



2014

Annual Activity Report

Annexes

**Directorate-
General for Trade**

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ANNEXES

ANNEX 1: Statement of the Resources Director

I declare that in accordance with the Commission's communication on clarification of the responsibilities of the key actors in the domain of internal audit and internal control in the Commission¹, I have reported my advice and recommendations to the Director-General on the overall state of internal control in the DG.

I hereby certify that the information provided in Parts 2 and 3 of the present AAR and in its annexes is, to the best of my knowledge, accurate and exhaustive.

Brussels, 30 March 2015

[signed]

Peter Sandler

¹ SEC(2003)59 of 21.01.2003

ANNEX 2: Human and Financial resources

HUMAN RESOURCES BY ABB ACTIVITY*				
CODE ABB ACTIVITY	ABB ACTIVITY	ESTABLISHMENT PLAN POSTS	EXTERNAL PERSONNEL	TOTAL
20 02	Trade Policy	477	164	641**
20-AWBL-01	Administrative support	102	15	117
20-AWBL-02	Policy, Strategy & Coordination			
Total		579	179	758

* Situation 31 December 2014

** These figures include postings in Delegations (80 establishment posts and 119 external staff)

FINANCIAL RESOURCES BY ABB ACTIVITY (EUR MILLION) IMPLEMENTATION OF COMMITMENT APPROPRIATIONS (CA)				
CODE ABB ACTIVITY	ABB ACTIVITY	OPERATIONAL EXPENDITURE***	ADMINISTRATIVE EXPENDITURE****	TOTAL
20 02	Trade Policy	€ 13,695,627	€ 4,286,165	€ 17,381,792
Total		€ 13,695,627	€ 4,286,165	€ 17,381,792

*** Including Global Commitments for an amount of € 600,000.

**** Decentralised administrative appropriations ("global envelope") but not including management expenditure of 'Trade' delegations of the European Union for which DG Trade is not Authorizing Officer by Delegation.

IMPLEMENTATION OF THE GLOBAL ENVELOPE

BUDGET LINES CONCERNED: 20 01 02 11 00 01 TO 20 01 02 11 00 06

(IN EUROS)		APPROPRIATIONS 2014 (C1)			APPROPRIATIONS carried over (C8)		
BUDGET LINE*	BUDGET LINE DESCRIPTION	AVAILABLE APPROPRIATIONS 2014	COMMITMENTS 2014	PAYMENTS 2014	AMOUNTS OF APPROPRIATIONS CARRIED OVER FROM 2013	PAYMENTS 2014	% IMPLEMENTATION ON APPROPRIATIONS CARRIED OVER FROM 2013
20.010211.00		4,286,165					
20.010211.00.01.10*	Mission expenses		3,632,828	2,739,310	716,129	702,984	98.16 %
20.010211.00.01.30	Representation expenses		13,500	11,685	661	661	100 %
20.010211.00.02.20*	Meeting costs		122,000	87,943	19,286	19,286	100 %
20.010211.00.02.40	Conference costs		141,092	78,046	32,539	31,353	96.36 %
20.010211.00.03*	Meetings of committees		205,000	179,559	44,706	44,706	100 %
20.010211.00.04	Studies and consultations		11,500	8,622	31,060	31,060	100 %
20.010211.00.06	Further training and management training		160,245	99,218	85,502	84,777	99.15 %
	TOTAL		4,286,165	3,204,383	929,883	914,827	99.10 %

* - managed by PMO

ANNEX 3: Draft annual accounts and financial reports

(see separate document)

ANNEX 4: Materiality criteria

In conformity with the existing guidelines, DG Trade retained the following materiality criteria:

Quantitative criteria

As regards legality and regularity, the proposed standard quantitative materiality threshold of 2% of the executed payments for the DG's ABB activity is applied. DG Trade considers it to be an appropriate threshold above which weaknesses detected should be considered "material".

In DG Trade this applies to all non-compliance events detected throughout the year.

Qualitative criteria

As stipulated above, DG Trade's activities are preponderantly of a political nature (trade negotiations, monitoring and implementation) and procedural (case-handling), involving a very modest level of financial management.

Qualitative criteria cover significant reputational risks for the DG or the Commission and significant weaknesses in the internal control systems. For assessing the significance of the weakness, the nature and scope, duration, existence of mitigating controls and/or remedial actions are taken into account.

In this respect, if a 'critical' recommendation would be issued by auditors in the context of a final audit report, provided it is accepted by the auditee, and that sufficient corrective action has not been taken to implement, it will be considered.

ANNEX 5: Internal Control Template(s) for budget implementation (ICTs)

The cost of control in DG Trade is exclusively calculated by estimating the number of FTEs dedicated to the control stages. No expenditure is incurred in this respect. **For 2014, the overall cost of control is estimated to remain at 1,553,000 €** (11.5 FTEs and 0.5 CA). This includes all the financial initiating and verifying agents (6.5 FTEs and 0.5 CA), the operational verification (20 FTEs estimated at 5%) and the Administrative Coordination Assistants (8 FTEs estimated at 50%).

ICT N° 1 - PUBLIC PROCUREMENT IN DIRECT MANAGEMENT

Management mode:

Direct management

Total appropriations committed in 2014 20.020100 (€ 7,983,550)

Key figures:

Average value of contracts: 75K Euros, maximum of approx. 550K Euros
28% of the procurement procedures (in value) are implemented through open procedures. Specific contracts concluded under existing framework contracts amount to 66% while negotiated procedures below 60,000 Euros represent 4% of the total value. In 2014, 2% related to negotiated procedures awarded above 60,000 Euros.

Average contract period: 12 months, varying between 3 month and 24 months
Where required, DG Trade has developed a series of framework contracts to assist in operational activities, such as the organization of events and negotiating rounds and the Chief economist studies. In 2014, DG Trade launched a tender to conclude a framework contract to assist in carrying out (sustainability) impact assessments and ex post evaluations.

Stage 1: Planning and needs assessment

MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
The procurement needs are not well defined	Procurement needs clearly defined and justified from an economic/operational view and approved by the AO(S)D	Coverage: 100%	Benefits: best offers received	Number of tenders cancelled
Inappropriate choice of procurement procedure	Ex ante support and verification	Frequency: At least before launch		Number of legal cases/complaints
The best offer/s are not submitted due to poor definition of the tender specifications	Trainings provided centrally and locally Selection criteria clearly defined and approved by the AOSD			Number of project officers followed training Commitment rate

Stage 2: Selection of the offer and evaluation

MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
Insufficient quality of the evaluation report, impacting the award decision	Formal evaluation process: Opening and evaluation committee	Coverage: 100%	Benefits: compliance with Financial Regulation	Number of unfavourable opinions by financial verification
Existence of conflict of interest	Opening and evaluation committee's declaration of absence of conflict of interest	Depth: all documents transmitted and analysed; all declarations of conflict of interest for all members		Number of non-award decisions
Non-compliance with regulatory framework (transparency, time limits,...)	Opinion of Financial Verifying Agent			Number of legal cases/complaints
Over-dependency	Exclusion criteria documented Standstill period, unsuccessful tenderers to put forward their concerns on the decision			Number of cases referred to OLAF

Stage 3: Financial transactions

MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
<p>Non-compliance with legal requirements (payment deadlines, timely closure)</p> <p>Lack of experience and skills of operational and financial agents</p> <p>Operational monitoring - the services foreseen are not, totally or partially, provided in accordance with the technical description and requirements foreseen in the contract</p> <p>Financial monitoring - the amounts paid exceed those due in accordance with the applicable contractual and regulatory provisions</p>	<p>Ex-ante support, including local training</p> <p>DG Trade applies the financial circuit</p> <p>“decentralized with counterweight” for all transactions</p> <p>Monitoring and supervision mechanisms (spring and autumn reviews, resources report, weekly financial reporting,...)</p>	<p>Coverage: 100% of all procurement contracts</p>	<p>Benefits: Amounts recovered or potential overpayments detected (credit notes)</p>	<p>Amount of credit notes</p> <p>Recovery orders</p> <p>Payment times</p> <p>% Payment execution</p> <p>Outstanding RAL</p> <p>Number of legal cases/Ombudsman</p> <p>Number of cases referred to OLAF</p> <p>Number of project officers followed training</p>

Stage 4: Supervisory measures

MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
<p>An error in relation to the regulatory and /or contractual provisions or fraud is not prevented/ detected ex ante</p>	<p>Analysis of non-compliance events</p> <p>Audits performed by IAC, IAS and ECA</p>	<p>Coverage: 100% non-compliance events; all audits</p> <p>Depth: review procedures if weaknesses detected</p>	<p>Benefits: Amounts recovered</p>	<p>Recovery Orders</p> <p>Number of cases referred to OLAF</p>

ICT N° 2 – DIRECT GRANT MANAGEMENT (CONTRIBUTIONS TO INTERNATIONAL ORGANISATIONS)

Management mode:

Direct Grant management € 4,050,000

Total appropriations committed in 2014
 20.020300 (€2,800,000)
 20.020100 (€ 1,250,000)

Key figures: 35% of the overall committed projects (in value) in DG Trade are contributions to international organisations.

Average value of contracts: 700K Euros, varying between 100K and 2M Euros
 5 contributions to international organisations were signed in 2014. Mainly due to new provisions in the Financial Regulation, which came into force 1 January 2014, delays in signing several contributions have occurred. Following a consultation with DG BUDG and in order to secure the budget allocation 3 global commitments were launched at the end of 2014 for an amount of € 600,000. In addition, a request to carry over the remaining budget to 2015 for one of the contributions, where the framework agreement could not be signed due to outstanding issues, has been launched.

Average contract period: varying between 12 month and 24 months

All grants are awarded in accordance with Art. 190 §1. (f) of the Rules of Application (RAP). The essential selection and award criteria are laid down in the corresponding Financing Decision. All grant agreements are signed with international organisations within the meaning of Art. 43. §1. of the RAP, and whose systems and procedures have been subject to an ex-ante pillar assessment in order to verify that they offer guarantees equivalent to internationally accepted standards, notably with transparent procurement and grant-award procedures, an effective internal control system for management of operations, an accounting system that enables the correct use of EU funds, an independent external audit, granting public access to information at the level provided for in the EU, issuing adequate annual ex post publication of beneficiaries of funds deriving from the budget. As there was no delegation of budget implementation tasks, it was opted to implement the actions through the direct management mode.

Stage 1: Programming, evaluation and selection of proposals

MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
The grant (direct award) is not included in the Financing Decision	Inter-service consultation, including all relevant units and DGs	Coverage: 100% of all grant agreements	Benefits : Full compliance/Reach objectives of the budget line	Commitment rate
The Financing Decision does not include adequately the essential selection and award criteria	Adoption by the Commission Specific procedure	Frequency: during preparation Financing Decision/ each Commitment request Depth: Checklist		Number of unfavourable opinions by financial verification
The financing Decision does not spell out the	Evaluation Report/ Award Decision			

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MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
<p>reasons for a direct grant (Art. 190 RAP)</p> <p>The status of the International Organisation regarding the Pillar Assessment is not properly reflected in the grant agreement to be signed with the Organisation</p> <p>The evaluation is not carried out in accordance with the Financial Regulation, established procedures and/or the criteria set out in the Financing Decision</p>	<p>Opinion of Financial Verifying Agent</p> <p>Inclusion in check-list</p>			

Stage 2: Contracting and monitoring of the implementation of the grant agreements

MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
<p>The grant agreement is signed late</p> <p>The grant agreement does not contain all applicable/necessary provisions</p> <p>The estimated budget to the grant agreement does not correspond to the action proposed</p> <p>Changes to the grant agreement have not been properly documented or authorised</p> <p>The action implemented does not correspond to the grant agreement</p> <p>Ineligible costs are reimbursed</p>	<p>Spring/Autumn reviews. ACA meetings</p> <p>Detailed guidance on Intranet and checklist</p> <p>Upstream assistance (incl. training of project officers)</p> <p>Ex-ante verification</p> <p>Verification</p> <p>Accounting controls</p>	<p>Coverage: 100% of all grant agreements</p>	<p>Benefits: Full compliance</p> <p>Depth: check in detail all financial and narrative reports</p>	<p>Exceptions</p> <p>Non-compliance events</p> <p>Amount of credit notes</p> <p>Recovery orders</p> <p>Payment times</p> <p>% Payment execution</p> <p>Outstanding RAL</p> <p>Number of project officers followed training</p>

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MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
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Payments are made late

Timely clearing of pre-financing

Stage 3: Supervisory measures

MAIN RISKS	MITIGATING CONTROLS	COVERAGE, FREQUENCY AND DEPTH	BENEFITS OF CONTROLS	CONTROL INDICATORS
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An error in relation to the regulatory and /or contractual provisions or fraud is not prevented/ detected ex ante

Analysis of non-compliance events
Audits performed by IAC, IAS and ECA.

Coverage: 100% non-compliance events; all audits
Depth: review procedures if weaknesses detected

Benefits: Amounts recovered

Recovery orders
Number of cases referred to OLAF

ANNEX 6: Performance information included in evaluations

TITLE OF THE EVALUATION:	EVALUATION OF DG TRADE’S CIVIL SOCIETY DIALOGUE (CSD) IN ORDER TO ASSESS ITS EFFECTIVENESS, EFFICIENCY, AND RELEVANCE
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ABB activity:	20 02 01
Type of evaluation:	Communication activity (C)

Summary of performance related findings and recommendations:

1. Contribution of the activity to Europe 2020 targets/flagships/objectives or to other key policy objectives

DG Trade’s Civil Society Dialogue (CSD) began in 1998, at a time of rapidly increasing attention to, and interest in, the conduct of global and EU trade policy from NGOs, social movements, the media, and the general public. Its distinctive approach to stakeholder engagement has been sustained for 16 years; which confirms the continuing interest of both DG Trade and civil society organisations in the process.

The CSD contributes to a number of key policy commitments made in recent years by the EU in respect of better communication about Europe, enhanced dialogue and transparency, and greater involvement of civil society in consultation processes. In particular, Article 11 of the Lisbon Treaty states that:

- *The institutions shall, by appropriate means, give citizens and representative associations the opportunity to make known and publicly exchange their views in all areas of Union action;*
- *The institutions shall maintain an open, transparent and regular dialogue with representative associations and civil society.*

The overall aim of the study was to take stock of the CSD process, which was last evaluated in 2006; and to assess whether its strategy and purposes remained adequate, as well as to identify ways in which the dialogue could be improved in the future.

An additional consideration was the growing interest of civil society in trade policy – in particular during the two years prior to the evaluation – which had resulted in a considerable increase in the number of organizations taking part in the dialogue and the number of participants per meeting.

2. Main result/ impact of the activity and EU added value

The evaluation found that, while there is some fit between the CSD and DG Trade’s overall approach to information and communication, the CSD does not make a strong contribution to meeting information and communication goals expressed in the DG’s communication strategy. According to the evaluation, it is the (more recent) communication policies and processes put in place in relation to TTIP which show the greatest overall alignment with the DG’s overall approach to information and communication. By contrast, the CSD operates as a communication channel which has not been designed or aligned in order to meet specific goals for information and communication.

The evaluation also concluded that although the CSD reflects a commitment to transparency, it does not contribute to transparency in the way that policy is developed. Although the CSD process is to a great extent aligned with the principles of good governance, there is no clear channel for CSD outputs to feed into the work of the DG.

3. Issues of sound policy design, management and implementation; including efficiency and effectiveness

The report concluded that the CSD has broad goals and aspirations, but lacks clear specific objectives. The broad recommendations focus on this issue, in particular that DG Trade should reflect upon what it wants to achieve with the CSD, and on how to better align CSD with the DG's strategic communications objectives.

In relation to the relevance of the policy, the report concluded that the CSD provides a structure to bridge relations between civil society and DG Trade, which is politically important. It acts as a transparent and valuable information relay, but its usefulness as a debating forum could be increased.

In relation to the policy's effectiveness, the study found that:

- The information released in CSD meetings is considered good, but tends to be general, and often available elsewhere;
- The current approach to reaching the target audience is adequate for the CSD's information relay and updating sessions;
- There is a mixed picture of satisfaction from civil society organisations (CSOs) on the quality of feedback received from DG Trade, and the CSD is not considered to be an effective process for allowing CSOs to present their ideas;

In relation to the policy's efficiency, the study found that:

- Information dissemination conducted via the CSD works well, being mainly electronic. The CSOs operate as multipliers by forwarding the information received to their constituencies;
- The current level of resourcing is sufficient for the CSD as it currently operates (ie, as a low cost model which fits well with the call for budget streamlined communications in the Communication Strategy, as well as with the current squeeze on budgets across the Commission).
- Organisational arrangements are efficient with regard to ensuring that an appropriate number of meetings are held on a timely basis, and that they are well chaired and organised;

The existing monitoring tools for the CSD have limited usefulness.

Report can be viewed at:

http://trade.ec.europa.eu/doclib/docs/2014/december/tradoc_152927.pdf

Annex 3 Financial Reports - DG TRADE - Financial Year 2014

Table 1 : Commitments

Table 2 : Payments

Table 3 : Commitments to be settled

Table 4 : Balance Sheet

Table 5 : Statement of Financial Performance

Table 6 : Average Payment Times

Table 7 : Income

Table 8 : Recovery of undue Payments

Table 9 : Ageing Balance of Recovery Orders

Table 10 : Waivers of Recovery Orders

Table 11 : Negotiated Procedures (excluding Building Contracts)

Table 12 : Summary of Procedures (excluding Building Contracts)

Table 13 : Building Contracts

Table 14 : Contracts declared Secret

Additional comments

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2014 (in Mio €)					
			Commitment appropriations authorised	Commitments made	%
			1	2	3=2/1
Title 20 Trade					
20	20 01	Administrative expenditure of the `Trade-policy area	4,3	4,3	100,00 %
	20 02	Trade policy	13,99	13,2	94,30 %
Total Title 20			18,3	17,5	95,64%
Total DG TRADE			18,3	17,5	95,64 %

* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g. internal and external assigned revenue).

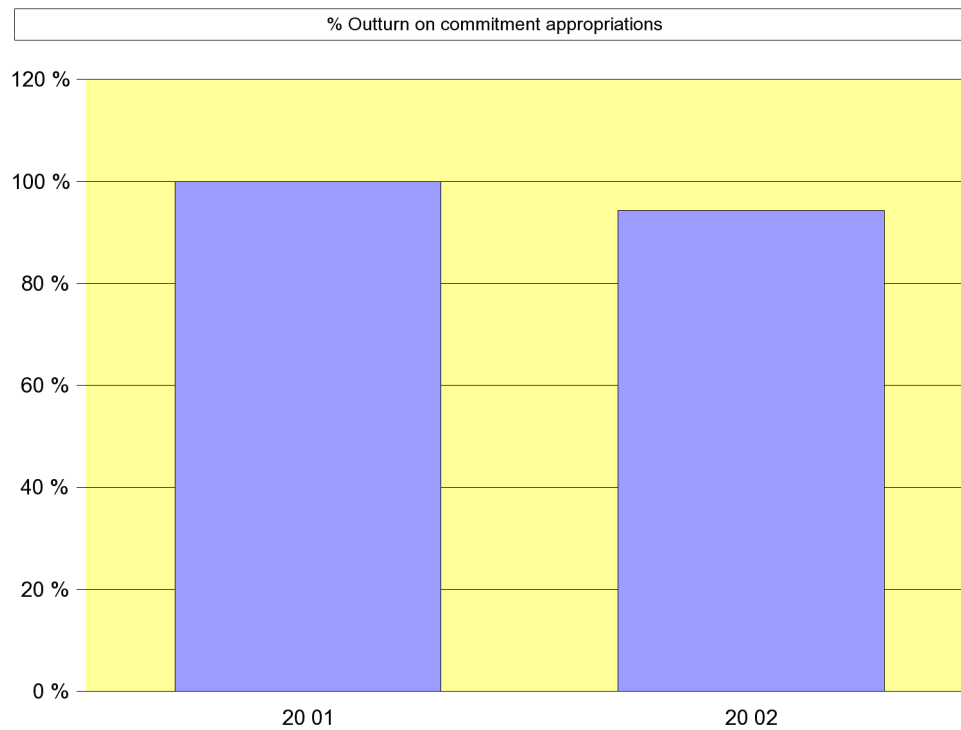


TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2014 (in Mio €)

Chapter		Payment appropriations authorised *	Payments made	%	
		1	2	3=2/1	
Title 20 Trade					
20	20 01	Administrative expenditure of the `Trade- policy area	5,31	4,12	77,58 %
	20 02	Trade policy	11,82	11,82	100,00 %
Total Title 20			17,13	15,94	93,05%
Total DG TRADE			17,13	15,94	93,05 %

* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

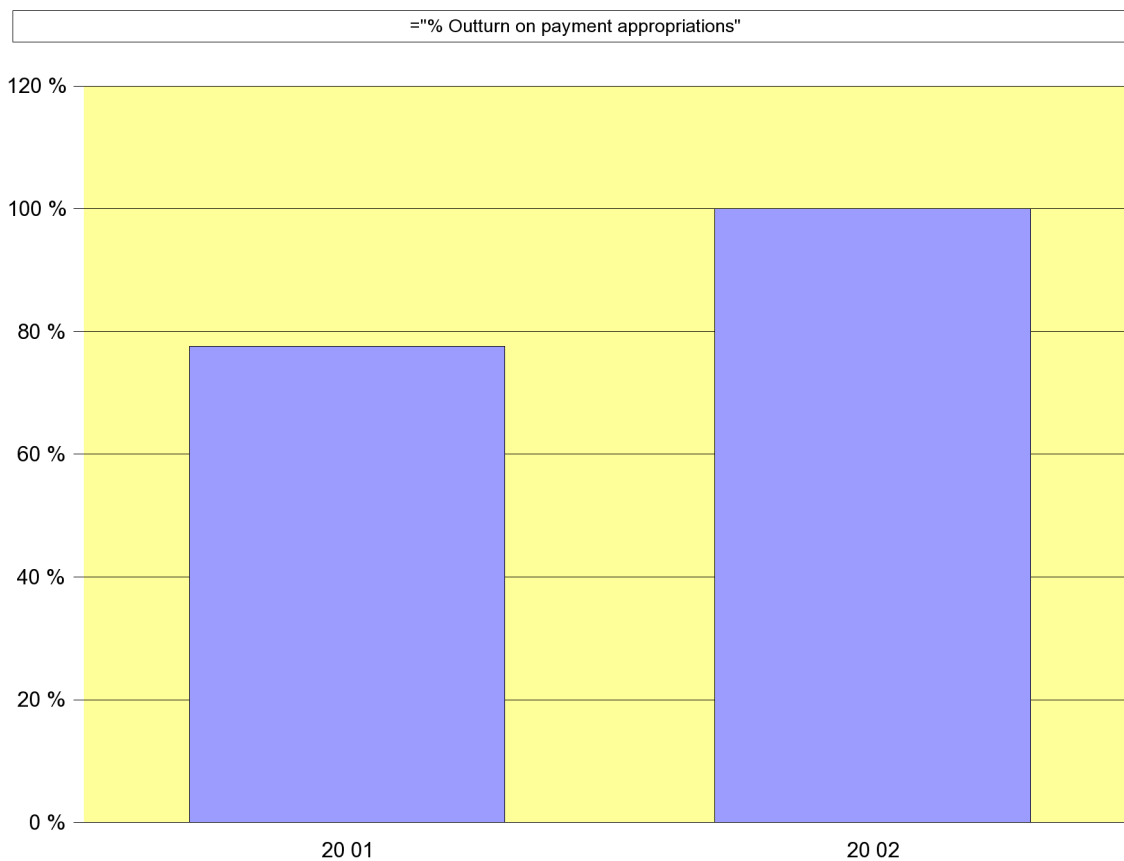
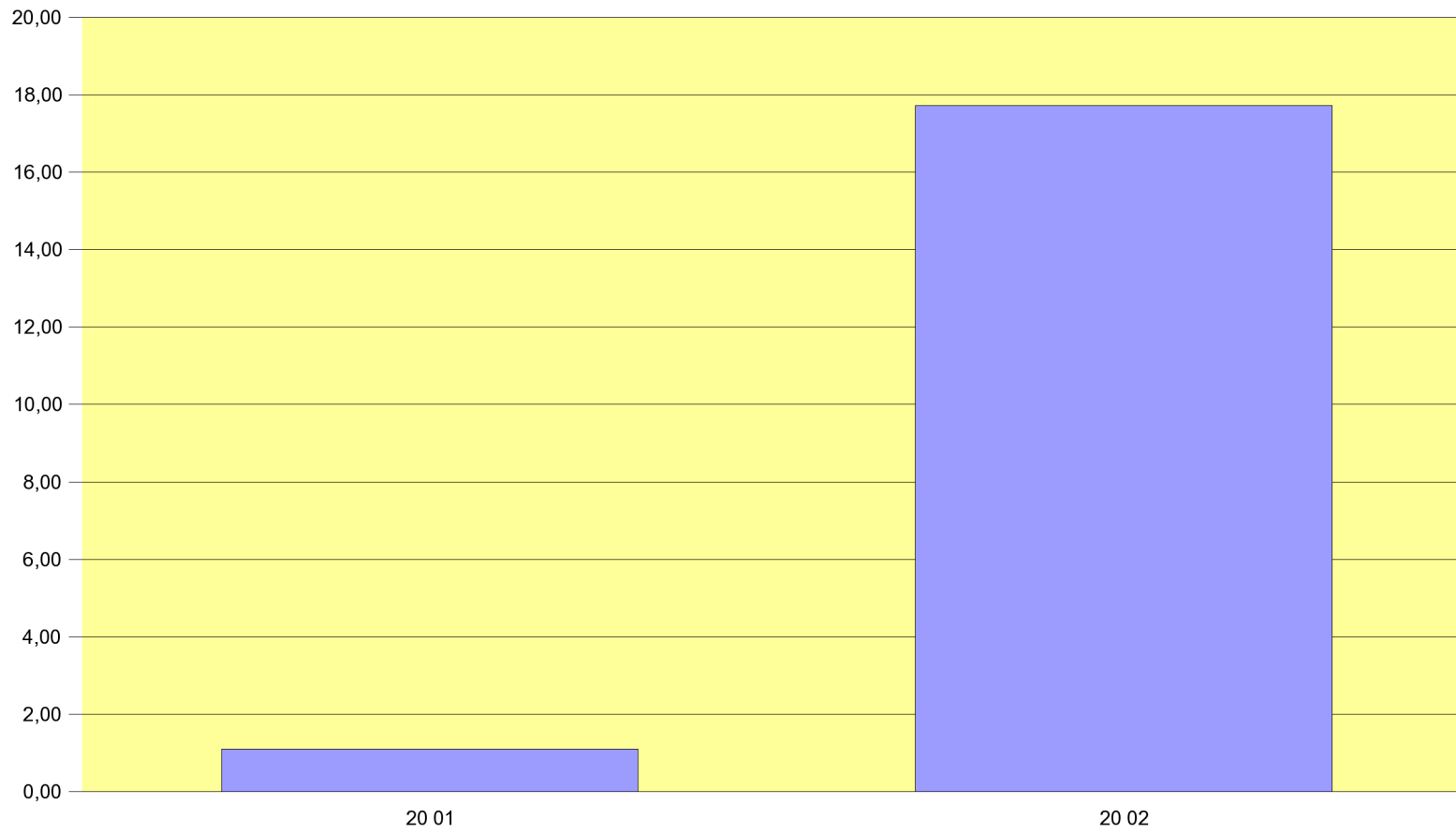


TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2014 (in Mio €)									
Chapter			2014 Commitments to be settled				Commitments to be settled from financial years previous to 2014	Total of commitments to be settled at end of financial year 2014 (incl corrections)	Total of commitments to be settled at end of financial year 2013(incl. corrections)
			Commitments 2014	Payments 2014	RAL 2014	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
Title 20 : Trade									
20	20 01	Administrative expenditure of the `Trade-policy area	4,3	3,20	1,1	25,54 %	0,00	1,10	1,01
	20 02	Trade policy	13,2	1,79	11,41	86,44 %	6,31	17,72	16,72
Total Title 20			17,5	4,99	12,51	71,47%	6,31	18,82	17,73
Total DG TRADE			17,5	4,99	12,51	71,47 %	6,31	18,82	17,73

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

= "Breakdown of Commitments remaining to be settled (in Mio EUR)"



Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 4 : BALANCE SHEET

BALANCE SHEET	2014	2013
A.I. NON CURRENT ASSETS	567.335,97	567.335,97
A.I.6. Non-Current Pre-Financing	567.335,97	
A.I.7. OLD LT Pre-Financing	0,00	567.335,97
A.II. CURRENT ASSETS	38.579,28	257.882,72
A.II.2. Current Pre-Financing	-70.283,97	149.019,47
A.II.4. Exchange Receivables	108.863,25	108.863,25
A.II.5. Non-Exchange Receivables	0,00	0,00
ASSETS	605.915,25	825.218,69
P.III. CURRENT LIABILITIES	-4.248.391,94	-4.350.719,79
P.III.4. Accounts Payable	-1.316.437,60	-1.418.765,45
P.III.5. Accrued charges and deferred incom	-2.931.954,34	-2.931.954,34
LIABILITIES	-4.248.391,94	-4.350.719,79
NET ASSETS (ASSETS less LIABILITIES)	-3.642.476,69	-3.525.501,10
P.I.2. Accumulated Surplus / Deficit	13.212.600,58	263.387,62
Non-allocated central (surplus)/deficit*	-9.570.123,89	3.262.113,48
TOTAL	0,00	0,00

It should be noted that the balance sheet and economic outturn account presented in Annex 3 to this Annual Activity Report, represent only the (contingent) assets, (contingent) liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and economic outturn account they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE

STATEMENT OF FINANCIAL PERFORMANCE	2014	2013
II.1 REVENUES	278.293,66	25.253,58
II.1.2. EXCHANGE REVENUES	278.293,66	25.253,58
II.1.2.1. FINANCIAL INCOME	-3.933,48	
II.1.2.2. OTHER EXCHANGE REVENUE	282.227,14	25.253,58
II.2. EXPENSES	11.621.771,75	12.923.959,38
II.2. EXPENSES	11.621.771,75	12.923.959,38
11.2.10. OTHER EXPENSES	2.956.362,48	3.163.363,48
II.2.2. EXP IMPLM BY COMMISS&EX.AC	5.333.314,50	5.631.560,65
II.2.4. EXP IMPL BY 3RD CNTR & INT OR	3.329.625,04	4.255.003,30
II.2.6. STAFF AND PENSION COSTS		-126.260,00
II.2.8. FINANCE COSTS	2.469,73	291,95
STATEMENT OF FINANCIAL PERFORMANCE	11.900.065,41	12.949.212,96

Explanatory Notes (facultative):

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Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 6: AVERAGE PAYMENT TIMES FOR 2014 - DG TRADE

Legal Times							
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
30	538	478	88,85 %	15,05	60	11,15 %	43,48
45	9	8	88,89 %	14	1	11,11 %	48
60	44	43	97,73 %	22	1	2,27 %	61
90	2	2	100,00 %	18,5			

Total Number of Payments	593	531	89,54 %		62	10,46 %	
Average Payment Time	18,56			15,61			43,84

Target Times							
Target Payment Time (Days)	Total Number of Payments	Nbr of Payments within Target Time	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
20	15	6	40,00 %	10,83	9	60,00 %	40,33
30	230	198	86,09 %	15,93	32	13,91 %	43,72

Total Number of Payments	245	204	83,27 %		41	16,73 %	
Average Payment Time	20,33			15,78			42,98

Suspensions							
Average Report Approval Suspension Days	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
3	19	42	7,08 %	593	1.570.030,03	13,27 %	11.828.309,25

Late Interest paid in 2014			
DG	GL Account	Description	Amount (Eur)
TRADE	65010100	Interest on late payment of charges New FR	2 469,73

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

Annex 3 Financial Reports - DG TRADE
Report printed on 04/03/2015

2 469,73

TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2014

Chapter		Revenue and income recognized			Revenue and income cashed from			Outstanding balance
		Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total	
		1	2	3=1+2	4	5	6=4+5	
52	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	3.933,48	0	3.933,48	4.021,77	0	4.021,77	-88,29
66	OTHER CONTRIBUTIONS AND REFUNDS	33.992,53	0	33.992,53	34.755,51	0	34.755,51	-762,98
Total DG TRADE		37.926,01	0	37.926,01	38.777,28	0	38.777,28	-851,27

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

Annex 3 Financial Reports - DG TRADE
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**TABLE 8 : RECOVERY OF UNDUE PAYMENTS
(Number of Recovery Contexts and corresponding Transaction Amount)**

INCOME BUDGET RECOVERY ORDERS ISSUED IN 2014 Year of Origin (commitment)	Error		TOTAL Qualified		TOTAL RC (incl. non-qualified)		% Qualified/Total RC	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
2011	1	33.992,53	1	33.992,53	1	33.992,53	100,00%	100,00%
Sub-Total	1	33.992,53	1	33.992,53	1	33.992,53	100,00%	100,00%

EXPENSES BUDGET	Error		Irregularity		OLAF Notified		TOTAL Qualified		TOTAL RC (incl. non-qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES												
NON ELIGIBLE IN COST CLAIMS												
CREDIT NOTES	44	553.788,24	1	34			45	553.822,24	45	553.822,24	100,00%	100,00%
Sub-Total	44	553.788,24	1	34			45	553.822,24	45	553.822,24	100,00%	100,00%
GRAND TOTAL	45	587.780,77	1	34			46	587.814,77	46	587.814,77	100,00%	94,22%

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors. The provisional closure will be based on the recovery context situation at 31/01/2015.

TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2014 FOR TRADE

	Number at 01/01/2014	Number at 31/12/2014	Evolution	Open Amount (Eur) at 01/01/2014	Open Amount (Eur) at 31/12/2014	Evolution

TABLE 10 : RECOVERY ORDER WAIVERS IN 2014 >= EUR 100.000

	Waiver Central Key	Linked RO Central Key	RO Accepted Amount (Eur)	LE Account Group	Commission Decision	Comments

Total DG	
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Number of RO waivers	
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Justifications:

Please enter the text directly (no copy/paste of formatted text which would then disappear when saving the document in pdf), use "ctrl+enter" to go to the next line and "enter" to validate your typing.

TABLE 11 : CENSUS OF NEGOTIATED PROCEDURES - DG TRADE - 2014

Negotiated Procedure Legal base	Number of Procedures	Amount (€)
Total		

No data to be reported

TABLE 12 : SUMMARY OF PROCEDURES OF DG TRADE EXCLUDING BUILDING CONTRACTS

Internal Procedures > € 60,000			
	Procedure Type	Count	Amount (€)
Proced ures >	Exceptional Negotiated Procedure without publication of a contract notice (Art. 134 RAP)	1	500.000,00
	Open Procedure (Art. 127.2 RAP)	7	1.736.640,00
	TOTAL	8	2.236.640,00

Additional comments

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TABLE 13 : BUILDING CONTRACTS

Total number of contracts :	
Total amount :	

Legal base	Contract Number	Contractor Name	Description	Amount (€)

No data to be reported

TABLE 14 : CONTRACTS DECLARED SECRET

Total Number of Contracts :	
Total amount :	

Legal base	Contract Number	Contractor Name	Type of contract	Description	Amount (€)

No data to be reported