# FORM 3 - DECLARATION OF HONOUR FOR BENEFICIARIES (DoH) (GRANTS)

*(To be filled out by the applicant and signed by its legal representative.*

** *Please do not forget that the granting authority must be informed of any changes that happen later on during the grant implementation and may impact the grant (or its award).)*

I, the undersigned:

 for natural persons: in my own name

or

 for legal persons[[1]](#footnote-1): representing the following entity:

[**insert full official name**]

[insert full official address]

[insert VAT registration number]

**hereby confirm**

that (subject to the additional declarations below):

1 — The **information** provided for action [**insert project number**] **—** [**acronym**] is **correct** and **complete**.

2 — The information concerning the legal status for me/my organisation is correct and complete.

3 — I/my organisation commit to comply[[2]](#footnote-2) with the **eligibility** criteria and all other conditions set out in the call conditions — for the entire duration of the action.

4 — I/my organisation:

* are committed to participate in the action
* have stable and sufficient sources of funding to maintain the activities throughout the action and to provide any counterpart funding necessary
* have or will have the necessary resources needed to implement the action
* acknowledge to be fully responsible for my affiliated entities which participate in the action
* for research actions:are committed to comply with the highest standards of ethical principles and research integrity and confirm that the work is free of plagiarism
* for actions involving EU classified information (EUCI): acknowledge that any sensitive information or material that qualifies as EU classified information under Commission Decision [2015/444](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:JOL_2015_072_R_0011&qid=1427204240846&from=EN) must be handled in accordance with specific rules and follow the instructions given by the EU
* for coordinators of multi-beneficiary actions: are committed to act as the coordinator for this action.

5 — For applicants from non-EU countries: I/my organisation:

* undertake to comply with the obligations under the agreement and to:
* respect generalprinciples (including fundamental rights, values and ethical principles, environmental and labour standards, rules on classified information, intellectual property rights, visibility of funding and protection of personal data)
* for the submission of financial certificates under the agreement: use qualified external auditors which are independent and comply with comparable standards as those set out in EU Directive 2006/43/EC
* for controls under the agreement: allow for checks, reviews, audits and investigations (including on-the-spot checks, visits and inspections) by the granting authority, the European Anti-Fraud Office (OLAF), the European Prosecutor’s Office (EPPO) and the European Court of Auditors (ECA) and any persons mandated by them
* and confirm that:
* we can be subject to the jurisdiction of the Belgian courts.

6 — For applicants which are international organisations: I/my organisation:

* undertake to comply with the obligations under the agreement and to:
* respect generalprinciples (including fundamental rights, values and ethical principles, environmental and labour standards, rules on classified information, intellectual property rights, visibility of funding and protection of personal data)
* for the submission of certificates under the agreement: use either independent public officers or external auditors which comply with comparable standards as those set out in EU Directive 2006/43/EC
* for controls under the agreement: allow for checks, reviews, audits and investigations (including on-the-spot checks, visits and inspections) by the granting authority, the European Anti-Fraud Office (OLAF), the European Prosecutor’s Office (EPPO) and the European Court of Auditors (ECA) and any persons mandated by them
* acknowledge that nothing in the agreement will be interpreted as a waiver of the organisation’s privileges or immunities, as accorded by its constituent documents or international law
* acknowledge that special rules apply concerning applicable law and dispute settlement
* acknowledge that if the organisation has concluded a framework agreement with the EU, it may rely on the provisions set out in that framework agreement, provided that they do not call into question the decision awarding the agreement or breach the principle of equal treatment of applicants or beneficiaries.

7 — I/my organisation:

* are NOT subject to an **administrative sanction** (i.e. exclusion or financial penalty decision)[[3]](#footnote-3)

I/my organisation (or persons with unlimited liability for debts):

* are NOT in one of the following **exclusion situations[[4]](#footnote-4)**:
	+ - * **bankrupt**, being wound up, having the affairs administered by the courts, entered into an arrangement with creditors, suspended business activities or subject to any other similar proceedings or procedures
		- in breach of **social security** or **tax** obligations

I/my organisation (or persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the action):

* are NOT in one of the following **exclusion situations**[[5]](#footnote-5):
	+ - guilty of grave professional **misconduct**[[6]](#footnote-6)
		- committed fraud, corruption, links to a **criminal** organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking
	+ shown significant deficiencies in complying with main obligations under an **EU** procurement **contract**, grant agreement, prize, expert contract, or similar
	+ guilty of **irregularities** within the meaning of Article 1(2) of Regulation No 2988/95
	+ created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin (including creation of another entity with this purpose).

8 — I/my organisation are NOT subject to a **conflict of interest** in connection with this grant and will notify — without delay — any situation which could give rise to a conflict of interests.

9 — I/my organisation have NOT and will NOT, neither directly nor indirectly, grant, seek, obtain or accept any advantage in connection with this grant that would constitute an illegal practice or involve **corruption**.

10 — I/my organisation have **not received** any **other EU grant** for this action and will give notice of any future EU grants related to this actionAND of any EU operating grant(s)[[7]](#footnote-7) given to my organisation.

11 — I/my organisation are aware that **false declarations** may lead to rejection, suspension, termination or reduction of the grant and to administrative sanctions (i.e. financial penalties and/or exclusion from future EU funding, such as grants, tenders, prizes, contribution agreements, expert contracts, etc.).

**and acknowledge**

that:

1 — The grant will be signed and managed electronically, through the functional mailbox ECFIN-BCS-Statements@ec.europa.eu (for financial matters) and through the functional mailbox ECFIN-BCS-MAIL@ec.europa.eu (for operational matters).

2 — Personal data submitted or otherwise collected by the EU will be subject to the [Funding & Tenders Portal Privacy Statement](https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/legalnotice)[[8]](#footnote-8).

3 — Payments under the grant are done at consortium-level, through the coordinator, and will be automatically lowered if one of the consortium members has outstanding debts towards the EU (granting authority or other EU bodies). Such debts will be offset in accordance with the conditions set out in the grant agreement.

SIGNATURE

For the applicant

[function/forename/surname]

[electronic signature]

Done in [English] on [electronic time stamp]

# DECLARATION OF HONOUR FOR AFFILIATED ENTITIES (DoH) (GRANTS)

*(To be filled out by the affiliated entity, printed on their own letterhead, and signed by the legal representative (*

* Please do not forget that the granting authority must be informed of any changes that happen later on during the grant implementation and may impact the grant (or its award).)*

I, the undersigned, representing the following entity:

[**insert full official name**]

[insert full official address]

[insert VAT registration number]

**linked to** [insert name of beneficiary]

**hereby confirm**

that (subject to the additional declarations below):

1 — The **information** provided for action [**insert project number**] **—** [**acronym**] is **correct** and **complete**.

2 — The information concerning the legal status for my organisation is correct and complete.

3 — My organisation commits to comply[[9]](#footnote-9) with the **eligibility** criteria and all other conditions set out in the call conditions — for the entire duration of the action.

4 — My organisation:

* is committed to participate in the action
* has stable and sufficient sources of funding to maintain the activities throughout the action and to provide any counterpart funding necessary
* has or will have the necessary resources needed to implement the action
* for research actions:is committed to comply with the highest standards of ethical principles and research integrity and confirm that the work is free of plagiarism
* for actions involving EU classified information (EUCI): acknowledge that any sensitive information or material that qualifies as EU classified information under Commission Decision [2015/444](http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:JOL_2015_072_R_0011&qid=1427204240846&from=EN)[[10]](#footnote-10) must be handled in accordance with specific rules and, if needed, follow specific instructions given by the EU

5 — For entities from non-EU countries: My organisation:

* undertakes to comply with the obligations under the agreement and to:
* respect generalprinciples (including fundamental rights, values and ethical principles, environmental and labour standards, rules on classified information, intellectual property rights, visibility of funding and protection of personal data)
* for the submission of financial certificates under the agreement: use qualified external auditors which are independent and comply with comparable standards as those set out in EU Directive 2006/43/EC
* for controls under the agreement: allow for checks, reviews, audits and investigations (including on-the-spot checks, visits and inspections) by the granting authority, the European Anti-Fraud Office (OLAF), the European Prosecutor’s Office (EPPO) and the European Court of Auditors (ECA) and any persons mandated by them
* jurisdiction clause: not applicable.

6 — For entities which are international organisations: I/my organisation:

* undertake to comply with the obligations under the agreement and to:
* respect generalprinciples (including fundamental rights, values and ethical principles, environmental and labour standards, rules on classified information, intellectual property rights, visibility of funding and protection of personal data)
* for the submission of certificates under the agreement: use either independent public officers or external auditors which comply with comparable standards as those set out in EU Directive 2006/43/EC
* for controls under the agreement: allow for checks, reviews, audits and investigations (including on-the-spot checks, visits and inspections) by the granting authority, the European Anti-Fraud Office (OLAF), the European Prosecutor’s Office (EPPO) and the European Court of Auditors (ECA) and any persons mandated by them
* acknowledge that nothing in the agreement will be interpreted as a waiver of the organisation’s privileges or immunities, as accorded by its constituent documents or international law
* special rules concerning applicable law and dispute settlement: not applicable
* acknowledge that if the organisation has concluded a framework agreement with the EU, it may rely on the provisions set out in that framework agreement, provided that they do not call into question the decision awarding the agreement or breach the principle of equal treatment of applicants or beneficiaries.

7 — For entities which are subject to Articles 136 and 137 of the EU Financial Regulation: My organisation:

* is NOT subject to an **administrative sanction** (i.e. exclusion or financial penalty decision)[[11]](#footnote-11)

My organisation (or persons with unlimited liability for debts):

* are NOT in one of the following **exclusion situations**[[12]](#footnote-12):
	+ - * **bankrupt**, being wound up, having the affairs administered by the courts, entered into an arrangement with creditors, suspended business activities or subject to any other similar proceedings or procedures
		- in breach of **social security** or **tax** obligations

My organisation (or persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the action):

* is NOT in one of the following **exclusion situations**[[13]](#footnote-13):
	+ - guilty of grave professional **misconduct**[[14]](#footnote-14)
		- committed fraud, corruption, links to a **criminal** organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking
	+ shown significant deficiencies in complying with main obligations under an **EU** procurement **contract**, grant agreement, prize, expert contract, or similar
		- guilty of **irregularities** within the meaning of Article 1(2) of Regulation No 2988/95
		- created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin (including creation of another entity with this purpose).

8 — My organisation is NOT subject to a **conflict of interest** in connection with this grant and will notify — without delay — any situation which could give rise to a conflict of interests.

9 — My organisation has NOT and will NOT, neither directly nor indirectly, grant, seek, obtain or accept any advantage in connection with this grant that would constitute an illegal practice or involve **corruption**.

10 — My organisation has **not received** any **other EU grant** for this action and will give notice of any future EU grants related to this actionAND of any EU operating grant(s)[[15]](#footnote-15) given to my organisation.

11 — My organisation is aware that **false declarations** may lead to rejection, suspension, termination or reduction of the grant and to administrative sanctions (i.e. financial penalties and/or exclusion from future EU funding, such as grants, tenders, prizes, contribution agreements, expert contracts, etc).

**and acknowledge**

that:

1 — The grant will be signed and managed electronically, through the functional mailbox ECFIN-BCS-Statements@ec.europa.eu (for financial matters) and through the functional mailbox ECFIN-BCS-MAIL@ec.europa.eu (for operational matters).

2 — Access and use of the electronic exchange system is subject to the [Funding & Tenders Portal Terms & Conditions](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/ftp/tc_en.pdf)[[16]](#footnote-16).

3 — Personal data submitted or otherwise collected by the EU will be subject to the [Funding & Tenders Portal Privacy Statement](https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/legalnotice)[[17]](#footnote-17).

4 — Payments under the grant are done at consortium-level, through the coordinator, and will be automatically lowered if one of the consortium members has outstanding debts towards the EU (granting authority or other EU bodies). Such debts will be offset in accordance with the conditions set out in the grant agreement.

SIGNATURE

For the affiliated entity

[forename/surname/function]

[signature]

[date] [stamp]

1. This includes ‘entities without legal personality’ under Article 197(2) of Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (‘[EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012)’) (OJ L 193, 30.7.2018, p. 1). [↑](#footnote-ref-1)
2. ‘Commit to comply’ means complying now and for the duration of the grant. [↑](#footnote-ref-2)
3. See Article 136 EU Financial Regulation. [↑](#footnote-ref-3)
4. See Articles 136 and 141 [[EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012)](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32018R1046&qid=1535027117240&from=EN). [↑](#footnote-ref-4)
5. See Articles 136 and 141 [[EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012)](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32018R1046&qid=1535027117240&from=EN). [↑](#footnote-ref-5)
6. Professional misconduct includes: violation of ethical standards of the profession; wrongful conduct with impact on professional credibility; breach of generally accepted professional ethical standards and EU values; false declarations/misrepresentation of information; participation in a cartel or other agreement distorting competition; violation of IPR; attempting to influence decision-making processes or obtain confidential information from public authorities to gain an advantage. [↑](#footnote-ref-6)
7. See Article 180 [EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012). [↑](#footnote-ref-7)
8. Available at <https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/legalnotice>. [↑](#footnote-ref-8)
9. ‘Commit to comply’ means complying now and for the duration of the grant. [↑](#footnote-ref-9)
10. See Commission Decision 2015/544/EU, Euratom of 13 March 2015 on the security rules for protecting EU classified information (OJ L 72, 17.3.2015, p. 53). Available at <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:JOL_2015_072_R_0011&qid=1427204240846&from=EN> [↑](#footnote-ref-10)
11. See Article 136 of Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (‘[EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012)’) (OJ L 193, 30.7.2018, p. 1). [↑](#footnote-ref-11)
12. See Articles 136 and 141 [EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012). [↑](#footnote-ref-12)
13. See Articles 136 and 141 [EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012). [↑](#footnote-ref-13)
14. Professional misconduct includes: violation of ethical standards of the profession; wrongful conduct with impact on professional credibility; breach of generally accepted professional ethical standards and EU values; false declarations/misrepresentation of information; participation in a cartel or other agreement distorting competition; violation of IPR; attempting to influence decision-making processes or obtain confidential information from public authorities to gain an advantage. [↑](#footnote-ref-14)
15. See Article 180 [EU Financial Regulation](https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R1046&qid=1535046024012). [↑](#footnote-ref-15)
16. Available at<https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/ftp/tc_en.pdf>. [↑](#footnote-ref-16)
17. Available at <https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/legalnotice>. [↑](#footnote-ref-17)