

ANNEX n. 3

Statistical data about the activity on AML-CFT performed by the Italian public authorities and about the results achieved

The activities of the Italian AML authorities have increased overall over the last three years (please, consider the peculiarity of the year 2020, where on-site visits and inspections were affected by the lockdown and other restrictive measures taken in Italy to tackle Covid-19).

The tables below provide supporting data for Banca d'Italia, IVASS, CONSOB, UIF, Guardia di Finanza, D.I.A and DNA in terms of volumes of activity and results achieved in the contrast of ML-FT.

Banca d'Italia

On-site inspections carried out by Banca d'Italia			
	2020	2021	2022
Number of AML/CFT targeted inspections	10	17	12
Number of wide-spectrum inspections	21	64	57
TOTAL	31	81	69

Enforcement measures by Banca d'Italia			
	2020	2021	2022
Number of letters of intervention/request of information	162	118	166
Number of operational stops	1	-	-

Sanctions imposed by Banca d'Italia			
	2020	2021	2022
Number of sanctions	19	19 (of which 1 cease and desist order)	8 (of which 2 cease and desist orders)
Amount (€)	5,603,000	1,403,000	310,000

IVASS

AML/CFT on-site inspections carried out by IVASS			
	2020	2021	2022
Number of Wide spectrum inspections	5	5	-
Number of Follow-up inspections	-	1	7
TOTAL	5	6	7

Off-site reviews performed by IVASS			
	2020	2021	2022
Number of off-site reviews	73	69	69

Enforcement measures by IVASS			
	2020	2021	2022
Number of letters of intervention/request of information	5	5	5

AML/CFT sanctions imposed by IVASS			
	2020	2021	2022
Number of sanctions	4 (all cease and desist orders)	3	n.a.
Amount (€)	-	180,000	n.a.

CONSOB

AML/CFT on-site inspections carried out by CONSOB			
	2020	2021	2022
Number of inspections on auditing firms	2	3	2
Number of inspections on financial intermediaries (*)	-	1	1
TOTAL	2	4	3

(*) Inspections performed by Consob on behalf of the Banca d'Italia according the MoU signed in 2011.

Enforcement measures by CONSOB			
	2020	2021	2022
Number of letters of intervention/request of information	46	22	25

AML/CFT sanctions imposed by CONSOB			
	2020	2021	2022
Number	-	3	-
Amount (€)	-	854,000	-

UIF

STRs received and analyzed by UIF			
	2020	2021	2022
Number of STRs received	113,187	139,524	155,426
Number of STRs analyzed	113,643	138,482	153,412

Information exchanges between UIF and other FIUs			
	2020	2021	2022
Number of requests sent	1,050	834	790
- <i>on behalf of the judicial authority</i>	575	364	334
- <i>for internal analysis</i>	475	470	456
Number of requests received	1,546	1,697	1,657
- <i>through Egmont</i>	695	872	776
- <i>through FIU.NET</i>	851	825	881
Number of Cross-border STRs received	23,089	25,843	80,934

Guardia di Finanza

On-site inspections AML/CFT carried out by Guardia di Finanza			
	2020	2021	2022
Number of targeted inspections	151	532	537
Number of full scope inspections	37	102	101
N. TOTAL	689	1,267	1,711

AML/CFT sanctions imposed by Guardia di Finanza			
	2020	2021	2022
Number of administrative sanctions	336	1,240	1,269
Number of penal sanctions	29	117	78
TOTAL	365	1,357	1,347

Investigative activities and results of the Guardia di Finanza in the areas of money laundering and self-laundering			
	2020	2021	2022
Number of operations	962	1,217	1,108
Number of persons reported to the judicial authorities	2,300	3,391	3,549
... of which arrested	(253)	(472)	(303)
Seizures (million €)	€ 525 mil.	€ 1,253 mil.	€ 1,335 mil.

The DIA

Results of the preventive action carried out by D.I.A.			
	2020	2021	2022
Seizures of assets through STRs (million €)	€ 71 mil.	€ 150 mil.	€ 45 mil.
Confiscations of assets through STRs (million €)	€ 50 mil.	€ 100 mil.	€ 172 mil.
TOTAL (million €)	€ 121 mil.	€ 250 mil.	€ 217 mil.

Results of the repressive action carried out by D.I.A.			
	2020	2021	2022
Seizures of assets (million €)	€ 19 mil.	€ 162 mil.	€ 207 mil.

The DNA

Results of the action carried out by DNA			
	2020	2021	2022
Number of STRs sent to District Prosecutor's Offices	4,739	2,157	4,120
Number of STRs returned to the Sending Offices	36,979	14,341	10,160
Number of STRs sent to the Working Group for further information (**)	(16,640)	(12,144)	(16,413)
TOTAL	41,718	16,498	19,486

(**) The STRs reports sent to the Working Group are aimed at giving impetus to the National Anti-Mafia and Anti-Terrorism Prosecutors, as part of the first pre-investigation phase.