



Draft General Budget of the European Union for the financial year 2016

Working Document Part III Bodies set up by the EU and having legal personality and Public-Private Partnership

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Working Document Part III -
Bodies set up by the EU and having
legal personality and Public-Private
Partnership

**General Budget
of the European Union
for the Financial Year 2016**

Working Document Part III

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Public-private partnership**

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The 2016 Draft Budget is accompanied by eleven ‘Working Documents’, as follows:

Part I: Programme Statements of operational expenditure

Working Document I contains Programme Statements, which constitute the main instrument for justifying the operational appropriations requested by the Commission in the Draft Budget. These Statements are coherent with the corresponding legal bases and provide details on the resources which are dedicated to each spending Programme.

Part II: Human Resources

Working Document II presents information on Commission human resources, both for the establishment plans and for external personnel, across all headings of the multiannual financial framework. Moreover, pursuant to Article 38(3)(b)(v) of the Financial Regulation, it provides a summary table for the period 2014 – 2016 which shows the number of full-time equivalents for each category of staff and the related appropriations for all institutions.

Part III: Bodies set up by the European Union and having legal personality and Public-private partnership

Working Document III presents detailed information relating to all decentralised agencies, executive agencies and Public-Private Partnerships (joint undertakings and joint technology initiatives), with a transparent presentation of revenue, expenditure and staff levels of various Union bodies, pursuant to Articles 208 and 209 of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2016 Draft Budget, pursuant to Article 38(3)(c) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2015, information on assigned revenue implementation in 2014, and a progress report on outstanding commitments (RAL) and managing potentially abnormal RAL (PAR) for 2014.

Part VI: Administrative expenditure under Heading 5

This document encompasses administrative expenditure under all budgets to be implemented by the Commission in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO).

Part VII: Commission buildings (Section III)

Working Document VII presents information on buildings under Section III - Commission, pursuant to Article 203(3) of the Financial Regulation.

Part VIII: Expenditure related to the external action of the European Union

Working Document VIII presents information on human resources and expenditure related to the external action of the European Union.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 38(3)(d) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 38(5) of the Financial Regulation.

Part XI: Payment schedules (*on-line publication only*)

Working Document XI presents summary statements of the schedule of payments due in subsequent years to meet budgetary commitments entered into in previous years, pursuant to Article 38(3)(f) of the Financial Regulation.

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INTRODUCTION

1 GENERAL CONTEXT

The eighth edition of this Draft Budget (DB) Working Document aims at presenting key budgetary information for all types of European Union bodies, so as to respond in a coherent manner to repeated requests of the Budgetary Authority for more information and transparency with regard to revenue, expenditure and staff levels of various EU bodies which actually receive a contribution charged to the EU budget.

As explained in more detail below, this 'agency' document should mainly be seen in the context of:

- The budgetary Trilogues of April 2007, July 2007, July 2008 and November 2009, which resulted in (joint) statements of the European Parliament and the Council on various agency issues;
- The inter-institutional working group on decentralised agency governance, which concluded its work in July 2012;
- The inter-institutional working group on decentralised agency resources, as agreed in November 2013 as part of the Conciliation on the 2014 budget;
- The Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020, as adopted in July 2013;
- The revision of the Framework Financial Regulation for bodies under Article 208 of the General Financial Regulation, which entered into force on 1 January 2014, and the adoption of the Model Financial Regulation for bodies under Article 209 of the General Financial Regulation, which entered into force on 8 February 2014;
- The public-private partnerships and public-public partnerships with Member States established under Horizon 2020, as adopted in May and June 2014, and
- The extension of the mandate of executive agencies to cover the management of the new generation of spending programmes under the 2014-2020 multiannual financial framework, for which the Commission presented its 'delegation package' to the European Parliament and the Council in October 2013.

These various occasions illustrate the importance the Budgetary Authority attaches to issues regarding agency governance, budgeting and staffing. This Working Document therefore provides detailed information on the various types of EU bodies and their (budgetary) evolution.

Section 2 of this Introduction presents the main types of EU bodies, as used throughout this document. Subsequently, Part I of the Working Document provides detailed information on bodies set up by the European Union and having legal personality ('agencies'), whereas Part II provides similarly detailed information on joint undertakings and joint technology initiatives ('PPP bodies'). The PPP bodies are presented separately in this Working Document, so as to respond to Article 38 (4) of the General Financial Regulation. Each Part contains a description of the main budgetary trends for the main types of bodies, as well as detailed budgetary financial statements for each EU body individually.

2 TYPES OF EU BODIES

This section presents the main types of EU bodies¹:

- Decentralised agencies (33 established decentralised agencies in DB 2016);
- European institute of innovation and technology (EIT);
- Executive agencies (6 in DB 2016);
- ITER joint undertaking (F4E) and SESAR joint undertaking (SESAR2); and
- Joint technology initiatives (6 in DB 2016).

As mentioned above, the joint undertakings and joint technology initiatives are presented separately in this Working Document, so as to respond to Article 38 (4) of the General Financial Regulation.

2.1 Decentralised agencies

2.1.1 Decentralised agencies: set-up

Legal bases of decentralised agencies

Most decentralised agencies are either created by the Council on the basis of Article 352 of the Treaty on the Functioning of the European Union, or by the Parliament and the Council (ordinary legislative procedure) on the basis of a specific Treaty provision. Currently, 30 decentralised agencies have been established on this basis, over a period of 40 years.

Furthermore, three decentralised agencies have been set up to carry out very specific technical, scientific and management tasks within the framework of the Common Foreign and Security Policy (CFSP). However, these three² agencies fall outside the scope of this Working Document, as these agencies (including their financing) are entirely inter-governmental.

Finally, three decentralised agencies have been set up to assist the Member States in police and judicial cooperation in criminal matters. Contrary to the 'CFSP' agencies, these three 'former third pillar'³ agencies have been included in this Working Document, as they are financed through an EU contribution.

Tasks

The tasks of decentralised agencies are diverse, inter alia:

- Some decentralised agencies have the power to adopt binding rules and/or individual decisions with direct effect (so-called 'regulatory' agencies, such as OHIM, *Office for the Harmonisation in the Internal Market*);
- Other decentralised agencies provide assistance to the Commission, and where necessary to the Member States, in the interest of the Union, in the form of technical or scientific opinions and/or inspection reports (e.g. EFSA, *European Food Safety Authority*); whereas
- Other decentralised agencies focus on networking between competent national authorities and on organising cooperation between them in the interest of the Union with a view to gathering, exchanging and comparing information and good practices (e.g. ENISA, *European Network and Information Security Agency*).

Creation

Decentralised agencies were created to meet specific needs on a case-by-case basis, starting from 1975, and mostly in two large 'waves', following the Brussels European Councils of October 1993 and October 2003.

¹ This Working Document does not include information on administrative Offices (OP, OLAF, EPSO, PMO, OIB and OIL), as these do not have their own legal personality. More information on the Offices is given in DB Working Document VI (Administrative expenditure under Heading 5).

² European Defence Agency (EDA), European Union Institute for Security Studies (ISS) and European Union Satellite Centre (EUSC).

³ European Police Office (EUROPOL), European Police College (CEPOL) and European Union's Judicial Cooperation Unit (EUROJUST).

The European Council also decides on the location ('seat') of the decentralised agencies, which are spread throughout the territory of the Union.

Governing structure

The decentralised agencies are governed by an Administrative Board, which as a rule is composed of representatives of all Member States, and which has the following key roles:

- Defining the agency's operating guidelines within the legal framework established by the legislator;
- In particular, the Administrative Board is responsible for the adoption of the agency work programme and rules of procedure.

Furthermore, the Board plays a central role in the adoption of the agency's budget, which is independent from the EU budget. As the decentralised agencies have a separate legal personality, their budgets are published separately in the EU Official Journal, under their own responsibility.

2.1.2 Decentralised agencies: budgetary and financial arrangements

Decentralised agencies in the EU budget

In addition to the information contained in the agency budgets, the EU budget also includes detailed information on decentralised agencies, such as the authorised EU contribution to the agencies and the agency establishment plans.

The EU contribution appears in the general budget, under the policy area concerned, on a dedicated budget line from operational appropriations. Accordingly, the amount of the EU contribution entered into the budget and the establishment plan are subject to the approval of the Budgetary Authority.

For decentralised agencies, as from the 2014 budget, the dedicated agency budget line is no longer divided into two items, so as to reflect more clearly the unity of the EU contribution. Nonetheless, the structure of the agency budgets remains unchanged, in order to present the running costs of the agency (Titles 1 and 2) separately from the operational activities (Title 3).

Financing structure

Most decentralised agencies are funded entirely by contributions from the EU budget, as described above. Some agencies, however, depend fully or partially on other revenue, such as revenue received from industry (fees):

- Partially self-financed agencies: *European Chemicals Agency (ECHA)*⁴, *European Aviation Safety Agency (EASA)* and *European Medicines Agency (EMA)*;
- Fully self-financed agencies: *Office for the Harmonisation in the Internal Market (OHIM)*, *Community Plant Variety Office (CPVO)*, *Single Resolution Board (SRB)* and *Translation Centre for the Bodies of the European Union (CdT)*; and
- Agencies partially co-financed by national public authorities: *European Banking Authority (EBA)*, *European Insurance and Occupational Pensions Authority (EIOPA)* and *European Securities and Markets Authority (ESMA)*.

The financing structure as outlined above also has an impact on the annual budgetary procedure, in particular as regards three of the fully self-financed agencies: in the case of OHIM, CPVO and SRB, the effective control over revenue, expenditure and staffing issues is exercised by their respective Boards, rather than by Parliament and Council. As a consequence, this Working Document mostly presents some general information on OHIM, CPVO and SRB in the overview tables for decentralised agencies; a less detailed budgetary financial statement is included for these self-financed bodies, reflecting the information received from these bodies.

⁴ Between 2011 and 2014, ECHA was fully self-financed for its chemicals activities under heading 1a, on a temporary basis only. In addition, as from 2012 an EU contribution is foreseen in view of its biocides (partially self-financed as from 2013) and PIC activities under heading 2, as set out in more detail in Part I section 1.1.4.

Framework Financial Regulation

Article 208(1) of the General Financial Regulation⁵ lays down that the 'Commission shall be empowered to adopt a Framework Financial Regulation by means of a delegated act in accordance with Article 210 for bodies which are set up under the TFEU and the Euratom Treaty and which have legal personality and receive contributions charged to the budget. The financial rules of those bodies shall not depart from the Framework Financial Regulation except where their specific operating needs so require and with the Commission's prior consent'.

The Commission has revised the Framework Financial Regulation⁶, notably with a view to aligning the text with the new General Financial Regulation and to respond to certain new developments surrounding decentralised agencies. The revised version of the Framework Financial Regulation has entered into force on 1 January 2014.

Discharge procedure

The financing structure of agencies (whether or not they actually receive contributions from the EU budget) also has an impact on the discharge procedure applicable, as laid down in Article 208(2) of the General Financial Regulation: with the exception of two fully self-financed agencies⁷, all decentralised agencies are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

Staff Policy Plans

At the end of 2005, the Commission established guidelines on the staffing structure of decentralised agencies⁸. Amongst others, these guidelines required agencies to draw up a multi-annual Staff Policy Plan, covering three years (as a 'rolling document').

Article 27 of the Framework Financial Regulation as amended in July 2008 formalised the agencies' requirement to provide the Budgetary Authority by 31 March each year with an updated multi-annual Staff Policy Plan, 'established in line with the guidelines set by the Commission'.

As requested in the joint Parliament / Council declaration of 13 July 2007⁹, the Commission each year transmits a full set of updated Staff Policy Plans and the corresponding Commission opinions to the Budgetary Authority, together with the agency work programmes.

The current Staff Policy Plans cover the years 2016 to 2018, and present for instance information on a draft establishment plan for the corresponding years (possibly including requests for additional posts), the number of posts actually filled as at end-2014, recruitment grades of posts and promotion rates of staff.

The Commission opinion looks amongst others at the conformity of general staff policy with the Staff Regulations (including implementing rules), the Commission policy and the Commission guidelines, conformity with the multi-annual financial programming, justification of any additional posts on the basis of new tasks assigned by the legislative authority, workload indicators and past implementation, recruitment at basic grades and promotion rates.

As from 2016, the Staff Policy Plans will become part of the new 'programming document' containing multiannual and annual programming, as foreseen in Article 32 of the revised Framework Financial Regulation.

Evaluations

In their joint statements of 13 July 2007, the European Parliament and the Council also requested the Commission to present information on ongoing and planned evaluations of decentralised agencies, each year at the October budgetary Trilogue at the latest.

⁵ Regulation (EU, Euratom) No. 966/2012 of 25 October 2012 on the Financial Regulation applicable to the general budget of the Union.

⁶ Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013, OJ L 328, 7.12.2013.

⁷ Office for the Harmonisation in the Internal Market (OHIM) and Community Plant Variety Office (CPVO) are subject to discharge of their governing boards only.

⁸ 'Commission guidelines on staff policy in decentralised agencies', doc. C (2005) 5304 of 9 December 2005.

⁹ Joint statements, ECOFIN (Budget) Council of 13 July 2007, doc. DS 605/1/07 REV 1.

The evaluation of decentralised agencies depends on the legal act applicable. Usually, the responsibility for these evaluations, which are often required every five years, lies with the agency's board. As a follow-up to the joint declaration of July 2007, information on agency evaluations has been included in the individual budgetary financial statements (Part I section 2.2.1).

Decentralised agency governance: the inter-institutional working group

The wider issue of decentralised agency governance has attracted much attention in recent years. Further to a Commission Communication of March 2008¹⁰, the Parliament and the Council agreed to set up an inter-institutional working group, which had its first meeting at political level on 10 March 2009 in Strasbourg.

The inter-institutional working group concluded its work in July 2012, which resulted in endorsement of a Common Approach on decentralised agencies, notably with a view to addressing a series of governance issues surrounding the growing number of agencies, at a time when streamlining of activities and improved performance are expected to contribute to efficiency gains. The Common Approach has been translated into a dedicated Roadmap, on which the Commission will regularly inform the European Parliament and the Council. It has done so in December 2013 and April 2015.

Communication programming of human and financial resources for decentralised agencies 2014-2020

In order to complete the comprehensive approach towards decentralised agencies agreed between the Institutions in July 2012, in July 2013 the Commission adopted a Communication¹¹ setting out a programming of the staffing and subsidy levels of each decentralised agency under the 2014-2020 multiannual financial framework (MFF). The Communication aimed at reducing total staffing levels in agencies by 5 % over five years, as laid down in point 27 of the Inter-institutional Agreement of 2 December 2013¹², while still allowing certain agencies to increase their staffing numbers in order to carry out their new tasks.

Decentralised agency resources: the inter-institutional working group

During the Conciliation on the 2014 budget, the European Parliament, the Council and the Commission agreed on the creation of an inter-institutional working group on decentralised agencies' resources, with a view to defining a clear development path for agencies, based on objective criteria. Thus far the working group has met twice, in March and April 2014. The next meeting is foreseen in July 2015, in particular with a view to preparing the 2016 Conciliation as far as the resources of agencies are concerned.

Application of IIA point 31

Point 31 of the Inter-institutional Agreement of 2 December 2013 on budgetary discipline, cooperation in budgetary matters and on sound financial management foresees a procedure for the two arms of the budgetary authority (Parliament and Council) to assess the budgetary impact of the creation of new agencies and to arrive at a timely agreement on the financing of the agency, as proposed by the Commission.

- Firstly, the Commission shall systematically present any proposal for setting up a new agency to the first trilogue following the adoption of its proposal, and shall present the financial statement accompanying the draft legal act proposing the creation of the agency and shall illustrate the consequences thereof for the remaining period of the financial programming.
- Secondly, during the legislative process, the Commission shall assist the legislator in assessing the financial consequences of the amendments proposed. Those financial consequences should be considered during the relevant legislative trilogues;
- Thirdly, before the conclusion of the legislative process, the Commission shall present an updated financial statement taking into account potential modifications by the legislator; this final financial statement shall be placed on the agenda of the final legislative trilogue and formally endorsed by the legislator. It shall also be placed on the agenda of a subsequent budgetary trilogue (in urgent cases, in simplified form), in view of reaching an agreement on the financing;

¹⁰ 'European agencies – the way forward', COM(2008) 135 final, 11 March 2008.

¹¹ COM(2013) 519, 10 July 2013.

¹² OJ C 373, 20.12.2013.

- Fourthly, the agreement reached during a trilogue, taking into account the Commission’s budgetary assessment with regard to the content of the legislative process, shall be confirmed in a joint declaration. That agreement shall be subject to approval by the European Parliament and the Council, each in accordance with its own rules of procedure.

The same procedure would be applied to any amendment to a legal act concerning an agency in question which would have an impact on the resources of the agency in question. Should the tasks of an agency be modified substantially without an amendment to the legal act setting up the agency in question, the Commission shall inform the European Parliament and the Council by means of a revised financial statement, so as to allow the European Parliament and the Council to arrive at a timely agreement on the financing of the agency.

2.2 European institute of innovation and technology (EIT)

2.2.1 EIT: set-up

Legal base of EIT

The legal base (Regulation 294/2008) of EIT, adopted on the basis of Article 173 TFEU (ordinary legislative procedure), has been amended in December 2013 (Regulation 1292/2013), notably in view of integrating the 'knowledge triangle' (higher education, research and innovation) within Horizon 2020.

Tasks

In short, the task of EIT is to reinforce the innovation capacity of the Union and the Member States, by bringing together the best actors operating in the 'knowledge triangle' (higher education, research and innovation).

2.2.2 EIT: budgetary and financial arrangements

In terms of budgetary and financial arrangements, EIT follows largely the example of the decentralised agencies as described in section 2.1.2 above. This applies in particular as regards:

- The inclusion of information in the EU budget;
- The budget structure: one article, plus (unlike the decentralised agencies) one article for completion;
- The application of the Framework Financial Regulation (albeit with some derogations, in view of the special character of EIT);
- The multi-annual Staff Policy Plan;
- The discharge procedure;
- The principle of periodic evaluations.

EIT has become financially autonomous in June 2011.

The individual budgetary financial statement for EIT is presented in Part I section 2.2.3.

2.3 Executive agencies

Firstly, this section recalls the reasons for the setting up of executive agencies, as part of the major Commission reform of 1999 / 2000. This section then looks at practical experience with the current six executive agencies, in terms of actual set-up of the agency, recruitment of staff, impact on the human resources of the Commission, cost savings generated, buildings policy and evaluations. The section ends with an overview of the use of executive agencies under the 2014 - 2020 multiannual financial framework, on the basis of the ‘delegation package’ presented by the Commission in October 2013. Individual budgetary financial statements for all executive agencies are presented in Part I section 2.2.4.

2.3.1 *Executive agencies: context of creation*

Commission reform – guidelines on externalisation policy

In December 1999, the Commission undertook a major review of its externalisation policy¹³, in order to correct the shortcomings detected previously due to the poor control of some technical administrative support offices (TAOs, also known under the French acronym of BATs).

Essentially, the guidelines aimed to re-focus the Commission's attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, and to define acceptable forms of externalisation, including a new type of implementing bodies: executive agencies.

Main features of executive agencies: autonomy and dependence

The fundamental features of executive agencies are autonomy and dependence:

- Autonomy, as these bodies have their own legal personality and hence the ability to adopt legal acts (such as agreements, contracts and individual decisions) themselves when managing projects; they have their own operating budget and are subject to a separate discharge in respect of its implementation.
- Dependence, as these structures are set up only to perform the tasks entrusted by the Commission,
 - In accordance with strict rules determined by the Commission, laying down the tasks, the conditions and the arrangements for performing them in such a way as to comply with the objectives set by the Commission, and the institutional restrictions in the performance of the tasks, in particular the absence of any margin of discretion implying political choices;
 - With managing organs appointed by the Commission (steering committee and director), and administered, at least in part, by Commission staff, given that positions of responsibility are to be filled by EU officials on secondment; and
 - Under the control and responsibility of the Commission.

Desirability of establishing an executive agency

Whether an agency should be set up, or whether the mandate of an existing agency should be extended, will depend on a number of factors, such as:

- The need for a high level of technical and financial expertise throughout the project's cycle;
- Clear separation between programming stages (the Commission's core business), for which the Commission remains fully responsible, and back-up for implementation of technical projects, where no political decision-making implying discretionary powers is involved;
- The possibilities of economies of scale through a high level of specialisation or the regrouping of similar programmes or activities within one agency; achieving economies will depend to a large degree on the ability of agencies to recruit contract staff, on renewable contract;
- The need for the Commission to focus on legislative and strategic tasks in policy making and monitoring, including those connected to EU programmes;
- The need to carry out certain activities with increased visibility without any intervention by third parties as intermediaries.

These factors must appear in detail in the required cost-benefit analysis, which guides the choice of the appropriate organisational solution (see also section 2.3.3 below on cost savings and evaluations).

¹³ Guidelines for the Commission's externalisation policy; Communication of Mrs Schreyer and Mr Kinnock, SEC (1999) 2051, 14 December 1999.

Screening of Commission human resources

The 'screening' of Commission human resources¹⁴ as carried out in 2007 confirmed the Commission's policy to further concentrate on operational activities in priority policy areas, to strive for efficiency gains and to rely, subject to cost-benefit analysis, on executive agencies to manage some of the operational programmes with rapidly growing budgets. This was one of the conditions under which the Commission committed itself to meet new staffing needs in key policy areas – other than those related to enlargements – exclusively through redeployment within and between departments during the period 2007 – 2013.

Legal framework of executive agencies

The principle of executive agencies was already laid down in the recasting of the General Financial Regulation adopted by the Council on 25 June 2002 (Articles 54 and 55 of Regulation (EC, Euratom) No. 1605/2002).

Council Regulation (EC) No. 58/2003 of 19 December 2002 ('the Framework Regulation') 'lays down the statute of executive agencies to which the Commission, under its own control and responsibility, may entrust certain tasks relating to the management of Community programmes' (Article 1 of the Framework Regulation).

Furthermore, executive agencies have a 'Standard Financial Regulation' which applies to their own operating budget (Commission Regulation (EC) No. 1653/2004, as last amended by Commission Regulation (EC) No. 651/2008 of 9 July 2008).

2.3.2 Executive agencies: set-up

State of play

All six executive agencies are fully operational, as shown in the table below:

Acronym	Name	Fully operational as from
EASME	Executive Agency for Small and Medium-sized Enterprises (EASME) ¹⁵	01/01/2006
EACEA	Executive Agency for Education, Audiovisual and Culture (EACEA)	01/01/2006
CHAFEA	Consumers, Health and Food Executive Agency (CHAFEA) ¹⁶	01/01/2007
INEA	Innovation and Networks Executive Agency (INEA) ¹⁷	15/04/2008
ERCEA	European Research Council Executive Agency (ERCEA)	15/07/2009
REA	Research Executive Agency (REA)	15/06/2009

In this context, 'fully operational' is defined as 'full operational and accounting autonomy', in terms of both management of operational programmes and management of the operating budget of the agency. However, agencies are already operational before full autonomy is granted, for instance in the launch of the call for proposals.

The time needed to plan and set up executive agencies is substantial, due to the need for drafting and getting the delegation instrument agreed, implementing internal control standards, putting in place robust financial circuits, recruiting temporary or contract agents and seconding officials. A key step in this regard is the appointment of the Director of the agency, after which the recruitment of agency personnel can start.

¹⁴ 'Planning & optimising Commission human resources to serve EU priorities', Report from the Commission, SEC (2007) 530, 24 April 2007.

¹⁵ This agency was initially set up as the Intelligent Energy Executive Agency (IEEA), after which its name was changed to Executive Agency for Competitiveness and Innovation (EACI). Its new name, as from 2014, reflects the extension of its mandate to new programmes.

¹⁶ This agency was initially set up as the Public Health Executive Agency (PHEA), after which its name was changed to Executive Agency for Health and Consumers (EAHC). Its new name, as from 2014, reflects the extension of its mandate to new programmes.

¹⁷ This agency was initially set up as TEN T-EA. Its new name, as from 2014, reflects the extension of its mandate to new programmes.

Staff recruitment

Executive agency personnel consists of two main groups, with different characteristics and different recruitment procedures applicable:

- Temporary Agents, either Commission officials seconded to positions of responsibility, or external temporary agents recruited by the agency;
- Contract Agents and Seconded National Experts, recruited by the agency

2.3.3 Executive agencies: impact on posts and administrative resources

Impact on human resources of the Commission: freed and frozen posts

As foreseen in the Framework Regulation 58/2003, the creation of executive agencies has a two-fold impact on the Commission human resources:

- 'Freed' posts (Art. 13 § 6c): tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, until 2013 the Commission used to inform the Budgetary Authority of its intentions as regards the redeployment of those freed administrative resources to other tasks in the Commission. However, as described in more detail in section 2.3.4 below, for the delegation of tasks to executive agencies for the 2014-2020 period, freed posts have been deleted from the Commission establishment plans, in order to guarantee the budgetary neutrality of the delegation exercise.
- 'Frozen' posts (Art. 18 § 2b): in accordance with Article 38.c of the Staff Regulations, the posts of Commission officials seconded to executive agencies remain vacant in the Commission during their secondment (i.e., the posts are 'frozen'). A corresponding number of posts are without budgetary coverage in the establishment plan of the Commission. This generates a corresponding reduction of administrative appropriations in the Commission Budget.

Essentially, the question whether and to what extent the creation of an executive agency leads to freed and frozen posts depends on:

- whether the executive agency takes over the responsibility for an existing programme from the Commission services; and
- whether the budget to be managed for a given programme grows significantly, as compared to the past.

Between 2005 and 2013, freed posts have been redeployed to serve the priorities identified by the Commission and by the Budgetary Authority during the various budget procedures. This has enabled the Commission to meet new priorities without any new request for additional posts. Accordingly, the redeployment of freed posts has been a decisive element for managing human resources efficiently:

- the Commission re-focuses its attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, whereas
- implementation of programmes or programme strands, where no political decision-making implying discretionary powers is involved, is delegated to dedicated executive agencies.

In accordance with Article 38.c of the Staff Regulations, at the end of every six months, the official concerned may request that his secondment be terminated. Upon return of the previously seconded official, the 'frozen' post in the establishment plan of the Commission is 'unfrozen', and added to the pool of freed posts available for redeployment. A decrease of the total number of Commission officials seconded to the executive agencies in this regard means that the official has not been and will not be replaced by another Commission official, and therefore the post has been or will be freed from the perspective of the Commission.

More details on freed and frozen posts by executive agency in 2015 are given at section 1.3.7, and in the individual budgetary financial statements (section 2.2.4).

Cost savings generated by the establishment of executive agencies

As mentioned above, the primary reason for the creation of executive agencies was to re-focus the Commission's attention on its core tasks as well as to improve the management and implementation of certain operational programmes, through dedicated bodies with a high level of technical and financial expertise.

Nonetheless, the establishment of executive agencies should also lead to some cost savings as compared to the alternative costs that would have been required for 'in-house' Commission management, as indicated in the cost-benefit analyses made when setting up individual agencies. Such cost savings are also relevant in the context of ensuring the budgetary neutrality of the delegation of tasks to executive agencies.

The cost-benefit analysis (CBA) to be carried out as part of the obligatory three-yearly evaluation of agencies (see below) should, *inter alia*, take into account savings within the EU budget, as was done in the *ex ante* cost-benefit analysis.

Buildings policy

In accordance with the Framework Regulation 58/2003 (Art. 5 § 1), agencies are located at the place where the Commission and its departments are located. In practice, this means that the agencies are located in Brussels, with the exception of CHAFAEA (Luxembourg). More details on buildings for individual agencies can be found in the budgetary financial statements (section 2.2.4).

External evaluations of executive agencies

The Framework Regulation 58/2003 (Art. 25) requires the Commission to draw up an external evaluation report on the first three years of operation of each executive agency. This evaluation, which has to include a cost-benefit analysis, is to be submitted to the steering committee of the executive agency, to the European Parliament, to the Council and to the Court of Auditors. The evaluation then has to be repeated every three years, under the same conditions.

As explained in more detail in the individual budgetary financial statements, the most recent evaluations of the executive agencies have been carried out in 2013, in light of the preparation of the Commission's 'delegation package'.

Court of Auditors Special Report on executive agencies

In November 2009, the Court of Auditors published a Special Report on executive agencies¹⁸. Overall, the report presented positive findings, especially as regards the quality of the work carried out by the agencies, as well as from the point of view of cost savings generated. However, the Court was more critical with regard to governance and supervision issues and the quality of the cost-benefit analyses. The Commission ensures the follow-up to the recommendations contained in the Court's report, in particular by having integrated further methodological improvements in the CBA.

2.3.4 Executive agencies: the 2014-2020 multiannual financial framework

As part of its proposals for the 2014-2020 multiannual financial framework¹⁹, the Commission expressed its intention to use the option of more extensive recourse to existing executive agencies, in view of their service delivery and visibility in the management of EU programmes. Subsequently, and as foreseen in the Framework Regulation 58/2003, in its proposals for the new legal bases for the operational programmes of the post-2013 programming period, the Commission indicated for each programme whether it intended to delegate budget implementation tasks to executive agencies.

In 2012/2013, the Commission carried out a cost-benefit analysis for the externalisation of post-2013 spending programmes to the current six executive agencies, with a view to presenting the file to the Committee for Executive Agencies (CEA) and the European Parliament ('working arrangements') in time before the start of the new programming period.

The CBA highlighted the following comparative advantages of delegating certain 2014-2020 programmes to the executive agencies:

¹⁸ European Court of Auditors, Special Report 13/2009, "Delegating implementing tasks to executive agencies: a successful option?", Luxembourg, 20 November 2009.

¹⁹ COM(2011)500, 29.6.2011, point 6.1.3.

- As a result of their experience and specialisation in specifically defined tasks, the agencies guarantee a high quality of programme management and better service delivery in terms of faster contracting, faster approval procedures for technical and financial reports and quicker payments.
- Giving the agencies coherent programme portfolios will create synergies between closely related policy domains and foster knowledge spill-over. For example, pooling all aspects of the small and medium-sized enterprises (SME) instrument that falls under the Framework Programme for Research and Innovation (Horizon 2020) is expected to result in economies of scale, easier coordination and consistency in delivery of services. At the same time, all potential beneficiaries will have a single entry point.
- The new programmes can capitalise on the agencies' existing communication and outreach channels, which have developed over time to keep them close to beneficiaries and to improve the EU's visibility as the promoter of the programmes. In particular, the agencies provide an increased level of direct exchanges with beneficiaries through 'info days', kick-off meetings for larger and multi-annual projects, and monitoring visits.
- Continuous simplification of processes and procedures (e.g. simplified forms of grants, proportionate controls and electronic application forms) results in higher productivity, which should further increase with simpler procedures for the new programmes.
- The lower number of full-time equivalents (FTEs) required to manage the programmes and the scope for recruiting a larger percentage of contract agents at the executive agencies than at the Commission entails significant savings compared with the in-house scenario.

The CBA compared four scenarios depicting varying levels of programme delegation: *an in-house scenario* in which new programmes would be managed by the Commission while EAs would remain responsible for the delivery of legacy work (2007–2013 programmes); *an initial scenario* for delegation defined by the Commission; and *two alternative scenarios* exploring options for delegation different from that of the initial scenario.

The CBA pointed to the 'alternative scenario 2'²⁰ as the most efficient in terms of cost savings and qualitative benefits than the other scenarios taken into consideration. Since the EAs will benefit from economies of scale as they become larger, this scenario would lead to the highest estimated efficiency gains (EUR 509 million at present value²¹ over the 2014-2020 period) in relation to the in-house scenario.

On the basis of the cost-benefit analysis, the Commission proposed to make increased use of executive agencies in the management of the new spending programmes for the period 2014-2020, by delegating implementing tasks to executive agencies when they can bring higher efficiency and effectiveness as compared to 'in-house' management in the Commission. To this end, the Commission defined an overall approach for the delegation of the management of operational programmes to the executive agencies. Besides taking into account the latest major developments in the negotiations between the European Parliament and the Council on the legal acts of programmes to be delegated, the Commission placed particular emphasis on the safeguarding of two key objectives in the delegation process:

- **Productivity:** to ensure a sustained or improved level of underlying productivity in each of the agencies compared to 2013, the staffing numbers of all agencies have been reviewed;
- **5 % staff reduction:** to reconcile the staffing levels of the executive agencies with the overall 5 % staff reduction in all EU institutions and bodies, a 5 % reduction has been applied to the 2013 staffing levels of all executive agencies, to be spread evenly over the 2014-2020 period, and to be compared with the initial assessment of the resources to be allocated to each agency. As for all EU institutions and bodies, the 5 % staff reduction is accompanied by the increase in working hours from 37,5 to 40 hours per week.

²⁰ Within the 'boundary conditions' set by the Commission of keeping the current number of executive agencies unchanged and having broadly similar-sized agencies (in terms of their staffing), the contractor developed a scenario in which certain programmes would be managed by other agencies compared to the 'initial scenario', as follows: the new Space programme and the societal challenge Food security, sustainable agriculture, marine and maritime research and bio-economy under Horizon 2020 would be delegated to REA, whereas EASME would manage the second and third generation of the Intelligent Energy programme, the entire SME instrument under Horizon 2020 as well as the Integrated Maritime Policy, Control and Scientific Advice actions under the EMFF.

²¹ Calculated following the Commission's guidelines for impact assessments for policy proposals (SEC(2009) 92), applying the standard discount rate of 4 % to future benefits to calculate their net present value.

The expected efficiency gains of the delegation scenario chosen and the resources to be freed in the Commission departments by delegating tasks to executive agencies allowed a bigger budget to be implemented with fewer resources compared to the in-house scenario while guaranteeing a high quality of programme management. For these reasons, the Commission proposed to delegate these tasks to the executive agencies. However, the savings in the Commission resulting from the 'freed' and 'frozen' posts identified in the CBA were insufficient to fully offset the additional expenditure to cover the running costs of the executive agencies. To achieve budget neutrality over the period, the Commission decided to offset the increase in expenditure on additional human resources in executive agencies by further reducing its own human resources (officials and contract agents) beyond the 'freed' and 'frozen' posts identified in the CBA. The review of its own internal organisation enabled the Commission to propose to increase the number of 'freed' and 'frozen' posts, leading to a total number of 'freed' and 'frozen' posts as shown in the table below:

'Freed' and 'frozen' posts: ensuring budgetary neutrality in 2014-2020	Establishment plan posts	Contract agents (FTE)
Total	549	164

As mentioned above, the proposed reduction in the number of posts in the Commission establishment plans corresponding to the posts 'freed' in the Commission comes on top of the general 5 % staff reduction in the Commission over the period 2013-2017.

The Commission proposed to keep the same number of executive agencies, while adapting the names of three of the six agencies to reflect the extended scope of their mandates, namely:

- The Executive Agency for Competiveness and Innovation (EACI) became the Executive Agency for Small and Medium-sized Enterprises (EASME);
- The Executive Agency for Health and Consumers (EAHC) became the Consumers, Health and Food Executive Agency (CHAFEA); and
- The Trans-European Transport Network Executive Agency (TEN-T EA) became the Innovation and Networks Executive Agency (INEA).

The Commission described the proposed scenario for the delegation of certain parts of 2014-2020 spending programmes to the executive agencies, and the corresponding budgetary and human resources impact (including to take into account the application of the 5 % staff reduction target in the executive agencies), in an information note which was sent to the European Parliament and the Council on 4 October 2013.

Further to the agreement on the delegation reached in November 2013, the six executive agencies have been re-established through Commission implementing Decisions in December 2013²².

2.4 Joint undertakings / joint technology initiatives ('PPP bodies')

2.4.1 Joint undertakings / joint technology initiatives ('PPP bodies'): set-up

Legal bases of joint undertakings / joint technology initiatives ('PPP bodies')

The legal bases of the current two joint undertakings and the six joint technology initiatives are either created on the basis of the Euratom Treaty or the Treaty on the Functioning of the European Union (Art. 187, 188), as follows:

- Euratom: ITER – Fusion for Energy (F4E) joint undertaking;
- TFEU – Council Decision / Regulation: SESAR joint undertaking, IMI, FCH, Clean Sky, ECSEL, BBI, and Shift2Rail joint technology initiatives under 'Horizon 2020'.

²² EASME (OJ L 341, 18.12.2013); CHAFEA (OJ L 341, 18.12.2013); EACEA (OJ L 343, 19.12.2013); REA (OJ L 346, 20.12.2013); ERCEA (OJ L 346, 20.12.2013); and INEA (OJ L 352, 24.12.2013).

Tasks

In short, the tasks of the joint undertakings and joint technology initiatives are:

- ITER – Fusion for Energy (F4E): to implement an international agreement on the development of Fusion Energy;
- Single European Sky – Air Traffic Management Research (SESAR2): to ensure the coordination of research and innovation in view of modernising Air Traffic Management in Europe;
- Innovative Medicines Initiative (IMI2): to improve European citizens' health and wellbeing by providing new and more effective diagnostics and treatments such as new antimicrobial treatments;
- Fuel Cells and Hydrogen (FCH2): to develop commercially viable, clean, solutions that use hydrogen as an energy carrier and of fuel cells as energy converters;
- Clean Sky (Clean Sky 2): to radically reduce the environmental impact of the next generation of aircraft;
- Electronic Components and Systems for European Leadership (ECSEL): to keep Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation;
- Bio-Based Industries (BBI): to develop new and competitive bio-based value chains that replace the need for fossil fuels and have a strong impact on rural development;
- Shift2Rail (S2R): to ensure the coordination of research and innovation in view of supporting the completion of the Single European Railway Area and increasing the competitiveness of the EU rail sector vis-à-vis the other transport modes and vis-à-vis foreign competition.

Creation

Essentially, the joint undertakings and joint technology initiatives have been set up to attract public and private knowledge and capital, as public-private partnerships and public-public partnerships with Member States in key areas where research and development could contribute to Europe's wider competitiveness goals and where traditional instruments are not adequate. The bodies will lead to the formation of wide partnerships that will involve a large number of players from industry, including notably SMEs, the research community and wider society.

All legal bases for the first generation of joint undertakings and joint technology initiatives have been adopted in 2007 and 2008, and have been amended in December 2013 (ITER), May 2014 (BBI, IMI2, Clean Sky2, FCH2 and ECSEL) and June 2014 (SESAR2 and S2R). Consequently, the joint undertakings and joint technology initiatives have reached 'cruising speed', e.g. in terms of recruitment activities and financial autonomy, as shown in the table below.

<i>Acronym</i>	<i>Financially autonomous as from</i>
<i>ITER – F4E</i>	<i>16/03/2008</i>
<i>IMI</i>	<i>16/11/2009</i>
<i>FCH</i>	<i>15/11/2010</i>
<i>Clean Sky</i>	<i>17/11/2009</i>
<i>ARTEMIS</i>	<i>26/10/2009</i>
<i>ENIAC</i>	<i>03/05/2010</i>
<i>SESAR</i>	<i>01/01/2009</i>
<i>BBI</i>	<i>2015</i>
<i>S2R</i>	<i>2016</i>

2.4.2 Joint undertakings / joint technology initiatives ('PPP bodies'): budgetary and financial arrangements

Joint undertakings / joint technology initiatives ('PPP bodies') in the EU budget

In addition to the information contained in the budgets of the joint undertakings and the joint technology initiatives, the EU budget also includes detailed information on these bodies, such as the authorised EU contributions and the authorised establishment plans of the joint undertakings.

For the joint technology initiatives, the EU contribution is typically broken down in two budget articles, in order to separate the fresh appropriations from completion projects.

For ITER-F4E, the split between operational and support expenditure is made in a similar way as for research expenditure under Horizon 2020 (support expenditure lines 32 01 05).

Financing structure

The joint undertakings and joint technology initiatives are typically funded by contributions from the EU budget on the one hand and public / private parties on the other (the latter both in appropriations and in kind). This concerns both the operational expenditure and the running costs of the bodies.

Framework Financial Regulation and Model Financial Regulation

As for the decentralised agencies (see section 2.1.2 above), the Framework Financial Regulation for bodies under Article 208 of the Financial Regulation applies to the joint undertakings. This means that 'the financial rules of these bodies may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'. However, the Model Financial Regulation for bodies under Article 209 of the Financial Regulation²³ applies to joint technology initiatives, in order to take account of their specific situation.

Discharge procedure

In accordance with Article 208(2) of the General Financial Regulation, the joint undertakings are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council. In accordance with their respective founding Regulations, the same discharge procedure applies to the joint technology initiatives.

Staff Policy Plans

As for the decentralised agencies (see section 2.1.2 of the Introduction above), the Commission each year transmits a set of updated Staff Policy Plans (currently covering the years 2016 to 2018) and the corresponding Commission opinions to the Budgetary Authority, along with the Staff Policy Plans of the decentralised agencies.

Evaluations

The evaluation of the joint undertakings and joint technology initiatives depends on the legal act applicable, bearing in mind also that the joint undertakings and the joint technology initiatives have been set up for a limited period (typically until 2024, with the exception of ITER – F4E). Information on evaluations of joint undertakings and joint technology initiatives is included in the individual budgetary financial statements (Part II section 2.2).

²³ Commission Delegated Regulation (EU) No 110/2014 of 30 September 2013, OJ L 38, 7.2.2014.

2.5 Types of EU bodies: comparison table

	Decentralised agencies	Joint undertakings and joint technology initiatives ('PPP bodies') and EIT	Executive agencies
Number of EU bodies included in DB 2016	– 33 established decentralised agencies	2 joint undertakings and 6 joint technology initiatives (PPP bodies) + EIT	6 executive agencies
Legal base	Dedicated basic acts – currently, 30 decentralised agencies established by Council (Art. 352 TFEU) or Parliament and Council (ordinary legislative procedure); – 'Police and judicial cooperation in criminal matters': 3 decentralised agencies	Dedicated basic acts – Euratom: ITER – F4E – Council Decision / Regulation (Art. 187, 188 TFEU): IMI, FCH, Clean Sky, ECSEL, BBI, Shift2Rail and SESAR – Ordinary legislative procedure (Art. 173 TFEU): EIT	Basic act for the operational programme whose implementation they support Commission decision in order to delegate the implementation of all or part of an EU programme(s)
Financial Regulation	Framework Financial Regulation for the bodies referred to in Article 208 of the General Financial Regulation	Framework Financial Regulation for the bodies referred to in Article 208 of the General Financial Regulation; Model Financial Regulation for the bodies referred to in Article 209 of the General Financial Regulation	Standard Financial Regulation for Executive Agencies
Tasks	– Power to adopt binding rules and/or individual decisions with direct legal effect – Provide assistance to the Commission and where necessary to the Member States in the interest of the Union in the form of technical or scientific opinions and/or inspections reports – Focus on networking between national competent authorities and on organising between them in the interest of the Union with a view of gathering, exchanging and comparing information and good practices	– Efficient execution of EU research, technological development and demonstration programmes – Reinforce innovation capacity of the Union and the Member States	Tasks in the management of EU programmes – executive and operational tasks, not involving 'political choices'
Transfer of Commission tasks	In general, no	Partially yes (but pooling with other actors)	Yes (certain implementation tasks), often combined with growing programmes
Procedure for creation			Reference in the explanatory memorandum of the proposal for the basic act of the programme to be managed
Preparatory actions	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Cost-benefit analysis prior to Commission decision covering, in accordance with Art 3§1 of Regulation 58/2003, factors such as identification of tasks justifying outsourcing, cost of coordination & checks, impact on HR, possible savings within the general budgetary framework, efficiency and flexibility in the implementation of tasks outsources, simplification of the procedures used, proximity of outsourced activities to final beneficiaries, visibility of the Union as promoter of the EU programme concerned and the need to maintain an adequate level of know-how inside the Commission
Involvement of the Budgetary Authority	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 31	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 31	Needs approval from the Committee for Executive Agencies (CREA) and prior information of the budget authority (code of conduct convened with the Parliament as revised in 2007)

Budget – financing structure	Most decentralised agencies: EU funded Exception: EMA, EASA and ECHA: combination of EU contributions and self-financing (fees) OHIM, CPVO, SRB and CdT: fully self-financed (fees) EBA, EIOPA and ESMA: partially co-financed by national public authorities	Joint undertakings / PPP bodies: funding from Horizon 2020 budgets together with other external sources (partially in kind) EIT: combination of EU and other contributions	Fully subsidised as a part of the financial envelope of the operational programme concerned
Discharge procedure	Subject to discharge of the Parliament on recommendation of the Council Exception: OHIM and CPVO (subject to governing discharge only)	Subject to discharge of the Parliament on recommendation of the Council	Subject to discharge of the Parliament on recommendation of the Council (executive agency operating budget only – operational programmes remain part of the Commission discharge)
Evaluation	Depending on legal act applicable	Depending on legal act applicable	First evaluation (including retro-active cost-benefit analysis) after three years of operation; then to be repeated every three years.
Key budgetary information	Budgets published individually in OJ C Each year in DB detailed information on EU contribution, overall budgets and establishment plans	OJ C – EU budget More justification in working documents accompanying the DB	OJ C – EU budget Each year in DB documents detailed information on budget and staff aspects.

PART I – BODIES SET UP BY THE EUROPEAN UNION AND HAVING LEGAL PERSONALITY

1 MAIN BUDGETARY TRENDS 2016

1.1 Decentralised agencies

This section on 'main budgetary trends' starts with a historical table (section 1.1.1) showing the evolution, over the period 2000 – 2014, of decentralised agency budget contributions and staff (authorised establishment plans). The historical table is followed by an updated classification of agencies ('start-up phase', 'new tasks' or 'cruising speed', section 1.1.2) and a method for determining the EU contribution to the agencies, taking into account agency assigned revenues and cancellation of appropriations in the budgets of the agencies (section 1.1.3). This leads to the budgetary and staffing highlights for 2016, in text (section 1.1.4), tables (section 1.1.5) and graphs (section 1.1.6). Finally, this section presents overview tables on Commission budget implementation for decentralised agencies in 2014 (section 1.1.7) and on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2014, section 1.1.8).

1.1.1 Historical table 2000 - 2014

Tables below show, by financial framework heading, the historical evolution of the decentralised agencies over the period 2000 – 2014, in terms of EU budget contribution to and authorised staff levels of the agencies. This historical table should be seen as a complement to the overview tables in 1.1.5 below, which show the EU contribution and staff levels for the years 2015 and 2016.

1.1.1.1 Historical table 2000-2014: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	
European Chemicals Agency (ECHA) – Chemicals legislation	02 03 03	Helsinki	2006									15,294	62,619	64,529	35,150	p.m.	p.m.	p.m.	p.m.
<i>Authorised establishment plan</i>												101	220	324	426	456	456	451	441
European GNSS Agency (GSA)	02 05 11	Prague	2004						1,657	8,050	9,425	10,560	5,800	5,135	8,200	11,840	13,450	24,587	
<i>Authorised establishment plan</i>	(02 05 02)	(Brussels)							15	39	46	50	23	28	29	44	77	96	
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	04 03 11	Dublin	1975	14,700	15,000	16,500	16,500	18,000	18,600	19,000	19,600	20,000	19,450	19,067	20,210	20,289	20,115	19,854	
<i>Authorised establishment plan</i>	(04 04 03)			85	85	88	88	91	94	94	94	101	101	101	101	101	101	99	
European Agency for Safety and Health at Work (EU-OSHA)	04 03 12	Bilbao	1994	6,700	6,800	9,000	13,375	9,375	13,200	13,200	14,000	14,400	13,800	13,743	14,316	14,570	14,035	14,014	
<i>Authorised establishment plan</i>	(04 04 04)			26	26	31	33	38	40	40	42	44	44	44	44	44	44	43	
European Centre for the Development of Vocational Training (CEDEFOP)	04 03 13	Thessaloniki	1975	12,900	13,200	13,750	14,500	15,800	16,100	16,400	16,730	17,060	15,910	16,920	15,742	17,009	17,385	17,429	
<i>Authorised establishment plan</i>	(15 02 11) (15 02 25)			81	81	83	83	88	91	95	97	99	101	101	101	101	100	98	
European Aviation Safety Agency (EASA)	06 02 02	Köln	2002			2,300	9,500	11,050	18,930	23,114	24,530	30,000	31,540	32,879	33,316	33,297	34,556	34,174	
<i>Authorised establishment plan</i>	(06 02 01)					50	80	95	200	336	467	452	506	570	574	634	692	685	
European Maritime Safety Agency (EMSA)	06 02 03	Lisbon	2002			1,700	4,500	12,600	35,300	44,630	48,100	44,300	24,435	52,449	50,696	53,229	53,872	50,379	
<i>Of which anti-pollution measures</i>	06 02 03 02							2,000	17,800	23,800	25,000	18,000	18,900	20,500	23,000	20,000	22,663	19,675	
<i>Authorised establishment plan</i>	(06 02 02)					40	40	55	95	132	153	181	192	200	208	213	213	210	
European Railway Agency (ERA)	06 02 04	Lille	2004					4,900	13,670	14,455	16,645	18,000	16,060	23,260	24,375	24,487	24,871	23,573	
<i>Authorised establishment plan</i>	(06 02 08)	Valenciennes						30	72	95	110	116	124	139	144	144	143	140	
European Network and Information Security Agency (ENISA)	09 02 03	Heraklion	2004					3,500	6,800	6,800	8,000	8,160	7,800	7,288	7,188	8,244	8,206	8,739	
<i>Authorised establishment plan</i>								15	38	44	44	44	44	44	44	47	47	48	
Body of European Regulators for Electronic Communications – Office (BEREC – Office)	09 02 04	Riga	2009										p.m.	3,470	3,579	4,293	3,769	3,618	
<i>Authorised establishment plan</i>														10	12	16	16	16	
European Banking Authority (EBA)	12 02 04	London	2010													5,073	8,299	8,955	13,000
<i>Authorised establishment plan</i>	(12 03 02) (12 04 02)															46	68	93	111
European Insurance and Occupational Pensions Authority (EIOPA)	12 02 05	Frankfurt	2010													4,267	6,262	6,385	8,589
<i>Authorised establishment plan</i>	(12 03 03) (12 04 03)															46	69	80	87

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	
European Securities and Markets Authority (ESMA)	12 02 06	Paris	2010												6,784	7,120	6,914	10,368	
<i>Authorised establishment plan</i>	(12 03 04) (12 04 04)														58	75	121	133	
Agency for the Cooperation of Energy Regulators (ACER)	32 02 10	Ljubljana	2009											pm	2,000	5,000	7,242	10,359	10,188
<i>Authorised establishment plan</i>	(32 04 10)													25	40	43	49	54	
Total decentralised agencies of heading 1a				34,300	35,000	43,250	58,375	75,225	124,257	145,649	172,324	225,099	199,324	211,362	198,745	216,181	222,872	238,511	
<i>Of which anti-pollution measures</i>								2,000	17,800	23,800	25,000	18,000	18,900	20,500	23,000	20,000	22,663	19,675	
<i>Authorised establishment plan</i>				192	192	292	324	412	645	875	1 154	1 307	1 459	1 688	1 903	2 055	2 227	2 261	

1.1.1.2 Historical table 2000-2014: Decentralised agencies of heading 2 – Sustainable growth: natural resources

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan														
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
European Chemicals Agency (ECHA) — PIC activities	07 02 05	Helsinki	2012													1,456	1,562	1,286
<i>Authorised establishment plan</i>	(07 02 05 02) (07 03 70)														3	5	6	
European Environment Agency (EEA)	07 02 06	Copenhagen	1990	18,000	19,000	19,380	21,380	27,200	26,900	27,650	28,950	31,672	34,560	35,258	35,105	35,727	35,797	35,366
<i>Authorised establishment plan</i>	(07 03 09)			76	80	83	111	115	115	115	116	123	133	133	134	136	138	135
European Fisheries Control Agency (EFCA)	11 06 64	Vigo	2005						1,000	4,900	5,000	7,300	5,704	7,695	12,665	9,031	8,934	8,717
<i>Authorised establishment plan</i>	(11 08 05)								0	38	38	49	55	53	53	54	54	53
European Chemicals Agency (ECHA) — Biocides activities	17 04 07	Helsinki	2012												p.m.	2,728	6,071	5,023
<i>Authorised establishment plan</i>	(07 02 05 01) (07 03 60)														11	47	48	
Total decentralised agencies of heading 2				18,000	19,000	19,380	21,380	27,200	27,900	32,550	33,950	38,972	40,264	42,953	47,770	48,942	52,364	50,392
<i>Authorised establishment plan</i>				76	80	83	111	115	115	153	154	172	188	186	187	204	244	242

1.1.1.3 Historical table 2000-2014: Decentralised agencies of heading 3 – Security and citizenship

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan														
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
European Centre for Disease Prevention and Control (ECDC)	17 03 10	Stockholm	2004						4,753	16,800	26,500	39,100	48,100	56,255	52,770	56,727	56,727	56,766
<i>Authorised establishment plan</i>	(17 03 03)								29	50	90	130	170	200	200	200	198	194

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan														
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
European Food Safety Authority (EFSA)	17 03 11	Parma	2002			7,500	16,493	26,885	36,700	46,600	57,000	63,500	70,700	69,041	72,333	75,080	74,334	76,545
<i>Authorised establishment plan</i>	(17 03 07)					45	49	138	194	250	300	335	355	355	355	355	351	344
European Medicines Agency (EMA)	17 03 12	London	1993	13,200	14,000	14,000	22,500	25,000	25,400	30,000	41,000	38,000	36,390	32,780	32,943	28,966	39,230	37,333
<i>Of which special contribution for orphan medicinal products</i>	17 03 12 02				1,300	3,300	3,300	3,500	3,700	4,000	6,000	6,000	5,500	4,500	4,901	6,000	6,000	6,000
<i>Authorised establishment plan</i>	(17 03 10)			210	210	251	313	314	379	424	441	481	530	567	567	590	611	599
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	18 02 03	Warsaw	2004						6,157	15,540	33,980	68,000	78,000	83,000	108,000	88,500	78,959	82,910
<i>Authorised establishment plan</i>									17	28	49	94	117	143	143	143	153	152
European Police Office (EUROPOL)	18 02 04	The Hague	1995											79,724	83,469	82,505	75,183	81,658
<i>Authorised establishment plan</i>	(18 05 02)													453	457	457	457	450
European Police College (CEPOL)	18 02 05	Budapest	2005						3,000	4,500	7,439	8,700	7,800	7,800	8,000	8,451	8,450	7,436
<i>Authorised establishment plan</i>	(18 05 05)	(Bramshill)							18	22,5	22,5	22,5	26	26	26	28	28	27
Agency for the operational management of large scale IT systems in the area of freedom, security and justice (eu.LISA)	18 02 07	Tallinn-Strasbourg	2011												5,450	19,800	41,000	59,380
<i>Authorised establishment plan</i>	(18 02 11)														75	75	120	120
European Asylum Support Office (EASO)	18 03 02	Valletta	2010											5,250	8,000	10,000	12,000	14,518
<i>Authorised establishment plan</i>	(18 03 14)													24	38	38	45	51
European Monitoring Centre on Racism and Xenophobia (EUMC)		Vienna	1997	4,750	5,300	6,100	6,500	7,800	8,189	8,800	9,881							
<i>Authorised establishment plan</i>	(18 04 05)			25	35	28	30	34	37	37								
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	18 06 02	Lisbon	1993	8,250	8,750	9,000	9,300	11,730	12,000	12,100	13,000	13,400	14,150	14,800	15,170	14,565	15,447	14,751
<i>Authorised establishment plan</i>	(18 02 06) (18 05 11)			48	55	59	65	77	77	77	82	82	82	84	84	84	84	82
European Union Agency for Fundamental Rights (FRA) ⁽¹⁾	33 02 06	Vienna	2007								4,619	15,000	17,000	19,100	20,000	20,196	21,024	21,109
<i>Authorised establishment plan</i>	(33 02 03)										46	49	61	72	72	75	78	75
European Institute for Gender Equality (EIGE)	33 02 07	Vilnius	2006								4,500	6,430	6,860	6,940	7,530	5,901	6,322	6,776
<i>Authorised establishment plan</i>	(33 06 03)										15	20	20	25	27	30	30	29
European Union's Judicial Cooperation Unit (EUROJUST)	33 03 04	The Hague	2002			3,500	8,000	9,000	13,000	14,700	18,414	22,240	24,800	30,163	29,776	31,670	30,054	31,207
<i>Authorised establishment plan</i>	(33 03 02)						53	76	87	112	147	175	185	185	186	213	213	209

Total decentralised agencies of heading 3					26,200	28,050	40,100	62,793	80,415	109,199	149,040	216,333	274,370	305,050	404,853	443,441	442,361	458,730	490,389
<i>Of which special contribution for orphan medicinal products</i>						1,300	3,300	3,300	3,500	3,700	4,000	6,000	6,000	5,500	4,500	4,901	6,000	6,000	6,000
Authorised establishment plan					283	300	383	510	639	838	1 001	1 193	1 389	1 546	2 134	2 230	2 288	2 368	2 332

⁽¹⁾ Former European Monitoring Centre on Racism and Xenophobia created in 1997.

1.1.1.4 Historical table 2000-2014: Decentralised agencies of heading 4 – Global Europe

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	
European Training Foundation (ETF)	15 02 12	Turin	1990	16,200	16,800	16,800	17,200	17,193	18,500	19,450	19,700	17,984	14,772	18,282	20,350	20,045	20,027	20,019	
<i>Authorised establishment plan</i>	(15 02 27)			130	130	130	104	104	104	105	105	96	96	96	96	96	96	94	
Budget lines contribution to the European Agency for Reconstruction	22 02 05 07 22 02 05 08	Thessaloniki	2000	682,326	400,000	495,615	358,614	376,129	321,912	270,228	[24,559]	-	-	-	-	-	-	-	
<i>Authorised establishment plan</i>				51	123	122	122	114	114	115	108	91	-	-	-	-	-	-	
Total decentralised agencies of heading 4				16,200	16,800	16,800	17,200	17,193	18,500	19,450	19,700	17,984	14,772	18,282	20,350	20,045	20,027	20,019	
<i>Authorised establishment plan</i>				181	253	252	226	218	218	220	213	187	96	96	96	96	96	94	

The amount indicated in square brackets was already committed in 2006. The agency has been closed by end-2008.

1.1.1.5 Historical table 2000-2014: Decentralised agencies of heading 5 – Administration

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	
Translation Centre for the bodies of the European Union	31 01 10	Luxembourg	1994	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	
<i>Authorised establishment plan</i>	(31 01 09)			144	158	158	158	181	181	189	200	233	233	233	225	215	206	203	
Total decentralised agencies of heading 5				pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	
<i>Authorised establishment plan</i>				144	158	158	158	181	181	189	200	233	233	233	225	215	206	203	

1.1.1.6 Historical table 2000-2014: Fully self-financed decentralised agencies

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan														
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Single Resolution Board (SRB)	12 02 07	Brussels	2015															
<i>Authorised establishment plan</i>																		
Office for Harmonisation in the Internal Market (OHIM)		Alicante	1993	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				580	630	847	715	675	675	675	647	642	643	644	628	775	861	844
Community Plant Variety Office (CPVO)		Angers	1994	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				30	30	33	33	37	38	41	42	43	46	46	45	46	48	47
Total self-financed decentralised agencies				pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				610	660	880	748	712	713	716	689	685	689	690	673	821	909	891

1.1.1.7 Historical table 2000-2014: Total decentralised agencies

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan														
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Total decentralised agencies				94,700	98,850	119,530	159,748	200,033	279,856	346,689	442,307	556,425	559,410	677,450	710,306	727,529	753,993	799,310
<i>Authorised establishment plan</i>				1 486	1 643	2 048	2 077	2 277	2 710	3 154	3 603	3 974	4 211	5 011	5 373	5 679	6 050	6 023
Total decentralised agencies (excl. fully self-financed agencies)				94,700	98,850	119,530	159,748	200,033	279,856	346,689	442,307	556,425	559,410	677,450	710,306	727,529	753,993	799,310
<i>Authorised establishment plan</i>				876	983	1 168	1 329	1 565	1 997	2 438	2 914	3 288	3 522	4 337	4 641	4 858	5 141	5 132

1.1.2 Classification of decentralised agencies

The proposed level of the EU contribution to and the staffing level of individual agencies reflects their stage of development. The classification of agencies as '*cruising speed*', '*new tasks*' and '*start-up phase*' agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or newly extended mandates, whereas cruising speed agencies have stable structures and budgets. The consequences of the updated classification of individual agencies on human and financial resources as proposed in the 2016 Draft Budget are set out in more detail below.

The table below presents, by financial framework heading, a revised grouping of the decentralised agencies in the 2016 DB, as compared to their respective positions in DB 2015. As mentioned above, the classification as '*cruising speed*' agency in principle implies stable EU contributions (typically constant in nominal terms) and reduced staffing levels. The classification as '*new tasks*' agency required, as a general rule, a modification of the legal basis extending the scope of the agency's responsibilities. The period during which an agency can be classified as having '*new*' tasks depends essentially on what has been announced in a legislative financial statement when presenting its extension. In this regard, '*new tasks*' should be distinguished from growing workload at constant responsibilities.

Heading	Decentralised agency	Location	Year of creation	Classification used in DB 2015	Classification used in DB 2016	Agency funding structure in DB 2016
1A	ECHA	Helsinki	2006	Cruising speed	Cruising speed	Partially by fees
1A	EUROFOUND	Dublin	1975	Cruising speed	Cruising speed	EU funded
1A	EU-OSHA	Bilbao	1994	Cruising speed	Cruising speed	EU funded
1A	CEDEFOP	Thessaloniki	1975	Cruising speed	Cruising speed	EU funded
1A	ERA	Lille-Valenciennes	2002	Cruising speed	Cruising speed	EU funded
1A	BEREC	Riga	2009	Cruising speed	Cruising speed	EU funded
2	ECHA	Helsinki	2012	Start-up phase	Cruising speed	Partially by fees
2	EEA	Copenhagen	1990	Cruising speed	Cruising speed	EU funded
2	EFCA	Vigo	2005	Cruising speed	Cruising speed	EU funded
3	EMCDDA	Lisbon	1993	Cruising speed	Cruising speed	EU funded
3	ECDC	Stockholm	2004	Cruising speed	Cruising speed	EU funded
3	EFSA	Parma	2002	Cruising speed	Cruising speed	EU funded
3	FRA	Vienna	2007	Cruising speed	Cruising speed	EU funded
3	EUROJUST	The Hague	2002	Cruising speed	Cruising speed	EU funded
3	EIGE	Vilnius	2006	Cruising speed	Cruising speed	EU funded
4	ETF	Turin	1990	Cruising speed	Cruising speed	EU funded
5	CdT	Luxembourg	1994	Cruising speed	Cruising speed	Self-financed
1A	GSA	Brussels	2005	New tasks	New tasks	EU funded
1A	EASA	Köln	2002	New tasks	New tasks	Partially by fees
1A	EMSA	Lisbon	2002	New tasks	Cruising speed	EU funded
1A	ENISA	Heraklion	2004	New tasks	New tasks	EU funded
1A	EBA	London	2010	New tasks	New tasks	National co-financing
1A	EIOPA	Frankfurt	2010	New tasks	New tasks	National co-financing
1A	ESMA	Paris	2010	New tasks	New tasks	National co-financing
1A	ACER	Ljubljana	2009	New tasks	New tasks	EU funded
3	EMA	London	1993	New tasks	New tasks	Partially by fees
3	FRONTEX	Warsaw	2005	New tasks	New tasks	EU funded
3	EUROPOL	The Hague	1995	New tasks	New tasks	EU funded
3	CEPOL	Bramshill	2005	New tasks	New tasks	EU funded
3	EASO	Valletta	2010	Start-up phase	Cruising speed	EU funded
3	eu.LISA	Tallinn – Strasbourg	2011	Start-up phase	Cruising speed	EU funded
	SRB	Brussels	2015	To be created	Cruising speed	Self-financed
	OHIM	Alicante	1993	Cruising speed	Cruising speed	Self-financed
	CPVO	Angers	1994	Cruising speed	Cruising speed	Self-financed

1.1.3 Determining the EU contribution: cancellation of appropriations and assigned revenue

In the 2016 draft budget, the Commission continues its policy to deduct assigned revenues stemming from the recovery of decentralised agency surpluses for the year 2014 from the 2016 EU contribution to the agencies in question, so as to adjust the need for ‘fresh appropriations’ entered in the 2016 DB accordingly.

Moreover, when assessing the decentralised agency’s needs for the financial year 2016, the Commission has taken into account the cancellation of commitments and payments (including on payment appropriations carried over from 2013) in 2014. In doing so, the Commission responds to the requirements of the Framework Financial Regulation, as revised in 2013²⁴.

The question whether the cancellation of agency appropriations for the year 2014 was relatively large, has been assessed as follows:

- For under-execution of commitment appropriations of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2014 surplus).
- For cancellation of payment appropriations (including payment appropriations carried over from 2013) of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2014 surplus).
- For both under-execution of commitment appropriations and cancellation of payment appropriations (including payment appropriations carried over from 2013) of more than 5 %, a reduction of 4 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2014 surplus).

Based on this assessment, penalties have been applied as follows:

- 2 % reduction for under-execution of commitment appropriations of more than 5 %: EMSA and eu.LISA;
- 2 % reduction for cancellation of payment appropriations of more than 5 %: N/A;
- 4 % reduction for both under-execution of commitment appropriations and cancellation of payment appropriations of more than 5 %: EASO.

1.1.4 Budgetary and staffing highlights 2016: decentralised agencies

1.1.4.1 EU contribution and staffing levels – Overall

The DB 2016 request for decentralised agency staffing and appropriations is based on the Commission Communication on the programming of human and financial resources for decentralised agencies 2014-2020²⁵. This is with the aim of reducing total staffing levels in agencies by 5 % over five years, as laid down in point 27 of the Interinstitutional Agreement of 2 December 2013²⁶, while still allowing certain agencies to increase their staffing numbers in order to carry out their new tasks. In preparing the 2016 DB, the Commission has taken account of the outcome of the 2014 and 2015 budgets, which led to an overall increase of 35 posts compared to the Commission Communication for 2015, notably for the three financial supervision authorities (EBA, EIOPA and ESMA). Moreover, in the draft budget the Commission has retained to the proposed reinforcement of the resources levels of FRONTEX (16 additional posts and related appropriations already in 2015), EASO (+ 4 additional posts and related appropriations already in 2015), EUROPOL (3 additional posts and related appropriations already in 2015), as well as EUROJUST (1 additional post and related appropriations in 2016) to meet their additional needs due to the migration challenges in the Mediterranean, as requested in draft amending budget 5/2015²⁷. These additional posts are regarded as part of a new policy development which does not enter in the calculation of the 5 % staff reduction target.

²⁴ OJ L 328, 7.12.2013.

²⁵ COM(2013) 519, 10.7.2013.

²⁶ OJ C 373, 20.12.2013.

²⁷ COM(2015) 241, 13.5.2015.

As shown in the decentralised agency overview tables (section 1.1.5), the total number of establishment plan posts across all agencies²⁸ foreseen for 2016 amounts to 5 915²⁹. This represents a reduction of 59 posts compared to the total number authorised in the 2015 budget (the reduction as compared to the voted budget 2015 is of 35 posts when including the additional posts in response to new migration challenges). The total requested EU contribution available to decentralised agencies in 2016 amounts to EUR 1 012,6 million. This overall amount is composed of the amounts entered in the 2016 DB (EUR 993,8 million) and the assigned revenues stemming from the recovery of the 2014 surplus (EUR 18,8 million), which will be carried over to 2016. When excluding the reinforcement to respond to migratory pressures, which in particular impacts on the operational expenditure of FRONTEX, the total EU contribution to decentralised agencies as requested in the 2016 DB amounts to EUR 959,4 million.

The proposed level of the EU contribution to and the staffing level of individual agencies reflect their stage of development. The classification of agencies as ‘*cruising speed*’, ‘*new tasks*’ and ‘*start-up phase*’ agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or recently extended mandates, whereas cruising speed agencies have stable structures and budgets, and are therefore able to pursue rationalisation efforts. The 2016 DB only contains ‘cruising speed’ and ‘new tasks’ agencies, however, now that the recently created agencies have completed their initial phasing in process. The draft budget does not contain new bodies; the temporary contribution from the EU budget which was needed in 2015 to cover the running costs of the Single Resolution Board during the start-up period is no longer necessary in 2016, as the Board will have become fully self-financed from fees from the banking sector in the course of 2015.

As part of the preparation of the 2016 DB, the Commission has made a thorough assessment of the needs for each of the decentralised agencies. Overall, expenditure for all decentralised agencies combined increases by 14,2 % (+ EUR 125,7 million) as compared to the 2015 budget (as modified by draft amending budget 5/2015), which is mostly due to:

- The substantial further reinforcement of the operational expenditure of FRONTEX (+ EUR 41,2 million over the 2015 budget as modified by DAB 5/2015);
- The large increase in the balancing contribution required for the chemicals activities of the European Chemicals Agency (ECHA, + EUR 65,0 million); and
- Eurojust's building project (+ EUR 6,8 million).

The overall request is EUR 61,3 million above the total level of the EU contributions programmed for 2016 in the July 2013 Communication on the programming of human and budgetary resources of agencies³⁰; this is mostly due to the reinforcement of the EU contribution to FRONTEX (+ EUR 72,1 million to respond to migratory pressures, of which EUR 20 million is shifted from the ISF-Borders programme), which is partly offset by the lower than expected level of the balancing contribution required for the chemicals activities of the European Chemicals Agency (ECHA) and the European Medicines Agency (EMA), as well as the more gradual phasing-in of the EU contribution to the agency for the management of large-scale IT systems in the area of freedom, security and justice (eu.LISA).

²⁸ Including the two fully self-financed agencies ‘Office for Harmonisation in the Internal Market’ (OHIM) and ‘Community Plant Variety Office’ (CPVO).

²⁹ As set out above, the Commission proposes to treat the reinforced staffing levels of FRONTEX, EASO, EUROPOL and EUROJUST in view of their additional needs due to the recent events in the Mediterranean as part of a new policy development which does not enter in the calculation of the 5 % staff reduction target.

³⁰ COM(2013) 519, 10.7.2013.

The allocation of posts for each individual ‘*cruising speed*’, ‘*start-up phase*’ or ‘*new tasks*’ agency, as compared to their number of posts authorised in the 2015 budget, is shown in the tables in section 1.1.5. This section also shows that the total number of establishment plan posts across all agencies foreseen for 2016 amounts to 5 915³¹. This represents a reduction of 59 posts compared to 2015. Within this overall allocation, the Commission has been able to reinforce the number of posts for certain agencies as compared to the level set for 2016 in the Commission Communication from July 2013. This concerns in particular the Agency for the Cooperation of Energy Regulators (ACER, + 10 posts in view of its tasks in the context of the Energy Union), and to a more limited extent the three financial supervision authorities (EBA + 2 posts, EIOPA + 1 post and ESMA + 2 posts), the European Aviation Safety Agency (EASA, + 1 post), EASO (+ 2 posts) and EUROPOL (+ 1 post). On the other hand, the number of posts requested for the Biocides activities of the European Chemicals Agency (ECHA) is substantially below the level initially programmed for 2016 (-11 posts), due to the fact that its market share in authorisations has remained below expectations. A thorough review of the fees, activity, staffing and budget is being conducted, which will lead to an adjustment of the programming for the years 2017-2020.

During the Conciliation on the 2014 budget, the European Parliament, the Council and the Commission agreed on the creation of an interinstitutional working group on decentralised agencies’ resources, with a view to defining a clear development path for agencies, based on objective criteria. The Commission notes that the working group is expected to address a number of issues, including with a view to preparing the 2016 Conciliation as far as the resources of agencies are concerned.

The increase in the EU contributions compared to the 2015 budget results in large part from the additional needs for the financing of the ‘*cruising speed*’ agencies (+ EUR 83,7 million). This is mostly due to the increase in the balancing contribution to the chemicals activities of the European Chemicals Agency (ECHA), Eurojust’s building project and the continued phasing in of the large-scale IT systems managed by eu.LISA. The EU contribution to the ‘*new tasks*’³² agencies is foreseen to increase by EUR 45,3 million compared to the 2015 budget (as modified by DAB 5/2015). This is the net result of increasing needs for certain agencies, mostly related to the reinforced operational expenditure of FRONTEX to respond to migratory pressures and ACER’s tasks in view of the Energy Union, and the reduced needs for the balancing contribution to the European Medicines Agency (EMA), due to the additional income from external assigned revenue for the new premises.

The allocations above include the continued funding of ‘Type 2’ European schools for all agencies concerned through the budget of these agencies. Similarly, the phasing in of the funding of the ‘Type 1’ European school in Frankfurt continues for the European Insurance and Occupational Pensions Authority (EIOPA). The second instalment foreseen for 2016 amounts to EUR 47 790.

As presented in more detail in the individual budgetary financial statements (section 2.2.1), the total staffing of all the decentralised agencies combined (including the self-financed agencies OHIM, CPVO and SRB) foreseen for 2016 would be as follows:

Authorised establishment plan (posts)		Contract Agents ³³		Seconded National Experts		Total Staff (FTE)	
2015	2016	2015	2016	2015	2016	2015	2016
6 119	6 169	1 333	1 501	523	525	7 975	8 195

³¹ As set out above, the Commission proposes to treat the reinforced staffing levels of FRONTEX, EASO, EUROPOL and EUROJUST in view of their additional needs due to the recent events in the Mediterranean as part of a new policy development which does not enter in the calculation of the 5 % staff reduction target.

³² ‘European GNSS Agency’ (GSA), ‘European Aviation Safety Agency’ (EASA), ‘European Network and Information Security Agency’ (ENISA), ‘European Banking Authority’ (EBA), ‘European Insurance and Occupational Pensions Authority’ (EIOPA), ‘European Securities and Markets Authority’ (ESMA), ‘Agency for the Cooperation of Energy Regulators’ (ACER), ‘European Medicines Agency’ (EMA), ‘European Agency for the Management of Operational Cooperation at the External Borders’ (FRONTEX), ‘European Police Office’ (EUROPOL) and ‘European Police College’ (CEPOL),

³³ The estimated overall number of contract agents is based on the information received from the decentralised agencies, as presented in more detail in the individual budgetary financial statements.

1.1.4.2 EU contribution and staffing levels – Individual agencies

As explained above (sections 1.1.2 and 1.1.3), the proposed level of the EU contribution to and the staffing level of individual agencies reflects their stage of development, and taking into account surpluses and assigned revenues. The classification of agencies as ‘*cruising speed*’, ‘*new tasks*’ and ‘*start-up phase*’ agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or newly extended mandates, whereas cruising speed agencies have stable budgets and staffing levels.

The sections below summarise the main new developments in 2016, in particular as regards ‘new tasks’ agencies.

– European GNSS Agency – GSA

Regulation (EU) No 1285/2013 on the implementation and exploitation of European satellite navigation systems put forward a new governance structure for the EGNOS and Galileo programmes and their financing for the period 2014-2020, and assigned ‘new tasks’ to the GSA over the period 2014-2018. The new GSA Regulation (EU) No 512/2014 sets out the tasks that the GSA will carry out under the new governance model.

In view of its new tasks related to the exploitation of the GNSS systems, the Commission proposes to increase the number of establishment plan posts of the agency by 11 establishment plan posts to 113 posts in 2016, as well as to increase the corresponding appropriations for staff. The increased contribution to the GSA will be charged on the financial envelope of the Galileo programme.

– European Aviation Safety Agency – EASA

EASA is considered as a ‘new tasks’ agency in 2016, notably due to new tasks related to Third Country Operators (TCO), as foreseen in the founding Regulation, and Remotely Piloted Aircraft Systems (RPAS). In view of its new tasks, 5 additional establishment plan posts are foreseen in 2016. However, combined with the staff reduction, this results in a total number of posts which decreases from 679 in 2015 to 670 in 2016. Finally, additional appropriations are needed to cover the costs connected to EASA’s building project, amounting to EUR 1,5 million in 2016.

– European Network and Information Security Agency – ENISA

Regulation (EU) No 526/2013 extends the agency’s mandate and expands its tasks. This is why ENISA is grouped as a ‘new tasks’ agency in 2016. In view of its new tasks, the Commission proposes to keep the number of establishment plan posts of the agency stable at 48 posts in 2016.

– European Banking Authority – EBA

EBA’s start-up phase ended in 2014. However, in 2016 the agency will require additional resources to carry out new tasks in the field of Bank Recovery and Resolution, the Capital Requirements legislation (CRDIV/CRR), Anti Money Laundering and the expansion of existing EBA tasks from the Markets in Financial Instruments Regulation (MiFIR). In view of its new tasks, the Commission proposes to reinforce the number of establishment plan posts of the agency to 127 posts in 2016. The EU contribution for 2016 takes account of the staff increase and the costs due to the building file.

– European Insurance and Occupational Pensions Authority – EIOPA

EIOPA’s start-up phase ended in 2014. However, in 2016 the agency will require additional resources to carry out new tasks, notably arising from the Omnibus II agreement (OMDII), the proposal for a new Insurance Mediation Directive (IMD2) and the developments of the IORP II and Solvency II Directives. In view of its new tasks, the Commission proposes to increase the number of establishment plan posts of the agency to 93 posts in 2016. The EU contribution for 2016 takes account of the staff increase.

- European Securities and Markets Authority – ESMA

ESMA’s start-up phase ended in 2014. However, in 2016 the agency will require additional resources to carry out new tasks which are related to several Regulations entrusting the agency with additional responsibilities, such as the Regulation on Indices used as Benchmarks in Financial Instruments and Financial Contracts, developments related to MiFIR/MiFID II, the application of the Single Rulebook among national authorities and the supervisory work stemming from the Credit Rating Agencies and Trade Repositories Regulation. In view of its new tasks, the Commission proposes to increase the number of establishment plan posts of the agency to 140 posts in 2016. The EU contribution for 2016 takes account of the staff increase.
- Agency for the Cooperation of Energy Regulators – ACER

ACER continues to be considered as a ‘new tasks’ agency in 2016, due to the ongoing phasing in of new tasks assigned to it under Regulation (EU) No 347/2013 (TEN-E Guidelines). In view of putting in place the Energy Union strategy, the Commission considers it important that ACER steps up the implementation of these new tasks, and thus proposes to increase the number of posts of the agency in 2016, by 10 posts.
- European Medicines Agency – EMA

EMA is a ‘new tasks agency’ in view of the phasing in of additional activities assigned to the agency under the major revision of the pharmacovigilance legal framework in 2010, which is applicable as of July 2012 (Regulation (EU) No 1235/2010 and Directive 2010/84/EU). The European Parliament and the Council added tasks that require additional resources as compared to the initial financial statement, notably in terms of competences for nationally authorised medicines and reinforced competences for centrally authorised medicines. In view of its new tasks, the Commission proposes to keep the number of establishment plan posts of the agency stable at 599 posts in 2016.

Currently, around 85 % of EMA’s budget is covered by fees and this share is expected to increase throughout the new MFF period. As all the new pharmacovigilance activities will be fully financed by additional fees, the EU contribution will remain broadly stable in real terms during this period, taking into account the impact of the forecasts for fee revenue to be received from industry on the need for a balancing contribution from the EU budget. For 2016, the Commission proposes to reduce the EU contribution to EMA, to take account of the additional income from external assigned revenue for the new premises.
- European Agency for the Management of Operational Cooperation at the External Borders – FRONTEX

FRONTEX is grouped as a ‘new tasks agency’ in 2016, with a view to the expected extension of its tasks with regard to the European Borders Surveillance System (EUROSUR, Regulation (EU) No 1052/2013). In view of these new tasks, the Commission proposes to increase the staffing level of the agency by 2 establishment plan posts in 2016. However, the crisis situation in the Mediterranean urges the Union to propose an immediate and proportionate response to the increasing migratory pressure at the external borders of the EU. Through Draft Amending Budget 5/2015, the Commission has requested 16 additional posts for FRONTEX in 2015, which are proposed to be maintained in 2016. This brings the total number of posts to 165 in 2016. The Commission considers the 16 additional posts as linked to new policy developments, which do not enter in the 5% staff reduction target.

With a view to reinforcing the regular operational capacity of FRONTEX to address the steady migratory pressure, the EU contribution to FRONTEX was increased by EUR 20 million in the Conciliation on the 2015 budget, by shifting EUR 20 million from the ISF-Borders programme as a result of a reprioritisation of the Union actions. A similar shift from ISF –Borders is proposed in 2016, so as to maintain the operational capacity of FRONTEX at the same level as in 2015. Moreover, as part of the migration response for 2016, a further reinforcement of EUR 50 million is proposed to come from the unallocated margin under the expenditure ceiling of heading 3, of which EUR 45 million to enable FRONTEX to triple the Operation Triton to align it to the Italian Operation Mare Nostrum EUR 5 million to support Member States in their return operations.

– European Police Office – EUROPOL

EUROPOL is considered as a ‘new tasks’ agency further to the proposal to amend its founding Regulation (COM(2013)173). The revision of EUROPOL’s mandate is proposed to be used to include a new task, concerning the development of the Cybercrime Centre. In view of its new tasks, 4 additional establishment plan posts are foreseen in 2016. The budget authority reinforced EUROPOL's number of posts by 5 posts in the 2015 budget.

Moreover, together with FRONTEX, EASO and EUROJUST, EUROPOL will play a relevant role in the migration response by disrupting trafficking networks and detecting and removing illegal internet content attractive to migrants. Through Draft Amending Budget 5/2015, the Commission has requested 3 additional posts to enhance EUROPOL's capacity in this regard in 2015, which are proposed to be maintained in 2016. This brings the total number of posts to 450 in 2016. The Commission considers the 3 additional posts as linked to new policy developments, which do not enter in the 5% staff reduction target.

European Police College – CEPOL

CEPOL is presented as a ‘new tasks’ agency, in view of the Law Enforcement Training Schemes to be put in place in 2016, as foreseen in the Commission proposal to amend CEPOL's founding Regulation (COM(2014)465). In view of the alignment of the founding Regulation on the Lisbon Treaty, the Commission proposes to increase the number of establishment plan posts of the agency by one, to 28 posts in 2016.

– European Asylum Support Office – EASO

Although EASO is not listed as a "new tasks" agency, the recent developments in the asylum area require a reinforcement of EASO's operational capacity to support frontline Member States. The budget authority reinforced EASO's number of posts by 4 posts in the 2015 budget. The Commission proposes to further enhance EASO's response capacity by granting another 2 posts in 2016.

Moreover, through Draft Amending Budget 5/2015 the Commission has requested 4 additional posts for EASO to contribute to the implementation of the Migration Agenda, which are proposed to be maintained in 2016. This brings the total number of posts to 61 in 2016. The Commission considers the 3 additional posts as linked to new policy developments, which do not enter in the 5% staff reduction target.

1.1.5 Decentralised agency overview table 2016 / 2015

1.1.5.1 Overview table 2016 / 2015: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016					Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
European Chemicals Agency (ECHA) — Chemicals legislation	02 03 03	Helsinki	2006	105,743	7,800	7,800		98,400	72,805	72,805	72,805		833,4%	833,4%	Cruising speed
<i>Authorised establishment plan</i>				431	431			420	420	420			-11		
European GNSS Agency (GSA)	02 05 11	Prague	2004	27,606	26,840	26,791	0,049	29,160	28,350	28,350	27,888	0,462	5,6%	4,1%	New tasks
<i>Authorised establishment plan</i>				102	102			113	113	113			11		
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	04 03 11	Dublin	1975	21,260	20,371	20,371		20,960	20,371	20,371	20,360	0,011	0,0%	-0,1%	Cruising speed
<i>Authorised establishment plan</i>				97	97			96	96	95			-2		
European Agency for Safety and Health at Work (EU-OSHA)	04 03 12	Bilbao	1994	15,271	14,679	14,534	0,145	15,275	14,679	14,679	14,663	0,016	0,0%	0,9%	Cruising speed
<i>Authorised establishment plan</i>				42	42			42	42	41			-1		
European Centre for the Development of Vocational Training (CEDEFOP)	04 03 13	Thessaloniki	1975	18,357	17,434	17,224	0,210	18,064	17,434	17,434	17,051	0,383	0,0%	-1,0%	Cruising speed
<i>Authorised establishment plan</i>				96	96			96	96	94			-2		
European Aviation Safety Agency (EASA)	06 02 02	Köln	2002	162,026	36,370	35,635	0,735	168,007	36,370	36,370	34,819	1,551	0,0%	-2,3%	New tasks
<i>Authorised establishment plan</i>				679	679			678	678	670			-9		
European Maritime Safety Agency (EMSA)	06 02 03 01	Lisbon	2002	59,006	52,656	50,882	1,774	62,588	53,879	53,376	51,149	2,230	1,4%	0,5%	Cruising speed
<i>Of which anti-pollution measures</i>	06 02 03 02				20,600	20,600				21,600	21,600		4,9%	4,9%	
<i>Authorised establishment plan</i>				207	207			202	202	202			-5		
European Railway Agency (ERA)	06 02 04	Lille Valenciennes	2004	26,345	25,613	24,659	0,954	26,772	26,000	26,000	25,213	0,787	1,5%	2,2%	Cruising speed
<i>Authorised establishment plan</i>				137	137			137	137	135			-2		

European Network and Information Security Agency (ENISA)	09 02 03	Heraklion	2004	10,096	9,156	9,101	0,055	11,060	10,070	10,120	10,070	0,050	10,5%	10,7%	New tasks
<i>Authorised establishment plan</i>				48	48			48	48	48			0		
Body of European Regulators for Electronic Communications (BEREC) — Office	09 02 04	Riga	2009	4,017	4,017	3,498	0,519	4,246	4,072	4,246	4,072	0,174	5,7%	16,4%	Cruising speed
<i>Authorised establishment plan</i>				15	15			15	15	15			0		
European Banking Authority (EBA)	12 02 04	London	2010	31,515	12,606	11,163	1,443	38,059	17,195	13,750	13,637	0,113	9,1%	22,2%	New tasks
<i>Authorised establishment plan</i>				120	120			150	150	127			7		
European Insurance and Occupational Pensions Authority (EIOPA)	12 02 05	Frankfurt	2010	19,948	7,979	7,889	0,090	22,077	8,941	8,257	8,122	0,135	3,5%	3,0%	New tasks
<i>Authorised establishment plan</i>				90	90			105	105	93			3		
European Securities and Markets Authority (ESMA)	12 02 06	Paris	2010	37,113	9,703	8,120	1,583	40,251	10,593	10,203	10,203		5,2%	25,7%	New tasks
<i>Authorised establishment plan</i>				137	137			142	142	140			3		
Agency for the Cooperation of Energy Regulators (ACER)	32 02 10	Ljubljana	2009	11,266	11,266	10,851	0,415	20,078	20,078	15,548	14,840	0,708	38,0%	36,8%	New tasks
<i>Authorised establishment plan</i>				54	54			98	98	64			10		
Total decentralised agencies - heading 1a				549,568	256,490	248,518	7,972	574,996	340,837	331,513	324,892	6,621	29,2%	30,7%	
<i>Of which anti-pollution measures</i>					20,600	20,600	0,000	0,000	0,000	21,600	21,600	0,000	4,9%	4,9%	
<i>Authorised establishment plan</i>				2 255	2 255			2 342	2 342	2 257			2		

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

(2) This excludes the amount delegated to GSA (EUR 356 448 829 in 2015 and EUR 633 575 000 in 2016).

1.1.5.2 Overview table 2016 / 2015: Decentralised agencies of heading 2 – Sustainable growth: Natural Resources

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016						Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget		
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues				
European Chemicals Agency (ECHA) — PIC activities	07 02 05	Helsinki	2012	1,222	1,222	1,130	0,092	1,151	1,151	1,151	1,040	0,111	-5,8%	-8,0%	Cruising speed	
<i>Authorised establishment plan</i>				6	6			6	6	6			0			
European Environment Agency (EEA)	07 02 06	Copenhagen	1990	42,362	36,309	34,886	1,423	42,731	37,172	36,309	35,557	0,752	0,0%	1,9%	Cruising speed	
<i>Authorised establishment plan</i>				133	133			138	138	130			-3			
European Fisheries Control Agency (EFCA)	11 06 64	Vigo	2005	9,217	9,217	8,957	0,260	9,217	9,217	9,217	9,070	0,147	0,0%	1,3%	Cruising speed	
<i>Authorised establishment plan</i>				52	52			51	51	51			-1			
European Chemicals Agency (ECHA) — Biocides activities	17 04 07	Helsinki	2012	7,749	5,789	5,474	0,315	7,135	5,435	5,000	4,670	0,330	-13,6%	-14,7%	Cruising speed	
<i>Authorised establishment plan</i>				47	47			42	42	36			-11			
Total decentralised agencies - heading 2				60,550	52,537	50,448	2,090	60,234	52,975	51,677	50,336	1,341	-1,6%	-0,2%		
<i>Authorised establishment plan</i>				238	238			237	237	223			-15			

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

1.1.5.3 Overview table 2016 / 2015: Decentralised agencies of heading 3 – Security and citizenship

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016						Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget		
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues				
European Centre for Disease Prevention and Control (ECDC)	17 03 10	Stockholm	2004	58,388	56,766	56,766	0,000	58,360	56,766	56,766	53,683	3,083	0,0%	-5,4%	Cruising speed	
<i>Authorised establishment plan</i>				190	190			186	186	186			-4			
European Food Safety Authority (EFSA)	17 03 11	Parma	2002	79,148	77,330	76,412	0,918	79,400	77,330	77,333	76,244	1,089	0,0%	-0,2%	Cruising speed	
<i>Authorised establishment plan</i>				337	337			330	330	330			-7			
European Medicines Agency (EMA)	17 03 12	London	1993	302,117	33,015	31,516	1,499	314,495	26,424	26,424	24,475	1,949	-20,0%	-22,3%	New tasks	
<i>Of which special contribution for orphan medicinal products</i>	17 03 12 02				6,800	6,800	0,000			9,972	9,972		46,6%	46,6%		
<i>Authorised establishment plan</i>				599	599			636	636	599			0			
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	18 02 03	Warsaw	2004	116,644	119,641	119,641	0,000	116,644	108,774	160,886	160,886	0,000	34,5%	34,5%	New tasks	
<i>Authorised establishment plan</i>				167	167			149	149	165			-2			
European Police Office (EUROPOL)	18 02 04	The Hague	1995	95,036	94,535	92,273	2,262	95,816	95,816	96,342	93,760	2,582	1,9%	1,6%	New tasks	
<i>Authorised establishment plan</i>				453	453			480	480	450			-3			
European Police College (CEPOL)	18 02 05	Budapest	2005	8,471	8,471	7,678	0,793	8,641	8,641	8,641	8,411	0,230	2,0%	9,5%	New tasks	
<i>Authorised establishment plan</i>				27	27			28	28	28			1			
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu.LISA)	18 02 07	Tallinn – Strasbourg	2011	76,953	72,809	72,809	0,000	81,920	81,920	80,282	80,282	0,000	10,3%	10,3%	Cruising speed	
<i>Authorised establishment plan</i>				120	120			118	118	118			-2			
European Asylum Support Office (EASO)	18 03 02	Valletta	2010	15,945	15,261	15,123	0,138	16,100	15,254	15,540	14,766	0,774	1,8%	-2,4%	Cruising speed	
<i>Authorised establishment plan</i>				59	59			63	63	61			2			

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016						Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget		
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues				
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	18 06 02	Lisbon	1993	15,684	14,794	14,643	0,151	15,447	15,377	14,794	14,724	0,070	0,0%	0,6%	Cruising speed	
<i>Authorised establishment plan</i>				80	80			81	81	79			-1			
European Union Agency for Fundamental Rights (FRA)	33 02 06	Vienna	2007	21,299	21,229	21,155	0,074	21,654	21,654	21,229	21,073	0,156	0,0%	-0,4%	Cruising speed	
<i>Authorised establishment plan</i>				73	73			73	73	72			-1			
European Institute for Gender Equality (EIGE)	33 02 07	Vilnius	2006	7,628	7,628	7,464	0,164	7,628	7,628	7,628	7,527	0,101	0,0%	0,8%	Cruising speed	
<i>Authorised establishment plan</i>				29	29			28	28	28			-1			
European Body for the Enhancement of Judicial Cooperation (EUROJUST)	33 03 04	The Hague	2002	32,994	32,994	32,584	0,410	46,536	46,536	43,410	42,819	0,591	31,6%	31,4%	Cruising speed	
<i>Authorised establishment plan</i>				205	205			202	202	201			-4			
Total decentralised agencies - heading 3				829,307	554,473	548,064	6,409	862,641	562,120	609,274	598,649	10,625	9,9%	9,2%		
<i>Of which special contribution for orphan medicinal products</i>					6,800	6,800	0,000			9,972	9,972	0,000	46,6%	46,6%		
<i>Authorised establishment plan</i>				2 339	2 339			2 374	2 374	2 317			-22			

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

1.1.5.4 Overview table 2016 / 2015: Decentralised agency of heading 4 – Global Europe

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016					Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
European Training Foundation (ETF)	04 03 14	Turin	1990	20,546	20,144	19,945	0,199	20,145	20,145	20,145	19,956	0,189	0,0%	0,1%	Cruising speed
<i>Authorised establishment plan</i>				92	92			91	91	90			-2		
Total decentralised agencies - heading 4				20,546	20,144	19,945	0,199	20,145	20,145	20,145	19,956	0,189	0,0%	0,1%	
<i>Authorised establishment plan</i>				92	92			91	91	90			-2		

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

1.1.5.5 Overview table 2016 / 2015: Decentralised agency of heading 5 – Administration

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016					Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
Translation Centre for the Bodies of the European Union	31 01 10	Luxembourg	1994	49,054	0,000	0,000	0,000	52,900	0,000	0,000	0,000	0,000	0,0%	0,0%	Cruising speed
<i>Authorised establishment plan</i>				200	200			200	200	197			-3		
Total decentralised agencies - heading 5				49,054	0,000	0,000	0,000	52,900	0,000	0,000	0,000	0,000	0,0%	0,0%	
<i>Authorised establishment plan</i>				200	200			200	200	197			-3		

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

1.1.5.6 Overview table 2016 / 2015: Fully self-financed decentralised agencies

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016					Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution ^{(2) (3)}	Of which budget	Of which assigned revenues			Total EU contribution ^{(2) (3)}	Of which DB	Of which assigned revenues			
Single Resolution Board (SRB)	12 02 07	Brussels	2014	22,000	3,300	3,300	0,000	34,000	0,000	0,000	0,000	0,000	-100,0%	-100,0%	
<i>Authorised establishment plan</i>				122	122			230	230	230			108		
Office for Harmonisation in the Internal Market (OHIM)		Alicante	1993	384,202	0,000	0,000	0,000	383,750	0,000	0,000	0,000	0,000	0,0%	0,0%	Cruising speed
<i>Authorised establishment plan</i>				827	827			828	828	810			-17		
Community Plant Variety Office (CPVO)		Angers	1994	14,698	0,000	0,000	0,000	15,020	0,000	0,000	0,000	0,000	0,0%	0,0%	Cruising speed
<i>Authorised establishment plan</i>				46	46			45	45	45			-1		
Total self-financed decentralised agencies				420,900	3,300	3,300	0,000	432,770	0,000	0,000	0,000	0,000	0,0%	0,0%	
<i>Authorised establishment plan</i>				995	995			1 103	1 103	1 085			90		

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

(2) The Commission does not include the establishment plans of the fully self-financed agencies OHIM and CPVO in the official volumes of the draft budget. However, the Commission is of the opinion that the 5 % staff reduction applicable to EU institutions and bodies should be applied to all decentralised agencies, irrespective of their funding structure. This is why a reduction in the number of posts for OHIM is shown in the column 'Foreseen EU contribution' for 2016. For CPVO, the number of posts shown for 2016 (45) corresponds to the agency's own draft estimates.

(3) As set out in the Commission Communication of July 2013, the SRB as a completely new body falls outside the scope of the 5 % staff reduction applicable to EU institutions and bodies. The Commission notes that the estimated revenues and staff numbers are still provisional, and that they are not yet adopted by the SRB Board.

1.1.5.7 Overview table 2016 / 2015: Total of decentralised agencies

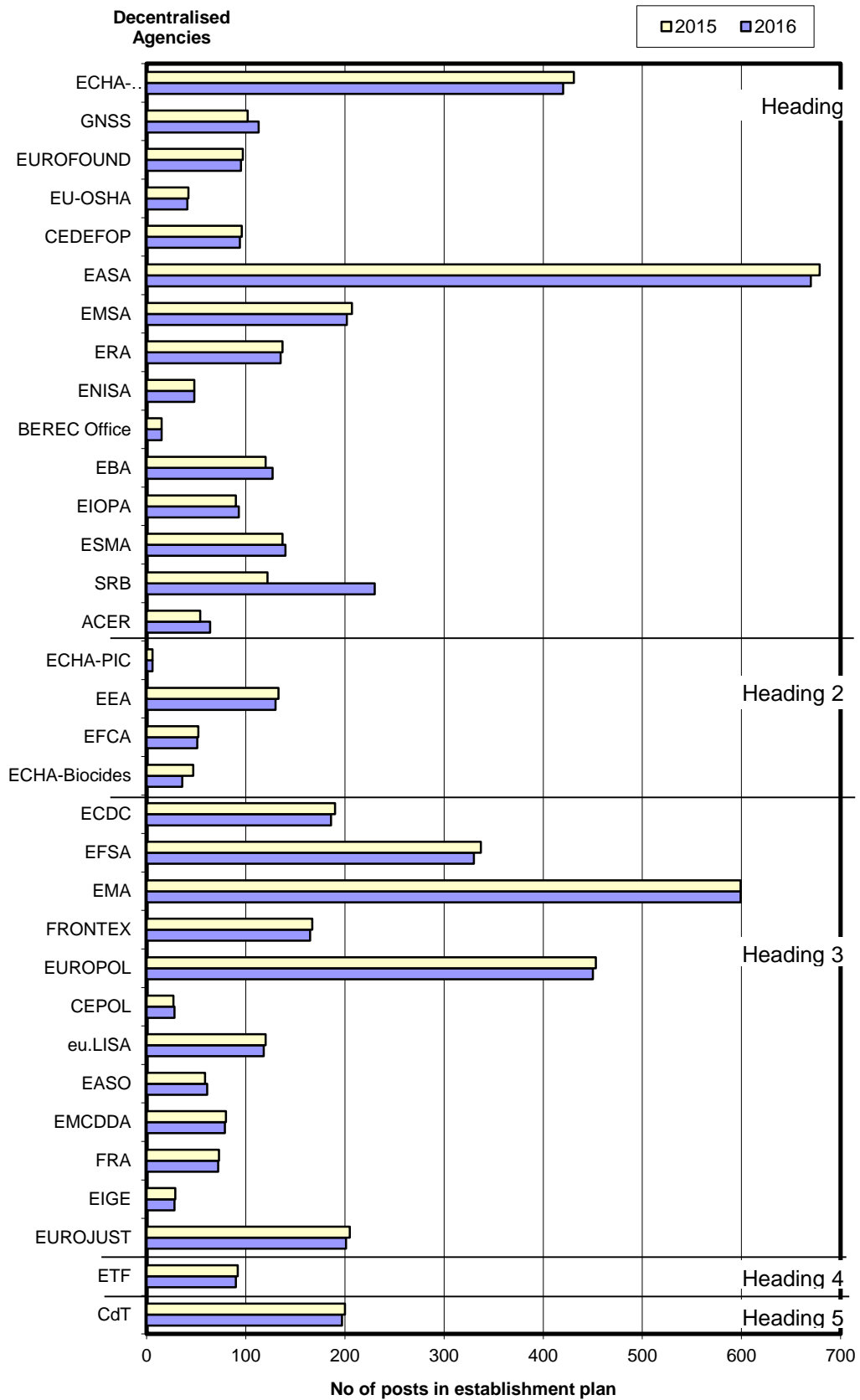
(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾				Draft budget (DB) 2016					Variation 2016 / 2015		Classification DB 2016
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
Total decentralised agencies (incl. Single Resolution Board)				1 929,925	886,945	870,275	16,669	2 003,685	976,077	1 012,609	993,834	18,776	14,2%	14,2%	
<i>Authorised establishment plan</i>				6 119	6 119			6 347	6 347	6 169			50		
Total decentralised agencies (excl. Single Resolution Board)				1 907,925	883,645	866,975	16,669	1 969,685	976,077	1 012,609	993,834	18,776	14,6%	14,6%	
<i>Authorised establishment plan</i>				5 997	5 997			6 117	6 117	5 939			-58		
– Of which ‘cruising speed’ decentralised agencies				1 086,087	514,063	506,318	7,745	1 105,398	604,825	597,718	586,723	10,995	16,3%	15,9%	
<i>Authorised establishment plan</i>				3 521	3 521			3 490	3 490	3 442			-79		
– Of which ‘new tasks’ decentralised agencies				821,837	369,582	360,658	8,924	864,288	371,252	414,891	407,111	7,780	12,3%	12,9%	
<i>Authorised establishment plan</i>				2 476	2 476			2 627	2 627	2 497			21		
Total decentralised agencies (excl. fully self-financed agencies OHIM, CPVO and SRB)				1 509,025	883,645	866,975	16,669	1 570,915	976,077	1 012,609	993,834	18,776	14,6%	14,6%	
<i>Authorised establishment plan</i>				5 124	5 124			5 244	5 244	5 084			-40		
Total decentralised agencies (excl. Single Resolution Board and migration reinforcement)				1 907,925	869,873	853,203	16,669	1 969,685	976,077	959,441	940,666	18,776	10,3%	10,3%	
<i>Authorised establishment plan</i>				5 974	5 974			6 117	6 117	5 915			-59		

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

1.1.6 Decentralised agency graphic overview 2016 / 2015

NUMBER of POSTS in ESTABLISHMENT PLANS of DECENTRALISED AGENCIES



1.1.7 Table Commission budget implementation for decentralised agencies 2014

1.1.7.1 Budget implementation 2014: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over of assigned revenue to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Chemicals Agency (ECHA) – Chemicals legislation	02 03 03	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000
European GNSS Agency (GSA)	02 05 11	25,418	25,418	25,369	24,587	0,782	25,369	24,587	0,782	0,049	0,049	0,000	0,000
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	04 03 11	20,562	20,562	20,562	19,854	0,708	20,562	20,371	0,191	0,000	0,000	0,000	0,000
European Agency for Safety and Health at Work (EU-OSHA)	04 03 12	14,666	14,666	14,439	14,014	0,425	14,229	13,723	0,506	0,146	0,146	0,082	0,291
European Centre for Development of Vocational Training (CEDEFOP)	04 03 13	18,245	17,744	17,435	17,429	0,006	16,934	16,628	0,306	0,810	0,810	0,000	0,000
European Aviation Safety Agency (EASA)	06 02 02	36,069	36,007	35,271	34,174	1,097	35,209	34,174	1,036	0,798	0,798	0,000	0,000
European Maritime Safety Agency (EMSA)	06 02 03	53,753	41,444	51,979	50,379	1,601	39,670	38,431	1,239	1,774	1,774	0,000	0,000
European Railway Agency (ERA)	06 02 04	26,676	26,670	25,722	23,573	2,149	25,716	23,573	2,143	0,954	0,954	0,000	0,000
European Network and Information Security Agency (ENISA)	09 02 03	9,085	9,085	9,085	8,739	0,346	9,085	8,739	0,346	0,000	0,000	0,000	0,000
Body of European Regulators for Electronic Communications – Office (BEREC – Office)	09 02 04	4,682	4,682	4,163	3,618	0,545	4,163	3,618	0,545	0,519	0,519	0,000	0,000
European Banking Authority (EBA)	12 02 04	17,709	17,709	14,100	13,000	1,100	14,100	13,000	1,100	3,609	3,609	0,000	0,000
European Insurance and Occupational Pensions Authority (EIOPA)	12 02 05	9,093	9,093	8,866	8,589	0,277	8,866	8,589	0,277	0,227	2,276	0,000	0,000
European Securities and Markets Authority (ESMA)	12 02 06	16,086	16,086	12,128	10,368	1,760	12,128	10,368	1,760	3,959	3,959	0,000	0,000
Agency for the Cooperation of Energy Regulators (ACER)	32 02 10	11,297	11,297	10,881	10,188	0,693	10,880	10,187	0,693	0,416	0,416	0,000	0,001
Total decentralised agencies – heading 1a		263,341	250,463	249,999	238,511	11,488	236,911	225,988	10,922	13,260	13,260	0,082	0,292

1.1.7.2 Budget implementation 2014: Decentralised agencies of heading 2 – Sustainable growth: natural resources

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over of assigned revenue to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Chemicals Agency (ECHA)	07 02 05 17 04 07	8,165	8,165	7,758	7,553	0,205	7,758	7,553	0,205	0,407	0,407	0,000	0,000
European Environment Agency (EEA)	07 02 06	43,198	43,198	41,775	35,366	6,409	41,775	35,366	6,409	1,423	1,423	0,000	0,000
European Fisheries Control Agency (EFCA)	11 06 64	9,477	9,477	9,217	8,717	0,500	9,217	8,717	0,500	0,260	0,260	0,000	0,000
Total decentralised agencies – heading 2		60,840	60,840	58,750	51,636	7,114	58,750	51,636	7,114	2,090	2,090	0,000	0,000

1.1.7.3 Budget implementation 2014: Decentralised agencies of heading 3 – Security and citizenship

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over of assigned revenue to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Centre for Disease Control (ECDC)	17 03 10	60,499	58,480	60,499	56,766	3,733	58,480	54,747	3,733	0,000	0,000	0,000	0,000
European Food Safety Authority (EFSA)	17 03 11	80,548	80,548	79,629	76,545	3,084	79,629	76,545	3,084	0,918	0,918	0,000	0,000
European Medicines Agency (EMA)	17 03 12	41,400	35,564	34,314	29,730	4,584	34,065	29,481	4,584	1,499	1,499	5,586	0,000
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	18 02 03	86,810	79,500	86,810	86,810	0,000	79,500	79,500	0,000	0,000	0,000	0,000	0,000
Europol	18 02 04	86,512	86,512	84,250	81,658	2,592	84,250	81,658	2,592	2,262	2,262	0,000	0,000
European Police College (CEPOL)	18 02 05	9,369	9,369	8,576	7,721	0,855	8,576	7,721	0,855	0,793	0,793	0,000	0,000
Agency for the operational management of large scale IT systems in the area of freedom, security and justice (eu.LISA)	18 02 07	59,408	42,596	59,380	59,380	0,000	42,567	42,567	0,000	0,028	0,028	0,000	0,000
European Asylum Support Office (EASO)	18 03 02	14,657	12,100	14,518	14,518	0,000	12,100	12,100	0,000	0,000	0,000	0,139	0,000

Name of the decentralised agency	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over of assigned revenue to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	18 06 02	14,992	14,992	14,794	14,751	0,043	14,794	14,751	0,043	0,198	0,198	0,000	0,000
European Union Agency for Fundamental Rights (FRA)	33 02 06	21,304	21,304	21,229	21,109	0,120	21,229	21,109	0,120	0,075	0,075	0,000	0,000
European Institute for Gender Equality (EIGE)	33 02 07	7,505	7,504	7,340	6,776	0,564	7,334	7,334	0,000	0,164	0,164	0,001	0,006
European Union's Judicial Cooperation Unit (EUROJUST))	33 03 04	34,086	34,086	33,667	32,423	1,244	33,667	32,423	1,244	0,418	0,418	0,000	0,000
Total decentralised agencies – heading 3a		517,089	482,554	505,006	488,187	16,819	476,191	459,936	16,255	6,357	6,357	5,727	0,007

1.1.7.4 Budget implementation 2014: Decentralised agencies of heading 4 – Global Europe

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over of assigned revenue to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Training Foundation (ETF)	04 03 14	20,342	20,342	20,144	20,019	0,125	20,144	20,018	0,125	0,198	0,198	0,000	0,000
Total decentralised agencies – heading 4		20,342	20,342	20,144	20,019	0,125	20,144	20,018	0,125	0,198	0,198	0,000	0,000

1.1.7.5 Budget implementation 2014: Decentralised agencies of heading 5 – Administration

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2013		Commitments made 2013			Payments made 2013			Carry over of assigned revenue to 2014		Appropriations cancelled 2013	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Translation Centre for the Bodies of the European Union (CdT)	31 01 09	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total decentralised agencies – heading 5		0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000

1.1.7.6 Budget implementation 2014: Decentralised agencies – Total

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over of assigned revenue to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Total decentralised agencies		861,612	814,199	833,899	798,353	35,546	791,995	757,578	34,416	21,905	21,905	5,808	0,299

The budget implementation tables for decentralised agencies should be read as follows:

- Authorised appropriations include voted budget appropriations as well as assigned revenues (both EFTA credits and recovery of agency surpluses from previous years);
- Authorised appropriations 2014 minus commitments (or payments) made 2014 minus carry-over of assigned revenue to 2015 leads to appropriations cancelled (on the Commission side);
- The carry-over of assigned revenue to 2015 (stemming from the recovery of agency surpluses for the financial year 2013) has been taken into account when calculating the EU contribution for 2015 (see also overview table 1.1.5 and DB 2015 Working Document III).

All budget implementation 2014 data in this document are based on provisional accounting closure (April 2015).

1.1.8 Table decentralised agency staffing overview 2014

1.1.8.1 Staffing overview 2014: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
European Chemicals Agency (ECHA) – Chemicals legislation	2006	441	433	98	95	13	11	552	539
European GNSS Agency (GSA)	2004	96	95	33	33	2	2	131	130
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	1975	99	96	14	12	0	0	113	108
European Agency for Safety and Health at Work (EU-OSHA)	1994	43	40	24	24	0	0	67	64
European Aviation Safety Agency (EASA)	2002	685	650	103	81	16	15	804	746
European Maritime Safety Agency (EMSA)	2002	210	199	30	27	18	15	258	241
European Railway Agency (ERA)	2004	140	135	15	20	6	4	161	159
European Network and Information security Agency (ENISA)	2004	48	46	15	14	5	2	68	62
Body of European Regulators for Electronic Communications (BEREC) – Office	2009	16	16	4	6	8	6	28	28
European Banking Authority (EBA)	2010	111	111	15	22	26	22	152	155
European Insurance and Occupational Pensions Authority (EIOPA)	2010	87	87	35	33	21	14	143	134
European Securities and Markets Authority (ESMA)	2010	133	130	31	31	21	16	185	177
European Centre for Development of Vocational Training (CEDEFOP)	1975	98	95	25	23	4	2	127	120
Agency for the Cooperation of the Energy Regulators (ACER)	2009	54	52	11	10	12	11	77	73
European Institute for Gender Equality (EIGE)	2006	29	29	9	9	6	2	44	40
Total decentralised agencies – heading 1a		2 290	2 214	462	440	158	122	2 910	2 776

1.1.8.2 Staffing overview 2014: Decentralised agencies of heading 2 – Sustainable growth: natural resources

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
European Environment Agency (EEA)	1990	135	131	69	63	20	20	224	214
European Chemicals Agency (ECHA) - Biocides activities	2012	48	40	10	10	2	1	60	51
European Chemicals Agency (ECHA) - PIC activities	2012	6	6	1	1	0	0	7	7
European Fisheries Control Agency (EFCA)	2005	53	52	5	4	4	2	62	58
Total decentralised agencies – heading 2		242	229	85	78	26	23	353	330

1.1.8.3 Staffing overview 2014: Decentralised agencies of heading 3 – Security and citizenship

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
European Centre for Disease Prevention and Control (ECDC)	2004	194	183	100	94	5	3	299	280
European Food Safety Authority (EFSA)	2002	344	330	110	104	20	15	474	449
European Medicines Agency (EMA)	1993	599	580	130	116	25	18	754	714
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	2004	152	149	87	85	78	77	317	311
Agency for the operational management of large scale IT systems in the area of freedom, security and justice (eu.LISA)	2011	120	119	6	6	6	5	132	130
European Asylum Support Office (EASO)	2010	51	48	21	19	14	12	86	79
European Police Office (EUROPOL)	1995	450	440	111	93	40	33	601	566
European Police College (CEPOL)	2005	27	27	10	9	6	5	43	41
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	1993	82	76	27	24	1	1	110	101
European Union Agency for Fundamental Rights (FRA)	2007	75	74	29	28	9	8	113	110
European Union's Judicial Cooperation Unit (EUROJUST)	2002	209	199	15	25	35	24	259	248
Total decentralised agencies – heading 3		2 303	2 225	646	603	239	201	3 188	3 029

1.1.8.4 Staffing overview 2014: Decentralised agencies of heading 4 – Global Europe

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
European Training Foundation (ETF)	1990	94	92	39	39	0	0	133	131
Total decentralised agencies – heading 4		94	92	39	39	0	0	133	131

1.1.8.5 Staffing overview 2014: Decentralised agencies of heading 5 – Administration

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
Translation Centre for the Bodies of the European Union (CdT)	1994	203	192	22	25	0	0	225	217
Total decentralised agencies – heading 5		203	192	22	25	0	0	225	217

1.1.8.6 Staffing overview 2014: Self-financed decentralised agencies

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
Office for Harmonisation in the Internal Market (OHIM)	1993	844	721	63	46	71	58	978	825
Community Plant Variety Office (CPVO)	1994	47	45	0	0	0	0	47	45
Total decentralised agencies		891	766	63	46	71	58	1 025	870

1.1.8.7 Staffing overview 2014: Decentralised agencies – Total

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
Total decentralised agencies		6 023	5 718	1 317	1 231	494	404	7 834	7 353
<i>Total decentralised agencies (excl. fully self-financed agencies)</i>		<i>5 132</i>	<i>4 952</i>	<i>1 254</i>	<i>1 185</i>	<i>423</i>	<i>346</i>	<i>6 809</i>	<i>6 483</i>

1.2 European institute of innovation and technology (EIT)

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2016 for EIT, in text (section 1.2.1) and tables (section 1.2.2). Furthermore, this section presents a table on Commission budget implementation for EIT in 2014 (section 1.2.3), which is followed by a table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2014, section 1.2.4).

1.2.1 Budgetary and staffing highlights 2016: EIT

Section 1.2.2 below presents an overview table for EIT, both for appropriations and staff. The foreseen EU contribution to EIT decreases from EUR 253,8 million in 2015 to EUR 198,2 million in the 2016 draft budget. This should be seen in light of the proposed financing of the European Fund for Strategic Investments (EFSI), in particular in view of the redeployment from 'Horizon 2020', from which the EIT is funded. The staffing level of EIT is kept stable at the 39 posts authorised under the 2015 budget.

The EU contribution to the EIT relates by and large to operational expenditure (Title 3), principally through the Knowledge and Innovation Communities (KICs), which are meant to promote and integrate higher education, research and innovation of the highest standards. Under Horizon 2020, the three existing KICs, focused on sustainable energy (KIC InnoEnergy), climate change (Climate KIC) and information and communication society (EIT ICT Labs), will be expanded with new KICs in 2014-2020.

As presented in more detail in the individual budgetary financial statement (section 2.2.2), the total staffing of EIT foreseen for 2016 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2015	2016	2015	2016	2015	2016	2015	2016
39	39	20	22	1	2	60	63

1.2.2 EIT overview table 2016 / 2015

(in million EUR)

European Institute of Innovation and Technology (EIT)	Budget line	Location	Year of creation	Budget		Draft budget (DB)			Variation
				2015 ⁽¹⁾		2016			EU contribution
				Total revenues of the EIT	Of which EU contribution	Revenues estimated by the EIT	Of which EIT contribution request	EU contribution (DB 2016)	EU contribution 2016 / 2015
European Institute of Innovation and Technology - integrating the knowledge triangle of higher education, research and innovation	15 03 05	Budapest	2008	278,936	253,782	210,750	198,196*	198,196	-21,9%
<i>Authorised establishment plan</i>					39	42	42	39	0

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

* In addition, EUR 2 196 154 from the outturn 2011-2013 is to be reimbursed by the EIT to Commission in 2015.

1.2.3 Table Commission budget implementation for EIT 2014

(in million EUR)

European Institute of Innovation and Technology (EIT)	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over of assigned revenue to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Institute of Innovation and Technology (EIT)	15 03 05	233,229	102,959	233,229	226,436	6,793	102,959	99,317	3,642	0,000	0,000	0,000	0,000
European Institute of Innovation and Technology (EIT) Completion line	15 03 53	0,000	61,891	0,000	0,000	0,000	61,891	60,425	1,467	0,000	0,000	0,000	0,000
Total EIT		233,229	164,851	233,229	226,436	6,793	164,850	159,741	5,109	0,000	0,000	0,000	0,000

1.2.4 Table EIT staffing overview 2014

European Institute of Innovation and Technology	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
European Institute of Innovation and Technology	2008	39	32	20	15	1	1	60	48
Total EIT		39	32	20	15	1	1	60	48

1.3 Executive agencies

This section on 'main budgetary trends' starts with a historical table (section 1.3.1) showing the evolution, over the period 2004 – 2014, of executive agency contributions and staff. The historical table is followed by the budgetary and staffing highlights for 2016 for the current six executive agencies, in text (section 1.3.2), tables (section 1.3.3) and graphs (section 1.3.4). Furthermore, this section presents an overview table on Commission budget implementation for executive agencies in 2014 (section 1.3.5), which is followed by an overview table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2014, section 1.3.6) and tables on 'freed' and 'frozen' posts (section 1.3.7).

1.3.1 Historical table 2004 – 2014

Table 1.3.1 below shows the historical evolution of the executive agencies over the period 2004 – 2014, in terms of EU contribution to and authorised staff levels of the agencies. This historical table should be seen as a complement to the overview table 1.3.3 below, which shows the EU contribution and authorised / requested staff levels for the years 2015 and 2016.

Name of the executive agency	Operating Budget line	Location	Year of creation	EU contribution / Staffing											
				2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	
Contribution from the Consumer programme	33 01 06 01 (17 01 06 01) (17 01 04 30)													1,691	
Total EU contribution CHAFEA					4,756	5,800	5,800	4,100	6,720	7,100	6,900	7,070	7,070	7,070	
<i>Total staffing CHAFEA</i>					<i>24</i>	<i>37</i>	<i>37</i>	<i>37</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>	
Innovation and Networks Executive Agency (INEA)		Brussels	2006												
Contribution from Connecting Europe Facility (CEF)	06 01 06 01 (06 01 04 31)				6,715	8,617	10,213	9,794	9,794	9,900	9,805	9,805	12,260		
Contribution from Horizon 2020	08 01 06 04												1,916		
Total EU contribution INEA					6,715	8,617	10,213	9,794	9,794	9,900	9,805	9,805	14,176		
<i>Total staffing INEA</i>					<i>42</i>	<i>44</i>	<i>99</i>	<i>99</i>	<i>99</i>	<i>99</i>	<i>99</i>	<i>100</i>	<i>162</i>		
European Research Council Executive Agency (ERCEA)	08 01 06 01	Brussels	2007					19,994	32,410	32,110	35,115	39,000	39,000	39,415	
Total EU contribution ERCEA	(08 01 04 30)							19,994	32,410	32,110	35,115	39,000	39,000	39,415	
<i>Total staffing ERCEA</i>								<i>220</i>	<i>300</i>	<i>330</i>	<i>360</i>	<i>389</i>	<i>389</i>	<i>389</i>	
Research Executive Agency (REA)	08 01 06 02	Brussels	2007					14,601	31,032	31,993	37,602	47,339	49,300	56,369	
Total EU contribution REA	(08 01 04 31)							14,601	31,032	31,993	37,602	47,339	49,300	56,369	
<i>Total staffing REA</i>								<i>274</i>	<i>349</i>	<i>424</i>	<i>468</i>	<i>513</i>	<i>558</i>	<i>580</i>	
Total EU contribution Executive Agencies					2,770	34,549	51,743	61,870	105,811	139,717	143,065	152,115	165,592	168,867	194,911
<i>Total staffing Executive Agencies</i>					<i>33</i>	<i>366</i>	<i>421</i>	<i>527</i>	<i>1 180</i>	<i>1 352</i>	<i>1 467</i>	<i>1 549</i>	<i>1 625</i>	<i>1 687</i>	<i>1 925</i>

1.3.2 Budgetary and staffing highlights 2016: executive agencies

In 2016, the Commission will maintain intensive use of executive agencies in the management of the 2014-2020 spending programmes, by delegating implementing tasks to executive agencies when they can bring higher efficiency and effectiveness as compared to 'in-house' management in the Commission. The staffing and subsidy levels foreseen for the agencies in the 2016 DB are in line with the Commission's 'delegation package'³⁴ for the 2014-2020 period, taking account of the additional delegation of activities to CHAFEA³⁵ and EASME³⁶ as from 2015 and to EACEA³⁷ and REA³⁸ as from 2016. The necessary additional staff increase in the agencies (+4 in 2015, +9 in 2016 as compared with the initial planning) has been compensated by an equivalent reduction of human resources in the Commission. The 2016 draft budget also takes account of the impact of the EFSI on the envelopes of operational appropriations to be managed by the agencies. As a consequence, the proposed number of staff in the executive agencies increases to 2 282 FTE in 2016 (+ 156 FTE, of which 27 temporary agents, 128 contract agents and 1 seconded national expert), and the total EU contribution amounts to EUR 222,0 million (+ EUR 15,4 million, or + 7,5 %). Overall, the total increase is 27 FTE below the total number initially foreseen (including the staff needed for additional tasks), due to the lower level of operational appropriations to be managed in 2016. More details on the revised staffing levels by agency are shown in the table below:

EFSI impact on staffing levels in executive agencies	Initially foreseen staffing levels for 2016 (as per 'delegation package')		Revised staffing levels as requested in DB 2016	
	Establishment plan posts	Contract agents (FTE)	Establishment plan posts	Contract agents (FTE)
EASME	107	321	108	329
EACEA	110	331	110	332
CHAFEA	15	45	15	45
INEA	66	199	63	184
ERCEA	112	335	112	335
REA	168	500	163	486
Total	578	1 731	571	1 711

The total EU contribution proposed in the 2016 draft budget is EUR 12,4 million below the total EU contribution initially foreseen for 2016. This is due to the EFSI impact, the decision to keep certain tasks related to IT tools within the Commission, as well as to the abatement used to calculate the staff related costs in function of the projected pace of recruitment of the additional staff during 2016.

The increase in the staffing levels and related administrative expenditure in the executive agencies in 2016 linked to the delegation of tasks is compensated by a further reduction of 151 'heads' in the Commission (of which 100 'freed' and 11 'frozen' posts³⁹ in the establishment plans, as well as 40 contract agents), on top of the reduction to achieve the 5 % staff reduction target. The total number of 'freed' and 'frozen' posts is shown in the table below:

³⁴ The Commission described the proposed scenario for the delegation of certain parts of 2014-2020 spending programmes to the executive agencies, and the corresponding budgetary and human resources impact (including to take into account the application of the 5 % staff reduction target in the executive agencies), in an information note which was sent to the European Parliament and the Council on 4 October 2013. Further to the agreement on the delegation reached in November 2013, the six executive agencies have been re-established through Commission implementing Decisions in December 2013, as follows: EASME (OJ L 341, 18.12.2013); CHAFEA (OJ L 341, 18.12.2013); EACEA (OJ L 343, 19.12.2013); REA (OJ L 346, 20.12.2013); ERCEA (OJ L 346, 20.12.2013); and INEA (OJ L 352, 24.12.2013). As compared to the delegation scenario contained in the information note of October 2013, the 2015 draft budget does not yet include the foreseen delegation of agricultural promotion measures.

³⁵ COM 2014/927/EU of 17.12.2014, Extension of CHAFEA mandate by the Agricultural Promotion programme and transforming the 'Consumers, Health and Food Executive Agency' into the 'Consumers, Health, Agriculture and Food Executive Agency'.

³⁶ C(2014)6944 of 2.10.2014, amending Decision (2013)9414 adding the 'Fast Track to Innovation pilot scheme to the EASME mandate.

³⁷ DG DEVCO delegating to EACEA the implementation of the Intra-ACP Academic Mobility Scheme, financed under the Pan Africa programme (Development and Cooperation Instrument).

³⁸ Use of REA IT-services by DG HOME, DG JUST and DG AGRI.

³⁹ Tasks transferred from Commission services to executive agencies lead to 'freed' posts in the Commission, which have been deleted from the Commission establishment plans in order to guarantee the budgetary neutrality of the delegation exercise. The posts of Commission officials seconded to executive agencies remain vacant in the Commission (i.e. 'frozen') during their secondment. This generates a corresponding reduction of administrative appropriations in the Commission budget.

'Freed' and 'frozen' posts: ensuring budgetary neutrality	Total number of freed and frozen posts in 2015		Total number of freed and frozen posts in 2016	
	Establishment plan posts	Contract agents (FTE)	Establishment plan posts	Contract agents (FTE)
Total 'freed'	120	24	104	40
Total 'frozen'	28	-	7	-
Total	148	24	111	40

The reduction of the corresponding Commission administrative expenditure (EUR 17,3 million, calculated on a full year basis) not only ensures budgetary neutrality; it rather leads to net savings with the delegation of tasks to executive agencies. The table below shows the way in which the increases in expenditure to cover the running costs of the executive agencies will be offset through a compensating reduction in staffing expenditure at the Commission:

Offsetting of expenditure related to delegation to executive agencies	Number of FTE	Value (in EUR million) ⁴⁰
Additional staff in executive agencies in the 2016 draft budget		
Additional establishment plan posts	+ 27	+ 3,53
Additional contract agents and seconded national experts	+ 129	+ 9,59
Total additional full-time equivalents (FTE)	+ 156	+ 13,12
Compensating reduction of staff expenditure in the Commission		
Compensating reduction of establishment plan posts ('freed' and 'frozen')	- 111	- 14,53
Compensating reduction of contract agents and seconded national experts ('freed')	- 40	- 2,80
Total compensating reduction of full-time equivalents (FTE)	- 151	- 17,34
Difference: net savings due to delegation to executive agencies		- 4,22

Section 1.3.3 presents an overview for the executive agencies, both for the EU contribution to the agencies from operational programmes managed, and for the establishment plans and external personnel.

As presented in more detail in the individual budgetary financial statements (section 2.2.3), the total staffing of the executive agencies foreseen for 2016 increase as compared to the level of 2015, as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2015	2016	2015	2016	2015	2016	2015	2016
544	571	1 567	1 695	15	16	2 126	2 282

Of the 571 posts included in the executive agency establishment plans for 2016, a total of 159 will be occupied by officials seconded from the Commission, as shown in more detail in section 1.3.7 below.

⁴⁰ This calculation uses the same costs levels as in the Information note to the Committee for Executive Agencies on the delegation of the management of the 2014-2020 programmes to executive agencies (Annex 3 'Overall compensation mechanism demonstrating budget neutrality').

1.3.3 Executive agency overview table 2016 / 2015

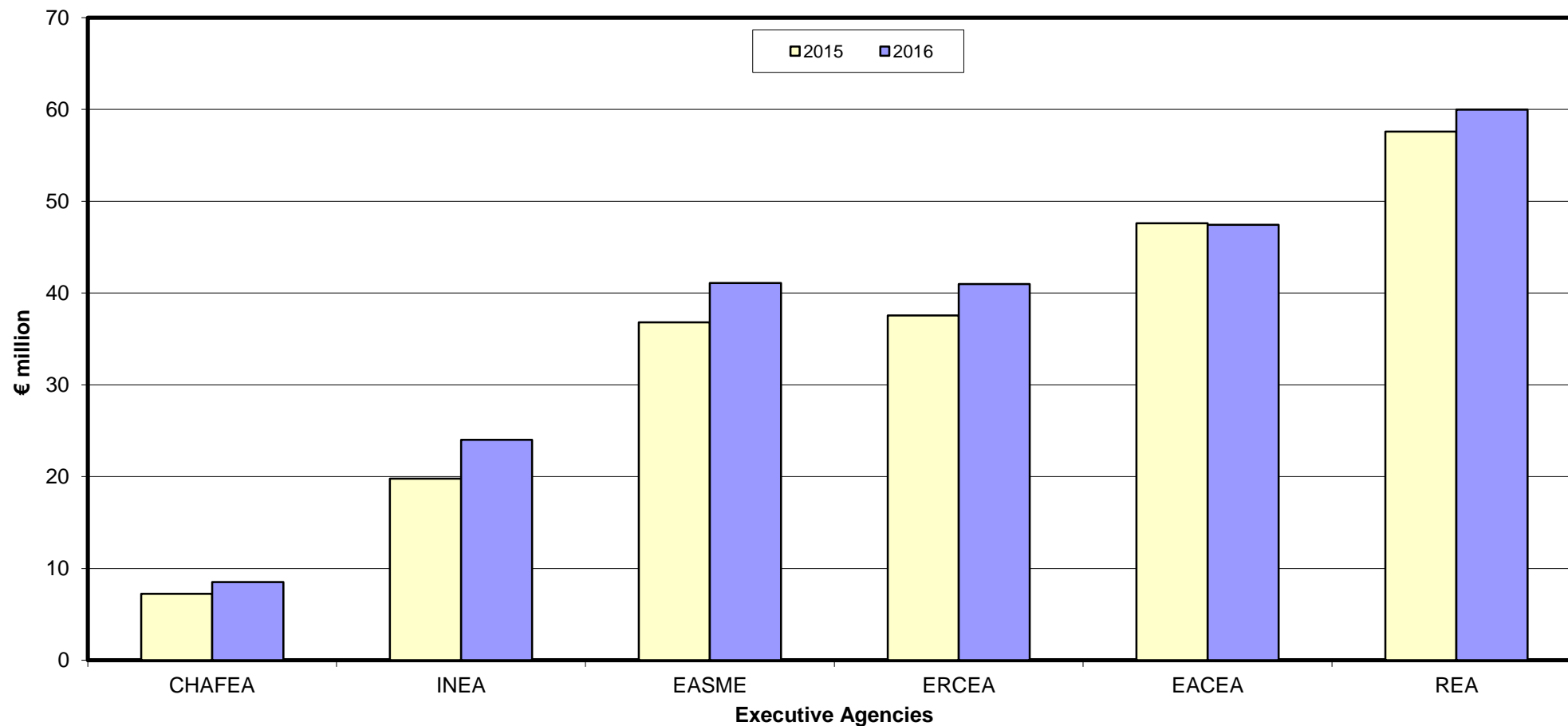
Name of the executive agency	Operating budget line	Location	Year of creation	EU contribution to the Agency's operating budget		Variation in % DB 2016 / 2015	Staffing of the executive agency							
				Budget 2015 ⁽¹⁾	DB 2016		Authorised establishment plan		Contract agents ⁽²⁾		Seconded national experts ⁽²⁾		Total staff ⁽²⁾	
				Budget 2015 ⁽¹⁾	DB 2016	Budget 2015 ⁽¹⁾	DB 2016	Budget 2015 ⁽¹⁾	DB 2016	Budget 2015 ⁽¹⁾	DB 2016	Budget 2015 ⁽¹⁾	DB 2016	
Executive Agency for Small and Medium-sized Enterprises (EASME)		Brussels	2004											
Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)	02 01 06 01			8,154	8,786	7,7%								
Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE	07 01 06 01			5,609	4,472	-20,3%								
Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020	08 01 06 03			21,056	24,877	18,1%								
Executive Agency for Small- and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)	11 01 06 01			1,998	2,948	47,5%								
Total EASME				36,818	41,083	11,6%	97	108	297	329	0	0	394	437
Executive Agency for Education, Audiovisual and Culture (EACEA)		Brussels	2005											
Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+	15 01 06 01			26,312	26,112	-0,8%								
Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe	15 01 06 02			12,164	12,199	0,3%								
Education, Audiovisual and Culture Executive Agency — Contribution from the programme 'Europe for Citizens'	18 01 06 01			2,203	2,203	0,0%								
Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument	19 01 06 01			0,163	0,230	41,1%								
Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)	21 01 06 01			2,035	2,332	14,6%								
Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance	22 01 06 01			0,885	0,820	-7,3%								
Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)	22 01 06 02			2,956	2,550	-13,7%								
Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative	23 01 06 01			0,897	0,989	10,3%								
Total EACEA				47,615	47,435	-0,4%	110	110	331	332	0	0	441	442
Consumers, Health and Food Executive Agency		Luxemburg	2005											

Name of the executive agency	Operating budget line	Location	Year of creation	EU contribution to the Agency's operating budget		Variation in % DB 2016 / 2015	Staffing of the executive agency							
				Budget 2015 ⁽¹⁾	DB 2016		Authorised establishment plan		Contract agents ⁽²⁾		Seconded national experts ⁽²⁾		Total staff ⁽²⁾	
						Budget 2015 ⁽¹⁾	DB 2016	Budget 2015 ⁽¹⁾	DB 2016	Budget 2015 ⁽¹⁾	DB 2016	Budget 2015 ⁽¹⁾	DB 2016	
(CHAFEA)														
Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme	05 01 06 01			0,166	1,448	772,5%								
Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'	17 01 06 02			4,209	4,209	0,0%								
Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health	17 01 06 03			1,170	1,170	0,0%								
Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme	33 01 06 01			1,691	1,691	0,0%								
Total CHAFEA				7,236	8,518	17,7%	13	15	38	45	0	0	51	60
Innovation and Networks Executive Agency (INEA)														
Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)	06 01 06 01	Brussels	2006	14,413	15,010	4,1%								
Innovation and Networks Executive Agency — Contribution from the Cohesion Fund	06 01 06 03			1,396	4,153	197,5%								
Innovation and Networks Executive Agency — Contribution from Horizon 2020	08 01 06 04			3,969	4,849	22,2%								
Total INEA				19,778	24,013	21,4%	59	63	138	184	0	0	197	247
European Research Council Executive Agency (ERCEA)														
European Research Council Executive Agency — contribution from Horizon 2020	08 01 06 01	Brussels	2007	37,573	40,981	9,1%								
Total ERCEA				37,573	40,981	9,1%	108	112	290	319	15	16	413	447
Research Executive Agency (REA)														
Research Executive Agency — Contribution from Horizon 2020	08 01 06 02	Brussels	2007	57,579	59,972	4,2%								
Total REA				57,579	59,972	4,2%	157	163	473	486	0	0	630	649
Total executive agencies				206,598	222,003	7,5%	544	571	1 567	1 695	15	16	2 126	2 282

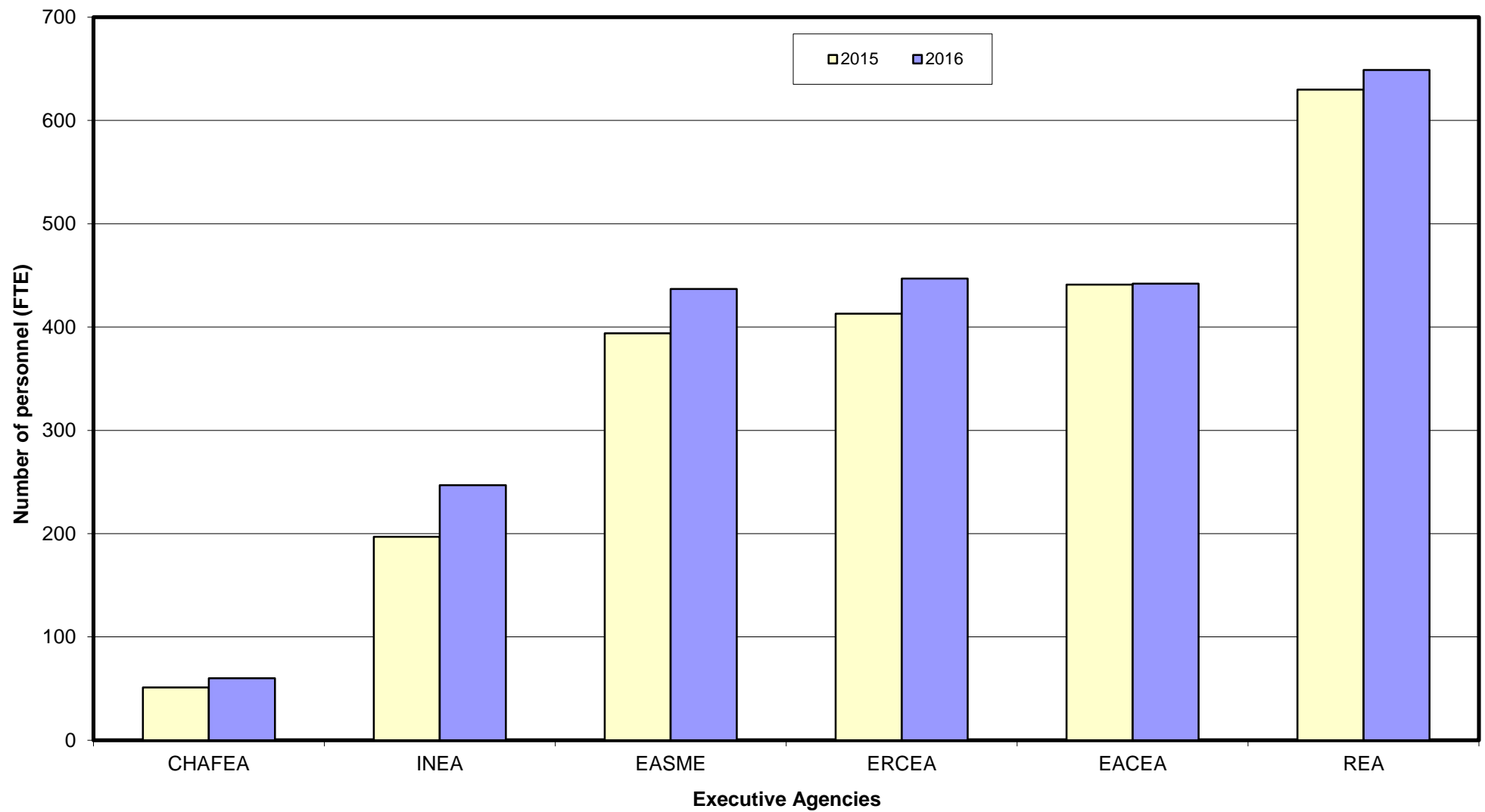
(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

(2) Estimate (full-time equivalents), on the basis of average costs.

EU BUDGET CONTRIBUTION to EXECUTIVE AGENCIES



NUMBER of PERSONNEL (FTE) in EXECUTIVE AGENCIES



1.3.5 Table Commission budget implementation for executive agencies 2014

(in million EUR)

Name of the executive agency	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Executive Agency for Small and Medium-sized Enterprises (EASME)													
Contribution from Competitiveness of enterprises and small and medium-sized enterprises (Cosme)	02 01 06 01	6,667	6,667	6,626	6,496	0,130	6,626	6,496	0,130	0,000	0,000	0,041	0,041
Contribution from Connecting Europe Facility (CEF)	06 01 06 02	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Contribution from LIFE	07 01 06 01	3,476	3,476	3,145	3,145	0,000	3,145	3,145	0,000	0,000	0,000	0,331	0,331
Contribution from Horizon 2020	08 01 06 03	14,018	14,018	13,930	13,358	0,572	13,930	13,358	0,572	0,000	0,000	0,088	0,088
Contribution from European Maritime and Fisheries Fund (EMMF)	11 01 06 01	0,783	0,783	0,783	0,783	0,000	0,783	0,783	0,000	0,000	0,000	0,000	0,000
Total EASME		24,945	24,945	24,484	23,783	0,701	24,484	23,783	0,701	0,000	0,000	0,461	0,461
Innovation and Networks Executive Agency (INEA)													
Contribution from Connecting Europe Facility (CEF)	06 01 06 01	11,583	11,583	11,583	11,565	0,019	11,583	11,565	0,019	0,000	0,000	0,000	0,000
Contribution from Horizon 2020	08 01 06 04	1,848	1,848	1,848	1,790	0,057	1,848	1,790	0,057	0,000	0,000	0,000	0,000
Total INEA		13,431	13,431	13,431	13,355	0,076	13,431	13,355	0,076	0,000	0,000	0,000	0,000
European Research Council Executive Agency (ERCEA)													
European Research Council Executive Agency (ERCEA) Contribution from Horizon 2020	08 01 06 01	36,246	36,246	36,246	35,064	1,182	36,246	35,064	1,182	0,000	0,000	0,000	0,000
Total ERCEA		36,246	36,246	36,246	35,064	1,182	36,246	35,064	1,182	0,000	0,000	0,000	0,000
Research Executive Agency(REA)													
Research Executive Agency(REA) Contribution from Horizon 2020	08 01 06 02	51,395	51,395	51,395	49,704	1,691	51,395	49,704	1,691	0,000	0,000	0,000	0,000
Total REA		51,395	51,395	51,395	49,704	1,691	51,395	49,704	1,691	0,000	0,000	0,000	0,000

Education, Audiovisual and Culture Executive Agency (EACEA)													
Contribution from Erasmus+	15 01 06 01	27,224	27,224	25,333	23,360	1,973	25,333	23,360	1,973	0,000	0,000	1,891	1,891
Contribution from Creative Europe	15 01 06 02	12,827	12,827	12,491	11,913	0,578	12,491	11,913	0,578	0,000	0,000	0,336	0,336
Contribution from Europe for Citizens	16 01 06 01	2,385	2,385	2,191	2,131	0,060	2,191	2,131	0,060	0,153	0,153	0,041	0,041
Contribution from the Partnership Instrument (PI)	19 01 06 01	0,274	0,274	0,274	0,274	0,000	0,249	0,249	0,000	0,000	0,000	0,000	0,025
Contribution from Development Cooperation Instruments (DCI)	21 01 06 01	2,175	2,175	2,087	2,087	0,000	2,087	2,087	0,000	0,000	0,000	0,088	0,088
Contribution from European Neighbourhood Instrument (ENI)	21 01 06 02	2,949	2,949	2,830	2,830	0,000	2,830	2,830	0,000	0,000	0,000	0,119	0,119
Contribution from Pre-accession Assistance programme	22 01 06 01	1,191	1,191	1,030	1,030	0,000	0,954	0,954	0,000	0,050	0,050	0,110	0,186
Contribution from European Voluntary Humanitarian Aid Corps EU Aid Volunteers (EUAV)	23 01 06 01	0,529	0,529	0,454	0,454	0,000	0,454	0,454	0,000	0,000	0,000	0,075	0,075
Total EACEA		49,553	49,553	46,689	44,079	2,610	46,589	43,979	2,610	0,204	0,204	2,660	2,760
Consumer, Health and Food Executive Agency													
Contribution from Consumer programme	17 01 06 01	1,742	1,742	1,742	0,169	0,051	1,742	1,691	0,051	0,000	0,000	0,000	0,000
Contribution from Health for Growth programme	17 01 06 02	4,335	4,335	4,335	4,209	0,126	4,335	4,209	0,126	0,000	0,000	0,000	0,000
Contribution in the field of Food and feed safety, animal health, animal welfare and Plant health	17 01 06 03	1,170	1,170	1,170	1,170	0,000	1,170	1,170	0,000	0,000	0,000	0,000	0,000
Total CHAFEA		7,247	7,247	7,247	7,070	0,177	7,247	7,070	0,177	0,000	0,000	0,000	0,000
Total executive agencies		182,816	182,816	179,492	173,054	6,438	179,392	172,954	6,438	0,204	0,204	3,120	3,221

The budget implementation tables for executive agencies should be read as follows:

- Authorised appropriations include voted budget appropriations as well as assigned revenues (EFTA, candidate country and third country appropriations);
- Authorised appropriations 2014 minus commitments (or payments) made 2014 minus carry-over of assigned revenue (stemming from candidate countries and third countries) and automatic carry-over to 2015 leads to appropriations cancelled (on the Commission side).

All budget implementation 2014 data in this document are based on provisional accounting closure (April 2015).

1.3.6 Table executive agency staffing overview 2014

Name of the executive agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
EACEA	2005	108	101	328	314	0	0	436	415
EASME	2013	77	61	231	222	0	0	308	283
CHAFEA	2013	12	10	38	39	0	0	50	49
INEA	2013	49	44	113	107	0	0	162	151
ERCEA	2013	100	99	279	277	10	12	389	388
REA	2013	145	139	435	409	0	0	580	548
Total executive agencies		491	454	1 424	1 368	10	12	1 925	1 834

1.3.7 Table executive agency frozen posts

As explained in the section 2.3.3 of the Introduction, the creation of executive agencies leads to so-called 'freed' and 'frozen' posts.

The 2016 DB contains 159 posts frozen in the Commission establishment plan (Budget 2015: 152 frozen posts), due to the secondment of Commission officials to executive agencies.

More details on frozen posts by executive agency are presented in the tables below, and in the individual budgetary financial statements (Part I section 2.2.4).

Table of posts frozen in the Commission establishment plan

	Executive Agency	Budget 2015	DB 2016
Operating budget	EASME	13	14
	EACEA	34	34
	CHAFEA	7	8
	INEA	13	14
	Total - Operating Budget	67 ⁽²⁾	70 ⁽¹⁾
Research budget	ERCEA	20	20
	REA	38	40
	EASME	19	19
	INEA	8	10
	Total - Research Budget	85	89
Total for all Executive Agencies		152	159
⁽¹⁾ Giving rise to a reduction of corresponding budget appropriations under Heading 5 (see 'Abatement agences executives' in Working Document II accompanying the 2016 DB – Budget financial statement XX 01 01 01 01).			
⁽²⁾ Giving rise to a reduction of corresponding budget appropriations under Heading 5 (see 'Abatement agences executives' in Working Document II accompanying the 2015 DB – Budget financial statement XX 01 01 01 01).			

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1 Content of the budgetary financial statements

2.1.1 Decentralised agencies

The individual budgetary financial statements include, by agency, information on the set-up of the agency, the EU contribution to and the budget of the agency (revenue and expenditure), the estimated surplus of 2014, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1.2 European Institute of Innovation and Technology (EIT)

Similar to the decentralised agency budgetary financial statements, the individual budgetary financial statement for EIT includes information on the set-up of EIT, the EU contribution to and the budget of EIT (revenue and expenditure), the estimated surplus of 2014, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1.3 Executive agencies

The individual budgetary financial statements for executive agencies include information on the set-up of the agency (including possible extensions of the mandate), the operational programmes managed by the agency, the EU contribution to and the operating budget of the agency (revenue and expenditure), the estimated surplus of 2014, the establishment plan, contr agents and Seconded National Experts, freed and frozen posts, buildings, and evaluations.

All budget implementation 2014 data in this document are based on provisional accounting closure (April 2015).

2.2 Individual budgetary financial statements

2.2.1 Decentralised Agencies

2.2.1.1 Decentralised Agencies of heading 1A - Competitiveness for growth and jobs

2.2.1.1.1 European Chemicals Agency - ECHA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Regulation (EC) No. 1907/2006 of 18 December 2006, concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency</p> <p>Regulation (EC) No. 1272/2008 of 16 December 2008 on classification, labelling and packaging of substances and mixtures</p>	<p>18/12/2006</p> <p>16/12/2008</p>	<p>-Manage and carry out technical, scientific and administrative aspects of REACH and CLP Regulations</p> <p>-These REACH and CLP processes are designed to ensure a high level of protection of human health and the environment, including the promotion of alternative methods for assessment of hazards of substances, as well as the free circulation of substances on the internal market while enhancing competitiveness and innovation</p> <p>-Provide the Member States and the institutions of the Community with the best possible scientific and technical advice on questions relating to chemicals which fall under REACH or CLP</p> <p>-Manage IT based guidance documents, tools and data bases</p> <p>-Support national helpdesk and run a helpdesk for registrants</p> <p>-Make information on chemicals publicly accessible</p>
<p>Regulation (EU) No 528/2012 of the European Parliament and the Council of 22 May 2012 concerning the making available on the market and use of biocidal products</p>	<p>22/05/2012</p>	<p>Manage and carry out technical, scientific, and administrative aspects of the Biocidal Products Regulation</p> <p>The purpose of the Biocides Regulation is to improve the functioning of the internal market through the harmonisation of the rules on the placing on the market and use of biocidal products, whilst ensuring a high level of protection of both human and animal health and the environment. The provisions of the Regulation are underpinned by the precautionary principle, the aim of which is to safeguard the health of humans, animals and the environment.</p> <p>Establish and maintain the Register for Biocidal Products</p> <p>Coordinate and manage the processing and evaluation of the applications covered by the Regulation (including active substance approval, Union authorisation, data sharing, technical equivalence, alternative suppliers)</p> <p>Provide guidance, support national helpdesks and assist and advise application (through the ECHA Helpdesk)</p> <p>Make information on biocides publicly accessible.</p>
<p>Regulation of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals</p>	<p>04/07/2012</p>	<p>Manage and carry out technical, scientific, and administrative aspects related to export and import of dangerous chemicals under the PIC Regulation</p> <p>The objectives of the PIC Regulation are to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, and to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the</p>

Decision	Date	Mission/ Tasks / Functions
		<p>environment from potential harm. Through its provisions it contributes to the environmentally sound use of hazardous chemicals.</p> <p>Manage the tasks related to and the cooperation with Member States on export notifications and explicit import consents</p> <p>Manage guidance documents and IT tools</p> <p>Make information publicly available</p>
Remark		<p>The Agency, established on 1 June 2007, will manage the registration, evaluation, authorisation and restriction processes for chemical substances as well the classification and labelling of substances and mixtures to ensure consistency across the European Union. These REACH processes are designed to provide additional information on chemicals, to ensure their safe use, and to ensure competitiveness of the European industry.</p> <p>In its decision-making the Agency will take the best available scientific and technical data and socio-economic information into account. It will also provide information on chemicals and technical and scientific advice.</p> <p>Under the Biocidal Products Regulation, adopted in 2012, ECHA is responsible for specific tasks with regard to applications for active substance approval and Union authorisation and other related tasks such as data sharing inquiries. The Biocidal products Committee has been established within the Agency to provide opinions to the Commission on scientific and technical matters relating to applications under the Regulation.</p> <p>The recast PIC Regulation, adopted in 2012, further adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to export and import of dangerous chemicals.</p>

1.2 Seat

Helsinki, Finland

1.3 Budget Line

02 03 03 : European Chemicals Agency — Chemicals legislation

07 02 05 : European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals

17 04 07 : European Chemicals Agency — Activities in the field of biocides legislation

1.4 Human Resources overview

1.4.1 Human Resources Overview ECHA

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	350	339	348	333
Establishment plan posts : AST	145	140	136	129
Establishment plan posts : AST/SC				
Total Establishment plan posts	495	479	484	462
Contract Agents	109	106	109	110
Seconded National Experts	15	12	15	16
TOTAL STAFF	619	597	608	588

1.4.2 Human Resources Overview (REACH / CLP)

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	310	307	309	303
Establishment plan posts : AST	131	126	122	117
Establishment plan posts : AST/SC				
Total Establishment plan posts	441	433	431	420
Contract Agents	98	95	98	100
Seconded National Experts	13	11	13	15
TOTAL STAFF	552	539	542	535

1.4.3 Human Resources Overview (BIOCIDES)

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	39	31	38	29
Establishment plan posts : AST	9	9	9	7
Establishment plan posts : AST/SC				
Total Establishment plan posts	48	40	47	36
Contract Agents	10	10	10	9
Seconded National Experts	2	1	2	1
TOTAL STAFF	60	51	59	46

1.4.4 Human Resources Overview (PIC)

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	1	1	1	1
Establishment plan posts : AST	5	5	5	5
Establishment plan posts : AST/SC				
Total Establishment plan posts	6	6	6	6
Contract Agents	1	1	1	1
Seconded National Experts				
TOTAL STAFF	7	7	7	7

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ECHA

1.5.1.1 Revenues Overview ECHA

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 811 000	78 956 000
Other Revenue	100 353 050	27 684 309
TOTAL REVENUES	115 164 050	106 640 309

1.5.1.2 Expenditure Overview ECHA

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	68 025 780	68 025 780	66 107 500	66 107 500
Title 2 - Infrastructure and operating expenditure	17 097 050	17 097 050	14 588 500	14 588 500
Title 3 - Operational expenditure	28 450 000	28 477 700	25 219 309	24 711 309
Title 4 - Operational expenditure	1 119 070	1 119 070	900 000	900 000
Title 5 - Operational expenditure	449 130	449 130	333 000	333 000
Title 9 - Reserve				
TOTAL EXPENDITURE	115 141 030	115 168 730	107 148 309	106 640 309

1.5.2 Financial Resources Overview (REACH / CLP)

1.5.2.1 Revenues Overview (REACH / CLP)

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	7 800 000	72 805 000
Other Revenue	97 943 610	25 546 309
TOTAL REVENUES	105 743 610	98 351 309

1.5.2.2 Expenditure Overview (REACH / CLP)

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	61 438 640	61 438 640	60 345 000	60 345 000

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 2 - Infrastructure and operating expenditure	15 831 950	15 831 950	13 295 000	13 295 000
Title 3 - Operational expenditure	28 450 000	28 477 700	25 219 309	24 711 309
Title 9 - Reserve				
TOTAL EXPENDITURE	105 720 590	105 748 290	98 859 309	98 351 309

1.5.3 Financial Resources Overview (BIOCIDES)

1.5.3.1 Revenues Overview (BIOCIDES)

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	5 789 000	5 000 000
Other Revenue	2 409 440	2 138 000
TOTAL REVENUES	8 198 440	7 138 000

1.5.3.2 Expenditure Overview (BIOCIDES)

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 985 330	5 985 330	5 088 000	5 088 000
Title 2 - Infrastructure and operating expenditure	1 094 040	1 094 040	1 150 000	1 150 000
Title 4 - Operational expenditure	1 119 070	1 119 070	900 000	900 000
TOTAL EXPENDITURE	8 198 440	8 198 440	7 138 000	7 138 000

1.5.4 Financial Resources Overview (PIC)

1.5.4.1 Revenues Overview (PIC)

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	1 222 000	1 151 000
Other Revenue	0	0
TOTAL REVENUES	1 222 000	1 151 000

1.5.4.2 Expenditure Overview (PIC)

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	601 810	601 810	674 500	674 500
Title 2 - Infrastructure and operating expenditure	171 060	171 060	143 500	143 500
Title 5 - Operational expenditure	449 130	449 130	333 000	333 000
TOTAL EXPENDITURE	1 222 000	1 222 000	1 151 000	1 151 000

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts ECHA

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		3		3		4		4		4
AD 13		14		6		15		15		15
AD 12		25		14		25		22		22
AD 11		31		17		32		34		34
AD 10		32		28		35		36		36
AD 9		52		44		55		54		54
AD 8		62		51		61		61		60
AD 7		48		53		54		57		57
AD 6		71		77		57		45		42
AD 5		11		45		9		8		8
AD TOTAL		350		339		348		337		333
AST 11										
AST 10		1				1				
AST 9		7		3		7		6		6

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 8		10		1		8		9		9
AST 7		16		6		15		15		15
AST 6		18		9		16		16		16
AST 5		27		22		32		34		34
AST 4		25		23		18		14		14
AST 3		23		52		24		25		23
AST 2		13		14		10		7		7
AST 1		5		10		5		5		5
AST TOTAL		145		140		136		131		129
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		495		479		484		468		462
GRAND TOTAL		495		479		484		468		462

2.1.2 Establishment plan posts (REACH / CLP)

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		3		3		4		4		4
AD 13		13		6		14		15		15
AD 12		23		13		23		20		20

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 11		28		15		29		31		31
AD 10		28		26		31		33		33
AD 9		45		42		48		48		48
AD 8		49		47		49		50		50
AD 7		42		50		48		52		52
AD 6		67		67		53		41		41
AD 5		11		37		9		8		8
AD TOTAL		310		307		309		303		303
AST 11										
AST 10		1				1				
AST 9		7		3		7		6		6
AST 8		10		1		8		9		9
AST 7		13		6		12		12		12
AST 6		18		9		16		16		16
AST 5		25		19		29		31		31
AST 4		22		20		16		12		12
AST 3		17		45		18		19		19
AST 2		13		13		10		7		7
AST 1		5		10		5		5		5
AST TOTAL		131		126		122		117		117
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
TOTAL		441		433		431		420		420
GRAND TOTAL	441		433		431		420		420	

2.1.3 Establishment plan posts (BIOCIDES)

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13		1				1				
AD 12		2		1		2		2		2
AD 11		3		2		3		3		3
AD 10		4		2		4		3		3
AD 9		7		2		7		6		6
AD 8		12		4		11		10		9
AD 7		6		3		6		5		5
AD 6		4		10		4		4		1
AD 5				7						
AD TOTAL		39		31		38		33		29
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		1				1		1		1
AST 6										
AST 5		2		2		3		3		3
AST 4		3		2		2		2		2

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 3		3		4		3		3		1
AST 2				1						
AST 1										
AST TOTAL		9		9		9		9		7
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		48		40		47		42		36
GRAND TOTAL	48		40		47		42		36	

2.1.4 Establishment plan posts (PIC)

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13										
AD 12										
AD 11										
AD 10										
AD 9										
AD 8		1				1		1		1
AD 7										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 6										
AD 5				1						
AD TOTAL		1		1		1		1		1
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		2				2		2		2
AST 6										
AST 5				1						
AST 4				1						
AST 3		3		3		3		3		3
AST 2										
AST 1										
AST TOTAL		5		5		5		5		5
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		6		6		6		6		6
GRAND TOTAL		6		6		6		6		6

2.2 External personnel

2.2.1 External personnel ECHA

2.2.1.1 Contract Agents ECHA

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	25	20	24	23
Function Group III	62	60	65	68
Function Group II	19	24	17	19
Function Group I	3	2	3	
TOTAL	109	106	109	110

2.2.1.2 Seconded National Experts ECHA

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	15	12	15	16

2.2.2 External personnel (REACH/CLP)

2.2.2.1 Contract Agents (REACH/CLP)

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	19	17	18	18
Function Group III	59	55	62	64
Function Group II	17	21	15	18
Function Group I	3	2	3	
TOTAL	98	95	98	100

2.2.2.2 Seconded National Experts (REACH/CLP)

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	13	11	13	15

2.2.3 External personnel (BIOCIDES)

2.2.3.1 Contract Agents (BIOCIDES)

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	5	3	5	4
Function Group III	3	5	3	4
Function Group II	2	2	2	1
Function Group I				
TOTAL	10	10	10	9

2.2.3.2 Seconded National Experts (BIOCIDES)

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	2	1	2	1

2.2.4 External personnel (PIC)

2.2.4.1 Contract Agents (PIC)

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	1		1	1
Function Group III				
Function Group II		1		
Function Group I				
TOTAL	1	1	1	1

2.2.4.2 Seconded National Experts (PIC)

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL				

3 Financial Resources

3.1 Financial Resources ECHA

3.1.1 Revenues ECHA

REVENUES	Revenues ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	187 261 544	99 530 524	20 920 160	25 175 000	-74,71%
2 EU CONTRIBUTION	6 361 418	14 811 000	83 391 000	78 956 000	433,09%
- Of which assigned revenues deriving from previous years' surpluses	11 489	91 765	111 120	441 492	381,11%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	152 205	397 526	2 491 681	2 509 309	531,23%
- Of which EEA/EFTA (excl. Switzerland)	152 205	397 526	2 491 681	2 509 309	531,23%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	1 867 820	425 000			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution					

REVENUES	Revenues ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
<i>(FFR Art. 58)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	1 244 421				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	196 887 408	115 164 050	106 802 841	106 640 309	-7,40%

3.1.2 Expenditure ECHA

3.1.2.1 Commitment appropriations ECHA

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	72 388 589	68 025 780	66 200 341	66 107 500	-2,82%
Salaries & allowances	66 395 792	60 995 820	59 927 341	59 834 500	-1,90%
- Of which establishment plan posts	59 888 768	53 578 020	53 528 478	53 405 809	-0,32%
- Of which external personnel	6 507 024	7 417 800	6 398 863	6 428 691	-13,33%
Expenditure relating to Staff recruitment	838 727	898 200	855 000	855 000	-4,81%
Mission expenses	45 094	60 000	45 100	45 100	-24,83%
Socio-medical infrastructure	1 859 612	2 228 520	2 176 600	2 176 600	-2,33%
Training	1 124 743	1 300 000	1 262 100	1 262 100	-2,92%
External Services	2 118 311	2 523 240	1 914 200	1 914 200	-24,14%
Receptions, events and representation	6 310	20 000	20 000	20 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	14 390 885	17 097 050	14 407 500	14 588 500	-14,67%
Rental of buildings and associated costs	7 640 117	8 352 720	7 443 500	7 554 500	-9,56%
Information, communication technology and data processing	6 112 125	7 338 610	6 335 500	6 405 500	-12,72%
Movable property and associated costs	287 522	957 840	296 500	296 500	-69,04%
Current administrative expenditure	336 864	441 330	321 500	321 500	-27,15%
Postage / Telecommunications					
Meeting expenses	14 257	6 550	10 500	10 500	60,31%
Running costs in connection with operational activities					

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	22 989 082	28 450 000	25 384 000	25 219 309	-11,36%
Registration, datatsharing and dissemination	456 373	660 000	562 000	562 000	-14,85%
Evaluation	2 239 090	2 230 000	2 120 000	2 120 000	-4,93%
Risk Management	489 557	758 500	735 000	735 000	-3,10%
Classification and labelling	44 145	45 000	45 000	45 000	0,00%
Advice and assistance through guidance and helpdesk	136 153	227 000	189 500	189 500	-16,52%
Scientific IT tools	11 916 155	13 729 500	12 062 500	11 897 809	-13,34%
Scientific and technical advice to EU institutions	145 076	361 000	300 000	300 000	-16,90%
Committees and Forum	1 495 122	2 656 500	3 010 000	3 010 000	13,31%
Board of appeal	65 621	115 500	96 000	96 000	-16,88%
Communications including translations	2 872 316	3 330 000	3 117 000	3 117 000	-6,40%
International cooperation					
Management Board and management of the Agency	1 091 591	1 288 000	1 232 000	1 232 000	-4,35%
Missions	488 030	612 200	500 000	500 000	-18,33%
External training					
Refunds		2 000 000			-100%
Committees and Forum (multiannual)	114 521	316 800	315 000	315 000	-0,57%
Cooperation with international organisations	1 333 539	120 000	1 100 000	1 100 000	816,67%
IPA programme 2009/214-524					
IPA programme 2012/291-934	101 793				
Title 4 - Operational expenditure	710 335	1 119 070	986 000	900 000	-19,58%
Substances, products and technical equivalence					
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datatsharing and dissemination					
Advice and assistance through guidance and helpdesk	46 685	72 400	78 000	28 000	-61,33%

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Scientific IT tools	138 057	339 550	310 000	310 000	-8,70%
Scientific and technical advice to EU institutions	29 950				
Biocidal products Committee and Rapporteurs	199 838	271 720	270 000	270 000	-0,63%
Board of appeal	3 844	20 000	17 000	17 000	-15,00%
Communications including translations	150 086	193 000	106 000	100 000	-48,19%
Management Board and management of the Agency	87 708	155 000	155 000	140 000	-9,68%
Missions	52 803	67 400	50 000	35 000	-48,07%
External training					
Cooperation with international organisations for IT programs					
Earmarked operations	1 364				
Title 5 - Operational expenditure	470 032	449 130	333 000	333 000	-25,86%
Studies and consultants	48 951	35 000	85 000	85 000	142,86%
Advice and assistance through guidance and helpdesk	8 028				
Scientific IT tools	335 218	223 230	220 000	220 000	-1,45%
Meeting with the dancs and expert groups on PIC implementation	54 199				
Communications including translations	12 362	177 500	15 000	15 000	-91,55%
Missions	11 274	13 400	13 000	13 000	-2,99%
External training					
Title 9 - Reserve					
TOTAL	110 948 923	115 141 030	107 310 841	107 148 309	-6,94%

3.1.2.2 Payment appropriations ECHA

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	71 816 931	68 025 780	66 200 341	66 107 500	-2,82%
Salaries & allowances	66 395 774	60 995 820	59 927 341	59 834 500	-1,90%
- Of which establishment plan posts	59 888 750	54 327 820	53 528 478	53 405 809	-1,70%
- Of which external personnel	6 507 024	6 668 000	6 398 863	6 428 691	-3,59%

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	822 780	898 200	855 000	855 000	-4,81%
Mission expenses	43 094	60 000	45 100	45 100	-24,83%
Socio-medical infrastructure	1 720 864	2 228 520	2 176 600	2 176 600	-2,33%
Training	952 546	1 300 000	1 262 100	1 262 100	-2,92%
External Services	1 876 334	2 523 240	1 914 200	1 914 200	-24,14%
Receptions, events and representation	5 539	20 000	20 000	20 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	12 612 261	17 097 050	14 407 500	14 588 500	-14,67%
Rental of buildings and associated costs	7 485 905	8 352 720	7 443 500	7 554 500	-9,56%
Information, communication technology and data processing	4 743 431	7 338 610	6 335 500	6 405 500	-12,72%
Movable property and associated costs	164 858	957 840	296 500	296 500	-69,04%
Current administrative expenditure	203 999	441 330	321 500	321 500	-27,15%
Postage / Telecommunications					
Meeting expenses	14 068	6 550	10 500	10 500	60,31%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	13 752 788	28 477 700	24 876 000	24 711 309	-13,23%
Registration, datatsharing and dissemination	239 457	660 000	562 000	562 000	-14,85%
Evaluation	336 181	2 230 000	2 120 000	2 120 000	-4,93%
Risk Management	263 444	758 500	735 000	735 000	-3,10%
Classification and labelling	44 145	45 000	45 000	45 000	0,00%
Advice and assistance through guidance and helpdesk	132 427	227 000	189 500	189 500	-16,52%
Scientific IT tools	7 595 005	13 729 500	12 062 500	11 897 809	-13,34%
Scientific and technical advice to EU institutions	118 983	361 000	300 000	300 000	-16,90%
Committees and Forum	947 187	2 656 500	3 010 000	3 010 000	13,31%

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Board of appeal	24 821	115 500	96 000	96 000	-16,88%
Communications including translations	2 254 649	3 330 000	3 117 000	3 117 000	-6,40%
International cooperation					
Management Board and management of the Agency	829 339	1 288 000	1 232 000	1 232 000	-4,35%
Missions	469 109	612 200	500 000	500 000	-18,33%
External training					
Refunds		2 000 000			-100%
Committees and Forum (multiannual)	10 613	102 500	115 000	115 000	12,20%
Cooperation with international organisations	385 635	362 000	792 000	792 000	118,78%
IPA programme 2009/214-524					
IPA programme 2012/291-934	101 793				
Title 4 - Operational expenditure	567 511	1 119 070	986 000	900 000	-19,58%
Substances, products and technical equivalence					
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	46 685	72 400	78 000	28 000	-61,33%
Scientific IT tools	116 056	339 550	310 000	310 000	-8,70%
Scientific and technical advice to EU institutions	14 950				
Biocidal products Committee and Rapporteurs	175 361	271 720	270 000	270 000	-0,63%
Board of appeal	2 544	20 000	17 000	17 000	-15,00%
Communications including translations	88 282	193 000	106 000	100 000	-48,19%
Management Board and management of the Agency	76 366	155 000	155 000	140 000	-9,68%
Missions	45 903	67 400	50 000	35 000	-48,07%
External training					
Cooperation with international organisations for IT programs					
Earmarked operations	1 364				
Title 5 - Operational expenditure	301 500	449 130	333 000	333 000	-25,86%
Studies and consultants	26 429	35 000	85 000	85 000	142,86%

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Advice and assistance through guidance and helpdesk	8 028				
Scientific IT tools	191 460	223 230	220 000	220 000	-1,45%
Meeting with the dans and expert groups on PIC implementation	54 199				
Communications including translations	10 109	177 500	15 000	15 000	-91,55%
Missions	11 275	13 400	13 000	13 000	-2,99%
External training					
Title 9 - Reserve					
TOTAL	99 050 991	115 168 730	106 802 841	106 640 309	-7,41%

3.2 Financial Resources (REACH / CLP)

3.2.1 Revenues (REACH / CLP)

REVENUES	Revenues (REACH / CLP)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	185 995 770	97 286 950	19 384 000	23 384 000	-75,96%
2 EU CONTRIBUTION		7 800 000	76 805 000	72 805 000	833,40%
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		231 660	2 327 000	2 162 309	833,40%
- Of which EEA/EFTA (excl. Switzerland)		231 660	2 327 000	2 162 309	833,40%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	1 866 126	425 000			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	187 861 896	105 743 610	98 516 000	98 351 309	-6,99%

3.2.2 Expenditure (REACH /CLP)

3.2.2.1 Commitment appropriations (REACH / CLP)

EXPENDITURE	Commitment appropriations (REACH / CLP)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	66 282 157	61 438 640	60 345 000	60 345 000	-1,78%
Salaries & allowances	61 085 695	55 455 700	54 655 000	54 655 000	-1,44%
- Of which establishment plan posts	55 222 728	48 787 700	48 951 006	48 951 006	0,33%
- Of which external personnel	5 862 967	6 668 000	5 703 994	5 703 994	-14,46%
Expenditure relating to Staff recruitment	735 837	761 300	725 000	725 000	-4,77%
Mission expenses	40 924	54 300	40 000	40 000	-26,34%
Socio-medical infrastructure	1 681 573	1 978 940	1 972 000	1 972 000	-0,35%
Training	1 033 191	1 148 800	1 150 000	1 150 000	0,10%
External Services	1 699 159	2 021 600	1 785 000	1 785 000	-11,70%
Receptions, events and representation	5 778	18 000	18 000	18 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	13 029 023	15 831 950	13 295 000	13 295 000	-16,02%
Rental of buildings and associated costs	6 909 400	7 851 490	6 997 000	6 997 000	-10,88%
Information, communication technology and data processing	5 528 772	6 717 160	5 734 000	5 734 000	-14,64%
Movable property and associated costs	260 576	850 440	263 000	263 000	-69,07%
Current administrative expenditure	316 748	407 060	292 000	292 000	-28,27%
Postage / Telecommunications					
Meeting expenses	13 527	5 800	9 000	9 000	55,17%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	22 989 082	28 450 000	25 384 000	25 219 309	-11,36%
Registration, datatsharing and dissemination	456 373	660 000	562 000	562 000	-14,85%
Evaluation	2 239 090	2 230 000	2 120 000	2 120 000	-4,93%

EXPENDITURE	Commitment appropriations (REACH / CLP)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Risk Management	489 557	758 500	735 000	735 000	-3,10%
Classification and labelling	44 145	45 000	45 000	45 000	0,00%
Advice and assistance through guidance and helpdesk	136 153	227 000	189 500	189 500	-16,52%
Scientific IT tools	11 916 155	13 729 500	12 062 500	11 897 809	-13,34%
Scientific and technical advice to EU institutions	145 076	361 000	300 000	300 000	-16,90%
Committees and Forum	1 495 122	2 656 500	3 010 000	3 010 000	13,31%
Board of appeal	65 621	115 500	96 000	96 000	-16,88%
Communications including translations	2 872 316	3 330 000	3 117 000	3 117 000	-6,40%
International cooperation					
Management Board and management of the Agency	1 091 591	1 288 000	1 232 000	1 232 000	-4,35%
Missions	488 030	612 200	500 000	500 000	-18,33%
External training					
Refunds		2 000 000			-100%
Committees and Forum (multiannual)	114 521	316 800	315 000	315 000	-0,57%
Cooperation with international organisations	1 333 539	120 000	1 100 000	1 100 000	816,67%
IPA programme 2009/214-524					
IPA programme 2012/291-934	101 793				
Title 9 - Reserve					
TOTAL	102 300 262	105 720 590	99 024 000	98 859 309	-6,49%

3.2.2.2 Payment appropriations (REACH / CLP)

EXPENDITURE	Payment appropriations (REACH / CLP)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	65 798 323	61 438 640	60 345 000	60 345 000	-1,78%
Salaries & allowances	61 085 677	55 455 700	54 655 000	54 655 000	-1,44%
- Of which establishment plan posts	55 222 710	49 537 500	48 951 006	48 951 006	-1,18%
- Of which external personnel	5 862 967	5 918 200	5 703 994	5 703 994	-3,62%
Expenditure relating to Staff recruitment	719 975	761 300	725 000	725 000	-4,77%
Mission expenses	39 116	54 300	40 000	40 000	-26,34%

EXPENDITURE	Payment appropriations (REACH / CLP)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	1 556 084	1 978 940	1 972 000	1 972 000	-0,35%
Training	874 488	1 148 800	1 150 000	1 150 000	0,10%
External Services	1 517 912	2 021 600	1 785 000	1 785 000	-11,70%
Receptions, events and representation	5 071	18 000	18 000	18 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	11 407 602	15 831 950	13 295 000	13 295 000	-16,02%
Rental of buildings and associated costs	6 767 298	7 851 490	6 997 000	6 997 000	-10,88%
Information, communication technology and data processing	4 290 230	6 717 160	5 734 000	5 734 000	-14,64%
Movable property and associated costs	149 032	850 440	263 000	263 000	-69,07%
Current administrative expenditure	187 686	407 060	292 000	292 000	-28,27%
Postage / Telecommunications					
Meeting expenses	13 356	5 800	9 000	9 000	55,17%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	13 752 788	28 477 700	24 876 000	24 711 309	-13,23%
Registration, datatsharing and dissemination	239 457	660 000	562 000	562 000	-14,85%
Evaluation	336 181	2 230 000	2 120 000	2 120 000	-4,93%
Risk Management	263 444	758 500	735 000	735 000	-3,10%
Classification and labelling	44 145	45 000	45 000	45 000	0,00%
Advice and assistance through guidance and helpdesk	132 427	227 000	189 500	189 500	-16,52%
Scientific IT tools	7 595 005	13 729 500	12 062 500	11 897 809	-13,34%
Scientific and technical advice to EU institutions	118 983	361 000	300 000	300 000	-16,90%
Committees and Forum	947 187	2 656 500	3 010 000	3 010 000	13,31%
Board of appeal	24 821	115 500	96 000	96 000	-16,88%
Communications including translations	2 254 649	3 330 000	3 117 000	3 117 000	-6,40%

EXPENDITURE	Payment appropriations (REACH / CLP)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
International cooperation					
Management Board and management of the Agency	829 339	1 288 000	1 232 000	1 232 000	-4,35%
Missions	469 109	612 200	500 000	500 000	-18,33%
External training					
Refunds		2 000 000			-100%
Committees and Forum (multiannual)	10 613	102 500	115 000	115 000	12,20%
Cooperation with international organisations	385 635	362 000	792 000	792 000	118,78%
IPA programme 2009/214-524					
IPA programme 2012/291-934	101 793				
Title 9 - Reserve					
TOTAL	90 958 713	105 748 290	98 516 000	98 351 309	-6,99%

3.2.3 Budget Outturn (REACH / CLP)

Not applicable.

3.3 Financial Resources (BIOCIDES)

3.3.1 Revenues (BIOCIDES)

REVENUES	Revenues (BIOCIDES)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	1 265 774	2 243 574	1 536 160	1 791 000	-20,17%
2 EU CONTRIBUTION	5 064 194	5 789 000	5 435 000	5 000 000	-13,63%
- Of which assigned revenues deriving from previous years' surpluses				330 372	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	152 205	165 866	164 681	347 000	109,21%
- Of which EEA/EFTA (excl. Switzerland)	152 205	165 866	164 681	347 000	109,21%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	1 528				
- Of which interest generated by funds paid by the Commission by way of the EU contribution					

REVENUES	Revenues (BIOCIDES)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
<i>(FFR Art. 58)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	1 244 421				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	7 728 122	8 198 440	7 135 841	7 138 000	-12,93%

3.3.2 Expenditure (BIOCIDES)

3.3.2.1 Commitment appropriations (BIOCIDES)

EXPENDITURE	Commitment appropriations (BIOCIDES)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 551 558	5 985 330	5 180 841	5 088 000	-14,99%
Salaries & allowances	4 801 983	5 053 520	4 724 341	4 631 500	-8,35%
- Of which establishment plan posts	4 206 770	4 351 120	4 082 669	3 960 000	-8,99%
- Of which external personnel	595 213	702 400	641 672	671 500	-4,40%
Expenditure relating to Staff recruitment	98 991	113 300	89 000	89 000	-21,45%
Mission expenses	3 649	5 000	4 500	4 500	-10,00%
Socio-medical infrastructure	155 883	222 870	178 000	178 000	-20,13%
Training	78 374	129 100	91 000	91 000	-29,51%
External Services	412 146	459 540	92 000	92 000	-79,98%
Receptions, events and representation	532	2 000	2 000	2 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 191 853	1 094 040	969 000	1 150 000	5,11%
Rental of buildings and associated costs	639 354	417 670	372 000	483 000	15,64%
Information, communication technology and data processing	510 696	549 320	540 000	610 000	11,05%
Movable property and associated costs	23 496	95 800	30 000	30 000	-68,68%
Current administrative expenditure	17 668	30 600	26 000	26 000	-15,03%
Postage / Telecommunications					
Meeting expenses	639	650	1 000	1 000	53,85%
Running costs in connection with operational activities					

EXPENDITURE	Commitment appropriations (BIOCIDES)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 4 - Operational expenditure	710 335	1 119 070	986 000	900 000	-19,58%
Substances, products and technical equivalence					
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	46 685	72 400	78 000	28 000	-61,33%
Scientific IT tools	138 057	339 550	310 000	310 000	-8,70%
Scientific and technical advice to EU institutions	29 950				
Biocidal products Committee and Rapporteurs	199 838	271 720	270 000	270 000	-0,63%
Board of appeal	3 844	20 000	17 000	17 000	-15,00%
Communications including translations	150 086	193 000	106 000	100 000	-48,19%
Management Board and management of the Agency	87 708	155 000	155 000	140 000	-9,68%
Missions	52 803	67 400	50 000	35 000	-48,07%
External training					
Cooperation with international organisations for IT programs					
Earmarked operations	1 364				
TOTAL	7 453 746	8 198 440	7 135 841	7 138 000	-12,93%

3.3.2.2 Payment appropriations (BIOCIDES)

EXPENDITURE	Payment appropriations (BIOCIDES)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 467 342	5 985 330	5 180 841	5 088 000	-14,99%
Salaries & allowances	4 801 983	5 053 520	4 724 341	4 631 500	-8,35%
- Of which establishment plan posts	4 206 770	4 351 120	4 082 669	3 960 000	-8,99%
- Of which external personnel	595 213	702 400	641 672	671 500	-4,40%
Expenditure relating to Staff recruitment	98 917	113 300	89 000	89 000	-21,45%

EXPENDITURE	Payment appropriations (BIOCIDES)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Mission expenses	3 481	5 000	4 500	4 500	-10,00%
Socio-medical infrastructure	144 281	222 870	178 000	178 000	-20,13%
Training	66 567	129 100	91 000	91 000	-29,51%
External Services	351 645	459 540	92 000	92 000	-79,98%
Receptions, events and representation	468	2 000	2 000	2 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 054 362	1 094 040	969 000	1 150 000	5,11%
Rental of buildings and associated costs	628 789	417 670	372 000	483 000	15,64%
Information, communication technology and data processing	396 813	549 320	540 000	610 000	11,05%
Movable property and associated costs	13 848	95 800	30 000	30 000	-68,68%
Current administrative expenditure	14 289	30 600	26 000	26 000	-15,03%
Postage / Telecommunications					
Meeting expenses	623	650	1 000	1 000	53,85%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 4 - Operational expenditure	567 511	1 119 070	986 000	900 000	-19,58%
Substances, products and technical equivalence					
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	46 685	72 400	78 000	28 000	-61,33%
Scientific IT tools	116 056	339 550	310 000	310 000	-8,70%
Scientific and technical advice to EU institutions	14 950				
Biocidal products Committee and Rapporteurs	175 361	271 720	270 000	270 000	-0,63%
Board of appeal	2 544	20 000	17 000	17 000	-15,00%
Communications including translations	88 282	193 000	106 000	100 000	-48,19%

EXPENDITURE	Payment appropriations (BIOCIDES)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Management Board and management of the Agency	76 366	155 000	155 000	140 000	-9,68%
Missions	45 903	67 400	50 000	35 000	-48,07%
External training					
Cooperation with international organisations for IT programs					
Earmarked operations	1 364				
TOTAL	7 089 215	8 198 440	7 135 841	7 138 000	-12,93%

3.3.3 Budget Outturn (BIOCIDES)

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 330 371.

3.4 Financial Resources (PIC)

3.4.1 Revenues (PIC)

REVENUES	Revenues (PIC)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	1 297 224	1 222 000	1 151 000	1 151 000	-5,81%
- Of which assigned revenues deriving from previous years' surpluses	11 489	91 765	111 120	111 120	21,09%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	166				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	1 297 390	1 222 000	1 151 000	1 151 000	-5,81%

3.4.2 Expenditure (PIC)

3.4.2.1 Commitment appropriations (PIC)

EXPENDITURE	Commitment appropriations (PIC)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	554 874	601 810	674 500	674 500	12,08%
Salaries & allowances	508 114	486 600	548 000	548 000	12,62%
- Of which establishment plan posts	459 270	439 200	494 803	494 803	12,66%
- Of which external personnel	48 844	47 400	53 197	53 197	12,23%
Expenditure relating to Staff recruitment	3 899	23 600	41 000	41 000	73,73%
Mission expenses	521	700	600	600	-14,29%
Socio-medical infrastructure	22 156	26 710	26 600	26 600	-0,41%
Training	13 178	22 100	21 100	21 100	-4,52%
External Services	7 006	42 100	37 200	37 200	-11,64%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	170 009	171 060	143 500	143 500	-16,11%
Rental of buildings and associated costs	91 363	83 560	74 500	74 500	-10,84%
Information, communication technology and data processing	72 657	72 130	61 500	61 500	-14,74%
Movable property and associated costs	3 450	11 600	3 500	3 500	-69,83%
Current administrative expenditure	2 448	3 670	3 500	3 500	-4,63%
Postage / Telecommunications					
Meeting expenses	91	100	500	500	400,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 5 - Operational expenditure	470 032	449 130	333 000	333 000	-25,86%
Studies and consultants	48 951	35 000	85 000	85 000	142,86%
Advice and assistance through guidance and helpdesk	8 028				

EXPENDITURE	Commitment appropriations (PIC)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Scientific IT tools	335 218	223 230	220 000	220 000	-1,45%
Meeting with the dans and expert groups on PIC implementation	54 199				
Communications including translations	12 362	177 500	15 000	15 000	-91,55%
Missions	11 274	13 400	13 000	13 000	-2,99%
External training					
TOTAL	1 194 915	1 222 000	1 151 000	1 151 000	-5,81%

3.4.2.2 Payment appropriations (PIC)

EXPENDITURE	Payment appropriations (PIC)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	551 266	601 810	674 500	674 500	12,08%
Salaries & allowances	508 114	486 600	548 000	548 000	12,62%
- Of which establishment plan posts	459 270	439 200	494 803	494 803	12,66%
- Of which external personnel	48 844	47 400	53 197	53 197	12,23%
Expenditure relating to Staff recruitment	3 888	23 600	41 000	41 000	73,73%
Mission expenses	497	700	600	600	-14,29%
Socio-medical infrastructure	20 499	26 710	26 600	26 600	-0,41%
Training	11 491	22 100	21 100	21 100	-4,52%
External Services	6 777	42 100	37 200	37 200	-11,64%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	150 297	171 060	143 500	143 500	-16,11%
Rental of buildings and associated costs	89 818	83 560	74 500	74 500	-10,84%
Information, communication technology and data processing	56 388	72 130	61 500	61 500	-14,74%
Movable property and associated costs	1 978	11 600	3 500	3 500	-69,83%
Current administrative expenditure	2 024	3 670	3 500	3 500	-4,63%
Postage / Telecommunications					
Meeting expenses	89	100	500	500	400,00%

EXPENDITURE	Payment appropriations (PIC)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 5 - Operational expenditure	301 500	449 130	333 000	333 000	-25,86%
Studies and consultants	26 429	35 000	85 000	85 000	142,86%
Advice and assistance through guidance and helpdesk	8 028				
Scientific IT tools	191 460	223 230	220 000	220 000	-1,45%
Meeting with the dans and expert groups on PIC implementation	54 199				
Communications including translations	10 109	177 500	15 000	15 000	-91,55%
Missions	11 275	13 400	13 000	13 000	-2,99%
External training					
TOTAL	1 003 063	1 222 000	1 151 000	1 151 000	-5,81%

3.4.3 Budget Outturn (PIC)

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 111 120.

4 Justification of needs

Commission assessment

Human Resources

REACH/CLP: The total Establishment Plan Posts for REACH / CLP (420 posts) is in line with the Commission Communication COM(2013)519 of 10 July 2013 on the Programming of Human and Financial Resources for Decentralised Agencies 2014-2020.

The Commission therefore accepts the Agency's request.

As to the external staff, ECHA has requested 2 additional Contract Agents and 2 Seconded National Experts to take into account the increase of workload to prepare for the 2018 registration deadline. ECHA will use the additional contract agents only if the volume of registration and applications go beyond the current estimated level. The revision of the financial programming for the REACH/CLP section provides for a ceiling in the level of appropriations for the total EU contribution, within which any additional contractual agents and/or Seconded National Experts will have to be accommodated.

Biocides: Due to budgetary constraints and lower than expected fee based activity, the Commission proposes to limit the establishment plan posts to 36 TA, 9 CA and 1 SNE.

PIC: ECHA's request for 2016 on the establishment plan posts is in line with the figures of the Commission Communication COM(2013)519 of 10 July 2013 on the Programming of Human and Financial Resources for Decentralised Agencies 2014-2020.

Financial Resources

REACH/CLP: The EU balancing subsidy is adjusted to the level foreseen in the revised financial programming 2016-2020 EUR (i.e. EUR 72.805.000). The agency notified the Commission in 2014 of an increase of income from fees and charges which led to a reduction in the balancing subsidy as foreseen for 2016 in the Commission communication COM(2013)519. The balancing subsidy is relatively significant in 2016 given that the reserve built up from fees and charges income in the previous years has been exhausted and that the next and last registration deadline for chemical substances is in 2018.

Biocides: The total budget for the biocides is limited to EUR 7,1 million of which EUR 5 million is the EU subsidy. Revenue from fees is estimated at EUR 1,79 million.

PIC: ECHA's request for 2016 on the EU contribution follows the amount for the subsidy foreseen for 2016 in the Commission Communication of 10 July 2013 on the Programming of Human and Financial Resources for Decentralised Agencies 2014-2020.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (REACH / CLP)

A detailed account on the Agency's REACH/CLP staffing needs is available in the Multi-annual Staff Policy Plan 2016-2018. While the Agency workload will remain high, the Agency has to adapt to an overall reduction in staff resources in the year 2016. In 2016, ECHA will concentrate already on the preparations for the 2018 registration deadline by getting the REACH-IT ready with a multilingual support. Other areas of activities include a new wave of testing proposal examinations, continued implementation of ECHA's ambitious goals on compliance check, supported by other specific activities to improve the quality of data submitted by industry. In addition, ECHA will have its continued work on substance evaluations, the continued increase in work on authorisations, including the implementation of the new roadmap 2020 for identification of substances of very high concern (with their impact on the high need for opinion-making by the Committees), which all will generate competing needs for resources, in particular for scientific expertise.

The staffing policy of the Agency reflects the needs for a successful implementation of the Agency's strategic objectives and management of these core activities. ECHA recognises the number of posts in the Commission Communication for REACH/CLP of 420, even though this represents a significant constraint to the Agency's functioning and the possible achievement of the MAWP 2014-2018 objectives.

The estimated need for CAs is 2 additional FTEs and, for SNEs, an additional 2 FTEs higher than in 2015. The additional CA posts for 2016 will be used only if the volume of registrations and applications go beyond the current planning numbers.

4.1.1.2 Number of staff requested (BIOCIDES)

A detailed account on the Agency's Biocides staffing needs is available in the Multi-annual Staff Policy Plan 2016-2018. It is to be noted that the budget calculation has been done, not on the full establishment plan, but only on number of posts we are estimating to fill, namely 42 TA posts, 10 CA posts and 2 SNE, the remaining number of posts are estimated not to be filled. However, since the estimated number of arriving applications may be significantly higher than in ECHA's prudent estimations, once the Fee regulation is reviewed as required by law in 2015, it is requested to keep the vacant posts in the establishment plan to be able to adjust rapidly to the higher staffing needs (which is fee-based).

4.1.1.3 Number of staff requested (PIC)

A detailed account on the Agency's PIC staffing needs is available in the Multi-annual Staff Policy Plan 2016-2018. The number of TAs and the estimated number of CAs remains the same as in 2015.

4.1.2 Salary assumption for calculating salary line (% applied)

4.1.2.1 Salary assumption for calculating salary line (% applied) (REACH / CLP)

Salary costs have been calculated on the basis of the average salary costs of REACH staff in 2014. In addition, an estimated salary increase of 1.6% has been assumed. Based on historical data, an assumed rate of 4% for Temporary Agents and 8% for Contract Agents is applied in relation to the staff turnover. With reference to Article 83a (2) of the Staff Regulations, the Agency shall pay the part of the employers' pension contributions which corresponds to the

proportion of the Agency's revenues without the subsidy from the general budget of the European Union and to its total revenues. The amount of the agency contribution to the pension scheme for 2016 is estimated at EUR 1,6 million.

4.1.2.2 Salary assumption for calculating salary line (% applied) (BIOCIDES)

Salary costs have been calculated on the basis of the average salary costs of Biocides staff in 2014. A similar estimated salary increase and calculation method for pension contribution as above for REACH has been assumed.

4.1.2.3 Salary assumption for calculating salary line (% applied) (PIC)

Salary costs have been calculated on the basis of the average salary costs of PIC staff in 2014. A similar estimated salary increase as above for REACH has been assumed.

4.1.3 Vacancy rate of end 2014

4.1.3.1 Vacancy rate of end 2014 (REACH /CLP)

ECHA achieved its recruitment target for REACH/CLP posts for 2014, attaining a vacancy rate of 2%.

4.1.3.2 Vacancy rate of end 2014 (BIOCIDES)

The vacancy rate was 17% at the end of 2014 for Biocides TAs posts. This was due to the well-documented issues around the uncertainty of income and overall budget constraints.

4.1.3.3 Vacancy rate of end 2014 (PIC)

ECHA achieved its recruitment target for PIC posts for 2014 in full, and thus the vacancy rate was 0% as of end 2014 for TAs.

4.1.4 Standard abatement ('abatement forfaitaire') applied

4.1.4.1 Standard abatement ('abatement forfaitaire') applied (REACH / CLP)

n/a

4.1.4.2 Standard abatement ('abatement forfaitaire') applied (BIOCIDES)

n/a

4.1.4.3 Standard abatement ('abatement forfaitaire') applied (PIC)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (REACH /CLP)

The needs for Chapter 11 (salaries and allowances) amount to EUR 54 655 000 representing a decrease of approximately 1.4%. It is to be noted that contrary to 2015, the 2016 budget figure includes ECHA's share of the employer's part of the pension contribution, which is proportional to the own income foreseen and it amounts to approximately EUR 1 650 000. The rest of the staff related expenditure follow the same declining trend and are reduced to EUR 5 690 000, which represents a decrease of 1.6% compared to 2015. For a third consecutive year, the European Schooling expenses are included in the budget and they amount to approximately EUR 1 050 000.

Overall, the proposed amount for Title 1 appropriations is EUR 60 345 000 representing a decrease of 1.5%. Salaries represent 90.6% of the total budget, while other staff related expenditure is 9.4% of the total.

4.2.1.2 Title 1 (BIOCIDES)

The total amount for staff related costs in Biocides is estimated at EUR 5 180 841. Out of this amount, direct salary costs represent EUR 4 724 341 (91.2%) and other personnel costs EUR 456 500 (8.8%). This estimate may have to be revised upwards during the year should the number of applications significantly increase in line with the revised Fee regulation requiring thus additional staff. The employer's part of the pension contribution is estimated to be around EUR 143 000.

4.2.1.3 Title 1 (PIC)

The total amount for staff related costs in PIC is estimated at EUR 674 500. Out of this amount, direct salary costs represent EUR 548 000 (81.3%) and other personnel costs EUR 126 500 (18.7%).

4.2.2 Title 2

4.2.2.1 Title 2 (REACH / CLP)

The Title 2 for infrastructure and operating expenditure amounts to EUR 13 295 000 representing a decrease of 16% compared to 2015, mainly reflecting the assumed decrease in the expenses related to the building maintenance and the finalisation of investments in the areas of IT and audio-visual infrastructure. An amount of EUR 2 465 725 is allocated to the outsourced services necessary to operate the ICT infrastructure of the Agency. The second phase of the roll-out of the IT system to support HR management amounts to EUR 554 320, which is allocated to the different legislations based on the allocated staff resources. An estimated amount of EUR 360 000 is allocated for a future project on automation in planning and reporting for which a study will be completed in the first half of 2015.

4.2.2.2 Title 2 (BIOCIDES)

The Title 2 for infrastructure and operating expenditure amounts to EUR 969 000 representing a decrease of 11.4% compared to 2015, mainly reflecting the assumed decrease in the expenses related to the building maintenance and the finalisation of investments in the areas of IT and audio-visual infrastructure.

4.2.2.3 Title 2 (PIC)

The Title 2 for infrastructure and operating expenditure amounts to EUR 143 500 representing a decrease of 16% compared to 2015, mainly reflecting the assumed decrease in the expenses related to the building maintenance and the finalisation of investments in the areas of IT and audio-visual infrastructure.

4.2.3 Operational Title

4.2.3.1 Title 3 (REACH / CLP)

The year 2016 is crucial in ECHA's preparation for the last registration deadline of phase-in substances in 2018. ECHA will roll-out the new generation of its IT tools for dossier preparation (IUCLID, CHESAR) and submission (REACH-IT) that are much more user friendly and will provide the option for companies to acquire additional services with IUCLID to let ECHA store data and support its management. This IT support as well as easy access to updated registration guidance will allow efficient formation of substance information exchange forums (SIEFs), fair and transparent SIEF operation and coordinated registration efforts in the coming years.

ECHA's tools for dossier preparation will be complemented by industry tools developed under the chemical safety report/exposure scenario (CSR/ES) Roadmap facilitating the transfer of information on use and conditions of use from downstream actors to registrants, for submission to the authorities via the registrants' dossiers. Consequently, the biggest portion of the ECHA's operational expenditure continues to be used on the development and the maintenance of a wide range of IT systems supporting the REACH operations, corresponding to approximately 47.5% of the Title 3 budget.

The most significant IT investments and other expenditure relate to the prosecution of the implementation of the IT multi-annual planning of the Agency in the areas of:

- Development of the new generation of IT tools for REACH and CLP registrants: IUCLID 6, CHESAR 3, REACH-IT 3; such multi-annual project work will continue until a first release will be achieved in 2016
- Development of a completely re-engineered dissemination system to reorganise and extend the published information on chemicals whilst improving the search capabilities and the usability of the content
- Advance the platform to integrate data on chemicals sourced from all the ECHA databases which supports dissemination, access to data for Competent Authorities and Enforcement Authorities, data analysis and reporting
- Consolidate and extend the coverage of the case management systems to support all the internal regulatory processes of ECHA
- Complete the upgrade of the internal document management system started in 2014.

In light of the final REACH registration deadline in 2018, the number of companies having to comply with REACH requirements becomes larger and many of those companies will be small and inexperienced with the legislation. That brings increased demands on the communication function to reach out particularly to SMEs with more support and, where possible, provide them with simplified and shorter information making them understandable and easier to use. At the same time the awareness raising activities for general audiences (particularly consumers) on the safe use of chemicals and "right to ask" will continue.

Substance evaluation is continuing at the level of ca. 50 substances per year. The evaluation work is done by Member States Competent Authorities and in line with the Fee Regulation, a proportion of funds is transferred to them to compensate for part of the work carried out. The revised Management Board decision on the scale of payments foresees a gradual decrease in the scale of payments to Member States on evaluation, reflecting expected gains in efficiency. Hence the foreseen budget is also slightly smaller compared to previous years.

The expected high number of authorisation applications arriving at the end of 2015 or early 2016 will be challenging for the Secretariat as well as for the Risk Assessment and Socio-economic Analysis Committees from scientific and workload perspectives. The anticipated budget for the rapporteurs foresees payment appropriations necessary to cover contractual obligations from previous years as well as for contracts to be signed in 2016.

To achieve the ambitious targets of the Work Programme while at the same time staff resources are decreasing, ECHA will have to further improve its efficiency. In 2016, the Agency will continue investing in developing the IT systems to both serve the industry and Member States better but also to streamline administrative processes throughout the Agency. In 2015 and 2016 ECHA will still invest in supporting harmonisation and standardisation in industry with regard to use, risk management and exposure information.

4.2.3.2 Title 4 (BIOCIDES)

The main expenditure item in 2016 for Biocides is foreseen to be the one related to the Biocidal Products Committee, through which ECHA continues delivering opinions for the European Commission to support decision making on biocidal active substances and products. ECHA is not only coordinating the evaluation of active substances and the Union wide authorisation of biocidal products but is also the central hub for all applications, establishment of technical equivalence, assessment of applications for alternative suppliers, resolution of data sharing disputes, dissemination, preparation of guidance, and communication. A significant amount is also foreseen for the maintenance of the already developed IT tools and for the cross-cutting management activities aiming amongst others at further improving the Agency's efficiency. Finally, ECHA keeps duty holders and national authorities abreast with developments via its communications, Helpdesk and HelpNet activities.

4.2.3.3 Title 5 (PIC)

The biggest part of the PIC budget for 2016 is foreseen for the maintenance of the IT tools related to the support of the export notifications and the import consents. Other main parts are used for related studies and consultancy services with national authorities.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (REACH / CLP)

N/A

4.3.2 Ad hoc grants and delegation agreements (BIOCIDES)

N/A

4.3.3 Ad hoc grants and delegation agreements (PIC)

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Annankatu18 Lönrotinkatu12 Bulevardi7	
Surface area (in square metres)	Total: 25,656	Office space :
Of which office space	17,586	Annankatu - 14726
Of which non-office space	8,070	Lönrotinkatu- 438

		Bulevardi- 2 422 Non-office space : Annankatu- 7,222 Lönrotinkatu- 848
Annual rent (in EUR)	EUR 6 408 084	
Type and duration of rental contract	Rental contract until 31 December 2019	
Host country grant or support	No	
Present value of the building	The Agency does not own the building	

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption via reimbursement	Diplomatic status to all non-Finnish Directors	
	- Protocol of Privileges and Immunities	- Access to the Finnish day care system without paying social security contributions at a fee fixed at a level equivalent to that of the local population
	- Exemption of vehicle surtax	

5.3 European schools

In reference to the Commission's Decision C(2013)4886 of 01/08/2013 and additional written communication provided by the Commission in respect to allocation of costs related to Type II European Schools, ECHA's 2016 DB includes the European School contribution amounts related to ECHA's staff pupils.

5.4 Evaluation

An evaluation was carried out in 2011 and a final report on a review of ECHA conducted by PriceWaterhouse was made available in March 2012.

A new evaluation of the agency is expected to be carried out during 2015 to cover the time period of 2011-2015.

2.2.1.1.2 European GNSS Agency - GSA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 912/2010 of the European Parliament and of the Council setting up the European GNSS Agency, repealing Council Regulation (EC) No 1321/2004 on the establishment of structures for the management of the European satellite radio navigation programmes and amending Regulation (EC) No 683/2008 of the European Parliament and of the Council	22/09/2010	To bring the provisions of Council regulation No 1321/2004 in line with those of regulation (EC) n°683/2008. New task: the operation of the Galileo security centre. To set up the European GNSS Agency.
GNSS Regulation No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council.	20/12/2013	New governance for the exploitation phase of the GNSS programmes. GSA to become responsible for the exploitation of EGNOS and Galileo as from 01/01/2014. Preparatory actions were required in 2013.
Regulation (EU) No 512/2014 of the European Parliament and of the Council of 16 April 2014 amending Regulation (EU) No 912/2010 setting up the European GNSS Agency	20/05/2014	Exploitation of EGNOS and Galileo systems. With the provisions of initial services, the agency will progressively manage exploitation related activities including development activities and the operation of the first constellation of satellites. GSA will ensure coordination of all the tasks related to the exploitation of the systems such as maintenance, operations, service provision and implementation of future generation of systems, as well as supporting the definition of systems requirements for future systems by providing feedback on changing operational needs and users' requirements.

1.2 Seat

Prague, Czech Republic

1.3 Budget Line

02 05 11 : European GNSS Agency

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	91	90	97	108
Establishment plan posts : AST	5	5	5	5
Establishment plan posts : AST/SC				
Total Establishment plan posts	96	95	102	113
Contract Agents	33	33	27	33
Seconded National Experts	2	2	2	2
TOTAL STAFF	131	130	131	148

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	26 840 192	28 350 000
Additional EU funding: ad hoc grants and Delegation agreements	356 448 829	633 575 000
Other Revenue	766 223	810 810
TOTAL REVENUES	384 055 244	662 735 810

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	13 860 115	13 860 115	14 952 000	14 952 000
Title 2 - Infrastructure and operating expenditure	5 760 000	5 760 000	5 171 204	5 171 204
Title 3 - Operational expenditure	7 986 300	7 986 300	9 037 606	9 037 606
TOTAL EXPENDITURE	27 606 415	27 606 415	29 160 810	29 160 810

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13						1		1		1
AD 12		3		2		4		5		5
AD 11		3		2		5		5		5
AD 10		5		6		11		12		12
AD 9		10		10		10		12		12
AD 8		23		19		25		30		30
AD 7		37		36		32		34		34

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 6		7		12		6		8		8
AD 5		2		2		2		0		0
AD TOTAL		91		90		97		108		108
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6						1		1		1
AST 5		2		1		2		2		2
AST 4		1		1		1		1		1
AST 3		1		2						
AST 2		1				1		1		1
AST 1				1						
AST TOTAL		5		5		5		5		5
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		96		95		102		113		113
GRAND TOTAL		96		95		102		113		113

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	21	22	17	23
Function Group III	10	8	8	7
Function Group II	2	3	2	3
Function Group I				
TOTAL	33	33	27	33

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	2	2	2	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	24 651 118	26 840 192	28 350 000	28 350 000	5,63%
- Of which assigned revenues deriving from previous years' surpluses	64 118	49 191	461 600	461 600 (2)	838,38%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	717 940	766 223	810 810	810 810	5,82%
- Of which EEA/EFTA (excl. Switzerland)	717 940	766 223	810 810 (1)	810 810	5,82%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	626 262 130	356 448 829	633 575 000	633 575 000	77,75%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)	626 262 130	356 448 829	633 575 000	633 575 000	77,75%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	651 631 188	384 055 244	662 735 810	662 735 810	72,56%

(1) Norway contribution has been calculated based on current ratio of 2,86 % used for 2015 as ratio for 2016 is not yet available

(2) The amount of 2014 surplus is EUR 1 301 775, which includes EUR 840 102 interests generated by the delegated amounts. These interests will not be taken into account into the calculation of the fresh credits. Only EUR 461 600 has been deducted from the financial programming, leading to a total of fresh credits for 2016 of EUR 27 888 400.

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 223 341	13 860 115	14 952 000	14 952 000	7,88%
Salaries & allowances	9 646 842	11 438 115	12 500 000	12 500 000	9,28%
- Of which establishment plan posts	9 646 842	11 438 115	9 963 027	9 963 027	-12,90%
- Of which external personnel			2 536 973	2 536 973	100%
Expenditure relating to Staff recruitment	110 400	120 000	130 000	130 000	8,33%
Mission expenses	1 143 340	1 440 000	1 440 000	1 440 000	0,00%
Socio-medical infrastructure		60 000	60 000	60 000	0,00%
Training	322 499	200 000	220 000	220 000	10,00%
External Services		600 000	600 000	600 000	0,00%
Receptions, events and representation	260	2 000	2 000	2 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 364 293	5 760 000	5 171 204	5 171 204	-10,22%
Rental of buildings and associated costs	2 187 627	3 100 000	3 040 000	3 040 000	-1,94%
Information, communication technology and data processing	2 776 134	1 200 000	916 204	916 204	-23,65%
Movable property and associated costs	245 417	220 000	100 000	100 000	-54,55%
Current administrative expenditure	856 493	900 000	800 000	800 000	-11,11%
Postage / Telecommunications	242 122	275 000	250 000	250 000	-9,09%
Meeting expenses	56 500	65 000	65 000	65 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 781 423	7 986 300	9 037 606	9 037 606	13,16%
Operations and studies	4 021 559	3 690 000	3 937 606	3 937 606	6,71%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Security accreditation expenditure	1 050 000	1 400 000	1 400 000	1 400 000	0,00%
Galileo Security Monitoring Centres (GSMCs)	2 709 864	2 896 300	3 700 000	3 700 000	27,75%
TOTAL	25 369 057	27 606 415	29 160 810	29 160 810	5,63%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 223 341	13 860 115	14 952 000	14 952 000	7,88%
Salaries & allowances	9 646 842	11 438 115	12 500 000	12 500 000	9,28%
- Of which establishment plan posts	9 646 842	11 438 115	9 963 027	9 963 027	-12,90%
- Of which external personnel			2 536 973	2 536 973	100%
Expenditure relating to Staff recruitment	110 400	120 000	130 000	130 000	8,33%
Mission expenses	1 143 340	1 440 000	1 440 000	1 440 000	0,00%
Socio-medical infrastructure		60 000	60 000	60 000	0,00%
Training	322 499	200 000	220 000	220 000	10,00%
External Services		600 000	600 000	600 000	0,00%
Receptions, events and representation	260	2 000	2 000	2 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 364 293	5 760 000	5 171 204	5 171 204	-10,22%
Rental of buildings and associated costs	2 187 627	3 100 000	3 040 000	3 040 000	-1,94%
Information, communication technology and data processing	2 776 134	1 200 000	916 204	916 204	-23,65%
Movable property and associated costs	245 417	220 000	100 000	100 000	-54,55%
Current administrative expenditure	856 493	900 000	800 000	800 000	-11,11%
Postage / Telecommunications	242 122	275 000	250 000	250 000	-9,09%
Meeting expenses	56 500	65 000	65 000	65 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 781 423	7 986 300	9 037 606	9 037 606	13,16%
Operations and studies	4 021 559	3 690 000	3 937 606	3 937 606	6,71%
Security accreditation expenditure	1 050 000	1 400 000	1 400 000	1 400 000	0,00%
Galileo Security Monitoring Centres (GSMCs)	2 709 864	2 896 300	3 700 000	3 700 000	27,75%
TOTAL	25 369 057	27 606 415	29 160 810	29 160 810	5,63%

3.3 Budget Outturn

The estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 461 600 related to core budget activities.

The total estimate of budget outturn, covering both core and delegated activity is EUR 1 301 775.73, which includes EUR 840 102 interests generated by the delegated amounts. These interests will not be taken into account into the calculation of the fresh credits. Therefore only EUR 461 600 would need to be reimbursed to the EU budget and have been deducted from the Financial Programming, leading to a total of fresh credits of EUR 27 888 400.

4 Justification of needs

Commission assessment

In the light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

In line with the Legislative Financial Statement (LFS) and with the Commission Communication for the Establishment Plan posts. Regarding contractual agents, it can be mentioned that the financial coverage of the increase of the contractual agents request is financed with the funds made available by the continuous decrease of the Czech Republic coefficient.

Financial Resources

Total budget in line with the LFS and with the Commission Communication. The Title III of the 2016 budget has been increased compared to the LFS while Title I and II have been reduced.

4.1 Human Resources - Agency Request

.1.1 Number of staff requested

The number of staff requested for 2016 respects the ceiling proposed by the Commission Communication on the programming of human and financial resources for decentralised agencies for 2014-2020. Recruitments are required to manage the increasing workload and tasks associated mainly with the Delegation Agreements for EGNOS, GALILEO and Horizon 2020 (all signed in 2014). In 2016, in addition to its own operational budget, the Agency will manage a significant volume of contracts and grants (approximately 110 grant agreements in 2016) delegated by the Commission. Growth in staff in 2016 is therefore concentrated on Exploitation and the Galileo Security Monitoring Centres.

The delegated budget for Horizon 2020 in 2014 was EUR 67.13 million and with an additional EUR 27 million for 2015. In 2016, the Agency will be responsible for managing approximately 50 H2020 research grants, and approximately 90 to 100 external experts/reviewers. No staff has been granted to the GSA to manage this delegated activity. As a result, the GSA will carry out internal staff redeployment (TAs) and hire additional short term contractual staff (CAs).

The EGNOS Delegation Agreement was signed in April 2014 with a total of EUR 1.45 billion foreseen for the period 2014-2021. In 2016 the EGNOS DA will be updated to include funding for v3 initial operations and maintenance. The Galileo Delegation Agreement was signed in October 2014 for EUR 490 million for the period up to 2021, with a

revision also foreseen in 2016. The main GNSS Programme milestones for 2016 are: Continuation of EGNOS services provision and development of two major EGNOS System releases (V2.4.2 and V3) with support through the GSA-ESA EGNOS Exploitation Working Arrangement; signature of contract for a new GEO transponder service; signature of grants in support of EGNOS exploitation; signature of Galileo Service Operator procurement and finalisation of preparation of future system engineering and ground segment procurement with support through the GSA-ESA Galileo Exploitation Working Arrangement; development of Galileo Reference Centre activities and handover of SAR activities from EC to GSA; signature of grants in support of Galileo exploitation.

Contract management will still be on-going in 2016 for the PRS (Public Regulated Service) Delegation Agreement which was signed in 2011 for a total of EUR 11.6 million and FP7 (Seventh Framework Programme) calls which were signed in 2011 for an initial budget of EUR 29.4 million and an additional EUR 1.8 million in 2012 (with approximately 20 grants to be closed financially and administratively and ex-post audits to be carried out).

In short, the total staff of 113 TAs for 2016 will be responsible for managing new operational budget of approximately EUR 643 million plus the management of open contracts and grants. This is made up of approximately EUR 634 million of delegated operational budget and EUR 9 million of core operational budget (on Title3)

4.1.2 Salary assumption for calculating salary line (% applied)

Salary assumptions are based on existing real costs of the GSA per grade and staff. Current correction coefficients for the place of living have been applied for the staff located in the Czech Republic, France and the United Kingdom. Part of the staff costs are linked to the installation and one-off costs for all new staff, in accordance with the Staff Regulations of Officials of the European Communities and Conditions of Employment of other Servants of the European Communities.

4.1.3 Vacancy rate of end 2014

As of 31/12/2014 the vacancy rate was 0%

100% execution rate on the Establishment Plan as there were no unfilled posts.

4.1.4 Standard abatement ('abatement forfaitaire') applied

For the calculation costs of new recruits, estimates are based on previous recruitment experience and a detailed analysis per individual staff member. As an average, the Agency estimates 1 July 2016 as the date of entry into service of new staff, taking into account the current effectiveness of our recruitment procedures and the current availability of several valid reserve lists.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The new GNSS Regulation adopted by the Legislative Authority in December 2013 introduced a new governance structure for the EGNOS and Galileo programmes under which the GSA became the entity responsible for the exploitation, under delegation by the European Commission, of both systems as of 01/01/2014. It is imperative that the GSA is appropriately staffed to be able to deliver effective results and avoid delays or disruption of services. The Agency is expected to grow with 11 more Temporary Agents in 2016 in order to ensure sufficient staffing for GNSS exploitation and the efficient management of the Galileo Security Monitoring Centres in France and the United Kingdom and all other delegated funds.

Overall, staff costs are expected to rise by approximately 7.9% with planned staff growth of 10.8% for Establishment Plan posts and 17.2% taking into account all staff including external personnel. The new posts to be recruited will be of a very technical nature requiring highly qualified staff and are anticipated at appropriate starting grades. Multilingual tuition costs for the children increase in proportion to the number of staff and are estimated based on a thorough analysis and extrapolation of present-day costs.

It should be noted that mission costs remain stable, despite increasing staff figures, due to increasingly efficient mission management with videoconferencing being utilised wherever appropriate and due to effective advance planning of missions. Training costs for staff also remain relatively stable as effective and financially efficient training methods have been continuously sought by the Agency, such as grouping training sessions for staff, where appropriate, holding training on-site in order to avoid mission costs and taking advantage wherever possible of "in-house" training, passing on knowledge effectively between staff members.

4.2.2 Title 2

In January 2015 the Agency took over the entire building in Prague which it was previously sharing with the Czech Ministry of Finance. The actual rent remains a symbolic 1 € annually for the first five years of the lease agreement (i.e. until September 2017), however overhead costs will increase significantly from 2015 onwards, with utility consumption costs expected to increase by at least 50%. Facility management, reception and security services will also increase significantly as not only were these costs previously shared with the Czech Ministry of Finance, but security provisions must be strengthened notably with the building now being used to its full extent by the GSA.

The Galileo Security Monitoring Centres in St. Germain en Laye (France) and Swanwick (United Kingdom) are a core element of the Galileo system, responsible for detecting and responding to events impacting on the security of Galileo and it is imperative that the GSMCs are adequately resourced in order to minimise any risks which could threaten the future of the entire Galileo system. In order to ensure the daily operation of both centres, the GSA must meet costs for the facility management, hosting services and consumption costs.

Significant investments in data processing for all sites in 2014 meant that overall expenditure is expected to decrease both in 2015 and 2016. Current administrative costs are expected to remain relatively stable, taking into account the higher number of staff, indexation and inflation of administrative contracts.

The fact that the GSA has three separate locations places a necessary budgetary burden upon the Agency in terms of administrative costs, however new IT applications and videoconferencing have been set up by the Agency in order to limit rising costs. A 1% reduction in the regular administrative costs of the Agency has already been applied for 2016 in the GSA revised financial statement annexed to the GSA Regulation.

For a more detailed justification of the trend on individual budget lines, please see below:

Rental of buildings: Costs were higher than previous years in 2014 due to the set-up of two new locations for the Galileo Security Monitoring Centres in France and the UK. Overall expenditure on buildings in 2015 was estimated to remain at a similar level due to the GSA taking over the whole Prague building on 1 January 2015 which until that point was shared with the Czech Ministry of Finance. This is due in particular to increased utility, security, guarding, reception and facility management costs. However, the Agency requested and expected to receive delegated budget in 2015 from the European Commission to cover GSMC expenses linked to site management. As this has not materialised, the full EFTA contribution for 2015 will be allocated to GSMC building costs, increasing significantly the figure requested in the Agency's draft budget for 2015. For 2016, expenditure will remain relatively stable in comparison to these increased 2015 costs, but also taking into account inflation and indexation and new requirements for the management of security systems. Currently all security systems are maintained by the Czech Ministry of Finance as a part of the Lease Agreement, however it is essential that the GSA has full control over these systems in the future.

Data processing: Significant investments were made in 2014, with reduced ICT needs for both 2015 and 2016 as a result.

Movable property: Further large investments into furniture were necessary in 2014 and lesser investments will be called for in 2015 to cover the take-over of the whole building from the beginning of 2015 (due to which the GSA had to return furniture which hitherto had been on loan from the Czech authorities) and also to cover new recruitments. Far lower costs are envisaged in 2016, mainly to cover the needs for new recruitments and maintenance on current furniture.

Current admin: Costs are expected to remain relatively stable, while taking into account inflation. External support in the form of interim agents, trainees and external legal advice was necessary in 2014 and this will be the case also in 2015 and 2016.

Postage/telecommunications: Overall expenses are relatively stable. Videoconferencing and SIP that was originally planned for 2014 has had to be moved to 2015 due to delays in the procurement procedure for legal reasons. Postage and telecommunication costs are likely to rise in proportion to new staff numbers over the years, however overall expenses are stable.

4.2.3 Title 3

Title 3 operational core budget covers the three core tasks of the Agency as stipulated in the GNSS Regulation 1285/2013.

1. Preparation for the commercialisation and awareness of the European GNSS

The milestones mentioned in section 4.1.1 above will require intense communication and promotion activities towards industry and all GNSS stakeholders. These activities include sector specific event support and promotion of EGNOS and Galileo in aviation, high precision, agriculture, road, maritime, rail and LBS markets; participation in events related to PRS uptake, E-communication and publications, advertising and video to represent and increase visibility of the programmes. Market development tasks in 2016 will concentrate primarily on increasing Galileo and EGNOS penetration in all market segments, monitoring the market and technology and implementing User Relationship Management ensuring EGNSS user satisfaction.

These items of operational expenditure under which the Agency has a mandate (market development applications, contribution to the commercialisation and awareness of Galileo and EGNOS, communication activities) are expected to require a similar budget to that of 2015 – EUR 3.6 million.

2. Security Accreditation

As far as the Galileo Security Accreditation activities are concerned, out of a total budget request of EUR 1,4 million, approximately EUR 360 000 is foreseen to support Member States via reimbursements of missions and meetings related to Security Accreditation, Flight Key Cell and Crypto Distribution Authority actions. The remaining budget will be used for accreditation support contracts to perform technical activities linked to the security accreditation process and, in particular, to the on-going preparation of the upcoming Galileo system accreditation milestones e.g. Authorisations to Launch (ATL). This includes: review of technical security documentation; analysis of system security risks and of risk treatment plans; analysis of the compliance with system security requirements; contribution to the drafting of accreditation documents and reports; contribution to independent security test plans and procedures; support for site accreditation; access to independent experts for in-depth technical reviews; support to the setting of new tasks of SAB associated with the new GSA regulation; and monitoring of the security perception and performance of the EU GNSS in the public domain and user communities.

These support contracts are essential in order to provide the expected level of support to the Security Accreditation Board, as stipulated by the current GSA Regulation 912/2010 amended by 512/2014. The operational costs of the security accreditation activities overall remain stable.

3. Galileo Security Monitoring Centres

For the Galileo Security Monitoring Centres (GSMCs), operational support contracts will be required in 2016 for an estimated amount of EUR 3.7 million. These support contracts will be crucial in ensuring operational, technical and security activities supporting GSMC services in 2016 from both GSMC sites (FR & UK).

In more specific terms, the contracts will be necessary to cover the following essential actions: GSMC external operational support services (e.g. operational engineering of procedures); Service Level Agreement with the EU CERT; Cyber Security Support to the Operations of the GSMC for covering 24/7 activities related to security monitoring; and GSMC external technical and security support services (e.g. maintenance and guarding of the buildings). The GSA also intends to invest EUR 0.3 million into Quality Management in 2016.

4.3 Ad hoc grants and delegation agreements

In 2016, in addition to its own operational core budget, the Agency will manage a significant volume of contracts and grants delegated by the European Commission. Delegated tasks are increasing significantly now that the Agency has become entrusted with the exploitation of the GNSS programmes (EGNOS and Galileo) and with the management of Horizon 2020 grants and contracts.

The delegated budget for Horizon 2020 was EUR 28,7 million for 2014 and EUR 65,3 million for 2015. The EGNOS Delegation Agreement (DA) was signed in April 2014 for a total delegated budget of EUR 1.45 billion foreseen for the period 2014-2021. Around 2016 the EGNOS DA will be updated to include funding for v3 initial operations and maintenance. The Galileo Delegation Agreement was signed in October 2014 for total budget of EUR 490 million up to 2021 with this amount also likely to be increased significantly during the course of 2016.

The anticipated planned amounts to be newly contracted under the above Delegation Agreements in 2015 and 2016 are as follows (table also includes actual committed amounts in 2014):

	2014	2015	2016
EGNOS	589,741,812	175,121,940	457,150,000
Galileo	7,772,207	115,945,000	176,425,000
Horizon 2020	28,748,111	65,381,889	Management of on-going grants and contracts
Total	626,262,130	356,448,829	633,575,000

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Prague, Czech Republic GSA Headquarters Janovskeho 438/2	
Surface area (in square metres) Of which office space Of which non-office space	14,176 sq.m. 3,925 sq.m. 10,251 sq.m.	Non-office space includes cafeteria, meeting rooms, conference room, technical areas, storage areas, a large entrance hall, stairs and corridors.
Annual rent (in EUR)	1 €	1 € rental fee for the first five years of the lease agreement (until September 2017). GSA to pay all related admin costs, IT and infrastructure
Type and duration of rental contract	Indefinite	
Host country grant or support	Yes	1 € rental fee only for first five years of the lease.
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	St. Germain en Laye, France GSMC	Galileo Security Monitoring Centre
Surface area (in square metres) Of which office space Of which non-office space	812.35 sq.m. 640.55 sq.m. 171.8 sq.m.	
Annual rent (in EUR)		No actual rent to be paid but GSA to cover associated costs such as utilities, facility management, security guards, furniture and IT
Type and duration of rental contract	Indefinite	
Host country grant or support	Partial support by MS	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	Swanwick UK	Galileo Security Monitoring Centre – NATS
Surface area (in square metres)	328.44 sq.m	
Of which office space	159.26 sq.m.	
Of which non-office space	169.18 sq.m.	
Annual rent (in EUR)		Hosting services and site manager to be paid by the GSA
Type and duration of rental contract	Indefinite	
Host country grant or support	Partial support by MS	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Prague: VAT exemption	Headquarters agreement granting VAT exemption up to 100,000 CZK p.a. (approx.. 3,600 EUR) but not diplomatic status (with the exception of the Executive Director)	Local infrastructure is used for medical care and multilingual tuition for children of staff.
St. Germain en Laye	No special privileges	Local infrastructure is used for medical care and multilingual tuition for children of staff.
Swanwick	No special privileges	

5.3 European schools

N/A

5.4 Evaluation

An evaluation of the agency is expected to be submitted to the Budgetary Authority by 2016.

2.2.1.1.3 European Foundation for the improvement of Living and Working conditions - EUROFOUND

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EEC) no 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions	26/05/1975	Contribute to the planning and establishment of better living and working conditions through action designed to increase and disseminate knowledge likely to assist this development. It should deal with the following issues: Man at work; organisation of work and particularly job design; problems peculiar to certain categories of workers; long-term aspects of improvement to the environment; distribution of human activities in space and time
Extension of activities	1977	Launch of the European Industrial Relations Observatory (EIRO) on a proposal from the European Commission adopted by the Administrative Board
Extension of activities	2001	Launch of the European Monitoring Centre on Change (EMCC) on a proposal from the European Commission endorsed by the Presidency Conclusions of the Nice European Council and adopted by the Administrative Board
Modification to the Founding Regulation	2003	Amendments to various administrative procedures and adjustment to new Financial Regulation; modification to governance provisions; adjustment to new Staff Regulations

1.2 Seat

Dublin, Ireland

1.3 Budget Line

04 03 11 : European Foundation for the Improvement of Living and Working Conditions

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	51	49	51	50
Establishment plan posts : AST	48	47	46	45
Establishment plan posts : AST/SC				
Total Establishment plan posts	99	96	97	95
Contract Agents	14	12	14	14
Seconded National Experts	0	0	0	0
TOTAL STAFF	113	108	111	109

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	20 371 000	20 371 000
Additional EU funding: ad hoc grants and Delegation agreements	300 000	

Other Revenue	189 000	189 000
TOTAL REVENUES	20 860 000	20 560 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	12 032 000	12 032 000	12 050 000	12 050 000
Title 2 - Infrastructure and operating expenditure	1 460 000	1 460 000	1 430 000	1 430 000
Title 3 - Operational expenditure	7 368 000	7 368 000	7 080 000	7 080 000
TOTAL EXPENDITURE	20 860 000	20 860 000	20 560 000	20 560 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15						1		1		1
AD 14		2		2		1		1		1
AD 13		3		3		4		4		4
AD 12	2	3	2	3	2	7	2	8	2	8
AD 11	1	5	1	5	1	5	1	5	1	5
AD 10	1	4		1	1	4	1	4	1	4
AD 9	1	3	1	1	1	3	1	3	1	3
AD 8	1	6	1	6	1	7	1	5	1	5
AD 7	1	6	2	4	2	5	2	5	2	5
AD 6	1	6		12		6		5		5
AD 5		5	1	4			0	1	0	1
AD TOTAL	8	43	8	41	8	43	8	42	8	42
AST 11										
AST 10		2		2		2		2		2

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 9		5		3		6		7		7
AST 8		6		3		7		8		8
AST 7		8		8		9	1	10	1	10
AST 6	2	4	1	5	2	2	3	0	3	0
AST 5	4	6	2	1	3	6	2	8	2	8
AST 4	2	3	1	6	2	1	1		1	
AST 3	1	2	1	4	1	1				
AST 2		1		4	1	1	1	1	1	1
AST 1	1	1	5	1	1	1	1	1	0	1
AST TOTAL	10	38	10	37	10	36	9	37	8	37
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	18	81	18	78	18	79	17	79	16	79
GRAND TOTAL	99		96		97		96		95	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	3	3	3	3
Function Group III	3	3 (1)	4	4
Function Group II	6	4 (2)	5	5
Function Group I	2	2	2	2
TOTAL	14	12	14	14

(1) One vacancy for Research Assistant for ERM – post converted from FG II post arising from retirement.

(2) One vacancy arising from a resignation in October 2014

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate (1)
TOTAL	0	0	0	0

(1) Excl. 2 SNE to be engaged in the pilot project 'On the Future of Manufacturing'

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	20 562 089	20 371 000	20 371 000	20 371 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	517 343		11 000	11 000	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS		300 000	p.m.	p.m.	-100%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)		300 000 (1)			-100%
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	55 872	17 000	17 000	17 000	0,00%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)		15 000	15 000	15 000	0,00%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	695 446	172 000	172 000	172 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 313 407	20 860 000	20 560 000	20 560 000	-1,44%

(1) EUR 300 000 represents IPA grant

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 124 486	12 032 000	12 050 000	12 050 000	0,15%
Salaries & allowances	11 125 089	10 897 000	10 960 000	10 960 000	0,58%
- Of which establishment plan posts	10 654 466	10 517 000	10 575 000	10 575 000	0,55%
- Of which external personnel	470 623	380 000	385 000	385 000	1,32%
Expenditure relating to Staff recruitment	85 421	139 000	124 000	124 000	-10,79%
Mission expenses	376 331	390 000	385 000	385 000	-1,28%
Socio-medical infrastructure	114 430	151 000	146 000	146 000	-3,31%
Training	120 371	130 000	120 000	120 000	-7,69%
External Services	302 844	325 000	315 000	315 000	-3,08%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 509 848	1 460 000	1 430 000	1 430 000	-2,05%
Rental of buildings and associated costs	698 316	747 000	737 000	737 000	-1,34%
Information, communication technology and data processing	623 937	500 000	500 000	500 000	0,00%
Movable property and associated costs	103 702	119 000	102 000	102 000	-14,29%
Current administrative expenditure	18 666	24 000	23 000	23 000	-4,17%
Postage / Telecommunications	65 227	70 000	68 000	68 000	-2,86%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 159 646	7 368 000	7 080 000	7 080 000	-3,91%
TOTAL	20 793 980	20 860 000	20 560 000	20 560 000	-1,44%

(1) Commitments made from C1, C4 and new R0 types of appropriations in 2014 (Includes C1: EUR 20 703 860, C4: EUR 38 311, R0: EUR 51 809).

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 035 071	12 032 000	12 050 000	12 050 000	0,15%
Salaries & allowances	11 125 089	10 897 000	10 960 000	10 960 000	0,58%
- Of which establishment plan posts	10 654 466	10 517 000	10 575 000	10 575 000	0,55%
- Of which external personnel	470 623	380 000	385 000	385 000	1,32%
Expenditure relating to Staff recruitment	76 121	139 000	124 000	124 000	-10,79%
Mission expenses	370 366	390 000	385 000	385 000	-1,28%
Socio-medical infrastructure	95 277	151 000	146 000	146 000	-3,31%
Training	87 211	130 000	120 000	120 000	-7,69%
External Services	281 007	325 000	315 000	315 000	-3,08%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 275 353	1 460 000	1 430 000	1 430 000	-2,05%
Rental of buildings and associated costs	635 264	747 000	737 000	737 000	-1,34%
Information, communication technology and data processing	471 173	500 000	500 000	500 000	0,00%
Movable property and associated costs	92 195	119 000	102 000	102 000	-14,29%
Current administrative expenditure	15 376	24 000	23 000	23 000	-4,17%
Postage / Telecommunications	61 345	70 000	68 000	68 000	-2,86%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 309 224	7 368 000	7 080 000	7 080 000	-3,91%
TOTAL	16 619 648	20 860 000	20 560 000	20 560 000	-1,44%

(1) Payments made from C1, C4 and new R0 types of appropriations in 2014 (includes EUR 16 578 476, C4: EUR 25 629, R0: EUR 15 543)

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 11 078,38 (rounded to EUR 11 000).

4 Justification of needs

Commission assessment

Commission assessment of agency request, in light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes a reduction of EUROFOUND's establishment plan in 2016 by 2 posts in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years. The Commission proposes to maintain the number of Contractual Agents at a stable level. i.e. 14 FTEs as in 2015.

Financial Resources

The EU contribution to EUROFOUND in 2016 is kept stable at the level authorised for 2015.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of staff requested is in line with the staff needs of previous years and taking into account EUROFOUND's commitment to reduce its staff members according to the overall reduction target of 5%. As in previous years EUROFOUND is opposing the Commission's 10% reduction target set for 'cruising-speed' agencies in order to create a redeployment pool for new agencies and agencies with new tasks. With the requested staff number of 96 in 2016 EUROFOUND fully complies with the 5% staff cuts imposed on all EU institutions and bodies. It should be noted that EUROFOUND – in line with its staffing policy as set out in the multi-annual staff policy plan – intends to reduce the number of permanent posts ('Officials') and to balance this decrease with a corresponding increase in the number of temporary posts.

4.1.2 Salary assumption for calculating salary line (% applied)

It is assumed that the new 'method' might lead to a small salary increase of about 1% after the previous years of 'salary freezes'.

4.1.3 Vacancy rate of end 2014

96 posts of the 97 posts available for 2015 were filled by the end of 2014. This corresponds to 99%.

4.1.4 Standard abatement ('abatement forfaitaire') applied

No abatement is applied for 2016 since it is planned to have all posts filled in 2015. There will also be a net reduction of 1 post over the course of 2016 and this is reflected in the requested staff table for 2016.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 shows a small increase of 0.15 %. Potential salary increases and promotions are expected to be balanced by staff reduction according to multi-annual staff policy plan and savings in other budget lines.

4.2.2 Title 2

Title 2 will be reduced by overall 2.1%. The most significant savings will impact expenditure for 'property and associated cost' such as IT purchases and furniture. The planning puts a lot of efforts to reduce the administrative expenditure in order to maintain the available budget for core operational activities.

4.2.3 Title 3

General appropriations available for Title 3 will be at the same level compared with 2015 on a like-for-like basis (i.e. not considering the IPA funds of EUR 300 000). While it is foreseen that EUROFOUND will avail of IPA funds again in 2016, they are not included in the draft budget for the time being whereas they are included in budget 2015.

4.3 Ad hoc grants and delegation agreements

The pilot project 'On the Future of Manufacturing' was adopted by the European Parliament in 2013. A delegation agreement between EUROFOUND and the Commission's Directorate-General for Internal Market, Industry, Entrepreneurship and SMEs (DG GROW) was drawn up and is about to be signed in the first half of 2015.

The total foreseen budget for the pilot project amounts to EUR 2 000 000 and it is envisaged to be implemented over 4 years. Pending signature of this delegation agreement, all related revenue and expenditure items in EUROFOUND's budget tables are considered with "p.m." entries.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	DUBLIN Main building & Conference centre	as of end 2014
Surface area (in square metres)	approx. 2 500sqm	
Of which office space		
Of which non-office space		
Annual rent (in EUR)		
Type and duration of rental contract		
Host country grant or support	n/a	
Present value of the building	EUR 1 561 032	

	Name, location and type of building	Other Comment
Information to be provided per building:	DUBLIN Loughlinstown House and grounds	
Surface area (in square metres)	approx. 500sqmand 13 acres of land	
Of which office space		
Of which non-office space		
Annual rent (in EUR)	EUR 250 000 were paid for a 99-year lease (from 2001 on)	
Type and duration of rental contract	99-year lease	
Host country grant or support	n/a	
Present value of the building	n/a	

	Name, location and type of building	Other Comment
Information to be provided per building:	BRUSSELS 18, avenue d'Auderghem	Brussels Liaison Office; w/o utilities (water, heating, etc.)
Surface area (in square metres)	100 sqm	
Of which office space		
Of which non-office space		
Annual rent (in EUR)	EUR 21.255	
Type and duration of rental contract		
Host country grant or support	n/a	

Present value of the building	n/a	
-------------------------------	-----	--

5.1.2 Building project in the planning phase

No new buildings or building extensions planned, no change of surface area.

5.1.3 Building projects submitted to the European Parliament and the Council

EUROFOUND does not plan any building projects likely to have significant financial implications which will be submitted to the European Parliament and the Council. Nor are there building projects submitted previously in accordance with Article 203 of the Financial Regulation.

5.2 Privileges and immunities

It should be noted that EUROFOUND is currently in the final stages of negotiations with the Irish government to conclude a comprehensive headquarters agreement. This will replace the different arrangements which are currently in place with different government services.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The agency has diplomatic status In common with other EU institutions. EUROFOUND is exempt from VAT.	Senior staff do not have diplomatic status but the Director is entitled to a Laisser-Passer to facilitate travel - however, this privilege is currently not availed of. The Protocol on privileges and immunities is applicable to all staff recruited under the staff regulation. This entitles them and their families to enter Ireland without being subject to the usual immigration procedures, for staff to travel to and from work without hindrance, for staff to transfer residence to Ireland without payment of import duty on their effects and motor cars. Staff are exempt from national income tax on their earnings on the basis that they are liable to a tax for the benefit of the Communities on salaries, wages and emoluments paid to them by the Communities. There are no VAT reimbursements for staff	There is no access to subsidised day care facilities for staff
EUROFOUND meets the full cost of office furniture, security and infrastructure. There is no contribution by ministries and regional governments	There are no symbolic local charges for non-residents Staff recruited from outside Ireland, may purchase up to two cars, without payment of tax or import charges, during the first year of employment in EUROFOUND.	Educational allowances are paid to staff in accordance with the provisions of the Staff regulations School fees are not paid directly by EUROFOUND. Staff sending their children to private schools may qualify for the non-flat rate education allowance (the child must be at least 6 years of age) in accordance with the provisions of the Staff regulations.
There is no installation subsidy Symbolic local charges do not exist in relation to EUROFOUND		

5.3 European schools

EUROFOUND is considering the establishment of a European School as part of the discussions on a seat agreement with the Irish Government.

5.4 Evaluation

The ex-post evaluation of EUROFOUND's 2009-2012 four year programme has been commissioned in mid-2014, and is expected to be finalised and submitted to the Budgetary Authorities in the first half of 2015.

The mandate of this evaluation is available on EUROFOUND's website, where all evaluation reports are also published. The final report will present the findings according to the structure of this evaluation mandate.

http://eurofound.europa.eu/sites/default/files/ef_files/about/publicaccess/documents/general/evaluationmandate2014.pdf

2.2.1.1.4 European Agency for safety and Health at work - EUOSHA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 2062/94	18/07/1994	Workers and employers need to be made aware of the risks that they face, and how to manage them. But health and safety bodies in the individual EU Member States cannot do this alone. That is why the European Agency for Safety and Health at Work was set up, in 1994.
Council Regulation (EC) No 1643/95	29/06/1995	Our mission is to make Europe's workplaces safer, healthier and more productive. We do this by bringing together and sharing knowledge and information, to promote a culture of risk prevention. Located in Bilbao, Spain, we have a dedicated staff of occupational safety and health (OSH), communication and administrative specialists. At the national level, we are represented through a network of focal points, which are usually the lead OSH bodies in the individual Member States. The Agency is a tripartite organisation, this means that we work with governments, employers and workers representatives. We are a single reference point for OSH information. We collect, analyse and disseminate technical, scientific and economic information on OSH risks. We share good practice, and communicate information in a variety of ways to reach workers and workplaces. Our awareness raising activities include our two-year Healthy Workplaces campaigns. We also provide information on our website, via our electronic newsletter OSH mail, and in a range of printed publications. We help to explain European legislation on OSH. And we look out for risks which may only be emerging, due to the fast pace of change in the workplace. Our European Risk Observatory aims to identify new and emerging risks. Initiatives such as the Agency's European Survey of Enterprises on New and Emerging Risks (ESENER) help to give an overview of safety and health at work in Europe, describe the trends and underlying factors, and anticipate changes in work and their likely consequences for safety and health. Additionally, The Observatory aims to stimulate debate and reflection among the Agency's stakeholders and to provide a platform for debate between policy-makers at various levels.
Council Regulation (EC) No 1654/2003	18/06/2003	Updating of the Agency's regulation in accordance with new EU financial and public document access provisions
Council Regulation (EC) No 1112/2005	24/06/2005	Modification of the Agency's government and management structures

1.2 Seat

Bilbao, Spain

1.3 Budget Line

04 03 12 : European Agency for Safety and Health at Work

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	24	23	24	24
Establishment plan posts : AST	19	17	18	17

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AST/SC				
Total Establishment plan posts	43	40	42	41
Contract Agents	24	24	24	24
Seconded National Experts	0	0	0	0
TOTAL STAFF	67	64	66	65

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 679 000	14 679 000
Additional EU funding: ad hoc grants and Delegation agreements		
Other Revenue	591 760	595 590
TOTAL REVENUES	15 270 760	15 274 590

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 606 200	5 606 200	5 750 100	5 750 100
Title 2 - Infrastructure and operating expenditure	1 371 755	1 371 755	1 334 950	1 334 950
Title 3 - Operational expenditure	8 292 805	8 292 805	8 189 540	8 189 540
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	p.m.	p.m.	p.m.	p.m.
TOTAL EXPENDITURE	15 270 760	15 270 760	15 274 590	15 274 590

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 14		1		1		1		1		1
AD 13		1		1		1		1		1
AD 12		1		1		1		2		2
AD 11		1		1		2		1		1
AD 10		3		2		2		3		3
AD 9		1		1		2		1		1
AD 8		6		5		6		7		7
AD 7		5		6		5		5		5
AD 6		5		5		4		3		3
AD 5										
AD TOTAL		24		23		24		24		24
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8										
AST 7		1				1		1		1
AST 6		1		1		2		3		3
AST 5		2		3		4		4		4
AST 4		8		8		5		4		4
AST 3		3		2		2		3		3
AST 2		2		2		3		2		1
AST 1		1								
AST TOTAL		19		17		18		18		17
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 1										
AST/SC TOTAL										
TOTAL		43		40		42		42		41
GRAND TOTAL	43		40		42		42		41	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014 (1)	2015 estimate	Draft Budget 2016 estimate
Function Group IV	1	2	2	2
Function Group III	13	12	12	12
Function Group II	10	10	10	10
Function Group I	0		0	
TOTAL	24	24	24	24

(1) In addition, 1 Local Agent post is occupied.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	0	0

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014 (2)	Budget 2015 (3)	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	13 812 992	14 679 000	14 679 000	14 679 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	81 000	145 000	16 000	16 000	-88,97%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	416 080	431 660	435 490	435 490	0,89%
- Of which EEA/EFTA (excl. Switzerland) (1)	416 080	431 660	435 490	435 490	0,89%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	758 238	160 100	160 100	160 100	0,00%

REVENUES	Revenues				
	Executed Budget 2014 (2)	Budget 2015 (3)	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	658 138				
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	23 623				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	20 860				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	15 010 933	15 270 760	15 274 590	15 274 590	0,03%

(1) The EFTA states' contribution for 2016 is an indicative estimate based on the 2015 proportionality factor and is subject to an update.

(2) Further to the amount of EUR 658 138 effectively cashed in 2014 (earmarked revenue IR1) for running specific projects (see point 4.3), the related residual appropriations from previous budget exercises (EUR 1 978 267) should also be considered as part of the revenue 2014 following the principle of IR1-R0 appropriations.

(3) In 2015, as result of the carry-over/carry-forward exercise 2014-2015 (for EUR 1 515 339) and pre-financing to be received in the course of the year (EUR 184 828), the total estimated amount for IR1-R0 appropriations is EUR 1 700 167. This does not include the "IPA II" new programme under Action 4 of Annex 1 "Preparatory measures for participation of beneficiaries in EU Agencies" to be adopted by the Agency's Governing Board and pending signature of agreement with the European Commission – see point 4.3.

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 451 642	5 606 200	5 750 100	5 750 100	2,57%
Salaries & allowances	5 258 951	5 362 200	5 551 600	5 551 600	3,53%
- Of which establishment plan posts	3 924 213	4 064 200	4 291 850	4 291 850	5,60%
- Of which external personnel	1 334 738	1 298 000	1 259 750	1 259 750	-2,95%
Expenditure relating to Staff recruitment	34 868	81 000	37 500	37 500	-53,70%
Mission expenses					
Socio-medical infrastructure	29 735	33 000	31 000	31 000	-6,06%
Training	79 108	80 000	80 000	80 000	0,00%
External Services	48 980	50 000	50 000	50 000	0,00%
Receptions, events and representation					
Social welfare					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 321 931	1 371 755	1 334 950	1 334 950	-2,68%
Rental of buildings and associated costs	553 298	637 805	600 900	600 900	-5,79%
Information, communication technology and data processing	560 575	505 800	505 800	505 800	0,00%
Movable property and associated costs	36 610	39 150	39 150	39 150	0,00%
Current administrative expenditure	53 277	54 500	54 500	54 500	0,00%
Postage / Telecommunications	118 171	134 500	134 600	134 600	0,07%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 648 876	8 292 805	8 189 540	8 189 540	-1,25%
Communication, Campaigning and Promotion	3 859 312	3 722 000	3 694 735	3 694 735	-0,73%
Networking and Coordination	1 444 885	1 570 805	1 494 805	1 494 805	-4,84%
Prevention and Research	2 344 679	3 000 000	3 000 000	3 000 000	0,00%
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	2 188 744	p.m.	p.m.	p.m.	
IPA III	443 207	p.m.	p.m.	p.m.	
Older workers	1 631 296	p.m.	p.m.	p.m.	
ENPI	114 241	p.m.	p.m.	p.m.	
TOTAL	16 611 193	15 270 760	15 274 590	15 274 590	0,03%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 284 861	5 606 200	5 750 100	5 750 100	2,57%
Salaries & allowances	5 135 631	5 362 200	5 551 600	5 551 600	3,53%
- Of which establishment plan posts	3 924 213	4 064 200	4 291 850	4 291 850	5,60%
- Of which external personnel	1 211 418	1 298 000	1 259 750	1 259 750	-2,95%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	34 092	81 000	37 500	37 500	-53,70%
Mission expenses					
Socio-medical infrastructure	14 670	33 000	31 000	31 000	-6,06%
Training	53 790	80 000	80 000	80 000	0,00%
External Services	46 678	50 000	50 000	50 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	878 520	1 371 755	1 334 950	1 334 950	-2,68%
Rental of buildings and associated costs	491 300	637 805	600 900	600 900	-5,79%
Information, communication technology and data processing	287 193	505 800	505 800	505 800	0,00%
Movable property and associated costs	28 897	39 150	39 150	39 150	0,00%
Current administrative expenditure	17 498	54 500	54 500	54 500	0,00%
Postage / Telecommunications	53 632	134 500	134 600	134 600	0,07%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 887 056	8 292 805	8 189 540	8 189 540	-1,25%
Communication, Campaigning and Promotion	2 932 023	3 722 000	3 694 735	3 694 735	-0,73%
Networking and Coordination	614 684	1 570 805	1 494 805	1 494 805	-4,84%
Prevention and Research	1 340 349	3 000 000	3 000 000	3 000 000	0,00%
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	1 121 065	p.m.	p.m.	p.m.	
IPA III	391 895	p.m.	p.m.	p.m.	
Older workers	653 671	p.m.	p.m.	p.m.	
ENPI	75 499	p.m.	p.m.	p.m.	
TOTAL	12 171 502	15 270 760	15 274 590	15 274 590	0,03%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 16 436 (rounded at EUR 16 000).

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013 and budget circular 2016 (Agency instructions) 2016 of 18.12.2014.

Human Resources

The Commission proposes a reduction of EU-OSHA's establishment plan in 2016 by 1 post in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years. The Commission proposes to maintain the number of Contractual Agents at a stable level. i.e. 24 FTEs as in 2015.

Financial Resources

The EU contribution to EU-OSHA in 2016 is kept stable at the level authorised for 2015.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

42 Temporary Agents (TA), 24 Contract Agents (CA) - no changes in comparison to 2015, and 1 local staff (LS).

The Agency proposes to maintain the same number of Temporary Agents and Contract Agents posts in 2016 considering that the Agency has already contributed to the reduction of its staffing levels by 5% during the period 2013-2015 and has consequently reduced its total number of Temporary Agents posts by 2 entities (44 in 2013, 43 in 2014, 42 in 2015). The Agency will therefore request 42 Temporary Agents. In addition, the Agency will maintain 24 Contract Agents and 1 Local Staff. It should be noted that the Governing Board of EU-OSHA has opposed to reduce the number of posts in the draft Establishment plan 2016 at its meeting on 18 March 2015, but agreed that the necessary amendment will be introduced in the final budget and Establishment plan 2016 that will be submitted for its approval in November 2015, should the Budget Authority confirm the need for an additional staff reduction.

In 2015 the Agency plans to apply the 10% flexibility rule foreseen in article 38 of the Financial Regulation and request the reduction of 2 TA AST2 posts (total of 1 instead of 3 posts) and increase by 1 entity the number of TA AST3 posts (total of 3 instead of 2 posts). The request is due to the resignation of the Communication Assistant in October 2014 and the assessment of the human resources of the Communication and Promotion Unit. The latter has led to the identification of specific needs in the area of publication and audio-visual due to the changes in publishing practices and the growth in multilingualism and multimedia. Therefore the profile of a Communications Officer (temporary Agent AST3) has been considered to be more suitable for the Agency's needs. The total number of AST2 and AST3 posts in 2016 establishment plan will be reduced by 1 post.

4.1.2 Salary assumption for calculating salary line (% applied)

Estimated weighting factor 2016: 96% (minus 4% compared with Brussels); salary indexation 2014 & 2015: 1%; salary indexation 2015 & 2016: 1.5%. Subject to budgetary constraint, estimated reclassifications: 7 for TA + 5 for CA.

4.1.3 Vacancy rate of end 2014

Overall, the vacancy rate at the end of 2014 stood at 4%. The 3 TA non occupied posts are due to: resignation of 1 AST agent in October 2014; death of 1 AD agent in December 2014; no recruitment of 1 AST agent in order to meet the staff cut 2015 as per programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519)

4.1.4 Standard abatement ('abatement forfaitaire') applied

No abatement applied since it is planned to have all posts filled in 2016.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Agency does not foresee any additional reduction of its Temporary Agents posts as it has already contributed to the 5% staff reduction during the period 2013-2015 in line with the provisions of programming of human and financial resources for decentralised agencies 2014-2020. Therefore the total number of Temporary Agents remains 42. The

number of external staff remains unchanged: 24 Contract Agents and 1 Local Staff (see 4.1.1.) In total, the requested staff for EU-OSHA in 2016 is 67 (42 TA, 24 CA, 1 LS) as it is in 2015. In 2016 there will be an increase in the total appropriations for the staff expenditure. Title 1 will therefore amount to EUR 5 750 100 due to salary rappel and staff reclassifications. The calculation of appropriations for Title 1 is based on the assumption that the requested 42 Temporary Agents (+ 0), 24 Contract agents posts (+ 0) & 1 Local staff (+ 0) will be filled in during 2015.

4.2.2 Title 2

Since 1 January 2014, the Agency is located in new premises. The occupation of the new building generated significant savings mainly on rental costs in 2014 (as for 2015 budget) and will lead to a further reduction in the title 2 of EUR 36 805 (-3%) in 2016. All other categories of costs (IT, movable properties, other costs, telecommunications, etc.) remain equivalent to 2015. Since 2014, the costs (EUR 26 000) for auditing the Agency's annual account by an external audit company (an obligation of the new Financial Regulation) are part of Title 2 of the Agency's budget. For 2016, and compared to 2015, the same level of appropriations is basically requested and is intended to cover not only the building but also equipment, miscellaneous operating expenditure, and office facilities' related costs. The total appropriations for Title 2 of the Agency's expenditure are EUR 1 334 950 (-3,0%).

4.2.3 Title 3

When the European Agency for Safety and Health at Work (EU-OSHA) was established, with the adoption of its founding regulation in 1994, it was with the aim of providing the EU bodies, the Member States, the social partners and those involved in the field with useful technical, scientific and economic information relating to safety and health at work. This aim has guided the work of EU-OSHA since then, and also forms the framework for the Agency's multi annual strategic programme for the period 2014-2020, which has been developed in close collaboration with the European Commission's Directorate-General for Employment and Social Affairs (DG EMPL) and the Advisory Committee on Safety and Health at Work. As such, it aims to support implementation of the Europe 2020 strategy.

In mid-2014 the European Commission published its communication on a strategic framework on health and safety at work. EU-OSHA's significant role in implementing the previous strategy 2007-2012 had already been recognised in the external evaluation.⁴¹ In the Commission's 2014 communication, EU-OSHA is again assigned an important role in achieving the EU objectives on safety and health at work. The Agency's Bureau has therefore carefully assessed the impact of the new strategic framework on EU-OSHA's activities. The conclusion which was confirmed by the Board is that EU-OSHA's Multi-annual Strategic Programme 2014-2020 is well in line with the Commission's communication. The various tasks given to EU-OSHA will therefore be reflected in the management plans from 2015 onwards.

EU-OSHA is a small Agency working with information and knowledge. This makes networking and communication decisive for achieving its objectives. Networking activities are a way of ensuring the relevance of the Agency's work and creating a multiplication effect in the implementation phase. The Agency's communication activities provide a vehicle for communicating a wide range of information to a very broad group of intermediaries and beneficiaries. In 2016 the Agency will develop its role in facilitating and promoting active networks in the OSH community allowing better information exchange among the relevant actors.

The total appropriations for the title 3 of the Agency's expenditure are EUR 8 189 540 (-1,2% compared to 2015).

4.3 Ad hoc grants and delegation agreements

EU-OSHA runs special projects (3) following signature of grant and delegation agreements with the European Commission. Funds (income and expenditure) are earmarked.

Agreement 2011/273-995 (IPA III) for the implementation of the action "Preparatory measures for the participation of Western Balkans and Turkey in the European Agency for Safety and Health at Work". Start date: 01/12/2011. Duration: 36 months. Total costs: EUR 900 000 funded 100% via DG NEAR. One CA, not part of the estimated external staff, was contracted for the project management. Salary and related costs are part of the agreement costs. The project ended the 30/11/2014 where 99.2 % of the EUR 900 000 was committed. At 31/12/2014, 93.5% was paid. In 2015, residual appropriations will be for payment of pending legal obligations (EUR 51 312).

Delegation agreement 30-CE-0535286/00-84 "Pilot Project - Health & Safety at Work of Older Workers" which aims to finance measures on identification, exchange and sharing of good practices on the occupational safety and health and older. Start date: 04/12/2012. Duration: 30 months. Total costs: EUR 2 million funded 100% via DG EMPL.

Grant contract ENPI/2013/325-489 (ENPI) for the implementation of the action “Preparatory measures for the collaboration of ENP countries with EU-OSHA. Start date: 01/02/2013. Duration: 24 months. Total costs: EUR 373 424 funded 100% via DG DEVCO. One CA, not part of the estimated external staff, is contracted for the project management. Salary and related costs are part of the agreement costs.

Following 10 December 2014 Commission Implementing Decision [C(2014) 9407] adopting a Multi-country Action Programme for the year 2014, EU-OSHA might participate to the “IPA II” new programme under Action 4 of Annex 1 “Preparatory measures for participation of beneficiaries in EU Agencies” for an indicative maximum amount of EUR 410 000 and estimated total duration of 24 months. The date for signing the grant agreement is foreseen to Q1, 2015. One CA, not part of the estimated external staff, might be contracted for the project management.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Miribilla Building Santiago de Compostella 12 E-48003 Bilbao - Spain	As from 01/01/2014
Surface area (in square metres) Of which office space Of which non-office space	Built: 2.653 M2 Net floor: 2.385M2 1.018M2 1.367 M2	
Annual rent (in EUR)	- EUR 271 716 (rent of office space and one parking slot) - EUR 56 675 (communal charges) - EUR 4 108 (building maintenance costs)	
Type and duration of rental contract	8 years	
Host country grant or support	EUR 160 100 (EUR 100 100 in 2014)	
Present value of the building	n/a	

	Name, location and type of building	Other Comment
Information to be provided per building:	Multiburo - NCI Brussels Liaison office (BLO) located in 38/40 Square de Meeüs BE 1000 Brussels Belgium	
Surface area (in square metres) Of which office space Of which non-office space	30 M2 Not provided	
Annual rent (in EUR)	EUR 44 100 (fully serviced) EUR 3 100 (running costs)	
Type and duration of rental contract	Renewal on a yearly basis	
Host country grant or support	n/a	
Present value of the building	n/a	

5.1.2 Building project in the planning phase

The Agency moved to new premises in 2014 and has no new building plan for the future.

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency receive an annual subsidy of EUR 160 000 from local and national authority	In 2014 EUR 100 100 was received.	
Seat agreement	A seat agreement has been signed with the Kingdom of Spain on 31 March 2014	

5.3 European schools

n/a

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority by 24 February 2012.

The main findings of the latest evaluation available are as follows:

The Agency operates with limited resources in a complex environment. It has heterogeneous target audiences located across 27 diverse Member States. Despite these challenges it is felt to do a difficult job very well and to have competent and responsive staff. The information provided by the Agency is seen as credible and offering good quality OSH content. Further, the Agency is viewed as capable of designing, developing and conducting its own data collection e.g. through ESENER. The objectives of the Agency's strategy and the balance of its activities across the four main mission-related areas are appropriate.

The relevance and usefulness of the Agency's activities to its target audiences are generally felt to be high, particularly amongst researchers and policy-makers. The difficulty of reaching employers (and particular SMEs) is widely acknowledged but the Agency has also made progress in this area in its work on OiRA. There is clear European added value across its four mission-related areas, although this tends to be greatest in Member States with less in the way of OSH resources, or experience of the framework directive.

Full report can be found at:

https://osha.europa.eu/en/publications/evaluation_reports/mid-term-evaluation-euosha-strategy_2009-2013_en.pdf

A new evaluation of the agency is expected to be carried out by 2017.

2.2.1.1.5 European Centre for the Development of Vocational Training - CEDEFOP

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation 337/75	10 February 1975	Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training
Regulation 1946/93	30 June 1993	Council Regulation (EEC) No 1946/93 of 30 June 1993 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1131/94	16 May 1994	Council Regulation (EC) No 1131/94 of 16 May 1994 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 251/95	6 February 1995	Council Regulation (EC) No 251/95 of 6 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 354/95	20 February 1995	Council Regulation (EC) No 354/95 of 20 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1655/2003	18 June 2003	Council Regulation (EC) No 1655/2003 of 18 June 2003 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training and repealing Regulation (EEC) No 1416/76
Regulation 2051/2004	25 October 2004	Council Regulation (EC) No 2051/2004 of 25 October 2004 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training

1.2 Seat

Thessaloniki, Greece

1.3 Budget Line

04 03 13 : European Centre for the Development of Vocational Training (Cedefop)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	51	48	49	48
Establishment plan posts : AST	47	47	47	46
Establishment plan posts : AST/SC				
Total Establishment plan posts	98	95	96	94
Contract Agents	25	23	25	25
Seconded National Experts	4	2	4	4
TOTAL STAFF	127	120	125	123

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	17 434 000	17 434 000
Additional EU funding: ad hoc grants and Delegation agreements	400 000	100 000
Other Revenue	522 560	530 000
TOTAL REVENUES	18 356 560	18 064 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	10 430 000	10 430 000	10 800 000	10 800 000
Title 2 - Infrastructure and operating expenditure	1 570 000	1 570 000	1 570 000	1 570 000
Title 3 - Operational expenditure	6 356 560	6 356 560	5 694 000	5 694 000
TOTAL EXPENDITURE	18 356 560	18 356 560	18 064 000	18 064 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		1		1		1		1		1
AD 13		2				2		2		2
AD 12	6	3	2	3	5	3	5	4	5	4
AD 11	1	9	4	6		9		10		10
AD 10		8		4		8		9		9
AD 9		4		4		4		5		5
AD 8		5		3		5		5		5
AD 7		6		5		6		4		4

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 6		5		5		5		3		2
AD 5				11						
AD TOTAL	7	44	6	42	5	44	5	44	5	43
AST 11								1		1
AST 10	1	1		1	1	2	1	1	1	1
AST 9		2	1	1		2		2		2
AST 8	2	2	2		2	2	2	2	2	2
AST 7	1	6		5	1	6	3	7	3	7
AST 6	4	3	3		4	3	4	5	4	5
AST 5	4	5	5	4	4	6	2	6	2	6
AST 4	1	10	1	12		10		10		10
AST 3		4		9		4		1		0
AST 2		1		3						
AST 1										
AST TOTAL	13	34	12	35	12	35	12	35	12	34
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	20	78	18	77	17	79	17	79	17	77
GRAND TOTAL	98		95		96		96		94	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	5	3	5	5
Function Group III	6	6	6	6
Function Group II	10	10	10	10
Function Group I	4	4	4	4
TOTAL	25	23	25	25

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	4	2	4	4

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	16 333 900	17 434 000	17 434 000	17 434 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses		210 398	383 000	383 000	82,04%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	509 070	512 560	520 000	520 000	1,45%
- Of which EEA/EFTA (excl. Switzerland)	509 070	512 560	520 000	520 000	1,45%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	600 000	400 000	100 000	100 000	-75,00%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)	600 000	400 000	100 000	100 000	-75,00%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	10 043	10 000	10 000	10 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	17 453 013	18 356 560	18 064 000	18 064 000	-1,59%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 832 702	10 430 000	10 800 000	10 800 000	3,55%
Salaries & allowances	9 174 526	9 700 000	10 066 000	10 066 000	3,77%
- Of which establishment plan posts	7 935 511	8 380 000	8 596 000	8 596 000	2,58%
- Of which external personnel	1 239 015	1 320 000	1 470 000	1 470 000	11,36%
Expenditure relating to Staff recruitment	216 337	185 000	180 000	180 000	-2,70%
Mission expenses	75 591	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	132 283	165 000	175 000	175 000	6,06%
Training	125 903	150 000	150 000	150 000	0,00%
External Services	90 850	111 000	110 000	110 000	-0,90%
Receptions, events and representation	17 212	19 000	19 000	19 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 771 724	1 570 000	1 570 000	1 570 000	0,00%
Rental of buildings and associated costs	644 524	670 000	676 000	676 000	0,90%
Information, communication technology and data processing	625 284	515 000	575 000	575 000	11,65%
Movable property and associated costs	129 066	68 000	78 000	78 000	14,71%
Current administrative expenditure	95 074	117 000	101 000	101 000	-13,68%
Postage / Telecommunications	265 076	190 000	130 000	130 000	-31,58%
Meeting expenses	12 700	10 000	10 000	10 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 964 034	6 356 560	5 694 000	5 694 000	-10,42%
Transversal activities	4 964 034	6 356 560	5 694 000	5 694 000	-10,42%
Research and Policy Analysis					
Enhanced Cooperation in VET and LLL					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Information, Communications and Dissemination					
TOTAL	16 568 460	18 356 560	18 064 000	18 064 000	-1,59%

(1) Note 2014 executed budget above does not include Norway and Iceland appropriations of EUR 523 017.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 706 515	10 430 000	10 800 000	10 800 000	3,55%
Salaries & allowances	9 174 526	9 700 000	10 066 000	10 066 000	3,77%
- Of which establishment plan posts	7 935 511	8 380 000	8 596 000	8 596 000	2,58%
- Of which external personnel	1 239 015	1 320 000	1 470 000	1 470 000	11,36%
Expenditure relating to Staff recruitment	167 629	185 000	180 000	180 000	-2,70%
Mission expenses	71 289	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	116 249	165 000	175 000	175 000	6,06%
Training	80 046	150 000	150 000	150 000	0,00%
External Services	80 890	111 000	110 000	110 000	-0,90%
Receptions, events and representation	15 886	19 000	19 000	19 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 345 847	1 570 000	1 570 000	1 570 000	0,00%
Rental of buildings and associated costs	597 952	670 000	676 000	676 000	0,90%
Information, communication technology and data processing	395 418	515 000	575 000	575 000	11,65%
Movable property and associated costs	91 150	68 000	78 000	78 000	14,71%
Current administrative expenditure	76 174	117 000	101 000	101 000	-13,68%
Postage / Telecommunications	176 801	190 000	130 000	130 000	-31,58%
Meeting expenses	8 352	10 000	10 000	10 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 387 080	6 356 560	5 694 000	5 694 000	-10,42%
Transversal activities	4 387 080	6 356 560	5 694 000	5 694 000	-10,42%
Research and Policy Analysis					
Enhanced Cooperation in VET and LLL					
Information, Communications and Dissemination					
TOTAL	15 439 442	18 356 560	18 064 000	18 064 000	-1,59%

(1) Note 2014 executed budget above does not include Norway and Iceland payment appropriations of EUR 481 175.

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 383 635 (rounded EUR 383 000).

4 Justification of needs

Commission assessment

Commission assessment of agency request, in light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes a reduction of Cedefop's establishment plan in 2016 by 2 posts in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years. The Commission proposes to maintain the number of Contractual Agents and Seconded National Experts at a stable level. i.e. 25 and 4 FTEs respectively, as in 2015.

Financial Resources

The EU contribution to Cedefop in 2016 is kept stable at the level authorised for 2015.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The requirements of the Common Approach and the recent external evaluation point to the need to review Cedefop's Founding regulations to account for the important changes in the Centre's work as well as the societal, institutional and economic developments occurred in the European Union since the foundation of the Centre in 1975. This seems all the more appropriate as classifying Cedefop as an agency at cruising speed does not reflect the many new tasks the Centre has taken on in the recent past and will have to cover in future. This review should in particular reflect the Agency's work in facilitating the interaction between vocational education and training and the labour market, a task of high political importance especially with the new Commission.

Budgetary constraints in terms of financial and human resources need to be reconsidered as there is an increasing risk that the Centre will not be able to fulfil the various mandates and requests under the present circumstances. As a result of the tasks described in the following, Cedefop's needs in terms of human resources go beyond the present constraints. New tasks will include Cedefop's support to the European Commission, Member States and social partners to take the common EU tools and principles to a next stage (see description under 4.2.3 below). Growth of existing tasks includes mainly the following activities, two of which have started only in 2014 (see below as well).

Overall, the Centre will try to cover the additional demand generated by the growth of tasks in these fields by internal reassignments including one SNE. A selective use of contract staff to work on expert tasks for time-limited periods can be used to address resource gaps in the short term. Moreover, restructuring of the operational areas is expected to lead to increased streamlining of the Centre's activities, including by creating a more direct link to its key stakeholders.

However, longer-term needs can only sustainably be addressed through additional posts on the establishment plan or alternatively through cuts in activities.

4.1.2 Salary assumption for calculating salary line (% applied)

For the purposes of salary projections, Cedefop has used the weighting factor of 2014 (i.e. 86.8) also for 2016. The inflation rate is projected at 0% for 2015 and 2016. The personnel costs have been calculated on the basis of foreseeable increases in steps and the cost of a projected number of promotions.

4.1.3 Vacancy rate of end 2014

95 out of 98 posts were filled on 31/12/2014. This is a vacancy rate of 3% (3/98) at 31 December 2014. One post was cut on 1/1/2015 and one more post will be cut later in the year after the retirement of a staff member. The cutting of these posts in 2015 brings the overall reduction of the establishment plan to the 5% cut requested by the Commission. Selection procedures are ongoing for a Head of an operational area and a Head of area resources in view of the retirement of the current Head of resources on 1 May 2015.

4.1.4 Standard abatement ('abatement forfaitaire') applied

Cedefop foresees that three persons will leave in 2015. This estimate is based on past experience and already known turnover. Thus, actual fluctuation may vary. On the basis of the estimate, the HR cost abatement is about 0,75%.

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1 the estimate is based on the provisions for the establishment plan and its occupation (compare also Multi annual staff policy plan 2016 to 2018). It considers turnover and retirements, but as turnover is small, possibilities to replace leaving staff by lower grades are limited and the scope for respective savings is limited too. Compared to the budget 2015, title 1 cost will increase by 3.5% and is forecasted at EUR 10 800 000.

Chapter 11 – Salaries & allowances - Staff in active employment costs increase (3.8%) due to the staff development and to cover tasks requested, all of which are relevant to the 2016 work programme.

4.2.2 Title 2

Title 2 total budget requirements are forecasted at EUR 1 570 000, unchanged from the 2015 budget:

Chapter 20 – Rental of the buildings and associated costs - is increased by 0.9 % as compared to 2015 budget due to the recurrent increase of the costs of gas and electricity.

Chapter 21 – Information, communication technology and data processing- is increased by 11.7 % as compared to 2015 budget due to foreseen renewal of pieces of IT equipment.

Chapter 22 – Movable property and associated costs - is increased by 14.7 % as compared to 2015 budget due to foreseen start of implementation of renewable energy solution and replacement of obsolete conference room devices.

Chapter 23 – Current administrative expenditure and Chapter 24 – Postal /telecommunications - have been respectively decreased by 13.7% and 31.6%.

4.2.3 Title 3

In 2015, the medium term priorities for the period 2012–2014 which have been extended to cover 2015 will, pending a respective decision of the Governing Board, be extended for another year until end of 2016. Most of the Centre's current activities will be continued in the following year as they remain of high relevance for the EU policy agenda and Cedefop's mission. These activities are described in detail in Cedefop's annual work programme 2015. Foreseeable changes are described in the following.

New tasks will include Cedefop's support to the European Commission, Member States and social partners to take the common European tools and principles to a next stage. This will imply simplifying and streamlining them to increase their relevance to individual citizens. It will also entail addressing labour market stakeholders. The necessary close cooperation with Member States and social partners will demand additional support from Cedefop to better integrate European tools and practices in their national VET reforms. Building upon the results of a consultation carried out by the European Commission in 2014, it is expected that this initiative to improve transparency and recognition of skills and qualifications so as to increase mobility will lead to a political agreement in 2015-2016. This will also lead to revisions and updates of, for example, existing recommendations/decisions related to individual tools (EQF, Europass). Building upon Cedefop's extensive in-house expertise covering the majority of the EU tools and principles (Europass, qualification frameworks, credit systems, quality assurance, learning outcomes, ESCO, guidance, validation of non-

formal and informal learning), it is expected that Cedefop will be requested to support the follow up and implementation of the initiative from 2015-16 onwards.

This will require much increased in-house analytical capacities in the period 2015-2017 to assess, capture, and monitor developments in a systematic way in the very diverse - and so far developed separately - initiatives. It will also require increased cooperation with a wider range of stakeholders, including from the higher education sector and the labour market. These new tasks will require additional resources.

Growth of existing tasks concerns mainly the following activities, some of which (will have been) started only in 2014 and 2015: policy monitoring and reporting in the process of enhanced cooperation in VET; direct support to member states and social partners (since 2015); support to the European Alliance on Apprenticeships (since 2014); develop the EU Skills Panorama (since 2014); mobility scoreboard in VET (feasibility study in 2015). Overall, the Centre will try to cover the additional demand generated by the growth of tasks in these fields by internal reassignments including one SNE. A selective use of contract staff to work on expert tasks for time-limited periods can be used to address resource gaps in the short term but longer-term needs can most sustainably be addressed through additional posts on the establishment plan.

Title 3 total budget requirements (without the assigned revenues from the DG EMPL Delegation Agreement) are estimated at EUR 5 594 000, a decrease of 6.1 % as compared to 2015. The estimates for title 3 expenditure result from the overall budgetary restriction and the considerations that Cedefop a) needs to preserve its in-house expertise; b) title 1 cost evolution is largely pre-determined and c) title 2 expenditure already not re-evaluated is necessary to ensure operability at the Centre.

The Centre has already been subject to a horizontal budget cut in 2009 which at the time had been limited until 2013 (amounting to an annual budget decrease of EUR 800 000 in nominal terms). Annual budgetary increases had been limited to 2 % (for 2011 and 2012), and since 2012 no nominal increases have been granted. In addition, the Centre has already implemented the 5% staff cut over the period 2012 to 2015.

4.3 Ad hoc grants and delegation agreements

The Delegation Agreement No VS/2013/0554 of 18.12.2013 with DG EMPL for the implementation of “Labour skills demand and supply – anticipation tools – studies”, of a total amount of EUR 1 100 000, adopted by the Governing Board on 19.12.2013, provides for a breakdown over 3 years (2014 – 2016).

Its third and last tranche, for the year 2016, is of EUR 100 000, compared to EUR 400 000 for the year 2015.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building		Other Comment
Information to be provided per building:	Thessaloniki	Brussels J-70	The building cost amounted to about EUR 6 800 000 (of which EUR 5 600 000 for the construction itself and EUR 1 200 000 for necessary equipment) paid from Community funds made available to Cedefop (on an ad hoc budget line) in the years 1995-1999. The office space in Brussels constitutes a Bureau de passage in the same building as DG EAC.
Surface area (in square metres)	7 145	21,6	
Of which office space	1 960	21,6	
Of which non-office space	5 185	0	
Annual rent (in EUR)	0	EUR 16 010	
Type and duration of rental contract	N/A	SLA with OIB/EC	Provision of office space and general infrastructures
Host country grant or support	EUR 2 300 000		
Present value of the building	EUR 2 884 773		The building cost amounted to about EUR

		6 800 000 (of which EUR 5 600 000 for the construction itself and EUR 1 200 000 for necessary equipment) paid from Community funds made available to Cedefop (on an ad hoc budget line) in the years 1995-1999. The office space in Brussels constitutes a Bureau de passage in the same building as DG EAC.
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5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Only limited diplomatic status (the two official cars and the private cars of the Director and Deputy Director bearing CD plates) and VAT exemption to the Centre.	The Protocol on privileges and immunities is applicable to international staff, except for those who were resident in Greece before employment in the Agency. VAT and customs duties exemption applies to such staff during one year from their taking up functions at CEDEFOP.	Nothing provided by the state or the host city.
The Greek state contributed to the Centre's installation costs by +/- 25%, corresponding to around 2.3 million euro, in the form of necessary infrastructure works for the new premises to be constructed and to become operational in a previously undeveloped area (access roads, electricity, water, sewage, telecom, etc.).	Cars imported in this way must be cleared through customs (all taxes and dues paid) or taken out of the country within 1 month from end of employment.	CEDEFOP has been in regular contact in the past with the Greek government in order to set up a European school in Thessaloniki. However, due to various constraints from the Greek side this never materialised, and in view of the present economic and budgetary situation it is highly unlikely that such a school will be available by 2016.

5.3 European schools

There is no European School in Thessaloniki.

5.4 Evaluation

The periodic external evaluation of Cedefop required by the financial regulation was completed in December 2013. It examined Cedefop's role in supporting European VET policy makers between 2007 and 2012 and its response to new demands resulting from developments in European VET policy during that period. An action plan was presented to the Bureau in April 2014 and related actions implemented as planned.

The main findings of the evaluation are as follows:

The evaluation concluded that Cedefop had been highly successful in implementing its objectives. Cedefop's work on modernising VET systems and analysing skills is highly esteemed and Cedefop is also recognised as a leading centre of expertise worldwide on qualifications frameworks and skills. Cedefop has had an important impact on strengthening cooperation between VET policy stakeholders in Europe. The evaluation also examined possible synergies between Cedefop, the ETF, Eurofound and EU-OSHA and concluded that Cedefop does not duplicate activities of any other actors at European, national or international level.

http://ec.europa.eu/dgs/education_culture/more_info/evaluations/docs/education/cedefop2013_en.pdf

2.2.1.1.6 European Aviation Safety Agency - EASA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>OLD BASIC REGULATION:</p> <p>REGULATION (EC) No 1592/2002 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 15 July 2002 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency</p> <p>(REPEALED)</p>	<p>15 July 2002</p> <p>27 September 2002 (Entry into force)</p>	<p>Airworthiness; environmental compatibility</p> <p>The original Agency's responsibilities, given by Regulation 1592/2002 (repealed by Regulation 216/2008) include: expert advice to the EU for drafting new legislation; inspections, training and standardisation programmes to ensure uniform implementation of European aviation safety legislation in all Member States; safety and environmental type-certification of aircraft, engines, parts, appliances and continuous monitoring of their airworthiness; approval of organisations involved in the design of aeronautical products, as well as foreign production, maintenance and training organisations;</p> <p>EASA is also responsible for some specific executive tasks as specified in Commission Regulation (EC) No 768/2006 (regarding the collection and exchange of information on the safety of aircraft using Community airports and the management of the information system).</p>
<p>NEW BASIC REGULATION:</p> <p>REGULATION (EC) No 216/2008 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC</p>	<p>20 February 2008</p> <p>08 April 2008 (Entry into force)</p>	<p>Airworthiness</p> <p>Environmental compatibility</p> <p>Flight Crew Licensing (FCL)</p> <p>Operation of Aircraft</p> <p>Safety of foreign operators</p> <p>Regulation 216/2008 extending the Agency's competences entered into force on 8 April 2008. With this extension the Agency got responsibilities to develop the regulations in the fields of air operations (OPS), flight crew licensing (FCL) and the oversight of third country operators (TCO). As well as to carry out (according to Regulation 736/2006) standardisation inspections for air operations, flight crew licensing and flight simulators and to perform the certification of foreign synthetic training devices, pilot training organisations and aero medical centres, and certification tasks linked to the authorisation to third country operators.</p>
<p>Last amended by</p> <p>REGULATION (EC) No 1108/2009 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 21 October 2009 amending Regulation (EC) No 216/2008 in the field of aerodromes, air traffic management and air navigation services and repealing Directive 2006/23/EC</p>	<p>21 October 2009</p> <p>14 December 2009 (Entry into force)</p>	<p>Aerodromes</p> <p>AirTrafficManagement (ATM)</p> <p>Air Navigation Services (ANS)</p> <p>On 7 September 2009 the Council adopted the regulation extending EASA's competencies to cover the safety of aerodromes, air traffic management and air navigation services. In particular, EASA's new tasks cover rulemaking and standardisation inspections. In addition, as far as safety and technical issues are concerned, it will be necessary to coordinate rulemaking activities with the Single European Sky framework, including the related research (SESAR) and implementing rules as well as the new objectives set for its implementation.</p>

1.2 Seat

Cologne, Germany

1.3 Budget Line

06 02 02 : European Aviation Safety Agency

1.4 Human Resources overview

1.4.1 Human Resources overview EASA

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	551	515	551	542
Establishment plan posts : AST	134	135	128	128
Establishment plan posts : AST/SC				
Total Establishment plan posts	685	650	679	670
Contract Agents	103	81	102	102
Seconded National Experts	16	15	16	24
TOTAL STAFF	804	746	797	796

1.4.2 Human Resources overview (Fees and charges)

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	379	346	375	367
Establishment plan posts : AST	84	91	78	78
Establishment plan posts : AST/SC				
Total Establishment plan posts	463	437	453	445
Contract Agents	61	49	60	60
Seconded National Experts	0	0	0	0
TOTAL STAFF	524	486	513	505

1.4.3 Human Resources overview (EU Contribution)

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	172	169	176	175
Establishment plan posts : AST	50	44	50	50
Establishment plan posts : AST/SC				
Total Establishment plan posts	222	213	226	225
Contract Agents	42	32	42	42
Seconded National Experts	16	15	16	24
TOTAL STAFF	280	260	284	291

1.5 Financial Resources Overview

1.5.1 Revenues Overview EASA

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	36 370 000	36 370 000
Additional EU funding: ad hoc grants and Delegation agreements	9 200 000	6 900 000
Other Revenue	116 456 000	124 737 000
TOTAL REVENUES	162 026 000	168 007 000

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview EASA

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	78 110 000	78 110 000	90 620 000	90 620 000
Title 2 - Infrastructure and operating expenditure	18 211 000	18 211 000	23 973 000	23 973 000
Title 3 - Operational expenditure	65705000	65705000	53414000	53414000
TOTAL EXPENDITURE	162 026 000	162 026 000	168 007 000	168 007 000

1.5.2.2 Expenditure Overview (Fees and charges)

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	50 193 000	50 193 000	62 383 000	62 383 000
Title 2 - Infrastructure and operating expenditure	11 500 000	11 500 000	16 869 000	16 869 000
Title 3 - Operational expenditure	52 392 000	52 392 000	43 115 000	43 115 000
TOTAL EXPENDITURE	114 085 000	114 085 000	122 367 000	122 367 000

1.5.2.3 Expenditure Overview (EU Contribution)

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	27 917 000	27 917 000	28 237 000	28 237 000
Title 2 - Infrastructure and operating expenditure	6 711 000	6 711 000	7 104 000	7 104 000

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 3 - Operational expenditure	13 313 000	13 313 000	10 299 000	10 299 000
TOTAL EXPENDITURE	47 941 000	47 941 000	45 640 000	45 640 000

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts EASA

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		2		1		2		1		1
AD 14		14		6		23		25		25
AD 13		21		12		31		32		32
AD 12		37		16		48		53		53
AD 11		60		18		72		76		76
AD 10		84		74		95		98		98
AD 9		107		81		118		117		117
AD 8		100		94		81		77		77
AD 7		75		128		55		49		44
AD 6		46		74		24		20		17
AD 5		5		11		2		2		2
AD TOTAL		551		515		551		550		542
AST 11										
AST 10										
AST 9		1				1		1		1
AST 8		4				4		4		4
AST 7		11				12		13		13
AST 6		23		4		22		23		23
AST 5		32		10		32		33		33

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 4		28		28		26		25		25
AST 3		18		52		18		17		17
AST 2		15		28		11		10		10
AST 1		2		13		2		2		2
AST TOTAL		134		135		128		128		128
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		685		650		679		678		670
GRAND TOTAL		685		650		679		678		670

2.1.2 Establishment plan posts (Fees and charges)

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)(1)	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		10		4		16		16		16
AD 13		14		8		21		21		21
AD 12		25		11		33		33		33
AD 11		41		12		49		49		49
AD 10		58		50		65		65		65
AD 9		74		54		82		82		82
AD 8		69		63		56		56		56

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)(1)	Permanent posts	Temporary posts
AD 7		52		86		37		37		32
AD 6		32		50		14		14		11
AD 5		3		7		1		1		1
AD TOTAL		379		346		375		375		367
AST 11										
AST 10										
AST 9		1				1		1		1
AST 8		3				2		2		2
AST 7		7				7		7		7
AST 6		14		3		12		12		12
AST 5		20		7		20		20		20
AST 4		18		19		16		16		16
AST 3		11		34		12		12		12
AST 2		9		19		7		7		7
AST 1		1		9		1		1		1
AST TOTAL		84		91		78		78		78
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		463		437		453		453		445
GRAND TOTAL		463		437		453		453		445

(1) The Agency has one global approved Establishment plan. The split between Fees and Charges staff and EU Contribution is made based on the allocation keys and it cannot be considered binding at level of single grade in the establishment plan.

(2) The situation at the end of December 2014 includes 8 Temporary Agents starting within the first months of 2015.

2.1.3 Establishment plan posts (EU Contribution)

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)(1)	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		0		0
AD 14		4		2		7		9		9
AD 13		7		4		10		11		11
AD 12		12		5		15		20		20
AD 11		19		6		23		27		27
AD 10		26		24		30		33		33
AD 9		33		27		36		35		35
AD 8		31		31		25		21		21
AD 7		23		42		18		12		12
AD 6		14		24		10		6		6
AD 5		2		4		1		1		1
AD TOTAL		172		169		176		175		175
AST 11										
AST 10										
AST 9										
AST 8		1				2		2		2
AST 7		4				5		6		6
AST 6		9		1		10		11		11
AST 5		12		3		12		13		13
AST 4		10		9		10		9		9
AST 3		7		18		6		5		5
AST 2		6		9		4		3		3
AST 1		1		4		1		1		1
AST TOTAL		50		44		50		50		50
AST/SC 6										
AST/SC 5										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)(1)	Permanent posts	Temporary posts
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		222		213		226		225		225
GRAND TOTAL	222		213		226		225		225	

(1) The Agency has one global approved Establishment plan. The split between Fees and Charges staff and EU Contribution is made based on the allocation keys and it cannot be considered binding at level of single grade in the establishment plan.

(2) The situation at the end of December 2014 includes 8 Temporary Agents starting within the first months of 2015.

2.2 External personnel

2.2.1 External personnel EASA

2.2.1.1 Contract Agents EASA

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	34	28	34	34
Function Group III	69	53	68	68
Function Group II	0	0	0	0
Function Group I	0	0	0	0
TOTAL	103	81	102	102

2.2.1.2 Seconded National Experts EASA

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	16	15	16	24

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	20	17	20	20
Function Group III	41	32	40	40
Function Group II	0		0	
Function Group I	0		0	

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	61	49	60	60

2.2.2.2 Seconded National Experts (Fees and charges)

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	0	0

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	14	11	14	14
Function Group III	28	21	28	28
Function Group II	0	0	0	0
Function Group I	0	0	0	0
TOTAL	42	32	42	42

2.2.3.2 Seconded National Experts (EU Contribution)

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate (1)	Draft Budget 2016 estimate
TOTAL	16	15	16	24

(1) As per Management Board 4/2014 decision (EASA MB 2014-04 WP15 MSPP 2016-2018) the final number of SNE's approved is 24 for 2015.

3 Financial Resources

3.1 Revenues EASA

REVENUES	Revenues EASA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	124 749 313	113 268 000	121 549 000	121 549 000	7,31%
2 EU CONTRIBUTION	34 174 000	36 370 000	36 370 000	36 370 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	61 621	797 791	1 551 450	1 551 450	94,47%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 070 571	2 138 000	2 138 000	2 138 000	0,00%
- Of which EEA/EFTA (excl. Switzerland) (1)	2 070 571	2 138 000	2 138 000	2 138 000	0,00%
- Of which candidate countries	0	0	0	0	0
4 OTHER CONTRIBUTIONS	3 268 168	9 200 000	6 900 000	6 900 000	-25,00%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	2 868 973	9 200 000	6 900 000	6 900 000	-25,00%

REVENUES	Revenues EASA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)	0	0	0	0	◆
5 ADMINISTRATIVE OPERATIONS	795 897	950 000	950 000	950 000	0,00%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	0	0	0	0	◆
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	125 382	100 000	100 000	100 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES	0	0	0	0	◆
TOTAL	165 183 331	162 026 000	168 007 000	168 007 000	3,69%

(1) The line EFTA contribution line includes also the amount received from Switzerland according to 2008/100/EC: Decision No 1/2007 of the joint Community/Switzerland Air Transport Committee set up under the Agreement between the European Community and the Swiss Confederation on Air Transport of 5 December 2007 replacing the Annex to the Agreement between the European Community and the Swiss Confederation on Air Transport.

3.2 Expenditure

3.2.1 Expenditure EASA

3.2.1.1 Commitment appropriations EASA

EXPENDITURE	Commitment appropriations EASA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	74 875 411	78 110 000	90 620 000	90 620 000	16,02%
Salaries & allowances	69 971 610	72 085 994	83 665 953	83 665 953	16,06%
- Of which establishment plan posts	64 630 907	65 616 987	76 120 902	76 120 902	16,01%
- Of which external personnel	5 340 703	6 469 007	7 545 051	7 545 051	16,63%
Expenditure relating to Staff recruitment	834 477	1 298 002	1 441 010	1 441 010	11,02%
Mission expenses	58 484	0	0	0	◆
Socio-medical infrastructure	123 529	116 000	123 001	123 001	6,04%
Training	403 194	711 000	762 005	762 005	7,17%
External Services	192 480	190 000	480 003	480 003	152,63%
Receptions, events and representation	114 645	158 000	158 001	158 001	0,00%
Social welfare	3 176 992	3 551 004	3 990 027	3 990 027	12,36%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	16 305 096	18 211 000	23 973 000	23 973 000	31,64%
Rental of buildings and associated costs	9 714 649	11 766 999	14 736 192	14 736 192	25,23%
Information, communication technology and data processing	5 227 254	4 594 000	4 807 900	4 807 900	4,66%

EXPENDITURE	Commitment appropriations EASA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Movable property and associated costs	88 942	517 000	2 644 945	2 644 945	411,59%
Current administrative expenditure	665 661	1 112 001	1 549 968	1 549 968	39,39%
Postage / Telecommunications	608 590	221 000	233 995	233 995	5,88%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	38 531 900	65 705 000	53 414 000	53 414 000	-18,71%
Certification activities	24 915 156	26 951 000	27 975 000	27 975 000	3,80%
Standardisation activities	129 082	230 000	230 000	230 000	0,00%
Development data base	0	0	0	0	◆
Communication and publication	358 939	300 000	300 000	300 000	0,00%
Meeting expenses	327 543	485 000	485 000	485 000	0,00%
Translations and interpretation costs	70 908	446 000	462 000	462 000	3,59%
Rulemaking activities	701 180	925 000	451 000	451 000	-51,24%
Mission,entertainment and representation expenditure	4 835 200	6 363 000	6 411 000	6 411 000	0,75%
Technical training	458 855	663 000	713 000	713 000	7,54%
ED activitues	1 213 779	752 000	552 000	552 000	-26,60%
Special Operations Programmes	5 521 258	9 200 000	6 900 000	6 900 000	-25,00%
Other expenditure	0	19 390 000	8 935 000	8 935 000	-53,92%
TOTAL	129 712 407	162 026 000	168 007 000	168 007 000	3,69%

3.2.1.2 Payment appropriations EASA

EXPENDITURE	Payment appropriations EASA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	74 447 122	78 110 000	90 620 000	90 620 000	16,02%
Salaries & allowances	69 881 199	72 085 994	83 665 953	83 665 953	16,06%
- Of which establishment plan posts	64 630 907	65 616 987	76 120 902	76 120 902	16,01%

EXPENDITURE	Payment appropriations EASA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which external personnel	5 250 292	6 469 007	7 545 051	7 545 051	16,63%
Expenditure relating to Staff recruitment	779 845	1 298 002	1 441 010	1 441 010	11,02%
Mission expenses	57 377	0	0	0	◆
Socio-medical infrastructure	98 334	116 000	123 001	123 001	6,04%
Training	249 297	711 000	762 005	762 005	7,17%
External Services	155 958	190 000	480 003	480 003	152,63%
Receptions, events and representation	72 124	158 000	158 001	158 001	0,00%
Social welfare	3 152 988	3 551 004	3 990 027	3 990 027	12,36%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	12 613 164	18 211 000	23 973 000	23 973 000	31,64%
Rental of buildings and associated costs	9 328 568	11 766 999	14 736 192	14 736 192	25,23%
Information, communication technology and data processing	2 312 923	4 594 000	4 807 900	4 807 900	4,66%
Movable property and associated costs	65 446	517 000	2 644 945	2 644 945	411,59%
Current administrative expenditure	471 267	1 112 001	1 549 968	1 549 968	39,39%
Postage / Telecommunications	434 960	221 000	233 995	233 995	5,88%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	23 761 833	65 705 000	53 414 000	53 414 000	-18,71%
Certification activities	14 938 842	26 951 000	27 975 000	27 975 000	3,80%
Standardisation activities	129 082	230 000	230 000	230 000	0,00%
Development data base	0	0	0	0	◆
Communication and publication	152 472	300 000	300 000	300 000	0,00%
Meeting expenses	318 326	485 000	485 000	485 000	0,00%
Translations and interpretation costs	55 069	446 000	462 000	462 000	3,59%
Rulemaking activities	68 279	925 000	451 000	451 000	-51,24%

EXPENDITURE	Payment appropriations EASA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Mission,entertainment and representation expenditure	4 567 284	6 363 000	6 411 000	6 411 000	0,75%
Technical training	262 871	663 000	713 000	713 000	7,54%
ED activities	66 279	752 000	552 000	552 000	-26,60%
Special Operations Programmes	3 203 329	9 200 000	6 900 000	6 900 000	-25,00%
Other expenditure	0	19 390 000	8 935 000	8 935 000	-53,92%
TOTAL	110 822 119	162 026 000	168 007 000	168 007 000	3,69%

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	48 530 504	50 193 000	62 383 000	62 383 000	24,29%
Salaries & allowances	45 265 354	46 322 039	57 595 782	57 595 782	24,34%
- Of which establishment plan posts	42 487 000	42 165 125	52 401 713	52 401 713	24,28%
- Of which external personnel	2 778 354	4 156 914	5 194 069	5 194 069	24,95%
Expenditure relating to Staff recruitment	564 347	834 082	992 002	992 002	18,93%
Mission expenses	38 984	0	0	0	◆
Socio-medical infrastructure	82 035	74 540	84 675	84 675	13,60%
Training	266 373	456 881	524 570	524 570	14,82%
External Services	126 923	122 092	330 438	330 438	170,65%
Receptions, events and representation	75 911	101 529	108 769	108 769	7,13%
Social welfare	2 110 577	2 281 837	2 746 764	2 746 764	20,38%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	10 566 456	11 500 000	16 869 000	16 869 000	46,69%
Rental of buildings and associated costs	6 316 296	7 430 599	10 369 539	10 369 539	39,55%
Information, communication technology and data processing	3 367 216	2 901 121	3 383 069	3 383 069	16,61%
Movable property and associated costs	59 140	326 487	1 861 110	1 861 110	470,04%
Current administrative expenditure	421 280	702 231	1 090 632	1 090 632	55,31%
Postage / Telecommunications	402 524	139 562	164 650	164 650	17,98%

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	29 621 164	52 392 000	43 115 000	43 115 000	-17,71%
Certification activities	24 915 156	26 951 000	27 975 000	27 975 000	3,80%
Standardisation activities	48 785	0	0	0	◆
Development data base	0	0	0	0	◆
Communication and publication	255 933	219 000	219 000	219 000	0,00%
Meeting expenses	78 828	166 304	166 079	166 079	-0,14%
Translations and interpretation costs	13 714	216 476	224 242	224 242	3,59%
Rulemaking activities	0	0	0	0	◆
Mission,entertainment and representation expenditure	3 626 400	4 952 357	5 061 345	5 061 345	2,20%
Technical training	366 634	496 863	534 334	534 334	7,54%
ED activities	315 714	0	0	0	◆
Special Operations Programmes	0	0	0	0	◆
Other expenditure	0	19 390 000	8 935 000	8 935 000	-53,92%
TOTAL	88 718 124	114 085 000	122 367 000	122 367 000	7,26%

3.2.2.2 Payment appropriations (Fees and charges)

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	48 266 169	50 193 000	62 383 000	62 383 000	24,29%
Salaries & allowances	45 223 957	46 322 039	57 595 782	57 595 782	24,34%
- Of which establishment plan posts	42 489 547	42 165 125	52 401 713	52 401 713	24,28%
- Of which external personnel	2 734 410	4 156 914	5 194 069	5 194 069	24,95%
Expenditure relating to Staff recruitment	528 737	834 082	992 002	992 002	18,93%
Mission expenses	38 246	0	0	0	◆

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	65 303	74 540	84 675	84 675	13,60%
Training	164 700	456 881	524 570	524 570	14,82%
External Services	102 840	122 092	330 438	330 438	170,65%
Receptions, events and representation	47 756	101 529	108 769	108 769	7,13%
Social welfare	2 094 630	2 281 837	2 746 764	2 746 764	20,38%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	8 169 340	11 500 000	16 869 000	16 869 000	46,69%
Rental of buildings and associated costs	6 060 814	7 430 599	10 369 539	10 369 539	39,55%
Information, communication technology and data processing	1 469 167	2 901 121	3 383 069	3 383 069	16,61%
Movable property and associated costs	43 511	326 487	1 861 110	1 861 110	470,04%
Current administrative expenditure	308 385	702 231	1 090 632	1 090 632	55,31%
Postage / Telecommunications	287 463	139 562	164 650	164 650	17,98%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	18 857 981	52 392 000	43 115 000	43 115 000	-17,71%
Certification activities	14 938 842	26 951 000	27 975 000	27 975 000	3,80%
Standardisation activities	48 785	0	0	0	◆
Development data base	0	0	0	0	◆
Communication and publication	108 717	219 000	219 000	219 000	0,00%
Meeting expenses	76 610	166 304	166 079	166 079	-0,14%
Translations and interpretation costs	10 651	216 476	224 242	224 242	3,59%
Rulemaking activities	0	0	0	0	◆
Mission,entertainment and representation expenditure	3 425 463	4 952 357	5 061 345	5 061 345	2,20%
Technical training	210 039	496 863	534 334	534 334	7,54%
ED activitues	38 874	0	0	0	◆

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Special Operations Programmes	0	0	0	0	◆
Other expenditure	0	19 390 000	8 935 000	8 935 000	-53,92%
TOTAL	75 293 490	114 085 000	122 367 000	122 367 000	7,26%

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	26 344 907	27 917 000	28 237 000	28 237 000	1,15%
Salaries & allowances	24 706 256	25 763 955	26 070 171	26 070 171	1,19%
- Of which establishment plan posts	22 143 907	23 451 862	23 719 189	23 719 189	1,14%
- Of which external personnel	2 562 349	2 312 093	2 350 982	2 350 982	1,68%
Expenditure relating to Staff recruitment	270 130	463 920	449 008	449 008	-3,21%
Mission expenses	19 500	0	0	0	◆
Socio-medical infrastructure	41 494	41 460	38 326	38 326	-7,56%
Training	136 821	254 119	237 435	237 435	-6,57%
External Services	65 557	67 908	149 565	149 565	120,25%
Receptions, events and representation	38 734	56 471	49 232	49 232	-12,82%
Social welfare	1 066 415	1 269 167	1 243 263	1 243 263	-2,04%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	5 738 640	6 711 000	7 104 000	7 104 000	5,86%
Rental of buildings and associated costs	3 398 353	4 336 400	4 366 653	4 366 653	0,70%
Information, communication technology and data processing	1 860 038	1 692 879	1 424 831	1 424 831	-15,83%
Movable property and associated costs	29 802	190 513	783 835	783 835	311,43%
Current administrative expenditure	244 381	409 770	459 336	459 336	12,10%
Postage / Telecommunications	206 066	81 438	69 345	69 345	-14,85%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	8 910 736	13 313 000	10 299 000	10 299 000	-22,64%
Certification activities	0	0	0		-100%
Standardisation activities	80 297	230 000	230 000	230 000	0,00%
Development data base	0	0	0	0	◆
Communication and publication	103 006	81 000	81 000	81 000	0,00%
Meeting expenses	248 715	318 696	318 921	318 921	0,07%
Translations and interpretation costs	57 194	229 524	237 758	237 758	3,59%
Rulemaking activities	701 180	925 000	451 000	451 000	-51,24%
Mission,entertainment and representation expenditure	1 208 800	1 410 643	1 349 655	1 349 655	-4,32%
Technical training	92 221	166 137	178 666	178 666	7,54%
ED activities	898 065	752 000	552 000	552 000	-26,60%
Special Operations Programmes	5 521 258	9 200 000	6 900 000	6 900 000	-25,00%
Other expenditure					
TOTAL	40 994 283	47 941 000	45 640 000	45 640 000	-4,80%

3.2.3.2 Payment appropriations (EU Contribution)

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	26 180 953	27 917 000	28 237 000	28 237 000	1,15%
Salaries & allowances	24 657 242	25 763 955	26 070 171	26 070 171	1,19%
- Of which establishment plan posts	22 141 360	23 451 862	23 719 189	23 719 189	1,14%
- Of which external personnel	2 515 882	2 312 093	2 350 982	2 350 982	1,68%
Expenditure relating to Staff recruitment	251 108	463 920	449 008	449 008	-3,21%
Mission expenses	19 131	0	0	0	◆
Socio-medical infrastructure	33 031	41 460	38 326	38 326	-7,56%
Training	84 597	254 119	237 435	237 435	-6,57%
External Services	53 118	67 908	149 565	149 565	120,25%

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Receptions, events and representation	24 368	56 471	49 232	49 232	-12,82%
Social welfare	1 058 358	1 269 167	1 243 263	1 243 263	-2,04%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 443 824	6 711 000	7 104 000	7 104 000	5,86%
Rental of buildings and associated costs	3 267 754	4 336 400	4 366 653	4 366 653	0,70%
Information, communication technology and data processing	843 756	1 692 879	1 424 831	1 424 831	-15,83%
Movable property and associated costs	21 935	190 513	783 835	783 835	311,43%
Current administrative expenditure	162 882	409 770	459 336	459 336	12,10%
Postage / Telecommunications	147 497	81 438	69 345	69 345	-14,85%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	4 903 852	13 313 000	10 299 000	10 299 000	-22,64%
Certification activities	0	0	0	0	◆
Standardisation activities	80 297	230 000	230 000	230 000	0,00%
Development data base	0	0	0	0	◆
Communication and publication	43 755	81 000	81 000	81 000	0,00%
Meeting expenses	241 716	318 696	318 921	318 921	0,07%
Translations and interpretation costs	44 418	229 524	237 758	237 758	3,59%
Rulemaking activities	68 279	925 000	451 000	451 000	-51,24%
Mission,entertainment and representation expenditure	1 141 821	1 410 643	1 349 655	1 349 655	-4,32%
Technical training	52 832	166 137	178 666	178 666	7,54%
ED activities	27 405	752 000	552 000	552 000	-26,60%
Special Operations Programmes	3 203 329	9 200 000	6 900 000	6 900 000	-25,00%
Other expenditure	0	0	0	0	◆
TOTAL	35 528 629	47 941 000	45 640 000	45 640 000	-4,80%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 551 450.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The European Commission cannot support the agency's request for human resources going beyond the level set in the Commission Communication, with the exception of one additional post.

Financial Resources

The Agency's request for the EU subsidy corresponds to the ceiling set out in the Communication COM (2013)519.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

The number of the fees and charges posts in 2016 remains flat – 453 TA (the same level as in 2015, 10 less than in 2014).

4.1.1.2 Number of staff requested (EU Contribution)

In the present planning cycle the Agency will continue its efforts in order to achieve higher efficiency in all the activities. Those actions are considered as a top priority due to the increasing number of activities and the flat EU budget allocated. In accordance with the new tasks still to be implemented, the Agency is labelled in 2015 as Agency with new tasks. Although the Agency will apply a reduction in subsidy financed posts, it will need to ensure that the following upcoming tasks are performed: the support to the European Commission in developing a proposal for the revision of the Basic Regulation reflecting on future extensions of competence of the Agency; expected growing standardisation and accreditation activity following the new domains of the Basic Regulation; enhancement of EASA regulatory system and its promotion and implementation to new regions; the implementation of a risk based safety management system, which includes a review of the safety analysis methodologies, and top-down, risk based safety programming. This will also be supported by a “big data” project; the set-up of a new European Central Question Bank (ECQB), supported by an improved process aiming to significantly raise the productivity of the question development and maintenance processes and to improve the overall quality of newly developed questions; the implementation of a Roadmap for the Regulation of General Aviation (GA), which will start delivering measures aiming at proportionate and risk-based regulations for general aviation; the implementation of the Virtual Academy to enable the provision of aviation safety training services through the creation of a permanent body of entities carefully selected to complement (without replacing) the existing systems; the implementation of outsourcing partnership agreements that will have been concluded end of 2014/early 2015 with several NAAs; the support of Member States and industry on the implementation of new regulations.

The Agency will apply a reduction in the subsidy funding posts in order to comply the requested reduction. Although the agency requests to receive 4 new subsidy posts for the TCO/RPAS activities, the Agency is still committed to perform the requested reduction, which brings the level of the subsidy posts from 226 in 2015 to 225 in 2016.

4.1.2 Salary assumption for calculating salary line (% applied)

Both for posts financed from fees and charges and the EU contribution, the evolution of salaries for 2016 has been calculated based on the following assumptions:

Annual salary adjustment for 2016:	2,55%
Salary adjustment & country coefficient (established yearly by the Commission)	0,85%
Seniority/step increase (automatic increase as per the Staff regulation)	2,1%
Reclassification/Promotion (based on historical average)	0,6%
Vacancy rate (takes into account natural turnover)	-1,0%

4.1.3 Vacancy rate of end 2014

The total vacancy rate at the end of 2014 stood at 5%, of which 5,4% for fees and charges and 4,% for the EU contribution posts.

4.1.4 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charged)

The revision of the Fees and Charges Regulation was adopted in March 2014 and entered into force on 1 April 2014. The 2016 Draft Budget includes the payment of pension contributions (employer's part) for Fees and Charges staff (EUR 7.8 million) that was so far paid by the European Commission. Consequently the fees and charges revenues have been adjusted by 3%, although the final proposed increase of tariffs will be finalised at the beginning of 2015 taking due account of the Agency's revised strategy and future market evolution. In 2015 the Budgetary Authority reduced the authorised 2014 fees and charges posts by 10 Temporary Agents (AST posts) bringing the total down to 453. For 2016 the Agency is not requesting any additional post.

4.2.1.2 Title 1 (EU Contribution)

In 2015 and 2016 the Commission classified the Agency as "new tasks" providing the opportunity to marginally increase the number of subsidy financed posts in 2015 from 222 to 226 offsetting the 2% reduction. In 2016 the 2% reduction is only partially compensated by the remaining allocation of new posts bringing the total down to 225.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

The increase in Title 2 from 2015 to 2016 is mainly due to the new building and the related relocation, e.g fitting out premises and purchase of furniture. The main increase is related to the relocation to the new building.

4.2.2.2 Title 2 (EU Contribution)

The increase in Title 2 from 2015 to 2016 is mainly due to the new building and the related relocation, e.g fitting out premises and purchase of furniture. In 2015 and 2016 the financial programming includes EUR 1,5 million annually to cover the relocation costs to the new building.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

The evaluation of the fees and charges activities related to outsourcing brings the need to increase the budget by almost EUR 1 million.

4.2.3.2 Title 3 (EU Contribution)

Generally the available budget is substantially stable following the Draft Work Programme 2016.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

N/A

4.3.2 Ad hoc grants and delegation agreements (EU Contributions)

The Agency is signing contracts with the EU Commission (DG DEVCO, DG MOVE, DG FPI, DG NEAR and EU Delegations) to perform technical cooperation projects and promote/improve the level of aviation safety. To this end, the Agency receives “earmarked” funds to finance the projects activities. These projects may take different contractual forms but have been done mostly through grants. The following projects are foreseen during 2015 and 2016:

- Budget allocated by DG DEVCO and the Delegation of the European Union to Thailand to assist them in the implementation of the AATIP project (Association of Southeast Asian Nations (ASEAN) Air Transport Integration). The Project AATIP supports the achievement of a safe and sustainable ASEAN Single Aviation Market: in total EUR 4.7 million spread over 4 years. The contract was signed in November 2012 for 4 years. The amount of EUR 2 251 377 has already been paid by the Commission. Current distribution EUR 1 million. Planned distribution for 2016 EUR 0.9 million;

- Budget allocated by DG DEVCO and the ACP Secretariat to assist them in the implementation of the SIASA or SATA project (Support to the Improvement of Aviation Safety in Africa) - support to the sub-Saharan states in improving their implementation of ICAO safety standards through regulation development activities, training and workshop sessions: in total EUR 2.7 million spread over 3 years. Amount already paid by the Commission: EUR 2 294 054. The contract was signed in January 2013 and will end in 2016. Current distribution EUR 1 092 143. Planned distribution for 2016 EUR 100 000;

- Budget allocated by DG DEVCO for the implementation of the project DCA Zambia: in total EUR 177 140 spread over 2 years; The contract ends end of December 2015. Estimated appropriation EUR 50 000 to be readjusted later in the year if needed;

- Budget allocated by DG DEVCO & the EU Delegation in Central African Republic for the implementation of the CEMAC project – support to Central African countries in the setup of a regional safety oversight organisation, including the training of inspectors and an aerodrome rehabilitation study: in total EUR 2.7 million spread over 3 years. The contract was signed in August 2013 for 36 months. Current distribution EUR 1 million. Planned distribution for 2016 EUR 300 000;

- Black List (Safety List) Budget allocated by DG MOVE: EUR 850 000 up to end 2015. Current distribution EUR 337 857. Planned distribution for 2016 EUR 500 000;

- Budget allocated by DG DEVCO & the EU Delegation of Malawi to assist the country in the implementation of the MALAWI project - support to the Malawi authorities in the enhancement of their regulatory oversight total of EUR 2.5 million spread over 3 years. The project was signed in December 2013. Current distribution EUR 900 000. Planned distribution for 2016 EUR 680 000;

- Budget allocated by DG MOVE for the ENP project (European Neighbourhood Policy) to contribute to the implementation of aviation safety in the ENP region and to promote awareness and involvement of ENP countries in the work of EASA in the interest of aviation safety. The project was signed in December 2013 for 24 months and for a total of EUR 418 000 – Estimated appropriation for 2015: EUR 410 000. Planned distribution for 2016 EUR 20 000;

- Budget allocated by DG DEVCO for the EUROMED Aviation Safety Project to promote the harmonisation of safety standards, rules and procedures between the EU and Mediterranean partner states: in total EUR 2 million for 2 years. The contract will start in 2015 and end December 2016, the full amount will be paid in 2015 and appropriation is foreseen for half of the total amount: EUR 1 million. Planned distribution for 2016 EUR 1 million;

- Budget allocated by DG DEVCO to project: TRACECA (Transport Corridor Europe-Caucasus –Asia): in total EUR 2.5 million spread over 3 years. The contract ends in May 2015. The full amount has been paid by the Commission. The full possible amount for appropriation is then accountable and provided in 2015: EUR 730 000 - No additional funding expected for this project;

- Budget allocated by DG DEVCO for the TRACECAIII project (Transport Corridor Europe-Caucasus-Asia) to help the civil aviation authorities to adjust to ICAO and EU standards: in total EUR 5 million spread over 4 years. The contract will be signed in the course of 2015 and end in 2019. Estimated appropriation for 2015: EUR 450 000 - to be readjusted in April if needed. Planned distribution for 2016 EUR 1 million;

- Budget allocated by DG NEAR for the IPAIII project (Instrument for Pre-accession Assistance) in South Eastern Europe to support the development of a framework for aviation safety in the region in line with the EU civil aviation standards. The project will be signed in the course of 2015 for 24 months and for a total of EUR 500 000 – Estimated appropriation for 2015: EUR 250 000. Planned distribution for 2016 EUR 400 000;

- Budget allocated by DG FPI for the SOUTH ASIA project to develop European aviation interest (policy, standards, services and products) in South Asia. The project is under discussion. It may be signed in the course of 2015 for 4 years and for a total of EUR 750 000 - Estimated appropriation for 2015: EUR 980.000 to be readjusted later in the year. Planned distribution for 2016 EUR 1 million;

- Budget allocated by DG FPI for the EU CHINA project to develop European aviation interest (policy, standards, services and products) in China. The project is under discussion. It may be signed in the course of 2015 for 4 years and for a total of EUR 10 million – Estimated appropriation for 2015: EUR 1 million to be readjusted later in the year. Planned distribution for 2016 EUR 1 million.

To run these contracts the project teams consist of Project Managers, Operational Managers and Project Assistants. In 2014, 15.958 hours have been booked under SAP by the project team members.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Koln Triangle, Ottoplatz1, Cologne	
Surface area (in square metres)	18.645	
Of which office space	16 095	
Of which non-office space	2 551	
Annual rent (in EUR)	6 662 083	Figure includes estimated increase of building charges (€0.89/m ² /month) and rent indexation of 3.5122% applied as of 01.01.2014. Rentable area valid as of 1st June 2012
Type and duration of rental contract	Rental 15.05.2004-30.06.2016	
Host country grant or support	Not in 2015	
Present value of the building	Not applicable	

	Name, location and type of building	Other Comment
Information to be provided per building:	Ave de Cortenbergh 100; 1040 Brussels	Permanent office planned for the 1st of March 2012 available Figure includes building charges which fluctuate on an annual basis
Surface area (in square metres)	944,39	
Of which office space	540,66	
Of which non-office space	403,73	Non-office space consists of meeting rooms on level 0.
Annual rent (in EUR)	330.609	
Type and duration of rental contract	Rental 01.03.2012-28.02.2021	
Host country grant or support	No	
Present value of the building	Not applicable	

5.1.2 Building project in the planning phase

The Agency will move to a new headquarters in Cologne by 30.06.2016. The project has been approved by the Council (06.06.2013) and the European Parliament (27.06.2013).

5.1.3 Building projects submitted to the European Parliament and the Council

The Agency will move to a new headquarters in Cologne by 30.06.2016. The project has been approved by the Council (06.06.2013) and the European Parliament (27.06.2013).

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Brussels	12 months VAT free for certain types of purchases (electrical goods, furniture, car etc.) based on the same agreement between the EC and the Belgium Minister of Foreign Affairs	Access to the Crèche of the European institutions (access granted based on the institution contribution & parental contribution)
In the absence of a European School in Cologne, EASA signed service contracts with international schools in the Cologne area	EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed within the limit of a ceiling established per school year (12.279€ for primary school and 14.207€ for secondary school for the school year 2013-2014)	
Agreement between EASA & KVB (the company offering public transport services in the Cologne area)	EASA staff members can benefit (as any other employees working for a company located in the Cologne area) from a cheaper price for the public transport season ticket. The cost of the season ticket is deducted on a monthly basis from the EASA employees' salaries who have subscribe via EASA for the public transport season ticket	
FWC between EASA and a relocation company	EASA staff members can benefit from the services of a relocation company (once for 20hrs) to help them finding an accommodation and settling in the Cologne area.	

5.3 European schools

There is no European School Type 2 in the Cologne area, however due to the lack of a European School EASA has signed service contracts with 5 international schools in the Cologne area and is financing the school fees of children attending primary and secondary schools within the established ceilings of EUR 12 279 per child in primary school and EUR 14 207 per child in secondary school. These ceilings were established for the 2013-2014 school year and are revised on a yearly basis.

5.4 Evaluation

In line with the Basic Regulation, the Management Board received the findings of latest evaluation, carried out in 2013. The next step was for the MB to issue recommendations regarding changes to this Regulation, the Agency and its working practices to the Commission who in turn may forward them, together with its own opinion as well as appropriate proposals, to the European Parliament and to the Council. This was done in the third quarter of 2013 and included an action plan with a timetable. Both the findings and the recommendations of the evaluation were made public.

A new evaluation of the agency is expected to be carried out by end 2018.

<https://www.easa.europa.eu/system/files/dfu/Article%2062%20Report.pdf>

2.2.1.1.7 European Maritime Safety Agency - EMSA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation 1406/2002	27/06/2002	The Agency has been created to ensure a high, uniform and effective level of maritime safety, maritime security within the limits of the tasks defined in the Regulation, prevention of pollution and response to pollution by ships within the Community. The Agency provides the Member States and the Commission with the technical and scientific assistance needed and with a high level of expertise, in order to help them to apply Community legislation properly in the above-mentioned fields, to monitor its implementation and to evaluate the effectiveness of the measures in place.
Regulation 1644/2003	22/07/2003	The amendments refer to the right of access to documents, implementation and control of the budget.
Regulation 724/2004	31/03/2004	Maritime security (limited to ship, relevant companies and Recognised Security Organisations) and response to pollution by ships have been included within the mandate of the Agency. A specific new task, "to support with additional means in a cost efficient way the national pollution response actions in case of accidental or deliberate pollution caused by ships, upon request" has been added.
Regulation 100/2013 amending Regulation 1406/2002	15/01/2013	The revised objectives of the Agency are defined as "ensuring a high, uniform and effective level of maritime safety, maritime security, prevention of, and response to, pollution caused by ships as well as response to marine pollution caused by oil and gas installations. To that end, the Agency shall cooperate with the Member States and the Commission and provide them with technical, operational and scientific assistance in the relevant fields of activities. It is also stressed that by providing its assistance, the Agency shall, where appropriate, contribute to the overall efficiency of maritime traffic and maritime transport as set out in this Regulation, so as to facilitate the establishment of a European maritime transport space without barriers.
Regulation 911/2014 multiannual funding for the action of the European Maritime Safety Agency in the field of response to marine pollution caused by ships and oil and gas installations (replacing Regulation 2038/2006)	23/07/2014	The Regulation lays down the detailed arrangements for the financial contribution of the Union to the budget of the European Maritime Safety Agency for the implementation of the tasks assigned to it in the field of response to marine pollution caused by ships and oil and gas installations, pursuant to Articles 1 and 2 of Regulation (EC) No 1406/2002.

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

06 02 03 01 : European Maritime Safety Agency

06 02 03 02 : European Maritime Safety Agency — Anti-pollution measures

06 02 53 : Completion of anti-pollution measures

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	146	136	144	139
Establishment plan posts : AST	64	63	63	63
Establishment plan posts : AST/SC				

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Total Establishment plan posts	210	199	207	202
Contract Agents	30	27	30	33
Seconded National Experts	18	15	18	18
TOTAL STAFF	258	241	255	253

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015		2016	
	Revenues estimated by the agency		Budget Forecast	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
EU contribution	52 656 000	51 209 997	53 379 372	54 253 413
Additional EU funding: ad hoc grants and Delegation agreements	4 425 000	4 425 000	7 259 000	7 259 000
Other Revenue	1 925 146	1 882 200	1 949 920	1 975 879
TOTAL REVENUES	59 006 146	57 517 197	62 588 292	63 488 292

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	20 932 800	20 932 800	20 932 800	20 932 800
Title 2 - Infrastructure and operating expenditure	4 148 695	4 148 695	4 067 200	4 067 200
Title 3 - Operational expenditure	8 899 651	8 856 705	8 729 292	8 729 292
Title 4 - Anti-pollution measures	20 600 000	19 153 997	21 600 000	22 500 000
Title 5 - Project Financed Actions	4 425 000	4 425 000	7 259 000	7 259 000
TOTAL EXPENDITURE	59 006 146	57 517 197	62 588 292	63 488 292

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		1		2		1		1		1
AD 13	1	3		1	1	3	1	3	1	3
AD 12	1	9	1	5	1	9	1	10	1	10
AD 11		11		3		13	0	14	0	14
AD 10	1	17	1	17	1	17	1	18	1	18
AD 9		28		26		28	0	28	0	28
AD 8	1	24		29	1	24	1	24	1	24
AD 7		24		20		24	0	24	0	24
AD 6		20	1	20		18	0	12	0	12
AD 5		4		10		2	0	0	0	0
AD TOTAL	4	142	3	133	4	140	4	135	4	135
AST 11							0	0	0	0
AST 10		1				1	0	1	0	1
AST 9				1			0	0	0	0
AST 8		1				1	0	1	0	1
AST 7		1		1		2	0	4	0	4
AST 6		5		3		7	0	11	0	11
AST 5		17		17		17	0	18	0	18
AST 4		19		16		19	0	16	0	16
AST 3		17		19		16	0	12	0	12
AST 2		3		6			0	0	0	0
AST 1							0	0	0	0
AST TOTAL		64		63		63	0	63	0	63
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	4	206	3	196	4	203	4	198	4	198
GRAND TOTAL	210		199		207		202		202	

(1) 1 offer letter for a Temporary Agent AD6 has been included.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate (1)(4)(3)(2)
Function Group IV	6	3	6	9
Function Group III	4	4	4	5
Function Group II	18	18	18	17
Function Group I	2	2	2	2
TOTAL	30	27	30	33

(1) In 2014, the Agency had 5 additional project-financed CAs, of which 2 CAs for the implementation of a SLA with FRONTEX, 2 CAs for the implementation of the SAFEMED III programme and 1 CA for the implementation of the TRACECA programme.

(2) Subject to signature of relevant agreements, in 2015 EMSA will have 10 additional project-financed CAs, of which 4 CAs for the implementation of a SLA with FRONTEX, 2 CAs for the implementation of the SAFEMED III programme, 1 CA for the implementation of the TRACECA II programme and 3 CAs for the implementation of COPERNICUS.

(3) Subject to signature of relevant agreements, in 2016 EMSA will have 7 additional project-financed CAs, of which 4 CAs for the implementation of a SLA with FRONTEX, 2 CAs for the implementation of the SAFEMED III programme, and 1 CA for the implementation of the TRACECA II programme.

(4) In 2016, 3 CAs are included for the implementation of COPERNICUS.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	18	15	18	18

3 Financial Resources

3.1 Revenues

3.1.1 Commitment appropriations

REVENUES	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	281 073	300 000	300 000	300 000	0,00%
2 EU CONTRIBUTION	47 541 904	52 656 000	53 379 372	53 379 372	1,37%
- Of which assigned revenues deriving from previous years' surpluses	74 202	1 773 677	2 230 340	2 230 340	25,75%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 438 271	1 511 205	1 519 126	1 519 126	0,52%
- Of which EEA/EFTA (excl. Switzerland)	1 438 271	1 511 205	1 519 126	1 519 126	0,52%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	142 728	4 538 941	7 389 794	7 389 794	62,81%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)		1 925 000	800 000	800 000	-58,44%
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)		2 500 000	6 459 000	6 459 000	158,36%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	49 403 976	59 006 146	62 588 292	62 588 292	6,07%

(1) Fresh appropriations for the year (C1 Funds)

(2) Including EUR 9 000 for Economic and Financial Capacity

(3) 2016 Budget Structure is applied along previous years for comparison reasons. Title 5 Project Financed Actions (R0 Funds) is p.m.

(4) In 2014, EUR 143 771 were committed out of the EUR 425 000 commitment appropriations (mainly for Traceca II and Safemed III)

3.1.2 Payment appropriations

REVENUES	Payment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	281 073	300 000	300 000	300 000	0,00%
2 EU CONTRIBUTION	47 266 173	51 209 997	54 253 413	54 253 413	5,94%

REVENUES	Payment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
- Of which assigned revenues deriving from previous years' surpluses	74 202	1 773 677	2 230 340	2 230 340	25,75%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 429 917	1 468 259	1 545 085	1 545 085	5,23%
- Of which EEA/EFTA (excl. Switzerland)	1 429 917	1 468 259	1 545 085	1 545 085	5,23%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	142 728	4 538 941	7 389 794	7 389 794	62,81%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)		1 925 000	800 000	800 000	-58,44%
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)		2 500 000	6 459 000	6 459 000	158,36%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	49 119 891	57 517 197	63 488 292	63 488 292	10,38%

(1) Fresh appropriations for the year (C1 Funds)

(2) Including EUR 9 000 for Economic and Financial Capacity

(3) 2016 Budget Structure is applied along previous years for comparison reasons. Title 5 Project Financed Actions (R0 Funds) is p.m

(4) In 2014, EUR 93 063 were paid out of the EUR 425 000 payment appropriations (mainly for Traceca II and Safemed III)

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
Title 1 - Staff expenditure	19 669 431	20 932 800	20 932 800	20 932 800	0,00%
Salaries & allowances	18 301 367	19 762 800	19 762 800	19 762 800	0,00%
- Of which establishment plan posts	16 459 966	17 713 800	17 713 800	17 713 800	0,00%
- Of which external personnel	1 841 401	2 049 000	2 049 000	2 049 000	0,00%
Expenditure relating to Staff recruitment	80 816	275 000	275 000	275 000	0,00%
Mission expenses	80 000	75 000	75 000	75 000	0,00%
Socio-medical infrastructure	17 475	15 000	15 000	15 000	0,00%
Training	294 629	310 000	310 000	310 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
External Services					
Receptions, events and representation	28 084	30 000	30 000	30 000	0,00%
Social welfare	867 060	465 000	465 000	465 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 598 310	4 148 695	4 067 200	4 067 200	-1,96%
Rental of buildings and associated costs	3 177 999	3 291 200	3 211 205	3 211 205	-2,43%
Information, communication technology and data processing	981 844	390 000	390 000	390 000	0,00%
Movable property and associated costs	42 401	68 000	66 500	66 500	-2,21%
Current administrative expenditure	186 650	132 500	132 500	132 500	0,00%
Postage / Telecommunications	99 416	156 995	156 995	156 995	0,00%
Meeting expenses	110 000	110 000	110 000	110 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 958 403	8 899 651	8 729 292	8 729 292	-1,91%
Information Services & Databases	3 072 529	4 683 341	4 303 942	4 303 942	-8,10%
Information and Communication	160 868	230 000	200 000	200 000	-13,04%
Operational Workshops & Training	1 028 756	1 311 100	1 408 100	1 408 100	7,40%
Studies in support of the Agency's operations	350 657	443 210	623 250	623 250	40,62%
Operational missions	610 557	665 000	630 000	630 000	-5,26%
L.R.I.T.	1 735 036	1 567 000	1 564 000	1 564 000	-0,19%
Title 4 - Anti-pollution measures	18 441 330	20 600 000	21 600 000	21 600 000	4,85%
Pollution Response Services	14 572 890	16 439 400 (2)	17 400 000	17 400 000	5,84%
CleanSeaNe	3 467 842	3 850 000	3 900 000	3 900 000	1,30%
Co-operation & Co-ordination and Information	400 598	310 600	300 000	300 000	-3,41%
Title 5 - Project Financed Actions	425 000	4 425 000	7 259 000	7 259 000	64,05%
Maritime Information Services					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
Assistance to Candidate and ENP Countries	425 000 (4)	1 925 000	800 000	800 000	-58,44%
FRONTEX SLA					
CleanSeaNet Services to Third Parties					
COPERNICUS		2 500 000	6 459 000	6 459 000	158,36%
EQUASIS					
THETIS Modules					
TOTAL	50 092 474	59 006 146	62 588 292	62 588 292	6,07%

(1) Fresh appropriations for the year (C1 Funds)

(2) Including 9 000 EUR for Economic and Financial Capacity

(3) 2016 Budget Structure is applied along previous years for comparison reasons. Title 5 Project Financed Actions (R0 Funds) is p.m.

(4) In 2014, EUR 143 771 were committed out of the EUR 425 000 commitment appropriations (mainly for Traceca II and Safemed III)

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
Title 1 - Staff expenditure	19 479 297	20 932 800	20 932 800	20 932 800	0,00%
Salaries & allowances	18 205 155	19 762 800	19 762 800	19 762 800	0,00%
- Of which establishment plan posts	16 459 749	17 713 800	17 713 800	17 713 800	0,00%
- Of which external personnel	1 745 406	2 049 000	2 049 000	2 049 000	0,00%
Expenditure relating to Staff recruitment	54 408	275 000	275 000	275 000	0,00%
Mission expenses	68 683	75 000	75 000	75 000	0,00%
Socio-medical infrastructure	10 584	15 000	15 000	15 000	0,00%
Training	271 648	310 000	310 000	310 000	0,00%
External Services					
Receptions, events and representation	21 759	30 000	30 000	30 000	0,00%
Social welfare	847 060	465 000	465 000	465 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 646 594	4 148 695	4 067 200	4 067 200	-1,96%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request (3)	Budget forecast	
Rental of buildings and associated costs	2 945 934	3 291 200	3 211 205	3 211 205	-2,43%
Information, communication technology and data processing	451 923	390 000	390 000	390 000	0,00%
Movable property and associated costs	25 542	68 000	66 500	66 500	-2,21%
Current administrative expenditure	77 187	132 500	132 500	132 500	0,00%
Postage / Telecommunications	51 933	156 995	156 995	156 995	0,00%
Meeting expenses	94 075	110 000	110 000	110 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 341 364	8 856 705	8 729 292	8 729 292	-1,44%
Information Services & Databases	3 407 140	4 332 855	4 273 962	4 273 962	-1,36%
Information and Communication	132 610	245 000	200 000	200 000	-18,37%
Operational Workshops & Training	907 257	1 301 100	1 418 100	1 418 100	8,99%
Studies in support of the Agency's operations	327 459	760 750	663 230	663 230	-12,82%
Operational missions	545 649	665 000	630 000	630 000	-5,26%
L.R.I.T.	2 021 249	1 552 000	1 544 000	1 544 000	-0,52%
Title 4 - Anti-pollution measures	18 916 130	19 153 997	22 500 000	22 500 000	17,47%
Pollution Response Services	14 729 387	14 539 147 (2)	18 330 140	18 330 140	26,07%
CleanSeaNe	3 923 733	4 298 000	3 900 000	3 900 000	-9,26%
Co-operation & Co-ordination and Information	263 010	316 850	269 860	269 860	-14,83%
Title 5 - Project Financed Actions	425 000	4 425 000	7 259 000	7 259 000	64,05%
Maritime Information Services					
Assistance to Candidate and ENP Countries	425 000 (4)	1 925 000	800 000	800 000	-58,44%
FRONTEX SLA					
CleanSeaNet Services to Third Parties					
COPERNICUS		2 500 000	6 459 000	6 459 000	158,36%
EQUASIS					

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		
			Agency request (3)	Budget forecast	
THETIS Modules					
TOTAL	49 808 385	57 517 197	63 488 292	63 488 292	10,38%

(1) Fresh appropriations for the year (C1 Funds)

(2) Including EUR 9 000 for Economic and Financial Capacity

(3) 2016 Budget Structure is applied along previous years for comparison reasons. Title 5 Project Financed Actions (R0 Funds) is p.m .

(4) In 2014, EUR 93 063 were paid out of the EUR 425 000 payment appropriations (mainly for Traceca II and Safemed III)

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 2 230 340.

4 Justification of needs

Commission Assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The level of human resources requested by the Agency is consistent with the staffing level indicated in the Commission Communication COM(2013)519. The required staff reduction (-5 statutory posts) is still absorbed with the end result of an anticipated very low vacancy rate of 1.48% in 2016. There is no impact on the delivery of operational activities. On the contrary, the Agency will still perform the tasks that have been assigned to it in the revised founding Regulation, including new tasks, thanks to increased working hours as well as internal mobility, redeployment and the creation of task forces. To be noted as well that the level of CAs and SNEs financed by annual fresh credits has been kept stable. On the other hand there is an increase in the number of CAs projects-financed but their number has been approved for each project.

Financial Resources

The Agency request for financial resources complies with the ceiling that has been fixed in COM(2013)519. The appropriations requested for titles 1 & 2 (staff and infrastructure) are necessary to fulfil the Agency legal obligations. For the new title 3 'traditional operational activities' (without anti-pollution activities as new title 4 and without projects), the Commission approves the increase in expenditure related to information services and databases in line with the priority to develop digital integrated maritime services. The Commission also approves the increase in workshops and studies which will reinforce the technical assistance provided by the Agency to the Commission and the Member States. These expenses are fully justified with regard to the extended mandate of the Agency in a certain number of areas.

For title 4 'anti-pollution measures', the figures are in line with the Commission proposal on multiannual funding for EMSA in the field of response to pollution (COM(2013)174) and will allow to maintain the CleanSeaNet service and the network of vessels on stand-by and equipment stockpiles as well as to continue developing the dispersants capability needed as one of the options to respond to pollution from oil and gas installations according to the revised founding Regulation. To be noted that the increase in payment appropriations for 2016 (in line with COM(2013)174) is needed to comply with the relevant contractual obligations following the cut in payment appropriations for 2015.

For title 5 'projects-financed actions', the amounts are assessed separately for each project outside of the main subsidy.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1 Number of staff requested

In the Communication on the programming of human and financial resources for decentralised agencies for 2014-2020, the Agency has been requested for 2016 to implement a cut of -5 statutory posts in its establishment plan. Being an Agency with an enlarged mandate as from 2013, the Agency plans still to perform the relevant tasks, as outlined in the proposed Preliminary Work Programme 2016 with an establishment plan of 202 posts, through internal mobility and redeployment and creation of task forces as relevant. The level of CAs has increased from 30 to 33, with the inclusion of 3 additional CAs for the implementation of COPERNICUS services. SNEs financed by annual fresh credits have been kept stable (18 SNEs).

4.1.2 Salary assumption for calculating salary line (% applied)

The following assumptions have been made: vacancy rate: 1% in the light of the reduction of the establishment plan; 82.2% weighting coefficient for Portugal; and Annual adjustment of 0.5% for 2016.

4.1.3 Vacancy rate of end 2014

3,86% (against 207 posts authorised as from 1.1.2015); 1,48% (against 202 posts which is the target as from 1.1.2016).

4.1.4 Standard abatement ('abatement forfaitaire') applied

0.5%.

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1 Title 1

Under this Title the Agency will need EUR 20 932 800 both in commitment and payment appropriations. EUR 130 794 will be covered with miscellaneous revenue. The requested amount under this Title is needed in order primarily to cover the costs of salaries and allowances of 202 statutory staff (permanent and temporary agents) and 51 non statutory staff (18 national experts and 33 contract agents). Training actions (languages, specific training, personal and professional development) are charged against this title. The social measure for ensuring the access to multilingual tuition as well as other social measures for specific needs are also included in this Title. This Title has been kept at the same level as that of 2015 in terms of financial resources. It has to be outlined that the proposed amounts, in the light of the current pay roll of the Agency, represent the minimum resources needed to fulfil the legal obligations coming from the implementation of the Staff Regulations. Given that the budget ceilings are the same as 2015 in the event of a positive increase of the coefficient corrector for Portugal and/or a significant annual adjustment increase, additional funding for Title 1 might be required.

4.2.2 Title 2

Under this Title the Agency will need EUR 4 067 200 in commitment and payment appropriations. The main entry under this title is the rental and associated costs for the Agency's premises, with EUR 3 211 205 allocated to Chapter 20. This Title has been reduced compared to 2015 (-1,96% of both commitment and payment appropriations).

4.2.3 Title 3 – Operational expenditure

Under Title 3 the Agency will need EUR 8 729 292 in commitment appropriations and EUR 8 756 022 in payment appropriations. Title 4 "Anti-Pollution Measures" will need EUR 21 600 000 in commitment appropriations and EUR 22 500 000 in payment appropriations. The Agency's Preliminary Work Programme for 2016 gives a full picture of relevant activities. Under Title 3 and Title 4 the Agency runs the following major projects in compliance with its Founding Regulation, its Annual Work Programme, its Annual Action Plans for Oil Pollution Preparedness and Response and relevant EU legislation:

a) Maritime Applications and related projects: SafeSeaNet system, for monitoring the movement of ships along the EU coasts based on AIS information, including regional servers for the Baltic, the North and the Mediterranean Sea; EU LRIT (Long Range Identification and Tracking) Cooperative Data Centre for monitoring the movement of ships under EU flags and along EU coasts through satellite; LRIT IDE (International Data Exchange); THETIS, the information system to support the inspection regime foreseen by the recast Directive on Port State Control; MaKC (Maritime Knowledge Centre) an operating platform supporting the EMSA PSC training programme, including the Distant Learning Package, a series of distance learning modules about different aspects of PSC practice; RuleCheck, a documentation reference tool for Port State Control Officers; STCW Information System, to collect information in the

field of education, training and certification of seafarers; CleanSeaNet Service; MAR-ICE Service; IMDatE (Integrated maritime Data Environment); EMCIP (European Marine Casualty Information Platform), which includes a database related to marine casualty investigation and a number of analytical tools; and Satellite AIS and maritime surveillance projects.

b) Maritime Databases: Marine Equipment Database and Maritime Information and Statistics- called 'MARINFO' internally.

c) Network of stand-by oil recovery vessels

In addition, under this Title the Agency assists the Commission in the implementation of relevant EU legislation through a programme of inspections and visits, technical assistance through horizontal analyses, studies, reports, and offers an extensive programme of training to Member States.

As concerns Title 3, the budget requested presents a decrease of - EUR 170 359 for commitment appropriations compared to the previous year. The major changes in commitment appropriations, compared to 2015, are in the following Chapters: Chapter 3.1 "Information Services & Databases" (- EUR 379 399); Chapter 3.3 "Operational Workshops & Trainings" (+ EUR 97 000); Chapter 3.5 "Studies in support of the Agency's operations" (+ EUR 180 040); and Chapter 3.7 "LRIT" (without IMDATE) (- EUR 3 000). In the new 2016 budget structure, this chapter will be numbered Chapter 3.7).

More in particular, under Chapter 3.1 (Information Services & Databases) the Agency will need in total EUR 4 303 942 for commitment appropriations. Chapter 3.2, "Information and Communication", including "Operational Translations", has been reduced by - EUR 30 000 representing -13,04% compared to 2015. Chapter 3.3, "Operational workshops & Trainings" has an increase by +EUR 97 000 representing +7,40% compared to 2015. This will allow carrying out some additional workshops in the field of Accident Investigation, EU Environmental Legislation and workshop on best practise/horizontal analysis. Studies will be carried out as requested by the Commission: Chapter 3.5, "Studies", has an increase of + EUR 180 040 compared to 2015. The focus will be on marine equipment, ship safety, ship emissions. The Agency will continue to carry out visits and inspections to monitor the implementation of EU legislation on request of the Commission (Recognised Organisations, Systems for Maritime education, training and certification of seafarers, Port State Control, Maritime Security, Vessel Traffic Monitoring, Marine Equipment, Sulphur Directive). The budget allocated in Chapter 3.6, "Operational Missions", will be slightly decrease by - EUR 35 000 (- 5,26% compared to 2015), leaving a total of 630 000 for commitment appropriations. Under Chapter 3.7 "LRIT" (new numbering for Budget 2016), the needs in commitment appropriations for 2016 for LRIT will be at EUR 1 564 000, in line compared to 2015.

4.2.4 Title 4 – Anti-pollution measures (APM)

For Anti-Pollution Measures, the proposal for commitment appropriations is based on the relevant figures put forward by the Commission in its Communication of 2013 on multiannual funding for the action of the European Maritime Safety Agency in the field of response to pollution caused by ships and to marine pollution caused by oil and gas installations: EUR 21 600 000 in commitment appropriations and EUR 22 500 000 in payment appropriations.

This will allow to keep up and running - and reinforce it as deemed appropriate - the network of stand-by oil pollution response vessels and specialised equipment stockpiles around Europe, providing a European tier of operational resources to support on request the pollution response mechanisms of any of the Member States, as well as to put in place relevant initiatives as foreseen in the Action Plan for response to marine pollution from oil and gas installations, which includes the development of a dispersants capability (see budget breakdown below). In particular tenders are planned for the network of oil recovery vessels and the new Equipment Assistance Service in the East Mediterranean, the Baltic Sea and the northern North Sea. Resources will also be devoted to overhaul and upgrade aging equipment ("Improvement" project EUR 1 415 000), as well as to CleanSeaNet, the European wide oil spill monitoring and detection service. In 2016 EUR 3 900 000 in commitment and payment appropriations will allow to improve further the service, and enhance the quality and the coverage of the service, including the use of optical images. The CleanSeaNet Data Centre will continue to be hosted in-house.

Item	Anti-pollution measures	Commitment appropriations	Payment appropriations
4100	APM – Pollution response Services, of which: <i>At sea oil recovery service network</i> <i>Platforms</i> <i>Missions</i>	17 400 000 <i>16 770 000</i> <i>550 000</i> <i>80 000</i>	18 330 140 <i>15 627 063</i> <i>1 650 000</i> <i>90 000</i>
4200	APM – CleanSeaNet, of which: <i>CleanSeaNet activities</i> <i>Meetings</i> <i>Missions</i>	3 900 000 <i>3 765 000</i> <i>100 000</i> <i>35 000</i>	3 900 000 <i>3 805 000</i> <i>70 000</i> <i>25 000</i>
4300	APM – Cooperation & Coordination and Information, of which: <i>Cooperation & Coordination and Information</i> <i>Meetings</i> <i>Missions</i>	300 000 <i>120 000</i> <i>160 000</i> <i>20 000</i>	269 860 <i>87 860</i> <i>160 000</i> <i>22 000</i>
Total		21 600 000	22 500 000

4.2.5 Title 5

Title 5 Project Financed Actions represents project financing earmarked for specific actions (R0 Funds) inscribed as p.m. (see point 4.3 Ad hoc grants and delegation agreements).

4.3 Ad hoc grants and delegation agreements

The Agency has the following agreements in place:

- Grant Agreement with DG DEVCO for the management of the SAFEMED III Project (total budget of EUR 3 000 000 from 16.6.2013 to 16.6.2016).
- Grant Agreement with DG DEVCO for the management of the TRACECA II Project for the Black and Caspian Sea (total budget of EUR 850 000 from 16.6.2014 to 16.06.2016).
- Delegation Agreement with DG MOVE for the implementation of the action “Evolution of the SafeSeaNet” of the Integrated Maritime Policy (total budget of EUR 700 000 starting from end of 2012 and expected to finish in March 2015).

Subject to signature of the Delegation Agreement with the Commission foreseen for 2015, the Agency will be the Entrusted Entity to organise and coordinate the Copernicus Maritime Surveillance Services. The indicative amount (in commitments) for 2016 is EUR 6 686 000.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	EMSA HQ, Lisbon	Including indexation. Calculations based on SLA with EMCDDA and lease agreement with APL
Surface area (in square metres)	10 667 m2	
Of which office space	10 180 m2	
Of which non-office space	486,84 m2	
Annual rent (in EUR)	1 840 000	
Type and duration of rental contract	Lease Agreement signed on 01/05/2009 for a period of 25 years	
Host country grant or support	n/a	
Present value of the building	n/a	
	Name, location and type of building	Other Comment

	Name, location and type of building	Other Comment
Information to be provided per building:	Conference Centre (shared with EMCDDA, Lisbon)	Including indexation. Calculations based on SLA with EMCDDA and lease agreement with APL
Surface area (in square metres) Of which office space Of which non-office space	2 116,26 m2	
Annual rent (in EUR)	306 000	
Type and duration of rental contract	Lease Agreement signed on 01/05/2009 for a period of 25 years	
Host country grant or support	N/A	
Present value of the building	N/A	
	Name, location and type of building	Other Comment
Information to be provided per building:	Palacete (shared with EMCDDA, Lisbon)	Including indexation. Calculations based on SLA with EMCDDA and Lease Agreement between EMCDDA and APL
Surface area (in square metres) Of which office space Of which non-office space	1 933,9 m2	
Annual rent (in EUR)	86 000	
Type and duration of rental contract	Service Level Agreement with EMCDDA	
Host country grant or support	N/A	
Present value of the building	N/A	
	Name, location and type of building	Other Comment
Information to be provided per building:	Underground parking (101 spots), Lisbon	Including indexation. Calculations based on SLA with EMCDDA and lease agreement with APL
Surface area (in square metres) Of which office space Of which non-office space	N/A	
Annual rent (in EUR)	143 000	
Type and duration of rental contract	Lease agreement	Lease Agreement signed on 01/05/2009 for a period of 25 years
Host country grant or support	N/A	
Present value of the building	N/A	
	Name, location and type of building	Other Comment
Information to be provided per building:	External parking (49 spots), Lisbon	Current lease agreement with APL temporarily suspended. Contacts with Camara Municipal de Lisboa. Possible alternative solution identified
Surface area (in square metres) Of which office space Of which non-office space	N/A	
Annual rent (in EUR)	N/A	

	Name, location and type of building	Other Comment
Type and duration of rental contract	Lease agreement	
Host country grant or support	N/A	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In July 2004, the Protocol between the Government of the Portuguese Republic and EMSA was signed covering the relations between the Agency and Portugal as its host State.</p> <p>Privileges granted;</p> <ul style="list-style-type: none"> • Exemption from direct taxes; • Exemption from purchase tax on goods and services; • Exemption from customs duties and from any taxes on imports and exports; • Exemption from any duties and any import restrictions on vehicles of official use; • Exemption from road tax for vehicles under “special registration” (diplomatic plates); • Exemption from taxation on fuel and lubricants; • Replacement of official vehicles every 5 years; • Special status of the Agency Headquarters (Similar to Diplomatic mission); • Security staff with special authorisation to carry firearms; • Exemption from any charges for Visas and other authorisations for EMSA guests. 	<p>Comparable category of the members of diplomatic corps in Portugal;</p> <ul style="list-style-type: none"> • Exemption from national taxes on earning, salaries and respective payments paid by the Agency; • Immunity from jurisdiction as regards acts carried out by the staff member in official capacity; • Exemption from purchase tax on goods and services (VAT); • Exemption from customs duties and from any taxes on imports and exports (duty-free); • Exemption from any duties and any import restrictions on vehicles of official/private use; • Exemption from road tax for vehicles under “special registration” (diplomatic plates); • Exemption from taxation on fuel and lubricants; • Replacement of official/private vehicles once after 4 years 	<p>Providing access to schools in the mother tongue of the staff member is an issue that the Agency has taken seriously and therefore in 2005 the Agency has adopted a social measure "multilingual tuition for children of EMSA staff" in Lisbon.</p> <p>Under this social measure EMSA currently provides access to English, French, German, Spanish and Swedish schools. The Agency covers the school fees through direct agreements between the Agency and the relevant schools. This social measure is taken upon the Agency's own initiative and is paid by the Agency budget (no involvement of the hosting State).</p> <p>This social measure is not intended to replace the educational allowance, as provided by the Staff Regulations. Educational allowances are duly determined and paid when due.</p>

5.3 European schools

Not Applicable to EMSA, as there are no European Schools in Lisbon.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority by the end of 2008. The evaluation findings and recommendations were forwarded by the Commission to the European Parliament and the Council and made public. This input was also used by the Commission as a basis to start the revision of the EMSA Founding Regulation proposed in October 2010 (COM (2010) 611).

The main findings of the latest evaluation available are as follows: the overall outcome of the evaluation report was positive and demonstrated that the Agency has added value to the sector in general and in particular to its main stakeholders, the Member States and the Commission. This was confirmed by the revision of the Founding Regulation adopted in 2013, where the mandate of the Agency has been extended and new tasks entrusted.

The relevant documents are available at the following link:
https://extranet.emsa.europa.eu/index.php?option=com_content&task=view&id=188&Itemid=209

A new evaluation of the agency is expected to be carried out by 2018 at the latest, in line with article 22 of the revised Founding Regulation. At the same time a new article 22b has been foreseen, with the introduction of a progress report: “Within five years of the entry into force of this amending Regulation and taking into account the evaluation report referred to in Article 22, the Commission shall submit a report to the European Parliament and the Council setting out how the Agency has undertaken the additional responsibilities assigned by this Regulation with a view to identifying further efficiency gains and, if necessary, the case for modifying its objectives and tasks.”

It is expected that the Administrative Board, following the revision of the mandate of the Agency and in the light of some experience gained, will launch the evaluation exercise within the next two/three years.

2.2.1.1.8 European Railway Agency – ERA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 881/2004	29/04/2004	The objectives of the Agency are to contribute, at technical level, to the implementation of the EU legislation aiming at improving the competitive position of the rail sector by reinforcing the interoperability between railways systems and at developing a common approach in terms of safety of the European railways in order to contribute to the creation of a European rail open space, without borders and offering a high level of safety. Pursuing those objectives, the Agency takes fully into account the enlargement process of the European Union and the specific constraints relating to rail connections with third countries. The missions and of the powers that are assigned to the Agency fall under its exclusive competence.
Regulation (EC) No 1335/2008 amending regulation 881/2004	16/12/2008	<p>Regulation 1335/2008 allocates the following new tasks to the Agency:</p> <ul style="list-style-type: none"> • Overseeing the mutual recognition, of vehicle authorisation (cross acceptance) including the specification of the parameters to be checked for authorisation to place vehicles in service and a full classification of the equivalence or otherwise of the national rules of the Member States used for vehicle authorisation. • Providing technical opinions on the equivalence of national rules, refusals of authorisation to place into service, urgent modifications to the TSIs and the conformity of projects with TSIS. • Recommending a system of certification of the Entity in Charge of Maintenance for railway vehicles. • Make recommendations on the mechanisms for implementing the Train Drivers (licensing) Directive. • Drawing up specifications for registers of vehicles, vehicle types, and infrastructure. • Managing the ERTMS change control, version management and test specifications. Evaluating the certification process of ERTMS, the costs and benefits of centralising verification and testing at community level and maintaining consistency between Notified Bodies involved in ERTMS.
<p>Commission Recommendation on the authorisation for the placing in service of structural subsystems and vehicles under Directive 2008/57/EC of the European Parliament and of the Council</p> <p>Report on the work performed by the Task Force on Railway Vehicles Authorisation</p>	<p>29/03/2011</p> <p>09/07/2012</p>	<p>Recommendation B.6 of the report: Reinforcing the role of the Agency in the vehicle authorisation process should be considered, e.g. as 'one-stop-shop' for vehicle authorisation taking into account the problems which are addressed by the other recommendations of this report. This could be implemented in the forthcoming 4th Railway Package. Pilot initiatives should be tested as soon as possible on a voluntary basis, in particular for cases of parallel authorisations and corridors. The results of such activities should be properly disseminated</p>
Regulation (EC) No 454/2011 (TAP TSI)	05/05/2011	<p>This Regulation allocates the following tasks:</p> <ul style="list-style-type: none"> • To ensure the development of new technical specifications for advanced ticketing (ticket on departure and manifest on list), and for intermodal timetables and fares. The developed specifications must be incorporated into a revision of Regulation 454/2011 to close open points. • To monitor the development and implementation of the TAP target system (according to delivered master plans) in order to meet the data provision requirements of the European rail passengers' rights regulation 1371/2007. To this and the Agency has to liaise with EU member states and smaller railways/ticket vendors to make them understand how they have to comply with the provisions of Regulation 454/2011. • To publish various reference files which are necessary to implement the future TAP target system.

Decision	Date	Mission / Tasks / Functions
		<ul style="list-style-type: none"> • To ensure the controlled change of the technical interface descriptions which are mandatory annexes to the Regulation 454/2011 and to inform EC about these changes. To this end ERA manages a web based registry which contains above changes. • To assess the compliance of IT solutions developed for the implementation of the future TAP target system.
<p>Regulation (EC) No 328/2012 amending regulation 62/2006 (TAF TSI)</p> <p>Regulation (EC) No 1335/2008 amending regulation 881/2004</p>	<p>17/04/2012</p> <p>16/12/2008</p>	<p>These two regulations allocate the following new or permanent tasks to the Agency:</p> <ul style="list-style-type: none"> • To review the Regulation 328/2012 in order to ensure that the data provision needs of professional freight customers are met appropriately. • To monitor the development and implementation of the TAF target system (according to delivered master plans). To this end the Agency has to liaise with EU member states and smaller railways/professional freight customers to make them understand how they have to comply with the provisions of Regulation 328/2012. • To publish various reference files which are necessary to implement the future TAF target system. • To ensure the controlled change of the technical interface descriptions which are mandatory annexes to the Regulation 328/2012 and to inform EC about these changes. To this end ERA manages a web based registry which contains above changes. • To assess the compliance of IT solutions developed for the future TAP target system.
<p>Council Regulation establishing the Shift2Rail joint undertaking</p>	<p>Regulation adopted on 16/06/2014</p>	<p>Annex I – 7. Functioning of the Governing Board – Point 5: “A representative of the European Railway Agency (...) shall participate in the meetings of the Governing Board as observers.”</p> <p>Annex I – 11. European Railway Agency : “The European Railway Agency shall have observer status on the Governing Board and contribute to the definition and implementation of the S2R Master Plan, in particular by performing the following advisory tasks:</p> <p>(a) Proposing possible amendments to the S2R Master Plan and to the annual work plans, (...)</p> <p>(b) Proposing (...) technical standards for research, development and validation activities with the view to guaranteeing the interoperability and safety results;</p> <p>(c) Reviewing the common developments for the future system and contributing to defining target systems in regulatory requirements;</p> <p>(d) Reviewing project activities and results (...).”</p>

1.2 Seat

Valenciennes and Lille, France

1.3 Budget Line

06 02 04 : European Railway Agency

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	102	96	104	99
Establishment plan posts : AST	38	39	33	36
Establishment plan posts : AST/SC				
Total Establishment plan posts	140	135	137	135
Contract Agents	15	20	16	30
Seconded National Experts	6	4	8	4
TOTAL STAFF	161	159	161	169

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	25 613 000	26 000 000
Other Revenue	732 000	748 826
TOTAL REVENUES	26 345 000	26 748 826

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	17 000 000	17 000 000	17 560 000	17 560 000
Title 2 - Infrastructure and operating expenditure	2 645 000	2 645 000	2 592 000	2 592 000
Title 3 - Operational expenditure	6 700 000	6 700 000	6 596 826	6 596 826
TOTAL EXPENDITURE	26 345 000	26 345 000	26 748 826	26 748 826

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11		4		2		5		6		6
AD 10		11		10		11		14		14
AD 9		29		23		29		31		31
AD 8		21		22		21		21		20
AD 7		12		13		13		13		13
AD 6		24		25		24		14		14
AD 5										
AD TOTAL		102		96		104		100		99
AST 11										
AST 10										
AST 9		2		1		2		3		3
AST 8		3		2		3		4		4
AST 7		3				3		4		4
AST 6		2		5		2		3		3
AST 5		5		5		5		7		7
AST 4		7		6		6		7		6
AST 3		8		9		7		6		6
AST 2		8		10		5		3		3
AST 1				1						
AST TOTAL		38		39		33		37		36
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		140		135		137		137		135
GRAND TOTAL	140		135		137		137		135	

(1) Three offer letters sent in 2014 are counted as posts filled. Two ASTs were present at ERA on 31/12/2014 but 1 retired on 01/01/2015 and one resigned on 01/01/2015.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate (4)	Draft Budget 2016 estimate
Function Group IV	5	6 (1)	6	15
Function Group III	1	4 (2)	3	5
Function Group II	6	7 (3)	4	7
Function Group I	3	3	3	3
TOTAL	15	20	16	30

(1) 2 offer letters sent in 2014 are counted as posts filled.

(2) 2 offer letters sent in 2014 are counted as posts filled.

(3) 1 offer letter sent in 2014 is counted as post filled.

(4) Due to the difficulty of hiring SNEs and in order to meet the number of FTEs foreseen in the WP 2015, the number of CAs has been increased to 24 and the number of SNEs decreased to 4.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate (1)	Draft Budget 2016 estimate
TOTAL	6	4	8	4

(1) Due to the difficulty of hiring SNEs and in order to meet the number of FTEs foreseen in the WP 2015, the number of CAs has been increased to 24 and the number of SNEs decreased to 4.

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	25 007 400	25 613 000	26 000 000	26 000 000	1,51%
- <i>Of which assigned revenues deriving from previous years' surpluses</i>	1 434 336	954 000	787 000	787 000	-17,51%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	708 200	732 000	772 000	748 826	2,30%
- <i>Of which EEA/EFTA (excl. Switzerland)</i>	708 200	732 000	772 000	748 826	2,30%
- <i>Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
- <i>Of which additional EU funding stemming from ad hoc grants (FFR Art.7)</i>					
- <i>Of which additional EU funding stemming from delegation agreements (FFR Art.8)</i>					
5 ADMINISTRATIVE OPERATIONS					
- <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	25 715 600	26 345 000	26 772 000	26 748 826	1,53%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	16 396 553	17 000 000	17 560 000	17 560 000	3,29%
Salaries & allowances	15 476 825	15 990 000	16 550 000	16 550 000	3,50%
- <i>Of which establishment plan posts</i>	14 634 483	14 790 000	14 790 000	14 790 000	0,00%
- <i>Of which external personnel</i>	842 342	1 200 000	1 760 000	1 760 000	46,67%
Expenditure relating to Staff recruitment	274 925	300 000	300 000	300 000	0,00%
Mission expenses	147 937	130 000	130 000	130 000	0,00%
Socio-medical infrastructure	35 481	35 000	35 000	35 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Training	236 168	300 000	300 000	300 000	0,00%
External Services	221 867	240 000	240 000	240 000	0,00%
Receptions, events and representation	3 350	5 000	5 000	5 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 710 257	2 645 000	2 592 000	2 592 000	-2,00%
Rental of buildings and associated costs	1 175 565	1 365 000	1 315 000	1 315 000	-3,66%
Information, communication technology and data processing	1 001 422	620 000	669 000	669 000	7,90%
Movable property and associated costs	84 444	260 000	212 000	212 000	-18,46%
Current administrative expenditure	171 245	195 000	191 000	191 000	-2,05%
Postage / Telecommunications	139 103	205 000	205 000	205 000	0,00%
Meeting expenses	138 478				
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 923 072	6 700 000	6 596 826	6 596 826	-1,54%
TOTAL	25 029 882	26 345 000	26 748 826	26 748 826	1,53%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	16 396 553	17 000 000	17 560 000	17 560 000	3,29%
Salaries & allowances	15 476 825	15 990 000	16 550 000	16 550 000	3,50%
- Of which establishment plan posts	14 634 483	14 790 000	14 790 000	14 790 000	0,00%
- Of which external personnel	842 342	1 200 000	1 760 000	1 760 000	46,67%
Expenditure relating to Staff recruitment	274 925	300 000	300 000	300 000	0,00%
Mission expenses	147 937	130 000	130 000	130 000	0,00%
Socio-medical infrastructure	35 481	35 000	35 000	35 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Training	236 168	300 000	300 000	300 000	0,00%
External Services	221 867	240 000	240 000	240 000	0,00%
Receptions, events and representation	3 350	5 000	5 000	5 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 710 257	2 645 000	2 592 000	2 592 000	-2,00%
Rental of buildings and associated costs	1 175 565	1 365 000	1 315 000	1 315 000	-3,66%
Information, communication technology and data processing	1 001 422	620 000	669 000	669 000	7,90%
Movable property and associated costs	84 444	260 000	212 000	212 000	-18,46%
Current administrative expenditure	171 245	195 000	191 000	191 000	-2,05%
Postage / Telecommunications	139 103	205 000	205 000	205 000	0,00%
Meeting expenses	138 478				
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 923 072	6 700 000	6 596 826	6 596 826	-1,54%
TOTAL	25 029 882	26 345 000	26 748 826	26 748 826	1,53%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 787 016.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

With a view to the new tasks to be assigned to ERA stem primarily from the new ERA Regulation (4th Railway Package) which should be agreed by the Parliament and the Council in 2015 and enter into force in 2016, the Commission can support the request to frontload 1 establishment plan post, out of the 3 that are requested by the Agency. The new Regulation increases substantially the role of ERA by giving it new tasks (issuing vehicle authorisations and safety certificates, monitoring of national safety authorities, providing training and dissemination of information to stakeholders) and extending the existing tasks (strengthened role in reducing national rules and concerning ERTMS). Most of these tasks will require the Agency either to be operational or fully prepared in 2016. The Commission also supports ERA's request for additional 6 posts of CAs, as long as ERA's 2016 budget can cover for these without an increase. These additional posts would help ERA to manage new tasks linked with the 4th package.

Financial Resources

The requested budget for 2016 is in line with the Commission Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

137 TA requested for 2016 (same level as 2015); 30 CA requested for 2016 (+14 compared to 2015 - see footnote in table 2.2.1); 4 SNE requested for 2016 (-4 compared to 2015 - see footnote in table 2.2.2).

4.1.2 Salary assumption for calculating salary line (% applied)

The total need for "Salaries & allowances" is EUR 16 770 000 and the budget requested is EUR 16 550 000. This is broken down as follows: 137 TA x EUR 110 000 = EUR 15 070 000; 30 CA x EUR 50 000 = EUR 1 500 000; and 4 SNE x EUR 50 000 = EUR 200 000.

4.1.3 Vacancy rate of end 2014

The staff population filled at 31/12/2014 was 135 Temporary Agents (including 3 offer letters sent) and 20 Contract Agents (including 5 offer letters sent). The vacancy rate takes into account the contract offers made at the end of 2014 in order to fill in posts. The vacancy rate is 3.6% for TA if compared with the ceiling applicable for 2014 but only 1.5% when compared with the ceiling applicable from 1 January 2015. For CAs the vacancy rate was 0%.

4.1.4 Standard abatement ('abatement forfaitaire') applied

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Agency requests to keep 137 TA posts in 2016 instead of 134 in the Commission Communication COM(2013)519. The Agency requests 6 new CA posts in 2016 (compared to the 24 CA posts foreseen for the WP 2015) to cope with the tasks deriving from the 4th Railway package. The Agency is asking the budget for 30 CA and 4 SNEs.

4.2.2 Title 2

The Agency requests in Title 2 2016 is decreased by 2% compared to 2015.

4.2.3 Title 3

The Agency requests for Title 3 2016 is slightly decreased compared to 2015.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	ERA HQ, Valenciennes, 120 rue Marc Lefrancq	1. ERA is the only tenant of the building
Surface area (in square metres) Of which office space Of which non-office space	5.250 M ² de Surface Hors Oeuvre Nette (S.H.O.N)	
Annual rent (in EUR)	In 2015: EUR 429 111 HT/year	The new INSEE indicator will be published during the 1st Quarter 2015.
Type and duration of rental contract	1 year with tacit renewal	
Host country grant or support	No	

	Name, location and type of building	Other Comment
Information to be provided per building:	Rental of 90 parking places from SAEM Valenciennes	
Surface area (in square metres) Of which office space Of which non-office space	90 parking places	
Annual rent (in EUR)	In 2015: EUR 25 000,00 HT/year	Purchase of 100 daily passes (total cost of € 500,00) to accommodate the requests of the experts attending meetings in Valenciennes.
Type and duration of rental contract	1 year with tacit renewal	
Host country grant or support	No	
Present value of the building	If the agency owns the building	

	Name, location and type of building	Other Comment
Information to be provided per building:	ERA meeting premises, 299, Boulevard de Leeds – Lille	
Surface area (in square metres) Of which office space Of which non-office space	602,62 M ²	
Annual rent (in EUR)	In 2015: EUR 107 512,00 HT/year	
Type and duration of rental contract	Contract will end on 31/12/2023.	
Host country grant or support	No	
Present value of the building	If the agency owns the building	

	Name, location and type of building	Other Comment
Information to be provided per building:	Rental of 8 parking places from Espace International	
Surface area (in square metres) Of which office space Of which non-office space	8 parking places	
Annual rent (in EUR)	In 2015: EUR 20 080 HT/year	
Type and duration of rental contract	Contract will end on 31/12/2023.	
Host country grant or support	No	
Present value of the building	If the agency owns the building	

5.1.2 Building project in the planning phase

Since the French authorities did not find any alternative and viable solution for the Agency, a new lease agreement for the current Lille premises has been signed.

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

5.3 European schools

N/A

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority by June 2012

The main findings of the latest evaluation available are as follows:

see DG MOVE website: http://ec.europa.eu/transport/modes/rail/studies/rail_en.htm

A new evaluation of the agency is expected to be carried out by five years after the entry into force of the new Regulation.

2.2.1.1.9 European Network and Information Security Agency - ENISA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013, concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004, OJ L165/41 of 18.6.2013	21/05/2013	This Regulation establishes a European Union Agency for Network and Information Security to undertake the tasks assigned to it for the purpose of contributing to a high level of network and information security within the Union and in order to raise awareness of network and information security and to develop and promote a culture, of network and information security in society for the benefit of citizens, consumers, enterprises and public sector organisations in the Union, thus contributing to the establishment and proper functioning of the internal market.

1.2 Seat

Heraklion, Greece

1.3 Budget Line

09 02 03 : European Union Agency for Network and Information Security (ENISA)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	34	30	32	34
Establishment plan posts : AST	14	16	16	14
Establishment plan posts : AST/SC				
Total Establishment plan posts	48	46	48	48
Contract Agents	15	14	24	33
Seconded National Experts	5	2	3	3
TOTAL STAFF	68	62	75	84

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	9 155 661	10 120 000
Other Revenue	940 288	940 564
TOTAL REVENUES	10 095 949	11 060 564

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 039 795	6 039 795	6 460 000	6 460 000
Title 2 - Infrastructure and operating expenditure	1 497 600	1 497 600	1 600 000	1 600 000
Title 3 - Operational expenditure	2 558 554	2 558 554	3 000 564	3 000 564
TOTAL EXPENDITURE	10 095 949	10 095 949	11 060 564	11 060 564

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13										
AD 12		3		2		3		3		3
AD 11				1						
AD 10		5		3		5		5		5
AD 9		9		3		9		10		9
AD 8		7		3		7		7		9
AD 7		6		3		6		10		7
AD 6				13						
AD 5		3		1		1		1		
AD TOTAL		34		30		32		37		34
AST 11										
AST 10										
AST 9										
AST 8										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7										
AST 6		2		1		2		5		3
AST 5		6		3		6		5		5
AST 4		1		3		3		1		1
AST 3		2		6		3		3		3
AST 2		3		3		2		2		2
AST 1										
AST TOTAL		14		16		16		16		14
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		48		46		48		53		48
GRAND TOTAL		48		46		48		53		48

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate (1)
Function Group IV	0		7	16
Function Group III	13	12	15	15
Function Group II	1	1	1	1
Function Group I	1	1	1	1
TOTAL	15	14	24	33

(1) Concerning the external staff: in 2015, ENISA requested to convert 5 SNEs in 5 CAs. In 2016, the request is to convert 4 SNEs in 4 CAs. In addition 5 supplementary CAs were already planned for 2016. It means : 24 CAs in 2015 + 5 additional CAs in 2016 + 4 SNEs converted in AC = 33 CAs and 3 SNEs in 2016.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	5	2	3	3

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	8 820 666	9 155 661	10 780 589	10 120 000	10,53%
- Of which assigned revenues deriving from previous years' surpluses	81 666	55 049	26 000	50 000	-9,17%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	264 792	270 288	319 411	300 564	11,20%
- Of which EEA/EFTA (excl. Switzerland)	264 792	270 288	319 411 (1)	300 564	11,20%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	616 379	640 000	640 000	640 000	0,00%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	6 460	30 000			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	6 460	30 000			-100%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	9 708 297	10 095 949	11 740 000	11 060 564	9,55%

(1) the EFTA contribution for 2016 is estimated at the same percentage as for 2015, 2.97%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 561 076	6 039 795	6 640 000	6 460 000	6,96%
Salaries & allowances	4 152 944	5 175 795	5 657 000	5 477 000	5,82%
- Of which establishment plan posts	3 684 624	4 332 795	4 621 000	4 441 000	2,50%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which external personnel	468 320	843 000	1 036 000	1 036 000	22,89%
Expenditure relating to Staff recruitment	198 135	258 000	275 000	275 000	6,59%
Mission expenses					
Socio-medical infrastructure	46 425	25 000	33 000	33 000	32,00%
Training	147 569	120 000	135 000	135 000	12,50%
External Services	1 016 003	461 000	540 000	540 000	17,14%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 861 804	1 497 600	1 600 000	1 600 000	6,84%
Rental of buildings and associated costs	934 565	972 800	1 041 000	1 041 000	7,01%
Information, communication technology and data processing	842 606	412 800	446 000	446 000	8,04%
Movable property and associated costs	31 427	62 000	62 000	62 000	0,00%
Current administrative expenditure	53 206	50 000	51 000	51 000	2,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 285 417	2 558 554	3 500 000	3 000 564	17,28%
TOTAL	9 708 297	10 095 949	11 740 000	11 060 564	9,55%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 176 126	6 039 795	6 640 000	6 460 000	6,96%
Salaries & allowances	4 152 944	5 175 795	5 657 000	5 477 000	5,82%
- Of which establishment plan posts	3 684 624	4 332 795	4 621 000	4 441 000	2,50%
- Of which external personnel	468 320	843 000	1 036 000	1 036 000	22,89%
Expenditure relating to Staff recruitment	171 856	258 000	275 000	275 000	6,59%
Mission expenses					
Socio-medical infrastructure	25 274	25 000	33 000	33 000	32,00%
Training	63 625	120 000	135 000	135 000	12,50%
External Services	762 427	461 000	540 000	540 000	17,14%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 272 768	1 497 600	1 600 000	1 600 000	6,84%
Rental of buildings and associated costs	865 471	972 800	1 041 000	1 041 000	7,01%
Information, communication technology and data processing	345 805	412 800	446 000	446 000	8,04%
Movable property and associated costs	11 037	62 000	62 000	62 000	0,00%
Current administrative expenditure	50 455	50 000	51 000	51 000	2,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 950 927	2 558 554	3 500 000	3 000 564	17,28%
TOTAL	8 399 821	10 095 949	11 740 000	11 060 564	9,55%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 50 200.

4 Justification of needs

Commission assessment

Human Resources

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

The number of establishment posts in 2016 remains stable (48 temporary agents) and is in line with the Legislative Financial Statement (LFS) accompanying the Commission's proposal for the revised ENISA regulation. Concerning the External Personnel the Commission supports the Agency's request for additional 9 CA in line with the level programmed in the LFS.

Financial Resources

The EU contribution for 2016 is in line with the Commission Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The total number of agency's staff requested increases by 14 posts in 2016. The increase will be in Establishment plan posts as well as in the external personnel. The number of establishment plan posts will be increased by 5 posts and the external personnel will be increased by 9 post. The profile requested for the 9 CAs is the following: the CAs will work in one of the main areas of ENISA activities, namely Secure Infrastructure and Services, Information Security & Data Protection, and Operational Security, and be responsible for: assisting in the collection and analysis of information and knowledge on security policies, good practices, measures and standards in the above mentioned areas, contributing to stock takings, surveying experts, analysing and developing recommendations and good practices, in line with the needs of the work programme of the Agency; assisting in the identification of relevant stakeholders, formation and management of expert groups, organisation of workshops and validation of findings and results; contributing to drafting of tender specs and assisting in the management of tenders and contracts related to the activities of the work programme; and contributing to the dissemination and taking up of the results of the Agency.

4.1.2 Salary assumption for calculating salary line (% applied)

The salary calculation towards budget preparation is done on the basis of staff already in service at the time of budget preparation, staff planned to be recruited in the current year, and staff planned to be recruited in the financial year in question (new posts). Calculations are made taking into account actual individual rights of staff in service, the actual months in the year for staff that is due to be recruited and full years for staff that is already on board. The correction coefficient is also taken into account for actual budgeting of salary costs.

4.1.3 Vacancy rate of end 2014

In 2014, the authorised number of Establishment Plan Posts (EPP) was 48. At the end of 2014, 46 TA posts were filled. The vacancy rate at the end of 2014 is 4.16%. For the remaining 2 posts, one of the vacancy notices was already published in July 2014. The differences between grades of posts in the establishment plan and actual staff grades at the end of 2014 are due to recruitments at a grade lower than the one authorised in the establishment plan. As a consequence, the Agency also reduced its salary costs. For all posts ENISA generally publishes vacancy notices at a grade that is typically one grade below authorised levels. This approach serves the purpose of allowing for one promotion to take place without having to request additional authorisation.

4.1.4 Standard abatement ('abatement forfaitaire') applied

The Agency applies a 7% abatement rate ("abatement forfaitaire") for its salary costs to factor in the turnover of personnel. Staff turnover is likely to produce a surplus in Title 1- staff expenditure, because salaries' costs are estimated based on a full establishment plan. Savings due to staff turnover are not mitigated if no replacement is provided for; this is the case of select operational, senior or management posts where it is not possible to come up with interim agent based solutions. However there are cases when staff unavailability might effectively lead to increased costs e.g. in case of exercising statutory rights such as maternity leave for a staff member who is replaced by an interim agent. The Agency seeks to achieve an abatement rate prudently set at 7%, based on past experience (comparison of initial to executed Salaries' appropriations), to mostly mitigate the potential corresponding surplus.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 is intended to cover the costs related to the staff of the Agency, such as: staff in active employment (salaries and allowances), recruitment expenditure, socio-medical services, training and temporary assistance. The increase of the amount allocated to this title in comparison to the 2015 budget is due to the recruitment of 5 additional TAs posts and 9 additional CAs (9 new posts for 2016, having impact on Chapters 11 and 12), the yearly salary adjustment (estimated to 1%), the current weighting factor for Greece, which recently dropped to from 86,80%, the maturity of staff, which also justifies a turnover (having impact on Chapter 12 recruitment expenses and an abatement rate mentioned above).

The increase on social medical infrastructure expenditure by 32% (or, in absolute terms EUR 8 000) is due to the necessity of enforcement of annual medical check-ups in two locations. An increase at the medical expenditures is expected as an outcome of the increase of the staff members of ENISA. In addition, the increase of the staff members will have an impact on the training expenditures where an increase of 12,5% is estimated in order to invest in staff training in view of the new tasks that ENISA has been entrusted with in line with its new mandate, and in order to keep the knowledge of the staff up-to-date, in a very volatile and rapidly changing environment, which demands high level of expertise and advanced communication skills.

An amount of EUR 201 000 is allocated in Chapter 16 'External Services' which is the contribution of ENISA to the operational budget of the School of European Education of Heraklion, which is a European School Type 2. ENISA signed a Service Level Agreement with the European Commission, DG HR, and is committed to pay a fixed amount per pupil to DG HR, which in turn will subsidise the School of European Education. This amount (EUR 201 000) is included in the part of the EU contribution to ENISA revenues (Title 2 of Revenues).

4.2.2 Title 2

Title 2 covers expenses related to the functioning of the Agency. This appropriation is intended to cover the costs related to: rental of buildings and associated costs, movable property and associated costs, current administrative and ICT expenditure. Since 2013, the Greek Government decided to grant a subsidy covering the hosting needs of ENISA in Heraklion and Athens, for a maximum annual amount of EUR 640 000 which is registered as external assigned revenue. The Agency settles the rent with the owners of the buildings. Additional running costs are foreseen in Chapter 20, due to the increase of staff and expansion of use of the Athens office to its full capacity. The Agency is likely to move to its new building in Heraklion in 2015 (date of removal depending on actual date of completion of works).

4.2.3 Title 3

This appropriation is intended to finance the operational objectives of ENISA as defined in its work programme. The estimated impact of the upcoming NIS Directive on the 2016 Work Programme and the likely growth of requirements in the area of capacity building will have as a result the increase of appropriations allocated to Core Operational Department activities. The development of a Communication Strategy will be necessary in order to achieve the operational goals set in the Work Programme for the year 2016. Communication will play a significant role in the effort to reach the stakeholders and increase the impact of ENISA deliverables and activities.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Heraklion, Office building	The Greek Government subsidises the rent of the office in full. Removal to the new building of ENISA in Heraklion is expected to take place during 2015.
Surface area (in square metres) Of which office space Of which non-office space	2 042 m ² N/A	

Annual rent (in EUR)	EUR 323 555,92	
Type and duration of rental contract	annual lease agreement, renewable	
Host country grant or support	The Greek Government subsidises the rent of the offices in full	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	Athens, Office building	New office is occupied as of 01/03/2013 in Marousi, Athens, hosting the Core Operational activities of ENISA. The Greek Government subsidises the rent of the office in full.
Surface area (in square metres) Of which office space Of which non-office space	2 036,38 m ² N/A	
Annual rent (in EUR)	EUR 316 444,08	
Type and duration of rental contract	lease agreement extended until February 2018	
Host country grant or support	The Greek Government subsidises the rent of the offices in full	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In accordance with Art. 23 of Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.	In accordance with Article 23 of Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff. The Greek Government and ENISA signed a Seat Agreement in April 2005, which was ratified by Greek Law 3572/2007 and is applicable to ENISA and its staff.	A public School of European Education, Type 2, was founded in 2005 by the Greek government in Heraklion – Crete for the children of the staff of ENISA. There is no European School operating in Athens.

5.3 European schools

A public school of European Education type 2 was founded in 2005 by the Greek government in Heraklion, Crete for the children of ENISA staff. There is no European School operating in Athens. There is serious concern about the possibility of withdrawing the accreditation of the European School in Heraklion, due to several issues which have remained unsolved for several years and the low quality standard.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority in July 2011.

The main findings of the latest evaluation available are as follows:

Report titled: “The role of ENISA in contributing to a coherent and enhanced structure of network and information security in the EU and internationally”, reference PE 464.432, published on European Parliament web site:

[http://www.europarl.europa.eu/RegistreWeb/search/resultDetail.htm?language=EN&reference=IPOL-ITRE_ET\(2011\)464432&lg=EN&fragDocu=PAR00?epbox](http://www.europarl.europa.eu/RegistreWeb/search/resultDetail.htm?language=EN&reference=IPOL-ITRE_ET(2011)464432&lg=EN&fragDocu=PAR00?epbox)

A new evaluation of the agency is expected to be carried out by 20 June 2018 based on the Article 32 of Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013.

2.2.1.1.10 Body of European Regulators for Electronic Communications - BEREC

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1)	25.11.2009	To assist and advise the Commission and the national regulatory authorities (NRAs) in the implementation of the EU regulatory framework for electronic communications, and advise and assist the Parliament, the Council and the Commission on any matter regarding electronic communications within its competence.

1.2 Seat

Riga, Latvia

1.3 Budget Line

09 02 04 : Body of European Regulators for Electronic Communications (BEREC) — Office

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	11	11	11	11
Establishment plan posts : AST	5	5	4	4
Establishment plan posts : AST/SC				
Total Establishment plan posts	16	16	15	15
Contract Agents	4	6	6	8
Seconded National Experts	8	6	6	4
TOTAL STAFF	28	28	27	27

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	4 017 244	4 246 000
Other Revenue	0	0
TOTAL REVENUES	4 017 244	4 246 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	2 326 472	2 326 472	2 402 000	2 402 000
Title 2 - Infrastructure and operating expenditure	468 770	468 770	260 505	260 505

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 3 - Operational expenditure	1 222 002	1 222 002	1 583 495	1 583 495
TOTAL EXPENDITURE	4 017 244	4 017 244	4 246 000	4 246 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11										
AD 10								1		1
AD 9		2		2		2		1		1
AD 8								2		2
AD 7		3		3		3		1		1
AD 6								2		2
AD 5		5		5		5		3		3
AD TOTAL		11		11		11		11		11
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4								2		2

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 3		4		4		4		2		2
AST 2										
AST 1		1		1						
AST TOTAL		5		5		4		4		4
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		16		16		15		15		15
GRAND TOTAL		16		16		15		15		15

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV		3 (1)	3 (2)	6
Function Group III	2	1	2	1
Function Group II	2	2	1	1
Function Group I				
TOTAL	4	6	6	8

(1) including offer letter sent to the two programme management support officers CA FGIV

(2) if the suggested conversion of 2 SNEs to 2 CA FG IV is accepted for 2016-2018, the conversion will be implemented already in 2015

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	8	6	6	4

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	4 162 792	4 017 244	4 246 000	4 246 000	5,69%
- Of which assigned revenues deriving from previous years' surpluses	544 926	519 101	174 458	174 000	-66,48%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)			p.m.		
- Of which EEA/EFTA (excl. Switzerland)			p.m. (1)		
- Of which candidate countries					
4 OTHER CONTRIBUTIONS			p.m. (2)		
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	3 515				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	4 166 307	4 017 244	4 246 000	4 246 000	5,69%

(1) Subject to the signature of the Agreement with EFTA countries: should the agreement be signed, the EFTA contribution (estimated at 2.97%) should be EUR 103 895 for 2015 and EUR 120 925 for 2016.

(2) Voluntary contributions from MS or their NRAs: should the Board of Regulators decide to approve such contributions, a new budgetary procedure will be launched.

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	2 092 127	2 326 472	2 402 000	2 402 000	3,25%
Salaries & allowances	1 416 018	1 694 315	1 783 500	1 783 500	5,26%
- Of which establishment plan posts	1 102 538	1 264 315	1 283 500	1 283 500	1,52%
- Of which external personnel	313 480	430 000	500 000	500 000	16,28%
Expenditure relating to Staff recruitment	79 011	64 000	46 000	46 000	-28,12%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Mission expenses	205 881	195 000	201 000	201 000	3,08%
Socio-medical infrastructure	5 750	7 000	6 000	6 000	-14,29%
Training	71 568	70 000	70 000	70 000	0,00%
External Services	311 614	290 157	290 000	290 000	-0,05%
Receptions, events and representation	2 285	6 000	5 500	5 500	-8,33%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	232 063	468 770	260 505	260 505	-44,43%
Rental of buildings and associated costs	83 377	111 200	85 405	85 405	-23,20%
Information, communication technology and data processing	71 310	236 500	81 900	81 900	-65,37%
Movable property and associated costs	23 319	7 600	21 000	21 000	176,32%
Current administrative expenditure	35 156	91 970	46 900	46 900	-49,01%
Postage / Telecommunications	16 018	21 500	21 300	21 300	-0,93%
Meeting expenses	2 883	0	4 000	4 000	∞%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 751 856	1 222 002	1 583 495	1 583 495	29,58%
Support to implementation of BEREC WP	1 082 585	705 125	933 495	933 495	32,39%
Horizontal activities (Other support not directly related to BEREC WP)	669 271	516 877	650 000	650 000	25,76%
TOTAL	4 076 046	4 017 244	4 246 000	4 246 000	5,69%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	1 887 932	2 326 472	2 402 000	2 402 000	3,25%
Salaries & allowances	1 416 018	1 694 315	1 783 500	1 783 500	5,26%
- Of which establishment plan posts	1 102 538	1 264 315	1 283 500	1 283 500	1,52%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which external personnel	313 480	430 000	500 000	500 000	16,28%
Expenditure relating to Staff recruitment	67 217	64 000	46 000	46 000	-28,12%
Mission expenses	189 687	195 000	201 000	201 000	3,08%
Socio-medical infrastructure	2 596	7 000	6 000	6 000	-14,29%
Training	25 299	70 000	70 000	70 000	0,00%
External Services	185 633	290 157	290 000	290 000	-0,05%
Receptions, events and representation	1 482	6 000	5 500	5 500	-8,33%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	141 168	468 770	260 505	260 505	-44,43%
Rental of buildings and associated costs	62 496	111 200	85 405	85 405	-23,20%
Information, communication technology and data processing	37 237	236 500	81 900	81 900	-65,37%
Movable property and associated costs	18 319	7 600	21 000	21 000	176,32%
Current administrative expenditure	18 596	91 970	46 900	46 900	-49,01%
Postage / Telecommunications	1 636	21 500	21 300	21 300	-0,93%
Meeting expenses	2 884	0	4 000	4 000	∞%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 121 263	1 222 002	1 583 495	1 583 495	29,58%
Support to implementation of BEREC WP	609 691	705 125	933 495	933 495	32,39%
Horizontal activities (Other support not directly related to BEREC WP)	511 572	516 877	650 000	650 000	25,76%
TOTAL	3 150 363	4 017 244	4 246 000	4 246 000	5,69%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 174 000.

4 Justification of needs

Commission assessment

Human Resources

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

The Commission supports the agency's proposal to convert 2 Seconded National Experts post into 2 Contractual Agent posts, while maintaining the total for the external personnel at a stable level. i.e. 12 FTEs as in 2015.

Financial Resources

The total EU contribution is in line with the Commission Communication for 2016.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

A redeployment of resources is taking place as a consequence of budgetary constraints, namely the cut of one post in 2015, as reflected elsewhere in this document. Some tasks have already been partly outsourced to external providers through SLA with the relevant Commission services (eg. IT training, human resources, mission reimbursements etc.).

Conversion of two SNE in Executive Support and Administration and Finance into CA FGIV: the experience shows that the recruitment of SNEs in units other than Programme Management is especially difficult. In order to ensure staffing in those units, the two SNE posts in the Executive Support and Administration and Finance units should be converted into CA FGIV. Recruitment of CAs at lower functions groups would be equally difficult. The organisational setup of the BEREC Office envisaged a high number of secondment possibilities, which have proven to be not achievable in the current economic climate. Additionally, it has been confirmed that the work of those units require staff to have a certain level of experience as well as access to financial roles, which is very limited for SNEs. CA posts with longer term commitment would ensure the payback of the efforts put in training on administrative procedures. The conversion into CA posts would provide a wider pool of talent and would ensure timely staffing, improving the workload distribution in both units and having a wide impact at Office level.

Upgrading of CA FGIII post into a CA FGIV: as outlined above, recruitment of Contract Agents at function groups below IV have been found to be difficult. The limitations of the EPSO CAST database and the scarce interest in recruitment opportunities at lower grades for countries with lower weightings imply that finding good candidates is increasingly difficult. In order to attract qualified candidates from the widest pool possible, and taking advantage that the post is vacant from January 2015, the CA FGIII post in Programme Management unit is converted into a CA FGIV. Moreover, the recruitment procedure for Contract Agents FGIV launched in 2014 has successfully identified candidates which could realistically contribute to the work of the BEREC Office. The reserve list of this procedure could be used to immediately fill in the post, which will be vacant as of 1 January 2015.

4.1.2 Salary assumption for calculating salary line (% applied)

The methodology applied to calculate the salaries and allowances to BEREC staff is based on the average annual costs: EUR 131 000/year for temporary agent; EUR 78 000/year for SNE and EUR 70 000 for CA. The correction coefficient applied for Latvia is 76,5% (as from 01/07/2014).

4.1.3 Vacancy rate of end 2014

All establishment plan posts have been occupied as of 31/12/2014 (vacancy rate =0%).

4.1.4 Standard abatement ('abatement forfaitaire') applied

The amounts entered for salaries in DB 2016 have been calculated on the basis of average costs and taking into account the expected recruitment plan in 2015.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The amounts requested in Title 1 have been based on realistic assumptions as to the number of staff actually recruited at the end of 2014 and the recruitment plans for 2015 and 2016. BEREC Office expects to operate with full staff in 2016 and the associated costs have also been calculated on the basis of these assumptions. The increase of required resources for TA positions and for the external personnel reflects better comprehension of the actual expenditure needed, the reclassification exercise and conversion and upgrading mentioned above. It is expected that the BEREC Office will have only few recruitments in 2016, therefore, the expenditure on staff recruitment is considerably decreased. Taking

into account the actual costs of missions in 2014, as well as the increased PMO fees for processing mission reimbursement applications, the expenditure on missions is slightly increased.

In 2014 the Office signed a Framework Contract for interim placement services, to be able to cover resource needs in case of sick leaves, operational peaks or in case of unexpected staff turnover. The Office also signed an SLA with ENISA on provision of Internal Control Coordinator assistance to be covered under expenditure for external services. Under the same chapter for External Services, a new expenditure for the children of staff members to cover part of the costs of multi-lingual tuition by international schools available in Latvia is foreseen. Given the uncertainty in terms of number of children and consequently the exact costs the BEREC Office will ensure the financing through available resources within the budget. Without prejudging the actual request that could be made by staff members, a preliminary estimate of 160.000 EUR is based on an estimate of 10 children attending an international school at a cost of approximately 16.000 EUR annually.

4.2.2 Title 2

The budget for Title 2 items has been reduced due to better comprehension of expenditures in the Riga location. The Office has planned some fitting-out, maintenance and potential repair costs. At the same time the Office decided not to prolong contracts for renting parking lots for staff which is reflected by decrease under the Rental of buildings and associated costs. The information technology spending is required for the potential renewal of part of the Office's computer equipment, potential acquisition and prolongation of licenses for the administrative work tools and applications, as well as for paying for external data processing services, including the ABAC annual and hosting fees, fees for maintaining of computer equipment and applications, fees for procurement of external IT services. The Office has signed SLA with the Publications Office in Luxembourg and is going to continue ordering publications. This is reflected in a substantial increase of expenditure under Movable property and associated costs.

The forecasted legal expenditure is reconsidered and decreased taking into account lower number of new recruitments planned, and lower spending on IT connected procurements that have potential risk. This Chapter also includes expenditure related to the BEREC Office budget publications in the Official Journal, as well as translations and/or other language requests related to the BEREC Office budgetary and legal issues. In 2016 it is planned to organise several meetings with local authorities as well as with Boards of different NRAs. Therefore, spending under Meeting expenses is adjusted.

4.2.3 Title 3

Spending under Support to implementation of the BEREC Work Programme is planned to be increased. This increase is foreseen in the BEREC Office budget to better support the operations of BEREC, to be able to better organise meetings and workshops for BEREC experts with participation of Office own experts, to initiate relevant market studies requested by Expert Working Groups and fact-finding capacity. The estimates are based on the internal assessment of the appropriate budget items to be used for the reimbursement of experts travel, meeting organisation costs and potential costs of market studies.

Expenditure under Horizontal activities (other support not directly related to BEREC WP) is increased taking into account potential costs of maintenance of the BERECNet collaboration tool, necessity to reply to the request to provide electronic communications regulatory training to BEREC staff members.

4.3 Ad hoc grants and delegation agreements

n/a

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Z.A.Meierovicabulv. 14, 2nd floor, Riga, Latvia	Rent
Surface area (in square metres) Of which office space Of which non-office space	772.50	In accordance with information provided by the premises' owner who recently conducted new measurements of the premises
Annual rent (in EUR)	29 015,16	Monthly rent 2 417,93*12 (since 01.01.2016)

	Name, location and type of building	Other Comment
Type and duration of rental contract	Lease agreement for the period of 10 years with the possibility of renewal and termination	The monthly rent can be reviewed if new taxes are implemented or existing taxes are increased or starting with the date of change of real estate tax rate or amount. It also can be changed 1 time in 2 years for the next period of lease, in case when planned property management costs have changed and calculated difference of lease fee comprises 2% at least.
Host country grant or support	NO	First two years free of charge. Ended on 31/07/2013.
Present value of the building	N/A	

5.1.2 Building project in the planning phase

n/a

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In accordance with Article 23 of the Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office, the Protocol on the privileges and immunities of the European Communities shall apply to the Office.	In accordance with Article 23 of the Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office, the Protocol on the privileges and immunities of the European Communities shall apply to the Office and its staff. In addition, the BEREC Office has signed a Seat Agreement with the Latvian Government, granting diplomatic status to the Administrative Manager and VAT exempt purchases on certain items (e.g. car, furniture, electric and electronic appliances) during the first year to the rest of the staff including SNEs. Special ID cards are also issued.	<p>The conditions of providing European schooling in Riga are subject of the Latvian Government to establish such school in Riga. The Ministry of Education and Science Government is exploring possibilities of establishment of a European School within the public school network in Latvia or within the private school network, in accordance with the Convention defining the Statute of the European Schools and with the programmes of European schools. Negotiations are in progress since November 2010.</p> <p>The BEREC Office actively supports this plan and contributes to its progress. However, the establishment of a new European School is a long process. In the absence of European Schools in Riga, the BEREC Office provides support and makes use of the possibilities afforded by Annex VII of the Staff Regulation.</p> <p>Additionally the BEREC Office continues to explore possibilities and measures facilitating multi-lingual schooling for the children of staff. The basis for such measures is the financial autonomy of the BEREC Office, which would allow to take measures within the framework of the Financial Regulation in order to support staff and cover part of the costs of multi-lingual tuition provided by the international schools available in Latvia.</p>

5.3 European schools

n/a

5.4 Evaluation

An evaluation of the agency was submitted to the Budgetary Authority on 23/04/2013.

The main findings of the latest evaluation available are as follows:

Regarding the evaluation of the BEREC Office, the external Evaluation Report finds that one of the biggest challenges for BEREC concerns the appropriate use of the BEREC Office. Two approaches exist:

- Either the BEREC Office only exists to provide administrative support in the sense that it diffuses information among National Regulatory Authorities and helps to set-up the Expert Working Groups. This option enables national experts to keep control of BEREC documents to deliver.

- Or the BEREC Office takes a greater place in the drafting and delivery of BEREC contributions by supporting the EWGs with their expertise and knowledge of the electronic communications market at the EU level. This approach could offer an EU dimension in the outputs delivered by BEREC, in addition to the national expertise provided by NRAs and resulting from their day-to-day regulatory practice in their respective national markets.

Moreover, the BEREC Office is already providing strong professional support in the Framework Directive Article 7/7a Opinions. According to the BEREC Regulation, the BEREC Office should provide administrative and professional support. The balance between the administrative and the professional support depends on the strategic direction chosen by the Board of Regulators, the Board of Regulators Chair and each EWG Chair.

The link to study is available at:

http://berec.europa.eu/eng/document_register/subject_matter/berec/others/4842-commission-staff-working-document-on-the-evaluation-report-of-the-body-of-european-regulators-for-electronic-communications-berec-and-its-office.

2.2.1.1.11 European Banking Authority - EBA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1093/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision N 716/2009/EC and repealing Commission Decision 2009/78/EC	24/11/2010	The European Union decided to establish the European Banking Authority (EBA) with the objectives of preventing regulatory arbitrage, guaranteeing a level playing field, strengthening international supervisory coordination, promoting supervisory convergence and providing advice to the EU institutions in the areas of banking, payments and e-money regulation as well as on issues related to corporate governance, auditing and financial reporting.
Regulation (EU) No 1022/2013 of the European Parliament and of the Council of 22 October 2013 amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority) as regards the conferral of specific tasks on the European Central Bank pursuant to Council Regulation (EU) No 1024/2013.	29/10/2013	Added: together with the compilation of a European supervisory handbook.

1.2 Seat

London, UK

1.3 Budget Line

12 02 04 : European Banking Authority (EBA)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	102	102	111	116
Establishment plan posts : AST	9	9	9	11
Establishment plan posts : AST/SC				
Total Establishment plan posts	111	111	120	127
Contract Agents	15	22	17	31
Seconded National Experts	26	22	29	31
TOTAL STAFF	152	155	166	189

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	12 606 000	13 750 400
Other Revenue	18 909 000	21 077 276
TOTAL REVENUES	31 515 000	34 827 676

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	21 720 800	21 720 800	24 221 280	24 221 280
Title 2 - Infrastructure and operating expenditure	4 392 600	4 392 600	5 850 000	5 850 000
Title 3 - Operational expenditure	5 401 600	5 401 600	4 756 396	4 756 396
TOTAL EXPENDITURE	31 515 000	31 515 000	34 827 676	34 827 676

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16								1		1
AD 15		1		1		1		1		1
AD 14		1		1		1		2		2
AD 13		3		3		3		2		2
AD 12		6		6		6		7		7
AD 11		10		10		10		12		11
AD 10		10		10		10		12		11
AD 9		14		14		14		18		14
AD 8		19		19		19		24		19
AD 7		16		16		20		22		20
AD 6		12		12		14		19		14
AD 5		10		10		13		18		14
AD TOTAL		102		102		111		138		116
AST 11										
AST 10										
AST 9										
AST 8										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7										
AST 6								1		1
AST 5		1		4		1		5		4
AST 4		3		2		3		3		3
AST 3		2		2		2		2		2
AST 2		3		1		3		1		1
AST 1										
AST TOTAL		9		9		9		12		11
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		111		111		120		150		127
GRAND TOTAL		111		111		120		150		127

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	12	14	12 (1)	19
Function Group III	3	8	5 (2)	12
Function Group II				
Function Group I				
TOTAL	15	22	17	31

(1) 19 CAs estimated by EBA in 2015

(2) 12 CAs estimated by EBA in 2015

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	26	22	29 (1)	31

(1) 31 SNEs estimated by EBA in 2015

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	14 099 982	12 606 000	17 195 600	13 750 400	9,08%
- Of which assigned revenues deriving from previous years' surpluses	1 100 062	1 443 000		113 000	-92,17%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	506 235	364 236	496 847	451 676	24,01%
- Of which EEA/EFTA (excl. Switzerland)	506 235	364 236	496 847	451 676	24,01%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	18 960 232	18 544 764	25 296 553	20 625 600	11,22%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	43 427				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	20 512				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	33 609 876	31 515 000	42 989 000	34 827 676	10,51%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 539 047	21 720 800	29 467 000	24 221 280	11,51%
Salaries & allowances	18 000 322	20 244 400	27 311 000	22 833 880	12,79%
- Of which establishment plan posts	15 720 638	17 582 500	24 390 000 (1)	20 013 880 (7)	13,83%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which external personnel	2 279 684	2 661 900	2 921 000 (1)	2 820 000	5,94%
Expenditure relating to Staff recruitment	429 698	520 100	1 098 000	329 400 (7)	-36,67%
Mission expenses	25 169	40 000	40 000	40 000	0,00%
Socio-medical infrastructure	54 414	30 400	42 000	42 000	38,16%
Training	341 494	140 000	240 000	240 000	71,43%
External Services	668 277	723 400	700 000	700 000	-3,23%
Receptions, events and representation	17 572	20 000	31 000	31 000	55,00%
Social welfare	2 101	2 500	5 000	5 000	100,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	9 015 362	4 392 600	6 498 000	5 850 000	33,18%
Rental of buildings and associated costs	4 918 138 (2)	2 291 800 (3)	3 760 000 (4)	3 760 000	64,06%
Information, communication technology and data processing	2 805 452	1 460 300	1 900 000	1 500 000	2,72%
Movable property and associated costs	20 089	0	0	0	◆
Current administrative expenditure	174 632	138 000	168 000	130 000	-5,80%
Postage / Telecommunications	244 781	212 500	315 000	200 000	-5,88%
Meeting expenses	445 332	10 000 (6)	15 000	10 000	0,00%
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	406 938	280 000	340 000	250 000	-10,71%
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	8 619 885	5 401 600	7 024 000	4 756 396	-11,94%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication	2 677 284	2 023 500	2 587 000 (6)	2 000 000	-1,16%
Collection of information, developing and maintenance of a central European database	4 655 855	3 378 100	4 437 000	2 756 396	-18,40%
IT solution assement	1 286 746	0	0	0	◆
TOTAL	37 174 294	31 515 000	42 989 000	34 827 676	10,51%

(1) From 2016, salaries cost includes the full amount of employer's pension contributions, as explained in 4.2A below

(2) In 2014 the building cost includes approximately EUR 2.5 million related to the office move, which took place in December 2014.

(3) In 2015 the EBA is only required to pay six month's rent on the new premises.

(4) In 2016 a full year's rent will be paid.

(5) From 2015 onwards, operational meetings costs have been moved to Title 3 from Title 2

(6) This chapter includes operational costs: communication and translation, subscription and database financial market, consultation services, meetings, missions.

(7) The financial resources in Title 1 should be adapted to the 129 posts proposed. Furthermore, as EBA has included 100% of the cost of the employer contribution to staff pensions, the figures should be adjusted to reflect only the 60% for which EBA will be liable.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 539 047	21 720 800	29 467 000	24 221 280	11,51%
Salaries & allowances	18 000 322	20 244 400	27 311 000	22 833 880	12,79%
- Of which establishment plan posts	15 720 638	17 582 500	24 390 000	20 013 880	13,83%
- Of which external personnel	2 279 684	2 661 900	2 921 000	2 820 000	5,94%
Expenditure relating to Staff recruitment	429 698	520 100	1 098 000	329 400	-36,67%
Mission expenses	25 169	40 000	40 000	40 000	0,00%
Socio-medical infrastructure	54 414	30 400	42 000	42 000	38,16%
Training	341 494	140 000	240 000	240 000	71,43%
External Services	668 277	723 400	700 000	700 000	-3,23%
Receptions, events and representation	17 572	20 000	31 000	31 000	55,00%
Social welfare	2 101	2 500	5 000	5 000	100,00%
Other Staff related expenditure	0	0	0		-100%
Title 2 - Infrastructure and operating expenditure	9 015 362	4 392 600	6 498 000	5 850 000	33,18%
Rental of buildings and associated costs	4 918 138	2 291 800	3 760 000	3 760 000	64,06%
Information, communication technology and data processing	2 805 452	1 460 300	1 900 000	1 500 000	2,72%
Movable property and associated costs	20 089	0	0	0	◆
Current administrative expenditure	174 632	138 000	168 000	130 000	-5,80%
Postage / Telecommunications	244 781	212 500	315 000	200 000	-5,88%
Meeting expenses	445 332	10 000	15 000	10 000	0,00%
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	406 938	280 000	340 000	250 000	-10,71%
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	8 619 885	5 401 600	7 024 000	4 756 396	-11,94%

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Budget 2015	Draft Budget 2016		
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General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication	2 677 284	2 023 500	2 587 000	2 000 000	-1,16%
Collection of information, developing and maintenance of a central European database	4 655 855	3 378 100	4 437 000	2 756 396	-18,40%
IT solution assement	1 286 746	0			-100%
TOTAL	37 174 294	31 515 000	42 989 000	34 827 676	10,51%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 284 253.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission considers that 30 additional establishment plan posts cannot be envisaged in view of current budgetary constraints. However the additional tasks to be undertaken by EBA justify a faster rate of growth (i.e. 7 additional posts in 2016) towards the final staff numbers envisaged in the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013). Existing resources will be fully utilised in catching up on projects whose delivery has been delayed by insufficient resources to date, and updating guidelines, ensuring consistent implementation and interpretation. The elements below relate exclusively to new demands, arising unexpectedly in recent months as a result of changes made in finalisation of co-decision, which will require new work in 2016 which cannot be met through existing resources:

- The legislative framework for bank recovery and resolution as agreed in October 2014 entrusts EBA with significant new tasks, which involves 36 mandates for EBA compared to 18 in the initial COM proposal. In particular EBA must establish resolution colleges and undertake extensive peer view of national resolution colleges to generalise 'best practice'.
- CRR Equivalence assessments: following the adoption of Regulation 2014/XXX in December 2014, EBA will be required to undertake detailed assessments of regulatory and supervisory arrangements in a range of third countries. This new work-stream on equivalence assessments will commence in 2015 and continue through 2016-2018.
- New Regulatory Technical standard in the area of Multilateral Interchange Fee: Based on EBA methodology for calculation of FTE investment in RTS.
- The recently agreed Directive on Anti-Money-Laundering involves a new range of tasks which have not previously been budgeted for. This involves a new series of mandates in complex areas such as sanctions.
- Consumer protection and financial innovation: significant new mandates for EBA arise from the Markets in Financial Instruments Regulation (MiFIR) on structured deposits.
- Expected new tasks 2016-2018 including more requests for technical advice from Commission; monitoring and reviewing specific requirements e.g. staff bonuses above EUR 1 million; additional training for supervisors to promote convergence.

Financial Resources

The financial resources in Title 1 should be adapted to the 127 posts proposed. Furthermore, as EBA has included 100% of the cost of the employer contribution to staff pensions, the figures have been adjusted to reflect only the 60% for which EBA will be liable and this amount should be contributed by the national competent Authorities, i.e. staff pension costs should not be reflected in the proposed EU contribution. Title 2 mainly relates to rent and related expenses: it should be noted that EBA will not benefit from a rent-free period in 2016 as it will in 2015. Title 3 relates largely to important IT developments required to fulfil EBA's mandate.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1 Human Resources - Agency Request

The request for 30 temporary agents is justified by the growth of existing tasks, the new tasks the EBA is expected to be entrusted with and by current under-staffing which results in severe delays in the delivery of current mandates.

A) Growth of existing tasks

An increase in workload related to existing tasks is expected in all three core areas of the EBA's work: regulatory policy, supervisory convergence and risk assessment, as well as consumer protection and financial innovation.

- Regulatory policy

In the regulatory policy area, a substantial amount of new tasks are envisaged in relation to the Single Resolution Mechanism and the Banking Recovery and Resolution Directive. During the negotiation process on this comprehensive legislative framework, the number of tasks for the EBA was extended considerably. They extend beyond the rule-making role (development of around 40 draft technical standards, guidelines and technical advice to the Commission), to cover areas such as facilitation of cooperation and coordination of recovery and resolution plans (RRPs) and in the event of resolution actions, interaction with third countries, and monitoring of application of the Directive. Furthermore, a wide variety of legislations and legislative proposals in the area of financial and banking regulation have attributed new tasks to the EBA and the expansion of existing EBA tasks, in particular the Capital Requirements legislation (CRDIV/CRR) and the Deposit Guarantee Schemes Directive, as well as the Audit Regulation, Anti-Money Laundering Directive (AMLD), European Market Infrastructure Regulation (EMIR), Financial Conglomerates Directive (FICOD), Regulation on the structural measures in the EU banking sector and Regulation on interchange fees for card-based payment transactions.

While the EBA's regulatory work in the above areas will continue to be focused on developing draft regulatory and implementing technical standards and guidelines, requests to the EBA from the European Commission to provide technical contributions to the EU legislative processes will increasingly gain prominence in 2016-2018. Such requests include developing various reports on a number of important topics (for example reports in the areas of liquidity and leverage rules and their calibration, and loss absorbency capacity and minimum eligible requirements for bail-in), and the monitoring of implementation and calibration of rules.

The EU institutions are also in the process of development of other legislative initiatives, for example in relation to the regulation of shadow banking activities, securitisation, sovereign exposures, large exposures, review of standardised approaches for credit and operational and of trading book, etc., which fall in the remit of the EBA. It is expected that all the above legislative proposals and initiatives will have a major impact on the number and priorities of specific tasks of the EBA between 2016 and 2018.

- Supervisory convergence and risk analysis

Supervisory convergence: the EBA will be tackling a number of new tasks, one of which will be to engage with newly established resolution authorities and support their roles in resolution colleges. The EBA will also have a role as a member of resolution colleges and will need to attend and participate in these new fora. Resolution planning is a new key task for resolution colleges and in this respect the EBA will provide support through benchmarking of resolution plans and feedback to resolution colleges. To provide further assistance to colleges, the EBA staff is proceeding with a number of equivalence assessments of third countries. The assessments have to be conducted carefully and consistently to ensure that the conclusions are reliable. Recovery plan assessments and the joint decision on the assessments are also new tasks for colleges set out by the BRRD. Finally, the EBA will draft further chapters of the Single Supervisory Handbook.

Risk analysis: in 2015, the supervisory benchmarking of both market and credit risk will be launched based on the implementing and regulatory technical standards delegated by the Commission. This entails the use of a specific Data Point Modelling (DPM) and taxonomy. The effort will be considerable in terms of both analytics and interaction and follow-up with banks. Coordination with the work of the Basel Committee will also be a key priority. The increased risk analytical capacity will require additional training for supervisors across the EU. This would allow them to better exploit new reporting standards and tools provided by the EBA. At the same time, internal training will also be needed to allow all users to fully exploit the data available. Particular focus will be on the assessment of thematic risk (IT, conduct risk, and other) and there is also a need to strengthen the contribution to the EBA policy work that only supervisors can provide. Ad hoc working papers would be the right instrument for channelling new ideas and propose

possible policy options to the Regulation department. This could also help to strengthen the stress testing skills and to advance the methodology available at the EBA.

- Consumer protection and financial innovation

A substantial amount of new mandates have been attributed to the EBA in the area of consumer protection and financial innovation, in the following legislations: Mortgage Credit Directive (MCD), Payment Services Directive (PSD2), Payments Account Directive (PAD) and Markets in Financial Instruments Regulation (MiFIR).

- Legal unit

Changes are also envisaged in the tasks of the Legal unit, which will include providing support in relation to the wider range of the EBA resolution-related work, and the development of regulatory products in relation to all new sectoral legislation mentioned above. In relation to its role in advising on the EBA's governance, the expected incorporation of the ESA Regulations and sectoral legislation into the Agreement on the European Economic Area will lead to changes to the EBA's role and governance for which the unit will provide support.

B) Expected new tasks 2016-2018

There are new tasks envisaged for the EBA, including extensions of the EBA's current mandate, in all three core areas of the EBA's work: regulatory policy, supervisory convergence and risk assessment, as well as consumer protection and financial innovation.

- Regulatory policy

In addition to its regulatory mandates under level 1 legislation, such as for developing Technical Standards and Guidelines, the EBA has been requested to provide technical advice and undertake Reports to assist the European Commission in its preparation of legislation, including delegated acts. To this end the European Commission has requested to the EBA an increasing number of Calls for Advice, which require the EBA to perform extensive data gathering across Member States on industry and supervisory practice, including performing quantitative impact studies, to provide evidence based reasoning to assist in the development of its regulatory policies. These increasing requests to the EBA, often within tight timeframes, consume considerable EBA resource. For 2015 the EBA expects these requests to be of increasing importance and double in volume, compared to those provided by the EBA in 2014.

Further, under CRDIV-CRR, the EBA is mandated to monitor and review the application of specific requirements interlinked with certain policy options under the CRDIV-CRR, and if needed provide advice on their possible review. This work includes the identified bank staff on bonuses over EUR 1 million, the large exposures exemptions and the identification of systemically important banks, which will require considerable resource and expertise to collect the appropriate data, monitor, develop and establish benchmarks and assess whether the requirements remain appropriate.

- Supervisory convergence and risk analysis

The EBA's role in promoting supervisory convergence and aiding and overseeing colleges for the largest cross border banks will be expanded beyond 2015: the team will expand its work on the single supervisory handbook, will work with supervisors across the EU to reestablish colleges under the Single Supervisory Mechanism, will work to operationalise the new technical standards on college functioning, and will prepare for the roll out of the single guidelines on supervisory review and evaluation process (SREP). To do this, the team will offer advice and training for supervisors, they will attend colleges and provide feedback individually and thematically, offering mediation where conflicts arise, and they will assess the extent of implementation of relevant standards and guidelines. The expansion of training activities will be a cornerstone of the EBA's efforts to promote supervisory consistency and will include training on the assessment of recovery plans, functioning of supervisory colleges and a large part of the training focus will be on supervisory implementation of the SREP guidelines. In attending colleges the team will enhance and expand the tools offered to colleges including risk products and secure IT platforms and, in order to add value to colleges, the EBA will provide thematic assessments on an ongoing basis on issues of implementation and application of the single rulebook and will provide feedback both to competent authorities and annually also to the Board of Supervisors. The team will also assist in the thematic peer review process.

As regards risk analysis, the EBA will continue the regular assessment of the EU banking sector, which contributes to the identification of risks and vulnerabilities. The semi-annual banking risk assessment reports, the semi-annual Joint Committee reports and the quarterly dashboards will be accompanied by a number of thematic studies. The team will also keep working to develop and upgrade the data infrastructure to accommodate regulatory reporting data, as well as other information that enables the EBA to discharge its responsibilities. In 2014, the EBA started collecting on a quarterly/monthly basis a huge amount of data coming from supervisory reporting, based on a wider sample of banks (about 200 top banks from all the EEA countries). In order to complete this work, the EBA needs to enhance and

extend to the entire database the data quality checking process and put upgrade all the risk products, including the Risk Dashboards and the statistical annexes to the risk report. In addition, the EBA will be involved in developing new tools for both internal and external users for querying data as well as for risk analysis. The Memorandum of Understanding on sharing Key Risk Indicators (KRIs) is a cornerstone and will require continuous maintenance of the database and the expansion of the sample with the entry of new parties. The work on Pillar 3 and enhanced supervisory transparency will also benefit from a stronger data infrastructure and will go well beyond the successful data release connected with the publication of EU-wide stress test results. This will be also linked to the analysis of the outcomes of banks' internal models. The preparation of the EU-wide stress test as well as the development of internal quality assurance instruments will remain a key area of work for the risk team. This may include the revision of the bottom up methodology and the re-estimation of top-down models for producing forward looking benchmarks.

- Legal unit, Policy Analysis and Coordination Unit

In light of the expansion of the EBA's mandate in the areas of regulatory policy, oversight and consumer protection, the work of the Legal Unit as well as the Policy Analysis and Coordination Unit will expand accordingly. The Legal Unit will further develop its role in identifying and investigating potential breaches of Union law by competent authorities.

In the years to come, the support functions summarised as Operations will continue to be playing a critical role in ensuring that the EBA can perform its core functions, and will continue to improve effectiveness and efficiency of existing support and administrative processes. In light of the expanding workload arising from the EBA's core functions, recruitment and integration of new staff will continue to be key priorities in 2016-2018.

All the areas of work described above include dealing with technically detailed and complex issues and as such additional human resources will be crucial in delivering the EBA's objectives, including a sufficiently high number of experts at a senior level. Furthermore, significant new technical skills will need to be built up in the organisation, such as broadening and deepening the technical knowledge and experience of the EBA's experts. Therefore, in addition to the careful recruitment of new staff, the rollout out of the recently launched staff training program shall contribute to developing the EBA staff.

C) Delays in existing mandates

Due to financial resources constraints imposed by the 2015 budget, the EBA has had to put the following work on hold: in the context of supervisory benchmarking of both market and credit risk, the extension of the previously voluntary exercise, for the use of a specific Data Point Modelling (DPM) and taxonomy, to the entire population of banks with internal models; On 22 December 2014 the EBA issued a letter to the Director General of DG FISMA setting out its request for revised deadlines in the Capital Requirements Regulation (CRR) / Capital Requirements Directive (CRD) for the delivery of draft technical standards, due to resource shortages. The EBA has requested submission with new deadlines for the following mandates: report on the duplication of requirements of derivatives transactions under CRR and Regulation 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR) and own funds requirements for CCPs under EMIR; RTS on market risk assessment methodology; RTS on Internal Rating Based (IRB) assessment methodology; RTS on downturn Loss Given Defaults (LGDs); RTS on materiality thresholds; RTS on specialised lending; RTS on Mortgage Lending Value; RTS on Risk Weights and LGDs; and RTS on conditional guarantees.

It should be stressed that in the majority of cases the need for more time emerges with references to mandates related to credit risk. The complexity of the credit risk area is very high and very diverse practices are observed across Member States, which makes it very challenging to find solutions that ensure harmonisation of practices. This has been clearly illustrated by the failure of the EBA to achieve a majority in the Board of Supervisors for two technical standards in the credit risk area. In addition to this, despite the high internal allocation of resources to this area, the EBA has also experienced the departure of experienced staff, which has exacerbated the difficulties. Going forward, we envisage we will be able to respect the new deadlines, but the work load remains very intense and the EBA will continue to have severe resource constraints in delivering according to schedule.

4.1.2 Salary assumption for calculating salary line (% applied)

The weighting co-efficient for London as at 31/12/2014 is 150.7. The figures also assume that EBA becomes liable for the full amount of the employers' contribution to pension costs for temporary and contract agents, in accordance with Article 83a.2 of the Staff regulations. At the time of preparation of the DB, the level of the EBA's liability had not been confirmed by the Commission.

4.1.3 Vacancy rate of end 2014

No vacancies at end of 2014.

4.1.4 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

EUR 29 467 000. Currently, the employers' contribution to pension costs is covered from the general EU budget and has no impact on the EBA budget. From 01.01.2016, in accordance with Article 83a.2 of the Staff regulations, the EBA becomes liable for this cost and must include it in its budget. The impact of the employer's pension contributions for temporary and contract agents on the EBA budget has been calculated as EUR 2 532 000. This assumes that the EBA is liable for the full amount of the employer's pension contributions, though there is an alternative reading of Article 83a.2 that suggests that the EBA will become liable for only 60% of the employers contribution. Clarification of this has repeatedly been requested of the Commission but has not yet been received.

4.2.2 Title 2

EUR 6 498 000. The EBA moved office to Canary Wharf at the end of 2014. In 2015, under the lease agreement, the EBA will benefit from a rent-free period of approximately six months. In 2016, there will be no rent-free period and the EBA will be liable for the full amount of the rent. Taken with associated utilities and estate charges linked to the office space, this will result in costs increasing by approximately EUR 1 300 000 over the 2015 level.

4.2.3 Title 3

EUR 7 024 000. From 2015 onwards, operational meetings costs have been moved to Title 3 from Title 2.

4.3 Ad hoc grants and delegation agreements

None

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	1 Canada Square – 4,153m ²	Level 45 - 1,444 m ² Level 46 - 2,709 m ²
Surface area (in square metres)	Office space 2,415.6m ²	
Of which office space	Reception 211.9m ²	
Of which non-office space	Meeting Rooms 820.7m ²	
	Breakout area 314m ²	
	IT & General Storage 222.8m ²	
	Kitchen (Pantry) 168m ²	
	TOTAL 4,153m ²	
Annual rent (in EUR)	Rent: €512.57 per m ² Annual Rent: €2,128,703 Service & Estate Charge: €184.91/m ² Business Rates @ 6%: €12.30 per m ²	
Type and duration of rental contract	Lease: 12 Years Break Option: 6th year with 9 months' notice Rent Free Period: 32 months Break Penalty: 16 months' rent (half of the rent free period)	
Host country grant or support	None	
Present value of the building	N/A	

The information used to complete the table has been taken from the Model Information Note to the Budgetary Authority – EBA Long Term Office Solution submitted to European Parliament on 3 February 2014.

5.1.2 Building project in the planning phase

None.

5.1.3 Building projects submitted to the European Parliament and the Council

None.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Refunds of Value Added Tax (VAT) for purchases of goods and services for the Agency, including vehicles.	Importation of personal effects free of customs duty and VAT; Purchase of a VAT free motor vehicle within 12 months of first entry of the official; Exemption from road tax; Special vehicle registration plates; A diplomatic driving licence, A Foreign and Commonwealth Office issued Identity Card.	The UK has not provided specific/particular education/day care facilities for EBA staff.

5.3 European schools

The EBA does not contribute to the financing of European schools.

5.4 Evaluation

An evaluation of the agency as a component of the European System of Financial Supervisors has been submitted to the Budgetary Authority by 08.08.2014 [COM(2014) 509 final]. The main findings of the latest evaluation available are as follows:

The review shows that the ESAs have overall performed well during their first three years of operations. They have successfully built functioning organisations, started delivering on their mandates and developed their own profiles. Notably by preparing uniform standards and contributing to supervisory convergence and coordination, the ESAs have successfully contributed to shaping the development of a single rulebook applicable to all 28 EU Member States and thus to the good functioning of the Single Market.

The ESAs report identifies several areas for improvement which can be implemented by the ESAs and the Commission in the short term and would not require legislative action. In particular, the ESAs should give a higher profile to issues related to consumer/investor protection, and strengthen the focus on supervisory convergence, amongst other things by making better use of peer reviews.

For the longer term, there could be a need to further consider other issues which would imply changes to the legislative framework for the ESAs. Any such future steps would also have to take into account the functioning of the Banking Union which is currently being established. Areas for consideration in the longer term would include:

- The governance of the ESAs, in particular to further improve the capacity of the Board of Supervisors to take decisions in the interest of the EU as a whole.
- A revision of the existing funding arrangements so that the ESAs could fulfil their broad range of tasks, taking into account the EU and national budgetary constraints.

2.2.1.1.12 European Insurance and Occupational Pensions Authority - EIOPA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No. 1094/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision 716/2009/EC and repealing Commission Decision 2009/79/EC	24/11/2010	The Authority shall act within the powers conferred by this Regulation and within the scope of Directive 2009/138/EC with the exception of Title IV thereof, of Directives 2002/92/EC, 2003/41/EC, 2002/87/EC, 64/225/EEC, 73/239/EEC, 73/240/EEC, 76/580/EEC, 78/473/EEC, 84/641/EEC, 87/344/EEC, 88/357/EEC, 92/49/EEC, 98/78/EC, 2001/17/EC, 2002/83/EC, 2005/68/EC and, to the extent that those acts apply to insurance undertakings, reinsurance undertakings, institutions for occupational retirement provision and insurance intermediaries, within the relevant parts of Directives 2005/60/EC and 2002/65/EC, including all directives, regulations, and decisions based on those acts, and of any further legally binding Union act which confers tasks on the Authority

1.2 Seat

Frankfurt am Main, Germany

1.3 Budget Line

12 02 05 : European Insurance and Occupational Pensions Authority (EIOPA)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	72	73	75	78
Establishment plan posts : AST	15	14	15	15
Establishment plan posts : AST/SC				
Total Establishment plan posts	87	87	90	93
Contract Agents	35	33	35	35
Seconded National Experts	21	14	24	27
TOTAL STAFF	143	134	149	155

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 114 000	8 257 000
Other Revenue	11 833 500	12 753 221
TOTAL REVENUES	19 947 500	21 010 221

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	12 430 000	12 430 000	13 461 457	13 461 457
Title 2 - Infrastructure and operating expenditure	3 306 500	3 306 500	3 554 000	3 554 000
Title 3 - Operational expenditure	4 211 000	4 211 000	3 994 764	3 994 764
TOTAL EXPENDITURE	19 947 500	19 947 500	21 010 221	21 010 221

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16								1		1
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		3		3		3		3		3
AD 12		5		5		5		6		6
AD 11		7		7		7		9		9
AD 10		8		8		8		11		9
AD 9		8		9		8		13		10
AD 8		11		11		11		14		11
AD 7		12		12		12		13		12
AD 6		8		8		9		12		10
AD 5		8		8		10		5		5
AD TOTAL		72		73		75		89		78
AST 11								0		
AST 10								1		1
AST 9		1		1		1		1		1
AST 8		1		1		1		2		2
AST 7		1		1		1		2		2

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 6		3		4		3		3		3
AST 5		1		1		1		2		2
AST 4		3		3		3		3		2
AST 3		3		3		3		2		2
AST 2		2				2				
AST 1										
AST TOTAL		15		14		15		16		15
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		87		87		90		105		93
GRAND TOTAL		87		87		90		105		93

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	11	11	11	11
Function Group III	6	3	6	6
Function Group II	18	19	18	18
Function Group I				0
TOTAL	35	33	35	35

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	21	14	24	27

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	8 865 730	8 114 000	8 941 200 (1)	8 257 000	1,76%
- Of which assigned revenues deriving from previous years' surpluses	276 930	225 000			-100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	351 290	326 727	402 536	402 536	23,20%
- Of which EEA/EFTA (excl. Switzerland)	351 290	326 727	402 536 (2)	402 536	23,20%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	12 352 695	11 506 773	14 169 264 (3)	12 350 685	7,33%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	23 053 (4)				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	12 932				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 592 768	19 947 500	23 513 000	21 010 221	5,33%

(1) The calculation is based on a pro-rata contribution key of 40% contributions from the general EU budget and 60% funding from Member States and EFTA countries as set out in the basic act establishing EIOPA. The same key applies for the years 2014 and 2015. From 2016 onwards a variation is noted caused by the additional financing of employer pensions contributions by Member States/EFTA of approximately EUR 1 160 000. This results in a final distribution key of 38% (Commission) and 62% (Member States and EFTA).

(2) Third Countries Contributions: Contributions from EFTA countries are those from Norway, Iceland and Liechtenstein.

(3) Other contributions are related to contributions from national supervisory authorities from Member States. In 2014 and 2015, the surplus part financed by Member States in previous years is deducted from their contributions and reduces the actual payments. The actual budget by Members States/EFTA countries is EUR 11 968 500. It is reduced by 60% of surplus 2013 (EUR 135 000) which is repaid by the Commission and results in a total payment of EUR 11 833 500 (EUR 11 506 773 Member States and EUR 326 727 EFTA countries). For 2016 the forecast accounts for the employer's pensions contributions as explained above.

(4) Administrative Operations comprise internal assigned revenue received in 2014 equal to EUR 10 1210 and bank interest earned of EUR 12 932. With the new EIOPA Financial Regulation effective as of January 2014, bank interest becomes income of the agency and is no longer due to the Commission. It is budgetary income of the year and is used to finance IT projects in title 3 following a budget amendment and a financing decision launched in December 2014.

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	10 985 944	12 430 000	14 897 000	13 461 457	8,30%
Salaries & allowances	10 041 943	11 639 000	13 832 000	12 706 057	9,17%
- Of which establishment plan posts	8 185 165	9 070 000	11 012 000	9 886 057 (1)	9,00%
- Of which external personnel	1 856 778	2 569 000	2 820 000	2 820 000	9,77%
Expenditure relating to Staff recruitment	234 391	160 000	387 000	77 400 (1)	-51,62%
Mission expenses	173 914	105 000	140 000	140 000	33,33%
Socio-medical infrastructure	87 796	152 000	163 000	163 000	7,24%
Training	307 062	210 000	265 000	265 000	26,19%
External Services	131 386	154 000	100 000	100 000	-35,06%
Receptions, events and representation	9 452	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 512 640	3 306 500	3 554 000	3 554 000	7,49%
Rental of buildings and associated costs	1 864 222	2 172 000	2 436 000	2 436 000	12,15%
Information, communication technology and data processing	290 420	271 000	290 000	290 000	7,01%
Movable property and associated costs	715 838	107 000	55 000	55 000	-48,60%
Current administrative expenditure	106 670	200 000	153 000	153 000	-23,50%
Postage / Telecommunications	171 242	181 500	190 000	190 000	4,68%
Meeting expenses	252 687	260 000	315 000	315 000	21,15%
Running costs in connection with operational activities	0				
Information and publishing	111 561	115 000	115 000	115 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 097 121	4 211 000	5 062 000	3 994 764	-5,14%
TOTAL	21 595 705	19 947 500	23 513 000	21 010 221	5,33%

(1) The financial resources in Title 1 have been adapted to the 93 posts proposed.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 113 195	12 430 000	14 897 000	13 461 457	8,30%
Salaries & allowances	10 164 946	11 639 000	13 832 000	12 706 057	9,17%
- Of which establishment plan posts	8 185 165	9 070 000	11 012 000	9 886 057	9,00%
- Of which external personnel	1 979 781	2 569 000	2 820 000	2 820 000	9,77%
Expenditure relating to Staff recruitment	243 266	160 000	387 000	77 400	-51,62%
Mission expenses	152 344	105 000	140 000	140 000	33,33%
Socio-medical infrastructure	128 192	152 000	163 000	163 000	7,24%
Training	317 405	210 000	265 000	265 000	26,19%
External Services	97 455	154 000	100 000	100 000	-35,06%
Receptions, events and representation	9 587	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 809 063	3 306 500	3 554 000	3 554 000	7,49%
Rental of buildings and associated costs	1 805 246	2 172 000	2 436 000	2 436 000	12,15%
Information, communication technology and data processing	588 148	271 000	290 000	290 000	7,01%
Movable property and associated costs	764 753	107 000	55 000	55 000	-48,60%
Current administrative expenditure	112 794	200 000	153 000	153 000	-23,50%
Postage / Telecommunications	150 005	181 500	190 000	190 000	4,68%
Meeting expenses	277 052	260 000	315 000	315 000	21,15%
Running costs in connection with operational activities					
Information and publishing	111 065	115 000	115 000	115 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 940 262	4 211 000	5 062 000	3 994 764	-5,14%
TOTAL	20 862 520	19 947 500	23 513 000	21 010 221	5,33%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 339 389.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission considers that 15 additional establishment plan posts cannot be envisaged in view of current budgetary constraints. However the additional tasks to be undertaken by EIOPA justify a faster rate of growth (i.e. 3 additional posts in 2016) towards the final staff numbers envisaged in the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013). This is in particular due to: new tasks from Omnibus II, especially the long-term guarantee package in insurance regulation and supervision. Many of these tasks were included at the last minute and were not covered by the 2015 budget; consumer protection work: assuming adoption of the Insurance Mediation Directive (IMD2) EIOPA expects several new mandates in this area; possible input to IORP II Directive and Commission requests for advice on personal pensions; and implementation of Solvency II.

Financial Resources

The financial resources in Title 1 should be adapted to the 93 posts proposed. Title 2 mainly relates to rent and related expenses. Title 3 relates largely to important IT developments required to fulfil EIOPA's mandate.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EIOPA requests an additional 15 TAs for 2016, bringing the total for the Authority up to 105. The proposals are based on the multi-annual planning, as adopted by the MB in its January 2014 meeting. Due to the fact that the final 2015 budget is considerably lower than the approved amount by the Board of Supervisors, the request for 2016 follows the 2015 multi-annual planning of total staff number of 105 temporary agents, with no further growth foreseen for contract agents. The number of SNEs will grow from 21 to 27. This means de facto an implementation delay of the multi-annual resource planning of one year.

EIOPA's mandate has been increased, therefore the Authority is granted the label "new task agency". The mandate has been increased with some very specific, highly technical tasks arising out of the Omnibus II agreement (OMDII), in particular with a focus on the so-called long-term guarantee package in insurance regulation and supervision, such as determining the risk-free interest rate term structure and its adjustments; publishing of information of the fundamental spread for the calculation of the matching adjustment; publication of information of the volatility adjustment; and a role for EIOPA in declaration of the extension of the recovery period (including declaration of the existence of exceptional adverse situation). These highly specialised tasks have significant market impact, for instance the work produced in the determination of the risk-free interest rate structure and its adjustment determines to a large degree the amount of capital European insurers need to hold against their liabilities. In order to fulfil this single task, EIOPA does not only need highly qualified staff members, it also requires large volumes of market data from commercial providers and an IT system capable of crunching these high volumes within short deadlines. All these investments, in human capital, assets and services, have not been catered for in the 2015 budget as approved by Council and Parliament as many of the significant changes stemming from OMDII were included in the very last discussions and justify for a large part the difference between the numbers as proposed by the Authority compared with the lower staff and budget numbers stemming from the Commission Communication. The abovementioned additional tasks require an estimated additional 7.5 TA on an on-going basis.

Further additional changes stemming from the OMDII that impact the work of the Authority are the scheduled review of the calibrations. This should be completed for the first time in 2018. Having in mind that finalising the first set of calibrations took more than a decade, and that evidence is a key part of assessing the right level of calibration, work should start as soon as Solvency II data is available, so in the second half of 2015, when the first reports based on the so-called Preparatory Guidelines will be sent to EIOPA. Again the calibrations have significant impact on the capital requirements of European insurers. EIOPA understands moreover that it may well be asked to provide further advice in the short term on the treatment in Solvency II of infrastructure.

Also an annual report on the impact of the long term guarantee package is due for submission to the Parliament. The work on the report on Long term investment, prepared by EIOPA in 2013 has proven how labour intensive such works are, in levels of time needed to thoroughly prepare with sufficient interactions with stakeholders, as well as the highly

skilled staff that is needed to engage with experts and stakeholders to produce a report on the level of quality that was set with the long term investment report.

EIOPA will continue to support EU legislation in the area of consumer protection. The current Council version of IMD2 (IDD) foresees a number of deliverables for EIOPA encompassing, inter alia, work on a sanctioning framework, setting thresholds for personal indemnity insurance, in addition to specific legal hooks for guidelines in the three areas of POG, cross selling and selling of non-complex products. The Fiche Financiere accompanying the proposal foresees 6 FTEs (4 TAs, 1 CA and 1 SNE); in addition, 0.3 FTE is allocated for the ongoing preparations in anticipation of the adopted Directive.

EIOPA has an important role in fulfilling the objective of the European Union to develop an adequate, safe and sustainable pensions system. This may include input on the IORP II Directive, requiring an additional 2.5 TAs. A whole new avenue of work was opened with the Commission request for advice on personal pensions. A specific task force with Members from the national Authorities has been set up and an additional TA post was launched to acquire the specific knowledge needed in the area.

On top of all the aforementioned, 2016 will be the first year of application of Solvency II. Supporting and facilitating work regarding implementation will be a core priority for the Authority, leading to key reallocation and assignment of staff in order to appropriately deliver its role.

4.1.2 Salary assumption for calculating salary line (% applied)

In terms of new staff (15 TA) the budget has been calculated based on the assumption that all will start in Q1 in 2016. Salaries and related staff costs have been calculated on actual figures projected into the future. New TA's average monthly costs per grade of staff have been assumed. The Country Correction Coefficient has been assumed stable whereas a general salary increase of 1 % has been assumed. Salaries and related staff costs budgets have been calculated based on average monthly cost per grade of staff active in the given month.

4.1.3 Vacancy rate of end 2014

The rate of fulfilling the establishment plan on 2014 was 100%, therefore no open vacancies as of end 2014.

4.1.4 Standard abatement ('abatement forfaitaire') applied

4.2 Financial Resources - Agency request

4.2.1 Title 1

The following assumptions are made for the 2016 budgetary expenses:

Impact of the payment of the employer contribution on EIOPA's revenue ratio (60%-40%) from 1 January 2016: until 2016 EIOPA was financed 40 % from Union funds and 60 % through contributions from Member States. starting 2016, following implementation of art. 83a (2) Staff Regulation, EIOPA's funding will be as follows: EU contribution EUR 8 941 200 (38%); Member States contribution EUR 14 571 800 (62%). This new provision represents approximately an additional EUR 1 160 000 in the 2016 budget to be funded by National Competent Authorities (NCAs).

Staff salaries for the 2016 have been calculated based on assumption that new positions will be filled in starting with August 2016, 1% salary increase and minimum reclassification envisaged. Furthermore, the correction coefficient for Frankfurt has been kept stable at the level of 2015 for 97.2%. As staff is entitled to a further step in the salary scheme once per two years, all these assumptions combined lead to an increase of staff costs in 2016 compared with the 2015 by 15.25%. The comparison is made excluding employers pension contribution out of salaries & allowances expenses. For the additional staff recruited in 2015 and 2016, the average costs within each grade per TA and CA are assumed.

4.2.2 Title 2

To follow the efficiency principle EIOPA is going to maintain the "zero cost increase" policy. This assumes that no increase of the costs is foreseen other than the adjustment caused by the inflation rate. Specific areas have been identified to improve efficiency of the Agency. The efficient use of space should be maintained at the same level, monitoring the benchmark to the other agencies.

4.2.3 Title 3

The Solvency II will enter into force in January 2016, which means that many functions will be stabilised only in 2016. The EIOPA Overall ICT Implementation Plan assumes that several implementation projects will be still in definition stage at the end of preparatory phase (in 2015), to be implemented mainly in 2016.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Westhafen Tower, Westhafen Platz 1, Frankfurt am Main, Germany	
Surface area (in square metres) Of which office space Of which non-office space	EIOPA occupies per 1 January 2015 5 floors (14th, 25th, 26th, 27th, 28th). 4,261 square metre: office space 50 square metre: basement and parking space	
Annual rent (in EUR)	1,577,739.85 € estimated in 2016	
Type and duration of rental contract	Every building unit (namely the half floors of 25,26 and 27 floor and the whole floors 14 and 28) has a 10-years contract. The contract defines the price per square meter, which is subject to indexation. The contract allows to gradually extending the office space in line with the actual growth of the Authority and real needs for office space. The occupation of the 28th floor in 2014 was the final office extension and provides room for accommodating the increased number of in-house working meetings, for the implementation of an open space policy on the 14th floor and for the fulfilment of the necessary security requirements.	
Host country grant or support	N/A	
Present value of the building	N/A	

EIOPA's contract with GGM Mann Management foresees a dedicated 10-year contract for every half floor which is set up in the following way: first 10 months lease free; following 26 months at a reduced rate of 75%; remaining 7 years at full lease rate. The rental fee for every floor as governed by the contract is € 29/month/m² (subject to indexation in line with the German consumer price index), which results in an average expenditure of € 25.01/month/m² over a full 10-year period. An Early Termination Clause has been established in the contract.

EIOPA's office space was gradually expanded over time to accommodate for the growing staff numbers. In order to avoid periods during which unused offices would be rented, it was agreed with the landlord that office space could be added in half-floor steps and that the remaining halves could be put on hold until the actual need arose. EIOPA does not plan further expansion of office space in the near future; an open space policy is being implemented in 2015 to accommodate new recruits and consultants.

5.1.2 Building project in the planning phase

None

5.1.3 Building projects submitted to the European Parliament and the Council

No new project submitted to the European Parliament and the Council – information on building policy was provided on 1 July 2014 according to Article 87 of the EIOPA Financial Regulation.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
EIOPA benefits from the privileges granted by the Protocol of Privileges and Immunities to EU organisations and institutions (mainly from the VAT exemption of supplier invoices).	Headquarter agreement has been signed with Government of the German Federal Republic on 18 October 2011.	

5.3 European schools

The EU budget contribution to EIOPA in the 2016 DB has been adjusted to include 40% of the schooling costs of EIOPA pupils attending the Frankfurt school for an amount of EUR 47 790.

5.4 Evaluation

An evaluation of the agency as a component of the European System of Financial Supervisors has been submitted to the Budgetary Authority by 08.08.2014 [COM(2014) 509 final]. The main findings of the latest evaluation available are as follows:

The review shows that the ESAs have overall performed well during their first three years of operations. They have successfully built functioning organisations, started delivering on their mandates and developed their own profiles. Notably by preparing uniform standards and contributing to supervisory convergence and coordination, the ESAs have successfully contributed to shaping the development of a single rulebook applicable to all 28 EU Member States and thus to the good functioning of the Single Market.

The ESAs report identifies several areas for improvement which can be implemented by the ESAs and the Commission in the short term and would not require legislative action. In particular, the ESAs should give a higher profile to issues related to consumer/investor protection, and strengthen the focus on supervisory convergence, amongst other things by making better use of peer reviews.

For the longer term, there could be a need to further consider other issues which would imply changes to the legislative framework for the ESAs. Any such future steps would also have to take into account the functioning of the Banking Union which is currently being established. Areas for consideration in the longer term would include:

- The governance of the ESAs, in particular to further improve the capacity of the Board of Supervisors to take decisions in the interest of the EU as a whole.
- A revision of the existing funding arrangements so that the ESAs could fulfil their broad range of tasks, taking into account the EU and national budgetary constraints.

An evaluation of the agency for 2016 will be submitted to the Budgetary Authority before 15 June 2017, in line with Art. 43.5 of EIOPA's Founding Regulation and Art. 47 of EIOPA's Financial Regulation.

2.2.1.1.13 European Securities and Market Authority - ESMA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/77/EC	24/11/2010	ESMA is an independent EU Authority that contributes to safeguarding the stability of the European Union's financial system by ensuring the integrity, transparency, efficiency and orderly functioning of securities markets, as well as enhancing investor protection.
Regulation (EU) N° 513/2011 of the European Parliament and of the Council of 11 May 2011 amending Regulation (EC) No 1060/2009 on credit rating agencies	11/05/2011	Grant ESMA competence for the direct supervision of Credit Rating Agencies in the EU.
Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR)	4/7/2012	Grants ESMA competence for the direct supervision of Trade Repositories in the EU.

1.2 Seat

Paris, France

1.3 Budget Line

12 02 06 : European Securities and Markets Authority (ESMA)

1.4 Human Resource Overview ESMA

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	118	117	122	127
Establishment plan posts : AST	15	13	15	13
Establishment plan posts : AST/SC				
Total Establishment plan posts	133	130	137	140
Contract Agents	31	31	32	44
Seconded National Experts	21	16	21	21
TOTAL STAFF	185	177	190	205

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview ESMA

1.5.1.1 Revenues Overview ESMA

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	9 703 423	10 203 000
Other Revenue	27 409 432	27 919 405
TOTAL REVENUES	37 112 855	38 122 405

1.5.1.2 Expenditure Overview ESMA

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	18 793 500	18 793 500	20 556 597	20 556 597
Title 2 - Infrastructure and operating expenditure	7 166 000	7 166 000	8 418 250	8 418 251
Title 3 - Operational expenditure	8033355	8033355	7647558	7647558
Title 4 - Delegated tasks	3120000	1620000	1500000	3000000
TOTAL EXPENDITURE	37 112 855	35 612 855	38 122 405	39 622 406

1.5.2 Financial Resources Overview (Fees from Credit Rating Agencies)

1.5.2.1 Revenues Overview (Fees from Credit Rating Agencies)

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	0	0
Other Revenue	9 734 297	10 768 269
TOTAL REVENUES	9 734 297	10 768 269

1.5.2.2 Expenditure Overview (Fees from Credit Rating Agencies)

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 432 772	5 432 772	6 607 039	6 607 039
Title 2 - Infrastructure and operating expenditure	1 961 525	1 961 525	2 216 782	2 216 782
Title 3 - Operational expenditure	2 340 000	2 340 000	1 944 448	1 944 448

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 4 - Delegated tasks	0	0	0	0
TOTAL EXPENDITURE	9 734 297	9 734 297	10 768 269	10 768 269

1.5.3 Financial Resources Overview (EU and National Competent Authorities Contribution)

1.5.3.1 Revenues Overview (EU and National Competent Authorities Contribution)

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	9 703 423	10 203 000
Other Revenue	17 675 135	17 151 136
TOTAL REVENUES	27 378 558	27 354 136

1.5.3.2 Expenditure Overview (EU and National Competent Authorities Contribution)

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	13 360 728	13 360 728	13 949 558	13 949 558
Title 2 - Infrastructure and operating expenditure	5 204 475	5 204 475	6 201 468	6 201 469
Title 3 - Operational expenditure	5 693 355	5 693 355	5 703 110	5 703 110
Title 4 - Delegated tasks	3 120 000	1 620 000	1 500 000	3 000 000
TOTAL EXPENDITURE	27 378 558	25 878 558	27 354 136	28 854 137

2 Human Resources

2.1 Establishment plan posts ESMA

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16								1		1
AD 15		1		1		1		1		1
AD 14		1		1		1				
AD 13								2		2
AD 12		3		2		3		4		4
AD 11		5		1		5		7		7

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 10		6		3		6		10		10
AD 9		14		14		14		22		22
AD 8		27		22		27		30		29
AD 7		27		29		27		24		24
AD 6		20		23		22		17		17
AD 5		14		21		16		11		10
AD TOTAL		118		117		122		129		127
AST 11										
AST 10										
AST 9		1				1				
AST 8										
AST 7								2		2
AST 6		1				1		2		2
AST 5		2		2		2		4		4
AST 4		6		1		6		4		4
AST 3		2		6		2		1		1
AST 2		3		1		3				
AST 1				3						
AST TOTAL		15		13		15		13		13
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		133		130		137		142		140

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	133		130		137		142		140	

(1) This number includes 5 offer letters sent counted as posts filled.

2.2 External personnel ESMA

2.2.1 Contract Agents ESMA

Contract agents	Authorised 2014	Recruited as of 31/12/2014 (1)	2015 estimate	Draft Budget 2016 estimate
Function Group IV	15	13	16	16
Function Group III	4	13	4	28
Function Group II	12	5	12	
Function Group I				
TOTAL	31	31	32	44

(1) This number includes 5 offer letters sent counted as posts filled.

2.2.2 Seconded National Experts ESMA

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	21	16	21	21

3 Financial Resources

3.1 Financial Resources ESMA

3.1.1 Revenues ESMA

REVENUES	Revenues ESMA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	7 547 686	9 734 297	10 768 269	10 768 269	10,62%
2 EU CONTRIBUTION	12 617 641	9 703 423	10 958 945	10 203 000	5,15%
- <i>Of which assigned revenues deriving from previous years' surpluses</i>	802 089	1 583 423			-100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	489 594	402 076	479 083	479 083	19,15%
- <i>Of which EEA/EFTA (excl. Switzerland)</i>	489 594	402 076	479 083	479 083	19,15%
- <i>Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	17 233 735	14 153 059	16 863 739	15 304 500	8,14%
- <i>Of which additional EU funding stemming from ad hoc grants (FFR Art.7)</i>					

REVENUES	Revenues ESMA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
<i>- Of which additional EU funding stemming from delegation agreements (FFR Art.8)</i>					
5 ADMINISTRATIVE OPERATIONS	20 320				
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)</i>	6 767				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS		3 120 000	1 367 553	1 367 553	-56,17%
TOTAL	37 908 976	37 112 855	40 437 589	38 122 405	2,72%

3.1.2 Expenditure ESMA

3.1.2.1 Commitment appropriations ESMA

EXPENDITURE	Commitment appropriations ESMA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	16 741 084	18 793 500	20 873 500	20 556 597	9,38%
Salaries & allowances	15 576 451	17 919 500	19 833 984	19 576 984	9,25%
<i>- Of which establishment plan posts</i>	14 809 168	17 469 500	19 533 984	19 276 984	10,35%
<i>- Of which external personnel</i>	767 283	450 000	300 000	300 000	-33,33%
Expenditure relating to Staff recruitment	289 203	180 000	299 516	239 613	33,12%
Mission expenses					
Socio-medical infrastructure	390 439	394 000	440 000	440 000	11,68%
Training	484 991	300 000	300 000	300 000	0,00%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	7 093 661	7 166 000	8 418 250	8 418 250	17,47%
Rental of buildings and associated costs	4 124 887	4 895 000	5 180 000	5 180 000	5,82%
Information, communication technology and data processing	1 839 805	1 420 000	1 720 000	1 720 000	21,13%
Movable property and associated costs	160 308	60 000	63 000	63 000	5,00%

EXPENDITURE	Commitment appropriations ESMA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Current administrative expenditure	941 587	738 000	1 400 250	1 400 250	89,74%
Postage / Telecommunications	15 067	28 000	30 000	30 000	7,14%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	12 007	25 000	25 000	25 000	0,00%
Title 3 - Operational expenditure	9 063 803	8 033 355	9 645 839	7 647 558	-4,80%
Common Supervisory Culture : training and events	221 469	110 000	60 000	60 000	-45,45%
Collection of information : IT projects	5 550 248	4 600 000	5 565 839	5 565 839	21,00%
Supervision	121 789	590 000	705 000	705 000	19,49%
Acces to data for economic research	398 423	300 000	300 000	300 000	0,00%
Other Title 3 expenditure	2 771 874	2 433 355	3 015 000	1 016 719	-58,22%
Title 4 - Delegated tasks		3 120 000	1 500 000	1 500 000	-51,92%
TOTAL	32 898 548	37 112 855	40 437 589	38 122 405	2,72%

3.1.2.2 Payment appropriations ESMA

EXPENDITURE	Payment appropriations ESMA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	16 741 084	18 793 500	20 873 500	20 556 597	9,38%
Salaries & allowances	15 576 451	17 919 500	19 833 984	19 576 984	9,25%
- Of which establishment plan posts	14 809 168	17 469 500	19 533 984	19 276 984	10,35%
- Of which external personnel	767 283	450 000	300 000	300 000	-33,33%
Expenditure relating to Staff recruitment	289 203	180 000	299 516	239 613	33,12%
Mission expenses					
Socio-medical infrastructure	390 439	393 999	440 000	440 000	11,68%
Training	484 991	300 001	300 000	300 000	-0,00%
External Services					
Receptions, events and representation					

EXPENDITURE	Payment appropriations ESMA				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	7 093 661	7 166 000	8 418 251	8 418 251	17,47%
Rental of buildings and associated costs	4 124 887	4 895 000	5 180 000	5 180 000	5,82%
Information, communication technology and data processing	1 839 805	1 420 000	1 720 001	1 720 001	21,13%
Movable property and associated costs	160 308	60 000	63 000	63 000	5,00%
Current administrative expenditure	941 587	738 000	1 400 250	1 400 250	89,74%
Postage / Telecommunications	15 067	28 000	30 000	30 000	7,14%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	12 007	25 000	25 000	25 000	0,00%
Title 3 - Operational expenditure	9 063 803	8 033 355	9 645 839	7 647 558	-4,80%
Common Supervisory Culture : training and events	221 469	110 000	60 000	60 000	-45,45%
Collection of information : IT projects	5 550 248	4 600 000	5 565 839	5 565 839	21,00%
Supervision	121 789	590 000	705 000	705 000	19,49%
Acces to data for economic research	398 423	300 000	300 000	300 000	0,00%
Other Title 3 expenditure	2 771 874	2 433 355	3 015 000	1 016 719	-58,22%
Title 4 - Delegated tasks		1 620 000	3 000 000	3 000 000	85,19%
TOTAL	32 898 548	35 612 855	41 937 590	39 622 406	11,26%

3.2 Financial Resources (Fees from Credit Rating Agencies)

3.2.1 Revenues (Fees from Credit Rating Agencies)

REVENUES	Revenues (Fees from Credit Rating Agencies)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	7 547 686	9 734 297	10 768 269	10 768 269	10,62%
2 EU CONTRIBUTION	0	0	0	0	◆
- Of which assigned revenues deriving from	0	0			-100%

REVENUES	Revenues (Fees from Credit Rating Agencies)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
<i>previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	0	0	0	0	◆
- <i>Of which EEA/EFTA (excl. Switzerland)</i>	0	0	0	0	◆
- <i>Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	0	0	0	0	◆
- <i>Of which additional EU funding stemming from ad hoc grants (FFR Art.7)</i>					
- <i>Of which additional EU funding stemming from delegation agreements (FFR Art.8)</i>					
5 ADMINISTRATIVE OPERATIONS	0				
- <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)</i>	0				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS		0	0	0	◆
TOTAL	7 547 686	9 734 297	10 768 269	10 768 269	10,62%

3.2.2 Expenditure (Fees from Credit Rating Agencies)

3.2.2.1 Commitment appropriations (Fees from Credit Rating Agencies)

EXPENDITURE	Commitment appropriations (Fees from Credit Rating Agencies)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 783 296	5 432 772	6 607 039	6 607 039	21,61%
Salaries & allowances	3 520 102	5 166 198	6 333 303	6 333 303	22,59%
- <i>Of which establishment plan posts</i>	3 346 705	4 995 653	6 254 304	6 254 304	25,19%
- <i>Of which external personnel</i>	173 397	170 545	78 999	78 999	-53,68%
Expenditure relating to Staff recruitment	65 357	52 475	78 872	78 872	50,30%
Mission expenses					
Socio-medical infrastructure	88 235	109 149	115 865	115 865	6,15%
Training	109 602	104 950	78 999	78 999	-24,73%
External Services					
Receptions, events and representation					
Social welfare					

EXPENDITURE	Commitment appropriations (Fees from Credit Rating Agencies)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 603 087	1 961 525	2 216 782	2 216 782	13,01%
Rental of buildings and associated costs	932 178	1 309 258	1 364 052	1 364 052	4,19%
Information, communication technology and data processing	415 775	372 574	452 928	452 928	21,57%
Movable property and associated costs	36 228	26 238	16 590	16 590	-36,77%
Current administrative expenditure	212 788	236 926	368 729	368 729	55,63%
Postage / Telecommunications	3 405	9 970	7 900	7 900	-20,76%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	2 713	6 559	6 583	6 583	0,37%
Title 3 - Operational expenditure	2 108 595	2 340 000	1 944 448	1 944 448	-16,90%
Common Supervisory Culture : training and events	72 710	0	0	0	◆
Collection of information : IT projects	1 146 843	1 500 000	763 000	763 000	-49,13%
Supervision	121 789	590 000	705 000	705 000	19,49%
Acces to data for economic research	0	0	0	0	◆
Other Title 3 expenditure	767 253	250 000	476 448	476 448	90,58%
Title 4 - Delegated tasks		0	0	0	◆
TOTAL	7 494 978	9 734 297	10 768 269	10 768 269	10,62%

3.2.2.2 Payment appropriations (Fees from Credit Rating Agencies)

EXPENDITURE	Payment appropriations (Fees from Credit Rating Agencies)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 783 296	5 432 772	6 607 039	6 607 039	21,61%
Salaries & allowances	3 520 102	5 166 198	6 333 303	6 333 303	22,59%
- Of which establishment plan posts	3 346 705	4 995 653	6 254 304	6 254 304	25,19%
- Of which external personnel	173 397	170 545	78 999	78 999	-53,68%
Expenditure relating to Staff recruitment	65 357	52 475	78 872	78 872	50,30%

EXPENDITURE	Payment appropriations (Fees from Credit Rating Agencies)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Mission expenses					
Socio-medical infrastructure	88 235	109 148	115 865	115 865	6,15%
Training	109 602	104 951	78 999	78 999	-24,73%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 603 087	1 961 525	2 216 782	2 216 782	13,01%
Rental of buildings and associated costs	932 178	1 309 258	1 364 052	1 364 052	4,19%
Information, communication technology and data processing	415 775	372 574	452 929	452 929	21,57%
Movable property and associated costs	36 228	26 238	16 590	16 590	-36,77%
Current administrative expenditure	212 788	236 926	368 728	368 728	55,63%
Postage / Telecommunications	3 405	9 970	7 900	7 900	-20,76%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	2 713	6 559	6 583	6 583	0,37%
Title 3 - Operational expenditure	2 108 595	2 340 000	1 944 448	1 944 448	-16,90%
Common Supervisory Culture : training and events	72 710	0	0	0	◆
Collection of information : IT projects	1 146 843	1 500 000	763 000	763 000	-49,13%
Supervision	121 789	590 000	705 000	705 000	19,49%
Access to data for economic research	0	0	0	0	◆
Other Title 3 expenditure	767 253	250 000	476 448	476 448	90,58%
Title 4 - Delegated tasks		0	0	0	◆
TOTAL	7 494 978	9 734 297	10 768 269	10 768 269	10,62%

3.2.3 Budget Outturn (Fees from Credit Rating Agencies)

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A

3.3 Financial Resources (EU and National Competent Authorities Contribution)

3.3.1 Revenues (EU and National Competent Authorities Contribution)

REVENUES	Revenues (EU and National Competent Authorities Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	0	0	0	0	◆
2 EU CONTRIBUTION	12 617 641	9 703 423	10 958 945	10 203 000	5,15%
- Of which assigned revenues deriving from previous years' surpluses	802 089	1 583 423			-100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	489 594	402 076	479 083	479 083	19,15%
- Of which EEA/EFTA (excl. Switzerland)	489 594	402 076	479 083	479 083	19,15%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	17 233 735	14 153 059	16 863 739	15 304 500	8,14%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	20 320				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	6 767				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS		3 120 000	1 367 553	1 367 553	-56,17%
TOTAL	30 361 290	27 378 558	29 669 320	27 354 136	-0,09%

3.3.2 Expenditure (EU and National Competent Authorities Contribution)

3.3.2.1 Commitment appropriations (EU and National Competent Authorities Contribution)

EXPENDITURE	Commitment appropriations (EU and National Competent Authorities Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 957 788	13 360 728	14 266 461	13 949 558	4,41%
Salaries & allowances	12 056 349	12 753 302	13 500 681	13 243 681	3,85%
- Of which establishment plan posts	11 462 463	12 473 847	13 279 680	13 022 680	4,40%
- Of which external personnel	593 886	279 455	221 001	221 001	-20,92%
Expenditure relating to Staff recruitment	223 846	127 525	220 644	160 741	26,05%
Mission expenses					

EXPENDITURE	Commitment appropriations (EU and National Competent Authorities Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	302 204	284 851	324 135	324 135	13,79%
Training	375 389	195 050	221 001	221 001	13,30%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 490 574	5 204 475	6 201 468	6 201 468	19,16%
Rental of buildings and associated costs	3 192 709	3 585 742	3 815 948	3 815 948	6,42%
Information, communication technology and data processing	1 424 030	1 047 426	1 267 072	1 267 072	20,97%
Movable property and associated costs	124 080	33 762	46 410	46 410	37,46%
Current administrative expenditure	728 799	501 074	1 031 521	1 031 521	105,86%
Postage / Telecommunications	11 662	18 030	22 100	22 100	22,57%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	9 294	18 441	18 417	18 417	-0,13%
Title 3 - Operational expenditure	6 955 208	5 693 355	7 701 391	5 703 110	0,17%
Common Supervisory Culture : training and events	148 759	110 000	60 000	60 000	-45,45%
Collection of information : IT projects	4 403 405	3 100 000	4 802 839	4 802 839	54,93%
Supervision	0	0	0	0	◆
Acces to data for economic research	398 423	300 000	300 000	300 000	0,00%
Other Title 3 expenditure	2 004 621	2 183 355	2 538 552	540 271	-75,26%
Title 4 - Delegated tasks		3 120 000	1 500 000	1 500 000	-51,92%
TOTAL	25 403 570	27 378 558	29 669 320	27 354 136	-0,09%

3.3.2.2 Payment appropriations (EU and National Competent Authorities Contribution)

EXPENDITURE	Payment appropriations (EU and National Competent Authorities Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 957 788	13 360 728	14 266 461	13 949 558	4,41%
Salaries & allowances	12 056 349	12 753 302	13 500 681	13 243 681	3,85%
- Of which establishment plan posts	11 462 463	12 473 847	13 279 680	13 022 680	4,40%
- Of which external personnel	593 886	279 455	221 001	221 001	-20,92%
Expenditure relating to Staff recruitment	223 846	127 525	220 644	160 741	26,05%
Mission expenses					
Socio-medical infrastructure	302 204	284 851	324 135	324 135	13,79%
Training	375 389	195 050	221 001	221 001	13,30%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 490 574	5 204 475	6 201 469	6 201 469	19,16%
Rental of buildings and associated costs	3 192 709	3 585 742	3 815 948	3 815 948	6,42%
Information, communication technology and data processing	1 424 030	1 047 426	1 267 072	1 267 072	20,97%
Movable property and associated costs	124 080	33 762	46 410	46 410	37,46%
Current administrative expenditure	728 799	501 074	1 031 522	1 031 522	105,86%
Postage / Telecommunications	11 662	18 030	22 100	22 100	22,57%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	9 294	18 441	18 417	18 417	-0,13%
Title 3 - Operational expenditure	6 955 208	5 693 355	7 701 391	5 703 110	0,17%
Common Supervisory Culture : training and events	148 759	110 000	60 000	60 000	-45,45%
Collection of information : IT projects	4 403 405	3 100 000	4 802 839	4 802 839	54,93%
Supervision	0	0	0	0	◆

EXPENDITURE	Payment appropriations (EU and National Competent Authorities Contribution)				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Acces to data for economic research	398 423	300 000	300 000	300 000	0,00%
Other Title 3 expenditure	2 004 621	2 183 355	2 538 552	540 271	-75,26%
Title 4 - Delegated tasks		1 620 000	3 000 000	3 000 000	85,19%
TOTAL	25 403 570	25 878 558	31 169 321	28 854 137	11,50%

3.3.3 Budget Outturn (EU and National Competent Authorities Contribution)

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The agency's request for 5 additional posts implies a slightly faster rate of progress towards the final staff numbers envisaged in the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013). Taking into account the need to reduce administrative overheads, the Commission considers that 3 posts would be justified, in the following fields: Benchmarks Regulation (to strengthen the team of two, in order to cope with involvement in colleges and international cooperation in this area); extensive IT developments related to data collection and reporting for MIFIR/MIFID II and supervisory work for the application of the single rulebook among national authorities; and for the supervision of Credit Rating Agencies and Trade Repositories Regulation.

Financial Resources

The financial resources in Title 1 should be adapted to the 140 posts proposed. Title 2 mainly relates to rent and related expenses. Title 3 relates largely to important IT developments required to fulfil ESMA's mandate.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

ESMA conducted an assessment of staffing needs per activity, including the possibility of certain activities requiring less capacity and therefore some resources being reallocated. At the beginning of 2014 (see ESMA's 2015-2017 MSPP), ESMA estimated that an additional 14 Establishment Plan (EP) posts would be required for 2015 and a further additional 19 EP posts for 2016. This means in total that ESMA estimated the need for an additional 33 posts over 2015/2016. Given the fact that ESMA was provided with only 4 posts in 2015 and the strong EU budget constraints, it is finally proposed to increase the number of ESMA staff only by 5 posts in 2016 (instead of the 19 posts estimated at the beginning of 2014). Should the 2016 request be approved, it would mean that over 2015/2016, ESMA would have had 9 posts instead of the 33 posts initially estimated. This will be achieved by reallocating planned resources to priority areas, re-profiling the work programme over more years, limiting some legally mandated tasks, and running the operations under extreme budgetary constraints in order to fit into the budget circumstances. The increase of 5 posts is explained below.

- New tasks: Single Rulebook:

The European Commission has adopted a proposal for a Regulation on indices used as benchmarks in financial instruments and financial contracts. The financial statement attached to the proposed Benchmarks regulation grants two additional posts to ESMA; these have already been included in ESMA's budget request for 2015. However, estimates from ESMA's staff indicate that two posts are not sufficient to adequately cover the policy work and the on-going involvement in colleges, as well as ensuring the international co-operation element of the Benchmark work through IOSCO and the Financial Stability Board. Besides some potential internal movement of staff, an additional post is therefore requested.

- Growth of existing tasks

- Convergence: ESMA's IT Multi-annual Work Programme (IT MAWP) for 2016-2017 sets out four programmes of work in 2016. In 2016 the IT MAWP will be dominated by the legal requirements for data collection and reporting stemming from MiFIR/MiFID II. However ESMA will also be supporting capabilities related to CRA supervision and investor protection, as well as providing systems to support ESMA's internal core processes (in particular data analysis and document management) further to ESMA's activities on supervision, risk monitoring/analysis and single rulebook. One additional post will be required to deliver the IT programmes; still less than the growth of approximately 25% of the budget of the projects. It is important to note that the IT MAWP does not include any provision for the Structured Finance Instruments System required by the CRA Regulation. The estimation of costs related to this project is on-going and may lead to a subsequent request to amend ESMA's budget in 2015. As ESMA begins to finish the single rulebook work in 2016, amongst others with the planned delivery of the MiFID II / MiFIR package of regulatory work, the shift in emphasis towards ESMA's other activities will continue. In particular, ensuring harmonised application of the single rulebook among the National Competent Authorities becomes more important. To this end, an additional post to work on issues related to supervisory convergence is requested.

- Supervision: ESMA's supervisory work, stemming from the CRA and EMIR regulations, has matured past the first registrations and inspections into an on-going supervisory work programme. In this context ESMA has found that more capacity is required, particularly for legal support for enforcement. This one additional post would be financed through fees levied on the supervised entities: Credit Rating Agencies and Trade Repositories.

- Operational support: ESMA's growth requires an additional post to strength operational support in the planning and monitoring function, which produces ESMA's various work plans and reports, both to ESMA's Boards and to external audiences such as the European institutions. The Internal Control Standards define requirements to improve notably activity based budgeting, the definition of objectives, and the measurement of the Authority's work through performance indicators. Being able to respond to this within the Internal Audit Services' deadlines will require additional capacity.

4.1.2 Salary assumption for calculating salary line (% applied)

Salaries have been calculated using the average salary from January 2015 with 0,86% applied over the year for step changes and 0,49% for promotions. Salary increases have been assumed to be 1% each in July 2015 and in July 2016. The correction coefficient for France is assumed to stay stable. A vacancy rate of 3% has been assumed.

4.1.3 Vacancy rate of end 2014

There were three vacant posts on the establishment plan at the end of 2014 and the selection procedures are on-going. This is due to unsuccessful selection procedures, internal staff members succeeding at external selection procedures and the regular turnover rate.

4.1.4 Standard abatement ('abatement forfaitaire') applied

A linear phase-in for the budgeted salary costs for the requested new staff has been applied.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The staffing budget is linked to the increase in Establishment Plan posts to implement ESMA's accumulation of new tasks explained in detail in paragraph 4.1.1. This increase in planned posts accounts for approximately EUR 600 000. It should also be noted that ESMA's proposed funding balance for 2016 is such that, out of the 142 proposed EP posts, 41 TAs would be financed by fees charged to supervised entities (Credit Rating Agencies and Trade Repositories), 61 from contributions from National Competent Authorities and 40 from the EU budget. In addition, according to the provisions of the revised Staff Regulations, ESMA, as an authority partly financed from the general budget of the EU, will be required to make employers' pension contributions corresponding to the proportion of its revenues without the subsidy from the general budget of the EU and its total revenues. For 2016, the rate of this contribution is calculated at 70%, adding an additional EUR 1 500 000 to ESMA's salary budget that is not funded by the EU subsidy.

4.2.2 Title 2

Title 2 includes an additional EUR 150 000 (compared to 2015) for infrastructure projects that were planned in 2015 but removed due to budgetary constraints, e.g. implementation of the business continuity plan, improved implementation of activity based management as required by the Internal Audit Services of the Commission. In addition an extra EUR 150 000 for the project on implementing records and archives is required by new legislation. The largest part (62%) of ESMA's title 2 budget is contractual amounts related to building rent. This has increased by EUR

285 000 from 2015 in line with the report submitted to the Budgetary Authorities in September 2012 and for which a favourable opinion was received. Of this overall amount EUR 4 360 000 is required for the rent and charges and EUR 500 000 is estimated for taxes. The remaining EUR 320 000 is to cover small works and refurbishment.

4.2.3 Title 3

In order to reduce the budget request to the current levels, ESMA conducted significant reprioritisation of its activities. In particular the following elements should be noted: the budget request does not include the IT project on SFI (see further explanations below) and the IT projects related to some legislation are not included in this budget request, in particular the network of OAM, ESEF and Prospectus final terms and passports; the translation budget is approximately half what ESMA estimates will actually be required for translations and therefore it is likely that some guidelines may need to be delayed to 2017; there is no programme of training courses for National Competent Authorities, although the e-learning offer will be maintained. The main driver for the increase in requested budget for title 3 is to allow ESMA to begin projects that were delayed from 2015 due to extreme budgetary constraints.

Building IT Databases: an additional EUR 965 839 compared to 2015, for the IT Work Programme. The projects are related to legal requirements, stemming from legislation (see list below) that either did not have accompanying financial statements or that did not provide a provision for IT expenditure in their financial statements.

The projects are grouped into three programmes for 2016: a) the CRA III Programme, which will support capabilities related to CRA supervision and investor protection (collection and publication of large data sets). The costs of the IT platform related to Structure Finance Instruments (SFI) are not included in this budget for 2016 as there are still significant questions, particularly about how it could be funded (as the costs are predicted to be significant in comparison to ESMA's current IT budget); b) the Markets Programme, which will support capabilities related to the development and the implementation of new regulatory and supervisory standards in that area. That programme is related especially to MiFIR/MiFID II; and c) the ESMA Corporate Programme: ESMA is still building its internal IT capacity having allocated most of its IT resources since its set-up in 2011 to regulatory projects. This programme supports two categories of capabilities: 1) ESMA core processes, such as supervision, data management capabilities supporting CRAs and TRs supervision, financial stability, supervisory convergence and single rulebook (e.g. analysis tools required for drafting MiFIR technical standards); 2) Transversal operations and administrative processes, such as HR and Finance management.

ESMA's 2016 budget also includes the following IT projects that are delegated tasks to ESMA from National Competent Authorities (NCAs) (title IV) and are funded by assigned revenue: MiFIR Instruments Reference Data: a project where ESMA would collect, store and process instrument reference data from trading venues, including executing transparency calculations and management of suspensions from trading; and Trade Repositories: a project to set up a logical portal, e.g. a single access point for querying and getting back data from Trade Repositories without storage.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	103 rue de Grenelle, Paris France	
Surface area (in square metres) Of which office space Of which non-office space	5 304	
Annual rent (in EUR)	€ 3 557 473,08	This is the contractual amount for the rent to which is then applied the nationally set rate of inflation, capped in ESMA's rental contract to 3%.
Type and duration of rental contract	Lease expiring on 31 December 2020	
Host country grant or support	None	

Present value of the building	N/A	
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5.1.2 Building project in the planning phase

None

5.1.3 Building projects submitted to the European Parliament and the Council

In September 2012, ESMA submitted a report to the European Parliament and Council detailing its request to sign a lease for an additional 2.208 sqm in their premises at 103 rue de Grenelle for the period of 2013 to 2020. On 10 October 2012, the European Parliament's Budget Committee issued a favourable opinion on this request.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	The Headquarters agreement of ESMA is not signed yet with the French government. Negotiations are still on-going and since September 2014 draft texts are under discussions.	

5.3 European schools

Not applicable

5.4 Evaluation

An evaluation of the agency as a component of the European System of Financial Supervisors has been submitted to the Budgetary Authority by 08.08.2014 [COM(2014) 509 final]. The main findings of the latest evaluation available are as follows:

The review shows that the ESAs have overall performed well during their first three years of operations. They have successfully built functioning organisations, started delivering on their mandates and developed their own profiles. Notably by preparing uniform standards and contributing to supervisory convergence and coordination, the ESAs have successfully contributed to shaping the development of a single rulebook applicable to all 28 EU Member States and thus to the good functioning of the Single Market.

The ESAs report identifies several areas for improvement which can be implemented by the ESAs and the Commission in the short term and would not require legislative action. In particular, the ESAs should give a higher profile to issues related to consumer/investor protection, and strengthen the focus on supervisory convergence, amongst other things by making better use of peer reviews.

For the longer term, there could be a need to further consider other issues which would imply changes to the legislative framework for the ESAs. Any such future steps would also have to take into account the functioning of the Banking Union which is currently being established. Areas for consideration in the longer term would include:

- The governance of the ESAs, in particular to further improve the capacity of the Board of Supervisors to take decisions in the interest of the EU as a whole.
- A revision of the existing funding arrangements so that the ESAs could fulfil their broad range of tasks, taking into account the EU and national budgetary constraints.

2.2.1.1.14 Agency for the Cooperation of Energy Regulators - ACER

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 713/2009 of the European Parliament and of the Council.	13 July 2009	<p>Mission: The purpose of the Agency is to assist National Regulatory Authorities (NRAs) in exercising, at Union level, the regulatory tasks that they perform in the Member States and, where necessary, to coordinate their action.</p> <p>Tasks: complement and coordinate the work of the NRAs; participate in the creation of European network rules; take, under certain conditions, binding individual decisions on terms and conditions for access and operational security for cross border infrastructure; give advice on various energy related issues to the European institutions, and monitor and report developments of the energy markets.</p>
Regulation (EU) No 838/2010 of the European Parliament and of the Council	23 September 2010	Regulation on Inter-TSO Compensation Mechanism: the Agency is required to formulate a proposal to the EU Commission on the annual cross-border infrastructure compensation sum for the ITC mechanism.
Regulation (EU) No 1227/2011 of the European Parliament and of the Council on wholesale energy market integrity and transparency	25 October 2011	The Agency shall: cooperate with the NRAs, ESMA, competent financial authorities of the Member States and where appropriate with national competition authorities to monitor the wholesale energy markets and their activities; assess the operation and transparency of different categories of market places and ways of trading; and make recommendations to the Commission as regards market rules, records of transactions, orders to trade, standards and procedures which could improve market integrity and the functioning of the internal market.
Regulation (EU) No 347/2013 of the European Parliament and of the Council on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulation (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009	17 April 2013	The Agency shall: assist the NRAs in assessing the consistent application of the Projects of Common Interest (PCI) identification criteria/Cost-Benefit Analysis (CBA) methodology, and evaluate their cross border relevance within a region, and in presenting such an assessment to the Regional Groups; provide an opinion (within three months) on the draft regional lists of the (electricity and gas) PCIs and on the consistent application of the criteria and the cost-benefit analysis across regions; provide an opinion to the Commission and the Member States on the methodologies, for a harmonised energy system-wide CBA at Union-wide level proposed by ENTSOs; participate in the monitoring of the implementation of the PCIs; and facilitate the sharing of good practices and providing recommendations on incentives and common methodology to evaluate the incurred higher risks of investments in electricity and gas transmission.

1.2 Seat

Ljubljana, Slovenia

1.3 Budget Line

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1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	39	37	39	49
Establishment plan posts : AST	15	15	15	15
Establishment plan posts : AST/SC				

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Total Establishment plan posts	54	52	54	64
Contract Agents	11	10	15	19
Seconded National Experts	12	11	8	4
TOTAL STAFF	77	73	77	87

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	11 266 000	15 547 582
Other Revenue	0	0
TOTAL REVENUES	11 266 000	15 547 582

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 539 935	6 539 935	7 970 983	7 970 983
Title 2 - Infrastructure and operating expenditure	2 522 483	2 522 483	2 573 017	2 573 017
Title 3 - Operational expenditure	2 203 582	2 203 582	5 003 582	5 003 582
TOTAL EXPENDITURE	11 266 000	11 266 000	15 547 582	15 547 582

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12				1				1		

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 11		4		3		4		4		5
AD 10				1				1		
AD 9		2		4		2		4		2
AD 8		6		5		6		11		10
AD 7		6		4		6		12		9
AD 6		4		7		7		7		5
AD 5		16		11		13		33		17
AD TOTAL		39		37		39		74		49
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		1		1		1		1		1
AST 4				3		1		4		1
AST 3		14		11 (10)		13		19		13
AST 2										
AST 1										
AST TOTAL		15		15		15		24		15
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
TOTAL		54		52		54		98		64
GRAND TOTAL	54		52		54		98		64	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	5	4	9	13
Function Group III				
Function Group II	6	6	6	6
Function Group I				
TOTAL	11	10	15	19

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	12	11	8	4

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	10 335 497	11 266 000	20 078 441	15 547 582	38,00%
- Of which assigned revenues deriving from previous years' surpluses	692 000	415 000	708 000	708 000	70,60%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	10 335 497	11 266 000	20 078 441	15 547 582	38,00%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 363 813	6 539 935	11 902 305	7 970 983	21,88%
Salaries & allowances	4 787 187	5 961 200	11 154 523	7 330 673	22,97%
<i>- Of which establishment plan posts</i>	3 927 842	4 639 620	9 375 745	5 982 661	28,95%
<i>- Of which external personnel</i>	859 345	1 321 580	1 778 778	1 348 012	2,00%
Expenditure relating to Staff recruitment	85 769	50 000	70 000	51 000	2,00%
Mission expenses	85 539	164 535	160 900	167 826	2,00%
Socio-medical infrastructure	44 586	30 000	73 632	30 600	2,00%
Training	166 460	100 000	180 000	152 000	52,00%
External Services	120 860	145 000	170 000	147 900	2,00%
Receptions, events and representation	5 919	12 000	15 500	12 240	2,00%
Social welfare	18 000	27 200	27 750	27 744	2,00%
Other Staff related expenditure	49 493	50 000	50 000	51 000	2,00%
Title 2 - Infrastructure and operating expenditure	2 424 084	2 522 483	3 156 886	2 573 017	2,00%
Rental of buildings and associated costs	948 848	902 600	999 225	920 652	2,00%
Information, communication technology and data processing	418 643	425 500	477 450	434 010	2,00%
Movable property and associated costs	128 774	183 800	210 000	187 476	2,00%
Current administrative expenditure	130 142	158 000	162 460	161 160	2,00%
Postage / Telecommunications	56 550	77 500	78 000	79 050	2,00%
Meeting expenses	168 422	240 783	264 751	245 683	2,04%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Running costs in connection with operational activities	13 500	20 000	40 000	20 400	2,00%
Information and publishing					
Studies	559 205	514 300	925 000	524 586	2,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 547 600	2 203 582	5 019 250	5 003 582	127,07%
TOTAL	10 335 497	11 266 000	20 078 441	15 547 582	38,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 181 457	6 539 935	11 902 305	7 970 983	21,88%
Salaries & allowances	4 787 186	5 961 200	11 154 523	7 330 673	22,97%
- Of which establishment plan posts	3 927 841	4 639 620	9 375 745	5 982 661	28,95%
- Of which external personnel	859 345	1 321 580	1 778 778	1 348 012	2,00%
Expenditure relating to Staff recruitment	41 786	50 000	70 000	51 000	2,00%
Mission expenses	77 602	164 535	160 900	167 826	2,00%
Socio-medical infrastructure	14 650	30 000	73 632	30 600	2,00%
Training	94 404	100 000	180 000	152 000	52,00%
External Services	100 904	145 000	170 000	147 900	2,00%
Receptions, events and representation	5 919	12 000	15 500	12 240	2,00%
Social welfare	15 432	27 200	27 750	27 744	2,00%
Other Staff related expenditure	43 574	50 000	50 000	51 000	2,00%
Title 2 - Infrastructure and operating expenditure	1 439 745	2 522 483	3 156 886	2 573 017	2,00%
Rental of buildings and associated costs	883 273	902 600	999 225	920 652	2,00%
Information, communication technology and data processing	116 183	425 500	477 450	434 010	2,00%
Movable property and associated costs	111 265	183 800	210 000	187 476	2,00%
Current administrative expenditure	69 612	158 000	162 460	161 160	2,00%
Postage / Telecommunications	48 520	77 500	78 000	79 050	2,00%
Meeting expenses	97 109	240 783	264 751	245 683	2,04%

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Budget 2015	Draft Budget 2016		
			Agency request	Budget forecast	
Running costs in connection with operational activities	3 375	20 000	40 000	20 400	2,00%
Information and publishing					
Studies	110 408	514 300	925 000	524 586	2,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	977 784	2 203 582	5 019 250	5 003 582	127,07%
TOTAL	7 598 986	11 266 000	20 078 441	15 547 582	38,00%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 708 000.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission Communication of 10 July 2013 considers ACER as a 'new tasks' agency over the period 2014-2016 due to the phasing in of new tasks assigned to it under Regulation (EU) No 1227/2011 (REMIT) and Regulation (EU) No 347/2013 (TEN-E Guidelines). In addition to this, ACER's role is becoming increasingly important in view of the Energy Union, one of the strategic and political priorities of the new Commission. In view of the political importance of the Energy Union, ACER will have to strengthen its ability and capacity, in particular, to identify and monitor projects of common interest in the field of gas and electricity infrastructure as well as to foster consistent regulation throughout the Union and assist national regulatory authorities in their role of monitoring and enforcing the rules in force. Hence, the Commission supports the agency's request for an increase of human resources for 2016 by 10 Temporary Agents (TA) for the implementation of REMIT and the selection and monitoring of energy infrastructure projects, identified as projects of common interest (PCIs).

Financial Resources

The Commission proposes an increase of titles 1 and 2 by a total of EUR 1 481 582 which is proportionate to the increase in establishment plan posts between 2015 and 2016 (+10 posts) as stipulated above, as well as the expected annual price indexation. As regards operational expenditure (title 3), the Commission assesses that in 2016 a total increase of EUR 2 800 000 is required to allow for the renewal of the REMIT hosting services and for further development of the REMIT applications. This increase should be seen as a one-off allocation.

The provision of REMIT hosting services between the second half of 2016 and 2019 needs to be ensured as it is a fundamental requirement for ACER's ability to operate. As the budget of ACER's framework contract for IT hosting services signed in 2013 has been almost fully consumed, ACER is currently looking into different options to ensure the provision of REMIT hosting services beyond mid-2016. In order to ensure the operational reliability of REMIT, long-term contractual arrangements are required and a peak demand for budget for IT hosting services is foreseen in 2016, which includes the potential need to migrate the ARIS environment to another contractor. Moreover, further development, maintenance, services and support as well as license purchases are required in order to maintain a fully functional REMIT IT system. Due to the importance of an effective REMIT system for ACER's ability to operate and to be able to achieve its objectives, the Commission proposes a total budget of EUR 5 003 582 for title 3 in 2016.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

While no new legislation was passed in 2014 directly affecting the Agency, regulatory developments following legislation entered into force in previous years are assigning new important tasks to the Agency, in particular in two

areas: monitoring EU wholesale energy markets and energy infrastructure development. These two areas are key component of the new Energy Union Strategy identified as one of the priorities of the new European Commission, since both well-functioning wholesale energy markets, where prices reflect the fundamental of demand and supply and are not distorted (e.g. inflated) by abusive behaviour, and efficient energy network development are precondition for an efficient integration of the energy markets across the EU.

- Monitoring EU wholesale energy markets

Commission Implementing Regulation (EU) No 1348/2014 of 17 December 2014 on data reporting implementing Article 8(2) and Article 8(6) of Regulation (EU) No 1227/2011 of the European Parliament and of the Council on wholesale energy market integrity and transparency (the “REMIT Implementing Acts”) entered into force on 7 January 2015, providing full application of Regulation (EU) No 1227/2011 (REMIT). The REMIT Implementing Acts define the dates in 2015 and 2016 by which the new sector-specific, comprehensive and unprecedented monitoring framework for wholesale energy markets, to detect and deter market abuse, will have to become fully operational through a purposely-developed IT system (the “Agency’s REMIT IT System” – ARIS). In addition, the Agency will have to start monitoring the EU wholesale energy market using such a framework and ARIS. In particular, according to the REMIT Implementing Acts: starting from 7 October 2015, wholesale energy market participants, or third parties acting on their behalf, will have to report to the Agency data on transactions in wholesale energy contracts admitted to trading at Organised Market Places. By the same data the Agency will start receiving fundamental data from the ENTSOs central information transparency platforms; starting from 7 April 2016, wholesale energy market participants, or third parties acting on their behalf, will have to report to the Agency data on transactions in the remaining wholesale energy contracts (OTC standard and non-standard supply contracts; transportation contracts). The Agency will also start receiving reportable fundamental data from TSOs, LSOs and SSOs.

The Agency has been working to develop ARIS and the related policies and procedures since REMIT entered into force in December 2011, but it is clear that the handling of the large amount of data (estimated in excess of 500,000 transactions reported per day) and the monitoring of wholesale energy markets in the EU on the basis of these data will impose an additional formidable challenge for the Agency which requires significant additional expert resources. The Agency has been requesting these additional resources, beyond those assigned in the REMIT Financial Statement, in the MSPP 2014-2016 and MSPP 2015-2017, in anticipation of the adoption of the REMIT, so that such resources could be trained to ensure the most effective market monitoring. Now that the REMIT Implementing Acts have been adopted and the deadline for transaction and fundamental data reporting have been set, the requirement for these additional resources is ineludible, lest the Agency be unable to monitor trading in EU wholesale energy markets, with the risk of market abuse going undetected and energy consumers paying the cost of it in terms of higher prices.

The additional 30 staff members which the Agency requires for the Market Monitoring Department to fulfil its mission under REMIT efficiently and effectively would be expected to be graded as follows: 1 AD11, 3 AD8, 6 AD7, 15 AD5, 1 AST4 and 4 AST3.

- Energy infrastructure development

Following the entry into force of Regulation (EU) No 347/2013 on guidelines for trans-European energy infrastructure (the “TEN-E Regulation”), the first list of Projects of Common Interest (PCIs) was adopted by the European Commission in October 2013. The second PCI list is expected to be adopted in the second half of 2015. Apart from being involved in the process for the identification of PCIs, with its opinion on the consistent application of the selection criteria across regions, the Agency has also a major responsibility in monitoring the implementation of PCIs. PCI implementation monitoring will start in 2015, with respect to the PCI included in the first list, and will grow in scope and importance with the adoption of the subsequent lists. The newly proposed European Fund for Strategic Investment (EFSI) gives prominence to PCIs, especially the 72 most mature ones which are expected to be completed by 2017. Effective monitoring of the implementation of these projects, to ensure progress according to plans, is therefore an essential part of securing that the EFSI’s objectives are met.

The Agency has been working on the implementation of the TEN-Regulation since before it was adopted. The Agency was authorised to recruit an additional 5 staff members to implement Regulation (EU) No 347/2013, against an estimated staffing requirement of 14, and with these resources has been able to play its role in the process for the establishment of the first PCI list (and other methodological aspects, as the opinions on the cost-benefit-analysis methodologies developed by the ENTSOs). However, monitoring the implementation of PCIs, while at the same time continuing to contribute to the PCI selection process for the second and subsequent lists, inevitably demands that the Agency is assigned the full staffing requirement.

The additional staff will be assigned to the Electricity and Gas Departments and are expected to be graded as follows: for the Electricity Department: 1 AD8, 3 AD5 and 1 AST3; for the Gas Department: 1 AD8, 2 AD5 and 1 AST3.

The increase in staff numbers and the substantial expansion in the activities of the Agency, including in new areas (REMIT and TEN -E) require some additions to the resources available for central and support functions. The additional staff for central and support functions are expected to be graded as follows: 2 AD7, 1 AD5 and 2 AST3.

- Seconded National Experts and contract agents

The Agency proposes to change four additional Seconded National Expert (SNE) positions to long-term contract agent positions in 2016. The change will not have any budget appropriation implications and is considered necessary: given the typical limited duration of the SNE agreements the Agency has difficulties building a stable staff basis. In several instances SNEs have been called back by the seconded institutions well before the end of the secondment agreement and the Agency has no influence in avoiding such situations; the Agency experiences a lower number of applications in reply to most of the published vacancy notices for SNEs as the National Regulatory Authorities retain remuneration obligations for these staff while they are working for our Agency; and the percentage of SNEs in 2014 was as high: 22% of all staff compared to temporary agents (68%) and contract staff (10%). Given the proposed conversion of additional four SNE posts into long-term contract agents, an increase by four positions within the contract agents group is envisaged for 2016 bringing the total number of long-term contract agents to 19, as compared to 15 in 2015.

4.1.2 Salary assumption for calculating salary line (% applied)

Basic salaries and adjustment are calculated including an expected salary indexation at 1.5%.

4.1.3 Vacancy rate of end 2014

The agency's vacancy rate at end of 2014 was 3,70%.

4.1.4 Standard abatement ('abatement forfaitaire') applied

For the 2016 budgetary year it is estimated that all recruitment procedures started during 2015 would be finalised and the occupancy rate would be 100% at the beginning of the year.

4.2 Financial Resources - Agency request

4.2.1 Title 1

As compared to 2015 there is an increase of financial resources requested for Title 1 of 81,99% mainly relating to remuneration costs of additional 44 staff from January 2016 that are necessary to ensure the implementation of the Regulation (EU) 1227/2011 on energy market integrity and transparency (30 additional posts), Regulation (EU) No 347/2013 of the European Parliament and of the Council on the Guidelines for Trans-European Energy Infrastructure and repealing Decision No 1364/2006/EC and amending Regulation (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (9 additional posts) and 5 additional posts to support the increase in the volume of horizontal services.

4.2.2 Title 2

The 25,15% increase in 2016 of the requested financial resources under Title 2 as compared to 2015 mainly relate to some minor increases in the size of office space contracted in 2014 that is becoming available only during 2015, as well as agreed price indexation, and additional studies envisaged in the fields of electricity, gas and market monitoring.

4.2.3 Title 3

The 127,78% increase mainly relate to the provisions of a new contract of service hosting for the operational REMIT system from mid-2016 onwards, due to upgrade of ARIS, renewal of licences and related consultancy.

In 2013 the Agency signed a framework contract in order to procure IT hosting services to comply with its REMIT related obligations. The contract's budget ceiling has already almost been reached and a new framework contract will have to be launched in 2015. The requested amount is needed to cover the hosting services for the second half of 2016 until 2018 and to allow for potential migration of the entire ARIS environment to another contractor. If the budget is not allocated in 2016, we may run the risk of not being able to ensure the provision of the hosting services beyond mid-2016. This kind of services cannot be negotiated on a monthly or yearly basis. Long-term arrangements are needed to ensure operational reliability according to Article 12(1) of REMIT, an obligation for the Agency. This will become the most critical risk for the Agency in 2016 regarding the operations of the Agency's REMIT Information System and the Agency's capability of keeping its REMIT operations running, the costs of which have been estimated at EUR 2 million.

In 2012 the Agency signed several framework contracts in order to procure all the necessary components to comply with its REMIT related obligations. On the basis of these existing framework contracts, the following costs estimated at EUR 0.8 million will be incurred: firstly, although the majority of IT development for ARIS has been performed in the period of 2014 - 2015 on the basis of the budget received in 2013 to 2015, there will be a need to upgrade ARIS in 2016; secondly, on the basis of a joined framework contract from DG DIGIT, Oracle support & maintenance services for licenses for the ARIS databases will have to be annually renewed; thirdly, for some parts of ARIS which will go live in the first half of 2015, the warranty period will expire in 2016 and maintenance costs have to be foreseen to ensure proper support from the contractor; and lastly the SW development will expire in 2016 and Agency needs to secure the provision of services by entering into specific contract to cover the services for most of 2017.

One additional year of SMARTS licensing at EUR 0.45 million plus an additional EUR 0.15 million for consultancy services are also envisaged under Title 3 expenditure. In addition, the costs of data presentation equipment and security related to data collected and analysed by the market monitoring department are estimated at EUR 0.1 million for the year 2016. In 2013 the Agency procured a framework contract for IT consultancy for REMIT implementation on the basis of which the following IT consultancy services are foreseen to be ordered in 2016 amounting to EUR 0.8 million and split as follows: firstly, on the basis of a DIGIT framework contract, costs for consultancy related mainly to database development and operations (Oracle) will have to be covered in 2016. The costs for these services are estimated at EUR 0.15 million; secondly, IT consultancy for user support will be required in 2016. The Commission's implementing acts foresee the possibility for market participants to report data directly to the Agency which will particularly become relevant for non-standardised trade data in 2016. The Agency therefore may have to foresee the reporting of non-standardised data through numerous self-reporting market participants. The implementation of the data collection will require additional IT consultancy for user support currently estimated with EUR 0.2 million; thirdly, to ensure operational reliability, IT consultancy for information security will be required in 2016. These services are estimated to cost EUR 0.1 million; fourthly, expert IT consultancy of around EUR 0.15 million will be needed to support the ACER Market Monitoring Department; and lastly, IT consultancy services will be used to provide proper quality assurance (testing, identifying improvements, etc.) for the IT solutions developed. The costs for these services are estimated to EUR 0.2 million.

4.3 Ad hoc grants and delegation agreements

Not applicable to ACER

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	TR3, Ljubljana, offices	
Surface area (in square metres)	2 989,50sqm	
Of which office space	2 111,71sqm	
Of which non-office space	877,79sqm	
Annual rent (in EUR)	602 325	In addition: parking spaces, utilities, security, insurance, cleaning and maintenance costs paid depending on actual consumption and estimated for 2016 at a total of EUR 405 168
Type and duration of rental contract	Negotiated contract for the period 01/02/2014 – 31/01/2019	
Host country grant or support		
Present value of the building		

5.1.2 Building project in the planning phase

Not applicable to ACER

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable to ACER

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
- inviolability of premises and archives - facilitations for communication Security -direct exemption from taxes	- Staff immunity from Slovenian jurisdiction regarding acts carried out in the official capacity - Exemption from national taxes on salaries and wages - Staff exemption from import taxes and duties on personal effects in the first year - Exemption from social security contribution towards Slovenian schemes - Director and Heads of Departments holding Diplomatic status	- Proposed establishment of schooling facilities for children of agency's staff members and transitional solutions - Government of Slovenia committed to establish a European School in Slovenia. The Agency to pay school fees, on the basis of established references, in the interim period.

5.3 European schools

At this moment no European school is available in Ljubljana, Slovenia. Initiative of the Slovenian government towards establishment of a European school is ongoing.

5.4 Evaluation

An evaluation of the agency in accordance with article 34 of Regulation (EC) 713/2009 has been undertaken by the European Commission with the evaluation report issued on 22 January 2014.

The conclusions of the evaluation were as follows: 'Since its establishment, ACER has become a credible and respected institution playing a prominent role in the EU regulatory arena. Overall, ACER has focused on the right priorities. Its annual work programmes and annual activity reports are useful tools to set priorities and report transparently on results achieved. The Commission encourages ACER to plan its activities realistically against the background of available resources, to carry out a mid-term review where appropriate, to include key performance indicators in its Annual Activity Report and to establish a multi-annual programme. Going forward, the Commission encourages ACER to strengthen further its regulatory control over the ENTSOs, including on the implementation of the network codes and Ten Years Network Development Plans. ACER should also play an active role as an arbiter when NRAs disagree or adopt incompatible approaches on cross-border issues, which impede a smooth functioning of the internal energy market.'

The governance corresponds to the set up foreseen in the ACER Regulation. The Commission welcomes the positive contribution made by NRAs whilst encouraging some NRAs to take a more active role in the valuable work undertaken by the Agency' Working Groups. Also, as they gain experience, ACER's staff could play a more prominent role in the Working Groups, including by chairing the Groups, as well as in stakeholders' events.

As regards ACER's communication and transparency, the Commission notes in general positive results of the public consultations and important efforts successfully undertaken to improve these aspects. In terms of potential improvements, there is a consensus that ACER's website needs to become more informative and user-friendly.'

A new evaluation of the agency is expected to be carried out by 2018 – 'at least every four years' in accordance with article 34 of Regulation (EC) 713/2009.

2.2.1.2 Decentralised Agency of heading 2 - Sustainable growth: natural resources

2.2.1.2.1 European Environment Agency – EEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EEC) No. 1210/90	07/05/1990	Establishment of the European Environment Agency and the European environment information and observation network - established the objective of the EEA, 10 tasks to be carried out to achieve the objective, and priority areas of work
Council Regulation 933/1999	29/04/1999	Following a first evaluation of the Agency as required in the Founding Regulation: the objective of the EEA was revised to include reference to sustainable development, some of the tasks were revised slightly, three new tasks were added, one priority area of work was added, enabling the management board to be extended to representatives on non-EU countries, the election of a bureau delegated to take executive decisions on behalf of the management board was enabled, an article listing possible further tasks for the Agency was deleted, and requirements for two further evaluations of the Agency were specified.
Regulation (EC) No 1641/2003 of the European Parliament and of the Council (L 245 1 29.9.2003)	22/07/2003	Revised Article 6 to indicate that Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents shall apply to documents held by the Agency.

1.2 Seat

Copenhagen, Denmark

1.3 Budget Line

07 02 06 : European Environment Agency

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	66	64	65	65
Establishment plan posts : AST	69	67	68	65
Establishment plan posts : AST/SC				
Total Establishment plan posts	135	131	133	130
Contract Agents	69	63	66	66
Seconded National Experts	20	20	20	20
TOTAL STAFF	224	214	219	216

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	36 309 240	36 309 240

Additional EU funding: ad hoc grants and Delegation agreements		
Other Revenue	5 373 204	5 497 408
TOTAL REVENUES	41 682 444	41 806 648

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	23 688 444	23 688 444	23 508 580	23 508 580
Title 2 - Infrastructure and operating expenditure	4 197 000	4 197 000	4 197 000	4 197 000
Title 3 - Operational expenditure	13 797 000	13 797 000	14 101 068	14 101 068
TOTAL EXPENDITURE	41 682 444	41 682 444	41 806 648	41 806 648

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		2		2		2		2		2
AD 13		2		1		2	1	3	1	3
AD 12	1	10	1	8	1	10		12		11
AD 11		10		9		10		10		10
AD 10		10		5		10		10		10
AD 9		8		8		10		10		10
AD 8		8		9		10		12		10
AD 7		8		11		7		10		7
AD 6		6		10		2				
AD 5										
AD TOTAL	1	65	1	63	1	64	1	70	1	64
AST 11		3				3		3		3

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 10		3		2		3		3		3
AST 9	2	3		2	2	8	3	8	3	8
AST 8	1	10	3	5	1	10		10		10
AST 7		10		9		10		10		10
AST 6		10		8		10		10		10
AST 5		10		9		10		12		10
AST 4		8		9		8		7		7
AST 3		7		13 (1)		2		1		1
AST 2		2		6		1				
AST 1				1						
AST TOTAL	3	66	3	64	3	65	3	64	3	62
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	4	131	4	127	4	129	4	134	4	126
GRAND TOTAL	135		131		133		138		130	

(1) figures include 1 letter of offer for an AST3 post sent 2014 with start in 2015.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	39	37	38	38
Function Group III	11	12	10	10
Function Group II	19	14	18	18

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group I		(1)		
TOTAL	69	63	66	66

(1) Incl. 4 offers letters sent in 2014 for start in 2015

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	20	20 (1)	20	20

(1) Incl. 5 offer letters sent in 2014 for start in 2015

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	36 309 240	36 309 240	37 172 000	36 309 240	0,00%
- Of which assigned revenues deriving from previous years' surpluses	943 311	1 422 873	752 386	752 386	-47,12%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	5 461 657	5 373 204	5 558 580	5 497 408	2,31%
- Of which EEA/EFTA (excl. Switzerland)	1 071 588	937 837	1 104 008	1 076 235	14,76%
- Of which candidate countries	4 390 069	4 435 367	4 454 572	4 421 173	-0,32%
4 OTHER CONTRIBUTIONS	10 802 174				
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)	10 802 174				
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	52 573 071	41 682 444	42 730 580	41 806 648	0,30%

.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	23 157 723	23 688 444	24 188 580	23 508 580	-0,76%
Salaries & allowances	21 549 867	21 863 444	22 345 312	21 845 312	-0,08%
- Of which establishment plan posts	16 822 128	17 078 444	17 515 015	17 515 015	2,56%
- Of which external personnel	4 727 739	4 785 000	4 830 297	4 330 297	-9,50%
Expenditure relating to Staff recruitment	155 000	220 000	258 268	258 268	17,39%
Mission expenses	655 646	800 000	780 000	700 000	-12,50%
Socio-medical infrastructure	792 221	800 000	800 000	700 000	-12,50%
Training					
External Services					
Receptions, events and representation					
Social welfare	4 989	5 000	5 000	5 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 315 817	4 197 000	4 197 000	4 197 000	0,00%
Rental of buildings and associated costs	3 296 545	3 215 000	3 215 000	3 215 000	0,00%
Information, communication technology and data processing					
Movable property and associated costs	271 233	270 000	270 000	270 000	0,00%
Current administrative expenditure	521 331	460 000	460 000	460 000	0,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	226 708	252 000	252 000	252 000	0,00%
Title 3 - Operational expenditure	13 959 239	13 797 000	14 345 000	14 101 068	2,20%
Resources	13 040 825	12 917 000	13 302 000	13 058 068	1,09%
Strategic Actions	918 414	880 000	1 043 000	1 043 000	18,52%
TOTAL	41 432 779	41 682 444	42 730 580	41 806 648	0,30%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	23 157 723	23 688 444	24 188 580	23 508 580	-0,76%
Salaries & allowances	21 549 867	21 863 444	22 345 312	21 845 312	-0,08%
- Of which establishment plan posts	16 822 128	17 078 444	17 515 015	17 515 015	2,56%
- Of which external personnel	4 727 739	4 785 000	4 830 297	4 330 297	-9,50%
Expenditure relating to Staff recruitment	155 000	220 000	258 268	258 268	17,39%
Mission expenses	655 646	800 000	780 000	700 000	-12,50%
Socio-medical infrastructure	792 221	800 000	800 000	700 000	-12,50%
Training					
External Services					
Receptions, events and representation					
Social welfare	4 989	5 000	5 000	5 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 315 787	4 197 000	4 197 000	4 197 000	0,00%
Rental of buildings and associated costs	3 296 515	3 215 000	3 215 000	3 215 000	0,00%
Information, communication technology and data processing					
Movable property and associated costs	271 233	270 000	270 000	270 000	0,00%
Current administrative expenditure	521 331	460 000	460 000	460 000	0,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	226 708	252 000	252 000	252 000	0,00%
Title 3 - Operational expenditure	13 959 239	13 797 000	14 345 000	14 101 068	2,20%
Resources	13 040 825	12 917 000	13 302 000	13 058 068	1,09%
Strategic Actions	918 414	880 000	1 043 000	1 043 000	18,52%
TOTAL	41 432 749	41 682 444	42 730 580	41 806 648	0,30%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 752 386.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission agreed last year that the ultimate objective was to reach 124 establishment posts at the end of the 5 year period covered by Communication COM(2013)519 of 10.7.2013. In order to reach this objective, the Agency needs to reduce its number of establishment posts by 2% in 2016, which translates into a reduction of 3 posts compared to 2015. The number of establishment posts should therefore be 130 in 2016.

Financial Resources

Additional resources were agreed in a Delegation Agreement (DG GROW) to cover Copernicus work. 5 CAs are expected to cover coordination of the Copernicus Land Service and an additional CA is expected to cover coordination of the in situ component of Copernicus. EUR 500 000/ year are needed to cover costs for a maximum of 6 Contract Agents, in accordance with respective profiles agreed with the Commission, and EUR 180 000/year are needed to cover other administrative costs and internal operational costs. In total, a lump sum of EUR 680 000/year shall thus be transferred to the Agency. The modification of the agency's legal base to assume COPERNICUS as a core task is not foreseen to be finalised in 2016 because of the required co-decision procedure. Therefore the legal and budgetary coverage of this task remains under the present delegation agreement.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The agency request is based on a 1% reduction (one AST post) and the addition of new tasks (six TA posts) following a planned amendment to the EEA founding regulation – i.e. a net increase of five posts. One AST post is converted to an AD post in 2016. The number of contract agents is expected to be 65. It should be noted that the number of contract agents in 2015 is increasing due to additional tasks delegated from the European Commission (delegation or grant agreements).

- New tasks:

Regulation establishing the Copernicus Programme and repealing Regulation (EU) No 911/2010. The new role and responsibilities of the EEA are outlined in Article 6 Overall coordination of the Copernicus in-situ component across services for the harmonisation of the collection and provision of in-situ data, i.e. provision of in-situ data to the operational services, including third party in-situ data at international level, technical assistance to the Commission on the service requirements for in-situ observation data, cooperation with in-situ operators to promote the consistency of development activities related to the in-situ observation infrastructure and networks; and Article 12 Activities for the implementation of tasks described in Article 4, i.e. the operational land monitoring service to provide information on land use and land cover, cryosphere, climate change and bio-geophysical variables, including their dynamics in support of the global-to-local environmental monitoring of biodiversity, soil, inland and coastal water, forests and vegetation, natural resources, as well as in general implementation of environment, agriculture, development, energy, urban planning, infrastructure and transport policies.

In the EC communication COM(2013)519 the EEA is classified as new tasks agency in 2016 to include these Copernicus activities in the legal base of the agency and that this task shall be done with Contract Agents. The EEA has concluded a delegation agreement with DG GROW that is expected to run until 2021, consequently making this a recurrent task (stemming back from EEA's involvement via grant and delegation agreements since 2006 – Corine Land Cover, GISC 2010 and GIO 2011). The EEA founding regulation is expected to be amended to include this as a core task during 2016 and the permanent nature of the tasks should be accompanied by permanent staff as well in order to ensure the continued delivery of these tasks. It is on this basis evident that the posts should be added to the establishment table. Hence a request of six temporary agent posts.

- Growth of existing tasks:

On 23 July 2014 Directive 2014/89/EU of the European Parliament and of the Council establishing a framework for maritime spatial planning (MSP) was adopted. The new Directive overall objective lies in the delivery of plans that apply an ecosystem-based approach which will contribute to promoting the sustainable development and growth of the maritime and coastal economies and the sustainable use of marine and coastal resources, in line of the Integrated Maritime Policy for the European Union ('IMP'), including, as its environmental pillar, Directive 2008/56/EC (Marine Framework Strategy Directive). MSP should cover the full cycle of problem and opportunity identification, information collection, planning, decision-making, implementation, revision or updating, and the monitoring of implementation, and should have due regard to land-sea interactions and best available knowledge. To this extent, EEA, in close cooperation with EC services and countries will have to secure corresponding information flows and accessibility to the dedicated European Marine Information System (WISE-Marine); this represents an additional work that will need to be calibrated against existing resources (capacities and processes).

The EU Environment Action Programme to 2020 (Decision No 1386/2013/EU) sets out horizontal priority objectives, one of which is to make the Union's cities more sustainable. The EEA is tasked, in cooperation with EC services, to provide indicators and assessments of urban areas and sustainable cities, integrate environmental and socio-economic information, and address the resource efficiency targets. A seconded national expert works partially with urban indicators and assessment, but following the reduction of the EEA's number of SNEs in 2014 this will no longer be the case, hence the need for the agency to identify a post to fulfil the function.

The new Regulation No 1143/2014 on invasive alien species was published in the Official Journal on 4 November 2014 and entered into force on 1 January 2015. A list of invasive alien species of Union concern will be drawn up and managed with Member States using risk assessments and scientific evidence, thus generating reporting and organisation of data and information. EEA will be, upon request from EC services, identifying possible contributions to data and information management and analysis, for which no resources / capacities exist at the moment.

The growth in existing tasks will have to be covered by existing personnel and the Agency will do its utmost to ensure that these tasks are solved within a declining establishment table by redeploying staff whenever possible.

- Efficiency gains, negative priorities/decrease of existing tasks and redeployment of resources in view of budgetary constraints:

There are few efficiency gains to be found as stated by the external evaluators in the last 5-year evaluation finalised in 2013: "The analysis on findings regarding the efficiency dimension, documents the fact that the EEA network is efficient in the sense that cooperative relations are strong and solid and efforts have been made to optimise the functioning and work flows in relation to reporting of data. Stakeholders consider that the EEA and the Eionet provide value for money. The evaluation indicates that the EEA runs a modern and efficient network organisation, with limited potential for optimisation in regard to administrative tasks and key operational work flows. Administrative costs are lower than for similar agencies."

Negative priorities in terms of downscaled activities included in the work programme for 2016 are not delivering any significant contributions to the needed staff reductions in the five year period as resources are already spread thin. The EEA multi annual work programme attempts to cover the tasks given to the Agency in its founding act as best as can be done within the resources made available. The EEA is reviewing its staffing and organisational structure on a continuous basis, including the total number of staff, the available experience and expertise, requirements for new expertise, upcoming retirements and the balance between long- and short-term support staff. Thus, vacancies created from staff turn-over and retirement are continuously being used to reinforce work in priority operational areas, and over the recent years the EEA has deployed staff into the areas designated as priorities by the EEA Management Board. Posts made redundant in the period 2014-2016 are primarily of a managerial/administrative nature. The latest reorganisation that entered into force in January 2015 has continued this process and has been used to free up managerial/secretarial posts by reducing the number of units by one.

4.1.2 Salary assumption for calculating salary line (% applied)

The Title 1 budget forecast for 2015 is based upon the EEA meeting its obligations for an annual salary adjustment ("Rappel") in 2016. As foreseen in the multiannual financial framework staff costs is increased with EUR 500 000 to cover for the new posts dedicated to Copernicus.

4.1.3 Vacancy rate of end 2014

Of the 135 posts in the 2014 establishment plan, 131 were filled as of 31/12/2014 including a formal offer for 1 AST post that had been sent out. The required 2 post reduction in the 2015 establishment plan were unoccupied by

31/12/2014 as the EEA had an opportunity to leave them vacant. The remaining vacant post will be filled during the first six months of 2015. When excluding the 2 post reduction in the 2014 establishment plan the vacancy rate was 1.5 % (two posts vacant as of 31/12/2014 of which one is reserved for reduction in 2016).

4.1.4 *Standard abatement ('abatement forfaitaire') applied*

4.2 **Financial Resources - Agency request**

4.2.1 *Title 1*

The Title 1 increase of EUR 500 000 corresponds to the extra resources needed to cover staff costs on Copernicus as foreseen in the financial programming.

4.2.2 *Title 2*

The level of the title 2 budget reflects what is considered to be the minimum expenses needed to run essential office facilities. The 2% expected inflation rate will be found via efficiency gains or lowering maintenance cost.

4.2.3 *Title 3*

Title 3 has in past years been made up by the available residual when having secured the expected salary adjustments. This has meant a reduction in previous years and hence the 1% increase in the requested EU contribution (which excludes the foreseen increase for Copernicus staff) has been added to title 3 in order to secure a reasonable level of operational funds - i.e. IT, SEIS development, Communication, Publications, Expert meetings and especially European Topic Centres).

4.3 **Ad hoc grants and delegation agreements**

At this point in time a delegation agreement with DG GROW has been concluded on Copernicus. Otherwise there are no certain grants identified although it is expected that IPA funds (Instrument for Pre-accession) and some bridging of Copernicus funding will be included during 2015 and 2016. Negotiations are on-going regarding EEA participation in ENI South and EAST (European Neighbourhood Instrument) as a continuation of ENPI and IPA activities).

5 **Other information**

5.1 **Buildings**

5.1.1 *Current building(s)*

	Name, location and type of building	Other Comment
Information to be provided per building:	KongensNytorv6 (KN6)KongensNytorv8 (KN8)	Down-town (centrally located) late 19th century buildings – earlier hosting banks and headquarters of Danish companies. EEA is the only tenant in KN6 while only renting a part of KN8
Surface area (in square metres)	KN6 7200m2; KN8 2740m2	
Of which office space	KN6 6000m2; KN8 2460m2	
Of which non-office space	KN6 1000m2; KN8 280m2	
Annual rent (in EUR)	KN6: EUR 1.600.000 KN8: EUR 570.000 Total 2.170.000	
Type and duration of rental contract	Duration of current contracts until 2018 for both buildings	
Host country grant or support	None	
Present value of the building	Not applicable	

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency has diplomatic status	Agency staff are registered with Danish Protocol. Senior management have full diplomatic status	Agency statutory staff receive education allowances in line with the Staff regulations
The Agency has exemption from VAT. The Agency pays the VAT on Danish invoices then claims it back from the Danish Protocol.	Externally recruited Agency staff can buy one car free of VAT and registration tax within a period of one year as from the date of taking up duties	No specific privileges. Agency staff have access to day care facilities within Denmark but this can be problematic due to staff being registered via Protocol rather than normal CPR system

5.3 European schools

The new type II European School has initiated its phased opening in Copenhagen. The school is in the course of receiving its accreditation and the EEA has shown its full support to this process and is in contact with the parties, including DG HR of the Commission regarding the formalities in this connection, incl. budgetary provisions. The cost to the Agency will be calculated based on the figures of (2.2 x basic education allowance B x 12) per student attending. Currently this is approx. EUR 6705.60 per student per year. It is not foreseen that there will be many students in the opening phase but numbers can be expected to jump once the secondary phase opens in the dedicated facilities in 2018.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority on 5 April 2013.

The main findings of the latest evaluation available are as follows:

“... the evaluation finds that the EEA and Eionet are well established and well-functioning structures, delivering comprehensive and reliable outputs which, to a large extent, satisfy stakeholders' needs. The qualitative assessment inherent in this evaluation indicates that the EEA continues to be the most effective and efficient solution to providing credible information on the state of the European environment.”

“The analysis on findings regarding the efficiency dimension, documents the fact that the EEA network is efficient in the sense that cooperative relations are strong and solid and efforts have been made to optimise the functioning and work flows in relation to reporting of data. Stakeholders consider that the EEA and the Eionet provide value for money. The evaluation indicates that the EEA runs a modern and efficient network organisation, with limited potential for optimisation in regard to administrative tasks and key operational work flows. Administrative costs are lower than for similar agencies. Cooperation and working relations, between the different organisational units within the network are regarded as efficient and positive. There is a general consensus on this between stakeholders, and the EEA's performance is, in this respect, highly appreciated.”

A new evaluation of the agency is expected to be carried out by 2018.

2.2.1.2.2 European Fisheries Control Agency - EFCA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 768/2005 amended by Council Regulation n° 1224/2009	26 April 2005 amended on 20 November 2009	<p>To coordinate control and inspection by Member States relating to the control and inspection obligations of the EU; to coordinate the deployment of the national means of control and inspection pooled by the Member States concerned in accordance with this Regulation; to assist Member States in reporting information on fishing activities and control and inspection activities to the Commission and third parties; in the field of its competences, to assist Member States to fulfil their tasks and obligations under the rules of the Common Fisheries Policy; to assist Member States and the Commission in harmonising the application of the Common Fisheries Policy throughout the EU; to contribute to the work of Member States and the Commission on research into and development of control and inspection techniques; to contribute to the coordination of inspector training and the exchange of experience between Member States; to coordinate the operations to combat illegal, unreported and unregulated fishing in conformity with EU rules; to assist in the uniform implementation of the control system of the common fisheries policy, including in particular: 1) Organisation of operational coordination of control activities by Member States for the implementation of specific control and inspection programmes, control programmes related to illegal, unreported and unregulated (IUU) fishing and international control and inspection programmes; 2) Inspections as necessary to fulfil the Agency's tasks.</p> <p>Among other competences, after the amendment of the EFCA establishing Regulation by Council Regulation (EC) No 1224/2009:</p> <p>1) Operational coordination by the Agency shall cover control of all activities covered by the Common Fisheries Policy; 2) Officials of the Agency may be assigned in international waters as Union inspectors; 3) The Agency may acquire, rent or charter the equipment that is necessary for the implementation of the joint deployment plans; 4) The Agency shall, where appropriate: (a) issue manuals on harmonised standards of inspections; (b) develop guidance material reflecting the best practices in the field of control of the Common Fisheries Policy, including on the training of control officials, and update this on a regular basis; (c) provide the Commission with the necessary technical and administrative support to carry out its tasks; 5) With due regard to the different legal systems in the individual Member States, the Agency shall facilitate cooperation between Member States and between them and the Commission in the development of harmonised standards for control in accordance with EU legislation and taking into account best practices in Member States and agreed international standards; 6) Upon a notification by the Commission or of its own initiative shall set up an Emergency Unit, where a situation involving direct, indirect or potential serious risk to the Common Fisheries Policy is identified, and the risk cannot be prevented, eliminated, or reduced by existing means or cannot adequately be managed; 7) The Agency shall contribute to the implementation of the EU Integrated Maritime Policy, and in particular conclude administrative agreements with other bodies in matters covered by Council Regulation (EC) No 768/2005 after approval by the Administrative Board.</p>
Commission Decision 2009/988/EU	18 December 2009	<p>By this Decision, the Commission designated the EFCA as the body to carry out certain tasks under the IUU Regulation. These tasks are as follows: transmit notifications, with copy to the Commission, on denials of landing or transshipment authorisations by third country vessels to flag State(s) and, if appropriate copies of these notifications, to Regional Fisheries Management Organisations in accordance with Article 11(3) of Regulation (EC) No 1005/2008; upon request from the Commission, provide for the conduct of on-the-spot audits, alone or in cooperation with the Commission, to verify the effective implementation of agreed cooperation arrangements with third countries in accordance with Article</p>

		20(4), second subparagraph (c) of Regulation (EC) No 1005/2008; communicate to Member States and flag States, with copy to the Commission, additional information submitted by the Member States to the Commission which is relevant for the establishment of the European Union IUU vessel list in accordance with Article 25(2) of Regulation (EC) No 1005/2008; transmit sightings reports to all Member States, with copy to the Commission, and, if appropriate, to the Executive Secretary of the relevant Regional Fisheries Management Organisation in accordance with Article 48(4) of Regulation (EC) No 1005/2008; transmit to the Executive Secretary of the relevant Regional Fisheries Management Organisation, with copy to the Commission, information from a Member State in response to a sighting report on one of its vessels from a contracting party to that Regional Fisheries Management Organisation in accordance with Article 48(5) of Regulation (EC) No 1005/2008.
Regulation (EU) No 1380/2013 of the European Parliament and of the Council	11 December 2013	This regulation adopted the new Common Fisheries Policy. As regards the Agency: the Union shall, including through the Agency, cooperate with third countries and international organisations dealing with fisheries, including Regional Fisheries Management Organisations, to strengthen compliance with measures, especially those to combat IUU fishing, in order to ensure that measures adopted by such international organisations are strictly adhered to; control and enforcement of the CFP shall in particular be based on and shall include cooperation and coordination between Member States, the Commission and the Agency; the Agency may assist the expert group on compliance meetings as an observer.
Regulation (EC) 1386/2007 of the Council	22 October 2007	This Regulation empowers the Agency to coordinate the surveillance and inspection activities for the EU in the NAFO Regulatory Area and to draw up, in cooperation with the Member States a plan for the EU participation in the international Scheme of control (art. 41).
Commission Implementing Regulation (EU) 433/2012	23 May 2012	This Regulation, in line with the Regulation (EU) 1236/2010 of the European Parliament and the Council, and in relation with the fishing activities in the NEAFC Regulatory Area, designs the Agency to: coordinate the surveillance and inspection activities of the EU in the area; prepare a plan in cooperation with Member States for the EU participation in the International Scheme of control; and receive and prepare reports on inspection and infringement in the NEAFC area.

1.2 Seat

Vigo, Spain

1.3 Budget Line

11 06 64 : European Fisheries Control Agency

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	22	22	22	22
Establishment plan posts : AST	31	30	30	29
Establishment plan posts : AST/SC				
Total Establishment plan posts	53	52	52	51
Contract Agents	5	4	5	7
Seconded National Experts	4	2	4	2
TOTAL STAFF	62	58	61	60

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	9 217 000	9 217 000
Other Revenue	0	0
TOTAL REVENUES	9 217 000	9 217 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 334 000	6 334 000	6 149 000	6 149 000
Title 2 - Infrastructure and operating expenditure	1 145 000	1 145 000	1 330 000	1 330 000
Title 3 - Operational expenditure	1 738 000	1 738 000	1 738 000	1 738 000
TOTAL EXPENDITURE	9 217 000	9 217 000	9 217 000	9 217 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14										
AD 13		2		2		2		2		2
AD 12		2		2		2		2		2
AD 11										
AD 10		3		3		3		3		3
AD 9		6		6		6		6		6
AD 8		5		5		5		5		5
AD 7		1		1		1		2		2
AD 6		2		2		2		1		1
AD 5										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD TOTAL		22		22		22		22		22
AST 11										
AST 10		7		7		7		7		7
AST 9		3		3		3		3		3
AST 8		3		3		3		3		3
AST 7		8		8		8		8		8
AST 6		2		2		2		2		2
AST 5		6		6		6		6		6
AST 4										
AST 3		2		1		1				
AST 2										
AST 1										
AST TOTAL		31		30		30		29		29
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		53		52		52		51		51
GRAND TOTAL		53		52		52		51		51

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV				2
Function Group III	2	1	2	2

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group II	3	3	3	3
Function Group I				
TOTAL	5	4	5	7

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	4	2	4	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	9 217 150	9 217 000	9 217 000	9 217 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	500 250	260 000	147 000	147 000	-43,46%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	0	p.m.	p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	9 217 150	9 217 000	9 217 000	9 217 000	0,00%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 916 889	6 334 000	6 149 000	6 149 000	-2,92%
Salaries & allowances	5 623 257	5 990 000	5 810 000	5 810 000	-3,01%
- Of which establishment plan posts	5 178 882	5 420 000	5 245 000	5 245 000	-3,23%
- Of which external personnel	444 375	570 000	565 000	565 000	-0,88%
Expenditure relating to Staff recruitment	83 442	110 000	110 000	110 000	0,00%
Mission expenses	73 100	90 000	85 000	85 000	-5,56%
Socio-medical infrastructure	13 900	15 000	15 000	15 000	0,00%
Training	115 031	120 000	120 000	120 000	0,00%
External Services	0	0			-100%
Receptions, events and representation	402	2 000	2 000	2 000	0,00%
Social welfare	7 757	7 000	7 000	7 000	0,00%
Other Staff related expenditure	0	0	0		-100%
Title 2 - Infrastructure and operating expenditure	1 505 893	1 145 000	1 330 000	1 330 000	16,16%
Rental of buildings and associated costs	294 779	311 400	311 400	311 400	0,00%
Information, communication technology and data processing	565 754	295 000	350 000	350 000	18,64%
Movable property and associated costs	147 616	31 600	31 600	31 600	0,00%
Current administrative expenditure	23 518	22 000	22 000	22 000	0,00%
Postage / Telecommunications	71 082	65 000	65 000	65 000	0,00%
Meeting expenses	47 623	62 000	62 000	62 000	0,00%
Running costs in connection with operational activities (1)	317 654	303 000	433 000	433 000	42,90%
Information and publishing	37 867	55 000	55 000	55 000	0,00%
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	1 710 333	1 738 000	1 738 000	1 738 000	0,00%
TOTAL	9 133 115	9 217 000	9 217 000	9 217 000	0,00%

(1) It includes translation and interpretation and other supplementary services such as the SLAs with the EC and other agencies, external audit and consultancy.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 819 392	6 334 000	6 149 000	6 149 000	-2,92%
Salaries & allowances	5 576 951	5 990 000	5 810 000	5 810 000	-3,01%
- Of which establishment plan posts	5 169 432	5 420 000	5 245 000	5 245 000	-3,23%
- Of which external personnel	407 519	570 000	565 000	565 000	-0,88%
Expenditure relating to Staff recruitment	79 842	110 000	110 000	110 000	0,00%
Mission expenses	65 904	90 000	85 000	85 000	-5,56%
Socio-medical infrastructure	11 497	15 000	15 000	15 000	0,00%
Training	78 265	120 000	120 000	120 000	0,00%
External Services	0	0	0	0	◆
Receptions, events and representation	402	2 000	2 000	2 000	0,00%
Social welfare	6 531	7 000	7 000	7 000	0,00%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	1 127 505	1 145 000	1 330 000	1 330 000	16,16%
Rental of buildings and associated costs	263 737	311 400	311 400	311 400	0,00%
Information, communication technology and data processing	345 665	295 000	350 000	350 000	18,64%
Movable property and associated costs	138 190	31 600	31 600	31 600	0,00%
Current administrative expenditure	21 768	22 000	22 000	22 000	0,00%
Postage / Telecommunications	46 561	65 000	65 000	65 000	0,00%
Meeting expenses	47 623	62 000	62 000	62 000	0,00%
Running costs in connection with operational activities (1)	231 251	303 000	433 000	433 000	42,90%
Information and publishing	32 710	55 000	55 000	55 000	0,00%
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	1 203 895	1 738 000	1 738 000	1 738 000	0,00%
TOTAL	8 150 792	9 217 000	9 217 000	9 217 000	0,00%

(1) It includes translation and interpretation and other supplementary services such as the SLAs with the EC and other agencies, external audit and consultancy.

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 147 235.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM (2013)519 of 10.7.2013).

Human Resources:

This request (51 establishment plan posts) is in conformity with the Commission Communication.

Financial Resources:

This request (EU contribution of EUR 9 217 000) is in conformity with the Commission Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The request for staff is in line with the Multi-annual Staff Policy Planning 2015-2017 according to which the number of Temporary agents has been reduced by one TA to 53 in 2014 and by one further post in 2015 to 52 in total. In 2016, a further reduction by one post is foreseen, to 51 in total. The level of contract agents in 2016 is planned to be increased by 2 contract agents in exchange with a cut of 2 seconded national experts (the remaining level of SNE in 2015 will be 24 man months). This change is budget neutral, and will be proposed to the Administrative Board through the MSPP.

4.1.2 Salary assumption for calculating salary line (% applied)

The estimations on salary expenditures are based on the current staffing situation and entitlement status extrapolated for the future period, and the recruitment plan aiming for full occupancy and the assumption of a neutral impact regarding salary adjustments and change of the country coefficient as from July 2015.

4.1.3 Vacancy rate of end 2014

The vacancy rate for Temporary Agents on 31/12/2014 is 2% (52 out of 53 posts are filled). The one vacant post is removed from the establishment plan as from 01/01/2015. Following a staff departure, four out of five contract agents' functions were filled at the end of 2014. One other CA will be recruited early 2015.

4.1.4 Standard abatement ('abatement forfaitaire') applied

Not applicable for the EFCA

4.2 Financial Resources - Agency request

4.2.1 Title 1

Expenditure under Title I has been estimated taking in consideration a full occupation of the 51 posts indicated under the draft establishment plan for 2016. Taking into account the freeze of staff remuneration for 2014 and 2015 and the cuts on the establishment plan, a decrease of 3% is estimated in chapter 11 for 2016 (2.9% overall decrease of Title I). Recruitment expenditure is budgeted on the basis of a turnover of 4% of staff (below the current annual average), as well as staff entitlements acquired in previous years. This includes all costs related to recruitment and installation allowances. The agency reduced the number of administrative missions further during 2014, having reduced the total expenditure in respect of 2013. Following an analysis of the reasons for this reduction, as well as the missions planning for 2015, the agency has set the request of administrative missions at EUR 85 000 in 2016. The request for 2016 to cover training expenditure will be kept at the same level as for 2015. This provides mainly for updates of basic training for staff, as well as new training requests for personal development.

4.2.2 Title 2

The rental/maintenance of the building agreement was renegotiated in 2013, which allowed for savings in 2014 under chapter 20. There is no change in the budget requested for 2016 under this chapter. The budget requested for data processing expenditure has been increased to align to the higher cost for maintenance and licenses for hardware and software. It is derived from the additional number of environments established for the operational Fisheries Information System as well as future additional corporate applications. The increase in chapter 26 is mainly due to the contract for the next 5 year evaluation of the agency (around EUR 100 000). This chapter also includes an estimate for the cost related to the license maintenance and hosting services for access to SYSPER, and the audit of the accounts to be externally contracted (already started in 2014), which has not been foreseen in previous year's budget.

4.2.3 Title 3

The 2014 budget implementation on operational expenditure has been 99.9%. EFCA has acquired further responsibilities within the context of the new Common Fisheries Policy, which will increase the difficulty in accommodating all tasks with a freeze of the budget at the levels of 2013. The chapter related to “Acquisition of Means” will remain as p.m.

4.3 Ad hoc grants and delegation agreements

n/a

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Odriozola Building Av. GarciaBarbón, 4 – 36201Vigo(Pontevedra) Spain	Occupation of 6 floors (2nd to 7th) + Main entrance on ground floor. Main surface of ground floor occupied by private bank; 1st floor not occupied.
Surface area (in square metres) Of which office space Of which non-office space	Total surface area: ~ 2.700 m ² Office spaces and, meeting rooms: ~ 2410 m ² Ancillary surfaces (circulations, toilets, cafeteria, archives, etc): ~290m ²	
Annual rent (in EUR)	Rent (and building annex costs) 7th floor rent agreement + security arrangement on basement (with owner): 52.680,00€ Building general maintenance agreement (with owner): 8.687,00€	A revision of the contract is foreseen in 2015 (before May 2015). Possible changes in the amounts to be paid to the building owner. 1) Adaptation of the rent according to IPC (Price Index correction) 2) Part of maintenance on security equipment in garages shall be taken in charge directly by the Agency and therefore deducted from the amounts to be paid to the building owner.
Type and duration of rental contract	7th floor: yearly renewable (tacitly) Security arrangement: for the duration of the occupation of the building by EFCA Building maintenance: yearly renewable (tacitly)	
Host country grant or support	Rent of floors 2 to 6, main entrance and 23 parking spaces paid directly by Spanish Authorities to building owner.	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

The original plan to move to the Comandancia de Marina building in Vigo has been postponed indefinitely.

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exempted (invoices >300€)	VAT exempted for vehicle purchases, special ID cards	

5.3 European schools

n/a

5.4 Evaluation

In line with Art.39 of the Founding Regulation of the Agency, a 5 year external independent evaluation of the Agency was commissioned by the EFCA Administrative Board for the period 2007-2011. The next 5 year external independent evaluation for the period 2012-2016 is scheduled to start in 2016.

The evaluation 2007-2011 assessed the impact of the Founding Regulation, the utility, relevance and effectiveness of the Agency and its working practices. It assessed the extent to which it has contributed to the achievement of a high level of compliance with rules made under the Common Fisheries Policy. The evaluation results were presented in March 2012.

The Report indicates that the overall assessment of the governance and performance of the EFCA has been positive. On the whole, governance arrangements have worked well. Considering the agency's limited resources, its operation in the politically sensitive environment of fisheries policy, and current Member State budget constraints, performance against the evaluation criteria of relevance, and effectiveness, impact and sustainability, can be considered promising. The Evaluation also commended the agency for its administrative efficiency.

The Administrative Board has issued recommendations to the Commission regarding changes to the Founding Regulation, the Agency and its working practices. Both the evaluation findings and recommendations were forwarded by the Commission to the European Parliament and the Council, and were made public (http://www.efca.europa.eu/pages/home/docs_basicdocs.htm).

The recommendations issued by the Administrative Board have been taken into consideration in the multiannual and annual work programmes of the Agency. A summary of the main developments under each recommendation is provided in the annual reports of the Agency.

2.2.1.3 Decentralised Agency of heading 3 - Security and citizenship

2.2.1.3.1 *European Centre for Disease Prevention and Control - ECDC*

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 851/2004 of the European Parliament and of the Council	21/04/2004	<p>ECDC Vision: A future where all citizens of the EU enjoy the best protection from communicable diseases that the “state of the art” prevention and control measures allow, through the use of evidence based methods applied by Member States and the EU system in a mutually supportive manner.</p> <p>Article 3 of the Founding Regulation defines the mission, tasks and modes of operations of ECDC. The essence of this Article is: that ECDC’s current mission should concentrate on communicable diseases (and outbreaks of unknown origin); that ECDC should be a proactive centre of excellence as regards information and scientific knowledge on all aspects of communicable diseases that relate to their detection, prevention and control; that ECDC; last but not least, should be an agent of change , by actively supporting the whole EU system and its Member States in their efforts to strengthen their capacity to improve CD Prevention and Control.</p> <p>Further on the regulations stipulates that (article 3): ‘In order to enhance the capacity of the EU and the Member States to protect human health through the prevention and control of human disease, the mission of the Centre shall be to identify, assess and communicate current and emerging threats to human health from communicable diseases. In the case of other outbreaks of illness of unknown origin which may spread within or to the EU, the Centre shall act on its own initiative until the source of the outbreak is known. In the case of an outbreak which clearly is not caused by a communicable disease, the Centre shall act only in cooperation with the competent authority upon request from that authority. In pursuing its mission the Centre shall take full account of the responsibilities of the Member States, the Commission and other EU agencies, and of the responsibilities of international organisations active within the field of public health, in order to ensure comprehensiveness, coherence and complementarity of action’.</p> <p>Within the field of its mission, the Centre shall: search for, collect, collate, evaluate and disseminate relevant scientific and technical data; provide scientific opinions and scientific and technical assistance including training; provide timely information to the Commission, the Member States, EU agencies and international organisations active within the field of public health; coordinate the European networking of bodies operating in the fields within the Centre’s mission, including networks arising from public health activities supported by the Commission and operating the dedicated surveillance networks; and exchange information, expertise and best practices, and facilitate the development and implementation of joint actions.</p>
COM/2008/741 SEC 2008 2792	13/11/2008	The report of the external evaluation confirms that ECDC succeeded in establishing itself as a centre of scientific excellence and that it brings added value in the prevention and control of communicable diseases....

1.2 Seat

Stockholm, Sweden

1.3 Budget Line

17 03 10 : European Centre for Disease Prevention and Control

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	133	122	131	129
Establishment plan posts : AST	61	61	59	57
Establishment plan posts : AST/SC				
Total Establishment plan posts	194	183	190	186
Contract Agents	100	94	100	100
Seconded National Experts	5	3	5	5
TOTAL STAFF	299	280	295	291

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	56 766 000	56 766 000
Other Revenue	1 622 125	1 594 300
TOTAL REVENUES	58 388 125	58 360 300

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	32 657 125	32 657 125	33 615 000	33 615 000
Title 2 - Infrastructure and operating expenditure	7 244 000	7 244 000	7 244 000	7 244 000
Title 3 - Operational expenditure	18 487 000	18 487 000	17 501 300	17 501 300
TOTAL EXPENDITURE	58 388 125	58 388 125	58 360 300	58 360 300

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 14		5		1		6		7		7
AD 13		4		1		5		6		6
AD 12		7		4		8		10		10
AD 11		12		4		14		16		16
AD 10		20		6		22		23		23
AD 9		26		10		25		25		25
AD 8		18		40		18		19		19
AD 7		18		1		18		16		16
AD 6		18		13		14		6		6
AD 5		4		42						
AD TOTAL		133		122		131		129		129
AST 11		2				3		2		2
AST 10		1				1		3		3
AST 9		1				2		3		3
AST 8		5				6		7		7
AST 7		9		2		10		11		11
AST 6		14		4		15		16		16
AST 5		19		11		17		14		14
AST 4		8		31		5		1		1
AST 3		2								
AST 2				4						
AST 1				9						
AST TOTAL		61		61		59		57		57
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		194		183		190		186		186
GRAND TOTAL	194		183		190		186		186	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	49	45	49	49
Function Group III	37	35	37	37
Function Group II	11	12	11	11
Function Group I	3	2	3	3
TOTAL	100	94	100	100

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	5	3	5	5

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	58 019 000	56 766 000	56 766 000	56 766 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses			3 083 000	3 083 000	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 720 000	1 622 125	1 594 300	1 594 300	-1,72%
- Of which EEA/EFTA (excl. Switzerland)	1 720 000	1 622 125	1 594 300	1 594 300	-1,72%
- Of which candidate countries					

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
4 OTHER CONTRIBUTIONS					
<i>- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)</i>					
<i>- Of which additional EU funding stemming from delegation agreements (FFR Art.8)</i>					
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	59 739 000	58 388 125	58 360 300	58 360 300	-0,05%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	36 659 000	32 657 125	33 615 000	33 615 000	2,93%
Salaries & allowances	32 842 000	29 062 000	29 910 000	29 910 000	2,92%
<i>- Of which establishment plan posts</i>	25 434 000	22 012 000	22 427 500	22 427 500	1,89%
<i>- Of which external personnel</i>	7 408 000	7 050 000	7 482 500	7 482 500	6,13%
Expenditure relating to Staff recruitment	344 000	405 000	385 000	385 000	-4,94%
Mission expenses	702 000	700 000	700 000	700 000	0,00%
Socio-medical infrastructure	115 000	130 000	170 000	170 000	30,77%
Training	394 000	350 000	400 000	400 000	14,29%
External Services	2 201 000	1 970 125	2 010 000	2 010 000	2,02%
Receptions, events and representation	61 000	40 000	40 000	40 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 482 000	7 244 000	7 244 000	7 244 000	0,00%
Rental of buildings and associated costs	2 939 000	3 183 000	3 277 000	3 277 000	2,95%
Information, communication technology and data processing	2 846 000	2 897 000	2 897 000	2 897 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Movable property and associated costs	43 000	129 000	129 000	129 000	0,00%
Current administrative expenditure	144 000	350 000	330 000	330 000	-5,71%
Postage / Telecommunications	235 000	245 000	251 000	251 000	2,45%
Meeting expenses	275 000	440 000	360 000	360 000	-18,18%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	16 598 000	18 487 000	17 501 300	17 501 300	-5,33%
TOTAL	59 739 000	58 388 125	58 360 300	58 360 300	-0,05%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	36 659 000	32 657 125	33 615 000	33 615 000	2,93%
Salaries & allowances	32 842 000	29 062 000	29 910 000	29 910 000	2,92%
- Of which establishment plan posts	25 434 000	22 012 000	22 427 500	22 427 500	1,89%
- Of which external personnel	7 408 000	7 050 000	7 482 500	7 482 500	6,13%
Expenditure relating to Staff recruitment	344 000	405 000	385 000	385 000	-4,94%
Mission expenses	702 000	700 000	700 000	700 000	0,00%
Socio-medical infrastructure	115 000	130 000	170 000	170 000	30,77%
Training	394 000	350 000	400 000	400 000	14,29%
External Services	2 201 000	1 970 125	2 010 000	2 010 000	2,02%
Receptions, events and representation	61 000	40 000	40 000	40 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 482 000	7 244 000	7 244 000	7 244 000	0,00%
Rental of buildings and associated costs	2 939 000	3 183 000	3 277 000	3 277 000	2,95%
Information, communication technology and data processing	2 846 000	2 897 000	2 897 000	2 897 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Movable property and associated costs	43 000	129 000	129 000	129 000	0,00%
Current administrative expenditure	144 000	350 000	330 000	330 000	-5,71%
Postage / Telecommunications	235 000	245 000	251 000	251 000	2,45%
Meeting expenses	275 000	440 000	360 000	360 000	-18,18%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	16 598 000	18 487 000	17 501 300	17 501 300	-5,33%
TOTAL	59 739 000	58 388 125	58 360 300	58 360 300	-0,05%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 3 083 926.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission agrees with the request of the Agency regarding the Establishment plan posts, which is in line with the Communication on programming of human and financial resources for decentralised agencies 2014-2020. The Commission does not agree with the requested increase in the contract agents for 2016 and proposes to keep the same number as in 2015.

Financial Resources

The Commission agrees with the request of the Agency, which is in line with the Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

While the Centre acknowledges the request by the Commission and the budgetary authorities of 5% staff cuts (on head counts) over 5 years and the additional request for 5% staff reduction for the agency redeployment pool, the work load of the Centre has actually increased (not the least in view of Decision 1082/2013) and the Centre's staff surveys clearly show that staff feel overwhelmed by their work load. The Centre started the implementation of the post reduction by cutting two posts in the establishment plan of 2013 (1 AD, 1 AST), four posts in the establishment table of 2014 (2 AD and 2 AST) and four posts in the establishment table of 2015 (2 AD and 2 AST). Four further posts will be cut in the establishment plan 2016 (2 AD and 2 AST) by 1 January 2016. In view of increased work load due to the required cut in Temporary Agent posts in combination with feedback stemming from the External Evaluation of the Centre as regards suggestions to have a stronger focus on in-house expertise rather than outsourcing, it is proposed to increase the number of contract agents, intended to be recruited for operational tasks.

4.1.2 Salary assumption for calculating salary line (% applied)

The salary forecast is based on the budget execution 2014. Additionally, the reduction of four posts is taken into account (2 AD 5 and 2 AST 2), based on the current average salary budget costs of November 2014 in those grades.

The weighting factor for Sweden of 127.5 is taken into account with a contingency to take account of a possible future increase based on experience of the past years. Furthermore, the Centre has budgeted for a salary adjustment of 2% in 2015 applicable on salaries in 2016 and a further increase of 2% from 1 July 2016.

4.1.3 Vacancy rate of end 2014

With 182 posts filled on 31.12.2014 and considering the establishment plan of 190 posts on 1.1. 2015, the Centre's vacancy rate on that date is 4.2 %. Taking into account offers sent and accepted, the Centre has filled 183 temporary agent posts in the first quarter of 2015 resulting in a vacancy rate of 3.7 %. 2 vacancies will not be filled as they are foreseen for reduction in 2016. Taking this into consideration, the Centre's vacancy rate is effectively 2.6 %.

4.1.4 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget 2016 is forecasted to be EUR 33 615 000 in order to accommodate the salaries and salary related costs of the implemented establishment plan and the Centres contract staff. The reductions of four posts are taken into account in the forecast (2 AD and 2 AST). The increase on Chapter 11, compared to 2015, takes account of the weighting factor for Sweden and possible salary adjustments in 2015 and 2016.

4.2.2 Title 2

The overall amount for Title 2 remains at the same level as in 2015. However, there is an increase in chapter 20 due to the need of Facility Management Consultancy Services assisting ECDC in the technical fields related to the procurement of the new premises: Technical assistance in the evaluation of the proposals and negotiation, building capacity in the project management team with the final goal to keep the project on track from the cost, time and quality points of view.

4.2.3 Title 3

ECDC will continue its efforts to support Europe to strengthen its defences against infectious diseases. The proposed budget for Title III is of EUR 17 501 300, which represents a decrease of 5.3% compared to 2015. The Work Programme 2016 is implementing for the third year the ECDC Strategic Multi-annual Programme 2014-2020 (SMAP). Therefore all activities for 2016 find their origin in the SMAP. There is a certain degree of continuity in the core business in order to implement the Agency's missions, while at the same time developing objectives that ECDC foresees to achieve by 2020. ECDC will then further develop activities for the missions that are set in its Founding Regulation: surveillance (where full coverage of the infectious disease atlas on ECDC website will be available), epidemic intelligence and response, preparedness, microbiology support, scientific advice, health communication and public health training.

The further implementation of the Directive on cross border care threats to health will be a priority, including response teams. ECDC will bring support to strengthen preparedness in the Member States and at EU level, including planning, toolkits and evaluation of response plans. Preparedness training for Member States will be organised. In 2016, ECDC will further reinforce the collaboration and cooperation with EU institutions, Member States and international partners to ensure full complementarities and avoid duplications; consolidate its core and support functions; strengthen the role and outputs of the seven disease programmes; and ensure that leadership, administration and ICT efficiently support the core operations of the Centre. ECDC will continue consolidating and strengthening its management in the area of performance management, internal processes and tools, in order to timely deliver quality outputs through the efficient use of its resources. As in the previous years, ensuring independence of ECDC's decisions will also remain a main focus (in particular through declarations of interest - DOI). The results of the external evaluation of the Centre in November 2014 will provide input for the development of improvement actions, based on the Management Board recommendations expected in June 2015.

4.3 Ad hoc grants and delegation agreements

At this moment of drafting the Budget 2016, such data are unknown to the Centre concerning 2016.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Main Building A62.01 New Building A62.02 Guest House A62.05 Mobile Offices A62.201	
Surface area (in square metres) Of which office space Of which non-office space	8,658sqm	
Annual rent (in EUR)	1,827,291 €	2014 total rent: 16,494,056 SEK including the indexation.
Type and duration of rental contract	Rental contracts with Akademiska Hus till 31/5/2018	All automatically renewed for 3 years if not terminated by 22 months advance notice (31/7/2016).
Host country grant or support	No	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

ECDC is not expecting modifications to the surface area. However, since there is an ongoing project to acquire new premises, the use of external consultants is foreseen to help ECDC in analysing and assessing the technical parameters of the different solutions proposed, negotiating better conditions with the 3 final bidders, monitoring the progresses of the construction/fitting out works.

5.1.3 Building projects submitted to the European Parliament and the Council

According to art.203 of the Financial Regulation applicable to the general budget of the Union, in July 2014 ECDC submitted to the European Parliament and the Council a notification about the start of a project aimed at either finding new premises or renewing the current rental contract. The current yearly rent is around EUR 1.9 million (exchange rate with SEK applies) and the contract is expiring on 31/5/2018). ECDC is envisaging presenting a feasibility study that will include a financial comparative analysis over a period of 10-15 years and a qualitative analysis of the alternatives provided.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT Exemption	No diplomatic status	There are a number of alternatives regarding international schooling in Stockholm (British, German, French, Finish etc.) Some public schools offer the Swedish curriculum in English.
Seat Agreement signed	From 1/1/2011 staff is included in population register and have full access to the Swedish health care system.	School fees are not paid by the Agency

5.3 European schools

N/A

5.4 Evaluation

An evaluation of the agency is expected to be submitted to the Budgetary Authority by 2015. The main findings of the latest evaluation available are as follows:

The second external evaluation of ECDC was completed and presented on time before the end of 2014. The MB discussed this report in early 2015 and agreed on to present a set of recommendations based on the evaluation findings at its meeting in June 2015 that will be presented to the EC and European Parliament for their consideration. Any actions that result from these will be incorporated into the annual workplan.

<http://www.ecdc.europa.eu/en/aboutus/Key%20Documents/ECDC-external-evaluation-2014.pdf>

2.2.1.3.2 European Food Safety Authority - EFSA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 178/2002	28/01/2002	<p>EFSA is the cornerstone of the EU system of risk assessment for food and feed safety. Its scientific advice on existing and emerging risks underpins the policies and decisions of risk managers in the European Institutions and EU Member States with the objective of protecting consumer health. The Authority's most critical commitment is to provide objective, transparent and independent advice and clear communication grounded in the most up-to-date scientific methodologies, information and data available. The Authority is committed to the core standards of scientific excellence, openness, transparency, independence and responsiveness.</p> <p>EFSA brings together Europe's best available experts in risk assessment in the field of food and feed safety, who act in an independent capacity for an autonomous, self-governed organisation to provide the European EU, Member States and the European Parliament with scientific advice of the highest standard.</p> <p>EFSA works closely with national food safety agencies and in open consultation with its stakeholders, proactively seeking input, ensuring the transparency of its procedures and exchanging information with international partners. This puts EFSA in a strong position to assist risk managers in developing coordinated and international approaches based on comprehensive and current analysis.</p> <p>EFSA is a responsive and reliable source of support for decision makers. Through its Scientific Committee, Scientific Panels and Working Groups, the Authority undertakes to respond quickly and proactively to urgent issues and emerging risks and EFSA's programming will continue to evolve and adapt in line with the priorities and needs of risk managers.</p> <p>Communicating on risks associated with the food chain is a key part of EFSA's mandate. EFSA, in close cooperation with the European Commission, strives to ensure all interested parties receive timely, reliable, objective and meaningful information based on the results of its scientific work, in liaison with national food safety authorities and stakeholders, and taking account of the needs of different audiences.</p> <p>EFSA will continue working independently, openly and transparently to deliver the best possible scientific advice and therefore contribute to strengthening the European food and feed safety system.</p>

1.2 Seat

Parma, Italy

1.3 Budget Line

17 03 11 : European Food Safety Authority

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	237	215	237	230
Establishment plan posts : AST	107	115	100	100
Establishment plan posts : AST/SC				
Total Establishment plan posts	344	330	337	330
Contract Agents	110	104	110	125

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Seconded National Experts	20	15	20	15
TOTAL STAFF	474	449	467	470

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	75 945 921	77 333 000
Other Revenue	2 202 400	2 241 000
TOTAL REVENUES	78 148 321	79 574 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	41 669 000	41 669 000	40 853 000	40 853 000
Title 2 - Infrastructure and operating expenditure	9 553 000	9 553 000	9 906 000	9 906 000
Title 3 - Operational expenditure	28 354 000	26 810 400	28 815 000	28 641 000
TOTAL EXPENDITURE	79 576 000	78 032 400	79 574 000	79 400 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		2		1		2		2		2
AD 13		2		1		2		2		2
AD 12	1	14		3	1	15	1	15	1	15
AD 11		11		5		11		11		11
AD 10	1	15		8	1	16	1	16	1	16

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 9	1	41		30	1	41	1	42	1	42
AD 8		49		58		53		54		54
AD 7	1	60	3	44	1	59	1	57	1	57
AD 6	1	23	2	45	1	21	1	17	1	17
AD 5		14	0	15		11		8		8
AD TOTAL	5	232	5	210	5	232	5	225	5	225
AST 11										
AST 10										
AST 9										
AST 8		3				3		3		3
AST 7		4		2		4		4		4
AST 6		8		0		8		9		9
AST 5		27		14		29		30		30
AST 4		31		37		29		26		26
AST 3		25		18		25		25		25
AST 2		9		39		2		3		3
AST 1				5						
AST TOTAL		107		115		100		100		100
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	5	339	5	325	5	332	5	325	5	325
GRAND TOTAL	344		330		337		330		330	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate (2)
Function Group IV	60	58	60 (1)	74
Function Group III	6	4	9	12
Function Group II	43	41	40	38
Function Group I	1	1	1	1
TOTAL	110	104	110	125

(1) The number of CA FG IV is 70 in 2015 as authorised by the Management board of the Agency leading to a total of 120 CA in 2015

(2) The increase in Contract Agents in 2016 is limited to 3 years in total to help the Agency deal with the backlog in many activities.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	20	15	20	15

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	77 594 301	75 945 921	77 333 000	77 333 000	1,83%
- Of which assigned revenues deriving from previous years' surpluses	788 000	918 000	1 089 000	1 089 000	18,63%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 296 000	2 202 400	2 241 000	2 241 000	1,75%
- Of which EEA/EFTA (excl. Switzerland)	2 296 000	2 202 400	2 241 000	2 241 000	1,75%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	72 222				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	79 962 523	78 148 321	79 574 000	79 574 000	1,82%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	37 685 246	41 669 000	40 853 000	40 853 000	-1,96%
Salaries & allowances	34 238 224	37 398 000	35 753 000	35 753 000	-4,40%
- Of which establishment plan posts	28 011 201	30 157 000	28 678 000	28 678 000	-4,90%
- Of which external personnel	6 227 023	7 241 000	7 075 000	7 075 000	-2,29%
Expenditure relating to Staff recruitment	375 125	492 000	457 000	457 000	-7,11%
Mission expenses	142 137	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	276 307	298 000	295 000	295 000	-1,01%
Training	678 623	900 000	900 000	900 000	0,00%
External Services	872 788	1 280 000	2 133 000	2 133 000	66,64%
Receptions, events and representation	1 945	5 000	5 000	5 000	0,00%
Social welfare	1 100 097	1 096 000	1 110 000	1 110 000	1,28%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	13 156 640	9 553 000	9 906 000	9 906 000	3,70%
Rental of buildings and associated costs	8 182 769	5 801 000	5 626 000	5 626 000	-3,02%
Information, communication technology and data processing	3 737 604	2 825 000	3 000 000	3 000 000	6,19%
Movable property and associated costs	236 394	112 000	257 000	257 000	129,46%
Current administrative expenditure	197 379	252 000	252 000	252 000	0,00%
Postage / Telecommunications	704 717	441 000	649 000	649 000	47,17%
Meeting expenses	95 914	110 000	110 000	110 000	0,00%
Running costs in connection with operational activities					
Information and publishing	1 863	12 000	12 000	12 000	0,00%
Studies					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	28 612 696	28 354 000	28 815 000	28 815 000	1,63%
Regulated Products					
Risk Assessment					
Scientific Cooperation & Strategy					
Communication					
Operational support	28 612 696	28 354 000	28 815 000	28 815 000	1,63%
TOTAL	79 454 582	79 576 000	79 574 000	79 574 000	-0,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	35 600 615	41 669 000	40 853 000	40 853 000	-1,96%
Salaries & allowances	34 144 008	37 398 000	35 753 000	35 753 000	-4,40%
- Of which establishment plan posts	28 011 201	30 157 000	28 678 000	28 678 000	-4,90%
- Of which external personnel	6 132 807	7 241 000	7 075 000	7 075 000	-2,29%
Expenditure relating to Staff recruitment	287 642	492 000	457 000	457 000	-7,11%
Mission expenses	129 527	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	210 057	298 000	295 000	295 000	-1,01%
Training	328 197	900 000	900 000	900 000	0,00%
External Services	446 381	1 280 000	2 133 000	2 133 000	66,64%
Receptions, events and representation	1 945	5 000	5 000	5 000	0,00%
Social welfare	52 858	1 096 000	1 110 000	1 110 000	1,28%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	10 462 211	9 553 000	9 906 000	9 906 000	3,70%
Rental of buildings and associated costs	7 523 622	5 801 000	5 626 000	5 626 000	-3,02%
Information, communication technology and data processing	2 244 611	2 825 000	3 000 000	3 000 000	6,19%
Movable property and associated costs	95 089	112 000	257 000	257 000	129,46%
Current administrative expenditure	116 629	252 000	252 000	252 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Postage / Telecommunications	402 165	441 000	649 000	649 000	47,17%
Meeting expenses	78 632	110 000	110 000	110 000	0,00%
Running costs in connection with operational activities					
Information and publishing	1 463	12 000	12 000	12 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	25 118 840	26 810 400	28 641 000	28 641 000	6,83%
Regulated Products					
Risk Assessment					
Scientific Cooperation & Strategy					
Communication					
Operational support	25 118 840	26 810 400	28 641 000	28 641 000	6,83%
TOTAL	71 181 666	78 032 400	79 400 000	79 400 000	1,75%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 089 066.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission agrees with the request of the Agency, which is in line with the Communication on programming of human and financial resources for decentralised agencies 2014-2020.

Financial Resources

The Commission agrees with the request of the Agency, which is in line with the Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

A 2 % reduction in the establishment plan is incorporated (-7 posts). Additional 10 Contractual Agents were authorised by the Management Board in 2015. For 2016, the increase of 5 CA is compensated by a decrease of 5 SNE's.

4.1.2 Salary assumption for calculating salary line (% applied)

1.0 % assumption regarding salary indexation in 2016, incorporation of the reduction from 104 % to 100.4 % of the weighting coefficient for Italy.

4.1.3 Vacancy rate of end 2014

4.1 % (6.5 % in 2013) on the establishment plan.

4.1.4 Standard abatement ('abatement forfaitaire') applied

0

4.2 Financial Resources - Agency request

4.2.1 Title 1

In 2016, it is expected that the reduction of 7 posts in the establishment plan and the reduction of the weighting coefficient (EUR 1.6 million in total) will be partly counterbalanced by (i) the salary indexation over 6 months (EUR 0.23 million), (ii) the additional 5 contractual agents (EUR 0.25 million) and (iii) the increase of trainees (EUR 0.20 million) in the framework of the risk assessment scholarship initiative. The budget requested under Title I will therefore decrease by nearly 2 % compared to 2015.

4.2.2 Title 2

The EUR 0.4 million increase in Title II (Infrastructure) from EUR 9.55 million in 2015 to EUR 9.91 million in 2016 is mainly generated by IT expenditure dedicated to the implementation of the IT Strategy aiming at reducing the number of in-house applications towards increased reliance on off-the-shelf software and investment in telecommunication equipment easing tele-conferences.

4.2.3 Title 3

Title III shows a 1.6 % increase in commitment appropriations from EUR 28.35 million to EUR 28.82 million. This EUR 0.5 million increase essentially aims at providing the required resources for the scientific cooperation programme and the cooperation with Member States. The payment appropriations under Title III will be EUR 0.17 million lower than the commitment appropriation to reflect the differentiated nature of the payment credits linked to the scientific cooperation programme.

4.3 Ad hoc grants and delegation agreements

It is likely that the agreement between EFSA and DG DEVCO regarding the European Neighbourhood Policy will be extended in 2016. The amount is however not yet known and not taken into the EU contribution request. EFSA has since years implemented activities and received grants from DG NEAR to support the Pre-accession programme in the field of food and feed safety. As a natural continuation of these activities, EFSA now participates and supports the ENI programme by implementing the EU Neighbourhood Policy in the fields under its remit.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	EFSA Seat, Parma, office building	EFSA Seat was acquired on 19 December 2011.
Surface area (in square metres)	27.500 m ² total	
Of which office space	14.200 m ² office space	
Of which non-office space	1.600 m ² restaurant / kitchen	
	11.700 m ² Parking, basement and technics	
Annual rent (in EUR)	1.97 M€	
Type and duration of rental contract	25 years, ending December 2036	
Host country grant or support	0	
Present value of the building	30.12 M€	Capital value remaining due at 31/12/2014

5.1.2 Building project in the planning phase

n/a

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In the Seat Agreement Italy committed to apply to the Authority the privileges and immunities provided for in the Protocol on the privileges and immunities of the European Communities, signed in Brussels on 8 April 1965</p> <p>The Authority, its assets and funds, wherever they may be, are immune – in the performance of the official activities – from any form of legal proceedings and are not the subject of any administrative or legal measure of constraint</p> <p>The Authority, its funds, assets and income, are within the limits of their official activities, exempt from all the taxes and direct duties due to the State, regions, provinces and municipalities</p>	<p>The Executive Director of the Authority and members of the senior management team, their spouse and dependent family members are granted privileges and immunities, facilities and concessions granted by the Italian Government to members of equivalent rank in the diplomatic corps in Italy</p> <p>Staff is exempt from national taxes on salaries, wages and emoluments paid by the Authority</p> <p>Staff is in respect of exchange regulations, accorded the same facilities as are accorded to officials of equal rank of foreign diplomatic missions in Italy and receives the same assistance with repatriation as is granted to diplomats in the event of international crises</p>	
<p>the Authority is exempted from the VAT for substantial purchases of goods and services relating to its official tasks and the exercise of its duties</p>	<p>Staff benefits, within a period of two years starting from the official move of the Authority to its permanent seat or appointment by the Authority, whichever is the latest, from a tax installation benefit – VAT exemption - on the purchase of furniture and other household goods necessary for their installation</p>	
<p>The Authority is exempted from any customs duty, tax, prohibition or restriction, on goods of any type imported or exported in the exercise of its own official activities</p> <p>The Authority is exempted from taxes, duties or from any other fees, as well as from any prohibition or restriction on importing vehicles intended “for official activities” and on the relevant spare parts</p>	<p>Members of staff who are not permanent residents in Italy on taking up functions with the Authority or staff members employed by the Authority prior to the move to Parma may acquire one motor vehicle duty and tax free during their period of residence in Italy; the vehicle is registered in a special series</p>	

5.3 European schools

A contribution to the EU accredited school in Parma, worth EUR 1.04 million, was paid from EFSA budget in 2014. This contribution which was previously directly paid by the Commission was grossed-up in EFSA's 2014 budget. The amount to be paid in 2015 and 2016 was kept at the same level in the draft budget although it can vary upon fluctuations in the number of pupils and children.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority in September 2012 by the international auditors Ernst and Young.

The report noted the high quality of EFSA's scientific outputs and risk communication activities. Drawing upon the conclusions and recommendations of the external evaluation report and taking into account the results of the consultation with EFSA's staff, Advisory Forum, Scientific Committee and Stakeholders, the Management Board identifies four key areas which it wishes to be further acted upon as a matter of priority during the period 2013-2017: ensure long-term sustainability of EFSA's operations; increase trust by continuing to ensure independence and enhancing transparency and openness; further enhance EU risk assessment capacity, and strengthen clarity and accessibility of EFSA Communication. For each of these areas, the Management Board specified in December 2012 the directions on which it wishes EFSA to focus its efforts. This document is readily available on EFSA web page under the Management Board section. EFSA will describe the practical implementation of the recommendations of the Management Board in its Multiannual Plan 2014-2016. The Management Board will monitor the progress on the implementation of these recommendations in particular in the context of the annual and multiannual management plan life cycles.

The final report delivered in September 2012 was sent to the Budgetary Authority on 5 September 2012. A new evaluation of the agency is expected to be carried out in 2017.

2.2.1.3.3 European Medicines Agency - EMA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 2309/93/EC amended to Regulation (EC) 726/2004 of the European Parliament and the Council of 31 March 2004	24/08/1993	Founding Regulation: In order to promote the protection of human and animal health and of consumers of medicinal products throughout the Community, and in order to promote the completion of the internal market through the adoption of uniform regulatory decisions based in scientific criteria concerning the placing on the market and the use of medicinal products, the objectives of the Agency shall be to provide the Member States and the institutions of the Community with the best possible scientific advice on any question relating to the evaluation of the quality, the safety, and the efficacy of medicinal products for human and veterinary use, which is referred to it in accordance with the provisions of the Community legislation relating to medicinal products.
Council Regulation (EC) No 297/95 of 10 February 1995	15/02/1995	Regulation on fees payable to the European Medicines Agency laying down the fee levels and its implementation for centrally authorised medicinal products.
Regulation (EC) No 141/99 of European Parliament and the Council of 16 December 1999	20/01/2000	Orphan Medicines Regulation laying down a Community procedure for the designation of medicinal products as orphan medicinal products and to provide incentives for the research, development and placing on the market of designated orphan medicinal products.
Directive 2001/82/EC of European Parliament and the Council of 6 November 2001	28/11/2001	Community code relating to veterinary medicinal products
Directive 2001/83/EC of European Parliament and the Council of 6 November 2001	28/11/2001	Community code relating to medicinal products for human use
Directive 2004/24/EC of the European Parliament and the Council of 31 March 2004	30/04/2004	Traditional herbal medicinal products Directive amending, as regards traditional herbal medicinal products, Directive 2001/83/EC on the Community code relating to medicinal products for human use
Commission Regulation (EC) No 2049/2005 of 15 December 2005	16/12/2005	SME Regulation laying down rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises
Regulation (EC) No 1901/2006 of the European Parliament and the Council of 12 December 2006	26/01/2007	Paediatrics Regulation laying down rules concerning the development of medicinal products for human use in order to meet the specific therapeutic needs of the paediatric population, without subjecting the paediatric population to unnecessary clinical or other trials and in compliance with Directive 2001/20/EC.
Commission Regulation 658/2007 of 14 June 2007	15/06/2007 applicable from 04/07/2007	Financial penalties for infringement of certain obligations in connection with marketing authorisations granted under Regulation (EC) No 726/2004.
Regulation (EC) No 1394/2007 of the European Parliament and the Council of 13 November 2007	30/12/2007	Advance Therapies Regulation laying down specific rules concerning the authorisation, supervision and pharmacovigilance of advanced therapy medicinal products.
Commission Regulation 1234/2008 of 24 November 2008	01/01/2009 applicable from 01/01/2010	Variations Regulation laying down provisions concerning the examination of variations to the terms of the following marketing authorisations for medicinal products for human use and veterinary medicinal products.
Regulation (EC) No 470/2009 of the European Parliament and the Council of 6 May 2009	16/06/2009 applicable from 05/07/2009	Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuff of animal origin.

Commission Regulation (EC) No 668/2009	24/07/2009	Implementing Regulation (EC) No 1349/2007 with regards to the evaluation and certification of quality and non-quality data relating to advanced therapies medicinal products [...] developed by SMEs.
Regulation (EU) No 1235/2010 of the European Parliament and the Council of 15 December 2010	01/01/2011 applicable from 02/07/2012	Pharmacovigilance Regulation amending Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use .. and Regulation (EC) No 1394/2007 on advanced therapy medicinal products.
Directive 2011/62/EC of the European Parliament and the Council of 8 June 2011 amending Directive 2001/83/EC	21/07/2011 applicable for EMA from 28/12/2012	Anti-falsification Directive on the Community code relating to medicinal products for human use, as regards the prevention of the entry into the legal supply chain of falsified medicinal products
Regulation (EU) No 536/2014 of the European Parliament and the Council of 16 April 2014	Applicable not earlier than 28 May 2016	Regulation on Clinical trials on medicinal products for human use, and repealing Directive 2001/20/EC
Regulation (EU) No 658/2014 of the European Parliament and the Council of 15 May 2014	15/05/2014 applicable from 26/08/2014	Pharmacovigilance Fee Regulation laying down fees payable to the European Medicines Agency for the conduct of pharmacovigilance activities in respect of medicinal products for human use.

1.2 Seat

London, UK

1.3 Budget Line

17 03 12 01 : Union contribution to the European Medicines Agency

17 03 12 02 : Special contribution for orphan medicinal products

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	340	327	340	340
Establishment plan posts : AST	259	253	259	259
Establishment plan posts : AST/SC				
Total Establishment plan posts	599	580	599	599
Contract Agents	130	116	130	160
Seconded National Experts	25	18	50	25
TOTAL STAFF	754	714	779	784

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	33 015 000	26 424 000
Other Revenue	269 102 000	288 071 000
TOTAL REVENUES	302 117 000	314 495 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	98 056 000	98 056 000	116 722 000	116 722 000
Title 2 - Infrastructure and operating expenditure	57 778 000	57 778 000	45 376 000	45 376 000
Title 3 - Operational expenditure	146 283 000	146 283 000	152 397 000	152 397 000
TOTAL EXPENDITURE	302 117 000	302 117 000	314 495 000	314 495 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16								1		
AD 15		4		4		4		3		4
AD 14		6		6		6		6		6
AD 13		8		7		9		9		9
AD 12		42		39		42		43		42
AD 11		38		36		37		38		38
AD 10		36		35		40		44		44
AD 9		37		34		36		41		37
AD 8		49		47		52		62		53
AD 7		51		51		52		54		54
AD 6		39		39		36		49		36
AD 5		30		29		26		17		17
AD TOTAL		340		327		340		367		340
AST 11		2		2		2		2		2
AST 10		5		5		5		5		5
AST 9		7		7		7		7		7
AST 8		15		14		16		16		16
AST 7		19		19		19		19		19

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 6		36		34		39		39		39
AST 5		37		36		42		43		43
AST 4		55		55		49		49		49
AST 3		39		38		43		54		47
AST 2		34		33		37		34		32
AST 1		10		10				1		0
AST TOTAL		259		253		259		269		259
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		599		580		599		636		599
GRAND TOTAL		599		580		599		636		599

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	46	43	48	64
Function Group III	12	14	13	15
Function Group II	72	59 (1)	69	81
Function Group I				0
TOTAL	130	116	130	160

(1) The Agency consumed a total of 116 FTE Contract Agents (1,392/12 months). On 31.12.14 144 Contact Agents were at the Agency (53 FG IV, 21 FG III and 70 FG II).

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	25	18 (1)	50	25

(1) The Agency consumed a total of 18 FTE Seconded National Experts (216/12 months). On 31.12.14 28 National Experts were at the Agency

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	217 669 872	250 801 000	271 933 000	271 933 000	8,43%
2 EU CONTRIBUTION	33 389 510	33 015 000	26 424 000	26 424 000	-19,96%
- Of which assigned revenues deriving from previous years' surpluses	3 452 779	1 499 357	1 949 000	1 949 000	29,99%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	675 000	936 000	785 000	726 900	-22,34%
- Of which EEA/EFTA (excl. Switzerland)	675 000	936 000	785 000	726 900	-22,34%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	1 147 349	578 000	590 000	590 000	2,08%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	18 904 199	16 787 000	14 763 000	14 821 100	-11,71%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	271 785 930	302 117 000	314 495 000	314 495 000	4,10%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	91 343 918	98 056 000	116 722 000	116 722 000	19,04%
Salaries & allowances	84 351 670	86 415 000	97 793 000	97 793 000	13,17%
- Of which establishment plan posts	84 351 670 (1)	86 415 000	97 793 000	97 793 000	13,17%
- Of which external personnel					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment					
Mission expenses	540 252	636 000	636 000	636 000	0,00%
Socio-medical infrastructure	804 568	765 000	792 000	792 000	3,53%
Training					
External Services	3 016 420 (2)	7 494 000	4 313 000	4 313 000	-42,45%
Receptions, events and representation	53 374	80 000	48 000	48 000	-40,00%
Social welfare	322 546	345 000	355 000	355 000	2,90%
Other Staff related expenditure	2 255 088	2 321 000	12 785 000	12 785 000	450,84%
Title 2 - Infrastructure and operating expenditure	55 250 842	57 778 000	45 376 000	45 376 000	-21,46%
Rental of buildings and associated costs	39 175 322	33 099 000	23 719 000	23 719 000	-28,34%
Information, communication technology and data processing	12 499 157	20 861 000	17 872 000	17 872 000	-14,33%
Movable property and associated costs	1 927 245	2 178 000	2 114 000	2 114 000	-2,94%
Current administrative expenditure	1 416 922	1 380 000	1 409 000	1 409 000	2,10%
Postage / Telecommunications	130 178	153 000	154 000	154 000	0,65%
Meeting expenses	102 018	107 000	108 000	108 000	0,93%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	119 825 050	146 283 000	152 397 000	152 397 000	4,18%
Meetings	7 125 726	10 766 000	11 484 000	11 484 000	6,67%
Evaluation of medicines	96 145 098	113 705 000	116 981 000	116 981 000	2,88%
Translation expenses	4 325 440	5 414 000	6 070 000	6 070 000	12,12%
Studies and consultants	4 729 923	9 138 000	7 155 000	7 155 000	-21,70%
Information and publication	7 498 863 (3)	7 260 000	10 707 000	10 707 000	47,48%
TOTAL	266 419 810	302 117 000	314 495 000	314 495 000	4,10%

(1) EMA provided no split for this expenditure

(2) represents the costs for exchange of civil servants and experts

(3) combines information and publication and the expenditure for operational data processing

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	91 343 918	98 056 000	116 722 000	116 722 000	19,04%
Salaries & allowances	84 351 670	86 415 000	97 793 000	97 793 000	13,17%
- Of which establishment plan posts	84 351 670	86 415 000	97 793 000	97 793 000	13,17%
- Of which external personnel	0				
Expenditure relating to Staff recruitment					
Mission expenses	540 252	636 000	636 000	636 000	0,00%
Socio-medical infrastructure	804 568	765 000	792 000	792 000	3,53%
Training					
External Services	3 016 420	7 494 000	4 313 000	4 313 000	-42,45%
Receptions, events and representation	53 374	80 000	48 000	48 000	-40,00%
Social welfare	322 546	345 000	355 000	355 000	2,90%
Other Staff related expenditure	2 255 088	2 321 000	12 785 000	12 785 000	450,84%
Title 2 - Infrastructure and operating expenditure	55 250 842	57 778 000	45 376 000	45 376 000	-21,46%
Rental of buildings and associated costs	39 175 322	33 099 000	23 719 000	23 719 000	-28,34%
Information, communication technology and data processing	12 499 157	20 861 000	17 872 000	17 872 000	-14,33%
Movable property and associated costs	1 927 245	2 178 000	2 114 000	2 114 000	-2,94%
Current administrative expenditure	1 416 922	1 380 000	1 409 000	1 409 000	2,10%
Postage / Telecommunications	130 178	153 000	154 000	154 000	0,65%
Meeting expenses	102 018	107 000	108 000	108 000	0,93%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	119 825 050	146 283 000	152 397 000	152 397 000	4,18%
Meetings	7 125 726	10 766 000	11 484 000	11 484 000	6,67%
Evaluation of medicines	96 145 098	113 705 000	116 981 000	116 981 000	2,88%
Translation expenses	4 325 440	5 414 000	6 070 000	6 070 000	12,12%
Studies and consultants	4 729 923	9 138 000	7 155 000	7 155 000	-21,70%

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Budget 2015	Draft Budget 2016		
			Agency request	Budget forecast	
Information and publication	7 498 863	7 260 000	10 707 000	10 707 000	47,48%
TOTAL	266 419 810	302 117 000	314 495 000	314 495 000	4,10%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 949 934.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

In accordance with the Commission Communication, the Commission proposes to keep the number of posts for 2016 stable at the 2015 level (599 posts). However, an increase of contract agents is acceptable given the increased fee financed activities for EMA.

Financial Resources

The Commission has accepted the agency's request.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency requests a total of additional 37 posts for 2016: 7 Temporary Agent posts for increase in workload, the conversion of 5 FTE Contract Agent positions into Temporary Agent posts, a reduction of 25 FTE National Experts to be balanced by additional Temporary Agents and the post of the Executive Director to be graded at AD16, hence reinstating the grading as provided for in the 2006 – 2012 establishment plans. For the staffing requests for 2016 EMA has taken account of the high EMA occupancy rate of Temporary Agent posts year-on-year (~ 97%), and the Budgetary Authority's agreement to a total of 38 posts for the introduction of the Pharmacovigilance Fee Regulation.

On the assumption that the Temporary Agent post requests are granted, the number of Full Time Equivalent (FTE) Contract Agents will be reduced by 5 from 130 FTE to 125 FTE and the allocation for National Experts from 50 FTE to 25 FTE. Should the temporary agents posts not be supported by the European Commission's proposal and Budgetary Authority's agreement, the Agency will need to reinstate the level of Contract Agents and National Experts as per 2015 to 130 FTE CA and 50 FTE National Experts. The budgetary consequences of this shift would have to be included in the Draft Budget to be adopted by the EMA Management Board in December 2015.

	2013 Outturn	2014 Outturn	2015 Budget	2016 Budget
	€'000	€'000	€'000	€'000
Total revenue	240,387	271,786	302,117	314,495
- of which EU contribution	39,139	29,936	31,516	26,424
- of which fees+charges and other	201,248	241,850	270,601	288,071
% EU contribution of total budget	16.3%	11.0%	10.4%	8.4%
Posts	611	599	599	636
- of which financed by fees (incl. pharmacovigilance)	512	533	537	583
- of which financed by EU contribution	99	66	62	53
= net decrease in posts financed by EU contribution n/n-1		-33.68%	-5.29%	-14.48%

4.1.2 Salary assumption for calculating salary line (% applied)

In calculating the salary lines the following assumptions were made: 1% increase in basic salaries in 2016; adjustment in London weighting of 160.0 throughout 2016 extrapolated from the variance applied from July 2014; overall costing based on a 98% occupancy for all posts throughout the year 2016 taking account of an occupancy rate of 96.8% as of 31.12.2014.

4.1.3 Vacancy rate of end 2014

As at the end of 2014 the Agency occupied 580 of the 599 established posts for the year with Temporary Agents resulting in a vacancy rate of 3.2%.

4.1.4 Standard abatement ('abatement forfaitaire') applied

For 2016 the Agency expects five retirements resulting in a standard abatement of 0.8%.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Based on the financial programming 2014-2020 the level of EU contribution for EMA in 2016 would amount to EUR 40.815 million. However, in accordance with the budgetary principle of equilibrium, the Agency only requests an EU contribution of EUR 26.424 million. This reduced request takes account of the additional income from external assigned revenue for the new premises. It needs to be highlighted that this substantial reduction in EU contribution was already stated in the 2015 request as for 2015 and 2016 the Agency benefits from additional income from inducements from the new landlord to cover rent- and fit-out costs. Once these funds are exhausted, EMA will need to receive the level of EU contribution as set in the EU long-term perspective 2014-2020.

The overall increase of EUR 18 666 000 (+19.0%) over 2015 relates to: the requirement for the Agency to pay the employers part of the pension contribution as stipulated in the revision of the Staff Regulation is now anticipated for 2016 (EUR 10.3 million); an increase in salary costs for existing staff (allowing for step-increases and promotions as outlined in the staff policy plan); the anticipated adjustment in London weighting (EUR 5.1 million) (see 4.1.2); 98% occupancy on all 636 posts, 125 FTE Contact Agents and 25 FTE National Experts and miscellaneous additional cost, e.g. crèche and schooling.

4.2.2 Title 2

Overall decrease of EUR 12.4 million (-21.5%) compared to 2015. This major decrease is due to 2015 providing for some investment costs for the new premises (30 Churchill Place level 10 only) whereas for 2016 no investment cost for the building is foreseen.

4.2.3 Title 3

Increase of EUR 6.1 million (4.2%) compared to 2015. The allocations allow for: payments to National Competent Authorities (NCA) for the evaluation of medicines in line with the current scale of fees/payments; payments to the National Competent Authorities (NCA) for the evaluation of pharmacovigilance related applications in line with the Pharmacovigilance Fee Regulation; and provisions for operational database development (budget line 3105 EUR 10.6 million).

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Basement, promenade and 1st – 10th floor, 30 Churchill Place, London, E14 5EU, Multi-tenant office building	
Surface area (in square metres)	26,450	The lease and fit-out of the 10th floor has been included in the building project "Project 2014" of floor levels basement to 9 in 30 Churchill Place within the original
Of which office space	- 18,448	
Of which non-office space	- 8,002	

		<p>building budget. Final total terms and costs including floor levels basement to 10 over the 25 year period are estimated at EUR 565,218,810 compared to the initial EUR 554,600,000 which corresponds to an annual impact of EUR 424,752.</p> <p>The European Parliament had been consulted on the lease and fit-out of the 10th floor and the MEP for Buildings, Mrs Hohlmeier had confirmed that no separate building notice needed to be submitted as the additional cost is below the threshold of the Financial Regulation of EUR 750,000/annum. In addition, the European Parliament was informed by letter (15.01.2014, EMA/27136/2014)</p>
Annual rent (in EUR)	<p>EUR 20.6 million</p> <p>-Rent: EUR 14 933 000</p> <p>-Service Charge: EUR 5 667 000</p>	
Type and duration of rental contract	The lease term is 25 years from 1 July 2014 (date of practical completion)	
Host country grant or support	none	
Present value of the building	Not applicable	EMA is tenant of occupied floors

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Agency has diplomatic status	Staff do not pay national taxes on their Union salary	UK European School is 103 km from Agency's location and therefore not suitable for Agency's staff. In 2008 the Agency introduced a model for payment of part of the school fees
Agency can recover VAT, IPT and Airport Departure Tax from the host country	Internationally recruited staff can buy a tax-free vehicle within the first 12 months of taking up service subject to payment of residual VAT on its sale.	Agency does not have a day care facility but provides financial contribution using the same rules as EC for nursery contribution to European School kindergarten.
Agency pays reduced business rates (equivalent of Council tax)	Internationally recruited staff are exempt from road tax for up to 2 cars.	

5.3 European schools

Not applicable, there is no European School in London.

5.4 Evaluation

In 2009 the European Commission commissioned an assessment of the European Medicines Agency to evaluate the effectiveness and efficiency of the system dedicated to the provision of marketing authorisations for human and veterinary medicinal products fulfilling the basic requirements of quality, safety and efficacy. The evaluation was conducted by Ernst & Young between January and December 2009 and the final report was published on the Pharmaceuticals website on 7 April 2010 (at the time of DG ENTR).

In general the study provides firm evidence from an independent external consultant on very good performance of the Agency. Since its creation in 1993, the EMA has made considerable progress in maintaining an effective European authorisation system for human and veterinary medicinal products and gained great consideration from all stakeholders, at European as well as at international level. EMA opinions are considered of a very high quality from a scientific point of view and the Agency has become a leading actor in establishing international standards. EMA Secretariat together with 44 National Competent Authorities create an effective network providing for the most relevant experts.

The evaluation led to both strategic and operational recommendations to optimise the system and remove the possible barriers that prevent from an efficient and sustainable functioning of the Agency.

A new evaluation of the agency is expected to be carried out by 2019.

2.2.1.3.4 *European Agency for the Management of Operational Cooperation at the External Borders - FRONTEX*

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 2007/2004	26/10/2004	<p>Main tasks:</p> <p>1. The Agency shall perform the following tasks: (a) coordinate operational cooperation between Member States in the field of management of external borders; (b) assist Member States on training of national border guards, including the establishment of common training standards; (c) carry out risk analyses; (d) follow up on the development of research relevant for the control and surveillance of external borders; (e) assist Member States in circumstances requiring increased technical and operational assistance at external borders; (f) provide Member States with the necessary support in organising joint return operations; (g) deploy Rapid Border Intervention Teams to Member States.</p>
Regulation (EC) No 863/2007	11/07/2007	<p>1. This Regulation establishes a mechanism for the purposes of providing rapid operational assistance for a limited period to a requesting Member State facing a situation of urgent and exceptional pressure, especially the arrival at points of the external borders of large numbers of third-country nationals trying to enter the territory of the Member State illegally, in the form of Rapid Border Intervention Teams (hereinafter referred to as teams). This Regulation also defines the tasks to be performed and powers to be exercised by members of the teams during operations in a Member State other than their own.</p> <p>2. This Regulation amends Regulation (EC) No 2007/2004 as a result of the establishment of the mechanism referred to in paragraph 1 and with a view to defining the tasks to be performed and powers to be exercised by border guards of the Member States participating in joint operations and pilot projects in another Member State.</p>
Regulation (EU) No 1168/2011	25/10/2011	<p>1. This Regulation (EU) No 1168/2011 of 25 October 2011 amends Council Regulation (EC) No 2007/2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union.</p> <p>2. This Regulation amends the existing Frontex Regulation by adding the following new tasks to Frontex: Assessment of the capacity of Member States to face threats and pressure at the external borders; Set up European Border Guard Teams that are deployed during joint operation, pilot projects and rapid interventions; Organisation of joint return operations; Develop and operate information systems, including the Information and Coordination Network established by Council Decision 2005/267/EC; Provide necessary assistance to the development and operation of EUROSUR and, as appropriate, of CISE; Draw up and develop a Code of Conduct applicable to all operations coordinated by Frontex; Draw up and develop a Fundamental Rights Strategy; Establish and facilitate a Consultative Forum for fundamental rights; Designate a Fundamental Rights Officer.</p> <p>Furthermore, the existing tasks are developed as follows:</p> <p>Frontex shall be ready to acquire or lease technical equipment for external border control on its own or in co-ownership with a Member State; Frontex shall draw up an operational plan for each of its operational activities; Frontex shall be ready to receive seconded guest officers from Member States; Frontex shall take necessary initiatives to ensure that all border guards and other personnel shall have received training in relevant laws, including fundamental rights and access to international protection; Frontex shall proactively monitor and contribute to the R&D activities relevant to the control and surveillance of external borders; Frontex shall</p>

Decision	Date	Mission/ Tasks / Functions
		develop and operate an information system capable of exchanging classified information and personal data; Frontex shall be ready to process personal data for its risk analysis and joint return operations purposes as well as for transmission to EUROPOL and other EU law enforcement agencies; Frontex shall be ready to deploy/receive liaison officers in/from third countries as well as to launch and finance assistance projects in those countries.

1.2 Seat

Warsaw, Poland

1.3 Budget Line

18 02 03 : European Agency for the Management of Operational Cooperation at the External Borders (Frontex)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	98	95	108	108
Establishment plan posts : AST	54	54	59	57
Establishment plan posts : AST/SC				
Total Establishment plan posts	152	149	167	165
Contract Agents	87	85	87	87
Seconded National Experts	78	77	78	78
TOTAL STAFF	317	311	332	330

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	132 878 000	160 886 000
Additional EU funding: ad hoc grants and Delegation agreements		
Other Revenue	7 953 000	7 870 000
TOTAL REVENUES	140 831 000	168 756 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	22 004 000	22 004 000	23 857 000	23 857 000
Title 2 - Infrastructure and operating expenditure	10 432 000	10 432 000	10 500 000	10 500 000

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 3 - Operational expenditure	108 395 000	108 395 000	134 399 000	134 399 000
Title 4 - Earmarked Expenditure	0	0	0	0
TOTAL EXPENDITURE	140 831 000	140 831 000	168 756 000	168 756 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		1		2		1		1		1
AD 13		4		4		4		4		4
AD 12		11		12		11		11		11
AD 11		8		6		8		8		8
AD 10		6		6		6		6		6
AD 9		8		17		8		8		8
AD 8		43		31		47		47		47
AD 7		8		13		13		13		13
AD 6		6		3		7		7		7
AD 5		2		1		2		2		2
AD TOTAL		98		95		108		108		108
AST 11										
AST 10										
AST 9										
AST 8		5		8		5		5		5
AST 7		11		11		11		11		11
AST 6		13		14		15		14		14
AST 5		17		14		20		20		20

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 4		4		3		4		4		4
AST 3		4		4		4		3		3
AST 2										
AST 1										
AST TOTAL		54		54		59		57		57
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		152		149		167		165		165
GRAND TOTAL		152		149		167		165		165

(1) Including Draft Amending Budget No. 5 to budget 2015 COM(2015)241 of 13.05.2015

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	18	17	18	18
Function Group III	46	46	46	46
Function Group II	10	9	10	10
Function Group I	13	13	13	13
TOTAL	87	85	87	87

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	78	77	78	78

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	79 500 000	132 878 000 (1)	160 886 000	160 886 000	21,08%
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	5 523 500	7 033 000	6 950 000	6 950 000	-1,18%
- Of which EEA/EFTA (excl. Switzerland)	5 523 500	7 033 000	6 950 000	6 950 000	-1,18%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	1 569 452	820 000	820 000	820 000	0,00%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	749 452				
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	60 700	100 000	100 000	100 000	0,00%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	60 700	100 000	100 000	100 000	0,00%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	86 653 652	140 831 000	168 756 000	168 756 000	19,83%

(1) Including Draft Amending Budget No. 5 to budget 2015 COM(2015)241 of 13.5.2015

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	20 075 810	22 004 000	23 857 000	23 857 000	8,42%
Salaries & allowances	18 799 076	20 528 000	22 612 000	22 612 000	10,15%
- Of which establishment plan posts	12 244 356	13 318 000 (4)	15 412 000	15 412 000	15,72%
- Of which external personnel	6 554 720	7 210 000	7 200 000	7 200 000	-0,14%
Expenditure relating to Staff recruitment	122 524	108 000	100 000	100 000	-7,41%
Mission expenses	250 000	304 000	300 000	300 000	-1,32%
Socio-medical infrastructure	3 400	40 000	40 000	40 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Training					
External Services					
Receptions, events and representation					
Social welfare	2 157	4 000	5 000	5 000	25,00%
Other Staff related expenditure	898 653	1 020 000	800 000	800 000	-21,57%
Title 2 - Infrastructure and operating expenditure	12 615 533	10 432 000	10 500 000	10 500 000	0,65%
Rental of buildings and associated costs	5 900 016	4 090 000	4 000 000	4 000 000	-2,20%
Information, communication technology and data processing	4 057 195	3 680 000	3 896 000	3 896 000	5,87%
Movable property and associated costs	738 715	158 000	150 000	150 000	-5,06%
Current administrative expenditure	1 041 843	1 010 000	1 100 000	1 100 000	8,91%
Postage / Telecommunications	46 500	60 000	80 000	80 000	33,33%
Meeting expenses	410 320	666 000	600 000	600 000	-9,91%
Running costs in connection with operational activities					
Information and publishing (1)	420 944	768 000	674 000	674 000	-12,24%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	59 453 740	108 395 000	134 399 000	134 399 000	23,99%
Joint Operations	46 196 007	78 550 000 (5)	114 324 000	114 324 000	45,54%
Risk Analysis, Situation Center & EUROSUR	6 598 243	11 810 000	10 580 000	10 580 000	-10,41%
Training	4 050 000	4 400 000	5 000 000	5 000 000	13,64%
Research and Development; EUROSUR (2)	731 595	850 000	1 100 000	1 100 000	29,41%
Pooled resources	935 154	1 400 000	1 100 000	1 100 000	-21,43%
Miscellaneous operational activities	553 768	10 870 000 (3)	1 710 000	1 710 000	-84,27%
Supporting operational activities	388 973	515 000	585 000	585 000	13,59%
Title 4 - Earmarked Expenditure	3 838 147	0	0	0	◆
External Relations	3 838 147	0	0	0	◆
TOTAL	95 983 230	140 831 000	168 756 000	168 756 000	19,83%

(1) This line concerns Information and Transparency activities (communication, publications)

(2) As of 2014 EUROSUR is operational and falls under budget line "Risk analysis, Situation center & EUROSUR."

(3) Included in this amount is EUR 10.0 million set aside for operational flexibility and is aimed at strengthening the Agency's operational response capabilities at dealing with contingencies and/or adjustment/reinforcement of operations when and wherever necessary

(4) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

(5) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 902 500	22 004 000	23 857 000	23 857 000	8,42%
Salaries & allowances	18 792 650	20 528 000	22 612 000	22 612 000	10,15%
- Of which establishment plan posts	12 243 756	13 318 000 (1)	15 412 000	15 412 000	15,72%
- Of which external personnel	6 548 894	7 210 000	7 200 000	7 200 000	-0,14%
Expenditure relating to Staff recruitment	87 490	108 000	100 000	100 000	-7,41%
Mission expenses	217 814	304 000	300 000	300 000	-1,32%
Socio-medical infrastructure	1 439	40 000	40 000	40 000	0,00%
Training					
External Services					
Receptions, events and representation					
Social welfare	2 157	4 000	5 000	5 000	25,00%
Other Staff related expenditure	800 950	1 020 000	800 000	800 000	-21,57%
Title 2 - Infrastructure and operating expenditure	8 099 275	10 432 000	10 500 000	10 500 000	0,65%
Rental of buildings and associated costs	4 136 676	4 090 000	4 000 000	4 000 000	-2,20%
Information, communication technology and data processing	2 440 404	3 680 000	3 896 000	3 896 000	5,87%
Movable property and associated costs	270 971	158 000	150 000	150 000	-5,06%
Current administrative expenditure	553 614	1 010 000	1 100 000	1 100 000	8,91%
Postage / Telecommunications	40 362	60 000	80 000	80 000	33,33%
Meeting expenses	345 374	666 000	600 000	600 000	-9,91%
Running costs in connection with operational activities					
Information and publishing	311 874	768 000	674 000	674 000	-12,24%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	36 251 621	108 395 000	134 399 000	134 399 000	23,99%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Joint Operations	27 696 348	78 550 000 (2)	114 324 000	114 324 000	45,54%
Risk Analysis, Situation Center & EUROSUR	4 300 501	11 810 000	10 580 000	10 580 000	-10,41%
Training	2 551 798	4 400 000	5 000 000	5 000 000	13,64%
Research and Development; EUROSUR	469 407	850 000	1 100 000	1 100 000	29,41%
Pooled resources	554 530	1 400 000	1 100 000	1 100 000	-21,43%
Miscellaneous operational activities	394 915	10 870 000	1 710 000	1 710 000	-84,27%
Supporting operational activities	284 122	515 000	585 000	585 000	13,59%
Title 4 - Earmarked Expenditure	506 199	0	0	0	◊
External Relations	506 199	0	0	0	◊
TOTAL	64 759 595	140 831 000	168 756 000	168 756 000	19,83%

(1) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

(2) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

Over the period 2014-2018, FRONTEX is grouped as a 'new tasks agency', with a view to the expected extension of its tasks with regard to the European Borders Surveillance System (EUROSUR, (COM(2011)873). In view of these new tasks, over the period 2014-2018 the agency may receive 8 additional posts, as well as the corresponding appropriations. Combined with the 5 % staff reduction and the annual levy for the redeployment pool, this results in a total number of posts which decreases from 153 in 2013 to 145 in 2020. Cruising speed is expected to be reached in 2019 and 2020. At the same time, the migratory crisis in the Mediterranean requires additional staff for FRONTEX. Draft Amending Budget No. 5 (COM(2015)241 of 13.5.2015) includes 16 additional establishment plan posts, which are maintained in 2016. The Commission regards these additional staff as part of a new policy development which does not enter in the calculation of the 5% staff reduction target set out in the Inter-Institutional Agreement.

Financial Resources

With a view to the operational expenditure requirements for EUROSUR, the EU contribution to FRONTEX will increase by EUR 3 million in 2014, which increases gradually to EUR 5 million in 2015, EUR 7,5 million in 2016 and then to EUR 10 million as from 2017 onwards. In addition, as from 2015 a further reinforcement of EUR 3 million is foreseen to strengthen the regular operational capacity of FRONTEX, due to the steady migratory pressure on the external borders of the Union.

Due to the dynamic development with regard to the migratory situation, the Commission decided to attribute an additional EUR 20 million to FRONTEX in the 2016 budget in order to respond effectively to the challenges related to the migratory pressure. These are shifted from the ISF-Borders budget line. At the same time, the migratory crisis in the Mediterranean requires additional resources for FRONTEX. Draft Amending Budget No. 5 (COM(2015)241 of

13.5.2015) will tackle the needs in 2015, while FRONTEX budget is increased by another EUR 50 million to boost its operational capacity in 2016. Salary costs related to the additional 16 posts are also included.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In the current programming period Frontex is, according to the Communication, not entitled to request new posts in any of the categories.

4.1.2 Salary assumption for calculating salary line (% applied)

The Title 1 budget is calculated based on an average per person per month and a number of agent's man-months worked in the organisation. Basic data is taken from last year budget corrected for annual adjustments and by reclassification amounts.

4.1.3 Vacancy rate of end 2014

In 2014 Frontex observed a vacancy rate of 4%.

4.1.4 Standard abatement ('abatement forfaitaire') applied

Not applicable in Frontex

4.2 Financial Resources - Agency request

4.2.1 Title 1

Chapter 11 – Salaries & allowances: the slight increase in the budget related to salaries is due to the usual yearly indexing, the estimated correction coefficient factor, the 1% reduction policy and the full utilisation of the Frontex Establishment Plan as well as external personnel (CA & SNE). In addition, the impact of additional staff needed for the implementation of the Migration package further to the crisis situation in the Mediterranean has been calculated on the basis of average costs. Chapter 12 – Recruitment: recruitment of replacement positions as a result of staff leaving Frontex (in particular the turnover of SNEs) will mean that the expenditure in 2016 will be similar to the actual level for 2014. Chapter 13 – Administrative missions: missions remain at similar levels since the introduction of ABB and activities of a direct nature (i.e. Information and Transparency, External Relations or Fundamental Rights Officer). Chapter 14 – Socio-medical infrastructure: the main item here is medical services. Frontex staff has the opportunity to have an annual medical check as this is mandatory according to the Staff Regulations. Chapter 15 – Other staff related expenditure: other staff related budget is to cover training, translation, costs related to trainees and supplementary interim services in addition to the costs related to Service Level Agreements. Chapter 16 – Social welfare: a non significant amount for special assistance grants is included here.

4.2.2 Title 2

The total budget foreseen for Title 2 in 2016 is similar to 2015, both are lower compared with 2014 due to the inclusion of the relocation costs of the Agency incurred in 2014. Chapter 20 – Rental of building and associated expenditure: similar level as 2015. The rent for the Agency in the new premises is lower than the previous premises. Chapter 21 – Data processing and telecommunications: similar level as 2015. Chapter 22 – Movable property and associated expenditure: decrease to previous expenditure levels. Chapter 23 – Current Administrative expenditure: similar level as 2015. Chapter 24 – Postal expenditure: postal expenditure increase due to anticipated workload. Chapter 25 – Non-operational meetings: non-operational meetings costs are related to Management Board meetings and associated costs such as interpretation services, as well as for the meetings and activities of the Consultative Forum. Chapter 26 – Information & Transparency: the budget is related to online & internal communication initiatives and activities.

4.2.3 Title 3

In 2016 the biggest changes will continue to derive from the further implementation and consolidated use of the EUROSUR framework by both the Member States and Frontex; the further development and establishment of a flexible/re-deployable reaction capacity; the review of the European Border Guard Teams (EBGT) specialised profile training and the enhancement of the Technical Equipment Pool (TEP) – forming core elements of Frontex' flexible reaction capacity; the further development and implementation of new tasks including detecting, preventing and combating cross border crime; the support for the development of Member States' operational border management capacities; enhancing the capacities of the border guard community with adoption of educational standards and complementary measures to support education and training at national level; contributions to the development of operational capacities focusing on European Research Programmes (Horizon 2020); the increased role to coordinate EU funding for border management and internal security related matters; the implementation of the EU Policy Cycle Operational Action Plans; the implementation of capacity building projects in Third Countries and the possible

deployment of Frontex' Liaison Officers; the possible implementation of Frontex Tasks under the Copernicus delegation agreement; operationalisation of processing of personal data in the framework of joint operations and pilot projects, for the purpose of transmission to EU law enforcement and risk analysis; full implementation of Frontex tasks stemming from Council regulation (EU) No 1053/2013 of 7 October 2013 on Schengen Evaluation and monitoring mechanism; and Implementation of the assessment of MS capacities into the regular and specialised risk analysis production.

Chapter 30 – Joint Operations: the largest part of Frontex budget is allocated to Joint Operations to further strengthen the Member States capabilities. This enables better focusing on the main routes of irregular migration and to enhance the impact of border management on fighting crime at the external borders. The focus is to be placed, among others, on EUROSUR, Focal Points Concept (multipurpose operational concept – operational activities at air, sea and land borders as a permanent platform), Flexible operational activities at external land borders, European Patrols Network (EPN) and respective Maritime Joint operations, PULSAR concept focusing on mitigating risk at EU air borders, Big Dipper concept to engage non-EU Countries in operational activities at external air borders, VEGA concept which promotes effective protection of vulnerable persons/groups (children and victims of trafficking in human beings), to assist and coordinate Joint Return Operations with MS and Other Operational Activities with the objective to continue strengthening interagency cooperation and exchanging knowledge and best practices. An amount of EUR 10 million of the Agency's regular budget has been set aside for operational flexibility and is aimed at strengthening the Agency's operational response capabilities at dealing with contingencies and/or adjustment/reinforcement of operations when and wherever necessary according to the migratory pressures at the external borders. Moreover, this covers the implementation of the Migration package further to the crisis situation in the Mediterranean.

Chapter 31 – Risk Analysis, Situation Centre and EUROSUR: this budget line includes EUROSUR in the mainstream activities of Frontex. Frontex has identified and allocated both direct and indirect financing to ensure that the Agency has the financial capability to provide the expected services to the stakeholders. In 2016 EUROSUR will continue, as a common framework for the exchange of information and for the cooperation between Member States and between Member States and Frontex, to improve situational awareness and to increase reaction capability at the external borders of the Member States of the Union will continue to increase. Risk analysis and the Situation Centre, in addition to the further development of service tasks will be engaged in providing considerable input into EUROSUR.

Chapter 32 – Training: the budget for Training increases in 2016. Training will focus on mid and long-term objectives to promote a strategic approach to the education and training of border guards in line with the Bologna / Copenhagen principles, and integrating fundamental rights in training design as an underpinning element. The training budget will be applied to: common standards for general education of border guards; training members of the EBGT; implement common standards and tools for specialised training; and enhance Frontex Training support networks and new solutions for education and training. Chapter 33 – Research & Development: the budget for Research and Development increases slightly in 2016. R&D activities will drive the process of the harmonisation of border control and the development of standards - both operational and technical. Chapter 34 – Pooled Resources: the budget for Pooled Resources remains at a similar level as 2015. The focus will be placed on planning of resources for JO and negotiations with MS, the further development and management of the EBGT pool and the technical equipment pool. Chapter 35 – Miscellaneous Operational Activities: this chapter caters to the estimated needs for the 2016 European Day for Border Guards and for the maintenance of operational systems which are non EUROSUR related and support to FSC systems (FOSS, FMM, Ops room). Chapter 36 – Supporting Operational Activities: this chapter includes activities of Frontex Fundamental Rights Officer as well as activities of the agency's External Relations with third countries and international organisations.

4.3 Ad hoc grants and delegation agreements

EC (DG DEVCO) Eastern Partnership IBM: -Regional Capacity Building Project up to EUR 4.5 million for three years starting in 2014. Grant signed on the 20 June 2014; ENPI – EU Agencies programme: -Subcontractor to EASO. Cooperation agreement signed on 8 July 2014, total budget EUR 54 694.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Warsaw Spire, Warsaw, office space	
Surface area (in square metres)	14,994.22sqm(gross)	
Of which office space	14,578.22sqm(gross)	
Of which non-office space	416sqm	
Annual rent (in EUR)	3,466,215 EUR	
Type and duration of rental contract	Lease agreement commences on 01/01/2015 for 10 years	
Host country grant or support	N/A	
Present value of the building	N/A	
Information to be provided per building:	CCE, Brussels, office space	
Surface area (in square metres)	54sqm(gross)	
Of which office space	54sqm	
Of which non-office space	N/A	
Annual rent (in EUR)	2013: 10,700.39 EUR	
Type and duration of rental contract	Lease agreement expires on 31/12/2016	
Host country grant or support	N/A	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	HCG tower, Piraeus, office space	
Surface area (in square metres)	735sqm(gross)	
Of which office space	460sqm	
Of which non-office space	275sqm	
Annual rent (in EUR)	0 EUR	
Type and duration of rental contract	Office space provided by Greek government	
Host country grant or support	Yes	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
1. Discussions on a Headquarters agreement (or seat agreement) with the Polish Government are still pending. First contacts started 2005.	<p>1. Polish Government promised a symbolic Diplomatic Status to the Executive Director (ED) and his Deputy. This Status has not been fully implemented.</p> <p>Taking into account that a new Executive Director took up his duties on 16 January 2015, the Ministry of Foreign Affairs of Poland was addressed with a request to provide the promised status.</p> <p>2. Protocol on Privileges and immunities is not fully implemented in practice.</p> <p>3. Upon request, the host Member State issues an ID card to Frontex staff members. In practice, the ID card bring limited added-value to the daily life of the staff members as the card is not always accepted and/or recognised in Poland (by the private sector and by the public administration).</p>	<p>1. Polish Government reimburses the schooling costs for staff (except for polish nationals). Polish Government has informed Frontex that it has no intention to establish a European School in Poland.</p>

5.3 European schools

5.4 Evaluation

An evaluation of the agency is currently ongoing. The conclusions, findings and recommendations will be presented to Frontex' Management Board in July 2015. Until end of 2015 it is expected that the Management Board will adopt its recommendations based on the external evaluation conducted and forward it to the European Commission.

2.2.1.3.5 European Police Office - EUROPOL

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Decision 2009/371/JHA establishing the Europol Police Office (Europol)	06/04/2009	<p>Objective (Article 3 Europol Decision): “The objective of Europol shall be to support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating organised crime, terrorism and other forms of serious crime affecting two or more Member States”.</p> <p>Competence (Article 4 Europol Decision): “Europol’s competence shall cover organised crime, terrorism and other forms of serious crime as listed in the Annex to the Europol Decision affecting two or more Member States in such a way as to require a common approach by the Member States owing to the scale, significance and consequences of the offences.” ...</p> <p>“Europol’s competence shall also cover related criminal offences”.</p> <p>Tasks (see notably Articles 5 and 10 Europol Decision): Europol shall establish and maintain a computerised system to allow the input, access and analysis of data. The Europol computerised system has three principal components: 1) an information system; 2) an analysis system and 3) an index system.</p> <p>Europol supports Member States by:</p> <ul style="list-style-type: none"> • facilitating the exchange of information between Member States’ and third partners; • providing operational analysis in support of operations; • generating strategic reports (e.g. threat assessments) and crime analysis on the basis of information and intelligence supplied by Member States and third parties; • providing expertise and technical support for investigations and operations carried out within the European Union, under the supervision and the legal responsibility of the Member States concerned. <p>Europol is also active in promoting crime analysis and harmonisation of investigative techniques within the Member States.</p>

1.2 Seat

The Hague, The Netherlands

1.3 Budget Line

18 02 04 : European Police Office (Europol)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	391	403	405	410
Establishment plan posts : AST	59	37	44	40
Establishment plan posts : AST/SC			4	
Total Establishment plan posts	450	440	453	450

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Contract Agents	111	93	110	125
Seconded National Experts	40	33	40	60
TOTAL STAFF	601	566	603	635

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	94 535 000	96 342 000
Other Revenue	0	0
TOTAL REVENUES	94 535 000	96 342 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	57 735 000	57 735 500	61 850 000	61 850 000
Title 2 - Infrastructure and operating expenditure	10 265 000	10 265 000	9 573 000	9 573 000
Title 3 - Operational expenditure	26 534 500	26 534 500	24 919 000	24 919 000
TOTAL EXPENDITURE	94 534 500	94 535 000	96 342 000	96 342 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14								1		1
AD 13		3		4		3		3		3
AD 12		3		5		7		9		9
AD 11		23		14		15		15		15

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 10				8		16		25		25
AD 9		72		46		67		50		50
AD 8		80		80		94		106		106
AD 7		127		103		104		109		101
AD 6		51		116		74		99		82
AD 5		31		26		24		21		17
AD TOTAL		391		403		405		439		410
AST 11										
AST 10										
AST 9										
AST 8								1		1
AST 7		2		2		3		4		4
AST 6		14		7		8		8		8
AST 5		3		4		11		10		8
AST 4		40		17		20		13		14
AST 3				3		2		3		3
AST 2				4				2		2
AST 1										
AST TOTAL		59		37		44		41		40
AST/SC 6										
AST/SC 5										
AST/SC 4						1				
AST/SC 3						1				
AST/SC 2						1				
AST/SC 1						1				
AST/SC TOTAL						4				
TOTAL		450		440		453		480		450

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	450		440		453		480		450	

(1) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	19	13	19	24
Function Group III	76	64	75	82
Function Group II	16	16	16	19
Function Group I	0		0	
TOTAL	111	93	110	125

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	40	33	40	60

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	84 249 589	94 535 000 (1)	96 342 000	96 342 000	1,91%
- Of which assigned revenues deriving from previous years' surpluses	2 591 589	2 262 000	2 582 352	2 582 000	14,15%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	482 493				

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	90 231				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	84 732 082	94 535 000	96 342 000	96 342 000	1,91%

(1) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	56 853 439	57 735 000	61 850 000	61 850 000	7,13%
Salaries & allowances	54 390 792	53 810 000	57 401 000	57 401 000	6,67%
- Of which establishment plan posts	49 304 536	48 070 000 (2)	51 301 000	51 301 000	6,72%
- Of which external personnel	5 086 256	5 740 000	6 100 000	6 100 000	6,27%
Expenditure relating to Staff recruitment					
Mission expenses	8				
Socio-medical infrastructure	885 202	963 000	976 000	976 000	1,35%
Training	160 134	200 000	200 000	200 000	0,00%
External Services					
Receptions, events and representation	86 216	73 000	73 000	73 000	0,00%
Social welfare					
Other Staff related expenditure	1 331 087	2 689 000	3 200 000	3 200 000	19,00%
Title 2 - Infrastructure and operating expenditure	6 944 403	10 265 000	9 573 000	9 573 000	-6,74%
Rental of buildings and associated costs	3 302 024	5 045 500	5 031 000	5 031 000	-0,29%
Information, communication technology and data processing	1 768 084	2 867 000	2 075 000	2 075 000	-27,62%
Movable property and associated costs	479 134	582 000	629 000	629 000	8,08%
Current administrative expenditure	311 327	260 000	270 000	270 000	3,85%
Postage / Telecommunications	266 471	497 500	455 000	455 000	-8,54%
Meeting expenses					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure (1)	817 363	1 013 000	1 113 000	1 113 000	9,87%
Title 3 - Operational expenditure	20 828 641	26 534 500	24 919 000	24 919 000	-6,09%
Operations	4 693 119	4 863 300	4 803 000	4 803 000	-1,24%
Operational information technology	11 509 407	17 506 200	16 316 000	16 316 000	-6,80%
Telecommunication costs for operational activities	2 529 808	1 435 000	860 000	860 000	-40,07%
Seconded National Experts (Operational)	1 759 097	2 500 000	2 700 000	2 700 000	8,00%
EPCC / COSI	123 783	140 000	150 000	150 000	7,14%
Heads of Europol national Units	85 186	90 000	90 000	90 000	0,00%
Operational expenditure related to subsidies and grants	128 241				
Operational expenditure related to research and development projects					
TOTAL	84 626 483	94 534 500	96 342 000	96 342 000	1,91%

(1) statutory expenditure 2014: EUR 794 213, 2015: EUR 1 013 000, 2016 request: EUR 1 113 000. EU contribution for CED: EUR 23 150

(2) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	56 432 892	57 735 500	61 850 000	61 850 000	7,13%
Salaries & allowances	54 278 112	53 810 500	57 401 000	57 401 000	6,67%
- Of which establishment plan posts	49 191 856	48 070 500 (2)	51 301 000	51 301 000	6,72%
- Of which external personnel	5 086 256	5 740 000	6 100 000	6 100 000	6,27%
Expenditure relating to Staff recruitment					
Mission expenses	8				
Socio-medical infrastructure	751 051	963 000	976 000	976 000	1,35%
Training	90 731	200 000	200 000	200 000	0,00%
External Services	1 231 112	2 689 000	3 200 000	3 200 000	19,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Receptions, events and representation	81 878	73 000	73 000	73 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 071 679	10 265 000	9 573 000	9 573 000	-6,74%
Rental of buildings and associated costs	2 120 012	5 045 500	5 031 000	5 031 000	-0,29%
Information, communication technology and data processing	1 381 677	2 867 000	2 075 000	2 075 000	-27,62%
Movable property and associated costs	400 655	582 000	629 000	629 000	8,08%
Current administrative expenditure	259 849	260 000	270 000	270 000	3,85%
Postage / Telecommunications	195 110	497 500	455 000	455 000	-8,54%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure (1)	714 376	1 013 000	1 113 000	1 113 000	9,87%
Title 3 - Operational expenditure	17 387 802	26 534 500	24 919 000	24 919 000	-6,09%
Operations	4 456 258	4 863 300	4 803 000	4 803 000	-1,24%
Operational information technology	8 959 979	17 506 200	16 316 000	16 316 000	-6,80%
Telecommunication costs for operational activities	1 875 281	1 435 000	860 000	860 000	-40,07%
Seconded National Experts (Operational)	1 759 097	2 500 000	2 700 000	2 700 000	8,00%
EPCC / COSI	123 783	140 000	150 000	150 000	7,14%
Heads of Europol national Units	85 163	90 000	90 000	90 000	0,00%
Operational expenditure related to subsidies and grants	128 241				
Operational expenditure related to research and development projects					
TOTAL	78 892 373	94 535 000	96 342 000	96 342 000	1,91%

(1) statutory expenditure 2014: EUR 699 426, 2015: EUR 1 013 000, 2016 request EUR 1 113 000). EU contribution for ECD 2014 : EUR 14 950.

(2) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 2 852 352.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

In order to help face the crisis situation in the Mediterranean, EUROPOL is reinforced through Draft Amending Budget No. 5 to 2015 (COM(2015)241 of 13.5.2015). The 3 additional establishment plan posts are maintained in 2016 as part of a new policy development which does not enter in the calculation of the 5% staff reduction target set out in the Inter-Institutional Agreement.

Another additional establishment plan post has been added to cover the increase of workload of EUROPOL, mainly for ICT, as a compensation for the withdrawal of the proposal for merger with CEPOL, due to which the previously expected savings do not materialise.

Financial Resources

The credits necessary to cover the additional posts in relation to the crisis situation in the Mediterranean are added to the level of appropriations foreseen in the Commission Communication. Besides, the Commission will contribute to the costs linked to the EU School for an amount of EUR 1,1 million.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

- Crisis migratory situation in the Mediterranean

In order to help the Member States to face the migration issues in the Mediterranean, EUROPOL requires 3 additional AD6 posts to enhanced its capacity of disrupting trafficking networks as well as to reinforce efforts to detect and request removal of illegal internet content used by traffickers to attract migrants and refugees.

- EC3 and ICT

The European Cybercrime Centre (EC3) Blueprint has guided Europol's approach towards the implementation of the Centre. This approach, based on a continuous implementation until 2015 with two staff injections in 2014 and 2015 respectively (mainly via internal re-allocation), builds upon an objective analysis of the workload at present and a continuous focus on the operational needs of the MS. Resource requirements identified in the Blueprint but which could not be delivered yet due to resource constraints are carried forward to the 2016 planning documents.

Europol has assigned the following priorities to the EC3's main capabilities, in descending order of importance: Operational and Forensic Support (Operations); Cyber Intelligence (Operations); R&D and Capacity Building (Strategy); and Strategy, Prevention and Outreach (Strategy). The task allocation and the respective staffing within the EC3 are distributed to ensure that Operations, Cyber Intelligence and Forensic support will receive most of the resources. The resource allocation is further aligned with the evaluation of the Communication of the Commission, the EU Cyber Security Strategy and the Directive on Network and Information Security. Europol has ensured sufficient staffing for the performance of EC3, contributing towards calibrating multi-layered protective measures and response mechanism to cybercrime. For the EC3 staffing needs the "new task" agency status will permit additional staff to be hired adding resources for Europol as part of the Commission Communication, which foresees 5 TA posts for 2016 and 2 TA posts for 2017. The profile of these posts will be mainly analytical in nature providing resources to perform operational work in supporting Member States law enforcement activities.

The initial development of EC3's capabilities was planned to be completed in the first two years. However, as mentioned above, resource constraints have not allowed for the full development of all products and services anticipated by the Centre's stakeholders. Furthermore, cybercrime increases rapidly and affects Member States that face great difficulties in tackling phenomena like child online sexual exploitation (CSE) and payment card fraud and increasingly turn to Europol's EC3 for assistance. The sharp increase in workload puts an enormous strain on EC3. Meanwhile, additional investments in Europol's ICT capability are required in order to meet the rapidly evolving challenges of cybercrime, as well as to cope more efficiently with the ever-increasing volumes of operational information being exchanged. To enable the organisation to meet these challenges, additional staff is vital in 2016. Therefore, for the areas of EC3 and ICT overall, a total number of 29 extra posts are foreseen. Of these 29 posts 5 additional AD6 Analyst posts were already foreseen for EC3 in 2016 as part of the Commission Communication 2014-2020. The extra 24 posts are foreseen to deal with the new tasks that Europol receives funding for from 2015 onwards

to further develop the IT tools necessary to carry out its extended mandate, both for data collection and processing and for cybercrime-related ICT tools. The particular focus of the 5 posts for ICT is to ensure that Europol does not become overly dependant on external service providers, in particular when it comes to the support and development of its core systems.

- FIU.net

Political agreement was reached at a meeting on 3 October 2013 endorsing the common understanding for the future of the FIU platform. This common understanding provided that the FIU.net would receive an ISEC grant for the period 2014 to 2015 (EUR 1 600 000 per year) and that from 1 January 2016 the FIU.net will be directly financed from Europol's budget. The common understanding also foresees that FIU.net related tasks will be performed by Europol staff, that Europol will assign the additional financial resources allocated to its budget to the funding of the functioning of the FIU.net and the payment of the salaries of the additional staff hired to guarantee the support to FIU.net, and that the Commission will explore possibilities for funding the FIU's. Based on this agreement, Europol requests an additional 6 TA posts (5 AD6 and 1 AD7) to facilitate this change. The annual costs of EUR 1 600 000 under the grant compared to the costs of 6 TA posts (EUR 600 000) integrated in Europol's budget provide a considerable saving.

Staff Modifications	Grade	Number
MFF EC3	AD6	5
FIU.Net	AD6	5
	AD7	1
Extra posts EC3	AD6	15
	AD7	4
Extra posts ICT	AD5	2
	AD7	3
	TOTAL	35
1% cuts	AD9	-1
	AD7	-2
	AST4	-2
	TOTAL	-5
TOTAL EFFECT		30

- Contract Agents and Seconded National Experts

Towards the end of 2014 Europol has reviewed the funds allocated in the 2015 budget and decided to adjust the 2015 allocation for Contract Agents and SNE's insofar as the financial capacity allows for it. As a consequence the 2015 estimate already includes the envisaged adjustments. The voted 2015 budget foresaw 110 Contract Agents and 40 SNE's while the updated 2015 estimate now foresees up to 125 Contract Agents and 60 SNE's. The costs are envisaged to be borne by using a small part of the extra funds for the further development of IT tools necessary to carry out the extended mandate, both for data collection and treatment and for cybercrime related ICT tools. The extra external personnel will be used to reinforce staff to carry out the additional workload that comes with the extra funds.

The additional 15 Contract Agents can be divided over two different categories: 5 Contract Agents are allocated to Business Area ICT in 2015, where further staff reductions are hindering the ability to execute the work-plan and making Europol relying heavily on external consultants. This is not an optimal situation especially in positions where confidentiality, continuity and knowledge retention are crucial. Additionally, Europol decided to increase the number of Contract Agents by 10, bearing in mind the budgetary possibilities, to replace Temporary Agent posts in non-operational areas or to reinforce non-operational areas when needed. Moreover, an increase of 20 Seconded National Experts is foreseen from 2015 onwards. All these posts are for operational tasks. This is the only possibility for Europol to reinforce its operational workforce in an environment where an establishment plan increase is difficult. While the staff savings have focused on support functions, Europol has had to internally reallocate TA posts within the Operations Department to ensure work related to the new EC3 mandate could keep up with the demand from Member States. In addition, for the high priority area of JOT Mare the use of SNEs is considered to be the only possible and appropriate way forward to meet demands in the short term.

4.1.2 Salary assumption for calculating salary line (% applied)

2015 – 1% (June 2015 – December 2015). 2016 – 1% (January 2016 – December 2016), weighting coefficient for the Netherlands 6%.

4.1.3 Vacancy rate of end 2014

10 or 2,2% of established posts were vacant at the end of 2014 (offer letters sent counted as posts filled). It needs to be noted that these include 9 posts identified to be cut on 01.01.2015 in order to implement staff cuts as requested by the Commission. If these posts were not counted as vacancies, the vacancy rate would have been 0,2%

4.1.4 Standard abatement ('*abatement forfaitaire*') applied

No vacancy rate has been applied.

4.2 Financial Resources - Agency request

The EU contribution foreseen for 2016 comes to EUR 95 816 000 and it is the only source of revenue for Europol. Part of the EU contribution is foreseen for the so-called "Type II European schools".

The need for financial resources in 2016 (approximately stable in comparison with 2015) will mainly be driven by the need to develop and maintain Europol's core ICT systems, which in turn will be driven by the following factors: increasing volume of operational workload; further development of the European Cybercrime Centre (EC3); replacement of Europol Council Decision with a Europol Regulation expected to have significantly different provisions regarding information management and analysis; potential changes in the way Europol supports the authorities competent for counter-terrorism investigations in the Member States. In particular, Europol will also be preparing for the forthcoming Europol Regulation, which is expected to offer new opportunities to improve Europol's operational and information management capabilities. Key ICT initiatives will be undertaken in 2016 to exploit these opportunities, as well as to adapt to developments in the global technology landscape. The two main areas of focus will be strengthening capabilities related to the handling of large volumes of data, modernising systems architecture and improving interoperability. In view of the limits placed on Europol's staffing, significant use will be made of external service providers, both for the provision of ICT support services and for the development of systems. This is reflected in Titles 2 and 3 of the budget.

4.2.1 Title 1

The estimated expenditure amounts to EUR 61 324 000 and represents 64% of the total budget. Title 1 (Staff) shows an increase of 6% compared to the adopted budget 2015. The reasons for this increase are mainly connected to 30 additional TA posts (5+6+24-5), automatic increases in incremental points, reclassification of staff and higher costs for the European School. The budget for salaries is calculated for 480 Temporary Agents and 125 Contract Agents (incl. 4 LS). In line with the MFF, 5 additional TA posts foreseen for EC3 are added. In addition, 6 TA posts for FIU.net and 24 extra posts are fully integrated in the budget. An overall 1% salary increase is assumed and a weighting coefficient of 106% for The Hague is borne in mind. A 1% reduction of staff has been applied (- 5 TA posts) in line with Commission instructions. The majority of the other staff related expenditure, such as relocation expenditure, recruitment, medical service, shift work and training, are included in line with the current implementation, expectations for 2016 and bearing in mind the effects from the new Staff Regulation.

A significant increase of the expenditure in Title 1 is triggered by the European School (ESH). The mandate and service agreement between Europol and the Commission was signed in May 2014. It has been agreed that Europol should ensure the budget for the Europol's staff pupils expected to be enrolled in the school year N/N+1. It is the expectation that the number of pupils continues to grow. Also bearing in mind that new secondary-school classes will be available in school year 2016/2017, the assumption is that 55% of eligible pupils will be registered, which would cost approximately EUR 1 815 000. The eventual budget need will very much depend on whether the parents decide to enrol their children in ESH or not. It needs to be borne in mind that ESH is still being set-up, which means that gradually classes are added. Further information on the European School is provided under section 5.3 below.

4.2.2 Title 2

The estimated expenditure amounts to EUR 9 573 000 and shows a decrease compared to the initial adopted budget 2015.

The building running costs are the main costs budgeted under Title 2. Out of the total budget included under Title 2, 57% is foreseen for the various facilities expenditure (Service Level Agreement with the Host State for the building, rent for the data recovery site, energy, cleaning, catering, office supplies, post, etc). It also foresees a budget for new business initiatives for adjustments to the building to increase the operational effectiveness of the building. The administrative information technology expenditure and telecommunication costs accounts for 26% of the budget under Title 2 (amounting around EUR 2 460 000). The budget for statutory expenditure represents 12% of the budget under Title 2. This shows an increase compared to 2014 and 2015, providing sufficient budget to facilitate Management Board and Working Group meetings and possible translation costs triggered by the new Europol Regulation. The Joint

Supervisory Body remains at the same level, which should be sufficient for the regular ongoing activities (incl. translations). Other administrative activities such as security expenditure, documentation, car fleet, legal expenditure, etc. are in line with current implementation and represent a limited budget under Title 2.

4.2.3 Title 3

The estimated expenditure for operational activities (incl. operational Seconded National Experts and ICT) adds up to EUR 24 919 000 which represents 26% of the total budget. When comparing the figures under Title 3 from 2016 to 2015 it needs to be borne in mind that an overall amount of approximately EUR 1 250 000 has been moved from Title 3 to Title 1 to finance 24 posts. The extra 24 posts are foreseen to deal with the new task for which Europol receives funding from 2015 onwards to further develop the IT tools necessary to carry out its extended mandate, both for data collection and treatment and for cybercrime related ICT tools. In view of the success providing financial support for operational and other meetings, Europol foresees to continue delivering those services also in 2016. This underlines the focus on operational activities and providing strong operational support services tailored to Member State needs. The budget for the regular operational activities in Operations (Chapter 30) comes to EUR 4 803 000 which is in line with 2015. For HENU meetings and the annual Police Chief Convention the budget is also provided in line with the current practice, amounting to EUR 240 000 in total. Operational ICT services and programmes come to a budget of EUR 17 176 000, including the evolution of existing systems as well as new developments to support the expanded Europol mandate. The service costs are projected to go down due to lower maintenance costs in 2016 as extra system maintenance costs during the migration in 2015 are eliminated and the lower sTesta contract should be in full effect.

4.3 Ad hoc grants and delegation agreements

At the end of December 2014 Europol and DG Home signed a delegation agreement for an amount of EUR 7 000 000. This delegation agreement entrusts Europol with support tasks during a portion of the policy cycle 2014-2017 for EMPACT activities (European Multidisciplinary Platform against Criminal Threats) prioritised by the JHA Council and as laid down in the Operational Action Plans (OAPs). These tasks shall be carried out within a period of 30 months as of 1 January 2015 until 30 June 2017.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Headquarters, Eisenhowerlaan 73, 2517 KK, The Hague Netherlands	The Dutch Government Buildings Agency is owner of the building. Europol is responsible for the user related elements which are linked to the business of the organisation e.g. catering, ICT, Security and AV systems.
Surface area (in square metres) Of which office space Of which non-office space	Gross floor space building is 32.500 m ² .	Number of workplaces is 850.
Annual rent (in EUR)	NA	Building provided free of charge by the Host State
Type and duration of rental contract	Lease Agreement is valid for 20 years and was signed on 1 March 2011	Lease Agreement Europol New Headquarters, reference EDOC-#471788.
Host country grant or support	Host State support by providing and maintaining the accommodation.	The maintenance regarding the owner related elements.
Present value of the building	NA	

5.1.2 Building project in the planning phase

In 2015 Europol starts a multiannual project together with the Host State (who owns the building) to adjust the AV installations of the meeting rooms and the cabling from analogue to digital. This is a considerable project which is likely to be implemented over a number of years 2016-2019.

5.1.3 Building projects submitted to the European Parliament and the Council

N.A.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>Article 51(2) Europol Decision: The Protocol on Privileges and Immunities of the European Communities shall apply to Europol. The Protocol is supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol.</p>	<p>Article 51(1) Europol Decision: The Protocol on Privileges and Immunities of the European Communities and Regulation (Euratom, ECSC, EEC) No 546/69 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on Privileges and Immunities of the Communities apply (as amended by a specific Council Regulation adopted on 27.11.2008: Council doc. # 14921/08 COR 4) shall apply to the Director and Deputy Directors of Europol and to Europol staff.</p> <p>The Protocol and Regulation are supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol, which is itself supplemented regarding staff privileges and immunities by an exchange of NotesVerbales of 24 October 2007</p>	<p>Europol staff members can benefit from the ordinary rules regarding tax benefits linked to day care expenses just as any other residents of the Netherlands.</p>

5.3 European schools

Based on the Mandate and Service agreement with the European Commission concerning the funding of the "Type II European schools", the Commission partially subsidises the expenditure for the school fees for the European School The Hague (ESH). In the current estimation the assumption is that 55% of eligible children will attend ESH. This results in approximately EUR 1 800 000 of school fees for ESH, of which a part (EUR 1 100 000) is foreseen to be subsidised by the Commission. The remainder comes partially from reducing expenditure for education allowances. It should however be clear that not all of the additional expenses incurred as a consequence of having the ESH will be covered by extra subsidy from the Commission. For the calculation of the extra subsidy the Commission approach is to compare the maximum costs for education allowances with the school fees for the expected pupils for ESH and then the Commission will cover the difference. However, in practice under the previous calculations (without considering ESH) Europol never had the maximum expenses because the actual education allowances claimed were often well below the maximum.

5.4 Evaluation

An evaluation of the agency is expected to be submitted to the Budgetary Authority by June 2015.

2.2.1.3.6 European Police College – CEPOL

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Decision 2005/681/JHA	20/09/2005	<ul style="list-style-type: none"> * Provide training sessions, based on common standards, for senior police officers; * Contribute to the preparation of harmonised programmes for the training of middle ranking police officers, middle ranking police officers in the field and police officers in the field with regard to cross border cooperation between police forces in Europe, and help set up appropriate advance training programmes as well as develop and provide training for trainers; * Provide specialists training for police officers playing a key role in combating cross border crime, with a particular focus on organised crime; * Disseminate best practice and research findings; * Develop and provide training to prepare police forces of the European Union for participation in non-military crisis management; * Develop and provide training for police authorities from candidate countries, including training for police officers with a key role; * Facilitate relevant exchanges and secondments of police officers in the context of training; * Develop an electronic network to provide backup for CEPOL in the performance of its duties, ensuring that the necessary security measures are put in place; * Enable the senior police officers of the Member States to acquire relevant language skills.
Delegation Agreement Ref. No. MED 2007/140-901	11/06/2007	To reinforce the efficiency of police regional and sub-regional co-operation in the field of fight against terrorism and other major forms of organised crime, drugs trafficking and trafficking in human beings; the various forms of support to this criminal activities (trafficking in weapons and bacteriological substance, financial crime, notably money laundering and cybercrime) should altogether be dealt with, via: the reinforcement of professional capacities of specialised senior professionals (heads or deputy heads of operational units, senior police officers belonging to this units) of the fight against terrorism and other major forms of organised crime in partner countries; the consolidation of the network that these specialised senior professionals and their counterparts of the EU started to constitute in the framework of Euromed Police I; the reinforcement of the professional capacity of the heads or deputy heads of or police training departments of MEDA countries (in order to facilitate the dissemination of the best police techniques).
Specific Agreement No. JLS/2008/ISEC/FPA/C2/030 for the action entitled: CEPOL Exchange Programme for Senior Law Enforcement Officers	24/06/2009	To provide opportunities for multilateral exchanges on specific topics for senior police officers and police trainers among 22 Member States and 1 candidate country with the aim to improve cross-border police cooperation in Europe through the reinforcement of professional networks as well as the understanding of police practice and training in other European countries and the exchange of knowledge, experience and best practices.

1.2 Seat

Budapest, Hungary

1.3 Budget Line

18 02 05 : European Police College (CEPOL)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	16	16	16	17
Establishment plan posts : AST	11	11	11	11
Establishment plan posts : AST/SC				
Total Establishment plan posts	27	27	27	28
Contract Agents	10	9	10	10
Seconded National Experts	6	5	10	6
TOTAL STAFF	43	41	47	44

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 471 000	8 641 000
Additional EU funding: ad hoc grants and Delegation agreements	0	0
Other Revenue	0	0
TOTAL REVENUES	8 471 000	8 641 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 547 500	3 547 500	3 662 800	3 662 800
Title 2 - Infrastructure and operating expenditure	494 500	494 500	441 000	441 000
Title 3 - Operational expenditure	4 329 000	4 329 000	4 537 200	4 537 200
TOTAL EXPENDITURE	8 371 000	8 371 000	8 641 000	8 641 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13		1		1		1		1		1
AD 12										
AD 11								1		1
AD 10		2		2		2		2		2
AD 9		3		3		3		2		2
AD 8										
AD 7		1		1		1		2		2
AD 6								3		3
AD 5		9		9		9		6		6
AD TOTAL		16		16		16		17		17
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6								1		1
AST 5		2		2		2		2		2
AST 4		2		2		2		4		4
AST 3		7		7		7		4		4
AST 2										
AST 1										
AST TOTAL		11		11		11		11		11
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		27		27		27		28		28
GRAND TOTAL	27		27		27		28		28	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	0	0	0	0
Function Group III	2	1	2	2
Function Group II	7	7	7	7
Function Group I	1	1	1	1
TOTAL	10	9	10	10

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	6	5	10	6

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	0	0	0	0	◆
2 EU CONTRIBUTION	8 575 858	8 471 000	8 641 000	8 641 000	2,01%
- Of which assigned revenues deriving from previous years' surpluses	869 000	793 000		230 000	-71,00%

REVENUES	Revenues				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	0	0	0	0	◆
- Of which EEA/EFTA (excl. Switzerland)	0	0	0	0	◆
- Of which candidate countries	0	0	0	0	◆
4 OTHER CONTRIBUTIONS	0	0	0	0	◆
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	0	0	0	0	◆
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)	0	0	0	0	◆
5 ADMINISTRATIVE OPERATIONS	0	0	0	0	◆
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	0	0	0	0	◆
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	0	0	0	0	◆
7 CORRECTION OF BUDGETARY IMBALANCES	0		0	0	100%
TOTAL	8 575 858	8 471 000	8 641 000	8 641 000	2,01%

(1) In 2014 the relocation of the Agency took place. The United Kingdom (previous host country) agreed to pay a contribution of EUR 285 141.50 for the relocation costs of CEPOL from Bramshill, United Kingdom to Budapest, Hungary. This revenue was inscribed as assigned revenue (R0 fund source) in CEPOL's 2014 budget in late November 2014. The total budget available including the assigned revenue was EUR 8 861 000.

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (2)(1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	4 385 573	3 547 500	3 662 800	3 662 800	3,25%
Salaries & allowances	3 846 361	2 981 600	2 940 000	2 940 000	-1,40%
- Of which establishment plan posts	3 148 349	2 089 800	2 243 000	2 243 000	7,33%
- Of which external personnel	698 012	891 800	697 000	697 000	-21,84%
Expenditure relating to Staff recruitment	34 400	40 000	40 000	40 000	0,00%
Mission expenses	79 400	30 000	35 000	35 000	16,67%
Socio-medical infrastructure	71 710	321 400	407 800	407 800	26,88%
Training	30 190	40 000	40 000	40 000	0,00%
External Services	316 512	130 000	195 000	195 000	50,00%
Receptions, events and representation	3 500	2 500	3 000	3 000	20,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (2)(1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Social welfare	3 500	2 000	2 000	2 000	0,00%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	647 976	494 500	441 000	441 000	-10,82%
Rental of buildings and associated costs	94 698	45 000	38 000	38 000	-15,56%
Information, communication technology and data processing	451 166	330 000	290 000	290 000	-12,12%
Movable property and associated costs	11 258	12 300	14 500	14 500	17,89%
Current administrative expenditure	85 889	95 700	87 000	87 000	-9,09%
Postage / Telecommunications	4 965	11 500	11 500	11 500	0,00%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	3 321 384	4 329 000	4 537 200	4 537 200	4,81%
Bodies and organs	231 767	311 000	284 000	284 000	-8,68%
Courses and seminars	2 442 322	3 159 000	3 363 200	3 363 200	6,46%
Other programme activities	455 798	629 000	690 000	690 000	9,70%
Missions	147 000	150 000	150 000	150 000	0,00%
Other operational activities	44 497	80 000	50 000	50 000	-37,50%
TOTAL	8 354 933	8 371 000	8 641 000	8 641 000	3,23%

(1) The executed amount under Title 3 includes only fresh appropriations (C1 fund source). In addition the amount of EUR 13 686 carried forward from previous years was also consumed.

(2) From the external assigned revenue (R0), due to the late inscription of the funds in the 2014 budget, EUR 61 000 has been committed in 2014, which is not reflected in the above mentioned table. The remaining funds are automatically carried forward to the 2015 budget year

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (2)(1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	4 249 829	3 547 500	3 662 800	3 662 800	3,25%
Salaries & allowances	3 846 361	2 981 600	2 940 000	2 940 000	-1,40%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (2)(1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which establishment plan posts	3 148 349	2 089 800	2 243 000	2 243 000	7,33%
- Of which external personnel	698 012	891 800	697 000	697 000	-21,84%
Expenditure relating to Staff recruitment	19 046	40 000	40 000	40 000	0,00%
Mission expenses	72 864	30 000	35 000	35 000	16,67%
Socio-medical infrastructure	71 710	321 400	407 800	407 800	26,88%
Training	21 981	40 000	40 000	40 000	0,00%
External Services	213 332	130 000	195 000	195 000	50,00%
Receptions, events and representation	2 305	2 500	3 000	3 000	20,00%
Social welfare	2 230	2 000	2 000	2 000	0,00%
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	383 241	494 500	441 000	441 000	-10,82%
Rental of buildings and associated costs	111 854	45 000	38 000	38 000	-15,56%
Information, communication technology and data processing	162 159	330 000	290 000	290 000	-12,12%
Movable property and associated costs	6 861	12 300	14 500	14 500	17,89%
Current administrative expenditure	98 225	95 700	87 000	87 000	-9,09%
Postage / Telecommunications	4 142	11 500	11 500	11 500	0,00%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	2 592 645	4 329 000	4 537 200	4 537 200	4,81%
Bodies and organs	171 410	311 000	284 000	284 000	-8,68%
Courses and seminars	1 910 257	3 159 000	3 363 200	3 363 200	6,46%
Other programme activities	354 270	629 000	690 000	690 000	9,70%
Missions	129 372	150 000	150 000	150 000	0,00%
Other operational activities	27 336	80 000	50 000	50 000	-37,50%
TOTAL	7 225 715	8 371 000	8 641 000	8 641 000	3,23%

(1) The executed amount under Title 3 includes only fresh appropriations (C1 fund source). In addition the amount of EUR 615 385 carried forward from previous years was also consumed.

(2) From the external assigned revenue (R0), due to the late inscription of the funds in the 2014 budget, EUR 60 665 has been paid in 2014, which is not reflected in the above mentioned table. The remaining funds are automatically carried forward to the 2015 budget year

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 230 114,90.

4 Justification of needs

Commission assessment

Human Resources

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

A new mandate for CEPOL is scheduled to be adopted by the co-legislators in 2015. Based on the Commission's legislative proposal and the interim results of work being conducted within the working parties of the Council and the European Parliament, it can be reasonably expected that the new legal framework will have significant impact on the overall remit of the agency and related workload, working practices, and methodologies.

The Agency moved to Budapest in 2014 and employed four additional SNEs to anticipate the lack of staff in 2015. For 2016 the number of SNEs was reduced according to the real needs of the Agency. One additional post for 2016 has been approved by the Commission.

Financial Resources

The Commission supports the Agency request, which is in line with the Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Temporary Agents: 28 (+1); Contract Agents: 10 (+0); SNEs: 6 (-4).

In 2015 the legislator is expected to decide on a new legal mandate with additional new tasks (Law Enforcement Training Scheme - LETS) for CEPOL. The Commission proposal includes a total of 3 new positions for LETS. One additional post is foreseen already from 2016 (1 AD 7 – legal advisor), in line with the recommendation of the European Ombudsman who acknowledged the lack of a legal officer as a vulnerability within the agency. To solve this important deficit, CEPOL is requesting one AD 7 function from 2016 onwards. At this moment it is unclear for CEPOL if this number will be sufficient or not, especially taking in consideration that the 2013 Commission proposal for a new Europol regulation foresaw a number of 12 positions for LETS. Therefore CEPOL may request additional posts when there is more clarity on the workload of the new tasks.

In accordance with the Commission Communication, CEPOL has terminated one AST3 post in 2014, reducing the number of temporary agents from 28 in 2013 to 27 in 2014, by outsourcing the accounting services to the European Commission. However, CEPOL does not agree with the additional 5% staff reduction for Agencies to form a pool for new/increased tasks Agencies.

The Internal Audit Service in their ICT Risk Assessment concluded that the limited IT staff (currently 2 TA AST officers) and the lack of a proper back up function represents a risk of discontinuity of the IT services. Similarly, the HR function has at present only 1 TA AST and 1 CA FGII position. Relevance of e-learning training and learning opportunities has grown considerably since the establishment of the agency, and has become even more relevant in times of the strict austerity. E-learning allows wider outreach and can cater to both awareness and specialist training. E-learning products have become highly demanded and integral part of any training portfolio developed by the agency. In order to maintain and develop this area the agency needs to invest not only into the resources dedicated to e-learning, but into supporting functions, e.g. maintenance and development of its online platform (e-NET). It is a full time job that currently is dispersed among several staff members thus affecting business continuity and coherency.

Knowledge products developed at the European Union level, including by CEPOL, are enormous yet largely dispersed. CEPOL, as knowledge and training institution, constantly works towards the provision of knowledge management tools. In fact, the agency should become a repository of knowledge – a 'one stop shop' for training needs. In addition, requirements and needs for police research contributions are increasing. The area of research, science and knowledge management is manned by one AD 5 officer. The current situation poses a high risk of insufficient business continuity

and lack of meaningful development. The European Police Exchange Programme (EPEP) is currently implemented solely by Seconded National Experts, thus affecting the business continuity of the programme and the loss of practical know-how when the seconded staff departs. Whilst the EPEP is greatly appreciated by the law enforcement community, there is a clear issue with sustainability associated with the current financing and implementation arrangements.

The deficits identified above cannot be resolved through reallocation of posts without creating new deficits in other areas of the organisation that will be equally impactful, either operationally or administratively.

4.1.2 Salary assumption for calculating salary line (% applied)

2016 salaries are calculated on the assumption that all authorised posts under the Establishment Plan will be filled. The individual family and personal circumstances of the employees are taken into account. The correction coefficient for Hungary of the first semester of 2015 is applied (71.4%), although some flexibility is foreseen, as the highly fluctuating exchange rate of the Hungarian currency can have an impact both on the correction coefficient, as on the actual salary payments. In anticipation of the Implementing Rules on Promotion (for Temporary Agents) and Reclassification (for Contract Agents) it is necessary to foresee this in the establishment plan. As a general principle, CEPOL will offer each staff member the chance for promotion approximately every third year. As CEPOL has so far never used this promotion tool, in the first two years of this policy (2016 and 2017) a slightly higher percentage of staff members will be given the opportunity for promotion/reclassification. Furthermore, automatic step increases for staff are taken into account.

4.1.3 Vacancy rate of end 2014

At the end of 2014 1 FGIII post has not been filled. The ICT Assistant (FGIII post) recruitment was unsuccessful and had to be re-launched. The recruitment process is ongoing, the offer letter was sent out early January 2015. The selection process held in August 2014 for Procurement Assistant has been finalised and the successful candidate was offered the post, but due to personal circumstances the candidate will take up duty during the first quarter of 2015.

4.1.4 Standard abatement ('abatement forfaitaire') applied

Whilst 2% abatement rate is normal, CEPOL foresees no abatement in 2016. CEPOL is currently under resourced and standard abatement within the normal range is associated with efficiencies achieved through the successful implementation of mature processes. The new legal mandate for CEPOL foreseen for adoption in 2015 also creates additional workload for all areas.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The costs reflect the planned implementation of the establishment plan according to the budgetary funding available. The expenditure for the recruitment of staff reflects a trend in the real cost of recruitment as well as provision for staff turnover unforeseeable at this time. Due to the correction coefficient applied in Hungary higher turnover is expected than in the past, although in the planning for recruitment cost this is not reflected. Mission expenses are kept at a reduced level, although taking into consideration the fact that from Hungary less flights are available than from the United Kingdom previously, the mission duration is likely to increase as well. Training reflects a reduced amount of funds available to ensure the prioritisation of appropriations for budget lines where spending is non-discretionary. Mandatory language training with a view of promotion is considered. External services reflect the costs for existing service level agreements with the EU institutions and the eventual costs of interim services. Socio-medical infrastructure covers mainly the cost for international schools and nursery for the children of CEPOL staff members and the cost of the school transportation in line with the corresponding decision of the Governing Board. Medical expenses, staff committee costs and social contact between staff are kept at a very limited level. Reception and events is taking into consideration potential expenditure in hospitality.

4.2.2 Title 2

The Title 2 budget includes a small decrease in 2016 compared to 2015, this is due to the fact that some major investments (Enterprise Content Management system, certification ISO 9001 of CEPOL management system) will be initiated already in 2015. A certified management system can demonstrate the agency's commitment to quality and stakeholder satisfaction. Maintaining a certified management system helps CEPOL to achieve consistency, improve internal processes, and fulfil legal obligations. In 2016 the CEPOL management system will be prepared for external certification in line with the ISO 9001 requirements. The Hungarian authorities cover the rental and some utility expenses related to the building during 10 years.

4.2.3 Title 3

Title 3 budget represents a small increase (2%) compared to the previous year. A number of significant developments in the area of Justice and Home Affairs (JHA) are expected to have an impact on CEPOL. As these developments add a

degree of uncertainty on the way CEPOL will be delivering its core business in the future, the work programme and the budget for 2016 needs to retain a level of flexibility in its current planning phase. On 16 July 2014 the European Commission published its proposal for a Regulation of the European Parliament and of the Council establishing a European Union agency for law enforcement training (CEPOL), repealing and replacing Council Decision 2005/681/JHA. Although the final regulation is not expected to enter into force before the beginning of 2016, CEPOL is closely following the consultation of this proposal throughout the legislative process as it prepares itself for the challenges its new mandate will entail. Without prejudice to further legal developments, the European Law Enforcement Training Scheme (LETS) principles continue to guide CEPOL's core business.

In 2016, CEPOL can reasonably be expected to be implementing the changes on its visual/corporate identity deriving from the new legal framework following a re-branding exercise. The web will continue to be the main communications channel and CEPOL will therefore focus on developing communications materials that are web-friendly (for use of public website and e-Net), such as e-books and materials that can be disseminated through handheld devices. Social media will continue to play a role and shall be used to a greater extent to advertise and promote the work of the agency and its Network.

4.3 Ad hoc grants and delegation agreements

N.A.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	CEPOL Headquarters 1066 Budapest Ó utca 27. Hungary	
Surface area (in square metres) Of which office space Of which non-office space	2,123.23 m2 (footing area) 978.03 m2 1,145.3 m2	
Annual rent (in EUR)	0	
Type and duration of rental contract	According to the host agreement signed between CEPOL and the Hungarian authorities, Hungary provides accommodation for CEPOL for 10 years free of charge as from 1 September 2014.	
Host country grant or support	Office accommodation is currently provided for free by the Hungarian authorities in accordance with the signed host agreement. Hungary covers also the utility fees, maintenance of the building, the security and reception services. However, CEPOL pays for telephony and internet services.	
Present value of the building	NA	

5.1.2 Building project in the planning phase

N.A.

5.1.3 Building projects submitted to the European Parliament and the Council

N.A.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
CEPOL can request the reimbursement of incurred VAT in line with the HQ agreement signed and the applicable Hungarian regulations	<p>CEPOL staff, with the exception of Hungarian nationals are issued a special identity card similar to those issued for members of diplomatic corps of the Member States of the EU in Hungary</p> <p>CEPOL Staff is entitled to enjoy the privileges and immunities, exemptions and facilities granted by Hungary to members of the diplomatic corps of the Member States of the European Union in Hungary.</p> <p>The Protocol of privileges and immunities applies to the Director of CEPOL and the staff of its Secretariat, with the exception of staff seconded from the Member States and Hungarian nationals.</p> <p>Staff is entitled to reimbursement of VAT in accordance with the relevant rules foreseen for resident officials of international organizations in Hungary, up to 300.000 HUF of value of VAT/year during the first 2 years of employment in Hungary.</p> <p>Staff – with the exception of Hungarian nationals – are entitled to import from their last country of residence or from the country of which they are nationals, free of duty and without prohibitions or restrictions, within 12 months from the date of establishment of normal place of residence in the customs territory of the European Union, furniture and personal effect, including motor vehicles, which shall be registered under diplomatic plates.</p>	<p>There is no European School in Budapest or at a reasonable distance from Budapest. Also, there is no European section in a national school. On the basis of current information, this situation is not foreseen to change in the coming years.</p> <p>CEPOL Governing Board decided that CEPOL shall pay the school fees. As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations. The costs covered by CEPOL shall be:</p> <ul style="list-style-type: none"> - the registration and attendance fees - the school transportation costs. <p>All other costs are excluded, in conformity with Commission Decision C (2004)131-53-2004 on general implementing provisions for the grant of the education allowance.</p>

5.3 European schools

There is no European School in Budapest or at a reasonable distance from Budapest. Also, there is no European section in a national school. On the basis of current information, this situation is not foreseen to change in the coming years. This would lead to the situation where staff members of CEPOL are disadvantaged for not being able to avail their children with education in their mother tongue compared to staff members of other EU institutions and bodies where there is a European school close to their place of employment. It would also be extremely difficult to promote geographical balance among the staff of agency if there would not be a facility to provide schooling of the children of staff in a different language than Hungarian. Based on these considerations, the CEPOL Governing Board decided that CEPOL shall pay the school fees. As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations. The costs covered by CEPOL shall be registration and attendance fees and school transportation costs. All other costs are excluded, in conformity with Commission decision C (2004)131-53-2004 on general implementing provisions for the grant of the education allowance.

5.4 Evaluation

An evaluation of the agency was submitted to the Budgetary Authority on 22 March 2011.

The main findings of the latest evaluation available are as follows: In terms of conclusions and recommendations it is worth noting that the evaluators have found a break in the Agency's development in 2009. From 2006 to 2009, CEPOL has reasonably well delivered outputs, however, with deficiencies in the functioning of the Secretariat. Following a management change in 2009, identified deficiencies were addressed, and CEPOL has assumed a more forward looking and strategic stance on its development. The main recommendations are: clarify the CEPOL intervention logic; streamline governance and rationalise structures; strengthen the CEPOL Secretariat; merge capacity building for law enforcement; assess Member State engagement with CEPOL; concentrate capacity building efforts; and measure results and impacts.

The full evaluation report can be found on the CEPOL website:

http://www.cepola.europa.eu/fileadmin/website/newsroom/publications/CEPOL_5_Year_Evaluation.pdf

A new evaluation of the agency is expected to be carried out by 2015 considering the anticipated review of the CEPOL mandate.

2.2.1.3.7 *European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice - EU_LISA*

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice	OJ L 286, 1.11.2011, p.1	Tasks of the Agency as defined in Articles 1 to 9 of the establishing Regulation: the operational management of SIS II, VIS and Eurodac; certain tasks related to the communication infrastructure (namely: supervision, security and coordination of relations between Member States and the provider) and tasks related to monitoring, reporting and statistics related to these systems; tasks relating to the training on the technical use of these systems and training of experts on the technical aspects of SIS II in the framework of Schengen evaluation; tasks relating to the preparation, development, operational management of new large-scale information systems, if so provided by relevant legislative instruments and training on the technical use of these systems, as appropriate; monitoring of research; carrying out of pilot schemes (upon the specific and precise request of the Commission).

1.2 Seat

The seat of the Agency is in Tallinn, Estonia. The tasks relating to development and operational management of IT systems are carried out in Strasbourg, France (technical site). A backup site is installed in Sankt Johann im Pongau, Austria.

1.3 Budget Line

18 02 07 : European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu-LISA)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	75	74	75	74
Establishment plan posts : AST	45	45	45	44
Establishment plan posts : AST/SC				
Total Establishment plan posts	120	119	120	118
Contract Agents	6	6	8	12
Seconded National Experts	6	5	6	8
TOTAL STAFF	132	130	134	138

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	72 809 100	80 282 000
Additional EU funding: ad hoc grants and Delegation agreements	3 500 000	

Other Revenue	643 800	0
TOTAL REVENUES	76 952 900	80 282 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	15 605 353	15 605 353	15 992 366	15 992 366
Title 2 - Infrastructure and operating expenditure	17 932 000	17 932 000	11 532 000	11 532 000
Title 3 - Operational expenditure	43 415 518	43 415 518	52 757 634	52 757 634
TOTAL EXPENDITURE	76 952 871	76 952 871	80 282 000	80 282 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15								1		
AD 14		1		1		1		1		1
AD 13		2		2		2		2		2
AD 12		3		3		3		3		3
AD 11		1		2		1		3		3
AD 10		4		3		5		5		5
AD 9		7		8		6		9		9
AD 8		1		3		10		12		12
AD 7		24		22		16		13		13
AD 6		2		1		11		14		14
AD 5		30		29		20		11		12
AD TOTAL		75		74		75		74		74
AST 11										
AST 10										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 9								1		1
AST 8						1		1		1
AST 7		2		2		1		2		2
AST 6				1		4		6		6
AST 5		15		14		12		12		12
AST 4		4		4		11		12		12
AST 3		23		23		15		10		10
AST 2		1		1		1		0		0
AST 1										
AST TOTAL		45		45		45		44		44
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		120		119		120		118		118
GRAND TOTAL		120		119		120		118		118

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	4	4	6	10
Function Group III	2	2	2	2
Function Group II	0		0	
Function Group I	0		0	
TOTAL	6	6	8	12

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	6	5	6	8

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	57 179 893	72 809 100	81 920 408	80 282 000	10,26%
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		643 800	p.m.	p.m.	-100%
- Of which EEA/EFTA (incl. Switzerland)		643 800	p.m.	p.m.	-100%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS		3 500 000			-100%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)		3 500 000			-100%
5 ADMINISTRATIVE OPERATIONS	12 313				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	57 192 206	76 952 900	81 920 408	80 282 000	4,33%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (2)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	13 081 106	15 605 353	15 992 366	15 992 366	2,48%
Salaries & allowances	11 857 219	13 803 753	14 031 922	14 031 922	1,65%
- Of which establishment plan posts	11 301 373	12 842 416	12 748 751	12 748 751	-0,73%
- Of which external personnel	555 846	961 337	1 283 171	1 283 171	33,48%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (2)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	54 248	88 000	217 000	217 000	146,59%
Mission expenses	420 020	300 000	300 000	300 000	0,00%
Socio-medical infrastructure	278 397	1 013 600	1 043 444	1 043 444	2,94%
Training	471 222	400 000	400 000	400 000	0,00%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	11 845 545	17 932 000	11 532 000	11 532 000	-35,69%
Rental of buildings and associated costs	4 118 016	13 040 000	5 240 000	5 240 000	-59,82%
Information, communication technology and data processing	4 313 067	1 450 000	1 450 000	1 450 000	0,00%
Movable property and associated costs	90 265	115 000	115 000	115 000	0,00%
Current administrative expenditure	168 752	280 000	280 000	280 000	0,00%
Postage / Telecommunications	13 846	10 000	10 000	10 000	0,00%
Meeting expenses	245 268	240 000	240 000	240 000	0,00%
Running costs in connection with operational activities					
Information and publishing	692 169	400 000	400 000	400 000	0,00%
Studies					
Other infrastructure and operating expenditure (1)	2 204 162	2 397 000	3 797 000	3 797 000	58,41%
Title 3 - Operational expenditure	104 319 791	43 415 518	54 396 042	52 757 634	21,52%
Share System Infrastructure	1 301 223	4 510 000	6 002 000	6 002 000	33,08%
SIS II	29 063 595	2 153 371	11 225 000	10 225 000	374,84%
VIS	56 867 154	27 029 421	30 138 408	29 500 000	9,14%
EDAC	10 483 553	1 010 978	2 825 000	2 825 000	179,43%
External Support Services related to Core Systems	5 651 810	3 806 500	2 745 634	2 745 634	-27,87%
Meeting and Missions related to Core Services	460 058	715 248	720 000	720 000	0,66%
Training related to operations	492 398	690 000	740 000	740 000	7,25%
New Systems		3 500 000			-100%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (2)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
TOTAL	129 246 442	76 952 871	81 920 408	80 282 000	4,33%

(1) Combines: External Support Services; Security

(2) All fund sources combined

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (2)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 766 473	15 605 353	15 992 366	15 992 366	2,48%
Salaries & allowances	11 857 219	13 803 753	14 031 922	14 031 922	1,65%
- <i>Of which establishment plan posts</i>	<i>11 301 373</i>	<i>12 842 416</i>	<i>12 748 751</i>	<i>12 748 751</i>	<i>-0,73%</i>
- <i>Of which external personnel</i>	<i>555 846</i>	<i>961 337</i>	<i>1 283 171</i>	<i>1 283 171</i>	<i>33,48%</i>
Expenditure relating to Staff recruitment	49 386	88 000	217 000	217 000	146,59%
Mission expenses	393 510	300 000	300 000	300 000	0,00%
Socio-medical infrastructure	67 210	1 013 600	1 043 444	1 043 444	2,94%
Training	399 148	400 000	400 000	400 000	0,00%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 929 816	17 932 000	11 532 000	11 532 000	-35,69%
Rental of buildings and associated costs	2 813 790	13 040 000	5 240 000	5 240 000	-59,82%
Information, communication technology and data processing	2 273 551	1 450 000	1 450 000	1 450 000	0,00%
Movable property and associated costs	71 659	115 000	115 000	115 000	0,00%
Current administrative expenditure	149 681	280 000	280 000	280 000	0,00%
Postage / Telecommunications	13 612	10 000	10 000	10 000	0,00%
Meeting expenses	117 358	240 000	240 000	240 000	0,00%
Running costs in connection with operational activities					
Information and publishing	396 458	400 000	400 000	400 000	0,00%
Studies					

EXPENDITURE	Payment appropriations				
	Executed Budget 2014 (2)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure (1)	1 093 707	2 397 000	3 797 000	3 797 000	58,41%
Title 3 - Operational expenditure	34 124 676	43 415 518	54 396 042	52 757 634	21,52%
Share System Infrastructure	114 575	2 510 000	3 687 148	3 687 148	46,90%
SIS II	7 794 732	8 153 371	9 795 000	8 795 000	7,87%
VIS	22 262 112	20 029 421	33 246 654	32 608 246	62,80%
EDAC	3 050 807	4 010 978	3 870 000	3 870 000	-3,51%
External Support Services related to Core Systems	307 278	3 806 500	2 337 240	2 337 240	-38,60%
Meeting and Missions related to Core Services	393 481	715 248	720 000	720 000	0,66%
Training related to operations	201 691	690 000	740 000	740 000	7,25%
New Systems		3 500 000			-100%
TOTAL	53 820 965	76 952 871	81 920 408	80 282 000	4,33%

(1) Combines External Support Services and Corporate Security

(2) All fund sources combined

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget: EUR 0.

4 Justification of needs

Commission assessment

Human Resources

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

The request of the Agency complies with the Communication programming for the Establishment Plan posts (118 Temporary Agents) and the request for four additional contract agents and two SNEs in view of the cuts in the establishment plan posts is approved by the Commission.

Financial Resources

The Commission's proposal for the 2016 EU contribution to eu-LISA builds on the request of the Agency and takes also account of the past performance in terms of payments under-execution.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In accordance with Communication COM(2013)519, the Agency will be considered at cruising speed in 2016, and will be forced to cut staff from the establishment plan (2 TAs in 2016). In order to absorb and minimise such cuts, and to address operational requirements, the Agency intends to strengthen its external personnel (non-establishment plan posts) by recruiting Contractual Agents as follows: network administrators for Testa-NG; corporate business continuity specialist; and HR specialist for the preparation of the Smart Borders reserve lists. In addition, two seconded national experts to enhance cooperation with the Member States will be required in 2016. The above requirements are detailed below:

Unit	Justification	Post	Grade	2016
OIU	TESTA-NG	Network administrator	CA FG IV	6
SECURITY	Cyber and business continuity	Cyber specialist, Corporate business continuity specialist	CA FG IV	1
HRTU	Smart Borders	HR Officer	CA FG IV	1
Sub-total CA FG IV				8

Smart Borders - Preparations

The Agency had initially requested in the draft estimates of revenue and expenditure a number of staff, both in the establishment plan and as external personnel, to be ready to take up additional tasks related to the Smart Borders package, in line with the conclusions of the 2014 Commission's Technical Study. Further to this initial proposal, the Commission expressed the view that such an increase, while justified in principle, cannot be considered before the legal framework and its accompanying financial statement would become available. As a further step, an amending budget would be proposed in October 2016 to address the increase. The point was discussed in detail in the Management Board on 18 March 2015, with the conclusion that there is a risk of delayed start of the Smart Borders activities should the necessary human resources be not available to the Agency in due time. In conclusion, the Agency does not include in the present estimates costs related to increase in staffing for the Smart Borders.

4.1.2 Salary assumption for calculating salary line (% applied)

The Title 1 budget has been calculated taking into account actual costs (using historical data from 2014) of the TAs, CAs and SNEs deployed in Tallinn and Strasbourg. Precise figures for the staff members of each grade and step have been taken from the Establishing Plan for 2015, considering correction coefficient and planned promotions. For 2016, in consideration of the length of the recruitment procedure, costs for 6 months of additional staff requested by the Agency have been added accordingly.

4.1.3 Vacancy rate of end 2014

The vacancy rate at the end of 2014 was 0,83% for 120 posts in the Establishment Plan (Temporary Agents) and 1,5% for the total number of staff (132) planned in 2014 (including the Contract Agents and the Seconded National Experts).

4.1.4 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Chapter 1.1 Staff expenditure: Staff costs in the approved establishment plan (118 TA after the mandatory reduction of two TAs) in Title 1 have been calculated taking into account actual costs (using historical data from 2014) of the TAs deployed in Tallinn and Strasbourg. In the costs assessment the following data have been taken into account: basic salary based on current grades and steps; current correction coefficient in Strasbourg and Tallinn; actual allowances paid in 2014 (family, expat, school, annual leave etc.); and maximum promotions level allowed by Staff Regulations. As regards approved external personnel appropriations (8 CAs and 6 SNEs), all calculation of the needs was based on historical data from 2014 (basic salary with relevant grades and all applicable allowances paid in 2014). The level of the planned appropriations reflects the estimate of the 2015 promotion exercise. Overall, the level of appropriations would not significantly differ from the 2014 budget, at EUR 12.7 million.

Chapter 1.2 Expenditure for recruitment: linked with the proposed preparations for the Smart Borders package, the Agency will organise a substantial number of recruitment procedures in order to establish reserve lists, resulting in an increase of appropriations to EUR 217 000 compared to EUR 88 000 in the 2015 budget. Chapter 1.3 Mission expenses: as in previous years, EUR 300,000 have been allocated for regular administrative missions for the staff, mainly to cover costs of missions between Strasbourg, Tallinn and Brussels. Chapter 1.4 Socio-medical infrastructure: following historical data on socio-medical expenses, EUR 1 043 444 have been allocated to cover annual medical check-up for staff, nursery allowance, costs of European Schools in Strasbourg and Tallinn and welfare activities. Chapter 1.5 Training: training of staff would remain at EUR 400 000, the same level of 2015.

4.2.2 Title 2

Chapter 2.1 Rental of buildings and associated costs: in 2016, the expenditure related to the infrastructure and the running costs on the three Agency sites (i.e. the Headquarters in Tallinn, the technical site in Strasbourg and the backup site in St Johann im Pongau) will decrease in comparison with year 2014 and 2015. The vast majority of the Title 2 budget in 2014 and 2015 was dedicated to the one-off project for building reconstruction in Strasbourg, estimated at EUR 21.5 million. An additional EUR 2 million is requested for 2016 to cover any contingency costs related to unforeseen changes in the design of the building as foreseen in the contract. A provision for an external consultancy to perform the technical direction and quality assurance of works in Strasbourg is listed in section 2.10 below. All running costs for expenditure for premises in Tallinn, Strasbourg, and St Johan im Pongau are estimated as substantially unchanged compared to previous years. Such expenditure will cover costs of electricity, utilities, technical maintenance of facilities and minor renovations. In the case of premises in Austria, the costs are to cover costs of rented premises in accordance with the technical agreement in force with the Austrian Government. In total EUR 5.24 million is requested to cover all expenditure related to premises in all three locations.

Chapter 2.2 Information, communication technology and data processing: EUR 1.45 million is requested, mostly to cover costs of further development and maintenance of corporate IT infrastructure. This provision is needed to cover costs of telephones (landline and GSM), internet, replacement of hardware, licences for software etc. It also includes corporate network adaptations in both Strasbourg and Tallinn. Chapter 2.3 Movable property; Chapter 2.4 Current administrative expenditure; Chapter 2.5 Postage and Chapter 2.6 Meeting expenses: no changes in comparison to 2014 and 2015 are foreseen for costs allocation for regular administrative costs: movable property and associated costs (furniture and other technical equipment) - EUR 115 000, current administrative expenditure - EUR 280 000, postage and telecommunication - EUR 10 000, and Management Board meetings and other corporate related meetings - EUR 240 000. Chapter 2.7 Information and publishing: these appropriations are meant to cover the costs for translation and publishing of statutory document, as well as visibility and communication expenditure. They are estimated at the same level of 2014.

Chapter 2.8 Other infrastructure and operating expenditure: this chapter includes two subdivisions in the Agency budget structure: a. External support services, which will be required for consultancies, staff augmentation or interim services. Based on costs of relevant profiles in Agency's framework contract, it is estimated that EUR 1 million will be needed each year to cover external IT corporate services; and b. Corporate Security: EUR 970 000 is requested to cover corporate security needs. An increase of EUR 150 000 in comparison to previous years is due to increased services planned by Security Sector during the implementation of the reconstruction project in Strasbourg. The costs of security services in Tallinn will be the same.

4.2.3 Title 3 – Commitment appropriations

In 2016 eu-LISA aims to ensure 24x7 availability and stability of the systems under its management. At the same time Agency will continue to increase added value of the systems and services provided to the Member States through their evolutions. In particular, the Agency plans to sign new MWO framework contracts for corrective, adaptive and evolutive maintenance of VIS-BMS and EURODAC as well as will continue implementation of the MWO for SIS II. In addition, the Agency will continue its efforts for consolidation and optimization of technical architecture of the systems in order to increase efficiency of their management and added value to the Member States. At the same time in 2016 Agency plans to implement several horizontal projects. They aim to optimise management of the systems entrusted to eu-LISA, enhance infrastructure synergies, prepare for common infrastructure dedicated contract management, improve services delivered to the Member States, and improve internal organisation and tool integration. These projects are essential for the Agency in order to improve efficiency of its operations and management of the systems under its responsibility.

Chapter 3.0 Shared systems infrastructure: in total, EUR 6 million is requested, mostly for common shared backup facilities (EUR 3 million) and common virtualized test platforms and test tools (EUR 1 million).

Chapter 3.1 SIS II: existing commitments already ensure the delivery of regular corrective and adaptive maintenance during 2016 (essential to guarantee availability and stability of the system 24/7). Additional commitments are needed in order to address different studies and implementations in order to obtain, at least, more capacity, active to active technology, biometrics capabilities, DWH interfaces and a central system simulator (plus the associated technical support). The total amount requested is EUR 10 225 000.

Chapter 3.2 VIS/BMS: in 2016 the final extension of the current framework contract covering for the MWO and evolutions of the VIS and BMS will come to an end and has to be replaced with a new framework contract. It shall provide the necessary coverage for services with regards to corrective and adaptive maintenance for the system as well as its further evolutions. At the end of the current contract, based on current MWO contract costs EUR 11 million in

new commitment appropriations shall be available to allow for the signature of the necessary specific contracts covering the corrective and adaptive maintenance of the VIS and BMS for the initial duration of 12 months the new framework contract. In addition, EUR 5 million in new commitment appropriations will be necessary for the MWO contractors system's technical handover and new MWO contractor setup. Regarding system's evolutions, EUR 4 million in new commitment appropriations will initially have to be allocated for essential upgrades of the VIS and BMS. In addition, in 2016 (amounting to EUR 2.5 million) for a project to establish 'active-active' mode of operation for VIS will be launched. This project is of critical importance to address business need of having down time of VIS close to zero after accomplishment of the global roll out in 2015.

Chapter 3.3 EURODAC: the Agency successfully delivered the required changes stemming from the recast of the EURODAC Regulation in 2015. The contract concluded in 2014 contained a package of maintenance services (adaptive and corrective maintenance) for the period until mid-2016, which will be extended in 2015 for the next 24 months (until June 2017). In 2016 a study for EURODAC will be delivered to establish further technical and functional evolution of the system. Additionally, it is necessary to upgrade to the latest version of COTS and decommission the old EURODAC backup facility. In addition, in 2016 the process of granting access of the law-enforcement authorities will continue. Finally, a joint proof of concept with EASO is expected to be delivered. It aims to explore possibility to enable mobile access to the system and its added value to the work of border and asylum management authorities. For these projects a total amount of EUR 150 000 is allocated.

Chapter 3.4 External support services related to core systems: in early 2015 eu-LISA signed a framework contract for external resources which will provide the agency with a tool to flex in and out its operational team and address short-term resource needs through the year. These needs are related to the core tasks of the Agency as defined in its Establishing Regulation. For 2016 the Agency is expected to use short-term external resources in the areas of Quality Assurance, Software testing, Project & Programme Management and to provide specialised technical expertise to address specific operational needs. The estimated value for the above services related to Core Systems is EUR 2.7 million. Chapter 3.5 Meetings and missions related to operations: EUR 720 000 were allocated in order to cover 2016 needs for operational meetings (i.e. Advisory Group meetings) and operational missions to BCU. Chapter 3.6 Training related to operations: EUR 740 000 are foreseen to cover costs of training directly related to the operation.

4.2.3 Title 3 – Payment appropriations

The actual needs of the Agency for payment appropriations in 2016 amount to EUR 63 594 443 in Title 3. This takes account of past budget execution, including for amounts carried forward.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	European Union House, Rävala 4, Tallinn, Estonia	eu-LISA is occupying the 6th floor of the building
Surface area (in square metres)	Surface area (in square meters) - 1443.5 m ²	
Of which office space	Of which office space - 564.8 m ²	
Of which non-office space	Of which non-office space - 878.7 m ²	
Annual rent (in EUR)	0	eu-LISA reimburses only the running costs
Type and duration of rental contract	Negotiated by the Government of Estonia	until September 2017
Host country grant or support	Yes	Rent is paid by the Estonian Ministry of Interior
Present value of the building	EU House value is 32 000 000 EUR	Data from insurance company

	Name, location and type of building	Other Comment
Information to be provided per building:	18 Rue de la Faisanderie 67100 Strasbourg, France	n/a
Surface area (in square metres)	2211.85 m ²	
Of which office space	853.20 m ²	
Of which non-office space	1.358.65 m ²	
Annual rent (in EUR)	n/a	
Type and duration of rental contract	n/a	
Host country grant or support	No	Note: Building and 3 plots of surrounding land was donated by the French government in December 2012.
Present value of the building	Building value: 775,000 € Land value: 1,490,000 €	
	Name, location and type of building	Other Comment
Information to be provided per building:	Austrian Central Federal Back-Up Center in Sankt Johann im Pongau, Austria	Back-up facility
Surface area (in square metres)	618m ²	
Of which office space	223m ²	
Of which non-office space	1.395m ²	
Annual rent (in EUR)	EUR 483.726	
Type and duration of rental contract	until October 2017	
Host country grant or support	No	
Present value of the building	Unknown	

5.1.2 Building project in the planning phase

In March 2013 eu-LISA's Management Board decided to fully upgrade the existing data centre in Strasbourg which houses the CUs of eu-LISA's large scale IT systems; and construct a new independent office building to accommodate existing and future forecasted eu-LISA staff members, as well as welfare and training facilities. The decision on the procurement procedure was made based on a tripartite risk assessment report. The negotiated procedure "Upgrade and Extension of the eu-LISA operational site in Strasbourg" was launched by eu-LISA on 13/08/2014 with four pre-selected economic operators invited; the deadline to submit tenders was set on 04/11/2014. The procedure was concluded in March 2015. The amount of the core components of the contract is EUR 21,5 million. Besides the core components, the contract foresees a contingency budget representing 15% of the core contract: EUR 3.2 million.

5.1.3 Building projects submitted to the European Parliament and the Council

The Agency has formally submitted to the Budgetary Authority its building project file referred to above.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
ESTONIA: n/a (seat agreement awaiting ratification)	ESTONIA: n/a (seat agreement awaiting ratification)	European School functioning as of September 2013
FRANCE: n/a (seat agreement awaiting ratification)	FRANCE: n/a (seat agreement awaiting ratification)	European School in Strasbourg

Agency privileges	Privileges granted to staff	
AUSTRIA: in accordance with EU protocol of privileges and immunities	n/a (no permanent staff)	n/a (no permanent staff)

5.3 European schools

Children of eu-LISA staff attend the European Schools in Tallinn or in Strasbourg. In 2014 eu-LISA signed an agreement with the European Commission for the financial contribution to the European Schools in Tallinn and Strasbourg, which covers the yearly contribution eu-LISA has to pay on the basis of the number of pupils from eu-LISA staff. For the school year 2015/2016 it is expected that the contribution of eu-LISA to the schools in Tallinn and Strasbourg will be approximately EUR 270 000. However, more precise calculations will be available in August 2015 when the reports from the European Schools are received.

5.4 Evaluation

The first evaluation of the agency is planned in 2015.

2.2.1.3.8 European Asylum Support Office - EASO

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 439/2010 of the European Parliament and of the Council establishing a European Asylum Support Office	19 May 2010	<p>EASO's mission is to contribute to the implementation and development of the CEAS by providing support and facilitating, coordinating and strengthening practical cooperation among Member States as an independent centre of expertise on asylum.</p> <p>In order to fulfil its mission, EASO's main tasks are: providing practical and technical support to Member States and the EU Institutions; providing operational support to Member States that have specific needs and to Member States subject to particular pressure on their asylum and reception systems caused by sudden and extra-ordinary situations of arrivals on their territory; and providing scientific input for EU policymaking and legislation in all areas having a direct or indirect impact on asylum and migration.</p>

1.2 Seat

Valetta (Malta)

1.3 Budget Line

18 03 02 : European Asylum Support Office (EASO)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	37	34	45	47
Establishment plan posts : AST	14	14	14	14
Establishment plan posts : AST/SC		0		0
Total Establishment plan posts	51	48	59	61
Contract Agents	21	19	23	27
Seconded National Experts	14	12	12	12
TOTAL STAFF	86	79	94	100

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	15 123 360	15 538 600
Additional EU funding: ad hoc grants and Delegation agreements	322 681	0
Other Revenue	631 000	843 000
TOTAL REVENUES	16 077 041	16 381 600

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 308 360	6 308 360	7 180 600	7 180 600
Title 2 - Infrastructure and operating expenditure	2 637 000	2 637 000	2 704 000	2 704 000
Title 3 - Operational expenditure	6 178 000	6 178 000	6 497 000	6 497 000
TOTAL EXPENDITURE	15 123 360	15 123 360	16 381 600	16 381 600

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15								1		1
AD 14		1		1		1				
AD 13										
AD 12										
AD 11		1				1		1		1
AD 10		4		3		4		5		5
AD 9		4		4		4		3		3
AD 8		8		8		8		8		8
AD 7		9		9		13 (1)		13		13
AD 6		3		3		5		7		5
AD 5		7		6		9		9		11
AD TOTAL		37		34		45		47		47
AST 11										
AST 10										
AST 9										
AST 8										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7										
AST 6										
AST 5										
AST 4		2		2		2		5		2
AST 3		6		6		6		7		6
AST 2		1		1		1		3		2
AST 1		5		5		5		4		4
AST TOTAL		14		14		14		19		14
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		51		48		59		66		61
GRAND TOTAL		51		48		59		66		61

(1) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	8	7	10	12
Function Group III	8	8	8	10
Function Group II	3	2	3	3
Function Group I	2	2	2	2
TOTAL	21	19	23	27

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	14	12	12	12

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	0	0	0	0	◆
2 EU CONTRIBUTION	12 100 000	15 123 360 (1)	16 628 000	15 538 600	2,75%
- Of which assigned revenues deriving from previous years' surpluses	138 543		773 393	773 000	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	335 349	627 000	840 000	840 000	33,97%
- Of which EEA/EFTA (excl. Switzerland)	335 349	627 000	840 000	840 000	33,97%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	661 780	322 681	0	0	-100,00%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	661 780	322 681	0	0	-100,00%
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	10 845	4 000	3 000	3 000	-25,00%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	10 845	4 000	3 000	3 000	-25,00%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	0	0	0	0	◆
7 CORRECTION OF BUDGETARY IMBALANCES	0	0	0	0	◆
TOTAL	13 107 974	16 077 041	17 471 000	16 381 600	1,89%

(1) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 757 577	6 308 360	7 517 000	7 180 600	13,83%
Salaries & allowances	4 574 725	5 253 360	6 345 000	6 008 600	14,38%
- Of which establishment plan posts	3 380 715	3 753 360 (1)	4 695 000	4 480 100	19,36%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which external personnel	1 194 010	1 500 000	1 650 000	1 528 500	1,90%
Expenditure relating to Staff recruitment	247 608	200 000	300 000	300 000	50,00%
Mission expenses	350 000	150 000	200 000	200 000	33,33%
Socio-medical infrastructure	155 121	200 000	187 000	187 000	-6,50%
Training	32 747	80 000	80 000	80 000	0,00%
External Services	396 376	420 000	400 000	400 000	-4,76%
Receptions, events and representation	1 000	5 000	5 000	5 000	0,00%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	2 212 029	2 637 000	2 954 000	2 704 000	2,54%
Rental of buildings and associated costs	662 903	955 000	1 020 000	1 020 000	6,81%
Information, communication technology and data processing	422 088	400 000	550 000	400 000	0,00%
Movable property and associated costs	63 639	116 000	90 000	90 000	-22,41%
Current administrative expenditure	986 867	1 026 000	1 189 000	1 089 000	6,14%
Postage / Telecommunications	76 532	140 000	105 000	105 000	-25,00%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	5 782 675	6 178 000	7 000 000	6 497 000	5,16%
Support for the CEAS implementation	375 278	580 000	955 000	955 000	64,66%
Support for MS practical cooperation	2 804 629	3 157 000	3 755 000	3 252 000	3,01%
Support for MS under particular pressure	2 485 582	2 291 000	2 140 000	2 140 000	-6,59%
Cooperation with partners and stakeholders	117 186	150 000	150 000	150 000	0,00%
TOTAL	13 752 281	15 123 360	17 471 000	16 381 600	8,32%

(1) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 432 167	6 308 360	7 517 000	7 180 600	13,83%
Salaries & allowances	4 573 878	5 253 360	6 345 000	6 008 600	14,38%
- Of which establishment plan posts	3 380 715	3 753 360 (1)	4 695 000	4 480 100	19,36%
- Of which external personnel	1 193 163	1 500 000	1 650 000	1 528 500	1,90%
Expenditure relating to Staff recruitment	244 949	200 000	300 000	300 000	50,00%
Mission expenses	285 001	150 000	200 000	200 000	33,33%
Socio-medical infrastructure	31 841	200 000	187 000	187 000	-6,50%
Training	10 721	80 000	80 000	80 000	0,00%
External Services	285 287	420 000	400 000	400 000	-4,76%
Receptions, events and representation	490	5 000	5 000	5 000	0,00%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	1 576 538	2 637 000	2 954 000	2 704 000	2,54%
Rental of buildings and associated costs	572 862	955 000	1 020 000	1 020 000	6,81%
Information, communication technology and data processing	247 485	400 000	550 000	400 000	0,00%
Movable property and associated costs	35 171	116 000	90 000	90 000	-22,41%
Current administrative expenditure	657 714	1 026 000	1 189 000	1 089 000	6,14%
Postage / Telecommunications	63 306	140 000	105 000	105 000	-25,00%
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	3 453 373	6 178 000	7 000 000	6 497 000	5,16%
Support for the CEAS implementation	416 446	580 000	955 000	955 000	64,66%
Support for MS practical cooperation	1 701 719	3 157 000	3 755 000	3 252 000	3,01%
Support for MS under particular pressure	1 286 273	2 291 000	2 140 000	2 140 000	-6,59%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Cooperation with partners and stakeholders	48 935	150 000	150 000	150 000	0,00%
TOTAL	10 462 078	15 123 360	17 471 000	16 381 600	8,32%

(1) Including Draft Amending Budget No. 5 to budget 2015 - COM(2015)241 of 13.5.2015

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 773 393.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

In order to help face the crisis situation in the Mediterranean, EASO is reinforced through Draft Amending Budget No. 5 to budget 2015 (COM(2015)241 of 13.5.2015). The 4 additional establishment plan posts are maintained in 2016 as part of a new policy development which does not enter in the calculation of the 5% staff reduction target set out in the Inter-institutional Agreement. Two other establishment plan posts have been added to cover the increase of workload of EASO, mainly for the operational support to Member States with specific or emergency needs as well as a smooth implementation of the Common European Asylum System.

Financial Resources

The credits necessary to cover the additional posts are added to the level of appropriations foreseen in the Commission Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Asylum and migration are high on the EU political agenda. The legal framework of the Common European Asylum System (CEAS) adopted by the European Parliament and the Council of the EU in 2013 needs to be transposed and fully implemented in the coming years. The Strategic guidelines for the development of the area of Justice and Home Affairs adopted by the European Council state that “the full transposition and effective implementation of the CEAS is an absolute priority”. Moreover, the Heads of State and Government stressed that “the EU commitment to international protection requires a strong European asylum policy”. In this context, the Strategic Guidelines of the European Council call for a “reinforced role for EASO, particularly in promoting the uniform application of the acquis”. In addition, the Political guidelines for the next European Commission presented by President Juncker underline that “the newly agreed CEAS needs to be fully implemented”. President Juncker has expressed his intention “to explore possibilities to use EASO to assist third countries and Member States authorities in dealing with refugees and asylum requests in emergency situations”.

EASO’s mandate is to support the coherent implementation of the CEAS. Once the asylum package has been adopted, EASO is a key player in order to translate legislation into practice and thus make the CEAS a reality in the EU. EASO is an EU instrument of mutual trust, solidarity and responsibility on asylum. EASO supports Member States through common training, common Country of Origin Information, practical cooperation in the asylum processes, operational actions (including in emergency situations), as well as through the provision of information and analysis on the situation of asylum in the EU. In this context, EASO is a human resource intensive Agency as it provides expertise and information to Member States, not equipment or infrastructure. A reinforced role of EASO requires reinforced capacity, particularly on staff, to further develop its activities. Moreover, EASO has augmented its activities and tasks in response to growing and new needs and demands of the EU Institutions and the Member States (e.g. provision of updated data and analysis on trends, operational assistance on the ground to specific Member States, development pilot projects on joint processing of applications, delivery of updated training on asylum, involvement of EASO in the Task Force Mediterranean, increased participation in external dimension activities within the Global Approach to Migration and Mobility, etc.). Furthermore, the external evaluation which will be completed in 2015 is assessing, inter alia, the

potential need for a revision of EASO's mandate and, hence, of its founding Regulation. Any changes in this regard will require adequate resources in 2016.

- Profiles of the requested additional staff members

Administrator function group (2AD6, 1AD7): the increase of human resources in the AD function group is justified by the need of technical and analytical expertise in order to strengthen the capacities of EASO, with particular reference to the following areas:

Early warning and Preparedness System (EPS): EASO is requested to reinforce its capacity to provide information and produce documentation on the situation of asylum in the EU, in general, and of individual Member States' asylum and reception systems. Moreover, Article 33 of the recast Dublin Regulation foresees additional competences for EASO in order to develop an early warning and preparedness system. In order to fulfil these needs, EASO will require the engagement of an additional AD 6 to perform duties of EPS Analyst.

Operational support to Member States with specific or emergency needs: from the experience gathered since EASO was created the request for operational support to Member States has increased substantially and will continue in the context of the need to transpose and apply the recast EU asylum acquis. In 2016, EASO has to further develop its special support measures to Member States with specific needs related to the implementation of the recast EU asylum acquis, including training needs. Moreover, the Dublin III Regulation foresees a reinforced role for EASO in crisis management situations through operational support. Finally, the Task Force Mediterranean requests EASO to set up projects on joint processing of asylum applications as well as a project on facilitators of human smuggling and trafficking. EASO activities in the field of joint processing started at the end of 2014. The results of the preliminary pilots have resulted in further requests for actions by the EU Institutions and the Member States. In this context, in order to perform these tasks, EASO will require an additional AD 7 working as Joint Processing Officer.

External dimension: in line with its External Action Strategy, EASO has started its targeted activities on external dimension within the framework of the EU external relations policy. The President of the European Commission has called for a reinforced role of EASO in the external dimension. EASO should increase its activities on capacity building to third countries and on initiatives within the Global Approach on Migration and Mobility. EASO has been encouraged, among others through the Task Force Mediterranean, to increase its participation in EU resettlement actions and in Regional Development and Protection Programmes. The Task Force Mediterranean has also called for enhanced cooperation with third countries, including in the field of asylum. Furthermore, EASO is encouraged to develop activities within Mobility Partnerships with Jordan, Morocco and Tunisia. EASO is also requested to implement actions in the context of the Eastern Partnership or of the cooperation with Western Balkan countries. In order to meet these objectives, EASO will require an additional AD 6 employed as Third Country Support Officer.

Assistant function group (3AST4, 1AST3, 1AST2): in order to develop these activities, EASO requires an additional allocation of staff to provide administrative support. In this sense, 5 additional ASTs (3AST4, 1AST3, 1AST2) are required to reinforce the operational centres and thus directly supporting the operational activities. The concrete positions will be: operational support assistant; third country support assistant; joint processing assistant; data analysis assistant; and information and documentation assistant. The increase in AST posts is justified by the need to provide administrative support to the increasing operational activities. The ratio between AST and AD posts should rise in line with the situation in other Agencies in the JHA field. The number of AST at the end of 2014 was 25% of the total temporary agents, significantly below the current ratio in other similar EU Agencies and bodies.

Given the needs identified above, the envisaged structure of employees of 2016 is as follows:

- 44 Administrators including all the heads of unit and staff members with technical, legal, operational, analytical and ICT expertise relevant for the fulfilment of EASO's mandate: supporting the practical implementation of the Common European Asylum System (CEAS). Key operational functions and profiles include in particular specialists in information, documentation, analysis, operational Support, training, quality, ICT, management, legal issues, planning, and monitoring. 3 new AD are required to fulfil the objectives of the Agency in 2016;

- 19 Assistants for support tasks: 5 additional ASTs are required to reinforce the assistance functions in EASO. The increase in AST posts is justified by the need to provide administrative support to the increasing operational activities. The ratio between AST and AD posts should rise, since the number of AST at the end of 2014 was 25% of the total temporary agents, below the current ratio in many Agencies in the JHA field. 12 Seconded National Experts (SNE) for asylum cooperation. SNE are recruited with special expertise on operational cooperation on asylum in the different areas EASO operates in. This figure remains stable.

- 27 Contract Agents for operational and administrative tasks in following categories: 12 contract agents FG IV, 10 contract agents FG III, 3 contract agents FG II and 2 contract agents FG I.

In addition, an increase in grades within the same amount of posts is requested in line with the career development and reclassification possibilities as foreseen in Annex 1 of the Staff Regulation.

4.1.2 Salary assumption for calculating salary line (% applied)

Staff will be recruited progressively in the first half year of 2016. As detailed above in the Establishment Plan (table 2.1.), staff expenditure reflects the changes arising from additional posts planned for 2016, the reclassification possibilities, allowances due, and the recent amendments to weightings.

4.1.3 Vacancy rate of end 2014

The vacancy rate as at end-2014 stood at 5.89% for temporary agents and 6.06% for external staff. Overall, the vacancy rate was 5.96%.

4.1.4 Standard abatement ('abatement forfaitaire') applied

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

The appropriations allocated under this Title aim at covering the cost for the staff already engaged (Temporary Agents, Contract Agents and SNE) and for those to be recruited during 2016, as well as missions, interim services, socio-medical infrastructure and training and career development for staff. The increase in appropriations compared to 2015 levels is a reflection of the increase in staff numbers. Entitlements have also been calculated in line with this increase for 2016 and take into account the applicable weighting factors and contributions. It should be noted that appropriations for missions include amounts intended to cover missions of Agency staff for horizontal, managerial and administrative activities, while operational missions (i.e. missions undertaken in direct support of operational activities identified in the EASO annual work programme) will be included under Title 3. In line with the request for additional human resources, EASO will progressively reduce the expenditure for interim services and ensure strengthened business continuity and development of internal expertise.

4.2.2 Title 2

Title 2 aims at covering the fixed costs and routine running administrative expenditure such as building rent, information technology equipment, administrative translations. After the substantial increase of rental costs in 2015 (first year in which EASO will pay the rent for the entire building for the full year) costs for rental of the building are expected to be stable or to increase only slightly in 2016. The increase of expenditure in Title 2 is mainly due to the expected increase in ICT maintenance and support services.

4.2.3 Title 3

Title 3 covers the operational costs of EASO related to its support activities in the field of asylum. The EASO operational tasks are laid down in the EASO founding regulation, the European Council strategic guidelines for the future development of the JHA area, and other EU relevant documents. In the second phase of the CEAS, the EU asylum acquis provides the legal basis for greater harmonisation and sets higher quality standards thereby ensuring uniform statuses, high common conditions of protection and common features in asylum procedures for those in need of international protection. Furthermore, the Strategic Guidelines for the further development of the Justice and Home Affairs area adopted by the European Council in June 2014 define the priorities and objectives in this area, calling for a stronger role of EASO, particularly, in promoting the coherent application of the acquis. Additionally, the Commission Communications entitled "An open and secure Europe: making it happen" and "on the work of the Task Force Mediterranean" identify relevant actions in the areas of responsibility of EASO.

A coherent, comprehensive and consistent implementation of the recast EU asylum package is essential in order to ensure that a genuine CEAS is achieved. In this framework, EASO's mission is to promote coherent implementation and support harmonised practices that lead to convergence regarding decisions on similar situations. In this context, in 2016 EASO will continue playing a central role as the EU centre of expertise on asylum. The Agency will support Member States and associated countries participating in EASO, as well as the EU Institutions in achieving these objectives through common training, common Country of Origin Information (COI), advanced practical cooperation measures, asylum expertise, common analyses, studies and evidence-based policy input. The relative increase in the estimated appropriations for Title 3 reflects the need to enhance the tasks attributed by the recast EU asylum acquis (e.g. the Early warning and Preparedness System under the Article 33 of the Dublin Regulation mechanism), the

foreseen increase of the operational support activities due to specific or emergency needs for Member States in the field of asylum, the implementation of activities in the external dimension and the eventual future tasks to be determined by the EU Institutions in 2015 and 2016.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	EASO, MTC Block A, Winemarks Wharf, Grand Harbour Valleta, MRS 1917, Malta	
Surface area (in square metres)	3200 sq. m.	
Of which office space	2172 sq. m.	
Of which non-office space	1028 sq. m.	
Annual rent (in EUR)	Approximately € 600,000	
Type and duration of rental contract	Lease Agreement, which commenced on 18th June 2011 and shall terminate on the 17th June 2020.	
Host country grant or support	415 987,60 for 2011-2013	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Protocol on the Privileges and Immunities of the European Union.	Protocol on the Privileges and Immunities of the European Union.	Decision of the Management Board of the European Asylum Support Office of 29 November 2013 on the Agency contribution to schooling fees for children attending a private/international school in Malta and the EASO contribution to support their integration in the local environment.
The Seat Agreement between the Government of Malta and the European Asylum Support Office.	The Seat Agreement between the Government of Malta and the European Asylum Support Office.	

5.3 European schools

Statutory staff members of EU institutions, including agencies, enjoy free access to European Schools under the condition that they have a contract of at least one year. EASO considers it necessary to provide adequate financial support to staff members whose children attend private/international schools in Malta. The Agency has signed

agreements with private/international schools in Malta to compensate for the current lack of a European School within a perimeter of 50km from EASO's headquarters. Additional agreements are expected to be signed by the end of 2015. In this sense, the Management Board adopted in November 2013 a Decision on the EASO contribution to school fees for children attending a private/international school in Malta and to support their integration in the local environment. The Decision foresees the provision of adequate financial support to staff members whose children attend these schools, also taking into account the development of a sustainable and balanced approach between the financial support to the annual school fees and the Agency's expenditure

5.4 Evaluation

In line with Article 46 of the EASO Regulation the first independent evaluation of the agency started in 2014 and the final report is expected by the end of 2015. The overall objective of the evaluation is to assess the EU value added, impact, efficiency, effectiveness and working practices of EASO in its first years of operation in the implementation of its mandate, thereby contributing to the implementation of the CEAS, including the new asylum legislative package.

In addition, an internal evaluation conducted by the European Commission took place in 2013 and provided recommendations which have been endorsed by EASO.

2.2.1.3.9 European Monitoring Centre for Drugs and Addiction - EMCDDA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EEC) 302/93	08/02/1993	The Council Regulation (EEC) N° 302/93, through which the EMCDDA was created, entered into force on 31 October 1993 and was repealed by the recast Regulation (EC) No 1920/2006.
Recast Regulation (EC) 1920/2006	12/12/2006	<p>The EMCDDA's mission is to provide the EU and its Member States with factual, objective, reliable and comparable information at European level on drugs, drug addiction and their consequences.</p> <p>To achieve its objectives, the Centre shall perform the following tasks: a) collection and analysis of existing data; b) improvement of data-comparison methods; c) dissemination of data; d) cooperation with European and international bodies and organisations and with third countries; e) identification of new developments and changing trends.</p> <p>The recast Regulation stipulates that the Centre shall focus on the following priority areas: monitoring the state of the drugs problem and emerging trends; monitoring the solutions applied to drug related problems; assessing the risks of new psychoactive substances and maintaining a rapid information system; and developing tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies.</p> <p>The EMCDDA's tasks were extended through the recast Regulation. The collection, registration and analysis work must also cover data on emerging trends in poly drug use, including the combined use of licit and illicit psychoactive substances, but also information on best practices in the Member States and the exchange of such practices among them. The EMCDDA was also required to develop tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies and strategies.</p> <p>The scope of the Centre's technical assistance was extended to certain non-Community countries such as the candidates for EU accession or the countries of the Western Balkans.</p> <p>Lastly, the recast Regulation introduced several organisational changes, such as the reference to the Vice-Chairperson of the Management Board and the Executive Committee, a new composition and selection procedure for the Scientific Committee.</p>

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

18 06 02 : European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	54	49	53	52
Establishment plan posts : AST	28	27	27	27
Establishment plan posts : AST/SC				

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Total Establishment plan posts	82	76	80	79
Contract Agents	27	24	27	30
Seconded National Experts	1	1	1	1
TOTAL STAFF	110	101	108	110

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 794 000	14 794 000
Additional EU funding: ad hoc grants and Delegation agreements	350 000	250 000
Other Revenue	539 963	616 770
TOTAL REVENUES	15 683 963	15 660 770

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	9 196 365	9 196 365	9 368 967	9 368 967
Title 2 - Infrastructure and operating expenditure	2 249 975	2 249 975	2 249 975	2 249 975
Title 3 - Operational expenditure	4 237 623	4 237 623	4 041 828	4 041 828
TOTAL EXPENDITURE	15 683 963	15 683 963	15 660 770	15 660 770

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		0		1		1		1
AD 13	1	2		2	1	2	1	2	1	2

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 12	4	10	5	7	4	10	4	10	4	10
AD 11	3	10		4	3	10	2	10	2	10
AD 10	1	13		5		14		15		14
AD 9		6	1	1		7		7		7
AD 8		2	1	5						
AD 7				11						
AD 6				6						
AD 5										
AD TOTAL	9	45	7	42	8	45	7	46	7	45
AST 11	1				1		1		1	
AST 10		2		1		2		2		2
AST 9	1	5		2	1	7	1	8	1	7
AST 8	2	6	1	1	2	7	2	7	2	7
AST 7	1	6	2	3	1	6	1	6	1	6
AST 6	1	1		2						
AST 5		2	1	9						
AST 4				3						
AST 3				1						
AST 2										
AST 1			1							
AST TOTAL	6	22	5	22	5	22	5	23	5	22
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC TOTAL										
TOTAL	15	67	12	64	13	67	12	69	12	67
GRAND TOTAL	82		76		80		81		79	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	0	0	2	5
Function Group III	11	8	9	9
Function Group II	13	13	13	13
Function Group I	3	3	3	3
TOTAL	27	24	27	30

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	1	1	1	1

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	14 793 959	14 794 000	15 447 000	14 794 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	42 959	151 000	69 000	70 000	-53,64%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	392 177	539 963	616 770	616 770	14,22%
- Of which EEA/EFTA (excl. Switzerland)	392 177	389 963	406 770	406 770	4,31%
- Of which candidate countries		150 000	210 000	210 000	40,00%
4 OTHER CONTRIBUTIONS	650 000	350 000	250 000	250 000	-28,57%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	650 000	350 000	250 000	250 000	-28,57%

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	9 105				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	9 105				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	15 845 241	15 683 963	16 313 770	15 660 770	-0,15%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	8 610 450	9 196 365	9 692 298	9 368 967	1,88%
Salaries & allowances	8 505 976	9 084 365	9 580 298	9 256 967	1,90%
- Of which establishment plan posts	7 542 951	7 809 528	8 186 653	7 957 909	1,90%
- Of which external personnel (1)	963 025	1 274 837	1 393 645	1 299 058	1,90%
Expenditure relating to Staff recruitment	16 344	12 000	12 000	12 000	0,00%
Mission expenses					
Socio-medical infrastructure					
Training	74 948	90 000	90 000	90 000	0,00%
External Services (2)	13 182	10 000	10 000	10 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 603 326	2 249 975	2 390 053	2 249 975	0,00%
Rental of buildings and associated costs	1 625 247	1 524 719	1 573 660	1 524 719	0,00%
Information, communication technology and data processing	660 091	407 096	477 096	407 096	0,00%
Movable property and associated costs	161 437	127 900	133 737	127 900	0,00%
Current administrative expenditure	46 353	47 060	53 060	47 060	0,00%
Postage / Telecommunications	89 057	114 000	123 000	114 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure (3)	21 141	29 200	29 500	29 200	0,00%
Title 3 - Operational expenditure	4 529 731	4 237 623	4 231 419	4 041 828	-4,62%
Information and Publishing (4)	648 230	620 000	637 455	620 000	0,00%
Studies	381 944	221 500	230 000	221 500	0,00%
REITOX	2 143 769	2 228 537	2 339 964	2 176 328	-2,34%
Mission expenses	237 510	236 869	210 000	210 000	-11,34%
Meeting expenses (5)	500 215	576 717	560 000	560 000	-2,90%
Receptions and events (6)	11 369	4 000	4 000	4 000	0,00%
Expenditure IPA and ENP1 projects	606 694	350 000	250 000	250 000	-28,57%
Expenditure related to IPA projects	410 047 (7)	350 000	250 000	250 000	-28,57%
Expenditure related to ENP1 projects	196 647	0	0	0	◆
TOTAL	15 743 507	15 683 963	16 313 770	15 660 770	-0,15%

(1) Includes salaries of contract agents, allowances for stagiaires and seconded national experts

(2) Includes expenditure for interim staff

(3) Includes expenses for socio-medical infrastructure (part of Title 2)

(4) Includes expenses for Publication, dissemination (B0-3111) and translation (B0-3121)

(5) Includes expenditure for Statutory meetings (B0-3171) and Technical meetings (B0-3181)

(6) Includes Representation expenses

(7) Including Carry-forwards of commitments 2013-2014 (EUR 114 972,79) and Carry-over of appropriations (EUR 108 992,08).

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	8 572 926	9 196 365	9 692 298	9 368 967	1,88%
Salaries & allowances	8 501 658	9 084 365	9 580 298	9 256 967	1,90%
- Of which establishment plan posts	7 538 633	7 809 528	8 186 653	7 957 909	1,90%
- Of which external personnel (1)	963 025	1 274 837	1 393 645	1 299 058	1,90%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	507	12 000	12 000	12 000	0,00%
Mission expenses					
Socio-medical infrastructure					
Training	60 859	90 000	90 000	90 000	0,00%
External Services (2)	9 902	10 000	10 000	10 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 929 793	2 249 975	2 390 053	2 249 975	0,00%
Rental of buildings and associated costs	1 345 799	1 524 719	1 573 660	1 524 719	0,00%
Information, communication technology and data processing	396 151	407 096	477 096	407 096	0,00%
Movable property and associated costs	79 008	127 900	133 737	127 900	0,00%
Current administrative expenditure	39 700	47 060	53 060	47 060	0,00%
Postage / Telecommunications	49 940	114 000	123 000	114 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure (3)	19 195	29 200	29 500	29 200	0,00%
Title 3 - Operational expenditure	4 461 608	4 237 623	4 231 419	4 041 828	-4,62%
Information and Publishing (4)	703 682	620 000	637 455	620 000	0,00%
Studies	224 407	221 500	230 000	221 500	0,00%
REITOX	2 288 327	2 228 537	2 339 964	2 176 328	-2,34%
Mission expenses	224 004	236 869	210 000	210 000	-11,34%
Meeting expenses (5)	479 954	576 717	560 000	560 000	-2,90%
Receptions and events (6)	1 964	4 000	4 000	4 000	0,00%
Expenditure IPA and ENP1 projects	539 270	350 000	250 000	250 000	-28,57%
Expenditure related to IPA projects	386 352	350 000	250 000	250 000	-28,57%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure related to ENP1 projects	152 918	0	0	0	◆
TOTAL	14 964 327	15 683 963	16 313 770	15 660 770	-0,15%

(1) Includes salaries of CAs, allowances for SNEs and stagiaires.

(2) Expenses for interim staff.

(3) Includes expenses for socio-medical infrastructure (part of Title 2)

(4) Publication, dissemination and translation

(5) Statutory meetings (B0-3171) and Technical meetings (B0-3181)

(6) Representation expenses

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 70 360.

4 Justification of needs

Commission assessment

Human Resources

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

The Commission proposes a reduction of EMCDDA's establishment plan in 2016 by 1 post in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years.

Financial Resources

The Commission does not support the request of the Agency and proposes the level of EU contribution which is in line with the Commission Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The EMCDDA will endeavour to fully deploy the establishment plan authorised under the previous annual budget, to effectively meet its operational needs and challenges as referred to in item 4.2.3 below. This is in line and consistent with the required objective to progressively reduce the EMCDDA staffing levels by 5% over the 2013-2017 period, as required for all EU institutions and bodies. In this context the EMCDDA establishment plan 2015 provides for 81 authorised posts and reflects the required 5% reduction, as planned to be achieved by 2018.

4.1.2 Salary assumption for calculating salary line (% applied)

N.A.

4.1.3 Vacancy rate of end 2014

7,3%

4.1.4 Standard abatement ('abatement forfaitaire') applied

N.A.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 expenditure covers the salary costs for all staff in post and the estimated supplementary expenditure needed to meet the usual adjustments required in 2016, in accordance with the applicable Staff regulations (namely: automatic staff progression to the next step; annual adjustment of the correction coefficient affecting EU staff remuneration, pursuant to the variation of the cost of living; estimated staff promotions, in accordance with the rates indicated in the

EMCDDA Staff policy plan). EMCDDA fully deploys the assigned human resources in accordance with the objective to progressively reduce the staffing levels of all EU institutions, bodies and agencies by 5% until 2018.

4.2.2 Title 2

Title 2 expenditure meets the estimated costs of the administrative and IT support services and supplies required for the effective management and functioning of the EMCDDA's premises and infrastructures, pursuant to the conditions resulting from the contract in force for the lease of these premises and taking into account in particular the efficiency gains entailed by the rationalisation of internal processes, the reduction of costs for utilities and services (namely for energy and security) and possible further synergies with EMSA.

The former building Mascarenhas has been sold to the Lisbon Metropolitan Area for EUR 2.5 million. The sale contract has been signed on 23 January 2015, following the consultation with the Budgetary Authority and the EMCDDA's Management Board. The EMCDDA continues the efforts to sub-rent unused office space in the Religio building.

4.2.3 Title 3

The year 2016 will be the first year of implementation of the EMCDDA's three-year strategy and work programme (SWP) for 2016–18. The preparation of the 2016–18 SWP has already started with a view to its adoption by the EMCDDA Management Board after the formal consultation with the European Commission. In this context the EMCDDA will need to be more proactive, with greater emphasis given to knowledge transfer, strategic analyses and threat assessments, in order to keep the pace with the dynamics that are occurring globally in the area of drugs, crime and security. These underlining forces impact on the way the EMCDDA addresses its challenges, respond to EU needs and contribute to the safeguarding of the health and well-being of European citizens. Over the last three years, the agency has successfully faced the double challenge of increased work (deriving from external factors) in a time of declining resources. During this period, there has been the need to: ensure the core data sets and analysis on which our understanding of the drug situation is based, simultaneously respond to an unprecedented growth in the tasks associated with new psychoactive substances (NPS), and improve our performance in areas such as supply reduction, analysis of the drug market, best practice, and capacity building and training for third countries.

The amount of the EU contribution of EUR 15 447 000 as requested for year 2016, will be needed for this purpose, instead of the amount foreseen for 2016 in the Commission Communication (EUR 14 794 000, i.e. the same amount as envisaged by EC for 2014 and 2015). It is assumed that the 2016 budget appropriations for the EMCDDA co-financing to the Reitox national focal points will amount to EUR 2 339 964, i.e. 5% increase as compared to the level approved by the 2015 budget.

4.3 Ad hoc grants and delegation agreements

N.A.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Caisdo Sodré, Lisbon, office building, rented	<p>Pursuant to an agreement with the Portuguese State, since 2009 an area of 673.25sqm was sublet to the latter for the use of the Jaques Delors European Information Centre (JDEIC since 2009). In late 2011 the Portuguese authorities announced their intention to relocate JDEIC's offices elsewhere and to unilaterally terminate the sub-lease contract at the stake. The early termination of the contract ending March 2012 was accepted under the condition that the Ministry would pay rent and utilities for the areas till the end of 2012 and cover the refurbishment costs. The EMCDDA advertised the available space in various real estate agencies and put up signs on the building.</p> <p>In 2012 the EMCDDA received a proposal from an established shipping agents company for the sub-renting of the aforementioned area. Finally, the company did not enter into a rental contract. In 2013 the German Embassy in Lisbon was</p>

		interested to rent the area for 8 month in 2014. A final decision by the German Foreign Affairs Ministry turned out to be negative. In 2014 two entities showed interest to rent the Palacete Relógio building. Beta I, a local NGO initially showed interest but cancelled the project in December 2014. The Egyptian Embassy in Lisbon was looking for a temporary location until the renovation of the Embassy was concluded. EMCDDA made an offer for rental in December 2014. No answer was received from the Embassy so far.
Surface area (in square metres)		
Of which office space	6 520 + 90 parking spaces	
Of which non-office space	5 846	
	674	
Annual rent (in EUR)	EUR 1 127 114.42	
Type and duration of rental contract	Rental for 25 years with option to buy	
Host country grant or support	The Host county supported the installation by providing the office furniture for the headquarters.	
Present value of the building	N.A.	
	Name, location and type of building	Other Comment
Information to be provided per building:	Santa Apolónia (Mascarenhas), Lisbon, office building,	Since 2008 the EMCDDA has been actively trying to sell or rent this building. For this purpose Intermediation contracts were signed with two real estate companies. The Portuguese authorities expressed no interest in purchasing this building. Two offers were received, one for purchasing the building in summer 2008, that was withdrawn shortly later, and one for rental was received in 2012, which has not materialized into a contract due to the lack of financing on behalf of the interested party. The building continues to be used for large technical meetings and as an alternative site for ICT business continuity, allowing some cost savings. Three offers were received in 2013. Two for rent and one for sale. The one for sale could not be completed because the buyer had financing problems. The two offers for rent could not be completed because the licencing as University could not be done in time and the other offer for rent was withdrawn due to financing problems on behalf of the buyer. One offer from a public organization was received in 2014. The offer was for sale of the building for a value of EUR 2.5 million. The sale was concluded in January 2015.
Surface area (in square metres)	2 087	
Of which office space	1 782	
Of which non-office space	305	
Annual rent (in EUR)	N.A.	
Type and duration of rental contract	N.A.	

Host country grant or support	The Host country supported the installation by providing a contribution of 600 000 EUR for the refurbishing.	
Present value of the building	Estimated around 2.5 milion EUR	

5.1.2 Building project in the planning phase

The EMCDDA sold the Santa Apolónia (Mascarenhas) building for EUR 2.5 milion. The agency is looking for a new tenant for the area formerly occupied by the JDEIC at Cais do Sodré in order to reduce its rental costs. The Egyptian Embassy asked for an offer to rent the Palacete Relógio building for a limited amount of time (1 Year) during the refurbishment of the Embassy Building. The submitted offer included a reduced price offer. The Egyptian Foreign Affairs Ministry is currently reviewing this offer.

5.1.3 Building projects submitted to the European Parliament and the Council

The EMCDDA submitted all concrete offers received for the rental or sale of the Santa Apolonia premises. One offer from a public organization was received and presented to the EP at the end of 2014. The offer was for sale of the building for a value of EUR 2.5 million. The budget authority approved the operation which was concluded in January 2015.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Portuguese Government granted the EMCDDA with diplomatic status by means of the conclusion of a seat agreement on 26th June 1996 (Protocol between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon). Through this Agreement, which entered into force in May 1998, the Portuguese Government applies the Protocol on the Privileges and Immunities of the European Communities to the EMCDDA, exempting the agency from payment of all national, regional or municipal rates and taxes as regards the fixed assets it owns or rents, as well as from customs duties and from any other taxes, prohibitions or restrictions on goods of any kind which it imports or exports in the exercise of its official business (VAT, etc.)	Protocol on the Privileges and Immunities of the European Communities is applicable to EMCDDA staff. The Protocol concluded between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon, grants the EMCDDA staff the privileges and immunities, exemptions and facilities recognised by the Portuguese State to members of a comparable category of the diplomatic corps in Portugal. As a consequence EMCDDA staff is entitled to purchase furniture and /or household aids VAT free. This exemption does not cover expenditure for food supplies and beverages, property works, including materials, water; gas; electricity, food and beverages services; hotels or similar services, fixed line telephone services. Limited exemption is granted from the payment of the Portuguese tax and VAT on the purchase and registration of vehicles.	There is no European or accredited school that can be attended free of charge in the area where the EMCDDA has its seat. As per the Memorandum of Understanding signed in 2004 by the Portuguese Government, the EMCDDA and EMSA concerning the common premises of the two agencies in Lisbon, the Portuguese Government committed itself to do its utmost (jointly with EMSA and EMCDDA) to find the best possible solution for providing schooling for the children of EMSA and EMCDDA staff. In this context it agreed to pursue either the establishment of a European School in Lisbon or the signature of partial agreements between the European School Board and the main international schools in the Lisbon area. However, difficulties have been encountered for the implementation of this solution.

5.3 European schools

N.A.

5.4 Evaluation

As per article 23 of the Recast Regulation, the Commission shall initiate an external evaluation of the Centre every 6 years and forward the evaluation report to the European Parliament, the Council and the Management Board of the EMCDDA. The last external evaluation of the agency was completed in June 2012.

The main findings of this can be resumed as follows:

As stated in the overall conclusions and recommendations, the EMCDDA has performed well during the 2007-12 period in its mission of providing the EU and Member States with factual, objective, reliable and comparable information at the European level on drugs and drug addiction and their consequences. This overall conclusion is supported by the evidence from a number of different sources including the survey work.

In relation to the various tasks set out in the EMCDDA's 2006 'recast' Regulation, the evaluation findings are generally positive. Firstly in relation to its role of providing 'factual, objective, reliable and comparable information at the European level concerning drugs and drugs addiction, and their consequences', the EMCDDA has performed strongly. In addition to the demand-side, progress was made to improve the understanding of the supply-side of the drugs problem.

The EMCDDA also performed well in relation to the second task defined for it in the 2006 Regulation, namely to 'collect, register and analyse information on emerging trends'. During the period under review, the upward trend in new psychoactive substances being detected has accelerated but the EMCDDA has kept pace with developments through its Early Warning System and related activities, providing useful information to the Commission and Member States that has been used to shape policy responses. Feedback from the research on the EMCDDA's performance in relation to the third task set out in the recast Regulation, identifying best practices in Member States and facilitating and exchange of such practices between them' is not as positive compared with the other tasks. The EMCDDA's fourth task ('to promote cooperation with other European and international bodies and with third countries') has been successfully promoted.

The result of the aforementioned evaluation can be found at the following web link:
<http://www.emcdda.europa.eu/html.cfm/index184823EN.html>

A new evaluation of the agency is expected to be carried out by 2018.

2.2.1.3.10 European Union Agency for Fundamental Rights - FRA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 168/2007	15/02/2007	<p>Collect, record, analyse and disseminate relevant, objective, reliable and comparable information and data, including results from research and monitoring communicated to it by Member States, Union institutions as well as bodies, offices and agencies of the Union, research centres, national bodies, non-governmental organisations, third countries and international organisations and in particular by the competent bodies of the Council of Europe;</p> <p>Develop methods and standards to improve the comparability, objectivity and reliability of data at European level, in cooperation with the Commission and the Member States;</p> <p>Carry out, cooperate with or encourage scientific research and surveys, preparatory studies and feasibility studies, including, where appropriate and compatible with its priorities and its annual work programme, at the request of the European Parliament, the Council or the Commission;</p> <p>Formulate and publish conclusions and opinions on specific thematic topics, for the Union institutions and the Member States when implementing Community law, either on its own initiative or at the request of the European Parliament, the Council or the Commission;</p> <p>Publish an annual report on fundamental rights issues covered by the areas of the Agency's activity, also highlighting examples of good practice;</p> <p>Publish thematic reports based on its analysis, research and surveys;</p> <p>Develop a communication strategy and promote dialogue with civil society, in order to raise public awareness of fundamental rights and actively inform about its work.</p>
Council decision No 252/2013/EU of 11 March 2013 establishing a Multiannual Framework for 2013-2017 for the European Union Agency for Fundamental Rights	11/03/2013	The Agency shall, in accordance with Article 3 of Regulation (EC) No 168/2007, carry out the tasks defined in Article 4(1) of Regulation (EC) No 168/2007 within following thematic areas: access to justice; victims of crime, including compensation to victims of crime; information society and, in particular, respect for private life and protection of personal data; Roma integration; judicial cooperation, except in criminal matters; rights of the child; discrimination based on sex, race, colour, ethnic or social origin, genetic features, language, religion or belief, political or any other opinion, membership of a national minority, property, birth, disability, age or sexual orientation; immigration and integration of migrants, visa and border control and asylum; and racism, xenophobia and related intolerance.

1.2 Seat

Vienna, Austria

1.3 Budget Line

33 02 06 : European Union Agency for Fundamental Rights (FRA)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	48	46	46	46
Establishment plan posts : AST	27	28	27	26

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AST/SC				
Total Establishment plan posts	75	74	73	72
Contract Agents	29	28	29	31
Seconded National Experts	9	8	9	9
TOTAL STAFF	113	110	111	112

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	21 229 000	21 229 000
Other Revenue	0	0
TOTAL REVENUES	21 229 000	21 229 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	11 961 000	11 961 000	12 425 000	12 425 000
Title 2 - Infrastructure and operating expenditure	2 218 000	2 218 000	2 240 000	2 240 000
Title 3 - Operational expenditure	7 050 000	7 050 000	6 564 000	6 564 000
TOTAL EXPENDITURE	21 229 000	21 229 000	21 229 000	21 229 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14						1		1		1
AD 13		3		1		2		2		2

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 12		9				10		10		10
AD 11				6						
AD 10		15		2		14		14		14
AD 9		11		5		11		11		11
AD 8		1		9		1		1		1
AD 7		5		15		4		4		4
AD 6		3		7		2		2		2
AD 5										
AD TOTAL		48		46		46		46		46
AST 11										
AST 10		1				1		1		1
AST 9						3		3		3
AST 8		3		3		3		3		3
AST 7		8		4		7		7 (1)		6
AST 6		4		2		12		12		12
AST 5		1		9						
AST 4		9		8		1		1		1
AST 3				2						
AST 2		1								
AST 1										
AST TOTAL		27		28		27		27		26
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC TOTAL										
TOTAL		75		74		73		73		72
GRAND TOTAL	75		74		73		73		72	

(1) FRA proposes to maintain the same number of posts for 2016 as in 2015. However, in case the budget authority decides to reduce the posts, FRA could consider releasing one AST 7 position.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	18	8	18	19
Function Group III	9	15	9	10
Function Group II	2	5	2	2
Function Group I				
TOTAL	29	28	29	31

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	9	8	9	9

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	21 229 000	21 229 000	21 653 580	21 229 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	120 000	74 000	156 946	156 000	110,81%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	279 000				

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 508 000	21 229 000	21 653 580	21 229 000	0,00%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 235 881	11 961 000	12 425 000	12 425 000	3,88%
Salaries & allowances	10 043 460	10 524 000	11 063 000	11 063 000	5,12%
- Of which establishment plan posts	7 906 589	8 063 000	8 609 000	8 609 000	6,77%
- Of which external personnel	2 136 871	2 461 000	2 454 000	2 454 000	-0,28%
Expenditure relating to Staff recruitment	24 722	114 000	74 000	74 000	-35,09%
Mission expenses	197 000	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	47 005	48 000	48 000	48 000	0,00%
Training	175 000	240 000	240 000	240 000	0,00%
External Services	58 892	65 000	65 000	65 000	0,00%
Receptions, events and representation	4 438	5 000	5 000	5 000	0,00%
Social welfare	609 080	675 000	680 000	680 000	0,74%
Other Staff related expenditure	76 284	90 000	50 000	50 000	-44,44%
Title 2 - Infrastructure and operating expenditure	2 472 866	2 218 000	2 240 000	2 240 000	0,99%
Rental of buildings and associated costs	1 296 833	1 130 000	1 131 000	1 131 000	0,09%
Information, communication technology and data processing	842 202	774 000	807 000	807 000	4,26%
Movable property and associated costs	150 933	39 000	36 000	36 000	-7,69%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Current administrative expenditure	46 236	67 000	65 000	65 000	-2,99%
Postage / Telecommunications	120 296	169 000	160 000	160 000	-5,33%
Meeting expenses	6 116	9 000	11 000	11 000	22,22%
Running costs in connection with operational activities					
Information and publishing					
Studies	10 250	30 000	30 000	30 000	0,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 809 335	7 050 000	6 988 580	6 564 000	-6,89%
Freedoms	1 668 895	1 420 000	710 000	710 000	-50,00%
Equality	3 966 962	2 981 000	1 038 580	1 038 580	-65,16%
Justice	478 598	744 850	2 765 000	2 340 420	214,21%
Horizontal operational activities	1 252 389	1 275 000	1 745 000	1 745 000	36,86%
Bodies of the Agency and consultation mechanisms	442 491	490 000	475 000	475 000	-3,06%
Reserve for Title 3		139 150	255 000	255 000	83,26%
TOTAL	21 518 082	21 229 000	21 653 580	21 229 000	0,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 235 881	11 961 000	12 425 000	12 425 000	3,88%
Salaries & allowances	10 043 460	10 524 000	11 063 000	11 063 000	5,12%
- Of which establishment plan posts	7 906 589	8 063 000	8 609 000	8 609 000	6,77%
- Of which external personnel	2 136 871	2 461 000	2 454 000	2 454 000	-0,28%
Expenditure relating to Staff recruitment	24 722	114 000	74 000	74 000	-35,09%
Mission expenses	197 000	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	47 005	48 000	48 000	48 000	0,00%
Training	175 000	240 000	240 000	240 000	0,00%
External Services	58 892	65 000	65 000	65 000	0,00%
Receptions, events and representation	4 438	5 000	5 000	5 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Social welfare	609 080	675 000	680 000	680 000	0,74%
Other Staff related expenditure	76 284	90 000	50 000	50 000	-44,44%
Title 2 - Infrastructure and operating expenditure	2 472 866	2 218 000	2 240 000	2 240 000	0,99%
Rental of buildings and associated costs	1 296 833	1 130 000	1 131 000	1 131 000	0,09%
Information, communication technology and data processing	842 202	774 000	807 000	807 000	4,26%
Movable property and associated costs	150 933	39 000	36 000	36 000	-7,69%
Current administrative expenditure	46 236	67 000	65 000	65 000	-2,99%
Postage / Telecommunications	120 296	169 000	160 000	160 000	-5,33%
Meeting expenses	6 116	9 000	11 000	11 000	22,22%
Running costs in connection with operational activities					
Information and publishing					
Studies	10 250	30 000	30 000	30 000	0,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 809 335	7 050 000	6 988 580	6 564 000	-6,89%
Freedoms	1 668 895	1 420 000	710 000	710 000	-50,00%
Equality	3 966 962	2 981 000	1 038 580	1 038 580	-65,16%
Justice	478 598	744 850	2 765 000	2 340 420	214,21%
Horizontal operational activities	1 252 389	1 275 000	1 745 000	1 745 000	36,86%
Bodies of the Agency and consultation mechanisms	442 491	490 000	475 000	475 000	-3,06%
Reserve for Title 3		139 150	255 000	255 000	83,26%
TOTAL	21 518 082	21 229 000	21 653 580	21 229 000	0,00%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 156 946.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes a reduction of the FRA's establishment plan in 2016 by 1 post in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years. The Commission supports the FRA's request for extra Contractual Agents (CA) up to a level of 2 additional FTEs, reaching a total of 31 CA, to cater for the agency's growing workload in areas such as migrant and Roma integration, rights of persons with disabilities, and internal

security analysis (incl. radicalisation). This proposed staff category corresponds to the tasks to be undertaken by the additional personnel. It is proposed to maintain the number of Seconded National Expert at the previous years' levels, i.e. 9 FTEs.

Financial Resources

The EU contribution to the FRA in 2016 is kept stable at the level authorised for 2015.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency became a hub of knowledge in the area of fundamental rights for the EU Institutions and the Member States. Continuously, the Agency's key stakeholders are requesting additional data and evidence based advice. In order to accommodate these requests, the Agency needs increasing its resources accordingly. The Agency is developing its work in cooperation with Commission's services on migrant integration. A relevant large scale project was added to the Annual Work Programmes of 2015 and 2016. The work, which is expected to continue, will collect and analyse data and information from all EU Member States on migrant integration and develop relevant rights-based indicators drawing data from FRA's EU-MIDIS II survey and Eurostat.

In addition, the Agency has expanded its work together with Commission's services (DG JUST, DG EMPL and DG REGIO) and 17 Member States developing Roma integration indicators and collecting relevant data in cooperation with national statistical offices. The work feeds into the Commission's assessment of Roma integration in reference to Council Recommendation on effective Roma integration measures in the member states (EPSCO Council meeting, 9 and 10 December 2013) for informing Country Specific Recommendations in the context of the European Semester. Following the EU Monitoring Framework on the UN Convention of persons with Disabilities and the increased demand from its stakeholders, the Agency has expanded its data collection activities, including legal analysis, in regard to the rights of persons with disabilities, as reflected in the 2016 Annual Work Programme.

In order to cope effectively and efficiently with the increased work, as detailed above, the FRA would like to obtain four additional contract agent positions of long term employment in function group IV as follows:

One programme manager-social science (1CA FGIV) in the Equality and Citizen's Rights department. Given the Agency's increased work, as detailed above, involving primary research data collection, utilising different social science research methodologies, it is essential that the Agency expands its pool of experienced social scientists with a thorough knowledge of different research methodologies. The new staff member should also be experienced in social science fields related to human rights, and should have worked with or on different 'user' groups. The proposed function group for this position is in line with the importance of the tasks to be undertaken.

Two programme managers-legal science (2CA FGIV) in the Equality and Citizen's Rights department. Given the FRA's increased work involving legal analysis, in particular of case law, it is essential that FRA recruits two experienced legal experts with a thorough knowledge of fundamental rights and European Union law. The proposed function group for these positions is in line with the importance of the tasks to be undertaken.

One socio-legal specialist (CA FGIV) in the Freedoms and Justice department. The Agency is also increasingly requested by EU institutions to provide a fundamental rights-based analysis of key developments in the field of internal security. These requests have grown since 2014 in the light of developments in relation to data protection and retention, PNR, and radicalisation – for example. More specifically, the Agency has been requested to undertake research with respect to national intelligence authorities and mass surveillance with regard to the existence of fundamental rights oversight mechanisms, and has also been asked to look at the question of radicalisation in the context of new projects - including the Agency's survey research. Given the above, it is essential that the Agency expands its pool of experienced legal experts with a thorough knowledge of fundamental rights and European Union law. The proposed function group for this position is in line with the importance of the tasks to be undertaken.

4.1.2 Salary assumption for calculating salary line (% applied)

1% increase was applied on the current salary grid.

4.1.3 Vacancy rate of end 2014

1.33% (74 posts out of 75 were occupied)

4.1.4 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title I amounts to EUR 12 425 000, which corresponds to a 4% increase compared to 2015. This increase refers mainly to the fact that in 2016 the Director's post is expected to be occupied for the full year after having been vacant for a large part of 2015. Expenditure related to the Director's recruitment like removal, daily subsistence allowances, and installation allowance have been estimated for 2016. Moreover, two more Contract Agent posts have been estimated through the Agency's computer software. During the last years of its use, surpluses under Title I have been kept low compared to previous years where the estimation was done based on average cost per staff. The remainder of Title I is estimated based on actual needs taking into consideration, where appropriate, the 2014 executed budget, the total number of staff and their family situation.

4.2.2 Title 2

Title II amounts to EUR 2 240 000 representing a 1% increase compared to 2015. This difference is foreseen to cover the regular price indexation.

4.2.3 Title 3

The EU contribution requested exceeds the amount foreseen in the financial programming 2014-2020 by 2%. The Agency's EU contribution remained the same for the four years. This additional 2% intends to cover part of the regular inflation that occurred since 2013 and will enable the Agency to maintain its operational budget at previous financial years' levels. Further details are provided under 4.2. The estimate of expenditure under Title III amounts to EUR 6 988 580, representing 32% of the overall budget for the financial year 2016. This percentage remains at the same level compared to 2015 (i.e. 33%).

Immigration and integration of migrants, visa and border control and asylum. In 2016, the FRA will build on the work done in previous years and use the results of its research findings to inform policy making processes at the EU level as well as operational activities of relevant EU agencies. The Agency's project on Severe forms of Labour Exploitation, which was initiated in 2013, encompasses victims of exploitation who are both EU and non-EU citizens. Initial results from the research will be reported in 2015-16. Importantly, in 2016 the FRA will extend its work with respect to the project on "Biometric data in large IT databases in the area of borders, visa and asylum - fundamental rights concerns", with the initiation of fieldwork research that will analyse the fundamental rights implications in collecting, storing and using biometric and other data in large IT databases in the area of borders, visa and asylum. This project will also relate to visa policies, as it intends to cover the Visa Information System. In addition to the above, the Agency's survey on selected ethnic minority and immigrant groups in the EU (EU-MIDIS II) will include data collection related to the field of integration – which will be at the stage of fieldwork data collection in 2015. The results of this work will provide valuable evidence on the situation on the ground concerning integration. Furthermore, the Agency will continue its related project on "Social inclusion and migrant participation in society", which develops relevant indicators and provides (on the basis of secondary data collection) valuable contextual information for the analysis of the statistical results of EU-MIDIS II.

Racism, discrimination on all grounds and Roma Integration. In 2016 FRA will continue to collect data on all grounds of discrimination as well as racism, xenophobia and related intolerances including hate crime and extremism, update its annual overview report on antisemitism and disseminate the results of its work on racism examining the possibilities of covering other grounds of discrimination. The results of the qualitative research into the views and perceptions of 'public authorities' vis-à-vis LGBT issues will continue feeding into evidence based advice activities in 2016. FRA will also develop targeted analysis regarding experiences of racist discrimination and criminal victimisation, including harassment, of the EU-MIDIS II survey statistical results, part of which will be to collect data on the Roma in selected EU Member States, along with covering other immigrant and ethnic minority groups. In addition, qualitative data will be collected through FRA's action research and engagement project on local Roma integration actions (LERI). In 2016 will continue and deepen its work with the Commission services (DG JUST, DG EMPL and DG REGIO) and 17 Member States to develop indicators on Roma integration testing the indicators in close cooperation with relevant national and regional statistical offices. In parallel, the Agency will continue providing evidence based advice to Member States on monitoring and evaluation of Roma integration efforts.

Rights of the Child. Following up to the publication of the results of its large scale project on "Children and Justice" based on fieldwork research involving interviews with adults and children in 2012-2014 FRA will engage in several activities in close cooperation with the European Commission and the Council of Europe to communicate the results and provide evidence based advice to Member States and other stakeholders. During 2016 FRA will build on the mapping of national child protection systems conducted and published in 2015 to develop more in depth analysis and liaising with relevant actors provide evidence based advice. In parallel, the FRA will continue supporting the

Commission's efforts working with Eurostat and national statistical offices in order to develop justice related data disaggregated by age. In 2016 the Agency will start working in close cooperation with Commission services to further develop child rights indicators and analyse data on child well-being and poverty. In parallel the Agency will disseminate the Handbook on European Law on the Rights of the Child, which was completed in 2015.

Justice, victims of crime and judicial cooperation. The Agency's work with respect to access to justice commenced in 2009 with research and subsequent reports related to access to justice in cases of discrimination as well as more broadly concerning National Human Rights Institutions. FRA's work on support services for victims of crime, which commenced in 2011, continues in 2016 with the presentation of evidence-based advice after the initial publication of results in 2014 and 2015. The Agency has worked closely with the Commission to ensure that the research results feed into parallel developments concerning the transposition of the Victims' Directive during this period. A new project is also underway entitled "Rights of crime victims to have access to justice – a comparative analysis", which aims to analyse victims' role in the justice systems of selected Member States. This project builds on the Agency's previous work on victim support services, which was more narrowly framed. The results from these projects complement the Agency's survey work that collects data on, for instance, victims' experiences of reporting crime, such as the EU-wide violence against women survey, which has provided a rich database for different users in consideration of women's experiences of violence, and with regard to policing and justice responses to violence against women in the context of core fundamental rights. The results of the survey will continue to be communicated in the course of 2016 – particularly as the Agency will release the micro dataset from the survey, for general public use, in the course of 2015.

Building on the Agency's existing survey research, the fundamental rights survey was initiated in 2014, starting with desk research, which is followed by a pre-test study in the course of 2015. Fieldwork data collection for the survey will begin in 2016. The survey will provide the first EU-wide comparative data on EU citizens' values, attitudes and experiences in relation to fundamental rights – covering different areas of everyday life such as consumer protection, good administration, data protection and knowledge of and access to complaints mechanisms (for example). The results from the survey will feed into fundamental rights indicators across key areas of the Agency's work. An Agency handbook on access to justice will be launched in 2016, which will be the next in a series of successful handbooks for practitioners that the Agency has developed together with the Council of Europe. A project related to people sentenced or awaiting trial in another Member State continues in 2016, with the focus on dissemination of identified promising practices. In parallel, the project on the right to interpretation and translation and the right to information will also be finalised in 2016 with the dissemination of results. A project is also considered for development (3rd priority) on improved access to justice through, for example, widened legal standing, pro bono support, and e-justice.

Information Society, Privacy and Data Protection. From 2010 onwards, the Agency provide its key stakeholders with important relevant data, which contributed to policy developments such as the EU data protection reform. The FRA report on data protection authorities and remedies in the area of data protection supported the EU legislator enhancement of the EU data protection framework. Furthermore, the Agency provided the EU institutions with legal opinions, which improved the fundamental rights compliance of draft legislations. In the course of 2014-15, based on a request by the European Parliament, the Agency undertook research on national intelligence authorities and fundamental rights safeguards with respect to mass surveillance. This work is expected to continue in 2016, and builds on research undertaken by the Agency on national data protection authorities and individuals' recourse to remedies in the case of breaches in relation to personal data. The Agency is increasingly requested by EU institutions to provide a fundamental rights-based analysis of key developments in the field of internal security. These requests have grown since 2014 in the light of developments in relation to data protection and data retention, PNR, and radicalisation – for example. More specifically, the Agency has been asked to look at the question of radicalisation in the context of new projects – including the Agency's EU-MIDIS survey research – as it relates to key fundamental rights considerations such as privacy and data protection.

4.3 Ad hoc grants and delegation agreements

No grants and delegation agreements have been estimated for 2016.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Schwarzenbergplatz11, Vienna, AT-1040, Austria	None
Surface area (in square metres)	5,546	It should be noted that the offices are accommodated in an old building which is renovated keeping its original layout and does not provide any luxurious modern amenities. In addition, the price per m2 for the net office space (i.e. 3704 m2) is on the lower end of the average prices in central Vienna areas.
Of which office space	3,704	
Of which non-office space	1,842	
Annual rent (in EUR)	804.245,85	None
Type and duration of rental contract	Lease agreement for an initial period of 10 years with the provision for an indefinite period.	None
Host country grant or support	Based on a letter of intent received from the Austrian authorities, the host country will subsidise the rent by 1/3.	None
Present value of the building	N/A	None

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency is recognised as an international organisation.	The Director, the Heads of Department, the Heads of Sector and two designated senior staff members with grades AD9 or above, have been granted diplomatic status. They can claim VAT reimbursement and register tax free vehicles every two years.	In the absence of a European School and a European Sector In Austria the Agency has adopted two social measures: one regarding financial support to nursery schools and day care centres, and another one to Multi-lingual tuition for children of the Agency staff In Vienna.
The Agency enjoys VAT exemption.	The Agency's staff members (the remaining Temporary Agents and all Contract Agents) can register a tax free vehicle every four years.	-
No other privileges are offered to the Agency.	The Agency has been granted access to the UN Commissary, where staff members can purchase certain tax free items up to a limit based on their annual salary in accordance with the UN conditions.	-

5.3 European schools

N/A

5.4 Evaluation

In accordance with article 30.3 of FRA's Founding Regulation, in 2012 the Agency undertook an independent external evaluation of its achievements during the first five years of operations on the basis of terms of reference issued by the Management Board in agreement with the Commission.

The main findings of the latest evaluation available are as follows:

Effectiveness: FRA has clearly fulfilled its mandate in addressing the needs for full respect of fundamental rights in the framework of European Union law. Based on the findings, FRA fulfils to a high extent its mandate to collect record and analyse relevant, objective, reliable and comparable information and data relating to fundamental rights issues in the European Union and its Member States. The quality of FRA's publications is generally considered to be very good by stakeholders. Concerning FRA's role in providing input to the legislative process at the European level, there were several voices in support of an increased role for the agency in providing opinions on future legislation on a more regular basis.

Utility: the evaluation findings point towards a clearly favourable assessment in terms of the FRA's ability to effectively help institutions, bodies, offices and agencies of the Union to ensure full respect of fundamental rights in the framework of the Union law. Survey, interviews and case studies strongly suggest that FRA has been successful in meeting the needs of EU level stakeholders. In terms of the extent to which FRA publications on project results have been taken into account by relevant EU the evaluation shows a mixed result: while contribution was assessed as high at the EU-level, the results were much less positive at the national and local level.

Added value: FRA is considered to be in a unique role as a provider of comparative, EU-wide studies. The agency is acknowledged for concentrating on topics that are not covered by other similar actors, and its position as an independent EU Agency gives their work additional backing.

Coordination and coherence: FRA has established effective procedures for coordination and cooperation which ensure coherence of policies and activities with stakeholders at all levels. The European Commission, the European Parliament and the relevant EU agencies all expressed positive views with regards to collaboration with FRA. The results of the evaluation indicate that FRA is engaging fairly well with non-governmental organisations and institutions of civil society.

Efficiency: the evaluation findings show an overall positive evolution in terms of FRA's internal organisation, operations and working practices towards efficiency since its establishment until present. Overall, the evaluation findings show that FRA has developed into a well-functioning organisation, with adequate management structures, planning procedures and control systems. The adequate functioning of the agency has been confirmed by the Internal Audit Services and Court of Auditors, who express overall trust in the agency's procedures and systems in the audit reports.

The Management Board, in agreement with the Commission, shall determine the timing and scope of subsequent external evaluations, which shall be carried out periodically.

2.2.1.3.11 European Institute for Gender Equality - EIGE

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC)1922/2006 of the European Parliament and of the Council on establishing a European Institute for Gender Equality	20/12/2006	<p>The Institute's mission is to become the European competence centre on gender equality issues.</p> <p>The Institute has been designed to contribute to and strengthen the promotion of gender equality, including gender mainstreaming in all EU policies and the resulting national policies, and the fight against discrimination based on sex, and to raise EU citizens' awareness of gender equality by providing technical assistance to the EU institutions and the authorities of the Member States, which cannot be sufficiently achieved by the Member States and can therefore, by reason of the scale of the action, be better achieved at EU level, the EU may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary to achieve those objectives.</p> <p>The Institute shall collect, analyse and disseminate relevant objective, comparable and reliable information as regards gender equality, including results from research and best practice. The Institute shall set up and coordinate a European Network on Gender Equality, involving the centres, bodies, organisations and experts dealing with gender equality and gender mainstreaming.</p> <p>The Institute shall make information on gender mainstreaming available to public and private organisations; and provide information to the EU Institutions on gender equality and gender mainstreaming in the accession and candidate countries</p>

1.2 Seat

Vilnius, Lithuania

1.3 Budget Line

33 02 07 : European Institute for Gender Equality (EIGE)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	23	22	23	22
Establishment plan posts : AST	6	7	6	6
Establishment plan posts : AST/SC				
Total Establishment plan posts	29	29	29	28
Contract Agents	9	9	9	10
Seconded National Experts	6	2	6	5
TOTAL STAFF	44	40	44	43

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	7 628 000	7 628 000
Additional EU funding: ad hoc grants and Delegation agreements	p.m.	p.m.
Other Revenue	0	0
TOTAL REVENUES	7 628 000	7 628 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 171 500	3 171 500	3 053 300	3 053 300
Title 2 - Infrastructure and operating expenditure	1 043 760	1 043 760	973 672	973 672
Title 3 - Operational expenditure	3 412 740	3 412 740	3 601 028	3 601 028
TOTAL EXPENDITURE	7 628 000	7 628 000	7 628 000	7 628 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13		1		1		1		1		1
AD 12										
AD 11		1		0		1		1		1
AD 10		1		2		1		1		1
AD 9		1		0		2		3		3
AD 8		6		4		5		5		5
AD 7		4		4		4		4		4

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 6		1		3		3		4		4
AD 5		8		8		6		3		3
AD TOTAL		23		22		23		22		22
AST 11										
AST 10										
AST 9										
AST 8										
AST 7						2		2		2
AST 6		2		2						
AST 5		3		0		3		4		4
AST 4		1		5		1				
AST 3										
AST 2										
AST 1										
AST TOTAL		6		7		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		29		29		29		28		28
GRAND TOTAL		29		29		29		28		28

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	3	2	3	3
Function Group III	4	5 (1)	4	5
Function Group II	2	2	2	2
Function Group I				
TOTAL	9	9	9	10

(1) One CA FG III engaged under the IPA program is not included in the number.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	6	2	6	5

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	0				
2 EU CONTRIBUTION	7 334 111	7 628 000	7 628 000	7 628 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	0	164 000	101 000	101 000	-38,41%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	0				
- Of which EEA/EFTA (excl. Switzerland)	0				
- Of which candidate countries	0				
4 OTHER CONTRIBUTIONS	82 100				
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	0				
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)	82 100	p.m.	p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS	7 117	p.m.	p.m.	p.m.	
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	5 970	p.m.	p.m.	p.m.	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	23 312	p.m.	p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	7 446 640	7 628 000	7 628 000	7 628 000	0,00%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 037 826	3 171 500	3 053 300	3 053 300	-3,73%
Salaries & allowances	2 685 660	2 897 000	2 749 800	2 749 800	-5,08%
- Of which establishment plan posts	2 096 856	2 239 000	2 103 400	2 103 400	-6,06%
- Of which external personnel	588 804	658 000	646 400	646 400	-1,76%
Expenditure relating to Staff recruitment	9 971	18 500	18 500	18 500	0,00%
Mission expenses	41 370	40 000	40 000	40 000	0,00%
Socio-medical infrastructure	25 000	29 700	29 700	29 700	0,00%
Training	58 920	55 000	70 000	70 000	27,27%
External Services	207 764	122 000	136 000	136 000	11,48%
Receptions, events and representation	9 141	9 300	9 300	9 300	0,00%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	914 368	1 043 760	973 672	973 672	-6,71%
Rental of buildings and associated costs	505 136	529 000	554 000	554 000	4,73%
Information, communication technology and data processing	108 705	178 800	141 000	141 000	-21,14%
Movable property and associated costs	30 253	44 000	28 000	28 000	-36,36%
Current administrative expenditure	35 827	45 960	6 300	6 300	-86,29%
Postage / Telecommunications	0	0	0	0	◆
Meeting expenses	207 740	206 000	204 372	204 372	-0,79%
Running costs in connection with operational activities	16 985	20 000	20 000	20 000	0,00%
Information and publishing	9 722	20 000	20 000	20 000	0,00%
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	3 452 331	3 412 740	3 601 028	3 601 028	5,52%
Translations and interpretation costs	155 700	177 000			-100%
Operational Missions	120 000	115 000			-100%
Comparable and reliable data and indicators on GE	1 202 161	1 399 000			-100%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Implementing GE and mainstreaming	770 774	630 000			-100%
Resource and Documentation Centre	482 107	401 000			-100%
Awareness raising, networking and communication	366 480	665 000			-100%
Effective organisation and bodies of EIGE	355 109 (1)	25 740	3 601 028 (2)	3 601 028	13890,01%
TOTAL	7 404 525	7 628 000	7 628 000	7 628 000	0,00%

(1) EUR 23 312 for External Assigned Expenses is included in the amount.

(2) Title 3 budget division for each activity area for 2016 is still under discussion.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 037 826	3 171 500	3 053 300	3 053 300	-3,73%
Salaries & allowances	2 685 660	2 897 000	2 749 800	2 749 800	-5,08%
- Of which establishment plan posts	2 096 856	2 239 000	2 103 400	2 103 400	-6,06%
- Of which external personnel	588 804	658 000	646 400	646 400	-1,76%
Expenditure relating to Staff recruitment	9 971	18 500	18 500	18 500	0,00%
Mission expenses	41 370	40 000	40 000	40 000	0,00%
Socio-medical infrastructure	25 000	29 700	29 700	29 700	0,00%
Training	58 920	55 000	70 000	70 000	27,27%
External Services	207 764	122 000	136 000	136 000	11,48%
Receptions, events and representation	9 141	9 300	9 300	9 300	0,00%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	914 368	1 043 760	973 672	973 672	-6,71%
Rental of buildings and associated costs	505 136	529 000	554 000	554 000	4,73%
Information, communication technology and data processing	108 705	178 800	141 000	141 000	-21,14%
Movable property and associated costs	30 253	44 000	28 000	28 000	-36,36%
Current administrative expenditure	35 827	45 960	6 300	6 300	-86,29%
Postage / Telecommunications	0	0	0	0	◆

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Meeting expenses	207 740	206 000	204 372	204 372	-0,79%
Running costs in connection with operational activities	16 985	20 000	20 000	20 000	0,00%
Information and publishing	9 722	20 000	20 000	20 000	0,00%
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	3 452 331	3 412 740	3 601 028	3 601 028	5,52%
Translations and interpretation costs	155 700	177 000			-100%
Operational Missions	120 000	115 000			-100%
Comparable and reliable data and indicators on GE	1 202 161	1 399 000			-100%
Implementing GE and mainstreaming	770 774	630 000			-100%
Resource and Documentation Centre	482 107	401 000			-100%
Awareness raising, networking and communication	366 480	665 000			-100%
Effective organisation and bodies of EIGE	355 109 (1)	25 740	3 601 028 (2)	3 601 028	13890,01%
TOTAL	7 404 525	7 628 000	7 628 000	7 628 000	0,00%

(1) EUR 23 312 for External Assigned Expenses is included in the amount

(2) Title 3 budget division for each activity area for 2016 is still under discussion.

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 101 479.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes a reduction of the EIGE's establishment plan in 2016 by 1 post in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years. The Commission supports the agency's proposal to convert one Seconded National Expert post into a Contractual Agent post, while maintaining the total for the external personnel at a stable level. i.e. 15 FTEs as in 2015.

Financial Resources

The EU contribution to the EIGE in 2016 is kept stable at the level authorised for 2015.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In view of the staff cuts no additional staff is requested. However with regard to external staff a request is made to replace one SNE post with one Contract Agent (FG III).

4.1.2 Salary assumption for calculating salary line (% applied)

The following assumptions were made for 2016: an Establishment Plan of 28 posts, to be reduced by 1 to 27 in 2017 (excluding IPA Contract Agent plus a request for one other Contract Agent instead of one Seconded National Expert), a coefficient corrector of 71.4 and an inflation rate of 2 %.

4.1.3 Vacancy rate of end 2014

The Institute's Establishment Plan was at 100 % by the end of 2014, indicating a vacancy rate of 0 %.

4.1.4 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 appropriations relate to Staff expenditure as foreseen in the Establishment Plan for 2016, as well as external personnel, training, missions of administrative nature. Being at the level of 100% recruitment, this Title takes into account career development possibilities for staff (in step and also in grade), and the cut of one post.

4.2.2 Title 2

This is to include rent and relevant premises services, IT, movable property, current administrative expenditure and some other operations' related services. The external meeting expenses are intended mainly to cover the cost of organisation of meetings for Management Board (with relevant interpretation services) and Experts Forum.

4.2.3 Title 3

Appropriations under Title 3 are intended to cover preparation and implementation of the Work Programme 2016, as well as operational missions required for the performance of the activities of the Institute, under its mandate. The implementation of the Work Programme to be performed by carrying out studies and collecting of data, statistics, information and good practices of gender equality, organisation of conferences, working groups and meeting with stakeholders, expanding of Resource and Documentation Centre.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	The House of EU, Gedimino pr. 16, Vilnius, Lithuania	Multipurpose building
Surface area (in square metres)	2052.13	
Of which office space	1780.79	
Of which non-office space	271.34	
Annual rent (in EUR)	379,911.77	Paid in 2014
Type and duration of rental contract	Lease contract for 5+5 years	Contract signed on 27/11/2012
Host country grant or support	No	Host country granted the premises for the first 2 years
Present value of the building	N/A	The agency does not own the building

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

N/A

5.3 European schools

N/A

5.4 Evaluation

An external evaluation of the Institute started in 2014 and will continue in 2015. The first results of the evaluation are expected in mid-2015. The final report of the evaluation will be submitted to the Budgetary Authority by the end of 2015.

2.2.1.3.12 The European Union's Judicial Cooperation Unit - EUROJUST

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
2002/187/JHA (OJ: L 63/1 06/03/2002)	28/02/2002	Eurojust's tasks comprise the coordination of multilateral investigations and prosecutions and improving and facilitating judicial cooperation in criminal matters.
2003/659/JHA (OJ L 245/44 29/09/2003)	18/06/2003	Amending Decision 2002/187/JHA on some specific provisions regarding the adoption of the budget. Tasks and objectives remain the same.
2009/426/JHA (OJ L 138/14 04/06/2009)	16/12/2008	Amending Decision 2002/187/JHA on the strengthening of Eurojust. Important changes are made, including on tasks and objectives of Eurojust.

1.2 Seat

The Hague, The Netherlands

1.3 Budget Line

33 03 04 : The European Union's Judicial Cooperation Unit (Eurojust)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	77	72	80	81
Establishment plan posts : AST	132	127	125	120
Establishment plan posts : AST/SC				
Total Establishment plan posts	209	199	205	201
Contract Agents	15	25	15	15
Seconded National Experts	35	24	35	35
TOTAL STAFF	259	248	255	251

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	32 994 000	43 409 737
Other Revenue	0	0
TOTAL REVENUES	32 994 000	43 409 737

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	16 976 924	16 976 924	18 272 716	18 272 716
Title 2 - Infrastructure and operating expenditure	7 617 612	7 617 612	16 237 603	16 237 603
Title 3 - Operational expenditure	8 399 464	8 399 464	8 899 418	8 899 418
TOTAL EXPENDITURE	32 994 000	32 994 000	43 409 737	43 409 737

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1		1		1		1		1
AD 12										
AD 11										
AD 10		6		4		7		9		9
AD 9		5		7		9		8		8
AD 8		15		15		20		22		22
AD 7		21		11		20		27		27
AD 6		23		25		18		10		11
AD 5		5		8		4		2		2
AD TOTAL		77		72		80		80		81
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7										
AST 6						1		2		2
AST 5		5		2		17		19		19
AST 4		47		20		48		62		62
AST 3		48		56		42		25		25
AST 2		31		45		16		13		11
AST 1				3						
AST TOTAL		132		127		125		122		120
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		209		199		205		202		201
GRAND TOTAL		209		199		205		202		201

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	8	7	7	7
Function Group III	2	7	3	3
Function Group II	2	9	3	3
Function Group I	3	2	2	2
TOTAL	15	25	15	15

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	35	24	35	35

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	33 667 239	32 994 000	46 536 321	43 409 737	31,57%
- Of which assigned revenues deriving from previous years' surpluses	1 243 890	410 000	591 000	591 000	44,15%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	231 355				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	2 325				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	33 898 594	32 994 000	46 536 321	43 409 737	31,57%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	18 702 393	16 976 924	20 088 979	18 272 716	7,63%
Salaries & allowances	17 867 370	16 041 258	18 973 429	17 157 166	6,96%
- Of which establishment plan posts	15 573 425	13 801 301	16 274 327	14 458 064	4,76%
- Of which external personnel (3)	2 293 945	2 239 957	2 699 102	2 699 102	20,50%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	97 664	60 000	60 000	60 000	0,00%
Mission expenses	81 305	75 000	95 000	95 000	26,67%
Socio-medical infrastructure	102 196	112 000	112 000	112 000	0,00%
Training	301 722	322 666	400 000	400 000	23,97%
External Services	180 649	283 500	361 000	361 000	27,34%
Receptions, events and representation	7 889	10 000	13 000	13 000	30,00%
Social welfare	63 598	72 500	74 550	74 550	2,83%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	7 510 633	7 617 612	17 196 203	16 237 603	113,16%
Rental of buildings and associated costs	4 668 446	4 824 000	6 987 000 (2)	6 507 700	34,90%
Information, communication technology and data processing	1 665 617	1 206 813	1 556 475	1 077 175	-10,74%
Movable property and associated costs	88 705	65 500	65 500	65 500	0,00%
Current administrative expenditure	98 955	113 000	122 700	122 700	8,58%
Postage / Telecommunications	124 233	158 298	151 328	151 328	-4,40%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure (1)	864 677	1 250 001	8 313 200	8 313 200	565,06%
Title 3 - Operational expenditure	7 393 839	8 399 464	9 251 139	8 899 418	5,95%
Meetings, seminars, training and representation	1 807 522	2 131 000	2 049 000	2 049 000	-3,85%
Operational and experts missions	1 434 370	1 802 000	2 002 000	1 822 000	1,11%
Public relations and publications	397 104	268 000	293 000	293 000	9,33%
Data and documentation expenditure	2 481 226	2 902 564	3 106 239	2 934 518	1,10%
Transaltion case work	90 420	150 500	155 500	155 500	3,32%
EJN projects, meetings and representation expenses	457 575	464 000	464 000	464 000	0,00%
JSB meetings and representation expenses	22 799	46 400	46 400	46 400	0,00%
JITs grants, meetings and other expenses	618 860	555 000	1 055 000	1 055 000	90,09%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Genocide meetings and other expenses	83 963	80 000	80 000	80 000	0,00%
TOTAL	33 606 865	32 994 000	46 536 321	43 409 737	31,57%

(1) Including cost related to the New Eurojust Premises Project

(2) Of which extraordinary costs related to Transition Period of the Interim Premises (Eurojust becomes the sole occupant of two buildings, when the ICC moves out) : EUR 1 830 000

(3) This line includes not only external personnel (a) but also supplementary services (b) : 2014 (a) EUR 1 558 193 (b) EUR 735 752 - 2015 (a) EUR 1 544 957 (b) EUR 695 000 - 2016 (a) EUR 1 585 365 (b) EUR 1 113 737

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	18 563 348	16 976 924	20 088 979	18 272 716	7,63%
Salaries & allowances	17 835 092	16 041 258	18 973 429	17 157 166	6,96%
- Of which establishment plan posts	15 573 425	13 801 301	16 274 327	14 458 064	4,76%
- Of which external personnel (3)	2 261 667	2 239 957	2 699 102	2 699 102	20,50%
Expenditure relating to Staff recruitment	92 681	60 000	60 000	60 000	0,00%
Mission expenses	79 861	75 000	95 000	95 000	26,67%
Socio-medical infrastructure	90 882	112 000	112 000	112 000	0,00%
Training	235 254	322 666	400 000	400 000	23,97%
External Services	170 570	283 500	361 000	361 000	27,34%
Receptions, events and representation	7 739	10 000	13 000	13 000	30,00%
Social welfare	51 269	72 500	74 550	74 550	2,83%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 989 008	7 617 612	17 196 203	16 237 603	113,16%
Rental of buildings and associated costs	4 159 456	4 824 000	6 987 000 (2)	6 507 700	34,90%
Information, communication technology and data processing	922 880	1 206 813	1 556 475	1 077 175	-10,74%
Movable property and associated costs	61 145	65 500	65 500	65 500	0,00%
Current administrative expenditure	92 045	113 000	122 700	122 700	8,58%
Postage / Telecommunications	101 379	158 298	151 328	151 328	-4,40%
Meeting expenses					
Running costs in connection with operational activities					

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Information and publishing					
Studies					
Other infrastructure and operating expenditure (1)	652 103	1 250 001	8 313 200	8 313 200	565,06%
Title 3 - Operational expenditure	4 843 377	8 399 464	9 251 139	8 899 418	5,95%
Meetings, seminars, training and representation	1 421 195	2 131 000	2 049 000	2 049 000	-3,85%
Operational and experts missions	1 407 417	1 802 000	2 002 000	1 822 000	1,11%
Public relations and publications	300 868	268 000	293 000	293 000	9,33%
Data and documentation expenditure	1 047 280	2 902 564	3 106 239	2 934 518	1,10%
Transalction case work	73 968	150 500	155 500	155 500	3,32%
EJN projects, meetings and representation expenses	275 221	464 000	464 000	464 000	0,00%
JSB meetings and representation expenses	22 799	46 400	46 400	46 400	0,00%
JITs grants, meetings and other expenses	226 231	555 000	1 055 000	1 055 000	90,09%
Genocide meetings and other expenses	68 398	80 000	80 000	80 000	0,00%
TOTAL	29 395 733	32 994 000	46 536 321	43 409 737	31,57%

(1) Including cost related to the New Eurojust Premises Project

(2) Of which extraordinary costs related to Transition Period of the Interim Premises (Eurojust becomes the sole occupant of two buildings, when the ICC moves out): EUR 1 830 000

(3) This line includes not only external personnel (a) but also supplementary services (b) : 2014 (a) EUR 1 558 193 (b) EUR 703 474 - 2015 (a) EUR 1 544 957 (b) EUR 695 000 - 2016 (a) EUR 1 585 365 (b) EUR 1 113 737

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 591 077.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes a net reduction of Eurojust's establishment plan in 2016 by 1 post, reflecting the following two elements: a decrease by 2 posts is due in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years, which is partially offset by an increase by 1 additional AD6 post to support the Joint Investigation Teams (JITs) in tackling trafficking networks as part of the EU response to the migratory crisis in the Mediterranean. The Commission considers this additional staff as part of a new policy development which does not enter in the calculation of the 5% staff reduction target set out in the Inter-Institutional Agreement. As regards Contract Agent posts, the Commission assesses that the level of 15 FTEs (which is the Agency's Organisational Structure Review target) should be maintained for 2016.

Financial Resources

The majority of the increase proposed by the Commission for Eurojust's budget in 2016 accounts for the ring-fenced budget of the Agency's new premises project (details at point 5.1.3 below). In its proposal for 2016, the Commission recognises also the extraordinary and unavoidable costs Eurojust would have to meet due in particular to the relocation of the Agency's co-tenant at the end of 2015, the retroactive salary and correction coefficient changes made in 2014 (which were not included at the time of the 2015 budget adoption), the increased demand for the JITs support, and the additional charges for the European School in The Hague.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In respect of staffing, Eurojust has incorporated in the Draft Establishment Plan 2016 the final post reductions required to achieve the 5% target agreed by the Budgetary Authorities. 4% of the deductions have already been achieved in 2014 and 2015 (8 posts in total). Therefore, the final reduction of 1% will be made in 2016 and the number of Temporary Agent will be reduced to 202 by 31 December 2016. The draft establishment plan 2016 also includes provisions based on the reclassification percentages per category and grade to secure the availability of grades for the reclassification exercise in 2016.

The number of Contract Agent (CA) staff will increase in 2016. This is in part due to the contractual obligations that will exist through until 2016 but also reflects the need for the extra workforce to ensure the continuity of security and facility services for the interim premises which are currently financed entirely by the co-tenant (International Criminal Court) who will relocate to their new premises end 2015 thus leaving Eurojust as the sole occupant of the premises until 2017. With the active engagement of Eurojust in multilateral terrorism cases and the emerging threat towards European-based organisations and personnel, it is imperative that security is not compromised. Eurojust will outsource the security personnel but will require Contract Agent positions for 2016/2017 to supervise these services during the sole occupancy of the interim premises.

In regard to the facilities management, Eurojust has benefitted for almost 12 years from a very generous cost-share arrangement with the International Criminal Court. This 30/70 arrangement for Eurojust has been to the benefit of European tax-payers, however with the relocation of the co-tenant, Eurojust is now faced with the costs of the actual premises and common areas occupied. Similarly the supervision and staffing of the facilities will be the responsibility of Eurojust and therefore additional Contract Agents for the interim period are requested.

A total number of 35 Seconded National Experts (SNEs) are foreseen for 2016. Provision is made for 28 SNEs to support the work of the National Desks. In addition, seven SNEs are allocated to the Administration to offer expertise knowledge and experience in the operational areas. Historically Eurojust has applied a 50% vacancy rate to the SNE budget, owing to the fact that not all desks appointed an SNE, however despite the growing caseload and emerging trends in crime, the requests for SNE's are steadily increasing and it is foreseen that the occupancy rate will increase significantly thus the budget is adjusted accordingly with an increase of €200,000 over 2015.

In addition to temporary agents, contract agents and seconded national experts, EUROJUST's non-statutory staff is composed of Prosecutors, Judges and Police Officers of equivalent competence, working at the National Desks and performing core operational activities of Eurojust. The number of non-statutory staff amounts to 69 as at 31 December 2014. Moreover, there are 2 Liaison Prosecutors (USA and Norway). There is a prospect that three more Liaison Prosecutors will join in 2015 from Switzerland, Liechtenstein and Moldova. Non-statutory staff are appointed by and paid for by the Member States.

A slight increase in the use of external service providers will be required to facilitate the development of the new premises for the period 2016-2017. In 2018, the use of external service providers should return to the normal levels. Eurojust continues to limit its dependency on interim staff and works towards exceptional use only in case of risks to business continuity for administrative support at the National Desks where absences/vacancies have to be temporarily filled with specific language requirements.

4.1.2 Salary assumption for calculating salary line (% applied)

98%: a vacancy rate of 2% is used for estimating the salary costs for 2016

4.1.3 Vacancy rate of end 2014

4,8% (including offer letters sent).

4.1.4 Standard abatement ('abatement forfaitaire') applied

2% vacancy rate applied to account for turnover and part time incumbency of posts.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Overall explanation from Eurojust for Titles 1, 2 and 3:

Under normal circumstances, Eurojust's 'regular' budget for 2016 (excluding costs related to the EUR 18.4 million envelope for the new premises i.e., EUR 6.98 million for 2016) should not exceed the total amount of EUR 32 450 000 (the financial programming of the Commission). Eurojust's net amount for the draft budget 2016 however, (without the costs covered by the EUR18.4 million envelope) currently amounts to EUR 39 556 321. This is EUR 7 106 321 more than the frozen budget foreseen in the financial programming. This difference includes also EUR 455 000 additional costs for the European School in The Hague.

Comparison 2016 proposed budget and adopted Final Budget 2015

All costs shown in thousands euros

Budget Title	2015	Draft 2016	Increase	% Increase
Title 1	16,976.9	20,088.9	3,112.0	18.3%
Title 2 (excl New Premises project)	7,427.6	10,216.2	2,788.6	37.5%
Title 3	8,399.5	9,251.2	851.7	10.1%
Common baseline	32,804.0	39,556.3	6,752.3	66.0%
New Premises Project (Title 2)	190.0	6,980.0	6,790.0	3573.7%
Common baseline	190.0	6,980.0	6,790.0	3573.7%
Absolute baseline comparisson	32,994.0	46,536.3	13,542.3	41.0%

The main reasons for this increase are caused by the following factors in 2016:

The exceptional situation relating to the Interim Premises not foreseen in the financial programming document: the relocation of the co-tenant (International Criminal Court) at the end of 2015 will end the generous cost-share arrangements Eurojust benefitted from. This will require Eurojust to assume costs for the security of the Arc building, lease, maintenance and utilities of not only office space occupied by Eurojust but also common areas previously shared with the co-tenant. The active involvement of Eurojust in criminal cases, especially terrorism, jihadism and rebel fighters, sets an imperative for the corresponding level of security to be maintained, that were previously financed in full by the co-tenant. The total amount for the interim premises in 2016 is EUR 2 005 000 including five Contract Agents to supervise/staff security, safety and facility services previously managed by the co-tenant.

Unavoidable costs related to the New Premises consultancy (in addition to the EUR 18.4 million ring-fenced financing): with the transition phase approaching increased services from the consultancy company are required to ensure adequate preparations for the accommodation and relocation to the new premises. These costs do not relate to Eurojust's mandate or operations and the capacity to absorb these costs with increasing caseload (14%) have been exhausted. Eurojust has absorbed over the duration of the New Premises project (2011-2016) EUR 4.5 million of costs within in its "regular" budget. The increase over 2015 amounts to EUR 245 000.

The exceptional situation relating to the adjustments of staff costs and contractual obligations increases the budget request by EUR 2.58 million (excluding EUR 175 000 for the 5 additional contract agents): Eurojust incorporates the Council decision to adjust the correction coefficient from 104.1 (as applicable since 2010) to 108.9 for The Hague, retroactively effective 1 July 2013 and related assumptions for projected increases in staff remuneration and emoluments in 2015 and 2016. Title 1 is also adjusted to reflect more accurately the vacancy rate anticipated for 2016 in the face of post reductions and increased retention (decrease from 8% to 2% vacancy). In the case of the retroactive adjustments, the deficit in Title 1 has been acknowledged by the Commission by way of an amending budget 2014. The situation prevails in 2015 and 2016, thus it is pertinent to budget accordingly for the known personnel costs as well as the projected increases.

Additional information clarifying the developments in the budget lines for basic salaries, allowances and insurances of temporary agents): overall increase compared to 2015: EUR 2 473 026 (or 18%). This significant increase reflects several factors including the assumptions approved by the Management Board for the 2016 budget, namely: approximately EUR 800 000 related to the retroactive salary and correction coefficient changes made in 2014 (which were not included in the 2015 baseline thus a larger annual increase seen as result of the understated 2015 costs). This increase is caused by the understated salary costs in the budget of 2014, due to the Council decision. These adjustments

were effected after the final approval of Eurojust's budget for 2014. The Commission recognised the understated budget for Eurojust for 2014 by providing additional funds (EUR 1.2 million) in November 2014, resulting in Amended Budget 2014 (dated 2 December 2014).

Approximately EUR 900 000 related to the downward adjustment of the vacancy rate from 8% in 2015 to 2% in 2016 which reflects more accurately the trend in the reduction of vacant posts. Approximately EUR 250 000 for the anticipated correction coefficient adjustment foreseen for 2015 assumed at 1.5% (not applied to the 2015 budget). Approximately EUR 125 000 for the anticipated correction coefficient adjustment in 2016 (1.5% as agreed for the budget assumptions). An additional EUR 295 000 increase is assumed for indexation of 1.9% in 2016 (as approved in the budget assumptions).

In addition, the draft budget 2016 comprises an additional amount of EUR 200 000 for SNE's reflecting the increased occupancy (lower vacancy rate) of the SNE functions provided for the National Desks in order to accommodate the increased caseload. An amount of EUR 100 000 is also included for paid internships in 2016 to ensure parity between Eurojust and other agencies in The Hague. Strengthening Joint Investigation Teams (JITs) in line with Council conclusions on Eurojust's Annual Report 2013 and increasing demands from the Member States. With an increase of EUR 500 000 Eurojust requests EUR 1 million to financially support Member States with the formation and operation of JITs that is otherwise not funded by the national authorities. An analysis of 2014 data indicates that awards are decreasing to approximately 30% of requested levels of financing (with ceilings of EUR 50 000 per applicant) whilst claims increasingly exceed awards showing a shortfall in the awards provided. A conservative estimated of needs amounts to EUR 1.14 million in order to provide a more meaningful contribution in support of Member States use of this successful cooperation instrument; Eurojust's request is therefore conservative at EUR 1 million. Increase ICT hardware and software; due to ending life cycles and additional EUR 0.6 million is required for assuring secure server and network storage areas.

In Title 1, EUR 20 088 979 is foreseen of which EUR 175 000 is related to the additional contract agents required for the transition period (Interim Premises).

4.2.2 Title 2

EUR 17 196 203 - of which EUR 6 980 000 is from the 'ring-fenced' budget for Eurojust's New premises; and EUR 1 830 000 for the extraordinary (additional) costs related to the Transfer Period concerning the Interim Premises (where Eurojust becomes the sole occupant of two buildings, when the ICC moves out).

4.2.3 Title 3

EUR 9 251 139

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

"Regular" budget and costs for the New Premises and transition costs related to the Interim premises. Eurojust will relocate to a new building in 2017. On the other hand, the development of the new premises project already started to have a budgetary impact to Eurojust's budget since 2011. The budgetary impact for Eurojust can be broken down into the following elements: a 'ring-fenced budget envelope' of EUR 18.4 million covering specific building and security requirements not provided for by the Host State; cost not covered by the 'ring-fenced budget envelope' but covered from Eurojust's 'regular' budget, including all costs required for the preparation and development related to the new premises, mostly translated in consultancy and professional expertise required for such an extraordinary and significant important project; and cost to cover the transition period (new in 2016); due to the fact that the ICC is moving out, leaving Eurojust responsible for utility, maintenance and security costs of two entire buildings, previously shared with the ICC in 2016, as well as subsequent hand-over costs in 2017.

Overview of Eurojust's budget elements during 2011-2016 (amounts x €1,000):

Budget element	2011	2012	2013	2014	2015	2016
Regular Budget (Net) (x €1,000)	30,958	32,567	31,791	31,543	31,714	36,218
Costs New Premises (covered by Regular Budget)	400	400	566	807	1,090	1,333
New Premises (€18.4 million budget envelope)	0	0	0	100	190	6,980
Additional for Transition Period	0	0	0	0	0	2,005
Total Budget	31,358	32,967	32,357	32,450	32,994	46,536

It should be noted that since 2011 Eurojust's own contributions (from its 'regular' budget) to the new premises project amount up to almost EUR 4.6 million. These investments have been made possible by severely scrutinising the work processes in search of efficiency gains across the entire organisation. The additional costs covered by the 'regular' budget for 2016 represent approximately 3% of the total budget (excluding the EUR 18.4 million envelope).

	Name, location and type of building	Name, location and type of building	Other Comment: Additional costs due to Transition Period 42	Total
Information to be provided per building:	Maanweg 174, The Hague, B-wing	Saturnusstraat 9, The Hague, floors 6-8		
Surface area (in square metres)	8 694	6 104		
- Of which office space	8 694	6 104		
- Of which non-office space	0	0		
Annual rent (in EUR)	1 478 143	882 068	1 830 000	4,190,211
Type and duration of rental contract	Until 31/03/17	Until 30/06/17		
Host country grant or support	No	No		
Present value of the building	n.a	n.a		

5.1.2 Building project in the planning phase

The plan for Eurojust New premises has already been approved (see item 5.1.3 below for details).

5.1.3 Building projects submitted to the European Parliament and the Council

Introduction

On 8 July 2010, the Minister of Justice proposed on behalf of the Host State (Kingdom of the Netherlands), that the future permanent accommodation for Eurojust will be located in the "The Hague International Zone at J.W. Frisolaan". On 30 November 2010 the College of Eurojust issued a mandate for the Programme for new premises for Eurojust. On 2 May 2011 the Council discussed the Eurojust Information Note on the New Premises and did not form an opinion. On 24 May 2011, the European Parliament Budget Committee discussed the Eurojust Information Note on the new premises. The European Parliament Budget Committee did not form an opinion. Subsequently, on 30 June 2011, a co-operation agreement was signed between Eurojust and the Host State, contractually detailing the financial, qualitative and organisational agreements and delivery for the New Premises. According to the revised planning, delivery of the

42 Due to leave of co-tenant (the ICC), Eurojust becomes the sole tenant; and 100% responsible for all rent, utility and service costs for both buildings (currently Eurojust only pays 30% according to the MoU with the ICC). A breakdown of these costs (including rent, security and service) costs is provided overpage.

new premises will be January 2017, meaning most costs will be made around this date. This results in a revised budget plan, the amendments shown below:

Execution year	Former budget planning (as shown in financial programming 2014-2020)	Revised budget planning
2014	EUR 250 000*	EUR 100 000
2015	EUR 10 900 000	EUR 190 000
2016	EUR 7 250 000	EUR 6 980 000
2017	0	EUR 11 130 000
Total	EUR 18 400 000	EUR 18 400 000

* not stated in the financial programming 2014-2020

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>1. Within the scope of its official activities, Eurojust shall be exempt from: import taxes and duties, motor vehicle tax, tax on passenger motor vehicles and motorcycles, value added tax paid on goods and services supplied on a recurring basis or involving considerable expenditure, excise duties included in the price of alcoholic beverages and hydrocarbons such as fuel oils and motor fuels, real property transfer tax, insurance tax, energy tax, tax on water mains, and any other taxes or duties of a substantially similar character as the taxes provided for this paragraph, imposed by the Kingdom of the Netherlands subsequent to date of signature of this Agreement.</p> <p>2. The Host State authorities shall exercise, as far as it is within their competence, and to the extent requested by the Administrative Director on behalf of the College, the respective powers to ensure that the Headquarters shall be supplied, on fair conditions and on equitable terms, with the necessary services including, among others, electricity, water, sewerage, gas, post, telephone, telegraph, local transportation, drainage, collection of refuse, fire protection and snow removal from public streets.</p> <p>3. The Government shall permit Eurojust to communicate freely and without a need for special permission, for all official purposes, and shall protect the right of Eurojust to do so. Eurojust and its National Members shall have the right to use codes and to dispatch and receive official correspondence and other official communications by courier or in sealed bags which shall have the same privileges and immunities as diplomatic couriers and bags.</p>	<p>1. Fiscal privileges for personnel of international organisations in the Netherlands apply to the staff of Eurojust. Different regimes apply to the Administrative Director and Heads of Units/Services and to the rest of the staff. The Administrative Director of Eurojust, Heads of Units/Services and their family members receive AO status and the rest of the staff BO status. AO status has more privileges than the staff (two cars tax free (without road taxes and/or tax free purchased) registered on their name (at the same time, no time-limit of ten years from the date of taking up duty with an international organisation in the Netherlands for the two cars, VAT/excise reimbursement, tax-free liquor, cigarettes, luxury goods and cosmetics).</p> <p>Staff is entitled to have one car tax free (without road taxes and/or tax free purchased) registered on their name during the first ten years from the date of taking up employment with the international organisation in the Netherlands.</p> <p>2. Staff is entitled to import durable goods only at the time of their first installation, being defined as the first year after taking up employment.</p> <p>3. Staff is entitled to purchase cars VAT free (1 car at the same time during the first ten years after taking up employment with the international organisation in the Netherlands).</p>	<p>1. Education allowance, payment of school fees according to the Staff Regulations. Day-care is not provided, private matter of staff members.</p> <p>2. Eurojust reimburses in full the tuition fees of staff whose children are studying at the European school in The Hague.</p>

5.3 European schools

Eurojust's estimates on the number of children of Eurojust staff that will attend the school are based on the actual circumstances of the staff. This estimate is further based on the gradually widening provision for the European School of the Hague (ESH) and a conservative extrapolation of the actual situation of staff in the current 2014/15 school year, rather than an assumption all children will attend. This projection includes reductions for inter alia children residing outside of the Hague and Dutch nationals unlikely to use ESH, known withdrawals of children from ESH, children benefitting from school allowances of the organisations of the other parent and children for which there is currently no language stream at ESH. Based on the estimated number of children, Eurojust is making the necessary budgetary provisions for the reimbursement of the fees to the Commission as per the process explained in the MSA. The estimated costs for 2016/17 school year are EUR 513 737. In regard to the ESH provision, Eurojust understands that the ceiling provided in the financial programming already provides for EUR 91 000 of these costs.

5.4 Evaluation

The Evaluation of Eurojust, in accordance with Art 41a of the Eurojust Decision was commissioned in 2014. The procurement procedure for the provision of consultancy services for the evaluation of the Eurojust Council Decision and the activities carried out by Eurojust was launched in the second quarter of 2014 with a contract signed with Ernst & Young in October 2014.

The target date for the final evaluation report to be finalised is 1 July 2015; there are no interim findings available at this time.

2.2.1.4 Decentralised Agency of heading 4 - Global Europe

2.2.1.4.1 European Training Foundation - ETF

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No. 1360/90	07/05/1990	<p>Provide assistance in the definition of training needs and priorities</p> <p>Act as a clearing house to provide information on current initiatives and future needs in the training field, and provide a framework through which offers of assistance can be channelled</p> <p>On the basis of (a) and (b) above: examine the scope for joint ventures of training assistance; fund the design and the preparation of such projects; implement, at the request of the Commission or of the eligible countries in cooperation with the governing board, vocational training programmes; for activities and projects which are funded by the Foundation: arrange for the appropriate public and/or private bodies with a proven training record and the necessary expertise to design, prepare, implement and/or manage projects on a flexible, decentralized basis; in collaboration with the Commission, assist in the monitoring and evaluation of the overall effectiveness of training assistance to the eligible countries; disseminate information and encourage exchanges of experience, with a focus on the countries of Central and Eastern Europe designated as eligible for economic aid by the Council in Regulation (EEC) No 3906/89 or in any subsequent relevant legal act.</p>
Council Regulation (EC) No.2063/90	27/07/1994	<p>Addition to ETF's geographic scope to include the independent States of the former Soviet Union and Mongolia which are the beneficiaries of the programme to assist economic reform and recovery under Regulation (Euratom, EEC) No 2053/93 or in any subsequent relevant legal act.</p>
Council Regulation (EC) No.1572/98	17/07/1998	<p>Addition to ETF's geographic scope to include the Mediterranean non-member countries and territories which are the beneficiaries of the financial and technical measures to accompany the reform of their economic and social structures pursuant to Regulation (EC) No 1488/96 or any subsequent relevant legal act.</p>
Council Regulation (EC) No. 2666 /2000	05/12/2000	<p>Addition to ETF's geographic scope to include Albania, Bosnia-Herzegovina; Croatia; the Federal Republic of Yugoslavia, the Former Yugoslav Republic of Macedonia.</p>
Council Regulation (EC) No. 1648/2003	18/06/2003	<p>Amending Regulation (EEC) No 1360/90 establishing a European Training Foundation, in order to adapt it to the new Financial regulations of ETF.</p>
Council Regulation (EC) No 1339/2008	16/12/2008	<p>Recast of Council Regulation (EC) No 1339/2008 establishing a European Training Foundation.</p> <p>Recasting of ETF Regulation in line with external assistance instruments, greater flexibility in geographic scope, and human capital development. The ETF's functions under the recast regulation are to: provide information, policy analyses and advice on human capital development issues in partner countries; promote knowledge and analysis of skills needs in national and local labour markets; support relevant stakeholders in partner countries in building capacity in human capital development; facilitate the exchange of information and experience among donors engaged in human capital development reform in partner countries; support the delivery of EU assistance to partner countries in the field of human capital development; disseminate information and encourage networking and the exchange of experience and good practice between the EU and partner countries and amongst partner countries in human capital development issues; and contribute, at the Commission's request, to the analysis of the overall effectiveness of training assistance to the partner</p>

Decision	Date	Mission/ Tasks / Functions
		countries.

1.2 Seat

Torino, Italy

1.3 Budget Line

04 03 14 : European Training Foundation (ETF)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	61	59	60	59
Establishment plan posts : AST	33	33	32	31
Establishment plan posts : AST/SC				
Total Establishment plan posts	94	92	92	90
Contract Agents	39	39	39	39
Seconded National Experts	0	0	1	1
TOTAL STAFF	133	131	132	130

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	20 144 000	20 144 000
Additional EU funding: ad hoc grants and Delegation agreements	402 000	
Other Revenue	0	0
TOTAL REVENUES	20 546 000	20 144 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 095 000	14 095 000	13 960 000	13 960 000
Title 2 - Infrastructure and operating expenditure	1 606 000	1 606 000	1 858 000	1 858 000
Title 3 - Operational expenditure	4 443 000	4 443 000	4 326 000	4 326 000
TOTAL EXPENDITURE	20 144 000	20 144 000	20 144 000	20 144 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		4				6		6		6
AD 12		10		10		12		13		13
AD 11		8		8		8		9		9
AD 10		4		5		4		6		6
AD 9		12		13		12		12		12
AD 8		8		5		8		7		7
AD 7		14		15		9		5		5
AD 6		0		1		0				
AD 5				1						
AD TOTAL		61		59		60		59		59
AST 11								2		2
AST 10		3		2		4		4		4
AST 9		8		7		9		9		9
AST 8		6		5		6		6		6
AST 7		4		4		4		4		4
AST 6		4		4		4		4		4
AST 5		5		3		5		2		2
AST 4		2		3		0				
AST 3		1		5						
AST 2										
AST 1										
AST TOTAL		33		33		32		31		31
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		94		92		92		90		90
GRAND TOTAL	94		92		92		90		90	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	6	7	7	8
Function Group III	22	21	23	24
Function Group II	11	11	9	7
Function Group I				
TOTAL	39	39	39	39

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	1	1

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION	20 143 500	20 144 000	20 144 000	20 144 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	125 000	199 000	188 000	188 000	-5,53%

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	315				
- Of which EEA/EFTA (excl. Switzerland)	315				
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	1 102 720 (1)	402 000			-100%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)	952 520	402 000			-100%
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)	150 200				
5 ADMINISTRATIVE OPERATIONS	14 553				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	14 553				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 261 088	20 546 000	20 144 000	20 144 000	-1,96%

(1) In addition the ETF has cashed EUR 124 924 as internal assigned revenue, deriving from recovery of previous expenditure (C4).

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 795 390	14 095 000	13 960 000	13 960 000	-0,96%
Salaries & allowances	12 036 901	13 382 596	13 091 000	13 091 000	-2,18%
- Of which establishment plan posts	10 229 604	11 260 596	10 787 000	10 787 000	-4,21%
- Of which external personnel	1 807 297	2 122 000	2 304 000	2 304 000	8,58%
Expenditure relating to Staff recruitment	42 388	13 600	29 000	29 000	113,24%
Mission expenses	112 809	114 000	150 000	150 000	31,58%
Socio-medical infrastructure	24 207	25 000	35 000	35 000	40,00%
Training	225 069	240 000	250 000	250 000	4,17%
External Services	278 471	282 804	368 000	368 000	30,13%
Receptions, events and representation	11 816	12 000	12 000	12 000	0,00%
Social welfare	63 729	25 000	25 000	25 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 089 879	1 606 000	1 858 000	1 858 000	15,69%
Rental of buildings and associated costs	550 190	549 798	700 000	700 000	27,32%
Information, communication technology and data processing	769 611	756 152	770 000	770 000	1,83%
Movable property and associated costs	561 847	65 600	155 000	155 000	136,28%
Current administrative expenditure	87 014	103 450	103 000	103 000	-0,43%
Postage / Telecommunications	23 415	31 000	30 000	30 000	-3,23%
Meeting expenses	97 802	100 000	100 000	100 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 252 326	4 443 000	4 326 000	4 326 000	-2,63%
Operational Expenses	5 252 326 (3)(1)	4 443 000 (2)	4 326 000	4 326 000	-2,63%
Priority Actions					
Operational Missions					
TOTAL	20 137 595	20 144 000	20 144 000	20 144 000	0,00%

(1) 2014 Title 4 - Operational expenditure - GEMM project EUR 1 034 974; FRAME project EUR 934 815; ITF project EUR 24 996

(2) 2015 Title 4 - Operational expenditure - GEMM Project EUR 402 000

(3) In addition to the EU contribution, the ETF has committed EUR 131 499 of internal assigned revenue from current (C4) and previous year (C5) deriving from recovery of previous expenditure.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 795 390	14 095 000	13 960 000	13 960 000	-0,96%
Salaries & allowances	12 036 901	13 382 596	13 091 000	13 091 000	-2,18%
- Of which establishment plan posts	10 229 604	11 260 596	10 787 000	10 787 000	-4,21%
- Of which external personnel	1 807 297	2 122 000	2 304 000	2 304 000	8,58%
Expenditure relating to Staff recruitment	42 388	13 600	29 000	29 000	113,24%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Mission expenses	112 809	114 000	150 000	150 000	31,58%
Socio-medical infrastructure	24 207	25 000	35 000	35 000	40,00%
Training	225 069	240 000	250 000	250 000	4,17%
External Services	278 471	282 804	368 000	368 000	30,13%
Receptions, events and representation	11 816	12 000	12 000	12 000	0,00%
Social welfare	63 729	25 000	25 000	25 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 089 879	1 606 000	1 858 000	1 858 000	15,69%
Rental of buildings and associated costs	550 190	549 798	700 000	700 000	27,32%
Information, communication technology and data processing	769 611	756 152	770 000	770 000	1,83%
Movable property and associated costs	561 847	65 600	155 000	155 000	136,28%
Current administrative expenditure	87 014	103 450	103 000	103 000	-0,43%
Postage / Telecommunications	23 415	31 000	30 000	30 000	-3,23%
Meeting expenses	97 802	100 000	100 000	100 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 172 402	4 443 000	4 326 000	4 326 000	-2,63%
Operational Expenses	5 172 402 (3)(1)	4 443 000 (2)	4 326 000	4 326 000	-2,63%
Priority Actions					
Operational Missions					
TOTAL	20 057 671	20 144 000	20 144 000	20 144 000	0,00%

(1) 2014 2014 Title 4 - Operational expenditure - GEMM project EUR 1 034 974; FRAME project EUR 934 815; ITF project EUR 24 996

(2) 2015 Title 4 - Operational expenditure - GEMM Project EUR 402 000

(3) In addition to the EU contribution, the ETF has committed EUR 122 997 of internal assigned revenue from current (C4) and previous year (C5) deriving from recovery of previous expenditure.

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 188 193.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

A reduction of ETF establishment plan in 2016 by 2 posts in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years. The Commission proposes to maintain the number of Contractual Agents at a stable level. i.e. 39 FTEs as in 2015.

Financial Resources

The EU contribution to ETF in 2016 is kept stable at the level authorised for 2015.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

As stated in the draft ETF Multi-annual Staff Policy Plan 2016-2018, the ETF would like to recall that the staff reduction set out in the Commission Communication may compromise the Agency's capacity to deliver its mandate and mission and represents a lost opportunity for the European Union to take full advantage of the Agency in support of the overall priorities of the Juncker Commission in the neighbouring regions. The ETF is a key player in the development, implementation and monitoring of skills policies and an integral part of the EU's support to the partner countries to improve the socio-economic situation as a precursor for employment, enterprise and entrepreneurship. The ETF would also like to recall that significant efficiency improvements have been obtained through a major reorganisation of the central administration in 2010-11 which allowed for redeploying resources from administration to core business activities. Further efficiency improvements in delivery are expected with a new reorganisation of operations combined with a relocation of previously departmental Financial and Procurement Cells under the central administration which took effect as of 1.1.2015.

4.1.2 Salary assumption for calculating salary line (% applied)

The Agency's Title 1 budget request is based on the following main assumptions: all available posts for 2016 will be filled throughout 2016; the weighing factor for Italy which decreased in 2014 from 104.6 to 100.4 shall increase by 1.2 point between 2015 and 2016 (101.6); and 1% increase in salary cost for 2015 as well as for 2016 (i.e. backdated salary adaptations).

4.1.3 Vacancy rate of end 2014

At the end of 2014, two temporary agent posts were vacant compared to the 2014 establishment plan (i.e. 92 occupied out of 94 available posts). Additional posts will become vacant during 2015 and therefore selection procedures are ongoing to have the least possible gaps between departures and entry into service of new staff.

4.1.4 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Agency request is based upon a forecast which foresees a slight decrease of Title 1 mainly linked to the decrease in the Italian weighting factor and implementation of the staff reduction (-1 TA in 2016).

4.2.2 Title 2

The Agency request for Title 2 would allow the ETF to cover its usual running/infrastructure expenses at the same level as in 2015 and allow a small increase for mandatory improvements. The current working assumption is that ETF will not have to change premises at the end of the current contractual period (2015). The ETF has received a confirmation from the Region of Piedmont and the City of Turin that the seat will remain in Villa Gualino till end 2017 and that the long term solution may be either to stay in Villa Gualino or to relocate to another seat in Turin.

4.2.3 Title 3

As regards operational activities under Title 3, Chapter 31, the ETF will follow the strategic framework outlined in the MTP 2014-17. This implies continuing to structure ETF operational results around three strategic objectives through which ETF contributes to the external projection of relevant EU internal policies and the EU as a global actor. These

are: reinforcing evidence based VET policy analysis; modernising VET systems in a lifelong learning perspective; and increasing the relevance of VET provision. These strategic objectives are the focus for ETF operational work under Title 3 to transform human capital in partner countries. The strategic objectives are further developed in seven operational activities. These activities comprise direct support to EU assistance as well as thematic policy actions in the fields of evidence based policy making; employment and employability, qualifications and qualifications systems, learning and teaching, quality assurance, and the skills dimension of migration and mobility. Each ETF activity is structured around the value chain of results: outputs (products or deliverables stemming from projects), outcomes (the effects of the outputs on their intended beneficiaries, assessed either by use or satisfaction level) and impact indicators (the long-term effect, measured in terms of the contribution to progress in human capital development). The activities will continue to be delivered across the four operational regions of South Eastern Europe and Turkey, Neighbourhood South and East, and Central Asia. The logic of intervention in each region and country is differentiated according to specific policy needs and internal criteria for prioritisation in the distribution of resources - both financial and human, and the principles of focus, differentiation and impact-orientation.

Current planning assumptions under the ETF DB 2016 give an overall budget of EUR 4 326 000 in Title 3, compared with EUR 5 592 000 originally foreseen in the MTP 2014-17. The lower amount available for Title 3 combined with the reduction in posts will force the ETF more than ever to work with negative priorities. Guidance from the European Commission to set such negative priorities would be essential.

The balance of ETF support to partner regions would amount to some 25% for the Enlargement region (candidate countries and potential candidates), a slight increase in Neighbourhood regions (23% Neighbourhood South and 21% for Neighbourhood East, totalling 44% compared to 32.5% in the previous mid-term perspective), and 11% for activities in Central Asia. As in previous years, 14% of Chapter 31 would be invested in thematic expertise development and 6% in evidence-based policy making in support of activities in the partner countries. In 2016 a new round of the Torino Process country policy progress monitoring is planned. The ETF's core business activities are delivered by the Agency's own expert staff. The operational budget funds actions which complements the core business activities such as short term expertise, implementation of surveys at local level and organisation of events such as workshops, seminars and conferences. All communication costs related to core business activities are also covered by Title 3. The ETF operational context is subject to evolving EU priorities in response to the political, economic, social and even military dynamic in the partner regions. EU priorities in the Neighbourhood South have taken into account the long term instability following the Arab Uprising, while the priorities in Neighbourhood East have been affected by the conflict in Ukraine, and EU priorities in Central Asia are also affected by geopolitical relations with Russia and the region.

While the seven operational activities initiated in 2015 are expected to continue into 2016, the final distribution of resources across the activity areas will be defined according to the changing level of EU priority towards each partner country and the external dimension of the relevant internal policies. Commission expectations and priorities will be provided through the regular dialogue with Commission services coordinated by the lead DG in April, June and October 2015. In addition, ETF will take into account the outcomes from the high level Torino Process conference on progress on policy reform with all ETF partner countries 3-4 June 2015. The ETF will continue to apply its comprehensive and structured performance management framework to support management decision making, including proportional and cost effective choices, and provide relevant information for establishing appropriate and proportionate risk control measures. The ETF will directly invest 7.5% of Chapter 31 in evaluation, monitoring, audit and process development activities in line with previous years. The ETF will also develop partnership and communication strategies with different stakeholders and partners, as defined in the ETF Framework for action on stakeholder cooperation and its communication strategy for the 2014-2017 period.

4.3 Ad hoc grants and delegation agreements

Currently no confirmed plans.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	VillaGualino, Torino, Italy	The ETF also has a liason office in Brussels (J-70)
Surface area (in square metres)	6000	23
Of which office space		
Of which non-office space		
Annual rent (in EUR)	1	
Type and duration of rental contract	3 years	
Host country grant or support	No	No
Present value of the building		

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>*Local premises have been provided by local authorities on the basis of a convention that provides for the following main essential points: ETF to participate in the costs of refurbishing the premises to be used, ETF to pay a symbolic rent, ETF to participate in the costs of maintenance of the building.</p> <p>*General support is provided by Italy against unauthorised access or other forms of disturbances to the premises.</p> <p>*ETF is authorised to install and operate communications systems.</p> <p>* ETF official communication is not subject to any restrictions or to confidentiality breaches.</p> <p>*The Protocol of privileges and immunities is applicable to ETF.</p> <p>*ETF, its assets and funds may not be subject to administrative or legal measures of constraints.</p>	<p>*Staff immune from legal proceedings for acts performed in the exercise of their official duties.</p> <p>*Staff exempted from taxes on salaries and emoluments paid by ETF.</p> <p>*Staff, spouses and dependent members of family not subject to immigration restrictions or registration formalities for foreigners.</p> <p>*For currency exchange staff has the same privileges as officials of equal rank in the diplomatic missions.</p> <p>*Staff, spouses and dependent members of family receive assistance for repatriation in the event of international crises.</p> <p>*Staff may, duty-free and without prohibitions and restrictions, import from the country of their last residence or the country of which they are nationals, when they first take up their post, for a period of one year from their appointment for a maximum of two shipments, their own furniture and personal effects, including a vehicle bought at the conditions of that country, which will be registered in special series.</p> <p>*Staff may export, in the year following the date of cessation</p>	<p>*Local premises have been provided by local authorities on the basis of a convention that provides for the following main essential points: ETF to participate in the costs of refurbishing the premises to be used, ETF to pay a symbolic rent, ETF to participate in the costs of maintenance of the building.</p> <p>* General support is provided by Italy against unauthorised access or other forms of disturbances to the premises.</p> <p>*ETF is authorised to install and operate communications systems.</p> <p>*ETF official communication is not subject to any restrictions or to confidentiality breaches.</p> <p>*The Protocol of privileges and immunities is applicable to ETF.</p>

Agency privileges	Privileges granted to staff	
<p>*Premises (including temporary premises) and buildings are inviolable, except in case of emergencies/ fire.</p> <p>*ETF, its assets and funds are exempt from taxes or direct duties, except for taxes on public services.</p> <p>*ETF is exempt from the payment of VAT for all purchases of goods or services or for imports above 259 Euros.</p> <p>*ETF imports and exports are exempted from customs duties, taxes, prohibitions or restrictions.</p> <p>*Goods transported as hand baggage are treated as diplomatic luggage.</p> <p>*ETF vehicles are exempted from any taxes, duties or fees or from any import prohibitions/ restrictions.</p> <p>*ETF exempted from payment social security and health insurance contributions on salaries paid to staff, except for local agents</p>	<p>of their duties, without prohibitions and restrictions, their own furniture and personal effects, including vehicles.</p> <p>*The ETF Director, spouse and dependent members of family, is granted privileges and immunities, facilities and concessions granted by the Italy to members of equivalent rank in the diplomatic corps in Italy.</p> <p>*Staff, who is not permanently resident in Italy at the time of their appointment, may purchase a motor vehicle free from taxes and charges for the period of their residence in Italy.</p> <p>*Italy issues a special identity card to staff, spouses and dependent members of family to prove that the holder is ETF staff and enjoys privileges and immunities.</p>	<p>*ETF, its assets and funds may not be subject to administrative or legal measures of constraints.</p> <p>*Premises (including temporary premises) and buildings are inviolable, except in case of emergencies/ fire.</p> <p>*ETF, its assets and funds are exempt from taxes or direct duties, except for taxes on public services.</p> <p>*ETF is exempt from the payment of VAT for all purchases of goods or services or for imports above 259 Euros.</p> <p>*ETF imports and exports are exempted from customs duties, taxes, prohibitions or restrictions.</p> <p>*Goods transported as hand baggage are treated as diplomatic luggage.</p> <p>*ETF vehicles are exempted from any taxes, duties or fees or from any import prohibitions/ restrictions.</p> <p>*ETF exempted from payment social security and health insurance contributions on salaries paid to staff, except for local agents</p>

5.3 European schools

There is no European school in Torino.

5.4 Evaluation

The ETF mandate foresees a regular evaluation of the founding regulation every 4 years. The last evaluation was completed in 2012. The external evaluation conducted by the European Commission and published in 2012 concluded that: "In all areas, ETF performance was very strong and no significant changes are recommended." On the basis of the evaluation report, the Commission informed the European Parliament, the Council and the European Economic and Social Committee on the European Training Foundation [Brussels, 15.10.2012, COM (2012) 588 final], that "within the Union's external relations policy framework, the ETF has a useful contribution to make as a centre of expertise in all four regions of its mandate". As a result of the 2012 evaluation, no changes were made to the mission and mandate of the ETF as regards its objectives, partner countries, functions or themes [The last recast of the Regulation, (EC) N 1339/2008, was published in the OJEU on 31 December 2008].

The next external evaluation on the ETF Regulation is expected to be launched by the Commission during 2015. The results are expected in 2016. As a result, the next evaluation is unlikely to affect ETF activities in 2016, but may lead to changes to the mandate in following years.

2.2.1.5 Decentralised Agency of heading 5 - Administrative expenditure of the institutions

2.2.1.5.1 Translation Centre for the Bodies of the European Union - CDT

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No. 2965/94	28/11/1994	To meet the translation needs of the other decentralised Community Agencies
Council Regulation (EC) No. 2610/95	30/10/1995	The active participation of the Centre in inter institutional cooperation
Council Regulation (EC) No. 1645/2003	18/06/2003	Rational response to the translation needs of a large number of European agencies and offices

1.2 Seat

Luxembourg

1.3 Budget Line

31 01 10 : Translation Centre for the Bodies of the European Union

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	137	131	135	134
Establishment plan posts : AST	66	61	65	63
Establishment plan posts : AST/SC				
Total Establishment plan posts	203	192	200	197
Contract Agents	22	25	24	35
Seconded National Experts				
TOTAL STAFF	225	217	224	232

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	49 053 600	52 899 800

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	24 292 400	24 292 400	25 686 500	25 686 500

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 2 - Infrastructure and operating expenditure	6 472 200	6 472 200	6 961 400	6 961 400
Title 3 - Operational expenditure	18 289 000	18 289 000	19 424 000	19 424 000
Title 10 - Reserves	0	0	827 900	827 900
TOTAL EXPENDITURE	49 053 600	49 053 600	52 899 800	52 899 800

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16			0	0						
AD 15		1	0	0						
AD 14	1		1	0	1	1		1		1
AD 13			0	0						
AD 12	10	4	4	4	11	6	12	7	12	7
AD 11	9	8	9	7	10	7	10	7	10	6
AD 10	8	6	7	6	7	5	7	4	7	4
AD 9	3	11	3	6	2	12	5	11	5	11
AD 8	8	8	10	5	9	10	7	14	7	14
AD 7	2	24	2	20	2	21	2	21	2	21
AD 6	5	18	5	17	3	25	2	26	2	25
AD 5		11	0	25 (1)		3	0	0	0	0
AD TOTAL	46	91	41	90	45	90	45	91	45	89
AST 11			0	0						
AST 10			0	0						
AST 9	1	1	1	1	2	1	3		3	
AST 8	4		4	0	4		3	2	3	2
AST 7	2	3	2	3	2	3	2	2	2	2

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 6	2	2	2	2	1	3	1	2	1	2
AST 5	2	12	1	9	2	13	2	16	2	16
AST 4	5	13	3	14	3	16	2	16	2	15
AST 3		14	0	12		8		8		8
AST 2		5	0	5		7		5		5
AST 1			0	2						
AST TOTAL	16	50	13	48	14	51	13	51	13	50
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	62	141	54	138	59	141	58	142	58	139
GRAND TOTAL	203		192		200		200		197	

(1) Including one offer letter for 1 AD5 temporary staff post which was sent in November 2014.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate (1)
Function Group IV	10	14	11	19
Function Group III	4	6	4	7
Function Group II	8	5	9	9
Function Group I	0	0	0	0
TOTAL	22	25	24	35

(1) Including 11 additional contract staff for the consumer Online Dispute Resolution (ODR) project of the Commission's DG for Justice and Consumers.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	43 891 433	39 930 700	41 247 800	41 247 800	3,30%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	2 909 903	3 120 300	6 059 600	6 059 600	94,20%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	234 137	343 250	323 250	323 250	-5,83%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES		5 659 350	5 269 150	5 269 150	-6,89%
TOTAL	47 035 473	49 053 600	52 899 800	52 899 800	7,84%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 806 382	24 292 400	25 686 500	25 686 500	5,74%
Salaries & allowances	22 047 029	23 362 600	24 470 600	24 470 600	4,74%
- Of which establishment plan posts	20 504 297	21 961 400	22 410 500	22 410 500	2,04%
- Of which external personnel	1 542 732	1 401 200	2 060 100	2 060 100	47,02%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	185 658	283 000	480 700	480 700	69,86%
Mission expenses	92 720	105 100	108 500	108 500	3,24%
Socio-medical infrastructure	249 232	279 900	310 300	310 300	10,86%
Training	144 583	184 800	231 500	231 500	25,27%
External Services					
Receptions, events and representation	1 660	2 000	2 500	2 500	25,00%
Social welfare	85 500	75 000	82 400	82 400	9,87%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 031 233	6 472 200	6 961 400	6 961 400	7,56%
Rental of buildings and associated costs	2 498 707	2 722 200	3 043 600	3 043 600	11,81%
Information, communication technology and data processing	2 290 492	2 970 000	3 143 500	3 143 500	5,84%
Movable property and associated costs	678 986	107 300	77 400	77 400	-27,87%
Current administrative expenditure	274 717	240 900	245 000	245 000	1,70%
Postage / Telecommunications	140 142	212 800	215 400	215 400	1,22%
Meeting expenses	9 385	24 000	23 000	23 000	-4,17%
Running costs in connection with operational activities	84 489	122 500	121 000	121 000	-1,22%
Information and publishing	19 890	42 500	42 500	42 500	0,00%
Studies	34 425	30 000	50 000	50 000	66,67%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	15 805 394	18 289 000	19 424 000	19 424 000	6,21%
External translation services	14 455 553	16 710 000	17 880 000	17 880 000	7,00%
Expenditure relating to interinstitutional cooperation	663 839	770 000	835 000	835 000	8,44%
Expenditure linked to the e-Cdt programme	686 002	809 000	709 000	709 000	-12,36%
Title 10 - Reserves	0	0	827 900	827 900	∞%
TOTAL	44 643 009	49 053 600	52 899 800	52 899 800	7,84%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 806 382	24 292 400	25 686 500	25 686 500	5,74%
Salaries & allowances	22 047 029	23 362 600	24 470 600	24 470 600	4,74%
- Of which establishment plan posts	20 504 297	21 961 400	22 410 500	22 410 500	2,04%
- Of which external personnel	1 542 732	1 401 200	2 060 100	2 060 100	47,02%
Expenditure relating to Staff recruitment	185 658	283 000	480 700	480 700	69,86%
Mission expenses	92 720	105 100	108 500	108 500	3,24%
Socio-medical infrastructure	249 232	279 900	310 300	310 300	10,86%
Training	144 583	184 800	231 500	231 500	25,27%
External Services					
Receptions, events and representation	1 660	2 000	2 500	2 500	25,00%
Social welfare	85 500	75 000	82 400	82 400	9,87%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 031 233	6 472 200	6 961 400	6 961 400	7,56%
Rental of buildings and associated costs	2 498 707	2 722 200	3 043 600	3 043 600	11,81%
Information, communication technology and data processing	2 290 492	2 970 000	3 143 500	3 143 500	5,84%
Movable property and associated costs	678 986	107 300	77 400	77 400	-27,87%
Current administrative expenditure	274 717	240 900	245 000	245 000	1,70%
Postage / Telecommunications	140 142	212 800	215 400	215 400	1,22%
Meeting expenses	9 385	24 000	23 000	23 000	-4,17%
Running costs in connection with operational activities	84 489	122 500	121 000	121 000	-1,22%
Information and publishing	19 890	42 500	42 500	42 500	0,00%
Studies	34 425	30 000	50 000	50 000	66,67%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	15 805 394	18 289 000	19 424 000	19 424 000	6,21%
External translation services	14 455 553	16 710 000	17 880 000	17 880 000	7,00%
Expenditure relating to interinstitutional cooperation	663 839	770 000	835 000	835 000	8,44%
Expenditure linked to the e-Cdt programme	686 002	809 000	709 000	709 000	-12,36%

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Budget 2015	Draft Budget 2016		
			Agency request	Budget forecast	
Title 10 - Reserves	0	0	827 900	827 900	∞%
TOTAL	44 643 009	49 053 600	52 899 800	52 899 800	7,84%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): not applicable

4 Justification of needs

Commission assessment

Human Resources

In line with the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013, the 2016 draft budget foresees 197 posts.

Financial Resources

The Centre is self-financed and does not require any contribution from the Commission part of the budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

On 31/12/2014, 192 posts were occupied in the establishment plan, including one post for which an offer letter was sent in November 2014. The staff establishment plan was reviewed for 2014, the final number being 203. The number of posts in the plan for 2015 was further cut to 200, and should be seen in the light of the decrease from 2011 when the establishment plan had 225 posts (a cut of 25 posts or 11.1% of posts). The number of contract staff for 2014 is 22, increasing to 24 in 2015 and to 35 in 2016 in light of a project of the Commission's DG for Justice and Consumers.

4.1.2 Salary assumption for calculating salary line (% applied)

For calculating salary items, the average salary as of 1 January 2015 was used. The budget includes, in Title 1, a 0.9% increase in salaries in 2016.

4.1.3 Vacancy rate of end 2014

The vacancy rate at the end of 2014 is 5.9%. However, it is noted that the recruitment was slowed down due to uncertainties following the decrease of the establishment plan in 2014 (203 posts).

4.1.4 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for Title 1 in 2016 is 5,7% higher than the budget in 2015. The main reason for this increase is the need to recruit 11 contract staff to work with a project of the Commission's DG for Justice and Consumers. The budget also includes a possible salary adjustment of 0,9% for six months, and allows for the biennial step advancements within grades and promotions.

4.2.2 Title 2

The budget for Title 2 sees an increase of 7,6% compared with 2015. This is also related to the project for the DG for Justice and Consumers, which requires the Centre to increase its available office space to house the new contract staff, as well as to equip the new staff appropriately. Appropriations for rent and other building related expenditure increase by 11,8% in 2016, due to the additional space, as well as annual indexations and price increases. The actual level of the building related charges is still not known, but has been estimated to increase compared to when the contract was signed in 2013. The Centre receives a grant from the Luxembourg Government in support of the rental charges. This grant is recorded as revenue, and does not affect expenditure in Title 2.

4.2.3 Title 3

The budget for Title 3 is 6,2% higher in 2016 compared with 2015. The main part of the budget is for external translation services, for which the budget increases from EUR 16 710 000 in 2015 to EUR 17 880 000 in 2016. The increase is due to the new project for the Commission. The increase is partly offset by the Centre having reduced the estimate of the cost of external translation services, compared with what was estimated for 2015. The actual cost for such services in 2016 is, however, dependent on future framework contracts, the economic development of the countries in the EU, and the possibility to automatize the translation process. The forecast for interinstitutional cooperation is 8.4% higher than in 2015, reflecting the reinforcement of a full-time technical project manager for IATE and a part-time specialised content manager for EurTerm. This increase is matched by an increase in the revenue the Centre's receives for its services within the interinstitutional cooperation. The development of the e-CdT programme continues in 2016, with a reduction in external consultancy equivalent to one full-time consultant.

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Bâtiment DROSBACH 12E, rue Guillaume Kroll L-1882 Luxembourg Luxembourg	Offices
Surface area (in square metres)	6 103.84	The Translation Centre is negotiating with the owner of the Drosbach building in order to rent additional space in the same building. The additional space would be a total of 1 010 square metres, divided on 954 square meters of office space, and 56 square meters of non-office space.
Of which office space	5 153.00	
Of which non-office space	950.84	
Annual rent (in EUR)	EUR 1 637 167.32	Rent in 2015 as on 1 January 2015
Type and duration of rental contract	Rent contract, 8 years	
Host country grant or support	Yes, a grant from the Government of Luxembourg of EUR 243 250 per year	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

Not applicable

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
As granted by the Luxembourgish authorities	Yes	No

5.3 European schools

The staff members of the Translation Centre have access to the two European schools in Luxembourg.

5.4 Evaluation

After the initial evaluation following the set-up phase of the Centre, there have been no regular evaluations of the Centre. However, the Centre is audited on an annual basis by the Court of Auditors and by the Internal Audit Service of the Commission.

2.2.2 Fully self-financed decentralised agencies

2.2.2.1 Single Resolution Board - SRB

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 806/2014 on the Single Resolution Mechanism	15/07/2015	The Board will carry out specified tasks concerning the preparation for the resolution of credit institutions and their actual resolution where they are failing or likely to fail.

1.2 Seat

Brussels, Belgium

1.3 Budget Line

12 02 07 : Single Resolution Board (SRB)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD			83	155
Establishment plan posts : AST			22	51
Establishment plan posts : AST/SC			17	24
Total Establishment plan posts			122	230
Contract Agents			2	6
Seconded National Experts			6	15
TOTAL STAFF			130	251

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	3 300 000	
Other Revenue	18 700 000	34 000 000
TOTAL REVENUES	22 000 000	34 000 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	11 979 000	11 979 000	22 503 000	22 503 000
Title 3 - Operational expenditure	3 600 000	3 600 000	5 272 000	5 272 000

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 2 - Infrastructure and operating expenditure	6 421 000	6 421 000	6 225 000	6 225 000
TOTAL EXPENDITURE	22 000 000	22 000 000	34 000 000	34 000 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)
AD 16										
AD 15										
AD 14										
AD 13										
AD 12						7		7		7
AD 11						3		3		3
AD 10						9		9		9
AD 9						9		15		15
AD 8						22		36		36
AD 7						5		10		10
AD 6						21		70		70
AD 5						7		5		5
AD TOTAL						83		155		155
AST 11										
AST 10										
AST 9										
AST 8										
AST 7						2		2		2
AST 6						2		2		2
AST 5						6		6		6
AST 4								4		4

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)
AST 3						12		32		32
AST 2								5		5
AST 1										
AST TOTAL						22		51		51
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3						9		9		9
AST/SC 2										
AST/SC 1						8		15		15
AST/SC TOTAL						17		24		24
TOTAL						122		230		230
GRAND TOTAL						122		230		230

(1) The posts of Chairperson, Vice-Chairperson and four full-time members of the Board are not included in the establishment plan of the SRB.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV			2	6
Function Group III				
Function Group II				
Function Group I				
TOTAL			2	6

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL			6	15

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)					
2 EU CONTRIBUTION		3 300 000 (1)			-100%
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS		18 700 000	34 000 000	34 000 000	81,82%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL		22 000 000	34 000 000	34 000 000	54,55%

(1) The EU contribution in 2015 was made under the form of an advance. This advance will be reimbursed once the first annual contributions due by the banks to cover the administrative expenditures of the Board will have been collected by the SRB.

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure		11 979 000	22 503 000	22 503 000	87,85%
Title 3 - Operational expenditure		3 600 000	5 272 000	5 272 000	46,44%
Salaries & allowances		9 277 000	19 258 000	19 258 000	107,59%
- Of which establishment plan posts		9 277 000	19 258 000	19 258 000	107,59%
- Of which external personnel					
Expenditure relating to Staff recruitment		1 304 000	1 100 000	1 100 000	-15,64%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Mission expenses		45 000	30 000	30 000	-33,33%
Socio-medical infrastructure		645 000	1 250 000	1 250 000	93,80%
Training		206 000	250 000	250 000	21,36%
External Services		490 000	600 000	600 000	22,45%
Receptions, events and representation		12 000	15 000	15 000	25,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		6 421 000	6 225 000	6 225 000	-3,05%
Rental of buildings and associated costs		1 776 000	3 780 000	3 780 000	112,84%
Information, communication technology and data processing		3 005 000	1 500 000	1 500 000	-50,08%
Movable property and associated costs		1 000 000	300 000	300 000	-70,00%
Current administrative expenditure		425 000	425 000	425 000	0,00%
Postage / Telecommunications		215 000	220 000	220 000	2,33%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
TOTAL		22 000 000	34 000 000	34 000 000	54,55%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure		11 979 000	22 503 000	22 503 000	87,85%
Title 3 - Operational expenditure		3 600 000	5 272 000	5 272 000	46,44%
Salaries & allowances		9 277 000	19 258 000	19 258 000	107,59%
- Of which establishment plan posts		9 277 000	19 258 000	19 258 000	107,59%
- Of which external personnel					
Expenditure relating to Staff recruitment		1 304 000	1 100 000	1 100 000	-15,64%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Mission expenses		45 000	30 000	30 000	-33,33%
Socio-medical infrastructure		645 000	1 250 000	1 250 000	93,80%
Training		206 000	250 000	250 000	21,36%
External Services		490 000	600 000	600 000	22,45%
Receptions, events and representation		12 000	15 000	15 000	25,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		6 421 000	6 225 000	6 225 000	-3,05%
Rental of buildings and associated costs		1 776 000	3 780 000	3 780 000	112,84%
Information, communication technology and data processing		3 005 000	1 500 000	1 500 000	-50,08%
Movable property and associated costs		1 000 000	300 000	300 000	-70,00%
Current administrative expenditure		425 000	425 000	425 000	0,00%
Postage / Telecommunications		215 000	220 000	220 000	2,33%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
TOTAL		22 000 000	34 000 000	34 000 000	54,55%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

As set out in the Commission Communication, the staffing needs of the SRB fall outside the scope of the 5% staff reduction target applicable to agencies.

Financial Resources

As from 2015, the SRB will be completely self-financed.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.2 Salary assumption for calculating salary line (% applied)

4.1.3 Vacancy rate of end 2014

4.1.4 Standard abatement ('abatement forfaitaire') applied

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:		
Surface area (in square metres)		
Of which office space		
Of which non-office space		
Annual rent (in EUR)		
Type and duration of rental contract		
Host country grant or support		
Present value of the building		

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

5.3 European schools

5.4 Evaluation

A first evaluation of the agency is expected to be performed by the end of 2018.

2.2.2.2 Community Plant Variety Office - CPVO

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation 2100/94	27/07/1994	EU Plant Variety Rights

1.2 Sea

Angers, France

1.3 Budget Line

Budget line: 17 04 05 – Community Plant Variety Office

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	13	13	13	13
Establishment plan posts : AST	34	32	33	32
Establishment plan posts : AST/SC				
Total Establishment plan posts	47	45	46	45
Contract Agents				
Seconded National Experts				
TOTAL STAFF	47	45	46	45

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution		
Additional EU funding: ad hoc grants and Delegation agreements		
Other Revenue	14 698 000	15 020 000
TOTAL REVENUES	14 698 000	15 020 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 386 000	6 386 000	6 310 000	6 310 000
Title 2 - Infrastructure and operating expenditure	1 680 000	1 680 000	1 600 000	1 600 000

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 3 - Operational expenditure	7 862 000	6 632 000	8 790 000	7 110 000
TOTAL EXPENDITURE	15 928 000	14 698 000	16 700 000	15 020 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14	1	1		1	1	1	1	1	1	1
AD 13	1		1							
AD 12		2		1	1	1	1	1	1	1
AD 11			1			1		1		1
AD 10				1			1	1	1	1
AD 9	2	3	2	1	2	1	1	1	1	1
AD 8		2		1		2		2		2
AD 7				2		2		1		1
AD 6				1						
AD 5		1		1		1		1		1
AD TOTAL	4	9	4	9	4	9	4	9	4	9
AST 11										
AST 10		1			1	3	3	3	3	3
AST 9	4	6	3	3	2	4	3	3	3	3
AST 8	2			3	1		1	1	1	1
AST 7			1	1	1	2	1	1	1	1
AST 6	1	4	1	1	1	8	1	8	1	8
AST 5		6	1	8		5		6		6
AST 4		4		6		2		2		2
AST 3		6		2		1		1		1
AST 2				1		2		1		1

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 1				1						
AST TOTAL	7	27	6	26	6	27	6	26	6	26
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	11	36	10	35	10	36	10	35	10	35
GRAND TOTAL	47		45		46		45		45	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV				
Function Group III				
Function Group II				
Function Group I				
TOTAL				

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (including balancing reserve from previous years surplus)	12 293 003	13 692 000	14 114 000		1.4ù

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
2 EU CONTRIBUTION					
<i>- Of which assigned revenues deriving from previous years'surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
<i>- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)</i>					
<i>- Of which additional EU funding stemming from delegation agreements (FFR Art.8)</i>	129 865	-	-		
5 ADMINISTRATIVE OPERATIONS	297 671	262 000	262 000		0%
<i>- Of which interest generated by funds paid by the Commission by way of the EU contibution (FFR Art. 58)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES	533 707	744 000	644 000		-13%
TOTAL	13 254 246	14 698 000	15 020 000		-2%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 801 093	6 386 000	6 3100 00		-1.2%
Salaries & allowances					
<i>- Of which establishment plan posts</i>					
<i>- Of which external personnel</i>					
Expenditure relating to Staff recruitment					
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 294 425	1 680 000	1 600 000		-5%
Rental of buildings and associated costs					
Information, communication technology and data processing					
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 470 510	7 862 000	8 790 000		11.8%
TOTAL	15 566 028	15 928 000	16 700 000		4.8%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 801 093	6 386 000	6 3100 00		-1.2%
Salaries & allowances					
- Of which establishment plan posts					
- Of which external personnel					
Expenditure relating to Staff recruitment					
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Budget 2015	Draft Budget 2016		
			Agency request	Budget forecast	
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 294 425	1 680 000	1 600 000		-5%
Rental of buildings and associated costs					
Information, communication technology and data processing					
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 158 728	6 632 000	7 110 000		6.7%
TOTAL	13 254 246	14 698 000	15 020 000		2.1%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

Acceptable.

Financial Resources

Acceptable.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.2 Salary assumption for calculating salary line (% applied)

4.1.3 Vacancy rate of end 2014

4.1.4 Standard abatement ('abatement forfaitaire') applied

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:		
Surface area (in square metres)		
Of which office space		
Of which non-office space		
Annual rent (in EUR)		
Type and duration of rental contract		
Host country grant or support		
Present value of the building		

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

5.3 European schools

5.4 Evaluation

2.2.2.3 Office for Harmonization in the Internal Market - OHIM

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks/ Functions
Council Regulation (EC) No 207/2009 of 26 February 2009 on the Community trade mark (codified version) (Text with EEA relevance)	OJ L 78, 24.3.2009, p. 1–42	The Union decided to establish the Office for Harmonisation in the Internal Market to administer the registration of Community trademarks and designs in order to improve the creation of a single market and to harmonize the protection of industrial property within the European Union. Community trademarks and designs are industrial property titles which enjoy uniform protection throughout the entire area of the Community. Council Regulation (EC) No 40/94 of 20 December 1993 on the Community trade mark is the founding legal act of OHIM. Regulation no 40/94 has been substantially amended several times. In the interests of clarity the EU legislator decided to codify the text of Regulation (EC) No 40/94 as further amended into one single act. Council Regulation (EC) No 207/2009 is the result of the codification procedure.
Council Regulation (EC) No 6/2002 of 12 December 2001 on Community designs	OJ L 003 05.01.2002 p. 1–24	A unified system for obtaining a Community design to which uniform protection is given with uniform effect throughout the entire territory of the Community would further the objectives of the Community as laid down in the Treaty on the Functioning of the European Union. This Regulation extended the scope of the Office to Community Designs. The first community Design applications were filed in 2003.
Regulation (EU) No 386/2012 of the European Parliament and of the Council of 19 April 2012 on entrusting the Office for Harmonization in the Internal Market (Trade Marks and Designs) with tasks related to the enforcement of intellectual property rights, including the assembling of public and private-sector representatives as a European Observatory on Infringements of Intellectual Property Rights (Text with EEA relevance)	OJ L 129, 16.5.2012, p. 1–6	Following a proposal by the Commission, which was backed by the European Parliament and the Council, the Observatory was entrusted fully to OHIM on 5 June 2012. OHIM now also covers matters relating to the enforcement of intellectual property rights.

1.2 Seat

Alicante, Spain

1.3 Budget Line

N/A

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	Actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget request
Establishment plan posts: AD	257	213	256	255
Establishment plan posts: AST	587	508	580	572
Establishment plan posts: AST/SC	-	-	-	-
Total establishment plan posts	844	721	836	827
Contract Agents	63	46	66	66
Seconded National Experts	71	58	71	71
Total staff	978	825	973	965

1.5 Financial Resources Overview

1.5.1 Revenues Overview

Revenues	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution		
Additional EU funding: ad hoc grants and delegation agreements		
Other revenue	206,165,340	236,942,455
Balance from previous financial year	178,036,839	146,807,483
Total revenues	384,202,180	383,749,938

The values presented in this PDB 2016 do not include the possible impact of the proposals for the revision of Regulation (EC) No 207/2009 (“the legal reform”) nor of the Office’s upcoming strategic plan 2020 to be presented to the Budget Committee. It is therefore important to note that the final operational result amounting to EUR 24.6 million, included in the Financial Outlook 2016 presented at the November 2014 meeting of the Budget Committee, will be affected depending on the final outcome of the negotiation on the following concepts: fees adjustment, funding of the European School of Alicante, the level of cooperation activities, compensation of the EU Member States and EU budget, transitory provision and the maintenance costs of the legal reform and on the final level of the strategic plan 2020.

The Office has prepared the corresponding simulations. The range of the possible impacts of each concept can be estimated as follows: fees adjustment: reduction of revenue of EUR 48.6 million or EUR 29.4 million; funding of the European School of Alicante: increase in expenditure of EUR 3.8 million; level of cooperation activities: reduction of expenditure of EUR 3.5 million or EUR 0.7 million; compensation to EU Member States and EU budget: increase in expenditure of EUR 18.8 million or EUR 20.7 million; maintenance costs of the legal reform: increase in expenditure of EUR 0.6 million (most of the preparatory expenditure included in the 2015 budget); strategic plan 2020 (with the cooperation activities mentioned in the third point above) currently estimated at EUR 14 million; transitory provision not included, to be estimated.

Considering the above impact, the annual budgetary operational result for 2016 is expected to show a result of either -EUR 57.6 million or - EUR 43.3 million.

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	101,237,000	101,237,000	102,498,000	102,498,000
Title 2 - Infrastructure and operating expenditure	106,955,000	106,955,000	71,064,000	71,064,000
Title 3 - Operational expenditure	40,907,000	40,907,000	38,825,000	38,825,000
Title 10- Other expenditure	135,103,180	135,103,180	171,362,938	171,362,938
TOTAL EXPENDITURE	384,202,180	384,202,180	383,749,938	383,749,938

See comment above.

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	-	1	-	-	-	1				
AD 15	-	3	-	1	-	3				
AD 14	22	23	7	6	22	23				
AD 13	30	6	30	3	32	7				
AD 12	30	13	30	7	30	14				
AD 11	14	33	14	33	12	33				
AD 10	3	9	3	9	3	7				
AD 9	5	12	5	12	11	12				
AD 8	7	9	7	9	3	9				
AD 7	-	10	-	10	-	7				
AD 6	27	-	27	-	27	-				
AD 5	-	-	-	-	-	-				
AD TOTAL	138	119	123	90	140	116			142	113
AST 11	25	7	5	-	27	7				
AST 10	32	7	19	-	32	7				
AST 9	34	18	34	4	38	22				
AST 8	73	20	73	5	70	20				
AST 7	46	21	46	18	41	17				
AST 6	88	41	88	41	100	43				
AST 5	45	68	45	68	33	66				
AST 4	3	22	3	22	3	22				
AST 3	26	11	26	11	26	6				
AST 2	-	-	-	-	-	-				
AST 1	-	-	-	-	-	-				
AST TOTAL	372	215	339	169	370	210			368	204
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	510	334	462	259	510	326			510	317
GRAND TOTAL	844		721		836				827	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV		9		
Function Group III		7		
Function Group II		25		
Function Group I		5		
TOTAL	63	46	66	66

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	71	58	71	71

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 Revenue accruing from the operation of the office	196,067,324.94	206,165,340		236,942,455	14.93%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3.Balance from previous financial year	234,798,564.23	178,036,839		146,807,483	-17.54%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	430,865,889.17	384,202,179		383,749,938	-0.12%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 Expenditure relating to persons working with the office	92,513,879.01	101,237,000.00		102,498,000.00	1.25%
11 Staff in active employment	85,541,440.47	93,909,000.00		95,306,000.00	1.49%
13 Missions and duty travel	2,135,000.00	1,500,000.00		1,200,000.00	-20.00%
14 Socio medical infrastructure	419,371.93	399,000.00		351,000.00	-12.03%
15 Organization of traineeships and exchanges of officials and experts	501,590.49	841,000.00		1,116,000.00	32.70%
16 Social welfare	3,888,976.12	4,551,000.00		4,486,000.00	-1.43%
17 Entertainment and representation expenses	27,500.00	37,000.00		39,000.00	5.41%
Title 2 - Infrastructure and operating expenditure	117,092,509.38	106,955,000.00		71,064,000.00	-33.56%
20 Investments in immovable property, rental of buildings and associated costs	43,523,997.92	25,122,000.00		9,173,000.00	-63.49%
21 Data processing	43,485,785.97	46,330,000.00		30,721,000.00	-33.69%
22 Movable property and associated costs	5,500,998.42	4,749,000.00		2,069,000.00	-56.43%
23 Current administrative expenditure	11,844,607.16	11,413,000.00		11,816,000.00	3.53%
24 Postage / Telecommunications	3,601,902.45	2,590,000.00		2,535,000.00	-2.12%
25 Expenditure on formal and other meetings	1,304,361.58	5,938,000.00		6,329,000.00	6.58%
26 Studies, surveys and consultations	7,830,855.88	10,813,000.00		8,421,000.00	-22.12%
Title 3 Expenditure resulting from special functions carried out by the office	36,597,777.84	40,907,000.00		38,825,000.00	-5.09%
34 Communication, promotion and integration	15,978,865.79	19,755,000.00		18,170,000.00	-8.02%
35 Expenditure regarding the community trademark and design registration procedure	20,618,912.05	21,152,000.00		20,655,000.00	-2.35%
Title 10 Other expenditure		135,103,180.00		171,362,938.00	26.84%
TOTAL	246,204,166.23	384,202,180.00		383,749,938.00	-0.12%

*The OHIM has non-differentiated appropriations.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 Expenditure relating to persons working with the office	92,513,879.01	101,237,000.00		102,498,000.00	1.25%
11 Staff in active employment	85,541,440.47	93,909,000.00		95,306,000.00	1.49%
13 Missions and duty travel	2,135,000.00	1,500,000.00		1,200,000.00	-20.00%

14 Socio medical infrastructure	419,371.93	399,000.00		351,000.00	-12.03%
15 Organization of traineeships and exchanges of officials and experts	501,590.49	841,000.00		1,116,000.00	32.70%
16 Social welfare	3,888,976.12	4,551,000.00		4,486,000.00	-1.43%
17 Entertainment and representation expenses	27,500.00	37,000.00		39,000.00	5.41%
Title 2 - Infrastructure and operating expenditure	117,092,509.38	106,955,000.00		71,064,000.00	-33.56%
20 Investments in immovable property, rental of buildings and associated costs	43,523,997.92	25,122,000.00		9,173,000.00	-63.49%
21 Data processing	43,485,785.97	46,330,000.00		30,721,000.00	-33.69%
22 Movable property and associated costs	5,500,998.42	4,749,000.00		2,069,000.00	-56.43%
23 Current administrative expenditure	11,844,607.16	11,413,000.00		11,816,000.00	3.53%
24 Postage / Telecommunications	3,601,902.45	2,590,000.00		2,535,000.00	-2.12%
25 Expenditure on formal and other meetings	1,304,361.58	5,938,000.00		6,329,000.00	6.58%
26 Studies, surveys and consultations	7,830,855.88	10,813,000.00		8,421,000.00	-22.12%
Title 3 Expenditure resulting from special functions carried out by the office	36,597,777.84	40,907,000.00		38,825,000.00	-5.09%
34 Communication, promotion and integration	15,978,865.79	19,755,000.00		18,170,000.00	-8.02%
35 Expenditure regarding the community trademark and design registration procedure	20,618,912.05	21,152,000.00		20,655,000.00	-2.35%
Title 10 Other expenditure		135,103,180.00		171,362,938.00	26.84%
TOTAL	246,204,166.23	384,202,180.00		383,749,938.00	-0.12%

*The OHIM has non-differentiated appropriations.

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

OHIM staff request should be aligned to the Communication COM(2013)519.

Financial Resources

The agency is self-financed. The competence for adopting the OHIM budget and approving the establishment plan lies with the OHIM Budget Committee.

4.1 Human Resources - Agency Request

The Commission has not received the information.

4.1.1 Number of staff requested

4.1.2 Salary assumption for calculating salary line (% applied)

4.1.3 Vacancy rate of end 2014

4.1.4 Standard abatement ('abatement forfaitaire') applied

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:		
Surface area (in square metres)		
Of which office space		
Annual rent (in EUR)		
Type and duration of rental contract		
Host country grant or support		
Present value of the building		

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

5.3 European schools

5.4 Evaluation

2.2.3 Other bodies

2.2.3.1 European Institute of Innovation and Technology – EIT

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology	11 March 2008	The EIT's mission is to contribute to sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union in order to address major challenges faced by European society. It shall do this by promoting synergies and cooperation among, and integrating, higher education, research and innovation of the highest standards, including by fostering entrepreneurship.
Regulation (EU) No 1292/2013 of the European Parliament and of the Council amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology	11 December 2013	
Decision No 1312/2013/EU of the European Parliament and of the Council on the Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT): the contribution of the EIT to a more innovative Europe	11 December 2013	The SIA (Strategic Innovation Agenda) defines the priority fields and the long- term strategy of the EIT and include an assessment of its economic impact and capacity to generate best innovation added-value.
Regulation (EU) No 1291/2013 of the European Parliament and of the Council establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC	11 December 2013	The financial contribution from Horizon 2020 to the EIT is implemented in accordance with the Regulation of the European Parliament and of the Council establishing the Horizon 2020 as well as the Regulation laying down the rules for the participation and dissemination in "Horizon 2020". The EIT funding to the KICs will cover "KIC added value activities".

1.2 Seat

Budapest, Hungary

1.3 Budget Line

15 03 05 : European Institute of Innovation and Technology – integrating the knowledge triangle of higher education, research and innovation

15 03 53 : Completion line European Institute of Innovation and Technology

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	34	27	34	34
Establishment plan posts : AST	5	5	5	5
Establishment plan posts : AST/SC				
Total Establishment plan posts	39	32	39	39
Contract Agents	20	15	20	22
Seconded National Experts	1	1	1	2
TOTAL STAFF	60	48	60	63

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	253 432 375	200 392 491
Additional EU funding: ad hoc grants and Delegation agreements		
Other Revenue	25 503 883	10 364 985
TOTAL REVENUES	278 936 258	210 757 476

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 258 800	5 258 800	5 459 250	5 459 250
Title 2 - Infrastructure and operating expenditure	1 435 700	1 435 700	1 058 500	1 058 500
Title 3 - Operational expenditure	272 241 758	222 860 913	204 239 726	260 027 084
TOTAL EXPENDITURE	278 936 258	229 555 413	210 757 476	266 544 834

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11		1		1		1		1		1
AD 10										
AD 9		4		2		5		7		7
AD 8		9		8		8		6		6
AD 7		3		1		5		9		9

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 6		15		13		14		13		10
AD 5		1		1						
AD TOTAL		34		27		34		37		34
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5						1		1		1
AST 4		3		2		2		3		3
AST 3		2		3		2		1		1
AST 2										
AST 1										
AST TOTAL		5		5		5		5		5
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		39		32		39		42		39
GRAND TOTAL		39		32		39		42		39

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	6	4	6	8
Function Group III	8	5	8	8
Function Group II	6	6	6	6
Function Group I				
TOTAL	20	15	20	22

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	1	1	1	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Estimated by the agency	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	221 576 921	253 432 375	200 392 491	200 392 491	-20,93%
- Of which assigned revenues deriving from previous years' surpluses			2 196 154	2 196 154	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	6 514 361	16 422 432	10 364 985	10 364 985	-36,89%
- Of which EEA/EFTA (excl. Switzerland)	6 514 361	7 461 202	5 891 539	5 891 539	-21,04%
- Of which candidate countries		8 961 230	4 473 446	4 473 446	-50,08%
4 OTHER CONTRIBUTIONS	1 560 000	1 560 000	0	0	-100,00%
- Of which additional EU funding stemming from ad hoc grants (FFR Art.7)					
- Of which additional EU funding stemming from delegation agreements (FFR Art.8)					
5 ADMINISTRATIVE OPERATIONS	30 853	8 601			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	23 419	8 601			-100%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT		725 281			-100%
7 CORRECTION OF BUDGETARY IMBALANCES	4 121 693	6 787 569 (1)			-100%
TOTAL	233 803 828	278 936 258	210 757 476	210 757 476	-24,44%

(1) Re-Enter Appropriations by the EIT (EIT Financial Regulation Art.14)

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Estimated by the agency	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 760 695	5 258 800	5 459 250	5 459 250	3,81%
Salaries & allowances	3 144 600	4 330 000	4 543 000	4 543 000	4,92%
- Of which establishment plan posts	2 524 600	3 480 000	3 663 000	3 663 000	5,26%
- Of which external personnel	620 000	850 000	880 000	880 000	3,53%
Expenditure relating to Staff recruitment	35 000	46 000	35 000	35 000	-23,91%
Mission expenses	229 989	330 000	330 000	330 000	0,00%
Socio-medical infrastructure	66 730	106 800	121 750	121 750	14,00%
Training	66 085	110 000	115 000	115 000	4,55%
External Services	216 333	333 000	311 000	311 000	-6,61%
Receptions, events and representation	1 958	3 000	3 500	3 500	16,67%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 051 003	1 435 700	1 058 500	1 058 500	-26,27%
Rental of buildings and associated costs	31 668	149 600	103 000	103 000	-31,15%
Information, communication technology and data processing	336 743	428 100	190 500	190 500	-55,50%
Movable property and associated costs	293	125 500	24 500	24 500	-80,48%
Current administrative expenditure	280 677	299 500	299 500	299 500	0,00%
Postage / Telecommunications					
Meeting expenses	366 946	383 000	390 000	390 000	1,83%
Running costs in connection with operational activities					
Information and publishing	34 676	50 000	51 000	51 000	2,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	216 765 223	272 241 758	204 239 726	204 239 726	-24,98%
Grants	213 982 609	269 393 758	200 429 726	200 429 726	-25,60%
Knowledge and innovation Communities	1 377 100	1 443 000	2 055 000	2 055 000	42,41%
Communication	1 385 514	1 285 000	1 330 000	1 330 000	3,50%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Estimated by the agency	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Strategy and stakeholder relations	20 000	120 000	425 000	425 000	254,17%
TOTAL	221 576 921	278 936 258	210 757 476	210 757 476	-24,44%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Estimated by the agency	Draft Budget 2016		VAR 2016/2015 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 337 078	5 258 800	5 459 250	5 459 250	3,81%
Salaries & allowances	2 875 532	4 330 000	4 543 000	4 543 000	4,92%
- Of which establishment plan posts	2 341 700	3 480 000	3 663 000	3 663 000	5,26%
- Of which external personnel	533 832	850 000	880 000	880 000	3,53%
Expenditure relating to Staff recruitment	25 584	46 000	35 000	35 000	-23,91%
Mission expenses	206 989	330 000	330 000	330 000	0,00%
Socio-medical infrastructure	56 873	106 800	121 750	121 750	14,00%
Training	23 435	110 000	115 000	115 000	4,55%
External Services	146 707	333 000	311 000	311 000	-6,61%
Receptions, events and representation	1 958	3 000	3 500	3 500	16,67%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	594 514	1 435 700	1 058 500	1 058 500	-26,27%
Rental of buildings and associated costs	5 209	149 600	103 000	103 000	-31,15%
Information, communication technology and data processing	70 290	428 100	190 500	190 500	-55,50%
Movable property and associated costs	293	125 500	24 500	24 500	-80,48%
Current administrative expenditure	236 214	299 500	299 500	299 500	0,00%
Postage / Telecommunications					
Meeting expenses	249 956	383 000	390 000	390 000	1,83%
Running costs in connection with operational activities					
Information and publishing	32 552	50 000	51 000	51 000	2,00%
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Estimated by the agency	Draft Budget 2016		
			Agency request	Budget forecast	
Title 3 - Operational expenditure	161 004 207	222 860 913	260 027 084	260 027 084	16,68%
Grants	159 589 322	220 032 529	256 505 684	256 505 684	16,58%
Knowledge and innovation Communities	649 762	1 423 230	1 871 400	1 871 400	31,49%
Communication	748 023	1 315 154	1 316 500	1 316 500	0,10%
Strategy and stakeholder relations	17 100	90 000	333 500	333 500	270,56%
TOTAL	164 935 799	229 555 413	266 544 834	266 544 834	16,11%

3.3 Budget Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as assigned revenue): Positive balance EUR 4 291 706,65. This amounts includes the cumulated outturn between 2011 and 2013 of EUR 2 196 154,68, which will be recovered by the European Commission.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of EIT request

4.1.1.1 Human resources

An increase of 2 contract agents and 1 seconded national expert as compared to 2015 staffing levels is proposed for 2016.

4.1.1.2 Financial resources

The major part of the European Institute of Innovation and Technology (EIT) budget relates with the KICs (Knowledge and Innovation Communities). The EIT contributes to the objectives of Horizon 2020 from which it will receive a financial contribution. The priority of the EIT remains fostering innovation activities "from the research to the market" in areas of societal challenges resulting in new products and processes. The EIT has been particularly active in the fields of ICT, climate change and sustainable energy, after the KICs selected in 2009 started the implementation of their activities. In 2016 the EIT will continue to promote and integrate the three components of the knowledge triangle in an innovative way and will extend the KIC method to two new areas: "raw materials" and "healthy living and active aging", after the selection of two new KICs in those areas in December 2014. Moreover, in 2016 a new call to cover the fields of Food for future and Added-value manufacturing will be launched.

The decrease of commitment credits in 2016 compared with 2015 is explained by the important contribution of the EIT to the financing of the EFSI (approximately EUR 136 million in 2016). This decrease explains why the payment appropriations in 2016 will be higher than the commitment appropriations. The 2016 payment appropriations should cover the pre-financing of the 2016 grant agreements to the KICs but also the payment of the balance of the 2015 grant agreements, which are higher than those of 2016.

4.1.2 Number of staff requested

The workload and staffing needs will rise significantly in 2016. In particular, to maintain the proper level of assurance for the significant budget increase and also considering the enhanced legal framework with the amended Framework Partnership Agreement, the EIT will have to reinforce its capacity on checks and controls, of both transactions and systems, while there will be a more significant workload on monitoring the implementation of activities and achievement of results as well. Added to this, as the first three KICs continue to step up and widen their portfolio of results and impact, the result-oriented monitoring will have to be enforced. Efforts to move towards financial sustainability and to better monitor the complementary funding provided to KICs will increase the challenges ahead. Finally, the workload-related impact of the two new KICs and additional KIC calls in 2016 should be reflected in the increased staffing level. As a consequence, the EIT requests a staff increase by 6 new members.

The foreseen allocation of human resources is the following:

FTE	Posts actually filled as of 31/12/2014	31/12/2015	31/12/2016
Director + Horizontal	7	7	7
Services and Finance	15	18	18
Partnership Management	161	22	30
Policy and Communication	12	13	13
TOTAL	50	60	66

1 including two letters of offers sent, staff members will start during 2015.

4.1.3 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the actual staff costs at the EIT and the salary categories defined by the Staff Regulation. To ensure adequacy to the cost of life in the host country, the correction coefficient in force in Hungary applies to the salaries. The budget appropriations covering staff expenditure for year 2016 are based on the estimate of 42 temporary agents (37 AD and 5 AST) and 22 contract agents and 2 SNEs. The results of the appraisal and promotion for Temporary Agents and Contractual Agents have been taken also into account, in the assumption that the necessary steps are implemented by the EIT.

4.1.4 Vacancy rate of end 2014

At the end of 2014, 50 posts (33 temporary agents and 16 contract agents and 1 Seconded National Expert) have been filled. Altogether 13 new staff members took up duty at the EIT and 6 left in 2014, taking the total number of staff to 50. Compared to the 41 at the end of 2013, this means an increase of 22 % from 2013 to 2014.

4.1.5 Standard abatement ('abatement forfaitaire') applied

The salary costs are planned based on the assumption that all the employees have been recruited by end of 2015 at the latest. The standard abatement applied is 2%.

4.2 Financial Resources

4.2.1 Title 1

It is estimated that there will be an increase of 3.8% (from EUR 5,3 million to EUR 5,5 million) in the total staff expenditure compared to 2015.

Chapter 11 – salaries and allowances: the salary costs of the EIT (Chapter 11) will increase by 4.9%, from EUR 4,3 million to EUR 4,5 million because of the budgeted staff increase. The EIT still being in its phase of growth and the planned staffing level will only be reached in 2017.

Chapter 12 – Expenditure relating to staff recruitment: because of the new post planned in 2016, an amount of EUR 35 000 has been budgeted (decrease of 24% compared to 2015) for recruitment expenses (Chapter 12) including any unforeseen turnover. Majority of the planned recruitments will be based on reserve lists established in 2015 to allow a decrease of recruitment costs despite of an increase of staff.

Chapter 13 – Mission expenses: no increase in mission expenses.

Chapter 14 – Socio-medical infrastructure: the socio-medical infrastructure expenses will increase by 14% as more staff member will be eligible for annual medical checkup and probably more staff members will bring their family to Hungary. The number of beneficiaries covered by the socio-medical schemes will expand. Moreover, the framework contract to be launched for medical check-ups should allow some economies of scale.

Chapter 16 – External services: external staff cost will decrease by 6.6% since full-time IT support is not foreseen in 2016. However, with a view to increasing the resources available at the Institute, the EIT has established the rules for having trainees in-house for specific assignments of three to six months. Based on the needs assessment and taking into account the financial impact of the policy, six trainees are requested for the whole Institute in 2016.

4.2.2 Title 2

The overall Title 2 infrastructure and operating expenditure is foreseen to decrease significantly from EUR 1,4 million to EUR 1.1 million. This decrease of 26% reflects the fact that the EIT's current offices will have been set-up by 2015,

with only maintenance and running cost rather than acquisition as the main items of expenditure. Additionally, IT related investments due to replacement of end-of-life server infrastructure and desktop PCs in 2014-2015 does not foresee any major expenditure in chapter 21.

4.2.3 Title 3

Title 3 Operational expenditure will decrease by 23.1% compared to 2015 due to the Commission proposal COM(2015) 10 final (EFSI).

Chapter 30 – Grants: the major part of the EIT’s operational expenditure is spent for KICs grants (Chapter 30), corresponding to approximately 98.1% of the Title 3 budget. In line with the Regulation of the European Parliament and of the Council No 1292/2013 of 11 December 2013 amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology, five grants will be awarded to the five designated KICs. The Commission’s proposal was based on the life cycle model of the KICs. Throughout their life, KICs experience different development phases with distinct financial needs. For KICs to have a structural impact across the EU, a sufficient size in terms of resources, partners and activities is needed. At the beginning their funding needs were relatively limited, but develop substantially over the years. By 2016, the three KICs designated in 2009 shall be fully established and implement their core activities, however still dynamically expanding in terms of scope of activities and number of partners. Two new KICs designated in 2014 will start the implementation of their first annual Business Plans in 2016 under the umbrella of the Framework Partnership Agreement. The amount of the Grants for 2015 and 2016 take into account the budgetary implications of the above mentioned Commission proposal COM(2015) 10 final (EFSI). As regards payment appropriations, a pre-financing rate of 50% is calculated on average for grants to be allocated in 2016 to the five KICs. Moreover, the payment of the 2015 balance will be covered by the credits of this chapter.

Chapter 31 – Knowledge and Innovation Communities: the expenses related to the KIC monitoring and performance measurement activities will increase by 86.5% due to increased number of KICs to be audited, assessment of annual reporting and development of the performance measurement indicators. The significant increase of 33% in expenses concerning EIT educational activities contains (i.a.) the following initiatives: The promotion of EIT labelled courses and Massive Open Online Programmes (MOOPs). To this end, a major EIT event will bring together relevant stakeholders in the field of education with a view to exchange experiences and explore potential themes for (further) co-operation. To evaluate the existing practices in relation with the EIT label and examine the consistency of its application, a qualitative review of the EIT label will be launched. In addition, the EIT will further support the development of the EIT Alumni Community (a core element of the EIT Strategic Innovation Agenda 2014-2020), in particular, the EIT will provide support for the legal set-up of the EIT Alumni Association as well as the annual EIT Alumni Connect Event.

Chapter 32 – Communications and outreach: the slight increase of 3.5% for communications and dissemination expenditure (Chapter 32) is related to the implementation of the recommendations of the EIT Communications Strategy, such as the implementation of an integrated communication campaign in order to promote good practice and showcase EIT’s and the KICs’ activities. To further develop and fine-tune the EIT’s communications tools and channels, the EIT will continue to invest and consolidate these activities to ensure good practices, lessons learnt and achievements are widely and appropriately disseminated to its entire stakeholder community.

Chapter 33 – Strategy and stakeholder relations: the expenses related to strategy and stakeholder relations will significantly increase by 254% as the EIT will continue to expand already established relationships with relevant EU Institutions, Member State bodies and regional authorities, business association, research and academic umbrella organisations, Third Countries and international organisations. In implementing the core elements of the EIT’s Strategic Innovation Agenda (SIA) such as the “EIT Stakeholder Forum” and “Member State Configuration”, engagement with all stakeholder categories will continuously be systematic, structured, focused and tailor-made manner benefitting also from the integration into Horizon 2020. The scale of the annual EIT Stakeholder Forum will vary; a large scale event is foreseen bi-annually, i.e. in 2017 (preparations including commitments will have to start in 2016). The EIT Stakeholder Forum related activities have been relocated from Chapter 32 to Chapter 33 explaining the large increase in the percentage of the budget in Chapter 33.

As part of engagement with stakeholders, the EIT will raise awareness of its Regional Innovation Scheme (EIT RIS) among excellent higher education institutions, research institutions and companies in regions not yet directly working with the EIT through its KICs as well as to other relevant stakeholders such as national and regional authorities. This will also include information sessions and the development of information material such as brochures. Moreover, based on identified requirements, the EIT will develop a web-based tool for supporting knowledge sharing and networking with a view to enhance the EIT’s impact and foster interaction.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Infopark, Building E, Budapest, Hungary	
Surface area (in square metres)	2807,3	
<ul style="list-style-type: none"> • Of which office space • Of which non-office space 	<ul style="list-style-type: none"> • 2309,81 • 497,49 	
Annual rent (in EUR)	0	
Type and duration of rental contract	20 years	
Host country grant or support	The Hungarian Government covers the rental fee of the EIT premises during 20 years according with the Host Agreement.	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010éviCLXVI.törvény, MagyarKözlöny, 2010évi197szám), which enters in force on 22 January 2011	The Protocol on Privileges and Immunities applies to the EIT staff. The Host agreement provides for other detailed privileges to the EIT staff members, taking into account the specific rules of the host country	The EIT has signed agreements with the following international schools: DeutscheSchule, Britannica International School and LyceeFrancais and with the following early childhood centres: Delfino Kindergarten and English Kindergarten.

5.3 European schools

Not applicable.

5.4 Evaluation

As stipulated by the EIT Regulation, the European Commission mandated a contractor to carry out an independent external evaluation of the EIT with particular focus on the EIT's added value, impact and relevance. The final result was published in May 2011.

This evaluation was broadly supportive and positive of the EIT's overall mission, concept and first achievements, whilst also highlighting areas for improvement and providing 13 concrete recommendations.

For more detailed information, please see the report in the following address:

http://ec.europa.eu/dgs/education_culture/evalreports/education/2011/eitreport_en.pdf

The Commission will launch a new external evaluation of the EIT by 2015, as foreseen in the art. 16 of the EIT Regulation. This evaluation will feed the review of the EIT to be implemented by the Commission with the assistance of external experts by 31 December 2017, as requested in Article 32 (2) of the Horizon 2020 Regulation.

2.2.4 Executive Agency

2.2.4.1 Executive Agency of heading 1A - Competitiveness for growth and jobs

2.2.4.1.1 Executive Agency for Small and Medium-sized Enterprises - EASME

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission implementing decision C(2013)9293 of 17/12/2013	OJ L 341 of 18/12/2013	Establishment of the 'Executive Agency for Small and Medium-sized Enterprises' repealing Decisions 2004/20/EC and 2007/372/EC
Commission decision C(2013)9414 of 23/12/2013	23/12/2013	Delegation of powers to the Executive Agency for Small and Medium-sized Enterprises (EASME) Commission Decision C(2014)4636 of 11 July 2014
Commission decision C(2014)4636 of 11/07/2014	11/07/2014	Amending Commission Decision C(2014)4636 of 11 July 2014 as regards the delegation of powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of the Union programme in the field of maritime policy and fisheries
Commission decision C(2014)6944 of 2/10/2014	2/10/2014	Amending Decision(2013)9414 adding the 'Fast Track to Innovation' pilot scheme.

1.2 Seat

Brussels

1.3 Budget Line

02 01 06 01 : Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)

07 01 06 01 : Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE

08 01 06 03 : Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020

11 01 06 01 : Executive Agency for Small- and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	22	22	32	33
Temporary Agents (recruited by the executive agency)	55	39	65	75
Total Establishment plan posts	77	61	97	108
Contract Agents	231	222	297	329
Seconded National Experts	0	0	0	0
TOTAL STAFF	308	283	394	437

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	36 817 560	41 082 842
2. EEA/EFTA contribution (excl.Switzerland)	625 578	738 425
3. Participation of candidate countries and/or third countries		
TOTAL	37 443 138	41 821 267

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	25 099 109	25 099 109	30 212 747	30 212 747
Title 2 - Infrastructure and operating expenditure	5 828 200	5 828 200	5 961 020	5 961 020
Title 3 - Programme support expenditure	6 515 829	6 515 829	5 647 500	5 647 500
TOTAL EXPENDITURE	37 443 138	37 443 138	41 821 267	41 821 267

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2016, the executive agency is in the third year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Horizon 2020		X	
Horizon 2020: Completion of CIP IEE I			X
Horizon 2020: Completion of CIP IEE II			X
Horizon 2020: Completion of CIP Eco-Innovation			X
COSME		X	
COSME: Completion of EIP			X
LIFE		X	
EMFF		X	

2.2 Operational appropriations managed

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of CIP (Prior to 2007)						
32 04 54 - Completion of the Intelligent energy — Europe programme (2003 to 2006)						
Operational Budget	0	0	-	p.m.	-	p.m.
<i>Of which managed by the executive agency</i>	-	-	-	<i>p.m.</i>	-	<i>p.m.</i>
Completion of Competitiveness and Innovation Framework Programme						
02 02 51 - Completion of former activities in the competitiveness and entrepreneurship domain						
Operational Budget	0	36 819 162	p.m.	37 284 452	p.m.	6 200 000
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>12 000 000</i>	<i>p.m.</i>	<i>24 830 921</i>	<i>p.m.</i>	<i>0</i>
02 04 53 - Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)						
Operational Budget	2 270	54 146 049	p.m.	36 262 756	p.m.	27 600 000
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>23 900 000</i>	<i>p.m.</i>	<i>16 700 000</i>	<i>p.m.</i>	<i>16 800 000</i>
32 04 53 - Completion of the Intelligent energy — Europe programme (2007 to 2013)						
Operational Budget	1 342 936	88 979 170	p.m.	80 826 625	p.m.	97 431 406
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>65 417 443</i>	<i>p.m.</i>	<i>62 000 000</i>	<i>p.m.</i>	<i>70 000 000</i>
Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME)						
02 02 01 - Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises						
Operational Budget	106 139 751	7 386 695	108 561 823	72 183 633	108 375 000	47 905 000
<i>Of which managed by the executive agency</i>	<i>94 950 764</i>	<i>5 994 572</i>	<i>101 778 000</i>	<i>72 183 633</i>	<i>102 519 250</i>	<i>40 200 000</i>
02 02 02 - Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt						
Operational Budget	140 787 114	74 244 830	174 791 725	99 027 161	160 447 967	100 000 000
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>p.m.</i>	<i>605 000</i>	<i>605 000</i>	<i>600 000</i>	<i>600 000</i>
European Maritime and Fisheries Fund (EMFF)						
11 06 61 - Fostering the development and implementation of the Union's integrated maritime policy						
Operational Budget	29 186 090	10 755 724	32 738 385	23 969 480	38 426 980	30 491 000

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	21 190 000	<i>p.m.</i>	27 564 000	10 798 000	33 700 000	19 000 000
11 06 62 01 - Scientific advice and knowledge						
Operational Budget	6 540 000	21 892 643	8 680 015	18 775 139	8 485 701	14 000 000
<i>Of which managed by the executive agency</i>	2 600 000	<i>p.m.</i>	2 400 000	1 500 000	3 900 000	3 900 000
11 06 62 02 - Control and enforcement						
Operational Budget	14 956 055	23 848 031	15 510 967	35 954 220	15 510 967	32 700 000
<i>Of which managed by the executive agency</i>	10 800 000	<i>p.m.</i>	10 520 000	<i>p.m.</i>	11 000 000	6 000 000
The Framework Programme for Research and Innovation (Horizon 2020)						
02 04 02 01 - Leadership in space						
Operational Budget	172 706 335	48 624 466	165 847 152	113 594 175	158 446 652	147 533 544
<i>Of which managed by the executive agency</i>	8 416 700	80 000	11 745 000	6 134 400	14 490 000	10 000 000
02 04 02 03 - Increasing innovation in small and medium-sized enterprises (SMEs)						
Operational Budget	33 075 345	2 939 912	34 105 989	17 650 787	35 643 862	18 500 000
<i>Of which managed by the executive agency</i>	33 043 345	2 922 179	33 103 273	17 650 787	35 640 000	18 500 000
02 04 03 01 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials						
Operational Budget	74 997 291	7 225 024	73 904 264	30 583 047	74 701 325	62 200 000
<i>Of which managed by the executive agency</i>	73 438 436	6 747 409	73 320 135	29 000 000	74 000 000	62 200 000
02 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	11 771 890	69 677	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>					<i>p.m.</i>	<i>p.m.</i>
05 09 03 01 - Securing sufficient supplies of safe and high quality food and other bio-based products						
Operational Budget	52 095 604	1 165 882	101 455 799	19 076 239	212 854 525	79 277 928
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>p.m.</i>	11 860 000	2 283 000	24 448 000	8 916 768
05 09 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	11 730	0	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>					p.m.	p.m.
06 03 03 01 - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system						
Operational Budget	190 298 913	691 467	138 764 242	52 428 081	109 250 820	127 796 246
<i>Of which managed by the executive agency</i>	10 637 500	143 467	15 845 000	7 766 505	15 100 000	11 913 306
06 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	7 894 781	382 593	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>					p.m.	p.m.
08 02 02 01 - Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing						
Operational Budget	496 248 079	55 851 350	503 592 719	178 666 161	502 450 912	407 929 917
<i>Of which managed by the executive agency</i>	38 024 449	400 000	35 263 260	24 031 403	63 120 264	32 756 217
08 02 03 01 - Improving lifelong health and well-being						
Operational Budget	554 198 409	41 977 597	540 954 714	271 316 606	521 637 583	299 890 040
<i>Of which managed by the executive agency</i>	65 460 550	480 000	57 996 159	40 867 822	53 429 182	49 199 175
08 02 03 02 - Securing sufficient supplies of safe, healthy and high quality food and other bio-based products						
Operational Budget	208 147 794	1 407 426	94 094 592	83 884 929	141 851 093	89 735 746
<i>Of which managed by the executive agency</i>	13 862 800	200 000	18 496 211	39 660 475	28 643 190	16 490 985
08 02 03 03 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	307 466 237	2 759 305	313 168 348	137 003 313	333 977 808	242 548 217
<i>Of which managed by the executive agency</i>	16 801 250	240 000	22 863 116	11 706 192	24 285 992	18 205 606
08 02 03 04 - Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless						
Operational Budget	387 411 556	5 122 790	159 469 104	288 354 444	330 992 583	174 476 315
<i>Of which managed by the executive agency</i>	24 887 050	380 000	36 971 000	17 938 211	47 021 333	29 332 011

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
08 02 03 05 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials						
Operational Budget	274 486 195	1 688 156	297 719 374	131 157 582	283 265 173	150 855 696
<i>Of which managed by the executive agency</i>	227 307 742	<i>p.m.</i>	242 757 260	97 443 012	251 350 928	133 016 762
08 02 03 06 - Fostering inclusive, innovative and reflective European societies						
Operational Budget	113 807 988	18 144 618	114 233 382	74 273 114	111 929 624	117 834 666
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>p.m.</i>	12 871 575	2 653 002	9 743 540	6 784 664
08 02 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	168 585 829	25 988 510	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
09 04 02 01 - Leadership in information and communications technology						
Operational Budget	759 968 488	42 533 822	824 154 429	467 483 720	718 265 330	799 548 750
<i>Of which managed by the executive agency</i>	45 000 000	600 000	60 850 000	31 988 160	75 772 295	50 889 420
09 04 03 01 - Improving lifelong health and well-being						
Operational Budget	130 908 869	3 190 531	132 981 639	52 428 081	117 323 526	142 332 732
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>p.m.</i>	2 600 000	0	20 011 657	5 412 333
09 04 03 02 - Fostering inclusive, innovative and reflective European societies						
Operational Budget	36 338 878	313 569	43 725 806	21 845 034	36 289 820	47 093 653
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>p.m.</i>	4 800 000	990 667	3 691 471	2 568 333
09 04 03 03 - Fostering secure European societies						
Operational Budget	48 181 957	207 000	50 665 706	18 786 729	45 457 909	50 080 000
<i>Of which managed by the executive agency</i>	<i>p.m.</i>	<i>p.m.</i>	975 000	130 000	6 794 509	1 957 800
09 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	7 779 364	550 314	p.m.	p.m.	p.m.	p.m.

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>						
15 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	12 507 013	4 646 979	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
18 05 03 01 - Fostering secure European societies						
Operational Budget	142 616 390	1 517 492	145 735 857	51 650 398	134 966 551	114 789 343
<i>Of which managed by the executive agency</i>	<i>6 931 400</i>	<i>120 000</i>	<i>10 325 000</i>	<i>5 095 000</i>	<i>13 445 000</i>	<i>8 394 759</i>
18 05 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	9 649 626	301 498	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
32 04 03 01 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	328 594 622	6 049 503	327 988 791	133 691 606	322 875 370	256 130 706
<i>Of which managed by the executive agency</i>	<i>119 207 125</i>	<i>3 004 665</i>	<i>130 253 909</i>	<i>66 300 279</i>	<i>111 119 000</i>	<i>110 248 082</i>
32 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	14 947 221	151 386	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
Programme for the Environment and Climate Action (LIFE)						
07 02 01 - Contributing to a greener and more resource-efficient economy and to the development and implementation of Union environmental policy and legislation						
Operational Budget	109 562 163	1 750 953	120 670 000	29 371 242	128 831 000	49 000 000
<i>Of which managed by the executive agency</i>	<i>65 880 000</i>	<i>p.m.</i>	<i>72 000 000</i>	<i>18 027 520</i>	<i>78 000 000</i>	<i>22 000 000</i>
07 02 02 - Halting and reversing biodiversity loss						
Operational Budget	140 802 000	145 998	147 832 750	39 021 354	157 206 000	49 000 000
<i>Of which managed by the executive agency</i>	<i>92 100 000</i>	<i>p.m.</i>	<i>93 500 000</i>	<i>25 366 800</i>	<i>95 000 000</i>	<i>28 500 000</i>

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
07 02 03 - Supporting better environmental governance and information at all levels						
Operational Budget	38 433 395	2 280 153	51 493 000	15 524 553	55 683 358	40 000 000
<i>Of which managed by the executive agency</i>	25 653 803	<i>p.m.</i>	25 641 337	10 003 447	28 716 184	13 800 000
34 02 01 - Reducing Union greenhouse gas emissions						
Operational Budget	45 442 595	6 372 999	46 972 000	22 285 864	49 435 000	22 900 000
<i>Of which managed by the executive agency</i>	19 755 000	<i>p.m.</i>	22 000 000	6 321 600	24 000 000	6 000 000
34 02 02 - Increasing the resilience of the Union to climate change						
Operational Budget	42 151 650	3 694 048	46 032 000	27 692 419	48 785 000	22 850 000
<i>Of which managed by the executive agency</i>	19 755 000	<i>p.m.</i>	28 670 000	6 321 600	32 600 000	8 000 000
34 02 03 - Better climate governance and information at all levels						
Operational Budget	9 574 819	104 848	11 510 250	2 936 741	13 502 000	7 250 000
<i>Of which managed by the executive agency</i>	6 750 000	<i>p.m.</i>	8 000 000	2 653 000	9 500 000	3 950 000
TOTAL operational budget managed by the agency	1 042 452 914	122 629 735	1 175 574 235	658 950 436	1 291 641 795	815 536 221

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the amending decision C(2014)6944 of 2.10.2014.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	22	32	33	33	34	34	35
Temporary Agents (recruited by the agency)	55	65	75	77	82	86	91
Executive Agency's total Establishment Plan Posts	77	97	108	110	116	120	126
Contract Agents (*)	231	297	329	337	352	365	376
Total staff of the agency							
Seconded National Experts(SNE)							
Total staff of the Executive agency	308	394	437	447	468	485	502

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	4	3	4	4
AD 13	11	4	11	7
AD 12	8	2	10	5
AD 11	4	3	5	6
AD 10	10	4	8	8
AD 9	10	7	10	12
AD 8	6	11	7	15
AD 7	4	5	8	10
AD 6	4	6	7	8
AD 5	4	6	12	14
AD TOTAL	65	51	82	89
AST 11	1			
AST 10				
AST 9	1			
AST 8				
AST 7	2		2	2
AST 6	1			
AST 5	2	1	2	4
AST 4	2	6	8	10
AST 3	3	3	3	3
AST 2				
AST 1				
AST TOTAL	12	10	15	19
AST/SC 6				
AST/SC 5				

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	77	61	97	108
Of which Officials seconded by the commission	22	22 (1)	32	33

(1) plus 4 posts from RTD, leading to a total of seconded officials of 26.

3.2.2 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	114	97	150	154
Function Group III	90	88	117	139
Function Group II	26	36	29	35
Function Group I	1	1	1	1
TOTAL	231	222	297	329

3.2.3 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	0	0

3.3 Impact of the creation of the Executive Agency on the human resources of the commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG ENTR	8	8	0	0
Total	8	8	0	0

Source: Legislative financial statement accompanying the communication to the Commission C(2007)2234 of 23 May 2007.

3.3.1.2 At extension of the mandate of the executive agency

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG ENTR (posts)	16	16	0	0
DG ENV (posts)	6,5	6,5	0	0
DG MOVE/ENER (posts)	2,5	2,5	0	0
Total	25	25	0	0

Source: Legislative financial statement accompanying the communication to the Commission C(2007)2234 of 23 May 2007.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
All parent DGs (posts)	94	0	5	14,8
All parent DGs (external personnel)	52,2	8	6,9	11,2
Total	146,2	8	11,9	26

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG RTD (external personnel)	8	0	1	3
Total	8	0	1	3

Source: Specific financial statement accompanying the amending decision C(2014)6944 on delegating the Fast Track Innovation pilot scheme.

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2014	In 2015	In 2016
DG ENV	1	0	0
Total	1	0	0

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2015	Number of posts frozen in 2016
DG CNECT	2	2	2
DG ENER	5	5	5
DG ENTR	11	11	11
DG ENV	3	1	2
DG MARE	4	4	4
DG RTD	9	9	9
Total	34	32	33

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013; Amending decision C(2014)6944 extending the mandate by the Fast Track Innovation pilot scheme.

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013; Amending decision C(2014)6944 extending the mandate by the Fast Track Innovation pilot scheme.)	2014	2015	2016	2017	2018	2019	2020
	31 148 000	42 829 000	48 141 000	51 222 000	56 468 000	61 336 000	64 430 000
Agency contribution as voted by the budgetary authority							
Initial Budget (EUR-28)	31 148 000	36 406 747					
Budget after transfers and / or amending budgets (EUR-28)		36 817 560					
Operating budget of the agency							
Initial Budget (EUR-28)	27 476 150	37 443 138					
Budget after transfers and / or amending budgets (EUR-28)	24 484 000						

4.2 Revenues

REVENUES		2014	2015	2016	VAR 2016/2015 (%)
		Executed Budget (1)	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
02 01 06 01	COSME	6 626 000	8 154 177	8 786 033	7,75%
07 01 06 01	LIFE2020	3 145 000	5 608 850	4 471 642	-20,28%

08 01 06 03	H2020	13 930 000	21 056 283	24 877 409	18,15%
11 01 06 01	EMFF2020	783 000	1 998 250	2 947 758	47,52%
Sub Total		24 484 000	36 817 560	41 082 842	11,58%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
02 01 06 01	COSME		6 523	7 029	7,76%
08 01 06 03	H2020		619 055	731 396	18,15%
Sub Total			625 578	738 425	18,04%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
02 01 06 01	COSME				
08 01 06 03	H2020				
Sub Total					
TOTAL REVENUES		24 484 000	37 443 138	41 821 267	11,69%

(1) For 2014, the subtotal amounting to EUR 24 484 000 includes the EFTA contribution (EUR 5 620 for 02 01 06 01 COSME and EUR 571 650 for 08 01 06 03 Horizon 2020).

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	15 030 567	25 099 109	30 212 747	20,37%
Remunerations, Allowances and Charges	14 198 657	23 881 688	28 804 013	20,61%
- <i>Of which establishment plan posts</i>	5 299 326	8 268 646	10 722 803	29,68%
- <i>Of which external personnel</i>	8 899 331	15 613 042	18 081 210	15,81%
Professional Development and Social expenditure	831 910	1 217 421	1 408 734	15,71%
Title 2 - Infrastructure and operating expenditure	3 628 491	5 828 200	5 961 020	2,28%
Building expenditure	2 180 000	3 450 000	3 811 000	10,46%
ICT expenditure	1 271 000	1 941 450	1 831 220	-5,68%
Movable Property and Current Operating expenditure	177 491	436 750	318 800	-27,01%
Title 3 - Programme support expenditure	3 624 099	6 515 829	5 647 500	-13,33%
Programme Management expenditure	3 624 099	6 515 829	5 647 500	-13,33%
Common Support Services expenditure				
TOTAL	22 283 157	37 443 138	41 821 267	11,69%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	14 707 778	25 099 109	30 212 747	20,37%
Remunerations, Allowances and Charges	14 166 534	23 881 688	28 804 013	20,61%
- <i>Of which establishment plan posts</i>	5 299 326	8 268 646	10 722 803	29,68%
- <i>Of which external personnel</i>	8 867 208	15 613 042	18 081 210	15,81%
Professional Development and Social expenditure	541 244	1 217 421	1 408 734	15,71%
Title 2 - Infrastructure and operating expenditure	2 797 904	5 828 200	5 961 020	2,28%
Building expenditure	1 768 037	3 450 000	3 811 000	10,46%
ICT expenditure	994 910	1 941 450	1 831 220	-5,68%
Movable Property and Current Operating expenditure	34 957	436 750	318 800	-27,01%
Title 3 - Programme support expenditure	1 006 237	6 515 829	5 647 500	-13,33%
Programme Management expenditure	1 006 237	6 515 829	5 647 500	-13,33%
Common Support Services expenditure				
TOTAL	18 511 919	37 443 138	41 821 267	11,69%

4.4 Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as general revenue): EUR 2 505 929.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of FTEs requested for 2016 is in accordance with the adjusted Specific Financial Statement following the adoption of the decision in October 2014 to transfer the management of the Fast Track for Innovation (FTI) pilot scheme to EASME. The additional human resources needed for FTI were compensated by redeployment from other programmes managed by other agencies, DGs but also EASME itself. The redeployment within EASME is done from H2020: Secure and efficient energy (-1 Contract agent as from 2016) and H2020: Climate action and resource efficiency (-1 Contract Agent as from 2015 and a further -1 Contract Agent as from 2016). Moreover, the number of staff requested for 2016 takes into account the additional 6 FTE attributed to the LIFE programme (1 seconded official and 5 CAs) as well as the reduction of 6 FTE for EFSI.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

N/A

5.1.3 Salary assumption for calculating salary lines (% applied)

The calculation of the salaries is based on the recruitment plan set up at the Agency.

Temporary Agents: average salary = EUR 111 000. This amount is based on the average salaries/grade x number of posts. 80% of the total corresponds to the basic salaries whereas 20% corresponds to the allowances.

Contract Agents: average salary = EUR 53 500. This amount is based on the average salaries/GF x number of posts. 80% of the total corresponds to the basic salaries whereas 20% corresponds to the allowances.

The salary increase for 2016 was calculated based on the evolution of the cost of living for 2015 to 2016. The abatement mentioned in point 5.5. has been applied to the total salaries (basic salaries + allowances). On top of the predicted salary costs a reserve of 3,5% was added to cover: transport costs, promotions and annual travel expenses.

5.1.4 Vacancy rate as of end 2014

At the end of 2014 the EASME employed 283 staff (26 seconded officials, 35 temporary agents 2f, 222 contract agents) out of 308 authorised for 2014. In total 92% of all posts were filled by the end of 2014. The number of seconded officials authorised under the budget 2014 was set at 22. Due to the build-up phase of the agency and the importance of having the management posts and some posts of responsibility such as HoS to be filled at the beginning of 2014, five more posts were opened for front-loading in 2014 (instead of 2015 as initially foreseen) in coordination with the respective parent DGs. Of these 5 additional posts one was still under recruitment by the respective parent DG by the end of 2014 (interview scheduled for January 2015, republished for the third time). Out of fifteen posts of temporary agents, 2 remained unfilled by the end of 2014 due mainly to the delays in the recruitment processes. For some programmes, as they were delegated later in 2014, the recruitment could only be started in the second part of the year (e.g. EMFF). Out of 231 positions for contract agents only 9 remained unfilled by the end of 2014 mainly due to the delays in the arrivals of selected candidates.

5.1.5 Standard abatement('abatement forfaitaire')

The standard abatement applied takes into account the time to recruit as well as possible turnover plus part time and full time absences (part time work, time credits and parental leave). The standard abatement was calculated per programme in the first two years of the new programming period, reflecting the progression of their delegation to the Agency and the different evolution of each of the programmes. In 2016 it is foreseen that all the setting up period will be finalised and the standard abatement is expected to be 5- 7% for all of them.

5.2 Financial Resources

5.2.1 Title 1

The Legislative Financial Statement accompanying the revised mandate extension foresaw an amount of EUR 27.877 million, whereas the DB 2016 requests EUR 30.213 million. The difference of EUR 2.336 million is due to the recruitment of seconded officials at high grades.

5.2.2 Title 2

The Legislative Financial Statement accompanying the revised mandate extension foresaw an amount of EUR 9.716 million, whereas the DB 2016 requests EUR 5.961 million. The difference of EUR 3.755 million is mainly due to the estimates used on the costs for the building: the estimates in title 2 are now calculated on the basis of real costs for the building, under the assumption that the agency would move to another building or get additional office space in COV2 in 2016. These estimates are lower than those used at the basis to calculate the cost for the building in the Specific Financial Statement (SFS) prepared in the third quarter of 2013.

5.2.3 Title 3

The Legislative Financial Statement accompanying the revised mandate extension foresaw EUR 10.396 million, whereas the DB 2016 requests EUR 5.648 million. The difference of EUR 4.748 million is mainly due to the re-organisation of the LIFE budget: the SFS included a provision to finance a services contract for evaluation and monitoring. This approach has been revised in DB 2016 resulting in a transfer of appropriations to the operational lines to manage the monitoring of the actions and the reinforcement of title 1 of the administrative budget by a transfer from title 3 to proceed to the evaluation of the calls by in-house staff. The initial estimates in the SFS for all the programmes were based on assumptions and extrapolations from previous programmes. The elements available in 2013 did not allow for more real based calculations.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Covent Garden 2 (COV2) Place Rogier 16 1210 Brussels, Building 2	The negotiations for the rental of the second building are still ongoing and its exact location is not yet known.
Surface area (in square metres)	For COV2: 6 623	
1. Of which office space	For Building 2: Still under negotiation, although it should be a similar surface	
2. Of which non-office space		
Annual rent (in EUR)	For COV2 – 1 205 000 € For Building 2 – Still under negotiation. The final amount is not yet known.	For COV2 - The rent does not cover rent charges and related costs. For Building 2 - Estimates have been made on the same basis than those of COV2 rent
Type and duration of rental contract	For COV2 - Usufruct contract for a period of 15 years. For LOI-15 – Possible contract is still under negotiation	
Host country grant or support	N/A	N/A
Present value of the building	N/A	N/A

6.1.2 Building project in the planning phase

N.A.

6.1.3 Building projects submitted to the European Parliament and the Council

N.A.

6.2 Evaluation

An evaluation of the three years operation (2011-2013) of EACI is ongoing. The final report is expected by July 2015. The previous one finished was in May 2011 and is available on the website:

http://ec.europa.eu/cip/files/docs/evaluation-of-eaci-may-2011_en.pdf

The next evaluation will cover 2014-2016, and is foreseen to be published in 2017.

2.2.4.1.2 Innovation and Networks Executive Agency - INEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
TEN-T EA Commission decision 2007/60/EC of 26/10/2006	OJ L32 of 06/02/2007	Management of EU operational programmes in the field of trans-European transport networks
TEN-T EA Commission decision 2008/593/EC of 11/07/2008	OJ L190 of 18/07/2008	Extension of mandate to the management of TEN-T 2007 - 2013 programmes
INEA Commission decision 2013/801/EU of 23/12/2013	OJ L352 of 24/12/2013	Establishment of the Innovation and Networks Executive Agency

1.2 Seat

Brussels

1.3 Budget Line

06 01 06 01 : Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)

06 01 06 03 : Innovation and Networks Executive Agency — Contribution from the Cohesion Fund

08 01 06 04 : Innovation and Networks Executive Agency — Contribution from Horizon 2020

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	17	13	21	24
Temporary Agents (recruited by the executive agency)	32	31	38	39
Total Establishment plan posts	49	44	59	63
Contract Agents	113	107	138	184
Seconded National Experts	0	0	0	0
TOTAL STAFF	162	151	197	247

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	19 777 921	24 013 018
2. EEA/EFTA contribution (excl.Switzerland)	138 474	165 029
3. Participation of candidate countries and/or third countries		108 137
TOTAL	19 916 395	24 286 184

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 972 000	14 972 000	17 262 000	17 262 000
Title 2 - Infrastructure and operating expenditure	3 582 000	3 582 000	5 427 000	5 427 000
Title 3 - Programme support expenditure	1 362 000	1 362 000	1 597 184	1 597 184
TOTAL EXPENDITURE	19 916 000	19 916 000	24 286 184	24 286 184

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2016, the Executive Agency is in the 10th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Completion of trans-European networks programme			x
Completion of Marco Polo programme – Marco Polo II			x
Connecting Europe Facility (CEF)/ Transport		x	
Connecting Europe Facility (CEF)/ ICT		x	
Connecting Europe Facility (CEF)/ Energy		x	
Horizon 2020 / Societal challenges - Transport		x	
Horizon 2020 / Societal challenges - Energy		x	

2.2 Operational appropriations managed

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Connecting Europe Facility (CEF)						
06 02 01 01 - Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections						
Operational Budget	1 122 087 732	419 183	686 522 277	463 983 806	771 941 027	582 450 000
<i>Of which managed by the executive agency</i>	<i>1 086 295 732</i>	<i>0</i>	<i>686 522 277</i>	<i>454 574 218</i>	<i>771 778 616</i>	<i>568 000 000</i>
06 02 01 02 - Ensuring sustainable and efficient transport systems						

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	69 860 000	0	43 000 681	32 970 282	48 062 964	31 045 375
<i>Of which managed by the executive agency</i>	<i>68 860 000</i>	<i>0</i>	<i>37 210 681</i>	<i>32 470 282</i>	<i>42 272 964</i>	<i>28 500 000</i>
06 02 01 03 - Optimising the integration and interconnection of transport modes and enhancing interoperability						
Operational Budget	209 548 550	122 400	129 002 042	89 137 637	144 188 892	92 100 505
<i>Of which managed by the executive agency</i>	<i>192 173 850</i>	<i>0</i>	<i>109 302 042</i>	<i>84 115 910</i>	<i>124 488 892</i>	<i>77 500 000</i>
09 03 03 - Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level						
Operational Budget	69 134 344	293 721	56 067 770	29 687 199	103 130 661	60 166 409
<i>Of which managed by the executive agency</i>	<i>19 500 000</i>	<i>0</i>	<i>44 599 070</i>	<i>8 104 510</i>	<i>45 657 564</i>	<i>18 641 651</i>
32 02 01 01 - Further integration of the internal energy market and the interoperability of electricity and gas networks across borders						
Operational Budget	124 373 261	0	115 554 000	14 631 591	182 235 000	43 223 000
<i>Of which managed by the executive agency</i>	<i>124 373 261</i>	<i>0</i>	<i>115 554 000</i>	<i>14 251 591</i>	<i>181 475 000</i>	<i>42 503 000</i>
32 02 01 02 - Enhancing Union security of energy supply						
Operational Budget	122 042 833	0	115 554 000	14 631 591	182 235 000	43 223 000
<i>Of which managed by the executive agency</i>	<i>122 042 833</i>	<i>0</i>	<i>115 554 000</i>	<i>14 631 591</i>	<i>181 475 000</i>	<i>43 223 000</i>
32 02 01 03 - Contributing to sustainable development and protection of the environment						
Operational Budget	122 042 833	0	115 555 000	14 631 591	182 235 818	43 223 000
<i>Of which managed by the executive agency</i>	<i>121 042 833</i>	<i>0</i>	<i>115 555 000</i>	<i>14 131 591</i>	<i>181 475 000</i>	<i>42 573 000</i>
Contribution from CF to CEF						
06 02 01 04 - Connecting Europe Facility (CEF) — Cohesion Fund allocation						
Operational Budget	982 996 970	0	1 215 582 454	392 121 515	2 372 380 457	378 659 459
<i>Of which managed by the executive agency</i>	<i>967 080 000</i>	<i>0</i>	<i>1 215 582 454</i>	<i>388 402 743</i>	<i>2 372 380 457</i>	<i>375 000 000</i>
Completion Marco Polo 2						
06 02 52 - Completion of Marco Polo programme						
Operational Budget	0	17 253 825	p.m.	17 463 073	p.m.	19 185 423

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	0	16 257 944	0	16 600 000	<i>p.m.</i>	17 200 000
Completion of Trans-European transport network						
06 02 51 - Completion of trans-European networks programme						
Operational Budget	208 549	782 315 141	p.m.	632 817 206	p.m.	630 340 000
<i>Of which managed by the executive agency</i>	0	590 078 121	0	480 478 973		576 000 000
The Framework Programme for Research and Innovation (Horizon 2020)						
06 03 03 01 - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system						
Operational Budget	190 298 913	691 467	138 764 242	52 428 081	109 250 820	127 796 246
<i>Of which managed by the executive agency</i>	140 707 346	0	117 169 405	46 713 532	93 889 593	82 898 775
06 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	7 894 781	382 593	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>			<i>p.m.</i>	<i>p.m.</i>	<i>p.m.</i>	<i>p.m.</i>
08 02 03 03 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	307 466 237	2 759 305	313 168 348	137 003 313	333 977 808	242 548 217
<i>Of which managed by the executive agency</i>	258 857 277	0	219 950 870	117 649 220	209 386 240	153 452 947
08 02 03 04 - Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless						
Operational Budget	387 411 556	5 122 790	159 469 104	288 354 444	330 992 583	174 476 315
<i>Of which managed by the executive agency</i>	332 754 208	0	111 408 874	143 705 114	254 395 767	126 558 690
08 02 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	168 585 829	25 988 510	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>			<i>p.m.</i>	<i>p.m.</i>	<i>p.m.</i>	<i>p.m.</i>
32 04 03 01 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	328 594 622	6 049 503	327 988 791	133 691 606	322 875 370	256 130 706

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	155 512 036	0	168 297 793	49 368 564	162 500 000	120 748 008
32 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	14 947 221	151 386	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>			<i>p.m.</i>	<i>p.m.</i>	<i>p.m.</i>	<i>p.m.</i>
TOTAL operational budget managed by the agency	3 589 199 376	606 336 065	3 056 706 466	1 865 197 839	4 621 175 093	2 272 799 071

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013, taking account of Commission decision C(2014)6944 of 2.10.2014 on the implementation of the Fast Track to Innovation pilot scheme by EASME and the subsequent adjustment of INEA resources.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	17	22	26	26	26	26	26
Temporary Agents (recruited by the agency)	32	34	40	45	47	50	53
Executive Agency's total Establishment Plan Posts	49	56	66	71	73	76	79
Contract Agents (*)	113	131	196	211	219	227	238
Seconded National Experts (SNE)							
Total staff of the Executive Agency	162	187	262	282	292	303	317

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14 (1)	4	4	5	7
AD 13	8	8	9	9
AD 12	3	1	3	5
AD 11	3	3	4	4
AD 10	2	2	3	3

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AD 9	3	2	4	4
AD 8	5	5	8	8
AD 7	8	8	10	10
AD 6	5	4	3	2
AD 5	1	1	2	1
AD TOTAL	42	38	51	53
AST 11				
AST 10				
AST 9				
AST 8				
AST 7	1	1	1	1
AST 6				
AST 5	2	2	2	2
AST 4	2	1	2	2
AST 3	2	2	3	5
AST 2				
AST 1				
AST TOTAL	7	6	8	10
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	49	44	59	63
Of which Officials seconded by the commission	17	13	21	24

(1) The establishment plan accepts the following ad personam appointment: 1 AD 14 official may become AD 15.

3.2.2 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate (1)
Function Group IV	51	45	65	99
Function Group III	35	34	45	55
Function Group II	27	28	28	30
Function Group I	0		0	
TOTAL	113	107	138	184

(1) In addition to the 184 contract agents, 4 contract agents will be financed on appropriations accruing from contributions from EEA/EFTA countries.

3.2.3 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	0	0

3.3 Impact of the creation of the Executive Agency on the human resources of the commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end2014	Staff to be freed in 2015	Staff to be freed in 2016
DG MOVE (posts)	32	32	0	0
DG MOVE (external personnel)	6	6	0	0
Total	38	38	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C (2006)5032 of 19 October 2006.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
All parent DGs (posts)	95	0	14	17

All parent DGs (external personnel)	46,9	6	7,8	8,8
Total	141,9	6	21,8	25,8

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013.

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2014	In 2015	In 2016
DG 1			
Total	0	0	

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2015	Number of posts frozen in 2016
DG MOVE	14	12	13
DG ENER	3	3	3
DG RTD	6	4	5
DG CNECT	3	2	3
Total	26	21	24

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013, which was further amended following the delegation of the Horizon 2020 Fast Track to Innovation pilot scheme)	2014	2015	2016	2017	2018	2019	2020
	14 176 000	18 381 000	24 440 000	26 251 000	27 204 000	28 194 000	29 392 000
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-28)	14 176 457	19 777 921					
Budget after transfers and / or amending budgets (EUR-28)							
Operating budget of the agency							

Initial Budget (EUR-28)	14 176 000	19 777 921					
Budget after transfers and / or amending budgets(EUR-28)	13 431 000						

4.2 Revenues

REVENUES		2014	2015	2016	VAR 2016/2015 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
06 01 06 01	CEF	11 583 398	14 413 000	15 010 344	4,14%
06 01 06 03	CF_DET		1 396 025	4 153 472	197,52%
08 01 06 04	H2020	1 847 602	3 968 896	4 849 202	22,18%
Sub Total		13 431 000	19 777 921	24 013 018	21,41%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
06 01 06 01	CEF		21 789	22 462	3,09%
08 01 06 04	H2020		116 685	142 567	22,18%
Sub Total			138 474	165 029	19,18%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
06 01 06 01	CEF				
08 01 06 04	H2020			108 137	100%
Sub Total				108 137	100%
TOTAL REVENUES		13 431 000	19 916 395	24 286 184	21,94%

(1) Contribution based on a proportionality factor of 2,94 %

(2) Contribution based on a proportionality factor of 2,23 %

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	9 438 928	14 972 000	17 262 000	15,30%
Remunerations, Allowances and Charges	8 841 868	13 972 000	16 086 000	15,13%
- <i>Of which establishment plan posts</i>	4 312 608	6 729 000	6 864 000	2,01%
- <i>Of which external personnel (1)</i>	4 529 260	7 243 000	9 222 000	27,32%

Professional Development and Social expenditure	597 060	1 000 000	1 176 000	17,60%
Title 2 - Infrastructure and operating expenditure	3 009 975	3 582 000	5 427 000	51,51%
Building expenditure	2 049 552	2 369 000	4 134 000	74,50%
ICT expenditure	793 660	830 000	1 158 000	39,52%
Movable Property and Current Operating expenditure	166 763	383 000	135 000	-64,75%
Title 3 - Programme support expenditure	671 259	1 362 000	1 597 184	17,27%
Programme Management expenditure	671 259	1 362 000	1 597 184	17,27%
Common Support Services expenditure				
TOTAL	13 120 162	19 916 000	24 286 184	21,94%

(1) External personnel includes Contract Agents, interim staff and trainees

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	9 131 190	14 972 000	17 262 000	15,30%
Remunerations, Allowances and Charges	8 799 328	13 972 000	16 086 000	15,13%
- Of which establishment plan posts	4 312 609	6 729 000	6 864 000	2,01%
- Of which external personnel (1)	4 486 719	7 243 000	9 222 000	27,32%
Professional Development and Social expenditure	331 862	1 000 000	1 176 000	17,60%
Title 2 - Infrastructure and operating expenditure	2 250 713	3 582 000	5 427 000	51,51%
Building expenditure	1 607 453	2 369 000	4 134 000	74,50%
ICT expenditure	568 337	830 000	1 158 000	39,52%
Movable Property and Current Operating expenditure	74 923	383 000	135 000	-64,75%
Title 3 - Programme support expenditure	297 213	1 362 000	1 597 184	17,27%
Programme Management expenditure	297 213	1 362 000	1 597 184	17,27%
Common Support Services expenditure				
TOTAL	11 679 116	19 916 000	24 286 184	21,94%

(1) External personnel includes Contract Agents, Interim Staff and Trainees

4.4 Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as general revenue): EUR 567 463.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2016, financed by the EU general budget, is 247. The Specific Financial Statement accompanying the Commission Implementing Decision 2013/801/EU establishing the INEA, which received a positive opinion of the CEA on 22 November 2013, foresaw 262 staff. However, due to the proposed creation of the European Fund for Strategic Investment, this was subsequently reduced to 247. The 50 additional staff requested for 2016 consist of 3 seconded officials (to reach a total of 24, based on the actual number of 21 frozen posts in 2015), 1 externally recruited temporary agent (to reach a total of 39) and 46 contract agents (to reach a total of 184).

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The staff expenditure budget for 2016 also includes the cost of recruitment of 4 additional contract agents financed on appropriations accruing from contributions from EEA/EFTA countries for their participation in Marco Polo II, CEF – ICT and Horizon 2020 activities delegated to the Agency.

5.1.3 Salary assumption for calculating salary lines (% applied)

The staff expenditure budget for 2016 covers a full year salary for temporary and contract staff authorised for 2015 (i.e. for 197 staff). It covers also the salaries of 9 months for 4 additional temporary posts and 50 contract agents (46 additional contract agents requested under the 2016 staffing plan and 4 covered by the contribution from EEA/EFTA countries). The staff expenditure budget has been calculated on the basis of the average wage costs by function group and grade. A 3% vacancy rate and an increase in salaries for selected staff that may benefit from a reclassification to a higher grade have also been taken into account.

5.1.4 Vacancy rate as of end 2014

At the end of 2014 the vacancy rate was 6,5% of which 2,5% for seconded officials.

5.1.5 Standard abatement('abatement forfaitaire')

Selection procedures will be organised in 2015 to allow an early recruitment of new staff in 2016 to cope with the increasing workload of the Agency in particular for front loaded activities. New staff is budgeted on the basis of a 9-month average. Besides, the occupation rate throughout 2016 is estimated at 97% and therefore a vacancy rate of 3% has been incorporated in the salary calculations.

5.2 Financial Resources

5.2.1 Title 1

The increase in the budget for Title 1 by 15,3%, or EUR 2 290 000, is mainly due to 'Chapter 11 - Remuneration allowances and charges' and results from the increase in staffing, i.e. 4 additional temporary agents and 50 additional contract agents.

5.2.2 Title 2

The increase in the budget for Title 2 by almost 52%, or EUR 1 845 000, is largely due to 'Chapter 21 - Building expenditure' with an increase by 74,5% and to a lesser extent to 'Chapter 22 - ICT expenditure' with an increase by 39,5%. The increase in the budget of Chapter 21 results from the combination of the relocation of the Agency in premises of the Commission and of the necessity to rent more space to accommodate additional staff. The latter has also an impact on the costs of the service level agreements with Commission's services, which increase in proportion explaining the increase in the budget for Chapter 22. In contrast, 'Chapter 23 - Movable property and current operating expenditure' decreases by 65% reflecting the fact that part of the expenditures related to the new staff had to be anticipated in 2015.

5.2.3 Title 3

The increase in the budget for Title 3 by 17,3%, or EUR 235 000, reflects the increased level of activities for all programmes delegated to INEA.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	W910, Brussels	
Surface area (in square metres)		
1. Of which office space	5 799 square metres for office space	
2. Of which non-office space	351 square metres for archive space + 65 parking places	
Annual rent (in EUR)	EUR 1 329 000	
Type and duration of rental contract	Rent – 01/11/2010 to 31/12/2015	
Host country grant or support	No	
Present value of the building	N/A	

6.1.2 Building project in the planning phase

In 2016, the Agency will be relocated in new premises. This will be part of a wider relocation of Commission's services following the reorganisation of the Commission decided by the College in November 2014.

6.1.3 Building projects submitted to the European Parliament and the Council

See above.

6.2 Evaluation

Under Council Regulation (EC) No 58/2003 governing the establishment of executive agencies, the Commission is required to carry out, for each executive agency, an external evaluation every three years and submit the respective report to the Steering Committee of the Agency, to the European Parliament, to the Council and to the European Court of Auditors.

The latest evaluation of TEN-TEA (predecessor of INEA) covered the period April 2008 to April 2011. The final report of the external evaluation was issued in July 2012. The Commission in liaison with INEA has launched the final evaluation of the TEN-TEA covering the remaining establishment period of the Agency, i.e. April 2011 to December 2013. The contract with the external consultant was signed in February 2015 and the study started immediately thereafter (for a maximum of 6 months).

2.2.4.1.3 European Research Council Executive Agency - ERCEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission decision 2008/37/EC of 14/12/2007	OJ L9 of 12/01/2008	Creation of the agency managing the Ideas Specific Programme in the framework of the 7th Framework Programme for research
Commission implementing decision C(2013)9048 of 17/12/2013	OJ L346 of 20/12/2013	Establishing the European Research Council Executive Agency and repealing Decision 2008/37/EC

1.2 Seat

Brussels, Belgium

1.3 Budget Line

08 01 06 01 : European Research Council Executive Agency — contribution from Horizon 2020

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	15	15	20	20
Temporary Agents (recruited by the executive agency)	85	84	88	92
Total Establishment plan posts	100	99	108	112
Contract Agents	279	277	290	319
Seconded National Experts	10	12	15	16
TOTAL STAFF	389	388	413	447

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	37 572 770	40 981 475
2. EEA/EFTA contribution (excl.Switzerland)	1 104 639	1 204 855
3. Participation of candidate countries and/or third countries	1 315 047	409 815
TOTAL	39 992 456	42 596 145

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	29 224 000	29 224 000	30 813 545	30 813 545

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 2 - Infrastructure and operating expenditure	7 153 200	7 153 200	8 076 600	8 076 600
Title 3 - Programme support expenditure	3 615 256	3 615 256	3 706 000	3 706 000
TOTAL EXPENDITURE	39 992 456	39 992 456	42 596 145	42 596 145

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2016, the executive agency is in the 9th year of its operational lifetime and manages the following programmes

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
FP7-IDEAS Specific Programme			X
H2020-Excellent Science		X	

2.2 Operational appropriations managed

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Seventh Framework Programme for research, technological development and demonstration activities						
08 02 51 - Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)						
Operational Budget	5 299 949	2 868 019 816	p.m.	2 618 411 227	p.m.	1 867 645 867
<i>Of which managed by the executive agency</i>		<i>914 520 695</i>		<i>749 271 585</i>		<i>740 295 393</i>
The Framework Programme for Research and Innovation (Horizon 2020)						
08 02 01 01 - Strengthening frontier research in the European Research Council						
Operational Budget	1 702 876 770	8 282 868	1 650 723 198	449 911 575	1 531 421 772	591 884 144
<i>Of which managed by the executive agency</i>	<i>1 702 831 770</i>	<i>8 272 746</i>	<i>1 650 678 198</i>	<i>449 866 575</i>	<i>1 531 376 772</i>	<i>591 739 144</i>
TOTAL operational budget managed by the agency	1 702 831 770	922 793 441	1 650 678 198	1 199 138 160	1 531 376 772	1 332 034 537

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	15	20 ⁴³	20	20	20	20	20
Temporary Agents (recruited by the agency)	85	88 ⁴⁴	92	98	102	107	113
Executive Agency's total Establishment Plan Posts	100	108	112	118	122	127	133
Contract Agents (*)	279	291	319	333	343	360	369
Seconded National Experts (SNE)	10	14	16	17	19	21	27
Total staff of the Executive Agency	389	413	447	468	484	508	529

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	2	2	7	6
AD 13	9	9	6	7
AD 12	3	3	4	3
AD 11	3	3	4	4
AD 10	0	0	2	2
AD 9	20	17	24	27
AD 8	37	41	37	42
AD 7	17	16	16	11
AD 6	7	7	7	10
AD 5	2	1	1	
AD TOTAL	100	99	108	112
AST 11				

⁴³ Frontloading of 4 seconded temporary agents from 2016 to 2015

⁴⁴ Frontloading of 2 temporary agents recruited by the agency from 2016 to 2015

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AST 10				
AST 9				
AST 8				
AST 7				
AST 6				
AST 5				
AST 4				
AST 3				
AST 2				
AST 1				
AST TOTAL				
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	100	99	108	112
Of which Officials seconded by the commission	15	15	20	20

3.2.2 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	74	73	78	96
Function Group III	109	112	113	125
Function Group II	93	89	96	94
Function Group I	3	3	3	4
TOTAL	279	277	290	319

3.2.3 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	10	12	15	16

3.3 Impact of the creation of the Executive Agency on the human resources of the commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
All parent DGs (posts)	104	9	12	14
All parent DGs (external personnel)	52.2	5.8	9.1	9.2
Total	156.2	14.8	21.1	23.2

Source: Specific financial statement accompanying the mandate extension which received a positive opinion from CEA on 22 November 2013.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG RTD	20	0	0	0
Total	20	0	0	0

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013.

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2014	In 2015	In 2016
DG RTD	0	0	0
Total	0	0	0

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2015	Number of posts frozen in 2016
DG RTD	22	20	20
Total	22	20	20

Source: Specific financial statement accompanying the mandate extension which received a positive opinion from CEA on 22 November 2013.

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2014	2015	2016	2017	2018	2019	2020
	39,415	39,809	43,013	45,122	46,681	48,926	51,005
Agency contribution as voted by the budgetary authority							
Initial Budget (EUR-28)	39,415	37,573					
Budget after transfers and / or amending budgets (EUR-28)	35,064						
Operating budget of the agency							
Initial Budget (EUR-28)	37,500	39,625					
Budget after transfers and / or amending budgets (EUR-28)	34,780						

4.2 Revenues

REVENUES		2014	2015	2016	VAR 2016/2015 (%)
		Executed Budget (1)	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
08 01 06 01	H2020	36 246 000	37 572 770	40 981 475	9,07%
Sub Total		36 246 000	37 572 770	40 981 475	9,07%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				

08 01 06 01	H2020		1 104 639	1 204 855	9,07%
Sub Total			1 104 639	1 204 855	9,07%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
08 01 06 01	H2020		1 315 047	409 815	-68,84%
Sub Total			1 315 047	409 815	-68,84%
TOTAL REVENUES		36 246 000	39 992 456	42 596 145	6,51%

(1) EFTA is included under EU contribution

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	26 653 533	29 224 000	30 813 545	5,44%
Remunerations, Allowances and Charges	25 050 261	27 529 000	28 976 045	5,26%
- <i>Of which establishment plan posts</i>	11 431 005	12 450 000	12 886 045	3,50%
- <i>Of which external personnel</i>	13 619 256	15 079 000	16 090 000	6,70%
Professional Development and Social expenditure	1 603 272	1 695 000	1 837 500	8,41%
Title 2 - Infrastructure and operating expenditure	6 457 310	7 153 200	8 076 600	12,91%
Building expenditure	4 275 000	4 517 000	5 150 000	14,01%
ICT expenditure	2 061 069	2 335 000	2 680 000	14,78%
Movable Property and Current Operating expenditure	121 241	301 200	246 600	-18,13%
Title 3 - Programme support expenditure	2 926 046	3 615 256	3 706 000	2,51%
Programme Management expenditure	2 926 046	3 615 256	3 706 000	2,51%
Common Support Services expenditure				
TOTAL	36 036 889	39 992 456	42 596 145	6,51%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	26 306 691	29 224 000	30 813 545	5,44%
Remunerations, Allowances and Charges	24 964 882	27 529 000	28 976 045	5,26%
- <i>Of which establishment plan posts</i>	11 431 005	12 450 000	12 886 045	3,50%
- <i>Of which external personnel</i>	13 533 877	15 079 000	16 090 000	6,70%
Professional Development and Social expenditure	1 341 809	1 695 000	1 837 500	8,41%

Title 2 - Infrastructure and operating expenditure	5 839 136	7 153 200	8 076 600	12,91%
Building expenditure	3 834 281	4 517 000	5 150 000	14,01%
ICT expenditure	1 914 594	2 335 000	2 680 000	14,78%
Movable Property and Current Operating expenditure	90 261	301 200	246 600	-18,13%
Title 3 - Programme support expenditure	1 798 907	3 615 256	3 706 000	2,51%
Programme Management expenditure	1 798 907	3 615 256	3 706 000	2,51%
Common Support Services expenditure				
TOTAL	33 944 734	39 992 456	42 596 145	6,51%

4.4 Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as general revenue): EUR 511 540.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

34 recruitments are foreseen for 2016: 4 temporary agents – external (TA-E), 29 contract agents (financed by the EU contribution) and 1 seconded national expert.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

On top of these 34 recruitments, 2 contract agents (financed by third country contribution) will also be recruited.

5.1.3 Salary assumption for calculating salary lines (% applied)

On top of the budget effect of the foreseen 2016 reclassifications, a 1% salary adjustment has been calculated for the second half of 2016.

5.1.4 Vacancy rate as of end 2014

Due to anticipated 2015 recruitments of contract agents, the vacancy rate was at 0% in December 2014. The same trend should have the same effect on the average vacancy rate over 2016, rather close to 3% than to 3.3% without the effect of anticipated recruitments.

5.1.5 Standard abatement ('abatement forfaitaire')

No abatement applied as anticipated recruitments of contract agents should lead to a complete staff allocation since the very beginning of the year.

5.2 Financial Resources

5.2.1 Title 1

Title I increases by 5.4% mainly due to additional recruitments of contractual staff (+6.47%). This increase comes from the additional staff recruited (+36) on a longer period (12 months) than 2015 recruitments (not yet all present in 01/2015). An increase of 8.41% in chapter 12 "Professional development and social expenditure" corresponds to the effects of additional staff, but also to an agency teambuilding event foreseen in 2016.

5.2.2 Title 2

Title II increases by 12.91%. In 2016, more than 80 additional people will have been recruited compared to 2014. It means that more than one floor of the present building is necessary in order to accommodate new staff of the 2017 establishment plan. An additional floor in the present building represent a cost of EUR 785 000, charges included. The increase for IT expenses is of 14.78% partly due to additional staff, but also because of a large replacement of the current IT equipment (mostly purchased in 2010-2011) foreseen in 2016.

5.2.3 Title 3

The increase on Title III (+2.5%) is linked to an increase in missions and audits related to the increase of the portfolio of grant agreements, but also to an important event foreseen in 2016, a conference on a future European Starmetrics initiative.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Covent Garden (COV2) Place Rogier	
Surface area (in square metres)	13.501	
1. Of which office space	-13.501	
2. Of which non-office space	- 0	
Annual rent (in EUR)	2.776.810	
Type and duration of rental contract	Usufruct contract (15 years)	
Host country grant or support	No	
Present value of the building	NA	

6.1.2 Building project in the planning phase

6.1.3 Building projects submitted to the European Parliament and the Council

6.2 Evaluation

The evaluation of ERCEA covering 2009-2012 period was carried out by Deloitte and the final report was completed in June 2013. In its overall conclusions the evaluation report found that:

The actual savings from the delegation of tasks to the ERCEA amount to EUR 44.7 million. Due to the set-up of the EA, better services are given in terms of proximity to beneficiaries, communication and visibility of the programme and lower payments delays. Figures on time-to-pay (TTP) for pre-financing payments, interim payments and final payments show not only compliance with the contractually defined payment time but even substantially better performance. The average time-to-pay is steadily decreasing, whereas the total number of grant payments is increasing. Compared to the rest of the ‘research family,’ the time-to-grant (TTG) is high due to the demanding evaluation process.

The ERCEA has made significant efforts to simplify its procedures. There is lack of clarity regarding the respective roles, responsibilities and competences of the ERCEA, the ERC Scientific Council and DG RTD. The peer review system has become something of a benchmark and is used for complementary funding at national level for projects that did not receive ERC funds due to the exhaustion of call budgets. In the evaluation of research funded by the ERC it is noticeable that excellence clearly prevails over other factors. After three years of operation, the ERC has attained a significant reputation within the scientific community across Europe and worldwide, due also to a dedicated external communication strategy. The distribution of roles and responsibilities and the “dual leadership” between the Commission and the ERC Scientific Council has been a learning process.

In relation to human resources, recruitment was sometimes cumbersome and time-consuming, which led to delays in ERCEA’s first year of operation. The transition phase between the Dedicated Implementation Structure to the ERCEA for the implementation of the programme in 2009 is sometimes described as slow and arduous. The main transition problems related to changes in the IT systems and the transfer of staff. Recruitment of some profiles remains difficult in particular for highly qualified scientists. For seconded officials, there is a problem of attractiveness of the executive agencies.

2.2.4.1.4 Research Executive Agency - REA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission Decision 2008/46/EC of 14/12/2007	OJ L11 of 15/01/2008	Creation of the Agency managing certain areas of the People, Capacities and Cooperation Specific EU Programmes within the framework of the 7th Framework Programme for RTD (Decision 1982/2006/EC) in application of Council Regulation (EC) No 58/2003.
Commission Decision 2013/778/EU of 2013/12/13 establishing Research Executive Agency and repealing Decision 2008/46/EC	JO L 346, 20/12/2013	<p>Establishing the Research Executive Agency and repealing Decision 2008/46/EC.</p> <p>1 The Agency is entrusted within the Specific Programme Implementing Horizon 2020 (H2020), with implementing parts of Part I "Excellent science"; Part II "Industrial leadership"; Part III "Societal challenges"; Part III a "Spreading excellence and widening participation"; Part III b "Science with and for society".</p> <p>2 The Agency is entrusted, within the framework of the Seventh Framework Programme (FP7), with implementing the legacy of parts of: the activities "Research for SMEs" and "Research for SME associations" of the Capacities Specific Programme; the "Space" and "Security" themes of the Cooperation Specific Programme; the People Specific Programme.</p> <p>3 The Agency is responsible for the following tasks related to implementing parts of the above-mentioned programmes:</p> <p>(a) managing some stages of programme implementation and some phases in the lifetime of specific projects on the basis of the relevant work programmes adopted by the Commission, where the Commission has empowered it to do so in the instrument of delegation;</p> <p>(b) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programme, where empowered by the Commission in the instrument of delegation;</p> <p>(c) providing support in programme implementation where empowered by the Commission in the instrument of delegation</p> <p>4 The Agency is responsible for providing administrative and logistical support services as defined in the instrument of delegation.</p>

1.2 Seat

Brussels, Belgium

1.3 Budget Line

08 01 06 02 : Research Executive Agency — Contribution from Horizon 2020

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	29	28	38	40
Temporary Agents (recruited by the executive agency)	116	111	119	123
Total Establishment plan posts	145	139	157	163

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Contract Agents	435	409	473 (1)	486 (2)
Seconded National Experts	0	0	0	0
TOTAL STAFF	580	548	630	649

(1) In addition to the 473 contract staff shown in this table, 19 contract agents will be financed from Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020). Therefore, the total of contract agents will be 492.

(2) In addition to the 486 contract staff shown in this table, 23 contract agents will be financed from Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020). Therefore, the total of contract agents will be 509.

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	57 578 641	59 972 046
2. EEA/EFTA contribution (excl.Switzerland)	1 692 812	1 655 228
3. Participation of candidate countries and/or third countries	846 547	1 283 216
TOTAL	60 118 000	62 910 490

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	42 031 500	42 031 500	44 434 060	44 434 060
Title 2 - Infrastructure and operating expenditure	9 226 500	9 226 500	10 292 800	10 292 800
Title 3 - Programme support expenditure	8 860 000	8 860 000	8 183 630	8 183 630
TOTAL EXPENDITURE	60 118 000	60 118 000	62 910 490	62 910 490

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2016, the executive agency is in the 8th year (FP7 mandate) and third year (H2020 mandate) of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Cooperation" Specific Programme / Space research 02 04 51			X
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Cooperation" Specific Programme / Security research 02 04 51			X
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "People" Specific Programme 15 03 51			X
The Seventh Framework Programme / "Capacities" Specific Programme / Capacities - Research for the benefit of small and medium-sized enterprises (SMEs) 08 02 51			X
Horizon 2020 "Excellent science – Strengthening research in FET – Future and emerging technologies"		X	
Horizon 2020 "Excellent science – MarieSkłodowska-Curie actions – Generating new skills and innovation"		X	
Horizon 2020 "Industrial leadership – Leadership in Space"		X	
Horizon 2020 "Societal challenges – Improving food security, developing sustainable agriculture and forestry, marine and maritime and inland water research, and the bioeconomy"		X	
Horizon 2020 "Societal challenges – Fostering inclusive, innovative and reflective European societies"		X	
Horizon 2020 "Societal challenges – Fostering secure societies"		X	
Horizon 2020 "Spreading excellence and widening participation – Exploiting the potential of Europe's talent pool"		X	

Horizon 2020 "Science with and for society"		X	
Horizontal activities of Horizon 2020		X	
Contracting and Paying of Expert Evaluators for all Horizon 2020		X	

2.2 Operational appropriations managed

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Seventh Framework Programme for research, technological development and demonstration activities						
02 04 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	8 052	4 014 737	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>		1 610 714				
02 04 51 (as from 2016: 18 05 51) - Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)						
Operational Budget	15 972	143 419 467	p.m.	97 930 358	p.m.	85 800 000
<i>Of which managed by the executive agency</i>		45 356 983		51 439 729		22 797 869
08 02 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	3 986 042	172 659 703	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	224	7 279 869				
08 02 51 - Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)						
Operational Budget	5 299 949	2 868 019 816	p.m.	2 618 411 227	p.m.	1 867 645 867
<i>Of which managed by the executive agency</i>		113 906 434		77 002 083		32 684 795
15 03 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	71 236	52 647 711	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	71 236	52 269 453				
15 03 51 - Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)						
Operational Budget	215 326	518 232 499	p.m.	270 878 417	p.m.	304 000 000
<i>Of which managed by the executive agency</i>		487 434 429		268 878 417		304 000 000

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
18 05 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	0	11 586 111	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>		6 655 218				
18 05 51 - Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)						
Operational Budget	28 394	253 454 065	p.m.	81 417 368	p.m.	120 800 000
<i>Of which managed by the executive agency</i>		169 420 952		61 922 094		102 929 956
The Framework Programme for Research and Innovation (Horizon 2020)						
02 04 02 01 - Leadership in space						
Operational Budget	172 706 335	48 624 466	165 847 152	113 594 175	158 446 652	147 533 544
<i>Of which managed by the executive agency</i>	100 623 590	26 510 412	81 190 000	43 100 000	93 150 000	81 114 735
02 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	11 771 890	69 677	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	231 392	32 228				
05 09 03 01 - Securing sufficient supplies of safe and high quality food and other bio-based products						
Operational Budget	52 095 604	1 165 882	101 455 799	19 076 239	212 854 525	79 277 928
<i>Of which managed by the executive agency</i>	50 592 037	1 097 153	89 321 118	19 076 239	173 854 525	70 461 159
05 09 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	11 730	0	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	11 730					
06 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	7 894 781	382 593	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	203 000	97 953				
08 02 01 02 - Strengthening research in future and emerging technologies						

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	0	0	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	0	0				
08 02 03 02 - Securing sufficient supplies of safe, healthy and high quality food and other bio-based products						
Operational Budget	208 147 794	1 407 426	94 094 592	83 884 929	141 851 093	89 735 746
<i>Of which managed by the executive agency</i>	176 831 039	1 161 861	56 460 000	63 608 000	107 622 125	53 644 147
08 02 03 06 - Fostering inclusive, innovative and reflective European societies						
Operational Budget	113 807 988	18 144 618	114 233 382	74 273 114	111 929 624	117 834 666
<i>Of which managed by the executive agency</i>	65 179 655	663 000	58 869 244	30 503 000	67 865 942	71 645 443
08 02 04 - Spreading excellence and widening participation						
Operational Budget	70 413 152	19 802 584	93 183 570	62 039 896	96 201 464	47 808 292
<i>Of which managed by the executive agency</i>	0	54 000	65 720 000	28 493 322	77 200 104	27 118 316
08 02 05 - Horizontal activities of Horizon 2020						
Operational Budget	33 999 997	913 878	47 450 000	10 136 096	109 162 522	79 820 088
<i>Of which managed by the executive agency</i>					54 662 521	43 730 017
08 02 06 - Science with and for society						
Operational Budget	51 062 663	3 448 223	54 853 984	25 322 763	53 267 640	40 461 390
<i>Of which managed by the executive agency</i>			44 831 941	17 450 000	50 984 757	29 048 876
08 02 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	168 585 829	25 988 510	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	2 742 878	831 567				
09 04 01 01 - Strengthening research in future and emerging technologies						
Operational Budget	225 025 085	700 000	232 151 334	109 225 168	213 825 023	244 098 326
<i>Of which managed by the executive agency</i>	81 263 000	700 000	57 858 947	40 948 340	88 147 649	69 962 799
09 04 03 02 - Fostering inclusive, innovative and reflective European societies						

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	36 338 878	313 569	43 725 806	21 845 034	36 289 820	47 093 653
<i>Of which managed by the executive agency</i>	<i>32 430 515</i>	<i>313 569</i>	<i>30 454 634</i>	<i>13 114 860</i>	<i>27 659 902</i>	<i>34 954 897</i>
09 04 03 03 - Fostering secure European societies						
Operational Budget	48 181 957	207 000	50 665 706	18 786 729	45 457 909	50 080 000
<i>Of which managed by the executive agency</i>	<i>45 181 166</i>	<i>207 000</i>	<i>49 067 418</i>	<i>18 786 729</i>	<i>36 520 195</i>	<i>47 429 248</i>
09 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	7 779 364	550 314	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	<i>2 651 324</i>	<i>550 314</i>				
15 03 01 01 - Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation						
Operational Budget	844 703 932	111 304 959	737 668 408	494 178 606	738 895 200	508 490 935
<i>Of which managed by the executive agency</i>	<i>794 900 975</i>	<i>109 073 598</i>	<i>736 468 408</i>	<i>492 170 632</i>	<i>731 465 135</i>	<i>506 071 193</i>
15 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	12 507 013	4 646 979	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	<i>7 635 183</i>	<i>2 298 471</i>				
18 05 03 01 (previously 02 04 03 02) - Fostering secure European societies						
Operational Budget	142 616 390	1 517 492	145 735 857	51 650 398	134 966 551	114 789 343
<i>Of which managed by the executive agency</i>	<i>95 603 102</i>	<i>928 500</i>	<i>91 199 952</i>	<i>48 563 738</i>	<i>91 245 348</i>	<i>79 972 812</i>
18 05 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	9 649 626	301 498	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	<i>337 500</i>	<i>294 111</i>				
32 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	14 947 221	151 386	p.m.	p.m.	p.m.	p.m.

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	46 000	17 976				
TOTAL operational budget managed by the agency	1 456 535 546	1 028 765 765	1 361 441 662	1 275 057 183	1 600 378 203	1 577 566 262

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013, amended by decision C(2014)6944 of 2.10.2014.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	29	49	52	55	58	60	64
Temporary Agents (recruited by the agency)	116	108	115	116	120	121	127
Executive Agency's total Establishment Plan Posts	145	157	167	171	178	181	191
Contract Agents (*)	435	473	501	510	531	542	571
Seconded National Experts (SNE)	-	-	-	-	-	-	-
Total staff of the Executive Agency	580	630	668	681	709	723	762

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014 (1)	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	4	3	5	5
AD 13	10	7	11	11
AD 12	6	5	6	6
AD 11	5	5	6	7
AD 10	6	6	6	9
AD 9	8	4	7	15
AD 8	20	17	20	23
AD 7	21	20	24	31
AD 6	27	27	28	31
AD 5	28	35	34	15

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014 (1)	Authorised under the EU Budget	Draft Budget Request
AD TOTAL	135	129	147	153
AST 11				
AST 10				
AST 9	1		1	1
AST 8	2	2	2	2
AST 7				
AST 6	3	1	4	4
AST 5	2	4	2	2
AST 4	1	2	1	1
AST 3	1	1		
AST 2				
AST 1				
AST TOTAL	10	10	10	10
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	145	139	157	163
Of which Officials seconded by the commission	29	28	38	40

(1) The establishment plan accepts the following "ad personam" appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.

3.2.2 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate (1)	Draft Budget 2016 estimate (2)
Function Group IV	93	74	110	135
Function Group III	151	144	172	196

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate (1)	Draft Budget 2016 estimate (2)
Function Group II	184	184	184	150
Function Group I	7	7	7	5
TOTAL	435	409	473	486

(1) In addition to the 473 contract staff shown in this table, 19 contract agents will be financed from Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020). Therefore, the total of contract agents will be 492.

(2) In addition to the 486 contract staff shown in this table, 23 contract agents will be financed from Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020). Therefore, the total of contract agents will be 509.

3.2.3 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	0	0

3.3 Impact of the creation of the Executive Agency on the human resources of the commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG RTD (posts)	38	38	0	0
DG RTD (Contract agents)	79	79	0	0
Total	117	117	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2007)6262 of 7 December 2007.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at extension)	Staff freed By end 2014	Staff to be freed in 2015	Staff to be freed in 2016
All parent DGs (posts)	104	9	12	14
All parent DGs (external personnel)	52,2	5,8	9,1	9,2
Total	156,2	14,8	21,1	23,2

Source: Specific financial statement accompanying the mandate extension which received a positive opinion from CEA on 22 November 2013.

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2014	In 2015	In 2016
All parent DGs	0	0	0
Total	0	0	0

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2015	Number of posts frozen in 2016
DG RTD	24	18	18
DG EAC	5	6	6
DG GROW	4	4	4
DG CNECT	7	7	9
DG AGRI	1	1	1
DG HOME	2	2	2
Total	43	38	40

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2014	2015	2016	2017	2018	2019	2020
	56,369	60,471	63,514	64,221	65,608	66,790	70,371
Agency contribution as voted by the budgetary authority							
Initial Budget (EUR-28)	56,369	57,579					
Budget after transfers and / or amending budgets (EUR-28)	49,704						
Operating budget of the agency							
Initial Budget (EUR-28)	56,369	57,579					
Budget after transfers and / or amending budgets (EUR-28)	49,406						

4.2 Revenues

REVENUES		2014	2015	2016	VAR 2016/2015 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
08 01 06 02	H2020	49 703 962	57 578 641	59 972 046	4,16%
Sub Total		49 703 962	57 578 641	59 972 046	4,16%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
08 01 06 02	H2020	1 691 070	1 692 812	1 655 228	-2,22%
Sub Total		1 691 070	1 692 812	1 655 228	-2,22%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
08 02 50 01	H2020	0	846 547	1 283 216	51,58%
Sub Total		0	846 547	1 283 216	51,58%
4. Miscellaneous REA revenue					
Budget Line	Programme				
		141 167			
Sub Total		141 167			
TOTAL REVENUES		51 536 200	60 118 000	62 910 490	4,65%

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	33 729 757	42 031 500	44 434 060	5,72%
Remunerations, Allowances and Charges	32 100 450	40 065 100	42 390 000	5,80%
- <i>Of which establishment plan posts</i>	<i>13 146 476</i>	<i>16 180 000</i>	<i>17 300 000</i>	<i>6,92%</i>
- <i>Of which external personnel</i>	<i>18 953 974</i>	<i>23 885 100</i>	<i>25 090 000</i>	<i>5,04%</i>
Professional Development and Social expenditure	1 629 308	1 966 400	2 044 060	3,95%
Title 2 - Infrastructure and operating expenditure	8 533 479	9 226 500	10 292 800	11,56%
Building expenditure	5 387 620	5 713 500	6 471 900	13,27%
ICT expenditure	2 866 113	2 989 100	3 096 850	3,60%
Movable Property and Current Operating expenditure	279 746	523 900	724 050	38,20%
Title 3 - Programme support expenditure	8 975 201	8 860 000	8 183 630	-7,63%
Programme Management expenditure	1 868 988	2 098 500	1 941 630	-7,48%
Common Support Services expenditure	7 106 213	6 761 500	6 242 000	-7,68%
TOTAL	51 238 438	60 118 000	62 910 490	4,65%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	33 259 994	42 031 500	44 434 060	5,72%
Remunerations, Allowances and Charges	31 870 555	40 065 100	42 390 000	5,80%
- <i>Of which establishment plan posts</i>	<i>13 146 476</i>	<i>16 180 000</i>	<i>17 300 000</i>	<i>6,92%</i>
- <i>Of which external personnel</i>	<i>18 724 079</i>	<i>23 885 100</i>	<i>25 090 000</i>	<i>5,04%</i>
Professional Development and Social expenditure	1 389 439	1 966 400	2 044 060	3,95%
Title 2 - Infrastructure and operating expenditure	7 289 679	9 226 500	10 292 800	11,56%
Building expenditure	4 740 044	5 713 500	6 471 900	13,27%
ICT expenditure	2 337 288	2 989 100	3 096 850	3,60%
Movable Property and Current Operating expenditure	212 347	523 900	724 050	38,20%
Title 3 - Programme support expenditure	6 577 823	8 860 000	8 183 630	-7,63%
Programme Management expenditure	673 037	2 098 500	1 941 630	-7,48%
Common Support Services expenditure	5 904 786	6 761 500	6 242 000	-7,68%
TOTAL	47 127 496	60 118 000	62 910 490	4,65%

4.4 Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as general revenue): EUR 669 328.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2016, financed by the EU general budget is 649. This is 19 FTE lower than in the plan outlined in the Financial statement accompanying the Commission Implementing Decision establishing the Research Executive Agency (mandate for 2014-2024) (C(2013)8909 of 13 December 2013), which received a positive opinion of the CREA on 22 November 2013 (see table 3.1). The 19 additional staff requested for 2016 consists of 2 seconded officials (to reach a total of 40), 4 externally recruited temporary agents (to reach a total of 123) and 13 contract agents (to reach a total of 486).

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The staff expenditure budget 2016 also includes the cost of recruitment of 23 contract agents financed from Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020), i.e. an increase of 4 contract agents between 2015 and 2016. The additional operational budget from “Third Parties” contributions that will be managed by the Agency is estimated at 5.0% of the European Union’s budget delegated to the Agency. Therefore, REA budgets for an increase in staff of 23 additional contract agents who represent 5.0% of the staff planned to manage the EU budget for H2020 delegated to the Agency in 2016 (5.0% of 469 staff related to the management of H2020 out of a total staff of 649).

5.1.3 Salary assumption for calculating salary lines (% applied)

The Agency's budget for 2016 covers a full year salary for temporary and contract staff authorised for 2015 (i.e. for 649 staff). It covers also the additional salaries for 6 additional temporary posts and 13 additional contract agents authorised under the staffing plan of 2016 as well as 4 additional contract agents financed exclusively with Third Parties appropriations. By the first quarter of 2016 the REA will fill all positions provided under the 2016 staff plan. With respect to temporary agents, this will be made possible by 4 additional secondments of officials from the Commission and 6 recruitments from selections for various profiles ran in 2013-2014 for which a sufficient number of candidates remain on the list. In addition, a 2,0% average vacancy rate has been taken into account. The Agency budgets for salaries on the basis of the average wage costs by function group and grade. An increase in salaries for selected staff that may benefit from a reclassification to a higher grade, effective as of 1 January 2016, has also been budgeted.

5.1.4 Vacancy rate as of end 2014

On 31 December 2014, the vacancy rate of the REA was 5,1% of the total authorised staff (both temporary and contract staff). This is higher than the vacancy rates at the end of 2013 (2,3%), 2012 (3,3%) and 2011 (2,6%) and mainly caused by a reorganisation of the REA and also new job opportunities offered by other executive agencies in 2014.

5.1.5 Standard abatement('abatement forfaitaire')

The occupation rate throughout 2016 (excluding the impact of recruitment on new positions authorised for 2016) is estimated at 98.0% in 2016 (see 5.1.3).

5.2 Financial Resources

5.2.1 Title 1

The increase in the budget for Title 1 by + 5.7% (+ EUR 2 402 560) is mainly due to:

- Chapter 11 (+ 5.8% representing an increase by + EUR 2 324 900), and reflects the annual increase in salary expenditure (see 5.1.3.). For new secondments of Commission officials to posts of responsibility, the grade structure of the additional seconded officials is based on the average grade structure of the existing middle and senior management at the Commission.
- Chapter 12 (+ 3.9% representing an increase by + EUR 77 660) which is mainly due to the increase of professional development and social expenditure in a lower proportion of the staff increase (see 5.1.) as well as inflation.

5.2.2 Title 2

The increase in the budget for Title 2 by + 11.6% (+ EUR 1 066 300) is essentially due to:

- Chapter 21 (+ 13.3% representing an increase by + EUR 758 400) which is mainly due to additional building space to be made available by OIB to house the additional staff until 2020.
- Chapter 22 (+ 3.6% representing an increase by + EUR 107 750) which is mainly due to the renewal of the ICT equipment and licences. This increase mostly follows the increase of the staff of the REA from 2015 to 2016 (see 5.1.) as well as inflation.
- Chapter 23 (+ 38.2% representing an increase by + EUR 200 150) which is mainly due to the acquisition of new office furniture for the new staff arriving during 2016.

5.2.3 Title 3

The decrease in the budget for Title 3 by -7.6% (-EUR 676 370) is mostly due to a decrease in budgeted expenditure for Chapter 32 by -7.7% (-EUR 519 500) which is mainly due to the fact that in managing H2020 evaluations, as from 2014, new investments in infrastructure for the evaluation platform were already made by the REA in 2014-2015 (equipment of meeting rooms, such as beamers, IT infrastructure, etc.) and which will not have to be repeated in 2016.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Covent Garden building	
Surface area (in square metres)	16 589 m ²	
1. Of which office space	15 90 m ²	
2. Of which non-office space	699 m ²	
Annual rent (in EUR)	3 583 607,35 (for 2016)	
Type and duration of rental contract	Usufruct convention from 24.09.2008 to 23.09.2023	
Host country grant or support	No	
Present value of the building	The Agency does not own the building	

6.1.2 Building project in the planning phase

As of 2014, the European Commission Office for Infrastructure and Logistics (OIB) includes the Agency's needs for additional space to accommodate staff into the Commission's middle and long term real estate planning. Already in 2015, one additional floor has been given to the REA by the OIB. This additional floor is under the European Commission's Usufruct and the REA pays back its contribution via its Service Level Agreement (SLA) with the OIB. The same should happen for additional floor(s) in 2016 via the same process.

6.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

6.2 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority in December 2013. The external evaluation of the REA was undertaken by DG RTD in its capacity as main parent-Directorate General of the Agency. The main findings of the latest evaluation available are as follows:

Overall, the REA is performing well. It has been efficient and effective in managing the SME-related actions under the Capacities SP, the Marie Curie Actions under the People Specific Programme (SP) the Space and Security research actions under the Cooperation SP and in providing administrative and logistical support services to all programme areas of the People, Capacities and Cooperation SPs. The challenges faced by the REA were multiple. Apart from setting up an executive agency from scratch, these included taking over from the Commission and running programmes in a context of unstable procedures and IT tools. The REA successfully addressed these challenges. Although there is scope for further improvement, feedback from key stakeholders and grant beneficiaries is generally positive with regard to the

REA's performance. This overall conclusion results from the analysis of the “external evaluation of the REA” which can be summarised as follows:

- Delegating tasks to an executive agency has proven to be a fully relevant solution to improve cost effectiveness, thereby enabling the Commission to manage a strongly increasing budget with a less than proportional increase in the overall number of staff.
- Separating the policy making tasks of the Commission from programme implementation tasks in the REA has enabled both parties to perform better on their core duties.
- The executive agency fully delivered on the expectations although it suffered from some “teething problems” during the start-up phase.
- The REA has managed its tasks according to initial plans although the full potential for an FP7 wide efficient evaluation support service could not be exploited due to limitations in its Establishment and Delegation acts.
- Relationships with the parent-DGs are good and the planned activities of the REA are in line with Commission priorities and the mandate given to it. No evidence of “strategic drift” was found.
- The REA invested constantly in simplifying its internal organisation. However, the legal framework, the use of existing IT tools and the need to offer a unique way of handling towards FP7 beneficiaries across all FP7 managing services constituted important framework conditions for the simplification of its grant management. The launch of HORIZON 2020 will offer new opportunities and the REA is actively involved in the preparation of new implementation modalities, processes, IT tools, guidelines, etc.
- The overall performance of the REA and its staff, in particular with respect to ‘time to grant’ (TTG) and ‘time to pay’ (TTP), has gradually improved over time. NCPs are generally satisfied with the service level of the REA and there are some areas where there has been clear improvement (e.g. TTG). By streamlining its internal organisation, the REA has reached most of the performance targets set after three years of operation and overall it is perceived as providing a better service in terms of speed and quality to applicants/beneficiaries and other stakeholders (such as expert evaluators).
- Savings resulting from delegating tasks to the REA have been significant. Firstly, in the 2007LFS the Commission estimated the operating costs of the REA at EUR 240.4 million, saving EUR 58.0 million compared to “in-house implementation” which was estimated at EUR 298.4 million. Secondly, the forecast of the costs of the REA were too high. Over the period 2009-2013 the REA will have spent some EUR 50.7 million (or 20%) less than estimated in the LFS as a result of recruitments on new posts spread throughout the year (rather than as of 1 January), lower salaries, savings on infrastructure costs and – to a lesser extent – savings on administrative costs. Thirdly, the cost of coordination was 11.3 FTE, some 5.3 FTE more than initially estimated in the LFS which reduced slightly the savings by EUR 2.3 million. Together, these elements amount to total savings from the creation of the REA of EUR 106.4 million.

A new evaluation of the agency is expected to be carried out by 2016.

2.2.4.1.5 Executive Agency for Education, Audiovisual and Culture - EACEA

1 Overview

1.1 Creation/modification (legal base)

Decision				Date		Mission/ Tasks / Functions	
Commission 14/01/2005	decision	2005/56/EC	of	OJ L24	of	27/01/2005	Management of EU operational programmes in the field of education, audio-visual and culture
Commission 08/02/2007	decision	2007/114/EC	of	OJ L49	of	17/02/2007	Extension of mandate: new generation of programmes in the field of education, audio-visual and culture, as well as certain education strands of external programmes
Commission 12/06/2008	decision	2008/629/EC	of	OJ L205	of	01/08/2008	Extension of mandate to the MEDIA II programmes
Commission 09/10/2008	decision	2008/185/EC	of	OJ L269	of	10/10/2008	Extension of mandate to the Tempus programmes and ICI projects
Commission 20/04/2009	decision	2009/336/EC	of	OJ L101	of	21/04/2009	Extension of mandate to the Erasmus Mundus II programme
Commission 18/12/2012	decision	2012/797/EU	of	OJ L349	of	19/12/2012	Extension of mandate to Media Mundus and adjustment of Youth in Action, Erasmus Mundus, Tempus and e twinning(LLP)
Commission 18/12/2013	decision	2013/776/EU	of	OJ L343	of	19/12/2013	Management of EU operational programmes in the field of education, audio-visual and culture

1.2 Seat

Brussels

1.3 Budget Line

15 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+

15 01 06 02 : Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe

18 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from the programme Europe for Citizens

19 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument

21 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)

22 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance

22 01 06 02 : Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)

23 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	34	34	34	34
Temporary Agents (recruited by the executive agency)	74	67	76	76
Total Establishment plan posts	108	101	110	110
Contract Agents	328	314	331	332

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Seconded National Experts	0	0	0	0
TOTAL STAFF	436	415	441	442

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	47 615 000	47 435 100
2. EEA/EFTA contribution (excl.Switzerland)	1 197 160	1 224 170
3. Participation of candidate countries and/or third countries	1 407 298	1 447 730
TOTAL	50 219 458	50 107 000

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	32 217 000	32 217 000	33 002 000	33 002 000
Title 2 - Infrastructure and operating expenditure	11 294 000	11 294 000	11 121 000	11 121 000
Title 3 - Programme support expenditure	6 279 000	6 279 000	6 241 000	6 241 000
TOTAL EXPENDITURE	49 790 000	49 790 000	50 364 000	50 364 000

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2016, the executive agency is in the 11th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Erasmus+		X	
Creative Europe		X	
Europe for Citizens		X	
EU Aid Volunteers		X	
Media Mundus			X
MEDIA 2007			X

Lifelong Learning			X
Culture 2007			X
Youth in Action			X
Europe for Citizens 2007-13			X
Agreements with USA and Canada in the field of education			X
Tempus III and IV			X
Erasmus Mundus I and II			X
ICI bilateral projects			X
Western Balkans Youth			X

2.2 Operational appropriations managed

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Europe for Citizens						
18 04 01 - Europe for Citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level						
Operational Budget	23 393 357	12 610 600	21 894 000	11 355 206	22 977 000	18 650 000
<i>Of which managed by the executive agency</i>	<i>22 686 003</i>	<i>12 610 600</i>	<i>21 694 000</i>	<i>11 355 206</i>	<i>22 767 107</i>	<i>18 450 000</i>
Completion of Europe for Citizens						
18 04 51 - Completion of Europe for Citizens Programme (2007 to 2013)						
Operational Budget	0	11 413 584	p.m.	4 616 234	p.m.	2 800 000
<i>Of which managed by the executive agency</i>		<i>10 885 166</i>		<i>4 616 234</i>		<i>2 300 000</i>
Completion of Culture						
15 04 51 - Completion of programmes/actions in the field of culture and language						
Operational Budget	0	25 816 257	-	13 754 033	-	10 822 000
<i>Of which managed by the executive agency</i>		<i>24 335 138</i>		<i>13 754 033</i>		<i>10 822 000</i>
Completion of Development Cooperation Instrument						
21 02 51 02 - Cooperation with developing countries in Latin America						
Operational Budget	14 632	268 952 094	-	205 062 655	-	237 700 000

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	14 632	9 892 587		10 018 290		16 567 868
21 02 51 03 - Cooperation with developing countries in Asia, including Central Asia and the Middle East						
Operational Budget	449	589 691 720	-	502 119 834	-	570 761 524
<i>Of which managed by the executive agency</i>		31 745 906		26 732 163		23 407 513
21 02 51 08 - Geographical cooperation with Africa, Caribbean and Pacific States						
Operational Budget	0	142 756 320	-	240 105 670	-	267 200 000
<i>Of which managed by the executive agency</i>		4 286 128		3 660 417		3 531 378
Completion of Cooperation with industrialised and other high-income countries and territories						
19 05 51 - Completion of actions in the field of relations and cooperation with industrialised third countries (2007 to 2013)						
Operational Budget	50	22 232 634	p.m.	16 517 955	p.m.	9 908 800
<i>Of which managed by the executive agency</i>		7 250 591		4 095 508		5 346 965
Completion of Cooperation with industrialised and other high-income countries and territories						
21 09 51 01 - Asia						
Operational Budget	1 037	6 591 538	-	13 540 855	-	9 212 441
<i>Of which managed by the executive agency</i>		1 381 770		1 353 338		2 706 685
21 09 51 02 - Latin America						
Operational Budget	0	3 918 746	-	8 804 880	-	10 560 000
<i>Of which managed by the executive agency</i>		1 432 177		1 220 115		3 519 738
21 09 51 03 - Africa						
Operational Budget	0	1 152 173	-	712 499	-	1 279 916
<i>Of which managed by the executive agency</i>		639 570		582 750		1 279 916
Completion of Action programme in the field of lifelong learning						
15 02 51 - Completion line for Lifelong learning, including multilingualism						
Operational Budget	977 789	212 680 243	-	78 988 099	-	31 300 000

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>		88 788 242		68 966 408		31 160 259
Completion of Support for the European audiovisual sector						
09 05 51 - Completion of former MEDIA programmes						
Operational Budget	0	57 566 060	-	23 412 905	-	5 471 576
<i>Of which managed by the executive agency</i>		57 436 758		22 532 834		5 471 576
Completion of Youth in Action						
15 02 53 - Completion line for Youth and Sport						
Operational Budget	0	34 400 801	-	1 061 755	-	500 000
<i>Of which managed by the executive agency</i>		34 400 801		1 061 755		500 000
Creative Europe Programme						
09 05 01 - MEDIA sub-programme — Operating transnationally and internationally and promoting transnational circulation and mobility						
Operational Budget	110 141 782	60 492 383	101 570 000	69 626 046	100 712 000	98 115 407
<i>Of which managed by the executive agency</i>	110 141 782	60 492 383	101 570 000	69 626 046	100 712 000	98 115 407
15 04 01 - Strengthening the financial capacity of SMEs and organisations in the European cultural and creative sectors, and fostering policy development and new business models						
Operational Budget	7 488 868	4 473 851	9 000 000	7 445 136	23 829 000	22 133 220
<i>Of which managed by the executive agency</i>	4 702 673	3 752 877	5 282 811	5 071 830	5 758 133	5 557 412
15 04 02 - Culture sub-programme — Supporting cross-border actions and promoting transnational circulation and mobility						
Operational Budget	57 595 592	30 016 226	52 759 000	36 585 518	52 827 000	45 000 000
<i>Of which managed by the executive agency</i>	52 442 698	25 762 042	47 734 000	31 225 341	45 104 010	42 604 908
Development Cooperation Instrument (DCI)						
21 02 20 - Erasmus+ — Contribution from the development cooperation instrument (DCI)						
Operational Budget	100 356 946	507 646	90 038 998	47 908 017	105 000 000	61 455 647
<i>Of which managed by the executive agency</i>	74 606 756	507 646	65 323 592	20 853 938	78 823 009	38 885 458
The Union Programme for Education, Training, Youth and Sport (Erasmus+)						
15 02 01 01 - Promoting excellence and cooperation in the European education and training area and its relevance to the labour market						

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	1 527 225 888	1 049 895 579	1 348 476 000	1 100 675 667	1 451 010 600	1 503 812 182
<i>Of which managed by the executive agency</i>	<i>180 757 420</i>	<i>87 462 092</i>	<i>194 899 016</i>	<i>111 817 826</i>	<i>201 856 878</i>	<i>150 060 673</i>
15 02 01 02 - Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life						
Operational Budget	199 563 928	139 880 180	165 245 000	128 252 717	172 700 000	171 249 596
<i>Of which managed by the executive agency</i>	<i>20 364 636</i>	<i>13 001 331</i>	<i>20 571 907</i>	<i>14 750 216</i>	<i>21 200 000</i>	<i>22 261 293</i>
15 02 02 - Promoting excellence in teaching and research activities in European integration through the Jean Monnet activities worldwide						
Operational Budget	38 789 324	31 301 634	36 174 000	29 034 105	37 074 900	33 676 900
<i>Of which managed by the executive agency</i>	<i>38 169 991</i>	<i>31 081 666</i>	<i>36 174 000</i>	<i>29 034 105</i>	<i>36 448 000</i>	<i>32 720 139</i>
15 02 03 - Developing the European dimension in sport						
Operational Budget	22 434 981	5 118 870	20 939 000	11 611 197	30 000 000	28 000 000
<i>Of which managed by the executive agency</i>	<i>21 234 390</i>	<i>4 871 939</i>	<i>19 500 000</i>	<i>11 611 197</i>	<i>28 163 543</i>	<i>26 401 451</i>
European Neighbourhood Instrument (ENI)						
22 04 02 02 - Eastern Partnership — Poverty reduction and sustainable development						
Operational Budget	272 419 299	800 000	302 300 000	52 746 310	325 100 000	127 000 000
<i>Of which managed by the executive agency</i>						
22 04 20 - Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)						
Operational Budget	106 769 355	1 636 260	90 654 000	61 830 124	95 410 000	75 481 736
<i>Of which managed by the executive agency</i>	<i>53 117 754</i>	<i>1 636 260</i>	<i>48 352 037</i>	<i>13 127 191</i>	<i>52 265 322</i>	<i>28 475 617</i>
EU Aid Volunteers initiative (EUAV)						
23 04 01 - EU Aid Volunteers initiative — Strengthening the Union's capacity to respond to humanitarian crises						
Operational Budget	12 148 000	0	13 868 000	10 078 812	16 885 000	13 200 000
<i>Of which managed by the executive agency</i>	<i>11 148 000</i>		<i>13 450 000</i>	<i>7 666 680</i>	<i>16 087 000</i>	<i>13 857 400</i>
Instrument for Pre-accession Assistance (IPA II)						
22 02 04 02 - Contribution to Erasmus+						

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	30 943 492	441 336	31 115 000	21 780 981	32 035 349	27 877 772
<i>Of which managed by the executive agency</i>	<i>12 377 397</i>	<i>441 336</i>	<i>13 258 605</i>	<i>3 664 709</i>	<i>13 560 000</i>	<i>7 313 800</i>
Partnership instrument for cooperation with third countries (PI)						
19 05 01 - Cooperation with third countries to advance and promote Union and mutual interests						
Operational Budget	107 120 157	221 427	102 720 000	41 392 773	105 652 000	59 400 000
<i>Of which managed by the executive agency</i>	<i>11 427</i>	<i>11 427</i>	<i>3 500 000</i>	<i>2 450 000</i>		
19 05 20 - Erasmus+ — Contribution from the Partnership Instrument						
Operational Budget	8 807 832	0	11 708 000	9 210 362	14 730 000	13 417 336
<i>Of which managed by the executive agency</i>	<i>600 853</i>	<i>0</i>	<i>843 936</i>	<i>408 675</i>	<i>1 061 756</i>	<i>423 404</i>
TOTAL operational budget managed by the agency	602 376 412	514 106 433	592 153 904	491 256 805	623 806 758	591 740 860

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	34	34	34	34	34	34	34
Temporary Agents (recruited by the agency)	74	76	76	76	76	76	76
Executive Agency's total Establishment Plan Posts	108	110	110	110	110	110	110
Contract Agents (*)	328	331	331	331	331	331	332
Seconded National Experts (SNE)							
Total staff of the Executive Agency	436	441	441	441	441	441	442

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	2	3	4	5
AD 13	7	5	8	6
AD 12	4	4	3	7
AD 11	10	2	8	10
AD 10	10	15	12	11
AD 9	15	17	19	16
AD 8	11	10	6	8
AD 7	6	5	6	6
AD 6	10	10	10	9
AD 5	5	3	5	3
AD TOTAL	80	74	81	81
AST 11			1	1
AST 10	1	1	1	1
AST 9	2	2	1	1
AST 8	2	0	1	1
AST 7	1	1		3
AST 6	1		2	2
AST 5	7	9	10	10
AST 4	8	6	9	4
AST 3	6	8	4	6
AST 2				
AST 1				
AST TOTAL	28	27	29	29
AST/SC 6				
AST/SC 5				

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	108	101	110	110
Of which Officials seconded by the commission	34	34	34	34

3.2.2 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV (1)	100	70	100	100
Function Group III	154	163	154	155
Function Group II	67	74	70	70
Function Group I	7	7	7	7
TOTAL	328	314	331	332

(1) In addition to EU 28 staff, there are 20 CA under third country budget + 2 CA under EDF budget

3.2.3 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	0	0

3.3 Impact of the creation of the Executive Agency on the human resources of the commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG EAC (posts)	12	12	0	0
DG EAC (external)	18	18	0	0

personnel)				
Total	30	30	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2004)5399 of 21 December 2004.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG EAC (posts)	3	3	0	0
Total	3	3	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2008)5582 of 2 October 2008.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG EAC (posts)	2	2	0	0
DG EAC (external personnel)	2	2	0	0
DG DEVCO	11	11	0	0
Total	15	15	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2012)9474 of 20 December 2012.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG EAC (external personnel)	1	1	0	0
Total	1	1	0	0

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013.

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2014	In 2015	In 2016
DG EAC	27	0	0
DG CNECT	4	0	0
DG DEVCO	1	0	0

Total	32	0	0
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3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2015	Number of posts frozen in 2016
DG EAC	32	30	30
DG CNECT	0	2	2
DG COMM	2	0	0
DG HOME	0	2	2
Total	34	34	34

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013; taking account of the Commission's reorganisation in 2015 and the shift of programmes from DG EAC and DG COMM to DG CNECT and DG HOME, thus becoming parent DGs of EACEA.

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2014	2015	2016	2017	2018	2019	2020
	47,542	47,615	47,933	48,184	48,330	48,468	48,506
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-28)	47,542	47,615					
Budget after transfers and / or amending budgets (EUR-28)							
Operating budget of the agency							
Initial Budget (EUR-28)	47,542	46,923					
Budget after transfers and / or amending budgets (EUR-28)	44,121						

4.2 Revenues

REVENUES		2014	2015	2016	VAR 2016/2015 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
15 01 06 01	E4A	25 332 870	26 312 000	26 112 100	-0,76%
15 01 06 02	CREA	12 491 000	12 164 000	12 199 000	0,29%
18 01 06 01	CIT2020	2 191 000	2 203 000	2 203 000	0,00%
19 01 06 01	PI	274 000	163 000	230 000	41,10%
21 01 06 01	DCI2020	2 087 010	2 035 000	2 332 000	14,59%
22 01 06 01	IPA2	1 029 880	885 000	820 000	-7,34%
22 01 06 02	ENI	2 829 640	2 956 000	2 550 000	-13,73%
23 01 06 01	EUAV	454 000	897 000	989 000	10,26%
Sub Total		46 689 400	47 615 000	47 435 100	-0,38%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
15 01 06 01	E4A		773 780	775 529	0,23%
15 01 06 02	CREA		357 622	358 651	0,29%
21 01 06 01	DCI2020		30 732	42 050	36,83%
22 01 06 01	IPA2		8 234	11 260	36,75%
22 01 06 02	ENI		26 792	36 680	36,91%
Sub Total			1 197 160	1 224 170	2,26%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
15 01 06 01	E4A		1 188 000	1 189 000	0,08%
15 01 06 02	CREA		219 298	258 730	17,98%
18 01 06 01	CIT2020				
19 01 06 01	PI				
21 01 06 01	DCI2020				
22 01 06 01	IPA2				
22 01 06 02	ENI				
Sub Total			1 407 298	1 447 730	2,87%
TOTAL REVENUES		46 689 400	50 219 458	50 107 000	-0,22%

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(1) Amounts include EFTA and R0 already for 2014. EACEA will not use full C1 budget available in 2015. However, those credits will be used by the Commission to cover the third interim evaluation required under Regulation 58/ 2003.

(2) Plus EDF amounts of EUR 257 000.

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	31 157 441	32 217 000	33 002 000	2,44%
Remunerations, Allowances and Charges	29 740 698	30 662 000	31 517 000	2,79%
- <i>Of which establishment plan posts</i>	<i>10 741 430</i>	<i>11 400 000</i>	<i>11 895 000</i>	<i>4,34%</i>
- <i>Of which external personnel</i>	<i>18 999 268</i>	<i>19 262 000</i>	<i>19 622 000</i>	<i>1,87%</i>
Professional Development and Social expenditure	1 416 743	1 555 000	1 485 000	-4,50%
Title 2 - Infrastructure and operating expenditure	9 252 271	11 294 000	11 121 000	-1,53%
Building expenditure	5 220 697	7 322 000	7 012 000	-4,23%
ICT expenditure	3 700 808	3 380 000	3 490 000	3,25%
Movable Property and Current Operating expenditure	330 766	592 000	619 000	4,56%
Title 3 - Programme support expenditure	5 717 725	6 279 000	6 241 000	-0,61%
Programme Management expenditure	5 717 725	6 279 000	6 241 000	-0,61%
Common Support Services expenditure				
TOTAL	46 127 437	49 790 000	50 364 000	1,15%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	30 191 516	32 217 000	33 002 000	2,44%
Remunerations, Allowances and Charges	29 055 751	30 662 000	31 517 000	2,79%
- <i>Of which establishment plan posts</i>	<i>10 741 430</i>	<i>11 400 000</i>	<i>11 895 000</i>	<i>4,34%</i>
- <i>Of which external personnel</i>	<i>18 314 321</i>	<i>19 262 000</i>	<i>19 622 000</i>	<i>1,87%</i>
Professional Development and Social expenditure	1 135 765	1 555 000	1 485 000	-4,50%
Title 2 - Infrastructure and operating expenditure	7 888 513	11 294 000	11 121 000	-1,53%
Building expenditure	4 939 509	7 322 000	7 012 000	-4,23%
ICT expenditure	2 678 776	3 380 000	3 490 000	3,25%
Movable Property and Current Operating expenditure	270 228	592 000	619 000	4,56%

Title 3 - Programme support expenditure	2 513 674	6 279 000	6 241 000	-0,61%
Programme Management expenditure	2 513 674	6 279 000	6 241 000	-0,61%
Common Support Services expenditure				
TOTAL	40 593 703	49 790 000	50 364 000	1,15%

4.4 Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1 343 018.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The number of staff requested for 2016 is in line with the Financial statement accompanying the mandate extension Commission decision 2013/776/EU which received a positive opinion of the CEA on 22 November 2013. An additional Contract Agent will be transferred from DEVCO as from 2016 to handle implementation of the Pan-African Programme - Intra-Africa Mobility Scheme.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

20 contract agents are financed for 2016 on credits from the participation of candidate countries and/or third countries for the following programmes: Erasmus+ and Creative Europe. In addition, 2 contract agents are financed from the European Development Fund.

5.1.3 Salary assumption for calculating salary lines (% applied)

Salaries for 2016 have been calculated on the basis of 2015 average costs per FTE multiplied by the number of FTE foreseen for 2016. The calculation takes into account the effect of the promotion exercise and a vacancy rate of around 1% and an indexation rate of 1.2% for 2015 and of 1.8% for 2016.

Number of FTE foreseen for 2016: 109 TA: 110 TA authorised under EU28 budget * 99% (estimated occupancy rate); and 350 CA: 332 CA authorised under EU28 budget * 99% (estimated occupancy rate) + 20 CA under R0 budget + 2 CA under EDF budget

5.1.4 Vacancy rate as of end 2014

On 31 December 2014, the vacancy rate amounted to 5% of the total authorised staff (both for contract and temporary agents). This rate is due to the fact that in 2014 priority was given to internal mobility in accordance with the staff authorized per programme in the framework of the new mandate.

5.1.5 Standard abatement ('abatement forfaitaire')

The occupation rate is estimated to be around 99% in 2016 for both contractual and temporary agents. This is a realistic figure considering the usually very low vacancy rate in the Agency when it is at "cruising speed".

5.2 Financial Resources

5.2.1 Title 1

The calculation takes into account the effect of the promotion exercise and a vacancy rate of around 1% and an indexation rate of 1.2% for 2015 and of 1.8% for 2016.

5.2.2 Title 2

As the OIB is not able to guarantee that the move of the Agency will take place in the last quarter of 2015, the Agency therefore, as a precaution, has budgeted in 2015 the payment a double rent for a transitional period, in order to implement the move with minimal disruption to operations and in order to take the actions necessary for the refurbishment of buildings as provided in the lease agreements. The Agency has therefore provided for an additional rent of 3 months and associated rental expenses (maintenance, security, etc.) in existing buildings and a year in the new buildings and an amount for the refurbishment of the buildings of the order of EUR 250 000.

5.2.3 Title 3

Apart from an increase to cover the greater volumes of translations foreseen and the indexation of IT Service Level Agreements, the Title 3 expenditures have been reduced or maintained at 2014 levels.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Bourg 135-139 (Brussels)	
Surface area (in square metres)	9.280	(General purpose surfaces:archives, meeting rooms, print shop and canteen)
-Of which office space	-8.183	
-Of which non-office space	-1.097	
Annual rent (in EUR)	1,450,000	
Type and duration of rental contract	Rental agreement until end 2015	
Host country grant or support	No	
Present value of the building	N/A	
	Name, location and type of building	Other Comment
Information to be provided per building:	Bourg 115 (Brussels)	
Surface area (in square metres)	5.934	
1. Of which office space	3. 5.214	
2. Of which non-office space	4. 720	
Annual rent (in EUR)	760,000	
Type and duration of rental contract	Rental agreement until end 2015	
Host country grant or support	No	
Present value of the building	N/A	

6.1.2 Building project in the planning phase

The Agency will move to a new building under the management of the OIB, either in 2015 or 2016.

6.1.3 Building projects submitted to the European Parliament and the Council

6.2 Evaluation

An evaluation of the agency was submitted to the Budgetary Authority on 27 January 2014. The main findings of the latest evaluation available are as follows:

The second interim evaluation of EACEA was designed to provide a description of activities delivered between 1 May 2008 and 31 December 2011, an assessment of the costs and benefits of delegation, an update of progress since the previous evaluation and a set of findings and recommendations. In general terms EACEA has substantially achieved its objectives of managing programmes efficiently and simplifying or harmonising processes at key stages.

Relevance of role, design and set up. EACEA is operating in line with the legal framework, is relevant to the needs of parent DGs and has demonstrated flexibility in responding to increased demands for programme management. There have been improvements in technical and financial expertise as staffing has increased, and although staff turnover is

comparatively low, there may be potential to further develop mobility between programme units and open up career pathways with other executive agencies.

Services to applicants and beneficiaries. EACEA has met the majority of output and budgetary targets. Satisfaction levels amongst applicants and beneficiaries are positive and consistent with previous surveys. Agency staff is seen as contactable and responsive, although the least positive aspect is the quality of feedback on unsuccessful applications. EACEA has made significant progress in harmonising and simplifying procedures, although there is some demand for further improvements to information provision and materials such as e-forms.

Costs of programme management. Delegation has been cost-effective compared with in-house management by the Commission, and predicted cost savings for 2008-2011 have been exceeded. Staff costs have remained below 5% of total programme budgets and although EACEA's remit and workforce has expanded, levels of overall efficiency (per staff member) have been maintained.

Facilitating better projects and outcomes. Project selection processes are clear and there is no shortage of applications meeting quality thresholds. External experts play a critical role, so procedures (including the impact of remote briefings and appraisal meetings) should remain under review. Financial controls and 'technical' monitoring are strong, and further improvements are currently being introduced.

The Commission's political role. Delegation is likely to have some beneficial impact on the ability of Commission staff to focus on their core responsibilities. Formal coordination and informal collaboration is generally working well and staff in the mirror units have a clearer understanding of roles and responsibilities. The information flow between EACEA and parent DGs has improved (both automatic and on-request), although there is potential to further improve the flow of qualitative information from projects.

A new evaluation of the agency is expected to be carried out by the end of 2015.

2.2.4.2 Executive Agency of heading 3 - Security and citizenship

2.2.4.2.1 Consumer, Health, Agriculture and Food Executive Agency - CHAFEA

1 Overview

1.1 Creation/modification (legal base)

Decision			Date	Mission/ Tasks / Functions	
Commission Implementing Decision 2004/858/EC of 15/12/2004	OJ L369	of 16/12/2004	of	Implementation of public health programme 2003-2008	
Commission Implementing Decision 2008/544/EC of 20/06/2008	OJ L173	of 03/07/2008	of	Extension of mandate / new name (executive agency for health and consumers): completion of public health programme 2003-2008, public health programme 2008-2013, consumer policy 2007-2013 and food safety training measures.	
Commission decision 2012/740/EU of 29/11/2012	OJ L331	of 1/12/2012	of	Extension of mandate (executive agency for health and consumers): completion of public health programme 2003-2008, public health programme 2008-2013, consumer policy 2007-2013; European standardisation and the food safety training measures.	
Commission Decision 2013/770/EU of 17/12/2013	OJ L341	of 18.12.2013	of	Establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC	
Commission Decision 2014/927/EU of 17/12/2014	OJ L363	of 18.12.2014	of	Extension of mandate and transforming the 'Consumers, Health and Food Executive Agency' into the 'Consumers, Health, Agriculture and Food Executive Agency'.	

1.2 Seat

Luxembourg, Luxembourg

1.3 Budget Line

05 01 06 01 : Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme

17 01 06 02 : Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'

17 01 06 03 : Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health

33 01 06 01 : Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	6	5	7	8
Temporary Agents (recruited by the executive agency)	6	5	6	7
Total Establishment plan posts	12	10	13	15
Contract Agents	38	39	38	45
Seconded National Experts	0	0	0	
TOTAL STAFF	50	49	51	60

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	7 236 000	8 518 376
2. EEA/EFTA contribution (excl.Switzerland)	173 460	175 230
3. Participation of candidate countries and/or third countries		
TOTAL	7 409 460	8 693 606

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	4 398 360	4 398 360	5 114 540	5 114 540
Title 2 - Infrastructure and operating expenditure	988 700	988 700	1 179 976	1 179 976
Title 3 - Programme support expenditure	2 022 400	2 022 400	2 399 090	2 399 090
TOTAL EXPENDITURE	7 409 460	7 409 460	8 693 606	8 693 606

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2016, the executive agency is in the 12th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Consumer programme 2014-2020		X	
Public Health Programme 2014-2020		X	
Food Safety Training Measures covered by Directive 2000/29/EC and Regulation (EC) N° 882/2004		X	
Consumer Programme 2007-2013			X
Public Health Programme 2008-2013			X
Food Safety Training Measures covered by Regulation (EC) N° 882/2004, Directive 200/29/EC, Decision C(2012) 1548 and Regulation (EC) N° 1905/2006			X
Management of the agreement with ANEC, governed by Regulation (EU) N° 1025/2012			X

The information provision and promotion measures for agricultural products on the internal market and in third countries	X		
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2.2 Operational appropriations managed

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Public Health						
17 03 51 - Completion of public health programmes						
Operational Budget	0	40 699 520	p.m.	24 967 569	p.m.	16 000 000
<i>Of which managed by the executive agency</i>	<i>0</i>	<i>32 470 074</i>	<i>0</i>	<i>19 600 000</i>	<i>0</i>	<i>10 000 000</i>
Completion of Feed and Food						
17 04 51 - Completion of previous measures in food and feed safety, animal health, animal welfare and plant health						
Operational Budget	0	195 859 918	p.m.	28 763 376	p.m.	15 000 000
<i>Of which managed by the executive agency</i>	<i>0</i>	<i>13 036 000</i>	<i>0</i>	<i>9 800 000</i>	<i>0</i>	<i>6 000 000</i>
Trade policy						
20 02 03 00 - Aid for trade – Multilateral initiatives						
Operational Budget	4 150 000	3 447 021	4 802 000	9 300 045	4 500 000	4 500 000
<i>Of which managed by the executive agency</i>	<i>500 000</i>	<i>146 361</i>	<i>0</i>	<i>407 881</i>	<i>0</i>	<i>200 000</i>
Development Cooperation Instrument (DCI)						
21 02 51 04 – Food security						
Operational Budget	0	181 025 325	0	140 324 836	0	103 400 000
<i>Of which managed by the executive agency</i>	<i>0</i>	<i>1 483 588</i>	<i>0</i>	<i>2 400 000</i>	<i>0</i>	<i>3 200 000</i>
Consumer Programme						
33 04 01 - Safeguarding consumers' interest and improving their safety and information						
Operational Budget	21 841 036	1 316 443	21 866 000	12 373 045	23 102 000	16 500 000
<i>Of which managed by the executive agency</i>	<i>16 544 929</i>	<i>12 479</i>	<i>16 264 170</i>	<i>11 500 000</i>	<i>18 481 600</i>	<i>13 200 000</i>
33 04 51 (ex-17 02 51) – Completion line of Union activities in favour of Consumers						
Operational Budget	0	15 782 039	0	5 752 675	0	1 700 000

	Executed budget 2014		Budget 2015		Draft budget 2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	0	12 400 279	0	3 100 000	0	1 550 000
Agri Promotion						
05 02 10 02 - Promotion measures — Direct payments by the Union						
Operational Budget	1 495 798	993 725	1 189 000	1 252 166	16 000 000	4 337 221
<i>Of which managed by the executive agency</i>	-	-	-	-	16 000 000	3 000 000
Internal market for goods and sectorial policies						
02 03 02 02 – Support to organisations representing small and middle-sized enterprises (SMEs) and societal stakeholders in standardisation activities						
Operational Budget	3 054 559	2 001 607	3 816 286	2 175 774	3 843 000	3 700 000
<i>Of which managed by the executive agency</i>	0	1 118 027	0	279 506	0	0
Food and Feed						
17 04 03 - Ensuring effective, efficient and reliable controls						
Operational Budget	61 755 109	14 477 681	47 360 000	28 763 376	50 401 000	55 250 000
<i>Of which managed by the executive agency</i>	14 885 000	0	15 500 000	4 500 000	15 500 000	10 500 000
Union action in the field of health (Health Programme)						
17 03 01 - Third programme for the Union's action in the field of health (2014-2020)						
Operational Budget	54 672 932	1 778 156	54 041 000	26 366 428	56 451 000	48 500 000
<i>Of which managed by the executive agency</i>	45 254 463	597 775	42 500 000	21 000 000	42 500 000	38 800 000
TOTAL operational budget managed by the agency	77 184 392	61 264 583	74 264 170	72 587 387	92 481 600	86 450 000

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	6	7	8	8	8	8	8
Temporary Agents (recruited by the agency)	6	6	7	9	10	12	12
Executive Agency's total Establishment Plan Posts	12	13	15	17	18	20	20

Contract Agents (*)	38	38	45	49	53	56	59
Seconded National Experts (SNE)							
Total staff of the Executive Agency	50	51	60	66	71	76	79

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1	1	1	1
AD 13	1	1	1	1
AD 12	1	1	1	3
AD 11	2	1	3	2
AD 10		1		
AD 9				
AD 8	1		1	1
AD 7	1	1	1	
AD 6	2	2	2	2
AD 5	1		1	3
AD TOTAL	10	8	11	13
AST 11				
AST 10				
AST 9				
AST 8				
AST 7			1	1
AST 6	1	1		
AST 5				
AST 4	1	1	1	1
AST 3				
AST 2				

Function group and grade	2014		2015	2016
	Authorised under the EU Budget	Filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
AST 1				
AST TOTAL	2	2	2	2
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	12	10	13	15
Of which Officials seconded by the commission	6	5	7	8

3.2.2 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	20	20	20	24
Function Group III	10	10	10	12
Function Group II	8	9	8	9
Function Group I	0	0	0	0
TOTAL	38	39	38	45

3.2.3 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	0	0	0	

3.3 Impact of the creation of the Executive Agency on the human resources of the commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG SANCO	10	10	0	0
Total	10	10	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2004)4839 of 8 December 2004.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG SANTE	12	12	0	0
Total	12	12	0	0

Source: Consolidated data extracted from the Legislative financial statement accompanying the Communication to the Commission C(2008)2779 of 13.6.2008, after approval by the Regulatory Committee on Executive Agencies in its meeting of 15.4.2008.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2014	Staff to be freed in 2015	Staff to be freed in 2016
DG AGRI (posts)	6	0	0	2
DG AGRI (external personnel)	3,2	0	0	3,2
Total	9,2	0	0	5,2

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the Committee on Executive agencies on 22 November 2013.

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2014	In 2015	In 2016
DG SANTE	3	0	0
Total	3	0	0

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2015	Number of posts frozen in 2016
DG SANTE	6	5	5
DG JUST	0	1	1
DG AGRI	2	1	2
Total	8	7	8

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the Committee on Executive Agencies on 22 November 2013; taking account of the Commission reorganisation and the shift of programme from DG SANTE to DG JUST, thus becoming parent DG for CHAFEA.

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2014	2015	2016	2017	2018	2019	2020
	7,303	6,421	7,742	8,466	9,059	9,674	9,958
Agency contribution as voted by the budgetary authority							
Initial Budget (EUR-28)	7,247	7,236	8,518				
Budget after transfers and / or amending budgets (EUR-28)							
Operating budget of the agency							
Initial Budget (EUR-28)	7,247	7,409	8,693				
Budget after transfers and / or amending budgets (EUR-28)							

4.2 Revenues

REVENUES		2014	2015	2016	VAR 2016/2015 (%)
		Executed Budget (1)	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
05 01 06 01	EAGF2020		166 000	1 448 376	772,52%
17 01 06 02	HEALTH	4 017 709	4 209 000	4 209 000	0,00%

17 01 06 03	FF2020	1 116 826	1 170 000	1 170 000	0,00%
33 01 06 01	CONS	1 614 147	1 691 000	1 691 000	0,00%
Sub Total		6 748 682	7 236 000	8 518 376	17,72%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
17 01 06 02	HEALTH	120 531	123 745	125 007	1,02%
33 01 06 01	CONS	48 424	49 715	50 223	1,02%
Sub Total		168 956	173 460	175 230	1,02%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
17 01 06 02	HEALTH				
33 01 06 01	CONS				
Sub Total					
TOTAL REVENUES		7 247 000	7 409 460	8 693 606	17,33%

(1) The EFTA states' contribution for 2016 is an indicative estimate based on the 2015 proportionality factor and is subject to an update.

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	4 137 702	4 398 360	5 114 540	16,28%
Remunerations, Allowances and Charges	3 895 102	4 106 000	4 794 640	16,77%
- <i>Of which establishment plan posts</i>	<i>1 441 268</i>	<i>1 620 648</i>	<i>1 821 400</i>	<i>12,39%</i>
- <i>Of which external personnel</i>	<i>2 453 834</i>	<i>2 485 352</i>	<i>2 973 240</i>	<i>19,63%</i>
Professional Development and Social expenditure	242 600	292 360	319 900	9,42%
Title 2 - Infrastructure and operating expenditure	962 449	988 700	1 179 976	19,35%
Building expenditure	664 511	685 300	815 500	19,00%
ICT expenditure	185 999	178 000	198 276	11,39%
Movable Property and Current Operating expenditure	111 939	125 400	166 200	32,54%
Title 3 - Programme support expenditure	1 817 487	2 022 400	2 399 090	18,63%
Programme Management expenditure	1 817 487	2 022 400	2 399 090	18,63%
Common Support Services expenditure				

TOTAL	6 917 638	7 409 460	8 693 606	17,33%
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4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2014	Budget 2015	Draft Budget 2016	VAR 2016/2015 (%)
Title 1 - Staff expenditure	4 013 385	4 398 360	5 114 540	16,28%
Remunerations, Allowances and Charges	3 873 352	4 106 000	4 794 640	16,77%
- <i>Of which establishment plan posts</i>	<i>1 441 268</i>	<i>1 620 648</i>	<i>1 821 400</i>	<i>12,39%</i>
- <i>Of which external personnel</i>	<i>2 432 084</i>	<i>2 485 352</i>	<i>2 973 240</i>	<i>19,63%</i>
Professional Development and Social expenditure	140 033	292 360	319 900	9,42%
Title 2 - Infrastructure and operating expenditure	841 213	988 700	1 179 976	19,35%
Building expenditure	664 511	685 300	815 500	19,00%
ICT expenditure	131 355	178 000	198 276	11,39%
Movable Property and Current Operating expenditure	45 347	125 400	166 200	32,54%
Title 3 - Programme support expenditure	916 885	2 022 400	2 399 090	18,63%
Programme Management expenditure	916 885	2 022 400	2 399 090	18,63%
Common Support Services expenditure				
TOTAL	5 771 483	7 409 460	8 693 606	17,33%

4.4 Outturn

First estimate of the 2014 surplus that should be reimbursed to the EU budget (as general revenue): EUR 470 485.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

See Commission Decision and Financial Statement of 19.12.2014 submitted to the Committee for Executive Agencies and the Budgetary Authority accompanying the extension of the mandate and duration of the executive agency in the Consumers, Health, Agriculture and Food Executive Agency.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

No such agents requested.

5.1.3 Salary assumption for calculating salary lines (% applied)

Salary costs for 2016 were calculated starting from actual salary costs of 2014 by multiplying the total number of posts per staff category times the average actual costs of the respective staff category paid in 2014. No salary increase nor inflation were applied. No provision is included for statutory advancement in career for seniority and reclassification of staff in 2016, compared to 2015. Such increases are deemed to be covered by normal staff mobility as observed during previous years.

For Agri Promotion salary provisions were calculated on the basis of an average grading - and corresponding salaries - of staff already in place for the other programmes delegated to the Agency. Also, for all Agri Promotion contract agent positions to fill in 2016 a delayed recruitment of 1 month is included anticipating an - on average - delayed actual taking up of the functions by the new staff members (thus 8% reduction of staff costs on annual basis).

5.1.4 Vacancy rate as of end 2014

There was 1 Temporary Agent position open at 31.12.2014. An employment offer letter was made and accepted for the new staff member to start at 01.03.2015. Another Temporary Agent position, seconded official became vacant in September. A selection procedure is on-going to be finalised in the first half of 2015.

5.1.5 Standard abatement ('abatement forfaitaire')

In view of the low number of open posts in the Agency observed in the past years as well as the ongoing staff recruitment procedures, no abatement was applied. As mentioned above, statutory salary increases further to seniority and reclassification of staff are not budgeted for.

5.2 Financial Resources

5.2.1 Title 1

Budget appropriations necessary to cover staff costs (salaries and salary related allowances and charges) and professional development & social expenditure. The latter includes recruitment & entering/leaving the service costs, general/specific & language courses, administrative & medical services as well as social service & related expenditure. For 2016, staff costs for one additional Temporary Agent and 7 Contract Agents were included, albeit with a delayed recruitment of 4 months and 2 months respectively.

5.2.2 Title 2

Budget appropriations related to infrastructure and operating expenditure. It concerns mainly renting of premises, Information & Communication Technologies (ICT) and movable property & operating expenditure. These costs include telecommunication costs, office furniture, translation costs, IT services and other expertise (accounting controls and internal control advice). In 2016, renting offices via the Office for Infrastructure and Logistics – Luxembourg represent 68% of expenditure under Title 2. Overall expenditure budgeted under Title 2 was calculated as the multiplication of the average cost per staff member used in 2015 times the number of staff members authorised in 2016. For the newly delegated tasks in the domain of Agricultural promotion, involving 8 new staff members, EUR 29 000 has been added for office furniture and ICT material.

5.2.3 Title 3

Budget appropriations in support of the management of the programmes delegated to the Agency. It concerns travel and subsistence costs (reimbursed to external participants attending technical meetings of the programmes, mainly the Health for growth programme), evaluation & review costs of proposals/project deliverables, data collection & programme management specific IT system expenditure, communication/editing/website/conferencing costs and translation of call for proposals/tenders related documents for all programmes. For Agricultural promotion only EUR 160 000 is budgeted for external evaluation of expected proposals and not EUR 200 000 as initially foreseen due to budget constraints.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Drosbach, Wing A, 3rd Floor 12, rue Guillaume Kroll L-1882 Luxembourg Office Building	
Surface area (in square metres)	1.615	As from 2015, extra office space is to be hired to accommodate the new unit tasked with the AGRI Promotion activity. For 2016, the budgetary impact of the required extra office space is estimated pro rata the cost per staff member for the already hired staff. This approach does not consider the eventuality that the Agency is obliged to rent the entire office space required in the coming years upfront as from 2016, Also building fitting out costs required in case
1. Of which office space	3. 929	
2. Of which non-office space	4. 686	

		of a move of the Agency from its current premises are not budgeted for in 2016.
Annual rent (in EUR)	EUR 664 511 (actual amount paid in 2014)	The amount estimated for 2016 (EUR 809.500 €) includes extra office space for 1 Temporary Agent and 7 Contract Agents; the annual price indexation of the rent contract (3,20% in 2013, 1,98% in 2014, estimated at 1% in 2015 was further reduced to 0,5% for 2016).
Type and duration of rental contract	Contrat de sous-location via OIL Lifetime of the Agency	
Host country grant or support	None	
Present value of the building	See OIL	

6.1.2 Building project in the planning phase

As provided for by the Commission in its Communication of 18.09.2013 on the delegation of the management of the 2014-2020 programmes to executive agencies, the Agency requested the Office for Infrastructure and Logistics in Luxembourg to provide for more space in its existing premises as from 2015.

6.1.3 Building projects submitted to the European Parliament and the Council

None.

6.2 Evaluation

On 19.08.2013 a Cost benefit Analysis (CBA) was carried out by GFK, confirming the efficiency and productivity gains of CHAFEA which served as a basis to delegating part of the new Public Health, Consumer, Feed and Food and Agri promotion programmes to the Agency. A new evaluation of the agency is expected to be carried out by 2017.

PART II – JOINT UNDERTAKINGS AND JOINT TECHNOLOGY INITIATIVES ('PPP')

1 MAIN BUDGETARY TRENDS 2016

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2016 for the current 2 joint undertakings and 6 joint technology initiatives (Public-Private Partnerships), in text (section 1.1) and tables (section 1.2). Furthermore, this section presents an overview table on Commission budget implementation for joint undertakings and joint technology initiatives ('PPP bodies') in 2014 (section 1.3), which is followed by an overview table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2014, section 1.4).

1.1 Budgetary and staffing highlights 2016: joint undertakings and joint technology initiatives ('PPP')

Compared to the 2015 budget (as modified by draft amending budget 1/2015), the total EU contribution to the joint undertakings and JTIs requested in the 2016 draft budget decreases by 15,2 % to EUR 1 237,1 million. The substantial decrease in the overall EU contribution to the joint undertakings is in line with the financial programming for 2016, for all the joint undertakings and JTIs, with the exception of the Clean Sky JTI, for which the financial programming has been updated, entailing a backloading to the year 2020.

In line with the resources programming, the staffing of most of the joint undertakings and JTIs is foreseen to be kept stable at the level authorised in 2015. As planned, however, 3 additional posts are requested for the Innovative Medicines Initiative (IMI). The number of posts requested for the ITER-F4E joint undertaking increases from 262 to 283 posts. Based on a thorough analysis of the expected workload and the number of ongoing contracts and their values, it is necessary to reinforce the operational capacity of F4E by recruiting additional engineers, procurers and lawyers. To reflect the changes made in the regulatory framework for the new generation of JTIs, as from the 2015 draft budget their establishment plans are no longer annexed to the EU budget.

As presented in more detail in the individual budgetary statements (section 2.2), the total staffing of the joint undertakings foreseen for 2016 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2015	2016	2015	2016	2015	2016	2015	2016
301	322	153	180	10	7	464	509

As presented in more detail in the individual budgetary statements (section 2.2), the total staffing of the joint technology initiatives foreseen for 2016 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2015	2016	2015	2016	2015	2016	2015	2016
126	129	49	55	1	1	176	185

1.2 Joint undertaking / joint technology initiative ('PPP') overview table 2016 / 2015

1.2.1 Joint undertakings under Article 208 of the Financial Regulation

(in million EUR)

Name of the Joint Undertaking	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾		Draft budget (DB) 2016			Variation EU contribution 2016 / 2015
				Total revenues of the Joint Undertaking	Of which EU contribution	Revenues ⁽²⁾ estimated by the Joint Undertaking	Of which Joint Undertaking contribution request	EU contribution (DB 2016)	
Construction, operation and exploitation of the ITER facilities - European Joint Undertaking for ITER - Fusion for Energy (F4E)		Barcelona	2007						
Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure	32 05 01 01				43,860		49,000	44,737	2,0%
Construction, operation and exploitation of the ITER facilities – European Joint Undertaking for ITER — Fusion for Energy (F4E)	32 05 01 02				338,355		270,853	275,475	-18,6%
Total F4E				453,603	382,215	455,481	319,853	320,212	-16,2%
<i>Authorised establishment plan</i>				262	262		283	283	21
Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)		Brussels	2014						
Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) — Support expenditure	06 03 07 31				0,000		0,000	0,000	0,0%
Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)	06 03 07 32				50,000		60,000	60,000	20,0%
Total SESAR2				90,830	50,000	93,321	60,000	60,000	20,0%
<i>Authorised establishment plan</i>				39	39		39	39	0
Total Joint Undertakings				544,433	432,215	548,802	379,853	380,212	-12,0%
<i>Authorised establishment plan</i>				301	301		322	322	21

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

(2) This amount includes the appropriations foreseen in the 2016 DB, the estimated EFTA contribution and contributions from the participating Member States and from the private sector. The EU contribution frontloaded in 2013 to cover the 2014-2017 running costs of SESAR is excluded.

1.2.2 Joint technology initiatives under Article 209 of the Financial Regulation

(in million EUR)

Name of the Joint Technology Initiative (JTI)	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾		Draft budget (DB) 2016			Variation EU contribution 2016 / 2015
				Total revenues of the JTI	Of which EU contribution	Revenues ⁽²⁾ estimated by the JTI	Of which JTI contribution request	EU contribution (DB 2016) ⁽³⁾	
Innovative Medicines Initiative 2 Joint Undertaking (IMI2)		Brussels	2014						
Innovative Medicines Initiative 2 Joint Undertaking (IMI2) — Support expenditure	08 02 07 31				0,671		1,200	1,200	78,9%
Innovative Medicines Initiative 2 Joint Undertaking (IMI2)	08 02 07 32				211,379		197,787	197,787	-6,4%
Total IMI2				315,200	212,050	213,501	198,987	198,987	-6,2%
<i>Establishment plan</i>				35	35		38	38	3
Clean Sky 2 Joint Undertaking (Clean Sky 2)		Brussels	2014						
Clean Sky 2 Joint Undertaking (Clean Sky 2) — Support expenditure	08 02 07 35				1,864		2,626	2,626	40,9%
Clean Sky 2 Joint Undertaking (Clean Sky 2)	08 02 07 36				339,978		194,774	194,774	-42,7%
Total Clean Sky 2				440,653	341,842	236,365	197,399	197,399	-42,3%
<i>Establishment plan</i>				36	36	36	36	36	0
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)		Brussels	2014						
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) — Support expenditure	09 04 07 31				0,786		1,050	1,019	29,6%
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)	09 04 07 32				115,024		160,000	153,127	33,1%
Total ECSEL				107,804	115,810	165,484	161,050	154,146	33,1%
<i>Establishment plan</i>				14	14		14	14	0
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)		Brussels	2014						
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) — Support expenditure	08 02 07 37				0,467		0,455	0,455	-2,5%
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)	08 02 07 38				109,114		102,166	102,166	-6,4%
Total FCH2				117,634	109,581	110,438	102,621	102,621	-6,4%
<i>Establishment plan</i>				24	24		24	24	0
Bio-Based Industries Joint Undertaking (BBI)		Brussels	2014						
Bio-Based Industries Joint Undertaking (BBI) — Support expenditure	08 02 07 33				1,600		1,946	1,946	21,6%
Bio-Based Industries Joint Undertaking (BBI)	08 02 07 34				200,496		156,136	156,136	-22,1%
Total BBI				209,422	202,096	164,830	158,083	158,083	-21,8%
<i>Establishment plan</i>				13	13		13	13	0
Shift2Rail (S2R) Undertaking		Brussels	2014						
Shift2Rail (S2R) Joint Undertaking – Support expenditure	06 03 07 33				1,314		1,624	1,578	20,1%

Name of the Joint Technology Initiative (JTI)	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾		Draft budget (DB) 2016			Variation EU contribution 2016 / 2015
				Total revenues of the JTI	Of which EU contribution	Revenues ⁽²⁾ estimated by the JTI	Of which JTI contribution request	EU contribution (DB 2016) ⁽³⁾	
Shift2Rail (S2R) Joint Undertaking	06 03 07 34				44,039		44,000	44,046	0,0%
Total S2R				48,038	45,353	48,637	45,624	45,624	0,6%
<i>Establishment plan</i>				4	4		4	4	0
Total Joint Technology Initiatives				1 238,751	1 026,732	938,835	863,764	856,860	-16,5%
<i>Establishment plan</i>				126	126		129	129	3

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

(2) This amount includes the appropriations foreseen in the 2016 DB, the estimated EFTA contribution and contributions from the participating Member States and from the private sector. The EU contribution frontloaded in 2013 to cover the 2014-2017 running costs of the previous JTIs is excluded.

(3) The number of establishment plan posts shown for 2016 corresponds to the staffing foreseen in the legislative financial statements accompanying the Commission proposals for the new generation of JTIs, as proposed in July and December 2013. However, the number of posts foreseen for BBI takes account of the need to align the staff mix of this JTI with other comparable JTIs.

1.2.3 Total of joint undertakings and joint technology initiatives

(in million EUR)

Total of the Joint Undertakings and Joint Technology Initiatives	Budget line	Location	Year of creation	Budget 2015 ⁽¹⁾		Draft budget (DB) 2016			Variation EU contribution 2016 / 2015
				Total revenues of the Joint Undertaking / JTI	Of which EU contribution	Revenues ⁽²⁾ estimated by the Joint Undertaking / JTI	Of which Joint Undertaking / JTI contribution request	EU contribution (DB 2016)	
Total Joint Undertakings and Joint Technology Initiatives				1 783,184	1 458,947	1 487,637	1 243,617	1 237,072	-15,2%
<i>Establishment plan</i>					427		451	451	24

(1) Budget 2015 includes amending budget 1 and draft amending budgets 1, 3, 4 and 5.

(2) This amount includes the appropriations foreseen in the 2016 DB, the estimated EFTA contribution and contributions from the participating Member States and from the private sector. The EU contribution frontloaded in 2013 to cover the 2014-2017 running costs is excluded.

1.3 Table Commission budget implementation for joint undertakings and joint technology initiatives ('PPP') 2014

1.3.1 Joint undertakings under Article 208 of the Financial Regulation

(in million EUR)

Name of the Joint Undertaking / PPP	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Joint Undertaking for ITER – Fusion for Energy (F4E)													
European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management	08 04 01	745,609	78,326	722,799	720,918	1,881	55,516	53,635	1,881	22,810	22,810	0,000	0,000
European Joint Undertaking for ITER — Fusion for Energy (F4E)	08 04 51	0,000	367,466	0,000	0,000	0,000	367,466	367,466	0,000	0,000	0,000	0,000	0,000
Total European Joint Undertaking for ITER – Fusion for Energy (F4E)		745,609	445,792	722,799	720,918	1,881	422,982	421,101	1,881	22,810	22,810	0,000	0,000
SESAR2 Joint Undertaking													
SESAR2 Joint Undertaking – Support	06 03 07 31	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000
SESAR2 Joint Undertaking	06 03 07 32	20,600	0,000	20,600	20,000	0,600	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total SESAR2 Joint Undertaking		20,600	0,000	20,600	20,000	0,600	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total Joint Undertakings / PPP		766,209	445,792	743,399	740,918	2,481	422,982	421,101	1,881	22,810	22,810	0,000	0,000

1.3.2 Joint technology initiatives under Article 209 of the Financial Regulation

(in million EUR)

Name of the Joint Technology Initiative (JTI)	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Innovative Medicines Initiative (IMI2) Joint Undertaking													
Cooperation — Health — Innovative Medicines Initiative (IMI2) Joint Undertaking	08 04 01	0,505	0,490	0,505	0,490	0,015	0,490	0,490	0,000	0,000	0,000	0,000	0,000
Cooperation — Health — Support expenditure for Innovative Medicines Initiative (IMI2) Joint Undertaking	08 04 51	213,519	0,000	213,519	207,300	6,219	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total Innovative Medicines Initiative Joint Undertaking (IMI2)		214,024	0,490	214,024	207,790	6,234	0,490	0,490	0,	0,	0,	0,	0,

Name of the Joint Technology Initiative (JTI)	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Clean Sky 2 Joint Undertaking													
Clean Sky2 Joint Undertaking Support	08 02 07 35	1,262	1,262	1,262	1,225	0,037	1,262	1,225	0,037	0,000	0,000	0,000	0,000
Clean Sky2 Joint Undertaking	08 02 07 36	103,000	25,000	103,000	100,000	3,000	25,000	24,610	0,390	0,000	0,000	0,000	0,000
Total Clean Sky2 Joint Undertaking		104,262	26,262	104,262	101,225	3,037	26,262	25,835	0,427	0,000	0,000	0,000	0,000
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)													
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) — Support expenditure	09 04 07 31	0,460	0,460	0,460	0,444	0,016	0,460	0,444	0,016	0,000	0,000	0,000	0,000
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)	09 04 07 32	155,000	0,000	155,000	150,950	4,050	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)		155,460	0,460	155,460	151,394	4,066	0,460	0,444	0,016	0,000	0,000	0,000	0,000
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)													
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) — Support expenditure	08 02 07 37	0,301	0,301	0,301	0,293	0,009	0,301	0,293	0,009	0,000	0,000	0,000	0,000
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)	08 02 07 38	96,155	0,000	96,155	93,354	2,801	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total FCH Joint Undertaking (FCH2)		96,456	0,301	96,456	93,647	2,809	0,301	0,293	0,009	0,000	0,000	0,000	0,000
Shift2Rail (S2R) Joint Undertaking													
Shift2Rail (S2R) Joint Undertaking — Support expenditure	06 03 07 33	0,494	0,133	0,494	0,480	0,014	0,018	0,018	0,000	0,000	0,000	0,000	0,115
Total Shift2Rail (S2R) Joint Undertaking		0,494	0,133	0,494	0,480	0,014	0,018	0,018	0,000	0,000	0,000	0,000	0,115
Bio-Based Industries Joint Undertaking (BBI)													
Bio-Based Industries Joint Undertaking (BBI) - Support	08 02 07 33	0,685	0,582	0,685	0,685	0,000	0,582	0,582	0,000	0,000	0,000	0,000	0,000
Bio-Based Industries Joint Undertaking (BBI)	08 02 07 34	51,500	0,000	51,500	50,000	1,500	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total Bio-Based Industries Joint Undertaking (BBI)		52,185	0,582	52,185	50,685	1,500	0,582	0,582	0,000	0,000	0,000	0,000	0,000

Name of the Joint Technology Initiative (JTI)	Budget line	Authorised appropriations 2014		Commitments made 2014			Payments made 2014			Carry over to 2015		Appropriations cancelled 2014	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Total Joint Technology Initiatives (JTIs)		622,881	28,228	622,881	605,221	17,660	28,113	27,662	0,452	0,000	0,000	0,000	0,115

1.4 Table joint undertaking / joint technology initiative ('PPP') staffing overview 2014

1.4.1 Joint undertakings under Article 208 of the Financial Regulation

Name of the Joint Undertaking / PPP	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
European Joint Undertaking for ITER – Fusion for Energy (F4E)	2007	262	249	153	144	7	4	422	397
SESAR Joint Undertaking	2007	39	35	0	0	3	1	42	36
Total Joint Undertakings / PPP		301	284	153	144	10	5	464	433

1.4.2 Joint technology initiatives under Article 209 of the Financial Regulation

Name of the Joint Technology Initiatives (JTI)	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Estimate 2014	Actually filled 31/12/2014	Budget 2014	Actually filled 31/12/2014
Innovative Medicines Initiative Joint Undertaking - IMI2	2007	33	28	8	7	0	0	41	35
Clean Sky 2 Joint Undertaking – CLEANSKY2	2007	31	26	6	6	0	0	37	32
Electronic Components and Systems for European Leadership Joint Undertaking - ECSEL	2007	14	14	13	13	1	1	28	28
Fuel Cells and Hydrogen Joint Undertaking – FCH2	2008	24	24	2	2	0	0	26	26
Shift 2 rail Joint Undertaking – S2R	2014	2	1	4	3	0	0	6	4
Bio-Based Industries Joint Undertaking - BBI	2014	4	0	12	0	0	0	16	0
Total Joint Technology Initiatives		108	93	45	31	1	1	154	125

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1 Content of the budgetary financial statements

Similar to the decentralised agency budgetary financial statements, the individual budgetary financial statements include, by joint undertaking, information on the set-up of the joint undertaking, the EU contribution to and the budget of the joint undertaking (revenue and expenditure), the estimated surplus of 2014, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.2 Individual budgetary financial statements

2.2.1 Joint Undertakings of heading 1A - Competitiveness for growth and jobs

2.2.1.1 SESAR Joint Undertaking - SESAR

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64/1, 2.3.2007)	27/02/2007	<p>Established under Article 171 of the Treaty, the Joint Undertaking's aim is to ensure the modernisation of the European air traffic management system by coordinating and concentrating all relevant research and development efforts in the Union. It shall be responsible for the execution of the ATM Master Plan and in particular for carrying out the following tasks:</p> <ul style="list-style-type: none"> - organising and coordinating the activities of the development phase of the SESAR project in accordance with the ATM Master Plan, by combining and managing under a single structure public and private sector funding, - ensuring the necessary funding for the activities of the Development phase of the SESAR Project in accordance with the ATM Master Plan, - ensuring the involvement of the stakeholders of the air traffic management sector in Europe, in particular: air navigation service providers, airspace users, professional staff associations, airports, and manufacturing industry; as well as the relevant scientific institutions or the relevant scientific community, - organising the technical work of research and development, validation and study, to be carried out under its authority while avoiding fragmentation of such activities, - ensuring the supervision of activities related to the development of common products duly identified in the ATM Master Plan and if necessary, to organise specific invitations to tender.
Council Regulation (EC) 1361/2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352/12, 31.12.2008)	16/12/2008	<p>The main changes introduced by this regulation concern the alignment of the SESAR Joint Undertaking (SESAR JU) to the new legal model of the other Joint Undertaking. In particular as of 01.01.2009 the SESAR JU is under the Protocol of Privileges and Immunities of the Community; this implies that the SESAR JU will be VAT exempted as of 16.02.2008 with an important positive effect on the resources available for the research programme.</p> <p>The proposal (COM (2013) 503) for the extension of the SESAR JU beyond 2016 has been adopted on 16 June 2014.</p>
Council Regulation Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024.	16/06/2014	<p>The Council Regulation 721/2014 extends the SESAR JU to the 2024 and within the EU Framework "Horizon 2020", entrusts it to launch a new programme, the SESAR 2020, to address the remaining elements of Master Plan step 2, those of step 3 and with Exploratory Research to go beyond this timeframe and concept.</p>

1.2 Seat

Brussels, Belgium

1.3 Budget line

06 03 07 31 : Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) — Support expenditure

06 03 07 32 : Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)

06 03 51 : Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	33	30	33	33
Establishment plan posts : AST	6	5	6	6
Establishment plan posts : AST/SC				
Total Establishment plan posts	39	35	39	39
Contract Agents				2
Seconded National Experts	3	1	3	3
TOTAL STAFF	42	36	42	44

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	50 000 000	60 000 000
Other Revenue	40 830 764	35 085 141
TOTAL REVENUES	90 830 764	95 085 141

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 980 000	5 980 000	6 191 500	6 191 500
Title 2 - Infrastructure and operating expenditure	3 278 000	3 278 000	3 308 500	3 308 500
Title 3 - Operational expenditure	70 971 774	130 942 509	83 821 141	171 160 229
TOTAL EXPENDITURE	80 229 774	140 200 509	93 321 141	180 660 229

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		4		4		4		4		4
AD 11										
AD 10		5		4		5		5		5
AD 9										
AD 8		5		4		5		5		5
AD 7		4		4		4		4		4
AD 6		4		4		4		4		4
AD 5		10		9		10		10		10
AD TOTAL		33		30		33		33		33
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		1		1		1		1		1
AST 6										
AST 5		1		1		1		1		1
AST 4										
AST 3		2		2		2		2		2
AST 2										
AST 1		2		1		2		2		2
AST TOTAL		6		5		6		6		6
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		39		35		39		39		39
GRAND TOTAL	39		35		39		39		39	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV				2
Function Group III				
Function Group II				
Function Group I				
TOTAL				2

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	3	1	3	3

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	20 000 000	50 000 000	58 269 399	60 000 000	20,00%
- Of which Administrative (Title 1 and Title 2)	0 (1)	0 (1)	0 (1)	0 (1)	◊

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
- Of which Operational (Title 3)	20 000 000	50 000 000	58 269 399	60 000 000	20,00%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	600 000	1 470 000	1 730 601	1 764 000	20,00%
- Of which EEA/EFTA (excl. Switzerland)	600 000	1 470 000	1 730 601	1 764 000	20,00%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	7 483 382	24 805 097	24 090 151	24 090 151	-2,88%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	21 649	100 000	100 000	100 000	0,00%
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	20 074 906	14 455 667	9 130 990	9 130 990	-36,83%
TOTAL	48 179 937	90 830 764	93 321 141	95 085 141	4,68%

(1) In addition EUR 9 368 825 for 2014 and estimated EUR 9 258 000 for 2015 and for 2016 EUR 9 500 000 will be used for the running costs and financed from outturn.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU* (1)	20.600.000	51 470 000	61.764.000
FP7	0	0	0
H2020	20.600.000	51 470 000	61.764.000
2. CONTRIBUTIONS FROM INDUSTRY	92.700.112	84.246.361	66.536.893
of which financial contribution	4.246.362	4.246.361	-3.463.107
FP7	4.246.362	4.246.361	-3.463.107
H2020			
of which contribution in kind	88.453.750	80.000.000	70.000.000
FP7	88.453.750	80.000.000	70.000.000

H2020			p.m.	p.m.
3 OTHER MEMBERS CONTRIBUTIONS	67.411.096		87.843.736	91.388.258
of which financial contribution	3.258.569		20.658.736	27.553.258
FP7	3.258.569		20.658.736	27.553.258
H2020				
of which contribution in kind	64.152.527		67.185.000	63.835.000
FP7	64.152.527		67.185.000	63.835.000
H2020			p.m.	p.m.
TOTAL CONTRIBUTIONS	180.711.208		223.560.097	219.689.151
FP7	160.111.208		172.090.097	157.925.151
Horizon 2020	20.600.000		51.470.000	61.764.000

* Incl. EFTA

(1) In addition EUR 9 368 825 for 2014 and estimated EUR 9 258 000 for 2015 and for 2016 EUR 9 500 000 will be used for the running costs and financed from outturn.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast (1)	
Title 1 - Staff expenditure	6 181 609	5 980 000	6 191 500	6 191 500	3,54%
Salaries & allowances	5 520 000	5 285 000	5 496 500	5 496 500	4,00%
- <i>Of which establishment plan posts</i>	5 270 000	4 985 000	5 196 500	5 196 500	4,24%
- <i>Of which external personnel</i>	250 000	300 000	300 000	300 000	0,00%
Expenditure relating to Staff recruitment	15 000	15 000	15 000	15 000	0,00%
Mission expenses	500 000	500 000	500 000	500 000	0,00%
Socio-medical infrastructure					
Training	65 500	85 000	85 000	85 000	0,00%
External Services	60 000	60 000	60 000	60 000	0,00%
Receptions, events and representation					
Social welfare					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast (1)	
Other Staff related expenditure	21 109	35 000	35 000	35 000	0,00%
Title 2 - Infrastructure and operating expenditure	3 187 216	3 278 000	3 308 500	3 308 500	0,93%
Rental of buildings and associated costs	931 716	950 000	978 500	978 500	3,00%
Information, communication technology and data processing	1 290 000	1 270 000	1 300 000	1 300 000	2,36%
Movable property and associated costs	54 000	128 000	100 000	100 000	-21,88%
Current administrative expenditure	356 000	385 000	385 000	385 000	0,00%
Postage / Telecommunications	141 000	195 000	195 000	195 000	0,00%
Meeting expenses	20 000	20 000	20 000	20 000	0,00%
Running costs in connection with operational activities					
Information and publishing	394 500	330 000	330 000	330 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	24 277 600	70 971 774	83 821 141	83 821 141	18,10%
Studies/Development conducted by the SJU	24 277 600	20 971 774	59 321 141	59 321 141	182,86%
Studies/Development conducted by the Members		50 000 000	24 500 000	24 500 000	-51,00%
TOTAL	33 646 425	80 229 774	93 321 141	93 321 141	16,32%

(1) The Revenue figures of table 3.1 present differences with the commitment appropriations of this table, as running a multiannual programme allows for de-commitment and transfer of Budget outturn between 2 years.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 146 061	5 980 000	6 191 500	6 191 500	3,54%
Salaries & allowances	4 777 243	5 285 000	5 496 500	5 496 500	4,00%
- Of which establishment plan posts	4 579 047	4 985 000	5 196 500	5 196 500	4,24%
- Of which external personnel	198 196	300 000	300 000	300 000	0,00%
Expenditure relating to Staff recruitment	5 023	15 000	15 000	15 000	0,00%
Mission expenses	273 112	500 000	500 000	500 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Socio-medical infrastructure					
Training	24 907	85 000	85 000	85 000	0,00%
External Services	45 670	60 000	60 000	60 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	20 106	35 000	35 000	35 000	0,00%
Title 2 - Infrastructure and operating expenditure	2 474 909	3 278 000	3 308 500	3 308 500	0,93%
Rental of buildings and associated costs	804 682	950 000	978 500	978 500	3,00%
Information, communication technology and data processing	1 012 513	1 270 000	1 300 000	1 300 000	2,36%
Movable property and associated costs	14 158	128 000	100 000	100 000	-21,88%
Current administrative expenditure	141 687	385 000	385 000	385 000	0,00%
Postage / Telecommunications	93 724	195 000	195 000	195 000	0,00%
Meeting expenses	4 205	20 000	20 000	20 000	0,00%
Running costs in connection with operational activities					
Information and publishing	403 940	330 000	330 000	330 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	89 708 026	130 942 509	171 160 229	171 160 229	30,71%
Studies/Development conducted by the SJU	24 119 075	59 199 722	75 054 134	75 054 134	26,78%
Studies/Development conducted by the Members	65 588 951	71 742 787	96 106 095	96 106 095	33,96%
TOTAL	97 328 996	140 200 509	180 660 229	180 660 229	28,86%

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: EUR 17 592 229.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

The SESAR JU's request is in line with the MSPP 2016-2018. Its request for additional staff (2 Contract Agents Group IV) is justified in light of the administrative burden resulting from the overlapping activities between 2015-2017 related to the closure of the 2007-2016 work programme (SESAR1) and the launching of SESAR 2020 and setting up

of the new membership following the extension of the SESAR JU. The extension under the H2020 programme and the new Financial Regulation requires that the SESAR JU to revise and adapt all of its financial rules and processes governing the partnership.

4.1.1.2 Financial resources

The planned appropriations are adequate to cover the Joint Undertaking's work programmes.

4.1.2 Number of staff requested

The SESAR JU Staff Establishment Plan constitutes the document adopted by the Administrative Board defining the total number of positions by grade necessary to ensure the sound operational and financial management of the organisation and in order to execute its work programme (16 Work Packages and their 364 Projects). The number of staff requested for 2016 is in line with the level of staff requested in the new Multi-Annual Staff Policy Plan (MSPP) 2016-2018.

Compared to the approved Budget for 2015, the new plan foresees a temporary staff increase of two additional Contract Agents Group IV in order to reinforce the resources in the Financial, the Legal and the Procurement sectors, during the 2015, 2016 and 2017 exercises: as indicated already in the previous MSPP, following the decision on the extension of the SJU, an assessment of the resources needed for the coming years especially during the final phase of SESAR1 and the simultaneous phasing-in of the SESAR 2020, has been done at the end of 2014. A substantial growth of existing administrative, financial and legal tasks will take place during 2015-2017, with the launching of SESAR 2020 planned in the last quarter of 2015 and a ramp-up phase that will extend over 2016 and probably part of 2017. Simultaneously, the closure of SESAR1 will take place during 2016 with many projects already closing during 2015, which will entail already in 2015 actions in strict cooperation with the operations to ensure proper and effective projects closing.

The positions requested for 2016 are in total 39, filled in by personnel recruited under the following types of contracts: Temporary agent contracts, for position requesting a long-term duration of the contract within the limit of the existence of the SJU such as for the Chief ATM, the Chief Economics and Environment as well as some administrative functions such as the Head of Finance and Budget Sector, the Financial Officer, etc; contract agent contracts for some administrative support functions, where the TAs recruitment did not prove to be effective or for short term needs; Secondments of personnel of the SESAR JU Members to the Joint Undertaking (see below) in accordance with Article 8 of the SJU Statutes, especially where specific highly specialised and technical skills in the SESAR domain are requested for the position; in addition, the Administrative Board authorised 3 SNEs positions where Member States' experience is requested.

The decision of the Administrative Board to adopt specific conditions on the secondment of staff of the members listed under Article 1.2 of the SESAR JU Statutes is motivated by the nature of the SESAR JU as public-private partnership, where public and private resources are commingled for the achievement of objectives. The secondment from the members constitutes a highly flexible mechanism to attract skilled experts, with contracts with specific duration and a low risk scheme. Compared to 2015 it is estimated that the number of secondments from Members does not change. With regard to the overall 5% staff reduction by the end of 2017, the SESAR JU is an organisation established for a fixed time, up to 31 December 2024 and consequently all the positions will be freed at that date. The above establishment plan takes into consideration the needs for the final phase of SESAR1 and phasing in of the SESAR2020. The SESAR JU will perform a yearly reclassification exercise as previous year.

4.1.3 Salary assumption for calculating salary line (% applied)

The salaries are based on the Budget 2015 salaries modified to take into account the recruitment of 2 additional CAs under short term contracts (3 year contract), and assumptions concerning the possible contract change of some staff members due to external mobility as well as an estimated increase related to the costs of life (in particular for the staff seconded from the SESAR JU Members).

4.1.4 Vacancy rate of end 2014

The vacancy rate at the end of 2014 was of 9.5%, consisting of: 2 SNEs still vacant at the end of the year of which one selection process concluded positively at the end of 2014, three TAs for which the selection process has been launched to be concluded in the first quarter of 2015.

4.1.5 Standard abatement ('abatement forfaitaire') applied

N/A.

4.2 Financial Resources

4.2.1 Title 1

The increase in Title 1 compared to 2015 is due to the request of two additional CAs under short term contracts (3 year contract). Any costs for increase of salaries, reclassifications and contract changes are sufficiently covered by the requested budget. All other budget lines are kept at the same level of 2015.

4.2.2 Title 2

With the exception of Rental charges (annual contractual increase) and ICT (increased costs of upgrades and maintenance), all budget lines are kept at the same level of 2015.

4.2.3 Title 3

This Title includes the development activities directly conducted by the SESAR JU (“Studies/developments conducted by the Sesar JU”) and those conducted by its Members (“Studies/developments conducted by the Members”).

A) Studies/developments conducted by the Sesar JU: total 2016 commitment appropriations are EUR 59.3 million and EUR 75.1 million for payment appropriations:

A1) SESAR1: in terms of commitments, the SESAR JU resources available from the EU at the end of 2013 will allow the SESAR JU to complete its activities until its current duration until the end of 2016 for those activities covered by SESAR1. Commitment appropriations of EUR 23.8 million for SESAR1 under this line are mainly final instalments of multi-annual Studies financed by Eurocontrol. Payment appropriations of EUR 52.1 million include to a large extent also payments against commitments carried forward from previous years (RAL), however, some final payments are expected to be carried out in early 2017.

A2) SESAR2020: commitment appropriations of EUR 35.5 million include provisions for a first set of calls for studies and programme support activities outside of the core programme. Payment appropriations of EUR 23.0 million include also payments against the first call for Exploratory Research committed in 2014.

B) Studies/developments conducted by the Members: for this line, total 2016 commitment appropriations are EUR 24.5 million and EUR 96.1 million for payment appropriations

B1) SESAR1: this includes only payment appropriations of EUR 64.1 million for the conclusion of SESAR1 (a similar amount of final payments is expected to be carried out in early 2017 when the final cost claims of all members will have been certified and fully accepted).

B2) SESAR2020: this includes EUR 24.5 million of commitment appropriations to be fully dedicated to the launch of the activities under the SESAR 2020. In terms of payments, the SESAR JU is expected to execute payments in terms of the activities launched for the SESAR2020 for an estimated amount of EUR 32.0 million.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Avenue de Cortenbergh 100 – 1000 Brussels	
Surface area (in square metres)	1 765	
1. Of which office space		
2. Of which non-office space		
Annual rent (in EUR)	476 327	2014 actual rent without charges
Type and duration of rental contract	9 year lease contract	Started 01.03.2009
Host country grant or support		
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption as of 16.10.2008, Administrative Agreement with the Belgian Authorities since 30.03.2009	Protocol of Privileges and Immunities applicable to staff with regard to VAT.	

5.3 Evaluation

An evaluation of the joint undertaking was submitted to the Budgetary Authority by the end of 2010.

The main findings of the 2010 latest evaluation available are as follows:

“The Overall conclusion of the first intermediate evaluations is that the SESAR JU performed well during the reference period in terms of setting up and developing its organisation as well as conducting its designated tasks. It also results that, in general, the SESAR JU stakeholders are satisfied with its performance.”

Report from the Commission to the Council and the European parliament on the intermediate evaluation of the SESAR J U and its progress on the execution of the European Air Traffic Management Master plan,

<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2011:0014:FIN:EN:PDF>

A new evaluation of the joint undertaking was carried out mid-2014.

The main findings are as follows:

“The activities of the SESAR JU during the period under consideration were in line with the SESAR JU Regulation and focused on the tasks set. No activities outside this remit were identified. It is generally considered that the tasks of the SESAR JU are defined in a broad way. This gives some flexibility, which enables the SJU to adapt to changes in the R&D and ATM environments and to undertake a variety of specific tasks as required in order to fulfil its aim.

Even though the SESAR JU was set up prior to 2010, certain functions/provisions were updated/or established during the reference period 2010-2012 (e.g. staff provisions, internal audit function, implementation of Regulation (EU) 1049/2011). This reflects the fact that the SESAR JU was operationally evolving and adapting to requirements during the period under consideration. As such, it did not bring into question SESAR JU's compliance.

In conclusion, it is found that the SESAR JU set up and operations are relevant and fulfil the requirements of the legal framework.”

<http://ec.europa.eu/transport/facts-fundings/evaluations/doc/2014-06-sju-2nd-midterm-evaluation-report.pdf>

2.2.1.2 Shift 2 rail Joint Undertaking - S2R

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Regulation No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking</p>	<p>16/06/2014</p>	<p>The S2R Joint Undertaking shall have the following as general objectives:</p> <p>(a) to contribute to the implementation of Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Horizon 2020 Framework Programme and in particular part of the Smart, Green and Integrated Transport Challenge under the Societal Challenges pillar of Decision No 743/2013 EU of the Council of 03 December 2013 establishing the Horizon 2020 Specific Programme.</p> <p>(b) to contribute to the achievement of the Single European Railway Area, to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, and to a modal shift from road and air to rail, through a comprehensive and co-ordinated approach addressing the research and innovation needs of the rail system and its users. This approach shall cover rolling stock, infrastructure and traffic management for the market segments of freight and of long-distance, regional, local and urban passenger traffic, as well as intermodal links between rail and other modes, providing users with an integrated end-to-end solution for their rail travel and transport needs – from transaction support to en-route assistance.</p> <p>(c) to develop – and ensure the effective and efficient implementation of – a strategic Master Plan (the "S2R Master Plan"), as referred to in clause 1(4) of The Statutes contained in Annex I.</p> <p>(d) to act as a central reference point on rail-related research and innovation actions funded at Union level, ensuring coordination among projects and providing all stakeholders with relevant information.</p> <p>(e) to actively promote the participation and close involvement of all relevant stakeholders from the full rail value chain and from outside the traditional rail industry, in particular: manufacturers of railway equipment (both rolling stock and train control systems) and their supply chain, infrastructure managers, railway operators (both passenger and freight), rail vehicle leasing companies, certifying agencies, professional staff associations, user associations (both passenger and freight), as well as the relevant scientific institutions or the relevant scientific community. The involvement of small and medium sized enterprises (SMEs), as defined in Commission Recommendation 2003/361/EC20, shall be encouraged.</p> <p>2. More specifically, the S2R Joint Undertaking shall seek to develop, integrate, demonstrate and validate innovative technologies and solutions that uphold the strictest safety standards and the value of which can be measured against the following key performance indicators, inter alia:</p> <p>(a) a 50% reduction of the life-cycle cost of the railway transport system, through a reduction of the costs of developing, maintaining, operating and renewing infrastructure and rolling stock, as well as through increased energy efficiency;</p> <p>(b) a 100% increase in the capacity of the railway transport system, to meet increased demand for passenger and freight railway services;</p> <p>(c) a 50% increase in the reliability and punctuality of rail services;</p> <p>(d) the removal of remaining technical obstacles holding back the rail sector in terms of interoperability and efficiency, in particular by endeavouring to close points which remain open in Technical Specifications for Interoperability (TSIs) due to lack of technological solutions and by ensuring that all systems and solutions developed by the</p>

		S2R Joint Undertaking are fully interoperable; (e) the reduction of negative externalities linked to railway transport, in particular noise, vibrations, emissions and other environmental impacts.
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1.2 Seat

Brussels

1.3 Budget line

06 03 07 33 : Shift2Rail (S2R) Joint Undertaking – Support expenditure

06 03 07 34 : Shift2Rail (S2R) Joint Undertaking

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	2	1	4	4
Establishment plan posts : AST				
Establishment plan posts : AST/SC				
Total Establishment plan posts	2	1	4	4
Contract Agents	4	3	10	13
Seconded National Experts				
TOTAL STAFF	6	4	14	17

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	45 353 000	45 624 000
Other Revenue	2 685 591	2 965 346
TOTAL REVENUES	48 038 591	48 589 346

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	861 013	861 013	1 256 491	1 256 491
Title 2 - Infrastructure and operating expenditure	1 843 410	1 843 410	1 991 509	1 991 509
Title 3 - Operational expenditure	45 334 167	44 971 316	45 341 346	24 939 369
TOTAL EXPENDITURE	48 038 590	47 675 739	48 589 346	28 187 369

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1				1		1		1
AD 13										
AD 12										
AD 11										
AD 10										
AD 9		1				2		2		2
AD 8						1				
AD 7										
AD 6										
AD 5				1				1		1
AD TOTAL		2		1		4		4		4
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		2		1		4		4		4
GRAND TOTAL	2		1		4		4		4	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	2	3	5	7
Function Group III	1		3	3
Function Group II	1		2	3
Function Group I				
TOTAL	4	3	10	13

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	480 000	45 353 000	45 624 000	45 624 000	0,60%
- Of which Administrative (Title 1 and Title 2)	480 000	1 313 592	1 624 000	1 577 618	20,10%

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
- Of which Operational (Title 3)		44 039 408	44 000 000	44 046 382	0,02%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	14 400	1 333 379	1 341 346	1 341 346	0,60%
- Of which EEA/EFTA (excl. Switzerland)	14 400	1 333 379	1 341 346	1 341 346	0,60%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS (1)	0 (2)	1 352 212	1 671 745	1 624 000	20,10%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
TOTAL	494 400	48 038 591	48 637 091	48 589 346	1,15%

(1) Industry Contribution covering 50% of the administrative costs (Title 1 and Title 2)

(2) Industry Contribution towards the administrative expenditure in 2014 will be included in 2015 collection

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016
	Executed	Estimated by the joint undertaking	Budget Forecast
1. FINANCIAL CONTRIBUTION FROM EU (including EFTA)	494,400	46,686,378	46,965,346
2. CONTRIBUTIONS FROM INDUSTRY	-	51,257,891	51,537,583
of which financial contribution	-	1,352,212	1,624,000
of which contribution in kind	-	49,905,679	49,913,583
3. OTHER MEMBERS CONTRIBUTIONS	-	-	-
TOTAL CONTRIBUTIONS	494,400	97,944,269	98,502,929

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	186 400	861 013	1 256 491	1 256 491	45,93%
Salaries & allowances	112 000	800 000	1 051 000	1 051 000	31,37%
- Of which establishment plan posts	60 000	440 000	440 000	440 000	0,00%
- Of which external personnel	52 000	360 000	611 000	611 000	69,72%
Expenditure relating to Staff recruitment					
Mission expenses	12 000	42 000	92 000	92 000	119,05%
Socio-medical infrastructure					
Training			34 000	34 000	100%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	62 400	19 013	79 491	79 491	318,09%
Title 2 - Infrastructure and operating expenditure	308 000	1 843 410	2 087 000	1 991 509	8,03%
Rental of buildings and associated costs		295 000	360 000	358 000	21,36%
Information, communication technology and data processing		401 000	138 000	137 000	-65,84%
Movable property and associated costs		558 410	84 000	33 000	-94,09%
Current administrative expenditure		30 000	56 000	56 000	86,67%
Postage / Telecommunications		1 000	25 000	25 000	2400,00%
Meeting expenses	35 000	80 000	90 000	90 000	12,50%
Running costs in connection with operational activities		178 000	460 000	425 000	138,76%
Information and publishing		100 000	450 000	445 000	345,00%
Studies					
Other infrastructure and operating expenditure	273 000 (1)	200 000	424 000	422 509	111,25%
Title 3 - Operational expenditure		45 334 167	45 293 600	45 341 346	0,02%
TOTAL	494 400	48 038 590	48 637 091	48 589 346	1,15%

(1) This commitment appropriation amount includes a global commitment raised for the general start-up costs. The final Title and Chapter to be reported in will be determined in 2015.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015 (1)	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	11 682	861 013	1 256 491	1 256 491	45,93%
Salaries & allowances	5 656	800 000	1 051 000	1 051 000	31,37%
- Of which establishment plan posts	0	440 000	440 000	440 000	0,00%
- Of which external personnel	5 656	360 000	611 000	611 000	69,72%
Expenditure relating to Staff recruitment					
Mission expenses	3 273	42 000	92 000	92 000	119,05%
Socio-medical infrastructure					
Training			34 000	34 000	100%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	2 753	19 013	79 491	79 491	318,09%
Title 2 - Infrastructure and operating expenditure	6 365	1 843 410	2 087 000	1 991 509	8,03%
Rental of buildings and associated costs		295 000	360 000	358 000	21,36%
Information, communication technology and data processing		401 000	138 000	137 000	-65,84%
Movable property and associated costs		558 410	84 000	33 000	-94,09%
Current administrative expenditure		30 000	56 000	56 000	86,67%
Postage / Telecommunications		1 000	25 000	25 000	2400,00%
Meeting expenses	6 365	80 000	90 000	90 000	12,50%
Running costs in connection with operational activities		178 000	460 000	425 000	138,76%
Information and publishing		100 000	450 000	445 000	345,00%
Studies					
Other infrastructure and operating expenditure		200 000	424 000	422 509	111,25%
Title 3 - Operational expenditure		44 971 316	24 000 000	24 939 369	-44,54%
TOTAL	18 047	47 675 739	27 343 491	28 187 369	-40,88%

(1) For the administrative budget line (titles 1 and 2), the difference between payment and commitment appropriations in the adopted budget 2015 will be compensated by means of transfer from the S2R operational line.

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: EUR 0.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

The foreseen human resources are in accordance with the Legislative Financial statement of the Commission Proposal COM (2013) 922 final of 16.12.2013, which was subsequently adopted under Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking.

4.1.1.2 Financial resources

The foreseen financial resources are in accordance with the Legislative Financial statement of the Commission Proposal COM (2013) 922 final of 16.12.2013, which was subsequently adopted under Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking. It is also in line with the financial resources foreseen under the Multi Annual Financial Framework on the S2R budget lines 06 03 07 33 and 06 03 07 34.

4.1.2 Number of staff requested

In year 2015, it is estimated that the staff will consist of 14 members. The 3 posts opened in 2016 are in line with Commission Proposal COM (2013) 922 final of 16.12.2013.

4.1.3 Salary assumption for calculating salary line (% applied)

TA: EUR 109 000 per year, CA: EUR 47 000 per year, aligned with budget 2015.

4.1.4 Vacancy rate of end 2014

At the end of 2014, there were 2 vacancies in the JU:1 TA (on-going recruitment of the executive director) as well as 1 CA.

4.1.5 Standard abatement ('abatement forfaitaire') applied

n/a

4.2 Financial Resources

4.2.1 Title 1

The estimated expenditure under Title 1 amounts to EUR 1 256 491 and represents 37.5 % of the total administrative budget. A majority of this amount covers the Salaries & allowances of the JU staff. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the members from the industry. The salary and allowance costs included are based on the average staff costs, minus staff overhead costs, leading to direct staff costs of EUR 109 000 for establishment plan posts and EUR 47 000 for Contract Agents. The total estimation of euro for the establishment plan posts has been aligned with the budget 2015. S2R JU foresees a peak of recruitment in its staff in 2015. In addition S2R is to recruit three additional staff members in 2016. This will have a direct impact to the training needs and other staff expenditure expected to occur in 2016.

4.2.2 Title 2

The required budget resources is to cover the costs for the year 2016 on housing, administrative and IT support services, supplies and for meetings and audits supporting the JU operations. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the members from the industry. In the absence of S2R historical data, the majority of the costs has been calculated based on a benchmark of existing joint undertakings located in the same premises.

4.2.3 Title 3

Title 3 covers the research and innovation activities conducted by the S2R JU and by its members. The EUR 45 293 600 in terms of commitment appropriations are to be dedicated to the launch of activities under the S2R Master Plan, which will translated into an annual work plan for 2016. A pre-payment related to some of these activities is foreseen to be completed in 2016. The annual work plan 2016 is still subject for an approval.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

n/a

5.1.2 Building project in the planning phase

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, Brussels	
Surface area (in square metres) <ul style="list-style-type: none"> • Of which office space • Of which non-office space 	918 m2	
Type and duration of rental contract	Until 2024	
Host country grant or support	No	
Present value of the building	N/A	

This is a forecast on the basis of current negotiations on premises in White Atrium. S2R JU is joining the existing housing contract with the other entities located in the same building.

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Shift2Rail JU is granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities	As stated in the article 8 of the Council Regulation setting up the Shift2Rail JU (642/2014 of 16 June 2014), the Protocol on the Privileges and Immunities of the European Union, annexed to the Treaty on European Union the TFEU shall apply to the S2R Joint Undertaking and its staff.	

5.3 Evaluation

An evaluation of the joint undertaking is expected to be submitted to the Budgetary Authority by 31 December 2017.

2.2.1.3 Innovative Medicines Initiative Joint Undertaking - IMI2

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Approval by Council Regulation N° 73/2008 of 20/12/2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines(OJ L 30/38)	20/12/2007	<p>The IMI Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the Theme ‘Health’ of the Specific Programme Cooperation implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produces more effective and safer innovative medicines. In particular it shall: support ‘pre-competitive pharmaceutical research and development’ in the Member States and countries associated with the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process; support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines (hereinafter referred to as ‘Research Activities’), notably by awarding grants following competitive calls for proposals;</p> <p>ensure complementarity with other activities of the Seventh Framework Programme; be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Members States and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors; promote the involvement of small and medium-sized enterprises (SME) in its activities, in line with the objectives of the Seventh Framework Programme.</p>
Council Regulation N° 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (OJ L 169/54)	06/05/2014	<p>For IMI2 as presented in the Commission proposal:</p> <p>The IMI 2 Joint Undertaking shall have the following objectives:</p> <p>to contribute to the implementation of Regulation (EU) No .../2013/EU the Horizon 2020 Framework Programme, in particular part ... of Decision (EU) No .../2013/EU the Specific Programme implementing the Horizon 2020 Framework Programme, and in particular to improving European citizens' health and wellbeing.</p> <p>to contribute to the objectives of the Joint Technology Initiative on Innovative Medicines, in particular to:</p> <ul style="list-style-type: none"> i) increase the success rate in clinical trials of priority medicines identified by the World Health Organisation; ii) reduce the time to reach clinical proof of concept in medicine development, such as for immunological, respiratory, neurological and neurodegenerative diseases; iii) develop new therapies for diseases for which there is a high unmet need, such as Alzheimer's disease and limited market incentives, such as antimicrobial resistance; iv) develop diagnostic and treatment biomarkers for diseases clearly linked to clinical relevance and approved by regulators; v) reduce the failure rate of vaccine candidates in phase III clinical trials through new biomarkers for initial efficacy and safety checks; vi) improve the current drug development process by providing support for the development of tools, standards and approaches to assess efficacy, safety and quality of regulated health products.

1.2 Seat

Brussels, Belgium

1.3 Budget line

08 02 07 31 : Innovative Medicines Initiative 2 Joint Undertaking (IMI2) — Support expenditure

08 02 07 32 : Innovative Medicines Initiative 2 Joint Undertaking (IMI2)

08 02 51: Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	33	22	29	32
Establishment plan posts : AST		6	6	6
Establishment plan posts : AST/SC				
Total Establishment plan posts	33	28	35	38
Contract Agents	8	7	9	9
Seconded National Experts				
TOTAL STAFF	41	35	44	47

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	215 800 000	202 708 725
Other Revenue	99 400 222	10 793 213
TOTAL REVENUES	315 200 222	213 501 938

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	4 852 760	4 852 760	5 353 000	5 353 000
Title 2 - Infrastructure and operating expenditure	4 027 840	4 027 840	4 547 000	4 547 000
Title 3 - Operational expenditure	306 319 622	143 000 000	203 601 938	197 000 000
TOTAL EXPENDITURE	315 200 222	151 880 600	213 501 938	206 900 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		1		1		1		1		1
AD 11		4		4		4		4		4
AD 10										
AD 9		2		2		2		2		2
AD 8		9		8		9		9		9
AD 7		5		5		5		5		5
AD 6										
AD 5		11		1		7		10		10
AD TOTAL		33		22		29		32		32
AST 11										
AST 10										
AST 9										
AST 8				1		1		1		1
AST 7										
AST 6										
AST 5										
AST 4										
AST 3				5		5		5		5
AST 2										
AST 1										
AST TOTAL				6		6		6		6
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		33		28		35		38		38
GRAND TOTAL	33		28		35		38		38	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	2	1	2	2
Function Group III	5	4	5	6
Function Group II	1	2	2	1
Function Group I	0		0	
TOTAL	8	7	9	9

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	211 754 272	215 800 000	202 708 725	202 708 725	-6,07%
- Of which Administrative (Title 1 and Title 2)	4 440 000 (2)	4 420 585	4 921 725	4 921 725	11,34%

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
- Of which Operational (Title 3)	207 314 272	211 379 415	197 787 000	197 787 000	-6,43%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	6 219 428	6 234 270	5 843 213	5 843 213	-6,27%
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries	6 219 428	6 234 270	5 843 213	5 843 213 (1)	-6,27%
4 OTHER CONTRIBUTIONS	4 440 000	4 440 300	4 950 000	4 950 000	11,48%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	100 848				
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	-16 242 969	88 725 652			-100%
TOTAL	206 271 579	315 200 222	213 501 938	213 501 938	-32,26%

(1) EFTA contribution for 2016 has been estimated on the same level as in 2015 for H2020 i.e. 2,94%.

(2) An amount of EUR 15 800 000 was made available in 2013 for the EU contribution to the running costs in CA in 2014-2017. The credits coming from the amount frontloaded in 2013 to cover the running costs of the implementation of IMI1 are EUR 3 950 000 in 2014, EUR 3 750 000 in 2015 and EUR 3 960 000 in 2016.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016	Total
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking	
1. FINANCIAL CONTRIBUTION FROM EU				
	514.493.225	147.440.300	201.740.000	863.673.525
of which IMI 1 (2007-2013)	514.003.225	84.750.000	129.750.000	728.503.225
of which IMI 2 (2014-2020)	490.000	62.690.300	71.990.000	135.170.300
2. CONTRIBUTIONS FROM INDUSTRY				
	256.816.048	148.224.121	204.871.031	609.911.201
of which financial contribution	14.662.775	4.440.300	4.740.000	23.843.075
of which IMI 1 (2007-	11.308.355	3.750.000	3.750.000	18.808.355

2013)				
of which IMI 2 (2014-2020)	3.354.420	690.300	990.000	5.034.720
of which contribution in kind	242.153.273	143.783.821	200.131.031	586.068.126
of which IMI 1 (2007-2013)	242.153.273	143.783.821	161.683.589	547.620.683
of which IMI 2 (2014-2020)	0	0	38.447.443	38.447.443
3 OTHER MEMBERS CONTRIBUTIONS	0	0	0	0
of which IMI 1 (2007-2013)	0	0	0	0
of which IMI 2 (2014-2020)	0	0	0	0
TOTAL CONTRIBUTIONS	771.309.273	295.664.421	406.611.031	1.473.584.726
of which IMI 1 (2007-2013)	767.464.853	232.283.821	295.183.589	1.294.932.263
of which IMI 2 (2014-2020)	3.844.420	63.380.600	111.427.443	178.652.463

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request (2)	Budget forecast	
Title 1 - Staff expenditure	4 045 147	4 852 760	5 353 000	5 353 000	10,31%
Salaries & allowances	3 553 694	4 392 760	4 893 000	4 893 000	11,39%
- Of which establishment plan posts	3 187 945	3 899 065	4 381 800	4 381 800	12,38%
- Of which external personnel	365 749	493 695	511 200	511 200	3,55%
Expenditure relating to Staff recruitment	21 779	20 000	20 000	20 000	0,00%
Mission expenses	185 012	190 000	190 000	190 000	0,00%
Socio-medical infrastructure	270 486	230 000	230 000	230 000	0,00%
Training					
External Services					
Receptions, events and representation	14 176	20 000	20 000	20 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request (2)	Budget forecast	
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 420 466	4 027 840	4 547 000	4 547 000	12,89%
Rental of buildings and associated costs	616 735	869 200	870 000	870 000	0,09%
Information, communication technology and data processing	625 213	561 000	770 000	770 000	37,25%
Movable property and associated costs	19 224	153 000	153 000	153 000	0,00%
Current administrative expenditure	103 009	123 000	123 000	123 000	0,00%
Postage / Telecommunications	56 038	67 000	68 000	68 000	1,49%
Meeting expenses	97 830	158 000	158 000	158 000	0,00%
Running costs in connection with operational activities	269 158	291 640	500 000	500 000	71,44%
Information and publishing	614 895	625 000	625 000	625 000	0,00%
Studies	245 802	580 000	580 000	580 000	0,00%
Other infrastructure and operating expenditure	772 562	600 000	700 000	700 000	16,67%
Title 3 - Operational expenditure	198 805 966	306 319 622 (1)	203 601 938	203 601 938	-33,53%
TOTAL	206 271 579	315 200 222	213 501 938	213 501 938	-32,26%

(1) this amount includes the carry over amount from 2014 CA appropriations of EUR 88 725 652.

(2) In 2016, IMI Office needs for running costs for IMI 2 is not EUR 1 200 000 but EUR 990 000, in addition to the EUR 3 750 000 of IMI1 giving a total of EUR 4 740 000. With an equivalent amount from Industry, the total is EUR 9 480 000.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 890 968	4 852 760	5 353 000	5 353 000	10,31%
Salaries & allowances	3 553 694	4 392 760	4 893 000	4 893 000	11,39%
- Of which establishment plan posts	3 187 945 (1)	3 899 065	4 381 800	4 381 800	12,38%
- Of which external personnel	365 749	493 695	511 200	511 200	3,55%
Expenditure relating to Staff recruitment	20 879	20 000	20 000	20 000	0,00%
Mission expenses	158 437	190 000	190 000	190 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Socio-medical infrastructure	151 798	230 000	230 000	230 000	0,00%
Training					
External Services					
Receptions, events and representation	6 160	20 000	20 000	20 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 338 185	4 027 840	4 547 000	4 547 000	12,89%
Rental of buildings and associated costs	615 015	869 200	870 000	870 000	0,09%
Information, communication technology and data processing	394 501	561 000	770 000	770 000	37,25%
Movable property and associated costs	14 387	153 000	153 000	153 000	0,00%
Current administrative expenditure	64 430	123 000	123 000	123 000	0,00%
Postage / Telecommunications	20 952	67 000	68 000	68 000	1,49%
Meeting expenses	66 646	158 000	158 000	158 000	0,00%
Running costs in connection with operational activities	153 670	291 640	500 000	500 000	71,44%
Information and publishing	295 295	625 000	625 000	625 000	0,00%
Studies	10 939	580 000	580 000	580 000	0,00%
Other infrastructure and operating expenditure	702 350	600 000	700 000	700 000	16,67%
Title 3 - Operational expenditure	120 000 625 (2)	143 000 000	197 000 000	197 000 000	37,76%
TOTAL	126 229 778	151 880 600	206 900 000	206 900 000	36,23%

(1) An amount of EUR 3 950 000 has been consumed on IMI 1 08.0251.750 budget line for Title 1 and Title 2. An amount of EUR 490 000 has been consumed on the IMI 2 budget line for Title 1 and Title 2.

(2) An amount of EUR 120 000 625 has been consumed on the IMI 1 budget line for IMI 1 grants. Nothing has been consumed for IMI2 in Title 3.

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: EUR 43 836 573.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

4.1.1.2 Financial resources

4.1.2 Number of staff requested

The IMI2 JU's staff establishment plan approved for 2015 foresees a total number of 35 Temporary Agents (TA) with an increase of two new positions compared to 2014. The grading of TA positions might be adapted to a lower level where appropriate. The staffing of IMI2 JU as at 31 December 2014 was as follows: the number of TAs employed was 28. Two TAs resigned in 2014. Recruitment procedures for new positions were postponed; IMI employed 7 Contract Agents (CA). Two new recruitments are foreseen in 2015: a replacement due to a resignation received in 2014 and a new position planned for 2015. That will set at 9 the total number of CA employed by IMI2 JU; in 2015, IMI2 JU shall fill 3 positions: HR Manager (from 16/02/2015), IT Manager (from 16/03/2015), Ex-Post Audit Officer (from 01/03/2015). Furthermore, to comply with the objectives and obligations established for the new IMI2 JU, it is planned to recruit 4 additional ADs (a recruitment procedure for establishing a reserve list for scientific project officer had been already launched in 2014). The estimated workload is increasing sharply due to:

- The number of running projects (under IMI1 and 2) will sharply increase from 59 in 2015 to 90 in 2016 and 95 in 2017. Project management activities will increase proportionally: for example the number of cost claims on projects will increase from 1450 in 2015 to 2300, in 2016 and 2600 in 2017. Monitoring of project outputs and results and communication on those will require additional resources.

- New activities and development of the programmatic scheme, notably in the infections control area.

- Adaptations to the new H2020 framework, including transition to new H2020 IT tools.

4.1.3 Salary assumption for calculating salary line (% applied)

The following average costs per year are applied by the Joint Undertaking (in EUR):

	2015	2016
TA	111,402.00	115,300.00
CA	54,855.00	56,800.00

4.1.4 Vacancy rate of end 2014

At the end of 2014, the vacancy rate for the establishment plan posts (TA) was 15.15 % (5 positions to be recruited out of 33 approved including the two positions resigned).

4.1.5 Standard abatement ('abatement forfaitaire') applied

This is not applied, as all positions foreseen for 2014 will be filled. Four positions for Temporary Agents are being prepared to be filled.

4.2 Financial Resources

4.2.1 Title 1

Title one will mostly cover salaries of staff as explained in section 4.1.2., missions and socio-medical structure including training of staff. The increase in salaries is due to additional posts foreseen for 2015 and 2016. The costs of Title 1 are shared 50/50 between the EU and EFPIA.

4.2.2 Title 2

A slight increase is foreseen in Title 2. The main difference compare to 2015 will be a decrease in Chapter 20 - Rent and an increase in Chapter 26 - Running costs in relation to operational activities. In 2015 payments for works related to the extension of office space are budgeted which is one-off payment and therefore costs of rent will decrease in 2016. The increase in Chapter 26 can be justified by the higher number of mid-term reviews of projects compare to 2015 and also by the need to organise more information sessions/workshops especially those related to IMI2 (H2020) projects. The costs of Title 2 are shared 50/50 between the EU and EFPIA.

4.2.3 Title 3

There is no big difference in requested commitment appropriations compared to previous years. The increase in payment appropriations is due to the increased number of projects and therefore increased number of intermediate/final payments for IMI1 (FP7) projects and pre-financing payments and intermediate payments of the first IMI2 (H2020) projects. The request of payment appropriations in 2015 was reduced due to the high carry-over from 2014 and expected recovery of pre-financing of one project for which an important work-package is put on hold.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, Brussels	
Surface area (in square metres)	1475	
Of which office space	1289	
Of which non-office space	186	
Annual rent (in EUR)	460 000	
Type and duration of rental contract	The same as other JTI occupying the building	
Host country grant or support	none	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

The surface area indicated relates to the space currently occupied by the IMI JU office. In addition to the space to be used exclusively by IMI JU office, the IMI JU has access to a total of 733.4 m2 meeting room facilities. These facilities are shared with the other JUs (ESCEL, CleanSky, FCH and BBI) housed in the same building. In 2015, works related to the extension of the office space will be finalised and paid on 2015 budget (Chapter 20).

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
A host agreement has been signed in 2012	As stated in article 8 of the Council Regulation setting up the IMI JU (73/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the IMI JU and its staff	

5.3 Evaluation

As per Article 11 of the legal basis, by 30 June 2017 the Commission shall carry out, with the assistance of independent experts, an interim evaluation of the IMI2 Joint Undertaking.

2.2.1.4 Bio-Based Industries Joint Undertaking - BBI

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation No 560/2014 of 6 May 2014 establishing the Bio-Based Industries Joint Undertaking (OJ 169/130)	06/05/2014	This Regulation sets up the Bio-based Industries Joint Undertaking (BBI JU) under Article 187 of the Treaty on the Functioning of the European Union (TFEU) for the implementation of the Joint Technology Initiative on Bio-based Industries (BBI JTI).

1.2 Seat

White atrium

1.3 Budget line

08 02 07 33 : Bio-Based Industries Joint Undertaking (BBI) — Support expenditure

08 02 07 34 : Bio-Based Industries Joint Undertaking (BBI)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	2	0	10	10
Establishment plan posts : AST	2	0	3	3
Establishment plan posts : AST/SC				
Total Establishment plan posts	4	0	13	13
Contract Agents	12	0	9	9
Seconded National Experts		0		0
TOTAL STAFF	16	0	22	22

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	201 908 289	158 082 500
Other Revenue	7 514 508	6 748 067
TOTAL REVENUES	209 422 797	164 830 567

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	1 500 100	1 500 100	2 473 200	2 473 200

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 2 - Infrastructure and operating expenditure	1 532 200	1 532 200	1 630 725	1 630 725
Title 3 - Operational expenditure	206 390 497	18 042 892	160 726 642	61 917 149
TOTAL EXPENDITURE	209 422 797	21 075 192	164 830 567	66 021 074

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		0		1		1		1
AD 13						1		1		1
AD 12										
AD 11						2		2		2
AD 10						2		2		2
AD 9										
AD 8		1		0		2		2		2
AD 7						2		2		2
AD 6										
AD 5										
AD TOTAL		2		0		10		10		10
AST 11										
AST 10										
AST 9										
AST 8										
AST 7						3		3		3
AST 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 5										
AST 4										
AST 3		2		0						
AST 2										
AST 1										
AST TOTAL		2		0		3		3		3
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		4		0		13		13		13
GRAND TOTAL		4		0		13		13		13

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	5	0	5	5
Function Group III	3	0	4	4
Function Group II	4	0	0	0
Function Group I				
TOTAL	12	0	9	9

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL		0		0

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	50 684 807	201 908 289	158 082 500	158 082 500	-21,71%
- Of which Administrative (Title 1 and Title 2)	684 807	1 412 372 (4)	1 946 263	1 946 263	37,80%
- Of which Operational (Title 3)	50 000 000	200 495 917	156 136 237	156 136 237	-22,12%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 500 000	5 941 622	4 647 625	4 647 625	-21,78%
- Of which EEA/EFTA (excl. Switzerland)	1 500 000	5 941 622	4 647 625	4 647 625	-21,78%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS (2)	0	p.m.	p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS (3)(1)	0	1 572 886	2 100 442	2 100 442	33,54%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
TOTAL	52 184 807	209 422 797	164 830 567	164 830 567	-21,29%

(1) For 2014, 2015, 2016: this is contribution from industry partner to BBI JU support expenditure

(2) For 2014, 2015, 2016: this is contribution from industry partner to BBI JU operational expenditure

(3) For 2015 and 2016: contribution from industry partner to BBI JU support expenditure exceeds EU + EFTA contribution to support expenditure as it contains part of the reimbursement for 2014 contribution to BBI JU support expenditure that industry partner did not provide in 2014.

(4) For 2015: not all of the EU (excl. EFTA) 2015 voted contribution to BBI JU admin expenditure (=EUR 1 600 083 for CA and EUR 1 596 249 for PA) will be requested/used. Instead, only EUR 1 412 372 of it will be requested/used for CA and EUR 1 412 485 for PA. The commitment appropriations (EUR 187 711) and payment appropriations (EUR 183 765) not requested, versus balance available, from EU (excl EFTA) contribution to BBI JU2015 admin expenditure will be transferred to Societal Challenge 2 – Food. The total (all sources: EU, EFTA, BIC) requested commitment amounts, after transfer back to Societal Challenge 2 – Food, are thus: title 3 = EUR 206 390 496.96 and Total (titles 1 + 2 + 3) = EUR 209 422 796.96.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	52,184,807	207,849,911	162,730,125
2. CONTRIBUTIONS FROM INDUSTRY (BIC)	0	571,572,886	444,316,005 + p.m.
of which financial contribution to administration (cash)	0	1,572,886	2,100,442
of which financial contribution to operational costs	0	0	p.m.
of which contribution (in kind and additional activities)**	0	570,000,000	442,215,563
3 OTHER MEMBERS CONTRIBUTIONS	0	0	0
TOTAL CONTRIBUTIONS	52,184,807	779,610,508	607,046,130 + p.m.

*The amounts include EFTA

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Budget 2015	Draft Budget 2016		
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	47 975	1 500 100	2 473 200	2 473 200	64,87%
Salaries & allowances	0	1 243 200	2 277 000	2 277 000	83,16%
- Of which establishment plan posts	0	1 102 200	1 680 285	1 680 285	52,45%
- Of which external personnel	0	141 000	596 715	596 715	323,20%
Expenditure relating to Staff recruitment	46 983	158 300	21 500	21 500	-86,42%
Mission expenses	0	60 000	118 200	118 200	97,00%
Socio-medical infrastructure	0	14 300	15 540	15 540	8,67%
Training	0	19 300	35 460	35 460	83,73%
External Services	0	0	0	0	◆
Receptions, events and representation	992	5 000	5 500	5 500	10,00%
Social welfare	0	0	0	0	◆

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	636 832	1 532 200	1 630 725	1 630 725	6,43%
Rental of buildings and associated costs	423 843	263 000	312 200	312 200	18,71%
Information, communication technology and data processing	40 697	158 300	125 500	125 500	-20,72%
Movable property and associated costs	0	25 000	5 300	5 300	-78,80%
Current administrative expenditure	0	16 100	28 200	28 200	75,16%
Postage / Telecommunications	0	9 700	18 800	18 800	93,81%
Meeting expenses	0	100 300	100 900	100 900	0,60%
Running costs in connection with operational activities	165 800	759 800	639 225	639 225	-15,87%
Information and publishing	6 492	190 000	295 600	295 600	55,58%
Studies	0	10 000	105 000	105 000	950,00%
Other infrastructure and operating expenditure	0	0		0	◆
Title 3 - Operational expenditure	51 500 000	206 390 497 (1)	160 726 642 (2)	160 726 642	-22,12%
TOTAL	52 184 807	209 422 797	164 830 567	164 830 567	-21,29%

(1) For 2015: not all of the EU (excl. EFTA) 2015 voted contribution to BBI JU admin expenditure (=EUR 1 600 083 for CA and EUR 1 596 249 for PA) will be requested/used. Instead, only EUR 1 412 372 of it will be requested/used for CA and EUR 1 412 485 for PA. The not requested, versus balance available, commitment appropriations (EUR 187 711) and payment appropriations (EUR 183 765) from EU (excl EFTA) contribution to BBI JU2015 admin expenditure will be transferred to Societal Challenge 2 – Food. The total (all sources: EU, EFTA, BIC) requested commitment amounts, after transfer back to Societal Challenge 2 – Food, are thus: title 3 = EUR 206 390 496.96 and Total (titles 1 + 2 + 3) = EUR 209 422 796.96

(2) To the amount in this cell, the industry partner's cash contribution (commitment appropriations) to BBI JU 2016 operational expenditure should be added. The amount of this contribution was not known yet at the time of drafting this financial fiche ("p.m").

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	33 983	1 500 100	2 473 200	2 473 200	64,87%
Salaries & allowances	0	1 243 200	2 277 000	2 277 000	83,16%
- Of which establishment plan posts	0	1 102 200	1 680 285	1 680 285	52,45%
- Of which external personnel	0	141 000	596 715	596 715	323,20%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Expenditure relating to Staff recruitment	33 983	158 300	21 500	21 500	-86,42%
Mission expenses	0	60 000	118 200	118 200	97,00%
Socio-medical infrastructure	0	14 300	15 540	15 540	8,67%
Training	0	19 300	35 460	35 460	83,73%
External Services	0	0	0	0	◆
Receptions, events and representation	0	5 000	5 500	5 500	10,00%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	547 775	1 532 200	1 630 725	1 630 725	6,43%
Rental of buildings and associated costs	423 771	263 000	312 200	312 200	18,71%
Information, communication technology and data processing	0	158 300	125 500	125 500	-20,72%
Movable property and associated costs	0	25 000	5 300	5 300	-78,80%
Current administrative expenditure	0	16 100	28 200	28 200	75,16%
Postage / Telecommunications	0	9 700	18 800	18 800	93,81%
Meeting expenses	0	100 300	100 900	100 900	0,60%
Running costs in connection with operational activities	124 004	759 800	639 225	639 225	-15,87%
Information and publishing	0	190 000	295 600	295 600	55,58%
Studies	0	10 000	105 000	105 000	950,00%
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	0	18 042 892	61 917 149	61 917 149	243,17%
TOTAL	581 758	21 075 192	66 021 074	66 021 074	213,26%

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: N/A.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

13 TAs and 9 CAs have been requested and approved.

4.1.1.2 Financial resources

The proposed financial resources are commensurate with the needs of the programme.

4.1.2 Number of staff requested

By 2016 BBI JU will be autonomous, functioning with 13 TAs and 9 CAs. However, certain EC-internal coordination and reporting tasks will still need to be performed by DG RTD.

4.1.3 Salary assumption for calculating salary line (% applied)

Assuming that at 01/01/16, all of BBI JU staff (13 TAs and 9 CAs) is in place:

For total of TAs: salary assumption was based on the maximum amount between:

-Detailed computation, considering differences in step/grade amongst TAs, of employee costs. These employee costs by grade/step (e.g. AD 7 = EUR 104 558) have been estimated for 2016 by applying annual increase rates for 2015 (3.15%) and 2016 (2%) to 2014 salary scale figures. Grades & steps used for TAs reflect their expected actual situation in 2016 (assuming TAs are married with 2 children and expats).

- Computation based on average cost, regardless of differences in step/grade amongst TAs. The average cost (excl. habillage cost) has been estimated for 2016 (EUR 114 682) by applying annual increase rates for 2015 (3.15%) and 2016 (2%) to 2014 average costs (excl. habillage cost).

For total of CAs: salary assumption was based on the maximum amount between:

-Detailed computation, considering differences in step/grade amongst CAs, of employee costs. These employee costs by grade/step (GF IV = EUR 71 399, GF III = EUR 52 809) have been estimated for 2016 by applying annual increase rates for 2015 (0.5%) and 2016 (2%) to 2014 salary scale figures. Grades & steps used for TAs reflect their expected actual situation in 2016 (assuming CAs are married with 2 children).

- Computation based on average cost, regardless of differences in step/grade amongst CAs. The average cost (excl. habillage cost) has been estimated for 2016 (EUR 48 180) by applying annual increase rates for 2015 (3.15%) and 2016 (2%) to 2014 average costs (excl. habillage cost).

4.1.4 Vacancy rate of end 2014

At 31/12/2014, no BBI JU employee had been recruited yet (note: BBI JU Interim Executive Director is already in function but is considered as an EU official).

4.1.5 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources

4.2.1 Title 1

Estimations are made by the Commission as BBI JU is not autonomous yet. Main support cost under Title 1 is staff in active employment (cf. 4.1.3 Salary assumptions for more details).

4.2.2 Title 2

Estimations are made by the Commission as BBI JU is not autonomous yet. Main support costs under Title 2 are: expert costs (based on expected 156 proposals to be received given 2016 call size, 5 experts for each proposal, each expert can handle 8 proposal); rental of building and associated costs (2016 costs estimated using 2014 invoices indexed with inflation); communication costs (several events are foreseen - Infoday, Stakeholder forum; website costs; PR/brochures); studies (service contracts) to cover for potential ex-audit of beneficiaries by external auditors.

4.2.3 Title 3

Operational expenditure: the requested commitment appropriations will be used for the 2016 call. The requested payment appropriations will be used to pre-finance the selected projects of the 2015 call. No appropriations are requested for the 2014 call as the first interim payments of its selected projects will only take place in May 2017. Similarly, the pre-financing of selected projects of the 2016 call will also only take place in 2017 and thus no payment appropriations are requested for these. The amount of the industry partner's cash contribution (commitment appropriations) to BBI JU 2016 operational expenditure was not known yet at the time of drafting this financial fiche ("p.m.").

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	WHITE ATRIUM	Shared with other Jus, all Jus are under the same contract
Surface area (in square metres) <ul style="list-style-type: none"> Of which office space Of which non-office space 	892.5 m2 (3rd floor) + common meeting rooms to all JUs (1st floor, total for all JUs = 733.4 m ²) + common space in archive rooms (total for all JUs = 116 m ²) + 8 parking spaces	
Annual rent (in EUR)	312 200 (incl. provision for charges and some provision for potential works)	
Type and duration of rental contract	Rental , until 2024	
Host country grant or support	BE Vat Exemption	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT Exemption	BE	

5.3 Evaluation

As per Article 11 of the legal basis, by 30 June 2017 the Commission shall carry out, with the assistance of independent experts, an interim evaluation of the BBI Joint Undertaking.

2.2.1.5 Clean Sky 2 Joint Undertaking - CLEANSKY2

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Approval by Council Regulation N°- 71/2008 of 20/12/2007- setting up the Clean Sky Joint Undertaking (OJ L 30/1, 04/02/2008)	20/12/2007	<p>The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular Theme 7, Transport (including Aeronautics) of the Specific Programme Cooperation.</p> <p>The objectives of the Clean Sky Joint Undertaking shall be the following:</p> <ul style="list-style-type: none"> - accelerating in the EU the development, validation and demonstration of clean Air Transport technologies for earliest possible deployment; - ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of Air Transport; - creating a radically innovative Air Transport System based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts; - accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.
Council Regulation N° 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169/77)	06/05/2014	<p>The Clean Sky 2 Joint Undertaking shall contribute to the implementation of the Framework Programme for Research and Innovation (2014-2020) ("Horizon 2020") , and in particular the Smart, Green and Integrated Transport Challenge under Part III — Societal Challenges.</p> <p>The Clean Sky 2 Joint Undertaking shall have the following objectives:</p> <ul style="list-style-type: none"> - to contribute to the finalisation of research activities initiated under Regulation (EC) No 71/2008; - to contribute to improving the environmental impact of aeronautical technologies, including those relating to small aviation, as well as to developing a strong and globally competitive aeronautical industry and supply chain in Europe. <p>This can be realised through speeding up the development of cleaner air transport technologies for earliest possible deployment, and in particular the integration, demonstration and validation of technologies capable of:</p> <ul style="list-style-type: none"> - increasing aircraft fuel efficiency, thus reducing CO 2 emissions by 20 to 30 % compared to 'state-of-the-art' aircraft entering into service as from 2014; - reducing aircraft NO x and noise emissions by 20 to 30 % compared to 'state-of-the-art' aircraft entering into service as from 2014.

1.2 Seat

Brussels, Belgium

1.3 Budget line

08 02 07 35 : Clean Sky 2 Joint Undertaking (Clean Sky 2) — Support expenditure

08 02 07 36 : Clean Sky 2 Joint Undertaking (Clean Sky 2)

08 02 51: Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	27	25	32	32
Establishment plan posts : AST	4	1	4	4
Establishment plan posts : AST/SC				
Total Establishment plan posts	31	26	36	36
Contract Agents	6	6	6	6
Seconded National Experts				
TOTAL STAFF	37	32	42	42

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	341 841 747	197 399 440
Other Revenue	98 813 859	38 965 502
TOTAL REVENUES	440 655 606	236 364 942

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	4 750 000	5 164 685	4 451 000	4 451 000
Title 2 - Infrastructure and operating expenditure	3 077 522	4 057 708	2 603 708	2 603 708
Title 3 - Operational expenditure	432 828 084	236 717 870	229 310 234	248 474 273
TOTAL EXPENDITURE	440 655 606	245 940 263	236 364 942	255 528 981

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11								1		1
AD 10		3		3		3		4		4
AD 9		7		10		10		8		8
AD 8		1		1		1		3		3
AD 7		2		5		8		3		3
AD 6		3		5		9		10		10
AD 5		10						2		2
AD TOTAL		27		25		32		32		32
AST 11										
AST 10										
AST 9										
AST 8										
AST 7								1		1
AST 6		1		1		4				
AST 5										
AST 4								3		3
AST 3		3								
AST 2										
AST 1										
AST TOTAL		4		1		4		4		4
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		31		26		36		36		36
GRAND TOTAL	31		26		36		36		36	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	1	1	1	1
Function Group III	2	2	2	2
Function Group II	3	3	3	3
Function Group I				
TOTAL	6	6	6	6

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	101 225 333	341 841 747	197 399 440	197 399 440	-42,25%
- Of which Administrative (Title 1 and Title 2)	1 225 333	1 864 218	2 625 785	2 625 785	40,85%

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
- Of which Operational (Title 3)	100 000 000	339 977 529	194 773 655	194 773 655	-42,71%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	3 036 760	10 050 147	5 803 543	5 803 543	-42,25%
- Of which EEA/EFTA (excl. Switzerland) (1)	3 036 760	10 050 147	5 803 543	5 803 543	-42,25%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	3 175 539	3 913 761	3 527 354	3 527 354	-9,87%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	235 238	66 601	200 000	200 000	200,30%
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS (2)	121 568 895	84 783 350	29 434 605	29 434 605	-65,28%
TOTAL	229 241 765	440 655 606	236 364 942	236 364 942	-46,36%

(1) EFTA % used: 3% for 2014, 2.94% for 2015 and 2016 (as estimate).

(2) An amount of EUR 9 514 908 was made available for the EU contribution to the running costs for 2014-2017 of which 1 913 446 EUR was implemented in the 2014 for 2014 running cost related to FP7, EUR 1 994 735 is to be implemented in 2015 for the 2015 running cost related to FP7 and EUR 824 371 is to be implemented in 2016 for 2016 running cost related to FP7

3.2 Financial contributions from EU budget and the other partners and in kind contributions

Overview of the amount of financial contributions made from the budget, the amount of financial contributions and the value of contributions in kind made by the other partners for each PPP with a breakdown for each of the years (2014, 2015 and 2016). For reasons of transparency, the appropriations remaining available in 2016 from the 2007-2013 period should be identified separately.

The total amount of EU contribution, EFTA included, is EUR 203 202 983 (EUR 197 399 440 of EU contribution and EUR 5 803 543 of Third Countries contribution), representing the total budget for the Clean Sky 2 Programme. Indeed, the budget for the closing operations associated to the Clean Sky 1 Programme was already made available to the JU in 2013.

The EFTA rate used for 2015 and 2016 is 2.94% (estimate based on 2015 level).

The other contributions of EUR 3 527 354 represent the contribution of Members to the administrative budget of the CS2JU (EUR 824 371 for the Clean Sky 1 Programme and EUR 2 702 983 for the Clean Sky 2 Programme). This amount is included under Title 1 and Title 2 in 2016 revenues.

The Unused appropriations of EUR 29 434 605 represent the estimation of unused Clean Sky 1 Programme from previous years which will cover the remaining administrative and operational expenditures of CS1 till completion (reaching 800m € of total funding execution). No unused appropriations are planned for the Clean Sky 2 Programme in 2016.

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	186 184 034	351 891 894	203 202 983
FP7	89 705 361	0	0
H2020	96 478 673	351 891 894	203 202 983
2. CONTRIBUTIONS FROM INDUSTRY	182 476 143	315 081 709	93 198 483
of which financial contribution	3 132 759	3 913 761	3 527 354
FP7	1 903 010	1 483 808	824 371
H2020	1 229 749	2 429 953	2 702 983
of which contribution in kind	179 343 384	311 167 948	89 671 159
FP7	91 949 847	75 550 380	28 710 234
H2020	87 393 537	235 617 568	60 960 895
3 OTHER MEMBERS CONTRIBUTIONS			
of which financial contribution			
FP7			
H2020			
of which contribution in kind			
FP7			
H2020			
TOTAL CONTRIBUTIONS	368 660 177	666 973 603	296 401 466
FP7	183 558 218	77 034 188	29 534 605
Horizon 2020	185 101 959	589 939 415	266 866 861

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 837 079	4 750 000	4 451 000	4 451 000	-6,29%
Salaries & allowances	2 585 168	3 875 000	3 884 000	3 884 000	0,23%
- Of which establishment plan posts	2 319 168	3 609 000	3 618 000	3 618 000	0,25%
- Of which external personnel	266 000	266 000	266 000	266 000	0,00%
Expenditure relating to Staff recruitment	993 266	500 000	150 000	150 000	-70,00%
Mission expenses	227 115	300 000	350 000	350 000	16,67%
Socio-medical infrastructure	29 610	75 000	66 000	66 000	-12,00%
Training					
External Services					
Receptions, events and representation	1 920		1 000	1 000	100%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 428 440	3 077 522	2 603 708	2 603 708	-15,40%
Rental of buildings and associated costs	618 241	500 000	515 000	515 000	3,00%
Information, communication technology and data processing	397 783	200 000	170 000	170 000	-15,00%
Movable property and associated costs	81 986	82 475	12 000	12 000	-85,45%
Current administrative expenditure	60 000	62 500	55 000	55 000	-12,00%
Postage / Telecommunications	42 910	50 000	40 000	40 000	-20,00%
Meeting expenses	226 878	360 000	300 000	300 000	-16,67%
Running costs in connection with operational activities					
External services and support	292 134	270 480	450 000	450 000	66,37%
Studies	225 061	624 616	481 708	481 708	-22,88%
R&D support (evaluations and reviews)	483 447	927 451	580 000	580 000	-37,46%
Title 3 - Operational expenditure	183 051 275	432 828 084	229 310 234	229 310 234	-47,02%
Clean Sky 1	87 802 351	75 550 380	28 710 234	28 710 234	-62,00%
Clean Sky 2	95 248 924	357 277 704	200 600 000	200 600 000	-43,85%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
TOTAL	189 316 794	440 655 606	236 364 942	236 364 942	-46,36%

(1) EUR 39 924 971 unused appropriations explains the difference between total revenue and total expenditure

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 422 395	5 164 685	4 451 000	4 451 000	-13,82%
Salaries & allowances	2 585 168	3 875 000	3 884 000	3 884 000	0,23%
- Of which establishment plan posts	2 319 168	3 609 000	3 618 000	3 618 000	0,25%
- Of which external personnel	266 000	266 000	266 000	266 000	0,00%
Expenditure relating to Staff recruitment	631 090	862 176	150 000	150 000	-82,60%
Mission expenses	198 321	328 794	350 000	350 000	6,45%
Socio-medical infrastructure	5 896	98 715	66 000	66 000	-33,14%
Training					
External Services					
Receptions, events and representation	1 920		1 000	1 000	100%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 674 788	4 057 708	2 603 708	2 603 708	-35,83%
Rental of buildings and associated costs	618 241	500 000	515 000	515 000	3,00%
Information, communication technology and data processing	173 189	441 430	170 000	170 000	-61,49%
Movable property and associated costs	81 986	82 475	12 000	12 000	-85,45%
Current administrative expenditure	34 088	88 412	55 000	55 000	-37,79%
Postage / Telecommunications	31 344	61 566	40 000	40 000	-35,03%
Meeting expenses	195 988	390 890	300 000	300 000	-23,25%
Running costs in connection with operational activities					
External services and support	173 414	389 200	450 000	450 000	15,62%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Studies	153 149	906 225	481 708	481 708	-46,84%
R&D support (evaluations and reviews)	213 389	1 197 510	580 000	580 000	-51,57%
Title 3 - Operational expenditure	133 404 428	236 717 870	251 181 823	248 474 273	4,97%
Clean Sky 1	112 943 435	135 485 596	51 347 939	48 640 389	-64,10%
Clean Sky 2	20 460 993	101 232 274	199 833 884	199 833 884	97,40%
TOTAL	138 501 611	245 940 263	258 236 531	255 528 981	3,90%

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: EUR 749 135.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

Human resources agreed in line with JU request and LFS.

4.1.1.2 Financial resources

The appropriations agreed are adequate to cover the Joint Undertaking's work programme.

4.1.2 Number of staff requested

The JU, having reached the maximum staffing level allocated in 2015 will not request new posts in 2016. The allocation of 42 staff should meet the needs of the two programmes. This will be further reported on in the Annual Activity Report 2015.

4.1.3 Salary assumption for calculating salary line (% applied)

0.8% - Clean Sky JU has estimated necessary to foresee a small margin of budget (+0.8% on top of the global envelope of salary mass calculated based on the grade and 100% of staffing) in case a decision is finally taken on the salaries indexation that is suspended since 2011.

4.1.4 Vacancy rate of end 2014

0%.

4.1.5 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.2 Financial Resources

4.2.1 Title 1

In 2015, the JU will have recruited all staff corresponding to the establishment plan - i.e. 42 posts in active employment. As a consequence the Title I budget reaches certain stability while comparing 2015 with 2016. We even observe a minor reduction of commitment appropriations caused by the reduction of external providers (used by the JU to complement its staff) as well as the expenditure related to staff recruitment.

4.2.2 Title 2

Title 2 expenses will slightly decrease in 2016. This could mainly be explained by the estimated reduction of meeting expenses as well as the use of External Support services (mentioned under the line "Studies") for the same reason as for the Title 1. The costs of ex-post audits, evaluation costs, communication costs and rent are the main consumers of budget.

4.2.3 Title 3

The Title 3, corresponding to the operational expenditures needs to be subdivided in two categories:

1. Clean Sky 1 Programme operational expenditure: the amount of commitment appropriations of EUR 28 710 234 represents the funding needs for ITDs for the expected last grant agreements signed under the Clean Sky 1 Programme. The commitment budget will be used for the annual agreements or for the complementary funding for ITDs with multi-annual grants. The payment appropriations amount takes into consideration the pre-financing of 2016 activities as well as the interim/final payments of 2015 activities.

2. Clean Sky 2 Programme operational expenditure: the amount of commitment appropriations of EUR 357 277 704 in 2015 represents the budget envelope available for the Leaders for their multi-annual grant agreements 2016-2017 plus the budget allocated mainly to the first Call for Partners. This Call was launched in December 2014. The negotiation phase is planned to start in May 2015. Therefore the first commitments and pre-financing payments for Partners are planned in the 3rd Quarter 2015. The amount of EUR 200 600 000 in 2016 will be dedicated to the accession of Core-Partners to the multi-annual Leaders grant-agreement 2016-2017 and to the next Calls for Partners. The pre-financing rate used under Clean Sky 2 Programme activities for Leaders and Core-Partners is estimated between 45% and 50%.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, ToisonD'Or 56-60, 1060 Brussels	The building is shared with 4 other JUs (IMI2, FCH2, ECSEL and BBI)
Surface area (in square metres)	1.624.5 m ²	The office space was increased in 2014 with the renting of offices in the 3rd floor (898.5 m ²) and in the 4th floor (726 m ²).
1. Of which office space		
2. Of which non-office space		
Annual rent (in EUR)	383 000	
Type and duration of rental contract	Until 2024	Currently signed until 2017 with a possible extension till 2024.
Host country grant or support	No	
Present value of the building	No	

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Clean Sky 2 Joint Undertaking signed with the Belgian authorities the host agreement on the 25th of July 2014; therefore the Protocol on the Privileges and Immunities applies directly.	As stated in the article 18 of the Council Regulation setting up the Clean Sky 2 Joint Undertaking (558/2014 of 06/05/2014) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Sky JU and its staff	The European Schools will provide for Clean Sky needs

5.3 Evaluation

An evaluation of the Joint Undertaking has been submitted to Budgetary Authority by mid-2014.

The main findings of the latest evaluation available are as follows:

In line with Council Regulation 072/2008, in 2014, the second Interim Evaluation has assessed the quality and efficiency of the JU and the progress towards the objectives. The evaluation was performed by a Panel of five independent experts based on the Terms of Reference, defined by the Directorate General for Research and Innovation of the European Commission.

The interim assessment concluded that the JU has successfully demonstrated the viability of the Public-Private Partnership (PPP) concept for research in aeronautics. CS2JU has been effective in delivering on its main objectives and has been able to reinforce Europe's role for aeronautics R&D. The Panel concluded that the research undertaken within the JU is of high quality. Today, a number of demonstrators are already running or have been tested, and in many cases, the preliminary assessments of the environmental benefits confirm the capability of achieving the targets at completion of the Clean Sky programme.

Regarding the technical progress achieved by the JU, the report stated that in spite of initial delays due to the slow start, the JU has marked satisfactory progress towards meeting the objectives set and has manifested an open non-discriminatory attitude towards a wide community of stakeholders. In particular, there has been an effective strategy (e.g. methods, processes and tools) in launching and managing the Calls for proposals, in selecting the best proposals, managing successfully "Level 2 Like" projects and promoting participation of SMEs and increasing the rate of new entries in the JU and the Calls for Proposals. Also the technical development of the demonstrators is making satisfactory progress. It is noted that by the end of the Clean Sky Programme, the demonstration programmes will allow to provide evidence of integration of several technologies and to indicate the potential benefits in a relevant operational environment.

Regarding the organisation and the efficient use of resources, the report states that overall the governance is efficient in the management of the programme and delivery of calls and projects and the IEG is convinced that the JU has created an effective dialogue between industry including SMEs and the research and academic communities around a common strategic agenda and has successfully implemented it. However, the IEG considers that the existing links with both SESAR and ACARE should be enhanced and it is important to reach a better view within the JU about the airlines, ANSPs and other stakeholders. This request is under process of follow-up at the JU level. On the internal management side, the IEG found that steps for reducing administrative work, increasing the organisation efficiency and enhancing internal and external communication are still required. Notwithstanding the Executive Office has made significant progress in speeding up processes and reaching operational efficiency, a recommendation is issued that some further adjustments are carried out to improve efficiency. Moreover, now when the JU is well established, the balance of skills towards project management in the Executive Office needs to be improved.

In order to address the points raised in the report, the Independent Expert Group makes a series of recommendations that aim to remove or reduce weaknesses as identified in the current operations of the JU and to improve its effectiveness, efficiency and quality.

A new evaluation of the joint undertaking is expected to be carried out by 2017.

2.2.1.6 Fuel Cells and Hydrogen Joint Undertaking - FCH2

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Approval by Council Regulation No 521/2008 of 30 May 2008 setting up the FCH Joint Undertaking (OJ L 153/1, 12/06/2008) and Council regulation 1183/2011 of 14 November 2011 amending Reg521/2008	30/05/2008	<p>The FCH Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme (2007-2013) of the European Union for research, technology development and demonstration and in particular the 'Cooperation' Specific Programme themes for "Energy", "Nanosciences, Nanotechnologies, Materials and New Production Technologies", "Environment (including Climate Change)", and "Transport (including Aeronautics)". It shall, in particular:</p> <p>support research, technological development and demonstration (RTD&D) in the Member States and Associated countries in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards a rapid deployment of fuel cells and hydrogen technologies;</p> <p>support the implementation of the research priorities of the JTI on Fuel Cells and Hydrogen, notably by awarding grants following competitive calls for proposals;</p> <p>aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and Associated countries;</p> <p>conclude service and supply contracts necessary for the functioning of the FCH Joint Undertaking;</p> <p>ensure the efficiency and effectiveness of the JTI on Fuel Cells and Hydrogen.</p>
Council Regulation No 559/2014 of 6 May 2014 setting up the FCH 2 Joint Undertaking (OJ L 169/108)	06/05/2014	<p>The FCH Joint Undertaking shall contribute to the objectives of the Joint Technology Initiative on Fuel Cells and Hydrogen, through the development of a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union. It shall, in particular:</p> <p>-reduce the production cost of fuel cell systems to be used in transport applications, while increasing their lifetime to levels competitive with conventional technologies,</p> <p>-increase the electrical efficiency and the durability of the different fuel cells used for power production, while reducing costs, to levels competitive with conventional technologies,</p> <p>-increase the energy efficiency of production of hydrogen from water electrolysis while reducing capital costs, so that the combination of the hydrogen and the fuel cell system is competitive with the alternatives available in the marketplace, and</p> <p>-demonstrate on a large scale the feasibility of using hydrogen to support integration of renewable energy sources into the energy systems, including through its use as a competitive energy storage medium for electricity produced from renewable energy sources.</p>

1.2 Seat

Brussels, Belgium

1.3 Budget line

08 02 07 37 : Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) — Support expenditure

08 02 07 38 : Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)

08 02 51: Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	15	15	15	15
Establishment plan posts : AST	9	9	9	9
Establishment plan posts : AST/SC				
Total Establishment plan posts	24	24	24	24
Contract Agents	2	2	2	2
Seconded National Experts		0		0
TOTAL STAFF	26	26	26	26

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	111 393 844	104 385 434
Other Revenue	6 240 220	6 052 504
TOTAL REVENUES	117 634 064	110 437 938

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 295 025	3 392 215	3 248 949	3 248 949
Title 2 - Infrastructure and operating expenditure	2 016 915	2 779 417	2 018 980	2 018 980
Title 3 - Operational expenditure	112 322 123	87 915 922	105 170 009	104 191 673
TOTAL EXPENDITURE	117 634 063	94 087 554	110 437 938	109 459 602

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11		3		3		3		3		3
AD 10										
AD 9		1				1		1		1
AD 8		4		5		5		5		5
AD 7		2		2		1		1		1
AD 6										
AD 5		4		4		4		4		4
AD TOTAL		15		15		15		15		15
AST 11										
AST 10										
AST 9										
AST 8		1				1		1		1
AST 7		3		3		3		3		3
AST 6				1						
AST 5										
AST 4		1		1		1		1		1
AST 3		4		4		4		4		4
AST 2										
AST 1										
AST TOTAL		9		9		9		9		9
AST/SC 6										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		24		24		24		24		24
GRAND TOTAL	24		24		24		24		24	

(1) The total of 24 Includes 2 offer letters sent and approved

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	1	1	1	1
Function Group III	1	1	1	1
Function Group II				
Function Group I				
TOTAL	2	2	2	2

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL		0		0

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014 (3)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	0				
2 EU CONTRIBUTION	95 360 000	111 393 844	104 385 434	104 385 434	-6,29%

REVENUES	Revenues				
	Executed Budget 2014 (3)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
- Of which Administrative (Title 1 and Title 2) (2)	2 006 000	2 279 677	2 219 115	2 219 115	-2,66%
- Of which Operational (Title 3)	93 354 000	109 114 167	102 166 319	102 166 319	-6,37%
- Of which funding of European schools Type 2	0	0	0		-100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 809 400	3 221 681	3 017 066	3 017 066	-6,35%
- Of which EEA/EFTA (excl. Switzerland) (4)	2 809 400	3 221 681	3 017 066	3 017 066	-6,35%
- Of which candidate countries	0	0	0		-100%
4 OTHER CONTRIBUTIONS	2 700 113	3 018 539	3 035 438	3 035 438	0,56%
5 ADMINISTRATIVE OPERATIONS	0	0	0		-100%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	856 186 (1)	0	0		-100%
7 CORRECTION OF BUDGETARY IMBALANCES	0	0	0		-100%
8 INTERESTS GENERATED	50 000	0	0		-100%
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	11 764 088				
TOTAL	113 539 787	117 634 064	110 437 938	110 437 938	-6,12%

(1) Includes amounts cashed in 2014 and 2013 referring to recovery orders

(2) An amount of EUR 5 543 416 was made available in 2013 for the EU contribution to the running costs in CA in 2014-2017. The credits coming from the amount frontloaded in 2013 to cover the running costs of the implementation of FCH1 are in 2014 EUR 1 713 333 in 2014, EUR 1 812 844 in 2015 and EUR 1 764 167 in 2016.

(3) The difference between total revenues and total expenditure of EUR 5 160 486 (EUR 113 539 787 versus EUR 108 379 301) corresponds to the non-used commitment appropriations for running and operational costs.

(4) EFTA % used: 3% for 2014, 2.94% for 2015 and 2016 (as estimate).

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	98,169,400	114,615,525	107,402,500
FP7	1,713,333	1,812,844	1,764,167
H2020	96,456,067	112,802,681	105,638,333
2. CONTRIBUTIONS FROM INDUSTRY	2,315,244	2,588,692	2,603,142
of which financial contribution	2,315,244	2,588,692	2,603,142
FP7	2,056,000	2,175,413	2,200,384

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
H2020	259,244	413,279	402,758
of which contribution in kind	-	-	-
FP7	-		
H2020	-		
3 OTHER MEMBERS CONTRIBUTIONS	384,870	429,847	432,296
of which financial contribution	384,870	429,847	432,296
FP7	342,667	362,569	366,731
H2020	42,203	67,278	65,565
of which contribution in kind	-	-	-
FP7	-		
H2020	-		
TOTAL CONTRIBUTIONS	100,869,51345	117,634,064	110,437,938
FP7	4,111,999	4,350,826	4,331,282
Horizon 2020	96,757,514	113,283,238	106,106,656

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 539 233	3 295 025	3 248 949	3 248 949	-1,40%
Salaries & allowances	2 365 170	3 068 085	3 032 773	3 032 773	-1,15%
- Of which establishment plan posts	2 032 156	2 839 205	2 812 773	2 812 773	-0,93%
- Of which external personnel	333 014	228 880	220 000	220 000	-3,88%
Expenditure relating to Staff recruitment	21 219	35 000	20 000	20 000	-42,86%
Mission expenses	102 620	132 350	134 912	134 912	1,94%

45 The difference between total contributions from Members and the CA execution is because CA execution includes reactivated appropriations from non-used of previous years and internal assigned revenues from administrative and operations costs of 2014.

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014 (1)	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Socio-medical infrastructure	9 029	16 465	16 911	16 911	2,71%
Training	34 703	37 925	38 953	38 953	2,71%
External Services	0	0	0	0	◆
Receptions, events and representation	6 492	5 200	5 400	5 400	3,85%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	1 668 834	2 016 915	2 018 980	2 018 980	0,10%
Rental of buildings and associated costs	337 105	393 700	318 400	318 400	-19,13%
Information, communication technology and data processing	176 083	177 800	181 660	181 660	2,17%
Movable property and associated costs	19 944	10 175	10 368	10 368	1,90%
Current administrative expenditure	23 755	41 140	42 500	42 500	3,31%
Postage / Telecommunications	9 873	16 200	16 500	16 500	1,85%
Meeting expenses	48 965	62 000	63 240	63 240	2,00%
Running costs in connection with operational activities	667 514	847 500	915 000	915 000	7,96%
Information and publishing	0	0	0	0	◆
Studies	9 914	30 000	30 000	30 000	0,00%
R&D support (evaluations and reviews)	375 681	438 400	441 312	441 312	0,66%
Title 3 - Operational expenditure	104 171 234	112 322 123	105 170 009	105 170 009	-6,37%
TOTAL	108 379 301	117 634 063	110 437 938	110 437 938	-6,12%

(1) The difference between the revenue table and the total of the CA in the expenditure table is due to EUR 5 160 486 non used commitment appropriations.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 505 012	3 392 215	3 248 949	3 248 949	-4,22%
Salaries & allowances	2 358 738	3 123 119	3 032 773	3 032 773	-2,89%
- Of which establishment plan posts	2 023 324	2 849 869	2 812 773	2 812 773	-1,30%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
- Of which external personnel	335 414	273 250	220 000	220 000	-19,49%
Expenditure relating to Staff recruitment	23 879	35 000	20 000	20 000	-42,86%
Mission expenses	97 698	143 050	134 912	134 912	-5,69%
Socio-medical infrastructure	8 067	17 921	16 911	16 911	-5,64%
Training	10 702	66 425	38 953	38 953	-41,36%
External Services	0	0	0	0	◆
Receptions, events and representation	5 928	6 700	5 400	5 400	-19,40%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0		-100%
Title 2 - Infrastructure and operating expenditure	1 364 511	2 779 417	2 018 980	2 018 980	-27,36%
Rental of buildings and associated costs	311 247	419 558	318 400	318 400	-24,11%
Information, communication technology and data processing	120 500	260 232	181 660	181 660	-30,19%
Movable property and associated costs	18 319	11 800	10 368	10 368	-12,14%
Current administrative expenditure	24 909	45 852	42 500	42 500	-7,31%
Postage / Telecommunications	8 176	22 316	16 500	16 500	-26,06%
Meeting expenses	46 866	65 019	63 240	63 240	-2,74%
Running costs in connection with operational activities	649 091	1 220 645	915 000	915 000	-25,04%
Information and publishing	0	0	0	0	◆
Studies	22 064	30 000	30 000	30 000	0,00%
R&D support (evaluations and reviews)	163 339	703 995	441 312	441 312	-37,31%
Title 3 - Operational expenditure	68 804 585	87 915 922	104 191 673	104 191 673	18,51%
TOTAL	72 674 108	94 087 554	109 459 602	109 459 602	16,34%

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: EUR 23.9 million.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

4.1.1.2 Financial resources

4.1.2 Number of staff requested

No change from 2015 requested staff.

4.1.3 Salary assumption for calculating salary line (% applied)

For 2016, the budget was calculated based on savings known after 2013 budget implementation and real needs. The slight decrease in salaries stems from a lower need for interim resources.

4.1.4 Vacancy rate of end 2014

0% taking into account that the recruitment procedures for the post of Junior Legal and Communication Assistant were closed, the offers sent were accepted and successful candidates took up duties on 1.1.2015 and 15.1.2015 respectively.

4.1.5 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources

4.2.1 Title 1

The decrease in title 1 in 2016 compared to 2015 (-4.2% amounting to EUR 143 266) is mainly due to the slight decrease in salary costs stemming from a reduction in the needs for interim resources.

4.2.2 Title 2

Title 2 remains stable. The impact of indexation (at 2% as per the assumption) is mainly offset against the lower costs for rental of buildings due to the lower share of FCH 2 JU (since 2 additional Joint Undertakings will move at the building until the end of Q2 2015).

4.2.3 Title 3

FP7: The request in payment appropriations corresponds to the estimation of interim and final payments to be done under projects of calls 2009-2013.

H2020: Payment appropriations to cover prefinancing of call 2015 and first interim payments of call 2014.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, Brussels	The JU moved to its new premises on 13 January 2011
Surface area (in square metres)	1 154.34 m2	Includes 8 parking places.
• Of which office space	1 136.36 m2 office space	
• Of which non-office space	17.98 m2 non-office space	
Annual rent (in EUR)	213 553	
Type and duration of rental contract	Long term lease (end date: 16.11.2025)	Possibility to end the contract on 31.12.2024
Host country grant or support	No	

Present value of the building	N/A	FCH JU is not the owner of the building
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5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	Article 11 to 15 of the Protocol on Privileges and Immunities of the European Union, annexed to the Treaty of the European Union and to the Treaty on the Functioning of the European Union, signed in Lisbon on 13 December 2007 applies to FCH JU.	

5.3 Evaluation

An evaluation of the joint undertaking was submitted to the Budgetary Authority on 31 July 2013.

The main findings of the latest evaluation available are as follows:

The FCH JU has successfully demonstrated the viability of the PPP concept for research in FCH. It has realised an adequate governance structure, created an effective dialogue between industry and research around a common strategic agenda, and has successfully implemented that agenda. The expression of a long-term political commitment by EU institutions that is manifest in the FCH JU, coupled with stable funding has given confidence to industry and helped the sector through the difficult times caused by a shifting emphasis to BEVs and the economic crisis. The FCH JU has helped to stimulate new relations including trans-national linkages between the public sectors and private sectors of different Member States and strong communities within the IG and RG. In the latter case, formerly dispersed actors have been brought together to formulate a collective position on research priorities and to debate that position between the two communities. The FCH JU continues to be relevant to the grand challenges facing Europe in particular supporting climate change objectives, helping to improve energy security and contributing to status of Europe as an international leader in technology upon which the competitiveness and welfare of the Union will depend in the future.

Based on this, the IEG recommended that the FCH JU be continued under Horizon 2020.

<http://www.fch-ju.eu/sites/default/files/2nd%20interim%20evaluation.pdf>

FCH 2 was established with Council Regulation No 559/2014 of 6 May 2014.

A new evaluation of the joint undertaking is expected to be carried out by 2017.

2.2.1.7 Electronic Components and Systems for European Leadership Joint Undertaking - ECSEL

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Regulation (EC) No. 74/2008 on the establishment of ARTEMIS Joint Undertaking to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30/52, 04/02/2008).</p> <p>Council Regulation 72/2008 setting up the ENIAC Joint Undertaking (OJ L 30/21, 04/02/2008)</p>	<p>20/12/2007</p> <p>20/12/2007</p>	<p>The ARTEMIS JTI on Embedded Computing Systems will contribute to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ARTEMIS JTI will define and implement a "Research Agenda" for the development of key technologies for embedded computing systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications. The ARTEMIS JTI will address the design, development and deployment of ubiquitous, interoperable and cost-effective, powerful, safe and secure electronic and software systems, delivering reference designs and architectures that offer common architectural approaches for given ranges of applications, middleware that allows seamless connectivity and interoperability and integrated system design methods and tools for rapid development and prototyping. The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p> <p>The ENIAC JTI on Nanoelectronics contributes to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ENIAC JTI defines and implements a "Research Agenda" for the development of key technologies for nanoelectronics based systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications. The ENIAC JTI addresses the design, development and deployment of nanoelectronics components in key lead markets as well as addresses all supporting technologies, processes, design tools, equipment, materials and manufacturing along the whole food chain to produce and design these components and integrate them in larger systems. The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p>
<p>Council Regulation 561/2014 of 6 May 2014 setting up the ECSEL Joint Undertaking (OJ L 169/152)</p>	<p>06/05/2014</p>	<p>The ECSEL Joint Undertaking shall have the following objectives:</p> <p>(a) to contribute to the implementation of Regulation (EU) No ... establishing the Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) and in particular part ... of Decision No .../2013/EU of the Council of ... 2013 establishing the Specific Programme implementing Horizon 2020 (2014-2020);</p> <p>(b) to contribute to the development of a strong and globally competitive electronics components and systems industry in the Union;</p> <p>(c) to ensure the availability of electronic components and systems for key markets and for addressing societal challenges, aiming at keeping Europe at the forefront of technology development, bridging the gap between research and exploitation, strengthening innovation capabilities and creating economic and employment growth in the Union;</p> <p>(d) to align strategies with Member States to attract private investment and contribute to the effectiveness of public support by avoiding unnecessary duplication and fragmentation of efforts, and easing participation for actors involved in research and innovation;</p> <p>(e) to maintain and grow semiconductor and smart system manufacturing capability in Europe, including leadership in manufacturing equipment and</p>

		<p>materials processing;</p> <p>(f) to secure and strengthen a commanding position in design and systems engineering including embedded technologies;</p> <p>(g) to provide access for all stakeholders to a world-class infrastructure for the design and manufacture of electronic components and embedded/cyber-physical and smart systems;</p> <p>(h) to build a dynamic ecosystem involving Small and Medium-Sized Enterprises (SMEs), thereby strengthening existing clusters and nurturing the creation of new clusters in promising new areas.</p>
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1.2 Seat

Brussels

1.3 Budget line

09 04 07 31 : Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) — Support expenditure

09 04 07 32 : Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)

09 04 51: Completion of the seventh framework programme (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	14	14	14	14
Establishment plan posts : AST				
Establishment plan posts : AST/SC				
Total Establishment plan posts	14	14	14	14
Contract Agents	13	13	13	16
Seconded National Experts	1	1	1	1
TOTAL STAFF	28	28	28	31

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	100 460 000	154 696 200
Other Revenue	7 344 759	8 416 298
TOTAL REVENUES	107 804 759	163 112 498

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 200 000	3 200 000	3 305 000	3 305 000
Title 2 - Infrastructure and operating expenditure	2 150 000	2 150 000	2 179 400	2 179 400
Title 3 - Operational expenditure	102 454 759	106 500 000	157 628 098	277 230 136
TOTAL EXPENDITURE	107 804 759	111 850 000	163 112 498	282 714 536

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12								1		1
AD 11		4		4		4		3		3
AD 10										
AD 9						2		3		3
AD 8		9		9		7		6		6
AD 7										
AD 6										
AD 5										
AD TOTAL		14		14		14		14		14
AST 11										
AST 10										
AST 9										
AST 8										

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		14		14		14		14		14
GRAND TOTAL	14		14		14		14		14	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate (1)
Function Group IV			1	4
Function Group III	8	8	7	7
Function Group II	5	5	5	5
Function Group I				
TOTAL	13	13	13	16

(1) The extra costs of 3 supplementary CA will be covered by industry if needed.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	1	1	1	1

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	152 393 800	100 460 000	161 600 000	154 696 200	53,99%
- Of which Administrative (Title 1 and Title 2)	1 443 800 (3)	1 386 880 (4)	1 600 000	1 569 130 (2)	13,14%
- Of which Operational (Title 3)	150 950 000	99 073 120	160 000 000	153 127 070	54,56%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	4 066 200	3 404 759		4 531 898	33,10%
- Of which EEA/EFTA (excl. Switzerland)	4 066 200	3 404 759	(5)	4 531 898 (1)	33,10%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	3 625 772 (6)	3 940 000	3 884 400	3 884 400	-1,41%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
TOTAL	160 085 772	107 804 759	165 484 400	163 112 498	51,30%

(1) % EFTA: 3% for 2014; 2,94 % for 2015; for 2016, 2,94% used (estimate based on 2015)

(2) EUR 2 050 000 has been frontloaded in 2013 in CA for the running costs linked to the completion of the ARTEMIS and ENIAC tasks. Of this amount EUR 600 000 has been used in 2014, EUR 600 000 is going to be used in 2015 and EUR 550 000 will be used in 2016.

(3) composed of EUR 600 000 legacy (out of EUR 2 05 000 committed in 2013) + EUR 400 000 carry over from ARTEMIS + EUR 443 800 new appropriations.

(4) composed of EUR 600 000 legacy (out of EUR 2 05 000 committed in 2013) + EUR 473 000 transfer from operational expenditure + EUR 786 407 new appropriations.

(5) Item 2 above is the full request (the JU does not split its request according to origin of funds).

(6) To be confirmed in final ECSEL accounts 2014.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

Contributions ⁴⁶	2014	2015	2016
EU (including EFTA)	155 460 000	97 210 000	161 600 000
ECSEL Participating States	N/A	N/A	N/A
Private members in cash	1 754 976	3 140 000	3 884 400
Private members (and associated) in-kind	N/A	144 666 666	279 999 999

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 064 500	3 200 000	3 305 000	3 305 000	3,28%
Salaries & allowances	2 826 000	3 000 000	3 105 000	3 105 000	3,50%
- Of which establishment plan posts	2 260 800	2 460 000	2 546 100	2 546 100	3,50%
- Of which external personnel	565 200	540 000	558 900	558 900	3,50%
Expenditure relating to Staff recruitment	80 000	30 000	30 000	30 000	0,00%
Mission expenses	127 000	135 000	135 000	135 000	0,00%
Socio-medical infrastructure	31 500	35 000	35 000	35 000	0,00%
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 021 272	2 150 000	2 179 400	2 179 400	1,37%
Rental of buildings and associated costs	570 000	650 000	672 750	672 750	3,50%
Information, communication technology and data processing	160 000	110 000	113 850	113 850	3,50%
Movable property and associated costs	20 000	45 000	45 000	45 000	0,00%
Current administrative expenditure	30 000	25 000	25 000	25 000	0,00%

⁴⁶ Operational contributions (EU, EPS and private members in-kind) will be implemented over several years (typically 4 years) linked to the duration of the actions being launched.

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Postage / Telecommunications	70 000	80 000	82 800	82 800	3,50%
Meeting expenses (1)	591 272	500 000	500 000	500 000	0,00%
Running costs in connection with operational activities (2)	10 000	40 000	40 000	40 000	0,00%
Information and publishing	520 000	450 000	450 000	450 000	0,00%
Studies					
Other infrastructure and operating expenditure (3)	50 000	250 000	250 000	250 000	0,00%
Title 3 - Operational expenditure	155 000 000	102 454 759	160 000 000	157 628 098	53,85%
TOTAL	160 085 772	107 804 759	165 484 400	163 112 498	51,30%

(1) covers evaluations and review

(2) covers innovation

(3) covers audits

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 713 494	3 200 000	3 305 000	3 305 000	3,28%
Salaries & allowances	2 568 340	3 000 000	3 105 000	3 105 000	3,50%
- Of which establishment plan posts	2 054 672	2 460 000	2 546 100	2 546 100	3,50%
- Of which external personnel	513 668	540 000	558 900	558 900	3,50%
Expenditure relating to Staff recruitment		30 000	30 000	30 000	0,00%
Mission expenses	127 779	135 000	135 000	135 000	0,00%
Socio-medical infrastructure (1)	17 375	35 000	35 000	35 000	0,00%
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 762 503	2 150 000	2 179 400	2 179 400	1,37%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Rental of buildings and associated costs	563 080	650 000	672 750	672 750	3,50%
Information, communication technology and data processing	130 927	110 000	113 850	113 850	3,50%
Movable property and associated costs	17 259	45 000	45 000	45 000	0,00%
Current administrative expenditure	25 514	25 000	25 000	25 000	0,00%
Postage / Telecommunications	45 267	80 000	82 800	82 800	3,50%
Meeting expenses (4)	455 979	500 000	500 000	500 000	0,00%
Running costs in connection with operational activities (3)		40 000	40 000	40 000	0,00%
Information and publishing	520 832	450 000	450 000	450 000	0,00%
Studies					
Other infrastructure and operating expenditure (2)	3 645	250 000	250 000	250 000	0,00%
Title 3 - Operational expenditure	23 915 243	106 500 000	276 904 897	277 230 136 (5)	160,31%
TOTAL	28 391 240	111 850 000	282 389 297	282 714 536	152,76%

(1) covers socio-medical infrastructure and training

(2) covers audit costs

(3) covers innovation costs

(4) covers evaluation and reviews costs

(5) this amount includes EUR 156 609 711 for the continuation of payments for the phasing out of FP7 and EUR 120 620 436 for the prefinancing of H2020 calls.

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: EUR 48 122 789.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

Staff number is in line with the LFS

4.1.1.2 Financial resources

Appropriations to be granted result from the needs and available credits.

4.1.2 Number of staff requested

The number of TA remains unchanged compared to 2015. There is a request to get 3 supplementary AC: the extra costs will be supported by industry if needed.

4.1.3 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the actual staff costs at ENIAC JU and ARTEMIS JU. The budget appropriations covering staff expenditure for year 2016 are based on the estimate (average Full Time Equivalents on a yearly basis) of 14 temporary agents and 16 contract agents and 1 SNE. Remuneration and social costs are estimated in accordance with the EU staff regulations and rules applicable, including pension rights. The reduction of direct staff costs is due to the mechanical effect of the absence of salaries indexation for years 2011-2015, according to the most recent information available.

4.1.4 Vacancy rate of end 2014

There is one vacant Establishment Plan post at the end of 2014 (1AD8).

4.1.5 Standard abatement ('abatement forfaitaire') applied

N/A

4.2 Financial Resources

4.2.1 Title 1

Salaries and allowances: this appropriation is intended to cover the cost of remuneration of temporary and contractual staff in accordance with the Staff Regulations. Concerning the remuneration, detailed tables of staff costs per type of agent and per year have been established. Under this chapter are also covered the costs of the employer's social security contributions in accordance with the applicable Staff Regulations.

Expenditure relating to staff recruitment: this appropriation is intended to cover the recruitment costs for new staff as well as expenditure foreseen in the relevant provisions of the Staff Regulations, e.g. installation allowances for staff changing residence after taking up duties or when they cease definitively their duties and settle elsewhere and the daily subsistence allowances due to staff able to prove that they were obliged to change their place of residence after taking up duties.

Missions expenditure: the missions' appropriation is intended to cover expenditure on transport, the payment of daily mission allowances and the ancillary or exceptional expenses incurred by the staff in the interest of the service in accordance with the Staff Regulations.

Socio-medical infrastructure: this appropriation is intended to cover the costs of the annual medical check-up of staff and associated analyses required, complementary health insurance and schooling allowances. Under this chapter are also covered costs for training of staff.

4.2.2 Title 2

Rental of buildings and associated costs: the JU is operating from 2011 in its final premises located in the White Atrium building, 60 avenue de la Toison d'Or in Brussels. This office location is shared with 4 other JUs in order to minimise maintenance costs and share a number of expenses, like security and safety of staff and installations, cleaning and maintenance. Common space shared by JUs in that location will be augmented from the end of year 2014 to offer more possibilities for meetings.

Information and communication technology: further to new purchases and applications taking place in years 2011 and 2014, the costs will decrease in 2015 with mainly developments relating to the consolidation of tools for project management, with a view to secure and facilitate data exchange. This chapter also includes the helpdesk function which is externalised.

Movable Property: this chapter relates to purchase of furniture, office equipment and archiving facilities with the total costs gradually reduced.

Current Administrative expenditures: this chapter relates to legal costs, insurance and stationery, as well as financial costs (e.g. interest due in case of late payments).

Postage and Telecommunications: here are also included the costs for internet connections and telecom equipment as needed (e.g. for replacement).

Meeting expenses - R&D support: this chapter contains the costs related to the evaluation, selection and review of projects, including the costs incurred for evaluators and reviewers. The increase for year 2015 is due to the fact that no call was to be launched under the initial budget 2014, and also because the number of reviews of projects launched under FP 7 will continue to increase in 2015.

Running costs in connection with operational activities – Innovation: in accordance with its mandate, the ENIAC JU will continue to promote SMEs contribution, to support scientific guidance and to liaise with regional activities and worldwide initiatives. This decision is the direct consequence of the entry into force of the new programme H2020

Information and Communication ECSEL JU is a PPP and in its actual configuration 3 industry associations are involved in 3 different areas: Embedded Computing Systems, Nanoelectronics and Smart Systems Integration. Dissemination and communication actions are entrusted to the Industry Associations with three different forums and groups of interest. Like in previous years, communication actions will be organised under five headings: conferences, info days and workshops; internal communication; publication and acquisition of information (DB); website developments and consolidation; general public relations (PR) and publicity. Actions will be implemented in accordance with the communication plan and may be coordinated with Industry associations members of ECSEL under a service level agreement to be revised each year.

Other infrastructure and operating expenditure: Evaluation and Audits: this provision is for external and internal audits needs, legal assistance and other costs. It will cover actions initiated under FP7 as well as under H2020

4.2.3 Title 3

Selected projects: these are exclusively the costs related to Calls for proposals for R&D projects.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Brussels, White Atrium	
Surface area (in square metres) <ul style="list-style-type: none"> Of which office space Of which non-office space 	1570sqm (+ common space for Meetings shared with other JUs)	Premises shared with other Joint Undertakings
Annual rent (in EUR)	530 000	
Type and duration of rental contract		
Host country grant or support	Headquarters' agreement signed in 2012	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The ENIAC JU and ARTEMIS JU are granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities, and have signed with Belgium an Headquarters agreement.	As stated in the article 8 of the Council Regulation setting up the ENIAC (72/2008 of 20/12/2007) and the Artemis JU(74/2008 of 20/12/2007), the Protocol on the Privileges and Immunities of the European Communities applies to the ENIAC JU and the ARTEMIS JU and their staff. On 2 February 2012, an Headquarters agreement has been signed with Belgium, in accordance with the provisions of Article 17 of Council Regulation setting up the ENIAC (72/2008 of 20/12/2007).	NA

5.3 Evaluation

As per Article 11 of the legal basis, by 30 June 2017 the Commission shall carry out, with the assistance of independent experts, an interim evaluation of the ECSEL Joint Undertaking.

2.2.1.8 European Joint Undertaking for ITER - Fusion for Energy (F4E) - F4E

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Decision (Euratom) n° 198/2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90/58, 30.3.2007)</p> <p>Council Conclusions on ITER status and possible way forward (11902/10 RECH 255 ATO 32 BUDGET 45)</p>	<p>27/03/2007</p>	<p>The European Joint Undertaking for ITER and the Development of Fusion Energy (Fusion for Energy) is a Joint Undertaking created under the Euratom Treaty by a decision of the Council of Ministers of the European Union. Fusion for Energy is established for a period of 35 years from 19 April 2007 and is located in Barcelona, Spain. The tasks of the Joint Undertaking are as follows:</p> <p>In relation to ITER:</p> <ul style="list-style-type: none"> (a) to provide the contribution of the European Atomic Energy EU (Euratom) to the ITER International Fusion Energy Organisation; (b) to provide the contribution of Euratom to Broader Approach Activities with Japan for the rapid realisation of fusion energy; (c) to prepare and coordinate a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities including the International Fusion Materials Irradiation Facility (IFMIF). (d) to oversee preparation of the ITER project site; (e) to provide components, equipment, materials and other resources to the ITER Organisation; (f) to manage procurement arrangements vis-à-vis the ITER Organisation and, in particular, associated quality assurance procedures; (g) to prepare and coordinate Euratom participation in the scientific and technical exploitation of the ITER Project; (h) to coordinate scientific and technological research and development activities in support of Euratom's contribution to the ITER Organisation; (i) to provide Euratom's financial contribution to the ITER Organisation; (j) to arrange to make human resources available for the ITER Organisation; (k) to interface with the ITER Organisation and carry out any other activities in furtherance of the ITER Agreement; <p>In relation to the Broader Approach:</p> <ul style="list-style-type: none"> (l) to provide components, equipment, materials and other resources for Broader Approach Activities; (m) to prepare and coordinate Euratom's participation in the implementation of Broader Approach Activities; (n) to coordinate scientific and technological research and development activities; (o) to provide the Euratom financial contribution to Broader Approach Activities; (p) to arrange to make human resources available for Broader Approach Activities; (q) to carry out any other activities necessary for meeting Euratom obligations in furtherance of the Broader Approach Agreement with Japan. <p>In relation to DEMO:</p> <ul style="list-style-type: none"> (r) to prepare and coordinate a programme of research, development and design activities other than ITER and Broader Approach Activities, in preparation for the construction of a demonstration fusion reactor and related facilities, including the IFMIF; <p>Other:</p>

		(s) to carry out any other activities in furtherance of its overall objectives, including activities to raise public awareness of the Joint Undertaking and its mission.
Council Decision (Euratom) n°791/2013 amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the development of Fusion for Energy and conferring advantages upon it (OJ L 349/100,21.12.2013	13/12/2013	Mission/tasks/functions were not modified by the amending decision

1.2 Seat

Barcelona, Spain

1.3 Budget line

32 05 01 01: Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure

32 05 01 02: Construction, operation and exploitation of the ITER facilities – European Joint Undertaking for ITER — Fusion for Energy (F4E)

32 05 50 01: Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

32 05 50 02 : Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

32 05 51 : Completion of European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2014		2015	2016
	Authorised under the EU Budget	actually filled as of 31/12/2014	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	218	207	220	241
Establishment plan posts : AST	44	42	42	42
Establishment plan posts : AST/SC				
Total Establishment plan posts	262	249	262	283
Contract Agents	153	144	153	180
Seconded National Experts	7	4	7	4
TOTAL STAFF	422	397	422	467

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2015	2016
	Revenues estimated by the agency	Budget Forecast
EU contribution	385 213 518	321 240 138
Other Revenue	68 390 000	134 600 000
TOTAL REVENUES	453 603 518	455 840 138

1.5.2 Expenditure Overview

Expenditure	2015		2016	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	38 440 000	38 440 000	40 745 000	40 745 000
Title 2 - Infrastructure and operating expenditure	6 660 000	6 660 000	6 755 000	6 755 000
Title 3 - Operational expenditure	408 503 518	548 778 268	408 340 138	650 125 892
TOTAL EXPENDITURE	453 603 518	593 878 268	455 840 138	697 625 892

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1			1		1	
AD 13	11	3	7	2	13	4	14	5	14	5
AD 12	18	9	11	2	17	8	16	8	16	8
AD 11	3	12	2		5	12	5	19	5	19
AD 10	7	28	5	28	3	30	3	27	3	27
AD 9	3	12	2	21	2	18	1	20	1	20
AD 8	2	18		10		20		34		34
AD 7		65	7	40		57		47		47
AD 6		24	2	66		30		40		40
AD 5		2	1							
AD TOTAL	44	174	37	170	40	180	40	201	40	201
AST 11	4				3		3		3	
AST 10	3		1		3		3		3	
AST 9	3		1		3		3		3	

Function group and grade	2014				2015		2016			
	Authorised under the EU Budget		Filled as of 31/12/2014		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 8			2		1		1		1	
AST 7			1		1		3		3	
AST 6	1		2		2	2	1	3	1	3
AST 5	6	7	3		3	9	1	13	1	13
AST 4		18	2	7		14		11		11
AST 3	1	1	2	18		1				
AST 2			2							
AST 1			1							
AST TOTAL	18	26	17	25	16	26	15	27	15	27
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	62	200	54	195	56	206	55	228	55	228
GRAND TOTAL	262		249		262		283		283	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
Function Group IV	78	79	79 (1)	106
Function Group III	50	45	50	50
Function Group II	24	20	24	24
Function Group I	1			
TOTAL	153	144	153	180

(1) In addition to 153 CA there are 3 additional CA FG IV coming from the conversion of 3 SNE and 24 CA FG IV.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2014	Recruited as of 31/12/2014	2015 estimate	Draft Budget 2016 estimate
TOTAL	7	4	7 (1)	4

(1) it has been agreed that 3 out of 7 SNEs will be converted into CA FG IV from 2015.

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	722 790 383	385 213 518	320 881 046	321 240 138	-16,61%
- Of which Administrative (Title 1 and Title 2)	41 127 422	43 860 000	49 000 000 (2)	44 737 000	2,00%
- Of which Operational (Title 3)	679 790 383	338 355 057	270 853 000	275 475 092	-18,58%
- Of which recovery from previous years administrative	1 872 578	2 998 461	1 028 046 (1)	1 028 046	-65,71%
- Of which recovery from previous years operational					
3 THIRD PARTIES CONTRIBUTION	174 400 000	68 390 000	134 600 000	134 600 000	96,81%
- Of which F4E Host State	170 000 000	64 000 000	130 000 000	130 000 000	103,12%
- Of which Membership contributions	4 400 000	4 390 000	4 600 000	4 600 000	4,78%
4 MISCELLANEOUS REVENUE	3 528				
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	209 872				
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
TOTAL	897 403 783	453 603 518	455 481 046	455 840 138	0,49%

(1) administrative outturn 2014

(2) the EUR 49 million are as in ITER programming for the period 14-20, Council Decision of 13 December 2013 (2013/791/Euratom). The outturn 2014 and the difference between revenue and expenditure in administrative budget are transferred back to the operational budget 2016 from the F4E budget in order to compensate the 2014 transfer from operational to administrative budget and assure good implementation within 2016 in relation to the whole planned period.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2014	2015	2016
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	722,790,382.60	385,213,518.14	320,881,046.01
2. CONTRIBUTIONS ITER HOST STATE	170,000,000.00	64,000,000.00	130,000,000.00
of which financial contribution to administration (cash)			
of which financial contribution to operational costs	170,000,000.00	64,000,000.00	130,000,000.00
of which contribution (in kind and additional activities)			
3 OTHER MEMBERS CONTRIBUTIONS			
4. Financial contribution from Member States	4,400,000.00	4,390,000.00	4,600,000.00
TOTAL CONTRIBUTIONS	897,190,382.60	453,603,518.14	455,481,046.01

in Commitment Appropriations

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request (1)	Budget forecast	
Title 1 - Staff expenditure	36 493 922	38 440 000	40 745 000	40 745 000	6,00%
Salaries & allowances	31 828 811	33 800 000	35 945 000	35 945 000	6,35%
- Of which establishment plan posts	24 114 377	25 600 000	26 900 000	26 900 000	5,08%
- Of which external personnel	7 714 434	8 200 000	9 045 000	9 045 000	10,30%
Expenditure relating to Staff recruitment	540 083	800 000	560 000	560 000	-30,00%
Mission expenses	1 800 000	1 600 000	1 800 000	1 800 000	12,50%
Socio-medical infrastructure	282 000	325 000	430 000	430 000	32,31%
Training	690 000	800 000	750 000	750 000	-6,25%
External Services					
Receptions, events and representation	12 000	15 000	15 000	15 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request (1)	Budget forecast	
Social welfare					
Other Staff related expenditure	1 341 028	1 100 000	1 245 000	1 245 000	13,18%
Title 2 - Infrastructure and operating expenditure	7 084 975	6 660 000	6 755 000	6 755 000	1,43%
Rental of buildings and associated costs	1 240 000	1 245 000	1 245 000	1 245 000	0,00%
Information, communication technology and data processing	3 074 433	2 507 000	2 816 000	2 816 000	12,33%
Movable property and associated costs	425 000	460 000	275 000	275 000	-40,22%
Current administrative expenditure	1 188 542	1 255 000	1 272 000	1 272 000	1,35%
Postage / Telecommunications	382 000	390 000	355 000	355 000	-8,97%
Meeting expenses	395 000	383 000	367 000	367 000	-4,18%
Running costs in connection with operational activities					
Information and publishing	26 864	10 000	15 000	15 000	50,00%
Studies					
Other infrastructure and operating expenditure	353 136	410 000	410 000	410 000	0,00%
Title 3 - Operational expenditure	853 746 943	408 503 518	407 981 046	408 340 138	-0,04%
F4E construction including site preparation	660 273 287	310 618 418	243 440 046	243 799 138	-21,51%
Technology for F4E and DEMO	10 104 235	18 409 100	18 500 000	18 500 000	0,49%
Technology for Broader approach	11 112 408	9 976 000	11 041 000	11 041 000	10,68%
Other Expenditure	2 257 013	5 500 000	5 000 000	5 000 000	-9,09%
F4E construction - from F4E host state contribution	170 000 000	64 000 000	130 000 000	130 000 000	103,12%
TOTAL	897 325 840	453 603 518	455 481 046	455 840 138	0,49%

(1) The actual needs in administrative budget at F4E are higher than the EU contribution provided by the Commission. Same remark for payment appropriations as it is non-differentiated appropriations.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	35 472 479	38 440 000	40 745 000	40 745 000	6,00%
Salaries & allowances	31 638 181	33 800 000	35 945 000	35 945 000	6,35%

EXPENDITURE	Payment appropriations				
	Executed Budget 2014	Budget 2015	Draft Budget 2016		VAR 2016/2015 (%)
			Joint undertaking request	Budget forecast	
- Of which establishment plan posts	24 114 377	25 600 000	26 900 000	26 900 000	5,08%
- Of which external personnel	7 523 804	8 200 000	9 045 000	9 045 000	10,30%
Expenditure relating to Staff recruitment	480 252	800 000	560 000	560 000	-30,00%
Mission expenses	1 683 122	1 600 000	1 800 000	1 800 000	12,50%
Socio-medical infrastructure	245 623	325 000	430 000	430 000	32,31%
Training	427 107	800 000	750 000	750 000	-6,25%
External Services					
Receptions, events and representation	4 586	15 000	15 000	15 000	0,00%
Social welfare					
Other Staff related expenditure	993 608	1 100 000	1 245 000	1 245 000	13,18%
Title 2 - Infrastructure and operating expenditure	3 309 925	6 660 000	6 755 000	6 755 000	1,43%
Rental of buildings and associated costs	251 681	1 245 000	1 245 000	1 245 000	0,00%
Information, communication technology and data processing	2 068 990	2 507 000	2 816 000	2 816 000	12,33%
Movable property and associated costs	19 012	460 000	275 000	275 000	-40,22%
Current administrative expenditure	572 211	1 255 000	1 272 000	1 272 000	1,35%
Postage / Telecommunications	14 896	390 000	355 000	355 000	-8,97%
Meeting expenses	189 514	383 000	367 000	367 000	-4,18%
Running costs in connection with operational activities					
Information and publishing	14 841	10 000	15 000	15 000	50,00%
Studies					
Other infrastructure and operating expenditure	178 780	410 000	410 000	410 000	0,00%
Title 3 - Operational expenditure	463 726 294	548 778 268	650 125 892	650 125 892	18,47%
F4E construction including site preparation	344 394 116	412 278 268	495 925 892	495 925 892	20,29%
Technology for F4E and DEMO	5 904 901	12 000 000	20 000 000	20 000 000	66,67%
Technology for Broader approach	5 444 868	9 500 000	9 200 000	9 200 000	-3,16%
Other Expenditure	911 845	5 000 000	5 000 000	5 000 000	0,00%
F4E construction - from F4E host state contribution	107 070 564	110 000 000	120 000 000	120 000 000	9,09%

EXPENDITURE	Payment appropriations				VAR 2016/2015 (%)
	Executed Budget 2014	Budget 2015	Draft Budget 2016		
			Joint undertaking request	Budget forecast	
TOTAL	502 508 698	593 878 268	697 625 892	697 625 892	17,47%

3.4 Budget Outturn

First estimate of the 2014 surplus that remains within the joint undertaking: EUR 28 288 891, of which EUR 1 028 046 administrative expenditure and EUR 27 260 845 operational expenditure.

4 Justification of needs

4.1 Human Resources

4.1.1 Commission assessment of joint undertaking request

4.1.1.1 Human resources

Staff numbers were agreed in line with the F4E request. The number of posts for the ITER-F4E joint undertaking increases from 262 to 283 posts (+ 21 additional short-term temporary agents). Based on the analysis of the expected workload and the number of on-going contracts and their values it is necessary to reinforce the operational capacity of F4E by recruiting engineers, procurers and lawyers.

4.1.1.2 Financial resources

The level of the CA on the running costs line has been adjusted downwards as compared to the JU request to align it with the financial programming.

4.1.2 Number of staff requested

During its meeting of 10-11 December 2013, the Governing Board (GB) of Fusion for Energy (F4E) recognised that, even once all the vacancies have been filled, the ceiling on the number of posts at F4E is creating risks for the costs and schedule. Furthermore, it reiterated its support for an increase to the number of temporary and contract agents to reduce the schedule and cost risks facing F4E. These conclusions stemmed from a paper on staffing needs, presented and adopted during the GB on 11 December 2013, which provided a detailed analysis as to why it is essential to increase F4E's staff to a total of 480 in order for the Joint Undertaking to deliver its in-kind procurement in time with respect to the anticipated revised ITER schedule; and follow-up contracts at the appropriate level reducing significantly the technical risks and the risks of cost increase. To this effect, the GB Chair urged the relevant authorities of the European Commission to support an increased staffing complement for 2015 onwards. The European Commission replied positively and concerning the staff request for 2016, considered a favourable assessment of the request for additional 21 TA AD posts depending on determined vacancy rate level which F4E achieved. To be reminded that regarding 2015, the Commission also agreed that F4E increases the number of CA posts as of January 2015 by 24 CA short term. Furthermore, F4E has also swapped 3 SNE that were not used into CA posts (long term) due to the fact that it is not possible to find suitable candidates). These numbers should be now reflected in the Commission system (see point 2.2 external staff)

4.1.3 Salary assumption for calculating salary line (% applied)

Based on the cost of staff from 2009 to 2014 the average annual cost for salaries without additional costs at F4E is estimated to be EUR 104 000 for the EU officials and Temporary Agents and EUR 49 000 for the Contractual Agents. The averages do not take into account indirect costs related to recruitment (selection, medical visit, removal, etc.) and other staff management expenditure.

4.1.4 Vacancy rate of end 2014

At the end of 2014, 249 establishment plan posts have been occupied in Fusion for Energy, of which 244 staff members in place (54 permanent and 190 temporary posts) and 5 sent (and accepted) Temporary Agent offer letters. Regarding support staff, 144 Contract Agent posts were occupied of which 142 in place and 2 accepted Job offers. Finally, 2 SNEs were in place and 1 secondment letter was sent. The vacancy rate at the end of 2014 was 4.96%. It is important to note that 6 FO posts were frozen in anticipation of a swap into TA posts. In 2014, 41 F4E staff members took up duties: 6 permanent staff, 13 Temporary agents and 22 Contract Agents. Furthermore, 1 National Expert has been seconded to F4E.

4.1.5 Standard abatement ('abatement forfaitaire') applied

There is no standard abatement applied but all calculations are based on actual costs.

4.2 Financial Resources

4.2.1 Title 1

The total administrative budget deemed necessary for 2016 is EUR 47.5 million. The explanation of the needs is the following. In 2014, the execution at the end of the year is EUR 43.6 million. For 2015, the F4E's administrative budget in expenditure is EUR 45.1 million. The increase is explained by the standard inflation of 2 % applied on the total execution 2014 (+ EUR 800 000) to which an increase of EUR 600 000 is added to cover partially the augmentation in staff that occurred in 2014. As stated in a chapter above, 41 staff took up duties during 2014. The rest of the budget needed to cover the full year salaries of those 41 new members will occur in 2015 through redeployment of the administrative budget and restrictions in several chapters. It is important to note that F4E is foreseeing difficulties with the administrative budget already in 2015. Applying the standard 2% inflation to the EUR 45.1 million actual 2015 administrative budget results in EUR 46 million, to which EUR 1 million is added to cover 50% of the full year employment of the new 21 TA (AD6) agents positions that will be recruited during 2016 and an additional EUR 500 000 to cover a minimum of the indirect costs on other chapters (i.e. IT equipment, missions, training, etc.) related to the arrival of those new members. Again, F4E has performed restrictions on many other administrative activities to stay as much as possible within a reasonable administrative budget. Concerning the budget for the missions' expenses it is noted that it goes up again in 2016 to comply with the F4E Management Assessors' request to F4E to be more present at the manufacturing sites, the latter being endorsed by F4E's GB in its meeting in December 2014.

4.2.2 Title 2

Within Title 2, F4E had no choice but to perform costs containment on many items to allow some redeployment to cover the needs of costs related to the recruitment of staff. F4E could face a problematic situation but close monitoring will occur to allow timely decisions to act in case of any possible difficulty. It is also to be noted the usual standard 2 % inflation was not applied to many chapters as a way to contain the costs.

4.2.3 Title 3

The F4E set of detailed schedules provided to ITER IO early January 2015 provide a list of activities and important budgetary commitments to be carried out in 2016. The major activities currently foreseen to be contracted are in the following areas: release of stages of the vacuum vessel contract according to the contractual schedule; qualification of additional suppliers for the divertor target; activities contributing to the procurement for the divertor cassette integration; activities contributing to the manufacturing and installation of the divertor remote handling system; activities contributing to the manufacturing and installation of the neutral beam remote handling system; procurement for final design and manufacturing of Cold Valve Boxes; release of stages/options of the Liquid Nitrogen Plant and Auxiliary Systems contract; procurement of the MITICA cryopump, beamline components and ion source; activities contributing to the procurement of components for leak detection and localisation; completion of the Tender Batch 11 buildings contract; tender Batch 12 contract for design and construction of the access building, Neutral Beam high voltage and power supplies buildings; release of stages/options of the Tender Batch 13 Contract for design and construction of buildings for fuel storage tanks, emergency power supplies and distribution; cash contribution to the Back-to-Back agreement with Consorzio RFX for the Neutral Beam Test Facility (NBTF). The achievement of the signature for the above listed activities will depend on the evolution of the ITER project. In some cases, preparatory work for these contracts has already started. The total 2016 cash contribution to IO foreseen in the decision of the ITER Council in November 2014 is estimated at about EUR 156 million. In 2016 F4E is currently not foreseeing any new commitment for cash contribution to Japan.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Torre Diagonal Litoral B3 - Barcelona	
Surface area (in square metres) <ul style="list-style-type: none"> • Of which office space • Of which non-office space 	8 000 all office space	
Annual rent (in EUR)	0	
Type and duration of rental contract	NA	
Host country grant or support	Rent paid by Spain	
Present value of the building	NA	

5.1.2 Building project in the planning phase

NA

5.1.3 Building projects submitted to the European Parliament and the Council

NA

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Privileges provided by the Host State and concluded in the seat agreement: - VAT exemptions - Building free of charge	- Diplomatic status only for the Director, and the person appointed to replace him in his absence - The PPI applies to all staff - VAT reimbursements during the first year on goods and furniture. - Purchase of one motor vehicle without taxes. - Exemption of import tax registration for vehicles (if done through the Spanish Ministry of Foreign Affairs)	

5.3 Evaluation

When the Council discussed the status of ITER and possible ways forward on 12 July 2010, it asked, inter alia:

- “F4E to report at least once a year on (a) the progress achieved in implementing the cost containment and savings plan, (b) as well as the performance and management of the Agency and the ITER project, and (c) the fulfilment of the scheduled activities within its annual budget.
- The F4E Governing Board (GB) to appoint an independent expert who will assess the ITER project progress on the basis of existing reports and will submit this opinion to the Governing Board and to the Competitiveness Council once a year”.

Accordingly each year F4E reports to the Council on the status of the ITER Project, the evolution of the Cost containment and savings plan, an overview of the performance and management of the organisation and ITER Project, the progress related to the fulfilment of scheduled activities and F4E’s Response to the External Annual Assessment. The progress report covering the period from August 2013 to July 2014 was sent to the European Commission beginning December 2014 and transmitted to the Council in January 2015.

In relation to the external assessments, the first of these assessments by Deloitte Touche Tohmatsu, was forwarded to the Council in September 2012. A second assessment, also by Deloitte Touche Tohmatsu, was forwarded to the Council in October 2013.

The third annual assessment has been prepared by three independent experts working under the supervision of the GB Chair with the assistance of Commission. The Governing Board discussed the assessment at its meeting on 2 and 3 December 2014 and expressed its appreciation for the quality of the assessment and richness of its findings. It noted that there were a number of clear recommendations for improvement. The Board welcomed the overall reassurance it gave and noted that F4E has been progressively improving its internal governance, operational structures, and processes to increase efficiency and performance. Changes implemented to date have broadly achieved their aims, and those in the process of implementation or planned are judged to be appropriate to bring about further improvements. The assessors concluded that there was a low level of performance of F4E in 2013, but noted that there had been significant improvements in the first half of 2014. The assessors also noted that the ITER schedule against which F4E is judged is being revised to provide a realistic schedule, a process which is expected to be concluded by the ITER Council by June 2015.

F4E's action plan to address the recommendations for improvement will be presented to the GB for adoption in its meeting of March 2015.

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