 000	0,0 %	14,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2012 budget.

Objectives followed during the 2011 and 2012 implementation:

The preparatory action's main objective is to promote/enhance the mutual exchange of young professionals between both sides of the Mediterranean. The activities will also cover vocational traineeships.

In order to clarify the objective to be attained a feasibility study is in the process of being launched in order to identify the activities and actions to be carried out.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

2012 appropriations

Step	Date
Adoption of financing decision	4 December 2012
Publication of call for proposals	7 May 2013
Closing date of call for proposals	9 August 2013
Award decision	End September 2013 (approx.)
Pre-financing payment	End November 2013 (approx.)
Interim payment	November 2014 (approx.) / November 2015 (approx.)
Final payment	End 2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Decision including Action Fiche establishes the main elements of Preparatory Action "New Euro Mediterranean Strategy for youth employment promotion".

http://ec.europa.eu/europeaid/documents/aap/2012/af_aap-spe_2012_enpi-s.pdf

- Do similar activities exist, which are already covered by a legal basis?

No. The proposed activities however complement similar activities under the 'Erasmus for Young Entrepreneurs' under the Competitiveness and Innovation Programme implemented within the EU and CIP participating countries (which includes Acceding / candidate countries as well as Albania, Israel and Serbia).

Synergy and co-ordination will be ensured with policy dialogues and other programmes acting on the same geographical region and target groups, namely with the Euro-Mediterranean industrial cooperation process, the regional programme Private sector development in the Southern Mediterranean (which is being prepared), as well as with the regional programme EuroMed Youth IV.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
New Euro Mediterranean Strategy for youth employment promotion	Call for proposals beneficiaries: young entrepreneurs from EU and Neighbourhood south area	1.000.000
	Framework contract: to be launched and signed before 2014	500.000

5.5 Method of implementation (tenders, grants, other) and evaluations

Call for proposals for EUR 1.000.000 for the mobility scheme

Framework contract for EUR 500.000 for the Technical Assistance

5.6 Types of beneficiaries

Young entrepreneurs from EU and Neighbourhood south area.

1. Heading

22 02 77 02 : Preparatory action — Preserving and restoring cultural heritage in conflict areas

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	3 000 000,00	184 873,88	p.m.	p.m.	p.m.	1 089 670	0,0 %	
Reserve							0,0 %	0,0 %
Total	3 000 000,00	184 873,88	p.m.	p.m.	p.m.	1 089 670	0,0 %	

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted for three consecutive years under the 2010, 2011, and 2012 budgets.

The objective was to launch a number of actions aiming to rehabilitate cultural heritage sites affected by war-related actions, involving several ethnic and religious communities. It is intended that the actions to be launched will raise the awareness of cultural diversity in the Western Balkans, build confidence and support to local education and development of restoration knowledge and skills of high cultural quality.

The actions supported under the preparatory action 2010 (grant contracts following a call for proposals) and 2011(direct grant) were selected in 2011 and 2012 and are in implementation phase. Activities planned for 2013 focus on the implementation and completion of rehabilitation projects, with completion and final payments expected in 2014.

The actions to be supported under the preparatory action 2012 (works contracts following call for tenders) are in the selection phase. Once the indicatively 3 cultural heritage have been selected, financing agreements will be concluded with each Beneficiary where the selected site is located (indicative forecast: April – September 2013).

The EU Delegations/EU Office in the Beneficiaries of the selected projects will implement one works contract (1 works contract per project) through the selection of specialised contractors with track record in restoration / preservation / maintenance of historic and cultural monuments and sites.

The evaluation of the programmes financed throughout the five years from 2008 to 2012 will be implemented through a framework contract (estimated budget of EUR 200 000) launched at the end of the execution period of this programme.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2010 appropriations

Step	Date
Adoption of financing decision	06/12/2010
Publication of call for proposals	06/04/2011

Closing date of call for proposals	20/05/2011
Award decision	November 2011
Pre-financing payment	December 2011
Interim payment	2013
Final payment	2014

- 2011 appropriations

Step	Date
Adoption of financing decision	13/12/2011
Award decision (direct grant)	28/08/2012
Pre-financing payment	October 2012
Interim payment	2013
Final payment	2014

- 2012 appropriations

Step	Date
Adoption of financing decision	17/12/2012
Publication of calls for tender (works contracts)	Indicatively 09/2013 - 03/2014
Closing date of calls for tender (works contracts)	2014
Award decisions	Second half 2014
Prefinancing payment	Second half 2014
Interim payment	2015
Final payment	2016
Launch of request for services (evaluation)	2016
Closing date of request for services (evaluation)	2016
Award decision	2016
Prefinancing payment	2016
Interim payment	2016
Final payment	End 2016

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decisions C(2010)8405 of 6 December 2010, C(2011)9111 of 13 December 2011 and C(2012)9305 of 17 December 2012.

For the 2010 call for proposals: Guidelines for grant applicants for the Preparatory actions for preserving and restoring cultural heritage in conflict areas in the Western Balkans for the year 2010 (EuropeAid/131266/C/ACT/MULTI).

- Do similar activities exist, which are already covered by a legal basis?

Such actions are eligible under the Instrument for Pre-accession Assistance (IPA) and may be financed from this instrument, if appropriate.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. The Commission considers that programmes and projects for the restoration of cultural heritage in conflict areas are sufficiently covered by the IPA programme (both under the current legal framework and under the proposed programme for the period 2014-2020 and no additional legal base is required.

5.4 Type of activities financed, number and amounts

2010 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Western Balkan – From historical integration to contemporary active participation	Cultural Heritage without Borders, SWEDEN	293 318,05
Jankovic Castle: historic site, generating sustainable development of the Ravni Kotari region	University of Zagreb, CROATIA	291 295,80
HER.CUL – Valorization of Medieval Tombstone. CULTURAL HERITAGE in the Western Balkans.	Dubrovnik Neretva County Regional Development Agency, CROATIA	299 676,76
Restore our past to build the future. Preparatory actions of participatory and intercultural requalification of civil war-damaged mosques and churches in fYRoM.	Cooperazione Internazionale (CISS), ITALY	295 871
MANUBALK	Centre de Conservation du Livre, FRANCE	299 814,30
Past for the Future	Regional Development Agency for Central BiH Region, Bosnia and Herzegovina	269 505
Balkans' Memory	Institut National de l'Audiovisuel, FRANCE	214 000
Revitalization of Albanian Historic Towns of Gjirokastra and Berat by resolving political conflicts (REVATO)	Gjirokastra Conservation and Development Organization, Albania	286 180

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Preparatory actions 2011	Grant to the Regional Cooperation Council (RCC) to be implemented by the RCC Task Force for Culture and Society (TFCS) - Preparatory actions 2011	500 000

2012 appropriations

For the 2012 appropriations the following actions are underway:

- Works contracts following calls for tenders for the rehabilitation/restoration/preservation of cultural heritage sites in the Western Balkans in order to foster and develop cultural heritage in the region. One works contract per project (indicatively 3 projects). Estimated Budget EUR 2 800 000, and
- One specific contract (service contract) under a framework contract for the Evaluation of the activities financed under the pilot project and the preparatory action from budgets 2008 to 2012. Estimated Budget EUR 200 000.

5.5 Method of implementation (tenders, grants, other) and evaluations

Calls for proposals (Preparatory action 2010).

Grant to the Regional Cooperation Council (RCC) to be implemented by the RCC Task Force for Culture and Society (TFCS) (Preparatory action 2011).

Works contracts resulting from calls for tenders (preparatory action 2012).

Specific (service) contract under framework contract (preparatory action 2012) for the evaluation component.

5.6 Types of beneficiaries

Public authorities at local and regional level, educational institutions, economic operators, non governmental organisations and associations and craftsmen and skilled stakeholders conducting projects in the field of cultural heritage. (Preparatory action 2010).

International organisation - Regional Cooperation Council (RCC) to be implemented by the RCC Task Force for Culture and Society (TFCS). (Preparatory action 2011).

Specialised contractors with track record in restoration / preservation / maintenance of historic and cultural monuments and sites. (Preparatory action 2012).

Contractors specialised in the evaluation field. (Preparatory action 2012).

1. Heading

23 04 77 01 : Preparatory action — European Voluntary Humanitarian Aid Corps

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	C	P	CA	PA	CA	PA	CA	PA
Line	3 000 000,00	2 337 513,46	2 000 000	2 000 000	p.m.	480 000	-100,0 %	-76,0 %
Reserve							0,0 %	0,0 %
Total	3 000 000,00	2 337 513,46	2 000 000	2 000 000	p.m.	480 000	-100,0 %	-76,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - GLOBAL EUROPE

4. Legal basis

Without legal basis – Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2011, 2012 and 2013 budgets.

Objectives followed during the 2011 and 2012 implementation:

The 2011 pilot project actions supported some 80 young European volunteers, who were selected, trained and deployed in third countries, with particular focus on prevention/preparedness, post crises recovery and local capacity building.

The objectives of the 2012 preparatory action was to further test other features of the possible future programme, supporting the Commission in its work to preparing the legislative proposal while building on the stakeholders' demands. The second preparatory action tested additional aspects, such as the Civil Protection volunteers; online volunteering and participation of third country nationals in capacity building activities.

In September 2012, The Commission adopted a legislative proposal for the setting up the EU Aid Volunteers as of 2014. It is built on the experiences of the pilot projects and will allow the Commission to take into account the evaluation of the three years' preparatory action when establishing the implementing rules of the regulation after its adoption, expected for late 2013.

Intentions for the current year 2013:

This is the third and last year of preparatory actions in view of preparing the EU Aid Volunteers' implementation. Lessons learnt of year 1 (2011) and year 2 (2012) have been gathered on a continuous basis notably by organizing several feedback workshops with pilot project implementers. A formal evaluation exercise for the whole pilot project phase will be launched in the second semester of 2013.

The objectives for the preparatory action 2013 are, as set out in decision C(2013) 2 final from 11 January 2013, are to further build knowledge on different dimensions of the EU Aid Volunteers and prepare the ground for implementation of the EU Aid Volunteers to allow for a swift and uninterrupted start of the programme after adoption of proposed Regulation by the European Parliament and the Council. It also aims at selecting pilot projects that will test some of the possible modules of the future EU Aid Volunteers initiative.

5.2 **Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014**

- 2011 appropriations

Step	Date
Adoption of financing decision	23/02/2011
Publication of call for proposals / call for tender	February 2011
Closing date of call for proposals / call for tender	20/04/2011
Award decision	06/06/2011
Pre-financing payment	June/July 2011
Interim payment	N/A
Final payment	2012

- 2012 appropriations

Step	Date
Adoption of financing decision	20/12/2011
Publication of call for proposals / call for tender	January 2012
Closing date of call for proposals / call for tender	05/03/2012
Award decision	07/05/2012
Pre-financing payment	June/July 2012
Interim payment	N/A
Final payment	2013

- 2013 appropriations

Step	Date
Adoption of financing decision	11 January 2013.
Publication of call for proposals / call for tender	January (proposals), February (tender)
Closing date of call for proposals / call for tender	02/04/2013 (proposals), 22/05/2013 (tender)
Award decision	June / July 2013
Pre-financing payment	June/July 2013
Interim payment	N/A
Final payment	2014/2015

5.3 **Documentation and expected results**

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Yes, the Commission is gathering constant feedback from the pilot project coordinators and has organized several meetings in Brussels to gather the lessons learnt of these projects. More general information on the pilot projects can be found on http://ec.europa.eu/echo/eaaidvolunteers/index_en.htm.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

On 19 September 2012, the Commission proposed a Regulation setting up the EU Aid Volunteers (COM(2012) 514 final), which is currently under negotiation under the ordinary legislative procedure (as 2012/0245(COD)). After adoption, the Regulation will serve as the legal framework for the establishment of the EU Aid Volunteers programme.

Its objective is to express the Union's humanitarian values and solidarity with people in need through the promotion of an effective and visible EU Aid Volunteers' initiative. It shall contribute to strengthening the Union's capacity to respond to humanitarian crises and to building the capacity and resilience of vulnerable or disaster-affected communities in third countries. The Union has to ensure adequate humanitarian aid capacity in the face of the increased number and magnitude of humanitarian crises, both natural disasters and man-made crises. Well-prepared volunteers can contribute to the Union's capacity to cope with these additional humanitarian needs.

5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project	Save the Children	425,000
Pilot project	French Red Cross	402,585
Workshops, seminar and studies	Multiple	150,000

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project	VSO	425,000
Pilot project	German Red Cross	562,322
Pilot project	ADICE	350,724
Pilot project	ICCO	410,248
Pilot project	Save the Children	563,522
Pilot project	France Volontaires	563,127
Workshops, seminar and studies	Multiple	125,000

5.5 Method of implementation (tenders, grants, other) and evaluations

Activities foreseen are as grants, following call for proposals, to organisations having experience in humanitarian aid and volunteering. In 2013, a substantial part of the activities will be tendered (work to provide input on standards for volunteer management; a European training programme; and certification mechanisms for sending and hosting organisations).

5.6 Types of beneficiaries

A variety of beneficiaries are involved in the preparatory action. One of the key objectives will be to explore the traditional as well innovative forms of volunteering. This may include examples of networks, partnerships, north-south and south-south collaborations, and involvement of grass roots organisations. The EU dimension of the preparatory action will be of fundamental importance.

Heading 5 - Administration

1. Heading

25 01 77 02 : Preparatory action — Interinstitutional system identifying long-term trends

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 266 408,37	5 198,00	500 000	1 250 000	p.m.	250 000	-100,0 %	-80,0 %
Reserve							0,0 %	0,0 %
Total	1 266 408,37	5 198,00	500 000	1 250 000	p.m.	250 000	-100,0 %	-80,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - ADMINISTRATION

4. Legal basis

Without legal basis - Pilot project

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2012 and 2013 budgets.

Objectives followed during the 2012 implementation:

The overall goal of the preparatory action (PA) is to have in place by the end of 2014 a fully functioning "European Strategy and Policy Analysis' System" (ESPAS), involving all relevant Union institutions. The Commission is responsible for the coherent implementation of the PA under an inter-Institutional governance system in accordance with the rules governing the management of preparatory actions.

The preparatory action continues and develops the pilot project (PP) on the same subject undertaken by the Commission in 2010-2011, which was designed to explore the possibility of establishing "an inter-institutional system identifying long-term trends on major policy issues facing the European Union". The preparatory action reiterates the commitment to establish such an inter-institutional system. For this purpose, the PA is building on work already undertaken, notably a report on global trends and their implications for the Union produced by the European Union Institute for Security Studies during the PP in 2012. It continues the process of developing an effective capacity for the provision of independent, high quality, inter-institutional analysis and advice on key trends confronting policy-makers within the EU system. Such a system will provide regular in-put to the EU institutions to nourish long-term and medium-term strategic thinking. Furthermore, it will include exploring in detail the design of specific mechanisms for promoting consistent and coherent working cooperation between officials in the various institutions devoted to forward policy thinking, reaching out to business, governmental and non-governmental organisations, think tanks, academics and other stakeholders to ensure a broad and comprehensive set of perspectives.

The ESPAS work programme for the years 2012-14 aims at identifying practical means of tracking and analysing, on an inter-institutional basis: i) major long-term trends and prospects affecting the European Union, both at domestic and international levels, leading up to 2030; and ii) the resulting policy challenges and choices which may confront decision-makers in the Union's institutions during the 2014-2019 institutional cycle.

The 2012-2014 work programme is built around three main components:

1) networking, 2) the production of three 'ESPAS Trend Reports' and a final 'ESPAS Report' and 3) outreach activities of various kinds. For example, the organisation of conferences, workshops, seminars, study visits and the development and management of a dedicated ESPAS website.

In 2012, three studies were contracted on key trends and emerging issues at global and European levels, their specific policy implications for the EU and possible ways in which the EU might respond to these. In addition, the ESPAS project is assessing the outlook for relations between the EU and strategic partners such as the United States, China and India. These studies will result in the production of three ESPAS 'Trend Reports' by the end of September 2013. The production of these ESPAS Reports and the final ESPAS Report are being supervised and coordinated by the inter-institutional Task Force which oversees the project as a whole.

The performance of these contracts will be continued in 2013. In drawing up these trend reports, contributions will be encouraged from the relevant strategy, 'foresight' and policy departments of the EU institutions, supplemented by advice sought from Member State policy planners, relevant think tanks, research centres, academia and foresight organisations where work on trends is on-going on the United States and other partner countries.

The ESPAS Preparatory Action will submit a final report - building on the outcome of the Trends Reports - to the EU institutions in spring/summer 2014 on the specific challenges and policy options that may arise during the period 2014-19 ('Institutional Report').

At the time of writing, three inter-institutional working groups are overseeing the external contractors and their work on the trend reports. Since the beginning of the contracts, each working group has met several times with the contractors to discuss their proposals and to advise them on how to proceed. Workshops and seminars have been organised to ensure new perspectives and insights are brought to bear in this process in order to ensure that the reports break new ground and offer original thinking. Final interim reports are expected to be produced in each of the three key areas of interest by mid-May 2013 alongside which three interim seminars are being organised to ensure effective input by the staff of the participating EU institutions on the inter-institutional working groups. In addition, a seminar will be held in the coming months looking at cross-cutting issues, in particular science and technology and their likely impact on current trends.

A major conference on global trends, attended by around 200 officials and outside experts at EU, national and international levels took place in Brussels on 18-19 February 2013. The conference: "Developing Strategic Thinking in the European Union: Global Trends 2030" focused on the possible development of a "Global Trends Community" and considered in depth the themes of the three trend reports namely the Economy, Society and International Governance and Power. The event was addressed by the President of the European Commission.

Intentions for the current year 2013:

The related work programme and the related financing decision were adopted on 25 March 2013 by the Commission.

In addition to the on-going preparation of the three external reports contracted in 2012, planned activities during 2013 will include:

- a) Initiatives to promote the exchange of analysis and expertise with organisations in other jurisdictions engaged in similar work on long-term trends.
- b) The preparation of a major ESPAS conference to be held in the spring of 2014 presenting the final ESPAS report on future trends, challenges and choices and the organisation of two events (seminars) with outside experts at national and international level and EU institution staff.
- c) The completion and maintenance of the ESPAS Website as a global repository for all relevant information on global trends which will facilitate access on the work of ESPAS to a wide audience. The website will also link to other working websites on long-term trends across the globe, as well as setting up a network of experts to support the system.

All specific and direct contracts are expected to have been signed by end 2013.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step (and estimates)	Date	Commitment (in EUR)	Payment (in EUR) *estimate
Adoption of financing decision / work programme 2012 C(2012)3319.	25/05/2012	-	-

Step (and estimates)	Date	Commitment (in EUR)	Payment (in EUR) *estimate
Publication of the three open calls for tender (3 trend reports) in the Official Journal.	29/06/2012	-	-
Closing date for the three calls for tender (3 trend reports).	27/08/2012		
Transfer of payment appropriations to the pilot project budget line 25 01 09 for outstanding payment.	July 2012	-	194.551
Award decision for the three trend reports.	November 2012	-	-
Signature of contract for 1 external expert (following a call for expression of interest).		15.000	-
Signature of contract for the ESPAS Website (use of Framework contract) – expiry in August 2013.		51.494	-
Organisation of the ESPAS Conference.		180.000	-
Contract signature for the three trend reports.	December 2012	1.019.913	-
First payment for the ESPAS Website.	December 2012	-	5.198

- 2013 appropriations

Step	Date	Commitment (in EUR) *estimate	Payment (in EUR) *estimate
Total payments related to the ESPAS Conference 2013.	March-April 2013	-	*140.000
Adoption of financing decision/ work programme 2013 C(2013)1718	25/03/2013	-	-
Publication of the call for expression of interest for external experts in the OJS 68 open for a period of three years.	06/04/2013	-	-
Contract and corresponding payments external experts contracted in 2013 following the call for expression of interest.	2013	*60.000	*60.000
Contracts and payments for Seminars organised in 2013.	2013	*40.000	*30.000
Final payment for external expert contracted in 2012.	2 nd quarter 2013	-	*15.000
Interim payments for the three trend reports contracted in 2012.	Summer 2013	-	305.974
Contract for the ESPAS Website (using framework contract).	2 nd semester 2013	*80.000	*40.000
Monthly payments for the ESPAS Website contracted in 2012 and 2013.	2013	-	*81.098
Final payments for the three trend reports contracted in 2012.	Nov.-Dec. 2013	-	713.939
Contracts for the ESPAS conference 2014.		*200.000	-

The following payments are foreseen in 2014:

Step	Date	Commitment (in EUR)	Payment (in EUR) * estimate
Outstanding <i>payments</i> of contracts signed in 2013 (for conference and seminars).	1 st semester 2014	-	*210.000
Outstanding <i>monthly payments</i> for the ESPAS Website (contracted in 2013).	1 st semester 2014	-	*40.000

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

Available documentation on the implementation of the preparatory action:

Financing Decision/Work programme 2012: C(2012)3319 of 25/05/2012

Financing Decision/Work programme 2013: C(2013)1718 of 25/03/2013

ESPAS Website: <http://europa.eu/espas/>

ESPAS-related papers on the BEPA Website: http://europa.eu/espas/papers/index_en.htm

including the Report "Citizens in an Interconnected and Polycentric World: Global Trends 2030" (EUISS, March 2012): http://europa.eu/espas/pdf/espas_report_ii_01_en.pdf

ESPAS Conference on 18-19 February 2013:

On the ESPAS Website:

http://europa.eu/espas/highlights/dev-strategic_en.htm (incl. Programme, list of speakers, list of participants)

http://europa.eu/espas/highlights/global-trends-2030-conference_en.htm

Video recordings of the conference:

<http://webcast.ec.europa.eu/eutv/portal/archive.html?viewConference=18551&catId=18466>

including speech by President Barroso at the ESPAS Conference (18 February 2013); speech by James Elles MEP, Acting Chair, ESPAS Task Force (18 February 2013); speech by Jean-Claude Thébaud, Director General, BEPA, European Commission (19 February 2013).

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

After the end of the preparatory action, the ESPAS system should be maintained and developed between the current participating institutions and bodies. The modalities on how to ensure the necessary stability and reliability for the system to become genuinely permanent are currently under discussion by the Inter-institutional Task Force.

5.4 Type of activities financed, number and amounts

2012 appropriations

Title / Action	contractor	EUR
Visitors' programme.	Natural person	15.000,00
Trend report – "Economy".	Centre for European Policy Studies (in Consortium with Centre d'Information et de Recherche sur l'Economie Mondiale, Seureco Erasme, Istituto di Studi per l'Integrazione dei Sistemi) Brussels (Belgium); Economic operator	345.310,00
Trend report – "Society".	Rand Europe Community Interest, Cambridge (England); Economic operator	348.882,00
Trend report – "Governance and Powers".	Fundación par las relaciones Internaciones y el Diálogo Exterior (in consortium with The Royal Institute of	325.721,67

Title / Action	contractor	EUR
	International Affairs - Chatham House), Madrid (Spain); Economic operator	
ESPAS Annual Conference.	Various economic operators	174.945,23
ESPAS Website.	ESN - (framework contract) – Brussels (Belgium) Economic operator	51.494,00

5.5 Method of implementation (tenders, grants, other) and evaluations

The actions foreseen in the Preparatory Action work programme 2013 will be implemented through procurement contracts.

5.6 Types of beneficiaries

Mainly economic operators as shown in 5.4.

1. Heading

26 03 77 01 : Preparatory action — Erasmus public administration programme

2. Figures

(euro)

	2012 Outturn		2013 Budget		2014 DB		Var(2014/2013)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	261 510,24	600 000	600 000	p.m.	300 000	-100,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	600 000,00	261 510,24	600 000	600 000	p.m.	300 000	-100,0 %	-50,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - ADMINISTRATION

4. Legal basis

Without legal basis – Preparatory Action

5. Complementary information

5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2010, 2012 and 2013 budgets.

Objectives followed during the 2010 and 2012 implementation:

The objectives of this preparatory action remain unchanged since the outset and are as follows:

- strengthen knowledge and understanding of the EU institutions and decision-making procedures and policies among staff working on EU affairs in Member State administrations;
- foster administrative cooperation between Member States and the EU institutions and thereby contribute to a richer mutual understanding;
- give participants the opportunity to establish contacts and set up networks both among themselves and with officials of the EU institutions, which will be useful to all sides in their professional lives;
- promote mutual understanding among Member State administrations themselves.

Intentions for the current year 2013:

In 2013, it is foreseen to organise 3 two-week sessions of this programme.

5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2014

- 2012 appropriations

Step	Date
Adoption of financing decision	03/04/2012
Please see description of procedure under point 5.5 below. Insofar as services are required (hotel costs, travel expenses, ancillary expenditure) existing Commission contracts are used.	

- 2013 appropriations

Step	Date
Adoption of financing decision	Foreseen for end June 2013
Please see description of procedure under point 5.5 below. Insofar as services are required (hotel costs, travel expenses, ancillary expenditure) existing Commission contracts are used.	

Payment appropriations are requested under the 2014 Draft Budget to cover existing legal obligations.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project/preparatory action?

For 2012 appropriations: Commission Financing Decision C(2012) 2161 of 3 April 2012.

For 2013 appropriations: the adoption of the financing decision is foreseen for end June 2013.

- Do similar activities exist, which are already covered by a legal basis?

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. It has not been possible so far to identify a legal basis in order to pursue this action.

5.4 Type of activities financed, number and amounts

Two-week long training programmes for young civil servants working on EU affairs in Member State administrations that should help them learn about EU decision-making procedures and thereby reinforce links between the institutions and national administrations. A maximum of 65 participants are selected for each session, in accordance with an informal quota system per Member State with the possibility of allocating some additional places to those Member States who are preparing for the rotating Presidency in the near future.

The first two sessions of 2013 are covered by the appropriations in the 2012 budget and the corresponding financing decision of 2012. The last session of 2013 will be covered by a new financing decision that is currently underway as will three further sessions in 2014.

5.5 Method of implementation (tenders, grants, other) and evaluations

Calls for applications are sent to the Member States via their Permanent Representations. The Permanent Representations submit, within the specified deadlines, lists of candidates meeting the criteria set out in the Commission decision. The eligibility of applications is examined by the European School of Administration who decides which candidates to admit to each session, taking into account any order of priority that may have been indicated as well as the need for a geographical and gender balance.

Regarding evaluation, the European School of Administration closely monitors participants' feedback through satisfaction surveys which are complemented by a survey addressed to departments that received participants under the "job shadowing" part of the programmes.

5.6 Types of beneficiaries

The beneficiaries are young civil servants dealing with EU questions in Member State administrations at national, regional and local level.

Heading 5 — Administration

1. Heading

25 01 10 : Preparatory action — Interinstitutional system identifying long-term trends

2. Figures

(euro)

	2011 Outturn	2012 Budget		2013 DB		Var(2013/2012)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - ADMINISTRATION

4. Legal basis

Without legal basis - Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted for a first year under the 2012 budget.

The overall goal of the preparatory action (PA) will be to have in place by the end of 2014 a fully-functioning 'European Strategy and Policy Analysis' System' (ESPAS), involving all relevant Union institutions. The Commission will be responsible for the coherent implementation of the preparatory action under an interinstitutional governance system in accordance with the rules governing the management of Preparatory Actions.

The PA will continue and develop the Pilot Project (PP) on the same subject undertaken by the Commission in 2010-2011 (Budget line 25 01 09), which was designed to explore the possibility of establishing 'an interinstitutional system identifying long-term trends on major policy issues facing the European Union'. The PA reiterates the commitment to establish such an inter-institutional system. For this purpose, the PA will build on work already undertaken, notably a report on global trends and their implications for the Union, during the Pilot Project. It will continue the process of developing an effective capacity for the provision of independent, high quality, inter-institutional analysis and advice on key trends confronting policy-makers within the EU system. Such a system will provide regular in-put to the EU institutions to nourish long-term and medium-term strategic thinking. Furthermore, it will include exploring in detail the design of specific mechanisms for promoting consistent and coherent working cooperation between officials in the various institutions devoted to forward policy thinking, reaching out to academics and other stakeholders to provide a broad perspective.

5.2 Timetable for the main steps (from the financing decision to payment) and forecasts for 2013

Objectives followed during the 2011 implementation

The ESPAS Preparatory Actions's work programme for the years 2012-14 will aim at identifying practical means of tracking and analysing, on an interinstitutional basis: i) major long-term trends and prospects affecting the European Union, both at domestic and international levels, over the coming decades; and ii) the resulting policy challenges and choices which may confront decision-makers in the Union's institutions during the 2014-2019 institutional cycle.

The 2012-14 work programme will be built around three main components:

1) networking, 2) the production of 'ESPAS Reports', and 3) outreach activities of various kinds (i.e. organisations of events, study visits, management of dedicated website

In addition to the above, 4) an (ex post) evaluation of the Preparatory Action will be carried out.

The actions supported will be implemented through the use of direct or framework contracts.

As for the preceding PP, all the activities and outputs of the PA will be supervised by an inter-institutional Task Force which will include representatives of the Commission, Parliament, Council and EEAS.

Intentions for the current year 2012:

The finalisation of the work programme and the adoption of the related financing decision is expected by mid-May.

Most of procurement procedures will start on the 2nd quarter of 2012. All specific and direct contracts are expected to have been signed by end 2012.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project / preparatory action?

The finalisation of the work programme and the adoption of the related financing decision is expected by mid-May.

- Do similar activities exist, which are already covered by a legal basis?

- At this stage, is it envisaged to follow up this project / action with a legislative proposal?

Subject to outcome of ex-post evaluation of the Preparatory Action.

5.4 Type of activities financed, number and amounts

The ESPAS Preparatory Action's work programme will be built around three main components: i) networking, ii) the production of 'ESPAS Reports', and iii) outreach activities of various kinds. In addition, iv) an (ex post) evaluation of the Preparatory Action will be carried out.

	Indicative number and type of contracts envisaged	Amount in EUR
ESPAS Reports	Contracts for 3 "Trend-reports" including related seminars (total).	1.050.000
	Up to 4 external experts (total)	120.000
Outreach activities	1 contract for the organisation of the annual conference on global trends	150.000
	Outreach activities to promote exchange of analysis and expertise with organisations in other jurisdictions engaged in similar work on long-term trends. This would include study visits (mission) and seminars	150.000
	1 contract for ESPAS Website	80.000
Ex-post evaluation	1 contract	100.000

5.5 Method of implementation (tenders, grants, other) and evaluations

The Preparatory Action will also be subject to (ex post) evaluation in order to provide the Budgetary Authority with input for later decision-making as regards the future of the initiative. The contract for evaluation is expected to have been signed by end 2012.

1. Heading

26 03 03 : Preparatory action — Erasmus public administration programme

2. Figures

(euro)

	2011 Outturn		2012 Budget		2013 DB		Var(2013/2012)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	803 695,57	600 000	300 000	p.m.	300 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	803 695,57	600 000	300 000	p.m.	300 000	-100,0 %	0,0 %

3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - ADMINISTRATION

4. Legal basis

Without legal basis - Preparatory action

5. Complementary information

5.1 Objectives

Commitment appropriations for this Preparatory Action were voted under the 2010 and 2012 budgets.

The objectives of this preparatory action are as follows:

- strengthen knowledge and understanding of the EU institutions and decision-making procedures and policies;
- foster administrative cooperation between Member States and the EU institutions and thereby contribute to a richer mutual understanding;
- give participants the opportunity to establish contacts and set up networks both among themselves and with officials of the EU institutions, which will be useful to all sides in their professional lives;
- promote mutual understanding among Member State administrations themselves.

5.2 Timetable for the main steps (from the financing decision to payment) and forecasts for 2013

Intentions for the current year 2012:

The 2012 Commission financing decision foresees 4 training sessions. The first session will take place in June 2012 and the last in March 2013. The format of those sessions will remain the same as it is highly appreciated by the participants.

5.3 Documentation and expected results

- Is there any documentation setting out the main elements of this pilot project / preparatory action?

Commission Financing Decision C(2012) 2161 of 3 April 2012.

- Do similar activities exist, which are already covered by a legal basis?

No.

- At this stage, is it envisaged to follow up this project / action with a legislative proposal?

No.

5.4 Type of activities financed, number and amounts

Four two-week long training programmes for young civil servants to help them learn about EU decision-making procedures and thereby reinforce links between the institutions and national administrations. 65 participants will be selected for each session, in accordance with an informal quota system per Member State with the possibility of allocating some additional places to those Member States who are preparing for the rotating Presidency in the near future.

5.5 *Types of beneficiaries*

The beneficiaries are young civil servants dealing with EU questions in Member State administrations at national, regional and local level.