

# DRAFT GENERAL BUDGET OF THE EUROPEAN UNION

for the financial year 2018



## Working Document Part IV

Pilot projects and preparatory actions

**Draft General Budget  
of the European Union  
for the Financial Year 2018**

**Working Document Part IV  
Pilot projects and preparatory actions**

The 2018 Draft Budget is accompanied by twelve ‘Working Documents’, as follows:

### **Part I: Programme Statements of operational expenditure**

Working Document I contains Programme Statements, which constitute the main instrument for justifying the operational appropriations requested by the Commission in the Draft Budget. These Statements are coherent with the corresponding legal bases and provide details on the resources which are dedicated to each spending Programme.

### **Part II: Commission Human Resources**

Working Document II presents information on Commission human resources, both for the establishment plans and for external personnel, across all headings of the multiannual financial framework. Moreover, pursuant to Article 38(3)(b)(v) of the Financial Regulation, it provides a summary table for the period 2014 – 2017 which shows the number of full-time equivalents for each category of staff and the related appropriations for all institutions and bodies referred to in Article 208 of the Financial Regulation.

### **Part III: Bodies set up by the European Union having legal personality and Public-private partnership**

Working Document III presents detailed information relating to all decentralised agencies, executive agencies and Public-Private Partnerships (joint undertakings and joint technology initiatives), with a transparent presentation of revenue, expenditure and staff levels of various Union bodies, pursuant to Articles 208 and 209 of the Financial Regulation.

### **Part IV: Pilot projects and preparatory actions**

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2018 Draft Budget, pursuant to Article 38(3)(c) of the Financial Regulation.

### **Part V: Budget implementation and assigned revenue**

Working Document V presents the budget implementation forecast for 2017, information on assigned revenue implementation in 2016, and a progress report on outstanding commitments (RAL) and managing potentially abnormal RAL (PAR) for 2016.

### **Part VI: Commission Administrative Expenditure**

This document encompasses administrative expenditure under all budgets (heading 5) to be implemented by the Commission in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO).

### **Part VII: Commission buildings**

Working Document VII presents information on buildings under Section III - Commission, pursuant to Article 203(3) of the Financial Regulation.

### **Part VIII: Expenditure related to the external action of the European Union**

Working Document VIII presents information on human resources and expenditure related to the external action of the European Union.

### **Part IX: Funding to international organisations**

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 38(3)(d) of the Financial Regulation.

### **Part X: Financial Instruments**

Working Document X presents the use made of financial instruments, pursuant to Article 38(5) of the Financial Regulation.

### **Part XI: EU Trust funds**

Working Document XI presents the activities supported by EU Trust Funds, their implementation and performance.

### **Part XII: Payment schedules (*on-line publication only*)**

Working Document XII presents summary statements of the schedule of payments due in subsequent years to meet budgetary commitments entered into in previous years, pursuant to Article 38(3)(f) of the Financial Regulation.

# Table of Contents

<b>INTRODUCTION</b> .....	<b>8</b>
<b>Pilot Projects and Preparatory Actions – The situation in Draft Budget 2018</b> .....	<b>9</b>
<b>Financial Regulation Provisions</b> .....	<b>9</b>
<b>Expenditure cycle of a Pilot Project or a Preparatory Action</b> .....	<b>9</b>
<b>Statistical trends in pilot projects and preparatory actions in the EU Budget 2009-2017</b> .....	<b>10</b>
<b>Application of the Interinstitutional Agreement</b> .....	<b>12</b>
Table 1: Summary table on Pilot projects.....	13
Table 2: Summary table on Preparatory actions .....	23
<b>PILOT PROJECTS</b> .....	<b>29</b>
<b>Heading 1a - Competitiveness for Growth and Jobs</b> .....	<b>30</b>
02 02 77 17: Pilot project — Business transfers to employees and cooperative model: ensuring the sustainability of SMEs.....	31
02 02 77 19: Pilot project — World-bridging tourism.....	33
02 02 77 23: Pilot project — Youth on the SPOT — Special Partnership on Tourism.....	35
02 02 77 24: Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector.....	37
02 02 77 25: Pilot project — Entrepreneurial capacity building for young migrants .....	40
02 02 77 26: Pilot project — Sharing Economy Startup Initiative — Financing the future of European entrepreneurship.....	43
02 02 77 27: Pilot project — Reducing youth unemployment and setting up cooperatives to enhance working opportunities in the EU .....	46
02 03 77 04: Pilot project — Support measures for traditional retailing.....	49
02 03 77 05: Pilot project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions .....	52
02 04 77 04: Pilot project — Space technologies.....	54
04 03 77 17: Pilot project — Social security card.....	56
04 03 77 24: Pilot project — Quality employment for job starters through entrepreneurship .....	59
04 03 77 26: Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market .....	61
06 02 77 07: Pilot project — Beyond traffic jams: intelligent integrated transport solutions for road infrastructure .....	64
06 02 77 11 :Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors.....	68
06 02 77 13: Pilot project — Innovative ways of sustainably financing public transport .....	70
06 02 77 15: Pilot project — Raising Awareness of alternatives to private car.....	72
06 02 77 16: Pilot project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of ‘smart rural transport areas’ (SMARTAs).....	74
06 02 77 17: Pilot project — Single European Sky (SES) airspace architecture.....	76
06 02 77 18 :Pilot project — Mapping accessible transport for people with reduced mobility.....	79
06 02 77 19: Pilot project — Secure parking areas for trucks.....	81
08 02 77 05: Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings .....	83
09 04 77 01: Pilot project — Open knowledge technologies: mapping and validating knowledge .....	85
09 04 77 04: Pilot project — Europe’s digital agenda meets Silicon Valley.....	88
09 04 77 06: Pilot project — Digital skills: new professions, new educational methods, new jobs .....	90
09 04 77 07: Pilot project — Developing the use of new technologies and digital tools in education .....	92
09 04 77 10: Pilot project — Framework of best practices to tackle child sexual abuse .....	94
09 04 77 11: Pilot project — Algorithm Awareness Building Initiative .....	96
09 04 77 15: Pilot project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access by Default) .....	98
10 02 77 01: Pilot project — Establishment of a European Commission Public Sector Innovation Lab .....	100
12 02 77 06: Pilot project — Horizontal Task Force on Distributed Ledger Technology .....	103
14 03 77 02 : Pilot project — Capacity building, programmatic development and communication in the context of the fight against tax avoidance, tax evasion and tax fraud.....	105
15 02 77 10: Pilot project — Promoting health-enhancing physical activity across Europe.....	108

15 02 77 11: Pilot project — Improving learning outcomes by supporting novice teachers through online learning, coaching and mentoring .....	111
15 02 77 17: Pilot project — Altiero Spinelli Doctoral Scholarship .....	113
32 02 77 08: Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States .....	115
32 02 77 10 :Pilot project — Fighting Energy Poverty in the Ionian-Adriatic Macro-Region.....	118
<b>Heading 1b - Economic, Social and Territorial Cohesion .....</b>	<b>120</b>
09 04 77 12: Pilot project — Digital enablers in SMEs: support for digitalisation to enhance SMEs' capacity to go international and innovate.....	121
09 04 77 16: Pilot project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs) .....	123
09 04 77 17: Pilot project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland .....	125
13 03 77 21: Pilot project —European Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole.....	127
<b>Heading 2 - Sustainable Growth: Natural Resources .....</b>	<b>129</b>
05 08 77 10: Pilot project — Agropol: development of a European cross-border Agribusiness Model Region .....	130
05 08 77 12 : Pilot project — Social eco-village.....	133
05 08 77 13: Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector.....	135
05 08 77 14: Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme .....	137
05 08 77 15: Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported.....	139
07 02 77 22: Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements .....	141
07 02 77 28: Pilot project — Defining the equilibrium between the right of the state to regulate legitimate public policy objectives, the rights of investors to the protection of their investments and the rights of citizens with regard to the environment and public health in the light of the Transatlantic Trade and Investment Partnership (TTIP).....	145
07 02 77 29 :Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform .....	148
07 02 77 30: Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap.....	152
07 02 77 31: Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive.....	154
07 02 77 33: Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration route .....	157
07 02 77 34: Pilot project — Inventory of species and habitats in the French outermost regions .....	159
07 02 77 35: Pilot project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources .....	162
07 02 77 36: Pilot project — Network of European Green Cities .....	164
07 02 77 37: Pilot project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures .....	166
07 02 77 39: Pilot project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict .....	168
07 02 77 40 :Pilot project —Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis.....	170
07 02 77 41 :Pilot project — Promoting alternatives to animal testing .....	172
11 06 77 07: Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management .....	175
11 06 77 08: Pilot project — Support measures for small-scale fishing.....	177
11 06 77 09: Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials .....	180
17 03 77 05: Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes .....	182
17 03 77 12: Pilot project — Reducing health inequalities: building expertise and evaluation of actions .....	185
17 03 77 13: Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons .....	188
17 04 77 03: Pilot project — Developing best practices in animal transport.....	191

17 04 77 04: Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice .....	193
17 04 77 05: Pilot project — Establishment of a harmonised internal market for pigmeat obtained from pigs that have not been surgically castrated .....	195
17 04 77 06: Pilot project — Environmental monitoring of pesticide use through honeybees.....	198
34 02 77 02: Pilot project — Making efficient use of Union climate finance: using roads as an early performance indicator for REDD+ projects .....	200
34 02 77 03: Pilot project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles .....	204
<b>Heading 3 - Security and Citizenship.....</b>	<b>206</b>
09 05 77 02: Pilot project — Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe.....	207
09 05 77 04: Pilot project — Media literacy for all .....	210
15 04 77 09: Pilot project — Supporting networks of young creative entrepreneurs: Union and third countries .....	212
15 04 77 13: Pilot project — Fight against illicit trafficking in cultural objects .....	214
17 03 77 08: Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe .....	216
17 03 77 09: Pilot project — Promotion of self-care systems in the Union .....	220
17 03 77 10: Pilot project — Gender-specific mechanisms in coronary artery disease in Europe .....	223
17 03 77 16: Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes.....	226
17 03 77 17: Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016.....	229
17 03 77 18: Pilot project — Reducing health inequalities experienced by LGBTI people .....	232
17 03 77 21: Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy.....	235
17 03 77 23: Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies .....	238
17 03 77 24: Pilot project — Towards a fairer and more effective measurement of access to healthcare across the Union in order to enhance cooperation and know-how transfer.....	240
17 03 77 25: Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage.....	242
17 03 77 26: Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer .....	244
17 03 77 27: Pilot project — Food redistribution .....	246
17 03 77 28: Pilot project — Rare 2030 — A participatory foresight study for policy-making on rare diseases .....	248
23 03 77 03: Pilot project — Early-warning system for natural disasters .....	250
33 02 77 09: Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies .....	253
33 02 77 10: Pilot project — Fundamental rights review of Union data-collection instruments and programmes .....	256
33 02 77 13: Pilot project — Europe of diversities .....	259
33 02 77 14: Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures .....	261
33 02 77 16: Pilot project — European Survey on gender-based violence .....	264
33 03 77 05: Pilot project — Letterbox companies .....	266
33 04 77 04: Pilot project — Training for SMEs on consumer rights in the digital age .....	268
33 04 77 05: Pilot project — Consumer empowerment and education on the product safety and market surveillance in the digital single market .....	270
<b>Heading 4 - Global Europe.....</b>	<b>272</b>
21 02 77 16: Pilot project — Strengthening veterinary services in developing countries.....	273
21 02 77 18: Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia .....	276
21 02 77 23: Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC) .....	279
21 02 77 24: Pilot project — Mapping the global threat posed by antimicrobial resistance .....	281
21 02 77 25: Pilot project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador.....	284
21 02 77 26: Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan .....	287
21 02 77 27: Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania.....	290
21 02 77 28: Pilot project — Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development.....	292
21 02 77 31: Pilot project — Santé pour tous — Health for All .....	295

<b>Heading 5 - Administration</b> .....	<b>298</b>
25 01 77 04: Pilot project — New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI) .....	299
26 03 77 03: Pilot project — PublicAccess.eu: Online platform for the proactive publication of Union institutions' unclassified documents .....	302
26 03 77 04: Pilot project — Union institutions' encrypted electronic communications .....	305
26 03 77 07: Pilot project — Deployment of online eIDs and digital signatures by way of implementation of the eIDAS Regulation by the European Parliament and the Commission .....	308

## **PREPARATORY ACTIONS** ..... **311**

<b>Heading 1a - Competitiveness for Growth and Jobs</b> .....	<b>312</b>
02 02 77 10: Preparatory action — Euromed innovation entrepreneurs for change .....	313
02 02 77 21: Preparatory action — Transnational culture-related European tourism product .....	316
02 02 77 29: Preparatory action — European Capital of Tourism .....	319
02 04 77 03 :Preparatory action on Defence research .....	321
04 03 77 18: Preparatory action — Social solidarity for social integration .....	325
04 03 77 23: Preparatory action — Reactivate — Intra-Union mobility programme for unemployed over-35s.....	328
06 02 77 14: Preparatory action — Towards a single and innovative European transport system.....	331
08 02 77 06: Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe .....	334
09 04 77 05: Preparatory action — Open knowledge technologies: mapping and validating knowledge .....	336
09 04 77 08: Preparatory action — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers.....	339
09 04 77 13: Preparatory action — Digital hub network.....	342
09 04 77 14: Preparatory action — Digital transformation of European industry .....	344
15 02 77 16: Preparatory action — Evaluation of higher-education entrepreneurship programmes .....	346
32 02 77 09: Preparatory action — Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors .....	348
<b>Heading 1b – Economic, Social and Territorial Cohesion</b> .....	<b>351</b>
01 02 77 01 :Preparatory action — Capacity development and institution building to support the implementation of economic reforms.....	352
09 04 77 09: Preparatory action — Smart factories in Eastern Europe .....	355
13 03 77 07 :Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination.....	357
13 03 77 12: Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region.....	360
13 03 77 15: Preparatory action — World cities: EU-third countries cooperation on urban development .....	364
13 03 77 17: Preparatory action — EU-CELAC cooperation on territorial cohesion .....	368
13 03 77 18: Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward .....	372
13 03 77 19: Preparatory action — Support for growth and governance in regions whose development is lagging behind .....	375
13 03 77 20: Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania.....	378
13 03 77 22: Preparatory action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region .....	381
13 03 77 23: Preparatory action — Urban agenda for the EU .....	383
<b>Heading 2 – Sustainable Growth: Natural Resources</b> .....	<b>385</b>
05 08 77 09: Preparatory action — Union plant and animal genetic resources .....	386
07 02 77 13: Preparatory action — BEST scheme (voluntary scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories) .....	390
11 06 77 13: Preparatory action — Common curriculum for skippers of small commercial vessels .....	396
17 03 77 11: Preparatory action — Fruit and vegetable consumption.....	399
<b>Heading 3 – Security and Citizenship</b> .....	<b>402</b>
09 02 77 04: Preparatory action — European Centre for Press and Media Freedom.....	403
09 02 77 05: Preparatory action — Implementation of the media pluralism monitoring tool.....	406
09 05 77 03: Preparatory action — Action on subtitling including crowdsourcing to increase the circulation of European works.....	409
09 05 77 05: Preparatory action — Subtitling European cultural television content throughout Europe .....	412

15 04 77 11: Preparatory action — New narrative on Europe .....	415
15 04 77 12: Preparatory action — Europe for Festivals, Festivals for Europe (EFFE).....	418
15 04 77 14: Preparatory action — Open micro-business models for innovation in European family-owned heritage houses .....	421
17 03 77 15: Preparatory action — European study on the burden and care of epilepsy .....	423
18 03 77 09: Preparatory action — Funding for the rehabilitation of victims of torture.....	426
<b>Heading 4 – Global Europe .....</b>	<b>430</b>
21 02 77 02: Preparatory action — Business and scientific exchanges with India .....	431
21 02 77 04: Preparatory action — Cooperation with middle income group countries in Asia.....	434
21 02 77 13: Preparatory action — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC) .....	437
21 02 77 14: Global Energy Efficiency and Renewable Energy Fund (GEEREF).....	441
21 02 77 19: Preparatory action — Building resilience for better health of nomadic communities in post-crisis situations in the Sahel region .....	445
21 02 77 20: Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC.....	448
21 02 77 21: Preparatory action — Building and strengthening local partnerships to develop social economy and to establish social enterprises in Eastern Africa .....	451
21 02 77 29: Preparatory action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries.....	454
<b>Heading 5 - Administration .....</b>	<b>456</b>
26 03 77 06: Preparatory action — Governance and quality of software code — Auditing of free and open-source software .....	457
26 03 77 08: Preparatory action — Encrypted electronic communications of Union institutions .....	459



## **Introduction**

## **Pilot Projects and Preparatory Actions – The situation in Draft Budget 2018**

This report on pilot projects (PPs) and preparatory actions (PAs) provides details of the state of implementation of all those projects and actions which have budget appropriations in the Draft Budget (DB 2018) – commitments and/or payments.

In the majority of cases there are no new commitment appropriations, only the payments for which commitments have been made in previous years.

In the 2018 DB, the Commission proposes the continuation for a second year of the preparatory action ‘*Preparatory action on Defence research*’, budget item 02 04 77 03, for an amount of EUR 40 million in commitment appropriations.

Full details of all the pilot projects and preparatory actions created in the 2017 budget, for which no appropriations are proposed in 2018, were set out in the working document presented to the Budgetary Authority on 1 March 2017.

### **Financial Regulation Provisions**

This report is presented by the Commission to the Budgetary Authority in accordance with the provisions of point (c) of Article 38(3) of the Financial Regulation and covers the pilot projects and preparatory actions referred to in points (a) and (b) of Article 54(2) of the Financial Regulation.

As a general rule, no appropriations may be entered in the budget for any action without the prior adoption of a basic act. However, under article 54(2), the Financial Regulation adds that “*the following may be implemented without a basic act provided the actions which they are intended to finance fall within the competences of the Union:*

- a) *appropriations for pilot projects of an experimental nature designed to test the feasibility of an action and its usefulness. The relevant commitment appropriations may be entered in the budget for not more than two consecutive financial years;*
- b) *appropriations for preparatory actions in the field of application of the TFEU and the Euratom Treaty, designed to prepare proposals with a view to the adoption of future actions. The preparatory actions shall follow a coherent approach and may take various forms. The relevant commitment appropriations may be entered in the budget for not more than three consecutive financial years. The procedure for the adoption of the relevant basic act shall be concluded before the end of the third financial year. In the course of that procedure, the commitment of appropriations shall correspond to the particular features of the preparatory action with regard to the activities envisaged, the aims pursued and the recipients. Consequently, the means implemented shall not correspond in volume to those envisaged for financing the definitive action itself.”*

### **Expenditure cycle of a Pilot Project or a Preparatory Action**

The implementation of a pilot project or a preparatory action has to go through a certain number of steps foreseen by the Financial Regulation. These steps differ according to the procedure to be followed (mainly either procurements or grants). However before arriving to the first step of the financial procedure, which is the financing decision, the Commission has to prepare the way for the best use of the action or project, and the related appropriations entered in the budget.

Thus, the Commission has first to analyse the specific objectives and ways of implementing the proposed action and, on this basis, attribute it to the responsible Directorate General. Sometimes it can take several weeks before a final decision is taken, especially when the action lies on the border line of several policy areas. The authorising officer and the manager responsible then have to elaborate a sufficiently detailed framework, as foreseen by the Financial Regulation, in respect of which the financing decision is finally taken. Only when all these prior phases are completed, can the financial implementation start.

An early dialogue with the European Parliament could very much improve the financial implementation of these actions. Provisions to this end have been included in the Interinstitutional Agreement of 2 December 2013.

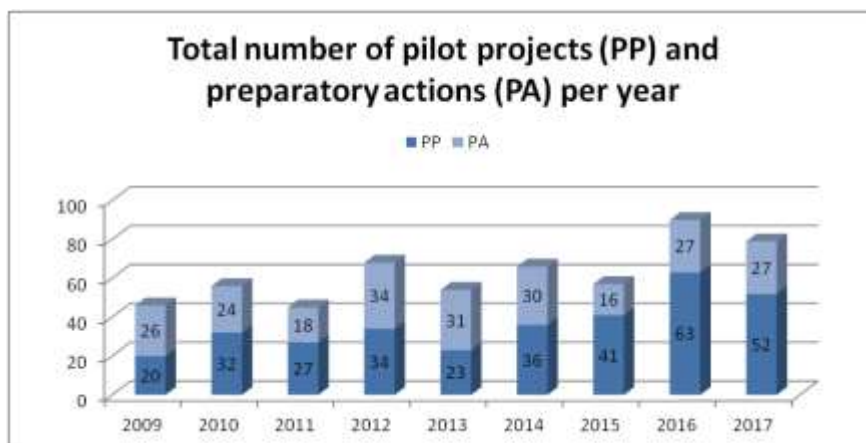
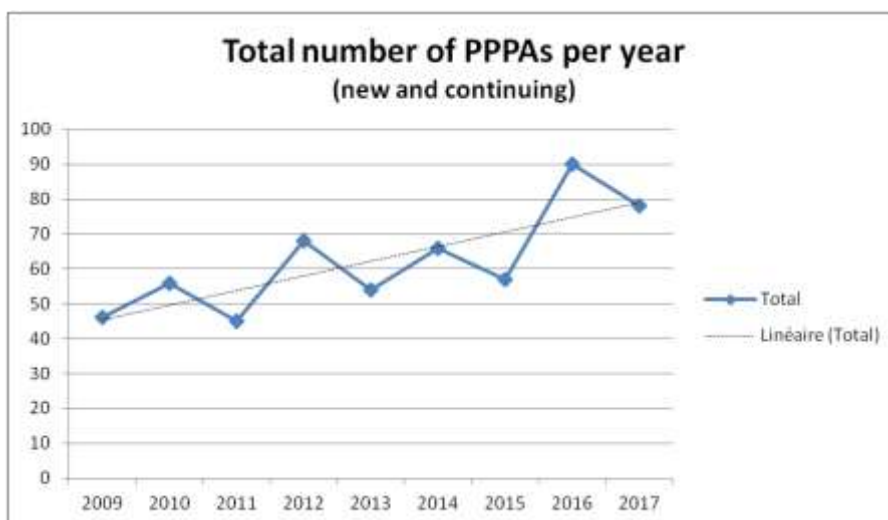
If the success of a pilot project or a preparatory action is to be measured, it is much less on the speediness of the commitments or payments, and rather on the sustainability of the results produced. After a careful and successful initial analysis, the process requires constant monitoring over the lifetime of the PP/PA, and the respect of the procedures.

### **Statistical trends in pilot projects and preparatory actions in the EU Budget 2009-2017**

The section below presents statistical data on pilot projects and preparatory actions (PPAs) adopted under the annual budget over the years 2009-2017.

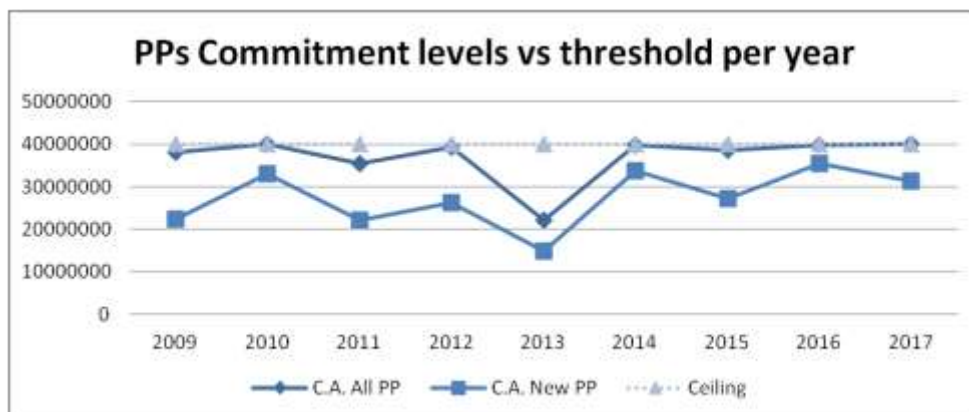
#### Total number of pilot projects and preparatory actions

The total number of pilot projects and preparatory actions – both new and continuing, which have been allocated commitment appropriations, has risen gradually but consistently between the 2009 Budget and the 2017 Budget. Overall, the number of PPPAs has varied between 45 pilot projects and preparatory actions in 2011 and a peak of 90 PPPAs in 2016. From year to year the figure has risen and dropped, with however bigger increases than decreases – resulting in an overall increase. Usually the difference between two adjacent years is less than 20 PPPAs. It is, however, noteworthy, that the increase was slightly higher in 2012 (plus 23) and was also more distinctive between 2015 and 2016, when the number of PPPAs in the budget rose from 57 to 90 (plus 33).

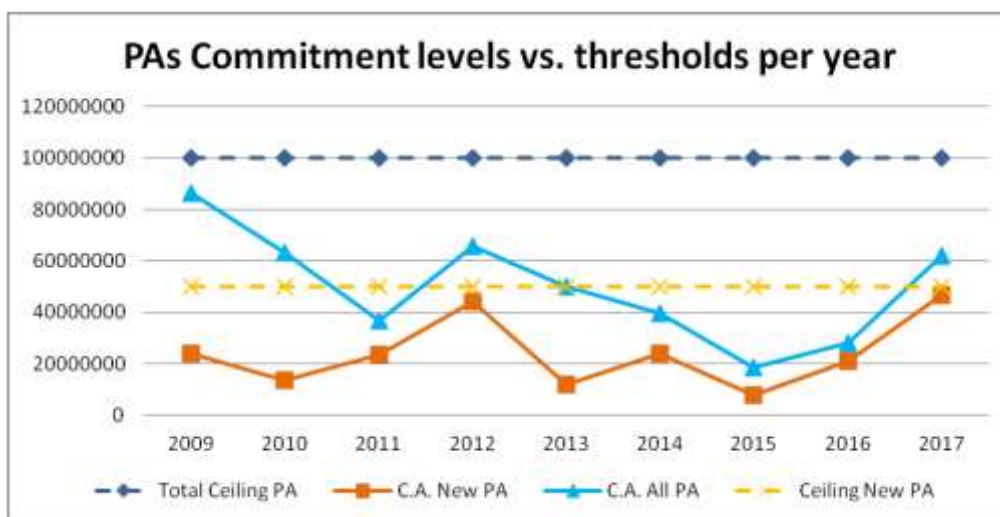


### Commitment appropriations for pilot projects and preparatory actions

In general, the commitments allocated to the pilot project were always close to the maximum sum defined in the Financial Regulation (EUR 40 million). With the exception of 2013, the allocated funds always exceeded EUR 35 million. In fact, in seven out of the nine years analysed, the commitment appropriations for PPs surpassed EUR 38 million.



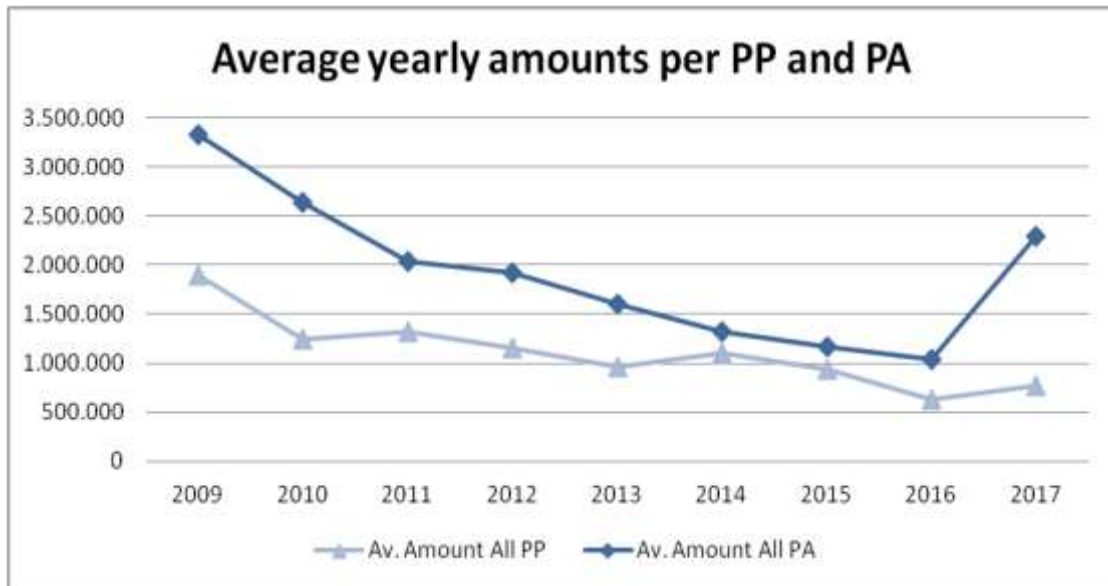
For the preparatory actions, the ceilings are usually not used to their limit. With the exception of 2012 and 2017, the allocated funds for new PAs were always lower than EUR 25 million (half of the EUR 50 million defined in article 54(2)(b) of the Financial Regulation). The first exception of 2012 (EUR 44,2 million) saw the highest number of PAs in all the years analyzed (25). The second exception of 2017 (EUR 46,8 million) included the preparatory action on Defence research' which was initially proposed by the Commission, for an amount of commitment appropriations of EUR 25 million. Similarly, the overall ceiling of EUR 100 million for all PAs has never been reached. In five out of the nine years, less than half the available funds (less than EUR 50 million) were designated to preparatory actions.



### Average amount in commitment appropriations per PP/PA

The total amount of commitment appropriations dedicated to pilot projects or preparatory actions divided by the number of projects or actions funded this year results in the average commitment appropriations available per PP/PA. While in 2009 a total of 46 PPPAs were included in the budget with an average amount of commitment appropriations of EUR 2,7 million, the increase in the overall number of PPPAs over the years has resulted in a lower amount of available appropriations for each PP/PA.

With the highest count of pilot projects in 2016, the same year also features the lowest commitment appropriation per project for the time analysed (EUR 0,63 million for pilot projects and EUR 1,04 million for preparatory actions). With slightly less projects in 2017, the funding per pilot project has picked up slightly (EUR 0,75 million), and more significantly for preparatory action at EUR 2,9 million per PA (EUR 1,39 million when excluding the effect of the action on defence).



### ***Application of the Interinstitutional Agreement***

According to the provisions of the Interinstitutional Agreement of 2 December 2013, both arms of the budgetary authority are invited to inform the Commission of their intentions to create new preparatory actions/pilot projects or to prolong existing ones. This enables the Commission to assess in due time the implementability of the amendments envisaged in this field.

**Table 1: Summary table on Pilot projects<sup>1</sup>**

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
02 02 77 17	1a	GROW	PP2	Cpp	Cpp	Pilot project — Business transfers to employees and cooperative model: ensuring the sustainability of SMEs	0	119.584	p.m.	270.000	p.m.	81.000
02 02 77 19	1a	GROW	PP2	Cpp	Cpp	Pilot project — World-bridging tourism	0	149.818	p.m.	225.000	p.m.	225.000
02 02 77 23	1a	GROW	PP1	PP2	Cpp	Pilot project — Youth on the SPOT — Special Partnership on Tourism	494.660	247.330	p.m.	p.m.	p.m.	247.330
02 02 77 24	1a	GROW	PP1	PP2	Cpp	Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector	452.291	226.145	1.000.000	500.000	p.m.	426.146
02 02 77 25	1a	GROW	PP1	PP2	Cpp	Pilot project — Entrepreneurial capacity building for young migrants	2.200.000	0	1.000.000	1.000.000	p.m.	1.102.000
02 02 77 26	1a	GROW	PP1	PP2	Cpp	Pilot project — Sharing Economy Startup Initiative — Financing the future of European entrepreneurship	2.500.000	0	p.m.	1.150.000	p.m.	500.000
02 02 77 27	1a	GROW	PP1	PP2	Cpp	Pilot project — Reducing youth unemployment and setting up cooperatives to enhance working opportunities in the EU	500.000	27.936	p.m.	p.m.	p.m.	224.975
02 03 77 04	1a	GROW	PP2	Cpp	Cpp	Pilot project — Support measures for traditional retailing	0	181.185	p.m.	500.000	p.m.	318.815
02 03 77 05	1a	GROW		PP1	PP2	Pilot project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions			500.000	250.000	p.m.	150.000
02 04 77 04	1a	GROW		PP1	PP2	Pilot project — Space technologies			1.500.000	750.000	p.m.	750.000
04 03 77 17	1a	EMPL	PP2	Cpp	Cpp	Pilot project — Social security card	700.000	454.550	p.m.	500.000	p.m.	350.000
04 03 77 24	1a	EMPL	PP1	PP2	Cpp	Pilot project — Quality employment for job starters through entrepreneurship	700.000	0	p.m.	350.000	p.m.	350.000
04 03 77 26	1a	EMPL	PP1	PP2	Cpp	Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market	2.000.000	383.257	2.000.000	1.500.000	p.m.	1.000.000

<sup>1</sup> PP1 – pilot project first year; PP2 – pilot project second year; Cpp – completion pilot project

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
06 02 77 07	1a	MOVE	PP2	Cpp	Cpp	Pilot project — Beyond traffic jams: intelligent integrated transport solutions for road infrastructure	0	0	p.m.	650.000	p.m.	650.000
06 02 77 11	1a	MOVE	PP1	PP2	Cpp	Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors	400.000	0	p.m.	280.000	p.m.	120.000
06 02 77 13	1a	MOVE	PP1	PP2	Cpp	Pilot project — Innovative ways of sustainably financing public transport	125.000	0	p.m.	87.500	p.m.	37.500
06 02 77 15	1a	MOVE		PP1	PP2	Pilot project — Raising Awareness of alternatives to private car			490.000	245.000	p.m.	245.000
06 02 77 16	1a	MOVE		PP1	PP2	Pilot project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of 'smart rural transport areas' (SMARTAs))			600.000	300.000	p.m.	300.000
06 02 77 17	1a	MOVE		PP1	PP2	Pilot project — Single European Sky (SES) airspace architecture			800.000	400.000	p.m.	400.000
06 02 77 18	1a	MOVE		PP1	PP2	Pilot project — Mapping accessible transport for people with reduced mobility			600.000	300.000	p.m.	300.000
06 02 77 19	1a	MOVE		PP1	PP2	Pilot project — Secure parking areas for trucks			850.000	425.000	p.m.	425.000
08 02 77 05	1a	RTD	PP1	PP2	Cpp	Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings	600.000	0	p.m.	200.000	p.m.	400.000
09 04 77 01	1a	CNECT	Cpp	Cpp	Cpp	Pilot project — Open knowledge technologies: mapping and validating knowledge	0	1.494.044	p.m.	1.166.315	p.m.	888.314
09 04 77 04	1a	CNECT	PP1	PP2	Cpp	Pilot project — Europe's digital agenda meets Silicon Valley	150.000	0	p.m.	100.000	p.m.	50.000
09 04 77 06	1a	CNECT	PP1	PP2	Cpp	Pilot project — Digital skills: new professions, new educational methods, new jobs	400.000	0	p.m.	320.000	p.m.	320.000

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
09 04 77 07	1a	CNECT	PP1	PP2	Cpp	Pilot project — Developing the use of new technologies and digital tools in education	400.000	0	p.m.	200.000	p.m.	160.000
09 04 77 10	1a	CNECT		PP1	PP2	Pilot project — Framework of best practices to tackle child sexual abuse			1.000.000	500.000	p.m.	400.000
09 04 77 11	1a	CNECT		PP1	PP2	Pilot project — Algorithm Awareness Building Initiative			600.000	300.000	p.m.	420.000
09 04 77 15	1a	CNECT		PP1	PP2	Pilot project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access By Default)			600.000	300.000	p.m.	240.000
10 02 77 01	1a	JRC	PP1	PP2	Cpp	Pilot project — Establishment of a European Commission Public Sector Innovation Lab	499.851	95.780	p.m.	400.000	p.m.	50.000
12 02 77 06	1a	FISMA		PP1	PP2	Pilot project — Horizontal Task Force on Distributed Ledger Technology			850.000	425.000	p.m.	425.000
14 03 77 02	1a	TAXUD	PP1	PP2	Cpp	Pilot project — Capacity building, programmatic development and communication in the context of the fight against tax avoidance, tax evasion and tax fraud	500.000	46.073	500.000	500.000	p.m.	250.000
15 02 77 10	1a	EAC	PP1	PP2	Cpp	Pilot project — Promoting health-enhancing physical activity across Europe	598.615	0	p.m.	300.000	p.m.	103.478
15 02 77 11	1a	EAC	PP1	PP2	Cpp	Pilot project — Improving learning outcomes by supporting novice teachers through online learning, coaching and mentoring	499.175	0	p.m.	250.000	p.m.	199.670
15 02 77 17	1a	EAC		PP1	PP2	Pilot project — Altiero Spinelli Doctoral Scholarship			750.000	375.000	p.m.	375.000
32 02 77 08	1a	ENER	PP2	Cpp	Cpp	Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States	0	168.016	p.m.	400.000	p.m.	203.000
32 02 77 10	1a	ENER		PP1	PP2	Pilot project — Fighting Energy Poverty in the Ionian-Adriatic Macro-Region			1.000.000	500.000	p.m.	500.000
<b>Heading 1a – Total</b>											-	<b>13.187.228</b>



Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
09 04 77 12	1b	CNECT		PP1	PP2	Pilot project — Digital enablers in SMEs: support for digitalisation to enhance SMEs' capacity to go international and innovate			1.000.000	500.000	p.m.	900.000
09 04 77 16	1b	CNECT		PP1	PP2	Pilot project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs)			750.000	375.000	p.m.	300.000
09 04 77 17	1b	CNECT		PP1	PP2	Pilot project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland			750.000	375.000	p.m.	500.000
13 03 77 21	1b	REGIO		PP1	PP2	Pilot project —European Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole			1.300.000	650.000	p.m.	650.000
<b>Heading 1b – Total</b>											-	<b>2.350.000</b>
05 08 77 10	2	AGRI	Cpp	Cpp	Cpp	Pilot project — Agropol: development of a European cross-border Agribusiness Model Region	0	201.695	p.m.	201.695	p.m.	605.085
05 08 77 12	2	AGRI	PP1	PP2	Cpp	Pilot project — Social eco-village	400.000	0	p.m.	120.000	p.m.	120.000
05 08 77 13	2	AGRI	PP1	PP2	Cpp	Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector	300.000	0	p.m.	90.000	p.m.	90.000
05 08 77 14	2	AGRI	PP1	PP2	Cpp	Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme	700.000	0	200.000	210.000	p.m.	450.000
05 08 77 15	2	AGRI	PP1	PP2	Cpp	Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported	300.000	0	p.m.	90.000	p.m.	90.000
07 02 77 22	2	ENV	Cpp	Cpp	Cpp	Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements	0	511.420	p.m.	585.000	p.m.	300.000

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
07 02 77 28	2	ENV	PP2	Cpp	Cpp	Pilot project — Defining the equilibrium between the right of the state to regulate legitimate public policy objectives, the rights of investors to the protection of their investments and the rights of citizens with regard to the environment and public health in the light of the Transatlantic Trade and Investment Partnership (TTIP)	200.000	0	p.m.	330.000	p.m.	60.000
07 02 77 29	2	ENV	PP2	Cpp	Cpp	Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform	0	221.484	p.m.	315.000	p.m.	110.000
07 02 77 30	2	ENV	PP1	PP2	Cpp	Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap	1.000.000	0	p.m.	400.000	p.m.	300.000
07 02 77 31	2	ENV	PP1	PP2	Cpp	Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive	900.000	0	p.m.	360.000	p.m.	210.000
07 02 77 33	2	ENV	PP1	PP2	Cpp	Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes	1.000.000	0	p.m.	400.000	p.m.	300.000
07 02 77 34	2	ENV	PP1	PP2	Cpp	Pilot project — Inventory of species and habitats in the French outermost regions	1.000.000	0	p.m.	400.000	p.m.	400.000
07 02 77 35	2	ENV		PP1	PP2	Pilot project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources			1.000.000	500.000	p.m.	600.000
07 02 77 36	2	ENV		PP1	PP2	Pilot project — Network of European Green Cities			1.000.000	500.000	p.m.	300.000
07 02 77 37	2	ENV		PP1	PP2	Pilot project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures			750.000	375.000	p.m.	225.000

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
07 02 77 39	2	ENV		PP1	PP2	Pilot project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict			500.000	250.000	p.m.	150.000
07 02 77 40	2	ENV		PP1	PP2	Pilot project —Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis			500.000	250.000	p.m.	150.000
07 02 77 41	2	ENV		PP1	PP2	Pilot project — Promoting alternatives to animal testing			1.000.000	500.000	p.m.	300.000
11 06 77 07	2	MARE	Cpp	Cpp	Cpp	Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management	0	0	p.m.	361.656	p.m.	361.656
11 06 77 08	2	MARE	Cpp	Cpp	Cpp	Pilot project — Support measures for small-scale fishing	268.696	552.324	p.m.	586.251	p.m.	295.215
11 06 77 09	2	MARE	PP2	Cpp	Cpp	Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials	0	248.978	p.m.	500.000	p.m.	350.000
17 03 77 05	2	SANTE	Cpp	Cpp	Cpp	Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes	0	293.138	p.m.	400.000	p.m.	413.000
17 03 77 12	2	SANTE	Cpp	Cpp	Cpp	Pilot project — Reducing health inequalities: building expertise and evaluation of actions.	0	440.273	p.m.	290.000	p.m.	294.000
17 03 77 13	2	SANTE	Cpp	Cpp	Cpp	Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons	0	208.463	p.m.	200.000	p.m.	505.000

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
17 04 77 03	2	SANTE	Cpp	Cpp	Cpp	Pilot project — Developing best practices in animal transport	0	285.379	p.m.	300.000	p.m.	415.000
17 04 77 04	2	SANTE	Cpp	Cpp	Cpp	Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice	0	146.767	p.m.	p.m.	p.m.	103.000
17 04 77 05	2	SANTE		PP1	PP2	Pilot project — Establishment of a harmonised internal market for pigmeat obtained from pigs that have not been surgically castrated			600.000	300.000	p.m.	180.000
17 04 77 06	2	SANTE		PP1	PP2	Pilot project — Environmental monitoring of pesticide use through honeybees			500.000	250.000	p.m.	150.000
34 02 77 02	2	CLIMA	Cpp	Cpp	Cpp	Pilot project — Making efficient use of Union climate finance: using roads as an early performance indicator for REDD+ projects	0	129.340	p.m.	660.000	p.m.	588.000
34 02 77 03	2	CLIMA		PP1	PP2	Pilot project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles			500.000	250.000	p.m.	200.000
<b>Heading 2 – Total</b>											-	<b>8.614.956</b>
09 05 77 02	3	CNECT	Cpp	Cpp	Cpp	Pilot project — Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe	0	799.750	p.m.	939.328	p.m.	600.438
09 05 77 04	3	CNECT	PP1	PP2	Cpp	Pilot project — Media literacy for all	250.000	0	500.000	450.000	p.m.	450.000
15 04 77 09	3	EAC	PP2	Cpp	Cpp	Pilot project — Supporting networks of young creative entrepreneurs: Union and third countries	0	154.839	p.m.	367.742	p.m.	232.258
15 04 77 13	3	EAC		PP1	PP2	Pilot project — Fight against illicit trafficking in cultural objects			500.000	250.000	p.m.	260.000
17 03 77 08	3	SANTE	Cpp	Cpp	Cpp	Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe	0	628.203	p.m.	600.000	p.m.	419.000
17 03 77 09	3	SANTE	Cpp	Cpp	Cpp	Pilot project — Promotion of self-care systems in the Union	0	706.024	p.m.	200.000	p.m.	237.000
17 03 77 10	3	SANTE	Cpp	Cpp	Cpp	Pilot project — Gender-specific mechanisms in coronary artery disease in Europe	0	0	p.m.	350.000	p.m.	368.000

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
17 03 77 16	3	SANTE	PP2	Cpp	Cpp	Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes	0	299.994	p.m.	300.000	p.m.	300.000
17 03 77 17	3	SANTE	PP2	Cpp	Cpp	Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016	0	0	p.m.	180.000	p.m.	346.000
17 03 77 18	3	SANTE	PP2	Cpp	Cpp	Pilot project — Reducing health inequalities experienced by LGBTI people	0	132.000	p.m.	135.000	p.m.	132.000
17 03 77 21	3	SANTE	PP1	PP2	Cpp	Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy	350.000	0	p.m.	105.000	p.m.	105.000
17 03 77 23	3	SANTE	PP1	PP2	Cpp	Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies	1.200.000	0	p.m.	360.000	p.m.	360.000
17 03 77 24	3	SANTE	PP1	PP2	Cpp	Pilot project — Towards a fairer and more effective measurement of access to healthcare across the Union in order to enhance cooperation and know-how transfer	250.000	0	p.m.	75.000	p.m.	150.000
17 03 77 25	3	SANTE	PP1	PP2	Cpp	Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage	500.000	0	p.m.	150.000	p.m.	250.000
17 03 77 26	3	SANTE	PP1	PP2	Cpp	Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer	500.000	0	p.m.	150.000	p.m.	150.000
17 03 77 27	3	SANTE	PP1	PP2	Cpp	Pilot project — Food redistribution	500.000	0	p.m.	150.000	p.m.	150.000
17 03 77 28	3	SANTE		PP1	PP2	Pilot project — Rare 2030 — A participatory foresight study for policy-making on rare diseases			500.000	250.000	p.m.	150.000
23 03 77 03	3	ECHO	PP2	Cpp	Cpp	Pilot project — Early-warning system for natural disasters	0	0	p.m.	1.250.000	p.m.	499.851

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
33 02 77 09	3	JUST	Cpp	Cpp	Cpp	Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies	0	0	p.m.	811.187	p.m.	939.000
33 02 77 10	3	JUST	PP2	Cpp	Cpp	Pilot project — Fundamental rights review of Union data-collection instruments and programmes	0	0	p.m.	375.000	p.m.	253.000
33 02 77 13	3	JUST	PP1	PP2	Cpp	Pilot project — Europe of diversities	1.000.000	0	p.m.	400.000	p.m.	400.000
33 02 77 14	3	JUST	PP1	PP2	Cpp	Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures	1.000.000	0	p.m.	400.000	p.m.	400.000
33 02 77 16	3	JUST		PP1	PP2	Pilot project — European Survey on gender-based violence			1.000.000	500.000	p.m.	300.000
33 03 77 05	3	JUST		PP1	PP2	Pilot project — Letterbox companies			300.000	150.000	p.m.	90.000
33 04 77 04	3	JUST	PP2	Cpp	Cpp	Pilot project — Training for SMEs on consumer rights in the digital age	0	0	p.m.	500.000	p.m.	300.000
33 04 77 05	3	JUST	PP1	PP2	Cpp	Pilot project — Consumer empowerment and education on the product safety and market surveillance in the digital single market	325.000	0	p.m.	150.000	p.m.	110.000
<b>Heading 3 – Total</b>											-	<b>7.951.547</b>
21 02 77 16	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Strengthening veterinary services in developing countries	0	2.102.918	p.m.	500.000	p.m.	350.000
21 02 77 18	4	DEVCO	Cpp	Cpp	Cpp	Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia	0	0	p.m.	995.658	p.m.	950.000
21 02 77 23	4	DEVCO	PP2	Cpp	Cpp	Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)	0	321.130	p.m.	200.000	p.m.	268.870
21 02 77 24	4	DEVCO	PP1	PP2	Cpp	Pilot project — Mapping the global threat posed by antimicrobial resistance	750.000	0	750.000	675.000	p.m.	1.275.000
21 02 77 25	4	DEVCO	PP1	PP2	Cpp	Pilot project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador	700.000	0	750.000	500.000	p.m.	400.000

Budget line	FF	DG Resp.	2016	2017	2018	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA	
21 02 77 26	4	DEVCO	PP1	PP2	Cpp	Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan	0	0	1.000.000	500.000	p.m.	500.000	
21 02 77 27	4	DEVCO	PP1	PP2	Cpp	Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania	0	0	1.000.000	500.000	p.m.	500.000	
21 02 77 28	4	DEVCO		PP1	PP2	Pilot project — Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development			1.000.000	500.000	p.m.	500.000	
21 02 77 31	4	DEVCO		PP1	PP2	Pilot project — Santé pour tous — Health for All			500.000	250.000	p.m.	250.000	
<b>Heading 4 – Total</b>											-	<b>4.993.870</b>	
25 01 77 04	5	SG		PP1	PP2	Pilot project — New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI)	0	0	500.000	250.000	p.m.	250.000	
26 03 77 03	5	OP	PP2	Cpp	Cpp	Pilot project — PublicAccess.eu: Online platform for the proactive publication of Union institutions' unclassified documents	500.000	512.000	p.m.	813.000	p.m.	180.000	
26 03 77 04	5	DIGIT	PP2	Cpp	Cpp	Pilot project — Union institutions' encrypted electronic communications	1.000.000	266.130	p.m.	750.000	p.m.	250.000	
26 03 77 07	5	DIGIT		PP1	PP2	Pilot project — Deployment of online eIDs and digital signatures by way of implementation of the eIDAS Regulation by the European Parliament and the Commission			550.000	275.000	p.m.	275.000	
<b>Heading 5 – Total</b>													
<b>TOTAL – Pilot projects</b>													

**Table 2: Summary table on Preparatory actions <sup>2</sup>**

Budget line	FF	DG Resp.	2015	2016	2017	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
02 02 77 10	1a	GROW	Cpa	Cpa	Cpa	Preparatory action — Euromed innovation entrepreneurs for change	0	487.192	p.m.	490.000	p.m.	100.000
02 02 77 21	1a	GROW	PA2	PA3	Cpa	Preparatory action — Transnational culture-related European tourism product	0	0	1.500.000	1.000.000	p.m.	450.000
02 02 77 29	1a	GROW		PA1	PA2	Preparatory action — European Capital of Tourism			2.500.000	1.250.000	p.m.	1.000.000
02 04 77 03	1a	GROW		PA1	PA2	Preparatory action on Defence research			25.000.000	10.000.000	40.000.000	28.000.000
04 03 77 18	1a	EMPL	PA2	PA3	Cpa	Preparatory action — Social solidarity for social integration	0	0	p.m.	750.000	p.m.	750.000
04 03 77 23	1a	EMPL	PA1	PA2	PA3	Preparatory action — Reactivate — Intra-Union mobility programme for unemployed over-35s	2.996.244	1.198.498	2.500.000	1.500.000	p.m.	1.500.000
06 02 77 14	1a	MOVE	PA2	PA3	Cpa	Preparatory action — Towards a single and innovative European transport system	1.500.000	207.885	p.m.	500.000	p.m.	1.100.000
08 02 77 06	1a	RTD	PA1	PA2	PA3	Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe	600.000	0	p.m.	400.000	p.m.	400.000
09 04 77 05	1a	CNECT	PA1	PA2	PA3	Preparatory action — Open knowledge technologies: mapping and validating knowledge	1.500.000	0	1.000.000	750.000	p.m.	750.000

<sup>2</sup> PA1 – preparatory action first year; PA2 – preparatory action second year; PA3 – preparatory action third year; Cpa – completion preparatory action



Budget line	FF	DG Resp.	2015	2016	2017	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
09 04 77 08	1a	CNECT	PA1	PA2	PA3	Preparatory action — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers	750.000	187.500	1.000.000	1.000.000	p.m.	700.000
09 04 77 13	1a	CNECT		PA1	PA2	Preparatory action — Digital hub network			1.500.000	750.000	p.m.	1.125.000
09 04 77 14	1a	CNECT		PA1	PA2	Preparatory action — Digital transformation of European industry			1.500.000	750.000	p.m.	1.350.000
15 02 77 16	1a	EAC		PA1	PA2	Preparatory action — Evaluation of higher-education entrepreneurship programmes			500.000	250.000	p.m.	400.000
32 02 77 09	1a	ENER		PA1	PA2	Preparatory action — Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors			2.000.000	1.000.000	p.m.	1.000.000
<b>Heading 1a – Total</b>											<b>40.000.000</b>	<b>38.625.000</b>
01 02 77 01	1b	SRSS	PA1	PA2	PA3	Preparatory action — Capacity development and institution building to support the implementation of economic reforms	3.000.000	774.477	p.m.	1.000.000	p.m.	700.000
09 04 77 09	1b	CNECT	PA1	PA2	PA3	Preparatory action — Smart factories in Eastern Europe	2.000.000	0	p.m.	1.500.000	p.m.	1.300.000
13 03 77 07	1b	REGIO	Cpa	Cpa	Cpa	Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination	0	115.074	p.m.	p.m.	p.m.	773.558
13 03 77 12	1b	REGIO	Cpa	Cpa	Cpa	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	0	1.563.697	p.m.	1.234.347	p.m.	1.093.974

Budget line	FF	DG Resp.	2015	2016	2017	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
13 03 77 15	1b	REGIO	PA3	Cpa	Cpa	Preparatory action — World cities: EU-third countries cooperation on urban development	1.500.000	700.880	p.m.	750.000	p.m.	1.124.221
13 03 77 17	1b	REGIO	PA1	PA2	PA3	Preparatory action — EU-CELAC cooperation on territorial cohesion	2.000.000	1.326	2.000.000	1.700.000	p.m.	1.798.674
13 03 77 18	1b	REGIO	PA1	PA2	PA3	Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward	1.000.000	0	1.500.000	1.000.000	p.m.	1.250.000
13 03 77 19	1b	REGIO	PA1	PA2	PA3	Preparatory action — Support for growth and governance in regions whose development is lagging behind	1.000.000	500.000	1.000.000	1.000.000	p.m.	1.000.000
13 03 77 20	1b	REGIO	PA1	PA2	PA3	Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania	2.000.000	1.000.000	p.m.	1.000.000	p.m.	1.000.000
13 03 77 22	1b	REGIO		PA1	PA2	Preparatory action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region			2.000.000	1.000.000	p.m.	1.000.000
13 03 77 23	1b	REGIO		PA1	PA2	Preparatory action — Urban agenda for the EU			2.500.000	1.250.000	p.m.	1.250.000
<b>Heading 1b – Total</b>											-	<b>12.290.427</b>
05 08 77 09	2	AGRI	Cpa	Cpa	Cpa	Preparatory action — Union plant and animal genetic resources	0	744.230	p.m.	384.800	p.m.	384.800
07 02 77 13	2	ENV	Cpa	Cpa	Cpa	Preparatory action — BEST scheme (voluntary scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)	0	667.652	p.m.	180.000	p.m.	400.000
11 06 77 13	2	MARE		PA1	PA2	Preparatory action — Common curriculum for skippers of small commercial vessels			750.000	375.000	p.m.	300.000
17 03 77 11	2	SANTE	Cpa	Cpa	Cpa	Preparatory action — Fruit and vegetable consumption	0	0	p.m.	450.000	p.m.	208.000
<b>Heading 2 – Total</b>											-	<b>1.292.800</b>

Budget line	FF	DG Resp.	2015	2016	2017	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA
09 02 77 04	3	CNECT	PA2	PA3	Cpa	Preparatory action — European Centre for Press and Media Freedom	500.000	198.606	1.000.000	1.000.000	p.m.	1.100.000
09 02 77 05	3	CNECT	PA2	PA3	Cpa	Preparatory action — Implementation of the media pluralism monitoring tool	350.000	340.143	p.m.	262.500	p.m.	87.500
09 05 77 03	3	CNECT	PA2	PA3	Cpa	Preparatory action — Action on subtitling including crowdsourcing to increase the circulation of European works	450.000	0	1.000.000	1.000.000	p.m.	225.000
09 05 77 05	3	CNECT	PA1	PA2	PA3	Preparatory action — Subtitling European cultural television content throughout Europe	1.500.000	0	1.500.000	750.000	p.m.	450.000
15 04 77 11	3	EAC	PA2	PA3	Cpa	Preparatory action — New narrative on Europe	500.000	539.549	750.000	732.605	p.m.	375.000
15 04 77 12	3	EAC	PA1	PA2	PA3	Preparatory action — Europe for Festivals, Festivals for Europe (EFFE)	350.000	245.000	350.000	175.000	p.m.	105.000
15 04 77 14	3	EAC		PA1	PA2	Preparatory action — Open micro-business models for innovation in European family-owned heritage houses			500.000	250.000	p.m.	350.000
17 03 77 15	3	SANTE	PA3	Cpa	Cpa	Preparatory action — European study on the burden and care of epilepsy	0	0	p.m.	p.m.	p.m.	615.000
18 03 77 09	3	HOME	PA3	Cpa	Cpa	Preparatory action — Funding for the rehabilitation of victims of torture	0	872.336	p.m.	663.000	p.m.	338.242
<b>Heading 3 – Total</b>											-	<b>3.645.742</b>
21 02 77 02	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Business and scientific exchanges with India	0	0	p.m.	700.161	p.m.	892.157
21 02 77 04	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Cooperation with middle income group countries in Asia	0	63.155	p.m.	p.m.	p.m.	150.000
21 02 77 13	4	DEVCO	Cpa	Cpa	Cpa	Preparatory action — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)	0	0	p.m.	p.m.	p.m.	1.650.066
21 02 77 14	4	DEVCO	Cpa	Cpa	Cpa	Global Energy Efficiency and Renewable Energy Fund (GEEREF)	0	0	p.m.	p.m.	p.m.	500.000

Budget line	FF	DG Resp.	2015	2016	2017	Heading	2016 outturn CA	2016 outturn PA	2017 CA	2017 PA	2018 DB CA	2018 DB PA	
21 02 77 19	4	DEVCO	PA3	Cpa	Cpa	Preparatory action — Building resilience for better health of nomadic communities in post-crisis situations in the Sahel region	100.000	2.411.434	p.m.	749.738	p.m.	387.828	
21 02 77 20	4	DEVCO	PA3	Cpa	Cpa	Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC	150.000	914.791	p.m.	490.000	p.m.	372.604	
21 02 77 21	4	DEVCO	PA3	Cpa	Cpa	Preparatory action — Building and strengthening local partnerships to develop social economy and to establish social enterprises in Eastern Africa	0	0	p.m.	p.m.	p.m.	494.423	
21 02 77 29	4	DEVCO		PA1	PA2	Preparatory action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries			1.000.000	500.000	p.m.	500.000	
<b>Heading 4 – Total</b>											-	<b>4.947.078</b>	
26 03 77 06	5	DIGIT		PA1	PA2	Preparatory action — Governance and quality of software code — Auditing of free and open-source software	0	0	2.600.000	1.300.000	p.m.	1.100.000	
26 03 77 08	5	DIGIT		PA1	PA2	Preparatory action — Encrypted electronic communications of Union institutions	0	0	1.000.000	500.000	p.m.	500.000	
<b>Heading 5 – Total</b>											-	<b>1.600.000</b>	
<b>TOTAL – Preparatory actions</b>												<b>40.000.000</b>	<b>62.401.047</b>



## **PILOT PROJECTS**

## **Heading 1a - Competitiveness for Growth and Jobs**

## 1. Heading

### 02 02 77 17: Pilot project — Business transfers to employees and cooperative model: ensuring the sustainability of SMEs

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	119 583,90	p.m.	270 000	p.m.	81 000	0,0 %	-70,0 %
Reserve								
Total	0,00	119 583,90	p.m.	270 000	p.m.	81 000	0,0 %	-70,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of the pilot project was to improve the environment for transferring businesses to employees in the form of a cooperative, including through the promotion of benefits of using the cooperative model for this type of transfers.

The pilot project was implemented by a call for proposals. The objectives of the targeted projects is to identify the existing support schemes and successful models/approaches involving the transfer of knowledge and core competencies essential for business transfers to employees under the cooperative form and promote them among entrepreneurs, and employees, as well as business associations, trade unions, trade associations, business support providers and public authorities. The promotion activities should be targeted particularly towards those countries that either has little knowledge about the cooperative model or have a weak cooperative movement.

Two projects were selected following the publication of a call for proposals.

The projects started on 1/04/2016 for a period of 18 months.

#### Intentions for the current year 2017:

The first interim reports submitted in March 2017 have been positively assessed and the actions are very well implemented.

The final reports should be delivered in November 2017 as specified in the agreements.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	C(2015)1980 of 26 March 2015



Step	Date
Publication of call for proposals	04/06/2015
Closing date of call for proposals	10/09/2015
Award decision	February 2016
Pre-financing payment	April 2016 (120.000)
Interim payment	March 2017
Final payment	January 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2015)1980 of 26 March 2015 sets out the main elements for the implementation of the pilot project.

The call for proposals documentation can be found on the Europa website: [http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item\\_id=8294&](http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item_id=8294&)

Information on selected projects are published on [https://ec.europa.eu/growth/sectors/social-economy/cooperatives\\_fr](https://ec.europa.eu/growth/sectors/social-economy/cooperatives_fr)

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Grant	Confederacion Espanaola de Cooperativas de Trabajo Asociado COCETA	191 852
Grant	Confédération Générale des Sociétés Coopératives et Participatives SCOP	206 761

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented through a call for proposals.

### 5.6 Types of beneficiaries

Non profit organisations (confédérations de coopératives et des entreprises de l'économie sociale)

## 1. Heading

### 02 02 77 19: Pilot project — World-bridging tourism

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	149 818,00	p.m.	225 000	p.m.	225 000	0,0 %	0,0 %
Reserve								
Total	0,00	149 818,00	p.m.	225 000	p.m.	225 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objectives of the "World Bridge Tourism" pilot project is to attract more Chinese tourists in Europe by promoting trans-European products and helping European operators penetrate the Chinese market.

This will be done during one major international fair in Europe and one in China, where promotion materials will be distributed, investment opportunities in the EU presented (a policy event) and multiple "Business to Business (B2B) matchmaking meeting" organised.

The events and B2B will mainly focus on cultural tourism and in particular on three different tourism products

1. Cultural Heritage
2. Enogastronomy
3. Creative industries including fashion

For each event, the contractor will manage the following tasks:

- I. Support in the organisation of the political part of the events;
- II. Preparation of the B2B meetings;
- III. Coaching of the participating SMEs;
  - a) Organisation of webinars;
  - b) Organisation of virtual pre-matching sessions and follow up of the B2B;
- IV. Support, Communication and Information Activities.

In order to implement this initiative, a call for tender was published on 11/02/2016. The implementation of the selected project started on 9/09/2016 after the entry into force of the contract.

## Intentions for the current year 2017:

Monitoring of the implementation of the project: interim reports should be submitted in April and September 2017, and the final report in January 2018.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of financing decision	26/06/2015
Publication of call for tenders	11/02/2016
Closing date of call for tenders	06/04/2016
Award decision	25/08/2016
Pre-financing payment	30/11/2016
Interim payment	April & November 2017
Final payment	February 2018

### 5.3 *Documentation and expected results*

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision C(2015)4275 of 26 June 2015 sets out the main elements for the implementation of the pilot project.

The call for tender documentation can be found at: <http://ted.europa.eu/udl?uri=TED:NOTICE:46162-2016:TEXT:EN:HTML&tabId=0>

Information on the pilot project can be found at <http://www.etc-corporate.org/events/world-bridge-tourism-european-launch>, as well as on a dedicated EU-China Tourism Year website : <http://ecty2018.org/>.

#### - Do similar activities exist, which are already covered by a legal basis?

Yes. A complementary action extending this pilot project (supporting more China related events on the occasion of the 2018 EU China Tourism year) and expanding its scope to the USA has been put in place under the COSME programme ("Supporting the internationalisation of EU tourism operators, the promotion of trans-European tourist products and the organisation of B2B matchmaking during international fairs - EASME/COSME/2016/ - contract signed on 13 March 2017)

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
WORLD BRIDGE TOURISM	Consortium composed of European Travel Commission AISBL (lead organisation) and European Tour Operators Association Limited	749 090

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented through a service contract following a call for tender.

### 5.6 *Types of beneficiaries*

European operators, i.e. mainly businesses and SMEs, but also to other entities developing or promoting tourism services and destinations, irrespective of their legal structure (private, public, mixed), in order to take into account the specificities of the tourism sector (cf. role and variable status of Destination Marketing Organizations).

## 1. Heading

### 02 02 77 23: Pilot project — Youth on the SPOT — Special Partnership on Tourism

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	494 660,00	247 330,00	p.m.	p.m.	p.m.	247 330	0,0 %	
Reserve								
Total	494 660,00	247 330,00	p.m.	p.m.	p.m.	247 330	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objective of the action is to facilitate the uptake of high quality jobs, apprenticeships and traineeships positions in the tourism sector across the EU, with a particular focus on SMEs.

Specific objectives of are to:

- 1) Promote through education providers the image of careers in the Tourism sector.
- 2) Enable and encourage jobseekers and tourism businesses, particularly SMEs to use existing tools and funding opportunities in order to find and offer apprenticeships and jobs in other EU countries, (e. g. EURES, Your First Eures Job, Drop' Pin, European Alliances for Apprenticeships, Erasmus+).
- 3) Promote such tools and funding opportunities, also through education providers.
- 4) Promote the importance of acquiring quality and relevant skills, particularly through transnational experiences, and encourage mobility across the EU, taking advantage of low season periods.
- 5) Facilitate exchanges of good practices, the development of networks and discussion platforms between education providers and the private sector to boost employability in the tourism sector
- 6) Support transnational cooperation and public-private partnerships to adapt the existing education provision so that it better meets the emerging needs of employers and reflects new trends and developments in the tourism sector (e.g.: digitalization, ageing of the population, new types of customers).

In order to implement this initiative, a call for proposals was published on 4 April 2016 and the implementation of the project started on 1st November 2016 for a duration of 18 months.

#### Intentions for the current year 2017:

In 2017, the project will be closely monitored by the submission of interim report after each period of 6 months. The first report should be submitted in June 2017. The final report should be submitted in July 2018.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	01/04/2016
Publication of the call for proposals	04/04/2016
Closing date of the call for proposals	09/06/2016
Award decision	October 2016
Signature of agreement	18/10/2016
Pre-financing payment	24/10/2016
Interim payment	N/A
Final payment	November 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The description of the implementation of this pilot project is included in the financing decision C(2016)1847 adopted on 1st April 2016.

Full documentation on the implementation of the actions and a summary of the selected project are available at:

[http://ec.europa.eu/growth/sectors/tourism/index\\_en.htm](http://ec.europa.eu/growth/sectors/tourism/index_en.htm)

[https://ec.europa.eu/growth/sectors/tourism/support-business/skills\\_en](https://ec.europa.eu/growth/sectors/tourism/support-business/skills_en)

<http://ec.europa.eu/DocsRoom/documents/20547>

### - Do similar activities exist, which are already covered by a legal basis?

Some activities promoting European tourism and tourism products are financed by the programme COSME (2014-2020).

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
IdEATE	Federazione Nazionale Industria di Viaggi e del Turismo with 10 partners	494 660

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented through an action grant following a call for proposals.

## 5.6 Types of beneficiaries

Stakeholders involved in education and training for the tourism sector, such as European, national or regional tourism industry associations, tourism education providers, authorities and agencies, governmental, regional and non-governmental tourism organisations, trade unions, youth associations.

## 1. Heading

### 02 02 77 24: Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	452 291,00	226 145,00	1 000 000	500 000	p.m.	426 146	-100,0 %	-14,8 %
Reserve								
Total	452 291,00	226 145,00	1 000 000	500 000	p.m.	426 146	-100,0 %	-14,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objectives of the first phase (budget 2016) of the action are to:

- Support the promotion of European and transnational tourism products and
- Increase the visibility of Europe in new emerging and established long-haul markets.

The final long-term aim is to bring new visitors from targeted third countries to Europe, as well as to increase tourism flows within Europe.

In 2016, the Commission signed a grant agreement with the European Travel Commission (ETC), association representing the National Tourism Organisations of 33 European countries. The project started on 1/01/2017 for a duration of 10 months.

#### Intentions for the current year 2017:

Evaluation of the first phase of the pilot project after the submission of the final report foreseen in December 2017.

Launching of the second phase of the pilot project (budget 2017) which will start by focusing on the following operational objectives:

- ensure the visibility of 'Destination Europe' in major third countries,
- strengthen public-private partnerships
- develop cooperative marketing tools and strategies

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	1/04/2016
Invitation - ad-hoc grant	8/09/2016
Submission date	24/10/2016
Award decision	6/12/2016
Pre-financing payments	19/12/2016
Final payments	January 2018

2017 appropriations

Step	Date
Adoption of financing decision	5 May 2017
Invitation - ad-hoc grant	May 2017
Submission date	July 2017
Award decision	September 2017
Pre-financing payments	November 2017
Final payments	January 2019

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

2016: Commission Financing Decision C(2016)1847 of 1 April 2016 sets out the main elements for the implementation of the pilot project.

2017 : Commission Financing Decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the pilot project.

Further information about EC cooperation with ETC are available on [https://ec.europa.eu/growth/sectors/tourism/promoting-europe\\_en](https://ec.europa.eu/growth/sectors/tourism/promoting-europe_en).

### - Do similar activities exist, which are already covered by a legal basis?

Some activities promoting European tourism and tourism products are financed under the programme COSME (2014-2020).

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Destination Europe Brand - Promoting Europe in the tourism sector	European Travel Commission	452 291

### **5.5 *Method of implementation (tenders, grants, other) and evaluations***

Implementation of 2016 appropriations via a grant awarded without a call for proposals to the European Travel Commission (ETC), in accordance with Article 128 of the Financial Regulation and Article 190(1)(f) of the Rules of Application, given that the ETC is the only organisation bringing together the national tourism organisations of the Member States, which are essential relays for this action.

The next phase 2017 of this pilot project is expected to be implemented in the same way.

### **5.6 *Types of beneficiaries***

European Travel Commission (ETC) and its member National Tourism Organisations



## 1. Heading

### 02 02 77 25: Pilot project — Entrepreneurial capacity building for young migrants

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 200 000,00	0,00	1 000 000	1 000 000	p.m.	1 102 000	-100,0 %	10,2 %
Reserve								
Total	2 200 000,00	0,00	1 000 000	1 000 000	p.m.	1 102 000	-100,0 %	10,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

It is key to empower young migrants and refugees to get access to knowledge and to develop skills that they can use to build a solid livelihood. In particular information, training and support should be given to young migrants and refugees to help them establishing their own business as a possible way to economic independence and integration, thus also creating employment for other people.

This action will support the creation, the improvement and the wider dissemination of schemes for migrant entrepreneurs. Starting from the identification of potential entrepreneurs among migrants and from raising the awareness within migrant communities, this action will focus on training (on entrepreneurship, business planning, legal aspects of setting up a company and hiring employees, etc.) and mentoring of migrants to help them becoming self-employed and build a successful enterprise. Support will be given also for the translation of training materials into the native languages of the refugees.

In order to implement this initiative a call for proposals was launched on 1/9/2016.

Given the high number of registered proposals (66), external evaluators have been hired to assist the Commission in the evaluation stage.

#### Intentions for the current year 2017:

The 66 proposals have been evaluated in February 2017 and the Evaluation committee has recommended awarding 4 grants. The validation process and preparation of grant agreements is ongoing.

The Commission intend to launch a new call for proposal in 2017 in order to use the 2017 appropriations.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	1 April 2016
<b>Call for proposals</b>	
Publication of call for proposals	01/09/2016
Closing date of call for proposals	30/11/2016
Award decision	04/04/2017
Pre-financing payment	June 2017
Interim payment	June 2018
Final payment	First Quarter 2019
<b>External evaluators</b>	
Selection of evaluators	November/December 2016
Signature of contracts	December 2016
Payments	February / March 2017

2017 appropriations

Call for proposals	
Step	Date
Adoption of financing decision	5 May 2017
Publication of call for proposals	01/09/2017
Closing date of call for proposals	30/11/2017
Award decision	March 2018
Pre-financing payment	June 2018
Interim payment	June 2019
Final payment	First Quarter 2020

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

2016: Commission Financing Decision C(2016)1847 of 1 April 2016 sets out the main elements for the implementation of the pilot project.

2017: Commission Financing Decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the pilot project.

### - Do similar activities exist, which are already covered by a legal basis?

No, but a study on “Evaluation and Analysis of Good Practices in Promoting and Supporting Migrant Entrepreneurship” produced a final report in August 2016 and its findings contributed to the preparation of this action.

Cf. also information available at [http://ec.europa.eu/growth/smes/promoting-entrepreneurship/we-work-for/migrants/index\\_en.htm](http://ec.europa.eu/growth/smes/promoting-entrepreneurship/we-work-for/migrants/index_en.htm)

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

#### **5.4 Type of activities financed, number and amounts**

2016 appropriations:

As the procedure process for the call for proposals of 2016 is not fully finalised, the information is not yet available.

Information on selected projects should be available in June 2017 on the following website: [http://ec.europa.eu/growth/smes/promoting-entrepreneurship/we-work-for/migrants\\_en](http://ec.europa.eu/growth/smes/promoting-entrepreneurship/we-work-for/migrants_en)

An amount of EUR 42 084,25 was used for external evaluators hired to assist the Commission in the evaluation stage.

#### **5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project is implemented through calls for proposals.

External evaluators have been selected through Expert Management database managed by DG RTD.

#### **5.6 Types of beneficiaries**

Stakeholders such as business advisory services, chambers of commerce, professional organisations, educational institutions, governmental and non-governmental organisations, co-operatives and social enterprises

## 1. Heading

### 02 02 77 26: Pilot project — Sharing Economy Startup Initiative — Financing the future of European entrepreneurship

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 500 000,00	0,00	p.m.	1 150 000	p.m.	500 000	0,0 %	-56,5 %
Reserve								
Total	2 500 000,00	0,00	p.m.	1 150 000	p.m.	500 000	0,0 %	-56,5 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project is implemented by way of studies (EUR 500.000) and a call for proposals (for EUR 2.000.000)

The objective of this pilot project is to help SMEs, often with a clear social added value, to participate in the collaborative economy. Selected Enterprise Europe Network organisations need to provide a mapping of the shared economy players in their region and link-up with regional stakeholders, in particular with collaborative platforms. They will provide advisory services and facilitate partnering of young companies who can take benefit from the collaborative economy to scale-up their activities and with the potential to participate in collaborative economy platforms.

To support the commitment to monitor the collaborative economy, two studies have been launched on the business environment surrounding the collaborative economy. The scope of the studies will be all EU member states. The studies will have the following specific objectives:

- Identify the aspects of the business environment at member state and sector level that are most important for facilitating or hindering the development of the collaborative economy.
- Describe in a comparative overview how those aspects of the business environment, regulatory or other, are handled in each member state.
- Develop a set of indicators to measure key features of the business environment affecting the collaborative economy in each member state.
- Develop a composite indicator that will be used to benchmark the business environment in individual member states Measure and compare the current level of development of the collaborative economy at member state and sector level. (It is intended that the composite indicator will be published in the Single Market Scoreboard.)
- Suggest changes to the business environment that would promote further growth in the collaborative economy.

- vi. Examine the quality and types of services provided by collaborative platforms vis-à-vis those offered by traditional enterprises in member states.
- vii. Identify the presence and effect of regulation on economic development at member state and sector level. (Identify sectors where growth in collaborative platforms is being affected by current regulations.)
- viii. Assess the level of cross-border development of collaborative platforms (in the context of the Commission's policy goal to create a single digital market.)
- ix. Suggest changes in the regulatory environment that would promote further growth in the collaborative economy.

A call for proposals for an overall amount of EUR 2.000.000 will address the partner organisations of the Enterprise Europe Network (EEN) which is the support structure created by the Commission to increase the competitiveness of entrepreneurs and SMEs in the EU. Addressing the call to the EEN allows the Commission to use the governance structure, tools and databases and manage the action in a more efficient way. It will also capitalise on the experience of the EEN Scale-up advisors and best practices of the EEN Sector Groups in various sectors of activity.

**Intentions for the current year 2017:**

The two studies are ongoing. The call for tenders was published on 19 December 2016. The call for proposals was published on 5 January 2017.

**5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2016 appropriations

Step	Date
<b>Studies (EUR 500.000)</b>	
Adoption of financing decision	25 November 2016
Award decision & Signature of specific contracts under existing framework contracts	16 March 2017
Pre-financing payment(s)	NA
Interim payment(s)	Third quarter 2017
Final payment(s)	First quarter 2018
<b>Call for proposals (EUR 2.000.000)</b>	
Adoption of financing decision	21 December 2016
Publication of call for proposals	5 January 2017
Closing date of call for proposals	20 April 2017
Award decision	20 June 2017
Pre-financing payment(s)	22 August 2017
Interim payment(s)	none
Final payment(s)	15 December 2019

**5.3 Documentation and expected results**

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission financing decisions C(2016)7551 of 25 November 2016 and C(2016)8724 of 21 December 2016 set out the main elements for the implementation of the pilot project.

Information on the call for proposals (closed since 20/4/2017) is still available on the Europa Website at the following address: [http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item\\_id=9049](http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item_id=9049)

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

Not known at this stage.

**5.4 Type of activities financed, number and amounts**

2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Study to monitor the business environment affecting the collaborative economy in EU Members States	JIP	322 200
Study to assess the economic development of the collaborative economy in the EU at sector level	VVA	148 800

As the evaluation of the proposals received for the call for proposals started recently, the results are not yet known.

**5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project is implemented via:

- specific contracts based on an the framework contract ENTR/172/PP/2012/FC – LOT 1 and 2.
- a call for proposals addressed to Enterprise Europe Network (EEN) partners in the EU that have been awarded a Framework Partnership Agreement for the period 2015-2020.

**5.6 Types of beneficiaries**

Studies: The Joint Institute for Innovation Policy (JIIP) is a joint venture of four renowned Research and Technology Organisations (RTOs) from four different European Countries: TNO (NL), VTT (FI), Joanneum Research (AT) and Tecnalia (ES). JIIP provides intelligence to support policy-making with a focus on research and innovation policy.

Valdani, Vicari & Associati is a European company with an international team. It works across a variety of fields, from business consulting and market research to transfer pricing to economic and market studies on EU policy.

Call for proposals: Enterprise Europe Network (EEN) partners in the EU that have been awarded a Framework Partnership Agreement for the period 2015-2020.

## 1. Heading

### 02 02 77 27: Pilot project — Reducing youth unemployment and setting up cooperatives to enhance working opportunities in the EU

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	27 935,99	p.m.	p.m.	p.m.	224 975	0,0 %	
Reserve								
Total	500 000,00	27 935,99	p.m.	p.m.	p.m.	224 975	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The aim of this pilot project is to establish best practices in tackling youth unemployment by helping young people create and work in co-operatives.

Specific objectives of this pilot project are:

(1) Identification of existing training methods/ courses in secondary schools and universities related to cooperative entrepreneurship in curricula.

(2) Conception of the training model/preparatory actions for setting up the programme in three different countries. Design training courses (incl. theoretical and practical approach) for young people in upper secondary school and universities on how to start their own activity in the social or business field through the model of a cooperative.

Training and dedicated actions can for instance focus on how to:

- improve knowledge of the cooperative business concept;
- guide students in implementing their own ideas, help students to start up business in form of a cooperative;
- stimulate professional and practical training /internships or apprenticeship in co-operatives (ideas on how to better use specific EU programs such as Erasmus for Young entrepreneurs should be included in the proposal).

In order to implement this pilot project, a call for proposals was published on 12/04/2016. Given the relatively high number of registered proposals (17), external evaluators were hired to assist the Commission in the evaluation stage.

#### Intentions for the current year 2017:

Two projects have been selected in January and the agreements have been signed in March 2017. The implementation will start on 1/04/2017 for a period of 12 months.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	01/04/2016
<b>Call for proposals</b>	
Publication of the call for proposals	12/04/2016
Submission date	30/06/2016
Award decision	12/01/2017
Signature of agreements	March
Pre-financing payment	April 2017
Interim payment	NA
Final payment	July 2018
<b>External evaluators</b>	
Selection of evaluators	August 2016
Signature of contracts	September 2016
Payments	October 2016

## 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Financing decision C(2016)1847 of 1 April 2016 sets out the main elements for the implementation of the pilot project.

Documentation on the call for proposals is available at: [http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item\\_id=8769](http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item_id=8769)

Information on selected projects will be published shortly on [https://ec.europa.eu/growth/sectors/social-economy/cooperatives\\_fr](https://ec.europa.eu/growth/sectors/social-economy/cooperatives_fr)

**- Do similar activities exist, which are already covered by a legal basis?**

Some activities promoting Social Business Initiative are financed by the programme COSME (2014-2020).

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ECOOPE	University of Cantabria + 6 partners	224 991
COOPilot	Pour la Solidarité + 10 partners	225 000
Evaluation of proposals	External evaluators	27 936

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented through a call for proposals.

External evaluators have been selected through Expert Management database managed by DG RTD.



## 5.6 *Types of beneficiaries*

Stakeholders such as business advisory services, chambers of commerce, professional organisations, educational institutions, governmental and non-governmental organisations, co-operatives enterprises with clear experience in developing youth entrepreneurship training and education schemes.

## 1. Heading

### 02 03 77 04: Pilot project — Support measures for traditional retailing

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	181 185,00	p.m.	500 000	p.m.	318 815	0,0 %	-36,2 %
Reserve								
Total	0,00	181 185,00	p.m.	500 000	p.m.	318 815	0,0 %	-36,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of the pilot project is to identify solutions to foster modernisation of small retailers and to analyse issues around access to finance. During 2015, the objective was to publish terms of reference for the open call of tender(s) aiming (1) at developing solutions and a guide on fostering the revitalisation and modernisation of the small retail sector and (2) developing solutions and a guide on access to financing and funding for small retailers.

Two calls for tenders were launched in 2015 for a total maximum budget of EUR 1 000 000:

- ‘Development of an online guide on access to financing and funding for small retailers’ (max. EUR 350 000) - call No 485/PP/GRO/PPA/15,
- ‘Development of solutions and an online guide on fostering the revitalisation and modernisation of the small retail sector’ (max. EUR 650 000) - call No 486/PP/GRO/PPA/15.

The purpose of the call for tender is to identify best practices for the revitalisation and modernisation of the small retail sector – with a particular focus on the use of digital technologies - and to develop practical solutions to help the sector to do this.

Results to be achieved:

- a) A report, including in particular an initial analysis of the problems faced by the small retail sector and of existing actions across the EU to promote the revitalisation and modernisation of the sector.
- b) An online, easily printable guide aimed primarily at national, regional and local authorities on how they can contribute to promoting the revitalisation and modernisation of small retailers.

The aim is to identify best practices on fostering the revitalisation and modernisation of the small retail sector and to develop practical solutions to help the sector in this respect. An online guide shall be developed on the basis of the analysis performed. In some Member States across the EU, work is being undertaken to understand how digital transition, and in particular e-commerce, can help small retailers and revitalise high streets.

The evaluation procedures for both calls were finalised and the contracts signed in 2016.

### Intentions for the current year 2017:

The implementation of the contracts will continue, with interim report delivered in January 2017 and final reports to be delivered in January 2018.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of financing decision	26 June 2015
Publication of call for tender	26/09/2015 for No 485/PP/GRO/PPA/15, 16/09/2015 for No 486/PP/GRO/PPA/15
Closing date of call for tender	5 November 2015
Award decision	3 May 2016
Pre-financing payment(s)	July 2016
Interim payment(s)	January 2017
Final payment(s)	January 2018

### 5.3 *Documentation and expected results*

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission financing decision C(2015) 4275 of 26 June 2015 sets out the main elements for the implementation of the pilot project.

The call for tenders documentation can be found at published on the Europa website:

Call for tender 485/PP/GRO/PPA/15 'Development of an online guide on access to financing and funding for small retailers': <http://ted.europa.eu/udl?uri=TED:NOTICE:337665-2015:TEXT:EN:HTML>

Call for tender 486/PP/GRO/PPA/15 'Development of solutions and an online guide on fostering the revitalisation and modernisation of the small retail sector': <http://ted.europa.eu/udl?uri=TED:NOTICE:337664-2015:TEXT:EN:HTML>

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Not known at this stage

### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Actions taken in the framework of the call for tender 485/PP/GRO/PPA/15	Call for tender not awarded and procedure abandoned	-
Actions taken in the framework of the call for tender 486/PP/GRO/PPA/15	ECORYS Brussels in consortium with University of Oxford	603 950

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project was implemented through calls for tender.

## 5.6 *Types of beneficiaries*

Ecorys is an international company providing research, consultancy and management services. They specialise in economic, social and spatial development and aim to deliver real benefit to society through the work we do. They are one of the oldest research and consulting companies in Europe.

## 1. Heading

### 02 03 77 05: Pilot project — Dynamic development of cross-border e-commerce through efficient parcel delivery solutions

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %
Reserve							
Total		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

The objective of the pilot project is to collect, publish and communicate through experts the best practices available in the area of the cross-border parcel delivery services, based on successful implementations of all market participants. The aim is to help all Member States reach a common level of delivery efficiency and tackle disparities, while at the same time identifying potential areas for further actions where the customer satisfaction level should be further addressed.

The project could encompass 4 steps:

- (1) a comprehensive assessment of the needs of users of e-commerce related (cross-border) parcel delivery;
- (2) an assessment of the extent to which existing delivery industry practices meet these needs;
- (3) identification of good industry practices in this field;
- (4) gap analysis identifying areas where additional innovation and service improvements are needed to satisfy existing and emerging consumer needs.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	5 May 2017
Publication of call for tender	Q2 2017
Closing date of call for tender	Q3 2017

Step	Date
Award decision	Q4 2017
Pre-financing payment(s)	Q4 2017
Progress reports	Q1 & Q 22018
Interim study	Q3 2018
Interim payment(s)	Q4 2018
Draft final study	Q4 2018
Final study and final payment(s)	Q4 2018/ Q1 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission financing decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

The pilot project will complement another study on the main developments in the postal sector which will focus on the wider postal sector and the costs of the (postal) universal service obligation financed by the Internal Market for Goods and Sectorial Policies budget line. (The majority of parcels are outside the scope of universal service obligation). Both studies will be inputs for the Commission's next Report to the European Parliament and Council on the Application of the Postal Services Directive, as required by 2008/6/EC.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

Not known. The Report to the European Parliament and Council on the Application of the Postal Services Directive (2008/6/EC) may be accompanied, where appropriate, with proposals to the European Parliament and Council.

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented via a direct contract or specific contracts based on an existing framework contract.

### 5.6 *Types of beneficiaries*

To be determined

## 1. Heading

### 02 04 77 04: Pilot project — Space technologies

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 500 000	750 000	p.m.	750 000	-100,0 %	0,0 %
Reserve							
Total		1 500 000	750 000	p.m.	750 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 Budget (under nomenclature 02 03 77 06).

#### Intentions for the current year 2017:

The Space Strategy for Europe (COM(2016)705) announced that the Commission would explore new approaches to leverage private sector investments and partnerships with industry including through a joint technology initiative (JTI). Such an action will support improved technology readiness throughout the space industrial value chain in a balanced way and is expected to accelerate innovation in space systems, supported by the risk-free market introduction of mature space technologies, thus paving the way for European competitiveness and non-dependence in space applications and services.

The pilot project is expected to contribute to the implementation of this action. In particular, the pilot project will contribute to assessing the extent to which a public private partnership through a JTI can foster private sector commitment and investment for large-scale, longer term and high-risk/reward research in the strategic area of space technologies including technology demonstrators.

The pilot project would primarily aim at testing a partnership with the manufacturing industry taking into account two pilot themes: innovative materials for space equipment and cleaner space through deorbiting.

The pilot project will last two years and comprise the following tasks: (i) analysis of the JTI approach (e.g. definition, needs and drivers, participants, governance, processes, links with relevant European activities); (ii) prototyping of a JTI workplan process taking into account the two pilot subjects (e.g. strategic research and innovation agenda, technology planning, roadmapping; workplan); (iii) outreach and communication (e.g. workshops, concept papers).

In execution of the above tasks, the pilot project is expected to involve relevant European stakeholders in the technology supply chain (manufacturing industry, research establishments, and laboratories) and ensure the consultation of technology end-users (national space agencies, the European Space Agency and operators), as well as to interact with the respective Union advisory bodies as defined by the Commission.

It is foreseen that the pilot project will be implemented via a grant awarded without a call for proposals to the Association of the European Space Industry ("Eurosace"). The pilot project will be implemented in two years (2017-2018) on the basis of this grant.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	5 May 2017
Invitation to submit the proposal	June 2017
Closing date of the invitation to submit the proposal	July 2017
Award decision	September 2017
Pre-financing payment	November 2017
Interim payment(s)	-
Final payment(s)	December 2019

## 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission financing decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the preparatory action.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

Not at this stage.

## 5.4 *Type of activities financed, number and amounts*

It is envisaged to award a grant without call for proposals to the Association of the European Space Industry ("Eurosace") in accordance with Article 128 of the Financial Regulation (and Article 190(1)(f)) of the Rules of Application) on account of the beneficiary's representative power, administrative and technical competence. . The final amount of the grant will be defined in accordance with the rules applicable to grants, and on the basis of the proposal and budget to be agreed with the beneficiary.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by way of an action grant.

## 5.6 *Types of beneficiaries*

Association of the European Space Industry ("Eurosace")



## 1. Heading

### 04 03 77 17: Pilot project — Social security card

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	700 000,00	454 550,00	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %
Reserve								
Total	700 000,00	454 550,00	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2015 and 2016 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project entitled "Social security card" was proposed by the European Parliament with the aim to investigate the benefits and options for introducing a European electronic document containing individuals' social security details. With this aim, the Commission decided to put this pilot project in place as a thorough assessment of the latest advances in technology, and to launch a feasibility study on a "European Mobility Portal on Social Security", which could provide a service and tools helping mobile citizens in their contacts with national authorities concerning social security across borders, including access to their social security details and tools facilitating the interaction between citizens and public authorities. The scope of the investigation was widened compared to the initial proposal, to ensure that the latest advancements in technology are taken into account, considering that the idea to create a physical card may become obsolete in the near future.

The study is financed by the funds allocated from the pilot project budget line for 2015, and also additional resources from EaSI funds, which were allocated to cover the widened scope. The contract for carrying out the feasibility work was awarded end of 2015 following an open call for tender, it has a total value of EUR 909 100, with the final results expected for 2017. Further steps towards practical implementation of such a tool or service will be assessed based on the outcomes of the study and consultations with relevant stakeholders.

#### Intentions for the current year 2017:

The feasibility work for the study on a "European Mobility Portal on Social Security" ran throughout 2016, final results are expected for the second quarter of 2017.

The study aims at assessing the existing tools and services at EU and Member States' level, as well as at assessing the feasibility, benefits and options of creating a tool to facilitate the interaction between citizens and national authorities across borders in the field of social security, based on the latest advancements in technology. The results of the work are expected to provide recommendations of the best way to eventually put the initiative in practice, by ensuring synergies and consistency with existing and planned initiatives.

Once the final results are available, these will be assessed in consultation with relevant stakeholders to define any follow-up, if deemed appropriate. Given that this assessment will only take place following the finalisation of the

study, the commitment appropriations voted for the 2016 budget for the continuation of the pilot project were the subject of a global commitment in 2016. The exact type of a potential follow-up action will be defined in the course of 2017 based on the outcomes of the study and the assessment of next steps, as it was specified in the Commission Financing Decision C(2016)2449 of 27 April 2016.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of financing decision	30 April 2015
Publication of call for tender	25 July 2015
Closing date of call for tender	11 September 2015
Award decision	1 December 2015
1st Interim payment	November 2016
2nd Interim payment	December 2016
Final payment	Forecast for June 2017

2016 appropriations

Step	Date
Commission financing decision	27 April 2016
Decision on a specific action deemed appropriate	2017 – Q3
Payments	2017-2018

As the final results of the ongoing feasibility work are expected for 2017, the funds allocated for the continuation of this pilot project for the 2016 budget were subject to a global commitment in 2016. This will allow using the funds as a follow-up of the currently ongoing feasibility study if deemed appropriate after assessment of the outcomes of the study.

As stipulated in the Commission Financing Decision C(2016)2449 of 27 April 2016, such a follow-up action would be implemented through a direct contract, with the exact details of its content and timetable to be determined by the third quarter of 2017.

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2015)2809 of 30 April 2015 sets out the main elements for the implementation of the pilot project.

The call for tender VT/2015/034 was published on the Europa website:

<http://ec.europa.eu/social/main.jsp?catId=624&langId=en&callId=458&furtherCalls=yes>

For 2016, the Commission Financing Decision C(2016)2449 of 27 April 2016 sets out the main elements for the possible follow-up of the currently ongoing feasibility study financed with the 2015 appropriations.

#### **- Do similar activities exist, which are already covered by a legal basis?**

No. However, the EaSI Programme funds were added in 2015 in order to cover the widened scope of the feasibility study compared to the original proposal for the pilot project, as explained under section 5.1 above.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Feasibility Study on a "European Mobility Portal on Social Security" – Social Security at Your Fingertips	COWI A/S and partner Gartner Belgium BVBA	909 100

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Open call for tender.

#### 5.6 *Types of beneficiaries*

The beneficiary of the open call for tender and entity doing the feasibility work is a private company. As the pilot project aims at investigating the opportunity of setting up a tool that will benefit both mobile citizens across Europe and national administrations by facilitating exchanges between them across borders and speeding up procedures, the results of the study will help the EU institutions and relevant stakeholders in assessing the feasibility and opportunity of launching such an initiative.

## 1. Heading

### 04 03 77 24: Pilot project — Quality employment for job starters through entrepreneurship

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	700 000,00	0,00	p.m.	350 000	p.m.	350 000	0,0 %	0,0 %
Reserve								
Total	700 000,00	0,00	p.m.	350 000	p.m.	350 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under 2016 budget.

#### Objectives followed during 2016 implementation:

The funds allocated for this pilot project were subject to two different global commitments in December 2016 related to the following activities:

- Development of a web interface for an entrepreneurship policy toolkit (estimated budget EUR 200 000);
- Test an entrepreneurship policy toolkit on youth entrepreneurship policies (estimated budget EUR 500 000).

Individual commitments will be signed in 2017.

#### Intentions for the current year 2017:

The pilot project will establish whether youth entrepreneurship initiatives are effective in creating long-term quality employment, especially amongst young people.

The pilot project ties in with the European Parliament Committee on Employment's priorities with regard to youth unemployment/youth entrepreneurship and the Youth Guarantee and Youth Employment Initiative.

The following actions and measures will be funded:

- monitoring entrepreneurs in Europe; and
- verifying what influence current policies have on creating quality employment for job starters.

The pilot project will accelerate the development of an online entrepreneurship policy self-assessment and guidance toolkit which will help national, regional and local policy makers design and implement entrepreneurship strategies, policies and programmes, and test the different versions of the toolkit on youth entrepreneurship policy.

The development part of this activity will be implemented using an existing communication framework contract to build a web interface for an entrepreneurship policy toolkit.

The testing will be contracted to an external party with the appropriate qualifications, implemented by the use of an existing framework contract.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	27 April 2016
Requests for services under existing framework contracts	First half of 2017
Signature of specific contracts under existing framework contracts	Second half of 2017
Pre-financing payment(s)	Second half of 2017
Interim payment(s)	First half of 2018
Final payment(s)	Second half of 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Decision C(2016)2449 of 27 April 2016 sets out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As the procedures will be launched and completed in 2017, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented through the use of two existing framework contracts.

## 5.6 *Types of beneficiaries*

Communication (website building) and other service providers

## 1. Heading

### 04 03 77 26: Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	383 257,41	2 000 000	1 500 000	p.m.	1 000 000	-100,0 %	-33,3 %
Reserve								
Total	2 000 000,00	383 257,41	2 000 000	1 500 000	p.m.	1 000 000	-100,0 %	-33,3 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets, under budget line number 15 02 77 12.

#### Objectives followed during 2016 implementation:

The overall goal of the pilot project is to facilitate (longer-term) apprentice mobility by testing various models for putting in place the necessary infrastructure (e.g. services including accommodation, language courses, practical information, coaching and insurance arrangements). The project also looks into ways and means of making apprenticeships more attractive to young people.

The 2015 Riga declaration by the ministers in charge of VET committed to raising the status of VET and increasing cooperation to promote innovation and excellence in VET. One of the main actions scheduled to do this in 2016 was the organisation of a Vocational Skills Week across different Member States. This was part of the supporting activities to the pilot project.

This 2016 pilot project's commitment appropriations were split as follows:

- for grants: EUR 1 800 000
- for procurement: EUR 200 000 (Vocational Skills Week)

The following activities were undertaken:

- An open call for proposals was published under the reference VP/2016/010. Two projects were accepted and are currently being implemented by the beneficiaries.
- The first ever "Vocational Skills Week" took place on 5-9 December 2016 and aimed at raising the attractiveness of VET through initiatives that put emphasis on excellence and quality in VET. Apprenticeships and work based learning were among the major focuses of the initiative.

### Intentions for the current year 2017:

The pilot project has attracted a lot of interest from stakeholders. In order to build on that interest, the project is being continued in 2017 in order to offer more apprentices long-term mobility opportunities. The extension for a further year will also allow the conclusions of the assessment currently being conducted to be fleshed out.

The actions to be undertaken in 2017 are:

- (1) A call for proposals VP/2017/007 (for a total amount of EUR 2 841 856) to fund a limited number of trans-European projects involving key stakeholders in the field of vocational training and seeking to develop sustainable systems and frameworks for cross-border apprentice mobility, with a view to preparing and scaling-up long-term quality mobility with a minimum duration of six months;
- (2) Design of a communication plan focusing on apprenticeships and apprentice mobility. The aim is to reach out to all stakeholders, in particular companies and institutions that will host apprentices. Communication activities with the aim of raising awareness on apprenticeships and apprentice mobility are being carried out through a range of (existing) EU-level initiatives, including: the European Alliance for Apprenticeships; the Commission's support for the European Pact for Youth; and especially the second "Vocational Skills Week" (2017 edition), planned for 20-24 November 2017.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

Step	Date
Adoption of financing decision	3 March 2016
<i>Part A - Grants</i>	
Publication of call for proposals	3 March 2016
Closing date of call for proposals	15 April 2016
Signature of grant agreements	September 2016
Pre-financing payment(s)	September 2016
Interim payment(s)	Third quarter 2017
Final payment(s)	Third quarter 2018
<i>Part B - Procurement</i>	
Activities launched throughout the year (3 <sup>rd</sup> and 4 <sup>th</sup> Quarters 2016). The "Vocational Skills Week" took place on 5-9 December 2016	

2017 appropriations

Step	Date
Adoption of financing decision	15 December 2016
Publication of call for proposals	25 January 2017
Closing date of call for proposals	29 March 2017
Award decision	Third quarter 2017
Pre-financing payment(s)	Third quarter 2017 and second quarter 2018
Interim payment(s)	No interim payment
Final payment(s)	1st Semester 2019

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

For 2016 appropriations: Commission Decision C(2016)1267 of 03/03/2016 sets out the main elements for the implementation of the pilot project.

The call for proposals VP/2016/010 was published on the Europa Website:

<http://ec.europa.eu/social/main.jsp?catId=629&langId=en&callId=474&furtherCalls=yes>

2017 Commission Decisions C(2016)8412 of 15/12/2016 set out the main elements for the implementation of the pilot project. The same decision amends the 2016 financing decision to enable adding unused 2016 appropriations to the 2017 call for proposals.

The call for proposals VP/2017/007 was published on the Europa Website:

<http://ec.europa.eu/social/main.jsp?catId=629&langId=en&callId=504&furtherCalls=yes>

Information on the 2016 and upcoming 2017 'Vocational Skills Week' can be found at:

<http://ec.europa.eu/social/main.jsp?langId=en&catId=1261>

**- Do similar activities exist, which are already covered by a legal basis?**

Mobility (of durations up to 12 months) of VET learners (and recent graduates) is covered by Erasmus+, but the pilot project goes beyond this, as it also finances the framework conditions, as well as analytical and dissemination/promotions activities.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

It is the intention to include a specific strand on longer-duration mobility of VET learners and recent graduates in Erasmus+, based among others on the recommendations resulting from the pilot project and labelled "ErasmusPro" (as from 2018).

#### 5.4 *Type of activities financed, number and amounts*

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Grant VS/2016/0218	ESCOLA SANT FRANCESC SL	176 014,66
Grant VS/2016/0216	Les Compagnons du Devoir et du Tour de France	782 128,88
Contract VC/2016/0685	Destrée	200 000,00

As the 2017 call for proposals is ongoing, these details are not yet known.

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is primarily implemented by calls for proposals. Part of the 2016 appropriations was used by way of procurement for communication activities, in particular the organisation of the "Vocational Skills Week" of December 2016.

#### 5.6 *Types of beneficiaries*

For the calls for proposals: lead applicants, co-applicants and affiliated entities must be public or private organisations active in the field of VET in the EU



## 1. Heading

### 06 02 77 07: Pilot project — Beyond traffic jams: intelligent integrated transport solutions for road infrastructure

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	650 000	p.m.	650 000	0,0 %	0,0 %
Reserve								
Total	0,00	0,00	p.m.	650 000	p.m.	650 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The Commission published a call for tender for the implementation of the pilot project on 6 November 2015. Following the award procedure a contract was signed on 27 June 2016.

The appropriations will be used to finance a pilot project of C-ITS deployment in an urban environment. Its principal objective is to identify and demonstrate benefits from the application of C-ITS in an urban environment by taking stock of the C-ITS achievements on the higher ranking motorway network to support interoperable pilot deployment of solutions.

C-ITS refers to the use of wireless communication technologies in order to enable parts of the transport network to share information to improve decision making and optimise transport outcomes. Vehicle to vehicle (V2V) and vehicle to infrastructure (V2I) communication are two important elements for the next step of integrated traffic management solutions in Europe. C-ITS is currently making its first steps from R&D to large scale pilot deployment in Europe (with similar initiatives occurring in Japan and USA).

The project will implement a pilot methodology organised in two phases that will take advantage of existing state-of-the-art C-ITS implementation in the two pilot sites, Bordeaux and Helmond, and deep knowledge built by consortium members on C-ITS service identification, implementation, operations and impact assessment. Piloting activities will start within 6 months with C-ITS services already in operations based on existing C-ITS equipped infrastructure and vehicle fleets. In parallel identification and implementation of second phase of C-ITS services to be piloted later will be carried out.

The pilot project will produce a comprehensive and integrated impact assessment of C-ITS services enabled by up to 18 months operation of packages of existing and new C-ITS services with private and professional users in an extended urban environment composed of extensive road network (60 G5 Road side units and 900 3G equipped traffic lights) including interface with interurban infrastructure, use of hybrid communications (G5 and 3G/4G) and large fleets of vehicles from different categories (over 140 G5 OBU equipped vehicles and over 1000 users via mobile phone apps). Based on learnings from past and ongoing C-ITS piloting activities (e.g. COMPASS4D, SCOOP@F)

enhanced evaluation methodology will be developed. The number of users will be stimulated by the existing end users awareness on C-ITS built from past and on-going piloting activities in both pilot sites.

The proposed pilot methodology aims:

- to improve existing C-ITS service implementation according to needs expressed by cities hosting pilot sites
- to secure up to 18 months of C-ITS service operations
- to demonstrate the capacity to upscale deployment with flexible approach
  - by increasing number of C-ITS services
  - and/or increasing number of vehicles and users
  - and/or increasing size of pilot site by means of additional road side equipment and/or use of complementary communication technologies (G5, 3G/4G)
- to enhance evaluation methodology used for past and ongoing C-ITS piloting activities
- to collect necessary quality and quantity of data for continuous comprehensive impact assessment
- to provide tangible facts and evidence on the impact of piloted C-ITS services on road safety, reduction in traffic congestion, reduction in fuel consumption and emissions and increased efficiency in logistics operations
- to demonstrate realistic deployment scenario
- to enable early start of knowledge sharing with other cities through city twinning programmes.

**Intentions for the current year 2017:**

The contractor shall submit an invoice for an interim payment of EUR 779 988 (60 % of the total amount) Invoices for interim payment shall be accompanied by an intermediate report, to be submitted until the end of June 2017.

Phase 1 of the Pilot project dealing with the identification of C-ITS services to be piloted and evaluated by upgrading the existing C-ITS services using existing equipped infrastructure will be finished before summer 2017, after carrying out the:

- Upgrade of existing C-ITS services operational in COMPASS4D in order to make them more reliable and to expand the operational geographic area of these services by combining G5 and 3G communication technologies.
- Development of new C-ITS services addressing new use cases and software upgrade of existing CITS roadside and in-vehicle equipment to run new C-ITS services (Day 1 and Day 1.5). Merge of local existing C-ITS and ITS applications which are of interest to the local authorities and service providers in order to permit end users to have access to a maximum of services through one android smartphone application.

Immediately after Phase 1, Phase 2 will start with the implementation of new C-ITS services and upgrade of infrastructure and vehicle fleets, while monitoring and collecting data along a 12 months period to be evaluated, covering:

- Supply and installation of additional C-ITS roadside and in-vehicle equipment at the identified new locations and users fully compliant with ETSI/CEN C-ITS standards and integrated with installed base of local ITS systems and services.
- Compliance, interoperability and performance tests before C-ITS service operations.
- Close interactions with impact assessment activities to ensure proper data collection according to requirements from evaluation methodology.

The evaluation methodology will be based on the FESTA methodology, taking into account experiences of projects such as DRIVE C2X, COMPASS4D and SCOOP@F. FESTA is a methodologically sound approach to setting up and evaluating Field Operational Tests (FOTs) and pilots<sup>1</sup>. It includes impact assessment in the areas of safety, traffic efficiency and environment.

Together with the an intermediate report, the contractor is expected to submit an invoice for an interim payment of EUR 779.988, seven hundred and seventy nine thousand and nine hundred and eighty eight Euros, equal to 60 % of the total amount. to be submitted until the end of June 2017.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	19 May 2015
Publication of call for tender	6 November 2015
Closing date of call for tender	20 January 2016
Award decision	27 June 2016
Submission of the Interim Report	27 June 2017
Interim Payment	until 27 August 2017
Submission of the Final Progress Report	26/07/2018
Payment of the Balance	September 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2015) 3253 of 19 May 2015 sets out the main elements for the implementation of the pilot project.

The call for tender was published on the Europa website (contract notice 2015/S 213-387533): [http://ec.europa.eu/transport/facts-fundings/tenders/index\\_en.htm](http://ec.europa.eu/transport/facts-fundings/tenders/index_en.htm)

Other reference documents include:

The 2008 Communication from the Commission “Action Plan for the Deployment of Intelligent Transport Systems in Europe” in its Action Area 4 (Integration of the vehicle into the transport infrastructure) includes a specific EU-level policy action 4.2 related to the “Development and evaluation of cooperative systems in view of the definition of a harmonised approach; assessment of deployment strategies, including investments in intelligent infrastructure” and policy action 4.3 “Definition of specifications for infrastructure-to-infrastructure (I2I), vehicle-to-infrastructure (V2I) and vehicle-to-vehicle (V2V) communication in co-operative systems”. These actions address notably the wider deployment of C-ITS in Europe.

Directive 2010/40/EU on the framework for the deployment of Intelligent Transport Systems in the field of road transport and for interfaces with other modes of transport.

The importance of the policy was reiterated in the 2011 White Paper “Roadmap to a Single European Transport Area - Towards a competitive and resource efficient transport system” which, in its initiative 16 requires, by 2020, to “harmonise and deploy road safety technology – such as driver assistance systems, (smart) speed limiters, seat-belt reminders, eCall, cooperative systems and vehicle-infrastructure interfaces”.

The contractor shall submit a final progress report and any other supporting documents delivering a set of results that will contribute to make significant progress in the preparation for large-scale deployment of C-ITS services in urban environment.

These results will be shared with the C-ITS Platform to feed discussions according to C-ITS Platform Recommendations and will be integrated into the Final Study Report

### - Do similar activities exist, which are already covered by a legal basis?

CEF Transport Project 2014-EU-TA-0669-S - SCOOP@F is a Cooperative ITS pilot deployment project that intends to connect approximately 3000 vehicles with 2000 kilometres of roads. It consists of 5 specific sites with different types of roads: Ile-de-France, “East Corridor” between Paris and Strasbourg, Brittany, Bordeaux and Isère. SCOOP@F is composed of SCOOP@F Part 1 from 2014 to 2015 (ongoing) and SCOOP@F Part 2 from 2016 to 2018. Its main objective is to improve the safety of road transport and of road operating staff during road works or maintenance. Project under the Work Programme of 2014 CEF Call.

CEF Transport Project 2014-EU-TA-0060-S - Nordicway is a pre-deployment pilot of Cooperative ITS (CITS) services in four countries (Finland, Sweden, Norway and Denmark) which will be followed by wide-scale deployment

and potentially to be scaled up to Europe. NordicWay has the potential to improve safety, efficiency and comfort of mobility and connect road transport with other modes. NordicWay is the first large-scale pilot using cellular communication (3G and LTE/4G) for C-ITS. It offers continuous interoperable services to the users with roaming between different mobile networks and cross-border, offering C-ITS services across all participating countries. NordicWay puts emphasis on building a sustainable business model on the large investment of the public sector on the priority services of the ITS Directive. Project under the Work Programme of 2014 CEF Call for proposals.

Also other projects stemming from the CEF Call 2015 and CEF Call 2016 address the C-ITS topic.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No. Should any legislative measure be envisaged, the outcomes of this pilot project will be taken into account.

#### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Pilot Project - Beyond traffic jams: Intelligent, integrated transport solutions for road infrastructure	<p>Consortium Leader:            MAP Traffic Management B.V(NL)            and Consortium Partners:            CITY OF HELMOND (NL)            IMTECH (NL)            TNO (NL)            GEOLOC SYSTEMS (FR)            ČEREMA (FR)            IFSTTAR (FR)            BLERVAQUE (BE)            BORDEAUX-METROPOLE (FR)</p>	1 299 980

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by way of procurement (open call for tender).

#### 5.6 *Types of beneficiaries*

Public and Private Consortium

## 1. Heading

**06 02 77 11 :Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	400 000,00	0,00	p.m.	280 000	p.m.	120 000	0,0 %	-57,1 %
Reserve								
Total	400 000,00	0,00	p.m.	280 000	p.m.	120 000	0,0 %	-57,1 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The intention is to undertake a Feasibility study to test the use of a public-private Joint Undertaking to support the deployment of ERTMS throughout the TEN-T core Network corridors.

The proposed feasibility study follows up the recently finalised ERTMS Study, which developed a number of positive innovative financing schemes for the deployment of ERTMS on board, on the ground and on the TEN-T core network corridors.

The objective of the proposed feasibility study is to undertake and assess the feasibility of setting up a pilot project testing the use of an EU-backed joint public-private undertaking fund (an SPV) to support the innovative financing of the ERTMS deployment on the TEN-T corridor level, e.g. one corridor.

It is also the objective of the feasibility study to follow up other innovative financing schemes, e.g. on joint procurement or one of the EFSI-variants, identified by the ERTMS Study, and that could serve as technical advice to the project promoter on a concrete pilot project. An avenue of the EFSI and CEF financial instruments should also be explored taking account of the models proposed by the finalised ERTMS Study, as well as the potential involvement of the ERTMS Deployment Manager.

The Commission services (DG MOVE) are currently in the process of identifying potential schemes through workshops with some Member States (SE, DK, BE, NL, SI) who have concrete ERTMS deployment plans and showed an interest in the mentioned schemes.

In addition, the pilot project will assess the appetite of private investors for such a joint undertaking with EU backing, as well as the interest of the rail sector (manufacturers, infrastructure managers and railway undertakings).

#### Intentions for the current year 2017:

Following the call for tender, the contract should be signed in May 2017. The contract will run for 13 months.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of the Commission financing decision	14 July 2016
Publication of call for tender	14 December 2016
closing date of call for tender	23 January 2017
Award decision and signature of contract	May 2017
Contract duration	13 months

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2016) 434 final of 14 July 2016 sets out the main elements for the implementation of the pilot project.

The call for tender (Ref MOVE/B4/2016-570) was published at: [https://ec.europa.eu/transport/content/feasibility-study-coordinated-deployment-and-financing-european-rail-transport-management-0\\_en](https://ec.europa.eu/transport/content/feasibility-study-coordinated-deployment-and-financing-european-rail-transport-management-0_en)

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
MOVE/B4/2016-570	Ernst & Young Special Business Services CVBA/SCaRL	329 500

## 5.5 Method of implementation (tenders, grants, other) and evaluations

Open call for Tender (study)

## 5.6 Types of beneficiaries

Ernst & Young Special Business Services CVBA/SCaRL

## 1. Heading

### 06 02 77 13: Pilot project — Innovative ways of sustainably financing public transport

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	125 000,00	0,00	p.m.	87 500	p.m.	37 500	0,0 %	-57,1 %
Reserve								
Total	125 000,00	0,00	p.m.	87 500	p.m.	37 500	0,0 %	-57,1 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The aim of the pilot project is to assess new ways of sustainably financing public transport that are compatible, in particular, with eco-sustainability, digitisation and accessibility, in order to boost the economy and create new jobs.

The action started on 1<sup>st</sup> March 2017 will look at:

1. Identifying best practices in terms of funding & financing for public transport. This will include, among others, consideration of: the Tallinn free public transport case, outcome of the CIVITAS (a EU co-funded initiative on sustainable urban mobility) Advisory Group on financing, CIVITAS and ELTIS (The urban mobility observatory) case studies, interviews with relevant stakeholders as UITP (the International Association of Public Transport) and EMTA (the European Metropolitan Transport Authorities).
2. Producing related material for sharing on the Eltis web portal [www.eltis.org](http://www.eltis.org) to inform EU cities about these best practices.

#### Intentions for the current year 2017:

The project started on 1st March 2017. It will be carried out as established in the contract.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of the Commission financing decision	14/07/2016
Request for services under existing framework contract	26/08/2016

Step	Date
Closing date for submission for request for services	30/09/2016
Award decision	23/02/2017
Signature specific contract and project kick-off	01/03/2017
Inception Report	31/03/2017
Interim Report	31/08/2017
Final Report	28/02/2018
Payment(s): 30% Interim payment	30/09/2017
Payment(s): final payment	31/03/2018

### 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2016)4343 final of 14 July 2016 sets out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Specific contract under Framework contract SRD MOVE/ENER/SRD1./2012-409-lot5	COWI Belgium (Consortium Leader, BE)	115 640

### 5.5 Method of implementation (tenders, grants, other) and evaluations

Specific contract under existing framework contract.

### 5.6 Types of beneficiaries

Direct Beneficiary: General public

Indirect Beneficiaries: users, beneficiaries (firms, land drivers, passengers) and property owners, developers



## 1. Heading

### 06 02 77 15: Pilot project — Raising Awareness of alternatives to private car

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		490 000	245 000	p.m.	245 000	-100,0 %	0,0 %
Reserve							
Total		490 000	245 000	p.m.	245 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The objectives of the pilot project are to raise awareness of alternatives to private car. As per the budget remark, the objective of the project is about developing new tools to raise awareness at a collective level. There is a high potential for experimentation in public and private companies, local authorities and international conferences to use new tools to measure and set objectives for modal shift at a group level.

As indicated in its letter of executability of 28 October 2016, the Commission considers that the pilot project could complement existing awareness raising/campaigning within the framework of the European Mobility Week and address a new/emerging topic.

The Commission intends to carry out a study with the aim of generating win-win situations, such as reducing CO2 emissions (COP 21 agreement), better mobility connections in remote and rural areas for persons who cannot or do not want to own a car (young, elderly and socially disadvantaged people).

The pilot project will be implemented by way of procurement (call for tender) for a study.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	June 2017
Publication of call for tenders	July 2017
Closing date of call for tenders	September 2017
Award decision	November 2017
Commitment: Signature of contract	December 2017
Pre-financing payment	30% in January 2018

Step	Date
Interim payment	30% in October 2018
Final payment	August 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by way of procurement (call for tender).

### 5.6 *Types of beneficiaries*

Consultants, Universities, etc.

## 1. Heading

**06 02 77 16: Pilot project — Sustainable shared mobility interconnected with public transport in European rural areas (developing the concept of 'smart rural transport areas' (SMARTAs)**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %
Reserve							
Total		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under for the first year under the 2017 budget.

#### Intentions for the current year 2017:

In order to generate win-win situations, such as reducing CO2 emissions (COP 21 agreement), the pilot project aims to promote better mobility connections in remote and rural areas for persons who cannot or do not want to own a car (young, elderly and socially disadvantaged people).

The pilot project will improve knowledge and understanding of whether there is a need for a cross-border approach to 'smart rural transport areas' (SMARTAs), such as exchanges of best practice examples, interoperability, sustainable regional development, cohesion, research and development and innovation.

In the EU rural areas there has been a large increase in projects developing shared mobility interconnected with public transport and non-motorised individual mobility. These are based on different conventional and digital tools (e.g. smartphone apps) and involve, for example, car sharing, car-pooling, shared driving, hitchhiking, taxi sharing and flexible call buses. This development is a huge opportunity to give a boost to SMARTAs.

The project is in line with the eight out of the ten goals for a competitive and resource-efficient transport system set out in the EU Transport White Paper (COM(2011) 144 final), i.e. to establish the framework for a European multimodal transport information, management and payment system by 2020.

The pilot project will be implemented by way of procurement (call for tender) for a study.

This project is referred to in the recently launched 'EU action plan for Smart Villages' [https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/looking-ahead/rur-dev-small-villages\\_en.pdf](https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/looking-ahead/rur-dev-small-villages_en.pdf)

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	June 2017
Publication of call for tender	July 2017
Closing date of call for tender	September 2017
Award decision	November
Signature of the contract and launch of the pilot project (Q3 2017)	Fourth quarter 2017
Pre-financing payment	First quarter 2018
Interim payment	October 2018
Final payment	August 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by way of procurement (call for tender).

## 5.6 *Types of beneficiaries*

Consultants, Universities, etc

## 1. Heading

### 06 02 77 17: Pilot project — Single European Sky (SES) airspace architecture

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %
Reserve							
Total		800 000	400 000	p.m.	400 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under for the first year under the 2017 budget.

#### Intentions for the current year 2017:

28 Air Navigation Service Providers (ANSP) operate the European air traffic management system. Member States designated those providers to provide services in respect of national airspace blocks under their responsibility in the ICAO EUR and AFI regions. The provision of services has been organised within 63 area control centres (ACCs) whose areas of operation are contained in national airspace blocks. The cost of this fragmentation in the provision of air navigation services (28 ANSPs and 63 ACCs) has been estimated at EUR 4 billion per year.

To overcome that fragmentation, the Single European Sky (SES) initiative introduced the concept of functional airspace blocks. According to it, airspace architecture and the related provision of services would have been optimised by the creation of performance-drive airspace blocks thus departing from national airspace blocks. The concept that should have been implemented by the end of 2012 is still unachieved.

In its communication from to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on "An Aviation Strategy for Europe", the Commission stressed again the need to fully implement the Single European Sky (SES), whose one of the main goals is to improve the performance of the European aviation system.

The European Parliament is preparing a formal opinion on this strategy and is currently calling on the Commission to implement the concept of a European Upper flight Information Region (EUIR), as an enabler of the 'Trans-European Motorways of the Sky'. If the EUIR is applied, it would result in a block of airspace where the same rules and procedures may apply, including for ensuring the continuity of air traffic services. It would allow overcoming the problematic implementation of SES, in particular the functional airspace blocks and continuity of air navigation services in the most vulnerable parts of the airspace, while ensuring a proper deployment of the Single European Sky ATM Research (SESAR) project.

In this light, the pilot project will assess the feasibility of implementing new airspace architecture of the en-route European airspace to support more efficient traffic flows, and an optimised provision of air navigation services. The project should address all aspects of the SES (e.g. airspace, infrastructure and service provision and civil-military

coordination). It will take into account SESAR-related technologies in order to establish the most efficient deployment scenario. The pilot project should also address three specific aspects:

- the development of a renewed SES vision, based on a gap analysis between the current EU airspace architecture (to be described) and the proposed new one,
- a comparison with the US airspace.

Based on the results of a study delivered in 2017 over the Functional Airspace Blocks (FAB), the pilot project should contribute to the on-going analysis of the operational results stemming from the implementation of FAB by providing a practical understanding of the concept of the optimum use of airspace as enshrined in Regulations (EC) No 550/2004 and 2150/2005. The pilot project should also address the creation of an EUIR in accordance with the article 3 of the Airspace Regulation<sup>3</sup> and the set-up of a state of the art European AIS Database in accordance with the article 3a of the same regulation.

The existing SES Framework Contract, which is a multiple framework contract with reopening of competition, will be used (Lot 2: Technical, legal and economic assistance to the Commission in the implementation of the Single European Sky policy including the SESAR project).

Due to its specific knowledge about the European Aviation Network, the Commission assessed that the Network Manager<sup>4</sup> has to be involved in this project either for effectively supporting the selected tenderer or for being awarded the contract as the only particular economic operator. The tenderer will have to work in coordination also with the SESAR Joint Undertaking (e.g. for the link with the ATM Master Plan and its SESAR vision), the SESAR Deployment Manager (e.g. for the planned deployment of the new ATM functionalities), the European Defence Agency for civil Military coordination aspects and EASA (for instance the safety dimension of the proposed architecture).

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	June 2017
Publication of call for tender	June 2017
Closing date of call for tender	August 2017
Award decision	October 2017
Commitment: Signature of contract	Fourth quarter 2017
Pre-financing payment	Fourth quarter 2017
Interim payment	September 2018
Final payment	June 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

Yes. In the SES regulatory framework, the regulation (EC) No 551/2004 concerns the organisation and the use of the airspace in the Single European Sky and in particular in the Chapter II, the Airspace Architecture.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

The opportunity for a new legislative proposal depends on the outcome of the project.

<sup>3</sup> (EC)No 551/2004 of the European Parliament and of the Council of 10 March 2004 on the organisation and use of the airspace in the SES

<sup>4</sup> following Regulation (EC) No 677/2011, Network Manager is responsible for the design of the European route Network

#### *5.4 Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

#### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The existing SES Framework Contract, which is a multiple framework contract with reopening of competition, will be used (Lot 2: Technical, legal and economic assistance to the Commission in the implementation of the Single European Sky policy including the SESAR project).

#### *5.6 Types of beneficiaries*

Contractors providing technical, legal and economic assistance

## 1. Heading

### 06 02 77 18 :Pilot project — Mapping accessible transport for people with reduced mobility

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %
Reserve							
Total		600 000	300 000	p.m.	300 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The study aims at mapping the accessibility on different transport modes for people with reduced mobility (PRMs)

Following discussions with the European Parliament, DG MOVE proposed an amendment to the scope of the study.

The tasks of the consultant will be:

1. To make a review and an assessment of the main types of existing tools used by transport operators, infrastructure managers, national authorities and disability organizations to gather the data and communicate information on accessibility and assistance and whether this is done coherently and consistently throughout the EU.
2. To propose the most efficient solutions for the communication of information concerning accessibility and assistance to PRMs, in particular during multimodal journeys.
3. To present technical and legal proposals for filling up the gaps which hamper the development of seamless journeys planners for PRMs, or their inclusion into already existing platforms, especially when using several transport modes during the same journey;
4. To gather information, notably through surveys, concerning the specific needs and expectations of PRMs as regards assistance, accessibility and the quality of services. This could enable to identify some good practices/added value services that could lead to market developments.

Once final scope of the study is finalised, the Terms of Reference will be drafted and the Commission intends to use a Framework Contract to launch the study.



## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of the Commission financing decision	June 2017
Request for offers	July 2017
Deadline for submission of offers	September 2017
Specific contract under existing framework contract and start of the project	October 2017
Pre-financing payment	N/A
Interim payment	June 2018
Final Payment	October 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by way of procurement (specific contract under an existing framework contract).

## 5.6 *Types of beneficiaries*

Contractors providing technical and legal assistance

## 1. Heading

### 06 02 77 19: Pilot project — Secure parking areas for trucks

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		850 000	425 000	p.m.	425 000	-100,0 %	0,0 %
Reserve							
Total		850 000	425 000	p.m.	425 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under for the first year under the 2017 budget.

#### Intentions for the current year 2017:

Safe and secure parking places for trucks are needed to ensure socially fair conditions for professional drivers when taking rests. They are also needed to tackle issues such as cargo crime and unintended transport of illegal immigrants. However, safe and secure parking places remain too scarce and the services provided by existing facilities are often insufficient and uncoordinated.

The establishment of safe and secure parking places requires some careful reflection on the different requirements to place on the different stakeholders, together with consideration of whether legislative change or other support actions are needed. Against this background, the pilot project, which will be implemented in the form of a study, will define an action plan for safe and secure parking places with the objective of creating the right framework for authorities and private developers who wish to establish safe and secure parking places.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	June 2017
Publication of call for tender	June 2017
Closing date of call for tender	July 2017
Award decision	September 2017
Pre-financing payment	N/A
Interim payment	January 2018
Final Payment	October 2018

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements for the implementation of the pilot project.

#### **- Do similar activities exist, which are already covered by a legal basis?**

1) The pilot project SETPOS (Secure European Truck Park Operational Services) has been concluded in 2010. It was co-financed by the Commission and it accomplished several objectives. The project included investments into four pilot parking sites on the trans-European network, offering high level of protection against attacks, and serving as a model and encouraging investors to develop such facilities. In the pilot project, a secure truck parking site was built near Valenciennes and investments to improve the security of existing rest areas were carried out in Wörnitz (Germany), Ashford (United Kingdom) and Uhrsleben (Germany).

2) The second pilot project 'LABEL' developed a labelling scheme to inform transport operators about the quality and security level of truck parks. It built on the security standards developed by SETPOS and it applies its own labelling scheme to 80 sites all over Europe. It has also certified more than 80 rest areas in at least 10 different Member States by applying the certification procedures developed by the project. The results of the LABEL project have been taken over by the International Road Transport Union (IRU) in order to establish a labelling system with stars and locks which will indicate the level of security and comfort at the parking areas that are certified. The interested truck parking operators may now refer to IRU for further certification information.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No, this is not envisaged at this stage but this project is considered to be a significant policy support measure.

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by way of procurement (open call for tender).

### 5.6 *Types of beneficiaries*

Stakeholders in all relevant areas of the tender

## 1. Heading

### 08 02 77 05: Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	0,00	p.m.	200 000	p.m.	400 000	0,0 %	100,0 %
Reserve								
Total	600 000,00	0,00	p.m.	200 000	p.m.	400 000	0,0 %	100,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2016 Budget.

#### Objectives followed during 2016 and previous years' implementation and intentions for the current year 2017:

In accordance with the budget remark, the objectives of the pilot project are:

- 1) quantify the burden of vaccine-preventable diseases (with a primary focus on Group B Streptococci , respiratory syncytial virus, pertussis, influenza, tetanus and rubella) in pregnant women and their infants, and
- 2) study how this burden in pregnant women is modified by co-morbidities such as infection by HIV,tuberculosis or joint HIV-tuberculosis infection.

Geographical scope: in representative sites in sub-Saharan Africa, Latin America and the Caribbean.

The Commission has on several occasions and in particular in the executability letter of 28 October 2015 and the interim and implementation reports on March and June 2016, expressed its concern that the wide geographical scope may not be feasible with the limited available budget. Accordingly, in the financing decision of November 2016 for this pilot project, the Commission has limited the geographic scope (only sub-Saharan Africa). In the call for tender currently under preparation, the number of diseases covered is also limited (tetanus and rubella not included, due to the fact that these diseases are already part of national immunization programmes, therefore additional data would not make a significant difference).

The intentions for implementation are as follows:

- Publication of call for tender scheduled for May 2017
- Commitment and signature of contract scheduled for December 2017
- Start of the pilot project scheduled for December 2017
- Pre financing payment scheduled for December 2017

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of ad hoc financing decision	10 November 2016
Publication of call for tender	May 2017
Closing date of call for tender	September 2017
Award decision	December 2017
Pre-financing payment(s)	December 2017
Interim payment(s)	June 2018
Final payment(s)	December 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2016)7133 of 10 November 2016 sets out the out the main elements for the implementation of the pilot project.

The call for tender will be published on the Europa Website: <http://ec.europa.eu/research/index.cfm?pg=tenders-open>

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As the procedure (call for tender) is not yet launched, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The action will be implemented through public procurement (call for tender currently under preparation).

## 5.6 *Types of beneficiaries*

Potential contractors: Research teams (academic or part of NGOs networks) with extensive and pertinent epidemiological expertise, particularly related to surveillance of the selected diseases and/or vaccine preventable diseases and to conducting epidemiological studies at international level (multicentre studies and coordinating transnational research teams). Although economic for-profit operators cannot be excluded, it is unlikely that they will have the required proof of expertise to contest for this tender.

Final Beneficiaries of the results: The study, as a quantification of the burden of diseases that may be prevented through maternal immunisation (i.e. vaccination of the pregnant women to protect both the mother and her new-born child) in sub-Saharan Africa, will provide reliable evidence to guide the Commission (DG RTD) decisions on the prioritisation of vaccine research as well as operational research related to maternal health within H2020, including the European and Developing Countries Clinical Trials Partnership (EDCTP) and the Innovative Medicines Initiative (IMI). Furthermore, it is instrumental to provide evidence for prioritisation of EU research (DG RTD), humanitarian aid (DG ECHO) and development and cooperation actions (DG DEVCO), as well as a pre-requisite for the future definition of a roadmap of maternal immunisation policies in the region in collaboration with World Health Organisation and the countries concerned.

## 1. Heading

### 09 04 77 01: Pilot project — Open knowledge technologies: mapping and validating knowledge

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 494 043,92	p.m.	1 166 315	p.m.	888 314	0,0 %	-23,8 %
Reserve							0,0 %	0,0 %
Total	0,00	1 494 043,92	p.m.	1 166 315	p.m.	888 314	0,0 %	-23,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2014 and 2015 budgets.

#### Objectives followed during 2015 and previous years' implementation and intentions for the current year 2016:

In 2014, the overall objective of the pilot project was to develop and demonstrate a European-wide learning technology system to support effective (re) training by matching the interests and the current knowledge of individuals with the most demanded labour market skills and suggest possible learning roadmaps that can enable European citizens to acquire the required knowledge and skills. Pilot project beneficiaries started their work in 2015.

In 2015: the overall objective for the pilot project is to deliver employability skills for young unemployed, through open digital teaching, learning and assessment technologies and networks, empowering people to take more responsibilities for their own learning.

The pilot will consist of the deployment of a platform which will integrate different modules/services:

1. Project-based learning,
2. Authentic learning experiences & problem-solving,
3. Critical thinking, motivation & engagement,
4. Validation of the acquired skills

These services should be accompanied by a thoughtful and comprehensive social innovation process to engage people in the learning and skilling acquisition activities.

Pilot project beneficiaries will start their work in 2016.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2014 appropriations

Step	Date
Adoption of financing decision	14 May 2014
Publication of call for proposals	August 2014
Closing date of call for proposals	22 September 2014
Award decision	March 2015
Pre-financing payment	June 2015
Interim payment	December 2016
Final payment	December 2017

2015 appropriations

Step	Date
Adoption of financing decision	11 August 2015
Publication of call for proposals	30 September 2015
Closing date of call for proposals	20 November 2015
Award decision	March 2016
Pre-financing payment	June 2016
Interim payment	December 2017
Final payment	December 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2014)3012 of 14 May 2014 sets out the main elements for the implementation of this pilot project.

Documents in relation to the call for proposals (ref. ECOKT2014) can be found at:

<http://ec.europa.eu/digital-agenda/en/news/pilot-project-open-knowledge-technologies-mapping-and-validating-knowledge-ecokt2014>

For the 2015 pilot, the financing decision C(2015)5568 of 11 August 2015 set out the main elements for the implementation of the pilot project.

Documents in relation to the call for proposals can be found at:

<https://ec.europa.eu/digital-single-market/news/open-knowledge-technologies-mapping-and-validating-knowledge>

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

#### 5.4 Type of activities financed, number and amounts

2014 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
2014 Pilot project Open Knowledge Technologies: Mapping and validating knowledge	University of Stockholm	778 951
2014 Pilot project Open Knowledge Technologies: Mapping and validating knowledge	PDAgroup GmbH	721 049

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
2015 Pilot project Open Knowledge Technologies: Mapping and validating knowledge	Expertise France	1 600 000

#### 5.5 Method of implementation (tenders, grants, other) and evaluations

For both 2014 and 2015 the pilot project was implemented by way of grants awarded further to a call for proposals.

#### 5.6 Types of beneficiaries

Legal persons applying either as single beneficiaries or as consortia made up of several beneficiaries from the Member States



## 1. Heading

### 09 04 77 04: Pilot project — Europe's digital agenda meets Silicon Valley

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	150 000,00	0,00	p.m.	100 000	p.m.	50 000	0,0 %	-50,0 %
Reserve							0,0 %	0,0 %
Total	150 000,00	0,00	p.m.	100 000	p.m.	50 000	0,0 %	-50,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2016 Budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of the pilot project is to create connections between the digital ecosystems in Europe and Silicon Valley. It will create synergies and raise awareness about Europe's Digital Agenda and its support for start-ups. It will also be a channel for sharing knowledge and experience acquired in Silicon Valley. Furthermore, it will allow Europe to bring its Digital Agenda closer to the EU expatriate community in Silicon Valley, promoting a better understanding of policy initiatives such as the Digital Single Market and the Capital Markets Union. The two main deliverables are:

a) The organisation of a high profile event on the topic of digital Europe, focused on the digital economy, tech start-ups, digital innovation and digitisation, involving high level policy makers and business representatives from Europe and the US. The event will include side events and meetings connecting European participants with EU expatriates on-site, as well as recognised representatives of corporates, investors, universities and policy makers in Silicon Valley.

b) To establish a sustainable European expat entrepreneurship board which would include key European successful tech entrepreneurs and investors on-site (in the Silicon Valley area, USA).

The objectives during 2016 were the adoption of the financing decision, the launch and evaluation of the open tender and the award of the contract.

#### Intentions for the current year 2017:

The service contract entered into force on 6 March 2017.

The main deliverables of the project for 2017 will be the organisation of the Digital Europe conference in Silicon Valley in September 2017 and the establishment of the networking platform on-site to engage with the expatriate community in Silicon Valley.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	23/06/2016
Publication of call for tender	17/09/2016,
Closing date of call for tender	20/10/2016
Award decision	10/02/2017
Pre-financing payment(s)	-
Interim payment(s)	Fourth quarter 2017
Final payment(s)	Fourth quarter 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2016)3795 of 23/6/2016 sets out the main elements for the implementation of the pilot project.

The call for tender: <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1853>

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Europe's digital agenda meets Silicon Valley — SMART 2016/1008	Mind the Bridge Foundation	120 370

## 5.5 Method of implementation (tenders, grants, other) and evaluations

Service contract awarded following an open call for tender.

## 5.6 Types of beneficiaries

Economic operator established in an EU Member State

## 1. Heading

### 09 04 77 06: Pilot project — Digital skills: new professions, new educational methods, new jobs

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	400 000,00	0,00	p.m.	320 000	p.m.	320 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	400 000,00	0,00	p.m.	320 000	p.m.	320 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The overall objective is to engage and empower people to use technology with confidence and acquire digital skills that will make them more competitive in the modern labour market. In particular, the pilot project will take a two-fold approach:

1. A horizontal approach by involving, in one or several working groups, experts from Member States, education, employment agencies, trade unions and business stakeholders (and their networks of partners) to reflect on and propose training solutions for digital skills for the labour force, which are effective and scalable.
2. A vertical approach, working with training providers and other stakeholders to organise "pilot" training programmes for skilling and/or reskilling specific groups, such as workers and unemployed, to prepare them for digitisation.

#### Intentions for the current year 2017:

The pilot project will be implemented by way of a call for tender. The signature of the contract will be made by end 2017.

It was decided to postpone the finalisation of the tender specifications to the first half of 2017 to enable the Commission to make the project description more topical by taking into account the developments in late 2016 and early 2017, in particular the launch of the Digital Skills and Jobs Coalition in December 2016 and the outcome of a group of Member State experts on digital skills. The tender is expected to be published shortly.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	21 June 2016
Publication of the call for tender	June 2017
Closing date of call for tender	July 2017
Award decision	October 2017
Pre-financing payment(s)	-
Interim payment(s)	2017
Final payment(s)	2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The 2016 Commission financing decision C(2016)3720 adopted on 21 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender will be published at the following address: <https://ec.europa.eu/digital-single-market/en/newsroom/call-tenders/>

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

As the call for tender has not yet been published, these details are not yet known.

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The project will be implemented through a call for tender.

## 5.6 Types of beneficiaries

Private or public undertaking, possibly with subcontractor(s), established in an EU Member State

## 1. Heading

### 09 04 77 07: Pilot project — Developing the use of new technologies and digital tools in education

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	400 000,00	0,00	p.m.	200 000	p.m.	160 000	0,0 %	-20,0 %
Reserve							0,0 %	0,0 %
Total	400 000,00	0,00	p.m.	200 000	p.m.	160 000	0,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2016 Budget.

#### Objectives followed during 2016 and previous years' implementation:

The objective of this pilot project is to increase the use of existing technologies that can support teachers and parents in better engaging children struggling with attention and concentration problems in school. In addition the pilot should disseminate the main outcomes and findings of the pilot to the relevant stakeholders for raising awareness of the available solutions and stimulate their use in classrooms.

#### Intentions for the current year 2017:

A call for proposals was published in 2016. The award decision following the evaluation of applications received and the start of the action is expected in 2017.

In particular, the pilot is expected to:

- identify existing digital learning solutions that can support teachers in the classroom and parents at home with pupils having attention deficits and/or concentration problems.
- Provide good practice examples where technology has been successfully used in daily classroom activities to help pupils with attention and concentration problems. This should result in those pupils being better integrated into the activities as well as impact on their concentration and eventually learning outcomes.
- Carry out and evaluate small scale pilots with teachers in real classroom settings, based on the identified technologies and good practices.
- Provide a set of recommendations and roadmap for policy makers, educational institutions, teachers for making best use of available technologies in classroom settings. Input from relevant stakeholders including teachers, researchers (e.g. child development experts), parents, relevant associations and industry, should be included.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	15 September 2016
Publication of call for proposals	28 September 2016
Closing date of call for proposals	14 November 2016
Award decision	11 April 2017
Pre-financing payment(s)	Second quarter 2017
Interim payment(s)	2018
Final payment(s)	2019

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2016)5772 of 15 September 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals was published on 28 September 2016: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-pilot-project-developing-use-new-technologies-and-digital-tools-education>

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
AHA - AdHd Augmented	- National University of Ireland, Dublin, University College Dublin; -HADDADHD Ireland; -Wordsworth Learning Limited; - Consiglio Nazionale Delle Ricerche	397 575

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented through an action grant awarded following a call for proposals.

## 5.6 Types of beneficiaries

The call for proposals was open to legal entities established in EU Member States

## 1. Heading

### 09 04 77 10: Pilot project — Framework of best practices to tackle child sexual abuse

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	400 000	-100,0 %	-20,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	400 000	-100,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The action is expected to create a framework of best practices, technical solutions and analysis of the legal environments in which internet hotlines processing reports on child sexual abuse content online operate. The outcomes of the study will feed into recommendations on how hotlines and the network of hotlines can improve their capacity and capability to contribute to the effective removal of child sexual abuse material (CSAM). A qualitative research will cover all EU Member States, identifying the challenges and obstacles faced by the hotlines tackling CSAM.

The research will include a comprehensive and thorough analysis of the specific situation in each Member State and include the following:

- A description of how and on which legal basis the hotline work with various stakeholders such as law enforcement, ministries and hosting Internet Service Providers;
- An assessment of the efficiency of the procedures put in place for removing and monitoring the content assessed as CSAM, at national level, on EU- and global cross-border level;
- An assessment of the appropriateness of the technical infrastructure, including security measures.

The intention is to launch the pilot project, which will be in the form of a study, in the fourth quarter of 2017.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for tenders	Second quarter 2017
Closing date of call for tenders	Third quarter 2017

<b>Step</b>	<b>Date</b>
Award decision	Fourth quarter 2017
Pre-financing payment(s)	n/a
Interim payment(s)	Second and fourth quarter of 2018
Final payment(s)	Fourth quarter 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No legislative follow-up is envisaged at this stage.

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented through a study following an open call for tenders.

### 5.6 *Types of beneficiaries*

Any economic operator established in the EU Member States



## 1. Heading

### 09 04 77 11: Pilot project — Algorithm Awareness Building Initiative

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	420 000	-100,0 %	40,0 %
Reserve						0,0 %	0,0 %
Total		600 000	300 000	p.m.	420 000	-100,0 %	40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The pilot project aims to provide for an in-depth policy-relevant study of the role of algorithms in the context of online platforms and in particular how they shape, filter or personalise the information flows that they intermediate. The specific goal is to map out the nature of potential problems, their evolution, as well as to delineate possible policy responses, not limited to EU action, following a policy design process.

The intention is to implement the pilot project by procuring a study in the third quarter of 2017. The three-fold objective of the study is to:

- Contribute to a wider, shared understanding of the role of algorithms in the context of online platforms, while raising wider public awareness and debate of emerging issues
- Identify and delineate the types of problems and emerging issues raised by the use of algorithms, and establish an initial evidence-base for these problems
- Design or prototype solutions for a selection of problems, including policy options, technical solutions and private sector and civil society-driven actions.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for tender	Second Quarter 2017
Closing date of call for tender	Third Quarter 2017
Award decision	August-September 2017
Pre-financing payment(s)	No pre-financing foreseen

Step	Date
Interim payment(s)	December 2017
Final payment(s)	December 2018

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented through a study, contracted through an open procedure.

### 5.6 *Types of beneficiaries*

Legal persons compliant with the rules, economic and selection criteria laid down in the forthcoming terms of reference

## 1. Heading

### 09 04 77 15: Pilot project — Application of web accessibility requirements in web-authoring tools and platforms by default (Web Access by Default)

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	240 000	-100,0 %	-20,0 %
Reserve						0,0 %	0,0 %
Total		600 000	300 000	p.m.	240 000	-100,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 – Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The overall objective of this pilot project is to encourage and support the adoption of the relevant accessibility requirements of the European Standard EN 301 549 v1.1.2 by awarding grants in order to incorporate features as the default option in authoring tools or platforms that would facilitate meeting the requirements of this European Standard.

Within the overall objective, the pilot project aims to:

- support the development of authoring tools or platforms (new or re-designed) that help authors produce accessible web content by including features to meet the accessibility requirements ("web access by default");
- stimulate the market, create supply and enhance competition for web authoring tools and platforms with accessibility features;
- provide good practice and help the dissemination of web-accessibility solutions incorporated in web-authoring tools and platforms;
- support Member States to hire users to test web-authoring tools;
- facilitate compliance with the accessibility requirements set out in the Web Accessibility Directive with all of the above.

The intention is to contract the pilot project in the fourth quarter of 2017 for a duration of 18 months.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	28 April 2017
Publication of call for tender	May 2017
Closing date of call for tender	July 2017
Award decision	August-September 2017
Interim payment	December 2017
Interim payment	Third quarter 2018
Final payment(s)	Second Quarter 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented through a call for tenders.

## 5.6 *Types of beneficiaries*

The call for tender will be open to private firms, associations, other non-profit groupings, Member States authorities and other public bodies.

## 1. Heading

### 10 02 77 01: Pilot project — Establishment of a European Commission Public Sector Innovation Lab

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	499 850,66	95 780,00	p.m	400 000	p.m	50 000	0,0 %	-87,5 %
Reserve							0,0 %	0,0 %
Total	499 850,66	95 780,00	p.m	400 000	p.m	50 000	0,0 %	-87,5 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of the Pilot Project is to match EU policy demand with a national, regional and local supply of policy innovation/design labs working specifically on the implementation of EU policies. This would improve the dialogue between different layers of governance and ensure that EU policies better match national, regional and local realities, and ultimately better serve citizens' needs and expectations. This process should also facilitate the establishment of new policy labs in other EU Member States, through the matching of labs expertise with initiatives carried out by local organisation or governments.

#### Intentions for the current year 2017:

The mapping of public policy labs in Europe and the Lab Connections event has allowed matchmaking between public policy labs at different government levels in the EU Member States with European Commission policy DGs. During 2017, concrete actions in which policy labs provide support to policy DGs are taking place.

Indicative breakdown of tasks and budgetary resources:

Mapping of national, regional and local lab competences in the Member States working on issues directly related to EU policy implementation (project phase 1)	The proposed budget has been used for a single low value service contract.	EUR 15 000
Mapping of national, regional and local lab competences in the Member States working on issues	The proposed budget has been used for a single low value service contract.	EUR 15 000

directly related to EU policy implementation (project phase 1)		
An exploratory use of lab resources in the development/refit of a limited set of EU policy areas (project phases 2-4)	The proposed budget has been used for the following activities: 1. expert contracts and preparatory meeting with experts; 2. a matchmaking event involving experts from policy labs in the Member States' and relevant stakeholders from the Commission Policy DGs;	EUR 236 000
Dissemination activities (project phase 5)	The proposed budget is being used for: 1. Preparation, facilitation and animation of lab experiences in the Member States, other specific stakeholder liaison activities, and associated reporting; and 2. Dissemination activities.  One single service contract has been signed at the end of 2016, using an existing framework contract.	EUR 248 591

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

### 2016 appropriations

Step	Date
Adoption of financing decision	22 April 2016
<b>Project phase 1 – Service contract (low value)</b>	
Publication of call for tender	N/A (low value contract)
Closing date of call for tender	N/A
Award decision	May 2016
Pre-financing payment	N/A
Interim payment	N/A
Final payment	June 2016
<b>Project phases 2 to 4 – Expert contracts *</b>	
Publication of expression of interest for experts	N/A (EMI database has been used)
Closing date of expression of interest for experts	N/A
Award decision	N/A (multiple expert contracts)
Pre-financing payment	N/A
Interim payments	N/A
Final payment	Multiple payments in Q2/Q3 2017
<b>Project phases 2 to 5 – Service contracts</b>	
Publication of call for tender	N/A (framework contract)

Step	Date
Closing date of call for tender	N/A
Award decision	MOSTRA First contract: May/June 2016 Second contract: November 2016
Pre-financing payment	First contract: July 2016 Second contract: January 2017
Interim payment	N/A
Final payment	November-December 2017

\*The experts contracted for project phases 2-4 were drawn from the EMI database. In addition to individual expert contracts a minor part of the budget foreseen for the experts has been used for ad-hoc preparatory meetings.

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2016)2257 of 22 April 2016 sets out the main elements for the implementation of the pilot project.

See also the Policy Lab blog: <http://blogs.ec.europa.eu/eupolicylab/lab-connections/>

#### - Do similar activities exist, which are already covered by a legal basis?

No. The pilot project aims at testing and demonstrating the relevance of public policy lab contributions to EU policy initiatives, which has not been tested before.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

Study: mapping of policy labs around Europe	Low value contract – Conseil & Recherche	February 2016	EUR 15 000
First steering committee meeting	Provisional commitment	June 2016	EUR 7 000
Pilot project steering committee	7 experts contracts	5 in July 2016 2 in November 2016	EUR 125 000
Lab Connections event	Service contract - MOSTRA	June 2016	EUR 104 000
Lab Connection follow up sessions and dissemination activities to be implemented during 2017	Service contract - MOSTRA	November 2016	EUR 248 591

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is being implemented through service contracts and individual ad-hoc expert contracts.

### 5.6 Types of beneficiaries

The beneficiaries will be stakeholders and external experts working for public bodies in Member States.

## 1. Heading

### 12 02 77 06: Pilot project — Horizontal Task Force on Distributed Ledger Technology

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		850 000	425 000	p.m.	425 000	-100,0 %	0,0 %
Reserve							
Total		850 000	425 000	p.m.	425 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

This pilot project will set up a task force of regulatory and technical experts in order to build up technical expertise and regulator capacity and develop use cases, especially for governmental applications, in the field of distributed ledger technology (DLT), as proposed in the European Parliament resolution on virtual currencies (T8-0228/2016).

A dedicated horizontal task force monitoring DLT and its applications could provide the expertise necessary in order to properly counter risks without stifling innovation by means of premature regulatory intervention. The task force will identify standards for best practice, develop stress tests for applications that are set to become systemic and develop use cases for the application of the technology. A horizontal approach will help identify potential and risks associated directly with the technology and will create workflow synergies.

The pilot project will be implemented through two separate but complementary actions:

1. The setting up of an observatory on DLT, which will focus on financial services and a set of governmental or public services. The observatory should map major emblematic DLT initiatives in the EU and other parts of the world, organise capacity building to develop regulatory expertise on DLT its benefits and challenges, propose cooperation frameworks to assess maturity of DLT technologies and infrastructures, interoperability, scalability and integration, its ability to mitigate risks and to test governance models. The work of the observatory should also include the organisation of specific events and workshops for policy makers and regulators. The observatory should be run by an external contractor, who should be selected through a call for tender. The planned budget for this action is EUR 500 000.
2. A DLT-based European Financial Transparency gateway should be created, which should make information that listed companies currently must file with national authorities (Officially Appointed Mechanisms) easily accessible to investors and other interested parties. Although the public disclosure of that information is compulsory, it is not easily accessible. Setting up a DLT-based European gateway should remedy this. The development of this demonstrator should be done either through the framework contract with DG DIGIT or following a public procurement procedure. The planned budget for this action is EUR 350 000.



Both actions fall under the Taskforce on Financial Technology. In implementing the pilot project DG FISMA will cooperate with DG CONNECT both at operational level and possibly through cross-subdelegation.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	May 2017
Publication of call(s) for tender	May 2017
Closing date of call(s) for tender	June 2017
Award decision	August – September 2017
Pre-financing payment(s)	N/A
Interim payment(s)	December 2017
Final payment(s)	2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in May 2017, will set out the main elements for the implementation of the pilot project. Further details will be available upon publication of the call(s) for tender.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Method of implementation – tender(s)

## 5.6 *Types of beneficiaries*

External contractors to be selected through procurement procedures

## 1. Heading

### **14 03 77 02 : Pilot project — Capacity building, programmatic development and communication in the context of the fight against tax avoidance, tax evasion and tax fraud**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	46 072,50	500 000	500 000	p.m.	250 000	-100,0 %	-50,0 %
Reserve								
Total	500 000,00	46 072,50	500 000	500 000	p.m.	250 000	-100,0 %	-50,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

#### **Objectives followed during 2016 and previous years' implementation:**

The project is divided into 2 phases. The first phase was initiated in 2016, and the second phase is the prolongation of the pilot project in 2017.

The project aimed at bringing together NGO's representatives, researchers, journalists and practitioners to discuss and exchange ideas on key issues related to corporate tax avoidance.

More specifically, the key objectives of the project were:

- Widen expertise and boost capacity of a wide range of civil society organisations currently not yet involved in the fight against tax avoidance (i.e. trade unions, SMEs associations, academics, consumers' organisations, anti-poverty associations).
- Promote alliance building and networking of civil society organisations to have them more involved in the design, implementation, advocacy and communication of actions, tools and instruments to combat tax avoidance.
- Raise awareness of issues related to corporate taxation, tax evasion and avoidance, and tax fairness, while also encouraging civil society groups from around the EU to work together as a network on these issues.

#### **Intentions for the current year 2017:**

As part of phase one, 6 seminars for civil society organisations on key issues related to international and EU corporate tax avoidance were organised in Brussels (February - April 2017). 4 more seminars will be organised between May and June 2017.

A conference on fair taxation is scheduled for the 28th and 29th of June 2017.

The second phase of the project will build on the actions carried out so far and will seek to use the network of civil society organisations to better communicate with European citizens and civil society on the EU action regarding the fight against tax avoidance, tax evasion and tax fraud. The second phase will start in the third quarter of 2017 and will

consist of several local events to promote the EU fair taxation agenda in key Member States, for civil society and the general public. The focus will move from gathering these stakeholders in Brussels to spreading the activities in the Member States and reaching the local civil societies. This would be done through organising a series of events and communication actions in several Member States. The civil society organisations that participate in the training activities and conference organised in the first part of the pilot project will be invited to contribute and participate in debates on the latest taxation evolutions at EU level. These events should raise awareness amongst local stakeholders of the EU agenda for fair and effective taxation, and should encourage national civil society organisations to get involved in and support this campaign.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

Step	Date
Adoption of financing decision	9 August 2016
Signature of contracts (specific contracts under existing framework contracts)	October 2016
Pre-financing payment(s)	2016
Interim payment(s)	2017
Final payment(s)	2017

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Signature of contracts (specific contracts under existing framework contracts)	Third quarter 2017
Pre-financing payment(s)	Third quarter 2017
Interim payment(s)	2018
Final payment(s)	2018

## 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2016: Commission Financing decision (C(2016) 5071 final) of 9 August 2016 sets out the main elements for the implementation of the pilot project. The Commission financing decision for the 2017 commitment appropriations is expected to be adopted in May 2017.

Further information can also be found on the webpage : [https://ec.europa.eu/taxation\\_customs/fight-against-tax-fraud-tax-evasion/civil-society-organisations](https://ec.europa.eu/taxation_customs/fight-against-tax-fraud-tax-evasion/civil-society-organisations)

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 Type of activities financed, number and amounts

2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Preparation of 10 seminars	IBFD	102 900
Provision of logistics (transport and accommodation)	Travex	193 000,50
Provision of logistics (training and conference rooms)	Destrée Organisation	153 575
Delivery of communication material and tools and support to communication activities	Siveco	50 524,50

2017 appropriations (indicative)

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
High level conference (June 2017)	Destrée Organisation (extension existing specific contract TAXUD/2016/DE/325)	20 000
Town hall debates in several Member states (Phase 2 of pilot project)	Teamwork (framework contract No PO/2016-05/A2)	480 000

#### 5.5 Method of implementation (tenders, grants, other) and evaluations

2016 appropriations: EUR 500.000 was implemented by signing specific contracts or order forms using existing framework contracts. These contracts relate to the preparation and organisation of the 10 seminars for civil society organisations as well as a high level conference in June 2017 on key issues related to international and EU corporate tax avoidance.

2017 appropriations : EUR 20 000 will be allocated to the extension of an existing specific contract which covers logistics related to the organisation of a high level conference in June 2017. For the second phase of the pilot project, it is envisaged to sign a specific contract under the existing DG COMM multi-annual framework contract PO/2016-05/A2 for a total amount of EUR 480 000.

#### 5.6 Types of beneficiaries

The final beneficiaries of the pilot project are civil society organisations. More specifically: non-governmental organisations, trade unions, SMEs associations, think tanks, academics, consumer organisation and media organisations from the EU Member States.

Contractors

## 1. Heading

### 15 02 77 10: Pilot project — Promoting health-enhancing physical activity across Europe

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	598 614,87	0,00	p.m.	300 000	p.m.	103 478	0,0 %	-65,5 %
Reserve							0,0 %	0,0 %
Total	598 614,87	0,00	p.m.	300 000	p.m.	103 478	0,0 %	-65,5 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project concerning health-enhancing physical activity (HEPA) was implemented as complementary action to existing actions in the context of the implementation of the HEPA recommendation, in order to positively complement actions put in place under Erasmus+ Sport Chapter.

The promotion of health-enhancing physical activity (HEPA) in EU and national policy making aims at addressing not only better health and well-being but also the alarming social and economic costs associated to physical inactivity. A respective process is in place and relevant structures have been set up at EU level, in cooperation with Member States (MS) and stakeholders, to encourage the development and implementation of more effective European and national policies and actions to make everybody move more and practice sport and physical activity. The EU addresses the promotion of HEPA in three ways, i.e. through (a) a comprehensive policy agenda, the main instrument being the implementation of the 2013 Council Recommendation on HEPA and its monitoring provisions in close cooperation with MS and the World Health Organization (WHO), (b) targeted funding through EU funding instruments, including but not only Erasmus+ Sport, and (c) the European Week of Sport, a cross-European campaign to raise awareness of the many benefits of sport and physical activity among people in Europe and to increase opportunities for them to be(come) active.

It is important to mention that the structures in place are to promote physical activity, not to duplicate efforts but to support the process by addressing the following challenges.

Challenges arise with regard to certain categories of population, which have no or only limited access to the practice of sport and physical activity. Refugees clearly belong to this category. This fact has raised new questions for policy makers and providers of sport and physical activity.

#### Intentions for the current year 2017:

To continue promoting HEPA policy measures and actions for refugees.

The massive arrival of refugees is one of the major challenges Europe is facing. Even if housing, food and education must be considered as first priorities, sport and physical activity can play an important role in the integration and wellbeing of refugees. Promoting sport and physical activity among the refugees population would fill a gap in the EU efforts to ensure better access to sport and physical activity for all socially-excluded groups. Some pilot actions could test and identify best practices in the Member States in such specific situation and with such a specific public.

Expected results:

- Awareness-raising among refugees about the benefits of practicing sport and physical activity;
- Better integration of refugees in society through sport and physical activity;
- Increased knowledge among refugees of European values through participation in sport and physical activity.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

Step	Date
Adoption of financing decision	31 March 2016
Publication of call for proposals	26 June 2016
Closing date of call for proposals	9 September 2016
Award decision	18 November 2016
Pre-financing payment(s)	January 2017
Interim payment(s)	N/A
Final payment(s)	Sept 2017-April 2018

## 5.3 *Documentation and expected results*

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

- The Commission Decision C(2016)1848 of 31 March 2016 sets out the main elements for the implementation of the pilot project.
- The call for proposals documentation can be found on the Europa website:  
[http://ec.europa.eu/sport/calls/2016-eac-s16\\_en](http://ec.europa.eu/sport/calls/2016-eac-s16_en)

### - Do similar activities exist, which are already covered by a legal basis?

Cf. section 5.1 above. The pilot project will be implemented taking due account of complementarity with existing initiatives.

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 *Type of activities financed, number and amounts*

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Promotion of Hepa policy measures and actions for refugees	CENTRO UNIVERSITARIO SPORTIVO PALERMO	60 000
	AQUILA BASKET TRENTO 2013 SRL	21 228
	OURMALA – Organisation	57 242*
	STOWARZYSZENIE NA RZECZ DEMOKRACJI	54 000
	ROYAL EUROPA 90 KRAAINEM FOOTBALL CLUB	59 363

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
	STREETFOOTBALLWORLD GGMBH*SFW	59 984
	OLTALOM SPORT ASSOCIATION NGO SPORT ASSOCIATION	46 960
	SPORTS MANAGEMENT BULGARIA	59 968
	CARITAS DER ERZDIOZESE WIEN - HILFE	60 000
	GENERAL SECRETARIAT FOR LIFELONG LEARNING AND YOUTH	60 000
	SUNFLOWER FARM COMPANY LIMITED	59 870

\* Amount rounded up.

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The pilot project was implemented by a call for proposals.

### *5.6 Types of beneficiaries*

The beneficiaries are public or private organisations with legal personality, whose principal activity is in the field of sport and physical activity and have their registered legal office in one of the 28 EU Member States.

## 1. Heading

### 15 02 77 11: Pilot project — Improving learning outcomes by supporting novice teachers through online learning, coaching and mentoring

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	499 175,00	0,00	p.m.	250 000	p.m.	199 670	0,0 %	-20,1 %
Reserve							0,0 %	0,0 %
Total	499 175,00	0,00	p.m.	250 000	p.m.	199 670	0,0 %	-20,1 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

This pilot project focuses on developing online material. The online tools will support beginning teachers in the first years of their careers, and their mentors, by providing high-quality tools to further enable teachers' professional development and peer-to-peer networking. In order for these pilot tools to be coherent with existing European Commission online tools and websites, they will follow strict guidelines on the visual nature and structure of the online platforms and course website and materials.

The overall objective of this contract is to contribute to the improvement of European level policy development in education and training. The specific objective of this contract is to increase self-efficacy of beginning teachers.

This will be achieved by exploring the possibilities for Europe-wide online high quality tools and resources that can support beginning teachers and their mentors and that can complement current policy work by Member States concerning teacher professional development.

By building systems of mentoring and peer support the project addresses two of the four elements of effective induction for beginning teachers. In its design and execution it will take care to address the other two elements, expert input and self-reflection, as much as possible.

This project comprises three strands:

1. An online Beginning Teachers platform supporting peer networks.
2. An online Mentor platform supporting peer networks.
3. An online professional development course for mentors.

#### Intentions for the current year 2017:

The following main tasks are being performed during 2017:

- Outline of plans for platforms and course plus selection of countries.



- An online platform to support teacher networks, (design, recruitment and animation).
- Online professional development course for mentors (design, recruitment, running course and evaluation).

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

Step	Date
Adoption of financing decision	31/03/2016
Publication of call for tender	24/06/2016
Closing date of call for tender	16/08/2016
Award decision	15/11/2016
Pre-financing payment(s)	N/A
Interim payment(s)	May 2017 and September 2017
Final payment(s)	May 2018

## 5.3 *Documentation and expected results*

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Decision C(2016)1848 of 31 March 2016 sets out the main elements for the implementation of the pilot project.

The documentation for the call for tender (EAC/14/2016) can be found on the Europa website: [https://ec.europa.eu/education/calls/2016-eac-14\\_en](https://ec.europa.eu/education/calls/2016-eac-14_en)

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 *Type of activities financed, number and amounts*

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
The Development of Online Tools for Beginning Teachers and their Mentors	EUN Partnership AISBL	499 175

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented through a call for tender.

## 5.6 *Types of beneficiaries*

Private or public entity

## 1. Heading

### 15 02 77 17: Pilot project — Altiero Spinelli Doctoral Scholarship

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		750 000	375 000	p.m.	375 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		750 000	375 000	p.m.	375 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The aim of the "Altiero Spinelli Prizes for Outreach" (ASPO) will be to encourage, reward and provide European level recognition and visibility to high quality contributions to:

- Promoting knowledge of the EU and critical reflection on the past, the present and the future of the EU to non-specialist audiences and to the general public.
- Enhancing understanding by citizens of the values, objectives and benefits of the European integration process, of the enormous accomplishments of the EU but also of failures, contradictions and dilemmas.
- Proposing, testing and assessing innovative approaches and materials that European and national policy makers, practitioners, civil society organisations and institutions in various fields can use to better inform, educate, inspire and empower citizens to develop positive and positively critical European identities and sense of belonging.
- Exposing anti-EU populist myths on various aspects of the European integration process, including extremist rhetoric based on intolerance and misinformation about the legitimacy, competencies and actual work of the EU.

The Prizes will be awarded for outstanding work that draws upon and exploits, popularizes and effectively communicates the findings, knowledge and insights emerging from research on the processes of European Integration to wider society (for example through publications, media productions, newspapers, films, documentaries, videos or other products or means), as well as through institutional initiatives.

Prizes will be awarded to attractive, user-friendly, evidence-based, scientifically rigorous and myth-busting work that achieves the objectives set above and that is suitable for outreach to a variety of lay, non-academic and non-specialist audiences.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	May 2017
Publication of the contest	June 2017
Deadline for applications	November 2017
Evaluation of the proposals received	November 2017
Award decision	Early Dec. 2017
Award ceremony	Early 2018
Final payment(s)	2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted in May 2017 will set out the main elements for the implementation of this pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by way of prizes to be awarded through a contest, in accordance with the provisions of Part I – Title VII of the Financial Regulation.

The awards are cash prizes awarded as "recognition prizes" for outstanding work that is already completed at the cut-off date or as "incentive prizes" for work which may still be in progress but at a sufficiently advanced preparation stage at the cut-off date that the potential is already convincingly demonstrated.

The prizes will be awarded through a contest that will be published in June 2017. The Rules of Contest will be established and published by the Commission which will manage the contest and award the prizes based on the assessment carried out by a jury of external independent evaluators appointed by the Commission. The jury will include experts from the Jean Monnet community of academics.

The relevant Rules of Contest will lay down the conditions for participation, the award criteria, the amount of the prize and the arrangements for the payments of the prize to the winners after their award.

The budget for this activity is EUR 750 000, with the following indicative breakdown:

- EUR 650 000 for the Prizes,
- EUR 50 000 for the evaluation of entries,
- EUR 50 000 for the award ceremony and communication activities.

## 5.6 *Types of beneficiaries*

The contest will be open to individuals (natural persons) or teams of individuals who have at least a Masters Degree and who at the time of application are affiliated to an academic/research institution, organisation, civil society organisation, company or other type of legal entity established and based in an EU Member State. Eligible applicants include individuals in one of the following situations:

- Master graduates / Doctoral candidates.
- PhD holders / Post-doctoral researchers.

## 1. Heading

### **32 02 77 08: Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	168 015,57	p.m.	400 000	p.m.	203 000	0,0 %	-49,2 %
Reserve								
Total	0,00	168 015,57	p.m.	400 000	p.m.	203 000	0,0 %	-49,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### **Objectives followed during 2016 and previous years' implementation:**

The objective of this pilot project is to identify common indicators and criteria for assessing energy poverty and vulnerability and based on that to collect comparable information (e.g. through an observatory) mapping the energy poverty and actions to tackle it in Europe.

The project is divided into two parts

- A study for a max. of EUR169 200 (under a specific contract under a framework contract)
- The establishment of an EU energy poverty observatory for max EUR 830 000 (open call for tender)

The study (first part) has been successfully completed in 2016. The call for tender for the second part was published in 2016 and a contract with the successful tenderer was signed on 20 November 2016.

#### **Intentions for the current year 2017:**

The second part of the project aims at gathering data on energy poverty from all EU Member States and creates a web based platform to present the data and to share best practices on measures to fight energy poverty. The amount for setting up the platform and operating it until the project end in April 2020 amounts to EUR 813.761.00. The contract started on 30.11.2016 with duration of 40 months. The first and second interim payments will be due in 2017 for a total amount of EUR 284 816,35.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of the Commission financing decision	16 June 2015
<b>1<sup>st</sup> stage – study</b> (finalised in June 2016)	
Invitation to tender under an existing framework contract	10/09/2015
Signature of the contract	01/12/2015
Final report of first stage	20/05/2016
Final Payment (1st stage)	June 2016
<b>2<sup>nd</sup> stage - energy poverty observatory</b>	
Open call for tenders, publication	25/05/2016
Deadline for submission of tenders	01/08/2016
Signature of the contract	30/11/2016
1 <sup>st</sup> interim payment (inception report)	Mai 2017
2 <sup>nd</sup> interim payment (1 <sup>st</sup> interim report)	December 2017
3 <sup>rd</sup> interim payment (2 <sup>nd</sup> interim report)	October 2018
4 <sup>th</sup> interim payment (3 <sup>rd</sup> interim report)	August 2019
Final payment	June 2020

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Decision C(2015) 4041 final of 16 June 2015 set out the main elements for the implantation of the pilot project.

[https://ec.europa.eu/energy/sites/ener/files/documents/C\\_2015\\_4041\\_F1\\_ANNEX\\_EN\\_V1\\_P1\\_813109.pdf](https://ec.europa.eu/energy/sites/ener/files/documents/C_2015_4041_F1_ANNEX_EN_V1_P1_813109.pdf)

The first study Selecting Indicators to Measure Energy Poverty has been published in May 2016. Available under <http://ec.europa.eu/energy/en/studies>

The open call for tender (ENER/B3/2015/507-2) EU Observatory on Energy Poverty was published in eTendering on 25 May 2016: <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1521; contract award notice: OJ S : 2016/S 243-442712>

The web-based platform of the EU Observatory on Energy Poverty will be operational from the end of 2017.

### - Do similar activities exist, which are already covered by a legal basis?

The findings of this pilot build on the results from several Commission studies (e.g. DG JUST - Consumer vulnerability across key markets in the European Union) on closely related topics. Further, the Commission (DG ENER) conducted two INSIGHT-E projects,

(i) study on "Energy poverty and vulnerable consumers in the energy sector: analysis of policies and measures"; the final report was published in May 2015

[http://ec.europa.eu/energy/en/studies?field\\_associated\\_topic\\_tid=All&page=7](http://ec.europa.eu/energy/en/studies?field_associated_topic_tid=All&page=7)

(ii) study on "Measures to protect vulnerable consumers in the energy sector: an assessment of disconnection safeguards, social tariffs and financial transfers"; the final report will be published after its approval in May/June 2017.

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The pilot project is set up to support Member states in monitoring energy poverty. Such monitoring obligation is already proposed by the Commission under the recast of the directive on common rules for the internal market in electricity COM(2016)864 final presented in November 2016.

## 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
'Selecting Indicators to Measure Energy Poverty' / Study contract under FWC ENER/A4/516/2014	TRINOMICS B.V.	169 200
EU Observatory on Energy Poverty – service contract ENER/B3/2015/507-2	The University of Manchester (UK), in consortium with Ecofys Germany GmbH (DE) European Policy Centre (BE) Intrasoft International (LU) National Energy Action (UK) Wuppertal Institut für Klima, Umwelt, Energie GmbH (DE)	813 761

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The first part of the project has been implemented through a service contract No ENER/B3/SER/2015-507/SI2.718653 signed under Implementing Framework contract No ENER/A4/516/2014.

The second part of the project is implemented through a service contract (ENER/B3/2015/507-2) signed after an open call for tender.

## 5.6 Types of beneficiaries

Consultants, Academia

## 1. Heading

### 32 02 77 10 :Pilot project — Fighting Energy Poverty in the Ionian-Adriatic Macro-Region

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve							
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

This pilot project proposes the promotion of energy efficiency in the Ionian-Adriatic Macro-Region and will aim to reduce energy consumption and tackle energy poverty as well as reduce greenhouse gas emissions through the funding of regional/local initiatives supporting energy renovation of public or private residential buildings with the possibility of using renewable energy, of which the Macro-Region has a huge potential. The proposal is to have a study that would focus on the islands in the Ionian-Adriatic Macro region which are big enough to have a relevant amount of residential inhabitants and do not get alive only in summer time for tourism (but have the need to deal with higher energy demand in high touristic season). The focus on islands is interesting for the fact that many of these islands are not connected to the grid of the main land and generate energy with local inefficient old technologies. Tackling energy poverty and reducing energy consumption can happen in these places not only with retrofitting of buildings but also with retrofitting of the technology to generate and distribute energy. The pilot project would include maximum three Greek and maximum three Croatian islands (to be identified).

The results of the project will be assessed in a regional level in order to structure efficient use of structural funds and additional funding in order to promote larger scale energy efficiency programs deployed by national or regional authorities.

The pilot project will be implemented by an open call for tender or one of the modalities set in art.104 of the financial regulation.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	June 2017

<b>Step</b>	<b>Date</b>
Publication of call for tender (or activation of modality RE. art. 104 of the financial regulation)	July/August 2017
Closing date of call for tender (or of the modality RE. art. 104 of the financial regulation)	October 2017
Pre-financing payment	December 2017
Interim payment	June 2018
Final payment / final report	December 2018
Dissemination event/Work Shop	By end 2018

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Open call for tender (or one of the modalities set in art.104 of the financial regulation)

### 5.6 *Types of beneficiaries*

Consulting firms, academia, innovation companies, energy companies



**Heading 1b - Economic, Social and Territorial Cohesion**

## 1. Heading

**09 04 77 12: Pilot project — Digital enablers in SMEs: support for digitalisation to enhance SMEs' capacity to go international and innovate**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	900 000	-100,0 %	80,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	900 000	-100,0 %	80,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The main objective of the pilot project is to match small- and medium-sized growth-oriented companies with highly-skilled digital experts, in order to fill the lack of adequate technological competences of the company, equipping it with the appropriate tools and enabling its digital transformation, as an essential instrument for internationalisation, both within the internal market and toward extra-EU countries.

The project will be implemented through a grant following a call for proposals. The publication of the call for proposals and the evaluation of proposals the call for proposals should take place during 2017. It will be implemented for the most part in 2018.

The project would provide funding for young, highly skilled digital experts (not simply IT managers) to work in growth-oriented SMEs established in another Member State for one month according to the digitalisation needs of the company.

Key elements of the pilot project would be:

- Support offered for the matching phase
- Placement of the digital enabler in the SME (EU Grant paying the advice services offered by the digital enabler);
- Training / Coaching package for the SME and the digital enabler by a Digital Innovation Hub. The latter are centres in a region that are supporting SMEs in their digital transformation. Many regions are already setting up such centres.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	28 April 2017
Publication of call for proposals	May-June 2017
Closing date of call for proposals	September 2017
Award decision	November 2017
Pre-financing payment	January 2018
Interim payment	November 2018
Final payment	September 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Call for proposals (grant). The maximum possible rate of co-financing is 90% of the eligible costs of the project and the grant will be for a maximum duration of 18 months.

## 5.6 *Types of beneficiaries*

Legal persons applying either as single beneficiaries or as a consortium made up of several legal entities from EU Member States or associated countries

## 1. Heading

**09 04 77 16: Pilot project — European platform on vulnerable people in the Information Society: mapping best practices and socio-economic impact for the empowerment of vulnerable communities through information and communication technologies (ICTs)**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		750 000	375 000	p.m.	300 000	-100,0 %	-20,0 %
Reserve						0,0 %	0,0 %
Total		750 000	375 000	p.m.	300 000	-100,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The overall objective of this pilot project is to develop an interactive online map of Europe and a catalogue of existing best practices that take place on a local, regional or national level to better integrate vulnerable/disadvantaged groups in the digital society across the 28 EU member states and also identifies areas where no initiatives exist to tackle digital exclusion and therefore vulnerable people are more at risk of exclusion.

A call for proposals will be published in 2017.

It is expected that the action will help different stakeholders to become aware of what has already been developed and implemented and use the experience of the existing tools at local/national and European levels.

This initiative will allow stakeholders to avoid duplication of efforts, and to use and consolidate existing knowledge and experience in order to better integrate vulnerable communities in Europe and to reinforce the information exchange among different stakeholders from public, private and civil society sectors.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	Second quarter 2017
Closing date of call for proposals	Second quarter 2017
Award decision	Third quarter 2017

Step	Date
Pre-financing payment(s)	Fourth quarter 2017
Interim payment(s)	2018
Final payment(s)	2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented through a grant following a call for proposals.

### 5.6 *Types of beneficiaries*

The call for proposals will be open to legal entities established in EU Member States.

## 1. Heading

**09 04 77 17: Pilot project — Start This Up! Start-up-based ecosystem (connecting universities, entrepreneurs and a start-up hub in Western Pomerania) harnessing regional potential away from central cities in Poland**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	CA	PA	CA	PA	CA	PA	CA	PA
Line			750 000	375 000	p.m.	500 000	-100,0 %	33,3 %
Reserve							0,0 %	0,0 %
Total			750 000	375 000	p.m.	500 000	-100,0 %	33,3 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The overall objective of this pilot project is to change a still unfavourable trend on the start-up market within the EU, and in Poland. The pilot project will start to build the ecosystem corresponding to three basic needs of the market: sharing knowledge, new ideas and know-how in order to build a start-up scheme.

The aim of the project would be to create links between ecosystems, focus on connecting people, local ecosystems, international outreach and provide information through the One Stop Shop for start-ups for the West Pomerania region and neighbouring regions. The pilot project would reinforce matchmaking between investors, corporates, higher education institutions and entrepreneurs as well as networking of regional decision-makers.

The pilot project will be implemented by way of a call for proposals.

Expected results are the creation of new opportunities, notably by connecting with the 'Startup Europe' initiative (COM(2016)733), which will allow the local ecosystem to connect to clusters and ecosystems across Europe, as well as bringing stronger coherence between the different EU initiatives in particular by linking up regional authorities, innovation agencies and other stakeholders and ecosystems.

In 2017 Start This Up will launch 4 events on matchmaking, linking start-ups, mid-caps and larger enterprises. It will also organise a 'Erasmus for Young Entrepreneurs' conference to bring up to 10 incubators and up to 200 entrepreneurs towards international markets.

The pilot project will build on the New Skills Agenda of the Commission by promoting entrepreneurship, management and innovation skills to up to 100 innovators and researchers.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	28 April 2017
Publication of call for proposals	July 2017
Closing date of call for proposals	September 2017
Award decision	November 2017
Pre-financing payment	December 2017
Interim Payment	June 2018
Final payment	December 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

The pilot project complements cohesion policy initiatives within the ERDF/CF OP 2014-2020 and the Startup Europe initiative of the Framework Programme Horizon 2020. The regions to be targeted by the Pilot Project are not currently covered by the above-mentioned initiatives. The aim is to connect these less-developed regions to more advanced hubs and ecosystems across Europe.

The planned organisation of an 'Erasmus for Young Entrepreneurs' conference to bring up to 10 incubators and up to 200 entrepreneurs towards international markets is a new approach to entrepreneurship unmatched by any other EU programme.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by way of grants awarded further to a call for proposals. It is foreseen that the maximum possible rate of co-financing of 70% of the eligible costs of the project. The duration of project(s) supported shall not exceed two years.

## 5.6 *Types of beneficiaries*

Legal persons applying either as single beneficiaries or as consortia made up of several beneficiaries from the Member States.

## 1. Heading

**13 03 77 21: Pilot project —European Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 300 000	650 000	p.m.	650 000	-100,0 %	0,0 %
Reserve							
Total		1 300 000	650 000	p.m.	650 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Objectives and intentions for the current year 2017:

The European Strategy for the Adriatic and Ionian Region was proposed by the European Commission in June 2014 (COM(2014) 357), and endorsed by the Council in the second semester of 2014 (General Affairs Council 29 September 2014 and European Council 23-24 October 2014).

The EUSAIR is still in its early stages. The pilot project is intended to provide key implementers (National and Pillar Coordinators, Thematic Steering Groups) and stakeholders with a wide range of support required for the effective implementation of the strategy.

Support domains should include: multi-level governance; capacity building of key implementers; awareness-raising initiatives, seminars, cultural events and/or training programmes for citizens in the region; generation, preparation and implementation of initiatives and projects with a genuine macro-regional value.

In 2017, the pilot project should be implemented by the Commission through a mix of direct grants and procurements.

Direct grants may be provided to the national/regional authorities designated by their respective countries (i.e. de jure or de facto monopoly situation) as 'key implementers' of the strategy.

Specific support could also be procured by the Commission in the form of technical assistance (e.g. expertise, studies, seminars), in accordance with the needs of the strategy as a whole or of any of its pillars.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	3 <sup>rd</sup> quarter 2017



Step	Date
Publication of call for proposals / call for tender	N/A - direct grants / specific contracts under framework contracts
Closing date of call for proposals / call for tender	N/A – direct grants / specific contracts under framework contracts
Award decision(s)	September 2017
Pre-financing payment(s)	October 2017
Interim payment(s)	2018
Final payment(s)	2020

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision, to be adopted in 2017, will include the main elements of the pilot project.

The rationale of this pilot project is to be found in the Communication C(2014)357 of 17 June 2014 concerning the EU Strategy for the Adriatic and Ionian Region (EUSAIR) and its accompanying Action Plan [SWD(2014) 190].

All documentation concerning the implementation of the strategy, and namely the work of the EUSAIR key implementers (National and Pillar Coordinators, members of the Thematic Steering Groups) which are the main beneficiaries of this pilot project, can be found in the EUSAIR website ([www.adriatic-ionic.eu](http://www.adriatic-ionic.eu)).

#### - Do similar activities exist, which are already covered by a legal basis?

The Interreg ADRION transnational cooperation programme 2014-2020 provides support to the EUSAIR through a strategic project "Facility Point" aiming at supporting the EUSAIR governance structures and the stakeholders. However, this strategic project is not sufficient to thoroughly address all the main needs of the EUSAIR and notable those of the participating accession countries. This pilot projects aims at complementing the support required by the EUSAIR to be successful.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

In the long term, follow up activities shall be taken up by the Interreg ADRION Programme (Interreg transnational programme). Several cooperation programmes in the 2014-2020 programming period are fully matching the macro-regional strategies in the Baltic, Danube, Adriatic-Ionian and Alpine areas. Not only in terms of geography, but they are also meant to provide key support to their implementation. In this context, all four programmes have included a Priority Axis based on thematic objective 11, which provides support to the key implementers and other horizontal activities. This support will take different shapes in each programme, taking into account the specificities and stages of implementation of each macro-regional strategy

### 5.4 Type of activities financed, number and amounts

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

Indicative breakdown:

- Direct grants: EUR 1 100 000
- Studies and technical assistance: EUR 200 000

### 5.5 Method of implementation (tenders, grants, other) and evaluations

Direct grants to national/regional authorities designated as "key implementers" of the EUSAIR in accordance with article 190 of the Rules of application to the Financial Regulation.

Procurement: service contracts (specific contracts under framework contracts).

### 5.6 Types of beneficiaries

EUSAIR key implementers (National and Pillar Coordinators, Thematic Steering Groups) and stakeholders

## **Heading 2 - Sustainable Growth: Natural Resources**

## 1. Heading

### 05 08 77 10: Pilot project — Agropol: development of a European cross-border Agribusiness Model Region

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	201 695,00	p.m.	201 695	p.m.	605 085	0,0 %	200,0 %
Reserve								
Total	0,00	201 695,00	p.m.	201 695	p.m.	605 085	0,0	200,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

The aim of the pilot project is to examine how to bring together and promote cross-border agribusiness expertise in rural areas and to form agribusiness competence regions – so-called 'Agropols', which will offer a sustainable economic perspective to those areas.

The pilot project is implemented in the form of a study in order to provide the background knowledge that could be used by European regions to facilitate cross border co-operation in the agricultural sector.

#### Intentions for the current year 2017:

The contract was signed and work started in December 2015. The pilot project is on-going and will be implemented over a period of 3 years. The final report is scheduled in December 2018 and its publication at the beginning of 2019.

Main steps of the pilot project:

- Description of past/on-going cross border co-operations:

The aim is to gather the knowledge about the past and on-going cross border regional co-operations under relevant policies, especially but not limited to those under rural development, regional as well as research and innovation policy. An inventory of such cross border co-operations will be established with at least 5 examples in the agriculture sector.

- Development of a strategy for the cross border co-operation:

The aim is the knowledge and experience gained from the process of developing a strategy of the cross border regional co-operation in agricultural sector. The purpose is to have a bottom-up approach in creating the strategy; this is why high involvement of relevant partners and stakeholders is a must.

- Management of two new projects:

The aim is to assess/"challenge" the agreed strategy via two new projects and to learn from that experience.

- Blueprint and dissemination of results:

The aim is to establish a blueprint/model of the cross border co-operation that could be easily used by other interested regions in the future. The dissemination of results will include, among others, the organisation of the seminar to present the pilot project's results.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2014 appropriations

Step	Date
Adoption of financing decision	30 April 2014 (C(2014)2753)
Publication of call for tender	24 July 2015
Closing date of call for tender	24 September 2015
Award decision	11 December 2015
Pre-financing payment	n/a
Interim payment	Interim payments in 2016, 2017 and 2018
Final payment	The final payment is envisaged in 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing decision n° C(2014)2753 adopted on 30 April 2014 sets out the main elements for the implementation of the pilot project. The tender specifications with other tender documents are published on the following website: [https://ec.europa.eu/agriculture/calls-for-tender/2015-258964\\_en](https://ec.europa.eu/agriculture/calls-for-tender/2015-258964_en)

### **- Do similar activities exist, which are already covered by a legal basis?**

The global aim of the pilot project is also addressed by the European Innovation Partnership on Agricultural Productivity and Sustainability (EIP AGRI). The EIP AGRI aims at building a competitive primary sector that secures global food availability, diversified products and production, long-term supply of various raw-materials or food and non-food uses, as well as a better allocation of added value across the food chain. The approach of the EIP AGRI is to form bottom-up partnerships linking various actors from research and practice in "Operational groups" and connect them via an EIP network. In the setting up of the "Operation Groups", cross regional cooperation is encouraged.

The global aim of the pilot project is also addressed by the European Innovation Partnership on Agricultural Productivity and Sustainability (EIP AGRI). The EIP AGRI aims at building a competitive primary sector that secures global food availability, diversified products and production, long-term supply of various raw-materials or food and non-food uses, as well as a better allocation of added value across the food chain. The approach of the EIP AGRI is to form bottom-up partnerships linking various actors from research and practice in "Operational groups" and connect them via an EIP network. In the setting up of the "Operation Groups", cross regional cooperation is encouraged.

The EIP AGRI builds on existing Union policies and its main funding sources are the Regulation on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) as well as the Regulation establishing Horizon 2020 - the Framework Programme for Research and Innovation.

For more information about the EIP AGRI, please refer to the following website: <http://ec.europa.eu/eip/agriculture/>

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No.

## 5.4 *Type of activities financed, number and amounts*

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project — Agropol: development of a European cross-border agribusiness model region - study	IDEA Strategische Economische Consulting	1 008 475

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The pilot project was implemented by means of an open call for tenders (study).

### *5.6 Types of beneficiaries*

The study is conducted by the successful tenderer of the open call for tenders (IDEA Strategische Economische Consulting).

The final outcomes with the blueprint may help the regions in the Member States in organising such cross-border cooperation.

## 1. Heading

### 05 08 77 12 : Pilot project — Social eco-village

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	400 000,00	0,00	p.m.	120 000	p.m.	120 000	0,0 %	0,0 %
Reserve								
Total	400 000,00	0,00	p.m.	120 000	p.m.	120 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and intentions for the current year 2017:

The pilot project is a form of a study. The aim is that the pilot project will develop models for various types of 'smart village' in the European Union. It will develop replicable models and serve as an example by identifying best practice.

The main steps of the pilot projects are: 1) What are challenges and needs of the EU rural area?; 2) What is a smart eco-social village?; 3) Identification of "best practice" of existing smart eco-social villages; 4) How to become a smart eco-social village in practice?

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision and global commitment	12 April 2016 (C(2016)2070)
Publication of call for tender	29 March 2017
Closing date of call for tender	17 May 2017
Award decision	End of 2017
Pre-financing payment	n/a
Interim payment	Interim payments are envisaged for 2018
Final payment	The final payment is envisaged in 2019

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing decision n° C(2016)2070 adopted on 12 April 2016 sets out the main elements for the implementation of the pilot project.

The tender specifications and other tender documents of the call for tenders are published on the following website: [https://ec.europa.eu/agriculture/calls-for-tender/115363-2017\\_en](https://ec.europa.eu/agriculture/calls-for-tender/115363-2017_en)

#### **- Do similar activities exist, which are already covered by a legal basis?**

Article 6 in general and more specifically Article 20 of Regulation (EU) No 1305/2013 of the European Parliament and of the Council on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) are covering some of the aspect of this pilot project on smart eco-social villages.

Recently an 'EU action plan for Smart Villages' was launched in which the above-mentioned pilot project is part of [https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/looking-ahead/rur-dev-small-villages\\_en.pdf](https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/looking-ahead/rur-dev-small-villages_en.pdf)

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procurement procedure is ongoing, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by means of an open call for tenders.

### 5.6 *Types of beneficiaries*

The study should be conducted by the successful tenderer of the open call for tenders.

The results of the study may be used, among others, for the policy makers of the field at the European Institutions as well as for the representatives of local governments in the Member States.

## 1. Heading

### 05 08 77 13: Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	300 000,00	0,00	p.m.	90 000	p.m.	90 000	0,0 %	0,0 %
Reserve								
Total	300 000,00	0,00	p.m.	90 000	p.m.	90 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and intentions for the current year 2017:

The pilot project will take a form of a study. The aim of the pilot project is to improve the implementation of measures for preventing and managing crises in the agricultural sector.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision and global commitment	12 April 2016 (C(2016)2070)
Publication of call for tender	3 <sup>rd</sup> quarter of 2017
Closing date of call for tender	4 <sup>th</sup> quarter 2017
Award decision	End of 2017
Pre-financing payment	n/a
Interim payment	Interim payments are envisaged for 2018
Final payment	The final payment is envisaged in 2019



### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing decision n° C(2016)2070 adopted on 12 April 2016 sets out the main elements for the implementation of the pilot project.

The tender specifications and other tender documents of the call for tenders for the study are in preparation. They will be published on the Commission website at the following address: [http://ec.europa.eu/agriculture/calls-for-tender/index\\_en.htm](http://ec.europa.eu/agriculture/calls-for-tender/index_en.htm)

#### **- Do similar activities exist, which are already covered by a legal basis?**

Regulation (EU) 1308/2013 (Common Market Organisation) provides for a range of instruments to tackle market imbalances. In particular, the exceptional measures under Articles 219-221 CMO targeted at market disturbance and the loss of consumer confidence complement the safety net of public intervention and private storage aid. In view of the unpredictable nature of crises situations and the difference of market structures across various sectors, these measures have been designed for a high degree of flexibility.

However, the debate around the current development in certain agricultural sectors (milk, pig meat,...) has shown that the European Institutions have an important role to play in making sure that farmers, policy makers and other stakeholders have access to relevant, accurate and timely information to implement adequate risk management strategies and crisis prevention actions. The provision of such information, its interpretation and the risk and crises strategies to be applied are of great importance.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procurement procedure is not yet launched, these details are not yet known. The scope and main themes of the study are being currently elaborated and they will be publicly available once the open call for tenders is published.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by means of an open call for tenders to be organised in 2017.

### 5.6 *Types of beneficiaries*

The study should be conducted by the successful tenderer of the open call for tenders.

The results of the study may be used, among others, by the European Institutions for possible policy reflections in the area of crisis management.

## 1. Heading

### 05 08 77 14: Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	700 000,00	0,00	200 000,00	210 000	p.m.	450 000	-100,0 %	114,3 %
Reserve								
Total	700 000,00	0,00	200 000,00	210 000	p.m.	450 000	-100,0 %	114,3 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and intentions for the current year 2017:

The pilot project will take a form of a study. It aims at providing in-depth knowledge of the problems and challenges facing the beekeeping sector. It will be ended with a final conference presenting the final results of the study.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 and 2017 appropriations

Step	Date
Adoption of financing decision 2016 and global commitment	12 April 2016 (C(2016)2070);
Adoption of financing decision 2017	Expected May 2017
Publication of call for tender covering both 2016 and 2017 appropriations	3 <sup>rd</sup> quarter of 2017
Closing date of call for tender	4 <sup>th</sup> quarter 2017
Award decision	End of 2017
Pre-financing payment	n/a
Interim payment	Interim payments are envisaged for 2018 and 2019
Final payment	The final payment is envisaged in 2020

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing decision n° C(2016)2070 adopted on 12 April 2016 sets out the main elements for the implementation of the pilot project. The financing decision covering the 2017 appropriations is expected to be adopted in May 2017.

The tender specifications and other tender documents of the call for tenders are in preparation. They will be published on the Commission website at the following address: [http://ec.europa.eu/agriculture/calls-for-tender/index\\_en.htm](http://ec.europa.eu/agriculture/calls-for-tender/index_en.htm)

#### **- Do similar activities exist, which are already covered by a legal basis?**

The pilot project is already partly covered by the EU legislation related to national apiculture programmes (articles 55-57 of Regulation (EU) No 1308/2013). The EU funding is available for this type of project under technical assistance to beekeepers and beekeepers' organisations, measures to support the restocking of hives in the Union and apiculture products (article 55(4)(a)(e)(f)). However, these measures can be funded only at national level.

Moreover, the scope of the proposed pilot project is also partially covered by a FP7 programme called SMARTBEES which started in November 2014 (FP7 KBBE programme 2013.1.3-02, Grant Agreement number 613960; [www.smartbees-fp7.eu](http://www.smartbees-fp7.eu)) and will continue till 2018.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procurement procedure is not yet launched, these details are not yet known. The scope and main themes of the study are being currently elaborated and they will be publicly available once the open call for tenders is published.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by means of an open call for tenders, covering both 2016 and 2017 commitment appropriations (total amount EUR 900.000), to be organised in 2017.

### 5.6 *Types of beneficiaries*

The study should be conducted by the successful tenderer of the open call for tenders.

The results of the study may be used by the European Institutions and Member States in possible future reflections for the beekeeping sector.

## 1. Heading

**05 08 77 15: Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	300 000,00	0,00	p.m.	90 000	p.m.	90 000	0,0 %	0,0 %
Reserve								
Total	300 000,00	0,00	p.m.	90 000	p.m.	90 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and intentions for the current year 2017:

The pilot project will take a form of a study. It intends, in particular in the fruit and vegetable sector, to cover the following analysis:

- The incentives and disincentives for farmers to join and work together in producer organisations ('PO's').
- The governance arrangements, areas for cooperation and levels of concentration of supply which best allow POs to carry out their activities effectively and provide support to their members;
- The most effective financial and legal tools that the Common Agricultural Policy could offer to support POs.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision and global commitment	12 April 2016 (C(2016)2070)
Publication of call for tender	3 <sup>rd</sup> quarter of 2017
Closing date of call for tender	4 <sup>th</sup> quarter of 2017
Award decision	End of 2017
Pre-financing payment	N/A
Interim payment	Interim payments are envisaged for 2018
Final payment	The final payment is envisaged in 2019

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing decision n° C(2016)2070 adopted on 12 April 2016 sets out the main elements for the implementation of the pilot project.

The tender specifications and other tender documents of the call for tenders are in preparation. They will be published on the Commission website at the following address: [http://ec.europa.eu/agriculture/calls-for-tender/index\\_en.htm](http://ec.europa.eu/agriculture/calls-for-tender/index_en.htm)

#### **- Do similar activities exist, which are already covered by a legal basis?**

The outcomes of a previous pilot project: "pilot project: Support for Farmers' Cooperatives" (The report was published in 2013 and is available at: [http://ec.europa.eu/agriculture/external-studies/support-farmers-coop\\_en.htm](http://ec.europa.eu/agriculture/external-studies/support-farmers-coop_en.htm)), where the producer organisations' issues was addressed, may be a starting point for this pilot project. The availability of more information on the recognised producer organisations, especially in the sectors where they are less established, will be crucial in a successful implementation of the pilot project.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procurement procedure is not yet launched, these details are not yet known. The scope and main themes of the study are being currently elaborated and they will be publicly available once the open call for tenders is published.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by means of an open call for tenders to be organised in 2017.

### 5.6 *Types of beneficiaries*

The study should be conducted by the successful tenderer of the open call for tenders.

The results of the study may be used, among other, by the European Institutions for future policy reflections in the area of producer organisations.

## 1. Heading

### 07 02 77 22: Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	511 420,20	p.m.	585 000	p.m.	300 000	0,0 %	-48,7 %
Reserve								
Total	0,00	511 420,20	p.m.	585 000	p.m.	300 000	0,0 %	-48,7 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2013 and 2014 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of this pilot project is to demonstrate the potential of results based payment schemes for delivering effective and efficient biodiversity/nature protection in the context of the common agricultural policy. This objective is to be achieved through a combination of:

- i) a study to assess previous and existing results- based payment schemes and to benchmark best practice, and
- ii) a number of pilot schemes to “road-test” innovative result-based payment schemes in different parts of the EU.

The study carried out to review existing schemes and to benchmark best practice provided a handbook for practitioners, technical fiches, and a web-based platform for information exchange. They are used to promote Result Based payment schemes and to provide support to the pilot schemes that started in 2015 and 2016.

In 2014, the Commission organised and completed a call for proposals for the award of grants to carry out and test result based payment schemes in the EU. The call for proposals pooled together resources from the 2013 (EUR 1 500 000) and the 2014 (EUR 500 000) budgets.

The Commission decided to award two grants for one project in Romania and another project in Ireland/Spain. The grant agreements were signed in December 2014 and the projects started in 2015 and last for around 4 years. The total value of the grants awarded was EUR 1 452 434, with an amount of EUR 547 566 which was not awarded.

In 2015, a new call for proposals was organized using EUR 500,000 of the money that was not awarded in 2014. The Commission decided to award one grant for a project in the United Kingdom. The project started at the beginning of 2016 and will last approximately 3 years. The value of the grant award was EUR 499 800.

2015 was the first year for the implementation of the projects in Romania and Ireland/Spain. These projects have been implemented according to the schedule set out in the respective grant agreements. The grant agreement for the project in Romania was amended during the course of 2015 in order to make some adjustments to the budget tables. The total value of the project and the contribution from the EU, have not been affected by these changes.

### Intentions for the current year 2017:

For the projects in Romania and Ireland/Spain, 2017 will be the second year of testing of the results-based payment schemes that were developed in 2015. Both projects will look forward to repeat the implementation success from the first year.

For the project in the UK, 2017 will be the first year of testing of the results-based payment schemes that were developed in 2016. The emphasis will be on monitoring and evaluation as well as working with selected farmers to ensure a successful implementation.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2013 and 2014 appropriations

<b>Step</b>	<b>Date</b>
Adoption of 2013 financing decision	5 July 2013
Adoption of 2014 financing decision	26 June 2014
<b>Call for tender (EUR 500 000) 2013</b>	
Publication of call for tender	13 August 2013
Closing date of call for proposals / call for tender	30 September 2013
Award decision	26 November 2013
Pre-financing payment(s)	N/A
Interim payment(s)	July 2014
Final payment(s)	April 2015
<b>Calls for Proposals (EUR 2 000 000) – single call for proposals combined with 2014 commitment appropriations</b>	
Publication of the call	15 July 2014
Closing date of the call	15 September 2014
Award Decision	10 December 2014
Pre-financing payments	January 2015 (40%)
Interim payments	TBD (when 80% of pre-financing spent)
Final payments	2018-2019
<b>Call for Proposals (EUR 500 000)</b>	
Publication of call for proposals	1 June 2015
Closing date of call for proposals	15 September 2015
Award decision	2 December 2015
Pre-financing payment(s)	February 2016
Interim payment(s)	TBD (when 80% of pre-financing spent)
Final payment(s)	2019

### 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2013 appropriations: the Commission financing decision of 5 July 2013 (C(2013 )4170 sets out the main elements of the implementation of the pilot project.

2014 appropriations: the Financing decision of 26 June 2014 (C(2014) 4204)sets out the main elements to be covered by this final tranche of the appropriations.

The call for tender for the study carried out in 2013/14 was published on the Europa website under the reference ENV.B.2/ETU/2013/0046:

[http://ec.europa.eu/environment/archives/funding/calls2013\\_en.htm](http://ec.europa.eu/environment/archives/funding/calls2013_en.htm)

The outputs from the study can be found at the following address:

[http://ec.europa.eu/environment/nature/rbaps/index\\_en.htm](http://ec.europa.eu/environment/nature/rbaps/index_en.htm)

The call for proposals made in 2014 was published at the following address:

[http://ec.europa.eu/environment/funding/rbaps\\_14.htm](http://ec.europa.eu/environment/funding/rbaps_14.htm)

The call for proposals made in 2015 was published at the following address:

[http://ec.europa.eu/environment/funding/rbaps\\_15.htm](http://ec.europa.eu/environment/funding/rbaps_15.htm)

**- Do similar activities exist, which are already covered by a legal basis?**

Results based payment schemes are foreseen to contribute to the implementation of the Common Agricultural Policy (CAP), Pillar II, Rural Development Programmes. To date there are very few examples of such schemes and one of the objectives of the pilot action is to promote the development of result based schemes that are compatible with the rules of the CAP.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

The pilot action is not foreseen to give rise to new legislation. If the pilot schemes are successful the intention would be to integrate such schemes into the delivery of the CAP and in particular within the context of the agri-environment measures

### 5.4 Type of activities financed, number and amounts

2013 and 2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Biodiversity protection through results based remuneration of ecological achievement-study contract.	Institute for European Environmental Policy (in association with other partners)	485 494
Pilot results-based agri-environment measures in Ireland and Navarra- Grant agreement.	European Forum for Nature Conservation and Pastoralism (in association with other partners)	1 038 334
Results-based agri-environment schemes for support of broad biodiversity at landscape scale in Transylvanian High Nature Value Farmland-Grant agreement	Fundatia ADEPT Transilvania	414 100
Pilot Result-Based Payment approaches for agri-environment schemes in arable and upland grassland systems in England - Grant agreement	Natural England together with the Yorkshire Dales National Park Authority	499 800

### 5.5 Method of implementation (tenders, grants, other) and evaluations

One contract awarded on the basis of a call for tenders and three grant agreements established on the basis of calls for proposals (see section 5.4 above for further details).



## 5.6 *Types of beneficiaries*

A contract was awarded to a European not for profit think tank (IEEP) and grant agreements were established with two NGOs- the European Forum for Nature Conservation and Pastoralism and Fundatia ADEPT and with government agencies-Natural England together with the Yorkshire Dales National Park Authority.

## 1. Heading

**07 02 77 28: Pilot project — Defining the equilibrium between the right of the state to regulate legitimate public policy objectives, the rights of investors to the protection of their investments and the rights of citizens with regard to the environment and public health in the light of the Transatlantic Trade and Investment Partnership (TTIP)**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	200 000,00	0,00	p.m.	330 000	p.m.	60 000	0,0 %	-81,8 %
Reserve								
Total	200 000,00	0,00	p.m.	330 000	p.m.	60 000	0,0 %	-81,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 and 2016 budgets.

#### Objectives followed during 2016 and previous years' implementation:

This pilot project, started in 2015, aims at raising public awareness on the complex reality of the interplay between TTIP and environmental protection, with a focus on potential impact of TTIP on regulators' ability to achieve public policy objectives on the basis of the level of protection of the environment each party deems appropriate.

During the first year of the pilot project (2015) a contract for carrying out a background study identifying environmental issues perceived as critical in relation to TTIP at EU, Member State (MS) or local level has been signed.

After this research work the contract will include an analysis of the environmental issues identified and further exploration of creative solutions that could enhance positive dynamics between trade and environmental protection in the context of TTIP.

This activity will require public presentation of the solutions and debate with all stakeholders at EU, Member State and local level and will involve the organisation of seminars or workshops in 9 Member States. This project is being implemented throughout 2016 after the signature in December 2015 of a service contract with Institute for European Environment Policy (IEEP) and Ecorys Brussels N.V. (Ecorys) following an open call for tender.

#### Intentions for the current year 2017:

Given the current pause in the TTIP negotiations the Commission decided not amend the original contract extending the original scope. Instead, a small conference presenting the results of the contract signed in 2015 is being considered.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	5/5/2015
Publication of call tender	8/7/2015
Closing date of call for tender	14/9/2015
Award decision	21/12/2015
Pre-financing payment(s)	N/A
Interim payment(s)	January 2017
Final payment(s)	2017

2016 appropriations

Step	Date
Adoption of financing decision	10/6/2016
Publication of call for tender	N/A – amendment of existing procurement contract foreseen (tbc)
Closing date of call for proposals / call for tender	N/A
Award decision	2017
Pre-financing payment(s)	N/A
Interim payment(s)	N/A
Final payment(s)	2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

2015 appropriations: the Commission financing decision of 5 May 2015 (C(2015)2851) sets out the main elements of the implementation of the pilot project.

2016 appropriations: the Financing decision of 10 June 2016 (C(2016) 3480) sets out the main elements to be covered by this final tranche of the appropriations.

The call for tender for the service contract carried out in 2015 was published on the Europa website under the reference ENV.E.2/SER/2015/0031 at the following address:

[http://ec.europa.eu/environment/archives/funding/calls2015\\_en.htm](http://ec.europa.eu/environment/archives/funding/calls2015_en.htm)

### - Do similar activities exist, which are already covered by a legal basis?

There are also similar on-going activities at EU level to raise public awareness about TTIP negotiations (e.g. Civil Society Dialogue meetings, participation of EU officials in conferences, seminars and workshops on TTIP, etc.) but this action will be more specific in the sense that it will focus on TTIP and environment and will target EU Member States.

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. The objective is to initiate a public dialogue on TTIP and environment, and help stakeholders better understand dynamics between trade and environmental protection in the context of TTIP.

#### 5.4 Type of activities financed, number and amounts

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Initiating a public dialogue on environment protection in the context of the Transatlantic Trade and Investment Partnership (TTIP) negotiations - phase 1	IIEP and Ecorys Brussels	352 695

2016 appropriations – still to be decided

#### 5.5 Method of implementation (tenders, grants, other) and evaluations

In 2015 the pilot project was implemented by way of a call for tender. For the 2016 appropriations, the project may be implemented through an amendment of an existing service contract following a negotiated procedure.

#### 5.6 Types of beneficiaries

A contract was awarded to IIEP and Ecorys Brussels.

Final beneficiaries will be EU citizens who will be better informed of environmental protection in TTIP. More specific beneficiaries will include stakeholders such as representatives of the governments, environmental experts, NGOs and civil society, who will be directly associated with the public dialogue.

## 1. Heading

### 07 02 77 29 :Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	221 483,83	p.m.	315 000	p.m.	110 000	0,0 %	-65,1 %
Reserve								
Total	0,00	221 483,83	p.m.	315 000	p.m.	110 000	0,0 %	-65,1 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

According to the budgetary remark, this pilot project focused on two key objectives:

- it will contribute to further developing market-based instruments (MBI) in the field of environmental policy and support the participation of the civil society in this process;
- it will support the participation of civil society organisations in EU policy-making on green public procurement (GPP).

Tender procedures were launched related to the following activities:

1. Capacity building in the field of environmental taxation, including further analysis of the subject with a focus on pollution and resource taxes, and exchanges of best practice and experience, involving stakeholders, the civil society and governments/parliaments (ca. EUR 600 000).
2. Capacity-building for NGOs in GPP policy development and implementation and support for participation of NGOs' experts in events related to environmental taxation, environmentally harmful subsidies and Green Public Procurement (ca. EUR 400 000).

### 1. Environmental taxation.

The increased use of market-based instruments, in particular environmentally-related taxes, and the phasing out of environmentally-harmful subsidies, has been advocated in EU policy documents for a long time, as highly efficient tools for policy making. These issues have also figured prominently in the country-specific recommendations and related analysis under the European Semester.

In the field of energy taxes, activity by Member States is based on the Energy Taxation Directive (ETD). In the large field of pollution and resource taxes, however, there is very little or no harmonisation at Union level, so that some MS use them extensively, while others hardly employ them. While the impacts and design features of energy taxes have been thoroughly studied, knowledge about taxes on pollution and resources is far more limited and uneven across Member States. This also reflects the fact that energy taxes form the biggest part of environmental tax revenues so that

their impact on national budgets is clearly visible, while pollution and resource taxes focus on environmental objectives and often they feed into local budgets.

With the limited evaluations of pollution and resource taxes in terms of their effectiveness, efficiency and distributional impacts, an improvement of the knowledge base in this area and capacity building among the actors active in the policy process, in particular civil society, can help advance the use of these instruments. Exchanges of experience and best practice among them, including public authorities and stakeholders, will contribute to the creation of a network in this field.

The contract contributes to these efforts and includes the following activities:

- Analysis of the use of pollution and resource taxes in the EU as well as the reform of environmentally-harmful subsidies. This includes the assessment of their environmental effectiveness and economic efficiency as well as distributional and competitiveness impacts and design issues. It should identify best practices, and might differentiate among specific conditions in different parts of the EU. The analysis should also point at the role of civil society in triggering implementation and discuss potential policy mixes. It should yield a number of discussion papers
- A number of conferences in different parts of the EU to debate the discussion papers, exchange experiences and best practices, discuss how economic findings can be translated into policy actions at local and regional level to reduce pollution/resource depletion, and support networking in order to build up knowledge and capacities. The conferences would target stakeholders from civil society as well as government officials and other stakeholders. The conferences would strengthen the inclusion of the national perspectives into the discussion.
- Publication of the above analytical study and the results of the conference discussion.

## **2. Green public procurement.**

Europe's public authorities are major consumers. By using their purchasing power to choose environmentally friendly goods, services and works, they can make an important contribution to sustainable consumption and production. Green Public Procurement (GPP) is a voluntary instrument with a key role in the EU's efforts to become a more resource-efficient economy. It can help stimulate a critical mass of demand for more sustainable goods and services which otherwise would be difficult to get onto the market. GPP is therefore a strong stimulus for eco-innovation.

The main objective is to increase NGO participation in the EU GPP decision making process and GPP implementation at national level. There are significant differences between the uptake of GPP in the Member States. While there are and have been numerous European and national projects/initiatives to help public authorities in getting more active in GPP, there is comparatively little involvement of NGOs in GPP policies and implementation. Encouraging NGOs to get informed and involved on GPP, especially, in those countries with a low GPP uptake could support the mainstreaming GPP in the EU with substantial positive impacts on the environment. This project is expected to increase NGO input during the development process of EU GPP criteria and to raise NGO awareness about GPP.

The contracts will include the following activities:

- Participation in the EU GPP criteria development process. This will require the delivery of written feedback on draft criteria and participation at stakeholder meetings.
- Setting up a European NGO network for GPP with the aim of informing national NGOs about how GPP can be done, good practices and how to lobby public authorities to do more GPP.
- Development of GPP information material especially targeted at NGOs.
- Support for the participation of NGOs' experts in events related to environmental taxation, environmentally harmful subsidies and Green Public Procurement.

### **Intentions for the current year 2017:**

The overall objectives for the pilot project followed during 2015 remain valid. All contracts are in their execution stage.

**Environmental taxation:** The consultants delivered the inception report in January 2016, a teleconference was organised before the kick-off to shape the first meeting. In line with the contract conditions, pre-financing was initiated in the same month (January 2016). The kick-off took place in February 2016.

The interim stage was finalised in December 2016 with a delivery of 40 case studies and a synthesis report. The invoice was received in December 2016 with a payment initiated in January 2017.

In line with the technical specification, the first half of 2017 is dedicated to workshops and further analytical work. Three workshops have been organised so far (11.04.2017), two remaining ones are scheduled for 25 April 2017.

**Green Public Procurement:** For the criteria development, the contractor will continue providing input in writing and in the Working group meetings to key product groups such as Transport, Food & Catering, and Data Centres. The NGO GPP network has been set up and a first training session was held in January 2017. A second training session will take place later in 2017.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	5 May 2015
<b>Environmental taxation</b>	
Publication of calls for tender	4 August 2015
Closing date of calls for tender	30 September 2015
Award decisions	December 2015
Pre-financing payment	January 2016
Interim payment	January 2017
Final payment(s)	End 2018
<b>NGO Representation in the EU GPP Criteria Development Process Contract</b>	
Invitation to tender	17 November 2015
Closing date of calls for tender	08 December 2015
Award decisions	08 February 2016
Pre-financing payment(s)	N/A
Interim payment(s)	February 2017
Final payment(s)	February 2019
<b>GPP Networking contract</b>	
Publication of calls for tender	12 August 2015
Closing date of calls for tender	5 October 2015
Award decision	January 2016
Pre-financing payment(s)	N/A
Interim payment(s)	October 2016
Final payment(s)	Approx. May/June 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2015)2851 of 5 May 2015 sets out the main elements for the implementation of the pilot project.

Calls for tender ENV.F.1/SER/2015/0039, ENV.F.1/SER/2015/0040, ENV.F.1/SER/2015/0047r1

The calls for tender were published on the Europa website at the following address: [http://ec.europa.eu/environment/archives/funding/calls2015\\_en.htm](http://ec.europa.eu/environment/archives/funding/calls2015_en.htm)

### **- Do similar activities exist, which are already covered by a legal basis?**

No

- At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

#### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform	IEEP / DCE / Serveis de Suport à la Gestio SL	663 287,45
NGO Representation in the EU Green Public Procurement Criteria Development Process	EEB	59 815,00
NGO Capacity Building in Green Public Procurement (GPP)	ICLEI	224 976,00

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by calls for tender. The maximum duration of the contracts is 36 months.

#### 5.6 *Types of beneficiaries*

Final beneficiaries: policy makers, civil society



## 1. Heading

**07 02 77 30: Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	400 000	p.m.	300 000	0,0 %	-25,0 %
Reserve								
Total	1 000 000,00	0,00	p.m.	400 000	p.m.	300 000	0,0 %	-25,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objectives of the pilot project are to facilitate knowledge transfer and exchange of innovative best practices related to circular economy and to develop capacity and innovation potential to develop eco-innovative solutions and seize new opportunities in the circular economy.

As explained in the executability letter (October 2015) and in the interim report to the Budgetary Authority of 4 March 2016, the pilot project will focus in particular on capacity building for SMEs, through a facility structured around a series of training modules to help SMEs fully realise their innovation potential in the context of the circular economy.

The contract will include the following activities:

Task 1: Delivery of capacity building training modules for SME support organisations

Task 2: Scaling up green solutions through capacity building, knowledge transfer and exchange of best practices

Task 3: Supporting model demonstrator regions in strengthening the role of SME related resource efficiency and the circular economy play in regional or industrial development strategies

Task 4: Final conference and document presenting the project's results and showcasing SME best practices

#### Intentions for the current year 2017:

Following the publication of the call for tender, the selection procedure is being finalised. The contract is to be signed in May 2017. In the first few months, the contractor will largely do the selection of those entities that will benefit from the activities. This will be based on calls for the expression of interest. The actual capacity building activities will start towards in the second half of the year.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	10/6/2016
Publication of call for tender	16/9/2016
Closing date of call for proposals / call for tender	28/10/2016
Award decision	April 2017
Pre-financing payment(s)	N/A
Interim payment(s)	April 2018
Final payment(s)	2019

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender was published on the Europa website at the following address:

[http://ec.europa.eu/environment/archives/funding/calls2016\\_en.htm](http://ec.europa.eu/environment/archives/funding/calls2016_en.htm)

### - Do similar activities exist, which are already covered by a legal basis?

The implementation of the pilot project will ensure complementarity with existing initiatives such as Eco-Innovation Action Plan (EcoAP) and the Green Action Plan for Small and Medium-Size Enterprises (GAP SMEs).

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Service contract fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — bridging the green innovations gap	KPMG Advisory N.V.	779 546

## 5.5 Method of implementation (tenders, grants, other) and evaluations

A single call for tender has been launched for this pilot project that has the objective to facilitate knowledge transfer and exchange of innovative best practices related to SMEs, circular economy and eco-innovation.

## 5.6 Types of beneficiaries

Consultants and organisations specialised in supporting and advising SMEs

## 1. Heading

### 07 02 77 31: Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	900 000,00	0,00	p.m.	360 000	p.m.	210 000	0,0 %	-41,7 %
Reserve								
Total	900 000,00	0,00	p.m.	360 000	p.m.	210 000	0,0 %	-41,7 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2016 Budget.

#### Objectives followed during 2016 and previous years' implementation:

This pilot project will design sustainable mitigation measures to counteract the impact of the new amphibian epidemic caused by the fungus *Batrachochytrium salamandrivorans*, in both the short and long terms, in a joint effort by the most relevant Member States (based on the current occurrence of the fungus and the most salamander-diverse countries, including but not limited to Belgium, the Netherlands, Germany, the United Kingdom, France, Spain, Portugal, Italy and Greece).

In the short term (first two years) the pilot project will determine the current distribution of the fungus in threatened salamander populations across Europe. To limit further spread of the fungus and raise awareness about the issue, the project will be setting up an early warning system, involving networks of field volunteers and laboratories able to diagnose the presence of the fungus.

Furthermore the project will investigate different practical mitigations methods (protective value of barriers, dilution effect of temporary removal of part of the population during an outbreak), both in- and ex-situ in a multi-Member-State collaborative effort.

In the long term (three years), proof of concept will be developed for vaccination strategies (increasing the amphibians' resistance to the fungus) and/or bio-augmentation (steering the environment towards conditions that limit the fungus' survival and impact on amphibians).

#### Intentions for the current year 2017:

In February 2017, following a call for tender and the evaluation of the only offer received, the Commission signed a 3-year service contract with an 8-members consortium under the lead of the veterinary department of the University of Ghent.

During its three year period, in line with then tender specifications, the contract aims at implementing the following actions:

- an accurate determination of the current range and spread of the salamander disease in Europe, on the basis of geographically targeted and cost-effective sampling methods, using best-available sampling techniques;
- the set-up of an EU network of diagnostic centres capable to diagnose the disease;
- the set-up of a European reference laboratory that will also develop standard protocol for sampling and hygiene procedures, and will serve as a quality control node, verifying sample analysis congruency for other diagnostic centres;
- the establishment of a network of regional hotlines that will set-up a passive surveillance system, collect and store samples before their diagnoses in one of the above centres, and act as an information relay to local and regional stakeholders;
- the set-up of a European network of stakeholders in the most relevant EU Member States, involved in the monitoring of salamander populations ;
- the development of general and species-specific emergency action plans, targeting species that are either policy relevant (f. ex. those covered by the Habitats Directive) or most sensitive to the disease in terms of extinction risk;
- elaborate at least one positive “proof of concept” for reducing the negative impact of the salamander disease in the wild, the details of which need to be elaborated during the contract period;
- the set-up and maintain a dedicated website, which will compile and maintain up-to-date and relevant information related to the contract subject and contract results and outputs, and which will display a series of instructional videos concerning tissue sampling and hygienic protocols to be applied by the network of diagnostic centres, regional hotlines and stakeholders involved in the field monitoring and sampling.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

Step	Date
Adoption of financing decision	10/06/2016
Publication of call for tender	30/06/2016
Closing date of call for tender	25/08/2016
Award decision	08/03/2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	2020

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender was published on the Europa website at the following address:

[http://ec.europa.eu/environment/archives/funding/calls2016\\_en.htm](http://ec.europa.eu/environment/archives/funding/calls2016_en.htm)

### **- Do similar activities exist, which are already covered by a legal basis?**

A project on this topic could potentially have been covered through a LIFE Nature and Biodiversity project under the LIFE Environment strand of the current LIFE programme.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

This project is focusing on the identification and implementation measures required to react to a new and emerging threat to amphibian species. It is therefore primarily related to policy implementation (of the EU Habitats Directive)

and EU Level coordination of that implementation, rather than on further development of policy. Hence, it is currently not envisaged to follow-up this project with a legislative proposal.

#### 5.4 *Type of activities financed, number and amounts*

2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Mitigating a new infectious Disease in Salamanders to counteract a loss of European biodiversity	Universiteit Gent, Stichting Reptielen Amfibieën Vissen Onderzoek Nederland (RAVON), Universität Trier (UT), Centre National de la Recherche Scientifique (C.N.R.S.), Università degli Studi di Genova (UNIGE), Zoological Society of London, Agencia Estatal Consejo Superior de Investigaciones Científica (CSIC) and NATAGORA	900 000

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by way of a call for tender.

#### 5.6 *Types of beneficiaries*

Private and public bodies, research institutions

## 1. Heading

### 07 02 77 33: Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration route

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	400 000	p.m.	300 000	0,0 %	-25,0 %
Reserve								
Total	1 000 000,00	0,00	p.m.	400 000	p.m.	300 000	0,0 %	-25,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The development of renewable energy sources is crucial for achieving EU energy and climate change goals and it is likely to expand, especially offshore. At the same time such developments may give rise to conflicts with EU biodiversity goals, especially those related to nature conservation. In that regard EU nature legislation includes key provisions that need to be complied with: Articles 6(3) and 6(4) of the Habitats Directive set out a series of procedural and substantive safeguards that must be applied to plans and projects that are likely to have a significant effect on a Natura 2000 site. In addition, Article 5 of the Birds Directive also requires that Member States establish a general system of protection for all wild bird species in the EU. Article 12 and 13 of the Habitats Directive require Member States to establish a general system of protection for species listed in Annex IV throughout their natural range within the EU.

As birds, bats, marine mammals, as well as other species and habitats protected under the Birds and Habitats Directives have all been found to be vulnerable to wind farms and other renewable energy developments, the above provisions will need to be taken duly into account by developers, planners and authorities, irrespective of whether their projects are to be located in a Natura 2000 site or not.

The objective of the call for tender organised for the implementation of the pilot project is to provide specific information on and an understanding of the potential direct and indirect impacts that the different forms of renewable energy developments (wind, solar, ocean, geothermal energy as well as bioenergy) may have on habitats and species protected under the EU legislation and to suggest concrete ways of mitigating them.

The outputs of the contract are expected to help overcome obstacles that often appear during the permitting procedures of renewable energy developments in MS, arising from insufficient scientific knowledge of the impacts of the developments on the protected species and habitats, as well as of the available mitigation measures.

#### Intentions for the current year 2017:

The call of tender will be published in May 2017 and the service contract is expected to be signed by autumn 2017.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	10/6/2016
Publication of call for tender	May 2017
Deadline for submitting a tender	June 2017
Signature of the service contract	October 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing Decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender ENV.D.3/SER/2017/0002 is published on the Europa website at the following address: [http://ec.europa.eu/environment/funding/calls\\_en.htm](http://ec.europa.eu/environment/funding/calls_en.htm)

### **- Do similar activities exist, which are already covered by a legal basis?**

This work is partially covered by the LIFE programme (see in particular Art. 11 of Regulation (EC) No 1293/2013).

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As the procedure (call for tender) is recently launched, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The selection and the subsequent monitoring of this pilot project will be implemented through one or more call(s) for tender.

It is foreseen that one or more contract(s) will be concluded with the aim to implement the following tasks that fall under 2 Lots:

Lot 1: Impacts of renewable energy developments (wind, solar, geothermal and ocean energy): The objectives of this lot are to review and analyse the potential direct and indirect impacts of renewable energy developments (wind, solar, geothermal and ocean energy) on protected habitats and species under the Birds and Habitats Directives, with special focus on birds, bats and marine mammals, and to present the available mitigation measures in order to avoid, prevent or reduce those impacts.

Lot 2: Impacts of bioenergy developments

Task common to both Lots: Promotion of the outcomes of the contract: After concluding all tasks under the 2 Lots, the contractor shall organise events in the 28 Member States to present and discuss the overall outputs of the contract and inform authorities and relevant stakeholders.

## 5.6 *Types of beneficiaries*

Private sector (researchers, conservationist, developers, planners) and the public sector

## 1. Heading

### 07 02 77 34: Pilot project — Inventory of species and habitats in the French outermost regions

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %
Reserve								
Total	1 000 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

In accordance with the budgetary remarks this pilot project is intended to finance the development of an inventory of species and habitats and environmentally sensitive areas in the French Outermost Regions, which number among the world's biodiversity hotspots.

As underlined in the executability letter (29/10/2015) and in line with the Environment Council Conclusions, this pilot project is not conceived as a first step on the road towards the adoption of legislation to preserve biodiversity in the French outermost region, but a further element to build a sustainable partnership to promote the conservation of biodiversity and the sustainable use of ecosystem services including ecosystem-based approaches to climate change adaptation and mitigation in the EU Outermost Regions and Overseas Countries and Territories. This is in line with the Environment Council Conclusions adopted on 16 December 2015 where the Environment Council 'noted the results of the preparatory action on Biodiversity and Ecosystem Services in Territories of European Overseas (BEST), the funding available under the Commission's Best 2.0 Programme, and urged the Commission and the Member States to move forward on sustainable partnerships dedicated to mobilising resources to protect the unique ecosystems and the services they provide in the EU Outermost Regions and Overseas Countries and Territories'.

According with the budgetary remarks, advantage will be taken of any possible synergies with the work carried out under the BEST preparatory action including the developed regional ecosystem profiles, the identified key biodiversity areas (KBAs) and information on species and habitats. Through mapping of ecosystems and ecosystem services the link to relevant on-going activities such as the "Mapping and Assessment of Ecosystems Services" (MAES – see <http://biodiversity.europa.eu/maes>) will be encouraged.

The expected results of the pilot project entitled 'BEST RUP' are:

- to deliver an inventory of species and habitats of the French Outermost Regions (ORs);
- to explore a MAES pilot for one or more French ORs;



- to provide an opportunity for small grants for biodiversity actions in the French ORs following the modalities of the BEST 2.0 Programme.

The action is implemented via a grant awarded to the International Union for the Conservation of Nature (IUCN). The grant agreement was signed in December 2016.

### **Intentions for the current year 2017:**

The action grant includes the following activities:

- Activity 1: Deliver species and habitats inventories (lists) for the French ORs capitalising – inter alia -on the species and habitats lists of the existing BEST regional ecosystem profiles and the identification of KBAs and KBESAs in the French ORs;
- Activity 2: Explore the use of the MAES methodology in ORs capitalising on the Amazonian Ecosystem profile elaborated in French Guyana. The Amazonian Ecosystem profile works and the identification of KBESAs will be promoted and shared in order to foresee the modalities of the MAES methodology’s implementation in ORs context. An active participation of the BEST ORs Officer in French Guiana, French Guiana representatives and the UICN Secretariat at the ESMERALDA workshop (February 2017, Azores) will enable discussion about the specificities of EU Overseas and recommendations for future works on MAES in EU Overseas.
- Activity 3: Carry out one dedicated call for swift small grants of up to 50 000 EUR per third party beneficiary in the French ORs. The guidelines and the details of the conditions of application (topics and types of activities, types of beneficiaries and criteria of selection and funding) is based on the modalities of the BEST 2.0 Programme, its operational manual and guidelines (section swift small grants). The adapted section and guidelines for applicants will be validated within 1 month after the signature of the grant agreement.

All activities start in 2017.

### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	10/06/2016
Publication of call for proposals	n/a
Closing date of call for proposals	n/a
Signature of grant agreement	22/12/2016
Pre-financing payment(s)	January 2017
Interim payment(s)	2018
Final payment(s)	2020

### **5.3 Documentation and expected results**

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing Decision C(2016)3480 of 10 June 2016 sets out the main elements for the implementation of the pilot project.

#### **- Do similar activities exist, which are already covered by a legal basis?**

Yes, the BEST 2.0 programme funded under B4LIFE (Thematic Programme for Global Public Goods and Challenges under the Development Cooperation Instrument - DCI) provides small and medium grants for biodiversity actions in OCTs. The call for small grants under the project BEST RUP will follow the modalities of the BEST 2.0 Programme.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 Type of activities financed, number and amounts

2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Inventory of Species and Habitats in French Outermost Regions	IUCN	1 000 000

#### 5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project is implemented through a grant without call for proposals awarded to IUCN, since the conditions set out in article 190(1)(c) or (f) of the rules of application to the Financial Regulation are met.

#### 5.6 Types of beneficiaries

IUCN was chosen as beneficiary as final beneficiaries need:

- A high level expertise on both species and habitats able to cover the wide and heterogeneous context of the Outermost Regions and Overseas Countries and Territories and their specificities;
- decentralised structure able to reach the local level to adapt methods, approaches and strategies while preserving a central coordination;
- solid partnership with local authorities;
- governance structure to carry out call for proposals for small grants.

## 1. Heading

**07 02 77 35: Pilot project — Mapping and assessing the state of ecosystems and their services in the outermost regions and overseas countries and territories: establishing links and pooling resources**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	600 000	-100,0 %	20,0 %
Reserve							
Total		1 000 000	500 000	p.m.	600 000	-100,0 %	20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

Action 5 of the EU Biodiversity Strategy urges Member States to map and assess the state of ecosystems and their services in their national territory. A Working Group on Mapping and Assessment of Ecosystems and their Services (MAES) was established in 2012 and works very actively since then.

An open call for proposals for 1 project will be organised.

The project shall start by assessing the state of the art of the MAES exercise within the participating overseas entities, and by inventorying and substantiating the human and material capacities present in each of them. It will then choose case studies in one or several regions and a particular contribution on which to focus the work of a dedicated team of local experts, policymakers and civil society members pooled from across the outermost regions (ORs) and overseas countries and territories (OCTs).

It shall capitalise on the work of BEST initiative (initially supported by the BEST preparatory action under the 2011, 2012 and 2013 budgets) and of NETBIOME (ERA-net and Co-ordination Support Action supported under the 6th and 7th research Framework Programmes) and it can also build on the initiating MAES experience carried out under the pilot project entitled 'Inventory of species and habitats in the French outermost regions' adopted under the 2016 budget (cf. item 07 02 77 34). This project shall produce a tangible contribution to the MAES work and it will demonstrate the feasibility and the added value of a bottom-up approach, involving and empowering local actors. If successful, this project will allow testing and implementation of the MAES methodology in different regions of the world, providing methodologies and good practice guidelines and contributing to worldwide EU leadership in this area.

The project will involve policymakers, researchers and civil society in the development of methodologies for mapping and assessing the state of ecosystems and their services in ORs and OCTs. A coordinated and synergistic approach is advocated so as to turn the geographical, political and knowledge base fragmentation of these entities into assets, pooling resources and building robust participatory tools.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for proposals	May 2017
Closing date of call for proposals	September 2017
Award decision	End 2017/ Beginning 2018
Pre-financing payment(s)	End 2017/ Beginning 2018
Interim payment(s)	2018
Final payment(s)	2021

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted by the end of May 2017 will set out the main elements for the implementation of the pilot project

### **- Do similar activities exist, which are already covered by a legal basis?**

The Biodiversity Strategy (COM/2011/0244 final) called on Member States to map and assess the state of ecosystems and their services in their national territory by 2014, with the assistance of the European Commission. They must also assess the economic value of such services, and promote the integration of these values into accounting and reporting systems at EU and national level by 2020 (see Target 2, Action 5).

This specific action aims to provide a knowledge base on ecosystems and their services in Europe. It underpins the achievement of all 6 targets of the strategy and is also relevant to a number of other EU sectorial policies such as agriculture, maritime affairs and fisheries, and cohesion.

A coherent analytical framework has been developed (see first technical report, 2013) to be applied by the EU and its Member States in order to ensure consistent approaches. It contributes to the ongoing discussion on the conceptual framework for sub-global assessments of ecosystems and ecosystem services under the Intergovernmental Platform on Biodiversity and Ecosystem services (IPBES). A second technical report (2014) proposes indicators that can be used at European and Member State's level to map and assess biodiversity, ecosystem condition and ecosystem services.

The third technical report (2016) is taking stock on Mapping and assessing the condition of Europe's ecosystems: Progress and challenges. The fourth technical report PDF (2016) is on mapping and assessment of urban ecosystems and their services.

All Member States are actively involved in mapping and assessing the state of ecosystems and their services in their national territory. At EU level also, a lot of MAES-related activities are supported by the European Environment Agency and its Topic Centres, the Joint Research Centre, Eurostat, DG Research & Innovation.

So far ORs and OCTs have not been included. This gap is being addressed by the pilot project.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Commission envisages carrying out this pilot project by way of 1 grant, through an open call for proposals.

## 5.6 *Types of beneficiaries*

Eligible applicants and their partners can be public or private bodies, NGOs, universities, research institutes and international organisations.

## 1. Heading

### 07 02 77 36: Pilot project — Network of European Green Cities

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %
Reserve							
Total		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

The overall aim of this pilot project is to provide support for the development of the 'Network of European Green Cities' (comprising as a starting point European Green Capital (EGC) winners and cities shortlisted for the award) in order to share their experiences, knowledge and expertise on sustainable urban development with other European cities. In effect this will also enhance the impact of the European Green Capital Award and its network.

The pilot project will start the facilitation of sharing best-practises and exchanges between the responsible city-level decision makers through the organisation of targeted workshops focussing inter alia on the 12 European Green Capital environmental indicator areas . A specific set of toolkits will be developed, collecting the wide range of lessons learnt from the workshops, which is aimed at other cities to be inspired by such actions and to help them understand what was involved in putting the actions in place. At the end of the contractual period, the results of the workshops and toolkits shall be shared with a wider stakeholder audience (e.g. city networks, NGOs, business community) during a conference "European Green capital Network - Mayoral Summit" in Brussels to achieve spill-over effects for the benefit of entire regions and countries in the long term effect.

The pilot project will furthermore compile one toolkit of best practice examples from winning European Green Capital cities on how to successfully build a green capital year and programme. This toolkit will be handed over to the runners up / winners upon nomination (usually during a festive award ceremony in June).

The pilot project will provide the necessary appropriations to finance the setting up and running of the network. The membership to the network is managed by the European Commission.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	May 2017

<b>Step</b>	<b>Date</b>
Publication of call for tender	June 2017
Closing date of call for tender	August 2017
Award decision	End 2017/ Beginning 2018
Pre-financing payment(s)	n/a
Interim payment(s)	2018
Final payment(s)	End 2019 / Beginning 2020

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted by the end of May 2017 will set out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

The European Green Capital awards complemented by the European Green Leaf awards for smaller cities are financed through a LIFE Governance action under the LIFE Environment strand of the current LIFE programme. The pilot project adds important momentum to the European Green Capitals Network, which is a Network of winning and finalist cities that have been recognised under the EU Green Capital initiative. This Network emphasises and energises the need to share best practices and other useful, practical experiences which can bring important progress towards sustainability in EU cities. The wealth of knowledge and expertise on sustainable urban development available within the Network will be spread more effectively to other European cities, providing a solid contribution to the promotion and achievement of environmental sustainability in cities.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented through an open call for tender.

### 5.6 *Types of beneficiaries*

Public entities, industrial entities, research and technology organisations, academia, city networks, NGOs

## 1. Heading

### 07 02 77 37: Pilot project — Effect of residential solid waste burning on ambient air quality in Europe and potential mitigation measures

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			750 000	375 000	p.m.	225 000	-100,0 %	-40,0 %
Reserve								
Total			750 000	375 000	p.m.	225 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

The objective of this project is to study the nature and magnitude of solid waste burning for residential heating purposes in Central and Eastern Europe and its contribution to air pollution, notably in terms of particulate matter.

Following the Commission comments included in the letter of executability of 28 October 2016, a meeting with the MEP at the origin of the initiative was held to refine the scope of the pilot project taking into account the available budget.

The project will focus on the situation in Hungary and Romania and, wherever possible, it would also build on experiences from projects funded under the LIFE programme, notably the Polish LIFE Integrated Project on the implementation of air quality plan for Małopolska Region "Małopolska in a healthy atmosphere (LIFE14 IPE/PL/000021)".

The project will be drawing on experiences from Germany and should identify concrete steps how the issue can be addressed at local and regional level.

The project will have two main components:

- A study looking to distinguish waste burning practices between rural and urban areas and the tracer compounds related to various types of waste, and mapping hotspots in the regions covered by it in HU and RO; identifying the likely predominant source types of solid waste burned, possible mitigation measures and assessing the relationship between poverty and residential solid waste burning;
- An experimental part with measurements and sampling at selected locations in the two countries (to be carried out during the heating season), including an analysis of tracer compounds specific for the different types of solid waste to assess their actual contribution to the overall problem at the various locations.

The project should provide an assessment of the contribution of residential solid waste burning to ambient air pollution, determine the major waste types used, the pollutants caused by their combustion and the associated pollution levels, notably of particulate matter.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	May 2017
Publication of call for tender	June 2017
Closing date of call for tender	July 2017
Award decision	Autumn 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted by the end of May 2017 will set out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

A project on this topic could potentially have been covered through a LIFE environment and resource efficiency project under the LIFE Environment strand of the current LIFE programme. However the project is more focused on studying the scale, chemical components and their health effects from solid waste burning for domestic heating purposes, as opposed to concrete implementation of plans on the ground to reduce such burning. In this sense, it can be seen as a potential precursor to a LIFE project like the one referenced in Section 5.1.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented through an open call for tenders.

The contract will include, in addition to the tasks outlined above, also a communication and dissemination component aimed at raising awareness about the project and sharing the results in the regions targeted, including providing information to the local and regional press, setting up a homepage for the project and promotion through social media, as well as a conference for relevant authorities in Hungary, Romania and other countries in Central and Eastern Europe.

## 5.6 *Types of beneficiaries*

Member States national, regional and local authorities, citizens' associations, academics, environmental NGOs, health practitioners.



## 1. Heading

**07 02 77 39: Pilot project — Establishing regional or local platforms on coexistence between people and large carnivores focused on key actions for large carnivores in areas with high levels of conflict**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %
Reserve								
Total			500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

### Intentions for the current year 2017:

The objective of this project is to establish two pilot local or regional groupings of stakeholders and authorities to improve the coexistence between people and large carnivores, based on the model of the EU Large Carnivores Platform and on the experience from other regional platforms, e.g. established through projects funded under the LIFE programme. The two geographical areas should be selected in those EU Member States/regions where there are serious conflicts on coexistence between humans and large carnivores. They should include the main interest groups active in the area and the local/regional authorities responsible for the management of large carnivores. The acceptance of the agreement signed under the EU Platform should be the starting point.

In each of the two selected areas, the project will support the implementation of two or three actions included in the document 'Key actions for large carnivore populations in Europe'. The focus will be on actions relating to conflict resolution, communication, reducing socio-economic problems and establishing win-win socio-economic benefits in line with the objectives of the EU Nature Directives and the EU 2020 biodiversity strategy.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for tender	June 2017
Closing date of call for tender	August 2017
Award decision	End 2017 / beginning 2018
Pre-financing payment(s)	N/A

Step	Date
Interim payment(s)	2018
Final payment(s)	To be determined

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted by the end of May 2017 will set out the main elements for the implementation of the pilot project.

#### **- Do similar activities exist, which are already covered by a legal basis?**

The Commission currently supports via the LIFE programme the EU Platform on Coexistence between People and Large Carnivores whose focus is on EU level stakeholder dialogues.<sup>5</sup> This Large Carnivores Platform functions at broad EU-level, while the pilot project targets exactly what the Platform can't do on its own, which is to bring that dialogue, exchange of best practices, solutions, etc., to the regional and biogeographical level, i.e., where things happen on the ground and where they can be solved in practice.

The recently approved Action Plan for nature, people and the economy (COM(2017) 198 final of 27 April 2017) has included actions to address problems of coexistence between protected large carnivores species and human activities. In particular, under Action 7 it is envisaged to further support stakeholder platforms/fora to promote dialogue, to exchange experience, knowledge and cooperate in addressing conflicts between people and protected species. The pilot project will thus support the immediate follow-up of the Actions in the Plan, including in relation to strengthening stakeholder platforms and dialogue on conflict species (large carnivores).

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented through an open call for tenders.

### 5.6 *Types of beneficiaries*

Stakeholders' groups, authorities

<sup>5</sup> [http://ec.europa.eu/environment/nature/conservation/species/carnivores/coexistence\\_platform.htm](http://ec.europa.eu/environment/nature/conservation/species/carnivores/coexistence_platform.htm)

## 1. Heading

### 07 02 77 40 :Pilot project —Towards evidence-based improvements in Birds and Habitats Directives (BHD) implementation: systematic review and meta-analysis

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %
Reserve							
Total		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

The fitness check on the Birds and Habitats Directives (BHD) conducted by the Commission found that inadequate knowledge and poor access to data are obstacles to the successful implementation of the Birds and Habitats Directive (BHD).

The proposed pilot project will aim to respond to this problem by building on the knowledge base and evidence gathered during the fitness check and by bringing together and analysing relevant knowledge, evidence, tools, approaches and good practices that can help improve BHD's performance.

Taking into account the budget reduction in comparison with the initial proposal, as underlined by the Commission in the letter of executability of 28 October 2016, the pilot project will focus on the practical application in real life situations, including in relation to site protection management, restoration and dealing with the evaluation of plans and projects that may affect sites.

The knowledge base will be organised to ensure that practitioners and stakeholders have access to information necessary for implementing different requirements of the Directives. This will require developing and strengthening approaches for defining information needs, sourcing, gathering and ensuring good access to and use of evidence for implementation, building on and with a view to promoting good practice in the Member States. As such it will not only involve the scientific community but also practitioners and stakeholders that are directly involved in the day to day challenges of implementation.

The pilot project will strategically support the delivery of an Action Plan focused on improved implementation of the Directives as follow up to fitness check.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for tender	June 2017
Closing date of call for tender	August 2017
Award decision	End 2017 / beginning 2018
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	To be determined

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision expected to be adopted by the end of May 2017 will set out the main elements for the implementation of the pilot project.

### - Do similar activities exist, which are already covered by a legal basis?

A project on this topic could potentially have been covered through a LIFE Nature and Biodiversity project under the LIFE Environment strand of the current LIFE programme.

It should be noted, however, that the need to strengthen the Knowledge base and improve access to data for implementation of the Nature Directives is highlighted as a key action in the recently approved 'Action Plan for nature, people and the economy' (COM(2017) 198 final of 27 April 2017). The pilot project will thus support the immediate follow-up of the Actions in the Plan.

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

As 2017 is the first year of implementation of the pilot project these details are not yet known.

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The project will be implemented through an open call for tenders.

## 5.6 Types of beneficiaries

Stakeholders' organisations, academic institutions, authorities

## 1. Heading

07 02 77 41 :Pilot project — Promoting alternatives to animal testing

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %
Reserve							
Total		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

The purpose of the project is to promote the use of alternatives to animal testing in the EU through information sharing and education activities.

This pilot project should play a pivotal role for the implementation of the legislation at present in force in view of replacing animal use in research and testing by alternative approaches.

It aims to actively promote existing alternatives, facilitate development and validation of new alternatives, foster exchanges of information, knowledge and best practices and provide tools for education and training to facilitate the application of the principle of Three 'R's - to Replace, Reduce and Refine the use of animals used for scientific purposes - in line with Directive 2010/63/EU.

The above mentioned objectives were discussed and agreed with the MEP at the origin of this pilot project.

Three separate, identifiable pillars are envisaged:

1. To strengthen the European Union Reference Laboratory for alternatives to animal testing (EURL ECVAM)<sup>6</sup> in the Joint Research Centre (JRC) specifically to improve information and knowledge exchange between the scientific community, EU agencies, national authorities and users of animals in EU Member States.

Specific action(s) will be identified as a result of Action 1 of the Commission response to European Citizens' Initiative "Stop Vivisection"<sup>7</sup> with a view to:

- encourage and optimise scientific research in order to develop and validate alternatives to animal use,
- establish linkage between the EU and national regulatory frameworks and research community in basic and applied research in order to make the uptake of alternative methods more effective.

<sup>6</sup> <https://eurl-ecvam.jrc.ec.europa.eu/>

<sup>7</sup> [http://ec.europa.eu/environment/chemicals/lab\\_animals/pdf/vivisection/en.pdf](http://ec.europa.eu/environment/chemicals/lab_animals/pdf/vivisection/en.pdf)

2. To support structures such as the Education and Training Platform for Laboratory Animal Science (ETPLAS), which pools together Member State authorities, course providers and course accreditors, as one-stop-shop in the EU for information on available modular training courses, of agreed quality, in line with the EU Education and Training Framework guidance.
3. To develop education and training programmes, including on-line courses, addressed to those involved in use and care of animals for scientific purposes and to the authorities in the field, including an assessment of the feasibility of a creation of Master's Degree in the field. The training will focus on the development of new alternatives to animal testing, on the search for existing alternatives and on the implementation of obligations under Directive 2010/63/EU.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	May 2017
Cross sub-delegation to with the JRC	Second quarter 2017
Award decision and signature of grant agreement	End 2017
Pre-financing payment(s)	2017/2018
Interim payment(s)	2018
Final payment(s)	End 2019
Publication of call(s) for tender	Third/fourth quarter 2017
Closing date of call for tender	End 2017/beginning 2018
Award decision	Beginning 2018
Pre-financing payment(s)	n/a
Interim payment(s)	2018
Final payment(s)	End 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted by the end of May 2017 will set out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The Pilot project will be implemented through:

- a cross-subdelegation to DG JRC, managing the European Union reference laboratory EURL ECVAM activities;
- from one to three procurement contracts for the production of educational programmes and/or interactive training modules;
- the Commission also is envisaging a possibility to award a grant without call for proposals provided the conditions of article 190(1) (c) or (f) of the Rules of Application of the Financial regulation are met.

## 5.6 *Types of beneficiaries*

- The Joint Research Center (JRC)
- The successful tenderers of the calls for tenders
- organisation of key stakeholders in the field of education and training at EU level

## 1. Heading

**11 06 77 07: Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	361 656	p.m.	361 656	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	361 656	p.m.	361 656	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project is intended to support consumers' understanding of product related risks and what they can do to protect themselves from purchasing unsafe products online. It shall develop consumer trust and support that consumers and economic operators take full advantage of the potential benefits of e-commerce.

Economic operators must comply with EU product safety rules, and EU Member State authorities perform checks on the safety of products sold online and offline. However, consumers themselves have a role to play and can be empowered to make certain judgements about the safety of the products they buy online. They should be aware that there are safety rules in the EU that consumer products should meet. They should also know what actions they can take to protect themselves from buying unsafe products sold online.

The pilot project shall be implemented in the form of an online campaign amongst targeted groups of consumers in Spain, Sweden and Estonia: 25 – 44 age group buying electrical appliances online, and 25 – 44 age group parents with young children who buy toys and childcare articles online. A service provider shall identify the most suitable communication tools and media, focusing on online channels, prepare a set of messages and visuals adjusted to the target audience and to the communication channels, run, monitor, review and analyse the results of the campaign.

As a result of the envisaged actions, the targeted group(s) of consumers in the selected Member States shall be more empowered to make well informed purchase decisions online in relation to product safety.



## **Intentions for the current year 2017:**

This pilot project will be implemented through an online awareness raising campaign amongst a target group of EU consumers. The project shall be designed and run in such a way that makes it possible to extend the campaign with future follow-up actions, depending on the outcome of the project.

### **5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	C(2016)5815 of 19/09/2016
Invitation to submit under framework contract	26 January 2017
Closing date of call for tender	09 March 2017
Award decision	May 2017
Pre-financing payment(s)	2 <sup>nd</sup> quarter 2017
Interim payment(s)	3 <sup>rd</sup> quarter 2017
Final payment(s)	2 <sup>nd</sup> quarter 2018

### **5.3 *Documentation and expected results***

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, 19 September 2016 C(2016)5815 final, sets out the main elements for the implementation of the pilot project. The technical annex to the invitation to submit JUST/2016/CPPI/FW/CO04/0106 of 26 January 2017 defines more precisely the scope of the pilot project.

#### **- Do similar activities exist, which are already covered by a legal basis?**

No

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### **5.4 *Type of activities financed, number and amounts***

As the procurement procedure is still ongoing, these details are not yet known.

### **5.5 *Method of implementation (tenders, grants, other) and evaluations***

This pilot project will be implemented by procurement.

### **5.6 *Types of beneficiaries***

Contractors of the selected Framework Contract (private organisations legally established in an EU Member State able to provide the services requested)

## 1. Heading

### 11 06 77 08: Pilot project — Support measures for small-scale fishing

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E *	P	CA	PA	CA	PA	CA	PA
Line	268 695,90	552 323,64	p.m.	586 251	p.m.	295 215	0,0 %	-49,6 %
Reserve							0,0 %	0,0 %
Total	268 695,90	552 323,64	p.m.	586 251	p.m.	295 215	0,0 %	-49,6 %

\* of which 0,00 euro correspond to Final Budget Appropriations

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The objective of the pilot project is to finance support measures to:

- identify and reinforce the degree of involvement of the small-scale fishing sector in stakeholders' organisations, such as the Advisory Councils and other relevant fora and platforms with the objective of sustainable management of fisheries;
- facilitate their involvement in the process of setting up the new Advisory Councils in line with article 43(2) of Regulation 1380/2013;
- promote exchange of good practices that would strengthen the participation of the sector in the decision-making process within the framework of the new regionalised Common Fisheries Policy.

The pilot project was adopted in its first year (2014) for an amount of EUR 1 500 000. The financing decision (C(2014)6313) was adopted on 12/09/2014. The pilot project was implemented through a call for proposals published in July 2015 in all EU languages with a view to supporting the participation of small scale fishermen (SSF) in the decision making process and, in particular, to facilitating the setting up of associations voicing the interest of SSF interests and their involvement in the Advisory Councils, including the new ones established by the new CFP. The call for proposals covered all EU Waters and was divided in three lots covering respectively: (a) the Atlantic, including Outmost regions, (b) the Mediterranean and the Black Sea and (c) the North Sea and the Baltic Sea.

Following the call for proposals, eight (8) proposals were awarded a grant, covering all EU waters for a total amount of maximum EUR 1 465 625 26.

As signalled in the letter of executability of 23 October 2014, the additional commitments of EUR 500 000 made available in the budget 2015 were not needed and will therefore not be used.

## Intentions for the current year 2017:

Intentions for the current year 2017:

All the projects started in 2016 and therefore this is the first year of their implementation. Given that the duration of most of the projects is 24 months, concrete results should be expected as of end 2017. The Commission might organise a conference at the end of 2017 to disseminate the results of the projects.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2014 appropriations

Step	Date
Adoption of Financing Decision	19 September 2014
Publication of call for proposals	July 2015
Closing date of call for proposals	September 2015
Award decision	November 2015
Pre-financing payment(s)	February - April 2016
Interim payment(s)	November 2016 – May 2017
Final payment(s)	November 2017 - May 2018

### 5.3 *Documentation and expected results*

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Decision C(2014)6313 final of 12 September 2014 sets out the main elements for the implementation of the pilot project.

The documentation related to the call for proposals (ref MARE/2014/04) was published on the Europa website: [http://ec.europa.eu/dgs/maritimeaffairs\\_fisheries/contracts\\_and\\_funding/calls\\_for\\_proposals/2014\\_04/index\\_en.htm](http://ec.europa.eu/dgs/maritimeaffairs_fisheries/contracts_and_funding/calls_for_proposals/2014_04/index_en.htm)

#### - Do similar activities exist, which are already covered by a legal basis?

Other actions targeting small scale fisheries are covered by the European Maritime and Fisheries Fund-EMFF (in shared management).

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 *Type of activities financed, number and amounts*

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Enabling the small scale fishing sector of the North Western Waters to engage in the fisheries decision-making process	NFFO-National Federation of Fishermen's Organisations	161 750,00
Setting the scene to reinforce Small-Scale fishing in the area covered by the SouthWesternWaters Advisory Council	Benoît Guérin EIRL	156 096,90
Dissemination of information to raise the awareness of applicable rules among SSF; explanatory visits and meetings with small scale fishermen to identify the main problems encountered; exchange of best practices; creation of a virtual database	SILUTES-Įmonė Šilutės žuvininkystės vietos veiklos grupė	137 920,86

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Increase awareness and the role of SSF in the future policy-making decisions on national and EU level, by integrating good practices suitable for the region of Black Sea Basin	Svetanka Palazova-SP CONSULT BG	159 990,30
Enhancing Small Scale Fishing Sector's Participation in Decision-Making	Fundación Azti with Fundacion Loxanet Para la Pesca Sostenible, South Western Waters AC, Javier Sarobe Iturregui	263 732,40
Actions aimed to enhance the participatory role of Mediterranean small-scale fishing in the decision making and advisory processes at national and EU level	UNIMAR with MEDAC, Advisory Council for the Mediterranean Sea	173 821,50
Measures to support small-scale fisheries in the EU Mediterranean water	APC Advanced Planning-Consulting SA With Mably Societ� Cooperativa, AP Marine; AquaBioTech Limited	143 669,30
Support measures for small-scale fishing-Baltic Sea and the North Sea	LIFE-Low Impact Fishers of Europe	268 695,90 *

\* commitment made on 2015 appropriations carried over to 2016 as per Commission Decision C(2016)857

### **5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project is implemented by a call for proposals for action grants.

8 grants were awarded, on the basis of the quantity and the quality of the proposals received. Each grant covers an analysis phase and an operational phase.

### **5.6 Types of beneficiaries**

Private bodies

## 1. Heading

**11 06 77 09: Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	248 978,44	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %
Reserve							0,0 %	0,0 %
Total	0,00	248 978,44	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of the pilot project is to encourage and share best practices among fishermen from outermost regions (ORs), especially those involving new low-environmental-impact fishing techniques, and promoting offshore experimental fishing.

In particular:

- Raising awareness of the opportunities to develop innovative fishing techniques allowing to divert fishing effort away from the coastal resources;
- Developing and testing low impact fishing techniques adapted to the bio-geographical conditions of each OR;
- Creating alternative sustainable fishing opportunities that will help to consolidate jobs in the fishing industry and ensure a steady supply of fisheries products to local markets;
- Exchanging of best practice on low-impact offshore fishing techniques between ORs, which will also do good to overseas countries and territories (OCTs) and third countries;
- Improving communication among the OR's fishing sectors as part of the good functioning of the Advisory Council on Outermost Regions.

#### Intentions for the current year 2017:

The implementation of the project selected following the call for proposals is expected to start in May 2017. An interim report will be submitted by the beneficiary during the year 2017.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	11 May 2015
Publication of call for proposals	10 July 2015
Closing date of call for proposals	30 October 2015
Award decision	3 May 2016
Pre-financing payment(s)	31 July 2016
Interim payment(s)	End of 2017 / Second quarter 2018
Final payment(s)	Third or fourth quarter 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Financing Decision C(2015)3052 of 11 May 2015 sets out the main elements for the implementation of the pilot project.

The documentation related to the call for proposals (Ref MARE/2015/06) was published on the Europa website: [http://ec.europa.eu/dgs/maritimeaffairs\\_fisheries/contracts\\_and\\_funding/calls\\_for\\_proposals/2015\\_06/index\\_en.htm](http://ec.europa.eu/dgs/maritimeaffairs_fisheries/contracts_and_funding/calls_for_proposals/2015_06/index_en.htm)

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ORFISH	Conseil Regional de la Guadeloupe (CRG)	992 298

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project was implemented by a call for proposals.

## 5.6 Types of beneficiaries

Public authorities (national, regional, local), public or semi-public bodies; Research centres; Universities; Educational institutions; Private bodies; non-profit organisations (private or public).

Only applications from legal entities established in the EU Member States were eligible.

## 1. Heading

### 17 03 77 05: Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	293 138,40	p.m.	400 000	p.m.	413 000	0,0 %	3,2 %
Reserve							0,0 %	0,0 %
Total	0,00	293 138,40	p.m.	400 000	p.m.	413 000	0,0 %	3,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2012 budget.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project was the subject of a call for tender published in 2012 but which was unsuccessful in identifying interested contractors.

The call for tender was re-launched in 2013 with modified specifications. In view of the fact that only one unsatisfactory tender was submitted, it was decided to start a negotiated procedure. The contract was signed end of 2013.

The title of the project is "Prevention Strategies for Adolescents at Risk of diabetes - A pilot study across 5 European countries".

The aims of the project are:

- Develop a risk score to identify those children aged between 12-14 years who are at high risk of developing Type 2 Diabetes (T2DM);
- Develop a lifestyle intervention for this cohort for the prevention of diabetes;
- Test the action programme in the target population.

The objectives of the project:

- To assess BMI distribution in 12-14 year olds in existing databases from each site;
- To recruit 100 adolescents aged 12-14, in research sites in the 5 participating countries, to complete the developed risk score and be rigorously phenotyped;
- To validate the risk score;
- To develop an intervention package for children, parents and school staff that promotes and encourages lifestyle change appropriate for delivery in each site;

- To implement the intervention package in each site;
- To evaluate the effectiveness of the intervention package through a cluster randomised controlled trial across the five sites.

The risk score (tool) for the identification of adolescents (12-14 years/old) at high risk of developing T2DM in the future has been developed and has been validated in 2016.

### **Intentions for the current year 2017:**

A cross-sectional study with 500 adolescents purposively sampled from 5 European Countries started in 2015 and will be completed in early 2017, due to a delay in recruitment in one of the sites.

A lifestyle intervention for adolescents (12-14 years/old) and their families to illicit behaviour changes associated with lower risk of developing T2DM has been developed and has been culturally adapted in 2016. This is now followed by testing the lifestyle intervention through a randomised controlled trial across all five European sites. This trial will be completed in spring 2017.

The project web-site [www.pre-start.org](http://www.pre-start.org) has been created and will be up-dated on a regular basis, once results become available throughout 2017.

A no-cost amendment has been accepted to prolong the contract for 12 months until the end of 2017. This extension became necessary as local approvals for the trial took longer than anticipated and due to the Greek crisis the recruitment took longer than foreseen. In addition, this extension will make a thorough dissemination at key scientific congresses possible, including the 24th European Congress on Obesity in Portugal in May 2017 and the International Diabetes Federation (IDF) conference in Abu Dhabi in December 2017.

### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2012 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	August 2012
Publication of call for tender	26/10/2012
Closing date of call for tender	20/12/2012
Notice of non-award	16/02/2013
Re-publication of the call for tender	27/05/2013
Closing date of call for tender	19/07/2013
Award decision	23/12/2013
Pre-financing payment(s)	-
Interim payment(s)	03/02/2015, 24/05/2016
Final payment(s)	Expected 2018

### **5.3 Documentation and expected results**

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision for 2012 appropriations has been adopted in August 2012 (C(2012)5559/3).

The 2012 call for tender initially was published on 7 November 2012 under the reference SANCO/2012/C4/03: the contract notice is available on Europa:

<http://www.ted.europa.eu/udl?uri=TED:NOTICE:352871-2012:TEXT:EN:HTML>

Following the unsuccessful procedure a notice of non-award was published on 16 February 2013.

The Commission published a new call for tender with modified specifications on 6 June 2013, the contract notice is available on Europa:

<http://ted.europa.eu/udl?uri=TED:NOTICE:183903-2013:TEXT:EN:HTML>



**- Do similar activities exist, which are already covered by a legal basis?**

Under the Public Health programme 2003-2007 and 2008-2013 several projects in the area of diabetes have been financed. However, the pilot project is addressing issues in the field of diabetes prevention which have not been addressed in these projects. The joint action CHRODIS also had a work package on diabetes, however CHRODIS looked at care for diabetic patients and national diabetes action plans *i.e.* not overlapping with the actions undertaken in PRE START. Also, one CHRODIS partner also participates in PRE START and makes the link.

Under the Health programme 2014-2020 the project "Innovative Prevention Strategies for type 2 Diabetes in South Asians Living in Europe (InPreSD-SA)" was co-funded in 2014. PRE START was informed about this project and encouraged to get in touch with the coordinator, which was facilitated by the fact that the PRE START leader knows the InPreSD-SA coordinator. Under the call for proposals for projects in the area of chronic diseases of 2016 no project on diabetes was co-funded.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

**5.4 Type of activities financed, number and amounts**

2012 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Developing and implementing successful prevention strategies for type 2 diabetes	UNIVERSITY HOSPITALS OF LEICESTER	999 075

**5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project was implemented by procurement procedure (negotiated procedure following unsuccessful open call for tender).

**5.6 Types of beneficiaries**

The ultimate beneficiaries of this pilot project are universities. The results of the pilot project will be communicated to the scientific community, NGOs working in the area, to policy makers in Member States and to the European Parliament.

## 1. Heading

### 17 03 77 12: Pilot project — Reducing health inequalities: building expertise and evaluation of actions

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	440 273,00	p.m.	290 000	p.m.	294 000	0,0 %	1,4 %
Reserve							0,0 %	0,0 %
Total	0,00	440 273,00	p.m.	290 000	p.m.	294 000	0,0 %	1,4 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objective of the pilot project 'HEPP' is to support knowledge sharing and policy development to reduce health inequalities at national and sub-national/regional levels as well as increase knowledge and exchange of good practice amongst relevant public health and policy communities and thereby improve health and reduce health inequalities. 'HEPP' shall maintain an EU and Member State focus on health inequalities and help to mainstream measures to address them.

The project has a particular focus on alcohol, nutrition and physical activity, related to the socio-economic gradient and disadvantaged areas. The project, by sharing knowledge and developing policy guidance, will have an impact on better understanding what can be done to address health inequalities related to alcohol consumption, nutrition and physical activity in the EU. It will also help Member States to better address the associated behaviours and risks, and their drivers. It will train countries to overcome barriers to inter-sectorial action through information exchange and collaboration between experts in research, policy and practice.

Specific activities carried out under this pilot project so far include:

- updating scientific evidence and reviewing policies and actions. The literature search for the scientific reports has been completed. The search terms and strategy has been fully documented. The reports are expected by end of March 2017;
- conducting case studies on policies and actions in different Member States aiming to reduce health inequalities; a first list of proposals on case studies on nutrition and physical activity is currently being reviewed by members of the High Level Group on Nutrition and Physical Activity;
- A draft dissemination plan, as well as input to the creation of the project website have been delivered;
- The template for the 28 country fact sheets is in the final stages of preparation prior to being populated by the initial data that has been sourced and collated.

## Intentions for the current year 2017:

The pilot project will continue to focus on health inequalities in two major policy themes – on alcohol and nutrition, and on physical activity by

- implementing workshops and expert exchange with the objective of breaking barriers to inter-sectorial action on health inequalities. The training materials shall be based on the scientific reviews and case studies once finalised. The kind of skills which are necessary to catalyse action on health inequalities both generally, and specifically in relation to alcohol consumption, nutrition and physical activity, and how these can be incorporated into a training course, also need to be considered. Capacity building will focus particularly on those countries which have limited capacity and would particularly benefit from support.
- ensuring synergies and support to the health determinants related Joint Actions as well as including health inequalities into the Joint Action on Nutrition and Physical Activity (JA NPA). 'HEPP' shall also exploit the data collected by the Joint Action on Reducing Alcohol Related Harm (JA RARHA) and analyse those from a health inequalities angle.
- facilitating information exchange and collaboration between groups of experts and interest groups. 'HEPP' will develop short, clear and focused policy briefs to inform senior policy officials. The focus should be on providing information which is useful to Member States also on cost effectiveness of interventions.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2014 commitment appropriations

Step	Date
Adoption of financing decision	01/12/2014
Publication of call for tender	13/08/ 2015
Closing date of call for tender	21/09/2015
Award decision	14/12/2015
Pre-financing payment(s)	-
Interim payment(s)	2016 Expected 2017 and 2018
Final payment(s)	Expected 2019

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2014)8958 adopted on 1 December 2014 sets out the main elements for the implementation of the pilot project.

The Call for tender was published in 2015 and all the related documentation can be found at:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sante\\_2014-c4-032\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sante_2014-c4-032_en.htm)

#### **- Do similar activities exist, which are already covered by a legal basis?**

To avoid duplication, the pilot project has drawn on the results of the Equity Health project co-funded under the EU health programme which was completed in February 2014 (<http://www.health-inequalities.eu/projects/past-projects/equity-action/>).

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 *Type of activities financed, number and amounts*

2014 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
PP on Reducing Health Inequalities: Building Expertise and Evaluation of Actions	UK HEALTH FORUM	1 467 578

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project was implemented by a call for tender.

#### 5.6 *Types of beneficiaries*

Final beneficiaries are the competent authorities that are responsible for health in the Member States, public sector bodies and non-governmental bodies, acting individually or as a network, mandated by those competent authorities.

## 1. Heading

### 17 03 77 13: Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	208 462,50	p.m.	200 000	p.m.	505 000	0,0 %	152,5 %
Reserve							0,0 %	0,0 %
Total	0,00	208 462,50	p.m.	200 000	p.m.	505 000	0,0 %	152,5 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

'VulnerABLE' is a pilot project to increase knowledge and understanding of how best to improve the health of people who are living in vulnerable and isolated situations. The project targets specific vulnerable and isolated populations such as children and families from disadvantaged backgrounds; those living in rural/isolated areas; those with physical, mental and learning disabilities or poor mental health; the long-term unemployed; the inactive; the 'in-work poor'; older people; victims of domestic violence and intimate partner violence; people with unstable housing situations (the homeless); and prisoners. Due to their circumstances, these groups may be more at risk of poor health and/or face barriers in accessing healthcare services. The project supports the development of actions to address their health needs identifying and highlighting also differences for men's and women's health.

Activities so far included preparing a study to:

- gather, assess and report on existing information on the particular health challenges faced by people living in an isolated or vulnerable situation described above. The master questionnaire for the survey has been developed and translated into each of the 12 target languages. 53 organisations were recruited to disseminate the survey. A draft survey report has been submitted.
- review literature on factors contributing or mitigating to health problems including factors related to life styles and attitudes towards individual (including mental) health, prevention, treatment, and rehabilitation in isolated and vulnerable persons. A draft of the literature review has been submitted.
- gather examples of good practice from systems across different Member States regarding methods of health improvement, prevention and service delivery to people in isolated or vulnerable situations. A total of 110 practices were identified for the Good Practice Inventory, 31 of these were selected for detailed case studies which have been submitted.

The study shall identify best practices to support vulnerable and isolated groups across the EU and ultimately improve their health.

A group of experts has been established to

- exchange information regarding the development of strategies to prevent isolation and vulnerability and methods of health improvement, prevention and service delivery to people in isolated or vulnerable situations,
- contribute to future strategies to prevent isolation and vulnerability.

Previous work also included a series of interviews with individuals and organisations active in the field of health inequalities. Between 23<sup>rd</sup> September 2016 and 24<sup>th</sup> January 2017, the VulnerABLE project held eight focus groups in Brussels, London, Athens and Marseilles, bringing together academics, medical practitioners, national and European non-governmental organisations (NGOs), and key health organisations, such as the World Health Organisation (WHO) and the European Federation of National Organisations Working with the Homeless (FEANTSA). Participants exchanged ideas on the health needs of eight vulnerable groups and the main barriers affecting their access to healthcare. The participants put forward suggestions on the most effective ways of supporting the different target groups. An emerging theme from all the focus groups is the importance of engaging with target groups at all stages of service and policy design and implementation to ensure that their voices are heard and needs are really met.

**Intentions for the current year 2017:**

During the remaining contract period 'VulnerABLE' will:

- facilitate capacity building and training activities for the development and implementation of actions in Member States for regional and national level policy makers and other relevant stakeholders. The objective of the capacity building and training activities is to raise the capacity of EU Member States to develop and implement actions to improve health, prevention and service delivery to people in isolated or vulnerable situations. Training activities will raise awareness on the specific needs of the target groups, and should offer practical examples of good strategies. This phase will contain the following tasks:
  - Developing training programmes based upon the identified needs;
  - Organising and delivering four workshops, including development of training curriculum, selection of trainers and logistical aspects;
  - Producing a workshop evaluation report, to:
    - assess training needs based on earlier findings in the project and targeted discussions with stakeholders;
    - define locations and participants;
    - identify and select trainers;
    - design content and develop training materials in line with our quality control guarantee; and
    - organise and deliver four capacity-building workshops across the EU.
- organise a conference (planned for September 2017) to disseminate the results and best practice examples to Member States, in particular health providers in the primary sector, and to encourage follow up on the results via relevant expert and stakeholder groups at EU level. Prior to this, results will also be shared at the project capacity-building workshops in summer 2017.

**5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

2014 appropriations

Step	Date
Adoption of financing decision	01/12/2014
Publication of call for tender	31/01/2015
Closing date of call for tender	13/03/2015
Award decision	20/11/ 2015
Pre-financing payment(s)	-
Interim payment(s)	2016 Expected 2017
Final payment(s)	Expected 2018

### 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2014)8956 adopted on 1 December 2014 sets out the main elements for the implementation of the pilot project.

The call for tender was published on 31<sup>st</sup> January 2015 under the reference SANCO/2014/C4/034. The documents can be accessed here:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sante\\_2014-c4-034\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sante_2014-c4-034_en.htm)

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 Type of activities financed, number and amounts

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Health of Isolated and Vulnerable Persons	ICF CONSULTING SERVICES LIMITED	921 835

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by call for tender.

### 5.6 Types of beneficiaries

Final beneficiaries are the competent authorities that are responsible for health in the Member States, or public sector bodies and non-governmental bodies, acting individually or as a network, mandated by those competent authorities.

The pilot project will increase knowledge and exchange of good practice amongst relevant public health and policy communities.

## 1. Heading

### 17 04 77 03: Pilot project — Developing best practices in animal transport

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	285 379,23	p.m.	300 000	p.m.	415 000	0,0 %	38,3 %
Reserve							0,0 %	0,0 %
Total	0,00	285 379,23	p.m.	300 000	p.m.	415 000	0,0 %	38,3 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project under the 2014 Budget.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project is focusing on the management for transported animals with a certain focus on the main farm species and key stakeholders participating in the transport of these animals (farmers, specialized transporters, traders, slaughterhouse operators and veterinarians). The final output of the pilot project will be an analysis of the different practices regarding the assessment of the animals in order to bring the best practices to light. Based on this analysis the project will establish guides to good practice which could take the form of standard operating procedures for transporting animals and a strategy to disseminate them among stakeholders including possibly training courses as necessary. Following an open call procurement procedure, the Commission mandated the implementation of the pilot project to a contractor in May 2015. In 2016 the contractor finalised the desk analysis and made a first draft of best practices.

#### Intentions for the current year 2017:

The contractor is in the process of finalising the best practices following a stakeholder consultation, which should be delivered by May 2017. Subsequently, they will start a dissemination strategy for one year, as foreseen in the contract.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2014 appropriations

Step	Date
Adoption of financing decision	10/04/2014
Publication of call for tender	12/06/2014
Closing date of call for tender	05/09/2014
Award decision	20/01/2015
Pre-financing payment(s)	-



Step	Date
Interim payment(s)	2016 and 2017
Final payment(s)	Expected 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2014) 2327 adopted on 10 April 2014 sets out the main elements for the implementation of the pilot project.

The call for tender was published on 12 June 2014 under the reference SANCO/2014/G3/006. The terms of reference are available on Europa:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sanco\\_2014-g3-006\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sanco_2014-g3-006_en.htm)

#### - Do similar activities exist, which are already covered by a legal basis?

No. The project is connected to better implementation of Regulation (EC) No 1/2005 on the protection of animals during transport (OJ L 3, 5.1.2005, p. 1) where the reference to the development of guides to good practice by the Member States or at EU level is mentioned (Article 29). However, this article does not create a legal basis nor strictly an obligation since the wording used only states that Member States/the Community "*shall encourage the development of guides to good practice*".

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Best practices in animal transport	WAGENINGEN UR LIVESTOCK RESEARCH	989 536,30

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented by an open call for tender.

### 5.6 Types of beneficiaries

The beneficiaries of the project are the key stakeholders identified as the subject of the contract: farmers, specialised transporters, traders, slaughterhouse operators, veterinarians, the competent authorities (including road police), organisations of animal protection and animal welfare scientists.

## 1. Heading

**17 04 77 04: Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	146 766,76	p.m.	p.m.	p.m.	103 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	146 766,76	p.m.	p.m.	p.m.	103 000	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

Under this pilot project, small producers will be coming together to draw up guidelines for proper hygienic practice as a first step in a response to this need for continuous improvement that will be at least as effective, and in all probability more transparent.

The project's aim is to produce guidelines for proper hygienic practice endorsed by the Member States and the Commission that can serve as a reference guide for all those working in this area. The guidelines will therefore be based on how the professionals in the sector actually work, rather than on theory and will be translated into all EU official languages.

Main steps implemented:

1. Opening meeting of FACE network's partnership on 27 March 2015 in Paris;
2. Presentation of the project to the Standing Committee on Plants, Animals, Food and Feed (PAFF Committee) on 16 April 2015.

On 16 September 2016, the guide was presented with FACE network's participation during the PAFF Committee. On 13 December 2016, the guide was endorsed during the PAFF Committee by all EU Member States. The final version was delivered on 20 December 2016.

#### Intentions for the current year 2017:

The guide was published on Europa on 17 January 2017:

[http://ec.europa.eu/food/safety/biosafety/food\\_hygiene/guidance\\_en](http://ec.europa.eu/food/safety/biosafety/food_hygiene/guidance_en)

In March 2017, there was the signature of an amendment to the contract between FACE network and the European Commission, in order to extend the contract until 12 December 2017 instead of 12 March 2017.

For 2017 the following steps are expected to be fulfilled:

1. 31 May 2017: delivery of the second interim report, including the 24 translations of the GGHP into the EU official languages;
2. June to August 2017: assessment of the quality of the translations;
3. End of September: delivering of the final versions of the translations, taking into account eventual comments;
4. November 2017: final conference in Brussels: presentation of the guide and delivery of the final report.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2014 appropriations

Step	Date
Adoption of financing decision	11/04/2014
Publication of -call for tender	18/09/2014
Closing date of call for tender	11/11/2014
Award decision	11/12/2014
Pre-financing payment(s)	-
Interim payment(s)	2016
Final payment(s)	Expected 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2014)2317 adopted on 11 April 2014 sets out the main elements for the implementation of the pilot project.

The call for tender was published on 18 September 2014 under the reference SANCO/2014/G4/019. The terms of reference are available on Europa:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sanco\\_2014-g4-019\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sanco_2014-g4-019_en.htm)

The guide was published on Europa on 17 January 2017:

[http://ec.europa.eu/food/safety/biosafety/food\\_hygiene/guidance\\_en](http://ec.europa.eu/food/safety/biosafety/food_hygiene/guidance_en)

### **- Do similar activities exist, which are already covered by a legal basis?**

Several Union guides on hygienic practises in the food chain have been developed in the framework of the Hygiene package (see [http://ec.europa.eu/food/safety/biosafety/food\\_hygiene/legislation\\_en](http://ec.europa.eu/food/safety/biosafety/food_hygiene/legislation_en) ). However, none of these were financially supported by the Union, mostly due to the well-organised industry stakeholders involved, which is not the case for small artisanal cheese producers.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
European guidelines for good hygiene practices in artisanal cheese production	FARMHOUSE AND ARTISANAL CHEESE AND DAIRY PRODUCERS EUROPEAN NETWORK	243 452

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by a call for tender.

## 5.6 *Types of beneficiaries*

Expected beneficiaries of the project include farmers, inspectors of dairy establishments and competent authorities

## 1. Heading

### 17 04 77 05: Pilot project — Establishment of a harmonised internal market for pigmeat obtained from pigs that have not been surgically castrated

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		600 000	300 000	p.m.	180 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		600 000	300 000	p.m.	180 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 budget

#### Objectives followed during 2016 and previous years' implementation:

The surgical castration of young piglets without pain relief is a common and increasingly controversial pig management practice, currently affecting 63 million animals every year. Yet practical experience from major pork supply chains within the European Union shows that viable and profitable alternatives to surgical castration exist. The pilot project will bring major stakeholders together – slaughterhouses, food processors and retailers, and involving farmers as needed – and foster the sharing and dissemination of techniques and best practices that eliminate the need to castrate pigs surgically. Emphasis will be given to knowledge transfer activities concerning (a) reliable and viable boar taint detection methods, (b) utilisation of boar-tainted carcasses during processing, and (c) marketing and promotion of meat from pigs that are not surgically castrated. Trust building and exchange of information among all market participants in a peer-to-peer environment will be an important communication activity. The project will deliver a practice-based guidance document paving the way for a harmonised internal market for pig meat obtained from animals that are not surgically castrated.

The European Declaration on Alternatives to Surgical Castration of Pigs is a voluntary and stakeholder-driven agreement to phase out surgical castration of pigs by 2018. The pilot project will accelerate the process by providing and disseminating practical solutions to commonly perceived problems among key operators of the pork chain, while also showcasing the associated economic and societal advantages of ending the surgical castration of pigs.

The project will be implemented in two phases:

The first phase will consist of collecting information on best available practices at various levels of the food chain to market meat from uncastrated or immunocastrated pigs. This implies data gathering on how retailers may market meat from these animals, how food processors use carcasses with boar taint, how slaughterhouses detect boar taint and how boar taint is reduced at farm level. This phase is therefore about collecting successful experiences within commercial contexts and not to provide recommendations solely based on research. The first phase should be concluded by describing a series of technical, organisational and marketing tools and practices that could be used by business operators to successfully market meat from uncastrated or immunocastrated pigs. This phase will include a series of

case studies based on the Member States where such policies have been implemented such as Germany, France, Spain, Belgium, the Netherlands and Denmark.

The second phase will consist in disseminating the information collected during the first phase through proper communication methods, channels and materials adapted to the various actors concerned: farmers, slaughterhouses, food processors and retailers. It may include inter alia the production and the dissemination of brochures, articles in the professional press and media, short films, organisations of events and any combination of them. The dissemination phase will focus its activities on languages and countries where progress would be the most necessary such as Germany, France, Denmark, Italy or Poland. The impact of the second phase should be measured through specific results indicators.

The progress and the final results of the pilot project will be reported to the public and stakeholders through a dedicated web platform and regular meetings of an advisory board consisting of business operators concerned, representatives of the civil society and scientists.

#### **Intentions for the current year 2017:**

The adoption of the financing decision is expected in the first semester 2017.

The call for tender would be launched in the second semester of 2017 and the signature of the contract is expected toward the end of 2017 / early 2018.

The expected duration of the project is two years.

#### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	Expected 1 <sup>st</sup> semester 2017
Publication of call for tender	Expected 2 <sup>nd</sup> semester 2017
Closing date of call for tender	Expected 2 <sup>nd</sup> semester 2017
Award decision	Expected 2 <sup>nd</sup> semester 2017
Pre-financing payment(s)	-
Interim payment(s)	Expected 2018 and 2019
Final payment(s)	Expected 2020

#### **5.3 Documentation and expected results**

##### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision, expected to be adopted in the 1<sup>st</sup> half 2017, will set out the main elements for the implementation of the pilot project.

##### **- Do similar activities exist, which are already covered by a legal basis?**

No. The surgical castration of piglets is regulated under Council Directive 2008/120/EC laying down minimum standards for the protection of pigs (OJ L 47, 18.2.2009, p. 5) in Paragraph 8 of Chapter I of Annex I to this Directive. However, the text allows surgical castration without anaesthesia before seven days of age while the pilot project proposes promoting alternatives without castration.

##### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### **5.4 Type of activities financed, number and amounts**

As the procedure (call for tender) is still ongoing, these details are not yet known..

#### **5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project will be implemented by a call for tender.

## 5.6 *Types of beneficiaries*

The pilot project is mainly addressed to the business operators involved in the pig meat food chain: farmers, slaughterhouse operators, food processors, retailers and food services. It will also involve indirectly veterinarians, scientists working on pig meat, feeding and pharmaceutical companies working with the pig sector.

## 1. Heading

### 17 04 77 06: Pilot project — Environmental monitoring of pesticide use through honeybees

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 budget.

#### Objectives followed during 2016 and previous years' implementation:

The key objective of this pilot project is to put in place a tool for evaluation of pesticide exposure in the environment with the help of bees and bee products.

The aim of this science project is to gather beekeepers from several countries in Europe who will collect weekly pollen samples during two beekeeping seasons. Pollen, pesticide and veterinary medicinal product analyses will serve to establish the botanical origin and contamination of the samples, respectively. Analytical data will be put in a geospatial context, aiming to understand the possible sources of both bee food and contaminants. In specific cases, fresh honey samples may be collected in order to understand possible contamination of honeydew. In addition, in specific cases a field survey may be carried out among farmers operating in the area with a radius of 3 km around the sampled apiary in order to know in depth the agricultural practices performed.

The expected results are:

- (1) the development of a non-invasive environmental monitoring system;
- (2) an increase in knowledge on environmental pollution by using environmental samplers;
- (3) the identification of problematic areas, both in geographical and chemical terms;
- (4) the verification of the efficacy of EU legislation in terms of prevention of environmental and food contamination;
- (5) an indication of the plant biodiversity of the landscape throughout the year;
- (6) the verification of the quality of the CORINE database for landscape-level exposure modelling.

#### Intentions for the current year 2017:

The adoption of the financing decision is expected in the first semester 2017.

The call for proposals would be launched in the second semester of 2017, and the signature of the grant is expected for the end of 2017/ early 2018.

The expected duration of the project is 2.5 years.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	Expected 1 <sup>st</sup> semester 2017
Publication of call for proposals	Expected 2 <sup>nd</sup> semester 2017
Closing date of call for proposals	Expected 2 <sup>nd</sup> semester 2017
Award decision	Expected 2 <sup>nd</sup> semester 2017
Pre-financing payment(s)	-
Interim payment(s)	Expected 2018 and 2019
Final payment(s)	Expected 2020

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision, expected to be adopted in the 1<sup>st</sup> half 2017, will set out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As the procedure (call for proposals) is still ongoing, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by a call for proposals.

## 5.6 *Types of beneficiaries*

Not yet available



## 1. Heading

### 34 02 77 02: Pilot project — Making efficient use of Union climate finance: using roads as an early performance indicator for REDD+ projects

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	129 340,15	p.m.	660 000	p.m.	588 000	0,0 %	-10,9 %
Reserve								
Total	0,00	129 340,15	p.m.	660 000	p.m.	588 000	0,0 %	-10,9 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

The overall objective of the ROADLESS-FOR pilot project is to further research into the benefits of protecting road-free intact forests.

Early evaluations suggest that the lack of common methodologies and sufficient resources (human, technical and financial) to forecast, measure, report, verify and compare (FMRVC) forest emissions over time and between countries are major weaknesses of REDD+.

Assessing the impact of increasing accessibility to forests can help in measuring performance in the five activities encompassed by REDD+ (deforestation, forest degradation, conservation, management, and enhancement of forest carbon stock). Additionally, road planning is an easily understandable strategic tool that can be used by donors and beneficiaries of the REDD+ scheme, which ties in with the international drivers of deforestation (including EU imports).

This pilot project uses existing roads and expansion of accessibility, through the opening of new roads, as an early performance indicator for projects to reduce greenhouse gas emissions from deforestation and to strengthen Forest Law Enforcement, Governance and Trade (FLEGT). At the same time the pilot project will incorporate information on accessibility (e.g. road networks) into harmonised FMRVC methodologies as a way of improving the efficiency and reliability of REDD+ reporting, thus laying the groundwork for the post-2020 climate regime to be agreed at UNFCCC COP-21 in Paris in December 2015.

This could also help meet a strategic EU target stemming from the UN Convention on Biological Diversity, namely that, by 2020, the rate of loss of all natural habitats, including forests, should be at least halved and, where feasible, brought close to zero, and degradation and fragmentation significantly reduced.

These appropriations should help deliver and cover the cost of:

1. producing an up-to-date, forward-compatible global map of roadless areas in forested regions, focusing on tropical forests (based on best available spatial data and satellite images). These maps will be made publicly available on a dedicated website;
2. developing a prediction model for deforestation and forest degradation on the basis of existing roads and road building forecasts in priority areas;
3. compiling a brochure on the impacts of key EU sectoral policies (e.g. infrastructure, energy, agriculture and/or mining) on tropical deforestation and forest degradation; and
4. presenting the above deliverables in workshops and symposiums (in particular as side events during the UNFCCC and CBD COPs) and disseminating the relevant material.

#### Implementation of the pilot project 2014-2016:

2014 was focused on setting up the project, following from Commission Decision C(2014) 4204 (June 2014), culminating in signature of Administrative Agreement 34027702/2014/690681/SER/CLIMA.A.2. signed on 10/11/2014 with the Joint Research Centre. Funds for cross sub-delegation were committed by JRC in December 2014.

In 2015, following achievements were realised:

- Creation of Project Steering Group (first meeting held on 22 June 2015);
- Setup project website ([www.roadlessforest.eu](http://www.roadlessforest.eu))
- Inception report (February 2015);
- Production of initial datasets based on compilation of best available data (tropical forest map , roadless areas map);
- Set-up of road database at JRC and analysis using Hadoop High Performance Computing infrastructure;
- Engage on-site contractor with specialist skills in road database management at the global scale;
- Engage contractor for compilation of existing road and logging track data and filling of data gaps;
- Presentation of interim results at the UNFCCC CoP in Paris December 2015;
- Provision of above mentioned deliverables 1 to 4;
- Launch of the study on the impacts of key EU sectoral policies on tropical deforestation and forest degradation through the cross sub delegation;

During 2016, focus was on following activities:

- Testing the prediction model for deforestation on the basis of existing roads in priority areas, e.g. Congo Basin and Madagascar;
- Execution of the study on the impacts of key EU sectoral policies on tropical deforestation and forest degradation by MILIEU LTD;
- Presentation of the results in conferences and symposiums and disseminating the relevant material, in particular at:
  - Cambridge conference in February,
  - The 2016 European Space Agency Living Planet Symposium held in Prague, Czech Republic from May 9 to 13 2016 (<http://lps16.esa.int/index.php>),
  - The 53rd Annual Meeting of the Association for Tropical Biology and Conservation (ATBC) to be held in Montpellier, France, from June 19 to 23 2016 (<http://www.atbc2016.org>),
  - The IUCN World Conservation Congress.
- Development of mapping tools to fill data gaps in priority areas, enhancement of the project website; and
- Production of new Global Accessibility Map, in partnership with Oxford University.

### Intentions for the current year 2017:

Validation of the final reports of the administrative agreement concluded with the Joint Research Centre as well as of contracts concluded by the JRC. Final payment will take place in 2018

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2014 appropriations

Step	Date
Adoption of financing decision	C(2014)4204 of 26/06/2014
<b>Administrative Agreement with the Joint Research Centre (EUR 840 000)</b>	
Pre-financing payment(s)	EUR 252 000 (30%) in 2014
Final payment(s)	EUR 588 000 (70%) in 2018
<b>Cross-subdelegation with the Joint Research Centre (EUR 360 000)</b>	
Signature of service contracts	2015
Interim and Final payment(s)	2016 and 2017

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2014)4204 adopted on 26 June 2014 sets out the main elements for the implementation of the pilot project.

Detailed information on the project is published on the dedicated project website: [www.roadlessforest.eu](http://www.roadlessforest.eu)

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative Agreement	Joint Research Centre (JRC)	840 000
Cross-subdelegation to JRC: CCR.IES.C196992.X12 H05, SC 93 DEVELOP. INFORMATION PLATFORM	RETELL Consortium	229 805
CCR.IES.C199342 H03, CONTRACT 199342 STUDY ON THE IMPACTS OF EU SECTORIAL POLICIES ON TROPICAL DEFORESTATION AND FOREST DEGRADATION	MILIEU LTD	119 450

### 5.5 Method of implementation (tenders, grants, other) and evaluations

Administrative agreement: The activities are being implemented in close collaboration with the Joint Research Centre (JRC), which is already working on Forest Resource Assessment in the tropical belt (with FAO) and on forest degradation more specifically (through the RECAREDD initiative), through:

- an administrative agreement for the production and up-date of forest and forest-related maps, the development of a prediction model tool for deforestation and forest degradation on the basis of existing roads and road building forecasts in priority areas and the dissemination of the results;
- a crossed sub-delegation for the conclusion of two subcontracts related to the collection of a database of spatial information on infrastructure over the tropical belt and the implementation of a study on the impacts of EU sectoral policies on tropical deforestation and forest degradation.

A Steering Group (10-20 people) was set up, consisting of Commission Officials, Researchers, Civil Society Organisations and REDD+ experts, to follow the implementation of the pilot project and ensure coordination with other on-going initiatives (e.g. RECAREDD and Copernicus).

Framework contracts (for activities to be performed under contract and paid by cross sub-delegation).

### 5.6 *Types of beneficiaries*

- Developing countries involved in Reducing CO2 Emissions from Deforestation and Forest Degradation (REDD+)
- Multilateral, EU and Member States development and cooperation organisations supporting REDD+
- EU services in charge of monitoring and addressing land use development and associated emissions
- Scientific community

## 1. Heading

### 34 02 77 03: Pilot project — Study on life cycles of electric, biofuel and traditionally fuelled vehicles

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	200 000	-100,0 %	-20,0 %
Reserve							
Total		500 000	250 000	p.m.	200 000	-100,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

The aim of the project is to compare the life cycle emissions and broad environmental impacts of different types of light duty vehicles in the context of achieving transport decarbonisation.

As a first step, this will include a mapping of CO<sub>2</sub> and NO<sub>x</sub> emissions, both directly and indirectly, and of other environmental impacts over the entire life cycle (i.e. pre-production, production, in use - on average annually - and post use phases) of light duty vehicles.

The vehicle types to be studied should include but not be limited to:

- conventional fossil fuel vehicles,
- electric vehicles (battery, plug-in hybrid, fuel cell),
- biofuel-using vehicles and other vehicles using low-carbon alternative fuels.

As underlined in the Commission letter of executability of 28 October 2016, the emissions and environmental impact of each type of vehicle will be compared with one another, as this is essential to reach the objective of the project.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call(s) for tender	Third quarter 2017
Closing date of call for tender	End 2017/beginning 2018
Award decision	First Quarter 2018

Step	Date
Pre-financing payment(s)	N/A
Interim payment(s)	2018
Final payment(s)	2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted by the end of May 2017 will set out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented through a call for tenders.

### 5.6 *Types of beneficiaries*

Consultancies, research organisations, and/or academia

.

### **Heading 3 - Security and Citizenship**

## 1. Heading

**09 05 77 02: Pilot project — Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	799 750,00	p.m.	939 328	p.m.	600 438	0,0 %	-36,1 %
Reserve							0,0 %	0,0 %
Total	0,00	799 750,00	p.m.	939 328	p.m.	600 438	0,0 %	-36,1 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

#### Objectives followed during 2016 and previous years' implementation:

In 2014, a call for proposals was published and one project was selected. This project started in January 2015 with a duration of two years.

In 2015, a second call for proposals through this pilot project was published and two projects were selected to start in January 2016.

The key objective of the action is to foster European integration through a wider dissemination of European audiovisual cultural content. The pilot project shall test the added value of subtitling existing audio-visual cultural on-line content; and its impact on audiences outside their country of origin through the development of new business models for European media stakeholders whilst enabling EU institutions to base future policy initiatives on the outcome.

The project shall include a scientific evaluation to measure and compare the outreach of content and the audience with and without different language versions, both within and outside Europe. The action will allow a transparent selection of programmes to be supported and should give all European stakeholders the opportunity to benefit from the experience and use the results.

#### Intentions for the current year 2017:

Evaluation of the project of the first phase and continuation of the projects of the second phase.

Results of the first phase were presented during an event organised by ARTE in March 2017 to summarise the first phase of the pilot project "Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe". ARTE Europe launched the project in November 2015 by publishing online its factual content with subtitles in English and Spanish ([www.arte.tv/en](http://www.arte.tv/en) and [www.arte.tv/es](http://www.arte.tv/es)). Through the first phase 570 hours of content was provided (= 1400 subtitled factual programmes) constituting a diverse catalogue of documentaries, magazine shows, short web documentaries (in addition to that 250 hours of performing arts – e.g. live concerts was published). ARTE confirmed that there is a positive effect of subtitling content: subtitled versions



contribute to the circulation of programmes and commercialisation of the programme internationally. ARTE used special tools to measure the audience, number of views and online visits (eStat Médiamétrie) and allowing for geolocalisation of viewers; on average they noted 100 000 views/month; 300 000 visits/month.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2014 appropriations

Step	Date
Adoption of financing decision	18/06/2014
Publication of call for proposals	16/07/2014
Closing date of call for proposals	24/09/2014
Award decision	17/11/2014
Pre-financing payment	17/12/2014
Interim payment	
Final payment(s)	September 2017

2015 appropriations

Step	Date
Adoption of financing decision	14/07/2015
Publication of call for proposals	29/07/2015
Closing date of call for proposals	30/09/2015
Award decision	26/11/2015
Pre-financing payment(s)	20/01/2016
Interim payment(s)	June 2017
Final payment(s)	May 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2014: Commission financing decision C(2014)3982 of 18 June 2014.

The call for proposals EAC/S16/2014 was published on Europa: [http://ec.europa.eu/culture/calls/general/2014-eac-s16\\_en.htm](http://ec.europa.eu/culture/calls/general/2014-eac-s16_en.htm).

2015: Commission financing decision C(2015)4715 of 14 July 2015.

The call for proposals CNECT/3439671/2015 was published on Europa: <https://ec.europa.eu/digital-single-market/news/commission-launches-2-million-eur-initiative-develop-new-subtitled-versions-selected-tv>

### **- Do similar activities exist, which are already covered by a legal basis?**

General actions on subtitling exist within the Distribution Schemes of the Creative Europe Programme (Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020)).

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No legislative follow-up is foreseen at this stage. The pilot project and subsequent preparatory action (09 05 77 05) are still ongoing.

#### 5.4 *Type of activities financed, number and amounts*

2014 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
ARTE Europe	ARTE G.E.I.E. - FR	1 131 717,60

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
VPRO Ericsson	VPRO Omroepvereniging - NL	599 375,00
ARTE Europe - deuxième phase	ARTE G.E.I.E. - FR	1 400 000,00

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

For both years 2014 and 2015 the pilot project was implemented via calls for proposals (grants).

#### 5.6 *Types of beneficiaries*

The pilot project was open to legal entities established in the EU.

The two beneficiaries for the projects selected in 2014 and 2015 are European public broadcasters.

## 1. Heading

### 09 05 77 04: Pilot project — Media literacy for all

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	250 000,00	0,00	500 000	450 000	p.m.	450 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	250 000,00	0,00	500 000	450 000	p.m.	450 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The objective of the pilot project is to experiment actions aimed at increasing the critical thinking towards the media among citizens of all ages and to test the feasibility and usefulness of such actions. Critical thinking includes, among other skills, the ability to distinguish information from propaganda, to debunk disinformation, to deconstruct media communication and to interact with social media in a mindful way.

Media is to be understood as covering all kind of media tools: radio, internet, broadcasting, newspapers and social media.

The target group is citizens at large, of all ages. However, some of the actions could tackle minorities, low-skilled people and people at risk of being socially marginalised.

A first call for proposals was launched in June 2016 with the objective to experiment actions aimed at increasing the critical thinking towards the media among citizens of all ages and to test the feasibility and usefulness of such actions. The actions could include: social media campaigns, creation of networks to share best practices, conferences, workshops and training programmes.

#### Intentions for the current year 2017:

In 2017, the Commission will launch a second call for proposals along similar broad objectives. A more important focus will be put on creating or building upon bridges between different categories of stakeholders and include at least one partner from the media or social media sector.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	27 April 2016

Step	Date
Publication of call for proposals / call for tender	30 August 2016
Closing date of call for proposals / call for tender	14 October 2016
Award decision	Second quarter 2017
Pre-financing payment(s)	Second quarter 2017
Interim payment(s)	N/A
Final payment(s)	Second quarter 2018

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	June 2017
Closing date of call for proposals	September 2017
Award decision	Fourth Quarter 2017
Pre-financing payment(s)	January 2018
Interim payment(s)	N/A
Final payment(s)	First Quarter 2019

### 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2016)2411 of 27/04/2016 sets out the elements for the implementation of the preparatory action

The call for proposals was published on Europa: <https://ec.europa.eu/digital-single-market/en/news/pilot-project-media-literacy-all>.

2017: the Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 Type of activities financed, number and amounts

As the evaluation of proposals following the 2016 call for proposals is still ongoing, these details are not yet known. The award decision and contracts will be finalised in the second quarter of 2017.

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is implemented through calls for proposals (grants).

### 5.6 Types of beneficiaries

Any economic operator established in the EU Member States.

## 1. Heading

### 15 04 77 09: Pilot project — Supporting networks of young creative entrepreneurs: Union and third countries

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	154 838,76	p.m.	367 742	p.m.	232 258	0,0 %	-36,8 %
Reserve							0,0 %	0,0 %
Total	0,00	154 838,76	p.m.	367 742	p.m.	232 258	0,0 %	-36,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

Through the creation of a Platform of networks of young entrepreneurs in the cultural sector around the world, this pilot project is expected to:

- foster exchanges of experiences on new businesses and new management models for the cultural and creative sectors;
- foster the entrepreneurship and innovation potential of young entrepreneurs in the cultural and creative sectors
- support the capacity of young entrepreneurs in the cultural and creative sectors to operate transnationally and internationally
- foster innovation and creativity.

To date the contractor has selected networks of young creative entrepreneurs around the world to set up a digital Platform ([www.creativetracks.org](http://www.creativetracks.org)). The launch event was held on 2-3 November 2016 in Namur (Belgium) with participation of entrepreneurs and experts from around the world. The first interim operational report on the implementation of the Platform for young creative entrepreneurs and the interim payment were processed in November 2016.

#### Intentions for the current year 2017:

The project is on-going and several international events and workshops (in Europe and outside Europe) are foreseen.

The second interim operational report on the launch event was received in February 2017. The delivery of the final report on the implementation of the Platform will be completed in December 2017 and the payment of the balance will follow beginning of 2018.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	4 May 2015
Publication of call for proposals	June 2015
Closing date of call for proposals	15 September 2015
Award decision	November 2015
Pre-financing payment(s)	December 2015
Interim payment(s)	November 2016 & March/April 2017
Final payment(s)	January 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2015)2827 of 4 May 2015 sets out the main elements for the implementation of the pilot project : [http://ec.europa.eu/dgs/education\\_culture/more\\_info/awp/docs/c-2015-2827\\_en.pdf](http://ec.europa.eu/dgs/education_culture/more_info/awp/docs/c-2015-2827_en.pdf)

Documentation on the call for proposals (N° EAC/2015/ EAC/S11/2015) is available at: [http://ec.europa.eu/culture/calls/general/2015-eac-s11\\_en.htm](http://ec.europa.eu/culture/calls/general/2015-eac-s11_en.htm)

Project Website: <http://www.creativetracks.org/home-community>

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Supporting networks of young creative entrepreneurs: Europe and third countries	Consortium Members: - (leader) INOVAMAIS – Serviços de Consultadoria em Inovações Tecnológica S.A. - KEA European Affairs - Addict – Agência para o Desenvolvimento das Indústrias Criativas - Visiting Arts - Librios Limited - On-the-Move.org - Fonds Roberto Cimetta - Baltan Laboratories	774 193,79

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project is currently being implemented through a grant agreement signed with INOVAMAIS who leads a consortium of 8 members.

## 5.6 Types of beneficiaries

Profit or non-profit organisations, public or private entities

## 1. Heading

### 15 04 77 13: Pilot project — Fight against illicit trafficking in cultural objects

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			500 000	250 000	p.m.	260 000	-100,0 %	4,0 %
Reserve							0,0 %	0,0 %
Total			500 000	250 000	p.m.	260 000	-100,0 %	4,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The key objective of the project will be to protect cultural heritage in danger by devising a coordinated approach for combating the illegal trade in cultural goods.

To this end, the project will be addressed at several levels with the following expected results:

- to provide a study based on research-based analysis of illicit trafficking of cultural objects and an inventory of new technologies used for the protection of cultural heritage against looting and trafficking;
- to prepare and carry out training activities for relevant professional groups and an awareness-raising campaign for the general public.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
<b>"Fight against illicit trafficking in cultural goods – study" (EUR 200 000)</b>	
Publication of call for tender	Second quarter 2017
Closing date of call for tender	September 2017
Award decision	October 2017
Pre-financing payment(s)	November 2017
Interim payment(s)	April 2018
Final payment(s)	July - August 2019
<b>Grant "Fight against illicit trafficking in cultural goods – training modules"</b>	

Step	Date
<b>(EUR 300 000)</b>	
Signature of grant agreement with UNESCO	4 <sup>th</sup> quarter 2017
Pre-financing payment(s)	4 <sup>th</sup> quarter 2017
Interim payment(s)	4 <sup>th</sup> quarter 2018
Final payment(s)	4 <sup>th</sup> quarter 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing Decision expected to be adopted in May 2017 will set out the main elements for the implementation of this pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

As 2017 is the first year of implementation of the pilot project, these details are not known.

It is envisaged that the pilot project will be implemented through:

- a call for tender for the study
- for the training modules, a joint project with UNESCO via the possible award of a grant without a call for proposal, provided the conditions set out in article 190(1) (c) or (f) of the Rules of Application to the Financial regulation are met

### 5.6 *Types of beneficiaries*

Relevant authorities of the EU Member States (including police and judges)



## 1. Heading

### 17 03 77 08: Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	628 203,30	p.m.	600 000	p.m.	419 000	0,0 %	-30,2 %
Reserve							0,0 %	0,0 %
Total	0,00	628 203,30	p.m.	600 000	p.m.	419 000	0,0 %	-30,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2013 and 2014 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The objectives of this project should focus on the major issue surrounding Autistic Spectrum Disorders (ASD) in Europe, namely early detection of high functioning ASD and developments of means to support such people. The strategic objective is the improvement of the quality of life, social and vocational reintegration of individuals affected by ASD and avoidance of social disintegration and early retirement.

Following the unsuccessful procedure in 2013, the objectives of this project continues to focus on the major issue surrounding Autistic Spectrum Disorders (ASD) in Europe, namely early detection of high functioning ASD and developments of means to support such people. The additional funding in 2014 will permit to expand the number of pilot units to test the feasibility of the Protocol for Early Detection on Autistic Spectrum Disorders (ASD).

The Spanish Rare Disorders Research Institute (IIER), a Centre of the Institute of Health Carlos III (ISCIII) presented the proposal named "ASDEU" (Autism Spectrum Disorders in Europe), was selected in November 2014 by the EC and funded with EUR 2,1 million for the next three years. This proposal fulfilled all the required criteria, and was based in a consortium of other 19 groups from European institutions and organizations from 13 different countries.

Up to date, twenty-two partners and another two collaborating allies from 14 European countries representing different European regions signed the ASDEU Grant Agreement and have been closely collaborating since February 15<sup>th</sup>, 2015.

During 2016 the project has continued the established Road Map in a satisfactory way. The arrangements for the prevalence study have involved the creation of three new ASD diagnosis expert team(s) (in Bulgaria, Poland and Austria) and the establishment of the first collaboration between several European population registries for the purpose of the estimation of the prevalence of autism. At the moment there are two different methodologies (M) of ascertaining ASD cases in a total of 12 countries : M-1) Sites: Denmark, Finland, France and Iceland, which are collaborating to harmonize: ICD codes, birth years, follow-up years and prevalence estimation information, gathering data from population-based registries or health data systems; and M-2) in Austria, Bulgaria, Ireland, Italy, Poland, Portugal, Romania & Spain, which have chosen cross-sectional-study designs. This method is being applied in larger

populations (160,200 children is the population expected to be screened) and needs to develop a screening strategy in primary schools using a tool called the “Teacher Nomination Form” (TNF) followed by the SCQ (Social Communication Questionnaire) for the “nominated children”. The two committed Deliverables that must have been submitted by now (Wp6 Evaluation Report and WP4 Report, see Annexes WP4 & WP6) were sent to the European Commission in December 2016:

- The Evaluation Report of the ASDEU Project after the first 18 months of activity (coordinated by WP6 Leader and submitted to the EC in October 2016) was strongly positive. Project deliverable deadlines were shown to have been met and all due Project outcomes have been delivered according to the grant agreement schedule. All WP demonstrated significant progress, especially in areas where there is limited or no knowledge, such as early detection of ASD and treatment and support to ASD adults. Project coordination teams and WP / task leaders manifest strong leadership and excellent collaboration with all Project partners and stakeholders.
- The WP4-Report: “Autism plans, strategies and disability policies in the field of health, education and social inclusion in the European Union. A review of the instruments and measures in place to support people on the autism spectrum and their families at all ages”, was submitted to the EC in August 2016 but is currently being refined after further consultations. It will be sent again within the ASDEU Final Report – and an updated version is also included in this report.
- Two External Newsletters with important information about our project have already been distributed among partners and disseminated to their contacts. (Annexes WP5)
- The ASDEU website has continued to grow and improve during the last year. New sections, such as "UPDATES", "FINDINGS" and "WP-ACTIVITIES", which include all WP surveys ongoing at the moment, have been added. Collaborators' profiles and other sections are continuously being updated (see WP5 Progress section).

The Mid-Term Project Meeting in Edinburgh was attended by 32 participants (mainly partners but additionally there was also representation from the EC, Autism Speaks and the Hungarian Government). This meeting was organized back to back with the annual Autism Europe Congress from September 16-18, 2016 in Edinburgh. During the Congress and a round table on ASDEU, partners presented the results from ASDEU activities in poster and oral communications.

#### **Intentions for the current year 2017:**

- The survey's result analysis will be finalised and presented alongside the literature review and stakeholders consultation.
- Further checks will be conducted to address the possible bias concerning the information collected across the 28 countries, notably by contacting the UNCRPD focal points, *i.e.* ministries in charge of disability in the EU countries to ask for their feedback.
- The results of the mapping exercise mentioned above will feed into the design of the draft recommendations for a European public health plan for autism.
- A first set of recommendations will be then submitted for consultation with a wide range of key stakeholders, both online and via focus groups meetings, in order to gather feedback and release the final version of the recommendations for public health plan for autism.
- Optimisation and updating of the website is ongoing.
- Organisation of the Final Conference: it is planned to be held between December 2017 and the end of January 2018.
- EU-AIMS clinical network will play a key role in dissemination in the final year of the ASDEU-initiative via its network of 100 sites in 37 countries.
- A number of ASDEU sites have been invited to be partners with a view of taking part in trials as part of the IMI2 Call 10 Topic 8 outline application, currently being prepared by the EU-AIMS consortium.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2013 and 2014 appropriations

Step	Date
Adoption of financing decision	2/08/2013
Publication of call for tender	06/09/2013
Closing date of call for tender	18/10/2013
Notice of non-award	12/02/2014
Adoption of financing decision (2014 appropriations)	19/05/2014
Re-publication of the call for tender (covering 2013 and 2014 appropriations)	23/09/2014
Closing date of call for tender	19/11/2014
Award decision	08/12/2014
Pre-financing payment(s)	-
Interim payment(s)	1 <sup>st</sup> interim : 2015, 2 <sup>nd</sup> interim 2016, 3 <sup>rd</sup> interim expected 2017
Final payment(s)	Expected 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision for 2013 appropriations was adopted in August 2013 (C(2013) 4940).

The 2013 call for tender initially was published on 30<sup>th</sup> September 2013 under the reference SANCO/2013/C1/06. Following the unsuccessful procedure a notice of non-award was published on 12<sup>th</sup> February 2014.

The Financing Decision for 2014 appropriations was adopted on 19 May 2014 (C(2014)3125).

The call for tender (covering 2013 and 2014 appropriations) was published on 23 September 2014 under the reference SANCO/2014/C2/035:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sanco\\_2014-c1-035\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sanco_2014-c1-035_en.htm)

The award notice was published on 19 February 2015.

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2013 and 2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Call for tender SANCO/2014/C2/035 "Autistic Spectrum Disorder in the European Union"	INSTITUTO DE SALUD CARLOS III	2 094 011 (EUR 1 300 000 on 2013 appropriations, EUR 794 011 from 2014 appropriations)

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The pilot project was implemented by a call for tender.

### *5.6 Types of beneficiaries*

Governmental and/or non-governmental organisations having experience in the field of Autism

## 1. Heading

### 17 03 77 09: Pilot project — Promotion of self-care systems in the Union

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	706 024,40	p.m.	200 000	p.m.	237 000	0,0 %	18,5 %
Reserve							0,0 %	0,0 %
Total	0,00	706 024,40	p.m.	200 000	p.m.	237 000	0,0 %	18,5 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2013 and 2014 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The objectives of the project were to put in place a framework for action to enhance self-care at EU level and to develop strategies to support the broader implementation of effective self-care.

During 2014:

- 1) the Commission signed a contract of EUR 180'350 with SOGETI for an independent cost/benefit analysis of self-care systems in the European Union. DG SANTE has received the final report of this analysis in February 2015. It is now feeding the work of the Platform of Experts.
- 2) the Commission signed a contract of EUR 770'220 with a consortium led by CBO to create and manage a platform of experts in self-care and healthcare gathering cross-functional stakeholders. The platform has clear objectives, deliverables and timelines. The first meeting of the plenary of the Platform of Experts took place at the beginning of March 2015 in Utrecht.

Actions initiated in 2013 focus on minor and self-limiting conditions.

The first contract was concluded in August 2014, with the delivery of the cost/benefit analysis of self-care systems in the EU.

The second contract called "PiSCE" was launched in September 2014. In September 2015 the initial Consortium leader CBO (Dutch Institute for Healthcare Improvement) was object of a bankruptcy. As a consequence the implementation of the project had been put on standby until July 2016 when Danish Committee for Health Education took over the lead of the project.

In 2015, a new call for tender was published (n°SANTE/2015/D2/021) in order to expand the scope to chronic diseases, as referred in the Commission Decision C(2014) 4127, with the following objectives:

- 1) Conduction of a study (literature review and cost-benefit analysis) of self-care systems in the European Union in the field of chronic diseases, in the following areas: chronic metabolic diseases, chronic gastro-intestinal

diseases, chronic dermatologic diseases, chronic respiratory diseases, chronic cardiovascular diseases, chronic circulatory diseases;

- 2) Building on the experience of the previous Platform of Experts on self-care, the setting up of a platform of experts in chronic diseases, with a focus on 6 diseases identified within each of the above indicated areas. The activities of the Platform are the following:
- Identify any barrier that may hinder the development of self-care;
  - Develop guidelines for national and local policy makers on how to promote self-care;
  - Propose scenarios for EU collaboration;
  - Propose innovative approaches for the development of self-care;
  - Propose and design communication tools to patient/consumers to improve prevention and disease management;
- 3) Dissemination of results.

The launch of the new contract called "PRO STEP" was in January 2016.

**Intentions for the current year 2017:**

In 2017 five lines of activities will be conducted in a parallel way:

1. The final phase of the "PiSCE" project with the set-up of the platform of experts on self-care for minor diseases;
2. The final project conference on 17 March 2017, organised by the Danish Committee for Health, which is the consortium leader of the PiSCE pilot project on the promotion of self-care systems in the EU;
3. Delivery of the final report;
4. The final phase of implementation of the "PRO STEP" project on the study and set up of a platform of experts on self-care for chronic diseases;
5. Project conference in October 2017.

**5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2013 appropriations

Step	Date
Adoption of financing decision	02/08/2013
Publication of call for tender	31/12/2013
Closing date of call for tender	28/02/2014
Award decision	08/05/2014
Pre-financing payment(s)	-
Interim payment(s)	2015 and 2016
Final payment(s)	Expected 2017

2014 appropriations

Step	Date
Adoption of financing decision	25/06/2014
Publication of call for tender	17/7/2015
Closing date of call for tender	30/09/2015
Award decision	10/12/2015
Pre-financing payment(s)	-
Interim payment(s)	2016 and expected 2017
Final payment(s)	Expected 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision for 2013 appropriations was adopted in August 2013 C(2013)4940.

Request for specific services for the Cost-benefit analysis (FWC) under the reference EAHC/2013/Health/26 with a deadline for receipt of offers on 7 February 2014.

The terms of reference for the 2013 call for tender are available on Europa:

[http://ec.europa.eu/dgs/health\\_consumer/funding/call2\\_sanco-2013-d2-027\\_en.htm](http://ec.europa.eu/dgs/health_consumer/funding/call2_sanco-2013-d2-027_en.htm)

The Financing Decision for 2014 appropriations was adopted in June 2014 C(2014) 4127.

The terms of reference for the 2014 call for tender are available on Europa:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sante\\_2015-d2-021\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sante_2015-d2-021_en.htm)

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2013 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
COST/BENEFIT ANALYSIS OF SELF-CARE SYSTEMS IN THE EUROPEAN UNION	SOGETI	180 350
Open call for tender SANCO/2013/D2/027 " SELF-CARE: PLATFORM OF EXPERTS"	CBO BV	770 228

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Promotion of Self-Care Systems in the European Union in the Field of Chronic Diseases	EUROPEAN PATIENT FORUM	949 912

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The 2013 appropriations were implemented for the major part of the appropriations by a contract following an open call for tender, the remainder being implemented by a specific contract on a Framework contract.

The 2014 appropriations were implemented by a call for tender.

### 5.6 Types of beneficiaries

Consortia, academics, consultancies, public bodies, etc. within the public health sphere

## 1. Heading

### 17 03 77 10: Pilot project — Gender-specific mechanisms in coronary artery disease in Europe

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	350 000	p.m.	368 000	0,0 %	5,1 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	350 000	p.m.	368 000	0,0 %	5,1 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2013 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objectives of the project are the following:

1. to increase medical and public awareness about gender differences in coronary artery disease (CAD) regarding clinical manifestations and incidence;
2. to establish guidelines on gender specific manifestations of CAD and treatments;
3. to examine gender implications on health determinants and risk factors;
4. to disseminate the best practice plan in Member States.

The contract was signed in December 2014 and therefore the implementation of the project started in 2015.

The project analysed published information in European databases and regulations in different countries to understand gender differences in coronary heart disease, in risk factors, clinical manifestations, prevention and outcomes.

The European Public Health Association conference in Milan in October 2015 hosted a GenCAD workshop titled "Gender differences in coronary artery disease throughout Europe". Experts presented major findings related to this topic and involved discussants from all European Member States based on their interests in this field.

#### Intentions for the current year 2017:

The GenCAD project plans to analyse awareness on gender differences in lays and the health care professionals in different Member States. For this purpose surveys are currently being drafted.

It will produce factsheets for doctors and lays on gender differences in coronary heart disease and its prevention and distribute them throughout Europe. For this purpose it will organise conferences and communicate via magazines and social media. The results of this project shall improve the prevention and treatment of coronary heart disease in women and men throughout Europe.



GenCAD plans to generate facts to analyse existing knowledge about gender differences in CAD risk factors, disease mechanisms, clinical manifestations, treatment, access to health care, and management and outcomes.

It will identify the most effective practices to raise awareness about gender specific manifestations of CAD, its specific risk factors and available treatments in the Member States.

Facts of the results of the surveys and the resulting factsheets will be communicated and disseminated to different target audiences and the health care community in all Member States. These audiences will be reached through workshops and conferences. Two conferences will be organized in Brussels, focusing on gender specific disease manifestations, treatments and outcomes as these are core elements of awareness-raising in the general population and in the physicians.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2013 commitment appropriations

Step	Date
Adoption of financing decision	02/08/2013
Initial publication of call for tender	06/09/2013
Closing date of call for tender	18/10/2013
Non - award decision	14/05/2014
Re-publication of the call for tender	30/06/2014
Closing date of call for tender	28/08/2014
Award decision	08/12/2014
Pre-financing payment(s)	-
Interim payment(s)	2015 Expected 2017
Final payment(s)	Expected 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision for 2013 appropriations was adopted in August 2013 C(2013)4940.

The 2013 call for tender was published on OJS 2013/S 173-298332 under the reference SANCO/2013/007.

The Call for tender was republished in June 2014 under the reference SANCO/2014/C1/008. The terms of reference are available at the following address:

[http://ec.europa.eu/dgs/health\\_consumer/funding/call\\_sanco\\_2014-c1-008\\_en.htm](http://ec.europa.eu/dgs/health_consumer/funding/call_sanco_2014-c1-008_en.htm)

### **- Do similar activities exist, which are already covered by a legal basis?**

No. However under the Public Health programme 2003-2007 and 2008-2013 several projects in the area of cardiovascular and projects with a gender approach have been financed.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

2013 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Call for tender SANCO/2013/C1/008 "Gender specific mechanisms in coronary artery disease (CAD) in Europe"	GENCAD CHARITÉ UNIVERSITÄTSMEDIZIN BERLIN	986 900

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The project was implemented by a call for tender.

### *5.6 Types of beneficiaries*

Governmental and non-governmental organisations and experts in the field of cardiovascular diseases or on gender aspect in public health

## 1. Heading

### **17 03 77 16: Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	299 994,27	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	299 994,27	p.m.	300 000	p.m.	300 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### **Objectives followed during 2016 and previous years' implementation:**

The aim of the action is to compare, from a micro- and macro-economic perspective, the various treatment modalities for Chronic Kidney Diseases (CKD) in EU Member States by investigating the factors that influence the treatment choice (by patient or doctor) and the impact of that choice on healthcare budgets. In addition, the project will examine obstacles to improving kidney donation and transplantation rates and provide operational tools to follow-up living donors and transplanted patients.

The first overall objective is to provide an overview of the different treatment modalities and the factors that influence the selection of those modalities in Member States, with a view to improving end-stage kidney disease treatments and the availability of transplantation across Member States, while at the same time reducing healthcare costs and improving the quality of care, patient survival and quality of life.

To build upon the first overall objective in the field of kidney chronic diseases and more specifically for the transplantation options, a second overall objective of the project is to support Member States' efforts in putting in place operational tools (registers) to follow-up living donors and transplanted patients, based on the experience learned and recommendations formulated by previous EU-funded projects. This second overall objective will be implemented via two work packages, one being dedicated to the follow-up of living donors, the other one being focused on the follow-up of transplanted patients. These two work packages will contribute to ensure the quality and safety aspects required by EU legislation in the field, and hence the protection of donors and patients, but they will also be beneficial for the transplant community as a whole, as learnings from such registers will enable to propose better indications for (future) patients on transplant waiting lists.

It is expected that project's results can be used by EU Member States as a stepping stone towards successful implementation of EU policies and legislation in the field of chronic diseases and organ transplantation, in particular of the Directive 2010/53/EU on standards of quality and safety of human organs intended for transplantation and of the EU Action Plan on Organ Donation and Transplantation.

## Intentions for the current year 2017:

Kick-off and start of development of the three technical work-packages:

- Develop assessment for different treatment modalities for end-stage renal failure
- Design a set-up for a European Kidney Transplant Register
- Start collection of national living donor follow-up data

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of financing decision	10 July 2015
Publication of call for proposals	31 March 2016
Closing date of call for proposals	16 June 2016
Award decision	13 October 2016
Pre-financing payment(s)	2016
Interim payment(s)	Expected 2018
Final payment(s)	Expected 2020

### 5.3 *Documentation and expected results*

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2015)4583 adopted on 10 July 2015 sets out the main elements for the implementation of the pilot project.

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-1-2016-01.html>

#### - Do similar activities exist, which are already covered by a legal basis?

Related activities exist in the field of organ donation and transplantation, e.g. as foreseen under Directive 2010/53/EU on standards of quality and safety of human organs intended for transplantation, under the EU Action Plan on Organ Donation and Transplantation and under the EU Health Programme (EU-funded projects in the field of organ transplantation).

Some previous actions have focused on living donor follow-up (in particular within the ACCORD Joint Action) and on follow-up of transplanted patients (in particular within the EFRETOS Joint Action). The outcomes of these actions are fully taken into account.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

Directive 2010/53/EU was adopted in July 2010, transposed by Member States for August 2012. The transposition check is currently on-going. No legislative proposal is therefore envisaged at this stage in this field. Some findings on safety and quality could later become inputs for legislation.

### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Chronic Kidney Diseases	DEUTSCHE STIFTUNG ORGANTRANSPLANTAT	999 980

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by a call for proposals.

## 5.6 *Types of beneficiaries*

Consortium of governmental and non-governmental organisations in charge of tasks described under Directive 2010/53/EU and/or having experience in the field of organ donation & transplantation

## 1. Heading

### 17 03 77 17: Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	180 000	p.m.	346 000	0,0 %	92,2 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	180 000	p.m.	346 000	0,0 %	92,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

This pilot project will focus on training and social awareness to encourage public reflection on organ donation.

The project will be implemented in two phases:

- The first phase will be a “train the trainers” course. The main objective of the course is to support capacity-building efforts, with the overall objective to monitor and improve overall performance in the management of donated and transplanted organs. The course will include components on communication, quality improvement methodologies, donor identification, “approaching the family” (in the case of deceased donation) and approaching possible donors such as living donors or via patients’ support groups. The applicants can also propose topics for some modules of the course that would help increasing social awareness - also within the healthcare establishment - and to improve the use of donated organs. This training course should cover deceased and living donation as well as organ and tissue donation. It shall make sure to use and make reference to previous EU-funded projects that included training and improvement methodologies.
- The second phase will involve the organisation of several communication events (e.g. awareness or information days, journalist workshops), possibly supporting some of the “trainers” trained during the first phase. These events should include information on deceased and living donation as well as organ and tissue donation. Different communication events should be organised in different EU Member States. The selected applicant will be asked to contact the national authorities in charge of donation and transplantation, and should seek their logistical support as well as cooperation and alignment in terms of communication strategies. In this second phase, useful documents and deliverables on communication initiatives and strategies already available in the field of transplantation should be used, e.g. from EU-funded projects, Journalist Workshops on organ donation & transplantation organised by the European Commission, European Organ Donation Days co-organised by the Council of Europe, as well as existing national and local initiatives.

Both phases of the pilot project will include dissemination activities, including towards national competent authorities established in a network by Article 19 of Directive 2010/53/EU.

The contract was signed in the second quarter of 2016. The kick-off meeting took place in the third quarter of 2016.

### **Intentions for the current year 2017:**

The selected contractor will develop a “train the trainers” course, starting with the planning and decisions on the set-up of the training course (training programme, training materials, methodology and proposed list of participants). After that he will continue with the implementation of the training course: eLearning course and two face-to-face sessions.

The main objective of the course is to support capacity-building efforts (training professionals and activists who will in turn be able to train colleagues in their countries/regions/hospitals), with the overall objective to monitor and improve overall performance in the management of donated and transplanted organs.

The contractor will also establish a website, for the whole timeframe of the project.

### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	10/7/2015
Publication of call for tender	25/11/2015
Closing date of call for tender	15/01/2016
Award decision	07/04/2016
Pre-financing payment(s)	-
Interim payment(s)	Expected 2017 and 2018
Final payment(s)	Expected 2019

### **5.3 Documentation and expected results**

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2015)4583 adopted on 10 July 2015 sets out the main elements for the implementation of the pilot project.

The call for tender was published via the E-tendering platform and documents can be accessed here: <https://etendering.ted.europa.eu/cft/cft-documents.html?cftId=1173>

#### **- Do similar activities exist, which are already covered by a legal basis?**

Related activities exist in the field of organ donation and transplantation, e.g. as foreseen under Directive 2010/53/EU on standards of quality and safety of human organs intended for transplantation, under the EU Action Plan on Organ Donation and Transplantation and under the EU Health Programme (EU-funded projects in the field of organ transplantation).

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No. Directive 2010/53/EU was adopted in July 2010, transposed by Member States by August 2012. The transposition check is currently on-going. No legislative proposal is therefore envisaged at this stage in this field.

### **5.4 Type of activities financed, number and amounts**

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Training and Social Awareness for Increasing Organ Donation in the EU	UNIVERSITY OF BARCELONA	576 000

### **5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project is implemented by call for tender.

## 5.6 *Types of beneficiaries*

This project was open to consortia of governmental and non-governmental organisations in charge of tasks described under Directive 2010/53/EU, healthcare institutions and professionals, researchers, professional bodies and stakeholders health-oriented and/or even having specific relevant experience in the field of organ donation & transplantation, in training and communication on health/transplantation topics.



## 1. Heading

### 17 03 77 18: Pilot project — Reducing health inequalities experienced by LGBTI people

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	132 000,00	p.m.	135 000	p.m.	132 000	0,0 %	-2,2 %
Reserve							0,0 %	0,0 %
Total	0,00	132 000,00	p.m.	135 000	p.m.	132 000	0,0 %	-2,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objectives of the pilot project are:

1. To better understand the specific health inequalities experienced by lesbian, gay, bisexual, transgender and intersex (LGBTI) people, focusing in particular on overlapping inequalities stemming from discrimination (also unintentional) and unfair treatment on other grounds (e.g. age, disability, socioeconomic status, race and ethnicity) and the barriers faced by health professionals when providing care to those groups.
2. To provide health professionals with specific tools to ensure that they have the right skills and knowledge to overcome those barriers and contribute to the reduction of health inequalities.

The Health4LGBTI pilot project is carried out in 3 phases. Starting in March 2016 it has already:

- completed the preparatory work for a state-of-the-art review of the health inequalities experienced by LGBTI people and the barriers faced by health professionals in providing healthcare for LGBTI people including the definition of methodology, desk-based scientific review, comprehensive scoping review of promising practices; (state-of-the-art synthesis report due March 2017);
- held two focus group studies in 6 geographically diverse EU Member States (Poland, Italy, UK, Belgium, Bulgaria, and Lithuania) to map the barriers faced both by LGBTI people and health professionals including developing focus group protocols, selection and training of experts to facilitate the focus groups, recruitment of focus groups' participants, analysis of qualitative data collected. A further aim of the focus group studies was to generate data including stimulus materials for the development of training module(s) for healthcare professionals (final overview report on the outcomes due March 2017)
- to assess the key health inequalities faced by LGBTI people, especially those in vulnerable situations (isolated communities, elderly, etc.) and the barriers faced by health professionals when providing care. This included a review of the available literature.

### Intentions for the current year 2017:

The focus of the final year of implementation will be on the second phase which will develop training modules for health and - if identified in the previous phase as necessary - social care professionals. They are to be tested and validated in several EU Member States as well as the third phase, which will include the dissemination of the validated training package and the results of the project.

- Development of training module(s) to increase the knowledge, attitudes and skills of healthcare professionals when providing healthcare to LGBTI people, to be potentially replicable across the EU;
- Piloting of the training module(s) to fine-tune and finalise the modules;
- A final European conference, and wide dissemination and communication targeting civil society organisations representing or working with key population groups, healthcare professionals, European health organisations including the EU as well as national, regional and local policy and decision makers in the area of health.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of financing decision	17/06/2015
Publication of call for tender	3/10/2015
Closing date of call for tender	16/11/2015
Award decision	11/02/2016
Pre-financing payment(s)	-
Interim payment(s)	2016 Expected 2017
Final payment(s)	Expected 2018

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2015) 4048 adopted on 17 June 2015 sets out the main elements for the implementation of the pilot project.

The documents related to the call for tender can be accessed here:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sanco\\_2015-c4-035\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sanco_2015-c4-035_en.htm)

#### **- Do similar activities exist, which are already covered by a legal basis?**

No

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Reducing health inequalities experienced by LGBTI people	AZIENDA OSPEDALIERA UNIVERSITARIA INTEGRATA 'ISTITUTI OSPITALIERI DI VERONA' (CONSORTIUM)	440 00

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The pilot project is implemented by a call for tender.

### *5.6 Types of beneficiaries*

Final beneficiaries are the competent authorities that are responsible for health in the Member States, or public sector bodies and non-governmental bodies, acting individually or as a network, mandated by those competent authorities.

The pilot project will increase knowledge and exchange of good practice amongst relevant public health and policy communities.

## 1. Heading

**17 03 77 21: Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	350 000,00	0,00	p.m.	105 000	p.m.	105 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	350 000,00	0,00	p.m.	105 000	p.m.	105 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The purpose of this pilot project is to set up a support structure specifically for women struggling with an alcohol problem, in particular during pregnancy.

To reduce the number of children exposed to alcohol in the womb or suffering from Foetal Alcohol Syndrome (FAS) and Foetal Alcohol Spectrum Disorders (FASD), the project will develop support structures specifically for women with an alcohol problem, in particular during pregnancy. By reaching out to women at risk, bringing them together, and involving their families, the support structure will seek to encourage women to receive treatment for their alcohol problem and to offer a dedicated, comprehensive and coordinated care package overseen by professionals.

The pilot project will be implemented alongside, and must complement, the action being taken by the WHO in this area, and must draw on the outcomes and findings of the European Action Plan on Youth Drinking and on Heavy Episodic Drinking (Binge Drinking).

#### Intentions for the current year 2017:

The Commission plans to conclude a service contract to support actions to reduce the extent of alcohol-related harm in women of child-bearing age, including those from disadvantaged backgrounds. The objective of the contract will be to prevent drinking initiation as well as ultimately reduce the number of women already drinking or being at risk of having an alcohol problem during pregnancy. There is considerable variation in alcohol harm-reduction activities for women of child-bearing age at national level and only few examples of evaluation have been carried out. There is a potential for EU added value in analysing the experience to date in such initiatives and in developing and testing interventions and supporting training packages. The project will in this way contribute to EU and national policies on reducing alcohol-related harm.

The project will encompass:

- general review of existing initiatives, good and best practices to reduce the extent of alcohol-related harm in women of child-bearing age or pregnant women, including those from disadvantaged backgrounds;

- specific reviews of related professional training initiatives, namely for health professionals and social workers, and reviews of related actions on communication and behaviour change targeting women of child-bearing age or pregnant women;
- drafting of guidelines and of a training package to support health professionals and social workers to identify women of child-bearing age, especially pregnant women at risk of having or already facing alcohol-related problems including those from disadvantaged backgrounds and strengthen/build support for prevention and treatment to reduce the extent of alcohol related harm for them;
- testing of the approach, guidelines and training materials with a representative sample in one geographical area of intervention, adequately covering disadvantaged and most affected groups.

The pilot project will be implemented in three to five regions in three different EU Member States, tbc.

The project will be implemented in 2 phases, which may partially overlap.

The first phase will include:

- a general review of initiatives, good and best practices to reduce alcohol-related harm in women of child-bearing age particularly in pregnant women, also those from disadvantaged backgrounds;
- specific reviews of related professional training initiatives, namely for health professionals and social workers, and of related actions on communication and behaviour change targeting women of child-bearing age or pregnant women;
- drafting guidelines and developing a training package for health professionals and social workers to identify and support the reduction of the risk of alcohol-related harm in women of child-bearing age, particularly in pregnant women, including from disadvantaged backgrounds;
- establishing a coordinated network of professionals,
- organising an evidence-based training course (including a “Train the trainers” course as appropriate), its content will include
  - training on the delivery of key messages,
  - how to conduct successful interviews to assess alcohol use,
  - quality improvement methodologies,
  - methods for “approaching the family”,
  - other aspects deemed useful to improve the voluntary participation of women in primary prevention settings.
- In addition, tailored information material targeting women of child-bearing age shall be developed and distributed in relevant settings.

The second phase will ensure that trained professionals will:

- identify women at risk,
- evaluate the approach, guidelines and materials with a representative sample of women in each geographical area of intervention, adequately covering disadvantaged and most affected groups by
  - informing them about alcohol related harm,
  - referring them to prevention services or specialised treatment,
  - coordinating their support,
  - encouraging their voluntary participation in treatment settings,
- evaluate the results.

This will include identifying and bringing at-risk persons together in discussion groups at the earliest possible stage, and involving and supporting their families as much as possible.

Dissemination activities will be carried out in both phases, targeting also experts of national competent authorities.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	01/08/2016
Publication of call for tender	Expected May 2017
Closing date of call for tender	Expected June 2017
Award decision	Expected July 2017
Pre-financing payment(s)	-
Interim payment(s)	Expected 2017 and 2018
Final payment(s)	Expected 2019

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Financing Decision C(2016) 4783 adopted on 1 August 2016 sets out the main elements for the implementation of the pilot project.

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

As the procedure (call for tender) is still ongoing, these details are not yet known.

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by a call for tender.

## 5.6 Types of beneficiaries

Consortia, academics, consultancies, public bodies, etc. within the public health sphere

## 1. Heading

### 17 03 77 23: Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 200 000,00	0,00	p.m.	360 000	p.m.	360 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 200 000,00	0,00	p.m.	360 000	p.m.	360 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objectives of the project are:

- To identify factors associated with risk of violence to self and others in patients with severe mental disorders,
- To assess tools capable of predicting risk, for decision-making,
- To evaluate effective treatments to assist in the planning of services (clinicians, managers, lawmakers and governments) in the development of preventative and supportive measures.
- To compare national variations in pathways into and out of care, including specialized secure services in different countries.

The expected results should provide added European value information to improve the quality of mental health care for population in countries with different health and legal systems.

#### Intentions for the current year 2017:

Evaluation of the call, award, signature of the grant agreement and launch of the project.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	09/09/2016
Publication of call for proposals	27/10/2016
Closing date of call for proposals	31/01/2017

Step	Date
Award decision	Expected 2 <sup>nd</sup> quarter 2017
Pre-financing payment(s)	Expected 2017 and 2018
Interim payment(s)	-
Final payment(s)	Expected 2020

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2016) 5672—adopted on 9 September 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals was published on the 27 October 2016 and all relevant documentation is available at:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-2-3-2016.html>

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procedure (call for proposals) is still ongoing, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by a call for proposals.

### 5.6 *Types of beneficiaries*

Consortia, academics, consultancies, public bodies, etc. within the public health sphere



## 1. Heading

**17 03 77 24: Pilot project — Towards a fairer and more effective measurement of access to healthcare across the Union in order to enhance cooperation and know-how transfer**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	250 000,00	0,00	p.m.	75 000	p.m.	150 000	0,0 %	100,0 %
Reserve							0,0 %	0,0 %
Total	250 000,00	0,00	p.m.	75 000	p.m.	150 000	0,0 %	100,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

Acknowledging that current healthcare access measurements have been proven to have some limitations with regard to providing adequate, accurate, reliable and timely information on access in the EU, the pilot project will develop and pilot a composite new set of healthcare access measurements and/or the improvement of existing measurements, in order to address the different needs of various healthcare stakeholders.

Its fundamental aim is to enable the development of policies that address health inequalities and barriers to access on the basis of objective and comparable information and help improve the sustainability and performance of healthcare systems in Member States.

Objectives and expected outcome:

- review of existing measurements and indicators as well as the different aspects of access across the EU;
- development, through consultation with key stakeholders, of possible new indicators and/or improvement of existing indicators, to ensure that groups vulnerable to exclusion are reached and a 'total picture' of access to healthcare in countries and regions is thus acquired;
- exploration and possible validation of a new set of indicators and/or of existing indicators improved upon with the relevant European and international organisations;
- strategy and roadmap for scale-up and implementation, focusing on three disease priorities: non-communicable diseases, communicable diseases and rare diseases.

#### Intentions for the current year 2017:

In 2017 the contract will be awarded by the month of June. A kick-off meeting is expected to be held with the contractor and the first draft intermediary report is anticipated.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	17/06/2016
Publication of call for tender	31/12/2016
Closing date call for tender	24/02/2016
Award decision	Expected April 2017
Pre-financing payment(s)	-
Interim payment(s)	Expected 2017
Final payment(s)	Expected 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2016) 3672 adopted on 17 June 2016 sets out the main elements for the implementation of the pilot project.

The call for tender was published via the E-tendering platform and documents can be accessed here: <https://etendering.ted.europa.eu/cft/cft-display.html?cftId=2091>

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As the procedure (call for tender) is still ongoing, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by call for tender.

## 5.6 *Types of beneficiaries*

Consortia, academics, consultancies, public bodies, etc. within the public health sphere

## 1. Heading

**17 03 77 25: Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	p.m.	150 000	p.m.	250 000	0,0 %	66,7 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	p.m.	150 000	p.m.	250 000	0,0 %	66,7 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

This pilot project will focus on exploring the potential of specific indicators, information on self-reported outcomes, and medical reports of physicians towards developing more efficient strategies to monitor, prevent and treat musculoskeletal diseases, based on / or occurring in combination with other chronic diseases.

The first phase will be a review and assessment of existing information and evidence regarding monitoring, prevention and treatment of musculoskeletal diseases, based on an agreed methodology.

The second phase should then develop integrated strategies for the best possible monitoring, prevention and treatment of musculoskeletal diseases, and associated co-morbidities, for the best possible health outcomes.

Both phases will include dissemination activities, including towards national competent authorities established in a network by Article 19 of Directive 2010/53/EU.

#### Intentions for the current year 2017:

Evaluation of the call, award, signature of the grant agreement and launch of the project.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	12/09/2016
Publication of call for proposals	26/10/ 2016
Closing date of call for proposals	31/01/2017

Step	Date
Award decision	Expected 2 <sup>nd</sup> quarter 2017
Pre-financing payment(s)	Expected 2017
Interim payment(s)	-
Final payment(s)	Expected 2018

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision (2016)5689 adopted on 12 September 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals was published on the 27 October 2016 and all relevant documentation is available at:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-2-4-2016.html>

**- Do similar activities exist, which are already covered by a legal basis?**

Several research projects on rheumatic diseases have been funded under FP7. HORIZON 2020 explicitly mentions musculoskeletal conditions, hence it can be expected that research projects in this area will be funded. However, the focus of the research projects seems to be more clinical, while the integrate project is looking at indicators and patient-reported outcomes. A direct overlap seems not likely.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procedure (call for proposals) is still ongoing, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by a call for proposals.

### 5.6 *Types of beneficiaries*

Consortia, academics, consultancies, public bodies, etc. within the public health sphere

## 1. Heading

**17 03 77 26: Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	p.m.	150 000	p.m.	150 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	p.m.	150 000	p.m.	150 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The key objective of this pilot project is to establish the scientific evidence for environmental problems in relation to areas with higher cancer rates, with the aim to improve the situation for the citizens living in this area in a cross-sectorial manner.

The project will focus on soil and water contamination by polluting agents and include specifically illegal disposal of toxic waste as well as on industrial areas. The pilot project is targeted at regions, as described above, where cancer incidence rates are statistically much higher than average.

The project will be carried out in form of a study in order to review the evidence for of polluting agents and their impact on health, specifically cancer.

#### Intentions for the current year 2017:

Evaluation of the call, award, signature of the grant agreement and launch of the project

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	26/08/2016
Publication of call for proposals	27/10/2016
Closing date of call for proposals	31/01/2017
Award decision	Expected 2 <sup>nd</sup> quarter 2017
Pre-financing payment(s)	-

Step	Date
Interim payment(s)	Expected 2017/2018
Final payment(s)	Expected 2020

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2016)5422 adopted on 26 August 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals was published on the 27 October 2016 and all relevant documentation is available at:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/3hp/topics/pp-2-5-2016.html>

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procedure (call for proposals) is still ongoing, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project will be implemented by a call for proposals.

### 5.6 *Types of beneficiaries*

The beneficiaries are expected to be established organisations, public authorities, public sector bodies, in particular research and health institutions, universities and higher education establishments

## 1. Heading

### 17 03 77 27: Pilot project — Food redistribution

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	0,00	p.m.	150 000	p.m.	150 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	500 000,00	0,00	p.m.	150 000	p.m.	150 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project should be carried out under an existing Framework Contract (FWC) (DG SANTE): Evaluation Lot 3 for Food Chain. However, it was decided to wait with the call, i.e. launch of the project for the new FWC arrangement which is currently under preparation. For this reason, in 2016 no particular objectives were followed in the context of this pilot project.

The pilot project will support the Commission's work to facilitate food donation in the EU in order to make available safe, surplus food to people in need and help to prevent food waste. As part of the EU action plan for the Circular Economy, the Commission will develop EU food donation guidelines for food donors and food banks on how to comply with relevant EU legislation in the current regulatory framework (e.g. food safety, food hygiene, traceability, liability, VAT etc.).

The pilot project will support the implementation and outreach of the guidelines in the EU and provide a basis for possible future developments in this area. The project will focus on two main tasks:

- research and analysis of both policy/regulatory and operational frameworks for food redistribution in the EU Member States and their impact on food donation practices. This task can include also translation of existing guidelines in Member States in this area;
- information and dissemination of the future EU guidelines on food donation, promoting dialogue with policy makers and stakeholders at national level (industry, food banks, charity organisations etc.). This task would be carried out following adoption of the EU food donation guidelines by the Commission (expected by the end of 2017). EU guidelines will provide clarification of relevant provisions in EU legislation in order to facilitate their application by Member States and stakeholders.

The two activities may partially overlap as the research/investigation phase may also require dissemination activities, meetings with stakeholders and policy makers etc.

## **Intentions for the current year 2017:**

It is intended to launch the call under the DG SANTE's FWC: Evaluation Lot 3 for Food Chain soon as the new FWC is finalised. Following selection of the contractor, which is to be expected by the end of the 3<sup>rd</sup> / beginning of the 4<sup>th</sup> quarter of 2017, the work on the first main task can start.

### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	28/06/2016
Launch of the request for services	Expected 3 <sup>rd</sup> quarter 2017
Closing date of the submission of the offers	Expected 3 <sup>rd</sup> quarter 2017
Award decision	Expected 3 <sup>rd</sup> quarter 2017
Pre-financing payment(s)	-
Interim payment(s)	Expected 2017/2018
Final payment(s)	Expected 2020

### **5.3 Documentation and expected results**

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing Decision C(2016)3904 adopted on 28 June 2016 sets out the main elements for the implementation of the pilot project.

#### **- Do similar activities exist, which are already covered by a legal basis?**

The Fund for European Aid to the Most Deprived may provide financial support, in Member States who so wish, for the costs of collection, transport, storage and distribution of food donation, as well as for directly related awareness raising activities. This programme would not cover, however, the core activities of DG SANTE's project which are: research and analysis of existing food redistribution frameworks (policy and operational) in the EU Member States and; information and dissemination activities related to the EU food donation guidelines to be published by the Commission by the end of 2017.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No. The aim of the project is to support redistribution of food in the EU Member States within the current regulatory framework. The project may however identify new issues which can only be resolved through the modification of provisions laid down in relevant EU rules (e.g. food hygiene, food information to consumers etc.).

### **5.4 Type of activities financed, number and amounts**

As the procedure (request for services) is still ongoing, these details are not yet known.

### **5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project will be implemented with a specific contract under a new Framework Contract.

### **5.6 Types of beneficiaries**

The final beneficiaries will be different actors participating in the food supply chain as for example policy makers and stakeholders at national level, with particular attention to those who are involved in food donation activities (industry, food banks, charity organisations etc.)



## 1. Heading

### 17 03 77 28: Pilot project — Rare 2030 — A participatory foresight study for policy-making on rare diseases

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		500 000	250 000	p.m.	150 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Objectives followed during 2016 and previous years' implementation:

The purpose of the pilot project is to propose sustainable policy scenarios in the field of rare diseases for the period 2020-2030 and to address challenges and opportunities up until 2030 in an innovative manner.

As underlined in the Commission's letter of executability of 28 October 2016, it is important to ensure consistency and complementarity with existing actions in this area, and in particular the Joint Action launched in September 2015 and the European Reference Networks – which are both funded under the EU Health programme and are among the most important and innovative cross-European cooperative initiatives in health.

The study will build on an existing knowledge base and on what has been done to date (e.g. Commission Communication on rare diseases: Europe's challenges (COM(2008) 679 final), the Council Recommendation on an action in the field of rare diseases (2009/C 151/02), activities of Joint Actions on Rare Diseases supporting the implementation of policy priorities, rare disease research funded under EU research and innovation programmes, etc.).

By generating insights on the dynamics of change, future challenges and options, along with new ideas, the study will transmit inputs for policy conceptualisation and design. This in turn will serve to sustain the momentum of scientific and technological advances, address the societal challenges that they bring, be a useful reference for the whole community and guide strategies tailored to patients' needs.

Rare 2030 is a two-year project, using inclusive methods to promote a continuous bottom-up approach so as to put more emphasis on interaction and to encourage a broad take-up by patients, stakeholders and society at large.

The methodological steps and timeline for Rare 2030 will include different work packages:

- base research, including literature reviews and exploratory interviews to identify drivers of change and current and future challenges;
- establishment of an expert / multi-stakeholder panel;

- an interdisciplinary and forward-looking workshop to discuss the study’s methodology, political and scientific drivers, and implications for R&D;
- scenario-building, based on participatory methods (expert panels, Delphi methods, gaming, etc.), open to large groups of stakeholders on a European level; scenarios will be used as a decision-making tool, to reveal available choices and their potential consequences;
- a European citizens’ conference to present, discuss and review decision-making results, engaging society to shape and take ownership of the outcomes;
- policy recommendations bringing together the results of the scenarios and conference;
- a final report, translated into various EU languages, outlining the recommendations and outcomes of the project and measuring its impact;
- all project activities will be implemented in close collaboration with relevant EC services and the Commission expert group on rare diseases.

**Intentions for the current year 2017:**

The adoption of the financing decision is expected in the first semester 2017.

The call for tender would be launched in the second semester of 2017 and the signature of the contract is expected for the end of 2017 /early 2018.

The expected duration of the project is two years.

**5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2017 appropriations

Step	Date
Adoption of financing decision	Expected 1 <sup>st</sup> semester 2017
Publication of call for tender	Expected 2 <sup>nd</sup> semester 2017
Closing date of call for tender	Expected 2 <sup>nd</sup> semester 2017
Award decision	Expected 2 <sup>nd</sup> semester 2017
Pre-financing payment(s)	-
Interim payment(s)	Expected 2018 and 2019
Final payment(s)	Expected 2020

**5.3 Documentation and expected results**

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision, expected to be adopted in the 1<sup>st</sup> half 2017, will set out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

Potential overlaps with Horizon 2020 are avoided thanks to the close cooperation between the services concerned. This is a study on policy making so the risk of having similar activities is rather limited.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

**5.4 Type of activities financed, number and amounts**

As the procedure (call for tender) is still ongoing, these details are not yet known.

**5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project will be implemented by a call for tender.

**5.6 Types of beneficiaries**

Scientific institutions, think-tanks, consultancies, NGOs and public bodies/administrations

## 1. Heading

### 23 03 77 03: Pilot project — Early-warning system for natural disasters

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	1 250 000	p.m.	499 851	0,0 %	-60,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	1 250 000	p.m.	499 851	0,0 %	-60,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objectives of the pilot project were defined as follows in the budget remark:

"Natural disasters, including those resulting from climate change, are trans-boundary in nature. It is hence imperative that preparedness activities take place in a more coordinated way, cutting across national borders, transferring knowledge and raising the awareness of state, regional and local authorities and citizens alike. In Europe, there are several research centres and centres of excellence that have already developed a capacity to analyse and evaluate disaster risks and to warn their state authorities in order to prevent damage and casualties, prepare the relevant communities in advance of a disaster and take stock of the early-warning information in order for it to be used when making targeted investments co-financed by the European Structural and Investment Funds (ESIF) so that the relevant thematic objectives are achieved. In addition, the European Response Coordination Centre (ERCC), which is operated within the Commission (DG ECHO) and has been set up to support a coordinated and faster response to disasters both inside and outside Europe using resources from 32 countries participating in the EU Civil Protection Mechanism. The ERCC is currently relying on several key European detection and alert systems to react to disasters as well as on the scientific expertise of the Joint Research Centre (JRC) to analyse the impact of natural events (including their impact on infrastructure that may cause technological disasters).

However, in the face of growing challenges, the above resources and expertise are no longer sufficient to coordinate the EU response at ERCC level and to manage the future European emergency capacity, which requires a stronger focus on forecasting, planning and coordination, instead of only responding to emergencies. Experience has also shown that preventing and preparing for disasters is more cost-effective than responding to them.

Furthermore, there is no coordinated mechanism in place for reporting either to the ERCC or to all Member States that might be affected by a potential disaster extending beyond national boundaries (e.g. storms). At present, each Member State largely relies upon its own capacity.

To address the above challenges and to achieve the goal of fully exploiting science and technology within the disaster risk management field in a cost-efficient manner, a European pilot project is necessary. The pilot project will build on the experience of the North-Eastern Atlantic, Mediterranean and Connected Seas Tsunami Warning and Mitigation

System (NEAMTWS) network, which has brought together five partners to monitor on a round-the-clock basis tsunami incidents in the North-East Atlantic, Mediterranean and connected seas and to warn EU and Member States' authorities.

The pilot project will:

- identify relevant scientific centres across Europe that could provide expertise for disaster risk management policy-making and emergency operations;
- bring together those scientific centres and create enlarged scientific partnerships (EU Centres of Excellence Network) for different hazards, associating them with scientific centres in the immediate EU neighbourhood, if necessary, in compliance with the European Union Civil Protection Mechanism (UCPM) legislation;
- bridge the existing reporting and linkage gap between the EU Centres of Excellence Network and the ERCC providing a 24/7 service to the ERCC; this could be done by defining and implementing a governance structure linking the EU Centres of Excellence Network, the JRC and the ERCC.

In this manner, relevant disaster information will be transmitted to all actors concerned in a coordinated and timely way, increasing both preparedness and response levels of the Member States and the EU through the ERCC. Setting up and expanding such a network will require the large-scale involvement of European scientific centres that are already using state-of-the-art monitoring technology or can be funded to upgrade accordingly, where this is necessary for satisfactory regional coverage. They could set up, implement and test a common, efficient and coordinated reporting methodology while extending it to cover a large geographical area in Europe (at least 10 countries). A large network is a prerequisite for the success of such an endeavour, as the geographical spread of disaster risks needs to be taken into account."

For the implementation of the pilot project on Early Warning System for natural disasters, the Commission published a call for tender on 12 August 2015. The deadline was set on 14 October 2015 and after the evaluation, the contract was signed with the winning consortium on 17 December 2015. The project kicked off on 1 February 2016. During this year, the project has built an inventory of scientific and operational centres across the EU and EU neighbourhood and has developed a European Natural Hazard Scientific Partnership that will support the Emergency Response Coordination Center (ERCC) on a 24/7 basis, offering scientific advice. The above-mentioned inventory is foreseen to be updated during the course of 2017.

#### **Intentions for the current year 2017:**

The service has become operational as of 1 February 2017 and has been used by the ERCC several times already (nine as of 3 April). The service was mostly provided upon ERCC's request but the consortium also has the right of initiative. The implementation of the pilot project adopts a multi-hazard approach, thus also taking into consideration the interactions between hazards.

#### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	26 June 2015
Publication of call for tender	12 August 2015
Closing date of call for tender	14 October 2015
Award decision	17 December 2015
Pre-financing payment	18 December 2015
Interim payment	Q2 2017 : EUR 1 249 626.5
Final payment	Q2 2018 : EUR 499 850.6

#### **5.3 Documentation and expected results**

##### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission financing decision C(2015)4243 of 26 June 2015 sets out the main elements for the implementation of the pilot project.

Documentation on the call for tender Ref ECHO/B1/SER/2015/16 can be found at: [http://ec.europa.eu/echo/funding-evaluations/public-procurement/call-for-tender/pilot-project-area-early-warning-system\\_fr](http://ec.europa.eu/echo/funding-evaluations/public-procurement/call-for-tender/pilot-project-area-early-warning-system_fr)

Project website: <http://aristotle.ingv.it/>

**- Do similar activities exist, which are already covered by a legal basis?**

No, there was no legal instrument to allow development and funding of a service like the above.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

After receiving the first operational project results, the usefulness will be assessed and a way forward will be suggested. At this stage a follow-up tender is considered via the annual Union Civil Protection Mechanism Work Program to cover the period 2018-2020.

**5.4 Type of activities financed, number and amounts**

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Pilot Project in the area of Early Warning System for natural disasters	Consortium led by Istituto Nazionale di Geofisica e Vulcanologia	2 499 253

**5.5 Method of implementation (tenders, grants, other) and evaluations**

The pilot project was implemented by a call for tender (service contract).

**5.6 Types of beneficiaries**

The contractor's consortium consists of 15 organisations that are both public and private law bodies

## 1. Heading

### **33 02 77 09: Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	811 187	p.m.	939 000	0,0 %	15,8 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	811 187	p.m.	939 000	0,0 %	15,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

#### **Objectives followed during 2016 and previous years' implementation:**

The objectives of this pilot project are to contribute to the creation and capacity building of local Roma civil society as well as a monitoring mechanism regarding Roma integration and inclusion in all 28 EU Member States. This includes especially the production and dissemination of 'shadow reports' where civil society coalitions could supplement or present alternative information and data to the reports submitted by Member States concerning the implementation of their national Roma integration strategies. The monitoring would focus on the local implementation of strategies in the four priority areas (employment, education, housing, health), in the fields of anti-discrimination and gender equality and would also provide information on the level of involvement of civil society, the use of EU Funds and mainstreaming of Roma inclusion measures.

In September 2014, the Commission has adopted the financing decision and already planned to implement this pilot project through a call for tenders. In order to maximise the impact of the project, the Commission decided to launch a single call for tenders for a higher value, combining appropriations of 2014 and of 2015. As soon as the 2015 financing decision was adopted, the Commission proceeded with the publication of the call for tenders, selection of the contractor and signature of the contract in November 2015. The duration of the execution of the tasks is of 36 months. No pre-financing was envisaged.

#### **Intentions for the current year 2017:**

Activities have started and the contractor will submit the full inception report by May 31, 2017.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2014 and 2015 appropriations

Step	Date
Adoption of financing decision 2014	C(2014)6309 of 10/09/2014
Adoption of financing decision 2015	C(2015)4524 of 06/07/2015
Publication of call for tender	10 July 2015
Closing date of call for tender	19 September 2015
Award decision	25 November 2015
Pre-financing payment(s)	N/A
Interim payments	(1 <sup>st</sup> ) July 2017; (2 <sup>nd</sup> ) November 2017; (3 <sup>rd</sup> ) March 2018; (4 <sup>th</sup> ) July 2018
Final Report	Around February 2019

The nature and scope of the monitoring reports in the second annual reporting cycle will depend on the possible implementation of a third annual reporting cycle. The decision whether the extension of the project's duration will be initiated needs to be made by July 2018 at the latest.

In case the end date of the project will be extended and a third annual reporting cycle will be implemented, the above final report can be replaced by a 5th interim report and there can be a final report at the end of the project.

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decisions C (2014) 6309 of 10/09/2014 and C(2015)4524 of 10 July 2015 set out the main elements for the implementation of this pilot project.

Documentation on the call for tender can be found at:

[http://ec.europa.eu/justice/newsroom/contracts/2015\\_239895\\_en.htm](http://ec.europa.eu/justice/newsroom/contracts/2015_239895_en.htm)

#### - Do similar activities exist, which are already covered by a legal basis?

Support to the Roma is covered by the Rights, Equality and Citizenship programme 2014-2020, under the non-discrimination specific objective, and by the subsequent Annual Work Programmes of 2014, 2015 and 2016. The 2016 Annual Work programme provides for a restricted call for National Roma contact points with the aim to create National Roma Platforms. National Roma contact points should be public authorities appointed by the Member States, but not civil society.

More information on the Rights, Equality and Citizenship programme 2014-2020, including work programmes and calls for proposals can be found on the Europa website:

[http://ec.europa.eu/justice/grants1/programmes-2014-2020/rec/index\\_en.htm](http://ec.europa.eu/justice/grants1/programmes-2014-2020/rec/index_en.htm)

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2014 and 2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project for - Capacity-building for Roma civil society and strengthening its involvement in the monitoring of National Roma Integration Strategies JUST/2014/RPPI/PR/EQUA/0150	Central Europe University HU	1 750 000,00

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

This pilot project was implemented by way of a call for tender.

### *5.6 Types of beneficiaries*

Local civil society organisations, public or private organisations legally established in an EU Member State or international organisations involved in Roma integration



## 1. Heading

### 33 02 77 10: Pilot project — Fundamental rights review of Union data-collection instruments and programmes

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	375 000	p.m.	253 000	0,0 %	-32,5 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	375 000	p.m.	253 000	0,0 %	-32,5 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project established and supports an independent group of experts responsible for reviewing the compliance of EU data collection instruments and mechanisms with the EU Charter of Fundamental Rights, with particular attention being paid to the application of the proportionality principle and to an assessment of relevant safeguards for the fundamental rights to privacy and the protection of personal data. The activities of the group of experts included:

- cataloguing existing EU legislation (and any related national transposition laws), law-enforcement instruments and cooperation, and third-party agreements involving the collection, retention, storage or transfer of personal data;
- a legal analysis and fundamental rights review in the light of the most recent EU case law in the field of privacy and the protection of personal data;
- analysing and assessing compound effects of existing EU data collection programmes, with a view to identifying potential fundamental rights loopholes and interference with those rights;
- drawing up specific policy recommendations for each element identified and reviewed

The financing decision was adopted in July 2015. The Commission launched a call for tenders in September 2016 and signed a contract with the winner of the tender in December 2016.

#### Intentions for the current year 2017:

The Commission kicked-off the pilot project on 13 January 2017. The contractor designated the Steering Committee and created the group of experts, which he now actively manages. Deliverable 1 and Workshop 1 are to be delivered in the second quarter of 2017 (mapping of EU legislation, law-enforcement instruments and cooperation, and third-party agreements involving the collection, retention, storage or transfer of personal data). Deliverable 2 and Workshop 2 are to be delivered in the fourth quarter of 2017 (thematic analysis of the fundamental rights aspects of EU legislation on data collection).

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	C(2015)4524 of 06/07/2015
Publication of call for tender	08/09/2016
Closing date of call for tender	21/10/2016
Award decision	05/12/2016
Pre-financing payment(s)	N/A
Interim payment(s)	June 2017; December 2017; June 2018
Final payment(s)	December 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission decision C(2015)4524 adopted on the 6 July 2015 sets out the main elements of this pilot project.

There are four main deliverables of the project, to be delivered every six months:

1. Mapping of EU legislation, law-enforcement instruments and cooperation, and third-party agreements involving the collection, retention, storage or transfer of personal data;
2. Thematic analysis of the fundamental rights aspects of EU legislation on data collection;
3. Concrete proposals for the review (amending/ repealing/ replacing/ revoking/ aligning) of existing EU acts on data protection for police and criminal justice authorities, in particular those Union legal acts referred to in Article 60 of the Data Protection Directive (EU) 2016/680 for Police and Criminal Justice Authorities.
4. Final report containing specific policy recommendations (e.g. on the functioning of a particular collection instrument) as well as general guidelines for EU data collection instruments and mechanisms, in particular in the light of the new EU data protection legal framework.

The call for tender has been published under the following link:

<https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1831>

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No, but the Commission might follow up this project with legislative proposals in the fourth quarter of 2019, in case Deliverable 3 demonstrates the need for an alignment of legal acts adopted by the Union which regulate processing by the competent authorities for the purposes of the prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, including the safeguarding against and the prevention of threats to public security (review envisaged in Article 62(6) of Directive (EU) 2016/680).

## 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Pilot project "Fundamental rights review of Union data-collection instruments and programmes" JUST/2015/RPPI/PR/RIGH/0218	FONDAZIONE GIACOMO BRODOLINI	628 450,00

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

This pilot project is implemented by way of a call for tenders.

### *5.6 Types of beneficiaries*

The independent group of experts will invite the data protection supervisory authorities, including the European Data Protection Supervisor, to participate in their work as observers, in order to draw on their expertise. On the basis of the specific policy recommendations produced, the group of experts will also draw up general guidelines for EU data collection instruments and mechanisms.

## 1. Heading

### 33 02 77 13: Pilot project — Europe of diversities

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The aim of the pilot project on "Europe of diversities" is to enhance the motto of the European Union: "United in diversity" especially on local and regional level. With the pilot project EU citizens in regions with multicultural and multilingual background, or where minority groups are particularly excluded or subject to discrimination, would receive the possibility to understand better each other's culture, language and identity. Mutual understanding, acceptance and tolerance is a core element of fruitful coexistence of different ethnic, religious, national and linguistic groups, as well as of different generations and minority groups more generally. The pilot project would contribute to the work which has been already done in the field of non-discrimination, education and language learning, however the objective is to reach different groups of the society and to foster the multicultural dialogue between the EU citizens on local and regional level on one hand, and through the exchange of opportunities on EU-level on the other hand.

One of the strengths and richness of the EU is in its diversity. Therefore it should be adequately promoted and made understood that it is an added value to the EU. The pilot project would aim to finance trainings, seminars, workshops, and conferences on how to maintain the uniqueness of Europe of diversities and how to promote unity in diversity. It would also promote the exchange of good practices in fighting discrimination and promoting diversity at local and regional level. Another added value of the pilot project would be that it could help to identify and target discriminatory practices at local and regional level and build understanding between majority and minority societies. Target group: local and regional stakeholders, in particular public authorities (cities, regions, municipalities), NGOs, youth organisations, schools, civil society and businesses.

The financing decision was adopted in September 2016. The Commission launched a call for proposals and the award decision is foreseen in June 2017.

#### Intentions for the current year 2017:

Once the pilot will be awarded, its implementation will start. Any details on such an implementation schedule will then be available.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	C(2016)5815 of 19/09/2016
Publication of call for proposals	September 2016
Closing date of call for proposals	28 February 2017
Award decision	June 2017
Pre-financing payment(s)	October 2017
Interim payment(s)	June 2018
Final payment(s)	April 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Documentation on the call for proposals can be found at:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/rec/topics/rec-rppi-eudi-ag-2016.html>

The Commission financing decision C(2016)5815, adopted on 19/09/2016, set out the main elements for the implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

Diversity is covered by the Rights, Equality and Citizenship programme 2014-2020, under the non-discrimination specific objective, and by the subsequent Annual Work Programmes of 2014, 2015 and 2016. The 2016 Annual Work programme provides for a call for proposals to support activities which tackle at least one of the following groups at risk of discrimination: racial or ethnic minorities, persons with disabilities, older/younger people, religious minorities and LGBTI people. More information on the Rights, Equality and Citizenship programme 2014-2020, including work programmes and calls for proposals, can be found on the Europa website:

[http://ec.europa.eu/justice/grants1/programmes-2014-2020/rec/index\\_en.htm](http://ec.europa.eu/justice/grants1/programmes-2014-2020/rec/index_en.htm)

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

Grants will be awarded in June 2017, for a total maximum amount of 1 000 000 EUR.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

This pilot project will be implemented by way of a call for proposals.

## 5.6 *Types of beneficiaries*

Public or not profit making private organisations legally established in an EU Member State or international organisations under a partnership from at least two different eligible countries.

## 1. Heading

### 33 02 77 14: Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 000 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

European society has changed considerably over the last 25 years as EU citizens increasingly live and work in other Member States. EU citizenship gives these mobile EU citizens the right to vote and stand in elections to the European Parliament and to municipal elections under the same conditions as nationals of their host State. Mobile EU citizens may also wish to exercise their right to vote in their home states. Political participation is central to citizenship, and it is essential to legitimising decision making in government. However, EU citizen's political participation can be affected by red tape and outdated voting procedures. At the same time, low turnout in elections, including European Parliament elections and particularly among young people, is a challenge for democracies. To address these issues, ways should be explored to stimulate participation in elections for all citizens by making it easier to vote through streamlined procedures, including by making best use of modern technologies.

The activities funded under this pilot project will culminate in inter alia a tele-voting good practice guide aiming to help make voting procedures more accessible to mobilise a broader group of voters and thus enhance democratic participation at the EU level. The guide will be built on the findings of an external study into the potential benefits and drawbacks of alternative voting solutions – with a view on tele-voting (including postal voting) and a particular focus on the advantages of an e-voting system – and on the results of projects to explore the role of internet tools in stimulating democratic participation and to investigate how digital tools can contribute to the stronger and longer-term engagement of citizens, delivered through an open call for action grants. The external study will survey relevant Member State experience and consult relevant organisations, universities and industries to assess the advantages and risks of tele-voting and options as regards the protection of the secrecy of the vote, the prevention of fraud, the guarantee of independence and data protection. The study will also assess financial impacts in particular on the national administrations. Complementing this, the aim of the action grants will be to elicit a broad range of proposals, potentially also for inclusion in the guide, especially but not exclusively targeting young people and mobile EU citizens.

### Intentions for the current year 2017:

Award decision is expected in May 2017.

Selected projects will be launched around September 2017.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Timetable of the call for tenders (budget foreseen 300.000 EUR)

Step	Date
Adoption of financing decision	C(2016)5815 of 19/09/2016
Publication of call for tender	4 March 2017
Closing date of call for tender	20 April 2017
Award decision	mid May 2017
Pre-financing payment(s)	N/A
Interim payment(s)	(1 <sup>st</sup> ) November 2017; (2 <sup>nd</sup> ) December 2017
Final payment(s)	Second quarter 2018

Timetable of the call for proposals (budget foreseen 700.000 EUR)

Step	Date
Adoption of financing decision	C(2016)5815 of 19/09/2016
Publication of call for proposals	30 September 2016
Opening date for submitting applications	17 November 2016
Closing date for submitting applications	24 January 2017
Award decision	May 2017
Pre-financing payment(s)	October 2017
Interim payment(s)	N/A except in cases of weak financial capacity of beneficiaries
Final payment(s)	Second quarter of 2019 (duration of projects will be maximum 18 months)

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The financing decision was adopted on 19/09/2016. The Commission has launched a call for tenders on 4 March 2017, link to eTendering documents:

<https://etendering.ted.europa.eu/cft/cft-display.html?cftId=2018>.

In addition a call for proposals was launched in September 2016, closed on 24/01/2017. It was published on the Participant Portal at:

<https://ec.europa.eu/research/participants/portal/desktop/en/opportunities/rec/topics/rec-rppi-evot-ag-2016.html>.

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

#### *5.4 Type of activities financed, number and amounts*

Since the contracts/grants have not been awarded yet, more details will be available in the second and third quarters of 2017.

#### *5.5 Method of implementation (tenders, grants, other) and evaluations*

This pilot project will be implemented by way of one call for tenders and one call for proposals.

#### *5.6 Types of beneficiaries*

Private organisations legally established in an EU Member State or international organisations able to provide the services requested



## 1. Heading

### 33 02 77 16: Pilot project — European Survey on gender-based violence

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	300 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The pilot project will support the development of an EU-wide survey on gender-based violence and therefore gaining a better understanding on the prevalence, circumstances and consequences of violence. Data is sorely needed to raise awareness of gender-based violence, develop better legislation and policies, allow mutual learning and to target funding priorities and measure progress towards goals, ensuring proper accountability.

The survey development and implementation will be coordinated by EUROSTAT, in cooperation with the National Statistics Institutes. More precisely, EUROSTAT will launch a restricted call for proposals for National Statistics Institutes and will provide grants to the beneficiaries.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for proposals	May/June 2017
Closing date of call for proposals / call for tender	End August 2017
Award decision	October 2017
Pre-financing payment	End 2017/early 2018
Interim payment	Eurostat usually does not provide interim payment.
Final payment	2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The call for proposals should be launched in the forthcoming months.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of this pilot project these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

This pilot project will be implemented by way of a call for proposals.

### 5.6 *Types of beneficiaries*

National statistical authorities

## 1. Heading

### 33 03 77 05: Pilot project — Letterbox companies

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		300 000	150 000	p.m.	90 000	-100,0 %	-40,0 %
Reserve						0,0 %	0,0 %
Total		300 000	150 000	p.m.	90 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this pilot project for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

This pilot project will provide useful information on the work carried out by the Commission in the area of company law, in particular as regards letterbox companies. The project aims to look at some purposes of letterbox companies relevant for company law and to address more general questions related to abuses and loopholes. The findings of the pilot project could possibly prove useful in the process of proposing legal solutions and standards based on company law.

The Commission is currently analysing the operational options for implementing the pilot project. The project is likely to be implemented through procurement procedures, either by using an existing framework contract or by launching a specific open call for tenders. Given the time needed for the preparation of activities, the first payments are foreseen in 2018.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	Second quarter 2017
Publication of call for tender	Third / fourth quarter 2017
Closing date of call for tender	Fourth quarter 2017
Award decision	First quarter 2018
Pre-financing payment	N/A
Interim payment	2018
Final payment	End 2018 / 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The terms of reference will be drafted in time to launch the call for tender.

**- Do similar activities exist, which are already covered by a legal basis?**

The issue of letter-box companies is also raised in two ongoing studies, i.e. on cross-border transfers and divisions and on the impact of using digital tools in the context of cross-border company operations, reports of which are expected in summer 2017.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

The follow-up to the pilot project will be assessed in due time.

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is likely to be implemented through procurement procedure.

### 5.6 *Types of beneficiaries*

Private organisations legally established in an EU Member State able to provide the services requested

## 1. Heading

### 33 04 77 04: Pilot project — Training for SMEs on consumer rights in the digital age

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	500 000	p.m.	300 000	0,0 %	-40,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	500 000	p.m.	300 000	0,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget, under budget line number 17 02 77 04.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project will help small and medium-sized enterprises (SMEs) to comply with consumer protection law in the online environment. Better compliance with the rules would reduce problems for consumers and benefit traders by avoiding problems with the enforcement authorities. The project is led by a consortium of BEUC, UEAPME and Eurochambres.

- The contractor (supported by lead trainers from each Member State), will develop training modules for SMEs on EU consumer contract and marketing law for the online environment, including on Directive 2011/83/EU (Consumer Rights Directive), Directive 2000/31/EC (e-Commerce Directive), Directive 2005/29/EC (Unfair Commercial Practices Directive), Directive 93/13/EEC (Unfair Contract Terms Directive), Directive 2013/11/EU (ADR Directive) and Regulation 524/2013 (ODR Regulation) and the applicable national legislation in the Member States.
- Lead trainers will train free of charge local intermediaries (e.g. consumer and business associations) which will provide training to SMEs on the ground.
- The modules will be produced as offline and online learning courses for all 28 Member States in the languages of all the EU Member States.
- The project contract was signed in December 2016 and was kicked off in January 2017. A workshop was held in March 2017 to integrate stakeholder comments into the training concept and communication plan. The final report of the project is due in November 2018. A conference for a wider audience will be held most likely during the fourth quarter of 2018 and the results of the project will be published early 2019.

#### Intentions for the current year 2017:

Delivery of off-line training material, training of lead trainers, delivery of on-line training material, promotion of local courses in Member States, delivery of online promotional material, courses for intermediaries and pilot courses for SMEs.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	C(2015)4524 of 06/07/2015
Publication of call for tender	28 June 2016
Closing date of call for tender	6 September 2016
Award decision	8 December 2016
Pre-financing payment(s)	N/A
Interim payment(s)	August 2017, January and August 2018
Final payment(s)	February 2019

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision, adopted on 6 July 2015, and the call for tender JUST/2015/CPPI/PP/CO02/0174 set out the main elements for the implementation of the pilot project.

### - Do similar activities exist, which are already covered by a legal basis?

No. No dedicated training on consumer rights for SMEs in the digital environment currently exists at EU level that aims at preparing them to consumer and marketing law including the requirements of the Consumer Rights Directive (the latest directive adopted in 2011) and the upcoming changes in the Digital Single Market package.

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Development and organisation of training for SMEs on consumer rights in the digital age.	BEUC (leader of consortium), UEAPME and Eurochambres (members)	1 135 360

## 5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project was implemented by way of a call for tenders:

[http://ec.europa.eu/justice/newsroom/contracts/2016\\_218064\\_en.htm](http://ec.europa.eu/justice/newsroom/contracts/2016_218064_en.htm).

## 5.6 Types of beneficiaries

Small and medium-sized enterprises (SMEs) to help them to comply with consumer and marketing law in the online environment. Final beneficiaries would also be consumers if trader compliance with consumer rules is improved through the project

## 1. Heading

### **33 04 77 05: Pilot project — Consumer empowerment and education on the product safety and market surveillance in the digital single market**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	325 000,00	0,00	p.m.	150 000	p.m.	110 000	0,0 %	-26,7 %
Reserve							0,0 %	0,0 %
Total	325 000,00	0,00	p.m.	150 000	p.m.	110 000	0,0 %	-26,7 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2016 budget.

#### **Objectives followed during 2016 and previous years' implementation:**

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project is intended to support consumers' understanding of product related risks and what they can do to protect themselves from purchasing unsafe products online. It shall develop consumer trust and support that consumers and economic operators take full advantage of the potential benefits of e-commerce.

Economic operators must comply with EU product safety rules, and EU Member State authorities perform checks on the safety of products sold online and offline. However, consumers themselves have a role to play and can be empowered to make certain judgements about the safety of the products they buy online. They should be aware that there are safety rules in the EU that consumer products should meet. They should also know what actions they can take to protect themselves from buying unsafe products sold online.

The pilot project shall be implemented in the form of an online campaign amongst targeted groups of consumers in Spain, Sweden and Estonia: 25 – 44 age group buying electrical appliances online, and 25 – 44 age group parents with young children who buy toys and childcare articles online. A service provider shall identify the most suitable communication tools and media, focusing on online channels, prepare a set of messages and visuals adjusted to the target audience and to the communication channels, run, monitor, review and analyse the results of the campaign.

As a result of the envisaged actions, the targeted group(s) of consumers in the selected Member States shall be more empowered to make well informed purchase decisions online in relation to product safety.

#### **Intentions for the current year 2017:**

This pilot project will be implemented through an online awareness raising campaign amongst a target group of EU consumers. The project shall be designed and run in such a way that makes it possible to extend the campaign with future follow-up actions, depending on the outcome of the project.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	C(2016)5815 of 19/09/2016
Invitation to submit under framework contract	26 January 2017
Closing date of call for tender	09 March 2017
Award decision	May 2017
Pre-financing payment(s)	2 <sup>nd</sup> quarter 2017
Interim payment(s)	3 <sup>rd</sup> quarter 2017
Final payment(s)	2 <sup>nd</sup> quarter 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision, 19 September 2016 C(2016)5815 final, sets out the main elements for the implementation of the pilot project. The technical annex to the invitation to submit JUST/2016/CPPI/FW/CO04/0106 of 26 January 2017 defines more precisely the scope of the pilot project.

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

As the procurement procedure is still ongoing, these details are not yet known.

## 5.5 Method of implementation (tenders, grants, other) and evaluations

This pilot project will be implemented by procurement.

## 5.6 Types of beneficiaries

Contractors of the selected Framework Contract (private organisations legally established in an EU Member State able to provide the services requested)



## **Heading 4 - Global Europe**

## 1. Heading

### 21 02 77 16: Pilot project — Strengthening veterinary services in developing countries

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	2 102 918,00	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %
Reserve							0,0 %	0,0 %
Total	0,00	2 102 918,00	p.m.	500 000	p.m.	350 000	0,0 %	-30,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The ultimate goal of the project is to make progress towards building African Veterinary Services that deliver effective governance, health safety, food security and food safety for their citizens.

The Commission Financing Decision regarding the pilot project for Strengthening Veterinary Services in developing countries, was adopted on 16 December 2014 (Decision C(2014)9767). Taking into account the additional appropriations voted under the 2015 budget, the financing decision was amended in 2015 to extend the project and in particular to add a new component 'Support African countries wishing to embark on rabies control and elimination programmes with relevant tools and technical expertise'. The grant agreement with the World Organisation for Animal Health (OIE) was signed on 23 December 2015 (PP-AP/2015/355-986) for a total value of EU contribution of EUR 3 500 000.

The specific objective is to strengthen veterinary services in Africa, by: (1) ensuring the follow-up and the implementation of the recommendations of the Performance of Veterinary Services (PVS) and Gap Analysis reports, (2) supporting the development of the required regulatory frameworks, through regional capacity-building and in-country support; and (3) developing and testing a PVS-based tool to assist Regional Economic Communities, in devising effective and sustainable investment plans and programmes at (sub-) regional level, in order to monitor progress towards compliance with the World Organisation for Animal Health (OIE) intergovernmental standards on the governance of veterinary services; and (4) supporting African countries that want to embark on rabies control and elimination programmes with relevant tools and technical expertise.

#### Summary of achievements so far:

The Strengthening Veterinary Services in developing countries (SVSDC) project has two components: the first one focuses on strengthening national veterinary Services in Africa, and the second one is centres on rabies control and elimination in Kenya and northern Africa.

Regarding the first component, the project supports the follow-up and implementation of recommendations of the Performance of Veterinary Services (PVS) assessments, including the support to required regulatory frameworks, through regional capacity-building and in-country support, and by assessing Regional Economic Communities, in devising effective and sustainable investment plans and programs at regional and sub-regional level. To date, such PVS country evaluation missions and subsequent Gap-Analysis missions and evaluation follow-up missions have been conducted in 51 African countries.

Regarding the second component, the SVSDC project supports a national pilot programme to eliminate rabies in Kenya, a regional programme which supports the coordination and harmonisation of rabies control, in selected countries in North Africa and the establishment of a continental OIE Rabies Vaccine Bank for Africa, which intends to supply quality vaccines for the vaccination of dogs.

### **Intentions for the current year 2017:**

To date, under **Component 1**, the following activities are foreseen:

Organisation and conduct of OIE PVS Pathway missions in Africa (throughout 2017);

Refining the conception of a PVS-based tool (it is envisaged that the tool would be a PVS Pathway database, which would consolidate data contained in OIE PVS Pathway mission reports) (throughout 2017);

Organisation and conduct of regional seminars for OIE National Focal Points in Africa (throughout 2017).

To date, under **Component 2**, the following activities are foreseen:

- Provision of continual technical expertise to support the national Veterinary Services of Kenya with implementing its national Strategic Plan to eliminate human rabies in Kenya (throughout 2017);
- Management of formal requests received by the OIE for the procurement of rabies vaccines via the OIE Rabies Vaccine Bank from eligible countries under the project (throughout 2017);
- Organisation and conduct of a workshop to train a team of vaccinators on dog handling and dog vaccination in May 2017 in Tunisia.

Organisation and conduct of a workshop to review the rabies control strategy in Tunisia in September 2017 in Tunisia.

### **5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

2014 and 2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision (2014)	16 December 2014
Adoption of financing decision (2015)	14 December 2015
Signature of grant agreement	23 December 2015
Pre-financing payment(s)	18 February 2016
Interim payment(s)	31 March 2017
Final payment(s)	31 March 2019

### **5.3 *Documentation and expected results***

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

For 2014 appropriations, Commission decision C(2014)9767 of 16/12/2014 sets out the main elements for implementation of the pilot project. For 2015 appropriations, the Commission decision C(2015)8882 of 14/12/2015 amends decision C(2014)9767 of 16/12/2014 by increasing the total EU contribution and changing the management mode from indirect to direct management with a grant to OIE.

Reference documents for this project:

- “Feasibility study for a programme to improve veterinary governance and the control of priority trans-boundary animal diseases in West Africa”.

- Report of the mid-term review of the EU funded veterinary governance programme (available in 4th quarter 2014).

**- Do similar activities exist, which are already covered by a legal basis?**

Yes, "Reinforcement of African veterinary governance" funded under the European Development Fund (EDF). The decision C(2010)7243 authorising the programme can be found at:

[http://ec.europa.eu/europeaid/documents/aap/2010/aap\\_2010\\_intra-acp\\_en.pdf](http://ec.europa.eu/europeaid/documents/aap/2010/aap_2010_intra-acp_en.pdf)

As regards rabies, there is already a Strategic Plan for the elimination of human rabies in Kenya.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

**5.4 Type of activities financed, number and amounts**

2014 and 2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Strengthening of veterinary services in Africa	OIE, World Organisation for Animal Health	3 500 000

**5.5 Method of implementation (tenders, grants, other) and evaluations**

Direct management, with the World Organisation for Animal Health, (OIE).

Grant contract. A Mid-term and a final evaluation are foreseen.

**5.6 Types of beneficiaries**

The SVSDC project is of benefit to farmers and breeders in Africa whose herds and economic assets will be protected further by a more efficient and appropriate veterinary service delivery, with other beneficiaries being national Veterinary Services. The SVSDC project is assisting them to objectively assess their situation and evaluate necessary investments needed to progressively reach international standard requirements within their national and regional strategic framework.

In terms of specific project support to rabies, line ministries in charge of rabies control and surveillance are the main beneficiaries. Ministries of Health, centres for disease control, networks dealing with the control and prevention of rabies, such as the Global Alliance for Rabies Control will be involved among others.

The public in general will be better protected against rabies, by conducting rabies campaigns raising awareness on rabies in humans and animals, and providing better advice and information on how to prevent the disease.

Animal health service providers will be strengthened in providing adequate services, specifically dog vaccination.

## 1. Heading

### 21 02 77 18: Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	995 658	p.m.	950 000	0,0 %	-4,6 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	995 658	p.m.	950 000	0,0 %	-4,6 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2014 and 2015 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project aims at reactivating the Cauca region's small-scale farming economy in order to continue creating a viable path for the small communities to exit the armed and social conflicts of the region. Therefore the pilot project will allow the development of a business plan, and the setting up, installation, equipment and operation of a distribution centre to commercialise the agricultural products produced by these communities. Such a centre is required to guarantee the distribution at local and regional level, as well as to facilitate potential conflict-settlement as in other hot spots in Colombia.

The project will strengthen the productive skills and performance of small farmers and hence increase their productivity as well as the production volume to be sold through the Distribution Centre. The planned infrastructure will also improve the logistics of the recollection, packing, distribution and marketing process of the products from the small farmers of the Cauca River Valley in south-western Colombia, in a view of improving their livelihoods.

The 2015 phase of the pilot project involves a follow-up intervention as a continuation for the previous pilot project of the 2014 budget. It aims at contributing to the reactivation of the small-scale farming economy in the Cauca watershed, a region most affected by the armed conflict and criminal violence. While the previous pilot was focused on productive infrastructure and assistance, the present one is intended to provide for the complementary measures strengthening the sustainability of the entire intervention. These complementary measures may consist of strengthening local capacities in public policy development and management as well as citizens' participation, improving the physical and administrative access to the land, revitalising the social tissue of the communities involved, improving the security conditions for community life, productive development and the opening-up of the territory, etc.

The project began operations in June 2015. During the second semester of 2015, the selection and characterization of the members from producer organisations was conducted. This was the first step towards increasing the capacity of 500 smallholder families to better manage and increase their agricultural production as well as organise and adapt their production and supply to market conditions and quality requirements (result 1). Additionally, the design of the logistical coordination centre for the commercialisation of the production (result 2) began.

Project activities continued to be implemented during 2016 with special emphasis on the improvement of production processes through investment in primary agricultural inputs (such as fertilizers, seeds, etc.), tools and infrastructure in the farms of the 500 farmers, thereby improving by up to 20% the production. The latter represents an average monthly increase of Euro 30,000 in sales equivalent to 4,138 tonnes of food. Moreover, the sources of income of the families of the beneficiaries increased and clean production processes improved through the creation of 6 biofarms aimed at producing organic fertilizers. At present a total of 261 farmers have established accounting systems which measure production costs and profitability.

However, the result of the feasibility study for the logistical coordination centre for the commercialisation did not provide sufficient assurances and consequently, new strategies are being considered with a view to ensuring the pertinence and sustainability of the project.

In 2016, an open call for proposals was launched to provide additional support to small-scale farming initiatives. Although the broad thematic and geographical areas set in the implementing decision were maintained, a new focus on rural women's economic empowerment was adopted in order to enhance affirmative actions necessary to reduce the existing gap in the access to productive resources between women and men. This call was also focused in the south Cauca area in response to disparities within the Cauca Department. This new geographical and thematic focus is not only consistent with the EU's overall approach in support of the comprehensive rural development, but also is in line with our commitment to make sure no one is left behind by addressing the specific needs of rural women and the needs of those living in the most remote conflict affected areas of Cauca.

With that in mind, two priorities were considered. First, actions aimed at effectively increasing the access of women to productive means; land, credit, equipment and other financial services, as well as those aimed at encouraging greater participation of women in the formal labour market so as to reduce the income generation gap between women and men.

Second, the call also asked for specific support initiatives to enable rural women in the south of Cauca to generate sustainable livelihoods through the strengthening of individual or collective rural productive units. These productive units are expected to have technical assistance and be linked to transformation and marketing strategies, productive chains and business plans.

Two grants were finally allocated for a total of EUR 1 430 000 to reach rural women and communities from nine different municipalities. Regional and local authorities have been involved not only through co-financing but also through institutional action and public policy design and implementation.

#### **Intentions for the current year 2017:**

We would like to continue the development of the project towards achieving the results. It is not clear though whether additional funds will be available for 2017.

#### **5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

2014 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	16/12/2014
Award decision	23/05/2015
Pre-financing payment	19/06/2015
Interim payment	31/01/2017
Final payment	31/01/2018

2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	09/10/2015
Signature of grant agreement	-
Publication of call for proposals	20/06/2016

Closing date of call for proposals	30/07/2016
Award decision	31/08/2016
Pre-financing payment(s)	12/09/2016
Interim payment(s)	30/10/2017
Final payment(s)	30/10/2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the 2014 pilot project, the financing decision C(2014)9766 was adopted on 16/12/2014.

For the 2015 pilot project, the financing decision C(2015)6811 was adopted on 09/10/2015.

#### - Do similar activities exist, which are already covered by a legal basis?

Both the EU Trust Fund for Peace and The Rural Development with Territorial Approach (DRET) support programme look at increasing the national rural production capabilities, improve competitiveness and support the rural women's agenda, which aligns with the objectives of the project.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Not at this stage.

### 5.4 Type of activities financed, number and amounts

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Investing in sustainable peace and community rebuilding in the Cauca region, Colombia	Vallenpaz – Corporación para el desarrollo y paz del sur-occidente Colombiano	1 500 000

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"Empoderamiento económico y social de mujeres rurales de la región del Alto Patía, sur del departamento del Cauca, como apuesta a la construcción de Paz y Desarrollo Territorial".	Fundacion Alpina	680 000
Autonomía y Empoderamiento Económico de las Mujeres Rurales del Sur del Cauca	Mercy Corps	750 000
Total		1 430 000

### 5.5 Method of implementation (tenders, grants, other) and evaluations

Grants – Call for proposals.

### 5.6 Types of beneficiaries

The project supports directly 500 farmer families in North and Centre of Cauca and indirectly 1,000 smallholder peasants from North and South Cauca Valley to improve their articulation with the various target markets.

During the six months of implementation in 2015, 500 farmers participated (71% men and 29% women). Most of these producers are Afro-Colombians, representing 71% (358), Indigenous groups represent 24% (118) and mestizos 5% (26).

Under the 2016 call for proposals, a group of 2,200 rural women was included in the range of beneficiaries of the pilot project.

## 1. Heading

### 21 02 77 23: Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	321 130,00	p.m.	200 000	p.m.	268 870	0,0 %	34,4 %
Reserve							0,0 %	0,0 %
Total	0,00	321 130,00	p.m.	200 000	p.m.	268 870	0,0 %	34,4 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objective of the pilot project 'Access to justice and compensation for victims of serious violations of human rights and international humanitarian law in the Democratic Republic of the Congo', is to help victims of serious crimes secure justice before the national courts in the DRC and via regional and international mechanisms with a view to ensuring that offenders are prosecuted and compensation granted. The three specific objectives are to: support civil courts exercising their powers to prosecute serious crimes; support lawyers so that victims of serious crimes are represented in the national courts and regional and international human rights protection mechanisms and can obtain justice and compensation; support local non-governmental organisations in order to document and record serious crimes with a view to ensuring that victims have effective access to justice and that their cases are followed up in terms of information, compensation and protection.

The Commission financing decision was adopted in December 2015 and the delegation agreement with the UNDP (United Nations Development Programme) has been signed in April 2016.

#### Intentions for the current year 2017:

Implementation of the activities determined by the project.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	14 December 2015
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for	N/A



Step	Date
tender	
Award decision	December 2015
Pre-financing payment	June 2016
Interim payment	August 2017
Final payment	October 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission Decision C(2015)9554 of 14 December 2015 sets out the main elements for the implementation of the pilot project.

#### - Do similar activities exist, which are already covered by a legal basis?

A number of projects in the justice sector that aim to achieve similar goals are already implemented in the DRC. The objective is to avoid duplications with other ongoing projects in the justice sector.

Examples of ongoing projects currently implemented by the EU delegation in the justice sector: "Programme d'Appui au renforcement à la justice à l'Est de la RDC – PARJE/Uhaki Safi" (Support programme to justice reinforcement in East DRC – PADRE/ Uhaki Safi); "Complementarity in practice: strengthening national judicial response to international crimes in Democratic Republic of Congo (DRC) and Cote d'Ivoire"; "Projet DECLIK - Développer et conforter les initiatives des défenseurs des droits de l'Homme dans les Kivus" (DECLIK Project – Develop and strengthen the initiatives of human rights defenders in the Kivus). Other donors such as the UN are also working in areas such as the fight against impunity.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"Accès à la justice et réparations pour les victimes de crimes graves des droits de l'Homme et du droit international humanitaire"	UNDP / PNUD	790 000

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The pilot project will be implemented by indirect management with an international organisation (UNDP). An evaluation is foreseen.

### 5.6 Types of beneficiaries

Judicial personnel, lawyers, local non-governmental organisations in the area of justice, victims of human rights violations, including victims of sexual violence in the DRC

## 1. Heading

### 21 02 77 24: Pilot project — Mapping the global threat posed by antimicrobial resistance

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	750 000,00	0,00	750 000	675 000	p.m.	1 275 000	-100,0 %	88,9 %
Reserve							0,0 %	0,0 %
Total	750 000,00	0,00	750 000	675 000	p.m.	1 275 000	-100,0 %	88,9 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The Commission financing Decision (C(2016)8416 final) setting out the main elements for implementation was adopted in December 2016, a delegation agreement to implement the pilot project will be signed with the World Health Organisation (WHO) in the 2<sup>nd</sup> of Quarter 2017.

The main focus of this pilot project that will commence in the 2<sup>nd</sup> Quarter of 2017 will be on antimicrobials, the collection and analysis of data on antimicrobial consumption and use will lead to planning and implementing interventions that will contribute to enhance and promote responsible use of antimicrobials by prescribers, dispensers and patients.

The pilot project will build on the work undertaken within the EU/ACP/WHO Renewed Partnership for strengthening pharmaceutical systems and improving access to quality essential medicines. Better documentation of antimicrobial resistance (AMR) and the rational use of drugs in Africa is necessary to better understand the scope of the issues and to effect a number of interventions to better regulate the prescription of medicines. This pilot project is very much in line with the 2015 resolution passed by the World Health Assembly and the conclusions of the G7 summit on the global plan to fight AMR and the upcoming G20 2017 Summit. The problem of AMR and the rational use of drugs are within the remit of the renewed partnership programme EU/ACP/WHO financed under the European Development Fund (EDF) and more specifically its component 4. Work will seek to support the building up a body of data on antibiotic consumption patterns and the incidence of bacterial multi-resistance in developing countries as well as contributing to the analysis of such data.

#### Intentions for the current year 2017:

Following the signature of the delegation agreement with WHO the 2016 pilot project will kick-off, the formulation of the 2017 the pilot project will then be developed in consultation with WHO.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	December 2016
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	December 2016
Pre-financing payment	2 <sup>nd</sup> Quarter 2017
Interim payment	N/A
Final payment	1 <sup>st</sup> Quarter 2019

2017 appropriations

Step	Date
Identification and formulation process in collaboration with the WHO	2 <sup>nd</sup> – 3 <sup>rd</sup> Quarter 2017
Adoption of the financing decision for 2017	3 <sup>rd</sup> Quarter 2017
Signature of contract (delegation agreement)	4 <sup>th</sup> Quarter 2017
Pre-financing payment	4 <sup>th</sup> Quarter 2017
Duration of action	30 months

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision (C(2016) 8416 final ) of 15.12.2016 sets out the main elements for the implementation of the pilot project.

### - Do similar activities exist, which are already covered by a legal basis?

No. The problem of AMR and the rational use of drugs are within the remit of the current renewed partnership programme EU/ACP/WHO financed under the EDF and more specifically its component 4. The pilot project could support the establishment of a data base and help the analysis on the scope of AMR.

A key deliverable will be a WHO point prevalence protocol for undertaking surveys on prescribing and use of antimicrobial medicines in hospital settings.

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

It is envisaged to implement the pilot project in cooperation with the World Health Organisation (WHO), provided the conditions of article 190(1)(c) or (f) of the Rules of application to the Financial Regulation are met.

## 5.5 Method of implementation (tenders, grants, other) and evaluations

In continuity with the 2016 actions, it is envisaged that for 2017 the pilot project will be implemented in partnership with the WHO as a complement to work to be undertaken by WHO following Financing Decision C(2016) 8416. This will be carried out through a delegation agreement signed with WHO.

Current work under the existing EC-DEVCO contract: the EU/ACP/WHO Renewed Partnership for strengthening pharmaceutical systems and improving access to quality essential medicines (CRIS N°290-367 – financed from the EDF budget), will also inform the 2017 implementation.

## 5.6 *Types of beneficiaries*

International organisation

## 1. Heading

### 21 02 77 25: Pilot project — Enhance children’s rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	700 000,00	0,00	750 000	500 000	p.m.	400 000	-100,0 %	-20,0 %
Reserve							0,0 %	0,0 %
Total	700 000,00	0,00	750 000	500 000	p.m.	400 000	-100,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years’ implementation:

The overall objective of the project is to improve immediate and long-term protection responses for children and adolescents displaced by violence, persecution and violations of human rights and to strengthen child protection systems at regional, national and local level.

The specific objective is that at least 14,640 displaced children and adolescents including separated and/or unaccompanied minors benefit from stronger and holistic protection mechanisms in Guatemala, Honduras and El Salvador.

Work was carried out on the design of the project. 2016 saw a successful completion of the identification and formulation stages. The corresponding financial decision was adopted in December 2016 C(2016)8420.

#### Intentions for the current year 2017:

In May 2017, the EU Delegation in Honduras intends to sign an indirect management agreement directly with the United Nations High Commissioner for Refugees (UNHCR) for the totality of the project (see more in point 5.5).

The implementation of the project is to start in July 2017 and it is expected that the following activities will be carried out until the end of the year:

**Activity 1.1:** Establish and strengthen protocols and coordination mechanism regarding forcibly displaced and asylum-seeking children and adolescents among child protection institutions (national agencies, and civil society organizations) to ensure integral response to child needs at a national and a regional level.

**Activity 1.2:** Provide technical assistance in policy formulation and law implementation as well as capacity building support in child protection measures for forcibly displaced populations to governments and civil society organizations at a national and a regional level.

**Activity 2.1:** Support civil society organizations in Guatemala, Honduras and El Salvador to manage and provide services in children and family shelters/reception centers and schools, where forcibly displaced and deported children and adolescents could meet their specific protection needs in a secure environment.

**Activity 2.2:** Support governments and key civil society partners in Guatemala, Honduras and El Salvador in the establishment of reception procedures, BIDs (Best Interest Determination) and BIAs (Best Interest Assessment) and enhance their capacities to effectively carry out such assessments/determinations.

**Activity 3.1:** Build partnerships with civil society organizations and municipalities in Guatemala, Honduras and El Salvador to address root causes of displacement through community-based presence, provision of assistance to vulnerable persons at risk of displacement (i.e.: children, LGBTI, persons with disabilities) and support to existing municipal and civil society violence prevention committees to prevent the forced recruitment of children/youth into gangs.

**Activity 3.2:** Raise awareness and support the government and civil society organizations in Guatemala, Honduras and El Salvador in informing children, adolescents and their families on the access to basic rights to protection mechanisms available before, during and after experiencing displacement.

Expected results:

- Improved prevention and response to needs of forcibly displaced children, both internally and those seeking international protection, and those deported with protection needs through improved child protection policies and laws at regional, national and local levels;
- Forcibly displaced children are better identified and protection services improved in dignified child-safe spaces<sup>1</sup> where girls and boys are safeguarded from all forms of violence, abuse, neglect and exploitation according to international standards;
- Durable solutions, addressing the root causes of forced displacement and establishing sustainable, local protection mechanisms, are supported by governments and civil society.

**5.2** *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision (2016 and 2017 appropriations)	15 December 2016
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	May 2017
Pre-financing payment	July 2017
Interim payment	June 2018
Final payment	June 2019

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision (2016 and 2017 appropriations)	15 December 2016
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	May 2017
Pre-financing payment	July 2017

Step	Date
Interim payment	June 2018
Final payment	June 2019

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Yes, the Commission financing decision C(2016)8420 adopted on 15 December 2016. It covers both the EU budget allocation for 2016 (EUR 700 000) and 2017 (EUR 750 000).

The action will be implemented by an indirect management agreement with an international organisation (UNHCR the United Nations High Commissioner for Refugees). The UNHCR was identified as the primary implementation partner. UNHCR committed to contributing an additional EUR 500.000 to the action, leading to the formulation of this action for a total of EUR 1.95 million.

It is thus envisaged to sign an agreement directly to UNHCR during the first quarter of 2017 for the totality of the pilot project.

#### **- Do similar activities exist, which are already covered by a legal basis?**

Two thematic projects for Central America: (1) on migratory South-South flows and protection of migrants (282-739) and (2) on institutional strengthening with regard to human trafficking, especially women and children (334-503).

Both projects are related to and complement the pilot project. Attention will be paid to ensure synergies without overlaps in this respect.

In El Salvador, one of the components of the currently running project "Shaping Public Safety with Imagination, Participation and Action"(348-890) focuses on providing humanitarian assistance and shelter in emergency cases for internally displaced due to violence. In addition, in the first quarter of 2015, within the framework of the "Support for the Migrant Children Awareness Campaign" (352-696), the Delegation coordinated various awareness raising activities together with the General Directorate for Migration and Development of the Vice-Ministry for Salvadorians living abroad.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, the exact details are not yet known. For an indicative list of activities for 2017, see point 5.1.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The action will be implemented by an indirect management agreement with an international organisation (UNHCR the United Nations High Commissioner for Refugees). The UNHCR was identified as the primary implementation partner. UNHCR committed to contributing an additional EUR 500,000 to the action, leading to the formulation of this action for a total of EUR 1.95 million.

It is thus envisaged to sign an agreement directly with UNHCR in May 2017 for the totality of the pilot project.

### 5.6 *Types of beneficiaries*

The proposed action has three levels of beneficiaries:

- Direct beneficiaries: displaced children and adolescents displaced due to violence, including separated and/or unaccompanied minors;
- Direct beneficiaries: local authorities and governments that will develop protection sensitive policies at regional level through the implementation of regional agreements; and

Indirect beneficiaries: affected populations and civil society providing protection networks and monitoring the situation of displacement.

## 1. Heading

### 21 02 77 26: Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The 2016 budget appropriations (EUR 500.000) were carried over to 2017 (Commission decision C(2017) 771 of 9 February 2017). The formulation of the action was in particular affected by the highly volatile situation in South Sudan; the conflict in South Sudan has resumed in the area where the pilot project is to be implemented. This obliged the Commission to reassess the working assumptions following the security situation and make adjustments to this pilot project.

#### Intentions for the current year 2017:

Following the adoption of the Commission financing decision C(2017) 1783 covering 2016 commitment appropriations carried over to 2017 (22 March 2017), the programme manager (EU Delegation to South Sudan) will start the negotiation phase with the indicated implementing partner, in order to sign the agreement as soon as possible. In the course of 2017, an addendum decision will be adopted to cover 2017 commitment appropriations.

The overall intentions are to promote peacebuilding and enhance the wellbeing of children and adolescents in the former Greater Pibor Administrative Area (GPAA). The project will apply a suitable, integrative and cross-cutting model for community-based child soldier reintegration in the former GPAA, in order to enhance the wellbeing of approximately 300 children and adolescents (150 formerly associated with armed forces and 150 selected vulnerable children from the communities) through access to education, psychosocial support and improved livelihood opportunities. The Phase 2 of the project might target a higher number of children and adolescents, depending on carrying out of activities.

This project aims to address the root causes of conflict and violence by empowering children and adolescents to become constructive citizens and to contribute to sustainable peace in their region. As all the children registered to be released are boys, the project will endeavour to address this gender imbalance by prioritizing girls during the selection of vulnerable children from the community in accordance with the Paris Principles, which provide guidelines on the disarmament, demobilization and reintegration of all categories of children associated with armed groups.



The pilot project will also collect information on the demographics, composition, challenges and prospects of the target groups, with the intention to test integrative, cross-cutting and also case/region-specific approaches to the reintegration of child soldiers in their communities in the region. The results of its evaluation will provide valuable information towards future actions by checking scalability and effectiveness.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations (including appropriations carried-over from 2016)

Step	Date
Adoption of financing decision for 2016 appropriations carried over to 2017	22/03/2017
Adoption of financing decision for 2017 appropriations	December 2017
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	Forecasted February 2018
Pre-financing payment	Forecasted March 2018
Interim payment	Forecasted December 2018
Final payment	N/A

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Decision C(2017)1783 covering the 2016 appropriations carried over to 2017 was adopted on the 22 March 2017.

### **- Do similar activities exist, which are already covered by a legal basis?**

Pilot Project number 21 02 77 26 - Education Services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan (see above).

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

2016 and 2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Education Services for children formerly associated with armed forces	UNICEF	1 000 000

An amendment to the Commission decision adopted on the 22<sup>nd</sup> of March 2017 may increase the budget current 2017 up to 1 500 000 EUR.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

It is envisaged that the 2016 appropriations carried over to 2017 will be implemented in indirect management with UNICEF in accordance with Article 58(1)(c) of Regulation (EU, Euratom) No 966/2012. This implementation entails the provision of education, psychosocial and livelihoods support service packages to children and adolescents formerly associated with armed forces and groups in the former GPAA, with the objective to enhance their wellbeing and reintegration. This implementation is justified because UNICEF South Sudan maintains a de facto advantageous position as the main provider of technical assistance and of fully-developed, specializing programmes related to

children and adolescents formerly associated with armed groups, in all their phases. It is also the sole provider, in cooperation with its partners and backed by an Agreement with the South Sudanese Government, of educational and vocational training as well as cross-cutting, community-based psychosocial and livelihood financial training and support services for vulnerable children and adolescents in the Pibor area (Boma State). Moreover, UNICEF has signed a Memorandum of Understanding with the National Disarmament, Demobilisation and Reintegration Commission (NDDRC) and the Greater Pibor Administrative Area authorities on the implementation and modalities of the overall release and reintegration process in the region.

The entrusted entity would carry out the following budget-implementation tasks: subcontracting, launching calls for proposals and related financial execution for the provision of educational material and supplies, child-protection services and follow-up, teacher, personnel and community training, primary and accelerated educational packages, psychosocial support and livelihoods trainings.

### **5.6** *Types of beneficiaries*

Besides the vulnerable children and adolescents in the Pibor area (Boma State) and their communities, the National Disarmament, Demobilisation and Reintegration Commission (NDDRC) and the Greater Pibor Administrative Area authorities are part of the beneficiaries.

## 1. Heading

### 21 02 77 27: Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The overall objective of the project is to improve the livelihood and nutrition status of pastoralist communities in East Africa by improving the management of rangelands, while the Project Purpose is to attain secure and better use of rangelands and expand the role of women in selected pastoral communities in Kenya and Tanzania.

As coordination it was necessary to ensure complementarity and additionality with possible actions funded under the EDF, the formulation of the action for the first year (2016) was delayed. The 2016 budget appropriations (EUR 500.000) were carried over to 2017 (Commission decision C(2017) 771 of 9 February 2017).

#### Intentions for the current year 2017:

The Decision was adopted in March 2017 and it is the intention to conclude the contracting with IFAD during the second quarter of 2017.

If appropriate, an addendum decision will be adopted to cover the remainder of 2017 commitment appropriations.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 and 2017 appropriations

Step	Date
Adoption of financing decision	22 March 2017
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	June 2017

Step	Date
Pre-financing payment	August 2017
Interim payment	December 2018
Final payment	December 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission decision C(2017)771 of 9 February 2017 for the carry-over of the commitment and Commission Decision C(2017)1782 of 22 March 2017 for the full proposal for an amount of EUR 1 000 000.

**- Do similar activities exist, which are already covered by a legal basis?**

In Kenya, the EDF funded decision 2015 / 037-776 - Support to the agriculture sector transformation process and to decentralised land governance is also supporting land governance and the pilot action will coordinate its activities with this project

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

Not yet contracted.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Delegated Agreement with IFAD: It is envisaged that the project for a total amount of EU contribution of EUR 1.000.000 will be implemented in delegated management by the International Fund for Agricultural Development (IFAD), which has an arrangement that the International Land Commission will oversee the implementation in cooperation with the East African NGOs and in coordination with the Coalition of European Lobbies for Eastern African Pastoralism (CELEP).

### 5.6 *Types of beneficiaries*

Pastoralists in three clusters of villages in Tanzania and in four sub-counties in Baringo County Kenya

## 1. Heading

**21 02 77 28: Pilot project — Supporting the urban dimension of development cooperation: increasing financial capacities of cities in developing countries to deliver productive and sustainable urban development**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve						0,0 %	0,0 %
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objectives are:

- To develop methodology and good experiences to be shared on how to improve financial capacities of municipalities from developing countries to deliver prosperous and sustainable urban development;
- To gain experience on new financial instruments, including blending grants and loans;
- To deliver recommendations on how implementation of the urban dimension of the SDGs and the New Urban Agenda can be operationalised in developing countries' cities.

For the implementation of the pilot project the Commission intends to work in close cooperation with the United Nations Human Settlements Programme (UN-Habitat), the agency for human settlements and sustainable urban development.

After a preliminary assessment, the implementation of actions in cities (to be defined at a later stage) could be carried out through twinning agreements with European cities' authorities and/or through south-south cooperation agreements.

#### Intentions for the current year 2017:

Adoption of the Commission financing decision is expected in September 2017.

#### Main expected results in 2017:

- Project partners and partner cities identified;
- For those cities, relevant policy, regulatory, financing and/or spatial planning gaps and the subsequent policy documents (urban development plan, operating plan, capital investment plan) integrating recommendations made in the framework of the programme based on new financial instruments identified.

Potential difficulties: The perceived national authorities' political willingness to effectively decentralise financial responsibilities needs to be confirmed during the project implementation period: absence of internal political and macroeconomic stability.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	September 2017
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	October 2017
Pre-financing payment	2018
Interim payment	N/A
Final payment	September 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Action Document for identification and formulation of the project is under preparation, in collaboration with UN-Habitat. Identification requires a thorough understanding of the context through mapping and analysis of sector and other relevant policies, institutions and stakeholders with the goal of focusing on the priority areas and/or problems to be addressed. The main outputs of the identification phase will be subject to peer review at a first Quality Support Group (QSG1) foreseen during the 2<sup>nd</sup> / 3<sup>rd</sup> quarter of 2017. This phase will then lead to the elaboration of a full Action Document, by completing the context analysis where necessary, presenting the chosen implementation modalities and elaborating the indicative log-frame, including the indicative list of result indicators. The full Action Document will be subject to peer review at a Quality Support Group (QSG2) foreseen in the 3<sup>rd</sup> quarter of 2017, where particular attention will be given to the intervention logic of the action and the implementation arrangements.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No, not at this stage.

## 5.4 *Type of activities financed, number and amounts*

2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Supporting the Urban Dimension of the Development Cooperation: Increasing financial capacities of cities from developing countries to deliver productive and sustainable urban development	UN-HABITAT	1 000 000

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The pilot project is foreseen to be implemented by indirect management with an international organisation (UN-HABITAT), the United Nations agency for human settlements and sustainable urban development. An evaluation is foreseen.

## 5.6 *Types of beneficiaries*

Partner countries / ministries in charge of urban development, municipalities, policy makers, International Organisations, private investors, Civil Society Organisations' and local authorities' networks

## 1. Heading

### 21 02 77 31: Pilot project — Santé pour tous — Health for All

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total			500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Les crédits d'engagement pour ce projet pilote ont été adoptés dans budget 2017.

#### Objectives followed during 2016 and previous years' implementation:

L'objectif global est d'améliorer la santé de la population togolaise en amenant l'hôpital vers les populations rurales démunies et vulnérables et en assurant leur prise en charge médico-chirurgicale gratuite et une bonne formation du personnel local.

Les objectifs spécifiques du projet sont:

Objectif spécifique 1 : les populations de zones rurales et péri-urbaines comprennent l'importance du dépistage précoce des maladies chirurgicales et cherchent de l'aide dans les structures sanitaires publiques afin de se faire opérer.

Objectif spécifique 2 : les patients avec pathologies chirurgicales sont dépistés et opérés de façon à qu'ils puissent mener à nouveau une vie normale.

#### Intentions for the current year 2017:

Une fois définis les partenaires du projet, il est prévu de conclure un accord de gestion indirecte au cours du deuxième semestre 2017.

L'implémentation de ce projet devrait commencer avant la fin de l'année 2017. Les activités suivantes auront lieu pendant les deux années que durera le projet.

A.1.- Promotion de l'éducation pour la santé à travers les médias, sur le Droit à la santé (vulgarisation du code national de la santé), les services offerts à niveaux des structures de santé, la détection précoce des pathologies guéris par traitement chirurgical et la démystification de certaines pathologies qui ne relèvent pas de la sorcellerie.

A.2.- Formation des agents de santé communautaire et les prestataires de soins de santé des unités de santé périphériques (centres communaux au Togo) sur l'identification de ces pathologies facilement reconnaissables et son traitement par intervention chirurgicale et formation des infirmiers/ières pour la prise en charge post-opératoire (nettoyage des plaies, ablation des fils chirurgicales, suivi de traitement institué par les médecins chirurgiens dans les carnets de suivi de patients opérés, etc.).



A.3.- Réalisation de consultations médicales par les médecins spécialistes et sélection des cas susceptibles d'être opérés.

A.4.- Réalisation d'interventions chirurgicales par des spécialistes nationaux, en formant d'autres chirurgiens avec moins de expérience venant des régions sur la chirurgie humanitaire (formation on-the-job). Un bilan préopératoire des patients recensés à opérer sera réalisé au préalable. Il y aura un phasage progressif tout au long du projet de façon qu'à la fin du projet un plus grand nombre d'interventions soient réalisés par des chirurgiens des régions.

Les interventions principales réalisés seront pour remédier les pathologies dans les domaines de:

- La chirurgie générale (hernies, hydrocèles, lipomes, etc.)
- ORL (goitre et bec de lièvre)
- Gynécologie (fibrome, kyste ovarien, fistules vésico-vaginales, prolapsus utérin, etc.)
- Ophtalmologie (cataracte, ptérygions, etc.)
- Stomatologie (extractions de dents flottantes et traitements de caries, etc.)

Ces interventions chirurgicales, une fois réalisées, permettent aux patients de retrouver leur santé et mener une vie normale.

A.5.- Suivi des cas opérés. Réinsertions dans les communautés (quand nécessaire) et révisions médicales pour vérifier le succès des interventions.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption de la décision de financement	deuxième semestre 2017
Publication de l'appel à propositions / appel d'offres	N/A
Les dates de clôture de l'appel à propositions / appel d'offres	N/A
Décision d'attribution	deuxième semestre 2017
Pré-financement	deuxième semestre 2017 / début 2018
Paiement intermédiaire	deuxième semestre 2018
Paiement final	deuxième semestre 2019

## 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

La décision de financement, qui sera adoptée par la Commission au second semestre 2017, définira les éléments principaux de la mise en œuvre du projet pilote.

**- Do similar activities exist, which are already covered by a legal basis?**

Non

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

Non

## 5.4 *Type of activities financed, number and amounts*

Le Document d'Action est en cours de rédaction. Pour une liste indicative d'activités voir le point 5.1.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

L'action sera implémentée à travers un contrat de gestion indirecte avec les partenaires appropriés, en cours d'identification.

## 5.6 *Types of beneficiaries*

Ce projet pilote à trois types de bénéficiaires:

- Bénéficiaires directs: les patients souffrant des maladies graves relevant d'un avis spécialisé et les patients ayant des pathologies chirurgicales sur toute l'étendue du territoire togolais.
- Bénéficiaire indirects: la population de zones rurales et péri-urbaines qui sera sensibilisée sur de Droit à la santé et l'importance de la détection précoce de certaines pathologies, la démystification de ces mêmes pathologies associés à la sorcellerie et qui pourront avoir des membres de leur entourage opérés.

Bénéficiaires indirects: le personnel sanitaire togolais et para sanitaire: chirurgiens, prestataires de soins de santé et agents de santé communautaire qui verront leur capacités d'identification et traitement des pathologies chirurgicales accrues.

## **Heading 5 - Administration**

## 1. Heading

### **25 01 77 04: Pilot project — New technologies and information and communication technology (ICT) tools for the implementation and simplification of European Citizens' Initiatives (ECI)**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %
Reserve							
Total		500 000	250 000	p.m.	250 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 budget.

#### **Intentions for the current year 2017:**

The project description as proposed by the European Parliament is as follows:

"This pilot project specifically aims at simplifying and promoting the ECI through the use of IT platforms and other electronic tools, such as applications that are compatible with mobile devices, in order to achieve a simplification of this very important agenda-setting tool. In this regard, the collection of signatures, the access and the use of the IT platforms and/or electronic tools should be possible via the electronic identification and authentication services (e-IDAS). The use of these digital tools should enable citizens to receive and exchange information about existing or potential ECI, to actively participate in discussions and to launch and/or support the initiatives, in particular including the possibility to sign an ECI. These tools would also make it possible for the Commission to interact with ECI promoters, exchange information and provide them with technical guidance in order to ensure, as far as possible, the success of the initiatives, while allowing substantial economic and administrative savings."

In its letter of executability of 28 October 2016, the Commission made the following comments on the proposed scope of the pilot project:

"Part of the proposed pilot project overlaps with the actions being already implemented or planned by the Commission. In particular:

- the development of the online collection software version adapted for mobile devices, is currently being designed and foreseen for development using the financing available under the ISA2 Programme,
- the use of the electronic identification and authentication services (e-IDAS) for the European Citizens' Initiative (ECI) is the subject of a study which the Commission will launch later this year, also using the financing under the ISA2 Programme.

Moreover, the ECI benefits in 2016 from financing under the new budget item 18 04 01 02 which has been created for the Citizens' initiative under the 2016 budget. Several other developments in the ECI area have been foreseen accordingly."

As indicated in the interim report of 1 March 2017, the 2017 financing under the pilot project will be used for the following project: development and operating of a collaborative platform.

Following procurement contracts are planned:

1. IT design and development of a collaborative platform, using existing framework contract;
2. IT support to the collaborative platform, using existing framework contract;
3. IT infrastructure and licenses, using existing framework contract;
4. Operating the collaborative platform and related communication services, call for tender to be launched.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	June 2017
Signature of specific contracts on DG DIGIT framework contracts (IT part for development platform)	July 2017
Interim payment(s)	October 2017, January 2018, April 2018, July 2018
Final payment(s)	October 2018
Publication of call for tender (part for operating the platform)	July 2017
Closing date of call for tender	September 2017
Award decision / signature of contracts	November 2017
Pre-financing payment(s)	December 2017
Final payment(s)	December 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements of implementation of the pilot project.

### **- Do similar activities exist, which are already covered by a legal basis?**

Activities in relation to the European Citizens Initiative are covered under the Europe for Citizens programme, and by a specific budget item 18 04 01 02 which was created for the Citizens' initiative under the 2016 budget. Several other developments in the ECI area have been foreseen accordingly. The activities of the pilot projects should therefore not duplicate such actions.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.<sup>5.5</sup>

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Use of existing framework contracts (procurement) for the IT part and launch of a call for tender procedure (procurement) for the operating part.

## 5.6 *Types of beneficiaries*

Contractors

## 1. Heading

### 26 03 77 03: Pilot project — PublicAccess.eu: Online platform for the proactive publication of Union institutions' unclassified documents

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	512 000,00	p.m.	813 000	p.m.	180 000	0,0 %	-77,9 %
Reserve								
Total	500 000,00	512 000,00	p.m.	813 000	p.m.	180 000	0,0 %	-77,9 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 and 2016 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of the PublicAccess.eu pilot project is to devise ways to improve online access to unclassified documents held by EU institutions.

The work on the project concentrated in 2015 on the implementation of three specific project strands:

- Enriching EUR-Lex with new categories of documents;
- Project showcase;
- Study on ensuring integrated access to publicly available documents from EU institutions, agencies and bodies.

As indicated in the adopted budgetary remarks the initial focus of the project was on documents relating to one of the EU institutions, namely the European Commission. However, by the end of 2016 documents from other institutions also became concerned, with due account taken of the ongoing interinstitutional harmonisation and rationalisation efforts in terms of metadata, formats, information systems, and document management.

The budget allocated in 2016 was fully committed.

#### Intentions for the current year 2017:

As for the follow-up of strand 1 of the project (enriching EUR-Lex with new categories of documents), implementation of Phase 2 and Phase 3 will be continued as planned. Both of these phases envisage enriching EUR-Lex with additional Commission documents, in line with the planning foreseen for the Better Regulation Portal. Through completion of Phase 2, the entire internal decision-making procedure of the European Commission (planning/consultation/adoption) will be made available to the public, while during Phase 3 EUR-Lex will be enriched with additional documents, including documents linked to public consultations. Additionally, under strand 1 the scope of the project will be extended by adding documents from other institutions.

Nevertheless, as regards the provision of Commission documents for Phase 3, the Publications Office is dependent on the Better Regulation Portal scheduling from Secretariat-General and there could be a delay in defining and preparing

documents for transmission to this portal, and consequently, to the Publications Office. Similarly, participation of the other institutions in this strand may take longer than originally planned due to constraints at their end, over which the Office has no control, so some leeway for the scheduling of payments into 2018 may be needed.

The second project strand that will be continued in 2017 is a follow-up of the experiences with the showcase and aims at improving the display of the legislative procedure timeline in EUR-Lex. It will take as the basis the analysis of the showcase environment, as well as of the feedback received from users so far, in view of using these findings to improve EUR-Lex. Since this will first require support from an external service provider specialised in usability and data visualisation, and only then will be followed by implementation in EUR-Lex, it is probable that some payments will need to be made in 2018.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of financing decision	4 May 2015
Signature of a contract following a low-value negotiated procedure and specific contracts based on framework contracts	2 <sup>nd</sup> semester of 2015
Pre-financing payment	N/A
Interim payment	2nd semester of 2015
Final payments	2017

2016 appropriations

Step	Date
Adoption of financing decision	5 January 2016
Signature of a contract following a low-value negotiated procedure and specific contracts based on framework contracts	2 <sup>nd</sup> semester of 2016
Pre-financing payment(s)	N/A
Interim payment(s)	2017
Final payment(s)	1 <sup>st</sup> semester 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

For 2015 appropriations: Commission financing decision C(2015)2784 of 4 May 2015 sets out the main elements for the implementation of the pilot project.

For 2016 appropriations: Commission financing decision C(2015)9328 of 5 January 2016 sets out the main elements for the implementation of the pilot project.

Project website: <https://publications.europa.eu/en/web/public-access>

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No



## 5.4 Type of activities financed, number and amounts

### 2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
IT projects	Existing framework contracts	540 000
IT projects (Showcase)	Contract signed following a low-value negotiated procedure with AION s.r.o.	60 000
IT systems operation	Existing framework contracts	100 000
Content preparation	Existing framework contracts	300 000

### 2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
IT projects	Existing framework contracts	370 000
IT systems operation	Existing framework contracts	30 000
Content preparation	Existing framework contracts	70 000
Communication and promotion	Existing framework contracts	30 000

## 5.5 Method of implementation (tenders, grants, other) and evaluations

The PublicAccess.eu pilot project is being implemented via the Publication Office's own contracts, as well as via existing framework contracts from other European Commission DGs, in particular DIGIT. A new low-value call for tenders was launched in 2015 since no suitable contract was available for tasks related to the development of the showcase.

The project is supervised by a project-owner and the follow-up is ensured by a dedicated team of project managers.

The progress is also regularly discussed by an informal project committee consisting of representatives of the Publications Office and the two associated services in the Commission, i.e. Secretariat-General and DIGIT.

Representatives of other EU institutions will also be involved in overseeing the project results, in line with their increased participation in the project.

A formal evaluation of the pilot project will be performed by the Publications Office after the acceptance of the agreed deliverables and presented to the stakeholders.

## 5.6 Types of beneficiaries

Public administrations, members of the European Parliament, professionals in the legal domain, businesses, journalists, academia, transparency community, general public

## 1. Heading

### 26 03 77 04: Pilot project — Union institutions' encrypted electronic communications

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	266 130,00	p.m.	750 000	p.m.	250 000	0,0 %	-66,7 %
Reserve								
Total	1 000 000,00	266 130,00	p.m.	750 000	p.m.	250 000	0,0 %	-66,7 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted under the 2015 and 2016 budgets.

#### Objectives followed during 2016 and previous years' implementation:

In the first year of the pilot project (2015), the Commission, under the coordination of the Subgroup on Security of the Comité Informatique Interinstitutionnel (CII), launched a study comprising the following work packages:

WP 1:

- Identification of the needs in terms of communication of sensitive but unclassified information among the EUIs;
- Documentation of the associated requirements;
- Identification of existing and potential solutions capable of meeting those requirements for specific use cases.

WP 2:

- Recommended short- and long-term solution.

WP3:

- Prerequisites (technical and organisational) for the implementation of the recommended solution(s);
- Detailed planning for the launch of a pilot installation.

The study was finalised in 2016.

#### Intentions for the current year 2017:

The implementation of the second year of the pilot project is intended to be staged in coordination with preparatory action 26 03 77 08, such that the same sub-projects will initially be funded under Pilot Project 26 03 77 04 and subsequently through Preparatory Action 26 03 77 08 (cf. supra).

The project will support the implementation of secure electronic communications within the European institutions. Sub-projects will be oriented towards:

- harmonising, coordinating and – as much as possible – automating relevant procedures and processes (setting up the trust relationship between the EUIs' certification authorities, access to other EUIs' user certificates);
- maximising the re-use of the investments made so far (upgrading the available solutions, coordinating evolution roadmaps, facilitating re-use of the existing building blocks to build new services), for example by facilitating the use of S/MIME based secure email between them
- reinforcing the investment in innovative technologies to enable future-proof solutions;
- facilitating users' access to the solutions that are (or will become) available by making them more user-friendly/accessible, advertising their availability, promoting their use, making the users aware of the need of protecting sensitive information.
- The proposed timeline for 2017 is:
- Definition of sub-projects and assignment of resources: Second quarter 2017
- Launch of sub-projects: Third quarter 2017
- Compilation of sub-projects' progress reports: Fourth quarter 2017
- Dissemination of preliminary results to other EU Institutions: Fourth quarter 2017 and first and second quarters 2018

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of Commission financing decision	22 June 2015
Signature of specific contracts under existing framework contracts (266,130.00 €)	9 Sep 2015
Pre-financing payment	n/a
Interim payment	January 2016 (Deliverables acceptance: 18 Dec 2015)
Final payment	June 2016 (Deliverables acceptance: 10 Jun 2016)

2016 appropriations

Step	Date
Adoption of financing decision	14 December 2016
Signature of specific contracts under existing framework contracts	Second quarter 2017
Interim payment(s)	Third / Fourth quarter 2017
Final payment	First / second quarter 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

For 2015 appropriations, Commission decision C(2015) 4163 of 22/06/2015 sets out the main details for the implementation of the pilot project.

The deliverables of the study are documented and shared with the EU institutions participating in the project.

For 2016 appropriations: Commission financing decision C(2016)8289 of 14/12/2016 sets out the main details for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

To our knowledge, there are no activities that cover, in the exhaustive way, all the relevant use cases identified through the 2015-2016 study. However, there are projects and solutions that have been identified and that can, potentially, be reused and integrated in building the recommended solution(s), through the pilot project and subsequent preparatory actions. Such activities could however be funded under each participating institution's administrative appropriations as per their administrative autonomy.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

Not foreseen at this stage.

**5.4 Type of activities financed, number and amounts**

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Study on EU Institutions Encrypted Electronic Communications	Consortium PWC-Everis, DIGIT Framework Contract ABC III	266 130,00

For 2017, these details are not yet known.

**5.5 Method of implementation (tenders, grants, other) and evaluations**

2015 appropriations: contract under DIGIT Framework Contract ABC III, Consortium PWC-Everis.

2016 appropriations: to be determined during the second quarter 2017. Most probably use of existing DIGIT framework contracts.

**5.6 Types of beneficiaries**

Contractors

## 1. Heading

### 26 03 77 07: Pilot project — Deployment of online eIDs and digital signatures by way of implementation of the eIDAS Regulation by the European Parliament and the Commission

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			550 000	275 000	p.m.	275 000	-100,0 %	0,0 %
Reserve								
Total			550 000	275 000	p.m.	275 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

## 4. Legal basis

Without legal basis - Pilot project

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under the 2017 Budget.

#### Intentions for the current year 2017:

Commitment appropriations for this pilot project were voted for the first year under the 2017 Budget. According to the budget remarks of the pilot project, this pilot project should aim at providing the European Parliament and the European Commission with an online means of authentication to access online services in a secure manner as well as digital signatures to electronically sign documents required in the daily work of EU institutions. This Pilot project should also aim at implementing the EIDAS regulation and thereby enable mutual recognition of digital signatures and national eID Schemes within EU institutions.' The pilot project will be implemented as part of two of DIGIT's corporate services: EU Login and the Electronic Signature Services Infrastructure (ESSI).

This pilot project encompasses a number of actions within the context of EU Login (authentication) and the Electronic Signature Service Infrastructure (ESSI, e-signatures). This pilot project proposes the following four actions to: enable the use of national eIDs for authentication and further enable the use of digital signatures in European institutions:

1. Authentication and signature services – Information systems need to rely on authentication and signature services integrating with national eID solutions and eIDAS-compliant signature services. The actual migration of information systems falls outside the scope of this pilot project, but the pilot project aims for a significant investment in support services and promotion for EU Login and ESSI.
2. Alignment of the signature services of the Commission and the Parliament–The European Commission and the European Parliament need to provide uniform, eIDAS-compliant services for electronic signatures which can be used or re-used by other EU Institutions. Activities will be launched to align the Commission's ESSI and the Parliament's DISP.
3. Features to use national eID – Authentication and signature services need to provide the features allowing to use national eID solutions in a way that is equivalent to local solutions. Actions will be taken to remove obstacles for the use of national eIDs to authenticate to EU Login.

4. Hardware and software for using eID – Users need to have the hardware and software required to use their national eID. Pilot activities will be started to provision the hardware and software to (prospective) users.

The notification of national eID schemes and the certification of Trust Service Providers providing certificates for e-signatures to become eIDAS-compliant falls outside the scope of this pilot project.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	Third quarter 2017
Signature of specific contracts under existing framework contracts	Third quarter 2017
Interim Payment	Fourth quarter 2017
Interim payment(s)	First quarter 2018
Final payment(s)	Second quarter 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in the third quarter of 2017, will set out the main elements for the implementation of the preparatory action.

### **- Do similar activities exist, which are already covered by a legal basis?**

The Commission (DG DIGIT) is already implementing corporate services in the areas of identity and access management and electronic signatures.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No. Any follow up activity should be financed under each participating institution's administrative appropriations as per their administrative autonomy.

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the pilot project, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

To be determined in during the third quarter of 2017. Most probably use of existing DIGIT framework contracts.

## 5.6 *Types of beneficiaries*

Contractors



## **Preparatory Actions**



## **Heading 1a - Competitiveness for Growth and Jobs**

## 1. Heading

02 02 77 10: Preparatory action — Euromed innovation entrepreneurs for change

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	487 191,89	p.m.	490 000	p.m.	100 000	0,0 %	-79,6 %
Reserve								
Total	0,00	487 191,89	p.m.	490 000	p.m.	100 000	0,0 %	-79,6 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2012 and 2013 budgets.

#### Objectives followed during 2016 and previous years' implementation:

Completion of the implementation of the three actions launched in 2012, i.e. two ad-hoc grants for extension of innovation management (managed by GROW/F2) and cluster management activities to EuroMed countries and, following a call for tenders, a service contract related to cluster matchmaking events, networking and small projects of women entrepreneurs, incubators, investor communities, and entrepreneurs in the diaspora communities as well as provision of 'train-the-trainer events' related to management of Intellectual Property Rights.

These projects are now closed.

The action launched in 2013 on green entrepreneurship through a sub-delegation to DG DEVCO (now DG NEAR,) allowing for the implementation of this action as part of the SWITCHMED action, is being implemented in joint management with UNIDO.

#### Intentions for the current year 2017:

For the 2013 action implemented by DG NEAR, the implementation will continue under SWITCHMED as foreseen under that contract.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2012 appropriations

Step	Date
Adoption of financing decision	25/01/12
<b>Ad-hoc grants</b>	
Publication of call for proposals	06/03/2012
Closing date of call for proposals	23/03/2013

Step	Date
Signature IMP <sup>3</sup> rove ad-hoc grant (innovation management)	08/08/2012
Pre-financing payment IMP <sup>3</sup> rove	24/08/2012
Interim payment	10/04/2014
Final payment	May 2015
Signature Cluster Foundation ad-hoc grant (cluster management)	26/10/2012
Pre-financing payment Cluster Foundation	12/11/2012
Interim payment	10/04/2014
Final payment	18/12/2014
<b>Call for tenders</b>	
Publication of call for tenders	05/06/2012
Closing date of call for tenders	27/07/2012
Signature of service contract	27/11/2012
Pre-financing payment	15/12/2012
Interim payments	24/10/2013 06/12/2013 20/02/2014 05/05/2014 29/08/2014 22/10/2014 05/03/2015
Final payment	24/04/2015

### 2013 appropriations

Step	Date
Adoption of financing decision	08/11/2013
Signature of agreement with UNIDO (joint management)	12/12/2013, amended by exchange of letters on 02/03/2015
Start of implementation of action	01/02/2014
Pre-financing payment	19/02/2014
Interim payment(s)	18/06/2015 & 24/08/2015 7/09/2016 June 2017
Final payment	June 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the year 2012: the description of the implementation of this Preparatory Action is included in the financing decision C(2012)208 adopted on 25 January 2012.

For the year 2013: the description of the implementation of this Preparatory Action is included in the financing decision C(2013)1612 adopted on 26 March 2013, as amended by Decision C(2013)7344 of 8 November 2013.

**- Do similar activities exist, which are already covered by a legal basis?**

Yes, the programme “GREEN MED: Towards a greener economy and a healthy environment” includes a sub-programme, SWITCH MED with a component on green entrepreneurship and civil society empowerment promoting the adaptation of new green and socially inclusive business models and targeting start-ups. Therefore, it was decided to include the preparatory action under this programme rather than launch a stand-alone action.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

**5.4 Type of activities financed, number and amounts**

2012 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Extending the activities of the IMP <sup>3</sup> rove-European Innovation Management Academy	IMP <sup>3</sup> rove-European Innovation Management Academy represented by A.T. Kearney, DE	598 181,60
extending the activities of the European Foundation for Clusters and Competitiveness	European Foundation for Clusters and Competitiveness represented by the University of Navarra, ES	399 992,91
Implementation of services related to cluster matchmaking events, networking and small projects of women entrepreneurs, incubators, investor communities, and entrepreneurs in the diaspora communities as well as provision of ‘train-the-trainer events’ related to management of Intellectual Property Rights	Consortium led by ANIMA Investment Network Association, FR	989 928,00

2013 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
SWITCH MED Demonstration and Networking Component	UNIDO (joint management)	2 000 000,00

**5.5 Method of implementation (tenders, grants, other) and evaluations**

2012: 2 direct (ad-hoc) grants to European organisations considered to have a de-facto monopoly in their respective fields, one open call for tenders resulting in a service contract.

2013: joint management with international organisation (UNIDO).

**5.6 Types of beneficiaries**

The actions supported by the preparatory action benefitted (green) entrepreneurs & start-ups, SMEs and cluster organisations and managers, in particular in the following countries: Morocco, Tunisia, Egypt and Lebanon, besides an international body, *i.e.* UNIDO.

## 1. Heading

### 02 02 77 21: Preparatory action — Transnational culture-related European tourism product

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	1 500 000	1 000 000	p.m.	450 000	-100,0 %	-55,0 %
Reserve								
Total	0,00	0,00	1 500 000	1 000 000	p.m.	450 000	-100,0 %	-55,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The overall objective of this preparatory action is to increase demand for the heritage routes, focusing on World Heritage sites with unfulfilled potential. Different approaches are tested in large source markets (China, North America and within Europe) during this large-scale demonstration project.

Following the finalisation of the Financial and Administrative Framework Agreement between the European Union and the United Nations (so-called PAGODA), the grant agreement with UNESCO was signed on 14 December 2015 and provides funding for the 18 months take-off phase. This phase consisted of (a) designing 4 UNESCO World Heritage Routes in Europe, each consisting of a 5-7 individual destinations; (b) getting key stakeholders committing to develop and promote these heritage routes; (c) defining marketing and communication strategies for each Route; (d) developing an interactive online platform for communication, promotion and knowledge sharing (which may get the support of National Geographic); as well as (e) developing 1 map guide and mobile application per Route.

#### Intentions for the current year 2017:

The project financed under 2015 appropriations starting on 1 February 2016 will end in August 2017 and the final report should be submitted in November 2017.

The next specific actions (budget 2017) foreseen will cover the further development of the four transEuropean World Heritage routes designed thanks to the first phase of the Preparatory action (budget 2015), including:

1. monitoring and advising on the implementation of these routes' strategic plans;
2. promotional campaign targeting in particular China in the context of the 2018 EU China Tourism Year;
3. product development through synergies with Creative and Cultural Industries (e.g. common narrative presented through augmented reality tools)
4. synergies with the 2018 European Year of Cultural Heritage.

It is expected to implement the action in 2017 by a grant agreement to be concluded with the same beneficiary (UNESCO).

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Financing decision	26/06/2015
Launching of invitation	9/09/2015
Deadline for submission	9/10/2015
Award decision	30/11/2015
Pre-financing payment	December 2015
Interim payment	March 2017
Final payment	December 2017

2017 appropriations

Step	Date
Financing decision	5 May 2017
Launching of invitation	May 2017
Deadline for submission	August 2017
Award decision	September 2017
Pre-financing payment	December 2017
Interim payment	March 2018
Final payment	January 2019

## 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2015: financing decision C(2015)4275 of 26 June 2015 sets out the main elements for the implementation of the preparatory action.

2017: financing decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the preparatory action.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
UNESCO heritage cultural routes	UNESCO	1 500 000

In 2017, it is expected that a grant agreement will be concluded with UNESCO.

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

Grants without a call for proposals awarded to UNESCO in accordance with Article 128 of the Financial Regulations and Article 190 (1) (f) of the Rules of Application. World Heritage Centre (UNESCO) is responsible for monitoring and implementing various conventions on tangible and intangible heritage and no other organisation can achieve its level of expertise on the UNESCO heritage.

### *5.6 Types of beneficiaries*

UNESCO sites/destinations and related tourism operators

## 1. Heading

### 02 02 77 29: Preparatory action — European Capital of Tourism

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA/PA	CA	PA	CA	PA	CA	PA
Line		2 500 000	1 250 000	p.m.	1 000 000	-100,0 %	-20,0 %
Reserve							
Total		2 500 000	1 250 000	p.m.	1 000 000	-100,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The general aim of the Preparatory Action "European Capital of Tourism" is to:

- promote the rich tourism offer of European countries, increase citizens' sentiment of sharing local tourism-related values
- strengthen tourism-generated development in cities, their surroundings and their regions
- increase the attractiveness and enhance the image of cities and their surrounding regions that get the title and strengthen their relations and cooperation and, therefore, economic growth and job creation

The operational objective of the Preparatory Action is to select 2 European Capitals of Tourism and award several (4) European Tourism Awards in each selection cycle.

In line with the general objectives, it is foreseen that the initiative and awarded destinations get EU wide visibility and benefit of targeted promotional and dissemination activities.

In order to implement this initiative, an open call for tenders will be launched at the beginning of the second quarter 2017.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	5 May 2017
Publication of the call for tender	June 2017
Submission date	July/August 2017
Award decision	October 2017



<b>Step</b>	<b>Date</b>
Signature of the contract	November 2017
Pre-financing payment	December 2017
Interim payment	September 2018 & July 2019
Final payment	June 2020

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission financing decision C(2017)2868 of 5 May 2017 sets out the main elements for the implementation of the preparatory action.

**- Do similar activities exist, which are already covered by a legal basis?**

Some activities promoting European tourism and tourism products are financed by the programme COSME (2014-2020).

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is implemented through an open call for tender.

### 5.6 *Types of beneficiaries*

- Direct beneficiaries of the call for tender: economic operators
- Indirect beneficiaries of the action: destinations, cities, regional/local authorities, destination management organisations, tourism related stakeholders

## 1. Heading

### 02 04 77 03 :Preparatory action on Defence research

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			25 000 000	10 000 000	40 000 000	28 000 000	60,0 %	180,0 %
Reserve								
Total			25 000 000	10 000 000	40 000 000	28 000 000	60,0 %	180,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the under the 2017 Budget. For the year 2018, the Commission is proposing to continue the preparatory action for a second year.

### Intentions for the current year 2017 and for 2018

The European Commission adopted the 2017 Financing Decision for the Preparatory Action on Defence Research (PADR) on 11 April 2017 (C(2017)2262).

The budget for the PADR related actions in 2017 is EUR 25 million. Its main implementation will be through grants. The call for proposals and the participation modalities will be published in June 2017.

The main objective of the Preparatory action on Defence research is thus to prepare and test mechanisms that can prepare, organise and deliver a variety of EU-funded cooperative defence research and technology development (R&T) activities to improve the competitiveness and innovation in the European defence industry and to stimulate cooperation amongst R&T actors in all Member States.

The focus of the Preparatory action on Defence research is on defence rather than dual-use; nevertheless it will be complementary with existing EU programmes such as the Specific Challenge "Secure societies – Protecting freedom and security of Europe and its citizens" under Horizon 2020 as well as R&T activities in the Member States and in the European Defence Agency (EDA).

EU funding in the context of the Preparatory action on Defence research can only be used for R&T activities related to defence technologies, products and systems, and not to fund military operations.

On the basis of the objectives given in the 2017 budgetary remark for Item 02 04 77 03 — 'Preparatory action on Defence research' this work programme contains the actions to be financed and the budget breakdown for year 2017 as follows:

#### A. For procurement (implemented under direct management):

Study to support the monitoring of the implementation of the 'Preparatory action on Defence research'

Dissemination activities expenses related to the 'Preparatory action on Defence research'

#### B. For actions implemented in indirect management :

Management of actions (research projects) on behalf of the EU: this action shall be implemented by the European Defence Agency (EDA) through a delegation agreement to be signed between EDA and the Commission on behalf of the European Union in 2017.

The Agency will run a limited number of actions on behalf of the Union in the following areas:

- One action (technology demonstrator) in the area of unmanned systems - enhanced situational awareness in a naval environment.
- One or more actions concerning research in technologies and products in the context of force protection and soldier systems.
- One action to develop a methodology and perform a strategic technology foresight.

The main focus of the PADR 2017 is the first topic which calls for the launch of one complex project. The project aims to show the added value of unmanned systems in enhancing situational awareness while operating alongside and communicating with other manned and unmanned systems.

In the context of technology research, products related to force protection and soldier systems, there is a second topic. This second topic focuses on:

- future generic open soldier system reference architecture
- technology advancements in tailor-made blast, ballistic and Chemical, Biological, Radiological and Nuclear (CBRN) protection of military personnel
- novel developments in active and passive military camouflage methods.

Finally, the third topic will call for proposals to start the strategic technology foresight. It needs to propose and validate a methodology and/or process to gather data. These foresight activities will be carried out on a recurring basis. They will be used to develop realistic scenarios of potential future conflicts which will help scoping EU-funded defence research.

*C. For other actions or expenditures:*

Experts support expenses related to the 'Preparatory action on Defence research': An expert group composed by governmental experts in defence shall provide support to the Commission for the implementation of the 'Preparatory action on Defence research' on aspects related to the content definition, the governance and the modalities, including aspects related to the assessment of the action. Ad hoc working groups may be set up in order to provide advice on specific technical aspects, including for issues related to the security and the treatment of classified information. Support shall extend to technical and administrative assistance from individual experts.

In 2018, the budget is planned to fund optional technology research modules related to the technology demonstrator project to be already funded under the 2017 budget, two projects in the area of critical defence technologies and one project with the objective to identify future technology dependencies and provide roadmaps for addressing them.

**5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	11 April 2017
Publication of call for proposals / call for tender	May/June 2017
Closing date of call for proposals / call for tender	September 2017
Award decision	December 2017
Pre-financing payment(s)	December 2017
Interim payment(s)	December 2018
Final payment(s)	December 2019
Projects duration (for grants)	between 12 and 36 months

## 2018 appropriations

Step	Date
Adoption of financing decision	February 2018
Publication of call for proposals / call for tender	May 2018
Closing date of call for proposals / call for tender	July 2018
Award decision	October 2018
Pre-financing payment(s)	October 2018
Interim payment(s)	October 2019
Final payment(s)	October 2020
Projects duration	12 to 24 months

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

For the year 2017, Commission Decision C(2017) 2262 adopted on 11 April 2017 sets out the main elements for the implementation of the preparatory action. The financing decision and its annexe were published on Europa and includes descriptions of 3 topics for which calls for proposals will be launched in June 2017.

[http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item\\_id=9124&lang=en](http://ec.europa.eu/growth/tools-databases/newsroom/cf/itemdetail.cfm?item_id=9124&lang=en)

#### - Do similar activities exist, which are already covered by a legal basis?

No

There are no similar activities in defence research covered by a legal basis. A Pilot Project on CSDP research has been funded under the EU budget for the years 2015 and 2016.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Yes. In its Communication on a 'European Defence Action Plan' (COM(2016)950 Final of 30 November 2016), the Commission foresees the development "in the post-2020 EU multiannual financial framework of a dedicated EU programme for a" research window to fund collaborative defence research projects at the EU level, based on the experience of the Preparatory Action.

### 5.4 Type of activities financed, number and amounts

As 2017 is the first year of implementation of the preparatory action, these details are not yet known. The indicative breakdown of resources and planning for the years 2017 and 2018 is as follows:

#### 2017 appropriations

Title / Action	Procedure	Indicative budget (EUR)
<b>Direct management:</b>		
Study to support the monitoring of the implementation of the 'Preparatory action on Defence research'	Framework contract or open call for tender, to be published May 2017	350 000
Dissemination activities	Not more than 5 contracts (direct contracts or specific contracts under framework contracts) are expected to be awarded in Q4 2017.	100 000
Expert group activities	Reimbursement governmental experts	50 000
<b>Indirect management:</b>		

<b>Title / Action</b>	<b>Procedure</b>	<b>Indicative budget (EUR)</b>
Technological demonstrator for enhanced situational awareness in a naval environment – Core project	Open call for proposals	15 500 000
Force protection and advanced soldier systems beyond current programmes	Open call for proposals	6 780 000
The European Defence Research Runway	Open call for proposals	950 000
Expert contracts for assistance in evaluations	Continuous call for applications	100 000
Remuneration in the frame of the delegation agreement	European Defence Agency	1 170 000

#### 2018 appropriations

<b>Title / Action</b>	<b>Procedure</b>	<b>Indicative budget (EUR)</b>
<b>Direct management</b>	<b>Contracts</b>	<b>100 000</b>
<b>Indirect management:</b>		
a) Grants	Open call for proposals, expected to be published May 2018	37 900 000
b) Expert contracts for assistance in evaluations	Continuous call for applications	100 000
Remuneration in the frame of the delegation agreement	European Defence Agency	1.-900 000

#### 5.5 Method of implementation (tenders, grants, other) and evaluations

The action will be mainly implemented through grants; tenders may also be used only where necessary or appropriate. The projects will produce reports, tangible and intangible results in the field of defence technologies which will be evaluated along the lines of the processes already developed within the framework of the EU framework programmes for research. EDA will produce regularly reports on the progress of the projects and the overall execution of the programme, to be taken into account in the evaluation of the implementation of the action.

#### 5.6 Types of beneficiaries

Entities from the private and public sector such as enterprises (including SMEs), research and technology organisations, academia. All these entities should be established and based in the EU and associated countries to the Preparatory Action on defence research

## 1. Heading

### 04 03 77 18: Preparatory action — Social solidarity for social integration

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	750 000	p.m.	750 000	0,0 %	0,0 %
Reserve								
Total	0,00	0,00	p.m.	750 000	p.m.	750 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015 budget. It follows a pilot project implemented in 2011-2012 (budget line 04 03 77 08).

#### Objectives followed during 2016 and previous years' implementation:

Building on the achievements of the EMIN pilot project, the preparatory action aims to further support the implementation of the 2008 Commission Recommendation on the active inclusion of people excluded from the labour market, with a special focus on the provisions concerning adequate income support by raising awareness of national policy makers, social partners, stakeholders and NGOs of the need for adequate and activating minimum income schemes.

The preparatory action aims at organising awareness raising initiatives such as conferences, media coverage, policy debates, mutual learning and exchanges of best practises at European level and at national level.

At European level, the initiatives should aim at providing support and coordination for the national platforms as well as building a European dialogue with the EU institutions and bodies, EU level NGOs, social partners and other relevant stakeholders.

At national level, the initiatives should be carried out through further reinforcement of the existing national platforms developed under the EMIN project in all European Member States. The focus of the awareness raising initiatives should be on the improvement of the adequacy, coverage and take-up of minimum income schemes, and on raising awareness at national level of the common methodology on reference budgets. Priority of action should be given to Member States where the adequacy and coverage of minimum income schemes is below the EU average as well as Member States that have received Country Specific Recommendations (CSRs) related to the improvement of adequacy and coverage of minimum income schemes. Increased support should also be given to national platforms in Member States where there is limited stakeholder involvement in the policy debates on minimum income schemes. These Member States shall at least be the following: BG, CZ, EL, EE, ES, HU, IE, IT, LT, LV, PL, PT, RO, SK, SI.

The objectives of the contract should be achieved through:

- Strengthening the capacity of the national minimum income networks made of national authorities, NGOs, social partners and other relevant stakeholders including the direct participation of people experiencing poverty, based

on participatory approaches in the 28 EU Member States to develop an implementation strategy of the recommendations that have emerged from the 2012-2014 pilot project;

- Strengthening the links with the EU2020 process by following up on the implementation of the relevant CSRs;
- Developing the awareness raising on minimum income schemes focusing on coverage, adequacy and take-up;
- Engaging a European-level dialogue on minimum income schemes involving European stakeholders, as well as representatives of the European institutions and the committees through regular meetings and exchanges of views;
- Promoting the common methodology on reference budgets at local, national and EU-level to ensure adequacy of minimum income support;
- Promoting horizontal exchanges between the national platforms to improve mutual learning and exchange of best practices.

#### **Intentions for the current year 2017:**

The call for tender was evaluated in 2016 and the contract was awarded on 22 December 2016.

Implementation started as of January 2017 and the requested inception report was submitted on 20 March. The evaluation of the inception report and the first payment were made in April 2017.

According to the scheduled activities, implementation will last until 21 December 2018.

#### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	13 October 2015
Publication of call for proposals / call for tender	10 February 2016
Closing date of call for proposals / call for tender	22 March 2016
Award decision	December 2016
Pre-financing payment(s)	No pre-financing payment
Interim payment(s)	- 1st interim payment – April 2017 - 2nd interim payment – December 2017 – January 2018 - 3rd interim payment – June 2018
Final payment(s)	February 2019

#### **5.3 Documentation and expected results**

##### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The call for tender VT/2015/067 was published on the Europa website: <http://ec.europa.eu/social/main.jsp?catId=624&langId=en&callId=472&furtherCalls=yes>

The procurement documents are available for unrestricted and full direct access, free of charge, at:

<https://etendering.ted.europa.eu/cft/cft-display.html?cftId=1289>

The implementation of the project can be followed at the website of the project:

<https://emin-eu.net/>

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### **5.4** *Type of activities financed, number and amounts*

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Preparatory action: "Social solidarity for social integration" (VC/2016/0643)	EAPN-European Anti-Poverty Network	1 499 887,50

#### **5.5** *Method of implementation (tenders, grants, other) and evaluations*

Open call for tender

#### **5.6** *Types of beneficiaries*

The call for tender was open to NGOs, private provider, consortium of stakeholders, etc.

The beneficiary is an international NGO (network composed by national NGOs).



## 1. Heading

### 04 03 77 23: Preparatory action — Reactivate — Intra-Union mobility programme for unemployed over-35s

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 996 244,18	1 198 497,67	2 500 000	1 500 000	p.m.	1 500 000	-100,0 %	0,0 %
Reserve								
Total	2 996 244,18	1 198 497,67	2 500 000	1 500 000	p.m.	1 500 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 implementation:

This preparatory action aims to test the feasibility of an intra-EU job mobility scheme for the age group above 35 years, with a particular focus on unemployed citizens, including long-term unemployed. Similar to the targeted mobility scheme "Your first EURES job"(YFEJ), Reactivate intends to help adults to find job, traineeship or apprenticeship opportunities in other EU-28 countries and foster their full integration into the labour market.

Building on the YFEJ features and objectives (help young jobseekers under 35), "Reactivate" aims also to be a pilot extension of that scheme. It will be tested as an EU labour market activation measure, combining tailor-made recruitment, matching and placement services with financial incentives. The support measures may vary according to labour market needs but should at least include provisions for interviews abroad, relocation, linguistic training as well as work and country integration support. Beneficiaries may be jobseekers and job changers as well as legal entities (SMEs, etc.). The financial incentives may be based on simplified cost options, reimbursement of actual declared costs or a mix. Placements shall have a duration of not less than 6 months, whilst the scheme also envisages to provide post-placement support for a determined duration.

In 2016 the action was implemented through an open call for proposals already published under the reference VP/2016/011.

#### Intentions for the current year 2017:

The objective of the 2017 call is to ensure the continuity of the preparatory action for the second year. The action aims to test the feasibility of an intra-EU job mobility scheme for the age group above 35 for the placement of EU citizens over 35 years in jobs, traineeships or apprenticeships in an EU country other than their country of residence.

The action will prioritise citizens who are in a vulnerable situation in the labour market, notably unemployed people, including long-term unemployed.

Projects can cover all economic sectors and types of occupations, though specific sectors may be given priority by the applicant organisations in accordance with the demand side or the supply side needs. The action will be implemented

through an open call for proposals already published under the reference VP/2017/012. Awardable proposals may have up to 18 months duration (starting from Q1/2018) and shall be carried out by consortia ensuring a geographical coverage of at least 3 different Member States. Applicants may be private and public employment services, in particular members of the EURES network, and other actors on the labour market.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

Step	Date
Adoption of Financing Decision 2016	27/04/2016
Publication of the call for proposals	27/04/2016
Submission deadline	01/07/2016
Signature of grant agreements	October 2016
Start of projects	November 2016
Initial pre-financing instalment paid	November 2016
Interim payment(s)	Second quarter 2017
Final payment(s)	Third quarter 2018

2017 appropriations

Step	Date
Adoption of financing decision	22 March 2017
Publication of call for proposals	31 March 2017
Closing date of call for proposals	23 June 2017
Award decision	September 2017
Start of projects	Fourth quarter 2017
Pre-financing payment(s)	Fourth quarter 2017
Interim payment(s)	Third quarter 2018
Final payment(s)	Third quarter 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

For 2016 appropriations: Commission Decision C(2016)2449 of 27/04/2016 sets out the main elements for the implementation of the preparatory action.

The call for proposals VP/2016/011 was published on the Europa Website:

<http://ec.europa.eu/social/main.jsp?catId=629&langId=en&callId=485&furtherCalls=yes>

For 2017 appropriations: Commission Decision C(2017)1801 of 22/03/2017 sets out the main elements for the implementation of the preparatory action.

The current call for proposals VP/2017/012 is available at DG EMPL's Europa pages: <http://ec.europa.eu/social/main.jsp?catId=629&langId=en&callId=514&furtherCalls=yes>

### **- Do similar activities exist, which are already covered by a legal basis?**

Yes, the targeted mobility scheme "Your first EURES job" in the framework of EURES, covered by the EaSI-Programme (Regulation (EU) No 1296/2013).

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 Type of activities financed, number and amounts

2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Reactivate and Relocate (VS/2016/0250)	Arbetsförmedlingen (SE)	1 101 794,18
Reactivate (VS/2016/0252)	Città metropolitana di Roma Capitale (IT)	750 000
REACTIVATE - A tool to work abroad - Boost your mobility (VS/2016/0360)	Pôle emploi (FR)	1 144 450

For 2017 appropriations, as the procedure is ongoing, these details are not yet known.

#### 5.5 Method of implementation (tenders, grants, other) and evaluations

In 2016 and 2017 the preparatory action is implemented by way of calls for proposals.

#### 5.6 Types of beneficiaries

Lead applicants: public or private employment service or an organisation specialised in work-based placements whose core activity is the provision of information, recruitment, matching, placement and pre- and post-placement support to jobseekers, job changers, trainees, apprentices and employers.

Co-applicants: public or private organisation, including social partners, providing the same services as lead applicants and/or complementary customer-oriented services in other support fields such as information, training, education, career guidance, mentoring, legal advice, integration support or other equivalent.

## 1. Heading

### 06 02 77 14: Preparatory action — Towards a single and innovative European transport system

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	207 885,00	p.m.	500 000	p.m.	1 100 000	0,0 %	120,0 %
Reserve								
Total	1 500 000,00	207 885,00	p.m.	500 000	p.m.	1 100 000	0,0 %	120,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015 and 2016 budgets (originally under budget line number 08 02 77 04).

#### Objectives followed during 2016 and previous years' implementation:

The aim of the preparatory action adopted in 2015 was to identify the barriers that impede the development of a truly optimised single European transport system and to propose a set of actions which, collectively, can help to accelerate progress.

Two studies on barriers and related action plans as well as assessments and comparisons with 3rd countries were carried out in 2016 and will be finalised in March 2017. Stakeholders' workshops will be organised along 2017 through a Commission framework contract.

The continuation of the preparatory action, adopted in 2016, will demonstrate the link between EU research and innovation (R&I) funded projects' results and the actual deployment of innovative solutions in support of the creation of a single and innovative transport system, with a focus on multimodality.

#### Intentions for the current year 2017:

The 2017 financial year will be focused on finalising the tender procedure for the 2016 appropriations and signing the contract, while the first interim payment is planned in 2018.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	19 May 2015
Publication of calls for tender	28 August 2015
Closing date of calls for tender	20 October 2015

Step	Date
Award decisions / contract signatures	February 2016
Interim payment	October 2016
Final payment	May-June 2017
Pre- financing for events of the preparatory action	January 2017
Final payment for events of the preparatory action	November 2017

#### 2016 appropriations

Step	Date
Adoption of financing decision	14 July 2016
Publication of call for tender	25 November 2016
Closing date of call for tender	2 February 2017
Award decision/ contract signature	September 2017
Interim payment	Sept-Oct 2018
Final payment	Oct-Nov 2019

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision C(2015)3253 of 19/05//2015 sets out the main elements for the implementation of the preparatory action. The technical specifications are detailed in the Tender Specifications published in August 2015 (Contract notice in OJEU 2015/S 166-302371 of 28/08/2015).

The Commission financing decision C(2016)4343 of 14/07/2016 adopts the continuation of the action. The technical specifications are provided in the Tender Specifications published in November 2016 (Contract notice in OJEU 2016/S 228-414647 of 25/11/2016).

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

#### 2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Study: Towards a single and innovative European Transport system: Lot 1	The Joint Institute for Innovation Policy in partnership with Technology Partners Foundation and Rupprecht Consult-Forschung und Beratung GmbH c/o Robbert Fisher, Managing Director	449 650
Study: Towards a single and innovative European transport system: Lot 2- International Assessment and Action Plans of the Focus Areas	VDI/VDE Innovation + Technik GmbH c/o Dr Gereon Meyer	243 300

The preparatory action budget has been split as follows: EUR 692 950 for the financing (through an open call for tender) of the two studies highlighted above, and EUR 240 873 through a Commission Framework contract to organise several stakeholder workshops.

As the procurement procedure for the 2016 appropriations is still ongoing, these details are not yet known.

### ***5.5 Method of implementation (tenders, grants, other) and evaluations***

Open tenders and framework contract.

### ***5.6 Types of beneficiaries***

The contractors of the two studies are respectively a consortium of three research organisations and consultancies, and a private company. A service private company organises the stakeholders' events within an existing framework contract.

The contractor for the continuation of the preparatory action will be identified following the evaluation of the received tender offers.

## 1. Heading

### 08 02 77 06: Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	600 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %
Reserve								
Total	600 000,00	0,00	p.m.	400 000	p.m.	400 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 Budget.

#### Objectives followed during 2016 and intentions for the current year 2017:

The aim of the preparatory action is to carry out policy research at EU level into the status quo in all Member States to identify areas for action, recommendations or draw political conclusions.

The policy study is intended to deal with issues of social dialogue and social protection from an inter-generational perspective. It will aim to determine how, where and to what extent representatives of the youth and senior citizens can articulate the interests of their large population groups in Member States in order to contribute (or co-determine) policies relevant to social security systems, health care and wider demographic trends.

The results pertain to policy recommendations for increasing political participation and representation of older and younger generations and the assessment of potential impact in social security systems.

A call for proposals will be published and evaluated.

The overall objective of this action is to coordinate research and networking activities, and promote dissemination and use of knowledge as regards the political participation of seniors and the young in EU Member States, and social dialogue with a view to inter-generational solidarity.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	30 November 2016
Publication of call for proposals	19 April 2017
Closing date of call for proposals	29 May 2017
Award decision	November/December 2017

<b>Step</b>	<b>Date</b>
Pre-financing payment(s)	January 2018
Interim payment(s)	August 2018
Final payment(s)	June 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing Decision C(2016)7642 of 30 November 2016 sets out the main elements for the implementation of the preparatory action.

Documents in relation to the call for proposals have been published on the following website: <http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/pppa/index.html>

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As the procedure (call for proposals) has not yet been launched, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented via a call for proposals (action grants).

### 5.6 *Types of beneficiaries*

Only applications from legal entities established in the EU Member States are eligible. Applications may be submitted by a single legal entity or by a consortium made up of several legal entities.



## 1. Heading

### 09 04 77 05: Preparatory action — Open knowledge technologies: mapping and validating knowledge

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	1 000 000	750 000	p.m.	750 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	1 000 000	750 000	p.m.	750 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2016 and 2017 Budgets. The preparatory action follows a pilot project for which commitment appropriations were voted under the 2014 and 2015 budgets.

The preparatory action follows a pilot project for which commitment appropriations were voted under the 2014 and 2015 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The overall objective of this preparatory action in 2017 is to develop and demonstrate a European-wide learning and assessment technology system, integrating and improving non-cognitive skills development by testing and piloting them for effectiveness in various learning and training contexts.

It should include the following modules:

1. Competence mapping of non-cognitive skills;
2. Tailored learning roadmaps for enhancing the learners' non-cognitive skills via educational services and/or solutions (including specific open educational resources);
3. Mechanisms for measurement/assessment/credentialisation (e.g. by certificate and/or badge) and recognition of the new learning and skills.

The action is expected to develop a European-wide learning technology system to support, enhance and facilitate the learning of non-cognitive skills of European citizens at risk of exclusion, in particular unemployed youth.

A call for proposals was published in 2016, but as no proposal was selected as a result of that call, the action has been republished in 2017.

#### Intentions for the current year 2017:

In addition to the republication of the 2016 call for proposals, a new call for proposals will be launched in 2017 for the 2017 appropriations.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	14 September 2016
Publication of call for proposals	28 September 2016, republished on 15 February 2017*
Closing date of call for proposals	14 November 2016, new closing date 31 March 2017*
Award decision	June/July 2017
Pre-financing payment(s)	Third quarter 2017
Interim payment(s)	2018
Final payment(s)	2019

\*A call for proposals was published in 2016, but as no proposal was selected as a result of that call, the action has been republished in 2017.

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	Second quarter 2017
Closing date of call for proposals r	Second quarter 2017
Award decision	Third quarter 2017
Pre-financing payment(s)	2017
Interim payment(s)	2018
Final payment(s)	2019

## 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2016: The Commission financing decision C(2016) 5730 of 14 September 2016 sets out the main elements for the implementation of the pilot project.

The call for proposals published on 28 September 2016: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-preparatory-action-open-knowledge-technologies-mapping-and-validating-knowledge>

The republication of the call for proposals on 15 February 2017: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-open-knowledge-technologies-mapping-and-validating-knowledge>

2017: The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the pilot project.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 Type of activities financed, number and amounts

2016: as the call for proposals in relation to 2016 was republished and the selection and award process are ongoing, these details are not yet known.

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The action is implemented through action grant(s) to be awarded following calls for proposals.

### *5.6 Types of beneficiaries*

The calls for proposals are open to legal entities established in EU Member States

## 1. Heading

**09 04 77 08: Preparatory action — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	750 000,00	187 500,00	1 000 000	1 000 000	p.m.	700 000	-100,0 %	-30,0 %
Reserve							0,0 %	0,0 %
Total	750 000,00	187 500,00	1 000 000	1 000 000	p.m.	700 000	-100,0 %	-30,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2016 and 2017 budgets. It follows a pilot project (09 04 77 03) financed under the 2014 budget which activities ran until end April 2016.

#### Objectives followed during 2016 and previous years' implementation:

The pilot project has been developing a provisional online web platform. By April 2016 when it ended, the pilot project had created a functioning prototype of the web platform. After the conclusion of the pilot project the first preparatory action has further developed the platform created under the pilot project. It has extended the functionality of the platform and has elaborated new topics to be discussed on this platform beyond the topic on chronic diseases (established in pilot project to test the initial version of the platform).

The platform will be fully developed by April 2017 by the first preparatory action. The topic chosen for a major consultation exercise is Next Generation Internet. The consultation will be launched in April 2017 and will make direct use of the platform. A major event at European Parliament will present the platform and the conclusions of the consultation on next Generation Internet in June 2017.

The preparatory action in 2016 is implemented by a grant without call for proposals to Atomium - European Institute for Science Media and Democracy on account of its technical competence and high degree of specialisation (article 190(1)(f) of the rules of application to the financial regulation).

#### Intentions for the current year 2017:

The second year of the preparatory action will fine-tune the platform to be able to host a variety of topics and will launch consultations on different topics of interest to the European Commission and the European Parliament.

It is foreseen that the 2017 phase of the preparatory action will be implemented in a similar way as in 2016 (grant without call for proposals).

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	10 August 2016
Invitation to submit a grant proposal	22 August 2016
Deadline for submission of a grant proposal	2 September 2016
Grant signature	9 December 2016
Pre-financing payment	December 2016
Interim payment	N/A
Final payment	September 2017

2017 appropriations

Step	Date
Adoption of financing decision for preparatory action	28 April 2017
Invitation to submit a grant proposal	Second Quarter 2017
Deadline for submission of a grant proposal	July 2017
Grant signature	October 2017
Pre-financing payment	November 2017
Interim payment	May 2018
Final payment	December 2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

2016: the commission financing decision C(2016) 5176 of 10 August 2016 sets out the main elements of the preparatory action voted under budget 2016.

Website of the platform: <http://reiresearch.eu/>

2017: the Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the preparatory action.

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Research Excellence Innovation Framework	Atomium - European Institute for Science, Media and Democracy	750 000

### **5.5 *Method of implementation (tenders, grants, other) and evaluations***

The 2016 preparatory action is implemented by way of a grant without call for proposals according to the conditions of article 190(1) (f) of the Rules of Application of the Financial regulation.

The 2017 preparatory action will be implemented by way of grant(s). The Commission is envisaging the award of a grant without call for proposals provided the conditions of article 190(1) (c) or (f) of the Rules of Application of the Financial regulation are met.

### **5.6 *Types of beneficiaries***

A legal entity or a consortium with at least one partner with experience of bringing together scientists, politicians and the media, as well as the capacity to run the platform for bringing together the stakeholders, and with prior experience in setting up such a platform.

## 1. Heading

### 09 04 77 13: Preparatory action — Digital hub network

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 500 000	750 000	p.m.	1 125 000	-100,0 %	50,0 %
Reserve						0,0 %	0,0 %
Total		1 500 000	750 000	p.m.	1 125 000	-100,0 %	50,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The objective of this preparatory action is to enhance the potential of digital hubs and accelerators across Europe in making them work together, develop common projects and construct a digital community that can increase the European competitiveness in this sector. The aim of the action is therefore to connect all the European digital stakeholders and hubs in order to develop an integration and cooperation plan designed to generate common projects and the creation of European digital accelerators that can use the full potential existing across Europe.

The project will try to target the most innovative hubs and accelerators, with high added-value and multiplication effect potential.

The preparatory action will therefore consist in:

- the identification of the network of hubs and accelerators and of their comparative advantages
- the assessment of their current status and their development and cooperation potential
- the creation of an active and animated experts and stakeholders working group
- the generation of a common integration plan based of concrete projects
- the elaboration of policy solutions that can help reinforce the ties and the cooperation between the European hubs and accelerators.

The goal is also to define concrete instruments and mechanisms to mainstream innovation, exploiting the resources available in the network of Digital innovation Hubs in Europe.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	28 April 2017
Publication of call for tender	Third quarter 2017
Closing date of call for tender	Fourth quarter 2017
Award decision	November-December 2017
Pre-financing payment(s)	None
Interim payment(s)	Fourth Quarter 2018
Final payment(s)	Second Quarter 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the preparatory action.

### **- Do similar activities exist, which are already covered by a legal basis?**

No – However, this proposal builds on the Digital Innovation Hubs catalogue (SMART 2016/0002) – <https://ec.europa.eu/digital-single-market/en/news/ex-ante-publicity-call-tenders-digital-innovation-hubs-catalogue-smart-20160002>

and the corresponding portal which will be developed by the JRC

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project will be implemented through procurement, following a call for tenders. The duration of the contract is not expected to exceed 18 months.

## 5.6 *Types of beneficiaries*

One European organisation or a consortium of European organisations. Such organisations might be research and technology organisations; private companies (possibly consulting companies), Universities and other relevant organisations and networks.



## 1. Heading

### 09 04 77 14: Preparatory action — Digital transformation of European industry

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			1 500 000	750 000	p.m.	1 350 000	-100,0 %	80,0 %
Reserve							0,0 %	0,0 %
Total			1 500 000	750 000	p.m.	1 350 000	-100,0 %	80,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The objectives of the preparatory action will be to ensure a coherent, coordinated and sustainable approach, to ensure, develop and enhance better engagement of all relevant stakeholders interested in the digitalisation of European industry (business, academia, research organisations and civil society) and to inform, prepare and help them develop projects designed to face the new transformations.

The preparatory action will target the identification of the industrial competitive advantages and the potential for digital specialisation at sector-level, based on entrepreneurship discovery process(EDP), an inclusive and interactive bottom-up process in which participants from different environments (policy, business, academia, etc) are discovering and producing information about potential new activities, identifying potential opportunities that emerge through this interaction, while policymakers assess outcomes and ways to facilitate the realisation of this potential. The action will target establishing a governance structure and framework for continuous collaboration between businesses and researchers, and support for the preparation of the corresponding policy documents.

The action would cover those regions and sectors heavily affected by digitalization which do not have a digitalization program/plan and those for which their industry capacity needs to be improved.

The aims of this preparatory action are to identify, assess, and support the exploitation of the industrial potential in the digitalization process, and to explore the possibilities of its innovation potential and its expansion.

It is intended to launch and evaluate the call for proposals during 2017. The action will be implemented for the most part in 2018.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017

<b>Step</b>	<b>Date</b>
Publication of call for proposals	May-June 2017
Closing date of call for proposals	September 2017
Award decision	November 2017
Pre-financing payment	January 2018
Interim payment	November 2018
Final payment	September 2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the preparatory action.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented by a call for proposals (grant). The maximum possible rate of co-financing is 90% of the eligible costs of the project and the grant will be for a maximum duration of 18 months.

### 5.6 *Types of beneficiaries*

Legal persons applying either as single beneficiaries or as a consortium made up of several legal entities from EU Member States or associated countries

## 1. Heading

### 15 02 77 16: Preparatory action — Evaluation of higher-education entrepreneurship programmes

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		500 000	250 000	p.m.	400 000	-100,0 %	60,0 %
Reserve						0,0 %	0,0 %
Total		500 000.	250 000	p.m.	400 000	-100,0 %	60,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The overall objective is to foster the development and to improve the quality of entrepreneurship programmes across European Higher Education Institutions, thus contributing to increase an entrepreneurial mind set all over Europe.

The specific objective is to improve the evaluation of higher education entrepreneurship programmes through the inclusion of relevant stakeholders, in particular the students, into the evaluation process.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for tender	May 2017
Closing date of call for tender	-
Award decision	November 2017
Pre-financing payment(s)	N/A
Interim payment(s)	April 2018 and November 2018
Final payment(s)	May 2019

### **5.3** *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission Financing Decision expected to be adopted in May 2017 will set out the main elements for the implementation of the preparatory action.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### **5.4** *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

### **5.5** *Method of implementation (tenders, grants, other) and evaluations*

The implementation will involve the development, implementation and testing of a relevant methodology and respective guidelines and tools supporting the evaluation of higher education entrepreneurship programmes. The item will be implemented through public procurement, either an open for tender or via the use of an existing framework contract.

### **5.6** *Types of beneficiaries*

Higher Education Institutions; consultancy firms; organisations specialised in entrepreneurship education; Foundations

## 1. Heading

### **32 02 77 09: Preparatory action — Strengthening cooperation on climate action among islands within and beyond the Union through the creation of an island identity within the Global Covenant of Mayors**

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve							
Total		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were adopted for the first year under budget 2017.

#### Intentions for the current year 2017:

The objective of this new preparatory action is to make islands front-runners on clean energy transition and showcase solutions to this end at European level. The ultimate aim is to help islands to move to the production of electricity from local clean energy sources and to become autonomous, to the greatest possible extent, in energy supply.

Within the action, the Commission will work in close co-operation with core Member States and islands' local authorities with the aim of setting up appropriate plans to carry the initiative forward. A European Energy Islands Forum will be established in order to showcase advances, encourage networking, share experiences and transfer knowledge and know-how to the islands themselves. The Forum will also allow technology providers to reach potential clients.

The contract will include the implementation of a support structure and the following actions:

1. To benchmark generation sources, costs, subsidies and prices;
2. To perform a cost-benefit analysis of moving from a fossil fuel (notably diesel oil) based energy systems towards a higher share of renewables in generation capacity;
3. To prepare replicable transition plans and related capacity building for selected island types;
4. To provide logistics and communication support in organising the Forums.

It is foreseen to implement the preparatory action by way of procurement and in two stages.

The first contract is expected to be signed in July 2017 and the second one in May 2018.

- 1) Islands Conference in Crete, to be seen as a preparatory event with key stakeholders to establish the European Energy Islands Forum and a smaller-scale follow-up event in Brussels or Bonn end of 2017. Budget foreseen: EUR 100 000.

- 2) The contractor will set up a small secretariat that will:
- organise the European Energy Islands Forum in 2018 and 2019;
  - provide capacity building, communication and related support services to the Commission and the island stakeholders;
  - perform a study on benchmarking generation sources, costs, subsidies and prices, including a cost-benefit-analysis. The contractor may resort to outside experts/subcontracting to complement the secretariat staff for this deliverable.

Budget foreseen: EUR 1 900 000.

For the second contract, a global commitment is foreseen before the end of 2017.

In terms of the type of contracts, it is currently expected that the first contract will be a specific contract based on a framework contract and the second contract will be a new contract following an open call for tenders.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of the Commission financing decision	June 2017
<b>First stage: Event will take place 3rd quarter 2017 and will be implemented by use of existing Framework Contract</b>	
Request for Service	Mid-June 2017
Deadline for submission of offer	end of June 2017
Signature of the contract	July 2017
Pre-financing payment	July 2017
Final payment	December 2017
<b>Second stage: Open call for tenders</b>	
Publication of call for tenders	November 2017
Deadline for the submission of tenders	January 2018
Signature of the contract	May 2018
Contract duration	24 months
Pre-financing payment	n/a
Interim payment 1 (inception report – 10%)	August 2018
Interim payment 2 (interim report 1 – 30 %)	December 2018
Interim payment 3 (interim report 2 – 30%)	December 2019
Final payment	June 2020

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in June 2017, will set out the main elements for the implementation of the preparatory action.

### **- Do similar activities exist, which are already covered by a legal basis?**

The Pact of Islands was financed through a previous preparatory action ‘European Islands for a common energy policy’. The grant agreement ran from 1/1/2010 until 30/11/2012.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No. However the issue is specifically addressed in the 'Clean Energy For All Europeans' package (Communication COM(2016) 860 final of 30.11.2016).

**5.4 *Type of activities financed, number and amounts***

As 2017 is the first year of implementation, these details are not yet known.

**5.5 *Method of implementation (tenders, grants, other) and evaluations***

The first stage of the project will be implemented through a specific contract based on a framework contract and the second contract will be a service contract following an open call for tenders.

**5.6 *Types of beneficiaries***

Consulting firms, academia, innovation companies

## **Heading 1b – Economic, Social and Territorial Cohesion**



## 1. Heading

### 01 02 77 01 :Preparatory action — Capacity development and institution building to support the implementation of economic reforms

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	3 000 000,00	774 477,00	p.m.	1 000 000	p.m.	700 000	0,0 %	-30,0 %
Reserve								
Total	3 000 000,00	774 477,00	p.m.	1 000 000	p.m.	700 000	0,0 %	-30,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were agreed for a preparatory action under the 2016 Budget.

#### Objectives followed during 2016 and previous years' implementation:

As per the budgetary remark in the 2016 Budget, the objective of the preparatory action is to provide support to Member States to enhance their capacity to implement reforms and to strengthen their public institutions.

The support focuses in particular on fiscal, structural and institutional reforms, which have been identified as priorities in the course of the Union's regular cycle of macroeconomic surveillance, in the course of an economic adjustment programme, during enhanced surveillance, or during post-programme surveillance. The support will be delivered by the Commission upon request of the Member State concerned and is available to all Member States.

Several projects were financed in 2016 in various areas. Projects included notably:

- Support for financial sector reform such as projects to enhance capital market supervision, to develop risk appetite guidelines, and to improve the monitoring of non-performing loans (NPLS);
- Support for growth-enhancing reforms, such as projects to improve the governance of State-Owned Enterprises, to provide training on public investments evaluation, and to improve the design and monitoring of structural reforms;
- Support for spending reviews; and
- Support for health care reform, such as to assist with the reorganization of non-clinical services in hospitals.

#### Projects identified for the current year 2017:

The same objectives will be pursued in 2017, namely to provide support to Member States to enhance their capacity to implement reforms and to strengthen their public institutions.

Several projects are planned to be financed in 2017 and notably include:

- Support for financial sector reforms, such as projects to assess capital markets development, to improve bank business models and to improve anti-money-laundering procedures;

- Support for growth-enhancing reforms, such as projects to support the creation of a centralized business register, to improve the investment climate, to support energy reforms, and to improve procurement and e-government procedures;
- Support for social reforms, such as projects to support the disability reforms, to improve labour market modelling, to support long-term reform and to support health care reforms; and
- Support for spending reviews.

On 26 November 2015, the Commission tabled a proposal for a basic act in line with the actions under this preparatory action as of 2017<sup>8</sup>.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

Step	Date
Adoption of financing decision	C(2016)4011 of 30/06/2016 amended by C(2017)304 of 26/01/2017
Grants identified in the financing decision	Grant agreements for EUR 1 143 414 were signed by 31/12/2016, EUR 925 000 will be signed in 2017
Procurement contracts	Contracts for EUR 258 876 were signed by 31/12/2016 and EUR 672 710 will be signed before 31/12/2017
Pre-financing payment(s)	EUR 774 477 was paid in 2016, EUR 404 500 is expected to be paid in 2017
Interim payment(s)	EUR 307 762 is expected to be paid in 2017 and EUR 100 000 in 2018
Final payment(s)	EUR 287 738 is expected to be paid in 2017 and EUR 600 000 in 2018, the balance will be paid in 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The financing decision for the preparatory action C(2016)4011 of 30/06/2016 and its amendment C(2017)304 of 26/01/2017 provide details about the main elements of the projects that have been or will be financed.

### **- Do similar activities exist, which are already covered by a legal basis?**

No. This is the reason why a decision has been taken to establish a Structural Reform Support Programme with the objective of strengthening the capacity of Member States to prepare and implement growth-enhancing administrative and structural reforms, including through assistance for the efficient and effective use of the Union funds. In addition, the avoidance of possible overlapping activities with other instruments is ensured through the customary inter-service consultation for the approval of the financing decisions.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

On 26 November 2015, the Commission tabled a proposal for a legal base in line with the actions under this preparatory action as of 2017 (*COM (2015) 701 final – proposal for a Regulation of the European Parliament and of the Council on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013*).

## 5.4 *Type of activities financed, number and amounts*

<sup>8</sup> COM (2015) 701 final – proposal for a Regulation of the European Parliament and of the Council on the establishment of the Structural Reform Support Programme for the period 2017 to 2020 and amending Regulations (EU) No 1303/2013 and (EU) No 1305/2013

## 2016 appropriations

Title / Action	Contractor	EUR (maximum)
<b>Legal commitments signed in 2016</b>		
1) Support the spending review	1) IMF	1) 300 000
2) Improving the governance of state-owned enterprises	2) EBRD	2) 273 414
3) Enhancing the capital markets supervision function	3) World Bank	3) 320 000
4) Assisting in developing and implementing risk appetite guidelines for credit institutions	4) EBRD	4) 150 000
5) Improving the monitoring of non-performing exposures (NPE) loans of small- and medium- sized enterprises	5) World Bank	5) 100 000
	<b>Total grants</b>	<b>1 143 414</b>
6) Low value procurement contracts	6)	6) 258 876
	<b>Total procurement</b>	<b>258 876</b>
	<b>Total grants + procurement signed in 2016</b>	<b>1 402 290</b>
<b>Forecast legal commitments to be signed in 2017</b>		
7) Capital market assessment	7) World Bank	7) 100 000
8) Investment policy review	8) OECD	8) 275 000
9) Central business registers	9) WB	9) 300 000
10) Spending review	10)	10) 250 000
	<b>Total grants</b>	<b>925 000</b>
11) Low value procurement contracts	11)	11) 672 710
	<b>Total procurement</b>	<b>672 710</b>
	<b>Total expected grants + procurement in 2017</b>	<b>1 597 710</b>
	<b>GENERAL TOTAL</b>	<b>3 000 000</b>

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action covers the implementation of technical assistance programmes and projects by national and international public organisations with strong expertise in capacity development and institution building and by private sector actors. It may also be used to cover expenditure related to the preparatory phases of such programmes and projects, and short-term technical assistance. The actions are implemented through grant agreements and procurement contracts.

### 5.6 Types of beneficiaries

The direct beneficiaries of the actions are central governments ministries (notably ministries of finance, economic affairs, labour, social welfare etc.) and public executive bodies that are responsible for the implementation of economic reforms, which have been identified as priorities in the course of the EU's cycle of integrated economic surveillance and other governance processes.

## 1. Heading

### 09 04 77 09: Preparatory action — Smart factories in Eastern Europe

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	0,00	p.m.	1 500 000	p.m.	1 300 000	0,0 %	-13,3 %
Reserve							0,0 %	0,0 %
Total	2 000 000,00	0,00	p.m.	1 500 000	p.m.	1 300 000	0,0 %	-13,3 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objective of the preparatory action is two-fold. On the one side, it will focus on developing a sustainable approach to engage a critical mass of relevant actors from Eastern Europe of the European Union such as industry, academia, RTOs, regional authority and civil society to set up new Digital Innovation Hubs. These hubs are built around research institutes, universities labs, and other relevant organisations and networks focused on the application of advanced ICT to SMEs and mid-sized companies and support them with their digital transformation, so that they can become a smart factory.

The second objective is to carry out a survey to identify the industrial competitive advantage of Eastern Europe at a regional level to support the preparation of industrial policy documents

The procurement procedure (call for tenders) was launched in 2016.

#### Intentions for the current year 2017:

Following the completion of the evaluation procedure, the contract has been signed in the second quarter of 2017, and activities will start.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	14 July 2016
Publication of call for tender	12 August 2016
Closing date of call for tender	14 October 2016
Award decision	21 April 2017
Pre-financing payment(s)	-

Step	Date
Interim payment(s)	4th quarter 2017 and 2nd quarter 2018
Final payment(s)	4th quarter 2018

### 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision C(2016)4258 of 14 July 2016 sets out the main elements for the implementation of this preparatory action. Smart factories in new EU Member States

The call for tenders [SMART 2016/1005](#) was published on TED on 12/08/2016 (contact notice 2016/S 155-280015).

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
SMART 2016/1005 "Smart Factories in new EU Member States"	PwC EU Services EESV Woluwe Garden, Woluwedal 18, B-1932 Sint-Stevens Woluwe, Brussels, Belgium	1 797 250

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is being implemented by way of procurement (call for tenders).

### 5.6 Types of beneficiaries

European companies such as SMEs and mid-caps; research and technology organisations; university labs and other relevant organisations and networks

## 1. Heading

### 13 03 77 07 :Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	115 073,68	p.m.	p.m.	p.m.	773 558	0,0 %	
Reserve								
Total	0,00	115 073,68	p.m.	p.m.	p.m.	773 558	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2011, 2012 and 2013 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The resources allocated to this preparatory action facilitate a governance system for the EU Strategy for the Danube Region which is stable, effective, inclusive and innovative.

The preparatory action has been implemented jointly through grants to the Priority Area Coordinators, which hold a de facto monopoly position in the Strategy work. The Priority Area Coordinators (PACs) are national or regional public bodies. Their appointment is based on an agreement by all countries involved in the Strategy.

The budget was used to ensure a stable implementation of all Priority Areas, including sufficient staffing of Priority Area Coordinators, coordination meetings and stakeholder seminars.

#### Intentions for the current year 2017:

Most of the Grant Agreements have been closed now and final payments were done. The remaining final reports will be assessed and the related final payments are foreseen early 2018.

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2011 appropriations

Step	Date
Adoption of financing decision	20/06/2011
Publication of call for proposals / call for tender	N/A (direct grants)
Closing date of call for proposals / call for tender	N/A (direct grants)
Award decision	20/06/2011

Step	Date
Pre-financing payment(s)	1st quarter 2012
Interim payment(s)	2013
Final payment(s)	2014

#### 2012 appropriations

Step	Date
Adoption of financing decision	02/08/2012
Publication of call for proposals / call for tender	N/A (direct grants)
Closing date of call for proposals / call for tender	N/A (direct grants)
Award decision	12/12/2012
Pre-financing payment(s)	N/A
Interim payment(s)	2014
Final payment(s)	2015

#### 2013 appropriations

Step	Date
Adoption of financing decision	27/09/2013
Publication of call for proposals / call for tender	N/A (direct grants)
Closing date of call for proposals / call for tender	N/A (direct grants)
Award decision	06/12/2013
Pre-financing payment(s)	07/02/2014
Interim payment(s)	2014
Final payment(s)	2016-2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The main elements are laid out in the financing decisions of 2011-2013. Priority Area Coordinators report on an annual basis on the implementation of the governance system and the flagship projects:

2011 - Financing decision C(2011) 4149 of 20 June 2011

2012 - Financing decision C(2012) 5382 of 2 August 2012

2013 - Financing decision C(2013) 6180 of 27 September 2013

The website of the EU Strategy for the Danube Region provides full documentation of the activities of each Priority Area Coordinator, including meetings, action plans and annual implementation reports (<http://danube-region.eu/>).

#### - Do similar activities exist, which are already covered by a legal basis?

In the period 2007-2013 there was no possibility to support similar activities. However, as of the period 2014-2020 respective transnational cooperation programme "Danube Transnational Programme 2014-2020", financed by ERDF, is able to support the implementation of macro-regional strategies, through projects and governance support. In 2014 the budget line 13 03 68 (Macro regional strategies 2014-2020: European strategy for the Danube region — Technical assistance) under the ERDF was used to bridge the period until the transnational cooperation programme started and gave support to complementary activities for the governance structure.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

In the long term, follow up activities shall be taken up by the Interreg Danube Programme (Interreg transnational programme). Several cooperation programmes in the 2014-2020 programming period are fully matching the macro-regional strategies in the Baltic, Danube, Adriatic-Ionian and Alpine areas. Not only in terms of geography, but they are also meant to provide key support to their implementation. In this context, all four programmes have included a Priority Axis based on thematic objective 11, which provides support to the key implementers and other horizontal activities. This support will take different shapes in each programme, taking into account the specificities and stages of implementation of each macro-regional strategy. In the case of the Danube programme, Axis 4 (SO 4.2) includes three main packages; one is dedicated to the financial support to the Priority Area Coordinators.

**5.4 Type of activities financed, number and amounts**

2011 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
PA 1a Inland Waterways	Austria	100 000,00
PA 1b Rail-Road-Air Mobility	Slovenia/Serbia	200 000,00
PA 2 Sustainable Energy	Hungary/Czech Republic	200 000,00
PA 3 Culture & Tourism	Romania/Bulgaria	199 380,89
PA 4 Water Quality	Hungary/Slovakia	200 000,00
PA 5 Environmental Risks	Hungary/Romania	200 000,00
PA 6 Biodiversity & Landscapes	Bavaria/Croatia	200 000,00
PA 8 Competitiveness of Enterprises	Baden-Württemberg / Croatia	200 000,00

2012 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
PA 1a Inland Waterways	Austria	158 000,00
PA 1b Rail-Road-Air Mobility	Slovenia/Serbia	158 000,00
PA 2 Sustainable Energy	Hungary/Czech Republic	158 000,00
PA 3 Culture & Tourism	Romania/Bulgaria	156 948,00
PA 5 Environmental Risks	Hungary/Romania	158 000,00
PA 6 Biodiversity & Landscapes	Bavaria/Croatia	158 000,00
PA 7 Knowledge Society	Slovakia/Serbia	158 00000
PA 9 People and Skills	Austria	158 000,00

2013 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
ANNUAL FORUM, TECHNICAL ASSISTANCE FACILITY AND DANUBE FINANCING	Stadt Wien	1 000 000

**5.5 Method of implementation (tenders, grants, other) and evaluations**

The assistance is implemented through grants to the Priority Area Coordinators (PACs), which hold a de facto monopoly position in accordance with article 190 of the Rules of application to the Financial Regulation.

**5.6 Types of beneficiaries**

The assistance is implemented through grants to the Priority Area Coordinators (PACs), which hold a de facto monopoly position in the Strategy work. The PACs are national or regional public bodies.



## 1. Heading

**13 03 77 12: Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	1 563 697,11	p.m.	1 234 347	p.m.	1 093 974	0,0 %	-11,4 %
Reserve								
Total	0,00	1 563 697,11	p.m.	1 234 347	p.m.	1 093 974	0,0 %	-11,4 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2013, 2014 and 2015 budgets. The preparatory action is the continuation of the pilot project 13 03 77 08 (2011-2012).

#### Objectives followed during 2016 and previous years' implementation:

The preparatory action aims at facilitating the implementation of the EU Strategy for the Danube Region. The objective of the preparatory action as described in the budgetary remarks is to organise or develop:

- Training programmes, seminars for young people with the aim of emphasising the common regional identity of the Nations living in the Danube macro-region; the programmes should stress civic education and opportunities for cultural exchanges which will contribute to a progressive sustainable European future-oriented dimension of coexistence by addressing the questions of understanding each other's regional presence, togetherness and the need for cooperation; and by providing scope for dialogues and reconciliation;
- The improvement and development of new solutions for handling expertise by means of accessible common platforms and project information as well as building networks.

The 2013 appropriations were allocated to:

- Technical Assistance Facility: the assistance has facilitated the preparation of transnational projects in their starting phase. This was done through a project preparation facility providing assistance to project holders of transnational projects. The facility has provided consultancy services to potential project owners.
- 3rd Annual Forum 2014: the governance structure consists of Priority Area Coordinators (PACs), National Contact Points, existing institutions and stakeholders. The action supported the communication, exchange of experience and capitalisation of results among the main stakeholders and ensured political commitment through the organisation of the 3rd Annual Forum in June 2014 in Vienna.

The 2014 resources allocated to this preparatory action have been used to support the establishment of economic and social cooperation in the macro-region, contributing to further integration and reconciliation of the participating nations in a practical way. It supports the work of key implementers (National Coordinators, Priority Area

Coordinators) coordinating and implementing each Priority Area as well as the provision of online-guidance to Danube projects to find the appropriate funding sources.

These objectives have been achieved by the following:

- Establishment of the Danube Strategy Point which is hosted by the Land Baden-Württemberg. The 14 Danube Countries selected (by majority vote) the Government of Land Baden-Württemberg (that acts also as NCP in the framework of the Danube Strategy) to host the Danube Strategy Point. The establishment of a Strategy Point is a recommendation in the report from the European Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions concerning the governance of macro-regional strategies (2014/284), where the need for more coordination and interaction between the responsible stakeholders and organisations was identified.
- Creation of the tool "EuroAccess" by the City of Vienna in its capacity as Priority Area Coordinator of Priority Area10 'To step up institutional capacity and cooperation', which holds a de facto monopoly position in the Strategy work. It has horizontal tasks for the implementation of the Strategy and also serves all other Priority Areas, such as the facilitation of transnational projects.

The 2015 appropriations were used to support the communication, exchange of experience and capitalisation of results among the main stakeholders and ensure continuous political commitment through the organisation of three Annual Fora in 2016 (Slovakia), 2017 (Hungary) and 2018 (Bulgaria). They are also supporting the preparation or implementation of transnational projects of relevance for the Danube Region. Such support is crucial to initiate complex projects with a strategic impact, and to build partnerships in a transnational environment. Special consideration will be given to strategic projects with connections and/or spill-over effects in the territory covered by the EU Strategy for the Adriatic and Ionian Region, with five out of its eight participating countries being also part of the EU Strategy for the Danube Region and to neighbouring countries such as Moldova and Ukraine.

As the financing decision for the 2015 appropriations was adopted on 17 December 2015, the related objectives, as described above are being implemented in 2016 and 2017.

#### **Intentions for the current year 2017:**

Payments for grant agreements signed in the previous years.

#### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2013 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	27/09/2013
Publication of call for proposals / call for tender	N/A - direct grants
Closing date of call for proposals / call for tender	N/A - direct grants
Award decision	06/12/2013
Pre-financing payment(s)	07/02/2014
Interim payment(s)	2014
Final payment(s)	2016

2014 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	25/11/2014
Publication of call for proposals / call for tender	N/A - direct grants
Closing date of call for proposals / call for tender	N/A - direct grants

Step	Date
Award decision	19/12/2014
Pre-financing payment(s)	January 2015
Interim payment(s)	2016
Final payment(s)	2018

#### 2015 appropriations

Step	Date
Adoption of financing decision	17/12/2015
Publication of call for proposals / call for tender	N/A - direct grants
Closing date of call for proposals / call for tender	N/A - direct grants
Award decision	June 2016
Pre-financing payment(s)	2016
Interim payment(s)	2017
Final payment(s)	2018-2019

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decisions/work programmes C(2013)6180 of 27 September 2013, C(2014)8713 of 25 November 2014 and C(2015)9148 of 17 December 2015 set out the main elements of the implementation of the preparatory action.

The website of the EU Strategy for the Danube Region provides full documentation of the activities of each Priority Area Coordinator, including meetings, action plans and annual implementation reports (<http://www.danube-region.eu>)

#### - Do similar activities exist, which are already covered by a legal basis?

In the period 2007-2013 there was no possibility to support similar activities. However, as of the period 2014-2020 respective transnational cooperation programme "Danube Transnational Programme 2014-2020", financed by ERDF, is able to support the implementation of macro-regional strategies, through projects and governance support. In 2014 the budget line 13 03 68 (Macro regional strategies 2014-2020: European strategy for the Danube region — Technical assistance) under the ERDF was used to bridge the period until the transnational cooperation programme started and gave support to complementary activities for the governance structure.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

In the long term, follow up activities shall be taken up by the Interreg Danube Programme (Interreg transnational programme). Several cooperation programmes in the 2014-2020 programming period are fully matching the macro-regional strategies in the Baltic, Danube, Adriatic-Ionian and Alpine areas. Not only in terms of geography, but they are also meant to provide key support to their implementation. In this context, all four programmes have included a Priority Axis based on thematic objective 11, which provides support to the key implementers and other horizontal activities. This support will take different shapes in each programme, taking into account the specificities and stages of implementation of each macro-regional strategy. In the case of the Danube programme, Axis 4 (SO 4.2) includes three main packages; one is dedicated to the financial support to the Priority Area Coordinators.

### 5.4 Type of activities financed, number and amounts

#### 2013 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Start Danube Region Project Fund	Stadt Wien	1 259 443,50
Danube implementation facility- Pilot actions: Danube financing dialogue and	Stadt Wien	740 400,48

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Technical Assistance Financing dialogue and Technical Assistance facility for Danube region, projects TAF-DRP; 2014 Annual Forum		

#### 2014 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Danube Strategy Point	Land Baden-Württemberg	1 600 000
Euro Access Online search tool for EU-funding in the Danube Region	Stadt Wien	199 458,65

#### 2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
5th Annual Forum	Office of the Government Slovakia	230 265,50
6th Annual Forum	Ministry of Foreign Affairs and Trade, Hungary	234 997,70
7th Annual Forum	Ministry for Regional Development, Bulgaria	230 000,00
Danube Strategic Project Fund	City of Vienna	1 300 000

#### 5.5 Method of implementation (tenders, grants, other) and evaluations

The assistance is implemented through grants to the Priority Area Coordinators (PACs), which hold a *de facto* monopoly position in accordance with article 190 of the Rules of application to the Financial Regulation. The further financing of the Priority Area Coordinators is currently ensured through cascade grants via the Danube Strategy Point and will be taken up by the Interreg Danube Programme after 2017.

#### 5.6 Types of beneficiaries

The assistance is implemented through grants to the Priority Area Coordinators (PACs), which hold a *de facto* monopoly position in the Strategy work. The PACs are national or regional public bodies.

## 1. Heading

### 13 03 77 15: Preparatory action — World cities: EU-third countries cooperation on urban development

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	700 880,42	p.m.	750 000	p.m.	1 124 221	0,0 %	49,9 %
Reserve								
Total	1 500 000,00	700 880,42	p.m.	750 000	p.m.	1 124 221	0,0 %	49,9 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2014 and the 2016 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The objectives pursued under the preparatory action have been to promote the exchange of experience and best practice between the European Union and third countries on the theme of territorial development with particular reference to urban development, urban-rural partnership and urban cross-border cooperation in the framework of the partnerships between the Commission and third countries and to co-operate with (and assist) international organisations in building new regional partnerships in this field.

The main focus of the extension of the preparatory action in 2016 has been to support new policy dialogues and EU global relations and cooperation on urbanisation matters with third countries which have expressed keen interest in the recent past to engage with EU in this kind of cooperation, namely EU strategic partners such as South Korea and South Africa as well as Australia and Southeast Asian countries.

The action was successful and the projects and events organised by the Commission have experienced a high demand for participation and a positive appreciation of the work undertaken. These successful cooperation activities will be taken forward by a new International Urban Cooperation Programme financed by the Partnership Instrument.

#### Intentions for the current year 2017:

Under the preparatory action implemented since 2016, four platforms for city-to-city cooperation have been created (EU-Australia, EU-South Africa, EU-South Korea and EU-South East Asia) and will continue to operate until mid-2018.

The preparatory action will help the European Union to deepen and widen its activities and to bring real help to third countries in their efforts to improve public policy in regional and urban development, and regional and local governance issues.

The preparatory action will help as well to test innovative approaches on cooperation on urbanisation with EU strategic partners, which could be taken forward from 2018 through other instruments such as the Partnership

Instrument, which has identified urbanisation as a priority area for intervention, or other EU external policy instruments.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2014 appropriations

Step	Date
Adoption of financing decision	24 April 2014
<b>Organisation of programme exchange related to specific regional and urban development's interest raised in China and India</b> <b>Contract No 2014CE160AT031 - Budget EUR 750 000</b>	
Launch of procedures (calls for tenders / framework contracts)	06/05/2014
Signature of contracts / agreements	29/09/2014
Pre-financing payment	N/A
Interim Payments	2015-2016
Final payment	2016
<b>Organisation of knowledge transfer project for sustainable and integrated urban development between EU and Canada and EU and Japan</b> <b>Contract No 2014CE160AT047 - Budget EUR 747 080</b>	
Launch of procedures (calls for tenders / framework contracts)	06/05/2014
Signature of contracts / agreements	02/10/2014
Pre-financing payment	N/A
Interim Payments	2015-2016
Final payment	2016
<b>EU-Colombia cooperation on regional policy: capacity building for urban cross-border cooperation actors</b> <b>Contract No 2014CE160AT118 – Indicative budget EUR 60 000</b>	
Publication of call for tender	03/03/2015
Closing date of call for tender	24/03/2015
Award decision	29/05/2015
Pre-financing payment(s)	N/A
Interim payment(s)	2015-2016
Final payment(s)	2016-2017

2016 appropriations

Step	Date
Adoption of financing Decision	17 May 2016
<b>World Cities: EU-third countries cooperation on urban development between EU and Southeast Asia (Indonesia/Vietnam) and EU and South Africa</b> <b>Indicative budget EUR 700 000</b>	
Publication of call for tender	07/06/2016
Closing date of call for tender	15/07/2016
Award decision	08/11/2016
Pre-financing payment(s)	N/A

Step	Date
Interim payment(s)	2017
Final payment(s)	2017 - 2018
<b>World Cities: EU-third countries cooperation on urban development between EU and Australia and EU and South Korea</b>	
<b>Indicative budget EUR 750 000</b>	
Publication of call for tender	07/06/2016
Closing date of call for tender	15/07/2016
Award decision	08/11/2016
Pre-financing payment(s)	N/A
Interim payment(s)	2017
Final payment(s)	2017 - 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

2014: Commission financing decision/work programme C(2014)2632 final of 24 April 2014 sets out the main elements for the implementation of the preparatory action.

2016: Commission financing decision/work programme C(2016)2832 final of 17 May 2016 sets out the main elements for the implementation of the preparatory action.

Information on the implementation of the preparatory action can be found at:

<http://world-cities.eu/>

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The preparatory action will help to test innovative approaches on cooperation on urbanisation with EU strategic partners, which are expected to be taken forward through the Partnership Instrument, as it has been the case for the previous World Cities edition.

On the broader framework of EU regional policy, article 58(1)f of the Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 on technical assistance provides the possibility to carry out “actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries”.

### 5.4 Type of activities financed, number and amounts

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Organisation of programme exchange related to specific regional and urban development's interest raised in China and India	GIZ	750 000
Organisation of knowledge transfer project for sustainable and integrated urban development between EU and Canada and EU and Japan	ICLEI	747 080
URBELAC (Urban network on knowledge transfer for sustainable development)	MOSTRA	226 620

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
EU-Colombia cooperation on regional policy: capacity building for urban cross-border cooperation actors	Study visits	60 000

#### 2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
2016CE160AT017 - Technical assistance to help the development of the necessary capacity in selected cities in South Africa and South East Asia	GIZ	700 000
2016CE160AT019 - EU-South Korea and EU-Australia Urbanisation Platforms (knowledge transfer for sustainable and integrated urban development)	RAMBOLL	745 000
2016CE160AT018/QUITO16-01 - Organisation of travel and accommodation of experts for the conference Habitat III in Quito	AMEX	14 438,67

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is being implemented directly by the Commission through service contracts.

#### 5.6 *Types of beneficiaries*

Stakeholders in the field of regional and urban development policy, inter alia national, regional and municipal public administrations from third countries and Europe, international organisations, regional groupings, regional networks, NGO, private companies.



## 1. Heading

### 13 03 77 17: Preparatory action — EU-CELAC cooperation on territorial cohesion

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	1 326,28	2 000 000	1 700 000	p.m.	1 798 674	-100,0 %	5,8 %
Reserve								
Total	2 000 000,00	1 326,28	2 000 000	1 700 000	p.m.	1 798 674	-100,0 %	5,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2016 and 2017 Budgets.

#### Objectives followed during 2016 and previous years' implementation:

The international dimension of EU regional policy gains on importance over the last years. There are currently regional policy dialogues formalized through written agreements with 6 CELAC countries, Argentina, Brazil, Chile, Colombia, Mexico and Peru, as well as with the Central America Integration System (SICA). Outside this group exchanges have taken place as well with Costa Rica and Caribbean countries.

Previous cooperation activities have taken the form of technical assistance projects on regional innovation systems (including in some cases a cross-border dimension), studies, seminars and exchanges on cross-border cooperation and territorial development policies.

The EU-CELAC Action Plan approved during the last EU-CELAC Summit (Brussels, 10-11 June 2015) emphasises the need to promote the exchange of experience and best practice between the European Union and CELAC countries on “territorial cohesion and equity and on regional development policies, with a particular focus on cross border cooperation and on territorial development strategies, to strengthen the capacity of the regional and urban authorities to promote economic development and innovation and social inclusion and cohesion”.

During 2016 extensive consultations have been conducted with CELAC country partners (Argentina, Brazil, Chile, Colombia, Mexico and Peru), to identify common priorities and joint cooperation activities. Priority has been given to the preparation of regional projects (involving several CELAC countries) including a network dimension.

As requested by Brazilian national and regional authorities, a technical assistance project to support the definition of a smart specialisation strategy in Pernambuco State (Brazil) has started to be implemented in 2016. A major regional seminar on territorial development, border integration and innovation policies was co-organised with Peruvian authorities in Lima in November.

#### Intentions for the current year 2017:

In addition to the continuation of the technical assistance project in Pernambuco mentioned above, three projects will start to be implemented:

- INNOVACT (Innovation for territorial cohesion) platform to promote cross-border regional innovation strategies and cross-border value chains in selected border regions (namely, Colombia-Peru, Chile-Peru, Mexico-Guatemala and Colombia-Ecuador border areas) through the establishment of technical guidelines and training of officials and experts;
- INNOV-AL (Innovation in Latin America) platform to support dissemination of the EU regional policy experience and good practices and to develop further cooperation between national and regional authorities and specialized agencies in four Latin American countries (Argentina, Chile, Colombia and Peru) both between themselves, and, with EU countries and regions on the definition and implementation of decentralised, regional-level innovation and smart specialisation policies.
- Technical assistance project on cooperation with Argentina on multi-level governance systems in the framework of regional policy. The project will provide assistance in the overall design of the federal system of territorial development, focusing on the process of interaction of the three tiers of government, as well as civil society.

A number of seminars could be also supported to be held in main partner countries on topics such as: strategic and governance issues, monitoring, evaluation, regional innovation systems and clusters, cross-border cooperation. Finally a number of exchanges and study visits could take place on the subject of development policies in post-conflict situations and decentralisation and multi-level governance, as well as on the introduction of a new regional development policies.

For the extension of the preparatory action under the 2017 budget it is intended to provide continuity to some of the projects currently being implemented while keeping some flexibility to address demands coming from CELAC partner countries as well as possible recommendations from ongoing activities. In particular, the following activities are planned:

- Second edition of the INNOVACT network to promote cross-border regional innovation strategies and cross-border value chains in selected border regions (namely, Brazil-Peru, Bolivia-Peru, Colombia-Peru and Argentina-Brazil border areas)
- Replicate the previous pilot experience to support the definition of a smart specialisation strategy in Pernambuco State (Brazil), in two new Brazilian States/regions, following a proposal of Brazilian authorities.
- Technical assistance to support the preparation of territorial development strategies and plans in selected partner countries (namely Costa Rica and CARICOM).
- Seminar to exchange good practices and identify possible cooperation in the field of blue and green growth potential in EU Outermost Regions in Latin America.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

### 2016 appropriations

Step	Date
Adoption of financing decision	20/05/2016
Publication of call for proposals / call for tender	21/10/2016; 02/09/2016; 02/02/2017
Closing date of call for proposals / call for tender	23/11/2016; 26/09/2016; 24/02/2017
Award decision	13/03/2017; 07/10/2016
Pre-financing payment(s)	N/A
Interim payment(s)	2017
Final payment(s)	2018-2019

### 2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for proposals / call for	Second semester 2017

Step	Date
tender	
Closing date of call for proposals / call for tender	Second semester 2017
Award decision	Second semester 2017
Pre-financing payment(s)	N/A
Interim payment(s)	2017-2018
Final payment(s)	2018-2019

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision/work programme C(2016)2915 of 20 May 2016 sets out the main elements for the implementation of the preparatory action.

The Commission financing decision/work programme expected to be adopted in May 2017, will set out the main elements for the continuation of the implementation of this preparatory action in 2017.

The call for tender will be published on the Europa Website: [http://ec.europa.eu/regional\\_policy/en/newsroom/funding-opportunities/calls-for-tender/](http://ec.europa.eu/regional_policy/en/newsroom/funding-opportunities/calls-for-tender/)

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The preparatory action will help to test innovative approaches on cooperation on territorial development policies and regional innovation with CELAC partners, which are expected to be taken forward through other external policy instruments.

On the broader framework of EU regional policy, article 58(1)f of the Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 on technical assistance provides the possibility to carry out “actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries”.

### 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
2016CE160AT057 - INNOVACT	TECHNOPOLIS GROUP	934 912
2016CE160AT107 - INNOV-AL	Tender to be launched	530 000
2016CE160AT033/PERU – Organisation of travel and accommodation of experts for a seminar in Peru	AMEX	60 070
2016CE160AT033/SMART_MELO – Organisation of travel and accommodation of experts for a conference on Smart specialisation in Brussels	AMEX	3 300
2016CE160AT045 - RIS Pernambuco	CES Coimbra	59 650
2016CE160AT081 - Multi-level governance Argentina	ISMERI	59 850

For the 2017 commitment appropriations, these details are not yet known.

Indicative breakdown for 2017:

- Studies and technical assistance: EUR 1 700 000;
- Programme exchanges (study visits and seminars): EUR 300 000.

### ***5.5 Method of implementation (tenders, grants, other) and evaluations***

The preparatory action is being implemented directly by the Commission through service contracts.

### ***5.6 Types of beneficiaries***

Stakeholders in the field of regional and urban development policy, inter alia national, regional and municipal public administrations from third countries and Europe, research institutes, development agencies, clusters, international organisations, regional groupings, regional networks, NGO, private companies.

## 1. Heading

### 13 03 77 18: Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	0,00	1 500 000	1 000 000	p.m.	1 250 000	-100,0 %	25,0 %
Reserve								
Total	1 000 000,00	0,00	1 500 000	1 000 000	p.m.	1 250 000	-100,0 %	25,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 and 2017 budgets. It follows a pilot project (13 03 77 13) under budgets 2014 and 2015.

#### Objectives followed during 2016 and previous years' implementation:

Building excellence in a given area of research and innovation often takes sustained efforts and long-term strategic planning. The development of good national/regional research and innovation strategies for smart specialisation (RIS3) is considered essential for sustaining the innovation performance and the economic growth of the European Union. Therefore, the support by the Smart Specialisation Platform (S3P) within the Joint Research Centre (JRC) to Member States and regions to design and upgrade their RIS3 is essential. In particular, this allows new Member States and catching-up regions to identify a limited number of areas of good quality research and industrial activities with high innovation potential in their territory that will be further reinforced and developed using the European Structural and Investment Funds (ESIF), to become more excellent and more competitive in Horizon 2020 calls. Beyond a stronger capacity to generate innovation, this policy framework also allows increasing the absorption capacity by new Member States of the innovation results developed/generated in more innovative territories in the context of past Framework Programme projects.

With the resources of the pilot project in 2014-2015, a new activity was launched within the Smart Specialisation Platform in order to support new Member States and regions to develop and exploit the synergies between Horizon 2020 and ESIF, building on and exploiting synergies.

The Stairway to Excellence (S2E) project is centred on the provision of support to enhance the value of two key EU funding sources for research, development and innovation (ESIF and Horizon 2020) by actively promoting their combination. With the dedicated resources, upstream activities to foster capacity building in R&I have been carried out, providing analytical data on the R&I systems in the concerned countries. A series of national events were organised in the member states to discuss the opportunities and obstacles towards synergies between ESIF and Horizon 2020. In addition, downstream activities (e.g. ways of exploiting outcomes from research projects on the market) started to be proposed.

#### Intentions for the current year 2017:

The objectives of the preparatory action, as described above, will continue to be implemented in 2017. The project will be carried out through an Administrative Agreement with the Joint Research Centre (JRC) of the European Commission.

The main activities of the project include analytical and policy support to Member States and their regions aimed at promoting innovation excellence and technological catch-up. This new phase of the project will aim at drawing a wider perspective on the EU28 Members States and their regions while focusing more over time on the territorial monitoring of the implementation of the different R&I funding instruments along their thematic domains.

Actions include:

- territorial and policy mapping exercise allowing identification of knowledge flows and anticipating possibilities for inter-regional R&I collaboration;
- follow-up monitoring of the findings from the previous phases of the project.
- Envisaged activities are the following:
- on demand S2E national or macro-regional events on the state of play of S3 and synergies ;
- R&I Thematic events along S3 priority areas and Horizon 2020 themes involving a variety of R&I actors ;
- an annual scientific S2E conference on horizontal theme(s);
- an annual policy S2E conference on synergies.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	23/11/2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of Administrative Agreement with the Joint Research Centre (JRC)	First semester 2017
Pre-financing payment(s)	First semester 2017
Interim payment(s)	N/A
Final payment(s)	2018-2019

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	Second semester 2017
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of Administrative Agreement with the Joint Research Centre (JRC)	Second semester 2017
Pre-financing payment(s)	Second semester 2017
Interim payment(s)	N/A
Final payment(s)	2019

### 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2016: Commission financing decision/work programme C(2016)7473 of 23 November 2016 sets out the main elements for the implementation of the preparatory action.

2017: The Commission financing decision/work programme, expected to be adopted in the second semester 2017, will set out the main elements for the implementation of the preparatory action.

All relevant outputs from the pilot project and the preparatory action are being uploaded on the dedicated webpage of the S3Platform <http://s3platform.jrc.ec.europa.eu/stairway-to-excellence>.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative Agreement Stairway to Excellence	European Commission Joint Research Centre	1 000 000

For 2017, it is also envisaged to implement the preparatory action by an Administrative Agreement with the Joint Research Centre (JRC).

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented by an Administrative Agreement with the Joint Research Centre (JRC).

### 5.6 Types of beneficiaries

Final beneficiaries: R&I actors in the field, national and regional managing authorities, Horizon 2020 National Contact Points

## 1. Heading

### 13 03 77 19: Preparatory action — Support for growth and governance in regions whose development is lagging behind

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 000 000,00	500 000,00	1 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve								
Total	1 000 000,00	500 000,00	1 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

This preparatory action builds on the positive outcomes of the previous preparatory action (item 13 03 77 16) on refinement and implementation of a RIS3 strategy in the Greek region of Eastern Macedonia and Thrace (REMTh). It goes further in providing appropriate and specific support for activities in selected regions whose development is lagging behind and in developing a more cross-cutting approach to key issues regarding growth and governance in those regions.

Two types of regions are regarded as potential targets for this preparatory action, namely low-growth regions and underdeveloped regions:

- low-growth regions are regions of Member States that have a GDP per capita in purchasing power standards (PPS) below the EU average in 2012 and that did not converge with the EU average between 2002 and 2012, i.e. regions in Greece, Italy, Spain and Portugal;
- underdeveloped regions are regions with a GDP per capita in PPS below 50% of the EU average in 2011, i.e. a number of regions in Bulgaria, Hungary, Poland and Romania.

This preparatory action will support eight regions: four low-growth regions and four underdeveloped regions. The activities carried out will require back-to-back on-site events in each region, including a stakeholder event and a peer review, support for critical aspects for implementing the RIS3 in each region, and cross-cutting activities such as evidence-based support for policy development and further development of practical support for regions across Europe whose development is lagging behind.

The preparatory action will focus on three specific areas of interest: governance, transnational cooperation and refinement of the RIS3 model. The proposed duration is 18 months. The implementation of this preparatory action in Romanian regions will ensure complementarity with the preparatory action "The economic competitive advantages and potential for smart specialisation at regional level in Romania" (item 13 03 77 20).



In 2016 the preparatory action implementation was entrusted to the Joint Research Centre (JRC) of the European Commission through the signature of an Administrative Agreement, with the support of the Directorate-General for Regional and Urban Policy - DG REGIO.

### **Intentions for the current year 2017:**

The objectives of the preparatory action, as described above, will continue to be implemented in 2017.

With the 2017 commitment appropriations, the geographical scope of the action will be extended to Croatia. It is envisaged to continue entrusting the implementation to the JRC through the signature of an Administrative Agreement. The actions foreseen include:

- Specific support activities undertaken in each of the selected lagging regions;
- Horizontal support and peer-learning, based on addressing issues common to the selected regions and with wider relevance;
- Analysis, tool development and policy recommendations;
- Coordination, cooperation, and communication.

### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	21/10/2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of Administrative Agreement with the Joint Research Centre (JRC)	28/10/2016
Pre-financing payment(s)	2017
Interim payment(s)	N/A
Final payment(s)	2018-2019

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	Second semester 2017
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of Administrative Agreement with the Joint Research Centre (JRC)	Second semester 2017
Pre-financing payment(s)	2017-2018
Interim payment(s)	N/A
Final payment(s)	2019

### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2016: Commission financing decision/work programme C(2016)6648 of 21 October 2016 sets out the main elements for the implementation of the preparatory action.

2017: Commission financing decision/work programme expected to be adopted in the second semester 2017 will set out the main elements for the implementation of the preparatory action.

Information on the output of the preparatory action will be published on the following website:

<http://s3platform.jrc.ec.europa.eu/ris3-in-lagging-regions>

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

### 5.4 *Type of activities financed, number and amounts*

2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Administrative Agreement for lagging regions	European Commission Joint Research Centre	1 000 000

For 2017, it is also envisaged to implement the preparatory action by an Administrative Agreement with the Joint Research Centre (JRC).

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is implemented by an Administrative Agreement with the Joint Research Centre (JRC).

### 5.6 *Types of beneficiaries*

Final beneficiaries: public and private research and innovation actors active in the regions covered and beyond, the regional and national managing authorities.

## 1. Heading

### 13 03 77 20: Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	2 000 000,00	1 000 000,00	p.m.	1 000 000	p.m.	1 000 000	0,0 %	0,0 %
Reserve								
Total	2 000 000,00	1 000 000,00	p.m.	1 000 000	p.m.	1 000 000	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 budget.

#### Objectives followed during 2016 and previous years' implementation:

The preparatory action will seek to ensure a coherent, coordinated and sustainable approach, to secure, develop and enhance engagement of all relevant stakeholders (business, academia, research organisations and civil society) and to create linkage between regional research and innovation smart specialisation strategy/strategies (RIS3) and the existing national RIS3, given the difficulties of the Romanian innovation system. The action will cover those regions where no RIS3 has been devised or started and those for which RIS3 needs to be improved. The preparatory action will also seek to identify, assess, and support exploitation of the economic potential in a selected region, and to explore its innovation potential and the scope for its expansion. It will clearly target identification of economic competitive advantages and the potential for specialisation at regional level based on an entrepreneurship discovery process, establishing a governance structure and framework for continuous collaboration between businesses and researchers, and support for preparation of the relevant policy documents. It may also look into how to enhance cooperation and coordination at all levels (including between national ministries).

It should also provide information on how best to channel cohesion policy funding towards implementation of national and regional RIS3 and thus support implementation and management of operational programmes. It will make use of existing mechanisms such as the platform in Seville. The preparatory action may lead to adjustments to the financial programming documents.

The implementation of the preparatory action has been entrusted to the Joint Research Centre (JRC) of the European Commission through the signature of an Administrative Agreement, with the support of the Directorate-General for Regional and Urban Policy - DG REGIO.

The action is organised into six work packages:

1. National/Regional Profiling: stocktaking and assessment of the current state of RIS3 implementation in each of the selected countries and regions;
2. RIS3 implementation and refinement in Romanian regions - reinforcement of linkages between national and regional RIS3 in Romania and support to the development of regional EDP processes;

3. Specific support activities undertaken in each of the selected lagging regions;
4. Horizontal support and peer learning based on addressing issues common to the selected regions and with wider relevance;
5. Analysis, tool development and policy recommendations;
6. Coordination, cooperation, and communication.

**Intentions for the current year 2017:**

The objectives of the preparatory action, as described above, will continue to be implemented in 2017.

**5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2016 appropriations

Step	Date
Adoption of financing decision	19/10/2016
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Signature of Administrative Agreement with the Joint Research Centre	28/11/2016
Pre-financing payment(s)	2017
Interim payment(s)	N/A
Final payment(s)	2018-2019

**5.3 Documentation and expected results**

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission financing decision/work programme C(2016)6613 of 19 October 2016 sets out the main elements for the implementation of the preparatory action.

Outputs and results of the preparatory action will be published on the following website:

<http://s3platform.jrc.ec.europa.eu/ris3-in-lagging-regions>

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

**5.4 Type of activities financed, number and amounts**

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Administrative Agreement Smart Specialisation in Romania	European Commission Joint Research Centre	2 000 000

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is implemented by an Administrative Agreement with the Joint Research Centre (JRC).

### *5.6 Types of beneficiaries*

Final beneficiaries: R&I actors in the field, national and regional managing authorities, Horizon 2020 National Contact Points

## 1. Heading

### 13 03 77 22: Preparatory action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %
Reserve							
Total		2 000 000	1 000 000	p.m.	1 000 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

#### Objectives and intentions for the current year 2017:

The Alpine Region is one of the richest areas in the world and among the economically most dynamic, innovative and competitive areas in Europe with unique geographical and natural features. However, the region also faces important challenges requiring a joint response. Significant differences also remain among the different areas in the region (for example between mountainous areas and the alpine foreland).

The European Council of 19/20 December 2013 invited the Commission, working with Member States, to draw up an EU Strategy for the Alpine Region (EUSALP), building on the solid background of cooperation in the region, in order to address these issues. This strategy was adopted by the European Commission on 28 July 2015 and endorsed by the European Council on 28 June 2016.

The preparatory action intends to provide support for the implementation of the EUSALP. The main added value of the strategy, which is based on experiences and outcomes of the former macro-regional strategies, will consist in a new relationship between metropolitan, peri-mountain and mountain areas that will boost the economy and social prosperity of the area. The preparatory action aims to support the strategy in the field of environment, transport and copes with the depopulation of the area. It will help Action Group leaders to better coordinate the activities of different partners and stakeholders in the Alpine Region (at political, institutional, technical and operational level) and it will strengthen the cooperation dimension between Member States and regions.

It is envisaged that the preparatory action would be implemented through a grant to the managing authority of the Alpine Space transnational programme (Land of Salzburg (Austria), represented by the Government Office of the Land Salzburg). For the purposes of the preparatory action, the managing authority would be mandated to steer all the administrative arrangements (organisation for the collection and assessment of a limited number of projects in each priority, selection of projects, follow-up of project results, follow-up and control of expenditures, etc.).

The aim of the preparatory action is to:

- Identify, analyse and foster all best innovative practice and existing networks on green solutions in the Alpine Region and in the pre-alpine areas, thus facilitating knowledge transfer and exchange of innovation in circular economy, with particular focus on strategic sectors such as tourism and agriculture;
- Foster integration with a particular focus on demographic changes, by identifying solutions for job creation, such as a better integration between education, vocational training and business in key sectors such as tourism;
- Identify actions for the provision of e-services which could benefit the most vulnerable layers of the alpine population, such as youth and elderly;
- Identify pilot actions for sustainable transport at local level.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	Second semester 2017
Publication of call for proposals / call for tender	N/A - direct grant
Closing date of call for proposals / call for tender	N/A - direct grant
Award decision	Second semester 2017
Pre-financing payment(s)	2017
Interim payment(s)	2019
Final payment(s)	2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision/work programme, expected to be adopted in the second semester 2017, will set out the main elements for the implementation of the preparatory action.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

In the long term, follow up activities shall be taken up by the Interreg Alpine Space Programme (Interreg transnational programme). Several cooperation programmes in the 2014-2020 programming period are fully matching the macro-regional strategies in the Baltic, Danube, Adriatic-Ionian and Alpine areas. Not only in terms of geography, but they are also meant to provide key support to their implementation. In this context, all four programmes have included a Priority Axis based on thematic objective 11, which provides support to the key implementers and other horizontal activities. This support will take different shapes in each programme, taking into account the specificities and stages of implementation of each macro-regional strategy.

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

It is envisaged that the preparatory action will be implemented through a grant agreement to be directly awarded to the managing authority of the Alpine Space transnational programme (Land of Salzburg (Austria), represented by the Government Office of the Land Salzburg), provided the conditions of article 190 of the Rules of application to the Financial Regulation are met.

## 5.6 *Types of beneficiaries*

Key implementers and stakeholders of the EU Strategy for the Alpine Region

## 1. Heading

### 13 03 77 23: Preparatory action — Urban agenda for the EU

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		2 500 000	1 250 000	p.m.	1 250 000	-100,0 %	0,0 %
Reserve							
Total		2 500 000	1 250 000	p.m.	1 250 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - Smart and inclusive growth

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 Budget.

#### Objectives and intentions for the current year 2017:

The preparatory action will support a Secretariat for the Urban Agenda for the EU. This Secretariat will assist the working groups (called 'Partnerships') set up under the Urban Agenda for the EU to identify innovative solutions to the problems faced by cities and the potential they could harness. The aim of the preparatory action is to facilitate the participation of cities in this innovative approach and to experiment a new way of involving cities in policy making and delivery. At the end of the preparatory action, the sustainability of this approach will be reviewed. If the conclusion is that it should be continued, technical assistance at the initiative of the Commission could be used.

This preparatory action will be particularly important, in order to provide input to the (re)designing of various EU policies, including cohesion policy. Indeed, the Partnerships will all have to identify how to make better use of funds and knowledge (base and exchange) to support the work in cities and by cities, thereby providing useful inputs for policy makers.

The Commission's objectives for the preparatory action are in line with the budgetary remarks, the Pact of Amsterdam (adopted by the Ministers in charge of urban matters on 30 May 2016) and the Council Conclusions on the Urban Agenda for the EU (24 June 2016).

The preparatory action will be implemented in order to support the Partnerships through the establishment of a Secretariat for the Urban Agenda for the EU. A Framework contract was put in place in 2016 in order to provide assistance to the Partnerships under the Urban Agenda for the EU. This framework contract will be complemented by individual specific contracts (one per Partnerships and one for the reimbursement of travel costs of some members of the Partnerships).

The tasks of the Secretariat will be in line with the budgetary remarks:

1. Support the coordinators
2. Provide expertise to the Partnerships
3. Outreach and Communication
4. Reimburse travel costs



## 5. Support the Commission

The decisions are taken by the Commission and implemented by the Secretariat.

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	Second quarter 2017
Request for services under framework contract	May 2017
Signature of specific contracts under existing framework contract	June 2017
Award decision	Done (Framework contract)
Pre-financing payment(s)	2017
Interim payment(s)	2018-2019
Final payment(s)	2020

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision/work programme expected to be adopted in the second quarter 2017 will set out the main elements for the implementation of the preparatory action.

#### **- Do similar activities exist, which are already covered by a legal basis?**

No

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No. The preparatory action will allow testing working methods with cities that could be financed under Commission technical assistance.

### 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented by specific contracts under an existing framework contract (Contract notice 2016/S 127-227373 of 05/07/2016. The company Ecorys has been awarded the above framework contract following the open call for tenders (contract award notice 2016/S 240-436892)).

### 5.6 *Types of beneficiaries*

The beneficiaries are: the Commission, the coordinators, the Partnerships (see §5.4)

## **Heading 2 – Sustainable Growth: Natural Resources**

## 1. Heading

### 05 08 77 09: Preparatory action — Union plant and animal genetic resources

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	744 230,0	p.m.	384 800	p.m.	384 800	0,0 %	0,0 %
Reserve								
Total	0,00	744 230,0	p.m.	384 800	p.m.	384 800	0,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2013 and 2014 budgets.

#### Objectives followed during 2016 and previous years' implementation:

This preparatory action consists of two projects (2013 and 2014), both in the form of a study. The preparatory action shall provide support for Europe-wide interlinking projects and activities in conservation and sustainable use of genetic resources in the framework of upcoming rural development programmes. It shall contribute to delivering the elements for a third Union genetic resources programme, specifically on the following questions:

- How to improve communication between Member States and their authorities on best practices and harmonisation of efforts in conservation and sustainable use of genetic resources;
- How to enhance networking between key stakeholders including farmers, researchers, gene banks, NGOs and end-users, and to enhance marketing opportunities in the context of quality schemes and short food chains;
- How to improve the exchange of knowledge and research in enhancing genetic diversity within agricultural systems;
- How to adapt breeding methods and legislation to the need for the conservation of genetic diversity and sustainable use of genetic resources;
- How to contribute to the successful implementation of rural development measures through actions in the field of genetic diversity in agriculture;
- How to reduce the administrative burden so as to improve access to actions.

The aim of the 2013 study is to provide a comprehensive description and analysis of the state of the art of genetic resources-related activities in the EU as well as practical recommendations based on, inter alia, case studies, and interviews of relevant actors involved in the conservation and sustainable use of agro-biodiversity. The main outputs will:

- Identify, describe and analyse the activities on the conservation and sustainable use of agricultural and forest genetic resources;

- Identify missing links and areas of actions to be addressed in future actions on the conservation and sustainable use of genetic resources in agriculture and forestry, i.a. as a contribution to the exploration of the scope for developing a strategy for the conservation of genetic diversity in the EU.

The aim of the 2014 study is to foster the use of currently neglected agricultural genetic resources. It intends to provide different categories of stakeholders with concrete examples to valorise the use of neglected breeds and varieties in an economically viable way. These concrete examples will be delivered in twofold manner: (1) through the implementation of four projects and (2) the compilation of a compendium of projects. Based on that, a "user guide" for stakeholders will be developed.

Finally, a communication campaign will be carried out in order to raise awareness about the outcomes of the preparatory action and to enhance the willingness of stakeholders to invest in neglected agricultural genetic resources.

The 2013 study was finalised in 2016 and is going to be published in April/May 2017 on the website [http://ec.europa.eu/agriculture/external-studies\\_en](http://ec.europa.eu/agriculture/external-studies_en)

#### **Intentions for the current year 2017:**

The contract for the 2014 study was signed and the work started in December 2015. The preparatory action is on-going, and has been implemented over a period of 3 years. The final report is scheduled for December 2018, after the Commission's verification and approval, it will be published in first half of 2019.

#### **5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

2013 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	23 April 2013 (C(2013)2267)
Publication of call for tender	13 August 2013
Closing date of call for tender	4 November 2013
Award decision	4 July 2014
Pre-financing payment	N/A
Interim payment	Interim payments were made in 2015 and 2016
Final payment	The final payment was made in 2016

2014 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	30 April 2014 (C(2014)2753)
Publication of call for tender	15 August 2015
Closing date of call for tender	5 October 2015
Award decision	11 December 2015
Pre-financing payment	N/A
Interim payment	Interim payments are envisaged in 2017 and 2018
Final payment	The final payment is envisaged in 2019

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

2013: Commission Financing decision n° C(2013)2267 adopted on 23 April 2013 sets out the main elements for the implementation of the preparatory action. The tender specifications with other tender documents are published on the following website: [http://ec.europa.eu/agriculture/calls-for-tender/2013-271472\\_en.htm](http://ec.europa.eu/agriculture/calls-for-tender/2013-271472_en.htm)

The 2013 study will be published in April/May 2017 on the Europa website at the following address: [http://ec.europa.eu/agriculture/external-studies\\_en](http://ec.europa.eu/agriculture/external-studies_en)

2014: Commission Financing decision n° C(2014)2753 adopted on 30 April 2014 sets out the main elements for the implementation of the preparatory action. The tender specifications with other tender documents are published on the following website: [https://ec.europa.eu/agriculture/calls-for-tender/2015-287592\\_en](https://ec.europa.eu/agriculture/calls-for-tender/2015-287592_en)

#### - Do similar activities exist, which are already covered by a legal basis?

Support for conservation and sustainable use of genetic resources in agriculture is foreseen under Agro-environment-climate measure (Art. 28) of Regulation (EU) 1305/2013. Research and Innovation Programme Horizon 2020 supports agricultural and forestry genetic resources through regular calls for proposals in its Work Programmes. Projects in support of genetic resources may also be funded through Life, the EU's financial instrument supporting environmental, nature conservation and climate action projects.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2013 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Preparatory action: EU plant and animal genetic resources in agriculture - study	Valdani Vicari & Associati Srl (VVA)	1 488 460

Main themes of the 2013 study:

- Improvement of the communication between Member States concerning best practice and the harmonisation of efforts in the conservation and sustainable use of genetic resources;
- Enhancing networking among key stakeholders and end-users in view of exploring marketing (and other cooperation) opportunities, such as provided by quality schemes and short supply chains;
- Improvement of the exchange of knowledge and research on genetic diversity in agricultural systems;
- Adaptation of breeding methods and legislation to the need of conservation and sustainable use of genetic diversity;
- Contribution to the successful implementation of rural development measures concerning genetic diversity in agriculture;
- Explore bottlenecks and enabling conditions for the sustainable use of genetic resources in agriculture;
- Reduction of the unnecessary administrative burden so as to provide better access to actions.

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Preparatory action: EU plant and animal genetic resources in agriculture - study	Arcadia International E.E.I.G., representing the consortium composed by Arcadia International E.E.I.G. and Areté srl	962 000

Main steps of the 2014 study:

- Development of specific strategies and implementation plans;
- Management of four projects;
- Compendium of projects;
- Communication and dissemination of results.

### **5.5 *Method of implementation (tenders, grants, other) and evaluations***

This preparatory action was implemented by means of separate two open calls for tenders for two studies.

### **5.6 *Types of beneficiaries***

Both studies are conducted by the successful tenderer of the respective open calls for tenders.

The 2013 study was awarded to the private company (Valdani Vicari & Associati Srl).

The 2014 study was awarded to the private company (Arcadia International E.E.I.G., representing the consortium composed by Arcadia International E.E.I.G. and Areté srl).

The results of both studies are to be used, among other, for the policy developments in the area of genetic resources

## 1. Heading

**07 02 77 13: Preparatory action — BEST scheme (voluntary scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)**

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	667 652,04	p.m.	180 000	p.m.	400 000	0,0 %	122,2 %
Reserve								
Total	0,00	667 652,04	p.m.	180 000	p.m.	400 000	0,0 %	122,2 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	1 - SMART AND INCLUSIVE GROWTH

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2011, 2012 and 2013 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The objectives of the preparatory action are to provide further means to protect biodiversity and ecosystem services in the EU Outermost Regions (ORs) and Overseas Countries and Territories (OCTs).

Specific objectives were:

- 1) promote the establishment and effective management of marine and terrestrial protected areas (PAs) in the EU Outermost Regions and Overseas Countries and Territories, also taking into account already existing PAs;
- 2) implement sustainable management of marine and terrestrial resources, which contribute to protecting important species, habitats and ecosystem functions outside PAs;
- 3) strengthen conservation and sustainable use of biodiversity and ecosystem services in the EU Outermost Regions and Overseas Countries and Territories by :
  - addressing the wider ecosystem challenge of climate change by maintaining healthy, resilient ecosystems and fostering green infrastructure and ecosystem-based approaches to climate change adaptation and mitigation which often bring multiple benefits;
  - strengthening capacities at a local and regional scale, including the neighbouring countries, by promoting exchange of information and best practice amongst all stakeholders including local administration, landowners, private sector, researchers and civil societies etc... ;
  - strengthening existing nature conservation programmes and related efforts within and outside conservation areas;
  - broadening the knowledge base and filling the knowledge gaps, including quantifying the value of ecosystem functions and services;

- 4) encourage and facilitate transboundary working; addressing issues such as invasive alien species, the impacts of climate change and the implementation of international conventions in particular CITES and the three Rio Conventions ;
- 5) develop mechanisms to lever resources including 'payments for ecosystem-services' (PES).

Means of implementation: two open calls for proposals BEST-2011 and BEST-2012 and one open call for tenders in 2013. The focus of the implementation of the third and last year (2013) lied on measures towards sustaining the BEST Preparatory Action – inter alia – through the setting up of a structure/platform that would enable and facilitate information sharing on funding availability from different sources (EC but also MS and other possible partners – from international financial institutions to NGOs to business) for projects aimed at the conservation and sustainable use of biodiversity and ecosystem services in EU Outermost Regions and Overseas Countries and Territories. This structure/platform will make information available between the finance providers and possible project implementers, as well as contribute to the development of any required support mechanisms.

#### **Intentions for the current year 2017:**

The overall objective for the preparatory action followed during previous years remains valid. Means of implementation foreseen: management of on-going projects and the on-going service contract.

The international conference on biodiversity and climate change held in Guadeloupe in October 2014 adopted the Message from Guadeloupe<sup>9</sup> which constitutes a roadmap for action including the following:

Strategic orientation:

➤ Build on the BEST preparatory action by setting up a sustainable partnership dedicated to biodiversity, ecosystem services and climate change in the ORs and OCTs. This partnership shall be committed to the establishment of an inclusive and representative management structure and shall:

Operational actions:

- Secure support from various donors, financial instruments and programmes, exploring in particular the development and use of trust funds;
- Have a special but not exclusive focus on small entities and non-governmental organisations;
- Support regional collaboration;
- Act in an inclusive and flexible manner.

A group should be convened utilising the support of the political leaders of the ORs and OCTs with the mandate to set up this voluntary partnership, including representatives of ORs, OCTS, Member States, the European Commission, European Parliament, European investment and development banks and civil society.

In the ENV Council Conclusion adopted on 16 December 2015 the Council NOTED the results of the preparatory action on Biodiversity and Ecosystem Services in Territories of European Overseas (BEST), the funding available under the Commission's Best 2.0 programme, and URGED the Commission and the Member States to move forward on sustainable partnerships dedicated to mobilising resources to protect the unique ecosystems and the services they provide in the EU Outermost Regions and Overseas Countries and Territories. On 1 February 2016 the European Parliament adopted on a Resolution calling on the Commission, following on from the BEST preparatory action, to introduce a sustainable funding mechanism for biodiversity protection in the outermost regions and the overseas countries and territories.

In 2017 and beyond, the European Commission shall work together with other concerned actors to follow-up on the Message from Guadeloupe and to build a sustainable partnership.

The on-going BEST 2.0 Programme and the starting BEST RUP project (see 07 02 77 34 Pilot project — Inventory of species and habitats in the French outermost regions) and the outcome of discussions and dialogues with OCTs during the 15th EU-OCT Forum held in Aruba in Oranjestad on 20-24 February 2017 are very important milestones in this regard (more see section 5.3.).

---

<sup>9</sup> <http://guadeloupe2014.com/wp-content/uploads/2014/11/Guadeloupe-to.pdf>



5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2011 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	01/03/2011
Publication of call for proposals	05/05/2011
Closing date of call for proposals	09/09/2011
Award decision	14/11/2011
Pre-financing payment	from the signature of the first grant agreement onwards; 2011-2012
Interim payment	No more interim payments for projects selected under BEST-2011
Final payment	will be done on reception of final reports of the remaining on-going project under BEST 2011 foreseen for 2017

2012 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	21/02/2012
Publication of call for proposals / call for tender	29/03/2012
Closing date of call for proposals / call for tender	01/06/2012
Award decision	14/09/2012
Pre-financing payment(s)	from the signature of the first grant agreement onwards 2012
Interim payment(s)	No more interim payments for projects selected under BEST-2012
Final payment(s)	will be done on reception of final reports of the remaining on-going project under BEST 2012 foreseen for 2017

2013 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	18/04/2013
Publication of call for tender	14/5/2013
Closing date of call for tenders	02/09/2013
Award decision	15/11/2013
Pre-financing payment	N/A
Interim payment	2014 (20%), 2015 (30%) and 2016 (30%)
Final payment	final report due late 2017, 60 days to pay, final settlement foreseen early 2018

### 5.3 Documentation and expected results

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Financing Decisions C(2011) 1258 of 1 March 2011, C(2012)1037 of 21 February 2012 and C(2013)2140 of 18 April 2013.

Call for proposals and Guide for Applicants BEST 2011,

Call for proposals and Guide for Applicants BEST 2012,

Open call for tenders ENV.B.2/SER/0020

These documents are available on the Europa website [http://ec.europa.eu/environment/funding/grants\\_en.htm](http://ec.europa.eu/environment/funding/grants_en.htm)  
[http://ec.europa.eu/environment/archives/funding/calls2013\\_en.htm](http://ec.europa.eu/environment/archives/funding/calls2013_en.htm)

In the framework of the BEST III contract a website has been developed which is regularly updated and provides detailed information on the BEST initiative and developments <http://ec.europa.eu/best>

#### **- Do similar activities exist, which are already covered by a legal basis?**

The BEST scheme is inspired by the Natura 2000 network based on the Nature Directives. Further funding has been ensured through the BEST 2.0 Programme under the B4Life initiative which is part of the Global Public Goods and Challenges Programme under the Development Co-operation Instrument (DCI) and work is being undertaken in the frame of regional programming in Overseas Countries and Territories (OCTs) and Outermost Regions (ORs) to provide funding for BEST activities.

The BEST scheme is inspired by the Natura 2000 network based on the Nature Directives. Further funding has been ensured through the BEST 2.0 Programme under the B4Life initiative which is part of the Global Public Goods and Challenges Programme under the Development Co-operation Instrument (DCI) and work is being undertaken in the frame of regional programming in Overseas Countries and Territories (OCTs) and Outermost Regions (ORs) to provide funding for BEST activities. As the BEST 2.0 Programme is funded under a an international cooperation programme (DCI), it provides funding only for OCTs, but not for the EU Outermost Regions. Aiming starting to fill this gap the BEST RUP pilot project (07 02 77 34 Pilot project — Inventory of species and habitats in the French outermost regions) includes – inter alia - a call for grants in French Outermost Regions following the modalities of the BEST 2.0 Programme. BEST RUP started on 15 January 2017 and is co-ordinated by IUCN.

#### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

At the moment rather than following up this preparatory action with a legislative proposal the Commission services support to promote a durable BEST scheme/mechanism under the funding instruments proposed under the 2014-2020 Financial Framework in form of a sustainable partnership as suggested in the Message from Guadeloupe as well as encouraging other donors to engage in this partnership approach which is fully in line with the EU Biodiversity Strategy. The call for tender carried out in 2013 focused on enabling sustaining BEST activities beyond the lifetime of the preparatory action. Several possibilities to ensure the continuation of the activities currently covered by preparatory action are being explored. The service contract signed on 2 December 2013 is expected to provide a key contribution to the long-term future of BEST. It will run for 4 years.

Funding for BEST activities in the EU Overseas Countries and Territories (OCTs) in the frame of the Global Public Goods and Challenges (GPGC) Programme (led by DG DEVCO) has been secured through the BEST 2.0 Programme which will run for 5 years and the outcome of the OCT-EU Forum has been very encouraging for biodiversity and climate change in the Pacific, Caribbean, Indian Ocean regions and for the thematic programme for OCTs under the European Development Fund (EDF).

At the OCTs Ministerial Conference in Aruba on February 2017 representatives of several OCTs, including of the incoming OCTA chair Turks and Caicos, Members of the European Parliament, and the President of French Guyana (in a video message) expressed their support for the continuation of the BEST initiative recognising its achievements so far. The need and willingness for a sustainable mechanism developed together with the OCTs and ORs was again reiterated.

References to the BEST initiative recognising the achievements of the initiative and supporting the transition to a sustainable partnership were included in the political declaration of the OCTs.

There is no specific provision for OCTs under the new LIFE Regulation, but like other third countries OCTs are eligible to participate as partners in LIFE projects provided that there is a justified link to the implementation of EU legislation and/or policy.

The Commission is working together with the Outermost Regions (ORs), the Overseas Countries and Territories (OCTs), the Member States and relevant stakeholders to transform the BEST initiative into a sustainable partnership dedicated to mobilising resources to protect the unique ecosystems and the services they provide in the EU ORs and OCTs. BEST has helped to raise awareness for the ecological importance of the ORs and OCTs for conserving global biodiversity and has been recognised as the first inter-regional GLISPA challenge of the Global Island Partnership (GLISPA).

In the short term (2018-2019) this means finding a solution to continue the BEST 2.0 Programme and to support small and medium grants in the ORs. The 2014-2020 European Regional Development Fund programmes and Cohesion Fund may also fund projects supporting biodiversity in the ORs. Under the 11th European Development Fund the OCTs have also identified biodiversity as one of their priority for the Regional Indicative Programmes (Pacific, Caribbean and Indian Ocean).

#### 5.4 Type of activities financed, number and amounts

2011 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Quantification des services écosystémiques des aires marines protégées de la Caraïbe en vue de leur paiement (Project CARIPES)	UAG – CRPLC (Université des Antilles et de la Guyane – Centre de Recherche sur les Pouvoirs Locaux de la Caraïbe)	331 265
Coral reefs in a changing world - ecosystemic services from coral reefs : public tools for decision making in New Caledonia and French Polynesia	Institute for the Pacific Coral Reef	221 433
Protection et gestion durable des oiseaux terrestres de Polynésie française menacés d'extinction et de leurs habitats	Association Manu Société d'Ornithologie de Polynésie	250 000
Piloting the Development and Implementation of National-level joint activities between the Rio Conventions in support of Ecosystem-based approaches to climate change mitigation and adaptation	CBD Secretariat	58 936
Building partnerships and awareness of biodiversity and climate change in Europe overseas for the future of BEST	IUCN Brussels Regional Office	325 000
Gestion Raisonnée de l'Environnement et des Espaces Naturels : biodiversité et services écosystémiques de Nouvelle Calédonie	ADECAL (New Caledonia Economic Development Agency)	497 604
Quantification des services écosystémiques associées aux agroécosystèmes - Cas des systèmes à base de bananes plantains en Martinique	CIRAD (Centre de Coopération internationale en recherche agronomique pour le développement)	65 589
Suivie Opérationnelle des Pécaris à lèvres blanche du Parc Amazonien de Guyane	Parc National de la Guyane	127 375
Création de nouvelles aires protégées	Office National des Forêts	121 555

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
dans les forêts publiques à la Réunion et à Mayotte		

#### 2012 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Conserving Species and Sites of International Importance by the Eradication of Invasive Species in the Caribbean UK Overseas Territories	The Royal Society for the Protection of Birds	266 432
South Pacific Ocean Ecosystemic Analysis	French Marine Protected Area Agency	500 000
Protecting Biodiversity and Creating Multiple Benefits for Local Communities in Greenland	Nordisk Fond for Miljø og Udvikling	319 700
Changes in submersed vegetation: assessing loss in ecosystems services from fondose to depauperate systems dominated by opportunistic vegetation	Universidad de Las Palmas de Gran Canaria	168 460
Identifying important marine areas for macaroni penguins <i>Eudyptes chrysolophus</i> in the UK and FR OCTs	Natural Environment Research Council British Antarctic Survey	287 308
Terrestrial Ecosystems of the Falklands - a climate change risk assessment	Botanic Royal Gardens, Kew	256 640
Migration Routes of Megaptera <i>Novaeangliae</i>	Association Globice Réunion	183 550

#### 2013 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Measures towards Sustaining the BEST Preparatory Action to Promote the Conservation and Sustainable Use of Biodiversity and Ecosystem Services in EU Outermost Regions and Overseas Countries and Territories – BEST III	IUCN International Union for Conservation of Nature	1 972 668

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The years 2011 and 2012 were implemented through two calls for proposals BEST-2011 and BEST 2012. Together they attracted 84 proposals, out of which 16 projects were selected for funding including activities for designation and management of terrestrial and marine protected areas; combating invasive alien species, synergies using ecosystem services for climate change adaptation and mitigation, valuation of ecosystem services, networking, education, capacity building and outreach activities.

In 2013 an open call for tender for measures towards sustaining the BEST Preparatory Action was published. The selected contractor is a consortium led by International Union for the Conservation of Nature (IUCN) bringing together key actors of EU Outermost Regions and Overseas Countries and Territories.

### 5.6 Types of beneficiaries

The beneficiaries include private and public bodies, research institutions, non-governmental organisations and an international organisation (see details under section 5.4 above).

## 1. Heading

### 11 06 77 13: Preparatory action — Common curriculum for skippers of small commercial vessels

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		750 000	375 000	p.m.	300 000	-100,0 %	-20,0 %
Reserve						0,0 %	0,0 %
Total		750 000	375 000	p.m.	300 000	-100,0 %	-20,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this pilot project were voted for the first year under 2017 budget.

#### Intentions for the current year 2017:

Currently, professional qualifications for skippers of small commercial vessels (SCV) of individual Member States are not automatically recognised between Member States. This means that professional skippers can work only on vessels flagged by the country corresponding to their qualification. In boating hot spots such as the Mediterranean Sea, where boats under various European flags are based, this has severe effects on the work flexibility and mobility of skippers who are even restricted from driving identical vessels due to the different country flag. This situation affects charter boat skippers, marine service staff who move boats in or between ports, delivery skippers and dive boat skippers who ferry their customers. This lack of free movement of professional skippers acts as a direct barrier to intra-EU trade and/or imposes additional cost on skippers when needing to work across the EU.

Given this problem, and in view of the fact that education, vocational training and professional qualifications are Member States' competence, the preparatory project has three phases each with its own task and objective:

- Map and analyse, for all EU Member States, all the existing national qualifications and training curricula for skippers of small commercial vessels, breaking them down into the individual items which together make up the given qualification requirements/curriculum. Then compare these to see which are identical across Member States.
- Any elements found to be identical are to be combined into a "core curriculum for skippers of small commercial vessels (SCV)", common to all EU Member States, in a manner which is in line with the New Skills Agenda for Europe.
- The elements which are not common, but vary from Member State to Member State (either being found in the requirements of one State but not in that of another, or found in the requirements of all States but with different content in each), are to be identified and listed for each Member State separately, as 'national specific qualifications'.
- For the implementation of the "core curriculum" at EU level, the competent authorities of all Member States are to be encouraged to endorse/adopt this 'core curriculum' developed by the project. Member States are also to be

encouraged to each acknowledge the 'national specific qualifications'. How the project will achieve this (e.g. organising meetings, involving MS as project partners, etc), will be a key award criterion in evaluating proposals received after the call.

The objective of phase 3, and of the project overall, is that Member States will recognise the 'core curriculum' and allow holders of it to operate small commercial vessels in their waters, providing that the holder has also fulfilled the requirements of any 'national specific qualifications' for that Member State. This means that a skipper, instead of having to repeat the entire professional qualifications curriculum for each Member State where he/she wishes to operate, need only 'top up' the common curriculum with any additional qualifications the Member State in question may require.

A possible step further might be that Member States, as a result of this last phase of the project, will agree to work towards a commonly agreed full EU professional skippers' licence that would coexist with the national licences.

Activities expected to be covered (to be further specified in the call for proposals):

- a mapping and analysis of current professional qualification requirements for SCV skippers covering all 28 MS;
- production of a proposed common qualification curriculum valid across the EU and a complete set of national specific qualifications additional to the common one;
- organisation of interactions (such as meetings) with MS authorities and relevant stakeholders.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	May/June 2017
Launch of the call for proposals	June/July 2017
Grant agreement signature	November/December 2017
Payment of pre-financing	November/December 2017
Interim payment(s)	First half of 2018 / beginning of 2019
Project ending date	December 2019
Final payment	February 2020

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in May / June 2017, will set out the main elements for the implementation of the preparatory action.

Reference documents: results of the project TCC-SCV, funded by Erasmus + and running from 2014 to 2016. Notably the proceedings of its closing conference, 'Skippers Working Without Borders', Brussels, June 2016. See [www.tcc-scv.eu](http://www.tcc-scv.eu).

### **- Do similar activities exist, which are already covered by a legal basis?**

There has been an Erasmus + call for proposals (EACEA/04/2017, published OJ 26/1/2017), under theme KA2 – Cooperation for Innovation and Exchange of Good Practices. However, shipping is not included in the priority sectors eligible for a Sector Skills Alliance support under this call for proposals.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

Directive 2005/36, amended by Directive 2013/55, does introduce mutual recognition of maritime professional qualifications, but only for skippers of large ships (above 24 metres). The estimated 25,000 skippers in Europe of small commercial vessels (SCV) are not covered by this Directive.

Whether this project will be followed up by a proposal to expand the Directive to also cover SCV skippers, is still open – it will depend on the results of the project itself, notably the response of Member States during the phase three of the project.

#### **5.4 *Type of activities financed, number and amounts***

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

#### **5.5 *Method of implementation (tenders, grants, other) and evaluations***

The preparatory action will be implemented by a call for proposals (action grant).

#### **5.6 *Types of beneficiaries***

Eligibility and selection criteria will be defined in the financing decision and call for proposals.

## 1. Heading

### 17 03 77 11: Preparatory action — Fruit and vegetable consumption

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	450 000	p.m.	208 000	0,0 %	-53,8 %
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	450 000	p.m.	208 000	0,0 %	-53,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	2 - Sustainable growth: natural resources

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2013 and 2014 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The preparatory action builds on and expands the pilot project (budget item 17 03 77 03) promoting fruit and vegetable consumption under the 2011 and 2012 budgets and aims at promoting healthy diets and physical activity in general.

This action will draw on the experience of ongoing EP pilot projects, already available results have been taken into account to adjust the terms of reference.

It will be particularly concerned with low socio-economic groups when updating evidence, designing intervention methods and messages, and monitoring and evaluating results. Such targeting is essential for public health promotion and public health projects to be effective and reduce health inequalities.

Within its necessarily limited scope, it should contribute to the advancement of the knowledge base on dieting and physical activity in general and on the intake of fruit and vegetables in particular, as well as on overweight and obesity prevalence by socio-economic strata (important data breakdowns for improved policy-making).

By identifying relevant literature, policies, projects, reports and gaps, the Preparatory Action will provide the opportunity to strengthen cooperation with Member States to efficiently use the resources supported by the Health Programme, and other relevant EU Programmes.

In 2013 commitment appropriations were allocated for this preparatory action but as the results of the pilot project on fruit and vegetables consumption were not yet available, it was decided that the start of the implementation of the preparatory action would be postponed. The contract SANCO/2014/C4/068 for the preparatory action related to reviews of scientific evidence and policies on nutrition and physical activity was signed on 30/12/2015.

The project began in 2016 with a series of interviews with individuals and organisations active in the field of nutrition and physical activity, such as the World Health Organisation (WHO), the OECD, academic experts, and non-profit organisations which hold expertise within these policy areas. These insights were used to refine the method of the subsequent stages of the project.



A core part of the work was the review of scientific evidence and policies to create a comprehensive evidence-base for more efficient and effective action to tackle challenges related to nutrition and physical activity, as well as non-communicable diseases such as obesity. Literature reviews covering both published scientific and grey literature have been carried out for eight objective areas:

- A1: Preconceptions and behaviours contributing to Positive Energy Balance;
- A2: Effect of Policy Interventions on Diet and Physical Activity;
- B1: Sources of Calories Consumed and Types and Frequency of Physical Activity among Europeans;
- B2: Impact of fruit juices and of artificially and sugar-sweetened Beverages on Overweight and Obesity;
- B3: Health Impact of Sweeteners and High Fructose Corn Syrup;
- C: Effect of Overweight/Obesity and/or Inadequate Physical Activity on School and Work Performance;
- D: Early Warning Indicators; and
- E: Need for Nutrition and Physical Activity Guidelines for Different Populations.

### **Intentions for the current year 2017:**

The Preparatory Action will:

- finalise the eight reviews of scientific evidence and policies. Each will include: an executive summary; introduction, methodology and context; evidence based synthesised and updated review; application of evidence, testing, validation at workshops; and policy recommendations
- discuss and validate such reviews in specific workshops bridging the research and policy-making worlds. The workshops shall provide a forum for discussion and interaction between varied experts, allowing participants to build upon and refine each other's contributions, the synergies emerging from the discussion facilitate consensus building and reaching decisions on complex and challenging issues. The process of inviting experts has started. Experts will review materials before the workshop which will then build on the results of the literature review stage
- develop a robust set of evidence-based policy options to halt and reverse the rising trend in overweight and obesity that are extracted from state of the art scientific research and whose feasibility is weighed and discussed with leading experts and practitioners in the field throughout the project. The policy recommendations will focus on interventions to improve diets and enhance physical activity levels. Preliminary policy recommendations will be tested during the expert workshops including a discussion on how to address research gaps
- produce eight summary brochures in English

The Preparatory Action will continue to build upon existing networks and work, namely the Joint Action with EU Member States on Nutrition and Physical Activity. It will continue to liaise and cooperate with said Joint Action and with the EU Platform for Action on Diet, Physical Activity and Health as well as the High Level Group on Nutrition and Physical Activity.

### **5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

2014 commitment appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	01/12/2014
Publication of call for tender	9/7/2015
Closing date of call for tender	18/9/2015
Award decision	16/12/2015
Pre-financing payment(s)	-
Interim payment(s)	Expected 2017
Final payment(s)	Expected 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision for 2014 C(2014)8955 was adopted on 1 December 2014 and sets out the main elements for the implementation of the preparatory action.

The call for tender was published and all the related documentation can be found at:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_sanco\\_2014-c4-068\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_sanco_2014-c4-068_en.htm)

The award notice was published on 25 February 2016.

Any forthcoming results will be published on:

[http://ec.europa.eu/health/nutrition\\_physical\\_activity/projects/ep\\_funded\\_projects\\_en.htm](http://ec.europa.eu/health/nutrition_physical_activity/projects/ep_funded_projects_en.htm)

#### - Do similar activities exist, which are already covered by a legal basis?

The 'School Fruit Scheme' under the Common Agricultural Policy can be said to be a reference for this preparatory action. The scheme requires participating Member States to set up strategies including educational and awareness-raising initiatives. The School Fruit Scheme has been evaluated and its implementation report has been published: [http://ec.europa.eu/agriculture/sfs/index\\_en.htm](http://ec.europa.eu/agriculture/sfs/index_en.htm).

Notwithstanding, this preparatory action is more socially-oriented, gathering relevant information related to children, older people and pregnant women as a target groups with the aim of increasing their fruit and vegetables consumption.

This action will also draw on the experience of ongoing pilot projects, already available results have been taken into account to adjust the terms of references.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2014 commitment appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
REVIEWS OF SCIENTIFIC EVIDENCE AND POLICIES ON NUTRITION AND PHYSICAL ACTIVITY	ICF CONSULTING SERVICES	693 379

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented by a call for tender.

### 5.6 Types of beneficiaries

The direct beneficiaries of this preparatory action will be vulnerable population groups, especially low-income pregnant and breastfeeding women, children and older people.

The public health community (researchers, NGOs, authorities) will also benefit from improved guidelines for action when promoting healthy diets and physical activity.

### **Heading 3 – Security and Citizenship**

## 1. Heading

### 09 02 77 04: Preparatory action — European Centre for Press and Media Freedom

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	198 606,41	1 000 000	1 000 000	p.m.	1 100 000	-100,0 %	10,0 %
Reserve							0,0 %	0,0 %
Total	500 000,00	198 606,41	1 000 000	1 000 000	p.m.	1 100 000	-100,0 %	10,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2015, 2016 and 2017 Budgets. It followed a pilot project voted under the 2013 and 2014 budgets. In accordance with article 54(2)(b) of the Financial Regulation, 2017 is the third and final year of the preparatory action.

Under this preparatory action<sup>10</sup>, a number of activities have been run. These are coordinated by the European Centre for Press and Media Freedom (ECPMF), based in Leipzig (Germany). The idea behind the establishment of the ECPMF is to unite Europe's fragmented media freedom community and to address media freedom violations in EU member states and beyond<sup>11</sup>. The Centre researches and registers violations of media freedom and informs the public, via its website and the Media Freedom Resource Centre, an online-platform that collects and systematizes content related to press freedom. Endangered journalists can be supported with legal or financial help and the Journalists-in-Residence-programme, which provides them with a safe shelter.

Furthermore, in the context of this preparatory action, Index on Censorship has built on the existing mapping media freedom platform, to provide further assistance to journalists and disseminate knowledge about media freedom in Europe<sup>12</sup>.

Finally, the International press Institute (IPI) has addressed the risk that the abuse of defamation laws, and of criminal defamation laws in particular, poses to the public's right to information in the EU and in Candidate Countries<sup>13</sup>.

### Objectives followed during 2016 and previous years' implementation:

The focus of the last years' ECPMF pilot projects and preparatory actions was the establishment of the ECPMF and its profiling as a contact point for journalists in danger. Under these PP and PA, a number of activities have been performed, including: support to journalists facing threats, collecting and disseminating information material on media

<sup>10</sup> <https://ec.europa.eu/digital-single-market/en/media-freedom-projects>

<sup>11</sup> <https://ecpmf.eu/>

<sup>12</sup> <https://mappingmediafreedom.org/#/>

<sup>13</sup> <https://ipi.media/>

freedom and pluralism, organising events and training courses on media freedom and pluralism, fact-finding and advocacy activities.

**Intentions for the current year 2017:**

A number of activities have been foreseen for the year 2017. These include: support to journalists facing threats, collecting and disseminating information material on media freedom and pluralism, organising events and training courses on media freedom and pluralism, fact-finding and advocacy activities.

**5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2015 appropriations

Step	Date
Adoption of financing decision	16/06/2015
Publication of call for proposals	16/10/2015
Closing date of call for proposals	30/11/2015
Award decision	18/02/2016
Pre-financing payment(s)	May 2016
Interim payment(s)	May 2017
Final payment(s)	June 2017

2016 appropriations

Step	Date
Adoption of financing decision	27/04/2016
Invitation to submit a grant proposal ('direct grant')	28/09/2016
Deadline for submission of a grant proposal	13/03/2017
Award decision	Second quarter 2017
Pre-financing payment(s)	May 2017
Interim payment(s)	Fourth quarter 2017
Final payment(s)	Second quarter 2018

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017
Invitation to submit a grant proposal ('direct grant')	May 2017
Deadline for submission of a grant proposal	October 2017
Award decision	Fourth quarter 2017
Pre-financing payment(s)	2018
Interim payment(s)	2018
Final payment(s)	2019

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

For 2015 appropriations: Commission financing decision C(2015)3917 of 16/06/2015 sets out the main elements for the implementation of the preparatory action.

For 2016 appropriations: the Commission financing decision C(2016)2411 of 27/04/2016 sets out the elements for the implementation of the preparatory action

For 2017 appropriations: the Commission financing decision C(2017)2755 of 28/04/2017 sets out the elements for the implementation of the preparatory action.

Detailed information on the implementation of the preparatory action and supported projects can be found at:

<https://ec.europa.eu/digital-single-market/en/media-freedom-projects>

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"The European Centre for Press and Media Freedom – Phase 2"	Medienstiftung de Stadt-und Kreissparkasse Leipzig, Fondazione Opera Campana dei Caduti, South East European Media Organization (SEEMO-IPI), Ossigeno per l'Informazione, Institute of European Media Law, Journalismfund.eu vzw	748 907
"Mapping Media Freedom in European spaces"	Index on Censorship	150 000
"The abuse of defamation laws in Europe: Exposing and Addressing the Threat to Media Freedom and Pluralism"	International Press Institute	94 126

For 2016, the award decision is not yet finalised.

### 5.5 Method of implementation (tenders, grants, other) and evaluations

2015: the preparatory action was implemented through a call for proposals.

2016 and 2017: The Commission is considering the possible award of a grant without a call for proposals under Article 190(1) (f) of the Rules of Application of the Financial Regulation.

### 5.6 Types of beneficiaries

EU-established organisations active in the field of press and media freedom

## 1. Heading

### 09 02 77 05: Preparatory action — Implementation of the media pluralism monitoring tool

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	350 000,00	340 143,17	p.m.	262 500	p.m.	87 500	0,0 %	-66,7 %
Reserve							0,0 %	0,0 %
Total	350 000,00	340 143,17	p.m.	262 500	p.m.	87 500	0,0 %	-66,7 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2015 and 2016 budgets. It follows a pilot project (09 02 77 02) voted under the 2013 and 2014 budgets.

Under this preparatory action, the project's researchers assessed risks in all EU Member States, as well as in two candidate countries: Turkey and Montenegro.

The Media Pluralism Monitor (MPM) is based on the following features:

A broad notion of media pluralism that encompasses political, cultural, geographical, structural and content related dimensions;

All types of media are covered: public service, commercial and community media. Moreover, the monitor recognises that different policies and regulatory approaches may apply to different types of media (e.g. broadcasting, print and new media) and such distinctions are reflected in the indicators;

A diagnostic tool: a tool is designed to obtain a broad understanding of the risks to media pluralism in a Member State, but does not establish the problems nor the policy responses.

A broad range of indicators to identify risks across several areas. The assessment should be carried out in a transparent manner in consultation with stakeholders.

The MPM is based on a European Commission funded study published in 2009. The European Union is now funding projects to simplify and test the tool so that it can be realistically applied by Member States.

More information: <https://ec.europa.eu/digital-single-market/en/media-pluralism-monitor-mpm>

### Objectives followed during 2016 and previous years' implementation:

In the last years, the MPM pilot projects were mainly aimed at a simplification of the MPM tool, in order to ensure that it can be fully implemented. In parallel to such simplification, the tool was also a number of Member States (nine in the first years, and nineteen in the second year).

### Intentions for the current year 2017:

In 2017, the Centre for Media Pluralism and Media Freedom (CMPF) at the European University Institute is implementing the Media Pluralism Monitor (MPM) across all EU Member states, as well as in Serbia and FYROM (former Yugoslav Republic of Macedonia).

#### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

Step	Date
Adoption of financing decision	16/06/2015
Publication of call for proposals	15/07/2015
Closing date of call for proposals	30/09/2015
Award decision	17/11/2015
Pre-financing payment(s)	December 2015
Interim payment(s)	N/A
Final payment(s)	November 2016

2016 appropriations

Step	Date
Adoption of financing decision	27/04/2016
Invitation to submit a proposal	19/07/2016
Deadline for submission of a grant proposal	06/12/2016
Award decision	20/12/2016
Pre-financing payment(s)	January 2017
Interim payment(s)	N/A
Final payment(s)	First Quarter 2018

#### 5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

For 2015 appropriations: the Commission financing decision C(2015)3917 of 16/06/2015 sets out the main elements for the implementation of the preparatory action.

For 2016 appropriations: the Commission financing decision C(2016)2411 of 27/04/2016 sets out the elements for the implementation of the preparatory action.

Detailed information on the implementation and results of the pilot project and preparatory action is available at:

<https://ec.europa.eu/digital-single-market/en/media-pluralism-monitor-mpm>

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
"Assessing the risk for media pluralism	European University Institute	500 000



<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
in EU and beyond: application of the Media Pluralism Monitor tool (MPM2015/16) in 30 European countries"		

2016 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
"Assessing the risk for media pluralism in EU and beyond: application of the Media Pluralism Monitor tool (MPM 2016/2017) in 30 European countries"	European University Institute	350 000

### **5.5 Method of implementation (tenders, grants, other) and evaluations**

The 2015 appropriations were awarded following a call for proposals. The 2016 appropriations were awarded by a grant without a call for proposals in accordance with the conditions set out in Article 190(1) (f) of the Rules of Application of the Financial Regulation.

### **5.6 Types of beneficiaries**

EU-established organisations active in the field of press and media freedom

## 1. Heading

### 09 05 77 03: Preparatory action — Action on subtitling including crowdsourcing to increase the circulation of European works

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	450 000,00	0,00	1 000 000	1 000 000	p.m.	225 000	-100,0 %	-77,5 %
Reserve							0,0 %	0,0 %
Total	450 000,00	0,00	1 000 000	1 000 000	p.m.	225 000	-100,0 %	-77,5 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2015, 2016 and 2017 Budgets.

#### Objectives followed during 2016 and previous years' implementation:

The main objective for this preparatory action, since its launch in 2015, is to improve the circulation of European audiovisual works within the Union and to strengthen the competitiveness of the European audiovisual industry.

In 2015, a budget of EUR 1 000 000 was allocated and three projects were selected. Those projects started in January 2016 with a duration of one year. They are currently in progress and operational results are not yet available. The specific objective of the first year was to test innovative solutions of obtaining translations which could reduce subtitling costs.

The objective of the second year (2016) was to increase the availability of European works by developing an online tool that could enable to track all language versions available for any given film. This could then enable service providers (e.g. VOD services, catch-up TV services or cinemas) to easily find and use the different language versions they need. One project was awarded EUR 450 000 in 2016 and it is currently in progress.

#### Intentions for the current year 2017:

2017 is the third year for this action and the twofold aim will continue to be supported:

- firstly, finding innovative solutions for film translation (e.g. crowdsourcing, machine translation etc)
- secondly, enable tracking of language versions and enable service providers (e.g. VOD services, catch-up TV services or cinemas) to easily find the language version of their choice.

In practice, this means that the 2017 action will continue to support the goals of the actions from the previous two years.

The aims of this preparatory action are:

- testing and developing innovative subtitling solutions/processes/models that are more cost-effective than those currently available on the market,

- applying this approach to European works with a clear editorial line,
- supporting the online availability of the works and the relevant subtitled versions.

The outcomes sought for this preparatory action are:

- lowering the cost of making European films available by stimulating the development of cost-effective subtitling solutions,
- increasing the supply and raising the profile of European audiovisual works online and enriching the catalogues of video-on-demand services in the Union,
- improving the circulation of European audiovisual works within the Union and strengthening the competitiveness of the European audiovisual industry.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	14/07/2015
Publication of call for proposals	17/07/2015
Closing date of call for proposals	25/09/2015
Award decision	24/11/2015
Pre-financing payments	21 & 23/12/2015
Interim payment(s)	/
Final payments	May & November 2017

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	27/04/2016
Publication of call for proposals	26/07/2016
Closing date of call for proposals	26/09/2016
Award decision	24/11/2016
Pre-financing payment	17/01/2017
Interim payment(s)	/
Final payment(s)	May 2018

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	28 April 2017
Publication of call for proposals	May 2017
Closing date of call for proposals	July 2017
Award decision	September 2017
Pre-financing payment(s)	October 2017
Interim payment(s)	/
Final payment(s)	May 2019

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

2015: Commission financing decision C(2015)4715 of 14 July 2015.

The call for proposals CNECT 3225437/2015 was published on Europa: <https://ec.europa.eu/digital-single-market/news/call-proposals-fostering-european-integration-through-culture-providing-new-subtitled-versions>.

2016: Commission financing decision C(2016)2411 final of 27 April 2016.

The call for proposals CNECT/2016/3998061 was published on Europa: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-develop-online-tool-enabling-tracking-language-versions-films>.

2017: the Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the preparatory action.

#### - Do similar activities exist, which are already covered by a legal basis?

There are no current actions aiming at developing new and innovative processes/ways (including crowdsourcing) to obtain or handle subtitling.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Not at this stage – decision to be taken in the light of the results obtained.

### 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Working_(sub)_Title	UMWI - Under The Milky Way International (Paris)	350 000,00
SubtitleX.eu	EYZ Media GmbH (Berlin)	300 000,00
SUBurbia.EU	Way Film Translation UG (Berlin)	350 000,00

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
CinandoST 2017	SOGOFIF - Société de Gestion d'Operations Commerciales pour le Festival International du Film s.a.s. (Paris)	450 000,00

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The preparatory action is implemented through calls for proposals (grants).

### 5.6 Types of beneficiaries

The preparatory action is open to legal entities established in the EU. The three beneficiaries for the projects selected in 2015 are private companies. The beneficiary for the project selected in 2016 is also a private company.

## 1. Heading

### 09 05 77 05: Preparatory action — Subtitling European cultural television content throughout Europe

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	1 500 000,00	0,00	1 500 000	750 000	p.m.	450 000	-100,0 %	-40,0 %
Reserve							0,0 %	0,0 %
Total	1 500 000,00	0,00	1 500 000	750 000	p.m.	450 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2016 and 2017 Budget. It follows a pilot project (09 05 77 02) for which commitment appropriations were voted under the 2014 and 2015 Budgets.

#### Objectives followed during 2016 and previous years' implementation:

The key objective of the action is to foster European integration through a wider dissemination of European audiovisual cultural content. The pilot project shall test the added value of subtitling existing audio-visual cultural on-line content; and its impact on audiences outside their country of origin through the development of new business models for European media stakeholders whilst enabling EU institutions to base future policy initiatives on the outcome.

The project shall include a scientific evaluation to measure and compare the outreach of content and the audience with and without different language versions, both within and outside Europe. The action will allow a transparent selection of programmes to be supported and should give all European stakeholders the opportunity to benefit from the experience and use the results.

In 2016, a first call for proposals was published under this preparatory action. Two projects were selected to start in January 2017.

#### Intentions for the current year 2017:

Continuation of the projects of the first call for proposals.

The call for proposals to be published in 2017 will aim to meet three specific objectives, namely:

1. Test new business models for European audiovisual media service providers and assess whether providing subtitled versions of European TV programmes can help bridge the difficulties caused by linguistic fragmentation and increase audience numbers both within and beyond the European Union;
2. Facilitate cross border online dissemination of cultural content which is often slowed down by linguistic borders;
3. Compare the audience reach of similar audiovisual content with and without subtitling and share the results publicly with EU stakeholders and public policy makers.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2016 appropriations

Step	Date
Adoption of financing decision	27/04/2016
Publication of call for proposals	26/07/2016
Closing date of call for proposals	26/09/2016
Award decision	24/11/2016
Pre-financing payments	17/01/2017
2nd pre-financing payments	June 2018
Final payment(s)	November 2019

2017 appropriations

Step	Date
Adoption of financing decision	28 April 2017
Publication of call for proposals	May 2017
Closing date of call for proposals	July 2017
Award decision	September 2017
Pre-financing payment(s)	October 2017
2nd pre-financing payment(s)	June 2019
Final payment(s)	November 2020

## 5.3 Documentation and expected results

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

2016: Commission financing decision C(2016)2411 of 27 April 2016.

The call for proposals CNECT 2781934/2016 was published on Europa: <https://ec.europa.eu/digital-single-market/en/news/call-proposals-try-out-innovative-strategies-enhance-circulation-european-tv-content-throughout>.

2017: the Commission financing decision C(2017)2755 of 28 April 2017 sets out the main elements for the implementation of the preparatory action.

**- Do similar activities exist, which are already covered by a legal basis?**

General actions on subtitling exist within the Distribution Schemes of the Creative Europe Programme (Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020).

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No legislative follow-up is foreseen at this stage. The pilot project (09 05 77 02) and the preparatory action are still ongoing.

## 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ARTE Europe - troisième phase	ARTE - Association Relative à la Télévision Européenne G.E.I.E. - FR	1 200 000,00
Youropa - connected by VPRO & Ericsson	Omroepvereniging VPRO - NL	300 000,00

### *5.5 Method of implementation (tenders, grants, other) and evaluations*

The preparatory action is implemented via calls for proposals (grants).

### *5.6 Types of beneficiaries*

The preparatory action is open to legal entities established in the EU.

The two beneficiaries for the projects selected in 2016 are European public broadcasters.

## 1. Heading

### 15 04 77 11: Preparatory action — New narrative on Europe

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	500 000,00	539 549,00	750 000	732 605	p.m.	375 000	-100,0 %	-48,8 %
Reserve							0,0 %	0,0 %
Total	500 000,00	539 549,00	750 000	732 605	p.m.	375 000	-100,0 %	-48,8 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 and 2017 budgets (under budget line number 15.04.77.11) and under the 2015 budget. It follows a pilot project under the 2013 and 2014 budgets (budget line 15 04 77 05, previously 16 02 77 01).

### Objectives followed during 2016 and previous years' implementation:

The key objective of this preparatory action is to foster debate with young people at grassroots level and collect fresh views about the EU's current challenges, ways forward and the future of the European project. It will have as priority to reach out to youth, as young people are not only the ones mostly concerned with the future, but also a part of the population mostly affected by the crisis.

With the cooperation and assistance of youth organisations and a limited number of personalities from the cultural and scientific world, acting as "ambassadors", this preparatory action is expected to meet four specific objectives:

1. to conduct events to foster dialogue and debate on the narratives for Europe in as many Member States as possible;
2. to support and participate in public events with broad media coverage that are organised by youth, cultural, scientific and citizens' organizations and focus on the debate on the future of Europe;
3. to extend the debate through the social media;
4. to ensure maximum inclusion of citizens by supporting independent media platforms covering the debate on the new narrative(s) for Europe.

### Intentions for the current year 2017:

2017 is the third and final year of this action.

To implement the actions as described hereunder, the Commission will deliver the following activities in 2017:

- A flash Eurobarometer/survey to get the inputs from a representative sample of young people on the outcomes of the debates from 2016 and understand differences in attitudes and opinions according to socio-economic background and country. This flash would thus provide useful data beyond the New Narrative for Europe project itself.



- The organisation of at least two events with representatives from youth, education, cultural and communication sectors, the first one to analyse the outcomes of the online and offline debates held in 2016 and the second to present the results to decision makers by the end of 2017. Costs for travelling, accommodation and meal arrangements will be covered for the participants.
- A communication campaign, including social media, to disseminate the results among the general public and with networks in education, culture, youth, EC trainees and other relevant ones.
- Logistical support to the ambassadors, covering travel and accommodation arrangements and the logistical organisation of their attendance in public events.

Expected results:

- to provide a digital platform in which young people, and in particular non-political, non-educated youngsters or those with a deprived background, can share their views on and come up with their own proposals for the current challenges and the future of the EU project;
- to upscale EU related messages on social media, including with the help of celebrities, for instance, as a part of a comprehensive and innovative communication and social media strategy;
- to amplify debate by sharing the topics, questions and material with existing networks active in youth, education and culture and beyond using new online methods;
- to connect people living in Europe to the European integration project via the arts and sciences.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	4 May 2015
Signature of Specific Contract under existing Framework Contracts	As from June 2015
Pre-financing payment(s)	N/A
Interim payment(s)	October 2015 – May 2016
Final payment(s)	March 2016 – December 2016

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	31 March 2016
Signature of Specific Contract under existing Framework Contracts	As from April 2016
Pre-financing payment(s)	N/A
Interim payment(s)	April 2016 – December 2016
Final payment(s)	May 2016 – September 2017

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	May 2017
Signature of Specific Contract under existing Framework Contracts	As soon as the Financing Decision is adopted / May 2017
Pre-financing payment(s)	N/A
Interim payment(s)	June 2017 – May 2018
Final payment(s)	June 2017 – December 2018

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decisions C(2015)2827 of 4 May 2015 and C(2016)1848 of 31 March 2016 sets out the main elements for the implementation of the preparatory action.

The 2017 financing decision is expected to be adopted in May 2017.

Financing decisions are available at:

- [http://ec.europa.eu/dgs/education\\_culture/more\\_info/awp/docs/c-2015-2827\\_en.pdf](http://ec.europa.eu/dgs/education_culture/more_info/awp/docs/c-2015-2827_en.pdf)

- [http://ec.europa.eu/dgs/education\\_culture/more\\_info/awp/docs/c\\_2016\\_1848.pdf](http://ec.europa.eu/dgs/education_culture/more_info/awp/docs/c_2016_1848.pdf)

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. Depending on the outcomes of the project, the Commission will consider the relevance of up- keeping a dialogue with young people on a more permanent basis, possibly within the framework of Erasmus+ / Youth.

### 5.4 Type of activities financed, number and amounts

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
New Narrative for Europe	Teamwork, Presto, Ecorys, AMEX	1 000 000

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
New Narrative for Europe	Teamwork, Presto, Ecorys, AMEX, Jamendo	500 000

2017 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
New Narrative for Europe	Contractors through existing Framework Contracts	750 000

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The Commission will work with contractors through existing framework contracts to deliver the activities mentioned under Section 5.1 in 2017.

### 5.6 Types of beneficiaries

External contract-services companies

## 1. Heading

### 15 04 77 12: Preparatory action — Europe for Festivals, Festivals for Europe (EFFE)

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	350 000,00	245 000,00	350 000	175 000	p.m.	105 000	-100,0 %	-40,0 %
Reserve							0,0 %	0,0 %
Total	350 000,00	245 000,00	350 000	175 000	p.m.	105 000	-100,0 %	-40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2016 and 2017 budgets.

#### Objectives followed during 2016 and previous years' implementation:

It follows from a pilot project for which commitment appropriations were voted under the 2013 budget. The preparatory action was implemented through a call for proposals (EAC/S05/2016) giving rise to a framework partnership agreement followed by a specific agreement.

Applicants shall be an organisation or a consortium of partners having a significant capacity and experience in representing networks of cultural festivals in all cultural and creative sectors across a large European geographical scale. The organisations shall also be capable of designing and implementing a programme of activities seeking to effectively prepare and implement a fully-fledged label and award scheme.

The first year of the preparatory action has started in November 2016 following the signature of a Specific Agreement under a Framework Partnership agreement with the European Festivals Association as single beneficiary.

The evaluation of the Festivals to be labelled has been recently launched. The list of labelled festivals will be announced during the Rheingau Festival on 5th May 2017. More than 750 festivals have applied for the label. The awarded festivals will be announced at a ceremony in September 2017.

Further information can be found at the website of the action: <http://www.effe.eu/>

#### Intentions for the current year 2017:

Building on the results of the pilot project, the preparatory action should contribute to the objectives of the EU in the field of culture as stated in the art. 167 of the Treaty: to "contribute to the flowering of the cultures of the Member States, while respecting their national and regional diversity" and encourage "cooperation between Member States and, if necessary, supporting and supplementing their action" in the field of culture.

The preparatory action also seeks to cover wider objectives and policies of the EU: generate and deliver an added value to the activities carried out by festivals in Europe which contribute to the Europe 2020 strategy of smart, sustainable and inclusive growth thanks to their spill-over capacity in the cultural and creative sectors, in the field of tourism, regional and urban development.

It will also capitalise on the potential of festivals in order to maximise their contribution to various EU policies, including innovation, social inclusion, education, youth work and intercultural dialogue.

Last, by promoting the visibility of Europe's values through festivals, the action proposed will reach a large number of citizens across Europe, in particular young people.

Expected results:

The preparatory action will continue the work of the pilot project and prepare the ground for the development of the award and the label into a permanent action, possibly European Festival Award and European Festival Label. More specifically, it is expected that the selected organisation/consortium will:

- a) Develop a solid, credible and transparent mechanism for the selection of European festivals to receive a label and/or award;
- b) Develop a branding strategy in the continuity of the pilot project, for a recognisable and valuable label and award;
- c) Create a momentum ensuring high visibility for the award and label, the winners and the values that labelled festivals promote, and engage different target groups for the label and awards;
- d) Develop networking and partnership activities with a view to ensuring the sustainable impact of the label and awards.

**5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	31 March 2016
Publication of call for proposals	26 April 2016
Closing date of call for proposals	21 June 2016
Award decision	26 August 2016
Pre-financing payment(s)	9 November 2016
Interim payment(s)	N/A
Final payment(s)	January 2018

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	May 2017
Publication of call for proposals / request for proposal under existing framework partnership agreement	June 2017
Closing date of call for proposals / closing date for submission of specific proposal	August 2017
Award decision	September 2017
Pre-financing payment(s)	October 2017
Interim payment(s)	N/A
Final payment(s)	January 2019

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

For 2016, the Commission financing decisions C(2016)1848 of 31 March 2016 sets out the main elements for the implementation of the preparatory action. The Commission financing decision for 2017 is expected to be adopted in May 2017.

The call for proposals EAC/S05/2016 is published at: [https://ec.europa.eu/programmes/creative-europe/calls/2016-eac-s05\\_en](https://ec.europa.eu/programmes/creative-europe/calls/2016-eac-s05_en)

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

The results of the preparatory action could be taken into account in the preparation of future action in the area.

### 5.4 Type of activities financed, number and amounts

2016 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Europe for Festivals, Festivals for Europe (EFFE)	European Festivals Association	350 000

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The first year of the preparatory action has started in November 2016 following the signature of a Specific Agreement under a Framework Partnership agreement with the European Festivals Association as single beneficiary.

The implementation of the preparatory action under the 2017 Budget will include the signature of a new specific agreement with the European Festivals Association, covering the promotion of the labelled and awarded festivals and the implementation of the branding strategy currently in development.

### 5.6 Types of beneficiaries

European Festivals Association is a private non-profit entity

## 1. Heading

### 15 04 77 14: Preparatory action — Open micro-business models for innovation in European family-owned heritage houses

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			500 000	250 000	p.m.	350 000	-100,0 %	40,0 %
Reserve							0,0 %	0,0 %
Total			500 000	250 000	p.m.	350 000	-100,0 %	40,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

The objective of the preparatory action is to strengthen the capacity of private owners of heritage houses and equip them with innovative business models.

The preparatory action will map the existing business models that are being used by family-owned heritage houses in the EU, compare them; identify best practices and potential innovations. The best practices will then be shared.

It will also quantify and qualify (estimate) the economic value of family-owned heritage houses in the EU and identify their potential to contribute to various EU policies, including innovation, social inclusion, education, youth work and intercultural dialogue. A clear added value will derive from the activation of synergies with current EU actions dedicated to cultural heritage, such as the European Heritage Days, EU Prize for Cultural Heritage, and European Heritage Label.

It will also be an opportunity for cross-fertilisation and stronger interaction between family-owned heritage houses and other cultural and creative sectors and local communities, maximising the benefits in the territories. The action will for example examine how family-owned heritage houses can help engaging with local or regional education institutions or youth projects to reengage youths with their community and encourage them to critically engage with the past and its effects on today.

The action will finally contribute to the objectives of the European Year of Cultural Heritage, through dissemination and awareness raising of European value of heritage. It will be an opportunity to highlight the importance of education, training and innovation for the maintenance and support of cultural heritage.

It will also tap on issues regarding the transmission of knowledge of cultural heritage to the younger generations, the acquisition of heritage skills by the new generation, as well as the participatory governance for cultural heritage among public and private actors.

To this end, the expected results are:

- to produce a study (analysis, mapping, identification of models and best practices, quantification and qualification of potential social and economic value and policy recommendations for the European, national and regional levels);
- to communicate the project results (workshop; informative material; interactive communication tools; conference for stakeholders and policy makers);
- to contribute to the objectives of the European Year of Cultural Heritage (production of informative and educational, increase participation of family-owned heritage houses in the events organised during the Year).

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for tender	June 2017
Closing date of call for tender	September 2017
Award decision / Signature of contract	Third quarter 2017
Pre-financing payment(s)	N/A
Interim payment(s)	First third 2018; June 2018
Final payment(s)	2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing to be adopted in May 2017 will set out the d main elements for the implementation of the preparatory action.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The preparatory action will be implemented through a call for tenders to be published in the second quarter of 2017. The service contract will be signed in 2017.

## 5.6 *Types of beneficiaries*

Public or private entities

## 1. Heading

### 17 03 77 15: Preparatory action — European study on the burden and care of epilepsy

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	p.m.	p.m.	615 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	p.m.	p.m.	615 000	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

The project ESBACE started in 2015 following a call for proposals organised in 2014. The project runs until 2018.

ESBACE (European Study on the Burden and Care of Epilepsy), Improving the life of people with epilepsy: A European Perspective- aims to facilitate the development of high quality and equitable care throughout Europe by providing harmonised data on the burden of epilepsy and on care provision in selected European member states. Specifically, ESBACE seeks to generate data on:

- the prevalence of epilepsy
- the diagnostic barriers and treatment gaps
- the burden of epilepsy as expressed in stigma and impact on quality of life
- the cost of epilepsy
- the current management of patients presenting to hospitals with seizure disorders
- the existing national resources for epilepsy care in EU states
- the validity of Health Care Registers to assess the burden of epilepsy.

ESBACE will deliver new information on the prevalence of epilepsy in four EU states from different regions and with different resources and health care. This will reveal if there are significant differences in the prevalence of epilepsy.

ESBACE will provide a comparison of prevalence estimates using different methods and thereby demonstrate if the burden of epilepsy can be assessed through data generated from national health registries, which in that case could be a recommendation for sustained monitoring.

The seizure management audit will provide information on how services are coordinated and delivered, as well as comparative information on the quality of care.



The data on stigma can be used for educational activities and public campaigns.

The survey of epilepsy care will identify deficiencies to be corrected as well as good examples to be followed.

Milestones reached in the past 12 months include:

1. The newsletter with information on ESBACE to all European International League Against Epilepsy (ILAE) chapters. The ILAE is a federation of over 100 Chapters. There is only one Chapter recognized per country. Each national chapter has its own Constitution and Bylaws and its own President and officers, elected by individual members of each chapter, see: <http://esbace.eu/images/ESBACENewsletterSept2015%20final.pdf>
2. The website was launched: [www.esbace.eu](http://www.esbace.eu) ;
3. The First annual report has been delivered.
4. The methodology to determine prevalence and incidence of epilepsy in four countries has been agreed upon
5. Commencement of fieldwork: Ethical approval has been obtained in Denmark, Ireland, Austria and Romania. Start-up meeting arranged in Copenhagen 11 October 2016 - hereafter fieldwork has started. Expected delay approx. 6 months. It is expected that it will be possible to complete WP4 within the study period (i.e. prior to end of the ESBACE study period August 2018)
6. The study protocol for the cost study has been completed
7. The statistical plan for the cost study has been completed
8. The case report forms have been completed.
9. Measures to be used to document Stigma and Quality of life were identified. A total of five standardised measures will be used to assess quality of life and the impact of stigma among adults participating in the prospective one year study. These measures are unsuitable for children and cannot be completed by proxy.

The five measures comprise:

1. Hospital Anxiety and Depression Scale
2. SF36
3. Liverpool Impact of Epilepsy Scale
4. Revised Stigma Scale
5. Aldenkamp & Baker Neuropsychological Assessment Scale

These measures have been translated (using forward/backward translation) to local languages and are accessible via the online data collection server hosted by Aarhus University Hospital (<https://redcap.au.dk/>).

10. Identification of 5-10 hospitals in each of the 4 EU countries in which the audit will be run. The finalisation of the audit data collection tool and the uploading of it to the server is also expected to be completed in time to allow the completion of the WP5 within the study period.
11. The finalised version II of the European Epilepsy Services Inventory has been completed.

### **Intentions for the current year 2017:**

Following the information given before, the beneficiaries will continue to produce the expected deliverables (no one in the second year except the annual report) and to do all the work regarding their objectives.

They will do the fieldwork to determine prevalence and incidence of epilepsy in four countries.

They will develop a report on the prevalence of epilepsy (month 27 of the action) based on field studies in Ireland, Denmark, Austria and Romania and in Ireland and Denmark also based on Health registries, and it will be available at month 27 of the project.

They will follow working on the activities proposed and will prepare the second annual report to send to the European Commission with all the information and work explained.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2014 appropriations

Step	Date
Adoption of financing decision	01/07/2014
Publication of call for proposals	15/07/2014
Closing date of call for proposals	25/09/2014
Award decision	14/11/2014
Pre-financing payment(s)	2015
Interim payment(s)	-
Final payment(s)	Expected 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, adopted in July 2014 (C(2014) 4319) sets out the main elements for the implementation of the preparatory action.

The call for proposals 2014/1/1995648 published in July 2014 is available on the Europa website:

[http://ec.europa.eu/dgs/health\\_food-safety/funding/call\\_study\\_epilepsy\\_en.htm](http://ec.europa.eu/dgs/health_food-safety/funding/call_study_epilepsy_en.htm)

Project website: [www.esbace.eu](http://www.esbace.eu)

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

2014 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
ESBACE-EUROPEAN STUDY ON THE BURDEN AND CARE OF EPILEPSY	AARHUS UNIVERSITY - DENMARK	1 229 413

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The project was selected following a call for proposals.

## 5.6 *Types of beneficiaries*

ESBACE has established a consortium of 10 centres as associate partners, with a further 9 collaborating centres to drive this project

## 1. Heading

### 18 03 77 09: Preparatory action — Funding for the rehabilitation of victims of torture

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	872 335,99	p.m.	663 000	p.m.	338 242	0,0 %	-49,0 %
Reserve							0,0 %	0,0 %
Total	0,00	872 335,99	p.m.	663 000	p.m.	338 242	0,0 %	-49,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	3 - Security and citizenship

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2014 and 2015 budgets. It follows two pilot projects for which commitment appropriations were voted respectively under the 2011 budget and the 2012-2013 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The main objective of the creation of preparatory action was to create a line of funding for the final benefit of victims of torture whether EU citizens and/or migrants present in the European Union. The main objective was to enable rehabilitation centres to offer victims of as full rehabilitation as possible thus empowering torture victims to function properly in the society and to integrate faster in the European economies.

As indicated by the Commission at the time of the creation of the preparatory action under the 2014 budget, the implementation of this action as a preparatory action is not compliant with Art. 54 (2) (b) of the Financial Regulation which states that preparatory actions are designed to prepare proposals with a view to the adoption of future actions. There is no such proposal being prepared in the field of rehabilitation of victims of torture. The budgetary authority has nevertheless decided to include a second year this preparatory action under the 2015 budget.

The action has been implemented through grants awarded pursuant to open calls for proposals.

5 grants were awarded in 2015 under a 2014 call for proposals and the implementation of the projects started.

The unused appropriations from the 2014 call (due to the low number of high quality project proposals) have been added to the 2015 budget of 500 000 EUR, raising the amount available for the 2015 call for proposal to 1 178 946,52 EUR. 2 grants were awarded in December 2015 under the 2015 call for proposals.

#### Intentions for the current year 2017:

5 projects under the 2014 call for proposals were implemented throughout 2016 and only 4 projects are still under implementation in 2017. The implementation of the 2 projects under the 2015 call for proposals started in March and April 2016 and is ongoing.

## 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

### 2014 appropriations

Step	Date
Adoption of financing decision	26 June 2014
Publication of call for proposals	24 July 2014
Closing date of call for proposals	25 September 2014
Award decision	22 December 2014
Pre-financing payment(s)	April-May 2015
Interim payment(s)	N/A
Final payment(s)	2017

### 2015 appropriations

Step	Date
Adoption of financing decision	20 May 2015
Publication of call for proposals	May 2015
Closing date of call for proposals	8 September 2015
Award decision	29 October 2015
Pre-financing payment(s)	First quarter 2016
Interim payment(s)	N/A
Final payment(s)	2018

## 5.3 Documentation and expected results

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

Commission Financing Decision C(2014)4196 final of 26 June 2014 and Commission financing decision of 20 May 2015.

The call for proposals HOME/2014/PAVT/AG is available on Europa: [http://ec.europa.eu/dgs/home-affairs/financing/fundings/migration-asylum-borders/other-programmes/pilot-project-victims-torture/index\\_en.htm](http://ec.europa.eu/dgs/home-affairs/financing/fundings/migration-asylum-borders/other-programmes/pilot-project-victims-torture/index_en.htm)

The call for proposals HOME/2015/PAVT/AG is available on Europa: [http://ec.europa.eu/dgs/home-affairs/financing/fundings/migration-asylum-borders/other-programmes/pilot-project-victims-torture/calls/call-2015/index\\_en.htm](http://ec.europa.eu/dgs/home-affairs/financing/fundings/migration-asylum-borders/other-programmes/pilot-project-victims-torture/calls/call-2015/index_en.htm)

### - Do similar activities exist, which are already covered by a legal basis?

Yes. As set out by the Commission on various occasions, including in the executability letter of 24 October 2014 and previous implementation reports on the preparatory action, the actions proposed should no longer be implemented as a preparatory action as they are covered by legal bases in the field of migration and justice.

With respect to the target group of victims of torture who are third country nationals (especially asylum seekers), the actions proposed can be funded under the Asylum, Migration and Integration Fund (Regulation (EU) No 516/2014) through the funds allocated to the Member States for the reception and asylum systems (shared management). Victims of torture are part of a distinct category of 'vulnerable persons', whose specific care needs are funded on a continuous basis under the national programmes in the Member States. Core costs such as specific assistance including in particular health and psychological care as well as legal assistance and representation are fully eligible for funding at national level.

The relevant articles in the Regulation (EU) No 516/2014 establishing the Asylum, Migration and Integration Fund are (a) Article 5 'Reception and asylum systems' and (b) Article (2)(i) providing the definition of 'vulnerable person' to

be read in conjunction with Articles 21 'General Principals' and 25 'Victims of torture and violence' of the Directive 2013/33/EU laying down the standards for the reception of applicants for international protection.

Victims of torture, whether they are EU nationals or not, can also benefit from specific assistance as victims of crime. The Directive (2012/29/EU) establishing minimum standards on the rights, support and protection of victims of crime requires Member States to ensure that crime victims have access to support and protection when involved in criminal proceedings. In particular, the provisions of the Directive oblige Member State to grant access to general and specialist victims' support services to all victims of crime. Access to victim support services is not dependent on a victim making a formal complaint with regard to a criminal offence to a competent authority.

Under the budget lines regarding criminal justice, the EU has funded many multinational projects concerning victim support, including direct counselling to victims (such as psychological and legal advice) and capacity building of support centres. Projects may put particular attention on specific categories of victims, such as victims of torture. In the Annual Work Programme 2014 of the Justice Programme (Regulation (EU) No 1382/2013), which has as specific objective to facilitate effective access to justice for all, including to promote and to support the rights of victims of crime, a specific call was devoted to contributing to the implementation of the Directive, with as priorities general support services for victims, individual assessment of victims' needs, training for practitioners likely to come into contact with victims, and networking between Member States to exchange best practices and improve the situation of victims in cross-border cases. The implementation of the Directive remained a priority in 2015, 2016 and will also be one in 2017.

In addition, the Rights, Equality and Citizenship Programme (Regulation (EU) No 1381/2013) funds actions to combat violence against women, children and young people.

In 2014, a call was devoted to support services for victims, in particular victims of gender-based violence and child victims of violence.

In 2015, two calls were launched: a call for action grants to support national or transnational projects on multi-agency and multi-disciplinary cooperation to respond to violence against women and/or children, and on tackling underreporting, and a call for action grants to support national or transnational projects to enhance the rights of victims of violence.

In 2016, one call was dedicated to the education and awareness-raising of girls and boys about gender-based violence as a way to prevent it at an early stage, and a second call to promote the access to justice and support of victims of gender-based violence and the treatment of perpetrators.

In 2017, a call to prevent and combat gender-based violence and violence against children has been launched with five priorities: prevention; protection and support; coordination and/or adaptation of support services to include refugees and migrants; treatment of perpetrators; and national coordinating frameworks or action plans for violence against children.

All the legal instruments mentioned above were fully operational as from 2015.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 *Type of activities financed, number and amounts*

2014 commitment appropriations (individual commitments made in 2015)

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Access to early protection and rehabilitation services right on arrival in the EU The ACESO project	Hungarian Helsinki Committee	433 962,47
Rehabilitation services and exploration of best practice in treatment for adult torture survivors and their families in the UK and Ireland.	Freedom From Torture	554 230,77
The Path to Reconciliation paving the way to becoming contributing members of the community	Omega - Transcultural Center For Mental And Physical Health and Integration	529 562,76

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Soigner et accompagner autrement : soutien à la parentalité et développement d'activités collectives pour des personnes victimes de torture et de violence politique	Primo Levi Center	438 777,00
Prometheus II Strengthening the Rehabilitation of Torture Victims in Greece	Greek Council For Refugees	358 280,95

2015 appropriations (individual commitments made in 2015, using also unused appropriations from the 2014 call for proposals)

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Rehabilitation, empowerment and integration of asylum-seeking torture survivors	Rehabilitation Centre For Stress And Trauma (HR)	547 648,58
A Method for the Empowerment of Torture Survivors	Arq Foundation (NL)	539 154,37

### **5.5 Method of implementation (tenders, grants, other) and evaluations**

Grants awarded pursuant to open calls for proposals.

### **5.6 Types of beneficiaries**

National, regional and local authorities, non-governmental organisations (NGOs), non-profit public or private bodies, university departments and research centres.

International organisations were not eligible under the 2014 / 2015 calls for proposals.

.

## **Heading 4 – Global Europe**

## 1. Heading

### 21 02 77 02: Preparatory action — Business and scientific exchanges with India

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	700 161	p.m.	892 157	0,0%	27,4%
Reserve							0,0%	0,0%
Total	0,00	0,00	p.m.	700 161	p.m.	892 157	0,0%	27,4%

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2007, 2008 and 2009 budgets.

#### Objectives followed during 2016 and previous years' implementation:

The project pursued links between European and Indian businesses as well as between science and technology with the view to promote the EU interest in India and inject real content into the bilateral policy dialogue by involving, in particular, India and EU private sector.

The project aimed to contribute to the achievement of the following objectives:

- Increased business, scientific and technical cooperation partnerships between EU and Indian operators.
- Enhanced use of EU technology in India and development of joint new technologies.
- Increased visibility of EU in India, in particular on climate change mitigation efforts through technology transfer.
- Improved access for European business to the Indian market. (inserted from last year's submission)

The EBTC project was co-funded by the EU since October 2008 with a contribution up to EUR 16.6 Million until February 2016. EuroChambres and its partners will have contributed with about EUR 4 Million (20% of total costs), of which 5% on account of income generated by EBTC. The Centre is head-quartered in New Delhi.

In March 2016 all EBTC activities had ended. In parallel EuroChambres is to put in place an exit strategy, paving the way for its financial sustainability.

#### Intentions for the current year 2017:

2017 will be dedicated to assessment of final reports and audit.

Due to the complexity of the operations and the multiplicity of partners in different geographical locations co-financing the action & audit launched under AAP 2016, it is likely that actual project closure will only be possible in 2018 including final payment. Please note that there are three contracts (three phases). The corresponding final narrative and financial reports are currently being verified by the EU Delegation services. An external audit will most likely start in June 2017, subject to availability of record from Eurochambres including all partners.



5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

<b>Step</b>	<b>Date</b>
Grant agreement signed EBTC I	11 September 2008
Grant Agreement signed EBTC II	31 December 2008
Grant Agreement signed EBTC III	31 December 2009
First meeting Project Advisory Committee (PAC) Meeting	February 2009
Second PAC meeting	September 2009
Third PAC Meeting	June 2010
Forth PAC Meeting	February 2011
Fifth PAC Meeting	October 2011
Sixth PAC Meeting	April 2012
Seventh PAC Meeting	October 2012
Eighth PAC Meeting	April 2013
Ninth PAC Meeting	November 2013, Brussels
Tenth PAC Meeting	May 2014
Eleventh PAC Meeting	December 2014
Ecorys ROM mission	19-23 October 2009
Ecorys ROM Mission	11-20 May 2011
Ecorys Monitoring Mission	June 2012
Mid-Term Review	April 2013
Audit	March 2014
Legal Advice of FCRA Alternatives	July 2014
Performance Assessment	April 2015
EBTC-I	Final Payment (tbc after audit and submission of revised financial report) expected in 2017 EUR 351 108,14
EBTC-II	EUR 1 319 766 paid in December 2015 Final Payment (tbc after audit and submission of revised financial report) expected in 2017
EBTC III	EUR 800 000 paid in March 2015 Final payment (tbc after audit and submission of revised financial report) foreseen in 2018 EUR 892 157
Final report EBTC I, II and III– (October 2008 – February 2016)	22 November 2016
Audit report (foreseen)	August 2017

5.3 *Documentation and expected results*

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Financing decisions adopted by the Commission in 2007, 2008 and 2009.

**- Do similar activities exist, which are already covered by a legal basis?**

Not at the time of the preparatory action (2007-2009).

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

This Preparatory Action has been followed-up by a legislative proposal from the European Commission. This resulted in the amendment of Council Regulation (EC) N° 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories. The amended Regulation was adopted on December 13, 2011 as Regulation (EU) N° 1338/2011. The latter Regulation has been succeeded by Regulation (EU) N° 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries.

**5.4 Type of activities financed, number and amounts**

1. EBTC provides market intelligence on cleantech opportunities in India
  - Market insights, tailor-made studies, news on cleantech opportunities
  - Guidance on doing business in India
  - Connecting EU-Indian SMEs on Enterprise Europe Network (EEN) platform
  - Delegation visits and market exploration trips
2. EBTC identifies cleantech projects and partners in India for EU SMEs
  - Scouting for projects requiring clean technology solutions
  - identification of reliable partners, networking and contract facilitation
  - Match-making with industrial and university parties
3. EBTC partners with EU SMEs to promote their technology in India
  - Promotion through various channels, including through EBTC's European Technology Experience Centre (ETEC)
  - Incubation services - Business and Technology incubation
  - Assistance in exploration of commercial potential of research ideas through EBTC's Research Innovation Business programme
4. EBTC helps EU SMEs to localise their technologies in Indian context
  - India suitability feedback from in-house cleantech experts and trusted partners
  - Advisory in localisation aspects via EBTC Technology Localisation Model
  - Strategic support on technology specifications and design
5. EBTC supports EU companies to access the Indian market
  - Conceptualise India specific business model
  - Assistance in making pilot projects happen
  - Support in finding eligible funding possibilities
  - Risk management for technology and business

**5.5 Method of implementation (tenders, grants, other) and evaluations**

This preparatory action was implemented through grant agreements (3 different agreements) between the European Commission (max. 80% co-financing) and EUROCHAMBRES.

**5.6 Types of beneficiaries**

European companies, SMEs, research organisations

## 1. Heading

### 21 02 77 04: Preparatory action — Cooperation with middle income group countries in Asia

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	63 154,87	p.m.	p.m.	p.m.	150 000	0,0%	
Reserve							0,0%	0,0%
Total	0,00	63 154,87	p.m.	p.m.	p.m.	150 000	0,0%	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2008 and 2009 budgets.

#### Objectives followed during 2016 and previous years' implementation:

This budget line financed two projects now completed:

- Europe - Asia Policy Forum – EU for Asia.
- MIC - EU Outreach and Services Sector Dialogue & Business Cooperation.

And one project still ongoing:

- THAILAND - Setting-up of a European ASEAN Business Centre (EABC).

The description below concerns the project in Thailand.

**SPECIFIC OBJECTIVE 1:** To increase and further diversify investment and market possibilities for European companies in Thailand and ASEAN and to promote the region as high potential trade and investment markets to Europeans, especially SMEs as well as companies from countries that do not yet have an official business representation within Thailand and/or ASEAN.

**SPECIFIC OBJECTIVE 2:** To fill the gap of a missing EU Chamber of Commerce (or similar EBO) by becoming the European Business voice of Thailand, promoting and defending common policy interests towards Thai and ASEAN authorities.

**SPECIFIC OBJECTIVE 3:** To create a Centre, recognized both by European companies, but also local counterparts, which will develop a sustainable organisation also beyond the life span of this Project.

**SPECIFIC OBJECTIVE 4:** To create a Centre that acts as a multiplier and facilitator and that pools existing services, knowledge and resources of the existing European Chambers of Commerce in Thailand (ECCT) and Partners to create synergies that benefit European business.

**SPECIFIC OBJECTIVE 5:** To provide an active link between policy makers on the one hand and private bodies on the other

### Actions implemented in the year 2016:

All the efforts were placed to fulfil all activities in order to complete the project implementation and to comply with the Grant Contract. Efforts were geared towards activities which carried over from the past year to ensure that EABC would implement all activities as per Work Programme and in line with the Logical Framework as well as focusing on income generating activities to secure the sustainability of the centre and the action. Additionally, EABC continued promoting and creating awareness of EABC among institutions and enterprises both in Thailand and Europe to allow EABC to achieve the overall objective of contributing towards improvement of European trade and investment to Thailand and ASEAN by facilitating market access for European businesses as well as promoting Thailand as a market potential for European businesses.

Specifically, EABC aimed at:

- Continuing promoting and raising awareness of EABC among targeted institutions/companies/counterparts in Thailand, ASEAN and Europe;
- Forming and interacting as the “European Business Representative” in Thailand by promoting, advocating and defending common policy interests towards relevant Thai policymakers/authorities with the aim to also building business partnerships between Europe and Thailand, sharing policy advice and best practice, and supporting effective co-operation;
- Fostering establishment of a solid and sustainable “European business platform” through fully-functioning Working Groups as well as EABC Board of Directors, and active membership development programmes and activities;
- Strengthening the “European dimension” of European business communities in Thailand through organisation of/participation in flagship events/seminars/networking/road shows to promote high visibility on a multiplier/non-duplication effect;
- Continuing integrated and specialised service helpdesk to match the needs of European businesses, especially SMEs.
- Preparing EABC for long term sustainability after the grant agreement implementation period in December 2016

### **Intentions for the current year 2017:**

The project implementation ended in December 2016. Following an evaluation the final payment is foreseen in 2018.

### **5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

For the project still ongoing (THAILAND - EABC):

<b>Step</b>	<b>Date</b>
Adoption of financing decision	17/12/2009
Publication of call for proposals	20/02/2010
Closing data of call for proposals	24/06/2010
Award decision	11/08/2010
Pre-financing payment	28/12/2010
Interim payment	-
Final payment	June 2018

### **5.3 *Documentation and expected results***

**- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission Decision (PE/2009/9624) and grant agreement.

**- Do similar activities exist, which are already covered by a legal basis?**

No

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No. This preparatory action has been followed-up by a legislative proposal from the European Commission. This resulted in the amendment of Council Regulation (EC) N° 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories. The amended Regulation was adopted on December 13, 2011 as Regulation (EU) N° 1338/2011. The latter Regulation has been replaced by Regulation (EU) N° 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries.

**5.4 Type of activities financed, number and amounts**

Title / Action	Beneficiary / contractor
Europe-Asia Policy Forum – EU for Asia	Carry out research in the field of EU - Asia relations with a view to the political, economic and social development of Asia Provide focused analysis, studies and recommendations on issues arising in EU- Asia relations Create and maintain networks of institutes, experts, policy - makers and other stakeholders, and support exchanges among them. Analyse and disseminate information on Asian issues, for example by organising conferences, workshops, seminars and policy briefings Circulate publications, research results, conclusions of conferences and other information using all available communication resources; Create databases, collections and easily accessible research tools providing knowledge in the field of EU – Asia studies. Contract finished. Final payment done in 2011.
MIC - EU Outreach and Services Sector Dialogue & Business Cooperation	EU Funding EUR 575 000 EU Pavilion at Trade Fairs Seminars, workshops, breakfast meetings on EU best practice on ICT, logistics, green technology and finance Position papers of European business community
THAILAND - Setting-up of a European ASEAN Trade Centre	EU Funding EUR 2 000 000 Provision of various services, in particular business community advocacy (first position papers already published in mid-2012) and to help European business have better access to the Thai and ASEAN market especially through outreach and communication in Europe, targeting European SMEs in particular.

**5.5 Method of implementation (tenders, grants, other) and evaluations**

Europe-Asia Policy Forum – EU for Asia: the action is implemented via a grant contract with University of Leiden for "EU for Asia.

Grant contract with GTCC (German Thai Chamber of Commerce).

**5.6 Types of beneficiaries**

Private sector associations, industry associations, private and public sector companies, European Chambers of Commerce in Thailand (ECCT) / ASEAN, European Business Associations, Member States and the European Union.

## 1. Heading

### 21 02 77 13: Preparatory action — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	p.m.	p.m.	1 650 066	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	p.m.	p.m.	1 650 066	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Des crédits d'engagement ont été votés pour cette action préparatoire dans le cadre des budgets 2012, 2013 et 2014. Elle fait suite à un projet pilote (ligne 21 02 77 12) ayant bénéficié de crédits d'engagement au titre du budget 2010.

#### Objectives followed during 2016 and previous years' implementation:

L'objectif global est de contribuer à l'amélioration de la prise de charge des victimes des violences sexuelles (VVS) dans les zones de conflit de la RDC.

Les VVS et les patients nécessitant des soins gynéco-obstétriques et urologiques de qualité bénéficient d'une prise en charge adéquate à tous les niveaux du système de santé.

L'objectif spécifique de la première phase (décision 24175) est d'améliorer la couverture en soins de santé de qualité en faveur de la population de 12 zones de santé des provinces du Nord (7 Zones de Santé) et du Sud-Kivu (5 Zones de Santé) grâce au renforcement des compétences du personnel de santé dans la prise en charge clinique des VVS et des patients nécessitant des soins gynéco-obstétriques et urologiques de qualité, suite à (i) la définition des soins donnés à chaque niveau du système de santé, y inclus la décision de référer au niveau supérieur », et (ii) la mise en place d'un dispositif de formation continue piloté par les DPS et impliquant les hôpitaux provinciaux, les hôpitaux spécialisés et les hôpitaux généraux de référence.

La seconde phase du projet (financé par les crédits du budget 2014, décision 37772) vise plus particulièrement:

1. Objectif spécifique 1 : Améliorer l'accessibilité et la disponibilité des services de prise en charge médicale des victimes de violence sexuelle dans les 4 zones de santé ciblées (Kirotshe, Goma, Karisimbi et Nyiragongo dans la province du Nord-Kivu).

2. Objectif spécifique 2 : Améliorer la qualité des soins de base et de première référence de la prise en charge médicale et psychosociale des victimes de violence sexuelle.

3. Objectif spécifique 3 : Renforcer la gestion (supervision, coordination, monitoring) de la lutte contre la violence sexuelle à tous les niveaux du système de santé.

### Intentions for the current year 2017:

Les activités du projet en cours (décision 24175) continueront et prendront fin en mai 2017. La seconde phase (décision 37772) prendra fin en septembre 2017.

Une évaluation finale de la première phase est prévue en 2017 (EUR 80 000) et de la deuxième phase en juin 2017 (EUR 80 000).

### 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2012 and 2013 appropriations

Step	Date
Adoption of financing decision	14/03/2013
Financing Agreement	23/05/2013
Publication of call for proposals / call for tender	-
Negotiation	23/05/2013
Award decision/Contract signing	1 <sup>st</sup> : 26/08/2013 2 <sup>nd</sup> : 24/06/2014
Pre-financing payment	Novembre 2013 (2013/326-113) Juillet 2014 (2014/344-960)
Interim payment	Décembre 2014 (2013/326-113) Décembre 2015 (2014/344-960)
Final payment	Mai 2017 (2014/344-960)

2014 appropriations

Step	Date
Adoption of financing decision	31/03/2015
Publication of call for proposals / call for tender	-
Negotiation	13/04/2015
Award decision	15/04/2015
Pre-financing payment	Juin 2015 (2015/360-415) Juin 2017 (contrat d'évaluation finale)
Interim payment	-
Final payment	Fin 2017 – début 2018

### 5.3 *Documentation and expected results*

#### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

La décision C(2013)1406 final du 14/03/2013 (CRIS PP-AP/2013/024-175) et la convention de financement du 23/05/2013 expliquent les points essentiels en rapport avec la mise en œuvre de l'action préparatoire. En date du 20/12/2013, un avenant à la décision (C(2013) 9070) a permis une augmentation de la contribution maximale de l'Union européenne (de 2.000.000 € à 3.500.000 €) sans incidence significative sur la nature ni les objectifs du programme d'action ni sur la durée de mise en œuvre et d'exécution (23/05/2017)".

La décision de financement C(2015) 2089 du 31/03/2015 (CRIS PP-AP/2015/037-772) explique les points essentiels en rapport avec la mise en œuvre de la seconde phase de l'action préparatoire.

### - Do similar activities exist, which are already covered by a legal basis?

Les activités financées par l'action préparatoire sont complémentaires à d'autres actions financées dans le cadre du FED.

1. Dans le cadre d'un projet d'accélération des progrès vers les objectifs 4 et 5 du millénaire pour le développement (OMD) en RDC (FED/2012/23801, contribution UE de 40 millions d'euros, et qui devrait commencer dans le courant du 1er semestre de 2013), 23 zones de santé concernées dans les provinces couvertes par le programme (Nord-Kivu, Province Orientale, Kasai Oriental, Kasai) ont bénéficié d'un paquet d'actions dites de "revitalisation" en se focalisant sur la santé de la mère et l'enfant mais comprenant aussi, entre autres, la prise en charge des victimes de violences sexuelles (VVS). Celle-ci concerne la mise à disposition des médicaments, la formation du personnel des centres de santé dans le diagnostic et le traitement des cas des VVS ainsi que la prise en charge psychosociale des cas.

2. Les programmes justice du 10ème FED (PARJ –FED/2009/21351, contribution UE de 21 millions d'euros et +/- 8 millions d'euros de la Coopération suédoise- et PARJE (–FED/2012/22398, contribution UE de 10 millions d'euros et +/- 6 millions d'euros de la Coopération suédoise ainsi que 2 millions d'euros de la coopération belge) envisagent de leur côté plusieurs activités qui visent l'amélioration du respect des droits de la femme dans les provinces d'intervention. Le PARJE intervient, entre autres, dans les deux Kivu et l'Ituri en Province Orientale, provinces qui bénéficient de l'appui des interventions dans le domaine de la santé. Au-delà de quelques actions ponctuelles, comme le suivi des recommandations de l'étude anthropologique réalisé par REJUSCO en 2010, les deux contribuent aux activités de réforme de la justice (sensibilisation / formation des autorités administratives et judiciaire aux droits de la femme, renforcement de l'accès à la justice etc.).

3. Enfin, référence doit être faite à l'Initiative pour lutter contre les violences basées sur le genre en RDC lancée en juin 2013 (FED/2013/024301, contribution UE de 20 millions d'euros). La portée géographique de ce programme est autre que les interventions dans le domaine de la santé (il est mis en œuvre dans les provinces de Kinshasa, Bandundu et Maniema) mais il incorpore une perspective de transposer l'approche adoptée dans l'ensemble du territoire de la RDC dans le futur. Le programme vise, entre autres, les aspects sociaux des inégalités de genre - y compris les violences basées sur le genre - et le renforcement des acteurs actifs dans la prévention de ce type de violence ainsi que l'appui aux secteurs sécuritaire (police) et judiciaire pour une meilleure protection des victimes. Dans ce sens, il reste largement complémentaire des interventions dans le domaine de la santé.

4. Un projet financé sous le Budget (le volet « Investir dans l'Humain » du programme thématique Biens et Défis Publics Mondiaux), contrat N° DCI-HUM/2016/375-169 pour une contribution UE de 3 millions d'euros, poursuit des objectifs similaires à la présente action, tout en étant focalisé sur huit zones de santé de la Province du Sud-Kivu.

Pour la seconde phase (décision 37772), deux contrats de même type d'action (PP-AP/2013/326-113 et (PP-AP/2014/344-960) sous la décision PP-AP/2013/024-175. Ceux-ci mettent beaucoup plus d'importance sur la formation de prestataires en chirurgie réparatrice des lésions génitales (fistules et autres). En ciblant la formation complémentaire des prestataires dans la réparation des fistules et en chirurgie gynécologique et obstétricale, ce projet donne une réponse complémentaire au problème résiduel de faible compétence en réparation des fistules génitales et en prévention des cas des fistules au travers d'une bonne maîtrise des interventions gynécologiques et obstétricales. Tout en étant aussi complémentaire de ces deux premiers projets, cet appui va surtout mettre un accent particulier sur amélioration de l'infrastructure et équipements des structures de santé qui accueillent les patients présentant des lésions génitales (fistules et autres).

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

Non, mais les leçons apprises sont intégrées dans les programmes santé du 10<sup>e</sup> et 11<sup>e</sup> FED.

#### 5.4 Type of activities financed, number and amounts

2012 and 2013 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Projet d'amélioration des soins de santé aux victimes de violences sexuelles dans l'Est de la RD Congo: Formations cliniques des prestataires des soins 21 05 01 09.C3.B2013	CEMUBAC asbl (devenu ensuite: ULB-Coopération en déc. 2014)	1 628 234
Projet d'amélioration des soins de santé aux victimes de violences sexuelles	Heal Africa + Panzi / CEMUBAC asbl (devenu ensuite: ULB-Coopération en	1 413 000



<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
dans l'Est de la RD Congo: Formations cliniques des prestataires des soins 21.05.01.09.C1.B2013	déc. 2014)	
Evaluation finale du projet	-	80000

2014 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Projet d'amélioration des soins de santé aux victimes de violences sexuelles dans l'Est de la République Démocratique du Congo	STICHTING OXFAM NOVIB	2 045 700 (contribution UE : 1 920 000)
Evaluation finale du projet	-	80 000

### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

*(2012 and) 2013 commitment appropriations:*

*Contrat de subvention en attribution directe à ULB-COOPERATION asbl, qui est le nouveau nom de CEMUBUAC depuis décembre 2014.*

*Contrat spécifique sous le contrat-cadre bénéficiaires pour l'évaluation finale.*

*2014 commitment appropriations:*

*Contrat de subvention en attribution directe à OXFAM Novib.*

*Contrat spécifique sous le contrat-cadre bénéficiaires pour l'évaluation finale.*

### 5.6 *Types of beneficiaries*

- Victimes de violences sexuelles en République démocratique du Congo ou autres groupes dans des situations de vulnérabilité.*
- Organisations non gouvernementales locales.*
- Formations Sanitaires des zones de santé visées.*
- Les centres d'assistance juridique.*

## 1. Heading

### 21 02 77 14: Global Energy Efficiency and Renewable Energy Fund (GEEREF)

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	p.m.	p.m.	500 000	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	p.m.	p.m.	500 000	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2007 budget.

#### Objectives followed during 2016 and previous years' implementation:

The objective of the Preparatory action was to support the Technical Support Facility for the implementation of the Global Energy Efficiency and Renewable Energy Fund (GEEREF), financed from the DCI programme (Thematic programme for Environment and natural resources, including energy, budget line 21 02 51 06).

The Technical Support Facility (also called the Regional Fund Support Facility - RFSF) has been established to support the creation, the operations and pipeline development of Regional Funds and/or stimulate the renewable energy and energy efficiency market in general.

The Global Energy Efficiency and Renewable Energy Fund (GEEREF) provides an investment platform which combines public and private funding with a view to supporting financially viable target projects, including demonstration projects deemed to contribute to :

- the expansion of energy projects within the areas of renewable energy, energy efficiency and near zero fossil fuel emissions energy projects, with the objective to increase access to low carbon, secure and affordable energy
- enhance the development of new projects with a view to encountering the global risks from climate change
- improve economically, socially and environmentally sustainable development in developing countries and economies in transition, while promoting the protection of the environment.

In December 2007, the Commission signed its first contracts in order to initiate GEEREF and the Facility. On 3 November 2008, GEEREF was approved by the Luxembourg supervisory authority (Commission de Surveillance du Secteur Financier, CSSF) and the fund could thus hold its first closing a few days later on 6 November 2008. The Investment Committee made up of representatives of the three public investors met for the first time on 5 December 2008.

In addition, 24 private investors (from Europe, North America and Australia) have committed EUR 110 million to the fund, while the EIB has also invested EUR 10 million. The EIB and the private investors have second-loss shares in the fund. The fundraising campaign has been completed. This closing date was finally set to 29 May 2015.

Specific information on the GEEREF is available on the following website: <http://geeref.com/>

The Technical Support Facility is intended to realise the full development potential of GEEREF's investments and at mitigating the risks incurred in relation to certain initiatives. This support can be provided both during the pre-operational phase of a Regional Fund and during the operational phase and aims to:

- Bear organizational expenses;
- Bear the costs of technical assistance, including cost for specific external advice on accountancy, tax or legal issues, training of staff and employment of qualified staff and or project developers, feasibility studies for projects, market assessments, awareness creation;
- Bear the costs of technical assistance, including feasibility studies for the benefit of GEEREF and/or for the Regional Funds and/or Beneficiary Projects.

The activities under the Technical Support Facility commenced during the second half of 2009. During 2010, 2011 and 2012 operations were further scaled up. By end 2016, three activities were on-going and eight activities had been completed and closed. The new activities sought to keep developing its penetration in key markets (East Africa and India) as well as diversifying the type of investment (supporting a corporate structure to develop solar projects and Energy Efficiency in Central America and the Caribbean). As new investments were identified, the Facility worked in parallel to solidify them (this example of Indian start-up corporate) and support the creation, the operations and pipeline development of Regional Funds.

#### **Intentions for the current year 2017:**

In 2017 and 2018, GEEREF will continue to work on the three ongoing operations and it is expected that these programs will be completed by end 2018:

- 1) MGM Sustainable Energy Fund ("MSEF") is a private equity infrastructure fund which primarily focuses on energy efficiency ("EE") investments in Latin America, with an innovative and pioneering strategy. In this context, an RFSF grant is implemented as financial support to extend the team and employ further experts alongside the team, beyond what can be covered by the management fees.
- 2) DI Frontier Market Energy and Carbon Fund ("DI") is a private equity fund focusing on investments in infrastructure projects in Sub-Saharan Africa. The fund invests in renewable energy and energy efficiency infrastructure projects, focusing particularly on East African countries. The selection of DI as a beneficiary for a grant under the RFSF was done in relation to GEEREF's investment. The grant is being used for the reinforcement of the team, with qualified and expert project manager professional staff.
- 3) Solar Arise is a solar PV asset investment vehicle which will own and operate up to 250 MW of solar PV plants in India. The first closing size of Solar Arise is less than half of the Vehicle's target size. The team is already very active in rolling out its strategy, but due to the small size of the Vehicle and the resulting reduced management fee, it is facing resource constraints. These constraints are in particular the limited team size. The RFSF support enhances the bandwidth to supplement the management team's skills. RFSF grant intervention is in implementation and will be completed by the end of 2018.

There is only one potential future RFSF activity in the pipeline which relates to supporting the investment activity of MSEF II once this will be closed. Once they have closed the investment they will also implement a capacity building project financed by a RFSF grant.

In addition to that, GEEREF is currently looking at a number of investments. For these projects some needs have already been identified for RFSF grant support, in particular in view of strengthening the technical and social and environmental expertise of the management teams.

If in 2018 there are still some funds uncommitted the intention is to organise a training for the environmental/social/governance (ESG) directors of the funds GEEREF invested in so that ESG policies at the funds are at the same level and coherent and the directors have a network.

#### **5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018**

<b>Step</b>	<b>Date</b>
Financing Decision for the GEEREF Technical facility	December 2007

Step	Date
Signature of the Agreement with the EIF for the management of the technical facility	November 2008
Payments to EIF	2009-2017
Duration of the Technical Support Facility	Until December 2018

In 2018, activities under the Technical Support Facility will focus on follow-up of on-going grants and the support of two or three new projects.

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The semi-annual progress reports of the Technical Support Facility.

Information on the operations of the GEEREF is available at: <http://geeref.com/>

#### - Do similar activities exist, which are already covered by a legal basis?

Other technical assistance projects exist, but nothing that is very similar.

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No. The expected results are to support the efficient and effective operation of GEEREF and the attainment of its goals:

- Increase the production of Renewable Energy in Emerging Markets
- Development of Energy Efficiency / Savings programs
- Development of a new class of fund managers specialised in Renewable Energy and Energy Efficiency.

### 5.4 Type of activities financed, number and amounts

Title / Action	Beneficiary / contractor
2009	A contract was concluded with Bunker Palace S.A., a communication agency to establish a communication strategy and develop a visual identity for the fund as well as set-up GEEREF's website of a total value of EUR 37 400.
2010	<ul style="list-style-type: none"> <li>- Barefoot Power, a EUR 935 000 grant to scale up its human resources capacity and product offering and thereby strengthening its commercial sustainability in developing countries. The grant could catalyse two new funds that seek to provide solar power in emerging markets, but the funds were found to be incompatible with GEEREF investment policy.</li> <li>- E+Co, a EUR 709 893 grant to cover the cost of establishing two clean energy investment facilities, which could later on be considered for investment by GEEREF. The costs covered relate to activities such as environmental assessment, pipeline building, HR, structuring, legal service and fundraising.</li> <li>- DI Frontier Market Energy and Carbon Fund. A grant of EUR 120 000 with a private equity fund focusing on investments in infrastructure projects in Sub-Saharan Africa. The grant is intended to finance the recruitment of a qualified and expert staff to complement the management team. An investment in the fund was approved by the GEEREF Investment Committee.</li> <li>- IndiaCo Energy Efficiency Fund, an Indian fund focusing on private equity investments by providing growth capital to energy efficiency related companies in India, mostly ESCOs. A EUR 45 096 grant was disbursed to cover part of the costs of legal, tax, strategic and administrative set up of the fund.</li> </ul>
2011	<ul style="list-style-type: none"> <li>- LCA Asia, a Singapore-based fund focusing on private equity investments in Clean Energy and CleanTech seed and early stage companies and projects throughout Asia. A EUR 75 160 grant was awarded that was used to launch the fundraising stage of LCA. The grant covered part of the costs of legal, tax, strategic and administrative set up of the fund. The grant support for LCA Singapore helped the establishment and growth of a GEEREF investment target that was later on approved for a GEEREF investment in February 2012.</li> <li>- Solar for All, with the aim to channel investments towards companies that price products and services</li> </ul>

Title / Action	Beneficiary / contractor
	for affordability and thereby to reduce significantly the cost of accessing solar energy in the developing world by examining the entire supply chain of solar industry. A grant of EUR 300 000 was disbursed to cover: back office costs, consultants, administrative support, legal service, incorporation, office setup, marketing, travel, due diligence, accounting and insurance.
2012	A second grant of EUR 588 835 had been approved to LCA Asia, in partnership with UNEP and the Asian Development Bank that would provide co-financing of 50 %. This second grant to AAM has supported the further development of Armstrong. It covered part of the costs for detailed market research and pipeline building, access to specialized databases and training/awareness building for the team and market participants concerning RE in Southeast Asia (via workshops and conferences and mentoring of promising entrepreneurs). Furthermore, the grant provided under the RFSF also covered the cost of retaining an investment professional with RE project execution experience in Southeast Asia. The addition of this investment professional in the team allocated to Armstrong was one of the points identified as a clear enhancement of the fund project. Executing this recruitment and keeping this professional on the team has enabled the fund to attract new investors and increase the leverage of the finance provided by GEEREF.
2013	MGM Sustainable Energy Fund (“MSEF”) is a private equity infrastructure fund which primarily focuses on Central American energy efficiency (“EE”) investments, with an innovative and pioneering strategy. MSEF reached first close in Q1 2015 with USD 63.2m, amongst which a EUR 10m GEEREF commitment. The selection of this project under the RFSF was predicated upon the investment of GEEREF into MSEF and was done within the scope of the analysis of the project during Due Diligence. In a written resolution in Q2/2012, the GEEREF IC had approved an investment in MSEF. This decision was also subject to the reinforcement of the EE experience and knowledge within the fund management team. In this context, an RFSF grant of EUR 177 111 was considered as financial support to extend the team and employ further experts alongside the team, beyond what could be covered by the management fees.
2016	In 2016 an additional EUR 220 000 were signed with DI Frontier Market Energy and Carbon Fund. The grant is still intended to finance the recruitment of a qualified and expert staff to complement the management team.  Solar Arise is a solar PV asset investment vehicle which will own and operate up to 250 MW of solar PV plants in India. GEEREF is a lead cornerstone investor along with CIIF (an Indian infrastructure fund sponsored by Kotak Mahindra). Solar Arise’s management team pursues a very focussed investment strategy concentrating on solar PV investments in India. The RFSF grant of EUR 200 000 will in this context enhance the bandwidth to supplement the management team’s skills. The use of external expertise is part of the business model of Solar Arise but at current size/fee level, it remains constrained to execute swiftly on its strategy and risk delays in strategy implementation without the RFSF grant.

All projects financed by grants are completed except for the last three ones.

### 5.5 Method of implementation (tenders, grants, other) and evaluations

The Technical Support Facility is managed by the European Investment Fund under an agreement concluded in accordance with article 54.2.c of the Financial Regulation. The EIF mainly provides non-reimbursable technical assistance.

A results oriented monitoring (ROM) mission was carried out in June 2011 upon the request of the EC. The results were positive with some recommendations regarding procedures and indicators that have been taken into account. Currently it is discussed to carry out a second results oriented monitoring (ROM) mission.

### 5.6 Types of beneficiaries

There are two main categories of beneficiaries of the Facility:

- Promoters of initiatives (e.g. funds or projects) targeting underserved populations
- Providers of services to enhance GEEREF’s effectiveness and impact (research, fundraising and communication)

## 1. Heading

### 21 02 77 19: Preparatory action — Building resilience for better health of nomadic communities in post-crisis situations in the Sahel region

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	100 000,00	2 411 434,00	p.m.	749 738	p.m.	387 828	0,0 %	-48,3 %
Reserve							0,0 %	0,0 %
Total	100 000,00	2 411 434,00	p.m.	749 738	p.m.	387 828	0,0 %	-48,3 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2014, 2015 and 2016 budgets.

The overall objective of the preparatory action is to build capacity of health workers to provide quality services targeted to the specific needs of nomadic or geographically dispersed communities, with a particular emphasis on maternal and child care, vaccination, nutrition and reproductive health.

The expected results of the preparatory action are:

1. In-depth analysis/diagnosis of the implementing area has been realised;
2. Health structures and community workers' capacities have been strengthened (strengthening of the health system on the "supply" side);
3. Access to health services for nomadic people, with a focus on reproductive health is enhanced (strengthening the health system on the "demand" side);
4. Project's achievements are capitalised.

### Objectives followed during 2016 and previous years' implementation:

2014 commitment appropriations were carried over to 2015 and therefore the 2014 and 2015 appropriations as well as the appropriations 2016 have to be considered as a whole. The preparatory action (2014 and 2015 appropriations) is implemented by the consortium of the international NGOs ALIMA and Médecins du Monde. The grant agreement was signed in July 2015 and amended in December 2016 to increase the total budget from 4 500 000 to 4 600 000 EUR (2016 appropriations).

Preparatory activities (concerning the signature of Implementation agreements with national and local authorities, definition of baselines, set up of project's local offices and delivery of medical equipment and drugs in the areas of operations) started in 2015 but actual medical activities started only in March 2016, partially due to the risk of political misuse of the action in the electoral context.

Before starting the delivery of medical services it has been necessary to rehabilitate the local health facilities and provide them with some essential equipment and drugs. A need assessment was also performed resulting in the recruitment and training of some staff (midwives, nurses and Community Health Agents).

The project also completed a mapping of nomadic dynamics to better target these populations. This was followed by the setting up of a strategy on communication and sensitization.

Project's activities (offer) resulted in a substantial increase of consultations (demand) i.e. up to 50% for children below 5 years. The rate of assisted births also increased from 24 to 32% and family planning consultations multiplied by 4 compared to previous year.

#### **Intentions for the current year 2017:**

The intervention strategy remains broadly the same, although some adjustments need to be done in the choice of the implementation areas to be as close as possible to the routes use by nomadic population (and also because of security reasons). The targets in terms of assisted population have been reviewed as well (actually increased by 50%) to take into account the new population figures. This illustrates the difficulties for the project because of the lack of accurate information but also the constant fluctuations of the targeted population.

The project will carry on the capacity building activities of the local health facilities concerning infrastructures, management and provision of medical services.

Additionally a network of 48 community structures/agents will set up. These agents will be provided with medical kits and mobile phones to facilitate consultations by phone.

The mapping of nomadic populations will facilitate the provision of "mobile clinic" services consisting in a team composed by a nurse, a midwife and a community health agent who will assist distant groups for periods of 10 days a month.

As usual, the level of insecurity in both Northern Mali and Northern Niger means that the action will have to be tailored to the specificities and evolving conditions.

#### **5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018***

2014 appropriations (carried over to 2015) and 2015 appropriations.

<b>Step</b>	<b>Date</b>
Adoption of financing decision	30 March 2015
Publication of call for proposals / call for tender	N/A
Closing date of call for proposals / call for tender	N/A
Award decision	July 2015 (signature of grant agreement)
Pre-financing payment(s)	August 2015
Interim payment(s)	August 2016 August 2017
Final payment(s)	December 2018

2016 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	December 2016
Award decision	September 2017 (signature of amendment to grant agreement)
Pre-financing payment(s)	N/A
Interim payment(s)	Cf. original grant agreement
Final payment(s)	Cf. original grant agreement

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

For 2014-2015 appropriations: Commission decision C(2015)2091 of 30 March 2015.

For 2016 appropriations: Amendment to the grant agreement signed on 31 December 2016.

#### - Do similar activities exist, which are already covered by a legal basis?

No. This project will complement the activities implemented under the IFS-RRM programme "Support for reducing the risks of insecurity and instability in north-west and south-east regions of Niger" (Decision 024-898).

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

### 5.4 Type of activities financed, number and amounts

2014 appropriations (carried over to 2015) and 2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Appui à la prise en charge médicale des populations nomades et géographiquement dispersées dans la région sahélienne, Nord Niger et Nord Mali	ALIMA – Alliance for International Medical Action	4 500 000

2016 appropriations (Amendment to the grant agreement concluded in 2015)

Title / Action	Beneficiary / contractor	EUR (maximum)
Appui à la prise en charge médicale des populations nomades et géographiquement dispersées dans la région sahélienne, Nord Niger et Nord Mali	ALIMA –Alliance for International Medical Action	100 000

### 5.5 Method of implementation (tenders, grants, other) and evaluations

Grant agreement. A mid-term and a final evaluation are foreseen.

### 5.6 Types of beneficiaries

International NGO



## 1. Heading

### 21 02 77 20: Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	150 000,00	914 790,50	p.m.	490 000	p.m.	372 604	0,0 %	-24,0 %
Reserve							0,0 %	0,0 %
Total	150 000,00	914 790,50	p.m.	490 000	p.m.	372 604	0,0 %	-24,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations were voted for this preparatory action under the 2014, 2015 and 2016 Budgets.

#### Objectives followed during 2016 and previous years' implementation:

This preparatory action will be implemented through PANZI Foundation in partnership with locally-based organisations specialising in education and vocational training. The grant agreement with PANZI was signed in 2015, and the project started on 1st June 2016; the budget was subsequently increased by 150.000 EUR in November 2016.

The action will be carried out at two sites within the Mwenga Territory (the chiefdoms of Luhwindja and Kamituga), South Kivu Province, and Eastern Democratic Republic of Congo.

A substantial part of the population living in Mwenga Territory in South Kivu relies on income related to mining activity. School attendance in these areas is low as many children are working in the artisanal mines; prostitution among women and girls is widespread.

The overall objective of the project is to improve the standard of living of communities in the vicinity of artisanal mining sites in Mwenga Territory by providing remedial education to children and by offering adolescents and sex workers alternative opportunities to earn a living through the provision of vocational training.

The project aims to increase school enrolment for children working in the mines through remedial education, reduce the number of adolescents and sex workers returning to work in or around the mines and raise awareness among local stakeholders about child protection and human rights, including women's rights. Medical assistance will be provided to sex workers in order to combat sexually transmitted diseases and avoid unwanted pregnancies.

The objectives of the action are closely linked to the objectives set for the human development programme of Global Public Goods and Challenges (GPGC) flagship programmes, which contributes to: inclusive education, providing vocational education and training, economic empowerment of vulnerable populations, improved access to healthcare services and tackling the worst forms of child labour.

This project was originally planned to be carried out during 2014. However, due to consultations and measures necessary for the preparation of the implementation of the action it was not possible to use 2014 commitment appropriations. The financing decision covering the 2015 appropriations was adopted in December 2015.

### Intentions for the current year 2017:

Implementation of the project on the ground, following the objectives and activities as per project proposal and logical framework

### 5.2 Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018

2015 appropriations

Step	Date
Adoption of financing decision	14 <sup>th</sup> December 2015
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	N/A
- payment	June 2016
Interim payment	2 <sup>nd</sup> quarter 2017
Final payment	2019

2016 appropriations

Step	Date
Adoption of financing decision	December 2016
Award decision	December 2016 (signature of amendment to grant agreement)
Pre-financing payment(s)	N/A
Interim payment(s)	Cf. original grant agreement
Final payment(s)	Cf. original grant agreement

### 5.3 Documentation and expected results

#### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

Financing decision PP-AP/2015/38150; the Action document and the project proposal, including the action plan and the logical framework.

For 2015: Commission financing decision C(2015)8851 of 14 December 2015 sets out the main elements for the implementation of the preparatory action.

The financing decision and the 'project fiche' are published on the Europa website:

[https://ec.europa.eu/europeaid/sites/devco/files/decision1-drc-measure-2015\\_en\\_0.pdf](https://ec.europa.eu/europeaid/sites/devco/files/decision1-drc-measure-2015_en_0.pdf)

[https://ec.europa.eu/europeaid/sites/devco/files/ad1-drc-measure-2015\\_en.pdf](https://ec.europa.eu/europeaid/sites/devco/files/ad1-drc-measure-2015_en.pdf)

#### - Do similar activities exist, which are already covered by a legal basis?

No

#### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

#### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

<b>Title / Action</b>	<b>Beneficiary / contractor</b>	<b>EUR (maximum)</b>
Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC	PANZI foundation	2 350 000,00

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Grant agreement with local non-governmental organisation (direct management). Grant awarded without call for proposals to the Panzi Foundation under articles 190(1)(c) and 190(2) of the Rules of Application (RAP) to the Financial Regulation.

Evaluation is foreseen.

#### 5.6 *Types of beneficiaries*

Communities surrounding artisanal mines in the Mwenga Territory, and in particular:

- children and adolescents working in and around artisanal mining sites;
- women (and girls) working as sex workers in and around artisanal mining sites.

## 1. Heading

### 21 02 77 21: Preparatory action —Building and strengthening local partnerships to develop social economy and to establish social enterprises in Eastern Africa

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line	0,00	0,00	p.m.	p.m.	p.m.	494 423	0,0 %	
Reserve							0,0 %	0,0 %
Total	0,00	0,00	p.m.	p.m.	p.m.	494 423	0,0 %	

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted under the 2014 budget.

#### Objectives followed during 2016 and previous years' implementation:

The overall objective of the action is to support vulnerable populations to access social protection, health, education and jobs, therefore supporting inclusive and sustainable economic growth for human development.

The specific objective of the action is to promote and strengthen a social enterprise approach in addressing these development priorities in Eastern Africa, notably Ethiopia and Kenya, in line with the budgetary comments voted by the European Parliament in 2014.

The action adopts a holistic approach by working at three levels of the social enterprise system: 1) Policy makers and influencers (governments) 2) Social Enterprise Intermediaries (support services, educators) 3) Social Enterprise practitioners (Social Enterprise and Civil Society Organisations (CSOs) leaders).

The project supports activities in four overlapping areas that build on each other: (i) Improved information and understanding of the social enterprise sector in Kenya and Ethiopia, through a detailed mapping study of the existing social enterprise landscape; (ii) Increased capacity of social enterprise practitioners in Kenya and Ethiopia, including social entrepreneurs, civil society leaders, intermediaries, educators and education institutions; (iii) Increased capacity of government officials and policy influencers; and (iv) Increased collaboration and partnership between the social enterprise communities within and between Kenya and Ethiopia and with the European Union (EU), as well as the EU diaspora where appropriate.

#### Intentions for the current year 2017:

The following interventions are planned in 2017:

- Grant scheme execution for 4 higher Education and TVET Institutions;
- Training of a minimum of 40 Higher Education professional to deliver the curriculum;
- Train 2,500 emerging and existing community leaders, including 1,250 students in Kenya and Ethiopia;
- Grant scheme execution for 4 CSOs;

- 6 local partner organizations deliver and cascade the programme;
- Grant scheme execution to provide support to 4 social enterprise intermediaries;
- 60 high potential social enterprises will be offered business development support by the intermediaries;
- Organization of IEA/EU Social Enterprise conference and corresponding networking events;
- Organization of 4 social enterprise practitioner study visits to the EU;
- Pilot a programme of support for government officials and policy influencers;
- Organization of EU study visits for policy influencers;
- Organization of 2 senior (Minister level) social enterprise study visits to the EU;
- Organization of 4 Policy Dialogues in Kenya and Ethiopia;
- Promotion and maintenance of web based platform for social entrepreneurs in Kenya and Ethiopia.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2015 appropriations (carried-over from 2014)

Step	Date
Adoption of financing decision	30/03/2015
Publication of call for proposals / call for tender	N/A
Closing data of call for proposals/ call for tender	N/A
Award decision	01/10/2015
Pre-financing payment	30/10/2015
Interim payment	May 2017
Final payment	June 2018

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

Commission decision C(2015) 2087 of 30 March 2015.

Reference documentation includes notably the following:

- Commission Decision of 30 March 2015 (C/2015/2087) which sets out the main elements for implementation of the pilot project
- British Council Inception report
- British Council Interim report
- ROM report
- Social enterprises sector survey
- Profile of social enterprises in Kenya and Ethiopia

### **- Do similar activities exist, which are already covered by a legal basis?**

Yes. The self-help group (SHG) approach was introduced in Ethiopia in 2002 and it aims at reducing poverty, improving livelihoods, empowering women and social inclusion. The action supported under the 10<sup>th</sup> European Development Fund (EDF); the Civil Society Fund II aims to improve the role of civil society in the national development and democratisation process in Ethiopia. This project is supporting the project of the Consortium of Self-Help Group Approach Promoters of Ethiopia, a network of 26 CSOs promoting self-help groups, which is the largest and the first of its kind in Ethiopia.

In Kenya the EU used the 2014/2015 thematic budget line for Civil Society Organisations and Local Authorities to conclude grant contracts with CSOs to sensitise the local communities and work with the newly established county authorities towards inclusive decision-making and local economic development.

**- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

#### 5.4 *Type of activities financed, number and amounts*

2015 appropriations

Title / Action	Beneficiary / contractor	EUR (maximum)
Support to Social Enterprises in Eastern Africa	BRITISH COUNCIL	1 000 000

#### 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Award of a grant without a call for proposals to the British Council under article 190(1)(f) of the implementing rules to the Financial Regulation.

A Result Oriented Monitoring (ROM) was undertaken in 2016.

A final evaluation will be conducted at the end of the project.

#### 5.6 *Types of beneficiaries*

Stakeholders and affiliated entities include:

- CSO: Initiative Africa, Timret Lehiwot, Community Initiatives Concern, Rural Empowerment Development Organization
- Social Enterprises: EAGate (with Icebauhaus Germany), Reach for Change and X-Hub (with Bethnal Green Ventures), Digital Opportunity Trust Kenya (with Investing for Good), ISSITET (with Hackney Cooperative Development)
- TVET: General Wingate TVET (with Social Enterprise Academy), Kenya Technical Trainers College and Kenya Christian Industrial Training Institute (with Social Enterprise Academy)
- Higher Education: Addis Ababa University (with Coventry University) and Tangaza University (with Catholic University of Milan)

Final beneficiaries include:

- 2,500 emerging (1,250 students) and existing community/social enterprise leaders
- 40 higher education professionals (through 4 Higher Education and TVET institutions)
- 80 Social Enterprise Master Trainers (through a collaboration of 40 CSOs)
- 60 Social Enterprises (through 4 social enterprise intermediaries)
- 200 government officials and policy influencers

## 1. Heading

### 21 02 77 29: Preparatory action — Support for micro, small and medium-sized enterprises (SMMEs) in developing countries

## 2. Figures

(euro)

	2016 Outturn		2017 Budget		2018 DB		Var(2018/2017)	
	E	P	CA	PA	CA	PA	CA	PA
Line			1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve							0,0 %	0,0 %
Total			1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	4 - Global Europe

## 4. Legal basis

Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget.

#### Intentions for the current year 2017:

This preparatory action will fund, and work with, both private and non-governmental organisations which develop sustainable programmes to support micro, small and medium-sized enterprises (SMMEs) in developing countries.

As indicated in the first interim report of 1 March 2017, this preparatory action would be implemented together with a new action on support of Women's Economic Empowerment that is currently under identification and formulation phases. This EU Action on support of WEE is planned to be implemented under the Global Public Goods and Challenges Thematic Programme (DCI, GPGC) 2014-2020, and in particular under the key area of Growth, jobs, and private sector engagement in developing countries.

The main objective of the whole action (EUR 10 million) is the sustainable promotion of women's and girls' economic empowerment within the socio-economic context of partner countries.

Key aspects of the action shall be its potential for leveraging additional public and private sector financial resources, capability for demonstrating the type of ecosystems needed for promoting women economic empowerment and inclusiveness, for improving access to financial and non-financial capacity building services with integration of digital enablers, and for demonstrating potential for upscaling, replication and mainstreaming in key cooperation sectors and their value chains, i.e. agriculture, livestock, fisheries and natural resources, renewable energy, health and education, garment, infrastructure with emphasis on green growth etc.

The action is expected to contribute, in a direct or indirect manner, to the implementation of the following strategic frameworks: various SDGs with emphasis on SDG 1 – Poverty, SDG 5 – Gender Equality, SDG 8 – Jobs and Economic Growth and SDG -10 Inequalities; the EU's new Gender Action Plan, 'Gender Equality and Women's Empowerment: Transforming the Lives of Girls and Women through EU External Relations 2016-2020' ; the Communication on Private Sector; the Agenda 2030; the Global Public Goods and Challenges Thematic Programme (DCI, GPGC) 2014-2020.

The inception phase is currently on-going. A team of experts has been engaged in the formulation of the Action and an interim report has been submitted. Preliminary results from the conversations with various stakeholders including

Financial Institutes and the desk review indicate that there is a strong interest in this Action as it is considered to become innovative in the scaling up mechanisms of leveraging private sector funding. The Action is planned to be presented in Quality Support Group (QSG) I on 31 May 2017. Thereafter the formulation phase will follow and the QSG II is expected to take place in June/July 2017. The Action will be presented in the DCI committee on 4 October 2017.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	October 2017
Publication of call for proposals / call for tender	This will be the result of the formulation phase.
Closing data of call for proposals/ call for tender	This will be the result of the formulation phase.
Award decision	2018 or 2019
Pre-financing payment (depending on modality chosen)	2018
Interim payment	2019
Final payment	2020

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Financing decision and Action document for the EU action on support of Women's Economic Empowerment (for a total budget of EUR 10 million including the EUR 1 million contribution from this preparatory action) are expected to be adopted in October 2017.

### **- Do similar activities exist, which are already covered by a legal basis?**

No

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

Not known yet.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

Not decided yet but most likely blending approach in collaboration with multilateral or European DFIs with the possibility for an administration agreement with specialised International Organisation. A third option for a Call for Proposals is maintained at this stage given the nature of this action.

This preparatory action will be implemented together with a new action on support of Women's Economic Empowerment (WEE) that is currently in identification and formulation phases (EUR 9 million) under the Global Public Goods and Challenges Thematic Programme (DCI, GPGC) 2014-2020. The Interim Reports confirm that women economic empowerment is important for achieving inclusive and sustainable growth. There are many projects and programmes in this area but not much on the potential for leveraging public and private sector financial resources together with improved ecosystems that address women barriers to financial inclusion in a holistic way.

## 5.6 *Types of beneficiaries*

- Direct beneficiaries: Micro-finance institutions, MSME women entrepreneurs, unemployed women, female small holder farmers, wage earners in agri-processing/garment or other value chains
- Indirect beneficiaries: Members of the communities and households where the actions take place, market operators



## **Heading 5 - Administration**

## 1. Heading

### 26 03 77 06: Preparatory action — Governance and quality of software code — Auditing of free and open-source software

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		2 600 000	1 300 000	p.m.	1 100 000	-100,0 %	-15,4 %
Reserve							
Total		2 600 000	1 300 000	p.m.	1 100 000	-100,0 %	-15,4 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 Budget. It follows a pilot project (budget line 26 03 77 02), for which commitment appropriations were voted under the 2015 Budget.

The discovery of a severe vulnerability in the OpenSSL cryptography software library in April 2014 drew broader attention to the need for understanding how governance of code relates to the quality of code and code review. Both the general public and the EU institutions regularly use and depend on free and open-source software — from end-user devices up to server systems. Therefore the need for coordinated efforts to maintain a reasonable level of security and protection of users is a recognised and repeated demand from both citizens and the European Parliament itself, regardless of how the software is licensed and whether it is maintained by software companies or by volunteers.

Vulnerabilities in software libraries that are by design meant to be used in many locations are especially important. The pilot project proposed going beyond the commendable efforts of CERT-EU to not only warn the EU institutions, bodies and agencies and the general public about upcoming threats, but also to pro-actively work together with software developer communities to aid and contribute to the discovery of security issues in software which carries essential information infrastructure functions. This work should be conducted by using the Debian Social Contract as a reference in terms of effectiveness and trust and in accordance with established procedures on reasonable disclosure.

### Intentions for the current year 2017:

The preparatory action will build on and extend what the pilot project has produced as regards:

- creating an inventory of free software and open standards in use within EU institutions;
- developing a set of reliable criteria for a software and projects auditing framework;
- establishing an infrastructure to encourage developer communities to aid and contribute to the discovery of security-relevant bugs;
- developing and enhancing best practices with regard to mitigation of security threats by conducting and promoting code reviews;
- exploring further incentives to improve IT security such as ‘bug bounty’ approaches;

- conducting code reviews of critical open-source software.

As pointed out in the Commission’s letter of executability of October 2016, during the pilot project preceding this preparatory action, the idea of creating a ‘bug bounty’ approach — incentivising the discovery of security-relevant issues in software used by the institutions through financial rewards — had already been considered, but was disregarded in the end because of insufficient provisions. However, such approaches are very common and successful in the industry and would allow broader involvement of the security community in the common objective of ensuring a more secure IT infrastructure.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

Step	Date
Adoption of financing decision	May 2017
Publication of call for tender	September 2017
Closing date of call for tender	November 2017
Award decision	March 2018
Pre-financing payment(s)	May 2018
Interim payment(s)	November 2018
Final payment(s)	September 2019

## 5.3 *Documentation and expected results*

### - Is there any documentation setting out the main elements of this pilot project/preparatory action?

The Commission financing decision, expected to be adopted in May 2017, will set out the main elements for the implementation of the preparatory action.

Information on the preceding pilot project can be found at: <https://joinup.ec.europa.eu/community/eu-fossa/>

### - Do similar activities exist, which are already covered by a legal basis?

No

### - At this stage, is it envisaged to follow up this project/action with a legislative proposal?

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

The core of the project will be executed by a company selected using an open call for tender, for an amount of EUR 1.6 million. The remaining budget will be using existing framework contracts and related procedures.

The Bug bounty proof of concept is still under consideration – potentially could be using low-value contracts with several companies.

## 5.6 *Types of beneficiaries*

- Companies from existing framework contracts
- Companies selected during an open call for tender (in preparation)
- Indirectly individual researchers in case of "bug bounties": bug bounties would be awarded to the most relevant issues/vulnerabilities/findings submitted by researchers who have no contractual links with EC or the company running the bug bounty platform
- General public as ultimately the findings would help improve open source software and critical infrastructure used by everyone

## 1. Heading

### 26 03 77 08: Preparatory action — Encrypted electronic communications of Union institutions

## 2. Figures

(euro)

	2016 Outturn	2017 Budget		2018 DB		Var(2018/2017)	
	CA / PA	CA	PA	CA	PA	CA	PA
Line		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %
Reserve							
Total		1 000 000	500 000	p.m.	500 000	-100,0 %	0,0 %

## 3. Budgetary characteristics

Type of expenditure	New	EFTA	PECO	Heading FF
CD	NO	NO	NO	5 - Administration

## 4. Legal basis

Without legal basis – Preparatory action

## 5. Complementary information

### 5.1 Objectives

Commitment appropriations for this preparatory action were voted for the first year under the 2017 budget. It follows a pilot project (26 03 77 04 – Pilot project — Union institutions' encrypted electronic communications) for which commitment appropriations were voted under the 2015 and 2016 budgets.

#### Intentions for the current year 2017:

The objective of the preparatory action is to continue support for the implementation of secure electronic communications within the European institutions.

Sub-projects will be oriented towards:

- harmonising, coordinating and – as much as possible – automating relevant procedures and processes (setting up the trust relationship between the EUIs' certification authorities, access to other EUIs' user certificates);
- maximising the re-use of the investments made so far (upgrading the available solutions, coordinating evolution roadmaps, facilitating re-use of the existing building blocks to build new services), for example by facilitating the use of S/MIME based secure email between them;
- reinforcing the investment in innovative technologies to enable future-proof solutions;
- facilitating users' access to the solutions that are (or will become) available by making them more user-friendly/accessible, advertising their availability, promoting their use, making the users aware of the need of protecting sensitive information.

Following the compilation of sub-projects' progress reports for Pilot Project 26 03 77 04 in the fourth quarter of 2017, the financing decision for the preparatory action 26 03 77 08 will also be taken in the fourth quarter of 2017.

## 5.2 *Timetable for the main steps of implementation (from the financing decision to payment) and forecasts for 2018*

2017 appropriations

<b>Step</b>	<b>Date</b>
Adoption of financing decision	Fourth quarter 2017
Signature of specific contracts under existing framework contracts	First quarter 2018
Interim payment	Quarterly, in Q2, Q3 and Q4 2018 and first quarter 2019
Final payment	Second quarter 2019

## 5.3 *Documentation and expected results*

### **- Is there any documentation setting out the main elements of this pilot project/preparatory action?**

The Commission financing decision, expected to be adopted in the fourth quarter of 2017, will set out the main elements for the implementation of the preparatory action.

### **- Do similar activities exist, which are already covered by a legal basis?**

To our knowledge, there are no activities that cover, in the exhaustive way, all the relevant use cases identified through the 2015-2016 study. However, there are projects and solutions that have been identified and that can, potentially, be reused and integrated in building the recommended solution(s), through the pilot project and subsequent preparatory actions. Such activities could however be funded under each participating institution's administrative appropriations as per their administrative autonomy.

### **- At this stage, is it envisaged to follow up this project/action with a legislative proposal?**

No

## 5.4 *Type of activities financed, number and amounts*

As 2017 is the first year of implementation of the preparatory action, these details are not yet known.

## 5.5 *Method of implementation (tenders, grants, other) and evaluations*

To be determined in during the fourth quarter of 2017 and/or first quarter of 2018. Most probably use of existing DIGIT framework contracts.

## 5.6 *Types of beneficiaries*

Contractors

Europe Direct is a service to help you find answers to your questions about the European Union.  
Freephone number (\*): 00 800 6 7 8 9 10 11

(\*) Certain mobile telephone operators do not allow access to 00 800 numbers or these calls may be billed.

A great deal of additional information on the European Union is available on the Internet.  
It can be accessed through the Europa server (<http://europa.eu>).

© European Union, 2017  
Reproduction is authorised provided the source is acknowledged

Printed in Europe

For feedback on this publication:  
[BUDG-MAILBOX-A01@ec.europa.eu](mailto:BUDG-MAILBOX-A01@ec.europa.eu)

For more information on the EU accounts, budget, financial programming and accounting:

EU Budget:  
[http://ec.europa.eu/budget/index\\_en.cfm](http://ec.europa.eu/budget/index_en.cfm)

Günther H. Oettinger:  
[http://ec.europa.eu/commission/2014-2019/oettinger\\_en](http://ec.europa.eu/commission/2014-2019/oettinger_en)

Directorate-General for Budget:  
<http://ec.europa.eu/dgs/budget/index.htm>

You can find the electronic version of this document at:  
[http://ec.europa.eu/budget/biblio/documents/2018/2018\\_en.cfm](http://ec.europa.eu/budget/biblio/documents/2018/2018_en.cfm)