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DRAFT GENERAL BUDGET OF THE EUROPEAN UNION

Working Document Part III

#EUBudget

2024
FINANCIAL
YEAR

Bodies set up by the EU
having legal personality

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Budget

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DRAFT GENERAL BUDGET
of the European Union
for the financial year 2024

Working Document
Part III

**Draft General Budget
of the European Union
for the Financial Year 2024**

Working Document Part III

Bodies set up by the European Union having legal personality

Draft Budget Working Documents

The 2024 Draft Budget is accompanied by twelve 'Working Documents', as follows:

Part I: Programme Performance Statements of operational expenditure

Working Document I contains, pursuant to Article 41(3)(h) of the Financial Regulation, the Programme Performance Statements, which provide for each spending programme comprehensive information on the financial implementation and progress in achieving the programme objectives as of the end 2022.

Part II: Human Resources of the EU institutions and executive agencies

Working Document II presents information on the human resources of the EU institutions and executive agencies, and in particular for the Commission, both for the establishment plans and for external personnel and across all headings of the multiannual financial framework. Moreover, pursuant to Article 41(3)(b) of the Financial Regulation, it provides a summary table for the period 2021 – 2024 which shows the number of full-time equivalents for each category of staff and the related appropriations for all institutions and bodies referred to in Article 70 of the Financial Regulation.

Part III: Bodies set up by the European Union having legal personality

Working Document III presents detailed information relating to all decentralised agencies and Joint Undertakings, with a transparent presentation of revenue, expenditure and staff levels of various Union bodies, pursuant to Article 41(3)(c) of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2024 Draft Budget, pursuant to Article 41(3)(f) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2023, information on assigned revenue (implementation in 2022 and estimation for 2024) and a progress report on outstanding commitments (RAL) pursuant to Articles 41(3)(d) and 41(8) of the Financial Regulation.

Part VI: Commission expenditure under the administrative heading of the multiannual financial framework

Working Document VI encompasses administrative expenditure to be implemented by the Commission under the administrative heading of the multiannual financial framework (heading 7) in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO), pursuant to Article 41(3)(e) of the Financial Regulation.

Part VII: Commission buildings

Working Document VII presents information on buildings under Section III - Commission, pursuant to Article 266(1) of the Financial Regulation.

Part VIII: Expenditure related to the external action of the European Union

Working Document VIII presents information on human resources and expenditure related to the external action of the European Union, pursuant to Article 41(10) and (11) of the Financial Regulation.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 41(3)(g) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 41(4) of the Financial Regulation.

Part XI: Budgetary Guarantees, Common Provisioning Fund and Contingent Liabilities

Working Document XI presents the implementation of Budgetary Guarantees, the Common Provisioning Fund and the assessment of the sustainability of the contingent liabilities arising from budgetary guarantees and financial assistance pursuant to Article 41(5) of the Financial Regulation.

Part XII: Payment schedules

Working Document XII presents summary statements of the schedule of payments due in subsequent years to meet budgetary commitments entered into in previous years, pursuant to Article 41(3)(i) of the Financial Regulation.

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INTRODUCTION

1 GENERAL CONTEXT

This Draft Budget (DB) Working Document aims at presenting key budgetary information for Bodies set up under the TFEU and the Euratom Treaty to respond to the requirements laid down in Article 41.3 (c) of Regulation (EU, Euratom) 2018/1046.

Section 2 of this introduction presents the main types of EU bodies, as used throughout this document. Subsequently, Part I of the Working Document provides detailed information on ‘decentralised agencies’, Part II on executive agencies and Part III on bodies having legal personality created in the field of research and innovation, i.e. the EIT and joint undertakings (including the European Cybersecurity Competence Centre or ‘ECCC’). Each Part contains a description of the main budgetary trends for the main types of bodies, as well as detailed budgetary financial statements for each EU body individually.

2 TYPES OF EU BODIES

This section presents the main types of EU bodies¹:

- Decentralised agencies (36 included in DB 2024);
- Executive agencies (6 in 2024)
- EIT and joint undertakings (11 in DB 2024, including the ECCC) under TFEU;
- ITER Joint Undertaking (F4E) under the Euratom Treaty.

2.1 Decentralised agencies

2.1.1 Decentralised agencies: set-up

Legal bases of decentralised agencies

Most decentralised agencies are either created by the Council on the basis of Article 352 of the Treaty on the Functioning of the European Union, or by the Parliament and the Council (ordinary legislative procedure) on the basis of a specific Treaty provision. Currently, 35 decentralised agencies have been established on this basis, over a period of 40 years, and co-legislator negotiations are on-going on establishing the new Anti-Money Laundering Authority (AMLA) and on the set up of a European Union Centre to prevent and counter child sexual abuse (CSAM). Information on the latter is not yet included in Draft Budget 2024 which reflects the proposed setup as of 2025, in line with the Commission Proposal for a Regulation of the European Parliament and of the Council laying down rules to prevent and combat child sexual abuse (COM(2022)209).

Furthermore, three decentralised agencies have been set up to carry out very specific technical, scientific and management tasks within the framework of the Common Foreign and Security Policy (CFSP). However, these three² agencies fall outside the scope of this Working Document, as these agencies (including their financing) are entirely inter-governmental.

Tasks

The tasks of decentralised agencies are diverse, inter alia:

- Some decentralised agencies have the power to adopt binding rules and/or individual decisions with direct effect (so-called 'regulatory' agencies, such as EUIPO, *European Union Intellectual Property Office*);
- Other decentralised agencies provide assistance to the Commission, and where necessary to the Member States, in the interest of the Union, in the form of technical or scientific opinions and/or inspection reports (e.g. EFSA, *European Food Safety Authority*); whereas
- Other decentralised agencies focus on networking between competent national authorities and on organising cooperation between them in the interest of the Union with a view to gathering, exchanging and comparing information and good practices (e.g. ENISA, *European Union Agency for Cybersecurity*).

Creation

Decentralised agencies were created to meet specific needs on a case-by-case basis, starting from 1975, and mostly in two large 'waves', following the Brussels European Councils of October 1993 and December 2003. The locations ('seat') of the decentralised agencies are spread throughout the territory of the Union.

Governing structure

The decentralised agencies are governed by an Administrative Board, which as a rule is composed of representatives of all Member States, and which has the following key roles: defining the agency's operating guidelines within the legal framework established by the legislator; in particular, the Administrative Board is responsible for the adoption of the agency work programme and rules of procedure. Furthermore, the Board plays a central role in the adoption of the agency's budget, which is independent from the EU budget. As the decentralised agencies have a separate legal personality, their budgets are published separately in the EU Official Journal, under their own responsibility.

¹ This Working Document does not include information on administrative Offices (OP, OLAF, EPSO, PMO, OIB and OIL), as these do not have their own legal personality. More information on the Offices is given in DB Working Document VI (Administrative expenditure under Heading 7).

² European Defence Agency (EDA), European Union Institute for Security Studies (ISS) and European Union Satellite Centre (EUSC).

2.1.2 Decentralised agencies: budgetary and financial arrangements

Decentralised agencies in the EU budget

The EU budget includes the authorised EU contribution to the agencies and the agency establishment plans (except for fully self-financed agencies). These elements are thus approved by the European Parliament and Council with the adoption of the EU budget.

The EU contribution appears in the general budget, under the cluster concerned, on a dedicated budget line from operational appropriations. Accordingly, the amount of the EU contribution entered into the budget and the establishment plan are subject to the approval of the Budgetary Authority.

For decentralised agencies, since 2014, the dedicated agency budget line is no longer divided into two items, so as to reflect more clearly the unity of the EU contribution. Nonetheless, the structure of the agency budgets remains unchanged, in order to present the running costs of the agency (Titles 1 and 2) separately from the operational activities (Title 3).

Financing structure

Most decentralised agencies are funded entirely by contributions from the EU budget. Some agencies, however, depend fully or partially on other revenue, such as revenue received from industry (fees):

- Partially self-financed agencies: *European Aviation Safety Agency (EASA)*, *European Railway Agency (ERA)*; the *Agency for the Cooperation of Energy Regulators (ACER)*, *European Chemicals Agency (ECHA)*, *European Medicines Agency (EMA)* and the new proposed *Anti-Money Laundering Authority (AMLA)*;
- Fully self-financed agencies: *European Union Intellectual Property Office (EUIPO)*, *Community Plant Variety Office (CPVO)*, *Single Resolution Board (SRB)* and *Translation Centre for the Bodies of the European Union (CdT)*; and
- Agencies partially co-financed by national public authorities: *European Banking Authority (EBA)*, *European Insurance and Occupational Pensions Authority (EIOPA)* and *European Securities and Markets Authority (ESMA)*.

The financing structure as outlined above also has an impact on the annual budgetary procedure, in particular as regards three of the fully self-financed agencies: in the case of EUIPO, CPVO and SRB, the effective control over revenue, expenditure and staffing issues is exercised by their respective Boards, but not by Parliament and Council. As a consequence, this Working Document presents general information on EUIPO, CPVO and SRB in the overview tables for decentralised agencies; a less detailed budgetary financial statement is included for these self-financed bodies, reflecting the information received from these bodies.

Framework Financial Regulation

Article 70 of the General Financial Regulation³ lays down that the “Commission is empowered to adopt delegated acts in accordance with Article 269 of this Regulation to supplement this Regulation with a framework financial regulation for bodies which are set up under the TFEU and the Euratom Treaty and which have legal personality and receive contributions charged to the budget. The framework financial regulation shall be based on the principles and rules set out in this Regulation, taking into account the specificities of the bodies referred to in paragraph 1. The financial rules of the bodies referred to in paragraph 1 shall not depart from the framework financial regulation except where their specific needs so require and subject to the Commission’s prior consent”.

The Commission has revised the Framework Financial Regulation⁴, notably with a view to aligning the text with the new General Financial Regulation and to respond to certain new developments surrounding decentralised agencies. The revised version of the Framework Financial Regulation has entered into force on 1 January 2019.

Discharge procedure

The financing structure of agencies (whether or not they actually receive contributions from the EU budget) also has an impact on the discharge procedure applicable, as laid down in Article 70(4) of the General Financial Regulation: with the exception of three fully self-financed agencies⁵, all decentralised agencies are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

³ Regulation (EU, Euratom) No. 2018/1046 of 18 July 2018 on the financial rules applicable to the general budget of the Union.

⁴ Commission Delegated Regulation (EU) No 2019/715 of 18 December 2018, OJ L 122, 10.5.2019.

⁵ European Union Intellectual Property Office (EUIPO), Community Plant Variety Office (CPVO) and the Single Resolution Board (SRB) are subject to discharge of their governing boards only.

Single Programming Documents

Article 32 of the Framework Financial Regulation as revised in December 2018 requires agencies to prepare a ‘programming document’ containing multiannual and annual programming. This single programming document integrates previous documents such as annual work programmes, multiannual work programmes and the staff policy plan, with a view to providing a consolidated presentation of agency activities and resources needs. The Commission opinion on the single programming documents looks amongst others at the conformity of general staff policy with the Staff Regulations (including implementing rules), the Commission policy and the Commission guidelines, conformity with the multi-annual financial programming, justification of any additional posts on the basis of new tasks assigned by the legislative authority, workload indicators and past implementation, recruitment at basic grades and promotion rates.

Evaluations

In their joint statements of 13 July 2007, the European Parliament and the Council also requested the Commission to present information on ongoing and planned evaluations of decentralised agencies, each year at the October budgetary trilogue at the latest. The evaluation of decentralised agencies depends on the legal act applicable. Usually, the responsibility for these evaluations, which are often required every five years, lies with the agency's board. As a follow-up to the joint declaration of July 2007, information on agency evaluations has been included in the individual budgetary financial statements (Part I section 2.2.1).

Application of IIA point 27

Point 27 of the Inter-institutional Agreement of 16 December 2020 on budgetary discipline, cooperation in budgetary matters and on sound financial management foresees a procedure for the two arms of the budgetary authority (Parliament and Council) to assess the budgetary impact of the creation of new agencies and to arrive at a timely agreement on the financing of the agency, as proposed by the Commission. Before creating a new agency, the Commission shall present any proposal to the budgetary authority, including a legislative financial statement explaining the budget impact of the proposal. The Commission shall assist the legislator in assessing the proposal. Before the conclusion of the legislative proposal, the Commission shall present an updated legislative financial statement when necessary. Once an agreement has been reached during a trilogue, it shall be confirmed in a joint declaration and will be subject to approval by the European Parliament and the Council, each in accordance with its own rules of procedure.

The same procedure would be applied to any amendment to a legal act concerning an agency in question which would have an impact on the resources of the agency in question. Should the tasks of an agency be modified substantially without an amendment to the legal act setting up the agency in question, the Commission shall inform the European Parliament and the Council by means of a revised financial statement, so as to allow the European Parliament and the Council to arrive at a timely agreement on the financing of the agency.

2.2 Executive agencies

Firstly, this section recalls the reasons for the setting up of executive agencies, as part of the major Commission reform of 1999 / 2000. The section then provides an overview of the use of executive agencies under the 2021 -2027 multiannual financial framework, on the basis of the ‘delegation package’ adopted by the Commission in February 2021 after having received the positive opinion of the Council’s committee on executive agencies and after transmission to the Budgetary Authority for comments. This section then ends by providing an overview of the executive agencies in terms of budgetary arrangements, staffing and impact on the human resources of the Commission. Individual budgetary financial statements for all executive agencies are presented in Part II section 2.

2.2.1 Executive agencies: context of creation

In December 1999, the Commission undertook a major review of its externalisation policy⁶, in order to correct the shortcomings previously detected due to the poor control of some technical administrative support offices (TAOs, also known under the French acronym of BATs). Essentially, the guidelines aimed to re-focus the Commission's attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, and to define acceptable forms of externalisation, including a new type of implementing bodies: executive agencies.

The principle of executive agencies was already laid down in the recasting of the General Financial Regulation adopted by the Council on 25 June 2002 (Articles 54 and 55 of Regulation (EC, Euratom) No. 1605/2002). Council Regulation (EC) No. 58/2003 of 19 December 2002 ('the Framework Regulation') lays down the statute of executive agencies to which the Commission, under its own control and responsibility, may entrust certain tasks relating to the management of Union programmes' (Article 1 of the Framework Regulation). Furthermore, executive agencies have a 'Standard Financial Regulation' which applies to their own operating budget (Commission Regulation (EC) No. 1653/2004, as last amended by Commission Regulation (EC) No. 651/2008 of 9 July 2008).

The fundamental features of executive agencies are autonomy and dependence:

- Autonomy, as these bodies have their own legal personality and hence the ability to adopt legal acts (such as agreements, contracts and individual decisions) themselves when managing projects; they have their own operating budget and are subject to a separate discharge in respect of its implementation.
- Dependence, as these structures are set up only to perform the tasks entrusted by the Commission,
 - In accordance with strict rules determined by the Commission, laying down the tasks, the conditions and the arrangements for performing them in such a way as to comply with the objectives set by the Commission, and the institutional restrictions in the performance of the tasks, in particular the absence of any margin of discretion implying political choices;
 - With managing organs appointed by the Commission (steering committee and director), and administered, at least in part, by Commission staff, given that positions of responsibility are to be filled by EU officials on secondment; and
 - Under the control and responsibility of the Commission.

2.2.2 Executive agencies: set-up and impact on staffing

All six executive agencies are established for a limited time (the delegation package adopted in February 2021 extended the lifetime to 31 December 2028) and are fully operational as shown in the table below:

| Acronym | Name | Fully operational as from |
|---------|---|---------------------------|
| EACEA | European Education and Culture Executive Agency | 01/01/2006 |
| EISMEA | European Innovation Council and SMEs Executive Agency | 01/01/2006 |
| CINEA | European Climate, Infrastructure and Environment Executive Agency | 15/04/2008 |
| REA | European Research Executive Agency | 15/06/2009 |
| ERCEA | European Research Council Executive Agency | 15/07/2009 |
| HaDEA | European Health and Digital Executive Agency | 16/02/2021 |

⁶ Guidelines for the Commission's externalisation policy; Communication of Mrs Schreyer and Mr Kinnock, SEC (1999) 2051, 14 December 1999.

In this context, 'fully operational' is defined as 'full operational and accounting autonomy', in terms of both management of operational programmes and management of the operating budget of the executive agency. However, executive agencies are already operational before full autonomy is granted, for instance in the launch of the call for proposals. The time needed to plan and set up executive agencies is substantial, due to the need for drafting and getting the delegation instrument agreed, implementing internal control standards, putting in place robust financial circuits, recruiting temporary or contract agents and seconding officials. A key step in this regard is the appointment of the Director of the agency, after which the recruitment of agency personnel can start.

The Framework Regulation 58/2003 (Art. 25) also requires the Commission to draw up an external evaluation report on the first three years of operation of each executive agency. This evaluation, which has to include a cost-benefit analysis, is to be submitted to the steering committee of the executive agency, to the European Parliament, to the Council and to the Court of Auditors. The evaluation then has to be repeated every three years, under the same conditions. More details on external evaluations of executive agencies are given in the budgetary financial statements (section 6.2 of the individual budgetary statements of part II, section 2).

2.2.3 *Executive agencies: location and staffing*

In accordance with the Framework Regulation 58/2003 (Art. 5 § 1), executive agencies are located at the place where the Commission and its departments are located. In practice, this means that the executive agencies are located in Brussels.

Executive agency personnel consists of two main groups, with different characteristics and different recruitment procedures applicable:

- Temporary Agents, either Commission officials seconded to positions of responsibility, or external temporary agents recruited by the agency;
- Contract Agents and Seconded National Experts, recruited by the agency.

As foreseen in the Framework Regulation 58/2003, the creation of executive agencies has a two-fold impact on the Commission human resources:

- 'Freed' posts (Art. 13 § 6c): tasks transferred from Commission services to executive agencies free Commission resources.
- 'Frozen' posts (Art. 18 § 2b): in accordance with Article 38.c of the Staff Regulations, the posts of Commission officials seconded to executive agencies remain vacant in the Commission during their secondment (i.e., the posts are 'frozen'). A corresponding number of posts are without budgetary coverage in the establishment plan of the Commission. This generates a corresponding reduction of administrative appropriations in the Commission budget.

Essentially, the question whether and to what extent the creation of an executive agency leads to freed and frozen posts depends on whether the executive agency takes over the responsibility for implementing a programme from the Commission services; and whether the budget to be managed for a given programme grows significantly, as compared to the past.

2.2.4 *Executive agencies: 2021-2027 multiannual financial framework*

On 12 February 2021, the Commission adopted the decision⁷ establishing the new generation of executive agencies and the corresponding Commission delegating decisions setting out the major components of the mandate and tasks entrusted to them. The effective date of the delegation of tasks to the new generation of executive agencies was 1 April 2021. This delegation package reflects that, based on the successful experience in previous multiannual financial frameworks, the Commission has decided to make greater use of executive agencies for the implementation of the new EU programmes. The Commission has designed the portfolios of the six executive agencies to ensure a strong thematic focus and close alignment with the headline ambitions of the Commission. The new portfolios ensure that each of the executive agencies is of sufficient size to operate efficiently, and allow synergies between EU programmes to be exploited more fully.

The delegation package determined also the necessary staffing levels for each programme and executive agency. These staffing levels were based on the expected delegated budget that will be managed by each of executive agencies and

⁷ Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L 50, 15.2.2021, p. 9).

productivity targets. By ensuring consistency with the staffing levels of the delegation package, the Commission will monitor that these productivity targets and cost savings are reached, as presented in the cost-benefit analysis of the delegation package.

By adding up the programmes financed outside the EU budget and delegated to the executive agencies (i.e. including the Innovation Fund, the Renewable Energy Financing Mechanism and the Just Transition Mechanism 3rd Pillar), the delegation package results in an expected increase of the budget managed by executive agencies in 2021-2027 of 49% in constant prices compared to 2014-2020. The corresponding increase of staff is planned to be 29% to 3 429 FTEs in 2027. The proposed change in staffing for 2022 and the corresponding reduction in reduction of human resources in the Commission is highlighted in section part II, section 1 and in the individual budgetary financial statements of part II.

2.3 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

2.3.1 The European Institute of Innovation and Technology (EIT)

Legal base of EIT

The draft budget request for the EIT is consistent with the budgetary envelope set out in the Horizon Europe programme⁸ and the overall financial programming of Horizon Europe. The legal basis to continue operating the EIT is provided in the EIT Regulation and a New European Innovation Agenda for the EIT published on 5 July 2022 (COM(2022) 332 final)⁹.

Tasks

In short, the task of EIT is to reinforce the innovation capacity of the Union and the Member States, by bringing together the best actors operating in the 'knowledge triangle' (higher education, research and innovation).

Creation

The EIT has been created in 2008 (Regulation 294/2008), adopted on the basis of Article 173 TFEU (ordinary legislative procedure).

Governing structure

In terms of budgetary and financial arrangements, EIT follows largely the example of the decentralised agencies as described in section 2.1.2 above. This applies in particular as regards:

- The inclusion of information in the EU budget;
- The budget structure: one article, plus (unlike the decentralised agencies) one article for completion;
- The application of the Framework Financial Regulation (albeit with some derogations, in view of the special character of EIT);
- The single programming document;
- The discharge procedure;
- The principle of periodic evaluations.

EIT has become financially autonomous in June 2011.

2.3.2 Joint undertakings (JU)

Legal bases/ creation

Essentially, joint undertakings are set up to attract public and private knowledge and capital, as public-private partnerships and public-public partnerships with Member States in key areas where research and development could contribute to Europe's wider competitiveness goals and where traditional instruments are not adequate. The bodies will lead to the formation of wide partnerships that will involve a large number of players from industry, including notably SMEs, the research community and wider society.

The legal bases for the first generation of joint undertakings and joint technology initiatives were adopted in 2007 and 2008, and were amended in May 2014 (BBI, IMI2, Clean Sky2, FCH2 and ECSEL) and June 2014 (SESAR2 and S2R).

⁸ Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013

⁹ COM(2022) 332 final

On 23 February 2021, the Commission tabled its main proposal¹⁰ for the new generation of joint undertakings under Horizon Europe. The proposal was adopted¹¹ by the Council on 19 November 2021 and covers nine institutionalised European partnerships based on Article 187 TFEU, some of which are building on joint undertakings that already existed in the 2014-2020 Multiannual Financial Framework:

1. **Innovative Health Initiative** (predecessor: IMI2), to create an EU-wide health research and innovation ecosystem that facilitates the translation of scientific knowledge into tangible innovations, from prevention to disease management. It will contribute to reaching the objectives of Europe's Beating Cancer Plan, the new Industrial Strategy for Europe and the Pharmaceutical Strategy for Europe;
2. **Global Health EDCTP3** (new), to deliver new solutions for reducing the burden of infectious diseases in sub-Saharan Africa, and strengthen research capacities to prepare and respond to re-emerging infectious diseases in sub-Saharan Africa and across the world;
3. **Chips** (renamed from Key Digital Technologies, predecessor: ECSEL), to support the digital transformation of all economic and societal sectors and the European Green Deal, as well as support research and innovation towards the next generation of microprocessors, and help boost competitiveness and Europe's technological sovereignty. As part of the European Chips Act¹², the Commission proposed on 8 February 2022 that the Chips joint undertaking steps up its efforts to combine the financial and technical means that are essential to master the escalating pace of innovation in the semiconductor arena, generate important spill-overs for society, and share risk-taking by federating strategies and investments towards a common European interest. The European Parliament and the Council reached a provisional agreement on this initiative on 18 April 2023;
4. **Smart Networks and Services** (new), to support technological sovereignty for smart networks and services in line with the new industrial strategy for Europe, the new EU Cybersecurity Strategy and the 5G Toolbox. It aims to help resolve societal challenges and to enable the digital and green transition, as well as support technologies that will contribute to the economic recovery;
5. **Single European Sky ATM Research 3** (predecessor: SESAR2), to accelerate the technological transformation of air traffic management in Europe, aligning it to the digital age, to make the European airspace the most efficient and environmentally friendly and to support the competitiveness and recovery of Europe's aviation sector post-COVID19;
6. **Clean Aviation** (predecessor: Clean Sky2), to develop the next generation of ultra-efficient low-carbon aircraft, with novel power sources, engines, and systems, improving competitiveness and employment in the aviation sector that will be especially important for the recovery;
7. **Europe's Rail** (predecessor: Shift2Rail), to speed up the development and deployment of innovative technologies, especially digital and automation ones, to achieve the radical transformation of the rail system and deliver on the European Green Deal objectives. By improving competitiveness, it will support European technological leadership in rail;
8. **Clean Hydrogen** (predecessor: FCH2), to accelerate the development and deployment of a European value chain for clean hydrogen technologies. It will focus on producing, distributing and storing clean hydrogen and, on supplying sectors that are hard to decarbonise, such as heavy industries and heavy-duty transport applications;
9. **Circular Bio-based Europe** (predecessor: BBI), to develop and expand the sustainable sourcing and conversion of biomass into bio-based products and to support the deployment of bio-based innovation at regional level with the active involvement of local actors and with a view to reviving rural, coastal and peripheral regions.

These nine joint undertakings established only under Horizon Europe come in addition to two entities that may receive a contribution from other programmes of the EU budget:

10. **EuroHPC JU** was created in 2018 to provide a framework for acquisition and access to an integrated world-class pre-exascale supercomputing and data infrastructure in the Union and support the development of latest High Performance Computing and Data Infrastructure technologies and its applications. The joint undertaking became financially autonomous in September 2020. The Commission's proposal¹³ to continue the EuroHPC JU activities under the 2021-2027 programmes (Horizon Europe, Digital Europe Programme and the Connecting Europe Facility) was adopted¹⁴ by the Council on 13 July 2021.

¹⁰ COM(2021) 87 final.

¹¹ Council Regulation (EU) 2021/2085.

¹² COM(2022) 45 final.

¹³ COM(2020) 569 final.

¹⁴ Council Regulation (EU) 2021/1173.

11. **European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)** was adopted¹⁵ on 20 May 2021 by the European Parliament and the Council, based on the Commission's proposal of September 2018¹⁶, to stimulate the European cybersecurity technological and industrial ecosystem to coordinate and pool relevant resources in the EU (including contributions from the EU budget under the Digital Europe Programme and Horizon Europe). The ECCC shall adopt its specific financial rules in accordance with Article 70 of the Financial Regulation.

As a principle, the levels of appropriations and human resources proposed for 2024 are in line with the joint undertakings' respective legal basis and largely based on the estimates provided in the legislative financial statements accompanying the Commission proposals. The most significant deviation compared to the Single Basic Act affects the ex-Key Digital Technologies joint undertaking, which the Commission has proposed – in the context of the European Chips Act – to rename Chips joint undertaking and to significantly reinforce with a larger contribution from Horizon Europe and a new contribution from the Digital Europe Programme. Other deviations consist, for example, in EUR 37,5 million loan from Clean Aviation to Clean Hydrogen and EUR 20 million of backloading of Innovative Health and Global health joint undertakings (these changes in 2024 are offset in the financial programming of future years). When relevant (i.e. when the joint undertaking succeeds to an existing entity), the appropriations and staff for 2024 also provide for the completion of the activities launched under Horizon 2020.

In addition to the information contained in the budgets of the joint undertakings, this Working Document also includes detailed information on these bodies, such as their authorised establishment plans.

Financing structure

The joint undertakings are typically funded by contributions from the EU budget on the one hand and public / private parties on the other (the latter both in appropriations and in kind). This concerns both the operational expenditure and the running costs of the bodies, such as staff and other administrative expenditure.

Model Financial Regulation and Framework Financial Regulation

The Model Financial Regulation applies to bodies established under Article 71 of the Financial Regulation i.e. all the above-mentioned joint undertakings except the European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)¹⁷.

The Framework Financial Regulation applies to bodies established under Article 70 of the Financial Regulation¹⁸ (i.e. only to the ECCC in the list above). This means that 'the financial rules of [the ECCC] may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'.

Discharge procedure

The bodies established under Article 70 of the Financial Regulation are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

The bodies established under Article 71 of the Financial Regulation are also subject to a discharge procedure as provided for in their respective founding Regulations.

Single Programming Documents

Single Programming Documents are drawn up for the bodies established under Article 70 of the Financial Regulation. They contain multiannual and annual programming. The multiannual programme shall set up overall strategic programming including objectives, expected results and performance indicators and resource programming, including multiannual budget and staff.

Evaluations

The evaluation of the joint undertakings depends on the legal act applicable, bearing in mind also that they are set up for a limited period (typically until 2031, with the exception of ITER – F4E, see 2.2). Information on evaluations of joint undertakings is included in the individual budgetary financial statements (Part II section 2.2).

2.4 Bodies having legal personality created in the field of research and innovation under the Euratom Treaty

¹⁵ Regulation (EU) 2021/887.

¹⁶ COM(2018)630 final.

¹⁷ While Sesar2 was established under Article 70 of the Financial Regulation, its successor Single European Sky ATM Research 3 falls under Article 71.

¹⁸ The same article of the Financial Regulation applies to decentralised agencies.

2.4.1 Fusion4Energy (F4E)

Tasks

Fusion for Energy (F4E) is the Joint Undertaking to implement an international agreement on the development of Fusion Energy.

Fusion is expected to play an important role in Europe's future energy landscape as a virtually inexhaustible climate-friendly energy source. The ITER project aims at key scientific breakthroughs in the development of fusion.

European participation in ITER project is based on the ITER Agreement signed in November 2006 between seven global partners, Euratom, the United States, the Russian Federation, Japan, China, South Korea and India. ITER represents a unique first-of-a-kind project developed through international scientific collaboration, which allows for the synergies and mobilisation of financial resources that would not be possible for a single state.

The overall architecture of the ITER project calls for contracting parties to operate through Domestic Agencies. Europe is the Host Party of the project according to the ITER Agreement. The establishment of a Fusion for Energy Joint Undertaking under Chapter 5 of the Euratom Treaty was considered in 2007 as the most appropriate solution to operate this role of Domestic Agency and to discharge the Euratom obligations towards the ITER Organization pursuant to the conclusion of the ITER Agreement.

Legal bases/creation

The legal basis for the Fusion for Energy JU has been adopted on 16 March 2007. It establishes the JU for a period of 35 years starting from 19 April 2007. The amendment proposed by the Commission in June 2018¹⁹ to provide for the funding under MFF 2021-2027 was adopted on 22 February 2021²⁰.

Financing structure

The funding of F4E comes from Euratom contribution, French contribution and Members contributions.

Framework Financial Regulation and Model Financial Regulation

F4E is a body established under Article 70 of the Financial Regulation and the Framework Financial Regulation therefore applies to it. This means that 'the financial rules of F4E may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'.

Discharge procedure

In accordance with Article 70(4) of the Financial Regulation, F4E is subject to discharge for the budget implementation by the European Parliament on the recommendation of the Council.

Single Programming Document

Single Programming Documents are drawn up for F4E as it is a body established under Article 70 of the Financial Regulation.

Evaluations

For the period of funding 2021-2027, an interim evaluation is foreseen to take place no later than 2024, and a final evaluation no later than four years after end of funding period. Information on evaluations of F4E JU is included in the individual budgetary financial statements (Part II section 2.2).

¹⁹ Proposal for a Council Decision amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it COM(2018) 445 of 7 June 2018.

²⁰ Council Decision (Euratom) 2021/281 of 22 February 2021 amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it

2.5 Types of EU bodies: comparison table

| | Decentralised agencies | Joint undertakings and EIT | Executive agencies |
|--|--|--|---|
| Number of EU bodies included in DB 2024 | 35 established decentralised agencies and 2 proposed (Anti Money Laundering Authority, Centre to prevent and counter child sexual abuse) | 12 joint undertakings (of which 2 established under Art.70 FR and 10 under Art.71) + EIT | 6 executive agencies |
| Legal base | Dedicated basic acts – currently, 35 decentralised agencies established by Council (Art. 352 TFEU) or Parliament and Council (ordinary legislative procedure) and 2 proposed | Dedicated basic acts – Euratom: ITER – F4E – Council Decision / Regulation (Art. 187, 188 TFEU): IMI3, FCH3, Clean Sky3, Chips (ex-KDT), BBI2, Shift2Rail2, SESAR3, SNS, GlobalHealth and EuroHPC – Ordinary legislative procedure (Art. 173 TFEU): EIT, ECCC | Basic act for the operational programme whose implementation they support Commission decision in order to delegate the implementation of all or part of an EU programme(s) |
| Financial Regulation | Framework Financial Regulation for the bodies referred to in Article 70 of the General Financial Regulation | – Framework Financial Regulation for the bodies established under Article 70 of the Financial Regulation (ECCC, F4E, EIT); – Model Financial Regulation for the bodies established under Article 71 of the Financial Regulation | Standard Financial Regulation for Executive Agencies |
| Tasks | – Power to adopt binding rules and/or individual decisions with direct legal effect – Provide assistance to the Commission and where necessary to the Member States in the interest of the Union in the form of technical or scientific opinions and/or inspections reports – Focus on networking between national competent authorities and on organising between them in the interest of the Union with a view of gathering, exchanging and comparing information and good practices | – Efficient execution of EU research, technological development and demonstration programmes – Reinforce innovation capacity of the Union and the Member States | Tasks in the management of EU programmes – executive and operational tasks, not involving 'political choices' |
| Transfer of Commission tasks | In general, no | Partially yes (but pooling with other actors) | Yes (certain implementation tasks), often combined with growing programmes |
| Procedure for creation | | | Reference in the explanatory memorandum of the proposal for the basic act of the programme to be managed |
| Preparatory actions | Prior to Commission proposal: impact assessment study and ex-ante evaluation | Prior to Commission proposal: impact assessment study and ex-ante evaluation | Cost-benefit analysis prior to Commission decision covering, in accordance with Art 3§1 of Regulation 58/2003, factors such as identification of tasks justifying outsourcing, cost of coordination & checks, impact on HR, possible savings within the general budgetary framework, efficiency and flexibility in the implementation of tasks outsources, simplification of the procedures used, proximity of outsourced activities to final beneficiaries, visibility of the Union as promoter of the EU programme concerned and the need to maintain an adequate level of know-how inside the Commission |
| Involvement of the Budgetary Authority | Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 27 | Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 27 | Needs approval from the Committee for Executive Agencies (CREA) and prior information of the budget authority (code of conduct convened with the Parliament as revised in 2007) |
| Budget – financing structure | Most decentralised agencies: EU funded EASA, ERA ACER, AMLA and EMA: combination of EU contributions and self-financing (fees) EUIPO, CPVO, SRB and CdT: fully self-financed (fees) EBA, EIOPA and ESMA: partially co-financed by national public authorities | – Joint undertakings: funding from Horizon Europe (plus CEF and Digital Europe for EuroHPC, and Digital Europe for Chips and ECCC) together with other external sources (partially in kind) – F4E: funding from ITER programme (there is also members contributions and ITER Host State contribution) – EIT: funding from Horizon Europe | Fully subsidised as a part of the financial envelope (administrative expenditure) of the programmes delegated to the executive agency. |

PART I – DECENTRALISED AGENCIES

1 MAIN BUDGETARY TRENDS

This section on 'main budgetary trends' starts with a historical table (section 1.1) showing the evolution, over the period 2015 - 2022, of decentralised agency budget contributions and staff (authorised establishment plans). The historical table is followed by a method for determining the EU contribution to the agencies, taking into account agency assigned revenues and cancellation of appropriations in the budgets of the agencies (section 1.2) and the presentation of the EU contribution and staffing level for 2024 by heading (section 1.4.3). Finally, this part I presents overview tables on Commission budget implementation for decentralised agencies in 2022 (section 1.4) and on staffing levels (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2022, section 1.5).

1.1 Historical tables —2015-2022

Tables below show, by financial framework heading, the historical evolution of the decentralised agencies over the period 2015-2022, in terms of implemented EU budget contribution to and authorised staff levels of the agencies. The EU contribution reflects the commitments made from the final adopted budget (including transfers) and from assigned revenue, minus the assigned revenue carried over to the next year. These historical tables should be seen as a complement to the overview tables in 1.4 below, which show the budgeted and proposed EU contribution and staff levels for the years 2023 and 2024.

1.1.1 Historical table 2015-2022: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital
(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|---|--|------------------------------|------------------|--|-----------------------|-----------------------|-----------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| European Aviation Safety Agency (EASA) <i>Authorised establishment plan</i> | 02 10 01 (06 02 02) | Köln | 2002 | 35,4 679 | 36,5 680 | 39,6 680 | 39,1 681 |
| European Maritime Safety Agency (EMSA) Of which anti-pollution measures <i>Authorised establishment plan</i> | 02 10 02 (06 02 03) (06 02 03 02) | Lisbon | 2002 | 53,21 21,2 207 | 78,28 25 212 | 83,5 212 | 82,7 212 |
| European Union Agency for Railways (ERA) <i>Authorised establishment plan</i> | 02 10 03 (06 02 04) | Lille <i>Valenciennes</i> | 2004 | 26 137 | 28,1 148 | 27,7 151 | 26,2 154 |
| European Union Agency for Network and Information Security (ENISA) <i>Authorised establishment plan</i> | 02 10 04 (09 02 03) | Athens | 2004 | 9,4 48 | 20,4 69 | 22,5 76 | 22,9 82 |
| Body of European Regulators for Electronic Communications (BEREC) — Office <i>Authorised establishment plan</i> | 02 10 05 (09 02 04) | Riga | 2009 | 4 15 | 7 16 | 7,2 16 | 7,3 16 |
| Agency for the Cooperation of Energy Regulators (ACER) <i>Authorised establishment plan</i> | 02 10 06 (32 02 10) | Ljubljana | 2009 | 10,5 54 | 17 71 | 13,3 71 | 14,5 76 |
| European Chemicals Agency (ECHA) — Chemicals legislation <i>Authorised establishment plan</i> | 03 10 01 01 (02 03 03) | Helsinki | 2006 | p.m. 431 | 60,7 404 | 61,2 404 | 64,1 404 |
| European Chemicals Agency (ECHA) — Activities in the field of biocides legislation <i>Authorised establishment plan</i> | 03 10 01 02 (17 04 07) | Helsinki | 2011 | 6 47 | 7,2 52 | 9,3 52 | 8,1 52 |
| European Banking Authority (EBA) <i>Authorised establishment plan</i> | 03 10 02 (12 02 04) | Paris | 2010 | 15,5 120 | 17 154 | 18,5 162 | 18,3 183 |
| European Insurance and Occupational Pensions Authority (EIOPA) <i>Authorised establishment plan</i> | 03 10 03 (12 02 05) | Frankfurt | 2010 | 8,2 90 | 10,3 127 | 12 138 | 12,9 144 |
| European Securities and Markets Authority (ESMA) <i>Authorised establishment plan</i> | 03 10 04 (12 02 06) | Paris | 2010 | 12,1 137 | 15,4 226 | 17,9 250 | 16,3 243 |
| Anti-Money Laundering Authority AMLA <i>Authorised establishment plan</i> | 03 10 05 | | 2023 | | | | |
| European Union Agency for the Space Programme (EUSPA) <i>Authorised establishment plan</i> | 04 10 01 (02 05 11) | Prague | 2004 | 26,3 102 | 35,4 150 | 57,1 191 | 68,3 231 |
| Total decentralised agencies – heading 1 <i>Authorised establishment plan</i> | | | | 206,6 2 067 | 333,4 2 309 | 370,0 2 403 | 380,8 2 478 |

1.1.2 Historical table 2015-2022: Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|---|---|--------------|------------------|--|------------------------------|------------------------------|------------------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| European Centre for Disease Prevention and Control (ECDC) <i>Authorised establishment plan</i> | 06 10 01 (17 03 10) | Stockholm | 2004 | 53,4 190 | 59,4 180 | 166,4 208 | 90,5 215 |
| European Food Safety Authority (EFSA) <i>Authorised establishment plan</i> | 06 10 02 (17 03 11) | Parma | 2002 | 78,8 337 | 102,6 345 | 128,7 380 | 145,9 405 |
| European Medicines Agency (EMA) Of which special contribution for orphan medicinal products <i>Authorised establishment plan</i> | 06 10 03 01 (17 03 12) 06 10 03 02 | Amsterdam | 1993 | 21,2 13,2 599 | 54,5 11,4 596 | 54,0 14 657 | 40,2 14 662 |
| European Foundation for the Improvement of Living and Working Conditions (Eurofound) <i>Authorised establishment plan</i> | 07 10 01 (04 03 11) | Dublin | 1975 | 20,4 97 | 20,9 91 | 21,6 91 | 21,8 91 |
| European Agency for Safety and Health at Work (EU-OSHA) <i>Authorised establishment plan</i> | 07 10 02 (04 03 12) | Bilbao | 1994 | 14,8 42 | 15,7 40 | 15,9 40 | 15,7 40 |
| European Centre for the Development of Vocational Training (Cedefop) <i>Authorised establishment plan</i> | 07 10 03 (04 03 13) | Thessaloniki | 1975 | 17,2 96 | 17,5 91 | 17,8 91 | 18,2 91 |
| European Union Agency for Fundamental Rights (FRA) <i>Authorised establishment plan</i> | 07 10 04 (33 02 06) | Vienna | 2007 | 21,1 73 | 23,2 72 | 23,8 72 | 23,6 72 |
| European Institute for Gender Equality (EIGE) <i>Authorised establishment plan</i> | 07 10 05 (33 02 07) | Vilnius | 2006 | 7,5 29 | 7,5 27 | 8,6 27 | 8,0 27 |
| European Training Foundation (ETF) <i>Authorised establishment plan</i> | 07 10 06 (04 03 14) | Turin | 1990 | 20,1 92 | 20,6 86 | 21,2 86 | 21,4 86 |
| The European Union's Judicial Cooperation Unit (Eurojust) <i>Authorised establishment plan</i> | 07 10 07 (33 03 04) | The Hague | 2002 | 33,2 205 | 41,5 207 | 53 207 | 45,8 210 |
| European Public Prosecutor's Office (EPPO) <i>Authorised establishment plan</i> | 07 10 08 (33 03 05) | Luxembourg | 2019 | | 11,7 58 | 34,3 95 | 57,1 171 |
| European Labour Authority (ELA) <i>Authorised establishment plan</i> | 07 10 09 (04 03 15) | Bratislava | 2019 | | 11,7 20 | 23,7 38 | 34,7 57 |
| Total decentralised agencies – heading 2 <i>Authorised establishment plan</i> | | | | 287,7 1 760 | 386,9 1 813 | 568,8 1 992 | 522,8 2 127 |

1.1.3 *Historical table 2015-2022: Decentralised agencies of Heading 3 - Natural Resources and Environment*

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|--|-------------------------------|------------|------------------|--|---------------------------|---------------------------|---------------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| European Fisheries Control Agency (EFCA) <i>Authorised establishment plan</i> | 08 10 01 (11 06 64) | Vigo | 2005 | 9,3 52 | 16,3 61 | 20,4 65 | 28,7 77 |
| European Chemicals Agency (ECHA) — Environmental directives and International conventions <i>Authorised establishment plan</i> | 09 10 01 (07 02 05) | Helsinki | 2012 | 1,2 6 | 3 7 | 5,2 11 | 4,7 11 |
| European Environment Agency (EEA) <i>Authorised establishment plan</i> | 09 10 02 (07 02 06) | Copenhagen | 1990 | 36,2 133 | 42,6 130 | 49,4 140 | 49,4 150 |
| Total decentralised agencies – heading 3 <i>Authorised establishment plan</i> | | | | 46,7 191 | 61,9 198 | 75,0 216 | 82,9 238 |

1.1.4 *Historical table 2015-2022: Decentralised agencies of Heading 4 - Migration and Border Management*

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|---|-------------------------------|------------------------|------------------|--|------------------------------|------------------------------|--------------------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| European Asylum Support Office (EASO) <i>Authorised establishment plan</i> | 10 10 01 (18 03 02) | Valletta | 2010 | 15,4 89 | 106 366 | 134,1 366 | 153,7 371 |
| European Border and Coast Guard Agency (Frontex) <i>Authorised establishment plan</i> | 11 10 01 (18 02 03) | Warsaw | 2004 | 133,5 227 | 327,3 1 050 | 454,3 1 050 | 692,8 1 300 |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu- LISA') <i>Authorised establishment plan</i> | 11 10 02 (18 02 07) | Tallin – Strasbourg | 2011 | 67,6 120 | 236,5 202 | 209,7 213 | 316,0 215 |
| Total decentralised agencies – heading 4 <i>Authorised establishment plan</i> | | | | 216,5 436 | 669,8 1 618 | 798,1 1 629 | 1 162,5 1 886 |

1.1.5 *Historical table 2015-2022: Decentralised agencies of Heading 5 – Security and Defence*

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|---|-------------------------------|-----------|------------------|--|--------------------------|----------------------------|----------------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| European Union Agency for Law Enforcement Cooperation (Europol) <i>Authorised establishment plan</i> | 12 10 01 (18 02 04) | The Hague | 1995 | 94 483 | 145,7 615 | 163,9 615 | 189,0 686 |
| European Union agency for law enforcement training (CEPOL) <i>Authorised establishment plan</i> | 12 10 02 (18 02 05) | Budapest | 2005 | 7,9 27 | 7,1 33 | 9,4 33 | 10,1 33 |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i> | 12 10 03 (18 06 02) | Lisbon | 1993 | 14,8 80 | 16,2 76 | 16,5 76 | 16,8 76 |
| Total decentralised agencies – heading 5 <i>Authorised establishment plan</i> | | | | 116,7 590 | 169 724 | 189,8 724 | 215,9 795 |

1.1.6 *Historical table 2015-2022: Decentralised agencies of Heading 7 – Administration*

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|--|-------------------------------|------------|------------------|--|---------------------------|---------------------------|---------------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| Translation Centre for the Bodies of the European Union <i>Authorised establishment plan</i> | 20 10 01 (31 01 10) | Luxembourg | 1994 | p.m. 200 | p.m. 193 | p.m. 193 | p.m. 193 |
| Total decentralised agencies – heading 7 <i>Authorised establishment plan</i> | | | | p.m. 200 | p.m. 193 | p.m. 193 | p.m. 193 |

1.1.7 *Historical table 2015-2022: Fully self-financed decentralised agencies*

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|--|-------------------|----------|------------------|--|-----------------------------|-----------------------------|-----------------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| European Union Intellectual Property Office (EUIPO) <i>Authorised establishment plan</i> | (12 03 01) | Alicante | 1993 | p.m. 827 | p.m. 774 | p.m. 925 | p.m. 1 172 |
| Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i> | (17 04 05) | Angers | 1994 | p.m. 46 | p.m. 43 | p.m. 45 | p.m. 48 |
| Single Resolution Board (SRB) <i>Authorised establishment plan</i> | (12 02 07) | Brussels | 2014 | 3.3 122 | p.m. 400 | p.m. 450 | p.m. 450 |
| Total decentralised agencies - self-financed agencies <i>Authorised establishment plan</i> | | | | 3.3 995 | p.m. 1 217 | p.m. 1 420 | p.m. 1 670 |

1.1.8 *Historical table 2015-2022: Total decentralised agencies*

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | |
|--|-------------|----------|------------------|--|------------------|------------------|------------------|
| | | | | 2015 | 2020 | 2021 | 2022 |
| Total decentralised agencies <i>Authorised establishment plan</i> | | | | 887,5 6 239 | 1 620,9 8 072 | 2 001,7 8 577 | 2 364,9 9 387 |
| Total decentralised agencies (excl. Fully self-financed agencies) <i>Authorised establishment plan</i> | | | | 887,5 5 244 | 1 620,9 6 855 | 2 001,7 7 157 | 2 364,9 7 717 |

1.2 Determining the EU contribution: cancellation of appropriations and assigned revenue

In the 2024 draft budget, the Commission continues to deduct assigned revenues stemming from the recovery of decentralised agency surpluses for the year 2022 from the 2024 EU contribution to the agencies in question, so as to adjust the need for ‘fresh appropriations’ entered in the 2024 DB accordingly²¹.

Moreover, when assessing the decentralised agency’s needs for the financial year 2024, the Commission has taken into account the cancellation of commitments and payments (including on payment appropriations carried over from 2021) in 2022. In doing so, the Commission responds to the requirements of the Framework Financial Regulation, as revised in 2019²².

The question whether the cancellation of agency appropriations for the year 2022 was relatively large, has been assessed as follows:

- For under-execution of commitment appropriations of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2022 surplus).
- For cancellation of payment appropriations (including payment appropriations carried over from 2021) of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2022 surplus).
- For both under-execution of commitment appropriations and cancellation of payment appropriations (including payment appropriations carried over from 2021) of more than 5 %, a reduction of 4 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2022 surplus).

Based on this assessment, penalties could be applied as follows:

- 2 % reduction for under-execution of commitment appropriations of more than 5 %
- 2 % reduction for cancellation of payment appropriations of more than 5 %
- 4 % reduction for both under-execution of commitment appropriations and cancellation of payment appropriations of more than 5 %

Following the Commission’s in-depth assessment of the decentralised agencies’ execution rates in 2022 and the prevalent macro-economic and geopolitical circumstances which might have impacted these execution rates, the Commission proposes not to apply a penalty in DB 2024.

1.3 EU contribution and staffing level for 2024

The draft budget request for decentralised agencies is based on a thorough assessment of the resources’ needs of each of the agencies, both for the EU contribution and staffing levels.

Against the backdrop of the indicative amounts for the agencies by policy cluster in the 2021-2027 multiannual financial framework, the draft budget takes into account new or ongoing proposals to extend the mandates of certain agencies, as set out below. The reinforcements requested for specific agencies reflect the decisions of the European Parliament and the Council as legislator, typically to pool tasks at Union level that were previously performed by the Member States, resulting in better coordination and harmonisation.

High inflation has fed into salary adjustments which could not be covered within the annual 2% indexation of the EU subsidy as foreseen at the time of the MFF agreement. The limited reinforcement of the agencies’ EU subsidy in Budget 2023 is therefore carried-forward (indexed with 2%) in DB 2024. No further across-the-board increases are proposed in DB 2024.

The proposed level of the EU contribution is EUR 2 698 million, of which 2 558 million covered by 2024 appropriations. The proposed level of establishment plan posts, excluding fully self-financed agencies and the CdT is 8 157. Overall, this exercise results in a EUR 103,7 million increase in expenditure compared to the 2023 authorised budget, and an increase by 398 posts in the establishment plans for the decentralised agencies excluding fully self-financed agencies and the CdT.

²¹ As foreseen in Art Article 17 paragraph 1 of the Commission delegated Regulation (EU) 2019/715

²² OJ L 122, 10.5.2019.

The total staffing of all the decentralised agencies combined (excluding the CdT and the fully fee financed agencies i.e. EUIPO, SRB, CVPO) foreseen for 2024 would be as follows:

| <i>Authorised establishment plan (posts)</i> | | <i>Contract Agents²³</i> | | <i>Seconded National Experts²³</i> | | <i>Total Staff (FTE)</i> | |
|--|-------------|-------------------------------------|-------------|---|-------------|--------------------------|-------------|
| 2023 | 2024 | 2023 | 2024 | 2023 | 2024 | 2023 | 2024 |
| 7 759 | 8 157 | 3 056 | 3 287 | 749 | 762 | 11 564 | 12 206 |

A breakdown for the agencies with a change in staffing can be seen below:

| | <i>Voted Budget 2023</i> | | | | <i>Draft Budget 2024</i> | | | | <i>Delta Total 2024-2023 (B - A)</i> |
|----------|--------------------------|-----|-----|------------------|--------------------------|------|-----|------------------|--------------------------------------|
| | TA | CA | SNE | Total (A) | TA | CA | SNE | Total (B) | |
| EASA | 682 | 106 | 24 | 812 | 683 | 106 | 24 | 813 | 1 |
| ACER | 96 | 38 | 10 | 144 | 107 | 39 | 10 | 156 | 12 |
| EBA | 184 | 50 | 19 | 253 | 189 | 52 | 19 | 260 | 7 |
| ESMA | 263 | 82 | 30 | 375 | 268 | 84 | 30 | 382 | 7 |
| AMLA | 8 | 10 | 0 | 18 | 35 | 10 | 3 | 48 | 30 |
| EUSPA | 267 | 38 | 15 | 320 | 270 | 39 | 15 | 324 | 4 |
| ECDC | 222 | 126 | 5 | 353 | 225 | 128 | 5 | 358 | 5 |
| EMA | 682 | 203 | 30 | 915 | 691 | 203 | 45 | 939 | 24 |
| EIGE | 27 | 10 | 5 | 42 | 27 | 12 | 5 | 44 | 2 |
| Eurojust | 232 | 18 | 24 | 274 | 239 | 18 | 24 | 281 | 7 |
| ECHA-PIC | 13 | 11 | 0 | 24 | 23 | 15 | 0 | 38 | 14 |
| EEA | 165 | 93 | 20 | 278 | 176 | 101 | 20 | 297 | 19 |
| EUAA | 371 | 213 | 11 | 595 | 371 | 183 | 11 | 565 | -30 |
| Frontex | 1300 | 979 | 220 | 2499 | 1545 | 1193 | 220 | 2958 | 459 |
| Eu-LISA | 222 | 166 | 11 | 399 | 232 | 165 | 11 | 408 | 9 |
| Europol | 716 | 235 | 121 | 1072 | 754 | 255 | 121 | 1130 | 58 |
| EMCDDA | 76 | 34 | 1 | 111 | 89 | 34 | 1 | 124 | 13 |
| SRB | 450 | 0 | 35 | 485 | 485 | 0 | 30 | 515 | 30 |

The estimated breakdown in terms of the EU contribution and staff posts is shown in detail in the tables below:

²³ The estimated overall number of Contract Agents and Seconded National Experts is based on the Commission recommended levels on the level of Contract Agents and Seconded National Experts, as presented in more detail in the individual budgetary financial statements. The table does not include the number of Contract Agents covered by Contribution Agreements, Grant Agreements or Delegation Agreements.

1.3.1 DB 2024 - Decentralised agencies of Heading 1 – Single Market, Innovation and Digital

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | | Draft Budget (DB) 2024 | | | | Variation 2024 / 2023 | |
|--|--------------------|-----------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|---------------|----------------------------|-----------------------|--------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Union Aviation Safety Agency (EASA) <i>Authorised establishment plan</i> | 02 10 01 | Köln | 2002 | 235,77 | 43,55 | 43,23 | 0,32 | 243,70 | 44,62 | 44,38 | 0,24 | 2,47% | 2,66% |
| | | | | | 682 | | | | 683 | | | 1 | |
| European Maritime Safety Agency (EMSA) <i>Authorised establishment plan</i> | 02 10 02 | Lisbon | 2002 | 89,67 | 86,83 | 85,54 | 1,29 | 92,70 | 89,75 | 89,00 | 0,75 | 3,37% | 4,05% |
| | | | | | 212 | | | | 212 | | | 0 | |
| European Union Agency for Railways (ERA) <i>Authorised establishment plan</i> | 02 10 03 | Lille | 2004 | 37,89 | 27,42 | 27,35 | 0,07 | 39,48 | 28,65 | 28,56 | 0,08 | 4,48% | 4,45% |
| | | | | | 166 | | | | 166 | | | 0 | |
| European Union Agency for Cybersecurity (ENISA) <i>Authorised establishment plan</i> | 02 10 04 | Athens | 2004 | 25,18 | 24,48 | 24,16 | 0,32 | 25,68 | 24,95 | 24,68 | 0,28 | 1,95% | 2,16% |
| | | | | | 82 | | | | 82 | | | 0 | |
| Body of European Regulators for Electronic Communications (BEREC) — Office <i>Authorised establishment plan</i> | 02 10 05 | Riga | 2009 | 7,70 | 7,70 | 7,65 | 0,05 | 7,85 | 7,85 | 7,82 | 0,03 | 2,00% | 2,25% |
| | | | | | 17 | | | | 17 | | | 0 | |
| European Union Agency for the Cooperation of Energy Regulators (ACER) <i>Authorised establishment plan</i> | 02 10 06 | Ljubljana | 2009 | 30,77 | 19,03 | 17,50 | 1,53 | 32,87 | 19,48 | 19,01 | 0,48 | 2,38% | 8,60% |
| | | | | | 96 | | | | 107 | | | 11 | |
| European Chemicals Agency — Chemicals legislation <i>Authorised establishment plan</i> | 03 10 01 01 | Helsinki | 2006 | 99,97 | 70,71 | 68,36 | 2,35 | 104,10 | 73,97 | 69,81 | 4,17 | 4,61% | 2,11% |
| | | | | | 404 | | | | 404 | | | 0 | |
| European Chemicals Agency — Activities in the field of biocides legislation <i>Authorised establishment plan</i> | 03 10 01 02 | Helsinki | 2012 | 12,71 | 7,56 | 6,52 | 1,04 | 13,35 | 7,75 | 6,35 | 1,40 | 2,50% | -2,56% |
| | | | | | 52 | | | | 52 | | | 0 | |
| European Banking Authority (EBA) <i>Authorised establishment plan</i> | 03 10 02 | Paris | 2010 | 52,33 | 19,43 | 19,04 | 0,39 | 55,89 | 20,78 | 20,64 | 0,134 | 6,93% | 8,43% |
| | | | | | 184 | | | | 189 | | | 5 | |
| European Insurance and Occupational Pensions Authority (EIOPA) <i>Authorised establishment plan</i> | 03 10 03 | Frankfurt | 2010 | 35,66 | 13,47 | 13,37 | 0,10 | 36,41 | 13,74 | 13,54 | 0,20 | 2,00% | 1,26% |
| | | | | | 145 | | | | 145 | | | 0 | |
| European Securities and Markets Authority (ESMA) <i>Authorised establishment plan</i> | 03 10 04 | Paris | 2010 | 71,86 | 18,59 | 18,35 | 0,24 | 77,08 | 20,33 | 20,13 | 0,20 | 9,36% | 9,69% |
| | | | | | 263 | | | | 268 | | | 5 | |
| Anti-Money Laundering Authority (AMLA) <i>Authorised establishment plan</i> | 03 10 05 | | 2023 | 0,00 | 0,00 | 0,00 | 0,00 | 5,11 | 5,11 | 5,11 | 0 | 0 | 0 |
| | | | | | 8 | | | | 35 | | | 27 | |
| European Union Agency for the Space Programme <i>Authorised establishment plan</i> | 04 10 01 | Prague | 2004 | 76,92 | 74,76 | 74,76 | 0,00 | 80,89 | 78,62 | 78,46 | 0,16 | 5,16% | 4,95% |
| | | | | | 267 | | | | 270 | | | 3 | |
| Total decentralised agencies – heading 1 <i>Authorised establishment plan</i> | | | | 776,43 | 413,51 | 405,81 | 7,70 | 815,11 | 435,60 | 427,48 | 8,12 | 5,34% | 5,34% |
| | | | | | 2 578 | | | | 2 630 | | | 52 | |

Main developments in 2024:

– **European Union Aviation Safety Agency (EASA)**

Compared to 2023, EASA's staff is further reinforced with 1 additional post in line with the Legislative Financial Statement linked to the Commission Proposal for a Regulation of the European Parliament and of the Council on ensuring a level playing field for sustainable air transport (COM(2021/561)). The Commission Proposal also includes an increase in the Agency's budget with EUR 2,7 million compared to the budget allocated to the Agency under the MFF Agreement.

– **European Maritime Safety Agency (EMSA)**

Compared to 2023, EMSA's budget will increase in line with the Legislative Financial Statement linked to the Commission Proposal for on the use of renewable and low-carbon fuels in maritime transport and amending Directive 2009/16/EC (COM(2021)562). The 2024 impact on EMSA's budget is EUR 1.2 million.

– **European Union Agency for Railways (ERA)**

Compared to 2023, ERA's budget is proposed to be increased with a one-off top-up of EUR 679 273 to ensure the agency can recruit sufficient staff for the execution of the Agency Strengthening Plan as agreed by the Management Board.

– **Agency for the Cooperation of Energy Regulators (ACER)**

In 2024, the agency will continue to collect fees and charges to cover for the costs for ACER tasks under Regulation 1227/2011 (REMIT) whereby the Agency monitors the wholesale energy markets in the Union, draws up, publishes, maintains and updates lists of standard contracts and organised market places, established procedures, standards and electronic formats based on established industry standards, develops technical and organisation requirements for submitting data and assesses whether reporting parties comply with the requirements. Following an evaluation of the sufficiency of the resources available to the Agency, in line with Article 33(10) of the recast of the Agency's founding regulation (Regulation 2019/942), the Commission proposes 3 additional Establishment plan posts of the Agency compared to 2023. The Agency's budget is also impacted by additional tasks delegated to the Agency linked to the revised Regulation on guidelines for trans-European energy infrastructure (TEN-E) (Regulation(EU)2022/869 and via Council Regulation (EU) 2022/2578 of 22 December 2022 establishing a market correction mechanism to protect Union citizens and the economy against excessively high prices and Council Regulation (EU) 2022/2576 of 19 December 2022 enhancing solidarity through better coordination of gas purchases, reliable price benchmarks and exchanges of gas across borders.

Furthermore, the Agency's budget is also impacted by two new Commission proposals: proposal COM(2023) 147 to improve the Union's protection against market manipulation in the wholesale energy market and the Commission proposal to improve the Union's electricity market design COM (2023) 148.

The different proposals and evaluation of the agency's resources result in 11 additional establishment plan posts compared to 2023 and a total budget increase of EUR 3,9 million compared to budget allocated to the Agency under the MFF Agreement.

– **European Banking Authority – EBA**

Following the final adoption of the proposal for a Regulation on Markets in Crypto-assets (COM(2020) 593 final), the agency will be reinforced in 2024 by 5 establishment plan posts and 2 contract agents and will receive EUR 0.35 million from the EU budget to supervise issuers of significant asset-referenced and e-money tokens and to review the crypto-assets. EBA will also need to develop the necessary IT system for all three supervisory agencies EBA, EIOPA and ESMA for the risk mitigating tasks related to Regulation (EU) 2022/2554 on digital operational resilience for the financial sector and for that will receive funding from the EU budget of EUR 0.6 million in 2024. The total number of planned establishment plan posts in 2024 is therefore 189 posts.

– **European Securities and Markets Authority – ESMA**

Following the final adoption of the proposal for a Regulation on Markets in Crypto-assets (COM(2020) 593 final), ESMA will receive 2 establishment plan posts, 2 contract agents and EUR 0.35 million from the EU budget in order to oversee crypto-assets service providers. ESMA is also proposed to be reinforced by 3 establishment plan posts and EUR 1 million in 2024 to establish and operate an information system that provides investors with a centralised access to company data, as part of the proposal for a Regulation establishing a European Single Access Point (COM(2021)725). The total number of planned establishment plan posts in 2024 is 268 posts.

– **Anti-Money Laundering Authority - AMLA**

In order to transform and strengthen anti-money laundering and countering the financing of terrorism supervision and enhance cooperation and analytical capacity among Financial Intelligence Units in Member States, the Commission proposed to create a new EU-level Anti-Money Laundering Authority (COM(2021) 421 final). In 2024, AMLA is expected to start its operations with 35 establishment plan posts, 10 contract agent posts and 3 seconded national experts. It will be financed within the MFF from the margin under the Heading 1 (an EU contribution of EUR 5,1 million in 2024).

– **European Union Agency for the Space Programme - EUSPA**

Following the adoption of Regulation (EU) 2023/588 of 15 March 2023 establishing the Union Secure Connectivity Programme for the period 2023-2027, EUSPA will ensure the operation and operational security of the governmental infrastructure of the programme. The new tasks will require a further staff increase of 3 establishment plan posts, 1 contract agent and an accompanying financial resource reinforcement. The funding is proposed to be financed from the envelope of the Union Secure Connectivity programme. The total number of planned establishment plan posts in 2024 is 270.

1.3.2 DB 2024 - Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | | Draft Budget (DB) 2024 | | | | Variation 2024 / 2023 | |
|--|----------------------------|-----------------------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|---------------|----------------------------|-----------------------|------------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Centre for Disease Prevention and Control <i>Authorised establishment plan</i> | 06 10 01 | Stockholm | 2004 | 90,18 | 87,67 | 85,93 | 1,74 | 92,41 | 90,29 | 72,42 | 17,87 | 2,99% | -15,71% |
| | | | | | 222 | | | | 225 | | | 3 | |
| European Food Safety Authority <i>Authorised establishment plan</i> | 06 10 02 | Parma | 2002 | 147,38 | 143,25 | 142,79 | 0,47 | 154,52 | 150,20 | 149,50 | 0,70 | 4,85% | 4,70% |
| | | | | | 405 | | | | 405 | | | 0 | |
| European Medicines Agency Special contribution for orphan medicinal products <i>Authorised establishment plan</i> | 06 10 03 01 06 10 03 02 | Amsterdam | 2002 | 444,00 14 | 36,03 14 | 36,03 14 | 0,00 0 | 467,11 14 | 20,00 14 | 9,54 14 | 10,46 0 | -44,49% 0,00% | -73,52% 0,00% |
| | | | | | 682 | | | | 691 | | | 9 | |
| European Foundation for the improvement of living and working conditions (Eurofound) <i>Authorised establishment plan</i> | 07 10 01 | Dublin | 1975 | 23,81 | 23,60 | 23,58 | 0,02 | 24,26 | 24,05 | 24,04 | 0,01 | 1,95% | 1,96% |
| | | | | | 91 | | | | 91 | | | 0 | |
| European Agency for Safety and Health at Work (EU-OSHA) <i>Authorised establishment plan</i> | 07 10 02 | Bilbao | 1994 | 17,04 | 16,46 | 16,31 | 0,16 | 17,37 | 16,79 | 16,50 | 0,29 | 2,00% | 1,20% |
| | | | | | 40 | | | | 40 | | | 0 | |
| European Centre for the Development of Vocational Training (Cedefop) <i>Authorised establishment plan</i> | 07 10 03 | Thessaloniki | 1975 | 19,57 | 19,08 | 18,88 | 0,19 | 19,96 | 19,46 | 19,15 | 0,31 | 2,00% | 1,43% |
| | | | | | 91 | | | | 91 | | | 0 | |
| European Union Agency for Fundamental Rights (FRA) <i>Authorised establishment plan</i> | 07 10 04 | Vienna | 2007 | 25,22 | 24,66 | 24,58 | 0,09 | 27,17 | 26,57 | 26,46 | 0,10 | 7,73% | 7,68% |
| | | | | | 72 | | | | 72 | | | 0 | |
| European Institute for Gender Equality (EIGE) <i>Authorised establishment plan</i> | 07 10 05 | Vilnius | 2006 | 8,72 | 8,72 | 8,59 | 0,12 | 9,35 | 9,35 | 9,10 | 0,25 | 7,27% | 5,91% |
| | | | | | 27 | | | | 27 | | | 0 | |
| European Training Foundation (ETF) <i>Authorised establishment plan</i> | 07 10 06 | Turin | 1990 | 22,63 | 22,63 | 22,53 | 0,09 | 23,16 | 23,16 | 23,10 | 0,06 | 2,36% | 2,51% |
| | | | | | 86 | | | | 86 | | | 0 | |
| European Union Agency for Criminal Justice Cooperation (Eurojust) <i>Authorised establishment plan</i> | 07 10 07 | The Hague | 2002 | 57,80 | 57,80 | 57,51 | 0,30 | 62,12 | 62,12 | 61,94 | 0,18 | 7,47% | 7,71% |
| | | | | | 232 | | | | 239 | | | 7 | |
| European Public Prosecutor's Office (EPPO) <i>Authorised establishment plan</i> | 07 10 08 | Luxembourg | 2019 | 65,50 | 65,50 | 64,60 | 0,90 | 65,89 | 65,89 | 64,31 | 1,58 | 0,60% | -0,46% |
| | | | | | 191 | | | | 191 | | | 0 | |
| European Labour Authority (ELA) <i>Authorised establishment plan</i> | 07 10 09 | Brussels (Bratislava) | 2019 | 39,97 | 39,97 | 39,97 | 0,00 | 48,43 | 48,43 | 48,43 | 0,00 | 21,15% | 21,15% |
| | | | | | 69 | | | | 69 | | | 0 | |
| Total decentralised agencies – heading 2 <i>Authorised establishment plan</i> | | | | 975,82 | 559,36 | 555,29 | 4,07 | 1 025,75 | 570,31 | 538,50 | 31,80 | 1,96% | -3,02% |
| | | | | | 2 208 | | | | 2 227 | | | 20 | |

Main developments in 2024:

- European Centre for Disease Prevention and Control (ECDC)

In 2024, following the adoption of the Health Union Package from 2020, the ECDC will receive an additional EUR 26 million and 5 new staff (73 staff in total for the health package). 2024 is the last year of increased reinforcement to ECDC resulting from the Health Package. In the next years, both the EU subsidy to ECDC from the Health Package stabilises at EUR 23-22 million and additional staff stays at 73 in total.

- European Medicine Agency (EMA)

The structural reinforcement granted in 2023 is maintained. Out of the temporary reinforcement of 40 establishment plan posts in 2023, as agreed last year 30 remain in place in 2024 and will reduce to 20 in 2025. However, 10 establishment plan posts will remain in place after 2025 as a structural reinforcement. It is also agreed that total staff increases by 15 seconded national experts to help the agency attract scientific specialists. With the proposal of the pharmaceutical package (COM(2023)193), the Draft Budget incorporates a further proposed reinforcement by 19 establishment plan posts. All reinforcements taken together result in a total staff level for EMA of 691 establishment plan posts, 203 contract agents and 45 seconded national experts, an increase by 85 staff compared to 2020. All these additional posts are fee-financed.

- European Foundation for the Improvement of Living and Working conditions (EUROFOUND)

The EU contribution to the European Foundation for the Improvement of Living and Working conditions (EUROFOUND) is proposed to be increased in 2024 by EUR 0,7 million, so as to allow the agency to cover its increasing salary costs also in view of the sharp rise in the correction coefficient over the last three years, which led to an increase of nearly 15 percentage points. The increase is proposed to be anchored structurally in the financial programming for the EU contribution to Eurofound.

- European Union Agency for Criminal Justice Cooperation (EUROJUST)

Similarly to 2023, in 2024 The Commission proposes to frontload 5 establishment plan posts from 2025 so as to promote further judicial cooperation on cross-border crime²⁴. An increase in the EU contribution by EUR 5,2 million compared to 2023 allows covering the planned activities as well as the salaries for the staff frontloaded already in 2023 and those frontloaded in 2024 on full year basis.

- European Public Prosecutor's Office – EPPO

The European Public Prosecutor's Office (EPPO) has started its operations on 1 June 2021. In the 2023 budget, the EPPO received the conversion of 20 contract agent positions into establishment plan posts, resulting in 191 establishment plan posts. The Commission proposes to consolidate the significantly reinforced resources of the EPPO in the Draft Budget 2024, by keeping the staffing level and distribution across staff categories stable and proposing an EU contribution of EUR 66 million. This accommodates the salaries of the staff conversions authorised in the 2023 budget

- European Labour Authority - ELA

Taking partially into account ELA's request for changes across staff categories, the Commission proposes the conversion of 5 seconded national experts into contract agent positions. The EU contribution to the ELA takes account of the seat of the agency in Bratislava, in particular as regards the impact of the correction coefficient and the rental arrangements agreed between ELA and the Slovak authorities.

²⁴ COM(2021) 756 and 757, 1.12.2021.

– **European Gender Equality institute – EIGE**

The EU contribution to the European Gender Equality Institute (EIGE) is proposed to be increased in 2024 by EUR 0,46 million, so as to allow the agency to cover its increasing salary costs and to hire 2 contract agents (budget for 6 months in 2024) to help the Agency deal with the increase workload.

– **Fundamental Rights Agency – FRA**

The EU contribution to the Fundamental Rights Agency (FRA) is proposed to be increased in 2024 by EUR 1.4 million, to allow the agency to cover the costs of two major surveys, on Roma and on immigrants.

1.3.3 DB 2024 - Decentralised agencies of Heading 3 – Natural Resources and Environment

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | | Draft Budget (DB) 2024 | | | | Variation 2024 / 2023 | |
|--|-------------|------------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|--------------|----------------------------|-----------------------|---------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Fisheries Control Agency <i>Authorised establishment plan</i> | 08 10 01 | Vigo | 2005 | 30,10 | 30,10 | 29,54 | 0,56 | 30,58 | 30,58 | 29,85 | 0,73 | 1,61% | 1,08% |
| | | | | | 77 | | | | 77 | | | 0 | |
| European Chemicals Agency - Environmental directives and International conventions <i>Authorised establishment plan</i> | 09 10 01 | Helsinki | 2012 | 5,05 | 4,91 | 4,79 | 0,12 | 7,13 | 6,93 | 6,88 | 0,05 | 41,27% | 43,75% |
| | | | | | 13 | | | | 23 | | | 10 | |
| European Environment Agency <i>Authorised establishment plan</i> | 09 10 02 | Copenhagen | 1990 | 60,62 | 54,35 | 54,18 | 0,17 | 68,14 | 60,97 | 60,72 | 0,25 | 12,18% | 12,07% |
| | | | | | 165 | | | | 176 | | | 11 | |
| Total decentralised agencies – heading 3 <i>Authorised establishment plan</i> | | | | 95,77 | 89,36 | 88,50 | 0,86 | 105,86 | 98,49 | 97,46 | 1,03 | 10,22% | 10,12% |
| | | | | | 255 | | | | 276 | | | 21 | |

Main developments in 2024:

– **European Chemicals Agency (ECHA) - Environmental directives and International conventions**

As part of the European Green Deal, the European Chemical Agency (ECHA - Environmental directives and International conventions) is proposed to be reinforced following the proposals for a Directive on industrial emissions (COM (2022)156) and a Directive on Integrated water management (COM(2022)540), which confer additional tasks on the agency, such as identifying hazardous substances in the agro-industrial installations from their presence in the materials to their presence in the emissions or a prioritisation of substances and mixtures for the further development of standards to protect the aquatic environment. These new tasks require an increase in the agency in staff (10 establishment plan posts and 4 contract agents) and in the EU contribution of EUR 2 million in 2024, which will be compensated by a corresponding reduction in the envelope of the LIFE programme. The total number of planned establishment plan posts in 2024 is 23 posts.

– **European Environment Agency (EEA)**

The European Environment Agency is proposed to be reinforced in 2024 by 8 establishment plan posts and 6 contract agents in order to perform new tasks planned to be given to the agency by the proposals for a Directive on Integrated water management (COM(2022)540), a Regulation on strengthening the CO₂ emission performance standards for new heavy-duty vehicles (COM(2023)88) and a Regulation on reporting of environmental data from industrial installations and establishing an Industrial Emissions Portal (COM(2022)157). Once these proposals are adopted, the agency's tasks will include the processing and monitoring of water status data coming from the Member States, data collection, monitoring and analyses of information regarding the CO₂ emission performance reported by the Member States and the management of the Industrial Emissions Portal. The financial contribution to the agency with respect to these initiatives will be increased and compensated by a corresponding reduction in the envelope of the LIFE programme. The agency will also receive 3 further establishment plan posts and 2 contract agents for the proposed Regulation as regards the land use, forestry and agriculture sector (COM(2021)554). The total number of planned establishment plan posts in 2024 is 176 posts.

1.3.4 DB 2024 - Decentralised agencies of Heading 4 – Migration and Border Management

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | | Draft Budget (DB) 2024 | | | | Variation 2024 / 2023 | |
|---|-------------|---|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|-----------------|----------------------------|-----------------------|--------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Union Agency for Asylum (EUAA) <i>Authorised establishment plan</i> | 10 10 01 | Valletta | 2010 | 180,14 | 180,14 | 172,17 | 7,97 | 180,68 | 180,68 | 168,10 | 12,58 | 0,30% | -2,36% |
| | | | | | 371 | | | | 371 | | | 0 | |
| European Border and Coast Guard Agency (Frontex) <i>Authorised establishment plan</i> | 11 10 01 | Warsaw | 2004 | 845,43 | 788,94 | 743,61 | 45,32 | 933,61 | 873,87 | 824,33 | 49,54 | 10,77% | 10,85% |
| | | | | | 1 300 | | | | 1 545 | | | 245 | |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') <i>Authorised establishment plan</i> | 11 10 02 | Tallinn Strasbourg Sankt Johann im Pongau | 2011 | 326,98 | 326,98 | 323,91 | 3,08 | 277,27 | 277,27 | 250,98 | 26,28 | -15,20% | -22,51% |
| | | | | | 222 | | | | 232 | | | 10 | |
| Total decentralised agencies – heading 4 <i>Authorised establishment plan</i> | | | | 1 352,55 | 1 296,05 | 1 239,69 | 56,37 | 1 391,55 | 1 331,82 | 1 243,41 | 88,40 | 2,76% | 0,30% |
| | | | | | 1 893 | | | | 2 148 | | | 851,947 | |

Main developments in 2024:

– **European Union Agency for Asylum (EUAA – former EASO)**

In 2024, EUAA is expected to start phasing out the 90 temporary Contract Agents which were part of the agency's budget since in 2022. The EUAA will reduce the level of contract agents from 213 to 183 (reduction with 30 contract agents). The phase-out of contract agent positions will lead to a further reduction (to 163 contract agents) in 2025 and 2026 (123 contract agents remaining).

– **European Border and Coast Guard Agency – FRONTEX**

The transformation of Frontex into the European Border and Coast Guard Agency started in 2020 continues in 2024. The proposed EU contribution to the Agency will increase from EUR 789 million in 2023 to EUR 875 million in 2024. The number of establishment plan posts of the agency is proposed to increase from 1300 to 1545, including a temporary (until 2027 included) transfer of 5 establishment plan posts to Europol following an agreement between the two agencies. The increase in budget will allow the agency to continue building the standing corps of border guards, including equipment. The proposed level of funding for the agency ensures the agency's core capacity to deliver on the number of border guards and ensures an important capacity to support Member States with returns.

– **European Union Agency for the operational management of large-scale IT systems in the area of freedom, security and justice – eu-LISA**

Eu-LISA receives new tasks under its revised founding Regulation, the Entry-Exit System, ETIAS, Interoperability, the SIS II package and the proposal for Eurodac (COM 2016/468) and Dublin II (COM 2016/270) and the Commission proposals as regards the digitalisation of the Visa Procedure (COM(2022)658) and the Commission proposal on the collection and transfer of advance passenger information (COM(2022)729).

The Commission proposes 232 posts, an increase of 10 compared to 2023. The EU contribution of EUR 285,3 million (in commitment appropriations) is an increase of EUR 23 million compared to 2023 budget, reflecting the development cycle of the different large-scale IT systems developed and managed by the Agency and the impact of new tasks delegated to the agency.

The Draft Budget 2024 for eu-LISA also includes the impact of an agreement between Frontex and eu-LISA on a temporary transfer (3 years from 2022 to end 2024) of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA and the appropriations to finance these CAs. The agreement is neutral to the Draft Budget as the totals foreseen in the Legislative Financial Statement for the ETIAS system remain unchanged.

1.3.5 DB 2023 - Decentralised agency of Heading 5 – Security and Defence

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | | Draft Budget (DB) 2024 | | | | Variation 2024 / 2023 | |
|--|-------------|-----------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|---------------|----------------------------|-----------------------|--------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Union Agency for Law Enforcement Cooperation (Europol) <i>Authorised establishment plan</i> | 12 10 01 | The Hague | 1995 | 207,18 | 207,18 716 | 202,08 | 5,10 | 218,23 | 218,23 754 | 207,91 | 10,31 | 5,33% 38 | 2,89% |
| European Union agency for law enforcement training (CEPOL) <i>Authorised establishment plan</i> | 12 10 02 | Budapest | 2005 | 11,21 | 11,21 33 | 10,81 | 0,41 | 11,44 | 11,44 33 | 11,15 | 0,28 | 2,00% 0 | 3,20% |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i> | 12 10 03 | Lisbon | 1993 | 18,47 | 17,64 76 | 17,53 | 0,11 | 33,65 | 32,13 89 | 32,07 | 0,06 | 82,13% 13 | 82,99% |
| Total decentralised agencies – heading 5 <i>Authorised establishment plan</i> | | | | 236,86 | 236,03 825 | 230,41 | 5,62 | 263,32 | 261,80 876 | 251,14 | 10,66 | 10,92% 51 | 9,00% |

Main developments in 2024:

– **European Union Agency for Law Enforcement Cooperation – EUROPOL**

The proposed EU contribution for Europol increases up to EUR 218,2 million, based on the legislative financial statement linked to the agency's new mandate as set out in Regulation (EU) 2022/991. The new mandate also leads to an increase of the agency's establishment plan with 26 posts. On Europol's request and in line with an agreement between Frontex and Europol, the Commission proposes to temporarily (until 2027 included) reinforce Europol by 5 establishment plan posts and 20 contract agents, offset by recruitments initially planned in Frontex in 2024. The additional staff will allow Europol to start testing and using the ETIAS system once operational.

– **European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)**

The proposed EU contribution for EMCDDA increases up to EUR 32.1 million, following the expected entry into force of the new mandate of the Agency (COM (2022)18). The new mandate also implies an increase in the agency's establishment plan posts from 76 in 2023 to 89 in 2024.

1.3.6 DB 2024 - Decentralised agency of Heading 7 – Administration

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | Draft Budget (DB) 2024 | | | Variation 2024 / 2023 | | | |
|---|-------------|------------|------------------|------------------------------|-----------------------|-----------------|----------------------------------|----------------------------|-----------------------|-----------------------|-------------|-------------|----------------------------|
| | | | | Total revenues of the Agency | EU contribution | | Revenues estimated by the Agency | Foreseen EU contribution | | EU contribution | DB / Budget | | |
| | | | | | Total EU contribution | Of which budget | | Of which assigned revenues | Total EU contribution | | | Of which DB | Of which assigned revenues |
| Translation Centre for the Bodies of the European Union <i>Authorised establishment plan</i> | 20 10 01 | Luxembourg | 1994 | 50,01 | 193 | | | 49,66 | 193 | | | 0 | |
| Total decentralised agencies – heading 7 <i>Authorised establishment plan</i> | | | | 50,01 | 0 | 0 | 0 | 49,66 | 0 | 0 | 0 | 0 | |

1.3.7 DB 2024 - Fully self-financed decentralised agencies

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | Draft Budget (DB) 2024 | | | Variation 2024 / 2023 | | | |
|---|-------------|----------|------------------|------------------------------|-----------------------|-----------------|----------------------------------|----------------------------|-----------------------|-----------------------|-------------|-------------|----------------------------|
| | | | | Total revenues of the Agency | EU contribution | | Revenues estimated by the Agency | Foreseen EU contribution | | EU contribution | DB / Budget | | |
| | | | | | Total EU contribution | Of which budget | | Of which assigned revenues | Total EU contribution | | | Of which DB | Of which assigned revenues |
| European Union Intellectual Property Office (EUIPO) <i>Authorised establishment plan</i> | | Alicante | 1993 | 455,85 | 1 172 | 0 | | 418,29 | 1 172 | 0 | | 0 | |
| Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i> | | Angers | 1994 | 21,53 | 51 | 0 | | 22,17 | 51 | 0 | | 0 | |
| Single Resolution Board (SRB) <i>Authorised establishment plan</i> | | Brussels | 2014 | 13 611,00 | 450 | 0 | | 158,50 | 485 | 0 | | 0 | |
| Total self-financed decentralised agencies <i>Authorised establishment plan</i> | | | | 14 088,38 | 1 673 | | | 598,96 | 1 708 | | | 35 | |

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2023 | | | | Draft Budget (DB) 2024 | | | | Variation 2024 / 2023 | |
|--|-------------|----------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|-------------|----------------------------|-----------------------|-------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| Total decentralised agencies <i>Authorised establishment plan</i> | | | | 17 541,66 | 2 594,30 | 2 519,70 | 74,61 | 4 213,78 | 2 698,00 | 2 557,99 | 140,02 | 4,00% | 1,52% |
| | | | | | 9 622 | | | | 10 023 | | | 401 | |
| Of which agencies with EU contribution (excl. EUIPO, CPVO, SRB) <i>Authorised establishment plan</i> | | | | 3 487,43 | 2 594,30 | 2 519,70 | 74,61 | 3 651,25 | 2 698,00 | 2 557,99 | 140,02 | 4,00% | 1,52% |
| | | | | | 7 952 | | | | 8 350 | | | 398 | |
| Of which fully self-financed (EUIPO, CPVO, SRB) <i>Authorised establishment plan</i> | | | | 14 088,38 | 0 | 0 | 0 | 598,96 | 0 | 0 | 0 | | |
| | | | | | 1 673 | | | | 1 708 | | | 35 | |

1.4 Table Commission budget implementation for decentralised agencies 2022

1.4.1 Budget implementation 2022: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2022 | | Commitments made 2022 | | | Payments made 2022 | | | Carry-over of assigned revenue to 2023 | | Appropriations cancelled 2022 | |
|---|-------------|--------------------------------|---------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|--|--------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Union Aviation Safety Agency | 02 10 01 | 40,93 | 40,93 | 40,47 | 37,18 | 3,29 | 38,12 | 37,18 | 0,94 | 0,46 | 0,46 | - | - |
| European Maritime Safety Agency | 02 10 02 | 87,64 | 87,64 | 85,60 | 81,94 | 3,65 | 84,02 | 81,94 | 2,08 | 2,04 | 2,04 | - | - |
| European Union Agency for Railways | 02 10 03 | 27,01 | 27,01 | 26,85 | 26,08 | 0,77 | 26,74 | 26,08 | 0,66 | 0,15 | 0,15 | - | - |
| European Union Agency for Cybersecurity (ENISA) | 02 10 04 | 39,53 | 24,53 | 38,93 | 37,62 | 1,31 | 23,19 | 22,62 | 0,57 | 0,60 | 0,60 | - | - |
| Body of European Regulators for Electronic Communications (BEREC) — Office | 02 10 05 | 7,48 | 7,48 | 7,40 | 7,31 | 0,09 | 7,31 | 7,31 | - | 0,08 | 0,08 | - | - |
| Agency for the Cooperation of Energy Regulators (ACER) | 02 10 06 | 16,69 | 16,69 | 14,69 | 14,03 | 0,66 | 14,39 | 14,03 | 0,36 | 2,01 | 2,01 | - | - |
| European Chemicals Agency — Chemicals legislation | 03 10 01 01 | 68,18 | 68,18 | 61,67 | 57,48 | 4,19 | 59,09 | 57,48 | 1,61 | 6,51 | 6,51 | - | - |
| European Chemicals Agency — Activities in the field of biocides legislation | 03 10 01 02 | 8,34 | 8,34 | 5,91 | 5,70 | 0,20 | 5,91 | 5,70 | 0,20 | 2,44 | 2,44 | - | - |
| European Banking Authority (EBA) | 03 10 02 | 20,19 | 20,19 | 19,06 | 18,20 | 0,86 | 18,20 | 18,20 | - | 1,13 | 1,13 | - | - |
| European Insurance and Occupational Pensions Authority (EIOPA) | 03 10 03 | 13,33 | 13,33 | 12,86 | 12,65 | 0,21 | 12,65 | 12,65 | - | 0,46 | 0,46 | - | - |
| European Securities and Markets Authority (ESMA) | 03 10 04 | 18,20 | 18,20 | 17,39 | 15,80 | 1,56 | 15,80 | 15,80 | - | 0,81 | 0,81 | - | - |
| European Union Agency for the Space Programme | 04 10 01 | 70,03 | 65,39 | 70,03 | 68,30 | 1,73 | 65,35 | 63,66 | 1,69 | - | - | - | - |
| Total decentralised agencies – heading 1 | | 417,54 | 397,91 | 400,86 | 382,30 | 18,56 | 370,76 | 362,66 | 8,11 | 16,69 | 16,69 | | |

1.4.2 Budget implementation 2022: Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2022 | | Commitments made 2022 | | | Payments made 2022 | | | Carry-over of assigned revenue to 2023 | | Appropriations cancelled 2022 | |
|--|-------------|--------------------------------|---------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|--|--------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Centre for Disease Prevention and Control | 06 10 01 | 101,61 | 101,61 | 82,01 | 76,66 | 5,34 | 78,93 | 76,66 | 2,27 | 19,61 | 19,61 | - | - |
| European Food Safety Authority | 06 10 02 | 150,28 | 135,21 | 149,12 | 145,16 | 3,95 | 133,70 | 130,46 | 3,24 | 1,17 | 1,17 | - | - |
| European Medicines Agency | 06 10 03 01 | 61,77 | 61,77 | 26,33 | 20,95 | 5,38 | 21,96 | 20,95 | 1,01 | 35,44 | 35,44 | - | - |
| Special contribution for orphan medicinal products | 06 10 03 02 | 12,90 | 12,90 | 12,90 | 12,54 | 0,35 | 12,90 | 12,54 | 0,35 | - | - | - | - |
| European Foundation for the Improvement of Living and Working Conditions | 07 10 01 | 22,07 | 22,07 | 22,04 | 21,76 | 0,27 | 21,76 | 21,76 | - | 0,03 | 0,03 | - | - |
| European Agency for Safety and Health at Work | 07 10 02 | 16,46 | 16,40 | 16,02 | 15,37 | 0,65 | 15,70 | 15,31 | 0,39 | 0,44 | 0,44 | - | - |
| European Centre for the Development of Vocational Training (Cedefop) | 07 10 03 | 18,55 | 18,15 | 18,05 | 17,93 | 0,13 | 17,53 | 17,53 | - | 0,50 | 0,50 | - | - |
| European Union Agency for Fundamental Rights (FRA) | 07 10 04 | 24,38 | 24,38 | 23,65 | 23,53 | 0,11 | 23,53 | 23,53 | - | 0,19 | 0,19 | - | - |
| European Institute for Gender Equality (EIGE) | 07 10 05 | 8,56 | 8,56 | 8,18 | 7,91 | 0,27 | 7,91 | 7,91 | - | 0,37 | 0,37 | - | - |
| European Training Foundation (ETF) | 07 10 06 | 21,82 | 21,82 | 21,66 | 21,32 | 0,35 | 21,32 | 21,32 | - | 0,16 | 0,16 | - | - |
| European Union Agency for Criminal Justice Cooperation (Eurojust) | 07 10 07 | 50,48 | 49,38 | 50,01 | 49,83 | 0,18 | 48,73 | 48,73 | - | 0,48 | 0,48 | - | - |
| European Public Prosecutor's Office (EPPO) | 07 10 08 | 52,10 | 52,10 | 49,62 | 49,62 | - | 49,62 | 49,62 | - | 2,48 | 2,48 | - | - |
| European Labour Authority (ELA) | 07 10 09 | 34,69 | 26,40 | 34,69 | 34,69 | - | 26,40 | 26,40 | - | - | - | - | - |
| Total decentralised agencies – heading 2 | | 575,66 | 550,74 | 514,26 | 497,27 | 16,99 | 479,98 | 472,71 | 7,265 | 60,85 | 60,85 | - | - |

1.4.3 Budget implementation 2022: Decentralised agencies of Heading 3 – Natural Resources and Environment

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2022 | | Commitments made 2022 | | | Payments made 2022 | | | Carry-over of assigned revenue to 2023 | | Appropriations cancelled 2022 | |
|--|-------------|--------------------------------|--------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|--|-------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Fisheries Control Agency | 08 10 01 | 29,90 | 29,90 | 28,61 | 28,01 | 0,60 | 28,01 | 28,01 | - | 1,29 | 1,29 | - | - |
| European Chemicals Agency - Environmental directives and International conventions | 09 10 01 | 4,97 | 4,97 | 4,79 | 4,65 | 0,14 | 4,77 | 4,65 | 0,12 | 0,17 | 0,17 | - | - |
| European Environment Agency | 09 10 02 | 56,05 | 56,05 | 55,63 | 49,20 | 6,43 | 55,40 | 49,20 | 6,20 | 0,42 | 0,42 | - | - |
| Total decentralised agencies – heading 3 | | 90,92 | 90,92 | 89,03 | 81,85 | 7,18 | 88,17 | 81,85 | 6,32 | 1,89 | 1,89 | - | - |

1.4.4 Budget implementation 2022: Decentralised agency of Heading 4 – Migration and Border Management

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2022 | | Commitments made 2022 | | | Payments made 2022 | | | Carry-over of assigned revenue to 2023 | | Appropriations cancelled 2022 | |
|---|-------------|--------------------------------|-----------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|--|---------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Asylum Support Office (EASO) | 10 10 01 | 191,75 | 185,75 | 171,20 | 153,08 | 18,12 | 135,08 | 135,08 | - | 20,54 | 20,54 | - | 12,00 |
| European Border and Coast Guard Agency (Frontex) | 11 10 01 | 692,81 | 708,31 | 597,94 | 586,03 | 11,91 | 586,03 | 586,03 | - | 94,87 | 94,87 | - | 15,50 |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') | 11 10 02 | 322,72 | 299,62 | 293,36 | 289,75 | 3,61 | 266,62 | 266,62 | - | 29,36 | 29,36 | - | - |
| Total decentralised agencies – heading 4 | | 1 207,27 | 1 193,67 | 1 062,50 | 1 028,87 | 33,64 | 987,73 | 987,73 | - | 144,77 | 144,77 | - | - |

1.4.5 *Budget implementation 2022: Decentralised agency of Heading 5 – Security and Defence*

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2022 | | Commitments made 2022 | | | Payments made 2022 | | | Carry-over of assigned revenue to 2023 | | Appropriations cancelled 2022 | |
|--|-------------|--------------------------------|---------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|--|--------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Union Agency for Law Enforcement Cooperation (Europol) | 12 10 01 | 197,48 | 197,48 | 182,07 | 178,72 | 3,35 | 178,71 | 178,71 | - | 15,41 | 15,41 | - | - |
| European Union agency for law enforcement training (CEPOL) | 12 10 02 | 11,25 | 11,25 | 10,56 | 9,79 | 0,77 | 9,79 | 9,79 | - | 0,69 | 0,69 | - | - |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) | 12 10 03 | 17,76 | 17,76 | 17,59 | 17,48 | 0,11 | 17,48 | 17,48 | - | 0,17 | 0,17 | - | - |
| Total decentralised agencies – heading 5 | | 226,49 | 226,49 | 210,22 | 205,99 | 4,23 | 205,99 | 205,99 | - | 16,27 | 16,27 | - | - |

1.4.6 *Budget implementation 2022: Decentralised agencies – Total*

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2022 | | Commitments made 2022 | | | Payments made 2022 | | | Carry-over of assigned revenue to 2023 | | Appropriations cancelled 2022 | |
|-------------------------------------|-------------|--------------------------------|----------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|--|---------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| Total decentralised agencies | | 2517,89 | 2459,73 | 2276,87 | 2196,27 | 80,60 | 2132,64 | 2110,94 | 21,69 | 240,47 | 240,47 | - | - |

The budget implementation tables for decentralised agencies should be read as follows:

Authorised appropriations include voted budget appropriations as well as assigned revenues (both EFTA credits and recovery of agency surpluses from previous years);

Authorised appropriations 2022 minus commitments (or payments) made in 2022 minus carry-over of assigned revenue to 2023 leads to appropriations cancelled (on the Commission side);

Positive outturns of agencies are deducted from the commitments and payments made. These generate a carry-over of assigned revenue. The carry-over of assigned revenue to 2023 (stemming from the recovery of agency surpluses for the financial year 2022) has been taken into account when calculating the EU contribution for 2024 (see also tables 1.4 and DB 2023 Working Document III).

All budget implementation 2022 data in this document are based on provisional accounting closure (April 2023).

1.5 Table decentralised agency staffing 2022 – 2024

1.5.1 Staffing 2022 – 2024: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|---|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|-------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| European Union Aviation Safety Agency | 2002 | 680 | 651 | 682 | 683 | 106 | 97 | 106 | 106 | 24 | 23 | 24 | 24 | 810 | 771 | 812 | 813 |
| European Maritime Safety Agency | 2002 | 212 | 210 | 212 | 212 | 30 | 28 | 30 | 31 | 18 | 16 | 18 | 18 | 260 | 254 | 260 | 261 |
| European Union Agency for Railways | 2004 | 154 | 152 | 166 | 166 | 36 | 36 | 36 | 36 | 4 | 2 | 4 | 4 | 194 | 190 | 206 | 206 |
| European Union Agency for Cybersecurity (ENISA) | 2004 | 82 | 73 | 82 | 82 | 32 | 27 | 32 | 32 | 12 | 10 | 14 | 14 | 126 | 110 | 128 | 128 |
| Body of European Regulators for Electronic Communications (BEREC) — Office | 2009 | 16 | 16 | 17 | 17 | 13 | 21 | 13 | 13 | 6 | 9 | 6 | 6 | 35 | 46 | 36 | 36 |
| Agency for the Cooperation of Energy Regulators (ACER) | 2009 | 76 | 74 | 96 | 107 | 37 | 34 | 38 | 39 | 10 | 5 | 10 | 10 | 123 | 113 | 144 | 156 |
| European Chemicals Agency — Chemicals legislation | 2006 | 404 | 395 | 404 | 404 | 94 | 88 | 97 | 97 | 13 | 1 | 3 | 3 | 511 | 484 | 504 | 504 |
| European Chemicals Agency — Activities in the field of biocides legislation | 2012 | 52 | 52 | 52 | 52 | 15 | 13 | 15 | 15 | 2 | 1 | 2 | 2 | 69 | 66 | 69 | 69 |
| European Banking Authority (EBA) | 2010 | 183 | 159 | 184 | 189 | 50 | 44 | 50 | 52 | 19 | 13 | 19 | 19 | 252 | 216 | 253 | 260 |
| European Insurance and Occupational Pensions Authority (EIOPA) | 2010 | 144 | 137 | 145 | 145 | 42 | 36 | 42 | 42 | 29 | 24 | 29 | 29 | 215 | 197 | 216 | 216 |
| European Securities and Markets Authority (ESMA) | 2010 | 243 | 219 | 263 | 268 | 97 | 90 | 82 | 84 | 15 | 10 | 30 | 30 | 355 | 319 | 375 | 382 |
| Anti-Money Laundering Authority (AMLA) | 2023 | | | 8 | 35 | | | 10 | 10 | | | | 3 | | | 18 | 48 |
| European Union Agency for the Space Programme | 2004 | 231 | 231 | 267 | 270 | 34 | 46 | 38 | 39 | 14 | 10 | 15 | 15 | 279 | 287 | 320 | 324 |
| Total decentralised agencies – heading 1 | | 2 477 | 2 369 | 2 578 | 2 630 | 586 | 560 | 589 | 596 | 166 | 124 | 174 | 177 | 3 229 | 3 053 | 3 341 | 3 403 |

1.5.2 Staffing 2022-2024: Decentralised agencies of Heading 2 - Cohesion, Resilience and Values

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|--|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|-------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| European Centre for Disease Prevention and Control | 2004 | 215 | 206 | 222 | 225 | 130 | 117 | 126 | 128 | 5 | 4 | 5 | 5 | 350 | 327 | 353 | 358 |
| European Food Safety Authority | 2002 | 405 | 387 | 405 | 405 | 167 | 170 | 167 | 167 | 15 | 13 | 15 | 15 | 587 | 570 | 587 | 587 |
| European Medicines Agency | 1993 | 662 | 658 | 682 | 691 | 223 | 207 | 203 | 203 | 30 | 30 | 30 | 45 | 915 | 895 | 915 | 939 |
| European Foundation for the Improvement of Living and Working Conditions | 1975 | 91 | 88 | 91 | 91 | 13 | 10 | 13 | 13 | 1 | | 1 | 1 | 105 | 98 | 105 | 105 |
| European Agency for Safety and Health at Work | 1994 | 40 | 39 | 40 | 40 | 25 | 26 | 25 | 25 | | | | | 65 | 65 | 65 | 65 |
| European Centre for the Development of Vocational Training (Cedefop) | 1975 | 91 | 87 | 91 | 91 | 25 | 20 | 25 | 25 | 4 | 4 | 4 | 4 | 120 | 111 | 120 | 120 |
| European Union Agency for Fundamental Rights (FRA) | 2007 | 72 | 68 | 72 | 72 | 32 | 29 | 34 | 34 | 9 | 9 | 9 | 9 | 113 | 106 | 115 | 115 |
| European Institute for Gender Equality (EIGE) | 2006 | 27 | 27 | 27 | 27 | 10 | 15 | 10 | 12 | 5 | 4 | 5 | 5 | 42 | 46 | 42 | 44 |
| European Training Foundation (ETF) | 1990 | 86 | 85 | 86 | 86 | 42 | 39 | 42 | 42 | | | | | 128 | 124 | 128 | 128 |
| European Union Agency for Criminal Justice Cooperation (Eurojust) | 2002 | 221 | 221 | 232 | 239 | 18 | 16 | 18 | 18 | 24 | 22 | 24 | 24 | 263 | 259 | 274 | 281 |
| European Public Prosecutor's Office (EPPO) | 2017 | 171 | 149 | 191 | 191 | 48 | 36 | 28 | 28 | 29 | 22 | 29 | 29 | 248 | 207 | 248 | 248 |
| European Labour Authority (ELA) | 2019 | 57 | 49 | 69 | 69 | 15 | 13 | 15 | 20 | 60 | 55 | 60 | 55 | 132 | 117 | 144 | 144 |
| Total decentralised agencies – heading 2 | | 2 138 | 2 064 | 2 208 | 2 227 | 748 | 698 | 726 | 687 | 182 | 163 | 182 | 203 | 3 068 | 2 925 | 3 096 | 3 134 |

1.5.3 Staffing 2022-2024: Decentralised agencies of Heading 3 – Natural Resources and Environment

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|--|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| European Fisheries Control Agency | 2005 | 77 | 74 | 77 | 77 | 11 | 11 | 11 | 11 | 5 | 5 | 5 | 5 | 93 | 90 | 93 | 93 |
| European Chemicals Agency - Environmental directives and International conventions | 2012 | 11 | 11 | 13 | 23 | 13 | 13 | 11 | 15 | | | | | 24 | 24 | 24 | 38 |
| European Environment Agency | 1990 | 150 | 146 | 165 | 176 | 86 | 84 | 93 | 101 | 20 | 13 | 20 | 20 | 256 | 243 | 278 | 297 |
| Total decentralised agencies – heading 3 | | 238 | 231 | 255 | 276 | 110 | 108 | 115 | 127 | 25 | 18 | 25 | 25 | 373 | 357 | 395 | 428 |

1.5.4 Staffing 2022-2024: Decentralised agency of Heading 4 – Migration and Border Management

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|---|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|-------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| European Union Agency for Asylum (EUAA) | 2010 | 371 | 333 | 371 | 371 | 123 | 177 | 213 | 183 | 11 | 10 | 11 | 11 | 505 | 520 | 595 | 565 |
| European Border and Coast Guard Agency (Frontex) | 2004 | 1 300 | 1 150 | 1 300 | 1 545 | 964 | 712 | 979 | 1 193 | 220 | 189 | 220 | 220 | 2 484 | 2 051 | 2 499 | 2 958 |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') | 2011 | 215 | 191 | 222 | 232 | 169 | 121 | 166 | 165 | 11 | 11 | 11 | 11 | 395 | 323 | 399 | 408 |
| Total decentralised agencies – heading 4 | | 1 886 | 1 674 | 1 893 | 2 153 | 1 256 | 1 010 | 1 358 | 1 561 | 242 | 210 | 242 | 242 | 3 384 | 2 894 | 3 493 | 3 931 |

1.5.5 Staffing 2022-2024: Decentralised agency of Heading 5 – Security and Defence

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|--|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|-------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| European Union Agency for Law Enforcement Cooperation (Europol) | 1995 | 686 | 682 | 716 | 754 | 235 | 217 | 235 | 255 | 71 | 59 | 121 | 121 | 992 | 958 | 1.072 | 1.130 |
| European Union Agency for Law Enforcement Training (CEPOL) | 2005 | 33 | 27 | 33 | 33 | 20 | 20 | 19 | 19 | 4 | 6 | 4 | 4 | 57 | 53 | 56 | 56 |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) | 1993 | 76 | 74 | 76 | 89 | 34 | 27 | 34 | 34 | 1 | 1 | 1 | 1 | 111 | 102 | 111 | 124 |
| Total decentralised agencies – heading 5 | | 795 | 783 | 825 | 876 | 289 | 264 | 288 | 308 | 76 | 66 | 126 | 126 | 1 160 | 1 113 | 1 239 | 1 310 |

1.5.6 Staffing 2022-2024: Decentralised agency of Heading 7 – Administration

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|---|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| Translation Centre for the Bodies of the European Union | 1994 | 193 | 181 | 193 | 193 | 28 | 20 | 35 | 35 | | | | | 221 | 201 | 228 | 228 |
| Total decentralised agencies – heading 7 | | 193 | 181 | 193 | 193 | 28 | 20 | 35 | 35 | 0 | 0 | 0 | 0 | 221 | 201 | 228 | 228 |

1.5.7 Staffing 2022-2024: Self-financed decentralised agencies

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|---|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|-------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| European Union Intellectual Property Office (EUIPO) | 1993 | 1.172 | 938 | 1.172 | 1.172 | 237 | 216 | 245 | 245 | 72 | 67 | 75 | 75 | 1.481 | 1.221 | 1.492 | 1.492 |
| Community Plant Variety Office (CPVO) | 1994 | 48 | 47 | 51 | 51 | 6 | 5 | 6 | 6 | 1 | 1 | | | 55 | 53 | 57 | 57 |
| Single Resolution Board (SRB) | 2014 | 450 | 425 | 450 | 485 | | | | | 35 | 20 | 35 | 30 | 485 | 445 | 485 | 515 |
| Total decentralised agencies | | 1 670 | 1 410 | 1 673 | 1 708 | 243 | 221 | 251 | 251 | 108 | 88 | 110 | 105 | 2 021 | 1 719 | 2 034 | 2 064 |

1.5.8 Staffing 2022-2024: Decentralised agencies – Total

| Name of the decentralised agency | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|--|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------------|
| | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2021 | Budget 2023 | Draft Budget 2024 |
| Total decentralised agencies | 9 397 | 8 712 | 9 625 | 10 058 | 3 260 | 2 881 | 3 342 | 3 573 | 799 | 669 | 859 | 867 | 13 456 | 12 262 | 13 826 | 14 498 |
| Total decentralised agencies (excl. fully self-financed agencies) | 7 727 | 7 302 | 7 952 | 8 350 | 3 017 | 2 660 | 3 091 | 3 322 | 691 | 581 | 749 | 762 | 11 435 | 10 543 | 11 792 | 12 434 |

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

The individual budgetary financial statements include, by agency, information on the set-up of the agency, the EU contribution to and the budget of the agency (revenue and expenditure), the estimated surplus of 2021, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1 Decentralised agencies of Heading 1 – Single Market, Innovation and Digital

2.1.1 European Union Aviation Safety Agency – EASA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|--|--|
| BASIC REGULATION: Regulation (EU) No 2018/1139 of the European Parliament and of the Council on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and amending Regulations (EC) No 2111/2005, (EC) No 1008/2008, (EU) No 996/2010, (EU) No 376/2014 and Directives 2014/30/EU of the European Parliament and of the Council, and repealing Regulations (EC) No 552/2004 and (EC) No 216/2008 of the European Parliament and of the Council and Council Regulation (EEC) No 3922/91 | 04 July 2018 11 September 2018 (Entry into force) | The Regulation consolidates the scope of EU competence to cover the full spectrum of the aviation landscape and reinforce the European aviation system as a whole. EASA's mandate continues to cover aviation safety management, airworthiness and environmental protection, aircrew, air operations, aerodromes, air traffic management (ATM) and air navigation services (ANS), and aircraft used by a third-country operators into, within or out of the EU. In addition, new technical areas are added, like unmanned aircraft, ground handling and aviation security (specifically cybersecurity). EASA's role is also reinforced in areas, such as environmental protection, research and development, or international cooperation. Furthermore, the Regulation provides new tools, such as a possibility for some products and activities that would be normally excluded from the scope of the Regulation to be included (opt-ins) and for some products that would normally in the scope to the excluded from it (opt-out). It also allows the transfer of competent authority responsibilities between MS or from MS to EASA. |
| Regulation (EC) No 2111/2005 on the establishment of a Community list of air carriers subject to an operating ban within the Community and on informing air transport passengers of the identity of the operating air carrier. | 14 December 2005 16 January 2006 (Entry into force) | EASA shall communicate to the Commission all information that may be relevant in the context of updating the Community list as well as bring the Community list to the attention of passengers. |
| Regulation (EU) No 996/2010 of the European Parliament and of the Council. On the investigation and prevention of accident and incidents in civil aviation, | 20 October 2010 02 December 2010 (Entry into force) | EASA participates in safety investigations and in cooperation with the competent authorities of the Member States in the exchange and analysis of information within the occurrence reporting systems |
| Regulation EU No 376/2014 of the European Parliament and of the Council. On the reporting, analysis and follow-up of occurrences in civil aviation | 03 April 2014 15 November 2015 (Applicability date) | EASA is involved in the exchange, evaluation, processing, or analysis of occurrences that have a significant role to play in the identification of safety hazards and safety deficiencies. EASA shall establish mandatory and voluntary reporting systems to facilitate the collection of details of occurrences. The Agency and the Commission should provide technical support for the interoperability of the Aircraft Incident Reporting Systems (ECCAIRS) and with the ADREP taxonomy |

1.2 Seat

EASA is located in Cologne, Germany.

1.3 Budget Line

02 10 01 : European Union Aviation Safety Agency (EASA)

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources overview EASA

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 566 | 553 | 97,70% | 580 | 582 |
| Assistants (AST) | 112 | 98 | 87,50% | 100 | 99 |
| Assistants/Secretaries (AST/SC) | 2 | | 0,00% | 2 | 2 |
| ESTABLISHMENT PLAN POSTS | 680 | 651 | 95,74% | 682 | 683 |
| Contract Agents (CA) | 106 | 97 | 91,51% | 106 | 106 |
| Seconded National Experts (SNE) | 24 | 23 | 95,83% | 24 | 24 |
| TOTAL STAFF | 810 | 771 | 95,19% | 812 | 813 |

1.4.2 Human Resources overview (Fees and charges)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 383 | 373 | 97,39% | 392 | 392 |
| Assistants (AST) | 70 | 63 | 90,00% | 61 | 61 |
| Assistants/Secretaries (AST/SC) | 1 | | 0,00% | 1 | 1 |
| ESTABLISHMENT PLAN POSTS | 454 | 436 | 96,04% | 454 | 454 |
| Contract Agents (CA) | 62 | 51 | 82,26% | 62 | 62 |
| Seconded National Experts (SNE) | | 2 | | 4 | |
| TOTAL STAFF | 516 | 489 | 94,77% | 520 | 516 |

1.4.3 Human Resources overview (EU Contribution)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 183 | 180 | 98,36% | 188 | 190 |
| Assistants (AST) | 42 | 35 | 83,33% | 39 | 38 |
| Assistants/Secretaries (AST/SC) | 1 | | 0,00% | 1 | 1 |
| ESTABLISHMENT PLAN POSTS | 226 | 215 | 95,13% | 228 | 229 |
| Contract Agents (CA) | 44 | 46 | 104,55% | 44 | 44 |
| Seconded National Experts (SNE) | 24 | 21 | 87,50% | 20 | 24 |
| TOTAL STAFF | 294 | 282 | 95,92% | 292 | 297 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 43 548 433 | 44 622 553 |
| Other Revenue | 192 221 087 | 199 077 322 |
| TOTAL REVENUES | 235 769 520 | 243 699 875 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 459 978 | 513 128 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 27 221 866 | 22 619 005 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 175 000 | 240 000 |
| TOTAL REVENUES | 27 856 844 | 23 372 133 |

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview EASA

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 109 450 793 | 109 450 793 | 119 726 792 | 119 726 792 |
| Title 2 - Infrastructure and operating expenditure | 27 117 206 | 27 117 206 | 29 762 789 | 29 762 789 |
| Title 3 - Operational expenditure | 99201521 | 99201521 | 98795398 | 98795398 |
| TOTAL EXPENDITURE | 235 769 520 | 235 769 520 | 248 284 979 | 248 284 979 |

1.5.2.2 Expenditure Overview (Fees and charges)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 78 911 245 | 78 911 245 | 85 078 517 | 85 078 517 |
| Title 2 - Infrastructure and operating expenditure | 17 541 402 | 17 541 402 | 19 328 670 | 19 328 670 |
| Title 3 - Operational expenditure | 92 084 459 | 92 084 459 | 90 839 545 | 90 839 545 |

| Expenditure | 2023 | | 2024 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 188 537 106 | 188 537 106 | 195 246 732 | 195 246 732 |

1.5.2.3 Expenditure Overview (EU Contribution)

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 30 539 548 | 30 539 548 | 34 648 275 | 34 648 275 |
| Title 2 - Infrastructure and operating expenditure | 9 575 804 | 9 575 804 | 10 434 119 | 10 434 119 |
| Title 3 - Operational expenditure | 7 117 062 | 7 117 062 | 7 955 853 | 7 955 853 |
| TOTAL EXPENDITURE | 47 232 414 | 47 232 414 | 53 038 247 | 53 038 247 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts EASA

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 25 | | 2 | | 25 | | 4 | | 4 |
| AD 13 | | 33 | | 5 | | 33 | | 6 | | 6 |
| AD 12 | | 66 | | 17 | | 66 | | 30 | | 30 |
| AD 11 | | 88 | | 63 | | 88 | | 73 | | 73 |
| AD 10 | | 110 | | 87 | | 110 | | 93 | | 93 |
| AD 9 | | 120 | | 131 | | 120 | | 134 | | 134 |
| AD 8 | | 78 | | 96 | | 78 | | 108 | | 108 |
| AD 7 | | 32 | | 36 | | 32 | | 45 | | 45 |
| AD 6 | | 11 | | 56 | | 13 | | 46 | | 46 |
| AD 5 | | 2 | | 59 | | 14 | | 42 | | 42 |
| AD TOTAL | | 566 | | 553 | | 580 | | 582 | | 582 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 9 | | 1 | | | | 1 | | | | |
| AST 8 | | 3 | | 1 | | 4 | | 3 | | 3 |
| AST 7 | | 11 | | 9 | | 11 | | 12 | | 12 |
| AST 6 | | 27 | | 24 | | 27 | | 32 | | 32 |
| AST 5 | | 28 | | 38 | | 28 | | 35 | | 35 |
| AST 4 | | 25 | | 14 | | 15 | | 10 | | 10 |
| AST 3 | | 15 | | 11 | | 12 | | 6 | | 6 |
| AST 2 | | 2 | | 1 | | 2 | | 1 | | 1 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 112 | | 98 | | 100 | | 99 | | 99 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 2 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 2 | | | | 2 | | 2 | | 2 |
| TOTAL | | 680 | | 651 | | 682 | | 683 | | 683 |
| GRAND TOTAL | | 680 | | 651 | | 682 | | 683 | | 683 |

2.1.2 Establishment plan posts (Fees and charges)

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 15 | | 1 | | 15 | | 3 | | 3 |
| AD 13 | | 24 | | 4 | | 24 | | 5 | | 5 |
| AD 12 | | 48 | | 12 | | 48 | | 20 | | 20 |
| AD 11 | | 69 | | 48 | | 69 | | 53 | | 53 |
| AD 10 | | 73 | | 50 | | 73 | | 55 | | 55 |
| AD 9 | | 67 | | 87 | | 67 | | 86 | | 86 |
| AD 8 | | 52 | | 69 | | 52 | | 80 | | 80 |
| AD 7 | | 25 | | 28 | | 25 | | 31 | | 31 |
| AD 6 | | 8 | | 37 | | 8 | | 31 | | 31 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 5 | | 1 | | 36 | | 10 | | 27 | | 27 |
| AD TOTAL | | 383 | | 373 | | 392 | | 392 | | 392 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | | | 1 | | | | |
| AST 8 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AST 7 | | 11 | | 5 | | 11 | | 7 | | 7 |
| AST 6 | | 10 | | 16 | | 15 | | 20 | | 20 |
| AST 5 | | 19 | | 25 | | 19 | | 22 | | 22 |
| AST 4 | | 17 | | 10 | | 8 | | 7 | | 7 |
| AST 3 | | 10 | | 6 | | 5 | | 3 | | 3 |
| AST 2 | | 1 | | | | 1 | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 70 | | 63 | | 61 | | 61 | | 61 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 1 | | | | 1 | | 1 | | 1 |
| TOTAL | | 454 | | 436 | | 454 | | 454 | | 454 |
| GRAND TOTAL | | 454 | | 436 | | 454 | | 454 | | 454 |

2.1.3 Establishment plan posts (UE Contribution)

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 10 | | 1 | | 10 | | 1 | | 1 |
| AD 13 | | 9 | | 1 | | 9 | | 1 | | 1 |
| AD 12 | | 18 | | 5 | | 18 | | 10 | | 10 |
| AD 11 | | 19 | | 15 | | 19 | | 20 | | 20 |
| AD 10 | | 37 | | 37 | | 37 | | 38 | | 38 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | | 53 | | 44 | | 53 | | 48 | | 48 |
| AD 8 | | 26 | | 27 | | 26 | | 28 | | 28 |
| AD 7 | | 7 | | 8 | | 7 | | 14 | | 14 |
| AD 6 | | 3 | | 19 | | 5 | | 15 | | 15 |
| AD 5 | | 1 | | 23 | | 4 | | 15 | | 15 |
| AD TOTAL | | 183 | | 180 | | 188 | | 190 | | 190 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 2 | | | | 3 | | 1 | | 1 |
| AST 7 | | | | 4 | | | | 5 | | 5 |
| AST 6 | | 17 | | 8 | | 12 | | 12 | | 12 |
| AST 5 | | 9 | | 13 | | 9 | | 13 | | 13 |
| AST 4 | | 8 | | 4 | | 7 | | 3 | | 3 |
| AST 3 | | 5 | | 5 | | 7 | | 3 | | 3 |
| AST 2 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 42 | | 35 | | 39 | | 38 | | 38 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 1 | | | | 1 | | 1 | | 1 |
| TOTAL | | 226 | | 215 | | 228 | | 229 | | 229 |
| GRAND TOTAL | | 226 | | 215 | | 228 | | 229 | | 229 |

2.2 External personnel

2.2.1 External personnel EASA

2.2.1.1 Contract Agents EASA

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 36 | 38 | 36 | 36 |
| Function Group III | 68 | 59 | 68 | 68 |
| Function Group II | 2 | | 2 | 2 |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | | | |
| TOTAL | 106 | 97 | 106 | 106 |

2.2.1.2 Seconded National Experts EASA

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 24 | 23 | 24 | 24 |

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 21 | 13 | 21 | 21 |
| Function Group III | 40 | 38 | 40 | 40 |
| Function Group II | 1 | | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 62 | 51 | 62 | 62 |

2.2.2.2 Seconded National Experts (Fees and charges)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | 2 | 4 | |

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 15 | 25 | 15 | 15 |
| Function Group III | 28 | 21 | 28 | 28 |
| Function Group II | 1 | | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 44 | 46 | 44 | 44 |

2.2.3.2 Seconded National Experts (EU Contribution)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 24 | 21 | 20 | 24 |

3 Financial Resources

3.1 Revenues EASA

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 109 408 980 | 123 995 144 | 131 882 717 | 131 882 717 | 6,36% |
| 2 EU CONTRIBUTION | 39 678 000 | 43 548 433 | 44 622 553 | 44 622 553 | 2,47% |
| - Of which assigned revenues deriving from previous years' surpluses | 2 352 620 | 318 175 | 240 680 | 240 680 | -24,36% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 698 109 | 3 185 568 | 3 277 533 | 3 277 533 | 2,89% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 698 109 | 3 185 568 | 3 277 533 | 3 277 533 | 2,89% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 21 930 391 | p.m. | p.m. | p.m. | |
| 5 ADMINISTRATIVE OPERATIONS | 591 860 | 1 000 001 | 1 000 001 | 1 000 001 | 0,00% |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | 252 108 | 310 000 | 310 000 | 22,96% |
| 7 CORRECTION OF BUDGETARY IMBALANCES | 72 124 612 | 63 788 266 | 62 607 071 | 62 607 071 | -1,85% |
| TOTAL | 246 431 952 | 235 769 520 | 243 699 875 | 243 699 875 | 3,36% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | -4 367 | 459 978 | 513 128 | 513 128 | 11,55% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 21 754 629 | 27 221 866 | 22 619 005 | 22 619 005 | -16,91% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 153 842 | 175 000 | 240 000 | 240 000 | 37,14% |
| TOTAL | 21 904 104 | 27 856 844 | 23 372 133 | 23 372 133 | -16,10% |

3.2 Expenditure

3.2.1 Expenditure EASA

3.2.1.1 Commitment appropriations EASA

| EXPENDITURE | Commitment appropriations EASA | | | | |
|---|--------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 101 397 342 | 109 450 793 | 119 726 792 | 119 726 792 | 9,39% |
| Salaries & allowances | 84 871 642 | 90 961 797 | 100 289 797 | 100 289 797 | 10,25% |
| - Of which establishment plan posts | 77 892 377 | 82 691 807 | 91 538 781 | 91 538 781 | 10,70% |
| - Of which external personnel | 6 979 265 | 8 269 990 | 8 751 016 | 8 751 016 | 5,82% |
| Expenditure relating to Staff recruitment | 923 261 | 1 806 000 | 1 764 995 | 1 764 995 | -2,27% |
| Employer's pension contributions | 10 036 186 | 10 318 000 | 11 045 000 | 11 045 000 | 7,05% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 181 782 | 299 999 | 300 001 | 300 001 | 0,00% |
| Training | 303 953 | 450 002 | 450 000 | 450 000 | -0,00% |
| External Services | 232 316 | 299 999 | 300 001 | 300 001 | 0,00% |
| Receptions, events and representation | 75 000 | 77 000 | 77 001 | 77 001 | 0,00% |
| Social welfare | 4 773 202 | 5 237 996 | 5 499 997 | 5 499 997 | 5,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 25 372 950 | 27 117 206 | 29 762 789 | 29 762 789 | 9,76% |
| Rental of buildings and associated costs | 9 927 590 | 11 276 749 | 10 943 583 | 10 943 583 | -2,95% |
| Information, communication technology and data processing | 13 663 186 | 14 115 261 | 17 077 519 | 17 077 519 | 20,99% |
| Movable property and associated costs | 324 614 | 252 995 | 287 990 | 287 990 | 13,83% |
| Current administrative expenditure | 1 319 809 | 1 318 200 | 1 276 697 | 1 276 697 | -3,15% |
| Postage / Telecommunications | 137 751 | 154 001 | 177 000 | 177 000 | 14,93% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations EASA | | | | |
|--|--------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 142 230 173 | 99 201 521 | 98 795 398 | 98 795 398 | -0,41% |
| Certification activities | 20 980 260 | 17 070 000 | 18 107 000 | 18 107 000 | 6,07% |
| Standardisation activities | 96 800 | 137 000 | 137 000 | 137 000 | 0,00% |
| Development data base | 3 032 825 | 4 900 000 | 5 609 017 | 5 609 017 | 14,47% |
| Communication and publication | 407 735 | 544 000 | 569 000 | 569 000 | 4,60% |
| Meeting expenses | 602 120 | 885 651 | 918 653 | 918 653 | 3,73% |
| Translations and interpretation costs | 111 856 | 17 999 | 18 000 | 18 000 | 0,01% |
| Rulemaking activities | 1 677 636 | 1 644 999 | 1 865 000 | 1 865 000 | 13,37% |
| Mission,entertainment and representation expenditure | 4 440 449 | 5 308 801 | 5 554 396 | 5 554 396 | 4,63% |
| Technical training | 748 961 | 990 999 | 1 006 001 | 1 006 001 | 1,51% |
| ED activites | 4 563 346 | 5 095 000 | 5 545 000 | 5 545 000 | 8,83% |
| Special Operations Programmes | 41 779 919 | | | | |
| Other expenditure | 63 788 266 | 62 607 072 | 59 466 331 | 59 466 331 | -5,02% |
| TOTAL | 269 000 465 | 235 769 520 | 248 284 979 | 248 284 979 | 5,31% |

3.2.1.2 Payment appropriations EASA

| EXPENDITURE | Payment appropriations EASA | | | | |
|---|-----------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 101 077 373 | 109 450 793 | 119 726 792 | 119 726 792 | 9,39% |
| Salaries & allowances | 84 843 642 | 90 961 797 | 100 289 797 | 100 289 797 | 10,25% |
| - Of which establishment plan posts | 77 892 378 | 82 691 807 | 91 538 781 | 91 538 781 | 10,70% |
| - Of which external personnel | 6 951 264 | 8 269 990 | 8 751 016 | 8 751 016 | 5,82% |
| Expenditure relating to Staff recruitment | 871 034 | 1 806 000 | 1 764 995 | 1 764 995 | -2,27% |
| Employer's pension contributions | 10 036 186 | 10 318 000 | 11 045 000 | 11 045 000 | 7,05% |

| EXPENDITURE | Payment appropriations EASA | | | | |
|---|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 158 488 | 299 999 | 300 001 | 300 001 | 0,00% |
| Training | 279 422 | 450 002 | 450 000 | 450 000 | -0,00% |
| External Services | 167 692 | 299 999 | 300 001 | 300 001 | 0,00% |
| Receptions, events and representation | 1 974 | 77 000 | 77 001 | 77 001 | 0,00% |
| Social welfare | 4 718 935 | 5 237 996 | 5 499 997 | 5 499 997 | 5,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 18 102 374 | 27 117 206 | 29 762 789 | 29 762 789 | 9,76% |
| Rental of buildings and associated costs | 9 220 123 | 11 276 749 | 10 943 583 | 10 943 583 | -2,95% |
| Information, communication technology and data processing | 7 900 230 | 14 115 261 | 17 077 519 | 17 077 519 | 20,99% |
| Movable property and associated costs | 138 321 | 252 995 | 287 990 | 287 990 | 13,83% |
| Current administrative expenditure | 729 089 | 1 318 200 | 1 276 697 | 1 276 697 | -3,15% |
| Postage / Telecommunications | 114 611 | 154 001 | 177 000 | 177 000 | 14,93% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 26 854 796 | 99 201 521 | 98 795 398 | 98 795 398 | -0,41% |
| Certification activities | 11 205 553 | 17 070 000 | 18 107 000 | 18 107 000 | 6,07% |
| Standardisation activities | 59 525 | 137 000 | 137 000 | 137 000 | 0,00% |
| Development data base | 1 601 476 | 4 900 000 | 5 609 017 | 5 609 017 | 14,47% |
| Communication and publication | 217 219 | 544 000 | 569 000 | 569 000 | 4,60% |
| Meeting expenses | 207 997 | 885 651 | 918 653 | 918 653 | 3,73% |
| Translations and interpretation costs | 63 122 | 17 999 | 18 000 | 18 000 | 0,01% |

| EXPENDITURE | Payment appropriations EASA | | | | |
|--|-----------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Rulemaking activities | 50 833 | 1 644 999 | 1 865 000 | 1 865 000 | 13,37% |
| Mission,entertainment and representation expenditure | 4 031 423 | 5 308 801 | 5 554 396 | 5 554 396 | 4,63% |
| Technical training | 366 501 | 990 999 | 1 006 001 | 1 006 001 | 1,51% |
| ED activites | 1 632 237 | 5 095 000 | 5 545 000 | 5 545 000 | 8,83% |
| Special Operations Programmes | 7 418 910 | | | | |
| Other expenditure | | 62 607 072 | 59 466 331 | 59 466 331 | -5,02% |
| TOTAL | 146 034 543 | 235 769 520 | 248 284 979 | 248 284 979 | 5,31% |

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 73 125 883 | 78 911 245 | 85 078 517 | 85 078 517 | 7,82% |
| Salaries & allowances | 58 871 753 | 63 174 599 | 68 433 041 | 68 433 041 | 8,32% |
| - Of which establishment plan posts | 55 458 062 | 57 698 221 | 62 599 967 | 62 599 967 | 8,50% |
| - Of which external personnel | 3 413 691 | 5 476 378 | 5 833 074 | 5 833 074 | 6,51% |
| Expenditure relating to Staff recruitment | 529 394 | 1 195 933 | 1 176 478 | 1 176 478 | -1,63% |
| Employer's pension contributions | 10 036 186 | 10 318 000 | 11 045 000 | 11 045 000 | 7,05% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 118 780 | 198 658 | 199 966 | 199 966 | 0,66% |
| Training | 198 150 | 297 990 | 299 955 | 299 955 | 0,66% |
| External Services | 150 956 | 198 658 | 199 966 | 199 966 | 0,66% |
| Receptions, events and representation | 48 943 | 58 812 | 58 028 | 58 028 | -1,33% |
| Social welfare | 3 171 721 | 3 468 595 | 3 666 083 | 3 666 083 | 5,69% |
| Other Staff related expenditure | | | | | |

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|---|--|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | 16 274 743 | 17 541 402 | 19 328 670 | 19 328 670 | 10,19% |
| Rental of buildings and associated costs | 6 295 981 | 7 304 115 | 7 150 556 | 7 150 556 | -2,10% |
| Information, communication technology and data processing | 8 924 990 | 9 144 336 | 11 076 728 | 11 076 728 | 21,13% |
| Movable property and associated costs | 212 667 | 163 836 | 187 797 | 187 797 | 14,62% |
| Current administrative expenditure | 751 015 | 829 387 | 798 171 | 798 171 | -3,76% |
| Postage / Telecommunications | 90 090 | 99 728 | 115 418 | 115 418 | 15,73% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 137 116 427 | 92 084 459 | 90 839 545 | 90 839 545 | -1,35% |
| Certification activities | 20 980 260 | 17 070 000 | 18 107 000 | 18 107 000 | 6,07% |
| Standardisation activities | | | | | |
| Development data base | 1 852 708 | 1 869 870 | 2 280 492 | 2 280 492 | 21,96% |
| Communication and publication | 283 387 | 406 578 | 419 064 | 419 064 | 3,07% |
| Meeting expenses | 356 744 | 557 101 | 453 597 | 453 597 | -18,58% |
| Translations and interpretation costs | 77 230 | 14 210 | 14 048 | 14 048 | -1,14% |
| Rulemaking activities | 264 456 | 254 111 | 324 056 | 324 056 | 27,53% |
| Mission,entertainment and representation expenditure | 3 573 963 | 4 175 055 | 4 236 542 | 4 236 542 | 1,47% |
| Technical training | 446 578 | 670 462 | 678 415 | 678 415 | 1,19% |
| ED activites | 3 712 916 | 4 460 000 | 4 860 000 | 4 860 000 | 8,97% |
| Special Operations Programmes | 41 779 919 | | | | |
| Other expenditure | 63 788 266 | 62 607 072 | 59 466 331 | 59 466 331 | -5,02% |
| TOTAL | 226 517 053 | 188 537 106 | 195 246 732 | 195 246 732 | 3,56% |

3.2.2.2 Payment appropriations (Fees and charges)

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 72 928 706 | 78 911 245 | 85 078 517 | 85 078 517 | 7,82% |
| Salaries & allowances | 58 853 239 | 63 174 599 | 68 433 041 | 68 433 041 | 8,32% |
| - Of which establishment plan posts | 55 458 063 | 57 698 221 | 62 599 967 | 62 599 967 | 8,50% |
| - Of which external personnel | 3 395 176 | 5 476 378 | 5 833 074 | 5 833 074 | 6,51% |
| Expenditure relating to Staff recruitment | 507 651 | 1 195 933 | 1 176 478 | 1 176 478 | -1,63% |
| Employer's pension contributions | 10 036 186 | 10 318 000 | 11 045 000 | 11 045 000 | 7,05% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 103 559 | 198 658 | 199 966 | 199 966 | 0,66% |
| Training | 182 158 | 297 990 | 299 955 | 299 955 | 0,66% |
| External Services | 108 964 | 198 658 | 199 966 | 199 966 | 0,66% |
| Receptions, events and representation | 1 288 | 58 812 | 58 028 | 58 028 | -1,33% |
| Social welfare | 3 135 661 | 3 468 595 | 3 666 083 | 3 666 083 | 5,69% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 545 633 | 17 541 402 | 19 328 670 | 19 328 670 | 10,19% |
| Rental of buildings and associated costs | 5 835 242 | 7 304 115 | 7 150 556 | 7 150 556 | -2,10% |
| Information, communication technology and data processing | 5 161 191 | 9 144 336 | 11 076 728 | 11 076 728 | 21,13% |
| Movable property and associated costs | 90 654 | 163 836 | 187 797 | 187 797 | 14,62% |
| Current administrative expenditure | 383 590 | 829 387 | 798 171 | 798 171 | -3,76% |
| Postage / Telecommunications | 74 956 | 99 728 | 115 418 | 115 418 | 15,73% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|--|---|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 24 734 140 | 92 084 459 | 90 839 545 | 90 839 545 | -1,35% |
| Certification activities | 11 205 553 | 17 070 000 | 18 107 000 | 18 107 000 | 6,07% |
| Standardisation activities | | | | | |
| Development data base | 978 318 | 1 869 870 | 2 280 492 | 2 280 492 | 21,96% |
| Communication and publication | 150 973 | 406 578 | 419 064 | 419 064 | 3,07% |
| Meeting expenses | 123 234 | 557 101 | 453 597 | 453 597 | -18,58% |
| Translations and interpretation costs | 43 582 | 14 210 | 14 048 | 14 048 | -1,14% |
| Rulemaking activities | 8 953 | 254 111 | 324 056 | 324 056 | 27,53% |
| Mission,entertainment and representation expenditure | 3 244 752 | 4 175 055 | 4 236 542 | 4 236 542 | 1,47% |
| Technical training | 241 387 | 670 462 | 678 415 | 678 415 | 1,19% |
| ED activites | 1 318 478 | 4 460 000 | 4 860 000 | 4 860 000 | 8,97% |
| Special Operations Programmes | 7 418 910 | | | | |
| Other expenditure | | 62 607 072 | 59 466 331 | 59 466 331 | -5,02% |
| TOTAL | 109 208 479 | 188 537 106 | 195 246 732 | 195 246 732 | 3,56% |

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 28 271 459 | 30 539 548 | 34 648 275 | 34 648 275 | 13,45% |
| Salaries & allowances | 25 999 889 | 27 787 198 | 31 856 756 | 31 856 756 | 14,65% |
| - Of which establishment plan posts | 22 434 315 | 24 993 586 | 28 938 814 | 28 938 814 | 15,78% |
| - Of which external personnel | 3 565 574 | 2 793 612 | 2 917 942 | 2 917 942 | 4,45% |
| Expenditure relating to Staff recruitment | 393 867 | 610 067 | 588 517 | 588 517 | -3,53% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|---|---|------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 63 002 | 101 341 | 100 035 | 100 035 | -1,29% |
| Training | 105 803 | 152 012 | 150 045 | 150 045 | -1,29% |
| External Services | 81 360 | 101 341 | 100 035 | 100 035 | -1,29% |
| Receptions, events and representation | 26 057 | 18 188 | 18 973 | 18 973 | 4,32% |
| Social welfare | 1 601 481 | 1 769 401 | 1 833 914 | 1 833 914 | 3,65% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 9 098 207 | 9 575 804 | 10 434 119 | 10 434 119 | 8,96% |
| Rental of buildings and associated costs | 3 631 609 | 3 972 634 | 3 793 027 | 3 793 027 | -4,52% |
| Information, communication technology and data processing | 4 738 196 | 4 970 925 | 6 000 791 | 6 000 791 | 20,72% |
| Movable property and associated costs | 111 947 | 89 159 | 100 193 | 100 193 | 12,38% |
| Current administrative expenditure | 568 794 | 488 813 | 478 526 | 478 526 | -2,10% |
| Postage / Telecommunications | 47 661 | 54 273 | 61 582 | 61 582 | 13,47% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 113 746 | 7 117 062 | 7 955 853 | 7 955 853 | 11,79% |
| Certification activities | | | | | |
| Standardisation activities | 96 800 | 137 000 | 137 000 | 137 000 | 0,00% |
| Development data base | 1 180 117 | 3 030 130 | 3 328 525 | 3 328 525 | 9,85% |
| Communication and publication | 124 348 | 137 422 | 149 936 | 149 936 | 9,11% |
| Meeting expenses | 245 376 | 328 550 | 465 056 | 465 056 | 41,55% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|--|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Translations and interpretation costs | 34 626 | 3 789 | 3 952 | 3 952 | 4,30% |
| Rulemaking activities | 1 413 180 | 1 390 888 | 1 540 944 | 1 540 944 | 10,79% |
| Mission,entertainment and representation expenditure | 866 486 | 1 133 746 | 1 317 854 | 1 317 854 | 16,24% |
| Technical training | 302 383 | 320 537 | 327 586 | 327 586 | 2,20% |
| ED activites | 850 430 | 635 000 | 685 000 | 685 000 | 7,87% |
| Special Operations Programmes | | | | | |
| Other expenditure | | | | | |
| TOTAL | 42 483 412 | 47 232 414 | 53 038 247 | 53 038 247 | 12,29% |

3.2.3.2 Payment appropriations (EU Contribution)

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|---|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 28 148 667 | 30 539 548 | 34 648 275 | 34 648 275 | 13,45% |
| Salaries & allowances | 25 990 403 | 27 787 198 | 31 856 756 | 31 856 756 | 14,65% |
| - Of which establishment plan posts | 22 434 315 | 24 993 586 | 28 938 814 | 28 938 814 | 15,78% |
| - Of which external personnel | 3 556 088 | 2 793 612 | 2 917 942 | 2 917 942 | 4,45% |
| Expenditure relating to Staff recruitment | 363 383 | 610 067 | 588 517 | 588 517 | -3,53% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 54 929 | 101 341 | 100 035 | 100 035 | -1,29% |
| Training | 97 264 | 152 012 | 150 045 | 150 045 | -1,29% |
| External Services | 58 728 | 101 341 | 100 035 | 100 035 | -1,29% |
| Receptions, events and representation | 686 | 18 188 | 18 973 | 18 973 | 4,32% |
| Social welfare | 1 583 274 | 1 769 401 | 1 833 914 | 1 833 914 | 3,65% |
| Other Staff related expenditure | | | | | |

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | 6 556 741 | 9 575 804 | 10 434 119 | 10 434 119 | 8,96% |
| Rental of buildings and associated costs | 3 384 881 | 3 972 634 | 3 793 027 | 3 793 027 | -4,52% |
| Information, communication technology and data processing | 2 739 039 | 4 970 925 | 6 000 791 | 6 000 791 | 20,72% |
| Movable property and associated costs | 47 667 | 89 159 | 100 193 | 100 193 | 12,38% |
| Current administrative expenditure | 345 499 | 488 813 | 478 526 | 478 526 | -2,10% |
| Postage / Telecommunications | 39 655 | 54 273 | 61 582 | 61 582 | 13,47% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 120 656 | 7 117 062 | 7 955 853 | 7 955 853 | 11,79% |
| Certification activities | | | | | |
| Standardisation activities | 59 525 | 137 000 | 137 000 | 137 000 | 0,00% |
| Development data base | 623 158 | 3 030 130 | 3 328 525 | 3 328 525 | 9,85% |
| Communication and publication | 66 246 | 137 422 | 149 936 | 149 936 | 9,11% |
| Meeting expenses | 84 763 | 328 550 | 465 056 | 465 056 | 41,55% |
| Translations and interpretation costs | 19 540 | 3 789 | 3 952 | 3 952 | 4,30% |
| Rulemaking activities | 41 880 | 1 390 888 | 1 540 944 | 1 540 944 | 10,79% |
| Mission,entertainment and representation expenditure | 786 671 | 1 133 746 | 1 317 854 | 1 317 854 | 16,24% |
| Technical training | 125 114 | 320 537 | 327 586 | 327 586 | 2,20% |
| ED activites | 313 759 | 635 000 | 685 000 | 685 000 | 7,87% |
| Special Operations Programmes | | | | | |
| Other expenditure | | | | | |
| TOTAL | 36 826 064 | 47 232 414 | 53 038 247 | 53 038 247 | 12,29% |

3.3 Budget Outturn

The provisional budget outturn for the EU Contribution reports a surplus of € +241m. The provisional budget outturn for Fees & Charges reports a deficit of € -8.33m. As identified in section 3.1.1 General Revenues, the Fees and Charges assigned revenues carried forward from previous years is expected to decrease by the 2022 provisional budget outturn, resulting in accumulated F&C of €63.8m in total.

4 Justification of needs

Commission assessment

Human Resources

In view of EASA's difficult budgetary situation as regards its work that is funded by the EU contribution, the Commission welcomes that EASA maintains the 2023 staffing levels in line with the applicable LFS for the ReFuel EU Aviation legislation.

Financial Resources

The combined impact of inflation and additional tasks has put the Agency under significant budgetary pressure (structural deficit). The deficit was solved in 2023 with short-term measures (postponement of some activities, revised recruitment plan). The agency expects to be able to close the expected 2024 shortfall with additional important internal measures (a combination of operational improvements, the definition and implementation of negative priorities as well as an adjusted distribution of costs among the different sources of revenue).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

The Agency has one global approved Establishment plan. The split between Fees and Charges and EU Contribution is made based on allocation keys and is not binding. Allocation keys are subject to the final financial result of 2022. The number of Fees and Charges (TA) posts requested for 2023 and 2024 is 459, considering the amendment of the Establishment Plan by the Management Board via the MB Decision 15-2022 of 14 December 2022, in accordance with the Financial Regulations (MD Decision 16-2019). The number of posts requested in previous exercises for the same period was 454.

4.1.1.2 Number of staff requested (UE Contribution)

The number of EU Contribution (TA) posts requested for 2024 is 225. These figures are below the 229 requested in previous exercises due to the amendment of the Establishment Plan by the Management Board via the MB Decision 15-2022 of 14 December 2022, in accordance with the Financial Regulations (MD Decision 16-2019).

4.1.2 Vacancy rate as of end 2022

4.1.2.1 Vacancy rate as of end 2022 (Fees and charges)

Temporary Agents post ended the year 2022 with 3,6% vacancy rate in the Fees and Charges segment.

4.1.2.2 Vacancy rate as of end 2022 (UE Contribution)

Temporary Agents post ended the year 2022 with 6,0% vacancy rate in the UE Contribution segment.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

Not applicable.

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (UE Contribution)

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The salaries included in the DB 2023 were calculated based on the assumption of a 8,91% salary adjustment (0,90% Career Development/Reclassification, 4,15% Purchasing Power and Cost of Living including the Country Correction Coefficient variance, 1,25% Seniority-related salary adjustment and 2,61% Vacancy rate).

4.1.4.2 Salary assumption for calculating salary line (% applied) (UE Contribution)

The salaries included in the DB 2023 were calculated based on the assumption of a 8,91% salary adjustment (0,90% Career Development/Reclassification, 4,15% Purchasing Power and Cost of Living including the Country Correction Coefficient variance, 1,25% Seniority-related salary adjustment and 2,61% Vacancy rate).

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

100,1% is the Country Correction coefficient used for both 2023 Budget and 2024 Draft Budget.

4.1.5.2 Correction coefficient used (UE Contribution)

100,1% is the Country Correction coefficient used for both 2023 Budget and 2024 Draft Budget.

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

Not applicable

4.1.6.2 Exchange rate used (if applicable) (UE Contribution)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charged)

The Title 1 trend forecasts an increase of costs of approx. € 6.2m in 2024 compared to 2023 due to the staff salary evolution in line with inflation and career progression.

4.2.1.2 Title 1 (UE Contribution)

The Title 1 trend forecasts an increase of costs of approx. €4.0m in 2024 compared to 2023. The delta can be primarily explained by the introduction of short-term measures to balance the subsidy segment in 2023 (approx. €1.5m) and by the staff salary evolution in line with inflation and career progression (as also detailed in paragraph 4.1.4.2). The request for 3 additional posts to support the Sustainable Aviation Fuel objectives and new tasks under ReFuelEU Aviation legislation are also contributing to the increase.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

The Title 2 trend is presumed to increase due to cumulative effects of inflation and indexation as well as implementation/recuperation of postponed 2023 IT plans.

4.2.2.2 Title 2 (UE Contribution)

The Title 2 trend is presumed to increase due to cumulative effects of inflation and indexation as well as implementation/recuperation of postponed 2023 IT plans.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

The Title 3 trend (excluding the line “other expenditure” relating to the F&C reserve) overall is presumed to slightly increase in 2024 compared to 2023 by ca. €1.3m. This is mainly due to the forecasted growth of NAAs Outsourcing of Certification activities.

4.2.3.2 Title 3 (UE Contribution)

The Title 3 trend is forecasted to be stable for the period 2023 – 2024. The requested amount includes investments in IT tool as per the ReFuelEU Aviation initiative.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

Not applicable

4.3.2 Ad hoc grants and delegation agreements (UE Contributions)

The Agency manages a number of projects / assignments on behalf of the European Commission (and occasionally other bodies), for which it receives specific funding (often handled as “earmarked funds”), in the field of international technical cooperation, safety intelligence, research, environmental protection as well as collaboration with other institutions such SESAR.

To implement such projects the Agency continues to streamline, a comprehensive framework for managing them with a view to ensuring and optimising the efficient and effective processing of such assignments, both technically and administratively.

The ongoing and anticipated projects result in the use of the following resources for the completion of those projects:

- Grant Agreements: EUR 276 K and 0,6 FTE
- Contribution Agreements: EUR 21,808m and 15,1 FTE
- Collaboration Agreements: EUR 223k and 1,1 FTE
- Service Level Agreements: EUR 240K and 1,4 FTE

Total: EUR 22,547m and 18,2 FTE.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|----------|-----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---|----------------------------------|------------|---------------|------------------|--|---|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Neu Direktion Köln | Konrad-Adenauer-Ufer 3, D-50668 Cologne | 22 077 | 881 | 22 958 | 6 888 028 | Rental Period from 01.07.2016-30.06.2036 | Every two year rental is reviewed and based on the German Consumer Price Index. Next update in 2023 | No | |
| 2 | Brussels Office | Avenue de Conrtenbergh 100, Brussels | 540 | 10 | 550 | 135 951 | Rental Period from 01.03.2021 until 28.02.2030 | Lease Agreement | No | |
| TOTAL | | | 22 617 | 891 | 23 508 | 7 023 979 | | | | |

5.1.2 Current building(s) Other comments

Not Applicable

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

In the absence of a European School in Cologne, EASA signed service contracts with international schools in the Cologne area.

EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed. With effect from school year 2022-2023, the Agency has a convention agreement with the Accredited European School of Strasbourg.

5.3 Evaluation

In accordance with Article 124 of EASA's Basic Regulation ((EU) 2018/1139), every five years, the European Commission, performs an evaluation assessing the Agency's performance in relation to its objectives, mandates and tasks, taking into account as well the views of the Management Board and stakeholders of EASA.

In this regard, the last evaluation was launched in December 2017 and performed during 2018. The final evaluation report concluded very positively and was endorsed by the MB in its meeting of December 2018. With the implementation of the report's recommendations confirmed by the PAR-AG, the MB finally closed this project in its meeting of June 2019. The next evaluation should conclude not later than 12 September 2023.

The European Commission started in 2022 the first assessment of EASA under this legal basis, aiming to assess the impact of the Basic Regulation, of the Agency, and of its working practices in establishing the highest common standards of safety and environmental protection in civil aviation and ensuring that all passengers benefit from the same, high level of safety in the EU. The evaluation will address the need to modify the mandate of the Agency and assess the financial implications of any such modification.

5.4 Privileges and immunities

| | | |
|-------------------|---|----------------------|
| Agency privileges | Privileges granted to staff | |
| | Protocol of privileges and immunities / diplomatic status | Education / day care |

| Agency privileges | Privileges granted to staff | |
|--|---|---|
| Brussels | 12 months VAT free for certain types of purchases (electrical goods, furniture, car etc.) based on the same agreement between the EC and the Belgium Minister of Foreign Affairs | Access to the crèche / kindergarten, garderie post-scolaire and European Schools of the EU institutions |
| In the absence of a European School in Cologne, EASA signed service contracts with international schools in the Cologne area | <p>EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed. With effect from school year 2022-2023, the following contribution ceilings have been implemented:</p> <ul style="list-style-type: none"> - staff who entered into service before 15.10.2012: full participation of EASA to the payment of the eligible school fees; - staff who entered into service after 15.10.2012 and before 01.08.2021: participation of EASA to the payment of the eligible school fees up to 15.025,34€ per child per school year for children attending primary school and up to 19.367,52€ for secondary school; - staff who entered into service from 01.08.2021: participation of EASA to the payment of the eligible school fees up to a threshold of 11.791,05€ per child per school year. | |
| Agreement between EASA & KVB (the company offering public transport services in the Cologne area) | <p>EASA has subscribed to a solidarity schema with KVB, where EASA purchases a public transport ticket for all staff members located in Cologne to a reduced rate.</p> <p>Interested staff members can subscribe to “their” ticket for this reduced rate. The cost of the season ticket is deducted on a monthly basis from the EASA employees’ salaries, whereas EASA pays for the non-used tickets – staff members going on mission and have not subscribed, can receive “their” ticket free of charge for the duration of mission.</p> | |
| Accredited European school | With effect from school year 2022-2023, the Agency has a convention agreement with the Accredited European School of Strasbourg | |

2.1.2 European Maritime Safety Agency – EMSA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|------------|--|
| Regulation 1406/2002 | 27/06/2002 | EMSA shall ensure a high level of maritime safety and security, prevention of pollution and response to pollution by ships within the Union; technical and scientific assistance to the Member States and the Commission, and a high level of expertise in order to implement legislation in the above-mentioned fields. |
| Regulation 1644/2003 | 22/07/2003 | Amendments refer to the right of access to documents, budget implementation and control. |
| Regulation 724/2004 | 31/03/2004 | Maritime security (limited to ship, relevant companies and Recognised Security Organisations) and response to pollution by ships have been included within the mandate of the Agency. A specific new task, "to support with additional means in a cost efficient way the national pollution response actions in case of accidental or deliberate pollution caused by ships, upon request" has been added. |
| Regulation 100/2013, amending Regulation 1406/2002 | 15/01/2013 | The mandate of EMSA is enlarged with the prevention and response to marine pollution caused by oil and gas installations. The Agency shall cooperate with the Member States and the Commission, by providing them with operational assistance in the relevant fields of activities. EMSA shall contribute to the overall efficiency of maritime traffic and maritime transport to facilitate the establishment of a European maritime transport space without barriers. The tasks are divided in core and ancillary. |
| Regulation 911/2014 replacing Regulation 2038/2006 | 23/07/2014 | The regulation determines EU financial contribution to the EMSA budget for the implementation of the tasks assigned to it in the field of response to marine pollution caused by ships and oil and gas installations |
| Regulation 2016/1625 amending Regulation (EC) 1406/2002 | 14/09/2016 | The regulation improves the cooperation and coordination between the EU agencies in order to enhance synergies between their services, to provide more efficient and cost effective multipurpose services to national authorities in view of coastguard functions. |

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

02 10 02 : European Maritime Safety Agency (EMSA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 152 | 150 | 98,68% | 152 | 152 |
| Assistants (AST) | 60 | 60 | 100,00% | 60 | 60 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 212 | 210 | 99,06% | 212 | 212 |
| Contract Agents (CA) | 30 | 28 | 93,33% | 30 | 31 |
| Seconded National Experts (SNE) | 18 | 16 | 88,89% | 18 | 18 |

| Human Resources | 2022 | | | 2023 | 2024 |
|--------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| TOTAL STAFF | 260 | 254 | 97,69% | 260 | 261 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues EMSA

| REVENUES | 2023 | | 2024 | |
|------------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 86 824 780 | 86 824 780 | 89 752 275 | 89 752 275 |
| Other Revenue | 2 846 258 | 2 846 258 | 2 947 685 | 2 947 685 |
| TOTAL REVENUES | 89 671 038 | 89 671 038 | 92 699 960 | 92 699 960 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements EMSA

| REVENUES | 2023 | | 2024 | |
|---|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 10 800 521 | 10 800 521 | 11 015 496 | 11 015 496 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 8 183 000 | 8 183 000 | 8 086 500 | 8 086 500 |
| TOTAL REVENUES | 18 983 521 | 18 983 521 | 19 101 996 | 19 101 996 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 30 974 451 | 30 974 451 | 34 091 000 | 34 091 000 |
| Title 2 - Infrastructure and operating expenditure | 5 148 740 | 5 148 740 | 4 856 230 | 4 856 230 |
| Title 3 - Operational expenditure | 53 547 847 | 53 547 847 | 53 752 730 | 53 752 730 |
| Title 4 - Project Financed Actions | | | | |
| TOTAL EXPENDITURE | 89 671 038 | 89 671 038 | 92 699 960 | 92 699 960 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AD 13 | 1 | 6 | 1 | 4 | 1 | 6 | 1 | 6 | 1 | 6 |
| AD 12 | 1 | 17 | 1 | 11 | 1 | 18 | 1 | 18 | 1 | 18 |
| AD 11 | | 20 | | 12 | | 22 | | 22 | | 18 |
| AD 10 | 1 | 28 | | 34 | 1 | 29 | 1 | 29 | 1 | 31 |
| AD 9 | | 35 | 1 | 27 | | 33 | | 29 | | 26 |
| AD 8 | | 24 | | 17 | | 22 | | 20 | | 18 |
| AD 7 | | 11 | | 16 | | 13 | | 17 | | 17 |
| AD 6 | | 4 | | 21 | | 2 | | 4 | | 11 |
| AD 5 | | | | 2 | | | | | | |
| AD TOTAL | 3 | 149 | 3 | 147 | 3 | 149 | 3 | 149 | 3 | 149 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 1 | | | | 1 | | 1 | | 1 |
| AST 9 | | 2 | | 1 | | 4 | | 5 | | 5 |
| AST 8 | | 7 | | 4 | | 6 | | 6 | | 6 |
| AST 7 | | 15 | | 10 | | 14 | | 14 | | 14 |
| AST 6 | | 19 | | 21 | | 19 | | 18 | | 18 |
| AST 5 | | 13 | | 13 | | 13 | | 13 | | 13 |
| AST 4 | | 3 | | 6 | | 3 | | 3 | | 3 |
| AST 3 | | | | 3 | | | | | | |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | 1 | | | | | | |
| AST TOTAL | | 60 | | 60 | | 60 | | 60 | | 60 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | 3 | 209 | 3 | 207 | 3 | 209 | 3 | 209 | 3 | 209 |
| GRAND TOTAL | 212 | | 210 | | 212 | | 212 | | 212 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 9 | 9 | 10 | 11 |
| Function Group III | 2 | 2 | 3 | 3 |
| Function Group II | 17 | 15 | 15 | 15 |
| Function Group I | 2 | 2 | 2 | 2 |
| TOTAL | 30 | 28 | 30 | 31 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 18 | 16 | 18 | 18 |

3 Financial Resources

3.1 Revenues

3.1.1 General Revenues

3.1.1.1 Commitment appropriations

| REVENUES | Commitment appropriations | | | | |
|--|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 173 481 | 250 000 | 250 000 | 250 000 | 0,00% |
| 2 EU CONTRIBUTION | 84 106 886 | 86 824 780 | 89 752 275 | 89 752 275 | 3,37% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | <i>1 575 800</i> | <i>1 286 961</i> | <i>752 777</i> | <i>752 777</i> | <i>-41,51%</i> |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 071 530 | 2 506 258 | 2 607 685 | 2 607 685 | 4,05% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | <i>2 071 530</i> | <i>2 506 258</i> | <i>2 607 685</i> | <i>2 607 685</i> | <i>4,05%</i> |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 92 541 | 90 000 | 90 000 | 90 000 | 0,00% |

| REVENUES | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 35 677 811 | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 122 122 249 | 89 671 038 | 92 699 960 | 92 699 960 | 3,38% |

3.1.1.2 Payment appropriations

| REVENUES | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 173 481 | 250 000 | 250 000 | 250 000 | 0,00% |
| 2 EU CONTRIBUTION | 82 938 811 | 86 824 780 | 89 752 275 | 89 752 275 | 3,37% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | <i>1 575 800</i> | <i>1 286 961</i> | <i>752 777</i> | <i>752 777</i> | <i>-41,51%</i> |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 042 212 | 2 506 258 | 2 607 685 | 2 607 685 | 4,05% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | <i>2 042 212</i> | <i>2 506 258</i> | <i>2 607 685</i> | <i>2 607 685</i> | <i>4,05%</i> |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 92 541 | 90 000 | 90 000 | 90 000 | 0,00% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 23 505 606 | p.m. | p.m. | p.m. | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 108 752 651 | 89 671 038 | 92 699 960 | 92 699 960 | 3,38% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

3.1.2.1 Commitment appropriations

| REVENUES | Commitment appropriations | | | |
|----------|---------------------------|-------------|-------------------|-----|
| | Executed Budget | Budget 2023 | Draft Budget 2024 | VAR |

| | 2022 | | Agency request | Budget forecast | 2024/2023 (%) |
|--|-------------------|-------------------|-------------------|-------------------|---------------|
| Additional EU funding stemming from grant agreements (FFR Art.7) | 18 006 504 | 10 800 521 | 11 015 496 | 11 015 496 | 1,99% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 7 170 933 | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 9 714 179 | 8 183 000 | 8 086 500 | 8 086 500 | -1,18% |
| TOTAL | 34 891 616 | 18 983 521 | 19 101 996 | 19 101 996 | 0,62% |

3.1.2.2 Payment appropriations

| REVENUES | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 12 189 127 | 10 800 521 | 11 015 496 | 11 015 496 | 1,99% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 4 011 226 | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 6 742 860 | 8 183 000 | 8 086 500 | 8 086 500 | -1,18% |
| TOTAL | 22 943 213 | 18 983 521 | 19 101 996 | 19 101 996 | 0,62% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 31 497 472 | 30 974 451 | 34 091 000 | 34 091 000 | 10,06% |
| Salaries & allowances | 29 512 240 | 29 107 451 | 32 162 000 | 32 162 000 | 10,49% |
| - Of which establishment plan posts | 26 851 151 | 26 200 004 | 28 824 000 | 28 824 000 | 10,02% |
| - Of which external personnel | 2 661 089 | 2 907 447 | 3 338 000 | 3 338 000 | 14,81% |
| Expenditure relating to Staff recruitment | 255 163 | 381 000 | 390 000 | 390 000 | 2,36% |
| Employer's pension contributions | | | | | |
| Mission expenses | 77 000 | 78 000 | 80 000 | 80 000 | 2,56% |
| Socio-medical infrastructure | 51 000 | 52 000 | 73 000 | 73 000 | 40,38% |
| Training | 143 211 | 244 000 | 249 000 | 249 000 | 2,05% |
| External Services | 239 958 | 271 000 | 278 000 | 278 000 | 2,58% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | 34 000 | 34 000 | 35 000 | 35 000 | 2,94% |
| Social welfare | 1 184 900 | 807 000 | 824 000 | 824 000 | 2,11% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 4 320 387 | 5 148 740 | 4 856 230 | 4 856 230 | -5,68% |
| Rental of buildings and associated costs | 3 273 032 | 3 763 248 | 3 646 200 | 3 646 200 | -3,11% |
| Information, communication technology and data processing | 762 159 | 937 198 | 851 896 | 851 896 | -9,10% |
| Movable property and associated costs | 51 942 | 43 160 | 28 300 | 28 300 | -34,43% |
| Current administrative expenditure | 49 496 | 113 100 | 92 200 | 92 200 | -18,48% |
| Postage / Telecommunications | 99 686 | 107 034 | 137 634 | 137 634 | 28,59% |
| Meeting expenses | 84 072 | 185 000 | 100 000 | 100 000 | -45,95% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 50 626 579 | 53 547 847 | 53 752 730 | 53 752 730 | 0,38% |
| Sustainability | 25 457 732 | 27 143 468 | 24 878 899 | 24 878 899 | -8,34% |
| Surveillance | 10 380 603 | 11 446 377 | 11 625 000 | 11 625 000 | 1,56% |
| Safety and Security | 2 618 614 | 2 951 000 | 3 554 500 | 3 554 500 | 20,45% |
| Digitalisation and Simplification | 10 221 281 | 9 866 447 | 11 753 732 | 11 753 732 | 19,13% |
| Technical and Operational Assistance | 1 558 767 | 1 642 000 | 1 444 000 | 1 444 000 | -12,06% |
| Strategic support | 389 582 | 498 555 | 496 599 | 496 599 | -0,39% |
| Title 4 - Project Financed Actions | 35 677 811 | | | | |
| Maritime Information Services | 4 307 962 | | | | |
| Assistance to Candidate and ENP Countries | 3 337 611 | | | | |
| Surveillance SLAs | 9 714 179 | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| CleanSeaNet Services to Third Parties | | | | | |
| COPERNICUS | 17 531 865 | | | | |
| EQUASIS | 524 400 | | | | |
| THETIS Modules | 261 794 | | | | |
| Miscellaneous | | | | | |
| TOTAL | 122 122 249 | 89 671 038 | 92 699 960 | 92 699 960 | 3,38% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 31 316 500 | 30 974 451 | 34 091 000 | 34 091 000 | 10,06% |
| Salaries & allowances | 29 488 189 | 29 107 451 | 32 162 000 | 32 162 000 | 10,49% |
| - Of which establishment plan posts | 26 851 150 | 26 200 004 | 28 824 000 | 28 824 000 | 10,02% |
| - Of which external personnel | 2 637 039 | 2 907 447 | 3 338 000 | 3 338 000 | 14,81% |
| Expenditure relating to Staff recruitment | 235 676 | 381 000 | 390 000 | 390 000 | 2,36% |
| Employer's pension contributions | | | | | |
| Mission expenses | 60 839 | 78 000 | 80 000 | 80 000 | 2,56% |
| Socio-medical infrastructure | 23 932 | 52 000 | 73 000 | 73 000 | 40,38% |
| Training | 106 986 | 244 000 | 249 000 | 249 000 | 2,05% |
| External Services | 185 791 | 271 000 | 278 000 | 278 000 | 2,58% |
| Receptions, events and representation | 30 618 | 34 000 | 35 000 | 35 000 | 2,94% |
| Social welfare | 1 184 469 | 807 000 | 824 000 | 824 000 | 2,11% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 3 671 744 | 5 148 740 | 4 856 230 | 4 856 230 | -5,68% |
| Rental of buildings and associated costs | 2 896 365 | 3 763 248 | 3 646 200 | 3 646 200 | -3,11% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | 627 108 | 937 198 | 851 896 | 851 896 | -9,10% |
| Movable property and associated costs | 29 555 | 43 160 | 28 300 | 28 300 | -34,43% |
| Current administrative expenditure | 34 034 | 113 100 | 92 200 | 92 200 | -18,48% |
| Postage / Telecommunications | 20 316 | 107 034 | 137 634 | 137 634 | 28,59% |
| Meeting expenses | 64 366 | 185 000 | 100 000 | 100 000 | -45,95% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 50 258 801 | 53 547 847 | 53 752 730 | 53 752 730 | 0,38% |
| Sustainability | 24 671 476 | 26 281 657 | 24 689 245 | 24 689 245 | -6,06% |
| Surveillance | 10 561 235 | 11 392 711 | 11 601 667 | 11 601 667 | 1,83% |
| Safety and Security | 2 546 944 | 3 629 960 | 3 348 000 | 3 348 000 | -7,77% |
| Digitalisation and Simplification | 10 684 458 | 10 041 820 | 12 098 219 | 12 098 219 | 20,48% |
| Technical and Operational Assistance | 1 423 205 | 1 703 144 | 1 519 000 | 1 519 000 | -10,81% |
| Strategic support | 371 483 | 498 555 | 496 599 | 496 599 | -0,39% |
| Title 4 - Project Financed Actions | 23 505 606 | | | | |
| Maritime Information Services | 2 516 028 | | | | |
| Assistance to Candidate and ENP Countries | 1 665 360 | | | | |
| Surveillance SLAs | 6 742 860 | | | | |
| CleanSeaNet Services to Third Parties | | | | | |
| COPERNICUS | 12 018 965 | | | | |
| EQUASIS | 344 853 | | | | |
| THETIS Modules | 217 540 | | | | |
| Miscellaneous | | | | | |

| EXPENDITURE | Payment appropriations | | | | VAR 2024/2023 (%) |
|--------------|-------------------------|-------------------|-------------------|-------------------|-------------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | |
| | | | Agency request | Budget forecast | |
| TOTAL | 108 752 651 | 89 671 038 | 92 699 960 | 92 699 960 | 3,38% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 752 777.

4 Justification of needs

Commission Assessment

Human and Financial Resources

The actions planned in 2024 requires an establishment plan of 212 posts and a total budget of EUR 92 699 960 (including EU contribution, fees & charges, Third Country and other revenues), both of which are in line with the Budget Circular for 2024.

EMSA has as fundamental role in the maritime transport domain in the areas of Sustainability, Safety and Security. The Agency promotes the highest safety standards and supports the decarbonisation of maritime transport and the European Green Deal. The Agency also plays a role in supporting national authorities carrying out coast guard functions and the simplification of EU shipping by reducing administrative burdens and increasing efficiency. The latter will require a more prominent role in the development and deployment of the necessary ICT tools for the implementation of the European Maritime Single Window environment which will underpin the European Maritime Transport Space without Barriers.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency requests for 2024 an establishment plan of 212 posts and foresees 31 Contract Agents (CAs) and 18 Seconded National Experts (SNEs) to be financed by the EU contribution. All figures are in line with the Budget Circular for 2024 of 15 December 2022. The requested establishment plan represents stabilisation since 2018.

The establishment plan posts requested, and external staff foreseen will enable the Agency to carry out the specific actions planned for 2024 as outlined in the draft EMSA Programming Document 2024-2026 endorsed by the EMSA Administrative Board on 13 January 2023 and thereby perform the tasks assigned to it under Regulation (EC) No 1406/2002, as amended by Regulation (EU) No 2016/1625 of 14 September 2016.

4.1.2 Vacancy rate as of end 2022

Against an establishment plan of 212 posts, the occupation rate at the end of 2022 was 99,06%, including 1 AST offer letters sent and accepted in 2022. The vacancy rate at the end of 2022 was therefore 0,94%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Due mainly to consecutive increases in the coefficient corrector and annual adjustments since 2016, no standard abatement can be applied to 2024.

4.1.4 Salary assumption for calculating salary line (% applied)

The increases in annual adjustment for 2022 (increases of 2.4% in January and 4.5% in July 2022) and the impact of the correction coefficient (from 91.4% to 91.1% in January and from 91.1% to 95.5% in July 2022) have been considered. Overall, this impact results in an increase of 11.4%. In addition, annual adjustments for 2023 and 2024 (retroactive from July 2024) and variations in the correction coefficient will impact the appropriations needed.

4.1.5 Correction coefficient used

95,5% weighting for Portugal at 07.2022.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Under this title the Agency will need EUR 34 091 000 in both commitment and payment appropriations.

The requested amount under this title is needed in order primarily to cover the costs of salaries and allowances of statutory staff (permanent and temporary agents). In addition, the request covers non statutory staff, training and development actions and social measures. Compared to the initial 2023 budget, Title 1 has increased by 10.06% in both commitment and payment appropriations to honour the annual adjustment of salaries and the recent upward trend of the coefficient corrector for Portugal.

4.2.2 Title 2

Under this title the Agency will need EUR 4 856 230 in commitment and payment appropriations. The main entry is the rental and associated costs for the Agency's premises. Of which EUR 90 000 will be covered with miscellaneous revenue (rental of the EMSA Conference Centre to the EMCDDA). In order to accommodate increased needs in Title 1, EMSA has reduced its estimated expenditure in Title 2 by -5.68% in comparison to 2023 despite an estimated increase in building related expenses (in particular, rent and electricity) due to inflation. The decrease in budget is expected to imply setting of negative priorities in terms of pre-fitting of the building and corporate hardware and software.

4.2.3 Title 3

Under Title 3 "Operational Expenditure" the Agency will need EUR 53 752 730 in commitment and payment appropriations, respectively. This title supports the major projects and operational services operated and delivered by the Agency for the EU maritime sector under the headings of Sustainability, Safety & Security, Surveillance, Digitalisation & Simplification and Technical & Operational Assistance, as well as Strategic Support relevant to the aforementioned areas.

Compared to 2023, the request represents stability in nominal terms with a variation of +0.38% in commitment and payment appropriations. This corresponds to a decrease in real terms in order to accommodate the increased need in Title 1 due to the impact of inflation on the cost of salaries.

The decrease in real terms for the total budget reflects large fluctuations in different sub-areas of the agency budget: e.g. a decrease in the budget for Sustainability (-8.34% in commitment appropriations and -6.06% in payment appropriations) a decrease in the budget for Technical and Operational Assistance (-12.06% in commitment appropriations and -10.81% in payment appropriations) compared to an increase in Safety & Security (+20.45%) and an increase in Digitalisation & Simplification (+19.13% in commitment appropriations and +20.48% in payment appropriations)

In 2024 EMSA will continue to deliver and expand the Copernicus Maritime Surveillance in the six function areas supported: Fisheries Control, Maritime Safety, Maritime Security, Law Enforcement, Customs and Marine Pollution Monitoring.

4.2.4 Title 4

Title 4 covers project financing earmarked for specific actions entered as p.m. (pro memoria). See point 4.3 for further information.

4.3 Ad hoc grants and delegation agreements

| | Date of signature | Duration | Counterpart | Short Description | | 2024 |
|--|-------------------|----------|-------------|-------------------|--|------|
|--|-------------------|----------|-------------|-------------------|--|------|

| Contribution agreements | | | | | | |
|--|--------------------------|-------------------------|----------|---|---------------|---------------|
| IPA III ²⁵ | Not yet known | Not yet known | DG NEAR | Preparatory measures for the future participation of relevant IPA III beneficiaries in EMSA | Amount | not yet known |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| Copernicus Maritime Surveillance | September 2021 | Until December 2027 | DG DEFIS | Implementation of Copernicus Maritime Surveillance Services (2021-2027) | Amount | 11 015 496 |
| | | | | | Number of CA | 7 |
| | | | | | Number of SNE | n/a |
| SAFEMED V EuroMed Maritime Safety Project | April 2022 | Until 31 March 2028 | DG NEAR | Award of a financial contribution by the Contracting Authority to finance the implementation of the action entitled: SAFEMED V, Euromed Maritime Safety Project | Amount | 1 333 333 |
| | | | | | Number of CA | 4,5 |
| | | | | | Number of SNE | 0 |
| BCSea II, Maritime Safety, Security and Environmental Protection in B&CS Regions | October 2022 | Until 30 September 2026 | DG NEAR | Award of a financial contribution by the Contracting Authority to finance the implementation of the action entitled: Maritime Safety, security and marine environmental protection in the Black and Caspian Sea Regions | Amount | 875 000 |
| | | | | | Number of CA | 2,5 |
| | | | | | Number of SNE | 0 |
| Grant agreements | | | | | | |
| CISE Operational Phase ²⁶ | Planned for January 2024 | Not yet known | DG MARE | To be confirmed. | Amount | not yet known |
| | | | | | Number of CA | not yet known |
| | | | | | Number of SNE | not yet known |
| Service Level Agreements | | | | | | |
| FRONTEX SLA | May 2016 | Indefinite | FRONTEX | Service Level Agreement between the European Border and Coast Guard Agency (EBCGA) and the European Maritime Safety Agency (EMSA) for the provision of surveillance tools and services in support of Frontex activities, including for the implementation of the EUROSUR framework. | Amount | 7 000 000 |
| | | | | | Number of CA | 11 |
| | | | | | Number of SNE | n/a |
| EFCA SLA | December 2022 | Indefinite | EFCA | Service Level Agreement between the European Fisheries Control Agency (EFCA) and the European Maritime Safety Agency | Amount | 300 000 |
| | | | | | Number of CA | 2 |
| | | | | | Number of SNE | n/a |
| THETIS-AP | April 2021 | April 2024 | DG SANTE | This Agreement is to further support the control and enforcement processes as regards the compliance of ships calling ports within the jurisdiction of the Member States with the requirements of Council Regulation (EC) No 1/2005 and the correct and cost-effective implementation by the Member States. | Amount | n/a |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| THETIS-MRV & ETS | 28 October 2022 | 4 years | DG CLIMA | EMSA will support the European Commission in the implementation of the new legislative framework stemming from the ongoing discussions on the proposal for a Directive of the European parliament and of the Council amending Directive 2003/87/EC establishing a system for greenhouse gas emission allowance trading within the Union, Decision (EU) 2015/1814 concerning the establishment and operation of a market stability reserve for the Union greenhouse gas emission trading scheme and Regulation (EU) 2015/757 for the elements related to maritime transport. | Amount | 986 500 |
| | | | | | Number of CA | 5 |
| | | | | | Number of SNE | n/a |
| Other project financed actions | | | | | | |

²⁵ The relevant agreement is still under preparation, but it is expected that the action will start on 1 July 2023 for a period of 48 months with a foreseen expenditure in 2024 of EUR 200 000.

²⁶ Subject to activation in accordance with the EMSA regulation article 2a.1 and approval of the draft agreement concerning the CISE Operational Phase.

| | | | | | | |
|--------------|------------|---------------------------------------|-----------------|--|----------------------|-------------------|
| THETIS-EU | March 2018 | March 2023, extension until June 2024 | DG ENV | Support of the implementation of Directive (EU) 2016/802 of the European Parliament and of the Council of 11 May 2016 relating to a reduction in the sulphur content of certain liquid fuels | Amount | n/a |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| MAOC (N) CA | Dec 2020 | Indefinite | MAOC (N) | Cooperation Agreement between the Maritime Analysis and Operations Centre – Narcotics and the European Maritime Safety Agency the provision and cooperation on counter narcotic operations. | Amount | not yet known |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| EQUASIS | May 2000 | No end date | EQUASIS members | MOU on the establishment of the Equasis information system | Amount | 450 000 |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| Total | | | | | Amount | 21 960 329 |
| | | | | | Number of CA | 32 |
| | | | | | Number of SNE | 0 |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|----------|----------------------------------|---------------|---------------|------------------|---|-------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | EMSA HQ | Lisbon | 10 180 | 486,84 | 10 666,84 | 1 961 606 | as of 01/05/2009 for a period of 25 years | Lease Agreement | N/A | |
| 2 | Conference Centre (shared with EMCDDA) | Lisbon | 2 116,26 | | 2 116,26 | 326 805 | as of 01/05/2009 for a period of 25 years | Lease Agreement | N/A | |
| 3 | Palacete (shared with EMCDDA) | Lisbon | 1 933,9 | | 1 933,9 | 91 544 | | Service Level Agreement with EMCDDA | N/A | |
| 4 | Underground parking (101 spots) | Lisbon | | | | 153 246 | as of 01/05/2009 for a period of 25 years | Lease agreement | N/A | |
| 5 | External parking (49 spots) | Lisbon | | | | | | Lease agreement | N/A | |
| TOTAL | | | 14 230,16 | 486,84 | 14 717 | 2 533 201 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

External parking (49 spots), Lisbon: Current lease agreement with APL is temporarily suspended. Contacts between APL and Camara Municipal de Lisboa are ongoing.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

Not Applicable to EMSA, as there are no European Schools in Lisbon. The Agency is actively exploring with the competent Portuguese authorities the possibility of setting up an Accredited European School in Lisbon.

5.3 Evaluation

The last evaluation from May 2017 concluded that by operating at EU level, EMSA is providing significant added value and has become an important and respected player in the maritime community. The agency's efficiency has increased, demonstrated by a lower share of overhead expenditure, the accomplished new tasks without additional resources and also due to the performance management system used by the Agency. As a result, the evaluation concludes that EMSA's services and products are cost-effective and provide value for money within the context of the EU maritime sector and within all areas of work. The full evaluation report is available on the agency's website: <http://emsa.europa.eu/who-are-we/admin-board/evaluation-activities.html>.

A Study concerning the possible revision of the Agency's mandate has been initiated by the Commission and is expected to materialise in a formal proposal for the first semester of 2023. This could have an impact on the Agency's tasks in the years to come.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|---|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>In July 2004, the Protocol between the Government of the Portuguese Republic and EMSA was signed covering the relations between the Agency and Portugal as its host State.</p> <p>Privileges granted: exemption from direct taxes; exemption from purchase tax on goods and services; exemption from customs duties and from any taxes on imports and exports; exemption from any duties and any import restrictions on vehicles of official use; exemption from road tax for vehicles under "special registration" (diplomatic plates); exemption from taxation on fuel and lubricants; replacement of official vehicles every 5 years; special status of the Agency Headquarters (Similar to Diplomatic mission); security staff with special authorisation to carry firearms; exemption from any charges for Visas and other authorisations for EMSA guests.</p> | <p>Comparable category of the members of diplomatic corps in Portugal; exemption from national taxes on earning, salaries and respective payments paid by the Agency; immunity from jurisdiction as regards acts carried out by the staff member in official capacity; exemption from purchase tax on goods and services (VAT); exemption from customs duties and from any taxes on imports and exports (duty-free); exemption from any duties and any import restrictions on vehicles of official/private use; exemption from road tax for vehicles under "special registration" (diplomatic plates); exemption from taxation on fuel and lubricants; replacement of official/private vehicles once after 4 years.</p> | <p>Providing access to schools in the mother tongue of the staff member is an issue that the Agency has taken seriously and therefore in 2005 the Agency has adopted a social measure "multilingual tuition for children of EMSA staff" in Lisbon.</p> <p>Under this social measure EMSA currently assists in providing access to English, French, German, Spanish and Swedish schools. The Agency covers the school fees through direct agreements between the Agency and the relevant schools. This social measure is taken upon the Agency's own initiative and is paid by the Agency budget (no involvement of the hosting State).</p> <p>This social measure is not intended to replace the educational allowance, as provided by the Staff Regulations. Educational allowances are duly determined and paid when due.</p> |

2.1.3 European Union Agency for Railways – ERA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|--|---|
| Regulation (EC) No 881/2004 (repealed) | 29/04/2004 | The Agency has to contribute, at a technical level, to the implementation of the EU legislation for improving the competitive position of the rail sector by reinforcing the interoperability between railways systems and at developing a common approach in terms of safety of the European railways in order to contribute to the creation of a European rail open space, without borders and offering a high level of safety. |
| Regulation (EC) No 1335/2008 amending regulation 881/2004 | 16/12/2008 | New tasks allocated to the Agency: overseeing the mutual recognition of vehicle authorisation (cross acceptance) including the specification of the parameters to be checked for authorisation to place vehicles in service and a full classification of the equivalence or otherwise of the national rules of the Member States used for vehicle authorisation; providing technical opinions on the equivalence of national rules, refusals of authorisation to place into service, urgent modifications to the TSIs and the conformity of projects with TSIs; recommending a system of certification of the Entity in Charge of Maintenance for railway vehicles; make recommendations on the mechanisms for implementing the Train Drivers (licensing) Directive; drawing up specifications for registers of vehicles, vehicle types, and infrastructure; managing the European Rail Traffic Management System (ERTMS) change control, version management and test specifications. Evaluating the certification process of ERTMS, the costs and benefits of centralising verification and testing at community level and maintaining consistency between Notified Bodies involved in ERTMS |
| Regulation (EC) No 454/2011 (Telematic applications for passenger services (TAP TSI)) Regulation (EC) No 1335/2008 amending regulation 881/2004 Regulation (EC) No 1371/2007) | 05/05/2011 16/12/2008 23/10/2007 | New or permanent tasks allocated to the Agency: ensure the development of new technical specifications for advanced ticketing (ticket on departure and manifest on list) and for intermodal timetables and fares; monitor the development and implementation of TAP target system (according to delivered master plans) and liaisons with EU member states and smaller railways/ticket vendors; publish reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions and to inform EC about these changes by managing a web based registry which contains above changes; assess the compliance of IT solutions developed for the future TAF target system. |
| Regulation (EC) No 328/2012 amending regulation 62/2006 (Telematic applications for freight services (TAF TSI)) Regulation (EC) No 1335/2008 amending regulation 881/2004 | 17/04/2012 16/12/2008 | New or permanent tasks allocated to the Agency: review of Regulation 328/2012 in order to ensure that the data provision needs of professional freight customers are met appropriately; monitor the development and implementation of TAF target system and liaisons with EU member states and smaller railways/professional freight customers; publish various reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions which are mandatory annexes to the Regulation 328/2012 and to inform EC about these changes, management of a web based registry which contains above changes; assess the compliance of IT solutions developed for the future TAP target system. |
| Council Regulation establishing the Shift2Rail joint undertaking | Regulation adopted on 16/06/2014 | The Agency receives observer status on the Governing Board and shall contribute to the definition and implementation of the S2R Master Plan by performing advisory tasks: (a) Proposing possible amendments to the S2R Master Plan and to the annual work plans, (...) (b) Proposing (...) technical standards for research, development and validation activities with the view to |

| | | |
|---|------------|--|
| | | guaranteeing the interoperability and safety results; (c) Reviewing the common developments for the future system and contributing to defining target systems in regulatory requirements and (d) Reviewing project activities and results (...). |
| Regulation (EU) N° 2016/796 | 11/05/2016 | ERA shall contribute to the further development and functioning of a single European railway area without frontiers, by guaranteeing a high level of railway safety and interoperability, while improving the competitive position of the railway sector; contribute, on technical matters, to the implementation of EU legislation by developing a common approach to safety and the level of interoperability on the Union rail system; follow the development of national railway rules in order to support the performance of national authorities acting in the fields of railway safety and interoperability and to promote the optimisation of procedures; perform the role of Union authority responsible for issuing authorisations for the placing on the market of railway vehicles and vehicle types and for issuing single safety certificates for railway undertakings; take full account of the process of enlargement of the Union and of the specific constraints relating to rail links with third countries |
| Regulation (EU) N° 2016/796 | 11/05/2016 | ERA shall issue single EU-wide safety certificates to railway undertakings and vehicle authorisations for operation in more than one country to train manufacturers, in cooperation with National Safety Authorities (NSAs) after a three year transition period, sign cooperation agreements with all NSAs based on a cooperation arrangement framework subject to adoption by the Agency's Management Board; develop a common information and communication platform with a virtual One-Stop-Shop functionality in cooperation with NSAs, on the basis of a draft prepared by the Agency, taking into account the results of a cost-benefit analysis, and will be adopted by the Agency's Management Board; grant pre-approval for European Rail Traffic Management System (ERTMS) infrastructure before this infrastructure being authorised by the NSA, in order to enable seamless cross-border traffic on the European continent; facilitate cooperation between the NSAs, the national investigating bodies and representative bodies from the railway sector acting at Union level in order to promote good practice, the exchange of relevant information and the collection of railway-related data, and to monitor the overall safety performance of the Union rail system. |
| Directive (EU) 2016/798 on railway safety (Recast) | 11/05/2016 | |
| Directive (EU) 2016/797 on the interoperability of the rail system within the European Union (recast) | 11/05/2016 | |
| Regulation (EU) N° 2016/796 | 11/05/2016 | The agency shall act as the system authority to ensure the coordinated development of telematics applications in the Union, in accordance with relevant TSIs; define, publish and apply the procedure for managing requests for changes to specifications for telematics applications; set up, maintain and update a register of requests for changes; develop and maintain the technical tools for managing the different versions of specifications for telematics applications and to ensure backward compatibility; assist the Commission in the monitoring of deployment of specifications for telematics applications in accordance with relevant TSIs. |
| Regulation (EC) No 1371/2007 | 23/10/2007 | |
| Regulation (EU) 2018/764 | 02/05/2018 | Fees and charges payable to the Agency and their conditions of payment are established by Regulation (EU) 2018/764 as amended by Regulation (EU) 2021/1903. For applications submitted before 23 November 2021, calculation of fees and charges based on Article 3 of Regulation (EU) 2018/764 shall apply. From 23 November 2021, calculation of fees and charges based on Regulation (EU) 2021/1903 shall apply. |
| Regulation (EU) 2021/1903 | 29/10/2021 | |

1.2 Seat

Valenciennes and Lille, France.

1.3 Budget Line

02 10 03 : European Union Agency for Railways (ERA)

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources overview ERA

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 119 | 120 | 100,84% | 134 | 134 |
| Assistants (AST) | 35 | 32 | 91,43% | 32 | 32 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 154 | 152 | 98,70% | 166 | 166 |
| Contract Agents (CA) | 36 | 36 | 100,00% | 36 | 36 |
| Seconded National Experts (SNE) | 4 | 2 | 50,00% | 4 | 4 |
| TOTAL STAFF | 194 | 190 | 97,94% | 206 | 206 |

1.4.2 Human Resources overview (Fees and charges)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 15 | 15 | 100,00% | 26 | 26 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 15 | 15 | 100,00% | 26 | 26 |
| Contract Agents (CA) | 3 | 3 | 100,00% | 8 | 8 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 18 | 18 | 100,00% | 34 | 34 |

1.4.3 Human Resources overview (EU Contribution)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 104 | 105 | 100,96% | 108 | 108 |
| Assistants (AST) | 35 | 32 | 91,43% | 32 | 32 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 139 | 137 | 98,56% | 140 | 140 |
| Contract Agents (CA) | 33 | 33 | 100,00% | 28 | 28 |
| Seconded National Experts (SNE) | 4 | 2 | 50,00% | 4 | 4 |
| TOTAL STAFF | 176 | 172 | 97,73% | 172 | 172 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 27 418 274 | 28 645 912 |
| Other Revenue | 10 471 913 | 10 832 253 |
| TOTAL REVENUES | 37 890 187 | 39 478 165 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 250 000 | p.m. |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 250 000 | p.m. |

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview ERA

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 30 035 150 | 30 035 150 | 30 960 189 | 30 960 189 |
| Title 2 - Infrastructure and operating expenditure | 2 621 000 | 2 621 000 | 2 679 733 | 2 679 733 |
| Title 3 - Operational expenditure | 5234037 | 5234037 | 5838243 | 5838243 |
| TOTAL EXPENDITURE | 37 890 187 | 37 890 187 | 39 478 165 | 39 478 165 |

1.5.2.2 Expenditure Overview (Fees and charges)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 6 561 504 | 6 561 504 | 6 556 336 | 6 556 336 |
| Title 2 - Infrastructure and operating expenditure | 524 200 | 524 200 | 536 130 | 536 130 |
| Title 3 - Operational expenditure | 2 584 894 | 2 584 894 | 2 902 859 | 2 902 859 |

| Expenditure | 2023 | | 2024 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 9 670 598 | 9 670 598 | 9 995 325 | 9 995 325 |

1.5.2.3 Expenditure Overview (EU Contribution)

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 23 473 646 | 23 473 646 | 24 403 853 | 24 403 853 |
| Title 2 - Infrastructure and operating expenditure | 2 096 800 | 2 096 800 | 2 143 603 | 2 143 603 |
| Title 3 - Operational expenditure | 2 649 143 | 2 649 143 | 2 935 384 | 2 935 384 |
| TOTAL EXPENDITURE | 28 219 589 | 28 219 589 | 29 482 840 | 29 482 840 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts ERA

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | | | | | 1 | | 1 | | 1 |
| AD 12 | | 4 | | 1 | | 2 | | 4 | | 4 |
| AD 11 | | 10 | | 7 | | 14 | | 16 | | 16 |
| AD 10 | | 19 | | 21 | | 19 | | 18 | | 18 |
| AD 9 | | 29 | | 19 | | 19 | | 22 | | 22 |
| AD 8 | | 21 | | 26 | | 24 | | 22 | | 22 |
| AD 7 | | 15 | | 14 | | 20 | | 24 | | 24 |
| AD 6 | | 20 | | 29 | | 25 | | 17 | | 17 |
| AD 5 | | | | 2 | | 9 | | 9 | | 9 |
| AD TOTAL | | 119 | | 120 | | 134 | | 134 | | 134 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 9 | | 2 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | 5 | | 2 | | 3 | | 4 | | 4 |
| AST 7 | | 5 | | 3 | | 4 | | 4 | | 4 |
| AST 6 | | 6 | | 6 | | 4 | | 4 | | 4 |
| AST 5 | | 8 | | 5 | | 7 | | 9 | | 9 |
| AST 4 | | 9 | | 10 | | 10 | | 8 | | 8 |
| AST 3 | | | | 5 | | 3 | | 2 | | 2 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 35 | | 32 | | 32 | | 32 | | 32 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 154 | | 152 | | 166 | | 166 | | 166 |
| GRAND TOTAL | | 154 | | 152 | | 166 | | 166 | | 166 |

2.1.2 Establishment plan posts (Fees and charges)

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | | | | | |
| AD 8 | | | | | | | | | | |
| AD 7 | | | | | | | | | | |
| AD 6 | | 15 | | 15 | | 17 | | 17 | | 17 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 5 | | | | | | 9 | | 9 | | 9 |
| AD TOTAL | | 15 | | 15 | | 26 | | 26 | | 26 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 15 | | 15 | | 26 | | 26 | | 26 |
| GRAND TOTAL | 15 | | 15 | | 26 | | 26 | | 26 | |

2.1.3 Establishment plan posts (UE Contribution)

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | | | | | 1 | | 1 | | 1 |
| AD 12 | | 4 | | 1 | | 2 | | 4 | | 4 |
| AD 11 | | 10 | | 7 | | 14 | | 16 | | 16 |
| AD 10 | | 19 | | 21 | | 19 | | 18 | | 18 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | | 29 | | 19 | | 19 | | 22 | | 22 |
| AD 8 | | 21 | | 26 | | 24 | | 22 | | 22 |
| AD 7 | | 15 | | 14 | | 20 | | 24 | | 24 |
| AD 6 | | 5 | | 14 | | 8 | | | | |
| AD 5 | | | | 2 | | | | | | |
| AD TOTAL | | 104 | | 105 | | 108 | | 108 | | 108 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 2 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | 5 | | 2 | | 3 | | 4 | | 4 |
| AST 7 | | 5 | | 3 | | 4 | | 4 | | 4 |
| AST 6 | | 6 | | 6 | | 4 | | 4 | | 4 |
| AST 5 | | 8 | | 5 | | 7 | | 9 | | 9 |
| AST 4 | | 9 | | 10 | | 10 | | 8 | | 8 |
| AST 3 | | | | 5 | | 3 | | 2 | | 2 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 35 | | 32 | | 32 | | 32 | | 32 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 139 | | 137 | | 140 | | 140 | | 140 |
| GRAND TOTAL | | 139 | | 137 | | 140 | | 140 | | 140 |

2.2 External personnel

2.2.1 External personnel ERA

2.2.1.1 Contract Agents ERA

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 16 | 23 | 23 | 23 |
| Function Group III | 12 | 6 | 7 | 7 |
| Function Group II | 8 | 5 | 6 | 6 |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | 2 | | |
| TOTAL | 36 | 36 | 36 | 36 |

2.2.1.2 Seconded National Experts ERA

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 2 | 4 | 4 |

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 3 | 8 | 8 |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 3 | 3 | 8 | 8 |

2.2.2.2 Seconded National Experts (Fees and charges)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 13 | 20 | 15 | 15 |
| Function Group III | 12 | 6 | 7 | 7 |
| Function Group II | 8 | 5 | 6 | 6 |
| Function Group I | | 2 | | |
| TOTAL | 33 | 33 | 28 | 28 |

2.2.3.2 Seconded National Experts (EU Contribution)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 2 | 4 | 4 |

3 Financial Resources

3.1 Revenues ERA

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 7 500 584 | 9 670 598 | 9 995 325 | 9 995 325 | 3,36% |
| 2 EU CONTRIBUTION | 26 278 423 | 27 418 274 | 28 645 912 | 28 645 912 | 4,48% |
| - Of which assigned revenues deriving from previous years' surpluses | 114 224 | 69 639 | 81 821 | 81 821 | 17,49% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 656 721 | 801 315 | 836 928 | 836 928 | 4,44% |
| - Of which EEA/EFTA (excl. Switzerland) | 656 721 | 801 315 | 836 928 | 836 928 | 4,44% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 10 560 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 10 000 | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 34 456 288 | 37 890 187 | 39 478 165 | 39 478 165 | 4,19% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 247 451 | 250 000 | p.m. | p.m. | -100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 247 451 | 250 000 | p.m. | p.m. | -100% |

3.2 Expenditure

3.2.1 Expenditure ERA

3.2.1.1 Commitment appropriations ERA

| EXPENDITURE | Commitment appropriations ERA | | | | |
|---|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 25 461 997 | 30 035 150 | 30 960 189 | 30 960 189 | 3,08% |
| Salaries & allowances | 24 584 637 | 28 889 228 | 29 814 268 | 29 814 268 | 3,20% |
| - Of which establishment plan posts | 21 426 597 | 25 290 560 | 26 033 055 | 26 033 055 | 2,94% |
| - Of which external personnel | 3 158 040 | 3 598 668 | 3 781 213 | 3 781 213 | 5,07% |
| Expenditure relating to Staff recruitment | 75 558 | 147 000 | 147 000 | 147 000 | 0,00% |
| Employer's pension contributions | 457 787 | 481 026 | 481 025 | 481 025 | -0,00% |
| Mission expenses | 10 000 | 10 000 | 10 000 | 10 000 | 0,00% |
| Socio-medical infrastructure | 30 456 | 75 000 | 75 000 | 75 000 | 0,00% |
| Training | 140 886 | 150 000 | 150 000 | 150 000 | 0,00% |
| External Services | 146 096 | 259 896 | 259 896 | 259 896 | 0,00% |
| Receptions, events and representation | | 3 000 | 3 000 | 3 000 | 0,00% |
| Social welfare | 16 577 | 20 000 | 20 000 | 20 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 970 986 | 2 621 000 | 2 679 733 | 2 679 733 | 2,24% |
| Rental of buildings and associated costs | 1 212 487 | 1 238 000 | 1 265 258 | 1 265 258 | 2,20% |
| Information, communication technology and data processing | 676 606 | 1 193 000 | 1 220 151 | 1 220 151 | 2,28% |
| Movable property and associated costs | 29 116 | 36 000 | 36 819 | 36 819 | 2,27% |
| Current administrative expenditure | 3 428 | 34 000 | 34 774 | 34 774 | 2,28% |
| Postage / Telecommunications | 43 691 | 115 000 | 117 617 | 117 617 | 2,28% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 5 658 | 5 000 | 5 114 | 5 114 | 2,28% |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations ERA | | | | |
|--|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 410 113 | 5 234 037 | 5 838 243 | 5 838 243 | 11,54% |
| Operational expenditure - Strategic Statements | 7 005 612 | 4 251 582 | 4 835 105 | 4 835 105 | 13,72% |
| - Of which Strategic Statement 1 (Removing barriers) | 5 337 631 | 2 246 463 | 2 588 945 | 2 588 945 | 15,25% |
| - Of which Strategic Statements 2-3 (Sustainability & multimodality) | 14 869 | 93 687 | 53 765 | 53 765 | -42,61% |
| - Of which Strategic Statement 4 (Safety) | 289 853 | 868 352 | 899 813 | 899 813 | 3,62% |
| - Of which Strategic Statement 5 (Resilience) | | | | | |
| - Of which Strategic Statement 6 (Competitiveness) | | 30 400 | 33 570 | 33 570 | 10,43% |
| - Of which Strategic Statement 7 (Outreach & international) | 696 176 | 625 246 | 755 849 | 755 849 | 20,89% |
| - Of which Strategic Statement 8 (Governance) | 526 662 | 357 800 | 476 280 | 476 280 | 33,11% |
| - Of which Strategic Statement 9 (Internal support & resources) | 140 421 | 594 | 656 | 656 | 10,44% |
| - Of which ERA management and administration | | 29 040 | 26 227 | 26 227 | -9,69% |
| Other operational expenditure | 1 404 501 | 982 455 | 1 003 138 | 1 003 138 | 2,11% |
| TOTAL | 35 843 096 | 37 890 187 | 39 478 165 | 39 478 165 | 4,19% |

3.2.1.2 Payment appropriations ERA

| EXPENDITURE | Payment appropriations ERA | | | | |
|--|----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 25 291 431 | 30 035 150 | 30 960 189 | 30 960 189 | 3,08% |
| Salaries & allowances | 24 568 279 | 28 889 228 | 29 814 268 | 29 814 268 | 3,20% |
| - Of which establishment plan posts | 21 426 597 | 25 290 560 | 26 033 055 | 26 033 055 | 2,94% |
| - Of which external personnel | 3 141 682 | 3 598 668 | 3 781 213 | 3 781 213 | 5,07% |
| Expenditure relating to Staff recruitment | 39 541 | 147 000 | 147 000 | 147 000 | 0,00% |
| Employer's pension contributions | 457 786 | 481 026 | 481 025 | 481 025 | -0,00% |
| Mission expenses | 7 281 | 10 000 | 10 000 | 10 000 | 0,00% |

| EXPENDITURE | Payment appropriations ERA | | | | |
|--|----------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | | 75 000 | 75 000 | 75 000 | 0,00% |
| Training | 79 509 | 150 000 | 150 000 | 150 000 | 0,00% |
| External Services | 122 458 | 259 896 | 259 896 | 259 896 | 0,00% |
| Receptions, events and representation | | 3 000 | 3 000 | 3 000 | 0,00% |
| Social welfare | 16 577 | 20 000 | 20 000 | 20 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 622 924 | 2 621 000 | 2 679 733 | 2 679 733 | 2,24% |
| Rental of buildings and associated costs | 1 033 173 | 1 238 000 | 1 242 718 | 1 242 718 | 0,38% |
| Information, communication technology and data processing | 531 675 | 1 193 000 | 1 246 150 | 1 246 150 | 4,46% |
| Movable property and associated costs | 6 623 | 36 000 | 36 164 | 36 164 | 0,46% |
| Current administrative expenditure | 2 427 | 34 000 | 34 155 | 34 155 | 0,46% |
| Postage / Telecommunications | 43 368 | 115 000 | 115 523 | 115 523 | 0,45% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 5 658 | 5 000 | 5 023 | 5 023 | 0,46% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 669 474 | 5 234 037 | 5 838 243 | 5 838 243 | 11,54% |
| Operational expenditure - Strategic Statements | 4 632 422 | 4 251 582 | 4 835 105 | 4 835 105 | 13,72% |
| - Of which Strategic Statement 1 (Removing barriers) | 3 463 126 | 2 246 463 | 2 588 945 | 2 588 945 | 15,25% |
| - Of which Strategic Statements 2-3 (Sustainability & multimodality) | | 93 687 | 53 765 | 53 765 | -42,61% |
| - Of which Strategic Statement 4 (Safety) | 167 443 | 868 352 | 899 813 | 899 813 | 3,62% |
| - Of which Strategic Statement 5 (Resilience) | | | | | |
| - Of which Strategic Statement 6 (Competitiveness) | | 30 400 | 33 570 | 33 570 | 10,43% |
| - Of which Strategic Statement 7 (Outreach & international) | 500 288 | 625 246 | 755 849 | 755 849 | 20,89% |

| EXPENDITURE | Payment appropriations ERA | | | | |
|---|----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| - Of which Strategic Statement 8 (Governance) | 373 303 | 357 800 | 476 280 | 476 280 | 33,11% |
| - Of which Strategic Statement 9 (Internal support & resources) | 128 262 | 594 | 656 | 656 | 10,44% |
| - Of which ERA management and administration | | 29 040 | 26 227 | 26 227 | -9,69% |
| Other operational expenditure | 1 037 052 | 982 455 | 1 003 138 | 1 003 138 | 2,11% |
| TOTAL | 32 583 829 | 37 890 187 | 39 478 165 | 39 478 165 | 4,19% |

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|---|--|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 3 495 284 | 6 561 504 | 6 556 336 | 6 556 336 | -0,08% |
| Salaries & allowances | 3 037 497 | 5 947 499 | 5 942 332 | 5 942 332 | -0,09% |
| - Of which establishment plan posts | 2 674 497 | 5 113 423 | 5 065 468 | 5 065 468 | -0,94% |
| - Of which external personnel | 363 000 | 834 076 | 876 864 | 876 864 | 5,13% |
| Expenditure relating to Staff recruitment | | 29 400 | 29 400 | 29 400 | 0,00% |
| Employer's pension contributions | 457 787 | 481 026 | 481 025 | 481 025 | -0,00% |
| Mission expenses | | 2 000 | 2 000 | 2 000 | 0,00% |
| Socio-medical infrastructure | | 15 000 | 15 000 | 15 000 | 0,00% |
| Training | | 30 000 | 30 000 | 30 000 | 0,00% |
| External Services | | 51 979 | 51 979 | 51 979 | 0,00% |
| Receptions, events and representation | | 600 | 600 | 600 | 0,00% |
| Social welfare | | 4 000 | 4 000 | 4 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 108 549 | 524 200 | 536 130 | 536 130 | 2,28% |
| Rental of buildings and associated costs | 88 549 | 247 600 | 253 235 | 253 235 | 2,28% |

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|--|--|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | 20 000 | 238 600 | 244 030 | 244 030 | 2,28% |
| Movable property and associated costs | | 7 200 | 7 364 | 7 364 | 2,28% |
| Current administrative expenditure | | 6 800 | 6 955 | 6 955 | 2,28% |
| Postage / Telecommunications | | 23 000 | 23 523 | 23 523 | 2,27% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | 1 000 | 1 023 | 1 023 | 2,30% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 316 691 | 2 584 894 | 2 902 859 | 2 902 859 | 12,30% |
| Operational expenditure - Strategic Statements | 5 076 691 | 2 388 403 | 2 702 231 | 2 702 231 | 13,14% |
| - Of which Strategic Statement 1 (Removing barriers) | 5 020 246 | 1 729 003 | 2 033 162 | 2 033 162 | 17,59% |
| - Of which Strategic Statements 2-3 (Sustainability & multimodality) | | | | | |
| - Of which Strategic Statement 4 (Safety) | | 659 400 | 669 069 | 669 069 | 1,47% |
| - Of which Strategic Statement 5 (Resilience) | | | | | |
| - Of which Strategic Statement 6 (Competitiveness) | | | | | |
| - Of which Strategic Statement 7 (Outreach & international) | 56 445 | | | | |
| - Of which Strategic Statement 8 (Governance) | | | | | |
| - Of which Strategic Statement 9 (Internal support & resources) | | | | | |
| - Of which ERA management and administration | | | | | |
| Other operational expenditure | 240 000 | 196 491 | 200 628 | 200 628 | 2,11% |
| TOTAL | 8 920 524 | 9 670 598 | 9 995 325 | 9 995 325 | 3,36% |

3.2.2.2 Payment appropriations (Fees and charges)

| EXPENDITURE | Payment appropriations (Fees and charges) | | | |
|-------------|---|-------------|-------------------|-----|
| | Executed Budget | Budget 2023 | Draft Budget 2024 | VAR |

| | 2022 | | Agency request | Budget forecast | 2024/2023 (%) |
|--|------------------|------------------|------------------|------------------|---------------|
| Title 1 - Staff expenditure | 3 495 283 | 6 561 504 | 6 556 336 | 6 556 336 | -0,08% |
| Salaries & allowances | 3 037 497 | 5 947 499 | 5 942 332 | 5 942 332 | -0,09% |
| - Of which establishment plan posts | 2 674 497 | 5 113 423 | 5 065 468 | 5 065 468 | -0,94% |
| - Of which external personnel | 363 000 | 834 076 | 876 864 | 876 864 | 5,13% |
| Expenditure relating to Staff recruitment | | 29 400 | 29 400 | 29 400 | 0,00% |
| Employer's pension contributions | 457 786 | 481 026 | 481 025 | 481 025 | -0,00% |
| Mission expenses | | 2 000 | 2 000 | 2 000 | 0,00% |
| Socio-medical infrastructure | | 15 000 | 15 000 | 15 000 | 0,00% |
| Training | | 30 000 | 30 000 | 30 000 | 0,00% |
| External Services | | 51 979 | 51 979 | 51 979 | 0,00% |
| Receptions, events and representation | | 600 | 600 | 600 | 0,00% |
| Social welfare | | 4 000 | 4 000 | 4 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 73 098 | 524 200 | 536 130 | 536 130 | 2,28% |
| Rental of buildings and associated costs | 59 233 | 247 600 | 253 235 | 253 235 | 2,28% |
| Information, communication technology and data processing | 13 865 | 238 600 | 244 030 | 244 030 | 2,28% |
| Movable property and associated costs | | 7 200 | 7 364 | 7 364 | 2,28% |
| Current administrative expenditure | | 6 800 | 6 955 | 6 955 | 2,28% |
| Postage / Telecommunications | | 23 000 | 23 523 | 23 523 | 2,27% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | 1 000 | 1 023 | 1 023 | 2,30% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 435 207 | 2 584 894 | 2 902 859 | 2 902 859 | 12,30% |
| Operational expenditure - Strategic Statements | 3 263 499 | 2 388 403 | 2 702 231 | 2 702 231 | 13,14% |

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|--|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| - Of which Strategic Statement 1 (Removing barriers) | 3 220 639 | 1 729 003 | 2 033 162 | 2 033 162 | 17,59% |
| - Of which Strategic Statements 2-3 (Sustainability & multimodality) | | | | | |
| - Of which Strategic Statement 4 (Safety) | | 659 400 | 669 069 | 669 069 | 1,47% |
| - Of which Strategic Statement 5 (Resilience) | | | | | |
| - Of which Strategic Statement 6 (Competitiveness) | | | | | |
| - Of which Strategic Statement 7 (Outreach & international) | 42 860 | | | | |
| - Of which Strategic Statement 8 (Governance) | | | | | |
| - Of which Strategic Statement 9 (Internal support & resources) | | | | | |
| - Of which ERA management and administration | | | | | |
| Other operational expenditure | 171 708 | 196 491 | 200 628 | 200 628 | 2,11% |
| TOTAL | 7 003 588 | 9 670 598 | 9 995 325 | 9 995 325 | 3,36% |

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|--|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 21 966 713 | 23 473 646 | 24 403 853 | 24 403 853 | 3,96% |
| Salaries & allowances | 21 547 140 | 22 941 729 | 23 871 936 | 23 871 936 | 4,05% |
| - Of which establishment plan posts | 18 752 100 | 20 177 137 | 20 967 587 | 20 967 587 | 3,92% |
| - Of which external personnel | 2 795 040 | 2 764 592 | 2 904 349 | 2 904 349 | 5,06% |
| Expenditure relating to Staff recruitment | 75 558 | 117 600 | 117 600 | 117 600 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 10 000 | 8 000 | 8 000 | 8 000 | 0,00% |
| Socio-medical infrastructure | 30 456 | 60 000 | 60 000 | 60 000 | 0,00% |
| Training | 140 886 | 120 000 | 120 000 | 120 000 | 0,00% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|--|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 146 096 | 207 917 | 207 917 | 207 917 | 0,00% |
| Receptions, events and representation | | 2 400 | 2 400 | 2 400 | 0,00% |
| Social welfare | 16 577 | 16 000 | 16 000 | 16 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 862 437 | 2 096 800 | 2 143 603 | 2 143 603 | 2,23% |
| Rental of buildings and associated costs | 1 123 938 | 990 400 | 1 012 023 | 1 012 023 | 2,18% |
| Information, communication technology and data processing | 656 606 | 954 400 | 976 121 | 976 121 | 2,28% |
| Movable property and associated costs | 29 116 | 28 800 | 29 455 | 29 455 | 2,27% |
| Current administrative expenditure | 3 428 | 27 200 | 27 819 | 27 819 | 2,28% |
| Postage / Telecommunications | 43 691 | 92 000 | 94 094 | 94 094 | 2,28% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 5 658 | 4 000 | 4 091 | 4 091 | 2,27% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 093 422 | 2 649 143 | 2 935 384 | 2 935 384 | 10,81% |
| Operational expenditure - Strategic Statements | 1 928 921 | 1 863 179 | 2 132 874 | 2 132 874 | 14,47% |
| - Of which Strategic Statement 1 (Removing barriers) | 317 385 | 517 460 | 555 783 | 555 783 | 7,41% |
| - Of which Strategic Statements 2-3 (Sustainability & multimodality) | 14 869 | 93 687 | 53 765 | 53 765 | -42,61% |
| - Of which Strategic Statement 4 (Safety) | 289 853 | 208 952 | 230 744 | 230 744 | 10,43% |
| - Of which Strategic Statement 5 (Resilience) | | | | | |
| - Of which Strategic Statement 6 (Competitiveness) | | 30 400 | 33 570 | 33 570 | 10,43% |
| - Of which Strategic Statement 7 (Outreach & international) | 639 731 | 625 246 | 755 849 | 755 849 | 20,89% |
| - Of which Strategic Statement 8 (Governance) | 526 662 | 357 800 | 476 280 | 476 280 | 33,11% |
| - Of which Strategic Statement 9 (Internal support & resources) | 140 421 | 594 | 656 | 656 | 10,44% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which ERA management and administration</i> | | 29 040 | 26 227 | 26 227 | -9,69% |
| Other operational expenditure | 1 164 501 | 785 964 | 802 510 | 802 510 | 2,11% |
| TOTAL | 26 922 572 | 28 219 589 | 29 482 840 | 29 482 840 | 4,48% |

3.2.3.2 Payment appropriations (EU Contribution)

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|--|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 21 796 148 | 23 473 646 | 24 403 853 | 24 403 853 | 3,96% |
| Salaries & allowances | 21 530 782 | 22 941 729 | 23 871 936 | 23 871 936 | 4,05% |
| <i>- Of which establishment plan posts</i> | 18 752 100 | 20 177 137 | 20 967 587 | 20 967 587 | 3,92% |
| <i>- Of which external personnel</i> | 2 778 682 | 2 764 592 | 2 904 349 | 2 904 349 | 5,06% |
| Expenditure relating to Staff recruitment | 39 541 | 117 600 | 117 600 | 117 600 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 7 281 | 8 000 | 8 000 | 8 000 | 0,00% |
| Socio-medical infrastructure | | 60 000 | 60 000 | 60 000 | 0,00% |
| Training | 79 509 | 120 000 | 120 000 | 120 000 | 0,00% |
| External Services | 122 458 | 207 917 | 207 917 | 207 917 | 0,00% |
| Receptions, events and representation | | 2 400 | 2 400 | 2 400 | 0,00% |
| Social welfare | 16 577 | 16 000 | 16 000 | 16 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 549 826 | 2 096 800 | 2 143 603 | 2 143 603 | 2,23% |
| Rental of buildings and associated costs | 973 940 | 990 400 | 989 483 | 989 483 | -0,09% |
| Information, communication technology and data processing | 517 810 | 954 400 | 1 002 120 | 1 002 120 | 5,00% |
| Movable property and associated costs | 6 623 | 28 800 | 28 800 | 28 800 | 0,00% |
| Current administrative expenditure | 2 427 | 27 200 | 27 200 | 27 200 | 0,00% |

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|--|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | 43 368 | 92 000 | 92 000 | 92 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 5 658 | 4 000 | 4 000 | 4 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 234 267 | 2 649 143 | 2 935 384 | 2 935 384 | 10,81% |
| Operational expenditure - Strategic Statements | 1 368 923 | 1 863 179 | 2 132 874 | 2 132 874 | 14,47% |
| - Of which Strategic Statement 1 (Removing barriers) | 242 487 | 517 460 | 555 783 | 555 783 | 7,41% |
| - Of which Strategic Statements 2-3 (Sustainability & multimodality) | | 93 687 | 53 765 | 53 765 | -42,61% |
| - Of which Strategic Statement 4 (Safety) | 167 443 | 208 952 | 230 744 | 230 744 | 10,43% |
| - Of which Strategic Statement 5 (Resilience) | | | | | |
| - Of which Strategic Statement 6 (Competitiveness) | | 30 400 | 33 570 | 33 570 | 10,43% |
| - Of which Strategic Statement 7 (Outreach & international) | 457 428 | 625 246 | 755 849 | 755 849 | 20,89% |
| - Of which Strategic Statement 8 (Governance) | 373 303 | 357 800 | 476 280 | 476 280 | 33,11% |
| - Of which Strategic Statement 9 (Internal support & resources) | 128 262 | 594 | 656 | 656 | 10,44% |
| - Of which ERA management and administration | | 29 040 | 26 227 | 26 227 | -9,69% |
| Other operational expenditure | 865 344 | 785 964 | 802 510 | 802 510 | 2,11% |
| TOTAL | 25 580 241 | 28 219 589 | 29 482 840 | 29 482 840 | 4,48% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 81 821.

4 Justification of needs

Commission assessment

Human Resources

Following adoption of the Agency’s Strengthening plan 2023-2027, the Agency was allocated additional 12 TA (6 on a permanent basis and 6 with a temporary nature) to support Agency’s work in Budget 2023. The Commission supports the staffing request in line with the Agency’s strengthening plan (and stability with 2023).

The Commission supports gradual recruitment of 12 FTEs.

Financial Resources

The Agency has prepared a balanced budget for 2024. However, the amounts for the EU Contribution are higher than the MFF number by 679 274 € (but including 81 821€ surplus). The Commission supports this one-off top-up of the agency’s resources, based on the agency’s explanation that it is linked to the 4 EU-financed posts included in the agency’s strengthening plan. Without the one-off top-up, the agency would not be able to fully exploit the benefit of recruiting these 4 posts.

The Commission notes increase by 10.8% of Title 3 – operational expenditure. The increase of the Agency’s operational expenditure remains lower than the levels of expenditure of 2022. The Commission supports the Agency’s proposal but insists on close monitoring of the draft SPD 2024 developments and final reflection of policy related works of the Agency.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

The Agency plans to approach the +12 TAs allocated to the Agency in Budget 2023, in line with the Agency’s own Strengthening Plan as follows:

Prudent approach in 2023, also considering the time to recruit; meaning an average recruitment by mid-year, therefore +6 FTEs and + 12 TAs by the end of the year 2023

Full house assumption starting Jan 2024 to cope with very important policy priorities as per the Strengthening Action Plan.

Should the additional budget not be granted, the Agency will need to re-assess the recruitment timeline and decrease the speed / ambition of delivery on important policy priorities.

4.1.1.2 Number of staff requested (UE Contribution)

The Agency received 12 additional TA (AD) posts as of Budget 2023, in the context of the Strengthening Plan 2023-2027.

The following table displays the split of requested resources between subsidy-funded activities and fees and charges-funded activities:

| Staff category | EU contrib. (T1) | F&C (T4.1) | Total |
|----------------|------------------|------------|-------|
| TA | 140 | 26 | 166 |
| CA | 28 | 8 | 36 |
| SNE | 4 | 0 | 4 |
| Total | 172 | 34 | 206 |

4.1.2 Vacancy rate as of end 2022

4.1.2.1 Vacancy rate as of end 2022 (Fees and charges)

No vacant posts: TA: 15/15 (0%); CA: 3/3 (0%); Total staff: 18/18 (0%).

4.1.2.2 Vacancy rate as of end 2022 (UE Contribution)

TA: 137/139 (1.4%) - 2 AST vacancies; CA: 33/33 (0%); SNE: 2/4 (50%); Total staff: 172/176 (2.2%).

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

N.A

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (UE Contribution)

N/A.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

TA: 156,826 €

Justification: Estimation of 152,228 € for Q3 2023 – Q2 2024 (+4.4% indexation and as per budget circular and accompanying note to ERA, +1% reclassification margin), which is indexed further by +3.4% (as per budget circular and accompanying note to ERA), +1% reclassification margin as from Q3 2024.
CA: 94,694 €

Justification: revised estimation of 91,918 € for Q3 2023 – Q2 2024 (+4.4% indexation and as per budget circular and accompanying note to ERA, +1% reclassification margin), which is indexed further by +3.4% (as per budget circular and accompanying note to ERA), +1% reclassification margin as from Q3 2024

The agency takes in account a limited correction coefficient for the salary assumption: +0.6% in 2023 2024 will be monitored against the actual evolution of the Eurostat data.

4.1.4.2 Salary assumption for calculating salary line (% applied) (UE Contribution)

TA: 156,826 €

Justification: Estimation of 152,228 € for Q3 2023 – Q2 2024 (+4.4% indexation and as per budget circular and accompanying note to ERA, +1% reclassification margin), which is indexed further by +3.4% (as per budget circular and accompanying note to ERA), +1% reclassification margin as from Q3 2024.

CA: 94,694 €

Justification: revised estimation of 91,918 € for Q3 2023 – Q2 2024 (+4.4% indexation and as per budget circular and accompanying note to ERA, +1% reclassification margin), which is indexed further by +3.4% (as per budget circular and accompanying note to ERA), +1% reclassification margin as from Q3 2024.

The agency takes in account a limited correction coefficient for the salary assumption : +0.6% in 2023 2024 will be monitored against the actual evolution of the Eurostat data.

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

Considering that the correction coefficient for France has been reduced substantially over the last iterations while the macroeconomic data for France, in particular the consumer price index might have an opposite trend, the Agency would like to factor a prudent assumption of correction coefficient increase for the salary assumptions: +0.6% in 2023 2024 will be monitored against the actual evolution of the Eurostat data.

4.1.5.2 Correction coefficient used (UE Contribution)

Considering that the correction coefficient for France has been reduced substantially over the last iterations while the macroeconomic data for France, in particular the consumer price index might have an opposite trend, the Agency would like to factor a prudent assumption of correction coefficient increase for the salary assumptions: +0.6% in 2023 2024 will be monitored against the actual evolution of the Eurostat data.

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

N.A.

4.1.6.2 Exchange rate used (if applicable) (UE Contribution)

N.A.

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charged)

The Agency follows the budget circular guidelines accurately as regards the indexation to be applied for the second half of 2023 to mid-2024 (+4.4%) as well as for the second half of 2024 (+3.4%). The small margin assumed for the correction coefficient for France (+0.6%) is considered prudent, in light of the macroeconomic justification provided and the absence of any official Eurostat statistics at this stage.

4.2.1.2 Title 1 (UE Contribution)

The Agency follows the budget circular guidelines accurately as regards the indexation to be applied for the second half of 2023 to mid-2024 (+4.4%) as well as for the second half of 2024 (+3.4%). The small margin assumed for the correction coefficient for France (+0.6%) is considered prudent, in light of the macroeconomic justification provided and the absence of any official Eurostat statistics at this stage.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

After flatlining in 2023 (no increase as an immediate efficiency measure), additional 11,930 € (+2.28% vs. 2023) is proposed to compensate the inflation effect of 2 years on the cost of products and services. The factored increase of 2.28% compared to 2023, is substantially below the market evolution of rental and utility costs over the years in scope.

As part of the Strengthening Action Plan 2023-2027, the Agency makes sustained efforts to absorb all price increases above this assumption as part of its efficiency gains efforts.

An important note is that in 2023 the Agency had not increased its T2 budget compared to 2022, and therefore took a substantial cut in terms of real purchasing parity. For the 2024 request, the Agency applied an average of 2.24% increase on T2. As this number is lower than the actual inflation in France for 2023 and the expectation for 2024, it is substantially below the market evolution of rental and utility costs.

4.2.2.2 Title 2 (UE Contribution)

After flatlining in 2023 (no increase as an immediate efficiency measure), additional 47,720 € (+2.28% vs. 2023) is proposed to compensate the inflation effect of 2 years on the cost of products and services. The factored increase of 2.28% compared to 2023, is substantially below the market evolution of rental and utility costs over the years in scope.

As part of the Strengthening Action Plan 2023-2027, the Agency makes sustained efforts to absorb all price increases above this assumption as part of its efficiency gains efforts.

An important note is that in 2023 the Agency had not increased its T2 budget compared to 2022, and therefore took a substantial cut in terms of real purchasing parity. For the 2024 request, the Agency applied an average of 2.24% increase on T2. As this number is lower than the actual inflation in France for 2023 and the expectation for 2024, it is substantially below the market evolution of rental and utility costs.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

All these costs are covered by the Fees and charges levied by the Agency to the manufacturers and the railway undertaking, for the Vehicle Authorisations and Single Safety Certificates, respectively.

In addition to adjustment to inflation, the translation and interpretation of the Vehicle Authorisation guides is planned to take place in 2024. The Agency is making sustained efforts to manage these gaps without significant impact on the business continuity and the delivery of its activities, as part of the implementation of its Strengthening Action Plan 2023-2027.

However, important policy priorities, such the Railway Safety Information Sharing System, with significant relevance for the increase in the level of railway safety for the EU citizens and business, remain unfunded under the current envelope. As mentioned above, the Agency has started in 2023 the implementation of its Strengthening Action Plan and is making best efforts to absorb such substantial cut through ambition efficiency gains.

With the 2024 request (2,94 mill €), the Agency remains still below its 2022 numbers in nominal terms (over 5% nominal cut), while keeping its ambition to deliver on important policy files.

Across the strategic statements, the view is as follows when comparing the 2024 request to the 2023 budget:

- Strategic statement 1 (Removing barriers): a moderate increase to compensate for previous year substantial cut on important streams such as NoBo monitoring, registers and databases, TSIs; for most of the items the increase is marginal or there is a decrease
- Strategic statement 2-3 (Sustainability and multi-modality): a decrease by close to 50% given that in 2023 a one-off investment was envisaged for the ERSAD database, which is not maintained in 2024;
- Strategic statement 4 (Safety): a moderate increase to compensate for previous year substantial cut on important policy activities such as the monitoring / audit of the national safety authorities
- Strategic statement 6 (Competitiveness): a moderate increase to compensate for previous year substantial cut (overall increase is minor in absolute terms à 3k€)
- Strategic statement 7 (Outreach and international): an increase to compensate for the previous year not having envisaged any budget at all for publications management
- Strategic statement 9 (Governance): an increase to support the Interoperable data stream of work, the media / crisis communication and to accommodate in-person meetings for the Management Board
- The Management and administration part of the Strategic Statement 8 is proposed to decrease by 10%.

4.2.3.2 Title 3 (UE Contribution)

After the 5% cut of 2023 following the Agency's internal budgeting, an overall increase of 74,818 € (+5% vs. 2022) is proposed for ERA projects'/services' budget to sufficiently finance the operational programmes of ERA, as well as to accommodate the inflation effect of 2 years.

The Agency is making sustained efforts to manage any budget gaps without significant impact on the business continuity and the delivery of its activities, as part of the implementation of its Strengthening Action Plan 2023-2027.

However, important policy priorities, such the Railway Safety Information Sharing System, with significant relevance for the increase in the level of railway safety for the EU citizens and business, remain unfunded under the current envelope.

The Agency has suffered a substantial nominal cut of its operational expenditure in 2023 (2,65 mill €) following its internal budgeting compared to the 2022 appropriations (3,11 mill € at the end of 2022). This has entailed a 2023/2022 cut of almost 15% on top of the overall context of growing inflation. With the 2024 request (2,94 mill €), the Agency remains still below its 2022 numbers in nominal terms (over 5% nominal cut), while keeping its ambition to deliver on important policy files.

Across the strategic statements, the view is as follows when comparing the 2024 request to the 2023 budget:

- Strategic statement 1 (Removing barriers): a moderate increase to compensate for previous year substantial cut on important streams such as NoBo monitoring, registers and databases, TSIs; for most of the items the increase is marginal or there is a decrease
- Strategic statement 2-3 (Sustainability and multi-modality): a decrease by close to 50% given that in 2023 a one-off investment was envisaged for the ERSAD database, which is not maintained in 2024;

- Strategic statement 4 (Safety): a moderate increase to compensate for previous year substantial cut on important policy activities such as the monitoring / audit of the national safety authorities
- Strategic statement 6 (Competitiveness): a moderate increase to compensate for previous year substantial cut (overall increase is minor in absolute terms à 3k€)
- Strategic statement 7 (Outreach and international): an increase to compensate for the previous year not having envisaged any budget at all for publications management
- Strategic statement 9 (Governance): an increase to support the Interoperable data stream of work, the media / crisis communication and to accommodate in-person meetings for the Management Board
- The Management and administration part of the Strategic Statement 8 is proposed to decrease by 10%.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|---|---|---------------------|------------|--------------|-----------------|----------------------------------|-------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | ERA HQ | Valenciennes, 120 rue Marc Lefrancq, 59307 France | 5 250 | | 5 250 | 552 300 | 1 year with tacit renewal | Office rental | No | |
| 2 | ERA Office - Espace international | 299, Boulevard de Leeds - 59000 Lille - France | 374 | 55 | 429 | 90 300 | Until 31/12/2023 | Meeting, premise rental | No | |
| 3 | Parking des Tertiales - SPL Valenciennes stationnement (100 parking places) | Rue du Fer à Cheval, 59300 Valenciennes, FR | | | | 27 563 | 1 year with tacit renewal | Parking rental | No | |
| 4 | Rental of 1 parking place from Espace International | 13 Avenue le Corbusier 59800 Lille, FR | | | | 2 993 | Contract will end on 31/12/2023. | Parking rental | No | |
| TOTAL | | | 5 624 | 55 | 5 679 | 673 156 | | | | |

5.1.2 Current building(s) Other comments

ERA HQ (Valenciennes): ERA is the only tenant in the ERA HQ building, the Agency exclusively occupies and uses all office and non-office spaces in the building. Therefore, all surface area is included under the 5 250 m² of office space (SHON, terraces are not considered).

Lille Office - Espace International (Lille): ERA shares office spaces with the building landlord (CCI de Région) on the 2nd floor. Following the signature of the addendum n°3 to main Lease contract that entered into force as of 01/09/2022, the leased total office space is reduced to 428.88 m2 for a lease fee of 84 674 €, where the “quote-part des parties communes” is 12.8%. ERA has an exclusive use of the “office space” of the 428.88 m² considered in the rent, the remaining 12.8% included under “non-office” are shared with the landlord and other tenants.

The Lease contract will expire on the 31/12/2023, therefore in Q1-Q2 2023 the Agency will evaluate whether to renew the Lease contract for all the existing space or to limit it to a representation office (reduced office space):

- Renewal of the totality of the existing office space: 90 300 € (included in the table above)
- Renewal of the Lease limited to a representation office: 25 000 € (estimation only, ERA has not started any negotiations with the building landlord yet).

5.1.3 Building projects in the planning phase

Due to the growth of the Agency, the current building in Valenciennes does not offer any longer the required conditions to accommodate staff and activities related to the Agency’s role as railways’ Authority. Therefore, the Agency has re-allocated the majority of staff members in double office spaces as a short-term solution. Another short-medium term solution is the implementation of teleworking up to the limit set by the Management Board Decision on Teleworking.

In parallel, as part of a long-term solution, the Agency and Valenciennes Métropole have started defining the requirements for a new building to accommodate ERA activities after 2027 by means of a Study composed of different phases. In 2018, the Phase I of the Study confirmed that the current ERA HQ cannot accommodate all requirements related to the Agency activities and growth. In 2019 and 2020, the Agency has managed to complete the Phases 2 and 4 of the technical and functional study resulting in the approval of the technical. Taking into account the experience gained during the pandemic with large-scale teleworking and new ways of working, the building capacity was revised, and the technical project adapted.

In 2022, the works mainly consisted in clarifying with the Commission, the EU Budgetary Authority and the ERA Legal service, the exact procedure to be followed by ERA to have the project formally approved. On the 31/10/2022, an Early Information note was sent to the EU Budgetary Authority under Article 266(2) of the Financial Regulation resulting in the presentation on the 17/11/2022 of the ERA project to the Committee of Budgets in Brussels. At this stage, the EU Parliament confirmed that the procedure “Information préalable” can be considered as closed. In 2023, ERA shall launch the formal procedure aiming at receiving the approval of the Budgetary Authority for the ERA Building Project.

The Lille lease agreement is ending on the 31/12/2023. ERA shall contact the CCI de Région in 2023 to negotiate a new Lease contract limited to a representation office. ERA shall continue having high level meetings in Lille as per the Council Decision (Official Journal of the European Union 2044/97/EC, Euratom).

5.1.4 Building projects submitted to the European Parliament and the Council

See status under previous section.

5.2 European schools

| | | |
|---|----|---|
| No agreement is in place with a European School. | | |
| Contribution agreements signed with the EC on type I European schools | No | |
| Contribution agreements signed with the EC on type II European schools | No | Informal arrangement with EEL(M) (École européenne Lille Métropole) |
| Number of service contracts in place with international schools: | 0 | |
| Description of any other solutions or actions in place: | | |
| Informal arrangement with Jehan Froissart Primary School in Valenciennes (EN curricula) | | |

5.3 Evaluation

According Article 82 of Regulation (2016) 796, an evaluation of ERA has to be carried out no later than 16 June 2020 and every 5 years thereafter, with the purpose to assess, in particular, the impact, effectiveness and efficiency of the Agency and its working practices, taking into account all relevant work by the Court of Auditors and the views and recommendations of relevant stakeholders, including national safety authorities, representatives of the railway sector, social partners and consumer organisations. This evaluation started in 2022 and the Agency has provided the requested input to the contractor performing the evaluation. By 16 June 2023 the Commission shall assess the functioning of the dual system for vehicle authorisation and safety certification, the one-stop shop related thereto and the harmonised

implementation of ERTMS in the Union and shall forward the evaluation report together with its conclusions thereon to the European Parliament, the Council and the Management Board. The findings of the evaluation shall be made public.

5.4 Privileges and immunities

The Management Board approved the proposed Headquarters (or Seat) Agreement between the Agency and the host Member State without objections or negative votes in August 2018. The Seat Agreement between the French Government and the Agency has been presented as draft legislation to the French National Assembly on 7 July 2021 and has been adopted and promulgated on 31 January 2022. The final text of the Seat Agreement can be found here. The following table presents the privileges granted to the Agency's staff:

| Agency privileges | Privileges granted to staff | |
|---|--|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>The Agency's headquarters are located in Valenciennes and Lille. The French authority pledge to provide the necessary support to ensure the location of the Agency's headquarters will remain unchanged. (Art. 3)</p> <p>The Agency's premises are inviolable, exempt from requisition, confiscation or expropriation. No French authority can enter the building without the prior agreement of the Executive Director. The property and assets of the Agency cannot be subject of legal measure of constraint without the authorisation of the CJEU. (Art. 4)</p> <p>The Agency's archives and all official documents are also inviolable. The Agency will benefit from the same treatment the French Government provides to diplomatic missions when it comes to official communication. No restriction can be placed on neither official communication addressed to the Agency or a member of its personnel, nor on any communication emanating from the Agency. In addition, the Agency shall have the right to use codes and to dispatch and receive official correspondence and other official communications by courier or in sealed bags which shall be subject to the same privileges and immunities as diplomatic couriers and bags. (Art. 5)</p> <p>The Agency is entitled to display the flag of the EU, as</p> | <p>The HQ Agreement differentiates statutory staff (officials, TAs, CAs) and external staff (SNEs, experts, trainees, interims). (Art. 1). The privileges and immunities provided for the statutory staff aim to guarantee their independence and the unimpeded functioning of ERA. The Executive Director and the statutory staff (Art. 10):</p> <ul style="list-style-type: none"> • enjoy immunity from jurisdiction, even after the termination of their mission, in respect of acts carried out by them in the exercise of their functions • are exempt from national taxes on earnings, salaries and respective supplements paid by the Agency • enjoy the exemption for themselves and their spouses from measures restricting immigration and from aliens' registration formalities; • enjoy the same privileges in respect of currency and exchange regulations comparably to those accorded to staff of international organisations • enjoy the right to import duty-free their furniture and personal effects at the time of first taking up their post in France and the right on the termination of their functions in France to export free of duty their furniture and personal effects, subject in both cases to conditions considered necessary by the French authorities • Enjoy the right to import duty-free their private car acquired whether in the country of their last residence or in the country of which they are nationals, bound by the single market rules. They also enjoy the right to export it duty-free, subject to the conditions considered necessary by the French authorities; • Have access – along with their family members – to access without discrimination compared with French citizens to all public services provided on French territory, including medical services, education, childcare, and housing. <p>The French Government will deliver to all members of the Agency's personnel (except those who have the French nationality or permanent residency) a special residency document identifying them as member of the Agency's personnel. The Agency will ensure that once an employee leaves the Agency, its residency document is handed back to the Ministry of Foreign Affairs. The Ministry of Foreign Affairs also facilitates the issuance of those residency documents and work authorisations to the family members of all the Agency's personnel, except those who have the French nationality or permanent residency (Art. 11 (1, 2)). The French authorities will take all necessary measures to facilitate the entry, stay, and departure of experts in missions for the Agency on the French territory. Necessary visa sand authorisations will be delivered for free as quickly as possible (Art. 11 (2, 4)).</p> | <p>No provision in the headquarters agreement.</p> |

| Agency privileges | Privileges granted to staff | |
|--|--|--|
| <p>well as its own on its premises and vehicles (Art. 6)</p> <p>The Agency, its assets, income and other properties, shall be exempt from all direct taxes. The Agency is also exempt from indirect taxes and duties included the price of movable and immovable property and services, acquired for its official use and involving an expenditure of at least €150. The exemption may be granted by way of a refund. The Agency shall also be exempt from all custom duties and any other taxes for all goods intended for official use, as long as this exoneration is foreseen in the EU or national legislation. (Art. 7-8)</p> <p>Finally, the Agency is entitled to register three vehicles with diplomatic plates. (Art. 9)</p> | <p>In addition, the Executive Director and the statutory staff are exempt from any compulsory national social security system. External staff, SNEs, and their family members, subject to their coverage in their own country of citizenship, are also exempt from any compulsory national social security system. (Art. 12)</p> | |

1 Overview**1.1 Creation/modification (legal base)**

| Decision | Date | Mission/ Tasks / Functions |
|---|---------------|--|
| Regulation (EC) No 713/2009 of the European Parliament and of the Council establishing an Agency for the Cooperation of Energy Regulators | 13 July 2009, | The Agency assists the National Regulatory Authorities (NRAs) at EU level with their regulatory tasks in the Member States and coordinates their action; participates in the creation of European network rules; issues opinions on network-related tasks performed by Transmission System Operators (TSOs); can take binding individual decisions on terms and conditions for access and operational security for cross border infrastructure; advise the EU institutions; monitors and reports developments in the energy markets. |
| Regulation (EU) 2019/942 of the European Parliament and of the Council establishing a European Union Agency for the Cooperation of Energy Regulators | 05 June 2019 | |
| Regulation (EU) 2022/869 of the European Parliament and the Council on guidelines for trans-European energy infrastructure, amending Regulations (EC) No 715/2009, (EU) 2019/942 and (EU) 2019/943 and Directives 2009/73/EC and (EU) 2019/944, and repealing Regulation (EU) No 347/2013 | 30 May 2022 | |
| Directive 2009/72/EC of the European Parliament and of the Council on common rules for the internal market in electricity, repealing Directive 2003/54/EC | 13 July 2009, | ACER shall cooperate with NRAs and TSOs to ensure the compatibility of the regulatory frameworks across the Union with the aim of creating a competitive internal market in electricity; exercise the tasks conferred on the NRAs upon their joint request and in the absence of agreement between them; and provide its opinion to regulatory authorities or to the Commission. |
| Regulation (EU) 2019/943 of the European Parliament and of the Council on the internal market for electricity | 05 June 2019 | <p>The Agency shall:</p> <ul style="list-style-type: none"> - amend or approve technical parameters developed by ENTSO-E for an efficient participation of cross-border capacities in capacity mechanisms and other technical features of capacity mechanisms. - amend or approve draft methodologies for calculating: the value of lost load; the "cost of new entry" for generation, or demand response; and the reliability standard expressed as "expected energy not served" and the "loss of load expectation". - amend or approve changes of a proposal for a methodology for assessing short-term adequacy - seasonal adequacy, week-ahead to intraday adequacy submitted by ENTSO-E. <p>The Agency shall publish Best practice report on the transmission and distribution tariff methodologies every two years.</p> |

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|---|---------------|--|--|
| | | | The Agency shall publish Opinion on technical guidance for the calculation of CO2 emission limits. |
| Directive 2009/73/EC concerning common rules for the internal market in natural gas and repealing Directive 2003/55/EC | 13 July 2009 | | ACER shall cooperate with NRAs and TSOs to ensure the compatibility of the regulatory frameworks across EU with the aim of creating an internal market in natural gas; exercise the tasks conferred on the NRAs upon their joint request and in the absence of agreement between them; and provide its opinion to the regulatory authority or to the Commission |
| Regulation (EU) 2022/869 of the European Parliament and the Council on guidelines for trans-European energy infrastructure, amending Regulations (EC) No 715/2009, (EU) 2019/942 and (EU) 2019/943 and Directives 2009/73/EC and (EU) 2019/944, and repealing Regulation (EU) No 347/2013 | 30 May 2022 | | |
| Regulation (EC) No 714/2009 on conditions for access to the network for cross-border exchanges in electricity and repealing Regulation (EC) No 1228/2003 | 13 July 2009, | | The Agency issues opinions to the Commission on the draft statutes, list of members and draft rules of procedure of the European network of transmission system operators for electricity (ENTSO-E); submits and recommends for adoption by the Commission framework guidelines for the development of network codes; provide opinions to ENTSO-E on network codes; review the national ten-year network development plans; monitor the execution of ENTSO-E's tasks and the network codes implementation. |
| Regulation (EU) 2019/943 of the European Parliament and of the Council on the internal market for electricity | 05 June 2019 | | |
| Regulation (EU) No 543/2013 on submission and publication of data in electricity markets, amending Annex I to Regulation (EC) No 714/2009 | 14 June 2013 | | This regulation lays down the minimum common set of data relating to generation, transportation and consumption of electricity to be made available to market participants. It also provides for a central collection and publication of the data. |
| Regulation (EU) 2022/869 of the European Parliament and the Council on guidelines for trans-European energy infrastructure, amending Regulations (EC) No 715/2009, (EU) 2019/942 and (EU) 2019/943 and Directives 2009/73/EC and (EU) 2019/944, and repealing Regulation (EU) No 347/2013 | 30 May 2022 | | |

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|---|--|---|
| Regulation (EC) No 715/2009 on conditions for access to the natural gas transmission networks and repealing Regulation (EC) No 1775/2005 | 13 July 2009 | The Agency issues opinions to the Commission on the draft statutes, list of members and draft rules of procedure of the European network of transmission system operators for gas (ENTSOG); submits and recommends for adoption by the Commission framework guidelines for the development of network codes; provide opinions to ENTSOG on network codes; monitor the execution of ENTSOG's tasks and the network codes implementation. |
| Regulation (EU) 2022/869 of the European Parliament and the Council on guidelines for trans-European energy infrastructure, amending Regulations (EC) No 715/2009, (EU) 2019/942 and (EU) 2019/943 and Directives 2009/73/EC and (EU) 2019/944, and repealing Regulation (EU) No 347/2013 | 30 May 2022 | |
| Regulation (EU) No 838/2010 on guidelines relating to the inter-transmission system operator compensation mechanism and a common regulatory approach to transmission charging | 23 September 2010 | The Agency shall formulate a proposal to the Commission on the annual cross-border infrastructure compensation sum for the Inter-TSO Compensation (ITC) mechanism and report to the Commission; verify the criteria for the valuation of losses at national level; monitor the appropriateness of the ranges of allowable transmission charges and provide its opinion to the Commission |
| Regulation (EU) 994/2010, recently replaced by Regulation (EU) 2017/1938 concerning measures to safeguard the security of gas supply (the "SoS Regulation") | 20 October 2010 25 October 2017 | measures to safeguard security of gas supply and repealing Council Directive 2004/67/EC concerning measures to safeguard the security of gas supply and repealing Regulation (EU) No 994/2010 The Agency shall take decisions on CBCA; issue opinions on proposals or exemption requests and on the elements of coordinated decisions; participate in consultations on the establishment of permanent bi-directional capacity at interconnection points; and participate in the Gas Coordination Group. |
| Regulation (EU) No 1227/2011 on wholesale energy market integrity and transparency (REMIT) | 25 October 2011 | The Agency shall monitor wholesale energy markets to detect market manipulation, insider trading; collect records of transactions and orders to trade reported by market participants; establish and maintain a central European register of energy market participants; assess the operation and transparency of market places and ways of trading; cooperate with the NRAs on the implementation of REMIT across EU and cooperate with ESMA, national financial and competition authorities. |
| Commission Implementing Regulation No 1348/2014 on data reporting implementing Art. 8(2) of Regulation No 1227/2011 on wholesale energy market integrity and transparency | 17 December 2014 | The Agency shall monitor the wholesale energy markets in the Union; draw up, publish, maintain and update lists of standard contracts and organised market places; establish procedures, standards and electronic formats based on established industry standards for reporting of information; develop technical and organisational requirements for submitting data; and assess whether reporting parties comply with the requirements. |
| Commission Regulation (EU) No 312/2014 establishing a Network Code on Gas | 26 March 2014 | The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring. |

| | | |
|---|-------------------|---|
| Balancing of Transmission Networks | | |
| Commission Regulation (EU) 2015/703 establishing a network code on interoperability and data exchange rules | 30 April 2015 | The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring. |
| Commission Decision (EU) 2015/715 amending Annex I to Regulation (EC) No 715/2009 on conditions for access to the natural gas transmission networks | 30 April 2015 | The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring; and publish an annual report on Congestion at Interconnection Points. |
| Commission Regulation (EU) 2015/1222 establishing guideline on capacity allocation and congestion management | 24 July 2015, | The Agency shall take decisions in the absence of agreement between NRAs on the common terms and conditions or methodologies; monitor NEMO's progress in establishing and performing the MCO functions and report to the Commission; issue an opinion on the proposals for terms and conditions or methodologies; assess the efficiency of current bidding zone configuration and draft a market report; and organise the involvement of stakeholders |
| Commission Regulation (EU) 2016/631 establishing a network code on requirements for grid connection of generators | 14 April 2016 | The Agency shall: organise stakeholder involvement regarding the requirements for grid connection of power-generating facilities; produce a list of information to be communicated by ENTSO-Electricity to the Agency; and monitor the procedure of granting derogations |
| Commission Regulation (EU) 2016/1388 establishing a network code on demand connection | 17 August 2016 | The Agency shall organise stakeholder involvement on the requirements for the grid connection of transmission-connected demand and distribution facilities, distribution systems and demand units; monitor the procedure of granting derogations with the cooperation of NRAs; and produce a list of information to be communicated by ENTSO-E to the Agency. |
| Commission Regulation (EU) 2016/1447 establishing a network code on requirements for grid connection of high voltage direct current systems and direct current-connected power park modules | 26 August 2016 | The Agency shall organise stakeholder involvement on the requirements for grid connection of HVDC systems and DC-connected power park modules; draw up a list of information to be communicated by ENTSO-E to the Agency; and monitor the procedure of granting derogations with the cooperation of the regulatory authorities or relevant authorities of the Member State. |
| Commission Regulation (EU) 2016/1719 establishing a guideline on forward capacity allocation | 26 September 2016 | The Agency shall issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding forward capacity allocation; and draw up a list of information to be communicated by ENTSO-E. |
| Commission Regulation (EU) 2017/459 establishing a network code on capacity allocation mechanisms in gas transmission systems and repealing Regulation (EU) No 984/2013 | 16 March 2017 | The Agency shall publish implementation monitoring reports, covering both compliance and effects monitoring; decide on the use of a single capacity booking platform in cases where the TSOs and NRAs involved cannot agree; and publish a report on conditional capacities; publish an Opinion on ENTSOG's report and proposed format for General Terms and Conditions. |
| Commission Regulation (EU) 2017/460 establishing a network code on harmonised | 16 March 2017 | The Agency shall publish implementation monitoring reports, covering both compliance and implementation monitoring; review national reference price methodologies; publish a report on allowed revenues; and |

| | | |
|--|---------------------------------|---|
| transmission tariff structures for gas | | publish a recommendation on tariff multipliers. |
| Commission Regulation (EU) 2017/1485 establishing a guideline on electricity transmission system operation | 2 August 2017 | The Agency shall issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding system operation; and draw up a list of information to be communicated by ENTSO-E. |
| Commission Regulation (EU) 2017/2195 establishing a guideline on electricity balancing | 23 November 2017 | The Agency shall issue opinions on the participation of Switzerland in the European platforms for the exchange of standard products for balancing energy; on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding balancing; draw up a list of information to be communicated by ENTSO-E |
| Commission Regulation (EU) 2017/2196 establishing a network code on emergency and restoration | 24 November 2017 | The Agency shall organise stakeholder involvement regarding the implementation of this Regulation and system operation; produce lists of information to be communicated by ENTSO-Electricity to the Agency; issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs |
| Regulation (EU) 2019/941 of the European Parliament and of the Council On risk-preparedness in the electricity sector and repealing directive 2005/89/EC | 5 June 2019 | The Agency shall: <ul style="list-style-type: none"> - coordinate national actions related to risk preparedness with regulatory oversight over the RCC (Regional Coordination Centres), amend or approving changes of a proposal for a methodology for assessing short-term adequacy - seasonal adequacy, week-ahead to intraday adequacy submitted by ENTSO-E. - amend or approve the proposal for a methodology for identifying the most relevant electricity crisis scenarios in a regional context submitted by ENTSO-E. - monitor the security of supply measures and reporting regularly to the ECG. <p>The Agency shall monitor the performance of Regional Coordination Centres with the NRAs and ENTSO-E, through submitted data resulting from their continuous monitoring; issue a decision on the configuration of system operation regions based on proposal from ENTSO-E.</p> |
| Directive (EU) 2019/944 of the European Parliament and of the Council on common rules for the internal market for electricity and amending directive 2012/27/EU Regulation (EU) 2022/869 of the European Parliament and the Council on guidelines for trans-European energy infrastructure, amending Regulations (EC) No 715/2009, (EU) 2019/942 and (EU) 2019/943 and Directives 2009/73/EC and (EU) 2019/944, and repealing Regulation (EU) No 347/2013 | 05 June 2019 30 May 2022 | The Agency, in close cooperation with the national regulatory authorities and the ENTSO for Electricity, shall monitor and analyse the performance of Regional Coordination Centres. The Agency shall support DSOs to submit to the Commission and to the Agency the draft statutes, a list of registered members, the draft rules of procedure, including the rules of procedures on the consultation with ENTSO-E and other stakeholders and the financing rules, of the EU DSO entity to be established. Issuing an opinion on the draft statutes, list of members, draft rules of procedures, DSO annual work programme and other relevant documents. Issuing a decision for DSO to provide any relevant information necessary for carrying out monitoring pursuant. In its decisions, the Agency shall make a reference to the legal basis under which the information is requested, the time limit within which the information is to be provided, and the purpose of the request. |

| | | |
|--|------------------|--|
| | | |
| Commission Decision (EU) 2020/2152 of 17 December 2020 on fees due to the European Union Agency for the Cooperation of Energy Regulators for collecting, handling, processing and analysing of information reported under Regulation (EU) No 1227/2011 of the European Parliament and of the Council | 17 December 2020 | Fees are introduced to improve the Agency’s funding and to cover the costs related to monitoring of the wholesale energy market in order to ensure, in close collaboration with the national regulatory authorities and other national authorities, their effective oversight. |
| Regulation (EU) 2022/869 of the European Parliament and the Council of 30 May 2022 on guidelines for trans-European energy infrastructure, amending Regulations (EC) No 715/2009, (EU) 2019/942 and (EU) 2019/943 and Directives 2009/73/EC and (EU) 2019/944, and repealing Regulation (EU) No 347/2013 | 30 May 2022 | In line with the TEN-E Regulation, the Agency plays a role in the process of identifying critical energy infrastructure (PCIs) and in assisting NRAs in dealing with investment requests – including for cross-border cost allocation – submitted by PCI promoters. As part of the European Green Deal and the decarbonisation policy objectives, the TEN-E legislation was revised with a view to adapting it to the decarbonisation objectives. The new Regulation foresees additional tasks for ACER in this domain. |
| Council Regulation (EU) 2022/2576 enhancing solidarity through better coordination of gas purchases, reliable price benchmarks and exchanges of gas across borders | 19 December 2022 | The Regulation grants ACER the necessary powers to collect the transaction data needed for the establishment of the LNG benchmark, building on and reinforcing the tasks and powers ACER already has under REMIT and the REMIT Implementing Regulation. |
| Council Regulation (EU) 2022/2578 establishing a market correction mechanism to protect Union citizens and the economy against excessively high prices | 22 December 2022 | <p>This Regulation establishes a temporary market correction mechanism (‘MCM’) for orders placed for trading TTF (Title transfer facility) derivatives and derivatives linked to other virtual trading points (‘VTPs’) in accordance with Article 9, to limit episodes of excessively high gas prices in the Union which do not reflect world market prices.</p> <p>ACER exercise its market supervision duties and calculates the reference price on time (daily basis), based on the price assessments provided by the reporting Agencies. ACER should ensure confidential treatment of the information received, protect any intellectual property rights related to that information and use that information solely for regulatory purposes. ACER should continuously monitor whether the conditions for the operation of the MCM are fulfilled. ACER should monitor the evolution of the front-month TTF settlement price and compare it to the reference price, determined by the average price of LNG price assessments linked to European trading hubs, in order to verify whether the conditions that justify the activation or deactivation of the MCM are met.</p> |
| Proposal for a Regulation of the European Parliament and of the Council amending Regulations (EU) 2019/943 | 14 March 2023 | The proposal is addressing consumer, industry and investors’ concerns over exposure to volatile short-term prices, driven by high prices of fossil fuels. It will optimize the electricity market design by complementing the short-term markets with a greater role for longer-term instruments, |

| | | |
|--|---------------|---|
| and (EU) 2019/942 as well as Directives (EU) 2018/2001 and (EU) 2019/944 to improve the Union's electricity market design COM(2023) 148 final | | allowing consumers to benefit from more fixed priced contracts, and facilitating investments in clean technologies. Ultimately, it will mean that less fossil fuel generation is needed and will lead to lower prices for consumers during future fossil fuel crisis due to the low operational costs of renewable and low carbon energy. |
| Proposal for a Regulation of the European Parliament and of the Council amending Regulations (EU) No 1227/2011 and (EU) 2019/942 to improve the Union's protection against market manipulation in the wholesale energy market COM(2023) 147 final | 14 March 2023 | The proposal is addressing consumer, industry and investors' concerns over exposure to volatile short-term prices, driven by high prices of fossil fuels. It will optimize the electricity market design by complementing the short-term markets with a greater role for longer-term instruments, allowing consumers to benefit from more fixed priced contracts, and facilitating investments in clean technologies. Ultimately, it will mean that less fossil fuel generation is needed and will lead to lower prices for consumers during future fossil fuel crisis due to the low operational costs of renewable and low carbon energy. |

1.2 Seat

Ljubljana / Slovenia

1.3 Budget Line

02 10 06 : European Union Agency for the Cooperation of Energy Regulators (ACER)

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources Overview ACER

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 63 | 62 | 98,41% | 82 | 91 |
| Assistants (AST) | 13 | 12 | 92,31% | 13 | 14 |
| Assistants/Secretaries (AST/SC) | | | | 1 | 2 |
| ESTABLISHMENT PLAN POSTS | 76 | 74 | 97,37% | 96 | 107 |
| Contract Agents (CA) | 37 | 34 | 91,89% | 38 | 39 |
| Seconded National Experts (SNE) | 10 | 5 | 50,00% | 10 | 10 |
| TOTAL STAFF | 123 | 113 | 91,87% | 144 | 156 |

1.4.2 Human Resources Overview (Fees and charges)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 22 | 20 | 90,91% | 26 | 27 |
| Assistants (AST) | 1 | 1 | 100,00% | 1 | 1 |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Assistants/Secretaries (AST/SC) | | | | | 1 |
| ESTABLISHMENT PLAN POSTS | 23 | 21 | 91,30% | 27 | 29 |
| Contract Agents (CA) | 10 | 12 | 120,00% | 11 | 8 |
| Seconded National Experts (SNE) | 5 | 3 | 60,00% | 5 | 5 |
| TOTAL STAFF | 38 | 36 | 94,74% | 43 | 42 |

1.4.3 Human Resources Overview (EU Contribution)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 41 | 42 | 102,44% | 56 | 64 |
| Assistants (AST) | 12 | 11 | 91,67% | 12 | 13 |
| Assistants/Secretaries (AST/SC) | | | | 1 | 1 |
| ESTABLISHMENT PLAN POSTS | 53 | 53 | 100,00% | 69 | 78 |
| Contract Agents (CA) | 27 | 22 | 81,48% | 27 | 31 |
| Seconded National Experts (SNE) | 5 | 2 | 40,00% | 5 | 5 |
| TOTAL STAFF | 85 | 77 | 90,59% | 101 | 114 |

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ACER

1.5.1.1 Revenues Overview ACER

1.5.1.1.1 General revenues ACER

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 19 029 071 | 19 482 253 |
| Other Revenue | 11 741 809 | 13 391 950 |
| TOTAL REVENUES | 30 770 880 | 32 874 203 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ACER

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.1.2 Expenditure Overview ACER

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 15 855 565 | 15 855 565 | 16 499 609 | 16 499 609 |
| Title 2 - Infrastructure and operating expenditure | 4 321 665 | 4 321 665 | 5 556 594 | 5 556 594 |
| Title 3 - Operational expenditure | 10593650 | 10593650 | 10818000 | 10818000 |
| TOTAL EXPENDITURE | 30 770 880 | 30 770 880 | 32 874 203 | 32 874 203 |

1.5.2 Financial Resources Overview (Fees and charges)

1.5.2.1 Revenues Overview (Fees and charges)

1.5.2.1.1 General revenues (Fees and charges)

| REVENUES | 2023 | 2024 |
|------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 11 273 866 | 12 835 095 |
| TOTAL REVENUES | 11 273 866 | 12 835 095 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees and charges)

| REVENUES | 2023 | 2024 |
|---|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2.2 Expenditure Overview (Fees and charges)

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 4 079 070 | 4 079 070 | 4 717 929 | 4 717 929 |
| Title 2 - Infrastructure and operating expenditure | 249 796 | 249 796 | 337 166 | 337 166 |
| Title 3 - Operational expenditure | 6 945 000 | 6 945 000 | 7 780 000 | 7 780 000 |
| TOTAL EXPENDITURE | 11 273 866 | 11 273 866 | 12 835 095 | 12 835 095 |

1.5.3 Financial Resources Overview (EU Contribution)

1.5.3.1 Revenues Overview (EU Contribution)

1.5.3.1.1 General revenues (EU Contribution)

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 19 029 071 | 19 482 253 |
| Other Revenue | 467 943 | 556 855 |
| TOTAL REVENUES | 19 497 014 | 20 039 108 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (EU Contribution)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.3.2 Expenditure Overview (EU Contribution)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 11 776 495 | 11 776 495 | 11 781 680 | 11 781 680 |
| Title 2 - Infrastructure and operating expenditure | 4 071 869 | 4 071 869 | 5 219 428 | 5 219 428 |
| Title 3 - Operational expenditure | 3 648 650 | 3 648 650 | 3 038 000 | 3 038 000 |
| TOTAL EXPENDITURE | 19 497 014 | 19 497 014 | 20 039 108 | 20 039 108 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts ACER

| Function group and grade | 2022 | | 2023 | 2024 | |
|--------------------------|-------------------|----------------------------------|-------------------|-----------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Request of the Agency | Draft Budget Request |

| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|--------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | 4 | | 4 | | 4 | | 5 | | 5 |
| AD 12 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AD 11 | | 4 | | 3 | | 7 | | 7 | | 7 |
| AD 10 | | 3 | | 5 | | 6 | | 6 | | 6 |
| AD 9 | | 12 | | 8 | | 9 | | 8 | | 8 |
| AD 8 | | 9 | | 8 | | 16 | | 17 | | 17 |
| AD 7 | | 14 | | 17 | | 19 | | 22 | | 22 |
| AD 6 | | 10 | | 9 | | 6 | | 7 | | 7 |
| AD 5 | | 3 | | 6 | | 11 | | 15 | | 15 |
| AD TOTAL | | 63 | | 62 | | 82 | | 91 | | 91 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | 1 | | 1 |
| AST 6 | | 2 | | 1 | | 3 | | 5 | | 5 |
| AST 5 | | 4 | | 5 | | 6 | | 3 | | 3 |
| AST 4 | | 4 | | 3 | | 4 | | 4 | | 4 |
| AST 3 | | 3 | | 3 | | | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 13 | | 12 | | 13 | | 14 | | 14 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | 1 | | | | |
| AST/SC 2 | | | | | | | | 1 | | 1 |
| AST/SC 1 | | | | | | | | 1 | | 1 |
| AST/SC TOTAL | | | | | | 1 | | 2 | | 2 |
| TOTAL | | 76 | | 74 | | 96 | | 107 | | 107 |
| GRAND TOTAL | | 76 | | 74 | | 96 | | 107 | | 107 |

2.1.2 Establishment plan posts (Fees and charges)

| Function and group and grade | 2022 | | 2023 | 2024 | |
|------------------------------|-------------------|----------------------------------|-------------------|-----------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Request of the Agency | Draft Budget Request |
| | | | | | |

| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|--------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AD 12 | | | | 1 | | | | | | |
| AD 11 | | 2 | | | | 2 | | 2 | | 2 |
| AD 10 | | 3 | | 2 | | 4 | | 4 | | 4 |
| AD 9 | | 3 | | 3 | | 2 | | 2 | | 2 |
| AD 8 | | 5 | | 3 | | 5 | | 5 | | 5 |
| AD 7 | | 5 | | 2 | | 7 | | 7 | | 7 |
| AD 6 | | 3 | | 5 | | 5 | | 5 | | 5 |
| AD 5 | | | | 3 | | | | | | |
| AD TOTAL | | 22 | | 20 | | 26 | | 27 | | 27 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | 1 | | 1 |
| AST 5 | | 1 | | 1 | | 1 | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | 1 | | 1 |
| AST/SC TOTAL | | | | | | | | 1 | | 1 |
| TOTAL | | 23 | | 21 | | 27 | | 29 | | 29 |
| GRAND TOTAL | | 23 | | 21 | | 27 | | 29 | | 29 |

2.1.3 Establishment plan posts (EU Contribution)

| Function and group and grade | 2022 | | 2023 | 2024 | |
|------------------------------|-------------------|----------------------------------|-------------------|-----------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Request of the Agency | Draft Budget Request |
| | | | | | |

| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|---------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AD 12 | | 3 | | | | 3 | | 3 | | 3 |
| AD 11 | | 2 | | 3 | | 5 | | 5 | | 5 |
| AD 10 | | | | 3 | | 2 | | 2 | | 2 |
| AD 9 | | 9 | | 5 | | 7 | | 6 | | 6 |
| AD 8 | | 4 | | 5 | | 11 | | 12 | | 12 |
| AD 7 | | 9 | | 15 | | 12 | | 15 | | 15 |
| AD 6 | | 7 | | 4 | | 1 | | 2 | | 2 |
| AD 5 | | 3 | | 3 | | 11 | | 15 | | 15 |
| AD TOTAL | | 41 | | 42 | | 56 | | 64 | | 64 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | 1 | | 1 |
| AST 6 | | 2 | | 1 | | 3 | | 4 | | 4 |
| AST 5 | | 3 | | 4 | | 5 | | 3 | | 3 |
| AST 4 | | 4 | | 3 | | 4 | | 4 | | 4 |
| AST 3 | | 3 | | 3 | | | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 12 | | 11 | | 12 | | 13 | | 13 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | 1 | | | | |
| AST/SC 2 | | | | | | | | 1 | | 1 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | 1 | | 1 | | 1 |
| TOTAL | | 53 | | 53 | | 69 | | 78 | | 78 |
| GRAND TOTAL | 53 | | 53 | | 69 | | 78 | | 78 | |

2.2 External personnel

2.2.1 External personnel ACER

2.2.1.1 Contract Agents ACER

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 32 | 29 | 33 | 36 |
| Function Group III | 5 | 5 | 5 | 3 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 37 | 34 | 38 | 39 |

2.2.1.2 Seconded National Experts ACER

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 10 | 5 | 10 | 10 |

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 10 | 12 | 11 | 8 |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 10 | 12 | 11 | 8 |

2.2.2.2 Seconded National Experts (Fees and charges)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 5 | 3 | 5 | 5 |

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 22 | 17 | 22 | 28 |
| Function Group III | 5 | 5 | 5 | 3 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 27 | 22 | 27 | 31 |

2.2.3.2 Seconded National Experts (EU Contribution)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 5 | 2 | 5 | 5 |

3 Financial Resources

3.1 Financial Resources ACER

3.1.1 Revenues ACER

3.1.1.1 General revenues ACER

| REVENUES | General revenues ACER | | | | |
|---|-----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 9 663 669 | 11 273 866 | 12 835 095 | 12 835 095 | 13,85% |
| 2 EU CONTRIBUTION | 14 615 581 | 19 029 071 | 19 482 253 | 19 482 253 | 2,38% |
| - Of which assigned revenues deriving from previous years' surpluses | 293 103 | 1 529 162 | 476 978 | 476 978 | -68,81% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 364 124 | 467 943 | 556 855 | 556 855 | 19,00% |
| - Of which EEA/EFTA (excl. Switzerland) | 364 124 | 467 943 | 556 855 | 556 855 | 19,00% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 24 643 374 | 30 770 880 | 32 874 203 | 32 874 203 | 6,84% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements ACER

| REVENUES | Additional EU funding: contribution, grant and service level agreements ACER | | | | |
|--|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements ACER | | | | |
|--------------|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| TOTAL | | | | | |

3.1.2 Expenditure ACER

3.1.2.1 Commitment appropriations ACER

| EXPENDITURE | Commitment appropriations ACER | | | | |
|--|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 12 235 819 | 15 855 565 | 16 499 609 | 16 499 609 | 4,06% |
| Salaries & allowances | 10 575 448 | 13 485 745 | 14 369 994 | 14 369 994 | 6,56% |
| - <i>Of which establishment plan posts</i> | 8 183 608 | 10 702 327 | 11 378 822 | 11 378 822 | 6,32% |
| - <i>Of which external personnel</i> | 2 391 840 | 2 783 418 | 2 991 172 | 2 991 172 | 7,46% |
| Expenditure relating to Staff recruitment | 12 107 | 30 000 | 24 800 | 24 800 | -17,33% |
| Employer's pension contributions | | | | | |
| Mission expenses | 88 920 | 145 200 | 155 200 | 155 200 | 6,89% |
| Socio-medical infrastructure | 42 320 | 37 080 | 85 300 | 85 300 | 130,04% |
| Training | 283 799 | 544 860 | 568 960 | 568 960 | 4,42% |
| External Services | 959 540 | 1 312 380 | 1 018 767 | 1 018 767 | -22,37% |
| Receptions, events and representation | 16 598 | 16 500 | 19 800 | 19 800 | 20,00% |
| Social welfare | 11 325 | 31 600 | 45 600 | 45 600 | 44,30% |
| Other Staff related expenditure | 245 762 | 252 200 | 211 188 | 211 188 | -16,26% |
| Title 2 - Infrastructure and operating expenditure | 4 040 111 | 4 321 665 | 5 556 594 | 5 556 594 | 28,58% |
| Rental of buildings and associated costs | 1 375 609 | 1 630 145 | 1 947 109 | 1 947 109 | 19,44% |
| Information, communication technology and data processing | 906 102 | 811 000 | 1 159 865 | 1 159 865 | 43,02% |
| Movable property and associated costs | 105 686 | 125 300 | 172 730 | 172 730 | 37,85% |
| Current administrative expenditure | 289 693 | 285 450 | 353 750 | 353 750 | 23,93% |
| Postage / Telecommunications | 59 792 | 75 800 | 90 800 | 90 800 | 19,79% |

| EXPENDITURE | Commitment appropriations ACER | | | | |
|---|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Meeting expenses | 576 489 | 908 970 | 1 298 340 | 1 298 340 | 42,84% |
| Running costs in connection with operational activities | 726 740 | 485 000 | 534 000 | 534 000 | 10,10% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 367 444 | 10 593 650 | 10 818 000 | 10 818 000 | 2,12% |
| Meetings | 91 037 | 190 500 | 171 500 | 171 500 | -9,97% |
| REMIT operations | 6 284 302 | 6 772 000 | 7 760 000 | 7 760 000 | 14,59% |
| Translation expenses | 289 372 | 298 450 | 316 000 | 316 000 | 5,88% |
| Website, information and publication | 194 579 | 407 700 | 545 500 | 545 500 | 33,80% |
| Expert consultations | 1 508 154 | 2 925 000 | 2 025 000 | 2 025 000 | -30,77% |
| TOTAL | 24 643 374 | 30 770 880 | 32 874 203 | 32 874 203 | 6,84% |

3.1.2.2 Payment appropriations ACER

| EXPENDITURE | Payment appropriations ACER | | | | |
|---|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 12 000 083 | 15 855 565 | 16 499 609 | 16 499 609 | 4,06% |
| Salaries & allowances | 10 564 447 | 13 485 745 | 14 369 994 | 14 369 994 | 6,56% |
| - Of which establishment plan posts | 8 172 607 | 10 702 327 | 11 378 822 | 11 378 822 | 6,32% |
| - Of which external personnel | 2 391 840 | 2 783 418 | 2 991 172 | 2 991 172 | 7,46% |
| Expenditure relating to Staff recruitment | 6 107 | 30 000 | 24 800 | 24 800 | -17,33% |
| Employer's pension contributions | | | | | |
| Mission expenses | 81 863 | 145 200 | 155 200 | 155 200 | 6,89% |
| Socio-medical infrastructure | 19 696 | 37 080 | 85 300 | 85 300 | 130,04% |
| Training | 176 181 | 544 860 | 568 960 | 568 960 | 4,42% |

| EXPENDITURE | Payment appropriations ACER | | | | |
|---|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 889 789 | 1 312 380 | 1 018 767 | 1 018 767 | -22,37% |
| Receptions, events and representation | 15 913 | 16 500 | 19 800 | 19 800 | 20,00% |
| Social welfare | 11 325 | 31 600 | 45 600 | 45 600 | 44,30% |
| Other Staff related expenditure | 234 762 | 252 200 | 211 188 | 211 188 | -16,26% |
| Title 2 - Infrastructure and operating expenditure | 2 580 731 | 4 321 665 | 5 556 594 | 5 556 594 | 28,58% |
| Rental of buildings and associated costs | 1 243 088 | 1 630 145 | 1 947 109 | 1 947 109 | 19,44% |
| Information, communication technology and data processing | 473 677 | 811 000 | 1 159 865 | 1 159 865 | 43,02% |
| Movable property and associated costs | 36 219 | 125 300 | 172 730 | 172 730 | 37,85% |
| Current administrative expenditure | 243 699 | 285 450 | 353 750 | 353 750 | 23,93% |
| Postage / Telecommunications | 46 414 | 75 800 | 90 800 | 90 800 | 19,79% |
| Meeting expenses | 229 194 | 908 970 | 1 298 340 | 1 298 340 | 42,84% |
| Running costs in connection with operational activities | 308 440 | 485 000 | 534 000 | 534 000 | 10,10% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 829 240 | 10 593 650 | 10 818 000 | 10 818 000 | 2,12% |
| Meetings | 86 381 | 190 500 | 171 500 | 171 500 | -9,97% |
| REMIT operations | 2 255 811 | 6 772 000 | 7 760 000 | 7 760 000 | 14,59% |
| Translation expenses | 194 154 | 298 450 | 316 000 | 316 000 | 5,88% |
| Website, information and publication | 56 036 | 407 700 | 545 500 | 545 500 | 33,80% |
| Expert consultations | 236 858 | 2 925 000 | 2 025 000 | 2 025 000 | -30,77% |
| TOTAL | 17 410 054 | 30 770 880 | 32 874 203 | 32 874 203 | 6,84% |

3.2 Financial Resources (Fees and charges)

3.2.1 Revenues (Fees and charges)

3.2.1.1 General revenues (Fees and charges)

| REVENUES | General revenues (Fees and charges) | | | | |
|---|-------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 9 663 669 | 11 273 866 | 12 835 095 | 12 835 095 | 13,85% |
| 2 EU CONTRIBUTION | | | | | |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 9 663 669 | 11 273 866 | 12 835 095 | 12 835 095 | 13,85% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees and charges)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees and charges) | | | | |
|--|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

| EXPENDITURE | Commitment appropriations (Fees and charges) |
|-------------|--|
|-------------|--|

| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
|---|-------------------------|------------------|-------------------|------------------|-------------------------|
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 3 411 149 | 4 079 070 | 4 717 929 | 4 717 929 | 15,66% |
| Salaries & allowances | 3 411 149 | 3 893 502 | 4 217 148 | 4 217 148 | 8,31% |
| - Of which establishment plan posts | 2 597 244 | 2 618 597 | 3 288 266 | 3 288 266 | 25,57% |
| - Of which external personnel | 813 905 | 1 274 905 | 928 882 | 928 882 | -27,14% |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | 26 024 | 26 024 | 100% |
| Training | | 185 568 | 180 568 | 180 568 | -2,69% |
| External Services | | | 273 433 | 273 433 | 100% |
| Receptions, events and representation | | | 6 284 | 6 284 | 100% |
| Social welfare | | | 14 472 | 14 472 | 100% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 267 020 | 249 796 | 337 166 | 337 166 | 34,98% |
| Rental of buildings and associated costs | 267 020 | 249 796 | 337 166 | 337 166 | 34,98% |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 985 500 | 6 945 000 | 7 780 000 | 7 780 000 | 12,02% |

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|--------------------------------------|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Meetings | 57 000 | 63 000 | 63 000 | 63 000 | 0,00% |
| REMIT operations | 5 353 500 | 6 352 000 | 6 992 000 | 6 992 000 | 10,08% |
| Translation expenses | | | | | |
| Website, information and publication | | | | | |
| Expert consultations | 575 000 | 530 000 | 725 000 | 725 000 | 36,79% |
| TOTAL | 9 663 669 | 11 273 866 | 12 835 095 | 12 835 095 | 13,85% |

3.2.2.2 Payment appropriations (Fees and charges)

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|---|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 2 940 360 | 4 079 070 | 4 717 929 | 4 717 929 | 15,66% |
| Salaries & allowances | 2 940 360 | 3 893 502 | 4 217 148 | 4 217 148 | 8,31% |
| - Of which establishment plan posts | 2 215 832 | 2 618 597 | 3 288 266 | 3 288 266 | 25,57% |
| - Of which external personnel | 724 528 | 1 274 905 | 928 882 | 928 882 | -27,14% |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | 26 024 | 26 024 | 100% |
| Training | | 185 568 | 180 568 | 180 568 | -2,69% |
| External Services | | | 273 433 | 273 433 | 100% |
| Receptions, events and representation | | | 6 284 | 6 284 | 100% |
| Social welfare | | | 14 472 | 14 472 | 100% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 413 177 | 249 796 | 337 166 | 337 166 | 34,98% |
| Rental of buildings and associated costs | 413 177 | 249 796 | 337 166 | 337 166 | 34,98% |

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 161 934 | 6 945 000 | 7 780 000 | 7 780 000 | 12,02% |
| Meetings | 10 762 | 63 000 | 63 000 | 63 000 | 0,00% |
| REMIT operations | 2 111 172 | 6 352 000 | 6 992 000 | 6 992 000 | 10,08% |
| Translation expenses | | | | | |
| Website, information and publication | | | | | |
| Expert consultations | 40 000 | 530 000 | 725 000 | 725 000 | 36,79% |
| TOTAL | 5 515 471 | 11 273 866 | 12 835 095 | 12 835 095 | 13,85% |

3.2.3 Budget Outturn (Fees and charges)

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

3.3 Financial Resources (EU Contribution)

3.3.1 Revenues (EU Contribution)

3.3.1.1 General revenues (EU Contribution)

| REVENUES | General revenues (EU Contribution) | | | | |
|--|------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 14 615 581 | 19 029 071 | 19 482 253 | 19 482 253 | 2,38% |

| REVENUES | General revenues (EU Contribution) | | | | |
|---|------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| - Of which assigned revenues deriving from previous years' surpluses | 293 103 | 1 529 162 | 476 978 | 476 978 | -68,81% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 364 124 | 467 943 | 556 855 | 556 855 | 19,00% |
| - Of which EEA/EFTA (excl. Switzerland) | 364 124 | 467 943 | 556 855 | 556 855 | 19,00% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 14 979 705 | 19 497 014 | 20 039 108 | 20 039 108 | 2,78% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (EU Contribution)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (EU Contribution) | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.3.2 Expenditure (EU Contribution)

3.3.2.1 Commitment appropriations (EU Contribution)

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|------------------------------------|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 824 670 | 11 776 495 | 11 781 680 | 11 781 680 | 0,04% |
| Salaries & allowances | 7 164 299 | 9 592 243 | 10 152 846 | 10 152 846 | 5,84% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|--|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which establishment plan posts</i> | 5 586 364 | 8 083 730 | 8 090 556 | 8 090 556 | 0,08% |
| <i>- Of which external personnel</i> | 1 577 935 | 1 508 513 | 2 062 290 | 2 062 290 | 36,71% |
| Expenditure relating to Staff recruitment | 12 107 | 30 000 | 24 800 | 24 800 | -17,33% |
| Employer's pension contributions | | | | | |
| Mission expenses | 88 920 | 145 200 | 155 200 | 155 200 | 6,89% |
| Socio-medical infrastructure | 42 320 | 37 080 | 59 276 | 59 276 | 59,86% |
| Training | 283 799 | 359 292 | 388 392 | 388 392 | 8,10% |
| External Services | 959 540 | 1 312 380 | 745 334 | 745 334 | -43,21% |
| Receptions, events and representation | 16 598 | 16 500 | 13 516 | 13 516 | -18,08% |
| Social welfare | 11 325 | 31 600 | 31 128 | 31 128 | -1,49% |
| Other Staff related expenditure | 245 762 | 252 200 | 211 188 | 211 188 | -16,26% |
| Title 2 - Infrastructure and operating expenditure | 3 773 091 | 4 071 869 | 5 219 428 | 5 219 428 | 28,18% |
| Rental of buildings and associated costs | 1 108 589 | 1 380 349 | 1 609 943 | 1 609 943 | 16,63% |
| Information, communication technology and data processing | 906 102 | 811 000 | 1 159 865 | 1 159 865 | 43,02% |
| Movable property and associated costs | 105 686 | 125 300 | 172 730 | 172 730 | 37,85% |
| Current administrative expenditure | 289 693 | 285 450 | 353 750 | 353 750 | 23,93% |
| Postage / Telecommunications | 59 792 | 75 800 | 90 800 | 90 800 | 19,79% |
| Meeting expenses | 576 489 | 908 970 | 1 298 340 | 1 298 340 | 42,84% |
| Running costs in connection with operational activities | 726 740 | 485 000 | 534 000 | 534 000 | 10,10% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 381 944 | 3 648 650 | 3 038 000 | 3 038 000 | -16,74% |
| Meetings | 34 037 | 127 500 | 108 500 | 108 500 | -14,90% |
| REMIT operations | 930 802 | 420 000 | 768 000 | 768 000 | 82,86% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|--------------------------------------|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Translation expenses | 289 372 | 298 450 | 316 000 | 316 000 | 5,88% |
| Website, information and publication | 194 579 | 407 700 | 545 500 | 545 500 | 33,80% |
| Expert consultations | 933 154 | 2 395 000 | 1 300 000 | 1 300 000 | -45,72% |
| TOTAL | 14 979 705 | 19 497 014 | 20 039 108 | 20 039 108 | 2,78% |

3.3.2.2 Payment appropriations (EU Contribution)

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 9 059 723 | 11 776 495 | 11 781 680 | 11 781 680 | 0,04% |
| Salaries & allowances | 7 624 087 | 9 592 243 | 10 152 846 | 10 152 846 | 5,84% |
| - Of which establishment plan posts | 5 956 775 | 8 083 730 | 8 090 556 | 8 090 556 | 0,08% |
| - Of which external personnel | 1 667 312 | 1 508 513 | 2 062 290 | 2 062 290 | 36,71% |
| Expenditure relating to Staff recruitment | 6 107 | 30 000 | 24 800 | 24 800 | -17,33% |
| Employer's pension contributions | | | | | |
| Mission expenses | 81 863 | 145 200 | 155 200 | 155 200 | 6,89% |
| Socio-medical infrastructure | 19 696 | 37 080 | 59 276 | 59 276 | 59,86% |
| Training | 176 181 | 359 292 | 388 392 | 388 392 | 8,10% |
| External Services | 889 789 | 1 312 380 | 745 334 | 745 334 | -43,21% |
| Receptions, events and representation | 15 913 | 16 500 | 13 516 | 13 516 | -18,08% |
| Social welfare | 11 325 | 31 600 | 31 128 | 31 128 | -1,49% |
| Other Staff related expenditure | 234 762 | 252 200 | 211 188 | 211 188 | -16,26% |
| Title 2 - Infrastructure and operating expenditure | 2 167 554 | 4 071 869 | 5 219 428 | 5 219 428 | 28,18% |
| Rental of buildings and associated costs | 829 911 | 1 380 349 | 1 609 943 | 1 609 943 | 16,63% |
| Information, communication technology and data processing | 473 677 | 811 000 | 1 159 865 | 1 159 865 | 43,02% |
| Movable property and associated costs | 36 219 | 125 300 | 172 730 | 172 730 | 37,85% |

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 243 699 | 285 450 | 353 750 | 353 750 | 23,93% |
| Postage / Telecommunications | 46 414 | 75 800 | 90 800 | 90 800 | 19,79% |
| Meeting expenses | 229 194 | 908 970 | 1 298 340 | 1 298 340 | 42,84% |
| Running costs in connection with operational activities | 308 440 | 485 000 | 534 000 | 534 000 | 10,10% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 667 306 | 3 648 650 | 3 038 000 | 3 038 000 | -16,74% |
| Meetings | 75 619 | 127 500 | 108 500 | 108 500 | -14,90% |
| REMIT operations | 144 639 | 420 000 | 768 000 | 768 000 | 82,86% |
| Translation expenses | 194 154 | 298 450 | 316 000 | 316 000 | 5,88% |
| Website, information and publication | 56 036 | 407 700 | 545 500 | 545 500 | 33,80% |
| Expert consultations | 196 858 | 2 395 000 | 1 300 000 | 1 300 000 | -45,72% |
| TOTAL | 11 894 583 | 19 497 014 | 20 039 108 | 20 039 108 | 2,78% |

3.3.3 Budget Outturn (EU Contribution)

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 476,978.35

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the additional human resources requested by ACER. The request is in line with the applicable Legislative Financial Statements (LFS).

Financial Resources

The Commission supports the additional financial resources requested by ACER which are in line with the Legislative Financial Statements (LFS).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

In 2021, the European Commission initiated a procedure to increase the level of human resources for the Agency, based on the report of external experts.

Moreover, the Agency is expecting an increase of its mandate with the implementation of the new gas directive, currently under the legislative process. If adopted, the legislation will bring additional three posts (one AD, one AST and one CA) to be covered from fees.

4.1.1.2 Number of staff requested (EU Contribution)

From the same legislative financial statement mentioned under 4.1.1.1 on the assessment of the Agency resources, in 2024 the Agency is expecting one AD post to support the implementation of existing legislation. In addition, with the approval and entering into force of the gas directive the Agency is expecting two AD posts to be covered by EU contribution and support with the implementation of the legislation.

Strategy for achieving efficiency gains:

The Agency recognises the need to streamline its structure and internal organisation to become a more modern and agile organisation, and it has already implemented different activities and concentrate efforts to this extent. The Agency's aim is to maintain and enhance the efficiency of its operations, while seeking to support activities in peak demand for extra resources for short or extended periods. In 2022, the Agency availed itself of the support of external consultants to foster better internal processes and seek for additional efficiency gains. Through the work package dedicated to (workload) analysis and strategy, ACER is increasing the development of a sound talent management strategy and implement solutions for organisational (re-)structuring and task allocation that ensure quality, efficiency and effectiveness of the Agency's work.

The Covid-19 crisis and the related increase in teleworking for business continuity needs has also brought about an ongoing reassessment and readjustment of the Agency's IT needs.

4.1.2 Vacancy rate as of end 2022

4.1.2.1 Vacancy rate as of end 2022 (Fees and charges)

At the end of 2022, the vacancy rates (STAFF covered by fees) were 8,70% for TA, -20% for CA, 40,00% for SNE and 5,26% in general. The negative vacancy rate for CA is due to the higher number of posts assigned (authorised: 10; actually filled 12).

4.1.2.2 Vacancy rate as of end 2022 (EU Contribution)

At the end of 2022, the vacancy rates (STAFF covered by EU contribution) were 0,00% for TA, 18,52% for CA, 60,0% for SNE and 9,41% in general.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

For the 2024 budgetary year it is estimated that all recruitment procedures started during 2023 would be finalised and the occupancy rate would be 100% at the beginning of the year.

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (EU Contribution)

For the 2024 budgetary year it is estimated that all recruitment procedures started during 2023 would be finalised and the occupancy rate would be 100% at the beginning of the year.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The Agency used for the budgetary year 2024 the available Commission guidance with the due deduction (EUR 27,000 for IT and building) and adjustments (corrector coefficient 87.1%; salary indexation +4.4% as from 1st July 2023; salary indexation +3.4% as from 1st July 2024).

4.1.4.2 Salary assumption for calculating salary line (% applied) (EU Contribution)

The Agency used for the budgetary year 2024 the available Commission guidance with the due deduction (EUR 27,000 for IT and building) and adjustments (corrector coefficient 87.1%; salary indexation +4.4% as from 1st July 2023; salary indexation +3.4% as from 1st July 2024).

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

Correction coefficient for Slovenia is at 87.10%. Such coefficient is applicable to TA, CA, SNE, Trainees.

4.1.5.2 Correction coefficient used (EU Contribution)

Correction coefficient for Slovenia is at 87.10%. Such coefficient is applicable to TA, CA, SNE, Trainees. For staff assigned to the liaison office in Brussels a correction coefficient of 100% is applicable.

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

N/A

4.1.6.2 Exchange rate used (if applicable) (EU Contribution)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charges)

From the fees expected to be collected, the Agency will cover the REMIT related IT expenditure, and related expenditure with staff employed within the Market Integrity and Transparency and Market Surveillance and Conduct departments.

As indicated in detail under point 4.1.1.1, there is a request of additional Temporary staff positions necessary to ensure the smooth delivery of tasks under REMIT legislation. This leads to an 15.66% increase in the staff related expenditure under Title 1 for the year 2024 as compared to 2023. As compared to the previous year, it is envisaged that staff related expenses, such as training expenditure, external services, socio-medical and staff welfare could be covered by fees including the effect of indexation and reclassification.

4.2.1.2 Title 1 (EU Contribution)

The moderate increase of 3.64% for the staff related expenditure within Title 1 in 2024 as compared to 2023 relates to the additional five AD posts expected by the Agency.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

There is 34.98% increase of the resources needed within Title 2 mainly due to the allocated share of the premises costs towards fees and the expected indexation of the rental price.

4.2.2.2 Title 2 (EU Contribution)

There is an overall 29.81% increase in the financial resources under Title 2 as compared to 2023 mainly due to increased costs expected for the Agency's premises as the number of staff increases. Related to the same matter, the need for additional IT equipment is expected to increase. In 2024 the rental contract of the Agency will expire, and it is expected that the Agency will need to move to new premises, thus incurring additional costs. There is also an increase envisaged in the number of expert meetings and legal counsel the Agency will require in defending its decisions.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

There is an increase of 12.02% within Title 3 mainly due to investment in the REMIT IT infrastructure and needed software to increase the capacity of the system in order to improve the services provided to the Registered Reporting Mechanisms as the number of transactions reported by them show an increasing trend (4.4 billion records in 2022 as compared to 2.6 billion in 2021).

4.2.3.2 Title 3 (EU Contribution)

There is a decrease of 16.74% of expected expenditure within Title 3 mainly due to lower need for expert consultations and meetings related costs as the tendency to move them virtually is kept as an alternative to physical ones.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

N/A

4.3.2 Ad hoc grants and delegation agreements (EU Contribution)

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-----------------------------------|---|----------------------------------|---------------|-----------------|-----------------|--------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | TR3, multi-tenant office building | Trg Republike 3, 1000 Ljubljana, Slovenia | 3 247,69 | 679,29 | 3 926,98 | 715 810 | 01/02/2019 31/01/2024 | Rent | Not applicable | |
| TOTAL | | | 3 247,69 | 679,29 | 3 926,98 | 715 810 | | | | |

5.1.2 Current building(s) Other comments

Since February 2014 the Agency is seated in its permanent premises in Ljubljana (Slovenia). The rental agreement expires on 31st January 2024 without the possibility for additional extension.

In 2024, the Agency will keep its liaison office in Brussels (Belgium) with two staff members assigned to it. The office hosted there is made available, free of charge, by the European Energy Regulators (CEER) as contribution in kind to the work of the Agency. The IT and information resources are shared with the liaison office, which represents an indispensable part of the Agency structure..

5.1.3 Building projects in the planning phase

In 2023 the Agency will be running a procurement procedure for its new rental contract as the current one expires on 31 January 2024.

5.1.4 Building projects submitted to the European Parliament and the Council

none

5.2 European schools

As established in the Seat Agreement (Article 13) between the Slovenian Government and the Agency, the Government shall establish a European School within the public school network in Slovenia.

Progress was achieved in 2018, as the setting of the Accredited European School of Ljubljana (AES) was decided and the school became operational as of 3 September 2018, with entry grades at the P1 and P2 levels (corresponding to Grade 1 and 2 of Elementary School). The final accreditation took place with the decision of the Board of Governors of the European Schools, in April 2019. The financial agreement with the European Commission was signed in the course of fall 2019.

Since for the time-being the AES offers classes at the P1-P5 levels and S1-S5 level, the Agency's staff members whose children are not eligible for those classes, are obliged to send their children to international schools. Until the AES offers a complete education cycle at the pupil's level, and given the school fees charged by the international schools in Ljubljana, there is a need to address the unequal conditions to which the staff of the Agency is subject. To address this, the Administrative Board adopted Decision AB no 5/2018 of 7 June 2018 establishing measures to support the staff of the Agency with regard to kindergarten and school fees. In line with the decision, the Agency provides financial support to afore mentioned staff members.

5.3 Evaluation

In accordance with Article 29(1) of the Agency's Financial Regulation, the Agency undertakes ex-ante and retrospective evaluations of all programmes and activities which entail significant spending.

Ex-post controls on payments are conducted quarterly to check that the following internal control objectives are met. Results are reported to the Internal Control Coordinator and correction actions are implemented.

The Agency has so far been subject to one external evaluation from the Commission, as foreseen in the Founding Regulation in 2014. The recast Founding Regulation foresees that by 5 July 2024, and every five years thereafter, the Commission, with the assistance of an independent external expert, shall carry out an evaluation to assess ACER's performance in relation to its objectives, mandate and tasks.

5.4 Privileges and immunities

The Protocol on the Privileges and Immunities of the European Union governs the status of the European Institutions and their staff in relation to the Member States. Thus, the protocol applies in full to the Agency and its staff.

The relations between the Agency and its host country, as well as particular rules and privileges applicable to Agency staff in Slovenia, are governed by the Seat Agreement signed between the Slovenian Government and the Agency for the Cooperation of Energy Regulators, in Ljubljana on 26 November 2010.

| Agency privileges | Privileges granted to staff | |
|---|--|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Inviolability of premises and archives - Facilitations for communication | - Staff immunity from Slovenian jurisdiction regarding acts carried out in the official capacity | The government of Slovenia established a European School of |

| Agency privileges | Privileges granted to staff | |
|---|---|---|
| Security - Direct exemption from taxes | <ul style="list-style-type: none"> - Exemption from national taxes on salaries and wages - Staff exemption from import taxes and duties on personal effects in the first year - Exemption from social security contribution towards Slovenian schemes - Director and Heads of Departments holding Diplomatic status | Ljubljana (ESL) with two language sections – EN and SI for Primary and Secondary programs. The Agency covers the school fees. |

2.1.5 Office of the Body of the European Regulators for Electronic Communications – BEREC

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|-------------------------------------|--|
| <p>The Agency was established by Regulation (EU) 2018/1971 of the European Parliament and of the Council of 11 December 2018 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Agency for Support for BEREC (BEREC Office), amending Regulation (EU) 2015/2120 and repealing Regulation (EC) No 1211/2009.</p> <p>The designation ‘BEREC Office’ is used as the Agency’s short name.</p> | <p>25/11/2009</p> <p>11/12/2018</p> | <p>According to Articles 5, 39, 40, 41 and 46 of the founding Regulation, the Agency has the following tasks: to provide professional and administrative support to BEREC, especially in fulfilling its regulatory tasks as defined in Article 4 of the Regulation; to collect and ensure exchange of information from NRAs, EC and other competent bodies (including in a standard reporting format) in relation to the regulatory tasks assigned to BEREC; to produce regular draft reports on specific aspects of the European e-communications market, such as roaming and benchmarking reports; to reuse any relevant existing publicly available information to avoid duplication of reporting obligations by applying appropriate means; to disseminate regulatory best practices among NRAs; to assist BEREC in establishing and maintaining registries and databases, such as for general authorisations, numbering resources, etc.; to establish and manage an information and communication system with at least the following functions:</p> <ul style="list-style-type: none"> a) a common platform for the exchange of information, between BEREC, the EC and NRAs; b) a dedicated interface for requests for information and notification of those requests identified in Article 40; c) a platform for early identification of the need for coordination between NRAs. <p>to assist in the work of the Board of Regulators (BoR, incl. by content-related support; to assist in setting up BEREC working groups (WGs), incl. by contributing to the regulatory work of those groups; to adopt and apply security rules equivalent to the EC’s Decisions (EU, Euratom) 2015/443 and (EU, Euratom) 2015/444 for protecting EU classified information and sensitive non-classified information; to ensure compliance with Regulation No 1 determining the languages to be used by the European Economic Community; to carry out other tasks assigned to it by the founding Regulation or by other legal acts of the Union.</p> |

1.2 Seat

Riga, Latvia

1.3 Budget Line

02 10 05 : Agency for Support for BEREC (BEREC Office)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 13 | 13 | 100,00% | 14 | 14 |
| Assistants (AST) | 3 | 3 | 100,00% | 3 | 3 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 16 | 16 | 100,00% | 17 | 17 |
| Contract Agents (CA) | 13 | 21 | 161,54% | 13 | 13 |
| Seconded National Experts (SNE) | 6 | 9 | 150,00% | 6 | 6 |
| TOTAL STAFF | 35 | 46 | 131,43% | 36 | 36 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 7 697 265 | 7 851 211 |
| Other Revenue | p.m. | |
| TOTAL REVENUES | 7 697 265 | 7 851 211 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 4 604 573 | 4 604 573 | 4 664 853 | 4 664 853 |

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 2 - Infrastructure and operating expenditure | 1 698 346 | 1 698 346 | 1 732 267 | 1 732 267 |
| Title 3 - Operational expenditure | 1 394 346 | 1 394 346 | 1 454 091 | 1 454 091 |
| TOTAL EXPENDITURE | 7 697 265 | 7 697 265 | 7 851 211 | 7 851 211 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 1 | | | | 1 | | 1 | | 1 |
| AD 11 | | 1 | | 1 | | 1 | | 2 | | 1 |
| AD 10 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 9 | | 3 | | 3 | | 3 | | 3 | | 1 |
| AD 8 | | 2 | | | | 2 | | 2 | | 3 |
| AD 7 | | 1 | | 3 | | 3 | | 3 | | 4 |
| AD 6 | | 2 | | 3 | | 1 | | | | 1 |
| AD 5 | | | | 1 | | | | | | |
| AD TOTAL | | 13 | | 13 | | 14 | | 14 | | 14 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | 1 | | | | 1 | | 1 | | 1 |
| AST 6 | | 1 | | 1 | | 1 | | 2 | | 1 |
| AST 5 | | 1 | | 1 | | 1 | | | | |
| AST 4 | | | | | | | | | | 1 |
| AST 3 | | | | 1 | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 3 | | 3 | | 3 | | 3 | | 3 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 16 | | 16 | | 17 | | 17 | | 17 |
| GRAND TOTAL | 16 | | 16 | | 17 | | 17 | | 17 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 10 | 11 | 10 | 10 |
| Function Group III | 2 | 6 | 2 | 2 |
| Function Group II | 1 | 4 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 13 | 21 | 13 | 13 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 6 | 9 | 6 | 6 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 7 428 457 | 7 697 265 | 7 882 897 | 7 851 211 | 2,00% |
| - Of which assigned revenues deriving from previous years' surpluses | 90 744 | 49 771 | 31 897 | 31 897 | -35,91% |

| REVENUES | General revenues | | | | |
|---|----------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | p.m. | p.m. | | |
| - Of which EEA/EFTA (excl. Switzerland) | | p.m. | p.m. | | |
| - Of which candidate countries | | p.m. | p.m. | | |
| 4 OTHER CONTRIBUTIONS | 123 333 | p.m. | p.m. | | |
| 5 ADMINISTRATIVE OPERATIONS | 11 215 | p.m. | p.m. | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | p.m. | p.m. | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | p.m. | p.m. | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | p.m. | p.m. | | |
| TOTAL | 7 563 005 | 7 697 265 | 7 882 897 | 7 851 211 | 2,00% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 190 788 | 4 604 573 | 4 696 539 | 4 664 853 | 1,31% |
| Salaries & allowances | 3 174 398 | 4 012 982 | 4 093 132 | 4 061 446 | 1,21% |
| - Of which establishment plan posts | 1 704 538 | 2 168 678 | 2 211 992 | 2 211 992 | 2,00% |
| - Of which external personnel | 1 469 860 | 1 844 304 | 1 881 140 | 1 849 454 | 0,28% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Expenditure relating to Staff recruitment | 7 199 | 10 000 | 10 200 | 10 200 | 2,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 137 000 | 100 500 | 102 507 | 102 507 | 2,00% |
| Socio-medical infrastructure | 8 359 | 17 000 | 17 340 | 17 340 | 2,00% |
| Training | 97 958 | 45 000 | 45 899 | 45 899 | 2,00% |
| External Services | 745 979 | 417 091 | 425 421 | 425 421 | 2,00% |
| Receptions, events and representation | 19 895 | 2 000 | 2 040 | 2 040 | 2,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 000 499 | 1 698 346 | 1 732 267 | 1 732 267 | 2,00% |
| Rental of buildings and associated costs | 248 300 | 244 923 | 249 815 | 249 815 | 2,00% |
| Information, communication technology and data processing | 515 530 | 1 129 833 | 1 152 399 | 1 152 399 | 2,00% |
| Movable property and associated costs | 40 318 | 38 800 | 39 575 | 39 575 | 2,00% |
| Current administrative expenditure | 159 563 | 236 790 | 241 519 | 241 519 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 1 788 | 3 000 | 3 060 | 3 060 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 35 000 | 45 000 | 45 899 | 45 899 | 2,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 237 170 | 1 394 346 | 1 454 091 | 1 454 091 | 4,28% |
| Support to implementation of BEREC WP | 611 422 | 418 600 | 426 961 | 426 961 | 2,00% |
| Other support activities to BEREC and NRAs | 1 625 748 | 975 746 | 1 027 130 | 1 027 130 | 5,27% |
| TOTAL | 7 428 457 | 7 697 265 | 7 882 897 | 7 851 211 | 2,00% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 190 788 | 4 604 573 | 4 696 539 | 4 664 853 | 1,31% |
| Salaries & allowances | 3 174 398 | 4 012 982 | 4 093 132 | 4 061 446 | 1,21% |
| - Of which establishment plan posts | 1 704 538 | 2 168 678 | 2 211 992 | 2 211 992 | 2,00% |
| - Of which external personnel | 1 469 860 | 1 844 304 | 1 881 140 | 1 849 454 | 0,28% |
| Expenditure relating to Staff recruitment | 7 199 | 10 000 | 10 200 | 10 200 | 2,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 137 000 | 100 500 | 102 507 | 102 507 | 2,00% |
| Socio-medical infrastructure | 8 359 | 17 000 | 17 340 | 17 340 | 2,00% |
| Training | 97 958 | 45 000 | 45 899 | 45 899 | 2,00% |
| External Services | 745 979 | 417 091 | 425 421 | 425 421 | 2,00% |
| Receptions, events and representation | 19 895 | 2 000 | 2 040 | 2 040 | 2,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 000 499 | 1 698 346 | 1 732 267 | 1 732 267 | 2,00% |
| Rental of buildings and associated costs | 248 300 | 244 923 | 249 815 | 249 815 | 2,00% |
| Information, communication technology and data processing | 515 530 | 1 129 833 | 1 152 399 | 1 152 399 | 2,00% |
| Movable property and associated costs | 40 318 | 38 800 | 39 575 | 39 575 | 2,00% |
| Current administrative expenditure | 159 563 | 236 790 | 241 519 | 241 519 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 1 788 | 3 000 | 3 060 | 3 060 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 35 000 | 45 000 | 45 899 | 45 899 | 2,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 2 237 170 | 1 394 346 | 1 454 091 | 1 454 091 | 4,28% |
| Support to implementation of BEREC WP | 611 422 | 418 600 | 426 961 | 426 961 | 2,00% |
| Other support activities to BEREC and NRAs | 1 625 748 | 975 746 | 1 027 130 | 1 027 130 | 5,27% |
| TOTAL | 7 428 457 | 7 697 265 | 7 882 897 | 7 851 211 | 2,00% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 31,896 EUR.

4 Justification of needs

Commission assessment

Human Resources

The Commission does not support the agency's request for additional CA and SNEs. The Commission proposes stable staffing compared to the Voted Budget of 2023 (13 CA and 6 SNE).

The Commission does agree with the requested 1 additional TA due to the internalisation of the accounting officer function.

Financial Resources

BEREC office is requesting a small increase of the EU contribution compared to the amount foreseen in the financial programming. The Commission does not support this increase and proposes the EU contribution in line with the financial programming for 2024.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

According to the founding Regulation (EU) 2018/1971, which entered into force in December 2018, the BEREC Office has a new enhanced mandate, which included new tasks that were implemented by the end of 2020, with the exception of those related to processing of classified information. Since 2019 the BEREC Office operates with 16 TA posts in the establishment plan, which correspond to the number of the TAS at the moment of the establishment of Agency and which is below the number of posts that are actually needed following the revision of the Agency's mandate in 2018.

The BEREC Office requests 1 TA to perform the accounting officer function. The BEREC Office is willing to share this post with another EU Agency of the same size and complexity of the accounting. The post can be established in a budgetary neutral way.

4.1.2 Vacancy rate as of end 2022

Administrators (AD): 0%

Assistants (AST): 0%

ESTABLISHMENT PLAN POSTS: 0%

Contract Agents (CA): 4.5%

Seconded National Experts (SNE): 0%

TOTAL STAFF: 3.2%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

N/A

4.1.5 Correction coefficient used

85.9 as of 01.05.2022

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 covers expenditure for staff based on the staff numbers for the programming period and associated costs, incl. adjustments of the automatic step, possible reclassifications, potential impact of indexation and changes in the correction coefficient. Title 1 also covers prices for external services (including those provided by the European Commission (EC) Services, temporary financing of multi-lingual tuition for the children of the staff, social welfare services, etc.), adjusted with the inflations rates, payment of grants for the trainees by taking into account the expected indexation in the salaries and changes in the correction coefficient.

4.2.2 Title 2

Title 2 covers rents of premises occupied by the Agency, including rent of parking spaces and associated expenditure (insurances, fitting-out of the premises and repair works, purchase/repairs of furniture, payments of utilities, etc.). Title 2 will also cover

- Purchase, rent, maintenance, installation and deployment of ICT and security equipment, software, digital certificates; external data processing services, including fees for IT systems and applications offered by the EC services.
- Agency's legal and other operating expenditure, including accounting officer services, external audit and/or consultancy services expenditure arising from the Headquarters Agreement and SLA with Latvian authorities and others.
- Costs of internal and external communications activities, incl. for organization of events, production of audio-visual and digital content, publications and branded items and others.

4.2.3 Title 3

The appropriations under Title 3 will be used for the following operational activities of the BEREC Office, consisting of

- Supporting the activities of the BEREC Working Groups (WGs) and the Expert Networking Group(ENG)
- Provision of services related to subscriptions to regulatory databases, trainings, language services necessary for BEREC work, provision of necessary data sets and maintenance of information data bases; provision of on-line platforms and tools necessary for the activities of BEREC WGs, commissioning of studies specified in the BEREC Work Programme and other projects required for BEREC work;
- Expenditure for meetings of the BEREC Board of Regulators, the Management Board of the BEREC Office, and respectively their Chair and Chairperson and Vice-Chairs and Deputy Chairpersons, the Contact Network including reimbursement of the meeting participants;
- Provision of licenses, hardware, IT support and business continuity service to BEREC, management of multiple information and communications systems for BEREC needs, purchase and maintenance of other IT applications and tools, establishment and maintenance of databases in accordance with the EECC, supporting the activity of ICT ENG and others.
- Implementation of the BEREC external communications strategy.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--|----------------------------------|--------------|----------------|-----------------|---|--|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Historical building | 14 Zigfrida Annas Meierovica boulevard, Riga, Latvia | 1 678,4 | 211,3 | 1 889,7 | 134 935 | Signed on 01/08/2011, effective until 31/07/2028 (with possibility of renewal). | Lease agreement amendment with State owned joint-stock company Valsts nekustamie īpašumi | Not applicable | |
| TOTAL | | | 1 678,4 | 211,3 | 1 889,7 | 134 935 | | | | |

5.1.2 Current building(s) Other comments

The BEREC Office premises are located at 14 Zigfrida Annas Meierovica boulevard, Riga, Latvia. The Office operates on one site, placed on four different levels of a historical building and shared with another tenants. The building is owned and maintained by the Latvian Republic state property management company – VNI (AS "Valsts nekustamie īpašumi"). The infrastructure of the current historical building is outdated, and the premises are not fully compliant with the security rules, energy efficiency and technical requirements.

The BEREC Office facilities and premises management has been adapted to the recent trends, in particular to the “new ways of working” in a post-COVID environment, which, among others, includes the implementation of shared desk policy. As a result the Agency has established physical work status for 60 % of the staff on site who can telework.

Evaluating the impact of the military activities in Ukraine and their possible impact on the Agency’s safety and security in 2022 it was decided to rent and further develop premises to be used as a bomb shelter for staff, the surface of which is included in the table above as ‘non-office space’. The implementation of this project is on-going at the moment of the preparation of this document.

5.1.3 Building projects in the planning phase

The BEREC Office has expressed interest in joining the new House of Europe in Riga to be developed jointly by the European Parliament and the European Commission. While the decision is still not taken from the side of the lead institution if it would be possible for the BEREC Office to join this project, the Agency’s staff is involved in the technical discussions and is contributing at expert level to the process.

The BEREC Office Management Board (MB) strongly believes that new premises shared between the European Parliament Information Office and the European Commission Representation in Riga, from the one side, and the BEREC Office, from the other side, will bring clear benefits to all three organisations due to the possible synergies, being more cost-effecting for the General Budget of the European Union (EU). The possible synergies are in the area of security, IT, facility management, meeting rooms and amenities.

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

Currently there is no accredited European School in Latvia. To this end the host state authorities’ intent is to undertake all the necessary steps for the establishment of an accredited European School in Latvia. Until the establishment of such

school in Latvia and in order facilitate the access to education, the host state will contribute to the schooling costs of the children of the staff of BEREC Office within the limits of the available budget in line with relevant provisions of the Headquarters agreement concluded on the 21 December 2020 between BEREC Office and Government of the Republic of Latvia as well as the Service Level Agreement concluded on 16.06.2022 between the aforementioned parties. Therefore the BEREC Office has planned for the provision of multilingual tuition for the children of the staff for 2024 to cover the difference between the amount paid by the host state and the actual costs incurred by the staff members concerning the tuition costs.

5.3 Evaluation

Evaluations concluded in previous years (2016: an European Commission evaluation containing, among others, a screening of the BEREC regulation) have concluded that the work carried out by BEREC and supported by the BEREC Office is relevant and has an impact on stakeholders. However, there are questions about the adequacy of the current governance structure. In particular, it is emphasised that the organisational structure of the BEREC Office is not in line with the Common Approach (CA) agreed between the EP, the Council and the EC. The role of the BEREC Office, which is limited to administrative and professional support, and its size, creates some inefficiency, which the BEREC office offsets by employing more staff. The Office has to comply with the same resource-intensive procedures as much bigger Agencies and the professional support it provides to BEREC is still rather limited, as identified through experience and pointed out in the Evaluation Study. It is also suggested that the BEREC Office could be better used, especially when supporting EWGs in their everyday work (see also comments under "effectiveness" and "coherence" of the EC SWD).

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|---|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| According to Article 2 of Regulation (EU) 2018/1046, the BEREC Office is a body of the European Union and as such, it enjoys the most extensive legal capacity accorded to legal persons under the laws of the Host Member State. It may, in particular, acquire or dispose of movable and immovable property and may be party to legal proceedings. | Statutory staff of the BEREC Office, and their family members forming part of the household shall have access to all the public services provided by the Host Member State, including medical services, schooling services, child care services and rental rights without discrimination in relation to nationals of the Host Member State. | There is no European School operating in Riga in 2022. The Government of Latvia aims at establishing the European School in Latvia, accessible by priority for the children of the staff of the BEREC Office and the members of the family forming part of the household. The accredited European School shall provide the nursery classes 1 and 2, primary and secondary school education. Until then the Government of Latvia facilitate access to education system and contribute to the schooling costs of the children of the staff of the BEREC Office within the limits of the budget available. At the end of 2022 the Ministry of Education and Science of Latvia started drafting of law on the Accredited European School, as well as in co-operation with SIA Safega Baltija elaborates strategic plan of establishment and operation of the Accredited European School. |
| Immunity, Inviolability and Communications | | |
| The premises and buildings of the BEREC Office as well as its archives, documentation and data are inviolable. | The BEREC Office staff shall enjoy immunity from jurisdiction as regards acts carried out by them in their official capacity, including their spoken and written statements. This immunity shall continue after | |

| Agency privileges | Privileges granted to staff | |
|--|--|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | cessation of their functions. | |
| The premises and buildings of the BEREC Office are exempt from search, requisition, confiscation, expropriation or any form of seizure. | The Director, statutory staff and seconded national experts, as well as the members of their family forming part of their household shall be exempt from any immigration restrictions or formalities for the registration of aliens. | |
| The property and assets of the BEREC Office cannot be the subject of any administrative or legal measure of constraint without the authorisation of the Court of Justice of the European Union. | The Director and the members of his/her family, provided they are not Host Member State nationals or have held permanent residence status before being employed by the BEREC Office, are accorded the privileges and immunities, exemptions and facilities accorded to the heads of diplomatic missions and the members of their family in accordance with the Vienna Convention on Diplomatic Relations of 18 April 1961. | |
| Communications and the transmission of all BEREC Office documents are treated in the same way as documents and communications of diplomatic missions. | | |
| Exemption from taxes, duties, national, regional and municipal fees | | |
| The BEREC Office is exempt from: | BEREC Office staff are exempt from: | |
| - all direct taxes and administrative fees with regard to the premises it owns or rents, its assets, revenues and other property; as well as on the supply of goods and services (including those on the consumption of gas, electricity and any type of fuel) for official use by the BEREC Office. | Salaries, wages, emoluments, SNEs' allowances, retirement, invalidity and survivor's pensions paid by the BEREC Office or by the EU are exempted from national taxes. | |
| - value added tax (VAT) and excise duty with regard to purchase of all goods and services; exemption will be granted indirectly by reimbursement in accordance with a procedure established in legal acts by the Republic of Latvia. The exemption from VAT and excise duty will be applied directly by the supplier of goods and services, when the BEREC Office has a VAT and/or | The VAT included in the price of articles listed in an annex A to the Headquarters Agreement acquired by the BEREC Office staff in Latvia during his/her employment at the Agency is reimbursed to the staff provided that the single article price is not less than 50 EUR. This rule is applicable to SNEs one year after taking up their duties, but is not applicable for nationals or permanent residents of Latvia. | |

| Agency privileges | Privileges granted to staff | |
|--|--|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| excise duty exemption certificate issued. | | |
| - The BEREC Office shall be exempt from all customs duties, prohibitions and restrictions on imports and exports in respect of articles intended for its official use including vehicles and other technical equipment and spare parts. | The statutory staff and SNEs, who are not nationals or permanent residents of the Republic of Latvia, at the time of taking up the duties at the BEREC Office shall be refunded the VAT for one vehicle purchased in the Republic of Latvia and refund shall be provided once in a period of three years. | |
| - Vehicles intended for the official use of the BEREC Office shall be registered under a special series similar to the registration of vehicles used by the diplomatic missions accredited to Latvia. | The statutory staff of the BEREC Office, who are not nationals or permanent residents of the Republic of Latvia, at the time of taking up the duties, have the right to import from their last country of residence or from the country of which they are nationals, free of duty and without prohibitions or restrictions, in respect of initial establishment, within two years of taking up their appointment with the BEREC Office furniture and personal effects, including motor vehicles, which shall be registered under a special series in accordance with the usual practice for staff with immunity in the Republic of Latvia. | |
| Liaison Office | | |
| Following the conditions set in the Headquarters Agreement, the Government of Latvia has established the Liaison Office on June 1, 2022 by signing the Service Level Agreement (SLA). SLA determines the functions of the Liaison Office and the scope of its operation. | The Liaison Office facilitates co-operation with Latvian government institutions, assists and advises the BEREC Office and its Staff, including members of their family, on issues generally related to settling in and staying in Latvia. It provides support on general administrative matters such as, but not limited to, submitting tax declarations, complying with the social security obligations, and registering motor vehicle. On the request of the BEREC Office, the Liaison Office, inter alia, facilitates access to the job market, to the day-care facilities, to the schools and universities, to banking and insurance. It also assists in searches for premises and facilities, housing and real estate brokerage, and telecommunications. | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|------------|---|
| Regulation (EC) No 460/2004 establishing the European Network and Information Security Agency | 10/03/2004 | This first regulation, establishing ENISA, the European Network and Information Security Agency, has been repealed by Regulation 526/2013. |
| Regulation (EU) No 526/2013 of the European Parliament and of the Council, concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004, OJ L165/41 of 18.6.2013 | 21/05/2013 | The European Union Agency for Network and Information Security (ENISA) has been established in order to contribute to network and information security within the Union; to raise awareness of network and information security and to develop and promote a culture of network and information security in society for the benefit of citizens, consumers, enterprises and public sector organisations in the Union, thus contributing to the establishment and proper functioning of the internal market. |
| Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April 2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013 (Cybersecurity Act) | 07/06/2019 | ENISA shall carry out the tasks assigned to it under this Regulation for the purpose of achieving a high common level of cybersecurity across the Union, including by actively supporting Member States, Union institutions, bodies, offices and agencies in improving cybersecurity. ENISA shall act as a reference point for advice and expertise on cybersecurity for Union institutions, bodies, offices and agencies as well as for other relevant Union stakeholders. ENISA shall contribute to reducing the fragmentation of the internal market by carrying out the tasks assigned to it under this Regulation. ENISA shall carry out the tasks assigned to it by Union legal acts that set out measures for approximating Member State laws, regulations and administrative provisions which are related to cybersecurity. |

1.2 Seat

Athens, Greece

1.3 Budget Line

02 10 04 : European Union Agency for Cybersecurity (ENISA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 63 | 55 | 87,30% | 63 | 63 |
| Assistants (AST) | 19 | 18 | 94,74% | 19 | 19 |
| Assistants/Secretaries (AST/SC) | | | | | |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| ESTABLISHMENT PLAN POSTS | 82 | 73 | 89,02% | 82 | 82 |
| Contract Agents (CA) | 32 | 27 | 84,38% | 32 | 32 |
| Seconded National Experts (SNE) | 12 | 10 | 83,33% | 14 | 14 |
| TOTAL STAFF | 126 | 110 | 87,30% | 128 | 128 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 24 475 757 | 24 953 071 |
| Other Revenue | 707 738 | 723 009 |
| TOTAL REVENUES | 25 183 495 | 25 676 080 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 12 719 412 | 12 719 412 | 12 374 163 | 12 374 163 |
| Title 2 - Infrastructure and operating expenditure | 3 519 470 | 3 519 470 | 4 774 487 | 4 774 487 |
| Title 3 - Operational expenditure | 8 944 613 | 8 944 613 | 8 527 430 | 8 527 430 |
| TOTAL EXPENDITURE | 25 183 495 | 25 183 495 | 25 676 080 | 25 676 080 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 12 | | 4 | | 4 | | 4 | | 4 | | 4 |
| AD 11 | | 2 | | 2 | | 2 | | 3 | | 3 |
| AD 10 | | 4 | | 1 | | 4 | | 4 | | 4 |
| AD 9 | | 11 | | 12 | | 11 | | 14 | | 14 |
| AD 8 | | 22 | | 8 | | 25 | | 27 | | 15 |
| AD 7 | | 8 | | 11 | | 10 | | 9 | | 13 |
| AD 6 | | 9 | | 15 | | 4 | | 11 | | 7 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 63 | | 55 | | 63 | | 75 | | 63 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 2 | | 2 | | 2 | | 3 | | 3 |
| AST 7 | | 3 | | 1 | | 4 | | 4 | | 2 |
| AST 6 | | 8 | | 5 | | 7 | | 10 | | 7 |
| AST 5 | | 5 | | 4 | | 5 | | 5 | | 4 |
| AST 4 | | 1 | | 4 | | 1 | | | | 2 |
| AST 3 | | | | 1 | | | | | | 1 |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 19 | | 18 | | 19 | | 22 | | 19 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | 82 | | 73 | | 82 | | 97 | | 82 |
| GRAND TOTAL | 82 | | 73 | | 82 | | 97 | | 82 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 29 | 19 | 30 | 30 |
| Function Group III | 3 | 7 | 2 | 2 |
| Function Group II | | | | |
| Function Group I | | 1 | | |
| TOTAL | 32 | 27 | 32 | 32 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 12 | 10 | 14 | 14 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 38 633 000 | 24 475 757 | 32 991 519 | 24 953 071 | 1,95% |
| - Of which assigned revenues deriving from previous years' surpluses | 739 560 | 320 868 | 276 988 | 276 988 | -13,68% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 574 625 | 707 738 | 958 536 | 723 009 | 2,16% |
| - Of which EEA/EFTA (excl. Switzerland) | 574 625 | 707 738 | 958 536 | 723 009 | 2,16% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 19 767 | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 39 227 392 | 25 183 495 | 33 950 055 | 25 676 080 | 1,96% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 12 024 178 | 12 719 412 | 16 361 668 | 12 374 163 | -2,71% |
| Salaries & allowances | 9 859 760 | 11 019 993 | 14 742 690 | 11 149 746 | 1,18% |
| <i>- Of which establishment plan posts</i> | 7 639 575 | 8 551 219 | 11 656 963 | 8 816 042 | 3,10% |
| <i>- Of which external personnel</i> | 2 220 185 | 2 468 774 | 3 085 727 | 2 333 704 | -5,47% |
| Expenditure relating to Staff recruitment | 287 409 | 404 684 | 100 000 | 75 629 | -81,31% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 37 077 | 66 960 | 82 755 | 62 587 | -6,53% |
| Training | 386 745 | 232 215 | 293 785 | 222 187 | -4,32% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 695 428 | 371 000 | 370 556 | 280 248 | -24,46% |
| Receptions, events and representation | | | | | |
| Social welfare | 757 759 | 624 560 | 771 882 | 583 766 | -6,53% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 3 896 259 | 3 519 470 | 6 313 040 | 4 774 487 | 35,66% |
| Rental of buildings and associated costs | 1 028 295 | 1 357 750 | 1 482 841 | 1 121 458 | -17,40% |
| Information, communication technology and data processing | 1 851 568 | 1 689 070 | 3 748 403 | 2 834 880 | 67,84% |
| Movable property and associated costs | 28 140 | 10 000 | 9 206 | 6 960 | -30,40% |
| Current administrative expenditure | 963 924 | 440 650 | 1 052 336 | 795 871 | 80,61% |
| Postage / Telecommunications | 24 332 | 22 000 | 20 254 | 15 318 | -30,37% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 23 258 969 | 8 944 613 | 11 275 347 | 8 527 430 | -4,66% |
| Activities related to meetings and missions | 542 365 | 438 600 | 936 000 | 707 887 | 61,40% |
| Horizontal Operational Activities | | | | | |
| Core Operational Activities | 22 716 604 | 8 506 013 | 10 339 347 | 7 819 543 | -8,07% |
| TOTAL | 39 179 406 | 25 183 495 | 33 950 055 | 25 676 080 | 1,96% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 12 024 178 | 12 719 412 | 16 361 668 | 12 374 163 | -2,71% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Salaries & allowances | 9 859 760 | 11 019 993 | 14 742 690 | 11 149 746 | 1,18% |
| - Of which establishment plan posts | 7 639 575 | 8 551 219 | 11 656 963 | 8 816 042 | 3,10% |
| - Of which external personnel | 2 220 185 | 2 468 774 | 3 085 727 | 2 333 704 | -5,47% |
| Expenditure relating to Staff recruitment | 287 409 | 404 684 | 100 000 | 75 629 | -81,31% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 37 077 | 66 960 | 82 755 | 62 587 | -6,53% |
| Training | 386 745 | 232 215 | 293 785 | 222 187 | -4,32% |
| External Services | 695 428 | 371 000 | 370 556 | 280 248 | -24,46% |
| Receptions, events and representation | | | | | |
| Social welfare | 757 759 | 624 560 | 771 882 | 583 766 | -6,53% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 3 896 259 | 3 519 470 | 6 313 040 | 4 774 487 | 35,66% |
| Rental of buildings and associated costs | 1 028 295 | 1 357 750 | 1 482 841 | 1 121 458 | -17,40% |
| Information, communication technology and data processing | 1 851 568 | 1 689 070 | 3 748 403 | 2 834 880 | 67,84% |
| Movable property and associated costs | 28 140 | 10 000 | 9 206 | 6 960 | -30,40% |
| Current administrative expenditure | 963 924 | 440 650 | 1 052 336 | 795 871 | 80,61% |
| Postage / Telecommunications | 24 332 | 22 000 | 20 254 | 15 318 | -30,37% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 23 258 969 | 8 944 613 | 11 275 347 | 8 527 430 | -4,66% |
| Activities related to meetings and missions | 542 365 | 438 600 | 936 000 | 707 887 | 61,40% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Horizontal Operational Activities | | | | | |
| Core Operational Activities | 22 716 604 | 8 506 013 | 10 339 347 | 7 819 543 | -8,07% |
| TOTAL | 39 179 406 | 25 183 495 | 33 950 055 | 25 676 080 | 1,96% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 276 988.

4 Justification of needs

Commission assessment

Human Resources

The Commission does not support the agency's request for additional TA and SNEs (in total additional 17 FTEs). The Commission proposes stable staffing compared to the Voted Budget of 2023 (82 TA, 32 CA and 14 SNE).

Financial Resources

ENISA is requesting an increase of more than 8 M EUR of the EU contribution compared to the amount foreseen in the financial programming. The Commission does not support this increase and proposes the EU contribution in line with the financial programming for 2024.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In accordance with the Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April 2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013 (Cybersecurity Act) the number of TAs for 2024 is 82.

In developing the first draft of the 2024 work programme, the Agency has identified negative operational and corporate priorities stemming from insufficient resources. Significant activities impacting ENISA's mandate will therefore be suppressed, reduced in scope and/or postponed in 2024. To offset the negative priorities and fulfil ENISA's mandate vis-à-vis demands of its stakeholders', the Agency foresees that it requires a total of 145,5 FTEs in 2024 or 17,5 FTEs more. This would mean a 14% increase above the current staffing levels. The break-down of the 17 FTEs requested is: 8 experts at AD6 level, 4 officers at AD8 level, 3 assistants at AST6 level, and 2 SNEs.

In 2022, the Agency was able to fulfil its operational mandate in response to the Russian aggression in Ukraine partially thanks to additional budgetary resources allocated to establish the ENISA Cybersecurity Support Action and Support Services, but did not receive any additional posts to its Establishment Plan. The Agency therefore had to redirect internal resources (approx. 10 FTEs) from other activities in order to properly implement this support action for Member States.

Thus, with the long-term outlook of the Union threat landscape remaining gloomy, the Agency cannot, under its current normal budgetary and human resource limits, maintain even the minimum level of support it has been able to muster in 2022, without jeopardising its other priorities, like increasing assistance to the Union and Member States to support the transposition of NIS2 or support the actual deployment of new certification schemes.

For tasks foreseen in NIS2, the resources which were approved constituted a slight increase of the budget of EUR 610 thousand per year and some (5) new posts (4% increase). It should be noted, however, that most Member States have

responded to NIS2 by significantly increasing the staff numbers of their national cybersecurity agencies and though ENISA does not fulfil the regulatory duties in the same way as national agencies do, the allocated appropriations fall far short of the initial needs which the Agency put forward, nor were the final additional resources qualitatively fit for purpose.

Although ENISA welcomes the mainstreaming the cybersecurity in other policy areas and new tasks being given to ENISA, these however need to be accompanied with resources in the legislation. This has not been the case. For example, within the CRA (Cyber Resilience Act) proposal which is currently undergoing co-decision procedures, about 4,5 FTEs for ENISA have been calculated to fulfil the new tasks, but the suggestion has been to reallocate these from existing resources. In parallel, numerous sectorial proposals or commitments (DORA legislation refers to ENISA 14 times, the Electricity Code refers to ENISA 32 times with specific tasks, declarations with 3rd countries, etc.) rightly try to leverage on ENISA expertise in upgrading the cybersecurity posture of other sectors, policies or partners. However, those proposals do not acknowledge that this would mean that the Agency must then dedicate time and expertise – e.g. human capital – to fulfil these expectations.

The human resource requirements forecasted in the current draft of the SPD are well above those foreseen by the current Establishment Plan. While ENISA remains committed to the continuous improvement of its administrative and operational efficiency, the Agency has almost exhausted all possible internal and external actions that it can take to resolve the insufficient allocated resources.

4.1.2 Vacancy rate as of end 2022

From the 82 posts authorised in the Establishment Plan, 73 posts were filled. Hence, the vacancy rate is 10,98 %.

Overall (Establishment Plan + CAs + SNEs) 110 posts were filled out of 126 authorised, hence the vacancy rate is 12,70 %.

The fact that 5 NIS2 posts (3 TA posts and 2 CA posts) could only be received in late November 2022 due to the adoption schedule for NIS2²⁷ has a high impact on the above vacancy rate figures, as these remained unfilled at 31/12/2022.

ENISA's Establishment Plan implementation rate is foreseen to reach close to 100% by end of 2023.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

For establishing salaries budget 2024 a number of assumptions were used, however it is challenging to predict precise needs due to high inflation in the EU.

ENISA's Establishment Plan implementation rate is foreseen to reach close to 100% by end of 2023 with minor turnover rate.

Latest available ENISA budget salaries estimates for 2022 were used in order to define average yearly cost per-capita which for calculation of forecasted budget 2024 was increased by 5.5 % as per information known at the time of calculation (ECB anticipated inflation rate for 2023).

As mentioned under section 4.1.1, ENISA has identified that to offset the negative priorities and fulfil ENISA's mandate vis-à-vis demands of its stakeholders', the Agency foresees that it requires a total of 145,5 FTEs in 2024 or 17,5 FTEs more.

4.1.5 Correction coefficient used

At present the correction coefficient is 89,40%.

²⁷ Directive (EU) 2022/2555 of the European Parliament and of the Council of 14 December 2022 on measures for a high common level of cybersecurity across the Union, amending Regulation (EU) No 910/2014 and Directive (EU) 2018/1972, and repealing Directive (EU) 2016/1148 (NIS 2 Directive)

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 is intended to cover the costs related to the staff of the Agency, such as staff in active employment (salaries and allowances), recruitment expenditure, socio-medical services, training and temporary assistance. Title 1 therefore covers the annual salaries of the Establishment Plan posts, as well as the yearly salary adjustments, the effect of the country coefficient corrector for Greece, the career development and maturity of staff (reclassifications and steps awarded), and expenditures related to other social welfare measures.

The Agency has already taken a number of actions to allocate staff to fulfil its mandate and tasks, such as the transfer of posts between operational units or the transfer of posts from administrative and support functions to operational units. Though the rebalancing has achieved creating more capabilities in delivering its operational tasks, it has reached its limits. Further internal adjustment and reallocation at the expense of corporate activities would mean significant erosion of the Agency's functional capacity in sustaining security (including IT and physical), legal, financial, compliance functions and other corporate support systems.

Structured cooperation with CERT-EU has been formalised with the drafting of an annual cooperation plan to utilise synergies and avoid duplication of activities in executing its task in the field of operational cooperation. The Agency has signed an SLA in December 2022 to create synergies with the European Cybersecurity Competence Centre and Network (ECCC) in the field of research and innovation as well as in administration, namely, accounting, data protection. Shared service agreements are currently in place with the European Union Intellectual Property Office (EUIPO), a cooperation plan with European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom, Security and Justice (EU-LISA) and with the European Centre for the Development of Vocational Training (CEDEFOP) to streamline procurement, shared financial services, human resources, IT solutions and in the area of data protection. Although the Agency has taken considerable steps to internally build and create synergies and efficiencies in 2021-2022 there is a limit to what can be done internally and externally. All these measures are, in the end of the day, mitigation actions which point to a lack of proper resourcing.

The Agency has received few additional FTEs to address new tasks. Firstly, for tasks foreseen in NIS2, the resources which were approved constituted a slight increase of budget of EUR 610 thousand per year and some (5) new posts (4% increase). It should be noted, however, that most Member States have responded to NIS2 by significantly increasing the staff numbers of their national cybersecurity agencies and though ENISA does not fulfil the regulatory duties in the same way as national agencies do, the allocated appropriations fall far short to the initial needs which the Agency put forward during the consultations with the Commission (10-12 posts). Nor were the final additional resources qualitatively fit for purpose.

Recognising its growing need to have increased capabilities to support operational cooperation, resilience and capabilities at the Union level, and expand the scope of relevant services which ENISA offers to the Member States, the Commission has taken two steps. Firstly, it has allocated 2 additional SNE posts to ENISA to facilitate operational cooperation with Member States and in 2023 injected an additional EUR 15 million to ENISA to scale up and expand its ex-ante and ex-post services to the Member States (tasks related to Articles 6 and 7 of CSA) in response to the higher threat due to Russian aggression on Ukraine. Both these steps should be acknowledged and welcomed. However, both in terms of human resources and in terms of budget, those additional resources are insufficient compared to the scale of the task or the level of demand for ENISA services.

Therefore, as indicated under section 4.1.1. to offset the negative priorities and fulfil ENISA's mandate vis-à-vis demands of its stakeholders, the Agency foresees that it requires a total of 145,5 FTEs in 2024 or 17,5 FTEs more. The estimated budget to cover additional the 17,5 FTEs amounting to EUR 2.4 million has been included in the current budget request.

The other part which the Agency sees as required to fulfil its mandate and by extension the demands of stakeholders amounts to additional EUR 327 thousand which would mainly cover staff development and staff welfare activities as detailed in the draft SPD 2024-2026.

Further to the reorganisation and the streamlining of internal processes in 2022 ENISA achieved 99,93 % in its budget implementation with the surplus being EUR 28 thousand out of EUR 39,2 million allocated, which clearly indicates Agency's ability to carry out increasing tasks and the possibility to expand even more.

4.2.2 Title 2

Title 2 is intended to cover the costs related to infrastructure and operating expenditure such as rental of buildings and associated costs, movable property and associated costs, current administrative expenditure, consultancy services for corporate activities and corporate ICT expenditure.

In 2020 ENISA has put forward a proposal to open a local office in Brussels. During 2021, initial negotiations were carried out with the OIB concerning an office in the One Building in Brussels. In parallel work was carried out with DGHR.DS to specify conditions for a secure area, which is required in order for Brussels staff to work on EUCI projects. During 2022 the office was made ready and ENISA started its work from a new location.

In 2022 ENISA was able to achieve several efficiency gains under Title 2. Above and beyond such gains, the Agency will put forward a request for a budget increase of approximately EUR 2 343 534 to mainly cover the corporate ICT related costs, the facility management of buildings and infrastructure.

4.2.3 Title 3

Title 3 appropriations are intended to finance the operational activities of ENISA as defined in its annual work programme and as foreseen in the Cybersecurity Act²⁸. The budget foreseen under core operational activities covers direct costs for implementing the activity, operational ICT costs needed for the activity and missions related to the activity. Detailed information on activities is presented in the SPD (Single Programming Document) 2024-2026.

The multi-annual financial framework 2021-2027 laying down the EU's long term budget could not foresee the cumulative effect of the rapidly deteriorating cybersecurity threat landscape - including due to Russian war of aggression which increased the Union's attack surface and brought new challenges to manage supply-chain security – or new legislative initiatives such as NIS2 Directive, the proposed Cyber Resilience Act (CRA), the Digital Operational Resilience Act (DORA), etc. – will have on ENISA's ability to serve the ever-increasing demands with its limited resources.

The current total appropriations in the EU Budget for 2024 amount to EUR 25.7 million. As noted above, this level is not sufficient for the Agency to fulfil its mandate, given the increased legislative and policy expectations and demands for its services to respond to the heightened threat level. The total amount of budget that the Agency foresees that it requires to fulfil its mandate and by extension the demands of stakeholders amount to an additional EUR 3.2 million. This is without considering the operational budgetary resources which would be necessary to maintain or expand ENISA's ex-ante and ex-post services to Member States under Article 6 and 7 of the CSA, and without the additional costs which it would entail to ensure corporate and administrative support.

²⁸ Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April 2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013 (Cybersecurity Act)

This has resulted in the postponement of projects and / or the reduced scope of suppressed outputs across the entire operational work programme of the Agency.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-----------------|----------------------------------|--------------|--------------|-----------------|---|--------------|---|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Heraklion Office | Heraklion | 706 | | 706 | | 01/01/2021 to 28/02/2030 | Lease | Rent is fully covered by Hellenic Authorities | |
| 2 | Athens Office | Chalandri | 4 498 | 2 617 | 7 115 | | 01/01/2021-28/02/2030; 01/07/2021 actual start of ENISA operating from the new headquarters | Lease | Rent is fully covered by Hellenic Authorities | |
| 3 | Brussels office | Brussels center | 98 | | 98 | 56 496 | N/A | SLA with OIB | N/A | |
| TOTAL | | | 5 302 | 2 617 | 7 919 | 56 496 | | | | |

5.1.2 Current building(s) Other comments

In 2020 ENISA has put forward a proposal to open a local office in Brussels. During 2021, initial negotiations were carried out with the OIB concerning an office in the One Building in Brussels. In parallel work was carried out with DGHR.DS to specify conditions for a secure area, which is required in order for Brussels staff to work on EUCI projects. During 2022 the office was made ready and ENISA started its work from a new location.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

A public school of European Education, type 2, was founded in 2005 by the Greek government in Heraklion, Crete for the children of ENISA staff, which were 3 for school year 2021/2022.

There is no European School operating in Athens. For the school year 2022/2023, the process for the financial support for the staff of ENISA in relation to the cost of schooling has been updated via EDD 2021-41, leading to the abolishment of SLAs.

5.3 Evaluation

In the course of 2022 a satisfaction survey was developed to gather feedback from stakeholders on the added value and take up of ENISA work programmes over the past two years. The survey will be launched in Q1 2023 to provide lessons learned for ex-ante evaluation.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|---|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>In accordance with Art. 23 of Regulation (EU) No 2019/881 of the European Parliament and of the Council of 17 April 2019, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.</p> <p>The Greek Government and ENISA signed a Seat Agreement the 13 November 2018, which was ratified by Greek Law 4627/2019 on the 25 September 2019 and entered in to force on the 04 October 2019 and is applicable to ENISA and its staff.</p> | <p>In accordance with Article 35 of Regulation (EU) No 2019/881 of the European Parliament and of the Council of 17 April 2019, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.</p> <p>The Greek Government and ENISA signed a Seat Agreement the 13 November 2018, which was ratified by Greek Law 4627/2019 on the 25 September 2019 and entered in to force on the 04 October 2019 and is applicable to ENISA and its staff.</p> | <p>A public School of European Education, Type 2, was founded in 2005 by the Greek government in Heraklion – Crete for the children of the staff of ENISA.</p> <p>There is no European School operating in Athens.</p> |

1 Overview**1.1 Creation/modification (legal base)**

| Decision | Date | Mission/ Tasks / Functions |
|---|-------------|--|
| Regulation (EU) No 1093/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision N 716/2009/EC and repealing Commission Decision 2009/78/EC | 24/11/2010 | The European Union decided to establish the European Banking Authority (EBA) with the objectives of preventing regulatory arbitrage, guaranteeing a level playing field, strengthening international supervisory coordination, promoting supervisory convergence and providing advice to the EU institutions in the areas of banking, payments and e-money regulation as well as on issues related to corporate governance, auditing and financial reporting. |
| Regulation (EU) No 1022/2013 of the European Parliament and of the Council of 22 October 2013 amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority) as regards the conferral of specific tasks on the European Central Bank pursuant to Council Regulation (EU) No 1024/2013. | 22/10/2013 | Added: together with the compilation of a European supervisory handbook. |
| Regulation (EU) 2019/2175 of the European Parliament and of the Council of 18 December 2019, amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority), Regulation (EU) No 1094/2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority), Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds. | 18/12/2019 | Modifications in the mandate and governance in order to ensure stronger and more integrated supervision across the EU. |
| Regulation (EU) 2022/2554 of the European Parliament and of the Council on Digital Operational Resilience for the financial sector and amending Regulations (EC) No 1060/2009; (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014 | 27/12/2022 | The EBA is mandated with an extensive set of tasks and powers, including: <ul style="list-style-type: none"> • Development of policy work on ICT risk management, incident reporting, advanced cyber testing and ICT third party risk management (this includes the development of more than 10 sets of draft technical standards, one set of guidelines and three joint reports – almost all should be delivered jointly by the ESAs); • Receipt, transmission, assessment and report on major ICT-related incidents; • Development of crisis-management and contingency exercises involving cyber-attack scenarios; • Cooperation with structures and authorities established by the NIS Directive; • Oversight of critical ICT third-party providers (CTPPs) which will include: assessment of CTPPs against ICT risk management requirements, conduct of general offsite and on-site supervision (with the support of relevant competent authorities), address recommendations to CTPPs, request CTPPs to specify actions taken or remedies implemented to address recommendations, impose a periodic penalty payment to compel the CTPP to comply with requirements. |

| | | |
|--|---------------------|--|
| <p>Proposal for a Regulation of the European Parliament and of the Council on Markets in Crypto-assets, and amending Directive (EU)2019/1937</p> | <p>COM(2020)593</p> | <p>As set out in the EC’s legislative proposal, the EBA would be responsible for supervising issuers of ‘significant’ asset-referenced and e-money tokens, and other issuers of such tokens who are not significant but voluntarily submit to EU-level supervision. Supervision tasks would include:</p> <ul style="list-style-type: none"> - assessment and monitoring of issuers against requirements established in MiCA, including governance, capital, liquidity, reserve and redemption arrangements, and the assessment of qualifying holdings (off-site and onsite supervision); - establishment and chairing of supervisory colleges; - engagement with ECB, other relevant central banks, NCAs and third country authorities over supervision of the issuer and wider ecosystem for distribution of crypto-assets. <p>For these purposes an extensive range of supervisory and disciplinary powers are proposed.</p> <p>Additionally, the EBA would be responsible for a large number of technical standards under MiCA particularising regulatory requirements for issuers and for other aspects of MiCA, including relating to the authorisation of issuers, reserve arrangements, and the functioning of supervisory colleges.</p> |
|--|---------------------|--|

1.2 Seat

Paris, France.

1.3 Budget Line

03 10 02 : European Banking Authority (EBA)

1.4 Human Resource Overview EBA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 171 | 148 | 86,55% | 172 | 177 |
| Assistants (AST) | 12 | 11 | 91,67% | 12 | 12 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 183 | 159 | 86,89% | 184 | 189 |
| Contract Agents (CA) | 50 | 44 | 88,00% | 50 | 52 |
| Seconded National Experts (SNE) | 19 | 13 | 68,42% | 19 | 19 |
| TOTAL STAFF | 252 | 216 | 85,71% | 253 | 260 |

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview EBA

1.5.1.1 Revenues Overview EBA

1.5.1.1.1 General revenues EBA

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 19 428 306 | 20 774 871 |
| Other Revenue | 32 900 473 | 35 116 484 |
| TOTAL REVENUES | 52 328 779 | 55 891 355 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements EBA

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 348 774 | 356 119 |
| TOTAL REVENUES | 348 774 | 356 119 |

1.5.1.2 Expenditure Overview EBA

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 33 515 236 | 33 515 236 | 35 250 032 | 35 250 032 |
| Title 2 - Infrastructure and operating expenditure | 12 319 866 | 12 319 866 | 10 749 535 | 10 749 535 |
| Title 3 - Operational expenditure | 6842451 | 6842451 | 10247907 | 10247907 |
| TOTAL EXPENDITURE | 52 677 553 | 52 677 553 | 56 247 474 | 56 247 474 |

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | | |
| TOTAL REVENUES | | |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2.2 Expenditure Overview (Fees)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | |
| Title 3 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | | | | |

1.5.3 Financial Resources Overview (UE and NCA)

1.5.3.1 Revenues Overview (UE and NCA)

1.5.3.1.1 General revenues (UE and NCA)

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 19 428 306 | 20 774 871 |
| Other Revenue | 32 900 473 | 35 116 484 |
| TOTAL REVENUES | 52 328 779 | 55 891 355 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 348 774 | 356 119 |
| TOTAL REVENUES | 348 774 | 356 119 |

1.5.3.2 Expenditure Overview (UE and NCA)

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 33 515 236 | 33 515 236 | 35 250 032 | 35 250 032 |
| Title 2 - Infrastructure and operating expenditure | 12 319 866 | 12 319 866 | 10 749 535 | 10 749 535 |
| Title 3 - Operational expenditure | 6 842 451 | 6 842 451 | 10 247 907 | 10 247 907 |
| TOTAL EXPENDITURE | 52 677 553 | 52 677 553 | 56 247 474 | 56 247 474 |

2 Human Resources

2.1 Establishment plan posts EBA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | | | 1 | | 1 | | 1 |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 5 | | 3 | | 5 | | 5 | | 5 |
| AD 13 | | 2 | | | | 2 | | 2 | | 2 |
| AD 12 | | 8 | | 9 | | 8 | | 8 | | 8 |
| AD 11 | | 12 | | 6 | | 12 | | 12 | | 12 |
| AD 10 | | 12 | | 16 | | 13 | | 13 | | 13 |
| AD 9 | | 22 | | 22 | | 24 | | 25 | | 25 |
| AD 8 | | 26 | | 25 | | 27 | | 28 | | 28 |
| AD 7 | | 30 | | 32 | | 30 | | 32 | | 32 |
| AD 6 | | 20 | | 21 | | 19 | | 21 | | 21 |
| AD 5 | | 32 | | 13 | | 30 | | 29 | | 29 |
| AD TOTAL | | 171 | | 148 | | 172 | | 177 | | 177 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AST 5 | | 4 | | 2 | | 4 | | 4 | | 4 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 4 | | 2 | | 2 | | 2 | | 3 | | 3 |
| AST 3 | | 1 | | 3 | | 1 | | 1 | | 1 |
| AST 2 | | 2 | | 3 | | 2 | | 1 | | 1 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 12 | | 11 | | 12 | | 12 | | 12 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 183 | | 159 | | 184 | | 189 | | 189 |
| GRAND TOTAL | 183 | | 159 | | 184 | | 189 | | 189 | |

2.2 External personnel EBA

2.2.1 Contract Agents EBA

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 32 | 36 | 42 | 44 |
| Function Group III | 18 | 8 | 8 | 8 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 50 | 44 | 50 | 52 |

2.2.2 Seconded National Experts EBA

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 19 | 13 | 19 | 19 |

3 Financial Resources

3.1 Financial Resources EBA

3.1.1 Revenues EBA

3.1.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 18 685 999 | 19 428 306 | 20 471 594 | 20 774 871 | 6,93% |
| - Of which assigned revenues deriving from previous years' surpluses | 350 023 | 391 315 | 134 440 | 134 440 | -65,64% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 932 553 | 970 735 | 1 022 015 | 1 037 282 | 6,86% |
| - Of which EEA/EFTA (excl. Switzerland) | 932 553 | 970 735 | 1 022 015 | 1 037 282 | 6,86% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 30 696 462 | 31 929 738 | 33 586 070 | 34 079 202 | 6,73% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 735 351 | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 51 050 365 | 52 328 779 | 55 079 679 | 55 891 355 | 6,81% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 44 682 | 348 774 | 356 119 | 356 119 | 2,11% |
| TOTAL | 44 682 | 348 774 | 356 119 | 356 119 | 2,11% |

3.1.2 Expenditure EBA

3.1.2.1 Commitment appropriations EBA

| EXPENDITURE | Commitment appropriations EBA | | | | |
|---|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 31 436 919 | 33 515 236 | 34 714 753 | 35 250 032 | 5,18% |
| Salaries & allowances | 27 865 246 | 29 575 822 | 30 564 470 | 31 044 349 | 4,97% |
| - Of which establishment plan posts | 22 433 420 | 23 507 442 | 24 194 321 | 24 496 724 | 4,21% |
| - Of which external personnel | 5 431 826 | 6 068 380 | 6 370 149 | 6 547 625 | 7,90% |
| Expenditure relating to Staff recruitment | 267 228 | 208 250 | 187 690 | 197 690 | -5,07% |
| Employer's pension contributions | 2 157 246 | 2 280 771 | 2 375 000 | 2 414 400 | 5,86% |
| Mission expenses | 17 298 | 34 518 | 39 194 | 39 194 | 13,55% |
| Socio-medical infrastructure | 671 600 | 819 737 | 865 511 | 865 511 | 5,58% |
| Training | 220 813 | 406 940 | 459 648 | 459 648 | 12,95% |
| External Services | 180 545 | 179 698 | 171 990 | 171 990 | -4,29% |
| Receptions, events and representation | 56 943 | 9 500 | 51 250 | 57 250 | 502,63% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 085 733 | 12 319 866 | 10 652 835 | 10 749 535 | -12,75% |
| Rental of buildings and associated costs | 3 904 926 | 4 148 361 | 4 677 230 | 4 752 230 | 14,56% |
| Information, communication technology and data processing | 6 233 330 | 7 112 580 | 4 868 586 | 4 868 586 | -31,55% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 548 106 | 654 295 | 706 430 | 728 130 | 11,28% |
| Postage / Telecommunications | 46 670 | 48 000 | 48 000 | 48 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 352 701 | 356 630 | 352 589 | 352 589 | -1,13% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Commitment appropriations EBA | | | | |
|---|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 7 797 626 | 6 842 451 | 10 068 210 | 10 247 907 | 49,77% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | 2 195 105 | 2 914 712 | 3 586 571 | 3 666 268 | 25,78% |
| Collection of information, developing and maintenance of a central European database | | | | | |
| IT solution assessment | 5 602 521 | 3 927 739 | 6 481 639 | 6 581 639 | 67,57% |
| TOTAL | 50 320 278 | 52 677 553 | 55 435 798 | 56 247 474 | 6,78% |

3.1.2.2 Payment appropriations EBA

| EXPENDITURE | Payment appropriations EBA | | | | |
|---|----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 31 436 919 | 33 515 236 | 34 714 753 | 35 250 032 | 5,18% |
| Salaries & allowances | 27 865 246 | 29 575 822 | 30 564 470 | 31 044 349 | 4,97% |
| - Of which establishment plan posts | 22 433 420 | 23 507 442 | 24 194 321 | 24 496 724 | 4,21% |
| - Of which external personnel | 5 431 826 | 6 068 380 | 6 370 149 | 6 547 625 | 7,90% |
| Expenditure relating to Staff recruitment | 267 228 | 208 250 | 187 690 | 197 690 | -5,07% |
| Employer's pension contributions | 2 157 246 | 2 280 771 | 2 375 000 | 2 414 400 | 5,86% |
| Mission expenses | 17 298 | 34 518 | 39 194 | 39 194 | 13,55% |
| Socio-medical infrastructure | 671 600 | 819 737 | 865 511 | 865 511 | 5,58% |
| Training | 220 813 | 406 940 | 459 648 | 459 648 | 12,95% |
| External Services | 180 545 | 179 698 | 171 990 | 171 990 | -4,29% |
| Receptions, events and representation | 56 943 | 9 500 | 51 250 | 57 250 | 502,63% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 085 733 | 12 319 866 | 10 652 835 | 10 749 535 | -12,75% |
| Rental of buildings and associated costs | 3 904 926 | 4 148 361 | 4 677 230 | 4 752 230 | 14,56% |
| Information, communication technology and data processing | 6 233 330 | 7 112 580 | 4 868 586 | 4 868 586 | -31,55% |

| EXPENDITURE | Payment appropriations EBA | | | | |
|---|----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 548 106 | 654 295 | 706 430 | 728 130 | 11,28% |
| Postage / Telecommunications | 46 670 | 48 000 | 48 000 | 48 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 352 701 | 356 630 | 352 589 | 352 589 | -1,13% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 797 626 | 6 842 451 | 10 068 210 | 10 247 907 | 49,77% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | 2 195 105 | 2 914 712 | 3 586 571 | 3 666 268 | 25,78% |
| Collection of information, developing and maintenance of a central European database | | | | | |
| IT solution assessment | 5 602 521 | 3 927 739 | 6 481 639 | 6 581 639 | 67,57% |
| TOTAL | 50 320 278 | 52 677 553 | 55 435 798 | 56 247 474 | 6,78% |

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

| REVENUES | General revenues (Fees) | | | | |
|--|-------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | | | | | |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |

| REVENUES | General revenues (Fees) | | | | |
|--|-------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | | | | | |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees) | | | | |
|---|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|--|----------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | | |
| Salaries & allowances | | | | | |
| <i>- Of which establishment plan posts</i> | | | | | |
| <i>- Of which external personnel</i> | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|---|----------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | | |
| Rental of buildings and associated costs | | | | | |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | | | | |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | | | | | |
| Collection of information, developing and maintenance of a central European database | | | | | |
| IT solution assessment | | | | | |
| TOTAL | | | | | |

3.2.2.2 Payment appropriations (Fees)

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|--|-------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | | |
| Salaries & allowances | | | | | |
| - <i>Of which establishment plan posts</i> | | | | | |
| - <i>Of which external personnel</i> | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | | |
| Rental of buildings and associated costs | | | | | |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|---|-------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | | | | | |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | | | | | |
| Collection of information, developing and maintenance of a central European database | | | | | |
| IT solution assessment | | | | | |
| TOTAL | | | | | |

3.2.3 Budget Outturn (Fees)

Not applicable: EBA received no fees in 2022.

3.3 Financial Resources (UE and NCA)

3.3.1 Revenues (UE and NCA)

3.3.1.1 General revenues (UE and NCA)

| REVENUES | General revenues (UE and NCA) | | | | |
|---|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 18 685 999 | 19 428 306 | 20 471 594 | 20 774 871 | 6,93% |
| - Of which assigned revenues deriving from previous years' surpluses | 350 023 | 391 315 | 134 440 | 134 440 | -65,64% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 932 553 | 970 735 | 1 022 015 | 1 037 282 | 6,86% |
| - Of which EEA/EFTA (excl. Switzerland) | 932 553 | 970 735 | 1 022 015 | 1 037 282 | 6,86% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 30 696 462 | 31 929 738 | 33 586 070 | 34 079 202 | 6,73% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 735 351 | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 51 050 365 | 52 328 779 | 55 079 679 | 55 891 355 | 6,81% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (UE and NCA) | | | | |
|--|--|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 44 682 | 348 774 | 356 119 | 356 119 | 2,11% |
| TOTAL | 44 682 | 348 774 | 356 119 | 356 119 | 2,11% |

3.3.2 Expenditure (UE and NCA)

3.3.2.1 Commitment appropriations (UE and NCA)

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 31 436 919 | 33 515 236 | 34 714 753 | 35 250 032 | 5,18% |
| Salaries & allowances | 27 865 246 | 29 575 822 | 30 564 470 | 31 044 349 | 4,97% |
| - Of which establishment plan posts | 22 433 420 | 23 507 442 | 24 194 321 | 24 496 724 | 4,21% |
| - Of which external personnel | 5 431 826 | 6 068 380 | 6 370 149 | 6 547 625 | 7,90% |
| Expenditure relating to Staff recruitment | 267 228 | 208 250 | 187 690 | 197 690 | -5,07% |
| Employer's pension contributions | 2 157 246 | 2 280 771 | 2 375 000 | 2 414 400 | 5,86% |
| Mission expenses | 17 298 | 34 518 | 39 194 | 39 194 | 13,55% |
| Socio-medical infrastructure | 671 600 | 819 737 | 865 511 | 865 511 | 5,58% |
| Training | 220 813 | 406 940 | 459 648 | 459 648 | 12,95% |
| External Services | 180 545 | 179 698 | 171 990 | 171 990 | -4,29% |
| Receptions, events and representation | 56 943 | 9 500 | 51 250 | 57 250 | 502,63% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 085 733 | 12 319 866 | 10 652 835 | 10 749 535 | -12,75% |
| Rental of buildings and associated costs | 3 904 926 | 4 148 361 | 4 677 230 | 4 752 230 | 14,56% |

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | 6 233 330 | 7 112 580 | 4 868 586 | 4 868 586 | -31,55% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 548 106 | 654 295 | 706 430 | 728 130 | 11,28% |
| Postage / Telecommunications | 46 670 | 48 000 | 48 000 | 48 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 352 701 | 356 630 | 352 589 | 352 589 | -1,13% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 797 626 | 6 842 451 | 10 068 210 | 10 247 907 | 49,77% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | 2 195 105 | 2 914 712 | 3 586 571 | 3 666 268 | 25,78% |
| Collection of information, developing and maintenance of a central European database | | | | | |
| IT solution assessment | 5 602 521 | 3 927 739 | 6 481 639 | 6 581 639 | 67,57% |
| TOTAL | 50 320 278 | 52 677 553 | 55 435 798 | 56 247 474 | 6,78% |

3.3.2.2 Payment appropriations (UE and NCA)

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | |
|---|-------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 31 436 919 | 33 515 236 | 34 714 753 | 35 250 032 | 5,18% |
| Salaries & allowances | 27 865 246 | 29 575 822 | 30 564 470 | 31 044 349 | 4,97% |
| - Of which establishment plan posts | 22 433 420 | 23 507 442 | 24 194 321 | 24 496 724 | 4,21% |
| - Of which external personnel | 5 431 826 | 6 068 380 | 6 370 149 | 6 547 625 | 7,90% |
| Expenditure relating to Staff recruitment | 267 228 | 208 250 | 187 690 | 197 690 | -5,07% |
| Employer's pension contributions | 2 157 246 | 2 280 771 | 2 375 000 | 2 414 400 | 5,86% |
| Mission expenses | 17 298 | 34 518 | 39 194 | 39 194 | 13,55% |

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | |
|---|-------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 671 600 | 819 737 | 865 511 | 865 511 | 5,58% |
| Training | 220 813 | 406 940 | 459 648 | 459 648 | 12,95% |
| External Services | 180 545 | 179 698 | 171 990 | 171 990 | -4,29% |
| Receptions, events and representation | 56 943 | 9 500 | 51 250 | 57 250 | 502,63% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 085 733 | 12 319 866 | 10 652 835 | 10 749 535 | -12,75% |
| Rental of buildings and associated costs | 3 904 926 | 4 148 361 | 4 677 230 | 4 752 230 | 14,56% |
| Information, communication technology and data processing | 6 233 330 | 7 112 580 | 4 868 586 | 4 868 586 | -31,55% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 548 106 | 654 295 | 706 430 | 728 130 | 11,28% |
| Postage / Telecommunications | 46 670 | 48 000 | 48 000 | 48 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 352 701 | 356 630 | 352 589 | 352 589 | -1,13% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 797 626 | 6 842 451 | 10 068 210 | 10 247 907 | 49,77% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | 2 195 105 | 2 914 712 | 3 586 571 | 3 666 268 | 25,78% |
| Collection of information, developing and maintenance of a central European database | | | | | |
| IT solution assessment | 5 602 521 | 3 927 739 | 6 481 639 | 6 581 639 | 67,57% |
| TOTAL | 50 320 278 | 52 677 553 | 55 435 798 | 56 247 474 | 6,78% |

3.3.3 Budget Outturn (UE and NCA)

The 2022 surplus that should be reimbursed to the EU budget: EUR 134 440.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports EBA's request for 189 Establishment Plan posts in 2024.

One of the Establishment Plan post (AD 6) is needed for the implementation of the Supervisory Digital Finance Academy (SDFA), a three-years project funded by DG REFORM. As from 2026, the post will be removed from EBA's establishment plan.

The Commission also supports EBA's request for 52 Contract Agent posts and 19 Seconded National Expert (SNE) posts for EBA in 2024.

In addition to the number of Contract Agents shown in the tables 1.4 Human Resources Overview and table 2.2.1 Contract Agents, EBA had been allowed one additional Contract Agent (Function Group IV) post for the implementation period (i.e. 2023-2025) of the Supervisory Digital Finance Academy (SDFA) project funded by DG REFORM. This post would be over and above the maximum number of external staff specified in the Agency Instructions of the Budgetary Circular for 2024 (i.e. the level agreed during the 2023 budget increased where appropriate for changes documented in the Legislative Financial Statements).

The Commission notes that the establishment plan includes no provision for AST/SC temporary agents and GF II contract agents. The Commission recommends EBA to assess which positions involve clerical or secretarial tasks and to convert these to AST/SC or GF II once the posts are vacant.

Financial Resources

The financial resources requested by EBA are in line with the technical update of the financial programming for 2024-2027.

However, as the co-legislators' process of adopting the Commission's proposal for a regulation establishing the Authority for Anti-Money Laundering and Countering the Financing of Terrorism (AMLA) is progressing more slowly than expected by the Commission, throughout 2024 EBA will need to continue implementing the AML related tasks included in the ESAs review (before these are taken over by AMLA when it is operational).

Therefore, on top of the EBA's request, the Commission requests an additional Union funding of EUR 303 277 for EBA to ensure the full coverage of the costs of the EBA's AML related tasks in 2024. Hence, the Commission requests a Union contribution to EBA of EUR 20 774 871 (of which EUR 20 640 431 is fresh credits) for 2024.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The EBA is requesting a total of 260 posts for 2024: 189 Temporary Agents (TAs) as part of the Establishment Plan, 52 Contract Agents (CAs) and 19 Seconded National Experts (SNEs).

The evolution of staffing compared to 2023 (+7) is grounded in additional posts for MiCA foreseen by the revised LFS, which has been reflected in the EP (i.e., the revised LFS foresees a total of 18 TA-AD fee funded posts, plus 2 TA-AD and 2 CA FG IV EU/NCA funded posts, up from 15 TA included in the 2022 EP). One additional CA is funded by DG REFORM via the SLA signed in 2022. This post is not included in the above tables.

While the EBA has put in place efficiency measures to redeploy and reprioritise staff and activities, the key resources constraint remains that most posts are only foreseen as fee funding for the oversight and supervisory activities from 2025 onwards. Hence, 2023 and 2024 can be easily interpreted as years of tensed resources stretch for the organisation.

4.1.2 Vacancy rate as of end 2022

The authorised establishment plan for 2022 shown in tables 1.4 and 2.1 includes 21 fee-funded posts proposed for the EBA in the MiCA and DORA LFS and adopted by the Budgetary Authority in the General Budget 2022. Given that the legislative processes for MiCA and DORA did not conclude in 2022 and that fee funding was not available to the EBA in

2022, it was not possible for the EBA to recruit these 21 posts. Excluding these posts, the occupation rate at the end of 2022 was 98% against the establishment plan of 162 Temporary Agent posts. The filled posts include two temporary agent offers that was made by 31 December 2022. The vacancy rate at the end of 2022 was therefore 2%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The EBA does not apply the standard abatement. For 2024, the EBA has budgeted for:

- 98.8% occupancy rate for TA positions (without MiCA and DORA fee-funded positions, none of which will be recruited in 2024, and not considering one TA for EU SDFAs, where the post is expected to be occupied throughout the year);
- 98.0% occupancy rate for CA positions (excluding MiCA fee funded positions, which will not be recruited, and not considering one CA for EU SDFAs, where the post is expected to be occupied throughout the year);
- 95% occupancy rate for SNE positions.

4.1.4 Salary assumption for calculating salary line (% applied)

The cost of the TA & CA posts is based on the actual costs for existing staff at the end of 2022, adjusted with 2.0 % indexation annually.

The budget projections include the EU/NCA-funded posts proposed for the EBA in the latest Legislative Financial Statements for MiCA and DORA, and an additional TA and CA for the EU Supervisory Digital Finance Academy (funded by DG REFORM).

4.1.5 Correction coefficient used

The correction coefficient used is 116.8 for the whole year.

4.1.6 Exchange rate used (if applicable)

The exchange rates applied are those of 30 September 2022, these being the reference rates as per the advice given in the Commission's Budget Circular for 2024, though these have little impact on the EBA budget as the vast majority of EBA financial transactions are denominated in euros.

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

The EBA will not levy fees in 2024 and will have no expenditure covered by fees.

4.2.1.2 Title 1 (UE and NCA)

The following assumptions drive the Title 1 expenditure:

Additional MFF staff for MiCA and DG REFORM-funded staff for the Supervisory Digital Finance Academy impacting the budget as full-time equivalents (FTEs);

Employer's pension contribution percentage: the double of the employee's contribution % (assumed = 10.1 % for 2023). Of this, 60 % of the employer's cost element goes through the EBA budget. This is funded fully by the NCA contributions, except for the SDFAs staff where pension costs are covered by the Commission;

SNE costs: the EBA expects an increase in the number of non-cost-free SNE, while still remaining within the permitted number of SNE;

Given the success of the trainee scheme to date, the EBA is expanding the scheme to increase the total number of trainees to 30;

For much of 2022, the EBA did not have a framework contract in place for medical services in Paris and so fewer staff had an annual medical visit in 2022. A framework contract was signed in 2022 and Paris-based medical services have been available to EBA staff since September 2022. The number of annual medicals being paid for is thus expected to return to normal levels from 2023 onwards;

With staffing increases, the EBA also sees increases in the number of children of EBA staff, which drives an increase in the education contribution cost;

Post-COVID, the EBA expects an increase in staff training activity.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

The EBA will not levy fees in 2024 and will have no expenditure covered by fees.

4.2.2.2 Title 2 (UE and NCA)

The French government's contribution of EUR 575,000 will to be used to pay for the lease costs of the Paris building. The EBA will receive the contribution as external assigned revenue.

In 2024, the EBA is budgeting for changes to its office layout, in line with the move to hybrid working and the need to accommodate new staff. As a modern employer, and after the European Commission issued its decision on the implementation of working time and flexible working, the EBA will aim to adapt its current office space to create a dynamic, collaborative space to enhance interaction and collaboration so as to enable employees to perform at their best.

As envisaged in the EBA's IT strategy, IT costs will continue to be driven by the implementation of the collaboration platform and security operations centre, ongoing cloudification, and modernisation of the EBA website. The EBA foresees a drop in Title 2 IT costs compared to 2023 of almost two million euros, as the period of transition to cloud and change of data centres is expected to have completed by 2024, resulting in this reduction in costs.

The EBA has assumed a significant increase in energy costs and is taking steps to mitigate it through energy reduction initiatives in line with the guidelines and recommendations of the European Commission, French government, and Paris La Défense authorities. In 2022, the EBA adjusted, among others, setpoint temperatures and schedules for heating and cooling and decreased the lightning brightness. Moreover, since 2021, the EBA procures 100% of its electricity from renewable energy sources.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

The EBA will not levy fees in 2024 and will have no expenditure covered by fees.

4.2.3.2 Title 3 (UE and NCA)

The Chapter 31 general operating costs budget includes the costs of: developing and running training for external entities; EBA-hosted meetings (BoS and MB, Banking Stakeholder Group, standing committees, sub-groups and working groups); and EBA business travel. The EBA is budgeting for a higher level of physical meetings and travel in 2024, while taking account of the EMAS targets on reductions of missions and in-person meetings by half.

Chapter 31 includes the cost of translations of guidelines, which for the EBA has always been a significant cost. In 2024, the translation cost is increased by the projected cost of translating MiCA and DORA guidelines, for which, in the opinion of EBA, the funding decided upon by the co-legislators and confirmed by the Budgetary Authority is insufficient.

Chapter 31 also includes subscriptions to data services - such as data on crypto-assets, ESG, and climate risk data - as well as ad-hoc data required for some consumer initiatives and FinTech work, and capital market data. Operational consulting is included in this chapter, and in 2024 is expected to include work on IFRS9, Pillar 3, DPM quality, and applications of AI/MLT.

The Chapter 32 information technology (IT) budget includes amounts for maintenance work on existing systems, EUCLID maintenance and new initiatives, support for integrated reporting, Pillar 3 disclosures work, data strategy initiatives, data analytics (SSBI), DRR, enterprise identity management, Colleges 2.0, EDAP dissemination platform, EuReCa, the collaboration platform, and cloudification. IT costs will also include ongoing support of these and other operational systems, and related software licenses.

The EBA's 2024 budget request for Title 3 is almost three million euros higher than in 2023. Of this amount, two million euros arises from MiCA and DORA costs for translation and IT systems. The balance is driven by other IT systems costs, with the reduction on Title 2 IT activity and costs freeing up resources for Title 3 IT activity.

4.3 Ad hoc grants and delegation agreements

In 2022, the EBA signed an SLA with DG REFORM whereby the EBA provides services to the EU Supervisory Digital Finance Academy (<https://eusdfa.eu.eu>) over a period of four years. Under the terms of this SLA, DG REFORM is funding one TA and one CA for three years, as well as other costs arising from the EBA's support to the EU SDFa.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---|----------------------------------|------------|--------------|------------------|---|----------------|--|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Tour Europlaza | 20 Avenue André Prothin, 92400 Courbevoie | 5 336 | 67 | 5 403 | 2 558 207 | 9 term lease with a break option after 6 year (conditional) | Lease Contract | Financial contribution from French State | |
| TOTAL | | | 5 336 | 67 | 5 403 | 2 558 207 | | | | |

5.1.2 Current building(s) Other comments

The EUR 2 558 207 amount for rent covers rental of the office, archive space, and car parking spaces.

Paris offices at La Défense

| | |
|--|----------------------------|
| Total sq. m. on all floors | 3 995 m ² |
| Shared areas including lift banks | 1 341 m ² |
| Archives (at level minus 4) | 67 m ² |
| TOTAL | 5 403 m² |
| Reception / Lobby | 184 m ² |
| Meeting rooms - Visitors | 527 m ² |
| Internal meeting rooms | 417 m ² |
| Storage / Print rooms/ Corridors | 1 183 m ² |
| Break out area visitors | 202 m ² |
| Break out area staff | 65 m ² |
| Open Plan (including individual offices) | 1 416 m ² |

5.1.3 Building projects in the planning phase

There is a growing recognition of the benefits of flexible working. As well as helping employees balance their professional and personal lives, flexible working can help the employer by increasing staff motivation and retention. As a modern employer, and after the European Commission issued its decision on the implementation of working time and flexible working, the EBA launched the feasibility study to explore and assess development opportunities in the existing premises. The study will also address significant increase in the number of posts related to the new mandates of MiCa and DORA. The results of the study are expected in the second half of 2023.

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 European schools

A Type II European School opened in Courbevoie in September 2019. The accreditation agreement was signed on 19 June 2020 with retroactive effect to 01/09/2019. The EBA concluded the “Mandate and Service Agreement” with the Commission on 30/11/2020. Further to the EU Contribution agreement concluded between the Commission and the Type II European School in Courbevoie on 16/06/2021, the EBA pays an EU financial contribution for the EBA staff members’ children attending the school as from the school year 2019/2020.

5.3 Evaluation

The EBA is subject to regular reviews by the EU institutions, in accordance with Article 81 of the EBA (and other two ESAs) Regulations. The most recent assessment report on the operation of the European Supervisory authorities (ESAs) was published on 23 May 2022. (https://finance.ec.europa.eu/system/files/2022-05/220523-esas-operations-report_en.pdf)

In this report, the Commission concluded that: ‘ Since the last ESA review in 2019, the ESAs have continued to perform their tasks efficiently and effectively, including during the recent challenging circumstances caused by the COVID19 pandemic.’

The Commission also identified ‘some areas where improvements could be implemented with no need for legislative changes, and will cooperate with the ESAs to assess this further, mainly with the aim ‘to promote supervisory convergence and consistent supervision, which is a key building block in creating a genuine Capital Markets Union.’

In particular, the Commission underlined the increasing number of cross-sectoral tasks and topics that must be dealt with by the ESAs as part of the Joint Committee. As a consequence, the Commission invited the ESAs to reflect on desirable changes that could be made to the framework in the future to ensure sufficient resources and improve the decision-making process. The Commission also invited the ESAs to provide this advice to the Commission by the end of 2023. Further accountability and evaluations are ensured via following institutions and channels:

- European Parliament, in its role as authority responsible for the discharge of the EBA’s financial statements, but also by way of the yearly hearing the EBA Chairman attends at the EPs ECON committee
- The European Court of Auditors and the European Commission’s Internal Audit Services and the yearly audits.
- Publication of the EBA’S Consolidated Annual Activity Report (and Annual Report) which provide(s) an overview of the execution of the work programme and more detail on the above external evaluations.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|---|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>EBA enjoys the privileges stipulated in the Protocol on the Privileges and Immunities of the European Communities.</p> <p>Furthermore, the draft Agreement between the French Government and the Agency stipulates the following:</p> <ul style="list-style-type: none"> - The Authority, its assets, income and other property are exempt from all direct taxes; - the French Government shall take appropriate measures to remit or refund the amount of indirect duties and sales taxes included in the prices of real estate or movable property and services where the Commission or the Authority carries out, for the official use of the Authority, significant purchases the price of which includes duties and taxes of this kind. The articles so acquired shall not be assigned for consideration or free of charge in the territory of the French Republic, unless it is on the conditions approved by the Government; - The Authority shall be exempt from all customs duties, prohibitions and restrictions on imports and exports in respect of articles intended for its official use. The articles so imported shall not be assigned for consideration or free of charge in the territory of the French Republic, unless it is on conditions approved by the Government; - The Authority is exempt from any customs duties and prohibitions and restrictions on imports and exports in respect of its publications; - The Authority is entitled to three license plates for service vehicles in the Preferred CD Series. | <p>Articles 12 to 16 of the Protocol on the Privileges and Immunities of the European Communities are applicable to the staff of the Agency. This includes:</p> <ol style="list-style-type: none"> 1) Immunity from jurisdiction as regards acts carried out by them in their official capacity; 2) Exemption from regulations restricting immigration and formalities for the registration of foreigners; 3) Right to import household effects from their last country of residence or from the country of which they are nationals free of customs duty and VAT. <p>Furthermore, the draft Agreement between the French Government and the Agency stipulates the following:</p> <ul style="list-style-type: none"> -The Government shall issue to each staff member (other than those of French nationality and those who are permanent residents in France), after having been informed of their appointment, a special residence permit of the FI category which identifies them as a staff member of the Authority. The Government shall facilitate the issue of residence permits and work permits to members of the family of staff of the Authority and seconded national experts (other than those of French nationality and those who are permanent residents of France); - Staff members have the right to import duty-free motor vehicles for personal use acquired in the State of their last residence or in the State of which they are nationals on the conditions of the latter's internal market and to re-export them in subject, in both cases, to the conditions deemed necessary by the Government; -Staff member may purchase a VAT free motor vehicle within 12 months of first entry in the French territory; -Staff members who, because of only in the exercise of their functions in the service of the Authority, establish their residence in the territory of the French Republic, are exempt from inheritance tax on movable property in France. | <p>An Accredited European School was created by the French State in La Défense, Paris. The Mandate and Service Agreement between the EBA and the Commission was concluded in November 2020, facilitating the payment of an EU financial contribution towards the Accredited European School Paris La Défense. The Accredited European School grants free-of-charge priority enrolment for the children of the EBA staff. The Accredited European School then grants free-of-charge priority enrolment for the children of EBA staff.</p> <p>For children who do not attend this school, education allowances are determined and paid when due.</p> |

2.1.8 European Insurance and Occupational Pensions Authority – EIOPA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|--|
| Regulation (EU) No. 1094/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision 716/2009/EC and repealing Commission Decision 2009/79/EC | 24/11/2010 | The Authority shall act within the powers conferred by this Regulation and within the scope of Directive 2009/138/EC with the exception of Title IV thereof, of Directives 2002/92/EC, 2003/41/EC, 2002/87/EC, 64/225/EEC, 73/239/EEC, 73/240/EEC, 76/580/EEC, 78/473/EEC, 84/641/EEC, 87/344/EEC, 88/357/EEC, 92/49/EEC, 98/78/EC, 2001/17/EC, 2002/83/EC, 2005/68/EC and, to the extent that those acts apply to insurance undertakings, reinsurance undertakings, institutions for occupational retirement provision and insurance intermediaries, within the relevant parts of Directives 2005/60/EC and 2002/65/EC, including all directives, regulations, and decisions based on those acts, and of any further legally binding Union act which confers tasks on the Authority |
| Regulation (EU) 2019/2175 of the European Parliament and of the Council of 18 December 2019, amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority), Regulation (EU) No 1094/2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority), Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds. | 18/12/2019 | Modifications in the mandate and governance in order to ensure stronger and more integrated supervision across the EU. |
| Regulation (EU) 2022/2554 of the European Parliament and of the Council of 14 December 2022 on digital operational resilience for the financial sector and amending Regulations (EC) No 1060/2009, (EU) No 648/2012, (EU) No 600/2014, (EU) No 909/2014 and (EU) 2016/1011 | 14/12/2022 | The regulation will further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks arising from it. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. |

1.2 Seat

Frankfurt am Main, Germany

1.3 Budget Line

03 10 03 : European Insurance and Occupational Pensions Authority (EIOPA)

1.4 Human Resource Overview EIOPA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 129 | 123 | 95,35% | 130 | 130 |
| Assistants (AST) | 15 | 14 | 93,33% | 15 | 15 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 144 | 137 | 95,14% | 145 | 145 |
| Contract Agents (CA) | 42 | 36 | 85,71% | 42 | 42 |
| Seconded National Experts (SNE) | 29 | 24 | 82,76% | 29 | 29 |
| TOTAL STAFF | 215 | 197 | 91,63% | 216 | 216 |

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview EIOPA

1.5.1.1 Revenues Overview EIOPA

1.5.1.1.1 General revenues EIOPA

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 13 470 715 | 13 740 130 |
| Other Revenue | 22 188 072 | 22 674 195 |
| TOTAL REVENUES | 35 658 787 | 36 414 325 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements EIOPA

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 952 292 | 341 123 |
| TOTAL REVENUES | 952 292 | 341 123 |

1.5.1.2 Expenditure Overview EIOPA

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 26 159 065 | 26 159 065 | 27 123 927 | 27 123 927 |
| Title 2 - Infrastructure and operating expenditure | 3 559 840 | 3 559 840 | 3 692 260 | 3 692 260 |
| Title 3 - Operational expenditure | 6892174 | 6892174 | 5939261 | 5939261 |
| TOTAL EXPENDITURE | 36 611 079 | 36 611 079 | 36 755 448 | 36 755 448 |

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| EU contribution | | | | |
| Other Revenue | | | | |
| TOTAL REVENUES | | | | |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | 2023 | | 2024 | |
|--|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | | | | |

1.5.2.2 Expenditure Overview (Fees)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | |
| Title 3 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | | | | |

1.5.3 Financial Resources Overview (UE and NCA)

1.5.3.1 Revenues Overview (UE and NCA)

1.5.3.1.1 General revenues (UE and NCA)

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|-------------------|-----------------|-------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| EU contribution | | 13 470 715 | | 13 740 130 |
| Other Revenue | | 22 188 072 | | 22 674 195 |
| TOTAL REVENUES | | 35 658 787 | | 36 414 325 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 952 292 | 341 123 |
| TOTAL REVENUES | 952 292 | 341 123 |

1.5.3.2 Expenditure Overview (UE and NCA)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 26 159 065 | 26 159 065 | 27 123 927 | 27 123 927 |
| Title 2 - Infrastructure and operating expenditure | 3 559 840 | 3 559 840 | 3 692 260 | 3 692 260 |
| Title 3 - Operational expenditure | 6 892 174 | 6 892 174 | 5 939 261 | 5 939 261 |
| TOTAL EXPENDITURE | 36 611 079 | 36 611 079 | 36 755 448 | 36 755 448 |

2 Human Resources

2.1 Establishment plan posts EIOPA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | | | 1 | | 1 | | 1 |
| AD 15 | | 1 | | 2 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | | | 1 | | 2 | | 2 |
| AD 13 | | 3 | | 2 | | 4 | | 4 | | 4 |
| AD 12 | | 5 | | 4 | | 6 | | 7 | | 7 |
| AD 11 | | 6 | | 5 | | 7 | | 9 | | 9 |
| AD 10 | | 12 | | 9 | | 14 | | 15 | | 15 |
| AD 9 | | 18 | | 13 | | 18 | | 19 | | 19 |
| AD 8 | | 15 | | 10 | | 18 | | 21 | | 21 |
| AD 7 | | 23 | | 29 | | 24 | | 23 | | 23 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 6 | | 25 | | 26 | | 22 | | 17 | | 17 |
| AD 5 | | 19 | | 23 | | 14 | | 11 | | 11 |
| AD TOTAL | | 129 | | 123 | | 130 | | 130 | | 130 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | 1 | | 2 | | 2 |
| AST 7 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AST 6 | | 3 | | 2 | | 4 | | 4 | | 4 |
| AST 5 | | 7 | | 5 | | 6 | | 6 | | 6 |
| AST 4 | | 3 | | 6 | | 2 | | 1 | | 1 |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 15 | | 14 | | 15 | | 15 | | 15 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 144 | | 137 | | 145 | | 145 | | 145 |
| GRAND TOTAL | | 144 | | 137 | | 145 | | 145 | | 145 |

2.2 External personnel EIOPA

2.2.1 Contract Agents EIOPA

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 19 | 12 | 19 | 19 |
| Function Group III | 22 | 23 | 22 | 22 |
| Function Group II | 1 | 1 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 42 | 36 | 42 | 42 |

2.2.2 Seconded National Experts EIOPA

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 29 | 24 | 29 | 29 |

3 Financial Resources

3.1 Financial Resources EIOPA

3.1.1 Revenues EIOPA

3.1.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 12 932 000 | 13 470 715 | 13 740 130 | 13 740 130 | 2,00% |
| - Of which assigned revenues deriving from previous years' surpluses | 79 768 | 102 838 | 202 683 | 202 683 | 97,09% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 632 917 | 666 308 | 680 907 | 680 907 | 2,19% |
| - Of which EEA/EFTA (excl. Switzerland) | 632 917 | 666 308 | 680 907 | 680 907 | 2,19% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 20 443 204 | 21 521 764 | 21 993 288 | 21 993 288 | 2,19% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 34 008 121 | 35 658 787 | 36 414 325 | 36 414 325 | 2,12% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 870 457 | 952 292 | 341 123 | 341 123 | -64,18% |
| TOTAL | 870 457 | 952 292 | 341 123 | 341 123 | -64,18% |

3.1.2 Expenditure EIOPA

3.1.2.1 Commitment appropriations EIOPA

| EXPENDITURE | Commitment appropriations EIOPA | | | | |
|--|---------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 885 596 | 26 159 065 | 27 123 927 | 27 123 927 | 3,69% |
| Salaries & allowances | 19 508 884 | 22 725 065 | 23 571 927 | 23 571 927 | 3,73% |
| - Of which establishment plan posts | 15 822 558 | 17 746 915 | 18 515 503 | 18 515 503 | 4,33% |
| - Of which external personnel | 3 686 326 | 4 978 150 | 5 056 424 | 5 056 424 | 1,57% |
| Expenditure relating to Staff recruitment | 240 000 | 178 000 | 162 000 | 162 000 | -8,99% |
| Employer's pension contributions | 1 678 120 | 1 982 000 | 2 064 000 | 2 064 000 | 4,14% |
| Mission expenses | 47 155 | | | | |
| Socio-medical infrastructure | 88 200 | 70 000 | 70 000 | 70 000 | 0,00% |
| Training | 253 845 | 235 000 | 230 000 | 230 000 | -2,13% |
| External Services | 455 923 | 235 000 | 295 000 | 295 000 | 25,53% |
| Receptions, events and representation | 3 000 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 590 469 | 710 000 | 707 000 | 707 000 | -0,42% |
| Other Staff related expenditure | 20 000 | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 3 386 300 | 3 559 840 | 3 692 260 | 3 692 260 | 3,72% |
| Rental of buildings and associated costs | 2 723 600 | 2 806 340 | 2 931 510 | 2 931 510 | 4,46% |
| Information, communication technology and data processing | 391 500 | 511 500 | 513 750 | 513 750 | 0,44% |
| Movable property and associated costs | 17 600 | 38 000 | 38 000 | 38 000 | 0,00% |
| Current administrative expenditure | 108 000 | 105 000 | 110 000 | 110 000 | 4,76% |
| Postage / Telecommunications | 102 300 | 64 000 | 64 000 | 64 000 | 0,00% |
| Meeting expenses | 10 000 | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 33 300 | 35 000 | 35 000 | 35 000 | 0,00% |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations EIOPA | | | | |
|--|---------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 606 681 | 6 892 174 | 5 939 261 | 5 939 261 | -13,83% |
| TOTAL | 34 878 577 | 36 611 079 | 36 755 448 | 36 755 448 | 0,39% |

3.1.2.2 Payment appropriations EIOPA

| EXPENDITURE | Payment appropriations EIOPA | | | | |
|---|------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 885 596 | 26 159 065 | 27 123 927 | 27 123 927 | 3,69% |
| Salaries & allowances | 19 508 884 | 22 725 065 | 23 571 927 | 23 571 927 | 3,73% |
| - Of which establishment plan posts | 15 822 558 | 17 746 915 | 18 515 503 | 18 515 503 | 4,33% |
| - Of which external personnel | 3 686 326 | 4 978 150 | 5 056 424 | 5 056 424 | 1,57% |
| Expenditure relating to Staff recruitment | 240 000 | 178 000 | 162 000 | 162 000 | -8,99% |
| Employer's pension contributions | 1 678 120 | 1 982 000 | 2 064 000 | 2 064 000 | 4,14% |
| Mission expenses | 47 155 | | | | |
| Socio-medical infrastructure | 88 200 | 70 000 | 70 000 | 70 000 | 0,00% |
| Training | 253 845 | 235 000 | 230 000 | 230 000 | -2,13% |
| External Services | 455 923 | 235 000 | 295 000 | 295 000 | 25,53% |
| Receptions, events and representation | 3 000 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 590 469 | 710 000 | 707 000 | 707 000 | -0,42% |
| Other Staff related expenditure | 20 000 | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 3 386 300 | 3 559 840 | 3 692 260 | 3 692 260 | 3,72% |
| Rental of buildings and associated costs | 2 723 600 | 2 806 340 | 2 931 510 | 2 931 510 | 4,46% |
| Information, communication technology and data processing | 391 500 | 511 500 | 513 750 | 513 750 | 0,44% |
| Movable property and associated costs | 17 600 | 38 000 | 38 000 | 38 000 | 0,00% |
| Current administrative expenditure | 108 000 | 105 000 | 110 000 | 110 000 | 4,76% |

| EXPENDITURE | Payment appropriations EIOPA | | | | |
|---|------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | 102 300 | 64 000 | 64 000 | 64 000 | 0,00% |
| Meeting expenses | 10 000 | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 33 300 | 35 000 | 35 000 | 35 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 606 681 | 6 892 174 | 5 939 261 | 5 939 261 | -13,83% |
| TOTAL | 34 878 577 | 36 611 079 | 36 755 448 | 36 755 448 | 0,39% |

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

| REVENUES | General revenues (Fees) | | | | |
|---|-------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | | | | | |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | | | | | |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees) | | | | |
|--|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|---|----------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | | |
| Salaries & allowances | | | | | |
| - Of which establishment plan posts | | | | | |
| - Of which external personnel | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | | |
| Rental of buildings and associated costs | | | | | |

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|---|----------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | | | | |
| TOTAL | | | | | |

3.2.2.2 Payment appropriations (Fees)

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|---|-------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | | |
| Salaries & allowances | | | | | |
| - Of which establishment plan posts | | | | | |
| - Of which external personnel | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|---|-------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | | |
| Rental of buildings and associated costs | | | | | |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | | | | |
| TOTAL | | | | | |

3.2.3 Budget Outturn (Fees)

3.3 Financial Resources (UE and NCA)

3.3.1 Revenues (UE and NCA)

3.3.1.1 General revenues (UE and NCA)

| REVENUES | General revenues (UE and NCA) | | | | |
|---|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 12 932 000 | 13 470 715 | 13 740 130 | 13 740 130 | 2,00% |
| - Of which assigned revenues deriving from previous years' surpluses | 79 768 | 102 838 | 202 683 | 202 683 | 97,09% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 632 917 | 666 308 | 680 907 | 680 907 | 2,19% |
| - Of which EEA/EFTA (excl. Switzerland) | 632 917 | 666 308 | 680 907 | 680 907 | 2,19% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 20 443 204 | 21 521 764 | 21 993 288 | 21 993 288 | 2,19% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 34 008 121 | 35 658 787 | 36 414 325 | 36 414 325 | 2,12% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (UE and NCA) | | | | |
|--|--|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 870 457 | 952 292 | 341 123 | 341 123 | -64,18% |
| TOTAL | 870 457 | 952 292 | 341 123 | 341 123 | -64,18% |

3.3.2 Expenditure (UE and NCA)

3.3.2.1 Commitment appropriations (UE and NCA)

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 885 596 | 26 159 065 | 27 123 927 | 27 123 927 | 3,69% |
| Salaries & allowances | 19 508 884 | 22 725 065 | 23 571 927 | 23 571 927 | 3,73% |
| - Of which establishment plan posts | 15 822 558 | 17 746 915 | 18 515 503 | 18 515 503 | 4,33% |
| - Of which external personnel | 3 686 326 | 4 978 150 | 5 056 424 | 5 056 424 | 1,57% |
| Expenditure relating to Staff recruitment | 240 000 | 178 000 | 162 000 | 162 000 | -8,99% |
| Employer's pension contributions | 1 678 120 | 1 982 000 | 2 064 000 | 2 064 000 | 4,14% |
| Mission expenses | 47 155 | | | | |
| Socio-medical infrastructure | 88 200 | 70 000 | 70 000 | 70 000 | 0,00% |
| Training | 253 845 | 235 000 | 230 000 | 230 000 | -2,13% |
| External Services | 455 923 | 235 000 | 295 000 | 295 000 | 25,53% |
| Receptions, events and representation | 3 000 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 590 469 | 710 000 | 707 000 | 707 000 | -0,42% |
| Other Staff related expenditure | 20 000 | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 3 386 300 | 3 559 840 | 3 692 260 | 3 692 260 | 3,72% |
| Rental of buildings and associated costs | 2 723 600 | 2 806 340 | 2 931 510 | 2 931 510 | 4,46% |
| Information, communication technology and data processing | 391 500 | 511 500 | 513 750 | 513 750 | 0,44% |
| Movable property and associated costs | 17 600 | 38 000 | 38 000 | 38 000 | 0,00% |
| Current administrative expenditure | 108 000 | 105 000 | 110 000 | 110 000 | 4,76% |
| Postage / Telecommunications | 102 300 | 64 000 | 64 000 | 64 000 | 0,00% |
| Meeting expenses | 10 000 | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 33 300 | 35 000 | 35 000 | 35 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|-----------------------------------|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 8 606 681 | 6 892 174 | 5 939 261 | 5 939 261 | -13,83% |
| TOTAL | 34 878 577 | 36 611 079 | 36 755 448 | 36 755 448 | 0,39% |

3.3.2.2 Payment appropriations (UE and NCA)

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | |
|---|-------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 885 596 | 26 159 065 | 27 123 927 | 27 123 927 | 3,69% |
| Salaries & allowances | 19 508 884 | 22 725 065 | 23 571 927 | 23 571 927 | 3,73% |
| - Of which establishment plan posts | 15 822 558 | 17 746 915 | 18 515 503 | 18 515 503 | 4,33% |
| - Of which external personnel | 3 686 326 | 4 978 150 | 5 056 424 | 5 056 424 | 1,57% |
| Expenditure relating to Staff recruitment | 240 000 | 178 000 | 162 000 | 162 000 | -8,99% |
| Employer's pension contributions | 1 678 120 | 1 982 000 | 2 064 000 | 2 064 000 | 4,14% |
| Mission expenses | 47 155 | | | | |
| Socio-medical infrastructure | 88 200 | 70 000 | 70 000 | 70 000 | 0,00% |
| Training | 253 845 | 235 000 | 230 000 | 230 000 | -2,13% |
| External Services | 455 923 | 235 000 | 295 000 | 295 000 | 25,53% |
| Receptions, events and representation | 3 000 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 590 469 | 710 000 | 707 000 | 707 000 | -0,42% |
| Other Staff related expenditure | 20 000 | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 3 386 300 | 3 559 840 | 3 692 260 | 3 692 260 | 3,72% |
| Rental of buildings and associated costs | 2 723 600 | 2 806 340 | 2 931 510 | 2 931 510 | 4,46% |
| Information, communication technology and data processing | 391 500 | 511 500 | 513 750 | 513 750 | 0,44% |
| Movable property and associated costs | 17 600 | 38 000 | 38 000 | 38 000 | 0,00% |
| Current administrative expenditure | 108 000 | 105 000 | 110 000 | 110 000 | 4,76% |
| Postage / Telecommunications | 102 300 | 64 000 | 64 000 | 64 000 | 0,00% |

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | |
|---|-------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Meeting expenses | 10 000 | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 33 300 | 35 000 | 35 000 | 35 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 606 681 | 6 892 174 | 5 939 261 | 5 939 261 | -13,83% |
| TOTAL | 34 878 577 | 36 611 079 | 36 755 448 | 36 755 448 | 0,39% |

3.3.3 Budget Outturn (UE and NCA)

The EIOPA budget outturn 2022 is EUR 506 708.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports EIOPA's request for 145 Establishment Plan posts, 42 Contract Agent posts and 29 Seconded National Expert posts for 2024.

One of the Establishment Plan post (AD 6) is needed for the implementation of the Supervisory Digital Finance Academy (SDFA), a three-years project funded by DG REFORM. As from 2026, the post will be removed from EIOPA's establishment plan.

In addition to the number of Contract Agents shown in the tables 1.4 Human Resources Overview and table 2.2.1 Contract Agents, EIOPA had been allowed one additional Contract Agent (Function Group IV) post for the implementation period (i.e. 2023-2025) of the Supervisory Digital Finance Academy (SDFA) project funded by DG REFORM. This post would be over and above the maximum number of external staff specified in the Agency Instructions of the Budgetary Circular for 2024 (i.e. the level agreed during the 2023 budget increased where appropriate for changes documented in the Legislative Financial Statements).

The Commission notes that the establishment plan includes no provision for AST/SC temporary agents and foresees only one GF II contract agents. The Commission recommends EIOPA to assess which positions involve clerical or secretarial tasks and to convert these to AST/SC or FG II once the posts are vacant.

Financial Resources

The Union contribution EIOPA requested for 2024 is in line with the technical update of the financial programming for 2024-2027.

Hence, the Commission supports a Union contribution to EIOPA for 2024 of EUR 13 740 130 (of which EUR 13 537 447 is fresh credits).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EIOPA requests a total of 145 posts.

These include:

- 5 TA/AD fee-funded posts and 1 TA/AST fee-funded post; EIOPA's indicative share of the 15 fee-funded TA/AD posts and 3 TA/AST posts (two fee-funded) to be allocated between the EBA, EIOPA and ESMA as foreseen in the revised LFS to the proposal for regulation on digital operational resilience for the financial sector (DORA).

Although the Regulation (EU) 2022/2554 has been adopted, the fee funded posts will not be filled until 2025 when the direct oversight is to start. It is currently assumed that the ESAs would bear an equal workload to implement the legislation. However, this would be reviewed in the context of annual budgetary exercises and if an ESA were to bear a significantly higher workload than the others then the allocation of posts between the three ESAs would be adjusted accordingly.

From 2022 onwards internal redeployment of human resources has been necessary as preparatory work for DORA has started, however, planned posts for DORA cannot be filled until 2025.

- 1 TA/AD post for 36 months for the Supervisory Digital Finance Academy (SDFA) funded by the DG REFORM service level agreement (SLA) signed in 2022.

The staffing tables do not include the following CA posts funded by the DG REFORM as foreseen in the SLA signed in 2022:

1 CA FGIV post for SDFA for 36 months,

1 CA FGIV post for Transition to forward-looking IORP supervision in Ireland (TSI IE) for 12 months,

1 CA FGIV post for Development of a risk assessment framework and supervisory methodology including mystery shopping in Hungary (TSI HU) for 15 months.

4.1.2 Vacancy rate as of end 2022

The actual occupancy rate of Establishment Plan for 2022 is 99 % (i.e., 138 posts, excluding DORA posts, of which 137 filled in).

Although Regulation (EU) 2022/2554 was adopted on 14 December 2022, the foreseen 5 TA/AD and 1 TA/AST posts, all fee funded, shall not be filled until 2025 when the direct oversight work shall begin. Consequently, the occupancy rate showed in the AFS tables (95,14%) is lower than the actual occupancy rate of 99% (i.e. 138 posts, excluding the DORA posts, of which 137 filled in).

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

Full occupancy has been considered for 2024.

The following assumptions have been considered in estimations for salaries: 4,4 % increase as from July 2023 and 3,4 % increase as from July 2024. Effect of reclassification estimated at 1,5 %, and co-efficient at 1,0 %.

4.1.5 Correction coefficient used

The correction coefficient applicable for EIOPA as of July 2022 is 100.6 %. An increase of 1,0 % for the coefficient has been anticipated for the year 2024.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

4.2.1.2 Title 1 (UE and NCA)

The Board of Supervisors adopts the EIOPA budget as a part of the SPD after it is approved by the EU's Budgetary Authority (Council of the European Union and European Parliament).

EIOPA is financed 40 % from Union funds and 60 % through contributions from the Member States, which are calculated according to the weighting of votes set out in Article 3(3) of the Protocol (No 36) on transitional provisions and EFTA contributions calculated in accordance with the weighting factor applicable for the EFTA states.

Since 2016, the Member States and EFTA states pay 60 % of the employer's contributions to the EU pension scheme in accordance with Article 83a of the Staff regulations. Any surplus (n-2) will be set off against the contributions due according to the above model and therefore reduces the relevant contributions.

EIOPA planned the resources in line with the current MFF technical financial programming. Since 2023, it includes an increase for salary expenditure due to indexation applied in line with the Staff Regulations.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

4.2.2.2 Title 2 (UE and NCA)

Title II represents fixed running costs for the building, IT hardware, software and maintenance costs, infrastructure costs, administrative expenditure like office supplies, insurance, and telecommunications charges.

For 2024 EIOPA factored in the expected increases in rental and associated costs (i.e., 6 % for 2024; a permanent 10 % increase in utilities since 2022; and an estimated electricity bills increase of 6% for 2024), and some other service costs.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

4.2.3.2 Title 3 (UE and NCA)

Title III covers operational IT costs, IT projects (Cloud Adoption and Migration Programme), key core-business projects to improve data collection, analytics, repository and registers, consumer research, catering for external meetings, costs relating to Stakeholder Group meetings, and training and events programme (EIOPA Annual Conference, Sustainable Finance Roundtable).

4.3 Ad hoc grants and delegation agreements

In 2024, EIOPA shall receive additional revenue amounting to EUR 341 123 through a service level agreement (SLA) with the European Commission's DG REFORM signed in 2022. The SLA includes three Technical Support Instrument (TSI) projects:

EU Supervisory Digital Finance Academy (SDFA); Transition to forward-looking IORP supervision in Ireland (TSI IE); Development of a risk assessment framework and supervisory methodology including mystery shopping in Hungary (TSI HU).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--|----------------------------------|------------|--------------|------------------|--|--|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Westhafen Tower | Westhafen Platz 1, Frankfurt am Main, D-60327, Germany | 4 664 | 46 | 4 710 | 2 073 015 | Rental contract with fixed duration until 30.06.2028 | The contract defines the price per square metre, which is subject to indexation. | N/A | |
| TOTAL | | | 4 664 | 46 | 4 710 | 2 073 015 | | | | |

5.1.2 Current building(s) Other comments

In 2019, following the advice from the European Court of Auditors, EIOPA undertook a re-negotiation of the original conditions of the lease contract. As a result of these negotiations, a 12th contract amendment was signed in June 2019 and entered into force on 1 July 2019. It consolidates the provisions of the original contract and the preceding amendments and harmonises the rental conditions:

- The lease contract will terminate on 30 June 2028 for all floors rented by EIOPA, including the additional half-floor acquired in 2020.
- The rental fee as of 1 July 2019 was set at EUR 29.30/month/m² and was subsequently revised to the current rate of EUR 32.60/month/m² as per the indexation clause included in the lease contract. The adjustment is prompted if the price index increase exceeds 2% compared to the date of the last rental fee adjustment.
- The revised contract specifies two exceptional rights to terminate the contract before the agreed lease end date: Firstly, EIOPA is entitled to an early contract termination in case the Authority is closed, or its seat is transferred to a location outside Germany. Secondly, in case of need for further expansion, an early contract termination can be triggered if the proprietor is unable or unwilling to make up to two additional floors available for renting by EIOPA within a timeframe of 24 months following the request. Under both exceptional termination clauses, compensation payments must be borne by EIOPA.

5.1.3 Building projects in the planning phase

To replace outdated IT/AV hardware and to further improve its meeting facilities with a view towards the increasing number of virtual and hybrid meetings, EIOPA has launched a project to modernise the conference area on the 28th floor as well as other meeting rooms. The current planning stage of the project seeks to establish user requirements and develop initial concept options by June 2023. The scope of the foreseen modernisation is expected to remain well below the reporting and approval thresholds for building projects, as laid out in Article 266 of the Regulation (EU, Euratom) 2018/1046.

5.1.4 Building projects submitted to the European Parliament and the Council

No building projects have been submitted to the European Parliament and the Council. At the time of writing, no further major refurbishment, development activities or expansions of the current rental space are foreseen by the Authority.

5.2 European schools

EIOPA's staff members have access to the European School in Frankfurt for their children. EIOPA has signed in 2019 an agreement with the Directorate General for Human Resources and is paying contribution towards the school fees since the school year 2019/2020.

5.3 Evaluation

An annual report of the activities of the Authority will be submitted to the Budgetary Authority before 15th of June every year, in line with Art. 43.5 of EIOPA's Founding Regulation and Art. 102 Approval of the final accounts of EIOPA's Financial Regulation.

EIOPA’s founding regulation Article 81 stipulates that the Commission shall conduct an evaluation and publish “a general report on the experience acquired because of the operation of EIOPA and the procedures laid down in the Regulation. That report shall evaluate, inter alia, (a) the effectiveness and convergence in supervisory practices reached by competent authorities, (b) the functioning of the colleges of supervisors; (c) the progress achieved towards convergence in the fields of crisis prevention, management and resolution, including Union funding mechanisms; (d) the role of the Authority as regards systemic risk; (e) the application of the safeguard clause established in Article 38; (f) the application of the binding mediation role established in Article 19: and the functioning of the Joint Committee”.

The Commission’s report was published in May 2022²⁹, and positively evaluated the operations of the ESAs. The next report is expected by year-end 2024.

According to Article 3 in EIOPA’s founding regulation, EIOPA is furthermore accountable to the European Parliament. For instance, at the request of the European Parliament, the Chairperson of EIOPA shall participate every year, along with the Chairpersons of the other European Supervisory Authorities, in a hearing before the European Parliament’s Committee on Economic and Monetary Affairs on the performance of the Authorities. Furthermore, EIOPA’s Chairperson shall make a statement before the European Parliament and answer any questions from its members, whenever so requested.

EIOPA is furthermore subject to review by the European Court of Auditors and the European Commission Internal Audit Service.

In addition, EIOPA has developed internal policies and procedures for performance monitoring and evaluation. These policies and procedures address strategic, operational, and individual performance levels. In accordance with organisational best practices, EIOPA formulates KPIs to enable a structured assessment of performance progress. The KPIs are assessed by EIOPA’s Management Board and Board of Supervisors on a regular basis and are included in EIOPA’s annual activity reports.

EIOPA establishes on an annual basis an implementation plan that allows monitoring of progress in delivery of products and services as well as the KPIs. Progress is reported to EIOPA’s Management Board and Board of Supervisors on a regular basis and allows proactive reprioritisations of resources at both EIOPA and NCA level. The data also feeds into the assessments made by the EIOPA Quality Committee, composed of a sub-set of Board Members, that provide advice to the EIOPA Executive Director on possible organisational adjustments to cater to the needs of the NCAs.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| EIOPA benefits from the privileges granted by the Protocol of Privileges and Immunities to EU organisations and institutions (mainly from the VAT exemption of supplier invoices). | Headquarter agreement signed on 18 October 2011 with the Government of the German Federal Republic. | |

²⁹ https://ec.europa.eu/info/publications/220523-esas-operations-report_en

2.1.9 European Securities and Market Authority - ESMA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|---|
| Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority). | 24/11/2010 | Establishment of ESMA as an EU Authority that contributes to safeguarding the stability of the EU's financial system by ensuring the integrity, transparency, efficiency and orderly functioning of securities markets, as well as enhancing investor protection. |
| Regulation (EU) N° 513/2011 on credit rating agencies. | 11/05/2011 | Grant ESMA competence for the direct supervision of Credit Rating Agencies in the EU. |
| Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR). | 04/07/2012 | Grants ESMA competence for the direct supervision of Trade Repositories in the EU. |
| Directive 2014/65/EU on markets in financial instruments. | 15/05/2014 | MiFID II |
| Regulation (EU) No 600/2014 on markets in financial instruments. | 15/05/2014 | MiFIR |
| Directive 2014/91/EU on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS). | 23/07/2014 | UCITS Directive |
| Regulation (EU) 2015/2365 on transparency of securities financing transactions. | 25/11/2015 | Extend ESMA competence for the direct supervision of trade repositories in the EU |
| Commission Delegated Regulation (EU) 2017/653 on key information documents for packaged retail and insurance-based investment products (PRIIPs). | 08/03/2017 | PRIIPs delegated regulation |
| Regulation (EU) 2017/1129 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market. | 14/06/2017 | Prospectus Regulation |
| Regulation (EU) 2017/1131 for money market funds (MMFs) established, managed or marketed in the Union. | 14/06/2017 | Money Market Funds |
| Regulation (EU) 2017/2402 laying down a specific framework for simple, transparent and standardised securitisation. | 12/12/2017 | Grants ESMA competence for the direct supervision of Securitisation Repositories in the EU. |
| Regulation (EU) 2019/1156 on facilitating cross-border distribution of collective investment undertakings and amending Regulations (EU) No 345/2013, (EU) No 346/2013 and (EU) No 1286/2014 | 20/06/2019 | An increased cross-border investment flow. Consumers have access to safe and reliable insurance, pension and UCITS products and services, both nationally and across borders. |
| Regulation (EU) 2019/2099 amending Regulation (EU) No 648/2012 as regards the procedures and authorities involved for the authorisation of CCPs and requirements for the recognition of third-country CCPs | 23/10/2019 | Enhanced supervision of CCPs at EU level. More involvement of central banks of issue in the supervision of CCPs. Better ability of the EU to monitor, identify and mitigate third-country CCP risks. |
| Regulation (EU) 2019/2033 on the prudential requirements of investment firms and amending Regulations (EU) No 1093/2010, (EU) No 575/2013, (EU) No 600/2014 and (EU) No 806/2014 | 27/11/2019 | IFR - Uniform prudential requirements which apply to investment firms authorised and supervised under Directive 2014/65/EU and Directive (EU) 2019/2034 |
| Regulation (EU) 2019/2175 amending Regulation (EU) No 1095/2010 establishing the European Securities and Markets Authority, Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds. | 27/12/2019 | ESAs Review – Enhanced supervisory convergence and new direct supervisory mandates for Data Service Providers and critical Benchmarks. |
| Regulation (EU) 2020/1303 of 14 July 2020 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council with regard to the criteria that ESMA should take into account to determine whether a central counterparty established in a third | 14/07/2020 | Criteria that ESMA should take into account to determine whether a TC-CCP is systemically important or likely to become systemically important |

| | | |
|--|------------|---|
| country is systemically important or likely to become systemically important for the financial stability of the Union or of one or more of its Member States | | |
| Regulation (EU) 2020/1304 of 14 July 2020 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council with regard to the minimum elements to be assessed by ESMA when assessing third-country CCPs' requests for comparable compliance and the modalities and conditions of that assessment | 14/07/2020 | Minimum elements to be assessed by ESMA when assessing TC-CCPs |
| Regulation (EU) 2020/1406 of 2 October 2020 laying down implementing technical standards with regard to procedures and forms for exchange of information and cooperation between competent authorities, ESMA, the Commission and other entities under Articles 24(2) and 25 of Regulation (EU) No 596/2014 of the European Parliament and of the Council on market abuse | 02/10/2020 | ITS with regard to procedures and forms for exchange of information and cooperation |
| Proposal for a Regulation of the European Parliament and of the Council on Markets in Crypto-assets, and amending Directive (EU)2019/1937, Ref. COM(2020)593 final | 24/09/2020 | This proposal is part of the Digital Finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to European consumers and businesses. |
| Commission Delegated Regulation (EU) 2021/1415 of 5 May 2021 supplementing Regulation (EU) 2017/2402 of the European Parliament and of the Council with regard to regulatory technical standards on the cooperation, exchange of information and notification obligations between competent authorities and ESMA, the EBA and EIOPA | 05/05/2021 | Cooperation, exchange of information and notification obligations between competent authorities and ESMA, the EBA and EIOPA |
| Proposal for a Regulation of the European Parliament and of the Council establishing a European single access point providing centralised access to publicly available information of relevance to financial services, capital markets and sustainability, Ref. COM(2021) 723 final | 25/11/2021 | The establishment of a European Single Access Point (ESAP) by 2024 is a flagship action of the Capital Markets Union (CMU) Action Plan adopted by the European Commission in September 2020. ESAP will contribute to the achievement of the CMU's objectives by providing EU-wide access to information activities and products of the various categories of entities that are required to disclose such information, which is relevant to capital markets, financial services and sustainable finance. |
| Regulation (EU) 2022/858 of the European Parliament and of the Council of 30 May 2022 on a pilot regime for market infrastructures based on distributed ledger technology, and amending Regulations (EU) No 600/2014 and (EU) No 909/2014 and Directive 2014/65/EU | 30/05/2022 | Distributed ledger technology (DLT). |
| Commission Implementing Regulation (EU) 2022/2121 of 13 July 2022 laying down implementing technical standards for the application of Regulation (EU) 2020/1503 of the European Parliament and of the Council with regard to standard forms, templates and procedures for the cooperation and exchange of information between competent authorities and ESMA in relation to European crowdfunding service providers for business | 13/07/2022 | Cooperation and exchange of information between competent authorities and ESMA in relation to European crowdfunding service providers for business |
| Regulation (EU) 2022/2554 of the European Parliament and of the Council of 14 December 2022 on digital operational resilience for the financial sector and amending Regulations (EC) No 1060/2009, (EU) No 648/2012, (EU) No 600/2014, (EU) No 909/2014 and (EU) 2016/1011 | 14/12/2022 | Digital Operational Resilience Act |

1.2 Seat

Paris, France.

1.3 Budget Line

03 10 04 : European Securities and Markets Authority (ESMA)

1.4 Human Resource Overview ESMA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 233 | 211 | 90,56% | 250 | 255 |
| Assistants (AST) | 10 | 8 | 80,00% | 13 | 13 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 243 | 219 | 90,12% | 263 | 268 |
| Contract Agents (CA) | 97 | 90 | 92,78% | 82 | 84 |
| Seconded National Experts (SNE) | 15 | 10 | 66,67% | 30 | 30 |
| TOTAL STAFF | 355 | 319 | 89,86% | 375 | 382 |

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview ESMA

1.5.1.1 Revenues Overview ESMA

1.5.1.1.1 General revenues ESMA

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 18 588 578 | 20 328 887 |
| Other Revenue | 53 268 662 | 56 746 532 |
| TOTAL REVENUES | 71 857 240 | 77 075 419 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ESMA

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 3 347 371 | 353 908 |
| TOTAL REVENUES | 3 347 371 | 353 908 |

1.5.1.2 Expenditure Overview ESMA

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 48 464 561 | 48 464 561 | 49 701 286 | 49 701 286 |
| Title 2 - Infrastructure and operating expenditure | 8 360 081 | 8 360 081 | 9 087 882 | 9 087 882 |
| Title 3 - Operational expenditure | 14979969 | 14979969 | 18240159 | 18240159 |
| Title 4 - Delegated tasks | 3400000 | 3400000 | 400000 | 400000 |
| TOTAL EXPENDITURE | 75 204 611 | 75 204 611 | 77 429 327 | 77 429 327 |

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

| REVENUES | 2023 | 2024 |
|------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 22 869 754 | 23 593 259 |
| TOTAL REVENUES | 22 869 754 | 23 593 259 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | 2023 | 2024 |
|---|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2.2 Expenditure Overview (Fees)

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 16 196 845 | 16 196 845 | 16 849 911 | 16 849 911 |
| Title 2 - Infrastructure and operating expenditure | 2 737 795 | 2 737 795 | 2 945 798 | 2 945 798 |
| Title 3 - Operational expenditure | 3 935 114 | 3 935 114 | 3 797 550 | 3 797 550 |
| Title 4 - Delegated tasks | | | | |
| TOTAL EXPENDITURE | 22 869 754 | 22 869 754 | 23 593 259 | 23 593 259 |

1.5.3 Financial Resources Overview (UE, NCA, NCA for delegated tasks)

1.5.3.1 Revenues Overview (UE, NCA, NCA for delegated tasks)

1.5.3.1.1 General revenues (UE, NCA, NCA for delegated tasks)

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 18 588 578 | 20 328 887 |
| Other Revenue | 30 398 908 | 33 153 273 |
| TOTAL REVENUES | 48 987 486 | 53 482 160 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 3 347 371 | 353 908 |
| TOTAL REVENUES | 3 347 371 | 353 908 |

1.5.3.2 Expenditure Overview (UE, NCA, NCA for delegated tasks)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 32 267 716 | 32 267 716 | 32 851 375 | 32 851 375 |
| Title 2 - Infrastructure and operating expenditure | 5 622 286 | 5 622 286 | 6 142 084 | 6 142 084 |
| Title 3 - Operational expenditure | 11 044 855 | 11 044 855 | 14 442 609 | 14 442 609 |
| Title 4 - Delegated tasks | 3 400 000 | 3 400 000 | 400 000 | 400 000 |
| TOTAL EXPENDITURE | 52 334 857 | 52 334 857 | 53 836 068 | 53 836 068 |

2 Human Resources

2.1 Establishment plan posts ESMA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 15 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 1 | | | | 2 | | 2 | | 2 |
| AD 12 | | 6 | | 5 | | 9 | | 9 | | 9 |
| AD 11 | | 7 | | 6 | | 11 | | 12 | | 12 |
| AD 10 | | 21 | | 21 | | 29 | | 32 | | 32 |
| AD 9 | | 39 | | 39 | | 45 | | 45 | | 45 |
| AD 8 | | 32 | | 24 | | 39 | | 35 | | 35 |
| AD 7 | | 31 | | 36 | | 40 | | 37 | | 37 |
| AD 6 | | 42 | | 14 | | 38 | | 33 | | 33 |
| AD 5 | | 49 | | 61 | | 32 | | 31 | | 45 |
| AD TOTAL | | 233 | | 211 | | 250 | | 241 | | 255 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | 1 | | 1 |
| AST 6 | | 2 | | 3 | | 4 | | 6 | | 6 |
| AST 5 | | 5 | | 4 | | 9 | | 6 | | 6 |
| AST 4 | | 1 | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | 2 | | 1 | | | | | | |
| AST TOTAL | | 10 | | 8 | | 13 | | 13 | | 13 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 243 | | 219 | | 263 | | 254 | | 268 |
| GRAND TOTAL | | 243 | | 219 | | 263 | | 254 | | 268 |

2.2 External personnel ESMA

2.2.1 Contract Agents ESMA

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 66 | 60 | 66 | 63 |
| Function Group III | 28 | 30 | 16 | 21 |
| Function Group II | 3 | | | |
| Function Group I | | | | |
| TOTAL | 97 | 90 | 82 | 84 |

2.2.2 Seconded National Experts ESMA

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 15 | 10 | 30 | 30 |

3 Financial Resources

3.1 Financial Resources ESMA

3.1.1 Revenues ESMA

3.1.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 22 166 500 | 22 869 754 | 23 593 259 | 23 593 259 | 3,16% |
| 2 EU CONTRIBUTION | 17 253 493 | 18 588 578 | 20 328 887 | 20 328 887 | 9,36% |
| - Of which assigned revenues deriving from previous years' surpluses | 1 298 280 | 241 498 | 203 055 | 203 055 | -15,92% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 842 661 | 902 850 | 985 110 | 985 110 | 9,11% |
| - Of which EEA/EFTA (excl. Switzerland) | 842 661 | 902 850 | 985 110 | 985 110 | 9,11% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 27 217 962 | 29 162 058 | 31 819 051 | 31 819 051 | 9,11% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 8 CONTRIBUTION FOR DELEGATED TASKS | 818 902 | 334 000 | 349 112 | 349 112 | 4,52% |
| TOTAL | 68 299 518 | 71 857 240 | 77 075 419 | 77 075 419 | 7,26% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 147 593 | 3 347 371 | 353 908 | 353 908 | -89,43% |
| TOTAL | 147 593 | 3 347 371 | 353 908 | 353 908 | -89,43% |

3.1.2 Expenditure ESMA

3.1.2.1 Commitment appropriations ESMA

| EXPENDITURE | Commitment appropriations ESMA | | | | |
|--|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 43 767 296 | 48 464 561 | 49 701 286 | 49 701 286 | 2,55% |
| Salaries & allowances | 38 273 660 | 42 413 860 | 43 435 826 | 43 435 826 | 2,41% |
| - Of which establishment plan posts | 30 893 672 | 34 077 564 | 34 846 309 | 34 846 309 | 2,26% |
| - Of which external personnel | 7 379 988 | 8 336 296 | 8 589 517 | 8 589 517 | 3,04% |
| Expenditure relating to Staff recruitment | 722 778 | 550 000 | 510 000 | 510 000 | -7,27% |
| Employer's pension contributions | 3 646 629 | 4 200 000 | 4 203 560 | 4 203 560 | 0,08% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 721 460 | 915 001 | 1 145 000 | 1 145 000 | 25,14% |
| Training | 396 769 | 380 000 | 400 000 | 400 000 | 5,26% |
| External Services | | | | | |

| EXPENDITURE | Commitment appropriations ESMA | | | | |
|---|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | 6 000 | 5 700 | 6 900 | 6 900 | 21,05% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 8 020 683 | 8 360 081 | 9 087 882 | 9 087 882 | 8,71% |
| Rental of buildings and associated costs | 6 539 591 | 7 045 080 | 7 470 182 | 7 470 182 | 6,03% |
| Information, communication technology and data processing | 509 335 | 400 001 | 550 000 | 550 000 | 37,50% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 971 757 | 915 000 | 1 067 700 | 1 067 700 | 16,69% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 15 840 230 | 14 979 969 | 18 240 159 | 18 240 159 | 21,76% |
| Training for a common supervisory culture | 12 579 | 72 000 | 120 000 | 120 000 | 66,67% |
| Collection of information : IT projects | 13 822 167 | 12 715 494 | 15 457 900 | 15 457 900 | 21,57% |
| Legal advice | 75 360 | 135 000 | 148 500 | 148 500 | 10,00% |
| Access to data for Economic Research | 650 620 | 750 000 | 735 000 | 735 000 | -2,00% |
| Mission expenses, travel and incidental expenses | 182 152 | 421 059 | 482 269 | 482 269 | 14,54% |
| Communications | 833 773 | 401 416 | 652 490 | 652 490 | 62,55% |
| Meeting expenses | 196 929 | 410 000 | 534 000 | 534 000 | 30,24% |
| Services on operational matters | 66 650 | 75 000 | 110 000 | 110 000 | 46,67% |
| Title 4 - Delegated tasks | 818 902 | 3 400 000 | 400 000 | 400 000 | -88,24% |
| TOTAL | 68 447 111 | 75 204 611 | 77 429 327 | 77 429 327 | 2,96% |

3.1.2.2 Payment appropriations ESMA

| EXPENDITURE | Payment appropriations ESMA | | | | |
|---|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 43 767 296 | 48 464 561 | 49 701 286 | 49 701 286 | 2,55% |
| Salaries & allowances | 38 273 660 | 42 413 860 | 43 435 826 | 43 435 826 | 2,41% |
| - Of which establishment plan posts | 30 893 672 | 34 077 564 | 34 846 309 | 34 846 309 | 2,26% |
| - Of which external personnel | 7 379 988 | 8 336 296 | 8 589 517 | 8 589 517 | 3,04% |
| Expenditure relating to Staff recruitment | 722 778 | 550 000 | 510 000 | 510 000 | -7,27% |
| Employer's pension contributions | 3 646 629 | 4 200 000 | 4 203 560 | 4 203 560 | 0,08% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 721 460 | 915 001 | 1 145 000 | 1 145 000 | 25,14% |
| Training | 396 769 | 380 000 | 400 000 | 400 000 | 5,26% |
| External Services | | | | | |
| Receptions, events and representation | 6 000 | 5 700 | 6 900 | 6 900 | 21,05% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 8 020 683 | 8 360 081 | 9 087 882 | 9 087 882 | 8,71% |
| Rental of buildings and associated costs | 6 539 591 | 7 045 080 | 7 470 182 | 7 470 182 | 6,03% |
| Information, communication technology and data processing | 509 335 | 400 001 | 550 000 | 550 000 | 37,50% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 971 757 | 915 000 | 1 067 700 | 1 067 700 | 16,69% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations ESMA | | | | |
|--|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 15 840 230 | 14 979 969 | 18 240 159 | 18 240 159 | 21,76% |
| Training for a common supervisory culture | 12 579 | 72 000 | 120 000 | 120 000 | 66,67% |
| Collection of information : IT projects | 13 822 167 | 12 715 494 | 15 457 900 | 15 457 900 | 21,57% |
| Legal advice | 75 360 | 135 000 | 148 500 | 148 500 | 10,00% |
| Access to data for Economic Research | 650 620 | 750 000 | 735 000 | 735 000 | -2,00% |
| Mission expenses, travel and incidental expenses | 182 152 | 421 059 | 482 269 | 482 269 | 14,54% |
| Communications | 833 773 | 401 416 | 652 490 | 652 490 | 62,55% |
| Meeting expenses | 196 929 | 410 000 | 534 000 | 534 000 | 30,24% |
| Services on operational matters | 66 650 | 75 000 | 110 000 | 110 000 | 46,67% |
| Title 4 - Delegated tasks | 818 902 | 3 400 000 | 400 000 | 400 000 | -88,24% |
| TOTAL | 68 447 111 | 75 204 611 | 77 429 327 | 77 429 327 | 2,96% |

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

| REVENUES | General revenues (Fees) | | | | |
|---|-------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 22 166 500 | 22 869 754 | 23 593 259 | 23 593 259 | 3,16% |
| 2 EU CONTRIBUTION | | | | | |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |

| REVENUES | General revenues (Fees) | | | | |
|--|-------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| 8 CONTRIBUTION FOR DELEGATED TASKS | | | | | |
| TOTAL | 22 166 500 | 22 869 754 | 23 593 259 | 23 593 259 | 3,16% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees) | | | | |
|--|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|--|----------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 535 214 | 16 196 845 | 16 849 911 | 16 849 911 | 4,03% |
| Salaries & allowances | 12 705 816 | 13 693 479 | 14 284 666 | 14 284 666 | 4,32% |
| - <i>Of which establishment plan posts</i> | <i>10 281 586</i> | <i>11 038 582</i> | <i>11 548 625</i> | <i>11 548 625</i> | <i>4,62%</i> |
| - <i>Of which external personnel</i> | <i>2 424 230</i> | <i>2 654 897</i> | <i>2 736 041</i> | <i>2 736 041</i> | <i>3,06%</i> |
| Expenditure relating to Staff recruitment | 240 687 | 103 001 | 166 343 | 166 343 | 61,50% |
| Employer's pension contributions | 1 214 340 | 1 879 180 | 1 892 731 | 1 892 731 | 0,72% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 240 248 | 367 087 | 373 456 | 373 456 | 1,74% |
| Training | 132 125 | 151 970 | 130 465 | 130 465 | -14,15% |
| External Services | | | | | |

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|---|----------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | 1 998 | 2 128 | 2 250 | 2 250 | 5,73% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 661 923 | 2 737 795 | 2 945 798 | 2 945 798 | 7,60% |
| Rental of buildings and associated costs | 2 168 715 | 2 208 606 | 2 418 166 | 2 418 166 | 9,49% |
| Information, communication technology and data processing | 169 610 | 168 854 | 179 389 | 179 389 | 6,24% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 323 598 | 360 335 | 348 243 | 348 243 | -3,36% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 969 363 | 3 935 114 | 3 797 550 | 3 797 550 | -3,50% |
| Training for a common supervisory culture | | | | | |
| Collection of information : IT projects | 4 541 094 | 3 482 672 | 3 230 758 | 3 230 758 | -7,23% |
| Legal advice | 25 095 | 45 591 | 48 435 | 48 435 | 6,24% |
| Access to data for Economic Research | | | | | |
| Mission expenses, travel and incidental expenses | 59 941 | 156 080 | 175 603 | 175 603 | 12,51% |
| Communications | 277 655 | 78 457 | 174 705 | 174 705 | 122,68% |
| Meeting expenses | 65 578 | 172 314 | 168 049 | 168 049 | -2,48% |
| Services on operational matters | | | | | |
| Title 4 - Delegated tasks | | | | | |
| TOTAL | 22 166 500 | 22 869 754 | 23 593 259 | 23 593 259 | 3,16% |

3.2.2.2 Payment appropriations (Fees)

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|---|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 535 214 | 16 196 845 | 16 849 911 | 16 849 911 | 4,03% |
| Salaries & allowances | 12 705 816 | 13 693 479 | 14 284 666 | 14 284 666 | 4,32% |
| - Of which establishment plan posts | 10 281 586 | 11 038 582 | 11 548 625 | 11 548 625 | 4,62% |
| - Of which external personnel | 2 424 230 | 2 654 897 | 2 736 041 | 2 736 041 | 3,06% |
| Expenditure relating to Staff recruitment | 240 687 | 103 001 | 166 343 | 166 343 | 61,50% |
| Employer's pension contributions | 1 214 340 | 1 879 180 | 1 892 731 | 1 892 731 | 0,72% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 240 248 | 367 087 | 373 456 | 373 456 | 1,74% |
| Training | 132 125 | 151 970 | 130 465 | 130 465 | -14,15% |
| External Services | | | | | |
| Receptions, events and representation | 1 998 | 2 128 | 2 250 | 2 250 | 5,73% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 661 923 | 2 737 795 | 2 945 798 | 2 945 798 | 7,60% |
| Rental of buildings and associated costs | 2 168 715 | 2 208 606 | 2 418 166 | 2 418 166 | 9,49% |
| Information, communication technology and data processing | 169 610 | 168 854 | 179 389 | 179 389 | 6,24% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 323 598 | 360 335 | 348 243 | 348 243 | -3,36% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|--|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 4 969 363 | 3 935 114 | 3 797 550 | 3 797 550 | -3,50% |
| Training for a common supervisory culture | | | | | |
| Collection of information : IT projects | 4 541 094 | 3 482 672 | 3 230 758 | 3 230 758 | -7,23% |
| Legal advice | 25 095 | 45 591 | 48 435 | 48 435 | 6,24% |
| Access to data for Economic Research | | | | | |
| Mission expenses, travel and incidental expenses | 59 941 | 156 080 | 175 603 | 175 603 | 12,51% |
| Communications | 277 655 | 78 457 | 174 705 | 174 705 | 122,68% |
| Meeting expenses | 65 578 | 172 314 | 168 049 | 168 049 | -2,48% |
| Services on operational matters | | | | | |
| Title 4 - Delegated tasks | | | | | |
| TOTAL | 22 166 500 | 22 869 754 | 23 593 259 | 23 593 259 | 3,16% |

3.2.3 Budget Outturn (Fees)

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 203,055 EUR.

3.3 Financial Resources (UE, NCA, NCA for delegated tasks)

3.3.1 Revenues (UE, NCA, NCA for delegated tasks)

3.3.1.1 General revenues (UE, NCA, NCA for delegated tasks)

| REVENUES | General revenues (UE, NCA, NCA for delegated tasks) | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 17 253 493 | 18 588 578 | 20 328 887 | 20 328 887 | 9,36% |
| - Of which assigned revenues deriving from previous years' surpluses | 1 298 280 | 241 498 | 203 055 | 203 055 | -15,92% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 842 661 | 902 850 | 985 110 | 985 110 | 9,11% |
| - Of which EEA/EFTA (excl. Switzerland) | 842 661 | 902 850 | 985 110 | 985 110 | 9,11% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 27 217 962 | 29 162 058 | 31 819 051 | 31 819 051 | 9,11% |

| REVENUES | General revenues (UE, NCA, NCA for delegated tasks) | | | | |
|--|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| 8 CONTRIBUTION FOR DELEGATED TASKS | 818 902 | 334 000 | 349 112 | 349 112 | 4,52% |
| TOTAL | 46 133 018 | 48 987 486 | 53 482 160 | 53 482 160 | 9,18% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks) | | | | |
|--|--|------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 147 593 | 3 347 371 | 353 908 | 353 908 | -89,43% |
| TOTAL | 147 593 | 3 347 371 | 353 908 | 353 908 | -89,43% |

3.3.2 Expenditure (UE, NCA, NCA for delegated tasks)

3.3.2.1 Commitment appropriations (UE, NCA, NCA for delegated tasks)

| EXPENDITURE | Commitment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|--|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 29 232 082 | 32 267 716 | 32 851 375 | 32 851 375 | 1,81% |
| Salaries & allowances | 25 567 844 | 28 720 381 | 29 151 160 | 29 151 160 | 1,50% |
| <i>- Of which establishment plan posts</i> | 20 612 086 | 23 038 982 | 23 297 684 | 23 297 684 | 1,12% |
| <i>- Of which external personnel</i> | 4 955 758 | 5 681 399 | 5 853 476 | 5 853 476 | 3,03% |
| Expenditure relating to Staff recruitment | 482 091 | 446 999 | 343 657 | 343 657 | -23,12% |
| Employer's pension contributions | 2 432 289 | 2 320 820 | 2 310 829 | 2 310 829 | -0,43% |
| Mission expenses | | | | | |

| EXPENDITURE | Commitment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|---|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 481 212 | 547 914 | 771 544 | 771 544 | 40,81% |
| Training | 264 644 | 228 030 | 269 535 | 269 535 | 18,20% |
| External Services | | | | | |
| Receptions, events and representation | 4 002 | 3 572 | 4 650 | 4 650 | 30,18% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 358 760 | 5 622 286 | 6 142 084 | 6 142 084 | 9,25% |
| Rental of buildings and associated costs | 4 370 876 | 4 836 474 | 5 052 016 | 5 052 016 | 4,46% |
| Information, communication technology and data processing | 339 725 | 231 147 | 370 611 | 370 611 | 60,34% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 648 159 | 554 665 | 719 457 | 719 457 | 29,71% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 10 870 867 | 11 044 855 | 14 442 609 | 14 442 609 | 30,76% |
| Training for a common supervisory culture | 12 579 | 72 000 | 120 000 | 120 000 | 66,67% |
| Collection of information : IT projects | 9 281 073 | 9 232 822 | 12 227 142 | 12 227 142 | 32,43% |
| Legal advice | 50 265 | 89 409 | 100 065 | 100 065 | 11,92% |
| Access to data for Economic Research | 650 620 | 750 000 | 735 000 | 735 000 | -2,00% |
| Mission expenses, travel and incidental expenses | 122 211 | 264 979 | 306 666 | 306 666 | 15,73% |
| Communications | 556 118 | 322 959 | 477 785 | 477 785 | 47,94% |
| Meeting expenses | 131 351 | 237 686 | 365 951 | 365 951 | 53,96% |

| EXPENDITURE | Commitment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|----------------------------------|--|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Services on operational matters | 66 650 | 75 000 | 110 000 | 110 000 | 46,67% |
| Title 4 - Delegated tasks | 818 902 | 3 400 000 | 400 000 | 400 000 | -88,24% |
| TOTAL | 46 280 611 | 52 334 857 | 53 836 068 | 53 836 068 | 2,87% |

3.3.2.2 Payment appropriations (UE, NCA, NCA for delegated tasks)

| EXPENDITURE | Payment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 29 232 082 | 32 267 716 | 32 851 375 | 32 851 375 | 1,81% |
| Salaries & allowances | 25 567 844 | 28 720 381 | 29 151 160 | 29 151 160 | 1,50% |
| - Of which establishment plan posts | 20 612 086 | 23 038 982 | 23 297 684 | 23 297 684 | 1,12% |
| - Of which external personnel | 4 955 758 | 5 681 399 | 5 853 476 | 5 853 476 | 3,03% |
| Expenditure relating to Staff recruitment | 482 091 | 446 999 | 343 657 | 343 657 | -23,12% |
| Employer's pension contributions | 2 432 289 | 2 320 820 | 2 310 829 | 2 310 829 | -0,43% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 481 212 | 547 914 | 771 544 | 771 544 | 40,81% |
| Training | 264 644 | 228 030 | 269 535 | 269 535 | 18,20% |
| External Services | | | | | |
| Receptions, events and representation | 4 002 | 3 572 | 4 650 | 4 650 | 30,18% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 358 760 | 5 622 286 | 6 142 084 | 6 142 084 | 9,25% |
| Rental of buildings and associated costs | 4 370 876 | 4 836 474 | 5 052 016 | 5 052 016 | 4,46% |
| Information, communication technology and data processing | 339 725 | 231 147 | 370 611 | 370 611 | 60,34% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 648 159 | 554 665 | 719 457 | 719 457 | 29,71% |

| EXPENDITURE | Payment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 10 870 867 | 11 044 855 | 14 442 609 | 14 442 609 | 30,76% |
| Training for a common supervisory culture | 12 579 | 72 000 | 120 000 | 120 000 | 66,67% |
| Collection of information : IT projects | 9 281 073 | 9 232 822 | 12 227 142 | 12 227 142 | 32,43% |
| Legal advice | 50 265 | 89 409 | 100 065 | 100 065 | 11,92% |
| Access to data for Economic Research | 650 620 | 750 000 | 735 000 | 735 000 | -2,00% |
| Mission expenses, travel and incidental expenses | 122 211 | 264 979 | 306 666 | 306 666 | 15,73% |
| Communications | 556 118 | 322 959 | 477 785 | 477 785 | 47,94% |
| Meeting expenses | 131 351 | 237 686 | 365 951 | 365 951 | 53,96% |
| Services on operational matters | 66 650 | 75 000 | 110 000 | 110 000 | 46,67% |
| Title 4 - Delegated tasks | 818 902 | 3 400 000 | 400 000 | 400 000 | -88,24% |
| TOTAL | 46 280 611 | 52 334 857 | 53 836 068 | 53 836 068 | 2,87% |

3.3.3 Budget Outturn (UE, NCA, NCA for delegated tasks)

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 203,055 EUR.

4 Justification of needs

Commission assessment

Human Resources

The Commission requests 268 Establishment Plan posts for ESMA for 2024. This is 14 more Establishment Plan posts than ESMA requested. One of the Establishment Plan post (AD 6) is needed for the implementation of the Supervisory Digital Finance Academy (SDFCA), a three-years project funded by DG REFORM. As from 2026, the post will be removed from ESMA's establishment plan.

The Commission also requests 85 Contract Agents for ESMA in 2024, one of which (not included above in table 1.4 Human Resources Overview and table 2.2.1 Contract Agents) being a contract agent FGIV post to support ESMA's work on the Supervisory Digital Finance Academy (SDFA) project funded by DG REFORM over the period 2023-2025).

The Commission supports ESMA's request for 30 Seconded National Experts (SNEs) posts. The Commission notes that based on the SNEs posts available to ESMA for the 2021-2027 MFF and the adopted Legislative Financial Statements, ESMA could have requested 35 SNEs. The Commission notes that the remaining 5 SNE posts might be requested by ESMA in subsequent years.

The Commission's request for staffing for ESMA and in particular the number of Establishment Plan posts, is based upon the importance of EASMA's work and takes into account and respects the co-legislator's decisions on the resourcing required to implement the mandates that they have given to ESMA as set out in the legislative financial statements.

The Commission notes that the establishment plan includes no provision for AST/SC temporary agents and GF II contract agents. The Commission recommends ESMA to assess which positions involve clerical or secretarial tasks and to convert these to AST/SC or FG II once the posts are vacant.

Financial Resources

The Commission supports ESMA's request for a Union subsidy of EUR 20 328 887 for ESMA (of which EUR 20 125 832 is fresh credits). The Commission, however, notes that ESMA's request for a Union subsidy is EUR 12 852 marginally less than the Commission has included in its Financial Programming for a subsidy to ESMA in 2024.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

ESMA's staff request aims to find the best possible solutions given the significant and increasing workload on the one hand, and the limitations that ESMA faces on the funding side on the other hand - to ensure that ESMA is able to deliver on its mandate. ESMA is intensifying its efforts to encourage National Competent Authorities to send SNEs, however the situation remains tense due to their own budget constraints.

4.1.2 Vacancy rate as of end 2022

The vacancy rate at the end of 2022 was 6%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

ESMA applies a linear phase-in for the budgeted salary costs for the requested new staff. Average date of entry into service is 01/07.

4.1.4 Salary assumption for calculating salary line (% applied)

Salary increases due to inflation have been assumed to be 2.0% in July 2023 and 2.0% July 2024.

4.1.5 Correction coefficient used

The correction coefficient for Paris has been decreased to 116.80% at the end of 2022.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

ESMA's fee-related budget covers the overall estimated expenditure for the implementation of ESMA's direct supervision activities.

The financial and human resources planned for 2024 incorporate all ESMA's mandates entered into force so far including Credit Rating Agencies, Trade Repositories (under EMIR and under SFTR) and Securitisation Repositories (SRs) supervision, ESMA's mandates in relation to Third-Countries Central Counterparties – TC CCPs (under EMIR2.2) and the supervisory responsibilities introduced by the ESAs' Review (with the direct supervision of Data Reporting Service Providers and Benchmark administrators)³⁰. For 2024, ESMA plans to levy the following total amount of annual supervisory fees: €10,382,288 from Credit Rating Agencies, €1,697,850 from Trade Repositories under EMIR, €990,157 from Trade Repositories under SFTR, €406,708 from Securitisation Repositories, and €6,282,772 from TC CCPs under EMIR 2.2 (of which Tier 1 fees € 2,673,572 and Tier 2 fees €3,609,200), €980,586 from Benchmark administrators, €2,852,899 from Data Reporting Service Providers (of which from DRSP - Authorised Reporting Mechanism €1,471,116 and from DRSP - Approved Publications Arrangements € 1,381,783).

For all ongoing mandates (including direct supervision tasks) already approved by the co-legislators, ESMA plans only a 2% inflation increase from one year to another in line with the assumption in the EU Multiannual Financial Framework (MFF).

Overall, it is important to stress that ESMA's planning takes into account the multiple constraints identified across the area of the fee collection and is the outcome of an important effort to contain the fees at a level that is affordable for the supervised entities. In this respect, in 2022 ESMA launched in close collaboration with the Commission a comprehensive assessment and review on how the Agency's fee-funding model should be further improved. The outcome of this exercise could represent an important turning point for streamlining ESMA's funding system.

According to the Staff Regulation, ESMA as an Authority partially financed from the EU general budget, pays the part of the employer's pension contributions corresponding to the proportion of its non-EU funded staff (e.g., staff funded by NCAs contributions and fees). ESMA's Activity Based Budgeting model calculates that for 2024 this proportion is at 73.2% and represents a cost for pension contribution equal to €4,203,560. This amount is added to the Title 1 and it will not be covered by the EU subsidy. Out of this total amount, €1,892,731 will be paid by fees covering 100% of the pension contribution for the fee-funded staff.

4.2.1.2 Title 1 (UE, NCA, NCA for delegated tasks)

ESMA's indirect supervision mandates are financed 40% from Union funds and 60% through contributions from the Member States, which are calculated according to the weighting of votes set out in Article 3(3) of the Protocol (No 36) on transitional provisions, and EFTA contributions calculated in accordance with the weighting factor applicable for the EFTA states.

In addition, ESMA receives financing (assigned revenue) for the implementation of specific projects delegated by the NCAs or stemming from SLAs with the Commission (i.e., DG REFORM)

The financial and human resources planned for 2024 will cover the costs for the implementation of all ESMA's mandates entered into force so far, including the Regulations on Cross-Border Funds Distribution and the European Crowdfunding Service Providers (ECSP), DLT Pilot Regime and the Framework to facilitate sustainable investment. For all ongoing mandates already approved by the co-legislators, ESMA plans only 2% inflation increase from 2023 in line with the assumption in the EU Multiannual Financial Framework (MFF).

In addition, upon request from the European Commission, ESMA has also included the financial resources foreseen in the current Legislative Financial Statements of the Commission's proposal still under discussion e.g. the Markets in Crypto Assets regulation (MiCA) with a contribution from the EU subsidy and NCAs from 2024, and the European Single Access Point³¹ (ESAP) for which a contribution from the EU subsidy and NCAs would apply from 2024.

Overall, ESMA's request for 2024 staff and budget stays below the ceiling framed in the relevant LFSs and in the 2021-2027 Multiannual Financial Framework.

³⁰ In the current Legislative Financial Statements of the Commission's proposal on the Digital Operational Resilience Act (DORA), the three ESAs are expected to start collecting fees from 2025.

³¹ As regards the ESAP budgeting for 2022 and 2023, please consider that *de facto*, from the last communications with the Commission, in order to ensure full compliance with the legal framework, the most likely scenario is that most of the €5m foreseen in the LFS for 2022-2023 (from the EU Digital Europe Programme) will be committed directly by the Commission by the end of 2023, whereas only small part of this amount will be transferred to ESMA via a Service Level Agreement (and consequently ESMA's 2023 budget amendment).

Furthermore, for 2024 ESMA plans contributions from NCAs and other external entities (€349,112 external assigned revenue) for the IT delegated project Single Interface to Trade Repositories (TRACE) as well as from the European Commission/DG REFORM (€353,908 internal assigned revenue following the signature of a Service Level Agreement) for the establishment of the “EU Digital Finance Supervisory Academy”.

At the moment, no additional resources are foreseen for ESMA in the Commission’s proposals for the new tasks envisaged in the context of the European Green Bond regulation (EuGB), or of the revision of the Directive on Undertakings for Collective Investment in Transferable Securities (UCITS) and the Alternative Investment Fund Managers Directive (AIFMD). At the same time, no new funding was made available for the new supervisory convergence mandates under EMIR 2.2 (i.e. Opinions and Validations of NCA decisions) and the new CCP Recovery and Resolution Regulation, as highlighted in the Report on the staffing and resources³² related to CCPs adopted by ESMA’s Board of Supervisors in May 2022.

According to the Staff Regulation, ESMA, as an Authority partially financed from the EU general budget, pays the part of the employer’ pension contribution corresponding to the proportion of its non-EU funded staff (e.g., staff funded by NCAs contributions and fees). ESMA’s Activity Based Budgeting model calculates that for 2024 this proportion is at 73.2% and represents a cost for pension contribution equal to €4,203,560. This amount is added to the Title 1 and it will not be covered by the EU subsidy. Out of the total amount, €2,310,829 will be covered by the NCA contribution (following the 60/40 ratio for the NCAs/EU-funded staff).

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

In 2024, the increase of the Title 2 costs versus 2023 is explained by the need to cover the estimated impact of the inflation increase on the building rent/charges and the associated facility management costs as well as on the cost for cyber-security (under “current administrative expenditure”). As regards the ICT infrastructure cost it is important to consider that in 2023 the level of this budget area has been significantly reduced due to the need to ensure business continuity at organisational level versus the high inflation; the planned amount for 2024 brings this expenditure back at the level of 2022.

4.2.2.2 Title 2 (UE, NCA, NCA for delegated tasks)

In 2024, the increase of the Title 2 costs versus 2023 is explained by the need to cover the estimated impact of the inflation increase on the building rent/charges and the associated facility management costs as well as on the cost for cyber-security (under “current administrative expenditure”). As regards the ICT infrastructure cost it is important to consider that in 2023 the level of this budget area has been significantly reduced due to the need to ensure business continuity at organisational level versus the high inflation; the planned amount for 2024 brings this expenditure back at the level of 2022.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

Title 3 expenditure covered by fees are overall decreasing compared to 2023 (- €138k, - 3%), mainly due to an incremental decrease of the fee-related IT project costs.

4.2.3.2 Title 3 (UE, NCA, NCA for delegated tasks)

The level of Title 3 expenditure for ESMA’s mandates already in force and covered by the EU/NCAs contribution is mainly stable and increasing only by 1% versus 2023 (mainly due to IT maintenance cost). On top, an important increase of the Title 3 may be generated by the IT cost foreseen in the LFS of the Commission’s proposal for the European Single Access Point (+ €2.3M), Markets in Crypto-assets (+ €0.3M) and also by the Markets in financial instruments III-transactions reporting changes (+ €0.3M).

³² ESMA91-372-2116 EMIR 2.2. staffing and resources, report to the European Parliament, the Council, and the Commission.

4.3 Ad hoc grants and delegation agreements

Following the signature of a Service Level Agreement with DG REFORM in 2022 for the establishment of the "EU - Supervisory Digital Finance Academy", ESMA plans €353,908 assigned revenue for 2024 (in 2022 executed €147,593 and in 2023 planned €347,371). As regards the ESAP budgeting for 2022 and 2023, please consider that de facto, from the last communications with the Commission, in order to ensure full compliance with the legal framework, the most likely scenario is that most of the €5m foreseen in the LFS for 2022-2023 (€2M in 2022 and €3M in 2023 from the EU Digital Europe Programme) will be committed directly by the Commission by the end of 2023, whereas only small part of this amount will be transferred to ESMA via a Service Level Agreement (and consequently ESMA's 2023 budget amendment).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--|----------------------------------|--------------|--------------|------------------|-----------------------------------|-------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | iBox | 201-203 rue de Bercy, 75012 Paris - France | 8 016 | 1 116 | 9 132 | 4 771 141 | Lease expiring on 10 October 2028 | Lease | None | |
| TOTAL | | | 8 016 | 1 116 | 9 132 | 4 771 141 | | | | |

5.1.2 Current building(s) Other comments

That amount represents the contractual amount for the rent in 2023 (excluding charges). Each year a nationally set rate of inflation is applied. ESMA rents in addition 4 archive rooms and 28 car parking places, 5 motorcycle places and 35 bicycle places.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European schools

Following the move of EBA to Paris, the French government decided to set up an accredited European school in Courbevoie as from September 2019, with a gradual opening of the different school levels and language sections in the future.

In line with the practice of several EU agencies, ESMA decided to continue to provide schooling support for children who are at least five years old and attend a school where the majority of the school curriculum is conducted in a language that is not offered as a section by the Accredited European school ('AES'). Under the schooling support programme, only the annual registration and annual tuition fees which exceed the education allowance are reimbursed up to an amount that corresponds to the contribution that ESMA would have to pay if the child was enrolled in the AES. ESMA also provides support for mother tongue lessons under the following conditions:

- a) For children who attend the AES: if the mother tongue language is not offered as a section language.
- b) For children who attend any other school: if the main language of tuition is not the mother tongue language. The selected language must be the mother tongue language of one of the two parents. Mother tongue lessons are only reimbursed for one language per child, up to a maximum of €2,000 per school year.

In addition to the school support and support for mother tongue lessons, ESMA also provides pre-school support for children who are between 3 and 5 years old and attend a multilingual pre-school which charges fees. The pre-school support is limited to a maximum amount of €3,000 per school year per child. ESMA managed to sign direct agreements with 10 bilingual schools in the Paris area and eligible school fees are directly paid to those schools in respect of children from ESMA staff.

5.3 Evaluation

The first evaluation of ESMA as a component of the European System of Financial Supervisors (ESFS) was submitted to the Budgetary Authority in 2014 [COM(2014) 509 final].

In 2017, the European Commission began the second review of the ESFS framework that resulted in amending the founding regulations of the ESAs and the ESRB in 2019. These amendments reinforced the powers and governance of the ESAs, enhanced supervisory convergence framework and strengthened the capacity of the ESRB in overseeing the financial system and detecting risks to financial stability.

In 2021, the European Commission initiated the third review of the ESFS Framework by launching a targeted consultation seeking views on certain aspects related to the 2019 ESAs review and on the supervisory convergence and single rulebook.

The evaluation report, published in May 2022 – [COM(2022) 228 final], concluded that the overall architecture of the European System of Financial Supervision is largely adequate and works well.

As outlined in the evaluation report, the amendments introduced in the 2019 ESA review have started to produce some noticeable effects. However, since the changes to the ESA Regulations became applicable only in 2020, the European Commission considered that more time is needed to assess the full impact of the latest review before considering any new amendments to the ESA Regulations.

In addition, the review revealed some areas where improvements could be implemented with no need for legislative changes and therefore the European Commission will work together with the ESAs to assess whether and in which areas non-legislative measures are warranted.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| ESMA benefits from the privileges granted by the Protocol (No 7) on the Privileges and Immunities of the European Union. The French Parliament endorsed ESMA's Headquarters agreement on 24 May 2019. ESMA Headquarters agreement entered into force in 2019. | None, beyond the provisions included in the Protocol (no 7) on the Privileges and Immunities of the European Union. | None. |

2.1.10 Anti-Money Laundering Authority - AMLA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|--------------|--|
| Proposal for a Regulation of the European Parliament and of the Council establishing the Authority for Anti-Money Laundering and Countering the Financing of Terrorism and amending Regulations (EU) No 1093/2010, (EU) 1094/2010, (EU) 1095/2010 (COM(2021) 421 final of 20.7.2021) | 20 July 2021 | <p>Money laundering and terrorism financing (ML/TF) pose a serious threat to the integrity of the EU economy and financial system and the security of its citizens.</p> <p>The Anti-Money Laundering Authority will become a centrepiece of an integrated AML/CFT supervisory system, consisting of the Authority itself and the national authorities with an AML/CFT supervisory mandate.</p> <p>The authority will:</p> <ul style="list-style-type: none"> - directly supervise and take decisions on some of the riskiest cross-border financial sector obliged entities, thereby directly contributing to preventing money laundering and terrorism financing in the Union; - coordinate national supervisory authorities and assist them to increase their effectiveness ; - play a vital role in improving the exchange of information and cooperation between national Financial Intelligence Units (FIUs); - have powers to draft regulatory and implementing technical standards, guidelines and recommendations within the scope of its tasks, as well as to provide advice and input to the Commission and co-legislators on many aspects of AML/CFT policy, including on risks linked to jurisdictions outside the Union. |

1.2 Seat

The seat has to be decided by the co-legislators before the end of the legislative procedure leading to the adoption of the proposal.

1.3 Budget Line

03 10 05 : Anti-Money Laundering Authority (AMLA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | | | | 8 | 30 |
| Assistants (AST) | | | | | 5 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | | | | 8 | 35 |
| Contract Agents (CA) | | | | 10 | 10 |
| Seconded National Experts (SNE) | | | | | 3 |
| TOTAL STAFF | | | | 18 | 48 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | 5 107 785 |
| Other Revenue | | |
| TOTAL REVENUES | | 5 107 785 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | | | 3 310 920 | 3 310 920 |
| Title 2 - Infrastructure and operating expenditure | | | 1 796 865 | 1 796 865 |
| Title 3 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | | | 5 107 785 | 5 107 785 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | 1 |
| AD 15 | | | | | | | | | | 5 |
| AD 14 | | | | | | | | | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | 2 |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | 2 | | | | 6 |
| AD 8 | | | | | | | | | | |
| AD 7 | | | | | | | | | | 7 |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | 6 | | | | 8 |
| AD TOTAL | | | | | | 8 | | | | 30 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | 5 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | 5 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | | | | | 8 | | | | 35 |
| GRAND TOTAL | | | | | | 8 | | | | 35 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | | | | 4 |
| Function Group III | | | 8 | 5 |
| Function Group II | | | 2 | 1 |
| Function Group I | | | | |
| TOTAL | | | 10 | 10 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | 3 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | | | | 5 107 785 | 100% |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | | | | 5 107 785 | 100% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | 3 310 920 | 100% |
| Salaries & allowances | | | | 2 970 920 | 100% |
| - Of which establishment plan posts | | | | 2 553 920 | 100% |
| - Of which external personnel | | | | 417 000 | 100% |
| Expenditure relating to Staff recruitment | | | | 30 000 | 100% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | 25 000 | 100% |
| Socio-medical infrastructure | | | | 25 000 | 100% |
| Training | | | | | |
| External Services | | | | 250 000 | 100% |
| Receptions, events and representation | | | | 10 000 | 100% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | 1 796 865 | 100% |
| Rental of buildings and associated costs | | | | 1 000 000 | 100% |
| Information, communication technology and data processing | | | | 600 000 | 100% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | 45 000 | 100% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | 10 000 | 100% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | 141 865 | 100% |
| Title 3 - Operational expenditure | | | | | |
| TOTAL | | | | 5 107 785 | 100% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | 3 310 920 | 100% |
| Salaries & allowances | | | | 2 970 920 | 100% |
| - Of which establishment plan posts | | | | 2 553 920 | 100% |
| - Of which external personnel | | | | 417 000 | 100% |
| Expenditure relating to Staff recruitment | | | | 30 000 | 100% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | 25 000 | 100% |
| Socio-medical infrastructure | | | | 25 000 | 100% |
| Training | | | | | |
| External Services | | | | 250 000 | 100% |
| Receptions, events and representation | | | | 10 000 | 100% |
| Social welfare | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | 1 796 865 | 100% |
| Rental of buildings and associated costs | | | | 1 000 000 | 100% |
| Information, communication technology and data processing | | | | 600 000 | 100% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | 45 000 | 100% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | 10 000 | 100% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | 141 865 | 100% |
| Title 3 - Operational expenditure | | | | | |
| TOTAL | | | | 5 107 785 | 100% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): Not applicable

4 Justification of needs

Commission assessment

Human and Financial Resources

The Commission requests that for 2024, the future Authority for Anti-Money Laundering and Countering the Financing of Terrorism (AMLA) be allocated 35 Establishment Plan (Temporary Agent) posts and the budget necessary for 10 Contract Agents on a Full Time Equivalent (FTE) basis and 3 Seconded National Experts.

The 35 Temporary Agent posts, the 10 Contract Agent FTEs and the 3 SNEs would provide for the recruitment of the Authority's chairperson and the proposed 5 Executive Board members, as well as a mix of managers, policy officers and the core administrative staff necessary to rapidly build-up the Authority's capacity to be operational.

To provide for the recruitment of the necessary staff and the costs of establishing the Authority, the Commission also requests a Union subsidy to the Authority in 2024 of EUR 5 107 785.

These requested human and financial resources for AMLA for 2024, take into account the progress to date in the co-legislators' adoption of the Regulation proposed by the Commission, while at the same time providing the basis for a more rapid start-up of the Authority to offset, as much as possible, the additional time that the co-legislators have needed

to consider the Commission's proposal. In particular, the Commission's request is based upon the Authority being legally established at the start of 2024.

Thus, while the requested human and financial resources are less than those set out for 2024 in the Legislative Financial Statement (LFS) that accompanied the proposed Regulation for the establishment of the Authority (EUR 15.7 million, 65 Temporary Agents, 30 Contract Agents and 5 Seconded National Experts), the requested human and financial resources for AMLA are significantly greater than those set-out in the LFS for 2023, which at the time was to be the year of establishment of the Authority.

This, revised resource planning therefore takes into account the urgency of establishing an EU Authority for Anti-Money Laundering and Countering the Financing of Terrorism.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Commission's request for 35 Establishment Plan posts for AMLA (of which 30 Administrator, AD, posts and 5 Assistant, AST posts), 10 Contract Agent FTEs and 3 SNEs would allow the recruitment of the Authority's senior management as well specialist financial and human resource management and IT staff required to establish and run decentralised agency and a nucleus of management and policy specialists required to build up the operational work of the Authority.

4.1.2 Vacancy rate as of end 2022

Not applicable

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

Not applicable

4.1.5 Correction coefficient used

As per the Legislative Financial Statement accompanying the proposal, no correction coefficient has been applied as the location of the Authority has not yet been decided.

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

The total Union subsidy requested in 2024 for Title 1 is EUR 3 310 920. This subsidy has been calculated based on the assumption that AMLA will be established in 2024 and 30 TAs-ADs, 5 TAs-ASTs, 10 CAs and 3 SNEs will be working for the authority in its first year of operation. The staff are assumed to be hired throughout the year and are not therefore costed for a whole year.

4.2.2 Title 2

The total Union subsidy requested in 2024 for Title 2 is EUR 1 796 865. This subsidy has been calculated on the assumption that AMLA will have its premises set up in 2024. Some additional administrative costs have been foreseen, e.g. required for the set up of the ICT and organising the first meeting of AMLA's Executive Board.

4.2.3 Title 3

The Authority will not undertake any operational tasks in 2024.

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

The seat of the future Authority has to be decided by the co-legislators before the end of the legislative procedure leading to the adoption of the proposal.

5.1.3 Building projects in the planning phase

Not applicable for the moment.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 European Schools

Not applicable.

5.3 Evaluation

In accordance with Article 72 of the proposed Regulation, each year the Authority would submit to the European Parliament, to the Council and to the Commission a report on the execution of the tasks conferred on it by the Regulation, including information on the planned evolution of the structure and amount of the supervisory fees referred to in Article 66 of the proposed Regulation. The Chair of the Authority would present that report in public to the European Parliament. At the request of the European Parliament, the Chair of the Authority would participate in a hearing on the execution of its tasks by the competent committees of the European Parliament. The Authority would reply orally or in writing to questions put to it by the European Parliament.

In accordance with Article 88 of the proposed Regulation, by 31 December 2029, and every five years thereafter, the Commission would assess the Authority's performance in relation to its objectives, mandate, tasks and location(s), in accordance with the Commission's guidelines.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| AMLA will benefit from the privileges granted by the Protocol of Privileges and Immunities to EU organisations and institutions (mainly from the VAT exemption of supplier invoices). | | |

2.1.11 European Chemicals Agency – ECHA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|-------------------------------------|---|
| <p>Regulation (EC) No. 1907/2006 of 18 December 2006, concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency</p> <p>Regulation (EC) No. 1272/2008 of 16 December 2008 on classification, labelling and packaging of substances and mixtures</p> | <p>18/12/2006</p> <p>16/12/2008</p> | <ul style="list-style-type: none"> - Manage and carry out technical, scientific and administrative aspects of REACH and CLP Regulations - The REACH and CLP processes are designed to ensure a high level of protection of human health and the environment, including the promotion of alternative methods for assessment of hazards of substances, as well as the free circulation of substances on the internal market while enhancing competitiveness and innovation - Provide the Member States and the institutions of the Union with the best possible scientific and technical advice on questions relating to chemicals which fall under REACH or CLP - Manage IT based guidance documents, tools and data bases - Support national helpdesk and run a helpdesk for registrants - Make information on chemicals publicly accessible - Develop a poison centre notification portal. <p>The Agency, established on 1 June 2007, manages the registration, evaluation, authorisation and restriction processes for chemical substances as well as the classification and labelling of substances and mixtures. These REACH processes are designed to provide additional information on chemicals, to ensure their safe use, and to ensure competitiveness and harmonisation of the European chemical industry.</p> <p>In its decision-making, the Agency takes the best available scientific and technical data and socio-economic information into account. It also provides information on chemicals, technical and scientific advice.</p> |
| <p>Regulation (EU) No 528/2012 of the European Parliament and the Council of 22 May 2012 concerning the making available on the market and use of biocidal products</p> | <p>22/05/2012</p> | <ul style="list-style-type: none"> - Manage and carry out technical, scientific, and administrative aspects of the Biocidal Products Regulation - The purpose of the Biocides Regulation is to improve the functioning of the internal market through the harmonisation of the rules on the placing on the market and use of biocidal products, whilst ensuring a high level of protection of both human and animal health and the environment. The provisions of the Regulation are underpinned by the precautionary principle, the aim of which is to safeguard the health of humans, animals and the environment. - Establish and maintain the Register for Biocidal Products - Coordinate and manage the processing and evaluation of the applications covered by the Regulation (including active substance approval, Union authorisation, data sharing, technical equivalence, alternative suppliers) - Provide guidance, support national helpdesks and assist and advise application (through the ECHA Helpdesk) - Make information on biocides publicly accessible. <p>Under the Biocidal Products Regulation, adopted in 2012, ECHA is responsible for specific tasks with regard to applications for active substance approval and Union authorisation and other related tasks such as data sharing inquiries. The Biocidal products Committee has been established within the Agency to provide opinions to the Commission on scientific and technical matters relating to applications under the Regulation.</p> |
| <p>Regulation (EU) No 649/2012 of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals (PIC-Regulation)</p> | <p>04/07/2012</p> | <ul style="list-style-type: none"> - Manage and carry out technical, scientific, and administrative aspects related to export and import of dangerous chemicals under the PIC Regulation - The objectives of the PIC Regulation are to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, and to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the environment from potential harm. Through its provisions it contributes to the environmentally sound use of hazardous chemicals. - Manage the tasks related to and the cooperation with Member States on export notifications and explicit import consents |

| | | |
|---|------------|--|
| | | <ul style="list-style-type: none"> - Manage guidance documents and IT tools - Make information publicly available <p>The recast PIC Regulation, adopted in 2012, further adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to export and import of dangerous chemicals.</p> |
| Regulation (EU) No 2019/1021 of the European Parliament and of the Council of 20 June 2019 on persistent organic pollutants (POPs-Regulation) | 20/06/2019 | <ul style="list-style-type: none"> - Support the Commission and the Member States in fulfilling their obligations under the POPs-Regulation. - The objective of the POPs-Regulation is to implement international obligations of the Union and the Member States for eliminating Persistent Organic Pollutants in order to protect human health and the environment from these substances subject to the Stockholm Convention. Through its provisions the Regulation ensures the elimination of hazardous chemicals or, in exceptional cases, their environmentally sound use. - Carry out certain technical, scientific, and administrative tasks allocated in the proposal to ECHA related to the identification of new POPs, enforcement and reporting on the implementation of the Regulation. - Make information on POPs publicly available. <p>The recast of the POPs-Regulation also adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to persistent organic pollutants.</p> |
| Directive (EU) 2018/851 of the European Parliament and of the Council of 30 May 2018 amending Directive 2008/98/EC on waste. (Waste Framework Directive) | 30/05/2018 | <ul style="list-style-type: none"> - Develop and manage a new database (Article 9) under the Waste Framework Directive. - The objective of the revised Waste Framework Directive is to achieve more recycling of waste and contribute to the creation of a circular economy. The objective of the database is for ECHA to ensure that the information about the presence of substances of very high concern is available throughout the whole lifecycle of products and materials, including at the waste stage. - Establish and maintain a database of articles containing Candidate List substances and facilitate the submissions by article suppliers - Provide access to the database to waste operators and consumers. - Provide support to duty holders. <p>Under the proposed recast of the Drinking Water Directive the Agency shall make assessments of starting substances for materials in contact with drinking water.</p> |
| Directive (EU) 2020/2184 of the European Parliament and of the Council of 16 December 2020 on the quality of water intended for human consumption (recast) (Drinking Water Directive) | 16/12/2020 | <ul style="list-style-type: none"> - The Agency shall, on the basis of national positive lists of starting substances and compositions, recommend a compiled list to the Commission for the purpose of establishing the first European Positive list. The European positive lists shall contain the only substances, compositions or constituents that are authorised to be used for manufacturing of final materials or products in contact with water intended for human consumption, including, where appropriate, conditions for the use of these materials and migration limits. - The Agency shall review and deliver an opinion on all the substances, compositions and constituents on the first European positive lists by 15 years after its adoption. - For the purposes of updating the European positive list the Agency shall deliver opinions on the inclusion or removal of substances and compositions. |
| Decision (EU) 2022/591 of the European Parliament and of the Council of 6 April 2022 on a General Union Environment Action Programme to 2030 (8 th Environmental Action Programme) | 06/04/2022 | <ul style="list-style-type: none"> -The Agency shall support, together with the European Environment Agency (EEA) the achievement of the objectives of the 8th Environment Action Programme by establishing a new monitoring and reporting framework. -The Agency aims to use existing monitoring tools and indicators, mainly based on European statistics and data from the EEA |
| Regulation (EU) 2022/2371 of the European Parliament and of the Council of 23 November 2022 on serious cross-border threats to health and repealing Decision No 1082/2013/EU https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32022R2371&from=EN | 23/11/2022 | ECHA, together with other agencies, is tasked to carry out “a risk assessment of the potential severity of the threat to public health, including possible public health measures” when there is an alert of a cross-border threat of chemical origin (see article 20.1(d)). |

Proposals in legislative process (included in the budget request)

| | | |
|--|-------------------|--|
| <p>Proposal for a Regulation of the European Parliament and of the Council concerning batteries and waste batteries, repealing Directive 2006/66/EC and amending Regulation (EU) No 2019/1020 (COM/2020/798 final)</p> <p>https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A52020PC0798)</p> | <p>10/12/2020</p> | <p>-The Agency shall, at the request of the Commission, prepare restriction dossiers for substances in batteries if the Commission considers that the use of a substance in the manufacture of batteries, or the presence of a substance in the batteries when they are placed on the market, or during their subsequent life cycle stages, including the waste phase, poses a risk to human health or the environment.</p> <p>-The Agency shall, via its Committee for Risk Assessment and its Committee for Socio-economic Analysis deliver an opinion on the suggested restriction and submit these opinions to the Commission.</p> |
| <p>Proposal for a Directive of the European Parliament and of the Council amending Directive 2010/75/EU of the European Parliament and of the Council of 24 November 2010 on industrial emissions (integrated pollution prevention and control) and Council Directive 1999/31/EC of 26 April 1999 on the landfill of waste (COM(2022)156 final)</p> <p>https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A52022PC0156R%2802%29&qid=1651130627889</p> | <p>05/04/2022</p> | <p>-The Agency shall contribute to the drawing up, reviewing and, where necessary, updating of BAT reference documents, based on its unique database on chemicals, associated with the Agency's expertise in mining and extracting information.</p> <p>-The Agency shall provide IED installation operators with guidance on how to structure and manage a chemical inventory with a view to conduct site level risk assessment while applying the learnings on the topics of REACH/IED to facilitate the REACH - IED integration.</p> |
| <p>Proposal for a Directive of the European Parliament and of the Council amending Directive 2000/60/EC establishing a framework for Community action in the field of water policy, Directive 2006/118/EC on the protection of groundwater against pollution and deterioration and Directive 2008/105/EC on environmental quality standards in the field of water policy (COM(2022) 540 final)</p> <p>https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A52022PC0540</p> | <p>26/10/2022</p> | <p>The Agency shall carry out:</p> <ol style="list-style-type: none"> 1. Assessments underpinning the amendment of priority list of substances and derivation of Environmental Quality Standards under EQS Directive; 2. The development of EU-wide EQS for substances listed in a (new) Annex II of the EQSD including the so-called 'River Basin Specific Pollutants'; 3. Assessments underpinning review of Annexes I and II of the Groundwater Directive; 4. Technical and scientific work related to amendment of 'watch list' and coordination of 'watch list' activities both under EQS and Groundwater Directives; |

1.2 Seat

Helsinki, Finland

1.3 Budget Line

03 10 01 01 : European Chemicals Agency — Chemicals legislation

03 10 01 02 : European Chemicals Agency — Activities in the field of biocides legislation

09 10 01 : European Chemicals Agency – Environmental directives and international conventions

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources Overview ECHA

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 358 | 352 | 98,32% | 360 | 370 |
| Assistants (AST) | 109 | 106 | 97,25% | 109 | 109 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 467 | 458 | 98,07% | 469 | 479 |
| Contract Agents (CA) | 122 | 114 | 93,44% | 123 | 127 |
| Seconded National Experts (SNE) | 15 | 2 | 13,33% | 5 | 5 |
| TOTAL STAFF | 604 | 574 | 95,03% | 597 | 611 |

1.4.2 Human Resources Overview (REACH / CLP)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 310 | 304 | 98,06% | 310 | 310 |
| Assistants (AST) | 94 | 91 | 96,81% | 94 | 94 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 404 | 395 | 97,77% | 404 | 404 |
| Contract Agents (CA) | 94 | 88 | 93,62% | 97 | 97 |
| Seconded National Experts (SNE) | 13 | 1 | 7,69% | 3 | 3 |
| TOTAL STAFF | 511 | 484 | 94,72% | 504 | 504 |

1.4.3 Human Resources Overview (BIOCIDES)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 43 | 43 | 100,00% | 43 | 43 |
| Assistants (AST) | 9 | 9 | 100,00% | 9 | 9 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 52 | 52 | 100,00% | 52 | 52 |
| Contract Agents (CA) | 15 | 13 | 86,67% | 15 | 15 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 2 | 2 |
| TOTAL STAFF | 69 | 66 | 95,65% | 69 | 69 |

1.4.4 Human Resources Overview (Environmental directives and International conventions)

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 5 | 5 | 100,00% | 7 | 17 |
| Assistants (AST) | 6 | 6 | 100,00% | 6 | 6 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 11 | 11 | 100,00% | 13 | 23 |
| Contract Agents (CA) | 13 | 13 | 100,00% | 11 | 15 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 24 | 24 | 100,00% | 24 | 38 |

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ECHA

1.5.1.1 Revenues Overview ECHA

1.5.1.1.1 General revenues ECHA

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 83 174 568 | 88 647 688 |
| Other Revenue | 34 549 874 | 35 938 276 |
| TOTAL REVENUES | 117 724 442 | 124 585 964 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ECHA

| REVENUES | 2023 | 2024 |
|--|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 2 642 400 | 2 647 400 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 562 092 | 784 712 |
| TOTAL REVENUES | 4 204 492 | 3 432 112 |

1.5.1.2 Expenditure Overview ECHA

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 79 708 220 | 79 708 220 | 82 903 919 | 82 903 919 |
| Title 2 - Infrastructure and operating expenditure | 17 067 482 | 17 067 482 | 18 466 148 | 18 466 148 |
| Title 3 - Operational expenditure | 16 645 769 | 16 613 237 | 18 497 687 | 18 379 655 |
| Title 4 - Operational expenditure | 1 898 690 | 1 898 690 | 2 152 666 | 2 152 666 |
| Title 5 - Operational expenditure | 2 436 813 | 2 436 813 | 2 683 576 | 2 683 576 |
| Title 6 - Other tasks | 4 204 492 | 4 204 492 | 3 432 112 | 3 432 112 |
| Title 9 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | 121 961 466 | 121 928 934 | 128 136 108 | 128 018 076 |

1.5.2 Financial Resources Overview (REACH / CLP)

1.5.2.1 Revenues Overview (REACH / CLP)

1.5.2.1.1 General revenues (REACH / CLP)

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 70 711 023 | 73 971 000 |
| Other Revenue | 29 254 001 | 30 129 814 |
| TOTAL REVENUES | 99 965 024 | 104 100 814 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (REACH / CLP)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 2 642 400 | 2 647 400 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 562 092 | 784 712 |
| TOTAL REVENUES | 4 204 492 | 3 432 112 |

1.5.2.2 Expenditure Overview (REACH / CLP)

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 68 878 569 | 68 878 569 | 70 224 193 | 70 224 193 |
| Title 2 - Infrastructure and operating expenditure | 14 473 218 | 14 473 218 | 15 496 966 | 15 496 966 |
| Title 3 - Operational expenditure | 16 645 769 | 16 613 237 | 18 497 687 | 18 379 655 |
| Title 6 - Other tasks | 4 204 492 | 4 204 492 | 3 432 112 | 3 432 112 |
| TOTAL EXPENDITURE | 104 202 048 | 104 169 516 | 107 650 958 | 107 532 926 |

1.5.3 Financial Resources Overview (BIOCIDES)

1.5.3.1 Revenues Overview (BIOCIDES)

1.5.3.1.1 General revenues (BIOCIDES)

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 7 556 055 | 7 745 000 |
| Other Revenue | 5 155 620 | 5 606 896 |
| TOTAL REVENUES | 12 711 675 | 13 351 896 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (BIOCIDES)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.3.2 Expenditure Overview (BIOCIDES)

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 8 833 154 | 8 833 154 | 9 078 879 | 9 078 879 |
| Title 2 - Infrastructure and operating expenditure | 1 979 831 | 1 979 831 | 2 120 351 | 2 120 351 |
| Title 4 - Operational expenditure | 1 898 690 | 1 898 690 | 2 152 666 | 2 152 666 |
| Title 9 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | 12 711 675 | 12 711 675 | 13 351 896 | 13 351 896 |

1.5.4 Financial Resources Overview (Environmental directives and International conventions)

1.5.4.1 Revenues Overview (Environmental directives and International conventions)

1.5.4.1.1 General revenues (Environmental directives and International conventions)

| REVENUES | 2023 | 2024 |
|------------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 4 907 490 | 6 931 688 |
| Other Revenue | 140 253 | 201 566 |
| TOTAL REVENUES | 5 047 743 | 7 133 254 |

1.5.4.1.2 Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions)

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.4.2 Expenditure Overview (Environmental directives and International conventions)

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 1 996 497 | 1 996 497 | 3 600 847 | 3 600 847 |
| Title 2 - Infrastructure and operating expenditure | 614 433 | 614 433 | 848 831 | 848 831 |
| Title 5 - Operational expenditure | 2 436 813 | 2 436 813 | 2 683 576 | 2 683 576 |
| TOTAL EXPENDITURE | 5 047 743 | 5 047 743 | 7 133 254 | 7 133 254 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts ECHA

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 6 | | 2 | | 6 | | 6 | | 6 |
| AD 13 | | 14 | | 5 | | 14 | | 14 | | 14 |
| AD 12 | | 14 | | 9 | | 14 | | 14 | | 14 |
| AD 11 | | 31 | | 22 | | 31 | | 31 | | 31 |
| AD 10 | | 46 | | 43 | | 46 | | 46 | | 46 |
| AD 9 | | 71 | | 57 | | 71 | | 71 | | 71 |
| AD 8 | | 61 | | 66 | | 61 | | 61 | | 61 |
| AD 7 | | 63 | | 56 | | 65 | | 68 | | 68 |
| AD 6 | | 35 | | 58 | | 35 | | 42 | | 42 |
| AD 5 | | 17 | | 34 | | 17 | | 17 | | 17 |
| AD TOTAL | | 358 | | 352 | | 360 | | 370 | | 370 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 5 | | | | 3 | | 3 | | 3 |
| AST 8 | | 8 | | 4 | | 8 | | 8 | | 8 |
| AST 7 | | 13 | | 11 | | 13 | | 13 | | 13 |
| AST 6 | | 19 | | 16 | | 19 | | 19 | | 19 |
| AST 5 | | 24 | | 22 | | 31 | | 31 | | 31 |
| AST 4 | | 22 | | 17 | | 21 | | 21 | | 21 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 3 | | 13 | | 13 | | 11 | | 11 | | 11 |
| AST 2 | | 5 | | 23 | | 3 | | 3 | | 3 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 109 | | 106 | | 109 | | 109 | | 109 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 467 | | 458 | | 469 | | 479 | | 479 |
| GRAND TOTAL | 467 | | 458 | | 469 | | 479 | | 479 | |

2.1.2 Establishment plan posts (REACH / CLP)

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 6 | | 2 | | 6 | | 6 | | 6 |
| AD 13 | | 13 | | 5 | | 13 | | 13 | | 13 |
| AD 12 | | 12 | | 7 | | 12 | | 12 | | 12 |
| AD 11 | | 30 | | 21 | | 30 | | 30 | | 30 |
| AD 10 | | 41 | | 40 | | 41 | | 41 | | 41 |
| AD 9 | | 60 | | 49 | | 60 | | 60 | | 60 |
| AD 8 | | 52 | | 60 | | 52 | | 52 | | 52 |
| AD 7 | | 53 | | 45 | | 53 | | 53 | | 53 |
| AD 6 | | 27 | | 50 | | 27 | | 27 | | 27 |
| AD 5 | | 16 | | 25 | | 16 | | 16 | | 16 |
| AD TOTAL | | 310 | | 304 | | 310 | | 310 | | 310 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 5 | | | | 3 | | 3 | | 3 |
| AST 8 | | 8 | | 4 | | 8 | | 8 | | 8 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 7 | | 10 | | 11 | | 10 | | 10 | | 10 |
| AST 6 | | 18 | | 16 | | 18 | | 18 | | 18 |
| AST 5 | | 20 | | 19 | | 26 | | 26 | | 26 |
| AST 4 | | 17 | | 12 | | 16 | | 16 | | 16 |
| AST 3 | | 11 | | 9 | | 10 | | 10 | | 10 |
| AST 2 | | 5 | | 20 | | 3 | | 3 | | 3 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 94 | | 91 | | 94 | | 94 | | 94 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 404 | | 395 | | 404 | | 404 | | 404 |
| GRAND TOTAL | | 404 | | 395 | | 404 | | 404 | | 404 |

2.1.3 Establishment plan posts (BIOCIDES)

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | 1 | | | | 1 | | 1 | | 1 |
| AD 12 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 11 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 10 | | 5 | | 3 | | 5 | | 5 | | 5 |
| AD 9 | | 10 | | 7 | | 10 | | 10 | | 10 |
| AD 8 | | 9 | | 6 | | 9 | | 9 | | 9 |
| AD 7 | | 9 | | 10 | | 9 | | 9 | | 9 |
| AD 6 | | 5 | | 8 | | 5 | | 5 | | 5 |
| AD 5 | | 1 | | 6 | | 1 | | 1 | | 1 |
| AD TOTAL | | 43 | | 43 | | 43 | | 43 | | 43 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | 1 | | | | 1 | | 1 | | 1 |
| AST 6 | | 1 | | | | 1 | | 1 | | 1 |
| AST 5 | | 3 | | 2 | | 3 | | 3 | | 3 |
| AST 4 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AST 3 | | 1 | | 3 | | 1 | | 1 | | 1 |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 9 | | 9 | | 9 | | 9 | | 9 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 52 | | 52 | | 52 | | 52 | | 52 |
| GRAND TOTAL | 52 | | 52 | | 52 | | 52 | | 52 | |

2.1.4 Establishment plan posts (Environmental directives and International conventions)

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | |
| AD 10 | | | | | | | | | | |
| AD 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 8 | | | | | | | | | | |
| AD 7 | | 1 | | 1 | | 3 | | 6 | | 6 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 6 | | 3 | | | | 3 | | 10 | | 10 |
| AD 5 | | | | 3 | | | | | | |
| AD TOTAL | | 5 | | 5 | | 7 | | 17 | | 17 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | 2 | | | | 2 | | 2 | | 2 |
| AST 6 | | | | | | | | | | |
| AST 5 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AST 4 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AST 3 | | 1 | | 1 | | | | | | |
| AST 2 | | | | 2 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 11 | | 11 | | 13 | | 23 | | 23 |
| GRAND TOTAL | 11 | | 11 | | 13 | | 23 | | 23 | |

2.2 External personnel

2.2.1 External personnel ECHA

2.2.1.1 Contract Agents ECHA

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 42 | 31 | 39 | 46 |
| Function Group III | 60 | 57 | 64 | 62 |
| Function Group II | 20 | 26 | 20 | 19 |
| Function Group I | | | | |
| TOTAL | 122 | 114 | 123 | 127 |

2.2.1.2 Seconded National Experts ECHA

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 15 | 2 | 5 | 5 |

2.2.2 External personnel (REACH /CLP)

2.2.2.1 Contract Agents (REACH /CLP)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 24 | 19 | 24 | 27 |
| Function Group III | 52 | 48 | 55 | 53 |
| Function Group II | 18 | 21 | 18 | 17 |
| Function Group I | | | | |
| TOTAL | 94 | 88 | 97 | 97 |

2.2.2.2 Seconded National Experts (REACH / CLP)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 13 | 1 | 3 | 3 |

2.2.3 External personnel (BIOCIDES)

2.2.3.1 Contract Agents (BIOCIDES)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 7 | 5 | 7 | 7 |
| Function Group III | 6 | 4 | 6 | 6 |
| Function Group II | 2 | 4 | 2 | 2 |
| Function Group I | | | | |
| TOTAL | 15 | 13 | 15 | 15 |

2.2.3.2 Seconded National Experts (BIOCIDES)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 2 | 2 |

2.2.4 External personnel (Environmental directives and International conventions)

2.2.4.1 Contract Agents (Environmental directives and International conventions)

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 11 | 7 | 8 | 12 |
| Function Group III | 2 | 5 | 3 | 3 |
| Function Group II | | 1 | | |
| Function Group I | | | | |
| TOTAL | 13 | 13 | 11 | 15 |

2.2.4.2 Seconded National Experts (Environmental directives and International conventions)

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Financial Resources ECHA

3.1.1 Revenues ECHA

3.1.1.1 General revenues ECHA

| REVENUES | General revenues ECHA | | | | |
|---|-----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 40 154 133 | 31 882 459 | 33 163 832 | 33 163 832 | 4,02% |
| 2 EU CONTRIBUTION | 76 049 056 | 83 174 568 | 88 647 688 | 88 647 688 | 6,58% |
| - Of which assigned revenues deriving from previous years' surpluses | 2 602 552 | 3 509 218 | 5 613 930 | 5 613 930 | 59,98% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 244 467 | 2 667 415 | 2 774 444 | 2 774 444 | 4,01% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 244 467 | 2 667 415 | 2 774 444 | 2 774 444 | 4,01% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 73 297 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 118 520 953 | 117 724 442 | 124 585 964 | 124 585 964 | 5,83% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements ECHA

| REVENUES | Additional EU funding: contribution, grant and service level agreements ECHA | | | | |
|--|--|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 3 744 348 | 2 642 400 | 2 647 400 | 2 647 400 | 0,19% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 437 092 | 1 562 092 | 784 712 | 784 712 | -49,77% |
| TOTAL | 5 181 440 | 4 204 492 | 3 432 112 | 3 432 112 | -18,37% |

3.1.2 Expenditure ECHA

3.1.2.1 Commitment appropriations ECHA

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|--|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 75 359 404 | 79 708 220 | 82 903 919 | 82 903 919 | 4,01% |
| Salaries & allowances | 68 491 967 | 72 342 000 | 75 474 200 | 75 474 200 | 4,33% |
| - <i>Of which establishment plan posts</i> | 59 840 902 | 62 980 000 | 65 544 600 | 65 544 600 | 4,07% |
| - <i>Of which external personnel</i> | 8 651 065 | 9 362 000 | 9 929 600 | 9 929 600 | 6,06% |
| Expenditure relating to Staff recruitment | 660 648 | 658 000 | 658 000 | 658 000 | 0,00% |
| Employer's pension contributions | 2 579 842 | 2 690 000 | 2 743 800 | 2 743 800 | 2,00% |
| Mission expenses | 6 615 | 25 000 | 25 500 | 25 500 | 2,00% |
| Socio-medical infrastructure | 1 525 748 | 1 739 720 | 1 748 919 | 1 748 919 | 0,53% |
| Training | 549 998 | 676 000 | 676 000 | 676 000 | 0,00% |
| External Services | 1 544 586 | 1 577 500 | 1 577 500 | 1 577 500 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 15 441 084 | 17 067 482 | 18 466 148 | 18 466 148 | 8,19% |
| Rental of buildings and associated costs | 7 533 232 | 8 131 801 | 8 481 742 | 8 481 742 | 4,30% |
| Information, communication technology and data processing | 7 446 597 | 8 386 774 | 9 424 512 | 9 424 512 | 12,37% |
| Movable property and associated costs | 138 435 | 137 000 | 139 741 | 139 741 | 2,00% |
| Current administrative expenditure | 312 564 | 400 705 | 408 726 | 408 726 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 10 256 | 11 202 | 11 427 | 11 427 | 2,01% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|--|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 17 565 749 | 16 645 769 | 18 497 687 | 18 497 687 | 11,13% |
| Registration, datatsharing and dissemination | 63 117 | 69 500 | 70 890 | 70 890 | 2,00% |
| Evaluation | | 80 000 | 81 600 | 81 600 | 2,00% |
| Risk Management | 306 580 | 334 000 | 340 680 | 340 680 | 2,00% |
| Classification and labelling | 19 582 | | | | |
| Advice and assistance through guidance and helpdesk | 45 851 | 48 985 | 49 965 | 49 965 | 2,00% |
| Scientific IT tools | 12 466 717 | 10 904 808 | 12 241 905 | 12 241 905 | 12,26% |
| Scientific and technical advice to EU institutions | 407 970 | 628 000 | 640 560 | 640 560 | 2,00% |
| Committees and Forum | 657 601 | 968 823 | 988 200 | 988 200 | 2,00% |
| Board of appeal | 60 500 | 62 000 | 63 240 | 63 240 | 2,00% |
| Communications including translations | 1 569 291 | 1 097 897 | 1 419 855 | 1 419 855 | 29,32% |
| International cooperation | | | | | |
| Management Board and management of the Agency | 660 939 | 753 756 | 768 832 | 768 832 | 2,00% |
| Missions | 126 843 | 220 000 | 224 400 | 224 400 | 2,00% |
| External training | | | | | |
| Refunds | | | | | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 780 758 | 878 000 | 895 560 | 895 560 | 2,00% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 400 000 | 600 000 | 712 000 | 712 000 | 18,67% |
| Title 4 - Operational expenditure | 2 695 135 | 1 898 690 | 2 152 666 | 2 152 666 | 13,38% |
| Substances, products and technical equivalence | | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |
| Submissions, datatsharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | 21 727 | 24 240 | 24 725 | 24 725 | 2,00% |

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|--|--------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Scientific IT tools | 2 344 640 | 1 360 196 | 1 603 400 | 1 603 400 | 17,88% |
| Scientific and technical advice to EU institutions | | | | | |
| Biocidal products Committee and Forum | 74 680 | 270 954 | 276 374 | 276 374 | 2,00% |
| Board of appeal | 4 225 | 11 500 | 11 730 | 11 730 | 2,00% |
| Communications including translations | 171 334 | 114 691 | 116 985 | 116 985 | 2,00% |
| Management Board and management of the Agency | 67 950 | 87 109 | 88 852 | 88 852 | 2,00% |
| Missions | 10 579 | 30 000 | 30 600 | 30 600 | 2,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 5 - Operational expenditure | 2 125 618 | 2 436 813 | 2 683 576 | 2 683 576 | 10,13% |
| Studies and consultants | 109 775 | 110 000 | 278 200 | 278 200 | 152,91% |
| Advice and assistance through guidance and helpdesk | | | | | |
| Scientific IT tools | 1 878 149 | 1 776 005 | 2 138 551 | 2 138 551 | 20,41% |
| Meetings with authorities and expert groups | 2 771 | 20 000 | 20 400 | 20 400 | 2,00% |
| Communications including translations | 73 953 | 461 058 | 175 280 | 175 280 | -61,98% |
| Management Board and management of the Agency | 51 692 | 48 500 | 49 470 | 49 470 | 2,00% |
| Missions | 9 278 | 21 250 | 21 675 | 21 675 | 2,00% |
| External training | | | | | |
| Title 6 - Other tasks | 9 079 825 | 4 204 492 | 3 432 112 | 3 432 112 | -18,37% |
| IPA programme | 230 341 | | | | |
| EUON | 1 757 801 | 614 000 | 619 000 | 619 000 | 0,81% |
| EUCLEF | 3 339 455 | 1 053 400 | 1 053 400 | 1 053 400 | 0,00% |
| Occupational exposure limits | 1 323 717 | 975 000 | 975 000 | 975 000 | 0,00% |
| Further development of IUCLID (as co-investments from third parties) | 2 428 511 | 1 562 092 | 784 712 | 784 712 | -49,77% |

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|---|--------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 9 - Operational expenditure | | | | | |
| Appropriation for a Negative budget result prior year BIOCIDE | | | | | |
| TOTAL | 122 266 815 | 121 961 466 | 128 136 108 | 128 136 108 | 5,06% |

3.1.2.2 Payment appropriations ECHA

| EXPENDITURE | Payment appropriations ECHA | | | | |
|---|-----------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 75 359 404 | 79 708 220 | 82 903 919 | 82 903 919 | 4,01% |
| Salaries & allowances | 68 491 967 | 72 342 000 | 75 474 200 | 75 474 200 | 4,33% |
| - Of which establishment plan posts | 59 840 902 | 62 980 000 | 65 544 600 | 65 544 600 | 4,07% |
| - Of which external personnel | 8 651 065 | 9 362 000 | 9 929 600 | 9 929 600 | 6,06% |
| Expenditure relating to Staff recruitment | 660 648 | 658 000 | 658 000 | 658 000 | 0,00% |
| Employer's pension contributions | 2 579 842 | 2 690 000 | 2 743 800 | 2 743 800 | 2,00% |
| Mission expenses | 6 615 | 25 000 | 25 500 | 25 500 | 2,00% |
| Socio-medical infrastructure | 1 525 748 | 1 739 720 | 1 748 919 | 1 748 919 | 0,53% |
| Training | 549 998 | 676 000 | 676 000 | 676 000 | 0,00% |
| External Services | 1 544 586 | 1 577 500 | 1 577 500 | 1 577 500 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 15 441 084 | 17 067 482 | 18 466 148 | 18 466 148 | 8,19% |
| Rental of buildings and associated costs | 7 533 232 | 8 131 801 | 8 481 742 | 8 481 742 | 4,30% |
| Information, communication technology and data processing | 7 446 597 | 8 386 774 | 9 424 512 | 9 424 512 | 12,37% |
| Movable property and associated costs | 138 435 | 137 000 | 139 741 | 139 741 | 2,00% |
| Current administrative expenditure | 312 564 | 400 705 | 408 726 | 408 726 | 2,00% |

| EXPENDITURE | Payment appropriations ECHA | | | | |
|--|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 10 256 | 11 202 | 11 427 | 11 427 | 2,01% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 17 357 658 | 16 613 237 | 18 379 655 | 18 379 655 | 10,63% |
| Registration, datasharing and dissemination | 63 117 | 69 500 | 70 890 | 70 890 | 2,00% |
| Evaluation | | 80 000 | 81 600 | 81 600 | 2,00% |
| Risk Management | 306 580 | 334 000 | 340 680 | 340 680 | 2,00% |
| Classification and labelling | 19 582 | | | | |
| Advice and assistance through guidance and helpdesk | 45 851 | 48 985 | 49 965 | 49 965 | 2,00% |
| Scientific IT tools | 12 466 717 | 10 904 808 | 12 241 905 | 12 241 905 | 12,26% |
| Scientific and technical advice to EU institutions | 407 970 | 628 000 | 640 560 | 640 560 | 2,00% |
| Committees and Forum | 657 601 | 968 823 | 988 200 | 988 200 | 2,00% |
| Board of appeal | 60 500 | 62 000 | 63 240 | 63 240 | 2,00% |
| Communications including translations | 1 569 291 | 1 097 897 | 1 419 855 | 1 419 855 | 29,32% |
| International cooperation | | | | | |
| Management Board and management of the Agency | 660 939 | 753 756 | 768 832 | 768 832 | 2,00% |
| Missions | 126 843 | 220 000 | 224 400 | 224 400 | 2,00% |
| External training | | | | | |
| Refunds | | | | | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 440 017 | 750 618 | 825 631 | 825 631 | 9,99% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 532 650 | 694 850 | 663 897 | 663 897 | -4,45% |

| EXPENDITURE | Payment appropriations ECHA | | | | |
|--|-----------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 4 - Operational expenditure | 2 695 135 | 1 898 690 | 2 152 666 | 2 152 666 | 13,38% |
| Substances, products and technical equivalence | | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |
| Submissions, datasharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | 21 727 | 24 240 | 24 725 | 24 725 | 2,00% |
| Scientific IT tools | 2 344 640 | 1 360 196 | 1 603 400 | 1 603 400 | 17,88% |
| Scientific and technical advice to EU institutions | | | | | |
| Biocidal products Committee and Forum | 74 680 | 270 954 | 276 374 | 276 374 | 2,00% |
| Board of appeal | 4 225 | 11 500 | 11 730 | 11 730 | 2,00% |
| Communications including translations | 171 334 | 114 691 | 116 985 | 116 985 | 2,00% |
| Management Board and management of the Agency | 67 950 | 87 109 | 88 852 | 88 852 | 2,00% |
| Missions | 10 579 | 30 000 | 30 600 | 30 600 | 2,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 5 - Operational expenditure | 2 125 618 | 2 436 813 | 2 683 576 | 2 683 576 | 10,13% |
| Studies and consultants | 109 775 | 110 000 | 278 200 | 278 200 | 152,91% |
| Advice and assistance through guidance and helpdesk | | | | | |
| Scientific IT tools | 1 878 149 | 1 776 005 | 2 138 551 | 2 138 551 | 20,41% |
| Meetings with authorities and expert groups | 2 771 | 20 000 | 20 400 | 20 400 | 2,00% |
| Communications including translations | 73 953 | 461 058 | 175 280 | 175 280 | -61,98% |
| Management Board and management of the Agency | 51 692 | 48 500 | 49 470 | 49 470 | 2,00% |
| Missions | 9 278 | 21 250 | 21 675 | 21 675 | 2,00% |
| External training | | | | | |
| Title 6 - Other tasks | 9 079 825 | 4 204 492 | 3 432 112 | 3 432 112 | -18,37% |

| EXPENDITURE | Payment appropriations ECHA | | | | |
|--|-----------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| IPA programme | 230 341 | | | | |
| EUON | 1 757 801 | 614 000 | 619 000 | 619 000 | 0,81% |
| EUCLEF | 3 339 455 | 1 053 400 | 1 053 400 | 1 053 400 | 0,00% |
| Occupational exposure limits | 1 323 717 | 975 000 | 975 000 | 975 000 | 0,00% |
| Further development of IUCLID (as co-investments from third parties) | 2 428 511 | 1 562 092 | 784 712 | 784 712 | -49,77% |
| Title 9 - Operational expenditure | | | | | |
| Appropriation for a Negative budget result prior year BIOCIDES | | | | | |
| TOTAL | 122 058 724 | 121 928 934 | 128 018 076 | 128 018 076 | 4,99% |

3.2 Financial Resources (REACH / CLP)

3.2.1 Revenues (REACH / CLP)

3.2.1.1 General revenues (REACH / CLP)

| REVENUES | General revenues (REACH / CLP) | | | | |
|---|--------------------------------|-------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 33 397 513 | 27 250 985 | 28 084 510 | 28 084 510 | 3,06% |
| 2 EU CONTRIBUTION | 64 222 055 | 70 711 023 | 73 971 000 | 73 971 000 | 4,61% |
| - Of which assigned revenues deriving from previous years' surpluses | 2 575 616 | 2 348 680 | 4 165 410 | 4 165 410 | 77,35% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 1 610 075 | 2 003 016 | 2 045 304 | 2 045 304 | 2,11% |
| - Of which EEA/EFTA (excl. Switzerland) | 1 610 075 | 2 003 016 | 2 045 304 | 2 045 304 | 2,11% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 73 287 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 99 302 930 | 99 965 024 | 104 100 814 | 104 100 814 | 4,14% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (REACH / CLP)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (REACH / CLP) | | | | |
|--|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 3 744 348 | 2 642 400 | 2 647 400 | 2 647 400 | 0,19% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 437 092 | 1 562 092 | 784 712 | 784 712 | -49,77% |
| TOTAL | 5 181 440 | 4 204 492 | 3 432 112 | 3 432 112 | -18,37% |

3.2.2 Expenditure (REACH /CLP)

3.2.2.1 Commitment appropriations (REACH / CLP)

| EXPENDITURE | Commitment appropriations (REACH / CLP) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 64 789 505 | 68 878 569 | 70 224 193 | 70 224 193 | 1,95% |
| Salaries & allowances | 58 765 946 | 62 570 000 | 63 861 400 | 63 861 400 | 2,06% |
| - Of which establishment plan posts | 52 207 631 | 55 200 000 | 56 304 000 | 56 304 000 | 2,00% |
| - Of which external personnel | 6 558 315 | 7 370 000 | 7 557 400 | 7 557 400 | 2,54% |
| Expenditure relating to Staff recruitment | 608 527 | 567 024 | 567 024 | 567 024 | 0,00% |
| Employer's pension contributions | 2 298 606 | 2 300 000 | 2 346 000 | 2 346 000 | 2,00% |
| Mission expenses | 5 249 | 21 200 | 21 624 | 21 624 | 2,00% |
| Socio-medical infrastructure | 1 290 783 | 1 475 281 | 1 483 081 | 1 483 081 | 0,53% |
| Training | 465 298 | 573 248 | 573 248 | 573 248 | 0,00% |
| External Services | 1 355 096 | 1 371 816 | 1 371 816 | 1 371 816 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 13 100 535 | 14 473 218 | 15 496 966 | 15 496 966 | 7,07% |

| EXPENDITURE | Commitment appropriations (REACH / CLP) | | | | |
|---|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Rental of buildings and associated costs | 6 411 578 | 6 895 767 | 7 033 683 | 7 033 683 | 2,00% |
| Information, communication technology and data processing | 6 299 821 | 7 111 982 | 7 988 502 | 7 988 502 | 12,32% |
| Movable property and associated costs | 117 116 | 116 176 | 118 500 | 118 500 | 2,00% |
| Current administrative expenditure | 263 273 | 339 795 | 346 593 | 346 593 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 8 747 | 9 498 | 9 688 | 9 688 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 17 565 749 | 16 645 769 | 18 497 687 | 18 497 687 | 11,13% |
| Registration, datasharing and dissemination | 63 117 | 69 500 | 70 890 | 70 890 | 2,00% |
| Evaluation | | 80 000 | 81 600 | 81 600 | 2,00% |
| Risk Management | 306 580 | 334 000 | 340 680 | 340 680 | 2,00% |
| Classification and labelling | 19 582 | | | | |
| Advice and assistance through guidance and helpdesk | 45 851 | 48 985 | 49 965 | 49 965 | 2,00% |
| Scientific IT tools | 12 466 717 | 10 904 808 | 12 241 905 | 12 241 905 | 12,26% |
| Scientific and technical advice to EU institutions | 407 970 | 628 000 | 640 560 | 640 560 | 2,00% |
| Committees and Forum | 657 601 | 968 823 | 988 200 | 988 200 | 2,00% |
| Board of appeal | 60 500 | 62 000 | 63 240 | 63 240 | 2,00% |
| Communications including translations | 1 569 291 | 1 097 897 | 1 419 855 | 1 419 855 | 29,32% |
| International cooperation | | | | | |
| Management Board and management of the Agency | 660 939 | 753 756 | 768 832 | 768 832 | 2,00% |
| Missions | 126 843 | 220 000 | 224 400 | 224 400 | 2,00% |
| External training | | | | | |

| EXPENDITURE | Commitment appropriations (REACH / CLP) | | | | |
|--|---|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Refunds | | | | | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 780 758 | 878 000 | 895 560 | 895 560 | 2,00% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 400 000 | 600 000 | 712 000 | 712 000 | 18,67% |
| Title 6 - Other tasks | 9 079 825 | 4 204 492 | 3 432 112 | 3 432 112 | -18,37% |
| IPA programme | 230 341 | | | | |
| EUON | 1 757 801 | 614 000 | 619 000 | 619 000 | 0,81% |
| EUCLEF | 3 339 455 | 1 053 400 | 1 053 400 | 1 053 400 | 0,00% |
| Occupational exposure limits | 1 323 717 | 975 000 | 975 000 | 975 000 | 0,00% |
| Further development of IUCLID (as co-investments from third parties) | 2 428 511 | 1 562 092 | 784 712 | 784 712 | -49,77% |
| TOTAL | 104 535 614 | 104 202 048 | 107 650 958 | 107 650 958 | 3,31% |

3.2.2.2 Payment appropriations (REACH / CLP)

| EXPENDITURE | Payment appropriations (REACH / CLP) | | | | |
|---|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 64 789 505 | 68 878 569 | 70 224 193 | 70 224 193 | 1,95% |
| Salaries & allowances | 58 765 946 | 62 570 000 | 63 861 400 | 63 861 400 | 2,06% |
| - Of which establishment plan posts | 52 207 631 | 55 200 000 | 56 304 000 | 56 304 000 | 2,00% |
| - Of which external personnel | 6 558 315 | 7 370 000 | 7 557 400 | 7 557 400 | 2,54% |
| Expenditure relating to Staff recruitment | 608 527 | 567 024 | 567 024 | 567 024 | 0,00% |
| Employer's pension contributions | 2 298 606 | 2 300 000 | 2 346 000 | 2 346 000 | 2,00% |
| Mission expenses | 5 249 | 21 200 | 21 624 | 21 624 | 2,00% |
| Socio-medical infrastructure | 1 290 783 | 1 475 281 | 1 483 081 | 1 483 081 | 0,53% |
| Training | 465 298 | 573 248 | 573 248 | 573 248 | 0,00% |
| External Services | 1 355 096 | 1 371 816 | 1 371 816 | 1 371 816 | 0,00% |
| Receptions, events and representation | | | | | |

| EXPENDITURE | Payment appropriations (REACH / CLP) | | | | |
|---|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 13 100 535 | 14 473 218 | 15 496 966 | 15 496 966 | 7,07% |
| Rental of buildings and associated costs | 6 411 578 | 6 895 767 | 7 033 683 | 7 033 683 | 2,00% |
| Information, communication technology and data processing | 6 299 821 | 7 111 982 | 7 988 502 | 7 988 502 | 12,32% |
| Movable property and associated costs | 117 116 | 116 176 | 118 500 | 118 500 | 2,00% |
| Current administrative expenditure | 263 273 | 339 795 | 346 593 | 346 593 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 8 747 | 9 498 | 9 688 | 9 688 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 17 357 658 | 16 613 237 | 18 379 655 | 18 379 655 | 10,63% |
| Registration, datatsharing and dissemination | 63 117 | 69 500 | 70 890 | 70 890 | 2,00% |
| Evaluation | | 80 000 | 81 600 | 81 600 | 2,00% |
| Risk Management | 306 580 | 334 000 | 340 680 | 340 680 | 2,00% |
| Classification and labelling | 19 582 | | | | |
| Advice and assistance through guidance and helpdesk | 45 851 | 48 985 | 49 965 | 49 965 | 2,00% |
| Scientific IT tools | 12 466 717 | 10 904 808 | 12 241 905 | 12 241 905 | 12,26% |
| Scientific and technical advice to EU institutions | 407 970 | 628 000 | 640 560 | 640 560 | 2,00% |
| Committees and Forum | 657 601 | 968 823 | 988 200 | 988 200 | 2,00% |
| Board of appeal | 60 500 | 62 000 | 63 240 | 63 240 | 2,00% |
| Communications including translations | 1 569 291 | 1 097 897 | 1 419 855 | 1 419 855 | 29,32% |
| International cooperation | | | | | |

| EXPENDITURE | Payment appropriations (REACH / CLP) | | | | |
|--|--------------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Management Board and management of the Agency | 660 939 | 753 756 | 768 832 | 768 832 | 2,00% |
| Missions | 126 843 | 220 000 | 224 400 | 224 400 | 2,00% |
| External training | | | | | |
| Refunds | | | | | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 440 017 | 750 618 | 825 631 | 825 631 | 9,99% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 532 650 | 694 850 | 663 897 | 663 897 | -4,45% |
| Title 6 - Other tasks | 9 079 825 | 4 204 492 | 3 432 112 | 3 432 112 | -18,37% |
| IPA programme | 230 341 | | | | |
| EUON | 1 757 801 | 614 000 | 619 000 | 619 000 | 0,81% |
| EUCLEF | 3 339 455 | 1 053 400 | 1 053 400 | 1 053 400 | 0,00% |
| Occupational exposure limits | 1 323 717 | 975 000 | 975 000 | 975 000 | 0,00% |
| Further development of IUCLID (as co-investments from third parties) | 2 428 511 | 1 562 092 | 784 712 | 784 712 | -49,77% |
| TOTAL | 104 327 523 | 104 169 516 | 107 532 926 | 107 532 926 | 3,23% |

3.2.3 Budget Outturn (REACH / CLP)

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 4 165 410 EUR.

3.3 Financial Resources (BIOCIDES)

3.3.1 Revenues (BIOCIDES)

3.3.1.1 General revenues (BIOCIDES)

| REVENUES | General revenues (BIOCIDES) | | | | |
|--|-----------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 6 756 620 | 4 631 474 | 5 079 322 | 5 079 322 | 9,67% |
| 2 EU CONTRIBUTION | 7 100 000 | 7 556 055 | 7 745 000 | 7 745 000 | 2,50% |
| - Of which assigned revenues deriving from previous years' surpluses | | 1 039 861 | 1 396 212 | 1 396 212 | 34,27% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 516 420 | 524 146 | 527 574 | 527 574 | 0,65% |
| - Of which EEA/EFTA (excl. Switzerland) | 516 420 | 524 146 | 527 574 | 527 574 | 0,65% |

| REVENUES | General revenues (BIOCIDES) | | | | |
|---|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 14 373 040 | 12 711 675 | 13 351 896 | 13 351 896 | 5,04% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (BIOCIDES)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (BIOCIDES) | | | | |
|--|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.3.2 Expenditure (BIOCIDES)

3.3.2.1 Commitment appropriations (BIOCIDES)

| EXPENDITURE | Commitment appropriations (BIOCIDES) | | | | |
|--|--------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 505 326 | 8 833 154 | 9 078 879 | 9 078 879 | 2,78% |
| Salaries & allowances | 7 809 435 | 7 960 000 | 8 196 800 | 8 196 800 | 2,97% |
| - Of which establishment plan posts | 6 657 482 | 6 740 000 | 6 874 800 | 6 874 800 | 2,00% |
| - Of which external personnel | 1 151 953 | 1 220 000 | 1 322 000 | 1 322 000 | 8,36% |
| Expenditure relating to Staff recruitment | 40 031 | 56 008 | 56 008 | 56 008 | 0,00% |
| Employer's pension contributions | 281 236 | 390 000 | 397 800 | 397 800 | 2,00% |

| EXPENDITURE | Commitment appropriations (BIOCIDES) | | | | |
|--|--------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | 1 011 | 2 900 | 2 958 | 2 958 | 2,00% |
| Socio-medical infrastructure | 173 935 | 201 808 | 202 875 | 202 875 | 0,53% |
| Training | 62 700 | 78 416 | 78 416 | 78 416 | 0,00% |
| External Services | 136 978 | 144 022 | 144 022 | 144 022 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 732 660 | 1 979 831 | 2 120 351 | 2 120 351 | 7,10% |
| Rental of buildings and associated costs | 830 315 | 943 289 | 962 155 | 962 155 | 2,00% |
| Information, communication technology and data processing | 848 912 | 972 867 | 1 093 245 | 1 093 245 | 12,37% |
| Movable property and associated costs | 15 782 | 15 892 | 16 210 | 16 210 | 2,00% |
| Current administrative expenditure | 36 472 | 46 483 | 47 415 | 47 415 | 2,01% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 1 179 | 1 300 | 1 326 | 1 326 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 4 - Operational expenditure | 2 695 135 | 1 898 690 | 2 152 666 | 2 152 666 | 13,38% |
| Substances, products and technical equivalence | | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |
| Submissions, datasharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | 21 727 | 24 240 | 24 725 | 24 725 | 2,00% |
| Scientific IT tools | 2 344 640 | 1 360 196 | 1 603 400 | 1 603 400 | 17,88% |
| Scientific and technical advice to EU institutions | | | | | |

| EXPENDITURE | Commitment appropriations (BIOCIDES) | | | | |
|---|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Biocidal products Committee and Forum | 74 680 | 270 954 | 276 374 | 276 374 | 2,00% |
| Board of appeal | 4 225 | 11 500 | 11 730 | 11 730 | 2,00% |
| Communications including translations | 171 334 | 114 691 | 116 985 | 116 985 | 2,00% |
| Management Board and management of the Agency | 67 950 | 87 109 | 88 852 | 88 852 | 2,00% |
| Missions | 10 579 | 30 000 | 30 600 | 30 600 | 2,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 9 - Operational expenditure | | | | | |
| Appropriation for a Negative budget result prior year BIOCIDE | | | | | |
| TOTAL | 12 933 121 | 12 711 675 | 13 351 896 | 13 351 896 | 5,04% |

3.3.2.2 Payment appropriations (BIOCIDES)

| EXPENDITURE | Payment appropriations (BIOCIDES) | | | | |
|---|-----------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 505 326 | 8 833 154 | 9 078 879 | 9 078 879 | 2,78% |
| Salaries & allowances | 7 809 435 | 7 960 000 | 8 196 800 | 8 196 800 | 2,97% |
| - Of which establishment plan posts | 6 657 482 | 6 740 000 | 6 874 800 | 6 874 800 | 2,00% |
| - Of which external personnel | 1 151 953 | 1 220 000 | 1 322 000 | 1 322 000 | 8,36% |
| Expenditure relating to Staff recruitment | 40 031 | 56 008 | 56 008 | 56 008 | 0,00% |
| Employer's pension contributions | 281 236 | 390 000 | 397 800 | 397 800 | 2,00% |
| Mission expenses | 1 011 | 2 900 | 2 958 | 2 958 | 2,00% |
| Socio-medical infrastructure | 173 935 | 201 808 | 202 875 | 202 875 | 0,53% |
| Training | 62 700 | 78 416 | 78 416 | 78 416 | 0,00% |
| External Services | 136 978 | 144 022 | 144 022 | 144 022 | 0,00% |

| EXPENDITURE | Payment appropriations (BIOCIDES) | | | | |
|--|-----------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 732 660 | 1 979 831 | 2 120 351 | 2 120 351 | 7,10% |
| Rental of buildings and associated costs | 830 315 | 943 289 | 962 155 | 962 155 | 2,00% |
| Information, communication technology and data processing | 848 912 | 972 867 | 1 093 245 | 1 093 245 | 12,37% |
| Movable property and associated costs | 15 782 | 15 892 | 16 210 | 16 210 | 2,00% |
| Current administrative expenditure | 36 472 | 46 483 | 47 415 | 47 415 | 2,01% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 1 179 | 1 300 | 1 326 | 1 326 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 4 - Operational expenditure | 2 695 135 | 1 898 690 | 2 152 666 | 2 152 666 | 13,38% |
| Substances, products and technical equivalence | | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |
| Submissions, datasharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | 21 727 | 24 240 | 24 725 | 24 725 | 2,00% |
| Scientific IT tools | 2 344 640 | 1 360 196 | 1 603 400 | 1 603 400 | 17,88% |
| Scientific and technical advice to EU institutions | | | | | |
| Biocidal products Committee and Forum | 74 680 | 270 954 | 276 374 | 276 374 | 2,00% |
| Board of appeal | 4 225 | 11 500 | 11 730 | 11 730 | 2,00% |
| Communications including translations | 171 334 | 114 691 | 116 985 | 116 985 | 2,00% |
| Management Board and management of the Agency | 67 950 | 87 109 | 88 852 | 88 852 | 2,00% |

| EXPENDITURE | Payment appropriations (BIOCIDES) | | | | |
|---|-----------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Missions | 10 579 | 30 000 | 30 600 | 30 600 | 2,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 9 - Operational expenditure | | | | | |
| Appropriation for a Negative budget result prior year BIOCIDE | | | | | |
| TOTAL | 12 933 121 | 12 711 675 | 13 351 896 | 13 351 896 | 5,04% |

3.3.3 Budget Outturn (BIOCIDES)

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 1 396 212 EUR.

3.4 Financial Resources (Environmental directives and International conventions)

3.4.1 Revenues (Environmental directives and International conventions)

3.4.1.1 General revenues (Environmental directives and International conventions)

| REVENUES | General revenues (Environmental directives and International conventions) | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 4 727 001 | 4 907 490 | 6 931 688 | 6 931 688 | 41,25% |
| - Of which assigned revenues deriving from previous years' surpluses | 26 936 | 120 677 | 52 308 | 52 308 | -56,65% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 117 972 | 140 253 | 201 566 | 201 566 | 43,72% |
| - Of which EEA/EFTA (excl. Switzerland) | 117 972 | 140 253 | 201 566 | 201 566 | 43,72% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 10 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |

| REVENUES | General revenues (Environmental directives and International conventions) | | | | |
|--------------|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| TOTAL | 4 844 983 | 5 047 743 | 7 133 254 | 7 133 254 | 41,32% |

3.4.1.2 Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions) | | | | |
|--|--|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.4.2 Expenditure (Environmental directives and International conventions)

3.4.2.1 Commitment appropriations (Environmental directives and International conventions)

| EXPENDITURE | Commitment appropriations (Environmental directives and International conventions) | | | | |
|--|--|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 2 064 573 | 1 996 497 | 3 600 847 | 3 600 847 | 80,36% |
| Salaries & allowances | 1 916 586 | 1 812 000 | 3 416 000 | 3 416 000 | 88,52% |
| - Of which establishment plan posts | 975 789 | 1 040 000 | 2 365 800 | 2 365 800 | 127,48% |
| - Of which external personnel | 940 797 | 772 000 | 1 050 200 | 1 050 200 | 36,04% |
| Expenditure relating to Staff recruitment | 12 090 | 34 968 | 34 968 | 34 968 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 355 | 900 | 918 | 918 | 2,00% |
| Socio-medical infrastructure | 61 030 | 62 631 | 62 963 | 62 963 | 0,53% |
| Training | 22 000 | 24 336 | 24 336 | 24 336 | 0,00% |
| External Services | 52 512 | 61 662 | 61 662 | 61 662 | 0,00% |

| EXPENDITURE | Commitment appropriations (Environmental directives and International conventions) | | | | |
|---|--|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 607 889 | 614 433 | 848 831 | 848 831 | 38,15% |
| Rental of buildings and associated costs | 291 339 | 292 745 | 485 904 | 485 904 | 65,98% |
| Information, communication technology and data processing | 297 864 | 301 925 | 342 765 | 342 765 | 13,53% |
| Movable property and associated costs | 5 537 | 4 932 | 5 031 | 5 031 | 2,01% |
| Current administrative expenditure | 12 819 | 14 427 | 14 718 | 14 718 | 2,02% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 330 | 404 | 413 | 413 | 2,23% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 5 - Operational expenditure | 2 125 618 | 2 436 813 | 2 683 576 | 2 683 576 | 10,13% |
| Studies and consultants | 109 775 | 110 000 | 278 200 | 278 200 | 152,91% |
| Advice and assistance through guidance and helpdesk | | | | | |
| Scientific IT tools | 1 878 149 | 1 776 005 | 2 138 551 | 2 138 551 | 20,41% |
| Meetings with authorities and expert groups | 2 771 | 20 000 | 20 400 | 20 400 | 2,00% |
| Communications including translations | 73 953 | 461 058 | 175 280 | 175 280 | -61,98% |
| Management Board and management of the Agency | 51 692 | 48 500 | 49 470 | 49 470 | 2,00% |
| Missions | 9 278 | 21 250 | 21 675 | 21 675 | 2,00% |
| External training | | | | | |
| TOTAL | 4 798 080 | 5 047 743 | 7 133 254 | 7 133 254 | 41,32% |

3.4.2.2 Payment appropriations (Environmental directives and International conventions)

| EXPENDITURE | Payment appropriations (Environmental directives and International conventions) | | | | |
|--|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 2 064 573 | 1 996 497 | 3 600 847 | 3 600 847 | 80,36% |
| Salaries & allowances | 1 916 586 | 1 812 000 | 3 416 000 | 3 416 000 | 88,52% |
| - Of which establishment plan posts | 975 789 | 1 040 000 | 2 365 800 | 2 365 800 | 127,48% |
| - Of which external personnel | 940 797 | 772 000 | 1 050 200 | 1 050 200 | 36,04% |
| Expenditure relating to Staff recruitment | 12 090 | 34 968 | 34 968 | 34 968 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 355 | 900 | 918 | 918 | 2,00% |
| Socio-medical infrastructure | 61 030 | 62 631 | 62 963 | 62 963 | 0,53% |
| Training | 22 000 | 24 336 | 24 336 | 24 336 | 0,00% |
| External Services | 52 512 | 61 662 | 61 662 | 61 662 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 607 889 | 614 433 | 848 831 | 848 831 | 38,15% |
| Rental of buildings and associated costs | 291 339 | 292 745 | 485 904 | 485 904 | 65,98% |
| Information, communication technology and data processing | 297 864 | 301 925 | 342 765 | 342 765 | 13,53% |
| Movable property and associated costs | 5 537 | 4 932 | 5 031 | 5 031 | 2,01% |
| Current administrative expenditure | 12 819 | 14 427 | 14 718 | 14 718 | 2,02% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 330 | 404 | 413 | 413 | 2,23% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations (Environmental directives and International conventions) | | | | |
|---|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 5 - Operational expenditure | 2 125 618 | 2 436 813 | 2 683 576 | 2 683 576 | 10,13% |
| Studies and consultants | 109 775 | 110 000 | 278 200 | 278 200 | 152,91% |
| Advice and assistance through guidance and helpdesk | | | | | |
| Scientific IT tools | 1 878 149 | 1 776 005 | 2 138 551 | 2 138 551 | 20,41% |
| Meetings with authorities and expert groups | 2 771 | 20 000 | 20 400 | 20 400 | 2,00% |
| Communications including translations | 73 953 | 461 058 | 175 280 | 175 280 | -61,98% |
| Management Board and management of the Agency | 51 692 | 48 500 | 49 470 | 49 470 | 2,00% |
| Missions | 9 278 | 21 250 | 21 675 | 21 675 | 2,00% |
| External training | | | | | |
| TOTAL | 4 798 080 | 5 047 743 | 7 133 254 | 7 133 254 | 41,32% |

3.4.3 Budget Outturn (Environmental directives and International conventions)

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 52 308 EUR.

4 Justification of needs

Commission assessment

REACH/CLP resources:

- The EU balancing subsidy requested by ECHA for REACH/CLP is in line with the technical update of the financial programming for 2024-2027 following the adoption of the 2023 budget.
- The establishment plan of the agency including the contract agents and SNE allocations are in line with the authorised ceilings.
- To note that 8 CA FTEs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area in 2021 and 2022. With the progress of the work on the SCIP database, ECHA has redeployed 3 CA FTEs back to REACH/CLP in 2023, while 5 CA FTEs remain under the Environmental directives and International conventions to continue the work under the Waste Framework Directive. The legislative proposal for a basic regulation of ECHA under preparation planned for adoption in 2023 will be the opportunity to assess the staff needs of the agency for all the strands of its budget and make the necessary adjustments.

BIOCIDES resources:

- The ECHA request for stable staff for the DB 2024 is in line with the Commission instructions. The number of staff for 2024 will be identical to the 2023 establishment plan, namely 52 TA, 15 CA and 2 SNEs.
- The ECHA request for budget for the DB 2024 is in line with the technical update of the financial programming for 2024-2027 following the adoption of the 2023 budget. The Agency requests an EU subsidy of €7.7 million, of which the 2022 outturn of €1.4 million is to be deducted. The net contribution thus amounts to €6.3 million.

Environmental directives and International conventions resources:

- Financial resources requested are slightly below the technical update of the financial programming for 2024-2027 following the adoption of the 2023 budget, due to the return of 3 CA back to REACH/CLP explained above.
- The overall amount of the 2023 budget request for the Environmental directives and International conventions is increasing by 40% compared to the 2023 voted budget, which is mainly due to new tasks and resources delegated to ECHA in the area of batteries and waste batteries, industrial emissions and water (cf. section 1.1 for legal references and section 4.1.1.3 for the breakdown of posts).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (REACH / CLP)

ECHA's mandate and specific tasks under the four Regulations remain the core of its activities and, therefore, overall, ECHA needs to maintain the resources allocated to its regulatory tasks. ECHA intends to continue maintaining its low vacancy rate for all Regulations and implementing proactive human resource management practices to ensure a healthy level of staff turnover.

While the human resources request for REACH/CLP Contract Agents is 97 FTEs, the actual number is 102 FTEs. The difference stems from the temporary allocation of resources from REACH/CLP to the Environmental directives and International conventions budget line for the work related to the Waste Framework Directive. In 2021 and 2022, 8 CAs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area. Following the progress of the work on the SCIP database, ECHA has redeployed 3 CAs back to REACH/CLP area in 2023, while 5 CAs remain under the Environmental directives and International conventions.

For 2024, ECHA is requesting three Seconded National Expert (SNE) posts under REACH/CLP, which is in line with the number of SNE posts authorised for 2023 (3 REACH/CLP SNE posts).

4.1.1.2 Number of staff requested (BIOCIDES)

ECHA's mandate and specific tasks under the four Regulations remain the core of its activities and, therefore, overall, ECHA needs to maintain the resources allocated to its regulatory tasks. ECHA intends to continue maintaining its low vacancy rate for all regulations and implementing proactive human resource policy and management practices as mentioned in the section above regarding REACH/CLP.

For the Biocidal Products Regulation, ECHA requests to maintain its human resources with the same overall total as authorised for 2023.

The Cross-border threats to health³³ foresees that ECHA will provide ad hoc "rapid risk assessments" in the event of a health emergency. The scope of work is presently under discussion with the Commission.

4.1.1.3 Number of staff requested (Environmental directives and International conventions)

Following a strategic analysis of its future direction with its Management Board and key stakeholders, ECHA concluded that there are potential new tasks as well as existing activity areas that require sufficient human resources.

For PIC and POPs ECHA requests to maintain its human resources with the same overall total as authorised for 2023.

In 2020, work for the Waste Framework Directive was carried out by CAs recruited via REACH/CLP staffing plan posts. For 2021 and 2022, 8 CAs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area for the work required under Article 9 of the Directive 2008/98/EC on waste. Following the progress of the work on the SCIP database, ECHA has redeployed a part of these resources back to REACH/CLP area in 2023 (3 CAs were redeployed to REACH/CLP, while 5 CAs remain under the Environmental directives and International conventions to continue the work under the Waste Framework Directive).

To carry out the tasks entrusted to ECHA under the Drinking Water Directive 98/83/EC, ECHA requests to maintain its human resources at the same level as authorised for 2023 (3 AD posts and 2 CA posts).

³³ Regulation (EU) 2022/2371 of the European Parliament and of the Council of 23 November 2022 on serious cross-border threats to health and repealing Decision No 1082/2013/EU

To support the work under the 8th Environmental Action Programme, ECHA was granted 1 AD and 1 CA post for the period 2021-2027, both of which are included in the 2024 budget request.

Here below the breakdown of the posts for Environmental directives and International conventions:

| | Posts for 2023 | | Posts for 2024 | |
|--------------------------------|----------------|-----------|----------------|-----------|
| | TA | CA | TA | CA |
| PIC | 7 | 1 | 7 | 1 |
| POPs | | 1 | | 1 |
| WFD | | 5* | | 5* |
| DWD | 3 | 2 | 3 | 2 |
| 8th EAP | 1 | 1 | 1 | 1 |
| Battery Regulation | | | 2 | 1 |
| Industrial Emissions Directive | | | 3 | |
| Water Directives | | | 7 | 4 |
| TOTAL | 13 | 11 | 23 | 15 |

* As no staff allocation has been provisioned to cover the work on the Waste Framework Directive activities, 8 CA FTEs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area in 2021 and 2022. With the progress of the work on the SCIP database, ECHA has redeployed 3 CA FTEs back to REACH/CLP in 2023, while 5 CA FTEs remain under the Environmental directives and International conventions to continue the work under the Waste Framework Directive.

Upon the Commission's request, the ECHA, in its 2024 resources request, includes the financial and human resources estimates for those new tasks for which the legislative process has progressed sufficiently to allow for a coherent planning on the basis of the legislative financial statements accompanying the Commission proposals.

4.1.2 Vacancy rate as of end 2022

4.1.2.1 Vacancy rate as of end 2022 (REACH / CLP)

The vacancy rate of the establishment plan posts for REACH/CLP on 31 December 2022 was 2.23%.

4.1.2.2 Vacancy rate as of end 2022 (BIOCIDES)

The vacancy rate of the establishment plan posts for Biocidal Products Regulation on 31 December 2022 was 0,00%.

4.1.2.3 Vacancy rate as of end 2022 (Environmental directives and International conventions)

The vacancy rate of the establishment plan posts for Environmental Directives and International Conventions on 31 December 2022 was 0.00%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (REACH /CLP)

A 2% vacancy rate is applied to the salary estimates to account for turnover.

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (BIOCIDES)

A 2% vacancy rate is applied to the salary estimates to account for turnover.

4.1.3.3 Standard abatement ('abatement forfaitaire') applied (Environmental directives and International conventions)

A 2% vacancy rate is applied to the salary estimates to account for turnover.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (REACH / CLP)

Salary indexation applied: +2% yearly increase

4.1.4.2 Salary assumption for calculating salary line (% applied) (BIOCIDES)

Salary indexation applied: +2% yearly increase

4.1.4.3 Salary assumption for calculating salary line (% applied) (Environmental directives and International conventions)

Salary indexation applied: +2% yearly increase

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (REACH / CLP)

118.6 corresponding to the July 2021 update (117.3 currently)

4.1.5.2 Correction coefficient used (BIOCIDES)

118.6 corresponding to the July 2021 update (117.3 currently)

4.1.5.3 Correction coefficient used (Environmental directives and International conventions)

118.6 corresponding to the July 2021 update (117.3 currently)

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (REACH / CLP)

N/A

4.1.6.2 Exchange rate used (if applicable) (BIOCIDES)

N/A

4.1.6.3 Exchange rate used (if applicable) (Environmental directives and International conventions)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (REACH/CLP)

For 2024, the needs for staff-related expenditure (Title 1) will amount to c. EUR 70.2 million (+2% from 2023). The increase stems mainly from the increase in the salaries and allowances, which represent 94.3% of the total Title 1 budget.

4.2.1.2 Title 1 (BIOCIDES)

The total amount for staff-related expenditure under BPR in 2024 totals c. EUR 9.1 million, representing an increase of 3 % compared to 2023. The increase includes the general indexation of salaries and allowances (2%) and appointing of an additional SNE and trainee.

4.2.1.3 Title 1 (Environmental directives and International conventions)

The total amount for staff-related expenditure under Environmental directives and International conventions (PIC, POPs, Waste Framework Directive, Drinking Water Directive and 8EAP) in 2024 totals c. EUR 2.0 million, representing an increase of 2% compared to 2023. This increase is mostly related to the general indexation of salaries and allowances. In addition, the request includes c. EUR 1.6 million to cover staff expenditure (12 TAs and 5 CAs) related to Battery Regulation, Industrial Emissions Directive, Revision of the Groundwater, Surface Water and Environmental Quality Standards (EQS) Directives, which are still pending adoption.

4.2.2 Title 2

4.2.2.1 Title 2 (REACH/CLP)

The Agency's overall Title 2 (infrastructure and operating expenditure) for 2024 amounts to c. EUR 18.3 million, representing an increase of 7% compared to 2023. The costs are split between the regulations based on the estimated average number of staff per regulation. The preliminary ratios for 2024 are REACH/CLP – c. 84,8%, Biocides - c. 11,6% and PIC, POPs, Waste Framework Directive, Drinking Water Directive and 8EAP - c. 3.6%. The part allocated to REACH/CLP amounts to EUR 15.5 million. The reason behind the increase mainly relates to the provision related to hand-over and take-over activities with respect to a new FWC for Microsoft Services.

4.2.2.2 Title 2 (BIOCIDES)

Same comment as above. The amount allocated to BPR regulation is EUR 2.1 million.

4.2.2.3 Title 2 (Environmental directives and International conventions)

Same comment as above. The amount allocated to Environmental directives and international conventions is EUR 0.7 million. The request includes also a provision of EUR 0.15 million to cover expenditure related to Battery Regulation, Industrial Emissions Directive, Revision of the Groundwater, Surface Water and Environmental Quality Standards (EQS) Directives, which are still pending adoption.

4.2.3 Operational Title

4.2.3.1 Title 3 (REACH/CLP)

The overall REACH/CLP operational expenditure for 2024 totals c. € 18.5 million, representing an increase of 11%. The Scientific IT tools (such as REACH-IT, IUCLID, Cloud Services for SMEs, CHESAR, BIDI, Dynamic Case, Portal Dashboard, ODYSSEY and other ECM Programme tools) remain by far the largest expenditure items, representing c. 66% of the overall operational expenditure. In addition, there is a provision for joining the Cybersecurity legislative proposal. Furthermore, there is c. € 0.3 million increase on the line for Communications, including Translations related to the planned update of several guidance documents and manuals.

The expenditure related to running the Committee meetings (Member State Committee, Committee for Risk Assessment and Committee for Socio-Economic Analysis), total c. € 1.0 million and account for c. 5% of the operational expenditure.

In addition, Title 3 contains two differentiated budget lines. One is reserved for contracts with the Member States for Substance Evaluation and remunerating the scientific committee rapporteurs on their work on Authorisations and

Restrictions, and the other one for contracts related to the development and implementation of tools for the international co-operation in the fields of chemicals, such as the QSAR-toolbox.

The amounts foreseen on these lines in 2024 are presented in the below table:

| Budget line | Commitments Appropriations | Payment appropriations |
|--|----------------------------|------------------------|
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 895 560 | 825 631 |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 712 000 | 663 897 |
| Total | 1 607 560 | 1 489 528 |

Compared to 2023, there is a c. 3% increase in the Payment Appropriations for the two differentiated lines which is stemming from the related contractual obligations.

4.2.3.2 Title 4 (BIOCIDES)

The overall Biocides operational expenditure for 2024 amounts to c. € 2.2 million, representing an increase of 13% compared to 2023. The main item continues to be related to the maintenance and development of the relevant IT tools (such as R4BP, SPC Editor and EUSES), representing c. 74% of the overall operational expenditure. Another significant expenditure item relates to the Biocidal Products Committee and its technical working groups, representing c. 13% of the overall operational expenditure. Through the Committee, ECHA continues delivering opinions to the European Commission to support decision making on biocidal active substances and products. In addition, there is a provision for joining the Cybersecurity legislative proposal.

4.2.3.3 Title 5 (Environmental directives and International conventions)

The overall operational expenditure for PIC, POPs, Waste Framework Directive, Drinking Water Directive and 8th Environmental Action Programme for 2024 amounts to c. € 2.3 million. This amount represents a decrease of 6% compared to 2023. The operational expenditure is almost exclusively (c. 83%) allocated to the maintenance of the IT tools related to the Waste Framework Directive database (SCIP), support of the export notifications, the import consents and related reporting and the POPs, as well as for maintaining the IT tools for the Drinking Water Directive. Other main elements are related to communication activities, particularly translations. The amount also includes EUR 0.11 million for the scientific work related to the tasks on POPs and Drinking Water Directive. In addition, there is a provision for joining the Cybersecurity legislative proposal.

In addition, the request includes c. EUR 0.3 million to cover expenditure related to Battery Regulation, Industrial Emissions Directive, Revision of the Groundwater, Surface Water and Environmental Quality Standards (EQS) Directives, which are still pending adoption.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (REACH / CLP)

The negotiations for continuation of the below listed contribution agreements are annual. The amounts to be allocated to ECHA for implementing the contribution agreements in 2024 will be reflected in the DB 2024 once the amounts are available.

The Commission and ECHA signed, on 7 December 2016, a Delegation Agreement on the European Union Observatory for Nanomaterials and for a feasibility study on the European Union Chemical Legislation Finder.

Following the completion of the feasibility study, the Commission and ECHA signed, on 8 December 2017, a Delegation Agreement for the set-up of the European Union Chemical Legislation Finder. No staff has been allocated for the work under EUCLEF.

ECHA also receives funding from the Instrument for Pre-accession Assistance (IPA) to provide capacity building for the EU candidate countries and potential candidates on the EU chemical legislation. The latest agreement with DG NEAR was signed on 21 December 2022.

The Commission and ECHA have also signed a Service Level Agreement for providing opinions for Occupational Exposure Limits (OELs). An updated SLA was sent to DG EMPL in January 2022 in order to reflect the learnings and growing resource needs under this activity.

To compensate for ECHA's participation in Horizon Europe European Partnership for the Assessment of Risks from Chemicals (PARC), ECHA has been allocated two Contract Agents as of 2021, which are financed from economies of scale and efficiency gains in the REACH/CLP area. This solution is temporary until the Commission revises the founding regulation of ECHA.

Here below the breakdown of the posts for Other tasks:

| Other tasks | Posts for 2023 | | Posts for 2024 | |
|--------------------------|----------------|-------------|----------------|-------------|
| | TA | CA | TA | CA |
| EUON (DG GROW) | | 3 | | 3 |
| OEL (DG EMPL) | | 4 | | 4 |
| IPA (DG NEAR)* | | 1.5 | | 1.5 |
| PARC (DG GROW) | | 2 | | 2 |
| TOTAL Other tasks | 0 | 10.5 | 0 | 10.5 |

4.3.2 Ad hoc grants and delegation agreements (BIOCIDES)

In 2021, ECHA signed a Service Level Agreement with EFSA (the European Food Safety Authority) for the development of IUCLID software for pesticides under which EFSA provides the financial coverage for 4 CA posts which come from EFSA's staff quota, as agreed until 2025.

4.3.3 Ad hoc grants and delegation agreements (Environmental directives and International conventions)

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---------------|-----------------------------------|--------------|---------------|------------------|---------------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Telakkakatu 6 | Telakkakatu 6 | 11 021 | 7 050 | 18 071 | 5 504 119 | Lease contract until 22.01.2030 | | NO | |
| TOTAL | | | 11 021 | 7 050 | 18 071 | 5 504 119 | | | | |

5.1.2 Current building(s) Other comments

The lease agreement is subject to annual indexation. The amount estimated for 2024 is c. € 6 352 988, assuming 2% indexation.

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

The European School of Helsinki (ESH) opened in September 2008 to provide education for the children of ECHA staff, following the enactment of the ESH Act on 1 January 2008 (the Act). The ESH is maintained by the State of Finland and it annually concludes an attainment contract with the Finnish National Agency for Education. It is organised on the basis of the educational structure of the European Schools, providing education based on the syllabi of the European Schools. It is an Accredited European School and is administered and funded by the Finnish Government, which receives EU subsidies, and own revenues generated through certain fees. Accreditation is subject to regular audit reviews, and the review in 2019 ensured the continuation of accreditation for another three years and the latest review took place end of 2022 for which ECHA is expecting the outcome in the beginning of 2023. The Act provides that the children of ECHA staff (Category I pupils) have an entitlement to a cost-free enrolment at ESH. In 2009, an amendment to the Act on European Schooling Helsinki was adopted to facilitate admission of Category II (non-ECHA) pupils to the School. Category II pupils may apply for enrolment in the ESH since 2010 and, presently, approximately 40 % of the pupils are of category II. In reference to Commission's Decision C(2013)4886 of 01/08/2013 and additional written communication provided by the Commission in respect to allocation of costs related to Accredited European Schools, ECHA's 2024 draft budget includes the European School contribution amounts related to ECHA's staff pupils. More specifically, it is used to pay the EU subsidy for the European School Helsinki, following the conclusion of a Mandate and Service Agreement with the European Commission. Moreover, the draft budget also foresees funds to partially cover, pursuant to Article 1e of the Staff Regulations, costs for special educational needs, the school nurse and private day care services for dependent children within the meaning of the Staff Regulations who are too young to be admitted to municipality nurseries. The availability of a high quality of education in Helsinki is a critical attraction and retention factor for ECHA and, in this respect, the ESH is a key stakeholder for ECHA. There is a clear requirement for the School's budget - and, specifically, the subsidy from the Finnish state - to be maintained at a sufficient level to continue ensuring the provision of a high quality of education at the School. Another element is to ensure that ESH students receive the necessary education, support and guidance to perform well in the European Baccalaureate. ECHA will, through its presence on the Administrative Board and interactions with other stakeholders continue to represent the interests of ECHA staff. ECHA co-chairs the Sub-Network of EU Agencies on Accredited European Schools (SNAES) within the Network of the Heads of Administration to ensure coordination and mutual support among Agencies on this important topic.

5.3 Evaluation

In 2018, the Commission published a “General Report on the operation of REACH and review of certain elements - Conclusions and Actions” (COM(2018) 116 final – <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52018DC0116&from=EN>), concluding overall that REACH is addressing citizens' concerns about chemical safety and that REACH is found to be generally coherent with other EU legislation concerning chemicals and is delivering the international goals as intended. Regarding the Agency, it was concluded that ECHA has been instrumental in the implementation of REACH and has now built up a significant competence in chemicals management. To the extent that the review is indicating the need to improve efficiencies, the Agency sets out the corresponding measures in its Programming Document which has a revised Strategy for efficiency gains and revised performance indicators as of the Programming Document 2022-2025. In 2021, ECHA published the 5-year [Report on the operation of REACH and CLP 2021](#) setting out where progress was made since the 2016 report and identifying the areas where further efforts are needed. Furthermore, the Management Board did a mid-term review of the Strategic Plan 2019-2023 confirming its validity. The Strategic Plan is the umbrella for ECHA's efficiency ambition and approach, further implemented in the Work Programme and the Integrated Management System Strategy of the Agency. ECHA follows up regularly on progress made on efficiencies and informs the Management Board accordingly.

Furthermore, in 2019, the Commission published its “Findings of the Fitness Check of the most relevant chemicals legislation (excluding REACH) and identified challenges, gaps and weaknesses” (COM(2019) 264 final - <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52019DC0264&from=EN>), concluding that overall, the EU framework of chemicals legislation is fit for purpose and delivers a high level of protection of people and the environment in balance with the needs of an efficiently functioning internal market and of a competitive and innovative chemicals industry. ECHA has analysed the outcome of this check with a view to identify where regulatory tasks of the Agency can be further consolidated and improved and where further synergies between existing tasks and possible new tasks could be sought. The follow up to these findings takes place via corporate planning and reporting in the Programming Document and Annual Report of the Agency.

5.4 Privileges and immunities

The privileges and immunities of staff and the Agency are contained in the respective Protocol to the EU Treaty. Moreover, further effect is given by the Seat Agreement signed between Finland and ECHA on 28 June 2007.

| Agency privileges | Privileges granted to staff | |
|--|---|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Inviolability | Immunity from jurisdiction regarding official capacity | Same access to day-care organised by municipalities as Finnish nationals |
| Facilitations for Communications | <p>Exemption from registration requirements</p> <p>Duty free import of goods upon taking up services</p> <p>Reimbursement of VAT between 1 June 2007 and 31 May 2009 (no longer in place)</p> <p>Right to free export when leaving the service</p> <p>Exemption from taxes on EU salaries</p> <p>Exemption from national car tax once every three years</p> <p>Executive Director and Directors join diplomatic status</p> <p>Temporary residence permits to family members who are not EU / EEA nationals</p> <p>Issuance of personal cards through the Foreign Ministry</p> <p>Issuance of Finnish identity numbers</p> | Access to Finnish school system |
| Assistance and Cooperation in Security Matters | | Access to European Schooling through the European Schooling Helsinki |
| Exemption from all duties and taxes | | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|--|
| Regulation (EU) 2021/696 of the European Parliament and of the Council of 28 April 2021 establishing the Union Space Programme and the European Union Agency for the Space Programme and repealing Regulations (EU) No 912/2010, (EU) No 1285/2013 and (EU) No 377/2014 and Decision No 541/2014/EU (OJ L 170, 12.5.2021, p. 69) | 28/04/2021 | Establishment of EU Space Programme Agency in place of GSA together with change of governance and increase of scope to include new core and delegated tasks. New core tasks include tasks related to security accreditation for the whole EU space programme, operational security tasks for Galileo and EGNOS, new market and communications tasks for Copernicus. New delegated tasks include full scope of Galileo exploitation, GOVSATCOM activities and other activities delegated to the Agency. |
| Regulation (EU) 2023/588 of the European Parliament and of the Council of 15 March 2023 establishing the Union Secure Connectivity Programme for the period 2023-2027 (OJ L 79, 17.3.2023, p. 1-39). | 15/03/2023 | The general objective of this Regulation is to establish a Union secure satellite communication system that ensures the provision of worldwide secure, flexible and resilient satellite communication services to the Union and Member States governmental entities. |

1.2 Seat

Prague (the Czech Republic). The Agency also operates the Galileo Security Monitoring Centre (GSMC) and its back up in Paris (France) and Madrid (Spain) respectively, the GNSS Service Centre (GSC) in Madrid (Spain) and the Galileo Reference Centre (GRC) in Noordwijk (the Netherlands).

1.3 Budget Line

04 10 01 : European Union Agency for the Space Programme

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 229 | 219 | 95,63% | 265 | 260 |
| Assistants (AST) | 2 | 12 | 600,00% | 2 | 10 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 231 | 231 | 100,00% | 267 | 270 |
| Contract Agents (CA) | 34 | 46 | 135,29% | 38 | 39 |
| Seconded National Experts (SNE) | 14 | 10 | 71,43% | 15 | 15 |
| TOTAL STAFF | 279 | 287 | 102,87% | 320 | 324 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 74 762 237 | 78 620 165 |
| Other Revenue | 2 160 629 | 2 267 591 |
| TOTAL REVENUES | 76 922 866 | 80 887 756 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|----------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 1 767 378 253 | 2 111 957 797 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 1 767 378 253 | 2 111 957 797 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 40 376 814 | 40 376 814 | 42 278 167 | 42 278 167 |
| Title 2 - Infrastructure and operating expenditure | 17 882 086 | 17 882 086 | 20 352 483 | 20 352 483 |
| Title 3 - Operational expenditure | 18 663 966 | 18 663 966 | 18 257 106 | 18 257 106 |
| TOTAL EXPENDITURE | 76 922 866 | 76 922 866 | 80 887 756 | 80 887 756 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 6 | | 2 | | 7 | | 7 | | 3 |
| AD 12 | | 10 | | | | 12 | | 12 | | 8 |
| AD 11 | | 17 | | 9 | | 20 | | 20 | | 15 |
| AD 10 | | 26 | | 16 | | 29 | | 28 | | 40 |
| AD 9 | | 45 | | 24 | | 53 | | 53 | | 44 |
| AD 8 | | 62 | | 41 | | 71 | | 70 | | 65 |
| AD 7 | | 44 | | 60 | | 50 | | 49 | | 49 |
| AD 6 | | 10 | | 43 | | 12 | | 11 | | 24 |
| AD 5 | | 8 | | 23 | | 10 | | 9 | | 11 |
| AD TOTAL | | 229 | | 219 | | 265 | | 260 | | 260 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | 1 | | 1 | | 1 |
| AST 7 | | 1 | | | | 1 | | 2 | | 2 |
| AST 6 | | 1 | | 2 | | | | 2 | | 2 |
| AST 5 | | | | 1 | | | | 3 | | 3 |
| AST 4 | | | | 7 | | | | 2 | | 2 |
| AST 3 | | | | 2 | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 2 | | 12 | | 2 | | 10 | | 10 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 231 | | 231 | | 267 | | 270 | | 270 |
| GRAND TOTAL | | 231 | | 231 | | 267 | | 270 | | 270 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 22 | 38 | 26 | 33 |
| Function Group III | 11 | 7 | 11 | 5 |
| Function Group II | 1 | 1 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 34 | 46 | 38 | 39 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 14 | 10 | 15 | 15 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 68 345 460 | 74 762 237 | 78 620 165 | 78 620 165 | 5,16% |
| - Of which assigned revenues deriving from previous years' surpluses | 44 555 | | 156 820 | 156 820 | 100% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 1 687 032 | 2 160 629 | 2 267 591 | 2 267 591 | 4,95% |
| - Of which EEA/EFTA (excl. Switzerland) | 1 687 032 | 2 160 629 | 2 267 591 | 2 267 591 | 4,95% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 70 032 492 | 76 922 866 | 80 887 756 | 80 887 756 | 5,15% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|----------------------|----------------------|----------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 1 262 782 989 | 1 767 378 253 | 2 111 957 797 | 2 111 957 797 | 19,50% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 1 262 782 989 | 1 767 378 253 | 2 111 957 797 | 2 111 957 797 | 19,50% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 32 565 952 | 40 376 814 | 42 278 167 | 42 278 167 | 4,71% |
| Salaries & allowances | 29 583 740 | 37 686 167 | 39 506 280 | 39 506 280 | 4,83% |
| - Of which establishment plan posts | 25 206 978 | 33 121 021 | 34 896 468 | 34 896 468 | 5,36% |
| - Of which external personnel | 4 376 762 | 4 565 146 | 4 609 812 | 4 609 812 | 0,98% |
| Expenditure relating to Staff recruitment | 79 328 | 80 000 | 70 000 | 70 000 | -12,50% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 228 036 | 1 300 000 | 1 300 000 | 1 300 000 | 0,00% |
| Socio-medical infrastructure | 50 315 | 130 647 | 142 887 | 142 887 | 9,37% |
| Training | 628 000 | 598 000 | 602 000 | 602 000 | 0,67% |
| External Services | 578 320 | 230 000 | 300 000 | 300 000 | 30,43% |
| Receptions, events and representation | 234 | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 417 979 | 350 000 | 355 000 | 355 000 | 1,43% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 19 521 344 | 17 882 086 | 20 352 483 | 20 352 483 | 13,81% |
| Rental of buildings and associated costs | 2 838 053 | 5 119 750 | 6 286 000 | 6 286 000 | 22,78% |
| Information, communication technology and data processing | 13 084 801 | 8 686 818 | 10 627 400 | 10 627 400 | 22,34% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 178 352 | 545 000 | 120 000 | 120 000 | -77,98% |
| Current administrative expenditure | 3 101 895 | 3 016 478 | 2 785 043 | 2 785 043 | -7,67% |
| Postage / Telecommunications | 146 610 | 135 000 | 135 000 | 135 000 | 0,00% |
| Meeting expenses | 37 304 | 114 040 | 134 040 | 134 040 | 17,54% |
| Running costs in connection with operational activities | 134 329 | 265 000 | 265 000 | 265 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 17 945 196 | 18 663 966 | 18 257 106 | 18 257 106 | -2,18% |
| Operations and studies | 16 946 199 | 17 388 966 | 16 882 106 | 16 882 106 | -2,91% |
| Security accreditation expenditure | 998 997 | 1 275 000 | 1 375 000 | 1 375 000 | 7,84% |
| Galileo Security Monitoring Centres (GSMCs) | | | | | |
| TOTAL | 70 032 492 | 76 922 866 | 80 887 756 | 80 887 756 | 5,15% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 32 565 952 | 40 376 814 | 42 278 167 | 42 278 167 | 4,71% |
| Salaries & allowances | 29 583 740 | 37 686 167 | 39 506 280 | 39 506 280 | 4,83% |
| - Of which establishment plan posts | 25 206 978 | 33 121 021 | 34 896 468 | 34 896 468 | 5,36% |
| - Of which external personnel | 4 376 762 | 4 565 146 | 4 609 812 | 4 609 812 | 0,98% |
| Expenditure relating to Staff recruitment | 79 328 | 80 000 | 70 000 | 70 000 | -12,50% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 228 036 | 1 300 000 | 1 300 000 | 1 300 000 | 0,00% |
| Socio-medical infrastructure | 50 315 | 130 647 | 142 887 | 142 887 | 9,37% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Training | 628 000 | 598 000 | 602 000 | 602 000 | 0,67% |
| External Services | 578 320 | 230 000 | 300 000 | 300 000 | 30,43% |
| Receptions, events and representation | 234 | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 417 979 | 350 000 | 355 000 | 355 000 | 1,43% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 19 521 344 | 17 882 086 | 20 352 483 | 20 352 483 | 13,81% |
| Rental of buildings and associated costs | 2 838 053 | 5 119 750 | 6 286 000 | 6 286 000 | 22,78% |
| Information, communication technology and data processing | 13 084 801 | 8 686 818 | 10 627 400 | 10 627 400 | 22,34% |
| Movable property and associated costs | 178 352 | 545 000 | 120 000 | 120 000 | -77,98% |
| Current administrative expenditure | 3 101 895 | 3 016 478 | 2 785 043 | 2 785 043 | -7,67% |
| Postage / Telecommunications | 146 610 | 135 000 | 135 000 | 135 000 | 0,00% |
| Meeting expenses | 37 304 | 114 040 | 134 040 | 134 040 | 17,54% |
| Running costs in connection with operational activities | 134 329 | 265 000 | 265 000 | 265 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 17 945 196 | 18 663 966 | 18 257 106 | 18 257 106 | -2,18% |
| Operations and studies | 16 946 199 | 17 388 966 | 16 882 106 | 16 882 106 | -2,91% |
| Security accreditation expenditure | 998 997 | 1 275 000 | 1 375 000 | 1 375 000 | 7,84% |
| Galileo Security Monitoring Centres (GSMCs) | | | | | |
| TOTAL | 70 032 492 | 76 922 866 | 80 887 756 | 80 887 756 | 5,15% |

3.3 Budget Outturn

The 2022 budget outturn: EUR 156 820.

4 Justification of needs

Commission assessment

Human Resources

The European Commission supports the request of EUSPA Agency for the year 2024. The proposed staff includes 4 additional FTE (3 AD, 1 CA) following the adoption of the IRIS² (Secure Communications) Regulation.

In addition, the Commission notes that in line with an agreement reached, EUSPA is gradually and progressively aligning the number of CAs actually employed to be in line with the budget provided.

Financial Resources

The European Commission supports the request of EUSPA Agency for the year 2024. Financial resources requested are in line with the technical update of the financial programming for 2024-2027 following the adoption of the 2023 budget. The 2024 proposed budget includes 2 850 000 EUR for activities related to IRIS².

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The establishment plan for 2024 increases by 3 Temporary Agents for IRIS² activities and 1 Contract Agent as proposed by the Commission and subject to adoption of the Secure Communications Regulation.

In 2024 Agency expects to continue to focus on undertaking its new activities, in particular related to security accreditation for the whole EU space programme, operational security tasks for Galileo and EGNOS, new market and communications tasks for Copernicus and new delegated tasks including the full scope of Galileo exploitation, GOVSATCOM, secured connectivity tasks and SST Front Desk activities.

4.1.2 Vacancy rate as of end 2022

There was a 0 % vacancy rate as of 31/12/2022. 231 out of 231 establishment plan posts were filled, including 25 offers accepted by the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

Salary assumptions are based on average real costs of the EUSPA per grade and place of employment. Current correction coefficients for the place of living have been applied for the staff located in the Czech Republic, France, The Netherlands and Spain. Part of the staff costs are linked to the installation and one-off costs for all new staff, in accordance with the Staff Regulations of Officials of the European Union and Conditions of Employment of other Servants of the European Union. New recruited staff costs are calculated on a 50% base due to expected entry into service as of July 2024.

4.1.5 Correction coefficient used

The correction coefficient used to estimate the salary needs is based on the current correction coefficients for the Czech Republic, France, the Netherlands and Spain which are 95,5 %, 116,8 %, 109,8 % and 97,4 % respectively.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Salary assumptions are based on average real costs per grade and staff. Multilingual tuition costs for children increase in proportion to the number of staff and are estimated based on a thorough analysis and extrapolation of present-day costs. It is noted that, with the certification of a European school in Paris, the tuition costs associated with staff based in Paris are expected to be reduced in the coming years.

Recruitment costs slightly decrease in line with number of newcomers in 2024, however the Agency will continue its efforts in employer branding and promotion of EUSPA visibility which requires corresponding funds.

Medical costs increase reflects number of new staff, taking into account the fact that newcomers hired in 2023 will be eligible for annual medical visit in 2024.

Mission costs remain at the 2023 level despite increasing staff figures and an increasing level of delegated activities for which no missions' budget is allocated. This is possible due to optimised mission management, with videoconferencing being used wherever appropriate stemming also from COVID-19 lessons learned and due to effective advance planning of missions.

Training costs also remain stable in 2024. Effective and financially efficient training methods have been continuously sought by the Agency, such as grouping training sessions for staff where appropriate and holding training on-site in order to avoid mission costs and taking advantage wherever possible of “in-house” training, passing on knowledge effectively between staff members.

External services include Trainees budget and expected costs of new contract for Interim workers.

4.2.2 Title 2

In January 2015, the Agency took over the entire building in Prague which it previously shared with the Czech Ministry of Finance. The rent was set at 25% of the market rate as of September 2017. Full 12 month rent cost is currently estimated at around EUR 297 000 for 2024 including exchange rate fluctuation and indexation.

Building extension in GSMC France (addition of building B132 on top of the existing B123) has been completed in 2022. Current building E2 in GSMC backup site in Spain shall be preserved, in particular as part of the implementation of new tasks to be entrusted to the Agency, such as SST Front Desk from 1 July 2023. Costs of E2 use including rent, facility management, security services are currently under negotiations with Spain.

Utility consumption costs of the current buildings are expected to increase in proportion to the number of additional staff and according to the inflation.

Facility management, reception, hosting and security services for all sites are indexed. The Agency shall sign new framework contracts for facility management for both France and Czech Republic in 2024.

The Agency plans to continue developing an EUSPA Digital Transformation programme, to ensure that the Agency has in place the IT needed to perform its functions. In particular, this programme includes the deployment of two redundant data centres, the first being done in 2023 and the second in 2024-2025. The budget also reflects the necessary investment into technology and supporting works required for the new HQ building in Prague (expected to be available in 2025) such as cabling, network connectivity and equipment, conference equipment, cryptography, printers, audio-visual equipment etc. This is necessary despite the possibility to move existing equipment from the current HQ, because the new premises are larger and many of the existing installations movable.

Movable property decreases by 69% given that purchases of security furniture planned for 2023 are one off and the purchase of furniture and other building equipment for the new HQ can be postponed to 2025.

4.2.3 Title 3

The Title 3 operational core budget covers the Agency's core tasks as stipulated in the EU Space Programme Regulation.

Market development applications, contribution to the commercialisation and awareness of Galileo and EGNOS, communication and promotion activities are in total expected to require a budget of approximately EUR 3 900 000 for this reporting period. The increasing tasks of the Agency will require intense communication and promotion activities towards industry and all GNSS stakeholders. These activities include sector specific event support and promotion of EGNOS and Galileo in aviation, high precision, agriculture, road, maritime, rail and surveying markets; market

monitoring, web development, E-communication and publications, promotional events and video to represent and increase visibility of the programmes.

For the operation of the Galileo Security Monitoring Centre (GSMCs), operational support contracts will be required for 2024 for an estimated amount of EUR 2 000 000. These support contracts will be crucial in ensuring operational, technical and security activities supporting GSMC services for both GSMC sites (France and Spain). In more specific terms, the contracts will be necessary to cover the following essential actions:

- GSMC Operations Processes, including the support needed for the monitoring of the security of the Galileo System and the capability to react to all security incidents on a 24/7 basis;
- GSMC Operations Engineering Processes to support the progressive deployment of GSMC capabilities, including the design and documentation of all the operations procedures through testing phases and managing the technical processes lifecycle;
- Provision of quality management support services to the Agency, including in particular the ISO 9001 certificate renewal and maintenance and the GSMC processes efficiency.

Undertaking the operational security task for Galileo and EGNOS as defined in Art 30 & 34(2) of the EU Space Programme Regulation will require a budget of EUR 10 982 106. The assignment of the responsibility for the operational security is interpreted as entrusting the Agency with the role of Information Assurance Operational Authority (IAOA) for each EU GNSS system, as defined in Council Decision 2013/488/EU. This role is related to both Galileo and EGNOS, therefore objectives are set up in order to undertake the relevant activities for both systems.

The SAB budget of EUR 1 100 000 will be used for support to and implementation of activities linked to the security accreditation of the EU space components (as detailed in Section III.02.01 of the SPD 2024), in particular support contracts. These support contracts are essential in order to provide the expected level of support to the Security Accreditation Board and its subordinate bodies, needed to perform the accreditation activities requested by the Regulation. Secure connectivity tasks/IRIS² will require an estimated budget of 275 000 EUR as included in the European Commission proposal for the associated legislative financial statement.

4.3 Ad hoc grants and delegation agreements

In 2024, in addition to its own operational core budget, the Agency expects to be operating the Financial Framework Partnership Agreement (FFPA) and the Contribution Agreement associated with it covering the different components of the Space Programmes. The Agency will ensure the management of the EGNSS Exploitation Programmes for EGNOS and Galileo and also downstream activities under Space Programme related to Copernicus. The Agency shall finalise preparatory action activities entrusted by the Commission under the GOVSATCOM Preparatory Action contribution agreement and will implement the activities entrusted by the Commission under the Space programme contribution agreement where the GOVSATCOM component is concerned. The Agency will also manage ongoing projects under Horizon calls. It is further noted that the Agency expects in 2024 to be implementing one or more contribution agreements concerning Secure Connectivity/IRIS² tasks as foreseen by the proposed regulation.

Additionally, the Agency will still manage the delegation agreements covering the activities signed under the MFF 2014-2020.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|---|---|----------------------------------|---------------|---------------|-----------------|---|---|--|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | EUSPA HQ | Janovskeho 438/2, 17000 Prague 7 | 3 925 | 10 251 | 14 176 | 297 000 | Indefinite | Host agreement | YES | |
| 2 | Prague – Datacentre | Csl.Armády 1060/81, Zelenec | 42 | 185 | 227 | 195 000 | Indefinite | Sublease agreement between EUSPA and Czech government | Agency will pay 25% of commercial rent and will pay 100% of its utility's consumption and services | |
| 3 | St. Germain en Laye, France GSMC building 1 | 8, Avenue du President Kennedy, F-78102 Saint-Germain-en-Laye Cedex | 622 | 192 | 814 | 40 000 | until 31/12/2030 | Host agreement | Partial support by MS | |
| 4 | St. Germain en Laye, France GSMC building 2 | 8, Avenue du President Kennedy, F-78102 Saint-Germain-en-Laye Cedex | 1 037 | 3 507 | 4 544 | 40 000 | until 31/12/2030 | Host agreement | Partial support by MS | |
| 5 | EUSPA/CNES Toulouse EGNOS | CNES, 2 place Maurice Quentin 75 039 Paris | 258 | 150 | 408 | 114 545 | Indefinite | SLA | N/A | |
| 6 | Brussels, BE OED Office/Agency remote office | BREY 178 and BREY 152, BRU, BE | 21 | | 21 | 20 000 | Indefinite | SLA | | |
| 7 | La Maranosa Spain building E2 | GSMC-ES Instituto Tecnológico “La Marañosa” (ITM) San Martin de la Vega | 140 | 958 | 1 098 | | In-kind contribution by Spain. Spain agreed to extend in-kind contrib pending ongoing negotiations | Host Agreement | Yes | |
| 8 | La Maranosa Spain building E6 | GSMC-ES Instituto Tecnológico “La Marañosa” (ITM) San Martin de la Vega | 250 | 2 487 | 2 737 | | In-kind contribution by Spain. Valid 20 years from signature (automatic renewal for another 20 years) | Host Agreement | Yes | |
| 9 | Galileo Reference Centre (GRC), The Netherlands | Zwarteweg 53, NL-2201 AA Noordwijk, The Netherlands | 520 | 930 | 1 450 | | 20 years (minimum) | Host Agreement | Yes | |
| TOTAL | | | 6 815 | 18 660 | 25 475 | 706 545 | | | | |

5.1.2 Current building(s) Other comments

Prague, CZ

The Agency has to pay also all related admin costs, utilities, IT and infrastructure.

Utilities for current building in 2024 are estimated at EUR 700 000 (in case the energy prices remain on 2023 level). Facility and Logistics services are estimated at EUR 650 000 (estimated, new tender procedure ongoing in 2023). Security guards estimated costs at 600 000 (estimated, new tender procedure ongoing in 2023).

Prague, CZ, Datacentre

Regarding the rental of the premises, the Lease and Hosting Agreements principle of rental cost reduction to 25% will be applied. The Lease Agreement for the Datacentre is expected to be signed during Q1/2023 but is not concluded at the time of writing. The annual rental fee to be paid is foreseen to be 195 000 EUR.

The Agency has to pay also all related admin costs, utilities, IT and infrastructure. Utilities are estimated at 140 000 EUR and associated services at 10 000 EUR.

St. Germain en Laye, FR (Building 1+ Building 2)

For the Galileo Security Monitoring Centre no actual rent is paid, however the Agency has to cover associated costs such as occupiers' charges (fix amount of EUR 80 000 per year), utilities (EUR 220 000), facility management (EUR 985 000, costs shared with hosting country), security guards (EUR 1 117 000), furniture and IT. GSMC FR short-term extension (+300 msq of modular construction) is expected to be dismantled in Q1/2023.

Agency/CNES Toulouse, FR

Rental fee = EUR 48 931

Utilities and services fee = EUR 65 614

GSMC backup site, Spain

An initial facility (E2 building) has been made available by Spanish authorities in Q4/2018. Extended use has been agreed with Spain pending ongoing negotiations.

E6 building has been made available in mid - 2022 and the facilities in the new building are being gradually used with a target transfer of operations foreseen as of Q4/2023.

5.1.3 Building projects in the planning phase

Prague, CZ - HQ building extension, use of datacentres of CZ government and occupation charge

New premises, current HQ replacement or utilisation of CZ datacentres are under negotiation with the Czech government. A relocation to the new HQ building is tentatively expected by Q4/2025, subject to conclusion of an additional memorandum of understanding. The Lease Agreement for the Datacentre is planned to be signed during Q1/2023.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

Following the opening of the Galileo Reference Centre (GRC) in The Netherlands, the Agency entered into a Mandate Level agreement with the European Commission for use of the accredited European School in proximity to Noordwijk, The Netherlands - Rijnlands Lyceum.

A European school is also established in Courbevoie, Paris and the process of accreditation was completed in 2021. The Agency has signed an amendment to a Mandate Level agreement with the European Commission enabling to its staff, located in St. Germain-en-Laye the use of the accredited European School in Courbevoie in the future.

5.3 Evaluation

The main findings of the latest evaluation available are as follows:

The most recent evaluation of the Agency has been undertaken by the Commission and is contained within the ‘Interim Evaluation of Galileo and EGNOS programmes and evaluation of the European GNSS Agency’, SWD(2017) 346, published on 23 Oct 2017. The next (first) review of EUSPA is due by 30 June 2024 in accordance with Art 102 of the EU Space Programme Regulation.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-----------------------|---|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Prague: VAT exemption | Headquarters agreement granting VAT exemption up to 100 000 CZK p.a. (approx. 3 600 EUR) but not diplomatic status (with the exception of the Executive Director) | Local infrastructure is used for medical care and multilingual tuition for children of staff. |
| St. Germain en Laye | No special privileges | Local infrastructure is used for medical care and multilingual tuition for children of staff; European school is available for children of staff. |
| Toulouse | No special privileges | Local infrastructure is used for medical care. |
| Spain | No special privileges | Local infrastructure is used for medical care and multilingual tuition for children of staff. |
| Netherlands | <ul style="list-style-type: none"> - VAT can be claimed on purchases above EUR 225 - VAT exemption on fuel, - Exempt from car import taxes | Local infrastructure is used for medical care; European school is available for children of staff. |

2.2 Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

2.2.1 European Food Safety Authority – EFSA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|-----------------------------|------------|---|
| Regulation (EC) N° 178/2002 | 28/01/2002 | <p>EFSA is the cornerstone of the EU system of risk assessment for food and feed safety. Its scientific advice on existing and emerging risks underpins the policies and decisions of risk managers in the European Institutions and EU Member States with the objective of protecting consumer health. The Authority's most critical commitment is to provide objective, transparent and independent advice and clear communication grounded in the most up-to-date scientific methodologies, information and data available. The Authority is committed to the core standards of scientific excellence, openness, transparency, independence and responsiveness.</p> <p>EFSA brings together Europe's best available experts in risk assessment in the field of food and feed safety, who act in an independent capacity for an autonomous, self-governed organisation to provide the European EU, Member States and the European Parliament with scientific advice of the highest standard.</p> <p>EFSA works closely with national food safety agencies and in open consultation with its stakeholders, proactively seeking input, ensuring the transparency of its procedures and exchanging information with international partners. This puts EFSA in a strong position to assist risk managers in developing coordinated and international approaches based on comprehensive and current analysis.</p> <p>EFSA is a responsive and reliable source of support for decision makers. Through its Scientific Committee, Scientific Panels and Working Groups, the Authority undertakes to respond quickly and proactively to urgent issues and emerging risks and EFSA's programming will continue to evolve and adapt in line with the priorities and needs of risk managers.</p> <p>Communicating on risks associated with the food chain is a key part of EFSA's mandate. EFSA, in close cooperation with the European Commission, strives to ensure all interested parties receive timely, reliable, objective and meaningful information based on the results of its scientific work, in liaison with national food safety authorities and stakeholders, and taking account of the needs of different audiences.</p> <p>EFSA will continue working independently, openly and transparently to deliver the best possible scientific advice and therefore contribute to strengthening the European food and feed safety system.</p> |
| Regulation (EU) 2019/1381 | 20/06/2019 | <p>The new Transparency Regulation adopted in April 2019 to significantly increase the transparency of the EU risk assessment in the food chain, revisit the governance of EFSA in order to ensure its long-term sustainability, improve the coherence of risk communication and enhance the quality and reliability of studies.</p> <p>The measures introduced with the Transparency Regulation have generated modifications to the EFSA's multiannual plan, which now include concrete actions that ensure the appropriate implementation of the regulation with the final aim for a more robust, independent and transparent risk assessment process.</p> |

1.2 Seat

Parma, Italy

1.3 Budget Line

06 10 02 : European Food Safety Authority

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 312 | 291 | 93,27% | 312 | 312 |
| Assistants (AST) | 93 | 96 | 103,23% | 93 | 93 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 405 | 387 | 95,56% | 405 | 405 |
| Contract Agents (CA) | 167 | 170 | 101,80% | 167 | 167 |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Seconded National Experts (SNE) | 15 | 13 | 86,67% | 15 | 15 |
| TOTAL STAFF | 587 | 570 | 97,10% | 587 | 587 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 143 253 597 | 150 202 274 |
| Other Revenue | 4 126 522 | 4 320 675 |
| TOTAL REVENUES | 147 380 119 | 154 522 949 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 65 045 112 | 65 045 112 | 67 829 219 | 67 829 219 |
| Title 2 - Infrastructure and operating expenditure | 14 597 527 | 14 597 527 | 14 776 575 | 14 776 575 |
| Title 3 - Operational expenditure | 75 716 631 | 67 737 480 | 75 853 444 | 71 917 155 |
| TOTAL EXPENDITURE | 155 359 270 | 147 380 119 | 158 459 238 | 154 522 949 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | | | | | 1 | | 2 | | 2 |
| AD 13 | | 5 | | 3 | | 5 | | 4 | | 4 |
| AD 12 | | 5 | | 4 | | 6 | | 8 | | 5 |
| AD 11 | | 11 | | 4 | | 12 | | 14 | | 9 |
| AD 10 | | 23 | | 19 | | 27 | 1 | 30 | 1 | 30 |
| AD 9 | 1 | 47 | 1 | 42 | 3 | 48 | 3 | 52 | 3 | 48 |
| AD 8 | 4 | 68 | 3 | 64 | 2 | 72 | 1 | 72 | 1 | 65 |
| AD 7 | | 77 | 1 | 55 | | 74 | | 71 | | 88 |
| AD 6 | | 60 | | 69 | | 53 | | 45 | | 45 |
| AD 5 | | 10 | | 25 | | 8 | | 8 | | 10 |
| AD TOTAL | 5 | 307 | 5 | 286 | 5 | 307 | 5 | 307 | 5 | 307 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 3 | | 1 | | 3 | | 3 | | 2 |
| AST 7 | | 4 | | 1 | | 6 | | 8 | | 5 |
| AST 6 | | 11 | | 10 | | 12 | | 14 | | 14 |
| AST 5 | | 23 | | 24 | | 24 | | 24 | | 26 |
| AST 4 | | 29 | | 31 | | 27 | | 25 | | 26 |
| AST 3 | | 14 | | 21 | | 13 | | 14 | | 15 |
| AST 2 | | 9 | | 7 | | 8 | | 5 | | 5 |
| AST 1 | | | | 1 | | | | | | |
| AST TOTAL | | 93 | | 96 | | 93 | | 93 | | 93 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 5 | 400 | 5 | 382 | 5 | 400 | 5 | 400 | 5 | 400 |
| GRAND TOTAL | 405 | | 387 | | 405 | | 405 | | 405 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 141 | 138 | 138 | 140 |
| Function Group III | 10 | 18 | 19 | 20 |
| Function Group II | 16 | 14 | 10 | 7 |
| Function Group I | | | | |
| TOTAL | 167 | 170 | 167 | 167 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 15 | 13 | 15 | 15 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 131 506 692 | 143 253 597 | 150 202 274 | 150 202 274 | 4,85% |
| - Of which assigned revenues deriving from previous years' surpluses | 351 351 | 467 378 | 697 953 | 697 953 | 49,33% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 3 239 537 | 4 126 522 | 4 320 675 | 4 320 675 | 4,71% |
| - Of which EEA/EFTA (excl. Switzerland) | 3 239 537 | 4 126 522 | 4 320 675 | 4 320 675 | 4,71% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 27 059 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | 27 059 | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 134 773 288 | 147 380 119 | 154 522 949 | 154 522 949 | 4,85% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 58 189 753 | 65 045 112 | 67 829 219 | 67 829 219 | 4,28% |
| Salaries & allowances | 50 860 495 | 56 447 756 | 59 832 611 | 59 832 611 | 6,00% |
| - Of which establishment plan posts | 39 049 746 | 43 125 000 | 45 762 000 | 45 762 000 | 6,11% |
| - Of which external personnel | 11 810 749 | 13 322 756 | 14 070 611 | 14 070 611 | 5,61% |
| Expenditure relating to Staff recruitment | 731 102 | 750 000 | 755 000 | 755 000 | 0,67% |
| Employer's pension contributions | | | | | |
| Mission expenses | 115 847 | 135 000 | 135 000 | 135 000 | 0,00% |
| Socio-medical infrastructure | 249 620 | 330 000 | 290 000 | 290 000 | -12,12% |
| Training | 393 514 | 500 000 | 700 000 | 700 000 | 40,00% |
| External Services | 4 143 650 | 4 826 356 | 4 001 608 | 4 001 608 | -17,09% |
| Receptions, events and representation | | | | | |
| Social welfare | 1 695 525 | 2 056 000 | 2 115 000 | 2 115 000 | 2,87% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 12 638 338 | 14 597 527 | 14 776 575 | 14 776 575 | 1,23% |
| Rental of buildings and associated costs | 5 975 980 | 6 890 433 | 7 367 387 | 7 367 387 | 6,92% |
| Information, communication technology and data processing | 5 733 913 | 6 073 894 | 6 399 006 | 6 399 006 | 5,35% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 470 743 | 1 105 789 | 265 771 | 265 771 | -75,97% |
| Current administrative expenditure | 166 762 | 204 800 | 201 800 | 201 800 | -1,46% |
| Postage / Telecommunications | 211 233 | 232 611 | 452 611 | 452 611 | 94,58% |
| Meeting expenses | 72 207 | 75 000 | 75 000 | 75 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 7 500 | 15 000 | 15 000 | 15 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 78 931 667 | 75 716 631 | 75 853 444 | 75 853 444 | 0,18% |
| Regulated Products | 5 926 852 | 6 205 109 | 6 679 817 | 6 679 817 | 7,65% |
| Risk Assessment | 6 013 860 | 5 535 404 | 5 710 403 | 5 710 403 | 3,16% |
| Scientific Cooperation & Strategy | 34 972 104 | 33 185 663 | 33 035 547 | 33 035 547 | -0,45% |
| Communication | 6 601 447 | 7 352 000 | 7 262 000 | 7 262 000 | -1,22% |
| Operational support | 25 417 404 | 23 438 455 | 23 165 677 | 23 165 677 | -1,16% |
| TOTAL | 149 759 758 | 155 359 270 | 158 459 238 | 158 459 238 | 2,00% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 56 818 410 | 65 045 112 | 67 829 219 | 67 829 219 | 4,28% |
| Salaries & allowances | 50 860 495 | 56 447 756 | 59 832 611 | 59 832 611 | 6,00% |
| - Of which establishment plan posts | 39 049 746 | 43 125 000 | 45 762 000 | 45 762 000 | 6,11% |
| - Of which external personnel | 11 810 749 | 13 322 756 | 14 070 611 | 14 070 611 | 5,61% |
| Expenditure relating to Staff recruitment | 695 535 | 750 000 | 755 000 | 755 000 | 0,67% |
| Employer's pension contributions | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | 96 348 | 135 000 | 135 000 | 135 000 | 0,00% |
| Socio-medical infrastructure | 225 563 | 330 000 | 290 000 | 290 000 | -12,12% |
| Training | 212 195 | 500 000 | 700 000 | 700 000 | 40,00% |
| External Services | 3 053 851 | 4 826 356 | 4 001 608 | 4 001 608 | -17,09% |
| Receptions, events and representation | | | | | |
| Social welfare | 1 674 423 | 2 056 000 | 2 115 000 | 2 115 000 | 2,87% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 8 594 392 | 14 597 527 | 14 776 575 | 14 776 575 | 1,23% |
| Rental of buildings and associated costs | 4 164 856 | 6 890 433 | 7 367 387 | 7 367 387 | 6,92% |
| Information, communication technology and data processing | 3 974 712 | 6 073 894 | 6 399 006 | 6 399 006 | 5,35% |
| Movable property and associated costs | 152 078 | 1 105 789 | 265 771 | 265 771 | -75,97% |
| Current administrative expenditure | 149 498 | 204 800 | 201 800 | 201 800 | -1,46% |
| Postage / Telecommunications | 93 524 | 232 611 | 452 611 | 452 611 | 94,58% |
| Meeting expenses | 52 224 | 75 000 | 75 000 | 75 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 7 500 | 15 000 | 15 000 | 15 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 55 574 232 | 67 737 480 | 71 917 155 | 71 917 155 | 6,17% |
| Regulated Products | 5 669 639 | 6 205 109 | 6 679 817 | 6 679 817 | 7,65% |
| Risk Assessment | 5 806 425 | 5 535 404 | 5 710 403 | 5 710 403 | 3,16% |
| Scientific Cooperation & Strategy | 20 701 275 | 25 206 512 | 29 099 258 | 29 099 258 | 15,44% |
| Communication | 5 445 594 | 7 352 000 | 7 262 000 | 7 262 000 | -1,22% |
| Operational support | 17 951 299 | 23 438 455 | 23 165 677 | 23 165 677 | -1,16% |
| TOTAL | 120 987 034 | 147 380 119 | 154 522 949 | 154 522 949 | 4,85% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 697 952

4 Justification of needs

Commission assessment

Human Resources

The Commission notes that the Agency's request is in line with the Commission instructions.

In 2024, EFSA foresees 588 FTEs: 405 Temporary Agents, 167 Contract Agents and 15 ENDS which is in line with the Commission instructions as no increase compared to 2023.

The Commission acknowledges that in 2022 the full needs for the General Food Law revision were integrated in the establishment plan. Moreover, an agreement was reached that EFSA would receive for three years (2022-2024) an increase of 15 Contract Agents to cope with a temporary capacity shortage, mainly to address the workload (cumulated backlogs, new tasks, volumes of requests higher than capacity) in the area of the safety assessment of regulated products.

The Commission took note that EFSA will not recruit 100% of the authorised number of contract agents but will keep four posts free, as it has "lent" them to ECHA for its Biocides activities. As a result, ECHA is therefore allowed to have an excess of 4 contract agents above their ceiling, whereas EFSA shall recruit 4 contract agents below its ceiling (for the period 2023-2025).

Financial Resources

The Commission notes that the Agency's budget request is in line with the Commission instructions.

The 2022 outturn of EUR 0.7 million has to be deducted from the 2024 contribution, so the net EU contribution (in commitment appropriations) will amount to EUR 153.3 million.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In 2024, EFSA will maintain its 2023 staff capacity resulting from the recent increased number of posts deriving from the 178 Transparency Regulation (+85 TAs and +21 CAs as cumulated increase 2020-2022) as well as from the allocation of additional 15 CAs for the period 2022-2024 except for the request for 1 additional CA FTE aimed at strengthening the cyber-security services stemming also from upcoming regulations (Information Security and Cyber Security). Due to the everchanging cybersecurity landscape, there is indeed a risk of external cyber-attacks leading to potential operational damage, loss of data, unauthorized disclosure of information, breach of GDPR and consequently reputational damages.

With regards to above mentioned allocation of 15 CAs for the period 2022-2024 (granted as of 2022 against a business case submitted by EFSA for a need of 30 CAs for 5 years), this additional and temporary staff capacity is aimed at coping with a temporary capacity shortage to address mainly the workload in the area of safety assessment of regulated products (cumulated backlog, new tasks, volumed of requests higher than capacity). As per agreement with European Chemicals Agency (ECHA), 4 EFSA CAs posts are utilised by ECHA for the IUCLID platform set up and maintenance of EFSA's needs. This agreement is expected to expire by December 2025.

In a medium/long-term perspective, the development of the upgraded operating model of EFSA (which will rely more on sourcing grant-financed preparatory work from Member States risk assessment organizations) will enable EFSA to close the current workload/capacity shortage.

The number of SNEs is expected to remain unchanged at 16 (out of which 1 funded by the Pre-Accession Programme).

4.1.2 Vacancy rate as of end 2022

2.6% (97.4% statutory staff occupancy rate, excluding accepted offer letters)

1.9% (98.1% statutory staff occupancy rate, excluding accepted offer letters and not including in EFSA's capacity of 4 posts lent to ECHA to be returned in 2026)

4.1.3 Standard abatement ('abatement forfaitaire') applied

0

4.1.4 Salary assumption for calculating salary line (% applied)

The per-capita average increase in salaries corresponds to 5.7% considering estimated salary adjustments/correction coefficient (+4.4% as of July 2023 and +3.4% as of July 2024), career, advancements in steps as well as the increased share of FGIV within the CA staff. Overall, an average annual occupancy rate of 97% is foreseen in 2024 with a targeted occupancy rate of 98% by year end.

4.1.5 Correction coefficient used

Incorporation of the 2022 reduction vs. 2021 from 95.2 to 94.7 percentage points of the weighting coefficient for Italy.

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

The request for Title 1 of EUR 67.8 million is increased compared to previous years, mainly due to impact of the inflation on the growth of basic salaries. The major part of the planned expenditure is allocated to staff salaries and allowances (EUR 59.8 million). A decrease of budget demand for external services is mainly linked to expected lower need for interim workers services following the finalisation of projects/and peak in new activities related to Transparency Regulation implementation. Minor increase is requested for the training budget. Other cost categories remain in line with previous years.

4.2.2 Title 2

The planned budget request of EUR 14.8 million for Title 2 is showing slight increase compared to 2023 approved budget. Approximately half of this amount (EUR 7.4 million) is allocated to building related expenditures covering mainly the expenditure on refurbishment/fitting out, building acquisition, energy supplies and other related expenditure such as maintenance etc. EUR 6.4 million is the expected cost of IT hardware, software and related services, increasing mainly due to growing number of users (EFSA staff, experts, consultants) and inflation.

4.2.3 Title 3

The estimated demand for the budget in Title III is in line with 2023 and stands at 75.9 million. Out of this amount, EUR 33.0 million is planned for the scientific cooperation and scientific procurement. EUR 23.2 million is allocated to horizontal operational support, mainly in the scientific IT systems, tools and related services. The budget allocated for scientific experts meetings is expected to slightly increase, with 6.7 million for risk assessment of regulated products and 5.7 million for generic risk assessment. Communication expenditure (covering risk communication, tools and external relations) is expected to remain at the level of 2023 budget of EUR 7.3 million.

4.3 Ad hoc grants and delegation agreements

EFSA started in June 2019 a new action: “Preparatory measures for the participation of IPA (Instrument for the Pre-Accession Assistance for EU candidate countries or potential EU candidate countries) beneficiaries in the European Food Safety Authority 2019-2021” with a budget of EUR 500 000. DG NEAR made available additional EUR 250 000 to extend this work up to 31 May 2022 in December 2019. In 2022, the duration of the programme was further extended until May 2023. With the additional funds, EFSA continues to involve IPA beneficiaries in its work and to provide opportunities to strengthen the capacities for risk assessment and communication through the promotion of networking and joint activities between EFSA IPA and Member States. One Seconded National Expert is financed under this agreement.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|-----------------------------------|-------------------|----------------------------------|------------|--------|-----------------|----------|----------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | EFSA seat, Parma, office building | Parma, Italy | 14 200 | 13 300 | 27 500 | 1 615 886 | 25 year | ending December 2036 | N/A | 17 347 617 |
| 2 | EFSA representative office | Brussels, Belgium | 36 | | 36 | 38 789 | 1 year | renewable | N/A | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|---|-------------------|----------------------------------|---------------|---------------|------------------|----------|-----------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 3 | EU Agencies' Network Shared Services Office | Brussels, Belgium | 54 | | 54 | 48 614 | 4 years | Renewable | N/A | |
| TOTAL | | | 14 290 | 13 300 | 27 590 | 1 703 289 | | | | 17 347 617 |

5.1.2 Current building(s) Other comments

- EFSA seat was acquired on 19.12.2011.
- Capital value remaining due at 31.12.2022 EUR 17 347 616,86 .
- Annual instalment is EUR 1 615 886,08

The annual rent of EU Agencies' Network is shared amongst the EUAN, each agency contributing proportionally.

5.1.3 Building projects in the planning phase

EFSA is restructuring its building in order to gradually implement and in a phased approach, new hybrid modality of work (onsite and homeworking) for staff and experts. This will involve the creation of areas to foster collaboration while others would allow to participate in videocalls and support working groups meetings in a quiet and confidential manner.

5.1.4 Building projects submitted to the European Parliament and the Council

No applicable.

5.2 European Schools

EFSA considers schooling to be an essential part of its staff policy. For this purpose a European School type II (Scuola per l'Europa) was established in 2004 and accredited in 2008 under the European Schools system. The school offers tuition up to baccalaureate level. The new school building was commissioned by the Italian Authorities in 2009 and completed to host academic year 2017-2018.

A contribution to the EU-accredited European School in Parma worth around 1.754 million was pre-financed from EFSA's 2022 budget for the 2022-2023 school year. The amount to forecast increases every year together with the indexation of the education allowance. In September 2022, 185 EFSA pupils were enrolled for school year 2022-2023.

5.3 Evaluation

EFSA's, follows the EU "Better Regulation framework" and the "Agencies handbook on evaluations", and includes: a) external (third party) evaluation of EFSA as described in its Founding Regulation; b) external (third party) evaluations for areas of work which entail significant spending and/or organisational implications, whether individual (e.g. project) or cluster (e.g. EFSA strategy) activities; c) internal evaluations for EFSA's "development" activities (projects), covered ex-ante by charters and ex-post by project closing reports.

The result of the third external evaluation of EFSA, delivered in 2018, together with the recommendations received in 2018 and 2019, by the two additional external evaluations (one ex post for the STEP 2018 project and one mid-term on EFSA Strategy 2020 implementation), fed the definition of the EFSA Strategy 2027, and its Implementation plan and the revised Performance Framework. The next external evaluation, to be carried out by the EC, is planned to be finalised by March 2026.

An interim (third party) evaluation of the expected outcomes of the Transparency Regulation implementation is envisioned to take place between 2023 and 2024 feeding therefore the mid-term EFSA strategy 2027 review planned for 2024-2025, and in preparation of the external evaluation of EFSA by the EC (indicated above).

Follow-up actions and recommendations from internal evaluations (ex-ante project charters and ex-post project closing reports) as well as external evaluations are captured in EFSA's continuous Plan-Do-Check-Act cycle.

5.4 Privileges and immunities

| <i>Agency privileges</i> | <i>Privileges granted to staff</i> | |
|--|--|--------------------------|
| | <i>Protocol of privileges and immunities/diplomatic status</i> | <i>Education/daycare</i> |
| In the seat agreement the Italian government committed to applying to the Authority the privileges and immunities provided for in the Protocol on the Privileges and Immunities of the European Communities signed in Brussels on 8 April 1965 | The executive director of the authority and members of the senior management team their spouses and dependent family members are granted the privileges and immunities facilities and concessions that are granted by the Italian government to members of equivalent rank in the diplomatic corps in Italy | |
| The authority its assets and funds wherever they may be are immune — during the performance of their official activities — from any form of legal proceedings and are not the subject of any administrative or legal measure of constraint | Staff are exempt from national taxes on salaries wages and emoluments paid by the authority | |
| The premises and the buildings used by the authority as well as the archives are inviolable | Staff are immune from legal proceedings in respect of acts performed by them in the exercise of their official duties | |
| The authority its funds, assets and income are within the limits of their official activities exempt from all the taxes and direct duties due to the state regions provinces and municipalities | Staff are in respect of exchange regulations accorded the same facilities as those accorded to officials of equal rank on foreign diplomatic missions in Italy and receive the same assistance with repatriation as is granted to diplomats in the event of international crises | |
| The authority is exempt from VAT for substantial purchases of goods and services relating to its official tasks and the exercise of its duties | Staff benefit within a period of 2 years starting from the official move of the authority to its permanent seat or appointment by the authority whichever is later from a tax installation benefit — VAT exemption — on the purchase of furniture and other household goods necessary for their installation | |
| The authority is exempt from any customs duty tax prohibition or restriction on goods of any type imported or exported in the exercise of its own official activities | Members of staff who are not permanent residents in Italy on taking up their functions with the authority or staff members employed by the authority prior to the move to Parma may acquire one motor vehicle duty and tax free during their period of residence in Italy; the vehicle is registered in a special series | |
| The Authority is exempt from taxes, duties and any other fees, as well as from any prohibition or restriction on importing vehicles intended 'for official activities' and on the relevant spare parts | | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------------|--|
| <p>Regulation (EU) 2022/2370 of the European Parliament and of the Council of 23 November 2022 amending Regulation (EC) No 851/2004 establishing a European centre for disease prevention and control</p> | <p>6/12/2022</p> | <p>ECDC Vision: To improve lives in Europe and globally applying scientific excellence, thus empowering the Member States, the European Commission, and other partners to drive public health policy and practice.</p> <p>Mission Statement: The Centre’s mission is laid down in Article 3 of the amended ECDC Founding Regulation , which states that: ‘...the mission of the Centre shall be to identify and assess current and emerging threats to human health from communicable diseases and related special health issues, to report thereon and, where appropriate, to ensure that information thereon is presented in an easily accessible way. The Centre shall act in collaboration with competent bodies of the Member States or on its own initiative, through a dedicated network.</p> <p>The mission of the Centre shall also be to provide science-based recommendations and support in coordinating the response at Union and national levels, as well as at cross-border interregional and regional level, to such threats, where appropriate. In providing such recommendations, the Centre shall, where necessary, cooperate with Member States and take into account existing national crisis management plans and the respective circumstances of each Member State.</p> <p>In the case of other outbreaks of diseases of unknown origin that may spread within or to the Union, the Centre shall act on its own initiative until the source of the outbreak is known. In the case of an outbreak that is clearly not of a communicable disease, the Centre shall act only in cooperation with the coordinating competent bodies and upon their request and provide a risk assessment.</p> <p>In pursuing its mission, the Centre shall respect the responsibilities of the Member States, the Commission and other Union bodies or agencies, and the responsibilities of third countries and international organisations active within the field of public health, in particular the WHO, in order to ensure that there is comprehensiveness, coherence and complementarity of action and that actions are coordinated.</p> <p>The Centre shall support the work of the Health Security Committee (HSC), established by Article 4 of Regulation (EU) 2022/2371, the Council, the Member States and, where relevant, other Union structures, in order to promote effective coherence between their respective activities and to coordinate responses to serious cross-border threats to health, within its mandate.’</p> <ul style="list-style-type: none"> - Since its creation in 2004, ECDC has been committed to protecting human health through the prevention and control of communicable diseases and special health issues like antimicrobial resistance (AMR). In 2020, the outbreak of coronavirus disease (COVID-19) has caused the loss of hundreds of thousands around the world and left many others with severe disability. - This will not be the last pandemic and the EU citizens remain continuously exposed to many other threats to health, including those spanning from political or environmental factors, e.g., the refugee crisis following Russian’s aggression in Ukraine in 2022, or growing impacts of the climate change. - The needs of the EU, the Member States, and their national health systems continue to evolve. Recognising these needs and drawing on the lessons learnt from the COVID-19 pandemic, the European Commission proposed to create a European Health Union that will allow to address the future with stronger crisis preparedness mechanisms. The European Health Union includes a package of new legislations, establishing the Health Emergency and Response Authority (HERA), strengthening the role of the European Medicines Agency (EMA), forming new law on serious cross-border threats to health, and above all strengthening the mandate of the European Centre for Disease Prevention and Control. - The amended ECDC Founding Regulation adopted by the Council on the 24th of October 2022 is the first update to the ECDC’s mandate since the creation of the Centre. Now it also complements the provisions put forward in the new Regulation on Serious Cross-Border Threats to Health and the Emergency Framework Regulation of HERA that have been approved by the Council at the same time, completing the European Health Union. - The strengthened mandate of ECDC and the array of unprecedented global challenges that shape the arena for delivering on the Centre’s new mission, place new expectations as regards the tasks and approaches to carrying out ECDC interventions. On the one hand, the amended ECDC Founding Regulation sets the legal basis for ECDC activities that became a priority following the COVID-19 outbreak. On the other hand, it introduces new areas of focus for ECDC interventions. - In particular, the amended ECDC Founding Regulation calls for the Centre to adopt a stronger role in supporting the EU Member States in the prevention and control of infectious disease threats, and to improve European preparedness and response planning ahead of future public health challenges by: <ul style="list-style-type: none"> - Epidemiological surveillance via integrated and digitalised systems enabling real-time surveillance, when relevant. - Joint preparedness and response planning, reporting, and monitoring with the EU Member States. - Stronger guidance through provision of non-binding recommendations and options for risk management. - Reinforced capacity for modelling and forecasting. - Focus on prevention and monitoring health systems capacity for prevention, diagnosis, and treatment. - Coordination of a network of EU reference laboratories and a network for substances of human origin. - Platform for post-authorisation monitoring of safety and effectiveness of vaccines, hosted jointly by EMA and ECDC. |

| | | |
|--|--|--|
| | | <ul style="list-style-type: none"> - Capacity to mobilise and deploy an EU Health Task Force to assist local response in Member States. - Fostering ECDC's contribution to the EU's international cooperation and commitment to global health security preparedness. |
|--|--|--|

1.2 Seat

Solna, Stockholm, Sweden

1.3 Budget Line

06 10 01 : European Centre for Disease Prevention and Control

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 148 | 141 | 95,27% | 155 | 158 |
| Assistants (AST) | 62 | 60 | 96,77% | 62 | 61 |
| Assistants/Secretaries (AST/SC) | 5 | 5 | 100,00% | 5 | 6 |
| ESTABLISHMENT PLAN POSTS | 215 | 206 | 95,81% | 222 | 225 |
| Contract Agents (CA) | 130 | 117 | 90,00% | 126 | 128 |
| Seconded National Experts (SNE) | 5 | 4 | 80,00% | 5 | 5 |
| TOTAL STAFF | 350 | 327 | 93,43% | 353 | 358 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 87 665 000 | 90 288 652 |
| Other Revenue | 2 517 000 | 2 121 970 |
| TOTAL REVENUES | 90 182 000 | 92 410 622 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 10 510 680 | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 10 510 680 | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 41 374 000 | 41 374 000 | 42 425 000 | 42 425 000 |
| Title 2 - Infrastructure and operating expenditure | 9 583 000 | 9 583 000 | 9 995 000 | 9 995 000 |
| Title 3 - Operational expenditure | 39 225 000 | 39 225 000 | 39 990 622 | 39 990 622 |
| TOTAL EXPENDITURE | 90 182 000 | 90 182 000 | 92 410 622 | 92 410 622 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 2 | | | | 2 | | 1 | | 1 |
| AD 13 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AD 12 | | 7 | | 5 | | 7 | | 6 | | 6 |
| AD 11 | | 8 | | 6 | | 8 | | 8 | | 8 |
| AD 10 | | 25 | | 8 | | 25 | | 16 | | 16 |
| AD 9 | | 24 | | 17 | | 24 | | 24 | | 24 |
| AD 8 | | 25 | | 36 | | 28 | | 29 | | 29 |
| AD 7 | | 29 | | 10 | | 29 | | 23 | | 23 |
| AD 6 | | 21 | | 14 | | 25 | | 32 | | 32 |
| AD 5 | | 3 | | 43 | | 3 | | 15 | | 15 |
| AD TOTAL | | 148 | | 141 | | 155 | | 158 | | 158 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 1 | | | | 1 | | 1 | | 1 |
| AST 9 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AST 8 | | 3 | | 2 | | 3 | | 6 | | 6 |
| AST 7 | | 11 | | 5 | | 11 | | 6 | | 6 |
| AST 6 | | 10 | | 9 | | 10 | | 10 | | 10 |
| AST 5 | | 15 | | 11 | | 15 | | 15 | | 15 |
| AST 4 | | 13 | | 26 | | 17 | | 19 | | 19 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 3 | | 7 | | 3 | | 3 | | 1 | | 1 |
| AST 2 | | | | 1 | | | | 1 | | 1 |
| AST 1 | | | | 1 | | | | | | |
| AST TOTAL | | 62 | | 60 | | 62 | | 61 | | 61 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | 2 | | 2 |
| AST/SC 3 | | 5 | | | | 5 | | 4 | | 4 |
| AST/SC 2 | | | | 1 | | | | | | |
| AST/SC 1 | | | | 4 | | | | | | |
| AST/SC TOTAL | | 5 | | 5 | | 5 | | 6 | | 6 |
| TOTAL | | 215 | | 206 | | 222 | | 225 | | 225 |
| GRAND TOTAL | | 215 | | 206 | | 222 | | 225 | | 225 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 76 | 68 | 74 | 76 |
| Function Group III | 41 | 37 | 38 | 38 |
| Function Group II | 12 | 11 | 13 | 13 |
| Function Group I | 1 | 1 | 1 | 1 |
| TOTAL | 130 | 117 | 126 | 128 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 5 | 4 | 5 | 5 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|----------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| | | | | | |

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 96 602 290 | 87 665 000 | 90 289 000 | 90 288 652 | 2,99% |
| - Of which assigned revenues deriving from previous years' surpluses | | 1 739 880 | 17 866 000 | 17 866 467 | 926,88% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 248 774 | 2 517 000 | 2 121 000 | 2 121 970 | -15,69% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 248 774 | 2 517 000 | 2 121 000 | 2 121 970 | -15,69% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 98 851 064 | 90 182 000 | 92 410 000 | 92 410 622 | 2,47% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 4 291 446 | 10 510 680 | | | -100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 4 291 446 | 10 510 680 | | | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 41 813 835 | 41 374 000 | 42 425 000 | 42 425 000 | 2,54% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Salaries & allowances | 36 508 439 | 37 490 000 | 38 643 000 | 38 643 000 | 3,08% |
| - Of which establishment plan posts | 27 638 094 | 27 750 000 | 28 793 000 | 28 793 000 | 3,76% |
| - Of which external personnel | 8 870 345 | 9 740 000 | 9 850 000 | 9 850 000 | 1,13% |
| Expenditure relating to Staff recruitment | 655 490 | 600 000 | 555 000 | 555 000 | -7,50% |
| Employer's pension contributions | | | | | |
| Mission expenses | 386 352 | 450 000 | 450 000 | 450 000 | 0,00% |
| Socio-medical infrastructure | 141 238 | 180 000 | 180 000 | 180 000 | 0,00% |
| Training | 359 004 | 500 000 | 500 000 | 500 000 | 0,00% |
| External Services | 3 729 249 | 2 104 000 | 2 075 000 | 2 075 000 | -1,38% |
| Receptions, events and representation | 34 063 | 50 000 | 22 000 | 22 000 | -56,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 8 183 048 | 9 583 000 | 9 995 000 | 9 995 000 | 4,30% |
| Rental of buildings and associated costs | 4 074 709 | 4 833 000 | 4 922 000 | 4 922 000 | 1,84% |
| Information, communication technology and data processing | 3 281 266 | 3 320 000 | 3 459 000 | 3 459 000 | 4,19% |
| Movable property and associated costs | 85 473 | 229 000 | 293 000 | 293 000 | 27,95% |
| Current administrative expenditure | 288 377 | 281 000 | 222 000 | 222 000 | -21,00% |
| Postage / Telecommunications | 87 458 | 135 000 | 134 000 | 134 000 | -0,74% |
| Meeting expenses | 365 765 | 785 000 | 965 000 | 965 000 | 22,93% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 48 854 182 | 39 225 000 | 39 990 000 | 39 990 622 | 1,95% |
| TOTAL | 98 851 065 | 90 182 000 | 92 410 000 | 92 410 622 | 2,47% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 41 813 835 | 41 374 000 | 42 425 000 | 42 425 000 | 2,54% |
| Salaries & allowances | 36 508 439 | 37 490 000 | 38 643 000 | 38 643 000 | 3,08% |
| - Of which establishment plan posts | 27 638 094 | 27 750 000 | 28 793 000 | 28 793 000 | 3,76% |
| - Of which external personnel | 8 870 345 | 9 740 000 | 9 850 000 | 9 850 000 | 1,13% |
| Expenditure relating to Staff recruitment | 655 490 | 600 000 | 555 000 | 555 000 | -7,50% |
| Employer's pension contributions | | | | | |
| Mission expenses | 386 352 | 450 000 | 450 000 | 450 000 | 0,00% |
| Socio-medical infrastructure | 141 238 | 180 000 | 180 000 | 180 000 | 0,00% |
| Training | 359 004 | 500 000 | 500 000 | 500 000 | 0,00% |
| External Services | 3 729 249 | 2 104 000 | 2 075 000 | 2 075 000 | -1,38% |
| Receptions, events and representation | 34 063 | 50 000 | 22 000 | 22 000 | -56,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 8 183 048 | 9 583 000 | 9 995 000 | 9 995 000 | 4,30% |
| Rental of buildings and associated costs | 4 074 709 | 4 833 000 | 4 922 000 | 4 922 000 | 1,84% |
| Information, communication technology and data processing | 3 281 266 | 3 320 000 | 3 459 000 | 3 459 000 | 4,19% |
| Movable property and associated costs | 85 473 | 229 000 | 293 000 | 293 000 | 27,95% |
| Current administrative expenditure | 288 377 | 281 000 | 222 000 | 222 000 | -21,00% |
| Postage / Telecommunications | 87 458 | 135 000 | 134 000 | 134 000 | -0,74% |
| Meeting expenses | 365 765 | 785 000 | 965 000 | 965 000 | 22,93% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 48 854 182 | 39 225 000 | 39 990 000 | 39 990 622 | 1,95% |
| TOTAL | 98 851 065 | 90 182 000 | 92 410 000 | 92 410 622 | 2,47% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 17,866,467 EUR. Out of the total budgetary outturn of EUR 17 866 467 to be returned to the EU budget, EUR 15 349 179 is related to the implementation of the HERA Incubator grants, only EUR 1 201 784 is related to the Core activities carried forward into 2022 and EUR 1 136 676 related to the Core activities of 2022 and EUR 179 012 related to exchange rate differences.

4 Justification of needs

Commission assessment

Human Resources

The ECDC request for staff is in line with the Commission instructions. The increase (358 staff in 2024 compared to 353 FTE in 2023) is fully attributed to the reinforcement following the ECDC extended mandate, namely an increase of 3 temporary agents and of 2 contract agents.

Financial Resources

The ECDC request for budget is in line with the Commission instructions. The 2022 budget outturn (€17.9 million) is deducted from the 2024 contribution, so the net contribution will be €72.42 million.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Further to the increases in number of posts in the past 2 years, the Centre received an additional 7 new TA posts and 6 new CA posts in 2023. In view of the expansion of the Centre's mandate, the workload will remain high in the years to come. Considering both the workload linked to the new mandate as well as the work on externally funded projects, the Centre will continue to see a growth in its staff population until 2024. Out of the 73 posts the Centre has received between 2021 and 2024, the remaining posts for 2024 are five. Therefore, ECDC foresees in 2024: 225 Temporary Agent posts, 128 Contract Agent posts and 5 Seconded National Expert posts. In addition, ECDC foresees CA 23 posts from externally funded projects.

4.1.2 Vacancy rate as of end 2022

The vacancy rate for the establishment plan posts represents 4.2% (95.8% post occupied). The vacancy rate for the Contract Agents posts represents 7.1 % (92.9 % post occupied). The vacancy rates have been impacted by the high number of new posts received in the last 3 years both related to the new mandate and the project posts as well as the replacements of staff who have been successful in the recruitments of the new posts.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for 2024 of 42 425 000 EUR, forecasts to accommodate the salaries and salary related costs of the implemented establishment plan and the Centre's contract staff. The budget for salary related expenditures increases by 3,08% compared to the 2023 budget. As it could be observed in previous years, the impact on the budget line for the weightings applied to the remunerations, remains an unknown and unpredictable macro-economic part of the ECDC's

budget planning and its execution. This is due to the correction coefficient applied to the salaries in Sweden, which is driven, to a large extent, by the fluctuations of the Swedish krona

4.1.5 Correction coefficient used

The correction coefficient is applied to the salaries in Sweden, which is driven by the fluctuations of the Swedish krona.

4.1.6 Exchange rate used (if applicable)

The exchange rate SEK/EURO is applied by the Centre.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for 2024 of 42 425 000 EUR, forecasts to accommodate the salaries and salary related costs of the implemented establishment plan and the Centre's contract staff. The budget for salary related expenditures increases by 3 % compared to the 2023 budget to cater for the high inflation and salary adjustments applied to salaries, as described in the Budget Circular for 2024.

4.2.2 Title 2

The total budget for 2024 for Title II is of 9 995 000 EUR increases by 4.3% compared to 2023, to cover for increases relating to the building, information and communication technology and data processing, as well as strategic management consultancy, evaluation, and audit.

4.2.3 Title 3

The operational budget 2024, under Title III, increases by 2% compared to 2023 and is forecasted to reach 39 990 000 EUR. The operational budget will be used to implement ECDC work programme activities through external procurements, grants and meetings.

4.3 Ad hoc grants and delegation agreements

There are four Contribution Agreements in place:

- 1) Contribution Agreement: EU for Health Security in Africa EDF/2019 CRIS 416-738 signed with INTPA (DEVCO), Staffing 8 CA for 48 months
- 2) Contribution Agreement IPA6 CN 2019/409-781 & CN 422-255 signed with DG NEAR Staffing, 2 CA for 60 months (there was an amendment that increased the staffing from 1 to 2 CA)
- 3) Contribution Agreement: EU Initiative on Health Security ENI/2020/415-420 DG NEAR Staffing, 11 CA for 63 months
- 4) Contribution Agreement: Reinforcement of the European Vaccination Information Portal (EVIP), Staffing, 2 CA for 30 months.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|-------------------------|-----------------------------------|--------------|---------------|-----------------|--|---|---|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Gustav III boulevard 40 Hilton 3 building -Main Building | 16973, Solna, Sweden | 9 407 | 4 905 | 14 312 | | Contract start date is 27/2/2018. Expiration date is 27/2/33. | 15-year contract with the option of 2 renewals of 5 years each. Termination notice period 12 months. | No financial support is provided by the Host Country. | |
| TOTAL | | | 9 407 | 4 905 | 14 312 | | | | | |

5.1.2 Current building(s) Other comments

The rent cost projection without indexation for 2022 is 19,491,840 SEK per year. The same applies in 2023.

In 2023 there is no financial support provided by the Host Country.

5.1.3 Building projects in the planning phase

There is a building project put in operation in the spring 2018. The total surface is 9407 sqm. A new 15-year contract with the option of 2 renewals of 5 years each was signed. The contract start date is 27/2/2018 and the expiration date is 27/2/33.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

There are a number of alternatives regarding international schooling within the region where the Centre is situated (international schools, German, British, French, Finnish schools). There is no European school in Stockholm.

Public schools, whether Swedish or international, are free of charge. Private school fees are high; although national grants per student reduce fees. However, the private International School situated in the Stockholm City Centre charges very high fees and the double educational allowance only covers a minimal part of the fees of this school.

It should be noted that the seat agreement between the Centre and the Swedish government provides for the possibility to consider a European section or school.

5.3 Evaluation

External evaluation:

ECDC's Founding Regulation requires the Centre to organise external evaluations every five years to assess how well it is performing its mission.

In 2018, ECDC launched its third external evaluation which was finalised in 2019. Part of the accepted recommendations are addressed through the changes in ECDC mandate, while others were addressed jointly with the actions resulting from the external assessment of ECDC response to the pandemic – their implementation was finalised by the end of 2021.

Internal evaluations:

ECDC has a procedure for the internal evaluation of its activities and outputs since 2015. Every year at least one of ECDC's projects/programmes or products have been assessed. Last assessment was done in 2022: Evaluation of cooperation with CDCs with which ECDC has bilateral arrangements in the form of MoU or Administrative Arrangement (outsourced). Additionally, in 2022 the Centre worked on a specific guidance for internal evaluations of outbreaks and trained on its use the internal evaluators.

All evaluations are linked to the activities in the Single Programming Document. Evaluations are generally conducted ex-post or mid-term.

The present evaluation plan will be reviewed in Q1 2023 in the light of the amended ECDC Founding Regulation and the EU Regulation on serious cross-border threats to health, once approved.

Stakeholder surveys:

In 2015, ECDC launched a first stakeholder survey targeted to members of the Management Board, Advisory Forum, Competent Bodies, National Focal Points, and relevant external stakeholders (EU institutions, relevant EU agencies, international organisations). A second survey was done in 2016. The next stakeholder survey took place during the summer 2022. First results were presented to the ECDC Management Board in November, and the full report showing great appreciations of ECDC work will be shared in March 2023.

Monitoring of ECDC work programme implementation:

The implementation of the ECDC work programme is managed through a Management Information System, as well as dedicated dashboards reviewed monthly by the Director and Heads of Units.

5.4 Privileges and immunities

| | |
|-------------------|-----------------------------|
| Agency privileges | Privileges granted to staff |
|-------------------|-----------------------------|

| Agency privileges | Privileges granted to staff | |
|--|---|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency enjoys the privileges stipulated in the Protocol on the Privileges and Immunities of the European Union (Articles 1 to 4 of the Protocol) | <p>Articles 11 to 15 of the Protocol on the Privileges and Immunities of the European Union are applicable to the staff of the Centre.</p> <p>This includes:</p> <ul style="list-style-type: none"> - Immunity from jurisdiction as regards acts carried out by them in their official capacity. - Exemption from regulations restricting immigration and formalities for the registration of foreigners. - Right to import household effects from their last country of residence or from the country of which they are nationals <p>The Director of the Centre and the Deputy to the Director together with their families are granted the immunities and privileges accorded to heads of diplomatic missions and members of their families.</p> | Family members of staff have access to day care/education in accordance with Swedish legislation. |

2.2.3 European Medicines Agency – EMA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks/ Functions |
|--|--|--|
| Regulation (EC) 726/2004 of the European Parliament and the Council of 31 March 2004 (replacing Council Regulation (EEC) No 2309/93 of 22 July 1993) | 24/08/1993 | Founding Regulation: In order to promote the protection of human and animal health and of consumers of medicinal products throughout the Community, and in order to promote the completion of the internal market through the adoption of uniform regulatory decisions based in scientific criteria concerning the placing on the market and the use of medicinal products, the objectives of the Agency shall be to provide the Member States and the institutions of the Community with the best possible scientific advice on any question relating to the evaluation of the quality, the safety, and the efficacy of medicinal products for human and veterinary use, which is referred to it in accordance with the provisions of the Community legislation relating to medicinal products. |
| Council Regulation (EC) No 297/95 of 10 February 1995 | 15/02/1995 | Regulation on fees payable to the EMA laying down the fee levels and its implementation for centrally authorised medicinal products. |
| Regulation (EC) No 141/2000 of European Parliament and the Council of 16 December 1999 | 20/01/2000 | Orphan Medicines Regulation laying down a Community procedure for the designation of medicinal products as orphan medicinal products and to provide incentives for the research, development and placing on the market of designated orphan medicinal products. |
| Directive 2001/83/EC of European Parliament and the Council | 28/11/2001 | Community code relating to medicinal products for human use |
| Directive 2004/24/EC of the European Parliament and the Council of 31 March 2004 | 30/04/2004 | Traditional herbal medicinal products Directive amending, as regards traditional herbal medicinal products, Directive 2001/83/EC on the Community code relating to medicinal products for human use |
| Commission Regulation (EC) No 2049/2005 of 15 December 2005 | 16/12/2005 | SME Regulation laying down rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises |
| Commission Regulation (EC) No 507/2006 of 29 March 2006 | 29 March 2006 | Commission Regulation (EC) No 507/2006 of 29 March 2006 on the conditional marketing authorisation for medicinal products for human use falling within the scope of Regulation (EC) No 726/2004 of the European Parliament and of the Council (Text with EEA relevance) |
| Regulation (EC) No 1901/2006 of the European Parliament and the Council of 12 December 2006 | 26/01/2007 | Paediatrics Regulation laying down rules concerning the development of medicinal products for human use in order to meet the specific therapeutic needs of the paediatric population, without subjecting the paediatric population to unnecessary clinical or other trials and in compliance with Directive 2001/20/EC. |
| Commission Regulation 658/2007 of 14 June 2007 | 15/06/2007 applicable from 04/07/2007 | Financial penalties for infringement of certain obligations in connection with marketing authorisations granted under Regulation (EC) No 726/2004. |
| Regulation (EC) No 1394/2007 of the European Parliament and the Council of 13 November 2007 | 30/12/2007 | Advance Therapies Regulation laying down specific rules concerning the authorisation, supervision and pharmacovigilance of advanced therapy medicinal products. |
| Commission Regulation 1234/2008 of 24 November 2008 | 01/01/2009 applicable from 01/01/2010 | Variations Regulation laying down provisions concerning the examination of variations to the terms of the following marketing authorisations for medicinal products for human use and veterinary medicinal products. |
| Regulation (EC) No 470/2009 of the European Parliament and the Council of 6 May 2009 | 16/06/2009 applicable from 05/07/2009 | Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuff of animal origin. |
| Commission Regulation (EC) No 668/2009 | 24/07/2009 | Implementing Regulation (EC) No 1349/2007 with regards to the evaluation and certification of quality and non-quality data relating to advanced therapies medicinal products [...] developed by SMEs. |
| Commission Regulation (EU) No 37/2010 of 22 December 2009 | 22/12/2009, applicable 20 th day after its publication | Commission Regulation (EU) No 37/2010 of 22 December 2009 on pharmacologically active substances and their classification regarding maximum residue limits in foodstuffs of animal origin |
| Regulation (EU) No 1235/2010 of the European Parliament and the Council of 15 December 2010 | 01/01/2011 applicable from 02/07/2012 | Pharmacovigilance Regulation amending Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use [...] and Regulation (EC) No 1394/2007 on advanced therapy |

| | | |
|---|--|--|
| | | medicinal products. |
| Regulation (EU) No 536/2014 of the European Parliament and the Council of 16 April 2014 | Applicable no earlier than 28 May 2016 | Regulation on Clinical trials on medicinal products for human use, and repealing Directive 2001/20/EC |
| Regulation (EU) No 658/2014 of the European Parliament and the Council of 15 May 2014 | 15/05/2014 applicable from 26/08/2014 | Pharmacovigilance Fee Regulation laying down fees payable to the European Medicines Agency for the conduct of pharmacovigilance activities in respect of medicinal products for human use. |
| Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017 | 5 April 2017 applicable from 26 May 2020 | Regulation of medical devices in the European Union, amending Directive 2001/83, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Directives 90/385 and 93/42/EEC. |
| Regulation (EU) 2017/746 of the European Parliament and of the Council of 5 April 2017 | 5 April 2017 Applicable from 22 May 2022 | Regulation of in vitro diagnostic medical devices in the European Union, repealing Directive 98/79/EC and Commission Decision 2010/227/EU. |
| Regulation (EU)2019/6 of the European Parliament and of the Council of 11 December 2018 | 11/12/2018 applicable from 28 January 2022 | Regulation of veterinary medicinal products in the European Union , repealing Directive 2001/82/EC. |
| Regulation (EU) 2021/2282 of the European Parliament and of the Council of 15 December 2021 | 15/12/2021 applicable from 12/01/2025 | Regulation (EU) 2021/2282 of the European Parliament and of the Council of 15 December 2021 on health technology assessment and amending Directive 2011/24/EU (Text with EEA relevance) |
| Commission Implementing Regulation (EU) 2022/20 of 7 January 2022 | 07/01/2022 applicable from 31/01/2022 | Commission Implementing Regulation (EU) 2022/20 of 7 January 2022 laying down rules for the application of Regulation (EU) No 536/2014 of the European Parliament and of the Council as regards setting up the rules and procedures for the cooperation of the Member States in safety assessment of clinical trials (Text with EEA relevance) |
| Regulation (eu) 2022/123 of the European Parliament and of the Council of 25 January 2022 | 25/02/2022 applicable from 01/03/2022 | REGULATION (EU) 2022/123 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 January 2022on a reinforced role for the European Medicines Agency in crisis preparedness and management for medicinal products and medical devices |

1.2 Seat

6 Domenico Scarlattiilaan, 1083 HS Amsterdam, The Netherlands

1.3 Budget Line

06 10 03 01 : Union contribution to the European Medicines Agency

06 10 03 02 : Special contribution for orphan medicinal products

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 477 | 473 | 99,16% | 495 | 500 |
| Assistants (AST) | 185 | 185 | 100,00% | 187 | 191 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 662 | 658 | 99,40% | 682 | 691 |
| Contract Agents (CA) | 223 | 207 | 92,83% | 203 | 203 |
| Seconded National Experts (SNE) | 30 | 30 | 100,00% | 30 | 45 |
| TOTAL STAFF | 915 | 895 | 97,81% | 915 | 939 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 50 027 000 | 34 000 000 |
| Other Revenue | 407 976 000 | 447 111 000 |
| TOTAL REVENUES | 458 003 000 | 481 111 000 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 158 385 000 | 158 385 000 | 161 297 000 | 161 297 000 |
| Title 2 - Infrastructure and operating expenditure | 72 741 000 | 72 741 000 | 72 290 000 | 72 290 000 |
| Title 3 - Operational expenditure | 226 877 000 | 226 877 000 | 247 524 000 | 247 524 000 |
| TOTAL EXPENDITURE | 458 003 000 | 458 003 000 | 481 111 000 | 481 111 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 15 | | 3 | | | | 3 | | 3 | | 3 |
| AD 14 | | 10 | | 9 | | 12 | | 12 | | 12 |
| AD 13 | | 13 | | 13 | | 12 | | 12 | | 12 |
| AD 12 | | 50 | | 50 | | 57 | | 61 | | 61 |
| AD 11 | | 52 | | 52 | | 49 | | 50 | | 50 |
| AD 10 | | 50 | | 50 | | 53 | | 57 | | 57 |
| AD 9 | | 62 | | 62 | | 66 | | 82 | | 82 |
| AD 8 | | 77 | | 77 | | 87 | | 82 | | 78 |
| AD 7 | | 97 | | 97 | | 89 | | 90 | | 90 |
| AD 6 | | 60 | | 60 | | 67 | | 68 | | 55 |
| AD 5 | | 3 | | 3 | | | | | | |
| AD TOTAL | | 477 | | 473 | | 495 | | 517 | | 500 |
| AST 11 | | 2 | | 2 | | 2 | | 3 | | 3 |
| AST 10 | | 7 | | 7 | | 7 | | 7 | | 7 |
| AST 9 | | 10 | | 10 | | 10 | | 10 | | 10 |
| AST 8 | | 13 | | 13 | | 14 | | 15 | | 15 |
| AST 7 | | 19 | | 19 | | 25 | | 29 | | 29 |
| AST 6 | | 26 | | 26 | | 31 | | 35 | | 35 |
| AST 5 | | 43 | | 43 | | 43 | | 49 | | 49 |
| AST 4 | | 42 | | 42 | | 43 | | 32 | | 32 |
| AST 3 | | 23 | | 23 | | 12 | | 5 | | 11 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 185 | | 185 | | 187 | | 185 | | 191 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 662 | | 658 | | 682 | | 702 | | 691 |
| GRAND TOTAL | | 662 | | 658 | | 682 | | 702 | | 691 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 142 | 108 | 122 | 125 |
| Function Group III | 81 | 98 | 81 | 78 |
| Function Group II | | 1 | | |
| Function Group I | | | | |
| TOTAL | 223 | 207 | 203 | 203 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 30 | 30 | 30 | 45 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 364 882 044 | 407 609 000 | 446 063 250 | 446 063 250 | 9,43% |
| 2 EU CONTRIBUTION | 49 679 960 | 50 027 000 | 34 000 000 | 34 000 000 | -32,04% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | | 10 459 043 | 10 459 043 | 100% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | 689 750 | 689 750 | 100% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | 689 750 | 689 750 | 100% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 300 606 | 367 000 | 358 000 | 358 000 | -2,45% |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 414 862 610 | 458 003 000 | 481 111 000 | 481 111 000 | 5,05% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 761 010 | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 761 010 | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 138 841 910 | 158 385 000 | 161 297 000 | 161 297 000 | 1,84% |
| Salaries & allowances | 117 411 847 | 132 958 000 | 138 062 000 | 138 062 000 | 3,84% |
| - Of which establishment plan posts | 100 262 998 | 112 732 000 | 116 499 000 | 116 499 000 | 3,34% |
| - Of which external personnel | 17 148 849 | 20 226 000 | 21 563 000 | 21 563 000 | 6,61% |
| Expenditure relating to Staff recruitment | 202 880 | 200 000 | 300 000 | 300 000 | 50,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 388 679 | 676 000 | 693 000 | 693 000 | 2,51% |
| Socio-medical infrastructure | 2 433 756 | 2 874 000 | 2 733 000 | 2 733 000 | -4,91% |
| Training | 1 011 818 | 1 135 000 | 1 140 000 | 1 140 000 | 0,44% |
| External Services | 17 301 239 | 20 405 000 | 18 216 000 | 18 216 000 | -10,73% |
| Receptions, events and representation | 91 691 | 137 000 | 153 000 | 153 000 | 11,68% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 52 245 279 | 72 741 000 | 72 290 000 | 72 290 000 | -0,62% |
| Rental of buildings and associated costs | 14 880 714 | 16 808 000 | 16 483 000 | 16 483 000 | -1,93% |
| Information, communication technology and data processing | 30 449 339 | 45 717 000 | 48 867 000 | 48 867 000 | 6,89% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 581 136 | 643 000 | 660 000 | 660 000 | 2,64% |
| Current administrative expenditure | 1 333 674 | 1 437 000 | 1 482 000 | 1 482 000 | 3,13% |
| Postage / Telecommunications | 19 636 | 31 000 | 33 000 | 33 000 | 6,45% |
| Meeting expenses | 165 255 | 87 000 | 93 000 | 93 000 | 6,90% |
| Running costs in connection with operational activities | 1 023 101 | 1 245 000 | 1 282 000 | 1 282 000 | 2,97% |
| Information and publishing | 1 807 772 | 1 403 000 | 1 460 000 | 1 460 000 | 4,06% |
| Studies | 1 984 652 | 5 370 000 | 1 930 000 | 1 930 000 | -64,06% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 217 237 648 | 226 877 000 | 247 524 000 | 247 524 000 | 9,10% |
| Meetings | 2 193 316 | 5 468 000 | 5 394 000 | 5 394 000 | -1,35% |
| Evaluation of medicines | 145 993 812 | 163 348 000 | 178 635 000 | 178 635 000 | 9,36% |
| Translation expenses | 4 072 491 | 4 245 000 | 4 656 000 | 4 656 000 | 9,68% |
| Studies and consultants | 27 604 840 | 16 414 000 | 24 239 000 | 24 239 000 | 47,67% |
| Information and publication | 37 373 189 | 37 402 000 | 34 600 000 | 34 600 000 | -7,49% |
| TOTAL | 408 324 837 | 458 003 000 | 481 111 000 | 481 111 000 | 5,05% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 138 841 910 | 158 385 000 | 161 297 000 | 161 297 000 | 1,84% |
| Salaries & allowances | 117 411 847 | 132 958 000 | 138 062 000 | 138 062 000 | 3,84% |
| - Of which establishment plan posts | 100 262 998 | 112 732 000 | 116 499 000 | 116 499 000 | 3,34% |
| - Of which external personnel | 17 148 849 | 20 226 000 | 21 563 000 | 21 563 000 | 6,61% |
| Expenditure relating to Staff recruitment | 202 880 | 200 000 | 300 000 | 300 000 | 50,00% |
| Employer's pension contributions | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | 388 679 | 676 000 | 693 000 | 693 000 | 2,51% |
| Socio-medical infrastructure | 2 433 756 | 2 874 000 | 2 733 000 | 2 733 000 | -4,91% |
| Training | 1 011 818 | 1 135 000 | 1 140 000 | 1 140 000 | 0,44% |
| External Services | 17 301 239 | 20 405 000 | 18 216 000 | 18 216 000 | -10,73% |
| Receptions, events and representation | 91 691 | 137 000 | 153 000 | 153 000 | 11,68% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 51 045 279 | 72 741 000 | 72 290 000 | 72 290 000 | -0,62% |
| Rental of buildings and associated costs | 14 880 714 | 16 808 000 | 16 483 000 | 16 483 000 | -1,93% |
| Information, communication technology and data processing | 30 449 340 | 45 717 000 | 48 867 000 | 48 867 000 | 6,89% |
| Movable property and associated costs | 581 135 | 643 000 | 660 000 | 660 000 | 2,64% |
| Current administrative expenditure | 133 674 | 1 437 000 | 1 482 000 | 1 482 000 | 3,13% |
| Postage / Telecommunications | 19 636 | 31 000 | 33 000 | 33 000 | 6,45% |
| Meeting expenses | 165 255 | 87 000 | 93 000 | 93 000 | 6,90% |
| Running costs in connection with operational activities | 1 023 101 | 1 245 000 | 1 282 000 | 1 282 000 | 2,97% |
| Information and publishing | 1 807 772 | 1 403 000 | 1 460 000 | 1 460 000 | 4,06% |
| Studies | 1 984 652 | 5 370 000 | 1 930 000 | 1 930 000 | -64,06% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 217 237 648 | 226 877 000 | 247 524 000 | 247 524 000 | 9,10% |
| Meetings | 2 193 316 | 5 468 000 | 5 394 000 | 5 394 000 | -1,35% |
| Evaluation of medicines | 145 993 812 | 163 348 000 | 178 635 000 | 178 635 000 | 9,36% |
| Translation expenses | 4 072 491 | 4 245 000 | 4 656 000 | 4 656 000 | 9,68% |
| Studies and consultants | 27 604 840 | 16 414 000 | 24 239 000 | 24 239 000 | 47,67% |
| Information and publication | 37 373 189 | 37 402 000 | 34 600 000 | 34 600 000 | -7,49% |
| TOTAL | 407 124 837 | 458 003 000 | 481 111 000 | 481 111 000 | 5,05% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 10 459 043.

4 Justification of needs

Commission assessment

Human Resources

The Commission agrees with EMA request of increase of 15 SNEs to cater for the increasing workload (45 SNEs in total for 2024). The Commission has also adapted the establishment plan posts in line with the Legislative Financial statement of the Pharma package, as adopted by the Commission on 26 April 2023.

Financial Resources

The Commission agrees with EMA request in budget which is slightly below the amount it could request according to the financial programming, namely €34.0 instead of €34.5 million. As the EMA 2022 budget outturn stands at €10.5 million and is to be deducted, EMA is requesting a net contribution of €23.5 million.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency requests 702 posts for 2024. In 2023 the establishment plan included 682 TA posts however the Agency requests an increase of 12 additional TA posts linked to additional requirements included in the adopted legal text for Regulation (EU) 123/2022 but not reflected in the related fiche financière. Furthermore, in view of the growing product portfolio, the Agency requests 18 TAs posts (2.8 % of workforce which excludes COVID posts) to cater for additional product-related workload both for human and veterinary medicines. The workload is estimated to increase by 4.5% between 2023 and 2024, approximately half of which will be covered through efficiency gains, while the other half needs to be resourced through fee funded posts. These posts will not only support the increase in workload but also provide the Agency with some additional capacity to address the negative priorities, which currently include the contribution to EC One Substance One Assessment approach, the support to International regulatory cooperative activities (e.g. African Medicine Agency, cooperation with Latin American Countries), the compliance with right of access to EU documents within the timelines, the Implementation of EMA Policy on clinical data publication (called policy 0070), the contribute to EC for Environmental Risks Assessments of veterinary medicines and the identification of indications outside the scope of the original approved product information .

It should be noted that applications-related fee income (without inflation) and associated workload have grown by from 64% between 2014 to 2024 while the establishment plan increased by only 2,1% net of additional posts given for the mandate extension or Covid-19 related. The main drivers behind the significant volume of increase can be found in pre and post-marketing authorisation applications. In addition, the Agency has been given responsibility for significant new legal tasks, such as developing and managing of a pan-European clinical trials database, medical devices, personal data protection, access to documents, veterinary (partial staff agreed for the latter) legislation.

In the short term, EMA has managed to absorb some of the above-mentioned activities, both fee-related and new legislation-related, through efficiency gains and effective staff reallocation, but also through increased reliance on short-term 'interim' contracts and contractors.

This is not in the best interest given that the afore mentioned fee-related workload that increased over the years will not reduce but will continue at a pace of around 100 newly authorised products per year enabling the Agency to contribute to a robust and sustainable European Health Union. Increase in applications also means increases in scientific work related to their assessment, in supervision activities, in access to documents and clinical data publication activities, in stakeholder involvement and international collaboration activities, regulatory and legal challenges.

The impact of the Agency staffing on the European Commission pension system is constantly decreasing because the weight of the balancing subsidy is becoming smaller. Fee income registered a constant growth as mentioned while the Multiannual Financial Framework includes a reduction of the EU subsidy from Eur 50M in 2023 to Eur 35M as from 2024.

4.1.2 Vacancy rate as of end 2022

At the end of 2022 the Agency occupied 658 of 662 temporary agent posts in the establishment plan, resulting a vacancy rate of 0.6%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

In 2024 the Agency expects to see around 10 retirements. This will result in abatement rate of 1.10% (including TAs and CAs) of 905

4.1.4 Salary assumption for calculating salary line (% applied)

In calculating salaries for 2024, an increase of 2,00% was assumed.

4.1.5 Correction coefficient used

A duty station weighting (correction coefficient) of 114 was assumed when establishing PDB2024.

4.1.6 Exchange rate used (if applicable)

Infor-Euro rates for August 2022 have been applied as they were the most recent rates available at the time of establishment of PDB2024.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title I figures for 2024 reflect an increase of EUR 2 912 000 (+1,84%) compared to 2023, mainly due to an increase in the salaries and allowances chapter, driven by wage inflation and step change increases and 20 additional TA posts. This increase is funded through fees and is furthermore partly offset by lower expenditure on welfare and external services.

4.2.2 Title 2

Title II figures for 2024 show a decrease of EUR 451 000 (-0,6%) compared to 2023. The decrease is caused by reduction in fitting-out related expenditure and business consultancy, while building and maintenance related expenditure is stable.

4.2.3 Title 3

Expenditure Title III reflects an overall increase of EUR 20 647 000 (+9,1%) compared to 2023. The allocations allow for:

- increased payments of EUR 15 287 000 to National Competent Authorities (NCAs) for the evaluation of medicines in line with increasing workload and inflation.
- increased activities on scientific studies of EUR 8 732 000 (+40,9%) to support the evaluation services.
- decreased expenditure on IT development (-EUR 2 802 000), reflecting a lower need for IT development and increasing move to cloud technologies, but subject to further definition of IT needs under the extended mandate.

4.3 Ad hoc grants and delegation agreements

As indicated annex XI of the SPD 2023 – 2026 the Agency is executing the following Grants and Cooperation agreements:

| | General information ²⁴ | | | | | Financial and HR impact | | | | |
|--------------------------|--|------------------------------|----------------------------|---|--|---|--------|--------|--------|--------|
| | Actual or expected date of the signature | Total amount of contribution | Duration | Counterpart | Short description | 2021 | 2022 | 2023 | 2024 | 2025 |
| Grant agreements | | | | | | | | | | |
| 1. STARS | 17/07/2019 (EMA's accession) | EUR 6,000 | 36 months as of 01/01/2019 | European Commission, DG Research & Innovation, Health, Administration & Finance | Strengthening training of academia in regulatory sciences and supporting regulatory scientific advice | Amount 2,000 Number of Cas/FTE 0.4 Number of SNEs/FTE 0 | - | - | - | - |
| 2. CONCEPTION | 26/04/2019 | EUR 85,000 | 60 months as of 01/04/2019 | Innovative Medicines Initiative 2 Joint Undertaking | Building an ecosystem for better monitoring and communicating of medication safety in pregnancy and breastfeeding: validated and regulatory endorsed workflows for fast, optimised evidence generation | Amount 17,000 Number of Cas/FTE 0.2 Number of SNEs/FTE 0 | 17,000 | 17,000 | 17,000 | 17,000 |
| 3. PREMIER | 29/06/2020 | EUR 47,000 | 72 months as of 01/09/2020 | Innovative Medicines | Prioritisation and Risk Evaluation of | Amount 8,000 Number of Cas/FTE 0.06 | 8,000 | 8,000 | 8,000 | 8,000 |

| | | | | | | | | | | |
|------------------------|------------|------------|----------------------------|---|--|--|--------|--------|--------|--------|
| | | | | Initiative 2 Joint Undertaking | Medicines in the Environment | Number of SNEs/FTE 0 | 0 | 0 | 0 | 0 |
| 4. SISAQOL | 30/10/2020 | EUR 76,800 | 48 months as of 01/01/2021 | Innovative Medicines Initiative 2 Joint Undertaking | Setting International Standards in Analyzing Patient-Reported Outcomes and Quality of Life endpoints | Amount 18,000 Number of Cas/FTE 0.75 Number of SNEs/FTE 0 | 18,000 | 18,000 | 18,000 | 18,000 |
| Total grant agreements | | | | | | Amount 45,000 Number of Cas/FTE 1.41 Number of SNEs/FTE 0 | 43,000 | 43,000 | 43,000 | 26,000 |

| Contribution agreements | | | | | | | | | | |
|--------------------------------|--------------------|-----------------|--------------------------------------|--|--|--|---------|---------|--------|-----|
| 1. IPA 2020-2022 | 19/12/2019 | EUR 254,919 | 01/01/2020 to 31/12/2023 (36 months) | European Commission DG NEAR | Participation of candidate countries and potential candidates in EMA trainings and activities | Amount tbc Number of Cas/FTE tbc Number of SNEs/FTE tbc | 85,000 | 85,000 | 84,919 | - |
| 2. ePi | 13/04/2022 | EUR 1.5 million | 13/03/2022 to 31/12/2023 | European Commission, DG SANTE/ EU4Health | Development of electronic product information (ePI) for EU medicines | Amount - Number of Cas/FTE tbc Number of SNEs/FTE 0 | 750,000 | 750,000 | 0 | 0 |
| 3. MAV+ | First quarter 2023 | EUR 10 million | 5 years from signature in 2023 | European Commission, DG INTPA | Team Europe Initiative (TEI) on Manufacturing and Access to Vaccines, Medicines and Health Technologies (MAV+) in Africa | Amount n/a Number of Cas/FTE n/a Number of SNEs/FTE n/a | n/a | n/a | tbc | tbc |
| | | | | | | Amount 0 | 835,000 | 835,000 | 84,919 | - |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|---|---|----------------------------------|------------|--------|-----------------|---------------------------|-------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Multi-tenanted office building; EMA lease covers parts of the basement, ground and promenade levels | 30 Churchill Place, London, E14 5EU, UK | 18 448 | 8 002 | 26 450 | | 25 years from 1 July 2014 | lease | | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|--|----------------------------------|---------------|---------------|-------------------|------------------------------|-------|--|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 2 | EMA Building, purpose built, single occupant | Domenico Scarlattilaan 6 1083 HS Amsterdam The Netherlands | 22 719 | 10 692 | 33 411 | 10 721 000 | 20 years from 1 January 2020 | Lease | The Netherlands provides 3 mln. EUR for rent reductions of 150 000,00 for each year of the 20y lease | |
| TOTAL | | | 41 167 | 18 694 | 59 861 | 10 721 000 | | | | |

5.1.2 Current building(s) Other comments

Since July 2019 the Agency has sublet its former headquarters in London; the amounts paid to the Agency's landlord are matched by those of the sub-tenant to EMA and are managed under fund source CL.

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

The Agency's staff members who make use of European schools in general have their children enrolled at the European School in Bergen, NL (type 1 school) and at the European School in the Hague, NL (type 2 school).

5.3 Evaluation

The latest evaluation of the Agency's operation pursuant to Article 86 of the Regulation (EC) No 726/2004 was published on 31 August 2021 and is available in the form of a [Report from the Commission to the European Parliament and the Council on the experience acquired with the procedures for authorising and supervising medicinal products for human use, in accordance with the requirements set out in the EU legislation on medicinal products for human use \(COM/2021/497 final\)](#). The study assessed the extent to which the current marketing-authorisation system for medicines met its objectives in the period 2010-2017. This report links to the pharmaceutical strategy for Europe and will inform its implementation, with regard to possible legislative and non-legislative measures. It also complements the ongoing revisions of: (i) the EU regulations on medicines for rare diseases and on medicines for children; and (ii) the Regulation on the European Medicines Agency's fee system. The implementation of the report's recommendations is being planned and will depend on the changes in the EU pharmaceutical legislation which will be proposed by the European Commission and ultimately agreed by the European Parliament and Council of the EU. Further details on this evaluation report, including the supporting studies commissioned for it, are available at: https://health.ec.europa.eu/medicinal-products/legal-framework-governing-medicinal-products-human-use-eu_en#related-information

The previous evaluation of the Agency took place in 2009, and resulted in a [European Commission report](#) that was published in January 2010. The Agency's follow up to the recommendations from this report has been described in detail in the Programming Document 2018-2020.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-------------------|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

| | | |
|--|---|---|
| The Agency has diplomatic status | Staff do not pay national taxes on their Union salary | European schools in The Hague and Bergen, both more than 50km from the Agency's new headquarters in Amsterdam |
| Within the scope of its official activities, the Agency, its assets, income and other property shall be exempt from all direct taxes, whether levied by national, provincial or local authorities. | Internationally recruited staff can buy tax-free vehicle(s) | Agency does not have a day care facility but provides financial contribution using the same rules as EC for nursery contribution to European kindergarten. |
| The Agency is exempt from a number of indirect taxes (further details to be consulted from the Seat Agreement between the Kingdom of the Netherlands and the European Medicines Agency). | Internationally recruited staff is exempt from certain taxations (further details to be consulted from the Seat Agreement between the Kingdom of the Netherlands and the European Medicines Agency). | Eligible staff with children (regardless of where they attend school) receive the double education allowance per child, as the Agency's new permanent seat is more than 50km from the nearest European School |
| | Agency staff are covered by JSIS and exempt from contributions to Dutch social security and NHS, although issues of insufficient access to the Dutch health system for staff member's spouses and children continue to cause problems | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|--|
| Regulation (EEC) no 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions | 26/05/1975 | Contribute to the planning and establishment of better living and working conditions through action designed to increase and disseminate knowledge likely to assist this development. It should deal with the following issues: Man at work; organisation of work and particularly job design; problems peculiar to certain categories of workers; long-term aspects of improvement to the environment; distribution of human activities in space and time |
| Extension of activities | 1977 | Launch of the European Industrial Relations Observatory (EIRO) on a proposal from the European Commission adopted by the Administrative Board |
| Extension of activities | 2001 | Launch of the European Monitoring Centre on Change (EMCC) on a proposal from the European Commission endorsed by the Presidency Conclusions of the Nice European Council and adopted by the Administrative Board |
| Modification to the Founding Regulation | 2003 | Amendments to various administrative procedures and adjustment to new Financial Regulation; Modification to governance provisions; adjustment to new Staff Regulations |
| Regulation (EU) 2019/127 of the European Parliament and the Council | 16/01/2019 | Eurofound shall enhance and disseminate knowledge, provide evidence and services for the purpose of policy making, including research-based conclusions, and shall facilitate knowledge sharing among and between Union and national actors. Eurofound's objective shall be to support the shaping and implementing policies concerning the improvement of living and working conditions, devising employment policies, and promoting the dialogue between management and labour. |

1.2 Seat

Dublin, Ireland

1.3 Budget Line

07 10 01 : European Foundation for the improvement of living and working conditions (Eurofound)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 51 | 49 | 96,08% | 51 | 51 |
| Assistants (AST) | 40 | 38 | 95,00% | 38 | 39 |
| Assistants/Secretaries (AST/SC) | | 1 | | 2 | 1 |
| ESTABLISHMENT PLAN POSTS | 91 | 88 | 96,70% | 91 | 91 |
| Contract Agents (CA) | 13 | 10 | 76,92% | 13 | 13 |
| Seconded National Experts (SNE) | 1 | | 0,00% | 1 | 1 |
| TOTAL STAFF | 105 | 98 | 93,33% | 105 | 105 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|----------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| | | |

| | | |
|------------------------|-------------------|-------------------|
| EU contribution | 23 595 000 | 24 054 000 |
| Other Revenue | 218 000 | 206 000 |
| TOTAL REVENUES | 23 813 000 | 24 260 000 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 955 000 | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 955 000 | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 16 053 000 | 16 053 000 | 16 360 000 | 16 360 000 |
| Title 2 - Infrastructure and operating expenditure | 1 660 000 | 1 660 000 | 1 720 000 | 1 720 000 |
| Title 3 - Operational expenditure | 6 100 000 | 6 100 000 | 6 180 000 | 6 180 000 |
| TOTAL EXPENDITURE | 23 813 000 | 23 813 000 | 24 260 000 | 24 260 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | 1 | | |
| AD 14 | | 2 | | 1 | | 2 | | 1 | | 2 |
| AD 13 | | 3 | 2 | 2 | 2 | 4 | 2 | 4 | 2 | 4 |
| AD 12 | 2 | 8 | | 4 | | 5 | | 5 | | 5 |
| AD 11 | 1 | 5 | | 2 | 1 | 4 | 1 | 4 | 1 | 4 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 10 | | 6 | 1 | 3 | | 5 | 1 | 5 | 1 | 5 |
| AD 9 | | 6 | 1 | 8 | 1 | 7 | | 8 | | 8 |
| AD 8 | 1 | 8 | | 8 | | 8 | | 7 | | 7 |
| AD 7 | | 6 | | 5 | | 7 | | 7 | | 7 |
| AD 6 | | 2 | | 4 | | 3 | | 3 | | 3 |
| AD 5 | | 1 | | 8 | | 2 | | 2 | | 2 |
| AD TOTAL | 4 | 47 | 4 | 45 | 4 | 47 | 4 | 47 | 4 | 47 |
| AST 11 | | 1 | | 2 | | 2 | | 2 | | 2 |
| AST 10 | | 2 | | | | 1 | | 2 | | 2 |
| AST 9 | 2 | 7 | | 6 | 2 | 7 | 2 | 8 | 2 | 8 |
| AST 8 | 2 | 7 | 1 | 2 | 1 | 9 | 2 | 6 | 2 | 6 |
| AST 7 | 2 | 5 | 2 | 7 | 2 | 5 | 1 | 5 | 1 | 5 |
| AST 6 | | 2 | 1 | 5 | | 2 | | 3 | | 3 |
| AST 5 | | 5 | | 6 | | 6 | | 6 | | 6 |
| AST 4 | | 2 | | 2 | | 1 | | 1 | | 1 |
| AST 3 | | 1 | | 2 | | | | 1 | | 1 |
| AST 2 | | 2 | 1 | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 6 | 34 | 5 | 33 | 5 | 33 | 5 | 34 | 5 | 34 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | 1 | | 1 | | 1 |
| AST/SC 2 | | | | 1 | | 1 | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | 1 | | 2 | | 1 | | 1 |
| TOTAL | 10 | 81 | 9 | 79 | 9 | 82 | 9 | 82 | 9 | 82 |
| GRAND TOTAL | 91 | | 88 | | 91 | | 91 | | 91 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 6 | 7 | 7 | 7 |
| Function Group III | 3 | 1 | 2 | 2 |
| Function Group II | 4 | 2 | 4 | 4 |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | | | |
| TOTAL | 13 | 10 | 13 | 13 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | | 1 | 1 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 22 051 380 | 23 595 000 | 24 054 000 | 24 054 000 | 1,95% |
| - Of which assigned revenues deriving from previous years' surpluses | | 17 911 | | 14 028 | -21,68% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | 18 000 | 10 000 | 10 000 | -44,44% |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 297 696 | 200 000 | 196 000 | 196 000 | -2,00% |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 22 349 076 | 23 813 000 | 24 260 000 | 24 260 000 | 1,88% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | 955 000 | | | -100% |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | 955 000 | | | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 15 380 797 | 16 053 000 | 16 360 000 | 16 360 000 | 1,91% |
| Salaries & allowances | 14 641 803 | 14 991 000 | 15 283 000 | 15 283 000 | 1,95% |
| - Of which establishment plan posts | 14 035 294 | 14 451 000 | 14 733 000 | 14 733 000 | 1,95% |
| - Of which external personnel | 606 509 | 540 000 | 550 000 | 550 000 | 1,85% |
| Expenditure relating to Staff recruitment | 85 280 | 171 000 | 174 000 | 174 000 | 1,75% |
| Employer's pension contributions | | | | | |
| Mission expenses | 52 444 | 175 000 | 170 000 | 170 000 | -2,86% |
| Socio-medical infrastructure | 117 450 | 156 000 | 158 000 | 158 000 | 1,28% |
| Training | 96 029 | 115 000 | 120 000 | 120 000 | 4,35% |
| External Services | 387 791 | 445 000 | 455 000 | 455 000 | 2,25% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 654 410 | 1 660 000 | 1 720 000 | 1 720 000 | 3,61% |
| Rental of buildings and associated costs | 634 542 | 698 000 | 751 000 | 751 000 | 7,59% |
| Information, communication technology and data processing | 910 000 | 800 000 | 805 000 | 805 000 | 0,62% |
| Movable property and associated costs | 67 264 | 109 000 | 101 000 | 101 000 | -7,34% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 16 000 | 20 000 | 23 000 | 23 000 | 15,00% |
| Postage / Telecommunications | 26 604 | 33 000 | 40 000 | 40 000 | 21,21% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 988 392 | 6 100 000 | 6 180 000 | 6 180 000 | 1,31% |
| TOTAL | 23 023 599 | 23 813 000 | 24 260 000 | 24 260 000 | 1,88% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 15 240 652 | 16 053 000 | 16 360 000 | 16 360 000 | 1,91% |
| Salaries & allowances | 14 640 803 | 14 991 000 | 15 283 000 | 15 283 000 | 1,95% |
| - <i>Of which establishment plan posts</i> | <i>14 035 294</i> | <i>14 451 000</i> | <i>14 733 000</i> | <i>14 733 000</i> | <i>1,95%</i> |
| - <i>Of which external personnel</i> | <i>605 509</i> | <i>540 000</i> | <i>550 000</i> | <i>550 000</i> | <i>1,85%</i> |
| Expenditure relating to Staff recruitment | 84 880 | 171 000 | 174 000 | 174 000 | 1,75% |
| Employer's pension contributions | | | | | |
| Mission expenses | 46 431 | 175 000 | 170 000 | 170 000 | -2,86% |
| Socio-medical infrastructure | 85 897 | 156 000 | 158 000 | 158 000 | 1,28% |
| Training | 61 555 | 115 000 | 120 000 | 120 000 | 4,35% |
| External Services | 321 086 | 445 000 | 455 000 | 455 000 | 2,25% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 326 704 | 1 660 000 | 1 720 000 | 1 720 000 | 3,61% |
| Rental of buildings and associated costs | 463 863 | 698 000 | 751 000 | 751 000 | 7,59% |
| Information, communication technology and data processing | 777 603 | 800 000 | 805 000 | 805 000 | 0,62% |
| Movable property and associated costs | 44 046 | 109 000 | 101 000 | 101 000 | -7,34% |
| Current administrative expenditure | 15 307 | 20 000 | 23 000 | 23 000 | 15,00% |
| Postage / Telecommunications | 25 885 | 33 000 | 40 000 | 40 000 | 21,21% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 708 839 | 6 100 000 | 6 180 000 | 6 180 000 | 1,31% |
| TOTAL | 18 276 195 | 23 813 000 | 24 260 000 | 24 260 000 | 1,88% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 14 028.

The budget utilisation rate measured as commitments against general C1 appropriations was 100%.

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2024, EUROFOUND proposes to maintain a stable number of establishment plan posts in 2024 compared to the budget 2023 (91 posts) which is fully supported by the Commission.

Financial Resources

As in 2023, in addition to the financial envelope foreseen in the 2024 financial programming, EUROFOUND is requesting an additional funding of EUR 650 000 in view of the evolution of the correction coefficient.

The Commission fully supports this request for having this reinforcement through the DB2024 procedure; this will thus allow the agency to maintain their operational expenditure (Title 3) at an appropriate level to fulfil their mandate without any reduction to compensate the shortfall in staff costs.

This amendment to the level of EUROFOUND’s commitment profile applies also to the years 2025-2027, for which the financial programming will be adapted. This change is offset by decreasing by the same amount the EaSI strand of the ESF+ (budget line 07.0204), whose financial programming is thus adjusted too.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Establishment plan posts: 91

Contract Agents: 13

Seconded National Experts: 1

TOTAL: 105

4.1.2 Vacancy rate as of end 2022

Establishment plan: 88³⁴ or 96,7% out of 91 posts filled

Contract Agents: 10 or 76,9 % out of 13 posts filled

Seconded National experts: 0 or 0% out of 1 post filled

Total: 98 or 93,3% of 105 posts filled

The table below shows the breakdown for Establishment Plan Posts:

| Establishment Plan Posts | Authorised Budget | Filled as of 31/12/22 | Occupancy rate % |
|---------------------------------|-------------------|-----------------------|------------------|
| Administrators (AD) | 51 | 49 | 90,2% |
| Assistants (AST) | 39 | 38 | 97,4% |
| Assistants/Secretaries (AST/SC) | 1 | 1 | 100% |
| TOTAL | 91 | 88 | 96,7% |

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

Eurofound has followed the guidance in the Budgetary Circular 2024 which advises that a salary update of +4.4% to be applied as from 1 July 2023, and of +3.4% to be applied as from 1 July 2024. Additionally, Eurofound expects the Irish Country coefficient to remain stable.

Based on the above and staff turnover, Eurofound assumes an average increase of ca. 2 % per annum in salary lines.

4.1.5 Correction coefficient used

136.3 as applicable from 1.7.2022 and expected to remain stable.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

In consultation with DG EMPL the Agency’s subsidy request for 2023, 2024 and the following years was increased by EUR 650 000 to mitigate the budget impact of the steep increase of the country coefficient in recent years. In 2020, the coefficient increased by almost 10 percentage points from 119.2 to 129. In 2021 there was a further increase to 133.6 and in 2022, the latest adjustment brought it to 136.3 from 1.7.2022.

It is understood that the EUR 650 000 (above the figures in the multi-annual financial framework) needs to be justified on an annual basis. It is also understood that the additional support is available as long as the coefficient remains significantly above the 2019 level (119.2).

³⁴ Includes 4 four contracts offered and accepted in 2022 staff commencing in early 2023.

The overall appropriations for Title 1 including the aforementioned EUR 650 000 is forecasted at EUR 16 360 000 (in 2023: EUR 16 053 000) including only a small inflation-based increase of EUR 307 000 or 1.9%. This amount seems realistic as long as there is no further increase of the country coefficient.

During the Covid19 pandemic in 2020/2021 and still partly in 2022 significant savings in Title 1 (e.g. missions, training, staff catering) were achieved which helped to partly cope with the unexpected coefficient increases. Nevertheless, some of the cost increases in Title 1 needed to be balanced by reductions in Title 3. With the additional subsidy of EUR 650 000, this trend of a shrinking Title 3 should be stopped and reverted. At the same time, many savings started in Title 1 will continue in the 'new normal': mission budget will not return to pre-Covid levels (more online and hybrid meetings) and savings are also planned to continue for catering and other budget lines.

4.2.2 Title 2

Title 2 appropriations are expected to increase by EUR 60 000 (3.6% higher compared to 2023).

The planned Title 2 (administrative expenditure) level for 2024 is EUR 1 770 000.

Inflation will affect most budget lines. The big cost increases in 2022 in Title 2 are based on surging energy prices (electricity, gas) and IT cost increases. The latter is due to further requirements for digital solutions including a heightened focus on prevention of cyber-related crime and attacks. These trends will continue during the planning period. Also, it needs to be ensured that Eurofound can deliver on its sustainability programme, particularly the environmental sustainability which will be required to achieve carbon neutrality by the end of the decade.

Similar to Title 1, significant efforts are continued to limit the budget increases in Title 2.

4.2.3 Title 3

Title 3 (operational expenditure) appropriations are expected to increase by EUR 80 000 (or 1.3% compared to 2023) to EUR 6 180 000. The small increase in Title 3 is mainly expected to partly cover rising costs due to inflation.

Despite the small increase, Title 3 for 2024 is still significantly lower than 2010 when the budget was about EUR 7,8 million (Title 3 in 2024 will be about 20% lower than in 2010).

The implementation of fieldwork of the next European Working Condition Survey will need to be financed in 2024.

Some savings are expected in Information and communication activities in 2024. In 2024, planned expenditure is foreseen at EUR 985 000 while the overall budget for 2023 is planned at EUR 1 219 000. The reduction mainly relates to the finalisation of the website migration to the latest Drupal 9 version.

It should be noted that in the medium-term Title 3 will require additional funds for financing the Network of European Correspondents (NEC). Contractors' prices went up on average by 10%. The increase is, therefore, relevant to ensure the planned delivery of NEC outputs incl. the representativeness studies.

In addition, the budget allocation to the activity 'Reacting to ad-hoc Information Requests' has been maintained at EUR 325 000 (in 2023: EUR 325 000). In 2022, there was a cut to offset the increase of the country coefficient. The funds for this activity are reserved to respond to ad-hoc requirements by stakeholders, e.g. in the context of the Russian aggression on Ukraine to take a recent example.

4.3 Ad hoc grants and delegation agreements

1) The service level agreement (SLA) with DG EMPL to carry out a three-year pilot project on minimum wages will enter its final year in 2023. Eurofound received EUR 1 000 000 to fund the project from DG EMPL in 2022. The final reporting will take place in 2024.

The pilot project has the following independent project modules:

- Enforcement of minimum wages and compliance (Approaches to quantification, map institutions, policy analysis for selected sectors);
- Database on minimum wage rates in collective agreements (Concept, pilot and populate the database);
- Regulating independent workers minimum pay rates or tariffs (Comparative report).

2) It is expected that Eurofound will enter to a new agreement with DG NEAR for an Instrument for Pre-Accession Assistance (IPA) in 2023. Currently, EUR 900 000 is foreseen to be received as pre-financing in 2023.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|---|----------------------------------|----------------------------------|------------|--------------|-----------------|---|--|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Main building and conference centre | Dublin | 2 000 | 500 | 2 500 | | n/a | n/a | n/a | 689 161 |
| 2 | Loughlinstown House and grounds (historical, listed building) | Dublin | 350 | 150 | 500 | | None; EUR 250 000 was paid in 2000 for a 99-year lease (from 2001 on) | 99-year lease | n/a | |
| 3 | Brussels Liaison Office (BLO) | Brussels, 18, Avenue d'Auderghem | 100 | | 100 | 24 514 | Lease agreement with annual termination clause | Lease agreement with annual termination clause | n/a | |
| TOTAL | | | 2 450 | 650 | 3 100 | 24 514 | | | | 689 161 |

5.1.2 Current building(s) Other comments

None.

5.1.3 Building projects in the planning phase

No new buildings or building extensions are planned. No change of surface area.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

There is one European School, Type II, providing education up to level 5, based in Dunshaughlin, Co. Meath. However, it does not offer the European Baccalaureate degree and is also for logistical reasons not a viable option for Eurofound staff. No child of Eurofound staff is currently attending the school.

Eurofound has discussed the schooling question with the Irish Authorities, particularly in the context of the Irish Government's commitment in the Seat Agreement to be open to providing for the education of the children of Eurofound staff on a similar basis to what is provided for EU staff located elsewhere. Following these consultations, Eurofound staff were surveyed on their preferences. There was a strong preference for maintaining the level of choice that is currently available to them and continuing with payment of the double education allowance in respect of children attending second level education. On the basis of this feedback Eurofound will not pursue the matter of an Accredited European School for the time being.

Eurofound together with the Commission's and Parliament's office in Ireland have established an arrangement for many years with a private school, St Andrew's College, Blackrock, which operates at both primary and secondary level. This school is used by many of Eurofound's staff.

| | | | | |
|---|-----|--|----|---|
| Agreement in place with the European School(s) | | | | |
| Contribution agreements signed with the EC on type I European schools | Yes | | No | X |
| Contribution agreements signed with the EC on type II European schools | Yes | | No | X |
| Number of service contracts in place with international schools: | 0 | | | |
| Description of any other solutions or actions in place: Issuing school allowance as per SR/CEOS | | | | |

5.3 Evaluation

Eurofound's performance monitoring system (EPMS) aims to foster a culture of continuous organisational improvement using a series of instruments such as key performance indicators and metrics, user feedback, qualitative assessment and analysis, evaluation and learning. This approach supports the periodic external evaluation organised by the European Commission³⁵.

Eurofound has long-standing expertise and experience in the area of Performance monitoring and Evaluation. This is also further enhanced through close involvement in the EU Agencies' sub-network Performance Development Network and is taking account of recent developments and requirements for monitoring and evaluation for EU agencies.

Eurofound's 2021-2024 evaluation policy and **evaluation programme** consists of a modular approach to replace the conventional ex-ante / interim / ex-post evaluation approach at programme level, focusing in particular on the activity level of the new programme cycle.

In 2024, there will be one to two thematic evaluations to support the programme implementation and organisational learning.

Follow-up to evaluation findings

During 2024, support will continue to be provided as required with respect to the cross-agencies evaluation of EU agencies under the remit of DG EMPL.

Performance monitoring

The Agency has a long tradition in monitoring the implementation of its annual work programme against a set of quantitative indicators. For the programme 2021-2024 Eurofound uses eight key performance indicators (KPIs) with a strong emphasis on the result (uptake and use) of Eurofound's knowledge, as well its efficiency (inputs and outputs).

Key performance indicators

Source

Budget implementation – target 98%

Budgetary outturn account

Efficient use of posts available in the establishment plan – target 97%

The establishment plan approved for the respective year, and records of recruitments resulting in posts filled by 31 December of that same year

Programme delivery – target 80%

Report from Eurofound project management system based on outputs listed in the Programming document of the respective year

Recognition of the scientific quality of Eurofound's research (references in articles in peer-reviewed journals)

Academic journal citations based on Scopus data (under review in 2021/2022)

Uptake of and engagement with Eurofound's knowledge through its website and other corporate platforms

HTML page views

Data from Europa analytics

PDF downloads

Uptake of Eurofound's knowledge through the media

Eurofound's Media Monitoring Sheet using the Europe Media Monitor³⁶ complemented with media search engine components of [Mention](#), [MyNewsDesk](#), and [PressReader](#)

Eurofound's engagement with stakeholders in meetings and events (out of contributions to events in total)

Eurofound events tracking database

³⁵ Ex article 28.2 of Regulation (EU) 2019/127

³⁶ Developed by the Joint Research Centre (JRC)

Uptake of Eurofound’s expertise in key European-level policy documents (out of total European-level policy documents)

Eurofound EU impact tracking database (drawing on data through Overton – pilot phase)

Most of these KPIs are also used at activity level

| Key performance indicators | Applied to Operational Activity 1-7 | Applied to Activity 8 Reacting to ad hoc information requests | Applied to horizontal Activity 9 and 10 |
|---|-------------------------------------|---|---|
| Budget implementation – target 98% | ✓ target 90% (tbc) | ✓ target 90% (tbc) | target 90% (tbc) |
| Staff capacity – target 97% | X | X | X |
| Programme delivery – target 80% | ✓ | x Number of requests | ✓ |
| Recognition of the scientific quality of Eurofound’s research (references in peer-reviewed journals) | ✓ | X | X |
| Uptake of and engagement with Eurofound’s knowledge through its website and other corporate platforms | ✓ | x | X |
| Uptake of Eurofound’s knowledge through the media | ✓ | X | X |
| Contributions to policy development at priority events (out of contributions to events in total) | ✓ | X | X |
| Use of Eurofound expertise in key European-level policy documents (out of total EU policy documents) | ✓ | X | X |

The Agency collects and reports on various other metrics to support efficiency and effective operations at process and functional level notably around its communication programme as well as its financial performance.

5.4 Privileges and immunities

The Irish government and Eurofound concluded a Seat Agreement and a related Memorandum of Understanding on 10 November 2015. It entered into effect on 19 July 2016. These documents replaced previous arrangements that were based on written correspondence between Eurofound and the services of the Irish government. The new Seat Agreement and Memorandum of Understanding are based on the Vienna Convention (Protocol on privileges and immunities), as well as guidelines and templates prepared by the Commission and the Agencies’ Legal Network.

| | | |
|-------------------|---|--------------------|
| Agency privileges | Privileges granted to staff | |
| | Protocol on privileges and immunities/diplomatic status | Education/day care |

| Agency privileges | Privileges granted to staff | |
|--|---|---|
| <p>The Agency has diplomatic status.</p> <p>In common with other EU institutions, Eurofound is exempt from VAT.</p> <p>Eurofound meets the full cost of office furniture, security and infrastructure.</p> <p>There is no contribution by ministries and regional governments.</p> | <p>No staff member has diplomatic status, but senior staff are entitled to a Laisser-Passer document to facilitate travel; however, this privilege is currently not availed of. The Protocol on privileges and immunities is applicable to all staff recruited under the Staff Regulations. This entitles them and their families to enter Ireland without being subject to the usual immigration procedures, for staff to travel to and from work without hindrance, for staff to transfer residence to Ireland without payment of import duty on their effects and motor cars. Staff are exempt from national income tax on their earnings on the basis that they are liable to a tax for the benefit of the Communities on salaries, wages and emoluments paid to them by the Communities.</p> <p>There are no continuous VAT exemptions for staff, with the exception of the director.</p> <p>Staff recruited from outside Ireland may purchase up to two cars without payment of tax or import charges. They may also purchase household goods free of VAT during the first 24 months after moving to Ireland.</p> | <p>There is no access to subsidised day-care facilities for staff.</p> <p>Educational allowances are paid to staff in accordance with the provisions of the Staff Regulations.</p> <p>School fees are not paid directly by Eurofound. Staff sending their children to private schools may qualify for the non-flat-rate education allowance (the child must be at least six years of age) in accordance with the provisions of the Staff Regulations.</p> |

2.2.5 European agency for Safety and Health at Work – EU-OSHA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|---|
| Council Regulation (EC) No 2062/94 | 18/07/1994 | Workers and employers need to be made aware of the risks that they face, and how to manage them. But health and safety bodies in the individual EU Member States cannot do this alone. That is why the European Agency for Safety and Health at Work was set up, in 1994. |
| Council Regulation (EC) No 1643/95 | 29/06/1995 | Our mission is to make Europe's workplaces safer, healthier and more productive. We do this by bringing together and sharing knowledge and information, to promote a culture of risk prevention. Located in Bilbao, Spain, we have a dedicated staff of occupational safety and health (OSH), communication and administrative specialists. At the national level, we are represented through a network of focal points, which are usually the lead OSH bodies in the individual Member States. The Agency is a tripartite organisation, this means that we work with governments, employers and workers representatives. We are a single reference point for OSH information. We collect, analyse and disseminate technical, scientific and economic information on OSH risks. We share good practice, and communicate information in a variety of ways to reach workers and workplaces. Our awareness raising activities include our two-year Healthy Workplaces campaigns. We also provide information on our website, via our electronic newsletter OSH mail, and in a range of printed publications. We help to explain European legislation on OSH. And we look out for risks which may only be emerging, due to the fast pace of change in the workplace. Our European Risk Observatory aims to identify new and emerging risks. Initiatives such as the Agency's European Survey of Enterprises on New and Emerging Risks (ESENER) help to give an overview of safety and health at work in Europe, describe the trends and underlying factors, and anticipate changes in work and their likely consequences for safety and health. Additionally, The Observatory aims to stimulate debate and reflection among the Agency's stakeholders and to provide a platform for debate between policy-makers at various levels. |
| Council Regulation (EC) No 1654/2003 | 18/06/2003 | Updating of the Agency's regulation in accordance with new EU financial and public document access provisions |
| Council Regulation (EC) No 1112/2005 | 24/06/2005 | Modification of the Agency's government and management structures |
| European Parliament and Council Regulation (EU) 2019/126 repealing Council Regulation (EC) No 2062/94 | 16/01/2019 | EU-OSHA's objective shall be to provide the Union institutions and bodies, the Member States, the social partners and other actors involved in the field of safety and health at work with relevant technical, scientific and economic information and qualified expertise in that field in order to improve the working environment as regards the protection of the safety and health of workers. To that end, EU-OSHA shall enhance and disseminate knowledge, provide evidence and services for the purpose of policy making, including research-based conclusions, and shall facilitate knowledge sharing among and between Union and national actors. |

1.2 Seat

Santiago de Compostela 12, 5th floor - 48003 Bilbao, Spain

1.3 Budget Line

07 10 02 : European Agency for Safety and Health at Work (EU-OSHA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 24 | 23 | 95,83% | 24 | 25 |
| Assistants (AST) | 16 | 16 | 100,00% | 16 | 15 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 40 | 39 | 97,50% | 40 | 40 |
| Contract Agents (CA) | 25 | 26 | 104,00% | 25 | 25 |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 65 | 65 | 100,00% | 65 | 65 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 16 461 098 | 16 790 319 |
| Other Revenue | 577 852 | 583 570 |
| TOTAL REVENUES | 17 038 950 | 17 373 889 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 598 078 | p.m. |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 598 078 | p.m. |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 8 257 000 | 8 257 000 | 8 763 000 | 8 763 000 |
| Title 2 - Infrastructure and operating expenditure | 1 716 450 | 1 716 450 | 1 821 930 | 1 821 930 |
| Title 3 - Operational expenditure | 7 065 500 | 7 065 500 | 6 788 959 | 6 788 959 |
| Title 4 - Specific projects ad hoc grants, delegation agreement (R0) | 598 078 | 598 078 | p.m. | p.m. |
| TOTAL EXPENDITURE | 17 637 028 | 17 637 028 | 17 373 889 | 17 373 889 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | | | | | |
| AD 14 | | 2 | | 2 | | 3 | | 3 | | 3 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 3 | | 1 | | 4 | | 4 | | 4 |
| AD 11 | | 3 | | 3 | | 2 | | 4 | | 4 |
| AD 10 | | 4 | | 6 | | 6 | | 6 | | 6 |
| AD 9 | | 7 | | 7 | | 5 | | 3 | | 3 |
| AD 8 | | 2 | | | | 2 | | 3 | | 3 |
| AD 7 | | 2 | | 4 | | 2 | | 1 | | 1 |
| AD 6 | | | | | | | | 1 | | 1 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 24 | | 23 | | 24 | | 25 | | 25 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | | | |
| AST 8 | | | | | | 1 | | 3 | | 3 |
| AST 7 | | 3 | | 2 | | 6 | | 7 | | 7 |
| AST 6 | | 7 | | 8 | | 4 | | 3 | | 3 |
| AST 5 | | 4 | | 3 | | 4 | | 2 | | 2 |
| AST 4 | | 1 | | 2 | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 16 | | 16 | | 16 | | 15 | | 15 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | 40 | | 39 | | 40 | | 40 | | 40 |
| GRAND TOTAL | 40 | | 39 | | 40 | | 40 | | 40 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 5 | 7 | 6 | 7 |
| Function Group III | 13 | 12 | 14 | 14 |
| Function Group II | 7 | 7 | 5 | 4 |
| Function Group I | | | | |
| TOTAL | 25 | 26 | 25 | 25 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 15 852 503 | 16 461 098 | 16 790 000 | 16 790 319 | 2,00% |
| - Of which assigned revenues deriving from previous years' surpluses | 252 175 | 154 655 | 289 254 | 289 254 | 87,03% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 391 568 | 477 752 | 483 470 | 483 470 | 1,20% |
| - Of which EEA/EFTA (excl. Switzerland) | 391 568 | 477 752 | 483 470 | 483 470 | 1,20% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 100 100 | 100 100 | 100 100 | 100 100 | 0,00% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 16 344 171 | 17 038 950 | 17 373 570 | 17 373 889 | 1,97% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | -7 271 | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 598 078 | p.m. | p.m. | -100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | -7 271 | 598 078 | p.m. | p.m. | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 465 718 | 8 257 000 | 8 763 000 | 8 763 000 | 6,13% |
| Salaries & allowances | 7 070 616 | 7 563 800 | 8 048 500 | 8 048 500 | 6,41% |
| <i>- Of which establishment plan posts</i> | 5 150 137 | 5 602 800 | 6 035 500 | 6 035 500 | 7,72% |
| <i>- Of which external personnel</i> | 1 920 479 | 1 961 000 | 2 013 000 | 2 013 000 | 2,65% |
| Expenditure relating to Staff recruitment | 6 896 | 95 000 | 98 000 | 98 000 | 3,16% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 15 122 | 27 000 | 27 000 | 27 000 | 0,00% |
| Training | 67 096 | 110 000 | 120 000 | 120 000 | 9,09% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 300 988 | 441 200 | 449 500 | 449 500 | 1,88% |
| Receptions, events and representation | | | | | |
| Social welfare | 5 000 | 20 000 | 20 000 | 20 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 591 399 | 1 716 450 | 1 821 930 | 1 821 930 | 6,15% |
| Rental of buildings and associated costs | 681 489 | 749 650 | 775 130 | 775 130 | 3,40% |
| Information, communication technology and data processing | 773 286 | 790 000 | 860 000 | 860 000 | 8,86% |
| Movable property and associated costs | 14 587 | 28 300 | 30 300 | 30 300 | 7,07% |
| Current administrative expenditure | 68 409 | 77 000 | 85 000 | 85 000 | 10,39% |
| Postage / Telecommunications | 53 628 | 71 500 | 71 500 | 71 500 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 127 329 | 7 065 500 | 6 788 640 | 6 788 959 | -3,91% |
| Priority areas & operational activities | 7 076 945 | 7 004 400 | 6 728 640 | 6 728 959 | -3,93% |
| Support to operational activities | 50 384 | 61 100 | 60 000 | 60 000 | -1,80% |
| Communication, Campaigning and Promotion | | | | | |
| Networking and Coordination | | | | | |
| Prevention and Research | | | | | |
| Title 4 - Specific projects ad hoc grants, delegation agreement (R0) | 185 065 | 598 078 | p.m. | p.m. | -100% |
| IPA II 2016 programme | | | | | |
| IPA II 2018 programme | 185 065 | p.m. | p.m. | p.m. | |
| IPA III 2022 programme | | 598 078 | p.m. | p.m. | -100% |

| EXPENDITURE | Commitment appropriations | | | | |
|--------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| TOTAL | 16 369 511 | 17 637 028 | 17 373 570 | 17 373 889 | -1,49% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 400 695 | 8 257 000 | 8 763 000 | 8 763 000 | 6,13% |
| Salaries & allowances | 7 070 616 | 7 563 800 | 8 048 500 | 8 048 500 | 6,41% |
| - Of which establishment plan posts | 5 150 137 | 5 602 800 | 6 035 500 | 6 035 500 | 7,72% |
| - Of which external personnel | 1 920 479 | 1 961 000 | 2 013 000 | 2 013 000 | 2,65% |
| Expenditure relating to Staff recruitment | 6 896 | 95 000 | 98 000 | 98 000 | 3,16% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 7 038 | 27 000 | 27 000 | 27 000 | 0,00% |
| Training | 41 826 | 110 000 | 120 000 | 120 000 | 9,09% |
| External Services | 270 480 | 441 200 | 449 500 | 449 500 | 1,88% |
| Receptions, events and representation | | | | | |
| Social welfare | 3 839 | 20 000 | 20 000 | 20 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 927 614 | 1 716 450 | 1 821 930 | 1 821 930 | 6,15% |
| Rental of buildings and associated costs | 473 895 | 749 650 | 775 130 | 775 130 | 3,40% |
| Information, communication technology and data processing | 419 585 | 790 000 | 860 000 | 860 000 | 8,86% |
| Movable property and associated costs | 8 940 | 28 300 | 30 300 | 30 300 | 7,07% |
| Current administrative expenditure | 1 843 | 77 000 | 85 000 | 85 000 | 10,39% |
| Postage / Telecommunications | 23 351 | 71 500 | 71 500 | 71 500 | 0,00% |
| Meeting expenses | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 494 105 | 7 065 500 | 6 788 640 | 6 788 959 | -3,91% |
| Priority areas & operational activities | 3 443 721 | 7 004 400 | 6 728 640 | 6 728 959 | -3,93% |
| Support to operational activities | 50 384 | 61 100 | 60 000 | 60 000 | -1,80% |
| Communication, Campaigning and Promotion | | | | | |
| Networking and Coordination | | | | | |
| Prevention and Research | | | | | |
| Title 4 - Specific projects ad hoc grants, delegation agreement (R0) | 122 088 | 598 078 | p.m. | p.m. | -100% |
| IPA II 2016 programme | | | | | |
| IPA II 2018 programme | 122 088 | p.m. | p.m. | p.m. | |
| IPA III 2022 programme | | 598 078 | p.m. | p.m. | -100% |
| TOTAL | 11 944 502 | 17 637 028 | 17 373 570 | 17 373 889 | -1,49% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 289 254.

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2024, EU-OSHA proposes to maintain a stable number of establishment plan posts in 2024 compared to the budget 2023 (40 posts).

Financial Resources

Financial resources requested are in line with the financial programming for 2024-2027.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EU-OSHA anticipates that its staff resources will continue to remain stable over the period 2024-2026 with the

exception of the reduction of one AST9 post (staff leaving on retirement in 2023) and the addition of one AD6 in order to strengthen the agency's pool of Research Project Managers at adequate level.

The AD6 post is key for the agency to reinforce its capacity to deliver reliably on its ambitious work programme in line with the EU OSH Strategic Framework, while at the same time being able to respond to more immediate demands. This requires an appropriate number of staff with the necessary expertise. Moreover ad-hoc tasks such as developing COVID-19 related guidance, supporting the EU Disability Strategy, or providing expert input to the revision of OSH directives have stretched the agency's expert capacity in OSH. Looking ahead to future challenges, it is important that EU-OSHA be able to react in an agile manner to new needs and the strengthening of OSH expert staff in the field of Prevention and Research is essential. Experience shows that in order to attract candidates with sufficient expertise and the required experience, recruitment to a Project Manager post at the level of AD6 is required.

Regarding the Contract Agents, the Agency considers potential natural ends of service (retirement, resignation in 2023/2024) and the revised level of expertise needed by the Agency. This results in new job profiles and therefore in a redistribution of the function groups among the Contract Agents. However, the overall total remains unchanged (25 CA).

In 2024 therefore, the Agency assumes to continue counting on a number of staff resources totalling 65 staff (40 TA and 25 CA FTE). It is to be noted that a CA FGIV will be financed by the contribution agreement IPA/2022/440-372 and is not part of the estimate. Please refer to section "4.3 Ad hoc grants and delegation agreements".

4.1.2 Vacancy rate as of end 2022

The general occupation rate is of 100% (39/40 TA + 26/25 CA).

However, it has to be noted that the vacancy rate at the end of 2022 can be fixed at 1,5%:

- the non-occupied TA post is that of the Executive Director whose recruitment process continues in 2023.
- the total of 26 CA headcount includes 2 CA FGIV engaged on a short-term contract based on full-time equivalent (FTE) offset, while one long-term position became vacant in October 2022 further to a resignation. That position is filled again as of January 2023.

4.1.3 Standard abatement ('abatement forfaitaire') applied

No abatement is applied since it is planned to have all posts filled.

4.1.4 Salary assumption for calculating salary line (% applied)

Salary lines for OSHA staff in 2024 do consider:

- an Establishment Plan of 40 Temporary Agents fully occupied and the employment of 25 Contract Agents full time equivalent.
- a minimal increase linked to annual reclassification exercise and increase in steps.
- the assumption that there will be a total 6,1 % yearly adaptation for both basic salary and Spanish weighting factor estimated at 99% in 2024 (considering 1. current salary weighting of 97,4%, 2. OECD forecasts re-inflation for Spain 2024 vs EU area and, 3. recruitment grade of new staff lower than that of staff retiring in 2023/2024).

4.1.5 Correction coefficient used

Estimated weighting factor 2024: 99%

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Title 1 is proposed at EUR 8 763 000 in order to cover an Establishment Plan of 40 Temporary Agents and the employment of 25 Contract Agents full time equivalent. It also anticipates related increase in steps and reclassification (2023 and 2024 exercises).

The estimate is based on:

- the assumption that there will be a limited 6% yearly adaptation for both basic salary and Spanish weighting factor estimated at 99% in 2024 (considering 1. current salary weighting 2023 of 97,4%, 2. OECD forecasts re-

inflation for Spain 2024 lower than for EU area and, 3. recruitment grade of new staff lower than that of staff retiring in 2023/2024). Any adjustment (+/-) upon final rates shall be made within the administrative titles and to a last extend versus the Title 3 of the expenditure.

- other costs & related services (e.g. staff learning & development, shared services DG HR/PMO, outsourcing of accounting function to ETF), support (interim staff), specific recruitment/installation cost linked to newcomers and leavers, social expenditure and traineeships.

Compared to 2023, it represents a total increase of EUR 506 000 (+6,1%).

4.2.2 Title 2

The total appropriation for the Title 2 of the expenditure is proposed at EUR 1 821 930. Compared to 2023, it represents an increase of EUR 105 480 (+6,1%) basically for anticipating inflation/index price increase for building and facilities running costs. It also anticipates the implementation of the Agency's ICT policy, security related projects, and general telecom expenses.

4.2.3 Title 3

The appropriations for Title 3 finance the operational expenditure on the activities defined in EU-OSHA's draft Single Programming Document 2024-2026. The activities fall within six strategic priority areas defined in the Agency's Strategy. Key expenditures in 2024 are ESENER, OiRA, Awareness raising actions, multilingualism, and the Healthy Workplaces Campaign on Digitalisation. In addition to ESENER, other important research expenditures are for the OSH Overview on Psychosocial risks and mental health as well as the OSH Overview on the Health and social care sector. Title 3 is adjusted in line with the limitations of resources and reflects the operational priorities, as detailed in the draft Single Programming Document 2024-2026.

The Management Board of EU-OSHA adopted the draft Single Programming Document 2024-2026 and the draft budget 2024 in its meeting on 26 January 2023. Both documents were prepared and agreed in line with the budgetary circular received from the Commission and the revised MFF. However, the Management Board clearly stated the need for more resources for EU-OSHA considering the needs for improving occupational safety and health in Europe and also the demands from EU-OSHA's stakeholders, cfr. particularly the European Pillar of Social Rights and the EU Strategic Framework on Health and Safety at Work. Having said that, the Management Board and the Agency are fully committed to meeting the needs in the best possible way with the resources available.

The total appropriations requested for the Title 3 of the agency's expenditure is EUR 6 788 640.

4.3 Ad hoc grants and delegation agreements

- The contribution agreement IPA/2019/412-828 for "Preparatory measures for the future participation of IPA II beneficiaries in the network of the European Agency for Safety and Health at Work" was signed in 2019. The objective of the action is to ensure that the IPA II beneficiaries have the capacity to participate effectively in the network and activities of EU-OSHA by supporting the approximation to and adoption of the EU acquis. It is in line with the set objectives of Component 2 of the Action 4 of the Multi Country Action Programme 2018. It corresponds as well with the dedication of the Commission to support the Candidate Countries in their efforts to align their OSH legislation with EU law, as stated in the EU Strategic Framework on Health and Safety at Work. The proposed action is for an operational period 17/12/2019 – 16/12/2022, has a total budget EUR 399 584 and finances the employment of a Contract Agent FGIV for the management of the project.

- The contribution agreement IPA/2022/440-372 EU-OSHA for "Preparatory measures for the future participation of IPA III beneficiaries in the network of the European Agency for Safety and Health at Work" was signed end of 2022. The objective of the action is to support the beneficiaries in their approximation to and adoption of the EU acquis. The proposed action is for an operational period 1/1/2023 – 31/12/2025, has a total budget EUR 598 078 and finances the employment of a Contract Agent FGIV for the management of the project. This contribution agreement is to be seen as the continuation of previous similar initiatives ensuring sustainability.

- The project 2017/390-035 was completed in 2020 (final report) and, as expected, was officially closed in 2022 returning unspent pre-financing (EUR 7 270,99) to the European commission/DG NEAR.

The Contract Agent FGIV post mentioned above is not part of the estimated number of Contract Agents requested by EU-OSHA for DB2024 - refer to section "2.2.1 Contract Agents".

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---|----------------------------------|------------|--------------|-----------------|--|------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Miribilla Building | c/ Santiago de Compostela 12 E-48003 Bilbao - SPAIN | 2 360 | 387 | 2 747 | 365 000 | 8 years and afterwards automatic renewal on a yearly basis | Renting contract | 100 100 | |
| 2 | Multiburo - NCI SA | Square de Meeus 38/40 B-1000 Brussels - BELGIUM | 30 | | 30 | 53 500 | Renewal on a yearly basis | Renting contract | | |
| TOTAL | | | 2 390 | 387 | 2 777 | 418 500 | | | | |

5.1.2 Current building(s) Other comments

Not applicable.

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 European Schools

Schooling is a key factor in fostering multilingualism and enabling EU-OSHA to attract and retain qualified staff members. There is no European school at the Agency's seat, Bilbao. Consequently, the Agency pays the education allowances in line with the provisions of the Staff Regulations. No extra allowance is allocated to its staff in this regard.

In addition to local schools in Spanish and Basque language, international schools are located within the city and its outskirts; these help the Agency to attract a more diverse workforce although the offer is still relatively limited. The Agency is committed to continue fostering its multilingual environment and providing a multicultural education for the children of its staff.

5.3 Evaluation

In April 2019, the Commission published its Staff Working Document (SWD) on the evaluation of the four agencies (EU-OSHA, Eurofound, ETF and Cedefop) in the policy field of DG EMPL. The conclusions on EU-OSHA are very positive and the good performance of EU-OSHA is recognised throughout the evaluation and the SWD. The SWD included a number of recommendations – most of them directed at the four agencies in common, and a few directly targeted at the individual agencies. The Management Board of EU-OSHA adopted an action plan to address these recommendations in January 2020 which was amended in June 2020. The action plan was fully implemented by the end of 2021. The detailed status as of December 2021 is included in the Single Programming Document 2023-2025. In late 2022, the Commission has started a new evaluation of the four agencies. It is expected that the SWD is published by early 2024.

The 2019 evaluation report is available here:

<https://ec.europa.eu/social/main.jsp?catId=738&langId=en&pubId=8206>.

This link is also accessible from EU-OSHA's website.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency receives an annual subsidy of EUR 100 100 from local and national authorities | Annual contribution of local authorities from kingdom of Spain (EUR 60 100) and Basque Regional Government (EUR 40 000) | |
| Seat agreement | <p>A seat agreement has been signed with the Kingdom of Spain on 31 March 2014 foresees a maximum EUR 100 000 (but actually EUR 60 100) per year as a contribution to rent related expenditure.</p> <p>The Agency's staff is accredited with the Spanish Ministry of Foreign Affairs with non-diplomatic status with the exception of the Executive Director.</p> | |
| VAT Exemption | Non Spanish staff members are entitled to VAT exemptions for purchases of goods and purchase of one new car during the first year of service. | |

2.2.6 European Centre for the Development of Vocational training – CEDEFOP

1. Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|----------------------|------------------|--|
| Regulation 337/75 | 10 February 1975 | Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training |
| Regulation 1946/93 | 30 June 1993 | Council Regulation (EEC) No 1946/93 of 30 June 1993 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 1131/94 | 16 May 1994 | Council Regulation (EC) No 1131/94 of 16 May 1994 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 251/95 | 6 February 1995 | Council Regulation (EC) No 251/95 of 6 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 354/95 | 20 February 1995 | Council Regulation (EC) No 354/95 of 20 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 1655/2003 | 18 June 2003 | Council Regulation (EC) No 1655/2003 of 18 June 2003 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training and repealing Regulation (EEC) No 1416/76 |
| Regulation 2051/2004 | 25 October 2004 | Council Regulation (EC) No 2051/2004 of 25 October 2004 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 2019/128 | 16 January 2019 | Regulation (EU) 2019/128 of the European Parliament and of the Council of 16 January 2019, establishing a European Centre for the Development of Vocational Training (Cedefop) and repealing Council Regulation (EC) no 337/75 |

1.2 Seat

Thessaloniki - Greece

1.3 Budget Line

07 10 03 : European Centre for the Development of Vocational Training (Cedefop)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 49 | 47 | 95,92% | 49 | 52 |
| Assistants (AST) | 42 | 40 | 95,24% | 42 | 39 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 91 | 87 | 95,60% | 91 | 91 |
| Contract Agents (CA) | 25 | 20 | 80,00% | 25 | 25 |
| Seconded National Experts (SNE) | 4 | 4 | 100,00% | 4 | 4 |
| TOTAL STAFF | 120 | 111 | 92,50% | 120 | 120 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 19 077 327 | 19 459 000 |

| | | |
|-----------------------|-------------------|-------------------|
| Other Revenue | 492 560 | 502 220 |
| TOTAL REVENUES | 19 569 887 | 19 961 220 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 12 807 887 | 12 807 887 | 13 247 220 | 13 247 220 |
| Title 2 - Infrastructure and operating expenditure | 1 905 000 | 1 905 000 | 1 755 000 | 1 755 000 |
| Title 3 - Operational expenditure | 4 857 000 | 4 857 000 | 4 959 000 | 4 959 000 |
| TOTAL EXPENDITURE | 19 569 887 | 19 569 887 | 19 961 220 | 19 961 220 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | 2 | | 1 | | 2 | | 2 |
| AD 13 | | 4 | | 2 | | 4 | | 4 | | 2 |
| AD 12 | 3 | 10 | 2 | 7 | 2 | 11 | 1 | 12 | 1 | 5 |
| AD 11 | | 9 | | 1 | | 9 | | 10 | | 3 |
| AD 10 | | 9 | | 3 | | 9 | | 8 | | 7 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | | 7 | | 6 | | 7 | | 6 | | 7 |
| AD 8 | | 2 | | 7 | | 2 | | 3 | | 3 |
| AD 7 | | 2 | | 4 | | 2 | | 4 | | 6 |
| AD 6 | | 1 | | 4 | | 1 | | 1 | | 12 |
| AD 5 | | | | 9 | | | | | | 3 |
| AD TOTAL | 3 | 46 | 2 | 45 | 2 | 47 | 1 | 51 | 1 | 51 |
| AST 11 | | 1 | | 1 | | 2 | | 2 | | 1 |
| AST 10 | 1 | 2 | | | 1 | 3 | 1 | 3 | 1 | 2 |
| AST 9 | 3 | 5 | 1 | 4 | 3 | 5 | 4 | 5 | 1 | 2 |
| AST 8 | 1 | 6 | 1 | | 2 | 5 | 1 | 5 | 4 | 2 |
| AST 7 | | 10 | 4 | 3 | | 9 | | 9 | | 10 |
| AST 6 | | 8 | | 10 | | 8 | | 6 | | 5 |
| AST 5 | | 4 | | 7 | | 3 | | 3 | | 6 |
| AST 4 | | 1 | | 5 | | 1 | | | | 3 |
| AST 3 | | | | 2 | | | | | | 2 |
| AST 2 | | | | 2 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 5 | 37 | 6 | 34 | 6 | 36 | 6 | 33 | 6 | 33 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 8 | 83 | 8 | 79 | 8 | 83 | 7 | 84 | 7 | 84 |
| GRAND TOTAL | 91 | | 87 | | 91 | | 91 | | 91 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 6 | 6 | 6 | 8 |
| Function Group III | 5 | 2 | 5 | 4 |
| Function Group II | 11 | 10 | 11 | 11 |
| Function Group I | 3 | 2 | 3 | 2 |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|-----------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 25 | 20 | 25 | 25 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 4 | 4 | 4 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 17 960 000 | 19 077 327 | 19 459 000 | 19 459 000 | 2,00% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 127 001 | 193 956 | 306 807 | 305 945 | 57,74% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 464 420 | 482 560 | 492 220 | 492 220 | 2,00% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 464 420 | 482 560 | 492 220 | 492 220 | 2,00% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 44 525 | 10 000 | 10 000 | 10 000 | 0,00% |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 18 468 945 | 19 569 887 | 19 961 220 | 19 961 220 | 2,00% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 12 176 690 | 12 807 887 | 13 247 220 | 13 247 220 | 3,43% |
| Salaries & allowances | 11 314 450 | 11 997 387 | 12 582 220 | 12 582 220 | 4,87% |
| - Of which establishment plan posts | 9 978 349 | 10 368 387 | 10 815 620 | 10 815 620 | 4,31% |
| - Of which external personnel | 1 336 101 | 1 629 000 | 1 766 600 | 1 766 600 | 8,45% |
| Expenditure relating to Staff recruitment | 169 612 | 230 000 | 200 000 | 200 000 | -13,04% |
| Employer's pension contributions | | | | | |
| Mission expenses | 21 200 | 96 000 | 22 500 | 22 500 | -76,56% |
| Socio-medical infrastructure | 196 308 | 199 000 | 200 000 | 200 000 | 0,50% |
| Training | 77 360 | 100 000 | 75 000 | 75 000 | -25,00% |
| External Services | 382 140 | 170 000 | 154 000 | 154 000 | -9,41% |
| Receptions, events and representation | 15 620 | 15 500 | 13 500 | 13 500 | -12,90% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 020 043 | 1 905 000 | 1 755 000 | 1 755 000 | -7,87% |
| Rental of buildings and associated costs | 810 783 | 801 000 | 717 000 | 717 000 | -10,49% |
| Information, communication technology and data processing | 787 642 | 693 000 | 809 000 | 809 000 | 16,74% |
| Movable property and associated costs | 13 817 | 14 000 | | | -100% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 211 974 | 244 000 | 219 000 | 219 000 | -10,25% |
| Postage / Telecommunications | 194 878 | 148 000 | 10 000 | 10 000 | -93,24% |
| Meeting expenses | 949 | 5 000 | | | -100% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 634 148 | 4 857 000 | 4 959 000 | 4 959 000 | 2,10% |
| Transversal activities | 173 048 | 263 000 | 269 000 | 269 000 | 2,28% |
| Research and Policy Analysis | 1 057 881 | 712 000 | 2 250 000 | 2 250 000 | 216,01% |
| Enhanced Cooperation in VET and LLL | 2 761 477 | 3 323 000 | 1 876 000 | 1 876 000 | -43,54% |
| Information, Communications and Dissemination | 641 742 | 559 000 | 564 000 | 564 000 | 0,89% |
| TOTAL | 18 830 881 | 19 569 887 | 19 961 220 | 19 961 220 | 2,00% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 11 919 231 | 12 807 887 | 13 247 220 | 13 247 220 | 3,43% |
| Salaries & allowances | 11 314 450 | 11 997 387 | 12 582 220 | 12 582 220 | 4,87% |
| - Of which establishment plan posts | 9 978 349 | 10 368 387 | 10 815 620 | 10 815 620 | 4,31% |
| - Of which external personnel | 1 336 101 | 1 629 000 | 1 766 600 | 1 766 600 | 8,45% |
| Expenditure relating to Staff recruitment | 157 108 | 230 000 | 200 000 | 200 000 | -13,04% |
| Employer's pension contributions | | | | | |
| Mission expenses | 19 459 | 96 000 | 22 500 | 22 500 | -76,56% |
| Socio-medical infrastructure | 177 952 | 199 000 | 200 000 | 200 000 | 0,50% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Training | 27 961 | 100 000 | 75 000 | 75 000 | -25,00% |
| External Services | 219 733 | 170 000 | 154 000 | 154 000 | -9,41% |
| Receptions, events and representation | 2 568 | 15 500 | 13 500 | 13 500 | -12,90% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 148 115 | 1 905 000 | 1 755 000 | 1 755 000 | -7,87% |
| Rental of buildings and associated costs | 595 591 | 801 000 | 717 000 | 717 000 | -10,49% |
| Information, communication technology and data processing | 404 884 | 693 000 | 809 000 | 809 000 | 16,74% |
| Movable property and associated costs | 1 730 | 14 000 | | | -100% |
| Current administrative expenditure | 89 437 | 244 000 | 219 000 | 219 000 | -10,25% |
| Postage / Telecommunications | 55 524 | 148 000 | 10 000 | 10 000 | -93,24% |
| Meeting expenses | 949 | 5 000 | | | -100% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 989 965 | 4 857 000 | 4 959 000 | 4 959 000 | 2,10% |
| Transversal activities | 226 422 | 263 000 | 269 000 | 269 000 | 2,28% |
| Research and Policy Analysis | 917 481 | 712 000 | 2 250 000 | 2 250 000 | 216,01% |
| Enhanced Cooperation in VET and LLL | 2 214 185 | 3 323 000 | 1 876 000 | 1 876 000 | -43,54% |
| Information, Communications and Dissemination | 631 877 | 559 000 | 564 000 | 564 000 | 0,89% |
| TOTAL | 17 057 311 | 19 569 887 | 19 961 220 | 19 961 220 | 2,00% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 305 945.

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2024, Cedefop proposes to maintain a stable number of establishment plan posts in 2024 compared to the budget 2023 (91 posts). The human resources planning for 2024 includes 5 SNEs, one of which is cost free, and 25 CAs. The Commission supports the agency request.

Financial Resources

Financial resources requested are in line with the financial programming for 2024-2027 which is fully supported by the Commission.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Cedefop's establishment plan foresees 91 posts for 2024. Cedefop's request for the year 2024 is in line with the Commission's Working Document on Decentralised Agencies for the MFF 2021-27. However, these ceilings restrict the ability of the Agency to fulfil its mandate. The human resources planning for 2024 includes 5 SNE, one of which is cost free, and 25 Contract Agents.

4.1.2 Vacancy rate as of end 2022

87 out of 91 establishment plan posts were filled in as of 31/12/2022. This represents a vacancy rate of 4%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Based on past experience, historical turn-over rate and recruitment plans to replace staff departing, an abatement rate of 3% was applied to the budget estimate on Title 1 costs.

4.1.4 Salary assumption for calculating salary line (% applied)

For the purposes of salary projections, Cedefop has used as a basis an increase ratio of 3,4% which accounts for the expected annual pay update for 2024 directed by the European Commission. The 2022 salary indexation with an increase in inflation of +4,5% and an increase of the weighting factor for Greece of +5,7% has had an impact on the 2023 budget projections which had to be increased significantly. On top of the 2022 salary increase, the European Commission estimates a further increase in 2023 salaries of +4,4% which has been applied as from 1 July 2023. The Agency also foresaw the replacement of staff retiring with new staff recruited at entry levels. In 2024, 2 staff members are planned to retire compared to 3 in 2023.

4.1.5 Correction coefficient used

The evolution of the weighting factor has become increasingly unpredictable in recent years and experience is not a reliable guide. This illustrates the vulnerability of budgetary planning and management to external factors which are outside Cedefop's control. For the purposes of salary projections, Cedefop used the weighting factor in place in December 2022 (i.e. 89,4%).

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1, the estimate for 2024 is based on the provisions for the establishment plan and its occupation. It considers estimated salary adjustments, planned recruitments, turnover and retirements, and the staff resources needed for the implementation of Cedefop's activities, thus representing an increase of 3,43% in 2024 compared to 2023. Salary and allowance costs are calculated based on the December 2022 salaries, increased by salary indexation impact, and include estimates for staff career progression (automatic step increase, promotion and reclassification exercises). However, projections for Title 1 do not include any possible significant change in the weighting factor for Greece having an impact on salaries and allowances, which the Agency cannot foresee. Natural increases in staff costs imply an increasing pressure on the overall budget given that any cap imposed by the MFF needs to be respected and most staff costs are inelastic. In consequence, Cedefop will have to absorb any increase in staff expenditure by refocusing and further reducing its activities and deliverables (negative priorities) in Titles 2 and 3.

4.2.2 Title 2

For the year 2024, budget in Title 2 is planned to decrease by about -7,87% in comparison to 2023. The Agency's estimates for 2024 onwards are built on the assumption that energy prices and inflationary pressure will drop compared to 2022 and 2023 levels, and that investments in photovoltaic panels and other energy efficiency projects will start paying off. Further investments to reduce CO2 emissions are planned in 2024, in line with Cedefop's 2023 climate neutrality strategy. Investments in ICT and carbon emission reduction measures are expected to be recouped by generating efficiency and productivity enhancements as well as budget savings.

4.2.3 Title 3

Title 3 increases by 2,10% in 2024, recovering from a sharp decrease in 2023 attributed to the pressure exercised by the increase in salary cost and inflationary pressures in 2022, with a knock-on effect in 2023 and onwards. The combined reduction of operational mission and meeting costs by 50% in 2024 ⁽³⁷⁾ as compared to 2019, achieved by maximising online and hybrid meeting formats, and budget cuts in Title 2, creates savings which are directed to the core business. To continue maximising its operational budget, the Agency will need to crop on the efficiency gains created and apply a staff policy which will maintain a balance between attractiveness as an employer and savings in staff costs whenever possible.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--------------|----------------------------------|----------------|--------------|-----------------|----------|--------------|----------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Cedefop building | Thessaloniki | 4 550 | 5 015,28 | 9 565,28 | | | | Building granted by host country | 1 156 803 |
| 2 | Cedefop Liaison office | Brussels | 25,6 | 17,12 | 42,72 | 18 974 | | SLA with OIB | | |
| TOTAL | | | 4 575,6 | 5 032,4 | 9 608 | 18 974 | | | | 1 156 803 |

5.1.2 Current building(s) Other comments

The total surface of Thessaloniki office is 9 565,28 m². It is a four-storey building, set in its own grounds on the outskirts of the city of Thessaloniki, and bears a net Book Value of EUR 1,16 million as per 2022 provisional annual accounts.

The Agency rents an office space of 25,60 m² in the European Commission, Rue de Spa 3 (SPA3 building). The lease is governed by an SLA signed with OIB.

5.1.3 Building projects in the planning phase

The installation of photovoltaic panels is planned in 2023. This is expected to reduce the electricity utilities bill of the Agency and to reduce its carbon emissions.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 European Schools

There is no European School in Thessaloniki. In its absence, Cedefop implements specific policies to support schooling of staff's children.

⁽³⁷⁾ excluding the cost of the Management Board meeting which will take place as a physical event in Thessaloniki in 2024, as decided by the Board

5.3 Evaluation

The last periodic external evaluation of Cedefop required by the financial regulation was completed in 2018. Carried out by DG EMPL, the evaluation assessed Cedefop individually as well as in a cross-cutting perspective vis-à-vis the other Agencies falling under the remit of DG EMPL i.e. Eurofound, ETF and EU-OSHA. Cedefop followed up on the implementation of the action plan agreed as a follow-up to the last external evaluation carried out by the European Commission (38). As for the last Cedefop-specific recommendation on reallocating even more resources from administration to core business, Cedefop has implemented all agreed actions and on 14 September asked the Commission for a formal closure. In its note of 5 October 2022, the Commission confirmed the closure of the action plan. The state of play was discussed with the extended Executive Board at its meeting on 30 June -1 July 2022 and the closure of the action plan presented to the Management Board at its meetings on 6-7 October 2022.

The 2024 cross-cutting evaluation of the four agencies falling within the remit of DG EMPL (Cedefop, EU-OSHA, ETF, Eurofound) was announced on 13 September 2022. The first draft inception report was shared with the agencies on 21 November 2022 for their comments. Cedefop in cooperation with the cluster agencies provided its comments to the European Commission on 9 December 2022. Prior to that, Cedefop held an informal online meeting with the external contractors on 8 December 2022. An onsite visit to conduct interviews took place on 17-19 January 2023.

In accordance with Article 29.1 of Cedefop’s Financial Regulation (FR), Cedefop undertakes both ex-ante and ex-post evaluations of all programmes and activities which entail significant spending. Cedefop follows the European Commission’s Guidelines for Evaluation and applies evaluation requirements and EU guidelines in a multi-annual perspective to implement a coherent set of evaluation and control activities. The latter are reflected in Cedefop’s revised Evaluation Policy which was adopted by the Executive Director on 14 November 2019. Outcomes of evaluations and controls are presented regularly to the Executive Board, Management Board, the European Commission (in line with Art. 11 paragraph 5 point (k) of Cedefop’s Regulation), in the CAAR and the Annual Report. A consolidated action plan – including actions envisaged, responsibilities, deadlines and status of implementation – is prepared and monitored by the Internal Control Coordinator and made available to all staff on the Agency’s Intranet.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|---|--|
| | Protocol of privileges and immunities of the European Union | Education / day care |
| The Agency has the status of a diplomatic mission in Greece – only the two official cars and the private cars of the Director and Deputy Director can obtain a diplomatic car plate; yearly VAT exemption is only granted to the Agency (in line with the protocol) and not to staff members. | As regards VAT exemption for staff, the Protocol on privileges and immunities is applicable to EU staff, except for those who were resident in Greece before employment in the Agency. VAT and customs duties exemption applies to such staff during one year from their taking up functions at Cedefop, as provided for in the headquarters Agreement. | Nothing provided by the state of the host country. |

(38) SWD(2019) 159 final <https://ec.europa.eu/social/main.jsp?langId=en&catId=85&furtherNews=yes&newsId=9348>

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|--|
| Council Regulation (EC) No 168/2007 as amended by Council Regulation (EU) 2022/555 | 07/04/2022 | <ul style="list-style-type: none"> - Collect, record, analyse and disseminate relevant, objective, reliable and comparable information and data, including results from research and monitoring communicated to it by Member States, Union institutions as well as bodies, offices and agencies of the Union, research centres, national bodies, non-governmental organisations, third countries and international organisations and in particular by the competent bodies of the Council of Europe; - Develop methods and standards to improve the comparability, objectivity and reliability of data at European level, in cooperation with the Commission and the Member States; - Carry out, cooperate with or encourage scientific research and surveys, preparatory studies and feasibility studies, including, where appropriate and compatible with its priorities and its annual work programme, at the request of the European Parliament, the Council or the Commission; - Formulate and publish conclusions and opinions on specific thematic topics, for the Union institutions and the Member States when implementing Community law, either on its own initiative or at the request of the European Parliament, the Council or the Commission; - Publish an annual report on fundamental rights issues covered by the areas of the Agency's activity, also highlighting examples of good practice; - Publish thematic reports based on its analysis, research and surveys; - Publish an annual report on its activities; and - Develop a communication strategy and promote dialogue with civil society, in order to raise public awareness of fundamental rights and actively inform about its work. |

1.2 Seat

Vienna, Austria

1.3 Budget Line

07 10 04 : European Union Agency for Fundamental Rights (FRA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 48 | 45 | 93,75% | 48 | 48 |
| Assistants (AST) | 24 | 23 | 95,83% | 24 | 24 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 72 | 68 | 94,44% | 72 | 72 |
| Contract Agents (CA) | 32 | 29 | 90,62% | 34 | 34 |
| Seconded National Experts (SNE) | 9 | 9 | 100,00% | 9 | 9 |
| TOTAL STAFF | 113 | 106 | 93,81% | 115 | 115 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 24 660 395 | 26 566 000 |

| | | |
|-----------------------|-------------------|-------------------|
| Other Revenue | 558 000 | 603 000 |
| TOTAL REVENUES | 25 218 395 | 27 169 000 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | 415 987 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | 415 987 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 15 604 000 | 15 604 000 | 16 553 000 | 16 553 000 |
| Title 2 - Infrastructure and operating expenditure | 3 220 000 | 3 220 000 | 3 200 000 | 3 200 000 |
| Title 3 - Operational expenditure | 6 394 395 | 6 394 395 | 7 416 000 | 7 416 000 |
| Title 4 - Other operational expenditure | | | | |
| TOTAL EXPENDITURE | 25 218 395 | 25 218 395 | 27 169 000 | 27 169 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | | | |
| AD 14 | | 4 | | 1 | | 3 | | 3 | | 3 |
| AD 13 | | 3 | | 2 | | 3 | | 2 | | 2 |
| AD 12 | | 1 | | 2 | | 1 | | 5 | | 5 |
| AD 11 | | 6 | | 5 | | 6 | | 7 | | 7 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 10 | | 11 | | 5 | | 12 | | 10 | | 10 |
| AD 9 | | 9 | | 9 | | 10 | | 10 | | 10 |
| AD 8 | | 10 | | 11 | | 9 | | 6 | | 6 |
| AD 7 | | 3 | | 5 | | 1 | | 2 | | 2 |
| AD 6 | | | | 2 | | 1 | | 6 | | 2 |
| AD 5 | | | | 2 | | 1 | | 2 | | 1 |
| AD TOTAL | | 48 | | 45 | | 48 | | 53 | | 48 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 3 | | | | 4 | | 2 | | 2 |
| AST 9 | | 3 | | 6 | | 2 | | 4 | | 4 |
| AST 8 | | 3 | | | | 3 | | 4 | | 4 |
| AST 7 | | 5 | | 7 | | 6 | | 5 | | 5 |
| AST 6 | | 7 | | 6 | | 7 | | 5 | | 5 |
| AST 5 | | 3 | | 3 | | 2 | | 2 | | 2 |
| AST 4 | | | | 1 | | | | 4 | | 2 |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 24 | | 23 | | 24 | | 26 | | 24 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 72 | | 68 | | 72 | | 79 | | 72 |
| GRAND TOTAL | | 72 | | 68 | | 72 | | 79 | | 72 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 20 | 18 | 21 | 21 |
| Function Group III | 10 | 9 | 11 | 11 |
| Function Group II | 2 | 2 | 2 | 2 |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | | | |
| TOTAL | 32 | 29 | 34 | 34 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 9 | 9 | 9 | 9 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 23 748 170 | 24 660 395 | 29 130 000 | 26 566 000 | 7,73% |
| - Of which assigned revenues deriving from previous years' surpluses | 113 780 | 85 270 | 102 682 | 102 682 | 20,42% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 1 989 781 | 558 000 | 603 000 | 603 000 | 8,06% |
| - Of which EEA/EFTA (excl. Switzerland) | 1 442 781 | | | | |
| - Of which candidate countries | 547 000 | 558 000 | 603 000 | 603 000 | 8,06% |
| 4 OTHER CONTRIBUTIONS | 120 000 | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 25 857 951 | 25 218 395 | 29 733 000 | 27 169 000 | 7,73% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | 415 987 | 100% |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | 415 987 | 100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 033 545 | 15 604 000 | 17 613 000 | 16 553 000 | 6,08% |
| Salaries & allowances | 12 580 798 | 13 685 000 | 15 590 000 | 14 530 000 | 6,17% |
| - Of which establishment plan posts | 9 602 729 | 10 277 000 | 11 630 000 | 10 839 250 | 5,47% |
| - Of which external personnel | 2 978 069 | 3 408 000 | 3 960 000 | 3 690 750 | 8,30% |
| Expenditure relating to Staff recruitment | 100 235 | 192 000 | 175 000 | 175 000 | -8,85% |
| Employer's pension contributions | | | | | |
| Mission expenses | 19 078 | 55 000 | 60 000 | 60 000 | 9,09% |
| Socio-medical infrastructure | 63 538 | 70 000 | 75 000 | 75 000 | 7,14% |
| Training | 119 928 | 175 000 | 200 000 | 200 000 | 14,29% |
| External Services | 104 522 | 140 000 | 155 000 | 155 000 | 10,71% |
| Receptions, events and representation | 446 | 3 000 | 3 000 | 3 000 | 0,00% |
| Social welfare | 992 608 | 1 244 000 | 1 305 000 | 1 305 000 | 4,90% |
| Other Staff related expenditure | 52 392 | 40 000 | 50 000 | 50 000 | 25,00% |
| Title 2 - Infrastructure and operating expenditure | 2 401 098 | 3 220 000 | 3 200 000 | 3 200 000 | -0,62% |
| Rental of buildings and associated costs | 1 455 588 | 2 183 000 | 1 792 000 | 1 792 000 | -17,91% |
| Information, communication technology and data processing | 738 205 | 678 000 | 760 000 | 760 000 | 12,09% |
| Movable property and associated costs | 11 443 | 42 000 | 32 000 | 32 000 | -23,81% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 46 027 | 124 000 | 404 000 | 404 000 | 225,81% |
| Postage / Telecommunications | 107 897 | 149 000 | 152 000 | 152 000 | 2,01% |
| Meeting expenses | 2 037 | 9 000 | 16 000 | 16 000 | 77,78% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 943 | 2 000 | 2 000 | 2 000 | 0,00% |
| Studies | 38 958 | 33 000 | 42 000 | 42 000 | 27,27% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 020 526 | 6 394 395 | 8 920 000 | 7 416 000 | 15,98% |
| Justice, Digital and Migration | | 2 894 395 | 1 286 000 | 1 286 000 | -55,57% |
| Fair and equal societies | 3 062 489 | | | | |
| Just, digital and secure societies | 1 939 832 | | | | |
| Migration and asylum | 552 093 | | | | |
| Supporting human rights protection systems | 1 274 819 | | | | |
| Communication and Events | 1 191 293 | 1 490 000 | 1 490 000 | 1 490 000 | 0,00% |
| Equality, Roma & Social Rights | | 870 000 | 3 685 000 | 3 685 000 | 323,56% |
| Institutional Cooperation & Networks | | 1 040 000 | 905 000 | 905 000 | -12,98% |
| Horizontal operational activities | | 100 000 | 50 000 | 50 000 | -50,00% |
| Reserve for Title 3 | | | 1 504 000 | | |
| Title 4 - Other operational expenditure | 801 951 | | | | |
| Cooperation Agreements | 801 951 | | | | |
| TOTAL | 25 257 120 | 25 218 395 | 29 733 000 | 27 169 000 | 7,73% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 13 943 867 | 15 604 000 | 17 613 000 | 16 553 000 | 6,08% |
| Salaries & allowances | 12 575 743 | 13 685 000 | 15 590 000 | 14 530 000 | 6,17% |
| - <i>Of which establishment plan posts</i> | 9 602 729 | 10 277 000 | 11 630 000 | 10 839 250 | 5,47% |
| - <i>Of which external personnel</i> | 2 973 014 | 3 408 000 | 3 960 000 | 3 690 750 | 8,30% |
| Expenditure relating to Staff recruitment | 64 286 | 192 000 | 175 000 | 175 000 | -8,85% |
| Employer's pension contributions | | | | | |
| Mission expenses | 25 915 | 55 000 | 60 000 | 60 000 | 9,09% |
| Socio-medical infrastructure | 63 538 | 70 000 | 75 000 | 75 000 | 7,14% |
| Training | 78 492 | 175 000 | 200 000 | 200 000 | 14,29% |
| External Services | 104 552 | 140 000 | 155 000 | 155 000 | 10,71% |
| Receptions, events and representation | 446 | 3 000 | 3 000 | 3 000 | 0,00% |
| Social welfare | 987 823 | 1 244 000 | 1 305 000 | 1 305 000 | 4,90% |
| Other Staff related expenditure | 43 072 | 40 000 | 50 000 | 50 000 | 25,00% |
| Title 2 - Infrastructure and operating expenditure | 2 003 192 | 3 220 000 | 3 200 000 | 3 200 000 | -0,62% |
| Rental of buildings and associated costs | 1 426 315 | 2 183 000 | 1 792 000 | 1 792 000 | -17,91% |
| Information, communication technology and data processing | 455 854 | 678 000 | 760 000 | 760 000 | 12,09% |
| Movable property and associated costs | 11 443 | 42 000 | 32 000 | 32 000 | -23,81% |
| Current administrative expenditure | 43 997 | 124 000 | 404 000 | 404 000 | 225,81% |
| Postage / Telecommunications | 62 603 | 149 000 | 152 000 | 152 000 | 2,01% |
| Meeting expenses | 2 037 | 9 000 | 16 000 | 16 000 | 77,78% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 943 | 2 000 | 2 000 | 2 000 | 0,00% |
| Studies | | 33 000 | 42 000 | 42 000 | 27,27% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 110 655 | 6 394 395 | 8 920 000 | 7 416 000 | 15,98% |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Justice, Digital and Migration | | 2 894 395 | 1 286 000 | 1 286 000 | -55,57% |
| Fair and equal societies | 515 647 | | | | |
| Just, digital and secure societies | 279 145 | | | | |
| Migration and asylum | 270 207 | | | | |
| Supporting human rights protection systems | 569 701 | | | | |
| Communication and Events | 475 955 | 1 490 000 | 1 490 000 | 1 490 000 | 0,00% |
| Equality, Roma & Social Rights | | 870 000 | 3 685 000 | 3 685 000 | 323,56% |
| Institutional Cooperation & Networks | | 1 040 000 | 905 000 | 905 000 | -12,98% |
| Horizontal operational activities | | 100 000 | 50 000 | 50 000 | -50,00% |
| Reserve for Title 3 | | | 1 504 000 | | |
| Title 4 - Other operational expenditure | 548 720 | | | | |
| Cooperation Agreements | 548 720 | | | | |
| TOTAL | 18 606 434 | 25 218 395 | 29 733 000 | 27 169 000 | 7,73% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 102,682.31 EUR.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the status quo for 2024, i.e. 72 TAs and 34 CAs. The amended Founding Regulation which entered into force in 2022 clarified FRA's mandate under the Lisbon Treaty but did not expand the Agency's tasks. The amending Regulation was not accompanied by a new LFS that would justify additional staff resources.

Financial Resources

In 2024, two of FRA's major recurring surveys (Roma; immigrants and descendants of immigrants) will be carried out within the same calendar year. It should be noted that for an Agency like FRA, inflation affects not only costs under titles 1 and 2, but its operational activities, in particular the costs of surveys, have also increased sharply. The estimated cost for these two surveys, based on the methodology and scope of previous editions, would already exhaust or even exceed the financial resources available for operational activities if the authorised budget is limited to the programmed amount, leaving no room for other essential activities being carried out. While the Agency is exploring ways to lower the costs of the surveys by means of revised methodologies, additional financial resources will nevertheless be required to ensure continuity of operational activities.

Therefore, the Commission supports a one-off increase of EUR 1 412 397 bringing the EU subsidy in 2024 to a total of EUR 26 566 000 with the aim to return to the programmed level amounts in the subsequent years.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

With the adoption of Regulation 2022/555 amending FRA's founding Regulation, FRA's mandate has been expanded to include new activities under the former third pillar, namely in the areas of police cooperation and judicial cooperation in criminal matters. These new tasks in the area of police and judicial cooperation require the Agency to have sufficient resources and appropriate expertise. Requests to work in these areas have increased as of 2022 under the amended Regulation. It is therefore imperative that the Agency is offered the possibility in the years, following the adoption of its amended Founding Regulation, to increase its operational capacity with profiles covering the areas of police and judicial cooperation in criminal matters.

Considering the adoption of the new Regulation and the new work it brings with it, and the constant increase in existing tasks (further explained under the 'Growth of existing tasks'), it is assessed that there is the need to re-adjust the establishment plan in 2023 and 2024, by increasing it with 5 AD positions and 2 ASTs. These positions would be supported by 6 Contractual Agents FGIV and 2 Contractual Agents FGIII.

POLICE AND JUDICIAL COOPERATION IN CRIMINAL MATTERS

The amendment of FRA's Founding Regulation in 2022 resulted in the Agency being able to undertake research and provide expert input in the field of police and judicial cooperation in criminal matters. As a result of the amendment, European institutions, bodies and agencies have increased their requests for FRA's evidence-based advice in these areas.

However, in 2022 – as a reflection of limited resources – the Agency had to turn down a number of requests by Europol and other JHA Agencies who are part of the Hub to undertake a fundamental rights 'compliance' check with respect to planned and contracted research, projects and activities.

The legal instruments regulating two new EU information technology systems, Regulation (EU) 2018/1240 on the European Travel Information and Authorisation System (ETIAS) and Regulation (EU) 2021/1134 on the Visa Information System (VIS) establishes Fundamental Rights Guidance Boards, with FRA's participation. The Commission is increasingly reaching out to FRA for ad-hoc input to feed into its work in this area. The Agency is currently unable to extend its work to more Member States – encompassing interventions with the judiciary – in all areas where the Commission requests input.

The European Parliament has also increasingly approached the Agency requesting ad-hoc input into its legislative work, for example in relation to the Advance Passenger Information or Prum II files, and with respect to specific research in the area of surveillance; for example for the PEGA Committee.

With the forthcoming appointment of a FRO at Europol, there are expectations that FRA will step-up engagement with Europol along the lines of its intensive engagement with Frontex after the appointment of their Fundamental Rights Officer (FRO) – which involves weekly discussions and several formal levels of intervention.

The period 2022-2023 is also seeing increased requests from CEPOL under the MoU between the Agencies to provide specific training to police across the Member States in relation to different fields – including hate crime and victims of crime (among others).

The Agency would be in a unique position to provide comprehensive fundamental rights input and expertise in the field of police and judicial cooperation in criminal matters, to other JHA Agencies working in this field, alongside the EU Institutions, if it had the appropriate level of staffing to respond to these new and growing requests.

MIGRATION AND ASYLUM – INCLUDING WORK ON UKRAINE

The increased focus on fundamental rights embedded in recent EU law instruments resulted in the following tasks:

Regulations (EU) 2021/1147 and (EU) 2021/1148 require the European Commission to consult the Agency on national programmes under the Asylum, Migration and Integration Fund and under the Integrated Border Management Fund.

The Agency has seen a growth in tasks with respect to the provision of unique evidence and data for the EU as a result of the Russian war of aggression in Ukraine. This includes: A large-scale survey on women's experiences of violence and related human rights abuses who have fled Ukraine and are now in the EU; Additional survey and related data collection on the implementation on the ground of the Temporary Protection Directive, and the production of dedicated Ukraine Bulletins based on FRA's data.

This data, which is not provided by other Agencies, feeds directly into the EU mechanism for preparedness and crisis management (Blueprint Network)³⁹ established with the Pact on Migration and Asylum and to which FRA is required to participate. FRA provided data to and attended some 100 meetings to date, most focusing on Ukraine.

DEMAND FOR REGULAR SURVEYS AND ANALYSIS ON NON-DISCRIMINATION AND ROMA INCLUSION

The Agency is requested by the Commission to undertake regular survey data collection on (1) Roma, (2) immigrants and their descendants, (3) Jewish communities, and (4) LGBTI people.

Specifically, as regard Roma inclusion FRA is requested to support the Member States in their efforts to collect data and the Commission in reporting on progress made concerning the 2020 EU Roma Strategic Framework for equality, inclusion and participation.

The surveys conducted by FRA are resource intensive with respect to both budget and staff. In order to effectively develop and manage surveys and other forms of data collection and analysis that the Agency is engaged in in parallel additional financial and human resources are necessary in order to produce statistical results in a timely manner and to high quality standards.

4.1.2 Vacancy rate as of end 2022

94.4% (68 posts out of 72 were occupied).

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

On the current salary grid the following percentages were applied:

2.5% January 2023-June 2023

1.65% July 2023- December 2023

1.75% January 2024-June 2024

1.2% July 2024- December 2024

4.1.5 Correction coefficient used

The current correction coefficient was used (108.8%).

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title I amounts to €16,555,000, which corresponds to a 6.1% increase compared to 2023 final budget. This increase refers mainly to the increase of the salaries and the additional posts that have been estimated. The remainder of Title I is estimated based on actual needs taking into consideration, where appropriate, the 2022 executed budget, the total number of staff and their allowances.

4.2.2 Title 2

Title II remains at 2023 levels.

4.2.3 Title 3

Based on this AWP the estimate of expenditure under Title III amounts to €8,920,000 representing 30 % of the overall budget for the financial year 2023 which, within the relevant areas of work, will be used to implement the following activities:

EQUALITY, ROMA and SOCIAL RIGHTS

FRA's large-scale survey data collection forms a central pillar of the Agency's work. In 2024, the Agency will continue delivering reports based on its Survey on Immigrants and Descendants of Immigrants of 2022. FRA will also publish in early 2024 the results of its third LGBTI survey and disseminate the results widely. In 2024, the Agency will launch the next round of its survey on Roma. Also in 2024, FRA will continue its close engagement with the EU High Level group

³⁹ Commission Recommendation (EU) 2020/1366 of 23 September 2020 on an EU mechanism for preparedness and management of crises related to migration.

on Non-discrimination, Equality and Diversity, supporting the work of its subgroup on Equality Data and its subgroup on LGBTIQ Equality.

JUSTICE, DIGITAL and MIGRATION

With respect to the area ‘Just, Digital and Migration’, the Agency will continue its work on updating and extending the EU-specific database on detention conditions, with new data available in 2024, and will disseminate its research findings on the application of the European Arrest Warrant in practice.

With respect to its long-standing work on victims of crime, in 2024 the Agency will continue to disseminate results from its extensive research on provision in practice for different groups of crime victims in the EU – in view of obligations under the Victims’ Rights Directive – which will be supported by evidence on specific groups’ experiences of crime victimisation, and reporting to the police and other services, from FRA’s survey research.

In 2024, the Agency will finalise and produce results from its third survey on antisemitism, which will build on FRA’s previous surveys to provide data on trends over time with respect to the manifestation of antisemitism.

In 2024 FRA will continue its joint project with EIGE to fill the data gap in Eurostat’s data collection on violence against women, which is not covering all Member States.

In 2024 FRA will work on remote biometric identification systems with respect to the project that was initiated in 2023. In 2024, FRA will disseminate findings from its 2023 published handbook on cybercrime and the Agency’s 2023 report on online content moderation and will also launch its report on Data Protection Authorities’ experiences with the GDPR.

INSTITUTIONAL COOPERATION and NETWORKS

In 2024, projects in this activity area will support the application of the EU Charter of Fundamental Rights, strengthen national human rights monitoring mechanisms, protect civic space, and facilitate interaction with the international human rights law framework.

COMMUNICATION and EVENTS

Effectively communicating the fundamental rights dimensions of specific issues will remain at the core of the Agency’s work through 2024 and beyond. In implementing its communication activities, FRA will follow the guiding principles of the ‘10 keys to effectively communicating human rights’.

In 2024, the Agency will its fourth Fundamental Rights Forum which will take place in the Vienna City Hall, Austria.

4.3 Ad hoc grants and delegation agreements

The grants detailed below are not EU funded.

In December of 2017 the Agency signed an agreement with the Financial Mechanism Office (FMO) of the EEA and Norway Grants by which the Grants undertook to contribute up to € 1,500,000 towards FRA’s expenses in carrying out activities in the role of International Partner Organisation.

This role is articulated under three Programmes, under two of which the Agency already started cooperation with the Czech Republic namely “Human rights, Roma Inclusion and Domestic and Gender-based Violence” and Greece namely “Roma Inclusion and Empowerment”. The Programmes are envisaged to end on 31 December 2024. The Agency receives the Grants’ contribution through half-yearly disbursements. Under this cooperation, the annual allocation the Agency expects to receive during 2024 is € 214,286. The Agency has currently employed 4 contract agents paid through the programme.

In 2021, the Agency signed a Project Partnership Agreement with the Greek Ombudsman (Promoter of the project “Greek Ombudsman actions for strengthening good governance, accountability and combating maladministration in the public sector” funded under the EEA Grants 2014-2021 Programme F “Good Governance, Accountable Institutions, Transparency”). As a project partner, FRA will contribute to build the human capacities of the Greek Ombudsman including regarding the Greek Ombudsman’s cooperation with public services/authorities and civil society in regions across Greece through advice and technical assistance, the building of the capacity of Ombudsman personnel, including through workshops/trainings, drawing on the work of the Agency and having a particular focus on the EU dimension and relevant good practice examples from across the EU and increasing Awareness about the preventive role of the Ombudsman on combating exclusion. The Agency also aims to contribute to operationalise the EC Charter Strategy. As agreed in the Project Partnership Agreement, FRA will receive the funding in advance payments, corresponding to the value of €14,701.40 for 2024.

Another project is the technical assistance to the Romanian Prosecutor’s Office attached to the High Court of Cassation and Justice (Romanian Public Ministry) to improve responses to cases of hate crime and hate crime victims, ensuring an effective and accessible criminal justice system for victims of crime with a specific focus on victims of hate crime and

child victims. It will have a possible total financial impact of around €800,000, corresponding to the value of €187,000 for 2024.

Under this cooperation is also the project of supporting National Human Rights Institutions in monitoring fundamental rights and the fundamental rights aspects of the rule of law for 7 (seven) Member States, here including Poland (PL), Slovakia (SK), Croatia (HR), Slovenia (SI), Bulgaria (BG), Cyprus (CY) and Latvia (LA). The total value of the project amounts to 469,654.48 and no financial impact for 2024.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--|---------------------|--------------|--------------|------------------|--|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | FRA building | Schwarzenbergplatz 11 AT-1040 Vienna Austria | 3 704 | 1 842 | 5 546 | 1 006 954 | Lease agreement for an initial period of 10 years with the provision for an indefinite period. | | N/A | |
| TOTAL | | | 3 704 | 1 842 | 5 546 | 1 006 954 | | | | |

5.1.2 Current building(s) Other comments

It should be noted that the offices are accommodated in an old building which was renovated in 2008 keeping its original layout and does not provide any luxurious modern amenities. In addition, the price per m2 for the net office space (i.e. 3704 m2) is on the lower end of the average prices in central Vienna areas.

5.1.3 Building projects in the planning phase

The Agency is assessing the situation considering the changes in the new hybrid working decisions and the environmental requirements. The Agency is looking to initiate a process to identify potential premises which will meet the new ways of hybrid working office environment as well as the zero emissions target for 2030.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

N/A

5.3 Evaluation

Based on the Financial Rules of the Agency, projects and activities that entail significant spending shall be subject to ex-ante and retrospective evaluations ("evaluation"), which shall be proportionate to the objectives and expenditure.

- Ex-ante evaluations supporting the preparation of programmes and activities shall be based on evidence, if available, on the performance of related programmes or activities and shall identify and analyse the issues to be addressed, the added value of Union involvement, objectives, expected effects of different options and monitoring and evaluation arrangements.
- Retrospective evaluations shall assess the performance of the programme or activity, including aspects such as effectiveness, efficiency, coherence, relevance and EU added value. Retrospective evaluations shall be based on the information generated by the monitoring arrangements and indicators established for the action concerned. They shall be undertaken periodically and in sufficient time for the findings to be taken into account in ex-ante evaluations or impact assessments that support the preparation of related programmes and activities.

Nine evaluations of FRA projects and activities have already been conducted in 2022 through the new inter-agency framework contract for evaluations and feedback services by an external, independent contractor. The ex-ante, interim

and ex post evaluations were aimed at assessing the relevance, effectiveness, added value, impact and efficiency of the FRA's projects included in FRA's Annual Work Programmes. The results of the evaluation studies will be published in the Consolidated Annual Activity Report for 2022, which will include a detailed plan for following up on the recommendations.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|--|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency is recognised as an international organisation. | The Director, the Heads of Unit, the Heads of Sector and two designated senior staff members with grades AD9 or above, have been granted diplomatic status. They can claim VAT reimbursement and register tax free vehicles every two years. | In the absence of a European School and a European Sector in Austria the Agency has adopted two social measures: one regarding financial support to nursery schools and day care centres, and another one to Multi-lingual tuition for children of the Agency staff In Vienna. |
| The Agency enjoys VAT exemption. | The Agency's staff members (the remaining Temporary Agents and all Contract Agents) can register a tax free vehicle every four years. This excludes Austrian nationals. | - |
| No other privileges are offered to the Agency. | The Agency has been granted access to the UN Commissary, where staff members can purchase certain tax free items up to a limit based on their annual salary in accordance with the UN conditions. | - |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|------------|---|
| Regulation (EC)1922/2006 of the European Parliament and of the Council on establishing a European Institute for Gender Equality | 20/12/2006 | <p>The Institute's mission is to be the European knowledge centre on gender equality issues.</p> <p>The Institute has been designed to contribute to and strengthen the promotion of gender equality, including gender mainstreaming in all EU policies and the resulting national policies, and the fight against discrimination based on sex, and to raise EU citizens' awareness of gender equality by providing technical assistance to the EU institutions and the authorities of the Member States, which cannot be sufficiently achieved by the Member States and can therefore, by reason of the scale of the action, be better achieved at EU level, the EU may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary to achieve those objectives.</p> <p>The Institute shall collect, analyse and disseminate relevant objective, comparable and reliable information as regards gender equality, including results from research and best practice. The Institute shall set up and coordinate a European Network on Gender Equality, involving the centres, bodies, organisations and experts dealing with gender equality and gender mainstreaming.</p> <p>The Institute shall make information on gender mainstreaming available to public and private organisations; and provide information to the EU Institutions on gender equality and gender mainstreaming in the accession and candidate countries.</p> |

1.2 Seat

Vilnius, Lithuania

1.3 Budget Line

07 10 05 : European Institute for Gender Equality (EIGE)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 21 | 21 | 100,00% | 21 | 21 |
| Assistants (AST) | 6 | 6 | 100,00% | 6 | 6 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 27 | 27 | 100,00% | 27 | 27 |
| Contract Agents (CA) | 10 | 15 | 150,00% | 10 | 12 |
| Seconded National Experts (SNE) | 5 | 4 | 80,00% | 5 | 5 |
| TOTAL STAFF | 42 | 46 | 109,52% | 42 | 44 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| TOTAL REVENUES | | |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | | 2024 | |
|--|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | | | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 4 271 191 | 4 271 191 | 4 857 088 | 4 857 088 |
| Title 2 - Infrastructure and operating expenditure | 1 235 500 | 1 235 500 | 1 292 500 | 1 292 500 |
| Title 3 - Operational expenditure | 3 209 704 | 3 209 704 | 3 200 000 | 3 200 000 |
| TOTAL EXPENDITURE | 8 716 395 | 8 716 395 | 9 349 588 | 9 349 588 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | 1 | | 1 | | 1 |
| AD 12 | | 2 | | 1 | | | | | | |
| AD 11 | | 2 | | 1 | | 3 | | 3 | | 3 |
| AD 10 | | 4 | | 2 | | 1 | | 1 | | 1 |
| AD 9 | | 2 | | | | 3 | | 3 | | 3 |
| AD 8 | | 4 | | 6 | | 5 | | 5 | | 5 |
| AD 7 | | 3 | | 3 | | 4 | | 5 | | 5 |
| AD 6 | | 3 | | 5 | | 3 | | 2 | | 2 |
| AD 5 | | | | 2 | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD TOTAL | | 21 | | 21 | | 21 | | 21 | | 21 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | 1 | | | | | | | | |
| AST 7 | | 2 | | | | 3 | | 2 | | 2 |
| AST 6 | | 2 | | 4 | | 1 | | 2 | | 2 |
| AST 5 | | | | | | 1 | | 1 | | 1 |
| AST 4 | | | | 1 | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 27 | | 27 | | 27 | | 27 | | 27 |
| GRAND TOTAL | | 27 | | 27 | | 27 | | 27 | | 27 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 4 | 3 | 5 |
| Function Group III | 5 | 6 | 5 | 5 |
| Function Group II | 2 | 5 | 2 | 2 |
| Function Group I | | | | |
| TOTAL | 10 | 15 | 10 | 12 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| | | | | |

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 5 | 4 | 5 | 5 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 8 432 920 | 8 716 395 | 9 597 554 | 9 349 588 | 7,26% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 274 827 | 122 337 | 248 115 | 248 115 | 102,81% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 8 432 920 | 8 716 395 | 9 597 554 | 9 349 588 | 7,26% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 223 019 | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 223 019 | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 384 956 | 4 271 191 | 5 105 054 | 4 857 088 | 13,72% |
| Salaries & allowances | 4 103 242 | 3 974 191 | 4 808 054 | 4 560 088 | 14,74% |
| - Of which establishment plan posts | 3 043 017 | 3 101 191 | 3 406 004 | 3 406 004 | 9,83% |
| - Of which external personnel | 1 060 225 | 873 000 | 1 402 050 | 1 154 084 | 32,20% |
| Expenditure relating to Staff recruitment | 1 598 | 6 000 | 6 000 | 6 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 13 256 | 15 000 | 15 000 | 15 000 | 0,00% |
| Socio-medical infrastructure | 132 621 | 157 000 | 157 000 | 157 000 | 0,00% |
| Training | 50 447 | 65 000 | 65 000 | 65 000 | 0,00% |
| External Services | 80 955 | 49 000 | 49 000 | 49 000 | 0,00% |
| Receptions, events and representation | 2 837 | 5 000 | 5 000 | 5 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 913 903 | 1 235 500 | 1 292 500 | 1 292 500 | 4,61% |
| Rental of buildings and associated costs | 574 596 | 584 800 | 641 800 | 641 800 | 9,75% |
| Information, communication technology and data processing | 201 924 | 324 200 | 324 200 | 324 200 | 0,00% |
| Movable property and associated costs | 860 | 7 000 | 7 000 | 7 000 | 0,00% |
| Current administrative expenditure | 76 223 | 130 700 | 130 700 | 130 700 | 0,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 56 541 | 168 800 | 168 800 | 168 800 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 3 759 | 20 000 | 20 000 | 20 000 | 0,00% |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 763 975 | 3 209 704 | 3 200 000 | 3 200 000 | -0,30% |
| Translations | 79 812 | 41 204 | 40 000 | 40 000 | -2,92% |
| Operational Missions | 83 114 | 70 000 | 74 000 | 74 000 | 5,71% |
| Research, statistics and indices | 183 575 | 440 000 | 560 000 | 560 000 | 27,27% |
| Gender Based Violence | 66 000 | 883 500 | 320 000 | 320 000 | -63,78% |
| Implementing Gender Mainstreaming | 541 425 | 940 000 | 1 010 000 | 1 010 000 | 7,45% |
| Stakeholders and Communication | 676 897 | 835 000 | 1 196 000 | 1 196 000 | 43,23% |
| Effective organisation and Bodies of EIGE | 133 152 | | | | |
| External assigned expenses | | | | | |
| TOTAL | 7 062 834 | 8 716 395 | 9 597 554 | 9 349 588 | 7,26% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 384 956 | 4 271 191 | 5 105 054 | 4 857 088 | 13,72% |
| Salaries & allowances | 4 103 242 | 3 974 191 | 4 808 054 | 4 560 088 | 14,74% |
| - Of which establishment plan posts | 3 043 017 | 3 101 191 | 3 406 004 | 3 406 004 | 9,83% |
| - Of which external personnel | 1 060 225 | 873 000 | 1 402 050 | 1 154 084 | 32,20% |
| Expenditure relating to Staff recruitment | 1 598 | 6 000 | 6 000 | 6 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 13 256 | 15 000 | 15 000 | 15 000 | 0,00% |
| Socio-medical infrastructure | 132 621 | 157 000 | 157 000 | 157 000 | 0,00% |
| Training | 50 447 | 65 000 | 65 000 | 65 000 | 0,00% |
| External Services | 80 955 | 49 000 | 49 000 | 49 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | 2 837 | 5 000 | 5 000 | 5 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 913 903 | 1 235 500 | 1 292 500 | 1 292 500 | 4,61% |
| Rental of buildings and associated costs | 574 596 | 584 800 | 641 800 | 641 800 | 9,75% |
| Information, communication technology and data processing | 201 924 | 324 200 | 324 200 | 324 200 | 0,00% |
| Movable property and associated costs | 860 | 7 000 | 7 000 | 7 000 | 0,00% |
| Current administrative expenditure | 76 223 | 130 700 | 130 700 | 130 700 | 0,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 56 541 | 168 800 | 168 800 | 168 800 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 3 759 | 20 000 | 20 000 | 20 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 763 975 | 3 209 704 | 3 200 000 | 3 200 000 | -0,30% |
| Translations | 79 812 | 41 204 | 40 000 | 40 000 | -2,92% |
| Operational Missions | 83 113 | 70 000 | 74 000 | 74 000 | 5,71% |
| Research, statistics and indices | 183 575 | 440 000 | 560 000 | 560 000 | 27,27% |
| Gender Based Violence | 66 000 | 883 500 | 320 000 | 320 000 | -63,78% |
| Implementing Gender Mainstreaming | 541 425 | 940 000 | 1 010 000 | 1 010 000 | 7,45% |
| Stakeholders and Communication | 676 898 | 835 000 | 1 196 000 | 1 196 000 | 43,23% |
| Effective organisation and Bodies of EIGE | 133 152 | | | | |
| External assigned expenses | | | | | |
| TOTAL | 7 062 834 | 8 716 395 | 9 597 554 | 9 349 588 | 7,26% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 248 114.74.

4 Justification of needs

Commission assessment

Human Resources

EIGE is requesting 8 additional contract agents (CAs) for the reasons explained in detail below (see bullet points in 4.1.1.). The critical need for more staff was communicated by letter to Commissioners Dalli and Hahn, in 2021 and 2022.

An independent external evaluation of the Agency, carried out in 2022, concluded that EIGE needs more staff to carry out its tasks as stipulated in Regulation (EC) No 1922/2006 on establishing a European Institute for Gender Equality. The evaluation report clearly underlines that the *“resources allocated to the Institute, especially human resources, are too low”*.

The Commission agrees to grant two additional Contract Agents to EIGE as of 2024 onwards, raising the CAs recommended ceiling to 12 (1 FGIV and 1 FGIII). These will be allocated to the Operations Unit (recently renamed as Research and Policy Support Unit), mainly to reinforce the currently rather small gender mainstreaming team. The two additional CAs will be tasked with providing technical assistance and support to key stakeholders in the areas of gender mainstreaming and gender budgeting.

Financial Resources

Considering that EIGE faces increased expenses due to an increased country coefficient in Lithuania (raised from 80.1 to 87.4% in 2022) and a very high inflation rate (established at 21.7% in December 2022), the Agency needs additional financial resources in order to be able to maintain the operational expenditure and carry out the activities foreseen in the Single Programming Document.

Some savings can be made and have been foreseen (e.g. in knowledge management, stakeholder relations and organisation of meetings) but drastic cuts in core operational activities must be avoided, as this would undermine the work of the Agency and a balanced distribution of the budget among the different headings.

The Commission supports the request for additional funds to cope with the high inflation rate bringing the total EU contribution in 2024 at EUR 9 349 588.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EIGE has identified its need for resources in 2024 and puts forward a request for eight additional CAs: three CAs in FG II, three CAs in FG IV and two CAs in FG III, who would be deployed towards operational and support activities, as follows:

- three CA FG II, administrative assistants to 3 units
- one CA FG IV, legal officer in Administration unit
- one CA FG IV, research officer - technical assistance/capacity building in Operations unit
- one CA FG IV, programme officer - technical assistance/capacity building in Knowledge Management and Communications unit
- one CA FG III, gender mainstreaming administrative agent - technical assistance/capacity building in Operations unit
- one CA FG III, administrative agent- technical assistance/capacity building in Knowledge Management and Communications unit."

This request is supported by the following realities:

- A sharp rise in requests for technical support from stakeholders and growth in scope of certain operational activities increased the workload of staff beyond acceptable levels (14 out of 42 operational staff work on an average 1.35 FTEs per head);

- Several services and project portfolios remain without any back-up arrangements due to understaffing posing inherent risks for business continuity;
- New market realities in specific fields i.e. ICT, where EIGE is not able to compete for the best possible candidates at a specific level;
- The reduction in the Establishment Plan from 30 to 27 in the period 2014-2017;
- Difficulty in recruitment of SNEs;
- The CJEU ruling of 11.11 2021 in the Case C-948/19 which thwarts the Agency's capability to engage and make use of interim workforce. As has been documented, Manpower Lit. decided not to accept the extension of the contract with EIGE resulting in the discontinuation of the employment of interim agents since December 2021. To address the sudden disruption of administrative support, following the launch of an open selection procedure that led to the appointment of three agents on one-year contracts. These are not being renewed and therefore are not being included in the budget request for 2024.

4.1.2 Vacancy rate as of end 2022

Zero

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

The following assumptions were made for 2024:

- An Establishment Plan of 27 posts, 19 Contract Agent posts, 5 SNE positions and 10 trainee posts.
- A country coefficient of 87.4% and an inflation rate of 4.4% (2023) and 3.4% (2024) respectively.
- Staff whose contracts terminated/not extended entitled to the following: double resettlement allowance (2 basic salaries), travel out and removal costs reimbursement.
- New staff members (TAs and CAs) are expected to have the following average profile: expatriate, married, with 0.5 children, receiving education allowance.
- A natural turnover of 10% for TAs and 10% for CAs projected (entitled to installation allowance (single basic salary taken), daily allowances for seven months and removal costs reimbursement).
- Appropriations for two contract agent posts financed under the IPA programme are subject to availability of the contribution funds and are not funded under EIGE's budget. They are not included in these projections.

4.1.5 Correction coefficient used

87.4%

4.1.6 Exchange rate used (if applicable)

N/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

Appropriations under Title I are intended to cover staff expenditure as foreseen in the Establishment Plan for 2024, as well as external personnel, training and missions of administrative nature. This Title also takes into account career development possibilities for staff (in step and in grade). Title I also covers socio-medical expenses as well as services provided by third parties related to staff.

The country coefficient in Lithuania has raised from 80.1 to 87.4% in 2022 and the annual inflation of the country was established at 21.7% in December 2022 (Official Statistics Portal – Main Lithuanian indicators (<https://osp.stat.gov.lt/en/pagrindiniai-salies-rodikliai>)). Because of this, salaries at EIGE (chapter 11) exceeded the usual proportion in EIGE's budget, namely, 38.60% in 2021, to represent an estimated 50.40% of total budget in 2024 (without considering the 8 extra CAs post requested by the Agency).

The inflation also represents a high increase in the infrastructure of the EIGE, having a considerable impact on the rent and the cost the utilities (exceeding the increase of 13%), as well as on the cost of procured services.

4.2.2 Title 2

Appropriations under Title II are intended to cover rent and relevant premises services, IT, movable property, current administrative expenditure and some other operations' related services. The external meeting expenses are intended

mainly to cover the cost of organisation of meetings for the Management Board (with relevant interpretation services) and the Experts' Forum.

4.2.3 Title 3

Appropriations under Title III are intended to cover preparation and implementation activities of the Single Programming Document 2024-2026, as well as translations and operational missions required for the performance of the activities of the Institute, under its mandate. The implementation of the SPD 2024-2026 is to be performed by carrying out research and data collection, update and development of tools and methods for gender mainstreaming, communicating gender equality and supporting the key stakeholders. These activities will focus on thematic priorities in line with the Commission's Political Guidelines and the priorities of the EU Gender Equality Strategy 2020 – 2025.

4.3 Ad hoc grants and delegation agreements

A new Contribution Agreement (2018/402-854) for implementation of the action “Increased capacity of EU candidate countries and potential candidates to measure and monitor impact of gender equality policies (2018-2021)” was signed between DG NEAR and EIGE on 20/12/2018. The initial implementation period of the action was planned for 30 months starting 01/01/2019. Total eligible costs of the action were EUR 550 000.

On 20/12/2019, EIGE and DG NEAR signed the extension of this contract for 18 months (till 31/12/2022) and with an additional budget of EUR 428.950,30. Both parties agreed on the Addendum No 1 to Contribution Agreement Contract No 2018/402-854 (CRIS 2019/414-064) for the implementation of the action “Increased capacity of EU candidate countries and potential candidates to measure and monitor impact of gender equality policies (2018-2022)”. On 12/10/2022 Addendum No 2 to Contribution Agreement was signed. The action was extended for additional 9 months without an additional budget (non-cost extension). The total implementation period of the action, after two addendums, is 57 months, from 01/01/2019 to 31/09/2023. Total eligible costs of the action are EUR 928.950,30. The agreed pre-financing rate is 100 %. Salaries for a Contract Agent at FG III for 57 months and a Contract Agent at FG II for 51.5 months are budgeted under this agreement.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---|----------------------------------|---------------|-----------------|-----------------|--|-------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | The House of EU | Gedimino pr. 16, Vilnius, Lithuania | 1 780,79 | 271,34 | 2 052,13 | 470 000 | Lease contract for 10 years (starting in 2023) | Lease | | |
| 2 | EIGE Liaison Office | 17-21 avenue de la Joyeuse Entrée, 1040 Brussels, Belgium | 27,8 | | 27,8 | 7 500 | 6 months | | | |
| TOTAL | | | 1 808,59 | 271,34 | 2 079,93 | 477 500 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

N/A

5.3 Evaluation

In line with Art 20 of Regulation (EC) No 1922/2006, EIGE's Management Board commissions the organisation of the external evaluation of the Institute. By decision of the Management Board in its 32nd meeting in June 2019, the second evaluation of EIGE took place in 2022. The evaluation report was presented in the November 2022, Management Board meeting. The Management Board is currently preparing its recommendations to the Commission based on the results of the external evaluation. Following the adoption of the recommendations, EIGE will prepare an action plan for their implementation (expected in Q3 2023).

5.4 Privileges and immunities

Accreditation status as per agreement drawn up between EIGE and the Government of the Republic of Lithuania and signed in Vilnius on 24/10/2012.

| Agency privileges | Privileges granted to staff | |
|--|---|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Immunity and inviolability Exemptions from all direct taxes | Immunity from jurisdiction as regards acts carried out in official capacity. Exemption from regulations restricting immigration and formalities for registration of foreigners. Exemption from national taxes on salaries, wages and emoluments paid by EIGE. VAT exemption during first year of services (for non-Lithuanian residents). The Director and management staff accorded the privileges and immunities accorded to the staff of diplomatic missions in accordance with Vienna convention of diplomatic relations of 18 April 1961 | Same rights and access to preschool and school education as for the nationals of the Republic of Lithuania. |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|--|
| Council Regulation (EC) No. 1360/90 | 07/05/1990 | Provide assistance in the definition of training needs and priorities Act as a clearing house to provide information on current initiatives and future needs in the training field, and provide a framework through which offers of assistance can be channelled On the basis of (a) and (b) above: Examine the scope for joint ventures of training assistance Fund the design and the preparation of such projects. Implement, at the request of the Commission or of the eligible countries in cooperation with the governing board, vocational training programmes. For activities and projects which are funded by the Foundation: arrange for the appropriate public and/or private bodies with a proven training record and the necessary expertise to design, prepare, implement and/or manage projects on a flexible, decentralized basis. In collaboration with the Commission, assist in the monitoring and evaluation of the overall effectiveness of training assistance to the eligible countries. Disseminate information and encourage exchanges of experience, with a focus on the countries of Central and Eastern Europe designated as eligible for economic aid by the Council in Regulation (EEC) No 3906/89 or in any subsequent relevant legal act. |
| Council Regulation (EC) No.2063/90 | 27/07/1994 | Addition to ETF's geographic scope to include the independent States of the former Soviet Union and Mongolia which are the beneficiaries of the programme to assist economic reform and recovery under Regulation (Euratom, EEC) No 2053/93 or in any subsequent relevant legal act. |
| Council Regulation (EC) No.1572/98 | 17/07/1998 | Addition to ETF's geographic scope to include the Mediterranean non-member countries and territories which are the beneficiaries of the financial and technical measures to accompany the reform of their economic and social structures pursuant to Regulation (EC) No 1488/96 or any subsequent relevant legal act. |
| Council Regulation (EC) No. 2666 /2000 | 05/12/2000 | Addition to ETF's geographic scope to include Albania, Bosnia-Herzegovina; Croatia; the Federal Republic of Yugoslavia, the Former Yugoslav Republic of Macedonia |
| Council Regulation (EC) No. 1648/2003 | 18/06/2003 | Amending Regulation (EEC) No 1360/90 establishing a European Training Foundation, in order to adapt it to the new Financial regulations of ETF |
| Council Regulation (EC) No 1339/2008 | 16/12/2008 | Recast of Council Regulation (EC) No 1339/2008 establishing a European Training Foundation. Recasting of ETF Regulation in line with external assistance instruments, greater flexibility in geographic scope, and human capital development. The ETF's functions under the recast regulation are to: - provide information, policy analyses and advice on human capital development issues in partner countries; - promote knowledge and analysis of skills needs in national and local labour markets; - support relevant stakeholders in partner countries in building capacity in human capital development; - facilitate the exchange of information and experience among donors engaged in human capital development reform in partner countries; - support the delivery of EU assistance to partner countries in the field of human capital development; - disseminate information and encourage networking and the exchange of experience and good practice between the EU and partner countries and amongst partner countries in human capital development issues; - contribute, at the Commission's request, to the analysis of the overall effectiveness of training assistance to the partner countries |

1.2 Seat

Torino, Italy

1.3 Budget Line

07 10 06 : European Training Foundation (ETF)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|-----------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| | | | | | |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 58 | 57 | 98,28% | 59 | 63 |
| Assistants (AST) | 28 | 28 | 100,00% | 27 | 23 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 86 | 85 | 98,84% | 86 | 86 |
| Contract Agents (CA) | 42 | 39 | 92,86% | 42 | 42 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 128 | 124 | 96,88% | 128 | 128 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 22 627 956 | 23 162 000 |
| Other Revenue | | |
| TOTAL REVENUES | 22 627 956 | 23 162 000 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 3 250 000 | 3 250 000 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 062 000 | 564 000 |
| TOTAL REVENUES | 4 312 000 | 3 814 000 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 15 949 350 | 15 949 350 | 16 658 148 | 16 658 148 |
| Title 2 - Infrastructure and operating expenditure | 2 345 175 | 2 345 175 | 2 170 725 | 2 170 725 |
| Title 3 - Operational expenditure | 4 333 431 | 4 333 431 | 4 333 127 | 4 333 127 |
| TOTAL EXPENDITURE | 22 627 956 | 22 627 956 | 23 162 000 | 23 162 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | | | | | |
| AD 14 | | | | | | 1 | | 1 | | 1 |
| AD 13 | | 5 | | 2 | | 5 | | 3 | | 3 |
| AD 12 | | 11 | | 6 | | 12 | | 9 | | 9 |
| AD 11 | | 10 | | 10 | | 10 | | 10 | | 10 |
| AD 10 | | 10 | | 11 | | 10 | | 10 | | 10 |
| AD 9 | | 12 | | 12 | | 12 | | 12 | | 12 |
| AD 8 | | 5 | | 5 | | 5 | | 7 | | 7 |
| AD 7 | | 4 | | 11 | | 4 | | 7 | | 7 |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | 4 | | 4 |
| AD TOTAL | | 58 | | 57 | | 59 | | 63 | | 63 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 3 | | 2 | | 2 | | 2 | | 2 |
| AST 9 | | 13 | | 12 | | 13 | | 9 | | 9 |
| AST 8 | | 6 | | 2 | | 6 | | 6 | | 6 |
| AST 7 | | 4 | | 3 | | 4 | | 4 | | 4 |
| AST 6 | | 2 | | 4 | | 2 | | 2 | | 2 |
| AST 5 | | | | 5 | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 28 | | 28 | | 27 | | 23 | | 23 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | | | | | | | | | |
| TOTAL | | 86 | | 85 | | 86 | | 86 | | 86 |
| GRAND TOTAL | 86 | | 85 | | 86 | | 86 | | 86 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 15 | 13 | 14 | 14 |
| Function Group III | 24 | 21 | 24 | 24 |
| Function Group II | 3 | 5 | 4 | 4 |
| Function Group I | | | | |
| TOTAL | 42 | 39 | 42 | 42 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 21 720 000 | 22 627 956 | 23 162 000 | 23 162 000 | 2,36% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 347 203 | 93 864 | 62 209 | 62 209 | -33,72% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 5 ADMINISTRATIVE OPERATIONS | 208 | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | 208 | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 21 720 208 | 22 627 956 | 23 162 000 | 23 162 000 | 2,36% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 2 100 000 | 3 250 000 | 3 250 000 | 3 250 000 | 0,00% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 030 000 | 1 062 000 | 564 000 | 564 000 | -46,89% |
| TOTAL | 3 130 000 | 4 312 000 | 3 814 000 | 3 814 000 | -11,55% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 859 015 | 15 949 350 | 16 658 148 | 16 658 148 | 4,44% |
| Salaries & allowances | 14 345 527 | 15 226 000 | 16 027 047 | 16 027 047 | 5,26% |
| <i>- Of which establishment plan posts</i> | <i>11 711 320</i> | <i>12 298 000</i> | <i>12 919 674</i> | <i>12 919 674</i> | <i>5,06%</i> |
| <i>- Of which external personnel</i> | <i>2 634 207</i> | <i>2 928 000</i> | <i>3 107 373</i> | <i>3 107 373</i> | <i>6,13%</i> |
| Expenditure relating to Staff recruitment | 1 150 | 25 000 | 9 000 | 9 000 | -64,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 32 420 | 50 000 | 63 750 | 63 750 | 27,50% |
| Socio-medical infrastructure | 37 289 | 45 000 | 45 000 | 45 000 | 0,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Training | 93 666 | 200 000 | 159 000 | 159 000 | -20,50% |
| External Services | 278 252 | 309 850 | 261 850 | 261 850 | -15,49% |
| Receptions, events and representation | 1 500 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 69 211 | 89 500 | 88 501 | 88 501 | -1,12% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 020 835 | 2 345 175 | 2 170 725 | 2 170 725 | -7,44% |
| Rental of buildings and associated costs | 730 020 | 875 075 | 770 595 | 770 595 | -11,94% |
| Information, communication technology and data processing | 1 139 656 | 1 307 000 | 1 241 830 | 1 241 830 | -4,99% |
| Movable property and associated costs | 19 704 | 10 000 | 5 000 | 5 000 | -50,00% |
| Current administrative expenditure | 12 604 | 48 100 | 48 100 | 48 100 | 0,00% |
| Postage / Telecommunications | 2 100 | 5 000 | 5 200 | 5 200 | 4,00% |
| Meeting expenses | 116 751 | 100 000 | 100 000 | 100 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 842 667 | 4 333 431 | 4 333 127 | 4 333 127 | -0,01% |
| Communication | 380 253 | 375 000 | 375 000 | 375 000 | 0,00% |
| Corporate Performance and Stakeholders | 208 781 | 248 000 | 248 000 | 248 000 | 0,00% |
| Operational projects | 3 853 633 | 3 310 431 | 3 310 127 | 3 310 127 | -0,01% |
| Operational Missions | 400 000 | 400 000 | 400 000 | 400 000 | 0,00% |
| TOTAL | 21 722 517 | 22 627 956 | 23 162 000 | 23 162 000 | 2,36% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | |
|-------------|------------------------|-------------|-------------------|-----|
| | Executed Budget | Budget 2023 | Draft Budget 2024 | VAR |

| | 2022 | | Agency request | Budget forecast | 2024/2023 (%) |
|--|-------------------|-------------------|-------------------|-------------------|---------------|
| Title 1 - Staff expenditure | 14 859 015 | 15 949 350 | 16 658 148 | 16 658 148 | 4,44% |
| Salaries & allowances | 14 345 527 | 15 226 000 | 16 027 047 | 16 027 047 | 5,26% |
| - Of which establishment plan posts | 11 711 320 | 12 298 000 | 12 919 674 | 12 919 674 | 5,06% |
| - Of which external personnel | 2 634 207 | 2 928 000 | 3 107 373 | 3 107 373 | 6,13% |
| Expenditure relating to Staff recruitment | 1 150 | 25 000 | 9 000 | 9 000 | -64,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 32 420 | 50 000 | 63 750 | 63 750 | 27,50% |
| Socio-medical infrastructure | 37 289 | 45 000 | 45 000 | 45 000 | 0,00% |
| Training | 93 666 | 200 000 | 159 000 | 159 000 | -20,50% |
| External Services | 278 252 | 309 850 | 261 850 | 261 850 | -15,49% |
| Receptions, events and representation | 1 500 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 69 211 | 89 500 | 88 501 | 88 501 | -1,12% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 020 835 | 2 345 175 | 2 170 725 | 2 170 725 | -7,44% |
| Rental of buildings and associated costs | 730 020 | 875 075 | 770 595 | 770 595 | -11,94% |
| Information, communication technology and data processing | 1 139 656 | 1 307 000 | 1 241 830 | 1 241 830 | -4,99% |
| Movable property and associated costs | 19 704 | 10 000 | 5 000 | 5 000 | -50,00% |
| Current administrative expenditure | 12 604 | 48 100 | 48 100 | 48 100 | 0,00% |
| Postage / Telecommunications | 2 100 | 5 000 | 5 200 | 5 200 | 4,00% |
| Meeting expenses | 116 751 | 100 000 | 100 000 | 100 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 842 667 | 4 333 431 | 4 333 127 | 4 333 127 | -0,01% |
| Communication | 380 253 | 375 000 | 375 000 | 375 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Corporate Performance and Stakeholders | 208 781 | 248 000 | 248 000 | 248 000 | 0,00% |
| Operational projects | 3 853 633 | 3 310 431 | 3 310 127 | 3 310 127 | -0,01% |
| Operational Missions | 400 000 | 400 000 | 400 000 | 400 000 | 0,00% |
| TOTAL | 21 722 517 | 22 627 956 | 23 162 000 | 23 162 000 | 2,36% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 62 209.

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2024, ETF proposes to maintain a stable number of establishment plan posts in 2024 compared to the budget 2023 (86 posts) which is fully supported by the Commission.

Financial Resources

Financial resources requested are in line with the financial programming for 2024-2027) which is fully supported by the Commission.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

During the period 2024-26, the ETF's total staff population will remain stable at 86. We also expect that the requests of part-time work from staff will remain stable and allow us to continue to offset them with additional temporary agents as foreseen by article 38.2 of the ETF Financial Regulation. Furthermore, considering the evolution of the Italian legislation and its related restrictions for the use of temporary workers, offsetting of the unplanned staff shortages is becoming very difficult. A possible solution, recommended by DG HR, is to offer short-term employment contracts to contract agents and ETF may resort to this in the future. The ETF's HCD experts are a scarce and valued resource on which the agency relies for its added value. All efforts will therefore continue to ensure that the proportion of staff allocated to operational activities is above 70%. With this in mind, between 2024 and 2026, a number of Temporary Agents will retire; some of those holding an AST contract are expected to be converted into AD positions. These conversions mainly concern the expert profiles for which recruitments will be done in AD5 and AD7 grades, as per EC recommendation in 2022.

As regards external personnel, the total number remains stable in 2023 at 43, including one Local Agent and additional potential short-term Contract Agents as abovementioned. No Seconded National Expert is envisaged during the period 2024. Finally, to deliver on additional services or new tasks, additional external staff is financed from contribution or service-level agreements negotiated.

4.1.2 Vacancy rate as of end 2022

As shown in the establishment plan 2022 only the position of Director was still vacant on 31 December 2022. The recruitment of the new ETF's Director is being finalised and the start date foreseen on 16 April 2023. As for the contract agents, one vacancy FG IV has been filled in on 1 January 2023 and the selection of the remaining 2 positions of FG III are already on-going.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

The main assumptions are:

- An annual salary increase linked to the estimated salary adaptation of +4.4% in 2023 and +3.4% in 2024 with no decrease in the weighting factor;
- An upward impact on salary costs linked to the automatic increase in step and the annual reclassification exercise.
- A volatile turnover because of the first wave of staff that may retire and with the aim of a complete establishment plan.
- Some savings from working conditions and from replacement of senior staff with junior staff.
- Offsetting of 40% of the costs of the Accountant Officer as per SLA with EU-OSHA on sharing of accounting services.
- Offsetting of project manager and of remuneration costs as per Contribution Agreement for DARYA.

4.1.5 Correction coefficient used

The correction coefficient is expected to remain stable at the current 94.7%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The forecast for expenditure on Title 1 - Staff expenditure, is based on the following assumptions: a cumulative salary adaptation in line with the Commission's assumptions⁴⁰ (+4.4% in 2023 and + 3.4% in 2024) and a no decrease in the weighting factor. In addition, an upward impact on salary costs due to the automatic increase in step and the annual reclassification exercise on the one hand, a complete establishment plan and savings deriving from the retirement of senior staff replaced by more junior staff on the other hand, both affect the Title 1 forecast. In addition, the ETF has introduced in 2021 a paid traineeship programme and we estimate to continue to offer it in 2024 and beyond. Overall, the expectation is that there will be a net increase of 4.4% in staff expenditure compared to the 2023 budget. This increase might appear steep, but in fact it shows stability and falls within an overall increase of 2.4% in the overall budget compared to 2023.

4.2.2 Title 2

The forecast for expenditure on Title 2 - infrastructure, is estimated at €2.2 million, a decrease of 4.3% compared to 2023. This mainly covers expenditure on facilities and IT. Improvements made to the premises in recent years and the additional measures adopted in 2022 to face the increase of energy costs, have ensured a contention on utilities. Main assumption is continuity on the level of consumption based on saving measures and on prices. The ETF environmental certification (EMAS) project has seen significant investment in 2020, including renovations for a healthier and more environmentally friendly building and facilities, contributing to overall lower maintenance costs.

No major works to the building are foreseen. The IT expenditure is expected to decrease while the ETF will continue to implement the planned digitalisation improvements in support of operational activities, as well as in terms of software licences and cybersecurity measures.

4.2.3 Title 3

As staff costs are expected to increase and infrastructure costs are expected to decrease, this ensures that the ETF is capable to maintain the budget forecast for Title 3 as compared to that of 2023, which mainly refers to operational activities. In fact, the initial assumption for planning the budget 2024 was to ensure that the budget for projects would remain the same as of 2023. On the one hand, this shows that the ETF has been increasingly able to deliver more value for money by increasing efficiency in delivering activities. In fact, the experience of 2020, 2021, 2022 and 2023 linked to COVID-19 and ETF's accelerated digitalisation, as well as its overall sustainability and increased partnerships have all enabled the ETF to re-consider the modalities used to deliver its activities. On the

⁴⁰ Budget Circular for 2024 – Agency Instructions (15 Dec 2022)

other hand, this also demonstrates ETF's ability to take in and efficiently deliver extra-subsidy projects that are aligned to its mandate and planned activities. While absorbing a reduction in 2023 (-12%), in 2024 stability and continuity is ensured for the resources available for Title 3 that should recover by 2026 assuming that Italy's coefficient stays stable in spite of inflationary pressures in the country, as well as that other Title 1 related costs do not increase above current inflation assumptions (e.g. social costs, medical support, trainees, etc.). However, it is important to highlight that recovery is fragile, inflation is at risk and, in general, this would still mean that the annual subsidy increase is mostly absorbed by Title 1. Currently this reduction is compensated by the additional EU funding, guaranteeing an overall increase in the operational funds corresponding to EUR 3 100 000 in 2022, EUR 4 300 000 in 2023 and EUR 3 800 000 in 2024, thus allowing the ETF to better fulfil its mandate. As per consolidated practice, savings in Title 1 will be assigned to operational activities and, if necessary, to infrastructure improvements.

4.3 Ad hoc grants and delegation agreements

Service level agreement with EU-OSHA for sharing of accounting services –started in July 2022– expecting 60 000€/year, indexed to salary costs (+3% yearly).

Implementation of the DARYA project for Central Asia on behalf of DG INTPA (a yearly EUR 2 000 000 for 5 years, started in 2022).

There are ongoing discussions around ACQF2 with African Union (EUR 5 000 000 for 5 years, expecting to start in 2023, through a contribution agreement with DG INTPA) and the negotiation on the implementation of the second phase of the project on the Centres of Vocational Excellence (CoVES2 – EUR 1 500 000 for 2 years, expected to start in 2023) through a service level agreement with DG EMPL represent other possible opportunities to be formalised in the course of 2023.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--------------|----------------------------------|--------------|--------------|-----------------|--|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Villa Gualino | Turin, Italy | 2 175 | 3 825 | 6 000 | 1 | Rent is covered by a Convention complemented by detailed service contracts | | No | |
| TOTAL | | | 2 175 | 3 825 | 6 000 | 1 | | | | |

5.1.2 Current building(s) Other comments

In view of the expiry of rental contract and convention in mid-2027, ETF has carried a pre-feasibility study on options that has been submitted to the EC. Each option has specific budget implications that will be well above the current infrastructure budget. These costs are not part of the Agency Statement on the understanding that a dedicated funding will be envisaged.

5.1.3 Building projects in the planning phase

The ETF does not have any building projects in the planning phase.

5.1.4 Building projects submitted to the European Parliament and the Council

The ETF does not have any building projects submitted to the European Parliament and the Council.

5.2 European Schools

There is no European school in Turin. Due to the absence of a European School in Turin, and the fact that there are several international schools, but which are extremely expensive, the ETF covers up to 50% of the cost above the ceiling foreseen in the Staff Regulations. This is done with the scope to support the ETF's capacity to attract and retain staff as a social support measure.

5.3 Evaluation

Evaluations in the ETF are integrated into the overall performance management system of the Agency and are commissioned either by the ETF or by the European Commission.

As an Agency of the European Commission, the ETF is subject to regular (4 year) evaluation by the Commission in consultation with the Governing Board of the implementation of the ETF Regulation. The Commission presents the results of the evaluation to the European Parliament, Council and European Economic and Social Committee following which the ETF formulates an action plan to remedy any problems or implement any recommendations. The action plan is entered into the ETF Improvement Plan with regular report to the Commission and Governing Board.

ETF commissioned evaluations mainly focus on assessing the extent to which the ETF's activities have achieved planned results, their added value and impact. According to the ETF Financial Regulation, retrospective evaluations shall be undertaken periodically and in sufficient time for the findings to be taken into account in ex-ante evaluations or impact assessments that support the preparation of related programme activities.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <ul style="list-style-type: none"> * Local premises have been provided by local authorities on the basis of a convention that provides for the following main essential points: ETF to participate in the costs of refurbishing the premises to be used, ETF to pay a symbolic rent, ETF to participate in the costs of maintenance of the building * General support is provided by Italy against unauthorized access or other forms of disturbances to the premises. *ETF is authorized to install and operate communications systems. * ETF official communication is not subject to any restrictions or to confidentiality breaches. *The Protocol of privileges and immunities is applicable to ETF. * ETF, its assets and funds may not be subject to administrative or legal measures of constraints. *Premises (including temporary premises) and buildings are inviolable, except in case of emergencies/ fire. * ETF, its assets and funds are exempt from taxes or direct duties, except for taxes on public services. * ETF is exempt from the payment of VAT for purchases of goods or service. The value of the exemption is established in the law applicable to international organizations in Italy. * ETF imports and exports are exempted from customs duties, taxes, prohibitions or restrictions. * Goods transported as hand baggage are treated as diplomatic luggage. * ETF vehicles are exempted from any taxes, duties or fees or from any import prohibitions/ restrictions. * ETF exempted from payment social security and health insurance contributions on salaries paid to staff, except for local agents⁴¹. | <ul style="list-style-type: none"> *Staff immune from legal proceedings for acts performed in the exercise of their official duties. * Staff exempted from taxes on salaries and emoluments paid by ETF. * Staff, spouses and dependent members of family not subject to immigration restrictions or registration formalities for foreigners. * For currency exchange staff has the same privileges as officials of equal rank in the diplomatic missions. * Staff, spouses and dependent members of family receive assistance for repatriation in the event of international crises. * Staff who is neither permanently resident in Italy at the time of their appointment, nor Italian, may, duty-free and without prohibitions and restrictions, import from the country of their last residence or the country of which they are nationals, when they first take up their post, for a period of one year from their appointment for a maximum of two shipments, their own furniture and personal effects, including a vehicle bought at the conditions of that country, which will be registered in special series. * Staff may export, in the year following the date of cessation of their duties, without prohibitions and restrictions, their own furniture and personal effects, including vehicles. * The ETF Director, spouse and dependent members of family, is granted privileges and immunities, facilities and concessions granted by the Italy to members of equivalent rank in the diplomatic corps in Italy. * Staff, who is neither permanently resident in Italy at the time of their appointment nor Italian, may, when they first take up their post, for a period of one year from their appointment purchase a motor vehicle free from taxes and charges. * Italy issues a special identity card to staff, spouses and dependent members of family to prove that the holder is ETF staff and enjoys privileges and immunities. | None |

⁴¹ In relation to the health pandemic there are ongoing difficulties in clarifying the health insurance coverage for ETF staff and access to the Italian national health service. This concerns questions about coverage, procedure and services, in particular in case of procedures which are only administered by the Italian national health service (e.g. vaccinations).

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|------------|--|
| 2002/187/JHA (OJ: L 63/1 06/03/2002) | 28/02/2002 | Eurojust's tasks comprise the coordination of multilateral investigations and prosecutions and improving and facilitating judicial cooperation in criminal matters. |
| 2003/659/JHA (OJ L 245/44 29/09/2003) | 18/06/2003 | Amending Decision 2002/187/JHA on some specific provisions regarding the adoption of the budget. Tasks and objectives remain the same. |
| 2009/426/JHA (OJ L 138/14 04/06/2009) | 16/12/2008 | Amending Decision 2002/187/JHA on the strengthening of Eurojust. Important changes are made, including on tasks and objectives of Eurojust. |
| 2018/1727 (OJ L 295/138 21/11/2018) | 14/11/2018 | Regulation (EU) 2018/1727 of the European Parliament and of the Council of 14 November 2018 on the European Union Agency for Criminal Justice Cooperation (Eurojust), and replacing and repealing Council Decision 2002/187/JHA |
| 2022/838 (OJ L 148 31/05/2022) | 30/05/2022 | Regulation (EU) 2022/838 of the European Parliament and of the Council of 30 May 2022 amending Regulation (EU) 2018/1727 as regards the preservation, analysis and storage at Eurojust of evidence relating to genocide, crimes against humanity, war crimes and related criminal offences |

1.2 Seat

The Hague, The Netherlands

1.3 Budget Line

07 10 07 : European Union Agency for Criminal Justice Cooperation (Eurojust)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 114 | 109 | 95,61% | 125 | 130 |
| Assistants (AST) | 107 | 112 | 104,67% | 107 | 109 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 221 | 221 | 100,00% | 232 | 239 |
| Contract Agents (CA) | 18 | 16 | 88,89% | 18 | 18 |
| Seconded National Experts (SNE) | 24 | 22 | 91,67% | 24 | 24 |
| TOTAL STAFF | 263 | 259 | 98,48% | 274 | 281 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 57 803 112 | 62 117 612 |
| Other Revenue | p.m. | |

| | | |
|-----------------------|-------------------|-------------------|
| TOTAL REVENUES | 57 803 112 | 62 117 612 |
|-----------------------|-------------------|-------------------|

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | p.m. | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | p.m. | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | p.m. | |
| TOTAL REVENUES | p.m. | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 29 534 212 | 29 534 212 | 32 978 185 | 32 978 185 |
| Title 2 - Infrastructure and operating expenditure | 12 322 500 | 12 322 500 | 13 920 627 | 13 920 627 |
| Title 3 - Operational expenditure | 10 913 400 | 15 946 400 | 11 030 800 | 15 218 800 |
| Title 4 - Operational projects expenditure | p.m. | p.m. | p.m. | p.m. |
| TOTAL EXPENDITURE | 52 770 112 | 57 803 112 | 57 929 612 | 62 117 612 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 1 | | | | 1 | | 1 | | 1 |
| AD 12 | | 2 | | 1 | | 2 | | 3 | | 3 |
| AD 11 | | 7 | | 3 | | 7 | | 6 | | 6 |
| AD 10 | | 14 | | 12 | | 14 | | 17 | | 15 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | | 23 | | 20 | | 23 | | 20 | | 20 |
| AD 8 | | 24 | | 12 | | 24 | | 26 | | 25 |
| AD 7 | | 23 | | 24 | | 26 | | 28 | | 27 |
| AD 6 | | 4 | | 10 | | 4 | | 5 | | 5 |
| AD 5 | | 15 | | 26 | | 23 | | 59 | | 27 |
| AD TOTAL | | 114 | | 109 | | 125 | | 166 | | 130 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | 1 | | | | 1 | | 1 | | 1 |
| AST 7 | | 1 | | 3 | | 1 | | 1 | | 1 |
| AST 6 | | 17 | | 13 | | 17 | | 17 | | 17 |
| AST 5 | | 53 | | 30 | | 53 | | 53 | | 53 |
| AST 4 | | 34 | | 40 | | 34 | | 42 | | 36 |
| AST 3 | | | | 17 | | | | 1 | | |
| AST 2 | | | | 8 | | | | 1 | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 107 | | 112 | | 107 | | 117 | | 109 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 221 | | 221 | | 232 | | 283 | | 239 |
| GRAND TOTAL | | 221 | | 221 | | 232 | | 283 | | 239 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 8 | 6 | 8 | 8 |
| Function Group III | 4 | 4 | 4 | 4 |
| Function Group II | 6 | 6 | 6 | 6 |
| Function Group I | | | | |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|-----------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 18 | 16 | 18 | 18 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 24 | 22 | 24 | 24 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 49 086 843 | 57 803 112 | 75 755 700 | 62 117 612 | 7,46% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 179 944 | 297 652 | 177 440 | 177 440 | -40,39% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 1 181 229 | p.m. | p.m. | | |
| 5 ADMINISTRATIVE OPERATIONS | 10 628 | p.m. | p.m. | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | p.m. | p.m. | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | p.m. | p.m. | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | p.m. | p.m. | | |
| TOTAL | 50 278 700 | 57 803 112 | 75 755 700 | 62 117 612 | 7,46% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | p.m. | p.m. | | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 5 807 621 | p.m. | p.m. | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 187 300 | p.m. | p.m. | | |
| TOTAL | 5 994 921 | p.m. | p.m. | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 26 835 663 | 29 534 212 | 43 167 400 | 32 978 185 | 11,66% |
| Salaries & allowances | 25 668 714 | 28 322 312 | 41 924 500 | 31 784 068 | 12,22% |
| - Of which establishment plan posts | 23 372 316 | 26 798 812 | 37 666 500 | 30 184 393 | 12,63% |
| - Of which external personnel | 2 296 398 | 1 523 500 | 4 258 000 | 1 599 675 | 5,00% |
| Expenditure relating to Staff recruitment | 149 449 | 171 900 | 109 000 | 60 217 | -64,97% |
| Employer's pension contributions | | | | | |
| Mission expenses | 40 700 | 90 000 | 83 000 | 83 000 | -7,78% |
| Socio-medical infrastructure | 227 561 | 241 500 | 304 000 | 304 000 | 25,88% |
| Training | 304 871 | 369 600 | 366 000 | 366 000 | -0,97% |
| External Services | 394 939 | 303 900 | 345 900 | 345 900 | 13,82% |
| Receptions, events and representation | | | | | |
| Social welfare | 49 429 | 35 000 | 35 000 | 35 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 12 849 723 | 12 322 500 | 17 179 500 | 13 920 627 | 12,97% |
| Rental of buildings and associated costs | 6 364 839 | 6 406 500 | 7 828 000 | 6 534 630 | 2,00% |
| Information, communication technology and data processing | 6 139 188 | 5 578 500 | 8 606 000 | 6 675 665 | 19,67% |
| Movable property and associated costs | 94 922 | 89 100 | 454 000 | 454 000 | 409,54% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 39 380 | 56 800 | 60 900 | 60 900 | 7,22% |
| Postage / Telecommunications | 211 394 | 191 600 | 230 600 | 195 432 | 2,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 12 116 169 | 10 913 400 | 11 220 800 | 11 030 800 | 1,08% |
| Meetings, trainings and representation expenses | 2 839 587 | 3 198 300 | 3 648 600 | 3 648 600 | 14,08% |
| Operational and experts missions | 1 743 140 | 2 333 700 | 746 300 | 746 300 | -68,02% |
| Public relations and publications | 815 988 | 809 200 | 917 400 | 917 400 | 13,37% |
| Data processing and documentation expenditure | 3 911 263 | 1 318 000 | 3 202 300 | 3 202 300 | 142,97% |
| Translation of documents | 365 000 | 750 000 | | | -100% |
| EJN projects, meetings and other expenses | 428 247 | 435 000 | 435 000 | 435 000 | 0,00% |
| EJCN meetings and other expenses | | | 190 000 | | |
| Joint Investigation Team (JIT) meetings and other expenses | 1 951 114 | 2 002 000 | 2 011 100 | 2 011 100 | 0,45% |
| Meetings on genocide and other expenses | 61 830 | 67 200 | 70 100 | 70 100 | 4,32% |
| Title 4 - Operational projects expenditure | 3 106 981 | p.m. | p.m. | p.m. | |
| Operational expenditure related to projects based on agreements | 3 106 981 | p.m. | p.m. | p.m. | |
| TOTAL | 54 908 536 | 52 770 112 | 71 567 700 | 57 929 612 | 9,78% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 26 770 563 | 29 534 212 | 43 167 400 | 32 978 185 | 11,66% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Salaries & allowances | 25 622 827 | 28 322 312 | 41 924 500 | 31 784 068 | 12,22% |
| - Of which establishment plan posts | 23 340 911 | 26 798 812 | 37 666 500 | 30 184 393 | 12,63% |
| - Of which external personnel | 2 281 916 | 1 523 500 | 4 258 000 | 1 599 675 | 5,00% |
| Expenditure relating to Staff recruitment | 133 475 | 171 900 | 109 000 | 60 217 | -64,97% |
| Employer's pension contributions | | | | | |
| Mission expenses | 34 702 | 90 000 | 83 000 | 83 000 | -7,78% |
| Socio-medical infrastructure | 239 013 | 241 500 | 304 000 | 304 000 | 25,88% |
| Training | 322 220 | 369 600 | 366 000 | 366 000 | -0,97% |
| External Services | 378 348 | 303 900 | 345 900 | 345 900 | 13,82% |
| Receptions, events and representation | | | | | |
| Social welfare | 39 978 | 35 000 | 35 000 | 35 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 15 109 188 | 12 322 500 | 17 179 500 | 13 920 627 | 12,97% |
| Rental of buildings and associated costs | 6 630 574 | 6 406 500 | 7 828 000 | 6 534 630 | 2,00% |
| Information, communication technology and data processing | 8 191 870 | 5 578 500 | 8 606 000 | 6 675 665 | 19,67% |
| Movable property and associated costs | 63 233 | 89 100 | 454 000 | 454 000 | 409,54% |
| Current administrative expenditure | 24 459 | 56 800 | 60 900 | 60 900 | 7,22% |
| Postage / Telecommunications | 199 052 | 191 600 | 230 600 | 195 432 | 2,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 9 996 317 | 15 946 400 | 15 408 800 | 15 218 800 | -4,56% |
| Meetings, trainings and representation expenses | 2 500 651 | 3 198 300 | 3 648 600 | 3 648 600 | 14,08% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Operational and experts missions | 1 715 879 | 2 333 700 | 746 300 | 746 300 | -68,02% |
| Public relations and publications | 861 840 | 809 200 | 917 400 | 917 400 | 13,37% |
| Data processing and documentation expenditure | 2 581 782 | 6 543 000 | 7 422 300 | 7 422 300 | 13,44% |
| Translation of documents | 347 612 | 750 000 | | | -100% |
| EJN projects, meetings and other expenses | 460 579 | 435 000 | 435 000 | 435 000 | 0,00% |
| EJCN meetings and other expenses | | | 190 000 | | |
| Joint Investigation Team (JIT) meetings and other expenses | 1 451 342 | 1 810 000 | 1 979 100 | 1 979 100 | 9,34% |
| Meetings on genocide and other expenses | 76 632 | 67 200 | 70 100 | 70 100 | 4,32% |
| Title 4 - Operational projects expenditure | 2 364 510 | p.m. | p.m. | p.m. | |
| Operational expenditure related to projects based on agreements | 2 364 510 | p.m. | p.m. | p.m. | |
| TOTAL | 54 240 578 | 57 803 112 | 75 755 700 | 62 117 612 | 7,46% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 177 440.

4 Justification of needs

Commission assessment

Human Resources

Eurojust is a key agency in the security union area and needs additional human resources to cope with an ever increasing workload and other operational challenges. During the last years, the agency has dealt with increases of two digits in its workload in number of cases. In addition, new challenges such as the negotiation of agreements with 13 third countries and the implementation of the Digitalisation of Criminal Justice (DCJ) project, as well as the current crisis in Ukraine will add additional workload to the agency in the next years. The MFF 2021-2027 did not take into consideration these new challenges, and consequently the MFF 2021-2027 does not include additional staff for the agency during the period. Rather the contrary, the LFS on the EPPO required some cuts on staff in Eurojust to allocate those staff to the EPPO. Eurojust prepared an assessment of its actual needs in terms of staff for the next years to absorb such increasing casework, foster the operational cooperation with partners and third countries and launch the DCJ program. This assessment projected a need of additional 66 staff in the upcoming years to be able to fulfil all the tasks allocated to the agency. Eurojust estimated that in 2024 it would need a total of 440 statutory and non-statutory staff (283 Temporary staff (TA), 24 Contract staff (CA), 26 SNEs and 107 Non-statutory staff).

Some of these activities will be supported by targeted increases of staff as the EU legislator has recently amended Eurojust's legal basis and awarded further resources. The proposal for the regulation to improve the digital information exchange in terrorism cases (CTR proposal) includes an allocation of additional 25 FTEs to develop, install and maintain both, the Case Management System (CMS) and the Counter-Terrorism Register (CTR).

In addition, in June 2022 the EU legislator approved an amendment of the Eurojust Regulation as regards the preservation, analysis and storage of evidence relating to genocide, crimes against humanity, war crimes and related criminal offences. Its legislative financial statement included 16 FTEs to develop and manage this Core International Crimes Evidence Database (CICED).

For 2024, Eurojust's request includes 283 temporary staff (TA), i.e., 51 more than in 2023, including 2 posts already foreseen for 2024 in the CTR LFS proposal and 49 additional posts. The latter requests consist of 38 temporary staff identified in Eurojust's multi-annual staffing outlook for 2024, including those foreseen but not received for 2022-2023, 5 temporary staff foreseen in Commission's CTR LFS initially for 2025 but requested to be frontloaded to 2024, and 6 temporary staff needed to establish the new European Judicial Cybercrime Network (EJCN) secretariat.

In addition, Eurojust's Draft Budget 2024 estimate includes budgetary provisions for 6 additional contract staff (CA) as per the agency's multi-annual staffing outlook and 2 additional SNEs for the new EJCN secretariat. More particularly, the 44 staff requests (38 TA, 6 CA) stemming from the staffing outlook support the agency's key strategic priorities as regards the: "modernisation" of its operational services (14 TA/6 CA); "sustainability" of its operational services (15 TA); "professionalisation" of its support services (5 TA); and "cooperation" strategy with its partners (4 TA).

As per the information on the development and implementation of the new CMS, Eurojust is already implementing what is foreseen in the LFS, so for this reason the frontloading of 5 posts for 12 months instead of 6 months as initially assigned for 2025 is a logical consequence of the rhythm of the project, which the Commission fully supports.

For the rest of requests for additional staff, while the Commission acknowledges the constraints of Eurojust's staff resources, the Commission is not in a position to support it.

Financial Resources

Eurojust's DB 2024 estimate amounts to EUR 71 567 700 in commitment appropriations, implementing the agency's draft Multi-Annual Strategy (MAS) 2022-2024 and allocating the 74% of the agency's resources to its core tasks and priorities, under MAS objectives 1-Casework (46%), 2-Cooperation (11%) and 3-Digitalisation (17%).

The DB 2024 estimate exceeds by EUR 15.5 M (28%) the revised MFF baseline for year 2024 (EUR 56.1 M). The deviation is due to:

Increases to account for higher inflation growth:

- Staff and SNE cost adjustments (EUR 2.8 M)
- Building rent, maintenance, and other services increase (EUR 1 M)

Increases to support infrastructure investments:

- ICT infrastructure investments (EUR 2.5 M)
- New satellite building (EUR 1 M)

Increases to establish the new EJCN secretariat (EUR 1.1 M)

Increases to support staffing growth for agency MAS priorities:

- Posts foreseen for 2025 in the CTR LFS & requested to be frontloaded (EUR 0.8 M)
- Staffing needs identified in Eurojust's HR outlook (EUR 6 M)
- Horizontal staff service costs (EUR 0.3 M)

While the Commission acknowledges the impact of inflation on all its Agencies in 2024, it supports EUR 57 929 612 in the commitment appropriations and EUR 62 117 612 in payment appropriations for Eurojust in 2024.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The total of Eurojust statutory and non-statutory staff in 2024 will amount to:

- Temporary staff (TA): 283
- Contract staff (CA): 24
- SNE: 26

- Non-statutory staff: 107

Total: 440

The draft Establishment Plan 2024 includes 283 temporary staff (TA), i.e. 51 more than in 2023.

These comprise 2 posts already foreseen for 2024 under Commission's Legislative Financial Statement (LFS) on the digital information exchange in terrorism cases (COM/2021/757 Final, henceforth "CTR LFS") and requests for 49 additional posts. The latter requests consist of 38 temporary staff identified in Eurojust's multi-annual staffing outlook for 2024, including those foreseen but not received for 2022-2023, 5 temporary staff foreseen in Commission's CTR LFS initially for 2025 but requested to be frontloaded to 2024, and 6 temporary staff needed to establish the new European Judicial Cybercrime Network (EJCN) secretariat.

In addition, Eurojust's Draft Budget 2024 estimate includes budgetary provisions for 6 additional contract staff (CA) as per the agency's multi-annual staffing outlook and 2 additional SNEs for the new EJCN secretariat. More particularly, the 44 staff requests (38 TA, 6 CA) stemming from the staffing outlook support the agency's key strategic priorities as regards the: "modernisation" of its operational services (14 TA/6 CA); "sustainability" of its operational services (15 TA); "professionalisation" of its support services (5 TA); and "cooperation" strategy with its partners (4 TA).

Further justifications for Eurojust's 2024 human resource estimates can be found in the agency's Draft Budget general guidelines, provided as Annex V of Eurojust's College Decision 2023-01 of 31 January 2023.

4.1.2 Vacancy rate as of end 2022

On 31 December 2022, 221 temporary staff posts were filled, leading to a vacancy rate of 0%, same as in 2021. Offer letters are counted as filled posts.

4.1.3 Standard abatement ('abatement forfaitaire') applied

A 2% vacancy rate is applied to account for turnover and part-time incumbency of posts.

4.1.4 Salary assumption for calculating salary line (% applied)

The percentage applied is 98%, meaning that a vacancy rate of 2% is used for estimating the salary costs for 2024.

4.1.5 Correction coefficient used

A 11,3% correction coefficient is used for 2024 (2% correction coefficient increase from 2023).

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Eurojust's Draft Budget 2024 estimate reflects the agency's priorities set in its Multi-Annual Strategy (MAS) 2022-2024 and its multi-annual workload projections and new tasks. It amounts to EUR 71 567 700 and contributes to the MAS 2022-2024, by allocating the 74% of the agency's human and financial resources to its core tasks and priorities, under MAS objectives 1-Casework (46%), 2-Cooperation (11%) and 3-Digitalisation (17%).

At EUR 71,6 M, Eurojust's Draft Budget 2024 request exceeds by EUR 15,5 M (28%) the revised MFF baseline (EUR 56,1 M). This MFF deviation reflects other significant factors and agency needs that the initial MFF provision for a standard 2% deflator cannot sufficiently accommodate, namely:

- A higher inflation growth, particularly concerning staff/SNE cost adjustments (EUR 2,8 M) and building's rent, maintenance and other service cost increases (EUR 1 M);
- Key infrastructure investments, specifically related to the ICT infrastructure (EUR 2,5 M) and the new satellite building (EUR 1 M);
- The new EJCN secretariat (EUR 1,1 M); and
- The staffing growth required to achieve Eurojust's MAS priorities, including the frontloading of 5 posts initially identified for 2025 in COM's CTR LFS (EUR 0,8 M), 44 additional staff as identified in the agency's multi-annual staffing outlook (EUR 6 M) and the corresponding cost increases in other horizontal staff services (EUR 0,3 M).

Section 4.2 of this Agency Financial Statement presents in more detail the Eurojust budget chapters with the most "significant" increases over the 2023 budget.

Further justifications for Eurojust's 2024 financial resource estimates can be found in the agency's Draft Budget general guidelines, provided as Annex V of Eurojust's College Decision 2023-01 of 31 January 2023.

EUR 43 167 400 in both Commitment and Payment appropriations

| | |
|--|---|
| Title 1 “significant” increases (over 2023 budget) | EUR 12 M |
| <p>1. Salaries and allowances (Chapter 11)</p> <p>This increase is due to:</p> <ul style="list-style-type: none"> - The additional costs for 51 new temporary staff (↑ EUR 7,3 M), 6 new contract staff (↑ EUR 468 K) and 2 new SNE (↑ EUR 156 K), as per the detailed justifications provided in Annex V of Eurojust’s Draft Budget 2024 general guidelines; - The annual statutory remuneration adjustments for the 232 temporary (↑ EUR 3,3 M), 18 contract staff (↑ EUR 162 K) and 24 SNE (↑ EUR 216 K), authorised for 2023; - An estimated increase in the European School subsidy (↑ EUR 300 K), reflecting indexation adjustments and a forecasted growth in children registrations, also driven by the requested staff increases; and - An estimated increase for the paid internships programme (↑ EUR 47 K), providing a grant-based remuneration for a higher number of interns. | <p>EUR 11,9 M</p> <p>(↑40%)</p> <p>(1), (2)</p> |
| <p>2. Socio-medical infrastructure (Chapter 14)</p> <p>This increase primarily reflects the higher use of the Eurojust doctor services due to the requested staff increases (↑ EUR 20 K), the additional kitchenette costs related to the new satellite building (EUR 14 K) and the higher canteen service costs under the new joint contract with Europol (↑ EUR 6 K).</p> | <p>EUR 63 K</p> <p>(↑26%)</p> |
| <p>3. External services (Chapter 15)</p> <p>This increase primarily reflects the higher Commission Service Level Agreement (SLA) costs for Pay Master Office services.</p> | <p>EUR 42 K</p> <p>(↑14%)</p> |

(1) Technically, budget chapter 11 shows a significantly higher increase over the 2023 budget (EUR 13,6 M, 48%), because as of 2024 it also incorporates the SNE costs (previously planned under chapter 31) and the staff entry/exit expenses (previously planned under chapter 12). These budget structure changes have zero net effect on the Draft Budget 2024 estimate.

(2) Eurojust estimates its staff salaries in line with its methodology that still results in more modest estimates compared to that followed by the Commission, by using: as basis the 2022 average actual salaries per post type (i.e. AD, AST, FG, SNE); Commission’s assumptions for indexation increases in 2023-2024; Eurojust’s College assumptions for correction coefficient adjustments in 2023-2024; an assumed vacancy rate of 2% in 2024; and a provision for expected step changes and possible reclassifications.

4.2.2 Title 2

EUR 17 179 500 in both Commitment and Payment appropriations

| | |
|---|---|
| Title 2 “significant” increases (over 2023 budget) | EUR 4,9 M |
| <p>4. Information management and data processing expenditure (Chapter 21)</p> <p>This increase is required to provide a modern and secure ICT infrastructure that will assure Eurojust’s business continuity and support the agency’s digitalisation priorities, beyond the scope of developments foreseen in Commission’s CTR LFS). The net increase over the 2023 budget offsets a number of reductions in ICT organisational project costs (↓ EUR 290 K) and reflects other investments, mainly related to the implementation of new ICT infrastructure components, including:</p> <ul style="list-style-type: none"> - A new EUCI infrastructure (↑ EUR 1.7M) and its disaster recovery plan (EUR 1.3 M new); and - An IT disaster recovery plan for the office network (↑ EUR 95 K). | <p>EUR 3 M</p> <p>(↑54%)</p> <p>(1)</p> |
| <p>5. Rental of building and associated costs (Chapter 20)</p> <p>This increase relates to:</p> <ul style="list-style-type: none"> - Building and ground rent (↑ EUR 729 K), reflecting: (i) inflationary adjustments for the current premises’ rent based on Eurojust’s lease agreement with the host state and the estimated Consumer Price Index in the Netherlands (↑ EUR 399 K); and (ii) the rent for the new satellite building, assuming 10% of the current building rent for approximately 2 000 m2 and 160 workspaces (EUR 330 K new); - Maintenance, cleaning and utilities (↑ EUR 679 K), reflecting: (i) inflationary adjustments for the current building’s maintenance activities, including services foreseen under the host state SLA (↑ EUR 211K), utilities (↑ EUR 118 K) and cleaning services (↑ EUR 65 K); and (ii) maintenance services for the new satellite building, assuming approximately 10% of the corresponding costs in the current premises (EUR 285 K). | <p>EUR 1,4 M</p> <p>(↑22%)</p> <p>(2)</p> |
| <p>6. Movable property and associated costs (Chapter 22)</p> <p>This increase is mainly due to the new furniture for the assumed 160 workspaces of the new satellite building.</p> | <p>EUR 365 K</p> |

| | |
|--|--------------------|
| | (↑410%) |
| 7. Postage and telecommunications (Chapter 24) This increase concerns the telecommunication and internet service charges, reflecting the actual 2022 budget implementation trends and the expected inflationary impact for 2023-2024. | EUR 39 K (↑20%) |

(1) Eurojust's Draft Budget 2024 estimate includes no budgetary provision for the implementation of the new cyber and information security regulations. Pending further information from ongoing discussions and an assessment of the exact agency requirements, Eurojust will need to estimate the related resources and may follow up with the Commission to receive these resources through an amending budget.

(2) Eurojust will need to re-estimate the costs at a later stage, in line with the latest inflation developments and ongoing discussions with the host state for increasing its utility costs (↑~EUR 1 M) and with the external provider for increasing the security service costs (↑~EUR 300 K). Subsequently, Eurojust may follow up with the Commission to cover these costs through an amending budget.

4.2.3 Title 3

EUR 11 220 800 in Commitment appropriations and EUR 15 408 800 in Payment appropriations (1)

| | |
|--|----------------------------|
| Title 3 "significant" increases (over 2023 budget) | EUR 1,9 M |
| 8. Data processing and documentation expenditure (Chapter 33) While partly offset by a reduction in existing ICT operational project costs (↓ EUR 110 K), this increase relates to the: - New Eurojust Case Management System (CMS) development (↑ EUR 1 M), as per the yearly cost foreseen in Commission's CTR LFS; and - New ORBIS database (↑ EUR 218 K), as per the yearly subscription costs for accessing this new database that provides detailed information on a high number of companies and entities worldwide, thus supporting Eurojust's casework through enabling asset freezing/recovery and links identification. | EUR 1,1 M (↑55%) (2) |
| 9. Meetings, trainings and representation expenses (Chapter 30) This increase relates to: - Coordination meetings and centres (↑ EUR 334 K), reflecting the foreseen growth in the number and average cost of meetings, driven respectively by the ever-increasing demand for Eurojust's operational services and the high inflation of which the impact is already evident in the actual 2022 costs, mostly concerning travel and accommodation costs; and - Other Eurojust meetings (↑ EUR 116 K), reflecting a higher number and average cost for these meetings, as per the draft 2024 work plans of College's operational working groups and the actual 2022 budget trends. Concerning specifically coordination meetings, Eurojust expects to offset most of the budgetary impact, through continuing to capitalise on the lessons learnt from the COVID-19 pandemic and thus organise a minimum percentage of hybrid (30%) and virtual (10%) meetings. The planned investments in the agency's computer infrastructure are essential for retaining these COVID-19 efficiencies. | EUR 450 K (↑14%) |
| 10. EJCN meetings and other expenses (Chapter 36) This increase reflects the additional costs for stepping up Eurojust's support to the EJCN, with the establishment of a dedicated new secretariat, in line with the Council Conclusions of 25 November 2022. This covers the non-staff expenses for this new secretariat, mainly related to the organisation of 2 EJCN plenary meetings (previously budgeted as other Eurojust meetings under Chapter 30) and of other meetings for the 5 EJCN subgroups, as well as the development and maintenance of a new dedicated EJCN website to serve as a useful resource for judicial practitioners. | EUR 190 K (New) |
| 11. Public relations and publications (Chapter 32) This increase reflects the actual 2022 budget costs for Annual Report translations through the EU translations centre (↑ EUR 77 K) and an extended licence for stock video and photography service subscriptions (↑ EUR 21 K). | EUR 108 K (↑13%) |

(1) Title 3 and total payment appropriations exceed the commitment ones by EUR 4 188 000, since Eurojust uses differentiated appropriations for the DCJ programme/projects and Joint Investigation Team (JIT) grants.

(2) Technically, budget chapter 33 shows a significantly higher increase over the 2023 budget (EUR 1,9 M, 143%), because as of 2024 it also incorporates the amount for the translation of documents (previously planned under chapter 34). This budget structure change has zero net effect on the Draft Budget 2024 estimate.

4.3 Ad hoc grants and delegation agreements

In accordance with FFR Articles 7 and 43.2, Eurojust plans to implement operational projects with external funding received based on corresponding grant, contribution and/or service level agreements. Eurojust will treat this funding as external assigned revenue and implement this expenditure under budget title 4 (Operational projects expenditure).

Eurojust's draft Single Programming Document 2024-2026 provides an overview and high-level plan for the ongoing and expected agreements (refer to Annex XI), summarises their scope and link to the agency's mandate and objectives (refer to objective 2.3.1 of the Annual Work Programme 2024) and provides further information on their financial and human resources (refer to respective Annexes III and IV).

More specifically, in 2024 Eurojust will or may implement externally funded agreements related to the:

- EuroMed Justice programme (ongoing), with a total amount of EUR 5 000 000 for 2020-2023 and the extension of the programme for 2024-2028 already confirmed but the resources estimated for 2024 not yet known (subject to further consultation with the Commission);
- SIRIUS project (ongoing), with a total amount of EUR 1 265 436 for 2021-2024 and an amount of EUR 382 393 and 2 FTE of contract staff estimated for 2024;
- Western Balkans Criminal Justice project (ongoing), with a total amount up to EUR 6 M for 2022-2026 and an amount of EUR 1 600 000 and 5 FTE of contract staff estimated for 2024;
- Intellectual Property Crime project (ongoing), with a total amount of EUR 750 000 for 2021-2024 and an amount of EUR 259 402 and 2 FTE of contract staff estimated for 2024; and
- Impunity Observatory project (expected to be initiated in 2023), with a total amount up to EUR 3 M for 2023-2027 but the resources estimated for 2024 not yet known (subject to further consultation with the Commission).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|---|-----------------------------------|--------------|---------------|------------------|---|----------------|---|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Eurojust Building (office and parking) | Johan de Wittlaan 9 2517 JR The Hague The Netherlands | 20 231 | 8 277 | 28 508 | 3 299 000 | 20 years as of date of delivery (24 March 2017) | Lease contract | Estimated rent per year for the Host State is twice the amount paid by Eurojust | |
| TOTAL | | | 20 231 | 8 277 | 28 508 | 3 299 000 | | | | |

5.1.2 Current building(s) Other comments

Eurojust's lease agreement with the host state is based on a 'build-and-maintain' concept, as approved by the Commission. In addition to the annual rent (calculated as per Annex IV of the lease agreement), Eurojust also incurs an annual fee and/or costs with the host state (calculated as per Annex VI of the lease agreement) for:

- Maintenance services that consist of (i) the SLA for preventive and corrective maintenance, including replacements (estimated at EUR 800 000 for 2024); and (ii) any additional work due to changes to the building upon Eurojust's request or damages caused by Eurojust (estimated at EUR 264 000 for 2024); and
- Utilities which are estimated at EUR 465 000 for 2024, excluding the energy tax for which Eurojust is exempt.

5.1.3 Building projects in the planning phase

| # | Building Name and type | Location | SURFACE AREA (in m ²) | RENTAL CONTRACT | Host country (grant or support) | Building present |
|---|------------------------|----------|-----------------------------------|-----------------|---------------------------------|------------------|
|---|------------------------|----------|-----------------------------------|-----------------|---------------------------------|------------------|

| | | Office space | non-office | Total | RENT (€/year) | Duration | Type | support) | value(€) | |
|--------------|-----------------------------|---|--------------|-----------|---------------|----------------|---|----------------|---|-----|
| 1 | Eurojust satellite building | Jan Willem Frisolaan 13 2517JS The Hague The Netherlands | 2 700 | 60 | 2 760 | 330 000 | To be specified after completion of the lease agreement | Lease contract | To be specified after completion of the lease agreement | N/A |
| TOTAL | | | 2 700 | 60 | 2 760 | 330 000 | | | | |

Other comments

The satellite office is expected to have a maximum capacity of 160 workspaces and its costs are provisionally estimated to approximate 10% of the corresponding costs for the current building.

In addition to the above annual rent, Eurojust expects to incur also an annual fee and/or costs with the host state for:

- Maintenance services that consist of (i) the SLA for preventive and corrective maintenance, including replacements (estimated at EUR 80 000 for 2024); and
- Utilities which are estimated at EUR 46 500 for 2024, excluding the energy tax for which Eurojust is exempt.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

| Agreement in place with the European School(s) of: | The Hague | | | |
|---|--|---|----|---|
| Contribution agreements with Commission on type I European schools | Yes | | No | √ |
| Contribution agreements with Commission on type II European schools | Yes | √ | No | |
| Number of service contracts in place with international schools: | N/A | | | |
| Description of any other solutions or actions in place: | International education facilities in the Hague include the International school of the Hague as well as the American, British, French and German schools. | | | |

5.3 Evaluation

Following the entry into force of the EJR as of 12 December 2019 and as foreseen in Article 69, by 13 December 2024 the Commission is expected to commission an evaluation of the implementation and impact of the EJR, and the effectiveness and efficiency of Eurojust and its working practices. The Commission has already started preparations for this evaluation that Eurojust will support as required.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|---|---|
| | Protocol of privileges and immunities/diplomatic status | Education/day care |
| The privileges and immunities of the agency are based on the Seat Agreement between Eurojust and the Netherlands, the Agreement on Privileges and Immunities between Eurojust and the Netherlands and Protocol No.7 annexed to the EU Treaty. Within the scope of its official activities, Eurojust is | The privileges and immunities of Eurojust post-holders are based on the Seat Agreement between Eurojust and the Netherlands, the Agreement on Privileges and Immunities between Eurojust and the Netherlands and Protocol No.7 annexed to the EU Treaty. Two different regimes apply: | Eurojust staff receive education allowances for school fees. Staff has to pay for the education of its children. As a courtesy of the Host |

| | | |
|--|---|--|
| <p>exempt, inter alia, from: import taxes and duties, motor vehicle tax, tax on passenger motor vehicles and motorcycles, value-added tax paid on goods and services supplied on a recurring basis or involving considerable expenditure, excise duties included in the price of alcoholic beverages and hydrocarbons such as fuel oils and motor fuels, real property transfer tax, insurance tax, energy tax and, tax on water mains.</p> <p>The host state authorities shall ensure that the Headquarters is supplied with electricity, water, sewerage, gas, post, telephone, telegraph, local transportation, drainage, collection of refuse, fire protection and snow removal from public streets.</p> <p>The Dutch Government shall permit Eurojust to communicate freely without the need for special permission and to dispatch and receive official correspondence by courier or in sealed bags which shall have the same privileges and immunities as diplomatic couriers and bags.</p> | <p>National Members, Deputies and Assistants as well as the Administrative Director and Heads of Units/Services and their family members are granted “AO” status by the host state and benefit from certain VAT exemptions and, exemption from excise duties for alcoholic beverages, tobacco and fuel.</p> <p>“AO” status also provides for exemption from tax on cars and motorcycles (BPM) and road tax (MRB) for two cars registered on the post-holder’s name at the same time.</p> <p>Eurojust post-holders are exempted from VAT for the purchase of cars. Eurojust staff members are exempted from Dutch income tax and from all compulsory contributions to the social security organisations of the Netherlands.</p> <p>Additional exemptions include duties in relation to water authority charges, municipal tax on second homes, dog licences and tax for installations on public land or water.</p> | <p>State, Eurojust post-holders may request the Dutch subsidy for the reimbursement of a percentage of day care and after-school care costs of accredited centres.</p> <p>Eurojust reimburses in full the tuition fees of staff whose children are studying at the European School in The Hague.</p> |
|--|---|--|

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|-----------------|--|
| Council Regulation (EU) 2017/1939 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ('the EPPO') | 12 October 2017 | The EPPO shall be responsible for investigating, prosecuting and bringing to judgment the perpetrators of, and accomplices to, criminal offences affecting the financial interests of the Union which are provided for in Directive (EU) 2017/1371 and determined by this Regulation. In that respect the EPPO shall undertake investigations, and carry out acts of prosecution and exercise the functions of prosecutor in the competent courts of the Member States, until the case has been finally disposed of. |

1.2 Seat

Luxembourg, Grand Duchy of Luxembourg

1.3 Budget Line

07 10 08 : European Public Prosecutor's Office (EPPO)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 127 | 114 | 89,76% | 141 | 141 |
| Assistants (AST) | 40 | 33 | 82,50% | 46 | 46 |
| Assistants/Secretaries (AST/SC) | 4 | 2 | 50,00% | 4 | 4 |
| ESTABLISHMENT PLAN POSTS | 171 | 149 | 87,13% | 191 | 191 |
| Contract Agents (CA) | 48 | 36 | 75,00% | 28 | 28 |
| Seconded National Experts (SNE) | 29 | 22 | 75,86% | 29 | 29 |
| TOTAL STAFF | 248 | 207 | 83,47% | 248 | 248 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 65 496 394 | 65 888 321 |
| Other Revenue | | |
| TOTAL REVENUES | 65 496 394 | 65 888 321 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 31 715 958 | 31 715 958 | 31 485 789 | 31 485 789 |
| Title 2 - Infrastructure and operating expenditure | 6 937 053 | 6 937 053 | 7 022 282 | 7 022 282 |
| Title 3 - Operational expenditure | 26 843 383 | 26 843 383 | 27 380 250 | 27 380 250 |
| TOTAL EXPENDITURE | 65 496 394 | 65 496 394 | 65 888 321 | 65 888 321 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 22 | | 22 | | 23 | | 25 | | 23 |
| AD 12 | | 2 | | | | 3 | | | | 3 |
| AD 11 | | 3 | 1 | | | 2 | | 4 | | 2 |
| AD 10 | | 7 | | 6 | | 9 | | 8 | | 9 |
| AD 9 | | 7 | | 7 | | 7 | | 7 | | 7 |
| AD 8 | | 7 | | 2 | | 17 | | 19 | | 17 |
| AD 7 | | 42 | | 31 | | 40 | | 55 | | 40 |
| AD 6 | | 32 | | 34 | | 29 | | 49 | | 29 |
| AD 5 | | 3 | 1 | 8 | | 9 | | 37 | | 9 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD TOTAL | | 127 | 2 | 112 | | 141 | | 206 | | 141 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | | | 1 | | | | 1 |
| AST 8 | | 1 | | | | 1 | | | | 1 |
| AST 7 | | | | | | | | | | |
| AST 6 | | 2 | | | | 3 | | 1 | | 3 |
| AST 5 | | 8 | | 2 | | 13 | | 3 | | 13 |
| AST 4 | | 15 | | 17 | | 22 | | 81 | | 22 |
| AST 3 | | 13 | | 14 | | 6 | | 88 | | 6 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 40 | | 33 | | 46 | | 173 | | 46 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 1 | | | | 1 | | | | 1 |
| AST/SC 2 | | 3 | | 2 | | 3 | | | | 3 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 4 | | 2 | | 4 | | | | 4 |
| TOTAL | | 171 | 2 | 147 | | 191 | | 379 | | 191 |
| GRAND TOTAL | 171 | | 149 | | 191 | | 379 | | 191 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 27 | 17 | 14 | 14 |
| Function Group III | 18 | 18 | 14 | 14 |
| Function Group II | 3 | 1 | | |
| Function Group I | | | | |
| TOTAL | 48 | 36 | 28 | 28 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| | | | | |

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 29 | 22 | 29 | 29 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 51 245 094 | 65 496 394 | 94 378 000 | 65 888 321 | 0,60% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 43 248 | 895 298 | 1 580 592 | 1 580 592 | 76,54% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 51 245 094 | 65 496 394 | 94 378 000 | 65 888 321 | 0,60% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 20 669 297 | 31 715 958 | 45 103 000 | 31 485 789 | -0,73% |
| Salaries & allowances | 17 612 624 | 27 746 367 | 38 901 000 | 27 383 294 | -1,31% |
| - <i>Of which establishment plan posts</i> | 15 160 298 | 23 381 180 | 35 700 000 | 22 930 804 | -1,93% |
| - <i>Of which external personnel</i> | 2 452 326 | 4 365 187 | 3 201 000 | 4 452 490 | 2,00% |
| Expenditure relating to Staff recruitment | 611 435 | 972 939 | 2 530 000 | 1 045 910 | 7,50% |
| Employer's pension contributions | | | | | |
| Mission expenses | 40 000 | 48 647 | 40 000 | 49 620 | 2,00% |
| Socio-medical infrastructure | 782 571 | 1 196 715 | 1 760 000 | 1 220 649 | 2,00% |
| Training | 193 283 | 145 941 | 82 000 | 148 860 | 2,00% |
| External Services | 1 322 119 | 1 391 302 | 1 605 000 | 1 419 128 | 2,00% |
| Receptions, events and representation | 14 000 | 19 459 | 20 000 | 19 848 | 2,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 93 265 | 194 588 | 165 000 | 198 480 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 8 911 007 | 6 937 053 | 10 207 000 | 7 022 282 | 1,23% |
| Rental of buildings and associated costs | 2 951 382 | 2 675 581 | 3 755 000 | 2 675 581 | 0,00% |
| Information, communication technology and data processing | 5 454 916 | 2 821 523 | 5 624 000 | 2 877 953 | 2,00% |
| Movable property and associated costs | 433 874 | 1 294 008 | 677 000 | 1 319 888 | 2,00% |
| Current administrative expenditure | 70 835 | 97 294 | 101 000 | 99 240 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | 48 647 | 50 000 | 49 620 | 2,00% |
| Title 3 - Operational expenditure | 20 653 623 | 26 843 383 | 39 068 000 | 27 380 250 | 2,00% |
| TOTAL | 50 233 927 | 65 496 394 | 94 378 000 | 65 888 321 | 0,60% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 20 368 169 | 31 715 958 | 45 103 000 | 31 485 789 | -0,73% |
| Salaries & allowances | 17 588 869 | 27 746 367 | 38 901 000 | 27 383 294 | -1,31% |
| - Of which establishment plan posts | 15 160 298 | 23 381 180 | 35 700 000 | 22 930 804 | -1,93% |
| - Of which external personnel | 2 428 571 | 4 365 187 | 3 201 000 | 4 452 490 | 2,00% |
| Expenditure relating to Staff recruitment | 607 400 | 972 939 | 2 530 000 | 1 045 910 | 7,50% |
| Employer's pension contributions | | | | | |
| Mission expenses | 19 495 | 48 647 | 40 000 | 49 620 | 2,00% |
| Socio-medical infrastructure | 690 269 | 1 196 715 | 1 760 000 | 1 220 649 | 2,00% |
| Training | 142 926 | 145 941 | 82 000 | 148 860 | 2,00% |
| External Services | 1 216 596 | 1 391 302 | 1 605 000 | 1 419 128 | 2,00% |
| Receptions, events and representation | 11 731 | 19 459 | 20 000 | 19 848 | 2,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 90 883 | 194 588 | 165 000 | 198 480 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 4 122 124 | 6 937 053 | 10 207 000 | 7 022 282 | 1,23% |
| Rental of buildings and associated costs | 2 004 371 | 2 675 581 | 3 755 000 | 2 675 581 | 0,00% |
| Information, communication technology and data processing | 1 683 028 | 2 821 523 | 5 624 000 | 2 877 953 | 2,00% |
| Movable property and associated costs | 375 711 | 1 294 008 | 677 000 | 1 319 888 | 2,00% |
| Current administrative expenditure | 59 014 | 97 294 | 101 000 | 99 240 | 2,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | 48 647 | 50 000 | 49 620 | 2,00% |
| Title 3 - Operational expenditure | 14 750 198 | 26 843 383 | 39 068 000 | 27 380 250 | 2,00% |
| TOTAL | 39 240 491 | 65 496 394 | 94 378 000 | 65 888 321 | 0,60% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR1,580,592.87.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports stable staffing for the EPPO in 2024, namely 191 Establishment Plan Posts, 28 Contract Agents and 29 Seconded National Experts (SNEs) totaling to 248 (and the number of EDPs remains stable at 140).

Financial Resources

The Commission supports an amount of EUR 65 888 321 in 2024 in line with the financial programmed amount included in the technical update of February 2023 to account for the impact of the conversion of 20 Contract Agents posts into Temporary Agents granted in Conciliation DB2023. Furthermore, the financial programmed amount for 2024 caters for the 2% increase as compared to the 2023 budget (EUR 2.5 million top-up received in Conciliation DB2023 excluded).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EPPO's needs for 2024 includes an increase of 171 posts in number of Statutory Staff/SNEs at Central Office and 20 posts in number of EDPs, to the total of 388 posts available in 2023, in order to continue establishing an EPPO prosecution capacity at central and national levels. As part of the policy of transitioning from the use of Contract Agents to Temporary Agents, the request includes the conversion of the remaining 28 Contracts Agents to Temporary Agents. In addition, certain upgrades in grades are requested in the establishment plan to allow for reclassification in 2024. 20 European Delegated Prosecutors (EDPs) above the 140 earmarked in 2023 are also requested in 2024 (more details in the table below), as a first step towards the reinforcement of the decentralised capacity, under the status of Special Advisor, as established by the legislator.

Special Advisors/European Delegated Prosecutors (EDPs):

| EDPs | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|-------|-----------------|-------------------------------|---------------|-------------------------------|
| Total | 140 | 114 | 140 | 160 |

4.1.2 Vacancy rate as of end 2022

The vacancy rate on 31.12.2022 was 16% that is 207 out of the 248 posts were filled at the Central Office. Taking into account the number of contracts offered in 2022 for which staff started early 2023, the effective vacancy rate on 31.12.2022 was 14.5%. This results mainly from the challenges to attract and retain highly specialised personnel in a very dynamic labour market in Luxembourg, as well as the turnover with a high hiring rate of internal candidates. The vacancy rate on 31.12.2022 was 18.5% for the EDPs, with 114 out of the 140 posts filled.

4.1.3 Standard abatement ('abatement forfaitaire') applied

During the first years of the operation of EPPO all posts are essential but solely the lack of attractiveness of the employment conditions offered in job markets in Luxembourg affected the number of vacancies.

4.1.4 Salary assumption for calculating salary line (% applied)

For 2024, the assumptions to calculate salaries for establishment plan staff were based on the actual salaries paid to staff in place at the end of the year 2022, and average costs for additional and vacant posts applying salary updates of +4.4% as of 1 July 2023 and +3.4% as of 1 July 2024. It is assumed an average occupancy rate of 95% over the year on staff already in place and 70% on the additional posts. It is noted that conversion of Contract Agents is estimated to take place around mid-year 2024 that is why the request includes an equivalent of 6 months of salaries to cover the Contract Agents remuneration in 2024.

4.1.5 Correction coefficient used

Not applicable

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1 the budget request includes salaries and allowances for 419 staff (379 TAs, 40 SNEs) based on the calculations explained in section 4.1.4 above. It also includes one off costs for expenditure concerning the recruitment of some of these staff, as well as costs for missions of EPPO administrative staff, training, medical service costs, costs related to the European Schools as well as other external services including interim staff.

4.2.2 Title 2

Title 2 includes the utility costs and security ('gardiennage') for EPPO's premises in Tour B building (premises offered rent free by the Luxembourgish authorities) in the Luxembourg Kirchberg plateau. Other costs under this title include furniture and administrative ICT related costs.

4.2.3 Title 3

Title 3 includes EPPO's operational expenditure. This comprises of costs relating to EPPO Case Management System (CMS) and other operational ICT costs. It also covers costs for exceptionally costly investigation measures as per Article 91.6 of the EPPO regulation and other costs related to investigation activities. In addition, it includes salaries of 140 European Delegated Prosecutors (EDPs) at the decentralised level and operational missions' costs. Finally, it includes costs for close protection services and for linguistic services.

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-----------------------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Tour B | Kirchberg, Luxembourg | | | | | | | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

The EPPO has moved to its permanent premises in Tower B (and its annex) in the Luxembourg Kirchberg plateau since January 2021. The building is provided rent free by the Luxembourgish authorities. EPPO still is liable to pay utility and other costs related to the usage of the building.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 European Schools

The annual contribution for attendance in the 2 European Schools of Luxembourg is around 10.000 euros per child. 700.000 have been budgeted for this expense in 2024.

5.3 Evaluation

Not applicable

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-------------------|--|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | Diplomatic status granted for the European Chief Prosecutor and all 22 European Prosecutors. | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|--------------|--|
| Regulation (EU) 2019/1149 of the European Parliament and of the Council of 20 June 2019 establishing a European Labour Authority, amending Regulations (EC) No 883/2004, (EU) No 492/2011, and (EU) 2016/589 and repealing Decision (EU) 2016/344 | 11 July 2019 | <p>The objective of the Authority is to contribute to ensuring fair labour mobility across the Union and assist Member States and the Commission in the coordination of social security systems within the Union.</p> <p>To this end, the Authority shall facilitate access to information on rights and obligations regarding labour mobility across the Union as well as to relevant services; facilitate and enhance cooperation between Member States in the enforcement of relevant Union law across the Union, including facilitating concerted and joint inspections; mediate and facilitate a solution in cases of cross-border disputes between Member States; and support cooperation between Member States in tackling undeclared work.</p> |

1.2 Seat

Bratislava, Slovakia

1.3 Budget Line

07 10 09 : European Labour Authority (ELA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 40 | 35 | 87,50% | 52 | 52 |
| Assistants (AST) | 17 | 14 | 82,35% | 15 | 15 |
| Assistants/Secretaries (AST/SC) | | | | 2 | 2 |
| ESTABLISHMENT PLAN POSTS | 57 | 49 | 85,96% | 69 | 69 |
| Contract Agents (CA) | 15 | 13 | 86,67% | 15 | 20 |
| Seconded National Experts (SNE) | 60 | 55 | 91,67% | 60 | 55 |
| TOTAL STAFF | 132 | 117 | 88,64% | 144 | 144 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| TOTAL REVENUES | | |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|----------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| | | |

| | | |
|--|--|--|
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 13 916 330 | 13 916 330 | 16 691 694 | 16 691 694 |
| Title 2 - Infrastructure and operating expenditure | 5 615 000 | 5 615 000 | 5 835 000 | 5 835 000 |
| Title 3 - Operational expenditure | 20 442 000 | 10 221 000 | 25 900 000 | 12 950 000 |
| TOTAL EXPENDITURE | 39 973 330 | 29 752 330 | 48 426 694 | 35 476 694 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | 1 | | 2 | | 3 | | 5 | | 5 |
| AD 10 | | 5 | | 3 | | 3 | | 1 | | 1 |
| AD 9 | | | | 1 | | 1 | | 7 | | 5 |
| AD 8 | | 5 | | 7 | | 14 | | 16 | | 14 |
| AD 7 | | 14 | | 12 | | 10 | | 11 | | 8 |
| AD 6 | | 8 | | 4 | | 18 | | 26 | | 18 |
| AD 5 | | 6 | | 5 | | 2 | | | | |
| AD TOTAL | | 40 | | 35 | | 52 | | 67 | | 52 |
| AST 11 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | 1 | | 1 | | 2 | | 2 |
| AST 4 | | 7 | | 5 | | 6 | | 13 | | 12 |
| AST 3 | | 10 | | 8 | | 8 | | | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 17 | | 14 | | 15 | | 15 | | 15 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | 2 | | 2 | | 2 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | 2 | | 2 | | 2 |
| TOTAL | | 57 | | 49 | | 69 | | 84 | | 69 |
| GRAND TOTAL | | 57 | | 49 | | 69 | | 84 | | 69 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 7 | 7 | 9 | 14 |
| Function Group III | 8 | 6 | 6 | 6 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 15 | 13 | 15 | 20 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 60 | 55 | 60 | 55 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 29 397 342 | 39 973 330 | 49 534 000 | 48 426 694 | 21,15% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 29 397 342 | 39 973 330 | 49 534 000 | 48 426 694 | 21,15% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| | |
|--------------------|----------------------------------|
| EXPENDITURE | Commitment appropriations |
|--------------------|----------------------------------|

| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 11 147 597 | 13 916 330 | 17 799 000 | 16 691 694 | 19,94% |
| Salaries & allowances | 6 943 664 | 10 660 330 | 12 444 000 | 11 336 694 | 6,34% |
| - Of which establishment plan posts | 3 633 106 | 6 405 330 | 9 424 000 | 8 316 694 | 29,84% |
| - Of which external personnel | 3 310 558 | 4 255 000 | 3 020 000 | 3 020 000 | -29,02% |
| Expenditure relating to Staff recruitment | 528 871 | 533 000 | 1 050 000 | 1 050 000 | 97,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 158 400 | 160 000 | 180 000 | 180 000 | 12,50% |
| Socio-medical infrastructure | 1 198 619 | 1 380 000 | 1 920 000 | 1 920 000 | 39,13% |
| Training | 500 389 | 328 000 | 400 000 | 400 000 | 21,95% |
| External Services | 1 775 809 | 775 000 | 1 665 000 | 1 665 000 | 114,84% |
| Receptions, events and representation | 41 845 | 80 000 | 60 000 | 60 000 | -25,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | 80 000 | 80 000 | 100% |
| Title 2 - Infrastructure and operating expenditure | 4 270 393 | 5 615 000 | 5 835 000 | 5 835 000 | 3,92% |
| Rental of buildings and associated costs | 165 696 | 356 500 | 408 000 | 408 000 | 14,45% |
| Information, communication technology and data processing | 2 443 471 | 2 417 000 | 3 680 000 | 3 680 000 | 52,25% |
| Movable property and associated costs | 55 462 | 70 000 | 73 000 | 73 000 | 4,29% |
| Current administrative expenditure | 311 292 | 611 000 | 149 000 | 149 000 | -75,61% |
| Postage / Telecommunications | 6 806 | 30 000 | 30 000 | 30 000 | 0,00% |
| Meeting expenses | 273 116 | 265 000 | 40 000 | 40 000 | -84,91% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 691 132 | 630 000 | 1 000 000 | 1 000 000 | 58,73% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 323 418 | 1 235 500 | 455 000 | 455 000 | -63,17% |
| Title 3 - Operational expenditure | 18 063 441 | 20 442 000 | 25 900 000 | 25 900 000 | 26,70% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Task 1: Access to information and coordination of EURES | | | | | |
| Task 2: Cooperation and exchange of information between Member States | | | | | |
| Task 3: Concerted and joint inspections | | | | | |
| Task 4: Analyses and risk assessment | | | | | |
| Task 5: Capacity Building | | | | | |
| Task 6: Support to Member States in tackling undeclared work | | | | | |
| Task 7: Mediation disputes between Member States | | | | | |
| Communication activities on operational tasks | | | | | |
| Pillar I: Facilitating access to information and services | 12 465 643 | 12 678 600 | 13 945 100 | 13 945 100 | 9,99% |
| Pillar II: Enhancing and supporting cooperation and joint action | 2 541 993 | 3 750 000 | 4 835 000 | 4 835 000 | 28,93% |
| Pillar III: Mediation and facilitating solutions in cross border disputes | 2 022 806 | 3 000 000 | 3 805 000 | 3 805 000 | 26,83% |
| Pillar IV: Increasing capacity and knowledge | | | | | |
| Pillar V: Horizontal activities - Enabling European Labour Authority | 1 032 999 | 1 013 400 | 3 314 900 | 3 314 900 | 227,11% |
| TOTAL | 33 481 431 | 39 973 330 | 49 534 000 | 48 426 694 | 21,15% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 9 117 441 | 13 916 330 | 17 799 000 | 16 691 694 | 19,94% |
| Salaries & allowances | 6 943 664 | 10 660 330 | 12 444 000 | 11 336 694 | 6,34% |
| - Of which establishment plan posts | 3 633 106 | 6 405 330 | 9 424 000 | 8 316 694 | 29,84% |
| - Of which external personnel | 3 310 558 | 4 255 000 | 3 020 000 | 3 020 000 | -29,02% |
| Expenditure relating to Staff recruitment | 528 871 | 533 000 | 1 050 000 | 1 050 000 | 97,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 158 400 | 160 000 | 180 000 | 180 000 | 12,50% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 851 318 | 1 380 000 | 1 920 000 | 1 920 000 | 39,13% |
| Training | 33 113 | 328 000 | 400 000 | 400 000 | 21,95% |
| External Services | 589 885 | 775 000 | 1 665 000 | 1 665 000 | 114,84% |
| Receptions, events and representation | 12 190 | 80 000 | 60 000 | 60 000 | -25,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | 80 000 | 80 000 | 100% |
| Title 2 - Infrastructure and operating expenditure | 872 214 | 5 615 000 | 5 835 000 | 5 835 000 | 3,92% |
| Rental of buildings and associated costs | 133 811 | 356 500 | 408 000 | 408 000 | 14,45% |
| Information, communication technology and data processing | 451 670 | 2 417 000 | 3 680 000 | 3 680 000 | 52,25% |
| Movable property and associated costs | 21 642 | 70 000 | 73 000 | 73 000 | 4,29% |
| Current administrative expenditure | 133 913 | 611 000 | 149 000 | 149 000 | -75,61% |
| Postage / Telecommunications | 106 | 30 000 | 30 000 | 30 000 | 0,00% |
| Meeting expenses | 87 026 | 265 000 | 40 000 | 40 000 | -84,91% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 37 163 | 630 000 | | | -100% |
| Studies | | | 1 000 000 | 1 000 000 | 100% |
| Other infrastructure and operating expenditure | 6 883 | 1 235 500 | 455 000 | 455 000 | -63,17% |
| Title 3 - Operational expenditure | 9 607 761 | 10 221 000 | 12 950 000 | 12 950 000 | 26,70% |
| Task 1: Access to information and coordination of EURES | | | | | |
| Task 2: Cooperation and exchange of information between Member States | | | | | |
| Task 3: Concerted and joint inspections | | | | | |
| Task 4: Analyses and risk assessment | | | | | |
| Task 5: Capacity Building | | | | | |
| Task 6: Support to Member States in tackling undeclared work | | | | | |
| Task 7: Mediation disputes between Member States | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Communication activities on operational tasks | | | | | |
| Pillar I: Facilitating access to information and services | 7 203 939 | 6 339 300 | 6 972 550 | 6 972 550 | 9,99% |
| Pillar II: Enhancing and supporting cooperation and joint action | 1 446 829 | 1 875 000 | 2 417 500 | 2 417 500 | 28,93% |
| Pillar III: Mediation and facilitating solutions in cross border disputes | 592 211 | 1 500 000 | 1 902 500 | 1 902 500 | 26,83% |
| Pillar IV: Increasing capacity and knowledge | | | | | |
| Pillar V: Horizontal activities - Enabling European Labour Authority | 364 782 | 506 700 | 1 657 450 | 1 657 450 | 227,11% |
| TOTAL | 19 597 416 | 29 752 330 | 36 584 000 | 35 476 694 | 19,24% |

3.3 Budget Outturn

First estimate of the 2022 balance: EUR -990 044. In 2022 the budget outturn resulted in negative impacted by the Authority's request for a non-automatic carry over of unused payment appropriation of EUR 4 000 000 from 2022 to 2023.

4 Justification of needs

Commission assessment

Human Resources

Cruising speed is scheduled for 2024, with 100% of staff accounted from 1st January 2024. The related legislative financial statement (LFS) accompanying the founding regulation foresees 144 FTEs in terms of total number of staff for 2024.

The agency requested 15 Seconded National Experts (SNEs) to be converted into 15 temporary agents to ensure staff stability and reinforce the operational capacity. Indeed, SNEs cannot be given the same tasks as temporary agents, the scope of their tasks is limited.

However, given the constraints in terms of permanent staff, the Commission proposes to convert 5 SNEs into 5 contract agents. This should help the agency get a better balance in terms of staff mix and consolidate their operational capacity.

Financial Resources

The agency's request is lower than the EU contribution foreseen in the financial programming for the period 2024-2027 due to the fact that ELA applied the correction coefficient set at 81.3% for Bratislava.

However, the recent salary adjustments have eaten up the difference compared with the LFS accompanying the founding regulation. Compared to the agency's initial request, the recalculation of staff costs due to the Commission's proposal results in a lower amount need for salary costs.

As previous years, ELA is requesting lower payment appropriations than the level of commitment appropriations. This is justified by their approach to differentiate commitment appropriations from payment appropriations at the level of their operational expenditure (Title 3) which seems quite acceptable and well founded in terms of sound financial management. The Commission supports the agency request.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

For 2024, the total number of staff will remain the same as the one indicated in the Legislative Financial Statement accompanying the founding Regulation, as well as the overall budget planned under Title 1. By the end of 2023, the Authority will reach 144 full-time equivalents (69 Temporary Agents, 15 Contract Agents and 60 Seconded National Experts (incl. 27 National Liaison Officers))

ELA requests further need of resources as from 2024 as such,

i. conversion of 25 % of SNEs (15 SNEs) into establishment plan TA AD posts as to ensure operational stability and effective delivery of the mandate, and

ii. an increase of the budget envelope with two CA in Function Group IV to plan for accountancy and cyber security services and compliance with this growth of tasks and the respective regulations. Considering the forthcoming cybersecurity regulation that introduces an urgent and priority need to strengthen the Authority's capabilities in terms of cybersecurity, the need for one additional CA in Function Group (FG) IV is essential to be able to comply with the new work requirements in that area within the imposed time limit (that is, 15 months after the entry into force of the regulation).

The conversion of 15 SNEs into TA posts (i.e., 10 AD6 and 5 AD7) is an important action to deal with the structural and operational challenges in terms of workforce and operational stability of the execution of the ELA's strategic objectives set out in the draft ELA's Single Programming Document 2024-2026.

4.1.2 Vacancy rate as of end 2022

At the end of 2022, 117 posts (49 temporary agents and 13 contract agents, 55 SNEs (incl. 27 NLOs)) were filled. Altogether 44 new staff members took up duty at ELA and 8 left in 2022, taking the total number of staff to 117. Compared to the 84 people at the end of 2021, this corresponds to an increase in occupancy rate in total staff from 86 % to 89 % from 2021 to 2022.

A. Statutory staff and SNE

| Staff | Year N-1 (2022) | | |
|---------------------------------------|---|-----------------------------|-------------------------|
| | Authorised Budget | Filled as of 31/12/2022 | Occupancy rate % |
| ESTABLISHMENT PLAN POSTS | | | |
| Administrators (AD) | 40 | 35 | 88% |
| Assistants (AST) | 17 | 14 | 82% |
| Assistants/Secretaries (AST/SC) | 0 | 0 | - |
| TOTAL ESTABLISHMENT PLAN POSTS | 57 | 49 | 86% |
| EXTERNAL STAFF | FTE corresponding to the authorised budget | FTE as of 31/12/2022 | Execution Rate % |
| Contract Agents (CA) | 15 | 13 | 87% |
| Seconded National Experts (SNE) | 60 | 55 | 92% |
| TOTAL EXTERNAL STAFF | 75 | 68 | 91% |
| TOTAL STAFF | 132 | 117 | 89% |

Overall, the vacancy rate at the end of 2022 stood at 11 %. Most of the 2022 posts are expected to be filled in by Q1 2023.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The salary costs are estimated based on the assumption that all the employees will be recruited by the end of 2024. The standard abatement applied is 2%

4.1.4 Salary assumption for calculating salary line (% applied)

Estimates of the cost of human resources are based on actual staff costs at the ELA and the salary categories defined by the Staff Regulations. To ensure adequacy to the cost of life in the host country, the correction coefficient in force in Bratislava applies to the salaries. The budget appropriations covering staff expenditure for year 2024 are based on the estimate of a total of 84 temporary agents (67 AD, 15 AST, 2 AST/SC) and 17 contract agents and 45 SNEs. The results of the appraisal and reclassification for Temporary Agents and Contractual Agents have been taken also into account, in the assumption that the necessary steps are implemented by the ELA.

4.1.5 Correction coefficient used

The correction coefficient rate applied for Bratislava based staff is the one established for year 2023, namely 81.3%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The overall Title 1 staff expenditure is foreseen to increase by 28%. The increase comes from the aim to recruit all staff according to the Legislative Financial Package including the conversion of 15 SNEs into TA posts as well as the additional 2 CA posts indicated in Section 4.1.1.

The appropriations requested for the staff expenditure amount to EUR 17 799 000 and mainly covers:

- the salaries and allowances of the staff expected to be employed by the Authority in 2024 (an Establishment Plan of 84 Temporary Agents, the employment of 17 contract agents and 45 Seconded National Experts);
- allowances and cost linked to recruitment, e.g., daily subsistence and installation allowances, removal expenses, etc;
- estimation linked to annual reclassification exercise and increase in steps;
- the assumption that there will be a 5 % yearly adaptation of salary and Slovak weighting factor evaluated at 85% in 2024;
- estimation for the early childhood centres and schooling costs of staff;
- other staff related costs & related services (e.g. training, integration related costs, PMO) and traineeship program support (interim staff).

4.2.2 Title 2

The overall Title 2 infrastructure and operating expenditure is foreseen to increase by 4%. The increase mainly relates to the ICT services and equipment and Communication related expenses.

Following the 2023 migration of all Cloud Broker Services to the DIGIT Cloud II FWC and other framework contracts, ELA will further enrich portfolio of cloud-based services. Further improvements to the ICT Operations are planned to respond to the findings of ICT studies, third party penetration testing and requirements of Cybersecurity Regulation. ELA will continue to develop ICT solutions to meet the operational and administrative needs.

ELA will continue the work on information and awareness raising materials on issues and in sectors relevant for labour mobility (free movement, posting of workers, social aspects of road transport) that resulted in increase of its information and publishing costs.

High inflation, prices increase coming from COVID crisis and the war are also considered in the estimated co.

4.2.3 Title 3

In 2024 ELA will continue to evolve as a trusted Authority with full operational capacity, deepening and extending its activities while enhancing its strong operational presence.

ELA's work to be carried out in 2024 is organised in 10 operational activities. The operational expenditure is estimated to EUR 25 900 000. The largest share of the budget (44%, EUR 11 500 000) relates to expenses of EURES related activities.

ELA is responsible for managing the EURES European Coordination Office (ECO) and is the business owner of the EURES portal. The Authority will continue to coordinate the EURES network of National Coordination Offices (NCOs), EURES Advisers and staff as well as new Members and Partners, and to maintain and further develop the functionalities of the portal for the external (jobseekers and employers) and internal (EURES network) users. ELA aims to not only maintain the established functionalities of the EURES portal, but also to further improve them, to enhance the user

experience through providing a modern and user-friendly technical solution. In 2024, EURES will celebrate its 30th anniversary, with several specific activities planned to mark this event.

In terms of budget need, the largest increase relates to concerted and joint inspection activities, where the Authority will continue to coordinate and support inspections by providing conceptual, logistical, technical support, and legal expertise, including interpretation services and translation of inspection-specific documentation. It will continue to digitalise as much as possible the established tools and procedures to facilitate the planning, execution, and follow-up of inspections. Thanks to growing capacity of labour mobility analyses and risk assessments, ELA will be able to launch broad enforcement campaigns targeting specific problematic sectors or irregularities of cross-border nature.

Under the Information and Services activity, which represents an increase of 47% in budget need, ELA aims to facilitate access to information on labour mobility for individuals, workers, and employers, as well as to relevant services in order to improve the availability, quality and accessibility of information on rights and obligations related to cross-border labour mobility. In 2024, ELA will continue to focus on Information and Services activities related to the posting of workers, the freedom of movement of workers and road transport. Furthermore, it will continue its cooperation with the Your Europe portal team and other EU level information and services providers as well as respective national networks.

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------------------|----------------------------------|--------------|--------------|-----------------|----------|----------|---|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Landererova 12 (L12) | Landererova 12 (L12) | 3 437 | 1 282 | 4 719 | 12 | 20 years | Sublease | SK is covering rental costs during the first 10 years | |
| TOTAL | | | 3 437 | 1 282 | 4 719 | 12 | | | | |

5.1.2 Current building(s) Other comments

On 13 June 2019, the Decision (EU) 2019/1199 on the location of the seat of the ELA - Bratislava, Slovakia - was taken by common accord between the Representatives of the Governments of the Member States. In this budgetary statement for 2024, no rental costs for the building are included for ELA's headquarter in Bratislava.

EUR 110 000 is foreseen to cover the expected liaison office rent in Brussels and parking spot requirements in the headquarter.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 European Schools

In the absence of a European school in Bratislava, the education fees in international pre-schools and schools will be covered by the Authority by entering into bilateral agreements with those schools. This is in line with best practice in other Decentralised Agencies of the EU facing a similar situation. The Management Board has adopted decisions to that effect.

5.3 Evaluation

Not applicable.

5.4 Privileges and immunities

The Protocol on the Privileges and Immunities of the European Union and the Headquarters' Agreement with Slovakia shall apply to the Authority and its staff.

2.3 Decentralised agencies of Heading 3 – Natural Resources and Environment

2.3.1 European Fisheries Control Agency – EFCA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|---------------|--|
| Regulation (EU) 2019/473 of the European Parliament and of the Council. | 19 March 2019 | <ul style="list-style-type: none"> • to coordinate control and inspection by Member States relating to the control and inspection obligations of the EU; • to coordinate the deployment of the national means of control and inspection pooled by the Member States concerned in accordance with this Regulation; • to assist Member States in reporting information on fishing activities and control and inspection activities to the Commission and third parties; • in the field of its competences, to assist Member States to fulfil their tasks and obligations under the rules of the Common Fisheries Policy; • to assist Member States and the Commission in harmonising the application of the Common Fisheries Policy throughout the EU; • to contribute to the work of Member States and the Commission on research into and development of control and inspection techniques; • to contribute to the coordination of inspector training and the exchange of experience between Member States; • to coordinate the operations to combat illegal, unreported and unregulated fishing in conformity with EU rules; • to assist in the uniform implementation of the control system of the common fisheries policy, including in particular: <ol style="list-style-type: none"> 1) Organisation of operational coordination of control activities by Member States for the implementation of specific control and inspection programmes, control programmes related to illegal, unreported and unregulated (IUU) fishing and international control and inspection programmes 2) Inspections as necessary to fulfil the Agency’s tasks • to cooperate with European Border and Coast Guard Agency, and the European Maritime Safety Agency, each within its mandate, to support the national authorities carrying out coast guard functions, by providing services, information, equipment and training as well as by coordinating multipurpose operations. <p>In addition, among other competences:</p> <ol style="list-style-type: none"> 1) Operational coordination by the Agency shall cover control of all activities covered by the Common Fisheries Policy; 2) Officials of the Agency may be assigned in international waters as Union inspectors; 3) The Agency may acquire, rent or charter the equipment that is necessary for the implementation of the joint deployment plans; 4) The Agency shall, where appropriate: <ol style="list-style-type: none"> (a) issue manuals on harmonised standards of inspections; (b) develop guidance material reflecting the best practices in the field of control of the Common Fisheries Policy, including on the training of control officials, and update this on a regular basis; (c) provide the Commission with the necessary technical and administrative support to carry out its tasks; 5) With due regard to the different legal systems in the individual Member States, the Agency shall facilitate cooperation between Member States and between them and the Commission in the development of harmonised standards for control in accordance with EU legislation and taking into account best practices in Member States and agreed international standards; 6) Upon a notification by the Commission or of its own initiative the Agency shall set up an Emergency Unit, where a situation involving direct, indirect or potential serious risk to the Common Fisheries Policy is identified, and the risk cannot be prevented, eliminated, or reduced by existing means or cannot adequately be managed; 7) The Agency shall contribute to the implementation of the EU Integrated Maritime Policy, and in particular conclude administrative agreements with other bodies in matters covered by Regulation (EU) 2019/473 of the European Parliament and of the Council after approval by the Administrative Board. 8) The Agency shall, in cooperation with the European Border and Coast Guard Agency and the European Maritime Safety Agency, support national authorities carrying out coast guard functions at national and Union level and, where appropriate, at international level by: <ol style="list-style-type: none"> (a) sharing, fusing and analysing information available in ship reporting systems and other information systems hosted by or accessible to those agencies, in accordance with their respective legal bases and without prejudice to the ownership of data by Member States; (b) providing surveillance and communication services based on state-of-the-art technology, including space-based and ground infrastructure and sensors mounted on any kind of platform; (c) building capacity by drawing up guidelines and recommendations and by establishing best practices as well as by providing training and exchange of staff; (d) enhancing the exchange of information and cooperation on coast guard functions including by analysing operational challenges and emerging risks in the maritime domain; (e) sharing capacity by planning and implementing multipurpose operations and by sharing assets and other capabilities, to the extent that these activities are coordinated by those agencies and are agreed to by the |

| | | |
|--|------------------|--|
| | | competent authorities of the Member States concerned. |
| Commission Decision 2009/988/EU | 18 December 2009 | By this Decision, the Commission designated the EFCA as the body to carry out certain tasks under the IUU Regulation. These tasks are as follows: <ul style="list-style-type: none"> • transmit notifications, with copy to the Commission, on denials of landing or transshipment authorisations by third country vessels to flag State(s) and, if appropriate copies of these notifications, to Regional Fisheries Management Organisations in accordance with Article 11(3) of Regulation (EC) No 1005/2008; • upon request from the Commission, provide for the conduct of on-the-spot audits, alone or in cooperation with the Commission, to verify the effective implementation of agreed cooperation arrangements with third countries in accordance with Article 20(4), second subparagraph (c) of Regulation (EC) No 1005/2008; • communicate to Member States and flag States, with copy to the Commission, additional information submitted by the Member States to the Commission which is relevant for the establishment of the European Union IUU vessel list in accordance with Article 25(2) of Regulation (EC) No 1005/2008; • transmit sightings reports to all Member States, with copy to the Commission, and, if appropriate, to the Executive Secretary of the relevant Regional Fisheries Management Organisation in accordance with Article 48(4) of Regulation (EC) No 1005/2008; • transmit to the Executive Secretary of the relevant Regional Fisheries Management Organisation, with copy to the Commission, information from a Member State in response to a sighting report on one of its vessels from a contracting party to that Regional Fisheries Management Organisation in accordance with Article 48(5) of Regulation (EC) No 1005/2008. |
| Regulation (EU) No 1380/2013 of the European Parliament and of the Council | 11 December 2013 | This regulation adopted the new Common Fisheries Policy. As regards the Agency: <ul style="list-style-type: none"> • the Union shall, including through the Agency, cooperate with third countries and international organisations dealing with fisheries, including Regional Fisheries Management Organisations, to strengthen compliance with measures, especially those to combat IUU fishing, in order to ensure that measures adopted by such international organisations are strictly adhered to. • Control and enforcement of the CFP shall in particular be based on and shall include cooperation and coordination between Member States, the Commission and the Agency; The Agency may assist the expert group on compliance meetings as an observer. |
| Regulation (EU) 2019/833 of the European Parliament and of the Council | 20 May 2019 | This Regulation empowers the Agency to coordinate the surveillance and inspection activities for the EU in the NAFO Regulatory Area and to draw up, in cooperation with the Member States a plan for the EU participation in the international Scheme of control (art. 28). |
| Commission Implementing Regulation (EU) 433/2012 | 23 May 2012 | This Regulation, in line with the Regulation (EU) 1236/2010 of the European Parliament and the Council, and in relation with the fishing activities in the NEAFC Regulatory Area, designs the Agency to: <ul style="list-style-type: none"> • Coordinate the surveillance and inspection activities of the EU in the area; • Prepare a plan in cooperation with Member States for the EU participation in the International Scheme of control; • Receive and prepare reports on inspection and infringement in the NEAFC area. |

1.2 Seat

Vigo, Spain

1.3 Budget Line

08 10 01 : European Fisheries Control Agency

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 41 | 41 | 100,00% | 42 | 42 |
| Assistants (AST) | 36 | 33 | 91,67% | 35 | 35 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 77 | 74 | 96,10% | 77 | 77 |
| Contract Agents (CA) | 11 | 11 | 100,00% | 11 | 11 |
| Seconded National Experts (SNE) | 5 | 5 | 100,00% | 5 | 5 |
| TOTAL STAFF | 93 | 90 | 96,77% | 93 | 93 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 30 097 954 | 30 584 000 |
| Other Revenue | | |
| TOTAL REVENUES | 30 097 954 | 30 584 000 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 764 600 | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 764 600 | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 11 712 954 | 11 712 954 | 12 296 000 | 12 296 000 |
| Title 2 - Infrastructure and operating expenditure | 2 360 000 | 2 360 000 | 1 950 000 | 1 950 000 |
| Title 3 - Operational expenditure | 16 025 000 | 16 025 000 | 16 338 000 | 16 338 000 |
| TOTAL EXPENDITURE | 30 097 954 | 30 097 954 | 30 584 000 | 30 584 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | |
| AD 14 | | 2 | | 2 | | 2 | | 2 | | 3 |
| AD 13 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 12 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 11 | | 3 | | 3 | | 4 | | 7 | | 7 |
| AD 10 | | 8 | | 8 | | 8 | | 8 | | 8 |
| AD 9 | | 5 | | 5 | | 11 | | 12 | | 12 |
| AD 8 | | 11 | | 11 | | 4 | | 2 | | 2 |
| AD 7 | | 8 | | 8 | | 9 | | 7 | | 7 |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 41 | | 41 | | 42 | | 42 | | 42 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST 9 | | 3 | | 3 | | 3 | | 4 | | 4 |
| AST 8 | | 3 | | 3 | | 3 | | 4 | | 4 |
| AST 7 | | 8 | | 7 | | 7 | | 7 | | 7 |
| AST 6 | | 2 | | 2 | | 2 | | 3 | | 3 |
| AST 5 | | 7 | | 7 | | 7 | | 4 | | 4 |
| AST 4 | | 7 | | 5 | | 7 | | 7 | | 7 |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 36 | | 33 | | 35 | | 35 | | 35 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 77 | | 74 | | 77 | | 77 | | 77 |
| GRAND TOTAL | | 77 | | 74 | | 77 | | 77 | | 77 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | 1 | 1 | 1 |
| Function Group III | 9 | 9 | 9 | 9 |
| Function Group II | 1 | 1 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 11 | 11 | 11 | 11 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 5 | 5 | 5 | 5 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 29 340 000 | 30 097 954 | 30 584 000 | 30 584 000 | 1,61% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 601 130 | 562 667 | 730 122 | 730 122 | 29,76% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 29 340 000 | 30 097 954 | 30 584 000 | 30 584 000 | 1,61% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 1 353 197 | 764 600 | | | -100% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 4 274 600 | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 5 627 797 | 764 600 | | | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 10 062 956 | 11 712 954 | 12 296 000 | 12 296 000 | 4,98% |
| Salaries & allowances | 9 638 645 | 11 230 954 | 11 785 000 | 11 785 000 | 4,93% |
| - Of which establishment plan posts | 8 500 847 | 10 100 954 | 10 400 000 | 10 400 000 | 2,96% |
| - Of which external personnel | 1 137 798 | 1 130 000 | 1 385 000 | 1 385 000 | 22,57% |
| Expenditure relating to Staff recruitment | 234 240 | 230 000 | 242 000 | 242 000 | 5,22% |
| Employer's pension contributions | | | | | |
| Mission expenses | 29 321 | 50 000 | 54 000 | 54 000 | 8,00% |
| Socio-medical infrastructure | 27 250 | 25 000 | 30 000 | 30 000 | 20,00% |
| Training | 96 853 | 150 000 | 155 000 | 155 000 | 3,33% |
| External Services | | | | | |
| Receptions, events and representation | 1 496 | 2 000 | 5 000 | 5 000 | 150,00% |
| Social welfare | 35 151 | 25 000 | 25 000 | 25 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 3 043 992 | 2 360 000 | 1 950 000 | 1 950 000 | -17,37% |
| Rental of buildings and associated costs | 379 509 | 400 000 | 415 000 | 415 000 | 3,75% |
| Information, communication technology and data processing | 885 995 | 965 000 | 890 000 | 890 000 | -7,77% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 970 153 | 415 000 | 80 000 | 80 000 | -80,72% |
| Current administrative expenditure | 41 698 | 40 000 | 35 000 | 35 000 | -12,50% |
| Postage / Telecommunications | 74 276 | 75 000 | 75 000 | 75 000 | 0,00% |
| Meeting expenses | 101 694 | 60 000 | 75 000 | 75 000 | 25,00% |
| Running costs in connection with operational activities | 423 170 | 295 000 | 270 000 | 270 000 | -8,47% |
| Information and publishing | 167 497 | 110 000 | 110 000 | 110 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 16 060 294 | 16 025 000 | 16 338 000 | 16 338 000 | 1,95% |
| TOTAL | 29 167 242 | 30 097 954 | 30 584 000 | 30 584 000 | 1,61% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 9 915 269 | 11 712 954 | 12 296 000 | 12 296 000 | 4,98% |
| Salaries & allowances | 9 552 112 | 11 230 954 | 11 785 000 | 11 785 000 | 4,93% |
| - Of which establishment plan posts | 8 493 920 | 10 100 954 | 10 400 000 | 10 400 000 | 2,96% |
| - Of which external personnel | 1 058 192 | 1 130 000 | 1 385 000 | 1 385 000 | 22,57% |
| Expenditure relating to Staff recruitment | 233 608 | 230 000 | 242 000 | 242 000 | 5,22% |
| Employer's pension contributions | | | | | |
| Mission expenses | 21 147 | 50 000 | 54 000 | 54 000 | 8,00% |
| Socio-medical infrastructure | 21 526 | 25 000 | 30 000 | 30 000 | 20,00% |
| Training | 73 525 | 150 000 | 155 000 | 155 000 | 3,33% |
| External Services | | | | | |
| Receptions, events and representation | 1 248 | 2 000 | 5 000 | 5 000 | 150,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Social welfare | 12 103 | 25 000 | 25 000 | 25 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 443 596 | 2 360 000 | 1 950 000 | 1 950 000 | -17,37% |
| Rental of buildings and associated costs | 277 433 | 400 000 | 415 000 | 415 000 | 3,75% |
| Information, communication technology and data processing | 595 669 | 965 000 | 890 000 | 890 000 | -7,77% |
| Movable property and associated costs | 33 249 | 415 000 | 80 000 | 80 000 | -80,72% |
| Current administrative expenditure | 24 244 | 40 000 | 35 000 | 35 000 | -12,50% |
| Postage / Telecommunications | 62 512 | 75 000 | 75 000 | 75 000 | 0,00% |
| Meeting expenses | 96 408 | 60 000 | 75 000 | 75 000 | 25,00% |
| Running costs in connection with operational activities | 252 634 | 295 000 | 270 000 | 270 000 | -8,47% |
| Information and publishing | 101 447 | 110 000 | 110 000 | 110 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 594 001 | 16 025 000 | 16 338 000 | 16 338 000 | 1,95% |
| TOTAL | 16 952 866 | 30 097 954 | 30 584 000 | 30 584 000 | 1,61% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 730,122.

4 Justification of needs

Commission assessment

Human Resources

In line with the latest update of the MFF 2021-2027, EFCA requests 77 establishment plan posts for 2024.

Financial Resources

This request (EU contribution of EUR 30 584 000) is in conformity with the MFF 2021-2027, which provides the breakdown of the amounts envisaged for each decentralised agency for the period 2021-2027.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EFCA requests a total of 77 posts (42 AD and 35 AST) for the Establishment plan for 2024.

EFCA requests 11 contract agent positions and 5 seconded national expert positions.

4.1.2 Vacancy rate as of end 2022

On 31/12/2022 the vacancy rate for Temporary Agents was 4%. This includes job offers sent before 31 December 2022.

On 31/12/2022 the number of Contract Agents was 11. In addition, there were 13 CAs funded by different projects.

On 31/12/2022 the number of Seconded National Experts was 5. In addition, there was 1 SNE funded by the PESCAO project.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimations on salary expenditures are based on the current staffing situation and entitlement status extrapolated for the future period, and the recruitment plan aiming for full occupancy.

4.1.5 Correction coefficient used

The Commission, in its budget 2024 circular, suggested decentralized agencies to apply, as a general basis for 2024 budget, a general increase of 4.4% applicable as July 2023, and of 3.4% increase applicable from July 2024.

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

The staff expenditure (Title I) has been estimated taking into consideration a full occupation of the 77 posts under the draft establishment plan for 2022, 16⁴² external staff positions, and the corresponding step increases and estimated reclassifications. The Commission, in its budget 2024 circular, suggested decentralized agencies to apply, as a general basis for 2024 budget, a general increase of 4.4% applicable as July 2023, and of 3.4% increase applicable from July 2024. Taking into consideration potential increases of the country coefficient applicable to Spain, EFCA's staff expenditure increases by 5% in respect of 2023.

4.2.2 Title 2

Following a high increase of title II in 2023, EFCA is coming back to the level of 2021 with a decrease of 17% for 2024. The main decrease can be observed in the chapter related to the building (chapter 20), where all the refurbishment costs of the new office spaces were implemented under the 2023 budget. An increase in meeting expenses has been applied as it's expected to increase activity in this area. As shown in the rest of the chapters of title II, EFCA continues to streamline in its administrative expenditure in general within the expected activity growth environment.

4.2.3 Title 3

The operational budget will increase by 2% in 2024 in respect of the 2023 budget. The increase is mainly aimed at covering the potential price adjustments of existing contracts.

4.3 Ad hoc grants and delegation agreements

EFCA will continue to implement the assigned revenue received in 2022 in the frame of contribution agreements under the European Maritime, Fisheries and Aquaculture Fund (EMFAF)⁴³, carried over to the following years 2023 and 2024, for the implementation of the following projects:

- Mediterranean virtual regional training academy on fisheries control and inspection.
- Implementation of the results of the Coast Guard qualifications Network and the CGF handbook.

⁴² This figure does not include the staff covered by the additional EU funding (15 contract agents and 3 SNE)

⁴³ European Maritime, Fisheries and Aquaculture Fund

- Analysis for the weighing process of fisheries products in the Member States and strategy (this project being expected to be totally implemented by 31.01.2024).

In addition, EFCA will continue to implement the ad hoc grant “EFCA contribution for the reinforcement of the fisheries surveillance in Algeria.”, which runs from 2022 to 2026.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--|----------------------------------|------------|--------------|-----------------|---------------------------------------|---|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Edificio Odriozola | Av. García Barbón, 4 – 36201 Vigo (Pontevedra) Spain | 3 790 | 301 | 4 091 | 52 680 | 5 years (October 2022 - October 2027) | Rent of the 7th floor paid by EFCA ground to 6th floor paid directly by Spanish Authorities | Spain | |
| TOTAL | | | 3 790 | 301 | 4 091 | 52 680 | | | | |

5.1.2 Current building(s) Other comments

EFCA uses all floors (ground floor to 7th) and 2 parking floors of its current building. The Host member State covers the rental costs of floors 0 to 6 through a direct agreement with the owner of the building.

In addition, EFCA has the following related costs:

- EUR 52 680/year for a yearly renewable 7th floor rent agreement + security arrangement on the basement for the duration of the occupation of the building by EFCA;
- EUR 10 500/year for a yearly renewable building general maintenance agreement.

5.1.3 Building projects in the planning phase

The agreement between the Spanish Government and the owner of the building where the Agency is established was renewed for 5 more years, starting October 2022.

This contract increased EFCA’s office space, as it included the ground floor of the building necessary to allocate appropriate spaces for the new staff recruited in 2021/2022. EFCA will carry out a reform of the ground floor of its building in 2023.

In 2024, building projects plans are limited to punctual adaptation of the workplace to the evolution of the needs and according to possible improvement of the environmental efficiency where possible.

No structural projects are expected, and office surfaces are expected to remain stable.

5.1.4 Building projects submitted to the European Parliament and the Council

EFCA does not foresee building projects with significant financial implication as defined in EFCA’s Financial Regulation.

5.2 European Schools

N/A

5.3 Evaluation

Regarding the third five-year external independent evaluation of the Agency for the period 2017-2021, an Evaluation Steering Committee (ESC) was set up in March 2021 and the mandate was presented to the Administrative Board meeting of 21 April 2021 for discussion. The terms of reference were adopted by the Administrative Board in its meeting of 4 June 2021 and EFCA subsequently launched the procurement procedure and signed a contract with the winning company

on 17 November 2021. In the Kick-off meeting on 26 November 2021 the External Evaluator presented the technical offer and refined the evaluation methodology and strategy for data collection and analysis, based on discussions and feedback from the ESC during the subsequent inception phase. On 29 July 2022 the External Evaluator presented a draft final report which was submitted to the Members of the Administrative Board for comments. On the basis of the feedback provided, the Evaluator finalised the report.

Finally, a Seminar on the Five-Year Independent External Evaluation of the EFCA was held the day before the Administrative Board on 26 October 2022, which brought valuable input to the Administrative Board for the issuing of recommendations to the European Commission regarding changes to the Founding Regulation, the Agency and its working practices, as required by the Financial Regulation.

Both the evaluation findings and recommendations were made public and can be found here: <https://www.efca.europa.eu/en/content/external-evaluation-2021>.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-------------------------------|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| VAT exempted (invoices >300€) | VAT exempted for vehicle purchases, special ID cards | N/A |

2.3.2 European Environment Agency – EEA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|--|
| Council Regulation (EEC) No. 1210/90 | 07/05/1990 | Establishment of the European Environment Agency and the European environment information and observation network - established the objective of the EEA, 10 tasks to be carried out to achieve the objective, and priority areas of work |
| Council Regulation 933/1999 | 29/04/1999 | Following a first evaluation of the Agency as required in the Founding Regulation: the objective of the EEA was revised to include reference to sustainable development, some of the tasks were revised slightly, three new tasks were added, one priority area of work was added, enabling the management board to be extended to representatives on non-EU countries, the election of a bureau delegated to take executive decisions on behalf of the management board was enabled, an article listing possible further tasks for the Agency was deleted, and requirements for two further evaluations of the Agency were specified. |
| Regulation (EC) No 1641/2003 of the European Parliament and of the Council (L 245 1 29.9.2003) | 22/07/2003 | Revised Article 6 to indicate that Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents shall apply to documents held by the Agency |
| 8 th Environmental Action Programme (Decision (EU) 2022/591 of the European Parliament and of the Council) | 06/04/2022 | The overarching aim of the 8th EAP is to accelerate the Union's transition to a climate-neutral, resource-efficient clean and circular economy in a just and inclusive way and achieve the environmental objectives of the United Nations' Agenda 2030 and its Sustainable Development Goals, fully endorsing the environmental and climate objectives of the European Green Deal. |
| European Climate Law (Regulation (EU) 2021/1119) | 09/07/2021 | Establishing the European Scientific Advisory Board for Climate Change, whose secretariat is hosted by the EEA, and giving tasks and resources to the EEA to support the reporting on progress towards climate neutrality and the monitoring and evaluation of progress on adaptation. |
| Proposal for a Regulation of the European Parliament and of the Council amending Regulations (EU) 2018/841 as regards the scope, simplifying the compliance rules, setting out the targets of the Member States for 2030 and committing to the collective achievement of climate neutrality by 2035 in the land use, forestry and agriculture sector, and (EU) 2018/1999 as regards improvement in monitoring, reporting, tracking of progress and review (COM(2021) 554 - LULUCF Regulation) | 14/07/2021 | The Agency will support the Commission on the following aspects: <ol style="list-style-type: none"> 1. Additional quality checks on agriculture and LULUCF emissions inventories, including consistency checks between EU and MS greenhouse gas inventory data and carbon certification schemes. 2. Support comprehensive review of agriculture and LULUCF sector emission inventories. 3. Facilitate improved and annual geographical tracking of carbon pools and developing a pan-European dataset for progress tracking and assessment; Safeguard that increased carbon removals do not lead to negative impact on the environment. |
| Proposal for a Regulation of the European Parliament and of the Council on reporting of environmental data from industrial installations and establishing an Industrial Emissions Portal (COM(2022) 157) (recast of E-PRTR Regulation) | 5/04/2022 | The Agency is to establish an upgraded, expanded, user friendly and fully operational Industrial Emissions Portal. |
| Proposal for a Regulation of the European Parliament and of the Council on nature restoration (COM(2022) 304) (Nature Restoration Law) | 22/06/2022 | The Agency will support the Commission with thematic expertise covering the 'ecosystem targets', data analytics expertise to support reporting, support for the overall reporting and the monitoring network for pollinators. |
| Proposal for a Directive of the European Parliament and of the Council amending Directive 2000/60/EC establishing a framework for Community action in the field of water policy, Directive 2006/118/EC on the protection of groundwater against pollution and deterioration and Directive 2008/105/EC on environmental quality standards in the field of water policy (COM(2022) 540) (Zero Pollution Package) | 26/10/2022 | The Agency shall carry out: <ol style="list-style-type: none"> 1. Support on centralising and processing monitoring and status data – additional resources for EEA to ensure more regular information on water status – better implementation – identification of new needs. 2. Data processing and analysis for the implementation of the urban wastewater treatment directive. 3. Tasks related to the reporting and exchange of information of air quality data and assessment of ambient air quality. |

| | | |
|---|------------|--|
| Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU) 2019/1242 as regards strengthening the CO ₂ emission performance standards for new heavy-duty vehicles and integrating reporting obligations (COM(2023) 88) | 14/02/2023 | The Agency will contribute to the coordination of the data collection activities. The proposal requires additional data assessment by the Commission and the Agency due to the newly covered vehicle groups, the provisions on small volume manufacturers exemptions and the transfer of vehicles. |
|---|------------|--|

1.2 Seat

Copenhagen, Denmark

1.3 Budget Line

09 10 02 : European Environment Agency

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 90 | 88 | 97,78% | 104 | 120 |
| Assistants (AST) | 60 | 58 | 96,67% | 61 | 56 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 150 | 146 | 97,33% | 165 | 176 |
| Contract Agents (CA) | 86 | 84 | 97,67% | 93 | 101 |
| Seconded National Experts (SNE) | 20 | 13 | 65,00% | 20 | 20 |
| TOTAL STAFF | 256 | 243 | 94,92% | 278 | 297 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 54 352 234 | 60 974 417 |
| Other Revenue | 6 270 733 | 7 164 482 |
| TOTAL REVENUES | 60 622 967 | 68 138 899 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 35 524 460 | 21 969 290 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 2 880 000 | |
| TOTAL REVENUES | 38 404 460 | 21 969 290 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 36 723 967 | 36 723 967 | 42 411 899 | 42 411 899 |
| Title 2 - Infrastructure and operating expenditure | 6 214 000 | 6 214 000 | 6 661 000 | 6 661 000 |
| Title 3 - Operational expenditure | 17 685 000 | 17 685 000 | 19 066 000 | 19 066 000 |
| TOTAL EXPENDITURE | 60 622 967 | 60 622 967 | 68 138 899 | 68 138 899 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 2 | | | | 2 | | 3 | | 3 |
| AD 13 | 1 | 6 | | 3 | 1 | 6 | 1 | 5 | 1 | 5 |
| AD 12 | | 16 | 1 | 2 | | 16 | | 8 | | 8 |
| AD 11 | | 10 | | 9 | | 10 | | 14 | | 14 |
| AD 10 | | 11 | | 15 | | 11 | | 14 | | 14 |
| AD 9 | | 9 | | 10 | | 9 | | 10 | | 10 |
| AD 8 | | 6 | | 5 | | 6 | | 12 | | 12 |
| AD 7 | | 11 | | 18 | | 12 | | 22 | | 22 |
| AD 6 | | 17 | | 24 | | 30 | | 30 | | 30 |
| AD 5 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD TOTAL | 1 | 89 | 1 | 87 | 1 | 103 | 1 | 119 | 1 | 119 |
| AST 11 | | 2 | | | | 2 | | 1 | | 1 |
| AST 10 | 1 | 5 | 1 | 2 | 1 | 5 | 1 | 3 | 1 | 3 |
| AST 9 | 2 | 11 | 1 | 5 | 2 | 11 | 2 | 7 | 2 | 7 |
| AST 8 | | 11 | | 7 | | 11 | | 9 | | 9 |
| AST 7 | | 11 | | 5 | | 11 | | 9 | | 9 |
| AST 6 | | 10 | | 13 | | 10 | | 11 | | 11 |
| AST 5 | | 7 | | 10 | | 7 | | 7 | | 7 |
| AST 4 | | | | 8 | | 1 | | 4 | | 4 |
| AST 3 | | | | 5 | | | | 2 | | 2 |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 3 | 57 | 2 | 56 | 3 | 58 | 3 | 53 | 3 | 53 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 4 | 146 | 3 | 143 | 4 | 161 | 4 | 172 | 4 | 172 |
| GRAND TOTAL | 150 | | 146 | | 165 | | 176 | | 176 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 64 | 67 | 70 | 83 |
| Function Group III | 11 | 11 | 12 | 16 |
| Function Group II | 11 | 6 | 11 | 2 |
| Function Group I | | | | |
| TOTAL | 86 | 84 | 93 | 101 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 20 | 13 | 20 | 20 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 49 682 516 | 54 352 234 | 60 974 417 | 60 974 417 | 12,18% |
| - Of which assigned revenues deriving from previous years' surpluses | 235 161 | 171 623 | 251 873 | 251 873 | 46,76% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 4 367 869 | 4 394 330 | 4 906 170 | 4 906 170 | 11,65% |
| - Of which EEA/EFTA (excl. Switzerland) | 1 240 869 | 1 267 330 | 1 779 170 | 1 779 170 | 40,39% |
| - Of which candidate countries | 3 127 000 | 3 127 000 | 3 127 000 | 3 127 000 | 0,00% |
| 4 OTHER CONTRIBUTIONS | 1 831 392 | 1 876 403 | 2 258 312 | 2 258 312 | 20,35% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 55 881 777 | 60 622 967 | 68 138 899 | 68 138 899 | 12,40% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 3 247 000 | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 31 428 000 | 35 524 460 | 21 969 290 | 21 969 290 | -38,16% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 8 081 000 | 2 880 000 | | | -100% |
| TOTAL | 42 756 000 | 38 404 460 | 21 969 290 | 21 969 290 | -42,79% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 33 313 093 | 36 723 967 | 42 411 899 | 42 411 899 | 15,49% |
| Salaries & allowances | 31 650 614 | 34 548 967 | 40 414 899 | 40 414 899 | 16,98% |
| - Of which establishment plan posts | 22 507 870 | 23 097 165 | 28 130 033 | 28 130 033 | 21,79% |
| - Of which external personnel | 9 142 744 | 11 451 802 | 12 284 866 | 12 284 866 | 7,27% |
| Expenditure relating to Staff recruitment | 413 720 | 750 000 | 500 000 | 500 000 | -33,33% |
| Employer's pension contributions | | | | | |
| Mission expenses | 313 000 | 300 000 | 312 000 | 312 000 | 4,00% |
| Socio-medical infrastructure | 367 916 | 460 000 | 520 000 | 520 000 | 13,04% |
| Training | 553 843 | 650 000 | 650 000 | 650 000 | 0,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | 14 000 | 15 000 | 15 000 | 15 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 662 945 | 6 214 000 | 6 661 000 | 6 661 000 | 7,19% |
| Rental of buildings and associated costs | 4 454 819 | 4 365 000 | 4 722 000 | 4 722 000 | 8,18% |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | 320 475 | 780 000 | 812 000 | 812 000 | 4,10% |
| Current administrative expenditure | 721 299 | 796 000 | 854 000 | 854 000 | 7,29% |
| Postage / Telecommunications | 6 885 | 13 000 | 13 000 | 13 000 | 0,00% |
| Meeting expenses | 155 513 | 220 000 | 220 000 | 220 000 | 0,00% |
| Running costs in connection with operational activities | 3 954 | 40 000 | 40 000 | 40 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 16 905 739 | 17 685 000 | 19 066 000 | 19 066 000 | 7,81% |
| Resources | 15 332 255 | 15 830 000 | 17 040 000 | 17 040 000 | 7,64% |
| Strategic Actions | 1 573 484 | 1 855 000 | 2 026 000 | 2 026 000 | 9,22% |
| TOTAL | 55 881 777 | 60 622 967 | 68 138 899 | 68 138 899 | 12,40% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 33 313 093 | 36 723 967 | 42 411 899 | 42 411 899 | 15,49% |
| Salaries & allowances | 31 650 614 | 34 548 967 | 40 414 899 | 40 414 899 | 16,98% |
| - Of which establishment plan posts | 22 507 870 | 23 097 165 | 28 130 033 | 28 130 033 | 21,79% |
| - Of which external personnel | 9 142 744 | 11 451 802 | 12 284 866 | 12 284 866 | 7,27% |
| Expenditure relating to Staff recruitment | 413 720 | 750 000 | 500 000 | 500 000 | -33,33% |
| Employer's pension contributions | | | | | |
| Mission expenses | 313 000 | 300 000 | 312 000 | 312 000 | 4,00% |
| Socio-medical infrastructure | 367 916 | 460 000 | 520 000 | 520 000 | 13,04% |
| Training | 553 843 | 650 000 | 650 000 | 650 000 | 0,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | 14 000 | 15 000 | 15 000 | 15 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 662 945 | 6 214 000 | 6 661 000 | 6 661 000 | 7,19% |
| Rental of buildings and associated costs | 4 454 819 | 4 365 000 | 4 722 000 | 4 722 000 | 8,18% |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | 320 475 | 780 000 | 812 000 | 812 000 | 4,10% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 721 299 | 796 000 | 854 000 | 854 000 | 7,29% |
| Postage / Telecommunications | 6 885 | 13 000 | 13 000 | 13 000 | 0,00% |
| Meeting expenses | 155 513 | 220 000 | 220 000 | 220 000 | 0,00% |
| Running costs in connection with operational activities | 3 954 | 40 000 | 40 000 | 40 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 16 905 739 | 17 685 000 | 19 066 000 | 19 066 000 | 7,81% |
| Resources | 15 332 255 | 15 830 000 | 17 040 000 | 17 040 000 | 7,64% |
| Strategic Actions | 1 573 484 | 1 855 000 | 2 026 000 | 2 026 000 | 9,22% |
| TOTAL | 55 881 777 | 60 622 967 | 68 138 899 | 68 138 899 | 12,40% |

3.3 Budget Outturn

The surplus for 2022 is EUR 251,873.

4 Justification of needs

Commission assessment

Human Resources

The establishment plan (temporary agents (TA) & fonctionnaires), contract agent (CA) and seconded national expert (SNE) posts in the *Draft Budget Request* and *Agency Request* for 2024 have been prepared in accordance with the Budget Circular 2024 guidelines:

- Stable staffing relative to the approved establishment plan and posts for 2023.
- Additional posts stemming from legislative financial statements accompanying new legislation.

A breakdown of the posts for the EEA, showing the additional posts stemming from the legislative financial statements, is given in Section 4.1.1.

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2024-2027 following the adoption of the 2023 budget. The draft budget request (*Budget Forecast*) and *Agency Request* for 2024 have been prepared in accordance with the Budget Circular 2024 guidelines:

- A 2% annual indexation to the EU subvention to deliver the existing work programme.
- Additional resources stemming from legislative financial statements accompanying new legislation.

The breakdown of the EU Subvention is therefore as follows (increase from 2023 budget in brackets):

| | | |
|---|----------------|---------------|
| Baseline Work Programme | EUR 45,704,683 | (EUR 896,169) |
| 8 th EAP | EUR 3,338,000 | (EUR 51,000) |
| European Climate Law | EUR 3,528,533 | (EUR 68,987) |
| Nature Restoration Law | EUR 2,954,112 | (EUR 652,508) |
| SEVESO Directive | EUR 935,763 | (EUR 440,193) |
| LULUCF Regulation | EUR 2,297,000 | |
| E-PRTR Regulation (recast) | EUR 649,816 | |
| Zero Pollution Package | EUR 1,187,476 | |
| Carbon Removals and Heavy Duty Vehicles | EUR 379,034 | |

The EEA receives, in addition to its EU subvention, contributions to its budget from the EFTA agreement and Switzerland, which increase in proportion to the EU subvention, and Turkey, which is a fixed amount.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

A breakdown of the additional posts stemming from legislative financial statements, and the year these posts were first included in the EEA's establishment and staffing plans, is listed below:

| | |
|----------------------------|--|
| 8 th EAP | 9 TA, 6 CA (2021) |
| European Climate Law | 10 TA, 6 CA (2022) |
| Nature Restoration Law | 7 TA, 5 CA (2023) |
| SEVESO database | 3 TA, 1 CA (2023) |
| LULUCF Regulation | 8 TA, 3 CA (4 TA & 1 CA post in 2023, remainder in 2024) |
| E-PRTR Regulation (recast) | 2 TA (2024) |
| Zero Pollution Package | 5 TA, 3 CA (2024) |
| Carbon Removals & HDVs | 1 TA, 3 CA (2024), additional CA in 2025 |

A TA post granted to the EEA in 2021 for a three year period to deliver tasks for Sustainable Finance Taxonomy, has been removed from the EEA's establishment plan in 2024.

In the Agency request for the 2023 budget, the EEA requested additional support posts. This request was to address a marked decline in the ratio of support posts to operational posts, which was caused by the austerity measures in the previous MFF 2014-20 and the growth in operational posts (+72 posts) to deliver new tasks requested by the Commission in legislative acts in the current MFF. This request was supported by Parliament, who adopted an amendment to the EEA 2023 budget adding five TA support posts. However, this amendment was removed as part of the budget conciliation between Parliament and Council. The fiche for the Nature Restoration Law, expected to be adopted in 2023, includes a post for a procurement officer. Additional support posts are still needed to ensure an adequate ratio of support to operational posts.

Conversion of AST posts to AD posts:

In January the EEA submitted an amendment to its 2023 budget for Management Board approval, converting four AST posts to AD; a fifth post will be converted at the beginning of 2024, on the retirement of a staff member holding the post. The conversion of these five posts from AST to AD is reflected in the 2024 budget; for clarity, no change to the overall TA posts has been made with this conversion, and no impact is anticipated on the Title 1 budget, as the vacant AST posts included higher grades than the recruitment of AD posts at AD6 and AD7. The AST vacancies have been achieved through efficiency gains, streamlining and centralising certain administrative tasks.

Conversion of contract agent posts from FGII to FGIII & FGIV:

At the beginning of 2024, the EEA will convert 11 CA FGII posts to 6 FGIII and 5 FGIV posts, and this has been reflected in Table 2.2.1.

The Agency needs to change its focus from FGII to FGIII for several reasons. Firstly, the Agency has for several years experienced strong competition in attracting staff, as Copenhagen is one of the most attractive cities for private employment with a high salary levels. Secondly, as part of its drive for efficiency gains, the Agency no longer has the need for posts with “only” secretarial functions, but instead requires assistant roles which deliver secretarial and technical assistance. To attract profiles mastering the required skills and competences, the Agency considers such profiles are more suitable at FGIII level.

The drive for efficiency gains has also resulted in a reduction in the total assistant posts needed, allowing the repositioning of 5 posts to FGIV, to deliver expertise in other areas where there is a demand to deliver new work.

4.1.2 Vacancy rate as of end 2022

In 2022 the EEA again experienced a very challenging recruitment year: a high demand to fill both existing vacancies and new posts, in particular under the European Climate Law and for the Secretariat to European Scientific Advisory Board on Climate Change, and a shortage of recruitment staff. As a result of the austerity measure reductions in the MFF 2014-20, the EEA currently has only two recruitment officers.

The EEA responded to these challenges firstly, in engaging three full-time interims and redeploying 50% a secretary from one of the EEA’s operational departments. Secondly, we continued with virtual recruitment procedures, using its new recruitment system Systal, a practice that had already been well received by candidates and EEA recruiting managers since 2020. Thirdly, we switched from procedures for individual posts to broad based procedures to generate large reserve lists from which vacancies could be filled.

As a result of these efforts, 2022 was also a very successful recruitment year: more than 1,000 applications were processed, and high occupancy was achieved by year end. The EEA has achieved a 98% occupancy rate among AD positions (2 posts vacant), a 97% rate for ASTs (2 posts remained empty), in total an occupancy rate of almost 98% of the establishment plan posts. Further, 98% of the CA posts (2 posts vacant) and 13 SNE positions were filled at year-end (7 vacant positions).

Selection procedures are already in progress for the vacancies 2023 (additional new tasks) and the remaining vacant posts of 2022 and are expected to be completed in early 2023.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The EEA has applied a salary update of +4.4% from 1 July 2023, and +3.4% from 1 July 2024, as stipulated in the Budget Circular 2024.

4.1.5 Correction coefficient used

The correction coefficient for remuneration for Denmark from 1 July 2022 is 1.347. This has been assumed unchanged for the 2024 budget.

4.1.6 Exchange rate used (if applicable)

DKK exchange rate budgeted as unchanged.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Agency Request has applied the Commission's forecast in the Budget Circular of a salary update of +4.4% from 1 July 2023, and +3.4% from 1 July 2024. No change has been assumed to the correction coefficient for remuneration for Denmark from the current coefficient of 1.347.

An average inflation rate of 4% from 2023 to 2024 has been used for other Title 1 expenditure.

Furthermore, the forecasted salary and other Title 1 expenditure reflects the additional posts stemming from legislative financial statements as outlined in Section 4.1.1.

The reduction from 2023 to 2024 in the expenditure relating to staff recruitment (Chapter 12) is a result of a forecasted reduction in recruitment activity. The 2023 budget includes the recruitment costs for a new executive director for the EEA.

The mission budget (Chapter 13) reflects physical travel at less than half of pre-Covid levels, supporting the EEA's ambitions for climate neutrality.

4.2.2 Title 2

The budget for Title 2 reflects the expenditure needed to run the EEA's essential office facilities, and staff IT and telephony services and equipment. To leverage the benefits of shared services, the EEA increasingly makes use of personnel, finance, procurement and IT security systems and services provided by the Commission, which comprise most of the Chapter 23 budget. The budget also covers the EEA's governance structures, primarily its Management Board and Scientific Committee.

An average inflation rate of 4% from 2023 to 2024 has been used for forecasting the Title 2 expenditure.

4.2.3 Title 3

The EEA's Chapter 33 Resources budget comprises expenditure for its European Topic Centres (ETC), its IT infrastructure and development to deliver its legislative data flows, systems and reporting obligations, its communication expenditure, publications and outreach, expert meetings supporting in particular the European Environment Information and Observation Network (Eionet).

Substantially the largest portion of the Resources budget is for the grant agreements with seven European Topic Centres (ETCs), comprising consortia of Member State institutions, which collaborate with the EEA in delivering its work programme tasks.

The EEA's Chapter 35 Areas of Work budget comprises expenditure on direct actions under the EEA's strategy for 2021-30. These actions focus particularly on the EEA's tasks to support the Commission's priorities under the European Green Deal and the 8th EAP: protecting biodiversity, mitigating and adapting to climate change, supporting zero pollution initiatives and human health, promoting the circular economy and developing sustainability.

Given that the 2% annual indexation for the EEA's EU subvention is significantly lower than the salary increases and inflation rates used for the Title 1 & 2 budgets, the increases in these budgets are placing pressure on its Title 3 operational budget.

4.3 Ad hoc grants and delegation agreements

The EEA has a number of grant, contribution and service level agreements with the Commission. The agreements that are in force at the time of writing are listed below. The information provided for each agreement is the type of agreement (grant GA, contribution CA, service level SLA), the partner directorate general, the dedicated contract agent (CA) posts, the total budget and the duration of the agreement.

- **PARC GA, DG RTD, 2 CAs, EUR 2.9 million, May 2022 - Apr 2029.** The EEA participates as a partner in the consortium for the Partnership for the Assessment of the Risks of Chemicals funded by Horizon Europe.
- **Copernicus CA: DG DEFIS, 12 CAs, EUR 135 million, Nov 2021 - Dec 2028.** To provide pan-European and Local Component Land Services and In-situ Coordination.
- **IPA III CA: DG NEAR, 5 CAs, EUR 3.9 million, Jan 2023 - Dec 2025.** Cooperation with Western Balkan countries under the Instrument for Pre-Accession (IPA) for Green Agenda and Energy Community activities.
- **NDICI CA: DG NEAR, 2 CAs, EUR 1.0 million, Jan 2023 - Dec 2025.** Cooperation with Ukraine, Georgia and Moldova under the Instrument for Pre-Accession (IPA) for Energy Community activities.
- **EuroGEO SLA: DG RTD, 2 CAs, EUR 1.5 million, Dec 2020 - Nov 2023.** The agreement covers a project 'Mainstreaming GEOSS data sharing and management principles in support of Europe's environment' under the Horizon 2020 programme.
- **European Climate and Health Observatory SLA: DG SANTE, 1 CA, EUR 1.5 million, Aug 2021 - Jul 2025.** To support the health content developments and maintain the platform of the European Climate and Health Observatory.
- **Regional & Urban Environmental Indicators SLA: DG REGIO, 2 CAs, EUR 1.3 million, Jan 2022 - Dec 2024.** To support the implementation of regional and urban environmental indicators and analysis, addressing regional and urban land use, and various aspects of air pollution in Europe.
- **LULUCF SLA: DG CLIMA, no CAs, EUR 300,000, Feb 2022 - May 2023.** Supporting Member States to upgrade their GHG inventories to be fit for target compliance in 2025.
- **Mission on Adaptation to Climate Change SLA: DG CLIMA, 4 CAs, EUR 2.9 million, May 2022 - Apr 2025.** Developing a support system tailored to the needs of the Mission in the Climate-ADAPT platform.
- **European Climate Risk Assessment SLA: DG CLIMA, 2 CAs, EUR 1.8 million, May 2022 - Dec 2024.** To support the development of the first EU-wide climate risk assessment.
- **EEA - Eurostat Cooperation SLA: DG ESTAT, 3 CAs, EUR 2.0 million, Jan 2023 - Dec 2025.** Cooperation to enrich and make increased use of ESTAT data and accounts.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|------------------|----------------------------------|--------------|--------------|------------------|--|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Down-town (centrally located late 19th century building) | Kongens Nytorv 6 | 5 760 | 1 166 | 6 926 | 2 051 000 | Commercial lease agreement valid until one of the parties denounces it | | | |
| 2 | Part of a down-town (centrally located) late 19th century building | Kongens Nytorv 8 | 2 460 | | 2 460 | 773 000 | Commercial lease agreement valid until one of the parties denounces it | | | |
| TOTAL | | | 8 220 | 1 166 | 9 386 | 2 824 000 | | | | |

5.1.2 Current building(s) Other comments

EEA is undergoing a refurbishment project, aiming at optimising the leased space to offer an efficient working environment better suited to its mission and the anticipated growing staffing numbers. The refurbishment project focuses on the building located at Kongens Nytorv No 6, which is solely occupied by the EEA. The refurbishment project of the office space in the floors above ground is expected to be completed by the end of 2024. Further transformation of the conference room and the leased space in the building located at Kongens Nytorv No 8 might be considered in 2025.

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 European Schools

The European school is now fully opened, as the last element, being the accreditation of the upper secondary, has now been successfully granted. The first European Bacculaureate students are expected to graduate in June 2023. The Management of the European School met with the relevant authorities of the Danish Government, and it appears that the re-evaluation of the marking of the European Bacculaureate for entrance to Danish Universities was re-evaluated in a more favourable manner, though still not ideal.

The number of students continues to grow, with further increases due to the expansion of the Agency, where the school has certainly been of assistance in attracting a wider range of applicants. With the increase in students, the estimated budget for 2023 is foreseen to be in the order of EUR 430,000, with further growth in this figure expected for 2024.

5.3 Evaluation

Between 2016 and 2018 the European Commission carried out an evaluation of the EEA/Eionet, including the Founding Regulation. The evaluation covered the period mid-2012 until end-2016 and took into account the previous evaluations of the agency (such as that from April 2013). The evaluation followed the Better Regulation Guidelines and thus included analysis of five main themes: effectiveness, efficiency, relevance, coherence and European added value.

The overall conclusion of the evaluation was that the EEA and EIONET fulfil the main objectives set by the Founding Regulation. The evaluation assessed that the EEA and EIONET continue to be relevant, and provide EU value added by implementing the multi-annual work programmes in a largely effective, efficient and coherent way.

The evaluation recognised that the EIONET is a unique network of environment and climate expertise, which provides essential expertise in many areas and contributes e.g. through the European Topic Centres to the efficiency of the EEA's work. There was margin for improvement in the information on EIONET activities, the clarification of the role and better visibility of the value added of the various EIONET components and how these interact with the EEA and the Commission services, notably in the area of reporting.

The EEA and EIONET were seen as essential data providers for both the Commission and member countries. While data collection is seen as working efficiently in all climate and many environmental fields, it did not always yet fully benefit from new technologies, such as Copernicus. The evaluation identified that these additional requests demonstrate recognition that EEA and its network provide unique value for money.

The evaluation further concluded that EEA has proven to be reliable in providing the necessary information to support EU policy making and had adapted very well to new EU policy developments. The evaluation also noted that these accomplishments had been achieved against a backdrop of decreasing staff resources and increasing demand from the Commission to support new legislation on both environment and climate sides as well as support for Copernicus.

An evaluation by the Commission of the EEA/Eionet for the period 2017-2021 was commenced in Q4 2022 and is continuing during 2023.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|--|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency has diplomatic status. | Since 1 st April 2017 Agency staff have the option to be registered either with Danish Protocol or with a full CPR in the Danish system. Senior management have full diplomatic status. | Agency statutory staff receive education allowances in line with the Staff regulations. |
| The Agency has exemption from VAT. The Agency pays the VAT on Danish invoices then claims it back from the Danish Protocol. | Externally recruited Agency staff can buy one car free of VAT and registration tax within a period of one year as from the date of taking up duties. | No specific privileges. Agency staff have access to day care facilities within Denmark but this can be problematic for those staff who wish to or need to register via the Protocol Dept. rather than via the normal immigration services (thus obtaining a full 'CPR' number). |

2.3.3 *European Chemicals Agency – ECHA*

The information on the Environmental directives and International conventions strand of ECHA is presented in paragraph 2.1.10.

2.4 Decentralised agencies of Heading 4 – Migration and Border Management

2.4.1 European Union Agency for Asylum – EUAA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------------|--|
| Regulation (EU) No 439/2010 of the European Parliament and of the Council establishing a European Asylum Support Office | 19 May 2010 | EASO's founding mission was to contribute to the implementation of the CEAS by enhancing practical cooperation, stimulating information exchange, ensuring convergence in the assessment of protection needs in the Member States, and providing operational and technical assistance to Member States subject to pressure on their asylum and reception systems. EASO's main tasks are: - providing practical and technical support to Member States and the EU Institutions; - providing operational support to Member States that have specific needs and to Member States subject to particular pressure on their asylum and reception systems caused by sudden and extra-ordinary situations of arrivals on their territory; and - providing scientific input for EU policymaking and legislation in all areas having a direct or indirect impact on asylum and migration. This Regulation was superseded by Regulation (EU) 2021/2305. |
| Regulation (EU) 2021/2303 of the European Parliament and of the Council on the European Union Agency for Asylum and repealing Regulation (EU) No 439/2010 | 15 December 2021 | According to the tasks in Article 2 of the EUAA Regulation, the Agency will support Member States in the field of asylum and reception and on the implementation of the CEAS. This shall be done by facilitating, coordinating, and strengthening practical cooperation and information exchange among Member States, with support from Liaison Officers. Information on relevant Third Countries, countries of origin and the safe country concept will be shared through reports, common analyses, guidance notes and thematic European networks. The Agency will deliver effective operational and technical assistance to Member States, in particular, to those whose asylum systems are under disproportionate pressure. This should include the relocation/transfer of applicants/beneficiaries of international protection within the Union, the deployment of asylum support teams and experts from the asylum reserve pool to complement the asylum intervention pool. The Agency will also develop Operational standards, indicators, guidelines, and best practices in regards to the implementation of all instruments of Union law on asylum. The Agency will also monitor the operational and technical application of the CEAS to enhance European asylum and reception systems, and will train Member State officials and implement a European asylum curriculum to assist Member States when carrying out CEAS-related tasks and obligations under Regulation (EU) No 604/2013. Finally, the Agency is to provide resettlement and third country support, facilitated by Liaison Officers deployed to third countries, as well as engaging in communication activities in the relevant areas. Entry into force of the Regulation was 19/01/2022, except for the Monitoring mechanism, which will enter into application on 31/12/2023. |

1.2 Seat

Valletta (Malta)

1.3 Budget Line

10 10 01 : European Union Agency for Asylum (EUAA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | 2023 | 2024 |
|-----------------|------|------|------|
|-----------------|------|------|------|

| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| Administrators (AD) | 236 | 203 | 86,02% | 236 | 236 |
| Assistants (AST) | 135 | 130 | 96,30% | 135 | 135 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 371 | 333 | 89,76% | 371 | 371 |
| Contract Agents (CA) | 123 | 177 | 143,90% | 213 | 183 |
| Seconded National Experts (SNE) | 11 | 10 | 90,91% | 11 | 11 |
| TOTAL STAFF | 505 | 520 | 102,97% | 595 | 565 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 180 135 127 | 180 677 829 |
| Other Revenue | p.m. | p.m. |
| TOTAL REVENUES | 180 135 127 | 180 677 829 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | p.m. | p.m. |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | p.m. | p.m. |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 55 357 080 | 55 357 080 | 52 770 000 | 52 770 000 |
| Title 2 - Infrastructure and operating expenditure | 17 896 332 | 17 896 332 | 19 078 254 | 19 078 254 |
| Title 3 - Operational expenditure | 106 881 715 | 106 881 715 | 108 829 575 | 108 829 575 |
| TOTAL EXPENDITURE | 180 135 127 | 180 135 127 | 180 677 829 | 180 677 829 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | | | | | | | | | |
| AD 13 | | 3 | | | | 3 | | 3 | | 3 |
| AD 12 | | 5 | | 3 | | 5 | | 8 | | 7 |
| AD 11 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AD 10 | | 16 | | 12 | | 17 | | 21 | | 20 |
| AD 9 | | 23 | | 18 | | 23 | | 36 | | 30 |
| AD 8 | | 59 | | 53 | | 70 | | 60 | | 61 |
| AD 7 | | 68 | | 67 | | 68 | | 65 | | 65 |
| AD 6 | | 30 | | 26 | | 26 | | 38 | | 30 |
| AD 5 | | 28 | | 22 | | 20 | | 8 | | 16 |
| AD TOTAL | | 236 | | 203 | | 236 | | 243 | | 236 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | 4 | | 4 | | 5 | | 5 | | 5 |
| AST 5 | | 29 | | 27 | | 30 | | 32 | | 32 |
| AST 4 | | 59 | | 58 | | 60 | | 58 | | 58 |
| AST 3 | | 34 | | 33 | | 33 | | 33 | | 33 |
| AST 2 | | 9 | | 8 | | 7 | | 7 | | 7 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 135 | | 130 | | 135 | | 135 | | 135 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | 371 | | 333 | | 371 | | 378 | | 371 |
| GRAND TOTAL | 371 | | 333 | | 371 | | 378 | | 371 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 64 | 92 | 154 | 92 |
| Function Group III | 48 | 65 | 43 | 69 |
| Function Group II | 11 | 20 | 16 | 22 |
| Function Group I | | | | |
| TOTAL | 123 | 177 | 213 | 183 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 11 | 10 | 11 | 11 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 165 780 000 | 180 135 127 | 180 677 829 | 180 677 829 | 0,30% |
| - Of which assigned revenues deriving from previous years' surpluses | 18 118 796 | 7 965 840 | 12 576 653 | 12 576 653 | 57,88% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 50 472 | p.m. | p.m. | p.m. | |
| - Of which EEA/EFTA (excl. Switzerland) | 50 472 | p.m. | p.m. | p.m. | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 8 789 386 | p.m. | p.m. | p.m. | |
| 5 ADMINISTRATIVE OPERATIONS | 159 640 | | | | |

| REVENUES | General revenues | | | | |
|--|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 174 779 498 | 180 135 127 | 180 677 829 | 180 677 829 | 0,30% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | p.m. | p.m. | p.m. | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | p.m. | p.m. | p.m. | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 48 648 950 | 55 357 080 | 52 770 000 | 52 770 000 | -4,67% |
| Salaries & allowances | 40 951 597 | 44 942 191 | 43 710 000 | 43 710 000 | -2,74% |
| <i>- Of which establishment plan posts</i> | <i>30 716 674</i> | <i>34 784 839</i> | <i>34 000 000</i> | <i>34 000 000</i> | <i>-2,26%</i> |
| <i>- Of which external personnel</i> | <i>10 234 923</i> | <i>10 157 352</i> | <i>9 710 000</i> | <i>9 710 000</i> | <i>-4,40%</i> |
| Expenditure relating to Staff recruitment | 181 269 | 224 845 | 225 000 | 225 000 | 0,07% |
| Employer's pension contributions | | | | | |
| Mission expenses | 2 431 216 | 2 500 000 | 1 800 000 | 1 800 000 | -28,00% |
| Socio-medical infrastructure | 2 830 216 | 3 944 757 | 3 655 000 | 3 655 000 | -7,35% |
| Training | 691 539 | 1 194 287 | 1 200 000 | 1 200 000 | 0,48% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 1 561 029 | 2 541 000 | 2 160 000 | 2 160 000 | -14,99% |
| Receptions, events and representation | 2 084 | 10 000 | 20 000 | 20 000 | 100,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 15 339 115 | 17 896 332 | 19 078 254 | 19 078 254 | 6,60% |
| Rental of buildings and associated costs | 6 624 456 | 9 005 120 | 9 396 600 | 9 396 600 | 4,35% |
| Information, communication technology and data processing | 7 236 667 | 6 174 914 | 7 061 379 | 7 061 379 | 14,36% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 1 477 992 | 2 716 298 | 2 620 275 | 2 620 275 | -3,54% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 112 870 670 | 106 881 715 | 108 829 575 | 108 829 575 | 1,82% |
| Information, Analysis and Knowledge Development | 2 031 371 | 3 609 000 | 3 839 000 | 3 839 000 | 6,37% |
| Support for MS practical cooperation | 7 869 083 | 12 975 235 | 14 375 825 | 14 375 825 | 10,79% |
| Operational support | 102 848 827 | 89 545 980 | 89 661 750 | 89 661 750 | 0,13% |
| Cooperation with civil society and stakeholders | 121 389 | 400 000 | 400 000 | 400 000 | 0,00% |
| EUAA monitoring of application of the CEAS | | 300 000 | 500 000 | 500 000 | 66,67% |
| Protection of fundamental rights | | 51 500 | 53 000 | 53 000 | 2,91% |
| TOTAL | 176 858 735 | 180 135 127 | 180 677 829 | 180 677 829 | 0,30% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 47 619 918 | 55 357 080 | 52 770 000 | 52 770 000 | -4,67% |
| Salaries & allowances | 40 951 597 | 44 942 191 | 43 710 000 | 43 710 000 | -2,74% |
| - Of which establishment plan posts | 30 716 674 | 34 784 839 | 34 000 000 | 34 000 000 | -2,26% |
| - Of which external personnel | 10 234 923 | 10 157 352 | 9 710 000 | 9 710 000 | -4,40% |
| Expenditure relating to Staff recruitment | 152 341 | 224 845 | 225 000 | 225 000 | 0,07% |
| Employer's pension contributions | | | | | |
| Mission expenses | 2 061 123 | 2 500 000 | 1 800 000 | 1 800 000 | -28,00% |
| Socio-medical infrastructure | 2 670 904 | 3 944 757 | 3 655 000 | 3 655 000 | -7,35% |
| Training | 409 116 | 1 194 287 | 1 200 000 | 1 200 000 | 0,48% |
| External Services | 1 372 753 | 2 541 000 | 2 160 000 | 2 160 000 | -14,99% |
| Receptions, events and representation | 2 084 | 10 000 | 20 000 | 20 000 | 100,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 10 574 326 | 17 896 332 | 19 078 254 | 19 078 254 | 6,60% |
| Rental of buildings and associated costs | 5 141 979 | 9 005 120 | 9 396 600 | 9 396 600 | 4,35% |
| Information, communication technology and data processing | 4 508 669 | 6 174 914 | 7 061 379 | 7 061 379 | 14,36% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 923 678 | 2 716 298 | 2 620 275 | 2 620 275 | -3,54% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 90 264 222 | 106 881 715 | 108 829 575 | 108 829 575 | 1,82% |
| Information, Analysis and Knowledge Development | 1 857 747 | 3 609 000 | 3 839 000 | 3 839 000 | 6,37% |
| Support for MS practical cooperation | 7 368 054 | 12 975 235 | 14 375 825 | 14 375 825 | 10,79% |
| Operational support | 80 926 080 | 89 545 980 | 89 661 750 | 89 661 750 | 0,13% |
| Cooperation with civil society and stakeholders | 112 341 | 400 000 | 400 000 | 400 000 | 0,00% |
| EUAA monitoring of application of the CEAS | | 300 000 | 500 000 | 500 000 | 66,67% |
| Protection of fundamental rights | | 51 500 | 53 000 | 53 000 | 2,91% |
| TOTAL | 148 458 466 | 180 135 127 | 180 677 829 | 180 677 829 | 0,30% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 12,576,653.80

4 Justification of needs

Commission

assessment

Human Resources

The Commission does not foresee an increase in the establishment plan posts. Outside of the establishment plan, the Commission expects the additional 90 CA posts added in 2022 to be phased out by the end of 2026, starting with a decrease of 30 posts in 2024.

Financial Resources

The Commission supports the Agency's request, in line with the multiannual financial programming.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Despite the continuous efforts to optimise and maximise the use of its existing resources, the Agency still requires additional staff to remain agile and responsive, whilst carrying out existing tasks and rolling out the new tasks mandated by the Regulation (EU) 2021/2023. As such the EUAA reiterates its request for additional resources.

In 2021 the Agency requested 68 additional temporary agent posts staggered over a period of three years, out of which five posts at AD5 level were granted to the EUAA in 2022, while none in 2023. The human resources request endorsed by the Management Board in the preliminary draft Single Programming Document (SPD) 2024-2026 refers to 63 posts (fifteen AST3, twenty-four AD5, eight AD7, fourteen AD8 and two AD 12). Out of these additional 63 temporary agents requested by the Management Board, the EUAA is asking for 7 new temporary agent posts in 2024 (one AD 12, one AD 10, one AD 9, four AD 8) to cover some of the most pressing roles and tasks not initially foreseen in the Commission proposal, and therefore not included in the legislative financial statement, which need to be implemented by the Agency.

In addition, the Agency requires the extension and continued availability of the 90 short-term operational Contract Agent posts granted to the Agency in mid-2022, due to constant pressure on the Member States' migration and asylum services,

also in the context of the uncertainties and prolonged impact of the Ukrainian crisis. The Agency seeks a two-years extension (from mid-2024 to mid-2026) of these short-term operational Contract Agents. The EUAA will not request additional budget credits to cover the associated costs (by redeployment existing resources) and will also ensure that the temporary staff hired within this regime will not become permanent Agency staff.

4.1.2 Vacancy rate as of end 2022

The vacancy rate at the end of 2022 for total staff is 10.69% (excluding short-term operational Contract Agents).

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

92.1%

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

In terms of overall budget request, the draft budget requested by EUAA for 2024 is aligned with the outcome of negotiations on the Multiannual Financial Framework 2021-2027, corrected with the revised thresholds agreed by the Commission and the Budgetary Authority due to higher than planned inflation. The increase in Title 1 reflects the growth in human resources costs including inflation.

4.2.2 Title 2

The expenditure in Title 2 'Infrastructure and operating expenses' in 2024 is aligned with the needs of the Agency in terms of coping with a multi-location office structure and essential ICT costs to ensure a smooth management of the complex multi-locational configuration.

4.2.3 Title 3

The evolution of the operational expenditure in Title 3 shows a steady increase of operational needs in the coming years (+1.82% growth in 2024 compared to 2023).

The key operational expense on Title 3 of the Agency's budget is the operational and technical assistance provided to Member States under particular pressure, delivered in line with the agreed Operating Plans. Specific operational support for the implementation of the Common European Asylum System (CEAS) and operations in the hotspots will be maintained, together with specific support for short-term emergency operations. The main part of budget is foreseen for the deployment of asylum support teams in field operations in order to provide the required support to Member States facing asylum pressure. The resources engaged by the Agency include Member State experts and individual experts nominated by Member States, as well as interpreters, cultural mediators, temporary caseworkers and temporary support staff engaged from service providers by means of framework contracts.

The operational expenditure of EUAA is strictly dependent on the request for support from Member States, therefore the operational expenditure is characterised by a certain degree of volatility. To prepare for this, associated countries' contributions are allocated as a reserve in Chapter 36 of the budget to promptly respond to unforeseeable requests for support from Member States by mobilising the necessary funds.

4.3 Ad hoc grants and delegation agreements

The Agency will continue delivering capacity building support actions to key Third Countries' asylum and reception systems, defined and based on a project management approach. In particular, the Agency will continue to provide support to Turkey, the Western Balkan (WB) and Middle East and North Africa (MENA) Region. This will be implemented through the Agency's own budget, including EU+ countries' earmarked contributions for project initiatives as well as through the implementation of the Instrument for Pre-Accession Assistance (IPA) Programme, in partnership with Frontex, International Organisation for Migration (IOM) and the United Nations High Commissioner for Refugees (UNHCR).

On 26 June 2022 EUAA signed with DG NEAR the Contribution Agreement for the implementation of the IPA project phase III (February 2022 - January 2025) for the "Regional Support to Protection Sensitive Migration Management in the Western Balkans and Turkey" with a total budget of €6M, after successful conclusion of the previous IPA II programme.

The EUAA Regional Pilot capacity building project to enhance North-South and South-South knowledge exchanges and cooperation on asylum and reception policies and processes, is to be implemented within the framework of the Regional Development and Protection Programme (RDPP) for North Africa (NA), Phase V. Funded by the Czech Republic and Denmark, it is implemented thanks to voluntary and ear-marked contributions to EUAA Regional Pilot Project in support of North Africa/RDPP NA. 2023 is expected to be the last of the three year's project.

With regards to IPA III and the projects implemented in the MENA region, the staff is composed by five FG IV and one FG III (filled as of 31.12.2022).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------------------|--|---------------------|------------|--------|-----------------|-------------------------------|---|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Transport Building | Malta Xatt 1-Ghassara tal-Gheneb (Winemakers Wharf), Valletta Harbour, Malta | 9 208 | 3 082 | 12 290 | 2 104 699 | Until 05.02.2028 (extendable) | Lease agreement (Seat Agreement) | | |
| 2 | Operational office in Rome, Italy | Via IV Novembre (level 3), Rome | 300 | | 300 | 78 844 | Until 31.07.2023 | Lease Agreement not renewed | Italy | |
| 3 | Operational office in Athens | Keranis Building, 5th Floor, Thivon 198, Ag. Ioannis Rentis 182 33, Athens, Greece | 1 108 | | 1 108 | | Until 31.12.2025 (extendable) | Administrative agreement with the Greek authorities (hosted by GAS) | Greece | |
| 4 | Operational office in Cyprus | 10 Strovolos Avenue, 2011 Strovolos, Nicosia, Cyprus | 1 371 | | 1 371 | 252 000 | 23.05.2028 (extendable) | Lease Agreement | Cyprus | |
| 5 | Brussels Liaison Office | Conseil Central de l'Economie Avenue d'Auderghem 20, Brussels, | 42 | | 42 | 7 358 | 30.09.2023 | Lease Agreement | Belgium | |
| 6 | Lesvos operational office (Pagani) | Mytilini, Lesvos, Greece | 600 | | 600 | 30 000 | 31.03.2023 | Lease Agreement not to be renewed | Greece | |
| 7 | EU Delegation to Türkiye | Ugur Mumcu Cadessi 88 – Kat 5, 06700, Gaziosmanpasa, Ankara, Türkiye | 14 | 8 | 22 | 13 853 | indefinite | Administrative Arrangement | Türkiye | |
| 8 | EU Delegation to Serbia | Vladimira Popovica 40, 11070, New Belgrade, Serbia | 13 | 6 | 19 | 7 320 | indefinite | Administrative Arrangement | Serbia | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--------------------------------|--|----------------------------------|--------------|---------------|------------------|------------|----------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 9 | EU Delegation to Cairo (Egypt) | Nile City Towers, North Tower, 2005c, Corniche El Nil, 10th floor, Ramlet Boulaq, 11221 in Cairo | 14 | 3 | 17 | 11 088 | indefinite | Administrative Arrangement | Egypt | |
| TOTAL | | | 12 670 | 3 099 | 15 769 | 2 505 162 | | | | |

5.1.2 Current building(s) Other comments

Greece (Lesvos)

In view of the upcoming expiration of the lease agreement for the EUAA's operational sub-office on the Greek island of Lesvos at the Pagani Building in Mytilini, the Agency has discussed with the host country authorities to move to a building of the Greek Asylum Services (GAS) close to the current building as of 31 March 2023. The administrative arrangement with GAS was signed in July 2022. It is expected the EUAA will move to the new premises as soon as Q2/2023.

Belgium (Brussels)

In view of the increase in the tasks of the Agency and the growing need for coordination with the EU institutions, the Agency decided in 2016 to open a Liaison Office in Brussels, Belgium. The current Liaison Office is located in the same building on 20 avenue d'Auderghem in Brussels (Schuman area) where the liaison offices of other EU Agencies are housed. EUAA will launch a procurement procedure for independent offices for the EUAA. This is because the plans for a joint procurement procedure for the rental of new premises with Frontex, eu-LISA and CEPOL did not materialise.

Co-location in the EU Delegation in Serbia

The EUAA is providing capacity building support to the Western Balkans administrations, both at national and regional level, through the implementation of the Phase III of a Programme funded by the Instrument for Pre-Accession Assistance (IPA) as well as bilateral Roadmaps for cooperation signed with Serbia (2022-23), North Macedonia (2020-2022, next under development), Albania (2020-23), Montenegro (2022-23), Bosnia and Herzegovina (2020-2022, next under development) and Kosovo* ⁴⁴(2022-24).

In this context, office space in the region is required to facilitate effective implementation of the Agency's assistance. The Administrative Arrangement with the EEAS for co-location in the EU Delegation in Belgrade (Vladimira Popovica 40, 11070, New Belgrade, Serbia) was concluded on 28 January 2021 and entered into force on 1 March 2021.

Co-location in the EU Delegation in Türkiye

The EUAA is providing capacity building support to Türkiye under a Roadmap for cooperation signed with the Presidency of Migration Management (PMM) of the Ministry of Interior on 18 May 2022 via an exchange of letters. In this context, office space in the region is required in order to facilitate effective implementation of the Agency's assistance. The Administrative Arrangement with the EEAS for co-location in the EU Delegation in Ankara (Ugur Mumcu Cadessi 88 – Kat 5, 06700, Gaziosmanpasa, Ankara, Türkiye) was concluded on 9 October 2017.

Co-location in the EU Delegation in Egypt

The EUAA is providing capacity-building support to Egypt under a roadmap endorsed by the Egyptian Ministry of Foreign Affairs on 26 January 2021 via an exchange of letters. In addition, in 2021 the Agency launched a Regional Pilot Project for North Africa and Niger, under the umbrella of the EU's Regional Development and Protection Programme. Bilateral support to Niger is also being prepared. In this context, office space in the region is required in order to facilitate effective implementation of the Agency's assistance. An Administrative Arrangement with the EEAS for co-location in the EU Delegation in Cairo (Nile City Towers, North Tower, 2005c Corniche El Nil, 10th floor, Ramlet Boulaq, Cairo, 11221, Egypt) was concluded on 15 December 2022. It foresees the rental of office space as well as related facilities and services made available for the use of the EUAA's staff by the EU Delegation.

The EUAA also makes use of other mobile and fixed office space in operational locations.

⁴⁴ This designation is without prejudice to positions on status and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

5.1.3 Building projects in the planning phase

Malta:

Due to additional responsibilities and tasks as well as an increase in staff, the current premises in Malta are too small to entirely support the Agency in the execution of its mandate. Therefore, it is expected to procure additional office space which shall host some of the EUAA's staff and meeting and training facilities. The additional premises are expected to have a surface area within the range of approximately 2,200 and 2,700 sqm, envisaged accommodation of 140 workstations as well as training facilities for 80-120 delegates. At the same time, the Agency will not continue with the refurbishment project of the current headquarters in Malta as the main activities will not be initiated/implemented.

Italy:

The intention of the EUAA is to procure a new office space in Rome which satisfies the needs of the Agency. The procurement procedure is ongoing. The new premises are expected to be ready for use by May 2023.

If required by operational needs, the Agency may consider the need to open offices in other Member States.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

In Malta and other places of the Agency's work assignment, such as Italy (Rome), Cyprus, Spain (Madrid) or Greece (Athens), there is an absence of European Schools as well as of the accredited European Schools of type I and II.

The exception to the above is Brussels, Belgium, where the education in the European School is available. In addition, a Service Level Agreement has been signed with pre-primary schools.

In 2016 the Management Board adopted two decisions which regulate specifically the payment of the education cost in the pre-primary educational establishments and schools offering primary and secondary education. These two decisions are fostering a non-fees education paying approach, for all Agency staff and their dependent children, in all affected locations. This approach is non-discriminatory and fully respecting the geographical balance among the Agency's staff members and their dependent children.

The Agency's administration undertakes all legal steps in establishing the Service Level Agreement with chosen school, if this is not in place yet. The Agency is strictly covering the eligible education costs.

The Agency has in total 75 Service Level Agreements in place with schools which are either fully international or not primarily international in their character and are providing pre-primary, primary and secondary education.

5.3 Evaluation

Evaluation approach

Requirements for conducting evaluations are laid down in the Agency's Founding and Financial Regulations, and form part of the Internal Control Framework. As provided in the Agency's Financial Regulation (Article 29), any programmes and activities that entail significant spending shall be subject to ex ante and retrospective evaluations.

The dedicated Agency-wide Evaluation Advisory Group, established by the Executive Director in 2021, promotes harmonisation of evaluations within the Agency and facilitates multi-annual evaluation planning and reporting, synergies and collaboration.

Evaluation plan for 2024

| Intervention Title | Reasoned justification | Evaluation type | Evaluation start | Evaluation type |
|---|--|-----------------|------------------|-----------------|
| Communication strategy (including social media) | Fulfilment of objective 1 within 2.6/Communication, in work programme 2024 | Ex post | Q1 2024 | External |
| Horizontal operational plan evaluation | Fulfilment of relevant objectives within 2.1.1, in work programme 2024. | Mid-term | Q1 2024 | External |
| European asylum curriculum | Fulfilment of objectives 1 | Ex post | Q3 2024 | External |

| Intervention Title | Reasoned justification | Evaluation type | Evaluation start | Evaluation type |
|--|---|-----------------|------------------|-----------------|
| | and 2 within 2.3.1, in work programme 2024 | | | |
| European Sectoral Qualifications Framework - ESQF (first revision) | Fulfilment of objectives 1 and 2 within 2.3.1, in work programme 2024 | Ex post | Q3 2024 | Internal |
| Early implementation (first semester) of a Master degree | Fulfilment of objective 1 within 2.3.3, in work programme 2024 | Ex post | Q3 2024 | Internal |
| Operational plan 2022-24: Cyprus | Mandatory ex post evaluation under EUAA Regulation | Ex post | Q3 2024 | External |
| Operational plan 2022-24: Greece | Mandatory ex post evaluation under EUAA Regulation | Ex post | Q3 2024 | External |
| Operational plan 2022-24: Italy | Mandatory ex post evaluation under EUAA Regulation | Ex post | Q3 2024 | External |
| Operational plan 2022-24: Malta | Mandatory ex post evaluation under EUAA Regulation | Ex post | Q3 2024 | External |
| Road map: Morocco | Fulfilment of objective 2 within 2.6.1, in work programme 2024 | Ex post | Q4 2024 | External |
| Implementation of the EUAA transition programme | Supporting the achievement of the Agency's Key Performance Indicator in work programme 2024 | Ex post | Q4 2024 | External |

5.4 Privileges and immunities

The Seat Agreement between the Government of Malta and EASO was signed in 2011. The agreement describes the privileges and immunities that the Agency's statutory staff benefit from. The main advantages are VAT-exempted purchases, the details of which are available in the document published in the following link:

<https://euaa.europa.eu/sites/default/files/EASO%20SEAT%20AGREEMENT%20EN%20and%20MT.pdf>

The Seat Agreement continues to apply to the EUAA.

2.4.2 European Border and Coast Guard Agency – EBCGA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|--|
| Regulation (EU) 2020/493 of the European Parliament and of the Council of 30 March 2020 on the False and Authentic Documents Online (FADO) system and repealing Council Joint Action 98/700/JHA | 30/03/2020 | This regulation constitutes the necessary new legal basis for governing the FADO system. It sets the responsibilities for the European Border and Coast Guard Agency. In the performance of its task in accordance with Article 79 of Regulation (EU) 2019/1896, the Agency shall ensure the proper and reliable functioning of the FADO system and provide support to the competent Member State authorities in the detection of false documents. The Agency shall be responsible for entering in the FADO system in a timely and efficient manner the information obtained and shall guarantee the uniformity and quality of that information. |
| Regulation (EU) 2019/1896 of the European Parliament and of the Council of 13 November 2019 on the European Border and Coast Guard and repealing Regulations (EU) No 1052/2013 and (EU) 2016/1624 | 13/11/2019 | This regulation establishes a European Border and Coast Guard to ensure European integrated border management at the external borders with a view to managing those borders efficiently in full compliance with fundamental rights and to increasing the efficiency of the Union return policy. The Regulation is also a founding Regulation for the European Border and Coast Guard Agency. |
| Regulation (EU) 2018/1240 of the European Parliament and of the Council of 12 September 2018 establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 1077/2011, (EU) No 515/2014, (EU) 2016/399, (EU) 2016/1624 and (EU) 2017/2226 (OJ L 236, 19.9.2018, p. 1) | 12/09/2018 | This Regulation establishes a European Travel Information and Authorisation System (ETIAS) within which the ETIAS Central Unit will be part of Frontex. The ETIAS Central Unit should be responsible for verifying, in cases where the automated application process has reported a hit, whether the applicant's personal data correspond to the personal data of the person having triggered that hit. Where a hit is confirmed or where doubts remain, the ETIAS Central Unit should initiate the manual processing of the application. It should also carry out regular audits of the processing of applications and of the implementation of the ETIAS screening rules. It should furthermore be responsible for fulfilling a number of support tasks such as ensuring the necessary notifications are sent and providing information and support. It should be operational 24 hours a day, 7 days a week. |
| Regulation (EU) No 656/2014 establishing the rules for the surveillance of the external sea borders in the context of operational cooperation coordinated by Frontex | 15/05/2014 | In accordance with this Regulation: - Frontex must establish its operational plans for sea operations. -new elements for Frontex coordinated joint operations at sea, such as on the protection of fundamental rights, on interception, disembarkation and on SAR have been included. |
| Regulation (EU) 2019/817 of the European Parliament and of the Council of 20 May 2019 on establishing a framework for interoperability between EU information systems in the field of borders and visa and amending Regulations (EC) No 767/2008, (EU) 2016/399, (EU) 2017/2226, (EU) 2018/1240, (EU) 2018/1726 and (EU) 2018/1861 of the European Parliament and of the Council and Council Decisions 2004/512/EC and 2008/633/JHA | 20/05/2019 | The regulation set rules for interoperability between EU information systems for security, border and migration management, with the aim to address the structural shortcomings related to those systems that impede the work of national authorities and to ensure that border guards, customs authorities, police officers and judicial authorities have the necessary information at their disposal. The interoperability components should cover the EES, VIS, ETIAS, Eurodac, SIS, and ECRIS-TCN. They should also cover Europol data, but only to the extent of enabling Europol data to be queried simultaneously with those EU information systems. |

1.2 Seat

Warsaw, Poland.

1.3 Budget Line

11 10 01 : European Border and Coast Guard Agency (Frontex)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 650 | 528 | 81,23% | 650 | 770 |
| Assistants (AST) | 650 | 622 | 95,69% | 650 | 775 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 1300 | 1150 | 88,46% | 1300 | 1545 |
| Contract Agents (CA) | 964 | 712 | 73,86% | 979 | 1193 |
| Seconded National Experts (SNE) | 220 | 189 | 85,91% | 220 | 220 |
| TOTAL STAFF | 2484 | 2051 | 82,57% | 2499 | 2958 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 788 936 752 | 873 873 136 |
| Other Revenue | 56 496 000 | 59 733 000 |
| TOTAL REVENUES | 845 432 752 | 933 606 136 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 4 410 357 | 1 742 357 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 2 020 000 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 4 410 357 | 3 762 357 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | 2024 |
|-------------|------|------|
|-------------|------|------|

| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
|---|---------------------------|------------------------|---------------------------|------------------------|
| Title 1 - Staff expenditure | 208 330 000 | 208 330 000 | 226 295 072 | 226 295 072 |
| Title 2 - Infrastructure and operating expenditure | 51 433 710 | 51 433 710 | 49 551 000 | 49 551 000 |
| Title 3 - Operational expenditure | 585 669 042 | 585 669 042 | 657 760 064 | 657 760 064 |
| Title 4 - Earmarked Expenditure | | | | |
| TOTAL EXPENDITURE | 845 432 752 | 845 432 752 | 933 606 136 | 933 606 136 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | 6 | | 1 | | 6 | | 8 | | 8 |
| AD 13 | | 15 | | 9 | | 15 | | 16 | | 16 |
| AD 12 | | 29 | | 19 | | 31 | | 35 | | 35 |
| AD 11 | | 38 | | 27 | | 36 | | 38 | | 38 |
| AD 10 | | 29 | | 17 | | 29 | | 53 | | 53 |
| AD 9 | | 64 | | 35 | | 94 | | 166 | | 166 |
| AD 8 | | 220 | | 122 | | 248 | | 342 | | 342 |
| AD 7 | | 156 | | 212 | | 120 | | 61 | | 61 |
| AD 6 | | 58 | | 44 | | 50 | | 46 | | 46 |
| AD 5 | | 34 | | 42 | | 20 | | 4 | | 4 |
| AD TOTAL | | 650 | | 528 | | 650 | | 770 | | 770 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | 1 | | 1 | | 1 |
| AST 9 | | 6 | | 3 | | 5 | | 7 | | 7 |
| AST 8 | | 10 | | 8 | | 11 | | 13 | | 13 |
| AST 7 | | 11 | | 13 | | 10 | | 8 | | 8 |
| AST 6 | | 18 | | 5 | | 18 | | 19 | | 19 |
| AST 5 | | 92 | | 9 | | 115 | | 305 | | 305 |
| AST 4 | | 511 | | 581 | | 489 | | 422 | | 422 |
| AST 3 | | 2 | | 3 | | 1 | | | | |
| AST 2 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 650 | | 622 | | 650 | | 775 | | 775 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 1300 | | 1150 | | 1300 | | 1545 | | 1545 |
| GRAND TOTAL | 1300 | | 1150 | | 1300 | | 1545 | | 1545 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 821 | 598 | 836 | 1052 |
| Function Group III | 100 | 80 | 100 | 100 |
| Function Group II | 25 | 12 | 25 | 23 |
| Function Group I | 18 | 22 | 18 | 18 |
| TOTAL | 964 | 712 | 979 | 1193 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 220 | 189 | 220 | 220 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 643 599 775 | 788 936 752 | 873 873 136 | 873 873 136 | 10,77% |

| REVENUES | General revenues | | | | |
|---|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| - Of which assigned revenues deriving from previous years' surpluses | 11 909 435 | 45 322 615 | 49 543 694 | 49 543 694 | 9,31% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 45 364 000 | 56 496 000 | 59 733 000 | 59 733 000 | 5,73% |
| - Of which EEA/EFTA (excl. Switzerland) | 45 364 000 | 56 496 000 | 59 733 000 | 59 733 000 | 5,73% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 688 963 775 | 845 432 752 | 933 606 136 | 933 606 136 | 10,43% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 5 426 378 | 4 410 357 | 1 742 357 | 1 742 357 | -60,49% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | 2 020 000 | 2 020 000 | 100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 5 426 378 | 4 410 357 | 3 762 357 | 3 762 357 | -14,69% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 151 897 456 | 208 330 000 | 226 295 072 | 226 295 072 | 8,62% |
| Salaries & allowances | 138 916 552 | 183 028 000 | 204 361 072 | 204 361 072 | 11,66% |
| - Of which establishment plan posts | 86 552 484 | 110 928 000 | 123 857 360 | 123 857 360 | 11,66% |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which external personnel</i> | 52 364 068 | 72 100 000 | 80 503 712 | 80 503 712 | 11,66% |
| Expenditure relating to Staff recruitment | 2 655 397 | 4 365 000 | 1 951 900 | 1 951 900 | -55,28% |
| Employer's pension contributions | | | | | |
| Mission expenses | 976 270 | 1 310 000 | 900 000 | 900 000 | -31,30% |
| Socio-medical infrastructure | 355 491 | 2 400 000 | 1 800 000 | 1 800 000 | -25,00% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | 1 334 430 | 2 430 000 | 2 900 000 | 2 900 000 | 19,34% |
| Social welfare | 849 849 | 900 000 | 1 000 000 | 1 000 000 | 11,11% |
| Other Staff related expenditure | 6 809 467 | 13 897 000 | 13 382 100 | 13 382 100 | -3,71% |
| Title 2 - Infrastructure and operating expenditure | 43 999 637 | 51 433 710 | 49 551 000 | 49 551 000 | -3,66% |
| Rental of buildings and associated costs | 17 059 960 | 17 653 560 | 16 973 350 | 16 973 350 | -3,85% |
| Information, communication technology and data processing | 21 064 053 | 24 000 000 | 23 350 000 | 23 350 000 | -2,71% |
| Movable property and associated costs | 123 188 | 136 250 | 120 650 | 120 650 | -11,45% |
| Current administrative expenditure | 4 337 582 | 8 168 900 | 7 292 000 | 7 292 000 | -10,73% |
| Postage / Telecommunications | 485 027 | | | | |
| Meeting expenses | 594 462 | 1 050 000 | 1 320 000 | 1 320 000 | 25,71% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 335 365 | 425 000 | 495 000 | 495 000 | 16,47% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 493 066 682 | 585 669 042 | 657 760 064 | 657 760 064 | 12,31% |
| European Standing Corps | 178 106 193 | 243 835 990 | 276 536 493 | 276 536 493 | 13,41% |
| Agency equipment (own and MS) | 162 793 287 | 161 176 064 | 190 469 000 | 190 469 000 | 18,17% |
| Return activities | 67 805 542 | 83 481 316 | 99 352 525 | 99 352 525 | 19,01% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Information and data analytics | 10 477 870 | 16 518 609 | 10 581 000 | 10 581 000 | -35,94% |
| Fundamental rights activities | 914 049 | 2 000 000 | 2 025 000 | 2 025 000 | 1,25% |
| Strengthening capacities | 17 343 302 | 8 039 950 | 10 280 000 | 10 280 000 | 27,86% |
| Digitalisation | 28 709 673 | 24 504 712 | 23 129 446 | 23 129 446 | -5,61% |
| Horizontal operational support | 26 916 766 | 34 369 501 | 33 426 600 | 33 426 600 | -2,74% |
| Operational reserve (art.115(14)) | | 8 668 000 | 11 000 000 | 11 000 000 | 26,90% |
| ETIAS | | 3 074 900 | 960 000 | 960 000 | -68,78% |
| Title 4 - Earmarked Expenditure | | | | | |
| External Relations | | | | | |
| Copernicus | | | | | |
| TOTAL | 688 963 775 | 845 432 752 | 933 606 136 | 933 606 136 | 10,43% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 147 094 036 | 208 330 000 | 226 295 072 | 226 295 072 | 8,62% |
| Salaries & allowances | 138 907 792 | 183 028 000 | 204 361 072 | 204 361 072 | 11,66% |
| - Of which establishment plan posts | 86 543 724 | 110 928 000 | 123 857 360 | 123 857 360 | 11,66% |
| - Of which external personnel | 52 364 068 | 72 100 000 | 80 503 712 | 80 503 712 | 11,66% |
| Expenditure relating to Staff recruitment | 1 183 855 | 4 365 000 | 1 951 900 | 1 951 900 | -55,28% |
| Employer's pension contributions | | | | | |
| Mission expenses | 577 154 | 1 310 000 | 900 000 | 900 000 | -31,30% |
| Socio-medical infrastructure | 140 676 | 2 400 000 | 1 800 000 | 1 800 000 | -25,00% |
| Training | | | | | |
| External Services | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | 1 334 430 | 2 430 000 | 2 900 000 | 2 900 000 | 19,34% |
| Social welfare | 131 004 | 900 000 | 1 000 000 | 1 000 000 | 11,11% |
| Other Staff related expenditure | 4 819 125 | 13 897 000 | 13 382 100 | 13 382 100 | -3,71% |
| Title 2 - Infrastructure and operating expenditure | 22 034 345 | 51 433 710 | 49 551 000 | 49 551 000 | -3,66% |
| Rental of buildings and associated costs | 10 335 672 | 17 653 560 | 16 973 350 | 16 973 350 | -3,85% |
| Information, communication technology and data processing | 9 109 068 | 24 000 000 | 23 350 000 | 23 350 000 | -2,71% |
| Movable property and associated costs | 43 004 | 136 250 | 120 650 | 120 650 | -11,45% |
| Current administrative expenditure | 1 879 353 | 8 168 900 | 7 292 000 | 7 292 000 | -10,73% |
| Postage / Telecommunications | 53 109 | | | | |
| Meeting expenses | 472 549 | 1 050 000 | 1 320 000 | 1 320 000 | 25,71% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 141 590 | 425 000 | 495 000 | 495 000 | 16,47% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 179 188 577 | 585 669 042 | 657 760 064 | 657 760 064 | 12,31% |
| European Standing Corps | 49 799 207 | 243 835 990 | 276 536 493 | 276 536 493 | 13,41% |
| Agency equipment (own and MS) | 61 445 161 | 161 176 064 | 190 469 000 | 190 469 000 | 18,17% |
| Return activities | 46 794 756 | 83 481 316 | 99 352 525 | 99 352 525 | 19,01% |
| Information and data analytics | 2 622 520 | 16 518 609 | 10 581 000 | 10 581 000 | -35,94% |
| Fundamental rights activities | 295 976 | 2 000 000 | 2 025 000 | 2 025 000 | 1,25% |
| Strengthening capacities | 1 557 961 | 8 039 950 | 10 280 000 | 10 280 000 | 27,86% |
| Digitalisation | 4 914 643 | 24 504 712 | 23 129 446 | 23 129 446 | -5,61% |
| Horizontal operational support | 11 758 353 | 34 369 501 | 33 426 600 | 33 426 600 | -2,74% |
| Operational reserve (art.115(14)) | | 8 668 000 | 11 000 000 | 11 000 000 | 26,90% |
| ETIAS | | 3 074 900 | 960 000 | 960 000 | -68,78% |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 4 - Earmarked Expenditure | | | | | |
| External Relations | | | | | |
| Copernicus | | | | | |
| TOTAL | 348 316 958 | 845 432 752 | 933 606 136 | 933 606 136 | 10,43% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 49 543 694

4 Justification of needs

Commission assessment

Human Resources

The Agency's request for Human Resources under Draft Budget 2024 is in line with the Budget Circular and therefore the Commission supports it. It takes into account the agreement reached between Frontex and eu-LISA in 2021 on the transfer of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA. This transfer will be temporary (3 years from 2022 to end 2024) and neutral (the total contract agents foreseen in the Legislative Financial Statement remain unchanged). The proposed Draft Budget 2024 furthermore includes a temporary transfer of 20 contract agents (FG IV) and 5 Temporary Agents (2 AD7 and 3 AD6) from Frontex to Europol, starting from 2024 and ending in 2027. This latter transfer shall be implemented without the transfer of the commensurate appropriations.

Financial Resources

The proposed EU contribution for the European Border and Coast Guard Agency (Frontex) for 2024 means a substantial increase of approximately 11% (approx. EUR 85 M) compared to 2023. This steep increase should provide the agency with the necessary budget to fulfil all the tasks delegated to it in its mandate and to provide the necessary assistance to Member States. The proposed budget is slightly below the financial programming for 2024 (5.5%) to ensure the growth path set out for the agency is manageable and sustainable, following the observed under-execution of the agency's budget in previous years due to the steep increases in EU subsidy and staff levels.

For 2024, the final EU contribution the Commission proposes to the Agency amounts to EUR 873 873 136. This amount takes into account the reduction of EUR 1 116 323 in comparison to the financial programming. The reduction covers the cost of 21 contract agents temporarily reassigned (2022-2024) to the eu-LISA.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Frontex is requesting for the year 2024 the overall total number of 2,958 staff (as foreseen in the Legislative Financial Statement COM(2018)631 for the setting up of the European Border and Coast Guard Standing Corps during the period 2020-2027).

In details the staff requested for 2024 is:

- 770 Temporary Agents in AD function group (the number of TA staff requested takes in account the agreement between frontex and Europol on the temporary relocation of 5 TA posts (from 2024 until 2027) for the delivery of tasks linked to ETIAS)

- 775 Temporary Agents in AST function group

- 1193 Contract Agents (the number of CA staff requested does not include 21 posts for which was agreed the temporary reallocated to EU-LISA for the delivery of services in the context of implementation of EES and ETIAS and the agreement between frontex and Europol on the temporary relocation of 20 CA posts (from 2024 until 2027) for the delivery of tasks linked to ETIAS)

- 220 Seconded National Experts

4.1.2 Vacancy rate as of end 2022

17.4 %

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for salaries lines is calculated based on the average expenditure per staff per month and on the number of agent's man-months worked in the organisation.

The baseline for calculation is year n-1 budget expenditure (2022) corrected by annual adjustments foreseen for years n & n+1 (4% annual update of remuneration of EU servants) and adjusted with the correction coefficient for Poland (set to max of 75) plus the additional cost for foreseen reclassification of the staff.

4.1.5 Correction coefficient used

Current cc used (July 2022) is 71,7 %

4.1.6 Exchange rate used (if applicable)

Current exchange rate used (July 2022) is 4.7275

4.2 Financial Resources - Agency request

4.2.1 Title 1

Salaries and allowances are expected to grow by 12% in 2024 compared to 2023 given the scope of the planned increase in the active staff both in HQs and SC. The establishment plan for 2024 foresees 1,545 posts whereas for 2023 – 1300 posts. This represents a 19% growth of staff.

4.2.2 Title 2

The level of expenditure at similar level as in previous years.

4.2.3 Title 3

The scope of operations is foreseen to increase by 12%. This increase on financial resources in comparison to 2023 is needed to address a vigorous overhaul of operational activities in all dimensions including deployment and equipment of the standing corps, return-related activities and the continuation of multiannual investments related to major developments on operational IT systems as well as the programme of acquisition / leasing of technical equipment.

Particularly, the 12% growth in operational expenditure is foreseen due to the following factors:

- Increase in number of deployments of SC by 13% from 2023 to 2024.
- Significant increase in training of SC expenditure foreseen for 2024 in comparison to 2023 derive from the fact, that there are on 40 SC cat .1 foreseen for the basic training in 2023, while for 2024 the number is to increase up to 500 cadets.
- Higher costs of use of technical equipment including higher costs of Frontex Surveillance, MALE RPAS, VMM, interpretation services.

- Return operations are expected to grow by 19% given the growing prices of scheduled and charter flights and the growing scope of operations.
- Spending towards Strengthening Capabilities will increase by 28%, where new initiatives are planned for Technology & Innovation pilot projects in addition to continued Research and Innovation projects from previous years. Additionally, the European Joint Master's in Strategic Border Management and specialisation training courses to further build up capacities in the MS/SAC are planned.
- Operational automation and information processing is expected to grow with further development of the curtail operational software and hardware and development of the confidential information system.
- The scope of events and meetings is expected to growth with planned border guards and police conference and travel restrictions lifted.
- In addition, a budget for start of the construction works of the Frontex premises has been planned (in horizontal support)
- In 2024, the budget allocation for Information and Data Analytics will see a decrease of -36% as significant investment is planned in preceding years, especially in 2023 like Maps production, Satellite Imagery, services for border surveillance from SatCen and development of EUROSUR among others. Therefore, it is foreseen the spending in this space will stabilize towards maintenance by 2024 and so reduced.
- Operational reserve as indicated in Art 115(14) of the EBCG 2019/1896 regulation, always at the level of at least 2 % of the allocation provided jointly for joint operations at the external borders and operational activities in the area of return. The operational reserve for 2024 is set at 2.4% of the allocation provided jointly for joint operations at the external borders and operational activities in the area of return. The amount is calculated based on the allocations for deployment of standing corps, acquisition and leasing of technical equipment and allocation for return operations. The slightly higher level is set for 2024 to ensure adequate response to growing demand for return operations facing the increasing migration pressure and to respond adequately to worsening situation especially at the Eastern border of the European Union with rapid border interventions.

4.3 Ad hoc grants and delegation agreements

In 2024 Frontex is to implement three grant agreements and one Delegation Agreement, all funded by the European Commission:

- Delegation Agreement on Copernicus 2022-2028 signed, on 15 November 2021, Contribution Agreement on Copernicus with the European Commission, represented by DG GROW, on the implementation of the border surveillance component of the Copernicus Security Service. In 2021 first tranche of the funding has been received by Frontex for implementation of the programme.
- Grant agreement IPA III "Protection sensitive migration management in the Western Balkans"
- Grant agreement IPA III "Border security in the Western Balkans"
- Grant agreement "EU4BS" (ENI-2018-398-919) (enhance border security in the Southern Neighbourhood, by fostering bilateral and regional co-operation) signed on 1 December 2018; extended till end of August 2023. In 2023 Frontex plans to request for no-cost extension of the implementation period for further 12 months.

In addition, Frontex is in dialogue with the Commission on new technical assistance projects starting in 2024 at the earliest.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|---------------------------------|----------|----------------------------------|------------|--------|-----------------|------------------|-----------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Warsaw Spire, office space (HQ) | Warsaw | 20 473 | 1 079 | 21 552 | 8 016 880 | Until 31/12/2024 | Lease agreement | N/A | |
| 2 | Wronia 31, office space (HQ) | Warsaw | 8 003 | 227 | 8 230 | 1 269 914 | Until 31/12/2026 | Lease agreement | N/A | |
| 3 | CCE, office space (FLO) | Brussels | 151 | | 151 | 46 767 | Until 31/12/2026 | Lease agreement | N/A | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|------------|----------------------------------|--------------|---------------|-------------------|--|--|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 4 | HCG tower, office space (EURTF) | Piraeus | 460 | 275 | 735 | | N/A | N/A Memorandum of Understanding, Seat Agreement | Greece | |
| 5 | Part of building, office space (EURTF) | Catania | 550 | 50 | 600 | | Until 20/11/2024 | Free Loan Agreement | Municipality of Catania | |
| 6 | Delegation of EU to Turkey, office space (FLO) | Ankara | 17 | 10 | 27 | 14 973 | N/A | Administrative Arrangement | N/A | |
| 7 | Delegation of the EU to the Republic of Niger, office Space (FLO) | Niamey | 60 | 12 | 72 | 30 145 | N/A | Administrative Arrangement | N/A | |
| 8 | Delegation of the European Union to the Republic of Serbia, office space (FLO) | Belgrade | 26 | 4 | 30 | 14 588 | N/A | Administrative Arrangement | N/A | |
| 9 | Office space (FLO) | Budapest | 12 | | 12 | | N/A | Memorandum of Understanding | Hungary | |
| 10 | Office space (FLO) | Stockholm | 9 | | 9 | | N/A | Memorandum of Understanding | Sweden | |
| 11 | Office space (FLO) | Bratislava | 17 | | 17 | | N/A | Memorandum of Understanding | Slovakia | |
| 12 | Office Space (FLO) | Potsdam | 22 | | 22 | | N/A | Cooperation agreement | Germany | |
| 13 | Office Space (FLO) | Paris | 15 | | 15 | | N/A | Administrative arrangement | France | |
| 14 | Office Space (FLO) | Rome | 11 | | 11 | | N/A | Memorandum of understanding | Italy | |
| 15 | Office Space (FLO) | Riga | 13 | | 13 | | N/A | Memorandum of understanding | Latvia | |
| 16 | Delegation of the European Union to Senegal, office space (FLO) | Dakar | 24 | 19 | 43 | 10 808 | | Administrative Arrangement | | |
| 17 | Delegation of the European Union to Albania, office space (FLO) | Tirana | 13 | 10 | 23 | 12 126 | | Administrative Arrangement | | |
| 18 | Office space (FLO) | Sofia | 16 | | 16 | 3 650 | | Memorandum of Understanding Financial arrangement | Bulgaria | |
| 19 | Office space (FLO) | Madrid | 20 | | 20 | | | Memorandum of Understanding | Spain | |
| 20 | European Union Delegation to Moldova, FLO to EaP | Chisinau | 14 | 4 | 18 | | N/A | Service Level Agreement and Office Hosting Agreement | N/A | |
| 21 | ETIAS CU & AOF | Warsaw | 3 738 | 50 | 3 788 | 865 800 | Approx. until 28/05/2028 (5 years from the Lease Agreement Commencement Date, not yet set) | Lease agreement | N/A | |
| TOTAL | | | 33 664 | 1 740 | 35 404 | 10 285 651 | | | | |

5.1.2 Current building(s) Other comments

In January 2023 Frontex leases a total of 29,782.62 square meters in two buildings as the Headquarters. Both are leased under long-term contracts and located in Warsaw, Poland. Additional premises are made available to the Brussels Liaison Office, EURTF Catania and to the Frontex liaisons officers (FLOs) in several EU Member States and non-EU countries. Some of the premises have been provided free of charge by the hosting authorities.

The Lease Agreement for Brussels Liaison Office was extended by 31 December 2026.

Following the approvals of the European Parliament and of the Council, the Lease Agreement for ETIAS Central Unit & Alternative Office Facility premises was signed on 20 December 2022. Currently the fit-out is being implemented and the premises will become operational in the second half of 2023.

Frontex Liaison Officer to Eastern Partnership was deployed to Moldova (Chisinau) in 2022.

5.1.3 Building projects in the planning phase

The lease agreement of Frontex HQ in Warsaw Spire, which is valid until 31/12/2024, needs to be renewed to ensure continued availability of the HQ premises before Frontex can relocate to the future Permanent Premises. The current lease agreement contains an option to renew the lease term. Considering that the move into the new premises is not expected before mid-2027, a renewal until at least 31 December 2027 will be required. The possibility of further renewals will be considered anticipating a risk of potential delays in the delivery of the Permanent Premises.

In the Early Information Note on the Permanent Premises the Agency already informed on the need of extension of the lease agreement of the current Headquarters. The project will be submitted to the European Parliament and the Council in accordance with article 266(3) of the Financial Regulation.

5.1.4 Building projects submitted to the European Parliament and the Council

Frontex plans to design and construct its headquarters building(s) in accordance with EU and Polish law on the plot of land donated by the Polish Authorities. The new building will be the central hub for the 10 000 EU Border and Coast Guards. The Agency wishes to implement a project focusing on a sustainable, flexible, energy efficient and well-secured building that supports a healthy work environment. Based on the Frontex Management Board Decision, the Agency introduced an Early Information Note to the Budgetary Authority in October 2021 receiving no objections. The Initial estimations foresee a building of a total of 70,000 sqm (above and below ground) which will settle the need for additional office space for daily use (2,000 staff members on average).

The Competitive Dialogue procurement procedure is ongoing, Frontex aims to submit the Building Questionnaire to the Budgetary Authority end 2023.

The Agency is negotiating with the European Investment Bank to obtain a loan financing part of the investment.

5.2 European Schools

Following the Headquarter Agreement from 1st November 2017 between Frontex and the Republic of Poland, an accredited European school in Warsaw has been established with a view to provide multilingual European oriented schooling for all children of Frontex staff. The school received accreditation on 24 June 2021, applicable with retroactive effect as of September 2020.

Frontex is reimbursing the cost of schooling of Frontex statutory staff children in the accredited European School Warsaw via a Mandate Service Agreement with the European Commission on one hand and of Frontex SNE children via a direct Contribution Agreement with the school.

In addition, Frontex has concluded direct Contribution Agreements with the accredited European Schools in Tallinn, Parma and Ljubljana to reimburse the cost of schooling of Frontex statutory staff children attending those schools.

5.3 Evaluation

Since Frontex was set up, two external evaluations have been undertaken, one in 2009 and one in 2015. Both have centred on stakeholder and staff views of the efficiency and effectiveness of the agency rather than on quantitative data on performance. Overall, both evaluations concluded that Frontex had successfully achieved its remit. The findings of the

Currently the Commission is carrying out an evaluation of the EBCG Regulations in accordance with Article 121, together with a review of the Standing corps in accordance with Article 59. The results should be presented at the latest in December 2023.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff |
|---------------------------------|---|
| | Protocol of privileges and immunities / diplomatic status |
| All Staff members | Irrespective of nationality enjoy privileges and immunities as defined in Protocol 7 |
| All staff members | Irrespective of nationality tax and duty- free transfer of resettlement property to Poland |
| All Staff members | Expatriate privileges (Polish citizens and Polish permanent residents are excluded): |
| | Value-added tax (VAT) reimbursement when purchasing a motor-vehicle (maximum 1 vehicle every 3 years) |
| New Staff members | Expatriate privileges (Polish citizens and Polish permanent residents are excluded): |
| | Installation incentive - VAT reimbursement (up to a threshold) for purchasing furniture and household articles in Poland (valid 12 months during the first 2 years of employment) |
| Specified Group of staff | Diplomatic status in Poland |
| (Annex II HQ Agreement) | Full scope of the diplomatic immunities and privileges is applicable (Polish citizens and Polish permanent residents are excluded from the diplomatic status following international custom) except for economic privileges. |

HQ Agreement privileges are equally applicable to all expatriate staff members - to statutory staff (TAs, CAs) and SNEs - regardless of their grading or type of performed function. Without prejudice to the provisions of this Agreement, Protocol No 7, and other relevant European Union law, the Agency and its staff shall respect the law of the Republic of Poland in line with Article 41 paragraph 1 of the Vienna Convention on Diplomatic Relations of 18 April 1961.

2.4.3 European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice – EU-LISA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|--|--|
| Regulation (EU) 2018/1726 of the European Parliament and of the Council on the European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA), and amending Regulation (EC) No 1987/2006 and Council Decision 2007/533/JHA and repealing Regulation (EU) No 1077/2011 | 14 November 2018 (adoption) 11 December 2018 (entry into force) | Operational management of the SIS II, VIS and Eurodac large-scale IT systems; supervision, security and coordination of relations between the Member States and the provider; monitoring, reporting and statistics related to these systems; training on the technical use of these systems and training of experts on the technical aspects of SIS II in the framework of Schengen evaluation; preparation, development or operational management of new large-scale information systems (EES, ETIAS, DubliNet, ECRIS-TCN and the ECRIS reference implementation) and training on the technical use of these systems; monitoring of research; developing the necessary actions to enable interoperability between large-scale IT systems; carrying out of pilot projects, budget implementation tasks for proof of concept, testing activities and any other support to Member States and the Commission; operational management of the cross-border judicial tool e-CODEX. |

1.2 Seat

The seat of the Agency is in Tallinn, Estonia. The development and operational management of IT systems are carried out in Strasbourg, France (technical site). A backup site is installed in Sankt Johann im Pongau, Austria.

1.3 Budget Line

11 10 02 : European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom, Security and Justice ('eu-LISA')

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 162 | 142 | 87,65% | 169 | 179 |
| Assistants (AST) | 53 | 49 | 92,45% | 53 | 53 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 215 | 191 | 88,84% | 222 | 232 |
| Contract Agents (CA) | 169 | 121 | 71,60% | 166 | 165 |
| Seconded National Experts (SNE) | 11 | 11 | 100,00% | 11 | 11 |
| TOTAL STAFF | 395 | 323 | 81,77% | 399 | 408 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|----------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| | | |

| | | |
|------------------------|--------------------|--------------------|
| EU contribution | 326 980 736 | 277 266 492 |
| Other Revenue | | |
| TOTAL REVENUES | 326 980 736 | 277 266 492 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 3 608 000 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | 3 608 000 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 48 661 040 | 48 661 040 | 44 728 164 | 44 728 164 |
| Title 2 - Infrastructure and operating expenditure | 30 208 099 | 30 208 099 | 28 386 000 | 28 386 000 |
| Title 3 - Operational expenditure | 183 362 129 | 248 111 597 | 212 181 000 | 204 152 328 |
| TOTAL EXPENDITURE | 262 231 268 | 326 980 736 | 285 295 164 | 277 266 492 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AD 13 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AD 12 | | 4 | | 3 | | 4 | | 4 | | 4 |
| AD 11 | | 9 | | 2 | | 11 | | 11 | | 11 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 10 | | 11 | | 11 | | 12 | | 13 | | 13 |
| AD 9 | | 19 | | 13 | | 22 | | 27 | | 27 |
| AD 8 | | 32 | | 24 | | 38 | | 41 | | 41 |
| AD 7 | | 15 | | 28 | | 11 | | 9 | | 8 |
| AD 6 | | 36 | | 15 | | 46 | | 49 | | 49 |
| AD 5 | | 31 | | 44 | | 20 | | 27 | | 21 |
| AD TOTAL | | 162 | | 142 | | 169 | | 186 | | 179 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | 3 | | 2 | | 4 | | 5 | | 5 |
| AST 7 | | 4 | | 5 | | 6 | | 8 | | 8 |
| AST 6 | | 12 | | 12 | | 12 | | 12 | | 12 |
| AST 5 | | 12 | | 11 | | 11 | | 10 | | 10 |
| AST 4 | | 10 | | 5 | | 13 | | 15 | | 15 |
| AST 3 | | 11 | | 13 | | 6 | | 2 | | 2 |
| AST 2 | | | | | | | | 3 | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 53 | | 49 | | 53 | | 56 | | 53 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 215 | | 191 | | 222 | | 242 | | 232 |
| GRAND TOTAL | | 215 | | 191 | | 222 | | 242 | | 232 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 110 | 75 | 108 | 109 |
| Function Group III | 58 | 44 | 57 | 55 |
| Function Group II | 1 | 2 | 1 | 1 |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | | | |
| TOTAL | 169 | 121 | 166 | 165 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 11 | 11 | 11 | 11 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 257 099 252 | 326 980 736 | 277 266 492 | 277 266 492 | -15,20% |
| - Of which assigned revenues deriving from previous years' surpluses | 3 610 513 | 3 075 730 | 26 281 667 | 26 281 667 | 754,49% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 31 989 753 | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | 31 989 753 | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 289 089 005 | 326 980 736 | 277 266 492 | 277 266 492 | -15,20% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | 3 608 000 | 3 608 000 | 100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | 3 608 000 | 3 608 000 | 100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 38 029 791 | 48 661 040 | 44 728 164 | 44 728 164 | -8,08% |
| Salaries & allowances | 35 306 192 | 43 510 040 | 39 683 164 | 39 683 164 | -8,80% |
| - Of which establishment plan posts | 24 819 700 | 28 680 000 | 26 320 057 | 26 320 057 | -8,23% |
| - Of which external personnel | 10 486 492 | 14 830 040 | 13 363 107 | 13 363 107 | -9,89% |
| Expenditure relating to Staff recruitment | 283 375 | 494 000 | 203 000 | 203 000 | -58,91% |
| Employer's pension contributions | | | | | |
| Mission expenses | 439 218 | 660 000 | 660 000 | 660 000 | 0,00% |
| Socio-medical infrastructure | 1 349 432 | 2 522 000 | 2 522 000 | 2 522 000 | 0,00% |
| Training | 651 574 | 1 475 000 | 1 660 000 | 1 660 000 | 12,54% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 25 508 587 | 30 208 099 | 28 386 000 | 28 386 000 | -6,03% |
| Rental of buildings and associated costs | 4 508 146 | 5 325 000 | 5 950 000 | 5 950 000 | 11,74% |
| Information, communication technology and data processing | 4 099 971 | 8 147 401 | 3 754 000 | 3 754 000 | -53,92% |
| Movable property and associated costs | 244 148 | 579 000 | 436 000 | 436 000 | -24,70% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 1 257 118 | 2 294 900 | 1 686 000 | 1 686 000 | -26,53% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 511 161 | 623 000 | 623 000 | 623 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 1 399 678 | 450 000 | 1 540 000 | 1 540 000 | 242,22% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 13 488 365 | 12 788 798 | 14 397 000 | 14 397 000 | 12,58% |
| Title 3 - Operational expenditure | 259 767 102 | 183 362 129 | 212 181 000 | 212 181 000 | 15,72% |
| Infrastructure | 72 131 721 | 43 266 058 | 69 187 400 | 69 187 400 | 59,91% |
| HOME Affairs | 177 464 410 | 117 256 300 | 120 386 600 | 120 386 600 | 2,67% |
| Justice | 14 953 | 2 524 444 | 3 791 000 | 3 791 000 | 50,17% |
| Operational support activities | 10 156 018 | 20 315 327 | 18 816 000 | 18 816 000 | -7,38% |
| Support to MS and EC | | | | | |
| TOTAL | 323 305 480 | 262 231 268 | 285 295 164 | 285 295 164 | 8,80% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 37 362 234 | 48 661 040 | 44 728 164 | 44 728 164 | -8,08% |
| Salaries & allowances | 35 306 192 | 43 510 040 | 39 683 164 | 39 683 164 | -8,80% |
| - Of which establishment plan posts | 24 819 700 | 28 680 000 | 26 320 057 | 26 320 057 | -8,23% |
| - Of which external personnel | 10 486 492 | 14 830 040 | 13 363 107 | 13 363 107 | -9,89% |
| Expenditure relating to Staff recruitment | 124 994 | 494 000 | 203 000 | 203 000 | -58,91% |
| Employer's pension contributions | | | | | |
| Mission expenses | 405 048 | 660 000 | 660 000 | 660 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 1 164 552 | 2 522 000 | 2 522 000 | 2 522 000 | 0,00% |
| Training | 361 448 | 1 475 000 | 1 660 000 | 1 660 000 | 12,54% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 782 427 | 30 208 099 | 28 386 000 | 28 386 000 | -6,03% |
| Rental of buildings and associated costs | 2 454 586 | 5 325 000 | 5 950 000 | 5 950 000 | 11,74% |
| Information, communication technology and data processing | 2 128 765 | 8 147 401 | 3 754 000 | 3 754 000 | -53,92% |
| Movable property and associated costs | 162 914 | 579 000 | 436 000 | 436 000 | -24,70% |
| Current administrative expenditure | 953 507 | 2 294 900 | 1 686 000 | 1 686 000 | -26,53% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 258 785 | 623 000 | 623 000 | 623 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 1 141 820 | 450 000 | 1 540 000 | 1 540 000 | 242,22% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 4 682 050 | 12 788 798 | 14 397 000 | 14 397 000 | 12,58% |
| Title 3 - Operational expenditure | 239 944 345 | 248 111 597 | 204 152 328 | 204 152 328 | -17,72% |
| Infrastructure | 61 567 479 | 74 276 197 | 71 004 466 | 71 004 466 | -4,40% |
| HOME Affairs | 167 193 984 | 150 737 300 | 112 084 690 | 112 084 690 | -25,64% |
| Justice | 2 398 107 | 9 550 700 | 3 396 486 | 3 396 486 | -64,44% |
| Operational support activities | 8 784 775 | 13 547 400 | 17 666 686 | 17 666 686 | 30,41% |
| Support to MS and EC | | | | | |
| TOTAL | 289 089 006 | 326 980 736 | 277 266 492 | 277 266 492 | -15,20% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 26 281 667

4 Justification of needs

Commission assessment

Human Resources

The Agency has requested 28 additional posts (10 Temporary Agents (1 AD7 and 6 AD5 and 3 AST2), 17 Contract Agents (17 FGIV posts) and 1 Seconded National Expert) under Draft Budget 2024, without supporting LFS. The Commission does not support this request.

The Commission supports the agreement reached between Frontex and eu-LISA in 2021 on the transfer of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA. This transfer is temporary (3 years from 2022 to end 2024) and neutral (the total contract agents foreseen in the Legislative Financial Statement remain unchanged).

Financial Resources

For 2024, the total EU contribution requested by the eu-LISA amounts to EUR 285 295 164 in commitment appropriations and EUR 277 266 492 in payment appropriations, these amounts include EUR 1 116 323 transferred from the Frontex budget for the 21 Contract Agents temporarily reassigned (2022-2024) to the eu-LISA as highlighted above.

The Commission supports this request, which is in line with the financial programming.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The staff planning for 2024 includes additional staff for the tasks deriving from the adopted Regulations, the Commission proposals, for which the adoption of the respective regulations is still awaited (e.g. on EURODAC recast, digitalisation of the visa procedure, collaboration platform for Joint Investigation Teams (JITs), automated data exchange for police cooperation (Prüm II), collection and transfer of advance passenger information (API) as well as staff “on a loan” under an agreement with Frontex to provide assistance to carriers services and 6 additional posts granted in 2023 to address the cybersecurity threats. These resources cover mainly the direct operational posts.

An overview of the staff plan is summarized in a table:

| Purpose | TA | CA | SNE | Total |
|---------------------------------|-----|----|-----|-------|
| Baseline staff | 113 | 30 | 9 | 152 |
| Revised eu-LISA Regulation | 23 | 27 | 2 | 52 |
| SIS Recast (Return and Borders) | | 4 | | 4 |
| Revised VIS | 6 | 6 | | 12 |
| EES | 32 | | | 32 |
| ETIAS | 7 | 35 | | 42 |
| ECRIS | | 5 | | 5 |
| Interoperability | 24 | 31 | | 55 |
| e-CODEX | 2 | 3 | | 5 |
| EES-ETIAS carrier support | | 21 | | 21 |
| SIS Recast (Police) | | | | 0 |
| Recast Eurodac | 2 | | | 2 |
| Visa digitalisation | 5 | 3 | | 8 |

| Purpose | TA | CA | SNE | Total |
|----------------------------------|-----|-----|-----|-------|
| JITs platform ⁴⁵ | 4 | | | 4 |
| Prüm II router | 6 | | | 6 |
| The API router | 2 | | | 2 |
| Additional staff granted in 2023 | 6 | | | 6 |
| Total | 232 | 165 | 11 | 408 |

Within planning of the activities and projects in 2024, a need for additional human resources has been assessed taking into consideration equivalence of 220 man-days applied in projects to 1 FTE in activities, which are not time-limited in horizontal functions. A possibility of outsourcing was considered where appropriate in accordance with the internal Sourcing Strategy. The Agency identified a number of posts, which cannot be outsourced and which have not been included in the Commission proposals. They amount to 28 posts (10 TA and, 17 CA and 1 SNE).

These are necessary to:

- efficiently perform and deliver the new assigned tasks,
- ensure service continuity and balance the workload as a number of the existing short-term posts will be needed for a longer term due to a delay in the implementation of the Entry/Exit and this having an impact on the timelines of related activities;
- support the stakeholder management obligations of the Agency as currently, the Agency coordinates the work of around 15 governance bodies. Considering their importance to the continued development of the EU's JHA information systems, it is critical to ensure proper support for the effective management of relations with eu-LISA's growing numbers of stakeholders.

4.1.2 Vacancy rate as of end 2022

The global vacancy rate, calculated at the end of 2022 was 17.56%, showing an increase in comparison to 2021. A more detailed distribution is presented in a table: The vacancy rate per staff category was 10,70% for TA, 27,54% for CA and 0% for SNE.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable at eu-LISA.

4.1.4 Salary assumption for calculating salary line (% applied)

The calculation of the salary costs for the establishment plan posts are based on the PMO's data on the temporary staff deployed in Tallinn, Strasbourg and Brussels, basic salary for grades and steps in 2022 or the initial grades for vacant posts, correction coefficients in Strasbourg and Tallinn in 2022, actual allowances paid in 2022 (family, expatriation, education etc.) and expected reclassifications.

The costs for external personnel (contract staff and SNEs) are based on PMO's standard salary and allowances calculations and the data on entitlements for allowances in 2022.

4.1.5 Correction coefficient used

eu-LISA applies as of 1 July 2022 the following correction coefficient on the salaries for the posts assigned in:

Tallinn – 94.1% Strasbourg – 116.8%

Brussels – 100%

The Hague - 109.8%

⁴⁵ The 4 TA posts will be financed from the contribution agreement.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Total expenditures in Title 1 amount to EUR 44 728 164, out of which the following amounts are conditional:

EUR 520 000 are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council amending Regulations (EC) No 767/2008, (EC) No 810/2009 and (EU) 2017/2226 of the European Parliament and of the Council, Council Regulations (EC) No 1683/95, (EC) No 333/2002, (EC) No 693/2003 and (EC) No 694/2003 and Convention implementing the Schengen Agreement, as regards the digitalisation of the visa procedure

EUR 456 000 are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council on automated data exchange for police cooperation (“Prüm II”), amending Council Decisions 2008/615/JHA and 2008/616/JHA and Regulations (EU) 2018/1726, 2019/817 and 2019/818 of the European Parliament and of the Council

EUR 157 000 are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council on the collection and transfer of advance passenger information (API) for enhancing and facilitating external border controls, amending Regulation (EU) 2019/817 and Regulation (EU) 2018/1726, and repealing Council Directive 2004/82/EC

In addition, EUR 1 116 323 are from the agreement reached between Frontex and eu-LISA on the transfer of 21 Contract Agents (FG IV) for ETIAS.

4.2.2 Title 2

Total expenditures in Title 2 amount to EUR 28 386 000, out of which EUR 5 950 000 are required to cover the expenses of running costs for expenditure for the premises in Tallinn (Headquarters) and Strasbourg (operational site) and Brussels (current Liaison Office); EUR 3 754 000 are required mostly to cover costs of further development and maintenance of corporate IT infrastructure. It also includes corporate network adaptations in both Strasbourg and Tallinn.

EUR 436 000 are required for movable property and associated costs, including furniture and other technical equipment.

EUR 1 686 000 are required to cover the eu-LISA Administrative Expenditures.

EUR 623 000 are required to provide timely administrative support for organising statutory meetings (accommodation, travel expenses, meals) and to cover other expenses related to the activity of Management Board members.

EUR 1 540 000 are required to provide updated information, increase awareness and rapidly give objective, reliable and easily understandable information to the public and stakeholders as well as to improve intra-agency information- and knowledge sharing and contribute to employee engagement.

In 2024 eu-LISA will hold the Presidency of the Justice and Home Affairs Agencies’ Network (JHAAN), which requires for additional communication services to be procured

Infrastructure and Operating expenditure include two subdivisions in the Agency budget structure:

- External support services, for which EUR 9 170 000 will be required for consultancies, staff augmentation and interim services insourcing external services, as per the adoption of the Regulation (EU) 2018/1726 of the European Parliament and of the Council of 14 November 2018 on the European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom Security and Justice (eu-LISA) and amending Regulation (EC) No 1987/2006 and Council Decision 2007/533/JHA and repealing Regulation (EU) No 1077/2011,
- Corporate Security, for which EUR 5 227 000 are requested to cover corporate security needs

4.2.3 Title 3

In 2024 with expenditure set under Title 3 eu-LISA aims to ensure 24x7 availability and stability of the systems under its management. At the same time, the Agency will continue to increase the value added by the systems and services provided to the Member States through their evolutions and implement projects that are essential in order to improve efficiency of its operations and management of the systems under its responsibility.

Total expenditures in Title 3 for Commitment Appropriations amount to EUR 212 181 000, out of which the following are conditional:

EUR 19 425 000 are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council mending Regulations (EC) No 767/2008, (EC) No 810/2009 and (EU) 2017/2226 of the European Parliament and of the Council, Council Regulations (EC) No 1683/95, (EC) No 333/2002, (EC) No 693/2003 and (EC) No 694/2003 and Convention implementing the Schengen Agreement, as regards the digitalisation of the visa procedure

EUR 4 150 000 are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council on automated data exchange for police cooperation (“Prüm II”), amending Council Decisions 2008/615/JHA and 2008/616/JHA and Regulations (EU) 2018/1726, 2019/817 and 2019/818 of the European Parliament and of the Council

Total expenditures in Title 3 for Payment Appropriations amount to EUR 204 152 328, out of which the following amounts are conditional:

EUR 19 425 000 are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council mending Regulations (EC) No 767/2008, (EC) No 810/2009 and (EU) 2017/2226 of the European Parliament and of the Council, Council Regulations (EC) No 1683/95, (EC) No 333/2002, (EC) No 693/2003 and (EC) No 694/2003 and Convention implementing the Schengen Agreement, as regards the digitalisation of the visa procedure

EUR 4 150 000 are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council on automated data exchange for police cooperation (“Prüm II”), amending Council Decisions 2008/615/JHA and 2008/616/JHA and Regulations (EU) 2018/1726, 2019/817 and 2019/818 of the European Parliament and of the Council

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|---|---------------------|------------|---------|-----------------|----------|------|--|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Headquarters | eu-LISA, Vesilennuki 5, Tallinn, Estonia | 1 161,4 | 3 235,9 | 4 397,3 | | N/A | N/A | EE built and transferred new HQ for Agency in a non-exchange transaction | 7 374 750 |
| 2 | Operational Site | eu-LISA,18 Rue de la Faisanderie 67100 Strasbourg, France | 2 155 | 5 687 | 7 842 | | N/A | N/A | FR granted entire premises for 1 EUR in 29 May 2013 | 19 067 567 |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--------------------------------|--|----------------------------------|----------------|-----------------|------------------|------------------|---|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 3 | Back-up Site | Austrian Central Federal Back-Up Center in Sankt Johann im Pongau, Austria | 223 | 403 | 626 | 591 328 | indefinite | Indefinite Operational Agreement with the Republic of Austria | | |
| 4 | eu-LISA liaison office | 20 avenue d'Auderghem, 1040 Brussels. | 98 | | 98 | 26 292 | Until 31/01/2025 | Rent Agreement | N/A | |
| 5 | eu-LISA temporary office space | PLATON building 4 Rue Jean Sapidus 67400 Illkirch-Grafenstaden Strasbourg France | 2 074,4 | | 2 074,4 | 541 107 | Until 09/12/2029 | Rent | N/A | |
| TOTAL | | | 5 711,8 | 9 325,9 | 15 037,7 | 1 158 727 | | | | 26 442 317 |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

With the development of new initiatives in the JHA domain and the evolution of the current systems, eu-LISA detected that more Data Centre computing capacities are needed earlier than originally foreseen, in order to continue to serve the Member States for a safer Europe through technology. Therefore, the Agency re-structured the second extension project.

The second extension project has now been integrated in a programme called Capacity Increase Programme. The Programme was established to manage interrelated projects in term of scope of work such as the Second Extension Project, the Modular Data Centre 2 and the Power and Cooling. The Programme and the components serve as a necessary fundament for the Agency to continue to serve the Member States.

In view of the above, the eu-LISA Management Board took note of the following way forward:

- Establishing a Capacity Increase Programme, to act as a common “umbrella” for all interrelated projects to ensure an efficient governance and effective management of resources;
- Address the short and mid-term computing capacity increase needs on the current site with adding infrastructure components in a modular approach (please see Modular Data Centre 2 (MDC2) Project section below);
- Address the mid-term solution of power and cooling capacity;
- Address the long-term compute capacity needs and the office space needs with the Second Extension project (please see relevant section below).

The following table gives a detailed view of the programme estimated budget in the current MFF

| Capacity Increase Programme - Budget Forecast | | | | | | | | |
|---|--------------|-------------|--------------|--------------|--------------|-------------|-------------|-------------|
| | TOTAL | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 |
| MDC2 project | 36,169,228 € | 223,228 € | 12,050,000 € | 6,673,000 € | 7,723,000 € | 6,500,000 € | 3,000,000 € | |
| Power & Cooling Increase Capacity | 4,175,000 € | | 2,250,000 € | 1,925,000 € | | | | |
| Capacity Increase Programme | 40,344,228 € | 223,228 € | 14,300,000 € | 8,598,000 € | 7,723,000 € | 6,500,000 € | 3,000,000 € | 0 € |
| External support | 4,286,847 € | 736,047 € | 591,800 € | 591,800 € | 591,800 € | 591,800 € | 591,800 € | 591,800 € |
| Ilkirch Premises | 12,600,000 € | 1,800,000 € | 1,800,000 € | 1,800,000 € | 1,800,000 € | 1,800,000 € | 1,800,000 € | 1,800,000 € |
| Non discretionary costs | 16,886,847 € | 2,536,047 € | 2,391,800 € | 2,391,800 € | 2,391,800 € | 2,391,800 € | 2,391,800 € | 2,391,800 € |
| GRAND TOTAL | | 2,759,275 € | 16,691,800 € | 10,989,800 € | 10,114,800 € | 8,891,800 € | 5,391,800 € | 2,391,800 € |

Modular Data Centre 2

After the Agency started the Modular Data Centre 2 (MDC2) activity in 2022, the need for an overview and analysis on the technical feasible options with a precise estimation of the budget became evident. A sourcing survey will provide the technical solutions and will help the Agency to select an appropriate supplier that can provide a suitable technical and scalable solution, taking into consideration all the Agencies' constraints. The outcome of the Sourcing survey is expected for Q1/ 2023. This project will be deployed by phase and will ensure a computing capacity increase of 500 kw for each phase.

The Agency intends to fund the MDC2 infrastructure project with available revenue collected in accordance with article 46(3) of the eu-LISA Regulation (EU) 2018/1726. As this revenue was already collected, the project could be funded without any increase to the EU contribution. Thus, from a budgetary point of view, this project has no impact on the Multiannual Financial Framework (MFF) 2021-27.

Second extension project

The main issues, which drove the review of the second extension project strategy, was the budget and the lack of financial resources as well as the new urgent need for more DC computing capacities.

As the budget for the whole project is unknown and the current MFF will not allow the Agency to get the necessary funds for the construction phase, therefore the call for tender for the design phase of this project will be put on hold (as the costs go beyond the current MFF ceilings for eu-LISA). The Agency will put forward a request for the relevant funding in the negotiations for the next MFF.

Only limited scope of the tender will be launched to cover possible QTM specific contracts for activities related to facility management and dedicated to MDC2, to increase capacity for power and cooling as well as other similar services needed for facility of the operational site in Strasbourg.

5.2 European Schools

In 2014, eu-LISA signed with the European Commission the Mandate and Service Agreement for the financial contribution of the Agency to the European Schools in Tallinn and Strasbourg. The number of children in the European Schools in Tallinn and Strasbourg was 120 pupils in 2022, remaining at a similar level as in 2021. The Agency's contribution is expected to increase for the school years 2023/2024 and 2024/2025, depending on the number of children of the additional staff joining in the years 2023 and 2024.

Since 2014, eu-LISA pays a nursery allowance to its staff for covering the expenses for a daily care of small children younger than 4 years for whom the European Schools cannot provide its care.

5.3 Evaluation

The last evaluation report from April 2016 identified a possible need for a revision or an extension of the tasks entrusted to eu-LISA in the establishing regulation. Link to online documents made public: http://ec.europa.eu/smart-regulation/roadmaps/docs/2016_home_003_evaluation_eu-lisa_en.pdf. In accordance with Article 39 of eu-LISA's establishing Regulation, the Commission will complete a new evaluation of eu-LISA by December 2023. A benchmarking exercise is currently ongoing to gain a comprehensive overview of the performance of all JHA information systems under its remit. The results of eu-LISA's own external evaluation of its operations, service management, security and business continuity will feed into the Commission's evaluation.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|--|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>ESTONIA: eu-LISA's statutory staff in Tallinn provided they are not permanent residents of Estonia before taking up their appointment in the Agency will receive reimbursement from the Estonian government of a sum equal to the VAT paid for the purchase of goods in Estonia (limited to a specific list of goods) during a period of 1 year from taking up duties at the Agency in Tallinn to facilitate their establishment in Estonia.</p> <p>eu-LISA's statutory staff in Tallinn and family members forming part of their household are exempt from all compulsory contributions to the Estonian social security scheme insofar as they are covered by the scheme of social security benefits of officials and other servants of the Union</p> | <p>Headquarters Agreement between eu-LISA and the Republic of Estonia Article 12</p> | <p>European School functioning as of September 2013</p> |
| <p>FRANCE: eu-LISA's statutory staff in Strasbourg and family members forming part of their household are exempt from all compulsory contributions to the French social security scheme insofar as they are covered by the scheme of social security benefits of officials and other servants of the Union. To the extent that they are covered by the social security scheme of their state of origin seconded experts are also exempt from all compulsory contributions to the French social security scheme</p> | <p>Agreement on the technical site of the European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom Security and Justice between eu-LISA and the Government of France Article 13</p> | <p>European School in Strasbourg</p> |
| <p>AUSTRIA: in accordance with EU protocol of privileges and immunities</p> | <p>n/a (no permanent staff)</p> | <p>n/a (no permanente staff)</p> |

2.5 Decentralised agencies of Heading 5 –Security and Defence

2.5.1 European Union Agency for Law Enforcement Cooperation – EUROPOL

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|---|---|
| <p>Regulation (EU) 2016/794 of the European Parliament and of the Council on the European Union Agency for Law Enforcement Cooperation (Europol) and replacing and repealing Council Decisions 2009/371/JHA, 2009/934/JHA, 2009/935/JHA, 2009/936/JHA and 2009/968/JHA, Regulation (EU) 2022/991 of the European Parliament and of the Council of 8 June 2022 amending Regulation (EU) 2016/794, as regards Europol’s cooperation with private parties, the processing of personal data by Europol in support of criminal investigations, and Europol’s role in research and innovation</p> | <p>Entry into force 01/05/2017, amendment entry into force 28/06/2022</p> | <p>Europol shall support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating serious crime affecting two or more Member States, terrorism and forms of crime which affect a common interest covered by a Union policy.</p> <p>Europol's shall (a) collect, store, process, analyse and exchange information, including criminal intelligence; (b) notify the Member States, via the national units established or designated, without delay of any information and connections between criminal offences concerning them; (c) coordinate, organise and implement investigative and operational actions to support and strengthen actions by the competent authorities of the Member States, that are carried out: (i) jointly with the competent authorities of the Member States; or (ii) in the context of joint investigation teams and, where appropriate, in liaison with Eurojust; (d) participate in joint investigation teams, as well as propose that they be set up; (e) provide information and analytical support to Member States in connection with major international events; (f) prepare threat assessments, strategic and operational analyses and general situation reports; (g) develop, share and promote specialist knowledge of crime prevention methods, investigative procedures and technical and forensic methods, and provide advice to Member States; (h) support Member States' cross-border information exchange activities, operations and investigations, as well as joint investigation teams, including by providing operational, technical and financial support; (ha) provide administrative and financial support to Member States' special intervention units as referred to in Council Decision 2008/617/JHA; (i) provide specialised training and assist Member States in organising training, including with the provision of financial support, within the scope of its objectives and in accordance with the staffing and budgetary resources at its disposal in coordination with the European Union Agency for Law Enforcement Training (CEPOL); (j) cooperate with the Union bodies established on the basis of Title V of the TFEU, with OLAF and the European Union Agency for Cybersecurity (ENISA) established by Regulation (EU) 2019/881 of the European Parliament and of the Council, in particular through the exchange of information and provision of analytical support in areas that fall within their respective competences; (k) provide information and support to EU crisis management structures and missions established on the basis of the TEU, within the scope of Europol's objectives; (l) develop Union centres of specialised expertise for combating certain types of crime falling within the scope of Europol's objectives, in particular the European Cybercrime Centre; (m) support Member States' actions in preventing and combating forms of crime which are facilitated, promoted or committed using the internet, including by: (i) assisting the competent authorities of the Member States, upon their request, in responding to cyberattacks of suspected criminal origin; (ii) cooperating with competent authorities of the Member States with regard to removal orders, in accordance with Article 14 of Regulation (EU) 2021/784; and (iii) making referrals of online content to the online service providers concerned for their</p> |

| | |
|--|---|
| | <p>voluntary consideration of the compatibility of that content with their own terms and conditions; (r) support Member States in identifying persons whose criminal activities fall within the forms of crime listed in the amended Europol Regulation and who constitute a high risk for security; (s) facilitate joint, coordinated and prioritised investigations regarding persons referred to in point (r); (t) support Member States in processing data provided by third countries or international organisations to Europol on persons involved in terrorism or in serious crime and propose the possible entry by the Member States, at their discretion and subject to their verification and analysis of those data, of information alerts on third-country nationals in the interest of the Union ('information alerts') in the Schengen Information System (SIS), in accordance with Regulation (EU) 2018/1862 of the European Parliament and the Council; (u) support the implementation of the evaluation and monitoring mechanism to verify the application of the Schengen acquis under Regulation (EU) No 1053/2013, within the scope of Europol's objectives, through the provision of expertise and analyses, where relevant; (v) proactively monitor research and innovation activities that are relevant for the achievement of Europol's objectives and contribute to such activities by supporting related activities of Member States and by implementing its own research and innovation activities, including projects for the development, training, testing and validation of algorithms for the development of specific tools for the use by law enforcement authorities, and disseminate the results of the activities to the Member States; (w) contribute to creating synergies between the research and innovation activities of Union bodies that are relevant for the achievement of Europol's objectives, including through the EU Innovation Hub for Internal Security, and in close cooperation with Member States; (x) support, upon their request, Member States' actions in addressing online crisis situations, in particular by providing private parties with the information necessary to identify relevant online content; (y) support Member States' actions in addressing the online dissemination of online child sexual abuse material; (z) cooperate, in accordance with Article 12 of Directive (EU) 2019/1153 of the European Parliament and of the Council, with Financial Intelligence Units (FIUs) established pursuant to Directive (EU) 2015/849 of the European Parliament and of the Council, through the relevant Europol national unit or, if allowed by the relevant Member State, by direct contact with the FIUs, in particular through the exchange of information and the provision of analyses to Member States to support cross-border investigations into the money laundering activities of transnational criminal organisations and terrorist financing.</p> <p>Europol shall provide strategic analyses and threat assessments to assist the Council and the Commission in laying down strategic and operational priorities of the Union for fighting crime; it shall also assist in the operational implementation of those priorities, in particular in the European Multidisciplinary Platform Against Criminal Threats (EMPACT), including by facilitating and providing administrative, logistical, financial and operational support to operational and strategic activities led by Member States; provide strategic analyses and threat assessments to assist the efficient and effective use of the resources available at national and Union level for operational activities and the support of those activities; Europol shall also provide threat assessment analyses based on the information it holds on criminal phenomena and trends to support the Commission and the Member States in carrying out risk assessments; it shall also act as the Central Office for combating euro counterfeiting in accordance with Council Decision 2005/511/JHA. Europol shall also encourage the coordination of measures carried out to fight euro counterfeiting by the competent authorities of the Member States or in the context of joint investigation teams, where appropriate in</p> |
|--|---|

| | | |
|--|--|---|
| | | liaison with Union bodies and the authorities of third countries; and shall not apply coercive measures in carrying out its tasks; Europol shall assist the Member States and the Commission in identifying key research themes; it shall support the Member States in the screening, as regards the expected implications for security, of specific cases of foreign direct investments into the Union under Regulation (EU) 2019/452 of the European Parliament and of the Council that concern undertakings that provide technologies, including software, used by Europol for the prevention and investigation of crimes that fall within Europol's objectives. |
|--|--|---|

1.2 Seat

The Hague (Den Haag), the Netherlands.

1.3 Budget Line

12 10 01 : European Union Agency for Law Enforcement Cooperation (Europol)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 654 | 660 | 100,92% | 693 | 731 |
| Assistants (AST) | 32 | 22 | 68,75% | 23 | 23 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 686 | 682 | 99,42% | 716 | 754 |
| Contract Agents (CA) | 235 | 217 | 92,34% | 235 | 255 |
| Seconded National Experts (SNE) | 71 | 59 | 83,10% | 121 | 121 |
| TOTAL STAFF | 992 | 958 | 96,57% | 1072 | 1130 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 207 176 212 | 218 227 705 |
| Other Revenue | | |
| TOTAL REVENUES | 207 176 212 | 218 227 705 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|----------|------|------|
|----------|------|------|

| | Revenues estimated by the agency | Budget Forecast |
|--|----------------------------------|-----------------|
| Additional EU funding stemming from grant agreements (FFR Art.7) | 543 299 | 222 800 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 1 422 835 | 656 912 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 230 000 | 120 000 |
| TOTAL REVENUES | 3 196 134 | 999 712 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 112 219 112 | 112 219 112 | 117 530 002 | 117 530 002 |
| Title 2 - Infrastructure and operating expenditure | 16 267 400 | 16 267 400 | 17 135 312 | 17 135 312 |
| Title 3 - Operational expenditure | 78 689 700 | 76 612 900 | 83 562 391 | 80 054 191 |
| TOTAL EXPENDITURE | 207 176 212 | 205 099 412 | 218 227 705 | 214 719 505 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | 1 | | 1 | | 1 | | 1 |
| AD 15 | | 1 | | | | | | 1 | | 1 |
| AD 14 | | 3 | | 3 | | 3 | | 2 | | 2 |
| AD 13 | | 1 | | | | 2 | | 3 | | 3 |
| AD 12 | | 10 | | 10 | | 11 | | 11 | | 11 |
| AD 11 | | 8 | | 6 | | 10 | | 11 | | 11 |
| AD 10 | | 18 | | 19 | | 21 | | 24 | | 24 |
| AD 9 | | 43 | | 42 | | 47 | | 51 | | 51 |
| AD 8 | | 83 | | 66 | | 92 | | 105 | | 105 |
| AD 7 | | 193 | | 219 | | 216 | | 239 | | 239 |
| AD 6 | | 285 | | 285 | | 282 | | 276 | | 276 |
| AD 5 | | 9 | | 9 | | 8 | | 7 | | 7 |
| AD TOTAL | | 654 | | 660 | | 693 | | 731 | | 731 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 2 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | 5 | | 1 | | 2 | | 3 | | 3 |
| AST 6 | | 6 | | 4 | | 5 | | 5 | | 5 |
| AST 5 | | 7 | | 4 | | 4 | | 3 | | 3 |
| AST 4 | | 6 | | 4 | | 3 | | 3 | | 3 |
| AST 3 | | 3 | | 2 | | 2 | | 3 | | 3 |
| AST 2 | | 3 | | 6 | | 6 | | 5 | | 5 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 32 | | 22 | | 23 | | 23 | | 23 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 686 | | 682 | | 716 | | 754 | | 754 |
| GRAND TOTAL | | 686 | | 682 | | 716 | | 754 | | 754 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 59 | 59 | 59 | 79 |
| Function Group III | 118 | 108 | 118 | 118 |
| Function Group II | 58 | 50 | 58 | 58 |
| Function Group I | | | | |
| TOTAL | 235 | 217 | 235 | 255 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 71 | 59 | 121 | 121 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 192 380 773 | 207 176 212 | 219 245 205 | 218 227 705 | 5,33% |
| - Of which assigned revenues deriving from previous years' surpluses | 3 349 469 | 5 098 619 | 10 314 092 | 10 314 092 | 102,29% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 192 380 773 | 207 176 212 | 219 245 205 | 218 227 705 | 5,33% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 1 807 626 | 543 299 | 222 800 | 222 800 | -58,99% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 1 065 003 | 1 422 835 | 656 912 | 656 912 | -53,83% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 572 164 | 1 230 000 | 120 000 | 120 000 | -90,24% |
| TOTAL | 4 444 793 | 3 196 134 | 999 712 | 999 712 | -68,72% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations |
|-------------|---------------------------|
|-------------|---------------------------|

| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
|---|-------------------------|--------------------|--------------------|--------------------|-------------------------|
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 100 108 826 | 112 219 112 | 118 547 502 | 117 530 002 | 4,73% |
| Salaries & allowances | 92 007 321 | 102 628 112 | 108 050 800 | 107 033 300 | 4,29% |
| - <i>Of which establishment plan posts</i> | <i>76 310 521</i> | <i>86 047 712</i> | <i>90 205 400</i> | <i>89 867 900</i> | <i>4,44%</i> |
| - <i>Of which external personnel</i> | <i>15 696 800</i> | <i>16 580 400</i> | <i>17 845 400</i> | <i>17 165 400</i> | <i>3,53%</i> |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 873 605 | 1 297 700 | 1 234 461 | 1 234 461 | -4,87% |
| Training | 144 470 | 175 000 | 186 000 | 186 000 | 6,29% |
| External Services | | | | | |
| Receptions, events and representation | 100 598 | 109 000 | 120 740 | 120 740 | 10,77% |
| Social welfare | | | | | |
| Other Staff related expenditure | 6 982 832 | 8 009 300 | 8 955 501 | 8 955 501 | 11,81% |
| Title 2 - Infrastructure and operating expenditure | 11 643 924 | 16 267 400 | 17 135 312 | 17 135 312 | 5,34% |
| Rental of buildings and associated costs | 6 433 102 | 10 689 800 | 10 897 197 | 10 897 197 | 1,94% |
| Information, communication technology and data processing | 1 677 535 | 1 866 700 | 1 866 650 | 1 866 650 | -0,00% |
| Movable property and associated costs | 1 133 326 | 798 100 | 1 076 705 | 1 076 705 | 34,91% |
| Current administrative expenditure | 493 000 | 685 500 | 1 109 009 | 1 109 009 | 61,78% |
| Postage / Telecommunications | 1 099 694 | 1 162 500 | 1 160 751 | 1 160 751 | -0,15% |
| Meeting expenses | 807 267 | 1 064 800 | 1 025 000 | 1 025 000 | -3,74% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 74 116 615 | 78 689 700 | 83 562 391 | 83 562 391 | 6,19% |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Operations | 22 788 696 | 15 109 100 | 14 957 600 | 14 957 600 | -1,00% |
| Operational information technology | 42 884 568 | 42 633 600 | 43 996 791 | 43 996 791 | 3,20% |
| Telecommunication costs for operational activities | 1 849 316 | 1 840 000 | 1 840 000 | 1 840 000 | 0,00% |
| Seconded National Experts (Operational) | 5 229 338 | 7 300 000 | 7 400 000 | 7 400 000 | 1,37% |
| EPCC | 276 566 | 300 000 | 270 000 | 270 000 | -10,00% |
| Heads of Europol national Units | 91 475 | 183 000 | 183 000 | 183 000 | 0,00% |
| Operational expenditure related to subsidies and grants | | | | | |
| Operational expenditure related to research and development projects | | | | | |
| Decryption platform | 996 656 | 1 440 000 | 1 440 000 | 1 440 000 | 0,00% |
| Grants | | 9 884 000 | 13 475 000 | 13 475 000 | 36,33% |
| TOTAL | 185 869 365 | 207 176 212 | 219 245 205 | 218 227 705 | 5,33% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 100 108 826 | 112 219 112 | 118 547 502 | 117 530 002 | 4,73% |
| Salaries & allowances | 92 007 321 | 102 628 112 | 108 050 800 | 107 033 300 | 4,29% |
| - Of which establishment plan posts | 76 310 521 | 86 047 712 | 90 205 400 | 89 867 900 | 4,44% |
| - Of which external personnel | 15 696 800 | 16 580 400 | 17 845 400 | 17 165 400 | 3,53% |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 873 605 | 1 297 700 | 1 234 461 | 1 234 461 | -4,87% |
| Training | 144 470 | 175 000 | 186 000 | 186 000 | 6,29% |
| External Services | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | 100 598 | 109 000 | 120 740 | 120 740 | 10,77% |
| Social welfare | | | | | |
| Other Staff related expenditure | 6 982 832 | 8 009 300 | 8 955 501 | 8 955 501 | 11,81% |
| Title 2 - Infrastructure and operating expenditure | 11 643 924 | 16 267 400 | 17 135 312 | 17 135 312 | 5,34% |
| Rental of buildings and associated costs | 6 433 102 | 10 689 800 | 10 897 197 | 10 897 197 | 1,94% |
| Information, communication technology and data processing | 1 677 535 | 1 866 700 | 1 866 650 | 1 866 650 | -0,00% |
| Movable property and associated costs | 1 133 326 | 798 100 | 1 076 705 | 1 076 705 | 34,91% |
| Current administrative expenditure | 493 000 | 685 500 | 1 109 009 | 1 109 009 | 61,78% |
| Postage / Telecommunications | 1 099 694 | 1 162 500 | 1 160 751 | 1 160 751 | -0,15% |
| Meeting expenses | 807 267 | 1 064 800 | 1 025 000 | 1 025 000 | -3,74% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 74 116 615 | 76 612 900 | 80 054 191 | 80 054 191 | 4,49% |
| Operations | 22 788 696 | 15 109 100 | 14 957 600 | 14 957 600 | -1,00% |
| Operational information technology | 42 884 568 | 42 633 600 | 43 996 791 | 43 996 791 | 3,20% |
| Telecommunication costs for operational activities | 1 849 316 | 1 840 000 | 1 840 000 | 1 840 000 | 0,00% |
| Seconded National Experts (Operational) | 5 229 338 | 7 300 000 | 7 400 000 | 7 400 000 | 1,37% |
| EPCC | 276 566 | 300 000 | 270 000 | 270 000 | -10,00% |
| Heads of Europol national Units | 91 475 | 183 000 | 183 000 | 183 000 | 0,00% |
| Operational expenditure related to subsidies and grants | | | | | |
| Operational expenditure related to research and development projects | | | | | |
| Decryption platform | 996 656 | 1 440 000 | 1 440 000 | 1 440 000 | 0,00% |
| Grants | | 7 807 200 | 9 966 800 | 9 966 800 | 27,66% |

| EXPENDITURE | Payment appropriations | | | | |
|-------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| TOTAL | 185 869 365 | 205 099 412 | 215 737 005 | 214 719 505 | 4,69% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 10 314 092.

4 Justification of needs

Commission assessment

Human Resources

The total number of staff in Europol in 2024 includes 5 TA posts and 20 CA posts to be temporarily transferred from Frontex to Europol in 2024-2027. These staff will perform the tasks related to the testing and use of ETIAS once the system becomes operational.

Financial Resources

The Commission does not foresee an increase in the financial resources in 2024 beyond the multiannual financial programming.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

A significant portion of the resources foreseen in the Europol Regulation Recast became available in 2022. For the years 2024-2026, more moderate increases are envisaged. Resource pressures are expected to continue when it comes to the implementation of the Interoperability-related regulations, in particular ETIAS and VIS. A number of policy proposals under discussion may have an impact on the resource needs of the Agency, which cannot be quantified at the time of writing.

Interoperability – Additional resource request for ETIAS entry into operation

As discussed at the Europol MB meeting in October 2022, there is a significant human resources gap to implement the interoperability tasks to be performed by Europol, estimated at approximately 130 posts in subsequent years. Taking into account the current challenges facing the EU budget and the European Commission's Budget Circular for 2024 which states a principle of stable staffing levels for all European Commission services and decentralised agencies, Europol (as announced at the MB in December 2022 and after having discussed the matter with the Directorate-General (DG) Migration and Home Affairs and Frontex) is proposing to transfer 20 Contract Agent (CA) positions and 5 Temporary Agent establishment plan posts (and the corresponding budget) from Frontex to Europol for the period 2024-2027, with the purpose of implementing, in particular, tasks stemming from the ETIAS entry into operation (expected for November 2023). This solution would allow for Europol to cover the most pressing resource gap, while it would be budget and staff neutral across Home Affairs Agencies. The proposal is subject to the approval of Frontex's MB and consultation with the relevant European Commission services.

Temporary agents

Starting from the 2023 Establishment Plan of 716 posts, the net number of posts in 2024 is envisaged to increase by 38 Temporary Agent (TA) posts, including 26 posts stemming from the amended Europol Regulation, 6.5⁴⁶ posts from the

⁴⁶ Rounded to 7 posts.

Prüm II regulation and 5 posts (as a result of the foreseen transfer from Frontex) related to the implementation of the Interoperability agenda.

Contract Agents

As detailed above, (as a result of the foreseen transfer from Frontex) the number of CAs in 2024 is envisaged to rise by 20 posts related to the implementation of the Interoperability-related regulations, to a total number of 255 and remain stable in the following years.

Seconded National Experts

The number of Seconded National Experts (SNEs) in 2023-2025 is foreseen to remain at the same level as in 2022, thus maintaining the number at 121, including the 50 SNE FTE envisaged for short-term deployments during the year (GE/OTF, costed, short term SNEs).

Staff financed with Grant Agreements / Contribution Agreements / Service Legal Agreements (SLAs)

For 2023 Europol will continue having a number of Contract Agents (CAs) and SNEs directly funded via grant, contribution or service legal agreements.

No substantial negative priorities or decrease of existing tasks is foreseen.

4.1.2 Vacancy rate as of end 2022

Total Europol vacancy rate: 3.8% (38/992)

Individual vacancy rate per category (excluding posts funded by grants):TA: 0.6% (4/686) ; CA: 7.7% (18/235) ; SNE: 22.5% (16/71).

4.1.3 Standard abatement ('abatement forfaitaire') applied

No vacancy rate has been applied.

4.1.4 Salary assumption for calculating salary line (% applied)

2022: + 6.9%

2023: + 2%

2024: + 2%

4.1.5 Correction coefficient used

109.8% for 2023 and 2024.

4.1.6 Exchange rate used (if applicable)

N.A.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Staff related expenditure in 2024 amounts to EUR 118 547 502 and represents 54.1% of the total Estimate 2024. It reflects an increase of EUR 6 328 390 or 5.6% compared to the 2023 Budget.

The Estimate 2024 foresees an expenditure related to overall 753.5 TAs and 255 CAs. EUR 1 017 500 is included in Chapter 11 related to the potential transfer of posts for the implementation of the interoperability agenda (see section 4.1.1 above for more details). Moreover, 2024 estimations consider the full year financial effect of new staff in 2023 (+30 TAs) and the assumptions for salary adjustments in line with the guidelines from the Budget Circular 2024⁴⁷.

The budget for other staff related expenditure (Chapter 15) is envisaged to reach around EUR 8 955 501, which is an increase of EUR 946 201 or 11.8% compared to the year 2023. The increase is foreseen for additional External Security Officers and consultancy services, required for the new developments of the Strategic Housing Roadmap (SHR).

4.2.2 Title 2

The budget for other administrative activities comes to a total of EUR 17 135 312 and represents 7.8% of the total Estimate 2024. It reflects an increase of EUR 867 912 or 5.3% compared to the 2023 Budget.

The total Estimate for 2024 for the rental of buildings and associated costs (Chapter 20) came to EUR 10 897 197, which is an increase of EUR 207 397 or 1.9%. Beside the regular running costs⁴⁸ for the Headquarters (HQ) and the first Temporary Satellite Building (TSB1), the additional budget is foreseen for the ICT infrastructure related to the connectivity works between the HQ and the secondary temporary satellite building (TSB2), as well as higher running costs of electricity and gas due to the latest price developments on the market.

The Estimate 2024 for other governance, administrative and telecommunication expenditure (Chapters 22 – 24) comes to EUR 3 346 465 which is an increase of EUR 700 365 or 26.5% versus the previous year. This budget is foreseen for the continuation of regular business activities such as open source and database subscriptions, legal services, administrative expertise services, uniforms, furniture, car fleet, office supplies, postal and courier services.

The Estimate 2024 for the activities of the Management Board (MB) and its Working Groups (WGs)⁴⁹, included under Chapter 25, reaches a total of EUR 1 025 000, which is EUR 39 800 or 3.7% lower than the previous year. This budget is foreseen to cover four physical and two virtual MB meetings, as well as four physical WGs meetings.

4.2.3 Title 3

The Estimate 2024 for Operational activities comes to EUR 83 562 391 and represents 38.1% of the total Estimate. The increase for operational activities compared to 2023 amounts to EUR 4 872 691 or 6.2%, mainly due to the new budget estimated for the implementation of differentiated appropriations (DAs) for the grant related budget.

The differentiated appropriations (DAs) were introduced in 2022 with the set-up of a new budget structure; however, it is only as of 2023 that Europol establishes multi-annual grant scheme planning, with a differentiation between commitments and payments appropriations. This new way of implementing the budget will enable to support grant beneficiaries (mainly law enforcement entities) with multi-annual funding and planning, allowing additional time to implement the respective grants budgets.

The Estimate 2024 for Operations (Chapter 30) comes to EUR 14 957 600, which is EUR 151 500 or 1% lower than the previous year. This budget is foreseen for operational support in operational meetings, conference, staff missions and deployments, providing training for 3rd parties, external operational expertise, operational WEB campaign, and other audio-visuals services, operational equipment and operational training.

⁴⁷ Salary adjustments consider a 2% salary increase plus the weighting coefficient for The Netherlands at the same level as 2022 (109.8).

⁴⁸ Preventive and corrective maintenance, electricity, water, cleaning, rents, insurance and other building -related investments.

⁴⁹ Corporate Matters Working Group (CMWG) and Information Management Working Group (IMWG).

The Estimate 2024 for Seconded National Experts (Chapter 33) comes to a budget of EUR 7 400 000 and it is EUR 100 000 or 1.4% above previous year. This budget is foreseen to cover allowances for 71 Seconded National Experts (EUR 4 300 000) and 50 Guest Experts for the dedicated support to MS investigations for the Operational task Forces (OTF) (EUR 3 100 000).

A new Grants Chapter (Chapter 39) was created to centralise grants budget activities. Because of this change, the Grants-related budget previously included under Operations (Chapter 30) was moved to the new Chapter 39.

4.3 Ad hoc grants and delegation agreements

| | General information | | | | | Financial and HR impacts | | | | | | | | |
|--|--------------------------------------|--|--|--|--|--------------------------|--------------|--------------|------------|------------|------------|------------|------|------|
| | Date of signature | Total amount | Duration | Counterpart | Short description | N-1 (2023) | | N (2024) | | N+1 (2025) | | N+2 (2026) | | |
| Grant agreements | | | | | | | | | | | | | | |
| 3. H2020 - GRACE 883341 - part of consortium coordinated by Vicom, ES | 18/05/2020 (starting date 1/6/2020) | 6,823,512.50 for the consortium of which 702,550 for Europol | 42 months | European Commission Research Executive Agency | Global Response Against Child Exploitation based on big-data technologies supported by advanced AI powered algorithms | Amount | CA 184,001 | PA 184,001 | CA - | PA - | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 3 | | 0 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| 4. H2020 - INFINITY 883293 - part of consortium coordinated by Airbus, FR | 11/05/2020 (starting date 1/6/2020) | 6,866,503.75 for the consortium of which 533,600 for Europol | 36 months | European Commission Research Executive Agency | To become a flagship project that revolutionises how LEAs view, analyse and share information to combat crime and terrorism | Amount | CA 74,111 | PA 74,111 | CA - | PA - | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 1 | | 0 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| 5. H2020 - AIDA 883596 - part of consortium coordinated by Ingegneria Informatica, IT | 20/05/2020 (starting date 1/9/2020) | 7,690,272.50 for the consortium of which 935,800 for Europol | 30 months | European Commission Research Executive Agency | Artificial Intelligence and advanced Data Analytics for Law Enforcement Agencies | Amount | CA 62,387 | PA 62,387 | CA - | PA - | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 5 | | 0 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| 6. H2020 - STARLIGHT 101021797 - part of consortium coordinated by CEA, FR | 05/05/2021 (starting date 1/10/2021) | 17,000,000 for the consortium of which 891,200 for Europol | 48 months | European Commission Research Executive Agency | Sustainable Autonomy and Resilience for LEAs using AI against High priority Threats | Amount | CA 222,800 | PA 222,800 | CA 222,800 | PA 222,800 | CA 167,100 | PA 167,100 | CA - | PA - |
| | | | | | | Number of CAs | 3 | | 3 | | 3 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| Total grant agreements | | | | | | Amount | CA 543,299 | PA 543,299 | CA 222,800 | PA 222,800 | CA 167,100 | PA 167,100 | CA - | PA - |
| | | | | | | Number of CAs | 12 | | 3 | | 3 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| Contribution agreements | | | | | | | | | | | | | | |
| 1. EaP EMPACT ENI/2020 / 416-376 | 11/06/2020 (starting date 1/7/2020) | 2,500,000 | 48 months | European Commission DG Near | Fighting organised crime in the EaP region | Amount | CA 625,000 | PA 625,000 | CA 312,500 | PA 312,500 | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 2 | | 2 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| 2. SIRIUS phase 2 New Agreement | 21/12/2020 (starting date 1/1/2021) | 3,491,891.50 (2,226,456 Europol, 1,265,435.50 Eurojust) | 42 months (staff for year 1 still in SIRIUS I) | European Commission Service for Foreign Policy Instruments | International Digital Cooperation - Cross border access to electronic evidence | Amount | CA 797,835 | PA 797,835 | CA 344,412 | PA 344,412 | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 7 | | 7 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| Total contribution agreements | | | | | | Amount | CA 1,422,835 | PA 1,422,835 | CA 656,912 | PA 656,912 | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 9 | | 9 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| Service-level agreements | | | | | | | | | | | | | | |
| 1. EUIPO - IP Crime | 07/11/2019 (starting date 1/1/2020) | maximum 3,800,000 | 48 months | The European Union Intellectual Property Office (EUIPO) | To support law enforcement authorities preventing crime related to Intellectual Property Rights | Amount | CA 950,000 | PA 950,000 | CA - | PA - | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 2 | | 0 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 7 | | 0 | | 0 | | 0 | |
| 2. EUIPO - Fraud | 13/08/2019 (starting date 1/1/2020) | No amount specified in SLA, 80,000 annually agreed | 48 months | The European Union Intellectual Property Office (EUIPO) | Preventing fraud against users of the European Union Intellectual Property Systems | Amount | CA 80,000 | PA 80,000 | CA - | PA - | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 0 | | 0 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 1 | | 0 | | 0 | | 0 | |
| 3. The European Union Agency for Law Enforcement Training (funded via Contribution Agreement with DG Near) | 05/08/2020 | 880,000 | Maximum duration until 1/9/2024 | The European Union Agency for Law Enforcement Training | EUROMED POLICE V (Contract No. ENI/2020/414-940), WB PaCT (Contract No. 2019/ 413-822) and TOPCOP (Contract No. ENI/2020/415-941) projects | Amount | CA 200,000 | PA 200,000 | CA 120,000 | PA 120,000 | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 3 | | 2 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | | 0 | |
| Total service-level agreements | | | | | | Amount | CA 1,230,000 | PA 1,230,000 | CA 120,000 | PA 120,000 | CA - | PA - | CA - | PA - |
| | | | | | | Number of CAs | 5 | | 2 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 8 | | 0 | | 0 | | 0 | |
| TOTAL AGREEMENTS | | | | | | Amount | CA 3,196,134 | PA 3,196,134 | CA 999,712 | PA 999,712 | CA 167,100 | PA 167,100 | CA - | PA - |
| | | | | | | Number of CAs | 26 | | 14 | | 3 | | 0 | |
| | | | | | | Number of SNEs | 8 | | 0 | | 0 | | 0 | |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|----------|-----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| | | | | | | | | | | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|---|----------------------------------|---------------|---------------|-----------------|--|-----------------|--|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Europol Headquarters | Eisenhowerlaan 73, 2517 KK, The Hague Netherlands | 10 000 | 22 500 | 32 500 | | Lease Agreement is valid for 20 years and was signed on 1 March 2011. | Lease agreement | Host State support by providing and maintaining the accommodation. | |
| 2 | Europol / Temporary Satellite Building | Jan Willem Frisolaan 13, 2517JS The Hague | 1 200 | 1 500 | 2 700 | | Lease Agreement is valid for 3+1 years and was signed on 6 September 2019. | Lease agreement | Host State support by providing and maintaining the accommodation. | |
| TOTAL | | | 11 200 | 24 000 | 35 200 | | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

Europol is facing a shortage of workplaces, meeting facilities and data centre capacity in its Headquarters. Therefore, Europol and the Host State, represented by the Ministry of Justice and Security, have developed a Strategic Housing Roadmap (SHR) for the period 2016 – 2031, (expiration of the first term Lease Agreement).

Strategic Housing Roadmap (SHR)

The main objective of the Strategic Housing Roadmap (SHR) Programme⁵⁰ is to proactively align Europol's growth and new business demands with the housing needs of the organisation, enabling timely planning and development of the necessary housing solutions.

Building projects in planning phase⁵¹:

Mid-Term Housing Solution (MTHS), increasing workplace capacity and supporting spatial functions in HQ1, Eisenhowerlaan 73, The Hague, as well the implementation of the strengthening process of the Plank Floor Slabs of the building, due to construction issues.

Long-Term Housing Measures (LTHM), creating additional workplace capacity and spatial supporting functions via a HQ2, in The Hague.

Temporary Satellite Building I (TSB I), temporary workplaces to support organisational growth and new business demands via office building Jan Willem Frisolaan 13, The Hague. The building is required until this capacity is restored via a Temporary Satellite Building III.

Temporary Satellite Building II (TSB II), temporary workplaces to support organisational growth and new business demands. The building is required for creating move space in HQ1 during the implementation process of the Mid-Term Housing Solution in the HQ1, as well until the delivery of the HQ2 office building in The Hague.

⁵⁰ In line with the recommendations of the ECA report "Office accommodation of EU institutions – Some good management practices but also various weaknesses" of 2018, the Host State and Europol will maintain a strong SHR Programme governance structure, including a formalised cost demarcation.

⁵¹ See also document "Building policy document for the European Parliament and Council - Developments 2022 onwards", dated 3 January 2023.

Temporary Satellite Building III (TSB III), temporary workplaces to support organisational growth and new business demands and the replacement of TSB I, as the lease period of this building cannot be aligned with the delivery date of the Long-Term Housing Measures. The building is required until the delivery of the HQ2 office building in The Hague.

Hot Data Centre (HDC), an external data centre outside the perimeter of The Hague. Originally projected in the LTHM, the HQ2, however, it was concluded that two hot data centres (HQ1 and HQ2) are too vulnerable at the same location.

Data Recovery Site Austria, Europol’s Data Recovery Site is hosted at a location owned by the Republic of Austria, which is used to continuously store a backup of Europol’s data and is therefore important for Europol’s ICT business continuity.

5.1.4 Building projects submitted to the European Parliament and the Council

On 3 January 2023, document “Building policy document for the European Parliament and Council - Developments 2022 onwards”, dated 3 January 2023, was submitted to the Parliament Committees.

5.2 European Schools

The establishment of the European School of The Hague was agreed in 2011 and it opened its doors in school year 2012/2013. The Commission partially subsidises the school fees for the European School in The Hague (ESH) based on a mutual Mandate and Service agreement. The expenditure for the European School will continue to rise with the staff growth. Based on the current number of pupils and the assumption that most of the children of the new staff will be registered in ESH, a total budget of EUR 4 290 500 is anticipated for the school year 2024/2025.

5.3 Evaluation

Internal monitoring & evaluation

Europol management monitors the implementation status of all planned actions, projects and indicators on a quarterly basis, to assess the overall progress and to take corrective actions where needed. This is done via the **Consolidated Annual Activity Report (CAAR)** and the Europol **Internal Audit Capability (IAC)**. Moreover, The **DPO** and **Head of the Data Protection Function (DPF)** is accountable to the MB and has to ensure, in an independent manner, that the processing of personal data by Europol, including personal data relating to staff members, is done in a way that is both lawful and in compliance with the provisions set out in the Europol Regulation.

External monitoring & evaluation

The **Internal Audit Service (IAS)**, supported by the IAC and Europol, performs a risk assessment on Europol’s governance, administrative and support process areas at regular intervals, with a view to identifying the overall risk profile of key administrative processes outside the core business area. The **European Data Protection Supervisor (EDPS)** holds regular meetings with the Data Protection Function (DPF) of Europol; the DPF facilitates the EDPS’ annual and other inspection activities. The **European Court of Auditors (ECA)** conducts annual audits on Europol’s implementation of each financial year, including the annual accounts presented to the discharge authority, as well as performance and ad-hoc audits engagements with respect to the mandate of Europol.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|--|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| According to Article 63(1) Europol Regulation the Protocol on Privileges | According to Article 63(1) Europol Regulation the following legal acts apply to Europol’s staff (including the Executive Director and the Deputy Executive Directors): | Europol staff members can benefit from |

| Agency privileges | Privileges granted to staff | |
|---|--|--|
| <p>and Immunities of the European Union (“Protocol No. 7”⁵² to the Treaty on European Union and the Treaty on the Functioning of the European Union) applies to Europol.</p> <p>The Protocol is supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol (see Art. 70 Europol Regulation).</p> | <ul style="list-style-type: none"> - Protocol on Privileges and Immunities of the European Union (“Protocol No. 7” to the Treaty on European Union and the Treaty on the Functioning of the EU) - Regulation (Euratom, ECSC, EEC) No. 549/69 of 25 March 1969 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on the Privileges and Immunities of the Communities apply (as last amended by Regulation (EC) No. 371/2009 of 27 November 2008) <p>Protocol and Regulation are supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol (see Art. 70 Europol Regulation), which is itself supplemented regarding staff privileges and immunities by a number of <i>Notes Verbales</i> some specific to Europol and others directed towards all international and EU organisations in The Netherlands. The most significant of these is an exchange of <i>Notes Verbales</i> of 25 October 2007.</p> | <p>the ordinary rules regarding tax benefits linked to day care expenses just as any other residents of the Netherlands.</p> |

⁵² Please note: Protocol No. 7 has been renumbered, compared to the Protocol on Privileges and Immunities of the European Communities. Its Article 15 empowers the European Parliament and the Council to determine acting by means of regulations the categories of officials and other servants of the Union to whom the provisions of Article 11, the second paragraph of Article 12, and Article 13 of this Protocol shall apply, in whole or in part. -Regulation No. 549/69 (see above) has not been amended following the entry into force of the new Protocol No. 7 (1 Dec. 2009), thus still contains the references to the old numbering.

2.5.2 European Union Agency for Law Enforcement Training – CEPOL

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|---|
| REGULATION (EU) 2015/2219 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 November 2015 on the European Union Agency for Law Enforcement Training (CEPOL) and replacing and repealing Council Decision 2005/681/JHA | 01/07/2016 | <p>The new legal basis presents several key tasks for CEPOL:</p> <ul style="list-style-type: none"> •A wider target group of “law enforcement officials”. •Coordination and implementation, addressing the European dimension of serious and organised crime, terrorism, public order, and CSDP preparedness. • Assessment of EU initiatives in defined fields, building the capacity of third countries, multiannual strategic training needs assessments, promotion of mutual recognition of training for EU Member States. •CEPOL’s remit in the area of research relevant for training and external relations has been extended. •CEPOL is a fully fledged EU agency in terms of legal construction, tasked inter alia to bring together a network of law enforcement training institutes. CEPOL Secretariat becomes staff of the agency. •The Governing Board becomes a Management Board (with the Commission as a voting member), gaining powers of Appointing Authority and competences in determining CEPOL’s internal organisation. •The Executive Director shall make proposals to the Management Board, and implement both the budget and the work programme(s) and the European Commission is included in the appointment mechanism. |

1.2 Seat

Budapest, Hungary

1.3 Budget Line

12 10 02 : European Union Agency for Law Enforcement Training (CEPOL)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 23 | 21 | 91,30% | 26 | 26 |
| Assistants (AST) | 10 | 6 | 60,00% | 7 | 7 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 33 | 27 | 81,82% | 33 | 33 |
| Contract Agents (CA) | 20 | 20 | 100,00% | 19 | 19 |
| Seconded National Experts (SNE) | 4 | 6 | 150,00% | 4 | 4 |
| TOTAL STAFF | 57 | 53 | 92,98% | 56 | 56 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 11 211 273 | 11 435 499 |

| | | |
|-----------------------|-------------------|-------------------|
| Other Revenue | | |
| TOTAL REVENUES | 11 211 273 | 11 435 499 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 5 186 760 | 5 186 760 | 5 290 496 | 5 290 496 |
| Title 2 - Infrastructure and operating expenditure | 552 415 | 552 415 | 563 463 | 563 463 |
| Title 3 - Operational expenditure | 5 472 098 | 5 472 098 | 5 581 540 | 5 581 540 |
| Title 4 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | 11 211 273 | 11 211 273 | 11 435 499 | 11 435 499 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 1 | | | | 1 | | 1 | | 1 |
| AD 12 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AD 11 | | 3 | | 1 | | 3 | | 2 | | 2 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 10 | | | | 2 | | | | 1 | | 1 |
| AD 9 | | 1 | | | | 1 | | 3 | | 3 |
| AD 8 | | 3 | | 2 | | 4 | | 7 | | 6 |
| AD 7 | | 5 | | 5 | | 8 | | 6 | | 6 |
| AD 6 | | 5 | | 5 | | 3 | | 3 | | 3 |
| AD 5 | | 3 | | 4 | | 4 | | 3 | | 1 |
| AD TOTAL | | 23 | | 21 | | 26 | | 29 | | 26 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | 1 | | 1 |
| AST 6 | | 1 | | 1 | | 1 | | | | |
| AST 5 | | 5 | | 1 | | 2 | | 4 | | 4 |
| AST 4 | | 4 | | 3 | | 4 | | 2 | | 2 |
| AST 3 | | | | 1 | | | | 1 | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 10 | | 6 | | 7 | | 8 | | 7 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | 2 | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | 2 | | |
| TOTAL | | 33 | | 27 | | 33 | | 39 | | 33 |
| GRAND TOTAL | 33 | | 27 | | 33 | | 39 | | 33 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 8 | 8 | 7 | 7 |
| Function Group III | 12 | 12 | 12 | 12 |
| Function Group II | | | | |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | | | |
| TOTAL | 20 | 20 | 19 | 19 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 6 | 4 | 4 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 10 845 030 | 11 211 273 | 11 986 298 | 11 435 499 | 2,00% |
| - Of which assigned revenues deriving from previous years' surpluses | 772 772 | 405 197 | <i>p.m.</i> | 283 109 | -30,13% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 10 845 030 | 11 211 273 | 11 986 298 | 11 435 499 | 2,00% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 914 535 | 5 186 760 | 5 841 295 | 5 290 496 | 2,00% |
| Salaries & allowances | 4 136 519 | 4 400 000 | 5 038 800 | 4 488 000 | 2,00% |
| - Of which establishment plan posts | 2 814 519 | 3 000 000 | 3 610 800 | 3 060 000 | 2,00% |
| - Of which external personnel | 1 322 000 | 1 400 000 | 1 428 000 | 1 428 000 | 2,00% |
| Expenditure relating to Staff recruitment | 14 099 | 9 000 | 9 180 | 9 180 | 2,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 15 000 | 30 000 | 30 600 | 30 600 | 2,00% |
| Socio-medical infrastructure | 486 697 | 474 000 | 483 480 | 483 481 | 2,00% |
| Training | 24 754 | 56 760 | 57 895 | 57 895 | 2,00% |
| External Services | 229 269 | 205 000 | 209 100 | 209 100 | 2,00% |
| Receptions, events and representation | 1 043 | 3 000 | 3 060 | 3 060 | 2,00% |
| Social welfare | 7 154 | 9 000 | 9 180 | 9 180 | 2,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 446 263 | 552 415 | 563 463 | 563 463 | 2,00% |
| Rental of buildings and associated costs | 27 599 | 55 000 | 56 100 | 56 100 | 2,00% |
| Information, communication technology and data processing | 380 990 | 447 095 | 456 037 | 456 037 | 2,00% |
| Movable property and associated costs | 5 890 | 18 320 | 18 686 | 18 686 | 2,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 27 840 | 26 000 | 26 520 | 26 520 | 2,00% |
| Postage / Telecommunications | 3 944 | 6 000 | 6 120 | 6 120 | 2,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 483 928 | 5 472 098 | 5 581 540 | 5 581 540 | 2,00% |
| Bodies and organs | 346 026 | 324 166 | 330 649 | 330 649 | 2,00% |
| Courses and seminars | 3 978 735 | 4 235 000 | 4 319 700 | 4 319 700 | 2,00% |
| Other programme activities | 1 159 167 | 912 932 | 931 191 | 931 191 | 2,00% |
| Evaluation | | | | | |
| Missions | | | | | |
| Other operational activities | | | | | |
| Title 4 - Operational expenditure | | | | | |
| Expenditure relating to persons working for CT MENA project | | | | | |
| Travel | | | | | |
| Equipment and supplies | | | | | |
| Local office | | | | | |
| Other costs, services | | | | | |
| Other | | | | | |
| Indirect costs | | | | | |
| Provision for contingency reserve | | | | | |
| Taxes | | | | | |
| TOTAL | 10 844 726 | 11 211 273 | 11 986 298 | 11 435 499 | 2,00% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 837 999 | 5 186 760 | 5 841 296 | 5 290 496 | 2,00% |
| Salaries & allowances | 4 136 519 | 4 400 000 | 5 038 800 | 4 488 000 | 2,00% |
| - Of which establishment plan posts | 2 814 519 | 3 000 000 | 3 610 800 | 3 060 000 | 2,00% |
| - Of which external personnel | 1 322 000 | 1 400 000 | 1 428 000 | 1 428 000 | 2,00% |
| Expenditure relating to Staff recruitment | 6 259 | 9 000 | 9 180 | 9 180 | 2,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 13 075 | 30 000 | 30 600 | 30 600 | 2,00% |
| Socio-medical infrastructure | 461 151 | 474 000 | 483 481 | 483 481 | 2,00% |
| Training | 17 536 | 56 760 | 57 895 | 57 895 | 2,00% |
| External Services | 195 262 | 205 000 | 209 100 | 209 100 | 2,00% |
| Receptions, events and representation | 1 043 | 3 000 | 3 060 | 3 060 | 2,00% |
| Social welfare | 7 154 | 9 000 | 9 180 | 9 180 | 2,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 403 033 | 552 415 | 563 463 | 563 463 | 2,00% |
| Rental of buildings and associated costs | 26 397 | 55 000 | 56 100 | 56 100 | 2,00% |
| Information, communication technology and data processing | 349 065 | 447 095 | 456 037 | 456 037 | 2,00% |
| Movable property and associated costs | 4 843 | 18 320 | 18 686 | 18 686 | 2,00% |
| Current administrative expenditure | 19 990 | 26 000 | 26 520 | 26 520 | 2,00% |
| Postage / Telecommunications | 2 738 | 6 000 | 6 120 | 6 120 | 2,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 3 939 857 | 5 472 098 | 5 581 540 | 5 581 540 | 2,00% |
| Bodies and organs | 252 853 | 324 166 | 330 649 | 330 649 | 2,00% |
| Courses and seminars | 2 995 908 | 4 235 000 | 4 319 700 | 4 319 700 | 2,00% |
| Other programme activities | 691 096 | 912 932 | 931 191 | 931 191 | 2,00% |
| Evaluation | | | | | |
| Missions | | | | | |
| Other operational activities | | | | | |
| Title 4 - Operational expenditure | | | | | |
| Expenditure relating to persons working for CT MENA project | | | | | |
| Travel | | | | | |
| Equipment and supplies | | | | | |
| Local office | | | | | |
| Other costs, services | | | | | |
| Other | | | | | |
| Indirect costs | | | | | |
| Provision for contingency reserve | | | | | |
| Taxes | | | | | |
| TOTAL | 9 180 889 | 11 211 273 | 11 986 299 | 11 435 499 | 2,00% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): 283 109 EUR.

4 Justification of needs

Commission assessment

Human Resources

The Commission does not support the request for additional staff. Discussions between CEPOL and the Hungarian authorities are ongoing as regards the Headquarters Agreement for the agency. This could lead to a request for additional resources, which can only be presented once the position of the Hungarian authorities is known.

The Commission supports a constant Establishment plan in line with the programming authorised by the co-legislator for 2024.

Financial Resources

For 2024, the Commission supports an EU contribution in line with the financial programming for the MFF 2021-2027, including a 2% increase. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

CEPOL aims to implement its mandate and therefore pursues the necessary resources for full engagement with all law enforcement sectors, implement its research agenda, and ensure quality, transferability and mobility of CEPOL training and education through standardisation and certification.

The agency considers it suffers, across all departments, from a lack of human resources, making it difficult for CEPOL to evolve and fully implement its mandate.

CEPOL also requests 6 additional positions for 2024, connected to the new Headquarters Agreement (HQA). The current HQA will expire on 1 October 2024. Negotiations for a new agreement have been initiated. As CEPOL requirements for a building – and especially for functional/training rooms – are significantly higher than 10 years ago, it is uncertain if the Hungarian authorities – in case of upgrading the building – will continue with support currently provided (Security, Reception, Maintenance, Cleaning as well as payment of utilities).

For this reason, CEPOL includes a total of 6 additional posts in 2024: 1 AD-8 to coordinate all building management/logistic issues; 2 AD5 for coordination of security team/reception and for coordination of cleaning/maintenance/logistic teams; 1 AST-3 to deal with all procurement & contract management related issues of the new building (outsourcing of security/reception/cleaning as well as the contracting for utilities); 2 AST/SC-3 positions to provide administrative support. These last 2 posts would be the first staff for CEPOL in the category AST/SC.

The Staff establishment plan for 2024 is adjusted to fit to the Agency's reclassification planning.

4.1.2 Vacancy rate as of end 2022

On 31 December 2022, there were 6 vacant positions including the 3 posts vacant due to unpaid leave, which translates to a 18% vacancy rate. 3 requests for unpaid leave were approved in Q3 and 1 staff member went on retirement in Q4. One of the positions were already assigned before the end of the year will start in Q2 2023. The recruitment procedures for the remaining vacant positions were under preparation at the end of 2022.

4.1.3 Standard abatement ('abatement forfaitaire') applied

CEPOL foresees no abatement in 2024, the Agency is currently under-resourced and standard abatement within the normal range is associated with efficiencies achieved through the implementation of mature processes.

4.1.4 Salary assumption for calculating salary line (% applied)

2024 salaries are calculated on the assumption that all authorised establishment plan posts will be filled and considering the individual family and personal circumstances of the employees, 1.2% increase of staff entitlements on 2023 averages, reclassification and automatic step-increases for staff and the correction coefficient for Hungary at the end of 2022.

4.1.5 Correction coefficient used

The correction coefficient for Hungary at the end of 2022 was 69,6 %. Flexibility is foreseen for the exchange rate fluctuations of Hungarian currency that may affect both the correction coefficient and the actual salary payments.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 is covering costs related to staff. The increase on top of the general 2% is related to the requested 6 additional posts for the year 2024 as explained in 4.1.1.

4.2.2 Title 2

The 2022 budget request for Infrastructure and Operating expenditure amounts to EUR 563 000.

Main expenditure items are IT investments and maintenance, the rental of liaison office in Brussels, parking place, office equipment's and current administrative expenses.

The CEPOL Headquarters are offered free of charge by the Host Country. However, the headquarters agreement is ending in Q3 2024, negotiations are ongoing for renewal. The staff request for the management of the new building is explained in 4.1.1.

The agency assumes the following potential arrangements for the new Headquarters agreement:

Scenario 1: The new CEPOL Headquarters will be offered free of charge by the Host Country at the same condition or different conditions as the current one.

Scenario 2: CEPOL might be charged for the re-construction costs of the new Headquarters offered by the host country.

Scenario 3: The Host Country covers the rental cost of the new Headquarters.

Scenario 4: The new Headquarters will not be offered by the Host Country.

The result of the negotiations is uncertain. However, the last meeting with representatives of the Hungarian Ministry of Interior shows the Host Country's preference for Scenario 3.

The rough estimate* for the rental cost of a suitable Headquarter between 5500-6500 m² is around 2.5 M EUR/year + fitting out costs in 2024.

| Office place | EUR/month | EUR/year |
|-------------------|-----------|-----------|
| Rental+ utilities | 1210 000 | 2 500 000 |
| Cleaning | 10 000 | 120 000 |

In case the agreement is not signed CEPOL might bear these costs.

* based on internet search of currently available office buildings with floor space around 6000m².

4.2.3 Title 3

The CEPOL legal mandate tasks CEPOL with a coordination and implementation role in EU law enforcement training and requests it to fully align its activities to the relevant political priorities articulated at Union level. Training is identified as a key supporting action for European Security. The work programme 2024 will continue to support all key priority areas deriving from the EU Security Union Strategy and other top strategic policy documents, in line with the principles of the Law Enforcement Training Scheme and the new CEPOL Strategy Adopted by the Management board. <https://www.cepola.europa.eu/documents/annex-management-board-decision-152022mb>.

Apart from addressing the reduction of training portfolio as set by the new Strategy, the operational activities performed by CEPOL are driven by the following needs:

- Need to address a significant training demand in the area of cybercrime, artificial intelligence, big data, electronic evidence and cybersecurity.

- The volume and scope of training on serious organised crime shall be expanded, with a particular focus on activities dedicated to drivers of EMPACT and overall coordination of the Common Horizontal Strategic Goal 6 (Capacity building through training, networking and innovation)
- The volume and scope of training on counter-terrorism shall be expanded in line with the Counter-Terrorism Agenda for the EU.
- Training on interoperability, law enforcement information exchange and specialist law enforcement techniques, with the increase of the use of SIS, other large-scale IT systems, travel intelligence, Prüm, operational agencies - tools designed to support cross border cooperation calls for significant increase in raising knowledge on these tools and supporting specialist networks, and on the EU Police Cooperation Code.
- The legal mandate of CEPOL envisages training provision for law enforcement officials other than police as well. The expansion of target group - that can be estimated at 0.5 million officials in the EU – needs further enlargement of the portfolio (e.g. for customs law enforcement)
- In line with the resource forecast for CEPOL, the Agency is aiming to the next years to operate in a “hybrid business model” that will be based on three pillars: (i) Activities implemented by CEPOL; (ii) Specific Areas to be covered by selected CKCs; and (iii) Activities to be implemented by Framework Partner via Grants.
- Training needs assessment and training coordination at EU level, more specifically, in 2024 the agency will carry out the evaluation of the EU-STNA cycle 2022-2025 and initiative the methodology update.
- Development of EU training standards, Certification of training and trainers, more precisely the Agency aims to pilot some Diploma courses accredited by the Universities on Fundamental Rights and Digital Skills.
- CEPOL will deliver a Digital Competency certification programme for law enforcement trainers working with online tools
- Research in the area of Law Enforcement education, towards this end, CEPOL aims to map the ongoing research projects in the area of law enforcement.
- Higher Education, CEPOL aims at piloting complementary training modules in micro-credential system to fit the Higher Education standards and demand of the MS in the area of law enforcement capacity building
- Moreover, CEPOL will promote accreditation of law enforcement vocational training and work on a feasibility study to become an accrediting body; and on the rollout of the ESQF for law enforcement
- CEPOL aims to be the HUB for law enforcement training, to fulfil this strategic goal, the Agency will initiate mapping of law enforcement stakeholders, their training facilities and capacity
- CEPOL will pilot new, innovative training activities addressing the core capability gaps of law enforcement officials and to foster a common law enforcement culture through training
- External aspects of Internal security, particularly capacity building in ENP countries.
- Development of training environment, e.g. technologies, methodologies: enforcing the technological solutions for education and learning particularly in the area of interactive online learning solutions through the electronic Learning management System, LEEd.

It is important to emphasise that CEPOL must be provided with adequate financial and human resources for the implementation of its mandated tasks as well as addressing the ever growing demand from the law enforcement community to provide more and international training and capacity building activities in line with the growing number of political initiatives addressing EU Security ecosystem.

4.3 Ad hoc grants and delegation agreements

CEPOL has been engaged in dialogues with respective Commission Services concerning the implementation of new projects in the European Southern and Eastern Neighbourhood Policy areas, and the Western Balkans, financed by Delegation Agreements.

As a result of this, CEPOL signed the following 4 contribution agreements starting in 2020:

- CT INFLOW: Enhancing Information Exchange and Criminal Justice Response to Terrorism in the Middle East and North Africa project with a budget of EUR 7 500 000, duration of 48 months and starting date of 1 April 2020. The project is financed via EU Service for Foreign Policy Instrument (FPI). Due to the termination of an outsourcing contract for Component 3, the project decided to implement the Component by own resources, which required extra staff (1 CA and 1 SNE).
- EUROMED Police project with a budget of EUR 7 000 000, duration of 48 months, and starting date of 1 April 2020. The project is funded by European Union under the European Neighbourhood Instrument (ENI).

- TOPCOP: Training and Operational Partnership against Organised Crime project with a budget of EUR 6 000 000, duration of 48 months and starting date of 1 July 2020. The project is funded by European Neighbourhood Instrument, managed by DG NEAR.
- WB PaCT: Western Balkans Project against Crime and Terrorism project, with a budget of EUR 3,000,000, duration of 36 months and starting date 19 October 2020. The project is funded by the Instrument of Pre-Accession II managed by DG NEAR.

Human resource planning for the projects, not part of the Agencies' Staff Establishment plan and financed from externally assigned revenue dedicated for the implementation of the corresponding projects:

| Human Resources | 2021 | 2022 | 2023 | 2024 |
|-----------------------------------|---------------|---------------|---------------|---------------|
| | Envisaged FTE | Envisaged FTE | Envisaged FTE | Envisaged FTE |
| CT INFLOW (IFS/2019/410-531) | 13 | 13 | 15 | 6 |
| Contract Agents (CA) | 12 | 12 | 13 | 5.5 |
| Seconded National Experts (SNE) | 1 | 1 | 2 | 0.5 |
| EUROMED Police (ENI/2020/414-940) | 13 | 13 | 13 | 6.5 |
| Contract Agents (CA) | 13 | 13 | 13 | 6.5 |
| Seconded National Experts (SNE) | 0 | 0 | 0 | 0 |
| TOPCOP (ENI/2020/415-941) | 10 | 9 | 9 | 7.5 |
| Contract Agents (CA) | 10 | 9 | 9 | 10 |
| Seconded National Experts (SNE) | 0 | 0 | 0 | 0 |
| WB PaCT (2019/413-822) | 6 | 5 | 5 | 0 |
| Contract Agents (CA) | 6 | 5 | 5 | 0 |
| Seconded National Experts (SNE) | 0 | 0 | 0 | 0 |
| TOTAL | 41 | 40.0 | 42 | 20 |

Budget overview of the project:

| EXTERNALLY FINANCED PROJECT ACTIVITIES | | | |
|--|---------------------------|-------------------------|-------------------------|
| EXPENDITURE | Commitment appropriations | | |
| | Implemented 2022 (EUR) | Envisaged 2023 (EUR) | Envisaged 2024 (EUR) |
| 52_1 Western Balkans Project against Crime and Terrorism project (WB PaCT) | 1 552 989 | 1 583 024 | 221 871 |

| | | | |
|--|-----------|-----------|-----------|
| 53 Enhancing Information Exchange and Criminal Justice Responses to Terrorism in the Middle East and North Africa project (CT INFLOW) | 2 503 382 | 2 592 000 | 1 038 157 |
| 54 Enhancing operational capacities of the South Partner Countries to fight serious and organised crime and strengthening strategic cooperation project (EUROMED Police) | 2 039 179 | 2 072 000 | 1 138 777 |
| 55 Training and Operational Partnership against Organised Crime project (TOPCOP) | 1 905 127 | 1 934 278 | 979 713 |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--------------------------|--|----------------------------------|--------------|--------------|-----------------|--|---|--|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | CEPOL Headquarters | 1066 Budapest, Ó utca 27, Hungary | 978 | 1 145 | 2 123 | | 10 years free of charge as from 1 September 2014. Negotiation for the renewal/change is ongoing. | Free of charge | Host country provides: accommodation, utility, maintenance, security and reception services. | |
| 2 | Project Office | 1062, Budapest, Aradi street 8-10 | 1 036 | 54 | 1 090 | 252 460 | Until 31 May 2024 | Rental paid by externally financed projects | | |
| 3 | CEPOL Cybercrime Academy | 1126 Budapest, Böszörményi út 27 | 80 | | 80 | | | Free of charge | Offered by host country | |
| 4 | CEPOL Liaison Office | 1040 Brussels, Avenue de la Joyeuse Entrée 17-20 | 48 | | 48 | 12 497 | Until 31 January 2022. Extension until 31 October 2023 was under preparation at the end of 2022 | Commercially rented office | | |
| TOTAL | | | 2 142 | 1 199 | 3 341 | 264 957 | | | | |

5.1.2 Current building(s) Other comments

The HQA expires on 1 October 2024. Negotiations for a new agreement have been initiated. As CEPOL requirements for a building are significantly higher than 10 years ago, it is uncertain if the Hungarian authorities will continue to provide the same level of support as currently provided (Security, Reception, Maintenance, Cleaning as well as payment of utilities).

5.1.3 Building projects in the planning phase

CEPOL's staff has reached the maximum number originally foreseen for the building. The current building has been designed for 53 staff members, current staffing levels (including staff of 4 capacity building projects that are actively implemented as well as interims) are over 115. CEPOL is already renting additional office spaces outside Headquarters for the Capacity Building Projects, using the budget reserved for those projects. Our flagship Cybercrime Academy (CCA) is hosted at the premises of the International Training Centre of the Hungarian Ministry of Interior. In 2018 CEPOL has made a request to the Hungarian authorities to explore the possibilities for a new building for CEPOL that would be sizable enough for current staff levels (and a small reserve), for more training rooms for residential (in-person) training and the necessary extension of the CCA. The Hungarian authorities are positively considering this request, but at this moment the Agency has not received any proposal by the Authorities.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

There are no European School or European sections in a national school in Budapest. This situation is not foreseen to change in the coming years. Therefore CEPOL's Management Board decided that CEPOL shall pay the school fees (registration and attendance fees, school transportation costs). As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations.

5.3 Evaluation

The way CEPOL operates is evaluated every five years by an independent external evaluator for its utility, relevance, effectiveness and efficiency and its working practices. The main aim of the evaluation is primarily the improvement of the quality of training.

Since the new CEPOL regulation (2015/2219)⁵³ came into force, the first five year evaluation and review was foreseen as per Article 32 of CEPOL regulation in 2021. In April 2022, [The European Commission has published the report](#) positively evaluating the work of the European Union Agency for Law Enforcement Training (CEPOL), with the following conclusion:

'The evaluation recognised the overall positive contribution of the Agency to the policy needs, objectives and values of the Security Union and their valuable activity in third countries. In all five criteria evaluated, CEPOL was globally found to score well, being effective in carrying out relevant activities contributing to a European law enforcement culture, providing added value compared to the national level, utilising resources efficiently and in a coherent manner within the EU security architecture.'

While acknowledging CEPOL's contribution to enhancing security through training of and building a common culture among law enforcement actors, the evaluation identified some issues deserving attention. Those concern the internal functioning of the Agency, its corporate image and culture, and the relationship with the Management Board. Based on these, the evaluation issued the recommendations [...] which do not require changes affecting either the budgetary resources or the legal framework, and are therefore relatively easy to implement.'

As envisaged in Article 32 of the CEPOL Regulation, the next five-year evaluation will assess how these recommendations have been addressed. Looking at the medium to long term, key questions will have to be raised, as to the very future of training of EU law enforcement and the role of the Agency, in a rapidly evolving security environment and in a digital age. Notably, consideration should be given to how the Agency should best play its role given its mandate and resources.'

Details concerning the planned implementation of the Commission's recommendations are included in programming document Annex IX Evaluations.

The main areas of improvement concern increasing the outreach and effectiveness of CEPOL training programmes by:

⁵³ [Regulation \(EU\) 2015/2219](#) of the EP and of the Council on the European Union Agency for Law Enforcement Training (CEPOL)

- expanding the train-the-trainer programme
- supporting CNUs to set-up national cascading system
- further invest in cybercrime training offer to cover for relevant topics to be better addressed at the European level

strengthening the coordination capacity for CEPOL to become “the EU hub for law enforcement training”.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|---|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| CEPOL can request the reimbursement of incurred VAT in line with the HQ agreement signed and the applicable Hungarian regulations | <p>CEPOL staff, with the exception of Hungarian nationals are issued a special identity card similar to those issued for members of diplomatic corps of the Member States of the EU in Hungary. CEPOL Staff is entitled to enjoy the privileges and immunities, exemptions and facilities granted by Hungary to members of the diplomatic corps of the Member States of the European Union in Hungary. The Protocol of privileges and immunities applies to the Director of CEPOL and the staff of its Secretariat, with the exception of staff seconded from the Member States and Hungarian nationals. Staff is entitled to reimbursement of VAT in accordance with the relevant rules foreseen for resident officials of international organizations in Hungary, up to 300.000 HUF of value of VAT/year during the first 2 years of employment in Hungary. Staff – with the exception of Hungarian nationals – are entitled to import from their last country of residence or from the country of which they are nationals, free of duty and without prohibitions or restrictions, within 12 months from the date of establishment of normal place of residence in the customs territory of the European Union, furniture and personal effect, including motor vehicles, that shall be registered under diplomatic plates.</p> | <p>There is no European School in Budapest or at a reasonable distance from Budapest. Also, there is no European section in a national school. On the basis of current information, this situation is not foreseen to change in the coming years.</p> <p>CEPOL Governing Board decided that CEPOL shall pay the school fees. As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations. The costs covered by CEPOL shall be:</p> <ul style="list-style-type: none"> - the registration and attendance fees - the school transportation costs. <p>All other costs are excluded, in conformity with Commission Decision C (2004)131-53-2004 on general implementing provisions for the grant of the education allowance.</p> |

2.5.3 European Monitoring Centre for Drugs and Drug Addiction – EMCDDA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|--|
| Council Regulation (EEC) 302/93 | 08/02/1993 | This regulation establishing the EMCDDA, was repealed by Regulation (EC) No 1920/2006. |
| Recast Regulation (EC) 1920/2006 on the European Monitoring Centre for Drugs and Drug Addiction (recast) | 12/12/2006 | <p>The EMCDDA's objective is to provide the EU and its Member States with factual, objective, reliable and comparable information at European level on drugs, drug addiction and their consequences. To achieve its objective, the Centre shall perform the following tasks: a) collection and analysis of existing data; b) improvement of data-comparison methods; c) dissemination of data; d) cooperation with European and international bodies and organisations and with third countries; e) identification of new developments and changing trends.</p> <p>The recast Regulation stipulates that the Centre shall focus on the following priority areas: monitoring the state of the drugs problem and emerging trends; monitoring the solutions applied to drug related problems; assessing the risks of new psychoactive substances and maintaining a rapid information system; and developing tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies.</p> <p>The EMCDDA's tasks were extended through the recast Regulation. The collection, registration and analysis work must also cover data on emerging trends in poly drug use, including the combined use of licit and illicit psychoactive substances, but also information on best practices in the Member States and the exchange of such practices among them. The EMCDDA was also required to develop tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies and strategies. The scope of the Centre's technical assistance was extended to certain non-Community countries such as the candidates for EU accession or the countries of the Western Balkans. Lastly, the recast Regulation introduced several organisational changes, such as the reference to the Vice-Chairperson of the Management Board and the Executive Committee, a new composition and selection procedure for the Scientific Committee.</p> <p>Furthermore, a new piece of legislation (Regulation (EU) 2017/2101 of the European Parliament and of the Council of 15 November 2017 amending Regulation EC No 1920/2006) was adopted on 24 October 2017, replacing Council Decision 2005/387/JHA as of 23 November 2018. The 2005 legal instrument set out well-defined and tight deadlines for all the tasks covered therein; the deadlines imposed by the new regulation are even stricter and the times allowed have been reduced by more than half, i.e. to two weeks for collecting data from the Reitox national focal points, to five weeks for drafting the initial report and to six weeks for preparing a requested risk assessment.</p> <p>The new regulation foresees the inclusion of a few other concrete new tasks, additional information and new working procedures in the operation of the EWS and risk assessments.</p> <p>On 12/01/2022 the European Commission presented a legislative proposal to strengthen the mandate of the EMCDDA (proposal COM (2022) 18 for a regulation of the European Parliament and of the Council on the European Union Drugs Agency (EUDA). This proposal aims at revising the founding regulation of the agency to ensure that the latter can play a more important role in identifying and addressing current and future challenges related to illicit drugs in the EU. As proposed, this will entail a deepening of the current EMCDDA's mandate, new tasks and an increase in the resources allocated for the required implementation as from 2024. The relevant EU legislative procedure is on-going and it is expected to be concluded in mid 2023.</p> |

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 12 January 2022, on the European Union Drugs Agency (COM(2022) 18 final).

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

12 10 03 : European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 51 | 49 | 96,08% | 51 | 61 |
| Assistants (AST) | 25 | 25 | 100,00% | 25 | 28 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 76 | 74 | 97,37% | 76 | 89 |
| Contract Agents (CA) | 34 | 27 | 79,41% | 34 | 34 |
| Seconded National Experts (SNE) | 1 | 1 | 100,00% | 1 | 1 |
| TOTAL STAFF | 111 | 102 | 91,89% | 111 | 124 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 17 641 938 | 32 131 775 |
| Other Revenue | 830 867 | 1 521 334 |
| TOTAL REVENUES | 18 472 805 | 33 653 109 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 2 772 514 | 931 843 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 2 772 514 | 931 843 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 13 585 256 | 13 585 256 | 15 309 339 | 15 309 339 |
| Title 2 - Infrastructure and operating expenditure | 2 104 388 | 2 104 388 | 3 180 706 | 3 180 706 |
| Title 3 - Operational expenditure | 5 555 675 | 5 555 675 | 16 094 907 | 16 094 907 |
| TOTAL EXPENDITURE | 21 245 319 | 21 245 319 | 34 584 952 | 34 584 952 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AD 13 | 1 | 3 | 1 | 3 | 1 | 3 | 1 | 3 | 1 | 3 |
| AD 12 | 3 | 8 | 1 | 6 | 3 | 8 | 3 | 7 | 3 | 7 |
| AD 11 | 1 | 9 | | 6 | 1 | 9 | 1 | 9 | 1 | 9 |
| AD 10 | | 10 | 2 | 9 | | 10 | | 10 | | 10 |
| AD 9 | | 8 | | 7 | | 8 | | 10 | | 10 |
| AD 8 | | 5 | | 5 | | 5 | | 5 | | 5 |
| AD 7 | | 1 | | 3 | | 1 | | 1 | | 1 |
| AD 6 | | | | 4 | | | | 8 | | 8 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | 5 | 46 | 4 | 45 | 5 | 46 | 5 | 56 | 5 | 56 |
| AST 11 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 10 | | 2 | | | | 2 | | 2 | | 2 |
| AST 9 | 1 | 6 | | 5 | 1 | 6 | 1 | 6 | 1 | 6 |
| AST 8 | 1 | 5 | | 3 | 1 | 5 | 1 | 5 | 1 | 5 |
| AST 7 | | 6 | | 4 | | 6 | | 6 | | 6 |
| AST 6 | | 3 | 1 | 6 | | 3 | | 3 | | 3 |
| AST 5 | | | | 1 | | | | | | |
| AST 4 | | | 1 | 1 | | | | | | |
| AST 3 | | | | | | | | 3 | | 3 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 2 | | | | 2 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 2 | 23 | 2 | 23 | 2 | 23 | 2 | 26 | 2 | 26 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 7 | 69 | 6 | 68 | 7 | 69 | 7 | 82 | 7 | 82 |
| GRAND TOTAL | 76 | | 74 | | 76 | | 89 | | 89 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 8 | 3 | 8 | 8 |
| Function Group III | 9 | 12 | 10 | 10 |
| Function Group II | 14 | 11 | 14 | 14 |
| Function Group I | 3 | 1 | 2 | 2 |
| TOTAL | 34 | 27 | 34 | 34 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | 1 | 1 | 1 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 2 EU CONTRIBUTION | 17 646 659 | 17 641 938 | 32 131 775 | 32 131 775 | 82,13% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 108 036 | 113 656 | 58 239 | 58 239 | -48,76% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 831 947 | 830 867 | 1 521 334 | 1 521 334 | 83,10% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 515 987 | 514 991 | 946 021 | 946 021 | 83,70% |
| <i>- Of which candidate countries</i> | 315 960 | 315 876 | 575 313 | 575 313 | 82,13% |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 81 | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | 81 | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 18 478 687 | 18 472 805 | 33 653 109 | 33 653 109 | 82,18% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 360 000 | 2 772 514 | 931 843 | 931 843 | -66,39% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 360 000 | 2 772 514 | 931 843 | 931 843 | -66,39% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 12 482 265 | 13 585 256 | 15 309 339 | 15 309 339 | 12,69% |
| Salaries & allowances | 12 413 870 | 13 525 256 | 15 134 339 | 15 134 339 | 11,90% |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which establishment plan posts</i> | 10 588 481 | 11 560 285 | 13 036 720 | 13 036 720 | 12,77% |
| <i>- Of which external personnel</i> | 1 825 389 | 1 964 971 | 2 097 619 | 2 097 619 | 6,75% |
| Expenditure relating to Staff recruitment | 19 625 | 10 000 | 75 000 | 75 000 | 650,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | 48 770 | 50 000 | 100 000 | 100 000 | 100,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 379 348 | 2 104 388 | 3 180 706 | 3 180 706 | 51,15% |
| Rental of buildings and associated costs | 1 379 850 | 1 413 228 | 1 892 256 | 1 892 256 | 33,90% |
| Information, communication technology and data processing | 773 536 | 518 500 | 968 500 | 968 500 | 86,79% |
| Movable property and associated costs | 105 541 | 59 000 | 161 240 | 161 240 | 173,29% |
| Current administrative expenditure | 36 012 | 32 660 | 46 000 | 46 000 | 40,85% |
| Postage / Telecommunications | 68 026 | 66 000 | 86 210 | 86 210 | 30,62% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 16 383 | 15 000 | 26 500 | 26 500 | 76,67% |
| Title 3 - Operational expenditure | 5 530 025 | 5 555 675 | 16 094 907 | 16 094 907 | 189,70% |
| Information and Publishing | 445 228 | 376 250 | 3 287 779 | 3 287 779 | 773,83% |
| Studies | 792 209 | 339 364 | 7 028 294 | 7 028 294 | 1971,02% |

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| REITOX | 1 593 077 | 1 620 000 | 2 700 000 | 2 700 000 | 66,67% |
| Mission expenses | 140 337 | 119 192 | 370 354 | 370 354 | 210,72% |
| Meeting expenses | 420 584 | 325 855 | 1 773 137 | 1 773 137 | 444,15% |
| Receptions and events | 1 061 | 2 500 | 3 500 | 3 500 | 40,00% |
| Expenditure IPA and ENP1 projects | 2 137 529 | 2 772 514 | 931 843 | 931 843 | -66,39% |
| Expenditure related to IPA projects | 387 496 | 1 500 000 | | | -100% |
| Expenditure related to ENP1 projects | 1 750 033 | 1 272 514 | 931 843 | 931 843 | -26,77% |
| TOTAL | 20 391 638 | 21 245 319 | 34 584 952 | 34 584 952 | 62,79% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 12 454 556 | 13 585 256 | 15 309 339 | 15 309 339 | 12,69% |
| Salaries & allowances | 12 413 870 | 13 525 256 | 15 134 339 | 15 134 339 | 11,90% |
| - Of which establishment plan posts | 10 588 481 | 11 560 285 | 13 036 720 | 13 036 720 | 12,77% |
| - Of which external personnel | 1 825 389 | 1 964 971 | 2 097 619 | 2 097 619 | 6,75% |
| Expenditure relating to Staff recruitment | 3 652 | 10 000 | 75 000 | 75 000 | 650,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | 37 034 | 50 000 | 100 000 | 100 000 | 100,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | 1 938 530 | 2 104 388 | 3 180 706 | 3 180 706 | 51,15% |
| Rental of buildings and associated costs | 1 290 363 | 1 413 228 | 1 892 256 | 1 892 256 | 33,90% |
| Information, communication technology and data processing | 513 890 | 518 500 | 968 500 | 968 500 | 86,79% |
| Movable property and associated costs | 39 116 | 59 000 | 161 240 | 161 240 | 173,29% |
| Current administrative expenditure | 34 822 | 32 660 | 46 000 | 46 000 | 40,85% |
| Postage / Telecommunications | 47 155 | 66 000 | 86 210 | 86 210 | 30,62% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 13 184 | 15 000 | 26 500 | 26 500 | 76,67% |
| Title 3 - Operational expenditure | 4 970 031 | 5 555 675 | 16 094 907 | 16 094 907 | 189,70% |
| Information and Publishing | 396 517 | 376 250 | 3 287 779 | 3 287 779 | 773,83% |
| Studies | 806 632 | 339 364 | 7 028 294 | 7 028 294 | 1971,02% |
| REITOX | 1 711 910 | 1 620 000 | 2 700 000 | 2 700 000 | 66,67% |
| Mission expenses | 127 719 | 119 192 | 370 354 | 370 354 | 210,72% |
| Meeting expenses | 422 284 | 325 855 | 1 773 137 | 1 773 137 | 444,15% |
| Receptions and events | 1 061 | 2 500 | 3 500 | 3 500 | 40,00% |
| Expenditure IPA and ENP1 projects | 1 503 908 | 2 772 514 | 931 843 | 931 843 | -66,39% |
| Expenditure related to IPA projects | 281 137 | 1 500 000 | | | -100% |
| Expenditure related to ENP1 projects | 1 222 771 | 1 272 514 | 931 843 | 931 843 | -26,77% |
| TOTAL | 19 363 117 | 21 245 319 | 34 584 952 | 34 584 952 | 62,79% |

3.3 Budget Outturn

The 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 58 239.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports EMCDDA's request for 13 additional temporary agents, in line with the Legal Financial Statement (LFS), accompanying the Commission proposal for the Regulation on the European Union Drugs Agency, leading to a total of 89 establishment plan posts (61 AD and 28 AST). The number of the contract agents is set in line with the LFS.

Financial Resources

The programmed EU contribution for 2024 is EUR 32 131 775, which includes the amount of EUR 14 137 000 stemming from the LFS, accompanying the Commission proposal for the Regulation on the European Union Drugs Agency. The Regulation is expected to be adopted in 2023 and entry into force will likely take place in the course of 2024.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

As foreseen in the proposed EU Regulation for the deepening of the EMCDDA mandate (COM (2022) 18), 13 additional authorised posts for temporary agents (TA) will be required for 2024, on top of the 76 posts authorised for 2023, in order to ensure that the EMCDDA is able to effectively cope with the implementation of its expected new mandate.

4.1.2 Vacancy rate as of end 2022

2,63%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

5% estimated 2023 annual adjustment of EU Staff remuneration in Portugal (salary increase plus increase of correction factor).

4.1.5 Correction coefficient used

95,5%

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The amount of the EU 2024 subsidy requested by the EMCDDA aims at providing for the resources required to ensure the proper functioning of the EMCDDA and the effective and full implementation of its 2024 work programme, as set out in its 2024-26 Single Programming Document (SPD). This include the additional human and budget resources likely to be made available for 2024 for the implementation of the expected deepening of the EMCDDA, mandate, pursuant to the EU legislation proposed for this purpose (proposal COM (2022) 18 for a regulation of the European Parliament and of the Council on the European Union Drugs Agency) and subject to the expected adoption of this legislation in 2023.

In this context, and in particular, the budget resources requested for 2024 should enable the EMCDDA:

- Cover the remuneration costs for all staff in post and the estimated supplementary expenditure needed to meet the automatic and compulsory adjustments required in 2024, in accordance with the applicable Staff Regulations.
- Fully deploy the allocated human resources.

4.2.2 Title 2

Title 2 will cover the running costs for the renting and functioning of the EMCDDA premises and infrastructures, as well as for the essential administrative and IT support services and supplies required for this functioning, pursuant to the conditions resulting from the applicable legislation and contracts in force. This includes the expenditure needed to meet the infrastructure-related needs entailed by the expected deepening of the EMCDDA mandate as from 2024.

4.2.3 Title 3

Title 3 will

- Ensure the effective execution of planned operational activities and projects aimed at implementing the EMCDDA 2024 work programme in accordance with the relevant level and order of priority. This includes the operations required to start implementing the expected deepening of the EMCDDA mandate as from 2024.
- Reinstate the possible maximum 2024 co-financing to each Reitox national focal points (NFP) to the amount available before the two last reductions of this co-financing (i.e. up to EUR 100 000 per each NFP).

4.3 Ad hoc grants and delegation agreements

Pursuant to grant agreements concluded in previous years, the EMCDDA relies on ad hoc grants from the EU budget for the implementation of specific projects for technical assistance to Third Countries (IPA Pre-accession countries, European Neighbourhood Policy East and South countries and COPOLAD countries).

These grants have been entered into the EMCDDA annual budgets as external assigned appropriations, in accordance with the applicable financial rules. The agency recruited the following additional contract agents based on the concluded grant agreements:

- 4 CAs funded by assigned appropriations for EU4MD2 technical assistance project
- 3 CAs funded by assigned appropriations for IPA8 technical assistance project
- 2 CAs funded by assigned appropriations for COPOLAD technical assistance project

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|------------------|-----------------------------------|------------|--------------|------------------|--|-----------------|---|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Cais do Sodré, Lisbon, office building, rented | Lisbon, Portugal | 5 846 | 674 | 6 520 | 1 107 461 | Rental for 25 years with option to buy | Office building | The Host country supported the installation by providing the office furniture for the headquarters. | |
| TOTAL | | | 5 846 | 674 | 6 520 | 1 107 461 | | | | |

5.1.2 Current building(s) Other comments

N.A.

5.1.3 Building projects in the planning phase

No new building projects have been planned.

5.1.4 Building projects submitted to the European Parliament and the Council

No new building projects have been submitted.

5.2 European Schools

Not applicable to EMCDDA, as there is no European school in Lisbon.

5.3 Evaluation

In line with Article 23 of the EMCDDA founding Regulation recast, the European Commission shall carry out an external evaluation of the agency every six years and forward the evaluation report to the European Parliament, the Council and the Management Board of the EMCDDA. The previous evaluation was completed in mid-2012. The fourth external evaluation of the agency was completed in November 2018. It covered the last two multi-annual work programmes of the EMCDDA, namely 2013-2015 and 2016-2018.

The main findings of the last evaluation were that EMCDDA appropriately addressed the objectives, tasks and priorities set out in its Regulation, as well as the EU Drugs Strategy, its Action Plans and the European Agenda on Security. The EMCDDA is well recognised and highly regarded as a centre for excellence in providing information on the drug phenomenon, not only in Europe but internationally.

The EMCDDA has used the available human and financial resources efficiently to deliver the outputs, outcomes and impacts set out in its work programmes. The evaluation also found a high degree of coherence between the regulatory framework and the objectives and activities set out in the three-year EMCDDA Strategies and annual work programmes. The objectives and activities of the Centre are coherent with the EU Drugs Strategy and the EU Action Plans on Drugs, and complement those of the European Commission and other EU Agencies.

The EMCDDA has an excellent reputation as the main source of comprehensive, scientific and reliable EU-level drug-related information, and is used by national, EU and international stakeholders, especially policy makers, researchers and practitioners.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|---|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Portuguese Government granted the EMCDDA with diplomatic status by means of the conclusion of a seat agreement on 26th June 1996 (Protocol between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon). Through this Agreement, which entered into force in May 1998, the Portuguese Government applies the Protocol on the Privileges and Immunities of the European Communities to the | Protocol on the Privileges and Immunities of the European Communities is applicable to EMCDDA staff. The Protocol concluded between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon, grants the EMCDDA staff the privileges and immunities, exemptions and facilities recognised by the Portuguese State to members of a comparable category of the diplomatic corps in Portugal. As a consequence EMCDDA staff is entitled to purchase | There is no European or accredited school that can be attended free of charge in the area where the EMCDDA has its seat. As per the Memorandum of Understanding signed in 2004 by the Portuguese Government, the EMCDDA and EMSA concerning the common premises of the two agencies in Lisbon, the Portuguese Government committed itself to do its utmost (jointly with EMSA and EMCDDA) to find the best possible solution for providing schooling for the children of EMSA and EMCDDA staff. In |

| Agency privileges | Privileges granted to staff | |
|---|--|--|
| <p>EMCDDA, exempting the agency from payment of all national, regional or municipal rates and taxes as regards the fixed assets it owns or rents, as well as from customs duties and from any other taxes, prohibitions or restrictions on goods of any kind which it imports or exports in the exercise of its official business (VAT, etc.)</p> | <p>furniture and /or household aids VAT free. This exemption does not cover expenditure for food supplies and beverages, property works, including materials, water; gas; electricity, food and beverages services; hotels or similar services, fixed line telephone services. Limited exemption is granted from the payment of the Portuguese tax and VAT on the purchase and registration of vehicles.</p> | <p>this context it agreed to pursue either the establishment of a European School in Lisbon or the signature of partial agreements between the European School Board and the main international schools in the Lisbon area. However, difficulties have been encountered for the implementation of this solution. In December 2019 the Board of Governors of the European Schools gave its approval to the creation of an Accredited European School in Lisbon. The EMCDDA is providing in synergy with EMSA, its cooperation for the successful implementation of this initiative by the national authorities.in charge of this implementation</p> |

2.6 Decentralised agencies of Heading 7 – Administration

2.6.1 Translation Centre for the Bodies of the European Union – CDT

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------|------------|---|
| Council Regulation (EC) No. 1645/2003 | 18/06/2003 | Rational response to the translation needs of a large number of European agencies and offices |
| Council Regulation (EC) No. 2610/95 | 30/10/1995 | The active participation of the Centre in inter institutional cooperation |
| Council Regulation (EC) No. 2965/94 | 28/11/1994 | To meet the translation needs of the other decentralised Community Agencies |

1.2 Seat

Luxembourg

1.3 Budget Line

20 10 01 : Translation Centre for bodies of the European Union

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 138 | 131 | 94,93% | 138 | 138 |
| Assistants (AST) | 53 | 48 | 90,57% | 53 | 53 |
| Assistants/Secretaries (AST/SC) | 2 | 2 | 100,00% | 2 | 2 |
| ESTABLISHMENT PLAN POSTS | 193 | 181 | 93,78% | 193 | 193 |
| Contract Agents (CA) | 28 | 20 | 71,43% | 35 | 35 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 221 | 201 | 90,95% | 228 | 228 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 50 006 100 | 49 660 900 |

| | | |
|-----------------------|-------------------|-------------------|
| TOTAL REVENUES | 50 006 100 | 49 660 900 |
|-----------------------|-------------------|-------------------|

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 31 000 100 | 31 000 100 | 32 041 300 | 32 041 300 |
| Title 2 - Infrastructure and operating expenditure | 8 798 400 | 8 798 400 | 8 448 600 | 8 448 600 |
| Title 3 - Operational expenditure | 10 207 600 | 10 207 600 | 9 171 000 | 9 171 000 |
| Title 10 - Reserves | | | | |
| TOTAL EXPENDITURE | 50 006 100 | 50 006 100 | 49 660 900 | 49 660 900 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | 1 | 1 | | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| AD 13 | 2 | | 1 | | 3 | | 3 | | 3 | |
| AD 12 | 13 | 6 | 9 | 3 | 13 | 7 | 11 | 7 | 11 | 7 |
| AD 11 | 7 | 6 | 2 | 5 | 7 | 6 | 5 | 5 | 5 | 5 |
| AD 10 | 8 | 6 | 3 | 7 | 8 | 7 | 8 | 8 | 8 | 8 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | 7 | 18 | 7 | 12 | 7 | 21 | 7 | 22 | 7 | 22 |
| AD 8 | 6 | 23 | 10 | 15 | 6 | 24 | 9 | 20 | 9 | 20 |
| AD 7 | 2 | 20 | 5 | 19 | 1 | 18 | 1 | 15 | 1 | 15 |
| AD 6 | | 12 | 4 | 10 | | 8 | 1 | 10 | 1 | 10 |
| AD 5 | | | | 18 | | | | 4 | | 4 |
| AD TOTAL | 46 | 92 | 41 | 90 | 46 | 92 | 46 | 92 | 46 | 92 |
| AST 11 | | | | | | | | | | |
| AST 10 | 1 | | 1 | | 1 | | 1 | | 1 | |
| AST 9 | 2 | 2 | 2 | 1 | 3 | 2 | 3 | 2 | 3 | 2 |
| AST 8 | 1 | 1 | 1 | 1 | | 1 | | 2 | | 2 |
| AST 7 | 1 | 5 | 1 | 4 | 1 | 7 | 1 | 8 | 1 | 8 |
| AST 6 | | 9 | | 11 | | 10 | | 11 | | 11 |
| AST 5 | | 17 | | 12 | | 17 | | 15 | | 15 |
| AST 4 | | 10 | | 8 | | 10 | | 8 | | 8 |
| AST 3 | | 4 | | 5 | | 1 | | 2 | | 2 |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 5 | 48 | 5 | 43 | 5 | 48 | 5 | 48 | 5 | 48 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AST/SC 2 | | 1 | | 1 | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 2 | | 2 | | 2 | | 2 | | 2 |
| TOTAL | 51 | 142 | 46 | 135 | 51 | 142 | 51 | 142 | 51 | 142 |
| GRAND TOTAL | 193 | | 181 | | 193 | | 193 | | 193 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 14 | 9 | 20 | 20 |
| Function Group III | 14 | 11 | 15 | 15 |
| Function Group II | | | | |
| Function Group I | | | | |

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|-----------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 28 | 20 | 35 | 35 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 42 991 937 | 44 975 700 | 44 925 900 | 44 925 900 | -0,11% |
| 2 EU CONTRIBUTION | | | | | |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 660 092 | 697 800 | 804 300 | 804 300 | 15,26% |
| 5 ADMINISTRATIVE OPERATIONS | 310 484 | 316 000 | 647 200 | 647 200 | 104,81% |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | 4 016 600 | 3 283 500 | 3 283 500 | -18,25% |
| TOTAL | 43 962 513 | 50 006 100 | 49 660 900 | 49 660 900 | -0,69% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 27 616 617 | 31 000 100 | 32 041 300 | 32 041 300 | 3,36% |
| Salaries & allowances | 22 945 739 | 25 831 000 | 26 703 800 | 26 703 800 | 3,38% |
| - Of which establishment plan posts | 21 298 458 | 23 138 400 | 23 869 400 | 23 869 400 | 3,16% |
| - Of which external personnel | 1 647 281 | 2 692 600 | 2 834 400 | 2 834 400 | 5,27% |
| Expenditure relating to Staff recruitment | 202 313 | 265 200 | 278 800 | 278 800 | 5,13% |
| Employer's pension contributions | 3 572 329 | 3 852 200 | 3 978 800 | 3 978 800 | 3,29% |
| Mission expenses | 38 451 | 80 400 | 80 200 | 80 200 | -0,25% |
| Socio-medical infrastructure | 693 628 | 740 500 | 764 700 | 764 700 | 3,27% |
| Training | 101 557 | 180 000 | 183 400 | 183 400 | 1,89% |
| External Services | | | | | |
| Receptions, events and representation | | 2 500 | 2 500 | 2 500 | 0,00% |
| Social welfare | 62 600 | 48 300 | 49 100 | 49 100 | 1,66% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 7 002 178 | 8 798 400 | 8 448 600 | 8 448 600 | -3,98% |
| Rental of buildings and associated costs | 2 512 059 | 2 885 300 | 2 992 400 | 2 992 400 | 3,71% |
| Information, communication technology and data processing | 4 125 476 | 5 235 500 | 4 846 100 | 4 846 100 | -7,44% |
| Movable property and associated costs | 35 732 | 59 700 | 57 600 | 57 600 | -3,52% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 179 525 | 232 300 | 224 500 | 224 500 | -3,36% |
| Postage / Telecommunications | 119 938 | 180 100 | 162 500 | 162 500 | -9,77% |
| Meeting expenses | | 22 000 | 22 000 | 22 000 | 0,00% |
| Running costs in connection with operational activities | 27 575 | 57 500 | 57 500 | 57 500 | 0,00% |
| Information and publishing | 1 873 | 26 000 | 36 000 | 36 000 | 38,46% |
| Studies | | 100 000 | 50 000 | 50 000 | -50,00% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 9 779 343 | 10 207 600 | 9 171 000 | 9 171 000 | -10,16% |
| External translation services | 9 059 515 | 9 450 000 | 8 325 000 | 8 325 000 | -11,90% |
| Expenditure relating to interinstitutional cooperation | 719 828 | 757 600 | 846 000 | 846 000 | 11,67% |
| Expenditure linked to the e-Cdt programme | | | | | |
| Title 10 - Reserves | | | | | |
| TOTAL | 44 398 138 | 50 006 100 | 49 660 900 | 49 660 900 | -0,69% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 27 616 617 | 31 000 100 | 32 041 300 | 32 041 300 | 3,36% |
| Salaries & allowances | 22 945 739 | 25 831 000 | 26 703 800 | 26 703 800 | 3,38% |
| - Of which establishment plan posts | 21 298 458 | 23 138 400 | 23 869 400 | 23 869 400 | 3,16% |
| - Of which external personnel | 1 647 281 | 2 692 600 | 2 834 400 | 2 834 400 | 5,27% |
| Expenditure relating to Staff recruitment | 202 313 | 265 200 | 278 800 | 278 800 | 5,13% |
| Employer's pension contributions | 3 572 329 | 3 852 200 | 3 978 800 | 3 978 800 | 3,29% |
| Mission expenses | 38 451 | 80 400 | 80 200 | 80 200 | -0,25% |
| Socio-medical infrastructure | 693 628 | 740 500 | 764 700 | 764 700 | 3,27% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Training | 101 557 | 180 000 | 183 400 | 183 400 | 1,89% |
| External Services | | | | | |
| Receptions, events and representation | | 2 500 | 2 500 | 2 500 | 0,00% |
| Social welfare | 62 600 | 48 300 | 49 100 | 49 100 | 1,66% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 7 002 178 | 8 798 400 | 8 448 600 | 8 448 600 | -3,98% |
| Rental of buildings and associated costs | 2 512 059 | 2 885 300 | 2 992 400 | 2 992 400 | 3,71% |
| Information, communication technology and data processing | 4 125 476 | 5 235 500 | 4 846 100 | 4 846 100 | -7,44% |
| Movable property and associated costs | 35 732 | 59 700 | 57 600 | 57 600 | -3,52% |
| Current administrative expenditure | 179 525 | 232 300 | 224 500 | 224 500 | -3,36% |
| Postage / Telecommunications | 119 938 | 180 100 | 162 500 | 162 500 | -9,77% |
| Meeting expenses | | 22 000 | 22 000 | 22 000 | 0,00% |
| Running costs in connection with operational activities | 27 575 | 57 500 | 57 500 | 57 500 | 0,00% |
| Information and publishing | 1 873 | 26 000 | 36 000 | 36 000 | 38,46% |
| Studies | | 100 000 | 50 000 | 50 000 | -50,00% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 9 779 343 | 10 207 600 | 9 171 000 | 9 171 000 | -10,16% |
| External translation services | 9 059 515 | 9 450 000 | 8 325 000 | 8 325 000 | -11,90% |
| Expenditure relating to interinstitutional cooperation | 719 828 | 757 600 | 846 000 | 846 000 | 11,67% |
| Expenditure linked to the e-Cdt programme | | | | | |
| Title 10 - Reserves | | | | | |
| TOTAL | 44 398 138 | 50 006 100 | 49 660 900 | 49 660 900 | -0,69% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR

4 Justification of needs

Commission assessment

Human Resources

Financial Resources

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

At the end of 2022, the Translation Centre (CdT) employed 181 staff, namely 46 officials and 135 temporary staff. Following a decision by the budgetary authority, the 2022 establishment plan remained at the level of 193 posts. In order to achieve cost efficiency gains, the CdT proactively started optimising its structure from 2009 and progressively decreased its establishment plan posts from 233 posts in 2009 to 193 posts in 2018.

In the period 2024-2026, the Centre's staff resources will be fairly stable. Two of the additional resources are expected to rebalance the allocation of resources following the creation of the Irish language team, which relied on the support of existing resources. Since 2021, it has been necessary to develop Irish language resources, i.e. terminology and corpora for the translation of administrative documents and, specifically, for the translation of EU trade marks for the EUIPO (glossaries, lists of goods and services). This was done in line with Council Regulation (EU, Euratom) 2015/2264 aiming at achieving a full Irish language regime as of 1 January 2022. All EU institutions, including the Centre, need to provide Irish language services at the same level as other official EU languages due to the gradual phasing-out of the Irish language derogation by 2022. In the relevant budgetary period, the Centre initially requested three new posts in function group AD (1 official, 2 temporary staff) to cover the allocation of Irish-language translators. This request was not granted in the context of keeping establishment plan posts stable and two additional contract staff in FGIV were added to the contract staff appropriations from 2023, for the creation of the Irish language team. These contract staff should continue in place for 2024 and onwards.

The forecasts also includes 5 short-term contract staff (4 FG IV and 1 FG III) in order to support the kick-off phase of the new EPPO projects for 2024.

4.1.2 Vacancy rate as of end 2022

The vacancy rate for officials and temporary staff for 2022 stood at 6.2%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The Centre's budget included the possibility for annual salary adjustments.

4.1.5 Correction coefficient used

Not applicable

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for Title 1, ‘Staff’, in 2024 is EUR 32 million, 3.4% higher (EUR 1 million) than for 2023. The Centre’s budget provides for possible annual salary adjustments, as well as biennial step advancements and promotions. The 2024 budget is based on an establishment plan of 193 posts. The budget also includes the Centre’s financial contribution to the European Schools. The full contribution is estimated at around EUR 360 000. The budget also takes into account factors such as the turnover ratio and staff work patterns. The estimates for 2025 and 2026 follow the same assumptions of an establishment plan of 193 posts, and with possible annual salary adjustment, amounting to EUR 32.6 million and EUR 33.3 million, respectively.

4.2.2 Title 2

The budget for Title 2, ‘Buildings, equipment and miscellaneous operating expenditure’, will be EUR 8.5 million in 2024. This is a 4.0% decrease compared with 2023. This decrease is mainly due to efforts made to reduce the costs of IT equipment, IT consultancy and consultations and studies.

The expenditure in Title 2 includes expenditure for the hosting of a data centre for ERA. In conformity with the principle of universality, the revenue received from ERA is recorded as revenue, as opposed to offsetting the expenditure.

For 2025 and 2026, the Centre estimates that expenditure in Title 2 will amount to EUR 8.6 million and EUR 8.7 million, respectively. This reflects IT investments that are required in order to respond to clients’ needs through the implementation of the actions set out in this programming document and includes a significant increase to account for indexations of various goods and services.

4.2.3 Title 3

The budget for Title 3, ‘Operational expenditure’, covers the outsourcing of translation services and technical services relating to language services and interinstitutional cooperation. It amounts to EUR 9.2 million for 2024, which is lower than the 2023 budget and is EUR 0.6 million less (6.2%) than the 2022 outturn. For 2025 and 2026, the Centre estimates that expenditure in Title 3 will amount to EUR 9 million and EUR 9.1 million, respectively.

The budget for external translation services constitutes the main part of the title’s budget, namely 90% in 2024. The actual cost of these services depends on a number of factors, such as the language combinations of clients’ requests, changes in the ranking of suppliers under existing framework contracts, and the prices agreed under future framework contracts, which also depend on economic developments in the Member States. The budget is estimated at EUR 8.3 million for 2024, EUR 8.1 million for 2025 and EUR 8.1 million for 2026. The amounts are in line with the forecast volumes and the Centre’s expectation that the investment in translation technologies will have a positive impact on the expenditure for external translation services. Clearly, real expenditure will heavily depend on the actual demand from the Centre’s clients and the actual impact of translation technologies. The forecast for interinstitutional cooperation in 2024 is EUR 0.8 million, which is 11.7% higher than in 2023, reflecting the plans for the IATE terminology database and the forecasts provided for the other interinstitutional tools. For 2025 and 2026, the forecast expenditure is EUR 0.9 million per annum. To a large extent, these amounts are matched by the revenue the Centre receives for its services within the context of interinstitutional cooperation.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---|----------------------------------|-----------------|----------------|------------------|---|---|---|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Bâtiment TECHNOPOPOLIS | 12E, rue Guillaume Kroll L-1882 Luxembourg Luxembourg | 4 449,37 | 1 155,73 | 5 605,1 | 1 375 858 | Until October 2030 (the end of the rental contract) | Rental contract for office space and parking space Fixed term lease | Subvention from the Luxembourg government of EUR 170 000 up to 2030 | |
| TOTAL | | | 4 449,37 | 1 155,73 | 5 605,1 | 1 375 858 | | | | |

5.1.2 Current building(s) Other comments

Not applicable

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 European Schools

The staff members of the Centre have access to the two European schools in Luxembourg. The Centre agreed on an SLA with DG HR and started paying a financial contribution for the schooling of children in the European schools I and II in Luxembourg on a pro-rata basis as from 2020. From 2022, the full contribution for the staff's children is paid by the Centre.

5.3 Evaluation

The Centre's performance monitoring system is based on the alignment of the business objectives stated in the Centre's Strategy. In accordance with standard operating procedures, the Centre's management tracks the Centre's progress on the implementation of its work programmes and multi-year initiatives by conducting quarterly performance reviews of department dashboards, the mid-term Strategy review, the Centre's scorecard, and the Centre's risk register. The Centre's management also assesses its internal control system on an annual basis. The IT Steering Committee screens, approves and monitors improvement projects on a monthly basis. Budget analyses are reported to the Centre's Director on a monthly basis. In line with its Financial Regulation, the Centre undertakes ex ante and ex post evaluations of programmes and activities that entail significant spending. The Director reports on current developments and all issues of importance to the management board and in particular, in the form of an Annual Activity Report. The latter mirrors the structure of the Centre's work programme and provides all the necessary indicators to facilitate the management board's oversight duties. The Centre is audited on an annual basis by the Court of Auditors and by the Commission's Internal Audit Service.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--|--|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| In July 2014, the Centre signed a headquarters agreement with the host country, the Grand Duchy of Luxembourg. The Centre, its property, funding and assets enjoy immunity in Luxembourg from every form of judicial process. The Centre's | Every 36 months, officials and other servants (temporary and contract staff) may purchase a car with a temporary exemption of VAT in the Grand Duchy of Luxembourg or in another EU Member State. The staff member must have a contract with the Centre (or an Institution in Luxembourg) of at least six months and live in Luxembourg. The exemption | The Centre's staff benefit from all the facilities available to staff of the other institutions located in Luxembourg, namely: the European Parliament nurseries, private nurseries, after-school |

| Agency privileges | Privileges granted to staff | |
|--|---|--|
| <p>premises are inviolable.</p> <p>Within the scope of its official activities, the Centre, its assets, income, property and its operations and transactions authorised by Regulation (EC) No 2965/94 are exempt from all forms of taxation, present and future.</p> | <p>is granted subject to reimbursement of the VAT relating to the selling of the previous car purchase with temporary VAT exemption. If the staff member leaves the Centre or moves abroad, he/she will have to reimburse VAT on the car.</p> | <p>childcare and the Study Centre managed by the European Commission's Office for Infrastructure and Logistics (OIL), the European Schools, international schools, the French secondary school, the Luxembourg state nursery and primary schools, Luxembourg secondary schools and training centres and the University of Luxembourg.</p> <p>The Centre applies all the provisions laid down in the Staff Regulations (annex VII) and in the general implementing rules regarding the education allowance. The education allowance is paid by the Centre and is granted to officials, temporary and contract staff, and to other beneficiaries legally entitled to this allowance.</p> |

2.7 Fully self-financed decentralised agencies

The individual budgetary financial statements of the fully self-financed decentralised agencies are included for information purposes only and reflect the views and information as submitted by these agencies and adopted by the Agencies' Budget Committees.

2.7.1 Single Resolution Board – SRB

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|---|
| Regulation (EU) No 806/2014 on the Single Resolution Mechanism | 15/07/2015 | The Board will carry out specified tasks concerning the preparation for the resolution of credit institutions and their actual resolution where they are failing or likely to fail. |

1.2 Seat

Brussels Belgium

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 370 | 354 | 95,68% | 375 | 404 |
| Assistants (AST) | 51 | 50 | 98,04% | 51 | 56 |
| Assistants/Secretaries (AST/SC) | 29 | 21 | 72,41% | 24 | 25 |
| ESTABLISHMENT PLAN POSTS | 450 | 425 | 94,44% | 450 | 485 |
| Contract Agents (CA) | | | | | |
| Seconded National Experts (SNE) | 35 | 20 | 57,14% | 35 | 30 |
| TOTAL STAFF | 485 | 445 | 91,75% | 485 | 515 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 13 611 000 000 | 158 500 000 |
| TOTAL REVENUES | 13 611 000 000 | 158 500 000 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 71 200 000 | 71 200 000 | 79 300 000 | 79 300 000 |
| Title 2 - Infrastructure and operating expenditure | 22 100 000 | 22 100 000 | 27 670 000 | 27 670 000 |
| Title 3 - Operational expenditure | 71 780 000 | 49 700 000 | 63 200 000 | 51 530 000 |
| Title 4 - Single Resolution Fund | 13 468 000 000 | 13 468 000 000 | 576 000 | 576 000 |
| TOTAL EXPENDITURE | 13 633 080 000 | 13 611 000 000 | 170 746 000 | 159 076 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | 2 | | 2 |
| AD 13 | | 6 | | 2 | | 2 | | 5 | | 5 |
| AD 12 | | 9 | | 5 | | 8 | | 10 | | 10 |
| AD 11 | | 13 | | 8 | | 9 | | 14 | | 14 |
| AD 10 | | 19 | | 11 | | 18 | | 30 | | 30 |
| AD 9 | | 57 | | 45 | | 54 | | 69 | | 69 |
| AD 8 | | 75 | | 62 | | 70 | | 92 | | 92 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 7 | | 71 | | 60 | | 70 | | 84 | | 84 |
| AD 6 | | 77 | | 89 | | 93 | | 72 | | 72 |
| AD 5 | | 43 | | 72 | | 51 | | 26 | | 26 |
| AD TOTAL | | 370 | | 354 | | 375 | | 404 | | 404 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | 1 | | 1 |
| AST 7 | | | | | | | | 2 | | 2 |
| AST 6 | | 3 | | 2 | | 4 | | 9 | | 9 |
| AST 5 | | 8 | | 11 | | 14 | | 16 | | 16 |
| AST 4 | | 27 | | 21 | | 20 | | 20 | | 20 |
| AST 3 | | 9 | | 15 | | 11 | | 8 | | 8 |
| AST 2 | | 4 | | 1 | | 2 | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 51 | | 50 | | 51 | | 56 | | 56 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | 1 | | 1 |
| AST/SC 4 | | | | | | 1 | | 3 | | 3 |
| AST/SC 3 | | 12 | | 2 | | 7 | | 11 | | 11 |
| AST/SC 2 | | 9 | | 14 | | 11 | | 8 | | 8 |
| AST/SC 1 | | 8 | | 5 | | 5 | | 2 | | 2 |
| AST/SC TOTAL | | 29 | | 21 | | 24 | | 25 | | 25 |
| TOTAL | | 450 | | 425 | | 450 | | 485 | | 485 |
| GRAND TOTAL | | 450 | | 425 | | 450 | | 485 | | 485 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | | | | |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | | | | |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 35 | 20 | 35 | 30 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|-----------------------|-----------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 74 991 791 | 143 000 000 | 158 500 000 | 158 500 000 | 10,84% |
| 2 EU CONTRIBUTION | | | | | |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 12 531 826 915 | 13 468 000 000 | | | -100% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 12 606 818 706 | 13 611 000 000 | 158 500 000 | 158 500 000 | -98,84% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 57 553 946 | 71 200 000 | 79 300 000 | 79 300 000 | 11,38% |
| Salaries & allowances | 45 901 194 | 57 116 000 | 64 840 000 | 64 840 000 | 13,52% |
| - Of which establishment plan posts | 44 564 702 | 55 336 000 | 63 060 000 | 63 060 000 | 13,96% |
| - Of which external personnel | 1 336 492 | 1 780 000 | 1 780 000 | 1 780 000 | 0,00% |
| Expenditure relating to Staff recruitment | 587 563 | 1 340 000 | 900 000 | 900 000 | -32,84% |
| Employer's pension contributions | 6 564 209 | 8 160 000 | 8 160 000 | 8 160 000 | 0,00% |
| Mission expenses | 6 950 | 10 000 | 20 000 | 20 000 | 100,00% |
| Socio-medical infrastructure | 2 064 105 | 2 110 000 | 2 700 000 | 2 700 000 | 27,96% |
| Training | 361 646 | 675 000 | 650 000 | 650 000 | -3,70% |
| External Services | 2 067 279 | 1 788 000 | 2 029 000 | 2 029 000 | 13,48% |
| Receptions, events and representation | 1 000 | 1 000 | 1 000 | 1 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 16 834 470 | 22 100 000 | 27 670 000 | 27 670 000 | 25,20% |
| Rental of buildings and associated costs | 5 985 410 | 9 000 000 | 8 450 000 | 8 450 000 | -6,11% |
| Information, communication technology and data processing | 8 323 319 | 9 430 000 | 16 000 000 | 16 000 000 | 69,67% |
| Movable property and associated costs | 1 110 419 | 1 585 000 | 1 250 000 | 1 250 000 | -21,14% |
| Current administrative expenditure | 704 061 | 1 095 000 | 940 000 | 940 000 | -14,16% |
| Postage / Telecommunications | 711 261 | 990 000 | 1 030 000 | 1 030 000 | 4,04% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 31 797 605 | 71 780 000 | 63 200 000 | 63 200 000 | -11,95% |
| Chapter 30 - SRB operations | | | | | |
| Plenary and executive sessions of the Board | | | | | |
| Appeal panel | | | | | |
| Communication, publication, translation | | | | | |
| Operational mission expenses | | | | | |
| Operation meetings expenses | | | | | |
| IT tools | | | | | |
| Support activities to the Fund | | | | | |
| Studies and consultancy | | | | | |
| Other operation expenditure | | | | | |
| Crisis contingency | | | | | |
| Chapter 31 - SRB operations | 17 466 568 | 31 730 000 | 19 650 000 | 19 650 000 | -38,07% |
| Governance | 67 637 | 75 000 | 75 000 | 75 000 | 0,00% |
| Support activities to the Fund | 5 028 867 | 16 175 000 | 895 000 | 895 000 | -94,47% |
| Resolution readiness | 450 000 | 800 000 | 2 000 000 | 2 000 000 | 150,00% |
| Resolution Framework | 231 400 | 1 000 000 | 2 000 000 | 2 000 000 | 100,00% |
| Communications | 1 456 583 | 1 900 000 | 1 900 000 | 1 900 000 | 0,00% |
| Missions | 600 000 | 1 100 000 | 1 500 000 | 1 500 000 | 36,36% |
| Operational ICT | 9 632 081 | 10 680 000 | 11 280 000 | 11 280 000 | 5,62% |
| Chapter 32 - Contingencies | 14 331 037 | 40 050 000 | 43 550 000 | 43 550 000 | 8,74% |
| Appeal panel | 599 628 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Communications during crisis | | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Contingency for the Fund | | 3 000 000 | 3 000 000 | 3 000 000 | 0,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-----------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Legal and litigation | 9 042 818 | 10 000 000 | 13 500 000 | 13 500 000 | 35,00% |
| Consultancy and advice | 4 688 591 | 25 050 000 | 25 050 000 | 25 050 000 | 0,00% |
| Title 4 - Single Resolution Fund | 232 469 415 | 13 468 000 000 | 576 000 | 576 000 | -100,00% |
| Usage of the Fund within Resolution Schemes | | | | | |
| Investments | | 13 467 424 000 | | | -100% |
| Investments returns | 232 463 035 | | | | |
| Interest paid on loans in accordance with SRM Article 72(1) | | | | | |
| Interest paid on loans in accordance with SRM Articles 73 and 74 | | | | | |
| Bank and other financial charges | 6 380 | 6 000 | 6 000 | 6 000 | 0,00% |
| Commitment fees on bridge financing arrangements | | 570 000 | 570 000 | 570 000 | 0,00% |
| Negative budget result from the previous year | | | | | |
| Refunds | | | | | |
| Other operating expenditures | | | | | |
| TOTAL | 338 655 436 | 13 633 080 000 | 170 746 000 | 170 746 000 | -98,75% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 56 709 291 | 71 200 000 | 79 300 000 | 79 300 000 | 11,38% |
| Salaries & allowances | 45 901 194 | 57 116 000 | 64 840 000 | 64 840 000 | 13,52% |
| - Of which establishment plan posts | 44 564 702 | 55 336 000 | 63 060 000 | 63 060 000 | 13,96% |
| - Of which external personnel | 1 336 492 | 1 780 000 | 1 780 000 | 1 780 000 | 0,00% |
| Expenditure relating to Staff recruitment | 557 236 | 1 340 000 | 900 000 | 900 000 | -32,84% |
| Employer's pension contributions | 6 564 209 | 8 160 000 | 8 160 000 | 8 160 000 | 0,00% |
| Mission expenses | 6 950 | 10 000 | 20 000 | 20 000 | 100,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 1 791 108 | 2 110 000 | 2 700 000 | 2 700 000 | 27,96% |
| Training | 260 679 | 675 000 | 650 000 | 650 000 | -3,70% |
| External Services | 1 627 915 | 1 788 000 | 2 029 000 | 2 029 000 | 13,48% |
| Receptions, events and representation | | 1 000 | 1 000 | 1 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 12 448 741 | 22 100 000 | 27 670 000 | 27 670 000 | 25,20% |
| Rental of buildings and associated costs | 5 283 991 | 9 000 000 | 8 450 000 | 8 450 000 | -6,11% |
| Information, communication technology and data processing | 5 519 123 | 9 430 000 | 16 000 000 | 16 000 000 | 69,67% |
| Movable property and associated costs | 717 923 | 1 585 000 | 1 250 000 | 1 250 000 | -21,14% |
| Current administrative expenditure | 522 097 | 1 095 000 | 940 000 | 940 000 | -14,16% |
| Postage / Telecommunications | 405 607 | 990 000 | 1 030 000 | 1 030 000 | 4,04% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 23 760 521 | 49 700 000 | 51 530 000 | 51 530 000 | 3,68% |
| Chapter 30 - SRB operations | | | | | |
| Plenary and executive sessions of the Board | | | | | |
| Appeal panel | | | | | |
| Communication, publication, translation | | | | | |
| Operational mission expenses | | | | | |
| Operation meetings expenses | | | | | |
| IT tools | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-----------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Support activities to the Fund | | | | | |
| Studies and consultancy | | | | | |
| Other operation expenditure | | | | | |
| Crisis contingency | | | | | |
| Chapter 31 - SRB operations | 16 882 003 | 19 650 000 | 21 480 000 | 21 480 000 | 9,31% |
| Governance | 16 595 | 75 000 | 75 000 | 75 000 | 0,00% |
| Support activities to the Fund | 3 687 825 | 5 060 000 | 4 210 000 | 4 210 000 | -16,80% |
| Resolution readiness | 1 196 277 | 770 000 | 1 450 000 | 1 450 000 | 88,31% |
| Resolution Framework | 314 100 | 1 000 000 | 1 300 000 | 1 300 000 | 30,00% |
| Communications | 1 586 391 | 1 500 000 | 1 700 000 | 1 700 000 | 13,33% |
| Missions | 540 473 | 1 100 000 | 1 500 000 | 1 500 000 | 36,36% |
| Operational ICT | 9 540 342 | 10 145 000 | 11 245 000 | 11 245 000 | 10,84% |
| Chapter 32 - Contingencies | 6 878 518 | 30 050 000 | 30 050 000 | 30 050 000 | 0,00% |
| Appeal panel | 248 128 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Communications during crisis | | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Contingency for the Fund | | 3 000 000 | 3 000 000 | 3 000 000 | 0,00% |
| Legal and litigation | 5 213 550 | 10 000 000 | 10 000 000 | 10 000 000 | 0,00% |
| Consultancy and advice | 1 416 840 | 15 050 000 | 15 050 000 | 15 050 000 | 0,00% |
| Title 4 - Single Resolution Fund | 128 059 113 | 13 468 000 000 | 576 000 | 576 000 | -100,00% |
| Usage of the Fund within Resolution Schemes | | | | | |
| Investments | | 13 467 424 000 | | | -100% |
| Investments returns | 128 054 897 | | | | |
| Interest paid on loans in accordance with SRM Article 72(1) | | | | | |
| Interest paid on loans in accordance with SRM Articles 73 and 74 | | | | | |
| Bank and other financial charges | 4 216 | 6 000 | 6 000 | 6 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-----------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Commitment fees on bridge financing arrangements | | 570 000 | 570 000 | 570 000 | 0,00% |
| Negative budget result from the previous year | | | | | |
| Refunds | | | | | |
| Other operating expenditures | | | | | |
| TOTAL | 220 977 666 | 13 611 000 000 | 159 076 000 | 159 076 000 | -98,83% |

3.3 Budget Outturn

The 2022 budget outturn surplus that should reduce the SRB administrative contributions to be levied in 2024: EUR 24 724 278.

4 Justification of needs

Commission assessment

Human Resources

DG FISMA takes note of the SRB's request for 485 Temporary Agent posts and 30 Seconded National Expert posts.

Financial Resources

DG FISMA takes note of SRB's 2024 draft budget consisting of a total of EUR 170 746 000 of commitment and EUR 159 076 000 payment appropriations.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of SRB temporary staff foreseen in the establishment plan 2024 will be 485 and 30 SNEs.

4.1.2 Vacancy rate as of end 2022

The vacancy rate at the end of 2022 was 5.5% (425 posts filled out of 450 posts)

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--|-----------------------------------|--------------|--------------|------------------|-----------------------|----------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Treurenberg (T-22) | Rue Treurenberg 22 1000 Brussels Belgium | 4 064 | 5 748 | 9 812 | 2 947 333 | 15 years (until 2031) | Usufruct | n/a | |
| TOTAL | | | 4 064 | 5 748 | 9 812 | 2 947 333 | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

In reference to Commission's Decision C(2013) 4886 of 01/08/2013 and the additional agreement signed between SRB and the European Commission on 27/5/2015 in respect to the allocation of costs related to the European Schools, SRB's budget includes the European School contribution amounts related to SRB's staff pupils.

5.3 Evaluation

In accordance with the SRM Article 94, by 31 December 2018, and every three years thereafter, the Commission shall publish a report on the application of the SRM.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Protocol No 7 on the Privileges and Immunities of the European Union annexed to the TEU and to the TFEU shall apply to the Board and its staff. | | |

1 Overview**1.1 Creation/modification (legal base)**

| Decision | Date | Mission / Tasks / Functions |
|----------------------------|------------|-----------------------------|
| Council Regulation 2100/94 | 27/07/1994 | EU Plant Variety Rights |

1.2 Seat

Angers, France

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 23 | 22 | 95,65% | 26 | 27 |
| Assistants (AST) | 25 | 25 | 100,00% | 25 | 24 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 48 | 47 | 97,92% | 51 | 51 |
| Contract Agents (CA) | 6 | 5 | 83,33% | 6 | 6 |
| Seconded National Experts (SNE) | 1 | 1 | 100,00% | | |
| TOTAL STAFF | 55 | 53 | 96,36% | 57 | 57 |

1.5 Financial Resources Overview**1.5.1 Revenues Overview****1.5.1.1 General revenues**

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| TOTAL REVENUES | | |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |

| | | |
|-----------------------|--|--|
| TOTAL REVENUES | | |
|-----------------------|--|--|

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 10 360 000 | 10 360 000 | 10 536 000 | 10 536 000 |
| Title 2 - Infrastructure and operating expenditure | 1 890 000 | 1 890 000 | 1 945 000 | 1 945 000 |
| Title 3 - Operational expenditure | 9 670 000 | 9 280 000 | 10 648 000 | 9 692 000 |
| TOTAL EXPENDITURE | 21 920 000 | 21 530 000 | 23 129 000 | 22 173 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | 1 | 1 | 1 | 1 | 2 | 1 | 2 | 1 | 2 | 1 |
| AD 13 | 1 | 2 | 1 | | | 1 | | 1 | | 1 |
| AD 12 | | | | 1 | | 1 | | 1 | | 1 |
| AD 11 | 1 | | 1 | | 2 | 1 | 2 | 1 | 2 | 1 |
| AD 10 | 1 | 3 | 1 | 2 | | 2 | | 4 | | 4 |
| AD 9 | | 2 | | 4 | | 3 | | 1 | | 1 |
| AD 8 | | | | 1 | | 1 | | 4 | | 4 |
| AD 7 | | 5 | | 4 | | 5 | | 3 | | 3 |
| AD 6 | | 6 | | 5 | | 7 | | 6 | | 6 |
| AD 5 | | | | | | | 1 | | 1 | |
| AD TOTAL | 4 | 19 | 4 | 18 | 4 | 22 | 5 | 22 | 5 | 22 |
| AST 11 | | 1 | | | | 1 | | 2 | | 2 |
| AST 10 | 1 | 2 | | 2 | 1 | 2 | | 1 | | 1 |
| AST 9 | | 4 | 1 | 3 | | 4 | | 3 | | 3 |
| AST 8 | 1 | 2 | | 3 | 1 | 3 | 1 | 4 | 1 | 4 |
| AST 7 | | 8 | 1 | 8 | | 9 | | 9 | | 9 |
| AST 6 | | 2 | | 3 | | | | | | |
| AST 5 | | 3 | | 4 | | 4 | | 4 | | 4 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 4 | | 1 | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 2 | 23 | 2 | 23 | 2 | 23 | 1 | 23 | 1 | 23 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 6 | 42 | 6 | 41 | 6 | 45 | 6 | 45 | 6 | 45 |
| GRAND TOTAL | 48 | | 47 | | 51 | | 51 | | 51 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 4 | 2 | 5 | 3 |
| Function Group III | 2 | 3 | 1 | 3 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 6 | 5 | 6 | 6 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | 1 | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|----------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| | | | | | |

| REVENUES | General revenues | | | | |
|--|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 18 318 353 | 20 260 000 | 23 165 000 | 23 165 000 | 14,34% |
| 2 EU CONTRIBUTION | | | | | |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 151 630 | 70 000 | 70 000 | 70 000 | 0,00% |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | 374 772 | 1 200 000 | -1 062 000 | -1 062 000 | -188,50% |
| TOTAL | 18 844 755 | 21 530 000 | 22 173 000 | 22 173 000 | 2,99% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 563 314 | 10 360 000 | 10 536 000 | 10 536 000 | 1,70% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Salaries & allowances | 6 978 166 | 8 453 000 | 8 480 000 | 8 480 000 | 0,32% |
| - Of which establishment plan posts | 6 603 304 | 7 928 000 | 8 024 000 | 8 024 000 | 1,21% |
| - Of which external personnel | 374 862 | 525 000 | 456 000 | 456 000 | -13,14% |
| Expenditure relating to Staff recruitment | 28 809 | 38 000 | 210 000 | 210 000 | 452,63% |
| Employer's pension contributions | 63 439 | 1 238 000 | 1 223 000 | 1 223 000 | -1,21% |
| Mission expenses | 128 208 | 200 000 | 150 000 | 150 000 | -25,00% |
| Socio-medical infrastructure | 14 294 | 25 000 | 27 000 | 27 000 | 8,00% |
| Training | 65 253 | 85 000 | 124 000 | 124 000 | 45,88% |
| External Services | 35 807 | | | | |
| Receptions, events and representation | 1 069 | 5 000 | 5 000 | 5 000 | 0,00% |
| Social welfare | 13 900 | 35 000 | 32 000 | 32 000 | -8,57% |
| Other Staff related expenditure | 234 369 | 281 000 | 285 000 | 285 000 | 1,42% |
| Title 2 - Infrastructure and operating expenditure | 1 820 871 | 1 890 000 | 1 945 000 | 1 945 000 | 2,91% |
| Rental of buildings and associated costs | 298 154 | 336 000 | 312 000 | 312 000 | -7,14% |
| Information, communication technology and data processing | 1 032 529 | 1 160 000 | 1 126 000 | 1 126 000 | -2,93% |
| Movable property and associated costs | 25 675 | 24 000 | 34 000 | 34 000 | 41,67% |
| Current administrative expenditure | 51 325 | 50 000 | 53 000 | 53 000 | 6,00% |
| Postage / Telecommunications | 61 523 | 75 000 | 92 000 | 92 000 | 22,67% |
| Meeting expenses | 192 699 | 140 000 | 225 000 | 225 000 | 60,71% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 158 966 | 105 000 | 103 000 | 103 000 | -1,90% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 585 212 | 9 670 000 | 10 648 000 | 10 648 000 | 10,11% |
| TOTAL | 17 969 397 | 21 920 000 | 23 129 000 | 23 129 000 | 5,52% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 463 316 | 10 360 000 | 10 536 000 | 10 536 000 | 1,70% |
| Salaries & allowances | 6 978 166 | 8 453 000 | 8 480 000 | 8 480 000 | 0,32% |
| - Of which establishment plan posts | 6 603 304 | 7 928 000 | 8 024 000 | 8 024 000 | 1,21% |
| - Of which external personnel | 374 862 | 525 000 | 456 000 | 456 000 | -13,14% |
| Expenditure relating to Staff recruitment | 28 809 | 38 000 | 210 000 | 210 000 | 452,63% |
| Employer's pension contributions | 963 439 | 1 238 000 | 1 223 000 | 1 223 000 | -1,21% |
| Mission expenses | 128 208 | 200 000 | 150 000 | 150 000 | -25,00% |
| Socio-medical infrastructure | 14 294 | 25 000 | 27 000 | 27 000 | 8,00% |
| Training | 65 253 | 85 000 | 124 000 | 124 000 | 45,88% |
| External Services | 35 808 | | | | |
| Receptions, events and representation | 1 069 | 5 000 | 5 000 | 5 000 | 0,00% |
| Social welfare | 13 900 | 35 000 | 32 000 | 32 000 | -8,57% |
| Other Staff related expenditure | 234 370 | 281 000 | 285 000 | 285 000 | 1,42% |
| Title 2 - Infrastructure and operating expenditure | 1 820 870 | 1 890 000 | 1 945 000 | 1 945 000 | 2,91% |
| Rental of buildings and associated costs | 298 154 | 336 000 | 312 000 | 312 000 | -7,14% |
| Information, communication technology and data processing | 1 032 529 | 1 160 000 | 1 126 000 | 1 126 000 | -2,93% |
| Movable property and associated costs | 25 674 | 24 000 | 34 000 | 34 000 | 41,67% |
| Current administrative expenditure | 51 325 | 50 000 | 53 000 | 53 000 | 6,00% |
| Postage / Telecommunications | 61 523 | 75 000 | 92 000 | 92 000 | 22,67% |
| Meeting expenses | 192 699 | 140 000 | 225 000 | 225 000 | 60,71% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 158 966 | 105 000 | 103 000 | 103 000 | -1,90% |

| EXPENDITURE | Payment appropriations | | | | VAR 2024/2023 (%) |
|--|-------------------------|-------------------|-------------------|-------------------|-------------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 560 569 | 9 280 000 | 9 692 000 | 9 692 000 | 4,44% |
| TOTAL | 18 844 755 | 21 530 000 | 22 173 000 | 22 173 000 | 2,99% |

3.3 Budget Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR

4 Justification of needs

Commission assessment

Human Resources

The Commission acknowledges that CPVO applies a prudent approach to management of human and financial resources, with all amounts approved in advance by the Administrative Council.

The Administrative Council of the CPVO is the Budgetary Authority, and decides on the establishment plan. For 2024 the CPVO proposes to keep the staffing stable, being 51 Temporary Agents and 6 Contract Agents.

Financial Resources

The CPVO is a self-financed, demand-driven agency which receives no money from the European Union General Budget, so no EU subsidy is being paid.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of staff requested by the Agency in 2024 foresees no increase compared to 2023 – i.e. 51 Establishment plan posts out of which 27 ADs and 24 ASTs and 6 CA.

4.1.2 Vacancy rate as of end 2022

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

n/a

4.1.5 Correction coefficient used

n/a

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

n/a

4.2.2 Title 2

n/a

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|------------------------------------|---------------------|------------|----------------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Immeuble HBM | 3 Boulevard Foch, 49000 Angers | | | 930 | | | | France Owner CPVO | : |
| 2 | Immeuble Foch 9 | 9 boulevard Foch, 49000 Angers | | | 660 | | | | France Owner CPVO | : |
| 3 | Co-propriété MB-2 | 3bis Boulevard Foch, 49000 Angers | | | 216 | | | | France Owner CPVO | : |
| 4 | Co-propriété MB-RdC | 3 bis Boulevard Foch, 49000 Angers | | | 60,7 | | | | France Owner CPVO | : |
| TOTAL | | | | | 1 866,7 | | | | | |

5.1.2 Current building(s) Other comments

n/a

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

Due to the location of the CPVO, there is limited accessibility and a lack of European or international schools.

5.3 Evaluation

The CPVO organized regularly and at least every six years, an evaluation of its activities. An evaluation was organized in 2016-2017. The ex post evaluation was launched in 2021.

5.4 Privileges and immunities

The Basic Regulation of the CPVO refers to the Protocol on Privileges and Immunities of the European Communities. The CPVO does not have a Seat Agreement with the French Government. The CPVO has made numerous attempts to secure a Seat Agreement with the French Government. Unfortunately, such efforts have not resulted in any meaningful interaction or engagement from the French Government. The CPVO will continue to seek such an agreement.

| Agency privileges | Privileges granted to staff | |
|-------------------|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

1 Overview

1.1 Creation/modification (legal base)

| Regulation | Date | Mission / Tasks / Functions |
|---|-------------------------------------|---|
| <p>Regulation (EU) 2017/1001 of the European Parliament and of the Council of 14 June 2017 on the European Union trade mark, OJ L 154, 16.6.2017, p. 1–99</p> | <p>OJ L 154, 16.6.2017, p. 1–99</p> | <p>The Office was established in 1994 to manage the EU Trade Mark, created through Council Regulation (EC) No 40/94, and, from 2003, the Registered Community Design (RCD), created by Council Regulation (EC) No 6/2002 in order to improve the creation of a single market and to harmonise the protection of industrial property within the European Union.</p> <p>Its initial founding Regulation was revised for the last time by Regulation (EU) 2017/1001 (EUTMR) of the European Parliament and of the Council.</p> <p>The Office carries out examination, registration, opposition and cancellation procedures for EUTMs and examination, registration and invalidity procedures for RCDs. All decisions adversely affecting a party to proceedings can be appealed to the Boards of Appeal of the Office. In order to further support IP rights holders, the Office engages in a wide range of cooperation and convergence activities with other IP offices.</p> <p>In recent years, the Office has been entrusted with new tasks. It houses the European Observatory on Infringements of Intellectual Property Rights.</p> <p>It acts, on behalf of the Commission, as Implementing Agency for EU-funded projects in China, Latin America, ASEAN region, Caribbean, Georgia and Pan-Africa.</p> <p>EU trade marks and designs are industrial property titles which enjoy uniform protection throughout the entire area of the EU.</p> <p>On 23 March 2016, the name of the Office became EUIPO, the European Union Intellectual Property Office (Article 2 of Regulation (EU) 2017/1001).</p> <p>The tasks of EUIPO are defined in Article 151 of Regulation (EU) 2017/1001 as follows:</p> <ul style="list-style-type: none"> - Trade marks: administration and promotion of the EU trade mark system established in Regulation (EU) 2017/1001 - Community Designs: administration and promotion of the European Union design system established in Council |

| | | |
|--|--|---|
| | | <p>Regulation (EC) No 6/2002</p> <ul style="list-style-type: none"> - <u>Cooperation and convergence activities</u>: promoting convergence of practices and tools in the fields of trade marks and designs in cooperation with the central industrial property offices in the Member States, including the Benelux Office for Intellectual Property. - <u>Enforcement of intellectual property rights according to Regulation (EU) No 386/2012</u>: through the European Observatory on Infringements of Intellectual Property Rights, the Office also covers matters relating to the enforcement of intellectual property rights, fighting against piracy and counterfeiting and aims to help businesses, especially small businesses, access and use intellectual property rights more effectively. - <u>Trade secrets</u>: By 9 June 2021, the EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, shall prepare an initial report on the litigation trends regarding the unlawful acquisition, use or disclosure of trade secrets pursuant to the application of the Directive (EU) 2016/943. In addition, in order to review whether those measures fulfil their intended objective, the EUIPO will assist the Commission in examining the application of the Directive (EU) 2016/943 and the effectiveness of the national measures taken. - <u>Orphan works</u>: creation of a single online database for the Union containing such information and for making it available to the public at large in a transparent manner. - <u>Out-of-commerce works</u>: the establishment and management of a public single online portal for the out-of-commerce works. <p>The Office also cooperates with institutions, authorities, bodies, industrial property offices, international and non-governmental organisations in relation to the tasks conferred on it in Article 151 paragraph 1 of Regulation (EU) 2017/1001.</p> <p>The Office may provide voluntary mediation services for the purpose of assisting parties in reaching a friendly settlement.</p> |
|--|--|---|

| Regulation | Date | Mission / Tasks / Functions |
|--|------------------------------------|---|
| Council Regulation (EC) No 6/2002 of 12 December 2001 on Community designs | OJ L 003 05.01.2002 p. 1 –24 | <p>A unified system for obtaining a Community design to which uniform protection is given with uniform effect throughout the entire territory of the EU would further the objectives of the EU as laid down in the Treaty on the Functioning of the European Union.</p> <p>This Regulation extended the scope of the Office to Community Designs. The first Community Design applications were filed in 2003.</p> |

| Regulation | Date | Mission / Tasks / Functions |
|--|-----------------------------|---|
| Regulation (EU) No 386/2012 of the European Parliament and of the Council of 19 April 2012 on entrusting the Office for Harmonization in the Internal Market (Trade Marks and Designs) with tasks related to the enforcement of intellectual property rights, including the assembling of public and private-sector representatives as a European Observatory on Infringements of Intellectual Property Rights | OJ L 129, 16.5.2012, p. 1–6 | <p>Under Regulation (EU) No 386/2012, the Office is entrusted with certain tasks and activities, financed by making use of its own budgetary means, aimed at facilitating and supporting the activities of national authorities, the private sector and the Union institutions in the fight against, including the prevention of intellectual property rights infringement.</p> <p>The European Observatory on Infringements of Intellectual Property Rights was entrusted fully to the EUIPO on 5 June 2012 and covers matters relating to the enforcement of intellectual property rights, fighting against piracy and counterfeiting and aims to help businesses, especially small businesses, access and use intellectual property rights more effectively.</p> |

| Regulation | Date | Mission / Tasks / Functions |
|---|-------------------------------|--|
| Regulation (EU) No 608/2013 of the European Parliament and of the Council of 12 June 2013 concerning customs enforcement of intellectual property rights and repealing Council Regulation (EC) No 1383/2003 | OJ L 181, 29.6.2013, p. 15–34 | <p>The EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, has the task to collect, analyse and disseminate relevant objective, comparable and reliable data regarding customs enforcement of intellectual property rights.</p> <p>This Regulation was complemented by Implementing Regulation (EU) No 1352/2013 establishing the forms provided for in Regulation (EU) No 608/2013 of the European Parliament and of the Council concerning customs enforcement of intellectual property rights, as amended by Commission Implementing Regulation (EU) 2020/1209 of 13 August 2020.</p> |

| Directive | Date | Mission / Tasks / Functions |
|---|-------------------------------|--|
| Directive 2012/28/EU of the European Parliament and of the Council of 25 October 2012 on certain permitted uses of orphan works | OJ L 299, 27.10.2012, p. 5–12 | <p>The Office manages the Orphan Works Database designed to make provision for the creation of a single online database for the Union containing such information and for making it available to the public at large in a transparent manner and thus allowing Europe's cultural heritage to be more accessible.</p> <p>Since the Observatory's tasks include providing mechanisms which help to improve the online exchange of relevant information between the Member States' authorities concerned and fostering cooperation between those authorities, it is therefore appropriate to rely on the Office to establish and manage the European database containing information related to orphan works referred to in this Directive.</p> |

| Directive | Date | Mission / Tasks / Functions |
|--|-----------------------------|---|
| Directive (EU) 2016/943 of the European Parliament and of the Council of 8 June 2016 on the protection of undisclosed know-how and business information (trade secrets) against their unlawful acquisition, use and disclosure | OJ L 157, 15.6.2016 p. 1-18 | By 9 June 2021, the EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, was tasked to prepare an initial report on the litigation trends regarding the unlawful acquisition, use or disclosure of trade secrets pursuant to the application of the Directive (EU) 2016/943. In addition, in order to review whether those measures fulfil their intended objective, the EUIPO will assist the Commission in examining the application of this Directive and the effectiveness of the national measures taken. |

| Directive | Date | Mission / Tasks / Functions |
|--|---------------------------------|--|
| Directive (EU) 2019/790 of the European Parliament and of the Council of 17 April 2019 on copyright and related rights in the Digital Single Market and amending Directives 96/9/EC and 2001/29/EC | OJ L 130, 17.05.2019, p. 92–125 | The EUIPO is responsible for establishing and managing a public single online portal for the out-of-commerce works. The portal is used for mass digitisation projects implemented by the cultural heritage institutions, as the central European transparency (publicity) measure for the out-of-commerce works. |

| Regulation | Date | Mission / Tasks / Functions |
|--|------------------------------|--|
| Regulation (EU) 2019/517 of the European Parliament and of the Council of 19 March 2019 on the implementation and functioning of the .eu top-level domain name and amending and repealing Regulation (EC) No 733/2002 and repealing Commission Regulation (EC) No 874/2004 | OJ L 91, 29.3.2019, p. 25–35 | The EUIPO shall cooperate with the Registry for .eu domain names with a view to combating the speculative and abusive registrations of domain names, including cybersquatting, and providing simple administrative procedures, in particular for small and medium-sized enterprises (SMEs). Furthermore, in order to implement this Regulation, Commission Implementing Regulation (EU) 2020/857 of 17 June 2020 laying down the principles to be included in the contract between the European Commission and the .eu top-level domain Registry in accordance with Regulation (EU) 2019/517 of the European Parliament and of the Council was adopted. |

| Regulation | Date | Mission/Tasks/Functions |
|--|-----------------------------|---|
| Regulation (EU) 2021/690 of the European Parliament and of the Council establishing a programme for the internal market, competitiveness of enterprises, including small and medium-sized enterprises, the area of plants, animals, food and feed, and European statistics (Single Market Programme) and repealing Regulations (EU) No 99/2013, (EU) No 1287/2013, (EU) No 254/2014 and (EU) No 652/2014 | OJ L 153, 3.5.2021, p. 1–47 | As part of the Single Market Programme, according to paragraph 4.3 of Annex II to the Commission Implementing Decision of 6.5.2021 on the financing of the Programme for Single Market, competitiveness of enterprises, including small and medium sized enterprises, and European Statistics and the adoption of the work programme for 2021-2024 (C(2021) 3046 final), amended by the Commission Implementing Decision amending the Commission Implementing Decision C(2021) 3046 as regards the implementation of actions under the Single Market Programme (C(2021) 6940), the European Commission proposed a new action to introduce intellectual property vouchers for post-COVID-19 recovery and green and digital |

| | | |
|--|--|---|
| | | transitions to be implemented by the EUIPO. The action aims at improving the capacity of SMEs to leverage intellectual property as a part of their business growth plans. The action will do it by providing financial relief to SMEs on IP related costs. |
|--|--|---|

| Decision | Date | Mission / Tasks / Functions |
|---|---------------------------------|---|
| Council Recommendation (EU) 2022/2415 of 2 December 2022 on the guiding principles for knowledge valorisation | OJ L 317, 9.12.2022, p. 141–148 | Member States and the European Commission apply the following guiding principles for knowledge valorisation in terms of peer learning: “benchmark successful knowledge valorisation organisations, ecosystems and initiatives in order to develop and promote common concepts, models and incentives to serve as a guide for assessing and implementing knowledge valorisation management and processes. Also, use the expertise, networks and lessons learned from relevant organisations, such as the European Union Intellectual Property Office”. |

1.2 Seat

Alicante, Spain

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 674 | 493 | 73,15% | 677 | 688 |
| Assistants (AST) | 498 | 445 | 89,36% | 495 | 484 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 1172 | 938 | 80,03% | 1172 | 1172 |
| Contract Agents (CA) | 237 | 216 | 91,14% | 245 | 245 |
| Seconded National Experts (SNE) | 72 | 67 | 93,06% | 75 | 75 |
| TOTAL STAFF | 1481 | 1221 | 82,44% | 1492 | 1492 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 455 845 975 | 418 286 240 |
| TOTAL REVENUES | 455 845 975 | 418 286 240 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | p.m. | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | p.m. | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 159 243 797 | 159 243 797 | 164 887 443 | 164 887 443 |
| Title 2 - Infrastructure and operating expenditure | 73 682 839 | 73 682 839 | 70 301 777 | 70 301 777 |
| Title 3 - Operational expenditure | 56 381 492 | 56 381 492 | 55 499 729 | 55 499 729 |
| Title 4 - Contribution to the EU policies | 45 439 914 | 45 439 914 | 26 678 526 | 26 678 526 |
| Title 5 - Offsetting to Member States | 15 157 809 | 15 157 809 | | |
| Title 10 - Other expenditure | 105 940 124 | 105 940 124 | 100 918 765 | 100 918 765 |
| TOTAL EXPENDITURE | 455 845 975 | 455 845 975 | 418 286 240 | 418 286 240 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | | | 1 | | 1 | | 1 |
| AD 15 | 1 | 2 | | 1 | 1 | 2 | 1 | 2 | 1 | 2 |
| AD 14 | 24 | 12 | 17 | 4 | 21 | 11 | 22 | 11 | 22 | 11 |
| AD 13 | 24 | 10 | 23 | 5 | 25 | 11 | 25 | 12 | 25 | 12 |
| AD 12 | 22 | 22 | 13 | 18 | 17 | 24 | 18 | 22 | 18 | 22 |
| AD 11 | 10 | 10 | 7 | 8 | 12 | 11 | 12 | 11 | 12 | 11 |
| AD 10 | 16 | 14 | 11 | 10 | 16 | 14 | 17 | 14 | 17 | 14 |
| AD 9 | 25 | 16 | 29 | 12 | 32 | 12 | 38 | 13 | 38 | 13 |
| AD 8 | 28 | 11 | 32 | 15 | 46 | 21 | 45 | 21 | 45 | 21 |
| AD 7 | 43 | 23 | 51 | 39 | 47 | 54 | 48 | 64 | 48 | 64 |
| AD 6 | 35 | 325 | 23 | 173 | 14 | 285 | 16 | 275 | 16 | 275 |
| AD 5 | | | 2 | | | | | | | |
| AD TOTAL | 228 | 446 | 208 | 285 | 231 | 446 | 242 | 446 | 242 | 446 |
| AST 11 | 9 | | 7 | | 8 | 1 | 11 | 1 | 11 | 1 |
| AST 10 | 21 | 2 | 18 | 1 | 20 | 2 | 21 | 2 | 21 | 2 |
| AST 9 | 63 | 8 | 57 | 6 | 76 | 14 | 63 | 11 | 63 | 11 |
| AST 8 | 50 | 10 | 38 | 4 | 27 | 6 | 47 | 11 | 47 | 11 |
| AST 7 | 65 | 18 | 70 | 15 | 68 | 19 | 63 | 18 | 63 | 18 |
| AST 6 | 46 | 20 | 33 | 17 | 46 | 11 | 39 | 18 | 39 | 18 |
| AST 5 | 35 | 22 | 43 | 14 | 54 | 21 | 51 | 19 | 51 | 19 |
| AST 4 | 48 | 10 | 48 | 24 | 37 | 15 | 42 | 12 | 42 | 12 |
| AST 3 | 33 | 38 | 22 | 28 | 31 | 39 | 19 | 36 | 19 | 36 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 370 | 128 | 336 | 109 | 367 | 128 | 356 | 128 | 356 | 128 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 598 | 574 | 544 | 394 | 598 | 574 | 598 | 574 | 598 | 574 |
| GRAND TOTAL | 1172 | | 938 | | 1172 | | 1172 | | 1172 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 162 | 179 | 194 | 194 |
| Function Group III | 70 | 33 | 46 | 46 |
| Function Group II | | | | |
| Function Group I | 5 | 4 | 5 | 5 |
| TOTAL | 237 | 216 | 245 | 245 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 72 | 67 | 75 | 75 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 288 539 081 | 304 507 462 | 312 346 117 | 312 346 117 | 2,57% |
| 2 EU CONTRIBUTION | | | | | |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 4 585 098 | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | 151 338 513 | 105 940 123 | 105 940 123 | -30,00% |
| TOTAL | 293 124 179 | 455 845 975 | 418 286 240 | 418 286 240 | -8,24% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|--|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 10 561 636 | p.m. | p.m. | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 10 561 636 | p.m. | p.m. | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 145 082 372 | 159 243 797 | 164 887 443 | 164 887 443 | 3,54% |
| Salaries & allowances | 119 810 668 | 131 237 975 | 136 075 985 | 136 075 985 | 3,69% |
| - Of which establishment plan posts | 112 778 487 | 123 539 945 | 128 301 854 | 128 301 854 | 3,85% |
| - Of which external personnel | 7 032 181 | 7 698 030 | 7 774 131 | 7 774 131 | 0,99% |
| Expenditure relating to Staff recruitment | 2 025 367 | 2 217 201 | 1 729 870 | 1 729 870 | -21,98% |
| Employer's pension contributions | 18 473 817 | 20 268 816 | 21 089 438 | 21 089 438 | 4,05% |
| Mission expenses | 994 690 | 1 306 507 | 1 289 220 | 1 289 220 | -1,32% |
| Socio-medical infrastructure | 483 433 | 499 294 | 505 031 | 505 031 | 1,15% |
| Training | 1 299 189 | 1 432 847 | 1 653 309 | 1 653 309 | 15,39% |
| External Services | | | | | |
| Receptions, events and representation | 6 900 | 18 400 | 18 600 | 18 600 | 1,09% |
| Social welfare | 530 667 | 650 507 | 801 694 | 801 694 | 23,24% |
| Other Staff related expenditure | 1 457 641 | 1 612 250 | 1 724 296 | 1 724 296 | 6,95% |
| Title 2 - Infrastructure and operating expenditure | 81 162 938 | 73 682 839 | 70 301 777 | 70 301 777 | -4,59% |
| Rental of buildings and associated costs | 15 770 076 | 12 601 380 | 12 542 929 | 12 542 929 | -0,46% |
| Information, communication technology and data processing | 41 685 093 | 38 656 305 | 36 363 741 | 36 363 741 | -5,93% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 5 058 972 | 3 910 000 | 3 402 780 | 3 402 780 | -12,97% |
| Current administrative expenditure | 8 248 286 | 7 876 022 | 7 942 204 | 7 942 204 | 0,84% |
| Postage / Telecommunications | 1 219 560 | 1 435 700 | 1 370 975 | 1 370 975 | -4,51% |
| Meeting expenses | 4 027 432 | 3 702 057 | 3 617 350 | 3 617 350 | -2,29% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 5 153 519 | 5 501 375 | 5 061 798 | 5 061 798 | -7,99% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 57 824 977 | 56 381 492 | 55 499 729 | 55 499 729 | -1,56% |
| Observatory | 6 377 152 | 6 823 781 | 5 816 069 | 5 816 069 | -14,77% |
| EU Cooperation | 35 508 200 | 33 311 738 | 33 527 914 | 33 527 914 | 0,65% |
| Communication, promotion and integration | 1 321 578 | 2 118 623 | 1 938 000 | 1 938 000 | -8,53% |
| Expenditure regarding the community trademark and design registration procedure | 14 618 047 | 14 127 350 | 14 217 746 | 14 217 746 | 0,64% |
| Title 4 - Contribution to the EU policies | 39 500 862 | 45 439 914 | 26 678 526 | 26 678 526 | -41,29% |
| Title 5 - Offsetting to Member States | 13 877 920 | 15 157 809 | | | -100% |
| Title 10 - Other expenditure | 32 687 327 | 105 940 124 | 100 918 765 | 100 918 765 | -4,74% |
| TOTAL | 370 136 396 | 455 845 975 | 418 286 240 | 418 286 240 | -8,24% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 145 082 372 | 159 243 797 | 164 887 443 | 164 887 443 | 3,54% |
| Salaries & allowances | 119 810 668 | 131 237 975 | 136 075 985 | 136 075 985 | 3,69% |
| - Of which establishment plan posts | 112 778 487 | 123 539 945 | 128 301 854 | 128 301 854 | 3,85% |
| - Of which external personnel | 7 032 181 | 7 698 030 | 7 774 131 | 7 774 131 | 0,99% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Expenditure relating to Staff recruitment | 2 025 367 | 2 217 201 | 1 729 870 | 1 729 870 | -21,98% |
| Employer's pension contributions | 18 473 817 | 20 268 816 | 21 089 438 | 21 089 438 | 4,05% |
| Mission expenses | 994 690 | 1 306 507 | 1 289 220 | 1 289 220 | -1,32% |
| Socio-medical infrastructure | 483 433 | 499 294 | 505 031 | 505 031 | 1,15% |
| Training | 1 299 189 | 1 432 847 | 1 653 309 | 1 653 309 | 15,39% |
| External Services | | | | | |
| Receptions, events and representation | 6 900 | 18 400 | 18 600 | 18 600 | 1,09% |
| Social welfare | 530 667 | 650 507 | 801 694 | 801 694 | 23,24% |
| Other Staff related expenditure | 1 457 641 | 1 612 250 | 1 724 296 | 1 724 296 | 6,95% |
| Title 2 - Infrastructure and operating expenditure | 81 162 938 | 73 682 839 | 70 301 777 | 70 301 777 | -4,59% |
| Rental of buildings and associated costs | 15 770 076 | 12 601 380 | 12 542 929 | 12 542 929 | -0,46% |
| Information, communication technology and data processing | 41 685 093 | 38 656 305 | 36 363 741 | 36 363 741 | -5,93% |
| Movable property and associated costs | 5 058 972 | 3 910 000 | 3 402 780 | 3 402 780 | -12,97% |
| Current administrative expenditure | 8 248 286 | 7 876 022 | 7 942 204 | 7 942 204 | 0,84% |
| Postage / Telecommunications | 1 219 560 | 1 435 700 | 1 370 975 | 1 370 975 | -4,51% |
| Meeting expenses | 4 027 432 | 3 702 057 | 3 617 350 | 3 617 350 | -2,29% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 5 153 519 | 5 501 375 | 5 061 798 | 5 061 798 | -7,99% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 57 824 977 | 56 381 492 | 55 499 729 | 55 499 729 | -1,56% |
| Observatory | 6 377 152 | 6 823 781 | 5 816 069 | 5 816 069 | -14,77% |
| EU Cooperation | 35 508 200 | 33 311 738 | 33 527 914 | 33 527 914 | 0,65% |
| Communication, promotion and integration | 1 321 578 | 2 118 623 | 1 938 000 | 1 938 000 | -8,53% |
| Expenditure regarding the community trademark and design registration procedure | 14 618 047 | 14 127 350 | 14 217 746 | 14 217 746 | 0,64% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 4 - Contribution to the EU policies | 39 500 862 | 45 439 914 | 26 678 526 | 26 678 526 | -41,29% |
| Title 5 - Offsetting to Member States | 13 877 920 | 15 157 809 | | | -100% |
| Title 10 - Other expenditure | 32 687 327 | 105 940 124 | 100 918 765 | 100 918 765 | -4,74% |
| TOTAL | 370 136 396 | 455 845 975 | 418 286 240 | 418 286 240 | -8,24% |

3.3 Budget Outturn

Not applicable

4 Justification of needs

Commission assessment

Human Resources

Financial Resources

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2022

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|-----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.2 *Current building(s) Other comments*

5.1.3 *Building projects in the planning phase*

5.1.4 *Building projects submitted to the European Parliament and the Council*

5.2 *European Schools*

5.3 *Evaluation*

Not finalised yet.

5.4 *Privileges and immunities*

| Agency privileges | Privileges granted to staff | |
|-------------------|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

PART II – EXECUTIVE AGENCIES

1 MAIN BUDGETARY TRENDS: EU CONTRIBUTION AND STAFFING LEVEL FOR 2023

In line with the Commission’s ‘delegation package’⁵⁴, the Commission will continue to make intensive use of executive agencies in the management of spending programmes in 2024.

In general, the EU budget contribution to cover the running costs of the six executive agencies in the 2024 draft budget (EUR 383,1 million) is larger than the amounts foreseen in the final version of the delegation package for 2024, due the impact of the upward revision of salary expenditure and the one-off costs for the move of several executive agencies to new premises. The amounts requested for individual agencies take account of observed vacancy rates and the impact of the salary updates in 2023 and 2024 as expected at the time of the preparation of the draft budget.

The table below shows the requested appropriations for 2024 by agency, as compared to the amounts included in the 2023 budget. The increased contribution requested for 2024 is mainly due to the requested staff increase for 2024 and the salary adjustment.

(in million EUR, at current prices)

| EU budget contribution to executive agencies | Draft budget (DB) | | Budget | | Difference | | Difference | |
|---|-------------------|--------------|--------------|--------------|-------------|-------------|--------------|--------------|
| | 2024 | | 2023 | | 2024 - 2023 | | 2024 / 2023 | |
| | (1) | | (2) | | (1 - 2) | | (1 / 2) | |
| | CA | PA | CA | PA | CA | PA | CA | PA |
| European Climate, Infrastructure and Environment Executive Agency (CINEA) | 52,8 | 52,8 | 53,0 | 53,0 | -0,2 | -0,2 | -0,5 % | -0,5 % |
| European Health and Digital Executive Agency (HaDEA) | 51,8 | 51,8 | 45,7 | 45,7 | 6,1 | 6,1 | 13,3 % | 13,3 % |
| European Research Executive Agency (REA) | 109,1 | 109,1 | 103,2 | 103,2 | 5,7 | 5,9 | 5,7 % | 5,7 % |
| European Research Council Executive Agency (ERCEA) | 59,0 | 59,0 | 58,4 | 58,4 | 0,6 | 0,6 | 1,0 % | 1,0 % |
| European Innovation Council and SMEs Executive Agency (EISMEA) | 43,3 | 43,3 | 43,8 | 43,8 | -0,5 | -0,5 | -1,3 % | -1,3 % |
| European Education and Culture Executive Agency (EACEA) | 67,1 | 67,1 | 63,2 | 63,2 | 3,9 | 3,9 | 6,1 % | 6,1 % |
| Total | 383,1 | 383,1 | 367,5 | 367,5 | 15,6 | 15,6 | 4,2 % | 4,2 % |

As in the 2023 budget, the main change reflected for the 2024 draft budget compared to the delegation package relates to a revision of the Innovation Fund staffing levels. Due to carbon price changes, the volume of the Innovation Fund supported by the EU Emissions Trading System will increase substantially, as compared to the assumption for the amount to be delegated in the cost-benefit analysis of the Innovation Fund. Furthermore, the recently revised ETS Directive introduced a new implementation mechanism, the ‘competitive bidding’. As a follow-up, the first auction under the European Hydrogen Bank, with budgetary impact in 2024, will be launched by CINEA at the end of this year. Finally, the revised ETS Directive allows budgetary commitments in annual instalments, which will further increase the call volumes to be managed by CINEA in 2024. Therefore, the CINEA establishment plan will include 12 additional posts to manage the implementation of the additional appropriations. However, this change does not impact the EU contribution to the agency in the 2024 Draft Budget, as compared to the delegation package.

A second change compared to the delegation package relates to the European Innovation Council. Due to an ongoing restructuring of the EIC Fund, a number of tasks currently carried out by EISMEA in relation to payments and monitoring of the reporting, will be performed in an indirect management set-up by entrusting these tasks to the European Investment Bank (EIB). In addition, following an internal analysis on the functioning and implementation of the European Innovation Council (EIC), certain tasks delegated to EISMEA will be returned to the Commission. By reducing the staff of the agency and increasing the staff in the Commission, this headcount neutral operation will have an impact on human resources of 13 FTE, of which 10 posts and three contract agents.

Moreover, the operational contributions of third countries to EU programmes are generating additional workload in the executive agencies. The staff levels are adjusted accordingly, while all direct and indirect administrative costs incurred by this staff, including the employer’s contributions to pensions, are fully covered by the amounts resulting from the third country contributions.

⁵⁴ Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L 50, 15.2.2021, p. 9).

As a consequence, the proposed number of staff in the executive agencies increases to 3 268 FTE in 2024 (+ 41 FTE compared to 2023, of which 14 temporary agents and 27 contract agents), including establishment plan posts financed outside the EU budget (+ 11 temporary agent posts compared to 2023). Overall, the total staff increase is in line with the staff numbers foreseen in the specific financial statements accompanying the Commission’s delegation decisions, as well as in the Communication to the Commission on the delegation of tasks to executive agencies. More details on the staffing levels by agency are shown in the table below:

| Staffing levels in executive agencies | Staffing levels for 2023 | | Staffing levels requested in DB 2024 (as per 'delegation package') | |
|---|------------------------------|-----------------------|--|-----------------------|
| | Establishment plan posts (*) | Contract agents (FTE) | Establishment plan posts (*) | Contract agents (FTE) |
| European Climate, Infrastructure and Environment Executive Agency (CINEA) | 146 | 354 | 160 | 355 |
| European Health and Digital Executive Agency (HaDEA) | 113 | 308 | 118 | 322 |
| European Research Executive Agency (REA) | 225 | 649 | 229 | 660 |
| European Research Council Executive Agency (ERCEA) | 137 | 382 | 136 | 377 |
| European Innovation Council and SMEs Executive Agency (EISMEA) | 120 | 263 | 106 | 253 |
| European Education and Culture Executive Agency (EACEA) | 136 | 395 | 141 | 411 |
| Total | 876 | 2 351 | 890 | 2 378 |
| Grand Total | 3227 | | 3 268 | |

**including posts in the establishment plans financed from NGEU and programmes outside the EU budget, as follows: for 2023, 30 posts in CINEA, 12 posts in HaDEA, 16 posts in EISMEA, 8 posts in ERCEA, 7 posts in REA and 4 posts in EACEA. For 2024, 44 posts in CINEA, 11 posts in HaDEA, 15 posts in EISMEA, 8 posts in ERCEA, 7 posts in REA and 4 posts in EACEA.*

The necessary additional staff increase in the agencies following the delegation of tasks is compensated by a reduction of human resources in the Commission. The increase in the staffing levels and related administrative expenditure in the executive agencies in 2024 linked to the delegation of tasks is compensated by a further reduction of 34 FTE in the Commission (33 ‘freed’ posts in the establishment plans and one ‘frozen’ post that was freed). This will be partially compensated by an increase of staff in the Commission following the return to the Commission of certain tasks linked to the European Innovation Council which were previously delegated to EISMEA (10 posts and 3 FTE). This results in a net reduction of the Commission establishment plans of 24 posts. The total number of ‘freed’ and ‘frozen’ posts is shown in the table below:

| ‘Freed’ and ‘frozen’ posts: ensuring budgetary neutrality | Total number of freed and frozen posts in 2023 | | Total number of freed and frozen posts in 2024 | |
|---|--|-----------------------|--|-----------------------|
| | Establishment plan posts | Contract agents (FTE) | Establishment plan posts | Contract agents (FTE) |
| <i>Total ‘freed’</i> | 41 | | 34 | |
| <i>Total ‘frozen’</i> | | | | |
| Total | 41 | | 34 | |
| Grand Total | 41 | | 34 | |

2 INDIVIDUAL BUDGETARY STATEMENTS

The individual budgetary financial statements for executive agencies include information on the set-up of the executive agency, the operational programmes managed by it, the EU contribution to and the operating budget of the executive agency (revenue and expenditure), the establishment plan, contract agents and Seconded National Experts, freed and frozen posts, buildings, and evaluations.

2.1 European Climate, Infrastructure and Environment Executive Agency (“CINEA”)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|------------|--|
| CINEA Commission Implementing decision (EU) 2021/173 | 12/02/2021 | Establishment of the European Climate, Infrastructure and Environment Executive Agency |

1.2 Seat

| Decision | Date | Mission/ Tasks / Functions |
|--|-----------------------|---|
| TEN-T EA Commission decision 2007/60/EC of 26/10/2006 | OJ L32 of 06/02/2007 | Management of EU operational programmes in the field of trans-European transport networks |
| TEN-T EA Commission decision 2008/593/EC of 11/07/2008 | OJ L190 of 18/07/2008 | Extension of mandate to the management of TEN-T 2007 - 2013 programmes |
| INEA Commission decision 2013/801/EU of 23/12/2013 | OJ L352 of 24/12/2013 | Establishment of the Innovation and Networks Executive Agency |

1.3 Budget Line

01 01 01 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from Horizon Europe

02 01 21 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Connecting Europe Facility — Transport

02 01 22 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Connecting Europe Facility — Energy

02 01 40 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the renewable energy financing mechanism

05 01 02 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Cohesion Fund

08 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the European Maritime, Fisheries and Aquaculture Fund

09 01 01 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Programme for Environment and Climate Action (LIFE)

09 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Public sector loan facility under the Just Transition Mechanism

13 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from Connecting Europe Facility (Transport) for military mobility

16 01 02 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Innovation Fund

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 33 | 28 | 84,85% | 33 | 33 |

| Human Resources | 2022 | | | 2023 | 2024 |
|--|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (recruited by the executive agency) | 109 | 106 | 97,25% | 113 | 127 |
| Total Establishment plan posts | 142 | 134 | 94,37% | 146 | 160 |
| Contract Agents | 346 | 320 | 92,49% | 354 | 355 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 488 | 454 | 93,03% | 500 | 515 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 53 029 092 | 52 787 341 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 531 925 | 511 565 (1) |
| 3. Participation of candidate countries and/or third countries | 456 673 | 440 194 |
| 4. Other external assigned revenue, incl. NGEU | 10 574 400 | 16 203 900 |
| TOTAL | 64 592 090 | 69 943 000 |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 53 590 000 | 53 590 000 | 58 848 000 | 58 848 000 |
| Title 2 - Infrastructure and operating expenditure | 6 955 000 | 6 955 000 | 7 680 000 | 7 680 000 |
| Title 3 - Programme support expenditure | 3 200 000 | 3 200 000 | 3 415 000 | 3 415 000 |
| TOTAL EXPENDITURE | 63 745 000 | 63 745 000 | 69 943 000 | 69 943 000 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2024, the executive agency is in the 4th year of its operational lifetime and manages the following programmes:

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|---|---|--|--|
| Horizon Europe - Pillar II, cluster 5: Climate, Energy and Mobility | | x | |
| Connecting Europe Facility — Transport (including Military Mobility and the Cohesion Fund contribution) | | x | |
| Connecting Europe Facility — Energy | | x | |

| | | | |
|---|--|---|---|
| European Maritime, Fisheries and Aquaculture Fund and compulsory contributions to Regional Fisheries Management Organisations (RFMOs) and other international organisations | | x | |
| LIFE - Nature and biodiversity; Circular economy and quality of life; Climate change mitigation and adaptation; Clean energy transition | | x | |
| Public sector loan facility under the Just Transition Mechanism | | x | |
| Renewable Energy Financing Mechanism | | x | |
| Next Generation EU (NGEU) | | x | |
| Innovation Fund | | x | |
| Connecting Europe Facility - Transport (Legacy) | | | x |
| Connecting Europe Facility - Energy (legacy) | | | x |
| Horizon 2020 - Part III: Societal challenges 3: Secure, clean and efficient energy | | | x |
| Horizon 2020 -Part III: Societal challenges 4: Smart, green and integrated transport | | | x |
| Horizon 2020 - Part III: Societal challenges 5: Climate action, Environment, resource efficiency and raw materials | | | x |
| LIFE: Climate Action and Environment | | | x |
| European Maritime and Fisheries Fund | | | x |

2.2 Operational appropriations managed

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Connecting Europe Facility (CEF) | | | | | | |
| 02 03 01 - Connecting Europe Facility (CEF) — Transport | | | | | | |
| Operational Budget | 1 790 502 205 | 879 118 775 | 1 842 813 707 | 994 760 000 | 1 717 181 785 | 1 435 000 000 |
| <i>Of which managed by the executive agency</i> | 1 756 378 266 | 867 353 557 | 1 814 350 200 | 945 000 000 | 1 701 201 029 | 1 420 000 000 |
| 02 03 02 - Connecting Europe Facility (CEF) — Energy | | | | | | |
| Operational Budget | 792 507 558 | 246 610 309 | 851 372 269 | 253 228 000 | 880 366 912 | 367 775 000 |
| <i>Of which managed by the executive agency</i> | 790 596 089 | 245 236 441 | 853 144 269 | 245 000 000 | 878 500 000 | 365 000 000 |
| Cohesion Fund (CF) | | | | | | |
| 05 03 03 - Connecting Europe Facility (CEF) — Transport — Cohesion Fund (CF) allocation | | | | | | |
| Operational Budget | 1 487 773 834 | 939 629 769 | 1 541 210 307 | 906 000 000 | 1 599 526 756 | 1 204 500 000 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 1 480 060 279 | 932 470 680 | 1 533 047 682 | 900 000 000 | 1 580 250 000 | 1 200 000 000 |
| Completion of Connecting Europe Facility (CEF) | | | | | | |
| 02 03 99 01 - Completion of previous Connecting Europe Facility (CEF) — Transport activities (prior to 2021) | | | | | | |
| Operational Budget | 0 | 1 078 352 025 | p.m. | 939 000 000 | p.m. | 673 700 000 |
| <i>Of which managed by the executive agency</i> | | 1 065 118 989 | p.m. | 930 000 000 | p.m. | 670 000 000 |
| 02 03 99 02 - Completion of previous Connecting Europe Facility (CEF) — Energy activities (prior to 2021) | | | | | | |
| Operational Budget | 0 | 380 481 929 | p.m. | 448 000 000 | p.m. | 350 270 000 |
| <i>Of which managed by the executive agency</i> | | 380 481 929 | p.m. | 445 000 000 | p.m. | 350 000 000 |
| Completion of Contribution from CF to CEF | | | | | | |
| 05 03 99 03 - Completion of the Connecting Europe Facility (CEF) — Cohesion Fund (CF) allocation (2014-2020) | | | | | | |
| Operational Budget | 0 | 904 854 999 | p.m. | 901 500 000 | p.m. | 740 600 000 |
| <i>Of which managed by the executive agency</i> | | 903 050 000 | p.m. | 900 000 000 | p.m. | 740 000 000 |
| Completion of European Maritime and Fisheries Fund (EMFF) | | | | | | |
| 08 04 99 02 - Completion of the European Fisheries Fund (EFF) and of the European Maritime and Fisheries Fund (EMFF) — Operational expenditure under direct management (prior to 2021) | | | | | | |
| Operational Budget | 0 | 48 092 166 | p.m. | 28 573 868 | p.m. | 9 990 863 |
| <i>Of which managed by the executive agency</i> | | 33 956 050 | p.m. | 23 100 000 | p.m. | 8 000 000 |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 4 194 711 466 | p.m. | 3 147 625 008 | p.m. | 2 149 087 945 |
| <i>Of which managed by the executive agency</i> | | 326 150 365 | | 212 000 000 | | 163 549 000 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Programme for the Environment and Climate Action (LIFE) | | | | | | |
| 09 02 99 01 - Completion of previous programmes in the field of environment and climate action (LIFE) (prior to 2021) | | | | | | |
| Operational Budget | 0 | 261 058 029 | p.m. | 221 000 000 | p.m. | 160 000 000 |
| <i>Of which managed by the executive agency</i> | | 210 650 000 | p.m. | 195 000 000 | p.m. | 147 000 000 |
| European Maritime, Fisheries and Aquaculture Fund (EMFAF) | | | | | | |
| 08 04 02 - EMFAF — Operational expenditure under direct and indirect management | | | | | | |
| Operational Budget | 91 666 760 | 46 661 009 | 94 207 693 | 51 500 000 | 96 198 888 | 121 669 576 |
| <i>Of which managed by the executive agency</i> | 34 650 000 | 9 516 135 | 36 330 000 | 15 500 500 | 36 330 000 | 30 000 000 |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 02 10 - Cluster ‘Health’ | | | | | | |
| Operational Budget | 605 258 579 | 212 770 930 | 536 129 598 | 160 643 110 | 650 549 025 | 328 118 657 |
| <i>Of which managed by the executive agency</i> | 493 167 | 4 187 150 | 163 089 | 4 560 000 | | 522 913 |
| 01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’ | | | | | | |
| Operational Budget | 258 071 012 | 135 131 206 | 263 019 298 | 217 653 889 | 298 612 665 | 268 344 237 |
| <i>Of which managed by the executive agency</i> | 7 976 736 | 5 716 148 | 7 283 418 | 7 151 018 | | 7 270 000 |
| 01 02 02 30 - Cluster ‘Civil Security for Society’ | | | | | | |
| Operational Budget | 202 756 055 | 177 166 670 | 164 233 634 | 164 186 519 | 204 320 873 | 147 613 948 |
| <i>Of which managed by the executive agency</i> | 7 664 582 | 9 528 374 | 6 971 610 | 8 083 673 | | 6 150 000 |
| 01 02 02 40 - Cluster ‘Digital, Industry and Space’ | | | | | | |
| Operational Budget | 1 287 261 905 | 1 110 534 781 | 1 073 294 233 | 990 847 723 | 1 174 980 475 | 1 200 212 079 |
| <i>Of which managed by the executive agency</i> | 49 999 016 | 44 836 479 | 66 390 032 | 49 512 587 | | 52 200 000 |
| 01 02 02 50 - Cluster ‘Climate, Energy and Mobility’ | | | | | | |
| Operational Budget | 1 289 912 167 | 626 993 219 | 1 108 861 904 | 524 088 847 | 1 288 842 641 | 942 153 278 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 1 263 043 429 | 605 781 418 | 1 101 742 947 | 506 379 790 | 1 251 595 000 | 875 170 151 |
| 01 02 02 60 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' | | | | | | |
| Operational Budget | 1 011 750 348 | 901 553 571 | 1 042 611 524 | 656 254 638 | 1 050 696 938 | 793 950 581 |
| <i>Of which managed by the executive agency</i> | 80 478 361 | 87 110 413 | 28 888 387 | 78 238 452 | | 41 621 385 |
| 01 02 04 01 - Widening participation and spreading excellence | | | | | | |
| Operational Budget | 385 001 151 | 185 891 246 | 382 680 166 | 250 577 864 | 391 704 081 | 335 137 773 |
| <i>Of which managed by the executive agency</i> | 4 133 218 | | | 2 066 609 | | 2 066 609 |
| Innovation Fund | | | | | | |
| 16 03 01 - Innovation Fund (IF) — Operational expenditure | | | | | | |
| Operational Budget | 0 | 0 | p.m. | p.m. | p.m. | p.m. |
| <i>Of which managed by the executive agency</i> | | | p.m. | p.m. | p.m. | p.m. |
| Programme for the Environment and Climate Action (LIFE) | | | | | | |
| 09 02 01 - Nature and biodiversity | | | | | | |
| Operational Budget | 276 432 563 | 97 154 772 | 279 011 676 | 99 323 396 | 285 202 126 | 112 000 000 |
| <i>Of which managed by the executive agency</i> | 253 376 127 | 85 827 434 | 243 493 495 | 76 897 894 | 233 700 000 | 89 363 554 |
| 09 02 02 - Circular economy and quality of life | | | | | | |
| Operational Budget | 183 153 495 | 55 956 540 | 179 714 556 | 71 731 430 | 177 796 220 | 117 871 841 |
| <i>Of which managed by the executive agency</i> | 159 880 083 | 47 574 483 | 156 171 482 | 44 781 830 | 145 792 000 | 84 888 580 |
| 09 02 03 - Climate change mitigation and adaptation | | | | | | |
| Operational Budget | 135 386 059 | 49 065 128 | 128 608 139 | 48 625 000 | 122 679 608 | 65 000 000 |
| <i>Of which managed by the executive agency</i> | 103 521 038 | 36 249 474 | 103 750 000 | 31 481 338 | 103 300 000 | 52 323 484 |
| 09 02 04 - Clean energy transition | | | | | | |
| Operational Budget | 138 448 249 | 42 665 084 | 143 002 568 | 56 825 000 | 133 496 971 | 90 729 000 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 116 300 046 | 41 879 822 | 112 000 000 | 38 004 000 | 100 784 880 | 86 828 819 |
| Military mobility 2021-2027 | | | | | | |
| 13 04 01 - Military mobility | | | | | | |
| Operational Budget | 230 067 893 | 112 713 827 | 293 470 661 | 130 000 000 | 239 640 880 | 260 000 000 |
| <i>Of which managed by the executive agency</i> | 230 067 893 | 112 713 827 | 293 470 661 | 130 000 000 | 239 640 880 | 260 000 000 |
| Public sector loan facility under the Just Transition Mechanism (JTM) | | | | | | |
| 09 04 01 - Public sector loan facility under the Just Transition Mechanism (JTM) | | | | | | |
| Operational Budget | 0 | 0 | 50 000 000 | p.m. | 50 000 000 | 35 000 000 |
| <i>Of which managed by the executive agency</i> | | | 50 000 000 | p.m. | 50 000 000 | |
| TOTAL operational budget managed by the agency | 6 338 618 330 | 6 055 389 168 | 6 407 197 272 | 5 787 757 691 | 6 321 093 789 | 6 651 954 495 |

(1) On top of the budget lines above, CINEA is also expected to manage appropriations in 2024 on the following lines which are not captured in the table: 08.0502 (DG MARE – compulsory contributions to RFMOs); 02.200304 (DG ENER – Renewable Financing Mechanism); and 08.200100.P082201 (DG MARE – Pilot Project).

3 Human Resources

3.1 Staffing when created extended

Source: CINEA 2021-2027 Specific Financial Statement - including posts stemming from C1 and non-C1 funds (excluding EEA/EFTA and third countries contributions).

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---|------------|------------|------------|------------|------------|------------|------------|
| Temporary Agents (Officials seconded by the Commission) | 32 | 33 | 33 | 33 | 33 | 33 | 33 |
| Temporary Agents (recruited by the agency) | 97 | 109 | 111 | 113 | 114 | 117 | 119 |
| Executive Agency's total Establishment Plan Posts | 129 | 142 | 144 | 146 | 147 | 150 | 152 |
| Contract Agents (*) | 393 | 414 | 421 | 427 | 431 | 437 | 441 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 522 | 556 | 565 | 573 | 578 | 587 | 593 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | 2023 | 2024 |
|--|--------------------------|-------------------------------------|--------------------------|-----------------------------|
| | Authorised Budget (1) | Actually filled as of 31/12/2022 | Authorised Budget (2) | Draft Budget Request (3) |
| AD 16 | | | | |
| AD 15 | 1 | 1 | 1 | 1 |
| AD 14 | 9 | 9 | 11 | 12 |
| AD 13 | 12 | 12 | 13 | 13 |
| AD 12 | 18 | 18 | 18 | 18 |
| AD 11 | 19 | 19 | 20 | 19 |
| AD 10 | 12 | 11 | 13 | 15 |
| AD 9 | 20 | 20 | 20 | 23 |
| AD 8 | 18 | 17 | 18 | 18 |
| AD 7 | 16 | 14 | 16 | 19 |
| AD 6 | 2 | 1 | 3 | 10 |
| AD 5 | 2 | | | |
| AD TOTAL | 129 | 122 | 133 | 148 |
| AST 11 | | | | |
| AST 10 | | | | |
| AST 9 | | | | |
| AST 8 | 1 | 1 | 1 | 1 |
| AST 7 | 2 | 2 | 2 | 2 |
| AST 6 | 4 | 4 | 4 | 4 |
| AST 5 | 3 | 3 | 4 | 3 |
| AST 4 | 2 | 2 | 2 | 2 |
| AST 3 | 1 | | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 13 | 12 | 13 | 12 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 142 | 134 | 146 | 160 |
| Of which Officials seconded by the commission | 33 | 28 | 33 | 33 |

(1) Including establishment plan posts for the Innovation Fund (16), for the Just Transition Mechanism (2), the Renewable Energy Financing Mechanism (1) and the Next Generation EU (7)

(2) Including establishment plan posts for the Innovation Fund (17), for the Just Transition Mechanism (3), the Renewable Energy Financing Mechanism (1), the Next Generation EU (6) and Horizon Europe EFTA/3CR (2)

(3) Including establishment plan posts for the Innovation Fund (30), for the Just Transition Mechanism (4), the Renewable Energy Financing Mechanism (2), the Next Generation EU (6) and Horizon Europe third countries contribution (2)

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 216 | 195 | 224 | 224 |
| Function Group III | 95 | 93 | 95 | 96 |
| Function Group II | 35 | 32 | 35 | 35 |
| Function Group I | | | | |
| TOTAL | 346 | 320 | 354 | 355 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries, the Innovation Fund, the Renewable Energy Financing Mechanism, the Just Transition Mechanism and the Next Generation EU:

| Human Resources financed outside the EU budget | Foreseen in 2022 | Recruited as of 31/12/2022 | Foreseen in 2023 | Foreseen 2024 |
|---|------------------|----------------------------|------------------|---------------|
| Financed from participation of candidate countries and/or third countries | 10 | 8 | 7 | 7 |
| Financed from the Innovation Fund (IF) | 36 | 27 | 39 | 68 |
| Financed from the Renewable Energy Financing Mechanism (REFM) | 4 | 3 | 5 | 5 |
| Financed from the Just Transition Mechanism (JTM) Pillar III | 7 | 7 | 10 | 13 |
| Financed from the Next Generation EU (NGEU) | 21 | 17 | 19 | 17 |

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
|----------------------------|--|-------------------------|---------------------------|---------------------------|
| Heading 7 | 40 | 24 | 3 | 0 |
| Horizon Europe Programme * | 146 | 81 | 10 | 11 |

| | | | | |
|---|------------|------------|-----------|-----------|
| Targeted reductions in other areas * | 180 | 36 | 28 | 23 |
| Total** | 366 | 141 | 41 | 34 |

* these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

** the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
|--------------|---|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---|---------|---------|
| | By end 2022 | In 2023 | In 2024 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2023 | Number of posts frozen in 2024 |
|--------------|---|--------------------------------|--------------------------------|
| | | | |
| Total | | | |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution(1) to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 01/02/2021) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|--|------|------------|------------|------------|------------|------------|------------|
| | | 54 813 656 | 57 888 962 | 60 302 895 | 62 938 695 | 65 390 812 | 67 548 270 |

| Agency contribution as voted by the Budgetary Authority(1) | | | | | | | |
|--|------------|------------|------------|--|--|--|--|
| Initial Budget (EUR-27)(1) | 26 912 000 | 59 565 000 | 65 014 630 | | | | |
| Budget after transfers and / or amending budgets (EUR-27)(2) | 55 070 097 | 56 050 000 | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27)(3) | 26 912 000 | 56 895 000 | 63 345 000 | | | | |
| Budget after transfers and / or amending budgets (EUR-27)(3) | 45 300 000 | 56 050 000 | 63 745 000 | | | | |

(1) Includes C1 and non-C1 funds; excludes external assigned revenues stemming from the participation of third countries in Horizon Europe.

(2) Based on the agency's Specific Financial Statement with the increase of posts for the Innovation Fund agreed in 2021; excludes external assigned revenues stemming from the participation of third countries in Horizon Europe.

(3) Includes C1 and non-C1 funds with the increase of posts for the Innovation Fund agreed in 2021 and proposed in 2023, including the delegation of new programmes to the Agency, the increase of posts for the HE Missions and the external assigned revenues stemming from the participation of third countries in Horizon Europe.

4.2 Revenues

| REVENUES | | 2022 | 2023 | 2024 | VAR 2024/2023 (%) |
|---|-----------|--------------------|-------------------|-------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 74 | HORIZONEU | 13 412 576 | 14 884 072 | 14 153 165 | -4,91% |
| 02 01 21 74 | CEF_21 | 6 041 000 | 7 645 690 | 7 946 000 | 3,93% |
| 02 01 22 74 | CEF_21 | 2 963 000 | 3 156 950 | 3 001 000 | -4,94% |
| 02 01 40 74 | | 0 | p.m. | p.m. | |
| 05 01 02 74 | CF_21_27 | 6 457 000 | 6 629 080 | 6 412 000 | -3,27% |
| 08 01 03 74 | EMFAF | 4 071 000 | 4 498 010 | 4 579 000 | 1,80% |
| 09 01 01 74 | LIFE_2021 | 12 912 824 | 15 228 550 | 15 741 176 | 3,37% |
| 09 01 03 74 | PSLF_JTM | 0 | p.m. | p.m. | |
| 13 01 03 74 | MM | 962 000 | 986 740 | 955 000 | -3,22% |
| 16 01 02 74 | IF | 0 | p.m. | p.m. | |
| Sub Total | | 46 819 400 | 53 029 092 | 52 787 341 | -0,46% |
| 2. EEA/EFTA contribution (excl.Switzerland) (1) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 74 | HORIZONEU | 401 424 | 509 925 | 489 741 | -3,96% |
| 09 01 01 74 | LIFE_2021 | 19 176 | 22 000 | 21 824 | 0,80% |
| Sub Total | | 420 600 | 531 925 | 511 565 | -3,83% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 74 | HORIZONEU | 11 000 | 456 673 | 440 194 | -3,61% |
| 02 01 21 74 | CEF_21 | p.m. | p.m. | p.m. | p.m. |
| 05 01 02 74 | CF_21_27 | p.m. | p.m. | p.m. | p.m. |
| 09 01 01 74 | LIFE_2021 | p.m. | p.m. | p.m. | p.m. |
| 13 01 03 74 | MM | p.m. | p.m. | p.m. | p.m. |
| Sub Total | | 11 000 | 456 673 | 440 194 | -3,61% |

| 4. | Other | external | assigned | revenue, | incl. | NGEU |
|-----------------------|-----------|----------|-------------------|-------------------|-------------------|---------------|
| Budget Line | Programme | | | | | |
| 01 01 01 74 | HORIZONEU | | 2 920 000 | 2 760 400 | 2 502 900 | -9.33% |
| 02 01 40 74 | REFM | | p.m. | p.m. | 1 241 000 | |
| 09 01 03 74 | PSLF_JTM | | 852 000 | 1 413 000 | 1 913 000 | 35.39% |
| 16 01 02 74 | IF | | 5 027 000 | 6 001 000 | 10 547 000 | 75,75% |
| Sub Total | | | 8 799 000 | 10 174 400 | 16 203 900 | 59,26% |
| TOTAL REVENUES | | | 56 050 000 | 64 192 090 | 69 943 000 | 8,96% |

- (1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 46 143 798 | 53 590 000 | 58 848 000 | 9,81% |
| Remunerations, Allowances and Charges | 43 643 611 | 50 080 000 | 54 772 000 | 9,37% |
| - <i>Of which establishment plan posts</i> | <i>16 885 580</i> | <i>19 430 000</i> | <i>21 045 000</i> | <i>8,31%</i> |
| - <i>Of which external personnel</i> | <i>26 758 031</i> | <i>30 650 000</i> | <i>33 727 000</i> | <i>10,04%</i> |
| Professional Development and Social expenditure | 2 500 187 | 3 510 000 | 4 076 000 | 16,13% |
| Title 2 - Infrastructure and operating expenditure | 4 467 340 | 6 955 000 | 7 680 000 | 10,42% |
| Building expenditure | 3 604 000 | 4 050 000 | 4 500 000 | 11,11% |
| ICT expenditure | 619 883 | 2 585 000 | 2 830 000 | 9,48% |
| Movable Property and Current Operating expenditure | 243 457 | 320 000 | 350 000 | 9,38% |
| Title 3 - Programme support expenditure | 2 958 476 | 3 200 000 | 3 415 000 | 6,72% |
| Programme Management expenditure | 2 958 476 | 3 200 000 | 3 415 000 | 6,72% |
| Common Support Services expenditure | | | | |
| TOTAL | 53 569 614 | 63 745 000 | 69 943 000 | 9,72% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|--|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 44 575 861 | 53 590 000 | 58 848 000 | 9,81% |
| Remunerations, Allowances and Charges | 43 359 347 | 50 080 000 | 54 772 000 | 9,37% |
| - <i>Of which establishment plan posts</i> | <i>16 885 580</i> | <i>19 430 000</i> | <i>21 045 000</i> | <i>8,31%</i> |
| - <i>Of which external personnel</i> | <i>26 473 767</i> | <i>30 650 000</i> | <i>33 727 000</i> | <i>10,04%</i> |

| | | | | |
|---|-------------------|-------------------|-------------------|---------------|
| Professional Development and Social expenditure | 1 216 514 | 3 510 000 | 4 076 000 | 16,13% |
| Title 2 - Infrastructure and operating expenditure | 3 967 280 | 6 955 000 | 7 680 000 | 10,42% |
| Building expenditure | 3 270 522 | 4 050 000 | 4 500 000 | 11,11% |
| ICT expenditure | 534 102 | 2 585 000 | 2 830 000 | 9,48% |
| Movable Property and Current Operating expenditure | 162 656 | 320 000 | 350 000 | 9,38% |
| Title 3 - Programme support expenditure | 1 265 620 | 3 200 000 | 3 415 000 | 6,72% |
| Programme Management expenditure | 1 265 620 | 3 200 000 | 3 415 000 | 6,72% |
| Common Support Services expenditure | | | | |
| TOTAL | 49 808 761 | 63 745 000 | 69 943 000 | 9,72% |

4.4 Outturn

The 2022 outturn to be returned to the EU budget is 3.199.311,29 EUR. It comprises:

| | |
|--|-------------------|
| Unused 2021 payment appropriations | 690.596, 65 EUR |
| Unused 2022 commitment appropriations | 2.480.385, 60 EUR |
| Other 2022 revenue | 28.482,92 EUR |
| Adjustment for exchange rate differences | (153,88) EUR |

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2024 is 625, i.e. 160 Temporary Agents and 465 Contract Agents. It includes:

- 5 FTEs (i.e. 5 CAs) allocated to the Agency to manage the additional workload resulting from the delegation of HE Missions related tasks,
- 2 additional CA FTE financed from contributions from third countries to reflect the implementation of Horizon Europe larger delegated budget
- an additional 38 FTEs (i.e. 12 TAs and 26 CAs) for the Innovation Fund resulting from a further increase of the carbon pricing and from the additional Hydrogen Bank programme related tasks.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The total number of contract agents to be financed from EEA/EFTA and third countries contributions is 7.

5.1.3 Salary assumption for calculating salary lines (% applied)

The budget for staff expenditure covers salaries and allowances for seconded officials, temporary and contract agents foreseen for 2024 (i.e. 625 staff including 98 staff financed by the Innovation Fund).

The budget for staff expenditure has been calculated based on the average salary (including allowances) by function group and grade. The December 2022 salaries are the baseline for the calculations. Expected inflation for 2023 (4,4%) and 2024 (3,4%), possible reclassifications, as well as step increase have been accounted for.

Lastly, an average annual vacancy rate has been applied (see section 5.1.5).

5.1.4 Vacancy rate as of end 2022

At the end of 2022, the vacancy rate was 5,6% for Temporary Agents and 9,9% for Contract Agents.

5.1.5 Standard abatement('abatement forfaitaire')

An average annual vacancy rate of 4% for Temporary Agents and 6% for Contract Agents (respectively 2% and 5% at year-end) has been taken into consideration.

5.2 Financial Resources

5.2.1 Title 1

The budget for Title I is EUR 58.848.000, 9,81% higher than the 2023 first amended budget. The two main factors for the increase are the additional number of posts and the inflation rate (estimated at 3,4% for 2024).

The largest increase, 10,04% or EUR 3.077.000, is foreseen for Contract Agents and covers additional 23 staff, out of which 18 financed by the Innovation Fund.

5.2.2 Title 2

The budget for Title II is EUR 7.680.000, 10,42% higher than 2023. This increase is in line with the expected impact of inflation and higher number of staff on the infrastructure and operating expenditures of CINEA.

Rental and building charges are expected to increase by 13% and 8,6% respectively, while ICT expenditures for hardware and software increase by 9,48% as a result of two main factors: the inclusion of CINEA in the DIGIT DWP (Digital Work Place) and the cost implications of the foreseen staff increase.

5.2.3 Title 3

The budget for Title III is EUR 3.415.000, 6,7% higher than 2023.

The new programmes CINEA is in charge of entail a higher number of outsourced audits (+39,5% compared to 2023).

Consistently, given the higher number of projects for which CINEA has to provide assurance, the number of staff missions is expected to increase. Travel costs are also expected to be higher due to inflation, consequently an increase of EUR 40.000 of mission expenditure is forecasted for 2024.

The operational related IT expenditure will decrease by 3,5%. This is the result of reduced consultancy needs for Business Intelligence reporting and the switch from development to maintenance mode of operational platforms (TENTEC/LIFE).

Communication activities are expected to be more expensive due to inflation.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|---------------|------------------|--------------------------|-------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | W910 | Brussels | 10 213 | 615 | 10 828 | 2 299 000 | 4 years until 31/12/2024 | Usufruct contract | Not applicable | |
| TOTAL | | | 10 213 | 615 | 10 828 | 2 299 000 | | | | |

6.1.2 Current Building(s) Other comments

The usufruct has been extended for another 4 years from 01/01/2021 until 31/12/2024 in the light of the new mandate of the Agency under the 2021-2027 MFF.

6.1.3 Building projects in the planning phase

Not applicable.

6.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

6.2 Evaluation

In accordance with Article 25(1) of the Framework Regulation, in 2020, the Commission finalised an external evaluation report and produced a staff working document (SWD) on the operation of INEA (the predecessor of CINEA) encompassing the period 2014-2016. It assessed INEA's implementation of the parts of the EU funding programmes (Connecting Europe Facility, Horizon 2020 and the Trans-European Transport Network (TEN-T) and Marco Polo legacy programmes) which have been entrusted to it. The report and SWD can be found here: https://ec.europa.eu/transport/facts-fundings/evaluations/reports-year_en. The results have fed the Report from the Commission to the European Parliament, the Council and the Court of Auditors (COM(2020)184 and SWD(2020) 73-78) on the evaluation of Executive Agencies.

On that basis, the Commission has redesigned the portfolio of Executive Agencies for the period 2021-2027 (C(2021)946).

The next periodical evaluation is ongoing and it will cover the operations of INEA over the period 2016 – March 2021.

2.2 European Health and Digital Executive Agency (“HaDEA”)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|-------------------------|---|
| <p>COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU</p> | <p>12 February 2021</p> | <p>The European Health and Digital Executive Agency is established from 16 February 2021 until 31 December 2028.</p> <p>The European Health and Digital Executive Agency shall be entrusted with the implementation of the following (parts of) Union programmes:</p> <ul style="list-style-type: none"> (a) EU4Health programme; (b) Horizon Europe: Pillar II, Cluster 1: Health; (c) Single Market Programme: Food safety: health for humans, animals and plants along the food chain and better training for safer food; (d) Digital Europe Programme; (e) Connecting Europe Facility: Digital; (f) Horizon Europe: Pillar II, Cluster 4: Digital, industry and space. 3. <p>As well as with the implementation of the legacy of the following (parts of) Union programmes:</p> <ul style="list-style-type: none"> (a) Horizon 2020: Part III: Societal Challenge 1: Health, demographic change and well-being, which under the 2014-2020 MFF was implemented by the Commission; (b) Connecting Europe Facility: Telecom, which under 2014-2020 MFF was implemented by the Innovation and Networks Executive Agency and by the Commission; (c) Horizon 2020: Part II: specific objective ‘Leadership in enabling and industrial technologies (LEIT)’ - ICT, NMBP, space, which under the 2014-2020 MFF was implemented by the Executive Agency for Small and Medium-sized Enterprises, the executive agency established by Commission Implementing Decision 2013/778/EU (‘the Research Executive Agency’) and by the Commission; (d) Horizon 2020: Part III: Societal challenge 5: Climate action, Environment, resource efficiency and raw materials, which under the 2014-2020 MFF was implemented by the Executive Agency for Small and Medium-sized Enterprises and by the Commission; (e) FP7: The theme ‘space’ of the specific programme cooperation of the Seventh Framework Programme, which under the 2014-2020 MFF was implemented by the Research Executive Agency; (f) the Common financial framework in the area of food and feed safety including Better training for safer food, which under the 2014-2020 MFF was implemented by the executive agency established by Commission Implementing Decision 2013/770/EU (the Consumers, Health, Agriculture and Food Executive Agency); (g) the third Programme for the Union’s action in the field of health (2014-2020), which under the 2014-2020 MFF was implemented by the Consumers, Health, Agriculture and Food Executive Agency and by the Commission. <p>The agency shall be responsible for the following tasks related to the implementation of the parts of the Union programmes and activities delegated to them:</p> <ul style="list-style-type: none"> (a) managing some or all stages of programme implementation and some or all phases in the lifetime of specific projects, on the basis of the work programmes adopted by the Commission; (b) managing pilot projects and preparatory actions within the meaning of Article 58(2) of Regulation (EU, Euratom) 2018/1046, if applicable; (c) providing general administrative and logistical support services, if applicable; (d) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programmes and activities; (e) providing information regarding the programme implementation to support the Commission in its policy making tasks; |

1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 73 : European Health and Digital Executive Agency — Contribution from Horizon Europe

02 01 23 73 : European Health and Digital Executive Agency — Contribution from the Connecting Europe Facility — Digital

02 01 30 73 : European Health and Digital Executive Agency — Contribution from the Digital Europe Programme

03 01 01 73 : European Health and Digital Executive Agency — Contribution from the Single Market Programme

06 01 05 73 : European Health and Digital Executive Agency — Contribution from the EU4Health programme

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 41 | 37 | 90,24% | 40 | 41 |
| Temporary Agents (recruited by the executive agency) | 64 | 63 | 98,44% | 73 | 77 |
| Total Establishment plan posts | 105 | 100 | 95,24% | 113 | 118 |
| Contract Agents | 286 | 282 | 98,60% | 308 | 322 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 391 | 382 | 97,70% | 421 | 440 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | 2024 |
|--|--------------------------------------|-------------------|
| | Revenues estimated by the agency (2) | Budget Forecast |
| 1. EU contribution to the executive agency | 44 961 051 | 51 778 266 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 1 258 945 | 1 381 637 (1) |
| 3. Participation of candidate countries and/or third countries | 385 785 | 503 053 |
| 4. NGEU | 3 730 660 | 3 374 280 |
| TOTAL | 50 336 441 | 57 037 236 |

- (1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.
- (2) The amounts shown in this table for 2023 are based on the latest administrative budget as adopted by HADEA’s Steering Committee.

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 (1) | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 40 366 762 | 40 366 762 | 46 060 405 | 46 060 405 |
| Title 2 - Infrastructure and operating expenditure | 7 147 272 | 7 147 272 | 6 793 000 | 6 793 000 |
| Title 3 - Programme support expenditure | 2 822 407 | 2 822 407 | 3 251 888 | 3 251 888 |
| TOTAL EXPENDITURE | 50 336 441 | 50 336 441 | 56 105 293 | 56 105 293 |

(1) 2024 estimates as per HADEA's Steering Committee SC11(2023)58.

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2024, the executive agency is in the third year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|---|---|--|--|
| EU4Health programme | | X | |
| Horizon Europe: Pillar II, Cluster 1: Health | | X | |
| Single Market Programme: Food safety: health for humans, animals and plants along the food chain and better training for safer food | | X | |
| Digital Europe Programme | | X | |
| Connecting Europe Facility: Digital | | X | |
| Horizon Europe: Pillar II, Cluster 4: Digital, industry and space | | X | |
| Horizon 2020: Part III: Societal Challenge 1: Health, demographic change and well-being | | | X |
| Connecting Europe Facility: Telecom | | | X |
| Horizon 2020: Part II: specific objective 'Leadership in enabling and industrial technologies (LEIT)' - ICT, NMBP, space | | | X |
| Horizon 2020: Part III: Societal challenge 5: Climate action, Environment, resource efficiency and raw materials | | | X |
| FP7: The theme 'space' of the specific programme cooperation of the Seventh Framework Programme | | | X |
| the Common financial framework in the area of food and feed safety including Better training for safer food | | | X |
| the third Programme for the Union's action in the field of health (2014-2020) | | | X |

2.2 Operational appropriations managed

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Connecting Europe Facility (CEF) | | | | | | |
| 02 03 03 01 - Connecting Europe Facility (CEF) — Digital | | | | | | |
| Operational Budget | 244 761 549 | 36 499 642 | 283 664 554 | 147 646 530 | 81 538 807 | 129 563 739 |
| <i>Of which managed by the executive agency</i> | 240 349 110 | 35 732 290 | 283 302 545 | 61 427 248 | | |
| Completion of Connecting Europe Facility (CEF) | | | | | | |
| 02 03 99 03 - Completion of previous Connecting Europe Facility (CEF) — ICT activities (prior to 2021) | | | | | | |
| Operational Budget | 0 | 61 989 073 | p.m. | 26 973 840 | p.m. | 16 087 668 |
| <i>Of which managed by the executive agency</i> | | 39 730 117 | | 21 925 555 | | |
| Completion of Consumer Programme | | | | | | |
| Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) | | | | | | |
| Completion of European statistical programme (ESP) | | | | | | |
| Completion of Food and Feed | | | | | | |
| 03 02 99 02 - Completion of previous measures in food and feed safety, animal health, animal welfare and plant health (prior to 2021) | | | | | | |
| Operational Budget | 0 | 28 087 834 | p.m. | 20 000 000 | p.m. | 15 000 000 |
| <i>Of which managed by the executive agency</i> | | 19 675 460 | | 14 985 761 | | 10 643 760 |
| Completion of European statistical programme (ESP) | | | | | | |
| Completion of Specific activities in the field of financial reporting and auditing | | | | | | |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 4 194 711 466 | p.m. | 3 147 625 008 | p.m. | 2 149 087 945 |
| <i>Of which managed by the executive agency</i> | | 647 825 990 | | 403 182 932 | | |
| Completion of previous public health programmes | | | | | | |
| 06 06 99 01 - Completion of previous public health programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 29 480 168 | p.m. | 24 500 000 | p.m. | 10 000 000 |
| <i>Of which managed by the executive agency</i> | | 22 961 818 | | 19 446 646 | | |
| Completion of European statistical programme (ESP) | | | | | | |
| Completion of Enhancing consumers involvement in EU policy making in the field of financial services | | | | | | |
| Completion of Interoperability Solutions and common frameworks for European public administrations, businesses and citizens (ISA2) | | | | | | |
| Digital Europe Programme | | | | | | |
| 02 04 01 10 - Cybersecurity | | | | | | |
| Operational Budget | 50 777 788 | 90 761 100 | 24 361 553 | 20 484 548 | 30 596 172 | 61 630 890 |
| <i>Of which managed by the executive agency</i> | 32 058 577 | | | 15 486 705 | | |
| 02 04 03 - Artificial intelligence | | | | | | |
| Operational Budget | 333 568 292 | 220 620 765 | 226 316 819 | 383 852 545 | 295 067 000 | 251 060 083 |
| <i>Of which managed by the executive agency</i> | 19 827 289 | 1 571 977 | 23 000 000 | 8 362 730 | 30 897 000 | |
| 02 04 04 - Skills | | | | | | |
| Operational Budget | 91 948 068 | 44 889 805 | 66 902 708 | 71 451 814 | 64 892 032 | 81 364 187 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 81 276 209 | 35 864 757 | 58 000 000 | 14 496 335 | | |
| 02 04 05 01 - Deployment | | | | | | |
| Operational Budget | 142 241 850 | 115 677 433 | 138 788 882 | 118 924 456 | 93 251 536 | 125 401 247 |
| <i>Of which managed by the executive agency</i> | 24 107 690 | 17 928 128 | 26 000 000 | 9 179 561 | 18 400 000 | |
| EU4Health Programme | | | | | | |
| 06 06 01 - EU4Health Programme | | | | | | |
| Operational Budget | 821 946 309 | 143 533 104 | 715 121 072 | 578 212 378 | 726 723 832 | 652 000 000 |
| <i>Of which managed by the executive agency</i> | 733 755 666 | 97 898 946 | 558 500 000 | 175 335 403 | | |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 02 10 - Cluster ‘Health’ | | | | | | |
| Operational Budget | 605 258 579 | 212 770 930 | 536 129 598 | 160 643 110 | 650 549 025 | 328 118 657 |
| <i>Of which managed by the executive agency</i> | 592 805 919 | 201 725 000 | | | | |
| 01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’ | | | | | | |
| Operational Budget | 258 071 012 | 135 131 206 | 263 019 298 | 217 653 889 | 298 612 665 | 268 344 237 |
| <i>Of which managed by the executive agency</i> | 2 628 772 | 1 484 546 | 2 735 101 | 5 291 641 | 3 145 426 | |
| 01 02 02 40 - Cluster ‘Digital, Industry and Space’ | | | | | | |
| Operational Budget | 1 287 261 905 | 1 110 534 781 | 1 073 294 233 | 990 847 723 | 1 174 980 475 | 1 200 212 079 |
| <i>Of which managed by the executive agency</i> | 642 259 756 | 588 830 984 | 1 019 043 794 | 792 479 761 | 779 661 356 | |
| 01 02 04 01 - Widening participation and spreading excellence | | | | | | |
| Operational Budget | 385 001 151 | 185 891 246 | 382 680 166 | 250 577 864 | 391 704 081 | 335 137 773 |
| <i>Of which managed by the executive agency</i> | 8 806 385 | | | | | |
| Single Market Programme, including COSME, ISA2, ESP, consumer involvement in fin. Services, financial reporting, Health, Food and Feed, consumer programme | | | | | | |
| 03 02 06 - Contributing to a high level of health and welfare for humans, animals and plants | | | | | | |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Operational Budget | 303 013 201 | 176 224 276 | 231 319 000 | 202 000 000 | 234 497 000 | 219 000 000 |
| <i>Of which managed by the executive agency</i> | 167 384 000 | 115 934 071 | 93 300 000 | 139 414 100 | 83 300 000 | |
| TOTAL operational budget managed by the agency | 2 545 259 373 | 1 827 164 084 | 2 063 881 440 | 1 681 014 378 | 915 403 782 | 10 643 760 |

3 Human Resources

3.1 Staffing when created extended

Source: The Specific Financial Statement including staff financed from all sources (*)

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|--|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Temporary Agents (Officials seconded by the Commission) | | | | | | | |
| Temporary Agents (recruited by the agency) | 95,3 | 105,2 | 110,2 | 115,2 | 118,3 | 122,3 | 126,3 |
| Executive Agency's total Establishment Plan Posts | 95,3 | 105,2 | 110,2 | 115,2 | 118,3 | 122,3 | 126,3 |
| Contract Agents (**) | 285,9 | 315,7 | 330,7 | 345,7 | 354,8 | 366,7 | 378,8 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 381,2 | 420,9 | 440,9 | 460,9 | 473,1 | 489 | 505,1 |

(*) On top of this, in May 2022, HaDEA received 11 posts financed from EFTA and third countries contributions. In 2022, the Agency could proceed with the recruitment of 8 contract agents and - as of 2023 - 3 temporary agents. HaDEA requests for 2024 1 additional position (1 FGIV) following the increased EFTA percentage compared to 2022.

(**) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | 2023 | 2024 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | | | | |
| AD 14 | 6 | 6 | 9 | 9 |
| AD 13 | 6 | 6 | 6 | 6 |
| AD 12 | 11 | 6 | 10 | 10 |
| AD 11 | 5 | 3 | 8 | 10 |
| AD 10 | 10 | 8 | 5 | 5 |
| AD 9 | 9 | 7 | 8 | 11 |
| AD 8 | 8 | 9 | 10 | 11 |
| AD 7 | 13 | 10 | 9 | 8 |

| Function group and grade | 2022 | | 2023 | 2024 |
|--|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 6 | 11 | 11 | 11 | 12 |
| AD 5 | 18 | 28 | 30 | 29 |
| AD TOTAL | 97 | 94 | 106 | 111 |
| AST 11 | | | 1 | 1 |
| AST 10 | | | | |
| AST 9 | | | | |
| AST 8 | | | | |
| AST 7 | 1 | | 1 | 1 |
| AST 6 | 2 | 2 | 4 | 4 |
| AST 5 | 3 | 3 | 1 | 1 |
| AST 4 | 1 | | | |
| AST 3 | 1 | 1 | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 8 | 6 | 7 | 7 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 105 (1) | 100 | 113 (2) | 118 (3) |
| Of which Officials seconded by the commission | 41 | 37 | 40 | 41 (4) |

- (1) These posts for 2022 are split between 95 posts on EU budget and 10 posts outside EU budget.
- (2) These posts for 2023 are split between 101 posts on EU budget and 9 posts outside EU budget (NGEU) and 3 posts financed by R0/E0 credits
- (3) These posts for 2024 are split between 107 posts on EU budget and 8 posts outside EU budget (NGEU) and 3 posts financed by R0/E0 credit
- (4) In agreement with DGs CNECT and RTD, 5 out of 42 posts reserved for seconded officials are not filled by seconded officials and covered by engaging temporary agents, but remain frozen. On top, in agreement with DG RTD, 1 post TA2a of AST5 was transformed into TA 2f and upgraded to AD5 (in DAB1/2023). As a conclusion, it brings the total of frozen posts to 41 out of which 5 are covered by engaging temporary agents.

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 146 (1) | 160 | 164 (2) | 167 |
| Function Group III | 99 | 96 | 112 | 123 |
| Function Group II | 36 | 25 | 31 | 31 |
| Function Group I | 5 | 1 | 1 | 1 |
| TOTAL | 286 | 282 | 308 | 322 |

- (1) On top 8 additional posts (i.e GF IV) were frontloaded from 2023 to operational Units dealing with Cluster 4.
(2) This includes the frontloading of 4 GF IV to operational Units dealing with Cluster 4.

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2022 | Recruited as of 31/12/2022 | Foreseen in 2023 | Foreseen 2024 |
|--|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from NGEU | 30 (1) | 29 (2) | 27 (3) | 24 (4) |
| Financed from participation of candidate countries and/or third countries | 8 | 7 | 10 | 10 |

- (1) 16 GF IV, 10 GF III, 4 GF II
(2) 16 GF IV, 9 GF III, 4 GF II
(3) 15 GF IV, 9 GF III, 3 GF II
(4) 13 GF IV, 10 GF III, 1 GF II

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

| In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed. | | | | |
|--|--|-------------------------|---------------------------|---------------------------|
| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
| Heading 7 | 40 | 24 | 3 | 0 |
| Horizon Europe Programme * | 146 | 81 | 10 | 11 |
| Targeted reductions in other areas * | 180 | 36 | 28 | 23 |
| Total** | 366 | 141 | 41 | 34 |

* these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

** the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

| In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary |
|---|
|---|

procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
|--------------|---|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|---------------|---|---------|---------|
| | By end 2022 | In 2023 | In 2024 |
| DG RTD | | 1 | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2023 | Number of posts frozen in 2024 |
|-------------------|---|--------------------------------|--------------------------------|
| DG SANTE | 10 | 10 | 10 |
| DG CONNECT | 8 | 8 | 8 |
| DG DEFIS | 4 | 4 | 4 |
| DG GROW | 3 | 3 | 3 |
| DG RTD | 17 | 16 | 16 |
| Total | 42 | 41 | 41 |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---|---------------|-----------------------------|-----------------------------|---------------|--------|--------|--------|
| | | 45 465 | 52 394 | 55 099 | 57 732 | 59 782 | 62 314 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 35 630 191 | 53 504 210 ⁵⁵ | 51 491 823 ⁵⁶ | 56 105 293 | | | |

⁵⁵ The amount includes contributions from EU (48 450 mln EUR) + NGEU (3.94 mln EUR)

⁵⁶ The amount includes contributions from EU (45 713 mln EUR) + NGEU (3.73 mln EUR)

| | | | | | | | | |
|---|----------------------|--------|------------|------------|--|--|--|--|
| Budget after transfers and / or amending budgets (EUR-27) | 26 791 ⁵⁷ | 256 | | | | | | |
| Operating budget of the agency | | | | | | | | |
| Initial Budget (EUR-27) | 35 191 | 630 45 | 449 956,99 | 50 336 441 | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 26 791 | 256 42 | 012 956 | | | | | |

4.2 Revenues

| REVENUES | | 2022 | 2023 | 2024 | VAR 2024/2023 (%) |
|---|------------------|--------------------|-------------------|-------------------|-------------------------|
| | | Executed Budget | Budget (2) | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 73 | HORIZONEU | 16 227 344 | 17 329 464 | 21 014 977 | 21.27% |
| 02 01 23 73 | CEF_21 | 3 076 617 | 4 051 074 | 4 528 027 | 11.77% |
| 02 01 30 73 | DIGITALEU | 4 172 517 | 7 237 826 | 5 778 229 | -20.17% |
| 03 01 01 73 | SINGLEMKT | 1 673 803 | 1 607 117 | 2 897 000 | 22.27% |
| 06 01 05 73 | EU4HEALTH | 11 808 478 | 14 735 569 | 17 560 033 | 19.17% |
| Sub Total | | 36 958 759 | 44 961 051 | 51 778 266 | 13,09% |
| 2. EEA/EFTA contribution (excl.Switzerland) (1) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 73 | HORIZONEU | 602 754 | 612 513 | 704 850 | 15,08% |
| 02 01 30 73 | DIGITALEU | 129 014 | 218 515 | 169 302 | -22,52% |
| 06 01 05 73 | EU4HEALTH | 378 429 | 427 917 | 507 485 | 18,59% |
| Sub Total | | 1 110 197 | 1 258 945 | 1 381 637 | 9,75% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 73 | HORIZONEU | 0 | 385 785 | 503 053 | 30,40% |
| 03 01 01 73 | SINGLEMKT | | | | |
| 06 01 05 73 | EU4HEALTH | | | | |
| Sub Total | | 0 | 385 785 | 503 053 | 30,40% |
| 4. NGEU | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 73 | HORIZONEU | 3 944 000 | 3 730 660 | 3 374 280 | |
| Sub Total | | 3 944 000 | 3 730 660 | 3 374 280 | |
| TOTAL REVENUES | | 42 012 956 | 50 336 441 | 57 037 236 | 11,46% |

⁵⁷ The amount includes contributions from NGEU (1.84 mln EUR)

⁵⁷ The budget reflects that the agency is operational for 9 months instead of the 12 months assumed in the SFS.

- (1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.
- (2) The amounts shown in this table for 2023 are based on the latest administrative budget as adopted by HADEA’s Steering Committee.

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-----------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 (1) | Draft Budget 2024 (2) | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 34 208 396 | 40 366 762 | 46 060 405 | 14,10% |
| Remunerations, Allowances and Charges | 32 424 836 | 38 437 562 | 44 134 405 | 14,82% |
| - <i>Of which establishment plan posts</i> | <i>11 329 740</i> | <i>14 624 754</i> | <i>16 297 370</i> | <i>11,44%</i> |
| - <i>Of which external personnel</i> | <i>21 095 096</i> | <i>23 812 808</i> | <i>27 837 035</i> | <i>16,90%</i> |
| Professional Development and Social expenditure | 1 783 560 | 1 929 200 | 1 926 000 | -0,17% |
| Title 2 - Infrastructure and operating expenditure | 5 605 602 | 7 147 272 | 6 793 000 | -4,96% |
| Building expenditure | 3 504 369 | 4 387 000 | 4 110 000 | -6,31% |
| ICT expenditure | 1 882 657 | 2 355 000 | 2 490 000 | 5,73% |
| Movable Property and Current Operating expenditure | 218 576 | 405 272 | 193 000 | -52,38% |
| Title 3 - Programme support expenditure | 1 614 808 | 2 822 407 | 3 251 888 | 15,22% |
| Programme Management expenditure | 1 614 808 | 2 822 407 | 3 251 888 | 15,22% |
| Common Support Services expenditure | | | | |
| TOTAL | 41 428 806 | 50 336 441 | 56 105 293 | 11,46% |

- (1) The amounts shown in this table for 2023 are based on the latest administrative budget as adopted by HADEA’s Steering Committee.
- (2) 2024 estimates as per HADEA’s Steering Committee SC11(2023)58.

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-----------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 (1) | Draft Budget 2024 (2) | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 34 208 396 | 40 366 762 | 46 060 405 | 14,10% |
| Remunerations, Allowances and Charges | 32 424 836 | 38 437 562 | 44 134 405 | 14,82% |
| - <i>Of which establishment plan posts</i> | <i>11 329 740</i> | <i>14 624 754</i> | <i>16 297 370</i> | <i>11,44%</i> |
| - <i>Of which external personnel</i> | <i>21 095 096</i> | <i>23 812 808</i> | <i>27 837 035</i> | <i>16,90%</i> |
| Professional Development and Social expenditure | 1 783 560 | 1 929 200 | 1 926 000 | -0,17% |
| Title 2 - Infrastructure and operating expenditure | 5 605 602 | 7 147 272 | 6 793 000 | -4,96% |
| Building expenditure | 3 504 369 | 4 387 000 | 4 110 000 | -6,31% |
| ICT expenditure | 1 882 657 | 2 355 000 | 2 490 000 | 5,73% |
| Movable Property and Current Operating expenditure | 218 576 | 405 272 | 193 000 | -52,38% |
| Title 3 - Programme support expenditure | 1 614 808 | 2 822 407 | 3 251 888 | 15,22% |
| Programme Management expenditure | 1 614 808 | 2 822 407 | 3 251 888 | 15,22% |

| | | | | |
|--|-------------------|-------------------|-------------------|---------------|
| Common Support Services expenditure | | | | |
| TOTAL | 41 428 806 | 50 336 441 | 56 105 293 | 11,46% |

(1) The amounts shown in this table for 2023 are based on the latest administrative budget as adopted by HADEA's Steering Committee.

(2) 2024 estimates as per HADEA's Steering Committee SC11(2023)58.

4.4 Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as general revenue): EUR

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

In line with the Specific Financial Statement, the Health and Digital Executive Agency (HaDEA) will count a total of 429 FTEs financed on C1 credits in 2024: 107 Temporary Agents (of which 36 are seconded officials, noting that 6 posts of seconded official out of 42 frozen are filled by temporary agents in agreement with DG CONNECT and DG RTD. One post in DG RTD is freed that brings to 41 the total number of frozen posts) and 322 Contract Agents.

The staff financed on NGEU credits in 2024 is 32 FTEs: 8 Temporary Agents and 24 Contract Agents.

Additional staff financed from other sources in 2023 was fixed at 11 positions: 3 Temporary Agents and 8 Contract Agents. (4 FTEs via contributions paid by potential candidate and third countries and 7 are financed via the participation of EEA/EFTA members in EU programmes. On top, HADEA will increase by two positions following the increased EFTA percentage compared to 2022. This leads to the total of 474 staff in 2024.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

As mentioned in point 5.1.1 in addition to the staff financed from EU budget contribution, additional temporary and contract agents as set out above will be financed from appropriations accruing from contributions from external revenues.

As a background information, in May 2022, the Agency received the authorisation to recruit 11 FTEs from ETFA and third countries contributions. In 2022, HaDEA was allowed to recruit 8 Contract agents and as of 2023 – 3 Temporary agents. In 2024, the number of Temporary agents will remain at 3 and the number of Contract agents will increase to 10 FTEs (ideally GFIV) financed from the participation of candidate countries and/or third countries.

5.1.3 Salary assumption for calculating salary lines (% applied)

The average cost for TA's and CA's is based on the real costs paid in January 2023 and considers recent parameters on what the expected salary adjustment would be in 2023(4.4%) and 2024 (3.4%) (depending among others on inflation parameters).

This shows an increase of the average costs compared to the one used for the calculation of the 2023 salaries, which takes into account the expected indexation/salary adjustment.

The budget takes also into account the reclassification exercise, the travel allowances and a reserve of 1%.

5.1.4 Vacancy rate as of end 2022

The Agency continued growing in 2022 and counted a total of 418 staff on 31.12.2022 (37 seconded officials, 63 temporary agents, 318 contract agents including 7 posts of contract agents filled out of 8 financed from E0/R0 credits and 8 posts that operational Units dealing with Cluster 4 were allowed to frontload). This amounts to 97,6% of 421 staff foreseen in SFS and 88% of 8 FTEs from E0/R0 credits. The remaining E0/R0 post will be filled starting from 16th January 2023. Majority of vacancies of contract agents were under offer or recruitment by end of 2022. For what concerns temporary agents, 5 posts remained unfilled at the end of the year of which 2 were seconded posts. One of the TA posts will be filled starting from 1st January 2023 (AST3). For the remaining 2 TA posts procedures were ongoing at the end of 2022 with an expected arrival of the selected candidates for early 2023.

5.1.5 Standard abatement('abatement forfaitaire')

5.2 Financial Resources

5.2.1 Title 1

The amount of expenditures in Title I for 2024 is higher than adopted in the SFS for HaDEA as HADEA received more staff coming from the Commission with higher grades and also due to higher than expected salary adjustments related to inflation.

5.2.2 Title 2

The amount of expenditures in Title II for 2024 (EUR 6.7 million) is in line with the adopted SFS for HaDEA. It includes the rent in Covent Garden and associated charges, purchase of hardware and software, the services provided by DG DIGIT, DG BUDG, DG HR and DG SG, purchase of furniture, possible internal moves, office supplies, insurances and a budget for potential court cases.

5.2.3 Title 3

The amount of expenditures in Title III stands at EUR 3.2M. It includes the costs for meetings, missions, audits, communication services (campaigns, events, digital services incl audio-visual equipment purchases, etc) and programme related IT expenses (recruitment of an IT consultant)

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---------------------------------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | COVENT GARDEN | PLACE ROGIER 16, 1000 BRUXELLES | | | | | | | | |
| TOTAL | | | | | | | | | | |

6.1.2 Current Building(s) Other comments

Since 1 January 2022, all HaDEA staff has been hosted in Covent Garden (COV2). HaDEA does not have a direct usufruct contract with the landlord but is getting the space from OIB through a Service Level Agreement. The total space allocated to our Agency in the building is 8.555m² of which 4.565 dedicated to offices. The current annual rental cost has been set at EUR 2.566.371. The building value as well as the grant or support from the Host country is irrelevant for HaDEA.

6.1.3 Building projects in the planning phase

6.1.4 Building projects submitted to the European Parliament and the Council

6.2 Evaluation

2.3 European Research Executive Agency (“REA”)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------------------|---|
| Commission Decision 2008/46/EC of 14/12/2007 | OJ L11 of 15/01/2008 | Creation of the Agency managing certain areas of the People, Capacities and Cooperation Specific EU Programmes within the framework of the 7th Framework Programme for RTD (Decision 1982/2006/EC) in application of Council Regulation (EC) No 58/2003. |
| Commission Decision 2013/778/EU of 13/12/2013 establishing Research Executive Agency and repealing Decision 2008/46/EC | OJ L 346, 20/12/2013 | <p>Establishing the Research Executive Agency and repealing Decision 2008/46/EC.</p> <p>1 The Agency is entrusted within the Specific Programme Implementing Horizon 2020 (H2020), with implementing parts of Part I "Excellent science"; Part II "Industrial leadership"; Part III "Societal challenges"; Part III a "Spreading excellence and widening participation"; Part III b "Science with and for society".</p> <p>2 The Agency is entrusted, within the framework of the Seventh Framework Programme (FP7), with implementing the legacy of parts of: the activities "Research for SMEs" and "Research for SME associations" of the Capacities Specific Programme; the "Space" and "Security" themes of the Cooperation Specific Programme; the People Specific Programme.</p> <p>3 The Agency is responsible for the following tasks related to implementing parts of the above-mentioned programmes:</p> <p>(a) managing some stages of programme implementation and some phases in the lifetime of specific projects on the basis of the relevant work programmes adopted by the Commission, where the Commission has empowered it to do so in the instrument of delegation;</p> <p>(b) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programme, where empowered by the Commission in the instrument of delegation;</p> <p>(c) providing support in programme implementation where empowered by the Commission in the instrument of delegation</p> <p>4 The Agency is responsible for providing administrative and logistical support services as defined in the instrument of delegation.</p> |
| Commission Implementing Decision establishing the Research Executive Agency (mandate for 2014-2024) (C(2013)8909 of 13 December 2013) which received a positive opinion of the CEA on 22 November 2013, as last amended by the Commission Decision C(2017)4900 of 14 July 2017 | | <p>Third parties' legal validation and the preparation of viability assessment, in response to the obligation of the Single Electronic Data Interchange Area – SEDIA (based on Article 95, §2 of the Financial Regulation of 2012 which became Article 147, §1 of the Financial Regulation of 2018)</p> <p>The delegation to the Research Executive Agency of projects generating EU classified information.</p> |
| Commission Decision C(2019)3353 of 30 April 2019 | | The Agency shall be responsible for implementing part of the corresponding operational appropriations entered in the general budget of the Union for Horizon 2020 – Future and Emerging Technologies (FET) (only the part “FET Open”) with the exception of the appropriations relating to the Enhanced European Innovation Council Pilot (‘the Enhanced EIC Pilot’) under the framework of Horizon 2020 for the years 2019 and 2020. |
| Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and | OJ L50, 15.2.2021, p.9 | <p>Establishing the European Research Executive Agency (REA) and repealing Decision 2013/778/EU.</p> <p>The Agency is responsible for implementing the following Union programmes or parts thereof:</p> <p>Horizon Europe: Pillar I: Marie Skłodowska-Curie Actions (MSCA) and Research Infrastructures;</p> <p>Horizon Europe: Pillar II: Cluster 2: ‘Culture, creativity and inclusive society’;</p> |

| | |
|---|---|
| repealing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU | Cluster 3: ‘Civil Security for Society’ and Cluster 6: ‘Food, Bioeconomy, Natural Resources, Agriculture and Environment’; Horizon Europe: Part ‘Widening participation and strengthening the European Research Area’: Widening Participation and Spreading Excellence; Reforming and Enhancing the European R&I System; Information provision and promotion measures concerning agricultural products; Research Programme of the Research Fund for Coal and Steel; The legacy activities of the following parts of the Horizon 2020 Specific Programme – The Framework Programme for Research and Innovation (2014-2020): Part I ‘Excellent science’, the specific objectives: ‘Marie Skłodowska-Curie Actions’ and ‘Research Infrastructures’; Part III ‘Societal Challenges’, the specific objectives: ‘Societal Challenge’ 2: Food security, sustainable agriculture and forestry, marine, maritime and inland water research and the bio-economy; ‘Societal Challenge’ 5: Climate action, Environment, resource efficiency and raw materials; ‘Societal Challenge 6’: Europe in a changing World - Inclusive, innovative and reflective societies; ‘Societal Challenge’ 7: Secure Societies - Protecting freedom and security of Europe and its citizens"; Part IV ‘Spreading Excellence and Widening Participation’; Part V ‘Science with and for society’. The legacy activities of the following parts of the 7th Framework Programme for RTD (Decision 1982/2006/EC) (2007-2013): The legacy of the activities ‘Research for SMEs’ and ‘Research for SME associations’ of the Capacities Specific Programme of the Seventh Framework Programme; The legacy of the ‘Security’ themes of the Cooperation Specific Programme of the Seventh Framework Programme; The legacy of the People Specific Programme of the Seventh Framework Programme; The legacy of Information provision and promotion measures concerning agricultural products under the multi annual financial framework 2014- 2020, The legacy of the Research Programme of the Research Fund for Coal and Steel. |
|---|---|

1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 72 : European Research Executive Agency — Contribution from Horizon Europe

08 01 01 72 : European Research Executive Agency — Contribution from the European Agricultural Guarantee Fund (EAGF)

20 03 14 72 : European Research Executive Agency — Contribution for the implementation of the research programme for coal and steel and non-research programmes

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 55 | 49 | 89,09% | 55 | 55 |

| Human Resources | 2022 | | | 2023 | 2024 |
|--|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (recruited by the executive agency) | 160 | 160 | 100,00% | 170 | 174 |
| Total Establishment plan posts | 215 | 209 | 97,21% | 225 | 229 |
| Contract Agents | 645 | 632 | 97,98% | 649 | 660 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 860 | 841 | 97,79% | 874 | 889 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | 2024 |
|--|----------------------------------|------------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 103 244 680 | 109 126 267 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 2 807 832 | 2 965 936 (1) |
| 3. Participation of candidate countries and/or third countries | 1 720 516 | 1 417 046 |
| TOTAL | 107 773 028 | 113 509 249 (2) |

- (1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.
- (2) An additional amount of EUR 67 786 is planned to be received as assigned revenues accruing from the provision of validation services (SEDIA) to other European Union’s Institutions, Agencies and Bodies (EUIBA, e.g., the European Parliament – EP).

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 83 303 995 | 83 303 995 | 88 890 627 | 88 890 627 |
| Title 2 - Infrastructure and operating expenditure | 15 820 368 | 15 820 368 | 16 164 687 | 16 164 687 |
| Title 3 - Programme support expenditure | 8 648 665 | 8 648 665 | 8 453 935 | 8 453 935 |
| TOTAL EXPENDITURE | 107 773 028 | 107 773 028 | 113 509 249 | 113 509 249 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2024, the executive agency is in its 16th year for the 2007-2013 mandate – FP7, the 11th year for the 2014-2020 mandate – Horizon 2020 and the 4th year for the 2021-2027 mandate – Horizon Europe, Promotion of Agricultural Products and Research Programme of the Research Fund for Coal and Steel. It manages the following programmes.

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|--|---|--|--|
| The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Cooperation" Specific Programme / Security research 01 02 99 01 | | | X |
| The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "People" Specific Programme 01 02 99 01 | | | X |
| The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Capacities" Specific Programme / Capacities - Research for the benefit of small and medium-sized enterprises (SMEs) 01 02 99 01 | | | X |
| Horizon 2020 "Excellent science – Marie Skłodowska-Curie actions – Generating new skills and innovation" 01 02 99 01 | | | X |
| Horizon 2020 "Societal challenges 2: Improving food security, developing sustainable agriculture and forestry, marine and maritime and inland water research, and the bioeconomy" 01 02 99 01 | | | X |
| Horizon 2020 "Societal challenges 6: Europe in a changing world – inclusive, innovative and reflective Societies" 01 02 99 01 | | | X |
| Horizon 2020 "Societal challenges 7: Secure societies – Protecting freedom and security of Europe and its citizens" 01 02 99 01 | | | X |
| Horizon 2020 "Spreading excellence and widening participation – Exploiting the potential of Europe's talent pool" 01 02 99 01 | | | X |
| Horizon 2020 "Science with and for society" 01 02 99 01 | | | X |
| Contracting and Paying of Expert Evaluators for all Horizon 2020, except the ERC programme 01 02 99 01 | | | X |
| Horizon 2020 "Societal challenges – Fostering secure societies" – Classified Projects 01 02 99 01 | | | X |
| Third parties' legal validation and the preparation of viability assessment, in response to the obligation of the Single Electronic Data Interchange Area – SEDIA (based on Article 95 (2) of the Financial Regulation of 2012 and on Article 114 (1) of the Financial Regulation of 2018) | | X | |

| | | | |
|--|--|---|---|
| Horizon Europe “Pillar I: ‘Marie Skłodowska-Curie Actions (MSCA)’” 01 02 01 02 | | X | |
| Horizon 2020 “Part I ‘Excellent science’, the specific objective: ‘Research Infrastructures’” 01 02 99 01 | | | X |
| Horizon Europe “Pillar I: ‘Research Infrastructures’” 01 02 01 03 | | X | |
| Horizon Europe “Pillar II: Cluster 2: ‘Culture, creativity and inclusive society’” 01 02 02 20 | | X | |
| Horizon Europe “Pillar II: Cluster 3: ‘Civil Security for Society’” 01 02 02 30 | | X | |
| Horizon 2020 “Part III ‘Societal Challenges’, the specific objective: ‘Societal Challenge’ 5: Climate action, Environment, resource efficiency and raw materials” 01 02 99 01 | | | X |
| Horizon Europe “Pillar II: Cluster 6: ‘Food, Bioeconomy, Natural Resources, Agriculture and Environment’” 01 02 02 60 | | X | |
| Horizon Europe “Part ‘Widening participation and strengthening the European Research Area’: Widening Participation and Spreading Excellence” 01 02 04 01 | | X | |
| Horizon Europe “Part ‘Widening participation and strengthening the European Research Area’: Reforming and Enhancing the European R&I System” 01 02 04 02 | | X | |
| Contracting and Paying of Expert Evaluators for all Horizon Europe, except the ERC programme 01 02 05 | | X | |
| The legacy of Information provision and promotion measures concerning agricultural products under the multi annual financial framework 2014-2020 08 02 03 03 | | | X |
| Information provision and promotion measures concerning agricultural products, the new programme under the MFF 2021-2027 08 02 03 03 | | X | |
| The legacy (MFF 2014-2020) of the Research Programme of the Research Fund for Coal and Steel. 01 20 03 01 and 01 20 03 02 | | | X |
| Research Programme of the Research Fund for Coal and Steel, the new programme under the MFF 2021-2027 01 20 03 01 and 01 20 03 02 | | X | |

| | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Of which managed by the executive agency</i> | 0 | 715 978 698 | p.m. | 606 622 642 | p.m. | 431 699 246 |
| European Agricultural Guarantee Fund (EAGF) | | | | | | |
| 08 02 03 03 - Promotion of agricultural products — Multi-programmes and actions implemented by the Commission under direct management | | | | | | |
| Operational Budget (C1) | 95 427 855 | 72 042 505 | 96 900 000 | 103 791 101 | 96 900 000 | 96 377 817 |
| <i>Of which managed by the executive agency</i> | 95 427 855 | 72 042 505 | 96 900 000 | 103 791 101 | 96 900 000 | 96 377 817 |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 01 02 - Marie Skłodowska-Curie Actions | | | | | | |
| Operational Budget (C1) | 851 026 818 | 348 585 914 | 874 130 546 | 607 437 939 | 891 754 891 | 622 716 236 |
| <i>Of which managed by the executive agency</i> | 850 869 726 | 348 264 924 | 860 428 057 | 601 787 939 | 887 508 145 | 622 216 236 |
| 01 02 01 03 - Research infrastructures | | | | | | |
| Operational Budget (C1) | 302 459 776 | 210 873 244 | 311 270 713 | 152 261 851 | 328 973 816 | 290 535 859 |
| <i>Of which managed by the executive agency</i> | 174 381 326 | 175 278 808 | 310 098 838 | 90 708 226 | 245 517 543 | 238 737 871 |
| 01 02 02 10 - Cluster ‘Health’ | | | | | | |
| Operational Budget (C1) | 605 258 579 | 212 770 830 | 536 129 598 | 160 643 110 | 646 381 756 | 328 118 657 |
| <i>Of which managed by the executive agency</i> | 5 490 273 | 3 800 | p.m. | p.m. | 49 567 | 58 315 |
| 01 02 02 12 - Cluster ‘Health’ — Global Health EDCTP3 Joint Undertaking | | | | | | |
| Operational Budget (C1) | | | | | | |
| <i>Of which managed by the executive agency</i> | 259 195 | 250 965 | p.m. | p.m. | p.m. | p.m. |
| 01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’ (**) | | | | | | |

| | | | | | | |
|---|----------------------|----------------------|----------------------|--------------------|----------------------|----------------------|
| Operational Budget (C1) | 258 071 012 | 135 131 206 | 262 177 510 | 217 653 889 | 297 220 238 | 268 344 237 |
| <i>Of which managed by the executive agency</i> | 245 870 904 | 127 657 825 | 263 373 621 | 206 694 351 | 233 663 843 | 260 060 252 |
| 01 02 02 30 - Cluster 'Civil Security for Society' | | | | | | |
| Operational Budget (C1) | 202 756 055 | 177 166 670 | 164 233 634 | 164 186 519 | 204 320 873 | 147 613 948 |
| <i>Of which managed by the executive agency</i> | 124 998 616 | 111 625 432 | 84 642 923 | 100 765 065 | 107 887 648 | 93 766 690 |
| 01 02 02 40 - Cluster 'Digital, Industry and Space' | | | | | | |
| Operational Budget (C1) | 1 287 261 905 | 1 110 534 781 | 1 073 294 233 | 990 847 723 | 1 174 980 475 | 1 200 212 079 |
| <i>Of which managed by the executive agency</i> | 19 446 627 | 4 288 351 | 21 761 710 | 13 954 373 | 18 138 498 | 11 116 281 |
| 01 02 02 41 - Cluster 'Digital, Industry and Space' — European HighPerformance Computing Joint Undertaking (EuroHPC) | | | | | | |
| Operational Budget (C1) | | | | | | |
| <i>Of which managed by the executive agency</i> | 41 400 | 39 240 | p.m. | p.m. | p.m. | p.m. |
| 01 02 02 43 - Cluster 'Digital, Industry and Space' — Smart Networks and Services Joint Undertaking | | | | | | |
| Operational Budget (C1) | | | | | | |
| <i>Of which managed by the executive agency</i> | 378 540 | 378 540 | p.m. | p.m. | p.m. | p.m. |
| 01 02 02 50 - Cluster 'Climate, Energy and Mobility' | | | | | | |
| Operational Budget (C1) | 1 289 912 167 | 626 993 219 | 1 108 861 904 | 524 088 847 | 1 272 032 629 | 942 153 278 |
| <i>Of which managed by the executive agency</i> | 12 836 560 | 0 | p.m. | p.m. | 119 000 | 3 124 447 |
| 01 02 02 52 - Cluster 'Climate, Energy and Mobility' — Clean Aviation Joint Undertaking | | | | | | |
| Operational Budget (C1) | | | | | | |

| | | | | | | |
|---|---------------|-------------|---------------|-------------|---------------|-------------|
| <i>Of which managed by the executive agency</i> | 0 | 0 | p.m. | p.m. | p.m. | p.m. |
| 01 02 02 53 - Cluster 'Climate, Energy and Mobility' — Europe's Rail Joint Undertaking | | | | | | |
| Operational Budget (C1) | | | | | | |
| <i>Of which managed by the executive agency</i> | 221 000 | 75 735 | p.m. | p.m. | p.m. | p.m. |
| 01 02 02 60 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' | | | | | | |
| Operational Budget (C1) | 1 011 750 348 | 901 553 571 | 1 042 611 524 | 656 254 638 | 1 050 696 938 | 793 950 581 |
| <i>Of which managed by the executive agency</i> | 929 667 289 | 813 433 000 | 1 002 336 862 | 574 907 109 | 954 055 778 | 744 414 240 |
| 01 02 02 61 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' — Circular Bio-based Europe Joint Undertaking | | | | | | |
| Operational Budget (C1) | | | | | | |
| <i>Of which managed by the executive agency</i> | 470 835 | 0 | p.m. | p.m. | p.m. | p.m. |
| 01 02 04 01 - Widening participation and spreading excellence | | | | | | |
| Operational Budget (C1) | 385 001 151 | 185 891 246 | 382 680 166 | 250 577 864 | 391 704 081 | 335 137 773 |
| <i>Of which managed by the executive agency</i> | 355 051 300 | 178 129 167 | 380 871 327 | 245 552 003 | 389 294 056 | 327 739 287 |
| 01 02 04 02 - Reforming and enhancing the European R&I system | | | | | | |
| Operational Budget (C1) | 80 895 214 | 55 872 369 | 49 900 031 | 52 630 608 | 50 081 028 | 58 719 798 |
| <i>Of which managed by the executive agency</i> | 60 586 542 | 48 537 156 | 45 396 135 | 35 185 958 | 40 715 327 | 44 317 597 |
| 01 02 05 - Horizontal operational activities | | | | | | |
| Operational Budget (C1) | 140 475 494 | 156 766 820 | 157 655 567 | 117 784 243 | 102 627 538 | 133 881 913 |

| | | | | | | |
|--|---------------|---------------|---------------|---------------|---------------|---------------|
| <i>Of which managed by the executive agency</i> | 46 106 923 | 59 985 735 | 54 008 978 | 52 551 967 | 57 342 793 | 54 459 816 |
| 01 20 01 - Research & Innovation - Pilot Project (PP) | | | | | | |
| Operational Budget (C1) | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 249 522 | p.m. | p.m. | p.m. | p.m. |
| TOTAL operational budget (C1) managed by the agency | 2 922 104 912 | 2 656 219 404 | 3 119 818 451 | 2 632 520 734 | 3 031 192 198 | 2 928 088 094 |

* For the year 2022, in addition to the execution of C1 appropriations shown in the above table, REA implemented C4 and C5 funds amounting to EUR 1 901 297 in commitment appropriations and EUR 33 482 597 in payment appropriations.

** In Horizon Europe - Cluster 'Culture, Creativity and Inclusive Society' (Cluster 2 – budget line 01 02 02 20), in the year 2022, in addition to the C1, C4 and C5 commitment appropriations, REA implemented C7 commitment appropriations of EUR 15 462 324. On the same budget line, the amount of EUR 29 762 369 in C7 commitment appropriations was allocated to REA in 2023 and the amount of EUR 19 051 698 in C7 commitment appropriations is planned to be allocated to REA in 2024.

In E0 and R0 appropriations:

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of European Agricultural Guarantee Fund (EAGF) | | | | | | |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 3 619 | 97 044 602 | 441 985 364 | 457 005 952 | p.m. | 10 576 632 |
| European Agricultural Guarantee Fund (EAGF) | | | | | | |
| 08 02 03 03 - Promotion of agricultural products — Multi-programmes and actions implemented by the Commission under direct management | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | p.m. | p.m. | p.m. | p.m. |

Horizon Europe – the Framework Programme for Research and Innovation

| | | | | | | |
|--|------------|-----------|------------|------------|------------|------------|
| 01 02 01 02 - Marie Skłodowska-Curie Actions | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 49 240 970 | 9 244 511 | 55 637 349 | 47 929 932 | 25 648 985 | 17 982 049 |
| 01 02 01 03 - Research infrastructures | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 9 390 096 | 5 184 560 | 11 928 506 | 7 333 149 | 7 095 457 | 6 899 524 |
| 01 02 02 10 - Cluster 'Health' | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | p.m. | p.m. | 1 433 | 1 685 |
| 01 02 02 12 - Cluster 'Health' — Global Health EDCTP3 Joint Undertaking | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | 9 500 | 9 500 | p.m. | p.m. |
| 01 02 02 20 - Cluster 'Culture, Creativity and Inclusive Society' | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 13 802 908 | 2 818 021 | 15 006 577 | 13 695 516 | 6 752 885 | 7 515 741 |
| 01 02 02 30 - Cluster 'Civil Security for Society' | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 6 242 315 | 5 175 937 | 14 123 565 | 14 122 203 | 3 117 953 | 2 709 857 |
| 01 02 02 43 - Cluster 'Digital, Industry and Space' — Smart Networks and Services Joint Undertaking | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 400 000 | 225 | 399 775 | 399 775 | p.m. | p.m. |
| 01 02 02 52 - Cluster 'Climate, Energy and Mobility' — Clean Aviation Joint Undertaking | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 613 439 | 613 439 | p.m. | p.m. | p.m. | p.m. |

| 01 02 02 53 - Cluster 'Climate, Energy and Mobility' — Europe's Rail Joint Undertaking | | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|-------------------|-------------------|
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | p.m. | 145 265 | p.m. | p.m. |
| 01 02 02 60 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 49 868 625 | 22 805 002 | 55 055 912 | 43 890 198 | 27 572 212 | 21 513 572 |
| 01 02 02 61 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' — Circular Bio-based Europe Joint Undertaking | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 300 000 | p.m. | p.m. | p.m. | p.m. |
| 01 02 04 01 - Widening participation and spreading excellence | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 17 023 377 | 7 746 669 | 18 661 097 | 14 843 340 | 11 250 598 | 9 471 665 |
| 01 02 04 02 - Reforming and enhancing the European R&I system | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 2 054 475 | 2 266 573 | 3 067 576 | 3 146 490 | 1 176 673 | 1 280 779 |
| 01 02 05 - Horizontal operational activities | | | | | | |
| Operational Budget (E0/R0) | | | | | | |
| <i>Of which managed by the executive agency</i> | 10 531 711 | 2 386 047 | 8 710 273 | 7 557 992 | 1 657 207 | 1 573 889 |
| TOTAL operational budget (E0/R0) managed by the agency | 159 171 535 | 155 585 587 | 624 585 495 | 610 079 313 | 84 273 403 | 79 525 393 |

* The commitment and payment appropriations of the year 2023 for budget line 01 02 99 01 include the R0 credits that are on the budget line for covering the RAL existing at 31.12.2022, amounting to EUR 441 985 364.

** The commitment and payment appropriations of the year 2024 for budget line 01 02 99 01 will also include the R0 credits that will be carried forward on the budget line for covering the future RAL as at 31.12.2023.

*** For the years 2023 and 2024, REA will most probably manage additional appropriations coming from third countries (the so called 'R0' credits) that will be added on top of the amounts shown in the above table.

In total:

| | Executed budget 2022* | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL operational budget (all fund sources) managed by the agency** | 3 081 276 447 | 2 811 804 991 | 3 744 403 946 | 3 242 600 047 | 3 134 867 169 | 3 007 613 487 |

* For the year 2022, in addition to the execution of C1, E0 and R0 appropriations shown in the above tables, REA implemented C4 and C5 funds amounting to EUR 1 901 297 in commitment appropriations and EUR 33 482 597 in payment appropriations.

** For Horizon Europe - Cluster 'Culture, Creativity and Inclusive Society' (budget line 01 02 02 20), in addition to the C1, C4, C5, E0 and the R0 funds, REA implemented in 2022 commitment appropriations fund source C7 of EUR 15 462 324. On the same budget line, C7 commitment appropriations of EUR 29 762 369 were allocated to REA in 2023 and the amount of EUR 19 051 698 of C7 commitment appropriations will be allocated to REA in 2024 on this budget line.

3 Human Resources

3.1 Staffing when created extended

Source: Specific Financial Statements accompanying the Commission Decision C(2021)946 and the Commission Implementing Decision (2021)953.)

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---|------------|------------|------------|------------|------------|------------|------------|
| Temporary Agents (Officials seconded by the Commission) | 55 | 55 | 55 | 55 | 55 | 55 | 55 |
| Temporary Agents (recruited by the agency) | 157 | 160 | 163 | 167 | 170 | 173 | 176 |
| Executive Agency's total Establishment Plan Posts | 212 | 215 | 218 | 222 | 225 | 228 | 231 |
| Contract Agents (*) | 636 | 645 | 655 | 665 | 674 | 684 | 694 |
| Seconded National Experts (SNE) | - | - | - | - | - | - | - |
| Total staff of the Executive Agency | 848 | 860 | 873 | 887 | 899 | 912 | 925 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | 2023 | 2024 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | | | 1 | 1 |
| AD 14 | 16 | 12 | 15 | 16 |
| AD 13 | 15 | 5 | 15 | 16 |
| AD 12 | 31 | 12 | 38 | 37 |
| AD 11 | 30 | 7 | 30 | 32 |
| AD 10 | 35 | 32 | 35 | 40 |

| Function group and grade | 2022 | | 2023 | 2024 |
|--|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 9 | 34 | 34 | 35 | 33 |
| AD 8 | 28 | 24 | 31 | 29 |
| AD 7 | 9 | 33 | 8 | 8 |
| AD 6 | 7 | 31 | 7 | 7 |
| AD 5 | | 6 | | |
| AD TOTAL | 205 | 196 | 215 | 219 |
| AST 11 | | | 1 | 1 |
| AST 10 | 1 | 1 | 1 | 2 |
| AST 9 | 4 | 2 | 4 | 4 |
| AST 8 | 4 | 2 | 4 | 3 |
| AST 7 | 1 | 4 | | |
| AST 6 | | 4 | | |
| AST 5 | | | | |
| AST 4 | | | | |
| AST 3 | | | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 10 | 13 | 10 | 10 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 215 | 209 | 225 | 229 (1) |
| Of which Officials seconded by the commission | 55 | 49 | 55 | 55 |

- (1) The establishment plan posts for the years 2023 and 2024 include 7 additional temporary agents that will be financed with contributions paid by (potential) associated countries. This number of posts is based on the same methodology of calculation mentioned in the Section 3.2.3 ‘Contract Agents financed from external revenues’. Moreover, the salaries, the overhead costs (aka “habillage” costs) and the employer pension contribution of these 7 temporary agents as well as a share of the expenses of the Budget Title 3 are planned to be covered by the part of the subsidy that is financed by the EEA/EFTA contribution (excl. Switzerland) and the participation of associated countries.

The establishment plan accepts the following ‘ad personam’ appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.

The delegation of the 2021-2027 programmes to EAs will increase the number of positions of responsibility in the agencies. These positions are as a general rule occupied by Commission officials seconded in the interest of the service. The staff establishment plans of the agencies have been revised to reflect the current grade structure of the seconded officials. The Commission staff that will be seconded on a post of responsibility in the agencies is unknown at this stage. Therefore, the grade structure of the additional seconded officials is based on the average grade structure of the existing middle and senior management at the Commission.

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 303 | 286 | 316 | 326 |
| Function Group III | 258 | 262 | 261 | 270 |
| Function Group II | 81 | 77 | 70 | 62 |
| Function Group I | 3 | 7 | 2 | 2 |
| TOTAL | 645 | 632 | 649 | 660 (1) |

(1) For the year 2024, the number of contract agents provided by REA's Specific Financial Statement (SFS) is decreased by 5 contract agents (i.e., a total of 660, instead of 665 contract agents for 2024), to incorporate the structural reduction of the amounts provided in the SFS of the Agency to contribute to the financing of the EU Missions cross-cutting expenditure (managed by CINEA, except for the "European Social Innovation Cataly fund").

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with appropriations stemming from NextGenerationEU and contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2022 | Recruited as of 31/12/2022 | Foreseen in 2023 | Foreseen 2024 |
|--|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from participation of candidate countries and/or third countries | 37 | 26 | 20 | 20 |
| Financed from NGEU | | | | |

The actual number of contract agents of the Agency for 2023 and 2024 will be based on the effectively signed association agreements, their terms of participation, the distribution of the additional budget across the Horizon Europe programme parts and the final approach taken for the calculation of the additional contract agents.

The number of contract agents foreseen for 2023 (20) is based on the previously authorised additional contract agents to cope with the additional workload coming from third country contributions (i.e. to cope with the workload coming from the appropriations accruing from contributions from non-European Economic Area third parties to research and technological development as well as from appropriations accruing from contributions from the European Free Trade Agreement / European Economic Area (EFTA/EEA) that are co-delegated to the Agency). This number can vary based on the above-mentioned calculation methodology. Based on the new methodology that will be put in place, this number could vary for 2023.

For the year 2024, the number of contract agents foreseen for REA (20) is based on the same methodology of calculation mentioned in the above paragraph and, therefore, it can vary.

For the years 2023 and 2024, in addition to the number of temporary agents provided by REA's Specific Financial Statement (SFS), the establishment plan provides 7 additional temporary agents that will be financed with contributions paid by (potential) candidate and third countries. Therefore, in 2023 and 2024 there will probably be a total of 27 posts financed with contributions paid by (potential) candidate and third countries, composed of 20 contract agents and 7 temporary agents, in line with the staff ratio of 25% temporary agents to 75% contract agents. These numbers can still vary using the methodology above, but not for the temporary agents as these can only be modified in the next Draft Budget.

Moreover, the salaries, the overhead costs (aka "habillage" costs) and the employer pension contribution of these 20 contract agents and 7 temporary agents as well as a share of the expenses of the Budget Title 3 are planned to be covered

by the part of the subsidy that is financed by the EEA/EFTA contribution (excl. Switzerland) and the participation of candidate countries and/or third countries.

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

| In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed. | | | | |
|--|--|-------------------------|---------------------------|---------------------------|
| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
| Heading 7 | 40 | 24 | 3 | 0 |
| Horizon Europe Programme * | 146 | 81 | 10 | 11 |
| Targeted reductions in other areas * | 180 | 36 | 28 | 23 |
| Total** | 366 | 141 | 41 | 34 |

*these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

**the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

| In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed. | | | | |
|--|---|-------------------------|---------------------------|---------------------------|
| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---|---------|---------|
| | By end 2022 | In 2023 | In 2024 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II).
This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2023 | Number of posts frozen in 2024 |
|--------------|---|--------------------------------|--------------------------------|
| DG RTD | 41 | 41 | 41 |
| DG AGRI | 4 | 4 | 4 |
| DG EAC | 6 | 6 | 6 |
| DG CNECT | 2 | 2 | 2 |
| DG HOME | 2 | 2 | 2 |
| Total | 55 | 55 | 55 |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution to the agency (as foreseen in the Specific Financial Statements accompanying the Commission Decision C(2021)946 and the Commission Implementing Decision (2021)953) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---|--------|--------|---------|---------|---------|---------|---------|
| | 93 346 | 96 989 | 100 300 | 103 663 | 107 160 | 110 762 | 114 403 |
| Agency contribution as voted by the budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 93 346 | 96 989 | 103 245 | 109 127 | N/A | N/A | N/A |
| Budget after transfers and / or amending budgets (EUR-27) | 85 950 | 96 143 | | | N/A | N/A | N/A |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27) | 93.346 | 96.989 | 103 245 | 109 127 | N/A | N/A | N/A |
| Budget after transfers and / or amending budgets(EUR-27) | 85 950 | 96 143 | | | N/A | N/A | N/A |

4.2 Revenues

| REVENUES | | 2022 | 2023 | 2024 | VAR 2024/2023 (%) |
|--|--|--------------------|--------------------|--------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 72 | HORIZONEU | 90 364 808 | 97 156 810 | 102 627 538 | 5,63% |
| 08 01 01 72 | EAGF | 3 684 000 | 3 943 870 | 4 188 729 | 6,21% |
| 20 03 14 72 | Research Fund for Coal and Steel (Heading 7) | 2 094 000 | 2 144 000 | 2 310 000 | 7,74% |
| Sub Total | | 96 142 808 | 103 244 680 | 109 126 267 | 5,70% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |

| Budget Line | Programme | | | | |
|---|-----------|-------------------|--------------------|--------------------|----------------|
| 01 01 01 72 | HORIZONEU | 2 252 934 | 2 807 832 | 2 965 936 (1) | 5,63% |
| Sub Total | | 2 252 934 | 2 807 832 | 2 965 936 | 5,63% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 72 | HORIZONEU | 17 852 | 1 720 516 | 1 417 046 | -17,64% |
| Sub Total | | 17 852 | 1 720 516 | 1 417 046 | -17,64% |
| TOTAL REVENUES | | 98 413 594 | 107 773 028 | 113 509 249 | 5,32% |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 76 996 175 | 83 303 995 | 88 890 627 | 6,71% |
| Remunerations, Allowances and Charges | 73 482 876 | 79 437 895 | 85 071 782 | 7,09% |
| - <i>Of which establishment plan posts</i> | 27 830 249 | 31 028 529 | 33 902 275 | 9,26% |
| - <i>Of which external personnel</i> | 45 652 627 | 48 409 366 | 51 169 507 | 5,70% |
| Professional Development and Social expenditure | 3 513 298 | 3 866 100 | 3 818 845 | -1,22% |
| Title 2 - Infrastructure and operating expenditure | 13 886 999 | 15 820 368 | 16 164 687 | 2,18% |
| Building expenditure | 9 171 968 | 10 010 910 | 9 778 129 | -2,33% |
| ICT expenditure | 4 385 749 | 5 168 906 | 5 282 458 | 2,20% |
| Movable Property and Current Operating expenditure | 329 282 | 640 552 | 1 104 100 | 72,37% |
| Title 3 - Programme support expenditure | 7 352 416 | 8 648 665 | 8 453 935 | -2,25% |
| Programme Management expenditure | 1 809 709 | 2 231 904 | 2 325 930 | 4,21% |
| Common Support Services expenditure | 5 542 707 | 6 416 761 | 6 128 005 | -4,50% |
| TOTAL | 98 235 590 | 107 773 028 | 113 509 249 | 5,32% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|--|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 76 325 713 | 83 303 995 | 88 890 627 | 6,71% |
| Remunerations, Allowances and Charges | 73 201 956 | 79 437 895 | 85 071 782 | 7,09% |
| - <i>Of which establishment plan posts</i> | 27 830 249 | 31 028 529 | 33 902 275 | 9,26% |
| - <i>Of which external personnel</i> | 45 371 707 | 48 409 366 | 51 169 507 | 5,70% |

| | | | | |
|---|-------------------|--------------------|--------------------|---------------|
| Professional Development and Social expenditure | 3 123 757 | 3 866 100 | 3 818 845 | -1,22% |
| Title 2 - Infrastructure and operating expenditure | 11 476 697 | 15 820 368 | 16 164 687 | 2,18% |
| Building expenditure | 7 232 530 | 10 010 910 | 9 778 129 | -2,33% |
| ICT expenditure | 4 019 849 | 5 168 906 | 5 282 458 | 2,20% |
| Movable Property and Current Operating expenditure | 224 318 | 640 552 | 1 104 100 | 72,37% |
| Title 3 - Programme support expenditure | 5 056 929 | 8 648 665 | 8 453 935 | -2,25% |
| Programme Management expenditure | 871 012 | 2 231 904 | 2 325 930 | 4,21% |
| Common Support Services expenditure | 4 185 917 | 6 416 761 | 6 128 005 | -4,50% |
| TOTAL | 92 859 339 | 107 773 028 | 113 509 249 | 5,32% |

4.4 Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1 013 808,35.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2024 to be financed by the EU General Budget is 882. This represents an increase of 15 staff (1,73%) compared to the 2023 authorised staff (to be funded by EU General Budget), but 5 contract agents less than in REA's Specific Financial Statement (SFS) for 2024.

Indeed, for the year 2024, the number of contract agents provided by REA's Specific Financial Statement (SFS) is decreased by 5 contract agents (i.e., a total of 660, instead of 665 contract agents for 2024) to incorporate the structural reduction of the amounts provided in the SFS of the Agency following contributions to the financing of cross-cutting actions relating to EU Missions (except for the "European Social Innovation Catalyst fund") under the WPs 2021-2022-2023 that are being managed by CINEA.

For the year 2024, in addition to the staff provided by REA's Specific Financial Statement (SFS), the establishment plan includes 7 temporary agents posts and the staffing plan includes 20 contract agents that will be financed with contributions paid by (potential) candidate and third countries.

These additional numbers of posts are based on the same methodology of calculation mentioned in the Section 3.2.3 'Contract Agents financed from external revenues' and, therefore, they can vary. For the contract agents any variation can be considered in 2024 while for the temporary agents any variation will be considered in the following draft budget, only (i.e., the draft budget of 2025).

Therefore, the overall total number of staff requested by the Agency for 2024 is 909. This represents an increase of 16 staff (+1,8%) compared to the 2023 authorised staff.

This variation is due to the following modifications between the Voted Budget 2023 and the Draft Budget 2024:

- Temporary agents to be financed by the EU General Budget, as also provided by the SFS: **+4**, to reach 222.
- Contract agents to be financed by the EU General Budget, as also provided by the SFS: **+10**, to reach 665 (as in REA's SFS).
- Contract agents to incorporate the structural reduction of the amounts provided in the SFS of the Agency to contribute to the financing of cross-cutting actions relating to EU missions, to be managed by CINEA: **-5**, to finally reach 660. This contribution to CINEA is reducing from 6 (in 2023) to 5 (in 2024), i.e., **+1** between 2023 and 2024 for REA.
- Temporary agents to be financed by the EFTA/EEA and the Third Countries contributions to cope with the additional workload provided by these contributions, on top of the SFS: **+0**, to reach 7.
- Contract agents to be financed by the EFTA/EEA and the Third Countries contributions to cope with the additional workload provided by these contributions, on top of the SFS: **+1**, to reach 20.

The salaries, the overhead costs (aka “habillage” costs) and the employer pension contribution of the 7 temporary agents and the 20 contract agents as well as a share of the expenses of the Budget Title 3 are planned to be covered by the part of the subsidy that is financed by the EFTA/EEA contribution (excl. Switzerland) and the participation of candidate countries and/or third countries.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The actual number of contract agents of the Agency for 2023 and 2024 will be based on the effectively signed association agreements, their terms of participation, the distribution of additional budget across the various Horizon Europe programme parts and the final approach taken for the calculation of the additional contract agents.

The number of additional contract agents for REA to manage the additional budget contributed by associated countries is currently estimated to be 20 for 2023 and 20 for 2024.

In total, there will be 27 posts financed with contributions paid by (potential) associated countries, composed of 20 contract agents and 7 temporary agents, corresponding to the staff ratio of 25% temporary agents to 75% contract agents.

For the year 2024, the salaries, the overhead costs (aka “habillage” costs) and the employer pension contribution of the 7 temporary agents and the 20 contract agents requested to cope with the additional workload coming from third country contributions (including the EFTA/EEA contributions) as well as a share of the expenses of the Budget Title 3 are planned to be financed by the revenues from EFTA/EEA contribution (excl. Switzerland) and the participation of associated countries, similarly as in the year 2023.

5.1.3 Salary assumption for calculating salary lines (% applied)

The Agency's budget for 2024 covers a full year salary for temporary and contract staff (including those financed by contributions from associated countries) that will be in place at 31.12.2023 and the staff that is expected to be authorised for 2024 (i.e., 909 staff in total of which, 882 to be funded by the EU General Budget (5 less than the staff provided by the SFS, but +1 compared to the Voted Budget 2023) and 27 to be funded by the Associated Countries) minus expected vacancies at year-end.

An average vacancy rate of 2.1% in Full Time Equivalent (FTE) on the entire staff to be authorised for REA for 2024 has been considered (see below – ‘*abatement forfaitaire*’).

5.1.4 Vacancy rate as of end 2022

On 31 December 2022, the vacancy rate of REA was 2,1% of the total authorised staff under the 2022 Budget (both temporary and contract staff), in head count

5.1.5 Standard abatement('abatement forfaitaire')

The occupation rate throughout 2024 is estimated at 97,9% (see 5.1.3), meaning that the expected vacancy rate for 2024 would be 2,1% in Full Time Equivalent (FTE). This estimate accommodates a turnover rate of staff.

5.2 Financial Resources

5.2.1 Title 1

Comparison between overall Voted Budget 2023 and Draft Budget 2024

The overall increase of EUR 5,74 million (5,32%) between the Draft Budget 2024 and the Voted Budget 2023 includes an amount of EUR 2,89 million that have been budgeted for the move of REA from the COV2 building to the North Light (SB34) building. If these moving costs are eliminated, the remaining increase between the Draft Budget 2024 and the Voted Budget 2023 amounts to EUR 2,85 million (+2,64%). The increase of the salary expenditure (due mainly to the salaries’ indexation) amounts to EUR 5,21 million. This means that REA will finance a significant amount of the salary expenditure increase through savings made on the other budget lines, as per the instructions of the Budget Circular 2024.

Title 1

The increase in the budget for Title 1 by + 6,71% is due mainly to the annual increase in salary expenditure and related expenditure due to the yearly indexation in the context of the high inflation (next to a 1.4% increase of the FTEs between 2023 and 2024). A part of this increase is planned to be financed by savings made on other budget lines. As instructed in the Budget Circular 2024, the salaries' indexation from 2023 to 2024 is forecasted at 4.4% while there is another 3.4% expected from the second semester 2024.

5.2.2 Title 2

The increase in the budget for Title 2 by +2,18% is essentially due to:

Chapter 21 (-2,33%), which is mainly due to lower costs of energy expected in 2024, as well as to the increase of the building-related expenses (other than the usufruct instalments) that REA will have once moved in the North Light Building (SB34) (i.e., rent, guarding services, canteen). The increase of the building-related expenses is not fully offset by the lower energy costs expected for 2024.

Chapter 22 and Chapter 23, which are mainly due to the planned move in the North Light Building (SB34) (i.e., furniture, materials, and technical installations).

5.2.3 Title 3

Title 3 is decreased by -2,25%, which is mainly due to savings on the budget lines of 'ICT Infrastructure, Environment and Systems related to the Programme Management and associated expenses' and 'ICT, Logistics, Acquisition and Provision of Information, Current Operating expenditure and associated costs'.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|---------------|------------------|--------------------------|---------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Covent Garden | Brussels | 15 890 | 699 | 16 589 | 3 955 632 | 24.09.2008 to 23.09.2023 | Usufruct convention | No | |
| TOTAL | | | 15 890 | 699 | 16 589 | 3 955 632 | | | | |

6.1.2 Current Building(s) Other comments

As of 2014, the European Commission's Office for Infrastructure and Logistics (OIB) provides REA with additional office space, paid by the Agency via the Service Level Agreement (SLA). This additional space, not covered by the usufruct contract referred to above, accommodates staff increases resulting from REA's extended mandate for the periods 2014-2020 and 2021-2027. As such, the Agency's needs for office space become an integral part of the Commission's middle and long-term real estate planning.

Additional floors rented out to REA, which are under the European Commission's Usufruct contract, are paid by REA via its Service Level Agreement (SLA) with OIB. In 2019, OIB has made available one floor in COV2 previously occupied by DG RTD and redistributed the space among the executive agencies. In 2021, further exchanges of space in the building have been agreed to house the executive agencies EISMEA, ERCEA, REA and HaDEA. As from 2022, the agencies started desk-sharing, meaning a distribution of eight desks for 10 staff to accommodate staff from HaDEA and the growth of the agencies over 2022-2024 period.

6.1.3 Building projects in the planning phase

REA is considered to move to the building SB34 (North Light) in the Northern quarter of Brussels by the end of 2023 or early 2024. The lease contract with the Agency, detailing rental costs nor the repartition key for its building occupation, was not yet available at the time this fiche was prepared.

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|-----------------------------------|------------|-------|-----------------|--|---------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Simon Bolivar 34 | Brussels | | | | | 15 year lease, to be signed in Q1 2023 | SLA OIB | No | |
| TOTAL | | | | | | | | | | |

6.1.4 Building projects submitted to the European Parliament and the Council

The building project linked to the North Light building was transmitted to the Budgetary Authority on 12 May 2023 (Ares(2023)3352515).

6.2 Evaluation

In accordance with the requirements of the Council Regulation (EC) No 58/2003, the Commission launched in July 2022 the evaluation of the agency's performance over the period July 2018 – March 2021, undertaken by an external consultant contracted by DG RTD in its capacity as lead parent Directorate-General of the Agency. The main objective of the study is to assess the effectiveness, efficiency, economy, and coherence of the implementation of parts of European Union programmes by the Agency. It covers the H2020 actions and projects funded during the reference period, and administrative and logistical support services provided by REA to other Commission services. The evaluation report is expected to be finalised in the first quarter of 2023.

2.4 European Research Council Executive Agency (“ERCEA”)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|-------------------------|---|
| Commission decision 2008/37EC of 14/12/2007 | OJ L9 of 12/01/2008 | Creation of the agency managing the Ideas Specific Programme in the framework of the 7th Framework Programme for research |
| Commission implementing decision C(2013)9048 of 17/12/2013 | OJ L346 of 20/12/2013 | Establishing the European Research Council Executive Agency and repealing Decision 2008/37/EC |
| Commission Implementing Decision (EU) C/2021/953 of 12/02/2021 | OJ L 50/9 of 15/02/2021 | Establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU - BUDG - adoptée: 12/02/2021 10:04:39 |
| Commission decision C/2021/950 of 12/02/21 | | COMMISSION DECISION delegating powers to the European Research Council Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of frontier research comprising, in particular, implementation of appropriations entered in the general budget of the Union - BUDG - adoptée: 12/02/2021 10:04:45 |

1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 71 : European Research Council Executive Agency — Contribution from Horizon Europe

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 20 | 19 | 95,00% | 20 | 20 |
| Temporary Agents (recruited by the executive agency) | 111 | 111 | 100,00% | 117 | 116 |
| Total Establishment plan posts | 131 | 130 | 99,24% | 137 | 136 |
| Contract Agents | 370 | 364 | 98,38% | 366 | 361 |
| Seconded National Experts | 16 | 14 | 87,50% | 16 | 16 |
| TOTAL STAFF | 517 | 508 | 98,26% | 519 | 513 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 58 383 160 | 58 954 160 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 1 687 273 | 1 703 775 (1) |
| 3. Participation of candidate countries and/or third countries | 2 258 116 | 3 288 820 |
| TOTAL | 62 328 549 | 63 946 755 |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 51 819 241 | 51 819 241 | 53 155 077 | 53 155 077 |
| Title 2 - Infrastructure and operating expenditure | 9 093 823 | 9 093 823 | 9 576 978 | 9 576 978 |
| Title 3 - Programme support expenditure | 1 415 485 | 1 415 485 | 1 214 700 | 1 214 700 |
| TOTAL EXPENDITURE | 62 328 549 | 62 328 549 | 63 946 755 | 63 946 755 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2024, the executive agency is in the 17th year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|--------------------------|---|--|--|
| H2020-Excellent Science | | | X |
| Horizon Europe | | X | |
| | | | |

2.2 Operational appropriations managed

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 4 194 711 466 | p.m. | 3 147 625 008 | p.m. | 2 149 087 945 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | | 4 194 711 466 | p.m. | 3 147 625 008 | p.m. | 2 143 460 843 |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 01 01 - European Research Council | | | | | | |
| Operational Budget | 2 112 328 196 | 1 144 555 221 | 2 126 150 713 | 1 494 155 883 | 2 164 231 124 | 1 363 118 896 |
| <i>Of which managed by the executive agency</i> | 2 112 328 196 | 1 144 555 221 | 2 126 150 713 | 1 494 155 883 | 2 153 952 263 | 1 363 118 896 |
| TOTAL operational budget managed by the agency | 2 112 328 196 | 5 339 266 687 | 2 126 150 713 | 4 641 780 891 | 2 153 952 263 | 3 506 579 739 |

3 Human Resources

3.1 Staffing when created extended

Source:

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---|------------|------------|------------|------------|------------|------------|------------|
| Temporary Agents (Officials seconded by the Commission) | 20 | 20 | 20 | 20 | 20 | 20 | 20 |
| Temporary Agents (recruited by the agency) | 112 | 111 | 109 | 108 | 107 | 107 | 104 |
| Executive Agency's total Establishment Plan Posts | 132 | 131 | 129 | 128 | 127 | 127 | 124 |
| Contract Agents (*) | 374 | 370 | 366 | 361 | 372 | 366 | 364 |
| Seconded National Experts (SNE) | 16 | 16 | 16 | 16 | | | |
| Total staff of the Executive Agency | 522 | 517 | 511 | 505 | 499 | 493 | 488 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | 2023 | 2024 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | | | | |
| AD 14 | 13 | 8 | 12 | 11 |
| AD 13 | 4 | 6 | 2 | 3 |
| AD 12 | 2 | 1 | 2 | 3 |
| AD 11 | 30 | 15 | 30 | 33 |
| AD 10 | 36 | 41 | 33 | 33 |

| Function group and grade | 2022 | | 2023 | 2024 |
|--|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 9 | 9 | 19 | 8 | 13 |
| AD 8 | 14 | 15 | 16 | 14 |
| AD 7 | 23 | 13 | 22 | 14 (2) |
| AD 6 | | 12 | 12 | 12 (1) |
| AD 5 | | | | |
| AD TOTAL | 131 | 130 | 137 | 136 |
| AST 11 | | | | |
| AST 10 | | | | |
| AST 9 | | | | |
| AST 8 | | | | |
| AST 7 | | | | |
| AST 6 | | | | |
| AST 5 | | | | |
| AST 4 | | | | |
| AST 3 | | | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | | | | |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 131 | 130 | 137 | 136 |
| Of which Officials seconded by the commission | 20 | 19 | 20 | 20 |

(1) of which 4 financed from the participation of candidate countries and/or third countries.

(2) Of which 4 financed from the participation of candidate countries and/or third countries.

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 151 | 145 | 160 | 160 |
| Function Group III | 147 | 145 | 146 | 150 |
| Function Group II | 70 | 72 | 60 | 51 |
| Function Group I | 2 | 2 | | |
| TOTAL | 370 | 364 | 366 | 361 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2022 | Recruited as of 31/12/2022 | Foreseen in 2023 | Foreseen 2024 |
|--|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from participation of candidate countries and/or third countries | 9 | 9 | 24 | 24 |

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 16 | 14 | 16 | 16 |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|---|--|-------------------------|---------------------------|---------------------------|
| Heading 7 | 40 | 24 | 3 | 0 |
| Horizon Europe Programme * | 146 | 81 | 10 | 11 |
| Targeted reductions in other areas * | 180 | 36 | 28 | 23 |
| Total** | 366 | 141 | 41 | 34 |

* these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

** the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
|--------------|---|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---|---------|---------|
| | By end 2022 | In 2023 | In 2024 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2023 | Number of posts frozen in 2024 |
|--------------|---|--------------------------------|--------------------------------|
| DG RTD | 20 | 20 | 20 |
| Total | 20 | 20 | 20 |

4 Financial Resources

4.1 Indicative contribution table

| | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|--|--------|--------|--------|--------|--------|--------|--------|
| Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the delegation package adopted on 12 February 2021) | 54,217 | 54,792 | 55,302 | 55,873 | 56,446 | 57,098 | 57,536 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 51,319 | 54,792 | 58,383 | 58,954 | | | |
| Budget after transfers and / or amending budgets (EUR-27) | | | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget: financed from EU-27 and other revenues (EFTA, 3 rd country contributions) | 57,017 | 57,430 | 62,328 | 63,947 | | | |
| Budget after transfers and / or amending budgets: financed from EU-27 and other revenues (EFTA, 3 rd country contributions) | | | | | | | |

4.2 Revenues

| REVENUES | | 2022 | 2023 | 2024 | VAR 2024/2023 (%) |
|---|-----------|--------------------|-------------------|-------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 71 | HORIZONEU | 57 458 483 | 58 383 160 | 58 954 160 | 0.98% |
| Sub Total | | 57 458 483 | 58 383 160 | 58 954 160 | 0.98% |

| | | | | | |
|---|------------------|-------------------|-------------------|-------------------|---------------|
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 71 | HORIZONEU | 1 353 362 | 1 687 273 | 1 703 775 | 0.98% |
| Sub Total | | 1 353 362 | 1 687 273 | 1 703 775 | 0.98% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 71 | HORIZON EU | | 2 258 116 | 3 288 820 | 45.64% |
| Sub Total | | | 2 258 116 | 3 288 820 | 45.64% |
| TOTAL REVENUES | | 58 811 845 | 62 328 549 | 63 946 755 | 2.60% |

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 47 408 179 | 51 819 241 | 53 155 077 | 2,58% |
| Remunerations, Allowances and Charges | 45 491 577 | 49 817 000 | 51 260 000 | 2,90% |
| - <i>Of which establishment plan posts</i> | 19 108 315 | 21 312 000 | 22 090 000 | 3,65% |
| - <i>Of which external personnel</i> | 26 383 262 | 28 505 000 | 29 170 000 | 2,33% |
| Professional Development and Social expenditure | 1 916 602 | 2 002 241 | 1 895 077 | -5,35% |
| Title 2 - Infrastructure and operating expenditure | 10 037 890 | 9 093 823 | 9 576 978 | 5,31% |
| Building expenditure | 7 004 494 | 6 350 670 | 6 801 006 | 7,09% |
| ICT expenditure | 2 810 535 | 2 517 814 | 2 563 722 | 1,82% |
| Movable Property and Current Operating expenditure | 222 861 | 225 339 | 212 250 | -5,81% |
| Title 3 - Programme support expenditure | 1 690 323 | 1 415 485 | 1 214 700 | -14,18% |
| Programme Management expenditure | 1 690 323 | 1 415 485 | 1 214 700 | -14,18% |
| Common Support Services expenditure | | | | |
| TOTAL | 59 136 392 | 62 328 549 | 63 946 755 | 2,60% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|--|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 46 867 828 | 51 819 241 | 53 155 077 | 2,58% |
| Remunerations, Allowances and Charges | 45 277 673 | 49 817 000 | 51 260 000 | 2,90% |
| - <i>Of which establishment plan posts</i> | 19 108 315 | 21 312 000 | 22 090 000 | 3,65% |
| - <i>Of which external personnel</i> | 26 169 358 | 28 505 000 | 29 170 000 | 2,33% |

| | | | | |
|---|-------------------|-------------------|-------------------|----------------|
| Professional Development and Social expenditure | 1 590 155 | 2 002 241 | 1 895 077 | -5,35% |
| Title 2 - Infrastructure and operating expenditure | 8 506 713 | 9 093 823 | 9 576 978 | 5,31% |
| Building expenditure | 5 766 912 | 6 350 670 | 6 801 006 | 7,09% |
| ICT expenditure | 2 593 127 | 2 517 814 | 2 563 722 | 1,82% |
| Movable Property and Current Operating expenditure | 146 674 | 225 339 | 212 250 | -5,81% |
| Title 3 - Programme support expenditure | 1 247 923 | 1 415 485 | 1 214 700 | -14,18% |
| Programme Management expenditure | 1 247 923 | 1 415 485 | 1 214 700 | -14,18% |
| Common Support Services expenditure | | | | |
| TOTAL | 56 622 464 | 62 328 549 | 63 946 755 | 2,60% |

4.4 Outturn

First estimate of the 2022 surplus that should be reimbursed to the budget (as general revenue): EUR 526.725,26

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

By the end of 2024 the ERCEA expects to have 128 temporary agents (TA) out of which 20 seconded TA (TA-S) and 108 external TA (TA-E), 361 contract agents (CA) and 16 Seconded National Experts (SNE). These figures are based on the staffing plan of Horizon Europe in line with the Specific Financial Statement (SFS) accompanying the mandate extension under Horizon Europe.

In relation to table 3.2.1. (Establishment posts), the forecast of grades in 2024 takes into account the situation of actually filled posts at end of 2022 and simulates two reclassification exercises (of 2023 and 2024) based on the potential eligible population reaching the average seniority in the grade, two promotion exercises in the Commission (for seconded officials), as well as staff departures and replacements. The forecasted figures cannot be entirely exact given the nature of the reclassification/promotion exercise (based on merit) and instability of the population (staff turnover). The fact that the SFS is prepared in n-1, consequently, leads to deviations between the forecast of grades and the actual situation at the end of a given year.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

Furthermore, ERCEA foresees the recruitment of in total 32 (8 TAs and 24 CAs) posts by the end of 2023 financed under third country contributions and EFTA credits. Those posts will be maintained in 2024. This figure will need to be adapted following the signature of the still pending association agreements.

5.1.3 Salary assumption for calculating salary lines (% applied)

The salary calculation was made in line with the rates mentioned in the standing instructions for the Draft Budget 2024. Specifically, the following rates are applied:

- 1) +4.4% as of 1 July 2023; then
- 2) +3.4% as of 1 July 2024.

5.1.4 Vacancy rate as of end 2022

The Agency intends to recruit at 100% the E0/R0 staff in order to be able to sustain its activity. Nevertheless, for prudency reasons the budget for this cost is taking at least a 0.56% vacancy rate. The ERCEA intends to recruit its full staff already by the end of 2023 or early 2024. It is to be mentioned that the ERCEA's establishment plan is decreasing but counterbalanced by the recruitment E0/R0 staff. Consequently, although ERCEAs C1 posts are reduced as per the SFS for Horizon Europe, its total staff (including those funded by associated countries) are increasing. In 2024, the ERCEA expects to operate in its full capacity by the year end, given the expected turnover. By the end of 2022 the reported vacancy rate is 1,9%.

5.1.5 Standard abatement('abatement forfaitaire')

The staffing figures are in line with the SFS that accompanied the delegation package adopted on 12 February 2021.

In relation to the budget, the actual needs for Title 1 (T1) are much higher than T1 SFS ceiling and they are not covered even with the additional EFTA amount. The problem is maintained through the Horizon Europe C1 subsidy for every year, and it already became unsustainable as of 2023.

The financial programming for Horizon Europe, sets the total C1 subsidy for ERCEA in 2024 to EUR 57 452 291. As presented in detail in the FIFI 2023, ERCEA's yearly C1 subsidy for Horizon Europe is lacking a considerable amount needed to support the chronic effect of indexation and reclassification exercises. For this reason, ERCEA's C1 subsidy in 2023 was increased by EUR 3.081.160. This amount is still necessary to support the salary payments of the staff already in place and consequently, this amount is also needed to support the staff in place for 2024.

Thus, the total EC contribution for ERCEA in 2024 proposed is EUR 58.954.160.

5.2 Financial Resources

5.2.1 Title 1

The background calculation for DB2024 follows the same pattern as in previous years. The salary forecast for 2024 has been calculated on the basis of salaries paid in January 2023. In addition, the following elements are taken into account:

- Salary indexation and vacancy rate (as mentioned above, see 5.1.3 and 5.1.4)
- 2023 and 2024 reclassification exercises as well as step increase for both 2023 and 2024
- Recruitments in 2023 and 2024
- Travel allowances have been calculated based on 2023 staff in place plus the expected recruitments until June 2024 when the actual payment of the allowances is made.

The expected number of SNEs in 2024 is 16 and the number of FTEs interims is approximately 10. It should be noted that the needs of interims have been considerably decreased in B2024 in view of the anticipated recruitments reaching ERCEAs full staffing population.

Moreover, the budget needs for the Title considered all the recent updates in pricing for the services provided by DG HR and PMO and other. Still, a sizeable level of savings compared to budget 2023 has been made for the expenses related to training, mobility and transportation, and internal meetings.

Furthermore, the increases compared to the budget 2023 only in the salaries for TA and CA staff are the following:

| Expenses | Travel 2024 | Total reclassification 2024 | Total indexation 2024 | New recruitments | Step increase | Total salary |
|-----------------------------------|-------------|-----------------------------|-----------------------|------------------|---------------|---------------------|
| TA | 209,495 | 261,999 | 368,564 | 91,750.92 | 300,000.00 | 1,231,809.19 |
| CA | 403,117 | 240,084 | 445,547 | 258,480.00 | 168,000.00 | 1,515,227.58 |
| 2024 total salary increase | | | | | | 2,747,036.77 |

The nominal increase between budget 2023 and draft budget 2024 proposed for those categories is EUR 2,118,000. Thus, a considerable level of prudence has been taken in the draft budget 2024 in view as well of a small vacancy rate expected as presented above.

In addition, the total staff in place at the end of 2022 was 516, the expected staff in place at the end of 2023 including a vacancy rate of 2,21% will be 530 and the expected total staff for 2024 will be at least 533. Consequently, although ERCEAs C1 posts are reduced as per the SFS for Horizon Europe, its total staff (including those funded by associated countries) are increasing.

Therefore, the necessary expenditure of Title 1 is estimated at EUR 53.2 Mio enabling ERCEA to maintain the staff employed and being in line with those assigned for Horizon Europe. The calculation of both salary cost and other related

expenditure in the title (costs for relevant SLAs, trainings and other) has been done with considerable prudence, generating savings when compared to budget 2023, to absorb as much as possible the increase in salaries costs due to indexation, in the areas of training, social services, interimaire and internal meetings.

5.2.2 Title 2

Title 2 (T2) is increased by EUR 483 155 compared to budget 2023. Looking at the execution of the T2 in 2022 of EUR 10.037.891 compared to the proposed budget of T2 in 2024 of EUR 9.576.978, it is understood that ERCEA has put considerable efforts in creating savings.

As it is known, the main expenditure under this title relates to the building rent and related expenses under SLA OIB. In addition, other fixed costs under the SLAs for HR Security, IT Sysper, plus the IT needs, most of which are covered and paid through the SLA with DIGIT are enclosed under this title. Those costs are increased in line with the staff increase and in line with the indexation applied, plus the market increases (for example, the high increase of more than 50% of energy costs under the SLA OIB). Thus, the possibility of extra savings under this title is impossible as already the budget as presented in DB2024 is very tight.

As every year, an update of the SLAs increases is presented in the FIFI to point out the constant and sometimes unexpected increases of the SLAs. Below is the recent situation of the yearly SLA amounts consumed and the budgeted expenditure (for 2023 and 2024) based on pre-information notices and expenditure paid.

| | Paid | | | | | | Allocations | |
|-----------------------|--------------|---------------|---------------|----------------|---------------|---------------|---------------|--------------|
| | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 |
| SLA HR (including DS) | 699,287.07 | 961,006.03 | 933,819.74 | 1,064,480.68 | 990,392.74 | 1,013,409.00 | 1,042,735.00 | 1,074,253.00 |
| SLA OIB | 1,692,533.69 | 2,240,281.11 | 2,573,846.64 | 2,292,705.60 | 2,180,862.00 | 3,087,212.00 | 2,866,690.00 | 2,951,948.00 |
| SLA PMO | 346,661.89 | 359,610.00 | 328,500.00 | 366,381.10 | 368,340.00 | 350,801.00 | 365,550.00 | 378,353.00 |
| SLA DIGIT | 981,690.25 | 989,560.25 | 1,015,816.86 | 1,281,233.80 | 1,562,234.00 | 1,720,293.00 | 1,760,000.00 | 1,850,000.00 |
| Amount increase HR | | 261,718.96 | -27,186.29 | 130,660.94 | -74,087.94 | 23,016.26 | 29,326.00 | 31,518.00 |
| % HR | | 37.43% | -2.83% | 13.99% | -6.96% | 2.32% | 2.89% | 3.02% |
| Amount increase OIB | | 547,747.42 | 333,565.53 | -281,141.04 | -111,843.60 | 906,350.00 | -220,522.00 | 85,258.00 |
| % OIB | | 32.36% | 14.89% | -10.92% | -4.88% | 41.56% | -7.14% | 2.97% |
| Amount increase PMO | | 12,948.11 | -31,110.00 | 37,881.10 | 1958.9 | -17539 | 14749 | 12803 |
| % PMO | | 3.74% | -8.65% | 11.53% | 0.53% | -4.76% | 4.20% | 3.50% |
| Amount increase DIGIT | | 7,870.00 | 26,256.61 | 265,416.94 | 281,000.20 | 158,059.00 | 39,707.00 | 90,000.00 |
| % DIGIT | | 0.80% | 2.65% | 26.13% | 21.93% | 10.12% | 2.31% | 5.11% |

5.2.3 Title 3

For this title, the budget foreseen has been calculated with high prudence and the intention to create savings in line with the greening policy of the Commission.

As noticed in the following table when compared to the execution of 2022, a reduction of 16% is applied in 2023 and an additional reduction of 14% is applied for 2024 proposed budget.

| Title III - PROGRAMME SUPPORT EXPENDITURE | | 2021 | 2022 | 2023 | 2024 |
|--|--|---------------------|---------------------|---------------------|---------------------|
| Chapter 31 - Programme Management expenditure | | 1,419,417.02 | 1,690,323.30 | 1,415,485.00 | 1,214,700.00 |
| 3111 | Experts, studies, representation and external meeting expenses | 773.27 | 120,783.76 | 173,500.00 | 180,000.00 |
| 3121 | Missions and related costs | 7,520.00 | 160,234.36 | 233,285.00 | 184,000.00 |
| 3141 | Expenses of Information, Publications and Communication | 294,481.87 | 203,801.29 | 340,500.00 | 287,500.00 |
| 3151 | Expenses of translation | 4,968.00 | 6,090.00 | 18,200.00 | 13,200.00 |
| 3171 | Operational related IT costs | 1,111,673.88 | 1,199,413.89 | 650,000.00 | 550,000.00 |
| TOTAL TITLE 3 | | 1,419,417.02 | 1,690,323.30 | 1,415,485.00 | 1,214,700.00 |

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---|----------------------------------|--------------|---------------|------------------|----------|-------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Covent Garden (COV2) | Place Rogier, 16 BE – 1210 ST JOSSE-TEN-NOODE | 14 028 | 1 298 | 15 326 | 3 330 788 | 15 years | Usufruct contract | No | |
| TOTAL | | | 14 028 | 1 298 | 15 326 | 3 330 788 | | | | |

6.1.2 Current Building(s) Other comments

2023 is the 15th and last year of ERCEA's current usufruct contract, the last rent was paid in September 2022 and was covering the period 24-09-2022 to 23-09-2023.

As there is currently no certainty on whether the usufruct will be extended, we might need to move the premises of ERCEA but for the time being the final location is not yet known.

6.1.3 Building projects in the planning phase

There is no final decision on the move of ERCEAs premises from its current COVE2 building.

6.1.4 Building projects submitted to the European Parliament and the Council

6.2 Evaluation

The Council Regulation laying down the statute for Executive Agencies requires an external evaluation of the operation of each executive agency every three years.

- ERCEA Evaluation covering the period from 16 July 2018 – 31 March 2021

On the 12th of October 2021, DG R&I launched the consultation on the tender specifications to select the contractor in charge of the evaluation of the triennial evaluation of all six Executive Agencies under one common procurement procedure. The evaluation started in April 2022 and should be completed in the first half of 2023.

The final draft report for ERCEA's triennial evaluation is currently under review. The draft conclusions confirm the coherence in ERCEA's tasks and effective and efficient programme delivery. "The agency meets its objectives and KPIs and reports sufficiently" and "carries out its tasks in coherence with its legal framework." Among the challenges observed are the high pressure and workload on staff in the reference period which was compensated by the "quality and engagement" as well as "competence and dedication" of the agency staff who proved high resilience and adaptability during the Covid-19 pandemic. The parent DG, ERC Scientific Council, experts, principal investigators and host institutions relate the quality for analyses and inputs as well as the highly valued service to the stakeholders back to the agency's employees.

2.5 European Innovation Council and SMEs Executive Agency (“EISMEA”)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|-------------------------|---|
| COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 | OJ L 50/9 of 15/02/2021 | establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU |
| COMMISSION DECISION C(2021)949 of 12 February 2021 | | delegating powers to the European Innovation Council and SMEs Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of Innovative Europe, Single Market and Interregional Innovation Investments comprising, in particular, implementation of appropriations entered in the general budget of the Union |

1.2 Seat

Brussels

1.3 Budget Line

01 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from Horizon Europe

03 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from the Single Market Programme

05 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from interregional innovation investments

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 38 | | 0,00% | 38 | 37 |
| Temporary Agents (recruited by the executive agency) | 83 | 110 | 132,53% | 82 | 69 |
| Total Establishment plan posts | 121 | 110 | 90,91% | 120 | 106 |
| Contract Agents | 268 | 238 | 88,81% | 261 | 251 |
| Seconded National Experts | 2 | 2 | 100,00% | 2 | 2 |
| TOTAL STAFF | 391 | 350 | 89,51% | 383 | 359 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 43 843 794 | 43 295 072 |

| | | |
|---|-------------------|-------------------|
| 2. EEA/EFTA contribution (excl.Switzerland) | 1 409 849 | 1 333 110 (1) |
| 3. Participation of candidate countries and/or third countries | 1 480 759 | 2 112 990 |
| 4. NGEU | 5 967 820 | 3 993 310 |
| TOTAL | 52 702 222 | 50 734 482 |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 42 391 710 | 42 391 710 | 41 141 607 | 41 141 607 |
| Title 2 - Infrastructure and operating expenditure | 7 113 489 | 7 113 489 | 6 974 410 | 6 974 410 |
| Title 3 - Programme support expenditure | 3 197 023 | 3 197 023 | 2 618 465 | 2 618 465 |
| TOTAL EXPENDITURE | 52 702 222 | 52 702 222 | 50 734 482 | 50 734 482 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2024, the executive agency is in the 4th year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|--|---|--|--|
| Horizon Europe, Pillar III: | | | |
| European Innovation Council (EIC) | | X | |
| European Innovation Ecosystems (EIE) | | X | |
| Single Market Programme: | | | |
| Consumers | | X | |
| COSME pillar | | X | |
| Internal Market and support to Standardisation | | X | |
| European Regional Development Fund | | | |
| Interregional Innovation Investments | | X | |
| Legacy of: | | | |
| Horizon 2020 – FET | | | X |
| Horizon 2020 – Access to Risk Finance and Innovation in SMEs | | | X |
| Horizon 2020 – FTI | | | X |
| Horizon 2020 – SME Instrument | | | X |
| COSME Programme | | | X |
| Parts of the Internal Market and support to Standardisation activities | | | X |
| Consumers Programme | | | X |

2.2 Operational appropriations managed

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Consumer Programme | | | | | | |
| 03 02 99 03 - Completion of previous activities and programmes in the field of consumers (prior to 2021) | | | | | | |
| Operational Budget | 0 | 9 865 158 | p.m. | 5 300 000 | p.m. | 7 495 |
| <i>Of which managed by the executive agency</i> | | 7 058 532 | | 2 718 686 | | |
| Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) | | | | | | |
| 03 02 99 01 - Completion of previous programmes in the field of small and medium-sized enterprises, including the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (prior to 2021) | | | | | | |
| Operational Budget | 0 | 56 045 970 | p.m. | 26 100 000 | p.m. | 15 210 000 |
| <i>Of which managed by the executive agency</i> | | 52 861 629 | | 26 013 916 | | |
| Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) - Financial instruments | | | | | | |
| 02 02 99 01 - Completion of previous programmes in the field of small and medium-sized enterprises, including the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (prior to 2021) — Financial instruments | | | | | | |
| Operational Budget | 0 | 154 300 000 | p.m. | 107 681 000 | p.m. | 47 800 000 |
| <i>Of which managed by the executive agency</i> | | 175 000 | | 56 973 196 | | |
| Completion of European statistical programme (ESP) | | | | | | |
| 03 02 99 04 - Completion of previous programmes related to standardisation, financial reporting and services, auditing and statistics (prior to 2021) | | | | | | |
| Operational Budget | 0 | 38 220 444 | p.m. | 30 502 300 | p.m. | 4 700 000 |
| <i>Of which managed by the executive agency</i> | | 4 243 452 | | 4 896 851 | | |
| Completion of Food and Feed | | | | | | |
| Completion of European statistical programme (ESP) | | | | | | |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| 03 02 99 04 - Completion of previous programmes related to standardisation, financial reporting and services, auditing and statistics (prior to 2021) | | | | | | |
| Operational Budget | 0 | 38 220 444 | p.m. | 30 502 300 | p.m. | 4 700 000 |
| <i>Of which managed by the executive agency</i> | | 4 243 452 | | 4 896 851 | | |
| Completion of Specific activities in the field of financial reporting and auditing | | | | | | |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 4 194 711 466 | p.m. | 3 147 625 008 | p.m. | 2 149 087 945 |
| <i>Of which managed by the executive agency</i> | | 283 817 320 | | 138 617 434 | | |
| Completion of European statistical programme (ESP) | | | | | | |
| 03 02 99 04 - Completion of previous programmes related to standardisation, financial reporting and services, auditing and statistics (prior to 2021) | | | | | | |
| Operational Budget | 0 | 38 220 444 | p.m. | 30 502 300 | p.m. | 4 700 000 |
| <i>Of which managed by the executive agency</i> | | 4 243 452 | | 4 896 851 | | |
| Completion of Enhancing consumers involvement in EU policy making in the field of financial services | | | | | | |
| European Regional Development Fund (ERDF) | | | | | | |
| 05 02 01 - ERDF — Operational expenditure | | | | | | |
| Operational Budget | 36 128 385 283 | 3 455 034 850 | 38 086 018 122 | 3 806 386 073 | 39 103 290 151 | 5 162 042 041 |
| <i>Of which managed by the executive agency</i> | 77 316 583 | 49 177 915 | | 54 121 608 | | |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 03 01 - European Innovation Council | | | | | | |
| Operational Budget | 1 140 758 925 | 680 426 378 | 1 159 787 387 | 688 834 000 | 1 166 817 277 | 844 837 697 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 1 140 758 925 | 680 426 378 | 1 159 787 387 (1) | 688 834 000 (2) | 1 173 536 757 | |
| 01 02 03 02 - European innovation ecosystems | | | | | | |
| Operational Budget | 73 351 477 | 23 759 617 | 67 631 453 | 44 955 069 | 84 132 515 | 65 066 252 |
| <i>Of which managed by the executive agency</i> | 73 351 477 | 23 759 617 | 67 331 453 | 44 955 069 | 62 630 327 | |
| Single Market Programme, including COSME, ISA2, ESP, consumer involvement in fin. Services, financial reporting, Health, Food and Feed, consumer programme | | | | | | |
| 03 02 01 07 - Market surveillance | | | | | | |
| Operational Budget | 14 208 000 | 4 439 010 | 14 489 000 | 10 850 000 | 14 779 000 | 11 400 000 |
| <i>Of which managed by the executive agency</i> | 7 478 912 | 1 872 022 | 14 489 000 | 3 110 000 | 9 733 000 | |
| 03 02 02 - Improving the competitiveness of enterprises, particularly SMEs, and supporting their access to markets | | | | | | |
| Operational Budget | 154 848 000 | 76 715 161 | 136 384 000 | 137 069 500 | 128 361 000 | 125 000 000 |
| <i>Of which managed by the executive agency</i> | 140 449 612 | 69 485 782 | 117 406 000 | 115 517 874 | 118 092 120 | |
| 03 02 03 01 - European standardisation | | | | | | |
| Operational Budget | 21 675 910 | 16 814 085 | 23 567 000 | 19 000 000 | 22 616 000 | 22 400 000 |
| <i>Of which managed by the executive agency</i> | 16 752 371 | 12 764 493 | 16 702 000 | 12 517 272 | 15 895 000 | |
| 03 02 04 01 - Ensuring high level of consumer protection and product safety | | | | | | |
| Operational Budget | 24 060 000 | 10 937 953 | 23 648 000 | 17 187 513 | 24 048 000 | 22 470 831 |
| <i>Of which managed by the executive agency</i> | 16 840 476 | 8 194 986 | | | 19 480 000 | |
| TOTAL operational budget managed by the agency | 1 472 948 356 | 1 202 324 030 | 1 375 715 840 | 1 158 069 608 | 1 399 367 204 | |

(1) This does not include the credits related to NGEU of EUR 449 844 224.

(2) This does not include the credits related to NGEU of EUR 307 002 828.

3 Human Resources

3.1 Staffing when created extended

Source: Specific Financial Statement for European Innovation council and Small and Medium-sized enterprises Executive Agency (including staff financed on NGEU)

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---|------|------|------|------|------|------|------|
| Temporary Agents (Officials seconded by the Commission) | 38 | 38 | 38 | 38 | 38 | 38 | 38 |

| | | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Temporary Agents (recruited by the agency) | 80 | 83 | 78 | 74 | 67 | 62 | 56 |
| Executive Agency's total Establishment Plan Posts (*) | 118 | 121 | 116 | 112 | 105 | 100 | 94 |
| Contract Agents (*) | 296,7 | 302,1 | 291,8 | 281,3 | 264,4 | 250,7 | 237,1 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 414,7 | 422,8 | 408,2 | 393,5 | 369,5 | 350,2 | 331,0 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | 2023 | 2024 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | 1 | | 1 | 1 |
| AD 14 | 8 | 4 | 8 | 8 |
| AD 13 | 7 | 8 | 7 | 7 |
| AD 12 | 19 | 9 | 19 | 18 |
| AD 11 | 12 | 12 | 12 | 12 |
| AD 10 | 9 | 10 | 9 | 9 |
| AD 9 | 9 | 7 | 10 | 10 (1) |
| AD 8 | 11 | 3 | 10 | 10 |
| AD 7 | 20 | 16 | 19 | 19 (2) |
| AD 6 | 5 | 16 | 8 | 1 |
| AD 5 | 9 | 14 | 5 | |
| AD TOTAL | 110 | 99 | 108 | 95 |
| AST 11 | | | | |
| AST 10 | | | | |
| AST 9 | 1 | 1 | 1 | 1 |
| AST 8 | | | | |
| AST 7 | | | | |
| AST 6 | 3 | 1 | 3 | 3 |
| AST 5 | 2 | 2 | 3 | 3 |
| AST 4 | 2 | 2 | 2 | 2 |
| AST 3 | | 3 | 1 | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 8 | 9 | 10 | 9 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | 1 | | | |

| Function group and grade | 2022 | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AST/SC 3 | 1 | | 1 | 1 |
| AST/SC 2 | 1 | 2 | 1 | 1 |
| AST/SC 1 | | | | |
| AST/SC TOTAL | 3 | 2 | 2 | 2 |
| TOTAL | 121 | 110 | 120 | 106 |
| Of which Officials seconded by the commission | 38 | | 38 | 37 |

(1) of which 2 financed from the participation of candidate countries and/or third countries.

(2) of which 2 financed from the participation of candidate countries and/or third countries.

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 136 | 109 | 132 | 130 |
| Function Group III | 105 | 101 | 102 | 95 |
| Function Group II | 26 | 27 | 26 | 25 |
| Function Group I | 1 | 1 | 1 | 1 |
| TOTAL | 268 | 238 | 261 | 251 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2022 | Recruited as of 31/12/2022 | Foreseen in 2023 | Foreseen 2024 |
|---|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from NGEU | 32 | 32 | 29 | 26 |
| Financed from participation of candidate countries and/or third countries | 5 | 6 | 15 (1) | 15 |

(1) Out of which 2 FTEs from EFTA contribution

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 2 | 2 | 2 |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary

procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|--------------------------------------|--|-------------------------|---------------------------|---------------------------|
| Heading 7 | 40 | 24 | 3 | 0 |
| Horizon Europe Programme * | 146 | 81 | 10 | 11 |
| Targeted reductions in other areas * | 180 | 36 | 28 | 23 |
| Total** | 366 | 141 | 41 | 34 |

*these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

**the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
|--------------|---|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---|---------|---------|
| | By end 2022 | In 2023 | In 2024 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2023 | Number of posts frozen in 2024 |
|-----------|---|--------------------------------|--------------------------------|
| DG RTD | 24 | 24 | 23 |

| | | | |
|--------------|-----------|-----------|-----------|
| DG REGIO | 2 | 2 | 2 |
| DG GROW | 7 | 7 | 7 |
| DG CNECT | 4 | 4 | 4 |
| DG JUST | 1 | 1 | 1 |
| Total | 38 | 38 | 37 |

4 Financial Resources

4.1 Indicative contribution table

| | | | | | | | |
|---|------------|------------|------------|------------|------------|------------|------------|
| Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate – NGEU excluded) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
| | 43 633 000 | 43 499 000 | 43 274 000 | 43 052 000 | 42 761 000 | 42 482 000 | 42 190 000 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 43 633 000 | 43 499 000 | 43 843 247 | 43 295 072 | | | |
| Budget after transfers and / or amending budgets (EUR-27) | | | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27) | 43 633 000 | 43 499 000 | 43 843 247 | 43 295 072 | | | |
| Budget after transfers and / or amending budgets (EUR-27) | | | | | | | |

4.2 Revenues

| REVENUES | | 2022 | 2023 | 2024 | VAR 2024/2023 (%) |
|---|-----------|--------------------|-------------------|----------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | 26 904 864 | 30 372 955 | 29 682 072 | -2,27% |
| 03 01 01 76 | SINGLEMKT | 10 847 685 | 12 273 076 | 12 283 000 | 0,08% |
| 05 01 01 76 | ERDF_21 | 921 455 | 1 197 763 | 1 330 000 | 11,04% |
| Sub Total | | 38 674 005 | 43 843 794 | 43 295 072 | -1,25% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | 894 931 | 1 050 248 | 973 218 | -7,33% |
| 03 01 01 76 | SINGLEMKT | 310 437 | 359 601 | 359 892 | 0,08% |
| Sub Total | | 1 205 368 | 1 409 849 | 1 333 110 (1) | -5,44% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | 0 | 1 480 759 | 2 112 990 | 42,69% |
| Sub Total | | 0 | 1 480 759 | 2 112 990 | 42,69% |
| 4. NGEU | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | 5 865 421 | 5 967 820 | 3 993 310 | -33,09% |

| | | | | |
|-----------------------|-------------------|-------------------|-------------------|----------------|
| Sub Total | 5 865 421 | 5 967 820 | 3 993 310 | -33.09% |
| TOTAL REVENUES | 45 744 794 | 52 702 222 | 50 734 482 | -3.73% |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “2024” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 37 451 102 | 42 391 710 | 41 141 607 | -2,95% |
| Remunerations, Allowances and Charges | 35 340 572 | 40 155 065 | 38 853 816 | -3,24% |
| - <i>Of which establishment plan posts</i> | <i>14 501 882</i> | <i>17 613 000</i> | <i>16 141 168</i> | <i>-8,36%</i> |
| - <i>Of which external personnel</i> | <i>20 838 690</i> | <i>22 542 065</i> | <i>22 712 648</i> | <i>0,76%</i> |
| Professional Development and Social expenditure | 2 110 530 | 2 236 645 | 2 287 791 | 2,29% |
| Title 2 - Infrastructure and operating expenditure | 6 266 500 | 7 113 489 | 6 974 410 | -1,96% |
| Building expenditure | 4 166 000 | 4 613 679 | 4 484 000 | -2,81% |
| ICT expenditure | 1 950 000 | 2 289 690 | 2 325 000 | 1,54% |
| Movable Property and Current Operating expenditure | 150 500 | 210 120 | 165 410 | -21,28% |
| Title 3 - Programme support expenditure | 2 027 192 | 3 197 023 | 2 618 465 | -18,10% |
| Programme Management expenditure | 2 027 192 | 3 197 023 | 2 618 465 | -18,10% |
| Common Support Services expenditure | | | | |
| TOTAL | 45 744 794 | 52 702 222 | 50 734 482 | -3,73% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 37 451 102 | 42 391 710 | 41 141 607 | -2,95% |
| Remunerations, Allowances and Charges | 35 340 572 | 40 155 065 | 38 853 816 | -3,24% |
| - <i>Of which establishment plan posts</i> | <i>14 501 882</i> | <i>17 613 000</i> | <i>16 141 168</i> | <i>-8,36%</i> |
| - <i>Of which external personnel</i> | <i>20 838 690</i> | <i>22 542 065</i> | <i>22 712 648</i> | <i>0,76%</i> |
| Professional Development and Social expenditure | 2 110 530 | 2 236 645 | 2 287 791 | 2,29% |
| Title 2 - Infrastructure and operating expenditure | 6 266 500 | 7 113 489 | 6 974 410 | -1,96% |
| Building expenditure | 4 166 000 | 4 613 679 | 4 484 000 | -2,81% |
| ICT expenditure | 1 950 000 | 2 289 690 | 2 325 000 | 1,54% |
| Movable Property and Current Operating expenditure | 150 500 | 210 120 | 165 410 | -21,28% |
| Title 3 - Programme support expenditure | 2 027 192 | 3 197 023 | 2 618 465 | -18,10% |
| Programme Management expenditure | 2 027 192 | 3 197 023 | 2 618 465 | -18,10% |
| Common Support Services expenditure | | | | |
| TOTAL | 45 744 794 | 52 702 222 | 50 734 482 | -3,73% |

4.4 Outturn

First estimate of the 2023 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1 474 854

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

In line with the Specific Financial Statement, the European Innovation Council and Small and Medium-sized Enterprises Executive Agency (EISMEA) should count a total of 411 FTEs in 2024: 116 Temporary Agents (of which 38 are seconded officials), 293 Contract Agents and 2 Seconded National Experts.

Following the reallocation of tasks from EISMEA to the Commission as a result of the implementation of the common action plan on the IAS audit on the design and early implementation of the EIC, 13 FTEs (10 TA posts and 3 CA positions) will be transferred to the Commission. Therefore, EISMEA will count a total of 400 FTE in 2024: 106 Temporary Agents (of which 37 are seconded officials), including 4 R0 positions, 292 Contract Agents and 2 Seconded National Experts. These figures include the Contract Agents financed from the EU budget contribution and from external revenues.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

In line with the table 3.2.3 and as foreseen in the EISMEA SFS (page 41), the number of contracts agents financed in 2024 from EFTA and Third countries is 15.

5.1.3 Salary assumption for calculating salary lines (% applied)

The calculation method applied to estimate the remunerations, allowances and charges is based on staffing levels as foreseen in the EISMEA Specific Financial Statement on the following assumptions: (i) use of the real expenditures for staff members already employed in EISMEA and calculation of an average cost for all incoming staff members ; (ii) consider the impact of reclassification exercises 2023 & 2024; (iii) apply yearly index rate of 4,4% for 2023 and 3,4% for 2024 as from July; (v) foresee a vacancy rate of 4%, the impact of the parental leaves, part-time work and unpaid leaves based on historical behaviours.

5.1.4 Vacancy rate as of end 2022

The reported vacancy rate by the end of 2022 was 11,01%.

5.1.5 Standard abatement('abatement forfaitaire')

5.2 Financial Resources

5.2.1 Title 1 Compared to 2023, there is a decrease in the budget for Title 1 of EUR 1 250 103 (-2.95%).

In Chapter 11, the remunerations, allowances & charges have been calculated based on the staff breakdown as foreseen in the Agency's SFS and the reallocation of staff to Commission. Compared to the Budget 2023, the amount is decreased by EUR 1 301 249 (-3,24%). This decrease is mostly linked to the reduction of 15 positions as foreseen at the SFS, the repatriation of 13 FTE to Commission and a vacancy rate of 4%.

Professional Development and Social expenditure is increased by EUR 51.146 (+2,3%) due as a provision for further inflation in 2024.

5.2.2 Title 2

Compared to 2023, there is a decrease in the budget for Title 2 by 2% (- EUR 139 079), summarised as follows:

Building expenditure is decreased by EUR 129.679 (-2,8%) and is mainly related to the staff reduction that affects the rented space, while taking into consideration the expected indexation of the services provided by the Commission services.

ICT expenditure is increased by EUR 35.310 (+1,5%) due to a provision of installing portable equipment and its maintenance for Hybrid meeting rooms by DG SCIC.

Movable Property and Current Operating expenditure is decreased also by EUR 44.710 (-21,3%).

5.2.3 Title 3

Compared to 2023, the budget for Title 3 decreases by 18,1% (- EUR 578.558) to cover the following items of Chapter 31:

Experts and external meetings: to face the needs related to physical reception of experts for certain programs (and in particular for the EIC) based on the assumption of a normal situation after the pandemic.

Missions: compared to 2023, the budget is slightly decreased based on the assumption of a normal situation after the pandemic and on the specific needs of the different programmes and projects managed (such as high number of travels outside EU borders for the EIC).

External audits: to cover ex-post audits linked to COSME Legacy projects.

Communication activities for all the Delegated Programmes to the Agency: the budget is slightly decreased (-EUR 68.909).

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------------------------------|----------------------------------|------------|------------|------------------|----------|--|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Covent Garden (COV2) | Place Rogier 16 1210 Brussels | 6 | 124 | 130 | 1 290 000 | 15 years | Usufruct convention from 30/06/2010 until 29/06/2025 | NO | |
| TOTAL | | | 6 | 124 | 130 | 1 290 000 | | | | |

6.1.2 Current Building(s) Other comments

In addition, as of the moment this financial statement is prepared, EISMEA uses additional floors in COV2 that falls under the European Commission's Usufruct and EISMEA pays back its contribution via its Service Level Agreement (SLA) with OIB.

6.1.3 Building projects in the planning phase

While a move was initially planned to happen only in 2025 when EISMEA's current usufruct contract expires, the Director of EISMEA, in agreement with the Steering Committee, has signed a "Letter of intent" with OIB to move to the new North Light building, already in the fall of 2023.

6.1.4 Building projects submitted to the European Parliament and the Council

The building project linked to the North Light building was transmitted to the Budgetary Authority on 12 May 2023 (Ares(2023)3352515).

6.2 Evaluation

Not applicable yet

2.6 European Education and Culture Executive Agency (“EACEA”)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|-------------------------|---|
| <p>COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU</p> | <p>12 February 2021</p> | <p>The European Education and Culture Executive Agency is established from 1 April 2021 until 31 December 2028. The European Education and Culture Executive Agency shall be entrusted with the implementation of the following (parts of) Union programmes:</p> <p>Creative Europe;</p> <p>Erasmus;</p> <p>European Solidarity Corps;</p> <p>Citizens, Equality, Rights and Values;</p> <p>Neighbourhood, development and international cooperation instrument (NDICI);</p> <p>Instrument for pre-accession assistance (IPA III).</p> <p>As well as with the implementation of the legacy of the following (parts of) Union programmes:</p> <p>Creative Europe</p> <p>Erasmus+</p> <p>European Solidarity Corps</p> <p>Europe for Citizens</p> <p>EU Aid Volunteers</p> <p>the Instrument for Pre-accession Assistance (IPA II)</p> <p>the European Neighbourhood Instrument</p> <p>the Instrument for Development Cooperation</p> <p>the Partnership Instrument for cooperation with third</p> <p>the 10th and 11th European Development Funds</p> <p>the following programmes which were previously entrusted to the Education, Audio-visual and Culture Executive Agency in the period 2000-2013: the programme of cooperation with the United States of America in the field of higher education and vocational education and training (2006-2013); (ii) the agreement between the European Community and the Government of Canada establishing a cooperation framework in the fields of higher education, training and youth (2006-2013); (iii) the action programme in the field of lifelong learning (2007-2013); (iv) the ‘Culture’ programme (2007-2013); (v) the ‘Europe for Citizens’ programme to promote active European citizenship (2007-2013); (vi) the ‘Youth in Action’ programme (2007-2013); (vii) the support programme for the European audiovisual sector (MEDIA 2007) (2007-2013); (viii) the Erasmus Mundus (II) action programme 2009-2013 for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation</p> |

| | | |
|--|--|--|
| | | with third countries; (ix) the audiovisual cooperation programme with professionals from third countries (MEDIA Mundus) (2011- 2013); (x) projects in the field of higher education eligible for funding under the provisions on aid for economic cooperation with the developing countries in Asia ; (xi) projects in the fields of higher education and youth eligible for funding under the provisions of the Instrument for Pre-Accession Assistance (IPA); xii) projects in the field of primary, secondary and higher education and youth eligible for funding under the provisions of the European Neighbourhood and Partnership Instrument; (xiii) projects in the field of higher education eligible for funding under the provisions of the financing instrument for development cooperation ; (xiv) projects in the field of higher education and youth eligible for funding under the provisions of the financing instrument for cooperation with industrialised and other high-income countries and territories |
|--|--|--|

1.2 Seat

Brussels

1.3 Budget Line

07 01 02 75 : European Education and Culture Executive Agency — Contribution from Erasmus+

07 01 03 75 : European Education and Culture Executive Agency — Contribution from the European Solidarity Corps

07 01 04 75 : European Education and Culture Executive Agency — Contribution from Creative Europe

07 01 05 75 : European Education and Culture Executive Agency — Contribution from Citizens, Equality, Rights and Values

14 01 01 75 : European Education and Culture Executive Agency — Contribution from the Neighbourhood, Development and International Cooperation Instrument — Global Europe

15 01 01 75 : European Education and Culture Executive Agency — Contribution from IPA

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 34 | | 0,00% | 34 | 34 |
| Temporary Agents (recruited by the executive agency) | 92 | 121 | 131,52% | 101 | 107 |
| Total Establishment plan posts | 126 | 121 | 96,03% | 135 | 141 |
| Contract Agents | 379 | 370 | 97,63% | 395 | 411 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 505 | 491 | 97,23% | 530 | 552 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 63 248 736 | 67 135 749 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 1 366 742 | 1 690 709 (1) |
| 3. Participation of candidate countries and/or third countries | 1 958 360 | 3 307 837 |
| TOTAL | 66 573 838 | 72 134 295 |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the "2024" columns in the "Revenue" and "Expenditure" tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023.

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 52 589 414 | 52 589 414 | 58 589 895 | 58 589 895 |
| Title 2 - Infrastructure and operating expenditure | 9 404 424 | 9 404 424 | 9 139 400 | 8 889 400 |
| Title 3 - Programme support expenditure | 4 695 000 | 4 695 000 | 4 405 000 | 4 655 000 |
| TOTAL EXPENDITURE | 66 688 838 | 66 688 838 | 72 134 295 | 72 134 295 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2024, the executive agency is in the 19th year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|---|---|--|--|
| Erasmus+ | | x | |
| Creative Europe | | x | |
| European Solidarity Corps | | x | |
| Citizens, Equality, Rights and Values | | x | |
| Neighbourhood, Development and International Cooperation Instrument (NDICI) | | x | |
| Instrument for Pre-accession assistance (IPA III) | | x | |
| Erasmus+ | | | x |
| Creative Europe | | | x |
| European Solidarity Corps | | | x |
| Europe for Citizens | | | x |
| EU Aid Volunteers | | | x |
| European Neighbourhood Instrument (ENI) | | | x |
| Development Cooperation Instrument (DCI) | | | x |
| Partnership Instrument (PI) | | | x |

| | | | |
|--|--|---|---|
| Instrument for Pre-Accession Assistance (IPA II) | | | x |
| Pan-African Programme | | x | x |

2.2 Operational appropriations managed

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Creative Europe Programme | | | | | | |
| 07 05 99 01 - Completion of previous actions and programmes related to media, culture and language (prior to 2021) | | | | | | |
| Operational Budget | 0 | 69 518 622 | p.m. | 31 508 113 | p.m. | 12 130 834 |
| <i>Of which managed by the executive agency</i> | | 65 755 072 | | 16 105 397 | | 8 673 439 |
| Completion of Development Cooperation Instrument (DCI) | | | | | | |
| 14 02 99 02 - Completion of previous development cooperation instruments (prior to 2021) | | | | | | |
| Operational Budget | 0 | 1 731 921 983 | p.m. | 1 307 466 000 | p.m. | 898 787 693 |
| <i>Of which managed by the executive agency</i> | | 29 592 805 | | 41 127 240 | | 22 543 276 |
| Completion of the Union Programme for Education, Training, Youth and Sport (Erasmus+) | | | | | | |
| 07 03 99 01 - Completion of previous Erasmus programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 270 747 187 | p.m. | 142 626 000 | p.m. | 95 150 000 |
| <i>Of which managed by the executive agency</i> | | 181 232 606 | | 110 996 692 | | 86 801 901 |
| Completion of European Neighbourhood Instrument (ENI) | | | | | | |
| 14 02 99 01 - Completion of previous actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2021) | | | | | | |
| Operational Budget | 0 | 1 499 296 155 | p.m. | 1 200 600 779 | p.m. | 661 668 799 |
| <i>Of which managed by the executive agency</i> | | 12 402 609 | | 22 088 645 | | 8 906 949 |
| Completion of European Solidarity Corps (ESC) | | | | | | |
| 07 04 99 01 - Completion of the European Solidarity Corps (2018 to 2020) | | | | | | |
| Operational Budget | 0 | 3 773 773 | p.m. | 8 670 000 | p.m. | 3 071 000 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | | 1 184 930 | | 1 480 249 | | |
| Completion of EU Aid Volunteers initiative (EUAV) | | | | | | |
| 07 04 99 02 - Completion of the EU Aid Volunteers initiative — Strengthening the Union’s capacity to respond to humanitarian crises (2014 to 2020) | | | | | | |
| Operational Budget | 0 | 2 548 495 | p.m. | 2 550 000 | p.m. | p.m. |
| <i>Of which managed by the executive agency</i> | | 2 548 495 | | | | |
| Completion IPAII | | | | | | |
| 15 02 99 01 - Completion of previous instruments for pre-accession assistance (prior to 2021) | | | | | | |
| Operational Budget | 0 | 1 413 892 327 | p.m. | 1 516 450 314 | p.m. | 734 868 388 |
| <i>Of which managed by the executive agency</i> | | 9 961 688 | | 7 709 741 | | 3 597 354 |
| Completion of Partnership instrument for cooperation with third countries (PI) | | | | | | |
| 14 02 99 03 - Completion of relations with third countries under the Partnership Instrument and the financing instrument for cooperation with industrialised countries (prior to 2021) | | | | | | |
| Operational Budget | 0 | 79 622 755 | p.m. | 70 594 072 | p.m. | 40 160 000 |
| <i>Of which managed by the executive agency</i> | | 481 593 | | 1 082 011 | | 731 421 |
| Completion of Rights, Equality and Citizenship programme | | | | | | |
| 07 06 99 01 - Completion of previous Europe for Citizens programmes and European citizens’ initiatives (prior to 2021) | | | | | | |
| Operational Budget | 0 | 9 807 116 | p.m. | 6 811 879 | p.m. | 327 072 |
| <i>Of which managed by the executive agency</i> | | 9 807 116 | | 5 984 536 | | 327 072 |
| Creative Europe | | | | | | |
| 07 05 01 - Culture strand | | | | | | |
| Operational Budget | 131 097 589 | 78 109 733 | 102 540 879 | 91 452 597 | 101 802 039 | 96 050 000 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 104 066 373 | 66 085 318 | 93 378 016 | | 92 802 039 | |
| 07 05 02 - Media strand | | | | | | |
| Operational Budget | 221 487 148 | 128 493 599 | 180 661 827 | 141 422 353 | 178 754 402 | 207 523 435 |
| <i>Of which managed by the executive agency</i> | 203 997 672 | 125 524 903 | 161 306 906 | | 160 878 962 | |
| 07 05 03 - Cross-sectoral strand | | | | | | |
| Operational Budget | 34 037 298 | 16 443 718 | 27 125 410 | 25 616 924 | 27 603 081 | 25 430 875 |
| <i>Of which managed by the executive agency</i> | 33 654 604 | 16 024 780 | 16 788 392 | | 16 915 968 | |
| Erasmus+ | | | | | | |
| 07 03 01 02 - Promoting learning mobility of individuals and groups, and cooperation, inclusion and equity, excellence, creativity and innovation at the level of organisations and policies in the field of education and training — Direct management | | | | | | |
| Operational Budget | 669 155 911 | 392 299 298 | 779 041 093 | 407 225 000 | 656 107 886 | 413 700 000 |
| <i>Of which managed by the executive agency</i> | 639 052 053 | 378 124 557 | 747 013 233 | 399 148 652 | 575 860 953 | 373 479 435 |
| 07 03 02 - Promoting non-formal and informal learning mobility and active participation among young people, and cooperation, inclusion, creativity and innovation at the level of organisations and policies in the field of youth | | | | | | |
| Operational Budget | 351 400 945 | 275 901 909 | 365 603 266 | 335 000 000 | 384 913 639 | 369 700 000 |
| <i>Of which managed by the executive agency</i> | 56 087 798 | 25 910 314 | 55 900 000 | 47 118 313 | 58 139 797 | 59 366 253 |
| 07 03 03 - Promoting learning mobility of sport staff, and cooperation, inclusion, creativity and innovation at the level of sport organisations and sport policies | | | | | | |
| Operational Budget | 65 035 672 | 52 571 181 | 69 664 711 | 56 400 000 | 71 239 186 | 56 700 000 |
| <i>Of which managed by the executive agency</i> | 59 935 672 | 50 487 893 | 55 014 711 | 49 808 243 | 54 189 186 | 47 916 984 |
| European Solidarity Corps (ESC) | | | | | | |
| 07 04 01 - European Solidarity Corps | | | | | | |
| Operational Budget | 134 710 226 | 119 097 787 | 137 298 196 | 106 000 000 | 136 985 873 | 128 570 000 |
| <i>Of which managed by the executive agency</i> | 16 634 184 | 4 004 702 | 19 480 000 | 25 884 501 | 19 349 847 | 20 429 161 |

| | Executed budget 2022 | | Budget 2023 | | Draft Budget 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Pre-Accession Assistance (IPA III) | | | | | | |
| 15 02 01 02 - Erasmus+ — Contribution from IPA III | | | | | | |
| Operational Budget | 60 200 000 | 33 813 398 | 62 400 000 | 42 250 000 | 62 400 000 | 53 000 000 |
| <i>Of which managed by the executive agency</i> | 30 616 174 | 12 060 938 | 29 285 953 | 25 358 752 | 29 285 953 | 23 545 583 |
| Neighbourhood, Development and International Cooperation Instrument - Global Europe | | | | | | |
| 14 02 01 50 - Erasmus+ — NDICI — Global Europe contribution | | | | | | |
| Operational Budget | 288 799 712 | 154 449 699 | 296 666 667 | 210 000 000 | 296 666 667 | 237 550 000 |
| <i>Of which managed by the executive agency</i> | 127 178 059 | 19 839 851 | 166 163 783 | 147 366 182 | 161 646 783 | 113 494 859 |
| Citizens, Equality, Rights and Values Programme | | | | | | |
| 07 06 01 - Equality and rights | | | | | | |
| Operational Budget | 39 666 173 | 26 744 198 | 36 863 099 | 31 217 153 | 36 019 970 | 51 815 746 |
| <i>Of which managed by the executive agency</i> | | | 1 806 441 | | 2 584 953 | 2 388 918 |
| 07 06 02 - Citizens engagement and participation in the democratic life of the Union | | | | | | |
| Operational Budget | 41 237 346 | 19 929 792 | 33 154 085 | 19 010 511 | 55 671 418 | 46 911 774 |
| <i>Of which managed by the executive agency</i> | 36 950 097 | 18 034 949 | 26 520 000 | 12 111 958 | 49 438 606 | 40 056 525 |
| 07 06 04 - Union values | | | | | | |
| Operational Budget | 93 199 854 | 53 749 962 | 109 183 873 | 54 631 753 | 88 151 507 | 86 714 747 |
| <i>Of which managed by the executive agency</i> | 88 315 854 | 46 922 952 | 99 250 000 | 49 887 336 | 79 300 000 | 78 095 271 |
| TOTAL operational budget managed by the agency | 1 396 488 540 | 1 075 988 071 | 1 471 907 435 | 963 258 448 | 1 300 393 047 | 890 354 401 |

3 Human Resources

3.1 Staffing when created extended

Source:

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---|------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Temporary Agents (Officials seconded by the Commission) | 34 | 34 | 34 | 34 | 34 | 34 | 34 |
| Temporary Agents (recruited by the agency) | 86,8 | 92,2 | 97,6 | 103 | 108,4 | 113,9 | 119,3 |
| Executive Agency's total Establishment Plan Posts | 120,8 | 126,2 | 131,6 | 137 | 142,4 | 147,9 | 153,3 |
| Contract Agents (*) | 362,3 | 378,5 | 394,8 | 411,1 | 427,3 | 443,6 | 459,9 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 483 | 504,7 | 526,4 | 548,1 | 569,8 | 591,5 | 613,1 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | 2023 | 2024 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | 1 | | 1 | 1 |
| AD 14 | 14 | 9 | 17 | 13 |
| AD 13 | 10 | 7 | 6 | 10 |
| AD 12 | 18 | 14 | 19 | 16 |
| AD 11 | 17 | 15 | 16 | 15 |
| AD 10 | 22 | 15 | 13 | 20 (1) |
| AD 9 | 10 | 5 | 15 | 12 |
| AD 8 | 6 | 9 | 12 | 16 |
| AD 7 | 3 | 11 | 10 | 8 |
| AD 6 | 1 | 9 | 5 | 8 |
| AD 5 | | 4 | | 1 |
| AD TOTAL | 102 | 98 | 114 | 120 |
| AST 11 | 1 | 1 | 1 | 1 |
| AST 10 | 2 | | 2 | 1 |
| AST 9 | 1 | 1 | | |
| AST 8 | 1 | | 2 | 4 |
| AST 7 | 11 | 7 | 9 | 9 |
| AST 6 | 6 | 8 | 5 | 5 |
| AST 5 | 2 | 4 | 2 | 1 |
| AST 4 | | 2 | | |
| AST 3 | | | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 24 | 23 | 21 | 21 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |

| Function group and grade | 2022 | | 2023 | 2024 |
|---|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Draft Budget Request |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 126 | 121 | 135 | 141 |
| Of which Officials seconded by the commission | 34 | | 34 | 34 |

(1) 16 AT + 4 AT assigned to programmes financed outside the EU budget

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 125 | 124 | 147 | 163 |
| Function Group III | 185 | 188 | 191 | 191 |
| Function Group II | 65 | 55 | 54 | 54 |
| Function Group I | 4 | 3 | 3 | 3 |
| TOTAL | 379 | 370 | 395 | 411 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2022 | Recruited as of 31/12/2022 | Foreseen in 2023 | Foreseen 2024 |
|---|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from participation of candidate countries and/or third countries | 17 | 8 | 14 | 14 |

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|---|--|-------------------------|---------------------------|---------------------------|
| Heading 7 | 40 | 24 | 3 | 0 |
| Horizon Europe Programme * | 146 | 81 | 10 | 11 |
| Targeted reductions in other areas * | 180 | 36 | 28 | 23 |
| Total** | 366 | 141 | 41 | 34 |

*these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

**the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2022 | Staff to be freed in 2023 | Staff to be freed in 2024 |
|--------------|---|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---|---------|---------|
| | By end 2022 | In 2023 | In 2024 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II).
This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2022 | Number of posts frozen in 2023 |
|-----------------|---|--------------------------------|--------------------------------|
| | | | |
| DG EAC | 30 | 30 | 30 |
| DG CNECT | 2 | 2 | 2 |
| DG JUST | 2 | 2 | 2 |
| Total | 34 | 34 | 34 |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution to the agency (as foreseen in the Specific Financial Statements as included in the Commission proposal submitted to the Committee for Executive Agencies and to the Budgetary Authority on 10 December 2020 ⁵⁸) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|--|--------|--------|--------|--------|--------|--------|--------|
| | 54,255 | 57,766 | 61,407 | 65,181 | 69,094 | 73,150 | 77,354 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 50,803 | 57,766 | 63,249 | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 53,379 | 57,227 | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27) | 50,803 | 57,766 | 63,249 | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 52,812 | 57,227 | | | | | |

4.2 Revenues

| REVENUES | | 2022 | 2023 | 2024 | VAR 2024/2023 (%) |
|---|--------------|--------------------|-------------------|-------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 07 01 02 75 | ERASMUS_PLUS | 26 839 969 | 29 580 986 | 31 589 239 | 6,79% |
| 07 01 03 75 | ESC_2021 | 1 562 546 | 1 587 404 | 1 560 352 | -1,70% |
| 07 01 04 75 | CREATIVEEU | 15 314 886 | 16 791 005 | 17 844 986 | 6,28% |
| 07 01 05 75 | RIGHTS_2021 | 6 292 002 | 7 323 300 | 7 973 230 | 8,87% |
| 14 01 01 75 | NDICI | 6 144 641 | 6 488 340 | 6 652 789 | 2,53% |
| 15 01 01 75 | IPAIH | 1 399 424 | 1 477 701 | 1 515 153 | 2,53% |
| Sub Total | | 57 553 468 | 63 248 736 | 67 135 749 | 6,15% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 07 01 02 75 | ERASMUS_PLUS | 673 683 | 742 483 | 925 565 | 24,66% |
| 07 01 03 75 | ESC_2021 | 2 819 | 2 857 | 2 965 | 3,78% |
| 07 01 04 75 | CREATIVEEU | 384 404 | 421 454 | 522 858 | 24,06% |
| 14 01 01 75 | NDICI | 154 230 | 162 858 | 194 927 | 19,69% |
| 15 01 01 75 | IPAIH | 35 126 | 37 090 | 44 394 | 19,69% |
| Sub Total | | 1 250 262 | 1 366 742 | 1 690 709 | 23,70% |
| 3. Participation of candidate countries and/or third countries | | | | | |

⁵⁸ As foreseen in the Communication to the Commission C(2020)7876, which was based on the political agreement at the European Council of 17-21 July 2020, an update was needed following the political agreement reached on 10 November 2020 between the European Parliament and the Council.

| Budget Line | Programme | | | | |
|-----------------------|--------------|-------------------|-------------------|-------------------|---------------|
| 07 01 02 75 | ERASMUS_PLUS | 836 854 | 1 939 252 | 2 518 640 | 29,88% |
| 07 01 03 75 | ESC_2021 | | 19 108 | 163 986 | 758,21% |
| 07 01 04 75 | CREATIVEEU | | | 221 226 | 100% |
| 14 01 01 75 | NDICI | | | 403 985 | 100% |
| 15 01 01 75 | IPAIH | | | | |
| Sub Total | | 836 854 | 1 958 360 | 3 307 837 | 68,91% |
| TOTAL REVENUES | | 59 640 584 | 66 573 838 | 72 134 295 | 8,35% |

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 46 615 622 | 52 589 414 | 58 589 895 | 11,41% |
| Remunerations, Allowances and Charges | 44 321 005 | 50 228 914 | 56 204 895 | 11,90% |
| - <i>Of which establishment plan posts</i> | <i>16 977 128</i> | <i>19 657 038</i> | <i>22 054 471</i> | <i>12,20%</i> |
| - <i>Of which external personnel</i> | <i>27 343 877</i> | <i>30 571 876</i> | <i>34 150 424</i> | <i>11,71%</i> |
| Professional Development and Social expenditure | 2 294 617 | 2 360 500 | 2 385 000 | 1,04% |
| Title 2 - Infrastructure and operating expenditure | 8 042 167 | 9 404 424 | 9 139 400 | -2,82% |
| Building expenditure | 5 222 000 | 5 905 000 | 4 980 000 | -15,66% |
| ICT expenditure | 2 129 577 | 2 560 000 | 3 000 000 | 17,19% |
| Movable Property and Current Operating expenditure | 690 590 | 939 424 | 1 159 400 | 23,42% |
| Title 3 - Programme support expenditure | 4 412 275 | 4 695 000 | 4 405 000 | -6,18% |
| Programme Management expenditure | 4 412 275 | 4 695 000 | 4 405 000 | -6,18% |
| Common Support Services expenditure | | | | |
| TOTAL | 59 070 064 | 66 688 838 | 72 134 295 | 8,17% |

(1) EACEA's total revenue for 2023 are complemented by an amount of EUR 115 000 of assigned revenue stemming from the European Development Fund, which are not captured in this table.

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|---|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | VAR 2024/2023 (%) |
| Title 1 - Staff expenditure | 45 703 402 | 52 589 414 | 58 589 895 | 11,41% |
| Remunerations, Allowances and Charges | 43 855 818 | 50 228 914 | 56 204 895 | 11,90% |
| - <i>Of which establishment plan posts</i> | <i>16 977 128</i> | <i>19 657 038</i> | <i>22 054 471</i> | <i>12,20%</i> |
| - <i>Of which external personnel</i> | <i>26 878 690</i> | <i>30 571 876</i> | <i>34 150 424</i> | <i>11,71%</i> |
| Professional Development and Social expenditure | 1 847 584 | 2 360 500 | 2 385 000 | 1,04% |
| Title 2 - Infrastructure and operating expenditure | 6 692 128 | 9 404 424 | 8 889 400 | -5,48% |

| | | | | |
|--|-------------------|-------------------|-------------------|--------------|
| Building expenditure | 4 218 792 | 5 905 000 | 4 980 000 | -15,66% |
| ICT expenditure | 1 925 601 | 2 560 000 | 3 000 000 | 17,19% |
| Movable Property and Current Operating expenditure | 547 735 | 939 424 | 909 400 | -3,20% |
| Title 3 - Programme support expenditure | 1 685 202 | 4 695 000 | 4 655 000 | -0,85% |
| Programme Management expenditure | 1 685 202 | 4 695 000 | 4 655 000 | -0,85% |
| Common Support Services expenditure | | | | |
| TOTAL | 54 080 732 | 66 688 838 | 72 134 295 | 8,17% |

4.4 Outturn

First estimate of the 2022 surplus that should be reimbursed to the EU budget (as general revenue): 1.723.819,80 EUR

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The number of staff requested for 2024 is in line with the Financial Statement accompanying the mandate– Commission Decision C(2021) 951 which received a positive opinion of the Committee on Executive Agencies on 2 February 2021.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

4 temporary agents and 14 contract agents could be financed for 2024 on credits from the participation of candidate countries and/or third countries for the programmes Erasmus+.

5.1.3 Salary assumption for calculating salary lines (% applied)

Salaries for 2024 have been calculated on the basis of January 2023 average costs per FTE multiplied by the number of FTE foreseen for 2024. The calculation takes into account the effect of the promotion exercise and a vacancy rate of around 3 % for temporary agents and 3% for contract agents, and an indexation rate of around 4,4% for 2023 and of around 3,4 % for 6 months in 2024.

5.1.4 Vacancy rate as of end 2022

On 31 December 2022, the vacancy rate amounted to 3% of the total authorised staff (both for contract and temporary agents).

5.1.5 Standard abatement('abatement forfaitaire')

The calculation takes into account the effect of the promotion exercise as well as an indexation rate of around 4,4 % for 2023 and of around 3,4 % for 6 months in 2024. A vacancy rate of 3 % for temporary agents and of 3 % for contract agents are taken into consideration.

5.2 Financial Resources

5.2.1 Title 1

Compared with 2023, Title I increases by 11,41 % to mainly reflect the staff increase in 2024 (+5 AT and + 17 AC funded by C1 credits). In 2023, the authorized C1 staff amounts to 526 while it would be 548 in 2024 (+4,18%). The 2024 level of staff is compliant with the applicable delegation (C(2021) 951 final of 12.02.2021). Other reasons for this increase are the structure of the establishment plan, the promotion exercise as well as an indexation rate (4,4 % for 2023 and 3,4 % in 2024). EACEA has taken 3 % (AT and AC) as estimated vacancy rates. The number of posts that could be potentially funded by R0/E0 credits (17 AC) remains stable.

5.2.2 Title 2

Compared with 2023, Title II decreases by 2,81 %. This is the result of the move of EACEA to its new premises in the North Light Building. The expenses (renting + charges) for the new building are expected to be lower than the current ones.

5.2.3 Title 3

Against 2023, Title III is lower by 6,18 %. The decrease chiefly comes from the audits line reduction (3141) (back as normal).

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|---------------------|--------------|---------------|------------------|---|---|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | J-59 | Brussels | 4 184 | 4 762 | 8 946 | 1 948 681 | 31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year) | Commission building put at disposal of the Agency on the basis of an SLA with OIB | | |
| 2 | SPA2 | Brussels | 2 373 | 2 628 | 5 001 | 989 167 | 31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year) | Commission building put at disposal of the Agency on the basis of an SLA with OIB | | |
| 3 | J-70 | Brussels | 548 | 345 | 893 | 258 785 | 31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year) | Commission building put at disposal of the Agency on the basis of an SLA with OIB | | |
| TOTAL | | | 7 105 | 7 735 | 14 840 | 3 196 633 | | | | |

6.1.2 Current Building(s) Other comments

All buildings are subject to annual indexation. For J-70 the amount is the one communicated by OIB for the space in J-70 01. Adding space in J-70 (186 square meters) in 2022 (for 306 days)

6.1.3 Building projects in the planning phase

Unit B1 of EACEA Agency has moved from SPA2 to VM18 in early 2020. The agency does not have a contract for VM18. The renting is still on the SPA2 contract for facility. The occupancy of VM18 is counted on the SPA2 contract. The surface and the price taking into account for VM18 is based on the SPA2 contract.

As of the moment this financial statement is prepared, EACEA is expected to move during the first four months of 2024 to the North Light building. The agency's estimates for 2024 do not include any double renting.

These estimates were based on the COV building, which seemed to be closest to reality (building located at Gare du Nord and known data), and 70 % of the area of the present building for the calculation of the costs to be used for the North Light Building.

The result that followed showed a decrease in costs.

On 9 February 2023, the Director of EACEA signed a letter of intent with OIB. The costs on the basis of their estimates are approximately the same as those already calculated.

6.1.4 Building projects submitted to the European Parliament and the Council

The building project linked to the North Light building was transmitted to the Budgetary Authority on 12 May 2023 (Ares(2023)3352515).

6.2 Evaluation

The fifth evaluation of the Agency (covering the period 2018 to end of March 2021) is currently ongoing in a joint exercise for all six executive Agencies coordinated by DG RTD with close involvement of central services.

Upon receipt of the final report an action plan is to be established as reply to the recommendations issued.

For further input – see the corresponding EACEA-Net IntraComm page:

<https://myintracomm.ec.europa.eu/dg/eacea/eacea/about/Pages/evaluation.aspx>

PART III – BODIES CREATED UNDER RESEARCH AND INNOVATION

1 MAIN BUDGETARY TRENDS

Chapter 1.1. presents the 'main budgetary trends' for bodies created in the field of research and innovation under the articles of the Treaty on the Functioning of the European Union.

Section 1.1.1 presents the budgetary and staffing highlights for 2024 for the EIT, including as well a table on Commission budget implementation for EIT in 2022, which is followed by a table on staffing (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2022).

Section 1.1.2 presents the budgetary and staffing highlights for 2024 for the 9 joint undertakings (JU) established under the so-called 'Single Basic Act'⁵⁹ as well as EuroHPC and the European Cybersecurity Industrial, Technology and Research Competence Centre. Furthermore, this section presents an overview table on the Commission budget implementation of these bodies in 2022, which is followed by an overview table on staffing (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2022).

Chapter 1.2. presents the budgetary and staffing highlights for Fusion4Energy (F4E), the joint undertaking created under the Euratom Treaty for the ITER project.

1.1 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

1.1.1 European Institute of Innovation and Technology (EIT)

The European Institute of Innovation and Technology (EIT) will continue to operate by providing grants to its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations (the so-called knowledge triangle). The EIT contributes to Europe's priorities such as the new Green Deal and channels innovations through eight KICs intervening in different areas: climate, digitisation, renewable energy, health, sustainable raw material, food, manufacturing, and urban mobility. The proposal for the 2024 budget for the EIT will exceed the level for the 2023 budget, in line with the overall financial programming and consistent with the overall budgetary envelope agreed for EIT in the Horizon Europe programme.

The draft budget request for the EIT is in line with the Commission proposal for a Regulation on the EIT, extending its mandate to 2021-2027. More details can be found in its individual budgetary statement in section 2.

1.1.2 Budgetary and staffing highlights 2024: Joint Undertakings under TFEU

As a principle, the levels of appropriations and human resources proposed for 2024 are in line with the joint undertakings' respective legal basis and largely based on the estimates provided in the legislative financial statements accompanying the Commission proposals. The most significant deviation compared to the Single Basic Act affects the ex-Key Digital Technologies joint undertaking, which the Commission has proposed – in the context of the European Chips Act – to rename Chips joint undertaking and to significantly reinforce with a larger contribution from Horizon Europe and a new contribution from the Digital Europe Programme. Other deviations consist, for example EUR 37,5 million loan from Clean Aviation to Clean Hydrogen and EUR 20 million backloading of Innovative Health and Global Health JUs (these changes are offset in the financial programming of future years). When relevant (i.e. when the joint undertaking succeeds to an existing entity), the appropriations and staff for 2024 also provide for the completion of the activities launched under Horizon 2020.

As presented in more detail in the individual budgetary statements (section 2.1), the total staffing of the joint undertakings at the time of presenting the 2024 Draft Budget is as follows⁶⁰:

| Authorised establishment plan (posts) | | Contract Agents | | Seconded National Experts | | Total Staff (FTE) | |
|---------------------------------------|------|-----------------|------|---------------------------|------|-------------------|------|
| 2023 | 2024 | 2023 | 2024 | 2023 | 2024 | 2023 | 2024 |
| 231 | 244 | 148 | 154 | 9 | 9 | 401 | 414 |

⁵⁹ Council Regulation (EU) 2021/2085.

⁶⁰ Figures exclude F4E which is presented separately.

Overview – TFEU Joint Undertakings 2024 / 2023

(commitment appropriations, in million EUR, rounded figures at current prices)

| Name | Draft budget 2024 | Budget 2023 | Difference 2024 / 2023 |
|---|-------------------|-----------------|---------------------------|
| | EU contribution | EU contribution | |
| Horizon Europe¹ | | | |
| Single European Sky Air Traffic Management Research Joint Undertaking (SESAR) | 91,1 | 86,5 | 5,3% |
| <i>establishment plan posts</i> | 37 | 37 | 0 |
| Circular Bio-based Europe Joint Undertaking | 144,2 | 147,8 | -2,5% |
| <i>establishment plan posts</i> | 13 | 13 | 0 |
| Clean Aviation Joint Undertaking | 148,9 | 231,6 | -35,7% |
| <i>establishment plan posts</i> | 36 | 35 | 1 |
| Chips Joint Undertaking | 919,4 | 758 | 21,3% |
| <i>establishment plan posts</i> | 19 | 17 | 2 |
| European High Performance Computing Joint Undertaking | 201,7 | 450 | -55,2% |
| <i>establishment plan posts</i> | 27 | 27 | 0 |
| Clean Hydrogen Joint Undertaking | 117 | 195,2 | -40,1% |
| <i>establishment plan posts</i> | 27 | 27 | 0 |
| Innovative Health Initiative Joint Undertaking | 176,6 | 201,4 | -12,3% |
| <i>establishment plan posts</i> | 39 | 39 | 0 |
| Europe's Rail Joint Undertaking | 104 | 91,7 | 13,4% |
| <i>establishment plan posts</i> | 10 | 10 | 0 |
| Global Health EDCTP3 Joint Undertaking | 144,2 | 133,7 | 7,8% |
| <i>establishment plan posts</i> | 26 | 22 | 4 |
| Smart Networks and Services Joint Undertaking² | 127,3 | 131,2 | -2,9% |
| <i>establishment plan posts</i> | 7 | 7 | 0 |
| Subtotal | 2174,4 | 2427,1 | -10,4% |
| <i>establishment plan posts</i> | 241 | 234 | 7 |
| Digital Europe Programme | | | |
| European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)³ | 211,3 | 179,1 | 18,0% |
| <i>establishment plan posts</i> | 10 | 10 | 0 |
| Subtotal | 211,3 | 179,1 | 18,0% |
| <i>establishment plan posts</i> | 10 | 10 | 0 |
| TOTAL | 2385,7 | 2606,2 | -8,46% |
| <i>establishment plan posts</i> | 251 | 244 | 7 |
| <p>1. The EU contribution to the Horizon Europe bodies in 2023 and 2024 is based on voted budget appropriations.</p> <p>2. The EuroHPC joint undertaking is financed from Horizon Europe, the Digital Europe programme and the Connecting Europe Facility.</p> <p>3. The European Cybersecurity Industrial, Technology and Research Competence Centre is financed from Horizon Europe and the Digital Europe programme.</p> | | | |

Table EU budget implementation for TFEU Joint Undertakings 2022

In EUR million

| Joint undertakings TFEU | Authorised appropriations 2022 | | Commitments made in 2022 | | | Payments made in 2022 | | | Carry over of assigned revenue to 2023 | | Appropriations c 2022** |
|---|--------------------------------|----------------|--------------------------|-----------------------|-----------------------------|-----------------------|-----------------------|-----------------------------|--|------------|-------------------------|
| | Commitments | Payments | Total | Of which voted budget | of which assigned revenues* | Total | Of which voted budget | of which assigned revenues* | Commitments | Payments | Commitments |
| Innovative Health Initiative | 154,6 | 155,1 | 154,6 | 150,9 | 3,7 | 155,1 | 155,0 | 0,1 | 0,0 | 0,0 | 0,0 |
| Global Health EDCTP3 | 71,3 | 0,5 | 71,3 | 69,6 | 1,7 | 0,5 | 0,4 | 0,1 | 0,0 | 0,0 | 0,0 |
| European High-Performance Computing Joint Undertaking (EuroHPC) | 429,5 | 419,2 | 429,5 | 419,0 | 10,5 | 369,2 | 360,9 | 8,2 | 0,0 | 0,0 | 0,0 |
| Chips/KDT | 256,2 | 218,2 | 256,2 | 250,0 | 6,2 | 218,2 | 213,3 | 4,9 | 0,0 | 0,0 | 0,0 |
| Smart Networks and Services | 125,3 | 169,1 | 124,5 | 121,5 | 3,0 | 168,4 | 164,3 | 4,1 | 0,3 | 0,3 | 0,0 |
| Single European Sky ATM Research | 128,4 | 137,8 | 88,4 | 86,3 | 2,1 | 117,8 | 115,2 | 2,7 | 0,0 | 0,0 | 0,0 |
| Clean Aviation | 154,3 | 324,6 | 154,3 | 150,6 | 3,7 | 324,6 | 317,5 | 7,1 | 0,0 | 0,0 | 0,0 |
| Europe's Rail | 165,8 | 157,5 | 92,8 | 90,6 | 2,2 | 144,5 | 141,1 | 3,3 | 0,0 | 0,0 | 0,0 |
| Clean Hydrogen | 153,7 | 107,5 | 153,7 | 150,0 | 3,7 | 107,5 | 104,6 | 2,9 | 0,0 | 0,0 | 0,0 |
| Circular Bio-based Europe | 182,9 | 42,9 | 182,9 | 178,5 | 4,4 | 42,9 | 41,6 | 1,3 | 0,0 | 0,0 | 0,0 |
| European Cybersecurity Competence Centre | 216,6 | 8,2 | 216,6 | 211,1 | 5,5 | 8,2 | 7,8 | 0,4 | 0,0 | 0,0 | 0,0 |
| Total | 2.038,6 | 1.740,7 | 1.924,9 | 1.878,2 | 46,8 | 1.657,0 | 1.621,8 | 35,2 | 0,3 | 0,3 | 0,0 |

* Excluding external assigned revenue

Table TFEU Joint Undertakings staffing overview 2022

| Joint undertakings TFEU | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|--|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2023 | Authorised 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Authorised 2022 | Actually filled 31/12/2022 | Recommended 2023 | Recommended 2024 | Budget 2022 | Actually filled 31/12/2022 | Budget 2023 | Budget 2024 |
| Circular Bio-based Europe | 2021 | 13 | 12 | 13 | 13 | 16 | 14 | 16 | 16 | | | | | 29 | 26 | 29 | 29 |
| Chips Joint Undertaking | 2021 | 14 | 12 | 17 | 19 | 16 | 14 | 19 | 23 | | | 1 | 1 | 30 | 26 | 37 | 43 |
| Clean Aviation Joint Undertaking | 2021 | 36 | 36 | 35 | 36 | 6 | 5 | 6 | 5 | 2 | | | | 44 | 41 | 41 | 41 |
| European Cybersecurity Industrial, Technology and Research Competence Centre | 2021 | 10 | | 10 | 10 | 26 | | 27 | 27 | 1 | | 1 | 1 | 37 | 0 | 38 | 38 |
| European High Performance Computing Joint Undertaking | 2021 | 22 | 7 | 27 | 27 | 25 | 16 | 27 | 27 | | | | | 47 | 23 | 54 | 54 |
| Clean Hydrogen Joint Undertaking | 2021 | 27 | 27 | 27 | 27 | 2 | 1 | 2 | 2 | 2 | 1 | 3 | 3 | 31 | 29 | 32 | 32 |
| Global Health EDCTP3 Joint Undertaking | 2021 | 17 | | 22 | 26 | 6 | 3 | 8 | 8 | | | | | 23 | 3 | 30 | 34 |
| Innovative Health Initiative Joint Undertaking | 2021 | 39 | 36 | 39 | 39 | 15 | 13 | 15 | 15 | 1 | | | | 55 | 49 | 54 | 54 |
| Europe's Rail Joint Undertaking | 2021 | 10 | 10 | 10 | 10 | 17 | 17 | 17 | 20 | 2 | 1 | 2 | 2 | 29 | 28 | 29 | 32 |
| Single European Sky ATM Research Joint Undertaking | 2021 | 37 | 34 | 37 | 37 | 1 | 1 | 1 | 1 | 2 | 2 | 2 | 2 | 40 | 37 | 40 | 40 |
| Smart Networks and Services Joint Undertaking | 2021 | 6 | 4 | 7 | 7 | 10 | 3 | 10 | 10 | | | | | 16 | 7 | 17 | 17 |
| Total Joint undertakings TFEU | | 231 | 178 | 244 | 251 | 140 | 87 | 148 | 154 | 10 | 4 | 9 | 9 | 381 | 269 | 401 | 414 |

1.2 Fusion for energy (F4E)

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2024 for F4E in text and tables. Furthermore, this section presents a table on Commission budget implementation for F4E in 2022, which is followed by a table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2022).

Budgetary and staffing highlights 2024: F4E

The EU contribution to the ITER-F4E Joint Undertaking for 2024 has been revised downwards compared to the Council Decision establishing the indicative Euratom contribution to the Joint Undertaking for the 2021-2027 period⁶¹, by EUR 250 million compared to the financial programming for 2024, mainly due to the slow-down of the project implementation, including the assembly and installation works at the ITER IO. The ITER Organisation is currently preparing a revised timeline and accompanying milestones and financial estimates for the ITER project, which are expected to be discussed in the ITER Council in the second half of 2023 or in 2024. In the years 2025-27, additional small reductions are envisaged to offset a reinforcement of the EU contribution to the EU Agency for the Cooperation of Energy Regulators (ACER).

For 2024, F4E requests 467 positions, of which 305 establishment plan posts (43 permanent and 262 temporary posts) and 162 external staff positions (155 contract agents (CA) and 7 seconded national experts (SNE)). The total staff number stays at same levels of 2023 and includes the 25 extra TA posts authorised during the 2023 EU budgetary procedure, of which 10 new posts and 15 posts converted from existing CA positions (in total 19 AD and 6 AST). In addition to the above, 2 permanent AD posts shall be transformed into TA posts following the departure of two officials and in line with F4E policy for conversion of vacant permanent posts into TA of an equivalent grade.

As presented in more detail in the individual budgetary financial statement (section 2.2), the total staffing of F4E foreseen for 2024 would be as follows:

| <i>Authorised establishment plan (posts)</i> | | <i>Contract Agents</i> | | <i>Seconded National Experts</i> | | <i>Total Staff (FTE)</i> | |
|--|------|------------------------|------|----------------------------------|------|--------------------------|------|
| 2023 | 2024 | 2023 | 2024 | 2023 | 2024 | 2023 | 2024 |
| 305 | 305 | 155 | 155 | 7 | 7 | 467 | 467 |

F4E overview table 2024 / 2023

| Name | Draft budget 2024 | | Budget 2023 | | Difference 2024 / 2023 |
|---------------------------------|---|-------|--------------------------|---------|---------------------------|
| | Of which EU contribution | | Of which EU contribution | | |
| | European Joint Undertaking for ITER - Fusion for Energy (F4E) | 548,0 | 832,1 | -34,1 % | |
| <i>establishment plan posts</i> | 305 | 305 | 0 | | |

(in million EUR)

⁶¹ Council Decision amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it, COM(2018)445 final, 12.2.2021.

Table Euratom budget implementation for F4E 2022

(in million EUR)

| European Joint Undertaking for ITER – Fusion for Energy (F4E) | Budget line | Authorised appropriations 2022 | | Commitments made 2022 | | | Payments made 2023 | | | Carry-over of assigned revenue to 2023 | | Appropriations cancelled 2022 | |
|---|-------------|--------------------------------|----------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|--|--------------|-------------------------------|--------------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| Support expenditure | | 63,308 | 66,844 | 63,308 | 62,484 | 0,824 | 62,484 | 62,484 | 4,360 | 1,008 | 1,143 | 0,000 | 0,000 |
| Operational expenditure | | 794,649 | 194,598 | 794,649 | 794,649 | 0,000 | 194,598 | 194,598 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Completion expenditure | | 0,000 | 349,695 | 0,000 | 0,000 | 0,000 | 349,695 | 349,695 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Total F4E | | 857,957 | 611,137 | 857,957 | 857,133 | 0,824 | 611,137 | 606,778 | 4,360 | 1,008 | 1,143 | 0,000 | 0,000 |

Table F4E staffing overview 2022

| Name | Year of creation | Establishment Plan Posts | | Contract Agents | | Seconded National Experts (END) | | Total personnel | |
|---|------------------|--------------------------|----------------------------|-----------------|----------------------------|---------------------------------|----------------------------|-----------------|----------------------------|
| | | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2022 | Actually filled 31/12/2022 | Authorised 2022 | Actually filled 31/12/2022 | Budget 2022 | Actually filled 31/12/2023 |
| European Joint Undertaking for ITER – Fusion for Energy (F4E) | 2007 | 280 | 269 | 170 | 164 | 7 | 3 | 457 | 436 |

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

2.1.1 European Institute of Innovation and Technology – EIT

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|---------------|---|
| REGULATION (EC) No 294/2008 establishing the European Institute of Innovation and Technology | 11 March 2008 | The overall mission of the European Institute of Innovation and Technology (EIT) is to boost sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. In particular, the EIT reinforces the Union's innovation capacity and addresses societal challenges through the integration of the knowledge triangle of higher education, research and innovation. The EIT operates through its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations. The EIT provides grants to the KICs, monitors their activities, supports cross-KIC collaboration and disseminates results and good practices. |
| REGULATION (EU) 2021/819 of the European Parliament and of the Council on the European Institute of Innovation and Technology (recast) | 20 May 2021 | |
| DECISION (EU) 2021/820 of the European Parliament and of the Council on the Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT) 2021-2027: Boosting the Innovation Talent and Capacity of Europe | 20 May 2021 | The Strategic Innovation Agenda (SIA) lays down the priority fields and the long-term strategy and financial needs for the EIT. For the period 2021-2027, the SIA should ensure alignment with the objectives of Horizon Europe as well as the strategic multiannual planning, monitoring and other requirements of that programme, and foster synergies with other relevant programmes. It also outlines the planned higher education, research and innovation activities and the respective budget breakdown. It sets the time schedule for the selection and designation of new KICs for the next programming period. |
| Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013 | 28 April 2021 | The financial contribution from Horizon Europe to the EIT is implemented in accordance with the Regulation of the European Parliament and of the Council establishing the Horizon Europe . The EIT funding, primarily through its Knowledge and Innovation Communities (KICs) and by expanding its Regional Innovation Scheme, should aim to strengthen innovation ecosystems that tackle global challenges. |
| Council Decision (EU) 2021/764 of 10 May 2021 establishing the Specific Programme implementing Horizon Europe – the Framework Programme for Research and Innovation, and repealing Decision 2013/743/EU | 10 May 2021 | Horizon Europe will strengthen the Union's scientific and technological bases in order to help tackle the major global challenges of our time and contribute to achieving the Sustainable Development Goals (SDGs). At the same time, the programme will boost the Union's competitiveness, including that of its industries. Horizon Europe will help deliver on the Union's strategic priorities and support the development and implementation of Union policies. In a swiftly changing world, Europe's success increasingly depends on its ability to transform excellent scientific results into innovation that have a real beneficial impact on our economy and quality of life, and create new markets with more skilled jobs. Horizon Europe – the Framework Programme for Research and Innovation is to be implemented through specific programmes which define the detailed rules for their implementation, fix their duration and provide for the means deemed necessary. |

1.2 Seat

Budapest, Hungary

1.3 Budget Line

01.020303 European Institute of Innovation and Technology (EIT)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 40 | 36 | 90,00% | 40 | 40 |
| Assistants (AST) | 5 | 4 | 80,00% | 5 | 5 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 45 | 40 | 88,89% | 45 | 45 |
| Contract Agents (CA) | 23 | 24 | 104,35% | 23 | 23 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 2 | 2 |
| TOTAL STAFF | 70 | 65 | 92,86% | 70 | 70 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2023 | 2024 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 392 016 307 | 409 405 758 |
| Other Revenue | 34 282 802 | 19 122 802 |
| TOTAL REVENUES | 426 299 109 | 428 528 560 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2023 | 2024 |
|--|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 8 079 294 | 8 079 294 | 8 366 160 | 8 366 160 |
| Title 2 - Infrastructure and operating expenditure | 1 523 430 | 1 523 430 | 1 060 000 | 1 060 000 |
| Title 3 - Operational expenditure | 416 696 385 | 374 763 228 | 416 811 424 | 417 648 623 |
| Title 4 - Unused Appropriations not required in current Year | | | | |

| Expenditure | 2023 | | 2024 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 426 299 109 | 384 365 952 | 426 237 584 | 427 074 783 |

Remark: staff expenditure of EUR 200 000 for four years is an additional expense where fund stemming from the contribution agreement with the React-EU Programme for the European Battery Alliance Academy funding.

Title 3 expenditure includes European Battery Alliance Academy funding as follows: CA of EUR 10 000 000 in 2022. The European Battery Alliance Academy contribution agreement is broken down in: - Title 1, PA of 50 000 EUR in 2022 and 50 000 EUR in 2023. - Title 3, PA of EUR 7 000 000 in 2022 and of EUR 2 000 000 in 2023.

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | 1 | | 1 |
| AD 14 | | 1 | | 1 | | 1 | | | | |
| AD 13 | | | | | | | | 1 | | |
| AD 12 | | 1 | | | | 1 | | | | 1 |
| AD 11 | | 2 | | 1 | | 2 | | 4 | | 3 |
| AD 10 | | 9 | | 5 | | 9 | | 9 | | 6 |
| AD 9 | | 10 | | 6 | | 10 | | 13 | | 11 |
| AD 8 | | 10 | | 13 | | 10 | | 12 | | 11 |
| AD 7 | | 6 | | 5 | | 6 | | 4 | | 5 |
| AD 6 | | 1 | | 5 | | 1 | | 3 | | 2 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 40 | | 36 | | 40 | | 47 | | 40 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | 1 | | 1 |
| AST 5 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AST 4 | | 1 | | 3 | | 2 | | 1 | | 1 |
| AST 3 | | 1 | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 5 | | 4 | | 5 | | 5 | | 5 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 45 | | 40 | | 45 | | 52 | | 45 |
| GRAND TOTAL | 45 | | 40 | | 45 | | 52 | | 45 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 10 | 8 | 10 | 10 |
| Function Group III | 13 | 16 | 13 | 13 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 23 | 24 | 23 | 23 |

2.2.2 Contract Agents financed from other revenues/assigned revenue

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from additional EU funding stemming from the React-EU Programme for European Battery Alliance Academy funding:

| Human Resources financed from other revenues | Foreseen in 2022 | Recruited as of 31/12/2022 | Foreseen in 2023 | Foreseen in 2024 |
|---|------------------|----------------------------|------------------|------------------|
| Financed from contribution agreement with React-EU Programme | 1 | 1 | 1 | 1 |
| Financed from participation of candidate countries and/or third countries | 1 | 1 | 1 | 1 |

2.2.3 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 2 | 2 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 384 247 983 | 392 016 307 | 409 405 758 | 409 405 758 | 4,44% |
| - Of which assigned revenues deriving from previous years' surpluses | | 287 305 | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 9 490 925 | 35 929 271 | 19 122 802 | 19 122 802 | -50,90% |
| - Of which EEA/EFTA (excl. Switzerland) (1) | 9 490 925 | 11 329 271 | 14 492 964 | 14 492 964 | 27,92% |
| - Of which candidate countries | | 24 600 000 | 4 629 838 | 4 629 838 | - 81,18% |
| 4 OTHER CONTRIBUTIONS | 2 777 256 (2) | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 6 487 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 396 522 651 | 427 945 578 | 428 528 560 | 428 528 560 | 0,01% |

(1) EFTA: the 2.89% rate is taken into account.

(2) Revenue from unused grants

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|---|---|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 10 000 000 | 0 | 0 | 0 | 0,00% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 10 000 000 | 0 | 0 | 0 | 0,00% |

2022 figure of KICs and knowledge triangle integration contains additional EUR 10 000 000 for the European Battery Alliance Academy funding.

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 6 966 661 | 8 079 294 | 8 366 160 | 8 366 160 | 3,55% |
| Salaries & allowances | 5 125 982 | 6 425 000 | 6 682 000 | 6 682 000 | 4,00% |
| - Of which establishment plan posts | 3 989 962 | 5 225 000 | 5 434 000 | 5 434 000 | 4,00% |
| - Of which external personnel | 1 136 020 | 1 200 000 | 1 248 000 | 1 248 000 | 4,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Expenditure relating to Staff recruitment | 14 600 | 10 000 | 12 400 | 12 400 | 24,00% |
| Mission expenses | 71 000 | 150 000 | 156 000 | 156 000 | 4,00% |
| Socio-medical infrastructure (1) | 53 800 | 24 000 | 24 000 | 24 000 | 0,00% |
| Training | 52 000 | 25 000 | 26 000 | 26 000 | 4,00% |
| External Services | 1 626 094 | 1 409 294 | 1 429 760 | 1 429 760 | 1,45% |
| Receptions, events and representation | 2 000 | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 21 185 | 34 000 | 34 000 | 34 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 895 046 | 1 523 430 | 1 060 000 | 1 060 000 | -30,42% |
| Rental of buildings and associated costs | 148 923 | 371 200 | 200 770 | 200 770 | -45,91% |
| Information, communication technology and data processing | 369 067 | 527 730 | 350 230 | 350 230 | -33,63% |
| Movable property and associated costs | 6 000 | 74 500 | 47 000 | 47 000 | -36,91% |
| Current administrative expenditure | 22 500 | 110 500 | 22 500 | 22 500 | -79,64% |
| Postage / Telecommunications | 1 556 | 4 500 | 4 500 | 4 500 | 0,00% |
| Meeting expenses | 5 000 | 5 000 | 5 000 | 5 000 | 0,00% |
| Running costs in connection with operational activities | 342 000 | 428 000 | 428 000 | 428 000 | 0,00% |
| Information and publishing | | 2 000 | 2 000 | 2 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 375 800 226 | 418 342 854 | 419 102 400 | 419 102 400 | 0,01% |
| KICs and knowledge triangle integration | 372 272 229 | 416 184 854 | 416 057 998 | 416 057 998 | 0,00% |
| EIT cross-cutting activities | 3 514 497 | 2 075 500 | 2 842 128 | 2 842 128 | 36,94% |
| Supporting the innovation capacity of higher education | 13 500 | 82 500 | 202 274 | 202 274 | 145,18% |
| Title 4 - Unused Appropriations not required in current Year | | | | | |
| TOTAL | 383 661 933 | 427 945 578 | 428 528 560 | 428 528 560 | 0,01% |

EIT has a slightly different budget structure. Social-medical infrastructure covers school and kindergarten fees as well as medical expenses. Expenses related to EIT Governing Board like honoraria, meeting expenses are indicated online “Running costs in connection with operational activities”.

2022 figure of KICs and knowledge triangle integration contains additional EUR 10 000 000 for the European Battery Alliance Academy funding, thereby including Title 1 and Title 2 for a total amount of EUR 200 000 for the four years.

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 6 152 297 | 8 079 294 | 8 366 160 | 8 366 160 | 3,55% |
| Salaries & allowances | 5 125 982 | 6 425 000 | 6 682 000 | 6 682 000 | 4,00% |
| - Of which establishment plan posts | 3 989 962 | 5 225 000 | 5 434 000 | 5 434 000 | 4,00% |
| - Of which external personnel | 1 136 020 | 1 200 000 | 1 248 000 | 1 248 000 | 4,00% |
| Expenditure relating to Staff recruitment | 1 050 | 10 000 | 12 400 | 12 400 | 24,00% |
| Mission expenses | 47 818 | 150 000 | 156 000 | 156 000 | 4,00% |
| Socio-medical infrastructure | 12 010 | 24 000 | 24 000 | 24 000 | 0,00% |
| Training | 9 094 | 25 000 | 26 000 | 26 000 | 4,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 940 361 | 1 409 294 | 1 429 760 | 1 429 760 | 1,45% |
| Receptions, events and representation | 407 | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 15 575 | 34 000 | 34 000 | 34 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 668 181 | 1 523 430 | 1 060 000 | 1 060 000 | -30,42% |
| Rental of buildings and associated costs | 72 834 | 371 200 | 200 770 | 200 770 | -45,91% |
| Information, communication technology and data processing | 317 976 | 527 730 | 350 230 | 350 230 | -33,63% |
| Movable property and associated costs | 899 | 74 500 | 47 000 | 47 000 | -36,91% |
| Current administrative expenditure | 6 896 | 110 500 | 22 500 | 22 500 | -79,64% |
| Postage / Telecommunications | 855 | 4 500 | 4 500 | 4 500 | 0,00% |
| Meeting expenses | 4 451 | 5 000 | 5 000 | 5 000 | 0,00% |
| Running costs in connection with operational activities | 264 270 | 428 000 | 428 000 | 428 000 | 0,00% |
| Information and publishing | | 2 000 | 2 000 | 2 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 432 765 253 | 374 763 228 | 417 648 623 | 417 648 623 | 11,44% |
| KICs and knowledge triangle integration | 432 144 433 | 371 285 247 | 414 912 715 | 414 912 715 | 11,75% |
| EIT cross-cutting activities | 620 820 | 3 378 981 | 2 546 908 | 2 546 908 | -24,62% |
| Supporting the innovation capacity of higher education | | 99 000 | 189 000 | 189 000 | 90,91% |
| Title 4 - Unused Appropriations not required in current Year | | | | | |
| TOTAL | 439 585 731 | 384 365 952 | 427 074 783 | 427 074 783 | 11,11% |

2023 figure of KICs and knowledge triangle integration contains pre-financing payments (30% in 2023) for the European Battery Alliance grant of EUR 10 000 000 stemming from the contribution agreement with the React-EU Programme.

3.3 Budget Outturn

First estimate of the 2022 surplus that remains within the EIT: EUR 3 722 665

4 Justification of needs

Commission assessment

Human Resources

EIT requests 10 additional posts (7 TA AD and 3 CA), in excess of its current Establishment Plan, which is capped at 2020 levels. Although the EIT has been authorised for 2022 and 2023 an increase of 2 CA, the increase was allocated temporarily, with 1 CA stemming from the implementation of the additional task regarding the European Batteries Alliance (EBA) contribution agreement with DG EMP (expiry 2025), and 1 CA stemming from the substantial increase in third country contributions. This temporary increase does not address the EIT request which is driven by significantly increased workload arising from its new mandate and strategic objectives for the entire 2021-2027 period, and a 25% budget increase over the current Multiannual Financial Framework compared to previous one, for which the EIT flags growing difficulties to manage without a matching increase in the human resources.

The additional workload and budget caused by new policy priorities comes on top of concerns of structural understaffing of the EIT, as supported by the findings of the ECA and IAS on multiple occasions. This implies not only new activities

but also a raise of expectations on deliverables, both in terms of quantity and quality. We highlight below a series of new (critical) core activities:

- Launching of two new KICs on top of managing and growing the eight existing KICs.
- Preparing the transition of the three first wave KICs to new ways of active cooperation with the EIT through a Memorandum of Cooperation
- Delivering on the European Innovation Agenda, the EIT has to set up the Deep Tech Talents Initiative, to scale up Girls Go Circular and to create an EIC/EIT Innovation internship scheme.
- Delivering on the Strategy for European Universities where the EIT has a couple of dedicated actions.
- Delivering on the EU Industrial Strategy, with two of the EIT KICs running an industrial alliance (EIT InnoEnergy for the European Battery Alliance and EIT RawMaterials for the European Raw Materials Alliance).
- Assess the pilot phase of the Higher Education Initiative on innovation capacity building and prepare for the follow-up after the pilot phase that ends in 2023.
- Deliver on dedicated actions for Ukraine following the Russian aggression.
- Contribute to the implementation of the New European Bauhaus with a new innovation action.
- Provide guidance and support to KICs in the preparation of multi-annual EIT RIS strategies and in their implementation in accordance with the RIS Implementation Framework (2022-2027).
- Implement and deliver joint initiatives with the EIC following the signature a MoU signed in 2021.

Following unmet requests for additional posts for several years in a row, the EIT underwent a restructuring to optimise the deployment of available human resources. In this context and as announced in the Financial Agency Statement 2023, the EIT has implemented one of its mitigation measures to respond to the increased needs of monitoring capacity for the KICs by dissolving the Internal Audit Capability function in order to free the resources necessary for a reinforced KIC monitoring environment.

For all the reasons above, the Commission considers that the 10 additional posts requested by the EIT are needed to manage the additional workload as illustrated, non-exhaustively, in the list of additional core activities above. The EIT request for additional posts is thence relevant and duly justified and we support it.

Financial Resources

The EIT's mission is to facilitate technological innovation to foster Europe's global competitiveness. The EIT contributes to Europe's priorities such as the new Green Deal and channels innovations through eight Knowledge and Innovation Communities (KICs) intervening in different areas: climate, digitisation, renewable energy, health, sustainable raw material, food, manufacturing, urban mobility and culture and creativity. The EIT will continue to contribute to the objectives of HE, foster the integration of the knowledge triangle, increase the impact of KICs, support the innovation and entrepreneurship capacity of higher education, increase its communication activities through the EIT Summit and the EIT Alumni Community, and create synergies with other EU programmes and instruments. According to the SIA for 2021-2027, the eight existing EIT KICs were already extended by a ninth this year and will be extended with a tenth in 2027. We evaluate that the EIT assessment on the financial resources required to implement its 2024 agenda is justified and we do not find reasons to challenge the budgeting proposed.

4.1 Human Resources - EIT request

4.1.1 Number of staff requested

The EIT's mandate and tasks have been growing significantly over the past years putting it to the forefront of Europe's tools to tackle the green and digital transition through innovation. While the EIT managed three KICs from 2010 to 2014, two new KICs started their operations in 2014, another one was designated in 2016, two in 2018, and a new one in 2022. The amount of grant managed by the EIT has increased from EUR 26 million (2010) to EUR 497million (2021). The number of beneficiaries (KIC Partners) has increased from 73 (2010) to more than 3 000 (2022). This naturally led to additional work to ensure the legality and regularity of transactions and compliance with the principles of sound financial management. In addition, the EIT has taken on new activities to develop its strong innovation potential, to support KICs' financial sustainability, the **EIT Regional Innovation Scheme**, and outreach and synergies with other programmes (including inter alia Member States and the Western Balkan countries). The EIT's education agenda has also been enhanced and includes both a widening of its education and training programmes beyond degree level education and a new mandate to implement a **Higher Education Institutions initiative** and a **contributing to the skills agenda** and talent support in the areas of entrepreneurship and innovation (following the example of the European Battery Alliance

Academy). In this context, adopted in July 2022, the European Commission's **New European Innovation Agenda**, which seeks to position Europe at the forefront of the new wave of deep tech innovation and start-ups, included the EIT as one of the key actors contributing to the EU innovation flagship initiatives such as the EIT Deep Tech Talent Initiative, Deep Tech Innovation Valleys, Innovation Internships, and the Women Entrepreneurship and Leadership schemes.

The increased EIT mandate and tasks have not been matched by any increase of posts in its establishment plan. On the one hand, this means that the EIT's full potential for innovation and contribution to growth and competitiveness that could be provided by the EIT across the EU will not be fully exploited. On the other hand, it means that the EIT's structural understaffing creates a very high operational, financial and reputational risk for the EU as it cannot carry out its tasks adequately by managing close to EUR 7 billion of EU funds with 72 staff. Moreover, this situation puts staff health at high risk.

The EIT Governing Board and EIT Director have highlighted these concerns towards the EU institutions over several years, however, the situation remains to be addressed. For example, the risk of non-implementation of core elements of EIT Annual Work Programmes due to insufficient human resources has been identified by EIT since 2017, and it has considerably increased along the years. These very high risks have been acknowledged also by the European Court of Auditors and the Commission's Internal Audit Service. The former has been keeping open the observation from 2016 that *the increase in the EIT's budget and the growing number of KICs to oversee have not been accompanied by a respective increase in the number of posts.*

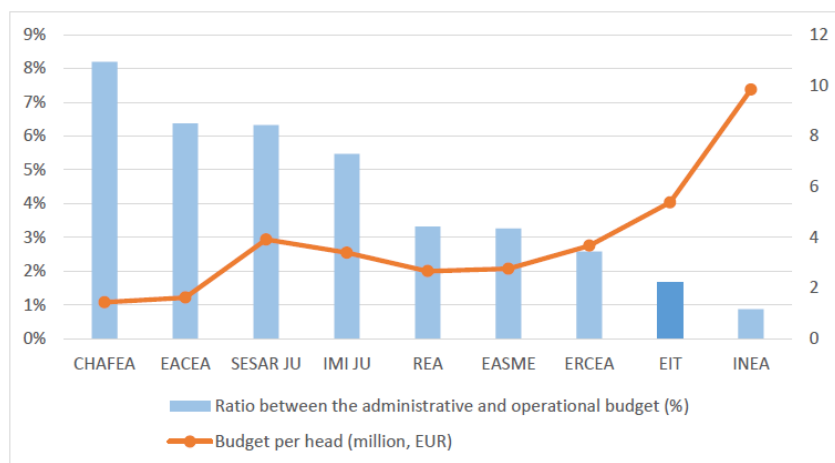
More recently, this issue was analysed by the European Commission's Internal Audit Service ('IAS') when performing an audit on human resources management at the EIT in 2020/2021. The IAS auditors evaluated various aspects of the staffing level in the EIT and benchmarked them to comparable organisations. Among European Union institutions, six executive agencies and two joint undertakings were identified as suitable for comparison.¹ As a result, the exercise enabled the IAS to gain understanding of the context and provide meaningful insight into the EIT's staffing issue. The auditors conducted an examination using two aspects (ratios) that were also used in the performance evaluation of the executive agencies in terms of human resources management and cost-effectiveness:

- a) programme management cost-efficiency (the ratio between the administrative and operational budgets for payments)
- b) budget per head (funds managed per person)

The result of this analysis for the period 2017-2019 is shown in the graph below, using the average numbers of the last three years. The IAS concluded that:

1. The EIT is more cost-efficient and manages more funds per staff than all other examined 5 EU entities except the INEA
2. Without reinforcing staffing capacity, there is a risk that the EIT cannot fully implement its multi-annual work programme (reduced effectiveness and impact) and provide assurance on funds managed (risk of errors and irregularities)
3. The EIT should consider options to increase the proportion of staff allocated to operational functions
4. The EIT should continue its efforts and work with its partner DG of the Commission, DG EAC, aiming at achieving an improved working environment promoting a better and healthier work-life balance.

¹ The Consumers, Health, Agriculture and Food Executive Agency (CHAFEA), the Executive Agency for Small and Medium-Size Enterprises (EASME), the Innovation and Networks Executive Agency (INEA), the Education, Audiovisual and Culture Executive Agency (EACEA), the Research Executive Agency (REA), the European Research Council Executive Agency (ERCEA), the Innovative Medicines Joint Undertaking (IMI JU) and the SESAR Joint Undertaking (SESAR JU). The joint undertakings selected are the largest, the most comparable in terms of size to the EIT. The other JUs are very small in size.



IAS concluded that in its audit report on the *resulting high likelihood of irregularities and staff heavy workload which “is believed to be leading to tensions, sickness and impossibility to implement the EIT’s multi-annual work programme and provide assurance on the managed funds.*

Under the Horizon Europe programme, which was adopted in 2021 the EIT’s request for 15 additional posts to match its enhanced budget and mandate was declined by the Budgetary Authorities. In the legislative financial statement it is acknowledged that ‘the EIT administrative costs are very low with less than 2% of the total budget compared to the average of 4.6% reported for Horizon 2020’. Despite this efficiency and the recognized achievements the EIT is therefore put in a highly risky situation as without adequate resources it cannot ensure that it can deliver on its new mandate and high ambition.

Consequently, EIT Governing Board’s opinion on the EIT Annual Activity Report 2021 adopted in June 2022 states that the EIT GB remains highly concerned about the continuous structural understaffing of the EIT.

To address the structural problems identified above, and to be able to effectively carry out the new tasks described above and to manage the growth of existing tasks, the EIT requests the approval of 10 additional establishment plan posts from 2024 onwards. This request already takes into account that already in 2022, to be institutionally fit for purpose, the EIT has adjusted its organisation within the current establishment plan to reflect its objectives and strategic priorities under the new Strategic Innovation Agenda 2021-2027 (adopted in May 2021).

Overview EIT new tasks vs new posts 2021-2027

| New EIT tasks under SIA 2021-2027 | Additional posts approved by EU (beyond 70) |
|--|---|
| Two new KICs (Culture& Creativity, Water) – ca. EUR 300 million | 0 |
| EIT HEI Initiative (pilot 2021-2023) – ca. EUR 90 million | 0 |
| Enhanced Regional Innovation Scheme mandate and cross-KIC, Ukraine support 2022 onwards | 0 |
| Overall Budget increase 25% compared to 2014-2020 (now ca. EUR 3 billion) and increased KIC supervision/monitoring | 0 |
| Enhanced EIT Governance (Member States Representative Group, GB/ExCo size increased and enhanced supervision role) | 0 |
| EIT National Contact Point network created and managed | 0 |
| Increased synergies (i.e. EIC, EIE, IPA, EUIPO, EUAN) | 0 |

In terms of the staffing situation, the EIT reiterates its position that due to the structural understaffing of the Institute, the level of control and checks, and subsequent assurance that can be reached may be lower than that of other EU programmes and Agencies, which have more adequate staffing compared to the budgetary amounts and operational complexity of programmes managed. With the sharp increase in the number of grant beneficiaries and even more ambitious targets set in the Strategic Innovation Agenda for 2021-2027 and in the New European Innovation Agenda, the EIT may not be able to maintain the same level of controls and hands-on guidance without increasing the number of staff working on grant management activities and risks an increase in error rates. In particular, as the EIT has not been provided with the modestly requested staff resources to fulfil its mandate to present, the level of monitoring, checks and controls of the EIT Knowledge and Innovation Communities has to be kept at a low level, increasing the risk of inefficiencies, undetected errors, irregularities and fraud. As such, core control and monitoring tasks cannot be outsourced by the EIT, this risk cannot be further mitigated other than by the following additional staff resources being provided by the EU institutions.

In terms of profiles, **10 additional Establishment Plan staff will need to be recruited based on the EIT's strategic objectives set out in the EIT Strategic Innovation Agenda 2021-2027** outlined below:

Specific Objective: Increase the impact of KICs and knowledge triangle integration:

- ✓ Managing the nine existing KICs and launching a new KIC in 2025 with an overall budget increase of 25%, ensuring compliance with the Horizon Europe regulations;
- ✓ Facilitating shared services towards the KICs and exchanges of experiences and good practices between KICs;
- ✓ Increasing the regional impact of KICs with a view to closing the innovation divide;
- ✓ Launching a new KIC in 2025.

Four additional posts are required for the four tasks above. They are as follows:

1. *KIC Programme Officers (2 posts, TA/AD8 grade)*
 - KIC Strategic Supervision Support the EIT Governing Board with the implementation of the Supervision Framework of KICs.
 - Guidance of KICs in line with the EIT Impact Framework to maximise their impact on societal challenges and to achieve financial sustainability.
 - Assess the multi-annual strategy and annual business plans of the KICs and support the process of allocating annual grants to KICs (ca. EUR 60 million per KIC).
 - Manage and carry out risk-based monitoring of KIC activities, including the preparation of input for funding allocation decisions of the EIT Governing Board.
 - Ensure coordination among KICs and that synergies with other programmes such as the European Innovation Council or the European Structural and Investment Funds are captured.
2. *KIC Programme Officer (1 post, TA/AD6 grade) – EIT Culture & Creative Industries*
 - Support the newly designated KIC in the development and delivery of its strategy.
 - Develop and improve overall supervision, management, and control systems.
 - Verify reporting documents submitted by the KIC ensuring compliance with financial and procurement rules.
 - Contribute to the assessment of KIC business plans, reports, and payments.
 - Provide regular (standardised) and ad hoc (tailor-made) reports and data analysis for the EIT Governing Board, EIT management, and other internal and external stakeholders.
 - Support the implementation of the EIT monitoring strategy and contribute to reviews and analytical reports.
3. *Project Officer (1 posts, CA/FGIV) – Cross-KIC coordination*
 - Develop shared services with the KICs while enhancing and monitoring cross-KIC collaboration.
 - Provide horizontal cross-KIC support on strategic initiatives such as the New European Bauhaus, Women Entrepreneurship, Regional Innovation Scheme, and Higher Education Initiative.

Specific Objective: Increase the innovation capacity of the higher education sector by promoting institutional change in higher education institutions (HEIs):

- ✓ Supporting the innovation capacity of higher education by involving 450 additional Higher Education Institutions in EIT and KIC activities to reach 30 000 students by end of 2027;
- ✓ Managing the related budget of EUR 400 million.

Three additional posts are required for the above-mentioned tasks. They are required as follows:

4. *Education Programme Officers (3 posts, 2 TA/AD8 grade, 1 CA/FG IV)*

- Coordinate the EIT's new action to strengthen the innovation capacity of Higher Education Institutions (HEIs)
- Design and continuously improve the conceptual framework, set common criteria for the action, and oversee them while calls are organised by the KICs.
- Contribute to the development of quality procedures, indicators, and benchmarks for monitoring (and assessment) of activity performance.
- Manage and carry out continuous monitoring and evaluation of the action. The EIT will closely monitor organization of the calls and selection of the process, to ensure strategic priorities and objectives are met.
- Support Operational Units regarding the specific action with business plan assessments, amendments of business plans, and grant reports.
- Ensure coordination among KICs and that synergies with other programmes are explored.
- Work with KICS to develop and implement programmes contributing to re-skilling and up-skilling the workforce of the industries of the future (including the Deep Tech Talent Initiative).

Specific Objective No 3: Increase the regional outreach of the EIT in order to address regional disparities in innovation capacity across the EU:

- ✓ Disseminating and sharing best practices with stakeholders;
- ✓ Increasing the impact of EIT activities through internal and international cooperation;
- ✓ Coordination of cross-KIC communication;
- ✓ Creating synergies and providing complementarities with other EU programmes or instruments, including by reinforcing EIT support to KICs in their planning and implementing activities.

Three additional posts are required for the above tasks, as follows:

5. *Programme Officer (1 post, TA/AD8 grade) –*

- Dissemination of Results and Regional Innovation Scheme.
- Widen the level of participation within KICs and increase their regional impact through the RIS, in order to close the innovation divide in Europe.
- Develop and operate a system aimed at identifying, codifying, and disseminating best practices and learnings from innovation projects.
- Support the newly established EIT National Contact Points in Member States for information, knowledge sharing, and synergies around the EIT.
- Coordinate cross-KIC communication, including the implementation of the EIT's annual integrated communications campaigns with a focus on key events like the INNOVEIT conference.
- Coordinate the dissemination of information and communication of EIT RIS activities together with the KICs.

6. *Stakeholder Relations Officers (1 post, TA/AD6; 1 post CA FGIV) –* Innovation Ecosystem synergies, one Officer will promote and foster synergies with the EIC, InvestEU, and Innovation Ecosystems, while the other will manage the 15-year collaboration with first wave of KICs (EIT Digital, EIT InnoEnergy, EIT Climate-KIC).

Their other tasks are to:

- Promote and foster synergies between EIT-KIC activities and other EU initiatives and instruments (e.g., other Horizon Europe programmes, Instrument of Pre-Accession, Erasmus+, Digital Europe Programme, and Creative Europe).
- Organise regular meetings with the Member States' Representatives Group as well as Commission-related services at least twice a year to ensure an appropriate level of information sharing with Member States and EU institutions.
- Contribute to an enhanced engagement with international organisations and key stakeholders in EU Member States, candidate countries, and

- Coordinate international EIT-funded activities implemented by the KICs.

Since 2023, the EIT places up to 2 of its establishment plan posts in Brussels at the EIT House. This facilitates synergies with key stakeholders (e.g., European Commission and European Parliament) but also is crucial for 2024 when the EIT will chair the EU Agency Network (EUAN) as part of the Troika. The EUAN chairmanship by EIT will be managed in principle with existing staff. But for logistical support and coordination of EUAN meetings and subnetworks, interim support will be needed. The EIT seeks to hire 2 interim staff in 2023 and 1 more in 2024 to manage this significant but temporary task (due to end in early 2025).

The Commission has the EIT's request under careful consideration, with respect to potential impacts on the successful implementation of the EIT's ambitious strategic objectives as well as on the continued efficiency and regularity of EIT's operations under the new Framework Programme. The 2024 DB request and the proposed establishment plan does not reflect the substantial increase in posts that EIT is requesting. This reflects the overall constraints in which the Commission and its agencies are operating i.e. it demonstrates the commitment that the Commission and agencies are implementing more and more policy work with a stable staffing. The main difference in staffing for the EIT relates to its implementation stems from the work related to the contribution agreement with the React-EU Programme (one contract agent) and the implementation of third country credits (one contract agent) in 2023.

4.1.2 Vacancy rate as of end 2022

At the end of 2022, 65 posts (40 temporary agents, 25 contract agents) were filled. Altogether 8 new staff members took up duty at the EIT and 5 left in 2022, taking the total number of staff to 65. Compared to the 63 at the end of 2021, this corresponds to an increase of 3.2% from 2021 to 2022.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The salary costs are estimated based on the assumption that all the employees will be recruited by the end of 2023. The standard abatement applied is 2%.

4.1.4 Salary assumption for calculating salary line (% applied)

Estimates of the cost of human resources are based on actual staff costs at the EIT and the salary categories defined by the Staff Regulations. To ensure adequacy to the cost of life in the host country, the correction coefficient in force in Hungary applies to the salaries. The budget appropriations covering staff expenditure for year 2023 are based on the estimate of a total of 45 temporary agents (40 AD and 5 AST) and 26 contract agents and 1 SNE.

The results of the appraisal and promotion for Temporary Agents and Contractual Agents have been taken also into account, as well as, the assumption that the EIT will receive the additional 10 posts.

4.1.5 Correction coefficient used

The correction coefficient rate applied is the one established for year 2023, namely 69.6%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - EIT Request

4.2.1 Title 1

The overall Title 1 staff expenditure is foreseen to increase by 4%. The increase is mainly because of the requested 10 additional posts (7 TAs and 3 CAs, indicated Section 4.1.1.). This request resulted in an increase of 4% in the salaries and affected the other expenses like recruitment by 24% (from 10 000 EUR to 12 400 EUR), mission and training by 4% as well.

In 2024, after joining the EU Agencies Network Troika, the EIT will take over the chairmanship of the network in 2024 for one year and will dedicate resources to cover for administrative costs related to interim services.

4.2.2 Title 2

The overall Title 2 infrastructure and operating expenditure is foreseen to decrease by 30.42%, namely by 463 430 EUR. This is linked to the indicative finalisation of the planned major redesign and renovations works to be contracted (foreseen to take place in 2023), as well as, the past underconsumption on the title 2 budget in previous budgetary periods.

4.2.3 Title 3

In 2024 the EIT will continue the implementation of its SIA 2021 – 2027, putting into practice its overall strategy and processes to analyse and assess results and impacts of all EIT Community activities continuing the EIT's culture of lessons learnt and evaluation.

The EIT will have awarded the three-years grants from 2023 onwards, in annual instalments to effectively and efficiently implement the objectives set in the SIA. At same time the EIT will have signed a new 2024-2025 grant agreement with the EIT Culture and Creativity. An amount of 406 million EUR commitment appropriation and EUR 412 million payment appropriations are estimated to be used for these grant related expenses.

In line with its legislation and the EIT Monitoring and Evaluation Strategy, the EIT will perform continuous monitoring and periodic evaluations of the output, results and impact of the EIT KICs, including their progress towards financial sustainability, as well as horizontal requirements such as cost-effectiveness of operations and openness to new members. These will then feed into important EIT Governing Board (GB) decisions on corrective measures in respect of the KICs when appropriate and on continuation of the EIT support and future collaboration with the KICs in line with the EIT GB – Supervision Framework of KIC.

EIT cross-cutting activities

In 2024, the EIT budgeted 2,8 million EUR for cross-cutting activities, which represents an increase by 0.8 million EUR from 2023. This is linked to the continuous efforts put in the implementation of the Regional Innovation Scheme (RIS), as well as conclusion of new contracts for the support of the communications and visibility of the EIT Community.

In particular, the EIT will promote and facilitate synergies with EC Policy DGs and other EU programmes and bodies. Notably but not exclusively: Commission's Directorate-Generals for Research and Innovation (DG RTD), for Education, Youth, Sport and Culture (DG EAC), for Internal Market, Industry, Entrepreneurship and SMEs (DG GROW), the Joint Research Centre (JRC), European Innovation Council (EIC), European Research Council (ERC), European Innovation Council and SME Executive Agency (EISMEA), the European Structural and Investment Funds, including the European Social Fund Plus, Erasmus, Digital Europe Programme, InvestEU, Creative Europe, Europe's programme for small and medium-sized enterprises (COSME), Horizon Europe missions, European Patent Office (EPO) and European Union Intellectual Property Office (EUIPO) and the Copernicus Programme) for the integration of Knowledge Triangle.

To further increase its visibility, the EIT will continue to reinforce its external communications activities in 2024 by promoting EIT Community activities and results, helping to increase openness and transparency and increase participation in EIT Community opportunities across Europe.

The 2024 EIT Awards will be organised to increase opportunities for targeted communication opportunities and interaction and exchange of ideas with the broader innovation ecosystems in Europe and other stakeholders, showcasing EIT success.

In 2024, the EIT Alumni Community will continue building on its portfolio of services and benefits to its members, ensuring that it brings a clear added value beyond the individual KICs' alumni communities. It will provide opportunities for sharing knowledge, training, networking, mentoring and implementing joint projects and ventures, especially in cross-thematic areas. To ensure the long-term financial sustainability of the community, the EIT Alumni Board will continue to develop a sound business and financial model and reach out to internal and external partners and sponsors.

Supporting the innovation capacity of higher education

In 2024, the EIT will continue exploring the synergies in education. In 2024 the EIT will implement a new action to support entrepreneurship and innovation for learners and graduates. In cooperation with other EU programmes (EIC, MSCA, ERC) the EIT will continue implementation of the pilot internship scheme as its contribution to the New European Innovation Agenda, as presented by the EC in 2022. Through the Education Panel the EIT will connect EIT KICs with other relevant programmes to explore potential synergy opportunities to be followed up by EIT KICs

4.2.4 Title 4

4.3 Ad hoc grants and delegation agreements

Contribution Agreement between DG EMP and EIT for financing the implementation of the European Batteries Alliance (EBA) Academy was concluded in 2021.

In 2017, the Commission launched the European Battery Alliance (EBA) to establish an innovative, sustainable and globally competitive European battery value chain. The industrial part of the EBA, with over 500 industrial members, is managed by one of the Knowledge and Innovation Communities (KICs) of the European Institute of Innovation and Technology (EIT). The objective of the action is to assist Member States in using REACT EU (and ESF+) to train both employed and unemployed people in the field of batteries to help bridge the gap of 800.000 workers by 2025.

In 2022, following the signature of the Contribution Agreement concluded between EIT and DG EMPL, VS/2021/0283 to finance the implementation of the action European Battery Alliance Academy, the EIT received an amount of EUR 10,000,000.00 as a contribution, under Article 204 Other contribution - external assigned revenue. In result, on 29 April 2022, the EIT concluded a grant agreement in support of the action European Battery Alliance with EIT InnoEnergy for a total amount of EUR 9,977,750.00, covering a period of 36 months (from 17 January 2022 to 16 January 2025). The initial prefinancing was set at an amount EUR 6,984,425.00 and executed within 30 days from entry into force of the signed grant agreement, in 2022.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| • Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant support) | Building or present value(€) |
|--------------------------|----------|-----------------------------------|------------|--------------|-----------------|---|------|---|------------------------------|
| | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 Infopark, Building E | Budapest | 2 309 | 497 | 2 806 | | 20 years (30.11.2029) | | The Hungarian Government covers the rental fee of the EIT premises during 20 years (Host Agreement) | |
| 2 Infopark, Building E | Budapest | 114 | 35 | 149 | 31 154 | 6 years (until 2024) but can be extended until 30.11.2029 | | N/A | |
| 3 EIT Liaison Office | Brussels | 59 | 11 | 70 | 24 800 | 9 years until 30.09.2027 | | N/A | |
| TOTAL | | 2 482 | 543 | 3 025 | 55 954 | | | | |

5.1.2 Current building(s) Other comments

Not applicable.

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 European schools

Not applicable.

5.3 Evaluation

An evaluation of the EIT was completed by the Commission in 2017. Midterm Horizon 2020 ex-post evaluation was launched in 2022 and will be concluded in 2023.

In its Opinion, the Commission clearly recognises the achievements of the EIT and its Innovation Communities highlighted in the EIT Mid-term Evaluation Report (https://ec.europa.eu/education/sites/education/files/2017-eit-interim-evaluation_en.pdf), namely:

- The EIT/KICs model is highly relevant and now starts to deliver concrete results
- The EIT and its Innovation Communities add value beyond national initiatives, building new types of cooperation links and facilitating cross-border interactions
- The EIT educational programmes attract high calibre students
- The co-location centre model has been instrumental for widening the Innovation Communities' geographical scope to EU's moderate innovation performers
- The EIT and its Innovation Communities fit well within the EU innovation landscape by providing support to innovation through the Knowledge Triangle Integration
- The EIT Innovation Communities adopted diversified efforts and strategies to achieve financial sustainability

The Commission also recognised the importance of the role of the EIT in supporting the Innovation Communities to implement their innovation model.

At the same time, the document indicates certain areas where there is room for improvement, e.g.:

- Integration of the EIT graduates in innovation and entrepreneurship activities of the Innovation Communities is under-exploited: education activities did not generate so far a significant number of student-led start-ups
- Further efforts are needed for the Innovation Communities to become fully integrated into the local innovation ecosystems
- Impact is mainly limited to the partners, graduates and start-ups that have directly cooperated with the EIT Innovation Communities, and less clear evidence is found on the systemic impacts of the EIT
- The potential of the EIT and its Innovation Communities to contribute to EU policy-making in specific fields has not been utilised at its best
- Synergies existing at implementation level between the EIT and other EU innovation policy initiatives and instruments are not sufficiently reflected at programming level; the EIT should work in close coordination with research and innovation activities and the financial instruments of H2020 and COSME
- Achieving fully self-financing after 15 years may be challenging for the EIT Innovation Communities

The Commission's review of the EIT acknowledges the uniqueness of the EIT model among the EU and Member States' innovation support initiatives in tackling relevant societal challenges through the Knowledge Triangle Integration. The Commission also highlights the following:

- The EIT and its Innovation Communities contributed to H2020 objectives on "societal challenges" and on "Leadership in enabling and industrial technologies"
- EIT Innovation Communities brought solutions closer to the market and paved the way for industrial and commercial implementation in areas of societal challenges
- The EIT Innovation Communities have been successful in involving the diversity of actors in the knowledge triangle, thus contributing to reduce the fragmentation in their sectoral ecosystems
- The flexibility of the EIT model is suitable and allows for testing potential new initiatives in the area of innovation support
- The EIT Innovation Communities' management costs have been significantly lowered over time, following the EIT's efforts to limit their weight on the respective accounts
- The cross-innovation community interactions, through the active support of the EIT, have been steadily increasing

Finally, the Opinion re-affirms the recommendations of the independent evaluation and commits the Commission, together with EIT and its Innovation Communities to:

- Measure and report more extensively on activities and achievements related to EU policy priorities in thematic areas
- Further improve and review the system for monitoring to better measure and capture results and impact
- Reduce the administrative burden for the EIT and its EIT Innovation Communities
- Explore way to further extent the multi-annuity of the EIT grant agreements

5.4 Privileges and immunities

| • Agency privileges | • Privileges granted to staff | |
|---|--|---|
| | • Protocol of privileges and immunities / diplomatic status | • Education / day care |
| According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010. évi CLXVI. törvény, Magyar Közlöny, 2010. évi 197. szám), which entered in force on 22 January 2011 | According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference: 2010. évi CLXVI. törvény, Magyar Közlöny, 2010. évi 197. szám), which entered in force on 22 January 2011 | The EIT has signed cooperation agreements with international schools and kindergartens and school bus transport companies in Budapest |

6 Budget Outturn

6.1 Calculation budget outturn

| Budget outturn | 2020 (1) | 2021 (1) | 2022 (1)(2) (1)(2) |
|---|--------------|------------|--------------------|
| Revenue actually received (+) | 550 031 | 401 233 | 448 612 |
| Payments made (-) | -541 891 | -399 203 | -439 586 |
| Carryover of appropriations (-) | -3 991 | -6 774 | -9 858 |
| Cancellation of appropriations carried over (+) | 886 | | 1 267 |
| Adjustment for carryover assigned revenue appropriations from previous year (+) | 2 | 5 046 | 3 320 |
| Exchange rate differences (+/-) | 24 | -15 | -32 |
| Adjustment for negative balance from previous year (-) | | | |
| TOTAL | 5 061 | 287 | 3 723 |

(1) figures in thousand EUR

(2) Budget outturn includes the contribution agreement between DG EMP and EIT for financing the implementation of the European Batteries Alliance (EBA) Academy.

(3) It includes the reactivation of EUR 1.66 million in 2021, which adjusts the budget result from -1,373 million into positive amounting to EUR 0.287 million

(4) carry over of appropriations -5.189 and Cancellation of appropriations carried over -1.585

6.2 Cancellation of appropriations

6.2.1 Cancellation of commitment appropriations

The EIT derogation related to re-entry of cancelled funds up to the following three financial years is ended in 2021. The EIT carried-over on decision of appropriations cancelled in 2022 in line with the applicable rules. The details for 2022 can be presented as follows.

| Budget Title | Cancelled |
|------------------------------|-------------------|
| 1 Staff expenditure | 497 348 |
| 2 Administrative expenditure | 129 284 |
| 3 Operational expenditure | 22 901 849 |
| TOTAL | 23 528 481 |

EUR 22 428 446 out of EUR 23 528 481 are carried over to 2023 on the decision of the EIT Governing Board 01/2023 of 25 January 2023.

Appropriations from assigned revenue of EUR 2 803 638 received in 2022 have not been used and are carried over automatically to 2023, including EUR 22 250 under the Commission's European Battery Alliance initiative.

6.2.2 Cancellation of payment appropriations for the year

The EIT derogation related to re-entry of cancelled funds up to the following three financial years is ended in 2021. The EIT will carry-over on decision of appropriations cancelled in 2022 in line with the applicable rules. The details for 2022 can be presented as follows.

| Budget Title | Cancelled | Automatic carryover | Non-automatic carryover |
|------------------------------|------------------|---------------------|-------------------------|
| 1 Staff expenditure | 497 348 | 764 363 | |
| 2 Administrative expenditure | 129 284 | 226 864 | |
| 3 Operational expenditure | 5 284 626 | | 3 069 758 |
| TOTAL | 5 911 258 | 991 228 | 3 069 758 |

Appropriations from assigned revenue of EUR 5 796 963 received in 2022 have not been used and are carried over automatically to 2023, including EUR 3 015 575 under the Commission's European Battery Alliance initiative.

6.2.3 Cancellation of payment appropriations carried over

A total amount of EUR 1 266 587 resulting from the automatic carry-over of payment appropriations from 2021 as well as from the re-entry of payment appropriations 2021 have been cancelled.

| Budget Title | Fund Source | Appropriations, EUR | Payment execution, EUR | Cancelled, EUR |
|------------------------------|-------------|---------------------|------------------------|------------------|
| 1 Staff expenditure | C8 | 505 981 | 426 904 | 79 077 |
| 2 Administrative expenditure | C8 | 232 457 | 175 189 | 57 268 |
| 3 Operational expenditure | C2 | 1 130 242 | 0 | 1 130 242 |
| TOTAL | | 1 868 680 | 602 093 | 1 266 587 |

Appropriations from assigned revenue of EUR 2 686 carried over from 2021 to 2022 have been cancelled.

6.3 Justification

6.3.1 Budget outturn

The total revenue received for the year 2022 amounts to EUR 448 612 223 including the EU subsidy, EFTA/EEA, other contributions (DG EMPL)², repayment related to administrative expense and recovery of grants as a result of an ex-post audit and unused grants.

The total payments made against 2022 payment appropriations was EUR 439 585 731.

² 10 000 000 DG Employment, Commission initiative "European Battery Alliance"

Automatic and non-automatic carry over to 2023 amounts to EUR 9 857 948.

The payment appropriations carried over from 2021 that have not been used (C2 and C8 credits) for an amount of EUR 1 266 587, will be reimbursed to the European Commission in 2023.

In 2022, the exchange rate difference of EUR -32 357 resulted in loss.

The balance of the outturn for the financial year 2022 is EUR 3 722 665.

6.3.2 Cancellation of appropriations

94% of the available commitment appropriations was committed and 97% of the available payment appropriations was executed in 2022.

Appropriations which have not been used at the end of 2022 shall be cancelled. n bThe EIT Governing Board adopted in its Decision No 1/2023 of 24 January 2023^[1],

- a. the carry-over of commitment appropriations of EUR 22,428,445.72 in respect of amounts needed to cover the multi-annual grant agreements of the Knowledge and Innovation Communities for the period 2022 and 2023 and
- b. the carry-over of payment appropriation of EUR 3,069,757.71, which is needed to cover the existing commitments made for the multi-annual grant agreements of the Knowledge and Innovation Communities for the period 2022 and 2023, where the payment appropriations provided for in the relevant budget lines for the following financial year are insufficient, consuming firstly the fresh payment appropriations authorised for the 2023.

^[1] [Ares\(2023\)553810](#)

2.1.2 Innovative Health Initiative Joint Undertaking - IMI3

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|----------|---|
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Global Health EDCTP3 Joint undertaking and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014, OJ L 427, 30.11.2021 | 30/11/21 | <p>General objectives (long-term objectives) of the initiative (Article 99(1) of the SBA):</p> <ul style="list-style-type: none"> • To reduce the socio-economic burden of infectious diseases in sub-Saharan Africa through the development and uptake of new or improved health technologies against infectious diseases; • To increase health security in sub-Saharan Africa and globally by strengthening the R&I-based capacities for preparedness and response to control infectious diseases. <p>Specific objectives of the initiative (Article 99(2) of the SBA):</p> <ol style="list-style-type: none"> 1. Advance the development and use of new or improved health technologies for tackling infectious diseases by supporting the conduct of clinical trials in sub-Saharan Africa. 2. Facilitate better alignment of R&I funders around a common strategic research and innovation agenda to increase the cost-effectiveness of European public investments. 3. Strengthen research and innovation capacity and the national health research systems in sub-Saharan Africa for tackling infectious diseases. 4. Strengthen capacity in sub-Saharan Africa for epidemic preparedness through effective and rapid research response to develop essential diagnostics, vaccines and therapeutics for early detection and control of (re-)emerging diseases of epidemic potential. <p>The Joint Undertakings are set up to operate until 31 December 2027, with their last calls launched at the latest by 31 December 2027. In line with the criteria for European Partnerships in the Annex III of the Horizon Europe Regulation, the Single Basic Act includes specific provisions for their evaluation, phasing-out and renewal. This includes an obligation for the Governing Board to submit a plan for the phasing out of the Joint Undertaking from Horizon Europe funding and an assessment of the most effective policy intervention mode for any future action as part of periodic reviews and evaluations.</p> |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 11 : Cluster 'Health' — Innovative Health Initiative Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|-----------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| | | | | | |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 34 | 32 | 94,12% | 35 | 35 |
| Assistants (AST) | 5 | 4 | 80,00% | 4 | 4 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 39 | 36 | 92,31% | 39 | 39 |
| Contract Agents (CA) | 15 | 13 | 86,67% | 15 | 15 |
| Seconded National Experts (SNE) | 1 | | 0,00% | | |
| TOTAL STAFF | 55 | 49 | 89,09% | 54 | 54 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 204 715 651 | 209 625 057 | 176 590 534 | 164 301 573 |
| Other Revenue | 10 570 026 | 10 688 558 | 6 251 305 | 5 406 307 |
| TOTAL REVENUES | 215 285 677 | 220 313 615 | 182 841 839 | 169 707 880 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 6 488 000 | 6 488 000 | 6 674 000 | 6 674 000 |
| Title 2 - Infrastructure and operating expenditure | 3 012 000 | 3 012 000 | 3 006 000 | 3 006 000 |
| Title 3 - Operational expenditure | 205 780 000 | 210 000 000 | 185 202 000 | 188 271 000 |
| TOTAL EXPENDITURE | 215 280 000 | 219 500 000 | 194 882 000 | 197 951 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | 2023 | 2024 | |
|--------------------------|-------------------|----------------------------------|-------------------|-----------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Authorised Budget | Request of the Agency | Draft Budget Request |

| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|--------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 11 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 10 | | 1 | | 2 | | 1 | | 1 | | 1 |
| AD 9 | | 7 | | 4 | | 7 | | 6 | | 6 |
| AD 8 | | 6 | | 3 | | 6 | | 6 | | 6 |
| AD 7 | | 3 | | 3 | | 4 | | 4 | | 4 |
| AD 6 | | 10 | | 6 | | 9 | | 10 | | 10 |
| AD 5 | | 2 | | 11 | | 3 | | 3 | | 3 |
| AD TOTAL | | 34 | | 32 | | 35 | | 35 | | 35 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | 4 | | 2 | | 3 | | 3 | | 3 |
| AST 3 | | | | 1 | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 5 | | 4 | | 4 | | 4 | | 4 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 39 | | 36 | | 39 | | 39 | | 39 |
| GRAND TOTAL | 39 | | 36 | | 39 | | 39 | | 39 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 3 | 4 | 5 |
| Function Group III | 11 | 10 | 11 | 10 |
| Function Group II | 1 | | | |
| Function Group I | | | | |
| TOTAL | 15 | 13 | 15 | 15 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | | | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|----------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 150 905 078 | 201 390 651 | 184 792 419 | 176 590 534 | -13,74% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 905 078 | 1 390 651 (1) | 1 646 419 | 1 646 419 | 18,39% |
| - Of which frontloading (Title 1 and Title 2) | 3 712 000 | 3 325 000 | 3 146 000 | | -100% |
| - Of which Operational (Title 3) | 150 000 000 | 200 000 000 | 180 000 000 | 174 944 115 | -12,53% |
| 2 THIRD PARTIES CONTRIBUTION | 3 727 922 | 5 820 190 | 5 249 581 | 6 251 305 | 7,41% |
| - Of which EEA/EFTA (excl. Switzerland) | 3 727 922 | 5 820 190 | 5 249 581 (2) | 6 251 305 | 7,41% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 3 953 895 | 4 750 000 | 4 840 000 | 4 840 000 | -100% |
| - Of which Administrative (Title 1 and Title 2) | 3 953 895 | 4 750 000 | 4 840 000 | 4 840 000 | -100% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 1 833 052 | | | | |
| - <i>Of which administrative</i> | | | | | |
| - <i>Of which operational</i> | 1 833 052 | | | | |
| 7 OTHER | | | | | |
| TOTAL | 166 132 812 | 215 285 677 | 194 882 000 | 182 841 839 | -15,07% |

(1) actually committed amount is EUR 1 384 810

(1) Unused operational payment appropriations at the end of financial year 2022, to be carried over directly to financial year 2024.

(2) EFTA contribution used 2,89% for 2023 and 2024.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|---|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 154 345 389 | 209 625 057 | 166 129 770 | 164 301 573 | -21,62% |
| - <i>Of which (fresh C1) Administrative (Title 1 and Title 2)</i> | 1 360 078 | 1 390 651 | 1 646 419 | 1 646 419 | 18,39% |
| - <i>Of which frontloading (Title 1 and Title 2)</i> | 2 985 311 | 3 325 000 | 3 146 000 | | -100% |
| - <i>Of which Operational (Title 3)</i> | 150 000 000 | 204 909 406 | 161 337 351 | 162 655 154 | -20,62% |
| 2 THIRD PARTIES CONTRIBUTION | 3 131 435 | 5 466 588 | 4 710 230 | 5 406 307 | -8,96% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 3 131 435 | 5 466 588 | 4 710 230 | 5 406 307 | -8,96% |
| - <i>Of which third countries</i> | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 097 565 | 4 750 000 | 4 840 000 | | -100% |
| - <i>Of which Administrative (Title 1 and Title 2)</i> | 4 097 565 | 4 750 000 | 4 840 000 | | -100% |
| - <i>Of which Operational (Title 3)</i> | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 13 781 967 | | 22 271 000 | | |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|---------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which administrative | 4 426 | | | | |
| - Of which operational | 13 777 541 | | 22 271 000 (1) | | |
| 7 OTHER | | | | | |
| TOTAL | 175 356 356 | 220 313 615 | 197 951 000 | 169 707 880 | -22,97% |

(1) Unused operational payment appropriations at the end of financial year 2022, to be carried over directly to financial year 2024.

(2) EFTA contribution used 2,89% for 2023 and 2024.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| FINANCIAL CONTRIBUTIONS OVERVIEW | 2021-2022 executed | 2023 | 2024 | TOTAL |
|--|--------------------|-------------|-------------|-------------|
| FINANCIAL CONTRIBUTIONS FROM EU (contribution to administrative costs and operational costs that includes cost claims and pre-financing) | 357,190,000 | 214,750,000 | 170,840,000 | 742,780,000 |
| FINANCIAL CONTRIBUTIONS FROM INDUSTRY (contribution to administrative costs) | 9,923,866 | 4,750,000 | 4,840,000 | 19,513,866 |
| MISCELLANEOUS (recoveries of administrative and operational costs, ex post audits) | 7,175,457 | 6,000,000 | 250,000 | 13,425,457 |
| IN KIND CONTRIBUTIONS FROM INDUSTRY (validated) | 573,105,213 | 200,000,000 | 200,000,000 | 973,105,213 |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 653 252 | 6 488 000 | 6 674 000 | 6 674 000 | 2,87% |
| Salaries & allowances | 5 249 928 | 5 922 000 | 6 128 000 | 6 128 000 | 3,48% |
| - Of which establishment plan posts | 4 451 003 | 4 992 000 | 5 158 000 | 5 158 000 | 3,33% |
| - Of which external personnel | 798 925 | 930 000 | 970 000 | 970 000 | 4,30% |
| Expenditure relating to Staff recruitment | 11 581 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | 15 543 | 144 000 | 144 000 | 144 000 | 0,00% |
| Socio-medical infrastructure | 164 012 | 152 000 | 182 000 | 182 000 | 19,74% |
| Training | 40 864 | 80 000 | 80 000 | 80 000 | 0,00% |
| External Services | 160 000 | 175 000 | 125 000 | 125 000 | -28,57% |
| Receptions, events and representation | 11 324 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 254 538 | 3 012 000 | 3 006 000 | 3 006 000 | -0,20% |
| Rental of buildings and associated costs | 705 726 | 698 000 | 690 000 | 690 000 | -1,15% |
| Information, communication technology and data processing | 748 578 | 1 090 000 | 1 090 000 | 1 090 000 | 0,00% |
| Movable property and associated costs | | 5 000 | 5 000 | 5 000 | 0,00% |
| Current administrative expenditure | 72 386 | 124 000 | 124 000 | 124 000 | 0,00% |
| Postage / Telecommunications | 23 241 | 40 000 | 47 000 | 47 000 | 17,50% |
| Meeting expenses | 33 715 | 80 000 | 100 000 | 100 000 | 25,00% |
| Running costs in connection with operational activities | 80 075 | 250 000 | 310 000 | 310 000 | 24,00% |
| Information and publishing | 178 045 | 300 000 | 300 000 | 300 000 | 0,00% |
| Studies | 412 772 | 425 000 | 340 000 | 340 000 | -20,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 255 218 052 (1) | 205 780 000 | 185 202 000 | 185 202 000 | -10,00% |
| TOTAL | 263 125 842 | 215 280 000 | 194 882 000 | 194 882 000 | -9,48% |

(1) The breakdown of total commitment appropriations execution: EUR 261,292,790 from final adopted budget, EUR 1,824,000 from re-activation, EUR 9,052 from assigned revenue.

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 598 137 | 6 488 000 | 6 674 000 | 6 674 000 | 2,87% |
| Salaries & allowances | 5 249 928 | 5 922 000 | 6 128 000 | 6 128 000 | 3,48% |
| - Of which establishment plan posts | 4 451 003 | 4 992 000 | 5 158 000 | 5 158 000 | 3,33% |
| - Of which external personnel | 798 925 | 930 000 | 970 000 | 970 000 | 4,30% |
| Expenditure relating to Staff recruitment | 13 638 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | 12 243 | 144 000 | 144 000 | 144 000 | 0,00% |
| Socio-medical infrastructure | 143 849 | 152 000 | 182 000 | 182 000 | 19,74% |
| Training | 35 774 | 80 000 | 80 000 | 80 000 | 0,00% |
| External Services | 131 381 | 175 000 | 125 000 | 125 000 | -28,57% |
| Receptions, events and representation | 11 324 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 601 420 | 3 012 000 | 3 006 000 | 3 006 000 | -0,20% |
| Rental of buildings and associated costs | 705 813 | 698 000 | 690 000 | 690 000 | -1,15% |
| Information, communication technology and data processing | 872 331 | 1 090 000 | 1 090 000 | 1 090 000 | 0,00% |
| Movable property and associated costs | | 5 000 | 5 000 | 5 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Current administrative expenditure | 81 458 | 124 000 | 124 000 | 124 000 | 0,00% |
| Postage / Telecommunications | 29 259 | 40 000 | 47 000 | 47 000 | 17,50% |
| Meeting expenses | 33 655 | 80 000 | 100 000 | 100 000 | 25,00% |
| Running costs in connection with operational activities | 85 050 | 250 000 | 310 000 | 310 000 | 24,00% |
| Information and publishing | 251 982 | 300 000 | 300 000 | 300 000 | 0,00% |
| Studies | 541 872 | 425 000 | 340 000 | 340 000 | -20,00% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 142 600 154 (1) | 210 000 000 (2) | 188 271 000 | 188 271 000 | -10,35% |
| TOTAL | 150 799 711 | 219 500 000 | 197 951 000 | 197 951 000 | -9,82% |

(1) The breakdown of total payment appropriations execution: EUR 128,822,613 from final approved budget, EUR 7,950,873 from re-activation and EUR 5,826,668 from assigned revenue.

(2) The 2023 operational payment appropriations forecasting have been revised to EUR 165,000,000 (EFTA contribution included) and this will be communicated during the hearings in order to ask for the reduction of the PA via Global Transfer exercise during 2023.

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the Joint Undertaking:

1. EUR 24,046,280 in payment appropriations, with the following breakdown:

EUR 22,271,060 unused payment appropriations of operational activities to be carried over to financial year 2024.

EUR 1,775,220 payment appropriations of administrative activities available to be carried over to 2023. Out of it, the amount of EUR 1,100,861 is related to administrative commitments carried forward to 2023.

2. EUR 38,529,863 in commitment appropriations with the following breakdown:

EUR 36,879,399 unused commitment appropriations of operational activities. Out of it, estimated available appropriations to be carried over is the amount of EUR 1,034,723. The difference of EUR 35,844, 676 is estimated not to be available to be carried over as it is stemming from closed programmes FP7 and H2020 (de-commitments, recoveries from beneficiaries).

EUR 1,650,464 unused commitment appropriations of administrative activities. Out of it, available to be carried over is the amount of EUR 825,232, representing 50%, EC part that could be carried over to operational activities in 2023.

4 Justification of needs

Commission assessment

Human Resources

Financial Resources

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In 2024, the total number of staff will be at the same level with 2023, total 54, of which 39 temporary agents and 15 contract agents.

The IHI JU staff will be assigned to implement the research agenda of IHI JU and reinforce its project management tasks under the new program Horizon Europe. When the JU changed from IMI2 to IHI, on 30 November 2021, IHI JU asked the permission to DG BUDG and DG RTD to change 1 AST2 into AD5 in order to reply to business needs, meaning having a Scientific Project Officer more and one assistant less.

Following DG RTD and DG BUDG agreement, and for transparency reasons, in the new IHI JU SEP 2022 it was explained that the new SEP would have had 1 AD5 more bringing the AD to 34 and 1 AST less bringing the AST to 5, therefore the total TA number unchanged (39 TA).

Thus, in 2023 IHI JU does not have any longer the AST 2 that was converted into an AD 5 in 2022. This in principle should be also reflected in the LFS.

In addition, as per LFS, as of 2023, IHI JU has one AST 4 less which has been converted into AD 5. Thus, in 2023 IHI JU has 35 AD and 4 AST posts.

This means that the LFS approved in 2021 is no longer up to date with the changes approved by DG BUDG and DG RTD in the past years.

4.1.2 Vacancy rate as of end 2022

At the end of 2022:

Total vacancy rate 9.09 %

TA vacancy rate 7.69%

CA vacancy rate 13.3 %

SNE 0%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The Joint Undertaking applies the following average costs per year (in EUR):

| Year | 2023 | 2024 |
|------|---------|---------|
| TA | 128,000 | 132,500 |

| | | |
|----|--------|--------|
| CA | 62,000 | 64,500 |
|----|--------|--------|

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Title 1 will mostly cover salaries of staff, missions and socio-medical structure including training of staff. The staff costs increased by 2.9%, mainly due to promotions and indexations. The external staff reduced, due to decrease in operational needs, as it is foreseen that all authorized staff establishment plan to be fully filled in. The socio-medical expenditure increased in line with operational needs and prices indexation of external services provided.

4.2.2 Title 2

Title 2 will mostly cover building rent and associated costs, IT, office, communication, workshops, experts, meetings and audits related expenditure. The budget of Title 2 will remain at the same level with 2023 mainly due to costs reallocation from ex-post audits to meetings, in line with operational needs.

4.2.3 Title 3

Based on the available information, 2024 draft preliminary budget foresees operational expenditure related to Horizon Europe' Calls and evaluations experts' costs of EUR 185 million

The payment appropriations will be used to cover financial obligations relatd to FP7, H2020 and Horizon Europe related projects.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|--------------|-----------------|---|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | Brussels | 1 598 | 206 | 1 804 | 519 000 | The same as other JTI occupying the building. | | none | |
| TOTAL | | | 1 598 | 206 | 1 804 | 519 000 | | | | |

5.1.2 Current building(s) Other comments

The indicated surface area relates to the space currently paid by IHI JU office to the building owner. Out of it, IHI is subletting to EDCTP Joint Undertaking an area of 329 m2. EDCTP JU reimburses the costs to IHI JU based on debit note. In addition to the space to be used exclusively by IHI JU office, the IHI JU has access to 733,4 m2 meeting room facilities. These facilities are shared with the other JUs housed in the same building.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

N/A

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| A host agreement has been signed in 2012 | As stated in article 8 of the Council Regulation setting up the IMI JU (73/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the IHI JU and its staff. | |

The Innovative Health Initiative Joint Undertaking (IHI JU) is the legal successor of Innovative Medicines Initiative 2 Joint Undertaking (IMI2 JU).

2.1.3 Global Health EDCTP3 Joint Undertaking - GH

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|----------|---|
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Global Health EDCTP3 Joint undertaking and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014, OJ L 427, 30.11.2021 | 30/11/21 | <p>General objectives (long-term objectives) of the initiative (Article 99(1) of the SBA):</p> <ul style="list-style-type: none"> • To reduce the socio-economic burden of infectious diseases in sub-Saharan Africa through the development and uptake of new or improved health technologies against infectious diseases; • To increase health security in sub-Saharan Africa and globally by strengthening the R&I-based capacities for preparedness and response to control infectious diseases. <p>Specific objectives of the initiative (Article 99(2) of the SBA):</p> <ol style="list-style-type: none"> 1. Advance the development and use of new or improved health technologies for tackling infectious diseases by supporting the conduct of clinical trials in sub-Saharan Africa. 2. Facilitate better alignment of R&I funders around a common strategic research and innovation agenda to increase the cost-effectiveness of European public investments. 3. Strengthen research and innovation capacity and the national health research systems in sub-Saharan Africa for tackling infectious diseases. 4. Strengthen capacity in sub-Saharan Africa for epidemic preparedness through effective and rapid research response to develop essential diagnostics, vaccines and therapeutics for early detection and control of (re-)emerging diseases of epidemic potential. <p>The Joint Undertakings are set up to operate until 31 December 2027, with their last calls launched at the latest by 31 December 2027. In line with the criteria for European Partnerships in the Annex III of the Horizon Europe Regulation, the Single Basic Act includes specific provisions for their evaluation, phasing-out and renewal. This includes an obligation for the Governing Board to submit a plan for the phasing out of the Joint Undertaking from Horizon Europe funding and an assessment of the most effective policy intervention mode for any future action as part of periodic reviews and evaluations.</p> |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 12 : Cluster 'Health' — Global Health EDCTP3 Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|-----------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| | | | | | |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 15 | | 0,00% | 19 | 23 |
| Assistants (AST) | 2 | | 0,00% | 3 | 3 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 17 | | 0,00% | 22 | 26 |
| Contract Agents (CA) | 6 | 3 | 50,00% | 8 | 8 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 23 | 3 | 13,04% | 30 | 34 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 133 693 568 | 54 441 083 | 144 172 417 | 72 244 509 |
| Other Revenue | 3 863 744 | 1 573 347 | 5 103 704 | 2 557 456 |
| TOTAL REVENUES | 137 557 312 | 56 014 430 | 149 276 121 | 74 801 965 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | | | 4 135 | |
| | 3 519 371 | 3 519 371 | 408 | 4 135 408 |
| Title 2 - Infrastructure and operating expenditure | 2 163 829 | 2 163 829 | 2 542 592 | 2 542 592 |
| Title 3 - Operational expenditure | 131 874 112 | 50 331 230 | 141 661 000 | 67 654 375 |
| TOTAL EXPENDITURE | 137 557 312 | 56 014 430 | 148 339 000 | 74 332 375 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | | | 2 | | 2 | | 2 |
| AD 11 | | 1 | | | | 1 | | 1 | | 1 |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | | | | | |
| AD 8 | | 3 | | | | 5 | | 7 | | 7 |
| AD 7 | | 4 | | | | 4 | | 4 | | 4 |
| AD 6 | | 3 | | | | 5 | | 7 | | 7 |
| AD 5 | | 1 | | | | 1 | | 1 | | 1 |
| AD TOTAL | | 15 | | | | 19 | | 23 | | 23 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | 1 | | 1 | | 1 |
| AST 4 | | 1 | | | | 1 | | 1 | | 1 |
| AST 3 | | 1 | | | | 1 | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 2 | | | | 3 | | 3 | | 3 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 17 | | | | 22 | | 26 | | 26 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| GRAND TOTAL | 17 | | | | 22 | | 26 | | 26 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 1 | 4 | 4 |
| Function Group III | 3 | 2 | 4 | 4 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 6 | 3 | 8 | 8 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|---------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 69 630 152 | 133 693 568 | 144 172 417 | 144 172 417 | 7,84% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 87 876 | 5 523 568 (2) | 6 490 427 | 6 490 427 | 17,50% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 69 542 276 | 128 170 000 | 137 681 990 (2) | 137 681 990 | 7,42% |
| 2 THIRD PARTIES CONTRIBUTION | 1 682 935 | 3 863 744 | 4 166 583 | 5 103 704 (1) | 32,09% |
| - Of which EEA/EFTA (excl. Switzerland) | 1 682 935 | 3 863 744 | 4 166 583 | 5 103 704 | 32,09% |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 71 313 087 | 137 557 312 | 148 339 000 | 149 276 121 | 8,52% |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

(2) For 2023, a transfer 23.199 € of both commitment appropriations and payment appropriations will be done from the running cost budget line (01 02 02 12 01) to the operational budget line (01 02 02 12 02)

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 426 190 | 54 441 083 | 72 244 509 | 72 244 509 | 32,70% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 174 841 | 5 523 568 | 6 490 427 | 6 490 427 | 17,50% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 251 349 | 48 917 515 | 65 754 082 | 65 754 082 | 34,42% |
| 2 THIRD PARTIES CONTRIBUTION | 103 019 | 1 573 347 | 2 087 866 | 2 557 456 | 62,55% |
| - Of which EEA/EFTA (excl. Switzerland) | 103 019 | 1 573 347 | 2 087 866 | 2 557 456 | 62,55% |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 529 209 | 56 014 430 | 74 332 375 | 74 801 965 | 33,54% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| FINANCIAL CONTRIBUTIONS OVERVIEW | Executed in 2022 | 2023 | 2024 |
|--|------------------|---------------------------------------|---------------------------------------|
| | Executed | As requested by the joint undertaking | As requested by the joint undertaking |
| 1. FINANCIAL CONTRIBUTION FROM EU | 529 209 | 56 014 430 | 74 801 965 |
| 2. CONTRIBUTIONS FROM EDCTP3 ASSOCIATION COUNTRIES | 0 | 0 | 0 |
| of which financial contribution | 0 | 0 | 0 |
| of which contribution in kind | 0 | 0 | 0 |
| 3 OTHER MEMBERS CONTRIBUTIONS | 0 | 0 | 0 |
| TOTAL CONTRIBUTIONS | 529 209 | 56 014 430 | 74 801 965 |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|----------------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 87 876 | 3 519 371 | 4 135 408 | 4 135 408 | 17,50% |
| Salaries & allowances | 55 474 | 3 202 522 | 3 763 100 | 3 763 100 | 17,50% |
| - <i>Of which establishment plan posts</i> | | 2 818 220 | 3 311 528 | 3 311 528 | 17,50% |
| - <i>Of which external personnel</i> | 55 474 | 384 302 | 451 572 | 451 572 | 17,50% |
| Expenditure relating to Staff recruitment | | 132 922 | 156 187 | 156 187 | 17,50% |
| Mission expenses | 32 376 | 71 723 | 84 277 | 84 277 | 17,50% |
| Socio-medical infrastructure | 26 | 33 230 | 39 047 | 39 047 | 17,50% |
| Training | | 53 498 | 62 862 | 62 862 | 17,50% |
| External Services | | 22 153 | 26 031 | 26 031 | 17,50% |
| Receptions, events and representation | | 3 323 | 3 905 | 3 905 | 17,49% |
| Social welfare | | - | - | - | |
| Other Staff related expenditure | | - | - | - | |
| Title 2 - Infrastructure and operating expenditure | | 2 163 829 | 2 542 592 | 2 542 592 | 17,50% |
| Rental of buildings and associated costs | | 220 000 | 258 509 | 258 509 | 17,50% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Information, communication technology and data processing | | 531 248 | 624 239 | 624 239 | 17,50% |
| Movable property and associated costs | | 161 142 | 189 348 | 189 348 | 17,50% |
| Current administrative expenditure | | 92 094 | 108 215 | 108 215 | 17,50% |
| Postage / Telecommunications | | 40 314 | 47 371 | 47 371 | 17,50% |
| Meeting expenses | | 407 485 | 478 812 | 478 812 | 17,50% |
| Running costs in connection with operational activities | | 139 204 | 163 570 | 163 570 | 17,50% |
| Information and publishing | | 199 144 | 234 003 | 234 003 | 17,50% |
| Studies | | 373 198 | 438 525 | 438 525 | 17,50% |
| Other infrastructure and operating expenditure | | - | - | - | |
| Title 3 - Operational expenditure | 71 225 211 | 131 874 112 | 141 661 000 | 141 661 000 | 10,53% |
| TOTAL | 71 313 087 | 137 557 312 | 148 339 000 | 148 339 000 | 7,84% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------|------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 72 871 | 3 519 371 | 4 135 408 | 4 135 408 | 17,50% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Salaries & allowances | 39 627 | 3 202 522 | 3 763 100 | 3 763 100 | 17,50% |
| - Of which establishment plan posts | | 2 818 220 | 3 311 528 | 3 311 528 | 17,50% |
| - Of which external personnel | 39 627 | 384 302 | 451 572 | 451 572 | 17,50% |
| Expenditure relating to Staff recruitment | 23 275 | 132 922 | 156 187 | 156 187 | 17,50% |
| Mission expenses | 9 969 | 71 723 | 84 277 | 84 277 | 17,50% |
| Socio-medical infrastructure | | 33 230 | 39 047 | 39 047 | 17,50% |
| Training | | 53 498 | 62 862 | 62 862 | 17,50% |
| External Services | | 22 153 | 26 031 | 26 031 | 17,50% |
| Receptions, events and representation | | 3 323 | 3 905 | 3 905 | 17,49% |
| Social welfare | | - | - | - | |
| Other Staff related expenditure | | - | - | - | |
| Title 2 - Infrastructure and operating expenditure | 154 705 | 2 163 829 | 2 542 592 | 2 542 592 | 17,50% |
| Rental of buildings and associated costs | 132 259 | 220 000 | 258 509 | 258 509 | 17,50% |
| Information, communication technology and data processing | | 531 248 | 624 239 | 624 239 | 17,50% |
| Movable property and associated costs | | 161 142 | 189 348 | 189 348 | 17,50% |
| Current administrative expenditure | | 92 094 | 108 215 | 108 215 | 17,50% |
| Postage / Telecommunications | | 40 314 | 47 371 | 47 371 | 17,50% |
| Meeting expenses | 22 446 | 407 485 | 478 812 | 478 812 | 17,50% |
| Running costs in connection with operational activities | | 139 204 | 163 570 | 163 570 | 17,50% |
| Information and publishing | | 199 144 | 234 003 | 234 003 | 17,50% |
| Studies | | 373 198 | 438 525 | 438 525 | 17,50% |
| Other infrastructure and operating expenditure | | | - | - | |
| Title 3 - Operational expenditure | 301 633 | 50 331 230 | 67 654 375 | 67 654 375 | 34,97% |
| TOTAL | 529 209 | 56 014 430 | 74 144 802 | 74 144 802 | 32,70% |

3.4 Budget Outturn

There is no budget outturn since EDCTP3 is still under RTD Direct Management.

Full execution of 2022 commitment and payment appropriations

4 Justification of needs

Commission assessment

Human Resources

Agreement reached on the HR request (which is in line with the LFS)

Financial Resources

Agreement reached on the requested level of credits. The commitment appropriations proposed for 2024 are EUR 24 million lower than the financial programming due to EUR 20 million loan to the health cluster line 01 02 02 10 to be paid back in 2025 and a correction for EFTA.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In 2023, the total number of staff will be 30, out of which the interim Executive Director for now is still assured by the interim appointed by the Commission, 21 additional temporary agents, and 8 contract agents. After delays in 2022 staff recruitment has now accelerated and 20 staff members are expected by end of Q2 and the full complement of staff by the end of the year.

In 2024, the total number of staff will be 34, out of which 26 temporary agents, and 8 contract agents.

The staff will be assigned to implement the research agenda of the Global Health EDCTP3 JU and reinforce its project management tasks.

4.1.2 Vacancy rate as of end 2022

87% vacancy rate.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The budget of staff related expenditure includes 2% promotions and indexations.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Title 1 will mostly cover salaries of staff, missions and socio-medical structure including training of staff. The budget of staff related expenditure includes 2% promotions and indexations. The staff expenditure and socio-medical expenditure increased in line with prices indexation of external services provided. The missions' expenditure increased due to expected higher number of missions during 2023, as 2022 was budgeted in view of the start-up phase of the JU.

4.2.2 Title 2

4.2.3 Title 3

Based on the available information, 2023 budget foreseen operational expenditure related to Horizon Europe Calls of EUR 131million and evaluations experts' costs of EUR 0,6 million. The payment appropriations will be consumed mainly by pre-financing payments of 2022 Calls for EUR 50 million.

Based on the available information, 2024 draft preliminary budget foreseen operational expenditure related to Horizon Europe' Calls of EUR 141 million and evaluations experts' costs of EUR 0,6 million.

The payment appropriations will be consumed mainly by pre-financing payments for a total amount of EUR 68 million of which EUR 5 million on pre-2023 calls, EUR 35,77 million on the 2023 Q2 Single Stage Call, and EUR 26,9 million on Q4 Two Stage Call (2nd Stage)

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|------------|-----------------|--|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | Brussels | 329 | | 329 | 140 000 | The same as other JTI occupying the building | | none | |
| TOTAL | | | 329 | | 329 | 140 000 | | | | |

5.1.2 Current building(s) Other comments

The surface area indicated relates to the space currently occupied by the Global Health EDCTP3 JU office. In addition to the space to be used exclusively by Global Health EDCTP3 JU office, the Global Health EDCTP3 JU has access to 733,4 m2 meeting room facilities. These facilities are shared with the other JUs housed in the same building.

We are looking into increasing the office footprint to accommodate the additional staff to be recruited. This office space has to be located in the White Atrium building. Several options are currently being looked at, so that as of Q3/2023 the space is available.

5.1.3 Building projects in the planning phase

NA

5.1.4 Building projects submitted to the European Parliament and the Council

NA

5.2 Evaluation

N/A

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|---|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| A host agreement will have to be signed in 2021 | As stated in article 172 of the Council Regulation setting up the EDCTP3 GH JU (2085/2021 of 19/11/2021) the Protocol on the Privileges and Immunities of the European Communities shall apply to the EDCTP3 GH JU and its staff. | |

2.1.4 European High Performance Computing Joint Undertaking - EUROHPC2

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|-----------------------|--|
| Council Regulation (EU) 2018/1488 of 28 September 2018 establishing the European High Performance Computing Joint Undertaking | 28.09.2018 | The Joint Undertaking shall have the following overall objectives: (a) to provide scientists, industry and the public sector from the Union or an Associated Country to Horizon 2020 with latest High Performance Computing and Data Infrastructure and support the development of its technologies and its applications across a wide range of fields. (b) to provide a framework for acquisition of an integrated world-class pre-exascale supercomputing and data infrastructure in the Union; (c) to provide Union level coordination and adequate financial resources to support the development and acquisition of such infrastructure, which will be accessible to users from the public and private sector primarily for research and innovation purposes; (d) to support the development of an integrated High Performance Computing ecosystem in the Union covering all scientific and industrial value chain segments notably hardware, software, applications, services, engineering, interconnections, know-how and skills. |
| and Council Regulation (EU) 2021/1173 of 13 July 2021 on establishing the European High Performance Computing Joint Undertaking and repealing Regulation (EU) 2018/1488 | and 13.07.2021 | and The main expected outcomes for the proposed EuroHPC Joint Undertaking in the next decade would include: – A federated, secure and hyper-connected European HPC and data infrastructure with mid-range supercomputers and at least two top class exascale and two top class post-exascale systems (at least one of each category built with European technology); – Hybrid computing infrastructures integrating advanced computing systems – notably quantum simulators and quantum computers – in HPC infrastructures; – A secure cloud-based HPC and data infrastructure for European private users; – HPC-powered capacities and services based on European public data spaces for scientists, industry and the public sector; – Next generation technology building blocks (hardware and software) and their integration into innovative HPC architectures for exascale and post-exascale systems; – Centres of Excellence in HPC applications and industrialisation of HPC software, with novel algorithms, codes and tools optimised for future generations of supercomputers; – Large-scale industrial pilot test-beds and platforms for HPC and data applications and services in key industrial sectors; – National HPC Competence Centres, ensuring a wide coverage of HPC in |

| | | |
|--|--|--|
| | | the EU, with specific services and resources for industrial innovation (including SMEs); – A significant increase for Europe’s workforce in HPC skills and know-how; – Reinforced data storage, processing capacities, and new services, in areas of public interest across the Member States. |
|--|--|--|

1.2 Seat

The seat of EuroHPC Joint Undertaking is Luxembourg.

1.3 Budget line

01 02 02 41 : Cluster ‘Digital, Industry and Space’ — European High-Performance Computing Joint Undertaking (EuroHPC)

02 03 03 02 : European High-Performance Computing joint undertaking (EuroHPC)

02 04 02 11 : High-Performance Computing Joint Undertaking (EuroHPC)

02 04 99 02 : Completion of the European High-Performance Computing Joint Undertaking (EuroHPC) under the previous programme Connecting Europe Facility (CEF) — ICT (prior to 2021)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 21 | 7 | 33,33% | 25 | 25 |
| Assistants (AST) | 1 | | 0,00% | 2 | 2 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 22 | 7 | 31,82% | 27 | 27 |
| Contract Agents (CA) | 25 | 16 | 64,00% | 27 | 27 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 47 | 23 | 48,94% | 54 | 54 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 451 667 798 | 476 898 443 | 201 664 326 | 183 457 335 |
| Other Revenue | 606 700 329 | 651 300 188 | 7 169 492 | 89 602 347 |
| TOTAL REVENUES | 1 058 368 127 | 1 128 198 631 | 208 833 818 | 273 059 682 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|-------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 5 795 140 | 8 349 470 | 6 185 868 | 6 185 868 |
| Title 2 - Infrastructure and operating expenditure | 2 102 750 | 4 721 443 | 1 569 307 | 1 569 307 |
| Title 3 - Operational expenditure | 1 058 874 748 | 1 123 214 370 | 199 767 825 | 264 143 451 |
| TOTAL EXPENDITURE | 1 066 772 638 | 1 136 285 283 | 207 523 000 | 271 898 626 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | 1 | | |
| AD 14 | | 1 | | 1 | | 1 | | | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 1 | | | | 1 | | 1 | | 1 |
| AD 11 | | | | | | | | 1 | | 1 |
| AD 10 | | 2 | | 1 | | 2 | | 1 | | 1 |
| AD 9 | | | | | | | | 2 | | 2 |
| AD 8 | | 16 | | 2 | | 16 | | 10 | | 10 |
| AD 7 | | | | 3 | | | | 4 | | 4 |
| AD 6 | | 1 | | | | 5 | | 5 | | 5 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 21 | | 7 | | 25 | | 25 | | 25 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | 1 | | | | 2 | | 2 | | 2 |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 1 | | | | 2 | | 2 | | 2 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 22 | | 7 | | 27 | | 27 | | 27 |
| GRAND TOTAL | | 22 | | 7 | | 27 | | 27 | | 27 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 9 | 6 | 12 | 12 |
| Function Group III | 13 | 9 | 14 | 14 |
| Function Group II | 3 | 1 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 25 | 16 | 27 | 27 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | VAR 2024/2023 (%) |
|--|------------------------------------|----------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 420 761 965 | 451 667 798 | 207 523 000 | 201 664 326 | -55,35% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 3 425 019 | 6 025 367 | 8 443 000 | 7 535 536 | 25,06% |
| - Of which frontloading (Title 1 and Title 2) | 1 740 965 | 1 696 984 | | | -100% |
| - Of which Operational (Title 3) | 415 595 981 | 443 945 447 | 199 080 000 | 194 128 790 | -56,27% |
| 2 THIRD PARTIES CONTRIBUTION | 10 468 251 | 13 135 188 | | 7 169 492 | 100% |
| - Of which EEA/EFTA (excl. Switzerland) | 10 468 251 | 13 135 188 | (2) | 7 169 492 (1) | 100% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 52 000 000 | 320 000 000 | | | -100% |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 52 000 000 | 320 000 000 | | | -100% |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 881 267 825 | 286 700 329 | | | -100% |
| - Of which administrative | 3 006 680 | 4 730 678 | | | -100% |
| - Of which operational | 878 261 145 | 281 969 651 | | | -100% |
| 7 OTHER | | | | | |
| TOTAL | 1 364 498 041 | 1 071 503 315 | 207 523 000 | 208 833 818 | -80,27% |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the "Joint Undertaking request" columns in the "Revenue" and "Expenditure" tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast"

columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

- (2) The joint undertaking wrongly included the amount of the expected contribution from EFTA in the amount shown as EU contribution.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|----------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 390 966 609 | 476 898 443 | 188 657 507 | 183 457 335 | -61,53% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 3 422 859 | 6 025 367 | 8 443 000 | 7 535 536 | 25,06% |
| - Of which frontloading (Title 1 and Title 2) | 1 740 965 | 1 696 984 | | | -100% |
| - Of which Operational (Title 3) | 385 802 785 | 469 176 092 | 180 214 507 | 175 921 799 | -62,50% |
| 2 THIRD PARTIES CONTRIBUTION | 8 244 911 | 12 128 188 | | 6 361 223 | 100% |
| - Of which EEA/EFTA (excl. Switzerland) | 8 244 911 | 12 128 188 | | 6 361 223 (1) | 100% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 67 700 255 | 171 552 305 | 83 241 124 | 83 241 124 | -51,48% |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 67 700 255 | 171 552 305 | 83 241 124 | 83 241 124 | -51,48% |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 152 372 684 | 479 747 883 | | | -100% |
| - Of which administrative | 297 493 | 5 173 022 | | | -100% |
| - Of which operational | 152 075 191 | 474 574 861 | | | -100% |
| 7 OTHER | | | | | |
| TOTAL | 619 284 459 | 1 142 018 171 | 271 898 631 | 273 059 682 | -75,80% |

- (1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “Joint Undertaking request” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| Third-party contributions (commitment appropriations) | Executed budget 2022 | Budget 2023 | Draft budget 2024 |
|--|-------------------------|-------------------------|-------------------|
| Financial contributions to the administrative costs of JU covered by the Participant States | € - | € - | € - |
| Financial contributions to the administrative costs of JU covered by the Private Members | € - | € - | € - |
| Financial contributions to the operational costs of JU covered by the Participant States (1) | € 377,705,000.00 | € 320,000,000.00 | |
| In-kind contributions to the operational activities covered by the Private Members (2) | € 6,793,477.55 | | |
| In-kind contributions to the operational activities covered by the Participating States | € 25,005,655.36 | | |
| TOTAL appropriations co-financed | € 409,504,132.91 | € 320,000,000.00 | € - |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 483 871 | 5 795 140 | 6 185 868 | 6 185 868 | 6,74% |
| Salaries & allowances | 2 081 956 | 4 922 143 | 5 261 868 | 5 261 868 | 6,90% |
| - <i>Of which establishment plan posts</i> | 887 096 | 3 041 972 | 3 251 928 | 3 251 928 | 6,90% |
| - <i>Of which external personnel</i> | 1 194 860 | 1 880 171 | 2 009 940 | 2 009 940 | 6,90% |
| Expenditure relating to Staff recruitment | 1 501 | 29 548 | 32 000 | 32 000 | 8,30% |
| Mission expenses | 90 752 | 91 483 | 92 000 | 92 000 | 0,57% |
| Socio-medical infrastructure | 309 662 | 751 966 | 800 000 | 800 000 | 6,39% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 211 762 | 2 102 750 | 1 569 307 | 1 569 307 | -25,37% |
| Rental of buildings and associated costs | 21 111 | 146 359 | 156 461 | 156 461 | 6,90% |
| Information, communication technology and data processing | 228 991 | 239 049 | 245 000 | 245 000 | 2,49% |
| Movable property and associated costs | | 16 064 | 17 000 | 17 000 | 5,83% |
| Current administrative expenditure | 166 230 | 617 947 | 640 000 | 640 000 | 3,57% |
| Postage / Telecommunications | 5 313 | 8 032 | 9 000 | 9 000 | 12,05% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|-----------------------------|-----------------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Meeting expenses | 34 779 | 81 121 | 85 000 | 85 000 | 4,78% |
| Running costs in connection with operational activities | 248 338 | 120 481 | 125 000 | 125 000 | 3,75% |
| Information and publishing | | 46 280 | 47 000 | 47 000 | 1,56% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 507 000 | 827 417 | 244 846 | 244 846 | -70,41% |
| Title 3 - Operational expenditure | 1 083 776 119 | 1 058 874 748 | 199 767 825 | 199 767 825 | -81,13% |
| TOTAL | 1 087 471 752 (1) | 1 066 772 638 (1) | 207 523 000 | 207 523 000 | -80,55% |

(1) The difference between the amounts of revenue and of expenditure correspond to unused appropriations.

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 247 881 | 8 349 470 | 6 185 868 | 6 185 868 | -25,91% |
| Salaries & allowances | 2 020 726 | 6 790 315 | 5 261 868 | 5 261 868 | -22,51% |
| - Of which establishment plan posts | 887 096 | 4 238 017 | 3 251 928 | 3 251 928 | -23,27% |
| - Of which external personnel | 1 133 630 | 2 552 298 | 2 009 940 | 2 009 940 | -21,25% |
| Expenditure relating to Staff recruitment | 1 501 | 69 377 | 32 000 | 32 000 | -53,88% |
| Mission expenses | 46 203 | 267 128 | 92 000 | 92 000 | -65,56% |
| Socio-medical infrastructure | 179 451 | 1 222 650 | 800 000 | 800 000 | -34,57% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 764 635 | 4 721 443 | 1 569 307 | 1 569 307 | -66,76% |
| Rental of buildings and associated costs | 21 111 | 396 054 | 156 461 | 156 461 | -60,50% |
| Information, communication technology and data processing | 213 074 | 713 249 | 245 000 | 245 000 | -65,65% |
| Movable property and associated costs | | 33 226 | 17 000 | 17 000 | -48,84% |
| Current administrative expenditure | 116 880 | 1 376 570 | 640 000 | 640 000 | -53,51% |
| Postage / Telecommunications | 1 689 | 38 424 | 9 000 | 9 000 | -76,58% |
| Meeting expenses | 26 916 | 446 976 | 85 000 | 85 000 | -80,98% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Running costs in connection with operational activities | 228 106 | 198 565 | 125 000 | 125 000 | -37,05% |
| Information and publishing | | 196 318 | 47 000 | 47 000 | -76,06% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 156 859 | 1 322 061 | 244 846 | 244 846 | -81,48% |
| Title 3 - Operational expenditure | 151 126 945 | 1 123 214 370 | 264 143 451 | 264 143 451 | -76,48% |
| TOTAL | 154 139 461 (1) | 1 136 285 283 (1) | 271 898 626 | 271 898 626 | -76,07% |

(1) The difference between the amounts of revenue and of expenditure correspond to unused appropriations.

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: the first estimate of 2022 surplus remains with the JU (see unused appropriations)

4 Justification of needs

Commission assessment

Human Resources

The human resources requested for 2024 are in line with the LFS annexed to Proposal for a COUNCIL REGULATION 2021/1173 on establishing the European High Performance Computing Joint Undertaking (for LFS see: COM/2020/569 final.)

The human resources requested for 2024 already include the expected reduction due to the impact of the European Chips Act Proposal (which has been calculated at minus 3 FTE's from the staffing figures included in the LFS).

Financial Resources

The financial resources requested for 2024 in terms of commitment appropriations from Horizon Europe and Digital Europe Programme are in line with the LFS and already reflects the reduction linked to the European Chips Act Proposal. Concerning the contribution from CEF Digital, it is proposed to backload the initial planned EUR 100 million contribution to future years and therefore this amount has not been included in the DB2024. This change is reflected in the financial programming 2025-2027.

The payment appropriations requested are in line with the LFS, but already reflect the expected reduction linked to the European Chips Act Proposal.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

54 posts in total. The LFS annexed to the Council Regulation on establishing the European High Performance Computing Joint Undertaking included 57 posts. However, the number of posts was reduced by 3, due to the Chips Act.

4.1.2 Vacancy rate as of end 2022

At the end of 2022, EuroHPC JU filled 23 posts. The recruitment was delayed due to the late adoption of the new Council Regulation in 2021, which led to the late adoption of the EuroHPC JU 2022 Work Plan. Under the current Council Regulation, there were 57 posts initially foreseen for 2023, which were subsequently reduced to 54. The objective is to recruit in 2023 most of the open positions.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the total average salary cost.

The EuroHPC Joint Undertaking became autonomous in September 2020. The recruitment of the staff is progressive, expected to reach 54 foreseen posts in 2024.

4.1.5 Correction coefficient used

coefficient is 100% for Luxembourg

4.1.6 Exchange rate used (if applicable)

Not Applicable

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

With the establishment of its workforce, the EuroHPC JU will continue the recruitment of new staff and cover the salaries, social security and other related allowances. As new staff will be employed over the 2023–2024 period, the JU will have to cover the expenditure arising from the search for suitable candidates (publishing vacancies, dissemination) and subsequent administration costs of the recruitment of new staff members (installation, resettlement and daily subsistence allowances, removal and travel expenses). As part of its duties, JU staff will have to travel to various conferences, meetings and workshops related to the activities of the JU and to the actions funded. The JU will have to cover the costs of the annual medical check-up of staff and associated analyses required, health insurance, schooling allowances and trainings. It also includes the employer contribution to staff pensions (as requested by ECA in its 2021 Audit report).

Title 1 is covered by the EU contribution in line with the EuroHPC JU Regulation.

4.2.2 Title 2

The JU will have to ensure that the working conditions of its staff comply with the standards rules of the EU institutions. To allow its staff to perform its work, the JU will need to be equipped with appropriate office equipment and networking facilities, allowing using the standard IT toolchain of the EU programmes and institutions. As part of the activities of the JU, some meetings (like community workshops) are likely to require big rooms that will have to be rented. There will be also the costs of office supplies, correspondence, and telecommunications, as well as the running costs related to the operational activities – auditing, legal assistance, communication; the costs related to the evaluation, selection and review of actions. Also, in 2022, the Commission stopped providing accounting services to EuroHPC JU. As a result, EuroHPC JU joined the BOA Accounting arrangement and the Europe's Rail Joint Undertaking (EU-Rail) is now providing accountant/accountancy services. The EU-Rail accountant will certify EuroHPC JU 2022 accounts.

Title 2 is covered by the EU contributions in line with the EuroHPC JU Regulation.

4.2.3 Title 3

The main purpose of the Joint Undertaking is the indirect implementation of the EU budget. The mission is to develop, deploy, extend and maintain in the Union an integrated world-class supercomputing and data infrastructure and to develop and support a highly competitive and innovative High Performance Computing ecosystem.

In 2024, the EuroHPC Joint Undertaking will continue implementing the R&I actions in the context of Horizon 2020, Horizon Europe, Digital Europe Programme (DEP) and CEF. The EuroHPC JU foresees to launch the following infrastructure-related calls in 2024:

- 2nd HPC Exascale
- Midrange HPC 3rd call (CEI and procurement)
- Industrial HPC (procurement)
- Access and allocation of HPC time activities
- HPC Training Activities
- HPC technology calls
- International Cooperation calls

Title 3 is covered by the EU and Participating States' national contributions and Private Members contributions. The EU share comes from the legacy (H2020 / CEF1) and the new MFF: HE, DEP and CEF2 – Framework Programmes. The Participating States' total national contributions foreseen are indicated in the Council Regulation.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--|--|----------------------------------|------------|--------------|-----------------|------------|---|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Drosbach Building | 12E rue Guillaume Kroll L-1882 Luxembourg | 775 | | 775 | 18 600 | Until 2026 | Hosting Agreement | Luxembourg | |
| 2 | Drosbach Building (due to increased number of staff additional offices will be made available) | 12E rue Guillaume Kroll L-1882 Luxembourg (Wing B) | 775 | | 775 | 18 600 | Until 2026 | Hosting Agreement – currently being updated | Luxembourg | |
| TOTAL | | | 1 550 | | 1 550 | 37 200 | | | | |

5.1.2 Current building(s) Other comments

The Luxembourg Authorities are providing the JU offices for free. The rent covers the running costs (electricity, water etc.). Due to the foreseen increased staff levels additional offices in the same wing will be made available. The Hosting agreement is currently being updated.

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

None

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|--|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| None | As stated in the article 18 of the Council Regulation (EU) 2018/1488 setting up the EuroHPC Joint Undertaking, the Protocol on the Privileges and Immunities of the European Communities applies to the EuroHPC Joint Undertaking and its staff. | None |

2.1.5 Chips Joint Undertaking - CHIPS

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|-------------------|---|
| <p>COUNCIL REGULATION (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014 Council Regulation 561/2014 of 6 May 2014 setting up the ECSEL Joint Undertaking (OJ L 169/152) Council Regulation 72/2008 setting up the ENIAC Joint Undertaking (OJ L 30/21, 04/02/2008) Council Regulation (EC) No. 74/2008 on the establishment of ARTEMIS Joint Undertaking to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30/52, 04/02/2008)</p> | <p>19/11/2021</p> | <p>The Chips (ex-Key Digital Technologies) Joint Undertaking is the successor of the ECSEL Joint Undertaking, which itself was the successor of both the ENIAC and ARTEMIS joint undertakings.</p> <p>The Chips (ex-Key Digital Technologies) Joint Undertaking shall have the following general objectives:</p> <ul style="list-style-type: none"> (a) reinforce the Union’s strategic autonomy in electronic components and systems to support future needs of vertical industries and the economy at large. The overall target is to contribute towards doubling the value of the design and production of electronic components and systems in Europe by 2030, in line with the weight of the Union in products and services; (b) establish Union scientific excellence and innovation leadership in emerging components and systems technologies, including in activities related to lower TRLs; and promote the active involvement of SMEs, which shall represent at least one third of the total number of participants in indirect actions and at least 20 % of public funding should go to them; (c) ensure that components and systems technologies address Europe’s societal and environmental challenges. The target is to align with the Union policy on energy efficiency and contribute towards the reduction of energy consumption by 32,5 % in 2030. <p>In addition to the objectives set out above, the Key Digital Technologies Joint Undertaking shall have the following specific objectives:</p> <ul style="list-style-type: none"> (a) support research and development for establishing design and production capabilities in Europe for strategic application areas; (b) launch a balanced portfolio of large and small projects supporting the fast transfer of technologies from the research to the industrial environment; (c) foster a dynamic Union-wide ecosystem based on digital value-chains with simplified access to newcomers; (d) support research and development for enhancing component technologies that guarantee security, trust and energy- efficiency for critical infrastructures and sectors in Europe; (e) foster mobilisation of national resources and ensure coordination of Union and national research and innovation programmes in the field of electronic components and systems; (f) establish coherence between the Strategic Research and Innovation Agenda of the Key Digital Technologies Joint Undertaking and Union policies so that electronics components and systems technologies contribute efficiently. |
| <p>Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing a framework of measures for strengthening Europe's semiconductor ecosystem (Chips Act) COM/2022/46 final</p> | <p>08/02/2022</p> | <p>1. The general objective of the Initiative is to support large-scale technological capacity building and innovation throughout the Union to enable development and deployment of cutting-edge and next generation semiconductor and quantum technologies that will reinforce the Union advanced design, systems integration and chips production capabilities, as well as contribute to the achievement of the twin digital and green transition.</p> <p>2. The Initiative shall have the following five operational objectives:</p> <ul style="list-style-type: none"> (a) building up advanced large-scale design capacities for integrated semiconductor technologies. This operational objective shall be achieved through: <ul style="list-style-type: none"> (1) building up an innovative virtual platform, available across the Union, integrating existing and new design facilities with extended libraries and Electronic Design Automation (EDA) tools; (2) upgrading the design capacity with ongoing innovative developments, such as processor architectures based on the open-source Reduced Instruction Set Computer Architecture (RISC-V); (3) enlarging the semiconductor ecosystem by integrating the vertical market sectors, contributing to the green, digital and innovation agendas of the Union. (b) enhancing existing and developing new advanced pilot lines. This operational objective shall be achieved through: |

| | | |
|--|--|---|
| | | <p>(1) strengthening technological capabilities in next generation chips production technologies, by integrating research and innovation activities and preparing the development of future technology nodes, including leading-edge nodes below two nanometres, Fully Depleted Silicon on Insulator (FD-SOI) at 10 nanometres and below, and 3D heterogeneous systems integration and advanced packaging;</p> <p>(2) supporting large scale innovation through access to new or existing pilot lines for experimentation, test, and validation of new design concepts integrating key functionalities, such as novel materials and architectures for power electronics fostering sustainable energy and electro mobility, lower energy consumption, security, higher levels of computing performance or integrating breakthrough technologies such as neuromorphic and embedded artificial intelligence (AI) chips, integrated photonics, graphene and other 2D material based technologies;</p> <p>(3) providing support to Integrated Production Facilities and Open EU Foundries through priority access to the new pilot lines.</p> <p>(c) building advanced technology and engineering capacities for accelerating the innovative development of quantum chips.</p> <p>(d) creating a network of competence centres across the Union, in order to</p> <p>(1) strengthen capacities and offer a wide range of expertise to the stakeholders, including end-user SMEs and start-ups, facilitating access to and effective use of the above capacities and facilities;</p> <p>(2) address the skills shortage, attracting and mobilising new talent and supporting the emergence of a suitably skilled workforce for strengthening the semiconductor sector, including via reskilling and upskilling of workers.</p> <p>(e) undertaking activities, to be described collectively as a ‘Chips Fund’ activities to facilitate access to debt financing and equity by start-ups, scale-ups and SMEs and other companies in the semiconductor value chain, through a blending facility under the InvestEU Fund and via the European Innovation Council, with a view to:</p> <p>(1) improving the leverage effect of the Union budget spending and achieving a higher multiplier effect in terms of attracting private-sector financing.</p> <p>(2) providing support to companies facing difficulties in accessing finance, and addressing the need to underpin the economic resilience of the Union and its Member States;</p> <p>(3) accelerating investment in the field of semiconductor manufacturing technologies and chip design and to leveraging funding from both the public and the private sectors, while increasing the security of supply for the whole semiconductor value chain.</p> |
|--|--|---|

1.2 Seat

Brussels

1.3 Budget line

01 02 02 42 : Cluster ‘Digital, Industry and Space’ — Chips Joint Undertaking

02 04 06 11: Semiconductors – Chips Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 14 | 12 | 85,71% | 17 | 19 |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 14 | 12 | 85,71% | 17 | 19 |
| Contract Agents (CA) | 16 | 14 | 87,50% | 19 | 23 |
| Seconded National Experts (SNE) | | | | 1 | 1 |
| TOTAL STAFF | 30 | 26 | 86,67% | 37 | 43 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|------------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 759 025 328 | 447 688 236 | 919 960 386 (1) | 499 395 551 |
| Other Revenue | 42 406 336 | 108 927 741 | 35 395 983 | 119 179 074 |
| TOTAL REVENUES | 801 431 664 | 556 615 977 | 955 356 369 | 618 574 625 |

(1) In line with the conclusive trilogue on the European Chips Act, the Chips joint undertaking will receive a part of the EU contribution from the Art. 15(3) FR reinforcement of Horizon Europe, in the form of C7 credits, which is not captured in this financial statement. For 2024, the additional contribution will amount to EUR 26 059 125.

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 4 596 000 | 4 596 000 | 5 790 122 | 5 790 122 |
| Title 2 - Infrastructures and operating expenditure | 1 747 000 | 1 747 000 | 2 297 184 | 2 297 184 |
| Title 3 - Operational expenditure | 775 705 070 | 546 147 000 | 1 007 010 000 | 607 194 643 |
| TOTAL EXPENDITURE | 782 048 070 | 552 490 000 | 1 015 097 306 | 615 281 949 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 12 | | 3 | | 1 | | 2 | | 1 | | 1 |
| AD 11 | | 1 | | | | 1 | | | | |
| AD 10 | | 3 | | 6 | | 3 | | 6 | | 6 |
| AD 9 | | 5 | | 2 | | 5 | | 2 | | 2 |
| AD 8 | | | | 2 | | 1 | | 2 | | 2 |
| AD 7 | | | | | | | | 1 | | 1 |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | 3 | | 5 | | 5 |
| AD TOTAL | | 14 | | 12 | | 17 | | 19 | | 19 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 14 | | 12 | | 17 | | 19 | | 19 |
| GRAND TOTAL | | 14 | | 12 | | 17 | | 19 | | 19 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 5 | 2 | 6 | 8 |
| Function Group III | 10 | 9 | 12 | 15 |
| Function Group II | 1 | 3 | 1 | |
| Function Group I | | | | |
| TOTAL | 16 | 14 | 19 | 23 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | 1 | 1 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|-----------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 (3) | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 251 834 624 | 759 025 328 | 983 782 522 | 919 960 386 (2) | 21,20% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 928 633 | 1 066 386 | 4 654 944 | 5 966 320 | 459349% |
| - Of which frontloading (Title 1 and Title 2) | 1 513 886 | 1 050 000 | 569 608 | 569 608 | -45,75% |
| - Of which Operational (Title 3) | 249 071 367 | 756 908 942 | 978 557 970 | 913 424 458 | 20,68% |
| 2 THIRD PARTIES CONTRIBUTION | 6 175 000 | 8 131 321 | 28 601 042 | 32 723 983 | 29,21% |
| - Of which EEA/EFTA (excl. Switzerland) | 6 175 000 | 8 131 321 | 28 601 042 | 32 723 983 (1) | 29,21% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 142 787 | 2 602 000 | 2 672 000 | 2 672 000 | 2,69% |
| - Of which Administrative (Title 1 and Title 2) | 2 142 787 | 2 602 000 | 2 672 000 | 2 672 000 | 2,69% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 (3) | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 391 000 | 30 098 015 | | | -100% |
| - Of which administrative | 391 000 | 270 000 | | | -100% |
| - Of which operational | | 29 828 015 | | | -100% |
| 7 OTHER | 505 282 | 1 575 000 | 41 743 | | -100% |
| TOTAL | 261 048 693 | 801 431 664 | 1 015 097 307 | 955 356 369 | 16,70% |

- (1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “Joint Undertaking request” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.
- (2) In line with the conclusive trilogue on the European Chips Act, the Chips joint undertaking will receive a part of the EU contribution in the form of C7 credits stemming from the Art. 15(3) FR reinforcement of Horizon Europe. By the time this financial statement was finalised, it was not possible to properly capture those C7 credits. For 2024, the additional contribution will amount to EUR 26 059 125.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 213 659 007 | 447 688 236 | 742 335 309 | 499 395 551 | 11,55% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 928 633 | 1 066 386 | 4 654 944 | 5 966 320 | 336,52% |
| - Of which frontloading (Title 1 and Title 2) | 1 834 624 | 1 050 000 | 569 608 | 569 608 | -45,75% |
| - Of which Operational (Title 3) | 210 895 750 | 445 571 850 | 737 110 757 | 492 859 623 | 10,91% |
| 2 THIRD PARTIES CONTRIBUTION | 6 168 243 | 14 960 806 | 21 572 255 | 17 805 111 | 18,70% |
| - Of which EEA/EFTA (excl. Switzerland) | 6 168 243 | 14 960 806 | 21 572 255 | 17 805 111 (1) | 18,70% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 142 787 | 2 602 000 | 2 672 000 | 2 672 000 | 2,69% |
| - Of which Administrative (Title 1 and Title 2) | 2 142 787 | 2 602 000 | 2 672 000 | 2 672 000 | 2,69% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 693 479 | 29 568 897 | 98 660 220 | 98 660 220 | 233,66% |
| - Of which administrative | 644 887 | 236 000 | | | -100% |
| - Of which operational | 48 592 | 29 332 897 | 98 660 220 | 98 660 220 | 236,35% |
| 7 OTHER | 184 544 | 61 796 038 | 41 743 | 41 743 | -99,93% |
| TOTAL | 222 848 060 | 556 615 977 | 865 281 527 | 618 574 625 | 11,12% |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “Joint Undertaking request” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| Third-party contributions (commitment appropriations) | Executed budget 2022 | Budget 2023 | Draft budget 2024 |
|--|-----------------------|-----------------------|-------------------------|
| Financial contributions to the administrative costs of JU covered by the Participant States | | | |
| Financial contributions to the administrative costs of JU covered by the Private Members | 2,142,787.00 | 2,602,000.00 | 2,672,000.00 |
| Financial contributions to the operational costs of JU covered by the Participant States (1) | 450,210,750.00 | 498,307,000.00 | 700,182,000.00 |
| In-kind contributions to the operational activities covered by the Private Members (2) | 299,704,306.00 | 299,704,306.00 | 299,704,306.00 |
| In-kind contributions to the operational activities covered by the Participating States | | | |
| TOTAL appropriations co-financed | 752,057,843.00 | 800,613,306.00 | 1,002,558,306.00 |

(1) For budget 2022: Indicative amount based on 2021 Report on Budgetary and Financial Management, pending the participants states declarations for the year 2022. Cumulative amount since 2015.

For 2023 and 2024 budget: amount extracted from CHIPS LFS

All amounts paid by the JU Participating States directly to their respective national participants to ECSEL/KDT projects and not cashed by the JU.

(2) Indicative amount based on 2021 Report on Budgetary and Financial Management, pending finalisation in the context of annual accounts 2022.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 876 500 | 4 596 000 | 5 790 122 | 6 070 364 | 32,08% |
| Salaries & allowances | 3 630 000 | 4 366 000 | 5 576 827 | 5 500 364 | 25,98% |
| - Of which establishment plan posts | 3 470 000 | 2 871 000 | 5 404 827 | 3 616 937 | 25,98% |
| - Of which external personnel | 160 000 | 1 495 000 | 172 000 | 1 883 427 | 25,98% |
| Expenditure relating to Staff recruitment | 86 500 | 30 000 | 12 000 | 70 000 | 133,33% |
| Mission expenses | 80 000 | 100 000 | 86 000 | 250 000 | 150,00% |
| Socio-medical infrastructure | 80 000 | 100 000 | 115 295 | 250 000 | 150,00% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 490 000 | 1 747 000 | 2 297 184 | 3 367 141 | 92,74% |
| Rental of buildings and associated costs | 600 000 | 736 800 | 800 000 | 1 000 000 | 35,72% |
| Information, communication technology and data processing | 380 000 | 365 682 | 530 000 | 700 000 | 91,42% |
| Movable property and associated costs | | 6 140 | 40 000 | 100 000 | 1528,66% |
| Current administrative expenditure | 100 000 | 98 240 | 100 000 | 400 000 | 307,17% |
| Postage / Telecommunications | 20 000 | 24 560 | 30 000 | 70 000 | 185,02% |
| Meeting expenses | 20 000 | 24 560 | 40 000 | 90 000 | 266,45% |
| Running costs in connection with operational activities | 60 000 | 36 840 | 60 000 | 100 000 | 171,44% |
| Information and publishing | 250 000 | 392 960 | 607 184 | 800 000 | 103,58% |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------------|---------------------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 60 000 | 61 218 | 90 000 | 107 141 | 75,02% |
| Title 3 - Operational expenditure | 256 269 529 | 775 705 070 | 1 007 010 000 | 945 918 865 | 21,94% |
| TOTAL | 261 636 029⁶⁴ | 782 048 070⁶⁵ | 1 015 097 306 | 955 356 369 | 22,16% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 898 891 | 4 596 000 | 5 790 122 | 6 070 364 | 32,08% |
| Salaries & allowances | 3 792 000 | 4 366 000 | 5 576 827 | 5 500 364 | 25,98% |
| - Of which establishment plan posts | 3 702 530 | 2 871 000 | 5 404 827 | 3 616 937 | 25,98% |
| - Of which external personnel | 89 470 | 1 495 000 | 172 000 | 1 883 427 | 25,98% |
| Expenditure relating to Staff recruitment | 4 356 | 30 000 | 12 000 | 70 000 | 133,33% |
| Mission expenses | 37 023 | 100 000 | 86 000 | 250 000 | 150,00% |
| Socio-medical infrastructure | 65 512 | 100 000 | 115 295 | 250 000 | 150,00% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 120 320 | 1 747 000 | 2 297 184 | 3 367 141 | 92,74% |
| Rental of buildings and associated costs | 525 505 | 736 800 | 800 000 | 1 000 000 | 35,72% |

⁶⁴ The difference between the revenues and expenditure are the unused appropriations

⁶⁵ The difference between the revenues and expenditure are the unused appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|---------------------------------|---------------------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Information, communication technology and data processing | 300 471 | 365 682 | 530 000 | 700 000 | 91,42% |
| Movable property and associated costs | | 6 140 | 40 000 | 100 000 | 1528,66% |
| Current administrative expenditure | 72 223 | 98 240 | 100 000 | 400 000 | 307,17% |
| Postage / Telecommunications | 11 133 | 24 560 | 30 000 | 70 000 | 185,02% |
| Meeting expenses | 24 841 | 24 560 | 40 000 | 90 000 | 266,45% |
| Running costs in connection with operational activities | 10 894 | 36 840 | 60 000 | 100 000 | 171,44% |
| Information and publishing | 147 712 | 392 960 | 607 184 | 800 000 | 103,58% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 27 541 | 61 218 | 90 000 | 107 141 | 75,02% |
| Title 3 - Operational expenditure | 216 990 637 | 546 147 000 | 857 194 220 | 610 448 497 | 11,77% |
| TOTAL | 222 009 848⁶⁶ | 552 490 000⁶⁷ | 865 281 526 | 619 886 002 | 12,20% |

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: EUR 99 429 000

4 Justification of needs

Commission assessment

Human Resources

The human resources requested for 2024 are in line with the LFS and already contain the additional 12 FTE's included in the Chips Act proposal.

Financial Resources

The requested financial resources for 2024 are based on the initial LFS of the KDT joint undertaking and also take into account the implications of the proposal for a European Chips Act. However, considering that the political agreement on this initiative was reached in April 2023 and therefore later than expected, the amount of payment appropriations proposed for 2024 has been reduced compared to the initial assumptions of the European Chips Act LFS.

Besides, compared to the JU's request, the breakdown of salary expenditure between establishment plan posts and external personnel has been refined to better reflect the respective share of these two categories of staff in the JU.

⁶⁶ The difference between the revenues and expenditure are the unused appropriations

⁶⁷ The difference between the revenues and expenditure are the unused appropriations

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In view of the launching of the new CHIPS JU in 2023, the needs in human resources are estimated to be significantly higher during the first year(s).

In this sense, 6 new posts are foreseen in 2024 (2 TA and 4 CA) as stated in the CHIP LFS.

4.1.2 Vacancy rate as of end 2022

The vacancy rate at the end of 2022 was 14,29% (1 SNE + 2 TA + 2 CA).

Regarding TA, the contract for the Executive Director expired and the Head of Communications retired.

Regarding CA, the accounting correspondent and the budget officer left KDT JU by the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the actual staff costs at KDT JU. The budget appropriations covering staff expenditure for year 2024 are based on the estimated (average Full Time Equivalents on a yearly basis) of 19 TA, 23 CA and 1 SNE. Remuneration and social costs are estimated in accordance with the EU staff regulations and rules applicable, including pension rights.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

TITLE 1 - STAFF EXPENDITURE

1100 – Staff salaries and allowances

This appropriation is intended to cover the cost of remuneration of temporary and contract staff in accordance with the Staff Regulations. It also covers the costs of the employer's social security contributions in accordance with the applicable Staff Regulations, as well the cost of non-statutory staff like interim and trainees who are contracted for a short period. Salaries are proportionally expected to increase to fulfil the CHIPS staff establishment plan that foresees 6 new recruitments in 2023 and 6 additional ones in 2024. The provided estimated figure also considers the inflation rate. Although the aim is to recruit permanent staff according to the CHIPS establishment plan, 2 interims and 2 trainees are included in the estimated figure to cover for eventualities and possible pick workload periods.

1200 – Recruitment and transfer

This chapter covers the recruitment costs for new staff as well as some expenditure foreseen in the relevant provisions of the Staff Regulations. 2.000 Euros are foreseen to cover the recruitment and possible transfer costs of each of the 6 new people that the KDT JU expects to employ in 2024 according to the CHIPS staff establishment plan.

1300 – Mission expenses

The missions' appropriation is intended to cover expenditure on transport, payment of daily mission allowances and ancillary or exceptional expenses incurred by staff in interest of the service, in accordance with the Staff Regulations. The

amount hereby proposed is meant to cover mission needs in 2024 comparable to their levels prior to the pandemic and taking into account the inflation when compared to 2023 as well as the new staff.

1400 – Socio-medical infrastructure & training

This appropriation relates to costs of the annual medical check-up of staff members and the complementary health insurance. Under this chapter are also covered the costs for the staff training and the HR tools under the SLA with DG HR. In 2024, these costs are expected to be higher due to inflation, training to new programmes and new staff.

4.2.2 Title 2

TITLE 2 - ADMINISTRATIVE EXPENDITURE

2000 – Rental of buildings and associated costs

The JU is operating since 2011 in its premises located in the White Atrium building, 60 Avenue de la Toison d'Or in Brussels. The office location, the meeting rooms and consequently a number of expenses, such as security and safety of staff and installations, cleaning and maintenance are shared with five other JUs. In 2024, the rental, security, cleaning and maintenance costs are estimated to increase due to the indexation. In addition, an estimation of 100.000 Euros is foreseen for the necessary floor refurbishment in order to accommodate the new personnel.

2100 – IT and technical support costs

This chapter covers the cost for IT infrastructure and technical support of KDT JU.

The costs are covering the main following topics, some of which are implemented in coordination with the other JUs in the context of the common annual IT work plan.

Service management contract

IT equipment of KDT JU in both hardware and software

Costs related to services and tools provided by the European Commission under Service Level Agreements (SLAs): financial and accounting tools (ABAC and SAP), document and mail management tool ARES, fees for the procurement services provided by DIGIT, web hosting and support for KDT website and cybersecurity services by CERT-EU.

In 2024, an estimated budget increase of 15.000 Euros is foreseen for each new employee and of 11.000 Euros for each already employed statutory member.

2200 – Movable property and associated costs

This chapter relates to purchase of furniture, office equipment and archiving facilities. In 2024 a budget of 1000 Euros per employee is foreseen taken into account the necessary floor refurbishment to accommodate the increase in personnel.

2300 – Current administrative expenditure

Under this appropriation are covered the costs related to office supplies and translations, as well as the costs for the provision of accounting services.

2400 – Postage and telecommunications

This chapter relates to the costs for internet connections and telecommunications services (including for replacement and upgrade). The expected needs in 2024 represent an increased by 50% when compared to the approved 2023 budget (as per in December 2022) due to the staff increase.

2500 – Meetings and representation costs

The chapter covers the costs of meetings that are taking place inside or outside of the JU premises. Under this chapter are also covered the costs of the Governing Board and the Public Authorities Board meetings. The expected needs in 2024 shall cover for GB and PAB physical meetings, as well as logistics for project reviews and evaluations. The budget in 2024 is doubled to cover for twice as much PAB and GB meetings as in previous years due to the entering into force of the CHIPS Act.

2600 – Meeting experts costs

Under this appropriation are covered all the costs related to experts-advisers (like scientific advisers, senior experts and observers for the evaluations). The estimated amount for 2024 intends to cover the costs for the observers participating in the Calls 2024 evaluations.

2800 – Communication activities

In 2024, the communication activities will be focussed on the branding, promotion and visibility of the new CHIPS JU as shall be detailed in the Work Programme for 2024 and approved by the Governing Board. In summary, it includes:

- Online and physical (“presential”) events, including a Brokerage, Technology Conference, Symposium 2024, and various Institutional events
- Design and production of supporting material, including both backwall, roll-ups, signage materials, flyers and brochures, stationary, merchandising items etc
- Website management and maintenance services contract
- Media partner services.

2900 – Audit and legal costs

This appropriation covers external and internal audits and consultancy needs, legal assistance and related costs. The increased costs reflect the passage to the CHIPS JU.

4.2.3 Title 3

Title 3 OPERATIONAL EXPENDITURE

3200 – ECSEL projects under Horizon 2020 programme

This shall exclusively cover costs related to payments for ECSEL projects under H2020 programme.

3300 – KDT projects under Horizon Europe programme

This shall exclusively cover costs related to commitments and payments for KDT projects under Horizon Europe programme and studies by public procurement related to operational activities in line with KDT Annual Work Programme and upon approval of the Governing Board.

As far as the Calls 2024 are concerned, the allocation of the appropriations shall be approved ahead of the final adoption of the budget for year 2024.

3500 – KDT projects under Digital Europe programme

This shall exclusively cover costs related to commitments and payments for KDT projects under Digital Europe programme and studies by public procurement related to operational activities in line with KDT Annual Work Programme and upon approval of the Governing Board.

As far as the Calls 2024 are concerned, the allocation of the appropriations shall be approved ahead of the final adoption of the budget for year 2024.

3400 – Contract experts costs

Under this appropriation are covered all the costs related to the remuneration of contract experts involved in the evaluation of projects and monitoring of their implementation.

In 2024, the estimated costs are increased due to the higher number of expected calls under CHIPS JU.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|---------------------|------------|--------------|-----------------|------------|----------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | Brussels | 1 538 | 32 | 1 570 | 600 000 | Until 2024 | Usufruit | BE | |
| TOTAL | | | 1 538 | 32 | 1 570 | 600 000 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

N/A

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|---|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The KDT JU and its staff are granted with the benefit of the provisions listed in the Protocol No 7 on the privileges and immunities of the European Union, annexed to the TEU and the TFEU and has signed with Belgium a Headquarters agreement. | As stated in the article 39 of the Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings, the Protocol No 7 on the privileges and immunities of the European Union, annexed to the TEU and the TFEU, shall apply to the joint undertakings and their staff. On 2 February 2012, a Headquarters agreement has been signed with Belgium, in accordance with the provisions of Article 17 of Council Regulation setting up the ENIAC (72/2008 of 20/12/2007), the predecessor JU of KDT JU. | N/A |

2.1.6 Smart Networks and Services Joint Undertaking - SNS

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--|------|---|
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe | | <p>The objectives on the initiative include:</p> <ul style="list-style-type: none"> - the consolidation of European industrial capabilities in the connectivity domain and for future generation of systems (6G) in a context of fierce competition from Asia and the US; - the support to the emergence of alternative suppliers, as called for in the cybersecurity toolbox communication; - the development of European technological capabilities in related domains (connected devices and service platforms) where European industry is less prominent but which are vital to ensure a secure and autonomous European supply chain; - the support to the Green Deal initiative, through innovative technologies enabling very low energy connectivity platforms and very low energy digital use cases running on top of these platforms; - the deployment of connectivity platforms for strategic use cases (automotive) paving the way towards future 6G systems. |

1.2 Seat

Brussels

1.3 Budget line

01 02 02 43 : Cluster 'Digital, Industry and Space' — Smart Networks and Services Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 6 | 4 | 66,67% | 7 | 7 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 6 | 4 | 66,67% | 7 | 7 |
| Contract Agents (CA) | 10 | 3 | 30,00% | 10 | 10 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 16 | 7 | 43,75% | 17 | 17 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 131 204 255 | 133 182 898 | 127 335 018 | 127 551 391 |
| Other Revenue | 4 400 255 | 4 457 438 | 5 413 607 | 5 421 266 |
| TOTAL REVENUES | 135 604 510 | 137 640 336 | 132 748 625 | 132 972 657 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 2 199 247 | 2 199 247 | 2 277 732 | 2 277 732 |
| Title 2 - Infrastructure and operating expenditure | 843 014 | 843 014 | 864 602 | 864 602 |
| Title 3 - Operational expenditure | 132 562 249 | 134 598 074 | 128 778 613 | 129 001 239 |
| TOTAL EXPENDITURE | 135 604 510 | 137 640 335 | 131 920 947 | 132 143 573 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | | | 1 | | 1 | | 1 |
| AD 11 | | | | | | | | | | |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | | | | | |
| AD 8 | | 3 | | 4 | | 5 | | 5 | | 5 |
| AD 7 | | | | | | | | | | |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 6 | | 4 | | 7 | | 7 | | 7 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 6 | | 4 | | 7 | | 7 | | 7 |
| GRAND TOTAL | 6 | | 4 | | 7 | | 7 | | 7 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 5 | 1 | 5 | 5 |
| Function Group III | 4 | 2 | 4 | 4 |
| Function Group II | 1 | | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 10 | 3 | 10 | 10 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 121 529 000 | 131 204 255 | 127 335 018 | 127 335 018 | -2,95% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 355 054 | 2 365 448 | 2 173 571 | 2 173 571 | -8,11% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 121 173 946 | 128 838 807 | 125 161 447 | 125 161 447 | -2,85% |
| 2 THIRD PARTIES CONTRIBUTION | 3 011 646 | 3 791 803 | 3 679 982 | 4 507 660 | 18,88% |
| - Of which EEA/EFTA (excl. Switzerland) | 3 011 646 | 3 791 803 | 3 679 982 | 4 507 660 (1) | 18,88% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 310 509 | 608 452 | 905 947 | 905 947 | 48,89% |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which Administrative (Title 1 and Title 2) | 310 509 | 608 452 | 905 947 | 905 947 | 48,89% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 124 851 155 | 135 604 510 | 131 920 947 | 132 748 625 | -2,11% |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “Joint Undertaking request” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 164 304 000 | 133 182 898 | 131 174 809 | 127 551 391 | -4,23% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 275 439 | 2 365 448 | 2 173 571 | 2 173 571 | -8,11% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 164 028 561 | 130 817 450 | 129 001 238 | 125 377 820 | -4,16% |
| 2 THIRD PARTIES CONTRIBUTION | | 3 848 986 | 3 790 952 | 4 515 319 | 17,31% |
| - Of which EEA/EFTA (excl. Switzerland) | | 3 848 986 | 3 790 952 | 4 515 319 (1) | 17,31% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 310 509 | 608 452 | 905 947 | 905 947 | 48,89% |
| - Of which Administrative (Title 1 and Title 2) | 310 509 | 608 452 | 905 947 | 905 947 | 48,89% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|---------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| TOTAL | 164 614 509 | 137 640 336 | 135 871 708 | 132 972 657 | -3,39% |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “Joint Undertaking request” columns in the “Revenue” and “Expenditure” tables were based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

The SNS JU will receive financial contributions from the EU budget, EFTA and the Private Member in 2024. The in-kind contributions estimated for 2024 is EUR 98 million.

| Third-party contributions (commitment appropriations) | Executed budget 2022 | Budget 2023 | Draft budget 2024 |
|--|----------------------|-----------------------|-----------------------|
| Financial contributions to the administrative costs of JU covered by the Participant States | 0 | 0 | 0 |
| Financial contributions to the administrative costs of JU covered by the Private Members | EUR 310.509 | EUR 608.452 | EUR 905.947 |
| Financial contributions to the operational costs of JU covered by the Participant States (1) | 0 | 0 | 0 |
| In-kind contributions to the operational activities covered by the Private Members (2) (*) | EUR 0 | EUR 64.000.000 | EUR 96.700.000 |
| In-kind contributions to the operational activities covered by the Participating States | 0 | 0 | 0 |
| TOTAL appropriations co-financed | EUR 310.509 | EUR 64.608.452 | EUR 97.605.947 |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 355 054 | 2 199 247 | 2 277 732 | 2 277 732 | 3,57% |
| Salaries & allowances | 255 116 | 1 999 315 | 2 073 802 | 2 073 802 | 3,73% |
| - Of which establishment plan posts | 100 000 | 1 129 125 | 1 171 208 | 1 171 208 | 3,73% |
| - Of which external personnel | 155 116 | 870 190 | 902 594 | 902 594 | 3,72% |
| Expenditure relating to Staff recruitment | 88 000 | 199 932 | 203 930 | 203 930 | 2,00% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Training | | | | | |
| External Services | 11 938 | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 42 064 | 843 014 | 864 602 | 864 602 | 2,56% |
| Rental of buildings and associated costs | | 350 000 | 358 963 | 358 963 | 2,56% |
| Information, communication technology and data processing | | 298 097 | 305 731 | 305 731 | 2,56% |
| Movable property and associated costs | | 4 810 | 4 933 | 4 933 | 2,56% |
| Current administrative expenditure | 42 064 | 80 211 | 82 266 | 82 266 | 2,56% |
| Postage / Telecommunications | | 14 430 | 14 799 | 14 799 | 2,56% |
| Meeting expenses | | 37 747 | 38 714 | 38 714 | 2,56% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | 57 719 | 59 196 | 59 196 | 2,56% |
| Title 3 - Operational expenditure | 124 143 528 | 132 562 249 | 128 778 613 | 128 778 613 | -2,85% |
| TOTAL | 124 540 646 | 135 604 510 | 131 920 947 | 131 920 947 | -2,72% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 306 404 | 2 199 247 | 2 277 732 | 2 277 732 | 3,57% |
| Salaries & allowances | 255 116 | 1 999 315 | 2 073 802 | 2 073 802 | 3,73% |
| - Of which establishment plan posts | 100 000 | 1 129 125 | 1 171 208 | 1 171 208 | 3,73% |
| - Of which external personnel | 155 116 | 870 190 | 902 594 | 902 594 | 3,72% |
| Expenditure relating to Staff recruitment | 39 350 | 199 932 | 203 930 | 203 930 | 2,00% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | 11 938 | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 100 | 843 014 | 864 602 | 864 602 | 2,56% |
| Rental of buildings and associated costs | | 350 000 | 358 963 | 358 963 | 2,56% |
| Information, communication technology and data processing | | 298 097 | 305 731 | 305 731 | 2,56% |
| Movable property and associated costs | | 4 810 | 4 933 | 4 933 | 2,56% |
| Current administrative expenditure | 11 100 | 80 211 | 82 265 | 82 265 | 2,56% |
| Postage / Telecommunications | | 14 430 | 14 799 | 14 799 | 2,56% |
| Meeting expenses | | 37 747 | 38 714 | 38 714 | 2,56% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | 57 719 | 59 197 | 59 197 | 2,56% |
| Title 3 - Operational expenditure | 168 054 686 | 134 598 074 | 129 001 239 | 129 001 239 | -4,16% |
| TOTAL | 168 372 190 | 137 640 335 | 132 143 573 | 132 143 573 | -3,99% |

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: 0 EUR

4 Justification of needs

Commission assessment

Human Resources

The human resources requested are in line with the LFS attached to the Proposal for a COUNCIL REGULATION establishing the Joint Undertakings under Horizon Europe COM/2021/87 final.

Financial Resources

The commitment appropriations requested for 2024 are in line with the LFS attached to the Proposal for a COUNCIL REGULATION establishing the Joint Undertakings under Horizon Europe COM/2021/87 final.

The payment appropriations requested are slightly higher than the amount foreseen in the LFS attached to the Proposal for a COUNCIL REGULATION establishing the Joint Undertakings under Horizon Europe COM/2021/87 final. The reason for this is the most accurate calculation of the needs of the JU.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The human resources requested are in line with the LFS attached to the Proposal for a COUNCIL REGULATION establishing the Joint Undertakings under Horizon Europe COM/2021/87 final.

4.1.2 Vacancy rate as of end 2022

In 2022, the JU consists of 7 positions (4TA and 3CA) and an Executive Director ad interim, out of the total authorised of 17. The occupancy rate was 41.18%, excluding the Executive Director ad interim.

The recruitment procedures for the Executive Director and the Head of Programmes posts were launched in 2022 and will be closed in 2023. Two other posts (1TA and 1CA) were filled as of 1 January 2023. The remaining recruitment procedures will be launched as of 2023.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Non available

4.1.4 Salary assumption for calculating salary line (% applied)

The assumptions for calculating the salary line are the number of posts authorised (17), the annual average cost as per LFS and the maximum average increase of Title 1 of 3.9% as per the budget circular.

4.1.5 Correction coefficient used

None.

4.1.6 Exchange rate used (if applicable)

Non applicable.

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

4.2 Financial resources - Joint Undertaking request

4.2.1 Title 1

The SNS JU will receive a contribution from the European Commission, the EFTA and the Private Member to cover administrative costs under Title 1 and Title 2 in 2024. The estimated budget contribution for Title 1 and Title 2 is EUR 3,142,334

4.2.2 Title 2

4.2.2 Title 2

The SNS JU will receive a contribution from the European Commission, the EFTA and the Private Member to cover administrative costs under Title 1 and Title 2 in 2024. The estimated budget contribution for Title 1 and Title 2 is EUR 3,142,334.

4.2.3 Title 3

4.2.3 Title 3

The SNS JU will receive a contribution from the European Commission and EFTA to cover operational costs under Title 3. The estimated budget contribution for Title 3 is EUR 128,778,613

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | The White Atrium | Brussels | | | | | End 2024 | | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

5.1.2 Current building(s) Other comments

Change of location to The White Atrium in Brussels until end 2024 is the most likely option at this moment. The rental costs and other related costs estimated amount EUR 350,000.

5.1.3 Building projects in the planning phase

5.1.3 Building projects in the planning phase

None.

5.1.4 Building projects submitted to the European Parliament and the Council

5.1.4 Building projects submitted to the European Parliament and the Council

None.

5.2 Evaluation

5.2 Evaluation

The call 1 was successful and resulted in 35 new projects starting in January 2023.

[Europe scales up 6G research investments and selects 35 new projects worth €250 million - SNS JU \(europa.eu\)](https://www.europa.eu/press-room/en/infographic/eu-research-investments-2023)

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

2.1.7 Single European Sky ATM Research Joint Undertaking - SESAR3

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|---|
| Council Regulation (EC) 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64/1, 2.3.2007) | 27/02/2007 | Established under Article 171 of the Treaty, the Joint Undertaking's aim is to ensure the modernisation of the European air traffic management system by coordinating and concentrating all relevant research and development efforts in the Union. It shall be responsible for the execution of the ATM Master Plan and in particular for carrying out the following tasks: <ul style="list-style-type: none"> - organising and coordinating the activities of the development phase of the SESAR project in accordance with the ATM Master Plan, by combining and managing under a single structure public and private sector funding, - ensuring the necessary funding for the activities of the Development phase of the SESAR Project in accordance with the ATM Master Plan, - ensuring the involvement of the stakeholders of the air traffic management sector in Europe, in particular: air navigation service providers, airspace users, professional staff associations, airports, and manufacturing industry; as well as the relevant scientific institutions or the relevant scientific community, - organising the technical work of research and development, validation and study, to be carried out under its authority while avoiding fragmentation of such activities, - ensuring the supervision of activities related to the development of common products duly identified in the ATM Master Plan and if necessary, to organise specific invitations to tender. |
| Council Regulation (EC) 1361/2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352/12, 31.12.2008) | 16/12/2008 | The main changes introduced by this regulation concern the alignment of the SESAR Joint Undertaking (SESAR JU) to the new legal model of the other Joint Undertaking. In particular as of 01.01.2009 the SESAR JU is under the Protocol of Privileges and Immunities of the Community; this implies that the SESAR JU will be VAT exempted as of 16.02.2008 with an important positive effect on the resources available for the research programme |
| Council Regulation Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024. | 16/06/2014 | Established under Article 187 of the Treaty on the Functioning of the European Union, the Council Regulation 721/2014 extends the SESAR JU to the 2024 and defines the Union contribution within the EU “Horizon 2020” Framework Programme, entrusts it to launch a new programme to address the remaining elements of Master Plan step 2, those of step 3 and with Exploratory Research to go beyond this timeframe and concept. The proposal (COM (2013) 503) for the extension of the SESAR JU beyond 2016 was adopted on 16 June 2014. |
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014 (“Single Basic Act”) and in particular Recitals (30), Articles 16(2)(e) and 27 thereof. | 19/11/2021 | Under Article 188 of the Treaty on the Functioning of the European Union, the Council Regulation (EU) 2021/2085 establishes the Single European Sky ATM Research 3 Joint Undertaking (also referred to as “SESAR 3 JU”). In particular, SESAR 3 JU shall have the following objectives: <ul style="list-style-type: none"> - strengthen and integrate the Union’s research and innovation capacity in the ATM sector, making it more resilient and scalable to fluctuations in traffic while enabling the seamless operation of all aircraft; - strengthen, through innovation, the competitiveness of manned and unmanned air transport in the Union, and ATM services’ markets to support economic growth in the Union; - develop and accelerate the market uptake of innovative solutions to establish the Single European Sky airspace as the most efficient and environmentally friendly sky to fly in the world; - develop a research and innovation ecosystem covering the entire ATM and U-space airspace value chains allowing to build the Digital European Sky defined in the European ATM Master plan, enabling |

| | | |
|--|--|--|
| | | <p>the collaboration and coordination needed between air navigation services providers and airspace users to ensure a single harmonised Union ATM system for both manned and unmanned operations;</p> <ul style="list-style-type: none"> - develop and validate ATM solutions supporting high levels of automation; - develop and validate the technical architecture of the Digital European Sky; - support an accelerated market deployment of innovative solutions through demonstrators; - coordinate the prioritisation and planning for the Union's ATM modernisation efforts, based on a consensus-led process among the ATM stakeholders; and - facilitate the development of standards for the industrialisation of SESAR solutions. |
|--|--|--|

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 51 : Cluster 'Climate, Energy and Mobility' — Single European Sky ATM Research 3 Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 31 | 28 | 90,32% | 31 | 31 |
| Assistants (AST) | 6 | 6 | 100,00% | 6 | 6 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 37 | 34 | 91,89% | 37 | 37 |
| Contract Agents (CA) | 1 | 1 | 100,00% | 1 | 1 |
| Seconded National Experts (SNE) | 2 | 2 | 100,00% | 2 | 2 |
| TOTAL STAFF | 40 | 37 | 92,50% | 40 | 40 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 86 511 174 | 88 246 348 | 91 088 542 | 83 389 517 |
| Other Revenue | 10 386 643 | 48 751 376 | 10 917 271 | 8 169 864 |

| | | | | |
|-----------------------|-------------------|--------------------|--------------------|-------------------|
| TOTAL REVENUES | 96 897 817 | 136 997 724 | 102 005 813 | 91 559 381 |
|-----------------------|-------------------|--------------------|--------------------|-------------------|

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 6 295 604 | 6 495 604 | 6 814 978 | 6 814 978 |
| Title 2 - Infrastructure and operating expenditure | 4 862 791 | 4 862 791 | 3 205 696 | 3 205 696 |
| Title 3 - Operational expenditure | 85 363 346 | 120 771 449 | 91 016 987 | 80 000 000 |
| Title 4 - Unused Appropriations not required in current Year | 376 076 | 4 867 880 | 376 077 | 1 089 940 |
| TOTAL EXPENDITURE | 96 897 817 | 136 997 724 | 101 413 738 | 91 110 614 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | | | | | |
| AD 14 | | | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 2 | | | | 2 | | 2 | | 2 |
| AD 12 | | 3 | | 4 | | 3 | | 3 | | 3 |
| AD 11 | | 4 | | 1 | | 5 | | 5 | | 5 |
| AD 10 | | 2 | | 3 | | 2 | | 2 | | 2 |
| AD 9 | | 7 | | 5 | | 8 | | 8 | | 8 |
| AD 8 | | 7 | | 6 | | 7 | | 7 | | 7 |
| AD 7 | | 4 | | 4 | | 3 | | 3 | | 3 |
| AD 6 | | 1 | | 4 | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 31 | | 28 | | 31 | | 31 | | 31 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 7 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 6 | | | | | | | | | | |
| AST 5 | | 3 | | 2 | | 3 | | 3 | | 3 |
| AST 4 | | | | 2 | | 1 | | 1 | | 1 |
| AST 3 | | 1 | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 37 | | 34 | | 37 | | 37 | | 37 |
| GRAND TOTAL | 37 | | 34 | | 37 | | 37 | | 37 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | 1 | 1 | 1 |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 1 | 1 | 1 | 1 |

1 CA covering a vacant AD7 position with indefinite duration.

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 2 | 2 | 2 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|-------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 126 280 927 | 86 511 174 | 91 088 542 | 91 088 542 | 5,29% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | 3 616 484 | 2 628 062 | 100% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 86 280 927 | 86 511 174(1) | 87 472 058 | 88 460 480 | 2,25% |
| - Of which C2 Administrative (Title 1 and Title 2) | | | | | |
| - Of which C2 Operational (Title 3) | 40 000 000 | | | | |
| 2 THIRD PARTIES CONTRIBUTION | 2 131 139 | 2 500 173 | 2 632 459 | 3 224 534 | 28,97% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 131 139 | 2 500 173 | 2 632 459 | 3 224 534 (2) | 28,97% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 468 946 | 4 226 324 | 4 234 437 | 4 234 437 | 0,19% |
| - Of which Administrative (Title 1 and Title 2) | 4 468 946 (3) | 4 226 324 | 4 234 437 | 4 234 437 | 0,19% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 5 795 506 | 3 660 147 | 3 458 300 | 3 458 300 | -5,51% |
| - Of which administrative | 5 795 506 | 3 660 147 | 3 458 300 | 3 458 300 | -5,51% |
| - Of which operational | | | | | |
| 7 OTHER | 2 919 352 (4) | | | | |
| TOTAL | 141 595 870 | 96 897 818 | 101 413 738 | 102 005 813 | 5,27% |

(1) EUR 3 648 000 from operational credits in commitment and payment appropriations is used to cover the running costs of JU.

(2) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and

between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

(3) EUR 1 500 000 cash contribution from EUROCONTROL for Horizon Europe included (this amount will be cashed in in 2023)

(4) This amount is composed of: Recovery of some amounts from H2020 projects after audit corrections for EUR 1 144 262- Reimbursement of minor administrative costs, mostly linked to salary balances and reimbursement from suppliers for EUR 25 090. It also includes EUR 1 750 00 from CEF Funds (Technical assistance management fees). Following contribution agreement MOVE/E3/CA/SESAR3JU/662-2021/SI2.883337, SESAR3 JU received an amount of EUR 1 750 000 financed under Connecting Europe Facility.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|---------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 135 166 728 | 88 246 348 | 84 377 939 | 83 389 517 | -5,50% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | 3 616 484 | 2 628 062 | 100% |
| - Of which frontloading (Title 1 and Title 2) | 3 244 911 | 3 572 618 | 3 008 515 | 3 008 515 | -15,79% |
| - Of which Operational (Title 3) | 111 921 817 | 84 673 730 | 77 752 940 | 77 752 940 | -8,17% |
| - Of which C2 Administrative (Title 1 and Title 2) | | | | | |
| - Of which C2 Operational (Title 3) | 20 000 000 | | | | |
| 2 THIRD PARTIES CONTRIBUTION | 2 652 962 | 2 478 275 | 2 425 285 | 2 935 742 | 18,46% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 652 962 | 2 478 275 | 2 425 285 | 2 935 742 | 18,46% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 968 946 | 4 226 324 | 4 234 438 | 4 234 438 | 0,19% |
| - Of which Administrative (Title 1 and Title 2) | 2 968 946 | 4 226 324 (1) | 4 234 438 | 4 234 438 | 0,19% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 592 241 | 42 046 777 | 1 089 939 | 1 089 939 | -97,41% |
| - Of which administrative | 2 645 840 | 913 863 | 1 089 939 | 1 089 939 | 19,27% |
| - Of which operational | -2 053 599 | 41 132 914 | | | -100% |
| 7 OTHER | 2 692 803 (2) | | | | |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | VAR 2024/2023 (%) |
|---------------------------------|---------------------------------|--------------------|---------------------------------|-------------------|-------------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | |
| | | | Joint undertaking request | Budget forecast | |
| TOTAL | 144 073 680 | 136 997 724 | 92 127 601 | 91 649 636 | -33,10% |

(1) EUR 1 500 000 cash contribution from EUROCONTROL for Horizon Europe included (amount will be cashed in in 2023).

(2) This amount is composed of: Recovery of some amounts from H2020 projects after audit corrections for EUR 1 144 262- Reimbursement of minor administrative costs, mostly linked to salary balances and reimbursement from suppliers for EUR 25 090. It also includes EUR 1 750 000 assigned revenue CEF Funds (Technical assistance management fees). Following contribution agreement MOVE/E3/CA/SESAR3JU/662-2021/SI2.883337, SESAR3 JU received an amount of 1.75M financed under Connecting Europe Facility.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| SESAR 3 - FINANCIAL CONTRIBUTIONS OVERVIEW | 2022 | 2023 | 2024 |
|--|-------------|--|--|
| | | Estimated by the Joint Undertaking | As requested by the Joint Undertaking |
| 1. FINANCIAL CONTRIBUTION FROM EU (EFTA included) (1) | Executed | | |
| <i>Horizon 2020</i> | 54.361.354 | 16.774.758 | 3.082.224 |
| <i>Horizon Europe</i> | 83.458.336 | 73.949.865 | 83.721.000 |
| <i>Assigned revenue (CEF funds)</i> | 1.750.000 | | |
| 2. CONTRIBUTIONS FROM INDUSTRY | 43.899.703 | 39.810.300 | 21.497.547 |
| <i>of which financial contribution to H2020</i> | 2.050.456 | 3.213.096 | 3.198.945 |
| <i>of which financial contribution to HE</i> | | | |
| <i>of which contribution in kind to H2020</i> | 41.849.247 | 36.597.204 | 18.298.602 |
| 3 OTHER MEMBERS CONTRIBUTIONS (EUROCONTROL) | 44.199.945 | 40.362.860 | 19.960.309 |
| <i>of which financial contribution to H2020</i> | 918.490 | 1.013.228 | 1.035.493 |
| <i>of which financial contribution to HE</i> | | 1.500.000 | |
| <i>of which contribution in kind to H2020</i> | 43.281.455 | 37.849.632 | 18.924.816 |
| TOTAL CONTRIBUTIONS | 227.669.338 | 170.897.783 | 128.261.080 |
| <i>of which to SESAR2020 Programme</i> | 142.461.002 | 95.447.918 | 44.540.080 |
| <i>of which to SESAR 3 Programme</i> | 83.458.336 | 75.449.865 | 83.721.000 |
| <i>of which to Assigned revenue (CEF Funds)</i> | 1.750.000 | 0 | 0 |

(1) EFTA rate 2022: 2,47% on HE and 2,11% on H2020. EFTA rates 2023 & 2024 : 2,89% on HE appropriations and 2,45% H2020 appropriations;

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations |
|-------------|---------------------------|
|-------------|---------------------------|

| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
|---|----------------------|-------------------|---------------------------|-------------------|-------------------|
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 313 213 | 6 295 604 | 6 814 978 | 6 814 978 | 8,25% |
| Salaries & allowances | 5 037 608 | 5 874 942 | 6 365 978 | 6 365 978 | 8,36% |
| - <i>Of which establishment plan posts</i> | 4 563 288 | 5 250 000 | 5 700 000 | 5 700 000 | 8,57% |
| - <i>Of which external personnel</i> | 474 320 | 624 942 | 665 978 | 665 978 | 6,57% |
| Expenditure relating to Staff recruitment | 1 000 | 6 600 | 8 000 | 8 000 | 21,21% |
| Mission expenses | 163 000 | 230 000 | 244 000 | 244 000 | 6,09% |
| Socio-medical infrastructure | | | | | |
| Training | 15 078 | 48 812 | 52 000 | 52 000 | 6,53% |
| External Services | 77 837 | 82 250 | 88 000 | 88 000 | 6,99% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 18 690 | 53 000 | 57 000 | 57 000 | 7,55% |
| Title 2 - Infrastructure and operating expenditure | 3 476 329 | 4 862 791 | 3 205 696 | 3 205 696 | -34,08% |
| Rental of buildings and associated costs | 878 630 | 2 014 967 | 324 000 | 324 000 | -83,92% |
| Information, communication technology and data processing | 1 833 847 | 1 862 024 | 1 914 696 | 1 914 696 | 2,83% |
| Movable property and associated costs | | 5 000 | 6 000 | 6 000 | 20,00% |
| Current administrative expenditure | 198 917 | 456 600 | 487 000 | 487 000 | 6,66% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 10 404 | 30 000 | 32 000 | 32 000 | 6,67% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 554 531 | 494 200 | 442 000 | 442 000 | -10,56% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 129 918 831 | 85 363 346 | 90 000 000 | 91 016 987 | 6,62% |
| Studies/Development conducted by the SJU | 129 918 831 | 85 363 346 | 90 000 000 | 91 016 987 | 6,62% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Studies/Development conducted by the Members | | | | | |
| Title 4 - Unused Appropriations not required in current Year | 2 887 497 | 376 076 | 1 393 064 | 376 077 | 0,00% |
| - Of which Administrative | 3 250 000 | 376 076 | 1 393 064 | 376 077 | 0,00% |
| - Of which Operational | -362 503 | | | | |
| TOTAL | 141 595 870 | 96 897 817 | 101 413 738 | 101 413 738 | 4,66% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 029 646 | 6 495 604 | 6 814 978 | 6 814 978 | 4,92% |
| Salaries & allowances | 4 789 938 | 6 074 942 | 6 365 978 | 6 365 978 | 4,79% |
| - Of which establishment plan posts | 4 369 599 | 5 450 000 | 5 700 000 | 5 700 000 | 4,59% |
| - Of which external personnel | 420 339 | 624 942 | 665 978 | 665 978 | 6,57% |
| Expenditure relating to Staff recruitment | 397 | 6 600 | 8 000 | 8 000 | 21,21% |
| Mission expenses | 109 291 | 230 000 | 244 000 | 244 000 | 6,09% |
| Socio-medical infrastructure | | | | | |
| Training | 15 078 | 48 812 | 52 000 | 52 000 | 6,53% |
| External Services | 76 432 | 82 250 | 88 000 | 88 000 | 6,99% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 38 510 | 53 000 | 57 000 | 57 000 | 7,55% |
| Title 2 - Infrastructure and operating expenditure | 3 009 270 | 4 862 791 | 3 205 696 | 3 205 696 | -34,08% |
| Rental of buildings and associated costs | 902 842 | 2 014 967 | 324 000 | 324 000 | -83,92% |
| Information, communication technology and data processing | 1 314 562 | 1 862 024 | 1 914 696 | 1 914 696 | 2,83% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Movable property and associated costs | | 5 000 | 6 000 | 6 000 | 20,00% |
| Current administrative expenditure | 267 556 | 456 600 | 487 000 | 487 000 | 6,66% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 5 782 | 30 000 | 32 000 | 32 000 | 6,67% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 518 528 | 494 200 | 442 000 | 442 000 | -10,56% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 28 242 050 | 120 771 449 | 80 000 000 | 80 000 000 | -33,76% |
| Studies/Development conducted by the SJU | 28 242 050 | 120 771 449 | 80 000 000 | 80 000 000 | -33,76% |
| Studies/Development conducted by the Members | | | | | |
| Title 4 - Unused Appropriations not required in current Year | 112 746 444 | 4 867 880 | 2 106 927 | 1 089 940 | -77,61% |
| - Of which Administrative | 2 663 863 | 1 089 939 | 2 106 927 | 1 089 940 | 0,00% |
| - Of which Operational | 110 082 581 | 3 777 941 | | | -100% |
| TOTAL | 149 027 410 | 136 997 724 | 92 127 601 | 91 110 614 | -33,49% |

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: EUR 107 792 385

4 Justification of needs

Commission assessment

The Commission supports the request made by the SESAR 3 Joint Undertaking (hereafter ‘S3JU’). The 2024 budget request provides for sufficient administrative and financial resources to allow the S3JU to carry out the activities in line with its programming.

Human Resources

No additional staff is requested by the S3JU for 2024 in comparison to 2023. This is in line with the legislative financial statement (LFS) which provides for a maximum of 40 positions (37 TA, 1 CA and 2 SNEs).

The differences in grades observed with the establishment plan posts in the LFS corresponds to the logical arithmetic projection of grades due to the reclassifications.

The vacancy rate of 2022 for temporary agents slightly increased to 8.1% (was 7.5% in 2021), which remains reasonable in view of the size of the entity. Several recruitment procedures were completed over the year 2022, amongst which the appointment of the new Executive director. At the end of 2022, all vacancies but 2 were filled-in. These remaining 2 are in progress and to be completed by end Q1 2023. As from that time it is expected that the S3JU will run with full staffing.

These factors (reclassifications, running at full staff regime) combined with the context of high inflation, justify the S3JU's request for staff costs in 2024. This request also corresponds to the LFS.

Financial Resources

The planned appropriations are adequate to cover the S3JU's activities over the year, as outlined in the Multi-annual work programme 2022-2031 and the draft Bi-annual Work programme 2024-2025.

Administrative expenditures: to cover its administrative expenditures in 2024, the S3JU will request the remaining payment appropriations from the H2020 budget¹ (2024 is the last year of implementation of the SESAR 2020 programme) and the Horizon Europe appropriations, as per the LFS. The S3JU will in priority use the legacy programme appropriations to maximize its budget execution.

Operational expenditures:

SESAR 2020 programme/H2020 fund: No more request is made under the H2020 funds in 2024. All H2020 appropriations have been committed in the years leading to 2020¹ and the last payments related to the remaining projects (Exploratory Research n°4 grants, Industrial research & Innovation and validation Waves 2 and 3 grants) will be made in 2023.

Digital European Sky programme/Horizon Europe:

The S3JU's 2024 budget request includes an appropriate level of commitment appropriations to cover the 2nd instalment of the second exploratory research ("ER2") call expected to be launched in 2023, other operational activities (such as scientific committee, strategic studies and advice or programme support), as well as to initiate the first instalment of the second industrial research ("IR2") call. This request is in line with the LFS.

The S3JU's 2024 budget request includes an appropriate level of payment appropriations so that the S3JU can meet its payment obligations, namely the first exploratory research ("ER1") and industrial research ("IR1") grants' interim payments, the second exploratory research ("ER2") grants' pre-financing, plus some payments linked to other operational activities.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The 2023 Staff establishment plan (SEP) takes into account the numbers of the Legislative Financial Statement for 2021-2027 with 40 positions: 37 temporary agents, 1 Contract Agent and 2 Seconded National Experts.

During 2023, there will be a need to execute simultaneously actions under two different legal frameworks: H2020 and Horizon Europe and this will lead to a high degree of complexity of the administrative tasks.

¹ Because of the H2020 programme coming to an end in 2020, all H2020 commitment appropriations have been frontloaded back in that year, to cover the period 2021 to 2024.

4.1.2 Vacancy rate as of end 2022

The vacancy rate for temporary agents at the end of 2022 is of 8.1%. It corresponds to 3 vacant positions, out of which 2 positions have been published in 2022 (recruitment planned Q2 2023) and one position has been filled using a reserve list (start date: 01/03/2023).

| Human Resources | 2022 | | | 2023 | 2024 |
|----------------------------------|-------------------|----------------------------------|--------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate (%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 31 | 28 | 90,32% | 31 | 31 |
| Assistants (AST) | 6 | 6 | 100,00% | 6 | 6 |
| Assistants/Secretaries (AST/S/C) | | | | | |
| ESTABLISHMENT PLAN POSTS | 37 | 34 | 91,89% | 37 | 37 |
| Contract Agents (CA) | 1 | 1 | 100,00% | 1 | 1 |
| Seconded National Experts (SNE) | 2 | 2 | 100,00% | 2 | 2 |
| TOTAL STAFF | 40 | 37 | 92,50% | 40 | 40 |

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salaries are based on the 2022 actual salaries and assumptions concerning annual step increases, reclassifications, very low vacancy rate as well as an estimated increase related to inflation and the costs of life. A 6% increase on 2022 figures was calculated in order to cover all these costs.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Budget lines under chapter 11 "Salaries and allowances" increase by 8.4% in 2024 compared to 2023 in order to face the index increase, but also due to some positions that are going to be filled after remaining open for a long time.

The other lines under Title I increase by a conservative 6.7% in average, given the uncertain context of high inflation.

4.2.2 Title 2

84% decrease in "rental of buildings and associated costs" is explained by the move of the JUs offices in February 2023 and the payment in 2023 of the remaining due rents until the end of the rental contract (with a fix duration until February 2025). Comparing to 2022, the new charges paid to EUROCONTROL are almost three times lower than previously. The

IT services provided by EUROCONTROL have a limited increase due to the efficiency gains being result of relocation to EUROCONTROL premises.

Communication expenses decrease compared to 2023 (communication on the result of the SESAR 2020 projects under Title III) and to 2022 (with some special events at the launch of the new JU).

The other budget lines increase by a conservative 6.8% in average in the uncertain context of high inflation. For costs related to certain services, such as reception SESAR 3 JU keeps, the JU is bound by the indexation at Belgium level, which is significantly higher than 2%.

4.2.3 Title 3

SESAR 2020 programme:

- Payments of SESAR2020 projects should be over by end of 2023.

The RAL of DG MOVE should be reduced by a budget transfer in the course of 2023 (of around EUR 16 million, to be clarified by May). The estimated amount of unused payment appropriation at the end of the programme is of around EUR 11 million, i.e. less than 2% of the full amount of operational appropriation for grants.

Digital European Sky programme (with Horizon Europe funds):

In commitment appropriation the JU will need EUR 102 million of which EUR 90 million from EU contribution:

- to complement the total amount of the second Exploratory Research call (ER2): EUR 12,3 million in 2023 and EUR 11,4 million in 2024 for a total call amount of EUR 23,7 million
- to initiate the second Industrial Research call (IR2): EUR 73,2 million out of a total of EUR 171 million
- for other support actions for 5,4 million

In payment appropriation, EUR 80 million are requested for:

- Interim payments of ER1: EUR 7,1 million
- Pre-financing payments of ER2: EUR 9,5 million
- Interim payments of IR1: EUR 57,3 million
- Other support and communication actions: EUR 6,1 million

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---|---------------------|---------------|-----------------|-----------------|------------|--|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | KEPLER | Rue de la Fusée, 96 - 1130 Haren (Brussels) - Belgium | 1 757 | 111,65 | 1 868,65 | 117 000 | Until 2031 | logistic service under the back office arrangements of the SBA | | |
| TOTAL | | | 1 757 | 111,65 | 1 868,65 | 117 000 | | | | |

5.1.2 Current building(s) Other comments

The SESAR 3 JU has moved from the Cortenbergh offices to the EUROCONTROL premises in February 2023. The accommodation by EUROCONTROL and the associated services are part of the Back Office Arrangements foreseen in article 158 of the SBA. This move took place two years before the end of the Cortenbergh lease, which was signed with a fixed duration, against the payment of the remaining rents. The conditions offered by EUROCONTROL make the cost benefit analysis positive.

The agreement with EUROCONTROL for the provision of logistics services, which include the new facilities, does not take the form of a rental contract. It is part of the SESAR 3 JU-EUROCONTROL agreement adopted by the SESAR 3 JU Governing Board. The fee paid to EUROCONTROL for their logistic services cannot be considered as a rent, but as a compensation in the framework of the SESAR 3 JU-EUROCONTROL agreement.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

The last two evaluations of the SESAR Joint Undertaking took place in 2017. One concerned the closure of the SESAR 1 Programme (Final Evaluation of SESAR 1: 2007-2016), while the second focused on the ongoing research activities under the SESAR 2020 Programme (Interim Evaluation of SESAR 2020: 2014-2020). Their results were taken into account when preparing the Single Basic Act for the current MFF JU. The mid-term evaluation of SESAR 3 JU is planned for September 2024.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| VAT exemption as of 16.10.2008, Administrative Agreement with the Belgian Authorities since 30.03.2009 | Protocol of Privileges and Immunities applicable to staff with regard to VAT. | |

2.1.8 Clean Aviation Joint Undertaking - CLEANSKY3

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|--|
| Approval by Council Regulation N°- 71/2008 of 20/12/2007- setting up the Clean Sky Joint Undertaking (OJ L 30/1, 04/02/2008) | 20/12/2007 | <p>The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular Theme 7, Transport (including Aeronautics) of the Specific Programme Cooperation. The objectives of the Clean Sky Joint Undertaking shall be the following:</p> <ul style="list-style-type: none"> - accelerating in the EU the development, validation and demonstration of clean Air Transport technologies for earliest possible deployment; - ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of Air Transport; - creating a radically innovative Air Transport System based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts; - accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness. |
| Council Regulation N° 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169/77) | 06/05/2014 | <p>The Clean Sky 2 Joint Undertaking shall contribute to the implementation of the Framework Programme for Research and Innovation (2014-2020) (“Horizon 2020”) , and in particular the Smart, Green and Integrated Transport Challenge under Part III — Societal Challenges.</p> <p>The Clean Sky 2 Joint Undertaking shall have the following objectives:</p> <ul style="list-style-type: none"> - to contribute to the finalisation of research activities initiated under Regulation (EC) No 71/2008; - to contribute to improving the environmental impact of aeronautical technologies, including those relating to small aviation, as well as to developing a strong and globally competitive aeronautical industry and supply chain in Europe. <p>This can be realised through speeding up the development of cleaner air transport technologies for earliest possible deployment, and in particular the integration, demonstration and validation of technologies capable of:</p> <ul style="list-style-type: none"> - increasing aircraft fuel efficiency, thus reducing CO 2 emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014; - reducing aircraft NO x and noise emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014. |
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Clean Aviation Joint undertaking and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014, OJ L 427, 30.11.2021, | 30/11/2021 | <p>In accordance with Article 57(1) of the SBA, the initiative’s main objective is to contribute to reduce the ecological footprint by accelerating the development of climate neutral aviation technologies for earliest possible deployment, therefore significantly contributing to the achievement of the general goals of the European Green Deal, i.e.: at least 55% emissions reduction by 2030, and climate neutrality by 2050 .</p> <p>The second general objective is to ensure that aeronautics-related R&I activity contributes to the global competitiveness of the EU aviation industry by accelerating and optimising the R&I process. Without a strong European supply chain, Europe has no leverage to pursue an ambitious environmental policy.</p> <p>The third objective is to advance the European aviation research and innovation capacity.</p> |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 52 : Cluster 'Climate, Energy and Mobility' — Clean Aviation Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 32 | 32 | 100,00% | 32 | 32 |
| Assistants (AST) | 4 | 4 | 100,00% | 3 | 4 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 36 | 36 | 100,00% | 35 | 36 |
| Contract Agents (CA) | 6 | 5 | 83,33% | 6 | 5 |
| Seconded National Experts (SNE) | 2 | | 0,00% | | |
| TOTAL STAFF | 44 | 41 | 93,18% | 41 | 41 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 231 570 000 | 397 159 638 | 148 885 217 | 56 449 145 |
| Other Revenue | 37 867 160 | 92 574 376 | 25 369 538 | 185 883 722 |
| TOTAL REVENUES | 269 437 160 | 489 734 014 | 174 254 755 | 242 332 867 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 5 840 000 | 6 348 118 | 6 026 800 | 6 026 800 |
| Title 2 - Infrastructure and operating expenditure | 3 700 000 | 5 230 925 | 3 294 580 | 3 294 580 |
| Title 3 - Operational expenditure | 244 458 848 | 297 202 218 | 149 923 000 | 200 552 389 |
| TOTAL EXPENDITURE | 253 998 848 | 308 781 261 | 159 244 380 | 209 873 769 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | 2 | | 2 | | 3 | | 3 |
| AD 11 | | 2 | | 2 | | 2 | | 1 | | 1 |
| AD 10 | | 5 | | 5 | | 5 | | 6 | | 6 |
| AD 9 | | 8 | | 8 | | 8 | | 7 | | 7 |
| AD 8 | | 4 | | 4 | | 4 | | 4 | | 4 |
| AD 7 | | 4 | | 4 | | 6 | | 6 | | 6 |
| AD 6 | | 6 | | 6 | | 4 | | 4 | | 4 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 32 | | 32 | | 32 | | 32 | | 32 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | 1 | | 1 |
| AST 8 | | 1 | | 1 | | 1 | | | | |
| AST 7 | | | | | | | | 2 | | 2 |
| AST 6 | | 2 | | 2 | | 1 (1) | | | | |
| AST 5 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 4 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 4 | | 4 | | 3 | | 4 | | 4 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 36 | | 36 | | 35 | | 36 | | 36 |
| GRAND TOTAL | 36 | | 36 | | 35 | | 36 | | 36 | |

(1) The JU, with the agreement of the relevant EC services, modified the establishment plan for 2023 by removing a CA GFIII post instead of an AST post. The overall reduction of 3 FTEs is maintained. The practical reason is that a contractual agent left the organisation. This is an exceptional and temporary arrangement until an AST post becomes available that shall then be replaced with a CA FG III position. This is not reflected in the table for 2023

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 2 | 3 | 3 |
| Function Group III | 3 | 3 | 3 (1) | 2 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 6 | 5 | 6 | 5 |

(1) The JU, with the agreement of the relevant EC services, modified the establishment plan for 2023 by removing a CA GFIII post instead of an AST post. The overall reduction of 3 FTEs is maintained. The practical reason is that a contractual agent left the organisation. This is an exceptional and temporary arrangement until an AST post becomes available that shall then be replaced with a CA FG III position. This is not reflected in the table for 2023.

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | | | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 (1) | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 150 583 000 | 231 570 000 | 148 885 217 | 148 885 217 | -35,71% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 1 440 000 | 2 027 000 | 3 173 292 | 3 173 292 | 56,55% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 149 143 000 | 229 543 000 | 145 711 925 (3) | 145 711 925 | -36,52% |
| EU contribution committed on EU budget level in 2021 and granted to JU in 2022 | 229 925 000 (1) | | | | |
| 2 THIRD PARTIES CONTRIBUTION | 3 719 400 | 6 692 373 | 4 302 783 | 5 270 537 (2) | -21,25% |
| - Of which EEA/EFTA (excl. Switzerland) | 3 719 400 | 6 692 373 | 4 302 783 | 5 270 537 | -21,25% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 907 568 | 5 126 080 | 4 660 690 | 4 660 690 | -9,08% |
| - Of which Administrative (Title 1 and Title 2) | 4 907 568 | 5 126 080 | 4 660 690 | 4 660 690 | -9,08% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 19 242 248 | 26 048 707 | 15 438 311 | 15 438 311 | -40,73% |
| - Of which administrative | 18 508 554 | 17 307 711 | 14 461 669 | 14 461 669 | -16,44% |
| - Of which operational | 733 694 | 8 740 996 | 976 642 | 976 642 | -88,83% |
| 7 OTHER | | | | | |
| TOTAL | 408 377 217 | 269 437 160 | 173 287 001 | 174 254 755 | -35,33% |

(1) For Clean Aviation, the EU contribution was not transferred in 2021 but actually transferred in budget 2022 (including EFTA). This is included in the total appropriations however it is not included in the total 2022 EU contribution.

(3) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “Joint Undertaking request” columns in the “Revenue” and “Expenditure” tables are based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and

between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

(4) This amount takes into account EUR 37,5 loan from Clean Aviation to Clean Hydrogen JU which is offset in the financial programming of future years.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 (1) | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 317 505 461 | 397 159 638 | 56 449 145 | 58 264 722 | -85,79% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 1 822 000 | 2 027 000 | 3 173 292 | 3 173 292 | 56,55% |
| - Of which frontloading (Title 1 and Title 2) (2) | 4 292 970 | 2 967 547 | 4 360 885 | 4 360 885 | 46,95% |
| - Of which Operational (Title 3) | 311 390 491 | 392 165 091 | 48 914 968 | 48 914 968 | -87,53% |
| 2 THIRD PARTIES CONTRIBUTION | 7 108 416 | 11 347 699 | 1 367 447 | 1 815 577 | -84,00% |
| - Of which EEA/EFTA (excl. Switzerland) | 7 108 416 | 11 347 699 | 1 367 447 | 1 815 577 | -84,00% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 907 568 | 5 126 000 | 4 660 690 | 4 660 690 | -9,08% |
| - Of which Administrative (Title 1 and Title 2) | 4 907 568 | 5 126 000 | 4 660 690 | 4 660 690 | -9,08% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 82 525 739 | 76 100 677 | 179 407 455 | 179 407 455 | 135,75% |
| - Of which administrative | 8 955 280 | 11 853 357 | 10 973 471 | 10 973 471 | -7,42% |
| - Of which operational | 73 570 459 | 64 247 320 | 168 433 984 | 168 433 984 | 162,16% |
| 7 OTHER | | | | | |
| TOTAL | 412 047 184 | 489 734 014 | 241 884 737 | 242 332 867 | -49,34% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

in M€

| Third-party contributions (commitment appropriations) | Executed budget 2022 | Budget 2023 | Draft budget 2024 |
|--|----------------------|----------------|-------------------|
| Financial contribution to the administrative costs of JU covered by the Participant States | not applicable | | |
| Financial contributions to the administrative costs by the Private members | 4.908 | 4.770 | 4.661 |
| Financial contributions to operational costs by the Participating States | not applicable | | |
| In-kind contributions to operational activities by the Private members | 188.994 | 143.258 | 63.021 |
| TOTAL CONTRIBUTIONS | 193.902 | 148.028 | 67.682 |
| In-kind contributions to additional activities | 136.788 | 432.947 | 394.775 |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 (1) | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 6 021 993 | 5 840 000 | 6 026 800 | 6 026 800 | 3,20% |
| Salaries & allowances | 4 854 056 | 5 000 000 | 5 170 000 | 5 170 000 | 3,40% |
| - <i>Of which establishment plan posts</i> | 4 368 650 | 4 500 000 | 4 653 000 | 4 653 000 | 3,40% |
| - <i>Of which external personnel</i> | 485 406 | 500 000 | 517 000 | 517 000 | 3,40% |
| Expenditure relating to Staff recruitment | 150 000 | 140 000 | 142 800 | 142 800 | 2,00% |
| Mission expenses | 208 245 | 200 000 | 204 000 | 204 000 | 2,00% |
| Socio-medical infrastructure | 22 149 | 50 000 | 51 000 | 51 000 | 2,00% |
| Training | | | | | |
| External Services | 778 093 | 420 000 | 428 400 | 428 400 | 2,00% |
| Receptions, events and representation | 9 450 | 30 000 | 30 600 | 30 600 | 2,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------------|---------------------------|------------------------|-------------------|
| | Executed Budget 2022 (1) | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 3 170 253 | 3 700 000 | 3 294 580 | 3 294 580 | -10,96% |
| Rental of buildings and associated costs | 598 906 | 640 000 | 652 800 | 652 800 | 2,00% |
| Information, communication technology and data processing | 414 658 | 400 000 | 408 000 | 408 000 | 2,00% |
| Movable property and associated costs | | 10 000 | 16 780 | 16 780 | 67,80% |
| Current administrative expenditure | 20 000 | 30 000 | 30 600 | 30 600 | 2,00% |
| Postage / Telecommunications | 15 000 | 20 000 | 20 400 | 20 400 | 2,00% |
| Meeting expenses | 84 728 | 200 000 | 204 000 | 204 000 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 779 458 | 600 000 | 612 000 | 612 000 | 2,00% |
| Studies | 1 257 503 | 1 800 000 | 1 350 000 | 1 350 000 | -25,00% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 382 417 520 | 244 458 848 | 149 923 000 | 149 923 000 | -38,67% |
| Clean Sky 1 | 382 357 271 | 235 212 712 | 149 923 000 (2) | 149 923 000 | -36,26% |
| Clean Sky 2 | 60 249 | 9 246 136 | | | -100% |
| TOTAL | 391 609 766 (1) | 253 998 848 (2) | 159 244 380 | 159 244 380 (3) | -37,31% |

(1) The difference between the Revenue table and the Expenditure comes from the fact that CAJU has not executed 100% of the 2022 budget, knowing that the EC has transferred the full remaining budget for the H2020 programme in 2020.

(2) The difference between the Revenue table and the Expenditure comes from the fact that CAJU (CS2 and Clean Aviation) will not execute 100% of the 2023 budget

(3) The difference between the Revenue table and the Expenditure (Commitment appropriations) comes from the fact that CAJU (CS2 and Clean Aviation) will not execute 100% of the 2024 budget. For CS2/H2020, the unused admin. CA (EUR 13 million) will be transferred to the operational budget for an addition increase of the ongoing GAMs. This will be done through an amendment of the budget 2023 by end of 2023 and is therefore not yet reflected in the figures above for 2023.

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--|--------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 (1) | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 708 241 | 6 348 118 | 6 026 800 | 6 026 800 | -5,06% |
| Salaries & allowances | 4 854 056 | 5 000 000 | 5 170 000 | 5 170 000 | 3,40% |
| - <i>Of which establishment plan posts</i> | 4 368 650 | 4 500 000 | 4 653 000 | 4 653 000 | 3,40% |
| - <i>Of which external personnel</i> | 485 406 | 500 000 | 517 000 | 517 000 | 3,40% |
| Expenditure relating to Staff recruitment | 66 148 | 227 557 | 142 800 | 142 800 | -37,25% |
| Mission expenses | 122 436 | 287 139 | 204 000 | 204 000 | -28,95% |
| Socio-medical infrastructure | 28 461 | 60 467 | 51 000 | 51 000 | -15,66% |
| Training | | | | | |
| External Services | 630 742 | 739 903 | 428 400 | 428 400 | -42,10% |
| Receptions, events and representation | 6 398 | 33 052 | 30 600 | 30 600 | -7,42% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 665 924 | 5 230 925 | 3 294 580 | 3 294 580 | -37,02% |
| Rental of buildings and associated costs | 598 906 | 640 000 | 652 800 | 652 800 | 2,00% |
| Information, communication technology and data processing | 442 569 | 659 005 | 408 000 | 408 000 | -38,09% |
| Movable property and associated costs | | 10 000 | 16 780 | 16 780 | 67,80% |
| Current administrative expenditure | 36 529 | 43 132 | 30 600 | 30 600 | -29,05% |
| Postage / Telecommunications | 7 336 | 28 389 | 20 400 | 20 400 | -28,14% |
| Meeting expenses | 65 640 | 221 854 | 204 000 | 204 000 | -8,05% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 898 132 | 1 044 657 | 612 000 | 612 000 | -41,42% |
| Studies | 616 812 | 2 583 888 | 1 350 000 | 1 350 000 | -47,75% |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|--|--------------------------|------------------------|---------------------------|------------------------|-------------------|
| | Executed Budget 2022 (1) | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 3 - Operational expenditure | 330 799 080 | 297 202 218 | 200 552 389 | 200 552 389 | -32,52% |
| Clean Sky 1 | 176 355 334 | 244 536 882 | 130 567 200 | 130 567 200 | -46,61% |
| Clean Sky 2 | 154 443 746 | 52 665 336 | 69 985 189 | 69 985 189 | 32,89% |
| TOTAL | 339 173 245 (1) | 308 781 261 (2) | 209 873 769 | 209 873 769 (3) | -32,03% |

(1) The difference between the Revenue table and the Expenditure comes from the fact that CAJU (CS2 and Clean Aviation) has not executed 100% of the 2022 budget. These credits amounting to EUR 73,8 million will be used in the future years.

(2) Budget 2023: The difference between the Revenue table and the Expenditure (Payment appropriations) comes from the fact that CAJU (CS2 and Clean Aviation) will not execute 100% of the 2023 budget. EUR 180,9 million will be used in the future years.

(3) The difference between the Revenue table and the Expenditure (Payment appropriations) comes from the fact that CAJU (CS2 and Clean Aviation) will not execute 100% of the 2024 budget. These credits represent EUR 32 million.

For CS2/H2020, the unused PA (EUR 12,925,520) will be transferred to the operational budget for an addition increase of the ongoing GAMs. This will be done through an amendment of the budget 2023 by end of 2023 and is therefore not yet reflected in the figures above for 2023.

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: EUR 75,3 million.

4 Justification of needs

Commission assessment

Human Resources

Agreement reached on the 2024 staff numbers which are in line with LFS except temporary reduction of 1 CA FGIII instead of 1 Ast post. 2023 by removing a CA GFIII post instead of an AST post on the condition that once the Ast post becomes available it shall then be replaced with a CA FG III position.

Financial Resources

Agreement reached on the proposed credits levels. The agreed Commitment appropriations take into account EUR 37,5 million loan from Clean Aviation to Clean Hydrogen which is offset in the financial programming of the future years.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The establishment plan for 2023 is aligned with the LFS with a decrease of 3 posts compared to 2022, from 44 to 41 staff in 2023. This staff reduction will have negative impact on JU operations considering that the two programmes will be managed in parallel until 2024.

The JU, with the agreement of the relevant EC services, modified the establishment plan for 2023 by removing a CA GFIII post instead of an AST post. The overall reduction of 3 FTEs is maintained. The practical reason is that a contractual agent left the organisation. This is an exceptional and temporary arrangement until an AST post becomes available that shall then be replaced with a CA FG III position.

The grades changes in TA are related to reclassifications foreseen in 2024.

4.1.2 Vacancy rate as of end 2022

End of 2022, the vacancy rate was 7% which corresponds to 1 vacant GFIV and to 2 SNE posts.

The GFIV selection has been launched in June 2022 and the new staff takes up duty the 1st of February 2023.

The vacant SNE position cannot be filled since it is included in the reduction of posts foreseen as from 1/1/2023 (the duration of the mission would have been too short).

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salary envelope for 2024 includes an estimated annual indexation of 3.4% compared to 2023 (as mentioned in the budget circular).

4.1.5 Correction coefficient used

Belgium, 100%.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The staff expenditures include the decrease of staff foreseen in the LFS for 2023/2024, and will be allocated pro rata to the two programmes running in parallel.

4.2.2 Title 2

The infrastructure expenditures will be allocated pro rata to the two programmes running in parallel.

4.2.3 Title 3

The total amount is planned for both programmes:

- Commitments:
 - o CS2: End of the Programme, no additional commitment planned.
 - o Clean Aviation: a total amount of EUR 149.9 million to cover the calls 1 and 2 (using multi annual instalments).

- Payments:

- o CS2: EUR 70,0 million EUR related to the reimbursement of costs claims of ongoing GAPs and GAMs
- o Clean Aviation: EUR 130,6 million EUR for the interim payments of call 1. The payment needs will be by large covered by the unused appropriations from previous years.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---------------------------------|----------------------------------|------------|----------------|-----------------|------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | ToisonD'Or 56-60, Brussels 1060 | 1 667,5 | | 1 667,5 | 630 000 | Until 2024 | | Belgium | |
| TOTAL | | | 1 667,5 | | 1 667,5 | 630 000 | | | | |

5.1.2 Current building(s) Other comments

The six JUs currently sharing their headquarters are located in the "White Atrium", Av. De la Toison d'Or 56-60 in Brussels (1060), Belgium. The current contract ends at the end of 2024.

Therefore, the JUs are launching in 2023 a negotiated procedure in accordance with the Financial Regulation Annex I, Art. 11.1.B(ii) and 11.2.(g), with the objective to select the future building for the period 2024-2031.

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

The interim evaluation of Clean Aviation JU is currently on-going and the public report will be published by January 2024.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--|---|---|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Clean Sky 2 Joint Undertaking signed with the Belgian authorities the host agreement on the 25th of July 2014; therefore the Protocol on the Privileges and Immunities applies directly. | As stated in the article 18 of the Council Regulation setting up the Clean Sky 2 Joint Undertaking (558/2014 of 06/05/2014) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Sky JU and its staff | The European Schools will provide for Clean Sky needs |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|-------------------|---|
| <p>Council Regulation No 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe (incl Europe's Rail JU) and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014 (of 16 June 2014 establishing the Shift2Rail JU)</p> | <p>19/11/2021</p> | <p>The objective of Europe's Rail Joint Undertaking should be to deliver a high capacity integrated European railway network by eliminating barriers to interoperability and providing solutions for full integration, covering traffic management, vehicles, infrastructure and services, aiming to achieve faster uptake and deployment of projects and innovations. That should exploit the huge potential for digitalisation and automation to reduce rail's costs, increase its capacity and enhance its flexibility and reliability, and should be based upon a solid reference functional system architecture shared by the sector, in coordination with the European Union Agency for Railways.</p> <p>1. In addition to the objectives set out in Articles 4 and 5 (of the Council Regulation No 2021/2085 applicable to all Joint Undertakings under Horizon Europe), the Europe's Rail Joint Undertaking shall have the following general objectives:</p> <ul style="list-style-type: none"> (a) contribute towards the achievement of the Single European Railway Area; (b) ensure a fast transition to more attractive, user-friendly, competitive, affordable, easy to maintain, efficient and sustainable European rail system, integrated into the wider mobility system; (c) support the development of a strong and globally competitive European rail industry. <p>2. In addition to the objectives set out in paragraph 1, the Europe's Rail Joint Undertaking shall have the following specific objectives:</p> <ul style="list-style-type: none"> (a) facilitate research and innovation activities to deliver an integrated European railway network by design, eliminating barriers to interoperability and providing solutions for full integration, covering traffic management, vehicles, infrastructure also including integration with national gauges, such as 1 520, 1 000 or 1 668 mm railway, and services, and providing the best answer to the needs of passengers and businesses, accelerating uptake of innovative solutions to support the Single European Railway Area, while increasing capacity and reliability and decreasing costs of railway transport; (b) deliver a sustainable and resilient rail system by developing a zero-emission, silent rail system and climate resilient infrastructure, applying circular economy to the rail sector, piloting the use of innovative processes, technologies, designs and materials in the full life-cycle of rail systems and developing other innovative solutions to guided surface transport; (c) develop through its System Pillar a unified operational concept and a functional, safe and secure system architecture, with due consideration of cyber-security aspects, focused on the European railway network to which Directive (EU) 2016/797 of the European Parliament and of the Council (39) applies, for integrated European rail traffic management, command, control and signalling systems, including automated train operation which shall ensure that research and innovation is targeted on commonly agreed and shared customer requirements and operational needs and is open to evolution; (d) facilitate research and innovation activities related to rail freight and intermodal transport services to deliver a competitive green rail freight fully integrated into the logistic value chain, with automation and digitalisation of freight rail at the core; (e) develop demonstration projects in interested Member States; (f) contribute to the development of a strong and globally competitive European rail industry; (g) enable, promote and exploit synergies with other Union policies, programmes, initiatives, instruments or funds in order to maximise its impact and added value. |

1.2 Seat

Brussels

1.3 Budget line

01 02 02 53 : Cluster 'Climate, Energy and Mobility' — Europe's Rail Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 10 | 10 | 100,00% | 10 | 10 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 10 | 10 | 100,00% | 10 | 10 |
| Contract Agents (CA) | 17 | 17 | 100,00% | 17 | 20 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 2 | 2 |
| TOTAL STAFF | 29 | 28 | 96,55% | 29 | 32 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 91 734 167 | 83 767 283 | 103 994 557 | 71 471 784 |
| Other Revenue | 8 123 250 | 10 195 631 | 9 670 076 | 10 544 922 |
| TOTAL REVENUES | 99 857 417 | 93 962 914 | 113 664 633 | 82 016 706 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 745 440 | 3 745 440 | 3 842 611 | 3 842 611 |
| Title 2 - Infrastructure and operating expenditure | 1 151 900 | 1 151 900 | 1 358 938 | 1 358 938 |

| Expenditure | 2023 | | 2024 | |
|---|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 3 - Operational expenditure - S2R | | 13 571 899 | | 1 964 089 |
| Title 4 - Operational expenditure - EU-RAIL | 91 971 430 | 71 889 455 | 104 535 000 | 70 535 000 |
| Title 5 - Unused Appropriations not required in current Year | 2 988 647 | 3 604 220 | 3 252 120 | 3 867 692 |
| TOTAL EXPENDITURE | 99 857 417 | 93 962 914 | 112 988 669 | 81 568 330 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | | | |
| AD 14 | | | | 1 | | | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | |
| AD 10 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 7 | | | | | | | | | | |
| AD 6 | | 4 | | 4 | | 4 | | 4 | | 4 |
| AD 5 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD TOTAL | | 10 | | 10 | | 10 | | 10 | | 10 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 10 | | 10 | | 10 | | 10 | | 10 |
| GRAND TOTAL | 10 | | 10 | | 10 | | 10 | | 10 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 15 | 8 | 15 | 15 |
| Function Group III | 1 | 7 | 1 | 4 |
| Function Group II | 1 | 2 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 17 | 17 | 17 | 20 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 2 | 2 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | |
|------------------------------------|------------------------------------|-------------|-------------------|-----|
| | Executed Budget | Budget 2023 | Draft Budget 2024 | VAR |
| | | | | |

| | 2022 | | Joint undertaking request | Budget forecast | 2024/2023 (%) |
|--|----------------------|----------------------|---------------------------|--------------------|----------------|
| 1 EU CONTRIBUTION | 163 590 298 | 91 734 167 | 103 994 557 | 103 994 557 | 13,37% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 2 295 928 | 2 346 053 | 2 395 762 | 2 395 762 | 2,12% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 88 294 370 | 89 388 114 | 101 598 795 | 101 598 795 | 13,66% |
| - Of which C2 Administrative (Title 1 and Title 2) | 1 168 000 | | | | |
| - Of which C2 Operational (Title 3) | 71 832 000 | | | | |
| 2 THIRD PARTIES CONTRIBUTION | 2 237 580 | 2 651 117 | 3 005 443 | 3 681 407 | 38,86% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 237 580 | 2 651 117 | 3 005 443 | 3 681 407(1) | 38,86% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 751 783 | 3 676 713 | 3 000 022 | 3 000 022 | -18,40% |
| - Of which Administrative (Title 1 and Title 2) | 4 751 783 (2) | 3 676 713 (3) | 3 000 022 (4) | 3 000 022 | -18,40% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 146 655 | 1 795 420 | 2 988 647 | 2 988 647 | 66,46% |
| - Of which administrative | 3 024 | 1 795 420 | 2 988 647 | 2 988 647 | 66,46% |
| - Of which operational | 143 631 | | | | |
| 7 OTHER | 700 000 | | | | |
| TOTAL | 171 426 316 | 99 857 417 | 112 988 669 | 113 664 633 | 13,83% |

- (1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the “Joint Undertaking request” columns in the “Revenue” and “Expenditure” tables are based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.
- (2) Of which S2R Members contribution of EUR 1 231 145 and EU-Rail Members contributions of EUR 3 520 637
- (3) Of which S2R Members contribution of EUR 1 262 859 and EU-Rail Members contributions of EUR 2 413 854
- (4) Of which S2R Members contribution of EUR 535 022 and EU-Rail Members contribution of EUR 2 465 000

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 154 140 257 | 83 767 283 | 71 471 784 | 71 471 784 | -14,68% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 2 295 928 | 2 346 053 | 2 395 762 | 2 395 762 | 2,12% |
| - Of which frontloading (Title 1 and Title 2) | 1 205 705 | 1 232 659 | 522 227 | 522 227 | -57,63% |
| - Of which Operational (Title 3) | 137 638 624 | 80 188 571 | 68 553 795 | 68 553 795 | -14,51% |
| - Of which C2 Administrative (Title 1 and Title 2) | 1 168 000 | | | | |
| - Of which C2 Operational (Title 3) | 11 832 000 | | | | |
| 2 THIRD PARTIES CONTRIBUTION | 3 328 732 | 2 370 050 | 2 063 238 | 2 527 281 | 6,63% |
| - Of which EEA/EFTA (excl. Switzerland) | 3 328 732 | 2 370 050 | 2 063 238 | 2 527 281 (1) | 6,63% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 751 783 | 3 676 713 | 3 000 022 | 3 000 022 | -18,40% |
| - Of which Administrative (Title 1 and Title 2) | 4 751 783 (2) | 3 676 713 (3) | 3 000 022 (4) | 3 000 022 | -18,40% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 18 272 730 | 4 148 868 | 5 033 286 | 5 033 286 | 21,32% |
| - Of which administrative | 629 100 | 3 652 642 | 3 604 220 | 3 604 220 | -1,33% |
| - Of which operational | 17 643 630 | 496 226 | 1 429 066 | 1 429 066 | 187,99% |
| 7 OTHER | 350 000 | | | | |
| TOTAL | 180 843 502 | 93 962 914 | 81 568 330 | 82 032 373 | -12,70% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| CONTRIBUTIONS OVERVIEW | 2022 | 2023 | 2024 |
|--|--------------------|--------------------|--------------------|
| CONTRIBUTIONS FROM THE UNION (incl EFTA) | 166.527.878 | 94.385.284 | 107.000.000 |
| Title 1 and Title 2 (financial) | 3.520.637 | 2.413.854 | 2.465.000 |
| Title 3 (financial) | 0 | 0 | 0 |
| Title 4 (financial) | 163.007.241 | 91.971.430 | 104.535.000 |
| CONTRIBUTIONS FROM MEMBERS OTHER THAN THE UNION | 120.949.552 | 65.565.781 | 32.251.424 |
| Title 1 and Title 2 (financial) | 4.751.783 | 3.676.713 | 3.000.022 |
| Title 3 (in-kind) | 0 | 0 | 0 |
| Title 4 (in-kind) | 116.197.769 | 61.889.068 | 29.251.402 |
| TOTAL CONTRIBUTIONS | 287.477.430 | 159.951.065 | 139.251.424 |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 876 572 | 3 745 440 | 3 842 611 | 3 842 611 | 2,59% |
| Salaries & allowances | 2 486 532 | 3 180 360 | 3 266 229 | 3 266 229 | 2,70% |
| - Of which establishment plan posts | 2 486 532 | 1 670 760 | 1 715 870 | 1 715 870 | 2,70% |
| - Of which external personnel | | 1 509 600 | 1 550 359 | 1 550 359 | 2,70% |
| Expenditure relating to Staff recruitment | | | | | |
| Mission expenses | 100 000 | 153 000 | 156 060 | 156 060 | 2,00% |
| Socio-medical infrastructure | | | | | |
| Training | 30 849 | 51 000 | 52 020 | 52 020 | 2,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 259 191 | 361 080 | 368 302 | 368 302 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 2 100 429 | 1 151 900 | 1 358 938 | 1 358 938 | 17,97% |

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|-------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Rental of buildings and associated costs | 409 688 | 351 900 | 358 938 | 358 938 | 2,00% |
| Information, communication technology and data processing | 148 307 | 150 000 | 150 000 | 150 000 | 0,00% |
| Movable property and associated costs | | 10 000 | 10 000 | 10 000 | 0,00% |
| Current administrative expenditure | 10 975 | 15 000 | 15 000 | 15 000 | 0,00% |
| Postage / Telecommunications | 9 534 | 15 000 | 15 000 | 15 000 | 0,00% |
| Meeting expenses | | 50 000 | 50 000 | 50 000 | 0,00% |
| Running costs in connection with operational activities | 645 629 | 100 000 | 50 000 | 50 000 | -50,00% |
| Information and publishing | 716 296 | 300 000 | 550 000 | 550 000 | 83,33% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 160 000 | 160 000 | 160 000 | 160 000 | 0,00% |
| Title 3 - Operational expenditure - S2R | 21 067 | | | | |
| Title 4 - Operational expenditure - EU-RAIL | 164 507 241 | 91 971 430 | 104 535 000 | 104 535 000 | 13,66% |
| Title 5 - Unused Appropriations not required in current Year | 1 921 007 | 2 988 647 | 3 252 120 | 3 252 120 | 8,82% |
| - Of which Administrative - S2R | 3 024 | | | | |
| - Of which Operational - S2R | 122 563 | | | | |
| - Of which Administrative - EU-Rail | 1 795 420 | 2 988 647 | 3 252 120 | 3 252 120 | 8,82% |
| - Of which Operational - EU-Rail | | | | | |
| TOTAL | 171 426 316 | 99 857 417 | 112 988 669 | 112 988 669 | 13,15% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------|------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 718 804 | 3 745 440 | 3 842 611 | 3 842 611 | 2,59% |
| Salaries & allowances | 2 387 685 | 3 180 360 | 3 266 229 | 3 266 229 | 2,70% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which establishment plan posts | 2 387 685 | 1 670 760 | 1 715 870 | 1 715 870 | 2,70% |
| - Of which external personnel | | 1 509 600 | 1 550 359 | 1 550 359 | 2,70% |
| Expenditure relating to Staff recruitment | | | | | |
| Mission expenses | 88 490 | 153 000 | 156 060 | 156 060 | 2,00% |
| Socio-medical infrastructure | | | | | |
| Training | 9 295 | 51 000 | 52 020 | 52 020 | 2,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 233 334 | 361 080 | 368 302 | 368 302 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 1 455 932 | 1 151 900 | 1 358 938 | 1 358 938 | 17,97% |
| Rental of buildings and associated costs | 321 077 | 351 900 | 358 938 | 358 938 | 2,00% |
| Information, communication technology and data processing | 228 139 | 150 000 | 150 000 | 150 000 | 0,00% |
| Movable property and associated costs | | 10 000 | 10 000 | 10 000 | 0,00% |
| Current administrative expenditure | 10 995 | 15 000 | 15 000 | 15 000 | 0,00% |
| Postage / Telecommunications | 5 432 | 15 000 | 15 000 | 15 000 | 0,00% |
| Meeting expenses | 15 701 | 50 000 | 50 000 | 50 000 | 0,00% |
| Running costs in connection with operational activities | 59 468 | 100 000 | 50 000 | 50 000 | -50,00% |
| Information and publishing | 649 250 | 300 000 | 550 000 | 550 000 | 83,33% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 165 870 | 160 000 | 160 000 | 160 000 | 0,00% |
| Title 3 - Operational expenditure - S2R | 28 210 923 | 13 571 899 | 1 964 089 | 1 964 089 | -85,53% |
| Title 4 - Operational expenditure - EU-RAIL | 107 413 519 | 71 889 455 | 70 535 000 | 70 535 000 | -1,88% |
| Title 5 - Unused Appropriations not required in current Year | 41 044 324 | 3 604 220 | 3 867 692 | 3 867 692 | 7,31% |

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------|------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which Administrative - S2R | 1 664 673 | | | | |
| - Of which Operational - S2R | 32 855 628 | | | | |
| - Of which Administrative - EU-Rail | 2 793 257 | 3 604 220 | 3 867 692 | 3 867 692 | 7,31% |
| - Of which Operational - EU-Rail | 3 730 766 | | | | |
| TOTAL | 180 843 502 | 93 962 914 | 81 568 330 | 81 568 330 | -13,19% |

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: EUR 20 199 220. Such a large amount depends largely to the non-payment of interim and final payments of S2R Programme grant agreements, due to some delay in the projects submission or the JU not validating final (in majority) payment request due to the lack of complete information, or requesting confirmation of final project results in, technical report. The focus is given to technical achievement and Programme results. Also, as the finalisation of S2R Programme approaches, the JU is strictly following up the Members' obligation in accordance with the S2R Council Regulation and Membership Agreement article 2.2, ensuring that they deliver the expected leverage effect, confirming it with the necessary certification of Total Project Costs (TPC) and ensuring a ratio of co-funding of 44.44% (and therefore confirming they have incurred costs of IKOP for the 55.56%). This leading also to further suspension or request of complementary information or follow-up actions.

Finally, the balance amount of the unused payment appropriations is used to cover the operational payments during the first quarter of 2023.

4 Justification of needs

Commission assessment

The request for 2024 is necessary to allow the JU to continue the activities started in 2022 in relation to the calls launched and the projects awarded and signed, and in accordance with the multi-annual approach. The JU will monitor its operational activities and implement the multi-annuality by instalments as indicated in the SBA.

The calls will contribute towards the achievement of the Single European Railway Area; it will ensure increased capacity, flexibility, contribute towards reducing costs and hence, providing better and more attractive services for passengers and businesses alike. This will lead to a more competitive rail sector and to modal shift, delivering on the Commission's top political priorities.

Human Resources

The staff establishment plan submitted by EU-Rail JU is in accordance with the LFS annexed to the Council Regulation No 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe, which includes 10 TAs, 17 20 CAs and 2 SNEs positions. It is noted that since 2022 the JU is reinforced with 3 additional contractual agents related to the transfer of the accounting services from DG BUDG to JUs, as part of the Back Office Arrangements for the accounting services.

Financial Resources

The 2024 budget request includes an appropriate level of commitment appropriations to cover the multi-annual 2022-2024 calls, as well as other operational activities.

The operational activities will support the call activities for the preparation of the second calls for industrial research and Flagship Projects in accordance with the EU-Rail Multi-Annual Action Plan, the multi-annual instalment of the call 2022-1 as well as tender for System Pillar activities.

The 2024 Budget includes the adequate level of payment appropriations so Europe's Rail JU can meet its payment obligations under EU-Rail programme and payment obligations for the remaining and closing activities of the S2R Programme.

In addition, the estimated expenditure will cover for the year 2024 the necessary administrative on housing, administrative and IT support services, supplies, meetings' organization, communications and events activities, and audits supporting the JU operations.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The staff establishment plan is established in accordance with the LFS annexed to the Council Regulation No 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe, which includes 10 TAs, 17 CAs and 2 SNEs positions. It also necessary to add to the 17 CAs, the 3 CAs of the BOA Accounting, as explained in the table 2.2.1 of Contract Agents.

4.1.2 Vacancy rate as of end 2022

0% Vacancy rate in terms of total number of staff (applicable for S2R Programme Staff Establishment Plan)

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for the salary cost in 2024 is updated in accordance with Single Basic Act establishing the Joint Undertakings under Horizon Europe and the Legislative Financial Statement for Europe's Rail JU, to which an indexation rate of 3.4% is applied from July 2024, in accordance with the instructions provided in the Budget Circular for 2024 (Agency Instructions).

4.1.5 Correction coefficient used

n/a

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The estimated expenditure under Title 1 amounts to EUR 3 819 102. This represents 74 % of the Europe's Rail JU Administrative budget for 2024.

A majority of this amount covers the Salaries & allowances of the JU staff. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the Other Members. With particular regard to the salaries, it is in accordance with the Legislative Financial Statement and establishment plan of Europe's Rail JU and decision to implement BOA Accounting under the lead and coordination of EU-Rail (10 TAs, 20 CAs and 2 SNEs).

Title 1 is built under the assumption that Europe's Rail be fully staffed (recruitments finalised in 2023).

4.2.2 Title 2

The estimated expenditure under Title 2 amounts to EUR 1 358 938. This represents 26% of the Europe's Rail JU Administrative budget for 2024.

The required budget resources are needed to cover the costs for the year 2024 on housing, administrative and IT support services, supplies, meetings' organization, communications and events activities, and audits supporting the JU operations. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the Other Members (this being valid for Title 1 as well).

4.2.3 Title 3

In accordance with its plans to launch the operational activities and the first multi-annual Work Programme 2022-2024 of Europe's Rail Programme at the beginning of 2022, the JU has been launching 3 calls between 2022 and 2024, among which the first call 2022-1 that was launched with multi-annual instalments and covered by the implementation of commitment appropriations of 2022, 2023 and 2024 for a total of 233m€ of co-funding and 421m€ of Total Project Costs. In fact, in accordance with the SBA (see legal basis of section 1.1), the JU is monitoring its call operational activities and implement the muti-annuality by instalments as indicated in the aforementioned Council Regulation.

The first call was followed by a second call for exploratory research in 2022, with award in 2023 for a total of 14.7m€ and a third one initiated in 2023, with award in 2024 for 19.2m€.

The 104.5m€ of CA to be used in 2024 will be allocated to the tender for the System Pillar activities and other operational tenders and studies (12.1m€), as well as to multi-annual instalment of the call 2022-1 (36.4m€) and call activities for the preparation of the second calls for industrial research and Flagship Projects in accordance with the EU-Rail Multi-Annual Action Plan (56m€).

EUR 2 988 647 in terms of commitment appropriations are coming from administrative unused appropriations from previous years of the S2R Programme, that the JU re-activated in accordance with the Financial Rule Art 6§5; these appropriations are used to implement framework contracts and SLA to ensure the adequate implementation and monitoring of the EU-Rail Programme.

The total payment appropriations of 72.5m€ is subdivided between the contribution for the year 2024 of 2.0m€ from S2R Programme for the finalisation of the Programme and final payments and of 70.5m€ from EU-Rail Programme. As indicated in the revenue section, the JU wouldn't request any payment credits for the operational expenditure related to the S2R Programme finalisation, as these will come from reactivations of operational unused appropriations.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|----------------------------------|---|----------------------------------|------------|------------|-----------------|------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium building, 2nd Floor | Avenue de la Toison d'Or 56-60 B1060 Brussels Belgium | 918 | | 918 | 223 150 | Until 31.12.2024 | | | |
| TOTAL | | | 918 | | 918 | 223 150 | | | | |

5.1.2 Current building(s) Other comments

Out of the nine JUs listed in the Single Basic Act, six share the same building and two additional ones are expected to join the same location, while one (SESAR 3 JU) is already planned to be located in another location.

The six JUs currently sharing their headquarters are located in the 'White Atrium' building, Avenue de la Toison d'Or 56-60 in Brussels, Belgium (1060). The current contract ends at the end of 2024.

By regulation, all Joint Undertakings shall have their seat in the Brussels Region.

In May 2022, on behalf of the JUs, the Clean Hydrogen JU mandated a private company to survey the Brussels market of offices buildings in view of establishing its future headquarters for the period 2025 – 2031. The study was carried out in June 2022. The market study reveals that the WA offers competitive renting conditions (total occupation cost/sqm/year) for a grade B building in comparison to current market conditions but also that a possible reduction of space could reduce the total cost of occupation over time, mostly by maximising open spaces (the study considers 50% of open spaces and 50% of closed offices).

Therefore, the JU are launching in February 2023 a negotiated procedure in accordance with the Financial Regulation Annex I, Articles 11.1.B(ii) and 11.1.(g), with the objective to open a selection of possible building for the period 2024-2031, including the option to stay in the White Atrium building.

The result of the tender is expected at the latest by May 2023. For the time being, and in the context of the FIFI 2024, the EU-Rail building is indicated as the same as for 2023.

5.1.3 Building projects in the planning phase

n/a subject to the conclusion of the tenders as per point 5.1.2

5.1.4 Building projects submitted to the European Parliament and the Council

n/a as indicated in point 5.1.2

5.2 Evaluation

The Commission shall carry out an interim and a final evaluation of each Joint Undertaking feeding into the Horizon Europe evaluations, as specified in Article 52 of the Horizon Europe Regulation. The Interim Evaluation of the JU is planned in 2024.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Europe's Rail JU is granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities | As stated in the article 39 of the Council Regulation setting up Joint Undertakings under Horizon Europe (2021/2085 of 19 November 2021), the Protocol N°7 on the privileges and immunities of the European Union, annexed to the Treaty on European Union and the TFEU, shall apply to the Joint Undertakings and their staff. | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|--|
| <p>Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe (OJ L 427/17) and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014, OJ L 427, 30.11.2021</p> | 30/11/2021 | <p>The general objectives of the proposed Clean Hydrogen Joint Undertaking will be (Article 73(1) of the SBA):</p> <ul style="list-style-type: none"> • to contribute to the objectives set out in the 2030 Climate Target Plan, and the European Green Deal, by raising the EU's ambition on reducing greenhouse gas emissions to at least 55% below 1990 levels by 2030, and climate neutrality by 2050; • to contribute to the implementation of the 2020 European Commission's Hydrogen Strategy for a climate neutral Europe; • to strengthen the competitiveness of the Union clean hydrogen value chain, with a view to supporting, notably the SMEs involved, accelerating the market entry of innovative competitive clean solutions; • to stimulate clean hydrogen production, distribution, storage and end use applications. <p>The Clean Hydrogen Joint Undertaking shall also have the following specific objectives (Article 73(2) of the SBA):</p> <ul style="list-style-type: none"> • improve through research and innovation the cost-effectiveness, reliability, quantity and quality of clean hydrogen solutions, including production, distribution, storage and end uses developed in the Union such as more efficient and cheaper hydrogen electrolyzers and cheaper transport and industrial applications; • strengthen the knowledge and capacity of scientific and industrial actors along the Union's hydrogen value chain; • carry out demonstrations of clean hydrogen solutions with the view to local, regional and Union-wide deployment, addressing renewable production, distribution, storage, and use for transport and energy-intensive industries as well as other applications; • increase public and private awareness, acceptance, and uptake of clean hydrogen solutions, in particular through cooperation with other European partnerships under Horizon Europe |
| <p>Council Regulation No 559/2014 of 6 May 2014 setting up the FCH 2 Joint Undertaking (OJ L 169/108)</p> <p>Regulation repeals Council Regulation No 521/2008 of 30 May 2008 setting up the FCH Joint Undertaking under FP7 (OJ L 153/1, 12/06/2008). Ongoing actions were transferred to FCH 2 JU</p> | 06/05/2014 | <p>The FCH Joint Undertaking shall contribute to the objectives of the Joint Technology Initiative on Fuel Cells and Hydrogen, through the development of a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union.</p> <p>It shall, in particular:</p> <ul style="list-style-type: none"> -reduce the production cost of fuel cell systems to be used in transport applications, while increasing their lifetime to levels competitive with conventional technologies, -increase the electrical efficiency and the durability of the different fuel cells used for power production, while reducing costs, to levels competitive with conventional technologies, -increase the energy efficiency of production of hydrogen from water electrolysis while reducing capital costs, so that the combination of the hydrogen and the fuel cell system is competitive with the alternatives available in the marketplace, and -demonstrate on a large scale the feasibility of using hydrogen to support integration of renewable energy sources into the energy systems, including through its use as a competitive energy storage medium for electricity produced from renewable energy sources. |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 54 : Cluster 'Climate, Energy and Mobility' — Clean Hydrogen Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 17 | 17 | 100,00% | 17 | 17 |
| Assistants (AST) | 10 | 10 | 100,00% | 10 | 10 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 27 | 27 | 100,00% | 27 | 27 |
| Contract Agents (CA) | 2 | 1 | 50,00% | 2 | 2 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 3 | 3 |
| TOTAL STAFF | 31 | 29 | 93,55% | 32 | 32 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 198 709 380 | 276 713 211 | 117 758 123 | 103 879 900 |
| Other Revenue | 3 657 698 | 4 238 093 | 68 981 994 | 68 413 283 |
| TOTAL REVENUES | 202 367 078 | 280 951 304 | 186 740 117 | 172 293 183 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 4 221 000 | 4 221 000 | 5 168 000 | 5 168 000 |
| Title 2 - Infrastructure and operating expenditure | 2 967 000 | 2 967 000 | 3 330 615 | 3 330 615 |

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 3 - Operational expenditure | 260 000 000 | 319 328 374 | 177 500 000 | 139 138 746 |
| TOTAL EXPENDITURE | 267 188 000 | 326 516 374 | 185 998 615 | 147 637 361 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 11 | | | | 1 | | | | | | |
| AD 10 | | | | | | 2 | | 2 | | 2 |
| AD 9 | | 5 | | 4 | | 3 | | 3 | | 3 |
| AD 8 | | 4 | | 1 | | 2 | | 2 | | 2 |
| AD 7 | | 2 | | 4 | | 5 | | 5 | | 5 |
| AD 6 | | 3 | | 3 | | 2 | | 2 | | 2 |
| AD 5 | | | | 2 | | | | | | |
| AD TOTAL | | 17 | | 17 | | 17 | | 17 | | 17 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | 1 | | 1 |
| AST 9 | | 1 | | 1 | | 2 | | 1 | | 1 |
| AST 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 6 | | 1 | | | | | | 1 | | 1 |
| AST 5 | | 2 | | 3 | | 5 | | 4 | | 4 |
| AST 4 | | 3 | | 3 | | | | | | |
| AST 3 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 10 | | 10 | | 10 | | 10 | | 10 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 27 | | 27 | | 27 | | 27 | | 27 |
| GRAND TOTAL | 27 | | 27 | | 27 | | 27 | | 27 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | | 1 | 1 |
| Function Group III | 1 | 1 | 1 | 1 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 2 | 1 | 2 | 2 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 3 (1) | 3 |

(1) The extra SNE for 2023 and the years after has been approved by DG BUDG and has also been approved by the Governing Board in the context of the first amendment to the 2023 AWP adopted on 30 January 2023.

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | |
|------------------------------------|------------------------------------|-------------|-------------------|-----|
| | Executed Budget | Budget 2023 | Draft Budget 2024 | VAR |
| | | | | |

| | 2022 | | Joint undertaking request | Budget forecast | 2024/2023 (%) |
|--|--------------------|--------------------|---------------------------|--------------------|---------------|
| 1 EU CONTRIBUTION | 150 000 000 | 195 179 077 | 116 986 367 | 116 986 367 | -40,74% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | 2 786 736 | 2 786 736 | 100% |
| - Of which frontloading (Title 1 and Title 2) (5) | 3 440 000 | 3 416 846 | 771 756 | 771 756 | -78,14% |
| - Of which Operational (Title 3) | 150 000 000 | 195 179 077 | 114 199 631 | 114 199 631(2) | -41,49% |
| 2 THIRD PARTIES CONTRIBUTION | 3 705 000 | 5 640 675 | 63 399 814 | 64 141 317 | 100% |
| - Of which EEA/EFTA (excl. Switzerland) | 3 705 000 | 5 640 675 | 3 399 814 | 4 141 317(4) | 100% |
| - Of which third countries | | 60 000 000 (3) | 60 000 000 | 60 000 000 (3) | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 3 440 000 | 3 530 303 | 3 657 936 | 3 657 936 | 3,62% |
| - Of which Administrative (Title 1 and Title 2) | 3 440 000 | 3 530 303 | 3 657 936 (1) | 3 657 936 | 3,62% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 3 255 956 | 127 395 | 1 182 741 | 1 182 741 | 828,40% |
| - Of which administrative | 1 209 000 | 127 395 | 1 182 741 | 1 182 741 | 828,40% |
| - Of which operational | 2 046 956 | | | | |
| 7 OTHER | | | | | |
| TOTAL | 163 840 956 | 267 894 296 | 185 998 614 | 186 740 117 | -7,72% |

(1) Of which: EUR 3 145 825 from Hydrogen Europe and EUR 512 111 from Hydrogen Europe Research

(2) Upon Clean Hydrogen request and with the approval of DG RTD (Dir C), Clean Aviation agrees in transferring an amount of EUR 37 500 000 CA to Clean Hydrogen for the year 2024 with the agreement of getting back the exact same amount in 2025 to match the LFS. The trilateral (Clean Hydrogen JU, Clean Aviation JU and Directorate C of RTD) agreement is detailed in a letter signed by the three Directors (Ares(2023)1019491)

(3) The top-up of the Clean Hydrogen JU budget with 200 million Euros under RePower EU (Communication REPowerEU Plan COM(2022)230) is funded through the reserve of third countries' credits for the years 2023-2024 for an amount of 60 million Euros each year

(4) As the exact percentages of the EFTA contribution for 2024 was not known at the time this financial fiche was prepared, the "Joint Undertaking request" columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

(5) The amounts indicated in this row are related to the commitments granted to the JU in 2020 for the running costs of the completion of the activities financed from Horizon 2020. They are not included in the row 1 EU contribution but they are included in the totals of the revenue table.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 104 615 190 | 276 782 129 | 103 879 900 | 103 879 900 | -62,46% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | 2 786 736 | 2 786 736 | 100% |
| - Of which frontloading (Title 1 and Title 2) | 3 364 095 | 3 335 153 | 771 756 | 771 756 | -76,37% |
| - Of which Operational (Title 3) | 101 251 095 | 273 446 976 | 100 321 408 | 100 321 408 | -63,31% |
| 2 THIRD PARTIES CONTRIBUTION | 2 833 109 | 7 734 659 | 38 916 783 | 39 572 606 | 92143,83% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 833 109 | 7 734 659 | 2 916 783 | 3 572 606 | 5083,85% |
| - Of which third countries | | ? | 36 000 000 | 36 000 000 (1) | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 3 440 000 | 3 335 153 | 3 657 936 | 3 657 936 | 9,68% |
| - Of which Administrative (Title 1 and Title 2) | 3 440 000 | 3 335 153 | 3 657 936 | 3 657 936 | 9,68% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 6 856 049 | 834 022 | 1 182 741 | 1 182 741 | 41,81% |
| - Of which administrative | 1 209 000 | 517 694 | 1 182 741 | 1 182 741 | 128,46% |
| - Of which operational | 5 647 049 | 316 328 | | | -100% |
| 7 OTHER | 489 820 | | | | |
| TOTAL | 118 234 168 | 288 685 963 | 147 637 360 | 148 293 183 | -38,68% |

(1) Pre-financing related to RePowerEU actions amounts to 60% in payment credits, i.e. 36 MEur

3.2 Financial contributions from EU budget and the other partners and in kind contributions

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 835 059 | 4 221 000 | 5 168 000 | 5 168 000 | 22,44% |
| Salaries & allowances | 3 417 502 | 3 941 000 | 4 716 000 | 4 716 000 | 19,67% |
| - Of which establishment plan posts | 3 239 938 | 3 675 000 | 4 330 000 | 4 330 000 | 17,82% |
| - Of which external personnel | 177 564 | 266 000 | 386 000 | 386 000 | 45,11% |
| Expenditure relating to Staff recruitment | 706 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | 61 877 | 60 000 | 68 000 | 68 000 | 13,33% |
| Socio-medical infrastructure | 11 379 | 15 000 | 15 000 | 15 000 | 0,00% |
| Training | 13 007 | 30 000 | 30 000 | 30 000 | 0,00% |
| External Services | 326 977 | 166 000 | 330 000 | 330 000 | 98,80% |
| Receptions, events and representation | 3 611 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 596 007 | 2 967 000 | 3 330 615 | 3 330 615 | 12,26% |
| Rental of buildings and associated costs | 397 619 | 436 000 | 480 000 | 480 000 | 10,09% |
| Information, communication technology and data processing | 490 674 | 445 000 | 449 847 | 449 847 | 1,09% |
| Movable property and associated costs | | 20 000 | 5 000 | 5 000 | -75,00% |
| Current administrative expenditure | 7 588 | 9 000 | 9 270 | 9 270 | 3,00% |
| Postage / Telecommunications | 8 792 | 11 000 | 8 998 | 8 998 | -18,20% |
| Meeting expenses | 21 824 | 50 000 | 52 000 | 52 000 | 4,00% |
| Running costs in connection with operational activities | 131 150 | 1 241 000 | 1 539 000 | 1 539 000 | 24,01% |
| Information and publishing | 712 096 | 755 000 | 786 500 | 786 500 | 4,17% |
| Studies | 207 190 | | | | |
| Other infrastructure and operating expenditure | 619 074 | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 3 - Operational expenditure | 304 734 331 | 260 000 000 | 177 500 000 | 177 500 000 | -31,73% |
| TOTAL | 311 165 397 | 267 188 000 | 185 998 615 | 185 998 615 | -30,39% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 804 036 | 4 221 000 | 5 168 000 | 5 168 000 | 22,44% |
| Salaries & allowances | 3 439 986 | 3 941 000 | 4 716 000 | 4 716 000 | 19,67% |
| - Of which establishment plan posts | 3 262 422 | 3 675 000 | 4 330 000 | 4 330 000 | 17,82% |
| - Of which external personnel | 177 564 | 266 000 | 386 000 | 386 000 | 45,11% |
| Expenditure relating to Staff recruitment | 706 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | 61 540 | 60 000 | 68 000 | 68 000 | 13,33% |
| Socio-medical infrastructure | 9 821 | 15 000 | 15 000 | 15 000 | 0,00% |
| Training | 8 216 | 30 000 | 30 000 | 30 000 | 0,00% |
| External Services | 280 156 | 166 000 | 330 000 | 330 000 | 98,80% |
| Receptions, events and representation | 3 611 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 128 288 | 2 967 000 | 3 330 615 | 3 330 615 | 12,26% |
| Rental of buildings and associated costs | 345 752 | 436 000 | 480 000 | 480 000 | 10,09% |
| Information, communication technology and data processing | 443 419 | 445 000 | 449 847 | 449 847 | 1,09% |
| Movable property and associated costs | 498 | 20 000 | 5 000 | 5 000 | -75,00% |
| Current administrative expenditure | 8 348 | 9 000 | 9 270 | 9 270 | 3,00% |
| Postage / Telecommunications | 8 236 | 11 000 | 8 998 | 8 998 | -18,20% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Meeting expenses | 12 244 | 50 000 | 52 000 | 52 000 | 4,00% |
| Running costs in connection with operational activities | 82 924 | 1 241 000 | 1 539 000 | 1 539 000 | 24,01% |
| Information and publishing | 533 536 | 755 000 | 786 500 | 786 500 | 4,17% |
| Studies | 83 195 | | | | |
| Other infrastructure and operating expenditure | 610 136 | | | | |
| Title 3 - Operational expenditure | 71 505 991 | 319 328 374 | 139 138 746 | 139 138 746 | -56,43% |
| TOTAL | 77 438 315 | 326 516 374 | 147 637 361 | 147 637 361 | -54,78% |

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: 3 224 499 EUR

4 Justification of needs

Commission assessment

Human Resources

Agreement reached on 32 FTEs for 2024 – 27 temporary agents, 2 contract agents and 3 SNEs. This is in line with the legislative and financial statement of the Single Basic Act plus a reinforcement with one SNE agreed in the course of 2023.

Financial Resources

Agreed on the proposed level of appropriations. The EU contribution in commitment appropriations for 2024 takes into account:

- EUR 37,5 million loan from the Clean Aviation JU to be paid back in 2025.
- EUR 60 million contribution in third countries credits for financing of additional hydrogen valleys as a follow up of the Communication REPowerEU Plan COM(2022)230)

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

Despite the communication of the Commission on “REPowerEU Plan” and the fact that the activities mentioned in that communication will result in about 30 additional highly complex projects, the establishment plan for the new Clean Hydrogen will consist of 27 TA, 2 CA and 3 SNEs, in continuation of the situation of 2023.

4.1.2 Vacancy rate as of end 2022

The vacancy rate as of end 2022 was 3.4% based on the establishment plan posts (TA) and contract agents (CA).

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salary assumption was based on detailed computation of each step and grade in 2024 taking into account the Staff Establishment Plan, the step advancements, the provision for reclassifications as per the Staff Establishment Plan and applying annual increase rate of 4.4% to 2023 salary scale figures (for the first six months of 2024) and an additional 3.4% to that figure (for the last six months of 2024).

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Staff expenditures increase as an effect of the inflation and of the potential reclassifications, and as a result of the additional SNE (granted in 2023 but effective as from 2024 due to the recruitment process).

Mission-related expenditure increases as an effect of the inflation.

4.2.2 Title 2

Apart from the effect of the inflation (rental of buildings), the noticeable increase of expenditure in running costs in connection with operational activities is due to the ramp-up of the external service contract, in preparation in early 2023 and effective from mid-2023 onwards.

4.2.3 Title 3

The decrease of expenditure is due to frontloading of credits in 2023 (200 million EUR instead of the initially planned 150 million) approved as part of the 2023 budget adoption, compensated in 2024. The effect of this compensation was tempered by the transfer of credits from CAJU under the trilateral (Clean Hydrogen JU, Clean Aviation JU and Directorate C of RTD) agreement signed in early 2023.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-------------------|-----------------------------------|--------------|-----------------|-----------------|----------------------|-----------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | Brussels, Belgium | 1 136,36 | 17,98 | 1 154,34 | 247 607 | End date: 16.11.2025 | Long term lease | None | |
| TOTAL | | | 1 136,36 | 17,98 | 1 154,34 | 247 607 | | | | |

5.1.2 Current building(s) Other comments

Clean Hydrogen Joint Undertaking occupies this building since 13 January 2011.

The end date according to the lease contract is 16/11/2025. In 2023, the Clean Hydrogen JU is leading a negotiated procedure without publication of notice in accordance with the Financial Regulation Annex I article 11, in view of a new lease contract.

Clean Hydrogen JU is not the owner of the building.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

Article 171(3) of the Council Regulation setting up Clean Hydrogen Joint Undertaking (2021/2085 of 19/11/2021), evaluations of the joint undertaking's operations shall be carried out in a timely manner to feed into the overall

interim and final evaluations of Horizon Europe and the related decision-making process on Horizon Europe, its successor and other initiatives relevant to research and innovation, referred to in Article 52 of the Horizon Europe Regulation

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--|--|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The JU signed with the Belgian authorities the host agreement on the 24th of April 2015; therefore the Protocol on the Privileges and Immunities applies directly. | As stated in the article 39 of the Council Regulation setting up the Clean Hydrogen Joint Undertaking (2021/2085 of 19/11/2021) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Hydrogen JU and its staff | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--|------------|--|
| Regulation n° (EU) 2018/121 of 23.01.2018 amending Council Regulation No 560/2014 of 6 May 2014 establishing the Bio-Based Industries Joint Undertaking (OJ 169/130) | 06/05/2014 | This Regulation sets up the Bio-based Industries Joint Undertaking (BBI JU) under Article 187 of the Treaty on the Functioning of the European Union (TFEU) for the implementation of the Joint Technology Initiative on Bio-based Industries (BBI JTI). |
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014 | 30/11/2021 | This Regulation sets up the Circular Bio-based Europe (CBE JU) under Article 187 of the Treaty on the Functioning of the European Union (TFEU) to contribute to the general and specific objectives of the Horizon Europe Regulation and has the following general objectives Article 46(1) of the SBA): (a) accelerate the innovation process and development of bio-based innovative solutions; (b) accelerate market deployment of the existing mature and innovative bio-based solutions; (c) ensure a high level of environmental performance of bio-based industrial systems |

1.2 Seat

White atrium

TO/56, 1049

Brussels, Belgium

1.3 Budget line

01 02 02 61 : Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' — Circular Bio-based Europe Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 10 | 9 | 90,00% | 10 | 10 |
| Assistants (AST) | 3 | 3 | 100,00% | 3 | 3 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 13 | 12 | 92,31% | 13 | 13 |
| Contract Agents (CA) | 16 | 14 | 87,50% | 16 | 16 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 29 | 26 | 89,66% | 29 | 29 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 147 800 000 | 98 443 033 | 144 173 389 | 153 717 118 |
| Other Revenue | 77 783 249 | 38 111 308 | 75 113 003 | 53 584 293 |
| TOTAL REVENUES | 196 117 822 | 136 554 351 | 219 286 392 | 207 301 411 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 231 044 | 3 231 044 | 3 270 300 | 3 270 300 |
| Title 2 - Infrastructure and operating expenditure | 2 320 470 | 2 320 470 | 1 940 548 | 1 940 547 |
| Title 3 - Operational expenditure | 220 031 735 | 131 037 282 | 213 138 417 | 199 903 859 |
| TOTAL EXPENDITURE | 225 583 249 | 136 588 796 | 218 349 265 | 205 114 706 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | (1) | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | 1 | | 1 |
| AD 12 | | 2 | | 1 | | 2 | | 1 | | 1 |
| AD 11 | | | | 1 | | | | | | |
| AD 10 | | | | | | | | 2 | | 2 |
| AD 9 | | 3 | | 2 | | 5 | | 3 | | 3 |
| AD 8 | | 4 | | 5 | | 2 | | 2 | | 2 |
| AD 7 | | | | | | | | | | |
| AD 6 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 10 | | 9 | | 10 | | 10 | | 10 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | 2 | | | | 1 | | 1 | | 1 |
| AST 4 | | | | 2 | | 1 | | 1 | | 1 |
| AST 3 | | 1 | | | | | | 1 | | 1 |
| AST 2 | | | | 1 | | 1 | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 3 | | 3 | | 3 | | 3 | | 3 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 13 | | 12 | | 13 | | 13 | | 13 |
| GRAND TOTAL | | 13 | | 12 | | 13 | | 13 | | 13 |

(1) Vacant at end of 2022. Recruitment of Executive Director ongoing. Post to be filled in 2023.

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 10 | 10 | 10 | 10 |
| Function Group III | 6 | 4 | 6 | 6 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 16 | 14 | 16 | 16 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|--------------------|---------------------------|----------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 178 490 000 | 147 800 000 | 144 173 389 | 144 173 389 | -2,45% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 490 000 | 800 000 | 1 691 126 | 1 691 126 (4) | 111,39% |
| - Of which frontloading (Title 1 and Title 2) (5) | 2 219 923 | 1 952 637 | | | -100% |
| - Of which Operational (Title 3) | 178 000 000 | 147 000 000 | 142 482 263 | 142 482 263 | -3,07% |
| 2 THIRD PARTIES CONTRIBUTION | 4 408 703 | 4 271 420 | 4 166 611 | 5 103 738 (1) | 19,48% |
| - Of which EEA/EFTA (excl. Switzerland) | 4 408 703 | 4 271 420 | 4 166 611 | 5 103 738 | 19,48% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 3 052 026 | 2 775 757 | 1 740 000 | 1 740 000 | -37,31% |
| - Of which Administrative (Title 1 and Title 2) | 3 052 026 | 2 775 757 | 1 740 000 | 1 740 000 | -37,31% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 6 334 754 (2) | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 1 612 416 | 68 783 435 | 68 269 265 | 68 269 265 | -0,75% |
| - Of which administrative | 1 135 769 | 2 201 480 | 2 186 153 | 2 186 153 | -0,70% |
| - Of which operational (3) | 476 647 | 66 581 955 | 66 083 112 | 66 083 112 | -0,75% |
| 7 OTHER | | | | | |
| TOTAL | 196 117 822 | 225 583 249 | 218 349 265 | 219 286 392 | -2,79% |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| | | | | | |

(1) As the exact percentages of the EFTA contribution for 2024 were not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2023. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

(2) Mainly recoveries from H2020 projects

(3) The large operational carry overs for 2023 and 2024 are included in the revised CBE JU call planning, following the fact that there was no call for CBE JU in 2021 (EUR 70.8 million foreseen on RTD side) and that the Call 2022 was for a much smaller amount (EUR 120 million) than the amount committed by RTD in line with LFS (182 million).

(4) The EU contribution including EFTA in the revenue tables 3.1.1 and 3.1.2 are aligned with ABAC.

(5) These amounts relate to the commitment appropriations frontloaded in 2020 for the running costs of the completion of the BBI activities. They are not included in the total EU contribution they are however included in the total of the expenditure table.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 41 589 770 | 98 443 043 | 153 717 118 | 153 717 118 | 56,15% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 820 000 | 800 000 | 1 691 126 | 1 691 126 | 111,39% |
| - Of which frontloading (Title 1 and Title 2) | 2 166 413 | 1 914 854 | - | - | -100% |
| - Of which Operational (Title 3) | 38 603 357 | 95 728 189 | 152 025 992 | 152 025 992 | 58,81% |
| 2 THIRD PARTIES CONTRIBUTION | 1 319 116 | 2 780 620 | 4 409 068 | 5 441 586 | 95,70% |
| - Of which EEA/EFTA (excl. Switzerland) | 1 319 116 | 2 780 620 | 4 409 068 | 5 441 586 | 95,70% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 3 052 026 | 2 775 757 | 1 740 000 | 1 740 000 | -37,31% |
| - Of which Administrative (Title 1 and Title 2) | 3 052 026 | 2 775 757 | 1 740 000 | 1 740 000 | -37,31% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 3 307 229 | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 30 369 387 | 32 589 376 | 46 402 707 | 46 402 707 | 42,39% |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|---------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which administrative | 1 566 182 | 2 589 376 | 2 467 726 | 2 467 726 | -4,70% |
| - Of which operational | 28 803 205 | 30 000 000 | 43 934 981 | 43 934 981 | 46,45% |
| 7 OTHER | | | | | |
| TOTAL | 79 637 528 | 136 588 796 | 206 268 893 | 207 301 411 | 51,81% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| Third-party contributions (commitment appropriations) | Executed budget 2022 | Budget 2023 | Draft budget 2024 |
|--|----------------------|--------------------|--------------------|
| Financial contribution to the administrative costs of JU covered by the Participant States | 3 052 026 | 2 775 757 | 1 740 000 |
| Financial contributions to the administrative costs by the Private members | 3 052 026 | 2 775 757 | 1 740 000 |
| Financial contributions to operational costs by the Participating States | 189 845 255 | 151 248 300 | 146 600 000 |
| In-kind contributions to operational activities by the Private members (1) | 677 725 839 | 425 376 432 | 220 245 471 |
| TOTAL CONTRIBUTIONS | 873 675 146 | 582 176 246 | 370 325 471 |

(1) For BBI, breakdown of IKOP and IKAA by year, as follows:

2022: IKOP realised EUR 19 725 839, IKAA certified EUR 658 000 000

2023: IKOP foreseen EUR 9 376 432, IKAA certified EUR 416 000 000

2024: IKOP foreseen EUR 4 354 450, IKAA certified EUR 211 520 021

For CBE - IKOP of EUR 4 371 000 foreseen for 2024. No IKAA foreseen until at least 2025.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | |
|-------------|---------------------------|-------------|-------------------|-----|
| | Executed Budget | Budget 2023 | Draft Budget 2024 | VAR |

| | 2022 | | Joint undertaking request | Budget forecast | 2024/2023 (%) |
|---|--------------------|--------------------|---------------------------|--------------------|----------------|
| Title 1 - Staff expenditure | 2 863 114 | 3 231 044 | 3 270 300 | 3 270 300 | 1,21% |
| Salaries & allowances | 2 560 681 | 2 791 144 | 2 860 400 | 2 860 400 | 2,48% |
| - Of which establishment plan posts | 2 559 398 | 2 759 144 | 2 828 400 | 2 828 400 | 2,51% |
| - Of which external personnel | 1 283 | 32 000 | 32 000 | 32 000 | 0,00% |
| Expenditure relating to Staff recruitment | 18 500 | 76 000 | 75 000 | 75 000 | -1,32% |
| Mission expenses | 35 000 | 60 000 | 60 000 | 60 000 | 0,00% |
| Socio-medical infrastructure | 150 233 | 198 900 | 194 900 | 194 900 | -2,01% |
| Training | 88 700 | 95 000 | 70 000 | 70 000 | -26,32% |
| External Services | | | | | |
| Receptions, events and representation | 10 000 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 649 749 | 2 320 470 | 1 940 548 | 1 940 548 | -16,37% |
| Rental of buildings and associated costs | 301 915 | 408 628 | 365 001 | 365 001 | -10,68% |
| Information, communication technology and data processing | 353 940 | 407 719 | 428 000 | 428 000 | 4,97% |
| Movable property and associated costs | | 4 000 | 5 000 | 5 000 | 25,00% |
| Current administrative expenditure | 44 057 | 33 000 | 35 000 | 35 000 | 6,06% |
| Postage / Telecommunications | 8 733 | 24 000 | 26 000 | 26 000 | 8,33% |
| Meeting expenses | 11 400 | 78 400 | 49 800 | 49 800 | -36,48% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 281 859 | 664 000 | 423 500 | 423 500 | -36,22% |
| Studies | 320 203 | 450 723 | 408 247 | 408 247 | -9,42% |
| Other infrastructure and operating expenditure | 327 642 | 250 000 | 200 000 | 200 000 | -20,00% |
| Title 3 - Operational expenditure | 120 470 825 | 220 031 735 | 213 138 417 | 213 138 417 | -3,13% |
| Previous years' calls | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Current year's call | 120 470 825 | 151 248 300 | 146 600 000 | 146 600 000 | -3,07% |
| Unused appropriations from previous years | | 68 783 435 | 66 538 417 | 66 538 417 | -3,26% |
| - Of which Administrative | | 2 201 480 | 455 305 | 455 305 | -79,32% |
| - Of which Operationnal | | 66 581 955 | 66 083 112 | 66 083 112 | -0,75% |
| TOTAL | 124 983 688 | 225 583 249 | 218 349 265 | 218 349 265 | -3,21% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 761 346 | 3 231 044 | 3 270 300 | 3 270 300 | 1,21% |
| Salaries & allowances | 2 538 931 | 2 791 144 | 2 860 400 | 2 860 400 | 2,48% |
| - Of which establishment plan posts | 2 537 648 | 2 759 144 | 2 828 400 | 2 828 400 | 2,51% |
| - Of which external personnel | 1 283 | 32 000 | 32 000 | 32 000 | 0,00% |
| Expenditure relating to Staff recruitment | 9 514 | 76 000 | 75 000 | 75 000 | -1,32% |
| Mission expenses | 17 606 | 60 000 | 60 000 | 60 000 | 0,00% |
| Socio-medical infrastructure | 130 770 | 198 900 | 194 900 | 194 900 | -2,01% |
| Training | 54 546 | 95 000 | 70 000 | 70 000 | -26,32% |
| External Services | | | | | |
| Receptions, events and representation | 9 979 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 860 337 | 2 320 470 | 1 940 547 | 1 940 547 | -16,37% |
| Rental of buildings and associated costs | 301 241 | 408 628 | 365 000 | 365 000 | -10,68% |
| Information, communication technology and data processing | 427 606 | 407 719 | 428 000 | 428 000 | 4,97% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Movable property and associated costs | 5 440 | 4 000 | 5 000 | 5 000 | 25,00% |
| Current administrative expenditure | 39 950 | 33 000 | 35 000 | 35 000 | 6,06% |
| Postage / Telecommunications | 12 460 | 24 000 | 26 000 | 26 000 | 8,33% |
| Meeting expenses | 7 614 | 78 400 | 49 800 | 49 800 | -36,48% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 421 027 | 664 000 | 423 500 | 423 500 | -36,22% |
| Studies | 343 126 | 450 723 | 408 247 | 408 247 | -9,42% |
| Other infrastructure and operating expenditure | 301 873 | 250 000 | 200 000 | 200 000 | -20,00% |
| Title 3 - Operational expenditure | 47 430 727 | 131 037 282 | 199 903 859 | 199 903 859 | 52,55% |
| Previous years' calls | 46 959 902 | 96 780 123 | 153 564 217 | 153 564 217 | 58,67% |
| Current year's call | 470 825 | 1 667 783 | 1 667 783 | 1 667 783 | 0,00% |
| Unused appropriations from previous years | | 32 589 376 | 44 671 859 | 44 671 859 | 37,07% |
| - Of which Administrative | | 2 589 376 | 736 878 | 736 878 | -71,54% |
| - Of which Operational | | 30 000 000 | 43 934 981 | 43 934 981 | 46,45% |
| TOTAL | 52 052 410 | 136 588 796 | 205 114 706 | 205 114 706 | 50,17% |

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the Joint Undertaking:

-Administrative: CA EUR 2 803 088, PA EUR 3 124 681

-Operational: CA EUR 139 429 773, PA EUR .25 156 689

4 Justification of needs

Commission assessment

Human Resources

Agreement reached on the staff numbers which are in line with the LFS.

Financial Resources

The agreed amount of the commitment appropriation is based on the financial programming minus correction related to the EFTA coefficient. There is EUR 0,4 million of the support line from 2025 appropriations.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

13 TAs and 16 CAs have been requested and approved.

4.1.2 Vacancy rate as of end 2022

4.1.2 Vacancy rate as of end 2022

At the end of 2022 12 TAs and 14 CAs were in place out of a total establishment plan of 13 TAs and 16 CAs leading to overall vacancy rate at the end of the year of 10%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

For the HR expenditure for the single year 2024 (BBI and CBE combined):

For both TAs and CAs the computation was made taking into account the respective grade/step of each staff member, as well as potential reclassification. For the 13 TAs this gives a total of EUR 1,612,600 (which corresponds to an average cost per TA of EUR 124 046 per year). For the 16 Cas the total is EUR 1,151,800 (corresponding to an average cost per CA of EUR 71 988 per year)

The salary budget line also includes an amount of EUR 32 000 for interns (4 at an average cost of EUR 8 000).

Related to the CBE total salaries, there are also the following costs:

- EUR 40 000 for the annual transportation of staff from home to the office and back
- EUR 24 000 for the annual administrative fee to PMO.

On the staff recruitment budget line there is also an amount of EUR 60 000 for installation, resettlement and daily subsistence allowances and removal and travel expenses

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The main cost in Title 1 is staff in active employment. The budget for the single year 2024 assumes the full level of BBI staff as well as the extra staff for CBE (recruited in 2022 and 2023) and a certain number of promotions. The budget includes fresh (C1) budget as well as reactivated unused budget from BBI. The execution of BBI administrative budget is being prioritised until end 2024.

4.2.2 Title 2

The main costs under Title 2 (including both fresh appropriations for CBE/BBI and reactivated unused budget from BBI) are:

- building rental and associated charges (2024 estimated costs based on invoices received in early 2023 + indexation):
- communication costs (includes promotional events, Stakeholder Forum event, corporate website costs, PR/brochures, social media campaigns, newsletter tool etc.):
- IT costs (includes ABAC Assets, Contracts and Workflow annual fees, hardware and software inventory purchases, maintenance contracts, troubleshooting, upgrades, the use of SYSPER and ARES tools):
- expert reviewers for reviews of ongoing projects:
- service contracts include studies, external (interim) staff and annual audit fees:

As the total BBI reactivated administrative appropriations have been allocated to Title 1, Title 2 is deemed to be composed entirely of CBE JU budget (total available EUR 3 480 000).

4.2.3 Title 3

Operational expenditure. For BBI JU there were no more calls for proposals following Call 2020. The requested payment appropriations will be used to pay the interim or final payments for the prior year ongoing calls. For CBE the first call was launched in mid-2022. This means that the first payment appropriations will be requested in 2023 - for the prefinancing of the first call. The proposed planning of the CBE calls, taking into account the carry forward of unused operational commitment appropriations from 2021 and 2022 to future years, is as follows:

| LFS CBE | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Totals |
|---------------------------|------|-------|-------|-------|--------|-------|-------|--------|
| | 70.8 | 178.0 | 147.0 | 147.0 | 146.0 | 146.0 | 141.7 | 976.5 |
| reactivations 2021 BBI | | | -0.3 | | | | | -0.3 |
| | | | 146.7 | | | | | |
| EFTA | | 4.4 | 3.6 | | -4.0 | - 4.0 | | |
| | | | | | - 22.4 | | | -22.4 |
| TOTAL | | 182.4 | 150.3 | 147.0 | 119.6 | 142.0 | 141.7 | 883.0 |
| year | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | |
| main CAs | | 50.0 | 150.3 | 147.0 | 119.6 | 142.0 | 141.7 | 750.6 |
| reactivations 2021 | | 70.8 | | | | | | 70.8 |
| reactivations 2021 BBI | | | 0.3 | 22.4 | | | | 22.7 |
| reactivations 2022 | | | 66.3 | 43.7 | 22.4 | | | 132.4 |
| reactivations 2023 | | | | | | | | |

| | | | | | | | | |
|--------------------|--|-------|-------|-------|-------|-------|-------|-------|
| reactivations 2024 | | | | | | | | |
| reactivations 2025 | | | | | | | | |
| reactivations 2026 | | | | | | | | |
| Experts | | | -1.7 | -1.7 | | | | -3.4 |
| Call amount | | 120.8 | 215.2 | 211.4 | 142.0 | 142.0 | 141.7 | 973.1 |
| Experts | | | 1.7 | 1.7 | | | | 3.4 |
| | | | | | | | | 976.5 |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--|----------------------------------|------------|----------------|-----------------|-----------|---------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium TO 56 | Avenue de la Toison d'Or (56-60) B-1060 Brussels Belgium | 892,5 | 250 | 1 142,5 | 339 500 | 2015-2025 | "Uso fructo" rental | Belgium | |
| TOTAL | | | 892,5 | 250 | 1 142,5 | 339 500 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

N/A

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| VAT Exemption | BE | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---|------|--|
| <p>REGULATION (EU) 2021/887 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 20 May 2021 establishing the European Cybersecurity Industrial, Technology and Research Competence Centre and the Network of National Coordination Centres</p> | | <p>According to articles 3, 4 and 5 of the Regulation the Competence Centre and the Network have the following mission, objectives and tasks:</p> <p>Mission of the Competence Centre and the Network (Article 3)</p> <p>1. The mission of the Competence Centre and the Network is to help the Union to: (a) strengthen its leadership and strategic autonomy in the area of cybersecurity by retaining and developing the Union’s research, academic, societal, technological and industrial cybersecurity capacities in the Digital Single Market; (b) support Union technological capacities, capabilities and skills in relation to the resilience and reliability of the infrastructure of network and information systems; and (c) increase the global competitiveness of the Union's cybersecurity industry, ensure high cybersecurity standards throughout the Union and turn cybersecurity into a competitive advantage for other Union industries.</p> <p>2. The Competence Centre and the Network shall undertake their tasks in collaboration with ENISA and the Community, as appropriate.</p> <p>3. The Competence Centre shall, in accordance with the legislative acts establishing the relevant programmes, in particular Horizon Europe and the Digital Europe Programme, use relevant Union financial resources in such a way as to contribute to the mission set out in paragraph 1.</p> <p>Objectives of the Competence Centre (Article 4)</p> <p>1. The Competence Centre shall have the overall objective of promoting research, innovation and deployment in the area of cybersecurity in order to fulfil the mission as set out in Article 3.</p> <p>2. The Competence Centre shall have the following specific objectives: (a) enhancing cybersecurity capacities, capabilities, knowledge and infrastructure for the benefit of industry, in particular SMEs, research communities, the public sector and civil society, as appropriate; (b) promoting cybersecurity resilience, the uptake of cybersecurity best practices, the principle of security by design, and the certification of the security of digital products and services, in a manner that complements the efforts of other public entities; (c) contributing to a strong European cybersecurity ecosystem which brings together all relevant stakeholders.</p> <p>3. The Competence Centre shall implement the specific objectives referred to in paragraph 2 by: (a) establishing strategic recommendations for research, innovation and deployment in cybersecurity in accordance with Union law and setting out strategic priorities for the Competence Centre’s activities; (b) implementing actions under relevant Union funding programmes in accordance with the relevant work programmes and the Union legislative acts establishing those funding programmes; (c) fostering cooperation and coordination among the national coordination centres and with and within the Community; and (d) where relevant and appropriate, acquiring and operating ICT infrastructure and services where necessary to fulfil the tasks set out in Article 5 and in accordance with the respective work programmes set out in point (b) of Article 5(3).</p> <p>Tasks of the Competence Centre (Article 5)</p> <p>1. In order to fulfil its mission and objectives, the Competence Centre shall have the following tasks: (a) strategic tasks; and (b) implementation tasks.</p> <p>2. The strategic tasks referred to in point (a) of paragraph 1 shall consist of: (a) developing and monitoring the implementation of the Agenda; (b) through the Agenda and the multiannual work programme, while avoiding any duplication of activities with ENISA and taking into account the need to create synergies between cybersecurity and other parts of Horizon Europe and the Digital Europe Programme: (i) establishing priorities for the work of the Competence Centre.</p> <p>3. The implementation tasks referred to in point (b) of paragraph 1 shall consist of: (a) coordinating and administrating the work of the Network</p> |

| | | |
|--|--|---|
| | | <p>and the Community in order to fulfil the mission set out in Article 3, in particular by supporting cybersecurity start-ups, SMEs, microenterprises, associations and civic technology projects in the Union and facilitating their access to expertise, funding, investment and markets; (b) establishing and implementing the annual work programme, in accordance with the Agenda and the multiannual work programme, for the cybersecurity parts (c) supporting, where appropriate, the achievement of Specific Objective 4 – ‘Advanced Digital Skills’ as set out in Article 7 of Regulation (EU) 2021/694, in cooperation with European Digital Innovation Hubs; (d) providing expert advice on cybersecurity industry, technology and research to the Commission when the Commission prepares draft work programmes pursuant to Article 13 of Decision (EU) 2021/764; (e) carrying out or enabling the deployment of ICT infrastructure and facilitating the acquisition of such infrastructure, for the benefit of society, industry and the public sector, at the request of Member States, research communities and operators of essential services, by means of, inter alia, contributions from Member States and Union funding for joint actions, in accordance with the Agenda, the annual work programme and the multiannual work programme; (f) raising awareness of the mission of the Competence Centre and the Network and of the objectives and tasks of the Competence Centre; (g) without prejudice to the civilian nature of projects to be financed from Horizon Europe, and in accordance with Regulations (EU) 2021/695 and (EU) 2021/694, enhancing synergies and coordination between the cybersecurity civilian and defence spheres, by facilitating the exchange of: (i) knowledge and information with regard to dual-use technologies and applications; (ii) results, requirements and best practices; and (iii) information with regard to the priorities of relevant Union programmes.</p> <p>4. The Competence Centre shall carry out the tasks set out in paragraph 1 in close cooperation with the Network.</p> <p>5. In accordance with Article 6 of Regulation (EU) 2021/695 and subject to a contribution agreement as defined in point (18) of Article 2 of the Financial Regulation, the Competence Centre may be entrusted with the implementation of the cybersecurity parts under Horizon Europe that are not co-funded by the Member States, in particular with regard to Section 3.1.3 of Pillar II of Annex I to Decision (EU) 2021/764.</p> |
|--|--|---|

1.2 Seat

Bucharest, Romania

1.3 Budget line

01 02 02 31 : Cluster ‘Civil Security for Society’ — European Cybersecurity Industrial, Technology and Research Competence Centre

02 04 01 11 : European Cybersecurity Industrial, Technology and Research Competence Centre

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 10 | | 0,00% | 10 | 10 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 10 | | 0,00% | 10 | 10 |

| Human Resources | 2022 | | | 2023 | 2024 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Contract Agents (CA) | 26 | | 0,00% | 27 | 27 |
| Seconded National Experts (SNE) | 1 | | 0,00% | 1 | 1 |
| TOTAL STAFF | 37 | | 0,00% | 38 | 38 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 179 058 443 | 220 374 625 | 211 267 742 | 188 759 099 |
| Other Revenue | 5 246 412 | 6 456 977 | 6 190 145 | 5 530 642 |
| TOTAL REVENUES | 184 304 855 | 226 831 602 | 217 457 887 | 194 289 741 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 1 778 000 | 1 778 000 | 1 825 150 | 1 825 150 |
| Title 2 - Infrastructure and operating expenditure | 1 141 239 | 1 141 239 | 1 152 474 | 1 152 474 |
| Title 3 - Operational expenditure | 181 385 616 | 223 912 363 | 214 480 263 | 191 312 116 |
| TOTAL EXPENDITURE | 184 304 855 | 226 831 602 | 217 457 887 | 194 289 740 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 12 | | 2 | | | | 2 | | 2 | | 2 |
| AD 11 | | 2 | | | | 2 | | 2 | | 2 |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | | | | | |
| AD 8 | | 3 | | | | 3 | | 3 | | 3 |
| AD 7 | | 2 | | | | 2 | | 2 | | 2 |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 10 | | | | 10 | | 10 | | 10 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 10 | | | | 10 | | 10 | | 10 |
| GRAND TOTAL | 10 | | | | 10 | | 10 | | 10 | |

(1) For the recruitments of AD TA2f, Art 53 CEOS applies. Article 53 CEOS states that the total number of engagements of TA2f at grades AD 9 to AD 12 in an agency cannot exceed 20 % of the total number of engagements of temporary staff to the function group AD, calculated over a five-year rolling period.

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 20 | | 21 | 21 |
| Function Group III | 2 | | 2 | 2 |
| Function Group II | 4 | | 4 | 4 |
| Function Group I | | | | |
| TOTAL | 26 | | 27 | 27 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | | 1 | 1 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 211 134 003 | 179 058 443 | 211 267 742 | 211 267 742 | 17,99% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 195 147 | 2 836 140 | 2 892 863 | 2 892 863 | 2,00% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 210 938 857 | 176 222 303 | 208 374 879 | 208 374 879 | 18,25% |
| 2 THIRD PARTIES CONTRIBUTION | 5 487 714 | 5 246 412 | 6 190 145 | 6 190 145 | 17,99% |
| - Of which EEA/EFTA (excl. Switzerland) | 5 487 714 | 5 246 412 | 6 190 145 | 6 190 145 | 17,99% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 216 621 717 | 184 304 855 | 217 457 887 | 217 457 887 | 17,99% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 7 803 727 | 220 374 625 | 188 759 099 | 188 759 099 | -14,35% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 108 752 | 2 836 140 | 2 892 863 | 2 892 863 | 2,00% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 7 694 975 | 217 538 485 | 185 866 236 | 185 866 236 | -14,56% |
| 2 THIRD PARTIES CONTRIBUTION | | 6 456 977 | 5 530 642 | 5 530 642 | -14,35% |
| - Of which EEA/EFTA (excl. Switzerland) | | 6 456 977 | 5 530 642 | 5 530 642 | -14,35% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|---------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| TOTAL | 7 803 727 | 226 831 602 | 194 289 741 | 194 289 741 | -14,35% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 108 891 | 1 778 000 | 1 825 150 | 1 825 150 | 2,65% |
| Salaries & allowances | 52 778 | 1 280 000 | 1 318 150 | 1 318 150 | 2,98% |
| - <i>Of which establishment plan posts</i> | | 840 000 | 869 150 | 869 150 | 3,47% |
| - <i>Of which external personnel</i> | 52 778 | 440 000 | 449 000 | 449 000 | 2,05% |
| Expenditure relating to Staff recruitment | | 62 000 | 63 000 | 63 000 | 1,61% |
| Mission expenses | 12 000 | 208 000 | 212 000 | 212 000 | 1,92% |
| Socio-medical infrastructure | | 42 000 | 43 000 | 43 000 | 2,38% |
| Training | | 62 000 | 63 000 | 63 000 | 1,61% |
| External Services | 21 900 | 62 000 | 63 000 | 63 000 | 1,61% |
| Receptions, events and representation | | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | 10 000 | 10 000 | 10 000 | 0,00% |
| Other Staff related expenditure | 22 213 | 42 000 | 43 000 | 43 000 | 2,38% |
| Title 2 - Infrastructure and operating expenditure | 72 455 | 1 141 239 | 1 152 474 | 1 152 474 | 0,98% |
| Rental of buildings and associated costs | | 156 000 | 159 000 | 159 000 | 1,92% |
| Information, communication technology and data processing | | 62 000 | 63 000 | 63 000 | 1,61% |
| Movable property and associated costs | | 42 000 | 43 000 | 43 000 | 2,38% |
| Current administrative expenditure | 13 177 | 219 327 | 224 474 | 224 474 | 2,35% |
| Postage / Telecommunications | | 42 000 | 43 000 | 43 000 | 2,38% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Meeting expenses | 21 500 | 42 000 | 43 000 | 43 000 | 2,38% |
| Running costs in connection with operational activities | | 42 000 | 43 000 | 43 000 | 2,38% |
| Information and publishing | 4 950 | 100 000 | 102 000 | 102 000 | 2,00% |
| Studies | | 124 000 | 126 000 | 126 000 | 1,61% |
| Other infrastructure and operating expenditure | 32 828 | 311 912 | 306 000 | 306 000 | -1,90% |
| Title 3 - Operational expenditure | 215 224 308 | 181 385 616 | 214 480 263 | 214 480 263 | 18,25% |
| TOTAL | 215 405 654 | 184 304 855 | 217 457 887 | 217 457 887 | 17,99% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 86 474 | 1 778 000 | 1 825 150 | 1 825 150 | 2,65% |
| Salaries & allowances | 52 778 | 1 280 000 | 1 318 150 | 1 318 150 | 2,98% |
| - Of which establishment plan posts | | 840 000 | 869 150 | 869 150 | 3,47% |
| - Of which external personnel | 52 778 | 440 000 | 449 000 | 449 000 | 2,05% |
| Expenditure relating to Staff recruitment | | 62 000 | 63 000 | 63 000 | 1,61% |
| Mission expenses | 11 483 | 208 000 | 212 000 | 212 000 | 1,92% |
| Socio-medical infrastructure | | 42 000 | 43 000 | 43 000 | 2,38% |
| Training | | 62 000 | 63 000 | 63 000 | 1,61% |
| External Services | | 62 000 | 63 000 | 63 000 | 1,61% |
| Receptions, events and representation | | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | 10 000 | 10 000 | 10 000 | 0,00% |
| Other Staff related expenditure | 22 213 | 42 000 | 43 000 | 43 000 | 2,38% |
| Title 2 - Infrastructure and operating expenditure | 56 484 | 1 141 239 | 1 152 474 | 1 152 474 | 0,98% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Rental of buildings and associated costs | | 156 000 | 159 000 | 159 000 | 1,92% |
| Information, communication technology and data processing | | 62 000 | 63 000 | 63 000 | 1,61% |
| Movable property and associated costs | | 42 000 | 43 000 | 43 000 | 2,38% |
| Current administrative expenditure | | 219 327 | 224 474 | 224 474 | 2,35% |
| Postage / Telecommunications | | 42 000 | 43 000 | 43 000 | 2,38% |
| Meeting expenses | 18 706 | 42 000 | 43 000 | 43 000 | 2,38% |
| Running costs in connection with operational activities | | 42 000 | 43 000 | 43 000 | 2,38% |
| Information and publishing | 4 950 | 100 000 | 102 000 | 102 000 | 2,00% |
| Studies | | 124 000 | 126 000 | 126 000 | 1,61% |
| Other infrastructure and operating expenditure | 32 828 | 311 912 | 306 000 | 306 000 | -1,90% |
| Title 3 - Operational expenditure | 8 092 303 | 223 912 363 | 191 312 116 | 191 312 116 | -14,56% |
| TOTAL | 8 235 261 | 226 831 602 | 194 289 740 | 194 289 740 | -14,35% |

3.4 Budget Outturn

The 2022 surplus that remains within the joint undertaking: EUR 0.

4 Justification of needs

Commission assessment

Human Resources

The human resources requested for 2024 are in line with the authorised number of staff in 2023 and with the LFS attached to the Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the European Cybersecurity Industrial, Technology and Research Competence Centre and the Network of National Coordination Centres. COM/2018/630 final.

Financial Resources

The financial resources requested for 2024 reflect the reduction linked to the Chips Act Proposal and are in line with the Commission's proposal to anticipate 100.000.000 EUR, frontloaded from the ECCC budget for 2025-2027.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2022

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|---|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

2.2 Fusion for Energy (F4E)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---|------------|---|
| Council Decision (EURATOM) n° 198/2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90/58) | 27/03/2007 | The European Joint Undertaking for ITER and the Development of Fusion Energy (F4E) is established in Barcelona, Spain, for a period of 35 years starting on 19 April 2007 with the main tasks of provision: (a) EURATOM contribution to ITER International Fusion Energy Organization and to the Broader Approach activities with Japan for the rapid realisation of fusion energy; (b) programme activities for DEMO construction (demonstration fusion reactor) incl. International Fusion Materials Irradiation Facility (IFMIF); (c) components, equipment, materials and other resources (incl. financial and human resources) to ITER Organisation and to Broader Approach; F4E is supervising the preparation of ITER project site in Cadarache (France) and the coordination of scientific and technological research and development activities in support of EURATOM contribution to ITER Organisation and to the Broader approach with Japan |
| Council Decision (EURATOM) n° 791/2013 amending Decision n° 2007/198 (OJ L 349/100) | 13/12/2013 | This Council decision sets the EURATOM contribution for ITER activities carried out by the Joint Undertaking for the period 2014-2020. |
| Council Decision (EURATOM) n° 2015/224 amending Decision n° 2007/198 (OJ L 37/8) | 10/02/2015 | The F4E legal base was amended in order to improve the management and the governance of the Joint Undertaking and to take into account the amendments to the EURATOM Treaty introduced by the Lisbon Treaty. The reference to the provisions on the Court of Justice was updated. Administration and Management Committee, Procurement and Contracts Committee and Bureau to assist the Governing Board were created. |
| Council Decision (EURATOM) n° 2021/281 amending Decision n° 2007/198 (OJ L 62/41) | 22/02/2021 | This Council decision sets the EURATOM contribution for ITER activities carried out by the Joint Undertaking for the period 2021-2027 at EUR 5.614.000.000 (in current prices). |

1.2 Seat

Barcelona, Spain

1.3 Budget line

01 04 01 : Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — and the Development of Fusion Energy

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2022 | 2023 | 2024 |
|-----------------|------|------|------|
|-----------------|------|------|------|

| | Authorised Budget | Actually filled as of 31/12/2022 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| Administrators (AD) | 238 | 225 | 94,54% | 256 | 256 |
| Assistants (AST) | 42 | 44 | 104,76% | 49 | 49 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 280 | 269 | 96,07% | 305 | 305 |
| Contract Agents (CA) | 170 | 164 | 96,47% | 155 | 155 |
| Seconded National Experts (SNE) | 7 | 3 | 42,86% | 7 | 7 |
| TOTAL STAFF | 457 | 436 | 95,40% | 467 | 467 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2023 | | 2024 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 832 855 385 | 669 883 574 | 548 960 169 | 607 059 500 |
| Other Revenue | 197 646 651 | 161 172 768 | 249 560 743 | 161 680 000 |
| TOTAL REVENUES | 1 030 502 036 | 831 056 342 | 798 520 912 | 768 739 500 |

1.5.2 Expenditure Overview

| Expenditure | 2023 | | 2024 | |
|--|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 62 658 400 | 62 658 400 | 70 551 000 | 70 551 000 |
| Title 2 - Infrastructure and operating expenditure | 10 715 890 | 10 715 890 | 11 388 500 | 11 388 500 |
| Title 3 - Operational expenditure | 766 781 095 | 603 809 284 | 590 356 500 | 533 320 000 |
| Title 4 - Earmarked Expenditure | 190 346 651 | 153 872 768 | 126 224 912 | 153 480 000 |
| TOTAL EXPENDITURE | 1 030 502 036 | 831 056 342 | 798 520 912 | 768 739 500 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | | | |
| AD 14 | 3 | 1 | 2 | | 4 | 3 | 4 | 4 | 4 | 4 |
| AD 13 | 7 | 5 | 6 | 4 | 7 | 9 | 6 | 7 | 6 | 7 |
| AD 12 | 10 | 23 | 11 | 20 | 11 | 24 | 9 | 26 | 9 | 26 |
| AD 11 | 3 | 22 | 2 | 16 | 3 | 24 | 1 | 28 | 1 | 28 |
| AD 10 | 5 | 37 | 5 | 45 | 3 | 49 | 8 | 53 | 8 | 53 |

| Function group and grade | 2022 | | | | 2023 | | 2024 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2022 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | 6 | 54 | 4 | 51 | 4 | 50 | 1 | 42 | 1 | 42 |
| AD 8 | 1 | 29 | 1 | 22 | | 24 | | 29 | | 29 |
| AD 7 | 2 | 20 | | 20 | 1 | 20 | 2 | 16 | 2 | 16 |
| AD 6 | | 9 | 1 | 15 | | 19 | | 20 | | 20 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | 37 | 201 | 32 | 193 | 33 | 223 | 31 | 225 | 31 | 225 |
| AST 11 | 1 | | 1 | | 2 | | 2 | | 2 | |
| AST 10 | 3 | | 1 | | 2 | | 1 | | 1 | |
| AST 9 | 1 | 1 | 2 | | 2 | 1 | 3 | 1 | 3 | 1 |
| AST 8 | | 2 | 2 | 1 | 1 | 3 | 1 | 1 | 1 | 1 |
| AST 7 | 1 | 6 | 1 | 1 | 1 | 7 | 1 | 10 | 1 | 10 |
| AST 6 | 1 | 8 | 2 | 12 | | 9 | 2 | 8 | 2 | 8 |
| AST 5 | 2 | 12 | 2 | 7 | 3 | 11 | 2 | 8 | 2 | 8 |
| AST 4 | 1 | 2 | 2 | 6 | 1 | | | 3 | | 3 |
| AST 3 | 1 | | | 4 | | 6 | | 6 | | 6 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 11 | 31 | 13 | 31 | 12 | 37 | 12 | 37 | 12 | 37 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 48 | 232 | 45 | 224 | 45 | 260 | 43 | 262 | 43 | 262 |
| GRAND TOTAL | 280 | | 269 | | 305 | | 305 | | 305 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 97 | 98 | 88 | 88 |
| Function Group III | 50 | 53 | 49 | 49 |
| Function Group II | 23 | 13 | 18 | 18 |
| Function Group I | | | | |
| TOTAL | 170 | 164 | 155 | 155 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2022 | Recruited as of 31/12/2022 | 2023 estimate | Draft Budget 2024 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 7 | 3 | 7 | 7 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|--|------------------------------------|----------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 703 990 456 | 832 855 385 | 548 960 169 | 548 960 169 | -34,09% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 65 043 221 | 65 347 477 | 72 781 757 | 72 781 757 | 11,38% |
| - Of which Operational (Title 3) | 637 938 664 | 766 781 095 | 475 220 669 | 475 220 669 | -38,02% |
| - Of which recovery from the previous years Administrative | 1 008 571 | 726 813 | 957 743 | 957 743 | 31,77% |
| - Of which recovery from the previous years Operational | | | | | |
| 2 THIRD PARTIES CONTRIBUTION | 168 676 812 | 185 792 669 | 121 311 912 | 121 311 912 | -34,71% |
| - Of which third countries | | | | | 100% |
| - Of which ITER Host State contribution | 161 976 812 | 178 492 669 | 113 111 912 | 113 111 912 | -36,63% |
| - Of which Membership contribution | 6 700 000 | 7 300 000 | 8 200 000 | 8 200 000 | 12,33% |
| 3 MISCELLANEOUS REVENUE | 385 749 | | | | |
| 5 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 93 445 229 | 11 853 982 | 13 113 000 | 13 113 000 | 10,62% |
| 6 CORRECTION OF BUDGETARY IMBALANCES | 14 685 059 | | | | |
| 7 INTERESTS GENERATED | | | | | |
| 8 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | 115 135 831 | 115 135 831 | 100% |
| - Of which Administrative | | | | | |
| - Of which Operational | | | 115 135 831 | 115 135 831 | 100% |
| TOTAL | 981 183 305 | 1 030 502 036 | 798 520 912 | 798 520 912 | -22,51% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 555 124 958 | 669 883 574 | 607 059 500 | 607 059 500 | -9,38% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 65 043 221 | 65 347 477 | 72 781 757 | 72 781 757 | 11,38% |
| - Of which Operational (Title 3) | 488 937 915 | 598 161 525 | 533 091 497 | 533 091 497 | -10,88% |
| - Of which recovery from the previous years Administrative | 1 008 571 | 726 813 | 957 743 | 957 743 | 31,77% |
| - Of which recovery from the previous years Operational | 135 251 | 5 647 759 | 228 503 | 228 503 | -95,95% |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|--|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 2 THIRD PARTIES CONTRIBUTION | 156 884 900 | 150 700 000 | 149 700 000 | 149 700 000 | -0,66% |
| - Of which third countries | | | | | 100% |
| - Of which ITER Host State contribution | 150 184 900 | 143 400 000 | 141 500 000 | 141 500 000 | -1,32% |
| - Of which Membership contribution | 6 700 000 | 7 300 000 | 8 200 000 | 8 200 000 | 12,33% |
| 3 MISCELLANEOUS REVENUE | 1 122 886 | | | | |
| 5 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 83 289 384 | 10 472 768 | 11 980 000 | 11 980 000 | 14,39% |
| 6 CORRECTION OF BUDGETARY IMBALANCES | 47 601 227 | | | | |
| 7 INTERESTS GENERATED | | | | | |
| 8 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which Administrative | | | | | |
| - Of which Operational | | | | | |
| TOTAL | 844 023 355 | 831 056 342 | 768 739 500 | 768 739 500 | -7,50% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

The F4E budget is composed of contributions from EURATOM, France as ITER Host State, and the F4E Members (EURATOM members). F4E receives additional contributions from ITER Organisation (IO) for the implementation of the changes in the ITER procurement at IO request (mainly from IO's Reserve Fund). The source of F4E revenue is almost constant from one year to the other. For 2022, the revenues in payment appropriations to F4E budget were the EURATOM (71.3%) and ITER Host State (17.7%), F4E members (0.8%), ITER Organization (10 %) and miscellaneous revenue (0.2%). There is no in-kind contribution to the F4E Budget, except the premises hosting the Joint Undertaking provided by Spain free of charge. For 2022, this service in-kind amounts to EUR 2.9 million.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 67 868 978 | 62 658 400 | 70 551 000 | 70 551 000 | 12,60% |
| Salaries & allowances | 61 445 540 | 55 232 000 | 62 380 000 | 62 380 000 | 12,94% |
| - Of which establishment plan posts | 47 030 726 | 43 500 000 | 49 470 000 | 49 470 000 | 13,72% |
| - Of which external personnel | 14 414 814 | 11 732 000 | 12 910 000 | 12 910 000 | 10,04% |
| Expenditure relating to Staff recruitment | 712 232 | 836 500 | 964 000 | 964 000 | 15,24% |
| Mission expenses | 381 000 | 647 000 | 650 000 | 650 000 | 0,46% |
| Socio-medical infrastructure | 502 000 | 531 900 | 592 000 | 592 000 | 11,30% |
| Training | 668 069 | 850 000 | 807 000 | 807 000 | -5,06% |
| External Services | 960 000 | 580 000 | 800 000 | 800 000 | 37,93% |
| Receptions, events and representation | 5 274 | 10 000 | 5 000 | 5 000 | -50,00% |
| Social welfare | 62 200 | 50 000 | 60 000 | 60 000 | 20,00% |
| Other Staff related expenditure | 3 132 663 | 3 921 000 | 4 293 000 | 4 293 000 | 9,49% |
| Title 2 - Infrastructure and operating expenditure | 8 795 121 | 10 715 890 | 11 388 500 | 11 388 500 | 6,28% |
| Rental of buildings and associated costs | 1 616 057 | 1 632 000 | 2 056 000 | 2 056 000 | 25,98% |

| EXPENDITURE | Commitment appropriations | | | | |
|---|---------------------------|----------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Information, communication technology and data processing | 3 996 977 | 4 981 750 | 5 235 000 | 5 235 000 | 5,08% |
| Movable property and associated costs | 154 852 | 387 840 | 460 000 | 460 000 | 18,61% |
| Current administrative expenditure | 1 614 118 | 2 138 100 | 2 113 500 | 2 113 500 | -1,15% |
| Postage / Telecommunications | 525 500 | 738 500 | 639 000 | 639 000 | -13,47% |
| Meeting expenses | 423 550 | 533 000 | 576 000 | 576 000 | 8,07% |
| Running costs in connection with operational activities | 30 474 | | | | |
| Information and publishing | 20 000 | 38 700 | 40 000 | 40 000 | 3,36% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 413 593 | 266 000 | 269 000 | 269 000 | 1,13% |
| Title 3 - Operational expenditure | 487 706 758 | 766 781 095 | 590 356 500 | 590 356 500 | -23,01% |
| ITER construction including site preparation | 429 211 077 | 671 627 305 | 482 023 558 | 482 023 558 | -28,23% |
| Technology for ITER and DEMO | 3 497 257 | 6 136 511 | 13 532 942 | 13 532 942 | 120,53% |
| Technology for Broader approach | 16 842 431 | 58 101 587 | 64 800 000 | 64 800 000 | 11,53% |
| Technology for DONES | | 2 000 000 | 5 000 000 | 5 000 000 | 150,00% |
| External Support Activities | 30 868 787 | 18 738 413 | 20 000 000 | 20 000 000 | 6,73% |
| Other operational Expenditure | 7 287 206 | 10 177 279 | 5 000 000 | 5 000 000 | -50,87% |
| Title 4 - Earmarked Expenditure | 139 612 731 | 190 346 651 | 126 224 912 | 126 224 912 | -33,69% |
| ITER construction -from ITER host state contribution | 115 556 047 | 178 492 669 | 113 111 912 | 113 111 912 | -36,63% |
| Activities linked to ITER Organisation | 24 056 684 | 11 853 982 | 13 113 000 | 13 113 000 | 10,62% |
| Other Earmarked Expenditure | | | | | |
| TOTAL | 703 983 588 | 1 030 502 036 | 798 520 912 | 798 520 912 | -22,51% |

Note: Due to the global slowdown of the ITER project, the 2022 budget execution shows an under-implementation of EUR 277.2 million compared to the revenues in table 3.1.1. The under-implementation of earmarked credits is automatically carried over to the next year and for the other credit under-implementation, in line with F4E's financial regulation, they can be re-entered in F4E's revenues in following years, when needed.

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 65 152 977 | 62 658 400 | 70 551 000 | 70 551 000 | 12,60% |
| Salaries & allowances | 59 176 253 | 55 232 000 | 62 380 000 | 62 380 000 | 12,94% |
| - Of which establishment plan posts | 45 330 726 | 43 500 000 | 49 470 000 | 49 470 000 | 13,72% |
| - Of which external personnel | 13 845 527 | 11 732 000 | 12 910 000 | 12 910 000 | 10,04% |
| Expenditure relating to Staff recruitment | 702 284 | 836 500 | 964 000 | 964 000 | 15,24% |
| Mission expenses | 349 350 | 647 000 | 650 000 | 650 000 | 0,46% |
| Socio-medical infrastructure | 377 194 | 531 900 | 592 000 | 592 000 | 11,30% |
| Training | 605 855 | 850 000 | 807 000 | 807 000 | -5,06% |
| External Services | 740 400 | 580 000 | 800 000 | 800 000 | 37,93% |
| Receptions, events and representation | 1 850 | 10 000 | 5 000 | 5 000 | -50,00% |
| Social welfare | 51 864 | 50 000 | 60 000 | 60 000 | 20,00% |
| Other Staff related expenditure | 3 147 927 | 3 921 000 | 4 293 000 | 4 293 000 | 9,49% |

| EXPENDITURE | Payment appropriations | | | | |
|---|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2022 | Budget 2023 | Draft Budget 2024 | | VAR 2024/2023 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | 8 420 489 | 10 715 890 | 11 388 500 | 11 388 500 | 6,28% |
| Rental of buildings and associated costs | 1 304 256 | 1 632 000 | 2 056 000 | 2 056 000 | 25,98% |
| Information, communication technology and data processing | 4 270 418 | 4 981 750 | 5 235 000 | 5 235 000 | 5,08% |
| Movable property and associated costs | 202 720 | 387 840 | 460 000 | 460 000 | 18,61% |
| Current administrative expenditure | 1 459 736 | 2 138 100 | 2 113 500 | 2 113 500 | -1,15% |
| Postage / Telecommunications | 365 893 | 738 500 | 639 000 | 639 000 | -13,47% |
| Meeting expenses | 373 267 | 533 000 | 576 000 | 576 000 | 8,07% |
| Running costs in connection with operational activities | 15 943 | | | | |
| Information and publishing | 22 487 | 38 700 | 40 000 | 40 000 | 3,36% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 405 769 | 266 000 | 269 000 | 269 000 | 1,13% |
| Title 3 - Operational expenditure | 528 289 680 | 603 809 284 | 533 320 000 | 533 320 000 | -11,67% |
| ITER construction including site preparation | 496 699 312 | 547 009 284 | 449 320 000 | 449 320 000 | -17,86% |
| Technology for ITER and DEMO | 2 418 482 | 5 300 000 | 6 500 000 | 6 500 000 | 22,64% |
| Technology for Broader approach | 4 605 783 | 31 000 000 | 50 500 000 | 50 500 000 | 62,90% |
| Technology for DONES | | 500 000 | 2 000 000 | 2 000 000 | 300,00% |
| External Support Activities | 17 027 980 | 15 000 000 | 20 000 000 | 20 000 000 | 33,33% |
| Other operational Expenditure | 7 538 123 | 5 000 000 | 5 000 000 | 5 000 000 | 0,00% |
| Title 4 - Earmarked Expenditure | 163 858 566 | 153 872 768 | 153 480 000 | 153 480 000 | -0,26% |
| ITER construction -from ITER host state contribution | 148 024 185 | 143 400 000 | 141 500 000 | 141 500 000 | -1,32% |
| Activities linked to ITER Organisation | 15 834 381 | 10 472 768 | 11 980 000 | 11 980 000 | 14,39% |
| Other Earmarked Expenditure | | | | | |
| TOTAL | 765 721 712 | 831 056 342 | 768 739 500 | 768 739 500 | -7,50% |

Note: Due to the global slowdown of the ITER project, the 2022 budget execution shows an under-implementation of EUR 277.2 million compared to the revenues in table 3.1.1. The under-implementation of earmarked credits is automatically carried over to the next year and for the other credit under-implementation, in line with F4E's financial regulation, they can be re-entered in F4E's revenues in following years, when needed.

3.4 Budget Outturn

First estimate of the 2022 surplus that remains within the joint undertaking: EUR 1 186 246.

4 Justification of needs

Commission assessment

Human Resources

The human resources requested are in line with the stable staffing needs.

Financial Resources

The ITER programme is proposed to be reduced by EUR 250 million for 2024 compared to the MFF programming due to the global slowdown of the project and consequently the reduced implementation capacity.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

For 2024, F4E requests 467 positions, of which 305 establishment plan posts (43 permanent and 262 temporary posts) and 162 external staff positions (155 contract agents (CA) and 7 seconded national experts (SNE)). The total staff number stays at same levels of 2023 and includes the 25 extra TA posts authorised during the 2023 EU budgetary procedure, of which 10 new posts and 15 posts converted from existing CA positions (in total 19 AD and 6 AST). In addition to the above, 2 permanent AD posts shall be transformed into TA posts following the departure of two officials and in line with F4E policy for conversion of vacant permanent posts into TA of an equivalent grade.

4.1.2 Vacancy rate as of end 2022

The vacancy rate was 4.6 % at the end of 2022, based on the actually filled establishment plan and external staff (contract agents and SNEs) by the end of 2022. Out of 280 establishment plan posts, 11 remained vacant (3.9 %) and out of 177 external staff, 10 positions were not filled (5.6 %).

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The average annual costs for salaries for 2024 are estimated at EUR 158 400 for officials and temporary agents, EUR 81 600 for contract agents and EUR 135 600 for seconded national experts based on the staff costs variations from 2023 to 2024 and not including the employer's pension contributions. The indirect costs related to recruitment (selection, medical visit, removal, etc.) are not included in the average staff costs. The annual salary adjustment for 2024 is set at 3.4 % and will be later adjusted by the official EU salary update and the change in the Spanish correction coefficient by the end of 2023. The vacancy rate applied for the calculation of the salaries in 2024 amounts to 3.4%.

4.1.5 Correction coefficient used

The correction coefficient for Spain increased from 96.3 % to 97.4% at the end of 2022.

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Under Title 1, salaries and allowances mark an increase of 12.6 % in draft budget 2024 compared to the adopted 2023. The budget impact is EUR 7.1 million, of which EUR 5.2 million shall serve for the reinforcement of the posts not filled in 2023 and for the salary indexation in view of the rising inflation and EUR 1.9 million to cover F4E obligations to contribute to the employer's pension scheme based on observations made from the European Court of Auditors in 2022 but not foreseen under budget 2023. The figure for 2024 includes the salary increase in 2023 of 4.5% plus 3.4% in 2024. As the salary costs for 2023 and 2024 were based on 2 % indexation forecasted in 2022, the actual increase in 2024 compared to 2023 is higher. The salaries for external personnel (CA and SNE) are expected to increase by 10 % due to the F4E obligations to contribute to the employer's pension scheme for contract agents and to cover the salary indexation for 2022, 2023 and 2024. However, since the revised 2023 salary costs for CA is expected to increase due to the indexations, the annual steps, reclassifications and filling in the vacant CA positions, the effective increase in 2024 on 2023 will be 1.6% only. The costs for the 4 SNEs positions still to be occupied in 2024 for the Broader approach activities in Japan, will be budgetary neutral and counted as in-kind Euratom contribution under the Broader approach Agreement. The expenditure related to staff recruitment in 2024 are expected to increase by 15.2 % to cover the staff allowances (removal expenses, daily and installation allowances) at the beginning of 2024 following the recruitment of the authorised additional posts planned to be occupied by the end of 2023. The mission expenses will maintain similar post covid levels as in 2023. The socio-medical infrastructure expenses will increase by 11.3 % in 2024 to take into consideration the medical costs for the extra staff recruited in 2023, actions to improve the medical service and wellbeing of F4E staff following the COVID sanitary crisis: the presence of the medical advisor every day on site, personal coaching sessions, new service of psychologist onsite 2 days per week, psychosocial survey managed by a psychologist and several trainings and talks on mental health issues. The training expenses will decrease by 5 % compared to 2023. Their biggest share foresees coaching e-platforms under leadership programs and EU learn trainings open for all F4E staff. The external services will increase by 37.9 % in 2024 to cover the higher cost for interims replacing F4E staff on maternity, parental and long-term sick leaves, coming from the indexation of the hourly rates for 2022 and 2023. This chapter covers also

different actions/new projects linked to the Change Agenda for the improvement and development of the organization structure and processes, diversity action plan (gender balance, etc). The costs for receptions, events and representation are decreased by 50 % in view of their actual execution in the last years. The 20 % increase in the expenses for social welfare is coming from the indexation for 2023 and 2024 of the usual social events e.g. the annual xmas parties organized for F4E staff in Barcelona, Cadarache and Garching. The other staff related expenditure will increase by 9.5% due to the higher costs for the International School in 2024 with respect to 2023, the increased number of new pupils for school year 2024-2025 and the indexation of annual fees for schools visited by children of F4E staff. In addition, the traineeships envelop will be enlarged with fellowship programmes for young researchers e.g., PhD students under IAIE Marie Curie programme or other European research programmes.

4.2.2 Title 2

Title 2 will increase by 6,3 % in 2024 compared to 2023 linked to various items. The building's rental and associated costs will increase by 25.9 % mostly due to the renovation of F4E office in Barcelona that started in 2021 and will continue in 2024. The joint undertaking covers the 20 % of the renovation costs (the total cost per floor is EUR 600 000) as agreed with CIEMAT (Host State Spain). The community charges of second and third floors in Barcelona office (previously counted under Title 3) along with the electricity charges are also expected to increase in 2024. Depending on the actual advancement of the works and the number of floors refurbished each year, F4E will adapt the cost estimates for this chapter in the course of 2023. ICT costs will increase by 5.1 % in 2024 compared to 2023 to cover the general increase of costs for both hardware and licences/services. Thanks to cloud migration, F4E will be able in 2024 to monitor the usage and to start implementing cost optimisation for cloud-related services, however savings are not expected to happen before 2025. The costs for movable property will increase by 18.6 % compared to 2023 and foresee the replacement of the furniture and the audio-visual equipment in two floors of Barcelona office and the purchase of one new service electric car in 2024. The current administrative expenditure will maintain same levels in 2024 and will cover the management consultancy services, mission insurances, different service level agreements (DG HR, EASA, EPSO, PMO) and external service providers. The postage and telecommunications costs are expected to decrease by 13.5 % as mobile and telephone costs are reduced thanks to the F4E strategy on 100 % internet-based telephony using teams. The meeting expenses will increase 8 % in 2024 but still within their pre-pandemic levels as since 2022 more face-to-face meetings are taking place and the costs for travel reimbursement and indemnities will be higher. The other infrastructure and operational expenditure and the cost for information and publishing will keep in 2024 similar levels as in 2023.

4.2.3 Title 3

The F4E operational budget is based on the preliminary 2024 Work Programme and activities for delivering the EU obligations to ITER project and the project with Japan under the “Broader Approach” agreement, all financed by the contributions from EURATOM, the ITER Host state France (IHS) and F4E members. Additional contribution from United Kingdom (UK) and Switzerland (CH) are envisaged but are currently excluded from the budget figures as the amounts are still to be established. The F4E operational activities are covered mainly under two budget chapters: ITER construction including the site preparation (under Title 3) and ITER Host State contribution (under Title 4) that complements the first chapter for the ITER construction. Title 3 figures for 2024 are provisional and subject to revision once the new ITER project baseline and the Project Requirements are approved by ITER Council during 2023. They include only the commitment needs with highest probability and recurrent operational activities. Commitments with lower probability, especially those for contracts with immature requirements and those expected to be impacted by the new ITER project baseline, have not been taken into consideration under budget 2024 and were moved to the following years. The EURATOM contribution in commitments (Title 3) is proposed to be cut by EUR 256.9 million⁶⁹ compared to the MFF programming (EUR 732.1 million) in view of the reduced implementation capacity of the joint undertaking mainly due to decreased IO cash contribution, redefinition of scopes, postponements and readjustments of activities pending the ITER re-baselining. The French contribution (Title 4) is consequently proposed to be cut by EUR 65.4 million (-36.6 %) in 2024 compared to 2023 as it is calculated as percentage of the F4E operational expenditure. In 2024 the biggest part of f4E operational budget will be committed for the Cash Contribution to ITER Organization due for year 2025; followed by Buildings and Power supplies for the ITER site in Cadarache; Main Vessel that includes In Vessel Blanket and Divertor systems; Broader approach activities; Heating and Current Drive and Diagnostics. The 2025 cash contribution to ITER construction phase, to be committed by F4E at the end of 2024, is based on the preliminary figures provided by ITER Organization. The figure shall be updated according to the revised ITER project baseline pending the approval of ITER Council during 2023. The Broader Approach (BA) activities in 2024 will be based on the Project Plans to be agreed by the BA Steering Committee: Satellite Tokamak Programme, IFMIF/EVEDA, IFERC and DEMO design activities. F4E

⁶⁹ The ITER Programming is cut by a total of EUR 250 million. The amount of EUR 6.9 million represents the adjustment for the administrative line increase above the Financial Programming.

operational activities in 2024 also foresee EUR 5 million to be spend on the preparation of the Technology for DONES (DEMO-Oriented Neutron Source). This amount is provisional assuming that a decision to proceed with DONES will take place by early 2023. The chapter “External Support Activities” consists of expenditure linked to External Service providers (ESPs). ESPs count for some 40% of F4E’s overall workforce. They mostly work as engineering support to BIPS (ITER Site and Buildings) and other F4E activities listed above as well as on tasks for project performance management, quality assurance and nuclear safety. Currently, most technical profiles are managed by ESPs. The chapter “Other Operational Expenditure” covers the costs for legal services and mission’s expenses directly in support of operational contracts together with ICT services used for ITER, BA and DEMO activities.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|---|------------------------|----------------------------------|------------|--------------|-----------------|--|----------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Torre Diagonal Litoral B3, Josep Pla 2 | Barcelona | 9 000 | | 9 000 | | until 2042 | long-term rental lease agreement | Rent paid by Host country Spain | |
| 2 | Torre Diagonal Litoral B3, three additional modules | Josep Pla 2, Barcelona | 750 | | 750 | | until 2023 or the end of the refurbishment works | short-term lease agreement | Rent paid by Host country Spain | |
| TOTAL | | | 9 750 | | 9 750 | | | | | |

5.1.2 Current building(s) Other comments

The seat of F4E is Barcelona according to the 2007 Host agreement with Spain (Host State). In May 2016, a long-term agreement until 2042 was concluded for Torre Diagonal Litoral B3, with the building’s owner and Spain, represented by the Ministry of Science and Innovation, for the free rental of 9 000 m2 and an extension of approximately 750 m2 of additional space. In 2021, an agreement was reached with Spain (Host State) to finance 80 % of the refurbishment of the premises occupied by F4E (the remaining 20% shall be paid by the joint undertaking). The renovation project foresees the creation of open-plan offices, that will reduce the occupied surface area and thus decrease the renting charges paid by Spain. By the beginning of 2023, the office space on the 12th floor will be refurbished. The renovation of the remaining 7 floors will continue in 2023 and 2024, is estimated at EUR 4 200 000. The Spanish authorities also agreed to take charge of the rent for the extra 750 m2 (three office modules for external experts) previously rented on a temporary basis by F4E, as of January 2022 until the end of the renovation works, to accommodate the staff occupying the floors being refurbished. The joint undertaking covers the annual expenditure for the office space, including the ad-hoc expenditures generated by the refurbishment of the whole building (furniture, audio-video, security & safety, kitchen equipment) and the operation of the cafeteria.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 Evaluation

In accordance with Article 5c introduced with the last amendment 281 (2021) to the Council Decision (EURATOM) No.198 (2007) laying down the EURATOM financing for ITER under the MFF period 2021-2027, the Commission shall carry out regularly evaluations about the implementation of the Decision, in particular an interim evaluation not later than 2024 and a final evaluation no later than four years after the end of the period 2021-2027. The Commission shall communicate the conclusions of the evaluations accompanied by its observations, to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions. The last evaluation report on the European contribution to ITER through F4E during the period 2014-2017 was published in 2019: <https://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2019:0147:FIN:EN:PDF> In 2021, the European Commission completed a follow up study on the economic benefits of ITER and BA projects to EU industry,

<https://data.europa.eu/doi/10.2833/51838>. The study aggregates data on grants and contracts for F4E Member States, entities from F4E, the ITER Organisation (IO), Voluntary Contributors and the European Commission, covering the period of 2008 to 2019. The report evaluates and analyses the added value created from these contracts and grants for a number of sectors in the EU through short-, medium- and long-term benefits. The study demonstrates that F4E spending on ITER project does not only deliver significant benefits to EU economies but also positively impacts other technological and industrial sectors.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--|--|--|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Privileges provided by the Host State and concluded in the seat agreement: - VAT exemptions; - Building free of charge | - Diplomatic status only for the Director and the person appointed to replace him in his absence; - The PPI applies to all staff; - VAT reimbursements during the first year on goods and furniture; - Purchase of one motor vehicle without taxes; - Exemption of import tax registration for vehicles (if done through the Spanish Ministry of Foreign Affairs); | No privileges granted regarding education/day care |

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