

LETTER OF AMENDMENT No. 1/2014

SECTION III — COMMISSION

EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs	233 279 290	315 230 587			233 279 290	315 230 587
02	Enterprise and industry	2 504 362 520	2 209 890 176	31 700 000		2 536 062 520	2 209 890 176
03	Competition	97 373 071	97 373 071			97 373 071	97 373 071
04	Employment, social affairs and inclusion	13 797 978 672	11 654 118 232	30 700 000	p.m.	13 828 678 672	11 654 118 232
05	Agriculture and rural development	58 045 736 444	55 680 956 261	p.m.	p.m.	58 045 736 444	55 680 956 261
06	Mobility and transport	2 872 899 082	1 065 057 902	-10 034 320	-31 359	2 862 864 762	1 065 026 543
07	Environment	407 117 238	354 523 671			407 117 238	354 523 671
08	Research and Innovation	6 283 375 727	4 136 677 747	-72 006 108	106 616	6 211 369 619	4 136 784 363
09	Communications networks, content and technology	1 620 970 335	974 508 116			1 620 970 335	974 508 116
10	Direct research	424 855 000	421 710 070			424 855 000	421 710 070
11	Maritime affairs and fisheries	940 883 256	690 544 538	p.m.	p.m.	940 883 256	690 544 538
	40 02 41	122 662 000	122 662 000			122 662 000	122 662 000
		1 063 545 256	813 206 538			1 063 545 256	813 206 538
12	Internal market and services	110 617 469	112 318 469			110 617 469	112 318 469
13	Regional and urban policy	33 164 363 284	40 204 405 498	-99 900 000	p.m.	33 064 463 284	40 204 405 498
14	Taxation and customs union	158 745 252	128 625 252			158 745 252	128 625 252
15	Education and culture	2 570 366 455	2 249 111 949	243 620 800		2 813 987 255	2 249 111 949
16	Communication	236 114 584	246 883 184			236 114 584	246 883 184
17	Health and consumer protection	612 861 638	565 760 138			612 861 638	565 760 138
18	Home affairs	1 193 506 300	758 393 610			1 193 506 300	758 393 610
19	Foreign policy instruments	723 537 553	478 699 506			723 537 553	478 699 506
20	Trade	123 749 207	120 256 207			123 749 207	120 256 207
21	Development and cooperation	4 954 858 060	3 665 608 518			4 954 858 060	3 665 608 518
22	Enlargement	1 514 576 385	911 524 558			1 514 576 385	911 524 558
23	Humanitarian aid and civil protection	992 268 306	860 164 471			992 268 306	860 164 471
24	Fight against fraud	79 547 900	77 223 000			79 547 900	77 223 000
25	Commission's policy coordination and legal advice	199 811 518	200 534 318			199 811 518	200 534 318
26	Commission's administration	1 013 608 150	1 005 138 150			1 013 608 150	1 005 138 150
27	Budget	97 465 645	97 465 645			97 465 645	97 465 645
28	Audit	12 013 526	12 013 526			12 013 526	12 013 526
29	Statistics	134 298 848	159 267 848			134 298 848	159 267 848
30	Pensions and related expenditure	1 498 416 000	1 498 416 000			1 498 416 000	1 498 416 000
31	Language services	399 406 179	399 406 179			399 406 179	399 406 179
32	Energy	958 988 691	616 534 636	-24 080 372	-75 257	934 908 319	616 459 379
33	Justice	201 595 022	193 007 022			201 595 022	193 007 022
34	Climate action	121 471 405	44 048 353			121 471 405	44 048 353
40	Reserves	456 181 000	150 000 000			456 181 000	150 000 000
	Total	138 879 861 012	132 478 058 408	100 000 000		138 979 861 012	132 478 058 408
	Of which Reserves: 40 01 40, 40 02 41	122 662 000	122 662 000			122 662 000	122 662 000

TITLE 02 — ENTERPRISE AND INDUSTRY

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 01	Administrative expenditure of the 'Enterprise and industry' policy area		122 906 982	122 906 982			122 906 982	122 906 982
02 02	Competitiveness of enterprises and small and medium-sized enterprises (Cosme)		232 917 275	135 725 804	31 700 000		264 617 275	135 725 804
02 03	Internal market for goods and sectorial policies		39 170 000	34 873 350			39 170 000	34 873 350
02 04	Horizon 2020 — Research relating to enterprises	1	401 518 263	497 501 727			401 518 263	497 501 727
02 05	European satellite navigation programmes (EGNOS and Galileo)	1	1 347 417 000	1 219 124 000			1 347 417 000	1 219 124 000
02 06	European Earth observation programme	1	360 433 000	199 758 313			360 433 000	199 758 313
Title 02 — Total			2 504 362 520	2 209 890 176	31 700 000		2 536 062 520	2 209 890 176

CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 02	Competitiveness of enterprises and small and medium-sized enterprises (Cosme)							
02 02 01	<i>Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises</i>	1.1	97 709 687	14 575 804	5 000 000		102 709 687	14 575 804
02 02 02	<i>Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt</i>	1.1	135 207 588	87 914 000	26 700 000		161 907 588	87 914 000
02 02 51	<i>Completion of former activities in the competitiveness and entrepreneurship domain</i>	1.1	p.m.	28 325 000			p.m.	28 325 000
02 02 77	<i>Pilot projects and preparatory actions</i>							
02 02 77 01	Preparatory action — Support for small and medium-sized enterprises (SMEs) in the new financial environment	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 02	Pilot project — Erasmus for young entrepreneurs	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 03	Preparatory action — Erasmus for young entrepreneurs	1.1	p.m.	835 000			p.m.	835 000
02 02 77 04	Pilot project — Actions in connection with the textile and footwear sector	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 05	Preparatory action — European destinations of excellence	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 06	Preparatory action — Sustainable tourism	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 07	Preparatory action — Social tourism in Europe	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 08	Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products	1.1	p.m.	1 250 000			p.m.	1 250 000
02 02 77 09	Preparatory action — Tourism and accessibility for all	1.1	p.m.	690 000			p.m.	690 000
02 02 77 10	Preparatory action — Euromed innovation entrepreneurs for change	1.1	p.m.	1 000 000			p.m.	1 000 000
02 02 77 11	Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union	1.1	p.m.	286 000			p.m.	286 000
02 02 77 12	Pilot project — European rare earth competency network	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 13	Pilot project — Development of the European 'Creative Districts'	3	p.m.	350 000			p.m.	350 000

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments
02 02 77 14	Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders	3	p.m.	500 000			p.m.	500 000
02 02 77 15	Preparatory action — Harmonised e-business processes and standards between European small and medium-sized enterprises (SMEs) of interrelated industry sectors	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 02 02 77 — Subtotal</i>		p.m.	4 911 000			p.m.	4 911 000
	Chapter 02 02 — Total		232 917 275	135 725 804	31 700 000		264 617 275	135 725 804

Article 02 02 01 — Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
97 709 687	14 575 804	5 000 000		102 709 687	14 575 804

Remarks

New article

This appropriation is intended to strengthen the competitiveness of enterprises, in particular that of small and medium-sized enterprises (SMEs), and to encourage entrepreneurship and foster the creation and growth of SMEs.

The implemented measures will include:

- networks bringing together a variety of stakeholders,
- market replication projects,
- policy analyses, development and coordination with participating countries,
- information sharing, dissemination and awareness raising,
- support to joint actions of Member States or regions, as well as other measures under the Cosme programme.

The EU will provide support to activities such as the Enterprise Europe Network and entrepreneurship promotion. It will also support projects concerned with the first applications or market replication of techniques, practices or products (e.g. new business concepts for consumer goods) of EU relevance that have already been technically demonstrated with success but, owing to residual risk, have not yet significantly penetrated the market. Those projects will be designed to promote wider use within the participating countries and facilitate market uptake.

Projects will also seek to improve the framework conditions in order to ensure that EU enterprises are competitive and sustainable, including in the tourism sector, by supporting coherence and consistency in implementation, as well as informed policy-making at EU level. In addition, projects will be put in place to support the implementation of the Small Business Act for Europe.

The Erasmus for Entrepreneurs programme aims to encourage European entrepreneurship, the sharing of knowledge and best practices, and the creation of valuable networks and partnerships.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this budget line. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding

appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (2014-2020) (COM(2011) 834 final), and in particular Article 3(1)(a) to (c) thereof.

Article 02 02 02 — Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
135 207 588	87 914 000	26 700 000		161 907 588	87 914 000

Remarks

New article

This appropriation is to be used to improve access to finance for SMEs in the form of equity and debt in their start-up, growth and transfer phase.

A loan guarantee facility (LGF) shall provide counter-guarantees, direct guarantees and other risk sharing arrangements for (a) debt financing which shall reduce the particular difficulties that viable SMEs face in accessing finance either due to their perceived higher risk or their lack of sufficient available collateral and for (b) securitisation of SME debt finance portfolios.

An equity facility for growth (EFG) shall allow investments in risk capital funds which invest in SMEs in the expansion and growth stage, and in particular in those operating cross-borders. The possibility shall exist to invest in early stage funds in conjunction with the equity facility for RDI under Horizon 2020. In cases of joint investments in multi-stage funds, investments will be provided on a pro-rata basis from the EFG of Cosme and the equity facility for RDI under Horizon 2020. Support from the EFG shall be (a) either directly by the European Investment Fund (EIF) or other entities entrusted with the implementation on behalf of the Commission or (b) by funds-of-funds or investment vehicles investing across borders.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this budget line. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6341 of the statement of revenues may give rise to the provision of additional appropriations in accordance with Articles 21(3)(i) of the Financial Regulation.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (2014-2020) (COM(2011) 834 final), and in particular Article 3(1)(d) thereof.

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 01	Administrative expenditure of the 'Employment, social affairs and inclusion' policy area		93 758 772	93 758 772			93 758 772	93 758 772
04 02	European Social Fund (ESF)	1	13 139 400 000	10 943 000 000	30 700 000	p.m.	13 170 100 000	10 943 000 000
04 03	Employment, Social Affairs and Inclusion	1	198 439 900	188 879 460			198 439 900	188 879 460
04 04	European Globalisation Adjustment Fund (EGF)	9	p.m.	50 000 000			p.m.	50 000 000
04 05	Instrument for Pre-Accession Assistance — Employment, Social Policies and Human Resources Development	4	p.m.	71 200 000			p.m.	71 200 000
04 06	Fund for European Aid to the Most Deprived	1	366 380 000	307 280 000			366 380 000	307 280 000
Title 04 — Total			13 797 978 672	11 654 118 232	30 700 000	p.m.	13 828 678 672	11 654 118 232

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (ESF)

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 02	European Social Fund (ESF)							
04 02 01	<i>Completion of the European Social Fund (ESF) — Objective 1 (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 02	<i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 03	<i>Completion of the European Social Fund (ESF) — Objective 1 (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 04	<i>Completion of the European Social Fund (ESF) — Objective 2 (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 05	<i>Completion of the European Social Fund (ESF) — Objective 2 (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 06	<i>Completion of the European Social Fund (ESF) — Objective 3 (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 07	<i>Completion of the European Social Fund (ESF) — Objective 3 (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 08	<i>Completion of EQUAL (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 09	<i>Completion of previous Community initiative programmes (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 10	<i>Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (2000 to 2006)</i>	1.2	—	p.m.			—	p.m.
04 02 11	<i>Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (prior to 2000)</i>	1.2	—	—			—	—
04 02 17	<i>Completion of European Social Fund (ESF) — Convergence (2007 to 2013)</i>	1.2	p.m.	6 769 000 000			p.m.	6 769 000 000
04 02 18	<i>Completion of European Social Fund (ESF) — PEACE (2007 to 2013)</i>	1.2	p.m.	p.m.			p.m.	p.m.
04 02 19	<i>Completion of European Social Fund (ESF) — Regional competitiveness and employment (2007 to 2013)</i>	1.2	p.m.	3 006 000 000			p.m.	3 006 000 000

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 02 20	<i>Completion of European Social Fund (ESF) — Operational technical assistance (2007 to 2013)</i>	1.2	p.m.	6 000 000			p.m.	6 000 000
04 02 60	<i>European Social Fund (ESF) — Less developed regions — Investment for growth and jobs goal</i>	1.2	5 704 200 000	364 000 000			5 704 200 000	364 000 000
04 02 61	<i>European Social Fund (ESF) — Transition regions — Investment for growth and jobs goal</i>	1.2	1 854 500 000	113 000 000			1 854 500 000	113 000 000
04 02 62	<i>European Social Fund (ESF) — More developed regions — Investment for growth and jobs goal</i>	1.2	3 766 600 000	229 000 000	30 700 000		3 797 300 000	229 000 000
04 02 63	<i>European Social Fund (ESF) — Operational technical assistance</i>							
04 02 63 01	European Social Fund (ESF) — Operational technical assistance	1.2	10 000 000	6 000 000			10 000 000	6 000 000
04 02 63 02	European Social Fund (ESF) — Operational technical assistance managed by the Commission at the request of a Member State	1.2			p.m.	p.m.	p.m.	p.m.
	<i>Article 04 02 63 — Subtotal</i>		10 000 000	6 000 000	p.m.	p.m.	10 000 000	6 000 000
04 02 64	<i>Youth Employment Initiative (YEI)</i>	1.2	1 804 100 000	450 000 000			1 804 100 000	450 000 000
	Chapter 04 02 — Total		13 139 400 000	10 943 000 000	30 700 000	p.m.	13 170 100 000	10 943 000 000

Remarks

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Social Fund (ESF). The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177.

Article 80 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') provides for financial corrections in case of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 77, 136 and 137 of the amended proposal for a Regulation COM(2013) 246 final on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ESF.

Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing applicable to the ESF.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39 thereof.

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(3)(4), 80 and 177 thereof.

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Article 04 02 62 — European Social Fund (ESF) — More developed regions — Investment for growth and jobs goal

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 766 600 000	229 000 000	30 700 000		3 797 300 000	229 000 000

Remarks

New article

This appropriation is intended to cover ESF support under the Investment for Growth and Jobs goal in more developed regions in the 2014 to 2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions concerns those regions whose GDP per capita is above 90 % of the average GDP of the EU-27.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (COM(2011) 607 final), and in particular Article 4(3)(a) thereof.

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic

Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Article 04 02 63 — European Social Fund (ESF) — Operational technical assistance

Item 04 02 63 01 — European Social Fund (ESF) — Operational technical assistance

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 000 000	6 000 000			10 000 000	6 000 000

Remarks

New item

This appropriation is intended to support the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing the Regulation proposed under COM(2013) 246 final as provided for in Articles 51 and 108 of that Regulation. In particular, it may be used to cover support expenditure (representation expenses, training, meetings, missions).

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (COM(2011) 607 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Item 04 02 63 02 — European Social Fund (ESF) — Operational technical assistance managed by the Commission at the request of a Member State

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		p.m.	p.m.	p.m.	p.m.

Remarks

New item

This item is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with the proposed Article 22bis of the Regulation proposed under COM(2013) 246 final, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (COM(2011) 607 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 01	Administrative expenditure of the 'Agriculture and rural development' policy area		133 137 385	133 137 385			133 137 385	133 137 385
05 02	Improving the competitiveness of the agricultural sector through interventions in agricultural markets	2	2 496 300 000	2 496 150 000			2 496 300 000	2 496 150 000
05 03	Direct aids aimed at contributing to farm incomes, limiting farm income variability and meeting environment and climate objectives	2	41 240 775 640	41 240 775 640			41 240 775 640	41 240 775 640
05 04	Rural development	2	13 987 271 059	11 651 375 416	p.m.	p.m.	13 987 271 059	11 651 375 416
05 05	Instrument for Pre-Accession Assistance — Agriculture and Rural Development	4	90 000 000	112 820 000			90 000 000	112 820 000
05 06	International aspects of the 'Agriculture and rural development' policy area	4	6 696 000	6 696 000			6 696 000	6 696 000
05 07	Audit of agricultural expenditure financed by the European Agricultural Guarantee Fund (EAGF)	2	6 800 000	6 800 000			6 800 000	6 800 000
05 08	Policy strategy and coordination of the 'Agriculture and rural development' policy area	2	32 593 360	30 910 852			32 593 360	30 910 852
05 09	Horizon 2020 — Research and innovation related to agriculture	1	52 163 000	2 290 968			52 163 000	2 290 968
05 10	European Globalisation Adjustment Fund (EGF)	9	p.m.	p.m.			p.m.	p.m.
Title 05 — Total			58 045 736 444	55 680 956 261	p.m.	p.m.	58 045 736 444	55 680 956 261

CHAPTER 05 04 — RURAL DEVELOPMENT

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 04	Rural development							
05 04 01	Completion of Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006							
05 04 01 14	Completion of Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006	2	p.m.	p.m.			p.m.	p.m.
<i>Article 05 04 01 — Subtotal</i>			<i>p.m.</i>	<i>p.m.</i>			<i>p.m.</i>	<i>p.m.</i>

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 04 02	Rural development financed by the European Agricultural Guidance and Guarantee Fund (EAGGF) Guidance Section — Completion of earlier programmes							
05 04 02 01	Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Objective 1 regions (2000 to 2006)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 03	Completion of earlier programmes in Objectives 1 and 6 regions (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 04	Completion of earlier programmes in Objective 5b regions (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 05	Completion of earlier programmes outside Objective 1 regions (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 06	Completion of Leader (2000 to 2006)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 07	Completion of earlier Community initiatives (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 08	Completion of earlier innovative measures (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
05 04 02 09	Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Operational technical assistance (2000 to 2006)	2	p.m.	p.m.			p.m.	p.m.
	<i>Article 05 04 02 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
05 04 03	Completion of other measures							
05 04 03 02	Plant and animal genetic resources — Completion of earlier measures	2	p.m.	p.m.			p.m.	p.m.
	<i>Article 05 04 03 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
05 04 04	Transitional instrument for the financing of rural development by the EAGGF Guarantee Section for the new Member States — Completion of programmes (2004 to 2006)	2	p.m.	p.m.			p.m.	p.m.
05 04 05	Completion of Rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)							
05 04 05 01	Rural development programmes	2	p.m.	10 333 305 000			p.m.	10 333 305 000
05 04 05 02	Operational technical assistance	2	p.m.	6 433 956			p.m.	6 433 956
	<i>Article 05 04 05 — Subtotal</i>		p.m.	10 339 738 956			p.m.	10 339 738 956
05 04 60	European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)							
05 04 60 01	Promoting sustainable rural development, more territorially and environmentally balanced, climate-friendly and innovative Union agricultural sector	2	13 970 049 059	1 303 887 960			13 970 049 059	1 303 887 960
05 04 60 02	Operational technical assistance	2	17 222 000	7 748 500			17 222 000	7 748 500
05 04 60 03	Operational technical assistance managed by the Commission at the request of a Member State	2			p.m.	p.m.	p.m.	p.m.
	<i>Article 05 04 60 — Subtotal</i>		13 987 271 059	1 311 636 460	p.m.	p.m.	13 987 271 059	1 311 636 460
	Chapter 05 04 — Total		13 987 271 059	11 651 375 416	p.m.	p.m.	13 987 271 059	11 651 375 416

Article 05 04 60 — European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)

Item 05 04 60 01 — Promoting sustainable rural development, more territorially and environmentally balanced, climate-friendly and innovative Union agricultural sector

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 970 049 059	1 303 887 960			13 970 049 059	1 303 887 960

Remarks

New item

This appropriation is intended to cover the financing of the 2014 to 2020 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

Rural development measures will be measured against more refined performance indicators for farming systems and production methods so as to respond to the challenges related to climate change, water protection, biodiversity and renewable energies.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 12 October 2011, on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (COM(2011) 627 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 12 October 2011, on the financing, management and monitoring of the common agricultural policy (COM(2011) 628 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Item 05 04 60 02 — Operational technical assistance

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 222 000	7 748 500			17 222 000	7 748 500

Remarks

New Item

This appropriation is intended to cover technical assistance measures as provided for by Chapter III of the Proposal for a Regulation of the European Parliament and of the Council on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (COM(2011) 627 final) and in particular the European Network for Rural Development.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 12 October 2011, on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (COM(2011) 627 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 12 October 2011, on the financing, management and monitoring of the common agricultural policy (COM(2011) 628 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Item 05 04 60 03 — Operational technical assistance managed by the Commission at the request of a Member State

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		p.m.	p.m.	p.m.	p.m.

Remarks

New Item

This item is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with the proposed Article 22bis of the Regulation proposed under COM(2013) 246 final, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 12 October 2011, on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (COM(2011) 627 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 12 October 2011, on the financing, management and monitoring of the common agricultural policy (COM(2011) 628 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

TITLE 06 — MOBILITY AND TRANSPORT

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
06 01	Administrative expenditure of the 'Mobility and transport' policy area		73 849 351	73 849 351	-31 359	-31 359	73 817 992	73 817 992
06 02	European transport policy	1	2 576 941 731	963 840 819			2 576 941 731	963 840 819
06 03	Horizon 2020 — Research and innovation related to transport	1	222 108 000	27 367 732	-10 002 961		212 105 039	27 367 732
Title 06 — Total			2 872 899 082	1 065 057 902	-10 034 320	-31 359	2 862 864 762	1 065 026 543

CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MOBILITY AND TRANSPORT’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014	Letter of amendment No. 1/2014	New amount
06 01	Administrative expenditure of the ‘Mobility and transport’ policy area				
06 01 01	Expenditure related to officials and temporary staff in the ‘Mobility and transport’ policy area	5.2	42 451 366		42 451 366
06 01 02	External personnel and other management expenditure in support of the ‘Mobility and transport’ policy area				
06 01 02 01	External personnel	5.2	2 429 007		2 429 007
06 01 02 11	Other management expenditure	5.2	2 236 454		2 236 454
	<i>Article 06 01 02 — Subtotal</i>		4 665 461		4 665 461
06 01 03	Expenditure related to information and communication technology equipment and services of the ‘Mobility and transport’ policy area	5.2	2 652 524		2 652 524
06 01 04	Support expenditure for operations and programmes in the ‘Mobility and transport’ policy area				
06 01 04 01	Support expenditure for Connecting Europe Facility (CEF) — Transport	1.1	2 895 000		2 895 000
	<i>Article 06 01 04 — Subtotal</i>		2 895 000		2 895 000
06 01 05	Support expenditure for Research and Innovation programmes in the ‘Mobility and transport’ policy area				
06 01 05 01	Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020	1.1	5 900 000		5 900 000
06 01 05 02	External personnel implementing Research and Innovation programmes — Horizon 2020	1.1	2 800 000		2 800 000
06 01 05 03	Other management expenditure for Research and Innovation programmes — Horizon 2020	1.1	1 122 000	-31 359	1 090 641
	<i>Article 06 01 05 — Subtotal</i>		9 822 000	-31 359	9 790 641
06 01 06	Executive agencies				
06 01 06 01	Trans-European Transport Networks — Executive Agency — Contribution from Connecting Europe Facility (CEF)	1.1	9 805 000		9 805 000
06 01 06 02	Executive Agency for Competitiveness and Innovation — Contribution from Connecting Europe Facility (CEF)	1.1	1 558 000		1 558 000
	<i>Article 06 01 06 — Subtotal</i>		11 363 000		11 363 000
	Chapter 06 01 — Total		73 849 351	-31 359	73 817 992

Article 06 01 05 — Support expenditure for Research and Innovation programmes in the ‘Mobility and transport’ policy area

Item 06 01 05 01 — Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
5 900 000		5 900 000

Remarks

This appropriation is intended to cover expenditure relating to officials and temporary agents implementing Research and Innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary agents posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 06 03.

Item 06 01 05 02 — External personnel implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
2 800 000		2 800 000

Remarks

This appropriation is intended to cover expenditure relating to external staff implementing Research and Innovation programmes — Horizon 2020, engaged in indirect action under the non-nuclear programmes, including external staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 06 03.

Item 06 01 05 03 — Other management expenditure for Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
1 122 000	-31 359	1 090 641

Remarks

This appropriation is intended to cover other administrative expenditure for all management of Research and Innovation programmes — Horizon 2020, in indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this Item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as, but not limited to, conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representations expenditures.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive

from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 06 03.

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitment s	Payments	Commitment s	Payments	Commitment s	Payments
06 03	Horizon 2020 — Research and innovation related to transport							
06 03 03	<i>Societal challenges</i>							
06 03 03 01	Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system	1.1	222 108 000	19 415 097	-30 002 961	-10 000 000	192 105 039	9 415 097
	<i>Article 06 03 03 — Subtotal</i>		222 108 000	19 415 097	-30 002 961	-10 000 000	192 105 039	9 415 097
06 03 07	<i>Joint Undertakings</i>							
06 03 07 31	Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) — Support expenditure	1.1			p.m.	p.m.	p.m.	p.m.
06 03 07 32	Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)	1.1			20 000 000	10 000 000	20 000 000	10 000 000
	<i>Article 06 03 07 — Subtotal</i>				20 000 000	10 000 000	20 000 000	10 000 000
06 03 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>							
06 03 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
06 03 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 06 03 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
06 03 51	<i>Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)</i>	1.1	p.m.	7 952 635			p.m.	7 952 635
06 03 52	<i>Completion of previous research framework programmes (prior to 2007)</i>	1.1	—	p.m.			—	p.m.
	Chapter 06 03 — Total		222 108 000	27 367 732	-10 002 961		212 105 039	27 367 732

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 Framework Programme of the European Union for research and innovation which covers the period 2014 to 2020.

The Programme shall play a central role in the implementation of the Europe 2020 flagship initiative ‘Innovation Union’ and other flagship initiatives, notably ‘Resource efficient Europe’, ‘An industrial policy for the globalisation era’, and ‘A digital agenda for Europe’, as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. The programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism,

creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with the proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, laying down the rules for the participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ (COM(2011) 810 final).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Item 06 03 50 01.

Administrative appropriations of this chapter will be provided under Article 06 01 05.

Article 06 03 03 — Societal challenges

Remarks

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Funding will be focused on the following challenge: Smart, green and integrated transport.

Item 06 03 03 01 — Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
222 108 000	19 415 097	-30 002 961	-10 000 000	192 105 039	9 415 097

Remarks

New Item

This appropriation is intended to cover Research and Innovation actions that should substantially contribute to shift transport into a new era of smart mobility. Actions under this item should support the development and take up of the necessary solutions for all transport modes, drastically reducing transport emissions that are harmful to the environment, lower its dependence on fossil fuels, and hence reduce transport impact on biodiversity and preserve natural resources. This is expected to be achieved by investing in specific activities, notably in the form of large public-private-partnerships, such as making aircraft, trains, vehicles and vessels cleaner and quieter, developing smart equipment, infrastructures and services and improving transport and mobility in urban areas.

Research and Innovation carried under this item is expected to make important contributions to optimise performance and efficiency in the face of growing demands for mobility. Actions under this item will also focus on substantial reduction of traffic congestion, substantial improvements in the mobility of people and freight, developing and applying new concepts of freight transport and logistics and reducing accident rates and fatal casualties and improving security. Actions under this item are indeed intended to contribute to make Europe the safest region for aviation and to move towards the target of zero fatalities in road transport by 2050.

Research and Innovation is expected to play a significant role in achieving global leadership for the European transport industry, staying ahead in new technologies and reducing the costs of existing manufacturing processes, therefore contributing to growth and highly skilled jobs in the European transport industry. In this context, actions to develop the next generation of transport means and explore entirely new transport concepts are expected to be developed and covered by this appropriation.

Socio-economic research and forward looking activities for policy making will also be covered by this appropriation: actions to support policy analysis and development including on socio-economic aspects of transport are necessary to promote innovation and meet the challenges raised by transport.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(d) thereof.

Article 06 03 07 — Joint Undertakings

Item 06 03 07 31 — Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) — Support expenditure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		p.m.	p.m.	p.m.	p.m.

Remarks

New Item

The Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge ‘Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system’. It shall have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM Research and Innovation activities in the EU and in line with the ATM Master Plan.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council, submitted by the Commission on 10 July 2013, amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (COM(2013) 503 final).

Item 06 03 07 32 — Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		20 000 000	10 000 000	20 000 000	10 000 000

Remarks

New Item

The Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge ‘Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system’. It shall have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM Research and Innovation activities in the EU and in line with the ATM Master Plan.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council, submitted by the Commission on 10 July 2013, amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (COM(2013) 503 final).

TITLE 08 — RESEARCH AND INNOVATION

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 01	Administrative expenditure of the 'Research and Innovation' policy area		318 670 080	318 670 080	-2 878 884	-2 878 884	315 791 196	315 791 196
08 02	Horizon 2020 — Research		4 891 034 242	3 131 406 870	143 114 376	2 985 500	5 034 148 618	3 134 392 370
08 03	Euratom Programme — Indirect actions	1	140 512 000	105 735 121			140 512 000	105 735 121
08 04	ITER Programme	1	933 159 405	580 865 676	-212 241 600		720 917 805	580 865 676
08 05	Research programme of the research fund for coal and steel	1	p.m.	p.m.			p.m.	p.m.
Title 08 — Total			6 283 375 727	4 136 677 747	-72 006 108	106 616	6 211 369 619	4 136 784 363

Remarks

These remarks apply to all the budget lines in this title.

Research and Innovation activities under this Title will contribute to three main Research programmes, i.e. Horizon 2020, Euratom and ITER Supplementary programme. It will also cover research programmes of the research fund for coal and steel.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations also cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure Items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union's strategy on research, technological development and demonstration (RTD).

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

The possibility of third countries or institutes from third countries taking part in European Cooperation in the field of Scientific and Technical Research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of Scientific and Technical Research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Article 02 50 01, 08 03 50 01 and 08 04 50 01.

Administrative appropriations of this title will be provided under Chapter 08 01 05.

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014	Letter of amendment No. 1/2014	New amount
08 01	Administrative expenditure of the ‘Research and Innovation’ policy area				
08 01 01	Expenditure related to officials and temporary staff in the ‘Research and Innovation’ policy area	5.2	8 697 354		8 697 354
08 01 02	External personnel and other management expenditure of the ‘Research and Innovation’ policy area				
08 01 02 01	External personnel	5.2	290 652		290 652
08 01 02 11	Other management expenditure	5.2	383 826		383 826
	<i>Article 08 01 02 — Subtotal</i>		674 478		674 478
08 01 03	Expenditure related to information and communication technology equipment and services of the ‘Research and Innovation’ policy area	5.2	543 444		543 444
08 01 05	Support expenditure for Research and Innovation programmes in the ‘Research and Innovation’ policy area				
08 01 05 01	Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020	1.1	111 702 867		111 702 867
08 01 05 02	External personnel implementing Research and Innovation programmes — Horizon 2020	1.1	24 672 000		24 672 000
08 01 05 03	Other management expenditure for Research and Innovation programmes — Horizon 2020	1.1	49 339 155	-2 878 884	46 460 271
08 01 05 11	Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Euratom programme	1.1	11 607 000		11 607 000
08 01 05 12	External personnel implementing Research and Innovation programmes — Euratom programme	1.1	932 000		932 000
08 01 05 13	Other management expenditure for Research and Innovation programmes — Euratom programme	1.1	4 413 000		4 413 000
08 01 05 21	Expenditure related to officials and temporary staff implementing Research and Innovation programmes — ITER programme	1.1	5 128 000		5 128 000
08 01 05 22	External personnel implementing Research and Innovation programmes — ITER programme	1.1	133 000		133 000
08 01 05 23	Other management expenditure for Research and Innovation programmes — ITER programme	1.1	1 846 000		1 846 000
	<i>Article 08 01 05 — Subtotal</i>		209 773 022	-2 878 884	206 894 138
08 01 06	Executive agencies				
08 01 06 01	European Research Council Executive Agency (ERCEA) — Contribution from Horizon 2020	1.1	39 415 000		39 415 000
08 01 06 02	Research Executive Agency (REA) — Contribution from Horizon 2020	1.1	50 297 800		50 297 800

Title Chapter Article Item	Heading	FF	Draft budget 2014	Letter of amendment No. 1/2014	New amount
08 01 06 03	Executive Agency for Competitiveness and Innovation — Contribution from Horizon 2020	1.1	9 268 982		9 268 982
	<i>Article 08 01 06 — Subtotal</i>		98 981 782		98 981 782
	Chapter 08 01 — Total		318 670 080	-2 878 884	315 791 196

Article 08 01 05 — Support expenditure for Research and Innovation programmes in the ‘Research and Innovation’ policy area

Item 08 01 05 01 — Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
111 702 867		111 702 867

Remarks

Former Item 08 01 05 01 (in part)

This appropriation covers expenditure relating to officials and temporary agents implementing Research and Innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under non-nuclear programmes, including staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 08 02.

Item 08 01 05 02 — External personnel implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
24 672 000		24 672 000

Remarks

Former Item 08 01 05 02 (in part)

This appropriation is intended to cover expenditure on external personnel implementing Research and Innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue

in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 08 02.

Item 08 01 05 03 — Other management expenditure for Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
49 339 155	-2 878 884	46 460 271

Remarks

Former Item 08 01 05 03 (in part)

This appropriation is intended to cover other administrative expenditure for all management of Research and Innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

It is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this Item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as, but not limited to, conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representations expenditures.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 08 02.

Item 08 01 05 11 — Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Euratom programme

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
11 607 000		11 607 000

Remarks

Former Item 08 01 05 01 (in part)

This appropriation covers expenditure relating to officials and temporary agents implementing Research and Innovation programmes — Euratom programme, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear programmes, including staff posted in Union delegations.

Legal basis

See Chapter 08 03.

Item 08 01 05 12 — External personnel implementing Research and Innovation programmes — Euratom programme

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
932 000		932 000

Remarks

Former Item 08 01 05 02 (in part)

This appropriation is intended to cover expenditure on external personnel implementing Research and Innovation programmes — Euratom programme in the form of indirect action under the nuclear programmes, including external staff posted in Union delegations.

Legal basis

See Chapter 08 03.

Item 08 01 05 13 — Other management expenditure for Research and Innovation programmes — Euratom programme

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
4 413 000		4 413 000

Remarks

Former Item 08 01 05 03 (in part)

This appropriation is intended to cover other administrative expenditure for all management of Research and Innovation programmes — Euratom programme in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

It is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this Item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as, but not limited to, conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representations expenditures.

Legal basis

See Chapter 08 03.

Item 08 01 05 21 — Expenditure related to officials and temporary staff implementing Research and Innovation programmes — ITER programme

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
5 128 000		5 128 000

Remarks

Former Item 08 01 05 01 (in part)

This appropriation covers expenditure relating to officials and temporary agents implementing Research and Innovation programmes — ITER programme, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear and non-nuclear programmes, including staff posted in Union delegations.

Legal basis

See Chapter 08 04.

Item 08 01 05 22 — External personnel implementing Research and Innovation programmes — ITER programme

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
133 000		133 000

Remarks

Former Item 08 01 05 02 (in part)

This appropriation is intended to cover expenditure on external personnel implementing Research and Innovation programmes — ITER programme in the form of indirect action under the nuclear programmes, including external staff posted in Union delegations.

Legal basis

See Chapter 08 04.

Item 08 01 05 23 — Other management expenditure for Research and Innovation programmes — ITER programme

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
1 846 000		1 846 000

Remarks

Former Item 08 01 05 03 (in part)

This appropriation is intended to cover other administrative expenditure for all management of Research and Innovation programmes — ITER programme in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this Item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as, but not limited to, conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representations expenditures.

Legal basis

See Chapter 08 04.

CHAPTER 08 02 — HORIZON 2020 — RESEARCH

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02	Horizon 2020 — Research							
08 02 01	<i>Excellent science</i>							
08 02 01 01	Strengthening frontier research in ERC — European Research Council	1.1	1 535 651 894	19 785 657	106 120 800		1 641 772 694	19 785 657
08 02 01 02	Strengthening research in FET — Future and Emerging Technologies	1.1	p.m.	p.m.			p.m.	p.m.
08 02 01 03	Strengthening European research infrastructures, including e-infrastructure	1.1	171 632 176	309 837			171 632 176	309 837
	<i>Article 08 02 01 — Subtotal</i>		1 707 284 070	20 095 494	106 120 800		1 813 404 870	20 095 494
08 02 02	<i>Industrial leadership</i>							
08 02 02 01	Leadership in nanotechnologies, advanced materials, biotechnology and advanced manufacturing and processing	1.1	468 347 600	42 681 808	-7 499 759		460 847 841	42 681 808
08 02 02 02	Enhancing access to risk finance for investing in research and innovation	1.1	384 814 753	326 766 435			384 814 753	326 766 435
08 02 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	1.1	33 663 565	3 067 854			33 663 565	3 067 854
	<i>Article 08 02 02 — Subtotal</i>		886 825 918	372 516 097	-7 499 759		879 326 159	372 516 097
08 02 03	<i>Societal challenges</i>							
08 02 03 01	Improving lifelong health and wellbeing	1.1	752 711 715	56 718 438	-207 300 000	-16 600 000	545 411 715	40 118 438
08 02 03 02	Improving food security, developing sustainable agriculture, marine and maritime research and the bio-economy	1.1	246 542 110	22 468 062	-42 500 241		204 041 869	22 468 062
08 02 03 03	Making the transition to a reliable, sustainable and competitive energy system	1.1	314 442 301	28 655 994	-36 007 673		278 434 628	28 655 994
08 02 03 04	Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless	1.1	517 879 845	21 086 531	-123 338 251	-13 000 000	394 541 594	8 086 531
08 02 03 05	Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	1.1	271 940 800	2 478 694			271 940 800	2 478 694
08 02 03 06	Fostering inclusive, innovative and secure European societies	1.1	193 407 483	17 625 757			193 407 483	17 625 757
	<i>Article 08 02 03 — Subtotal</i>		2 296 924 254	149 033 476	-409 146 165	-29 600 000	1 887 778 089	119 433 476
08 02 04	<i>Horizontal activities of Horizon 2020</i>	1.1	p.m.	p.m.			p.m.	p.m.
08 02 07	<i>Joint Undertakings</i>							
08 02 07 31	Innovative Medicines Initiative 2 Joint Undertaking (IMI2) — Support expenditure				490 000	490 000	490 000	490 000
08 02 07 32	Innovative Medicines Initiative 2 Joint Undertaking (IMI2)				207 300 000	16 600 000	207 300 000	16 600 000
08 02 07 33	Bio-Based Industries Joint Undertaking (BBI) — Support expenditure				977 500	977 500	977 500	977 500
08 02 07 34	Bio-Based Industries Joint Undertaking (BBI)				50 000 000	p.m.	50 000 000	p.m.

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02 07 35	Clean Sky 2 Joint Undertaking (Clean Sky 2) — Support expenditure				1 225 333	1 225 333	1 225 333	1 225 333
08 02 07 36	Clean Sky 2 Joint Undertaking (Clean Sky 2)				100 000 000	13 000 000	100 000 000	13 000 000
08 02 07 37	Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) — Support expenditure				292 667	292 667	292 667	292 667
08 02 07 38	Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)				93 354 000	p.m.	93 354 000	p.m.
	<i>Article 08 02 07 — Subtotal</i>				453 639 500	32 585 500	453 639 500	32 585 500
08 02 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>							
08 02 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
08 02 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 08 02 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
08 02 51	<i>Completion of previous research framework programme — Seventh Framework Programme — EC indirect action (2007 to 2013)</i>							
08 02 52	<i>Completion of previous research framework programmes — Indirect action (prior to 2007)</i>	1.1	p.m.	2 573 292 180			p.m.	2 573 292 180
08 02 77	<i>Pilot projects and preparatory actions</i>							
08 02 77 01	Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming	2	p.m.	125 000			p.m.	125 000
08 02 77 02	Pilot project — Recovering critical raw materials through recycling: an opportunity for the European Union and the African Union	4	—	112 500			—	112 500
	<i>Article 08 02 77 — Subtotal</i>		p.m.	237 500			p.m.	237 500
	Chapter 08 02 — Total		4 891 034 242	3 131 406 870	143 114 376	2 985 500	5 034 148 618	3 134 392 370

Remarks

Horizon 2020 is the Union's new funding programme for research and innovation. It covers the period between 2014 and 2020 and brings together all existing Union research and innovation funding, including the Framework Programme for Research, the innovation related activities of the Competitiveness and Innovation Framework Programme and the European Institute of Innovation and Technology (EIT). The Euratom Research and Training Programme (2014-2018), based on the Euratom Treaty, is also an integral part of Horizon 2020. The Programme shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

This appropriation will be used in accordance with the Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' (COM(2011) 810 final).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Article 08 02 01 — Excellent science

Remarks

This priority of Horizon 2020 aims to reinforce and extend the excellence in the Union's science base and ensure a steady stream of world-class research to secure Europe's long-term competitiveness. It will support the best ideas, develop talent within Europe, provide researchers with access to priority research infrastructure, and make Europe an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without pre-determined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

Item 08 02 01 01 — Strengthening frontier research in ERC — European Research Council

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 535 651 894	19 785 657	106 120 800		1 641 772 694	19 785 657

Remarks

New item

The fundamental activity of the European Research Council (ERC) shall be to provide attractive long-term funding to support excellent investigators and their research teams to pursue ground-breaking, high-gain/high-risk research. Particular priority shall be given to assisting excellent starting researchers to make the transition to independence by providing adequate support at the critical stage, when they are setting up or consolidating their own research team or programme. The ERC shall also give support, as necessary, to emerging new ways of working in the scientific world with the potential to create breakthrough results and facilitate exploration of the commercial and social innovation potential of the research which it funds.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(1)(a) thereof.

Item 08 02 01 02 — Strengthening research in FET — Future and Emerging Technologies

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.			p.m.	p.m.

Remarks

New item

The FET activity shall support fundamental science and technology research exploring new future technologies by challenging current paradigms and venturing into unknown areas. In addition, FET shall address a number of promising exploratory research themes with the potential to generate a critical mass of inter-related projects that, together, make up a broad and multifaceted exploration of the themes and build a European pool of knowledge. Finally, FET shall support ambitious large-scale, science-driven research aiming to achieve a scientific breakthrough. Such activities will benefit from the alignment of European and national agendas.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(1)(b) thereof.

Item 08 02 01 03 — Strengthening European research infrastructures, including e-infrastructures

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
171 632 176	309 837			171 632 176	309 837

Remarks

New item

Research infrastructures activity will ensure the implementation and operation of the ESFRI (European Strategy Forum for Research Infrastructure) and other world-class research infrastructures, including the development of regional partner facilities, for 2020 and beyond. In addition, the integration of and access to national research infrastructures and the development, deployment and operation of e-infrastructures will be ensured. The activity will also encourage research infrastructures to act as early adopters of technology, promote R&D partnerships with industry, facilitate industrial use of research infrastructures and stimulate the creation of innovation clusters.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(1)(d) thereof.

Article 08 02 02 — Industrial leadership

Remarks

This priority of Horizon 2020 aims at making Europe a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of European companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

Item 08 02 02 01 — Leadership in nanotechnologies, advanced materials, biotechnology and advanced manufacturing and processing

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
468 347 600	42 681 808	-7 499 759		460 847 841	42 681 808

Remarks

New item

Leadership in enabling and industrial technologies shall provide dedicated support for research, development and demonstration on nanotechnology, advanced materials, biotechnology and advanced manufacturing and processing. Emphasis will be placed on interactions and convergence across and between the different technologies. In addition, emphasis shall be on R&D, large-scale pilots and demonstration activities, test beds and living labs, prototyping and product validation in pilot lines. Activities shall be designed to boost industrial competitiveness by stimulating industry, and in particular SMEs, to make more research and innovation investment.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(2)(a)(ii) to (v) thereof.

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
384 814 753	326 766 435			384 814 753	326 766 435

Remarks

New item

Access to risk finance activity will set up two financial facilities, namely a debt facility and an equity facility, in order to help remedy the current market deficiencies in accessing risk finance for research and innovation: the goal of the debt facility is to improve access to debt financing for public and private entities and public-private partnerships engaged in research and innovation activities requiring riskier investments; the goal of the equity facility is in particular to contribute to overcoming the deficiencies of the European venture capital market and provide equity and quasi-equity to cover the development and financing needs of innovating enterprises from the early stage (including seed stage and technology transfer), so that they can grow and expand. In addition to these financial facilities to be implemented in complementarity with Cosme's facilities as far as support to SMEs is concerned, a set of accompanying measures, such as support to investment-readiness schemes, will be provided.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenues may give rise to the provision of additional appropriations in accordance with Articles 21(3)(i) of the Financial Regulation.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(2)(b) thereof.

Item 08 02 02 03 — Increasing innovation in small and medium-sized enterprises (SMEs)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
33 663 565	3 067 854			33 663 565	3 067 854

Remarks

New item

To support the participation of SMEs in Horizon 2020 a dedicated market-oriented instrument will be introduced, which will target all types of innovative SMEs that wish to develop, grow and internationalise. In addition, support will be provided to research intensive SMEs in transnational research projects. Activities enhancing the innovation capacity of SMEs and improving the framework conditions for innovation will also be supported.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(2)(c) thereof.

Article 08 02 03 — Societal challenges

Remarks

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Item 08 02 03 01 — Improving lifelong health and wellbeing

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
752 711 715	56 718 438	-207 300 000	-16 600 000	545 411 715	40 118 438

Remarks

New item

Lifelong health and wellbeing for all, high-quality and economically sustainable health and care systems, and opportunities for new jobs and growth in the health sector and its related industries are the aims of this activity. To that respect activities will focus on

effective health promotion and disease prevention (e.g. understanding the determinants of health, developing better preventive vaccines). In addition, emphasis will be placed to manage, treat and cure disease, disability and reduced functionality (e.g. by transferring knowledge to clinical practice and scalable innovation actions, better use of health data, independent and assisted living). Furthermore, efforts will be made to improve decision making in prevention and treatment provision, identify and support the dissemination of best practice in the healthcare sector, and support integrated care and the uptake of technological, organisational and social innovations empowering in particular older persons as well as disabled persons to remain active and independent.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(a) thereof.

Item 08 02 03 02 — Improving food security, developing sustainable agriculture, marine and maritime research and the bio-economy

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
246 542 110	22 468 062	-42 500 241		204 041 869	22 468 062

Remarks

New item

This activity will focus on developing more sustainable and productive agriculture and forestry systems, while at the same time developing services, concepts and policies for thriving rural livelihoods. In addition, emphasis will be placed on healthy and safe foods for all as well as competitive food processing methods that use fewer resources and produce fewer by-products. In parallel, efforts will be made on sustainably exploiting aquatic living resources (e.g. sustainable and environmentally friendly fisheries). Low carbon, resource efficient, sustainable and competitive European bio-based industries will also be promoted.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(b) thereof.

Item 08 02 03 03 — Making the transition to a reliable, sustainable and competitive energy system

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
314 442 301	28 655 994	-36 007 673		278 434 628	28 655 994

Remarks

New item

Secure, clean and efficient energy activity will focus on reducing energy consumption and carbon footprint (e.g. affordable technology components and systems with in-built intelligence) as well as low-cost, low-carbon electricity supply (e.g. research, development and full scale demonstration of innovative renewables and carbon capture and storage technologies). In addition, emphasis will be placed on alternative fuels and mobile energy sources and on developing a single, smart European electricity grid. At the same time the activity will focus on multi-disciplinary research for energy technologies and joint implementation of pan-European research programmes as well as world-class facilities. Furthermore, tools, methods and models for a robust and transparent policy support will be developed while the market uptake of energy innovation will be facilitated too.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(c) thereof.

Item 08 02 03 04 — Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
517 879 845	21 086 531	-123 338 251	-13 000 000	394 541 594	8 086 531

Remarks

New item

Under this activity emphasis will be placed on resource efficient transport (e.g. accelerating the development and deployment of a new generation of electric and other low or zero emission aircrafts, vehicles and vessels) as well as on better mobility with less congestion and more safety and security (e.g. promoting integrated door-to-door transport and logistics). The focus will also be on reinforcing the competitiveness and performance of European transport manufacturing industries and related services, by, for instance, developing the next generation of innovative transport means and preparing the ground for the following one. Activities aiming at improving the understanding of transport related socioeconomic trends and prospects, and providing policy makers with evidence-based data and analyses will be supported too.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(d) thereof.

Item 08 02 03 05 — Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
271 940 800	2 478 694			271 940 800	2 478 694

Remarks

New item

The main focus of this activity is to achieve a resource efficient and climate change resilient economy and a sustainable supply of raw materials in order to meet the needs of a growing global population within the sustainable limits of the planet's natural resources. To that respect emphasis will be placed on fighting and adapting to climate change, on sustainably managing natural resources and ecosystems and on enabling the transition towards a green economy through eco-innovation. Comprehensive and sustained global environmental observation and information systems will be developed too.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(e) thereof.

Item 08 02 03 06 — Fostering inclusive, innovative and secure European societies

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
193 407 483	17 625 757			193 407 483	17 625 757

Remarks

New item

The aim of this activity is to foster the development of inclusive and innovative societies through enhancing social, economic and political inclusion, promoting smart, sustainable and inclusive growth in Europe and supporting positive inter-cultural dynamics. The results of this research should underpin policies that combat poverty and prevent the development of various forms of divisions, discriminations and inequalities in European societies, such as gender inequalities or innovation divide. This will also include ensuring societal engagement in research and innovation, strengthening evidence base for the Innovation Union and the European Research Area as well as promoting coherent and effective cooperation with third countries.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(f) thereof.

Article 08 02 07 — Joint Undertakings

Item 08 02 07 31 — Innovative Medicines Initiative 2 Joint Undertaking (IMI2) — Support expenditure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		490 000	490 000	490 000	490 000

Remarks

New Item

The Innovative Medicines Initiative 2 Joint Undertaking (IMI2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge 'Health, demographic change and wellbeing'. It shall have the objective of improving European citizens' health and wellbeing by providing new and more effective diagnostics and treatments such as new antimicrobial treatments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Innovative Medicines Initiative 2 Joint Undertaking (IMI2), submitted by the Commission on 10 July 2013 (COM(2013) 495 final).

Item 08 02 07 32 — Innovative Medicines Initiative 2 Joint Undertaking (IMI2)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		207 300 000	16 600 000	207 300 000	16 600 000

Remarks

New Item

The Innovative Medicines Initiative 2 Joint Undertaking (IMI2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge 'Health, demographic change and wellbeing'. It shall have the objective of improving European citizens' health and wellbeing by providing new and more effective diagnostics and treatments such as new antimicrobial treatments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding

appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Innovative Medicines Initiative 2 Joint Undertaking (IMI2), submitted by the Commission on 10 July 2013 (COM(2013) 495 final).

Item 08 02 07 33 — Bio-Based Industries Joint Undertaking (BBI) — Support expenditure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		977 500	977 500	977 500	977 500

Remarks

New Item

The Bio-Based Industries Joint Undertaking (BBI) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenges ‘Food security, sustainable agriculture, marine and marine research and the bioeconomy’ and Industrial leadership ‘Key Enabling Technologies (BIOKET)’. It shall have the objective of developing new and competitive bio-based value chains that replace the need for fossil fuels and have a strong impact on rural development.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Bio-Based Industries Joint Undertaking (BBI), submitted by the Commission on 10 July 2013 (COM(2013) 496 final).

Item 08 02 07 34 — Bio-Based Industries Joint Undertaking (BBI)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		50 000 000	p.m.	50 000 000	p.m.

Remarks

New Item

The Bio-Based Industries Joint Undertaking (BBI) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenges 'Food security, sustainable agriculture, marine and marine research and the bioeconomy' and Industrial leadership 'Key Enabling Technologies (BIOKET)'. It shall have the objective of developing new and competitive bio-based value chains that replace the need for fossil fuels and have a strong impact on rural development.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Bio-Based Industries Joint Undertaking (BBI), submitted by the Commission on 10 July 2013 (COM(2013) 496 final).

Item 08 02 07 35 — Clean Sky 2 Joint Undertaking (Clean Sky 2) — Support expenditure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		1 225 333	1 225 333	1 225 333	1 225 333

Remarks

New Item

The Clean Sky Joint Undertaking (Clean Sky 2) shall contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Smart, Green and Integrated Transport'. It shall have the objective of radically reducing the environmental impact of the next generation of aircraft.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Clean Sky Joint Undertaking (Clean Sky 2), submitted by the Commission on 10 July 2013 (COM(2013) 505 final).

Item 08 02 07 36 — Clean Sky 2 Joint Undertaking (Clean Sky 2)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		100 000 000	13 000 000	100 000 000	13 000 000

Remarks

New Item

The Clean Sky Joint Undertaking (Clean Sky 2) shall contribute to the implementation of Horizon 2020 and in particular the societal challenge ‘Smart, Green and Integrated Transport’. It shall have the objective of radically reducing the environmental impact of the next generation of aircraft.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Clean Sky Joint Undertaking (Clean Sky 2), submitted by the Commission on 10 July 2013 (COM(2013) 505 final).

Item 08 02 07 37 — Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) — Support expenditure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		292 667	292 667	292 667	292 667

Remarks

New Item

The Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenges ‘Secure, clean and efficient energy’ and ‘Smart, green and integrated transport’. It shall have the objective of developing commercially viable, clean, solutions that use hydrogen as an energy carrier and of fuel cells as energy converters.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding

appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2), submitted by the Commission on 10 July 2013 (COM(2013) 506 final).

Item 08 02 07 38 — Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		93 354 000	p.m.	93 354 000	p.m.

Remarks

New Item

The Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenges ‘Secure, clean and efficient energy’ and ‘Smart, green and integrated transport’. It shall have the objective of developing commercially viable, clean, solutions that use hydrogen as an energy carrier and of fuel cells as energy converters.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2), submitted by the Commission on 10 July 2013 (COM(2013) 506 final).

CHAPTER 08 04 — ITER PROGRAMME

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 04	ITER Programme							
08 04 01	Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)	1.1	933 159 405	78 179 614	-212 241 600		720 917 805	78 179 614
08 04 50	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development							
08 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
08 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 08 04 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
08 04 51	Completion of European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013)	1.1	p.m.	502 686 062			p.m.	502 686 062
	Chapter 08 04 — Total		933 159 405	580 865 676	-212 241 600		720 917 805	580 865 676

Remarks

The ITER project aims to demonstrate fusion as a viable and sustainable source of energy by building and operating an experimental fusion reactor as a major step towards the creation of prototype reactors for fusion power stations that are safe, sustainable, environmentally responsible, and economically viable. This Programme will contribute to the Europe 2020 strategy and in particular to its Innovation Union flagship initiative as the mobilisation of European high-tech industries, which are involved in the construction of ITER, should provide the EU with a global competitive advantage in this promising sector.

The project brings together seven parties: the European Union, China, India, Japan, South Korea, Russia, and the United States.

Article 08 04 01 — Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
933 159 405	78 179 614	-212 241 600		720 917 805	78 179 614

Remarks

New article

The objective of this supplementary research programme is to implement ITER, a major experimental facility which will demonstrate the scientific and technical feasibility of fusion power. This will be followed by the construction of a demonstration fusion power plant (DEMO).

To this end, the European Organisation for ITER and the Development of Fusion Energy, in the form of a Joint Undertaking was established. This European Joint Undertaking for ITER and the Development of Fusion Energy ('Fusion for Energy') has the following tasks:

- to provide the contribution of Euratom to the ITER International Fusion Energy Organization, which brings together seven parties that represent half of the world's population: the EU, Russia, Japan, China, India, South Korea and the United States,

- to provide the contribution of Euratom to broader approach activities with Japan for the rapid realisation of fusion energy,
- to implement a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities.

Reference acts

Proposal for a Decision of the Council, submitted by the Commission on 21 November 2011, on the adoption of a Supplementary Research Programme for the ITER project (2014-2018) (COM(2011) 931 final), and in particular Article 1 thereof.

TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 01	Administrative expenditure of the 'Communications networks, content and technology' policy area		127 753 768	127 753 768	-540 000	-540 000	127 213 768	127 213 768
09 02	Regulatory framework for the Digital Agenda		16 526 948	17 094 948			16 526 948	17 094 948
09 03	Connecting Europe Facility (CEF) — Telecommunications networks	1	73 915 000	19 179 000			73 915 000	19 179 000
09 04	Horizon 2020	1	1 402 774 619	810 480 400	540 000	540 000	1 403 314 619	811 020 400
Title 09 — Total			1 620 970 335	974 508 116			1 620 970 335	974 508 116

CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014	Letter of amendment No. 1/2014	New amount
09 01	Administrative expenditure of the 'Communications networks, content and technology' policy area				
09 01 01	Expenditure related to officials and temporary staff in the 'Communications networks, content and technology' policy area	5.2	39 552 248		39 552 248
09 01 02	External personnel and other management expenditure in support of the 'Communications networks, content and technology' policy area				
09 01 02 01	External personnel	5.2	2 283 186		2 283 186
09 01 02 11	Other management expenditure	5.2	1 660 578		1 660 578
	<i>Article 09 01 02 — Subtotal</i>		3 943 764		3 943 764
09 01 03	Expenditure related to information and communication technology equipment and services of the 'Communications networks, content and technology' policy area	5.2	2 471 376		2 471 376
09 01 04	Support expenditure for operations and programmes in the 'Communications networks, content and technology' policy area				
09 01 04 01	Support expenditure for Connecting Europe Facility (CEF) — Information and Communication Technologies (ICT)	1.1	260 000		260 000
	<i>Article 09 01 04 — Subtotal</i>		260 000		260 000
09 01 05	Support expenditure for Research and Innovation programmes in the 'Communications networks, content and technology' policy area				
09 01 05 01	Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020	1.1	49 463 235		49 463 235
09 01 05 02	External personnel implementing Research and Innovation programmes — Horizon 2020	1.1	12 875 000		12 875 000
09 01 05 03	Other management expenditure for Research and Innovation programmes — Horizon 2020	1.1	19 188 145	-540 000	18 648 145

Title Chapter Article Item	Heading	FF	Draft budget 2014	Letter of amendment No. 1/2014	New amount
	<i>Article 09 01 05 — Subtotal</i>		81 526 380	-540 000	80 986 380
	Chapter 09 01 — Total		127 753 768	-540 000	127 213 768

Article 09 01 05 — Support expenditure for Research and Innovation programmes in the ‘Communications networks, content and technology’ policy area

Item 09 01 05 01 — Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
49 463 235		49 463 235

Remarks

Former Article 09 01 01 (in part) and former Item 09 01 05 01

This appropriation covers expenditure relating to officials and temporary agents implementing Research and Innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary agents posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 09 04.

Item 09 01 05 02 — External personnel implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
12 875 000		12 875 000

Remarks

This appropriation is intended to cover expenditure on external personnel implementing Research and Innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 09 04.

Item 09 01 05 03 — Other management expenditure for Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
19 188 145	-540 000	18 648 145

Remarks

Former Items 09 01 05 03 and 09 01 04 03 (in part)

This appropriation is intended to cover other administrative expenditure for all management of Research and Innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as, but not limited to, conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representations expenditures.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 09 04.

CHAPTER 09 04 — HORIZON 2020

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 04	Horizon 2020							
09 04 01	Excellent science							
09 04 01 01	Strengthening research in FET — Future and Emerging Technologies	1.1	241 003 372	10 300 623			241 003 372	10 300 623
09 04 01 02	Strengthening European research infrastructures, including e-infrastructures	1.1	96 956 907	2 101 017			96 956 907	2 101 017
	<i>Article 09 04 01 — Subtotal</i>		337 960 279	12 401 640			337 960 279	12 401 640
09 04 02	Industrial leadership							
09 04 02 01	Leadership in information and communications technology	1.1	855 260 961	77 942 289	-135 000 000	-33 750 000	720 260 961	44 192 289
	<i>Article 09 04 02 — Subtotal</i>		855 260 961	77 942 289	-135 000 000	-33 750 000	720 260 961	44 192 289

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 04 03	<i>Societal Challenges</i>							
09 04 03 01	Improving lifelong health and well-being	1.1	131 580 377	11 991 283			131 580 377	11 991 283
09 04 03 02	Fostering inclusive, innovative and secure European societies	1.1	77 973 002	505 313			77 973 002	505 313
	<i>Article 09 04 03 — Subtotal</i>		209 553 379	12 496 596			209 553 379	12 496 596
09 04 07	<i>Joint Undertakings</i>							
09 04 07 31	Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) — Support expenditure				540 000	540 000	540 000	540 000
09 04 07 32	Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)				135 000 000	33 750 000	135 000 000	33 750 000
	<i>Article 09 04 07 — Subtotal</i>				135 540 000	34 290 000	135 540 000	34 290 000
09 04 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>							
09 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
09 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 09 04 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
09 04 51	<i>Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)</i>	1.1	p.m.	623 213 932			p.m.	623 213 932
09 04 52	<i>Completion of previous research framework programmes (prior to 2007)</i>	1.1	—	p.m.			—	p.m.
09 04 53	<i>Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP)</i>							
09 04 53 01	Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP) (2007 to 2013)	1.1	p.m.	84 425 943			p.m.	84 425 943
09 04 53 02	Completion of previous Information and Communication Technologies (ICT) programmes (prior to 2007)	1.1	—	p.m.			—	p.m.
	<i>Article 09 04 53 — Subtotal</i>		p.m.	84 425 943			p.m.	84 425 943
	Chapter 09 04 — Total		1 402 774 619	810 480 400	540 000	540 000	1 403 314 619	811 020 400

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 Framework Programme of the European Union for research and innovation which covers the period 2014 to 2020.

The Programme shall play a central role in the implementation of the Europe 2020 flagship initiative ‘Innovation Union’ and other flagship initiatives, notably ‘Resource efficient Europe’, ‘An industrial policy for the globalisation era’, and ‘A digital agenda for Europe’, as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with the Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, laying down the rules for the participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ (COM(2011) 810 final).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 09 04 50 01.

Administrative appropriations of this Chapter will be provided under Chapter 09 01 05.

Article 09 04 02 — Industrial leadership

Remarks

This priority of Horizon 2020 aims at making Europe a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of European companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

Item 09 04 02 01 — Leadership in information and communications technology

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
855 260 961	77 942 289	-135 000 000	-33 750 000	720 260 961	44 192 289

Remarks

New item

The specific objective is to maintain and build global leadership in enabling technologies, which underpin competitiveness across a range of existing and emerging industries and sectors. In line with the Digital Agenda for Europe, the specific objective of ICT research and innovation (R&I) is to enable Europe to develop and exploit the opportunities brought by ICT progress for the benefits of its citizens, businesses and scientific communities.

ICT underpins innovation and competitiveness across a broad range of private and public markets and sectors, and enables scientific progress in all disciplines. Over the next decade, the transformative impact of digital technologies, ICT components, infrastructures and services will be increasingly visible in all areas of life.

Activities will strengthen Europe's scientific and technology base and insure its global leadership in ICTs, help drive and stimulate innovation through ICT use and ensure that ICT progress is rapidly transformed into benefits for Europe's citizens, businesses, industry and governments. The activities under LEIT will be primarily based on research and innovation agendas defined by industry and business, together with the research community and have a strong focus on leveraging private sector investment.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this Article are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the Specific Programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(2)(a)(i) thereof.

Article 09 04 07 — Joint Undertakings

Item 09 04 07 31 — Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) — Support expenditure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		540 000	540 000	540 000	540 000

Remarks

New Item

The Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) shall contribute to the implementation of Horizon 2020 and in particular the Industrial leadership ‘information and communications technology’. It shall have the objective of keeping Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL), submitted by the Commission on 10 July 2013 (COM(2013) 501 final).

Item 09 04 07 32 — Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		135 000 000	33 750 000	135 000 000	33 750 000

Remarks

New Item

The Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) shall contribute to the implementation of Horizon 2020 and in particular the Industrial leadership ‘information and communications technology’. It shall have the objective of keeping Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final).

Proposal for a Regulation of the Council on the Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL), submitted by the Commission on 10 July 2013 (COM(2013) 501 final).

TITLE 11 — MARITIME AFFAIRS AND FISHERIES

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area		41 823 547	41 823 547			41 823 547	41 823 547
11 03	Compulsory contributions to Regional Fisheries Management Organisations (RFMOs) and other International Organisations and Sustainable Fisheries Agreements (SFAs)	2	28 368 000	26 746 341			28 368 000	26 746 341
	40 02 41		122 662 000	122 662 000			122 662 000	122 662 000
			151 030 000	149 408 341			151 030 000	149 408 341
11 06	European Maritime and Fisheries Fund (EMFF)	2	870 691 709	621 974 650	p.m.	p.m.	870 691 709	621 974 650
	Title 11 — Total		940 883 256	690 544 538	p.m.	p.m.	940 883 256	690 544 538
	40 02 41		122 662 000	122 662 000			122 662 000	122 662 000
	Total including reserves		1 063 545 256	813 206 538			1 063 545 256	813 206 538

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF)

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 06	European Maritime and Fisheries Fund (EMFF)							
11 06 01	Completion of the Financial Instrument for Fisheries Guidance (FIG) — Objective 1 (2000 to 2006)	2	p.m.	25 500 000			p.m.	25 500 000
11 06 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	2	—	—			—	—
11 06 03	Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
11 06 04	Completion of the Financial Instrument for Fisheries Guidance (FIG) — Outside Objective 1 areas (2000 to 2006)	2	p.m.	15 312 123			p.m.	15 312 123
11 06 05	Completion of earlier programmes — Former Objective 5a (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
11 06 06	Completion of earlier programmes — Initiatives prior to 2000	2	—	—			—	—
11 06 08	Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)	2	—	—			—	—
11 06 09	Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco	2	p.m.	p.m.			p.m.	p.m.
11 06 11	Completion of European Fisheries Fund (EFF) — Operational technical assistance (2007 to 2013)	2	p.m.	2 444 057			p.m.	2 444 057
11 06 12	Completion of European Fisheries Fund (EFF) — Convergence objective (2007 to 2013)	2	p.m.	319 099 347			p.m.	319 099 347
11 06 13	Completion of European Fisheries Fund (EFF) — Non-convergence objective (2007 to 2013)	2	p.m.	106 250 000			p.m.	106 250 000
11 06 14	Completion of Intervention in fishery products (2007 to 2013)	2	p.m.	6 800 000			p.m.	6 800 000
11 06 15	Completion of Fisheries programme for the outermost regions (2007 to 2013)	2	p.m.	10 835 165			p.m.	10 835 165

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitment s	Payments	Commitments	Payments	Commitment s	Payments
11 06 60	Promoting sustainable and competitive fisheries and aquaculture, balanced and inclusive territorial development of fisheries areas and fostering the implementation of the CFP	2	753 443 838	44 793 561			753 443 838	44 793 561
11 06 61	Fostering the development and implementation of the Union's Integrated Maritime Policy (IMP)	2	43 416 876	15 112 994			43 416 876	15 112 994
11 06 62	Accompanying measures for the Common Fisheries Policy and the Integrated Maritime Policy							
11 06 62 01	Scientific advice and knowledge	2	14 415 220	22 442 461			14 415 220	22 442 461
11 06 62 02	Control and enforcement	2	24 808 000	27 251 560			24 808 000	27 251 560
11 06 62 03	Voluntary contributions to international organisations	2	9 490 000	6 412 132			9 490 000	6 412 132
11 06 62 04	Governance and communication	2	6 809 400	4 857 767			6 809 400	4 857 767
11 06 62 05	Market intelligence	2	4 745 000	1 901 598			4 745 000	1 901 598
	<i>Article 11 06 62 — Subtotal</i>		60 267 620	62 865 518			60 267 620	62 865 518
11 06 63	European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance							
11 06 63 01	European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance	2	4 846 475	2 994 985			4 846 475	2 994 985
11 06 63 02	European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance managed by the Commission at the request of a Member State	2			p.m.	p.m.	p.m.	p.m.
	<i>Article 11 06 63 — Subtotal</i>		4 846 475	2 994 985	p.m.	p.m.	4 846 475	2 994 985
11 06 64	European Fisheries Control Agency (EFCA)	2	8 716 900	8 716 900			8 716 900	8 716 900
11 06 77	Pilot projects and preparatory actions							
11 06 77 01	Preparatory action — Monitoring centre for fisheries market prices	2	p.m.	p.m.			p.m.	p.m.
11 06 77 02	Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders	2	p.m.	450 000			p.m.	450 000
11 06 77 03	Preparatory action — Maritime policy	2	—	—			—	—
11 06 77 04	Pilot project — Networking and best practices in maritime policy	2	—	—			—	—
11 06 77 05	Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products	2	p.m.	200 000			p.m.	200 000
11 06 77 06	Preparatory action — Guardians of the Sea	2	p.m.	600 000			p.m.	600 000
	<i>Article 11 06 77 — Subtotal</i>		p.m.	1 250 000			p.m.	1 250 000
	Chapter 11 06 — Total		870 691 709	621 974 650	p.m.	p.m.	870 691 709	621 974 650

Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Item 6 5 0 0 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Article 21 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Regulation (EC) No 1260/1999 determines the conditions for repayment of an advance without having the effect of reducing the contribution from the Structural Funds towards the assistance concerned. Any revenue from the repayment of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation.

Article 80 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') provides for financial corrections in case of expenditure incurred in breach of applicable law.

Articles 77, 136 and 137 of the amended proposal for a Regulation COM(2013) 246 final on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the EMFF.

Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (EFF) (OJ L 223, 15.8.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) and in particular Articles 21 (3) (4), 80 and 177 thereof.

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council on the European Maritime and Fisheries Fund (repealing Council Regulations (EC) No 1198/2006 and (EC) No 861/2006 and Council Regulation (EU) No XXX/2011 on integrated maritime policy) (COM(2011) 804 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Article 11 06 63 — European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance

Item 11 06 63 01 — European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 846 475	2 994 985			4 846 475	2 994 985

Remarks

New item

This appropriation is intended to cover EMFF technical assistance measures as provided for in Article 91 of the proposal for a Regulation of the European Parliament and of the Council on the European Maritime and Fisheries Fund (COM(2011) 804 final).

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EMFF.

It may, in particular, be used to cover:

- studies, evaluations and expert reports,
- actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries,
- the installation, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation,
- actions to improve evaluation methods and the exchange of information on evaluation practices,
- actions related to audit,
- the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council on the European Maritime and Fisheries Fund (repealing Council Regulations (EC) No 1198/2006 and (EC) No 861/2006 and Council Regulation (EU) No XXX/2011 on integrated maritime policy) (COM(2011) 804 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Item 11 06 63 02 — European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance managed by the Commission at the request of a Member State

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		p.m.	p.m.	p.m.	p.m.

Remarks

New item

This item is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with the proposed Article 22bis of the Regulation proposed under COM(2013) 246 final, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council on the European Maritime and Fisheries Fund (repealing Council Regulations (EC) No 1198/2006 and (EC) No 861/2006 and Council Regulation (EU) No XXX/2011 on integrated maritime policy) (COM(2011) 804 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006 (COM(2013) 246 final).

TITLE 13 — REGIONAL AND URBAN POLICY

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 01	Administrative expenditure of the 'Regional and urban policy' policy area		84 463 284	84 463 284			84 463 284	84 463 284
13 03	European Regional Development Fund (ERDF) and other regional operations	1	25 077 900 000	28 567 049 014	-99 900 000	p.m.	24 978 000 000	28 567 049 014
13 04	Cohesion Fund (CF)	1	7 963 000 000	11 125 500 000	p.m.	p.m.	7 963 000 000	11 125 500 000
13 05	Instrument for Pre-Accession Assistance — Regional development and regional and territorial cooperation		39 000 000	427 393 200			39 000 000	427 393 200
13 06	Solidarity Fund	9	p.m.	p.m.			p.m.	p.m.
Title 13 — Total			33 164 363 284	40 204 405 498	-99 900 000	p.m.	33 064 463 284	40 204 405 498

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF) AND OTHER REGIONAL OPERATIONS

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03	European Regional Development Fund (ERDF) and other regional operations							
13 03 01	<i>Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 02	<i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 03	<i>Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 04	<i>Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 05	<i>Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 06	<i>Completion of Urban (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 07	<i>Completion of earlier programmes — Community initiatives (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 08	<i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 09	<i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 12	<i>Union contribution to the International Fund for Ireland</i>	1.1	p.m.	p.m.			p.m.	p.m.
13 03 13	<i>Completion of Interreg III Community initiative (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 14	<i>Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 16	<i>Completion of European Regional Development Fund (ERDF) — Convergence</i>	1.2	p.m.	21 544 000 000			p.m.	21 544 000 000
13 03 17	<i>Completion of European Regional Development Fund (ERDF) — PEACE</i>	1.2	p.m.	26 000 000			p.m.	26 000 000
13 03 18	<i>Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment</i>	1.2	p.m.	4 162 000 000			p.m.	4 162 000 000
13 03 19	<i>Completion of European Regional Development Fund (ERDF) — European territorial cooperation</i>	1.2	p.m.	1 167 000 000			p.m.	1 167 000 000
13 03 20	<i>Completion of European Regional Development Fund (ERDF) — Operational technical assistance</i>	1.2	p.m.	25 600 000			p.m.	25 600 000
13 03 31	<i>Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea Region and an improved knowledge of macroregions strategy (2007 to 2013)</i>	1.2	p.m.	1 600 000			p.m.	1 600 000
13 03 40	<i>Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 41	<i>Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 60	<i>European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal</i>	1.2	17 627 800 000	1 125 000 000			17 627 800 000	1 125 000 000
13 03 61	<i>European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal</i>	1.2	2 865 400 000	175 000 000			2 865 400 000	175 000 000

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 62	European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal	1.2	3 581 600 000	218 000 000	69 300 000		3 650 900 000	218 000 000
13 03 63	European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal	1.2	209 100 000	13 000 000			209 100 000	13 000 000
13 03 64	European Regional Development Fund (ERDF) — European territorial cooperation	1.2	674 900 000	56 000 000	-169 200 000		505 700 000	56 000 000
13 03 65	European Regional Development Fund (ERDF) — Operational technical assistance							
13 03 65 01	European Regional Development Fund (ERDF) — Operational technical assistance	1.2	69 000 000	47 000 000			69 000 000	47 000 000
13 03 65 02	European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State	1.2			p.m.	p.m.	p.m.	p.m.
	<i>Article 13 03 65 — Subtotal</i>		69 000 000	47 000 000	p.m.	p.m.	69 000 000	47 000 000
13 03 66	European Regional Development Fund (ERDF) — Innovative Actions in the field of Sustainable Urban Development	1.2	50 100 000	p.m.			50 100 000	p.m.
13 03 77	Pilot projects and preparatory actions							
13 03 77 01	Pilot project — Pan-European coordination of Roma integration methods	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 02	Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 03	Preparatory action — Promoting a more favourable environment for micro-credit in Europe	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 04	Pilot project — Suburbs sustainable regeneration	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 05	Preparatory action — RURBAN — Partnership for sustainable urban-rural development	1.2	p.m.	549 014			p.m.	549 014
13 03 77 06	Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	2 000 000			p.m.	2 000 000
13 03 77 07	Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination	1.2	p.m.	1 000 000			p.m.	1 000 000
13 03 77 08	Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region	1.2	p.m.	1 300 000			p.m.	1 300 000
13 03 77 09	Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy	1.2	—	600 000			—	600 000
13 03 77 10	Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status	1.2	p.m.	400 000			p.m.	400 000

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 77 11	Preparatory action — Erasmus for elected local and regional representatives	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 12	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region	1.2	p.m.	1 000 000			p.m.	1 000 000
	<i>Article 13 03 77 — Subtotal</i>		p.m.	6 849 014			p.m.	6 849 014
	Chapter 13 03 — Total		25 077 900 000	28 567 049 014	-99 900 000	p.m.	24 978 000 000	28 567 049 014

Remarks

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Regional Development Fund (ERDF). According to Article 176, the ERDF is intended to help redress the main regional imbalances in the Union. The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177.

Article 80 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') provides for financial corrections in case of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 77, 136 and 137 of the amended proposal for a Regulation COM(2013) 246 final on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ERDF.

Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing applicable to the ERDF.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded from Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, and in particular Articles 174, 175, 176 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1) and in particular Article 39 thereof.

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3)(4) and Articles 80 and 177 thereof.

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Article 13 03 62 — European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 581 600 000	218 000 000	69 300 000		3 650 900 000	218 000 000

Remarks

New article

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions concerns those regions whose GDP per capita is above 90 % of the average GDP of the EU-27.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on specific provisions concerning the European Regional Development Fund and the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (COM(2011) 614 final), and in particular Article 3(1) and Article 4(a) thereof.

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Article 13 03 64 — European Regional Development Fund (ERDF) — European territorial cooperation

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
674 900 000	56 000 000	-169 200 000		505 700 000	56 000 000

Remarks

New article

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation. It shall also include support for cooperation activities at the external borders of the Union, which is to be supported under the European Neighbourhood and Partnership Instrument and the instrument for Pre-Accession Assistance.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (COM(2011) 611 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on specific provisions concerning the European Regional Development Fund and the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (COM(2011) 614 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Article 13 03 65 — European Regional Development Fund (ERDF) — Operational technical assistance

Item 13 03 65 01 — European Regional Development Fund (ERDF) — Operational technical assistance

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
69 000 000	47 000 000			69 000 000	47 000 000

Remarks

New item

This appropriation is intended to support the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing the regulation proposed under COM(2013) 246 final as provided for in Articles 51 and 108 of that Regulation. In particular, it may be used to cover support expenditure (representation expenses, training, meetings, missions).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on specific provisions concerning the European Regional Development Fund and the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (COM(2011) 614 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Item 13 03 65 02 — European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		p.m.	p.m.	p.m.	p.m.

Remarks

New item

This item is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with the proposed Article 22bis of the Regulation proposed under COM(2013) 246 final, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on specific provisions concerning the European Regional Development Fund and the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (COM(2011) 614 final).

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final).

CHAPTER 13 04 — COHESION FUND (CF)

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 04	Cohesion Fund (CF)							
13 04 01	Completion of Cohesion Fund projects (prior to 2007)	1.2	p.m.	592 000 000			p.m.	592 000 000
13 04 02	Completion of Cohesion Fund (2007 to 2013)	1.2	p.m.	10 002 500 000			p.m.	10 002 500 000
13 04 03	Completion of Risk sharing instruments financed from the Cohesion Fund envelope (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
13 04 60	Cohesion Fund — Investment for growth and jobs goal	1.2	7 939 400 000	516 000 000			7 939 400 000	516 000 000
13 04 61	Cohesion Fund — Operational technical assistance							
13 04 61 01	Cohesion Fund — Operational technical assistance	1.2	23 600 000	15 000 000			23 600 000	15 000 000
13 04 61 02	Cohesion Fund — Operational technical assistance managed by the Commission at the request of a Member State	1.2			p.m.	p.m.	p.m.	p.m.
	Article 13 04 61 — Subtotal		23 600 000	15 000 000	p.m.	p.m.	23 600 000	15 000 000
	Chapter 13 04 — Total		7 963 000 000	11 125 500 000	p.m.	p.m.	7 963 000 000	11 125 500 000

Remarks

Article 177, second paragraph, of the Treaty on the Functioning of the European Union provides that a Cohesion Fund shall be set up to support projects in the fields of environment and trans-European networks in the area of transport infrastructure.

Article H of Annex II of Council regulation (EC), No 1164/94 of 16 May 1994, establishing a cohesion Fund, Articles 100 and 102 of Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund, and Articles 77, 136 and 137 of the amended proposal for a Regulation (COM(2013) 246 final) on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the Cohesion Fund.

Article 80 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') provides for financial corrections in case of expenditure incurred in breach of applicable law. Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of Regulation (EU, Euratom) No 966/2012 (the 'Financial Regulation') lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing applicable to the Cohesion Fund.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Anti-fraud operations will be financed under Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, and in particular Article 177 thereof.

Council Regulation (EC) No 1164/94 of 16 May 1994 (OJ L 130, 25.5.1994, p. 1), establishing a Cohesion Fund.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 100 and 102 thereof.

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing a Cohesion Fund and repealing Regulation (EC) No 1164/94 (OJ L 210, 31.7.2006, p. 79).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3)(4) and Articles 80 and 177 thereof.

Reference acts

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (COM(2011) 612 final).

Conclusions of the European Council of 7 and 8 February 2013.

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final), and in particular Articles 77 and 136 thereof.

Article 13 04 61 — Cohesion Fund — Operational technical assistance

Item 13 04 61 01 — Cohesion Fund — Operational technical assistance

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 600 000	15 000 000			23 600 000	15 000 000

Remarks

New item

This appropriation is intended to support the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing the regulation proposed under COM(2013) 246 final as provided for in Articles 51 and 108 of that Regulation. In particular, it may be used to cover support expenditure (representation expenses, training, meetings, missions).

Reference acts

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final) and in particular Articles 51 and 108 thereof.

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (COM(2011) 612 final).

Item 13 04 61 02 — Cohesion Fund — Operational technical assistance managed by the Commission at the request of a Member State

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		p.m.	p.m.	p.m.	p.m.

Remarks

New item

This item is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with the proposed Article 22bis of the Regulation proposed under COM(2013) 246 final, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

Reference acts

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 22 April 2013, laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2013) 246 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 October 2011, on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (COM(2011) 612 final).

TITLE 15 — EDUCATION AND CULTURE

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 01	Administrative expenditure of the 'Education and culture' policy area		126 155 604	126 155 604			126 155 604	126 155 604
15 02	Erasmus for all		1 419 417 292	1 223 743 693	137 500 000		1 556 917 292	1 223 743 693
15 03	Horizon 2020	1	860 550 559	726 541 065	106 120 800		966 671 359	726 541 065
15 04	Creative Europe	3	164 243 000	172 671 587			164 243 000	172 671 587
Title 15 — Total			2 570 366 455	2 249 111 949	243 620 800		2 813 987 255	2 249 111 949

CHAPTER 15 02 — ERASMUS FOR ALL

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 02	Erasmus for all							
15 02 01	<i>Promoting excellence and cooperation in the European education, training and youth area, its relevance to the labour market and the participation of young people in European democratic life</i>	1.1	1 331 256 892	897 175 183	137 500 000		1 468 756 892	897 175 183
15 02 02	<i>Developing excellence in teaching and research activities in European integration world-wide (Jean Monnet programme)</i>	1.1	34 546 000	24 217 999			34 546 000	24 217 999
15 02 03	<i>Developing the European dimension in sport</i>	1.1	16 167 000	9 333 711			16 167 000	9 333 711
15 02 11	<i>European Centre for the Development of Vocational Training (Cedefop)</i>	1.1	17 428 900	17 428 900			17 428 900	17 428 900
15 02 12	<i>European Training Foundation (ETF)</i>	4	20 018 500	20 018 500			20 018 500	20 018 500
15 02 51	<i>Completion line for Lifelong learning, including multilingualism</i>	1.1	p.m.	222 376 600			p.m.	222 376 600
15 02 53	<i>Completion line for Youth and Sport</i>	1.1	p.m.	30 000 000			p.m.	30 000 000
15 02 77 01	Preparatory action — Erasmus-style programme for apprentices	1.1	—	p.m.			—	p.m.
15 02 77 03	Pilot project to cover costs of studies for specialising in European Neighbourhood Policy (ENP) and for related academic activities, including setting-up the ENP Chair in the College of Europe Natolin Campus	1.1	p.m.	p.m.			p.m.	p.m.
15 02 77 04	Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges	1.1	—	p.m.			—	p.m.
15 02 77 05	Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)	1.1	p.m.	700 000			p.m.	700 000

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 02 77 06	Preparatory action — Amicus — Association of Member States Implementing a Community Universal Service	1.1	—	p.m.			—	p.m.
15 02 77 07	Preparatory action in the field of sport	1.1	p.m.	p.m.			p.m.	p.m.
15 02 77 08	Preparatory action — European partnerships on sport	1.1	p.m.	2 492 800			p.m.	2 492 800
	<i>Article 15 02 77 — Subtotal</i>		p.m.	3 192 800			p.m.	3 192 800
	Chapter 15 02 — Total		1 419 417 292	1 223 743 693	137 500 000		1 556 917 292	1 223 743 693

Article 15 02 01 — Promoting excellence and cooperation in the European education, training and youth area, its relevance to the labour market and the participation of young people in European democratic life

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 331 256 892	897 175 183	137 500 000		1 468 756 892	897 175 183

Remarks

New article

In line with the general objective, this appropriation is intended to pursue the following specific objectives in the areas of education, training and youth:

- to improve the level of key competences and skills regarding in particular their relevance for the labour market and society, as well as the participation of young people in democratic life in Europe, notably through increased learning mobility opportunities for young people, learners, staff and youth workers, and through strengthened cooperation between education, youth and the world of labour market,
- to foster quality improvements, innovation excellence and internationalisation at the level of educational institutions, as well as in youth work, notably through enhanced transnational cooperation between education and training providers/youth organisations and other stakeholders,
- to promote the emergence of a European lifelong learning area, trigger policy reforms at national level, support the modernisation of education and training systems, including non-formal learning, and support European cooperation in the youth field, notably through enhanced policy cooperation, better use of recognition and transparency tools and the dissemination of good practices,
- to enhance the international dimension of education, training and youth notably in higher education by increasing the attractiveness of the Union higher education institutions and supporting the Union external action, including its development objectives through the promotion of mobility and cooperation between EU and third country higher education institutions and targeted capacity building in third countries,
- to improve the teaching and learning of languages and promote linguistic diversity.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional

appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 23 November 2011, establishing 'Erasmus for all' the Union Programme for Education, Training, Youth and Sport (COM(2011) 788 final), and in particular Article 5 thereof.

CHAPTER 15 03 — HORIZON 2020

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 03	Horizon 2020							
15 03 01	Excellent science							
15 03 01 01	Marie Skłodowska-Curie actions — Generating new skills and innovation	1.1	625 490 915	57 002 709	106 120 800		731 611 715	57 002 709
	<i>Article 15 03 01 — Subtotal</i>		625 490 915	57 002 709	106 120 800		731 611 715	57 002 709
15 03 05	European Institute of Innovation and Technology — Integrating the knowledge triangle of research, innovation and education							
15 03 50	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development	1.1	235 059 644	121 406 196			235 059 644	121 406 196
15 03 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
15 03 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 15 03 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
15 03 51	Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)							
15 03 53	Completion line European Institute of Innovation and Technology	1.1	p.m.	497 574 108			p.m.	497 574 108
15 03 77	Pilot projects and preparatory actions	1.1	p.m.	50 059 452			p.m.	50 059 452
15 03 77 01	Pilot project — Knowledge partnerships	1.1	p.m.	498 600			p.m.	498 600
	<i>Article 15 03 77 — Subtotal</i>		p.m.	498 600			p.m.	498 600
	Chapter 15 03 — Total		860 550 559	726 541 065	106 120 800		966 671 359	726 541 065

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 Framework Programme of the European Union for research and innovation which covers the period 2014 to 2020.

The Programme shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to

building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with the Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, laying down the rules for the participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ (COM(2011) 810 final).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 15 03 50 01.

Administrative appropriations of this chapter will be provided under Article 15 01 05.

Article 15 03 01 — Excellent science

Remarks

This priority of Horizon 2020 aims to reinforce and extend the excellence in the Union's science base and ensure a steady stream of world-class research to secure Europe's long-term competitiveness. It will support the best ideas, develop talent within Europe, provide researchers with access to priority research infrastructure, and make Europe an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without pre-determined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

Item 15 03 01 01 — Marie Skłodowska-Curie actions — Generating new skills and innovation

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
625 490 915	57 002 709	106 120 800		731 611 715	57 002 709

Remarks

New item

Europe needs a strong and creative human resource base, mobile across countries and sectors, and has to be attractive for the best researchers, European and non-European. This will be achieved by structuring and raising excellence in a substantial share of the high-quality initial training of early stage researchers and doctoral candidates and by supporting attractive career opportunities for experienced researchers in both public and private sectors worldwide. Researchers are encouraged to move between countries, sectors and disciplines to enhance their creative and innovative potential.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council Decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(1)(c) thereof.

TITLE 32 — ENERGY

Figures

Title Chapter	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 01	Administrative expenditure of the 'Energy' policy area		64 724 731	64 724 731	-75 257	-75 257	64 649 474	64 649 474
32 02	Conventional and renewable energy		423 113 000	123 968 000			423 113 000	123 968 000
32 03	Nuclear energy	1	154 183 000	199 700 000			154 183 000	199 700 000
32 04	Horizon 2020 — Research and innovation related to energy	1	316 967 960	228 141 905	-24 005 115		292 962 845	228 141 905
Title 32 — Total			958 988 691	616 534 636	-24 080 372	-75 257	934 908 319	616 459 379

CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENERGY' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014	Letter of amendment No. 1/2014	New amount
32 01	Administrative expenditure of the 'Energy' policy area				
32 01 01	<i>Expenditure related to officials and temporary staff in the 'Energy' policy area</i>	5.2	49 181 460		49 181 460
32 01 02	<i>External personnel and other management expenditure in support of the 'Energy' policy area</i>				
32 01 02 01	External personnel	5.2	2 662 297		2 662 297
32 01 02 11	Other management expenditure	5.2	1 897 388		1 897 388
<i>Article 32 01 02 — Subtotal</i>			4 559 685		4 559 685

Title Chapter Article Item	Heading	FF	Draft budget 2014	Letter of amendment No. 1/2014	New amount
32 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Energy' policy area</i>	5.2	3 073 046		3 073 046
32 01 04	<i>Support expenditure for operations and programmes in the 'Energy' policy area</i>				
32 01 04 01	Support expenditure for Connecting Europe Facility (CEF) — Energy	1.1	2 728 000		2 728 000
32 01 04 02	Support expenditure for nuclear decommissioning assistance programme	1.1	p.m.		p.m.
	<i>Article 32 01 04 — Subtotal</i>		2 728 000		2 728 000
32 01 05	<i>Support expenditure for Research and Innovation programmes in the 'Energy' policy area</i>				
32 01 05 01	Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020	1.1	2 094 540		2 094 540
32 01 05 02	External personnel implementing Research and Innovation programmes — Horizon 2020	1.1	950 000		950 000
32 01 05 03	Other management expenditure for Research and Innovation programmes — Horizon 2020	1.1	2 040 000	-75 257	1 964 743
	<i>Article 32 01 05 — Subtotal</i>		5 084 540	-75 257	5 009 283
32 01 07	<i>Euratom contribution for operation of the Supply Agency</i>	5.2	98 000		98 000
	Chapter 32 01 — Total		64 724 731	-75 257	64 649 474

Article 32 01 05 — Support expenditure for Research and Innovation programmes in the 'Energy' policy area

Item 32 01 05 01 — Expenditure related to officials and temporary staff implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
2 094 540		2 094 540

Remarks

Former Article 32 01 01 (in part) and Item 32 01 05 01

This appropriation covers expenditure relating to officials and temporary agents implementing Research and Innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary agents posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 32 04.

Item 32 01 05 02 — External personnel implementing Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
950 000		950 000

Remarks

This appropriation is intended to cover expenditure on external personnel implementing Research and Innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 32 04.

Item 32 01 05 03 — Other management expenditure for Research and Innovation programmes — Horizon 2020

Figures

Draft budget 2014	Letter of amendment No. 1/2014	New amount
2 040 000	-75 257	1 964 743

Remarks

Former Items 32 01 04 06 and 32 01 05 03

This appropriation is intended to cover other administrative expenditure for all management of Research and Innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this Item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as, but not limited to, conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representations expenditures.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 32 04.

CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 02	Conventional and renewable energy							
32 02 01	Connecting Europe Facility (CEF)							
32 02 01 01	Promoting the integration of the internal energy market and the interoperability of networks through infrastructure	1.1	116 658 000	p.m.	5 660 000		122 318 000	p.m.
32 02 01 02	Enhancing Union security of supply, system resilience and security of system operations through infrastructure	1.1	116 658 000	p.m.	5 660 000		122 318 000	p.m.
32 02 01 03	Contributing to sustainable development and protection of the environment through infrastructure	1.1	116 658 000	p.m.	5 660 000		122 318 000	p.m.
32 02 01 04	Creating an environment more conducive to private investment for energy projects	1.1	57 751 000	p.m.	-16 980 000		40 771 000	p.m.
	<i>Article 32 02 01 — Subtotal</i>		407 725 000	p.m.			407 725 000	p.m.
32 02 02	Support activities to the European energy policy and internal energy market							
32 02 02	Support activities to the European energy policy and internal energy market	1.1	4 900 000	1 600 000			4 900 000	1 600 000
32 02 03	Security of energy installations and infrastructures							
32 02 03	Security of energy installations and infrastructures	1.1	300 000	190 000			300 000	190 000
32 02 10	Agency for the Cooperation of Energy Regulators (ACER)							
32 02 10	Agency for the Cooperation of Energy Regulators (ACER)	1.1	10 188 000	10 188 000			10 188 000	10 188 000
32 02 51	Completion of financial support for projects of common interest in the trans-European energy network							
32 02 51	Completion of financial support for projects of common interest in the trans-European energy network	1.1	p.m.	11 500 000			p.m.	11 500 000
32 02 52	Completion of energy projects to aid economic recovery							
32 02 52	Completion of energy projects to aid economic recovery	1.1	—	100 000 000			—	100 000 000
32 02 77	Pilot projects and preparatory actions							
32 02 77 01	Pilot project — Energy security — Shale Gas	1.1	—	140 000			—	140 000
32 02 77 02	Preparatory action — Cooperation mechanisms implementing the renewable energy sources (Directive 2009/28/EC)	2	—	350 000			—	350 000
32 02 77 03	Pilot project — Supporting the preservation of natural resources and combating climate change through the increased use of solar energy (solar thermal and photovoltaic)	2	—	—			—	—
32 02 77 04	Pilot project — European framework programme for the development and exchange of experience on sustainable urban development	1.1	—	p.m.			—	p.m.
32 02 77 05	Preparatory action — European islands for a common energy policy	1.1	—	p.m.			—	p.m.
	<i>Article 32 02 77 — Subtotal</i>		—	490 000			—	490 000
	Chapter 32 02 — Total		423 113 000	123 968 000			423 113 000	123 968 000

Article 32 02 01 — Connecting Europe Facility (CEF)

Item 32 02 01 01 — Promoting the integration of the internal energy market and the interoperability of networks through infrastructure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
116 658 000	p.m.	5 660 000	p.m.	122 318 000	p.m.

Remarks

New item

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interests (PCI) which contribute primarily to the integration of the internal energy market and the interoperability of gas and electricity networks across borders.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 19 October 2011, establishing the Connecting Europe Facility (COM(2011) 665 final), and in particular Article 4(1)(b)(i) thereof.

Item 32 02 01 02 — Enhancing Union security of supply, system resilience and security of system operations through infrastructure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
116 658 000	p.m.	5 660 000		122 318 000	p.m.

Remarks

New Item

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interests (PCI) which contribute primarily to enhancing Union security of energy supply, system resilience and security of system operations.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 19 October 2011, establishing the Connecting Europe Facility (COM(2011) 665 final), and in particular Article 4(1)(b)(ii) thereof.

Item 32 02 01 03 — Contributing to sustainable development and protection of the environment through infrastructure

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
116 658 000	p.m.	5 660 000		122 318 000	p.m.

Remarks

New item

This appropriation is intended to cover the costs of co-financing studies and works for projects of common interests (PCI) which contribute primarily to sustainable development and protection of the environment, including by the integration of energy from renewable sources into the transmission network, and by the development of smart energy networks and carbon dioxide networks.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 19 October 2011, establishing the Connecting Europe Facility (COM(2011) 665 final), and in particular Article 4(1)(b)(iii) thereof.

Item 32 02 01 04 — Creating an environment more conducive to private investment for energy projects

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
57 751 000	p.m.	-16 980 000		40 771 000	p.m.

Remarks

New item

This appropriation is intended to cover the cost of the Union contribution to financial instruments established under the CEF to enable or facilitate access to long term financing or private investors' resources and thus to accelerate or to make possible the financing of projects of common interests (PCI) eligible under the TEN-E Guidelines Regulation (COM(2011) 658 final). The financial instruments will be set up as 'debt' or 'equity' frameworks following an ex-ante assessment as required by Article 224 of the Rules of application to the EU Financial Regulation. They are intended to be implemented under direct management mode by the entrusted entities, in the meaning of the EU Financial Regulation or jointly with the entrusted entities.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenues may give rise to the provision of additional appropriations in accordance with Articles 21(3)(i) of the Financial Regulation.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 19 October 2011, establishing the Connecting Europe Facility (COM(2011) 665 final).

CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY

Figures

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 04	Horizon 2020 — Research and innovation related to energy							
32 04 03	Societal challenges							
32 04 03 01	Making the transition to a reliable, sustainable and competitive energy system	1.1	316 967 960	28 886 164	-24 005 115		292 962 845	28 886 164
	<i>Article 32 04 03 — Subtotal</i>		316 967 960	28 886 164	-24 005 115		292 962 845	28 886 164
32 04 50	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development							
32 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
32 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 32 04 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
32 04 51	Completion of previous research framework programmes — Seventh Framework Programme (2007 to 2013)	1.1	p.m.	133 283 435			p.m.	133 283 435
32 04 52	Completion of previous research framework programmes (prior to 2007)	1.1	p.m.	4 739 966			p.m.	4 739 966
32 04 53	Completion of the 'Intelligent Energy — Europe' programme (2007 to 2013)	1.1	p.m.	61 232 340			p.m.	61 232 340

Title Chapter Article Item	Heading	FF	Draft budget 2014		Letter of amendment No. 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 04 54	<i>Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)</i>	1.1	—	p.m.			—	p.m.
	Chapter 32 04 — Total		316 967 960	228 141 905	-24 005 115		292 962 845	228 141 905

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 Framework Programme of the European Union for research and innovation relevant to energy under the European Strategic Energy Technology Plan (SET-Plan), which covers the period 2014 to 2020.

Horizon 2020 activities relevant to energy under the SET-Plan, in particular the Societal Challenge 'Secure, Clean and Efficient Energy', but also relevant parts of other sections of the programme, including 'Access to Finance' will contribute primarily to the Europe 2020 Flagship Initiative 'Innovation Union' and other flagship initiatives, notably 'Resource Efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

This programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with the proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, laying down the rules for the participation and dissemination in 'Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)' (COM(2011) 810 final).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Item 32 04 50 01.

Administrative appropriations of this chapter will be provided under Article 32 01 05.

Article 32 04 03 — Societal challenges

Remarks

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identifies in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Item 32 04 03 01 — Making the transition to a reliable, sustainable and competitive energy system

Figures

Draft budget 2014		Letter of amendment No. 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
316 967 960	28 886 164	-24 005 115		292 962 845	28 886 164

Remarks

New item

This appropriation is intended to cover the support to the European Strategic Energy Technology Plan (SET-Plan) objectives and initiatives. These are primarily: wind, solar, bioenergy, carbon capture and storage (CCS), smart cities and electricity grids. In recognition of their important contribution to future sustainable energy systems, at least 75 % of the budgetary appropriations will be allocated to the renewable and end-use energy efficiency policy areas, including grid and storage from 2014 onwards.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 November 2011, establishing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 809 final).

Proposal for a Council decision, submitted by the Commission on 30 November 2011, establishing the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) (COM(2011) 811 final), and in particular Article 3(3)(c) thereof.

Annex S — STAFF

Annex S 03 — Bodies set up by the European Union and having legal personality

Annex S 03 02 — European Joint Undertakings

Annex S 03 02 02 — Innovative Medicines Initiative 2 Joint Undertaking (IMI2)

Function group and grade	Posts					
	Draft Budget 2014		Letter of amendment No. 1/2014		Revised Draft Budget 2014	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1				1
AD 13						
AD 12		1				1
AD 11		4				4
AD 10						
AD 9		2				2
AD 8		9				9
AD 7		5				5
AD 6						
AD 5		1		10		11
<i>AD total</i>		23		10		33
AST 11						
AST 10						
AST 9						
AST 8		1		-1		
AST 7						
AST 6						
AST 5						
AST 4						
AST 3		5		-5		
AST 2						
AST 1						
<i>AST total</i>		6		-6		0
Grand total	29		4		33	
Total staff	29		4		33	

Annex S 03 02 03 — Clean Sky 2 Joint Undertaking (Clean Sky2)

Function group and grade	Posts					
	Draft Budget 2014		Letter of amendment No. 1/2014		Revised Draft Budget 2014	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1				1
AD 13						
AD 12						
AD 11						
AD 10		3				3
AD 9		7				7
AD 8		1				1
AD 7		2				2
AD 6		3				3
AD 5				10		10
<i>AD total</i>		<i>17</i>		<i>10</i>		<i>27</i>
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		1				1
AST 5						
AST 4						
AST 3				3		3
AST 2						
AST 1						
<i>AST total</i>		<i>1</i>		<i>3</i>		<i>4</i>
Grand total	18		13		31	
Total staff	18		13		31	

Annex S 03 02 04 — Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)

Former ARTEMIS and ENIAC Joint Undertakings

Function group and grade	Posts					
	Draft Budget 2014		Letter of amendment No. 1/2014		Revised Draft Budget 2014	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		2		-1		1
AD 13						
AD 12						
AD 11		4				4
AD 10						
AD 9						
AD 8		9				9
AD 7						
AD 6						
AD 5						
<i>AD total</i>		<i>15</i>		<i>-1</i>		<i>14</i>
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4						
AST 3						
AST 2						
AST 1						
<i>AST total</i>						
Grand total		15		-1		14
Total staff		15		-1		14

Annex S 03 02 05 — Bio-Based Industries Joint Undertaking (BBI)

New Joint Undertaking

Function group and grade	Posts					
	Draft Budget 2014		Letter of amendment No. 1/2014		Revised Draft Budget 2014	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14				1		1
AD 13						
AD 12						
AD 11						
AD 10						
AD 9						
AD 8				1		1
AD 7						
AD 6						
AD 5						
<i>AD total</i>				2		2
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4						
AST 3				2		2
AST 2						
AST 1						
<i>AST total</i>				2		2
Grand total				4		4
Total staff				4		4

Annex S 03 02 06 — Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)

Function group and grade	Posts					
	Draft Budget 2014		Letter of amendment No. 1/2014		Revised Draft Budget 2014	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1				1
AD 13						
AD 12						
AD 11		3				3
AD 10						
AD 9		1				1
AD 8		4				4
AD 7		2				2
AD 6						
AD 5				4		4
<i>AD total</i>		<i>11</i>		<i>4</i>		<i>15</i>
AST 11						
AST 10						
AST 9						
AST 8		1				1
AST 7		3				3
AST 6						
AST 5						
AST 4		1				1
AST 3		2		2		4
AST 2						
AST 1						
<i>AST total</i>		<i>7</i>		<i>2</i>		<i>9</i>
Grand total		18		6		24
Total staff		18		6		24

Annex S 03 02 07 — Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)

Function group and grade	Posts					
	Draft Budget 2014		Letter of amendment No. 1/2014		Revised Draft Budget 2014	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1				1
AD 13						
AD 12		4				4
AD 11						
AD 10		5				5
AD 9						
AD 8		5				5
AD 7		4				4
AD 6		4				4
AD 5		10				10
<i>AD total</i>		33				33
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		1				1
AST 6						
AST 5		1				1
AST 4						
AST 3		2				2
AST 2						
AST 1		2				2
<i>AST total</i>		6				6
Grand total		39				39
Total staff	39				39	