

Annual Activity Report 2020

Annexes

DG COMPETITION

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ANNEX 1: Statement of the Director in charge of Risk Management and Internal Control

“I declare that in accordance with the Commission’s communication on the internal control framework¹, I have reported my advice and recommendations on the overall state of internal control in the DG to the Director-General.

I hereby certify that the information provided in the present Annual Activity Report and in its annexes is, to the best of my knowledge, accurate and complete.”

Date 29 March 2021

(signed)

Maria Velentza, Director in charge of Risk Management and Internal Control

¹ C(2017)2373 of 19.04.2017.

ANNEX 2: Performance tables

1. A European Green Deal

General objective 1: European Green Deal			
Impact indicator: Share of renewable energy in gross final energy consumption Source of the data: Renewable Energy Directive (EU) 2018/2001; EU Sustainable Development Goal indicator			
Baseline (2018)	Interim Milestone ² (2020)	Target (2030)	Latest known results (2019)
18.91%	20%	32%	19.73%

Specific objective 1: State aid control contributes to environmental protection, renewables and energy savings			Related to spending programme(s) -
Result indicator: Total State aid expenditure for environmental protection, renewables and energy savings as a percentage of total State aid in the EU Source of data: European Commission State aid Scoreboard			
Baseline (2018)	Interim Milestone ³ (2022)	Target (2024)	Latest known results (2019)
55.9%	Increasing trend	Increasing trend	51.2%

Main outputs in 2020:			
Initiatives linked to regulatory simplification and burden reduction			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Revision of the Guidelines on certain State aid measures in the context of the greenhouse gas	Impact Assessment	Q4 2020	The Guidelines were adopted on 21.09.2020 (Official Journal C317, 25.09.2020,

² In case of short- or medium-term objectives (all targets are set to be achieved in less than 3 years) the milestones column should be deleted from the table.

³ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

emission allowance trading scheme post-2020			p. 5/19). The Impact Assessment report is available online ⁴ .
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2. A Europe fit for digital age

General objective 2: A Europe fit for digital age			
Impact indicator: Real GDP growth rate			
Source of the data: Eurostat (online data code TEC00115)			
Baseline (2018)	Interim Milestone ⁵ (please add as many columns as the number of defined milestones)		Target (2024)
	(2022)	(2024)	
2%	Increase	Increase	Increase
			Latest known results (2019)
			1,6%

Specific objective 1: Updated rules and policy guidance in support of A Europe fit for the digital age, including tackling systemic competition in the platform economy and beyond			Related to spending programme(s) -
Result indicator: Ratio between adopted policy initiatives by the Commission in the area of EU competition policy to support A Europe fit for the digital age headline ambition and policy initiatives in the area of EU competition policy included in the Commission Work Programmes 2020-2024			
Source of data: European Commission			
Baseline (2020)	Interim Milestone ⁶ (2022)		Target (2024)
N/A	Increasing trend		100%
			Latest known results (2020)
			100% (2/2) ⁷

⁴ https://ec.europa.eu/competition/state_aid/what_is_new/2020_ets_revision/impact_assessment_report_ets_2021_en.pdf

⁵ In case of short- or medium-term objectives (all targets are set to be achieved in less than 3 years) the milestones column should be deleted from the table.

⁶ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

⁷ Commission Work Programme 2020 (Annex I): White Paper on foreign subsidies, Commission Proposal on Digital Markets Act.

Main outputs in 2020:			
New policy initiatives			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Digital Markets Act (ex-New Competition Tool)	Impact Assessment	Q4 2020	Proposal for a Regulation on Digital Markets Act ⁸ and Impact Assessment Report ⁹ were adopted on 15/12/2020.
White Paper on levelling the playing field as regards foreign subsidies	Adoption of the White Paper	Q2 2020	Adopted on 17 June 2020 ¹⁰
Initiatives linked to regulatory simplification and burden reduction			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Consortia Block Exemption Regulation (exemption from Article 101 TFEU for certain types of cooperation agreements among shipping operators)	Adoption	Q1 2020	Validity of the regulation was prolonged on 24 March 2020 for another 4 years. ¹¹
Targeted modification of the General Block Exemption Regulation in relation to the EU funding programmes	Adoption	Q4 2020	The public consultation closed on 6 July 2020 ¹² ; adoption postponed to 2021.
Prolongation of the State aid rules reformed under the State aid modernisation (SAM) package and expiring	Adoption	Q4 2020	The prolongation was adopted on 2 July 2020 (OJ C 224, 8.7.2020, p. 2). ¹³

⁸ <https://eur-lex.europa.eu/legal-content/en/TXT/?qid=1608116887159&uri=COM%3A2020%3A842%3AFIN>.

⁹ <https://ec.europa.eu/digital-single-market/en/news/impact-assessment-digital-markets-act>.

¹⁰ White Paper on levelling the playing field as regards foreign subsidies, COM(2020) 253 final, 17.06.2020.

¹¹ <https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=celex%3A32020R0436>.

¹² https://ec.europa.eu/competition/consultations/2020_gber/index_en.html.

¹³ https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.C_.2020.224.01.0002.01.ENG&toc=OJ:C:2020:224:FULL.

by the end of 2020: <ul style="list-style-type: none"> • Guidelines on regional State aid for 2014-2020 • Guidelines on risk finance aid for 2014-2020 • Communication from the Commission — Criteria for the analysis of the compatibility with the internal market of State aid to promote the execution of important projects of common European interest • Guidelines on State aid for environmental protection and energy 2014-2020 • Guidelines on State aid for rescuing and restructuring non-financial undertakings in difficulty 			
Prolongation of the Commission Regulation (EU) N°651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty	Adoption	2020	Commission Regulation (EU) 2020/972 amending Regulation (EU) No 1407/2013 as regards its prolongation and amending Regulation (EU) No 651/2014 as regards its prolongation and relevant adjustments has been adopted on 2 July 2020 (OJ L 215, 7.7.2020, p. 3). ¹⁴

¹⁴ https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2020.215.01.0003.01.ENG&toc=OJ:L:2020:215:FULL

Prolongation of the Commission Regulation (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid	Adoption	2020	Commission Regulation (EU) 2020/972 amending Regulation (EU) No 1407/2013 as regards its prolongation and amending Regulation (EU) No 651/2014 as regards its prolongation and relevant adjustments has been adopted on 2 July 2020 (OJ L 215, 7.7.2020, p. 3). ¹⁵
Evaluations and fitness checks			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Fitness check of 2012 State aid modernisation package, railways guidelines and short term export credit insurance	Publication of fitness check results	Q3 2020	Publication of the results of the Fitness Check on 30 October 2020. ¹⁶
Evaluation of the Vertical Block Exemption Regulation and Vertical Guidelines ¹⁷	Publication of evaluation results Launch of Impact Assessment	Q3 2020 Q4 2020	Publication of evaluation results on 8/9/2020. ¹⁸ Inception Impact Assessment published on 23/10/2020 ¹⁹ , public consultation launched on 18/12/2020 ²⁰ .
Evaluation of procedural and jurisdictional aspects of merger control	Publication of the evaluation results	Q4 2020	Meeting with the Regulatory Scrutiny Board took place in January 2021. Publication expected in Q1 2021.
Fisheries guidelines, Block Exemption	Publication of evaluation results	Q4 2020	Postponed to 2022.

¹⁵ https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2020.215.01.0003.01.ENG&toc=OJ:L:2020:215:FULL

¹⁶ <https://ec.europa.eu/info/law/better-regulation/have-your-say/initiatives/2044-Fitness-check-of-2012-State-aid-modernisation-package-railways-guidelines-and-short-term-export-credit-insurance>

¹⁷ Commission Regulation (EU) No 330/2010 of 20 April 2010 on the application of Article 101(3) of the Treaty on the Functioning of the European Union to categories of vertical agreements and concerted practices, OJ L 102, 23.4.2010, p. 1. Guidelines on Vertical Restraints, OJ C 130, 19.5.2010, p. 1.

¹⁸ https://ec.europa.eu/competition/consultations/2018_vber/staff_working_document.pdf

¹⁹ <https://ec.europa.eu/info/law/better-regulation/have-your-say/initiatives/12636-Revision-of-the-Vertical-Block-Exemption-Regulation>

²⁰ <https://ec.europa.eu/info/law/better-regulation/have-your-say/initiatives/12636-Revision-of-the-Vertical-Block-Exemption-Regulation/public-consultation>

Regulation (FIBER) and Regulation on de minimis			
Aid to the agricultural and Forestry Sectors and in Rural areas (AGRI GL) and Agricultural Block Exemption Regulation (ABER)	Publication of the evaluation results	Q4 2020	Meeting with the Regulatory Scrutiny Board took place in October 2020; publication of the results postponed to Q2 2021.
Public consultations			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Targeted modification of the General Block Exemption Regulation in relation to the EU funding programmes	Second Public consultation	Q1 2020	Public consultation took place between 11/05/2020 to 06/07/2020. ²¹
Guidelines on State aid for environmental protection and energy 2014-2020 (EEAG)	Public consultation on the Inception Impact Assessment (free comments) Open Public consultation for the revision of the EEAG (based on a questionnaire)	Q3 2020 Q1 2021	Both consultations are closed, the results are under analysis and will feed into the revised EEAG
Framework for State aid research, development and innovation (RDI Framework)	Public consultation	Q4 2020	Postponed to Q1 2021
Communication on Important Projects of Common European	Public consultation	Q4 2020	Public consultation has been launched on 23 February 2021. ²²

²¹ Public consultation and replies are accessible under https://ec.europa.eu/competition/consultations/2020_gber/index_en.html.

Interest (IPCEI Communication)			
Regional Aid Guidelines (RAG)	Public consultation	Q4 2020	Public consultation took place between 23/07/2020 to 30/09/2020. ²³
State aid Broadband Guidelines	Public consultation	Q3 2020	Public consultation launched 8 September 2020 and ended 5 January 2021
Horizontal Block Exemption Regulations and Horizontal Guidelines ²⁴	Stakeholder workshop	Q4 2020	Due to the COVID-crisis a stakeholder workshop did not take place. Stakeholder had the opportunity to provide their input during the public consultation.
Market Definition Notice	Public consultation	Q2 2020	Public consultation launched on 3/4/2020 ²⁵ .
Vertical Block Exemption Regulation and Guidelines	Public consultation	Q4 2020 – Q1 2021	Public consultation is ongoing ²⁶
Collective bargaining of Self-Employed	Public consultation on the Inception Impact Assessment (free comments)	Q4 2020-Q1 2021	Public consultation on the Inception Impact Assessment is closed. Open Public consultation is on-going.

Specific objective 2: State aid control contributes to high performant broadband networks			Related to spending programme(s) -
Result indicator: Total State aid expenditure for broadband in the EU as a percentage of total State aid in the EU			
Source of data: European Commission			
Baseline	Interim Milestone²⁷	Target	Latest

²² https://ec.europa.eu/competition/consultations/2021_ipcei/index_en.html

²³ Public consultation and replies will be accessible under:
https://ec.europa.eu/competition/consultations/2020_rag/index_en.html.

²⁴ Commission Regulation (EU) No 1217/2010 of 14 December 2010 on the application of Article 101(3) of the Treaty on the Functioning of the European Union to certain categories of research and development agreements, OJ L 335, 18.12.2010, p. 36; Commission Regulation (EU) No 1218/2010 of 14 December 2010 on the application of Article 101(3) of the Treaty on the Functioning of the European Union to certain categories of specialisation agreements, OJ L 335, 18.12.2010, p. 43. Guidelines on Horizontal Cooperation Agreements, OJ C 11, 14.1.2011, p. 1.

²⁵ <https://ec.europa.eu/info/law/better-regulation/have-your-say/initiatives/12325-Evaluation-of-the-Commission-Notice-on-market-definition-in-EU-competition-law>.

²⁶ <https://ec.europa.eu/info/law/better-regulation/have-your-say/initiatives/12636-Revision-of-the-Vertical-Block-Exemption-Regulation>.

(2018)	(2022)	(2024)	known results (2019)
1.2%	Increasing trend (%)	Increasing trend (%)	1.5%
Main outputs in 2020:			
Enforcement actions			
Commission decisions authorising State aid for broadband measures	Number of Commission decisions per year	Increasing	2020: 8 decisions (2019: 7 decisions)

Specific objective 3: Strengthening competition enforcement in support of A Europe fit for the digital age			Related to spending programme(s) -
Result indicator: Estimate of customer benefits resulting from cartel prohibition decisions			
Source of data: European Commission services (OECD guidance 2014)			
Baseline (2019)	Interim Milestone²⁸ (2022)	Target (2024)	Latest known results (2020)
EUR 1.5-2.3 bn	Increasing or stable (EUR x-y bn)	Increasing or stable (EUR x-y bn)	EUR 0.2-0.3 bn
Main outputs in 2020:			
Enforcement actions			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Enforcement of antitrust rules	Number of the Commission decisions per year (intervention rate) ²⁹	No target	In 2020, 8 interventions by the Commission included 5 antitrust decisions (2 commitment decisions, 2 cooperation decisions and 1 prohibition decision), 3 cartel prohibition

²⁷ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

²⁸ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

²⁹ Intervention rate consists of antitrust interventions (decisions) by the Commission. In 2019, 15 interventions by the Commission included 10 antitrust decisions (3 commitment decisions, 4 cooperation decisions, 3 prohibition decisions and 1 procedural decision), 5 cartel prohibition decisions (4 settlement decisions and 1 prohibition decision).

			decisions (2 settlement decisions and 1 re-adoption of prohibition decision).
Effective support to NCAs on individual cases with a view to ensure coherent and effective application of Articles 101 and 102 TFEU	Number of envisaged enforcement decisions and similar case consultations in the European Competition Network (ECN ³⁰)	No target	84
	Implementation rate of comments on envisaged decisions received from the NCAs pursuant to article 11.4 of Regulation (EC) No 1/2003 ³¹	No target	In 100% of cases the comments on envisaged decisions have been completely or partially implemented
	Time to reply to NCAs pursuant to article 11.4 of Regulation (EC) No 1/2003	Within 30 days or as otherwise agreed with the NCAs	In 100% of cases the reply has been provided within 30 days or as otherwise agreed with the NCAs
Result indicator: Estimate of customer benefits resulting from merger interventions			
Source of data: European Commission services (OECD guidance 2014)			
Baseline (2019)	Interim Milestone ³² (2022)	Target (2024)	Latest known results (2020)
EUR 5.7-9.4 bn	Stable (EUR x-y bn)	Stable (EUR x-y bn)	EUR 13.8-23.0 bn
Enforcement actions			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)

³⁰ Only submissions from the NCAs under Article 11(4) of Council Regulation (EC) No 1/2003 are included in this figure.

³¹ The accuracy of the indicator depends on how accurately the NCAs report to the Commission.

³² The column should be deleted if only short- and medium-term (less than 3 years) targets are set.

Enforcement of EU merger control	Number of the Commission decisions per year	No target	352
	Number of the Commission decisions adopted in a simplified procedure per year	No target	278
	Intervention rate ³³	No target	18
	Ratio of the Commission merger decisions adopted in a simplified procedure	Stable trend	Stable trend (79%)

Enforcement actions			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Enforcement of EU State aid control	Number of opening decisions per year	No target	15
	Adoption of Commission decisions in the field of State aid in accordance with EU State aid rules	No target	25
Monitoring of schemes in the field of State aid in accordance with EU State aid rules (2020-2021)	Number of aid schemes subject to ex-post monitoring	Around 50	64
Prevention and recovery of incompatible aid	Adoption of recovery decisions in the field of State aid in accordance with EU State aid rules	No target	6

³³ Intervention rate indicator includes the Commission's prohibition decisions and mergers approved subject to commitments, as well as withdrawals during second phase investigation (in-depth investigation by the Commission).

3. An Economy that works for people

General objective 3: An Economy that works for people			
Impact indicator: Real GDP growth rate			
Source of the data: Eurostat (online data code TEC00115)			
Baseline (2019)	Interim Milestone ³⁴ (2022)	Target (2024)	Latest known results (2019)
1.6%	Increase	Increase	1.6%

Specific objective 1: State aid control facilitates aid in the common interest			Related to spending programme(s) -	
Result indicator: Total State aid expenditure falling under the General Block Exemption Regulation as a percentage of total State aid in the EU				
Source of data: State Aid Scoreboard				
Baseline (2018)	Interim Milestone ³⁵		Target (2024)	Latest known results (2019)
	(2022)	(2024)		
36.8%	Increasing trend (%)	Increasing trend (%)	Increasing trend (%)	38.3%

Main outputs in 2020:			
Enforcement actions			
Output description	Indicator	Target	Latest known results (2019)
State aid falling under the GBER	State aid Scoreboard value	Increasing trend	EUR 47.848 bn

Specific objective 2: Banking aid necessary to safeguard financial stability, while protecting competition			Related to spending programme(s) -
Result indicator: Ratio of balance sheet growth of aided banks to balance sheet growth of unaided banks			
Source of data: European Commission services and the European Central Bank			
Baseline	Interim Milestone ³⁶	Target	Latest known

³⁴ In case of short- or medium-term objectives (all targets are set to be achieved in less than 3 years) the milestones column should be deleted from the table.

³⁵ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

³⁶ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

(2020)	(2022)	(2024)	(2024)	results (2019)
N/A	To remain below 90%	To remain below 90%	To remain below 90%	-2.19% (aided banks) vs +4.17% (complete banking sector) ³⁷
Main outputs in 2020:				
Enforcement actions				
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)	
State aid enforcement in the financial sector	Number of Commission decisions adopted	No target	16 ³⁸	

Specific objective 3: Temporary support of the economy through COVID-19 aid			Related to spending programme(s) -	
Result indicator: Total COVID-19 aid as a percentage of total State aid in the EU				
Source of data: European Commission services				
Baseline (2020)	Interim Milestone ³⁹		Target (2024)	Latest known results (2020)
	(2021)	(2022)		
N/A (the data for 2020 is available in early 2022)	Decreasing trend (%)	Decreasing trend (%)	Decreasing trend (%)	N/A
Main outputs in 2020:				
Initiatives linked to regulatory simplification and burden reduction				
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)	

³⁷ The aim of this indicator is to verify that aided banks do not grow as fast as the average of the European Banking sector. We monitor the balance sheet of all aided banks that are in the restructuring period on 31.12.2019 and express the annual growth to the balance sheet growth of the entire European banking sector over the next four years. As a start, to get a look and feel for the indicator, we report the effect over the period (31.12.2018-31.12.2019). This gives a decrease in the balance sheet of aided banks, versus a growth in balance sheet of the overall banking sector, which is good in the sense that our aid decisions and monitoring contribute to limiting distortions of competition. Sources of both datasets are Bloomberg and the ECB Statistical Site.

³⁸ No new individual FI decisions in 2020. There were prolongation decisions on existing liquidation and resolution schemes in Poland, Ireland, Italy and a liquidity support scheme in Greece. In addition, some decisions related to development banks. There was also one adoption of a replacement decision following a court case.

³⁹ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

The State aid Temporary Framework to support the economy in the context of the COVID-19 outbreak, based on Article 107(3)(b) of the Treaty on the Functioning of the European Union.	Adoption by the Commission	Q1 2020	Adopted Q1 2020 ⁴⁰
Framework Communication addressing the antitrust issues related to cooperation between competitors in COVID-19 related urgency situations.	Adoption by the Commission	Q2 2020	Adopted Q2 2020 ⁴¹
Amendment of short-term export-credit insurance Communication (removing all countries from “marketable risk” -list)	Adoption by the Commission	Q2 2020	Adopted Q1 2020 ⁴²
The State aid Temporary Framework to support the economy in the context of the COVID-19 outbreak related to financial sector	Adoption by the Commission	Q2 2020	Adopted Q1 2020 ⁴³
Enforcement actions			
Output description	Indicator	Target	Latest known results (situation on 31/12/2020)
Commission decisions adopted based on the	Number of Commission decisions	No target	332

⁴⁰ https://ec.europa.eu/competition/state_aid/what_is_new/sa_covid19_temporary-framework.pdf.

⁴¹ https://ec.europa.eu/info/sites/info/files/framework_communication_antitrust_issues_related_to_cooperation_between_competitors_in_covid-19.pdf.

⁴² https://ec.europa.eu/commission/presscorner/detail/en/IP_20_542.

⁴³ https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ:JOC_2020_091_I_0001.

State aid Temporary Framework to support the economy in the context of the COVID-19 outbreak, based on Article 107(3)(b) of the Treaty on the Functioning of the European Union.	adopted per year in 2020		
Commission guidance provided to the market participants in the field of antitrust in the context of the COVID-19 outbreak	Number of comfort letters adopted per year in 2020 and 2021	No target	1 comfort letter issued.
Amount of COVID-19 aid	Aid granted under the Temporary Framework (Article 107(3)(b)) and Article 107(2)(b)	Increasing (2020)	EUR 3.08 trillion

ANNEX 3: Draft annual accounts and financial reports

Annex 3 Financial Reports - DG COMP - Financial Year 2020

Table 1 : Commitments

Table 2 : Payments

Table 3 : Commitments to be settled

Table 4 : Balance Sheet

Table 5 : Statement of Financial Performance

Table 5 Bis: Off Balance Sheet

Table 6 : Average Payment Times

Table 7 : Income

Table 8 : Recovery of undue Payments

Table 9 : Ageing Balance of Recovery Orders

Table 10 : Waivers of Recovery Orders

Table 11 : Negotiated Procedures

Table 12 : Summary of Procedures

Table 13 : Building Contracts

Table 14 : Contracts declared Secret

Table 15 : FPA duration exceeds 4 years

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2020 (in Mio €) for DG COMP					
			Commitment appropriations authorised	Commitments made	%
			1	2	3=2/1
Title 03 Competition					
03	03 01	Administrative expenditure of the 'Competition' policy area	12.31294138	11.17400494	90.75 %
Total Title 03			12.31294138	11.17400494	90.75 %
Title 05 Agriculture and rural development					
05	05 01	Administrative expenditure of the 'Agriculture and rural development' policy area	0.1	0.09969408	99.69 %
Total Title 05			0.1	0.09969408	99.69 %
Title 11 Maritime affairs and fisheries					
11	11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area	0.05	0.05	100.00 %
	11 06	European Maritime and Fisheries Fund (EMFF)	0	0	0.00 %
Total Title 11			0.05	0.05	100.00 %
Title 16 Communication					
16	16 01	Administrative expenditure of the 'Communication' policy area	0.1236575	0.1236575	100.00 %
	16 03	Communication actions	0.0002	0	0.00 %
Total Title 16			0.1238575	0.1236575	99.84 %
Title 26 Commission's administration					
26	26 03	Services to public administrations, businesses and citizens	3.34	3.34	100.00 %
Total Title 26			3.34	3.34	100.00 %
Title 33 Justice and consumers					
33	33 03	Justice	1.02620463	1.01162228	98.58 %
Total Title 33			1.02620463	1.01162228	98.58 %
Total DG COMP			16.95300351	15.7989788	93.19 %

* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g.

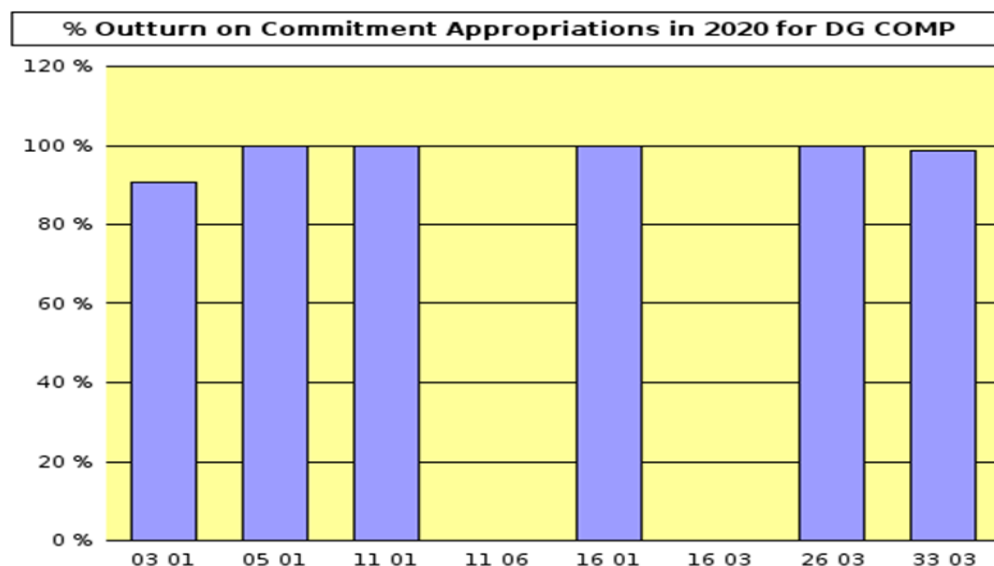


TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS in 2020 (in Mio €) for DG COMP					
			Payment appropriations authorised *	Payments made	%
			1	2	3=2/1
Title 03 Competition					
03	03 01	Administrative expenditure of the 'Competition' policy area	21.23114592	10.53968591	49.64 %
Total Title 03			21.23114592	10.53968591	49.64%
Title 05 Agriculture and rural development					
05	05 01	Administrative expenditure of the 'Agriculture and rural development' policy area	0.14577384	0.08385144	57.52 %
Total Title 05			0.14577384	0.08385144	57.52%
Title 11 Maritime affairs and fisheries					
11	11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area	0.05	0.04839801	96.80 %
	11 06	European Maritime and Fisheries Fund (EMFF)	0.05	0.05	100.00 %
Total Title 11			0.1	0.09839801	98.40%
Title 16 Communication					
16	16 01	Administrative expenditure of the 'Communication' policy area	0.20148979	0.17709474	87.89 %
	16 03	Communication actions	0.01161511	0.006438	55.43 %
Total Title 16			0.2131049	0.18353274	86.12%
Title 26 Commission's administration					
26	26 03	Services to public administrations, businesses and citizens	0	3.27299611	#DIV/0
Total Title 26			0	3.27299611	#DIV/0
Title 33 Justice and consumers					
33	33 03	Justice	0.33905974	0.32447739	95.70 %
Total Title 33			0.33905974	0.32447739	95.70%
Total DG COMP			22.0290844	14.5029416	65.84 %

* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

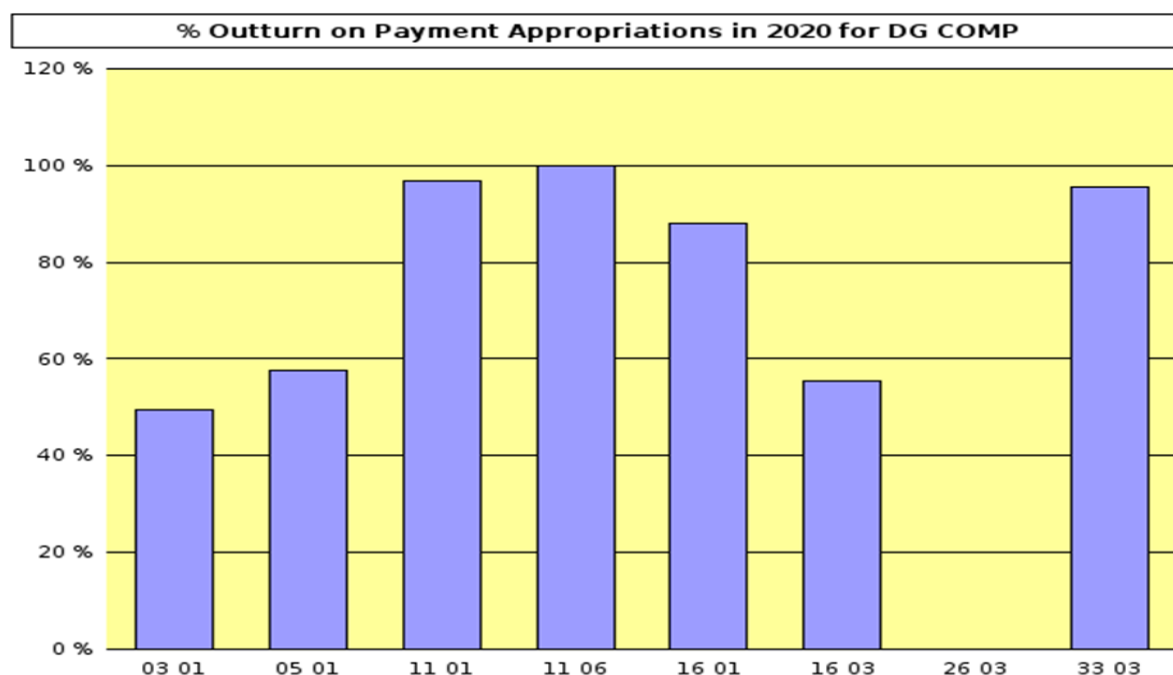


TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG COMP									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
03	03 01	Administrative expenditure of the 'Competition' policy area	11.17	2.20	8.98	80.34%	0.00	8.98	8.74
Total Title 03			11.17	2.20	8.98	80.34%	0.00	8.98	8.74
TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG COMP									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
05	05 01	Administrative expenditure of the 'Agriculture and rural development' policy area	0.10	0.04	0.06	61.81%	0.00	0.06	0.05
Total Title 05			0.10	0.04	0.06	61.81%	0.00	0.06	0.05
TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG COMP									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
11	11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area	0.05	0.04	0.01	14.90%	0.00	0.01	0.01
	11 06	European Maritime and Fisheries Fund (EMFF)	0.00	0.00	0.00	0.00%	0.00	0.00	0.05
Total Title 11			0.05	0.04	0.01	14.90%	0.00	0.01	0.06
TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG COMP									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
16	16 01	Administrative expenditure of the 'Communication' policy area	0.12	0.10	0.02	18.77%	0.00	0.02	0.08
	16 03	Communication actions	0.00	0.00	0.00	0.00%	0.00	0.00	0.01
Total Title 16			0.12	0.10	0.02	18.77%	0.00	0.03	0.09
TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG COMP									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
26	26 03	Administrative expenditure of the 'Communication' policy area	0.12	0.10	0.02	18.77%	0.00	0.02	0.08
		Communication actions	0.00	0.00	0.00	0.00%	0.00	0.00	0.01
Total Title 26			0.12	0.10	0.02	18.77%	0.00	0.03	0.09
TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG COMP									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
33	33 03	Administrative expenditure of the 'Communication' policy area	0.12	0.10	0.02	18.77%	0.00	0.02	0.08
		Communication actions	0.00	0.00	0.00	0.00%	0.00	0.00	0.01
Total Title 33			0.12	0.10	0.02	18.77%	0.00	0.03	0.09
TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG COMP									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
33	33 03	Justice	1.01	0.00	1.01	100.00%	1.95	2.96	3.86
			1.01	0.00	1.01	100.00%	1.95	2.96	3.86
Total for DG COMP			15.7989788	2.53	13.26650472	83.97 %	2.14286311	15.40936783	16.10488063

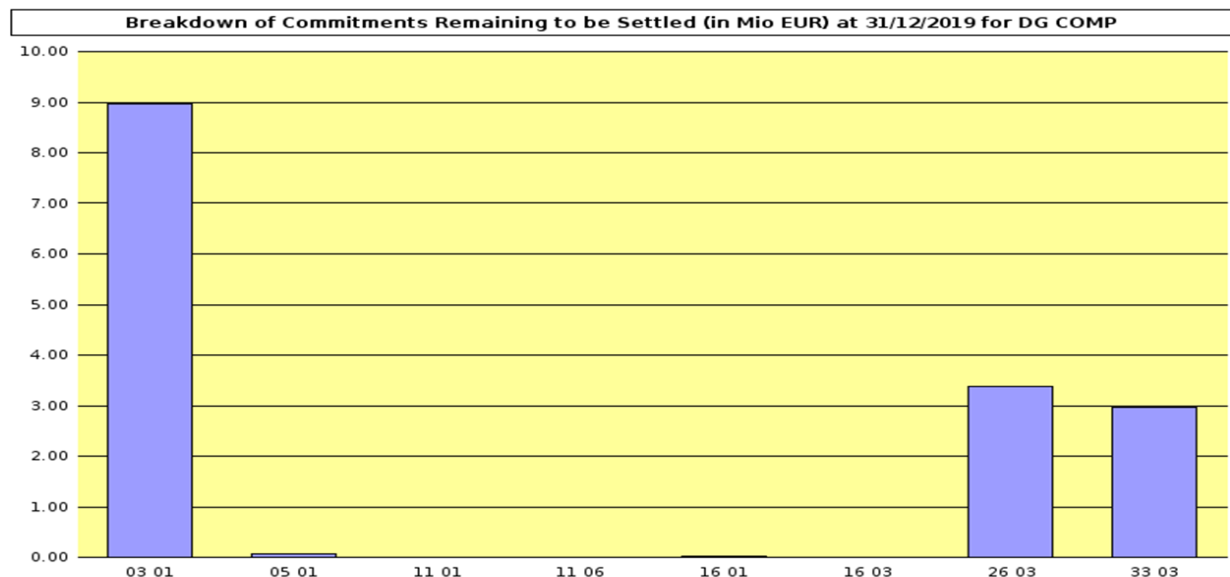


TABLE 4 : BALANCE SHEET for DG COMP

BALANCE SHEET	2020	2019
A.I. NON CURRENT ASSETS	13895922.49	9655412.16
A.I.1. Intangible Assets	13,895,922.49	9,655,412.16
A.II. CURRENT ASSETS	13257618442	13255065800
A.II.2. Current Pre-Financing	585,942.63	860,681.50
A.II.3. Curr Exch Receiv & Non-Ex Recoverables	13,257,032,499.45	13,254,205,118.39
ASSETS	13271514365	13264721212
P.II. CURRENT LIABILITIES	-12164380.46	-359852.47
P.II.2. Current Provisions	-11,452,432.53	
P.II.4. Current Payables	-711,947.93	-359,852.47
P.II.5. Current Accrued Charges & Defrd Income	0.00	0.00
LIABILITIES	-12164380.46	-359852.47
NET ASSETS (ASSETS less LIABILITIES)	13259349984	13,264,361,359.58
P.III.2. Accumulated Surplus/Deficit	-22,196,467,808.94	-18207291397
Non-allocated central (surplus)/deficit*	8,937,117,824.83	4942930038
TOTAL DG COMP	0.00	0.00

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE for DG COMP

STATEMENT OF FINANCIAL PERFORMANCE	2020	2019
II.1 REVENUES	-397408367.7	-4105446994
II.1.1. NON-EXCHANGE REVENUES	-396956000	-4104759000
II.1.1.4. FINES	-396,956,000.00	-4,104,759,000.00
II.1.2. EXCHANGE REVENUES	-452367.73	-687993.77
II.1.2.2. OTHER EXCHANGE REVENUE	-452,367.73	-687,993.77
II.2. EXPENSES	34243197.59	116270581.9
II.2. EXPENSES	34243197.59	116270581.9
II.2.10. OTHER EXPENSES	28,546,463.25	100,837,086.59
II.2.2. EXP IMPLM BY COMMISS&EX.AGENC. (DM)	584,633.91	1,073,441.77
II.2.6. STAFF AND PENSION COSTS	-952,600.00	-712,000.00
II.2.8. FINANCE COSTS	6,064,700.43	15,072,053.55
STATEMENT OF FINANCIAL PERFORMANCE	-363,165,170.14	-3,989,176,411.86

TABLE 5bis : OFF BALANCE SHEET for DG COMP

OFF BALANCE	2020	2019
OB.2. Contingent Liabilities	-1821963985	-1742400000
OB.2.7. CL Legal cases OTHER	-1,821,963,985.48	-1,742,400,000.00
OB.4. Balancing Accounts	1821963985	1742400000
OB.4. Balancing Accounts	1,821,963,985.48	1,742,400,000.00
OFF BALANCE	0.00	0.00

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 6: AVERAGE PAYMENT TIMES in 2020 for COMP

Legal Times							
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
30	412	411	99.76 %	14.0243309	1	0.24 %	84
60	37	35	94.59 %	29.71428571	2	5.41 %	61.5

Total Number of Payments	449	446	99.33 %		3	0.67 %	
Average Net Payment Time	15.61469933			15.25560538			69
Average Gross Payment Time	17.99331849			17.55829596			82.66666667

Suspensions							
Average Report Approval Suspension Days	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
0	46	23	5.12 %	449	1,853,223.10	12.96 %	14,297,270.85

TABLE 7 : SITUATION ON REVENUE AND INCOME in 2020 for DG COMP

Chapter		Revenue and income recognized			Revenue and income cashed from			Outstanding balance
		Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total	
		1	2	3=1+2	4	5	6=4+5	
57	OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION	892,305.73	34,868.14	927,173.87	884,305.73	34,868.14	919,173.87	8,000.00
66	OTHER CONTRIBUTIONS AND REFUNDS	14,582.35	2,706,290.47	2,720,872.82	14,582.35	0.00	14,582.35	2,706,290.47
71	FINES AND PENALTIES	306,627,090.00	14,605,542,962.97	14,912,170,052.97	35,189,600.00	378,783,785.80	413,973,385.80	14,498,196,667.17
Total DG COMP		307533978.1	14608284122	14915818100	36088488.08	378818653.9	414907142	14500910958

**TABLE 8 : RECOVERY OF PAYMENTS in 2020 for DG COMP
(Number of Recovery Contexts and corresponding Transaction Amount)**

Year of Origin (commitment)	Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
2017			2	14582.35		
Sub-Total			2	14582.35		

EXPENSES BUDGET	Irregularity		OLAF Notified		Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES										
NON ELIGIBLE IN COST CLAIMS							9	208,350.42		
CREDIT NOTES							8	720,657.30		
Sub-Total							17	929007.72		
GRAND TOTAL							19	943590.07		

TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2020 for DG COMP

	Number at 01/01/2020	Number at 31/12/2020	Evolution	Open Amount (Eur) at 01/01/2020	Open Amount (Eur) at 31/12/2020	Evolution
2003	1	1	0.00 %	1,060,000.00	1,060,000.00	0.00 %
2006	1	1	0.00 %	11,500,000.00	11,500,000.00	0.00 %
2009	4	4	0.00 %	1,068,981,290.47	1,068,981,290.47	0.00 %
2010	10	9	-10.00 %	51,220,244.46	25,198,119.94	-50.80 %
2011	2	1	-50.00 %	13,568,737.98	9,000,000.00	-33.67 %
2013	12	12	0.00 %	252,271,000.00	252,271,000.00	0.00 %
2014	28	17	-39.29 %	849,114,545.85	568,717,139.33	-33.02 %
2015	10	7	-30.00 %	173,475,000.00	115,144,000.00	-33.63 %
2016	5	5	0.00 %	495,721,467.68	495,543,856.11	-0.04 %
2017	21	20	-4.76 %	4,144,253,000.00	4,136,095,000.00	-0.20 %
2018	11	11	0.00 %	5,757,563,000.00	5,757,563,000.00	0.00 %
2019	11	10	-9.09 %	1,812,256,868.14	1,807,210,742.79	-0.28 %
2020		12			295,382,000.00	
	116	110	-5.17 %	14,630,985,154.58	14,543,666,148.64	-0.60 %

TABLE 10 :Recovery Order Waivers >= 60 000 € in 2020 for DG COMP

	Waiver Central Key	Linked RO Central Key	RO Accepted Amount (Eur)	LE Account Group	Commission Decision	Comments
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Total DG COMP	0.00
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Number of RO waivers	0
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There were no waivers in 2020.

TABLE 11 :Negociated Procedures in 2020 for DG COMP

Internal Procedures > € 60,000

Negotiated Procedure Legal base	Number of Procedures	Amount (€)
Annex 1 - 11.1 (e) - New services/works consisting in the repetition of similar services/works	1	6,250,000.00
Total	1	6,250,000.00

TABLE 12 : Summary of Procedures in 2020 for DG COMP

Internal Procedures > € 60,000

Procedure Legal base	Number of Procedures	Amount (€)
Negotiated procedure without prior publication (Annex 1 - 11.1)	1	6,250,000.00
Open procedure (FR 164 (1)(a))	2	503,825.00
Total	3	6,753,825.00

TABLE 13 : BUILDING CONTRACTS in 2020 for DG COMP

N/A

TABLE 14 : CONTRACTS DECLARED SECRET in 2020 for DG COMP

N/A

TABLE 15 : FPA duration exceeds 4 years - DG

N/A

TABLE 16 : Commitments co-delegation type 3 in 2020 for DG COMP

N/A

ANNEX 4: Financial Scorecard

DG Competition


The Annex 4 of each Commission service summarises the annual result of the standard financial indicators measurement. Annexed to the Annual Activity Report 2020, 6 standard financial indicators are presented below, each with its objective, category, definition, and result for the Commission service and for the EC as a whole (for benchmarking purposes)⁴⁴:


- Commitment Appropriations (CA) Implementation
- CA Forecast Implementation
- Payment Appropriations (PA) Implementation
- PA Forecast Implementation
- Global Commitment Absorption
- Timely Payments


For each indicator, its value (in %) for the Commission service is compared to the common target (in %). The difference between the indicator's value and the target is colour coded as follows:


- 100 – >95% of the target: dark green
- 95 – >90% of the target: light green
- 90 – >85% of the target: yellow
- 85 – >80% of the target: light red
- 80 – 0% of the target: dark red


⁴⁴ If the EC service did not perform any transaction in the area measured by the indicator or the information is not available in the central financial system, the indicator is not calculated (i.e. displayed as “-”) in this Annex.


Indicator	<u>CA Implementation</u>						
Category	Efficiency Controls / Budget						
Objective	Ensure efficient use of commitment appropriations						
Result	<p>DG COMP achieved 98% compared to the EC result of 99%</p>  <table border="1"> <caption>Bar Chart Data</caption> <thead> <tr> <th>Entity</th> <th>Result (%)</th> </tr> </thead> <tbody> <tr> <td>EC</td> <td>99%</td> </tr> <tr> <td>DG COMP</td> <td>98%</td> </tr> </tbody> </table>	Entity	Result (%)	EC	99%	DG COMP	98%
Entity	Result (%)						
EC	99%						
DG COMP	98%						
Comment	N/A						
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (EUR) - Value B: Credit Accepted Com Amount (EUR) <p>Scope: Commitments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA, FRT, PO, RO, TCA, TF5, TFC) 						

Indicator	<u>PA Implementation</u>
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of payment appropriations
Result	<p>DG COMP achieved 127% compared to the EC result of 99%</p>  <p><i>PA Implementation higher than 100% is a result of DG COMP consuming commitment appropriations of a different DG under a co-delegation, which were not transferred to DG COMP.</i></p>
Comment	N/A
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (EUR) - Value B: Credit Accepted Pay Amount (EUR) <p>Scope:</p> <p>Payments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA, FRT, PO, RO, TCA, TF5, TFC) - Payments stemming from C1, C5, E0 outstanding commitments on the non-staff budget positions that will be carried-forward as C8 to the next financial year

Indicator	<u>CA Forecast Implementation</u>
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the commitment implementation with the commitment forecast in a financial year
Result	<p>DG COMP achieved 97% compared to the EC result of 98%</p>  <p>0% 20% 40% 60% 80% 100%</p> <p>EC (98%) 97%</p>
Comment	N/A
Definition	<p>Formula: Value A / Value B*,**</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (EUR) - Value B: Commitment Forecast Amount (EUR) <p>*if Value A / Value B between 100 and 200% then the result indicator will be equal to 1 - (ABS(Value B - Value A) / Value B)</p> <p>**if Value A / Value B > 200 % then the result indicator will be equal to 0%</p> <p>Scope:</p> <ul style="list-style-type: none"> - Commitments on all relevant Fund Sources - Commitment Forecast Amount (EUR) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	<u>PA Forecast Implementation</u>
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the payment implementation with the payment forecast in a financial year
Result	<p>DG COMP achieved 92% compared to the EC result of 99%</p> 
Comment	N/A
Definition	<p>Formula: Value A / Value B*,**</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (EUR) - Value B: Payment Forecast Amount (EUR) <p>*if Value A / Value B between 100 and 200% then the result indicator will be equal to 1 - (ABS(Value B - Value A) / Value B)</p> <p>**if Value A / Value B > 200 % then the result indicator will be equal to 0%</p> <p>Scope:</p> <ul style="list-style-type: none"> - Payments on all relevant Fund Sources - Payment Forecast Amount (EUR) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	<u>Global Commitment Absorption</u>
Category	Efficiency Controls / Absorption
Objective	Ensure efficient use of already earmarked commitment appropriations (at L1 level)
Result	<p>DG COMP achieved 92% compared to the EC result of 98%</p>  <p>0% 20% 40% 60% 80% 100%</p> <p>EC (98%) 92%</p>
Comment	N/A
Definition	<p>Formula:</p> <ul style="list-style-type: none"> - Value A: Com L1 Consumption amount (EUR) - Value B: Com L1 Initial amount (EUR) + Com L1 Complementary Amount (EUR) + (Com L1 Decommitment Amount (EUR) on all Fund Sources except for C8 and C9) <p>Scope:</p> <ul style="list-style-type: none"> - Com L1 with FDC ILC date from 01/01 to 31/12 of the current year - No movements to the Com L1 Consumption amount (EUR) after the FDC ILC date is taken into account (Generally decommitments of L2 which decrease the Com L1 consumption) <p>Remark: Due to technical limitation, the indicator does not take into account the Com L1 Consumption between the FDC ILC date and the FA FDI allowed as an exception in the external actions for Com L1 of type GF, i.e. with Financing Agreement, under the FR2018 Article 114.2. As a result, the actual Indicator score may be slightly higher than the one reported for DGs using the GF commitments.</p>

Indicator	<u>Timely Payments</u>
Category	Efficiency Controls / Timeliness
Objective	Ensure efficient processing of payments within the legal deadlines
Result	<p>DG COMP achieved 99% compared to the EC result of 99%</p>  <p>0% 20% 40% 60% 80% 100%</p> <p>EC (99%) 99%</p>
Comment	The average payment delay in 2020 was less than 20 days ⁴⁵ , which is in line with the average payment delay in 2019. Furthermore, 99% of all payments were executed within the contractual limit, which is slightly better than in 2019 (97.4%) and in line with the overall performance of the Commission (99%).
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (EUR) in time <ul style="list-style-type: none"> o In Time: Payment Bank Value Date < = Payment legal deadline - Value B: Payment Accepted Amount (EUR) <p>Scope:</p> <ul style="list-style-type: none"> - Payments made in the current year - Payments valid for payment statistics (DWH Flag "Payment Time Status OK?" = "Y")

⁴⁵ Cf. table 6 in Annex 3.

ANNEX 5: Materiality criteria

Since 2019⁴⁶, a 'de minimis' threshold for financial reservations has been introduced. Quantified AAR reservations related to residual error rates above the 2% materiality threshold, are deemed not substantial for segments representing less than 5% of a DG's total payments and with a financial impact below EUR 5 million. In such cases, quantified reservations are no longer needed.

In conformity with the current guidelines, DG Competition applies the following quantitative and qualitative materiality criteria, in order to assess the overall impact of a weakness and judge whether it is material enough to have an impact on the assurance.

Qualitative assessment

Competition policy is implemented through enforcement and involves predominantly procedural (case-handling) and advocacy activities, involving a very modest level of financial management.

Qualitative criteria cover significant reputational risks for the DG or the Commission and significant weaknesses in the internal control systems. For assessing the significance of the weakness, the nature and scope, duration, existence of mitigating controls and/or remedial actions are taken into account.

For weaknesses, which are considered significant in qualitative terms but not in quantitative terms, DG Competition takes into account the possible reputational impact they may entail to the image of DG Competition and the Commission. They will be assessed according to the context and nature of the impact, awareness and duration.

Quantitative assessment

As regards legality and regularity, the proposed standard quantitative materiality threshold of 2% of the residual error rate of the executed payments is applied. DG Competition considers it an appropriate threshold above which weaknesses detected should be considered "material".

In DG Competition, this applies to all non-compliance events detected throughout the year and with a quantifiable impact on legality and regularity.

⁴⁶ Agreement of the Corporate Management Board of 30/4/2019.

ANNEX 6: Relevant Control System(s) for budget implementation (RCSs)

EU competition policy and enforcement

Main internal control objectives: Ensuring that EU competition policy and enforcement actions are of high quality

Main risks	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
Challenges before courts potentially leading to significant reputational risks, excessive legal costs, annulments of decisions and (monetary) compensation claims of high value.	<ul style="list-style-type: none"> A dedicated team in DG Competition continuously updates instrument specific Manuals of Procedures (ManProc) for antitrust/cartels, mergers and State aid. Instrument-based ManProcs and the Working Arrangements with the Commissioner provide guidance to the staff of DG Competition about the different roles, procedures, required consultations and procedural steps to be followed in the daily operations. The case support Units contribute to ensuring policy consistency and high quality of draft decisions in terms of substance and clarity. They participate in the weekly meetings with the Commissioner and instrument management meetings contributing to the uniform application of the rules and regulations by the case teams. Chief Economist Team (CET) offers an expert economic view for policy development and provides independent guidance in individual cases in all 3 instruments (anti-trust, mergers and State aid) throughout the investigation process and a final written advice on a formal proposal which is to be submitted for decision to the Commissioner. Peer review panel assures internal scrutiny organised for major antitrust, merger and State aid cases, covering all or certain aspects of the case (factual basis, legal reasoning and economic analysis). Legal Service (an independent Commission service operating under the control and authority of the President of the Commission) ensures high quality output in terms of theory of harm and legal reasoning in enforcement cases and policy documents. Independent Hearing Officers chair oral hearings and oversee the procedural fairness of competition proceedings in the field of antitrust, cartels and mergers and provide a final opinion published in the Official Journal when the Commission decision is taken. The Principal Adviser strengthens the capacity of DG Competition to carry out economic evaluations of the EU's competition policy. Senior Management Meeting (SMM) chaired by the Director General discusses inter alia major developments in on-going cases and jurisprudence of the General Court and the Court of Justice. Operations Committee Meeting – Policy (OCM/P), chaired by the Director General discusses major on-going cases and decides on the granting of priority and ensuring adequate staffing in a case in close cooperation with the Commissioner. Weekly instruments management meetings review and decide on the planning of ongoing cases and horizontal policy initiatives and ensure adequate staffing of cases. Knowledge Management and information sharing tools like 'COMPWiki' allow staff to share knowledge and best practices within the DG. Document Management and Case Management Applications support key business processes. Weekly meetings between the Commissioner, the Cabinet and DG Competition monitor important developments in competition cases and horizontal policy initiatives. 	Coverage: 100%	<p>Number of control failures</p> <p>Number of annulments of competition decisions by the EU courts and reductions of fines</p>

Main risks	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
	<ul style="list-style-type: none"> Quarterly, or ad-hoc multiannual meetings, strategic meetings of the Commissioner with the Senior Management and Directorates of DG Competition are regularly updated on the progress in the most important enforcement cases in all instruments. 		

Security of IT-systems

Main control objectives: Ensure that confidential and/or sensitive information is not disclosed or its integrity breached (data altered) due to security of IT systems and/or information processes not being fully effective.

Main risks	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
Sensitive information is disclosed or its integrity breached (data altered) due to security of IT systems and/or information processes not being fully effective.	<ul style="list-style-type: none"> Security of IT systems and information safeguarding 'culture': appropriate design of IT systems and/or information processes; Update of the IT Risk Register Formal procedures to add, manage and remove user access rights to IT applications. Detailed manuals of procedures, specific guidance by LISO and LSO. Application of corporate policy on job functions that handle sensitive information Use of marking system. Reporting, risk assessment and follow-up of incidents. Physical access controls. LISO's prior approval of, and timely follow up reporting by, DG DIGIT's investigation of suspicious activities on COMP workstations Staff awareness actions 	<p>Security rules and culture to be adjusted in view of latest technical developments and 'possibilities'.</p> <p>DG's IT governance body to evaluate the probability and impact of IT risks at least once per year and to discuss mitigation actions.</p> <p>Continuous risk management of each project according to the PM² methodology;</p> <p>Daily access rights management of the case management applications and related applications</p> <p>Systematic (access controls, training sessions for newcomers, reporting and follow-up of disclosures), and <i>ad-hoc</i> (update of internal guidance documents, communication of events and policies, information sessions)</p>	<p>Reputational events during the reporting year linked to issues of data security and the protection of confidential and/or sensitive information.</p> <p>Cost: estimate of cost of staff involved.</p> <p>Effectiveness: staff awareness of security rules and best practices. Number of disclosures reported (accidental or leaks).</p>

Fines imposed in the area of Competition

Main control objectives: Ensuring that the Commission establishes its revenue entitlements and correctly registers its revenue entitlements, reliable reporting (true and fair)

Main risks	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
The Commission decision embeds weaknesses that would undermine the Commission's legal rights in terms of revenue entitlements	<ul style="list-style-type: none"> Main parameters of the fines are discussed before the SO is finalised in a meeting chaired by the DDG Antitrust in which A1, CET, LS and CAB participate Experienced case handlers verify calculations (fresh pair of eyes) 	<p>Coverage: 100%</p> <p>in-depth panel review for draft COMP-decisions on fines</p>	<p>Effectiveness:</p> <p>Value of the rights concerned (e.g. decision on fine overruled by CoJ)</p> <p>Benefits: The (average annual) total value of the significant errors detected/avoided -</p>

Main risks	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
such as decision not addressed to the correct legal entity.			and thus prevented in terms of the Commission's rights
EU accounting rules are not respected and that the accounts do not reflect the reality.	Commission Decisions being followed-up by concerned services	Coverage: 100% <ul style="list-style-type: none"> monthly for new decisions quarterly for follow-up of fines before Court of Justice	Effectiveness: Value of the rights concerned Benefits: The accounts at year-end give a true and fair view. Economy (costs): estimation of cost of staff involved in the controls.

Prevention, detection and correction of fraud and irregularities

Main control objectives: Ensuring that staff behaves according to the highest professional standards.

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
The reputation of the Commission is damaged due to the misconduct of staff.	<p>Controls put in place in addition to the existing controls at central level (ethics declarations and training):</p> <ul style="list-style-type: none"> • Training and awareness sessions on ethics for staff. Systematic training of newcomers, including trainees and visiting staff. • Conflict of Interest checks in Case Management applications • Yearly declaration of awareness of conflict of interest rules. • Two staff members supporting DG HR in information to staff on ethics rules. 	<p>Coverage: 100%</p> <p>Relevant staff receives yearly and specific conflict of interests declarations, and is invited to training sessions.</p>	<p>Cost: estimate of cost of staff involved.</p> <p>Benefits: staff awareness about the ethical rules, no reports of unethical behaviour, number of IDOC/OLAF investigations.</p>

Management of administrative expenditures

Main control objectives: Ensuring that the implementation of the contract is in compliance with the signed contract before payment

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
<p>The goods/services/ works foreseen are not, totally or partially, provided in accordance with the technical description and requirements foreseen in the contract and/or the amounts paid exceed that due in accordance with the applicable contractual and regulatory provisions.</p> <p>DG unable to meet some objectives and</p>	<p>Operational and financial checks in accordance with the financial circuits.</p> <p>Operation authorisation by the AO.</p> <p>Network in place for co-ordination, monitoring and follow-up of contracts.</p>	<p>100% of the contracts are controlled</p> <p>Depth: All underlying documents</p>	<p>Error rate < 2%</p> <p>Payment times</p> <p>Deterrents & systematic weaknesses corrected.</p> <p>Benefits: Controls performed comply with the base line requirements of the Financial Regulation.</p>

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
priorities because contractor unable to deliver.			

Main control objectives: Ensuring that any weakness in the procedures (tender and financial transactions) is corrected

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators
An error or non-compliance with regulatory and contractual provisions, including technical specifications, or a fraud, is not detected by ex-ante control, prior to payment.	Review of procurements and financial transactions through ex-post audits performed by the ICC. Review of reported exceptions.	Representative coverage. Depth: all underlying documents.	Amounts detected associated with fraud & error. Potential irregularities, errors and overpayments prevented. Benefits: Controls performed comply with the base line requirements of the Financial Regulation. Potential irregularities, errors and overpayments prevented. Number of cases referred to OLAF. Number of instances of overriding controls or deviations from established procedure

ANNEX 7: Specific annexes related to "Financial Management"

Table Y - Overview of DG's/EA's estimated cost of controls at Commission (EC) level:

Title of the Relevant Control System (RCS)/Other	Ex ante controls			Ex post controls			Total	
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
	EC total costs (in M€)	related funds managed/concerned (in M€)	Ratio (%)* (a)/(b)	EC total costs	total value verified and/or audited	Ratio (%)' (d)/(e)	EC total estimated cost of controls (in M€) (a)+(d)	Ratio (%)* (g)/(b)
a. – EU competition policy and enforcement actions	8.0	107.22**	7.46	N/A	N/A	N/A	8.0	7.46**
b. – Safeguard of information and IT-systems	0.19	N/A	N/A	N/A	N/A	N/A	0.19	N/A
c. – Fines imposed in the area of competition	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A***
d. – Prevention, detection and correction of fraud and irregularities	0.04	N/A	N/A	N/A	N/A	N/A	0.04	N/A
e. – Financial management – administrative expenditure	0.22	14.5***	1.52%	0.12	6.35	1.89%	0.34	2.34%***
OVERALL total estimated cost of control at EC level	8.45	121.72	6.94%	0.12	6.35	1.89%	8.57	7.04%

* Ratio possibly "Not Applicable (N/A)" if a RCS specifically covers an Internal Control Objective such as safeguarding sensitive information, reliable accounting/reporting, etc.

** Ratio is based on the allocated budget under title 3, "Administrative expenditure of the Competition policy area". This allows for correct ratio of cost of controls as competition policy is implemented through human resources rather than through financial resources. Expected high quality of policy proposals and decisions under scrutiny of stakeholders and courts justifies relatively high (7.46%) cost of controls ratio.

*** Once the decision is adopted, the cost of controls to ensure a correct registration of a fine decision corresponds to less than 10% of a full time equivalent as the number of fines decisions in a year is limited.

**** Ratio for total estimated cost based on total expenditure in 2020.

ANNEX 8: Specific annexes related to "Assessment of the effectiveness of the internal control systems"

In 2020, the ECA issued two special reports on its performance audits DG Competition. In October 2020, the European Court of Auditors (ECA) published the conclusions and recommendations related to its audit of the Commission's control of State aid to EU financial institutions. In November 2020, the European Court of Auditors (ECA) published a Special Report on EU merger control and antitrust proceedings in the period.

In November 2020, the European Court of Auditors (ECA) published a Special Report on [EU merger control and antitrust proceedings](#)⁴⁷ in the period 2010-2017. In the report, ECA concluded that the European Commission, the enforcer of EU competition rules, has generally made good use of its powers in antitrust proceedings and merger control, and addressed competition concerns with its decisions. ECA also found that overall the NCAs and the Commission cooperated well in the European Competition Network.

However, ECA points to the increasing complexity of the context in which competition rules are enforced, especially due to the challenges relating to digital markets, and sees a need to scale up market oversight. The ECA acknowledges the efforts made by the Commission since 2017 to accelerate its antitrust proceedings, and takes note of the constantly high success rate of the Commission defending its competition decisions in EU Courts. The ECA report confirms the relevance of the Commission's ongoing review of competition rules and of the tools at its disposal to ensure that they are fit for the changing market environment, including the accelerating digitalisation of the economy. As also detailed in this report, the Commission has a significant policy agenda in antitrust for the next years, including the review of its horizontal and vertical rules and guidance and of the market definition notice, as well as efforts to introduce new policy tools in digital markets, in line with the Commission Work Programme.

In the report, ECA calls for the Commission to perform a study of the deterrent effect of its fines and update its fine-setting methodology as appropriate. The Commission envisages to conduct an external study on whether the fines imposed under its current fining methodology achieve that aim. Finally, ECA recommends that the Commission regularly carries out ex-post evaluations of its enforcement. The Commission accepts this recommendation subject to the availability of sufficient resources.

In October 2020, the European Court of Auditors (ECA) published the conclusions and recommendations related to its audit of the Commission's control of State aid to EU financial institutions.⁴⁸ The audit focused on the application of financial-sector State aid

⁴⁷ European Court of Auditors Special Report 24/2020 *The Commission's EU merger control and antitrust proceedings: a need to scale up market oversight*, of 19 November 2020, available at https://www.eca.europa.eu/Lists/ECADocuments/SR20_24/SR_Competition_policy_EN.pdf.

⁴⁸ European Court of Auditors Special Report 21/2020; Control of State aid to financial institutions in the EU: in need of a fitness check <https://www.eca.europa.eu/en/Pages/DocItem.aspx?did=54624>.

rules since August 2013 (when the Commission started to apply the 2013 Banking Communication) until the end of 2018.

In its Special Report, ECA acknowledged that, overall, the EU has developed appropriate means and powers for the efficient control of State aid to banks and that the rules for the control of State aid to the financial sector were well drafted and clear. It also concluded that the Commission allocated the necessary resources and expertise to State aid enforcement in this sector and established a robust ethical framework. On the other hand, ECA was of the view that, in some areas, State aid enforcement in the financial sector could be improved. To that end, ECA recommended the Commission to conduct an evaluation of the existing rules after the current Covid-19 crisis and by 2023 at the latest, to work on document management and to encourage Member States to better respect best practices, and to improve performance management indicators.

The Commission strives to improve its enforcement action in the area of State aid, as it does in all other areas. Therefore, the Commission agreed to put forward a series of actions to follow up on ECA's recommendations. For instance, the Commission will continue to work on the improvement of its internal processes and document management system to further increase efficiency. This process has already started. The Commission also encourages Member States to respect the existing best practices on, for example, the length of pre-notification contacts. In addition, the Commission will revisit the existing performance indicators in its management reports to increase accuracy of the monitoring of its enforcement action in the relevant area.

Finally, in the context of the ECA Special Report, the Commission also committed to carry out an evaluation of current financial-sector State aid rules. As a follow-up to the Eurogroup statement of 30 November 2020,⁴⁹ the Commission continues its process towards reviewing its State aid framework for banks, using a holistic approach to ensure consistency between the Bank Recovery Resolution Directive and the Deposit Guarantee Scheme Directive, in particular in relation to adequate burden sharing of shareholders and creditors to protect taxpayers, and the preservation of financial stability.

⁴⁹ See <https://www.consilium.europa.eu/en/press/press-releases/2020/11/30/statement-of-the-eurogroup-in-inclusive-format-on-the-esm-reform-and-the-early-introduction-of-the-backstop-to-the-single-resolution-fund/>.

ANNEX 9: Reporting – Human resources, digital transformation and information management and sound environmental management

1. Human resource management

Objective 1: DG Competition employs a competent and engaged workforce and contributes to gender equality at all levels of management to effectively deliver on the Commission's priorities and core business

Indicator 1: Number and percentage of first female appointments to middle management positions

Source of data: Commission Decision SEC(2020)146 of 1 April 2020 and SYSPER

Baseline (2019)	Target ⁵⁰ (2022)	Latest known results (2020)
45%, 20 out of 44	Two first female appointments	Two first female appointments (67% of all appointments)

Indicator 2: DG Competition's staff engagement index

Source of data: Commission Staff survey 2018 and pulse surveys 2020/2021

Baseline (2018)	Target (2024)	Latest known results (2020/2021)
76%	At least 76% and maintain above the Commission average (69% in 2018)	73% compared to the Commission average of 69%

Indicator 3: Percentage of DG Competition's staff who feel that this organisation cares about their well-being at work

Source of data: Commission Staff survey 2018 and pulse survey 2020

Baseline (2018)	Target (2024)	Latest known results (2020)
59%	At least 59% and maintain above the Commission average (52% in 2018)	47% compared to the Commission average of 48%

Objective 2: Motivate, train and retain highly qualified staff and promote equal opportunities with DG Competition

Indicator 1: Turnover (% of statutory staff leaving DG Competition before three years in the DG)

Source of data: Sysper

⁵⁰ The target will be reviewed for the period 2023-2024 by January 2023.

Baseline (2019)	Target (2024)	Latest known results (2020)
3.1%	Less than 3.1%	3.1% ⁵¹
Indicator 2: DG Competition's staff engagement index Source of data: Commission Staff survey 2018 and pulse surveys 2020/2021		
Baseline (2018)	Target (2024)	Latest known results (2020/2021)
76%	At least 76% and maintain above the Commission average (69% in 2018)	73% compared to the Commission average of 69%

Objective 3: Information flows effectively throughout DG Competition so that staff understand Commission and DG Competition's objectives and how their individual work relates to these objectives		
Indicator 1: Understanding by the staff of DG Competition's priorities Source of data: Commission staff survey 2018*		
Baseline (2018)	Target (2024)	Latest known results (2018)
73%	Improve level of staff understanding	73%
Indicator 2: Understanding by the staff of DG Competition of their objectives and tasks Source of data: Commission Staff survey		
Baseline (2018)	Target (2024)	Latest known results (2018)
93%	Maintain or increase	93%

* Note that the timing of staff surveys, in relation to the stage of the mandate, may affect staff awareness of Commission-wide objectives.

⁵¹ This figure includes all statutory staff, i.e. Officials, Temporary Agents and Contract Agents, and thus also staff with contracts of limited duration. If only AD and AST officials are considered, the percentage of staff leaving DG Competition before three years in DG Competition reaches 2.1%.

2. Digital transformation and information management

Objective: DG Competition is using innovative, trusted digital solutions for better competition enforcement, information management and administrative processes to forge a truly digitally transformed, user-focused and data-driven Commission in the competition domain and beyond

Indicator 1: Degree of implementation of the digital solutions modernisation plan: case management solutions (CASE@EC)

Baseline (2019)	Target (2024)	Latest known results (2020)
18,75%	95%	18,75%

Indicator 2: Degree of implementation of the digital solutions modernisation plan: exchanges with external stakeholders (aggregated)

Baseline (2019)	Target (2024)	Latest known results (2020)
50%	100%	50%

Indicator 3: Degree of implementation of the digital solutions modernisation plan: data analytics/artificial intelligence (aggregated)

Baseline (2019)	Target (2024)	Latest known results (2020)
18,75%	100%	18,75%

Indicator 4: Percentage of new information systems (going into production in a given year) having a security plan and/or a security risk assessment

Baseline (2019)	Target (2024)	Latest known results (2020)
N/A	100%	100%

Indicator 5: Percentage of newcomers attending awareness raising activities on data protection compliance

Baseline (2018)	Target (2024)	Latest known results (2020)
90%	100%	100%

Objective: Information and knowledge in DG Competition is shared and reusable by other DGs. Important documents are registered, filed and retrievable.

Indicator 1: Percentage of registered documents that are not filed (ratio)

Baseline (2015)	Target	Latest known results (2020)
0% (EDMA)	0% (EDMA)	0%

Indicator 2: Percentage of documents in case files readable/accessible by all units in the DG.

Baseline	Target	Latest known results
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(2015)		(2020)
99%	99%	99%
Indicator 3: Percentage of files shared with other DGs		
Baseline (2015)	Target	Latest known results (2020)
0%	0%	0%
Indicator 4: Percentage of sectoral and policy units using collaborative tools		
Baseline (2019)	Target	Latest known results (2020)
100%	100%	100%
Indicator 5: Percentage of documents approved through an electronic workflow of validation		
Baseline (2019)	Target	Latest known results (2020)
80%	80%	80%

3. Sound environmental management

Objective 1: Setting up an EMAS Team		
Indicator N/A		
Source of data: My IntraComm and EMAS correspondent at DG Competition		
Baseline (2019)	Target (2020)	Latest known results (2020)
(1) Non-existing EMAS team. (2) Staff can be addressed via the news items on My IntraComm, the COMP newsletter, and the local EMAS webpage. (3) Centralized knowledge with the EMAS Correspondent.	(1) Regular team meetings. (2) Make use of existing channels and build new ones, for communication of the EMAS correspondent within the DG. (3) Gain knowledge on who and for what to address, on EMAS related questions, both internally and externally and share it with the team.	Targets met. (1) Four meetings of the team, spread in the first half of the year. (2) Next to the existing means for communication, a right for direct connection via mail to all staff was granted for the functional mailbox of the EMAS correspondent. (3) MS Teams group "Green-Dream-Team@COMP" was created, containing regularly updated knowledge collection and channels for communication on different topics.

Objective 2: Launching call for green ambassadors and volunteers		
Indicator N/A		
Source of data: EMAS correspondent at DG Competition		
Baseline (2019)	Target (2020)	Latest known results (2020)

N/A	Reach all staff	Target met. Call to all staff made via e-mail, yelling 25 volunteers.
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Objective 3: Organising local communication campaigns in line with the corporate campaigns

Indicator N/A

Source of data: My IntraComm and EMAS correspondent at DG Competition

Baseline (2019)	Target (2020)	Latest known results (2020)
N/A	N/A	(1) Dissemination of corporate EMAS related communication via MyIntracom- 5 and local EMAS actions via email- 2. (2) Maintenance of the COMP EMAS webpage.

Main outputs in 2020:			
Description Baseline ⁵² (2018)	Indicator ⁵³ (2022)	Target (2024)	Latest known results ⁵⁴ (2019)
Energy consumption of buildings (MWh / person): -8.8%	-5.2%	Achieve greater reduction	-8.2%
Water use (m3 / person): -9.5%	-5.4%	Achieve greater reduction	-25%
Office paper consumption (sheets / person / day): -32%	-34%	Achieve greater reduction	-37%
CO2 emissions from buildings (tonnes / person): -24%	-5.1%	Achieve greater reduction	-22%
Waste generation (tonnes / person): -15%	-9.7%	Achieve greater reduction	-17%

⁵² Explanation (Strategic Plan 2020-24): This indicator looks at percentage reductions compared to 2014 levels. It gives the weighted average for eight Commission sites participating in the Eco-Management and Audit Scheme (EMAS) on specific core parameters. The sites are Commission buildings in Brussels and Luxembourg, as well as JRC sites Geel (Belgium), Petten (the Netherlands), Seville (Spain), Karlsruhe (Germany), and Ispra (Italy), along with DG SANTE at Grange (Ireland).

⁵³ The Interim milestone (2022) and the Target (2024) from the Strategic Plan 2020-24 are currently under revision by the Commission's EMAS Steering committee.

⁵⁴ Source of data for latest known results: [Environmental Statement 2020](#) (2019 results) p. 7

ANNEX 10: Implementation through national or international public-sector bodies and bodies governed by private law with a public sector mission (not applicable)

ANNEX 11: EAMR of the Union Delegations (not applicable)

ANNEX 12: Decentralised agencies and/or EU Trust Funds (not applicable)