



ISSN 2599-6312

DRAFT GENERAL BUDGET OF THE EUROPEAN UNION

Working Document Part III

#EUBudget

2023
FINANCIAL
YEAR

Bodies set up by the EU
having legal personality

COM(2022) 400 - June 2022

Budget

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|-------|------------------------|--------------------|--------------------|
| Print | ISBN 978-92-76-48863-7 | doi:10.2761/428465 | KV-A0-22-005-EN-C |
| PDF | ISBN 978-92-76-48862-0 | ISSN 2599-6312 | doi:10.2761/090400 |
| | | | KV-A0-22-005-EN-N |

DRAFT GENERAL BUDGET
of the European Union
for the financial year 2023

Working Document
Part III

**Draft General Budget
of the European Union
for the Financial Year 2023**

Working Document Part III

Bodies set up by the European Union having legal personality

Draft Budget Working Documents

The 2023 Draft Budget is accompanied by thirteen ‘Working Documents’, as follows:

Part I: Programme Statements of operational expenditure

Working Document I contains, pursuant to Article 41(3)(h) of the Financial Regulation, Programme Statements, which provide for each spending programme comprehensive information on the financial implementation and progress in achieving the programme objectives. This year, the Programme Statements present the status at the end of 2021 for two different multiannual financial frameworks (MFF): 2021-2017, and 2014-2020 for those programmes for which substantial payments are still being implemented.

Part II: Human Resources of the EU institutions and executive agencies

Working Document II presents information on the human resources of the EU institutions and executive agencies, and in particular for the Commission, both for the establishment plans and for external personnel and across all headings of the multiannual financial framework. Moreover, pursuant to Article 41(3)(b) of the Financial Regulation, it provides a summary table for the period 2020 – 2023 which shows the number of full-time equivalents for each category of staff and the related appropriations for all institutions and bodies referred to in Article 70 of the Financial Regulation.

Part III: Bodies set up by the European Union having legal personality

Working Document III presents detailed information relating to all decentralised agencies and Joint Undertakings, with a transparent presentation of revenue, expenditure and staff levels of various Union bodies, pursuant to Article 41(3)(c) of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2023 Draft Budget, pursuant to Article 41(3)(f) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2022, information on assigned revenue (implementation in 2021 and estimation for 2023) and a progress report on outstanding commitments (RAL) pursuant to Article 41(3)(d) of the Financial Regulation.

Part VI: Commission expenditure under the administrative heading of the multiannual financial framework

Working Document VI encompasses administrative expenditure to be implemented by the Commission under the administrative heading of the multiannual financial framework (heading 7) in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO), pursuant to Article 41(3)(e) of the Financial Regulation.

Part VII: Commission buildings

Working Document VII presents information on buildings under Section III - Commission, pursuant to Article 266(1) of the Financial Regulation.

Part VIII: Expenditure related to the external action of the European Union

Working Document VIII presents information on human resources and expenditure related to the external action of the European Union, pursuant to Article 41(10) and (11) of the Financial Regulation.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 41(3)(g) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 41(4) of the Financial Regulation.

Part XI: Budgetary Guarantees, Common Provisioning Fund and Contingent Liabilities

Working Document XI presents the implementation of Budgetary Guarantees, the Common Provisioning Fund and the assessment of the sustainability of the contingent liabilities arising from budgetary guarantees and financial assistance pursuant to Article 41(5) of the Financial Regulation.

Part XII: EU Trust funds

Working Document XII presents the activities supported by EU Trust Funds, their implementation and performance, pursuant to Article 41(6) of the Financial Regulation.

Part XIII: Payment schedules

Working Document XIII presents summary statements of the schedule of payments due in subsequent years to meet budgetary commitments entered into in previous years, pursuant to Article 41(3)(i) of the Financial Regulation.

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INTRODUCTION

1 GENERAL CONTEXT

This Draft Budget (DB) Working Document aims at presenting key budgetary information for Bodies set up under the TFEU and the Euratom Treaty to respond to the requirements laid down in Article 41.3 (c) of Regulation (EU, Euratom) 2018/1046.

Section 2 of this introduction presents the main types of EU bodies, as used throughout this document. Subsequently, Part I of the Working Document provides detailed information on ‘decentralised agencies’, Part II on executive agencies and Part III on bodies having legal personality created in the field of research and innovation, i.e. the EIT and joint undertakings (including the European Cybersecurity Competence Centre or ‘ECCC’). Each Part contains a description of the main budgetary trends for the main types of bodies, as well as detailed budgetary financial statements for each EU body individually.

2 TYPES OF EU BODIES

This section presents the main types of EU bodies¹:

- Decentralised agencies (36 established decentralised agencies in DB 2023);
- Executive agencies (6 in 2023)
- EIT and joint undertakings (11 in DB 2023, including the ECCC) under TFEU;
- ITER Joint Undertaking (F4E) under the Euratom Treaty.

2.1 Decentralised agencies

2.1.1 Decentralised agencies: set-up

Legal bases of decentralised agencies

Most decentralised agencies are either created by the Council on the basis of Article 352 of the Treaty on the Functioning of the European Union, or by the Parliament and the Council (ordinary legislative procedure) on the basis of a specific Treaty provision. Currently, 35 decentralised agencies have been established on this basis, over a period of 40 years, and co-legislator negotiations are on-going on establishing the new Anti-Money Laundering Authority (AMLA) and on the set up of a European Union Centre to prevent and counter child sexual abuse (CSAM), in line with Commission Proposal for a Regulation of the European Parliament and of the Council laying down rules to prevent and combat child sexual abuse (COM(2022)209).

Furthermore, three decentralised agencies have been set up to carry out very specific technical, scientific and management tasks within the framework of the Common Foreign and Security Policy (CFSP). However, these three² agencies fall outside the scope of this Working Document, as these agencies (including their financing) are entirely inter-governmental.

Tasks

The tasks of decentralised agencies are diverse, inter alia:

- Some decentralised agencies have the power to adopt binding rules and/or individual decisions with direct effect (so-called 'regulatory' agencies, such as EUIPO, *European Union Intellectual Property Office*);
- Other decentralised agencies provide assistance to the Commission, and where necessary to the Member States, in the interest of the Union, in the form of technical or scientific opinions and/or inspection reports (e.g. EFSA, *European Food Safety Authority*); whereas
- Other decentralised agencies focus on networking between competent national authorities and on organising cooperation between them in the interest of the Union with a view to gathering, exchanging and comparing information and good practices (e.g. ENISA, *European Union Agency for Cybersecurity*).

Creation

Decentralised agencies were created to meet specific needs on a case-by-case basis, starting from 1975, and mostly in two large 'waves', following the Brussels European Councils of October 1993 and December 2003. The European Council also decides on the location ('seat') of the decentralised agencies, which are spread throughout the territory of the Union.

Governing structure

The decentralised agencies are governed by an Administrative Board, which as a rule is composed of representatives of all Member States, and which has the following key roles: defining the agency's operating guidelines within the legal framework established by the legislator; in particular, the Administrative Board is responsible for the adoption of the agency work programme and rules of procedure. Furthermore, the Board plays a central role in the adoption of the agency's budget, which is independent from the EU budget. As the decentralised agencies have a separate legal personality, their budgets are published separately in the EU Official Journal, under their own responsibility.

¹ This Working Document does not include information on administrative Offices (OP, OLAF, EPSO, PMO, OIB and OIL), as these do not have their own legal personality. More information on the Offices is given in DB Working Document VI (Administrative expenditure under Heading 7).

² European Defence Agency (EDA), European Union Institute for Security Studies (ISS) and European Union Satellite Centre (EUSC).

2.1.2 Decentralised agencies: budgetary and financial arrangements

Decentralised agencies in the EU budget

In addition to the information contained in the agency budgets, the EU budget also includes detailed information on decentralised agencies, such as the authorised EU contribution to the agencies and the agency establishment plans.

The EU contribution appears in the general budget, under the cluster concerned, on a dedicated budget line from operational appropriations. Accordingly, the amount of the EU contribution entered into the budget and the establishment plan are subject to the approval of the Budgetary Authority.

For decentralised agencies, since 2014, the dedicated agency budget line is no longer divided into two items, so as to reflect more clearly the unity of the EU contribution. Nonetheless, the structure of the agency budgets remains unchanged, in order to present the running costs of the agency (Titles 1 and 2) separately from the operational activities (Title 3).

Financing structure

Most decentralised agencies are funded entirely by contributions from the EU budget, as described above. Some agencies, however, depend fully or partially on other revenue, such as revenue received from industry (fees):

- Partially self-financed agencies: *European Aviation Safety Agency (EASA)*, *European Railway Agency (ERA)*; the *Agency for the Cooperation of Energy Regulators (ACER)*, *European Chemicals Agency (ECHA)*, *European Medicines Agency (EMA)* and the new proposed *Anti-Money Laundering Authority (AMLA)*;
- Fully self-financed agencies: *European Union Intellectual Property Office (EUIPO)*, *Community Plant Variety Office (CPVO)*, *Single Resolution Board (SRB)* and *Translation Centre for the Bodies of the European Union (CdT)*; and
- Agencies partially co-financed by national public authorities: *European Banking Authority (EBA)*, *European Insurance and Occupational Pensions Authority (EIOPA)* and *European Securities and Markets Authority (ESMA)*.

The financing structure as outlined above also has an impact on the annual budgetary procedure, in particular as regards three of the fully self-financed agencies: in the case of EUIPO, CPVO and SRB, the effective control over revenue, expenditure and staffing issues is exercised by their respective Boards, but not by Parliament and Council. As a consequence, this Working Document presents general information on EUIPO, CPVO and SRB in the overview tables for decentralised agencies; a less detailed budgetary financial statement is included for these self-financed bodies, reflecting the information received from these bodies.

Framework Financial Regulation

Article 70 of the General Financial Regulation³ lays down that the “Commission is empowered to adopt delegated acts in accordance with Article 269 of this Regulation to supplement this Regulation with a framework financial regulation for bodies which are set up under the TFEU and the Euratom Treaty and which have legal personality and receive contributions charged to the budget. The framework financial regulation shall be based on the principles and rules set out in this Regulation, taking into account the specificities of the bodies referred to in paragraph 1. The financial rules of the bodies referred to in paragraph 1 shall not depart from the framework financial regulation except where their specific needs so require and subject to the Commission’s prior consent”.

The Commission has revised the Framework Financial Regulation⁴, notably with a view to aligning the text with the new General Financial Regulation and to respond to certain new developments surrounding decentralised agencies. The revised version of the Framework Financial Regulation has entered into force on 1 January 2019.

Discharge procedure

The financing structure of agencies (whether or not they actually receive contributions from the EU budget) also has an impact on the discharge procedure applicable, as laid down in Article 70(4) of the General Financial Regulation: with the exception of three fully self-financed agencies⁵, all decentralised agencies are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

³ Regulation (EU, Euratom) No. 2018/1046 of 18 July 2018 on the financial rules applicable to the general budget of the Union.

⁴ Commission Delegated Regulation (EU) No 2019/715 of 18 December 2018, OJ L 122, 10.5.2019.

⁵ European Union Intellectual Property Office (EUIPO), Community Plant Variety Office (CPVO) and the Single Resolution Board (SRB) are subject to discharge of their governing boards only.

Single Programming Documents

Article 32 of the Framework Financial Regulation as revised in December 2018 requires agencies to prepare a ‘programming document’ containing multiannual and annual programming. This single programming document integrates previous documents such as annual work programmes, multiannual work programmes and the staff policy plan, with a view to providing a consolidated presentation of agency activities and resources needs. The Commission opinion on the single programming documents looks amongst others at the conformity of general staff policy with the Staff Regulations (including implementing rules), the Commission policy and the Commission guidelines, conformity with the multi-annual financial programming, justification of any additional posts on the basis of new tasks assigned by the legislative authority, workload indicators and past implementation, recruitment at basic grades and promotion rates.

Evaluations

In their joint statements of 13 July 2007, the European Parliament and the Council also requested the Commission to present information on ongoing and planned evaluations of decentralised agencies, each year at the October budgetary trilogue at the latest. The evaluation of decentralised agencies depends on the legal act applicable. Usually, the responsibility for these evaluations, which are often required every five years, lies with the agency's board. As a follow-up to the joint declaration of July 2007, information on agency evaluations has been included in the individual budgetary financial statements (Part I section 2.2.1).

Application of IIA point 27

Point 27 of the Inter-institutional Agreement of 16 December 2020 on budgetary discipline, cooperation in budgetary matters and on sound financial management foresees a procedure for the two arms of the budgetary authority (Parliament and Council) to assess the budgetary impact of the creation of new agencies and to arrive at a timely agreement on the financing of the agency, as proposed by the Commission. Before creating a new agency, the Commission shall present any proposal to the budgetary authority, including a legislative financial statement explaining the budget impact of the proposal. The Commission shall assist the legislator in assessing the proposal. Before the conclusion of the legislative proposal, the Commission shall present an updated legislative financial statement when necessary. Once an agreement has been reached during a trilogue, it shall be confirmed in a joint declaration and will be subject to approval by the European Parliament and the Council, each in accordance with its own rules of procedure.

The same procedure would be applied to any amendment to a legal act concerning an agency in question which would have an impact on the resources of the agency in question. Should the tasks of an agency be modified substantially without an amendment to the legal act setting up the agency in question, the Commission shall inform the European Parliament and the Council by means of a revised financial statement, so as to allow the European Parliament and the Council to arrive at a timely agreement on the financing of the agency.

2.2 Executive agencies

Firstly, this section recalls the reasons for the setting up of executive agencies, as part of the major Commission reform of 1999 / 2000. The section then provides an overview of the use of executive agencies under the 2021 -2027 multiannual financial framework, on the basis of the ‘delegation package’ adopted by the Commission in February 2021 after having received the positive opinion of the Council’s committee on executive agencies and after transmission to the Budgetary Authority for comments. This section then ends by providing an overview of the executive agencies in terms of budgetary arrangements, staffing and impact on the human resources of the Commission. Individual budgetary financial statements for all executive agencies are presented in Part II section 2.

2.2.1 Executive agencies: context of creation

In December 1999, the Commission undertook a major review of its externalisation policy⁶, in order to correct the shortcomings previously detected due to the poor control of some technical administrative support offices (TAOs, also known under the French acronym of BATs). Essentially, the guidelines aimed to re-focus the Commission's attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, and to define acceptable forms of externalisation, including a new type of implementing bodies: executive agencies.

The principle of executive agencies was already laid down in the recasting of the General Financial Regulation adopted by the Council on 25 June 2002 (Articles 54 and 55 of Regulation (EC, Euratom) No. 1605/2002). Council Regulation (EC) No. 58/2003 of 19 December 2002 ('the Framework Regulation') lays down the statute of executive agencies to which the Commission, under its own control and responsibility, may entrust certain tasks relating to the management of Union programmes' (Article 1 of the Framework Regulation). Furthermore, executive agencies have a 'Standard Financial Regulation' which applies to their own operating budget (Commission Regulation (EC) No. 1653/2004, as last amended by Commission Regulation (EC) No. 651/2008 of 9 July 2008).

The fundamental features of executive agencies are autonomy and dependence:

- Autonomy, as these bodies have their own legal personality and hence the ability to adopt legal acts (such as agreements, contracts and individual decisions) themselves when managing projects; they have their own operating budget and are subject to a separate discharge in respect of its implementation.
- Dependence, as these structures are set up only to perform the tasks entrusted by the Commission,
 - In accordance with strict rules determined by the Commission, laying down the tasks, the conditions and the arrangements for performing them in such a way as to comply with the objectives set by the Commission, and the institutional restrictions in the performance of the tasks, in particular the absence of any margin of discretion implying political choices;
 - With managing organs appointed by the Commission (steering committee and director), and administered, at least in part, by Commission staff, given that positions of responsibility are to be filled by EU officials on secondment; and
 - Under the control and responsibility of the Commission.

2.2.2 Executive agencies: set-up and impact on staffing

All six executive agencies are established for a limited time (the delegation package adopted in February 2021 extended the lifetime to 31 December 2028) and are fully operational as shown in the table below:

| Acronym | Name | Fully operational as from |
|---------|-------------------------------------------------------------------|---------------------------|
| EACEA | European Education and Culture Executive Agency | 01/01/2006 |
| EISMEA | European Innovation Council and SMEs Executive Agency | 01/01/2006 |
| CINEA | European Climate, Infrastructure and Environment Executive Agency | 15/04/2008 |
| REA | European Research Executive Agency | 15/06/2009 |
| ERCEA | European Research Council Executive Agency | 15/07/2009 |
| HaDEA | European Health and Digital Executive Agency | 16/02/2021 |

⁶ Guidelines for the Commission's externalisation policy; Communication of Mrs Schreyer and Mr Kinnock, SEC (1999) 2051, 14 December 1999.

In this context, 'fully operational' is defined as 'full operational and accounting autonomy', in terms of both management of operational programmes and management of the operating budget of the executive agency. However, executive agencies are already operational before full autonomy is granted, for instance in the launch of the call for proposals. The time needed to plan and set up executive agencies is substantial, due to the need for drafting and getting the delegation instrument agreed, implementing internal control standards, putting in place robust financial circuits, recruiting temporary or contract agents and seconding officials. A key step in this regard is the appointment of the Director of the agency, after which the recruitment of agency personnel can start.

The Framework Regulation 58/2003 (Art. 25) also requires the Commission to draw up an external evaluation report on the first three years of operation of each executive agency. This evaluation, which has to include a cost-benefit analysis, is to be submitted to the steering committee of the executive agency, to the European Parliament, to the Council and to the Court of Auditors. The evaluation then has to be repeated every three years, under the same conditions. More details on external evaluations of executive agencies are given in the budgetary financial statements (section 6.2 of the individual budgetary statements of part II, section 2).

2.2.3 *Executive agencies: location and staffing*

In accordance with the Framework Regulation 58/2003 (Art. 5 § 1), executive agencies are located at the place where the Commission and its departments are located. In practice, this means that the executive agencies are located in Brussels.

Executive agency personnel consists of two main groups, with different characteristics and different recruitment procedures applicable:

- Temporary Agents, either Commission officials seconded to positions of responsibility, or external temporary agents recruited by the agency;
- Contract Agents and Seconded National Experts, recruited by the agency.

As foreseen in the Framework Regulation 58/2003, the creation of executive agencies has a two-fold impact on the Commission human resources:

- 'Freed' posts (Art. 13 § 6c): tasks transferred from Commission services to executive agencies free Commission resources.
- 'Frozen' posts (Art. 18 § 2b): in accordance with Article 38.c of the Staff Regulations, the posts of Commission officials seconded to executive agencies remain vacant in the Commission during their secondment (i.e., the posts are 'frozen'). A corresponding number of posts are without budgetary coverage in the establishment plan of the Commission. This generates a corresponding reduction of administrative appropriations in the Commission budget.

Essentially, the question whether and to what extent the creation of an executive agency leads to freed and frozen posts depends on whether the executive agency takes over the responsibility for implementing a programme from the Commission services; and whether the budget to be managed for a given programme grows significantly, as compared to the past.

2.2.4 *Executive agencies: 2021-2027 multiannual financial framework*

On 12 February 2021, the Commission adopted the decision⁷ establishing the new generation of executive agencies and the corresponding Commission delegating decisions setting out the major components of the mandate and tasks entrusted to them. The effective date of the delegation of tasks to the new generation of executive agencies was 1 April 2021. This delegation package reflects that, based on the successful experience in previous multiannual financial frameworks, the Commission has decided to make greater use of executive agencies for the implementation of the new EU programmes. The Commission has designed the portfolios of the six executive agencies to ensure a strong thematic focus and close alignment with the headline ambitions of the Commission. The new portfolios ensure that each of the executive agencies is of sufficient size to operate efficiently, and allow synergies between EU programmes to be exploited more fully.

The delegation package determined also the necessary staffing levels for each programme and executive agency. These staffing levels were based on the expected delegated budget that will be managed by each of executive agencies and

⁷ Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L 50, 15.2.2021, p. 9).

productivity targets. By ensuring consistency with the staffing levels of the delegation package, the Commission will monitor that these productivity targets and cost savings are reached, as presented in the cost-benefit analysis of the delegation package.

By adding up the programmes financed outside the EU budget and delegated to the executive agencies (i.e. including the Innovation Fund, the Renewable Energy Financing Mechanism and the Just Transition Mechanism 3rd Pillar), the delegation package results in an expected increase of the budget managed by executive agencies in 2021-2027 of 49% in constant prices compared to 2014-2020. The corresponding increase of staff is planned to be 29% to 3 429 FTEs in 2027. The proposed change in staffing for 2022 and the corresponding reduction in reduction of human resources in the Commission is highlighted in section part II, section 1 and in the individual budgetary financial statements of part II.

2.3 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

2.3.1 The European Institute of Innovation and Technology (EIT)

Legal base of EIT

The draft budget request for the EIT is consistent with the budgetary envelope set out in the Horizon Europe programme⁸ and the overall financial programming of Horizon Europe. The legal basis to continue operating the EIT is provided in the EIT Regulation and a new Strategic Innovation Agenda for the EIT⁹.

Tasks

In short, the task of EIT is to reinforce the innovation capacity of the Union and the Member States, by bringing together the best actors operating in the 'knowledge triangle' (higher education, research and innovation).

Creation

The EIT has been created in 2008 (Regulation 294/2008), adopted on the basis of Article 173 TFEU (ordinary legislative procedure).

Governing structure

In terms of budgetary and financial arrangements, EIT follows largely the example of the decentralised agencies as described in section 2.1.2 above. This applies in particular as regards:

- The inclusion of information in the EU budget;
- The budget structure: one article, plus (unlike the decentralised agencies) one article for completion;
- The application of the Framework Financial Regulation (albeit with some derogations, in view of the special character of EIT);
- The single programming document;
- The discharge procedure;
- The principle of periodic evaluations.

EIT has become financially autonomous in June 2011.

2.3.2 Joint undertakings (JU)

Legal bases/ creation

Essentially, joint undertakings are set up to attract public and private knowledge and capital, as public-private partnerships and public-public partnerships with Member States in key areas where research and development could contribute to Europe's wider competitiveness goals and where traditional instruments are not adequate. The bodies will lead to the formation of wide partnerships that will involve a large number of players from industry, including notably SMEs, the research community and wider society.

⁸ Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013

⁹ At the time of finalising this Working Document, the European Parliament and the Council had reached an agreement on the EIT Regulation and the Strategic Innovation Agenda, but both were still pending publication in the Official Journal.

The legal bases for the first generation of joint undertakings and joint technology initiatives were adopted in 2007 and 2008, and were amended in May 2014 (BBI, IMI2, Clean Sky2, FCH2 and ECSEL) and June 2014 (SESAR2 and S2R).

On 23 February 2021, the Commission tabled its main proposal¹⁰ for the new generation of joint undertakings under Horizon Europe. The proposal was adopted¹¹ by the Council on 19 November 2021 and covers nine institutionalised European partnerships based on Article 187 TFEU, some of which are building on joint undertakings that already existed in the 2014-2020 Multiannual Financial Framework:

1. **Innovative Health Initiative** (predecessor: IMI2), to create an EU-wide health research and innovation ecosystem that facilitates the translation of scientific knowledge into tangible innovations, from prevention to disease management. It will contribute to reaching the objectives of Europe's Beating Cancer Plan, the new Industrial Strategy for Europe and the Pharmaceutical Strategy for Europe;
2. **Global Health EDCTP3** (new), to deliver new solutions for reducing the burden of infectious diseases in sub-Saharan Africa, and strengthen research capacities to prepare and respond to re-emerging infectious diseases in sub-Saharan Africa and across the world;
3. **Chips** (renamed from Key Digital Technologies, predecessor: ECSEL), to support the digital transformation of all economic and societal sectors and the European Green Deal, as well as support research and innovation towards the next generation of microprocessors, and help boost competitiveness and Europe's technological sovereignty. As part of the European Chips Act¹², the Commission proposed on 8 February 2022 that the Chips joint undertaking steps up its efforts to combine the financial and technical means that are essential to master the escalating pace of innovation in the semiconductor arena, generate important spill-overs for society, and share risk-taking by federating strategies and investments towards a common European interest;
4. **Smart Networks and Services** (new), to support technological sovereignty for smart networks and services in line with the new industrial strategy for Europe, the new EU Cybersecurity Strategy and the 5G Toolbox. It aims to help resolve societal challenges and to enable the digital and green transition, as well as support technologies that will contribute to the economic recovery;
5. **Single European Sky ATM Research 3** (predecessor: SESAR2), to accelerate the technological transformation of air traffic management in Europe, aligning it to the digital age, to make the European airspace the most efficient and environmentally friendly and to support the competitiveness and recovery of Europe's aviation sector post-COVID19;
6. **Clean Aviation** (predecessor: Clean Sky2), to develop the next generation of ultra-efficient low-carbon aircraft, with novel power sources, engines, and systems, improving competitiveness and employment in the aviation sector that will be especially important for the recovery;
7. **Europe's Rail** (predecessor: Shift2Rail), to speed up the development and deployment of innovative technologies, especially digital and automation ones, to achieve the radical transformation of the rail system and deliver on the European Green Deal objectives. By improving competitiveness, it will support European technological leadership in rail;
8. **Clean Hydrogen** (predecessor: FCH2), to accelerate the development and deployment of a European value chain for clean hydrogen technologies. It will focus on producing, distributing and storing clean hydrogen and, on supplying sectors that are hard to decarbonise, such as heavy industries and heavy-duty transport applications;
9. **Circular Bio-based Europe** (predecessor: BBI), to develop and expand the sustainable sourcing and conversion of biomass into bio-based products and to support the deployment of bio-based innovation at regional level with the active involvement of local actors and with a view to reviving rural, coastal and peripheral regions.

These nine joint undertakings established only under Horizon Europe come in addition to two entities that may receive a contribution from other programmes of the EU budget:

10. **EuroHPC JU** was created in 2018 to provide a framework for acquisition and access to an integrated world-class pre-exascale supercomputing and data infrastructure in the Union and support the development of latest High Performance Computing and Data Infrastructure technologies and its applications. The joint undertaking became financially autonomous in September 2020. The Commission's proposal¹³ to continue the EuroHPC JU activities under the 2021-2027 programmes (Horizon Europe, Digital Europe Programme and the Connecting Europe

¹⁰ COM(2021) 87 final.

¹¹ Council Regulation (EU) 2021/2085.

¹² COM(2022) 45 final.

¹³ COM(2020) 569 final.

Facility) was adopted¹⁴ by the Council on 13 July 2021.

11. **European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)** was adopted¹⁵ on 20 May 2021 by the European Parliament and the Council, based on the Commission's proposal of September 2018¹⁶, to stimulate the European cybersecurity technological and industrial ecosystem to coordinate and pool relevant resources in the EU (including contributions from the EU budget under the Digital Europe Programme and Horizon Europe). The ECCC shall adopt its specific financial rules in accordance with Article 70 of the Financial Regulation.

As a principle, the levels of appropriations and human resources proposed for 2023 are in line with the joint undertakings' respective legal basis and largely based on the estimates provided in the legislative financial statements accompanying the Commission proposals. The most significant deviation compared to the Single Basic Act affects the ex-Key Digital Technologies joint undertaking, which the Commission has proposed – in the context of the European Chips Act – to rename Chips joint undertaking and to significantly reinforce with a larger contribution from Horizon Europe and a new contribution from the Digital Europe Programme. Other deviations consist, for example, in frontloading the EU contribution to the Clean Hydrogen joint undertaking, and in aligning the EU contribution to the running costs of joint undertakings with the final provisions of the Single Basic Act. When relevant (i.e. when the joint undertaking succeeds to an existing entity), the appropriations and staff for 2022 also provide for the completion of the activities launched under Horizon 2020.

In addition to the information contained in the budgets of the joint undertakings, this Working Document also includes detailed information on these bodies, such as their authorised establishment plans.

Financing structure

The joint undertakings are typically funded by contributions from the EU budget on the one hand and public / private parties on the other (the latter both in appropriations and in kind). This concerns both the operational expenditure and the running costs of the bodies, such as staff and other administrative expenditure.

Model Financial Regulation and Framework Financial Regulation

The Model Financial Regulation applies to bodies established under Article 71 of the Financial Regulation i.e. all the above-mentioned joint undertakings except the European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)¹⁷.

The Framework Financial Regulation applies to bodies established under Article 70 of the Financial Regulation¹⁸ (i.e. only to the ECCC in the list above). This means that 'the financial rules of [the ECCC] may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'.

Discharge procedure

The bodies established under Article 70 of the Financial Regulation are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

The bodies established under Article 71 of the Financial Regulation are also subject to a discharge procedure as provided for in their respective founding Regulations.

Single Programming Documents

Single Programming Documents are drawn up for the bodies established under Article 70 of the Financial Regulation. They contain multiannual and annual programming. The multiannual programme shall set up overall strategic programming including objectives, expected results and performance indicators and resource programming, including multiannual budget and staff.

Evaluations

The evaluation of the joint undertakings depends on the legal act applicable, bearing in mind also that they are set up for a limited period (typically until 2031, with the exception of ITER – F4E, see 2.2). Information on evaluations of joint undertakings is included in the individual budgetary financial statements (Part II section 2.2).

¹⁴ Council Regulation (EU) 2021/1173.

¹⁵ Regulation (EU) 2021/887.

¹⁶ COM(2018)630 final.

¹⁷ While Sesar2 was established under Article 70 of the Financial Regulation, its successor Single European Sky ATM Research 3 falls under Article 71.

¹⁸ The same article of the Financial Regulation applies to decentralised agencies.

2.4 Bodies having legal personality created in the field of research and innovation under the Euratom Treaty

2.4.1 Fusion4Energy (F4E)

Tasks

Fusion for Energy (F4E) is the Joint Undertaking to implement an international agreement on the development of Fusion Energy.

Fusion is expected to play an important role in Europe's future energy landscape as a virtually inexhaustible climate-friendly energy source. The ITER project aims at key scientific breakthroughs in the development of fusion.

European participation in ITER project is based on the ITER Agreement signed in November 2006 between seven global partners, Euratom, the United States, the Russian Federation, Japan, China, South Korea and India. ITER represents a unique first-of-a-kind project developed through international scientific collaboration, which allows for the synergies and mobilisation of financial resources that would not be possible for a single state.

The overall architecture of the ITER project calls for contracting parties to operate through Domestic Agencies. Europe is the Host Party of the project according to the ITER Agreement. The establishment of a Fusion for Energy Joint Undertaking under Chapter 5 of the Euratom Treaty was considered in 2007 as the most appropriate solution to operate this role of Domestic Agency and to discharge the Euratom obligations towards the ITER Organization pursuant to the conclusion of the ITER Agreement.

Legal bases/creation

The legal basis for the Fusion for Energy JU has been adopted on 16 March 2007. It establishes the JU for a period of 35 years starting from 19 April 2007. The amendment proposed by the Commission in June 2018¹⁹ to provide for the funding under MFF 2021-2027 was adopted on 22 February 2021²⁰.

Financing structure

The funding of F4E comes from Euratom contribution, French contribution and Members contributions.

Framework Financial Regulation and Model Financial Regulation

F4E is a body established under Article 70 of the Financial Regulation and the Framework Financial Regulation therefore applies to it. This means that 'the financial rules of F4E may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'.

Discharge procedure

In accordance with Article 70(4) of the Financial Regulation, F4E is subject to discharge for the budget implementation by the European Parliament on the recommendation of the Council.

Single Programming Document

Single Programming Documents are drawn up for F4E as it is a body established under Article 70 of the Financial Regulation.

Evaluations

For the period of funding 2021-2027, an interim evaluation is foreseen to take place no later than 2024, and a final evaluation no later than four years after end of funding period. Information on evaluations of F4E JU is included in the individual budgetary financial statements (Part II section 2.2).

¹⁹ Proposal for a Council Decision amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it COM(2018) 445 of 7 June 2018.

²⁰ Council Decision (Euratom) 2021/281 of 22 February 2021 amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it

2.5 Types of EU bodies: comparison table

| | Decentralised agencies | Joint undertakings and EIT | Executive agencies |
|------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Number of EU bodies included in DB 2023 | – 35 established decentralised agencies and 1 proposed (AMLA) | 12 joint undertakings (of which 2 established under Art.70 FR and 10 under Art.71) + EIT | 6 executive agencies |
| Legal base | Dedicated basic acts – currently, 35 decentralised agencies established by Council (Art. 352 TFEU) or Parliament and Council (ordinary legislative procedure) and 1 proposed | Dedicated basic acts – Euratom: ITER – F4E – Council Decision / Regulation (Art. 187, 188 TFEU): IMB, FCH3, Clean Sky3, KDT, BBI2, Shift2Rail2, SESAR3, SNS, GlobalHealth and EuroHPC – Ordinary legislative procedure (Art. 173 TFEU): EIT, ECCC | Basic act for the operational programme whose implementation they support Commission decision in order to delegate the implementation of all or part of an EU programme(s) |
| Financial Regulation | Framework Financial Regulation for the bodies referred to in Article 70 of the General Financial Regulation | – Framework Financial Regulation for the bodies established under Article 70 of the Financial Regulation (ECCC, F4E, EIT); – Model Financial Regulation for the bodies established under Article 71 of the Financial Regulation | Standard Financial Regulation for Executive Agencies |
| Tasks | – Power to adopt binding rules and/or individual decisions with direct legal effect – Provide assistance to the Commission and where necessary to the Member States in the interest of the Union in the form of technical or scientific opinions and/or inspections reports – Focus on networking between national competent authorities and on organising between them in the interest of the Union with a view of gathering, exchanging and comparing information and good practices | – Efficient execution of EU research, technological development and demonstration programmes – Reinforce innovation capacity of the Union and the Member States | Tasks in the management of EU programmes – executive and operational tasks, not involving 'political choices' |
| Transfer of Commission tasks | In general, no | Partially yes (but pooling with other actors) | Yes (certain implementation tasks), often combined with growing programmes |
| Procedure for creation | | | Reference in the explanatory memorandum of the proposal for the basic act of the programme to be managed |
| Preparatory actions | Prior to Commission proposal: impact assessment study and ex-ante evaluation | Prior to Commission proposal: impact assessment study and ex-ante evaluation | Cost-benefit analysis prior to Commission decision covering, in accordance with Art 3§1 of Regulation 58/2003, factors such as identification of tasks justifying outsourcing, cost of coordination & checks, impact on HR, possible savings within the general budgetary framework, efficiency and flexibility in the implementation of tasks outsourced, simplification of the procedures used, proximity of outsourced activities to final beneficiaries, visibility of the Union as promoter of the EU programme concerned and the need to maintain an adequate level of know-how inside the Commission |
| Involvement of the Budgetary Authority | Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 27 | Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 27 | Needs approval from the Committee for Executive Agencies (CREA) and prior information of the budget authority (code of conduct convened with the Parliament as revised in 2007) |
| Budget – financing structure | Most decentralised agencies: EU funded Exception: EASA, ERA ACER, AMLA and EMA: combination of EU contributions and self-financing (fees) EUIPO, CPVO, SRB and CdT: fully self-financed (fees) EBA, EIOPA and ESMA: partially co-financed by national public authorities | – Joint undertakings: funding from Horizon Europe (plus CEF and Digital Europe for EuroHPC, and Digital Europe for Chips and ECCC) together with other external sources (partially in kind) – F4E: funding from ITER programme (there is also members contributions and ITER Host State contribution) – EIT: funding from Horizon Europe | Fully subsidised as a part of the financial envelope (administrative expenditure) of the programmes delegated to the executive agency. |

PART I – DECENTRALISED AGENCIES

1 MAIN BUDGETARY TRENDS

This section on 'main budgetary trends' starts with a historical table (section 1.1) showing the evolution, over the period 2015 - 2021, of decentralised agency budget contributions and staff (authorised establishment plans). The historical table is followed by a method for determining the EU contribution to the agencies, taking into account agency assigned revenues and cancellation of appropriations in the budgets of the agencies (section 1.2) and the presentation of the EU contribution and staffing level for 2023 by heading (section 1.4.3). Finally, this part I presents overview tables on Commission budget implementation for decentralised agencies in 2021 (section 1.4) and on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2021, section 1.5).

1.1 Historical tables —2015-2021

Tables below show, by financial framework heading, the historical evolution of the decentralised agencies over the period 2015-2021, in terms of implemented EU budget contribution to and authorised staff levels of the agencies. The EU contribution reflects the commitments made from the final adopted budget (including transfers) and from assigned revenue, minus the assigned revenue carried over to the next year. These historical tables should be seen as a complement to the overview tables in 1.4 below, which show the budgeted and proposed EU contribution and staff levels for the years 2022 and 2023.

1.1.1 Historical table 2015-2021: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|-----------------------|------------------|--------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| European Aviation Safety Agency (EASA) <i>Authorised establishment plan</i> | 02 10 01 (06 02 02) | Köln | 2002 | 35,4 679 | 37,3 676 | 35,7 678 | 37,7 680 | 38,6 680 | 36,5 680 | 39,6 680 |
| European Maritime Safety Agency (EMSA) Of which anti-pollution measures <i>Authorised establishment plan</i> | 02 10 02 (06 02 03) (06 02 03 02) | Lisbon | 2002 | 53,21 21,2 207 | 54,00 22,2 202 | 73,17 23,4 212 | 75,53 25,3 212 | 78,23 29,8 212 | 78,28 25,0 212 | 83,50 212 |
| European Union Agency for Railways (ERA) <i>Authorised establishment plan</i> | 02 10 03 (06 02 04) | Lille Valenciennes | 2004 | 26,0 137 | 27,0 135 | 30,7 139 | 28,7 148 | 27,6 148 | 28,1 148 | 27,7 151 |
| European Union Agency for Network and Information Security (ENISA) <i>Authorised establishment plan</i> | 02 10 04 (09 02 03) | Athens | 2004 | 9,4 48 | 10,3 48 | 10,5 48 | 10,7 47 | 15,7 59 | 20,4 69 | 22,5 76 |
| Body of European Regulators for Electronic Communications (BEREC) — Office <i>Authorised establishment plan</i> | 02 10 05 (09 02 04) | Riga | 2009 | 4,0 15 | 3,8 15 | 4,2 14 | 4,3 14 | 5,7 16 | 7,0 16 | 7,2 16 |
| Agency for the Cooperation of Energy Regulators (ACER) <i>Authorised establishment plan</i> | 02 10 06 (32 02 10) | Ljubljana | 2009 | 10,5 54 | 15,3 69 | 13,0 68 | 13,4 67 | 15,5 67 | 17,0 71 | 13,3 71 |
| European Chemicals Agency (ECHA) — Chemicals legislation <i>Authorised establishment plan</i> | 03 10 01 01 (02 03 03) | Helsinki | 2006 | p,m, 431 | 60,5 420 | 56,6 410 | 21,9 404 | 58,4 404 | 60,7 404 | 61,2 404 |
| European Chemicals Agency (ECHA) — Activities in the field of biocides legislation <i>Authorised establishment plan</i> | 03 10 01 02 (17 04 07) | Helsinki | 2011 | 6,0 47 | 0,5 39 | 2,8 44 | 4,3 47 | 2,9 50 | 7,2 52 | 9,3 52 |
| European Banking Authority (EBA) <i>Authorised establishment plan</i> | 03 10 02 (12 02 04) | Paris | 2010 | 15,5 120 | 12,6 127 | 12,9 134 | 16,9 145 | 17,5 155 | 17,0 154 | 18,5 162 |
| European Insurance and Occupational Pensions Authority (EIOPA) <i>Authorised establishment plan</i> | 03 10 03 (12 02 05) | Frankfurt | 2010 | 8,2 90 | 8,2 93 | 9,1 101 | 9,4 112 | 10,2 124 | 10,3 127 | 12,0 138 |
| European Securities and Markets Authority (ESMA) <i>Authorised establishment plan</i> | 03 10 04 (12 02 06) | Paris | 2010 | 12,1 137 | 9,9 140 | 11,2 150 | 11,6 156 | 13,5 210 | 15,4 226 | 17,9 250 |
| European Union Agency for the Space Programme (EUSPA) <i>Authorised establishment plan</i> | 04 10 01 (02 05 11) | Prague | 2004 | 26,3 102 | 28,9 113 | 28,2 116 | 32,2 128 | 33,4 139 | 35,4 150 | 57,1 191 |
| Total decentralised agencies – heading 1 <i>Authorised establishment plan</i> | | | | 206,6 2 067 | 268,5 2 077 | 288,0 2 114 | 266,6 2 160 | 317,2 2 264 | 333,4 2 309 | 370,0 2 403 |

1.1.2 Historical table 2015-2021: Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|--------------|------------------|--------------------------------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| European Centre for Disease Prevention and Control (ECDC) <i>Authorised establishment plan</i> | 06 10 01 (17 03 10) | Stockholm | 2004 | 53,4 190 | 55,6 186 | 57,0 182 | 56,2 180 | 57,7 180 | 59,4 180 | 166,4 208 |
| European Food Safety Authority (EFSA) <i>Authorised establishment plan</i> | 06 10 02 (17 03 11) | Parma | 2002 | 78,8 337 | 79,0 330 | 78,9 323 | 78,7 319 | 79,5 320 | 102,6 345 | 128,7 380 |
| European Medicines Agency (EMA) Of which special contribution for orphan medicinal products <i>Authorised establishment plan</i> | 06 10 03 01 (17 03 12) 06 10 03 02 | Amsterdam | 1993 | 21,18 13,2 599 | 6,95 4,9 602 | 14,82 14,0 596 | 18,83 11,9 591 | 35,50 11,7 591 | 54,51 11,4 596 | 12,65 12,2 657 |
| European Foundation for the Improvement of Living and Working Conditions (Eurofound) <i>Authorised establishment plan</i> | 07 10 01 (04 03 11) | Dublin | 1975 | 20,4 97 | 20,4 95 | 20,4 93 | 20,2 91 | 20,8 91 | 20,9 91 | 21,6 91 |
| European Agency for Safety and Health at Work (EU-OSHA) <i>Authorised establishment plan</i> | 07 10 02 (04 03 12) | Bilbao | 1994 | 14,8 42 | 15,0 41 | 14,9 40 | 15,3 40 | 15,4 40 | 15,7 40 | 15,9 40 |
| European Centre for the Development of Vocational Training (Cedefop) <i>Authorised establishment plan</i> | 07 10 03 (04 03 13) | Thessaloniki | 1975 | 17,2 96 | 18,3 94 | 16,1 92 | 17,4 91 | 17,4 91 | 17,5 91 | 17,8 91 |
| European Union Agency for Fundamental Rights (FRA) <i>Authorised establishment plan</i> | 07 10 04 (33 02 06) | Vienna | 2007 | 21,1 73 | 21,2 74 | 22,4 72 | 22,0 72 | 21,9 72 | 23,2 72 | 23,8 72 |
| European Institute for Gender Equality (EIGE) <i>Authorised establishment plan</i> | 07 10 05 (33 02 07) | Vilnius | 2006 | 7,5 29 | 7,5 28 | 7,5 27 | 7,7 27 | 7,8 27 | 7,5 27 | 8,6 27 |
| European Training Foundation (ETF) <i>Authorised establishment plan</i> | 07 10 06 (04 03 14) | Turin | 1990 | 20,1 92 | 20,1 90 | 20,1 88 | 20,1 86 | 20,3 86 | 20,6 86 | 21,2 86 |
| The European Union's Judicial Cooperation Unit (Eurojust) <i>Authorised establishment plan</i> | 07 10 07 (33 03 04) | The Hague | 2002 | 33,2 205 | 43,3 203 | 48,2 208 | 38,2 209 | 38,0 208 | 41,5 207 | 53,0 207 |
| European Public Prosecutor's Office (EPPO) <i>Authorised establishment plan</i> | 07 10 08 (33 03 05) | Luxembourg | 2019 | | | | | 3,1 37 | 11,7 58 | 34,3 95 |
| European Labour Authority (ELA) <i>Authorised establishment plan</i> | 07 10 09 (04 03 15) | Bratislava | 2019 | | | | | 1,9 16 | 11,7 20 | 23,7 38 |
| Total decentralised agencies – heading 2 <i>Authorised establishment plan</i> | | | | 287,7 <i>1 760</i> | 287,1 <i>1 743</i> | 300,3 <i>1 721</i> | 294,7 <i>1 706</i> | 319,3 <i>1 759</i> | 386,9 <i>1 813</i> | 527,5 <i>1 992</i> |

1.1.3 Historical table 2015-2021: Decentralised agencies of Heading 3 - Natural Resources and Environment

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------|------------|------------------|--------------------------------------------------------|------|------|------|------|------|------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| European Fisheries Control Agency (EFCA) <i>Authorised establishment plan</i> | 08 10 01 (11 06 64) | Vigo | 2005 | 9,3 | 9,0 | 16,9 | 16,7 | 16,5 | 16,3 | 20,4 |
| | | | | 52 | 51 | 61 | 61 | 61 | 61 | 65 |
| European Chemicals Agency (ECHA) — Environmental directives and International conventions <i>Authorised establishment plan</i> | 09 10 01 (07 02 05) | Helsinki | 2012 | 1,2 | 1,1 | 1,2 | 1,1 | 1,5 | 3,0 | 5,2 |
| | | | | 6 | 6 | 6 | 7 | 7 | 7 | 11 |
| European Environment Agency (EEA) <i>Authorised establishment plan</i> | 09 10 02 (07 02 06) | Copenhagen | 1990 | 36,2 | 36,9 | 36,6 | 38,3 | 40,4 | 42,6 | 49,4 |
| | | | | 133 | 130 | 127 | 124 | 124 | 130 | 140 |
| Total decentralised agencies – heading 3 <i>Authorised establishment plan</i> | | | | 46,7 | 47,0 | 54,7 | 56,0 | 58,4 | 61,9 | 75,0 |
| | | | | 191 | 187 | 194 | 192 | 192 | 198 | 216 |

1.1.4 Historical table 2015-2021: Decentralised agencies of Heading 4 - Migration and Border Management

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|------------------------|------------------|--------------------------------------------------------|-------|-------|-------|-------|-------|-------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| European Asylum Support Office (EASO) <i>Authorised establishment plan</i> | 10 10 01 (18 03 02) | Valletta | 2010 | 15,4 | 40,7 | 80,3 | 86,2 | 92,4 | 106,0 | 134,1 |
| | | | | 89 | 91 | 155 | 214 | 284 | 366 | 366 |
| European Border and Coast Guard Agency (Frontex) <i>Authorised establishment plan</i> | 11 10 01 (18 02 03) | Warsaw | 2004 | 133,5 | 218,7 | 238,2 | 252,6 | 299,1 | 327,3 | 454,3 |
| | | | | 227 | 225 | 352 | 418 | 859 | 1 050 | 1 050 |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') <i>Authorised establishment plan</i> | 11 10 02 (18 02 07) | Tallin – Strasbourg | 2011 | 67,6 | 71,2 | 152,0 | 199,7 | 286,7 | 236,5 | 209,7 |
| | | | | 120 | 118 | 131 | 136 | 172 | 202 | 213 |
| Total decentralised agencies – heading 4 <i>Authorised establishment plan</i> | | | | 216,5 | 330,5 | 470,5 | 538,5 | 678,2 | 669,8 | 798,1 |
| | | | | 436 | 434 | 638 | 768 | 1 315 | 1 618 | 1 629 |

1.1.5 Historical table 2015-2021: Decentralised agencies of Heading 5 – Security and Defence

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|----------------------------------------------------------------------------------------------------------|------------------------|-----------|------------------|--------------------------------------------------------|-------|-------|-------|-------|-------|-------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| European Union Agency for Law Enforcement Cooperation (Europol) <i>Authorised establishment plan</i> | 12 10 01 (18 02 04) | The Hague | 1995 | 94,0 | 100,4 | 113,5 | 129,1 | 135,9 | 145,7 | 163,9 |
| | | | | 483 | 505 | 550 | 576 | 591 | 615 | 615 |
| European Union agency for law enforcement training (CEPOL) <i>Authorised establishment plan</i> | 12 10 02 (18 02 05) | Budapest | 2005 | 7,9 | 8,1 | 8,8 | 10,1 | 9,1 | 7,1 | 9,4 |
| | | | | 27 | 28 | 31 | 32 | 32 | 33 | 33 |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i> | 12 10 03 (18 06 02) | Lisbon | 1993 | 14,8 | 14,6 | 14,9 | 15,4 | 15,3 | 16,2 | 16,5 |
| | | | | 80 | 79 | 77 | 76 | 76 | 76 | 76 |
| Total decentralised agencies – heading 5 <i>Authorised establishment plan</i> | | | | 116,7 | 123,0 | 137,2 | 154,7 | 160,3 | 169,0 | 189,8 |
| | | | | 590 | 612 | 658 | 684 | 699 | 724 | 724 |

1.1.6 Historical table 2015-2021: Decentralised agencies of Heading 7 – Administration

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|-------------------------------------------------------------------------------------------------|------------------------|------------|------------------|--------------------------------------------------------|------|------|------|------|------|------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| Translation Centre for the Bodies of the European Union <i>Authorised establishment plan</i> | 20 10 01 (31 01 10) | Luxembourg | 1994 | p.m. | p.m. | p.m. | p.m. | p.m. | p.m. | p.m. |
| | | | | 200 | 197 | 195 | 193 | 193 | 193 | 193 |
| Total decentralised agencies – heading 7 <i>Authorised establishment plan</i> | | | | p.m. | p.m. | p.m. | p.m. | p.m. | p.m. | p.m. |
| | | | | 200 | 197 | 195 | 193 | 193 | 193 | 193 |

1.1.7 *Historical table 2015-2021: Fully self-financed decentralised agencies*

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|------------------------------------------------------------------------------------------------------|-------------------|----------|------------------|--------------------------------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| European Union Intellectual Property Office (EUIPO) <i>Authorised establishment plan</i> | (12 03 01) | Alicante | 1993 | p.m. 827 | p.m. 810 | p.m. 792 | p.m. 775 | p.m. 775 | p.m. 774 | p.m. 925 |
| Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i> | (17 04 05) | Angers | 1994 | p.m. 46 | p.m. 45 | p.m. 44 | p.m. 44 | p.m. 43 | p.m. 43 | p.m. 45 |
| Single Resolution Board (SRB) <i>Authorised establishment plan</i> | (12 02 07) | Brussels | 2014 | 3.3 122 | p.m. 230 | p.m. 350 | p.m. 350 | p.m. 400 | p.m. 400 | p.m. 450 |
| Total decentralised agencies - self-financed agencies <i>Authorised establishment plan</i> | | | | 3.3 995 | p.m. 1 085 | p.m. 1 186 | p.m. 1 169 | p.m. 1 218 | p.m. 1 217 | p.m. 1 420 |

1.1.8 *Historical table 2015-2021: Total decentralised agencies*

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | EU budget contribution / authorised establishment plan | | | | | | |
|------------------------------------------------------------------------------------------------------------------|-------------|----------|------------------|--------------------------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| | | | | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
| Total decentralised agencies <i>Authorised establishment plan</i> | | | | 887,5 6 239 | 1 056,1 6 335 | 1 250,7 6 706 | 1 310,5 6 872 | 1 533,4 7 640 | 1 620,9 8 072 | 1 960,4 8 577 |
| Total decentralised agencies (excl. Fully self-financed agencies) <i>Authorised establishment plan</i> | | | | 887,5 5 244 | 1 056,1 5 250 | 1 250,7 5 520 | 1 310,5 5 703 | 1 533,4 6 422 | 1 620,9 6 855 | 1 960,4 7 157 |

1.2 Determining the EU contribution: cancellation of appropriations and assigned revenue

In the 2023 draft budget, the Commission continues to deduct assigned revenues stemming from the recovery of decentralised agency surpluses for the year 2021 from the 2023 EU contribution to the agencies in question, so as to adjust the need for ‘fresh appropriations’ entered in the 2023 DB accordingly²¹.

Moreover, when assessing the decentralised agency’s needs for the financial year 2023, the Commission has taken into account the cancellation of commitments and payments (including on payment appropriations carried over from 2020) in 2021. In doing so, the Commission responds to the requirements of the Framework Financial Regulation, as revised in 2018²².

The question whether the cancellation of agency appropriations for the year 2021 was relatively large, has been assessed as follows:

- For under-execution of commitment appropriations of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2021 surplus).
- For cancellation of payment appropriations (including payment appropriations carried over from 2020) of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2021 surplus).
- For both under-execution of commitment appropriations and cancellation of payment appropriations (including payment appropriations carried over from 2020) of more than 5 %, a reduction of 4 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2021 surplus).

Based on this assessment, penalties could be applied as follows:

- 2 % reduction for under-execution of commitment appropriations of more than 5 %
- 2 % reduction for cancellation of payment appropriations of more than 5 %
- 4 % reduction for both under-execution of commitment appropriations and cancellation of payment appropriations of more than 5 %

At the end of 2021, the execution rate for the commitment appropriations of 3 decentralised agencies (ACER, EMA and Frontex) was below 95%. In relation to the cancellation rate of payment appropriations, 5 decentralised agencies had a cancellation rate of more than 5% (ACER, EMA, ELA, EUAA and Frontex). Following the war in Ukraine (EUAA and Frontex) and the phasing in of new activities / fee mechanism (EMA, ELA and ACER) and the impact thereof on the activities of these agencies, the Commission proposes not to apply a penalty in DB 2023.

1.3 EU contribution and staffing level for 2023

The draft budget request for decentralised agencies is based on a thorough assessment of the resources’ needs of each of the agencies, both for the EU contribution and staffing levels.

Against the backdrop of the indicative amounts for the agencies by policy cluster in the 2021-2027 multiannual financial framework, the draft budget takes into account new or ongoing proposals to extend the mandates of certain agencies, as set out below. The reinforcements requested for specific agencies reflect the decisions of the European Parliament and the Council as legislator, typically to pool tasks at Union level that were previously performed by the Member States, resulting in better coordination and harmonisation.

High inflation will feed into salary adjustments which cannot be covered within the annual 2% indexation of the EU subsidy as foreseen at the time of the MFF agreement. A limited reinforcement of the agencies’ EU subsidy is therefore proposed, calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

The proposed level of the EU contribution is EUR 2 571,6 million, of which 2 471,4 million covered by 2023 appropriations. The proposed level of establishment plan posts, excluding fully self-financed agencies and the CdT is 7 712. Overall, this exercise results in a EUR 135,3 million increase in expenditure compared to the 2022 authorised budget, and an increase by 188 posts in the establishment plans for all (fully or partially) EU-financed decentralised agencies.

²¹ As foreseen in Art Article 17 paragraph 1 of the Commission delegated Regulation (EU) 2019/715

²² OJ L 122, 10.5.2019.

The total staffing of all the decentralised agencies combined (excluding the CdT and the fully fee financed agencies i.e. EUIPO, SRB, CVPO) foreseen for 2023 would be as follows:

| Authorised establishment plan (posts) | | Contract Agents ²³ | | Seconded National Experts ²³ | | Total Staff (FTE) | |
|---------------------------------------|-------|-------------------------------|-------|-----------------------------------------|------|-------------------|--------|
| 2022 | 2023 | 2022 | 2023 | 2022 | 2023 | 2022 | 2023 |
| 7 524 | 7 712 | 3 082 | 3 070 | 691 | 747 | 11 297 | 11 529 |

A breakdown for the agencies with a change in staffing can be seen below:

| | Voted Budget 2022 | | | | Draft Budget 2023 | | | | Delta Total 2023-2022 (B - A) |
|-----------|-------------------|-----|-----|-------------------------|-------------------|-----|-----|--------------|-------------------------------|
| | TA | CA | SNE | Total (A) | TA | CA | SNE | Total (B) | |
| EASA | 681 | 106 | 24 | 810 | 683 | 106 | 24 | 813 | 2 |
| EMSA | 212 | 33 | 18 | 263 | 212 | 30 | 18 | 260 | - 3 |
| ERA | 154 | 36 | 4 | 194 | 166 | 36 | 4 | 206 | 12 |
| ENISA | 82 | 32 | 12 | 126 | 82 | 32 | 14 | 128 | 2 |
| ACER | 76 | 37 | 10 | 123 | 85 | 38 | 10 | 133 | 10 |
| ECHA-CHEM | 404 | 94 | 13 | 511 | 404 | 97 | 3 | 504 | - 7 |
| ECHA-PIC | 11 | 13 | - | 24 | 13 | 11 | - | 24 | 0 |
| EBA | 183 | 50 | 19 | 252 | 184 | 50 | 19 | 253 | 1 |
| EIOPA | 144 | 42 | 29 | 215 | 145 | 42 | 29 | 216 | 1 |
| ESMA | 243 | 97 | 15 | 355 | 263 | 82 | 30 | 375 | 20 |
| AMLA | - | - | - | - | 8 | 10 | - | 18 | 18 |
| EUSPA | 231 | 34 | 14 | 279 | 267 | 38 | 15 | 320 | 41 |
| ECDC | 215 | 130 | 5 | 350 | 222 | 126 | 5 | 353 | 3 |
| EMA | 662 | 223 | 30 | 915 | 682 | 203 | 30 | 915 | 0 |
| FRA | 72 | 32 | 9 | 113 | 72 | 34 | 9 | 115 | 2 |
| Eurojust | 210 | 16 | 21 | 247²⁴ | 232 | 18 | 24 | 274 | 27 |
| ELA | 57 | 15 | 60 | 132 | 69 | 15 | 60 | 144 | 12 |
| EEA | 150 | 86 | 20 | 256 | 155 | 87 | 20 | 262 | 6 |
| EUAA | 371 | 123 | 11 | 505 | 371 | 213 | 11 | 595 | 90 |
| Frontex | 1.300 | 964 | 220 | 2.484 | 1.300 | 979 | 220 | 2.499 | 15 |
| EU-LISA | 215 | 169 | 11 | 395 | 216 | 166 | 11 | 393 | - 2 |
| EUROPOL | 686 | 235 | 71 | 992 | 716 | 235 | 71 | 1.022 | 30 |
| CEPOL | 33 | 20 | 4 | 57 | 33 | 19 | 4 | 56 | - 1 |
| CPVO | 48 | 6 | 1 | 55 | 51 | 6 | - | 57 | 2 |
| EUIPO | 1 172 | 237 | 72 | 1 481 | 1 172 | 239 | 74 | 1 485 | 4 |

The estimated breakdown in terms of the EU contribution and staff posts is shown in detail in the tables below:

²³ The estimated overall number of Contract Agents and Seconded National Experts is based on the Commission recommended levels on the level of Contract Agents and Seconded National Experts, as presented in more detail in the individual budgetary financial statements. The table does not include the number of Contract Agents covered by Contribution Agreements, Grant Agreements or Delegation Agreements.

²⁴ Upcoming staff reinforcement as proposed in COM(2022)187 not yet included (11 temporary agents, 2 contract agents, 3 seconded national experts).

1.3.1 DB 2023 - Decentralised agencies of Heading 1 – Single Market, Innovation and Digital

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget | | | | Draft Budget (DB) | | | | Variation | |
|---------------------------------------------------------------------------------------------------------------------|-----------------|----------------------------|-----------------------|------------------------------|------------------------|----------------------------|--------------|----------------------------------|--------------------------|----------------|--------------|--------------------|--------------|
| | | | | 2022 | | | | 2023 | | | | 2023 / 2022 | |
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| Total EU contribution | Of which budget | Of which assigned revenues | Total EU contribution | | Of which DB | Of which assigned revenues | | | | | | | |
| European Union Aviation Safety Agency (EASA) <i>Authorised establishment plan</i> | 02 10 01 | Köln | 2002 | 234,407 | 41,479 681 | 39,126 | 2,353 | 244,662 | 43,308 683 | 42,990 | 0,318 | 4,41% 2 | 9,88% |
| European Maritime Safety Agency (EMSA) <i>Authorised establishment plan</i> | 02 10 02 | Lisbon | 2002 | 86,688 | 84,272 212 | 82,697 | 1,576 | 89,290 | 86,824 212 | 85,538 | 1,287 | 3,03% 0 | 3,44% |
| European Union Agency for Railways (ERA) <i>Authorised establishment plan</i> | 02 10 03 | Lille | 2004 | 34,981 | 26,278 154 | 26,164 | 0,114 | 37,915 | 27,418 166 | 27,349 | 0,069 | 4,34% 12 | 4,53% |
| European Union Agency for Cybersecurity (ENISA) <i>Authorised establishment plan</i> | 02 10 04 | Athens | 2004 | 24,208 | 23,633 82 | 22,893 | 0,740 | 25,072 | 24,476 82 | 24,155 | 0,321 | 3,57% 0 | 5,51% |
| Body of European Regulators for Electronic Communications (BEREC) — Office <i>Authorised establishment plan</i> | 02 10 05 | Riga | 2009 | 7,428 | 7,428 16 | 7,338 | 0,091 | 7,697 | 7,697 16 | 7,648 | 0,050 | 3,62% 0 | 4,22% |
| European Union Agency for the Cooperation of Energy Regulators (ACER) <i>Authorised establishment plan</i> | 02 10 06 | Ljubljana | 2009 | 24,891 | 14,877 76 | 14,507 | 0,293 | 27,895 | 16,257 85 | 14,728 | 1,529 | 9,28% 9 | 1,52% |
| European Chemicals Agency — Chemicals legislation <i>Authorised establishment plan</i> | 03 10 01 01 | Helsinki | 2006 | 95,897 | 66,722 404 | 64,146 | 2,576 | 99,462 | 70,711 404 | 68,362 | 2,349 | 5,98% 0 | 6,57% |
| European Chemicals Agency — Activities in the field of biocides legislation <i>Authorised establishment plan</i> | 03 10 01 02 | Helsinki | 2012 | 11,919 | 8,100 52 | 8,100 | 0,000 | 12,503 | 7,556 52 | 6,516 | 1,040 | -6,72% 0 | -19,56% |
| European Banking Authority (EBA) <i>Authorised establishment plan</i> | 03 10 02 | Paris | 2010 | 50,256 | 18,686 183 | 18,336 | 0,350 | 55,867 | 19,428 184 | 19,037 | 0,391 | 3,97% 1 | 3,82% |
| European Insurance and Occupational Pensions Authority (EIOPA) <i>Authorised establishment plan</i> | 03 10 03 | Frankfurt | 2010 | 35,490 | 12,932 144 | 12,852 | 0,080 | 36,964 | 13,471 145 | 13,368 | 0,103 | 4,17% 1 | 4,01% |
| European Securities and Markets Authority (ESMA) <i>Authorised establishment plan</i> | 03 10 04 | Paris | 2010 | 67,316 | 17,599 243 | 16,301 | 1,298 | 71,895 | 18,589 263 | 18,347 | 0,242 | 5,63% 20 | 12,55% |
| Anti-Money Laundering Authority (AMLA) <i>Authorised establishment plan</i> | 03 10 05 | | 2023 | | | | | 1,085 | 1,085 8 | 1,085 | | | 8 |
| European Union Agency for the Space Programme <i>Authorised establishment plan</i> | 04 10 01 | Prague | 2004 | 70,032 | 68,345 231 | 68,301 | 0,045 | 76,609 | 74,762 267 | 74,762 | | 9,39% 36 | 9,46% |
| Total decentralised agencies – heading 1 <i>Authorised establishment plan</i> | | | | 743,513 | 390,351 2478 | 380,761 | 9,516 | 786,916 | 411,582 2567 | 403,885 | 7,699 | 5,44% 89 | 6,07% |

Main developments in 2023:

– European Union Aviation Safety Agency (EASA)

Compared to 2022, EASA's staff is further reinforced with 2 additional posts in line with the Legislative Financial Statement linked to the Commission Proposal for a Regulation of the European Parliament and of the Council on ensuring a level playing field for sustainable air transport (COM(2021/561)). The Commission Proposal also includes an increase in the Agency's budget with EUR 2.3 million.

– European Union Agency for Railways (ERA)

Compared to 2022, ERA's staff is reinforced with 12 establishment plan posts to support the agency's policy and authorisation work. The authorisation work of the agency is substantially higher than expected at the time of the Legislative Financial Statement of the 4th Railway Package. Six temporary agents are proposed to be added on a permanent basis and a further increase by six temporary agents is proposed to be phased out by 2027. Given that the additional staff is fee-financed, no increase in the EU contribution to ERA is required for this purpose.

– European Union Agency for Cybersecurity (ENISA)

Compared to 2022, ENISA's staff will be reinforced with 2 SNEs, without increase in the EU Contribution. The additional SNE posts are proposed due to the Agency's current activity areas, particularly the operational needs stemming from Article 7 of the CSA as well as by the extra activities and requirements as foreseen especially in the initial phases laid out in the Commission's Recommendation on the Joint Cyber Unit (JCU) of 23 June 2021.

– Agency for the Cooperation of Energy Regulators (ACER)

In 2023, the agency will continue to collect fees and charges to cover for the costs for ACER tasks under Regulation 1227/2011 (REMIT) whereby the Agency monitors the wholesale energy markets in the Union, draw up, publish maintain and update lists of standard contracts and organised market places, established procedures, standards and electronic formats based on established industry standards, develops technical and organisation requirements for submitting data and assesses whether reporting parties comply with the requirements. Following an evaluation of the sufficiency of the resources available to the Agency, in line with Article 33(10) of the recast of the Agency's founding regulation (Regulation 2019/942), the Commission proposes 4 additional Establishment plan posts of the Agency compared to 2022. The Agency's budget is also impacted by additional tasks delegated to the Agency in the planned revised Regulation on guidelines for trans-European energy infrastructure (TEN-E) as described in Commission proposal COM(2020)824, the Proposal for a Regulation of the European Parliament and of the Council on methane emissions reduction in the energy sector (COM(2021)805)) and the Proposal for a Regulation of the European Parliament and of the Council on the internal markets for renewable and natural gases and for hydrogen.

The different proposals and evaluation of the agency's resources result in 9 additional establishment plan posts compared to 2022 and a budget increase of EUR 998 000 compared to the previous financial programming.

– European Banking Authority – EBA

Following the final adoption of the proposals for a Regulation on Markets in Crypto-assets and on Digital Operational Resilience for the financial sector tasks, the agency will require additional resources to supervise issuers of significant asset-referenced and e-money tokens and information and communication technologies' third-party providers. In view of its new tasks, the agency will therefore increase the number of its establishment plan posts by 21 posts to a total of 183 posts possibly in the second half of 2022 or in 2023 depending on the final adoption. The financial impact of the increased staffing will be fully covered by fees levied from the supervised entities and ICT providers. The agency will also receive 1 establishment plan post for 3 years for the Supervisory Digital Finance Academy project initiated and financed by a contribution from DG REFORM. The total number of planned establishment plan posts in 2023 is therefore 184 posts.

– **European Insurance and Occupational Pensions Authority – EIOPA**

The proposal for a Regulation on Digital Operational Resilience for the financial sector tasks, pending final decision by the co-legislators, will allow EIOPA to contribute to the implementation of the EU strategy for mitigating cyber-attacks and other risks to participants in the financial system. To perform tasks in this area, the agency will require additional staff, once the proposal gets adopted by the co-legislators. The Commission therefore proposes to reinforce the number of establishment plan posts of the agency with 6 additional posts to a total of 144 posts in the Budget 2022 or 2023 depending on the timing of the final adoption of the Regulation. The financial impact of the increased staffing will be fully covered by fees levied from the supervised ICT providers. The agency will receive, as will also EBA and ESMA, 1 establishment plan post for 3 years for the Supervisory Digital Finance Academy project initiated and financed by a contribution from DG REFORM. The total number of planned establishment plan posts in 2023 is therefore 145 posts.

– **European Securities and Markets Authority – ESMA**

Following the final adoption of the proposals for a Regulation on Markets in Crypto-assets and on Digital Operational Resilience for the financial sector tasks, the agency will require 8 establishment plan posts to start supervising issuers of significant asset-referenced and e-money tokens and information and communication technologies' third-party providers. The agency will receive, as EBA and EIOPA, 1 establishment plan post for 3 years for the Supervisory Digital Finance Academy project initiated and financed by a contribution from DG REFORM. The total number of planned establishment plan posts in 2023 is 263 posts. This is 20 more posts than in 2022 due to the 1 post for the Supervisory Digital Finance Academy and 19 posts which the agency did not request in 2022 although it was entitled to these posts. The agency deems these posts needed in 2023.

– **Anti-Money Laundering Authority - AMLA**

In order to transform and strengthen the Anti-Money Laundering/Countering the Financing of Terrorism supervision and enhance cooperation and analytical capacity among Financial Intelligence Units in member states, it is proposed to create a new EU-level Anti-Money Laundering Authority (proposal for a Regulation establishing the Authority for Anti-Money Laundering and Countering the Financing of Terrorism COM(2021) 421 final of 20.7.2021). In 2023, its first year of operation, AMLA will start with 8 establishment and 10 contract agent posts and will be financed within the MFF from the margin under the Heading 1 (an EU contribution of EUR 1 million in 2023).

– **European Union Agency for the Space Programme - EUSPA**

Following the adoption of Regulation (EU) 2021/696 of 28 April 2021 establishing the Union Space Programme and the European Union Agency for the Space Programme, the agency's governance has changed and its scope was increased to include new core and delegated tasks. In order to continue meeting the Space programme objectives, the number of establishment posts will increase in 2023 by 20 establishment posts. The 2023 EU contribution will increase by EUR 4.5 million in comparison to 2022 in order to pursue the Space programme tasks.

Further, in line with the proposed Union Secure Connectivity Programme, EUSPA requires an additional staff increase of 5 establishment posts, 5 external posts and of EUR 2 million in the financial contribution, which will be financed from the envelope of the Space programme to ensure the operation and the operational security of the governmental infrastructure of the Union Secure Connectivity Programme. Finally, the agency's staff will be increased by 11 establishment posts following a transfer of the Space Surveillance and Tracking Front Desk (SST) from the Intergovernmental body SatCen to EUSPA within the framework of the Space programme. The total EU contribution in 2023 will be EUR 74,8 million and the level of the establishment plan posts will be 267 (36 posts more than in 2022).

1.3.2 DB 2023 - Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget | | | | Draft Budget (DB) | | | | Variation | |
|---------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------|-----------------------|------------------------------|-------------------------|----------------------------|----------------|----------------------------------|--------------------------|------------------|-----------------|------------------------|------------------|
| | | | | 2022 | | | | 2023 | | | | 2023 / 2022 | |
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| Total EU contribution | Of which budget | Of which assigned revenues | Total EU contribution | | Of which DB | Of which assigned revenues | | | | | | | |
| European Centre for Disease Prevention and Control <i>Authorised establishment plan</i> | 06 10 01 | Stockholm | 2004 | 95,872 | 93,600 215 | 90,529 | 3,071 | 89,792 | 87,665 222 | 85,925 | 1,739 | -6,34% 7 | -5,09% |
| European Food Safety Authority <i>Authorised establishment plan</i> | 06 10 02 | Parma | 2002 | 149,451 | 146,212 405 | 145,861 | 0,351 | 152,617 | 151,008 405 | 150,541 | 0,467 | 3,28% 0 | 3,21% |
| European Medicines Agency Special contribution for orphan medicinal products <i>Authorised establishment plan</i> | 06 10 03 01 06 10 03 02 | Amsterdam | 2002 | 403,471 14,000 | 44,550 14,000 662 | 40,182 14,000 | 0,000 0,000 | 410,573 14,000 | 35,421 14,000 682 | 10,438 14,000 | 24,982 0,000 | -14,09% 0,00% 20 | -74,02% 0,00% |
| European Foundation for the improvement of living and working conditions (Eurofound) <i>Authorised establishment plan</i> | 07 10 01 | Dublin | 1975 | 22,270 | 22,051 91 | 21,778 | 0,274 | 23,595 | 23,595 91 | 23,577 | 0,018 | 7,00% 0 | 8,26% |
| European Agency for Safety and Health at Work (EU-OSHA) <i>Authorised establishment plan</i> | 07 10 02 | Bilbao | 1994 | 16,405 | 15,912 40 | 15,660 | 0,252 | 16,965 | 16,461 40 | 16,306 | 0,155 | 3,45% 0 | 4,13% |
| European Centre for the Development of Vocational Training (Cedefop) <i>Authorised establishment plan</i> | 07 10 03 | Thessaloniki | 1975 | 18,834 | 18,360 91 | 18,233 | 0,127 | 19,560 | 19,077 91 | 18,883 | 0,194 | 3,91% 0 | 3,56% |
| European Union Agency for Fundamental Rights (FRA) <i>Authorised establishment plan</i> | 07 10 04 | Vienna | 2007 | 24,295 | 23,748 72 | 23,634 | 0,114 | 25,218 | 24,660 72 | 24,575 | 0,085 | 3,84% 0 | 3,98% |
| European Institute for Gender Equality (EIGE) <i>Authorised establishment plan</i> | 07 10 05 | Vilnius | 2006 | 8,258 | 8,258 27 | 7,983 | 0,275 | 8,716 | 8,716 27 | 8,594 | 0,122 | 5,55% 0 | 7,65% |
| European Training Foundation (ETF) <i>Authorised establishment plan</i> | 07 10 06 | Turin | 1990 | 21,726 | 21,726 86 | 21,379 | 0,347 | 22,628 | 22,628 86 | 22,534 | 0,094 | 4,15% 0 | 5,40% |
| European Union Agency for Criminal Justice Cooperation (Eurojust) <i>Authorised establishment plan</i> | 07 10 07 | The Hague | 2002 | 45,984 | 45,984 210 | 45,804 | 0,180 | 52,770 | 52,770 232 | 52,472 | 0,298 | 14,76% 22 | 14,56% |
| European Public Prosecutor's Office (EPPO) <i>Authorised establishment plan</i> | 07 10 08 | Luxembourg | 2019 | 57,102 | 57,102 171 | 57,102 | 0,000 | 62,996 | 62,996 171 | 62,101 | 0,895 | 10,32% 0 | 8,75% |
| European Labour Authority (ELA) <i>Authorised establishment plan</i> | 07 10 09 | Brussels (Bratislava) | 2019 | 34,690 | 34,690 57 | 34,690 | 0,000 | 39,973 | 39,973 69 | 39,435 | 0,538 | 15,23% 12 | 13,68% |
| Total decentralised agencies – heading 2 <i>Authorised establishment plan</i> | | | | 912,358 | 546,193 2127 | 536,835 | 4,991 | 939,403 | 558,97 2188 | 529,381 | 29,587 | 2,96% 61 | -1,39% |

Main developments in 2023:

– European Medicine Agency (EMA)

The Agency will maintain in 2023 the 40 posts it received in 2020 as reinforcement due to COVID-19. This number will be gradually reduced in the following years. In addition, the EMA will increase its establishment plan by 16 post to cope with the constant increasing workload and an extra 4 posts to deliver the work on the extended mandate (frontloading from 2024 to 2023). All these additional posts are fee-financed.

– European Foundation for the Improvement of Living and Working conditions (EUROFOUND)

In 2023, the agency will receive an additional top up of its EU contribution of EUR 0.65 million, on top of the existing financial programming. It is justified by the sharp rise of the correction coefficient in Ireland over the last two years, by almost 15 percentage points. The staff expenditure (Title I) covers more than 65 percentage of the total agency budget. Therefore the agency is not in position to accommodate further increases of the correction coefficient from other types of expenditures. For future years, the Commission will assess the situation in the annual budget procedures based on EUROFOUND needs and the further evolution of the correction coefficient. The total EU Contribution in 2023 is proposed to be set at EUR 23.6.

– European Union Agency for Criminal Justice Cooperation (EUROJUST)

As compared to 2022, the agency will require in 2023 additional resources to perform tasks added by three Commission proposals for regulations: first one, as regards the digital information exchange in terrorism cases (COM (2021) 757), second one on establishing a collaboration platform to support the functioning of Joint Investigation Teams (COM (2021) 756) and third one driven by the war on Ukraine - as regards the storage, analysis and preservation of evidence relating to genocide, crimes against humanity and war crimes (COM (2022) 187). Following these proposals the EU contribution will increase in 2023 in total by EUR 3.7 million. The proposed 2023 EU Contribution is EUR 52.8m, following the combined impact of the aforementioned LFSs and the inflation adjustment. Also, the agency's establishment plan post will be reinforced by 22 additional posts in 2023 and the agency will hire 5 additional external staff (2 Contract agents and 3 SNEs).

– European Public Prosecutor's Office – EPPO

The European Public Prosecutor's Office (EPPO) has started its operations on 1 June 2021. In the 2022 budget, the EPPO received a large staff increase, resulting in 171 posts in total. The Commission proposes to consolidate the significantly reinforced resources of the EPPO in the Draft Budget 2023, by keeping the staffing level stable at 171 posts and proposing the EU contribution reaching EUR 63 million. This reflects a 2 % inflation adjustment and accommodates the salaries of the additional staff authorised in the 2022 budget on a full-year basis.

– European Labour Authority - ELA

For 2023, an EU contribution of EUR 40 million is proposed. Compared with the Legislative Financial Statement attached to the Regulation (EU) 2019/1149 of the European Parliament and of the Council of 20 June 2019 establishing a European Labour Authority, this request takes into consideration the decision to locate the seat of the Agency in Slovakia, including the impact of the correction coefficient, as well as the 10 years free of charge rental arrangement with the Slovak authorities. It represents the best adjustment to the Agency's real needs. The EU contribution will cover the costs related to staff (69 posts, 15 contract agents and 60 SNEs) and the start-up of the operational activities.

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2022 | | | | Draft Budget (DB) 2023 | | | Variation 2023 / 2022 | | |
|----------------------------------------------------------------------------------------------------------------------------|-------------|------------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|---------------|----------------------------|-------------------|--------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Fisheries Control Agency <i>Authorised establishment plan</i> | 08 10 01 | Vigo | 2005 | 29,340 | 29,340 77 | 28,739 | 0,601 | 30,098 | 30,098 77 | 29,535 | 0,563 | 2,58% 0 | 2,77% |
| European Chemicals Agency - Environmental directives and International conventions <i>Authorised establishment plan</i> | 09 10 01 | Helsinki | 2012 | 4,845 | 4,727 11 | 4,700 | 0,027 | 5,643 | 5,509 13 | 5,388 | 0,121 | 16,54% 2 | 14,64% |
| European Environment Agency <i>Authorised establishment plan</i> | 09 10 02 | Copenhagen | 1990 | 55,837 | 49,683 150 | 49,448 | 0,235 | 57,826 | 51,555 155 | 51,383 | 0,172 | 3,77% 5 | 3,91% |
| Total decentralised agencies – heading 3 <i>Authorised establishment plan</i> | | | | 90,022 | 83,75 238 | 82,887 | 0,863 | 93,567 | 87,162 245 | 86,306 | 0,856 | 4,07% 7 | 4,12% |

Main developments in 2023:– **European Chemicals Agency (ECHA) - Environmental directives and International conventions**

The European Chemical Agency (ECHA) - Environmental directives and International conventions is proposed to be reinforced following the proposal for the Regulation concerning batteries and waste batteries, which confers on the agency additional tasks, such as managing and identifying hazardous battery substances. These new tasks require an increase in the agency in staff by 2 establishment and 1 contract agent posts and in the EU contribution by EUR 0,6 million in 2023, which will be compensated by a corresponding reduction in the envelope of the LIFE programme. The Waste Framework Directive No 2018/851 requires ECHA to develop a new database, for which the agency continues to redeploy 5 contract agents from the ECHA-Chemicals part to ECHA-Environmental directives and International conventions (3 less than in 2022).

– **European Environment Agency (EEA)**

As part of the ‘Fit for 55’ package, EEA is proposed to be reinforced at the end of the 2023 by 4 establishment and 1 contract agent posts following the proposal of the Land Use, Land Use Change and Forestry Regulation (the financial contribution to the agency with respect to this initiative is to be increased from 2024 only). Also, EEA will hire 1 temporary agent as part of its establishment plan in order to help with the coordination activities of the agencies’ network. This post will be financed by a contribution from all decentralised agencies.

1.3.4 DB 2023 - Decentralised agencies of Heading 4 – Migration and Border Management

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2022 | | | | Draft Budget (DB) 2023 | | | | Variation 2023 / 2022 | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------------------------|------------------|------------------------------|---------------------------|------------------|----------------------------|----------------------------------|---------------------------|------------------|----------------------------|--------------------------|--------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Union Agency for Asylum (EUAA) <i>Authorised establishment plan</i> | 10 10 01 | Valletta | 2010 | 171,780 | 171,780 371 | 153,661 | 18,119 | 177,135 | 177,135 371 | 169,169 | 7,966 | 3,12% 0 | 10,09% |
| European Border and Coast Guard Agency (Frontex) <i>Authorised establishment plan</i> | 11 10 01 | Warsaw | 2004 | 754,375 | 704,703 1300 | 692,794 | 11,909 | 899,015 | 838,937 1300 | 793,614 | 45,323 | 19,05% 0 | 14,55% |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') <i>Authorised establishment plan</i> | 11 10 02 | Tallinn Strasbourg Sankt Johann im Pongau | 2011 | 319,641 | 319,641 215 | 316,030 | 3,611 | 261,731 | 261,731 216 | 258,656 | 3,075 | -18,12% 1 | -18,15% |
| Total decentralised agencies – heading 4 <i>Authorised establishment plan</i> | | | | 1 245,796 | 1 196,124 1 886 | 1 162,485 | 33,639 | 1 337,881 | 1 277,803 1 887 | 1 221,439 | 56,364 | 6,83% 1 | 5,07% |

Main developments in 2023:

– **European Union Agency for Asylum (EUAA – former EASO)**

Following the substantial impact of the war in Ukraine, EUAA's staff for 2023 is proposed to include a temporary increase of Contract Agents up to 213 FTE. The increase includes a prolongation of the current 58 temporary Contract Agents (previously intended to be phased out by end 2022) and a further increase with 32 FTE. The increase in FTE is of a temporary nature and should be phased out by the end of 2023.

– **European Border and Coast Guard Agency – FRONTEX**

The transformation of Frontex into the European Border and Coast Guard Agency started in 2020 continues in 2023. The proposed EU contribution to the Agency will increase from EUR 704.7 million in 2022 to EUR 838.9 million in 2023. The number of establishment plan posts of the agency remains stable between 2022 and 2023, allowing the agency to reduce the vacancy rate. The increase in budget will allow the agency to continue building the standing corps of border guards, including equipment. The proposed level of funding for the agency ensures the agency's core capacity to deliver on the number of border guards and ensures an important capacity to support Member States with returns.

– **European Union Agency for the operational management of large-scale IT systems in the area of freedom, security and justice – eu-LISA**

Eu-LISA receives new tasks under its revised founding Regulation, the Entry-Exit System, ETIAS, Interoperability, the SIS II package and the proposal for Eurodac (COM 2016/468) and Dublin II (COM 2016/270) and the Commission proposal on a computerised system for communication in cross-border civil and criminal proceedings (e-CODEX proposal (COM 2020/712)).

The Commission proposes 216 posts, an increase of 1 compared to 2022. The EU contribution of EUR 261.7 million (in commitment appropriations) is a decrease compared to 2022 budget, reflecting the development cycle of the different large-scale IT systems developed and managed by the Agency.

The Draft Budget 2023 for eu-LISA also includes the impact of an agreement between Frontex and eu-LISA on a temporary transfer (3 years from 2022 to end 2024) of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA and the appropriations to finance these CAs. The agreement is neutral to the Draft Budget as the totals foreseen in the Legislative Financial Statement for the ETIAS system remain unchanged.

1.3.5 DB 2023 - Decentralised agency of Heading 5 – Security and Defence

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget | | | | Draft Budget (DB) | | | | Variation | |
|-----------------------------------------------------------------------------------------------------------------|-----------------|-----------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|----------------|----------------------------|-----------------|--------------|
| | | | | 2022 | | | | 2023 | | | | 2023 / 2022 | |
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Union Agency for Law Enforcement Cooperation (Europol) <i>Authorised establishment plan</i> | 12 10 01 | The Hague | 1995 | 192,381 | 192,381 | 189,031 | 3,349 | 207,176 | 207,176 | 202,078 | 5,099 | 7,69% | 6,90% |
| | | | | | 686 | | | | 716 | | | | 30 |
| European Union agency for law enforcement training (CEPOL) <i>Authorised establishment plan</i> | 12 10 02 | Budapest | 2005 | 10,845 | 10,845 | 10,072 | 0,773 | 11,211 | 11,211 | 10,806 | 0,405 | 3,37% | 7,29% |
| | | | | | 33 | | | | 33 | | | | 0 |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i> | 12 10 03 | Lisbon | 1993 | 17,743 | 16,947 | 16,839 | 0,108 | 18,446 | 17,642 | 17,528 | 0,114 | 4,10% | 4,09% |
| | | | | | 76 | | | | 76 | | | | 0 |
| Total decentralised agencies – heading 5 <i>Authorised establishment plan</i> | | | | 220,969 | 220,173 | 215,942 | 4,230 | 236,833 | 236,029 | 230,412 | 5,618 | 7,20% | 6,70% |
| | | | | | 795 | | | | 825 | | | | 30 |

Main developments in 2023:

– European Police Office – EUROPOL

The proposed EU contribution includes an increase of EUR 11.5 million, on top of the 2% indexation, compared to 2022, bringing the proposed EU contribution for 2023 to EUR 207.2 million. The increase is based on the legislative financial statement annexed to the Commission proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU)2016/794, as regards Europol's cooperation with private parties, the processing of personal data by Europol in support of criminal investigations and Europol's role on research and innovation. The Commission proposal also entails an increase of 30 establishment plan posts for the agency compared to 2022 (716 establishment plan posts compared to 686 in 2022).

1.3.6 DB 2023 - Decentralised agency of Heading 7 – Administration

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2022 | | | | Draft Budget (DB) 2023 | | | | Variation 2023 / 2022 | |
|-------------------------------------------------------------------------------------------------|-------------|------------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|-------------|----------------------------|--------------------------|-------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| Translation Centre for the Bodies of the European Union <i>Authorised establishment plan</i> | 20 10 01 | Luxembourg | 1994 | 49,037 | 0 | 0,000 | 0,000 | 47,664 | 0 | 0,000 | 0,000 | | |
| | | | | | 193 | | | | 193 | | | | 0 |
| Total decentralised agencies – heading 7 <i>Authorised establishment plan</i> | | | | 49,037 | 0 | 0,000 | 0,000 | 47,664 | 0 | 0 | 0,000 | | 0 |
| | | | | | 193 | | | | 193 | | | | |

1.3.7 DB 2023 - Fully self-financed decentralised agencies

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2022 | | | | Draft Budget (DB) 2023 | | | | Variation 2023 / 2022 | |
|---------------------------------------------------------------------------------------------|-------------|----------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|-------------|----------------------------|--------------------------|-------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| European Union Intellectual Property Office (EUIPO) <i>Authorised establishment plan</i> | | Alicante | 1993 | 344,378 | 0 | 0,000 | 0,000 | 364,463 | 0 | 0,000 | 0,000 | | |
| | | | | | 1 172 | | | | 1 172 | | | | 0 |
| Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i> | | Angers | 1994 | 20,416 | 0 | 0,000 | 0,000 | 21,072 | 0 | 0,000 | 0,000 | | |
| | | | | | 48 | | | | 51 | | | | 3 |
| Single Resolution Board (SRB) <i>Authorised establishment plan</i> | | Brussels | 2014 | 11 331,235 | 0 | 0,000 | 0,000 | 13 604,500 | 0 | 0,000 | 0,000 | | |
| | | | | | 450 | | | | 450 | | | | 0 |
| Total self-financed decentralised agencies <i>Authorised establishment plan</i> | | | | 11 696,029 | 0 | 0,000 | 0,000 | 13 990,035 | 0 | 0 | 0,000 | | 3 |
| | | | | | 1 670 | | | | 1 673 | | | | |

1.3.8 DB 2023 - Total of decentralised agencies

(in million EUR)

| Name of the decentralised agency | Budget line | Location | Year of creation | Budget 2022 | | | | Draft Budget (DB) 2023 | | | | Variation 2023 / 2022 | |
|----------------------------------------------------------------------------------------------------------------|-------------|----------|------------------|------------------------------|-----------------------|-----------------|----------------------------|----------------------------------|--------------------------|-------------|----------------------------|--------------------------|-------------|
| | | | | Total revenues of the Agency | EU contribution | | | Revenues estimated by the Agency | Foreseen EU contribution | | | EU contribution | DB / Budget |
| | | | | | Total EU contribution | Of which budget | Of which assigned revenues | | Total EU contribution | Of which DB | Of which assigned revenues | | |
| Total decentralised agencies <i>Authorised establishment plan</i> | | | | 14 957,724 | 2 436,591 | 2.378,910 | 53,239 | 17 432,299 | 2 571,546 | 2 471,423 | 100,124 | 5,68% | 3,89% |
| | | | | | 9 387 | | | | 9578 | | | 191 | |
| Of which agencies with EU contribution (excl. EUIPO, CPVO, SRB) <i>Authorised establishment plan</i> | | | | 3 261,695 | 2 436,591 | 2.378,910 | 53,239 | 3 442,264 | 2 571,546 | 2 471,423 | 100,124 | 5,68% | 3,89% |
| | | | | | 7 717 | | | | 7905 | | | 188 | |
| Of which fully self-financed (EUIPO, CPVO, SRB) <i>Authorised establishment plan</i> | | | | 11 696,029 | 0 | 0,000 | 0,000 | 13 990,035 | 0 | 0 | 0,000 | | |
| | | | | | 1 670 | | | | 1 673 | | | 3 | |

1.4 Table Commission budget implementation for decentralised agencies 2021

1.4.1 Budget implementation 2021: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2021 | | Commitments made 2021 | | | Payments made 2021 | | | Carry-over of assigned revenue to 2022 | | Appropriations cancelled 2021 | |
|-----------------------------------------------------------------------------|-------------|--------------------------------|----------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|----------------------------------------|---------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Union Aviation Safety Agency | 02 10 01 | 42,303 | 42,303 | 39,632 | 38,582 | 1,050 | 39,632 | 38,582 | 1,050 | 2,671 | 2,671 | - | - |
| European Maritime Safety Agency | 02 10 02 | 86,365 | 86,365 | 83,502 | 79,047 | 4,455 | 81,216 | 79,047 | 2,169 | 2,863 | 2,863 | - | - |
| European Union Agency for Railways | 02 10 03 | 27,869 | 27,869 | 27,686 | 26,932 | 753 | 27,626 | 26,932 | 694 | 184 | 184 | - | - |
| European Union Agency for Cybersecurity (ENISA) | 02 10 04 | 23,573 | 23,573 | 22,512 | 21,348 | 1,164 | 21,933 | 21,348 | 585 | 1,060 | 1,060 | - | - |
| Body of European Regulators for Electronic Communications (BEREC) — Office | 02 10 05 | 7,374 | 7,374 | 7,233 | 7,201 | 32 | 7,201 | 7,201 | - | 141 | 141 | - | - |
| Agency for the Cooperation of Energy Regulators (ACER) | 02 10 06 | 15,112 | 15,112 | 13,290 | 12,707 | 583 | 13,091 | 12,707 | 384 | 1,822 | 1,822 | - | - |
| European Chemicals Agency — Chemicals legislation | 03 10 01 01 | 66,171 | 66,171 | 61,247 | 58,212 | 3,035 | 59,893 | 58,212 | 1,681 | 4,924 | 4,924 | - | - |
| European Chemicals Agency — Activities in the field of biocides legislation | 03 10 01 02 | 10,324 | 10,324 | 9,284 | 8,873 | 411 | 9,149 | 8,873 | 276 | 1,040 | 1,040 | - | - |
| European Banking Authority (EBA) | 03 10 02 | 19,705 | 19,705 | 18,455 | 17,428 | 1,027 | 17,428 | 17,428 | - | 1,250 | 1,250 | - | - |
| European Insurance and Occupational Pensions Authority (EIOPA) | 03 10 03 | 12,353 | 12,353 | 12,038 | 12,038 | - | 12,038 | 12,038 | - | 316 | 316 | - | - |
| European Securities and Markets Authority (ESMA) | 03 10 04 | 19,782 | 19,782 | 17,946 | 17,752 | 195 | 17,752 | 17,752 | - | 1,835 | 1,835 | - | - |
| European Union Agency for the Space Programme | 04 10 01 | 57,175 | 44,189 | 57,130 | 55,968 | 1,162 | 43,937 | 42,982 | 955 | 45 | 45 | - | - |
| Total decentralised agencies – heading 1 | | 388,105 | 375,119 | 369,955 | 356,088 | 13,867 | 350,896 | 343,102 | 7,794 | 18,150 | 18,150 | - | - |

1.4.2 Budget implementation 2021: Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2021 | | Commitments made 2021 | | | Payments made 2021 | | | Carry-over of assigned revenue to 2022 | | Appropriations cancelled 2021 | |
|--------------------------------------------------------------------------|-------------|--------------------------------|----------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|----------------------------------------|---------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Centre for Disease Prevention and Control | 06 10 01 | 171,186 | 171,186 | 166,375 | 161,166 | 5,209 | 164,906 | 161,166 | 3,740 | 4,811 | 4,811 | - | - |
| European Food Safety Authority | 06 10 02 | 129,486 | 120,472 | 128,667 | 124,903 | 3,764 | 119,224 | 116,161 | 3,062 | 819 | 819 | - | - |
| European Medicines Agency | 06 10 03 01 | 29,818 | 29,818 | 467 | 612 | 1,080 | 467 | 612 | 1,080 | 29,351 | 29,351 | - | - |
| Special contribution for orphan medicinal products | 06 10 03 02 | 12,187 | 12,187 | 12,187 | 11,809 | 378 | 12,187 | 11,809 | 378 | - | - | - | - |
| European Foundation for the Improvement of Living and Working Conditions | 07 10 01 | 21,874 | 21,874 | 21,582 | 21,582 | - | 21,582 | 21,582 | - | 291 | 291 | - | - |
| European Agency for Safety and Health at Work | 07 10 02 | 16,267 | 15,463 | 15,860 | 15,192 | 668 | 14,803 | 14,388 | 414 | 407 | 407 | - | - |
| European Centre for the Development of Vocational Training (Cedefop) | 07 10 03 | 18,127 | 18,127 | 17,806 | 17,611 | 195 | 17,784 | 17,588 | 195 | 321 | 321 | - | - |
| European Union Agency for Fundamental Rights (FRA) | 07 10 04 | 24,584 | 24,584 | 23,835 | 23,664 | 171 | 23,664 | 23,664 | - | 199 | 199 | - | - |
| European Institute for Gender Equality (EIGE) | 07 10 05 | 8,968 | 8,968 | 8,571 | 8,429 | 141 | 8,429 | 8,429 | - | 397 | 397 | - | - |
| European Training Foundation (ETF) | 07 10 06 | 21,647 | 21,347 | 21,206 | 20,959 | 247 | 20,659 | 20,659 | - | 441 | 441 | - | - |
| European Union Agency for Criminal Justice Cooperation (Eurojust) | 07 10 07 | 53,478 | 43,978 | 53,000 | 52,047 | 953 | 42,547 | 42,547 | - | 478 | 478 | - | - |
| European Public Prosecutor's Office (EPPO) | 07 10 08 | 35,150 | 38,818 | 34,254 | 34,254 | - | 35,965 | 35,965 | - | 895 | 895 | - | - |
| European Labour Authority (ELA) | 07 10 09 | 24,862 | 16,369 | 23,683 | 23,681 | 1 | 15,830 | 15,830 | - | 538 | 538 | - | - |
| Total decentralised agencies – heading 2 | | 567,632 | 543,191 | 527,494 | 514,687 | 12,807 | 498,048 | 489,178 | 8,870 | 38,948 | 38,948 | - | - |

1.4.3 Budget implementation 2021: Decentralised agencies of Heading 3 – Natural Resources and Environment

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2021 | | Commitments made 2021 | | | Payments made 2021 | | | Carry-over of assigned revenue to 2022 | | Appropriations cancelled 2021 | |
|------------------------------------------------------------------------------------|-------------|--------------------------------|---------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|----------------------------------------|--------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Fisheries Control Agency | 08 10 01 | 21,601 | 21,601 | 20,437 | 20,178 | 259 | 20,178 | 20,178 | - | 1,164 | 1,164 | - | - |
| European Chemicals Agency - Environmental directives and International conventions | 09 10 01 | 5,463 | 5,463 | 5,164 | 5,139 | 25 | 5,139 | 5,139 | - | 298 | 298 | - | - |
| European Environment Agency | 09 10 02 | 51,653 | 51,653 | 51,247 | 45,008 | 6,238 | 51,028 | 45,008 | 6,020 | 407 | 407 | - | - |
| Total decentralised agencies – heading 3 | | 78,717 | 78,717 | 76,848 | 70,326 | 6,523 | 76,346 | 70,326 | 6,020 | 1,869 | 1,869 | - | - |

1.4.4 Budget implementation 2021: Decentralised agency of Heading 4 – Migration and Border Management

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2021 | | Commitments made 2021 | | | Payments made 2021 | | | Carry-over of assigned revenue to 2022 | | Appropriations cancelled 2021 | |
|-----------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------------------|----------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|----------------------------------------|---------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Asylum Support Office (EASO) | 10 10 01 | 160,233 | 160,233 | 134,148 | 129,845 | 4,304 | 129,845 | 129,845 | - | 26,085 | 26,085 | - | - |
| European Border and Coast Guard Agency (Frontex) | 11 10 01 | 511,519 | 511,519 | 454,287 | 446,103 | 8,184 | 446,103 | 446,103 | - | 57,232 | 57,232 | - | - |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') | 11 10 02 | 216,394 | 267,587 | 209,675 | 208,017 | 1,658 | 259,210 | 259,210 | - | 6,686 | 6,719 | - | - |
| Total decentralised agencies – heading 4 | | 888,147 | 939,339 | 798,111 | 783,965 | 14,146 | 835,158 | 835,158 | - | 90,003 | 90,036 | - | - |

1.4.5 Budget implementation 2021: Decentralised agency of Heading 5 – Security and Defence

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2021 | | Commitments made 2021 | | | Payments made 2021 | | | Carry-over of assigned revenue to 2022 | | Appropriations cancelled 2021 | |
|------------------------------------------------------------------|-------------|--------------------------------|----------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|----------------------------------------|--------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| European Union Agency for Law Enforcement Cooperation (Europol) | 12 10 01 | 172,417 | 172,417 | 168,964 | 166,601 | 2,364 | 166,601 | 166,601 | - | 3,349 | 3,349 | - | - |
| European Union agency for law enforcement training (CEPOL) | 12 10 02 | 10,605 | 10,605 | 9,832 | 9,620 | 213 | 9,620 | 9,620 | - | 773 | 773 | - | - |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) | 12 10 03 | 16,722 | 16,722 | 16,614 | 16,594 | 21 | 16,594 | 16,594 | - | 108 | 108 | - | - |
| Total decentralised agencies – heading 5 | | 199,745 | 199,745 | 195,411 | 192,814 | 2,597 | 192,814 | 192,814 | - | 4,230 | 4,230 | - | - |

1.4.6 Budget implementation 2021: Decentralised agencies – Total

(in million EUR)

| Name of the Agency | Budget line | Authorised appropriations 2021 | | Commitments made 2021 | | | Payments made 2021 | | | Carry-over of assigned revenue to 2022 | | Appropriations cancelled 2021 | |
|-------------------------------------|-------------|--------------------------------|------------------|-----------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|----------------------------------------|----------------|-------------------------------|----------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| Total decentralised agencies | | 2 122,347 | 2 136,111 | 1 967,819 | 1 917,879 | 49,940 | 1 953,262 | 1 930,577 | 22,685 | 153,201 | 153,234 | - | - |

The budget implementation tables for decentralised agencies should be read as follows:

Authorised appropriations include voted budget appropriations as well as assigned revenues (both EFTA credits and recovery of agency surpluses from previous years);

Authorised appropriations 2021 minus commitments (or payments) made in 2021 minus carry-over of assigned revenue to 2022 leads to appropriations cancelled (on the Commission side);

Positive outturns of agencies are deducted from the commitments and payments made. These generate a carry-over of assigned revenue. The carry-over of assigned revenue to 2022 (stemming from the recovery of agency surpluses for the financial year 2021) has been taken into account when calculating the EU contribution for 2023 (see also tables 1.4 and DB 2022 Working Document III).

All budget implementation 2021 data in this document are based on provisional accounting closure (April 2022).

1.5 Table decentralised agency staffing 2021

1.5.1 Staffing 2021: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|-----------------------------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|--------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| European Union Aviation Safety Agency | 2002 | 680 | 632 | 680 | 683 | 106 | 91 | 106 | 106 | 24 | 18 | 24 | 24 | 810 | 741 | 811 | 813 |
| European Maritime Safety Agency | 2002 | 212 | 210 | 212 | 212 | 33 | 31 | 33 | 30 | 18 | 11 | 18 | 18 | 263 | 252 | 263 | 260 |
| European Union Agency for Railways | 2004 | 151 | 148 | 154 | 166 | 36 | 34 | 36 | 36 | 4 | 2 | 4 | 4 | 191 | 184 | 194 | 206 |
| European Union Agency for Cybersecurity (ENISA) | 2004 | 76 | 69 | 82 | 82 | 30 | 27 | 32 | 32 | 12 | 10 | 12 | 14 | 118 | 106 | 126 | 128 |
| Body of European Regulators for Electronic Communications (BEREC) — Office | 2009 | 16 | 15 | 16 | 16 | 13 | 21 | 13 | 13 | 6 | 9 | 6 | 6 | 35 | 45 | 35 | 35 |
| Agency for the Cooperation of Energy Regulators (ACER) | 2009 | 71 | 70 | 76 | 85 | 36 | 35 | 37 | 38 | 4 | 3 | 10 | 10 | 111 | 108 | 123 | 133 |
| European Chemicals Agency — Chemicals legislation | 2006 | 404 | 393 | 404 | 404 | 94 | 90 | 94 | 97 | 13 | 1 | 13 | 3 | 511 | 484 | 511 | 504 |
| European Chemicals Agency — Activities in the field of biocides legislation | 2012 | 52 | 50 | 52 | 52 | 15 | 15 | 15 | 15 | 2 | 1 | 2 | 2 | 69 | 66 | 69 | 69 |
| European Banking Authority (EBA) | 2010 | 162 | 153 | 183 | 184 | 50 | 49 | 50 | 50 | 19 | 13 | 19 | 19 | 231 | 215 | 252 | 253 |
| European Insurance and Occupational Pensions Authority (EIOPA) | 2010 | 138 | 137 | 144 | 145 | 42 | 30 | 42 | 42 | 29 | 23 | 29 | 29 | 209 | 190 | 215 | 216 |
| European Securities and Markets Authority (ESMA) | 2010 | 250 | 213 | 243 | 263 | 83 | 85 | 97 | 82 | 33 | 10 | 15 | 30 | 366 | 308 | 355 | 375 |
| Anti-Money Laundering Authority (AMLA) | 2023 | - | - | - | 8 | - | - | - | 10 | - | - | - | - | - | - | - | 18 |
| European Union Agency for the Space Programme (EUSPA) | 2004 | 191 | 191 | 231 | 267 | 34 | 56 | 34 | 38 | 13 | 7 | 14 | 15 | 238 | 254 | 279 | 320 |
| Total decentralised agencies – heading 1 | | 2.403 | 2.281 | 2.477 | 2.567 | 572 | 564 | 589 | 579 | 177 | 108 | 166 | 174 | 3.152 | 2.953 | 3.233 | 3.312 |

1.5.2 Staffing 2021: Decentralised agencies of Heading 2 - Cohesion, Resilience and Values

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|--------------------------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|--------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| European Centre for Disease Prevention and Control | 2004 | 208 | 198 | 215 | 222 | 138 | 112 | 130 | 126 | 5 | 5 | 5 | 5 | 351 | 315 | 350 | 353 |
| European Food Safety Authority | 2002 | 380 | 364 | 405 | 405 | 146 | 140 | 167 | 167 | 15 | 11 | 15 | 15 | 541 | 515 | 587 | 587 |
| European Medicines Agency | 1993 | 657 | 644 | 662 | 682 | 211 | 206 | 223 | 203 | 33 | 28 | 30 | 30 | 901 | 878 | 915 | 915 |
| European Foundation for the Improvement of Living and Working Conditions | 1975 | 91 | 83 | 91 | 91 | 13 | 13 | 13 | 13 | 1 | | 1 | 1 | 105 | 96 | 105 | 105 |
| European Agency for Safety and Health at Work | 1994 | 40 | 39 | 40 | 40 | 25 | 25 | 25 | 25 | | | | | 65 | 64 | 65 | 65 |
| European Centre for the Development of Vocational Training (Cedefop) | 1975 | 91 | 88 | 91 | 91 | 25 | 18 | 25 | 25 | 4 | 3 | 4 | 4 | 120 | 109 | 120 | 120 |
| European Union Agency for Fundamental Rights (FRA) | 2007 | 72 | 67 | 72 | 72 | 32 | 29 | 32 | 34 | 9 | 6 | 9 | 9 | 113 | 102 | 113 | 115 |
| European Institute for Gender Equality (EIGE) | 2006 | 27 | 26 | 27 | 27 | 10 | 10 | 10 | 10 | 5 | 4 | 5 | 5 | 42 | 40 | 42 | 42 |
| European Training Foundation (ETF) | 1990 | 86 | 88 | 86 | 86 | 42 | 42 | 42 | 42 | | | | | 128 | 130 | 128 | 128 |
| European Union Agency for Criminal Justice Cooperation (Eurojust) | 2002 | 207 | 207 | 210 | 232 | 16 | 17 | 16 | 18 | 21 | 17 | 21 | 24 | 244 | 241 | 247 | 274 |
| European Public Prosecutor's Office (EPPO) | 2017 | 95 | 91 | 171 | 171 | 35 | 31 | 48 | 48 | | | 29 | 29 | 130 | 122 | 248 | 248 |
| European Labour Authority (ELA) | 2019 | 38 | 32 | 57 | 69 | 15 | 11 | 15 | 15 | 45 | 41 | 60 | 60 | 98 | 84 | 132 | 144 |
| Total decentralised agencies – heading 2 | | 1.992 | 1.927 | 2.127 | 2.188 | 708 | 654 | 746 | 726 | 138 | 115 | 179 | 182 | 2.838 | 2.696 | 3.052 | 3.096 |

1.5.3 Staffing 2021: Decentralised agencies of Heading 3 – Natural Resources and Environment

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| European Fisheries Control Agency | 2005 | 65 | 65 | 77 | 77 | 7 | 9 | 11 | 11 | 4 | 5 | 5 | 5 | 76 | 79 | 93 | 93 |
| European Chemicals Agency - Environmental directives and International conventions | 2012 | 11 | 10 | 11 | 13 | 13 | 12 | 13 | 11 | | | | | 24 | 22 | 24 | 24 |
| European Environment Agency | 1990 | 140 | 139 | 150 | 155 | 80 | 79 | 86 | 87 | 20 | 18 | 20 | 20 | 240 | 236 | 256 | 262 |
| Total decentralised agencies – heading 3 | | 216 | 214 | 238 | 245 | 100 | 100 | 110 | 109 | 24 | 23 | 25 | 25 | 340 | 337 | 373 | 379 |

1.5.4 Staffing 2021: Decentralised agency of Heading 4 – Migration and Border Management

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|-----------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|--------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| European Union Agency for Asylum (EUAA) | 2010 | 366 | 317 | 371 | 371 | 181 | 158 | 123 | 213 | 11 | 6 | 11 | 11 | 558 | 481 | 505 | 595 |
| European Border and Coast Guard Agency (Frontex) | 2004 | 1.050 | 861 | 1.300 | 1.300 | 730 | 489 | 964 | 979 | 220 | 186 | 220 | 220 | 2.000 | 1.536 | 2.484 | 2.499 |
| European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') | 2011 | 213 | 193 | 215 | 216 | 132 | 107 | 169 | 166 | 11 | 10 | 11 | 11 | 356 | 310 | 395 | 393 |
| Total decentralised agencies – heading 4 | | 1.629 | 1.371 | 1.886 | 1.887 | 1.043 | 754 | 1.256 | 1.358 | 242 | 202 | 242 | 242 | 2.914 | 2.327 | 3.384 | 3.487 |

1.5.5 *Staffing 2021: Decentralised agency of Heading 5 – Security and Defence*

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|------------------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|--------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| European Union Agency for Law Enforcement Cooperation (Europol) | 1995 | 615 | 614 | 686 | 716 | 191 | 214 | 235 | 235 | 71 | 59 | 71 | 121 | 877 | 887 | 992 | 1.072 |
| European Union Agency for Law Enforcement Training (CEPOL) | 2005 | 33 | 33 | 33 | 33 | 19 | 20 | 20 | 19 | 4 | 6 | 4 | 4 | 56 | 59 | 57 | 56 |
| European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) | 1993 | 76 | 73 | 76 | 76 | 34 | 27 | 34 | 34 | 1 | 1 | 1 | 1 | 111 | 101 | 111 | 111 |
| Total decentralised agencies – heading 5 | | 724 | 720 | 795 | 825 | 244 | 261 | 289 | 288 | 76 | 66 | 76 | 126 | 1.044 | 1.047 | 1.160 | 1.239 |

1.5.6 *Staffing 2021: Decentralised agency of Heading 7 – Administration*

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|---------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| Translation Centre for the Bodies of the European Union | 1994 | 193 | 184 | 193 | 193 | 28 | 21 | 28 | 28 | | | | | 221 | 205 | 221 | 224 |
| Total decentralised agencies – heading 7 | | 193 | 184 | 193 | 193 | 28 | 21 | 28 | 28 | 0 | 0 | 0 | 0 | 221 | 205 | 221 | 224 |

1.5.7 *Staffing 2021: Self-financed decentralised agencies*

| Name of the decentralised agency | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|-----------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|--------------|--------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| European Union Intellectual Property Office (EUIPO) | 1993 | 925 | 875 | 1.172 | 1.172 | 210 | 210 | 237 | 239 | 69 | 68 | 72 | 74 | 1.204 | 1.153 | 1.481 | 1.485 |
| Community Plant Variety Office (CPVO) | 1994 | 45 | 44 | 48 | 51 | 5 | 5 | 6 | 6 | | | 1 | | 50 | 49 | 55 | 57 |
| Single Resolution Board (SRB) | 2014 | 450 | 371 | 450 | 450 | | | | | 35 | 22 | 35 | 35 | 485 | 393 | 485 | 485 |
| Total decentralised agencies | | 1.420 | 1.290 | 1.670 | 1.673 | 215 | 215 | 243 | 245 | 104 | 90 | 108 | 109 | 1.739 | 1.595 | 2.021 | 2.027 |

1.5.8 *Staffing 2021: Decentralised agencies – Total*

| Name of the decentralised agency | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|--------------------------------------------------------------------------|--------------------------|----------------------------|-----------------|-----------------|------------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------|
| | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Recommended 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| Total decentralised agencies | 8577 | 7.987 | 9.386 | 9.570 | 2.910 | 2.569 | 3.261 | 3.333 | 761 | 604 | 796 | 858 | 12.248 | 11.160 | 13.444 | 13.764 |
| Total decentralised agencies (excl. fully self-financed agencies) | 7157 | 6.697 | 7.716 | 7.905 | 2.695 | 2.354 | 3.018 | 3.088 | 657 | 514 | 688 | 749 | 10.509 | 9.565 | 11.423 | 11.737 |

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

The individual budgetary financial statements include, by agency, information on the set-up of the agency, the EU contribution to and the budget of the agency (revenue and expenditure), the estimated surplus of 2021, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1 Decentralised agencies of Heading 1 – Single Market, Innovation and Digital

2.1.1 European Union Aviation Safety Agency – EASA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| BASIC REGULATION: Regulation (EU) No 2018/1139 of the European Parliament and of the Council on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and amending Regulations (EC) No 2111/2005, (EC) No 1008/2008, (EU) No 996/2010, (EU) No 376/2014 and Directives 2014/30/EU of the European Parliament and of the Council, and repealing Regulations (EC) No 552/2004 and (EC) No 216/2008 of the European Parliament and of the Council and Council Regulation (EEC) No 3922/91 | 04 July 2018 11 September 2018 (Entry into force) | The Regulation consolidates the scope of EU competence to cover the full spectrum of the aviation landscape and reinforce the European aviation system as a whole. EASA's mandate continues to cover aviation safety management, airworthiness and environmental protection, aircrew, air operations, aerodromes, air traffic management (ATM) and air navigation services (ANS), and aircraft used by a third-country operators into, within or out of the EU. In addition, new technical areas are added, like unmanned aircraft, ground handling and aviation security (specifically cybersecurity). EASA's role is also reinforced in areas, such as environmental protection, research and development, or international cooperation. Furthermore, the Regulation provides new tools, such as a possibility for some products and activities that would be normally excluded from the scope of the Regulation to be included (opt-ins) and for some products that would normally in the scope to the excluded from it (opt-out). It also allows the transfer of competent authority responsibilities between MS or from MS to EASA. |
| Regulation (EC) No 2111/2005 on the establishment of a Community list of air carriers subject to an operating ban within the Community and on informing air transport passengers of the identity of the operating air carrier. | 14 December 2005 16 January 2006 (Entry into force) | EASA shall communicate to the Commission all information that may be relevant in the context of updating the Community list as well as bring the Community list to the attention of passengers. |
| Regulation (EU) No 996/2010 of the European Parliament and of the Council. On the investigation and prevention of accident and incidents in civil aviation, | 20 October 2010 02 December 2010 (Entry into force) | EASA participates in safety investigations and in cooperation with the competent authorities of the Member States in the exchange and analysis of information within the occurrence reporting systems |
| Regulation EU No 376/2014 of the European Parliament and of the Council. On the reporting, analysis and follow-up of occurrences in civil aviation | 03 April 2014 15 November 2015 (Applicability date) | EASA is involved in the exchange, evaluation, processing or analysis of occurrences that have a significant role to play in the identification of safety hazards and safety deficiencies. EASA shall establish mandatory and voluntary reporting systems to facilitate the collection of details of occurrences. The Agency and the Commission should provide technical support for the interoperability of the Aircraft Incident Reporting Systems (ECCAIRS) and with the ADREP taxonomy |

1.2 Seat

EASA is located in Cologne, Germany.

1.3 Budget Line

02 10 01 : European Union Aviation Safety Agency (EASA)

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources overview EASA

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 566 | 528 | 93,29% | 567 | 568 |
| Assistants (AST) | 112 | 104 | 92,86% | 112 | 113 |
| Assistants/Secretaries (AST/SC) | 2 | | 0,00% | 2 | 2 |
| ESTABLISHMENT PLAN POSTS | 680 | 632 | 92,94% | 681 | 683 |
| Contract Agents (CA) | 106 | 91 | 85,85% | 106 | 106 |
| Seconded National Experts (SNE) | 24 | 18 | 75,00% | 24 | 24 |
| TOTAL STAFF | 810 | 741 | 91,48% | 811 | 813 |

1.4.2 Human Resources overview (Fees and charges)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 383 | 358 | 93,47% | 383 | 383 |
| Assistants (AST) | 70 | 65 | 92,86% | 70 | 70 |
| Assistants/Secretaries (AST/SC) | 1 | | 0,00% | 1 | 1 |
| ESTABLISHMENT PLAN POSTS | 454 | 423 | 93,17% | 454 | 454 |
| Contract Agents (CA) | 62 | 50 | 80,65% | 62 | 62 |
| Seconded National Experts (SNE) | | 3 | | | 4 |
| TOTAL STAFF | 516 | 476 | 92,25% | 516 | 520 |

1.4.3 Human Resources overview (EU Contribution)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 183 | 170 | 92,90% | 184 | 185 |
| Assistants (AST) | 42 | 39 | 92,86% | 42 | 43 |
| Assistants/Secretaries (AST/SC) | 1 | | 0,00% | 1 | 1 |
| ESTABLISHMENT PLAN POSTS | 226 | 209 | 92,48% | 227 | 229 |
| Contract Agents (CA) | 44 | 41 | 93,18% | 44 | 44 |
| Seconded National Experts (SNE) | 24 | 15 | 62,50% | 24 | 20 |
| TOTAL STAFF | 294 | 265 | 90,14% | 295 | 293 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 39 678 000 | 43 307 993 |
| Other Revenue | 194 729 054 | 201 354 120 |
| TOTAL REVENUES | 234 407 054 | 244 662 113 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 4 824 585 | 3 300 000 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 36 982 226 | 37 926 811 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 50 000 | |
| TOTAL REVENUES | 41 856 811 | 41 226 811 |

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview EASA

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 101 362 693 | 101 362 693 | 106 461 745 | 106 461 745 |
| Title 2 - Infrastructure and operating expenditure | 26 176 430 | 26 176 430 | 27 339 383 | 27 339 383 |
| Title 3 - Operational expenditure | 106867931 | 106867931 | 110860985 | 110860985 |
| TOTAL EXPENDITURE | 234 407 054 | 234 407 054 | 244 662 113 | 244 662 113 |

1.5.2.2 Expenditure Overview (Fees and charges)

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 73 301 762 | 73 301 762 | 76 689 288 | 76 689 288 |
| Title 2 - Infrastructure and operating expenditure | 17 332 497 | 17 332 497 | 18 109 190 | 18 109 190 |
| Title 3 - Operational expenditure | 101 162 381 | 101 162 381 | 103 217 876 | 103 217 876 |

| Expenditure | 2022 | | 2023 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 191 796 640 | 191 796 640 | 198 016 354 | 198 016 354 |

1.5.2.3 Expenditure Overview (EU Contribution)

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 28 060 931 | 28 060 931 | 29 772 457 | 29 772 457 |
| Title 2 - Infrastructure and operating expenditure | 8 843 933 | 8 843 933 | 9 230 193 | 9 230 193 |
| Title 3 - Operational expenditure | 5 705 550 | 5 705 550 | 7 643 109 | 7 643 109 |
| TOTAL EXPENDITURE | 42 610 414 | 42 610 414 | 46 645 759 | 46 645 759 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts EASA

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 25 | | 2 | | 25 | | 25 | | 25 |
| AD 13 | | 33 | | 3 | | 33 | | 33 | | 33 |
| AD 12 | | 66 | | 15 | | 66 | | 66 | | 66 |
| AD 11 | | 88 | | 60 | | 88 | | 88 | | 88 |
| AD 10 | | 110 | | 82 | | 110 | | 110 | | 110 |
| AD 9 | | 120 | | 128 | | 120 | | 120 | | 120 |
| AD 8 | | 78 | | 106 | | 78 | | 78 | | 78 |
| AD 7 | | 32 | | 54 | | 32 | | 32 | | 32 |
| AD 6 | | 11 | | 51 | | 11 | | 13 | | 13 |
| AD 5 | | 2 | | 26 | | 3 | | 2 | | 2 |
| AD TOTAL | | 566 | | 528 | | 567 | | 568 | | 568 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 9 | | 1 | | | | 1 | | 1 | | 1 |
| AST 8 | | 3 | | 1 | | 3 | | 4 | | 4 |
| AST 7 | | 11 | | 3 | | 11 | | 11 | | 11 |
| AST 6 | | 27 | | 26 | | 27 | | 27 | | 27 |
| AST 5 | | 28 | | 33 | | 28 | | 28 | | 28 |
| AST 4 | | 25 | | 22 | | 25 | | 25 | | 25 |
| AST 3 | | 15 | | 15 | | 15 | | 15 | | 15 |
| AST 2 | | 2 | | 4 | | 2 | | 2 | | 2 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 112 | | 104 | | 112 | | 113 | | 113 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 2 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 2 | | | | 2 | | 2 | | 2 |
| TOTAL | | 680 | | 632 | | 681 | | 683 | | 683 |
| GRAND TOTAL | | 680 | | 632 | | 681 | | 683 | | 683 |

2.1.2 Establishment plan posts (Fees and charges)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 15 | | 1 | | 15 | | 15 | | 15 |
| AD 13 | | 24 | | 2 | | 24 | | 24 | | 24 |
| AD 12 | | 48 | | 11 | | 48 | | 48 | | 48 |
| AD 11 | | 69 | | 47 | | 69 | | 69 | | 69 |
| AD 10 | | 73 | | 48 | | 73 | | 73 | | 73 |
| AD 9 | | 67 | | 78 | | 67 | | 67 | | 67 |
| AD 8 | | 52 | | 77 | | 52 | | 52 | | 52 |
| AD 7 | | 25 | | 43 | | 25 | | 25 | | 25 |
| AD 6 | | 8 | | 33 | | 8 | | 8 | | 8 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 5 | | 1 | | 17 | | 1 | | 1 | | 1 |
| AD TOTAL | | 383 | | 358 | | 383 | | 383 | | 383 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | | | 1 | | 1 | | 1 |
| AST 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | 11 | | | | 11 | | 11 | | 11 |
| AST 6 | | 10 | | 16 | | 10 | | 10 | | 10 |
| AST 5 | | 19 | | 22 | | 19 | | 19 | | 19 |
| AST 4 | | 17 | | 14 | | 17 | | 17 | | 17 |
| AST 3 | | 10 | | 11 | | 10 | | 10 | | 10 |
| AST 2 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 70 | | 65 | | 70 | | 70 | | 70 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 1 | | | | 1 | | 1 | | 1 |
| TOTAL | | 454 | | 423 | | 454 | | 454 | | 454 |
| GRAND TOTAL | | 454 | | 423 | | 454 | | 454 | | 454 |

2.1.3 Establishment plan posts (UE Contribution)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 10 | | 1 | | 10 | | 10 | | 10 |
| AD 13 | | 9 | | 1 | | 9 | | 9 | | 9 |
| AD 12 | | 18 | | 4 | | 18 | | 18 | | 18 |
| AD 11 | | 19 | | 13 | | 19 | | 19 | | 19 |
| AD 10 | | 37 | | 34 | | 37 | | 37 | | 37 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | | 53 | | 50 | | 53 | | 53 | | 53 |
| AD 8 | | 26 | | 29 | | 26 | | 26 | | 26 |
| AD 7 | | 7 | | 11 | | 7 | | 7 | | 7 |
| AD 6 | | 3 | | 18 | | 3 | | 5 | | 5 |
| AD 5 | | 1 | | 9 | | 2 | | 1 | | 1 |
| AD TOTAL | | 183 | | 170 | | 184 | | 185 | | 185 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 2 | | | | 2 | | 3 | | 3 |
| AST 7 | | | | 3 | | | | | | |
| AST 6 | | 17 | | 10 | | 17 | | 17 | | 17 |
| AST 5 | | 9 | | 11 | | 9 | | 9 | | 9 |
| AST 4 | | 8 | | 8 | | 8 | | 8 | | 8 |
| AST 3 | | 5 | | 4 | | 5 | | 5 | | 5 |
| AST 2 | | 1 | | 3 | | 1 | | 1 | | 1 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 42 | | 39 | | 42 | | 43 | | 43 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | 1 | | | | 1 | | 1 | | 1 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 1 | | | | 1 | | 1 | | 1 |
| TOTAL | | 226 | | 209 | | 227 | | 229 | | 229 |
| GRAND TOTAL | | 226 | | 209 | | 227 | | 229 | | 229 |

2.2 External personnel

2.2.1 External personnel EASA

2.2.1.1 Contract Agents EASA

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 36 | 31 | 36 | 36 |
| Function Group III | 68 | 60 | 68 | 68 |
| Function Group II | 2 | | 2 | 2 |

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | | | |
| TOTAL | 106 | 91 | 106 | 106 |

2.2.1.2 *Seconded National Experts EASA*

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 24 | 18 | 24 | 24 |

2.2.2 *External personnel (Fees and charges)*

2.2.2.1 *Contract Agents (Fees and charges)*

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 21 | 11 | 21 | 21 |
| Function Group III | 40 | 39 | 40 | 40 |
| Function Group II | 1 | | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 62 | 50 | 62 | 62 |

2.2.2.2 *Seconded National Experts (Fees and charges)*

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | 3 | | 4 |

2.2.3 *External personnel (EU Contribution)*

2.2.3.1 *Contract Agents (EU Contribution)*

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 15 | 20 | 15 | 15 |
| Function Group III | 28 | 21 | 28 | 28 |
| Function Group II | 1 | | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 44 | 41 | 44 | 44 |

2.2.3.2 *Seconded National Experts (EU Contribution)*

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 24 | 15 | 24 | 20 |

3 Financial Resources

3.1 Revenues EASA

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 112 755 544 | 120 435 031 | 128 948 117 | 128 948 117 | 7,07% |
| 2 EU CONTRIBUTION | 38 900 000 | 39 678 000 | 43 307 993 | 43 307 993 | 9,15% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | 2 352 620 | 318 175 | 318 175 | -86,48% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 777 007 | 2 519 829 | 2 948 646 | 2 948 646 | 17,02% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 2 777 007 | 2 519 829 | 2 948 646 | 2 948 646 | 17,02% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 12 015 094 | p.m. | p.m. | p.m. | |
| 5 ADMINISTRATIVE OPERATIONS | 700 591 | 500 000 | 500 000 | 500 000 | 0,00% |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 84 981 | 235 000 | 210 000 | 210 000 | -10,64% |
| 7 CORRECTION OF BUDGETARY IMBALANCES | 60 898 105 | 71 039 194 | 68 747 357 | 68 747 357 | -3,23% |
| TOTAL | 228 131 322 | 234 407 054 | 244 662 113 | 244 662 113 | 4,37% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 72 847 | 4 824 585 | 3 300 000 | 3 300 000 | -31,60% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 11 942 247 | 36 982 226 | 37 926 811 | 37 926 811 | 2,55% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | 50 000 | | | -100% |
| TOTAL | 12 015 094 | 41 856 811 | 41 226 811 | 41 226 811 | -1,51% |

3.2 Expenditure

3.2.1 Expenditure EASA

3.2.1.1 Commitment appropriations EASA

| EXPENDITURE | Commitment appropriations EASA | | | | |
|------------------------------------------------------------------|--------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 97 729 298 | 101 362 693 | 106 461 745 | 106 461 745 | 5,03% |
| Salaries & allowances | 82 928 596 | 81 750 434 | 85 950 023 | 85 950 023 | 5,14% |
| - <i>Of which establishment plan posts</i> | 76 842 851 | 73 687 236 | 77 569 342 | 77 569 342 | 5,27% |
| - <i>Of which external personnel</i> | 6 085 745 | 8 063 198 | 8 380 681 | 8 380 681 | 3,94% |
| Expenditure relating to Staff recruitment | 593 085 | 958 177 | 979 862 | 979 862 | 2,26% |
| Employer's pension contributions | 9 270 615 | 9 584 258 | 10 248 833 | 10 248 833 | 6,93% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 260 198 | 3 209 035 | 3 280 507 | 3 280 507 | 2,23% |
| Training | 185 529 | 562 170 | 557 655 | 557 655 | -0,80% |
| External Services | 54 655 | | | | |
| Receptions, events and representation | 26 949 | 157 000 | 157 000 | 157 000 | 0,00% |
| Social welfare | 4 409 671 | 5 141 619 | 5 287 865 | 5 287 865 | 2,84% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 22 418 192 | 26 176 430 | 27 339 383 | 27 339 383 | 4,44% |
| Rental of buildings and associated costs | 9 592 490 | 10 279 531 | 10 399 182 | 10 399 182 | 1,16% |
| Information, communication technology and data processing | 11 916 685 | 14 447 000 | 15 187 000 | 15 187 000 | 5,12% |
| Movable property and associated costs | 121 109 | 336 000 | 287 000 | 287 000 | -14,58% |
| Current administrative expenditure | 655 437 | 939 899 | 1 289 200 | 1 289 200 | 37,16% |
| Postage / Telecommunications | 132 471 | 174 000 | 177 001 | 177 001 | 1,72% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations EASA | | | | |
|------------------------------------------------------|--------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 125 081 857 | 106 867 931 | 110 860 985 | 110 860 985 | 3,74% |
| Certification activities | 15 235 617 | 23 104 399 | 23 313 600 | 23 313 600 | 0,91% |
| Standardisation activities | 67 872 | 90 000 | 90 000 | 90 000 | 0,00% |
| Development data base | 2 041 323 | 2 710 000 | 4 310 000 | 4 310 000 | 59,04% |
| Communication and publication | 418 264 | 518 000 | 453 000 | 453 000 | -12,55% |
| Meeting expenses | 374 977 | 821 245 | 876 244 | 876 244 | 6,70% |
| Translations and interpretation costs | 45 400 | 16 000 | 16 000 | 16 000 | 0,00% |
| Rulemaking activities | 1 122 852 | 1 370 000 | 1 545 000 | 1 545 000 | 12,77% |
| Mission,entertainment and representation expenditure | 1 492 427 | 4 891 350 | 5 395 200 | 5 395 200 | 10,30% |
| Technical training | 548 612 | 850 000 | 850 000 | 850 000 | 0,00% |
| ED activites | 4 617 663 | 4 835 000 | 2 565 001 | 2 565 001 | -46,95% |
| Special Operations Programmes | 26 992 238 | | | | |
| Other expenditure | 72 124 612 | 67 661 937 | 71 446 940 | 71 446 940 | 5,59% |
| TOTAL | 245 229 347 | 234 407 054 | 244 662 113 | 244 662 113 | 4,37% |

3.2.1.2 Payment appropriations EASA

| EXPENDITURE | Payment appropriations EASA | | | | |
|-------------------------------------------|-----------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 97 540 204 | 101 362 693 | 106 461 745 | 106 461 745 | 5,03% |
| Salaries & allowances | 82 888 760 | 81 750 436 | 85 950 023 | 85 950 023 | 5,14% |
| - Of which establishment plan posts | 76 813 095 | 73 687 237 | 77 569 342 | 77 569 342 | 5,27% |
| - Of which external personnel | 6 075 665 | 8 063 199 | 8 380 681 | 8 380 681 | 3,94% |
| Expenditure relating to Staff recruitment | 546 743 | 958 176 | 979 862 | 979 862 | 2,26% |
| Employer's pension contributions | 9 270 615 | 9 584 258 | 10 248 833 | 10 248 833 | 6,93% |

| EXPENDITURE | Payment appropriations EASA | | | | |
|-----------------------------------------------------------|-----------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 195 756 | 3 209 034 | 3 280 506 | 3 280 506 | 2,23% |
| Training | 151 886 | 562 170 | 557 655 | 557 655 | -0,80% |
| External Services | 50 279 | | | | |
| Receptions, events and representation | 26 494 | 157 000 | 157 000 | 157 000 | 0,00% |
| Social welfare | 4 409 671 | 5 141 619 | 5 287 866 | 5 287 866 | 2,84% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 16 915 150 | 26 176 430 | 27 339 383 | 27 339 383 | 4,44% |
| Rental of buildings and associated costs | 8 934 945 | 10 279 531 | 10 399 183 | 10 399 183 | 1,16% |
| Information, communication technology and data processing | 7 381 706 | 14 447 000 | 15 187 000 | 15 187 000 | 5,12% |
| Movable property and associated costs | 99 615 | 336 000 | 287 000 | 287 000 | -14,58% |
| Current administrative expenditure | 389 491 | 939 899 | 1 289 200 | 1 289 200 | 37,16% |
| Postage / Telecommunications | 109 393 | 174 000 | 177 000 | 177 000 | 1,72% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 18 318 350 | 106 867 931 | 110 860 985 | 110 860 985 | 3,74% |
| Certification activities | 8 313 315 | 23 104 399 | 23 313 600 | 23 313 600 | 0,91% |
| Standardisation activities | 27 576 | 90 000 | 90 000 | 90 000 | 0,00% |
| Development data base | 921 759 | 2 710 000 | 4 310 000 | 4 310 000 | 59,04% |
| Communication and publication | 174 246 | 518 000 | 453 000 | 453 000 | -12,55% |
| Meeting expenses | 116 095 | 821 245 | 876 244 | 876 244 | 6,70% |
| Translations and interpretation costs | | 16 000 | 16 000 | 16 000 | 0,00% |

| EXPENDITURE | Payment appropriations EASA | | | | |
|------------------------------------------------------|-----------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Rulemaking activities | 187 010 | 1 370 000 | 1 545 000 | 1 545 000 | 12,77% |
| Mission,entertainment and representation expenditure | 1 328 125 | 4 891 350 | 5 395 200 | 5 395 200 | 10,30% |
| Technical training | 393 180 | 850 000 | 850 000 | 850 000 | 0,00% |
| ED activites | 1 204 331 | 4 835 000 | 2 565 001 | 2 565 001 | -46,95% |
| Special Operations Programmes | 5 652 713 | | | | |
| Other expenditure | | 67 661 937 | 71 446 940 | 71 446 940 | 5,59% |
| TOTAL | 132 773 704 | 234 407 054 | 244 662 113 | 244 662 113 | 4,37% |

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|-------------------------------------------|----------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 68 175 882 | 73 301 762 | 76 689 288 | 76 689 288 | 4,62% |
| Salaries & allowances | 55 298 554 | 57 019 504 | 59 523 551 | 59 523 551 | 4,39% |
| - Of which establishment plan posts | 51 896 402 | 51 643 122 | 53 883 849 | 53 883 849 | 4,34% |
| - Of which external personnel | 3 402 152 | 5 376 382 | 5 639 702 | 5 639 702 | 4,90% |
| Expenditure relating to Staff recruitment | 346 136 | 638 894 | 659 389 | 659 389 | 3,21% |
| Employer's pension contributions | 9 270 615 | 9 584 258 | 10 248 833 | 10 248 833 | 6,93% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 171 077 | 2 139 722 | 2 207 587 | 2 207 587 | 3,17% |
| Training | 122 038 | 373 527 | 373 398 | 373 398 | -0,03% |
| External Services | 36 141 | | | | |
| Receptions, events and representation | 17 915 | 117 527 | 118 110 | 118 110 | 0,50% |
| Social welfare | 2 913 406 | 3 428 330 | 3 558 420 | 3 558 420 | 3,79% |
| Other Staff related expenditure | | | | | |

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|-----------------------------------------------------------|----------------------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | 14 776 405 | 17 332 497 | 18 109 190 | 18 109 190 | 4,48% |
| Rental of buildings and associated costs | 6 336 694 | 6 806 502 | 6 888 260 | 6 888 260 | 1,20% |
| Information, communication technology and data processing | 7 867 660 | 9 565 956 | 10 059 637 | 10 059 637 | 5,16% |
| Movable property and associated costs | 80 036 | 222 480 | 190 104 | 190 104 | -14,55% |
| Current administrative expenditure | 404 681 | 622 346 | 853 946 | 853 946 | 37,21% |
| Postage / Telecommunications | 87 334 | 115 213 | 117 243 | 117 243 | 1,76% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 120 638 694 | 101 162 381 | 103 217 876 | 103 217 876 | 2,03% |
| Certification activities | 15 137 375 | 23 104 399 | 23 313 600 | 23 313 600 | 0,91% |
| Standardisation activities | 24 254 | | | | |
| Development data base | 1 306 652 | 1 328 348 | 1 193 678 | 1 193 678 | -10,14% |
| Communication and publication | 285 036 | 383 479 | 337 098 | 337 098 | -12,09% |
| Meeting expenses | 140 175 | 564 483 | 586 602 | 586 602 | 3,92% |
| Translations and interpretation costs | 31 853 | 13 403 | 13 441 | 13 441 | 0,28% |
| Rulemaking activities | 55 389 | 458 990 | 331 145 | 331 145 | -27,85% |
| Mission,entertainment and representation expenditure | 1 251 571 | 3 929 425 | 4 209 920 | 4 209 920 | 7,14% |
| Technical training | 349 709 | 571 028 | 576 213 | 576 213 | 0,91% |
| ED activites | 2 939 830 | 3 146 889 | 1 209 239 | 1 209 239 | -61,57% |
| Special Operations Programmes | 26 992 238 | | | | |
| Other expenditure | 72 124 612 | 67 661 937 | 71 446 940 | 71 446 940 | 5,59% |
| TOTAL | 203 590 981 | 191 796 640 | 198 016 354 | 198 016 354 | 3,24% |

3.2.2.2 Payment appropriations (Fees and charges)

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|------------------------------------------------------------------|-------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 68 047 893 | 73 301 762 | 76 689 288 | 76 689 288 | 4,62% |
| Salaries & allowances | 55 262 049 | 57 019 506 | 59 523 551 | 59 523 551 | 4,39% |
| - <i>Of which establishment plan posts</i> | 51 866 647 | 51 643 123 | 53 883 849 | 53 883 849 | 4,34% |
| - <i>Of which external personnel</i> | 3 395 402 | 5 376 383 | 5 639 702 | 5 639 702 | 4,90% |
| Expenditure relating to Staff recruitment | 322 519 | 638 893 | 659 389 | 659 389 | 3,21% |
| Employer's pension contributions | 9 270 615 | 9 584 258 | 10 248 833 | 10 248 833 | 6,93% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 128 537 | 2 139 721 | 2 207 586 | 2 207 586 | 3,17% |
| Training | 99 908 | 373 527 | 373 398 | 373 398 | -0,03% |
| External Services | 33 247 | | | | |
| Receptions, events and representation | 17 612 | 117 527 | 118 110 | 118 110 | 0,50% |
| Social welfare | 2 913 406 | 3 428 330 | 3 558 421 | 3 558 421 | 3,79% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 146 243 | 17 332 497 | 18 109 190 | 18 109 190 | 4,48% |
| Rental of buildings and associated costs | 5 902 684 | 6 806 502 | 6 888 261 | 6 888 261 | 1,20% |
| Information, communication technology and data processing | 4 864 884 | 9 565 956 | 10 059 637 | 10 059 637 | 5,16% |
| Movable property and associated costs | 65 850 | 222 480 | 190 104 | 190 104 | -14,55% |
| Current administrative expenditure | 240 706 | 622 346 | 853 946 | 853 946 | 37,21% |
| Postage / Telecommunications | 72 119 | 115 213 | 117 242 | 117 242 | 1,76% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|------------------------------------------------------|-------------------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 16 805 785 | 101 162 381 | 103 217 876 | 103 217 876 | 2,03% |
| Certification activities | 8 310 544 | 23 104 399 | 23 313 600 | 23 313 600 | 0,91% |
| Standardisation activities | 9 462 | | | | |
| Development data base | 590 019 | 1 328 348 | 1 193 678 | 1 193 678 | -10,14% |
| Communication and publication | 118 744 | 383 479 | 337 098 | 337 098 | -12,09% |
| Meeting expenses | 43 399 | 564 483 | 586 602 | 586 602 | 3,92% |
| Translations and interpretation costs | | 13 403 | 13 441 | 13 441 | 0,28% |
| Rulemaking activities | 8 285 | 458 990 | 331 145 | 331 145 | -27,85% |
| Mission,entertainment and representation expenditure | 1 113 938 | 3 929 425 | 4 209 920 | 4 209 920 | 7,14% |
| Technical training | 250 524 | 571 028 | 576 213 | 576 213 | 0,91% |
| ED activites | 708 157 | 3 146 889 | 1 209 239 | 1 209 239 | -61,57% |
| Special Operations Programmes | 5 652 713 | | | | |
| Other expenditure | | 67 661 937 | 71 446 940 | 71 446 940 | 5,59% |
| TOTAL | 95 999 921 | 191 796 640 | 198 016 354 | 198 016 354 | 3,24% |

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|-------------------------------------------|---------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 29 553 416 | 28 060 931 | 29 772 457 | 29 772 457 | 6,10% |
| Salaries & allowances | 27 630 042 | 24 730 930 | 26 426 472 | 26 426 472 | 6,86% |
| - Of which establishment plan posts | 24 946 449 | 22 044 114 | 23 685 493 | 23 685 493 | 7,45% |
| - Of which external personnel | 2 683 593 | 2 686 816 | 2 740 979 | 2 740 979 | 2,02% |
| Expenditure relating to Staff recruitment | 246 949 | 319 283 | 320 473 | 320 473 | 0,37% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|-----------------------------------------------------------|---------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 89 121 | 1 069 313 | 1 072 920 | 1 072 920 | 0,34% |
| Training | 63 491 | 188 643 | 184 257 | 184 257 | -2,33% |
| External Services | 18 514 | | | | |
| Receptions, events and representation | 9 034 | 39 473 | 38 890 | 38 890 | -1,48% |
| Social welfare | 1 496 265 | 1 713 289 | 1 729 445 | 1 729 445 | 0,94% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 7 641 787 | 8 843 933 | 9 230 193 | 9 230 193 | 4,37% |
| Rental of buildings and associated costs | 3 255 796 | 3 473 029 | 3 510 922 | 3 510 922 | 1,09% |
| Information, communication technology and data processing | 4 049 025 | 4 881 044 | 5 127 363 | 5 127 363 | 5,05% |
| Movable property and associated costs | 41 073 | 113 520 | 96 896 | 96 896 | -14,64% |
| Current administrative expenditure | 250 756 | 317 553 | 435 254 | 435 254 | 37,06% |
| Postage / Telecommunications | 45 137 | 58 787 | 59 758 | 59 758 | 1,65% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 443 163 | 5 705 550 | 7 643 109 | 7 643 109 | 33,96% |
| Certification activities | 98 242 | | | | |
| Standardisation activities | 43 618 | 90 000 | 90 000 | 90 000 | 0,00% |
| Development data base | 734 671 | 1 381 652 | 3 116 322 | 3 116 322 | 125,55% |
| Communication and publication | 133 228 | 134 521 | 115 902 | 115 902 | -13,84% |
| Meeting expenses | 234 802 | 256 762 | 289 642 | 289 642 | 12,81% |

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|------------------------------------------------------|---------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Translations and interpretation costs | 13 547 | 2 597 | 2 559 | 2 559 | -1,46% |
| Rulemaking activities | 1 067 463 | 911 010 | 1 213 855 | 1 213 855 | 33,24% |
| Mission,entertainment and representation expenditure | 240 856 | 961 925 | 1 185 280 | 1 185 280 | 23,22% |
| Technical training | 198 903 | 278 972 | 273 787 | 273 787 | -1,86% |
| ED activites | 1 677 833 | 1 688 111 | 1 355 762 | 1 355 762 | -19,69% |
| Special Operations Programmes | | | | | |
| Other expenditure | | | | | |
| TOTAL | 41 638 366 | 42 610 414 | 46 645 759 | 46 645 759 | 9,47% |

3.2.3.2 Payment appropriations (EU Contribution)

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|-------------------------------------------|------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 29 492 311 | 28 060 931 | 29 772 457 | 29 772 457 | 6,10% |
| Salaries & allowances | 27 626 711 | 24 730 930 | 26 426 472 | 26 426 472 | 6,86% |
| - Of which establishment plan posts | 24 946 448 | 22 044 114 | 23 685 493 | 23 685 493 | 7,45% |
| - Of which external personnel | 2 680 263 | 2 686 816 | 2 740 979 | 2 740 979 | 2,02% |
| Expenditure relating to Staff recruitment | 224 224 | 319 283 | 320 473 | 320 473 | 0,37% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 67 219 | 1 069 313 | 1 072 920 | 1 072 920 | 0,34% |
| Training | 51 978 | 188 643 | 184 257 | 184 257 | -2,33% |
| External Services | 17 032 | | | | |
| Receptions, events and representation | 8 882 | 39 473 | 38 890 | 38 890 | -1,48% |
| Social welfare | 1 496 265 | 1 713 289 | 1 729 445 | 1 729 445 | 0,94% |
| Other Staff related expenditure | | | | | |

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|-----------------------------------------------------------|------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | 5 768 907 | 8 843 933 | 9 230 193 | 9 230 193 | 4,37% |
| Rental of buildings and associated costs | 3 032 261 | 3 473 029 | 3 510 922 | 3 510 922 | 1,09% |
| Information, communication technology and data processing | 2 516 822 | 4 881 044 | 5 127 363 | 5 127 363 | 5,05% |
| Movable property and associated costs | 33 765 | 113 520 | 96 896 | 96 896 | -14,64% |
| Current administrative expenditure | 148 785 | 317 553 | 435 254 | 435 254 | 37,06% |
| Postage / Telecommunications | 37 274 | 58 787 | 59 758 | 59 758 | 1,65% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 512 565 | 5 705 550 | 7 643 109 | 7 643 109 | 33,96% |
| Certification activities | 2 771 | | | | |
| Standardisation activities | 18 114 | 90 000 | 90 000 | 90 000 | 0,00% |
| Development data base | 331 740 | 1 381 652 | 3 116 322 | 3 116 322 | 125,55% |
| Communication and publication | 55 502 | 134 521 | 115 902 | 115 902 | -13,84% |
| Meeting expenses | 72 696 | 256 762 | 289 642 | 289 642 | 12,81% |
| Translations and interpretation costs | | 2 597 | 2 559 | 2 559 | -1,46% |
| Rulemaking activities | 178 725 | 911 010 | 1 213 855 | 1 213 855 | 33,24% |
| Mission,entertainment and representation expenditure | 214 187 | 961 925 | 1 185 280 | 1 185 280 | 23,22% |
| Technical training | 142 656 | 278 972 | 273 787 | 273 787 | -1,86% |
| ED activites | 496 174 | 1 688 111 | 1 355 762 | 1 355 762 | -19,69% |
| Special Operations Programmes | | | | | |
| Other expenditure | | | | | |
| TOTAL | 36 773 783 | 42 610 414 | 46 645 759 | 46 645 759 | 9,47% |

3.3 Budget Outturn

The provisional budget outturn for the EU Contribution reports a surplus of EUR +0.32m. The provisional budget outturn for Fees & Charges, as identified in section 3.1.1 General Revenues, reports a carry-over of EUR +11.2m into the budgetary reserve which, together with the result of previous years, results in accumulated F&C of EUR 72.1m in total.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the increase in staff linked to the new tasks stemming from the ReFuel EU aviation legislation.

Financial Resources

The Commission agrees with the proposal for the EU contribution, which is in line with the current MFF, including the support of the Legislative Financial Statement of the Refuel aviation legislation.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

The number of Fees and Charges (TA) posts requested for 2023 is stable at 454. After a slow down of recruitments during 2020 and 2021 due to the COVID-19 crisis, the Agency shall use its strategic workforce planning (SWP) programme (established in 2021) to assess where additional external resource investments are needed in order to maintain and reinforce expertise in key competencies. As a result, the Agency foresees an improvement to the current vacancy rate and plans to leverage efficiency gains through the Agency's corporate transformation programme to make best use of resources and available posts within the establishment plan ceilings.

4.1.1.2 Number of staff requested (UE Contribution)

Further to the explanations provided on EASA's staffing strategy for 2023 in section 4.1.1.1 above, the agency request includes additional posts in support of Sustainable Aviation Fuel objectives and new tasks under the ReFuelEU Aviation legislation COM(2021) 561.

4.1.2 Vacancy rate as of end 2021

4.1.2.1 Vacancy rate as of end 2021 (Fees and charges)

Temporary Agents post ended the year with 6.83% vacancy rate in the Fees and Charges segment.

4.1.2.2 Vacancy rate as of end 2021 (UE Contribution)

Temporary Agents post ended the year 2021 with 7.38% vacancy rate in the UE Contribution segment.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

Not applicable.

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (UE Contribution)

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The salaries included in the DB2023 were calculated based on the assumption of a 4.12% salary adjustment (0.90% Career Development/Reclassification, 1.75% Purchasing Power and cost of living, 1.25% Seniority-related salary adjustment and 0.22% vacancy rate).

4.1.4.2 Salary assumption for calculating salary line (% applied) (UE Contribution)

The salaries included in the DB2023 were calculated based on the assumption of a 4.12% salary adjustment (0.90% Career Development/Reclassification, 1.75% Purchasing Power and cost of living, 1.25% Seniority-related salary adjustment and 0.22% vacancy rate).

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

101,9%

4.1.5.2 Correction coefficient used (UE Contribution)

101,9%

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

Not applicable

4.1.6.2 Exchange rate used (if applicable) (UE Contribution)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charged)

The Title 1 trend forecasts an increase of costs of approx. EUR 3.38m in 2023 compared to 2022 due to the staff salary evolution in line with inflation and career progression.

4.2.1.2 Title 1 (UE Contribution)

The Title 1 trend forecasts an increase of costs of approx. EUR 1.0m in 2023 compared to 2022 due to the staff salary evolution in line with inflation and career progression and reflecting the request for 3 additional posts to support the Sustainable Aviation Fuel objectives and new tasks under ReFuel EU Aviation legislation COM(2021)561.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

Title 2 is presumed to remain stable with a slight increase of costs of EUR 0.77m in 2023 compared to 2022 representing the actualisation of rental costs (building) as well as investments in the digital transformation of the Agency.

4.2.2.2 Title 2 (UE Contribution)

Title 2 is presumed to remain stable with a slight increase of costs of EUR 0.39m in 2023 compared to 2022 representing the actualisation of rental costs (building) and investments in the digital transformation of the Agency.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

Title 3 (excluding the line “other expenditure” relating to the F&C reserve) is presumed stable, with some reduction in costs of approx. EUR 1.7m in 2023 compared to 2022 representing the gradual completion of Agency corporate digitalisation initiatives (EUR - 2.19m compared to 2022). This reduction is partially offset through the increase in Certification activities linked with the NAA Outsourcing and an increase in mission costs (EUR +0.49 compared to 2022).

4.2.3.2 Title 3 (UE Contribution)

Title 3 is forecasted to grow, with a net increase in costs of approx. EUR 1.94 m in 2023 compared to 2022 representing investments in strategic IT such as developments related to ReFuelEU Aviation initiative, cybersecurity and ECCAIRS as well as a forecasted increase in mission costs (EUR + 2.3m) again partially offset through the gradual completion of Agency corporate digitalisation initiatives (EUR - 0.36m).

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

Not Applicable.

4.3.2 Ad hoc grants and delegation agreements (UE Contributions)

The Agency is currently managing an increasing number of projects / assignments on behalf of the European Commission (and occasionally other bodies) in the field of international technical cooperation, safety intelligence, research, environmental protection as well as collaboration with other institutions such SESAR. The Agency receives specific funding for these projects/assignments (often handled as “earmarked funds”). In order to implement such projects the Agency continues to streamline, a comprehensive framework for managing them with a view to ensuring and optimising the efficient and effective processing of such assignments, both technically and administratively.

In line with the known needs of the European Commission to date, the indicative planning of on-going and planned assignments for the period 2022-2023 is as follows:

- Contribution Agreements: EUR 35.5m and 21 FTE
- Delegation Agreements: EUR 2.1 m and 2 FTE
- Collaboration Agreements: EUR 310 000 and 2 FTE

| Total EASA | Financial and HR impacts | 2022 | 2023 |
|------------|--------------------------|------------|------------|
| | Commitment (EUR) | 38 556 811 | 37 926 811 |
| | FTE | 25 | 25 |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|-----------------------------------------|----------------------------------|------------|--------|-----------------|------------------------------------------|-----------------------------------------------------------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Neu Direktion Köln | Konrad-Adenauer-Ufer 3, D-50668 Cologne | 22 077 | 881 | 22 958 | 6 888 028 | Rental Period from 01.07.2016-30.06.2036 | Every two year rental is reviewed and based on the German Consumer Price Index. Next update in 2023 | No | |

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--------------------------------------|---------------------|------------|---------------|------------------|------------------------------------------------|-----------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 2 | Brussels Office | Avenue de Courtenbergh 100, Brussels | 540 | 10 | 550 | 135 951 | Rental Period from 01.03.2021 until 28.02.2030 | Lease Agreement | No | |
| TOTAL | | | 22 617 | 891 | 23 508 | 7 023 979 | | | | |

5.1.2 Current building(s) Other comments

Not Applicable

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

In the absence of a European School in Cologne, EASA signed service contracts with international schools in the Cologne area.

EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed. For staff members recruited between the period from 15.10.2012 and 31.07.2021, EASA reimburses the school fees up to a maximum ceiling of EUR 14.598,36 for primary school and EUR 18.465,70 for secondary school. For staff members recruited after 01.08.2021, the reimbursement of the school fees is up to a maximum threshold of EUR 11.300 per child per school year.

5.3 Evaluation

The Agency has developed and monitors its EASA Management Standards that are assessed once a year.

The 2020 Assessment was conducted resulting in confidence that the internal controls and the conditions for improving the conditions of implementation of operations and promoting sound financial management have been implemented, are monitored and are systematically improved. Furthermore, in accordance with EASA Management Standard 20, several perception-based self-assessments, encompassing all the Agency activities, have been conducted for the period ending 31 December 2020.

Every five years, the European Commission, taking into account as well the views of the Management Board and stakeholders of EASA, performs an evaluation assessing the Agency's performance in relation to its objectives, mandates and tasks. The next evaluation is planned to be launched in 2022, which should conclude not later than 12 September 2023.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Brussels | 12 months VAT free for certain types of purchases (electrical goods, furniture, car etc.) based on the same agreement between the EC and the Belgium Minister of Foreign Affairs | Access to the crèche / kindergarten, garderie post-scolaire and European Schools of the EU institutions |
| In the absence of a European School in Cologne, EASA signed service contracts with international schools in the Cologne area | EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed. For staff members recruited between the period from 15.10.2012 and 31.07.2021, EASA reimburses the school fees up to a maximum ceiling of 14.598,36€ for primary school and 18.465,70€ for secondary school. For staff members recruited after 01.08.2021, the reimbursement of the school fees is up to a maximum threshold of 11.300€ per child per school year. | |
| Agreement between EASA & KVB (the company offering public transport services in the Cologne area) | EASA has subscribed to a solidarity schema with KVB, where EASA purchases a public transport ticket for all staff members located in Cologne to a reduced rate. Interested staff members can subscribe to “their” ticket for this reduced rate. The cost of the season ticket is deducted on a monthly basis from the EASA employees’ salaries, whereas EASA pays for the non-used tickets – staff members going on mission and have not subscribed, can receive “their” ticket free of charge for the duration of mission. | |

2.1.2 European Maritime Safety Agency – EMSA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation 1406/2002 | 27/06/2002 | EMSA shall ensure a high level of maritime safety and security, prevention of pollution and response to pollution by ships within the Union; technical and scientific assistance to the Member States and the Commission, and a high level of expertise in order to implement legislation in the above-mentioned fields. |
| Regulation 1644/2003 | 22/07/2003 | Amendments refer to the right of access to documents, budget implementation and control. |
| Regulation 724/2004 | 31/03/2004 | Maritime security (limited to ship, relevant companies and Recognised Security Organisations) and response to pollution by ships have been included within the mandate of the Agency. A specific new task, "to support with additional means in a cost efficient way the national pollution response actions in case of accidental or deliberate pollution caused by ships, upon request" has been added. |
| Regulation 100/2013, amending Regulation 1406/2002 | 15/01/2013 | The mandate of EMSA is enlarged with the prevention and response to marine pollution caused by oil and gas installations. The Agency shall cooperate with the Member States and the Commission, by providing them with operational assistance in the relevant fields of activities. EMSA shall contribute to the overall efficiency of maritime traffic and maritime transport to facilitate the establishment of a European maritime transport space without barriers. The tasks are divided in core and ancillary. |
| Regulation 911/2014 replacing Regulation 2038/2006 | 23/07/2014 | The regulation determines EU financial contribution to the EMSA budget for the implementation of the tasks assigned to it in the field of response to marine pollution caused by ships and oil and gas installations |
| Regulation 2016/1625 amending Regulation (EC) 1406/2002 | 14/09/2016 | The regulation improves the cooperation and coordination between the EU agencies in order to enhance synergies between their services, to provide more efficient and cost effective multipurpose services to national authorities in view of coastguard functions. |

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

02 10 02 : European Maritime Safety Agency (EMSA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 152 | 151 | 99,34% | 152 | 152 |
| Assistants (AST) | 60 | 59 | 98,33% | 60 | 60 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 212 | 210 | 99,06% | 212 | 212 |
| Contract Agents (CA) | 33 | 31 | 93,94% | 33 | 30 |
| Seconded National Experts (SNE) | 18 | 11 | 61,11% | 18 | 18 |
| TOTAL STAFF | 263 | 252 | 95,82% | 263 | 260 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues EMSA

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 84 272 400 | 84 272 400 | 86 824 780 | 86 824 780 |
| Other Revenue | 2 415 685 | 2 415 685 | 2 465 239 | 2 465 239 |
| TOTAL REVENUES | 86 688 085 | 86 688 085 | 89 290 019 | 89 290 019 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements EMSA

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 10 589 860 | 10 589 860 | 10 800 521 | 10 800 521 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 2 000 000 | 2 000 000 | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 9 790 000 | 9 790 000 | 9 100 000 | 9 100 000 |
| TOTAL REVENUES | 22 379 860 | 22 379 860 | 19 900 521 | 19 900 521 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 29 158 000 | 29 158 000 | 30 593 432 | 30 593 432 |
| Title 2 - Infrastructure and operating expenditure | 5 075 883 | 5 075 883 | 5 148 740 | 5 148 740 |
| Title 3 - Operational expenditure | 52 454 202 | 52 454 202 | 53 547 847 | 53 547 847 |
| Title 4 - Project Financed Actions | | | | |
| TOTAL EXPENDITURE | 86 688 085 | 86 688 085 | 89 290 019 | 89 290 019 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | 2 | | 3 | | 3 | | 3 | | 3 |
| AD 13 | 1 | 5 | 1 | 3 | 1 | 6 | 1 | 6 | 1 | 6 |
| AD 12 | 1 | 12 | | 8 | 1 | 17 | 1 | 18 | 1 | 18 |
| AD 11 | | 20 | 1 | 12 | | 20 | | 22 | | 22 |
| AD 10 | 1 | 30 | | 35 | 1 | 28 | 1 | 29 | 1 | 29 |
| AD 9 | | 35 | | 25 | | 35 | | 33 | | 33 |
| AD 8 | | 24 | 1 | 24 | | 24 | | 22 | | 22 |
| AD 7 | | 15 | | 10 | | 11 | | 13 | | 13 |
| AD 6 | | 3 | | 22 | | 4 | | 2 | | 2 |
| AD 5 | | 2 | | 6 | | | | | | |
| AD TOTAL | 3 | 149 | 3 | 148 | 3 | 149 | 3 | 149 | 3 | 149 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 1 | | | | 1 | | 1 | | 1 |
| AST 9 | | | | 1 | | 2 | | 4 | | 4 |
| AST 8 | | 3 | | 2 | | 7 | | 6 | | 6 |
| AST 7 | | 13 | | 10 | | 15 | | 14 | | 14 |
| AST 6 | | 22 | | 19 | | 19 | | 19 | | 19 |
| AST 5 | | 15 | | 13 | | 13 | | 13 | | 13 |
| AST 4 | | 6 | | 9 | | 3 | | 3 | | 3 |
| AST 3 | | | | 2 | | | | | | |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | 2 | | | | | | |
| AST TOTAL | | 60 | | 59 | | 60 | | 60 | | 60 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 3 | 209 | 3 | 207 | 3 | 209 | 3 | 209 | 3 | 209 |
| GRAND TOTAL | 212 | | 210 | | 212 | | 212 | | 212 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate (1) | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|-------------------|----------------------------|
| Function Group IV | 11 | 10 | 11 | 9 |
| Function Group III | 3 | 3 | 3 | 2 |
| Function Group II | 17 | 16 | 17 | 17 |
| Function Group I | 2 | 2 | 2 | 2 |
| TOTAL | 33 | 31 | 33 | 30 |

(1) Three CAs were financed by the EMSA Budget up to 2021. Under the Contribution Agreement with DG-DEFIS for the implementation of Copernicus Maritime Surveillance for the period 2021-2027, as from 2022 this staff will be financed through the Contribution Agreement.

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 18 | 11 | 18 | 18 |

3 Financial Resources

3.1 Revenues

3.1.1 General Revenues

3.1.1.1 Commitment appropriations

| REVENUES | Commitment appropriations | | | | |
|-----------------------------------------------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 292 425 | 250 000 | 250 000 | 250 000 | 0,00% |
| 2 EU CONTRIBUTION | 82 343 244 | 84 272 400 | 86 824 780 | 86 824 780 | 3,03% |
| - Of which assigned revenues deriving from previous years' surpluses | 2 286 114 | 1 575 800 | 1 286 961 | 1 286 961 | -18,33% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 161 543 | 2 075 685 | 2 125 239 | 2 125 239 | 2,39% |
| - Of which EEA/EFTA (excl. Switzerland) | 2 161 543 | 2 075 685 | 2 125 239 | 2 125 239 | 2,39% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 264 900 | 90 000 | 90 000 | 90 000 | 0,00% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 31 307 641 | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 116 369 753 | 86 688 085 | 89 290 019 | 89 290 019 | 3,00% |

3.1.1.2 Payment appropriations

| REVENUES | Payment appropriations | | | | |
|--------------------------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 292 425 | 250 000 | 250 000 | 250 000 | 0,00% |
| 2 EU CONTRIBUTION | 80 396 679 | 84 272 400 | 86 824 780 | 86 824 780 | 3,03% |
| - Of which assigned revenues deriving from | 2 286 114 | 1 575 800 | 1 286 961 | 1 286 961 | -18,33% |

| REVENUES | Payment appropriations | | | | |
|------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 108 985 | 2 075 685 | 2 125 239 | 2 125 239 | 2,39% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 2 108 985 | 2 075 685 | 2 125 239 | 2 125 239 | 2,39% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | 90 000 | 90 000 | 90 000 | 0,00% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 17 456 394 | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 100 254 483 | 86 688 085 | 89 290 019 | 89 290 019 | 3,00% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

3.1.2.1 Commitment appropriations

| REVENUES | Commitment appropriations | | | | |
|-------------------------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 12 825 555 | 10 589 860 | 10 800 521 | 10 800 521 | 1,99% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 5 982 286 | 2 000 000 | | | -100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 11 548 259 | 9 790 000 | 9 100 000 | 9 100 000 | -7,05% |
| TOTAL | 30 356 100 | 22 379 860 | 19 900 521 | 19 900 521 | -11,08% |

3.1.2.2 Payment appropriations

| REVENUES | Payment appropriations | | | | |
|-------------------------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 6 329 640 | 10 589 860 | 10 800 521 | 10 800 521 | 1,99% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 3 095 354 | 2 000 000 | | | -100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 7 361 002 | 9 790 000 | 9 100 000 | 9 100 000 | -7,05% |
| TOTAL | 16 785 996 | 22 379 860 | 19 900 521 | 19 900 521 | -11,08% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 28 787 315 | 29 158 000 | 30 593 432 | 30 593 432 | 4,92% |
| Salaries & allowances | 26 676 030 | 27 317 000 | 28 721 432 | 28 721 432 | 5,14% |
| - Of which establishment plan posts | 24 003 645 | 24 615 000 | 25 967 432 | 25 967 432 | 5,49% |
| - Of which external personnel | 2 672 385 | 2 702 000 | 2 754 000 | 2 754 000 | 1,92% |
| Expenditure relating to Staff recruitment | 353 251 | 375 000 | 381 000 | 381 000 | 1,60% |
| Employer's pension contributions | | | | | |
| Mission expenses | 27 500 | 77 000 | 78 000 | 78 000 | 1,30% |
| Socio-medical infrastructure | 57 449 | 71 000 | 72 000 | 72 000 | 1,41% |
| Training | 181 722 | 240 000 | 244 000 | 244 000 | 1,67% |
| External Services | 258 820 | 330 000 | 335 000 | 335 000 | 1,52% |
| Receptions, events and representation | 8 543 | 34 000 | 34 000 | 34 000 | 0,00% |
| Social welfare | 1 224 000 | 714 000 | 728 000 | 728 000 | 1,96% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 010 423 | 5 075 883 | 5 148 740 | 5 148 740 | 1,44% |
| Rental of buildings and associated costs | 3 870 846 | 3 833 451 | 3 804 248 | 3 804 248 | -0,76% |
| Information, communication technology and data processing | 792 677 | 827 798 | 907 197 | 907 197 | 9,59% |
| Movable property and associated costs | 114 463 | 43 000 | 43 160 | 43 160 | 0,37% |
| Current administrative expenditure | 70 798 | 110 000 | 122 100 | 122 100 | 11,00% |
| Postage / Telecommunications | 133 237 | 126 634 | 137 035 | 137 035 | 8,21% |
| Meeting expenses | 28 402 | 135 000 | 135 000 | 135 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 51 264 374 | 52 454 202 | 53 547 847 | 53 547 847 | 2,08% |
| Sustainability | 22 124 287 | 24 568 362 | 26 685 650 | 26 685 650 | 8,62% |
| Surveillance | 12 217 438 | 12 768 318 | 12 270 000 | 12 270 000 | -3,90% |
| Safety and Security | 2 451 279 | 3 118 864 | 2 963 000 | 2 963 000 | -5,00% |
| Digitalisation and Simplification | 13 019 996 | 9 852 553 | 9 541 642 | 9 541 642 | -3,16% |
| Technical and Operational Assistance | 1 232 585 | 1 647 550 | 1 589 000 | 1 589 000 | -3,55% |
| Strategic support | 218 789 | 498 555 | 498 555 | 498 555 | 0,00% |
| Title 4 - Project Financed Actions | 31 307 641 | | | | |
| Maritime Information Services | 3 998 857 | | | | |
| Assistance to Candidate and ENP Countries | 2 162 943 | | | | |
| Surveillance SLAs | 11 548 259 | | | | |
| CleanSeaNet Services to Third Parties | 268 | | | | |
| COPERNICUS | 12 646 040 | | | | |
| EQUASIS | 547 446 | | | | |
| THETIS Modules | 398 421 | | | | |
| Miscellaneous | 5 407 | | | | |
| TOTAL | 116 369 753 | 86 688 085 | 89 290 019 | 89 290 019 | 3,00% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 28 591 501 | 29 158 000 | 30 593 432 | 30 593 432 | 4,92% |
| Salaries & allowances | 26 660 179 | 27 317 000 | 28 721 432 | 28 721 432 | 5,14% |
| - <i>Of which establishment plan posts</i> | <i>24 003 645</i> | <i>24 615 000</i> | <i>25 967 432</i> | <i>25 967 432</i> | <i>5,49%</i> |
| - <i>Of which external personnel</i> | <i>2 656 534</i> | <i>2 702 000</i> | <i>2 754 000</i> | <i>2 754 000</i> | <i>1,92%</i> |
| Expenditure relating to Staff recruitment | 311 145 | 375 000 | 381 000 | 381 000 | 1,60% |
| Employer's pension contributions | | | | | |
| Mission expenses | 18 905 | 77 000 | 78 000 | 78 000 | 1,30% |
| Socio-medical infrastructure | 18 578 | 71 000 | 72 000 | 72 000 | 1,41% |
| Training | 167 815 | 240 000 | 244 000 | 244 000 | 1,67% |
| External Services | 193 826 | 330 000 | 335 000 | 335 000 | 1,52% |
| Receptions, events and representation | 8 543 | 34 000 | 34 000 | 34 000 | 0,00% |
| Social welfare | 1 212 510 | 714 000 | 728 000 | 728 000 | 1,96% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 3 962 276 | 5 075 883 | 5 148 740 | 5 148 740 | 1,44% |
| Rental of buildings and associated costs | 3 305 314 | 3 833 451 | 3 804 248 | 3 804 248 | -0,76% |
| Information, communication technology and data processing | 552 071 | 827 798 | 907 197 | 907 197 | 9,59% |
| Movable property and associated costs | 15 152 | 43 000 | 43 160 | 43 160 | 0,37% |
| Current administrative expenditure | 34 246 | 110 000 | 122 100 | 122 100 | 11,00% |
| Postage / Telecommunications | 31 091 | 126 634 | 137 035 | 137 035 | 8,21% |
| Meeting expenses | 24 402 | 135 000 | 135 000 | 135 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 50 244 312 | 52 454 202 | 53 547 847 | 53 547 847 | 2,08% |
| Sustainability | 24 482 209 | 23 890 310 | 27 717 197 | 27 717 197 | 16,02% |
| Surveillance | 12 967 055 | 12 515 155 | 11 604 258 | 11 604 258 | -7,28% |
| Safety and Security | 1 694 939 | 3 057 048 | 3 098 050 | 3 098 050 | 1,34% |
| Digitalisation and Simplification | 9 686 068 | 10 651 093 | 9 050 787 | 9 050 787 | -15,02% |
| Technical and Operational Assistance | 1 197 762 | 1 844 041 | 1 579 000 | 1 579 000 | -14,37% |
| Strategic support | 216 279 | 496 555 | 498 555 | 498 555 | 0,40% |
| Title 4 - Project Financed Actions | 17 456 394 | | | | |
| Maritime Information Services | 2 021 792 | | | | |
| Assistance to Candidate and ENP Countries | 1 169 583 | | | | |
| Surveillance SLAs | 7 361 002 | | | | |
| CleanSeaNet Services to Third Parties | 268 | | | | |
| COPERNICUS | 6 233 620 | | | | |
| EQUASIS | 451 701 | | | | |
| THE TIS Modules | 213 021 | | | | |
| Miscellaneous | 5 407 | | | | |
| TOTAL | 100 254 483 | 86 688 085 | 89 290 019 | 89 290 019 | 3,00% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue):
EUR 1 286 960,62.

4 Justification of needs

Commission Assessment

The actions planned in 2023 towards this mission will require an establishment plan of 212 posts and a budget of EUR 88 423 087, both of which are in line with the Budget Circular for 2023 of 15 December 2021.

Human Resources

The Agency requests an establishment plan of 212 posts for 2023. This represents stabilisation since 2018. Regarding external staff to be financed by the EU contribution, 30 Contract Agents (CAs) and 18 Seconded National Experts (SNEs). 3 CAs financed up to 2021 by the EMSA budget were as from 2022 financed through the Contribution Agreement with DG-DEFIS for the implementation of Copernicus Maritime Surveillance for the period 2021-2027.

Financial Resources

The Agency requests an EU Contribution of EUR 85 957 848 resulting in a total budget of EUR 88 423 087. This request will enable the Agency to perform the tasks assigned to it under Regulation (EC) No 1406/2002, as amended by Regulation (EU) No 2016/1625 of 14 September 2016. The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency requests for 2023 an establishment plan of 212 posts, 30 Contract Agents (CAs) and 18 Seconded National Experts (SNEs) to be financed by the EU contribution. The requested establishment plan represents stabilisation since 2018. In terms of external staff financed by the EU contribution, the number of SNEs is also stable since 2018. The number of CAs represents a reduction of 3 as from 2022. These 3 CA were previously financed by the EU contribution to the Agency and will now be financed through the Contribution Agreement for the implementation of Copernicus Maritime Surveillance for the period 2021-2027.

4.1.2 Vacancy rate as of end 2021

Against an establishment plan of 212 posts, the occupation rate at the end of 2021 was 99,06%, including 3 AD and 2 AST offer letters sent and accepted in 2021. The vacancy rate at the end of 2021 was therefore 0,94%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Due mainly to consecutive increases in the coefficient corrector and annual adjustments since 2016, no standard abatement can be applied to 2023.

4.1.4 Salary assumption for calculating salary line (% applied)

The increase in annual adjustment for 2021 (increase of 1.9%) and coefficient corrector impact (from 91.1% to 91.4%) have been considered. Overall, this impact results in an increase of 2.23%. In addition, annual adjustments for 2022 and 2023 (retroactive from July 2023) and variations in coefficient corrector will impact the appropriations needed.

4.1.5 Correction coefficient used

91,4%.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Under this title the Agency will need EUR 29 726 500 in both commitment and payment appropriations.

The requested amount will cover the costs of salaries and allowances of both statutory staff (permanent and temporary agents) and non-statutory staff (national experts, contract agents and interim staff).

Title 1 also includes training actions (languages, specific training, personal and professional development) and different social measures.

Title 1 has increased compared to 2022 (1,95% of both commitment and payment appropriations) to honour the annual adjustment of salaries and the recent upward trend of the coefficient corrector for Portugal.

4.2.2 Title 2

Title 2 includes EUR 5 148 740 in commitment and payment appropriations. The Title covers rental and associated costs for the Agency's premises (EUR 3 804 248). EUR 90 000 will be covered with miscellaneous revenue (rental of the EMSA Conference Centre to the EMCDDA).

The minor overall increase in Title 2 compared to 2022 (+1,44% of both commitment and payment appropriations) is mainly due to the Qualified Electronic Signature provided under an SLA with DG DIGIT, renewal and maintenance of equipment, and building related expenses including indexation.

4.2.3 Title 3

Title 3 "Operational Expenditure" includes EUR 53 547 847 in commitment and payment appropriations. Title 3 supports the major projects and operational services operated and delivered by the Agency for the EU maritime sector under the headings of Sustainability, Safety & Security, Surveillance, Digitalisation & Simplification and Technical & Operational Assistance, as well as Strategic Support relevant to the aforementioned areas.

Compared to 2022, the request represents overall stability, with an increase in both commitment and payment appropriations of 2,08% in line with the increase of the overall budget from 2022.

The overall amount is essential for the Agency to be in a position to carry out the tasks listed below and continue to deliver support and services to a high standard, in line with the needs and expectations of its key stakeholders, the Commission and the Member States. Furthermore, the requested budget is crucial to ensure the agency's role in initiatives linked to the European Green Deal and the ambitious objectives set for decarbonisation. The budget will also allow the agency to play its role in contributing to more efficient and cost-effective multipurpose services to national authorities carrying out coastguard functions and the agency's role in maritime traffic, ship monitoring and enforcement in order to ensure the continuity, further development and security of the maritime services and associated data.

- Sustainability: The Agency is reinforcing its technical contribution in the development and implementation of the different initiatives linked to the Green Deal for Europe, mainly as regards the contribution of shipping to a decarbonized transport sector, the reduction of the impact of shipping on biodiversity and the actions against the degradation of the oceans due to waste from ships and marine litter.
- Safety & Security: The Agency supports the development, implementation and enforcement of safety standards providing technical support to the Commission and the Member States on the work carried out at EU and IMO level.
- Surveillance: EMSA's extensive range of satellite earth observation, RPAS and Satellite communication and SAT-AIS services provide Member States complementary tools to complete their maritime picture and surveillance capabilities for security, safety and sustainability purposes, at EU level in a cost-effective manner.
- Digitalisation & Simplification: This Chapter covers the growing depth, breadth and demand for EMSA's state of the art integrated maritime services, which are in fact being delivered to more users exercising an ever wider range of maritime functions, as functionalities are added to reflect the needs of Member States and EU Agencies. Cybersecurity is also a major challenge and in 2023 EMSA will continue building its' cyber security resilience by adhering to ISO standard 27001 on ICT Security.
- Technical & Operational Assistance: The Agency also contributes to the continuous evolution of maritime safety standards and supports the ongoing assessment and development of legislation.
- Strategic Support: Under this Chapter the Agency manages stakeholder engagement activities including one major event per year for key actors in a selected high priority area and delivers targeted communications on product and service developments to relevant user communities.

The Agency's mandate related to the European cooperation on coast guard functions is implemented across the Chapters listed above. Under "Surveillance", the Agency will continue to deliver multipurpose RPAS operations for the benefit of the user communities of the three Agencies, EMSA, EFCA and Frontex, as well as relevant SAT-COM services according to expected needs and intends to continue enhancing the coverage of its SAT-AIS services. In 2023, for the first time, EMSA will also organise a Multipurpose Maritime Operation (MMO) in cooperation with identified Member State/s with a primary focus on the coast guard functions falling under its remit.

4.2.4 Title 4

Title 4 covers project financing earmarked for specific actions entered as p.m. (pro memoria). See point 4.3 for further information.

4.3 Ad hoc grants and delegation agreements

| | Date of signature | Duration | Counterpart | Short Description | | 2023 |
|----------------------------------------------------------------------------------|-------------------|---------------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|------------|
| Contribution agreements | | | | | | |
| IPA III | December 2022 | Not yet known | DG NEAR | Preparatory measures for the future participation of relevant IPA III beneficiaries in EMSA | Amount | n/a |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| Copernicus Maritime Surveillance | September 2021 | Until December 2027 | DG DEFIS | Implementation of Copernicus Maritime Surveillance Services (2021-2027) | Amount | 10 800 521 |
| | | | | | Number of CA | 7 |
| | | | | | Number of SNE | n/a |
| Grant agreements | | | | | | |
| SAFEMED V EuroMed Maritime Safety Project | February 2022 | Until 31 March 2028 | DG NEAR | Award of a financial contribution by the Contracting Authority to finance the implementation of the action entitled: SAFEMED V, Euromed Maritime Safety Project (8 MEUR expected for the duration of the project; annual distribution not yet known) | Amount | not known |
| | | | | | Number of CA | 4,5 |
| | | | | | Number of SNE | 0 |
| BCSea II, Maritime Safety, Security and Environmental Protection in B&CS Regions | February 2022 | Until 31 March 2026 | DG NEAR | Award of a financial contribution by the Contracting Authority to finance the implementation of the action entitled: Maritime Safety, security and marine environmental protection in the Black and Caspian Sea Regions (3.5 MEUR expected for the duration of the project; annual distribution not yet known) | Amount | not known |
| | | | | | Number of CA | 2,5 |
| | | | | | Number of SNE | 0 |
| CISE Transitional Phase (Grant Agreement 1) | April 2019 | Until December 2023 | DG MARE | Ad hoc Grant agreement for the implementation of the action "Setting up and enabling the transitional phase to CISE operations" | Amount | 0 |
| | | | | | Number of CA | 3 |
| | | | | | Number of SNE | 0 |
| CISE Transitional Phase (Grant Agreement 2) | December 2020 | Until December 2023 | DG MARE | Ad hoc Grant agreement for the implementation of the action "Common Information Sharing Environment (CISE) – transitional phase" | Amount | 0 |
| | | | | | Number of CA | 3 |
| | | | | | Number of SNE | 1 |
| Service Level Agreements | | | | | | |
| FRONTEX SLA | May 2016 | Indefinite | FRONTEX | Service Level Agreement between the European Border and Coast Guard Agency (EBCGA) and the European Maritime Safety Agency (EMSA) for the provision of surveillance tools and services in support of Frontex activities, including for the implementation of the EUROSUR framework. | Amount | 9 000 000 |
| | | | | | Number of CA | 9 |
| | | | | | Number of SNE | n/a |

| | | | | | | |
|---------------------------------------------|------------|-------------|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|------------|
| EFCA SLA | June 2015 | Indefinite | EFCA | Service Level Agreement between the European Fisheries Control Agency (EFCA) and the European Maritime Safety Agency for the provision and cooperation on maritime surveillance services | Amount | 100 000 |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| THETIS-AP | April 2021 | April 2024 | DG SANTE | Service Level Agreement for the support of the implementation of Council Regulation (EC) No 1/2005 of 22 December 2004 on the protection of animals during transport and related operations | Amount | not known |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| Other project financed actions ¹ | | | | | | |
| EQUASIS | May 2000 | No end date | EQUASIS members | MOU on the establishment of the Equasis information system | Amount | 450 000 |
| | | | | | Number of CA | n/a |
| | | | | | Number of SNE | n/a |
| Total | | | | | Amount | 20 350 521 |
| | | | | | Number of CA | 29 |
| | | | | | Number of SNE | 1 |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|----------------------------------------|----------|-----------------------------------|---------------|---------------|------------------|-------------------------------------------|-------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | EMSA HQ | Lisbon | 10 180 | 486,84 | 10 666,84 | 1 930 465 | as of 01/05/2009 for a period of 25 years | Lease Agreement | N/A | |
| 2 | Conference Centre (shared with EMCDDA) | Lisbon | 2 116,26 | | 2 116,26 | 321 617 | as of 01/05/2009 for a period of 25 years | Lease Agreement | N/A | |
| 3 | Palacete (shared with EMCDDA) | Lisbon | 1 933,9 | | 1 933,9 | 89 236 | | Service Level Agreement with EMCDDA | N/A | |
| 4 | Underground parking (101 spots) | Lisbon | | | | 153 949 | as of 01/05/2009 for a period of 25 years | Lease agreement | N/A | |
| 5 | External parking (49 spots) | Lisbon | | | | | | Lease agreement | N/A | |
| TOTAL | | | 14 230,16 | 486,84 | 14 717 | 2 495 267 | | | | |

5.1.2 Current building(s) Other comments

N/A

¹ Other project financed actions includes legal instruments that have been concluded before 1 July 2019 (entry into force of the current EMSA Financial Regulation).

5.1.3 Building projects in the planning phase

External parking (49 spots), Lisbon: Current lease agreement with APL temporarily suspended. Contacts between APL and Camara Municipal de Lisboa are ongoing.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European schools

Not Applicable to EMSA, as there are no European Schools in Lisbon. The Agency is actively exploring with the competent Portuguese authorities the possibility of setting up an Accredited European School in Lisbon.

5.3 Evaluation

The last evaluation from May 2017 concluded that by operating at EU level, EMSA is providing significant added value and has become an important and respected player in the maritime community. The agency's efficiency has increased, demonstrated by a lower share of overhead expenditure, the accomplished new tasks without additional resources and also due to the performance management system used by the Agency. The cost effectiveness of the Agency's activities is assessed positively. As a result, the evaluation concludes that EMSA's services and products are cost-effective and provide value for money within the context of the EU maritime sector and within all areas of work. The full evaluation report is available on the agency's website: <http://emsa.europa.eu/who-are-we/admin-board/evaluation-activities.html>.

An Impact Assessment study concerning the possible revision of the Agency's mandate has been initiated by the Commission and is expected to materialise in a formal proposal for the first semester of 2023. This could have an impact on the Agency's tasks in the years to come.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>In July 2004, the Protocol between the Government of the Portuguese Republic and EMSA was signed covering the relations between the Agency and Portugal as its host State.</p> <p>Privileges granted: exemption from direct taxes; exemption from purchase tax on goods and services; exemption from customs duties and from any taxes on imports and exports; exemption from any duties and any import restrictions on vehicles of official use; exemption from road tax for vehicles under "special registration" (diplomatic plates); exemption from taxation on fuel and lubricants; replacement of official vehicles every 5 years; special status of the Agency Headquarters (Similar to Diplomatic mission); security staff with special authorisation to carry firearms; exemption from any charges for Visas and other authorisations for EMSA guests.</p> | <p>Comparable category of the members of diplomatic corps in Portugal; exemption from national taxes on earning, salaries and respective payments paid by the Agency; immunity from jurisdiction as regards acts carried out by the staff member in official capacity; exemption from purchase tax on goods and services (VAT); exemption from customs duties and from any taxes on imports and exports (duty-free); exemption from any duties and any import restrictions on vehicles of official/private use; exemption from road tax for vehicles under "special registration" (diplomatic plates); exemption from taxation on fuel and lubricants; replacement of official/private vehicles once after 4 years.</p> | <p>Providing access to schools in the mother tongue of the staff member is an issue that the Agency has taken seriously and therefore in 2005 the Agency has adopted a social measure "multilingual tuition for children of EMSA staff" in Lisbon.</p> <p>Under this social measure EMSA currently assists in providing access to English, French, German, Spanish and Swedish schools. The Agency covers the school fees through direct agreements between the Agency and the relevant schools. This social measure is taken upon the Agency's own initiative and is paid by the Agency budget (no involvement of the hosting State).</p> <p>This social measure is not intended to replace the educational allowance, as provided by the Staff Regulations. Educational allowances are duly determined and paid when due.</p> |

2.1.3 European Union Agency for Railways – ERA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EC) No 881/2004 (repealed) | 29/04/2004 | The Agency has to contribute, at technical level, to the implementation of the EU legislation for improving the competitive position of the rail sector by reinforcing the interoperability between railways systems and at developing a common approach in terms of safety of the European railways in order to contribute to the creation of a European rail open space, without borders and offering a high level of safety. |
| Regulation (EC) No 1335/2008 amending regulation 881/2004 | 16/12/2008 | New tasks allocated to the Agency: overseeing the mutual recognition of vehicle authorisation (cross acceptance) including the specification of the parameters to be checked for authorisation to place vehicles in service and a full classification of the equivalence or otherwise of the national rules of the Member States used for vehicle authorisation; providing technical opinions on the equivalence of national rules, refusals of authorisation to place into service, urgent modifications to the TSIs and the conformity of projects with TSIs; recommending a system of certification of the Entity in Charge of Maintenance for railway vehicles; make recommendations on the mechanisms for implementing the Train Drivers (licensing) Directive; drawing up specifications for registers of vehicles, vehicle types, and infrastructure; managing the European Rail Traffic Management System (ERTMS) change control, version management and test specifications. Evaluating the certification process of ERTMS, the costs and benefits of centralising verification and testing at community level and maintaining consistency between Notified Bodies involved in ERTMS |
| Regulation (EC) No 454/2011 (Telematic applications for passenger services (TAP TSI)) Regulation (EC) No 1335/2008 amending regulation 881/2004 Regulation (EC) No 1371/2007) | 05/05/2011 16/12/2008 23/10/2007 | New or permanent tasks allocated to the Agency: ensure the development of new technical specifications for advanced ticketing (ticket on departure and manifest on list) and for intermodal timetables and fares; monitor the development and implementation of TAP target system (according to delivered master plans) and liaisons with EU member states and smaller railways/ticket vendors; publish reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions and to inform EC about these changes by managing a web based registry which contains above changes; assess the compliance of IT solutions developed for the future TAF target system. |
| Regulation (EC) No 328/2012 amending regulation 62/2006 (Telematic applications for freight services (TAF TSI)) Regulation (EC) No 1335/2008 amending regulation 881/2004 | 17/04/2012 16/12/2008 | New or permanent tasks allocated to the Agency: review of Regulation 328/2012 in order to ensure that the data provision needs of professional freight customers are met appropriately; monitor the development and implementation of TAF target system and liaisons with EU member states and smaller railways/professional freight customers; publish various reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions which are mandatory annexes to the Regulation 328/2012 and to inform EC about these changes, management of a web based registry which contains above changes; assess the compliance of IT solutions developed for the future TAP target system. |
| Council Regulation establishing the Shift2Rail joint undertaking | Regulation adopted on 16/06/2014 | The Agency receives observer status on the Governing Board and shall contribute to the definition and implementation of the S2R Master Plan by performing advisory tasks: (a) Proposing possible amendments to the S2R Master Plan and to the annual work plans, (...) (b) Proposing (...) technical standards for research, development and validation activities with the view to guaranteeing the interoperability and safety results; (c) Reviewing the common developments for the future system and contributing to defining target systems in regulatory requirements and (d) Reviewing project activities and results (...). |
| Regulation (EU) N° 2016/796 | 11/05/2016 | ERA shall contribute to the further development and functioning of a single European railway area without frontiers, by guaranteeing a high level of railway safety and interoperability, while improving the competitive position of the railway sector; contribute, on technical matters, to the implementation of EU legislation by developing a common approach to safety and the level of interoperability on the Union rail system; follow the development of national railway rules in order to support the performance of national authorities acting in the fields of railway safety and interoperability and to promote the optimisation of procedures; perform the role of Union authority responsible for issuing authorisations for the placing on the market of railway vehicles and vehicle types and for issuing single safety certificates for railway undertakings; take full account of the process of enlargement of the Union and of the specific constraints relating to rail links with third countries |
| Regulation (EU) N° 2016/796 Directive (EU) 2016/798 on railway safety (Recast) Directive (EU) 2016/797 on the interoperability of the rail system within the European Union (recast) | 11/05/2016 11/05/2016 11/05/2016 | ERA shall issue single EU-wide safety certificates to railway undertakings and vehicle authorisations for operation in more than one country to train manufacturers, in cooperation with National Safety Authorities (NSAs) after a three year transition period, sign cooperation agreements with all NSAs based on a cooperation arrangement framework subject to adoption by the Agency's Management Board; develop a common information and communication platform with a virtual One-Stop-Shop functionality in cooperation with NSAs, on the basis of a draft prepared by the Agency, taking into account the results of a cost-benefit analysis, and will be adopted by the Agency's Management Board; grant pre-approval for European Rail Traffic Management System (ERTMS) infrastructure before this infrastructure being authorised by the NSA, in order to enable seamless cross-border traffic on the European continent; facilitate cooperation between the NSAs, the national investigating bodies and representative bodies from the railway sector acting at Union level in order to promote good practice, the exchange of relevant information and the collection of railway-related data, and to monitor the overall safety performance of the Union rail system. |
| Regulation (EU) N° 2016/796 Regulation (EC) No 1371/2007 | 11/05/2016 23/10/2007 | The agency shall act as the system authority to ensure the coordinated development of telematics applications in the Union, in accordance with relevant TSIs; define, publish and apply the procedure for managing requests for changes to specifications for telematics applications; set up, maintain and update a register of requests for changes; develop and maintain the technical tools for managing the different versions of specifications for telematics applications and to ensure backward compatibility; assist the Commission in the monitoring of deployment of specifications for telematics applications in accordance with relevant TSIs. |
| Regulation (EU) 2018/764 Regulation (EU) 2021/1903 | 02/05/2018 29/10/2021 | Fees and charges payable to the Agency and their conditions of payment are established by Regulation (EU) 2018/764 as amended by Regulation (EU) 2021/1903. For applications submitted before 23 November 2021, calculation of fees and charges based on Article 3 of Regulation (EU) 2018/764 shall apply. From 23 November 2021, calculation of fees and charges based on Regulation (EU) 2021/1903 shall apply. |

1.2 Seat

Valenciennes and Lille, France.

1.3 Budget Line

02 10 03 : European Union Agency for Railways (ERA)

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources overview ERA

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 116 | 114 | 98,28% | 119 | 131 |
| Assistants (AST) | 35 | 34 | 97,14% | 35 | 35 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 151 | 148 | 98,01% | 154 | 166 |
| Contract Agents (CA) | 36 | 34 | 94,44% | 36 | 36 |
| Seconded National Experts (SNE) | 4 | 2 | 50,00% | 4 | 4 |
| TOTAL STAFF | 191 | 184 | 96,34% | 194 | 206 |

1.4.2 Human Resources overview (Fees and charges)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 12 | 30 | 250,00% | 15 | 27 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 12 | 30 | 250,00% | 15 | 27 |
| Contract Agents (CA) | 3 | 3 | 100,00% | 3 | 3 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 15 | 33 | 220,00% | 18 | 30 |

1.4.3 Human Resources overview (EU Contribution)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 104 | 84 | 80,77% | 104 | 104 |
| Assistants (AST) | 35 | 34 | 97,14% | 35 | 35 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 139 | 118 | 84,89% | 139 | 139 |
| Contract Agents (CA) | 33 | 31 | 93,94% | 33 | 33 |
| Seconded National Experts (SNE) | 4 | 2 | 50,00% | 4 | 4 |
| TOTAL STAFF | 176 | 151 | 85,80% | 176 | 176 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 26 278 423 | 27 418 274 |
| Other Revenue | 8 702 603 | 10 497 617 |
| TOTAL REVENUES | 34 981 026 | 37 915 891 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 580 735 | p.m. |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 260 000 | 250 000 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 840 735 | 250 000 |

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview ERA

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 26 266 655 | 26 266 655 | 29 109 667 | 29 109 667 |
| Title 2 - Infrastructure and operating expenditure | 2 621 000 | 2 621 000 | 2 673 420 | 2 673 420 |
| Title 3 - Operational expenditure | 6093371 | 6093371 | 6132804 | 6132804 |
| TOTAL EXPENDITURE | 34 981 026 | 34 981 026 | 37 915 891 | 37 915 891 |

1.5.2.2 Expenditure Overview (Fees and charges)

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 5 106 155 | 5 106 155 | 5 392 628 | 5 392 628 |
| Title 2 - Infrastructure and operating expenditure | 433 057 | 433 057 | 534 684 | 534 684 |
| Title 3 - Operational expenditure | 2 496 670 | 2 496 670 | 3 899 273 | 3 899 273 |
| TOTAL EXPENDITURE | 8 035 882 | 8 035 882 | 9 826 585 | 9 826 585 |

1.5.2.3 Expenditure Overview (EU Contribution)

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 21 160 500 | 21 160 500 | 23 717 039 | 23 717 039 |
| Title 2 - Infrastructure and operating expenditure | 2 187 943 | 2 187 943 | 2 138 736 | 2 138 736 |
| Title 3 - Operational expenditure | 3 596 701 | 3 596 701 | 2 233 531 | 2 233 531 |
| TOTAL EXPENDITURE | 26 945 144 | 26 945 144 | 28 089 306 | 28 089 306 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts ERA

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | 4 | | 1 | | 4 | | 3 | | 3 |
| AD 11 | | 10 | | 6 | | 10 | | 14 | | 14 |
| AD 10 | | 19 | | 22 | | 19 | | 22 | | 22 |
| AD 9 | | 29 | | 19 | | 29 | | 24 | | 24 |
| AD 8 | | 21 | | 25 | | 21 | | 20 | | 20 |
| AD 7 | | 15 | | 14 | | 15 | | 20 | | 15 |
| AD 6 | | 17 | | 24 | | 20 | | 37 | | 32 |
| AD 5 | | | | 2 | | | | | | |
| AD TOTAL | | 116 | | 114 | | 119 | | 141 | | 131 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AST 8 | | 5 | | 2 | | 5 | | 4 | | 4 |
| AST 7 | | 5 | | 3 | | 5 | | 4 | | 4 |
| AST 6 | | 6 | | 6 | | 6 | | 5 | | 5 |
| AST 5 | | 8 | | 5 | | 8 | | 9 | | 9 |
| AST 4 | | 9 | | 10 | | 9 | | 8 | | 8 |
| AST 3 | | | | 6 | | | | 3 | | 3 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 35 | | 34 | | 35 | | 35 | | 35 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | | | | | | | | | |
| TOTAL | | 151 | | 148 | | 154 | | 176 | | 166 |
| GRAND TOTAL | 151 | | 148 | | 154 | | 176 | | 166 | |

2.1.2 Establishment plan posts (Fees and charges)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | | | | | |
| AD 8 | | | | | | | | | | |
| AD 7 | | | | 4 | | | | | | |
| AD 6 | | 12 | | 24 | | 15 | | 23 | | 27 |
| AD 5 | | | | 2 | | | | | | |
| AD TOTAL | | 12 | | 30 | | 15 | | 23 | | 27 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 12 | | 30 | | 15 | | 23 | | 27 |
| GRAND TOTAL | 12 | | 30 | | 15 | | 23 | | 27 | |

2.1.3 Establishment plan posts (UE Contribution)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | 4 | | 1 | | 4 | | 3 | | 3 |
| AD 11 | | 10 | | 6 | | 10 | | 14 | | 14 |
| AD 10 | | 19 | | 22 | | 19 | | 22 | | 22 |
| AD 9 | | 29 | | 19 | | 29 | | 24 | | 24 |
| AD 8 | | 21 | | 25 | | 21 | | 20 | | 20 |
| AD 7 | | 15 | | 10 | | 15 | | 20 | | 15 |
| AD 6 | | 5 | | | | 5 | | 14 | | 5 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 104 | | 84 | | 104 | | 118 | | 104 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AST 8 | | 5 | | 2 | | 5 | | 4 | | 4 |
| AST 7 | | 5 | | 3 | | 5 | | 4 | | 4 |
| AST 6 | | 6 | | 6 | | 6 | | 5 | | 5 |
| AST 5 | | 8 | | 5 | | 8 | | 9 | | 9 |
| AST 4 | | 9 | | 10 | | 9 | | 8 | | 8 |
| AST 3 | | | | 6 | | | | 3 | | 3 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST | | 35 | | 34 | | 35 | | 35 | | 35 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 139 | | 118 | | 139 | | 153 | | 139 |
| GRAND TOTAL | 139 | | 118 | | 139 | | 153 | | 139 | |

2.2 External personnel

2.2.1 External personnel ERA

2.2.1.1 Contract Agents ERA

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 15 | 22 | 16 | 23 |
| Function Group III | 8 | 4 | 12 | 5 |
| Function Group II | 10 | 6 | 8 | 6 |
| Function Group I | 3 | 2 | | 2 |
| TOTAL | 36 | 34 | 36 | 36 |

2.2.1.2 Seconded National Experts ERA

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 2 | 4 | 4 |

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 3 | 3 | 3 |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 3 | 3 | 3 | 3 |

2.2.2.2 Seconded National Experts (Fees and charges)

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 12 | 19 | 13 | 20 |
| Function Group III | 8 | 4 | 12 | 5 |
| Function Group II | 10 | 6 | 8 | 6 |
| Function Group I | 3 | 2 | | 2 |
| TOTAL | 33 | 31 | 33 | 33 |

2.2.3.2 Seconded National Experts (EU Contribution)

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 2 | 4 | 4 |

3 Financial Resources

3.1 Revenues ERA

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 4 138 980 | 8 035 882 | 9 826 585 | 9 826 585 | 22,28% |
| 2 EU CONTRIBUTION | 27 061 160 | 26 278 423 | 28 736 506 | 27 418 274 | 4,34% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 59 486 | 114 224 | 69 638 | 69 638 | -39,03% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 693 999 | 656 721 | 719 538 | 671 032 | 2,18% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 693 999 | 656 721 | 719 538 | 671 032 | 2,18% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 10 000 | 10 000 | | | -100% |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 31 904 139 | 34 981 026 | 39 282 629 | 37 915 891 | 8,39% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 300 400 | 580 735 | p.m. | p.m. | -100% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 260 000 | 260 000 | 250 000 | 250 000 | -3,85% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 35 376 | | | | |
| TOTAL | 595 776 | 840 735 | 250 000 | 250 000 | -70,26% |

3.2 Expenditure

3.2.1 Expenditure ERA

3.2.1.1 Commitment appropriations ERA

| EXPENDITURE | Commitment appropriations ERA | | | | |
|---------------------------------------------------------------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 23 761 246 | 26 266 655 | 30 476 405 | 29 109 667 | 10,82% |
| Salaries & allowances | 22 836 073 | 25 137 000 | 29 250 136 | 27 883 398 | 10,93% |
| - <i>Of which establishment plan posts</i> | 20 374 658 | 21 868 000 | 25 866 720 | 24 499 982 | 12,04% |
| - <i>Of which external personnel</i> | 2 461 415 | 3 269 000 | 3 383 416 | 3 383 416 | 3,50% |
| Expenditure relating to Staff recruitment | 47 923 | 147 000 | 176 435 | 176 435 | 20,02% |
| Employer's pension contributions | 466 024 | 464 759 | 481 026 | 481 026 | 3,50% |
| Mission expenses | 1 200 | 10 000 | 10 200 | 10 200 | 2,00% |
| Socio-medical infrastructure | 33 814 | 75 000 | 90 018 | 90 018 | 20,02% |
| Training | 116 543 | 150 000 | 180 036 | 180 036 | 20,02% |
| External Services | 252 942 | 259 896 | 265 094 | 265 094 | 2,00% |
| Receptions, events and representation | 677 | 3 000 | 3 060 | 3 060 | 2,00% |
| Social welfare | 6 050 | 20 000 | 20 400 | 20 400 | 2,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 143 586 | 2 621 000 | 2 673 420 | 2 673 420 | 2,00% |
| Rental of buildings and associated costs | 1 206 092 | 1 238 000 | 1 262 760 | 1 262 760 | 2,00% |
| Information, communication technology and data processing | 690 331 | 1 193 000 | 1 216 860 | 1 216 860 | 2,00% |
| Movable property and associated costs | 57 788 | 36 000 | 36 720 | 36 720 | 2,00% |
| Current administrative expenditure | 15 894 | 34 000 | 34 680 | 34 680 | 2,00% |
| Postage / Telecommunications | 169 275 | 115 000 | 117 300 | 117 300 | 2,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 4 206 | 5 000 | 5 100 | 5 100 | 2,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 821 635 | 6 093 371 | 6 132 804 | 6 132 804 | 0,65% |
| Contributing to shaping target reference and global reference for the EU rail system | 5 259 126 | 4 717 836 | 4 729 759 | 4 729 759 | 0,25% |
| Developing the harmonised regulatory SERA technical framework | | | | | |
| Implementing the harmonised regulatory SERA technical framework (under 4RP) | | | | | |
| Monitoring, evaluating and reporting | | | | | |
| Delivering efficient and effective services | | | | | |
| Other Activities | 2 562 509 | 1 375 535 | 1 403 045 | 1 403 045 | 2,00% |
| TOTAL | 33 726 467 | 34 981 026 | 39 282 629 | 37 915 891 | 8,39% |

3.2.1.2 Payment appropriations ERA

| EXPENDITURE | Payment appropriations ERA | | | | |
|--------------------------------------------------------------------------------------|----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 23 608 888 | 26 266 655 | 30 476 405 | 29 109 667 | 10,82% |
| Salaries & allowances | 22 828 350 | 25 137 000 | 29 250 136 | 27 883 398 | 10,93% |
| - <i>Of which establishment plan posts</i> | <i>20 366 935</i> | <i>21 868 000</i> | <i>25 866 720</i> | <i>24 499 982</i> | <i>12,04%</i> |
| - <i>Of which external personnel</i> | <i>2 461 415</i> | <i>3 269 000</i> | <i>3 383 416</i> | <i>3 383 416</i> | <i>3,50%</i> |
| Expenditure relating to Staff recruitment | 47 923 | 147 000 | 176 435 | 176 435 | 20,02% |
| Employer's pension contributions | 466 024 | 464 759 | 481 026 | 481 026 | 3,50% |
| Mission expenses | 957 | 10 000 | 10 200 | 10 200 | 2,00% |
| Socio-medical infrastructure | 1 814 | 75 000 | 90 018 | 90 018 | 20,02% |
| Training | 88 819 | 150 000 | 180 036 | 180 036 | 20,02% |
| External Services | 168 274 | 259 896 | 265 094 | 265 094 | 2,00% |
| Receptions, events and representation | 677 | 3 000 | 3 060 | 3 060 | 2,00% |
| Social welfare | 6 050 | 20 000 | 20 400 | 20 400 | 2,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 762 057 | 2 621 000 | 2 673 420 | 2 673 420 | 2,00% |
| Rental of buildings and associated costs | 1 070 686 | 1 238 000 | 1 262 760 | 1 262 760 | 2,00% |
| Information, communication technology and data processing | 563 811 | 1 193 000 | 1 216 860 | 1 216 860 | 2,00% |
| Movable property and associated costs | 39 166 | 36 000 | 36 720 | 36 720 | 2,00% |
| Current administrative expenditure | 4 456 | 34 000 | 34 680 | 34 680 | 2,00% |
| Postage / Telecommunications | 81 640 | 115 000 | 117 300 | 117 300 | 2,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 2 298 | 5 000 | 5 100 | 5 100 | 2,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 208 069 | 6 093 371 | 6 132 804 | 6 132 804 | 0,65% |
| Contributing to shaping target reference and global reference for the EU rail system | 2 571 390 | 4 717 836 | 4 137 730 | 4 137 730 | -12,30% |
| Developing the harmonised regulatory SERA technical framework | | | 229 715 | 229 715 | 100% |
| Implementing the harmonised regulatory SERA technical framework (under 4RP) | | | 362 314 | 362 314 | 100% |
| Monitoring, evaluating and reporting | | | | | |
| Delivering efficient and effective services | | | | | |
| Other Activities | 1 636 679 | 1 375 535 | 1 403 045 | 1 403 045 | 2,00% |
| TOTAL | 29 579 014 | 34 981 026 | 39 282 629 | 37 915 891 | 8,39% |

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|---------------------------------------------------------------------------------------------|----------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 2 295 088 | 5 106 155 | 5 392 628 | 5 392 628 | 5,61% |
| Salaries & allowances | 1 829 064 | 4 601 500 | 4 762 553 | 4 762 553 | 3,50% |
| - <i>Of which establishment plan posts</i> | 1 829 064 | 4 189 000 | 4 335 615 | 4 335 615 | 3,50% |
| - <i>Of which external personnel</i> | | 412 500 | 426 938 | 426 938 | 3,50% |
| Expenditure relating to Staff recruitment | | | 35 287 | 35 287 | 100% |
| Employer's pension contributions | 466 024 | 464 759 | 481 026 | 481 026 | 3,50% |
| Mission expenses | | | 2 040 | 2 040 | 100% |
| Socio-medical infrastructure | | | 18 004 | 18 004 | 100% |
| Training | | | 36 007 | 36 007 | 100% |
| External Services | | 39 896 | 53 019 | 53 019 | 32,89% |
| Receptions, events and representation | | | 612 | 612 | 100% |
| Social welfare | | | 4 080 | 4 080 | 100% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 52 317 | 433 057 | 534 684 | 534 684 | 23,47% |
| Rental of buildings and associated costs | 52 317 | 216 710 | 252 552 | 252 552 | 16,54% |
| Information, communication technology and data processing | | 216 347 | 243 372 | 243 372 | 12,49% |
| Movable property and associated costs | | | 7 344 | 7 344 | 100% |
| Current administrative expenditure | | | 6 936 | 6 936 | 100% |
| Postage / Telecommunications | | | 23 460 | 23 460 | 100% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | 1 020 | 1 020 | 100% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 663 959 | 2 496 670 | 3 899 273 | 3 899 273 | 56,18% |
| Contributing to shaping target reference and global reference for the EU rail system | 3 663 959 | 2 252 298 | 3 520 718 | 3 520 718 | 56,32% |
| Developing the harmonised regulatory SERA technical framework | | | | | |
| Implementing the harmonised regulatory SERA technical framework (under 4RP) | | | | | |
| Monitoring, evaluating and reporting | | | | | |
| Delivering efficient and effective services | | | | | |
| Other Activities | | 244 372 | 378 555 | 378 555 | 54,91% |
| TOTAL | 6 011 364 | 8 035 882 | 9 826 585 | 9 826 585 | 22,28% |

3.2.2.2 Payment appropriations (Fees and charges)

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|--------------------------------------------------------------------------------------|-------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 2 287 365 | 5 106 155 | 5 392 628 | 5 392 628 | 5,61% |
| Salaries & allowances | 1 821 341 | 4 601 500 | 4 762 553 | 4 762 553 | 3,50% |
| - <i>Of which establishment plan posts</i> | <i>1 821 341</i> | <i>4 189 000</i> | <i>4 335 615</i> | <i>4 335 615</i> | <i>3,50%</i> |
| - <i>Of which external personnel</i> | | <i>412 500</i> | <i>426 938</i> | <i>426 938</i> | <i>3,50%</i> |
| Expenditure relating to Staff recruitment | | | 35 287 | 35 287 | 100% |
| Employer's pension contributions | 466 024 | 464 759 | 481 026 | 481 026 | 3,50% |
| Mission expenses | | | 2 040 | 2 040 | 100% |
| Socio-medical infrastructure | | | 18 004 | 18 004 | 100% |
| Training | | | 36 007 | 36 007 | 100% |
| External Services | | 39 896 | 53 019 | 53 019 | 32,89% |
| Receptions, events and representation | | | 612 | 612 | 100% |
| Social welfare | | | 4 080 | 4 080 | 100% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 52 317 | 433 057 | 534 684 | 534 684 | 23,47% |
| Rental of buildings and associated costs | 52 317 | 216 710 | 252 552 | 252 552 | 16,54% |
| Information, communication technology and data processing | | 216 347 | 243 372 | 243 372 | 12,49% |
| Movable property and associated costs | | | 7 344 | 7 344 | 100% |
| Current administrative expenditure | | | 6 936 | 6 936 | 100% |
| Postage / Telecommunications | | | 23 460 | 23 460 | 100% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | 1 020 | 1 020 | 100% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 268 511 | 2 496 670 | 3 899 273 | 3 899 273 | 56,18% |
| Contributing to shaping target reference and global reference for the EU rail system | 1 268 511 | 2 252 298 | 2 928 689 | 2 928 689 | 30,03% |
| Developing the harmonised regulatory SERA technical framework | | | 229 715 | 229 715 | 100% |
| Implementing the harmonised regulatory SERA technical framework (under 4RP) | | | 362 314 | 362 314 | 100% |
| Monitoring, evaluating and reporting | | | | | |
| Delivering efficient and effective services | | | | | |
| Other Activities | | 244 372 | 378 555 | 378 555 | 54,91% |
| TOTAL | 3 608 193 | 8 035 882 | 9 826 585 | 9 826 585 | 22,28% |

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|---------------------------------------------------------------------------------------------|---------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 21 466 158 | 21 160 500 | 25 083 777 | 23 717 039 | 12,08% |
| Salaries & allowances | 21 007 009 | 20 535 500 | 24 487 583 | 23 120 845 | 12,59% |
| - <i>Of which establishment plan posts</i> | 18 545 594 | 17 679 000 | 21 531 105 | 20 164 367 | 14,06% |
| - <i>Of which external personnel</i> | 2 461 415 | 2 856 500 | 2 956 478 | 2 956 478 | 3,50% |
| Expenditure relating to Staff recruitment | 47 923 | 147 000 | 141 148 | 141 148 | -3,98% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 200 | 10 000 | 8 160 | 8 160 | -18,40% |
| Socio-medical infrastructure | 33 814 | 75 000 | 72 014 | 72 014 | -3,98% |
| Training | 116 543 | 150 000 | 144 029 | 144 029 | -3,98% |
| External Services | 252 942 | 220 000 | 212 075 | 212 075 | -3,60% |
| Receptions, events and representation | 677 | 3 000 | 2 448 | 2 448 | -18,40% |
| Social welfare | 6 050 | 20 000 | 16 320 | 16 320 | -18,40% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 091 269 | 2 187 943 | 2 138 736 | 2 138 736 | -2,25% |
| Rental of buildings and associated costs | 1 153 775 | 1 021 290 | 1 010 208 | 1 010 208 | -1,09% |
| Information, communication technology and data processing | 690 331 | 976 653 | 973 488 | 973 488 | -0,32% |
| Movable property and associated costs | 57 788 | 36 000 | 29 376 | 29 376 | -18,40% |
| Current administrative expenditure | 15 894 | 34 000 | 27 744 | 27 744 | -18,40% |
| Postage / Telecommunications | 169 275 | 115 000 | 93 840 | 93 840 | -18,40% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 4 206 | 5 000 | 4 080 | 4 080 | -18,40% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 157 676 | 3 596 701 | 2 233 531 | 2 233 531 | -37,90% |
| Contributing to shaping target reference and global reference for the EU rail system | 1 595 167 | 2 465 538 | 1 209 041 | 1 209 041 | -50,96% |
| Developing the harmonised regulatory SERA technical framework | | | | | |
| Implementing the harmonised regulatory SERA technical framework (under 4RP) | | | | | |
| Monitoring, evaluating and reporting | | | | | |
| Delivering efficient and effective services | | | | | |
| Other Activities | 2 562 509 | 1 131 163 | 1 024 490 | 1 024 490 | -9,43% |
| TOTAL | 27 715 103 | 26 945 144 | 29 456 044 | 28 089 306 | 4,25% |

3.2.3.2 Payment appropriations (EU Contribution)

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|---------------------------------------------------------------------------------------------|------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 21 321 523 | 21 160 500 | 25 083 777 | 23 717 039 | 12,08% |
| Salaries & allowances | 21 007 009 | 20 535 500 | 24 487 583 | 23 120 845 | 12,59% |
| - <i>Of which establishment plan posts</i> | 18 545 594 | 17 679 000 | 21 531 105 | 20 164 367 | 14,06% |
| - <i>Of which external personnel</i> | 2 461 415 | 2 856 500 | 2 956 478 | 2 956 478 | 3,50% |
| Expenditure relating to Staff recruitment | 47 923 | 147 000 | 141 148 | 141 148 | -3,98% |
| Employer's pension contributions | | | | | |
| Mission expenses | 957 | 10 000 | 8 160 | 8 160 | -18,40% |
| Socio-medical infrastructure | 1 814 | 75 000 | 72 014 | 72 014 | -3,98% |
| Training | 88 819 | 150 000 | 144 029 | 144 029 | -3,98% |
| External Services | 168 274 | 220 000 | 212 075 | 212 075 | -3,60% |
| Receptions, events and representation | 677 | 3 000 | 2 448 | 2 448 | -18,40% |
| Social welfare | 6 050 | 20 000 | 16 320 | 16 320 | -18,40% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 709 740 | 2 187 943 | 2 138 736 | 2 138 736 | -2,25% |
| Rental of buildings and associated costs | 1 018 369 | 1 021 290 | 1 010 208 | 1 010 208 | -1,09% |
| Information, communication technology and data processing | 563 811 | 976 653 | 973 488 | 973 488 | -0,32% |
| Movable property and associated costs | 39 166 | 36 000 | 29 376 | 29 376 | -18,40% |
| Current administrative expenditure | 4 456 | 34 000 | 27 744 | 27 744 | -18,40% |
| Postage / Telecommunications | 81 640 | 115 000 | 93 840 | 93 840 | -18,40% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 2 298 | 5 000 | 4 080 | 4 080 | -18,40% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 939 558 | 3 596 701 | 2 233 531 | 2 233 531 | -37,90% |
| Contributing to shaping target reference and global reference for the EU rail system | 1 302 879 | 2 465 538 | 1 209 041 | 1 209 041 | -50,96% |
| Developing the harmonised regulatory SERA technical framework | | | | | |
| Implementing the harmonised regulatory SERA technical framework (under 4RP) | | | | | |
| Monitoring, evaluating and reporting | | | | | |
| Delivering efficient and effective services | | | | | |
| Other Activities | 1 636 679 | 1 131 163 | 1 024 490 | 1 024 490 | -9,43% |
| TOTAL | 25 970 821 | 26 945 144 | 29 456 044 | 28 089 306 | 4,25% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 69 638.

4 Justification of needs

Commission assessment

Human Resources

The Commission underlines that the Agency is delivering decisions to the whole EU and faces much higher numbers of applications than expected with an increasing trend every year. The Commission observes increasing risks of delays in delivering rail policy objectives due to the current resource situation of the Agency. With the revision of the Implementing Act on Fees and Charges (Commission Implementing Regulation (EU) 2021/1903) the hourly rate of the authorisation works has been increased to ensure the full cost recovery for ERA's authority tasks. Unfortunately the revision of the Implementing Act does not address the perceived lack of staff and cap of the establishment plan.

The Agency's Management Board endorsed a Strengthening plan to meet the ambitions of EU rail policy. The actions foreseen by the Agency spread across 2022-2027 result in the creation of 17.4 FTEs through internal effort. However, this measure is not sufficient to cover the full FTE gap.

The Commission notes the Agency's request for 14 FTEs to support policy work and 8 FTEs to support Agency's role as EU authorising and certifying authority. According to the Agency, by the end of the MFF, the total increase would remain limited to 6 FTE. Based on the Commission's assessment of the strengthening plan, the Commission proposes a staff increase by 12 FTEs (all fee financed) for 2023, whereby 6 FTE are limited in duration and will be phased out by the end of the MFF.

Financial Resources

There is no impact on the EU Contribution compared to the financial programming, as the 12 FTEs will be financed through fees and charges. The Commission supports this request.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

Request for 8 TAs for authority work included.

4.1.1.2 Number of staff requested (UE Contribution)

Request for 14 TAs for policy work included.

4.1.2 Vacancy rate as of end 2021

4.1.2.1 Vacancy rate as of end 2021 (Fees and charges)

Exceeding the 100% vacancy rate in case of ADs (250,00%) and CAs (166,67%) is explained by the reporting of all staff carrying out authorisation work – and thus financed from Fees and charges revenue – under “Fees and charges” (30 TAs & 5 CAs).

4.1.2.2 Vacancy rate as of end 2021 (UE Contribution)

Higher vacancy rates and decreased occupancy of ADs (80,77%) and CAs (87,87%) are explained by the reporting of all staff carrying out authorisation work – and thus financed from Fees and charges revenue – under Fees and charges (30 TAs & 5 CAs).

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

N/A

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (UE Contribution)

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The Agency indexes salaries by 3.5% compared to the previous year. Average salary & allowance costs are calculated a function of TAs (146,970 EUR), CAs (77,625 EUR) & SNEs (89,010 EUR) and the number of staff foreseen in each category.

4.1.4.2 Salary assumption for calculating salary line (% applied) (UE Contribution)

The Agency indexes salaries by 3.5% compared to the previous year. Average salary & allowance costs are calculated a function of TAs (146,970 EUR), CAs (77,625 EUR) & SNEs (89,010 EUR) and the number of staff foreseen in each category.

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

The change of correction coefficient for France is followed closely in cooperation with ESTAT.C.3 and taken into account in the salary forecasts. If the correction coefficient for France is foreseen to have an effect significantly inferior to or exceeding the 3.5% indexation used, corrective action will be taken in the calculations.

4.1.5.2 Correction coefficient used (UE Contribution)

The change of correction coefficient for France is followed closely in cooperation with ESTAT.C.3 and taken into account in the salary forecasts. If the correction coefficient for France is foreseen to have an effect significantly inferior to or exceeding the 3.5% indexation used, corrective action will be taken in the calculations.

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

N/A

4.1.6.2 Exchange rate used (if applicable) (UE Contribution)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charged)

See 4.1.2.1. The calculation of salary cost is as follows: 23 TAs and 3 CAs covered from Fees and charges (direct contribution). In addition, the Fees and charges regulation (EU 2021/1903) creates an hourly rate that is intended to cover the indirect contribution of 8.5 FTEs (indirect contribution).

4.2.1.2 Title 1 (UE Contribution)

See 4.1.4.2. For the additional 14 TAs requested for policy work (financed under EU Contribution), an average Salaries & allowances cost of 146,970 € is used per capita.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

See under 4.2.1 and 4.1.4.

4.2.2.2 Title 2 (UE Contribution)

See under 4.2.1 and 4.1.4.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

See under 4.2.1. Under the operational budget of the Agency, some projects come from a combination of financing from EU contribution and Fees and charges, where those projects relate partly to the fees and charges activities (as per Regulation (EU) 2021/1903). See also under 4.2.3, 4.2.1 and 4.1.4.

4.2.3.2 Title 3 (UE Contribution)

See under 4.2.1. Under the operational budget of the Agency, some projects come from a combination of financing from EU contribution and Fees and charges, where those projects relate partly to the fees and charges activities (as per Regulation (EU) 2021/1903). See also under 4.2.3, 4.2.1 and 4.1.4.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------|------------|--------------|-----------------|----------------------------------|--------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | ERA HQ | Valenciennes, 120 rue Marc Lefrancq, 59307 France | 5 250 | | 5 250 | 468 997 | 1 year with tacit renewal | Office rental | No | |
| 2 | ERA Office - Espace International | 299, Boulevard de Leeds - 59000 Lille - France | 422 | 73 | 495 | 114 441 | Until 31/12/2023 | Meeting, premises rental | No | |
| 3 | Parking des Tertiaires - SPL Valenciennes stationnement (100 parking places) | Rue du Fer à Cheval, 59300 Valenciennes, FR | | | | 24 565 | 1 year with tacit renewal | Parking rental | No | |
| 4 | Rental of 1 parking place from Espace International | 13 Avenue le Corbusier 59800 Lille, FR | | | | 2 672 | Contract will end on 31/12/2023. | Parking rental | No | |
| TOTAL | | | 5 672 | 73 | 5 745 | 610 675 | | | | |

5.1.2 Current building(s) Other comments

ERA HQ (Valenciennes): ERA started using the current building in Valenciennes in 2009. This building was designed to accommodate about 160 headcounts, where the layout of the offices privileged the use of the rooms by single occupants. Due to the new tasks in relation to the certification and authorisation's activities requiring the recruitment of additional staff, the building was fitted in order to accommodate 251 desks. The higher number of staff (desks) in the building will have an impact on the maintenance costs.

The Agency estimates that about EUR 150 000 per year will be necessary to ensure the reliability of the technical installations at ERA headquarters.

Lille Office - Espace International (Lille): ERA shares office spaces with the building landlord (CCI de Région) on the 2nd floor. Following the signature of the addendum n°2 to main Lease contract signed on 07/07/2021 for the retrocession of meeting rooms Kálmán Kandó to the CCI de Région, the total office space being rented by ERA represents 495 m² instead 603 m² (422 m² net office space + 73 m² representing 18% "de quote-part des parties communes").

5.1.3 Building projects in the planning phase

Due to the growth of the Agency, the current building in Valenciennes does not offer any longer the required conditions to accommodate staff and activities related to the Agency's role as railways' Authority. Therefore, the Agency has re-allocated the majority of staff members in double office spaces as a short-term solution.

Another short-medium term solution is the implementation of teleworking up to the limit set by the Management Board Decision on Teleworking.

In parallel, as part of a long-term solution, the Agency and Valenciennes Métropole have started defining the requirements for a new building to accommodate ERA activities after 2027 by means of a Study composed of different phases. In 2019 and 2020, the Agency has managed to complete the Phases 2 and 4 of the technical and functional study resulting in the approval of the technical specifications that will be used as the base for the selection of architects in the Phase 3 of the Study. Taking into account the experience gained during the pandemic with large-scale teleworking and new ways of working, the building capacity and the technical project were revised.

In 2022, following the approval of the project, it is foreseen that Valenciennes Métropole will launch a "Concours" (Contest / CFT) for the selection of the Architect as part of the Phase 3 of the Project.

5.2 European schools

No contribution agreements signed with the EC on type I or II European schools. There are no agreements in place with European Schools. There is however an Informal agreement with European School Lille Métropole (EELM) and with Jehan Froissart Primary School in Valenciennes (EN curricula).

5.3 Evaluation

According Article 82 of Regulation (2016) 796, an evaluation of ERA has to be carried out no later than 16 June 2020 and every 5 years thereafter, with the purpose to assess, in particular, the impact, effectiveness and efficiency of the Agency and its working practices, taking into account all relevant work by the Court of Auditors and the views and recommendations of relevant stakeholders, including national safety authorities, representatives of the railway sector, social partners and consumer organisations. This evaluation started in 2022. By 16 June 2023 the Commission shall assess the functioning of the dual system for vehicle authorisation and safety certification, the one-stop shop related thereto and the harmonised implementation of ERTMS in the Union and shall forward the evaluation report together with its conclusions thereon to the European Parliament, the Council and the Management Board. The findings of the evaluation shall be made public.

5.4 Privileges and immunities

The written procedure of the Management Board from August 2018 concerning the approval of a headquarters agreement between the Agency and the host Member State, has been completed successfully. No objections or negative votes were expressed by the members of the Management Board. The Seat Agreement between the French Government and the Agency has been presented as draft legislation to the French National Assembly on 7 July 2021. It is currently under its first review. The following table presents the envisaged privileges for the Agency's staff.

| Agency privileges | Privileges granted to staff | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>The Agency's headquarters are located in Valenciennes and Lille. The French authority pledge to provide the necessary support to ensure the location of the Agency's headquarters will remain unchanged. (Art. 3)</p> <p>The Agency's premises are inviolable, exempt from perquisition, requisition, confiscation or expropriation. No French authority can enter the building without the prior agreement of the Executive Director. The property and assets of the Agency cannot be subject of legal measure of constraint without the authorisation of the CJEU. (Art. 4)</p> <p>The Agency's archives and all official documents are also inviolable. The Agency will benefit from the same treatment the French Government provides to diplomatic missions when it comes to official communication. No restriction can be placed on neither official communication addressed to the Agency or a member of its personnel, nor on any communication emanating from the Agency. In addition, the Agency shall have the right to use codes and to dispatch and receive official correspondence and other official communications by courier or in sealed bags which shall be subject to the same privileges and immunities as diplomatic couriers and bags. (Art. 5)</p> <p>The Agency is entitled to display the flag of the EU, as well as its own on its premises and vehicles (Art. 6)</p> <p>The Agency, its assets, income and other properties, shall be exempt from</p> | <p>The HQ Agreement differentiates statutory staff (officials, TAs, CAs) and external staff (SNEs, experts, trainees, interims). (Art. 1).</p> <p>The privileges and immunities provided for the statutory staff aim to guarantee their independence and the unimpeded functioning of ERA. The Executive Director and the statutory staff (Art. 10):</p> <ul style="list-style-type: none"> enjoy immunity from jurisdiction, even after the termination of their mission, in respect of acts carried out by them in the exercise of their functions are exempt from national taxes on earnings, salaries and respective supplements paid by the Agency enjoy the exemption for themselves and their spouses from measures restricting immigration and from aliens' registration formalities; enjoy the same privileges in respect of currency and exchange regulations comparably to those accorded to staff of international organisations enjoy the right to import duty-free their furniture and personal effects at the time of first taking up their post in France and the right on the termination of their functions in France to export free of duty their furniture and personal effects, subject in both cases to conditions considered necessary by the French authorities Enjoy the right to import duty-free their private car acquired whether in the country of their last residence or in the country of which they are nationals, bound by the single market rules. They also enjoy the right to export it duty-free, subject to the conditions considered necessary by the French authorities; Have access – along with their family members – to access without discrimination compared with French citizens to all public services provided on French territory, including medical services, education, childcare, and housing. <p>The French Government will deliver to all members of the Agency's personnel (except those who have the French nationality or permanent residency) a special residency document identifying them as member of the Agency's personnel. The Agency will ensure that once an employee leaves the Agency, its residency document is handed back to the Ministry of Foreign Affairs. The Ministry of Foreign Affairs also facilitates the issuance of those residency documents and work authorisations to the family members of all the Agency's personnel, except those who have the French nationality or permanent residency (Art. 11 (1, 2)).</p> <p>The French authorities will take all necessary measures to facilitate the entry, stay, and departure of experts in missions for the Agency on the French territory. Necessary visa sand authorisations will be delivered for free as quickly as possible</p> | <p>No provision in the headquarters agreement.</p> |

| Agency privileges | Privileges granted to staff | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <p>all direct taxes. The Agency is also exempt from indirect taxes and duties included the price of movable and immovable property and services, acquired for its official use and involving an expenditure of at least €150. The exemption may be granted by way of a refund. The Agency shall also be exempt from all custom duties and any other taxes for all goods intended for official use, as long as this exoneration is foreseen in the EU or national legislation. (Art. 7-8)</p> <p>Finally, the Agency is entitled to register three vehicles with diplomatic plates. (Art. 9)</p> | <p>(Art. 11 (2, 4)).</p> <p>In addition, the Executive Director and the statutory staff are exempt from any compulsory national social security system. External staff, SNEs, and their family members, subject to their coverage in their own country of citizenship, are also exempt from any compulsory national social security system. (Art. 12)</p> | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EC) No 713/2009 of the European Parliament and of the Council establishing an Agency for the Cooperation of Energy Regulators | 13 July 2009, | The Agency assists the National Regulatory Authorities (NRAs) at EU level with their regulatory tasks in the Member States and coordinates their action; participates in the creation of European network rules; issues opinions on network-related tasks performed by Transmission System Operators (TSOs); can take binding individual decisions on terms and conditions for access and operational security for cross border infrastructure; advise the EU institutions; monitors and reports developments in the energy markets. |
| Regulation (EU) 2019/942 of the European Parliament and of the Council establishing a European Union Agency for the Cooperation of Energy Regulators | 05 June 2019 | |
| Directive 2009/72/EC of the European Parliament and of the Council on common rules for the internal market in electricity, repealing Directive 2003/54/EC | 13 July 2009, | ACER shall cooperate with NRAs and TSOs to ensure the compatibility of the regulatory frameworks across the Union with the aim of creating a competitive internal market in electricity; exercise the tasks conferred on the NRAs upon their joint request and in the absence of agreement between them; and provide its opinion to regulatory authorities or to the Commission. |
| Regulation (EU) 2019/943 of the European Parliament and of the Council on the internal market for electricity | 05 June 2019 | <p>The Agency shall:</p> <ul style="list-style-type: none"> - amend or approve technical parameters developed by ENTSO-E for an efficient participation of cross-border capacities in capacity mechanisms and other technical features of capacity mechanisms. - amend or approve draft methodologies for calculating: the value of lost load; the "cost of new entry" for generation, or demand response; and the reliability standard expressed as "expected energy not served" and the "loss of load expectation". - amend or approve changes of a proposal for a methodology for assessing short-term adequacy - seasonal adequacy, week-ahead to intraday adequacy submitted by ENTSO-E. <p>The Agency shall publish Best practice report on the transmission and distribution tariff methodologies every two years. The Agency shall publish Opinion on technical guidance for the calculation of CO2 emission limits.</p> |
| Directive 2009/73/EC concerning common rules for the internal market in natural gas and repealing Directive 2003/55/EC | 13 July 2009 | ACER shall cooperate with NRAs and TSOs to ensure the compatibility of the regulatory frameworks across EU with the aim of creating an internal market in natural gas; exercise the tasks conferred on the NRAs upon their joint request and in the absence of agreement between them; and provide its opinion to the regulatory authority or to the Commission |
| Regulation (EC) No 714/2009 on conditions for access to the network for cross-border exchanges in electricity and repealing Regulation (EC) No 1228/2003 | 13 July 2009, | The Agency issues opinions to the Commission on the draft statutes, list of members and draft rules of procedure of the European network of transmission system operators for electricity (ENTSO-E); submits and recommends for adoption by the Commission framework guidelines for the development of network codes; provide opinions to ENTSO-E on network codes; review the national ten-year network development plans; monitor the execution of ENTSO-E's tasks and the network codes implementation. |
| Regulation (EU) 2019/943 of the European Parliament and of the Council on the internal market for electricity | 05 June 2019 | |
| Regulation (EU) No 543/2013 | 14 June | This regulation lays down the minimum common set of data relating to |

| | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| on submission and publication of data in electricity markets, amending Annex I to Regulation (EC) No 714/2009 | 2013 | generation, transportation and consumption of electricity to be made available to market participants. It also provides for a central collection and publication of the data. |
| Regulation (EC) No 715/2009 on conditions for access to the natural gas transmission networks and repealing Regulation (EC) No 1775/2005 | 13 July 2009 | The Agency issues opinions to the Commission on the draft statutes, list of members and draft rules of procedure of the European network of transmission system operators for gas (ENTSOG); submits and recommends for adoption by the Commission framework guidelines for the development of network codes; provide opinions to ENTSOG on network codes; monitor the execution of ENTSOG's tasks and the network codes implementation. |
| Regulation (EU) No 838/2010 on guidelines relating to the inter-transmission system operator compensation mechanism and a common regulatory approach to transmission charging | 23 September 2010 | The Agency shall formulate a proposal to the Commission on the annual cross-border infrastructure compensation sum for the Inter-TSO Compensation (ITC) mechanism and report to the Commission; verify the criteria for the valuation of losses at national level; monitor the appropriateness of the ranges of allowable transmission charges and provide its opinion to the Commission |
| Regulation (EU) 994/2010, recently replaced by Regulation (EU) 2017/1938 concerning measures to safeguard the security of gas supply (the "SoS Regulation") | 20 October 2010 25 October 2017 | measures to safeguard security of gas supply and repealing Council Directive 2004/67/EC concerning measures to safeguard the security of gas supply and repealing Regulation (EU) No 994/2010 The Agency shall take decisions on CBCA; issue opinions on proposals or exemption requests and on the elements of coordinated decisions; participate in consultations on the establishment of permanent bi-directional capacity at interconnection points; and participate in the Gas Coordination Group. |
| Regulation (EU) No 1227/2011 on wholesale energy market integrity and transparency (REMIT) | 25 October 2011 | The Agency shall monitor wholesale energy markets to detect market manipulation, insider trading; collect records of transactions and orders to trade reported by market participants; establish and maintain a central European register of energy market participants; assess the operation and transparency of market places and ways of trading; cooperate with the NRAs on the implementation of REMIT across EU and cooperate with ESMA, national financial and competition authorities. |
| Regulation (EU) No 347/2013 on guidelines for trans-European energy infrastructure and repealing Decision 1364/2006/EC and amending Regulation (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 | 17 April 2013 | The Agency shall assist NRAs in the evaluation of the Projects of Common Interest (PCIs); issue opinion on the draft PCI lists, on the methodologies, for a harmonised energy system-wide Cost-Benefit Analysis at Union-wide level proposed by ENTSOs; participate in the monitoring of PCIs implementation; and facilitate the sharing of good practices and providing recommendations on incentives and common methodology to evaluate the incurred higher risks of investments in electricity and gas transmission. |
| Commission Implementing Regulation No 1348/2014 on data reporting implementing Art. 8(2) of Regulation No 1227/2011 on wholesale energy market integrity and transparency | 17 December 2014 | The Agency shall monitor the wholesale energy markets in the Union; draw up, publish, maintain and update lists of standard contracts and organised market places; establish procedures, standards and electronic formats based on established industry standards for reporting of information; develop technical and organisational requirements for submitting data; and assess whether reporting parties comply with the requirements. |
| Commission Regulation (EU) No 312/2014 establishing a Network Code on Gas Balancing of Transmission Networks | 26 March 2014 | The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring. |
| Commission Regulation (EU) 2015/703 establishing a network code on interoperability and data | 30 April 2015 | The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring. |

| | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| exchange rules | | |
| Commission Decision (EU) 2015/715 amending Annex I to Regulation (EC) No 715/2009 on conditions for access to the natural gas transmission networks | 30 April 2015 | The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring; and publish an annual report on Congestion at Interconnection Points. |
| Commission Regulation (EU) 2015/1222 establishing guideline on capacity allocation and congestion management | 24 July 2015, | The Agency shall take decisions in the absence of agreement between NRAs on the common terms and conditions or methodologies; monitor NEMO's progress in establishing and performing the MCO functions and report to the Commission; issue an opinion on the proposals for terms and conditions or methodologies; assess the efficiency of current bidding zone configuration and draft a market report; and organise the involvement of stakeholders |
| Commission Regulation (EU) 2016/631 establishing a network code on requirements for grid connection of generators | 14 April 2016 | The Agency shall: organise stakeholder involvement regarding the requirements for grid connection of power-generating facilities; produce a list of information to be communicated by ENTSO-Electricity to the Agency; and monitor the procedure of granting derogations |
| Commission Regulation (EU) 2016/1388 establishing a network code on demand connection | 17 August 2016 | The Agency shall organise stakeholder involvement on the requirements for the grid connection of transmission-connected demand and distribution facilities, distribution systems and demand units; monitor the procedure of granting derogations with the cooperation of NRAs; and produce a list of information to be communicated by ENTSO-E to the Agency. |
| Commission Regulation (EU) 2016/1447 establishing a network code on requirements for grid connection of high voltage direct current systems and direct current-connected power park modules | 26 August 2016 | The Agency shall organise stakeholder involvement on the requirements for grid connection of HVDC systems and DC-connected power park modules; draw up a list of information to be communicated by ENTSO-E to the Agency; and monitor the procedure of granting derogations with the cooperation of the regulatory authorities or relevant authorities of the Member State. |
| Commission Regulation (EU) 2016/1719 establishing a guideline on forward capacity allocation | 26 September 2016 | The Agency shall issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding forward capacity allocation; and draw up a list of information to be communicated by ENTSO-E. |
| Commission Regulation (EU) 2017/459 establishing a network code on capacity allocation mechanisms in gas transmission systems and repealing Regulation (EU) No 984/2013 | 16 March 2017 | The Agency shall publish implementation monitoring reports, covering both compliance and effects monitoring; decide on the use of a single capacity booking platform in cases where the TSOs and NRAs involved cannot agree; and publish a report on conditional capacities; publish an Opinion on ENTSOG's report and proposed format for General Terms and Conditions. |
| Commission Regulation (EU) 2017/460 establishing a network code on harmonised transmission tariff structures for gas | 16 March 2017 | The Agency shall publish implementation monitoring reports, covering both compliance and implementation monitoring; review national reference price methodologies; publish a report on allowed revenues; and publish a recommendation on tariff multipliers. |
| Commission Regulation (EU) 2017/1485 establishing a guideline on electricity transmission system operation | 2 August 2017 | The Agency shall issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding system operation; and draw up a list of information to be communicated by ENTSO-E. |
| Commission Regulation (EU) 2017/2195 establishing a guideline on electricity | 23 November 2017 | The Agency shall issue opinions on the participation of Switzerland in the European platforms for the exchange of standard products for balancing energy; on the proposals for terms and conditions or methodologies and |

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| balancing | | adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding balancing; draw up a list of information to be communicated by ENTSO-E |
| Commission Regulation (EU) 2017/2196 establishing a network code on emergency and restoration | 24 November 2017 | The Agency shall organise stakeholder involvement regarding the implementation of this Regulation and system operation; produce lists of information to be communicated by ENTSO-Electricity to the Agency; issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs |
| Regulation (EU) 2019/941 of the European Parliament and of the Council On risk-preparedness in the electricity sector and repealing directive 2005/89/EC | 5 June 2019 | The Agency shall: <ul style="list-style-type: none"> - coordinate national actions related to risk preparedness with regulatory oversight over the RCC (Regional Coordination Centres), amend or approving changes of a proposal for a methodology for assessing short-term adequacy - seasonal adequacy, week-ahead to intraday adequacy submitted by ENTSO-E. - amend or approve the proposal for a methodology for identifying the most relevant electricity crisis scenarios in a regional context submitted by ENTSO-E. - monitor the security of supply measures and reporting regularly to the ECG. The Agency shall monitor the performance of Regional Coordination Centres with the NRAs and ENTSO-E, through submitted data resulting from their continuous monitoring; issue a decision on the configuration of system operation regions based on proposal from ENTSO-E. |
| Directive (EU) 2019/944 of the European Parliament and of the Council on common rules for the internal market for electricity and amending directive 2012/27/EU | 05 June 2019 | The Agency, in close cooperation with the national regulatory authorities and the ENTSO for Electricity, shall monitor and analyse the performance of Regional Coordination Centres. The Agency shall support DSOs to submit to the Commission and to the Agency the draft statutes, a list of registered members, the draft rules of procedure, including the rules of procedures on the consultation with ENTSO-E and other stakeholders and the financing rules, of the EU DSO entity to be established. Issuing an opinion on the draft statutes, list of members, draft rules of procedures, DSO annual work programme and other relevant documents. Issuing a decision for DSO to provide any relevant information necessary for carrying out monitoring pursuant. In its decisions, the Agency shall make a reference to the legal basis under which the information is requested, the time limit within which the information is to be provided, and the purpose of the request. |
| Commission Decision (EU) 2020/2152 of 17 December 2020 on fees due to the European Union Agency for the Cooperation of Energy Regulators for collecting, handling, processing and analysing of information reported under Regulation (EU) No 1227/2011 of the European Parliament and of the Council | 17 December 2020 | Fees are introduced to improve the Agency's funding and to cover the costs related to monitoring of the wholesale energy market in order to ensure, in close collaboration with the national regulatory authorities and other national authorities, their effective oversight. |

1.2 Seat

Ljubljana / Slovenia

1.3 Budget Line

02 10 06 : European Union Agency for the Cooperation of Energy Regulators (ACER)

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources Overview ACER

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 59 | 58 | 98,31% | 63 | 71 |
| Assistants (AST) | 12 | 12 | 100,00% | 13 | 13 |
| Assistants/Secretaries (AST/SC) | | | | | 1 |
| ESTABLISHMENT PLAN POSTS | 71 | 70 | 98,59% | 76 | 85 |
| Contract Agents (CA) | 36 | 35 | 97,22% | 37 | 38 |
| Seconded National Experts (SNE) | 4 | 3 | 75,00% | 10 | 10 |
| TOTAL STAFF | 111 | 108 | 97,30% | 123 | 133 |

1.4.2 Human Resources Overview (Fees and charges)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | | 24 | | 1 | 26 |
| Assistants (AST) | | 1 | | | 1 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | | 25 | | 1 | 27 |
| Contract Agents (CA) | | 9 | | 1 | 11 |
| Seconded National Experts (SNE) | | 1 | | 4 | 5 |
| TOTAL STAFF | | 35 | | 6 | 43 |

1.4.3 Human Resources Overview (EU Contribution)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 59 | 34 | 57,63% | 62 | 45 |
| Assistants (AST) | 12 | 11 | 91,67% | 13 | 12 |
| Assistants/Secretaries (AST/SC) | | | | | 1 |
| ESTABLISHMENT PLAN POSTS | 71 | 45 | 63,38% | 75 | 58 |
| Contract Agents (CA) | 36 | 26 | 72,22% | 36 | 27 |
| Seconded National Experts (SNE) | 4 | 2 | 50,00% | 6 | 5 |
| TOTAL STAFF | 111 | 73 | 65,77% | 117 | 90 |

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ACER

1.5.1.1 Revenues Overview ACER

1.5.1.1.1 General revenues ACER

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 14 877 050 | 16 257 071 |
| Other Revenue | 10 014 293 | 11 637 473 |
| TOTAL REVENUES | 24 891 343 | 27 894 544 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ACER

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | | | | |

1.5.1.2 Expenditure Overview ACER

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 12 685 447 | 12 685 447 | 14 392 082 | 14 144 459 |
| Title 2 - Infrastructure and operating expenditure | 4 055 204 | 4 055 204 | 4 237 435 | 4 237 435 |
| Title 3 - Operational expenditure | 8150692 | 8150692 | 9512650 | 9512650 |
| TOTAL EXPENDITURE | 24 891 343 | 24 891 343 | 28 142 167 | 27 894 544 |

1.5.2 Financial Resources Overview (Fees and charges)

1.5.2.1 Revenues Overview (Fees and charges)

1.5.2.1.1 General revenues (Fees and charges)

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------|-----------------|-------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| EU contribution | | | | |
| Other Revenue | | 9 650 169 | | 11 273 866 |
| TOTAL REVENUES | | 9 650 169 | | 11 273 866 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees and charges)

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | | | | |

1.5.2.2 Expenditure Overview (Fees and charges)

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 411 148 | 3 411 149 | 3 998 668 | 3 998 668 |
| Title 2 - Infrastructure and operating expenditure | 267 021 | 267 020 | 330 198 | 330 198 |
| Title 3 - Operational expenditure | 5 972 000 | 5 972 000 | 6 945 000 | 6 945 000 |
| TOTAL EXPENDITURE | 9 650 169 | 9 650 169 | 11 273 866 | 11 273 866 |

1.5.3 Financial Resources Overview (EU Contribution)

1.5.3.1 Revenues Overview (EU Contribution)

1.5.3.1.1 General revenues (EU Contribution)

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 14 877 050 | 16 257 071 |
| Other Revenue | 364 124 | 363 607 |
| TOTAL REVENUES | 15 241 174 | 16 620 678 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (EU Contribution)

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.3.2 Expenditure Overview (EU Contribution)

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 9 274 299 | 9 274 298 | 10 393 414 | 10 145 791 |
| Title 2 - Infrastructure and operating expenditure | 3 788 183 | 3 788 184 | 3 907 237 | 3 907 237 |
| Title 3 - Operational expenditure | 2 178 692 | 2 178 692 | 2 567 650 | 2 567 650 |
| TOTAL EXPENDITURE | 15 241 174 | 15 241 174 | 16 868 301 | 16 620 678 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts ACER

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | 4 | | 1 | | 4 | | 4 | | 4 |
| AD 12 | | 3 | | 4 | | 3 | | 3 | | 3 |
| AD 11 | | 4 | | 2 | | 4 | | 7 | | 7 |
| AD 10 | | 3 | | 8 | | 3 | | 6 | | 6 |
| AD 9 | | 12 | | 5 | | 12 | | 9 | | 9 |
| AD 8 | | 9 | | 9 | | 9 | | 15 | | 15 |
| AD 7 | | 12 | | 13 | | 14 | | 15 | | 15 |
| AD 6 | | 10 | | 11 | | 10 | | 6 | | 6 |
| AD 5 | | 1 | | 4 | | 3 | | 5 | | 5 |
| AD TOTAL | | 59 | | 58 | | 63 | | 71 | | 71 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | 2 | | 1 | | 2 | | 3 | | 3 |
| AST 5 | | 4 | | 2 | | 4 | | 6 | | 6 |
| AST 4 | | 3 | | 6 | | 4 | | 4 | | 4 |
| AST 3 | | 3 | | 3 | | 3 | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 12 | | 12 | | 13 | | 13 | | 13 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | 1 | | 1 |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | 1 | | 1 |
| TOTAL | | 71 | | 70 | | 76 | | 85 | | 85 |
| GRAND TOTAL | | 71 | | 70 | | 76 | | 85 | | 85 |

2.1.2 Establishment plan posts (Fees and charges)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | | | 1 | | | | 1 | | 1 |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | 2 | | | | 2 | | 2 |
| AD 10 | | | | 4 | | | | 4 | | 4 |
| AD 9 | | | | 2 | | | | 2 | | 2 |
| AD 8 | | | | 5 | | | | 5 | | 5 |
| AD 7 | | | | 5 | | | | 7 | | 7 |
| AD 6 | | | | 5 | | | | 5 | | 5 |
| AD 5 | | | | | | 1 | | | | |
| AD TOTAL | | | | 24 | | 1 | | 26 | | 26 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | 1 | | | | 1 | | 1 |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | 1 | | | | 1 | | 1 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | | | 25 | | 1 | | 27 | | 27 |
| GRAND TOTAL | | | | 25 | | 1 | | 27 | | 27 |

2.1.3 Establishment plan posts (EU Contribution)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | 4 | | | | 4 | | 3 | | 3 |
| AD 12 | | 3 | | 4 | | 3 | | 3 | | 3 |
| AD 11 | | 4 | | | | 4 | | 5 | | 5 |
| AD 10 | | 3 | | 4 | | 3 | | 2 | | 2 |
| AD 9 | | 12 | | 3 | | 12 | | 7 | | 7 |
| AD 8 | | 9 | | 4 | | 9 | | 10 | | 10 |
| AD 7 | | 12 | | 8 | | 14 | | 8 | | 8 |
| AD 6 | | 10 | | 6 | | 10 | | 1 | | 1 |
| AD 5 | | 1 | | 4 | | 2 | | 5 | | 5 |
| AD TOTAL | | 59 | | 34 | | 62 | | 45 | | 45 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | 2 | | 1 | | 2 | | 3 | | 3 |
| AST 5 | | 4 | | 1 | | 4 | | 5 | | 5 |
| AST 4 | | 3 | | 6 | | 4 | | 4 | | 4 |
| AST 3 | | 3 | | 3 | | 3 | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 12 | | 11 | | 13 | | 12 | | 12 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | 1 | | 1 |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | 1 | | 1 |
| TOTAL | | 71 | | 45 | | 75 | | 58 | | 58 |
| GRAND TOTAL | | 71 | | 45 | | 75 | | 58 | | 58 |

2.2 External personnel

2.2.1 External personnel ACER

2.2.1.1 Contract Agents ACER

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 31 | 27 | 32 | 33 |
| Function Group III | 5 | 8 | 5 | 5 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 36 | 35 | 37 | 38 |

2.2.1.2 Seconded National Experts ACER

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 3 | 10 | 10 |

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | | 9 | 1 | 11 |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | | 9 | 1 | 11 |

2.2.2.2 Seconded National Experts (Fees and charges)

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | 1 | 4 | 5 |

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 31 | 18 | 31 | 22 |
| Function Group III | 5 | 8 | 5 | 5 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 36 | 26 | 36 | 27 |

2.2.3.2 Seconded National Experts (EU Contribution)

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 2 | 6 | 5 |

3 Financial Resources

3.1 Financial Resources ACER

3.1.1 Revenues ACER

3.1.1.1 General revenues ACER

| REVENUES | General revenues ACER | | | | |
|------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 8 771 500 | 9 650 169 | 11 273 866 | 11 273 866 | 16,83% |
| 2 EU CONTRIBUTION | 13 032 493 | 14 877 050 | 16 015 511 | 16 257 071 | 9,28% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 198 348 | 293 103 | 1 529 162 | 1 529 162 | 421,71% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 384 372 | 364 124 | 363 607 | 363 607 | -0,14% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 384 372 | 364 124 | 363 607 | 363 607 | -0,14% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 22 188 365 | 24 891 343 | 27 652 984 | 27 894 544 | 12,07% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements ACER

| REVENUES | Additional EU funding: contribution, grant and service level agreements ACER | | | | |
|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.1.2 Expenditure ACER

3.1.2.1 Commitment appropriations ACER

| EXPENDITURE | Commitment appropriations ACER | | | | |
|------------------------------------------------------------------|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 11 210 693 | 12 685 447 | 13 902 899 | 14 392 082 | 13,45% |
| Salaries & allowances | 10 249 104 | 11 137 662 | 12 112 966 | 12 602 149 | 13,15% |
| - <i>Of which establishment plan posts</i> | 8 140 225 | 8 555 272 | 9 445 496 | 9 934 679 | 16,12% |
| - <i>Of which external personnel</i> | 2 108 879 | 2 582 390 | 2 667 470 | 2 667 470 | 3,29% |
| Expenditure relating to Staff recruitment | 7 000 | 8 800 | 11 200 | 11 200 | 27,27% |
| Employer's pension contributions | | | | | |
| Mission expenses | 24 205 | 125 200 | 130 200 | 130 200 | 3,99% |
| Socio-medical infrastructure | 50 797 | 81 660 | 71 009 | 71 009 | -13,04% |
| Training | 125 620 | 260 800 | 348 400 | 348 400 | 33,59% |
| External Services | 515 436 | 781 625 | 928 824 | 928 824 | 18,83% |
| Receptions, events and representation | 11 272 | 16 500 | 16 500 | 16 500 | 0,00% |
| Social welfare | 2 259 | 26 000 | 31 600 | 31 600 | 21,54% |
| Other Staff related expenditure | 225 000 | 247 200 | 252 200 | 252 200 | 2,02% |
| Title 2 - Infrastructure and operating expenditure | 3 607 364 | 4 055 204 | 4 237 435 | 4 237 435 | 4,49% |
| Rental of buildings and associated costs | 1 314 522 | 1 551 489 | 1 630 145 | 1 630 145 | 5,07% |
| Information, communication technology and data processing | 729 413 | 810 500 | 811 000 | 811 000 | 0,06% |
| Movable property and associated costs | 115 896 | 140 135 | 125 300 | 125 300 | -10,59% |
| Current administrative expenditure | 165 493 | 230 150 | 210 150 | 210 150 | -8,69% |
| Postage / Telecommunications | 42 505 | 75 800 | 75 800 | 75 800 | 0,00% |
| Meeting expenses | 940 520 | 802 130 | 940 040 | 940 040 | 17,19% |
| Running costs in connection with operational activities | 299 015 | 445 000 | 445 000 | 445 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 370 308 | 8 150 692 | 9 512 650 | 9 512 650 | 16,71% |
| Meetings | 22 905 | 161 500 | 197 500 | 197 500 | 22,29% |
| REMIT operations | 6 029 485 | 5 560 000 | 6 772 000 | 6 772 000 | 21,80% |
| Translation expenses | 240 000 | 325 000 | 291 450 | 291 450 | -10,32% |
| Website, information and publication | 942 777 | 1 719 492 | 1 844 000 | 1 844 000 | 7,24% |
| Expert consultations | 135 141 | 384 700 | 407 700 | 407 700 | 5,98% |
| TOTAL | 22 188 365 | 24 891 343 | 27 652 984 | 28 142 167 | 13,06% |

3.1.2.2 Payment appropriations ACER

| EXPENDITURE | Payment appropriations ACER | | | | |
|-----------------------------------------------------------|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 10 791 522 | 12 685 447 | 13 902 899 | 14 144 459 | 11,50% |
| Salaries & allowances | 10 006 601 | 11 137 662 | 12 112 966 | 12 354 525 | 10,93% |
| - <i>Of which establishment plan posts</i> | 7 897 722 | 8 555 272 | 9 445 496 | 9 687 055 | 13,23% |
| - <i>Of which external personnel</i> | 2 108 879 | 2 582 390 | 2 667 470 | 2 667 470 | 3,29% |
| Expenditure relating to Staff recruitment | 3 797 | 8 800 | 11 200 | 11 200 | 27,27% |
| Employer's pension contributions | | | | | |
| Mission expenses | 16 653 | 125 200 | 130 200 | 130 200 | 3,99% |
| Socio-medical infrastructure | 24 088 | 81 660 | 71 009 | 71 009 | -13,04% |
| Training | 63 878 | 260 800 | 348 400 | 348 400 | 33,59% |
| External Services | 475 158 | 781 625 | 928 824 | 928 824 | 18,83% |
| Receptions, events and representation | 10 361 | 16 500 | 16 500 | 16 501 | 0,01% |
| Social welfare | 2 259 | 26 000 | 31 600 | 31 600 | 21,54% |
| Other Staff related expenditure | 188 727 | 247 200 | 252 200 | 252 200 | 2,02% |
| Title 2 - Infrastructure and operating expenditure | 2 607 546 | 4 055 204 | 4 237 435 | 4 237 435 | 4,49% |
| Rental of buildings and associated costs | 1 191 176 | 1 551 489 | 1 630 145 | 1 630 145 | 5,07% |
| Information, communication technology and data processing | 374 716 | 810 500 | 811 000 | 811 000 | 0,06% |
| Movable property and associated costs | 65 109 | 140 135 | 125 300 | 125 300 | -10,59% |
| Current administrative expenditure | 136 463 | 230 150 | 210 150 | 210 150 | -8,69% |
| Postage / Telecommunications | 41 235 | 75 800 | 75 800 | 75 800 | 0,00% |
| Meeting expenses | 667 265 | 802 130 | 940 040 | 940 040 | 17,19% |
| Running costs in connection with operational activities | 131 582 | 445 000 | 445 000 | 445 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 473 891 | 8 150 692 | 9 512 650 | 9 512 650 | 16,71% |
| Meetings | 35 641 | 161 500 | 190 500 | 190 500 | 17,96% |
| REMIT operations | 1 990 799 | 5 560 000 | 6 772 000 | 6 772 000 | 21,80% |
| Translation expenses | 86 502 | 325 000 | 298 450 | 298 450 | -8,17% |
| Website, information and publication | 43 719 | 384 700 | 1 314 000 | 1 314 000 | 241,56% |
| Expert consultations | 317 230 | 1 719 492 | 937 700 | 937 700 | -45,47% |
| TOTAL | 15 872 959 | 24 891 343 | 27 652 984 | 27 894 544 | 12,07% |

3.2 Financial Resources (Fees and charges)

3.2.1 Revenues (Fees and charges)

3.2.1.1 General revenues (Fees and charges)

| REVENUES | General revenues (Fees and charges) | | | | |
|------------------------------------------------------------------------------------------------------------------|-------------------------------------|------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 8 771 500 | 9 650 169 | 11 273 866 | 11 273 866 | 16,83% |
| 2 EU CONTRIBUTION | | | | | |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 8 771 500 | 9 650 169 | 11 273 866 | 11 273 866 | 16,83% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees and charges)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees and charges) | | | | |
|------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

| EXPENDITURE | Commitment appropriations (Fees and charges) | | | | |
|------------------------------------------------------------------|----------------------------------------------|------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 2 861 587 | 3 411 148 | 3 998 668 | 3 998 668 | 17,22% |
| Salaries & allowances | 2 539 110 | 3 289 644 | 3 724 302 | 3 724 302 | 13,21% |
| - <i>Of which establishment plan posts</i> | 2 020 963 | 2 475 738 | 2 892 817 | 2 892 817 | 16,85% |
| - <i>Of which external personnel</i> | 518 147 | 813 906 | 831 485 | 831 485 | 2,16% |
| Expenditure relating to Staff recruitment | 2 081 | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 15 102 | 25 589 | 21 664 | 21 664 | -15,34% |
| Training | 37 644 | 82 475 | 110 570 | 110 570 | 34,06% |
| External Services | 196 735 | | 126 867 | 126 867 | 100% |
| Receptions, events and representation | 3 351 | 5 218 | 5 236 | 5 236 | 0,34% |
| Social welfare | 672 | 8 222 | 10 029 | 10 029 | 21,98% |
| Other Staff related expenditure | 66 892 | | | | |
| Title 2 - Infrastructure and operating expenditure | 802 522 | 267 021 | 330 198 | 330 198 | 23,66% |
| Rental of buildings and associated costs | 395 574 | 267 021 | 330 198 | 330 198 | 23,66% |
| Information, communication technology and data processing | 219 499 | | | | |
| Movable property and associated costs | 34 876 | | | | |
| Current administrative expenditure | 49 801 | | | | |
| Postage / Telecommunications | 12 791 | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | 89 981 | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 107 391 | 5 972 000 | 6 945 000 | 6 945 000 | 16,29% |
| Meetings | 4 076 | 57 000 | 63 000 | 63 000 | 10,53% |
| REMIT operations | 4 669 905 | 5 340 000 | 6 352 000 | 6 352 000 | 18,95% |
| Translation expenses | | | | | |
| Website, information and publication | 433 410 | 575 000 | 530 000 | 530 000 | -7,83% |
| Expert consultations | | | | | |
| TOTAL | 8 771 500 | 9 650 169 | 11 273 866 | 11 273 866 | 16,83% |

3.2.2.2 Payment appropriations (Fees and charges)

| EXPENDITURE | Payment appropriations (Fees and charges) | | | | |
|------------------------------------------------------------------|-------------------------------------------|------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 2 861 587 | 3 411 149 | 3 998 668 | 3 998 668 | 17,22% |
| Salaries & allowances | 2 539 110 | 3 289 645 | 3 724 301 | 3 724 301 | 13,21% |
| - <i>Of which establishment plan posts</i> | 2 020 963 | 2 475 740 | 2 892 816 | 2 892 816 | 16,85% |
| - <i>Of which external personnel</i> | 518 147 | 813 905 | 831 485 | 831 485 | 2,16% |
| Expenditure relating to Staff recruitment | 2 081 | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 15 102 | 25 589 | 21 664 | 21 664 | -15,34% |
| Training | 37 644 | 82 475 | 110 570 | 110 570 | 34,06% |
| External Services | 196 735 | | 126 867 | 126 867 | 100% |
| Receptions, events and representation | 3 351 | 5 218 | 5 237 | 5 237 | 0,36% |
| Social welfare | 672 | 8 222 | 10 029 | 10 029 | 21,98% |
| Other Staff related expenditure | 66 892 | | | | |
| Title 2 - Infrastructure and operating expenditure | 802 522 | 267 020 | 330 198 | 330 198 | 23,66% |
| Rental of buildings and associated costs | 395 574 | 267 020 | 330 198 | 330 198 | 23,66% |
| Information, communication technology and data processing | 219 499 | | | | |
| Movable property and associated costs | 34 876 | | | | |
| Current administrative expenditure | 49 801 | | | | |
| Postage / Telecommunications | 12 791 | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | 89 981 | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 262 893 | 5 972 000 | 6 945 000 | 6 945 000 | 16,29% |
| Meetings | 3 684 | 57 000 | 63 000 | 63 000 | 10,53% |
| REMIT operations | 1 990 799 | 5 340 000 | 6 352 000 | 6 352 000 | 18,95% |
| Translation expenses | | | | | |
| Website, information and publication | | | | | |
| Expert consultations | 268 410 | 575 000 | 530 000 | 530 000 | -7,83% |
| TOTAL | 5 927 002 | 9 650 169 | 11 273 866 | 11 273 866 | 16,83% |

3.2.3 Budget Outturn (Fees and charges)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.00

3.3 Financial Resources (EU Contribution)

3.3.1 Revenues (EU Contribution)

3.3.1.1 General revenues (EU Contribution)

| REVENUES | General revenues (EU Contribution) | | | | |
|------------------------------------------------------------------------------------------------------------------|------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 13 032 493 | 14 877 050 | 16 015 511 | 16 257 071 | 9,28% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 198 348 | 293 103 | 1 529 162 | 1 529 162 | 421,71% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 384 372 | 364 124 | 363 607 | 363 607 | -0,14% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 384 372 | 364 124 | 363 607 | 363 607 | -0,14% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 13 416 865 | 15 241 174 | 16 379 118 | 16 620 678 | 9,05% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (EU Contribution)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (EU Contribution) | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.3.2 Expenditure (EU Contribution)

3.3.2.1 Commitment appropriations (EU Contribution)

| EXPENDITURE | Commitment appropriations (EU Contribution) | | | | |
|------------------------------------------------------------------|---------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 349 106 | 9 274 299 | 9 904 231 | 10 393 414 | 12,07% |
| Salaries & allowances | 7 709 994 | 7 848 018 | 8 388 664 | 8 877 847 | 13,12% |
| - <i>Of which establishment plan posts</i> | 6 119 262 | 6 079 534 | 6 552 679 | 7 041 862 | 15,83% |
| - <i>Of which external personnel</i> | 1 590 732 | 1 768 484 | 1 835 985 | 1 835 985 | 3,82% |
| Expenditure relating to Staff recruitment | 4 919 | 8 800 | 11 200 | 11 200 | 27,27% |
| Employer's pension contributions | | | | | |
| Mission expenses | 24 205 | 125 200 | 130 200 | 130 200 | 3,99% |
| Socio-medical infrastructure | 35 695 | 56 071 | 49 345 | 49 345 | -12,00% |
| Training | 87 976 | 178 325 | 237 830 | 237 830 | 33,37% |
| External Services | 318 701 | 781 625 | 801 957 | 801 957 | 2,60% |
| Receptions, events and representation | 7 921 | 11 282 | 11 264 | 11 264 | -0,16% |
| Social welfare | 1 587 | 17 778 | 21 571 | 21 571 | 21,34% |
| Other Staff related expenditure | 158 108 | 247 200 | 252 200 | 252 200 | 2,02% |
| Title 2 - Infrastructure and operating expenditure | 2 804 842 | 3 788 183 | 3 907 237 | 3 907 237 | 3,14% |
| Rental of buildings and associated costs | 918 948 | 1 284 468 | 1 299 947 | 1 299 947 | 1,21% |
| Information, communication technology and data processing | 509 914 | 810 500 | 811 000 | 811 000 | 0,06% |
| Movable property and associated costs | 81 020 | 140 135 | 125 300 | 125 300 | -10,59% |
| Current administrative expenditure | 115 692 | 230 150 | 210 150 | 210 150 | -8,69% |
| Postage / Telecommunications | 29 714 | 75 800 | 75 800 | 75 800 | 0,00% |
| Meeting expenses | 940 520 | 802 130 | 940 040 | 940 040 | 17,19% |
| Running costs in connection with operational activities | 209 034 | 445 000 | 445 000 | 445 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 262 917 | 2 178 692 | 2 567 650 | 2 567 650 | 17,85% |
| Meetings | 18 829 | 104 500 | 134 500 | 134 500 | 28,71% |
| REMIT operations | 1 359 580 | 220 000 | 420 000 | 420 000 | 90,91% |
| Translation expenses | 240 000 | 325 000 | 291 450 | 291 450 | -10,32% |
| Website, information and publication | 509 367 | 1 144 492 | 1 314 000 | 1 314 000 | 14,81% |
| Expert consultations | 135 141 | 384 700 | 407 700 | 407 700 | 5,98% |
| TOTAL | 13 416 865 | 15 241 174 | 16 379 118 | 16 868 301 | 10,68% |

3.3.2.2 Payment appropriations (EU Contribution)

| EXPENDITURE | Payment appropriations (EU Contribution) | | | | |
|------------------------------------------------------------------|------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 929 935 | 9 274 298 | 9 904 231 | 10 145 791 | 9,40% |
| Salaries & allowances | 7 467 491 | 7 848 017 | 8 388 665 | 8 630 224 | 9,97% |
| - <i>Of which establishment plan posts</i> | 5 876 759 | 6 079 532 | 6 552 680 | 6 794 239 | 11,76% |
| - <i>Of which external personnel</i> | 1 590 732 | 1 768 485 | 1 835 985 | 1 835 985 | 3,82% |
| Expenditure relating to Staff recruitment | 1 716 | 8 800 | 11 200 | 11 200 | 27,27% |
| Employer's pension contributions | | | | | |
| Mission expenses | 16 653 | 125 200 | 130 200 | 130 200 | 3,99% |
| Socio-medical infrastructure | 8 986 | 56 071 | 49 345 | 49 345 | -12,00% |
| Training | 26 234 | 178 325 | 237 830 | 237 830 | 33,37% |
| External Services | 278 423 | 781 625 | 801 957 | 801 957 | 2,60% |
| Receptions, events and representation | 7 010 | 11 282 | 11 263 | 11 264 | -0,16% |
| Social welfare | 1 587 | 17 778 | 21 571 | 21 571 | 21,34% |
| Other Staff related expenditure | 121 835 | 247 200 | 252 200 | 252 200 | 2,02% |
| Title 2 - Infrastructure and operating expenditure | 1 805 024 | 3 788 184 | 3 907 237 | 3 907 237 | 3,14% |
| Rental of buildings and associated costs | 795 602 | 1 284 469 | 1 299 947 | 1 299 947 | 1,21% |
| Information, communication technology and data processing | 155 217 | 810 500 | 811 000 | 811 000 | 0,06% |
| Movable property and associated costs | 30 233 | 140 135 | 125 300 | 125 300 | -10,59% |
| Current administrative expenditure | 86 662 | 230 150 | 210 150 | 210 150 | -8,69% |
| Postage / Telecommunications | 28 444 | 75 800 | 75 800 | 75 800 | 0,00% |
| Meeting expenses | 667 265 | 802 130 | 940 040 | 940 040 | 17,19% |
| Running costs in connection with operational activities | 41 601 | 445 000 | 445 000 | 445 000 | 0,00% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 210 998 | 2 178 692 | 2 567 650 | 2 567 650 | 17,85% |
| Meetings | 31 957 | 104 500 | 127 500 | 127 500 | 22,01% |
| REMIT operations | | 220 000 | 420 000 | 420 000 | 90,91% |
| Translation expenses | 86 502 | 325 000 | 298 450 | 298 450 | -8,17% |
| Website, information and publication | 43 719 | 384 700 | 1 314 000 | 1 314 000 | 241,56% |
| Expert consultations | 48 820 | 1 144 492 | 407 700 | 407 700 | -64,38% |
| TOTAL | 9 945 957 | 15 241 174 | 16 379 118 | 16 620 678 | 9,05% |

3.3.3 Budget Outturn (EU Contribution)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1.529.161,67.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the additional human resources as set out in the Legislative Financial Statements (LFS) accompanying the Commission Opinion of 5 October 2021 on the draft programming document of the European Union Agency for the Cooperation of Energy Regulators for the period 2022 – 2024 and on the sufficiency of the financial and human resources available to the Agency (C(2021) 7024 final) and the LFS accompanying the Commission proposals of 15 December 2021 for a Regulation/Directive on (common rules for) the internal markets for/in renewable and natural gases and for/in hydrogen (recast) (COM(2021) 803 final and COM(2021) 804 final).

Financial Resources

The financial resources requested by ACER are in line with the MFF and include the necessary increase of the EU contribution required for covering the costs of the added human resources.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

The Agency is expecting for the year 2023 two additional temporary agents (AD5), one Monitoring Officer for the Market and Integrity Department and one Conduct Officer for the Market Surveillance and Conduct Department.

4.1.1.2 Number of staff requested (EU Contribution)

With regard to the resources allocated by the EC proposal for the year 2023-2027, and in particular for the posts allocated in 2023 covered by the EU Contribution, the Agency's approach is to have a multi-year perspective, starting with first two waves of recruitment (in 2022 and 2023) and developing a flexible approach for the future years, within the yearly priorities linked to strategy cycle. The goal is to respect the foreseen balance between REMIT-fee and non-REMIT-fee financed positions and to provide the necessary support for the legal services. The agency also aims to establish a flexible approach to the staffing of the Seconded National Experts' positions, as granted by the budgetary authorities in 2022. For 2023, the agency will consider allocating staff covered by the EU Contribution to the Electricity Department and possibly to the IGR Department, in light of a possible need that depends on the implementation of the Ten-E Regulation and the upcoming Gas Directive.

With regard to the Gas Directive, 5 additional posts are planned to be allocated to the Agency in the framework of the so-called Gas Package which aims to decarbonise the EU gas sector and align EU gas legislation with the bloc's new energy transition targets. Since the Agency's tasks within the gas package are yet to be determined, and the recruitment plan for 2022 implemented, the Agency will present a full breakdown of all these positions for 2023 following a regular assessment of the staffing plan.

4.1.2 Vacancy rate as of end 2021

4.1.2.1 Vacancy rate as of end 2021 (Fees and charges)

At the end of 2021 the vacancy rate for the Agency's temporary agents was 3.03%.

4.1.2.2 Vacancy rate as of end 2021 (EU Contribution)

At the end of 2021 the vacancy rate for the Agency's temporary agents was 5.12%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

It is estimated that all recruitment procedures started during 2022 would be finalised in 2023 and the occupancy rate would be 100% at the beginning of the year.

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (EU Contribution)

It is estimated that all recruitment procedures started during 2022 would be finalised in 2023 and the occupancy rate would be 100% at the beginning of the year.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The Agency used for the budgetary year 2023 a 2.5% increase in salaries due to indexation and correction coefficient for Slovenia.

4.1.4.2 Salary assumption for calculating salary line (% applied) (EU Contribution)

The Agency used for the budgetary year 2023 a 2.5% increase in salaries due to indexation and correction coefficient for Slovenia.

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

Correction coefficient for Slovenia is at 84.9%.

4.1.5.2 Correction coefficient used (EU Contribution)

Correction coefficient for Slovenia is at 84.9% and for the staff within the liaison office in Brussels a correction coefficient of 100% is applied.

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

N/A

4.1.6.2 Exchange rate used (if applicable) (EU Contribution)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charges)

The Agency will cover the REMIT related IT expenditure and related expenditure for staff employed within the Market Integrity and Transparency and Market Surveillance and Conduct departments from fees.

As compared to the previous year more of the related expenses, such as training expenditure and external services can now be covered by fees plus the cost of the additional resources and the normal level of indexation and reclassification.

4.2.1.2 Title 1 (EU Contribution)

Compared to 2022, there is a 5.12% increase in financial resources of Title 1 to be covered by the EU Contribution due to the additional human resources requested to ensure the smooth operations of the Agency.

The agency will respect the foreseen balance between REMIT-fee and non-REMIT-fee financed positions and ensure the necessary support for the legal services. The agency will follow a flexible approach to the staffing of the Seconded National Experts' positions, as granted by the budgetary authorities in 2022.

With regard to the Gas Directive, 5 additional posts are planned to be allocated to the Agency in the framework of the so-called Gas Package which aims to decarbonise the EU gas sector and align EU gas legislation with the bloc's new energy transition targets.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

There is a 23.66% increase within Title 2 mainly due to the allocated share of the premises' costs due to the new staff resources requested and covered by fees and the expected indexation of the rental price.

4.2.2.2 Title 2 (EU Contribution)

There is an overall 3.14% increase in the financial resources under Title 2 as compared to 2022 mainly due to increased costs of the Agency' Board meetings as they are expected to take place physically once pandemics ends.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

There is an increase of 16.29% within Title 3 mainly due to investments in the REMIT IT infrastructure and needed software to increase the capacity of the system and to improve the services provided to the Registered Reporting Mechanisms as the number of transactions reported shows an increasing trend (on average 7.3m transactions per day in 2021 as compared to 6m in 2020).

4.2.3.2 Title 3 (EU Contribution)

There is an increase of 17.85% in the expenditure within Title 3 mainly due to specific projects, such as the development and implementation of Activity Based Budgeting for the Agency.

The cost of operational missions and communications are expected to increase due to the increased number of staff.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

4.3.2 Ad hoc grants and delegation agreements (EU Contribution)

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-----------------------------------|-------------------------------------------|---------------------|---------------|-----------------|-----------------|--------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | IR3, multi-tenant office building | Trg Republike 3, 1000 Ljubljana, Slovenia | 3 247,69 | 679,29 | 3 926,98 | 762 154 | 01/02/2019 31/01/2024 | Rent | Not applicable | |
| TOTAL | | | 3 247,69 | 679,29 | 3 926,98 | 762 154 | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

In 2023 the Agency will be running a procurement procedure for its new rental contract as the current one expires by 31 January 2024.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

As established in the Seat Agreement (Article 13) between the Slovenian Government and the Agency, the Government shall establish a European School within the public school network in Slovenia.

Progress was achieved in 2018, as the setting of the Accredited European School of Ljubljana (AES) was decided and the school became operational as of 3 September 2018, with entry grades at the P1 levels (corresponding to Grade 1 and 2 of Elementary School). The financial agreement with the European Commission was signed in the course of fall 2019. Since for the time-being the AES offers classes at the P1-P5 levels and S1-S3 level. The Agency's staff members whose children are not eligible for those classes, are obliged to send their children to international schools. Until the AES offers a complete education cycle at the pupil's level, and given the school fees charged by the international schools in Ljubljana, there was a need to address the unequal conditions, to which the staff of the Agency is subject. To address this, the Administrative Board adopted Decision AB no 5/2018 of 7 June 2018 establishing measures to support the staff of the Agency with regard to kindergarten and school fees. In line with the decision, the Agency provides financial support to afore mentioned staff members, until the AES offers a complete education cycle at pupil's level. This approach is in line with the eligibility for the education allowance, as applied by the European Commission's Office for the Administration and Payment of Individual Entitlements.

5.3 Evaluation

The Agency has so far been subject to one external evaluation from the Commission, as foreseen in the Founding Regulation (FR), in 2014. The recast FR foresees that by 5 July 2024, and every five years thereafter, the Commission, with the assistance of an independent external expert, shall carry out an evaluation to assess ACER's performance in relation to its objectives, mandate and tasks. Now that most provisions of the Network Codes and Guidelines are in force, the Agency has begun monitoring its implementation and the effects they have on market functioning. The Agency developed indicators for the ex-post evaluations of the network codes. The proposed indicators should not be used in isolation to draw conclusions regarding market impacts of NCs and GLs, but rather be looked at in combination and interpreted in the light of market fundamentals. These indicators are used by ACER in its annual Market Monitoring Report to measure the economic impact of NCs/GLs and its use will gradually increase over time. Further details are available on the Agency's website at <http://www.acer.europa.eu/Media/News/Pages/ACER-publishes-study-on-how-best-monitor-the-effects-of-the-implementation-of-the-network-codes.aspx>.

The Agency has an internal monitoring system based on a 'traffic lights' approach through which Key Performance Indicators - KPIs (see below) are monitored.

5.4 Privileges and immunities

The Protocol on the Privileges and Immunities of the European Union governs the status of the European Institutions and their staff in relation to the Member States. Thus, the protocol applies in full to the Agency and its staff.

The relations between the Agency and its host country, as well as particular rules and privileges applicable to Agency staff in Slovenia, are governed by the Seat Agreement signed between the Slovenian Government and the Agency for the Cooperation of Energy Regulators, in Ljubljana on 26 November 2010.

| Agency privileges | Privileges granted to staff | |
|--------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Inviolability of premises and archives - Facilitations for communication Security - Direct exemption from taxes | <ul style="list-style-type: none"> - Staff immunity from Slovenian jurisdiction regarding acts carried out in the official capacity - Exemption from national taxes on salaries and wages - Staff exemption from import taxes and duties on personal effects in the first year - Exemption from social security contribution towards Slovenian schemes - Director and Heads of Departments holding Diplomatic status | The government of Slovenia established a European School of Ljubljana (ESL) with two language sections – EN and SI for P1 and P2 grades. The Agency will cover the school fees to the ESL once a financing agreement is signed with the European Commission after the accreditation of the ESL. |

2.1.5 Office of the Body of the European Regulators for Electronic Communications – BEREC

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The Agency was established by Regulation (EU) 2018/1971 of the European Parliament and of the Council of 11 December 2018 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Agency for Support for BEREC (BEREC Office), amending Regulation (EU) 2015/2120 and repealing Regulation (EC) No 1211/2009. The designation 'BEREC Office' is used as the Agency's short name. | 25/11/2009 11/12/2018 | <p>According to Articles 5, 39, 40, 41 and 46 of the founding Regulation, the Agency has the following tasks: to provide professional and administrative support to BEREC, especially in fulfilling its regulatory tasks as defined in Article 4 of the Regulation; to collect and ensure exchange of information from NRAs, EC and other competent bodies (including in a standard reporting format) in relation to the regulatory tasks assigned to BEREC; to produce regular draft reports on specific aspects of the European e-communications market, such as roaming and benchmarking reports; to reuse any relevant existing publicly available information to avoid duplication of reporting obligations by applying appropriate means; to disseminate regulatory best practices among NRAs; to assist BEREC in establishing and maintaining registries and databases, such as for general authorisations, numbering resources, etc.; to establish and manage an information and communication system with at least the following functions:</p> <ul style="list-style-type: none"> a) a common platform for the exchange of information, between BEREC, the EC and NRAs; b) a dedicated interface for requests for information and notification of those requests identified in Article 40; c) a platform for early identification of the need for coordination between NRAs. <p>to assist in the work of the Board of Regulators (BoR, incl. by content-related support; to assist in setting up BEREC working groups (WGs), incl. by contributing to the regulatory work of those groups; to adopt and apply security rules equivalent to the EC's Decisions (EU, Euratom) 2015/443 and (EU, Euratom) 2015/444 for protecting EU classified information and sensitive non-classified information; to ensure compliance with Regulation No 1 determining the languages to be used by the European Economic Community; to carry out other tasks assigned to it by the founding Regulation or by other legal acts of the Union.</p> |

1.2 Seat

Riga, Latvia

1.3 Budget Line

02 10 05 : Body of European Regulators for Electronic Communications (BEREC) — Office

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 13 | 12 | 92,31% | 13 | 13 |
| Assistants (AST) | 3 | 3 | 100,00% | 3 | 3 |
| Assistants/Secretaries (AST/SC) | | | | | |

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| ESTABLISHMENT PLAN POSTS | 16 | 15 | 93,75% | 16 | 16 |
| Contract Agents (CA) | 13 | 21 | 161,54% | 13 | 13 |
| Seconded National Experts (SNE) | 6 | 9 | 150,00% | 6 | 6 |
| TOTAL STAFF | 35 | 45 | 128,57% | 35 | 35 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 7 428 456 | 7 697 265 |
| Other Revenue | p.m. | p.m. |
| TOTAL REVENUES | 7 428 456 | 7 697 265 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 854 634 | 3 854 634 | 4 128 240 | 4 128 240 |
| Title 2 - Infrastructure and operating expenditure | 1 166 600 | 1 166 600 | 1 168 000 | 1 168 000 |
| Title 3 - Operational expenditure | 2 407 222 | 2 407 222 | 2 401 025 | 2 401 025 |
| TOTAL EXPENDITURE | 7 428 456 | 7 428 456 | 7 697 265 | 7 697 265 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | 1 | | 1 | | 1 |
| AD 11 | | 1 | | 1 | | 1 | | 2 | | 1 |
| AD 10 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 9 | | 2 | | 3 | | 3 | | 2 | | 3 |
| AD 8 | | 2 | | | | 2 | | 2 | | 2 |
| AD 7 | | 2 | | | | 1 | | 2 | | 2 |
| AD 6 | | 2 | | 5 | | 2 | | 1 | | 1 |
| AD 5 | | 1 | | 1 | | | | | | |
| AD TOTAL | | 13 | | 12 | | 13 | | 13 | | 13 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | 1 | | 1 | | 1 |
| AST 6 | | 1 | | | | 1 | | 1 | | 1 |
| AST 5 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 4 | | 1 | | 2 | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 3 | | 3 | | 3 | | 3 | | 3 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 16 | | 15 | | 16 | | 16 | | 16 |
| GRAND TOTAL | | 16 | | 15 | | 16 | | 16 | | 16 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 10 | 12 | 10 | 10 |
| Function Group III | 2 | 5 | 2 | 2 |
| Function Group II | 1 | 4 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 13 | 21 | 13 | 13 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 6 | 9 | 6 | 6 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 7 282 800 | 7 428 456 | 7 577 000 | 7 697 265 | 3,62% |
| - Of which assigned revenues deriving from previous years' surpluses | 32 419 | 90 754 | 49 771 | 49 771 | -45,16% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 58 557 | p.m. | p.m. | p.m. | |
| - Of which EEA/EFTA (excl. Switzerland) | | p.m. | p.m. | p.m. | |
| - Of which candidate countries | 58 557 | p.m. | p.m. | p.m. | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 7 341 357 | 7 428 456 | 7 577 000 | 7 697 265 | 3,62% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 3 804 478 | 3 854 634 | 4 008 000 | 4 128 240 | 7,10% |
| Salaries & allowances | 2 789 930 | 3 112 834 | 3 210 000 | 3 330 240 | 6,98% |
| - <i>Of which establishment plan posts</i> | 1 447 658 | 1 610 241 | 1 650 000 | 1 711 806 | 6,31% |
| - <i>Of which external personnel</i> | 1 342 272 | 1 502 593 | 1 560 000 | 1 618 434 | 7,71% |
| Expenditure relating to Staff recruitment | 1 014 | 10 000 | 12 000 | 12 000 | 20,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 50 000 | 150 000 | 200 000 | 200 000 | 33,33% |
| Socio-medical infrastructure | 8 321 | 11 000 | 12 000 | 12 000 | 9,09% |
| Training | 78 236 | 102 000 | 104 000 | 104 000 | 1,96% |
| External Services | 862 160 | 448 800 | 450 000 | 450 000 | 0,27% |
| Receptions, events and representation | 14 817 | 20 000 | 20 000 | 20 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 208 424 | 1 166 600 | 1 168 000 | 1 168 000 | 0,12% |
| Rental of buildings and associated costs | 217 450 | 250 000 | 255 000 | 255 000 | 2,00% |
| Information, communication technology and data processing | 597 520 | 512 600 | 530 000 | 530 000 | 3,39% |
| Movable property and associated costs | 99 496 | 100 000 | 70 000 | 70 000 | -30,00% |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 319 | 3 000 | 3 000 | 3 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 65 921 | 35 000 | 40 000 | 40 000 | 14,29% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 227 718 | 266 000 | 270 000 | 270 000 | 1,50% |
| Title 3 - Operational expenditure | 2 325 912 | 2 407 222 | 2 401 000 | 2 401 025 | -0,26% |
| Support to implementation of BEREC WP | 387 154 | 653 000 | 655 000 | 655 000 | 0,31% |
| Other support activities to BEREC and NRAs | 1 938 758 | 1 754 222 | 1 746 000 | 1 746 025 | -0,47% |
| TOTAL | 7 338 814 | 7 428 456 | 7 577 000 | 7 697 265 | 3,62% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------------|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 3 804 478 | 3 854 634 | 4 008 000 | 4 128 240 | 7,10% |
| Salaries & allowances | 2 789 930 | 3 112 834 | 3 210 000 | 3 330 240 | 6,98% |
| - <i>Of which establishment plan posts</i> | 1 447 658 | 1 610 241 | 1 650 000 | 1 711 806 | 6,31% |
| - <i>Of which external personnel</i> | 1 342 272 | 1 502 593 | 1 560 000 | 1 618 434 | 7,71% |
| Expenditure relating to Staff recruitment | 1 014 | 10 000 | 12 000 | 12 000 | 20,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 50 000 | 150 000 | 200 000 | 200 000 | 33,33% |
| Socio-medical infrastructure | 8 321 | 11 000 | 12 000 | 12 000 | 9,09% |
| Training | 78 236 | 102 000 | 104 000 | 104 000 | 1,96% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 862 160 | 448 800 | 450 000 | 450 000 | 0,27% |
| Receptions, events and representation | 14 817 | 20 000 | 20 000 | 20 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 208 424 | 1 166 600 | 1 168 000 | 1 168 000 | 0,12% |
| Rental of buildings and associated costs | 217 450 | 250 000 | 255 000 | 255 000 | 2,00% |
| Information, communication technology and data processing | 597 520 | 512 600 | 530 000 | 530 000 | 3,39% |
| Movable property and associated costs | 99 496 | 100 000 | 70 000 | 70 000 | -30,00% |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 319 | 3 000 | 3 000 | 3 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 65 921 | 35 000 | 40 000 | 40 000 | 14,29% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 227 718 | 266 000 | 270 000 | 270 000 | 1,50% |
| Title 3 - Operational expenditure | 2 325 912 | 2 407 222 | 2 401 000 | 2 401 025 | -0,26% |
| Support to implementation of BEREC WP | 387 154 | 653 000 | 655 000 | 655 000 | 0,31% |
| Other support activities to BEREC and NRAs | 1 938 758 | 1 754 222 | 1 746 000 | 1 746 025 | -0,47% |
| TOTAL | 7 338 814 | 7 428 456 | 7 577 000 | 7 697 265 | 3,62% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 49 771.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the request for stable Human Resources (same level as in 2022).

Financial Resources

The financial contribution requested is in line with the Financial Programming.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

According to the founding Regulation (EU) 2018/1971, which entered into force in December 2018, the BEREC Office has a new enhanced mandate including new tasks that implemented by the end of 2020, with the exception of those related to processing of classified information. Although no new tasks are expected to be assigned to the Agency in the period 2023-2025, the Agency foresees a growth of existing tasks in several areas. Since 2019 the BEREC Office operates with 16 TA posts in the establishment plan which is below the number of posts that the Agency considers necessary.

Since 2020 the missing capacities are covered by external staff (CAs, SNEs) and structural service providers. Despite the completion of a staffing bottom-up exercise in 2021 to check and confirm the minimum necessary competencies and mass of staff at the Agency, no new posts are required for 2023- 2025. The objective would be to ensure stability and development of the existing job profiles and to maintain the total size of the agency of 47 staff (16 establishment plan posts and 31 external staff).

However, some recent changes in relevant EU legislation, will add further duties to BEREC and consequently to the BEREC Office. These changes may require re-consideration of the resource allocation and prioritisation during 2023.

4.1.2 Vacancy rate as of end 2021

Administrators (AD): 7.69%; Assistants (AST): 0% ; ESTABLISHMENT PLAN POSTS: 6.25% ; Contract Agents (CA): 4.55% ; Seconded National Experts (SNE): 0% ; TOTAL STAFF: 4.26%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

N/A

4.1.5 Correction coefficient used

80.0% (as of 01.07.2021)

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 covers the expenditure for staff for the programming period and associated costs, incl. adjustments of the automatic step, possible reclassifications, potential impact of indexation and changes in the correction coefficient. Title 1 also covers the prices for external services (e.g. services provided by the European Commission, temporary financing of multi-lingual tuition for the children of the staff, social welfare services, etc.), adjusted with the inflation rates, payment of grants for the trainees by taking into account the expected indexation in the salaries and changes in the correction coefficient.

4.2.2 Title 2

Title 2 covers the rental costs of premises occupied by the Agency, including parking spaces and associated expenditure Title 2 will also cover

- the purchase, rent, maintenance, installation and deployment of ICT and security equipment, software, digital certificates; external data processing services, including fees for IT systems and applications offered by the EC services.
- Agency's legal and other operating expenditure, including accounting officer services, external audit and/or consultancy services expenditure arising from the Headquarters Agreement and SLA with Latvian authorities and others.
- Costs of internal and external communications activities, incl. for organization of events, production of audio-visual and digital content, publications and branded items and others.

4.2.3 Title 3

The appropriations of Title 3 will be used for financing the activities in support to BEREC, as follows:

- Supporting the activities of the BEREC Working Groups (WGs) and the Expert Networking Group(ENG) on Sustainability.
- Provision of services related to subscriptions to regulatory databases, trainings to the national regulatory authorities' experts, language services, maintenance of information data bases and on-line platforms, commissioning of studies specified in the BEREC Work Programme and other projects;
- Expenditure for meetings of the BEREC Board of Regulators, the Management Board of the BEREC Office, and respectively their Chair and Chairperson and Vice-Chairs and Deputy Chairpersons, the Contact Network including reimbursement of the meeting participants;
- Provision of licenses, hardware, IT support and business continuity service to BEREC, management of multiple information and communications systems for BEREC needs, the public BEREC website, purchase and maintenance of other IT applications and tools, establishment and maintenance of databases in accordance with the EECC, supporting the activity of ICT ENG and others.
- Implementation of the BEREC external communications strategy.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| • | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|------------------------------------------------------|----------------------------------|-------------|----------------|-----------------|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | building | 14 Zigfrida Annas Meierovica boulevard, Riga, Latvia | 1 494,3 | 53,8 | 1 548,1 | 119 322 | Signed on 01/08/2011, effective until 31/07/2028 (with possibility of renewal). | Lease agreement amendment with State owned joint-stock company Valsts nekustamie īpašumi | Not applicable | |
| TOTAL | | | 1 494,3 | 53,8 | 1 548,1 | 119 322 | | | | |

5.1.2 Current building(s) Other comments

The BEREC Office premises are located at 14 Zigfrida Annas Meierovica boulevard, Riga, Latvia, on part of 1st, 2nd and 3.5 floor. The building used by the Agency is shared with several other tenants. The BEREC Office rents its premises in accordance with the Memorandum of Understanding with the Government of the Republic of Latvia, signed on 30 September 2011, which was further detailed in a lease agreement. The BEREC Office has agreed with the building owner to rent additional offices on the 1st floor of the current building as of 2022. With that addition the total office space area is close to the optimal area calculated on the basis of the relevant building manual (1,625 m²), expecting also a ratio of teleworking higher than before the pandemic.

It should be noted that the infrastructure of the current historical building is outdated and the premises are not compliant with the security and accessibility rules.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

Currently there is no European School in Latvia and no measures are put in place by the Host Member State to facilitate European schooling for children of the BEREC Office staff. The BEREC Office has adopted temporary provisions to support the children of the staff to attend international schools in Latvia providing tuition in English, French or German until the establishment of an accredited European School. Since 2010 negotiations are ongoing with the host State on exploring possibilities of establishing an accredited European School within the public school network in Latvia or within the private school network in accordance with the Convention defining the Statute of the European Schools and with the programmes of European schools.

The BEREC Office signed a new Headquarters agreement with the Host Member State on 21 December 2020, in which the Government of Latvia aims at ensuring accreditation of one school as European School in Latvia in 2022/2023. As though the new Headquarters agreement entered into force on 15 June 2021, the implementation preparations are ongoing, therefore the BEREC Office has planned for the provision of multilingual tuition for the children of the staff for 2023.

5.3 Evaluation

Evaluations concluded in previous years (2016: an European Commission evaluation containing, among others, a screening of the BEREC regulation) have concluded that the work carried out by BEREC and supported by the BEREC Office is relevant and has an impact on stakeholders. However, there are questions about the adequacy of the current governance structure. In particular, it is emphasised that the organisational structure of the BEREC Office is not in line with the Common Approach (CA) agreed between the EP, the Council and the EC. The role of the BEREC Office, which

is limited to administrative and professional support, and its size, creates some inefficiency, which the BEREC office offsets by employing more staff. The Office has to comply with the same resource-intensive procedures as much bigger Agencies and the professional support it provides to BEREC is still rather limited, as identified through experience and pointed out in the Evaluation Study. It is also suggested that the BEREC Office could be better used, especially when supporting EWGs in their everyday work (see also comments under "effectiveness" and "coherence" of the EC SWD).

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| According to Article 2 of Regulation (EU) 2018/1971, the BEREC Office is a body of the European Union and as such, it enjoys the most extensive legal capacity accorded to legal persons under the laws of the Host Member State. It may, in particular, acquire or dispose of movable and immovable property and may be party to legal proceedings. | Statutory staff of the BEREC Office, and their family members forming part of the household shall have access to all the public services provided by the Host Member State, including medical services, schooling services, child care services and rental rights without discrimination in relation to nationals of the Host Member State. | There is no European School operating in Riga in 2021. The Government of Latvia aims at ensuring in 2022/2023 the accreditation of one school as European School in Latvia, accessible by priority for the children of the staff of the BEREC Office and the members of the family forming part of the household. The accredited European School shall provide the nursery classes 1 and 2, primary and secondary school education. Until then the Government of Latvia facilitate access to education system and contribute to the schooling costs of the children of the staff of the BEREC Office within the limits of the budget available. |
| Immunity, Inviolability and Communications | | |
| The premises and buildings of the BEREC Office as well as its archives, documentation and data are inviolable. | The BEREC Office staff shall enjoy immunity from jurisdiction as regards acts carried out by them in their official capacity, including their spoken and written statements. This immunity shall continue after cessation of their functions. | |
| The premises and buildings of the BEREC Office are exempt from search, requisition, confiscation, expropriation or any form of seizure. | The Director, statutory staff and seconded national experts, as well as the members of their family forming part of their household shall be exempt from any immigration restrictions or formalities for the registration of aliens. | |
| The property and assets of the BEREC Office cannot be the subject of any administrative or legal measure of constraint without the authorisation of the Court of Justice of the European Union. | The Director and the members of his/her family, provided they are not Host Member State nationals or have held permanent residence status before being employed by the BEREC Office, are accorded the privileges and immunities, exemptions and facilities accorded to the heads of diplomatic missions and the members of their family in accordance with the Vienna Convention on Diplomatic Relations of 18 April 1961. | |
| Communications and the transmission of all BEREC Office documents are treated in the same way as documents and communications of diplomatic missions. | | |
| Exemption from taxes, duties, national, regional and municipal fees | | |
| The BEREC Office is exempt from: | BEREC Office staff are exempt from: | |
| - all direct taxes and administrative fees with regard to the premises it owns or rents, its assets, revenues and other property; as well as on the | Salaries, wages, emoluments, SNEs' allowances, retirement, invalidity and survivor's pensions paid by the BEREC Office or by the EU are exempted from national taxes. | |

| Agency privileges | Privileges granted to staff | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| supply of goods and services (including those on the consumption of gas, electricity and any type of fuel) for official use by the BEREC Office. | | |
| value added tax (VAT) and excise duty with regard to purchase of all goods and services; exemption will be granted indirectly by reimbursement in accordance with a procedure established in legal acts by the Republic of Latvia. The exemption from VAT and excise duty will be applied directly by the supplier of goods and services, when the BEREC Office has a VAT and/or excise duty exemption certificate issued. | The VAT included in the price of articles listed in an annex A to the Headquarters Agreement acquired by the BEREC Office staff in Latvia during his/her employment at the Agency is reimbursed to the staff provided that the single article price is not less than 50 EUR. This rule is applicable to SNEs one year after taking up their duties, but is not applicable for nationals or permanent residents of Latvia. | |
| The BEREC Office shall be exempt from all customs duties, prohibitions and restrictions on imports and exports in respect of articles intended for its official use including vehicles and other technical equipment and spare parts. | The statutory staff and SNEs, who are not nationals or permanent residents of the Republic of Latvia, at the time of taking up the duties at the BEREC Office shall be refunded the VAT for one vehicle purchased in the Republic of Latvia and refund shall be provided once in a period of three years. | |
| Vehicles intended for the official use of the BEREC Office shall be registered under a special series similar to the registration of vehicles used by the diplomatic missions accredited to Latvia. | The statutory staff of the BEREC Office, who are not nationals or permanent residents of the Republic of Latvia, at the time of taking up the duties, have the right to import from their last country of residence or from the country of which they are nationals, free of duty and without prohibitions or restrictions, in respect of initial establishment, within two years of taking up their appointment with the BEREC Office furniture and personal effects, including motor vehicles, which shall be registered under a special series in accordance with the usual practice for staff with immunity in the Republic of Latvia. | |
| Liaison Office | | |
| The Government of Latvia shall establish the Liaison Office within a period of one year from the entry into force of the Headquarters Agreement, and in compliance with conditions agreed in a Service level agreement (SLA). | The Liaison Office shall assist and advise the BEREC Office and its Staff, including members of their family, on issues generally related to settling in and staying in Latvia. It shall provide support on general administrative matters such as, but not limited to, submitting tax declarations, complying with the social security obligations, and registering motor vehicle. On the request of the BEREC Office, the Liaison Office can, inter alia, facilitate access to the job market, to the day-care facilities, to the schools and universities, to banking and insurance. It also can assist in searches for premises and facilities, housing and real estate brokerage, and telecommunications. | |

2.1.6 European Union Agency for Cybersecurity – ENISA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EC) No 460/2004 establishing the European Network and Information Security Agency | 10/03/2004 | This first regulation, establishing ENISA, the European Network and Information Security Agency, has been repealed by Regulation 526/2013. |
| Regulation (EU) No 526/2013 of the European Parliament and of the Council, concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004, OJ L165/41 of 18.6.2013 | 21/05/2013 | The European Union Agency for Network and Information Security (ENISA) has been established in order to contribute to network and information security within the Union; to raise awareness of network and information security and to develop and promote a culture, of network and information security in society for the benefit of citizens, consumers, enterprises and public sector organisations in the Union, thus contributing to the establishment and proper functioning of the internal market. |
| Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April 2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013 (Cybersecurity Act) | 07/06/2019 | <p>ENISA shall carry out the tasks assigned to it under this Regulation for the purpose of achieving a high common level of cybersecurity across the Union, including by actively supporting Member States, Union institutions, bodies, offices and agencies in improving cybersecurity. ENISA shall act as a reference point for advice and expertise on cybersecurity for Union institutions, bodies, offices and agencies as well as for other relevant Union stakeholders.</p> <p>ENISA shall contribute to reducing the fragmentation of the internal market by carrying out the tasks assigned to it under this Regulation.</p> <p>ENISA shall carry out the tasks assigned to it by Union legal acts that set out measures for approximating Member State laws, regulations and administrative provisions which are related to cybersecurity.</p> |

1.2 Seat

Athens, Greece

1.3 Budget Line

02 10 04 : European Union Agency for Cybersecurity (ENISA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 57 | 52 | 91,23% | 63 | 63 |
| Assistants (AST) | 19 | 17 | 89,47% | 19 | 19 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 76 | 69 | 90,79% | 82 | 82 |
| Contract Agents (CA) | 30 | 27 | 90,00% | 32 | 32 |
| Seconded National Experts (SNE) | 12 | 10 | 83,33% | 12 | 14 |
| TOTAL STAFF | 118 | 106 | 89,83% | 126 | 128 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 23 633 000 | 24 475 757 |
| Other Revenue | 574 625 | 596 692 |
| TOTAL REVENUES | 24 207 625 | 25 072 449 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 12 494 335 | 12 494 335 | 13 441 111 | 13 441 111 |
| Title 2 - Infrastructure and operating expenditure | 2 824 300 | 2 824 300 | 2 808 910 | 2 808 910 |
| Title 3 - Operational expenditure | 8 888 990 | 8 888 990 | 8 822 428 | 8 822 428 |
| TOTAL EXPENDITURE | 24 207 625 | 24 207 625 | 25 072 449 | 25 072 449 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | | | 1 | | | | | | |
| AD 13 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AD 12 | | 5 | | 5 | | 4 | | 4 | | 4 |
| AD 11 | | 2 | | | | 2 | | 2 | | 2 |
| AD 10 | | 3 | | 3 | | 4 | | 3 | | 4 |
| AD 9 | | 12 | | 9 | | 11 | | 12 | | 11 |
| AD 8 | | 21 | | 9 | | 22 | | 25 | | 25 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 7 | | 8 | | 12 | | 8 | | 10 | | 10 |
| AD 6 | | 4 | | 12 | | 9 | | 4 | | 4 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 57 | | 52 | | 63 | | 63 | | 63 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AST 7 | | 4 | | 3 | | 3 | | 4 | | 4 |
| AST 6 | | 8 | | 2 | | 8 | | 7 | | 7 |
| AST 5 | | 5 | | 4 | | 5 | | 5 | | 5 |
| AST 4 | | 1 | | 4 | | 1 | | 1 | | 1 |
| AST 3 | | | | 2 | | | | | | |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 19 | | 17 | | 19 | | 19 | | 19 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 76 | | 69 | | 82 | | 82 | | 82 |
| GRAND TOTAL | 76 | | 69 | | 82 | | 82 | | 82 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 28 | 19 | 29 | 30 |
| Function Group III | 2 | 7 | 3 | 2 |
| Function Group II | | | | |
| Function Group I | | 1 | | |
| TOTAL | 30 | 27 | 32 | 32 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 12 | 10 | 12 | 14 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 22 248 000 | 23 633 000 | 25 110 000 | 24 475 757 | 3,57% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | -579 113 | -739 560 | -320 868 | -320 868 | -56,61% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 585 060 | 574 625 | 597 182 | 596 692 | 3,84% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 585 060 | 574 625 | 597 182 | 596 692 | 3,84% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 317 071 | p.m. | p.m. | p.m. | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 23 150 131 | 24 207 625 | 25 707 182 | 25 072 449 | 3,57% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 10 799 493 | 12 494 335 | 13 058 814 | 13 441 111 | 7,58% |
| Salaries & allowances | 8 370 300 | 10 837 880 | 11 358 518 | 11 740 815 | 8,33% |
| <i>- Of which establishment plan posts</i> | 6 487 617 | 8 361 489 | 8 762 162 | 9 057 073 | 8,32% |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which external personnel</i> | 1 882 683 | 2 476 391 | 2 596 356 | 2 683 742 | 8,37% |
| Expenditure relating to Staff recruitment | 306 022 | 412 000 | 422 796 | 422 796 | 2,62% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 51 034 | 63 000 | 37 500 | 37 500 | -40,48% |
| Training | 351 983 | 220 000 | 245 000 | 245 000 | 11,36% |
| External Services | 751 678 | 391 455 | 345 000 | 345 000 | -11,87% |
| Receptions, events and representation | | | | | |
| Social welfare | 968 476 | 570 000 | 650 000 | 650 000 | 14,04% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 3 855 317 | 2 824 300 | 3 825 940 | 2 808 910 | -0,54% |
| Rental of buildings and associated costs | 1 312 041 | 914 550 | 963 350 | 963 350 | 5,34% |
| Information, communication technology and data processing | 1 585 422 | 1 429 750 | 1 327 750 | 1 310 720 | -8,33% |
| Movable property and associated costs | 242 430 | 145 000 | 211 840 | 211 840 | 46,10% |
| Current administrative expenditure | 700 424 | 313 000 | 1 301 000 | 301 000 | -3,83% |
| Postage / Telecommunications | 15 000 | 22 000 | 22 000 | 22 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 383 369 | 8 888 990 | 8 822 428 | 8 822 428 | -0,75% |
| Activities related to meetings and missions | 504 740 | 387 000 | 386 108 | 386 108 | -0,23% |
| Horizontal Operational Activities | | | | | |
| Core Operational Activities | 7 878 629 | 8 501 990 | 8 436 320 | 8 436 320 | -0,77% |
| TOTAL | 23 038 179 | 24 207 625 | 25 707 182 | 25 072 449 | 3,57% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 10 799 493 | 12 494 335 | 13 058 814 | 13 441 111 | 7,58% |
| Salaries & allowances | 8 370 300 | 10 837 880 | 11 358 518 | 11 740 815 | 8,33% |
| <i>- Of which establishment plan posts</i> | 6 487 617 | 8 361 489 | 8 762 162 | 9 057 073 | 8,32% |
| <i>- Of which external personnel</i> | 1 882 683 | 2 476 391 | 2 596 356 | 2 683 742 | 8,37% |
| Expenditure relating to Staff recruitment | 306 022 | 412 000 | 422 796 | 422 796 | 2,62% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 51 034 | 63 000 | 37 500 | 37 500 | -40,48% |
| Training | 351 983 | 220 000 | 245 000 | 245 000 | 11,36% |
| External Services | 751 678 | 391 455 | 345 000 | 345 000 | -11,87% |
| Receptions, events and representation | | | | | |
| Social welfare | 968 476 | 570 000 | 650 000 | 650 000 | 14,04% |
| Other Staff related expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | 3 855 317 | 2 824 300 | 3 825 940 | 2 808 910 | -0,54% |
| Rental of buildings and associated costs | 1 312 041 | 914 550 | 963 350 | 963 350 | 5,34% |
| Information, communication technology and data processing | 1 585 422 | 1 429 750 | 1 327 750 | 1 310 720 | -8,33% |
| Movable property and associated costs | 242 430 | 145 000 | 211 840 | 211 840 | 46,10% |
| Current administrative expenditure | 700 424 | 313 000 | 1 301 000 | 301 000 | -3,83% |
| Postage / Telecommunications | 15 000 | 22 000 | 22 000 | 22 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 383 369 | 8 888 990 | 8 822 428 | 8 822 428 | -0,75% |
| Activities related to meetings and missions | 504 740 | 387 000 | 386 108 | 386 108 | -0,23% |
| Horizontal Operational Activities | | | | | |
| Core Operational Activities | 7 878 629 | 8 501 990 | 8 436 320 | 8 436 320 | -0,77% |
| TOTAL | 23 038 179 | 24 207 625 | 25 707 182 | 25 072 449 | 3,57% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 320 868

4 Justification of needs

Commission assessment

Human Resources

The Commission proposal takes into account the request from ENISA of 2 additional SNE's (at no additional costs) in addition of the human resources established in the applicable LFS.

Financial Resources

The Commission proposal for request of financial resources is in line with the financial programming. The Commission does not support the additional 1 000 000 EUR requested by ENISA.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In accordance with the Proposal for a Regulation of the European Parliament and of the Council on ENISA, the "EU Cybersecurity Agency", and repealing Regulation (EU) 526/2013, and on Information and Communication Technology cybersecurity certification ("Cybersecurity Act") as submitted by the Commission on 13 September 2017, COM(2017) 477 final the number of TAs requested for 2023 is 82.

This includes the additional 5 full-time equivalents (3 temporary agent and 2 contract agent posts), as specified in the legislative financial statement accompanying the proposal for a directive revising Directive (EU) 2016/1148 of the European Parliament and of the Council of 6 July 2016 concerning measures for a high common level of security of network and information systems across the Union (COM 2020/823).

In its budget proposal for the Single Programming Document (SPD) 2023 – 2025, the Agency projected four extra SNE posts (introduced gradually 2+2 over 2 years as of 2023). The four additional SNE posts requested would be justified both by the Agency's current activity areas, particularly the operational needs stemming from Article 7 of the CSA as well as by those extra activities and requirements, as foreseen especially in the initial phases laid out in the Commission's Recommendation on the Joint Cyber Unit (JCU) of 23 June 2021.

4.1.2 Vacancy rate as of end 2021

Establishment plan 69 posts filled out of 76 authorised, hence the vacancy rate is 9,21 %;

Overall (Establishment plan + CAs + SNEs) 106 posts filled out of 118 authorised, hence the vacancy rate is 10,17 %

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The figures from the Proposal for a Regulation of the European Parliament and of the Council on ENISA, the "EU Cybersecurity Agency", and repealing Regulation (EU) 526/2013, and on Information and Communication Technology cybersecurity certification ("Cybersecurity Act") as submitted by the Commission on 13 September 2017, COM(2017) 477 final were taken as basis for establishing draft budget 2023. Adjustments were made to reflect the current challenges, staffing situation and foreseen future spending in the agency.

4.1.5 Correction coefficient used

At present the correction coefficient is 85,20%.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 covers the costs related to the staff of the Agency, such as, staff in active employment (salaries and allowances), recruitment expenditure, socio-medical services, training and temporary assistance. Title 1 covers the annual salaries of the Establishment plan posts as well as the yearly salary adjustments, the effect of the country coefficient corrector for Greece, the career development and maturity of staff (reclassifications and steps awarded), expenditures related to other social welfare measures. Title 1 also includes a reserve of EUR 610 000 for the additional 5 full-time equivalents, as specified in the legislative financial statement accompanying the proposal for a directive revising Directive (EU) 2016/1148 of the European Parliament and of the Council of 6 July 2016 concerning measures for a high common level of security of network and information systems across the Union (COM 2020/823).

The Agency put forward a request for a budget increase of approximately EUR 1 000 000 to cover the externalisation costs of services which arise from the internal transfer of HR posts from support and administrative areas into operational units.

4.2.2 Title 2

Title 2 covers the costs related to infrastructure and operating expenditure such as rental of buildings and associated costs, movable property and associated costs, current administrative expenditure, consultancy services for corporate activities and corporate ICT expenditure. In 2021 ENISA has relocated to a new headquarters building in Athens, Greece, therefore additional running costs are foreseen for utilities. Since 2013 the Greek Government was granting a subsidy covering the hosting needs of ENISA in Athens and Heraklion, for a maximum annual amount of EUR 640 000. The Agency has been settling the rent with the owners of the buildings. However, according to the new rental contract conditions, the payments will be directly made by the Hellenic Authorities to the owners of the new building.

In 2020 ENISA put forward a proposal to open a local office in Brussels, therefore an amount is foreseen for establishment and maintenance. To date, building specifications are complete and are waiting for formal approval. The increase in the number of staff will provide grounds for further investments in equipment (PC and workstations/furniture). Starting 2021 all consultancy services for corporate activities have been centralised under a single budget line in Title 2. Starting 2021 ENISA has separated budgeting for corporate and operational ICT, therefore Title 2 now carries only corporate ICT costs.

The Agency puts forward a request for a budget increase of approximately EUR 1 000 000 to cover the externalisation costs of services which arise from the internal transfer of HR posts from support and administrative areas into operational units.

4.2.3 Title 3

Title 3 appropriations will finance the operational activities of ENISA as defined in its annual work programme and as foreseen in the Cybersecurity Act (Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April

2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013). New and redefined tasks in the CSA as well as new strategic approach towards internal working methods will result in a significant increase in appropriations allocated to the core operational activities.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-----------|----------------------------------|--------------|--------------|-----------------|---------------------------------------------------------------------------------------------------|-------|-----------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Heraklion Office | Heraklion | 706 | | 706 | | | | 0 | |
| 2 | Athens Office | Chalandri | 4 498 | 2 617 | 7 115 | | 01/01/2021-28/02/2030; 01/07/2021 actual start of ENISA operating from the new headquarters | Lease | Rent is fully covered by Hellenic Authorities | |
| TOTAL | | | 5 204 | 2 617 | 7 821 | | | | | |

5.1.2 Current building(s) Other comments

In 2020 ENISA put forward a proposal to open a local office in accordance with CSA Art 20 (5). The number of the staff in each local office shall not exceed 10 % of the total number of ENISA's staff located in the Member State in which the seat of ENISA is located.

During 2021 initial negotiations were carried out with the OIB concerning an office in the One Building in Brussels. In parallel work was carried out with DGHR.DS to specify conditions for a secure area, which is required in order for Brussels staff to work on EUCI projects. To date, building specifications are complete and are waiting for formal approval by HR.DS3 to launch implementation of secure area. Work has also started on defining / adapting the necessary service level agreements and is expected to be completed by Q1 2022.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

A public school of European Education, type 2, was founded in 2005 by the Greek government in Heraklion, Crete for the children of ENISA staff, which were 3 for school year 2021/2022.

There is no European School operating in Athens. For the school year 2021/2022, the process for the financial support for the staff of ENISA in relation to the cost of schooling has been updated via EDD 2021-41, leading to the abolishment of SLAs.

5.3 Evaluation

Ex-ante and ex-poste evaluations were issued in 2021 and need for evaluation to be reconsidered during 2022.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | • Protocol of privileges and immunities / diplomatic status | • Education / day care |
| <p>In accordance with Art. 23 of Regulation (EU) No 2019/881 of the European Parliament and of the Council of 17 April 2019, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.</p> <p>The Greek Government and ENISA signed a Seat Agreement the 13 November 2018, which was ratified by Greek Law 4627/2019 on the 25 September 2019 and entered in to force on the 04 October 2019 and is applicable to ENISA and its staff.</p> | <p>In accordance with Article 35 of Regulation (EU) No 2019/881 of the European Parliament and of the Council of 17 April 2019, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.</p> <p>The Greek Government and ENISA signed a Seat Agreement the 13 November 2018, which was ratified by Greek Law 4627/2019 on the 25 September 2019 and entered in to force on the 04 October 2019 and is applicable to ENISA and its staff.</p> | <p>A public School of European Education, Type 2, was founded in 2005 by the Greek government in Heraklion – Crete for the children of the staff of ENISA.</p> <p>There is no European School operating in Athens.</p> |

2.1.7 European Banking Authority - EBA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) No 1093/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision N 716/2009/EC and repealing Commission Decision 2009/78/EC | 24/11/2010 | The European Union decided to establish the European Banking Authority (EBA) with the objectives of preventing regulatory arbitrage, guaranteeing a level playing field, strengthening international supervisory coordination, promoting supervisory convergence and providing advice to the EU institutions in the areas of banking, payments and e-money regulation as well as on issues related to corporate governance, auditing and financial reporting. |
| Regulation (EU) No 1022/2013 of the European Parliament and of the Council of 22 October 2013 amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority) as regards the conferral of specific tasks on the European Central Bank pursuant to Council Regulation (EU) No 1024/2013. | 22/10/2013 | Added: together with the compilation of a European supervisory handbook. |
| Regulation (EU) 2019/2175 of the European Parliament and of the Council of 18 December 2019, amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority), Regulation (EU) No 1094/2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority), Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds. | 18/12/2019 | Modifications in the mandate and governance in order to ensure stronger and more integrated supervision across the EU. |
| Proposal for a Regulation of the European Parliament and of the Council on Markets in Crypto-assets, and amending Directive (EU)2019/1937 | COM(2020)593 | <p>As set out in the EC's legislative proposal, the EBA would be responsible for supervising issuers of 'significant' asset-referenced and e-money tokens, and other issuers of such tokens who are not significant but voluntarily submit to EU-level supervision. Supervision tasks would include:</p> <ul style="list-style-type: none"> - assessment and monitoring of issuers against requirements established in MiCA, including governance, capital, liquidity, reserve and redemption arrangements, and the assessment of qualifying holdings (off-site and onsite supervision); - establishment and chairing of supervisory colleges; - engagement with ECB, other relevant central banks, NCAs and third country authorities over supervision of the issuer and wider ecosystem for distribution of crypto-assets. <p>For these purposes an extensive range of supervisory and disciplinary powers are proposed.</p> <p>Additionally, the EBA would be responsible for a large number of technical standards under MiCA particularising regulatory requirements for issuers and for other aspects of MiCA, including relating to the authorisation of issuers, reserve arrangements, and the functioning of supervisory colleges.</p> |

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Proposal for a Regulation of the European Parliament and of the Council on Digital Operational Resilience for the financial sector and amending Regulations (EC) No 1060/2009; (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014</p> | <p>Com(2020)595</p> | <p>Under the proposal for DORA, the EBA would be mandated with an extensive set of tasks and powers, including:</p> <ul style="list-style-type: none"> • Development of policy work on ICT risk management, incident reporting, advanced cyber testing and ICT third party risk management (this includes the development of more than 10 sets of draft technical standards, one set of guidelines and three joint reports – almost all should be delivered jointly by the ESAs); • Receipt, transmission, assessment and report on major ICT-related incidents; • Development of crisis-management and contingency exercises involving cyber-attack scenarios; • Cooperation with structures and authorities established by the NIS Directive; • Oversight of critical ICT third-party providers (CTPPs) which will include: assessment of CTPPs against ICT risk management requirements, conduct of general offsite and on-site supervision (with the support of relevant competent authorities), address recommendations to CTPPs, request CTPPs to specify actions taken or remedies implemented to address recommendations, impose a periodic penalty payment to compel the CTPP to comply with requirements. |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Paris, France.

1.3 Budget Line

03 10 02 : European Banking Authority (EBA)

1.4 Human Resource Overview EBA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 151 | 142 | 94,04% | 171 | 172 |
| Assistants (AST) | 11 | 11 | 100,00% | 12 | 12 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 162 | 153 | 94,44% | 183 | 184 |
| Contract Agents (CA) | 50 | 49 | 98,00% | 50 | 50 |
| Seconded National Experts (SNE) | 19 | 13 | 68,42% | 19 | 19 |
| TOTAL STAFF | 231 | 215 | 93,07% | 252 | 253 |

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview EBA

1.5.1.1 Revenues Overview EBA

1.5.1.1.1 General revenues EBA

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 18 685 999 | 19 428 306 |
| Other Revenue | 31 570 035 | 36 438 711 |
| TOTAL REVENUES | 50 256 034 | 55 867 017 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements EBA

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 350 400 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | 350 400 |

1.5.1.2 Expenditure Overview EBA

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 32 384 073 | 32 384 073 | 36 362 641 | 36 362 641 |
| Title 2 - Infrastructure and operating expenditure | 10 269 824 | 10 269 824 | 11 318 497 | 11 318 497 |
| Title 3 - Operational expenditure | 7602137 | 7602137 | 8536279 | 8536279 |
| TOTAL EXPENDITURE | 50 256 034 | 50 256 034 | 56 217 417 | 56 217 417 |

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | | 3 608 199 |
| TOTAL REVENUES | | 3 608 199 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2.2 Expenditure Overview (Fees)

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | | | 1 745 699 | 1 745 699 |
| Title 2 - Infrastructure and operating expenditure | | | 262 500 | 262 500 |
| Title 3 - Operational expenditure | | | 1 600 000 | 1 600 000 |
| TOTAL EXPENDITURE | | | 3 608 199 | 3 608 199 |

1.5.3 Financial Resources Overview (UE and NCA)

1.5.3.1 Revenues Overview (UE and NCA)

1.5.3.1.1 General revenues (UE and NCA)

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 18 685 999 | 19 428 306 |
| Other Revenue | 31 570 035 | 32 830 512 |
| TOTAL REVENUES | 50 256 034 | 52 258 818 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 350 400 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | 350 400 |

1.5.3.2 Expenditure Overview (UE and NCA)

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 32 384 073 | 32 384 073 | 34 616 942 | 34 616 942 |
| Title 2 - Infrastructure and operating expenditure | 10 269 824 | 10 269 824 | 11 055 997 | 11 055 997 |
| Title 3 - Operational expenditure | 7 602 137 | 7 602 137 | 6 936 279 | 6 936 279 |
| TOTAL EXPENDITURE | 50 256 034 | 50 256 034 | 52 609 218 | 52 609 218 |

2 Human Resources

2.1 Establishment plan posts EBA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | | | 1 | | 1 | | 1 |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 6 | | 3 | | 5 | | 5 | | 5 |
| AD 13 | | 2 | | | | 2 | | 2 | | 2 |
| AD 12 | | 8 | | 7 | | 8 | | 8 | | 8 |
| AD 11 | | 12 | | 5 | | 12 | | 12 | | 12 |
| AD 10 | | 12 | | 17 | | 12 | | 13 | | 13 |
| AD 9 | | 22 | | 22 | | 22 | | 24 | | 24 |
| AD 8 | | 26 | | 25 | | 26 | | 27 | | 27 |
| AD 7 | | 21 | | 29 | | 30 | | 32 | | 30 |
| AD 6 | | 20 | | 24 | | 20 | | 19 | | 19 |
| AD 5 | | 20 | | 9 | | 32 | | 30 | | 30 |
| AD TOTAL | | 151 | | 142 | | 171 | | 174 | | 172 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AST 5 | | 4 | | 2 | | 4 | | 4 | | 4 |
| AST 4 | | 2 | | 2 | | 2 | | 2 | | 2 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 3 | | 1 | | 3 | | 1 | | 1 | | 1 |
| AST 2 | | 1 | | 3 | | 2 | | 2 | | 2 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 11 | | 11 | | 12 | | 12 | | 12 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 162 | | 153 | | 183 | | 186 | | 184 |
| GRAND TOTAL | 162 | | 153 | | 183 | | 186 | | 184 | |

2.2 External personnel EBA

2.2.1 Contract Agents EBA

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 32 | 42 | 32 | 42 |
| Function Group III | 18 | 7 | 18 | 8 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 50 | 49 | 50 | 50 |

2.2.2 Seconded National Experts EBA

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 19 | 13 | 19 | 19 |

3 Financial Resources

3.1 Financial Resources EBA

3.1.1 Revenues EBA

3.1.1.1 General revenues

| REVENUES | General revenues | | | | |
|----------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| | | | | | |

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | 3 608 199 | 3 608 199 | 100% |
| 2 EU CONTRIBUTION | 18 506 940 | 18 685 999 | 19 208 941 | 19 428 306 | 3,97% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 687 472 | 350 023 | 391 315 | 391 315 | 11,80% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 919 690 | 930 782 | 957 775 | 968 634 | 4,07% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 919 690 | 930 782 | 957 775 | 968 634 | 4,07% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 30 280 980 | 30 639 253 | 31 511 144 | 31 861 878 | 3,99% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 49 707 610 | 50 256 034 | 55 286 059 | 55 867 017 | 11,16% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | 350 400 | 350 400 | 100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | 350 400 | 350 400 | 100% |

3.1.2 Expenditure EBA

3.1.2.1 Commitment appropriations EBA

| EXPENDITURE | Commitment appropriations EBA | | | | |
|------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 30 167 835 | 32 384 073 | 35 726 855 | 36 362 641 | 12,29% |

| EXPENDITURE | Commitment appropriations EBA | | | | |
|-----------------------------------------------------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Salaries & allowances | 26 757 595 | 28 337 020 | 31 278 464 | 31 925 595 | 12,66% |
| - Of which establishment plan posts | 21 856 467 | 22 529 058 | 25 222 946 | 25 677 034 | 13,97% |
| - Of which external personnel | 4 901 128 | 5 807 962 | 6 055 518 | 6 248 561 | 7,59% |
| Expenditure relating to Staff recruitment | 250 609 | 424 809 | 251 809 | 230 209 | -45,81% |
| Employer's pension contributions | 2 005 804 | 2 098 266 | 2 389 338 | 2 411 696 | 14,94% |
| Mission expenses | 14 | 28 165 | 41 124 | 41 124 | 46,01% |
| Socio-medical infrastructure | 584 622 | 763 232 | 846 608 | 834 505 | 9,34% |
| Training | 355 422 | 482 641 | 630 072 | 630 072 | 30,55% |
| External Services | 195 034 | 142 690 | 172 690 | 172 690 | 21,02% |
| Receptions, events and representation | 18 735 | 107 250 | 116 750 | 116 750 | 8,86% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 029 922 | 10 269 824 | 11 373 325 | 11 318 497 | 10,21% |
| Rental of buildings and associated costs | 3 872 644 | 3 658 764 | 3 860 047 | 3 860 047 | 5,50% |
| Information, communication technology and data processing | 5 955 268 | 5 320 630 | 5 823 803 | 5 799 803 | 9,01% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 663 731 | 619 715 | 1 172 410 | 1 141 582 | 84,21% |
| Postage / Telecommunications | 62 127 | 78 000 | 48 000 | 48 000 | -38,46% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 476 152 | 592 715 | 469 065 | 469 065 | -20,86% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 801 917 | 7 602 137 | 8 536 279 | 8 536 279 | 12,29% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, | 3 117 779 | 3 041 707 | 4 249 316 | 4 249 316 | 39,70% |

| EXPENDITURE | Commitment appropriations EBA | | | | |
|--------------------------------------------------------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| publication | | | | | |
| Collection of information, developing and maintenance of a central European database | 4 684 138 | 4 560 430 | 4 286 963 | 4 286 963 | -6,00% |
| IT solution assessment | | | | | |
| TOTAL | 48 999 674 | 50 256 034 | 55 636 459 | 56 217 417 | 11,86% |

3.1.2.2 Payment appropriations EBA

| EXPENDITURE | Payment appropriations EBA | | | | |
|-----------------------------------------------------------|----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 30 167 835 | 32 384 073 | 35 726 855 | 36 362 641 | 12,29% |
| Salaries & allowances | 26 757 595 | 28 337 020 | 31 278 464 | 31 925 595 | 12,66% |
| - <i>Of which establishment plan posts</i> | <i>21 856 467</i> | <i>22 529 058</i> | <i>25 222 946</i> | <i>25 677 034</i> | <i>13,97%</i> |
| - <i>Of which external personnel</i> | <i>4 901 128</i> | <i>5 807 962</i> | <i>6 055 518</i> | <i>6 248 561</i> | <i>7,59%</i> |
| Expenditure relating to Staff recruitment | 250 609 | 424 809 | 251 809 | 230 209 | -45,81% |
| Employer's pension contributions | 2 005 804 | 2 098 266 | 2 389 338 | 2 411 696 | 14,94% |
| Mission expenses | 14 | 28 165 | 41 124 | 41 124 | 46,01% |
| Socio-medical infrastructure | 584 622 | 763 232 | 846 608 | 834 505 | 9,34% |
| Training | 355 422 | 482 641 | 630 072 | 630 072 | 30,55% |
| External Services | 195 034 | 142 690 | 172 690 | 172 690 | 21,02% |
| Receptions, events and representation | 18 735 | 107 250 | 116 750 | 116 750 | 8,86% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 029 922 | 10 269 824 | 11 373 325 | 11 318 497 | 10,21% |
| Rental of buildings and associated costs | 3 872 644 | 3 658 764 | 3 860 047 | 3 860 047 | 5,50% |
| Information, communication technology and data processing | 5 955 268 | 5 320 630 | 5 823 803 | 5 799 803 | 9,01% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 663 731 | 619 715 | 1 172 410 | 1 141 582 | 84,21% |

| EXPENDITURE | Payment appropriations EBA | | | | |
|-----------------------------------------------------------------------------------------------|----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | 62 127 | 78 000 | 48 000 | 48 000 | -38,46% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 476 152 | 592 715 | 469 065 | 469 065 | -20,86% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 801 917 | 7 602 137 | 8 536 279 | 8 536 279 | 12,29% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | 3 117 779 | 3 041 707 | 4 249 316 | 4 249 316 | 39,70% |
| Collection of information, developing and maintenance of a central European database | 4 684 138 | 4 560 430 | 4 286 963 | 4 286 963 | -6,00% |
| IT solution assessment | | | | | |
| TOTAL | 48 999 674 | 50 256 034 | 55 636 459 | 56 217 417 | 11,86% |

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

| REVENUES | General revenues (Fees) | | | | |
|---------------------------------------------------------------------------------------------------|-------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | 3 608 199 | 3 608 199 | 100% |
| 2 EU CONTRIBUTION | | | | | |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR) | | | | | |

| REVENUES | General revenues (Fees) | | | | |
|----------------------------------------------------------|-------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | | | 3 608 199 | 3 608 199 | 100% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees) | | | | |
|------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|--------------------------------------------------|----------------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | 1 745 699 | 1 745 699 | 100% |
| Salaries & allowances | | | 1 544 813 | 1 544 813 | 100% |
| <i>- Of which establishment plan posts</i> | | | <i>1 544 813</i> | <i>1 544 813</i> | <i>100%</i> |
| <i>- Of which external personnel</i> | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | 200 886 | 200 886 | 100% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|-----------------------------------------------------------------------------------------------|----------------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | 262 500 | 262 500 | 100% |
| Rental of buildings and associated costs | | | | | |
| Information, communication technology and data processing | | | 105 000 | 105 000 | 100% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | 157 500 | 157 500 | 100% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | | 1 600 000 | 1 600 000 | 100% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | | | 1 100 000 | 1 100 000 | 100% |
| Collection of information, developing and maintenance of a central European database | | | 500 000 | 500 000 | 100% |
| IT solution assessment | | | | | |
| TOTAL | | | 3 608 199 | 3 608 199 | 100% |

3.2.2.2 Payment appropriations (Fees)

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|------------------------------------|-------------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | 1 745 699 | 1 745 699 | 100% |
| Salaries & allowances | | | 1 544 813 | 1 544 813 | 100% |

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|-----------------------------------------------------------------------------------------------|-------------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| - Of which establishment plan posts | | | 1 544 813 | 1 544 813 | 100% |
| - Of which external personnel | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | 200 886 | 200 886 | 100% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | 262 500 | 262 500 | 100% |
| Rental of buildings and associated costs | | | | | |
| Information, communication technology and data processing | | | 105 000 | 105 000 | 100% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | 157 500 | 157 500 | 100% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | | 1 600 000 | 1 600 000 | 100% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | | | 1 100 000 | 1 100 000 | 100% |

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|--------------------------------------------------------------------------------------|-------------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Collection of information, developing and maintenance of a central European database | | | 500 000 | 500 000 | 100% |
| IT solution assessment | | | | | |
| TOTAL | | | 3 608 199 | 3 608 199 | 100% |

3.2.3 Budget Outturn (Fees)

Not applicable – no fees in 2021.

3.3 Financial Resources (UE and NCA)

3.3.1 Revenues (UE and NCA)

3.3.1.1 General revenues (UE and NCA)

| REVENUES | General revenues (UE and NCA) | | | | |
|-----------------------------------------------------------------------------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 18 506 940 | 18 685 999 | 19 208 941 | 19 428 306 | 3,97% |
| - Of which assigned revenues deriving from previous years' surpluses | 687 472 | 350 023 | 391 315 | 391 315 | 11,80% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 919 690 | 930 782 | 957 775 | 968 634 | 4,07% |
| - Of which EEA/EFTA (excl. Switzerland) | 919 690 | 930 782 | 957 775 | 968 634 | 4,07% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 30 280 980 | 30 639 253 | 31 511 144 | 31 861 878 | 3,99% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 49 707 610 | 50 256 034 | 51 677 860 | 52 258 818 | 3,99% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (UE and NCA) | | | | |
|------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | 350 400 | 350 400 | 100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | 350 400 | 350 400 | 100% |

3.3.2 Expenditure (UE and NCA)

3.3.2.1 Commitment appropriations (UE and NCA)

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|-----------------------------------------------------------|----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 30 167 835 | 32 384 073 | 33 981 156 | 34 616 942 | 6,89% |
| Salaries & allowances | 26 757 595 | 28 337 020 | 29 733 651 | 30 380 782 | 7,21% |
| - <i>Of which establishment plan posts</i> | 21 856 467 | 22 529 058 | 23 678 133 | 24 132 221 | 7,12% |
| - <i>Of which external personnel</i> | 4 901 128 | 5 807 962 | 6 055 518 | 6 248 561 | 7,59% |
| Expenditure relating to Staff recruitment | 250 609 | 424 809 | 251 809 | 230 209 | -45,81% |
| Employer's pension contributions | 2 005 804 | 2 098 266 | 2 188 452 | 2 210 810 | 5,36% |
| Mission expenses | 14 | 28 165 | 41 124 | 41 124 | 46,01% |
| Socio-medical infrastructure | 584 622 | 763 232 | 846 608 | 834 505 | 9,34% |
| Training | 355 422 | 482 641 | 630 072 | 630 072 | 30,55% |
| External Services | 195 034 | 142 690 | 172 690 | 172 690 | 21,02% |
| Receptions, events and representation | 18 735 | 107 250 | 116 750 | 116 750 | 8,86% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 029 922 | 10 269 824 | 11 110 825 | 11 055 997 | 7,66% |
| Rental of buildings and associated costs | 3 872 644 | 3 658 764 | 3 860 047 | 3 860 047 | 5,50% |

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|-----------------------------------------------------------------------------------------------|----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | 5 955 268 | 5 320 630 | 5 718 803 | 5 694 803 | 7,03% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 663 731 | 619 715 | 1 014 910 | 984 082 | 58,80% |
| Postage / Telecommunications | 62 127 | 78 000 | 48 000 | 48 000 | -38,46% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 476 152 | 592 715 | 469 065 | 469 065 | -20,86% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 801 917 | 7 602 137 | 6 936 279 | 6 936 279 | -8,76% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | 3 117 779 | 3 041 707 | 3 149 316 | 3 149 316 | 3,54% |
| Collection of information, developing and maintenance of a central European database | 4 684 138 | 4 560 430 | 3 786 963 | 3 786 963 | -16,96% |
| IT solution assessment | | | | | |
| TOTAL | 48 999 674 | 50 256 034 | 52 028 260 | 52 609 218 | 4,68% |

3.3.2.2 Payment appropriations (UE and NCA)

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | |
|-------------------------------------------|-------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 30 167 835 | 32 384 073 | 33 981 156 | 34 616 942 | 6,89% |
| Salaries & allowances | 26 757 595 | 28 337 020 | 29 733 651 | 30 380 782 | 7,21% |
| - Of which establishment plan posts | 21 856 467 | 22 529 058 | 23 678 133 | 24 132 221 | 7,12% |
| - Of which external personnel | 4 901 128 | 5 807 962 | 6 055 518 | 6 248 561 | 7,59% |
| Expenditure relating to Staff recruitment | 250 609 | 424 809 | 251 809 | 230 209 | -45,81% |
| Employer's pension contributions | 2 005 804 | 2 098 266 | 2 188 452 | 2 210 810 | 5,36% |
| Mission expenses | 14 | 28 165 | 41 124 | 41 124 | 46,01% |

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | |
|-----------------------------------------------------------------------------------------------|-------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 584 622 | 763 232 | 846 608 | 834 505 | 9,34% |
| Training | 355 422 | 482 641 | 630 072 | 630 072 | 30,55% |
| External Services | 195 034 | 142 690 | 172 690 | 172 690 | 21,02% |
| Receptions, events and representation | 18 735 | 107 250 | 116 750 | 116 750 | 8,86% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 029 922 | 10 269 824 | 11 110 825 | 11 055 997 | 7,66% |
| Rental of buildings and associated costs | 3 872 644 | 3 658 764 | 3 860 047 | 3 860 047 | 5,50% |
| Information, communication technology and data processing | 5 955 268 | 5 320 630 | 5 718 803 | 5 694 803 | 7,03% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 663 731 | 619 715 | 1 014 910 | 984 082 | 58,80% |
| Postage / Telecommunications | 62 127 | 78 000 | 48 000 | 48 000 | -38,46% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 476 152 | 592 715 | 469 065 | 469 065 | -20,86% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 801 917 | 7 602 137 | 6 936 279 | 6 936 279 | -8,76% |
| General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication | 3 117 779 | 3 041 707 | 3 149 316 | 3 149 316 | 3,54% |
| Collection of information, developing and maintenance of a central European database | 4 684 138 | 4 560 430 | 3 786 963 | 3 786 963 | -16,96% |
| IT solution assessment | | | | | |
| TOTAL | 48 999 674 | 50 256 034 | 52 028 260 | 52 609 218 | 4,68% |

3.3.3 Budget Outturn (UE and NCA)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue) is EUR 391 315.

4 Justification of needs

Commission assessment

Human Resources

The Commission requests 184 Establishment Plan posts for EBA in 2023.

The one additional post on top of the 183 posts provided for in the adopted budget 2022 is an AD6 post for the implementation of the Supervisory Digital Finance Academy (SDFA), a three-years project to be funded by DG REFORM. The post will be removed from EBA's Establishment Plan for 2026.

The Commission did not support EBA's request for 2 AD7 posts to reinforce EBA's IT team in order to strengthen the cybersecurity projections. The Commission encourages the agency to reorganise its resources in order to ensure the cybersecurity tasks without an overall increase in the staff.

The Commission supports EBA's request for 50 Contract Agent posts and 19 Seconded National Expert (SNE) posts for EBA in 2023.

In addition to the number of Contract Agents shown in the tables 1.4 Human Resources Overview and table 2.2.1 Contract Agents, EBA had been allowed one additional Contract Agent (Function Group IV) post for the implementation period (i.e. 2023-2025) of the Supervisory Digital Finance Academy (SDFA) project to be funded by DG REFORM.

The Commission notes that EBA has requested one additional SNE post on top of those authorised under the 2022 budget. However, in line with the stable staffing requirement in the Budget Circular for 2023 the Commission is not in a position to support EBA's request for an additional SNE post.

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2022 budget. The Commission therefore supports a Union contribution to EBA of EUR 19 428 306 (of which EUR 19 036 991 is fresh credits) for 2023. The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In 2021, the EBA reorganised and redeployed its staff to better align the internal structure with the EBA's main priorities. It is expected that this will result in productivity gains over the coming years. The EBA staff request for 2023, above the MFF establishment plan, is driven by: 1) the resources foreseen for the implementation of the legislative proposals for Digital Finance (for the regulation of markets in crypto-assets, MiCA, and for digital operational resilience for the financial sector, DORA) as set out in the Legislative Financial Statements (LFS); 2) the three-year DG REFORM Supervisory Digital Finance Academy (SDFA) project; 3) other needs.

Firstly, regarding the resources foreseen in the LFS for the proposed legislative initiatives for digital finance, the EBA considers that the estimated number of 15 staff under MiCA should meet its needs. For DORA, however, the EBA considers the LFS estimate of six TA to be sufficient for 2023 but insufficient in the following years. The EBA expects to have oversight of four to five critical third-party providers (CTTP), which are likely to be major cloud providers. Considering the full range of oversight tasks to be carried out, the Agency sees the need for 12 more TA posts in the future (+8 in 2024 and +4 in 2025). Furthermore, while the LFS foresees new staff being recruited in AD5 (and one AST), to be able to operate credibly in MiCA and DORA, given the level of specialisation required for some of the tasks, the EBA sees the need to recruit staff in a range of grades, with one-third of the staff at more senior grades (AD7-8) and the remaining two-thirds at more junior grades (AD5-6).

Secondly, the SDFA, funded by the EU under a contribution agreement with DG REFORM, will run from mid-2022 to mid-2025. It foresees one TA and one CA post for the three-year duration of the agreement.

Thirdly, the EBA has identified the need for two additional IT posts (TA positions) and one SNE. The EBA IT services portfolio has expanded and will continue to do so due to additional mandates, while the resources required to maintain, enhance, and especially to defend it have been unchanged since 2018. Also having in mind EP's expectation that Agencies have sufficient retained capacity and avoid dependence on consultants, two TA posts are needed. This is the minimum required to strengthen the EBA's IT team to continue to extract value from its data and platform capabilities with a sound architecture and secure-by-design approach, and to protect against cyber-security risks. The SNE post is required to provide horizontal support to: a) implementation of the Basel 3.1 packages; b) the renewed Sustainable Finance strategy; and c) the execution of the 2023 EU wide stress test.

4.1.2 Vacancy rate as of end 2021

Against an establishment plan of 162 Temporary Agent posts, the occupation rate at the end of 2021 was 94%. The filled posts include four temporary agent offers that was made by 31 December 2021. The vacancy rate at the end of 2021 was therefore 6%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The EBA does not apply the standard abatement. For 2023, the EBA has budgeted for:

- 92.2 % occupancy rate for TA positions. This is based on recruiting the additional three TA (MFF+ and SDFA) from the beginning of the year, and then recruiting the +21 MiCA and DORA positions over the course of the year;
- 98.0 % occupancy rate for CA positions;
- 95 % occupancy rate for SNE positions.

4.1.4 Salary assumption for calculating salary line (% applied)

The cost of the TA & CA posts is based on the actual costs for existing staff at the end of 2021, adjusted for the projected changes in the correction coefficient and expatriate allowances, for a normal level of reclassifications and step increases, and for 2.0 % indexation.

The budget projections include the posts proposed for the EBA in the Legislative Financial Statements for MiCA and DORA, two additional TA MFF posts (IT staff), and one additional TA for Supervisory Digital Finance Academy (funded by DG REFORM).

EBA must fund 60 % of the employer's pension contributions from its budget, except in the case of the MiCA and DORA staff where the full amount of the employer's pension goes through the EBA budget but is funded by industry fees, and in the case of the SDFA staff where the full amount of the pension is covered by the Commission and so does not go through the EBA budget.

4.1.5 Correction coefficient used

The salary correction coefficient applied is 119.9 for the whole year.

4.1.6 Exchange rate used (if applicable)

The exchange rates applied are those of 30 September 2021, these being the reference rates as per the advice given in the Commission's Budget Circular instructions for 2023, received on 20 December 2021. This no longer has much relevance to the EBA, as there are no further liabilities on the London office space.

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

The MiCA and DORA staff (21 temporary agents) will be recruited over the course of the year, with a budgetary impact of 10.5 FTE. The full cost of the employer's pension contribution for these staff will impact the budget (contrasting with the staff funded by the EU/NCA, where only the NCA element appears in the EBA budget).

4.2.1.2 Title 1 (UE and NCA)

The following assumptions drive the Title 1 expenditure:

Additional MFF staff (two TA for IT) and for the Supervisory Digital Finance Academy (one TA and one CA) impacting the budget as full-time equivalents (FTEs);

The occupancy rate for staff posts (TA, contract agent and SNE) is calculated as 97.5 %;

Pension contribution percentage of 10.1%, however only 60 % of the cost hitting the EBA budget, and this funded fully by the NCA, except for the SDFA staff where pension costs are covered by the Commission;

The drop in the budget for recruitment reflects a return to normal levels, whereas the 2021 budget still included amounts relating to the relocation of staff from London to Paris, where the staff members' relocation was delayed by the COVID-19 pandemic;

Administrative mission costs will remain below pre-COVID levels, due to a reduced volume of missions. This is also linked to the greenhouse gas emission reductions targeted by the EBA's eco-management and audit scheme (EMAS) implementation;

For the socio-medical contribution chapter, the accreditation of the European School in Paris La Défense will result in a lower cost of the EBA education contribution, although the education contribution will continue to be paid in some cases as not all classes will be available at the European School;

The increase in the training budget is driven in part by the possibility of a shift in the focus of the HR unit from recruitment to staff development.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

Title 2 costs funded by fees comprises habillage for MiCA and DORA staff in line with the LFS.

4.2.2.2 Title 2 (UE and NCA)

The French government's contribution of EUR 575,000 will be used to pay for the costs of the Paris building. The EBA will receive the contribution as external assigned revenue. Unlike in previous years, this amount is now being shown on the face of the budget, which gives a better picture of the building costs.

IT costs will continue to be driven by the EBA's IT strategy, in particular the continued implementation of the collaboration platform and security operations centre, ongoing cloudification, and the change of data centre provider.

The increase in current administrative expenditure is driven in part by increased expenditure on EMAS services, and on data protection consultancy.

The bulk of the drop in information and publishing costs results from website costs being moved to IT budget lines.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

Title 3 costs funded by fees comprises missions and meeting costs, and translation costs, in line with the proposed LFS.

4.2.3.2 Title 3 (UE and NCA)

The Chapter 31 general operating costs budget includes the costs of: developing and running training for external entities; EBA-hosted meetings (Banking Stakeholder Group, BoS, sub-groups and working groups); and EBA business travel, with budgeting for a more normal, post-COVID-19 level of physical meetings and travel in 2023, taking account of the EMAS targets on reductions in missions and in-person meetings. This chapter also includes subscriptions to data services, such as Moody's and Bloomberg, as well as ad hoc data required for some consumer initiatives and FinTech work, and capital market data. Operational consulting is included in this chapter, and in 2023 is expected to include work on the proportionality, support for supervisory and IFRS 9 benchmarking, the Pillar 3 data hub work, mystery shopping consultancy for consumer protection, and other policy-related consulting.

The Chapter 32 information technology (IT) budget includes amounts for maintenance work on the EBA's central AML/CFT database (EuReCa), EUCLID maintenance and new initiatives, support for integrated reporting, Pillar 3 disclosures work, data strategy initiatives, data analytics (SSBI), the collaboration platform, and cloudification. IT costs will also include ongoing support of these and other operational systems, and related software licenses.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-------------------------------------------|----------------------------------|------------|--------------|------------------|-------------------------------------------------------------|----------------|------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Tour Europlaza | 20 Avenue André Prothin, 92400 Courbevoie | 5 336 | 67 | 5 403 | 2 558 207 | 9 term lease with a break option after 6 year (conditional) | Lease Contract | Financial contribution from French State | |
| TOTAL | | | 5 336 | 67 | 5 403 | 2 558 207 | | | | |

5.1.2 Current building(s) Other comments

The EUR 2 558 207 amount for rent covers rental of the office, archive space, and car parking spaces.

Paris offices at La Défense

| | |
|------------------------------------------|---------------------------|
| Total sq. m. on all floors | 3,995m ² |
| Shared areas including lift banks | 1,341m ² |
| Archives (at level minus 4) | 67m ² |
| TOTAL | 5,403m² |
| Reception / Lobby | 184m ² |
| Meeting rooms - Visitors | 527m ² |
| Internal meeting rooms | 417m ² |
| Storage / Print rooms/ Corridors | 1,183m ² |
| Break out area visitors | 202m ² |
| Break out area staff | 65m ² |
| Open Plan (including individual offices) | 1,416m ² |

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 European schools

A Type II European School opened in Courbevoie in September 2019. The accreditation agreement was signed on 19 June 2020 with retroactive effect to 01/09/2019. The EBA concluded the “Mandate and Service Agreement” with the Commission on 30/11/2020. Further to the EU Contribution agreement concluded between the Commission and the Type II European School in Courbevoie on 16/06/2021, the EBA pays an EU financial contribution for the EBA staff members’ children attending the school as from the school year 2019/2020.

5.3 Evaluation

In September 2017, the EC submitted a package of proposals to strengthen the European System of Financial Supervision (ESFS). After adoption by the co-legislators, these proposals entered into force in January 2020. The main finding of the latest evaluation is that the ESAs are pivotal in ensuring that the banking and insurance sectors as well as financial markets across the EU are well regulated, strong and stable. However, more is needed to be done to enhance regulatory and supervisory convergence within the Single Market to help financial markets work more effectively and to address new challenges.

ESA review changes have helped to reinforce the EBA’s role, in particular on supervisory convergence and AML/CFT, while increasing the importance of third country issues and sustainable finance. By adhering to the strengthened transparency and accountability requirements, the EBA has fostered its position as a trusted and reliable stakeholder.

In the AML/CFT area, the EBA set up a new AML/CFT Standing Committee and expanded the team working on AML/CFT-related issues. The Authority oversaw the establishment of the world’s first AML /CFT colleges, whilst pressing ahead with putting in place a regulatory framework to tackling ML/TF risk holistically across AML/CFT and prudential supervision. The programme of staff-led implementation reviews of individual AML/CFT supervisors continues to drive convergence of supervisory approaches and the adoption of supervisory best practices.

In order to take into account the specific differences prevailing in the sector, the EBA established an Advisory Committee on Proportionality (ACP) in May 2020. The main task of this Committee is to assess the draft work programme of the EBA for the coming year and, where necessary, make recommendations to the BoS on how the programme could be improved to accommodate a more proportionate approach. The ACP has, thus far, provided advice to the EBA for its work programmes 2021 and 2022 and monitored the implementation of its advice. It has developed an impact assessment methodology to help discern whether an institution qualifies for a proportionate approach and has held a workshop with the industry to receive input, in addition to the ongoing cooperation with the EBA and sister agencies ESMA and EIOPA.

To strengthen the periodical reviews of the activities of competent authorities and consistency in supervisory outcomes, the EBA established a peer review committee whose work is planned in a biennial fashion. Peer reviews are carried out in accordance with a peer review methodology, which was adopted by the EBA BoS in April 2020 to ensure consistency throughout the process. The methodology includes guidance and procedures for the completion of both self-assessments and reviews by peers, reporting and publication requirements, and follow-up work. For each peer review, the methodology envisages the establishment of an ad-hoc peer review committee, which operates in accordance with the terms of reference approved by the EBA BoS. The peer review committee strives to complement and avoid duplicating other EBA and other EU bodies’ review/evaluation projects. Since the adoption of this new framework for peer reviews, the EBA has completed a peer review on qualifying holdings and launched three peer reviews on non-performing exposures risks management, on ICT risk supervision under SREP and on authorisation and registration under PSD2.

Regarding Consumer protection, the EBA aims to continue to take a leading role in promoting transparency, simplicity and fairness in the market for consumer financial products or services across the internal market. As a first step in the fulfilment of its new coordination mandate on mystery shopping activities of national competent authorities, the EBA published on 3 May 2021 a Report on the mystery shopping activities of NCAs. The report covers mystery shopping initiatives of NCAs in respect of products that fall within the scope of action of the EBA’s consumer protection mandate (consumer credit, mortgage credit, deposits, payment services, electronic money, and payment accounts). It summarises the most common approaches used by the NCAs, based on the information collated primarily covering the period from 2015 to 2020. In addition, the EBA published a methodological guide to mystery shopping which sets out how mystery shopping activities can be carried out in real life and aims to support National Competent Authorities (NCAs) in their design and implementation. The EBA also identified, in cooperation with the BoS, several strategic supervisory priorities that apply union-wide to promote consistent, efficient and effective supervisory practices. The EBA set the priorities of

business model sustainability and adequate governance structures in light of the COVID-19 pandemic for integration into the 2021 work programmes of competent authorities. Competent authorities have taken those priorities into account when drafting their 2021 work programmes and notified the EBA accordingly. Beginning of 2021, the EBA launched a notification procedure to assess the inclusion of those priorities and the conclusion of this assessment was included in the annual report on the convergence of supervisory practices presented to the EBA Board of Supervisors in April 2022.

To increase accountability and transparency, since January 2020 the EBA has submitted minutes of the meetings of the BoS to the EU Parliament with a personal message from the EBA's Chair. In that vein, the EBA's legal unit was also reinforced, and its scope was expanded to cover all Legal and Compliance matters such as ethics, data protection, anti-fraud, risk management, whistleblowing and access to documents.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>EBA enjoys the privileges stipulated in the Protocol on the Privileges and Immunities of the European Communities.</p> <p>Furthermore, the draft Agreement between the French Government and the Agency stipulates the following:</p> <ul style="list-style-type: none"> - The Authority, its assets, income and other property are exempt from all direct taxes; - the French Government shall take appropriate measures to remit or refund the amount of indirect duties and sales taxes included in the prices of real estate or movable property and services where the Commission or the Authority carries out, for the official use of the Authority, significant purchases the price of which includes duties and taxes of this kind. The articles so acquired shall not be assigned for consideration or free of charge in the territory of the French Republic, unless it is on the conditions approved by the Government; - The Authority shall be exempt from all customs duties, prohibitions and restrictions on imports and exports in respect of articles intended for its official use. The articles so imported shall not be assigned for consideration or free of charge in the territory of the French Republic, unless it is on conditions approved by the Government; - The Authority is exempt from any customs duties and prohibitions and restrictions on imports and exports in respect of its publications; - The Authority is entitled to three license plates for service vehicles in the Preferred CD Series. | <p>Articles 12 to 16 of the Protocol on the Privileges and Immunities of the European Communities are applicable to the staff of the Agency. This includes:</p> <ol style="list-style-type: none"> 1) Immunity from jurisdiction as regards acts carried out by them in their official capacity; 2) Exemption from regulations restricting immigration and formalities for the registration of foreigners; 3) Right to import household effects from their last country of residence or from the country of which they are nationals free of customs duty and VAT. <p>Furthermore, the draft Agreement between the French Government and the Agency stipulates the following:</p> <ul style="list-style-type: none"> -The Government shall issue to each staff member (other than those of French nationality and those who are permanent residents in France), after having been informed of their appointment, a special residence permit of the FI category which identifies them as a staff member of the Authority. The Government shall facilitate the issue of residence permits and work permits to members of the family of staff of the Authority and seconded national experts (other than those of French nationality and those who are permanent residents of France); - Staff members have the right to import duty-free motor vehicles for personal use acquired in the State of their last residence or in the State of which they are nationals on the conditions of the latter's internal market and to re-export them in subject, in both cases, to the conditions deemed necessary by the Government; -Staff member may purchase a VAT free motor vehicle within 12 months of first entry in the French territory; -Staff members who, because of only in the exercise of their functions in the service of the Authority, establish their residence in the territory of the French Republic, are exempt from inheritance tax on movable property in France. | <p>An Accredited European School was created by the French State in La Défense, Paris. The Mandate and Service Agreement between the EBA and the Commission was concluded in November 2020, facilitating the payment of an EU financial contribution towards the Accredited European School Paris La Défense. The Accredited European School grants free-of-charge priority enrolment for the children of the EBA staff. The Accredited European School then grants free-of-charge priority enrolment for the children of EBA staff.</p> <p>For children who do not attend this school, education allowances are determined and paid when due.</p> |

1 Overview**1.1 Creation/modification (legal base)**

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) No. 1094/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision 716/2009/EC and repealing Commission Decision 2009/79/EC | 24/11/2010 | The Authority shall act within the powers conferred by this Regulation and within the scope of Directive 2009/138/EC with the exception of Title IV thereof, of Directives 2002/92/EC, 2003/41/EC, 2002/87/EC, 64/225/EEC, 73/239/EEC, 73/240/EEC, 76/580/EEC, 78/473/EEC, 84/641/EEC, 87/344/EEC, 88/357/EEC, 92/49/EEC, 98/78/EC, 2001/17/EC, 2002/83/EC, 2005/68/EC and, to the extent that those acts apply to insurance undertakings, reinsurance undertakings, institutions for occupational retirement provision and insurance intermediaries, within the relevant parts of Directives 2005/60/EC and 2002/65/EC, including all directives, regulations, and decisions based on those acts, and of any further legally binding Union act which confers tasks on the Authority |
| Regulation (EU) 2019/2175 of the European Parliament and of the Council of 18 December 2019, amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority), Regulation (EU) No 1094/2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority), Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds. | 18/12/2019 | Modifications in the mandate and governance in order to ensure stronger and more integrated supervision across the EU. |
| Proposal for a Regulation of the European Parliament and of the Council on Digital Operational Resilience for the financial sector and amending Regulations (EC) No 1060/2009; (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014. Ref. Com(2020)595 | 24/09/2020 | This proposal is part of the Digital finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks arising from it. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to consumers and businesses. |

1.2 Seat

Frankfurt am Main, Germany

1.3 Budget Line

03 10 03 : European Insurance and Occupational Pensions Authority (EIOPA)

1.4 Human Resource Overview EIOPA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 124 | 123 | 99,19% | 129 | 130 |
| Assistants (AST) | 14 | 14 | 100,00% | 15 | 15 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 138 | 137 | 99,28% | 144 | 145 |
| Contract Agents (CA) | 42 | 30 | 71,43% | 42 | 42 |
| Seconded National Experts (SNE) | 29 | 23 | 79,31% | 29 | 29 |
| TOTAL STAFF | 209 | 190 | 90,91% | 215 | 216 |

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview EIOPA

1.5.1.1 Revenues Overview EIOPA

1.5.1.1.1 General revenues EIOPA

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 12 932 000 | 13 470 715 |
| Other Revenue | 22 558 000 | 23 493 072 |
| TOTAL REVENUES | 35 490 000 | 36 963 787 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements EIOPA

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 638 026 | 433 783 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 638 026 | 433 783 |

1.5.1.2 Expenditure Overview EIOPA

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 24 950 626 | 24 950 626 | 26 704 296 | 26 704 296 |
| Title 2 - Infrastructure and operating expenditure | 3 489 000 | 3 489 000 | 3 324 000 | 3 324 000 |
| Title 3 - Operational expenditure | 7688400 | 7688400 | 7369275 | 7369275 |
| TOTAL EXPENDITURE | 36 128 026 | 36 128 026 | 37 397 571 | 37 397 571 |

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 1 305 000 | 1 305 000 |
| TOTAL REVENUES | 1 305 000 | 1 305 000 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2.2 Expenditure Overview (Fees)

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 430 000 | 430 000 | 430 000 | 430 000 |
| Title 2 - Infrastructure and operating expenditure | 75 000 | 75 000 | 75 000 | 75 000 |
| Title 3 - Operational expenditure | 800 000 | 800 000 | 800 000 | 800 000 |
| TOTAL EXPENDITURE | 1 305 000 | 1 305 000 | 1 305 000 | 1 305 000 |

1.5.3 Financial Resources Overview (UE and NCA)

1.5.3.1 Revenues Overview (UE and NCA)

1.5.3.1.1 General revenues (UE and NCA)

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 12 932 000 | 13 470 715 |
| Other Revenue | 21 253 000 | 22 188 072 |
| TOTAL REVENUES | 34 185 000 | 35 658 787 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | 2022 | | 2023 | |
|-------------------------------------------------------------------------|----------------------------------|----------------|-----------------|----------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 638 026 | | 433 783 |
| TOTAL REVENUES | | 638 026 | | 433 783 |

1.5.3.2 Expenditure Overview (UE and NCA)

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 24 520 626 | 24 520 626 | 26 274 296 | 26 274 296 |
| Title 2 - Infrastructure and operating expenditure | 3 414 000 | 3 414 000 | 3 249 000 | 3 249 000 |
| Title 3 - Operational expenditure | 6 888 400 | 6 888 400 | 6 569 275 | 6 569 275 |
| TOTAL EXPENDITURE | 34 823 026 | 34 823 026 | 36 092 571 | 36 092 571 |

2 Human Resources

2.1 Establishment plan posts EIOPA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | | | 1 | | 1 | | 1 |
| AD 15 | | 1 | | 2 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | 4 | | 2 | | 3 | | 4 | | 4 |
| AD 12 | | 10 | | 3 | | 5 | | 6 | | 6 |
| AD 11 | | 13 | | 4 | | 6 | | 7 | | 7 |
| AD 10 | | 14 | | 11 | | 12 | | 14 | | 14 |
| AD 9 | | 19 | | 13 | | 18 | | 18 | | 18 |
| AD 8 | | 15 | | 10 | | 15 | | 18 | | 18 |
| AD 7 | | 15 | | 25 | | 23 | | 24 | | 24 |
| AD 6 | | 20 | | 24 | | 25 | | 22 | | 22 |
| AD 5 | | 11 | | 29 | | 19 | | 14 | | 14 |
| AD TOTAL | | 124 | | 123 | | 129 | | 130 | | 130 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 11 | | 1 | | | | | | | | |
| AST 10 | | 1 | | | | | | | | |
| AST 9 | | 2 | | | | | | | | |
| AST 8 | | 3 | | | | | | 1 | | 1 |
| AST 7 | | 3 | | 1 | | 2 | | 2 | | 2 |
| AST 6 | | 2 | | 2 | | 3 | | 4 | | 4 |
| AST 5 | | 2 | | 5 | | 7 | | 6 | | 6 |
| AST 4 | | | | 5 | | 3 | | 2 | | 2 |
| AST 3 | | | | 1 | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 14 | | 14 | | 15 | | 15 | | 15 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 138 | | 137 | | 144 | | 145 | | 145 |
| GRAND TOTAL | | 138 | | 137 | | 144 | | 145 | | 145 |

2.2 External personnel EIOPA

2.2.1 Contract Agents EIOPA

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 19 | 7 | 19 | 19 |
| Function Group III | 22 | 22 | 22 | 22 |
| Function Group II | 1 | 1 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 42 | 30 | 42 | 42 |

2.2.2 Seconded National Experts EIOPA

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 29 | 23 | 29 | 29 |

3 Financial Resources

3.1 Financial Resources EIOPA

3.1.1 Revenues EIOPA

3.1.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | 1 305 000 | 1 305 000 | 1 305 000 | 0,00% |
| 2 EU CONTRIBUTION | 12 140 600 | 12 932 000 | 13 190 550 | 13 470 715 | 4,17% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | 79 768 | 102 838 | 102 838 | 28,92% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 608 349 | 638 228 | 651 376 | 666 308 | 4,40% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 608 349 | 638 228 | 651 376 | 666 308 | 4,40% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 19 649 677 | 20 614 772 | 21 039 449 | 21 521 764 | 4,40% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 32 398 626 | 35 490 000 | 36 186 375 | 36 963 787 | 4,15% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 441 000 | 638 026 | 433 783 | 433 783 | -32,01% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 441 000 | 638 026 | 433 783 | 433 783 | -32,01% |

3.1.2 Expenditure EIOPA

3.1.2.1 Commitment appropriations EIOPA

| EXPENDITURE | Commitment appropriations EIOPA |
|-------------|---------------------------------|
|-------------|---------------------------------|

| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
|------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 298 784 | 24 950 626 | 25 926 883 | 26 704 296 | 7,03% |
| Salaries & allowances | 17 677 575 | 21 434 826 | 22 412 683 | 23 113 096 | 7,83% |
| <i>- Of which establishment plan posts</i> | <i>13 992 420</i> | <i>16 550 000</i> | <i>17 424 659</i> | <i>18 125 072</i> | <i>9,52%</i> |
| <i>- Of which external personnel</i> | <i>3 685 155</i> | <i>4 884 826</i> | <i>4 988 024</i> | <i>4 988 024</i> | <i>2,11%</i> |
| Expenditure relating to Staff recruitment | 224 221 | 240 000 | 178 000 | 178 000 | -25,83% |
| Employer's pension contributions | 1 483 321 | 1 895 000 | 1 945 000 | 2 022 000 | 6,70% |
| Mission expenses | 523 | 60 000 | 66 000 | 66 000 | 10,00% |
| Socio-medical infrastructure | 61 025 | 121 200 | 121 200 | 121 200 | 0,00% |
| Training | 217 417 | 226 000 | 235 000 | 235 000 | 3,98% |
| External Services | 2 186 026 | 292 000 | 235 000 | 235 000 | -19,52% |
| Receptions, events and representation | 9 266 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 439 410 | 657 600 | 710 000 | 710 000 | 7,97% |
| Other Staff related expenditure | | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 4 135 764 | 3 489 000 | 3 324 000 | 3 324 000 | -4,73% |
| Rental of buildings and associated costs | 2 669 134 | 2 664 000 | 2 562 000 | 2 562 000 | -3,83% |
| Information, communication technology and data processing | 863 765 | 510 000 | 510 000 | 510 000 | 0,00% |
| Movable property and associated costs | 98 642 | 40 000 | 38 000 | 38 000 | -5,00% |
| Current administrative expenditure | 72 803 | 65 000 | 70 000 | 70 000 | 7,69% |
| Postage / Telecommunications | 426 430 | 163 000 | 99 000 | 99 000 | -39,26% |
| Meeting expenses | | 10 000 | 10 000 | 10 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 4 990 | 37 000 | 35 000 | 35 000 | -5,41% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 6 405 077 | 7 688 400 | 7 369 275 | 7 369 275 | -4,15% |

| EXPENDITURE | Commitment appropriations EIOPA | | | | |
|--------------|---------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| TOTAL | 32 839 625 | 36 128 026 | 36 620 158 | 37 397 571 | 3,51% |

3.1.2.2 Payment appropriations EIOPA

| EXPENDITURE | Payment appropriations EIOPA | | | | |
|------------------------------------------------------------------|------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 298 784 | 24 950 626 | 25 926 883 | 26 704 296 | 7,03% |
| Salaries & allowances | 17 677 575 | 21 434 826 | 22 412 683 | 23 113 096 | 7,83% |
| <i>- Of which establishment plan posts</i> | <i>13 992 420</i> | <i>16 550 000</i> | <i>17 424 659</i> | <i>18 125 072</i> | <i>9,52%</i> |
| <i>- Of which external personnel</i> | <i>3 685 155</i> | <i>4 884 826</i> | <i>4 988 024</i> | <i>4 988 024</i> | <i>2,11%</i> |
| Expenditure relating to Staff recruitment | 224 221 | 240 000 | 178 000 | 178 000 | -25,83% |
| Employer's pension contributions | 1 483 321 | 1 895 000 | 1 945 000 | 2 022 000 | 6,70% |
| Mission expenses | 523 | 60 000 | 66 000 | 66 000 | 10,00% |
| Socio-medical infrastructure | 61 025 | 121 200 | 121 200 | 121 200 | 0,00% |
| Training | 217 417 | 226 000 | 235 000 | 235 000 | 3,98% |
| External Services | 2 186 026 | 292 000 | 235 000 | 235 000 | -19,52% |
| Receptions, events and representation | 9 266 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 439 410 | 657 600 | 710 000 | 710 000 | 7,97% |
| Other Staff related expenditure | | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 4 135 764 | 3 489 000 | 3 324 000 | 3 324 000 | -4,73% |
| Rental of buildings and associated costs | 2 669 134 | 2 664 000 | 2 562 000 | 2 562 000 | -3,83% |
| Information, communication technology and data processing | 863 765 | 510 000 | 510 000 | 510 000 | 0,00% |
| Movable property and associated costs | 98 642 | 40 000 | 38 000 | 38 000 | -5,00% |
| Current administrative expenditure | 72 803 | 65 000 | 70 000 | 70 000 | 7,69% |
| Postage / Telecommunications | 426 430 | 163 000 | 99 000 | 99 000 | -39,26% |
| Meeting expenses | | 10 000 | 10 000 | 10 000 | 0,00% |

| EXPENDITURE | Payment appropriations EIOPA | | | | |
|---------------------------------------------------------|------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 4 990 | 37 000 | 35 000 | 35 000 | -5,41% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 6 405 077 | 7 688 400 | 7 369 275 | 7 369 275 | -4,15% |
| TOTAL | 32 839 625 | 36 128 026 | 36 620 158 | 37 397 571 | 3,51% |

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

| REVENUES | General revenues (Fees) | | | | |
|------------------------------------------------------------------------------------------------------------------|-------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | 1 305 000 | 1 305 000 | 1 305 000 | 0,00% |
| 2 EU CONTRIBUTION | | | | | |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | | 1 305 000 | 1 305 000 | 1 305 000 | 0,00% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees) | | | | |
|------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|------------------------------------------------------------------|----------------------------------|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | 430 000 | 430 000 | 430 000 | 0,00% |
| Salaries & allowances | | 390 000 | 390 000 | 390 000 | 0,00% |
| - <i>Of which establishment plan posts</i> | | 390 000 | 390 000 | 390 000 | 0,00% |
| - <i>Of which external personnel</i> | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | 40 000 | 40 000 | 40 000 | 0,00% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | 75 000 | 75 000 | 75 000 | 0,00% |
| Rental of buildings and associated costs | | | | | |
| Information, communication technology and data processing | | 75 000 | 75 000 | 75 000 | 0,00% |

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|---------------------------------------------------------|----------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | 800 000 | 800 000 | 800 000 | 0,00% |
| TOTAL | | 1 305 000 | 1 305 000 | 1 305 000 | 0,00% |

3.2.2.2 Payment appropriations (Fees)

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|--------------------------------------------|-------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | 430 000 | 430 000 | 430 000 | 0,00% |
| Salaries & allowances | | 390 000 | 390 000 | 390 000 | 0,00% |
| - <i>Of which establishment plan posts</i> | | 390 000 | 390 000 | 390 000 | 0,00% |
| - <i>Of which external personnel</i> | | | | | |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | 40 000 | 40 000 | 40 000 | 0,00% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|-----------------------------------------------------------|-------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | 75 000 | 75 000 | 75 000 | 0,00% |
| Rental of buildings and associated costs | | | | | |
| Information, communication technology and data processing | | 75 000 | 75 000 | 75 000 | 0,00% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | | | | | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | 800 000 | 800 000 | 800 000 | 0,00% |
| TOTAL | | 1 305 000 | 1 305 000 | 1 305 000 | 0,00% |

3.2.3 Budget Outturn (Fees)

N/A

3.3 Financial Resources (UE and NCA)

3.3.1 Revenues (UE and NCA)

3.3.1.1 General revenues (UE and NCA)

| REVENUES | General revenues (UE and NCA) | | | | |
|-----------------------------------------------------------------------------|-------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 12 140 600 | 12 932 000 | 13 190 550 | 13 470 715 | 4,17% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | 79 768 | 102 838 | 102 838 | 28,92% |

| REVENUES | General revenues (UE and NCA) | | | | |
|------------------------------------------------------------------------------------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 608 349 | 638 228 | 651 376 | 666 308 | 4,40% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 608 349 | 638 228 | 651 376 | 666 308 | 4,40% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 19 649 677 | 20 614 772 | 21 039 449 | 21 521 764 | 4,40% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 32 398 626 | 34 185 000 | 34 881 375 | 35 658 787 | 4,31% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (UE and NCA) | | | | |
|------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 441 000 | 638 026 | 433 783 | 433 783 | -32,01% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 441 000 | 638 026 | 433 783 | 433 783 | -32,01% |

3.3.2 Expenditure (UE and NCA)

3.3.2.1 Commitment appropriations (UE and NCA)

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|--------------------------------------------|----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 298 784 | 24 520 626 | 25 496 883 | 26 274 296 | 7,15% |
| Salaries & allowances | 17 677 575 | 21 044 826 | 22 022 683 | 22 723 096 | 7,97% |
| - <i>Of which establishment plan posts</i> | 13 992 420 | 16 160 000 | 17 034 659 | 17 735 072 | 9,75% |

| EXPENDITURE | Commitment appropriations (UE and NCA) | | | | |
|-----------------------------------------------------------|----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which external personnel</i> | 3 685 155 | 4 884 826 | 4 988 024 | 4 988 024 | 2,11% |
| Expenditure relating to Staff recruitment | 224 221 | 240 000 | 178 000 | 178 000 | -25,83% |
| Employer's pension contributions | 1 483 321 | 1 855 000 | 1 905 000 | 1 982 000 | 6,85% |
| Mission expenses | 523 | 60 000 | 66 000 | 66 000 | 10,00% |
| Socio-medical infrastructure | 61 025 | 121 200 | 121 200 | 121 200 | 0,00% |
| Training | 217 417 | 226 000 | 235 000 | 235 000 | 3,98% |
| External Services | 2 186 026 | 292 000 | 235 000 | 235 000 | -19,52% |
| Receptions, events and representation | 9 266 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 439 410 | 657 600 | 710 000 | 710 000 | 7,97% |
| Other Staff related expenditure | | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 4 135 764 | 3 414 000 | 3 249 000 | 3 249 000 | -4,83% |
| Rental of buildings and associated costs | 2 669 134 | 2 664 000 | 2 562 000 | 2 562 000 | -3,83% |
| Information, communication technology and data processing | 863 765 | 435 000 | 435 000 | 435 000 | 0,00% |
| Movable property and associated costs | 98 642 | 40 000 | 38 000 | 38 000 | -5,00% |
| Current administrative expenditure | 72 803 | 65 000 | 70 000 | 70 000 | 7,69% |
| Postage / Telecommunications | 426 430 | 163 000 | 99 000 | 99 000 | -39,26% |
| Meeting expenses | | 10 000 | 10 000 | 10 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 4 990 | 37 000 | 35 000 | 35 000 | -5,41% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 6 405 077 | 6 888 400 | 6 569 275 | 6 569 275 | -4,63% |
| TOTAL | 32 839 625 | 34 823 026 | 35 315 158 | 36 092 571 | 3,65% |

3.3.2.2 Payment appropriations (UE and NCA)

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | |
|------------------------------------------------------------------|-------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 22 298 784 | 24 520 626 | 25 496 883 | 26 274 296 | 7,15% |
| Salaries & allowances | 17 677 575 | 21 044 826 | 22 022 683 | 22 723 096 | 7,97% |
| - <i>Of which establishment plan posts</i> | 13 992 420 | 16 160 000 | 17 034 659 | 17 735 072 | 9,75% |
| - <i>Of which external personnel</i> | 3 685 155 | 4 884 826 | 4 988 024 | 4 988 024 | 2,11% |
| Expenditure relating to Staff recruitment | 224 221 | 240 000 | 178 000 | 178 000 | -25,83% |
| Employer's pension contributions | 1 483 321 | 1 855 000 | 1 905 000 | 1 982 000 | 6,85% |
| Mission expenses | 523 | 60 000 | 66 000 | 66 000 | 10,00% |
| Socio-medical infrastructure | 61 025 | 121 200 | 121 200 | 121 200 | 0,00% |
| Training | 217 417 | 226 000 | 235 000 | 235 000 | 3,98% |
| External Services | 2 186 026 | 292 000 | 235 000 | 235 000 | -19,52% |
| Receptions, events and representation | 9 266 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 439 410 | 657 600 | 710 000 | 710 000 | 7,97% |
| Other Staff related expenditure | | 20 000 | 20 000 | 20 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 4 135 764 | 3 414 000 | 3 249 000 | 3 249 000 | -4,83% |
| Rental of buildings and associated costs | 2 669 134 | 2 664 000 | 2 562 000 | 2 562 000 | -3,83% |
| Information, communication technology and data processing | 863 765 | 435 000 | 435 000 | 435 000 | 0,00% |
| Movable property and associated costs | 98 642 | 40 000 | 38 000 | 38 000 | -5,00% |
| Current administrative expenditure | 72 803 | 65 000 | 70 000 | 70 000 | 7,69% |
| Postage / Telecommunications | 426 430 | 163 000 | 99 000 | 99 000 | -39,26% |
| Meeting expenses | | 10 000 | 10 000 | 10 000 | 0,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 4 990 | 37 000 | 35 000 | 35 000 | -5,41% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations (UE and NCA) | | | | VAR 2023/2022 (%) |
|-----------------------------------|-------------------------------------|-------------------|-------------------|-------------------|-------------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 6 405 077 | 6 888 400 | 6 569 275 | 6 569 275 | -4,63% |
| TOTAL | 32 839 625 | 34 823 026 | 35 315 158 | 36 092 571 | 3,65% |

3.3.3 Budget Outturn (UE and NCA)

The EIOPA budget outturn 2021 is EUR 261.871. Article 6 Budget Outturn provides more detailed information on the calculation of the annual outturn.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports EIOPA's request for 145 Establishment Plan posts, 42 Contract Agent posts and 29 Seconded National Expert posts for 2023.

The additional 1 Establishment Plan post (AD 6) on top the 144 posts provided for in the Establishment Plan included in the adopted budget for 2022 is needed for the implementation of the Supervisory Digital Finance Academy (SDFA), a three-years project to be funded by DG REFORM. As from 2026, the post will be removed from EIOPA's establishment plan.

In addition to the number of Contract Agents shown in the tables 1.4 Human Resources Overview and table 2.2.1 Contract Agents, EIOPA had been allowed one additional Contract Agent (Function Group IV) post for the implementation period (i.e. 2023-2025) of the Supervisory Digital Finance Academy (SDFA) project to be funded by DG REFORM. This post would be over and above the maximum number of external staff specified in the Agency Instructions of the Budgetary Circular for 2023 (i.e. the level agreed during the 2022 budget increased where appropriate for changes documented in the Legislative Financial Statements).

Financial Resources

The Union contribution EIOPA requested for 2023 is in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2022 budget.

Hence, the Commission supports a Union contribution to EIOPA for 2023 of EUR 13 470 715 (of which 13 367 877 is fresh credits). The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

DORA:

The DORA legislative proposal, pending final decision by the co-legislators, will allow EIOPA to contribute to the implementation of the EU strategy for mitigating cyber-attacks and other risks to participants in the financial system as well as introduction of an EU-wide Oversight Framework of critical ICT third-party service providers.

According to the European Commission's proposal the resources for implementation should in part result from the synergies and efficiencies EIOPA strives for and in part the allocation of additional posts (5 AD5 and 1 AST5) for fee funded activities. EIOPA together with the other ESAs conducted an assessment of the actual resources needed to implement the DORA legislative proposal to ensure the efficient fulfilment of the mandate that may be given to EIOPA by the co-legislators, also taking into account more recent information, and came to the conclusion that these resources may well not be sufficient. This is especially the case given the need to establish systems (incl. IT systems) before fee

collection starts. The 2023 Agency request on human resources is based on the current LFS; however, the request may be amended once the negotiations between the co-legislators are concluded.

DG REFORM:

Furthermore, the staff requests include one Temporary Agent (AD6) hired as of 2023 and one Contract Agent FG IV as of mid-2022, both to work on a three-year assignment on the Supervisory Digital Finance Academy (SDFA) project with DG REFORM.

4.1.2 Vacancy rate as of end 2021

The fulfilment of the Establishment Plan in 2021 was 99 %, with one open vacancy at the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

One single average per category has been used based on historical data, except for AD where two averages have been used (existing staff population and newcomers).

95% of the establishment plan has been considered for AD and CA staff the first half year and 100% for the remainder of the year; the rate used in the first six months is 90% for SNEs, 100% for the second half of the year.

The correction coefficient (1.7%) and salaries increase (1.3%) and effect of reclassification (1.4%) have been set to take effect for existing staff. The correction coefficient increase for SNEs is assumed as 2%. New recruits work on average 6 months.

4.1.5 Correction coefficient used

The correction coefficient applicable for EIOPA as of July 2021 is 101.4 %. An increase of 1.7% for the coefficient has been anticipated for the year 2022 and 2023.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

The European Commission proposal for DORA foresees the collection of fees for the amount of EUR 430,000 for title 1 to cover both the staff related (EUR 390,000) and pension costs (EUR 40,000). The amount will be required to assume EIOPA's tasks and powers related to DORA. The additional funding will be used to recruit the additional staff foreseen for 2023 (five AD5 and one AST5).

4.2.1.2 Title 1 (UE and NCA)

The Board of Supervisors adopts the EIOPA budget as a part of the SPD after it is approved by the EU's Budgetary Authority (Council of the European Union and European Parliament).

For the EIOPA budget the European Union grants EIOPA a subsidy of 40%. The remaining 60% are contributions from the Member States, which are calculated according to the weighting of votes set out in Article 3(3) of the Protocol (No 36) on transitional provisions and EFTA contributions calculated in accordance to the weighting factor applicable for the EFTA states.

Since 2016, the Member States and EFTA states pay the employer's contributions to the EU pension scheme in accordance with Article 83a of the Staff regulations. Any surplus (n-2, i.e. from two years before) will be set against the contributions due according to the above model and therefore reduces the relevant contributions.

In 2023, EIOPA will receive additional revenue through multi-annual administrative agreements with the European Commission's DG REFORM through the Technical Support Instrument: EUR 122,000 stemming from the Administrative Agreement signed in 2021. The funds will be used to cover expenditure such as for studies, workshops and missions, and other costs relating to the projects. The two related projects are supervision of conduct risk from POG perspective (Greece) and enhancing supervision in light of the new challenges faced by the insurance and pension funds sector (Portugal).

Lastly, during 2022 it is expected that new agreements will be signed between EIOPA and the Commission-DG REFORM, which may have an impact on the 2023 budget. One new agreement in the pipeline is the project to set up the "EU Supervisory Digital Finance Academy (EU SDFA)". The aim of the Academy is to strengthen supervisory capacity in the area of innovative digital finance, thus supporting the objectives of the EU Digital Finance Strategy. It is also important to provide the basis for developing supervisory practices and tools to be spread across MS. The project is expected to last for 3 years.

EIOPA planned the staff resources according to adopted Multi-Financial-Framework 2021-2027. The annual indexation, correction co-efficient and salary increase have been considered.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

The European Commission proposal for DORA foresees the collection of fees for the amount of EUR 75,000 for title 2 to cover hardware and software related to the new staff. EIOPA will receive additional funds in the form of fees required to assume its new tasks and powers. The additional funding will be used to fulfil the specific requirements in the new legislative decisions

4.2.2.2 Title 2 (UE and NCA)

Title II represents to a large extend fixed running costs such as rent and infrastructure costs, as well as administrative costs like office supplies and IT hardware and software.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

The European Commission proposal for DORA foresees the collection of fees for the amount of EUR 800,000 for title 3 to cover one off ICT related expenditure and the maintenance of the tools to support the reporting requirements and the on-site supervision (EUR 450,000); and additional costs for translations of documentation (EUR 350,000).

4.2.3.2 Title 3 (UE and NCA)

Title III covers operational costs. The budget covers costs for operational IT costs on infrastructure (Data Center running costs), key core-business projects (such as BI 2.0, Digital Regulatory Reporting, Taxonomy, Consumer Research and others) and Training and Events program.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--------------------------------------------------------|----------------------------------|------------|--------------|------------------|------------------------------------------------------|----------------------------------------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Westhafen Tower | Westhafen Platz 1, Frankfurt am Main, D-60327, Germany | 4 664 | 46 | 4 710 | 1 893 602 | Rental contract with fixed duration until 30.06.2028 | The contract defines the price per square metre, which is subject to indexation. | N/A | |
| TOTAL | | | 4 664 | 46 | 4 710 | 1 893 602 | | | | |

5.1.2 Current building(s) Other comments

EIOPA's initial contract with the proprietor (Mann Management GmbH) foresaw a dedicated 10-year contract for every half floor. In June 2019, EIOPA's rental contract was revised, harmonising the fee structure and merging all contract end dates for the rented (half-) floors. This has led to a number of improved lease conditions applicable as of 1 July 2019:

- All contract end dates for the rented (half-) floors are merged into one, i.e. until June 2028;
- 2 rent-free months, respectively in July 2023 and 2024;
- Rental fee as of 1 July 2019 was set at EUR 29.30/month/m² and revised to the current rate of EUR 30.69/month/m² due to two subsequent indexations of 2.4%, effective as of 1 April 2021, and of 2.3%, effective as of 1 October 2021.
- Financial contributions for open office space works for the next years, worth 300,000 EUR in total;
- Re-confirmation of the exit clause of the contract entitling EIOPA to an early termination in case it is closed down or its seat is transferred to a location outside Germany. In addition an early termination right in case the Landlord cannot offer sufficient office space in the event EIOPA needs to expand. In such circumstances EIOPA has the right to terminate the contract under the condition of compensation payments;
- The right of having an additional half-floor via pre-booking without additional costs and keeping on hold until the actual need may arise.

5.1.3 Building projects in the planning phase

The implementation of adjustments in the context of the ESAs review has resulted in an increase of EIOPA staff and requires the provision of additional workstations and the improvement of existing office facilities. To accommodate the need for an enhanced office space utilisation, more workplaces as well as improved meeting and break-out spaces, EIOPA has launched the Workplace Strategy 2020-2022 project. The project also addresses COVID-19 related requirements.

5.1.4 Building projects submitted to the European Parliament and the Council

No new building project was submitted to the European Parliament and the Council (information on building policy provided on 1 June 2019 according to Article 266 of the Regulation (EU, Euratom) 2018/104).

5.2 European schools

EIOPA's staff members have access to the European School in Frankfurt for their children. EIOPA has signed in 2019 an agreement with the Directorate General for Human Resources and is paying contribution towards the school fees since the school year 2019/2020.

5.3 Evaluation

An annual report of the activities of the Authority will be submitted to the Budgetary Authority before 15th of June every year, in line with Art. 43.5 of EIOPA's Founding Regulation and Art. 102 Approval of the final accounts of EIOPA's Financial Regulation.

EIOPA's founding regulation Article 81 stipulates that the Commission shall conduct an evaluation and publish "a general report on the experience acquired as a result of the operation of EIOPA and the procedures laid down in the Regulation. That report shall evaluate, inter alia, (a) the effectiveness and convergence in supervisory practices reached by competent authorities, (b) the functioning of the colleges of supervisors; (c) the progress achieved towards convergence in the fields of crisis prevention, management and resolution, including Union funding mechanisms; (d) the role of the Authority as regards systemic risk; (e) the application of the safeguard clause established in Article 38; (f) the application of the binding mediation role established in Article 19; and the functioning of the Joint Committee".

The first review after the 2019 changes will be published in 2022, with the next report expected by year-end 2024 and further reports every three years thereafter.

According to Article 3 in EIOPA's founding regulation, EIOPA is furthermore accountable to the European Parliament. For instance, at the request of the European Parliament, the Chairperson of EIOPA shall participate every year, along with the Chairpersons of the other European Supervisory Authorities, in a hearing before the European Parliament's Committee on Economic and Monetary Affairs on the performance of the Authorities. Furthermore, EIOPA's Chairperson shall make a statement before the European Parliament and answer any questions from its members, whenever so requested.

EIOPA is furthermore subject to review by the European Court of Auditors and the European Commission Internal Audit Service.

In addition, EIOPA has developed internal policies and procedures for performance monitoring and evaluation. These policies and procedures address strategic, operational and individual performance levels. In accordance with organisational best practices, EIOPA formulates KPIs to enable a structured assessment of performance progress. The KPIs are assessed by EIOPA's Management Board and Board of Supervisors on a regular basis and are included in EIOPA's annual activity reports.

EIOPA establishes on an annual basis an implementation plan that allows monitoring of progress in delivery of products and services as well as the KPIs. Progress is reported to EIOPA's Management Board and Board of Supervisors on a regular basis and allows proactive reprioritisations of resources at both EIOPA and NCA level. The data also feeds into the assessments made by the EIOPA Quality Committee, composed of a sub-set of Board Members, that provide advice to the EIOPA Executive Director on possible organisational adjustments to cater to the needs of the NCAs.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| EIOPA benefits from the privileges granted by the Protocol of Privileges and Immunities to EU organisations and institutions (mainly from the VAT exemption of supplier invoices). | Headquarter agreement signed on 18 October 2011 with the Government of the German Federal Republic. | |

2.1.9 European Securities and Market Authority - ESMA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority). | 24/11/2010 | Establishment of ESMA as an EU Authority that contributes to safeguarding the stability of the EU's financial system by ensuring the integrity, transparency, efficiency and orderly functioning of securities markets, as well as enhancing investor protection. |
| Regulation (EU) N° 513/2011 on credit rating agencies. | 11/05/2011 | Grant ESMA competence for the direct supervision of Credit Rating Agencies in the EU. |
| Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR). | 04/07/2012 | Grants ESMA competence for the direct supervision of Trade Repositories in the EU. |
| Directive 2014/65/EU on markets in financial instruments. | 15/05/2014 | MiFID II |
| Regulation (EU) No 600/2014 on markets in financial instruments. | 15/05/2014 | MiFIR |
| Directive 2014/91/EU on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS). | 23/07/2014 | UCITS Directive |
| Regulation (EU) 2015/2365 on transparency of securities financing transactions. | 25/11/2015 | Extend ESMA competence for the direct supervision of trade repositories in the EU |
| Commission Delegated Regulation (EU) 2017/653 on key information documents for packaged retail and insurance-based investment products (PRIIPs). | 08/03/2017 | PRIIPs delegated regulation |
| Regulation (EU) 2017/1129 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market. | 14/06/2017 | Prospectus Regulation |
| Regulation (EU) 2017/1131 for money market funds (MMFs) established, managed or marketed in the Union. | 14/06/2017 | Money Market Funds |
| Regulation (EU) 2017/2402 laying down a specific framework for simple, transparent and standardised securitisation. | 12/12/2017 | Grants ESMA competence for the direct supervision of Securitisation Repositories in the EU. |
| Regulation (EU) 2019/1156 on facilitating cross-border distribution of collective investment undertakings and amending Regulations (EU) No 345/2013, (EU) No 346/2013 and (EU) No 1286/2014 | 20/06/2019 | An increased cross-border investment flow. Consumers have access to safe and reliable insurance, pension and UCITS products and services, both nationally and across borders. |
| Regulation (EU) 2019/2099 amending Regulation (EU) No 648/2012 as regards the procedures and authorities involved for the authorisation of CCPs and requirements for the recognition of third-country CCPs | 23/10/2019 | Enhanced supervision of CCPs at EU level. More involvement of central banks of issue in the supervision of CCPs. Better ability of the EU to monitor, identify and mitigate third-country CCP risks. |
| Regulation (EU) 2019/2033 on the prudential requirements of investment firms and amending Regulations (EU) No 1093/2010, (EU) No 575/2013, (EU) No 600/2014 and (EU) No 806/2014 | 27/11/2019 | IFR - Uniform prudential requirements which apply to investment firms authorised and supervised under Directive 2014/65/EU and Directive (EU) 2019/2034 |
| Regulation (EU) 2019/2175 amending Regulation (EU) No 1095/2010 establishing the European Securities and Markets Authority, Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds. | 27/12/2019 | ESAs Review – Enhanced supervisory convergence and new direct supervisory mandates for Data Service Providers and critical Benchmarks. |
| Regulation (EU) 2020/1303 of 14 July 2020 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council with regard to the criteria that ESMA should take into account to determine whether a central counterparty established in a third | 14/07/2020 | Criteria that ESMA should take into account to determine whether a TC-CCP is systemically important or likely to become systemically important |

| | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| country is systemically important or likely to become systemically important for the financial stability of the Union or of one or more of its Member States | | |
| Regulation (EU) 2020/1304 of 14 July 2020 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council with regard to the minimum elements to be assessed by ESMA when assessing third-country CCPs' requests for comparable compliance and the modalities and conditions of that assessment | 14/07/2020 | Minimum elements to be assessed by ESMA when assessing TC-CCPs |
| Regulation (EU) 2020/1406 of 2 October 2020 laying down implementing technical standards with regard to procedures and forms for exchange of information and cooperation between competent authorities, ESMA, the Commission and other entities under Articles 24(2) and 25 of Regulation (EU) No 596/2014 of the European Parliament and of the Council on market abuse | 02/10/2020 | ITS with regard to procedures and forms for exchange of information and cooperation |
| Proposal for a Regulation of the European Parliament and of the Council on Markets in Crypto-assets, and amending Directive (EU)2019/1937, Ref. COM(2020)593 final | 24/09/2020 | This proposal is part of the Digital Finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to European consumers and businesses. |
| Proposal for a Regulation of the European Parliament and of the Council on a pilot regime for market infrastructures based on distributed ledger technology, Ref. Com(2020)594 final | 24/09/2020 | This proposal is part of the Digital finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to European consumers and businesses. In addition to this proposal, the package also includes a proposal for a pilot regime on distributed ledger technology (DLT) market infrastructures |
| Proposal for a Regulation of the European Parliament and of the Council on Digital Operational Resilience for the financial sector and amending Regulations (EC) No 1060/2009; (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014, Ref. COM(2020)595 final | 24/09/2020 | This proposal is part of the Digital finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks arising from it. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to consumers and businesses. |
| Commission Delegated Regulation (EU) 2021/1415 of 5 May 2021 supplementing Regulation (EU) 2017/2402 of the European Parliament and of the Council with regard to regulatory technical standards on the cooperation, exchange of information and notification obligations between competent authorities and ESMA, the EBA and EIOPA | 05/05/2021 | Cooperation, exchange of information and notification obligations between competent authorities and ESMA, the EBA and EIOPA |
| Proposal for a Regulation of the European Parliament and of the Council establishing a European single access point providing centralised access to publicly available information of relevance to financial services, capital markets and sustainability, Ref. COM(2021) | 25/11/2021 | The establishment of a European Single Access Point (ESAP) by 2024 is a flagship action of the Capital Markets Union (CMU) Action Plan adopted by the European Commission in September 2020. ESAP will |

| | | |
|-----------|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 723 final | | contribute to the achievement of the CMU's objectives by providing EU-wide access to information activities and products of the various categories of entities that are required to disclose such information, which is relevant to capital markets, financial services and sustainable finance. |
|-----------|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Paris, France.

1.3 Budget Line

03 10 04 : European Securities and Markets Authority (ESMA)

1.4 Human Resource Overview ESMA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 238 | 205 | 86,13% | 233 | 250 |
| Assistants (AST) | 12 | 8 | 66,67% | 10 | 13 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 250 | 213 | 85,20% | 243 | 263 |
| Contract Agents (CA) | 83 | 85 | 102,41% | 97 | 82 |
| Seconded National Experts (SNE) | 33 | 10 | 30,30% | 15 | 30 |
| TOTAL STAFF | 366 | 308 | 84,15% | 355 | 375 |

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview ESMA

1.5.1.1 Revenues Overview ESMA

1.5.1.1.1 General revenues ESMA

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 17 301 544 | 18 588 578 |
| Other Revenue | 50 014 377 | 53 306 099 |
| TOTAL REVENUES | 67 315 921 | 71 894 677 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ESMA

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |

| | | |
|------------------------------------------------------------------------------|--|------------------|
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 3 335 240 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | 3 335 240 |

1.5.1.2 Expenditure Overview ESMA

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 44 781 152 | 44 781 152 | 46 683 197 | 46 683 197 |
| Title 2 - Infrastructure and operating expenditure | 7 977 000 | 7 977 000 | 8 161 000 | 8 161 000 |
| Title 3 - Operational expenditure | 14157769 | 14157769 | 16985720 | 16985720 |
| Title 4 - Delegated tasks | 400000 | 400000 | 3400000 | 3400000 |
| TOTAL EXPENDITURE | 67 315 921 | 67 315 921 | 75 229 917 | 75 229 917 |

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

| REVENUES | 2022 | 2023 |
|------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 21 541 604 | 22 907 191 |
| TOTAL REVENUES | 21 541 604 | 22 907 191 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2.2 Expenditure Overview (Fees)

| Expenditure | 2022 | 2023 |
|-------------|------|------|
|-------------|------|------|

| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
|-----------------------------------------------------------|----------------------------------|-------------------------------|----------------------------------|-------------------------------|
| Title 1 - Staff expenditure | 15 058 661 | 15 058 661 | 15 983 854 | 15 983 854 |
| Title 2 - Infrastructure and operating expenditure | 2 676 790 | 2 676 790 | 2 864 082 | 2 864 082 |
| Title 3 - Operational expenditure | 3 806 153 | 3 806 153 | 4 059 255 | 4 059 255 |
| Title 4 - Delegated tasks | | | | |
| TOTAL EXPENDITURE | 21 541 604 | 21 541 604 | 22 907 191 | 22 907 191 |

1.5.3 Financial Resources Overview (UE, NCA, NCA for delegated tasks)

1.5.3.1 Revenues Overview (UE, NCA, NCA for delegated tasks)

1.5.3.1.1 General revenues (UE, NCA, NCA for delegated tasks)

| REVENUES | 2022 | 2023 |
|------------------------|-----------------------------------------|------------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 17 301 544 | 18 588 578 |
| Other Revenue | 28 472 773 | 30 398 908 |
| TOTAL REVENUES | 45 774 317 | 48 987 486 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks)

| REVENUES | 2022 | 2023 |
|-------------------------------------------------------------------------------------|-----------------------------------------|------------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 3 335 240 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | 3 335 240 |

1.5.3.2 Expenditure Overview (UE, NCA, NCA for delegated tasks)

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|----------------------------------|-------------------------------|----------------------------------|-------------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 29 722 491 | 29 722 491 | 30 699 343 | 30 699 343 |
| Title 2 - Infrastructure and operating expenditure | 5 300 210 | 5 300 210 | 5 296 918 | 5 296 918 |
| Title 3 - Operational expenditure | 10 351 616 | 10 351 616 | 12 926 465 | 12 926 465 |
| Title 4 - Delegated tasks | 400 000 | 400 000 | 3 400 000 | 3 400 000 |
| TOTAL EXPENDITURE | 45 774 317 | 45 774 317 | 52 322 726 | 52 322 726 |

2 Human Resources

2.1 Establishment plan posts ESMA

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 15 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 3 | | | | 1 | | 6 | | 2 |
| AD 12 | | 10 | | 6 | | 5 | | 16 | | 9 |
| AD 11 | | 15 | | 5 | | 7 | | 21 | | 11 |
| AD 10 | | 24 | | 18 | | 17 | | 34 | | 29 |
| AD 9 | | 42 | | 36 | | 36 | | 50 | | 45 |
| AD 8 | | 40 | | 28 | | 29 | | 42 | | 39 |
| AD 7 | | 45 | | 30 | | 42 | | 34 | | 40 |
| AD 6 | | 17 | | 15 | | 42 | | 21 | | 38 |
| AD 5 | | 37 | | 62 | | 49 | | 26 | | 32 |
| AD TOTAL | | 238 | | 205 | | 233 | | 255 | | 250 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 2 | | | | | | | | |
| AST 7 | | 3 | | | | | | 3 | | |
| AST 6 | | 3 | | | | 2 | | 4 | | 4 |
| AST 5 | | 3 | | 5 | | 5 | | | | 9 |
| AST 4 | | 1 | | 2 | | 1 | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | 1 | | |
| AST 1 | | | | 1 | | 2 | | | | |
| AST TOTAL | | 12 | | 8 | | 10 | | 8 | | 13 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 250 | | 213 | | 243 | | 263 | | 263 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| GRAND TOTAL | 250 | | 213 | | 243 | | 263 | | 263 | |

2.2 External personnel ESMA

2.2.1 Contract Agents ESMA

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 66 | 55 | 66 | 66 |
| Function Group III | 17 | 30 | 28 | 16 |
| Function Group II | | | 3 | |
| Function Group I | | | | |
| TOTAL | 83 | 85 | 97 | 82 |

2.2.2 Seconded National Experts ESMA

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 33 | 10 | 15 | 30 |

3 Financial Resources

3.1 Financial Resources ESMA

3.1.1 Revenues ESMA

3.1.1.1 General revenues

| REVENUES | General revenues | | | | |
|--------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 15 945 051 | 21 541 604 | 22 907 191 | 22 907 191 | 6,34% |
| 2 EU CONTRIBUTION | 18 061 015 | 17 301 544 | 18 235 862 | 18 588 578 | 7,44% |
| - Of which assigned revenues deriving from previous years' surpluses | 77 585 | 1 298 280 | 241 498 | 241 498 | -81,40% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 740 658 | 845 008 | 885 501 | 902 851 | 6,85% |
| - Of which EEA/EFTA (excl. Switzerland) | 740 658 | 845 008 | 885 501 | 902 851 | 6,85% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 23 923 239 | 27 293 765 | 28 601 700 | 29 162 057 | 6,85% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| 8 CONTRIBUTION FOR DELEGATED TASKS | 1 977 161 | 334 000 | 334 000 | 334 000 | 0,00% |
| TOTAL | 60 647 124 | 67 315 921 | 70 964 254 | 71 894 677 | 6,80% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | 3 335 240 | 3 335 240 | 100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | 3 335 240 | 3 335 240 | 100% |

3.1.2 Expenditure ESMA

3.1.2.1 Commitment appropriations ESMA

| EXPENDITURE | Commitment appropriations ESMA | | | | |
|--------------------------------------------------|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 37 603 952 | 44 781 152 | 45 752 774 | 46 683 197 | 4,25% |
| Salaries & allowances | 33 170 827 | 39 045 627 | 39 823 418 | 40 672 785 | 4,17% |
| <i>- Of which establishment plan posts</i> | <i>27 277 101</i> | <i>31 007 527</i> | <i>31 724 135</i> | <i>32 573 502</i> | <i>5,05%</i> |
| <i>- Of which external personnel</i> | <i>5 893 726</i> | <i>8 038 100</i> | <i>8 099 283</i> | <i>8 099 283</i> | <i>0,76%</i> |
| Expenditure relating to Staff recruitment | 510 000 | 300 000 | 305 000 | 305 000 | 1,67% |
| Employer's pension contributions | 3 064 288 | 4 044 525 | 4 081 056 | 4 162 112 | 2,91% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 585 540 | 985 000 | 1 087 000 | 1 087 000 | 10,36% |

| EXPENDITURE | Commitment appropriations ESMA | | | | |
|-----------------------------------------------------------|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Training | 270 392 | 400 000 | 450 000 | 450 000 | 12,50% |
| External Services | | | | | |
| Receptions, events and representation | 2 905 | 6 000 | 6 300 | 6 300 | 5,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 7 904 161 | 7 977 000 | 8 161 000 | 8 161 000 | 2,31% |
| Rental of buildings and associated costs | 6 374 725 | 6 520 000 | 6 594 000 | 6 594 000 | 1,13% |
| Information, communication technology and data processing | 582 076 | 450 000 | 500 000 | 500 000 | 11,11% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 947 360 | 1 007 000 | 1 067 000 | 1 067 000 | 5,96% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 12 394 299 | 14 157 769 | 16 985 720 | 16 985 720 | 19,97% |
| Training for a common supervisory culture | | 70 000 | 72 000 | 72 000 | 2,86% |
| Collection of information : IT projects | 10 545 651 | 12 250 000 | 14 425 000 | 14 425 000 | 17,76% |
| Legal advice | 59 000 | 130 000 | 135 000 | 135 000 | 3,85% |
| Access to data for Economic Research | 622 951 | 625 000 | 700 000 | 700 000 | 12,00% |
| Mission expenses, travel and incidental expenses | 6 305 | 320 000 | 591 700 | 591 700 | 84,91% |
| Communications | 787 768 | 288 679 | 403 480 | 403 480 | 39,77% |
| Meeting expenses | 119 891 | 420 000 | 558 540 | 558 540 | 32,99% |
| Services on operational matters | 252 733 | 54 090 | 100 000 | 100 000 | 84,88% |

| EXPENDITURE | Commitment appropriations ESMA | | | | |
|---------------------------|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 4 - Delegated tasks | 2 744 712 | 400 000 | 3 400 000 | 3 400 000 | 750,00% |
| TOTAL | 60 647 124 | 67 315 921 | 74 299 494 | 75 229 917 | 11,76% |

3.1.2.2 Payment appropriations ESMA

| EXPENDITURE | Payment appropriations ESMA | | | | |
|-----------------------------------------------------------|-----------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 37 603 952 | 44 781 152 | 45 752 774 | 46 683 197 | 4,25% |
| Salaries & allowances | 33 170 827 | 39 045 627 | 39 823 418 | 40 672 785 | 4,17% |
| - Of which establishment plan posts | 27 277 101 | 31 007 527 | 31 724 135 | 32 573 502 | 5,05% |
| - Of which external personnel | 5 893 726 | 8 038 100 | 8 099 283 | 8 099 283 | 0,76% |
| Expenditure relating to Staff recruitment | 510 000 | 300 000 | 305 000 | 305 000 | 1,67% |
| Employer's pension contributions | 3 064 288 | 4 044 525 | 4 081 056 | 4 162 112 | 2,91% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 585 540 | 985 000 | 1 087 000 | 1 087 000 | 10,36% |
| Training | 270 392 | 400 000 | 450 000 | 450 000 | 12,50% |
| External Services | | | | | |
| Receptions, events and representation | 2 905 | 6 000 | 6 300 | 6 300 | 5,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 7 904 161 | 7 977 000 | 8 161 000 | 8 161 000 | 2,31% |
| Rental of buildings and associated costs | 6 374 725 | 6 520 000 | 6 594 000 | 6 594 000 | 1,13% |
| Information, communication technology and data processing | 582 076 | 450 000 | 500 000 | 500 000 | 11,11% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 947 360 | 1 007 000 | 1 067 000 | 1 067 000 | 5,96% |
| Postage / Telecommunications | | | | | |

| EXPENDITURE | Payment appropriations ESMA | | | | |
|---------------------------------------------------------|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 12 394 299 | 14 157 769 | 16 985 720 | 16 985 720 | 19,97% |
| Training for a common supervisory culture | | 70 000 | 72 000 | 72 000 | 2,86% |
| Collection of information : IT projects | 10 545 651 | 12 250 000 | 14 425 000 | 14 425 000 | 17,76% |
| Legal advice | 59 000 | 130 000 | 135 000 | 135 000 | 3,85% |
| Access to data for Economic Research | 622 951 | 625 000 | 700 000 | 700 000 | 12,00% |
| Mission expenses, travel and incidental expenses | 6 305 | 320 000 | 591 700 | 591 700 | 84,91% |
| Communications | 787 768 | 288 679 | 403 480 | 403 480 | 39,77% |
| Meeting expenses | 119 891 | 420 000 | 558 540 | 558 540 | 32,99% |
| Services on operational matters | 252 733 | 54 090 | 100 000 | 100 000 | 84,88% |
| Title 4 - Delegated tasks | 2 744 712 | 400 000 | 3 400 000 | 3 400 000 | 750,00% |
| TOTAL | 60 647 124 | 67 315 921 | 74 299 494 | 75 229 917 | 11,76% |

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

| REVENUES | General revenues (Fees) | | | | |
|--------------------------------------------------------------------------------|-------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 15 945 051 | 21 541 604 | 22 907 191 | 22 907 191 | 6,34% |
| 2 EU CONTRIBUTION | | | | | |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |

| REVENUES | General revenues (Fees) | | | | |
|-----------------------------------------------------------------------------------------------------------|-------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| 8 CONTRIBUTION FOR DELEGATED TASKS | | | | | |
| TOTAL | 15 945 051 | 21 541 604 | 22 907 191 | 22 907 191 | 6,34% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Fees) | | | | |
|------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|-------------------------------------|----------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 10 627 018 | 15 058 661 | 15 983 854 | 15 983 854 | 6,14% |
| Salaries & allowances | 9 374 201 | 12 626 356 | 13 383 228 | 13 383 228 | 5,99% |
| - Of which establishment plan posts | 7 706 283 | 9 997 268 | 10 648 620 | 10 648 620 | 6,52% |
| - Of which external personnel | 1 667 918 | 2 629 088 | 2 734 608 | 2 734 608 | 4,01% |

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|-----------------------------------------------------------|----------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Expenditure relating to Staff recruitment | 144 128 | 100 669 | 107 752 | 107 752 | 7,04% |
| Employer's pension contributions | 865 979 | 1 864 868 | 1 947 649 | 1 947 649 | 4,44% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 165 475 | 330 530 | 384 021 | 384 021 | 16,18% |
| Training | 76 414 | 134 225 | 158 978 | 158 978 | 18,44% |
| External Services | | | | | |
| Receptions, events and representation | 821 | 2 013 | 2 226 | 2 226 | 10,58% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 233 745 | 2 676 790 | 2 864 082 | 2 864 082 | 7,00% |
| Rental of buildings and associated costs | 1 801 521 | 2 187 874 | 2 310 484 | 2 310 484 | 5,60% |
| Information, communication technology and data processing | 164 497 | 151 004 | 176 643 | 176 643 | 16,98% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 267 727 | 337 912 | 376 955 | 376 955 | 11,55% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 084 288 | 3 806 153 | 4 059 255 | 4 059 255 | 6,65% |
| Training for a common supervisory culture | | | | | |
| Collection of information : IT projects | 2 809 324 | 3 444 402 | 3 593 586 | 3 593 586 | 4,33% |
| Legal advice | 16 674 | 43 624 | 47 693 | 47 693 | 9,33% |
| Access to data for Economic Research | | | | | |

| EXPENDITURE | Commitment appropriations (Fees) | | | | |
|--------------------------------------------------|----------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses, travel and incidental expenses | 1 782 | 123 264 | 159 291 | 159 291 | 29,23% |
| Communications | 222 626 | 43 947 | 79 812 | 79 812 | 81,61% |
| Meeting expenses | 33 882 | 150 916 | 178 873 | 178 873 | 18,52% |
| Services on operational matters | | | | | |
| Title 4 - Delegated tasks | | | | | |
| TOTAL | 15 945 051 | 21 541 604 | 22 907 191 | 22 907 191 | 6,34% |

3.2.2.2 Payment appropriations (Fees)

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|-----------------------------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 10 627 018 | 15 058 661 | 15 983 854 | 15 983 854 | 6,14% |
| Salaries & allowances | 9 374 201 | 12 626 356 | 13 383 228 | 13 383 228 | 5,99% |
| - <i>Of which establishment plan posts</i> | 7 706 283 | 9 997 268 | 10 648 620 | 10 648 620 | 6,52% |
| - <i>Of which external personnel</i> | 1 667 918 | 2 629 088 | 2 734 608 | 2 734 608 | 4,01% |
| Expenditure relating to Staff recruitment | 144 128 | 100 669 | 107 752 | 107 752 | 7,04% |
| Employer's pension contributions | 865 979 | 1 864 868 | 1 947 649 | 1 947 649 | 4,44% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 165 475 | 330 530 | 384 021 | 384 021 | 16,18% |
| Training | 76 414 | 134 225 | 158 978 | 158 978 | 18,44% |
| External Services | | | | | |
| Receptions, events and representation | 821 | 2 013 | 2 226 | 2 226 | 10,58% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 233 745 | 2 676 790 | 2 864 082 | 2 864 082 | 7,00% |
| Rental of buildings and associated costs | 1 801 521 | 2 187 874 | 2 310 484 | 2 310 484 | 5,60% |

| EXPENDITURE | Payment appropriations (Fees) | | | | |
|-----------------------------------------------------------|-------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | 164 497 | 151 004 | 176 643 | 176 643 | 16,98% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 267 727 | 337 912 | 376 955 | 376 955 | 11,55% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 084 288 | 3 806 153 | 4 059 255 | 4 059 255 | 6,65% |
| Training for a common supervisory culture | | | | | |
| Collection of information : IT projects | 2 809 324 | 3 444 402 | 3 593 586 | 3 593 586 | 4,33% |
| Legal advice | 16 674 | 43 624 | 47 693 | 47 693 | 9,33% |
| Access to data for Economic Research | | | | | |
| Mission expenses, travel and incidental expenses | 1 782 | 123 264 | 159 291 | 159 291 | 29,23% |
| Communications | 222 626 | 43 947 | 79 812 | 79 812 | 81,61% |
| Meeting expenses | 33 882 | 150 916 | 178 873 | 178 873 | 18,52% |
| Services on operational matters | | | | | |
| Title 4 - Delegated tasks | | | | | |
| TOTAL | 15 945 051 | 21 541 604 | 22 907 191 | 22 907 191 | 6,34% |

3.2.3 Budget Outturn (Fees)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue) is EUR 241 498.

3.3 Financial Resources (UE, NCA, NCA for delegated tasks)

3.3.1 Revenues (UE, NCA, NCA for delegated tasks)

3.3.1.1 General revenues (UE, NCA, NCA for delegated tasks)

| REVENUES | General revenues (UE, NCA, NCA for delegated tasks) | | | | |
|------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 18 061 015 | 17 301 544 | 18 235 862 | 18 588 578 | 7,44% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 77 585 | 1 298 280 | 241 498 | 241 498 | -81,40% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 740 658 | 845 008 | 885 501 | 902 851 | 6,85% |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 740 658 | 845 008 | 885 501 | 902 851 | 6,85% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 23 923 239 | 27 293 765 | 28 601 700 | 29 162 057 | 6,85% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| 8 CONTRIBUTION FOR DELEGATED TASKS | 1 977 161 | 334 000 | 334 000 | 334 000 | 0,00% |
| TOTAL | 44 702 073 | 45 774 317 | 48 057 063 | 48 987 486 | 7,02% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks) | | | | |
|------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | 3 335 240 | 3 335 240 | 100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | 3 335 240 | 3 335 240 | 100% |

3.3.2 Expenditure (UE, NCA, NCA for delegated tasks)

3.3.2.1 Commitment appropriations (UE, NCA, NCA for delegated tasks)

| EXPENDITURE | Commitment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|------------------------------------------------------------------|--------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 26 976 934 | 29 722 491 | 29 768 920 | 30 699 343 | 3,29% |
| Salaries & allowances | 23 796 626 | 26 419 271 | 26 440 190 | 27 289 557 | 3,29% |
| - <i>Of which establishment plan posts</i> | 19 570 818 | 21 010 259 | 21 075 515 | 21 924 882 | 4,35% |
| - <i>Of which external personnel</i> | 4 225 808 | 5 409 012 | 5 364 675 | 5 364 675 | -0,82% |
| Expenditure relating to Staff recruitment | 365 872 | 199 331 | 197 248 | 197 248 | -1,04% |
| Employer's pension contributions | 2 198 309 | 2 179 657 | 2 133 407 | 2 214 463 | 1,60% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 420 065 | 654 470 | 702 979 | 702 979 | 7,41% |
| Training | 193 978 | 265 775 | 291 022 | 291 022 | 9,50% |
| External Services | | | | | |
| Receptions, events and representation | 2 084 | 3 987 | 4 074 | 4 074 | 2,18% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 670 416 | 5 300 210 | 5 296 918 | 5 296 918 | -0,06% |
| Rental of buildings and associated costs | 4 573 204 | 4 332 126 | 4 283 516 | 4 283 516 | -1,12% |
| Information, communication technology and data processing | 417 579 | 298 996 | 323 357 | 323 357 | 8,15% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 679 633 | 669 088 | 690 045 | 690 045 | 3,13% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|--------------------------------------------------|--------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 9 310 011 | 10 351 616 | 12 926 465 | 12 926 465 | 24,87% |
| Training for a common supervisory culture | | 70 000 | 72 000 | 72 000 | 2,86% |
| Collection of information : IT projects | 7 736 327 | 8 805 598 | 10 831 414 | 10 831 414 | 23,01% |
| Legal advice | 42 326 | 86 376 | 87 307 | 87 307 | 1,08% |
| Access to data for Economic Research | 622 951 | 625 000 | 700 000 | 700 000 | 12,00% |
| Mission expenses, travel and incidental expenses | 4 523 | 196 736 | 432 409 | 432 409 | 119,79% |
| Communications | 565 142 | 244 732 | 323 668 | 323 668 | 32,25% |
| Meeting expenses | 86 009 | 269 084 | 379 667 | 379 667 | 41,10% |
| Services on operational matters | 252 733 | 54 090 | 100 000 | 100 000 | 84,88% |
| Title 4 - Delegated tasks | 2 744 712 | 400 000 | 3 400 000 | 3 400 000 | 750,00% |
| TOTAL | 44 702 073 | 45 774 317 | 51 392 303 | 52 322 726 | 14,31% |

3.3.2.2 Payment appropriations (UE, NCA, NCA for delegated tasks)

| EXPENDITURE | Payment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|-------------------------------------------|-----------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 26 976 934 | 29 722 491 | 29 768 920 | 30 699 343 | 3,29% |
| Salaries & allowances | 23 796 626 | 26 419 271 | 26 440 190 | 27 289 557 | 3,29% |
| - Of which establishment plan posts | 19 570 818 | 21 010 259 | 21 075 515 | 21 924 882 | 4,35% |
| - Of which external personnel | 4 225 808 | 5 409 012 | 5 364 675 | 5 364 675 | -0,82% |
| Expenditure relating to Staff recruitment | 365 872 | 199 331 | 197 248 | 197 248 | -1,04% |
| Employer's pension contributions | 2 198 309 | 2 179 657 | 2 133 407 | 2 214 463 | 1,60% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 420 065 | 654 470 | 702 979 | 702 979 | 7,41% |
| Training | 193 978 | 265 775 | 291 022 | 291 022 | 9,50% |

| EXPENDITURE | Payment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|-----------------------------------------------------------|-----------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| External Services | | | | | |
| Receptions, events and representation | 2 084 | 3 987 | 4 074 | 4 074 | 2,18% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 670 416 | 5 300 210 | 5 296 918 | 5 296 918 | -0,06% |
| Rental of buildings and associated costs | 4 573 204 | 4 332 126 | 4 283 516 | 4 283 516 | -1,12% |
| Information, communication technology and data processing | 417 579 | 298 996 | 323 357 | 323 357 | 8,15% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 679 633 | 669 088 | 690 045 | 690 045 | 3,13% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 9 310 011 | 10 351 616 | 12 926 465 | 12 926 465 | 24,87% |
| Training for a common supervisory culture | | 70 000 | 72 000 | 72 000 | 2,86% |
| Collection of information : IT projects | 7 736 327 | 8 805 598 | 10 831 414 | 10 831 414 | 23,01% |
| Legal advice | 42 326 | 86 376 | 87 307 | 87 307 | 1,08% |
| Access to data for Economic Research | 622 951 | 625 000 | 700 000 | 700 000 | 12,00% |
| Mission expenses, travel and incidental expenses | 4 523 | 196 736 | 432 409 | 432 409 | 119,79% |
| Communications | 565 142 | 244 732 | 323 668 | 323 668 | 32,25% |
| Meeting expenses | 86 009 | 269 084 | 379 667 | 379 667 | 41,10% |
| Services on operational matters | 252 733 | 54 090 | 100 000 | 100 000 | 84,88% |
| Title 4 - Delegated tasks | 2 744 712 | 400 000 | 3 400 000 | 3 400 000 | 750,00% |

| EXPENDITURE | Payment appropriations (UE, NCA, NCA for delegated tasks) | | | | |
|--------------|-----------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| TOTAL | 44 702 073 | 45 774 317 | 51 392 303 | 52 322 726 | 14,31% |

3.3.3 Budget Outturn (UE, NCA, NCA for delegated tasks)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue) is EUR 241 498.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports ESMA's request for 263 Establishment Plan posts for 2023. This request is in line with the need for stable staffing.

The 20 posts over and above the posts of Establishment Plan posts authorised for 2022 (i.e. 243) consist of:

- 19 posts which could have been allocated to ESMA in 2022 if ESMA had requested them. ESMA did not request them for 2022 because it intended more slowly to fill some of the posts foreseen in the Legislative Financial Statements (LFS) for the ESAs Review and EMIR 2.2 than initially planned. However, ESMA now requests these posts for 2023;
- 1 AD6 post for the implementation of the Supervisory Digital Finance Academy (SDFA), a three-years project to be funded by DG REFORM the post for which will be removed from EBA's Establishment Plan for 2026

However, ESMA requests five of its AST posts to be converted into AD posts. The Commission is not in a position to support this request.

The Commission notes that ESMA has requested 100 Contract Agent posts and 30 Seconded National Experts (SNEs) which is a total of 130 posts. However, in line with the stable staffing requirement in the Budget Circular for 2023 the Commission can only support 82 Contract Agent posts for ESMA in 2023. In comparison with the CA posts allocated to ESMA for 2022 this represents a decrease of 15 CAs.

Those 15 CAs were a temporarily increase in the number of CAs in 2022 which was exceptionally granted to ESMA as it did not request the total number of TAs posts for 2022 and subject to the total number of TA and CA posts combined remaining within the limit (i.e. 345). However this exception could not be continued beyond 2022 as ESMA requests all 263 Establishment plan posts for 2023.

In addition to the number of Contract Agents shown in the tables 1.4 Human Resources Overview and table 2.2.1 Contract Agents, ESMA had been allowed one additional Contract Agent (Function Group IV) post for the implementation period (i.e. 2023-2025) of the Supervisory Digital Finance Academy (SDFA) project to be funded by DG REFORM and three CAs (for the single year 2023) for establishing the European Single Access Point (ESAP) as foreseen by the LFS accompanying the Commission's legislative proposal.

The Commission supports ESMA's request for 30 Seconded National Experts (SNEs) posts. The Commission notes that based on the SNEs posts available to ESMA for the 2014-2020 MFF and the adopted Legislative Financial Statements, ESMA could have requested 35 SNEs. The Commission notes that the remaining 5 SNE posts might be requested by ESMA in subsequent years.

Financial Resources

The Commission supports ESMA's request for a Union subsidy of EUR 18 588 578 for ESMA (of which EUR 18 347 080 is fresh credits). The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

EUR 18 474 489 relates to the agreed and established tasks of ESMA and EUR 114 089 relates to the new task that it is proposed that ESMA be given for the pilot regime for market infrastructures based on distributed ledger technology (the resources for which were set out in the Legislative Financial statement that accompanied the Commission proposal for a Regulation on Markets in Crypto-assets). In this respect, the Commission notes that ESMA has requested EUR 23 911 less than the maximum EUR 138 000 Union contribution for 2023 that could have been made available to ESMA for the tasks for the pilot regime for market infrastructures based on distributed ledger technology.

Hence ESMA's request for a Union contribution for 2023 is EUR 23 911 below that included in the Union financial programming for 2023-2027.

The Commission however considers that the fee revenue ESMA forecasts to collect from Data Reporting Service Providers (EUR 2 900 000) may be overestimated taking into account the need for fees not to be disproportionate to the size of a supervised entity.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The total number of staff request includes two posts envisioned under an agreement, to be signed with DG REFORM. The two posts are one TA – AD6 (post might be AD5 to AD7, depending on the suitable candidate), and one CA post, which has not been included in table 2.2.1. Both posts are granted for the duration of the agreement with DG REFORM.

4.1.2 Vacancy rate as of end 2021

The vacancy rate at the end of 2021 was 4%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

A linear phase-in for the budgeted salary costs for the requested new staff has been applied. Average date of entry into service is 01/07.

4.1.4 Salary assumption for calculating salary line (% applied)

Salary increases due to inflation have been assumed to be 2.0% in July 2022 and 2.0% July 2023. The overall vacancy rate has been calculated with average entry into service of the new staff from 1st July 2023.

4.1.5 Correction coefficient used

The correction coefficient for Paris has been decreased from 120.50% to 119.90% in the end of 2021.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

The financial resources presented for the period 2023-2025 incorporate all ESMA's mandates entered into force so far including EMIR 2.2., the ESAs' Review (the direct supervision of Data Reporting Services Providers and

Benchmarks), the direct supervision of the Trade Repositories (TRs) under transparency of securities financing transactions (SFTR), and of Securitisation Repositories (SRs). On top, ESMA is also including the resources concerning the following Commission's proposal which are still under discussion - the digital finance package (Digital Operational Resilience Act (DORA)).

ESMA's budget includes fee-related budget following full-cost recovery principle for ESMA's direct supervision activities.

For 2023, ESMA plans to levy the following fees – Credit Rating Agencies fees (€10,029,962), TR fees (€1,894,842), SFTR fees (€854,120), SR fees (€401,659), and EMIR 2.2 (€4,792,351 of which Tier 1 fees €1,665,202 and Tier 2 fees €3,127,149), Benchmarks (€663,685), Data Reporting Service Providers (DRSP) (€2,900,000 of which from Data Service Providers - Authorised Reporting Mechanism €1,527,745 and from Data Service Providers -Approved Publications Arrangements €1,372,255) and DORA (€1,370,572), for the latter with the caveat related to the high risk that the relevant legal framework will not be ready for enabling the fee collection from 2023).

According to the Staff Regulations, ESMA, as an Authority partially financed from the general budget of the EU, pays the part of the employer's pension contributions corresponding to the proportion of its non-EU funded (e.g. staff funded by NCAs contributions and fees). ESMA's Activity Based Budgeting model calculates that for 2023 this proportion is at 74.3% and represents a cost equal to €4,081,056, added to the Title 1, this amount will not be funded by the EU subsidy. Out of the total amount - €1,947,649 will be paid by fees, covering 100% of the pension contribution for the fee-funded posts. The outstanding €2,133,407 will be covered by the NCA contribution.

4.2.1.2 Title 1 (UE, NCA, NCA for delegated tasks)

The financial resources presented for the period 2023-2025 incorporate all ESMA's mandates entered into force so far, as well as the Regulations on Cross-Border Funds Distribution and the European Crowdfunding Service Providers (ECSP), including the resources concerning the following Commission's proposals which are still under discussion - Markets in Crypto-assets (MiCA), including Distributed Ledger Technology (DLT) Pilot Regime Regulation, for which ESMA's request an amount of €114,089, and the newly published European Single Access Point (ESAP).

ESMA's budget also includes contributions from the National Competent Authorities (NCAs) and the EU based on the 60/40 ratio, contributions from NCAs and other external entities for ESMA's IT delegated project Single Interface to Trade Repositories (TRACE) (external assigned revenue). Moreover, for 2023 also a €3M contribution (agreement to be signed) with the European Commission on the European Single Access Point (ESAP), currently indicated under Title 4 – Delegated Tasks, and an agreement for the Digital Finance Academy (to be signed) with DG REFORM for €335,240 are included in the agency statement.

According to the Staff Regulations, ESMA, as an Authority partially financed from the general budget of the EU, pays the part of the employer's pension contributions corresponding to the proportion of its non-EU funded (e.g. staff funded by NCAs contributions and fees). ESMA's Activity Based Budgeting model calculates that for 2023 this proportion is at 74.3% and represents a cost equal to €4,081,056, added to the Title 1, this amount will not be funded by the EU subsidy. Out of the total amount - €1,947,649 will be paid by fees, covering 100% of the pension contribution for the fee-funded posts. The outstanding €2,133,407 will be covered by the NCA contribution.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

Title 2 budget in 2023 (+ 2%) is stable versus 2022 and 2021.

4.2.2.2 Title 2 (UE, NCA, NCA for delegated tasks)

Title 2 budget in 2023 (+ 2%) is stable versus 2022 and 2021.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

In 2023, the main expenditure under Title 3 is linked to IT development and maintenance costs. The total cost for IT projects funded through fees include the development and maintenance costs for 11 systems. Moreover, Title 3 also includes some increases across communications, missions, meetings with stakeholders and data management costs to account for the projected increase in the Authority's staff base and tasks. The increase for missions and meetings stem from a budgeted gradual increase, hopefully, in 2023 following a post-Covid normalisation of the regular business travels and to a partial return of the physical meetings.

4.2.3.2 Title 3 (UE, NCA, NCA for delegated tasks)

In 2023, the main expenditure under Title 3 is linked to IT development and maintenance costs. The total cost for IT projects funded through EU/NCA contribution includes both the development costs for new systems and the maintenance costs for more than 35 different systems. Notably, the development costs are in compliance with ESMA's mandates under the Capital Markets Union, MiFID II and the new regulations on Cybersecurity and on Information Security in the EU institutions and body. The costs also cover the development and maintenance for the IT corporate systems that ESMA needs to operate. Moreover, Title 3 also includes some increases across communications, missions, meetings with stakeholders and data management costs to account for the projected increase in the Authority's staff base and tasks. The increase for missions and meetings stem from a budgeted gradual increase, hopefully, in 2023 following a post-Covid normalisation of the regular business travels and to a partial return of the physical meetings.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--------------------------------------------|----------------------------------|--------------|--------------|------------------|-----------------------------------|-------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Box | 201-203 rue de Bercy, 75012 Paris - France | 8 016 | 1 116 | 9 132 | 4 512 954 | Lease expiring on 10 October 2028 | Lease | None | |
| TOTAL | | | 8 016 | 1 116 | 9 132 | 4 512 954 | | | | |

5.1.2 Current building(s) Other comments

The annual rent is the contractual amount for the rent in 2022. Each year a nationally set rate of inflation is applied. ESMA rents in addition 4 archive rooms and 28 car parking places, 5 motorcycle places and 35 bicycle places.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European schools

Following the move of EBA to Paris, the French government decided to set up an accredited European school in Courbevoie as from September 2019, with a gradual opening of the different school levels and language sections in the future.

For the school year 2019/2020 only the English language section is fully available for the primary school level, the accreditation for the primary school level is expected for April 2020. The secondary level for the English language section is planned to be fully available from school year 2021/2022 with a planned accreditation in 2022.

Uncertainties remain regarding whether and when other language sections will be fully available for all school levels in the accredited European school.

Under those circumstances and in line with the practice of several EU agencies, ESMA decided to continue to provide schooling support and pre-schooling to its staff members.

Under the schooling support programme only the annual registration and annual tuition fees which exceed the education allowance are reimbursed up to a ceiling based on the average costs of multilingual schools in the Paris area.

The pre-school support is limited to a maximum amount of €3,000 per school year per child.

5.3 Evaluation

The first evaluation of ESMA as a component of the European System of Financial Supervisors (ESFS) was submitted to the Budgetary Authority in 2014 [COM(2014) 509 final].

In 2017, the European Commission began the second review of the ESFS framework that resulted in amending the founding regulations of the ESAs and the ESRB in 2019. These amendments reinforced the powers and governance of the ESAs, enhanced supervisory convergence framework and strengthened the capacity of the ESRB in overseeing the financial system and detecting risks to financial stability.

In 2021, the European Commission initiated the third review of the ESFS Framework by launching a targeted consultation seeking views on certain aspects related to the 2019 ESAs review and on the supervisory convergence and single rulebook. The evaluation report is expected in 2022.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| ESMA benefits from the privileges granted by the Protocol (No 7) on the Privileges and Immunities of the European Union. The French Parliament endorsed ESMA's Headquarters agreement on 24 May 2019. ESMA Headquarters agreement entered into force in 2019. | None, beyond the provisions included in the Protocol (no 7) on the Privileges and Immunities of the European Union. | None. |

2.1.10 Anti-Money Laundering Authority - AMLA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Proposal for a Regulation of the European Parliament and of the Council establishing the Authority for Anti-Money Laundering and Countering the Financing of Terrorism and amending Regulations (EU) No 1093/2010, (EU) 1094/2010, (EU) 1095/2010 (COM(2021) 421 final of 20.7.2021) | 20 July 2021 | <p>Money laundering and terrorism financing (ML/TF) pose a serious threat to the integrity of the EU economy and financial system and the security of its citizens.</p> <p>The Anti-Money Laundering Authority will become a centrepiece of an integrated AML/CFT supervisory system, consisting of the Authority itself and the national authorities with an AML/CFT supervisory mandate.</p> <p>The authority will:</p> <ul style="list-style-type: none"> - directly supervise and take decisions on some of the riskiest cross-border financial sector obliged entities, thereby directly contributing to preventing money laundering and terrorism financing in the Union; - coordinate national supervisory authorities and assist them to increase their effectiveness ; - play a vital role in improving the exchange of information and cooperation between national Financial Intelligence Units (FIUs); - have powers to draft regulatory and implementing technical standards, guidelines and recommendations within the scope of its tasks, as well as to provide advice and input to the Commission and co-legislators on many aspects of AML/CFT policy, including on risks linked to jurisdictions outside the Union. |

1.2 Seat

The seat has to be decided by the Member States before the end of the legislative procedure leading to the adoption of the proposal.

1.3 Budget Line

03 10 05 : Anti-Money Laundering Authority (AMLA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | | | | | 8 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | | | | | 8 |
| Contract Agents (CA) | | | | | 10 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | | | | | 18 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|--|------------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| EU contribution | | | 1 085 270 | |
| Other Revenue | | | | |
| TOTAL REVENUES | | | 1 085 270 | |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | | | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | | | 833 270 | 833 270 |
| Title 2 - Infrastructure and operating expenditure | | | 252 000 | 252 000 |
| Title 3 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | | | 1 085 270 | 1 085 270 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | | | | | 2 |
| AD 8 | | | | | | | | | | |
| AD 7 | | | | | | | | | | |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | | | 6 |
| AD TOTAL | | | | | | | | | | 8 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | | | | | | | | | 8 |
| GRAND TOTAL | | | | | | | | | | 8 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | | | | |
| Function Group III | | | | 8 |
| Function Group II | | | | 2 |
| Function Group I | | | | |
| TOTAL | | | | 10 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | | | | 1 085 270 | 100% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | | | | 1 085 270 | 100% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | 833 270 | 100% |
| Salaries & allowances | | | | 728 770 | 100% |
| - Of which establishment plan posts | | | | 473 778 | 100% |
| - Of which external personnel | | | | 254 992 | 100% |
| Expenditure relating to Staff recruitment | | | | 96 000 | 100% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | 4 500 | 100% |
| Socio-medical infrastructure | | | | 4 000 | 100% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | 252 000 | 100% |
| Rental of buildings and associated costs | | | | 168 000 | 100% |
| Information, communication technology and data processing | | | | 63 000 | 100% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | | | | 8 000 | 100% |
| Current administrative expenditure | | | | 8 000 | 100% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | 5 000 | 100% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | | | | |
| TOTAL | | | | 1 085 270 | 100% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | | | | 833 270 | 100% |
| Salaries & allowances | | | | 728 770 | 100% |
| - <i>Of which establishment plan posts</i> | | | | 473 778 | 100% |
| - <i>Of which external personnel</i> | | | | 254 992 | 100% |
| Expenditure relating to Staff recruitment | | | | 96 000 | 100% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | 4 500 | 100% |
| Socio-medical infrastructure | | | | 4 000 | 100% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | | | 252 000 | 100% |
| Rental of buildings and associated costs | | | | 168 000 | 100% |
| Information, communication technology and data processing | | | | 63 000 | 100% |
| Movable property and associated costs | | | | 8 000 | 100% |
| Current administrative expenditure | | | | 8 000 | 100% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | 5 000 | 100% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | | | | |
| TOTAL | | | | 1 085 270 | 100% |

3.3 Budget Outturn

Not applicable

4 Justification of needs

Commission assessment

Human and Financial Resources

Human and financial resources are aligned with the Commission's legislative proposal Regulation of the European Parliament and of the Council establishing the Authority for Anti-Money Laundering and Countering the Financing of Terrorism and amending Regulations (EU) No 1093/2010, (EU) 1094/2010, (EU) 1095/2010 (COM(2021) 421 final of 20.7.2021). However, the proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In line with the Commission proposal, the 18 staff members for 2023 will exclusively be administrative and HR staff who will work on the recruitment of the operational staff and the establishment of the agency's procedures and systems (including the financial procedures and the internal control system).

4.1.2 Vacancy rate as of end 2021

Not applicable.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

Not applicable.

4.1.5 Correction coefficient used

As per the Legislative Financial Statement accompanying the proposal, no correction coefficient has been applied as the location of the Authority has not yet been decided.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The total Union subsidy requested in 2023 for Title 1 is EUR 809 000. This subsidy has been calculated as set out in the annex to the Legislative Financial Statement accompanying the proposal for the Regulation.

4.2.2 Title 2

The total Union subsidy requested in 2023 for Title 2 is EUR 252 000. This subsidy has been calculated as set out in the annex to the Legislative Financial Statement accompanying the proposal for the Regulation.

4.2.3 Title 3

The Authority will not undertake any operational tasks in 2023.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

The seat of the future Authority has to be decided by the Member States before the end of the legislative procedure leading to the adoption of the proposal.

5.1.3 Building projects in the planning phase

Not applicable for the moment.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 European Schools

Not applicable.

5.3 Evaluation

In accordance with Article 72 of the proposed Regulation, each year the Authority would submit to the European Parliament, to the Council and to the Commission a report on the execution of the tasks conferred on it by the Regulation, including information on the planned evolution of the structure and amount of the supervisory fees referred to in Article 66 of the proposed Regulation. The Chair of the Authority would present that report in public to the European Parliament. At the request of the European Parliament, the Chair of the Authority would participate in a hearing on the execution of its tasks by the competent committees of the European Parliament. The Authority would reply orally or in writing to questions put to it by the European Parliament.

In accordance with Article 88 of the proposed Regulation, by 31 December 2029, and every five years thereafter, the Commission would assess the Authority's performance in relation to its objectives, mandate, tasks and location(s), in accordance with the Commission's guidelines.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| AMLA will benefit from the privileges granted by the Protocol of Privileges and Immunities to EU organisations and institutions (mainly from the VAT exemption of supplier invoices). | | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Regulation (EC) No. 1907/2006 of 18 December 2006, concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency</p> <p>Regulation (EC) No. 1272/2008 of 16 December 2008 on classification, labelling and packaging of substances and mixtures</p> | <p>18/12/2006</p> <p>16/12/2008</p> | <ul style="list-style-type: none"> - Manage and carry out technical, scientific and administrative aspects of REACH and CLP Regulations - The REACH and CLP processes are designed to ensure a high level of protection of human health and the environment, including the promotion of alternative methods for assessment of hazards of substances, as well as the free circulation of substances on the internal market while enhancing competitiveness and innovation - Provide the Member States and the institutions of the Union with the best possible scientific and technical advice on questions relating to chemicals which fall under REACH or CLP - Manage IT based guidance documents, tools and data bases - Support national helpdesk and run a helpdesk for registrants - Make information on chemicals publicly accessible - Develop a poison centre notification portal. <p>The Agency, established on 1 June 2007, manages the registration, evaluation, authorisation and restriction processes for chemical substances as well as the classification and labelling of substances and mixtures. These REACH processes are designed to provide additional information on chemicals, to ensure their safe use, and to ensure competitiveness and harmonisation of the European chemical industry. In its decision-making, the Agency takes the best available scientific and technical data and socio-economic information into account. It also provides information on chemicals, technical and scientific advice.</p> |
| <p>Regulation (EU) No 528/2012 of the European Parliament and the Council of 22 May 2012 concerning the making available on the market and use of biocidal products</p> | <p>22/05/2012</p> | <ul style="list-style-type: none"> - Manage and carry out technical, scientific, and administrative aspects of the Biocidal Products Regulation - The purpose of the Biocides Regulation is to improve the functioning of the internal market through the harmonisation of the rules on the placing on the market and use of biocidal products, whilst ensuring a high level of protection of both human and animal health and the environment. The provisions of the Regulation are underpinned by the precautionary principle, the aim of which is to safeguard the health of humans, animals and the environment. - Establish and maintain the Register for Biocidal Products - Coordinate and manage the processing and evaluation of the applications covered by the Regulation (including active substance approval, Union authorisation, data sharing, technical equivalence, alternative suppliers) - Provide guidance, support national helpdesks and assist and advise application (through the ECHA Helpdesk) - Make information on biocides publicly accessible. <p>Under the Biocidal Products Regulation, adopted in 2012, ECHA is responsible for specific tasks with regard to applications for active substance approval and Union authorisation and other related tasks such as data sharing inquiries. The Biocidal products Committee has been established within the Agency to provide opinions to the Commission on scientific and technical matters relating to applications under the Regulation.</p> |
| <p>Regulation (EU) No 649/2012 of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals (PIC-Regulation)</p> | <p>04/07/2012</p> | <ul style="list-style-type: none"> - Manage and carry out technical, scientific, and administrative aspects related to export and import of dangerous chemicals under the PIC Regulation - The objectives of the PIC Regulation are to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, and to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the environment from potential harm. Through its provisions it contributes to the environmentally sound use of hazardous chemicals. - Manage the tasks related to and the cooperation with Member States |

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | <p>on export notifications and explicit import consents</p> <ul style="list-style-type: none"> - Manage guidance documents and IT tools - Make information publicly available <p>The recast PIC Regulation, adopted in 2012, further adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to export and import of dangerous chemicals.</p> |
| Regulation (EU) No 2019/1021 of the European Parliament and of the Council of 20 June 2019 on persistent organic pollutants (POPs-Regulation) | 20/06/2019 | <ul style="list-style-type: none"> - Support the Commission and the Member States in fulfilling their obligations under the POPs-Regulation. - The objective of the POPs-Regulation is to implement international obligations of the Union and the Member States for eliminating Persistent Organic Pollutants in order to protect human health and the environment from these substances subject to the Stockholm Convention. Through its provisions the Regulation ensures the elimination of hazardous chemicals or, in exceptional cases, their environmentally sound use. - Carry out certain technical, scientific, and administrative tasks allocated in the proposal to ECHA related to the identification of new POPs, enforcement and reporting on the implementation of the Regulation. - Make information on POPs publicly available. <p>The recast of the POPs-Regulation also adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to persistent organic pollutants.</p> |
| Directive (EU) 2018/851 of the European Parliament and of the Council of 30 May 2018 amending Directive 2008/98/EC on waste. (Waste Framework Directive) | 30/05/2018 | <ul style="list-style-type: none"> - Develop and manage a new database (Article 9) under the Waste Framework Directive. - The objective of the revised Waste Framework Directive is to achieve more recycling of waste and contribute to the creation of a circular economy. The objective of the database is for ECHA to ensure that the information about the presence of substances of very high concern is available throughout the whole lifecycle of products and materials, including at the waste stage. - Establish and maintain a database of articles containing Candidate List substances and facilitate the submissions by article suppliers - Provide access to the database to waste operators and consumers. - Provide support to duty holders. <p>Under the proposed recast of the Drinking Water Directive the Agency shall make assessments of starting substances for materials in contact with drinking water.</p> |
| Directive (EU) 2020/2184 of the European Parliament and of the Council of 16 December 2020 on the quality of water intended for human consumption (recast) (Drinking Water Directive) | 16/12/2020 | <ul style="list-style-type: none"> - The Agency shall, on the basis of national positive lists of starting substances and compositions, recommend a compiled list to the Commission for the purpose of establishing the first European Positive list. The European positive lists shall contain the only substances, compositions or constituents that are authorised to be used for manufacturing of final materials or products in contact with water intended for human consumption, including, where appropriate, conditions for the use of these materials and migration limits. - The Agency shall review and deliver an opinion on all the substances, compositions and constituents on the first European positive lists by 15 years after its adoption. - For the purposes of updating the European positive list the Agency shall deliver opinions on the inclusion or removal of substances and compositions. |
| Decision (EU) 2022/591 of the European Parliament and of the Council of 6 April 2022 on a General Union Environment Action Programme to 2030 (8 th Environmental Action Programme) | 06/04/2022 | <ul style="list-style-type: none"> -The Agency shall support, together with the European Environment Agency (EEA) the achievement of the objectives of the 8th Environment Action Programme by establishing a new monitoring and reporting framework. -The Agency aims to use existing monitoring tools and indicators, mainly based on European statistics and data from the EEA |
| Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL concerning batteries and waste batteries, repealing Directive 2006/66/EC and amending Regulation (EU) No 2019/1020 (COM/2020/798 final) (https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A52020PC0798) | 10/12/2020 | <ul style="list-style-type: none"> -The Agency shall, at the request of the Commission, prepare restriction dossiers for substances in batteries if the Commission considers that the use of a substance in the manufacture of batteries, or the presence of a substance in the batteries when they are placed on the market, or during their subsequent life cycle stages, including the waste phase, poses a risk to human health or the environment. -The Agency shall, via its Committee for Risk Assessment and its Committee for Socio-economic Analysis deliver an opinion on the suggested restriction and submit these opinions to the Commission. |

1.2 Seat

Helsinki, Finland

1.3 Budget Line

03 10 01 01 : European Chemicals Agency — Chemicals legislation

03 10 01 02 : European Chemicals Agency — Activities in the field of biocides legislation

09 10 01 : European Chemicals Agency – Environmental directives and international conventions

1.4 Human Resources overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

1.4.1 Human Resources Overview ECHA

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 358 | 349 | 97,49% | 358 | 360 |
| Assistants (AST) | 109 | 104 | 95,41% | 109 | 109 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 467 | 453 | 97,00% | 467 | 469 |
| Contract Agents (CA) | 122 | 117 | 95,90% | 122 | 123 |
| Seconded National Experts (SNE) | 15 | 2 | 13,33% | 15 | 5 |
| TOTAL STAFF | 604 | 572 | 94,70% | 604 | 597 |

1.4.2 Human Resources Overview (REACH / CLP)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 310 | 303 | 97,74% | 310 | 310 |
| Assistants (AST) | 94 | 90 | 95,74% | 94 | 94 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 404 | 393 | 97,28% | 404 | 404 |
| Contract Agents (CA) | 94 | 90 | 95,74% | 94 | 97 |
| Seconded National Experts (SNE) | 13 | 1 | 7,69% | 13 | 3 |
| TOTAL STAFF | 511 | 484 | 94,72% | 511 | 504 |

1.4.3 Human Resources Overview (BIOCIDES)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 43 | 42 | 97,67% | 43 | 43 |
| Assistants (AST) | 9 | 8 | 88,89% | 9 | 9 |

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 52 | 50 | 96,15% | 52 | 52 |
| Contract Agents (CA) | 15 | 15 | 100,00% | 15 | 15 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 2 | 2 |
| TOTAL STAFF | 69 | 66 | 95,65% | 69 | 69 |

1.4.4 Human Resources Overview (Environmental directives and International conventions)

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 5 | 4 | 80,00% | 5 | 7 |
| Assistants (AST) | 6 | 6 | 100,00% | 6 | 6 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 11 | 10 | 90,91% | 11 | 13 |
| Contract Agents (CA) | 13 | 12 | 92,31% | 13 | 11 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 24 | 22 | 91,67% | 24 | 24 |

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ECHA

1.5.1.1 Revenues Overview ECHA

1.5.1.1.1 General revenues ECHA

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 79 549 055 | 83 776 568 |
| Other Revenue | 33 111 792 | 33 830 609 |
| TOTAL REVENUES | 112 660 847 | 117 607 177 |

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ECHA

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.1.2 Expenditure Overview ECHA

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 76 977 340 | 76 977 340 | 80 925 529 | 80 925 529 |
| Title 2 - Infrastructure and operating expenditure | 14 953 313 | 14 953 313 | 15 704 426 | 15 704 426 |
| Title 3 - Operational expenditure | 15 925 468 | 15 821 911 | 16 817 436 | 16 837 586 |
| Title 4 - Operational expenditure | 2 009 792 | 2 009 792 | 1 886 885 | 1 886 885 |
| Title 5 - Operational expenditure | 2 113 778 | 2 113 778 | 2 252 751 | 2 252 751 |
| Title 6 - Other tasks | 784 712 | 784 712 | | |
| Title 9 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | 112 764 403 | 112 660 846 | 117 587 027 | 117 607 177 |

1.5.2 Financial Resources Overview (REACH / CLP)

1.5.2.1 Revenues Overview (REACH / CLP)

1.5.2.1.1 General revenues (REACH / CLP)

| REVENUES | 2022 | 2023 |
|------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 66 722 055 | 70 711 023 |
| Other Revenue | 29 174 821 | 28 750 762 |
| TOTAL REVENUES | 95 896 876 | 99 461 785 |

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (REACH / CLP)

| REVENUES | 2022 | 2023 |
|-------------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2.2 Expenditure Overview (REACH / CLP)

| Expenditure | 2022 | | 2023 | |
|-------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 66 639 683 | 66 639 683 | 69 410 120 | 69 410 120 |
| Title 2 - Infrastructure and operating expenditure | 12 650 569 | 12 650 569 | 13 214 079 | 13 214 079 |
| Title 3 - Operational expenditure | 15 925 468 | 15 821 911 | 16 817 436 | 16 837 586 |
| Title 6 - Other tasks | 784 712 | 784 712 | | |
| TOTAL EXPENDITURE | 96 000 432 | 95 896 875 | 99 441 635 | 99 461 785 |

1.5.3 Financial Resources Overview (BIOCIDES)

1.5.3.1 Revenues Overview (BIOCIDES)

1.5.3.1.1 General revenues (BIOCIDES)

| REVENUES | 2022 | 2023 |
|------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 8 100 000 | 7 556 055 |
| Other Revenue | 3 819 000 | 4 946 502 |
| TOTAL REVENUES | 11 919 000 | 12 502 557 |

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (BIOCIDES)

| REVENUES | 2022 | 2023 |
|-------------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.3.2 Expenditure Overview (BIOCIDES)

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 8 204 518 | 8 204 518 | 8 835 014 | 8 835 014 |
| Title 2 - Infrastructure and operating expenditure | 1 704 690 | 1 704 690 | 1 780 658 | 1 780 658 |
| Title 4 - Operational expenditure | 2 009 792 | 2 009 792 | 1 886 885 | 1 886 885 |

| Expenditure | 2022 | | 2023 | |
|------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 9 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | 11 919 000 | 11 919 000 | 12 502 557 | 12 502 557 |

1.5.4 Financial Resources Overview (Environmental directives and International conventions)

1.5.4.1 Revenues Overview (Environmental directives and International conventions)

1.5.4.1.1 General revenues (Environmental directives and International conventions)

| REVENUES | 2022 | 2023 |
|------------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 4 727 000 | 5 509 490 |
| Other Revenue | 117 971 | 133 345 |
| TOTAL REVENUES | 4 844 971 | 5 642 835 |

1.5.4.1.2 Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions)

| REVENUES | 2022 | 2023 |
|-------------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.4.2 Expenditure Overview (Environmental directives and International conventions)

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 2 133 139 | 2 133 139 | 2 680 395 | 2 680 395 |
| Title 2 - Infrastructure and operating expenditure | 598 054 | 598 054 | 709 689 | 709 689 |
| Title 5 - Operational expenditure | 2 113 778 | 2 113 778 | 2 252 751 | 2 252 751 |
| TOTAL EXPENDITURE | 4 844 971 | 4 844 971 | 5 642 835 | 5 642 835 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

2.1.1 Establishment plan posts ECHA

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 6 | | 4 | | 6 | | 6 | | 6 |
| AD 13 | | 16 | | 7 | | 14 | | 14 | | 14 |
| AD 12 | | 21 | | 7 | | 14 | | 14 | | 14 |
| AD 11 | | 32 | | 24 | | 31 | | 31 | | 31 |
| AD 10 | | 46 | | 37 | | 46 | | 46 | | 46 |
| AD 9 | | 65 | | 53 | | 71 | | 71 | | 71 |
| AD 8 | | 62 | | 65 | | 61 | | 61 | | 61 |
| AD 7 | | 62 | | 69 | | 63 | | 65 | | 65 |
| AD 6 | | 35 | | 55 | | 35 | | 35 | | 35 |
| AD 5 | | 13 | | 28 | | 17 | | 17 | | 17 |
| AD TOTAL | | 358 | | 349 | | 358 | | 360 | | 360 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 4 | | | | 5 | | 3 | | 3 |
| AST 8 | | 8 | | 5 | | 8 | | 8 | | 8 |
| AST 7 | | 12 | | 8 | | 13 | | 13 | | 13 |
| AST 6 | | 20 | | 14 | | 19 | | 19 | | 19 |
| AST 5 | | 23 | | 28 | | 24 | | 31 | | 31 |
| AST 4 | | 25 | | 18 | | 22 | | 21 | | 21 |
| AST 3 | | 13 | | 12 | | 13 | | 11 | | 11 |
| AST 2 | | 4 | | 19 | | 5 | | 3 | | 3 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 109 | | 104 | | 109 | | 109 | | 109 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | 467 | | 453 | | 467 | | 469 | | 469 |
| GRAND TOTAL | 467 | | 453 | | 467 | | 469 | | 469 | |

2.1.2 Establishment plan posts (REACH / CLP)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 6 | | 4 | | 6 | | 6 | | 6 |
| AD 13 | | 15 | | 7 | | 13 | | 13 | | 13 |
| AD 12 | | 19 | | 5 | | 12 | | 12 | | 12 |
| AD 11 | | 30 | | 23 | | 30 | | 30 | | 30 |
| AD 10 | | 41 | | 33 | | 41 | | 41 | | 41 |
| AD 9 | | 55 | | 49 | | 60 | | 60 | | 60 |
| AD 8 | | 52 | | 58 | | 52 | | 52 | | 52 |
| AD 7 | | 53 | | 58 | | 53 | | 53 | | 53 |
| AD 6 | | 27 | | 46 | | 27 | | 27 | | 27 |
| AD 5 | | 12 | | 20 | | 16 | | 16 | | 16 |
| AD TOTAL | | 310 | | 303 | | 310 | | 310 | | 310 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 4 | | | | 5 | | 3 | | 3 |
| AST 8 | | 8 | | 5 | | 8 | | 8 | | 8 |
| AST 7 | | 9 | | 8 | | 10 | | 10 | | 10 |
| AST 6 | | 19 | | 14 | | 18 | | 18 | | 18 |
| AST 5 | | 19 | | 25 | | 20 | | 26 | | 26 |
| AST 4 | | 20 | | 13 | | 17 | | 16 | | 16 |
| AST 3 | | 11 | | 8 | | 11 | | 10 | | 10 |
| AST 2 | | 4 | | 17 | | 5 | | 3 | | 3 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 94 | | 90 | | 94 | | 94 | | 94 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 404 | | 393 | | 404 | | 404 | | 404 |
| GRAND TOTAL | 404 | | 393 | | 404 | | 404 | | 404 | |

2.1.3 Establishment plan posts (BIOCIDES)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | 1 | | | | 1 | | 1 | | 1 |
| AD 12 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 11 | | 2 | | 1 | | 1 | | 1 | | 1 |
| AD 10 | | 5 | | 4 | | 5 | | 5 | | 5 |
| AD 9 | | 10 | | 4 | | 10 | | 10 | | 10 |
| AD 8 | | 9 | | 6 | | 9 | | 9 | | 9 |
| AD 7 | | 8 | | 11 | | 9 | | 9 | | 9 |
| AD 6 | | 5 | | 9 | | 5 | | 5 | | 5 |
| AD 5 | | 1 | | 5 | | 1 | | 1 | | 1 |
| AD TOTAL | | 43 | | 42 | | 43 | | 43 | | 43 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | 1 | | | | 1 | | 1 | | 1 |
| AST 6 | | 1 | | | | 1 | | 1 | | 1 |
| AST 5 | | 3 | | 2 | | 3 | | 3 | | 3 |
| AST 4 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AST 3 | | 1 | | 2 | | 1 | | 1 | | 1 |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST TOTAL | | 9 | | 8 | | 9 | | 9 | | 9 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 52 | | 50 | | 52 | | 52 | | 52 |
| GRAND TOTAL | 52 | | 50 | | 52 | | 52 | | 52 | |

2.1.4 Establishment plan posts (Environmental directives and International conventions)

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | 1 | | 1 | | 1 |
| AD 8 | | 1 | | 1 | | | | | | |
| AD 7 | | 1 | | | | 1 | | 3 | | 3 |
| AD 6 | | 3 | | | | 3 | | 3 | | 3 |
| AD 5 | | | | 3 | | | | | | |
| AD TOTAL | | 5 | | 4 | | 5 | | 7 | | 7 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | 2 | | | | 2 | | 2 | | 2 |
| AST 6 | | | | | | | | | | |
| AST 5 | | 1 | | 1 | | 1 | | 2 | | 2 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 4 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AST 3 | | 1 | | 2 | | 1 | | | | |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 11 | | 10 | | 11 | | 13 | | 13 |
| GRAND TOTAL | 11 | | 10 | | 11 | | 13 | | 13 | |

2.2 External personnel

2.2.1 External personnel ECHA

2.2.1.1 Contract Agents ECHA

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 42 | 32 | 42 | 39 |
| Function Group III | 60 | 57 | 60 | 64 |
| Function Group II | 20 | 28 | 20 | 20 |
| Function Group I | | | | |
| TOTAL | 122 | 117 | 122 | 123 |

2.2.1.2 Seconded National Experts ECHA

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 15 | 2 | 15 | 5 |

2.2.2 External personnel (REACH /CLP)

2.2.2.1 Contract Agents (REACH /CLP)

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 24 | 18 | 24 | 24 |
| Function Group III | 52 | 49 | 52 | 55 |
| Function Group II | 18 | 23 | 18 | 18 |

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group I | | | | |
| TOTAL | 94 | 90 | 94 | 97 |

2.2.2.2 *Seconded National Experts (REACH / CLP)*

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 13 | 1 | 13 | 3 |

2.2.3 *External personnel (BIOCIDES)*

2.2.3.1 *Contract Agents (BIOCIDES)*

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 7 | 7 | 7 | 7 |
| Function Group III | 6 | 4 | 6 | 6 |
| Function Group II | 2 | 4 | 2 | 2 |
| Function Group I | | | | |
| TOTAL | 15 | 15 | 15 | 15 |

2.2.3.2 *Seconded National Experts (BIOCIDES)*

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 2 | 2 |

2.2.4 *External personnel (Environmental directives and International conventions)*

2.2.4.1 *Contract Agents (Environmental directives and International conventions)*

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 11 | 7 | 11 | 8 |
| Function Group III | 2 | 4 | 2 | 3 |
| Function Group II | | 1 | | |
| Function Group I | | | | |
| TOTAL | 13 | 12 | 13 | 11 |

2.2.4.2 *Seconded National Experts (Environmental directives and International conventions)*

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Financial Resources ECHA

3.1.1 Revenues ECHA

3.1.1.1 General revenues ECHA

| REVENUES | General revenues ECHA | | | | |
|------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 30 198 445 | 30 023 933 | 31 487 523 | 31 518 239 | 4,98% |
| 2 EU CONTRIBUTION | 77 247 824 | 79 549 055 | 82 133 237 | 83 776 568 | 5,31% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 1 513 862 | 2 602 551 | 3 509 218 | 3 509 218 | 34,84% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 417 654 | 2 303 147 | 2 313 122 | 2 312 370 | 0,40% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 2 417 654 | 2 303 147 | 2 313 122 | 2 312 370 | 0,40% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 326 505 | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 4 349 206 | 784 712 | | | -100% |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 114 539 634 | 112 660 847 | 115 933 882 | 117 607 177 | 4,39% |

3.1.1.2 Additional EU funding: contribution, grant and service level agreements ECHA

| REVENUES | Additional EU funding: contribution, grant and service level agreements ECHA | | | | |
|------------------------------------------------------------------------------|------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.1.2 Expenditure ECHA

3.1.2.1 Commitment appropriations ECHA

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|------------------------------------------------------------------|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 72 555 495 | 76 977 340 | 79 252 234 | 80 925 529 | 5,13% |
| Salaries & allowances | 65 806 374 | 69 541 382 | 71 710 484 | 73 328 595 | 5,45% |
| - <i>Of which establishment plan posts</i> | 57 571 950 | 60 885 882 | 62 542 600 | 63 945 499 | 5,03% |
| - <i>Of which external personnel</i> | 8 234 424 | 8 655 500 | 9 167 884 | 9 383 096 | 8,41% |
| Expenditure relating to Staff recruitment | 629 080 | 700 000 | 689 200 | 689 200 | -1,54% |
| Employer's pension contributions | 2 519 769 | 2 680 000 | 2 793 600 | 2 848 784 | 6,30% |
| Mission expenses | | 19 008 | 22 000 | 22 000 | 15,74% |
| Socio-medical infrastructure | 1 723 180 | 1 832 450 | 1 832 450 | 1 832 450 | 0,00% |
| Training | 394 685 | 677 000 | 677 000 | 677 000 | 0,00% |
| External Services | 1 482 407 | 1 527 500 | 1 527 500 | 1 527 500 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 13 578 917 | 14 953 313 | 15 704 426 | 15 704 426 | 5,02% |
| Rental of buildings and associated costs | 6 812 786 | 6 946 831 | 7 386 001 | 7 386 001 | 6,32% |
| Information, communication technology and data processing | 6 308 743 | 7 367 328 | 7 664 678 | 7 664 678 | 4,04% |
| Movable property and associated costs | 281 672 | 265 857 | 271 176 | 271 176 | 2,00% |
| Current administrative expenditure | 175 650 | 370 097 | 377 506 | 377 506 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 66 | 3 200 | 5 065 | 5 065 | 58,28% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|------------------------------------------------------------------------------------------------|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 13 732 428 | 15 925 468 | 16 817 436 | 16 817 436 | 5,60% |
| Registration, datatsharing and dissemination | 62 590 | 89 000 | 89 890 | 89 890 | 1,00% |
| Evaluation | 15 000 | | | | |
| Risk Management | 183 626 | 392 000 | 395 920 | 395 920 | 1,00% |
| Classification and labelling | | 20 000 | 20 200 | 20 200 | 1,00% |
| Advice and assistance through guidance and helpdesk | | 48 500 | 48 985 | 48 985 | 1,00% |
| Scientific IT tools | 9 609 706 | 10 489 414 | 11 229 220 | 11 229 220 | 7,05% |
| Scientific and technical advice to EU institutions | 222 624 | 370 500 | 374 205 | 374 205 | 1,00% |
| Committees and Forum | 71 523 | 761 500 | 769 115 | 769 115 | 1,00% |
| Board of appeal | 20 500 | 66 000 | 66 660 | 66 660 | 1,00% |
| Communications including translations | 1 998 786 | 1 669 966 | 1 756 666 | 1 756 666 | 5,19% |
| International cooperation | | 20 000 | 20 200 | 20 200 | 1,00% |
| Management Board and management of the Agency | 467 838 | 662 323 | 668 947 | 668 947 | 1,00% |
| Missions | 371 | 216 265 | 218 428 | 218 428 | 1,00% |
| External training | | | | | |
| Refunds | | | | | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 530 364 | 720 000 | 755 000 | 755 000 | 4,86% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 549 500 | 400 000 | 404 000 | 404 000 | 1,00% |
| Title 4 - Operational expenditure | 2 894 059 | 2 009 792 | 1 886 885 | 1 886 885 | -6,12% |
| Substances, products and technical equivalence | 134 000 | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |
| Submissions, datatsharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | | 24 000 | 24 240 | 24 240 | 1,00% |

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|----------------------------------------------------------------------|--------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Scientific IT tools | 2 613 824 | 1 603 278 | 1 449 305 | 1 449 305 | -9,60% |
| Scientific and technical advice to EU institutions | | | | | |
| Biocidal products Committee and Forum | 20 000 | 189 000 | 190 890 | 190 890 | 1,00% |
| Board of appeal | | 11 500 | 11 615 | 11 615 | 1,00% |
| Communications including translations | 93 245 | 88 565 | 116 451 | 116 451 | 31,49% |
| Management Board and management of the Agency | 32 990 | 82 449 | 83 274 | 83 274 | 1,00% |
| Missions | | 11 000 | 11 110 | 11 110 | 1,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 5 - Operational expenditure | 2 981 036 | 2 113 778 | 2 252 751 | 2 252 751 | 6,57% |
| Studies and consultants | 21 050 | 50 000 | 208 500 | 208 500 | 317,00% |
| Advice and assistance through guidance and helpdesk | | | | | |
| Scientific IT tools | 2 805 575 | 1 873 624 | 1 841 435 | 1 841 435 | -1,72% |
| Meetings with authorities and expert groups | | 20 000 | 20 200 | 20 200 | 1,00% |
| Communications including translations | 143 206 | 126 873 | 137 142 | 137 142 | 8,09% |
| Management Board and management of the Agency | 9 605 | 19 281 | 19 474 | 19 474 | 1,00% |
| Missions | 1 600 | 24 000 | 26 000 | 26 000 | 8,33% |
| External training | | | | | |
| Title 6 - Other tasks | 5 511 782 | 784 712 | | | -100% |
| IPA programme | 341 811 | | | | |
| EUON | 1 021 616 | | | | |
| EUCLEF | 1 329 403 | | | | |
| Occupational exposure limits | 574 375 | | | | |
| Further development of IUCLID (as co-investments from third parties) | 2 244 577 | 784 712 | | | -100% |

| EXPENDITURE | Commitment appropriations ECHA | | | | |
|---------------------------------------------------------------|--------------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 9 - Operational expenditure | 485 576 | | | | |
| Appropriation for a Negative budget result prior year BIOCIDE | 485 576 | | | | |
| TOTAL | 111 739 293 | 112 764 403 | 115 913 732 | 117 587 027 | 4,28% |

3.1.2.2 Payment appropriations ECHA

| EXPENDITURE | Payment appropriations ECHA | | | | |
|-----------------------------------------------------------|-----------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 72 555 495 | 76 977 340 | 79 252 234 | 80 925 529 | 5,13% |
| Salaries & allowances | 65 806 374 | 69 541 382 | 71 710 484 | 73 328 595 | 5,45% |
| - Of which establishment plan posts | 57 571 950 | 60 885 882 | 62 542 600 | 63 945 499 | 5,03% |
| - Of which external personnel | 8 234 424 | 8 655 500 | 9 167 884 | 9 383 096 | 8,41% |
| Expenditure relating to Staff recruitment | 629 080 | 700 000 | 689 200 | 689 200 | -1,54% |
| Employer's pension contributions | 2 519 769 | 2 680 000 | 2 793 600 | 2 848 784 | 6,30% |
| Mission expenses | | 19 008 | 22 000 | 22 000 | 15,74% |
| Socio-medical infrastructure | 1 723 180 | 1 832 450 | 1 832 450 | 1 832 450 | 0,00% |
| Training | 394 685 | 677 000 | 677 000 | 677 000 | 0,00% |
| External Services | 1 482 407 | 1 527 500 | 1 527 500 | 1 527 500 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 13 578 917 | 14 953 313 | 15 704 426 | 15 704 426 | 5,02% |
| Rental of buildings and associated costs | 6 812 786 | 6 946 831 | 7 386 001 | 7 386 001 | 6,32% |
| Information, communication technology and data processing | 6 308 743 | 7 367 328 | 7 664 678 | 7 664 678 | 4,04% |
| Movable property and associated costs | 281 672 | 265 857 | 271 176 | 271 176 | 2,00% |
| Current administrative expenditure | 175 650 | 370 097 | 377 506 | 377 506 | 2,00% |

| EXPENDITURE | Payment appropriations ECHA | | | | |
|------------------------------------------------------------------------------------------------|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 66 | 3 200 | 5 065 | 5 065 | 58,28% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 14 094 886 | 15 821 911 | 16 837 586 | 16 837 586 | 6,42% |
| Registration, datatsharing and dissemination | 62 590 | 89 000 | 89 890 | 89 890 | 1,00% |
| Evaluation | 15 000 | | | | |
| Risk Management | 183 626 | 392 000 | 395 920 | 395 920 | 1,00% |
| Classification and labelling | | 20 000 | 20 200 | 20 200 | 1,00% |
| Advice and assistance through guidance and helpdesk | | 48 500 | 48 985 | 48 985 | 1,00% |
| Scientific IT tools | 9 609 706 | 10 489 414 | 11 229 220 | 11 229 220 | 7,05% |
| Scientific and technical advice to EU institutions | 222 624 | 370 500 | 374 205 | 374 205 | 1,00% |
| Committees and Forum | 71 523 | 761 500 | 769 115 | 769 115 | 1,00% |
| Board of appeal | 20 500 | 66 000 | 66 660 | 66 660 | 1,00% |
| Communications including translations | 1 998 786 | 1 669 966 | 1 756 666 | 1 756 666 | 5,19% |
| International cooperation | | 20 000 | 20 200 | 20 200 | 1,00% |
| Management Board and management of the Agency | 467 838 | 662 323 | 668 947 | 668 947 | 1,00% |
| Missions | 371 | 216 265 | 218 428 | 218 428 | 1,00% |
| External training | | | | | |
| Refunds | | | | | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 850 507 | 506 593 | 720 000 | 720 000 | 42,13% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 591 815 | 509 850 | 459 150 | 459 150 | -9,94% |

| EXPENDITURE | Payment appropriations ECHA | | | | |
|----------------------------------------------------------------|-----------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 4 - Operational expenditure | 2 894 059 | 2 009 792 | 1 886 885 | 1 886 885 | -6,12% |
| Substances, products and technical equivalence | 134 000 | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |
| Submissions, datatsharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | | 24 000 | 24 240 | 24 240 | 1,00% |
| Scientific IT tools | 2 613 824 | 1 603 278 | 1 449 305 | 1 449 305 | -9,60% |
| Scientific and technical advice to EU institutions | | | | | |
| Biocidal products Committee and Forum | 20 000 | 189 000 | 190 890 | 190 890 | 1,00% |
| Board of appeal | | 11 500 | 11 615 | 11 615 | 1,00% |
| Communications including translations | 93 245 | 88 565 | 116 451 | 116 451 | 31,49% |
| Management Board and management of the Agency | 32 990 | 82 449 | 83 274 | 83 274 | 1,00% |
| Missions | | 11 000 | 11 110 | 11 110 | 1,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 5 - Operational expenditure | 2 981 036 | 2 113 778 | 2 252 751 | 2 252 751 | 6,57% |
| Studies and consultants | 21 050 | 50 000 | 208 500 | 208 500 | 317,00% |
| Advice and assistance through guidance and helpdesk | | | | | |
| Scientific IT tools | 2 805 575 | 1 873 624 | 1 841 435 | 1 841 435 | -1,72% |
| Meetings with authorities and expert groups | | 20 000 | 20 200 | 20 200 | 1,00% |
| Communications including translations | 143 206 | 126 873 | 137 142 | 137 142 | 8,09% |
| Management Board and management of the Agency | 9 605 | 19 281 | 19 474 | 19 474 | 1,00% |
| Missions | 1 600 | 24 000 | 26 000 | 26 000 | 8,33% |
| External training | | | | | |
| Title 6 - Other tasks | 5 511 782 | 784 712 | | | -100% |

| EXPENDITURE | Payment appropriations ECHA | | | | |
|----------------------------------------------------------------------|-----------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| IPA programme | 341 811 | | | | |
| EUON | 1 021 616 | | | | |
| EUCLEF | 1 329 403 | | | | |
| Occupational exposure limits | 574 375 | | | | |
| Further development of IUCLID (as co-investments from third parties) | 2 244 577 | 784 712 | | | -100% |
| Title 9 - Operational expenditure | 485 576 | | | | |
| Appropriation for a Negative budget result prior year BIOCIDES | 485 576 | | | | |
| TOTAL | 112 101 751 | 112 660 846 | 115 933 882 | 117 607 177 | 4,39% |

3.2 Financial Resources (REACH / CLP)

3.2.1 Revenues (REACH / CLP)

3.2.1.1 General revenues (REACH / CLP)

| REVENUES | General revenues (REACH / CLP) | | | | |
|-----------------------------------------------------------------------------------------------------------|--------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 27 131 835 | 26 780 033 | 27 040 320 | 27 071 036 | 1,09% |
| 2 EU CONTRIBUTION | 61 914 564 | 66 722 055 | 69 300 000 | 70 711 023 | 5,98% |
| - Of which assigned revenues deriving from previous years' surpluses | 1 353 559 | 2 575 616 | 2 348 680 | 2 348 680 | -8,81% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 1 681 047 | 1 610 076 | 1 680 478 | 1 679 726 | 4,33% |
| - Of which EEA/EFTA (excl. Switzerland) | 1 681 047 | 1 610 076 | 1 680 478 | 1 679 726 | 4,33% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 297 386 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 4 349 206 | 784 712 | | | -100% |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 95 374 038 | 95 896 876 | 98 020 798 | 99 461 785 | 3,72% |

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (REACH / CLP)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (REACH / CLP) | | | | |
|------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2.2 Expenditure (REACH / CLP)

3.2.2.1 Commitment appropriations (REACH / CLP)

| EXPENDITURE | Commitment appropriations (REACH / CLP) | | | | |
|-----------------------------------------------------------|-----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 62 981 529 | 66 639 683 | 67 969 133 | 69 410 120 | 4,16% |
| Salaries & allowances | 57 031 083 | 60 195 882 | 61 474 800 | 62 860 603 | 4,43% |
| - <i>Of which establishment plan posts</i> | 50 573 417 | 53 585 882 | 54 657 600 | 55 889 725 | 4,30% |
| - <i>Of which external personnel</i> | 6 457 666 | 6 610 000 | 6 817 200 | 6 970 878 | 5,46% |
| Expenditure relating to Staff recruitment | 535 142 | 585 360 | 585 360 | 585 360 | 0,00% |
| Employer's pension contributions | 2 357 520 | 2 400 000 | 2 448 000 | 2 503 184 | 4,30% |
| Mission expenses | | 16 080 | 18 612 | 18 612 | 15,75% |
| Socio-medical infrastructure | 1 468 906 | 1 550 254 | 1 550 254 | 1 550 254 | 0,00% |
| Training | 343 334 | 572 742 | 572 742 | 572 742 | 0,00% |
| External Services | 1 245 544 | 1 319 365 | 1 319 365 | 1 319 365 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 569 016 | 12 650 569 | 13 214 079 | 13 214 079 | 4,45% |
| Rental of buildings and associated costs | 5 804 494 | 5 877 019 | 6 175 801 | 6 175 801 | 5,08% |

| EXPENDITURE | Commitment appropriations (REACH / CLP) | | | | |
|-----------------------------------------------------------|-----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | 5 375 049 | 6 232 754 | 6 485 210 | 6 485 210 | 4,05% |
| Movable property and associated costs | 239 985 | 224 915 | 229 414 | 229 414 | 2,00% |
| Current administrative expenditure | 149 430 | 313 103 | 319 368 | 319 368 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 58 | 2 778 | 4 286 | 4 286 | 54,28% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 13 732 428 | 15 925 468 | 16 817 436 | 16 817 436 | 5,60% |
| Registration, datasharing and dissemination | 62 590 | 89 000 | 89 890 | 89 890 | 1,00% |
| Evaluation | 15 000 | | | | |
| Risk Management | 183 626 | 392 000 | 395 920 | 395 920 | 1,00% |
| Classification and labelling | | 20 000 | 20 200 | 20 200 | 1,00% |
| Advice and assistance through guidance and helpdesk | | 48 500 | 48 985 | 48 985 | 1,00% |
| Scientific IT tools | 9 609 706 | 10 489 414 | 11 229 220 | 11 229 220 | 7,05% |
| Scientific and technical advice to EU institutions | 222 624 | 370 500 | 374 205 | 374 205 | 1,00% |
| Committees and Forum | 71 523 | 761 500 | 769 115 | 769 115 | 1,00% |
| Board of appeal | 20 500 | 66 000 | 66 660 | 66 660 | 1,00% |
| Communications including translations | 1 998 786 | 1 669 966 | 1 756 666 | 1 756 666 | 5,19% |
| International cooperation | | 20 000 | 20 200 | 20 200 | 1,00% |
| Management Board and management of the Agency | 467 838 | 662 323 | 668 947 | 668 947 | 1,00% |
| Missions | 371 | 216 265 | 218 428 | 218 428 | 1,00% |
| External training | | | | | |
| Refunds | | | | | |

| EXPENDITURE | Commitment appropriations (REACH / CLP) | | | | |
|------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 530 364 | 720 000 | 755 000 | 755 000 | 4,86% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 549 500 | 400 000 | 404 000 | 404 000 | 1,00% |
| Title 6 - Other tasks | 5 511 782 | 784 712 | | | -100% |
| IPA programme | 341 811 | | | | |
| EUON | 1 021 616 | | | | |
| EUCLEF | 1 329 403 | | | | |
| Occupational exposure limits | 574 375 | | | | |
| Further development of IUCLID (as co-investments from third parties) | 2 244 577 | 784 712 | | | -100% |
| TOTAL | 93 794 755 | 96 000 432 | 98 000 648 | 99 441 635 | 3,58% |

3.2.2.2 Payment appropriations (REACH / CLP)

| EXPENDITURE | Payment appropriations (REACH / CLP) | | | | |
|-------------------------------------------|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 62 981 529 | 66 639 683 | 67 969 133 | 69 410 120 | 4,16% |
| Salaries & allowances | 57 031 083 | 60 195 882 | 61 474 800 | 62 860 603 | 4,43% |
| - Of which establishment plan posts | 50 573 417 | 53 585 882 | 54 657 600 | 55 889 725 | 4,30% |
| - Of which external personnel | 6 457 666 | 6 610 000 | 6 817 200 | 6 970 878 | 5,46% |
| Expenditure relating to Staff recruitment | 535 142 | 585 360 | 585 360 | 585 360 | 0,00% |
| Employer's pension contributions | 2 357 520 | 2 400 000 | 2 448 000 | 2 503 184 | 4,30% |
| Mission expenses | | 16 080 | 18 612 | 18 612 | 15,75% |
| Socio-medical infrastructure | 1 468 906 | 1 550 254 | 1 550 254 | 1 550 254 | 0,00% |
| Training | 343 334 | 572 742 | 572 742 | 572 742 | 0,00% |
| External Services | 1 245 544 | 1 319 365 | 1 319 365 | 1 319 365 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |

| EXPENDITURE | Payment appropriations (REACH / CLP) | | | | |
|-----------------------------------------------------------|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 569 016 | 12 650 569 | 13 214 079 | 13 214 079 | 4,45% |
| Rental of buildings and associated costs | 5 804 494 | 5 877 019 | 6 175 801 | 6 175 801 | 5,08% |
| Information, communication technology and data processing | 5 375 049 | 6 232 754 | 6 485 210 | 6 485 210 | 4,05% |
| Movable property and associated costs | 239 985 | 224 915 | 229 414 | 229 414 | 2,00% |
| Current administrative expenditure | 149 430 | 313 103 | 319 368 | 319 368 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 58 | 2 778 | 4 286 | 4 286 | 54,28% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 14 094 886 | 15 821 911 | 16 837 586 | 16 837 586 | 6,42% |
| Registration, datatsharing and dissemination | 62 590 | 89 000 | 89 890 | 89 890 | 1,00% |
| Evaluation | 15 000 | | | | |
| Risk Management | 183 626 | 392 000 | 395 920 | 395 920 | 1,00% |
| Classification and labelling | | 20 000 | 20 200 | 20 200 | 1,00% |
| Advice and assistance through guidance and helpdesk | | 48 500 | 48 985 | 48 985 | 1,00% |
| Scientific IT tools | 9 609 706 | 10 489 414 | 11 229 220 | 11 229 220 | 7,05% |
| Scientific and technical advice to EU institutions | 222 624 | 370 500 | 374 205 | 374 205 | 1,00% |
| Committees and Forum | 71 523 | 761 500 | 769 115 | 769 115 | 1,00% |
| Board of appeal | 20 500 | 66 000 | 66 660 | 66 660 | 1,00% |
| Communications including translations | 1 998 786 | 1 669 966 | 1 756 666 | 1 756 666 | 5,19% |
| International cooperation | | 20 000 | 20 200 | 20 200 | 1,00% |
| Management Board and management of the Agency | 467 838 | 662 323 | 668 947 | 668 947 | 1,00% |

| EXPENDITURE | Payment appropriations (REACH / CLP) | | | | |
|------------------------------------------------------------------------------------------------|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Missions | 371 | 216 265 | 218 428 | 218 428 | 1,00% |
| External training | | | | | |
| Refunds | | | | | |
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 850 507 | 506 593 | 720 000 | 720 000 | 42,13% |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 591 815 | 509 850 | 459 150 | 459 150 | -9,94% |
| Title 6 - Other tasks | 5 511 782 | 784 712 | | | -100% |
| IPA programme | 341 811 | | | | |
| EUON | 1 021 616 | | | | |
| EUCLEF | 1 329 403 | | | | |
| Occupational exposure limits | 574 375 | | | | |
| Further development of IUCLID (as co-investments from third parties) | 2 244 577 | 784 712 | | | -100% |
| TOTAL | 94 157 213 | 95 896 875 | 98 020 798 | 99 461 785 | 3,72% |

3.2.3 Budget Outturn (REACH / CLP)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue) is EUR 2 348 679.96.

3.3 Financial Resources (BIOCIDES)

3.3.1 Revenues (BIOCIDES)

3.3.1.1 General revenues (BIOCIDES)

| REVENUES | General revenues (BIOCIDES) | | | | |
|--------------------------------------------------------------------------------|-----------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 3 066 610 | 3 243 900 | 4 447 203 | 4 447 203 | 37,09% |
| 2 EU CONTRIBUTION | 10 048 160 | 8 100 000 | 7 400 000 | 7 556 055 | -6,72% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 134 997 | | 1 039 861 | 1 039 861 | 100% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 736 607 | 575 100 | 499 299 | 499 299 | -13,18% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 736 607 | 575 100 | 499 299 | 499 299 | -13,18% |
| - <i>Of which candidate countries</i> | | | | | |

| REVENUES | General revenues (BIOCIDES) | | | | |
|------------------------------------------------------------------------------------------------------------------|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 25 534 | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 13 876 911 | 11 919 000 | 12 346 502 | 12 502 557 | 4,90% |

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (BIOCIDES)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (BIOCIDES) | | | | |
|------------------------------------------------------------------------------|------------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.3.2 Expenditure (BIOCIDES)

3.3.2.1 Commitment appropriations (BIOCIDES)

| EXPENDITURE | Commitment appropriations (BIOCIDES) | | | | |
|------------------------------------------------------------------|--------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 836 921 | 8 204 518 | 8 678 959 | 8 835 014 | 7,68% |
| Salaries & allowances | 7 226 092 | 7 425 000 | 7 833 500 | 7 989 555 | 7,60% |
| - <i>Of which establishment plan posts</i> | 6 198 302 | 6 350 000 | 6 627 000 | 6 759 647 | 6,45% |
| - <i>Of which external personnel</i> | 1 027 790 | 1 075 000 | 1 206 500 | 1 229 908 | 14,41% |
| Expenditure relating to Staff recruitment | 76 896 | 68 240 | 68 240 | 68 240 | 0,00% |
| Employer's pension contributions | 162 249 | 280 000 | 345 600 | 345 600 | 23,43% |
| Mission expenses | | 2 167 | 2 508 | 2 508 | 15,74% |
| Socio-medical infrastructure | 197 409 | 208 898 | 208 898 | 208 898 | 0,00% |
| Training | 39 901 | 77 178 | 77 178 | 77 178 | 0,00% |
| External Services | 134 374 | 143 035 | 143 035 | 143 035 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 561 748 | 1 704 690 | 1 780 658 | 1 780 658 | 4,46% |
| Rental of buildings and associated costs | 783 470 | 791 938 | 832 200 | 832 200 | 5,08% |
| Information, communication technology and data processing | 725 505 | 839 881 | 873 930 | 873 930 | 4,05% |
| Movable property and associated costs | 32 392 | 30 307 | 30 914 | 30 914 | 2,00% |
| Current administrative expenditure | 20 373 | 42 190 | 43 036 | 43 036 | 2,01% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 8 | 374 | 578 | 578 | 54,55% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations (BIOCIDES) | | | | |
|----------------------------------------------------------------|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 4 - Operational expenditure | 2 894 059 | 2 009 792 | 1 886 885 | 1 886 885 | -6,12% |
| Substances, products and technical equivalence | 134 000 | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |
| Submissions, datasharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | | 24 000 | 24 240 | 24 240 | 1,00% |
| Scientific IT tools | 2 613 824 | 1 603 278 | 1 449 305 | 1 449 305 | -9,60% |
| Scientific and technical advice to EU institutions | | | | | |
| Biocidal products Committee and Forum | 20 000 | 189 000 | 190 890 | 190 890 | 1,00% |
| Board of appeal | | 11 500 | 11 615 | 11 615 | 1,00% |
| Communications including translations | 93 245 | 88 565 | 116 451 | 116 451 | 31,49% |
| Management Board and management of the Agency | 32 990 | 82 449 | 83 274 | 83 274 | 1,00% |
| Missions | | 11 000 | 11 110 | 11 110 | 1,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 9 - Operational expenditure | 485 576 | | | | |
| Appropriation for a Negative budget result prior year BIOCIDE | 485 576 | | | | |
| TOTAL | 12 778 304 | 11 919 000 | 12 346 502 | 12 502 557 | 4,90% |

3.3.2.2 Payment appropriations (BIOCIDES)

| EXPENDITURE | Payment appropriations (BIOCIDES) | | | | |
|------------------------------------|-----------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 836 921 | 8 204 518 | 8 678 959 | 8 835 014 | 7,68% |
| Salaries & allowances | 7 226 092 | 7 425 000 | 7 833 500 | 7 989 555 | 7,60% |

| EXPENDITURE | Payment appropriations (BIOCIDES) | | | | |
|-----------------------------------------------------------------------|-----------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which establishment plan posts</i> | 6 198 302 | 6 350 000 | 6 627 000 | 6 759 647 | 6,45% |
| <i>- Of which external personnel</i> | 1 027 790 | 1 075 000 | 1 206 500 | 1 229 908 | 14,41% |
| Expenditure relating to Staff recruitment | 76 896 | 68 240 | 68 240 | 68 240 | 0,00% |
| Employer's pension contributions | 162 249 | 280 000 | 345 600 | 345 600 | 23,43% |
| Mission expenses | | 2 167 | 2 508 | 2 508 | 15,74% |
| Socio-medical infrastructure | 197 409 | 208 898 | 208 898 | 208 898 | 0,00% |
| Training | 39 901 | 77 178 | 77 178 | 77 178 | 0,00% |
| External Services | 134 374 | 143 035 | 143 035 | 143 035 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 561 748 | 1 704 690 | 1 780 658 | 1 780 658 | 4,46% |
| Rental of buildings and associated costs | 783 470 | 791 938 | 832 200 | 832 200 | 5,08% |
| Information, communication technology and data processing | 725 505 | 839 881 | 873 930 | 873 930 | 4,05% |
| Movable property and associated costs | 32 392 | 30 307 | 30 914 | 30 914 | 2,00% |
| Current administrative expenditure | 20 373 | 42 190 | 43 036 | 43 036 | 2,01% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 8 | 374 | 578 | 578 | 54,55% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 4 - Operational expenditure | 2 894 059 | 2 009 792 | 1 886 885 | 1 886 885 | -6,12% |
| Substances, products and technical equivalence | 134 000 | | | | |
| Refunds pursuant to Article 13 of the Regulation (EU) 564/2013 | | | | | |

| EXPENDITURE | Payment appropriations (BIOCIDES) | | | | |
|----------------------------------------------------------------|-----------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Submissions, datatsharing and dissemination | | | | | |
| Advice and assistance through guidance and helpdesk | | 24 000 | 24 240 | 24 240 | 1,00% |
| Scientific IT tools | 2 613 824 | 1 603 278 | 1 449 305 | 1 449 305 | -9,60% |
| Scientific and technical advice to EU institutions | | | | | |
| Biocidal products Committee and Forum | 20 000 | 189 000 | 190 890 | 190 890 | 1,00% |
| Board of appeal | | 11 500 | 11 615 | 11 615 | 1,00% |
| Communications including translations | 93 245 | 88 565 | 116 451 | 116 451 | 31,49% |
| Management Board and management of the Agency | 32 990 | 82 449 | 83 274 | 83 274 | 1,00% |
| Missions | | 11 000 | 11 110 | 11 110 | 1,00% |
| External training | | | | | |
| Cooperation with international organisations for IT programs | | | | | |
| Preparatory work BPR 13/3938 Norwegian | | | | | |
| Title 9 - Operational expenditure | 485 576 | | | | |
| Appropriation for a Negative budget result prior year BIOCIDES | 485 576 | | | | |
| TOTAL | 12 778 304 | 11 919 000 | 12 346 502 | 12 502 557 | 4,90% |

3.3.3 Budget Outturn (BIOCIDES)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): Total outturn is EUR 1 086 280, out of which EUR 1 039 861 is reimbursed to the EU budget and EUR 46 419 to the Swiss Confederation.

3.4 Financial Resources (Environmental directives and International conventions)

3.4.1 Revenues (Environmental directives and International conventions)

3.4.1.1 General revenues (Environmental directives and International conventions)

| REVENUES | General revenues (Environmental directives and International conventions) | | | | |
|----------------------------------------|---------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 5 285 100 | 4 727 000 | 5 433 237 | 5 509 490 | 16,55% |

| REVENUES | General revenues (Environmental directives and International conventions) | | | | |
|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| - Of which assigned revenues deriving from previous years' surpluses | 25 306 | 26 935 | 120 677 | 120 677 | 348,03% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | 117 971 | 133 345 | 133 345 | 13,03% |
| - Of which EEA/EFTA (excl. Switzerland) | | 117 971 | 133 345 | 133 345 | 13,03% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 3 585 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 5 288 685 | 4 844 971 | 5 566 582 | 5 642 835 | 16,47% |

3.4.1.2 Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions)

| REVENUES | Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions) | | | | |
|------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.4.2 Expenditure (Environmental directives and International conventions)

3.4.2.1 Commitment appropriations (Environmental directives and International conventions)

| EXPENDITURE | Commitment appropriations (Environmental directives and International conventions) | | | | |
|------------------------------------|------------------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 1 737 045 | 2 133 139 | 2 604 142 | 2 680 395 | 25,65% |
| Salaries & allowances | 1 549 199 | 1 920 500 | 2 402 184 | 2 478 437 | 29,05% |

| EXPENDITURE | Commitment appropriations (Environmental directives and International conventions) | | | | |
|------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which establishment plan posts</i> | 800 231 | 950 000 | 1 258 000 | 1 296 127 | 36,43% |
| <i>- Of which external personnel</i> | 748 968 | 970 500 | 1 144 184 | 1 182 310 | 21,82% |
| Expenditure relating to Staff recruitment | 17 042 | 46 400 | 35 600 | 35 600 | -23,28% |
| Employer's pension contributions | | | | | |
| Mission expenses | | 761 | 880 | 880 | 15,64% |
| Socio-medical infrastructure | 56 865 | 73 298 | 73 298 | 73 298 | 0,00% |
| Training | 11 450 | 27 080 | 27 080 | 27 080 | 0,00% |
| External Services | 102 489 | 65 100 | 65 100 | 65 100 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 448 153 | 598 054 | 709 689 | 709 689 | 18,67% |
| Rental of buildings and associated costs | 224 822 | 277 874 | 378 000 | 378 000 | 36,03% |
| Information, communication technology and data processing | 208 189 | 294 693 | 305 538 | 305 538 | 3,68% |
| Movable property and associated costs | 9 295 | 10 635 | 10 848 | 10 848 | 2,00% |
| Current administrative expenditure | 5 847 | 14 804 | 15 102 | 15 102 | 2,01% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | 48 | 201 | 201 | 318,75% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 5 - Operational expenditure | 2 981 036 | 2 113 778 | 2 252 751 | 2 252 751 | 6,57% |
| Studies and consultants | 21 050 | 50 000 | 208 500 | 208 500 | 317,00% |
| Advice and assistance through guidance and helpdesk | | | | | |

| EXPENDITURE | Commitment appropriations (Environmental directives and International conventions) | | | | |
|-----------------------------------------------|------------------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Scientific IT tools | 2 805 575 | 1 873 624 | 1 841 435 | 1 841 435 | -1,72% |
| Meetings with authorities and expert groups | | 20 000 | 20 200 | 20 200 | 1,00% |
| Communications including translations | 143 206 | 126 873 | 137 142 | 137 142 | 8,09% |
| Management Board and management of the Agency | 9 605 | 19 281 | 19 474 | 19 474 | 1,00% |
| Missions | 1 600 | 24 000 | 26 000 | 26 000 | 8,33% |
| External training | | | | | |
| TOTAL | 5 166 234 | 4 844 971 | 5 566 582 | 5 642 835 | 16,47% |

3.4.2.2 Payment appropriations (Environmental directives and International conventions)

| EXPENDITURE | Payment appropriations (Environmental directives and International conventions) | | | | |
|-----------------------------------------------------------|---------------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 1 737 045 | 2 133 139 | 2 604 142 | 2 680 395 | 25,65% |
| Salaries & allowances | 1 549 199 | 1 920 500 | 2 402 184 | 2 478 437 | 29,05% |
| - Of which establishment plan posts | 800 231 | 950 000 | 1 258 000 | 1 296 127 | 36,43% |
| - Of which external personnel | 748 968 | 970 500 | 1 144 184 | 1 182 310 | 21,82% |
| Expenditure relating to Staff recruitment | 17 042 | 46 400 | 35 600 | 35 600 | -23,28% |
| Employer's pension contributions | | | | | |
| Mission expenses | | 761 | 880 | 880 | 15,64% |
| Socio-medical infrastructure | 56 865 | 73 298 | 73 298 | 73 298 | 0,00% |
| Training | 11 450 | 27 080 | 27 080 | 27 080 | 0,00% |
| External Services | 102 489 | 65 100 | 65 100 | 65 100 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 448 153 | 598 054 | 709 689 | 709 689 | 18,67% |

| EXPENDITURE | Payment appropriations (Environmental directives and International conventions) | | | | |
|-----------------------------------------------------------|---------------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Rental of buildings and associated costs | 224 822 | 277 874 | 378 000 | 378 000 | 36,03% |
| Information, communication technology and data processing | 208 189 | 294 693 | 305 538 | 305 538 | 3,68% |
| Movable property and associated costs | 9 295 | 10 635 | 10 848 | 10 848 | 2,00% |
| Current administrative expenditure | 5 847 | 14 804 | 15 102 | 15 102 | 2,01% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | 48 | 201 | 201 | 318,75% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 5 - Operational expenditure | 2 981 036 | 2 113 778 | 2 252 751 | 2 252 751 | 6,57% |
| Studies and consultants | 21 050 | 50 000 | 208 500 | 208 500 | 317,00% |
| Advice and assistance through guidance and helpdesk | | | | | |
| Scientific IT tools | 2 805 575 | 1 873 624 | 1 841 435 | 1 841 435 | -1,72% |
| Meetings with authorities and expert groups | | 20 000 | 20 200 | 20 200 | 1,00% |
| Communications including translations | 143 206 | 126 873 | 137 142 | 137 142 | 8,09% |
| Management Board and management of the Agency | 9 605 | 19 281 | 19 474 | 19 474 | 1,00% |
| Missions | 1 600 | 24 000 | 26 000 | 26 000 | 8,33% |
| External training | | | | | |
| TOTAL | 5 166 234 | 4 844 971 | 5 566 582 | 5 642 835 | 16,47% |

3.4.3 Budget Outturn (Environmental directives and International conventions)

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue) is EUR 120 677.06.

4 Justification of needs

Commission assessment

Human Resources

(REACH/CLP)

- Establishment Plan Posts : The total number of Temporary Agents is within the limits allowed (404).
 - Contract Agents: The Contract Agents request is slightly higher than in 2022 (97 vs 94 CA) following the redeployment of 3 out of the 8 CA posts previously deployed to the Waster Framework Directive database (SCIP) from REACH/CLP, as agreed in the draft budget procedure back in 2019. The deployed posts will continue to be gradually redeployed back to REACH/CLP as the work on the SCIP database progresses.
 - Seconded National Experts: the Commission takes note of the difficulty encountered by ECHA to attract Seconded National Experts to Helsinki. It has been therefore decided to decrease the number of posts to 3 posts to be filled in 2023.
- The Commission will assess the future human resources' needs of the Agency, including the category of staff, in the context of the proposal for a basic regulation announced in the Chemicals Strategy for Sustainability. The needs of the Agency will be assessed in the light of the revision underway of REACH and CLP Regulations.

(Environmental directives and International conventions)

The staff numbers evolve as follows:

- +2 TA and 1 CA for the management of battery substances, as foreseen in the proposal COM(2020)798 for a Regulation of the EP and of the Council concerning batteries and waste batteries.
 - 3 CA for the work on the Waste Framework Directive activities. These 3 posts will be returned to REACH/CLP. They have been temporarily deployed from REACH/CLP for the tasks on the Waste Framework Directive.
- For the other areas of work, the number of temporary and contract agents is kept at 2022 level.

(BIOCIDES)

The Commission welcomes the Agency's steady state regarding the number of posts for the Biocides activities, and supports the request of 52 TA, 15 CA and 2 SNEs. The Commission acknowledges the 4 additional contract agents which are "lent" from EFSA, in accordance with the SLA for IUCLID.

Financial Resources

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

(REACH/CLP)

As concerns the REACH/CLP EU contribution, the financial resources requested are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2022 budget.

(Environmental directives and International conventions)

Financial resources requested are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2022 budget. The overall amount of the 2023 budget request for the Environmental directives and International conventions is increasing by 15% compared to the 2022 voted budget, which is mainly due to the increase foreseen in the proposal COM(2020)798 for a Regulation of the EP and of the Council concerning batteries and waste batteries.

(BIOCIDES)

Financial resources requested are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2022 budget.

The 2021 outturn of EUR 1 million has to be deducted from the 2023 contribution, so the net EU contribution for the Biocides activities will amount to EUR 6.5 million.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (REACH / CLP)

ECHA's mandate and specific tasks under the four Regulations remain the core of its activities and, therefore, overall, ECHA needs to maintain the resources allocated to its regulatory tasks. ECHA intends to continue maintaining its low vacancy rate for all Regulations and implementing proactive human resource management practices to ensure a healthy level of staff turnover. ECHA will also continue to carefully monitor its establishment plan, intensify its work on competency mapping and career paths and ensure a flexible use of its human resources in accordance with organisational priorities. This includes continuing to recruit at lower entry grades of profiles to maintain and build scientific competence, enhance overall organisational performance, motivation, and optimise the utilisation of ECHA's allocated human resources within the overall establishment plan. If ECHA cannot maintain its full REACH/CLP establishment plan, it will examine the use of additional flexibility measures to ensure that it has the necessary human resources in place to implement the programme of work over the 2023 period. Such flexibility measures will include modification of ECHA's establishment plan, in accordance with Article 38(1) and 38(2) of ECHA's Financial Regulation.

While the human resources request for REACH/CLP Contract Agents is 97 FTEs, the actual number is 102 FTEs. The difference stems from the temporary allocation of resources from REACH/CLP to the Environmental directives and International conventions budget line for the work related to the Waste Framework Directive. In 2021 and 2022, 8 CAs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area. Following the progress of the work on the SCIP database, ECHA will redeploy 3 CAs back to REACH/CLP area in 2023, while 5 CAs remain under the Environmental directives and International conventions.

It is to be noted, that two new FTEs (CA) were allocated to ECHA to support the Partnership for Chemicals Risk Assessment (PARC) activities with effect from 01 June 2021. ECHA secures the necessary budgetary and human resources through economies of scale under the REACH budget, given the high importance of this activity. Even though the two PARC CAs are financed from REACH/CLP, they are not included in the REACH/CLP staffing figures. Instead, they are accounted for under Ad hoc grants and delegation agreements.

For 2023, ECHA is requesting three Seconded National Expert (SNE) posts under REACH/CLP, which is less than the number of SNE posts authorised for previous years (13 REACH/CLP SNE posts). Since the previous number of SNE establishment plan posts could not be filled for consecutive years, ECHA considers it pertinent to align its establishment plan more closely with the staff in place, including the number of REACH/CLP SNE posts.

4.1.1.2 Number of staff requested (BIOCIDES)

ECHA's mandate and specific tasks under the four Regulations remain the core of its activities and, therefore, overall, ECHA needs to maintain the resources allocated to its regulatory tasks. ECHA intends to continue maintaining its low vacancy rate for all regulations and implementing proactive human resource policy and management practices as mentioned in the section above regarding REACH/CLP.

For the Biocidal Products Regulation, ECHA requests to maintain its human resources with the same overall total as authorised for 2022.

4.1.1.3 Number of staff requested (Environmental directives and International conventions)

Following a strategic analysis of its future direction with its Management Board and key stakeholders, ECHA concluded that there are potential new tasks as well as existing activity areas that require sufficient human resources.

For PIC and POPs ECHA requests to maintain its human resources with the same overall total as authorised for 2022.

In 2020, work for the Waste Framework Directive was carried out by CAs recruited via REACH/CLP staffing plan posts. For 2021 and 2022, 8 CAs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area for the work required under Article 9 of the Directive 2008/98/EC on waste. Following the progress of the work on the SCIP database, ECHA will gradually redeploy these resources back to REACH/CLP area. In 2023, 3 CAs will be redeployed to REACH/CLP, while 5 CAs are requested to remain under the Environmental directives and International conventions to continue the work under the Waste Framework Directive.

To carry out the tasks entrusted to ECHA under the Drinking Water Directive 98/83/EC, ECHA requests to maintain its human resources at the same level as authorised for 2022 (3 AD posts and 2 CA posts).

To support the work under the 8th Environmental Action Programme, ECHA was granted 1 AD and 1 CA post for the period 2021-2027, both of which are included in the 2023 budget request.

It is to be noted that ECHA's contribution to the Batteries Regulation (Regulation of the European Parliament and the Council concerning batteries and waste batteries, repealing Directive 2006/66/EC and amending Regulation (EU) No 2019/1020) might require three additional posts as of 2023, currently planned as two TA posts (AD 7) and one CA post (CA III), in line with the European Commission's proposal.

Here below the breakdown of the posts for Environmental directives and International conventions:

| | Posts for 2022 | | Posts for 2023 | |
|----------------------|----------------|----|----------------|----|
| | TA | CA | TA | CA |
| PIC | 7 | 1 | 7 | 1 |
| POPs | | 1 | | 1 |
| WFD | | 8* | | 5* |
| DWD | 3 | 2 | 3 | 2 |
| 8th EAP | 1 | 1 | 1 | 1 |
| Batteries Regulation | | | 2 | 1 |
| TOTAL | 11 | 13 | 13 | 11 |

* As no staff allocation has been provisioned to cover the work on the Waste Framework Directive activities, 8 CA FTEs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area in 2021. With the progress of the work on the SCIP database, ECHA will redeploy 3 CA FTEs back to REACH/CLP in 2023, while 5 CA FTEs are requested to remain under the Environmental directives and International conventions to continue the work under the Waste Framework Directive.

4.1.2 Vacancy rate as of end 2021

4.1.2.1 Vacancy rate as of end 2021 (REACH / CLP)

The vacancy rate of the establishment plan posts for REACH/CLP on 31 December 2021 was 2.72%.

4.1.2.2 Vacancy rate as of end 2021 (BIOCIDES)

The vacancy rate of the establishment plan posts for Biocidal Products Regulation on 31 December 2021 was 3.85%.

4.1.2.3 Vacancy rate as of end 2021 (Environmental directives and International conventions)

The vacancy rate of the establishment plan posts for Environmental Directives and International Conventions on 31 December 2021 was 0.00%. To note: the Agency was not able to fill the 8th EAP TA post in 2021 as the Programme was authorised by the Commission only in December 2021.

4.1.3 *Standard abatement ('abatement forfaitaire') applied*

4.1.3.1 *Standard abatement ('abatement forfaitaire') applied (REACH /CLP)*

A 2% vacancy rate is applied to the salary estimates to account for turnover.

4.1.3.2 *Standard abatement ('abatement forfaitaire') applied (BIOCIDES)*

A 2% vacancy rate is applied to the salary estimates to account for turnover.

4.1.3.3 *Standard abatement ('abatement forfaitaire') applied (Environmental directives and International conventions)*

A 2% vacancy rate is applied to the salary estimates to account for turnover.

4.1.4 *Salary assumption for calculating salary line (% applied)*

4.1.4.1 *Salary assumption for calculating salary line (% applied) (REACH / CLP)*

Salary indexation applied: +2% yearly increase

4.1.4.2 *Salary assumption for calculating salary line (% applied) (BIOCIDES)*

Salary indexation applied: +2% yearly increase

4.1.4.3 *Salary assumption for calculating salary line (% applied) (Environmental directives and International conventions)*

Salary indexation applied: +2% yearly increase

4.1.5 *Correction coefficient used*

4.1.5.1 *Correction coefficient used (REACH / CLP)*

118.4 corresponding to the July 2020 update (118.6 currently)

4.1.5.2 *Correction coefficient used (BIOCIDES)*

118.4 corresponding to the July 2020 update (118.6 currently)

4.1.5.3 *Correction coefficient used (Environmental directives and International conventions)*

118.4 corresponding to the July 2020 update (118.6 currently)

4.1.6 *Exchange rate used (if applicable)*

4.1.6.1 *Exchange rate used (if applicable) (REACH / CLP)*

N/A

4.1.6.2 *Exchange rate used (if applicable) (BIOCIDES)*

N/A

4.1.6.3 Exchange rate used (if applicable) (Environmental directives and International conventions)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (REACH /CLP)

For 2023, the needs for staff-related expenditure (Title 1) will amount to c. EUR 68 million (+2% from 2022). The increase stems mainly from the increase in the salaries and allowances, which represent 94.0% of the total Title 1 budget.

4.2.1.2 Title 1 (BIOCIDES)

The total amount for staff-related expenditure under BPR in 2023 totals c. EUR 8.7 million, representing an increase of 6 % compared to 2022. The increase above the standard 2% stems from several factors. At the beginning of the comparison year (2022), there are several vacant posts, which will be filled in only after Q1 of the year, while the 2023 budget request considers full coverage of the above-mentioned posts. The increase also includes the general indexation of salaries and allowances (2%). In addition, the estimated level of BPR fees in 2023 is c. EUR 1.2 million higher compared to 2022. This leads to a higher amount needed for ECHA's share for Employer's Pension Contribution for BPR, as the share raises from 28% to 36% to reflect the increased proportion of fees of the total funding.

4.2.1.3 Title 1 (Environmental directives and International conventions)

The total amount for staff-related expenditure under Environmental directives and International conventions (PIC, POPs, Waste Framework Directive, Drinking Water Directive, 8EAP and new task on Battery Regulation) in 2023 totals c. EUR 2.4 million, representing an increase of 22% compared to 2022. The increase is mainly due to the added 2 AD and 1 CA posts for the work on tasks related to the Battery Regulation. This legislative proposal is planned to be adopted in 2022. The rest of the increase stems from the increase in the salaries and allowances (2%), which represent 91% of the total Title 1 budget. In addition, the draft budget, as adopted by the Management Board, includes a provision for requesting 2 additional CA posts (one CA for PIC and one CA for POPs). It was confirmed only later that such request will not be further supported by the Commission, therefore, this amount will be repurposed to enable contracting of external services, such as consultancy or interims to support ECHA Work Programme activities in the concerned areas.

4.2.2 Title 2

4.2.2.1 Title 2 (REACH / CLP)

The Agency's overall Title 2 (infrastructure and operating expenditure) for 2023 amounts to c. EUR 15.7 million, representing an increase of 5% compared to 2022. The costs are split between the regulations based on the estimated average number of staff per regulation. The preliminary ratios for 2023 are REACH/CLP – c. 84,6%, Biocides - c. 11,4% and PIC, POPs, Waste Framework Directive, Drinking Water Directive, 8EAP and the new task on Battery Regulation (still to be adopted) - c. 4%. The part allocated to REACH/CLP amounts to EUR 13.2 million. The reason behind the increase mainly relates to the level of building costs of 2022 as the base, as in 2022 the annual indexation has been largely compensated by recovering funds due to a lower maintenance costs during COVID-imposed teleworking, and there are no grounds to anticipate comparable refund for 2023. In addition, there is a provision related to hand-over and take-over activities with respect to a new FWC for Managing Workplace Services.

4.2.2.2 Title 2 (BIOCIDES)

Same comment as above. The amount allocated to BPR regulation is EUR 1.8 million.

4.2.2.3 Title 2 (Environmental directives and International conventions)

Same comment as above. The amount allocated to Environmental directives and international conventions is EUR 0.7 million.

4.2.3 Operational Title

4.2.3.1 Title 3 (REACH / CLP)

The overall REACH/CLP operational expenditure for 2023 totals c. € 16.8 million, representing an increase of 6%. The Scientific IT tools (such as REACH-IT, IUCLID, Cloud Services for SMEs, CHESAR, BIDI, Dynamic Case, Portal Dashboard, ODYSSEY and other ECM Programme tools) remain by far the largest expenditure items, representing c. 67% of the overall operational expenditure. In addition, there is a provision for joining the Cybersecurity legislative proposal.

The expenditure related to running the Committees (Member State Committee, Committee for Risk Assessment and Committee for Socio-Economic Analysis), together with the amounts reserved to compensate the committees' rapporteurs, and for the substance evaluation total c. € 1.8 million and account for c. 10% of the operational expenditure.

In Title 3, ECHA has two differentiated budget lines. One is reserved for contracts with the Member States for Substance Evaluation, Authorisation and Restriction and the other one for contracts related to the development and implementation of tools for the international co-operation in the fields of chemicals, such as the QSAR-toolbox.

The amounts foreseen on these lines in 2023 are presented in the below table:

| Budget line | Commitments Appropriations | Payment appropriations |
|------------------------------------------------------------------------------------------------|----------------------------|------------------------|
| Substance evaluation and Rapporteurs (Differentiated appropriations) | 755 000 | 720 000 |
| Cooperation with international organisations for IT programmes (Differentiated appropriations) | 404 000 | 459 150 |
| Total | 1 159 000 | 1 179 150 |

Compared to 2022, there is a c. 16% increase in the Payment Appropriations for the two differentiated lines which is stemming from the related contractual obligations.

4.2.3.2 Title 4 (BIOCIDES)

The overall Biocides operational expenditure for 2023 amounts to c. € 1.9 million, representing a decrease by 6%. The main item continues to be related to the maintenance and development of the relevant IT tools (such as R4BP, SPC Editor and EUSES), representing c. 77% of the overall operational expenditure. Another significant expenditure item relates to the Biocidal Products Committee and its technical working groups, representing c. 10% of the overall operational expenditure. Through the Committee, ECHA continues delivering opinions to the European Commission to support decision making on biocidal active substances and products. In addition, there is a provision for joining the Cybersecurity legislative proposal.

4.2.3.3 Title 5 (Environmental directives and International conventions)

The overall operational expenditure for PIC, POPs, Waste Framework Directive, Drinking Water Directive, 8th Environmental Action Programme and the new task on Battery Regulation for 2023 amounts to c. € 2.3 million. This amount represents an increase of 7% compared to 2022. The operational expenditure is almost exclusively (c. 82%) allocated to the maintenance of the IT tools related to the Waste Framework Directive database (SCIP), support of the export notifications, the import consents and related reporting and the POPs, as well as for maintaining the IT tools for the Drinking Water Directive. Other main elements are related to communication activities, particularly translations. The amount also includes EUR 0.16 million for the scientific work related to the tasks on the Battery Regulation. This legislative proposal is subject of adoption in the second half of 2022. In addition, there is a provision for joining the Cybersecurity legislative proposal.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (REACH / CLP)

The negotiations for continuation of the below listed contribution agreements are annual. The amounts to be allocated to ECHA for implementing the contribution agreements in 2023 are not yet all available, but the current known amounts are as follow:

| Description | Actuals 2021 | Budget 2022 | Budget 2023 |
|---------------------------|--------------|-------------|-------------|
| Contribution agr. EUON | 600 000 | 600 000 | 609 000 |
| Contribution agr. EUCLEF | 1 026 000 | 1 026 000 | 1 519 000 |
| Contribution agr. IPA | - | | |
| Contribution agr. OELs | 600 000 | 975 000 | |
| SLA with EFSA | 2 123 206 | 1 437 092 | 784 712 |
| Total Contr. agr. and SLA | 4 349 206 | 4 038 092 | 2 912 712 |

The Commission and ECHA signed, on 7 December 2016, a Delegation Agreement on the European Union Observatory for Nanomaterials and for a feasibility study on the European Union Chemical Legislation Finder.

Following the completion of the feasibility study, the Commission and ECHA signed, on 8 December 2017, a Delegation Agreement for the set-up of the European Union Chemical Legislation Finder. No staff has been allocated for the work under EUCLEF.

ECHA also receives funding from the Instrument for Pre-accession Assistance (IPA) to provide capacity building for the EU candidate countries and potential candidates on the EU chemical legislation.

The Commission and ECHA have also signed a Service Level Agreement for providing opinions for Occupational Exposure Limits (OELs). An updated SLA was sent to DG EMPL in January 2022 in order to reflect the learnings and growing resource needs under this activity.

To compensate for ECHA's participation in Horizon Europe European Partnership for the Assessment of Risks from Chemicals (PARC), ECHA has been allocated two Contract Agents in 2021, which are financed from economies of scale and efficiency gains in the REACH/CLP area. This solution is temporary until the Commission revises the founding regulation of ECHA.

Here below the breakdown of the posts for Other tasks:

| Other tasks | Posts for 2022 | | Posts for 2023 | |
|-------------------|----------------|------|----------------|------|
| | TA | CA | TA | CA |
| EUON (DG GROW) | | 3 | | 3 |
| OEL (DG EMPL) | | 4 | | 4 |
| IPA (DG NEAR)* | | 2.5 | | 2.5 |
| PARC (DG GROW) | | 2 | | 2 |
| TOTAL Other tasks | 0 | 11.5 | 0 | 11.5 |

* Current resource allocation for IPA is 1 CA. As of August 2022, an increased allocation of resources to 2.5 CAs is to be confirmed by the Commission.

4.3.2 Ad hoc grants and delegation agreements (BIOCIDES)

ECHA signed a Service Level Agreement with EFSA (the European Food Safety Authority) for the development of IUCLID software for pesticides under which EFSA provides the financial coverage for 4 CA posts.

4.3.3 Ad hoc grants and delegation agreements (Environmental directives and International conventions)

n/a

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---------------|---------------------|--------------|---------------|------------------|---------------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Telakkakatu 6 | Telakkakatu 6 | 11 021 | 7 050 | 18 071 | 5 504 119 | Lease contract until 22.01.2030 | | NO | |
| TOTAL | | | 11 021 | 7 050 | 18 071 | 5 504 119 | | | | |

5.1.2 Current building(s) Other comments

The lease agreement is subject to annual indexation. In addition, the cost for carparking / bike storage is subject to a charge from April 2022 onwards. The amount estimated for 2023 is c. € 5 877 659, assuming 1,5% indexation.

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

The European School of Helsinki (ESH) opened in September 2008 to provide education for the children of ECHA staff, following the enactment of the ESH Act on 1 January 2008 (the Act). The ESH is maintained by the State of Finland and it annually concludes an attainment contract with the Finnish National Agency for Education. It is organised on the basis of the educational structure of the European Schools, providing education based on the syllabi of the European Schools. It is an Accredited European School and is administered and funded by the Finnish Government, which receives EU subsidies, and own revenues generated through certain fees. Accreditation is subject to regular audit reviews, and the latest review in 2019 ensured the continuation of accreditation for another three years. The Act provides that the children of ECHA staff (Category I pupils) have an entitlement to a cost-free enrolment at ESH. In 2009, an amendment to the Act on European Schooling Helsinki was adopted to facilitate admission of Category II (non-ECHA) pupils to the School. Category II pupils may apply for enrolment in the ESH since 2010 and, presently, approximately 40 % of the pupils are of category II. In reference to Commission's Decision C(2013)4886 of 01/08/2013 and additional written communication provided by the Commission in respect to allocation of costs related to Accredited European Schools, ECHA's 2023 draft budget includes the European School contribution amounts related to ECHA's staff pupils. More specifically, it is used to pay the EU subsidy for the European School Helsinki, following the conclusion of a Mandate and Service Agreement with the European Commission. Moreover, the draft budget also foresees funds to partially cover, pursuant to Article 1e of the Staff Regulations, costs for special educational needs, the school nurse and private day care services for dependent children within the meaning of the Staff Regulations who are too young to be admitted to municipality nurseries. The availability of a high quality of education in Helsinki is a critical attraction and retention factor for ECHA and, in this respect, the ESH is a key stakeholder for ECHA. There is a clear requirement for the School's budget - and, specifically, the subsidy from the Finnish state - to be maintained at a sufficient level to continue ensuring the provision of a high quality of education at the School. Another element is to ensure that ESH students receive the necessary education, support and guidance to perform well in the European Baccalaureate. ECHA will, through its presence on the Administrative Board and interactions with other stakeholders continue to represent the interests of ECHA staff. ECHA co-chairs the Sub-Network of EU Agencies on Accredited European Schools (SNAES) within the Network of the Heads of Administration to ensure coordination and mutual support among Agencies on this important topic.

5.3 Evaluation

In 2018, the Commission published a "General Report on the operation of REACH and review of certain elements - Conclusions and Actions" (COM(2018) 116 final - <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52018DC0116&from=EN>), concluding overall that REACH is addressing citizens' concerns about chemical safety and that REACH is found to be generally coherent with other EU legislation concerning

chemicals and is delivering the international goals as intended. Regarding the Agency, it was concluded that ECHA has been instrumental in the implementation of REACH and has now built up a significant competence in chemicals management. To the extent that the review is indicating the need to improve efficiencies, the Agency sets out the corresponding measures in its Programming Document which has a revised Strategy for efficiency gains and revised performance indicators as of the Programming Document 2022-2025. In 2021, ECHA published the 5-year Report on the operation of REACH and CLP 2021 setting out where progress was made since the 2016 report and identifying the areas where further efforts are needed. Furthermore, the Management Board did a mid-term review of the Strategic Plan 2019-2023 confirming its validity. The Strategic Plan is the umbrella for ECHA's efficiency ambition and approach, further implemented in the Work Programme and the Integrated Management System Strategy of the Agency. ECHA follows up regularly on progress made on efficiencies and informs the Management Board accordingly.

Furthermore, in 2019, the Commission published its "Findings of the Fitness Check of the most relevant chemicals legislation (excluding REACH) and identified challenges, gaps and weaknesses" (COM(2019) 264 final - <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52019DC0264&from=EN>), concluding that overall, the EU framework of chemicals legislation is fit for purpose and delivers a high level of protection of people and the environment in balance with the needs of an efficiently functioning internal market and of a competitive and innovative chemicals industry. ECHA has analysed the outcome of this check with a view to identify where regulatory tasks of the Agency can be further consolidated and improved and where further synergies between existing tasks and possible new tasks could be sought. The follow up to these findings takes place via corporate planning and reporting in the Programming Document and Annual Report of the Agency.

5.4 Privileges and immunities

The privileges and immunities of staff and the Agency are contained in the respective Protocol to the EU Treaty. Moreover, further effect is given by the Seat Agreement signed between Finland and ECHA on 28 June 2007.

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Inviolability | Immunity from jurisdiction regarding official capacity | Same access to day-care organised by municipalities as Finnish nationals |
| Facilitations for Communications | Exemption from registration requirements Duty free import of goods upon taking up services Reimbursement of VAT between 1 June 2007 and 31 May 2009 (no longer in place) Right to free export when leaving the service Exemption from taxes on EU salaries Exemption from national car tax once every three years Executive Director and Directors join diplomatic status Temporary residence permits to family members who are not EU / EEA nationals Issuance of personal cards through the Foreign Ministry Issuance of Finnish identity numbers | Access to Finnish school system |
| Assistance and Cooperation in Security Matters | | Access to European Schooling through the European Schooling Helsinki |
| Exemption from all duties and taxes | | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) 2021/696 of the European Parliament and of the Council of 28 April 2021 establishing the Union Space Programme and the European Union Agency for the Space Programme and repealing Regulations (EU) No 912/2010, (EU) No 1285/2013 and (EU) No 377/2014 and Decision No 541/2014/EU (OJ L 170, 12.5.2021, p. 69) | 28/04/2021 | Establishment of EU Space Programme Agency in place of GSA together with change of governance and increase of scope to include new core and delegated tasks. New core tasks include tasks related to security accreditation for the whole EU space programme, operational security tasks for Galileo and EGNOS, new market and communications tasks for Copernicus. New delegated tasks include full scope of Galileo exploitation, GOVSATCOM activities and other activities delegated to the Agency. |
| Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 15 February 2022, establishing the Union Secure Connectivity Programme for the period 2023-2027 (COM(2022) 57) | | The general objective of this proposal is to establish a Union secure satellite communication system that ensures the provision of worldwide secure, flexible and resilient satellite communication services to the Union and Member States governmental entities. |

1.2 Seat

Prague (the Czech Republic). The Agency also operates the Galileo Security Monitoring Centre (GSMC) and its back up in Paris (France) and Madrid (Spain) respectively, the GNSS Service Centre (GSC) in Madrid (Spain) and the Galileo Reference Centre (GRC) in Noordwijk (the Netherlands).

1.3 Budget Line

04 10 01 : European Union Agency for the Space Programme

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 189 | 187 | 98,94% | 229 | 265 |
| Assistants (AST) | 2 | 4 | 200,00% | 2 | 2 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 191 | 191 | 100,00% | 231 | 267 |
| Contract Agents (CA) | 34 | 56 | 164,71% | 34 | 38 |
| Seconded National Experts (SNE) | 13 | 7 | 53,85% | 14 | 15 |
| TOTAL STAFF | 238 | 254 | 106,72% | 279 | 320 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|--|-------------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| EU contribution | 68 345 460 | | 74 762 237 | |
| Other Revenue | 1 687 032 | | 1 846 627 | |
| TOTAL REVENUES | 70 032 492 | | 76 608 864 | |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|--|----------------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 1 903 489 170 | | 1 968 014 927 | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | 1 903 489 170 | | 1 968 014 927 | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 34 813 852 | 34 813 852 | 39 654 686 | 39 654 686 |
| Title 2 - Infrastructure and operating expenditure | 14 868 640 | 14 868 640 | 15 874 178 | 15 874 178 |
| Title 3 - Operational expenditure | 20 350 000 | 20 350 000 | 21 080 000 | 21 080 000 |
| TOTAL EXPENDITURE | 70 032 492 | 70 032 492 | 76 608 864 | 76 608 864 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 5 | | 2 | | 6 | | 7 | | 7 |
| AD 12 | | 10 | | | | 10 | | 12 | | 12 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 11 | | 12 | | 8 | | 17 | | 20 | | 20 |
| AD 10 | | 21 | | 14 | | 26 | | 29 | | 29 |
| AD 9 | | 36 | | 21 | | 45 | | 53 | | 53 |
| AD 8 | | 55 | | 32 | | 62 | | 71 | | 71 |
| AD 7 | | 34 | | 54 | | 44 | | 50 | | 50 |
| AD 6 | | 8 | | 35 | | 10 | | 12 | | 12 |
| AD 5 | | 7 | | 20 | | 8 | | 10 | | 10 |
| AD TOTAL | | 189 | | 187 | | 229 | | 265 | | 265 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | 1 | | 1 |
| AST 7 | | 1 | | | | 1 | | 1 | | 1 |
| AST 6 | | 1 | | 2 | | 1 | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | 1 | | | | | | |
| AST 3 | | | | 1 | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 2 | | 4 | | 2 | | 2 | | 2 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 191 | | 191 | | 231 | | 267 | | 267 |
| GRAND TOTAL | | 191 | | 191 | | 231 | | 267 | | 267 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 23 | 44 | 22 | 26 |
| Function Group III | 7 | 11 | 11 | 11 |
| Function Group II | 4 | 1 | 1 | 1 |

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|-------------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group 1 | | | | |
| TOTAL | 34 | 56 | 34 | 38 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 13 | 7 | 14 | 15 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 56 175 273 | 68 345 460 | 74 762 237 | 74 762 237 | 9,39% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 207 189 | 44 555 | -59 921 | -59 921 | -234,49% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 954 942 | 1 687 032 | 1 846 627 | 1 846 627 | 9,46% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 954 942 | 1 687 032 | 1 846 627 | 1 846 627 | 9,46% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 57 130 215 | 70 032 492 | 76 608 864 | 76 608 864 | 9,39% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------|-------------------|----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 1 903 489 170 | | 1 968 014 927 | 3,39% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | 1 903 489 170 | | 1 968 014 927 | 3,39% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 26 818 732 | 34 813 852 | 39 654 686 | 39 654 686 | 13,90% |
| Salaries & allowances | 24 071 234 | 31 894 557 | 36 859 503 | 36 859 503 | 15,57% |
| - Of which establishment plan posts | 19 278 062 | 26 998 446 | 32 048 912 | 32 048 912 | 18,71% |
| - Of which external personnel | 4 793 172 | 4 896 111 | 4 810 591 | 4 810 591 | -1,75% |
| Expenditure relating to Staff recruitment | 96 562 | 100 000 | 70 000 | 70 000 | -30,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 550 066 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Socio-medical infrastructure | 88 395 | 88 755 | 125 183 | 125 183 | 41,04% |
| Training | 645 640 | 628 000 | 598 000 | 598 000 | -4,78% |
| External Services | 1 014 100 | 515 000 | 350 000 | 350 000 | -32,04% |
| Receptions, events and representation | | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 352 735 | 585 540 | 650 000 | 650 000 | 11,01% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 10 857 284 | 14 868 640 | 15 874 178 | 15 874 178 | 6,76% |
| Rental of buildings and associated costs | 3 106 912 | 3 576 128 | 5 528 107 | 5 528 107 | 54,58% |
| Information, communication technology and data processing | 5 077 585 | 7 585 604 | 6 421 295 | 6 421 295 | -15,35% |
| Movable property and associated costs | 410 177 | 249 100 | 382 020 | 382 020 | 53,36% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Current administrative expenditure | 1 967 562 | 2 817 558 | 2 965 514 | 2 965 514 | 5,25% |
| Postage / Telecommunications | 105 333 | 144 250 | 230 000 | 230 000 | 59,45% |
| Meeting expenses | 19 151 | 115 000 | 109 242 | 109 242 | -5,01% |
| Running costs in connection with operational activities | 170 564 | 381 000 | 238 000 | 238 000 | -37,53% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 19 454 199 | 20 350 000 | 21 080 000 | 21 080 000 | 3,59% |
| Operations and studies | 18 661 492 | 19 350 000 | 20 080 000 | 20 080 000 | 3,77% |
| Security accreditation expenditure | 792 707 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Galileo Security Monitoring Centres (GSMCs) | | | | | |
| TOTAL | 57 130 215 | 70 032 492 | 76 608 864 | 76 608 864 | 9,39% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 26 818 733 | 34 813 852 | 39 654 686 | 39 654 686 | 13,90% |
| Salaries & allowances | 24 071 234 | 31 894 557 | 36 859 503 | 36 859 503 | 15,57% |
| - <i>Of which establishment plan posts</i> | 19 278 062 | 26 998 446 | 32 048 912 | 32 048 912 | 18,71% |
| - <i>Of which external personnel</i> | 4 793 172 | 4 896 111 | 4 810 591 | 4 810 591 | -1,75% |
| Expenditure relating to Staff recruitment | 96 562 | 100 000 | 70 000 | 70 000 | -30,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 550 066 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Socio-medical infrastructure | 88 396 | 88 755 | 125 183 | 125 183 | 41,04% |
| Training | 645 640 | 628 000 | 598 000 | 598 000 | -4,78% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 1 014 100 | 515 000 | 350 000 | 350 000 | -32,04% |
| Receptions, events and representation | | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 352 735 | 585 540 | 650 000 | 650 000 | 11,01% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 10 857 284 | 14 868 640 | 15 874 178 | 15 874 178 | 6,76% |
| Rental of buildings and associated costs | 3 106 912 | 3 576 128 | 5 528 107 | 5 528 107 | 54,58% |
| Information, communication technology and data processing | 5 077 585 | 7 585 604 | 6 421 295 | 6 421 295 | -15,35% |
| Movable property and associated costs | 410 177 | 249 100 | 382 020 | 382 020 | 53,36% |
| Current administrative expenditure | 1 967 562 | 2 817 558 | 2 965 514 | 2 965 514 | 5,25% |
| Postage / Telecommunications | 105 333 | 144 250 | 230 000 | 230 000 | 59,45% |
| Meeting expenses | 19 151 | 115 000 | 109 242 | 109 242 | -5,01% |
| Running costs in connection with operational activities | 170 564 | 381 000 | 238 000 | 238 000 | -37,53% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 6 468 199 | 20 350 000 | 21 080 000 | 21 080 000 | 3,59% |
| Operations and studies | 5 177 802 | 19 350 000 | 20 080 000 | 20 080 000 | 3,77% |
| Security accreditation expenditure | 1 290 397 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Galileo Security Monitoring Centres (GSMCs) | | | | | |
| TOTAL | 44 144 216 | 70 032 492 | 76 608 864 | 76 608 864 | 9,39% |

3.3 Budget Outturn

The 2021 budget outturn is EUR -59 920,64.

4 Justification of needs

Commission assessment

Human Resources

The European Commission supports the request of EUSPA Agency for the year 2023. Human resources requested are in line with the programming for 2023-2027 following the adoption of the 2022 budget and also include in 2023 10 additional FTE posts (5 AD, 4 CA, 1 SNE) related to the new proposal on secured connectivity. In addition, a transfer of 11 FTE posts (11 AD) from SatCen to EUSPA Agency will be made to cover for the SST Front Desk tasks, in line with the provisions of the Space Regulation.

Financial Resources

The European Commission supports the request of EUSPA Agency for the year 2023. Financial resources requested are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2022 budget and also includes an additional amount of 1 950 000 € related to the additional staff of the new proposal on secured connectivity.

EUSPA Agency will fund SST Front Desk posts within the total financial resources it is provided.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The establishment plan for 2023 increases by 20 Temporary Agents in line with the legislative financial statements of the Regulation (EU) 2021/696 of the European Parliament and of the Council of 28 April 2021 establishing the Union Space Programme and the European Union Agency for the Space Programme and an additional 5 Temporary Agents for secured connectivity together with the transfer of 11 Temporary Agent posts from SatCen, leading to a total increase of 36 Temporary Agents.

In 2023 Agency expects to continue to focus on undertaking its new activities, in particular related to security accreditation for the whole EU space programme, operational security tasks for Galileo and EGNOS, new market and communications tasks for Copernicus and new delegated tasks including the full scope of Galileo exploitation, GOVSATCOM, initial secured connectivity tasks and SST Front Desk activities.

4.1.2 Vacancy rate as of end 2021

There was a 0 % vacancy rate as of 31/12/2021. 191 out of 191 EP posts were filled, including 22 offers accepted by the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

Salary assumptions are based on average real costs of the EUSPA per grade and place of employment. Current correction coefficients for the place of living have been applied for the staff located in the Czech Republic, France, The Netherlands and Spain. Part of the staff costs are linked to the installation and one-off costs for all new staff, in accordance with the Staff Regulations of Officials of the European Union and Conditions of Employment of other Servants of the European Union. New recruited staff costs are reduced to 50% due to expected entry into service as of July 2023.

4.1.5 Correction coefficient used

The correction coefficient used to estimate the salary needs is based on the current correction coefficients for the Czech Republic, France, the Netherlands and Spain which are 88,1 %, 119,9 %, 111,4 % and 96,3 % respectively.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Salary assumptions are based on average real costs per grade and staff. Multilingual tuition costs for children increase in proportion to the number of staff and are estimated based on a thorough analysis and extrapolation of present-day costs. It is noted that, with the certification of a European school in Paris, the tuition costs associated with staff based in Paris are expected to be reduced in the coming years.

Medical costs decrease reflects lower number of pre-recruitment medical checks expected as there will be less newly recruited staff in comparison to 2022.

Mission costs remain at the 2022 level despite increasing staff figures and an increasing level of delegated activities for which no missions' budget is allocated. This is possible due to optimised mission management, with videoconferencing being used wherever appropriate stemming also from COVID-19 lessons learned and due to effective advance planning of missions.

Training costs also remain stable in 2023. Effective and financially efficient training methods have been continuously sought by the Agency, such as grouping training sessions for staff where appropriate and holding training on-site in order to avoid mission costs and taking advantage wherever possible of "in-house" training, passing on knowledge effectively between staff members.

A package of social measures for EUSPA staff based in Prague, as approved by the Agency's Administrative Board, were introduced in the second half of 2016, having limited impact on the budget. Nevertheless, the Executive Director decided to reduce them by half in 2019, and it is expected that this level will be maintained. The budgetary needs will therefore remain stable and increase only in proportion to the new staff, and remain subject to regular revision.

4.2.2 Title 2

In January 2015, the Agency took over the entire building in Prague which it previously shared with the Czech Ministry of Finance. The rent was set at 25% of the market rate as of September 2017. Full 12 month rent cost is currently estimated at around EUR 233,625 for 2023 including exchange rate fluctuation and indexation.

Utility consumption costs of the current building are expected to increase in proportion to the number of additional staff and according to the inflation. Facility management, reception, hosting and security services for all sites are indexed and so will increase to a minor extent on an annual basis.

In line with MoU signed with Czech Republic new HQ building shall be available as of July 2023 at the earliest. Costs of occupation of the new HQ building (facility management, guarding, utilities, rent) for six months are budgeted as a proportion of the current building costs. According to the Host Agreement with the Czech Republic, the rent of the facilities provided by the Czech Republic is reduced to 25% of a standard commercial rent.

In addition, the building budget also reflects full 12 months of regular costs of occupation of the re-developed GSMC building in St Germain en Laye that is expected to be available from Q3/2022.

Data processing ICT costs are budgeted solely under the core budget and the fact that the ICT infrastructure of the EUSPA requires a complete Digital Transformation from the former GSA. The new needs are in particular in the area of business continuity (adding redundant data center facilities, servers, storage and networks), 24x7 security and continuity of operations supporting the activities of the GSMC and the capability to work remotely with EU Classified information, across all sites and in scenarios like the COVID-19 pandemic.

The fact that the Agency has staff in 6 separate locations together with 1 unclassified and 2 classified ICT systems, coupled with the fact that it is a highly technical Agency, places a necessary budgetary burden upon the Agency in terms of administrative costs. However, new IT applications and videoconferencing have been set up by the Agency in order to limit the rising costs (e.g. travelling) as much as possible. The new needs in data processing, in particular in the processing of EUCI, require a new approach to the delivery and functionality of the Agency ICT systems, so the process

of EUSPA Digital Transformation, started in 2020, will continue. These investments and their maintenance costs are reflected in the Data Processing and in the Telecommunications budgets.

The movable property budget increase reflects the necessary purchase of furniture for the new HQ building - furniture for additional staff newly hired and furniture for common areas. Movable property also includes maintenance of existing furniture.

The SAB administrative expenditure line decrease is related to the fact that one satellite launch planned in 2023 in comparison to two launches planned in 2022.

4.2.3 Title 3

The Title 3 operational core budget covers the Agency's core tasks as stipulated the EU Space Programme Regulation. Agency's core tasks are expanded under new EU Space Programme Regulation and include security accreditation for the whole EU space programme, operational security for Galileo and EGNOS and new market and communications tasks for Copernicus.

Market development applications, contribution to the commercialisation and awareness of Galileo and EGNOS, communication and promotion activities are in total expected to require a budget of approximately EUR 4 100 000 for this reporting period. The increasing tasks of the Agency will require intense communication and promotion activities towards industry and all GNSS stakeholders. These activities include sector specific event support and promotion of EGNOS and Galileo in aviation, high precision, agriculture, road, maritime, rail and surveying markets; market monitoring, web development, E-communication and publications, promotional events and video to represent and increase visibility of the programmes.

For the operation of the Galileo Security Monitoring Centre (GSMCs), operational support contracts will be required for 2023 for an estimated amount of EUR 2 700 000. These support contracts will be crucial in ensuring operational, technical and security activities supporting GSMC services for both GSMC sites (France and Spain). In more specific terms, the contracts will be necessary to cover the following essential actions:

- GSMC Operations Processes, including the support needed for the monitoring of the security of the Galileo System and the capability to react to all security incidents on a 24/7 basis;
- GSMC Operations Engineering Processes to support the progressive deployment of GSMC capabilities, including the design and documentation of all the operations procedures through testing phases and managing the technical processes lifecycle;
- Provision of quality management support services to the Agency, including in particular the ISO 9001 certificate renewal and maintenance and the GSMC product assurance capability.

Undertaking the new operational security task for Galileo and EGNOS as defined in Art 30 & 34(2) of the EU Space Programme Regulation will require a budget of EUR 13 005 000. The assignment of the responsibility for the operational security is interpreted as entrusting the Agency with the role of Information Assurance Operational Authority (IAOA) for each EU GNSS system, as defined in Council Decision 2013/488/EU. This role is related to both Galileo and EGNOS, therefore objectives are set up in order to undertake the relevant activities for both systems.

The SAB budget of EUR 1 000 000 will be used for support to and implementation of activities linked to the security accreditation of the EU space components (as detailed in Section III.02.01 of the SPD 2023), in particular support contracts. These support contracts are essential in order to provide the expected level of support to the Security Accreditation Board and its subordinate bodies, needed to perform the accreditation activities requested by the Regulation.

4.3 Ad hoc grants and delegation agreements

In 2023, in addition to its own operational core budget, the Agency expects to be operating the Financial Framework Partnership Agreement (FFPA) and the various Contribution Agreements associated with it. The Agency will ensure the management of the EGNSS Exploitation Programmes for EGNOS and Galileo. The Agency shall finalise preparatory action activities entrusted by the Commission under the GOVSATCOM Preparatory Action contribution agreement and will implement the activities entrusted by the Commission under the Space programme contribution agreement where the GOVSATCOM component is concerned.

The Agency will also manage ongoing projects under Horizon calls.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-------------------------------------------------|-------------------------------------------------------------------------|----------------------------------|---------------|---------------|-----------------|-------------------------------------------------------------------------------------------------------|----------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | EUSPA HQ | Janovskeho 438/2, 17000 Prague 7 | 3 925 | 10 251 | 14 176 | 233 625 | Indefinite | Host agreement | YES | |
| 2 | St. Germain en Laye France GSMC building 1 | 8, Avenue du President Kennedy, F-78102 Saint-Germain-en-Laye Cedex | 665 | 192 | 857 | 40 000 | until 31/12/2030 | Host agreement | Partial support by MS | |
| 3 | St. Germain en Laye France GSMC building 2 | 8, Avenue du President Kennedy, F-78102 Saint-Germain-en-Laye Cedex | 1 037 | 3 507 | 4 544 | 40 000 | until 31/12/2030 | Host agreement | Partial support by MS | |
| 4 | EUSPA/CNES Toulouse EGNOS | CNES, 2 place Maurice Quentin 75 039 Paris | 258 | 150 | 408 | 114 545 | Indefinite | SLA | N/A | |
| 5 | Brussels, BE OED Office/Agency remote office | BREY 178 and BREY 152, BRU, BE | 21 | | 21 | 20 000 | Indefinite | SLA | | |
| 6 | La Maranosa Spain building 1 | GSMC-ES Instituto Tecnológico "La Marañosa" (ITM) San Martin de la Vega | 140 | 958 | 1 098 | | In-kind contribution by Spain. Valid 20 years from signature (automatic renewal for another 20 years) | Host Agreement | Yes | |
| 7 | La Maranosa Spain building 2 | GSMC-ES Instituto Tecnológico "La Marañosa" (ITM) San Martin de la Vega | 250 | 2 487 | 2 737 | | In-kind contribution by Spain. Valid 20 years from signature (automatic renewal for another 20 years) | Host Agreement | | |
| 8 | Galileo Reference Centre (GRC), The Netherlands | Zwarteweg 53, NL-2201 AA Noordwijk, The Netherlands | 520 | 930 | 1 450 | | 20 years (minimum) | Host Agreement | Yes | |
| TOTAL | | | 6 816 | 18 475 | 25 291 | 448 170 | | | | |

5.1.2 Current building(s) Other comments

Prague, CZ

The Agency has to pay also all related admin costs, utilities, IT and infrastructure.

Utilities for current building in 2023 are estimated at EUR 450 000, Facility and Logistics services are estimated at EUR 415 000.

St. Germain en Laye, FR (Building 1)

For the Galileo Security Monitoring Centre no actual rent is paid, however the Agency has to cover associated costs such as occupiers' charges (fix amount of EUR 40 000 per year), utilities (EUR 180 000), facility management (EUR 775 000,

costs shared with hosting country), security guards (EUR 1 170 000), furniture and IT. GSMC FR short-term extension (+300 msq of modular construction) is expected to be dismantled in Q1/2023.

Agency/CNES Toulouse, FR

Rental fee = EUR 48 931

Utilities and services fee = EUR 65 614

GSMC backup site, Spain

An initial capability (E2 building) has been made available by Spanish authorities in Q4/2018.

5.1.3 Building projects in the planning phase

Prague, CZ - HQ building extension, use of datacentres of CZ government and occupation charge

New premises, current HQ replacement or utilisation of CZ datacentres are under negotiation with the Czech government. In line with MoU signed with Czech Republic new HQ building shall be available as of July 2023 at the earliest. Costs of occupation of the new HQ building (facility management, guarding, utilities, rent) for six months are budgeted as a proportion of the current building costs. No further details known at the moment, an official proposal of the Czech government is expected in Q1/2022 and the costs will be subject to negotiation in 2022.

St. Germain en Laye, FR (Building 2)

New building is expected to be available as of Q3/2022.

Occupational charges and utilities are budgeted as a proportion of the current building costs.

GSMC backup site, Spain

An initial capability (E2 building) has been made available by Spanish authorities in Q4/2018, pending provision of the final configuration (E6 building), scheduled in Q2/2022.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

Following the opening of the Galileo Reference Centre (GRC) in The Netherlands, the Agency entered into a Mandate Level agreement with the European Commission for use of the accredited European School in proximity to Noordwijk, The Netherlands - Rijnlands Lyceum.

A European school is also established in Courbevoie, Paris and the process of accreditation was completed in 2021. The Agency has signed an amendment to a Mandate Level agreement with the European Commission enabling to its staff, located in St. Germain en Laye the use of the accredited European School in Courbevoie in the future

5.3 Evaluation

The main findings of the latest evaluation available are as follows:

The most recent evaluation of the GSA has been undertaken by the Commission and is contained within the 'Interim Evaluation of Galileo and EGNOS programmes and evaluation of the European GNSS Agency', SWD(2017) 346, published on 23 Oct 2017. The next (first) review of EUSPA is due by 30 June 2024 in accordance with Art 102 of the EU Space Programme Regulation.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Prague: VAT exemption | Headquarters agreement granting VAT exemption up to 100 000 CZK p.a. (approx. 3 600 EUR) but not diplomatic status (with the exception of the Executive Director) | Local infrastructure is used for medical care and multilingual tuition for children of staff. |
| St. Germain en Laye | No special privileges | Local infrastructure is used for medical care and multilingual tuition for children of staff; European school is available for children of staff |
| Toulouse | No special privileges | Local infrastructure is used for medical care. |
| Spain | No special privileges | Local infrastructure is used for medical care and multilingual tuition for children of staff. |
| Netherlands | <ul style="list-style-type: none"> - VAT can be claimed on purchases above EUR 225 - VAT exemption on fuel, - Exempt from car import taxes | Local infrastructure is used for medical care; European school is available for children of staff. |

2.2 Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

2.2.1 European Food Safety Authority – EFSA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|-----------------------------|------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EC) N° 178/2002 | 28/01/2002 | <p>EFSA is the cornerstone of the EU system of risk assessment for food and feed safety. Its scientific advice on existing and emerging risks underpins the policies and decisions of risk managers in the European Institutions and EU Member States with the objective of protecting consumer health. The Authority's most critical commitment is to provide objective, transparent and independent advice and clear communication grounded in the most up-to-date scientific methodologies, information and data available. The Authority is committed to the core standards of scientific excellence, openness, transparency, independence and responsiveness.</p> <p>EFSA brings together Europe's best available experts in risk assessment in the field of food and feed safety, who act in an independent capacity for an autonomous, self-governed organisation to provide the European EU, Member States and the European Parliament with scientific advice of the highest standard.</p> <p>EFSA works closely with national food safety agencies and in open consultation with its stakeholders, proactively seeking input, ensuring the transparency of its procedures and exchanging information with international partners. This puts EFSA in a strong position to assist risk managers in developing coordinated and international approaches based on comprehensive and current analysis.</p> <p>EFSA is a responsive and reliable source of support for decision makers. Through its Scientific Committee, Scientific Panels and Working Groups, the Authority undertakes to respond quickly and proactively to urgent issues and emerging risks and EFSA's programming will continue to evolve and adapt in line with the priorities and needs of risk managers.</p> <p>Communicating on risks associated with the food chain is a key part of EFSA's mandate. EFSA, in close cooperation with the European Commission, strives to ensure all interested parties receive timely, reliable, objective and meaningful information based on the results of its scientific work, in liaison with national food safety authorities and stakeholders, and taking account of the needs of different audiences.</p> <p>EFSA will continue working independently, openly and transparently to deliver the best possible scientific advice and therefore contribute to strengthening the European food and feed safety system.</p> |
| Regulation (EU) 2019/1381 | 20/06/2019 | <p>The new Transparency Regulation adopted in April 2019 to significantly increase the transparency of the EU risk assessment in the food chain, revisit the governance of EFSA in order to ensure its long-term sustainability, improve the coherence of risk communication and enhance the quality and reliability of studies.</p> <p>The measures introduced with the Transparency Regulation have generated modifications to the EFSA's multiannual plan, which now include concrete actions that ensure the appropriate implementation of the regulation with the final aim for a more robust, independent and transparent risk assessment process.</p> |

1.2 Seat

Parma, Italy

1.3 Budget Line

06 10 02 : European Food Safety Authority

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 284 | 266 | 93,66% | 312 | 312 |
| Assistants (AST) | 96 | 98 | 102,08% | 93 | 93 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 380 | 364 | 95,79% | 405 | 405 |
| Contract Agents (CA) | 146 | 140 | 95,89% | 167 | 167 |
| Seconded National Experts (SNE) | 15 | 11 | 73,33% | 15 | 15 |
| TOTAL STAFF | 541 | 515 | 95,19% | 587 | 587 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 131 506 692 | 143 253 598 |
| Other Revenue | 3 239 537 | 3 480 590 |
| TOTAL REVENUES | 134 746 229 | 146 734 188 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 58 086 483 | 58 086 483 | 62 471 137 | 62 471 137 |
| Title 2 - Infrastructure and operating expenditure | 12 190 400 | 12 190 400 | 12 845 200 | 12 845 200 |
| Title 3 - Operational expenditure | 79 537 875 | 64 469 346 | 79 364 430 | 71 417 851 |
| TOTAL EXPENDITURE | 149 814 758 | 134 746 229 | 154 680 767 | 146 734 188 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | | | | | | | 1 | | 1 |
| AD 13 | | 4 | | 3 | | 5 | | 5 | | 5 |
| AD 12 | | 4 | | 5 | | 5 | | 6 | | 6 |
| AD 11 | | 10 | | 4 | | 11 | | 12 | | 12 |
| AD 10 | | 20 | | 18 | | 23 | | 27 | | 27 |
| AD 9 | 1 | 43 | | 38 | 1 | 47 | 3 | 48 | 3 | 48 |
| AD 8 | 4 | 68 | 4 | 67 | 4 | 68 | 2 | 72 | 2 | 72 |
| AD 7 | | 68 | 1 | 54 | | 77 | | 74 | | 74 |
| AD 6 | | 52 | | 56 | | 60 | | 53 | | 53 |
| AD 5 | | 9 | | 15 | | 10 | | 8 | | 8 |
| AD TOTAL | 5 | 279 | 5 | 261 | 5 | 307 | 5 | 307 | 5 | 307 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 2 | | | | 3 | | 3 | | 3 |
| AST 7 | | 4 | | 3 | | 4 | | 6 | | 6 |
| AST 6 | | 9 | | 9 | | 11 | | 12 | | 12 |
| AST 5 | | 21 | | 22 | | 23 | | 24 | | 24 |
| AST 4 | | 32 | | 31 | | 29 | | 27 | | 27 |
| AST 3 | | 17 | | 23 | | 14 | | 13 | | 13 |
| AST 2 | | 11 | | 9 | | 9 | | 8 | | 8 |
| AST 1 | | | | 1 | | | | | | |
| AST TOTAL | | 96 | | 98 | | 93 | | 93 | | 93 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | 5 | 375 | 5 | 359 | 5 | 400 | 5 | 400 | 5 | 400 |
| GRAND TOTAL | 380 | | 364 | | 405 | | 405 | | 405 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 122 | 110 | 141 | 138 |
| Function Group III | 7 | 11 | 10 | 19 |
| Function Group II | 17 | 19 | 16 | 10 |
| Function Group I | | | | |
| TOTAL | 146 | 140 | 167 | 167 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 15 | 11 | 15 | 15 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 117 058 184 | 131 506 692 | 141 381 970 | 143 253 598 | 8,93% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 429 375 | 351 351 | 467 378 | 467 378 | 33,02% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 3 062 426 | 3 239 537 | 3 480 590 | 3 480 590 | 7,44% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 3 062 426 | 3 239 537 | 3 480 590 | 3 480 590 | 7,44% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 120 120 610 | 134 746 229 | 144 862 560 | 146 734 188 | 8,90% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 53 078 698 | 58 086 483 | 60 599 509 | 62 471 137 | 7,55% |
| Salaries & allowances | 45 527 623 | 50 595 456 | 53 705 364 | 55 576 992 | 9,85% |
| <i>- Of which establishment plan posts</i> | <i>35 841 749</i> | <i>39 487 000</i> | <i>41 836 000</i> | <i>43 295 870</i> | <i>9,65%</i> |
| <i>- Of which external personnel</i> | <i>9 685 874</i> | <i>11 108 456</i> | <i>11 869 364</i> | <i>12 281 122</i> | <i>10,56%</i> |
| Expenditure relating to Staff recruitment | 502 317 | 560 000 | 560 000 | 560 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 4 280 | 126 000 | 126 000 | 126 000 | 0,00% |
| Socio-medical infrastructure | 232 072 | 243 680 | 246 117 | 246 117 | 1,00% |
| Training | 419 071 | 500 000 | 500 000 | 500 000 | 0,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 4 546 966 | 4 195 417 | 3 568 559 | 3 568 559 | -14,94% |
| Receptions, events and representation | | | | | |
| Social welfare | 1 846 369 | 1 865 930 | 1 893 469 | 1 893 469 | 1,48% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 14 451 967 | 12 190 400 | 12 845 200 | 12 845 200 | 5,37% |
| Rental of buildings and associated costs | 6 687 559 | 6 062 900 | 6 876 000 | 6 876 000 | 13,41% |
| Information, communication technology and data processing | 5 883 230 | 5 146 500 | 5 088 200 | 5 088 200 | -1,13% |
| Movable property and associated costs | 1 567 144 | 280 000 | 150 000 | 150 000 | -46,43% |
| Current administrative expenditure | 55 991 | 352 000 | 352 000 | 352 000 | 0,00% |
| Postage / Telecommunications | 186 826 | 212 000 | 212 000 | 212 000 | 0,00% |
| Meeting expenses | 63 717 | 120 000 | 150 000 | 150 000 | 25,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 7 500 | 17 000 | 17 000 | 17 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 61 634 310 | 79 537 875 | 79 364 430 | 79 364 430 | -0,22% |
| Regulated Products | 6 313 882 | 6 545 462 | 6 397 822 | 6 397 822 | -2,26% |
| Risk Assessment | 5 801 599 | 6 523 867 | 6 666 064 | 6 666 064 | 2,18% |
| Scientific Cooperation & Strategy | 24 491 564 | 40 245 809 | 42 472 794 | 42 472 794 | 5,53% |
| Communication | 5 389 537 | 7 115 000 | 7 010 000 | 7 010 000 | -1,48% |
| Operational support | 19 637 728 | 19 107 737 | 16 817 750 | 16 817 750 | -11,98% |
| TOTAL | 129 164 975 | 149 814 758 | 152 809 139 | 154 680 767 | 3,25% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 52 121 476 | 58 086 483 | 60 599 509 | 62 471 137 | 7,55% |
| Salaries & allowances | 45 523 123 | 50 595 456 | 53 705 364 | 55 576 992 | 9,85% |
| <i>- Of which establishment plan posts</i> | <i>35 841 749</i> | <i>39 487 000</i> | <i>41 836 000</i> | <i>43 295 870</i> | <i>9,65%</i> |
| <i>- Of which external personnel</i> | <i>9 681 374</i> | <i>11 108 456</i> | <i>11 869 364</i> | <i>12 281 122</i> | <i>10,56%</i> |
| Expenditure relating to Staff recruitment | 493 317 | 560 000 | 560 000 | 560 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 4 280 | 126 000 | 126 000 | 126 000 | 0,00% |
| Socio-medical infrastructure | 207 943 | 243 680 | 246 117 | 246 117 | 1,00% |
| Training | 277 024 | 500 000 | 500 000 | 500 000 | 0,00% |
| External Services | 3 780 083 | 4 195 417 | 3 568 559 | 3 568 559 | -14,94% |
| Receptions, events and representation | | | | | |
| Social welfare | 1 835 706 | 1 865 930 | 1 893 469 | 1 893 469 | 1,48% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 9 995 182 | 12 190 400 | 12 845 200 | 12 845 200 | 5,37% |
| Rental of buildings and associated costs | 5 341 753 | 6 062 900 | 6 876 000 | 6 876 000 | 13,41% |
| Information, communication technology and data processing | 3 861 416 | 5 146 500 | 5 088 200 | 5 088 200 | -1,13% |
| Movable property and associated costs | 603 023 | 280 000 | 150 000 | 150 000 | -46,43% |
| Current administrative expenditure | 21 759 | 352 000 | 352 000 | 352 000 | 0,00% |
| Postage / Telecommunications | 108 814 | 212 000 | 212 000 | 212 000 | 0,00% |
| Meeting expenses | 50 917 | 120 000 | 150 000 | 150 000 | 25,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 7 500 | 17 000 | 17 000 | 17 000 | 0,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|----------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 44 655 918 | 64 469 346 | 71 417 851 | 71 417 851 | 10,78% |
| Regulated Products | 6 179 869 | 6 545 462 | 6 397 822 | 6 397 822 | -2,26% |
| Risk Assessment | 5 638 808 | 6 523 867 | 6 666 064 | 6 666 064 | 2,18% |
| Scientific Cooperation & Strategy | 15 432 781 | 25 962 001 | 34 526 215 | 34 526 215 | 32,99% |
| Communication | 4 016 403 | 7 115 000 | 7 010 000 | 7 010 000 | -1,48% |
| Operational support | 13 388 057 | 18 323 016 | 16 817 750 | 16 817 750 | -8,22% |
| TOTAL | 106 772 576 | 134 746 229 | 144 862 560 | 146 734 188 | 8,90% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 467 378,37

4 Justification of needs

Commission assessment

Human Resources

The Commission notes that the Agency's request is in line with the Commission instructions.

In 2023, EFSA foresees 587 FTEs (the same number of staff as in 2022): 405 Temporary Agents, 167 Contract Agents and 15 ENDS.

The Commission acknowledges that in 2022 the full needs for the General Food Law revision were integrated in the establishment plan. Moreover, an agreement was reached that EFSA would receive for three years (2022-2024) an increase of 15 Contract Agents to cope with a temporary capacity shortage, mainly to address the workload (cumulated backlogs, new tasks, volumes of requests higher than capacity) in the area of the safety assessment of regulated products.

The Commission took note that EFSA will not recruit 100% of the authorised number of contract agents but will keep four posts free, as it has "lent" them to ECHA for its Biocides activities. As a result, ECHA is therefore allowed to have an excess of 4 contract agents above their ceiling, whereas EFSA must recruit contract agents below its ceiling (4 contract agents less).

Financial Resources

The Commission notes that the Agency's budget request is in line with the Commission instructions.

The proposed EU contribution for 2023 includes an increase (EUR 1 871 628) for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

The 2021 outturn of EUR 0.5 million has to be deducted from the 2023 contribution, so the net EU contribution (in commitment appropriations) will amount to EUR 150.5 million.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In 2023, EFSA will maintain its 2022 staff capacity resulting from the recent increased number of posts deriving from the 178 Transparency Regulation (+85 temporary agents and +21 contract agents as a cumulated increase 2020-2022) as well as from the allocation of additional 15 contract agents for the period 2022-2024.

With regards to the latter 15 contract agents, the increase is granted as of 2022 against a business case submitted by EFSA for a need of 30 contract agents for 5 years. This additional and temporary staff capacity is aimed at coping with a temporary capacity shortage mainly to address the workload in the area of safety assessment of regulated products (cumulated backlog, new tasks, volume of requests higher than capacity). In a medium/long-term perspective, the legal provisions and the financial resources granted via the amendments to the general food law (Transparency Regulation) are expected to enable EFSA to close the current workload/capacity shortage. Specifically, this is being achieved via the development of the upgraded operating model of EFSA which will rely more on a sourcing grant-financed preparatory work from Member States risk assessment organisations.

In order to better reflect the actual staff distribution as resulting from the recent outcomes of the 2021 promotion exercise and internal managerial calls related to the 2022 reorganisation, slight readjustments to the distribution of posts in the establishment plan and contract agents are proposed for 2023 compared to the draft Single Programming Document 2023-2025 endorsed by the EFSA's MB on 16 December 2021.

With specific reference to the Official capacity, the upgrade of 2 posts from grade AD8 to grade AD9 is proposed. In order to ensure budget neutrality, 2 AD 9 posts are proposed for downgrade to AD 8 within the TA capacity. The number of AST 8 was decreased while AST 7 increased by 1 post to reflect updated post occupancy forecast. With reference to the CA, a certain rebalancing towards the function group III is proposed to better align the planned capacity with the envisaged reclassification of FG II staff and upgrade of empty posts to the technical assistant level.

The number of seconded national experts is expected to remain unchanged at 16 (out of which one funded by the Pre-Accession Programme grant agreement).

4.1.2 Vacancy rate as of end 2021

4.2% (95.8% statutory staff occupancy rate, excluding accepted offer letters)

3.4% (96.6% statutory staff occupancy rate, excluding accepted offer letters and not including in EFSA's capacity of 4 posts lent to ECHA to be returned in 2023).

4.1.3 Standard abatement ('abatement forfaitaire') applied

0

4.1.4 Salary assumption for calculating salary line (% applied)

The per-capita average increase in salaries corresponds to 2.7% considering estimated salary adjustments/correction coefficient (+1.5% both as of July 2022 and July 2023), career advancements in steps, the carry-over effect in 2023 of additional TAs being recruited in 2022 on the new 178 TR posts, as well as the increased share of FGIV within the CA staff. Overall, an average annual occupancy rate of 96.8% is foreseen in 2023 with a targeted occupancy rate of 97% by year end.

4.1.5 Correction coefficient used

Incorporation of the 2021 increase from 95.0% to 95.2% of the weighting coefficient for Italy.

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

The request for Title 1 of EUR 60.6 million is increased compared to previous years, linked to the increase of establishment plan posts as well as contract agents allocated to the agency for the implementation of the Transparency Regulation. The major part of the planned expenditure is allocated to staff salaries and allowances (EUR 53.7 million). A decrease of budget demand for external services is mainly linked to lower need for interim workers services following finalisation of projects linked to the implementation of the Transparency Regulation. Other cost categories remain in line with previous years.

4.2.2 Title 2

The planned budget request of EUR 12.85 million for Title 2 is showing a slight growth, mainly in the category of the building related expenditures: within the context of reflections on possible impacts of the new EFSA Strategy, the Transparency Regulation, the EU Green Deal Agenda and the post-COVID reality on the EFSA workforce and workplace EFSA has embarked on an important organisational project to support the implementation of new ways of working (NWOW 2.0) improving attractiveness, efficiency and effectiveness. This important initiative, together with the envisioning project Digital Collaboration 2.0 aims to enhance collaboration and knowledge sharing experience by re-organising internal spaces, furniture and equipment in the current EFSA building. Following the outcome of the technical studies in connection with building projects planned for 2022, the implementation of the action plan towards the future of work should start in 2023.

4.2.3 Title 3

The estimated demand for the budget in Title III is in line with the approved 2022 budget at EUR 79 million. Expected budget for the scientific meetings related to Regulated products evaluation is in line with executed budget 2021 which has shown an increase in 2021 due to increased number and complexity of scientific mandates, mainly in the fields of food additives and packaging (and particularly food additives re-evaluation, food contact materials and enzymes) as well slightly increased demand in the area of nutrition (novel foods).

In the area of general risk Assessment, the expected budget increase is mainly in the field of animal and plant health and contaminants, linked mainly to meetings resulting from participation to initiatives of the Farm To Fork strategy, as well as the planned use of additional panel members, partial return to physical meetings and an increased number of expected mandates.

Substantial increase is also expected in the field of communications, mainly in the area of risk communication (higher budget estimated for the campaigns and EFSA Journal) as well as external relations with investments mainly to the stakeholder engagement process.

Operational support expenditures are expected to decrease, mainly in the area of operational IT systems and consulting services following the finalisation of the projects requiring investments to implementation of Transparency regulation.

4.3 Ad hoc grants and delegation agreements

EFSA started in June 2019 a new action: “Preparatory measures for the participation of IPA (Instrument for the Pre-Accession Assistance for EU candidate countries or potential EU candidate countries) beneficiaries in the European Food Safety Authority 2019-2021” with a budget of EUR 500 000. DG NEAR made available additional EUR 250 000 to extend this work up to 31 May 2022 in December 2019. With the additional funds EFSA intends to continue to involve IPA beneficiaries in its work and to provide opportunities to strengthen the capacities for risk assessment and communication through the promotion of networking and joint activities between EFSA IPA and Member States. One Seconded National Expert is financed under this agreement.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--------------------------------------------|-------------------|---------------------|---------------|---------------|------------------|----------|----------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | EFSA seat, Parma office building | Parma, Italy | 14 200 | 13 300 | 27 500 | 1 646 000 | 25 year | ending December 2036 | N/A | 18 820 721 |
| 2 | EFSA representative office | Brussels, Belgium | 36 | | 36 | 36 307 | 1 year | renewable | N/A | |
| 3 | EU Agencies Network Shared Services Office | Brussels, Belgium | 54 | | 54 | 45 674 | 4 years | Renewable | N/A | |
| TOTAL | | | 14 290 | 13 300 | 27 590 | 1 727 981 | | | | 18 820 721 |

5.1.2 Current building(s) Other comments

- EFSA seat was acquired on 19.12.2011.
- Capital value remaining due at 31.12.2021 EUR 18 820 721.
- Annual instalment is EUR 1 615 886

The annual rent of EU Agencies' Network is shared amongst the EUAN, each agency contributing proportionally.

5.1.3 Building projects in the planning phase

EFSA is evaluating the opportunity to modify the plans of the building in order to implement the new hybrid modality of work (onsite and homeworking). This will involve the creation of zones to increase collaboration and zones that allow to participate in videocalls in a quiet and confidential manner.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

EFSA considers schooling to be an essential part of its staff policy. For this purpose a European School type II (Scuola per l'Europa) was established in 2004 and accredited in 2008 under the European Schools system. The school offers tuition up to baccalaureate level. In 2009 the Italian authorities commissioned the construction of a new building to host the school (the current facilities being in an unsatisfactory condition) through a project with a cost totalling EUR 35 million (to be paid by the Italian authorities). Following the suspension of work on the building in 2012 (due to financial difficulties with the construction company) the new building was completed in 2017 and for the start of the 2017-2018 school year the school moved to the new facilities.

A contribution to the EU-accredited European School in Parma worth around EUR 1.764 million was paid from EFSA's 2021 budget for the 2021-2022 school year. The amount budgeted for 2020 onwards has been increased to cover the expected increases both in the annual school fees and in the number of pupils also deriving from the planned increase in the staff number in view of the implementation of the Transparency Regulation. For the school year 2021-2022 189 EFSA pupils - out of a total 751 pupils - are enrolled at the beginning of the school year "Scuola per l'Europa" in Parma.

5.3 Evaluation

Evaluations (ex-ante and ex-post) encompass an assessment of initiatives according to a defined set of parameters providing a solid evidence base to drive decisions and contribute to optimising the use of resources to ensure efficiency effectiveness and the best value for taxpayers' money.

EFSA follows the EU "Better Regulation framework" and the "Agencies handbook on evaluations" and includes: a) external (third party) evaluation of EFSA as described in its Founding Regulation; b) external (third party) evaluations for areas of work which entail significant spending and/or organisational implications whether individual (e.g. project) or cluster (e.g. EFSA strategy) activities; c) internal evaluations for EFSA's "development" activities (projects) covered ex-ante by charters and ex-post by project closing reports.

The result of the third external evaluation of EFSA delivered in 2018 together with the recommendations received in 2018 and 2019 by the two additional external evaluations (one ex post for the STEP 2018 project and one mid-term on EFSA Strategy 2020 implementation, fed the definition of the EFSA Strategy 2027 and its Implementation plan and revised Performance Framework. The next external evaluation to be carried out by the EC is planned to be finalised by March 2026.

Ad hoc third party evaluations are envisioned to take place in 2023 for the four programmes (in part or fully) that will close in 2022, in which the main EFSA development activities (as opposite to Business as Usual activities) are organised, namely: Expertise Management Programme (focusing on aligning internal and external competency to EFSA's Risk Assessment needs); Risk Assessment Programme (focussing on Risk assessment methodology enhancement), Information Management Programme (focusing on the implementation of technological infrastructure for managing information) and Architecture Programme (focussing on the implementation of the new Transparency Regulation measures).

Follow-up actions and recommendations from internal evaluations (ex-ante project charters and ex-post project closing reports) as well as external evaluations are captured in EFSA's continuous Plan-Do-Check-Act cycle.

5.4 Privileges and immunities

| <i>Agency privileges</i> | <i>Privileges granted to staff</i> | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|
| | <i>Protocol of privileges and immunities/diplomatic status</i> | <i>Education /daycare</i> |
| In the seat agreement the Italian government committed to applying to the authority the privileges and immunities provided for in the Protocol on the Privileges and Immunities of the European Communities signed in Brussels on 8 April 1965 | The executive director of the authority and members of the senior management team their spouses and dependent family members are granted the privileges and immunities facilities and concessions that are granted by the Italian government to members of equivalent rank in the diplomatic corps in Italy | |
| The authority its assets and funds wherever they may be are immune — during the performance of their official activities — from any form of legal proceedings and are not the subject of any administrative or legal measure of constraint | Staff are exempt from national taxes on salaries wages and emoluments paid by the authority | |
| The premises and the buildings used by the authority as well as the archives are inviolable | Staff are immune from legal proceedings in respect of acts performed by them in the exercise of their official duties | |
| The authority its funds assets and income are within the limits of their official activities exempt from all the taxes and direct duties due to the state regions provinces and municipalities | Staff are in respect of exchange regulations accorded the same facilities as those accorded to officials of equal rank on foreign diplomatic missions in Italy and receive the same assistance with repatriation as is granted to diplomats in the event of international crises | |
| The authority is exempt from VAT for substantial purchases of goods and services relating to its official tasks and the exercise of its duties | Staff benefit within a period of 2 years starting from the official move of the authority to its permanent seat or appointment by the authority whichever is later from a tax installation benefit — VAT exemption — on the purchase of furniture and other household goods necessary for their installation | |
| The authority is exempt from any customs duty tax prohibition or restriction on goods of any type imported or exported in the exercise of its own official activities | Members of staff who are not permanent residents in Italy on taking up their functions with the authority or staff members employed by the authority prior to the move to Parma may acquire one motor vehicle duty and tax free during their period of residence in Italy; the vehicle is registered in a special series | |

2.2.2 European Centre for Disease Prevention and Control – ECDC

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EC) No 851/2004 of the European Parliament and of the Council | 21/04/2004 | <p>ECDC Vision: A future where all citizens of the EU enjoy the best protection from communicable diseases that the “state of the art” prevention and control measures allow, through the use of evidence based methods applied by Member States and the EU system in a mutually supportive manner.</p> <p>Article 3 of the Founding Regulation defines the mission, tasks and modes of operations of ECDC. The essence of this Article is: That ECDC’s current mission should concentrate on communicable diseases (and outbreaks of unknown origin). That ECDC should be a proactive centre of excellence as regards information and scientific knowledge on all aspects of communicable diseases that relate to their detection, prevention and control. That ECDC; last but not least, should be an agent of change , by actively supporting the whole EU system and its Member States in their efforts to strengthen their capacity to improve CD Prevention and Control.</p> <p>Further on the regulations stipulates that (article 3): ‘In order to enhance the capacity of the EU and the Member States to protect human health through the prevention and control of human disease, the mission of the Centre shall be to identify, assess and communicate current and emerging threats to human health from communicable diseases. In the case of other outbreaks of illness of unknown origin which may spread within or to the EU, the Centre shall act on its own initiative until the source of the outbreak is known. In the case of an outbreak which clearly is not caused by a communicable disease, the Centre shall act only in cooperation with the competent authority upon request from that authority. In pursuing its mission the Centre shall take full account of the responsibilities of the Member States, the Commission and other EU agencies, and of the responsibilities of international organisations active within the field of public health, in order to ensure comprehensiveness, coherence and complementarity of action’.</p> <p>Within the field of its mission, the Centre shall:</p> <ul style="list-style-type: none"> - search for, collect, collate, evaluate and disseminate relevant scientific and technical data; - provide scientific opinions and scientific and technical assistance including training; - provide timely information to the Commission, the Member States, EU agencies and international organisations active within the field of public health; - coordinate the European networking of bodies operating in the fields within the Centre’s mission, including networks arising from public health activities supported by the Commission and operating the dedicated surveillance networks; - exchange information, expertise and best practices, and facilitate the development and implementation of joint actions. |
| COM/2008/741 SEC 2008 2792 | 13/11/2008 | The report of the external evaluation confirms that ECDC succeeded in establishing itself as a centre of scientific excellence and that it brings added value in the prevention and control of communicable diseases. |

1.2 Seat

Solna, Stockholm, Sweden

1.3 Budget Line

06 10 01 : European Centre for Disease Prevention and Control

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 144 | 138 | 95,83% | 148 | 155 |
| Assistants (AST) | 61 | 56 | 91,80% | 62 | 62 |
| Assistants/Secretaries (AST/SC) | 3 | 4 | 133,33% | 5 | 5 |
| ESTABLISHMENT PLAN POSTS | 208 | 198 | 95,19% | 215 | 222 |
| Contract Agents (CA) | 138 | 112 | 81,16% | 130 | 126 |
| Seconded National Experts (SNE) | 5 | 5 | 100,00% | 5 | 5 |
| TOTAL STAFF | 351 | 315 | 89,74% | 350 | 353 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 93 600 000 | 87 665 344 |
| Other Revenue | 2 272 000 | 2 127 000 |
| TOTAL REVENUES | 95 872 000 | 89 792 344 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 11 127 844 | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 11 127 844 | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 38 548 000 | 38 548 000 | 40 965 344 | 40 965 344 |
| Title 2 - Infrastructure and operating expenditure | 9 208 000 | 9 208 000 | 9 227 000 | 9 227 000 |
| Title 3 - Operational expenditure | 48 116 000 | 48 116 000 | 39 600 000 | 39 600 000 |
| TOTAL EXPENDITURE | 95 872 000 | 95 872 000 | 89 792 344 | 89 792 344 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | 1 | | 1 |
| AD 14 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 13 | | 3 | | | | 3 | | 3 | | 3 |
| AD 12 | | 7 | | 5 | | 7 | | 7 | | 7 |
| AD 11 | | 8 | | 7 | | 8 | | 8 | | 8 |
| AD 10 | | 25 | | 8 | | 25 | | 25 | | 25 |
| AD 9 | | 24 | | 16 | | 24 | | 24 | | 24 |
| AD 8 | | 24 | | 31 | | 25 | | 28 | | 28 |
| AD 7 | | 29 | | 12 | | 29 | | 29 | | 29 |
| AD 6 | | 18 | | 15 | | 21 | | 25 | | 25 |
| AD 5 | | 3 | | 43 | | 3 | | 3 | | 3 |
| AD TOTAL | | 144 | | 138 | | 148 | | 155 | | 155 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 1 | | | | 1 | | 1 | | 1 |
| AST 9 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AST 8 | | 3 | | 2 | | 3 | | 3 | | 3 |
| AST 7 | | 11 | | 4 | | 11 | | 11 | | 11 |
| AST 6 | | 10 | | 9 | | 10 | | 10 | | 10 |
| AST 5 | | 15 | | 11 | | 15 | | 15 | | 15 |
| AST 4 | | 10 | | 22 | | 13 | | 17 | | 17 |
| AST 3 | | 7 | | 3 | | 7 | | 3 | | 3 |
| AST 2 | | 2 | | 2 | | | | | | |
| AST 1 | | | | 1 | | | | | | |
| AST TOTAL | | 61 | | 56 | | 62 | | 62 | | 62 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 3 | | | | 5 | | 5 | | 5 |
| AST/SC 2 | | | | 1 | | | | | | |
| AST/SC 1 | | | | 3 | | | | | | |
| AST/SC TOTAL | | 3 | | 4 | | 5 | | 5 | | 5 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | 208 | | 198 | | 215 | | 222 | | 222 |
| GRAND TOTAL | 208 | | 198 | | 215 | | 222 | | 222 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 85 | 67 | 76 | 74 |
| Function Group III | 40 | 33 | 41 | 38 |
| Function Group II | 12 | 11 | 12 | 13 |
| Function Group I | 1 | 1 | 1 | 1 |
| TOTAL | 138 | 112 | 130 | 126 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 5 | 5 | 5 | 5 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 164 922 830 | 93 600 000 | 86 500 000 | 87 665 344 | -6,34% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | 3 071 478 | 1 739 880 | 1 739 880 | -43,35% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 2 106 306 | 2 272 000 | 2 127 000 | 2 127 000 | -6,38% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 2 106 306 | 2 272 000 | 2 127 000 | 2 127 000 | -6,38% |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 167 029 136 | 95 872 000 | 88 627 000 | 89 792 344 | -6,34% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 2 404 942 | 11 127 844 | - | | -100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 2 404 942 | 11 127 844 | - | | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 37 362 867 | 38 548 000 | 39 800 000 | 40 965 344 | 6,27% |
| Salaries & allowances | 32 214 131 | 34 123 000 | 35 480 000 | 36 645 344 | 7,39% |
| <i>- Of which establishment plan posts</i> | 24 320 537 | 24 803 000 | 25 880 000 | 26 695 740 | 7,63% |
| <i>- Of which external personnel</i> | 7 893 594 | 9 320 000 | 9 600 000 | 9 949 604 | 6,76% |
| Expenditure relating to Staff recruitment | 413 326 | 390 000 | 425 000 | 425 000 | 8,97% |
| Employer's pension contributions | - | - | - | - | |
| Mission expenses | 18 332 | 200 000 | 200 000 | 200 000 | 0,00% |
| Socio-medical infrastructure | 144 535 | 180 000 | 180 000 | 180 000 | 0,00% |
| Training | 630 699 | 500 000 | 500 000 | 500 000 | 0,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 3 941 444 | 3 105 000 | 2 965 000 | 2 965 000 | -4,51% |
| Receptions, events and representation | 400 | 50 000 | 50 000 | 50 000 | 0,00% |
| Social welfare | - | - | - | - | |
| Other Staff related expenditure | - | - | - | - | |
| Title 2 - Infrastructure and operating expenditure | 8 551 147 | 9 208 000 | 9 227 000 | 9 227 000 | 0,21% |
| Rental of buildings and associated costs | 4 407 707 | 4 538 000 | 4 877 000 | 4 877 000 | 7,47% |
| Information, communication technology and data processing | 3 137 648 | 3 390 000 | 3 300 000 | 3 300 000 | -2,65% |
| Movable property and associated costs | 238 174 | 150 000 | 100 000 | 100 000 | -33,33% |
| Current administrative expenditure | 154 524 | 255 000 | 240 000 | 240 000 | -5,88% |
| Postage / Telecommunications | 68 067 | 170 000 | 170 000 | 170 000 | 0,00% |
| Meeting expenses | 545 027 | 705 000 | 540 000 | 540 000 | -23,40% |
| Running costs in connection with operational activities | - | - | - | - | |
| Information and publishing | - | - | - | - | |
| Studies | - | - | - | - | |
| Other infrastructure and operating expenditure | - | - | - | - | |
| Title 3 - Operational expenditure | 121 115 122 | 48 116 000 | 39 600 000 | 39 600 000 | -17,70% |
| TOTAL | 167 029 136 | 95 872 000 | 88 627 000 | 89 792 344 | -6,34% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 37 362 867 | 38 548 000 | 39 800 000 | 40 965 344 | 6,27% |
| Salaries & allowances | 32 214 131 | 34 123 000 | 35 480 000 | 36 645 344 | 7,39% |
| - <i>Of which establishment plan posts</i> | <i>24 320 537</i> | <i>24 803 000</i> | <i>25 880 000</i> | <i>26 695 740</i> | <i>7,63%</i> |
| - <i>Of which external personnel</i> | <i>7 893 594</i> | <i>9 320 000</i> | <i>9 600 000</i> | <i>9 949 604</i> | <i>6,76%</i> |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Expenditure relating to Staff recruitment | 413 326 | 390 000 | 425 000 | 425 000 | 8,97% |
| Employer's pension contributions | - | - | - | - | |
| Mission expenses | 18 332 | 200 000 | 200 000 | 200 000 | 0,00% |
| Socio-medical infrastructure | 144 535 | 180 000 | 180 000 | 180 000 | 0,00% |
| Training | 630 699 | 500 000 | 500 000 | 500 000 | 0,00% |
| External Services | 3 941 444 | 3 105 000 | 2 965 000 | 2 965 000 | -4,51% |
| Receptions, events and representation | 400 | 50 000 | 50 000 | 50 000 | 0,00% |
| Social welfare | - | - | - | - | |
| Other Staff related expenditure | - | - | - | - | |
| Title 2 - Infrastructure and operating expenditure | 8 551 147 | 9 208 000 | 9 227 000 | 9 227 000 | 0,21% |
| Rental of buildings and associated costs | 4 407 707 | 4 538 000 | 4 877 000 | 4 877 000 | 7,47% |
| Information, communication technology and data processing | 3 137 648 | 3 390 000 | 3 300 000 | 3 300 000 | -2,65% |
| Movable property and associated costs | 238 174 | 150 000 | 100 000 | 100 000 | -33,33% |
| Current administrative expenditure | 154 524 | 255 000 | 240 000 | 240 000 | -5,88% |
| Postage / Telecommunications | 68 067 | 170 000 | 170 000 | 170 000 | 0,00% |
| Meeting expenses | 545 027 | 705 000 | 540 000 | 540 000 | -23,40% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 121 115 122 | 48 116 000 | 39 600 000 | 39 600 000 | -17,70% |
| TOTAL | 167 029 136 | 95 872 000 | 88 627 000 | 89 792 344 | -6,34% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 739 880.22

4 Justification of needs

Commission assessment

Human Resources

The Commission notes that the Centre's request is in line with the Commission instructions.

In 2023, ECDC foresees 353 staff: 222 Temporary Agent posts, 126 Contract Agent posts and 5 Seconded National Expert posts. The increase is attributed to the reinforcement following the ECDC extended mandate (increase of 7 FTE in temporary agents) and an overall net decrease of four Contract Agents²⁶.

Financial Resources

The Commission notes that the Centre's budget request is in line with the Commission instructions.

The proposed EU contribution for 2023 includes an increase (EUR 1 165 344) for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

The 2021 outturn of EUR 1.7 million has to be deducted from the 2023 contribution, so the net EU contribution will amount EUR 85.9 million. The contribution includes the reinforcement from the Health Union package of EUR 25.1 million, and the salary adjustment under the Title 1.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Centre received 28 new TA posts and 20 new CA posts during the course of 2021. However, following the new TA and CA posts received in 2021, ECDC was requested to reduce the 20 short-term COVID-19 CA posts received in 2020 already in 2021, which are still partly included in the authorised budget and will no longer be filled.

In view of the ongoing COVID-19 pandemic and a potential expansion of the Centre's mandate, the workload will remain high in the years to come. Considering both the workload linked to the pandemic as well as the work on externally funded projects, the Centre will continue to see a growth in its staff population until 2024. Out of the 73 posts the Centre has received between 2021 and 2024, the remaining posts for 2023 and 2024 are 13 and five respectively.

Therefore, ECDC foresees in 2023: 222 Temporary Agent posts, 126 Contract Agent posts and 5 Seconded National Expert posts.

4.1.2 Vacancy rate as of end 2021

The vacancy rate for the establishment plan posts represents 4.8% (95.2% post occupied). The vacancy rate is impacted by the fact that ECDC received 28 new TA posts during the course of 2021.

The vacancy rate for the Contract Agents posts represents 18.8% (81.2% post occupied). The vacancy rate is impacted by the fact that ECDC received 20 new CA posts during the course of 2021 and also recruited staff for 21 externally funded project posts. Furthermore, following the new TA and CA posts received in 2021, ECDC was requested to reduce in 2021 the 20 short-term COVID-19 CA posts received in 2020, which are, however, still partly included in the authorised budget and will no longer be filled.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for 2023 of 39 800 000 EUR, forecasts to accommodate the salaries and salary related costs of the implemented establishment plan and the Centre's contract staff. The budget for salary related expenditures increases by 3.9% compared to the 2022 budget to cater for additional staff. As it could be observed in previous years, the impact on the budget line for the weightings applied to the remunerations, remains an unknown and unpredictable macro-economic part of the ECDC's budget planning and its execution. This is due to the correction coefficient applied to the salaries in Sweden, which is driven, to a large extent, by the fluctuations of the Swedish krona.

²⁶ This is a result of 1) the increase with 6 CA in 2023 following the Health Union package and 2) planned phasing out of the last 10 CA, out of the 20 additional CA received in 2020 as a 2 year COVID reinforcement

4.1.5 Correction coefficient used

The correction coefficient is applied to the salaries in Sweden, which is driven by the fluctuations of the Swedish krona.

4.1.6 Exchange rate used (if applicable)

The exchange rate SEK/EURO is applied by the Centre.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for 2023 of 39 800 000 EUR, forecasts to accommodate the salaries and salary related costs of the implemented establishment plan and the Centre's contract staff. The budget for salary related expenditures increases by 3.9% compared to the 2022 budget to cater for additional staff. As it could be observed in previous years, the impact on the budget line for the weightings applied to the remunerations, remains an unknown and unpredictable macro-economic part of the ECDC's budget planning and its execution. This is due to the correction coefficient applied to the salaries in Sweden, which is driven, to a large extent, by the fluctuations of the Swedish krona.

4.2.2 Title 2

The total budget for 2023 for Title II of 9 227 000 EUR remains at the same level as in 2022. Some adjustments within the Title will cover for a higher rent due to indexation and increased costs for electricity.

4.2.3 Title 3

The operational budget 2023, under Title III, is similar to the one in 2022, if taken into account that ECDC was granted a one-off additional 10 million EUR to continue some HERA-related activities started in 2021. The Title III budget for 2023 is forecasted to reach 39 600 000 EUR.

The operational budget will be used to implement ECDC work programme activities through external procurements, grants and meetings.

4.3 Ad hoc grants and delegation agreements

There are three Contribution Agreements in place:

- 1) Contribution Agreement: EU for Health Security in Africa EDF/2019 CRIS 416-738 signed with INTPA (DEVCO), staffing 8 CA for 48 months
- 2) Contribution Agreement IPA6 CN 2019/409-781 & CN 422-255 signed with DG NEAR Staffing, 2 CA for 60 months (there was an amendment that increased the staffing from 1 to 2 CA)
- 3) Contribution Agreement: EU Initiative on Health Security ENI/2020/415-420 DG NEAR Staffing, 11 CA for 63 months.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-----------------------------------------------------------|----------------------|---------------------|--------------|---------------|-----------------|---------------------------------------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Gustav III boulevard 40 Hilton 3 buildings -Main Building | 16973, Solna, Sweden | 9 407 | 4 905 | 14 312 | | Contract start date 27/2/2018. Expiration date 27/2/33. | 15-year contract with the option of 2 renewals of 5 years each. Termination notice period 12 months. | No financial support is provided by the Host Country. | |
| TOTAL | | | 9 407 | 4 905 | 14 312 | | | | | |

5.1.2 Current building(s) Other comments

The rent cost projection without indexation for 2022 is 19,491,840 SEK per year. The same applies in 2023.

In 2023 there is no financial support provided by the Host Country.

5.1.3 Building projects in the planning phase

There is a building project put in operation in the spring 2018. The total surface is 9407 sqm. A new 15-year contract with the option of 2 renewals of 5 years each was signed. The contract start date is 27/2/2018 and the expiration date is 27/2/33.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

There are a number of alternatives regarding international schooling within the region where the Centre is situated (international schools, German, British, French, Finnish schools). There is no European school in Stockholm.

Public schools, whether Swedish or international, are free of charge. Private school fees are high; although national grants per student reduce fees. However, the private International School situated in the Stockholm City Centre charges very high fees and the double educational allowance only covers a minimal part of the fees of this school.

It should be noted that the seat agreement between the Centre and the Swedish government provides for the possibility to consider a European section or school.

5.3 Evaluation

External evaluation:

ECDC's Founding Regulation requires the Centre to organise external evaluations every five years to assess how well it is performing its mission. In 2018, ECDC launched its third external evaluation. A steering group of the Management Board (MB), the External Evaluation Steering Committee (MEES), composed of representatives of the Member States, the European Commission, and the European Parliament prepared the terms of reference, approved by the MB in March 2018. The third external evaluation conducted by Price Waterhouse Cooper (PwC) started in September 2018 and was finalised in October 2019. In November 2019, the MEES presented a set of draft recommendations to the Management Board for discussion and possible approval. The ECDC MB approved the recommendations in June 2020 and subsequently the report together with the recommendations was published on the ECDC website. The report was also shared with the EU Institutions. Part of the accepted recommendations will be addressed through the changes in ECDC mandate, while others were addressed jointly with the actions resulting from the external assessment of ECDC response to the pandemic as reported to the MB in March 2021 – their implementation was finalised by the end of 2021.

Internal evaluations:

ECDC has a procedure for the internal evaluation of its activities and outputs since 2015. Every year a number of ECDC's projects/programmes or products have been assessed. The following internal evaluations have taken place:

- 2015: ECDC assessment of the ECDC IT general governance
- 2016: Evaluation on the deployment of ECDC experts in Africa
- 2017-onwards: Start of the evaluation of the ECDC Disease Programmes with the development of a common protocol for all Disease Programmes evaluations. The evaluated programmes were Influenza and other Respiratory Viruses (IRV) in 2018, Food and Waterborne Diseases (FWD) in 2019, and Tuberculosis in 2020; – outsourced
- 2018: evaluation of ECDC's Intranet
- 2019: evaluation of the Document Management System - outsourced
- 2019: evaluation of ECDC Fellowship Programme - outsourced
- 2018-2020: EPHEUS (evaluation of the surveillance systems) – outsourced
- 2019-2020: TB programme – outsourced
- 2020: Strategic and performance analysis of ECDCs response to the COVID-19 pandemic - outsourced.
- 2021: Evaluation of Escaide - outsourced

The scope of the procedure is described in the Internal Control Framework 12.3:

'The Agency deploys control activities through corporate policies that establish what is expected and in procedures that put policies into action',

and: ‘The impact assessment and evaluation of financial expenditure and other non-spending activities are performed in accordance with the guiding principles of the Commission’s better regulation guidelines, to assess the performance of EU interventions and analyse options and related impacts on new initiatives.’

All evaluations are linked to the activities in the Single Programming Document. Evaluations are generally conducted ex-post or mid-term. Evaluations should be carried out for interventions such as activities, programmes, projects, processes, the work of disease networks and more generic functions performed by the Centre (e.g., preparedness, epidemic intelligence, procurement).

The Financial Regulation (art. 29) requires regular ex-ante, interim or ex-post evaluations for certain interventions. Ex-ante evaluations are covered by Project Initiation Requests discussed and approved by Head of Units. An indicative three-year multi-annual evaluation plan is approved by the Director, after consultation of the relevant internal stakeholders. It is drawn up considering the life cycle of the interventions, the operational and strategic needs of the Units, general requirements for evaluation, and any specific requirement for evaluation as set out in the legal base of the intervention. The objective is that all interventions addressed to external parties are periodically evaluated in proportion with the allocated resources and the expected impact. The timing of evaluations must enable the results to be fed into decisions on the design, renewal, modification, or suspension of activities. The criteria applied to rank and select potential evaluation topics are strategic or reputational impact, recurrent activities and programmes/projects with substantial annual budget, need for improvement or interest to be evaluated. The present evaluation plan will be reviewed in 2022 in the light of the amended ECDC Founding Regulation and the Regulation on serious cross-border threats to health, once approved.

Stakeholder surveys:

In 2015, ECDC launched a first stakeholder survey targeted to members of the Management Board, Advisory Forum, Competent Bodies, National Focal Points, and relevant external stakeholders (EU institutions, relevant EU agencies, international organisations). A second survey was done in 2016. The next stakeholder survey has been postponed avoiding duplication with the third external evaluation of ECDC and the external “Strategic and performance analysis of ECDC response to COVID-19 pandemic” performed in 2020 and will take place in 2022.

Monitoring of ECDC work programme implementation:

The implementation of the ECDC work programme is managed through a Management Information System, as well as dedicated dashboards reviewed monthly by the Director and Heads of Units. For all projects, quarterly meetings are organised with each Unit to ensure the follow up and escalation of risks and issues to the senior management and the Director when needed. An update of the progress of implementation of the work programme is given at each meeting of the Management Board.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency enjoys the privileges stipulated in the Protocol on the Privileges and Immunities of the European Union (Articles 1 to 4 of the Protocol) | <p>Articles 11 to 15 of the Protocol on the Privileges and Immunities of the European Union are applicable to the staff of the Centre.</p> <p>This includes:</p> <ul style="list-style-type: none"> - Immunity from jurisdiction as regards acts carried out by them in their official capacity. - Exemption from regulations restricting immigration and formalities for the registration of foreigners. - Right to import household effects from their last country of residence or from the country of which they are nationals <p>The Director of the Centre and the Deputy to the Director together with their families are granted the immunities and privileges accorded to heads of diplomatic missions and members of their families.</p> | Family members of staff have access to day care/education in accordance with Swedish legislation. |

2.2.3 European Medicines Agency – EMA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks/ Functions |
|------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EC) 726/2004 of the European Parliament and the Council of 31 March 2004 (replacing Council Regulation (EEC) No 2309/93 of 22 July 1993) | 24/08/1993 | Founding Regulation: In order to promote the protection of human and animal health and of consumers of medicinal products throughout the Community, and in order to promote the completion of the internal market through the adoption of uniform regulatory decisions based in scientific criteria concerning the placing on the market and the use of medicinal products, the objectives of the Agency shall be to provide the Member States and the institutions of the Community with the best possible scientific advice on any question relating to the evaluation of the quality, the safety, and the efficacy of medicinal products for human and veterinary use, which is referred to it in accordance with the provisions of the Community legislation relating to medicinal products. |
| Council Regulation (EC) No 297/95 of 10 February 1995 | 15/02/1995 | Regulation on fees payable to the EMA laying down the fee levels and its implementation for centrally authorised medicinal products. |
| Regulation (EC) No 141/2000 of European Parliament and the Council of 16 December 1999 | 20/01/2000 | Orphan Medicines Regulation laying down a Community procedure for the designation of medicinal products as orphan medicinal products and to provide incentives for the research, development and placing on the market of designated orphan medicinal products. |
| Directive 2001/83/EC of European Parliament and the Council | 28/11/2001 | Community code relating to medicinal products for human use |
| Directive 2004/24/EC of the European Parliament and the Council of 31 March 2004 | 30/04/2004 | Traditional herbal medicinal products Directive amending, as regards traditional herbal medicinal products, Directive 2001/83/EC on the Community code relating to medicinal products for human use |
| Commission Regulation (EC) No 2049/2005 of 15 December 2005 | 16/12/2005 | SME Regulation laying down rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises |
| Commission Regulation (EC) No 507/2006 of 29 March 2006 | 29 March 2006 | Commission Regulation (EC) No 507/2006 of 29 March 2006 on the conditional marketing authorisation for medicinal products for human use falling within the scope of Regulation (EC) No 726/2004 of the European Parliament and of the Council (Text with EEA relevance) |
| Regulation (EC) No 1901/2006 of the European Parliament and the Council of 12 December 2006 | 26/01/2007 | Paediatrics Regulation laying down rules concerning the development of medicinal products for human use in order to meet the specific therapeutic needs of the paediatric population, without subjecting the paediatric population to unnecessary clinical or other trials and in compliance with Directive 2001/20/EC. |
| Commission Regulation 658/2007 of 14 June 2007 | 15/06/2007 applicable from 04/07/2007 | Financial penalties for infringement of certain obligations in connection with marketing authorisations granted under Regulation (EC) No 726/2004. |
| Regulation (EC) No 1394/2007 of the European Parliament and the Council of 13 November 2007 | 30/12/2007 | Advance Therapies Regulation laying down specific rules concerning the authorisation, supervision and pharmacovigilance of advanced therapy medicinal products. |
| Commission Regulation 1234/2008 of 24 November 2008 | 01/01/2009 applicable from 01/01/2010 | Variations Regulation laying down provisions concerning the examination of variations to the terms of the following marketing authorisations for medicinal products for human use and veterinary medicinal products. |
| Regulation (EC) No 470/2009 of the European Parliament and the Council of 6 May 2009 | 16/06/2009 applicable from 05/07/2009 | Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuff of animal origin. |
| Commission Regulation (EC) No 668/2009 | 24/07/2009 | Implementing Regulation (EC) No 1349/2007 with regards to the evaluation and certification of quality and non-quality data relating to advanced therapies medicinal products [...] developed by SMEs. |
| Commission Regulation (EU) No 37/2010 of 22 December 2009 | 22/12/2009, applicable 20 th day after its publication | Commission Regulation (EU) No 37/2010 of 22 December 2009 on pharmacologically active substances and their classification regarding maximum residue limits in foodstuffs of animal origin |
| Regulation (EU) No 1235/2010 of the European Parliament and the Council of 15 December 2010 | 01/01/2011 applicable from 02/07/2012 | Pharmacovigilance Regulation amending Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use [...] and Regulation (EC) No 1394/2007 on advanced |

| | | |
|---------------------------------------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | therapy medicinal products. |
| Regulation (EU) No 536/2014 of the European Parliament and the Council of 16 April 2014 | Applicable no earlier than 28 May 2016 | Regulation on Clinical trials on medicinal products for human use, and repealing Directive 2001/20/EC |
| Regulation (EU) No 658/2014 of the European Parliament and the Council of 15 May 2014 | 15/05/2014 applicable from 26/08/2014 | Pharmacovigilance Fee Regulation laying down fees payable to the European Medicines Agency for the conduct of pharmacovigilance activities in respect of medicinal products for human use. |
| Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017 | 5 April 2017 applicable from 26 May 2020 | Regulation of medical devices in the European Union, amending Directive 2001/83, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Directives 90/385 and 93/42/EEC. |
| Regulation (EU) 2017/746 of the European Parliament and of the Council of 5 April 2017 | 5 April 2017 Applicable from 22 May 2022 | Regulation of in vitro diagnostic medical devices in the European Union, repealing Directive 98/79/EC and Commission Decision 2010/227/EU. |
| Regulation (EU)2019/6 of the European Parliament and of the Council of 11 December 2018 | 11/12/2018 applicable from 28 January 2022 | Regulation of veterinary medicinal products in the European Union , repealing Directive 2001/82/EC. |
| Regulation (EU) 2021/2282 of the European Parliament and of the Council of 15 December 2021 | 15/12/2021 applicable from 12/01/2025 | Regulation (EU) 2021/2282 of the European Parliament and of the Council of 15 December 2021 on health technology assessment and amending Directive 2011/24/EU (Text with EEA relevance) |
| Commission Implementing Regulation (EU) 2022/20 of 7 January 2022 | 07/01/2022 applicable from 31/01/2022 | Commission Implementing Regulation (EU) 2022/20 of 7 January 2022 laying down rules for the application of Regulation (EU) No 536/2014 of the European Parliament and of the Council as regards setting up the rules and procedures for the cooperation of the Member States in safety assessment of clinical trials (Text with EEA relevance) |
| Regulation (EU) 2022/123 of the European Parliament and of the Council | 25 January 2022 applicable from 01/03/2022 | Regulation on a reinforced role for the European Medicines Agency in crisis preparedness and management for medicinal products and medical devices |

1.2 Seat

6 Domenico Scarlattilaan, 1083 HS Amsterdam, The Netherlands

1.3 Budget Line

06 10 03 01 : Union contribution to the European Medicines Agency

06 10 03 02 : Special contribution for orphan medicinal products

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 472 | 459 | 97,25% | 477 | 495 |
| Assistants (AST) | 185 | 185 | 100,00% | 185 | 187 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 657 | 644 | 98,02% | 662 | 682 |
| Contract Agents (CA) | 211 | 206 | 97,63% | 223 | 203 |
| Seconded National Experts (SNE) | 33 | 28 | 84,85% | 30 | 30 |
| TOTAL STAFF | 901 | 878 | 97,45% | 915 | 915 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 55 231 000 | 49 420 521 |
| Other Revenue | 362 240 000 | 375 153 000 |
| TOTAL REVENUES | 417 471 000 | 424 573 521 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 1 500 000 | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 1 500 000 | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 135 169 000 | 135 169 000 | 141 173 521 | 141 173 521 |
| Title 2 - Infrastructure and operating expenditure | 58 523 000 | 58 523 000 | 61 610 000 | 61 610 000 |
| Title 3 - Operational expenditure | 223 779 000 | 223 779 000 | 221 790 000 | 221 790 000 |
| TOTAL EXPENDITURE | 417 471 000 | 417 471 000 | 424 573 521 | 424 573 521 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 15 | | 3 | | 2 | | 3 | | 3 | | 3 |
| AD 14 | | 9 | | 9 | | 10 | | 12 | | 12 |
| AD 13 | | 13 | | 11 | | 13 | | 12 | | 12 |
| AD 12 | | 45 | | 42 | | 50 | | 57 | | 57 |
| AD 11 | | 51 | | 49 | | 52 | | 49 | | 49 |
| AD 10 | | 51 | | 47 | | 50 | | 53 | | 53 |
| AD 9 | | 55 | | 54 | | 62 | | 66 | | 66 |
| AD 8 | | 71 | | 71 | | 77 | | 87 | | 87 |
| AD 7 | | 94 | | 94 | | 97 | | 89 | | 89 |
| AD 6 | | 65 | | 65 | | 60 | | 67 | | 67 |
| AD 5 | | 15 | | 15 | | 3 | | 2 | | |
| AD TOTAL | | 472 | | 459 | | 477 | | 497 | | 495 |
| AST 11 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AST 10 | | 7 | | 7 | | 7 | | 7 | | 7 |
| AST 9 | | 9 | | 9 | | 10 | | 10 | | 10 |
| AST 8 | | 10 | | 10 | | 13 | | 14 | | 14 |
| AST 7 | | 19 | | 19 | | 19 | | 25 | | 25 |
| AST 6 | | 20 | | 20 | | 26 | | 31 | | 31 |
| AST 5 | | 38 | | 38 | | 43 | | 43 | | 43 |
| AST 4 | | 46 | | 46 | | 42 | | 43 | | 43 |
| AST 3 | | 32 | | 32 | | 23 | | 10 | | 12 |
| AST 2 | | 2 | | 2 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 185 | | 185 | | 185 | | 185 | | 187 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 657 | | 644 | | 662 | | 682 | | 682 |
| GRAND TOTAL | | 657 | | 644 | | 662 | | 682 | | 682 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 125 | 112 | 142 | 122 |
| Function Group III | 76 | 94 | 81 | 81 |
| Function Group II | 10 | | | |
| Function Group I | | | | |
| TOTAL | 211 | 206 | 223 | 203 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 33 | 28 | 30 | 30 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 341 638 052 | 357 702 000 | 374 372 000 | 374 372 000 | 4,66% |
| 2 EU CONTRIBUTION | 37 636 730 | 58 550 000 | 48 971 000 | 49 420 521 | -15,59% |
| - Of which assigned revenues deriving from previous years' surpluses | | 4 368 321 | 24 982 181 | 24 982 181 | 471,89% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | 1 050 000 | 602 000 | 602 000 | -42,67% |
| - Of which EEA/EFTA (excl. Switzerland) | | 1 050 000 | 602 000 | 602 000 | -42,67% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 2 881 562 | 169 000 | 179 000 | 179 000 | 5,92% |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 25 445 886 | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 407 602 230 | 417 471 000 | 424 124 000 | 424 573 521 | 1,70% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | 1 500 000 | | | -100% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | 1 500 000 | | | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 125 481 673 | 135 169 000 | 140 724 000 | 141 173 521 | 4,44% |
| Salaries & allowances | 106 812 414 | 115 028 000 | 123 036 000 | 123 485 521 | 7,35% |
| <i>- Of which establishment plan posts</i> | <i>106 812 414</i> | <i>115 028 000</i> | <i>123 036 000</i> | <i>123 485 521</i> | <i>7,35%</i> |
| <i>- Of which external personnel</i> | | | | | |
| Expenditure relating to Staff recruitment | 211 242 | 300 000 | 300 000 | 300 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 24 508 | 650 000 | 850 000 | 850 000 | 30,77% |
| Socio-medical infrastructure | 1 646 279 | 2 385 000 | 2 278 000 | 2 278 000 | -4,49% |
| Training | 648 765 | 1 090 000 | 915 000 | 915 000 | -16,06% |
| External Services | 16 084 186 | 15 571 000 | 13 190 000 | 13 190 000 | -15,29% |
| Receptions, events and representation | 54 279 | 145 000 | 155 000 | 155 000 | 6,90% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 47 758 404 | 58 523 000 | 61 610 000 | 61 610 000 | 5,27% |
| Rental of buildings and associated costs | 14 813 190 | 15 694 000 | 15 505 000 | 15 505 000 | -1,20% |
| Information, communication technology and data processing | 26 268 794 | 32 458 000 | 36 339 000 | 36 339 000 | 11,96% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 588 233 | 632 000 | 640 000 | 640 000 | 1,27% |
| Current administrative expenditure | 1 025 312 | 1 674 000 | 1 796 000 | 1 796 000 | 7,29% |
| Postage / Telecommunications | 31 098 | 56 000 | 57 000 | 57 000 | 1,79% |
| Meeting expenses | 342 248 | 320 000 | 325 000 | 325 000 | 1,56% |
| Running costs in connection with operational activities | 601 622 | 1 288 000 | 1 306 000 | 1 306 000 | 1,40% |
| Information and publishing | 2 027 511 | 2 510 000 | 2 898 000 | 2 898 000 | 15,46% |
| Studies | 2 060 396 | 3 891 000 | 2 744 000 | 2 744 000 | -29,48% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 192 250 623 | 223 779 000 | 221 790 000 | 221 790 000 | -0,89% |
| Meetings | 143 394 | 6 174 000 | 8 549 000 | 8 549 000 | 38,47% |
| Evaluation of medicines | 143 175 359 | 146 715 000 | 150 001 000 | 150 001 000 | 2,24% |
| Translation expenses | 4 772 548 | 5 480 000 | 5 117 000 | 5 117 000 | -6,62% |
| Studies and consultants | 14 706 874 | 26 700 000 | 22 550 000 | 22 550 000 | -15,54% |
| Information and publication | 29 452 448 | 38 710 000 | 35 573 000 | 35 573 000 | -8,10% |
| TOTAL | 365 490 700 | 417 471 000 | 424 124 000 | 424 573 521 | 1,70% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 125 481 673 | 135 169 000 | 140 724 000 | 141 173 521 | 4,44% |
| Salaries & allowances | 106 812 414 | 115 028 000 | 123 036 000 | 123 485 521 | 7,35% |
| - Of which establishment plan posts | 106 812 414 | 115 028 000 | 123 036 000 | 123 485 521 | 7,35% |
| - Of which external personnel | | | | | |
| Expenditure relating to Staff recruitment | 211 242 | 300 000 | 300 000 | 300 000 | 0,00% |
| Employer's pension contributions | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | 24 508 | 650 000 | 850 000 | 850 000 | 30,77% |
| Socio-medical infrastructure | 1 646 279 | 2 385 000 | 2 278 000 | 2 278 000 | -4,49% |
| Training | 648 765 | 1 090 000 | 915 000 | 915 000 | -16,06% |
| External Services | 16 084 186 | 15 571 000 | 13 190 000 | 13 190 000 | -15,29% |
| Receptions, events and representation | 54 279 | 145 000 | 155 000 | 155 000 | 6,90% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 47 758 404 | 58 523 000 | 61 610 000 | 61 610 000 | 5,27% |
| Rental of buildings and associated costs | 14 813 190 | 15 694 000 | 15 505 000 | 15 505 000 | -1,20% |
| Information, communication technology and data processing | 26 268 794 | 32 458 000 | 36 339 000 | 36 339 000 | 11,96% |
| Movable property and associated costs | 588 233 | 632 000 | 640 000 | 640 000 | 1,27% |
| Current administrative expenditure | 1 025 312 | 1 674 000 | 1 796 000 | 1 796 000 | 7,29% |
| Postage / Telecommunications | 31 098 | 56 000 | 57 000 | 57 000 | 1,79% |
| Meeting expenses | 342 248 | 320 000 | 325 000 | 325 000 | 1,56% |
| Running costs in connection with operational activities | 601 622 | 1 288 000 | 1 306 000 | 1 306 000 | 1,40% |
| Information and publishing | 2 027 511 | 2 510 000 | 2 898 000 | 2 898 000 | 15,46% |
| Studies | 2 060 396 | 3 891 000 | 2 744 000 | 2 744 000 | -29,48% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 192 250 623 | 223 779 000 | 221 790 000 | 221 790 000 | -0,89% |
| Meetings | 143 394 | 6 174 000 | 8 549 000 | 8 549 000 | 38,47% |
| Evaluation of medicines | 143 175 359 | 146 715 000 | 150 001 000 | 150 001 000 | 2,24% |
| Translation expenses | 4 772 548 | 5 480 000 | 5 117 000 | 5 117 000 | -6,62% |
| Studies and consultants | 14 706 874 | 26 700 000 | 22 550 000 | 22 550 000 | -15,54% |
| Information and publication | 29 452 448 | 38 710 000 | 35 573 000 | 35 573 000 | -8,10% |
| TOTAL | 365 490 700 | 417 471 000 | 424 124 000 | 424 573 521 | 1,70% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 24 948 180,86

4 Justification of needs

Commission assessment

Human Resources

The Commission takes note of EMA's request during the 2023 DB procedure for 682 Temporary Agents (+20 FTE compared to 2022), 203 Contract Agents (20 FTE less compared to 2022) and 30 SNEs (the same as in 2022).

The Commission agrees with the Agency's request for an extension of the reinforcement of the 40 TA due to COVID-19 for another year (2023). This number of TA is to be reduced to 30 FTE in 2024 and to 20 FTE for 2025.

Moreover, the Commission can agree with an additional 16 fee-financed temporary agents (AD posts) to cope with the increasing workload, as well as a frontloading of 4 temporary agents from 2024 to 2023 to cater for the work on the extended mandate.

The total staffing in 2023 would thus amount to 682 Temporary Agents, 203 Contract Agents and 30 SNEs.

Financial Resources

The Commission notes that the Agency's budget request is in line with the Commission instructions.

The proposed EU contribution for 2023 includes an increase (EUR 449 521) for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

The 2021 outturn of EUR 25 million has to be deducted from the 2023 contribution, so the net EU contribution will amount to EUR 24.4 million.

The budget amount is composed of the initially foreseen EU contribution of EUR 22.5 million foreseen under the MFF, as well as EUR 22.7 million reinforcement as per the Health Union package, EUR 3.8 million recuperated from the return of the 2021 EHU amount, and the salary adjustment under the Title 1.

The orphan medicinal products contribution in the draft budget 2023 reflects the amount proposed in the EU budget.

The Commission took note that in 2023 the total revenue from fees will reach EUR 374.4 million due to the continued increase in submissions of scientific applications.

The Commission took note that the draft budget 2023 does not include provisions for exceptional costs related to the Agency's former headquarters in London.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

For the 2023 preliminary draft budget, the Agency will request 20 additional TA posts (excluding any additional extended mandate staffing requirements), to be utilised as follow:

- 11 additional TAs for workload linked to the (non-COVID) growing product portfolio;
- 3 TA posts for Veterinary Medicines workload;
- 1 TA post to support data protection activities;
- 1 TA post to support the International activities;
- 2 TAs posts to support the go-live phase of CTIS activities;
- 2 TAs to support the CTTI (Clinical Trials Transformation Initiative).

The Agency will also request the extension of the 40 time-limited TAs for 3 additional years.

The need and justification for additional staff driven by increased fee-related workload have been described in detail in the previous section on the growth of existing tasks, where the Agency is requesting 11 posts from 2023. Outlined below is further detailed justification for each of the requests for additional establishment plan posts.

- In 2021 the Agency had been granted 40 2 year time-bound TAs, however while these posts are available for two years only, the Agency also needs to deliver the subsequent post-authorisation work on vaccines and therapeutics as well as on pharmacovigilance activities in terms of the monitoring of the safety and efficacy of these medicines. Activities and workload that will extend far beyond a two-year horizon. The Agency is therefore requesting the extension of these posts for an additional 3 years to cope with the workload.
- In 2020 partial additional human and financial resources have been granted to EMA to prepare for the implementation of the new EU Veterinary legislation, which comes into application in early 2022, however, these did not meet the Agency's initial request and needs. The implementation will entail remarkable efforts on the side of the Agency to meet the requirements of the new legislation. For this reason, the Agency requires the 3 TA posts requested.
- The Agency has an obligation to devote adequate resources to data protection activities. The volume of activities requires almost 4 FTEs and in the context of progressive digitalisation of information and access to data sources as well as due to legislative requirements and factors such as growing digitalisation of the Agency and the Network, which require that data management is fully compliant with the EU regulation this workload will further increase. However, the agency's requests to resource increased workload in numerous areas have not been supported by the Commission, and the Agency remains unable to appoint sufficient resources to cope with significant and increased workload in this area. The fulfilment of this task will require the allocation of skilled resources as soon as made available to the Agency. A request for 1 TA post to that effect is once again included in the resourcing requests for 2023.
- In the context of the COVID-19 pandemic, the Agency international activities have seen a further increase in interaction with international partners, a collaboration that is due to remain at intense levels beyond the resolution of the current crisis. Therefore, in order to deliver on this set of activities, the Agency is once again putting forward a resource request of 1 TA post that should be permanently allocated in the establishment plan.
- In order to fulfil the recommendation, put forward by the EMRN strategy to 2025 to foster innovation in clinical trials and make the EU competitive for the conduct of clinical trials the Agency started the Clinical Trials Transformation Initiatives. CTTI aims at:
 - reducing administrative burden
 - increasing setup speed especially for large multistate clinical trials
 - conduct impactful large scale multinational clinical trials by leveraging data and information to better monitor trial safety.

For this activity, the Agency has put forward a request for 2 TA posts, in addition to 2 TA posts required to support the CTIS implementation.

4.1.2 Vacancy rate as of end 2021

At the end of 2021 the Agency occupied 644 of 657 temporary agent posts in the establishment plan, resulting a vacancy rate of 1.98%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

In 2023 the number of retirements is expected to remain at the level of 2022, which is 11. This will result in abatement rate of 1.3% (including TAs and CAs of 865).

4.1.4 Salary assumption for calculating salary line (% applied)

In calculating salaries for 2023, an increase of 1.5% was assumed.

4.1.5 Correction coefficient used

A duty station weighting (correction coefficient) of 113.9 was assumed when establishing DB2023.

4.1.6 Exchange rate used (if applicable)

Infor-Euro rates for July 2021 have been applied as they were the most recent rates available at the time of establishment of PDB2023.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title I figures for 2023 reflect an increase of EUR 5 555 000 (4,11%), mainly due to a 6.96% increase in the salaries and allowances chapter (+EUR 8 008 000), mainly driven by wage inflation, step change increases and 20 additional TA posts. This increase is partly offset by lower expenditure on social measures, training and external services.

4.2.2 Title 2

Title II figures for 2023 show an increase of EUR 3 087 000 (+5.27%) compared to 2022. The increase is primarily caused by increased corporate IT expenditure with higher allocation of funds to corporate IT projects, while building and maintenance related expenditure is stable.

4.2.3 Title 3

Expenditure reflects an overall decrease of EUR 1 989 000 (-0.89%) compared to 2022. The allocations allow for:

- Increased meeting activities, resulting in additional appropriations amounting to EUR 2 375 000;
- increased payments of EUR 3 286 000 to National Competent Authorities (NCAs) for the evaluation of medicines in line with increasing workload;
- decreased activities on scientific studies, following increased activity in 2021 and 2022, amounting to a decrease of EUR 4 150 000;
- decreased expenditure on IT development (-EUR 3 137 000), reflecting a slower rate of expansion, but subject to further definition of IT needs under the extended mandate.

4.3 Ad hoc grants and delegation agreements

The Agency may receive a potential grant for IT project, 'ePI', amounting to EUR 1 500 000.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------------|----------------------------------|---------------|---------------|-------------------|------------------------------|-------|------------------------------------------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Multi-tenanted office building; EMA lease covers parts of the basement, ground and promenade levels | 30 Churchill Place, London, E14 5EU, UK | 18 448 | 8 002 | 26 450 | | 25 years from 1 July 2014 | Lease | | |
| 2 | EMA Building, purpose built, single occupant | Domenico Scarlattilaan 6, 1083 HS Amsterdam The Netherlands | 22 719 | 10 692 | 33 411 | 10 721 000 | 20 years from 1 January 2020 | Lease | The Netherlands provides 3 mln. EUR for rent reductions of 150 000,00 for each year of the 20y lease | |
| TOTAL | | | 41 167 | 18 694 | 59 861 | 10 721 000 | | | | |

5.1.2 Current building(s) Other comments

Since July 2019 the Agency has sublet its former headquarters in London; the amounts paid to the Agency's landlord are matched by those of the sub-tenant to EMA and are managed under fund source CL.

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

The Agency's staff members who make use of European schools in general have their children enrolled at the European School in Bergen, NL (type 1 school) and at the European School in the Hague, NL (type 2 school).

5.3 Evaluation

The main findings of the latest evaluation available are as follows: The 2010 study provides firm evidence from an independent external consultant on very good performance of the Agency. Since its creation in 1993, the EMA has made considerable progress in maintaining an effective European authorisation system for human and veterinary medicinal products and gained great consideration from all stakeholders, at European as well as at international level. EMA opinions are considered of a very high quality from a scientific point of view and the Agency has become a leading actor in establishing international standards. EMA Secretariat together with 44 National Competent Authorities create an effective network providing for the most relevant experts.

The evaluation led to both strategic and operational recommendations to optimise the system and remove the possible barriers that prevent from an efficient and sustainable functioning of the Agency.

In 2017 the European Commission started preparing for the next evaluation and in 2018 it selected Ernst & Young to perform a study on the operation of centralised procedure (CP) and decentralised and mutual recognition procedures (MRP/DCP) for the authorisation and monitoring of medicinal products for human use during the period 2009-2017.

The contractor delivered its analysis to the European Commission in March 2020 with publication scheduled for February 2021 in a general Commission report ("Commission report on the experience acquired with the MA procedures") to the European Parliament and to the Council. However, even though the period covered by the EY report pre-dates the pandemic, SANTE management is now considering including wider consideration of the experience with COVID-19 in the Commission report, which would imply further postponement of the publication.

The latest evaluation of the Agency's operation pursuant to Article 86 of the Regulation (EC) No 726/2004 was published on 31 August 2021 and is available in the form of a Report from the Commission to the European Parliament and the Council on the experience acquired with the procedures for authorising and supervising medicinal products for human use, in accordance with (COM/2021/497 final). The study assessed the extent to which the current marketing-authorisation system for medicines met its objectives in the period 2010-2017. This report links to the pharmaceutical strategy for Europe and will inform its implementation, with regard to possible legislative and non-legislative measures. It also complements the ongoing revisions of: (i) the EU regulations on medicines for rare diseases and on medicines for children; and (ii) the Regulation on the European Medicines Agency's fee system.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency has diplomatic status | Staff do not pay national taxes on their Union salary | European schools in The Hague and Bergen, both more than 50km from the Agency's new headquarters in Amsterdam |
| Within the scope of its official activities, the Agency, its assets, income and other property shall be exempt from all direct taxes, whether levied by national, provincial or local authorities. | Internationally recruited staff can buy tax-free vehicle(s) | Agency does not have a day care facility but provides financial contribution using the same rules as EC for nursery contribution to European kindergarten. |
| The Agency is exempt from a number of indirect taxes (further details to be consulted from the Seat Agreement between the Kingdom of the Netherlands and the European Medicines Agency). | Internationally recruited staff is exempt from certain taxations (further details to be consulted from the Seat Agreement between the Kingdom of the Netherlands and the European Medicines Agency). | Upon the Agency's relocation to Amsterdam, eligible staff with children (regardless of where they attend school) will receive the double education allowance per child, as the Agency's new permanent seat is more than 50km from the nearest European School |
| | Agency staff are covered by JSIS and exempt from contributions to Dutch social security and NHS, although issues of insufficient access to the Dutch health system for staff member's spouses and children continue to cause problems | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EEC) no 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions | 26/05/1975 | Contribute to the planning and establishment of better living and working conditions through action designed to increase and disseminate knowledge likely to assist this development. It should deal with the following issues: Man at work; organisation of work and particularly job design; problems peculiar to certain categories of workers; long-term aspects of improvement to the environment; distribution of human activities in space and time |
| Extension of activities | 1977 | Launch of the European Industrial Relations Observatory (EIRO) on a proposal from the European Commission adopted by the Administrative Board |
| Extension of activities | 2001 | Launch of the European Monitoring Centre on Change (EMCC) on a proposal from the European Commission endorsed by the Presidency Conclusions of the Nice European Council and adopted by the Administrative Board |
| Modification to the Founding Regulation | 2003 | Amendments to various administrative procedures and adjustment to new Financial Regulation; Modification to governance provisions; adjustment to new Staff Regulations |
| Regulation (EU) 2019/127 of the European Parliament and the Council | 16/01/2019 | Eurofound shall enhance and disseminate knowledge, provide evidence and services for the purpose of policy making, including research-based conclusions, and shall facilitate knowledge sharing among and between Union and national actors. Eurofound's objective shall be to support the shaping and implementing policies concerning the improvement of living and working conditions, devising employment policies, and promoting the dialogue between management and labour. |

1.2 Seat

Dublin, Ireland

1.3 Budget Line

07 10 01 : European Foundation for the improvement of living and working conditions (Eurofound)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 51 | 44 | 86,27% | 51 | 51 |
| Assistants (AST) | 40 | 39 | 97,50% | 40 | 38 |
| Assistants/Secretaries (AST/SC) | | | | | 2 |
| ESTABLISHMENT PLAN POSTS | 91 | 83 | 91,21% | 91 | 91 |
| Contract Agents (CA) | 13 | 13 | 100,00% | 13 | 13 |
| Seconded National Experts (SNE) | 1 | | 0,00% | 1 | 1 |
| TOTAL STAFF | 105 | 96 | 91,43% | 105 | 105 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|--|-------------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| EU contribution | 22 051 380 | | 23 595 000 | |
| Other Revenue | 218 620 | | | |
| TOTAL REVENUES | 22 270 000 | | 23 595 000 | |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|--|------------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 55 000 | | 1 000 000 | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | 55 000 | | 1 000 000 | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 14 755 000 | 14 755 000 | 15 553 000 | 15 553 000 |
| Title 2 - Infrastructure and operating expenditure | 1 550 000 | 1 550 000 | 1 660 000 | 1 660 000 |
| Title 3 - Operational expenditure | 5 965 000 | 5 965 000 | 6 600 000 | 6 600 000 |
| TOTAL EXPENDITURE | 22 270 000 | 22 270 000 | 23 813 000 | 23 813 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 14 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 13 | | 3 | 1 | 1 | | 3 | 2 | 4 | 2 | 4 |
| AD 12 | 2 | 8 | 1 | 6 | 2 | 8 | | 5 | | 5 |
| AD 11 | 1 | 5 | | 2 | 1 | 5 | 1 | 4 | 1 | 4 |
| AD 10 | | 6 | | 2 | | 6 | | 5 | | 5 |
| AD 9 | | 6 | 2 | 7 | | 6 | 1 | 7 | 1 | 7 |
| AD 8 | 1 | 8 | | 9 | 1 | 8 | | 8 | | 8 |
| AD 7 | | 6 | | 5 | | 6 | | 7 | | 7 |
| AD 6 | | 2 | | 3 | | 2 | | 3 | | 3 |
| AD 5 | | 1 | | 4 | | 1 | | 2 | | 2 |
| AD TOTAL | 4 | 47 | 4 | 40 | 4 | 47 | 4 | 47 | 4 | 47 |
| AST 11 | | 2 | | 2 | | 1 | | 2 | | 2 |
| AST 10 | | 1 | | | | 2 | | 1 | | 1 |
| AST 9 | | 7 | | 6 | 2 | 7 | 2 | 7 | 2 | 7 |
| AST 8 | 2 | 7 | 1 | 2 | 2 | 7 | 1 | 9 | 1 | 9 |
| AST 7 | 2 | 5 | 2 | 8 | 2 | 5 | 2 | 5 | 2 | 5 |
| AST 6 | 2 | 2 | 1 | 5 | | 2 | | 2 | | 2 |
| AST 5 | | 5 | | 5 | | 5 | | 6 | | 6 |
| AST 4 | | 2 | | 1 | | 2 | | 1 | | 1 |
| AST 3 | | 1 | | 4 | | 1 | | | | |
| AST 2 | | 2 | | 1 | | 2 | | | | |
| AST 1 | | | 1 | | | | | | | |
| AST TOTAL | 6 | 34 | 5 | 34 | 6 | 34 | 5 | 33 | 5 | 33 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | 1 | | 1 |
| AST/SC 2 | | | | | | | | 1 | | 1 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | 2 | | 2 |
| TOTAL | 10 | 81 | 9 | 74 | 10 | 81 | 9 | 82 | 9 | 82 |
| GRAND TOTAL | 91 | | 83 | | 91 | | 91 | | 91 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 6 | 7 | 6 | 7 |
| Function Group III | 3 | 2 | 3 | 2 |
| Function Group II | 3 | 4 | 4 | 4 |
| Function Group I | 1 | | | |
| TOTAL | 13 | 13 | 13 | 13 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | | 1 | 1 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 21 600 000 | 22 051 380 | 23 142 000 | 23 595 000 | 7,00% |
| - Of which assigned revenues deriving from previous years' surpluses | | 273 570 | 17 911 | 17 911 | -93,45% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - Of which EEA/EFTA (excl. Switzerland) | | | | | |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 3 468 | 18 620 | 18 000 | | -100% |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 84 892 | 200 000 | 200 000 | | -100% |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 21 688 360 | 22 270 000 | 23 360 000 | 23 595 000 | 5,95% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|---------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | 55 000 | 1 000 000 | 1 000 000 | 1718,18% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 000 000 | | | | |
| TOTAL | 1 000 000 | 55 000 | 1 000 000 | 1 000 000 | 1718,18% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 335 707 | 14 755 000 | 15 100 000 | 15 553 000 | 5,41% |
| Salaries & allowances | 13 348 246 | 13 619 000 | 13 844 000 | 14 297 000 | 4,98% |
| - <i>Of which establishment plan posts</i> | 12 854 572 | 13 119 000 | 13 339 000 | 13 792 000 | 5,13% |
| - <i>Of which external personnel</i> | 493 674 | 500 000 | 505 000 | 505 000 | 1,00% |
| Expenditure relating to Staff recruitment | 140 516 | 205 000 | 195 000 | 195 000 | -4,88% |
| Employer's pension contributions | | | | | |
| Mission expenses | 9 910 | 150 000 | 221 000 | 221 000 | 47,33% |
| Socio-medical infrastructure | 79 190 | 216 000 | 175 000 | 175 000 | -18,98% |
| Training | 91 518 | 140 000 | 155 000 | 155 000 | 10,71% |
| External Services | 666 327 | 425 000 | 510 000 | 510 000 | 20,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 724 322 | 1 550 000 | 1 660 000 | 1 660 000 | 7,10% |
| Rental of buildings and associated costs | 691 541 | 620 000 | 661 000 | 661 000 | 6,61% |
| Information, communication technology and data processing | 882 511 | 760 000 | 812 000 | 812 000 | 6,84% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 93 804 | 99 000 | 100 000 | 100 000 | 1,01% |
| Current administrative expenditure | 15 882 | 23 000 | 28 000 | 28 000 | 21,74% |
| Postage / Telecommunications | 40 584 | 48 000 | 59 000 | 59 000 | 22,92% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 6 503 323 | 5 965 000 | 6 600 000 | 6 600 000 | 10,65% |
| TOTAL | 22 563 352 | 22 270 000 | 23 360 000 | 23 813 000 | 6,93% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 142 549 | 14 755 000 | 15 100 000 | 15 553 000 | 5,41% |
| Salaries & allowances | 13 348 246 | 13 619 000 | 13 844 000 | 14 297 000 | 4,98% |
| - <i>Of which establishment plan posts</i> | <i>12 854 572</i> | <i>13 119 000</i> | <i>13 339 000</i> | <i>13 792 000</i> | <i>5,13%</i> |
| - <i>Of which external personnel</i> | <i>493 674</i> | <i>500 000</i> | <i>505 000</i> | <i>505 000</i> | <i>1,00%</i> |
| Expenditure relating to Staff recruitment | 140 516 | 205 000 | 195 000 | 195 000 | -4,88% |
| Employer's pension contributions | | | | | |
| Mission expenses | 5 350 | 150 000 | 175 000 | 175 000 | 16,67% |
| Socio-medical infrastructure | 27 579 | 216 000 | 221 000 | 221 000 | 2,31% |
| Training | 77 023 | 140 000 | 155 000 | 155 000 | 10,71% |
| External Services | 543 835 | 425 000 | 510 000 | 510 000 | 20,00% |
| Receptions, events and representation | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 302 237 | 1 550 000 | 1 660 000 | 1 660 000 | 7,10% |
| Rental of buildings and associated costs | 500 370 | 620 000 | 661 000 | 661 000 | 6,61% |
| Information, communication technology and data processing | 678 249 | 760 000 | 812 000 | 812 000 | 6,84% |
| Movable property and associated costs | 76 608 | 99 000 | 100 000 | 100 000 | 1,01% |
| Current administrative expenditure | 14 046 | 23 000 | 28 000 | 28 000 | 21,74% |
| Postage / Telecommunications | 32 964 | 48 000 | 59 000 | 59 000 | 22,92% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 3 359 416 | 5 965 000 | 6 600 000 | 6 600 000 | 10,65% |
| TOTAL | 18 804 202 | 22 270 000 | 23 360 000 | 23 813 000 | 6,93% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): 17,912 EUR

The budget utilisation rate measured as commitments against general C1 appropriations was 100.0%.

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2023, EUROFOUND proposes to maintain a stable number of establishment plan posts in 2023 compared to the budget 2022 (91 posts) which is fully supported by the Commission.

Financial Resources

In addition to the financial envelope foreseen in the 2023 financial programming, EUROFOUND is requesting additional funding of EUR 650 000 in view of the evolution of the correction coefficient. The correction coefficient for Ireland indeed increased by almost 15 percentage points over the last two years. This has translated into EUR 1.5 million additional staff costs (Title 1 of Eurofound's budget) in the last two years.

The Commission fully supports this request for reinforcement through the DB2023 procedure; this will thus allow the agency to maintain their operational expenditure (Title 3) at an appropriate level to fulfil their mandate without any reduction to compensate the shortfall in staff costs.

In addition, the proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation (EUR 453 000). It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Establishment plan posts: 91

Contract Agents: 13

Seconded National Expert: 1

Total: 105

4.1.2 Vacancy rate as of end 2021

Establishment plan: 91,2% or 83 out of 91 posts filled

Contract Agents: 100% or 13 out of 13 posts filled

Seconded National experts: 0% or 0 out of 1 post filled

Total: 91,4% or 96 out of 105 posts filled

4.1.3 Standard abatement ('abatement forfaitaire') applied

No abatement is applied in 2023 since it is planned to have all posts filled.

4.1.4 Salary assumption for calculating salary line (% applied)

It is assumed that the method currently used will lead to an increase of about 1,7%.

4.1.5 Correction coefficient used

133,6 used in salary calculations in Title 1

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

In consultation with DG EMPL the Agency's subsidy request for 2023 and the following years was increased by EUR 650 000 to counter the budget impact of the steep increase of the country coefficient in recent years. In 2020 the coefficient increased by almost 10 percentage points from 119,2 to 129. In 2021 there was a further increase to now 133,6.

The net budget increase in title 1 solely from that development is equal to about 1,35 million EUR. During 2020 and 2021 significant savings in title 1 (e.g. missions, training, staff catering) were achieved so that no additional revenue was required. Nevertheless, the cost increases in title 1 needed to be balanced by reductions in title 3. With the additional subsidy, this trend of a shrinking title 3 should be stopped and reverted. At the same time, many savings started in title 1 will continue in the 'new normal': mission budget will not return to pre-Covid levels (more online and hybrid meetings) and savings are also planned to continue for catering and other budget lines.

The overall increase of title 1 in 2023 is EUR 345 000 or 2,3% which seems to be realistic in terms of inflation, salary development, return to a 'new normal' - as long as there is no further increase of the country coefficient.

4.2.2 Title 2

Title 2 appropriations are expected to increase by EUR 110 000 (7,1 % higher compared to 2022). Title 2 in 2022 had to be cut at last minute due to the increase of the Irish country coefficient. The 2022 budget level is not sustainable in the medium term. Inflation will affect most budget lines and particularly the hikes in utility cost (electricity, gas) and significant further investments in IT infrastructure, here particularly the additional budgetary burden due to the cybersecurity regulation, should be noted. Finally, maintaining the EMAS certification in 2023 will require resources that will need to be saved in other budget lines of the title, too.

Similar to title 1, significant efforts are continued to limit the budget increase in title 2 by launching an efficiency review to identify cost savings at all levels.

4.2.3 Title 3

The title 3 increase will be required to fund additional costs of the Network of European Correspondents (NEC) which was recently re-tendered for after 4 years with the result that contractors' prices went up on average by 10%. The increase is, therefore, relevant to ensure the planned delivery of the representativeness studies and other NEC outputs. Furthermore, the wave of the next European Working Condition Survey will need to see implementation started in 2023. This new survey will include questions on living and working conditions relevant to the aftermath of the Covid pandemic and is planned to be done face-to-face.

In addition, the budget allocation to the activity 'Reacting to ad-hoc Information Requests' has been increased by EUR 195 000 in comparison to 2022 (which was cut as a 'once-off' in 2022 to balance at the last minute the country coefficient). These funds are reserved to respond to changing information needs that could not be foreseen at the time of the programme development and do respond to ad-hoc requests from policymakers. Eurofound reserves capacity to provide background papers, customised reports and short studies on request to its stakeholders. The last-minute cut of the 'ad-hoc' activity budget for 2022 was heavily criticised by the Management Board and, therefore, reverted to previous levels making use of the additionally requested subsidy.

The same applies to 'translations': the cuts in translations in 2022 were barely accepted by the Management Board and are, therefore, reverted to previous levels for 2023.

4.3 Ad hoc grants and delegation agreements

1) The service level agreement (SLA) with DG Employment to carry out a three-year pilot project on minimum wages will enter its final year in 2023. Eurofound received 1,000,000 to fund the project from DG Employment in 2022.

The pilot project has the following independent project modules:

- Enforcement of minimum wages and compliance (Approaches to quantification, map institutions, policy analysis for selected sectors).
- Database on minimum wage rates in collective agreements (Concept, pilot and populate the database).
- Regulating independent workers minimum pay rates or tariffs (Comparative report)

2) It is expected that Eurofound will enter to a new agreement with DG Neighbourhood and Enlargement Negotiations (DG NEAR) for Instrument for Pre-Accession Assistance (IPA) in 2023. Currently, 1,000,000 EUR is foreseen to be received as pre-financing in 2023.

The work for the latest IPA agreement has been completed in 2021. The cost claim for agreement IPA 402-940 will be prepared against the final instalment of 55,000 EUR. It will be forward to DG NEAR during the 1st half of 2022.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|---------------------------------------------------------------|----------|----------------------------------|------------|-------|-----------------|-----------------------------------------------------------------------|---------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Main building and conference centre | Dublin | 2 000 | 500 | 2 500 | | n/a | n/a | n/a | 620 966 |
| 2 | Loughlinstown House and grounds (historical, listed building) | Dublin | 350 | 150 | 500 | | None, EUR 250 000 was paid in 2000 for a 99-year lease (from 2001 on) | 99-year lease | n/a | |

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-------------------------------|----------------------------------------------|---------------------|------------|--------------|-----------------|------------------------------------------------|------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 3 | Brussels Liaison Office (BLO) | Brussels, Avenue d'Auderghem 18 _a | 100 | | 100 | 23 190 | Lease agreement with annual termination clause | Lease agreement with annual termination clause | n/a | |
| TOTAL | | | 2 450 | 650 | 3 100 | 23 190 | | | | 620 966 |

5.1.2 Current building(s) Other comments

None.

5.1.3 Building projects in the planning phase

No new buildings or building extensions are planned. No change of surface area.

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

There is one European School, Type II, providing education up to level 5, based in Dunshaughlin, Co. Meath. The school was primarily established for children of staff of the Food and Veterinary Office, a directorate of the Commission, in Grange, Co. Meath. However, it does not offer the European Baccalaureate degree and is also for logistical reasons not a viable option for Eurofound staff. No child of Eurofound staff is currently attending the school.

Eurofound has discussed the schooling question with the Irish Authorities, particularly in the context of the Irish Government's commitment in the Seat Agreement to be open to providing for the education of the children of Eurofound staff on a similar basis to what is provided for EU staff located elsewhere. Following these consultations, Eurofound staff were surveyed on their preferences. There was a strong preference for maintaining the level of choice that is currently available to them and continuing with payment of the double education allowance in respect of children attending second level education. On the basis of this feedback Eurofound will not pursue the matter of an Accredited European School for the time being.

Eurofound together with the Commission's and Parliament's office in Ireland have established an arrangement for many years with a private school, St Andrew's College, Blackrock, which operates at both primary and secondary level. In its 'European Annex' the school provides additional tuition in children's mother tongues to the children of Eurofound staff, funded wholly by the Irish Government. It also prepares children for and allows them to sit the exams to get the International Baccalaureate (IB). This school is used by many of Eurofound's staff. In its admission policy the school grants a certain level of priority to children of Eurofound staff. However, depending on general demand the priority given to Eurofound children might not in every case secure a place in the school. This is particularly critical for newly recruited staff members' children who arrive in Ireland with little lead time and, possibly, during the academic year. Eurofound has established a regular dialogue with the school in order to inform them about staff developments and to create an understanding for the particular needs of Eurofound staff and their children.

| Agreement in place with the European School(s) | | | | |
|-------------------------------------------------------------------------------------------------|-----|--|----|---|
| Contribution agreements signed with the EC on type I European schools | Yes | | No | X |
| Contribution agreements signed with the EC on type II European schools | Yes | | No | X |
| Number of service contracts in place with international schools: | 0 | | | |
| Description of any other solutions or actions in place: Issuing school allowance as per SR/CEOS | | | | |

5.3 Evaluation

Eurofound's performance monitoring system (EPMS) aims to foster a culture of continuous organisational improvement using a series of instruments such as key performance indicators and metrics, user feedback, qualitative assessment and analysis, evaluation and learning. This approach supports the periodic external evaluation organised by the European Commission²⁷.

Eurofound has long-standing expertise and experience in the area of Performance monitoring and Evaluation. This is also further enhanced through close involvement in the EU Agencies' sub-network Performance Development Network and is taking account of recent developments and requirements for monitoring and evaluation for EU agencies.

Eurofound's 2021-2024 evaluation policy and **evaluation programme** consists of a modular approach to replace the conventional ex-ante / interim / ex-post evaluation approach at programme level, focusing in particular on the activity level of the new programme cycle. The evaluation programme defines the high-level plans over the four-year period.

In 2022, the focus will be on implementing the first of two biennial User feedback surveys in the 2021-2024 programming period, to learn how Eurofound's users and stakeholders use the information provided by Eurofound for their own policymaking tasks.

An interim / ex-ante programme evaluation in 2022 will provide a framework to review the state of play that will inform the gaps or opportunities related to the 2021-2024 programme and will look forward to the 2025-2028 programming period. It will be implemented through topical modules (studies) on key themes. Further modules might extend into 2023.

Follow-up to evaluation findings

In April 2019, the Commission published its Staff Working Document (SWD)²⁸ on the evaluation of the four agencies (EU-OSHA, Eurofound, ETF and Cedefop) in the policy field of DG EMPL.

Action plan final version January 2020 - Status Q3 2021 (to be updated in Q3 2022).

| Title of Recommendation with reference to numbering in the SWD | Actions | Responsible | Due Date |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|-------------------------------------------|
| Agency-specific recommendations for Eurofound (Chapter 6.2.2. page 56) | | | |
| | Continuation of established quality assurance measures in place (review, ratings, feedback from MB members) feeding into a review at time of annual contract renewal. | NEC project team | Part of ongoing operation of the Network. |
| (23) "Make further improvements to the quality/reliability of the outputs produced by some national correspondents..." | The next planned interim evaluation of NEC starts in Q1/2020 and will include a focus on the overall effectiveness of quality of its outputs, including quality assurance measures introduced in the new contract cycle following the 2016 evaluation. The results of this evaluation will be available in November 2020 for a decision on the scope of the next contract cycle | Evaluation Officer/ Evaluation contractors | Completed |
| Overall recommendations for the Agencies (Chapter 6.2.1 page 53-55) | | | |
| Agency-level innovation (efficiency). Recommendations 3 - 5) | | | |
| (5.1) The agencies' performance measuring systems can be further aligned and made more comparable. Therefore the agencies could work to further align their performance indicator methodologies..... | Comparison of input and output performance indicators and methodologies will inform the 2021-2024 performance monitoring system for implementation from January 2021. | EPMS steering group | Completed |
| Reinforced cooperation. Recommendation 9-14 | | | |

²⁷ Ex article 28.2 of Regulation (EU) 2019/127

²⁸ Commission Staff Working Document, Evaluation of the EU Commission Agencies working in the employment and social affairs policy field: EUROFOUND, CEDEFOP, ETF and EU-OSHA, SWD(2019)160 final

| | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| (10) "On performance management, common or coordinated systems among the agencies would lead to cost savings, as detailed in point b), while respecting each agency's specific objectives" | Data collection methods are jointly explored, often in the context of the Agencies' Performance development sub-network | Organisational support unit | 2021-2024 Joint exploration of data collection method for citations in academic and EU policy documents with Cedefop. |
| (11) "Mutual learning and sharing of services with decentralised agencies outside DG EMPL or with the Commission, and other forms of cooperation through the EU Agencies Network. This would save resources allocated to horizontal functions by relying when necessary on other agencies' expertise." | Eurofound will contact the European Commission in advance to tendering for a new framework contract with the network of correspondents, to explore all possible options from joint tender to cooperation and synergies with the EC networks. | Advisor IR in the context of the interim evaluation of the Network of Eurofound correspondents (see Eurofound specific recommendation 23) | Executive Board endorsed a medium term roadmap (2021-2024) for further exploration of synergies and collaboration with EC networks |

5.4 Privileges and immunities

The Irish government and Eurofound concluded a Seat Agreement and a related Memorandum of Understanding on 10 November 2015. It entered into effect on 19 July 2016. These documents replaced previous arrangements that were based on written correspondence between Eurofound and the services of the Irish government. The new Seat Agreement and Memorandum of Understanding are based on the Vienna Convention (Protocol on privileges and immunities), as well as guidelines and templates prepared by the Commission and the Agencies' Legal Network.

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol on privileges and immunities/diplomatic status | Education/day care |
| <p>The Agency has diplomatic status.</p> <p>In common with other EU institutions, Eurofound is exempt from VAT.</p> <p>Eurofound meets the full cost of office furniture, security and infrastructure.</p> <p>There is no contribution by ministries and regional governments.</p> | <p>No staff member has diplomatic status, but senior staff are entitled to a Laisser-Passer document to facilitate travel; however, this privilege is currently not availed of. The Protocol on privileges and immunities is applicable to all staff recruited under the Staff Regulations. This entitles them and their families to enter Ireland without being subject to the usual immigration procedures, for staff to travel to and from work without hindrance, for staff to transfer residence to Ireland without payment of import duty on their effects and motor cars. Staff are exempt from national income tax on their earnings on the basis that they are liable to a tax for the benefit of the Communities on salaries, wages and emoluments paid to them by the Communities.</p> <p>There are no continuous VAT exemptions for staff, with the exception of the director.</p> <p>Staff recruited from outside Ireland may purchase up to two cars without payment of tax or import charges. They may also purchase household goods free of VAT during the first 24 months after moving to Ireland.</p> | <p>There is no access to subsidised day-care facilities for staff.</p> <p>Educational allowances are paid to staff in accordance with the provisions of the Staff Regulations.</p> <p>School fees are not paid directly by Eurofound. Staff sending their children to private schools may qualify for the non-flat-rate education allowance (the child must be at least six years of age) in accordance with the provisions of the Staff Regulations.</p> |

2.2.5 European agency for Safety and Health at Work – EU-OSHA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-------------------------------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EC) No 2062/94 | 18/07/1994 | Workers and employers need to be made aware of the risks that they face, and how to manage them. But health and safety bodies in the individual EU Member States cannot do this alone. That is why the European Agency for Safety and Health at Work was set up, in 1994. |
| Council Regulation (EC) No 1643/95 | 29/06/1995 | Our mission is to make Europe's workplaces safer, healthier and more productive. We do this by bringing together and sharing knowledge and information, to promote a culture of risk prevention. Located in Bilbao, Spain, we have a dedicated staff of occupational safety and health (OSH), communication and administrative specialists. At the national level, we are represented through a network of focal points, which are usually the lead OSH bodies in the individual Member States. The Agency is a tripartite organisation, this means that we work with governments, employers and workers representatives. We are a single reference point for OSH information. We collect, analyse and disseminate technical, scientific and economic information on OSH risks. We share good practice, and communicate information in a variety of ways to reach workers and workplaces. Our awareness raising activities include our two-year Healthy Workplaces campaigns. We also provide information on our website, via our electronic newsletter OSH mail, and in a range of printed publications. We help to explain European legislation on OSH. And we look out for risks which may only be emerging, due to the fast pace of change in the workplace. Our European Risk Observatory aims to identify new and emerging risks. Initiatives such as the Agency's European Survey of Enterprises on New and Emerging Risks (ESENER) help to give an overview of safety and health at work in Europe, describe the trends and underlying factors, and anticipate changes in work and their likely consequences for safety and health. Additionally, The Observatory aims to stimulate debate and reflection among the Agency's stakeholders and to provide a platform for debate between policy-makers at various levels. |
| Council Regulation (EC) No 1654/2003 | 18/06/2003 | Updating of the Agency's regulation in accordance with new EU financial and public document access provisions |
| Council Regulation (EC) No 1112/2005 | 24/06/2005 | Modification of the Agency's government and management structures |
| European Parliament and Council Regulation (EU) 2019/126 repealing Council Regulation (EC) No 2062/94 | 16/01/2019 | EU-OSHA's objective shall be to provide the Union institutions and bodies, the Member States, the social partners and other actors involved in the field of safety and health at work with relevant technical, scientific and economic information and qualified expertise in that field in order to improve the working environment as regards the protection of the safety and health of workers. To that end, EU-OSHA shall enhance and disseminate knowledge, provide evidence and services for the purpose of policy making, including research-based conclusions, and shall facilitate knowledge sharing among and between Union and national actors. |

1.2 Seat

Santiago de Compostela 12, 5th floor - 48003 Bilbao, Spain

1.3 Budget Line

07 10 02 : European Agency for Safety and Health at Work (EU-OSHA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 24 | 23 | 95,83% | 24 | 24 |
| Assistants (AST) | 16 | 16 | 100,00% | 16 | 16 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 40 | 39 | 97,50% | 40 | 40 |
| Contract Agents (CA) | 25 | 25 | 100,00% | 25 | 25 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 65 | 64 | 98,46% | 65 | 65 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 15 912 000 | 16 461 096 |
| Other Revenue | 493 100 | 503 600 |
| TOTAL REVENUES | 16 405 100 | 16 964 696 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | p.m. | p.m. |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | p.m. | p.m. |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | p.m. | p.m. |
| TOTAL REVENUES | p.m. | p.m. |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 7 396 700 | 7 396 700 | 8 164 856 | 8 164 856 |
| Title 2 - Infrastructure and operating expenditure | 1 555 300 | 1 555 300 | 1 600 500 | 1 600 500 |
| Title 3 - Operational expenditure | 7 453 100 | 7 453 100 | 7 199 340 | 7 199 340 |

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 4 - Specific projects ad hoc grants, delegation agreement (R0) | p.m. | p.m. | p.m. | p.m. |
| TOTAL EXPENDITURE | 16 405 100 | 16 405 100 | 16 964 696 | 16 964 696 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | | | |
| AD 14 | | 2 | | 2 | | 2 | | 3 | | 3 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 3 | | 1 | | 3 | | 4 | | 4 |
| AD 11 | | 2 | | 2 | | 3 | | 2 | | 2 |
| AD 10 | | 3 | | 5 | | 4 | | 6 | | 6 |
| AD 9 | | 9 | | 7 | | 7 | | 5 | | 5 |
| AD 8 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 7 | | 2 | | 3 | | 2 | | 2 | | 2 |
| AD 6 | | | | 1 | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 24 | | 23 | | 24 | | 24 | | 24 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | | | | | | | 1 | | 1 |
| AST 7 | | 3 | | 2 | | 3 | | 6 | | 6 |
| AST 6 | | 6 | | 7 | | 7 | | 4 | | 4 |
| AST 5 | | 3 | | 2 | | 4 | | 4 | | 4 |
| AST 4 | | 3 | | 4 | | 1 | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 16 | | 16 | | 16 | | 16 | | 16 |
| ASI/SC 6 | | | | | | | | | | |
| ASI/SC 5 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 40 | | 39 | | 40 | | 40 | | 40 |
| GRAND TOTAL | 40 | | 39 | | 40 | | 40 | | 40 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 4 | 5 | 6 |
| Function Group III | 14 | 14 | 13 | 14 |
| Function Group II | 8 | 7 | 7 | 5 |
| Function Group I | | | | |
| TOTAL | 25 | 25 | 25 | 25 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 14 817 270 | 15 912 000 | 16 230 240 | 16 461 096 | 3,45% |
| - Of which assigned revenues deriving from previous years' surpluses | 253 232 | 252 175 | 154 654 | 154 654 | -38,67% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 393 230 | 393 000 | 403 500 | 403 500 | 2,67% |

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| - Of which EEA/EFTA (excl. Switzerland) | 393 230 | 393 000 | 403 500 | 403 500 | 2,67% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | 100 100 | 100 100 | 100 100 | 100 100 | 0,00% |
| 5 ADMINISTRATIVE OPERATIONS | 1 595 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 15 312 195 | 16 405 100 | 16 733 840 | 16 964 696 | 3,41% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | p.m. | p.m. | p.m. | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | p.m. | p.m. | p.m. | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | p.m. | p.m. | p.m. | |
| TOTAL | | p.m. | p.m. | p.m. | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 6 943 491 | 7 396 700 | 7 934 000 | 8 164 856 | 10,39% |
| Salaries & allowances | 6 390 366 | 6 748 900 | 7 217 500 | 7 448 356 | 10,36% |
| - Of which establishment plan posts | 4 858 734 | 4 948 900 | 5 317 500 | 5 548 356 | 12,11% |
| - Of which external personnel | 1 531 632 | 1 800 000 | 1 900 000 | 1 900 000 | 5,56% |
| Expenditure relating to Staff recruitment | 51 815 | 15 000 | 75 500 | 75 500 | 403,33% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 30 774 | 28 500 | 30 000 | 30 000 | 5,26% |
| Training | 129 409 | 140 000 | 140 000 | 140 000 | 0,00% |
| External Services | 328 902 | 434 300 | 441 000 | 441 000 | 1,54% |
| Receptions, events and representation | | | | | |
| Social welfare | 12 225 | 30 000 | 30 000 | 30 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 688 597 | 1 555 300 | 1 600 500 | 1 600 500 | 2,91% |
| Rental of buildings and associated costs | 703 677 | 634 500 | 645 700 | 645 700 | 1,77% |
| Information, communication technology and data processing | 834 180 | 744 500 | 777 000 | 777 000 | 4,37% |
| Movable property and associated costs | 9 594 | 35 300 | 35 300 | 35 300 | 0,00% |
| Current administrative expenditure | 68 938 | 68 000 | 69 000 | 69 000 | 1,47% |
| Postage / Telecommunications | 72 208 | 73 000 | 73 500 | 73 500 | 0,68% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 7 040 772 | 7 453 100 | 7 199 340 | 7 199 340 | -3,40% |
| Priority areas & operational activities | 7 040 751 | 7 375 600 | 7 145 340 | 7 145 340 | -3,12% |
| Support to operational activities | 21 | 77 500 | 54 000 | 54 000 | -30,32% |
| Communication, Campaigning and Promotion | | | | | |
| Networking and Coordination | | | | | |
| Prevention and Research | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|----------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 4 - Specific projects ad hoc grants, delegation agreement (R0) | 142 213 | p.m. | p.m. | p.m. | |
| IPA II 2016 programme | - | p.m. | p.m. | p.m. | |
| Older workers | | | | | |
| ENPI | | | | | |
| New IPA II programme | | | | | |
| IPA II 2018 programme | 142 213 | p.m. | p.m. | p.m. | |
| TOTAL | 15 815 073 | 16 405 100 | 16 733 840 | 16 964 696 | 3,41% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|----------------------------------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 6 790 990 | 7 396 700 | 7 934 000 | 8 164 856 | 10,39% |
| Salaries & allowances | 6 390 366 | 6 748 900 | 7 217 500 | 7 448 356 | 10,36% |
| - <i>Of which establishment plan posts</i> | 4 858 734 | 4 948 900 | 5 317 500 | 5 548 356 | 12,11% |
| - <i>Of which external personnel</i> | 1 531 632 | 1 800 000 | 1 900 000 | 1 900 000 | 5,56% |
| Expenditure relating to Staff recruitment | 51 815 | 15 000 | 75 500 | 75 500 | 403,33% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 12 721 | 28 500 | 30 000 | 30 000 | 5,26% |
| Training | 67 423 | 140 000 | 140 000 | 140 000 | 0,00% |
| External Services | 262 826 | 434 300 | 441 000 | 441 000 | 1,54% |
| Receptions, events and representation | | | | | |
| Social welfare | 5 839 | 30 000 | 30 000 | 30 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 868 057 | 1 555 300 | 1 600 500 | 1 600 500 | 2,91% |

| EXPENDITURE | Payment appropriations | | | | |
|----------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Rental of buildings and associated costs | 523 701 | 634 500 | 645 700 | 645 700 | 1,77% |
| Information, communication technology and data processing | 327 632 | 744 500 | 777 000 | 777 000 | 4,37% |
| Movable property and associated costs | 8 829 | 35 300 | 35 300 | 35 300 | 0,00% |
| Current administrative expenditure | 946 | 68 000 | 69 000 | 69 000 | 1,47% |
| Postage / Telecommunications | 6 949 | 73 000 | 73 500 | 73 500 | 0,68% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 577 919 | 7 453 100 | 7 199 340 | 7 199 340 | -3,40% |
| Priority areas & operational activities | 2 577 898 | 7 375 600 | 7 145 340 | 7 145 340 | -3,12% |
| Support to operational activities | 21 | 77 500 | 54 000 | 54 000 | -30,32% |
| Communication, Campaigning and Promotion | | | | | |
| Networking and Coordination | | | | | |
| Prevention and Research | | | | | |
| Title 4 - Specific projects ad hoc grants, delegation agreement (R0) | 111 724 | p.m. | p.m. | p.m. | |
| IPA II 2016 programme | - | p.m. | p.m. | p.m. | |
| Older workers | | | | | |
| ENPI | | | | | |
| New IPA II programme | | | | | |
| IPA II 2018 programme | 111 724 | p.m. | p.m. | p.m. | |
| TOTAL | 10 348 690 | 16 405 100 | 16 733 840 | 16 964 696 | 3,41% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 154 654,69

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2023, EU-OSHA proposes to maintain a stable number of establishment plan posts in 2023 compared to the budget 2022 (40 posts).

In order to comply with the forthcoming Cybersecurity regulation and achieve the required level of internal expertise, EU-OSHA is requested one additional CA FGIV post. Moreover, EU-OSHA shall propose to another agency with limited IT resources to share the services in the field of cybersecurity through a Service level Agreement.

As a result, the Agency may count on a staff resource totalling 66 posts in 2023 (40 TA and 26 CA).

The Commission proposes to keep stable staffing, including external staff.

Financial Resources

Financial resources requested by the Agency are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2023 budget.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation (EUR 230 856). It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EU-OSHA anticipates that its staff resources will remain stable in 2023 except for one additional Contract Agent FGIV requested in order to allow the agency to comply with the forthcoming Cybersecurity regulation and achieve the required level of internal expertise. Should the contract staff be granted, EU-OSHA shall propose to another agency with limited IT resources to share the services in the field of cybersecurity through a Service level Agreement.

In order to contribute to further efficiency gains, the accounting function in EU-OSHA is performed by the Commission's accounting officer since July 2019. It is planned that this same function will be performed by the ETF's accountant from July 1, 2022 pending signature of a SLA between the two agencies.

EU-OSHA will make use of the opportunity for career development introduced by the Implementing Rule on recruitment of Contract Agents and the newly adopted policy derived from it on exceptional internal selection procedures for Contract Agents. The Agency's intention will therefore be to launch internal selection procedures to match available skills with the evolving actual needs of the Agency.

In 2023 therefore, the Agency assumes to count on a number of staff resources totalling 66 staff (40 TA headcount and 26 CA FTE).

4.1.2 Vacancy rate as of end 2021

The vacancy rate at the end of 2021 is 1,5% since 39 out of the 40 temporary agent posts and 25 out of the 25 contract agents were actually filled as of at 31/12/2021.

The non-occupied temporary agent post is the position of the Executive Director whose recruitment process continues in 2022.

4.1.3 Standard abatement ('abatement forfaitaire') applied

No abatement is applied since it is planned to have all posts filled.

4.1.4 Salary assumption for calculating salary line (% applied)

Salaries for OSHA staff in 2023 do consider:

- an Establishment Plan of 40 Temporary Agents fully occupied and the employment of 26 contract agents full time equivalent (+1FGIV);
- a minimal increase linked to annual reclassification exercise and increase in steps;
- the assumption that there will be a total 5,0 % yearly adaptation for both basic salary and Spanish weighting factor estimated at 99,0% in 2023 (considering 1. current salary weighting of 96,3% and 2. OCDE forecasts re inflation for Spain 2022 and 2023). Any adjustment (+/-) upon final rates shall be made versus the Title 3 of the expenditure.

Compared to 2022, it represents an increase of EUR 468 600.

4.1.5 Correction coefficient used

Estimated weighting factor 2023: 99,0%

Any adjustment (+/-) upon final rate shall be made versus the Title 3 of the expenditure.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Title 1 is proposed at EUR 7 934 000 in order to cover an Establishment Plan of 40 Temporary Agents and the employment of 26 contract agents (+1 FGIV) full time equivalent and respective promotion (increase in steps) and reclassification exercise.

The assumption is based on:

- the assumption that there will be a total 5,0 % yearly adaptation for both basic salary and Spanish weighting factor estimated at 99,0% in 2023 (considering 1. current salary weighting 2022 of 96,3% and 2. OCDE forecasts re inflation for Spain 2022 and 2023). Any adjustment (+/-) upon final rates shall be made versus the Title 3 of the expenditure;

- other costs & related services (e.g. learning & development policy, shared services DGHR/PMO, outsourcing of accounting function to ETF), support (interim staff), specific recruitment/installation related cost, social expenditure and traineeships.

Compared to 2022, it represents a total increase of € 537 300.

4.2.2 Title 2

The total appropriations for the Title 2 of the expenditure is proposed at EUR 1 600 500. Compared to 2022, it represents an increase of EUR 45 200 basically for anticipating ICT policy implementation and index price increase for building, facilities running costs.

4.2.3 Title 3

The appropriations for Title 3 finance the operational expenditure on the activities defined in EU-OSHA's draft Single Programming Document 2023-2025. The activities fall within six strategic priority areas defined in the Agency's Multi-annual Strategic Programme. Key expenditures in 2023 are the Healthy Workplaces Campaign on Digitalisation and the awareness raising actions in relation to the mission of EU-OSHA. Within the research area, the main expenditures are for the fourth edition of the workplace survey on OSH (ESENER) and for OSH Overviews on Supporting Compliance, on Psychosocial Risks and on the Healthcare Sector.

Title 3 is adjusted in line with the limitations of resources and reflects the operational priorities, as detailed in the draft Single Programming Document 2023-2025

The total appropriations requested for the title 3 of the agency's expenditure is EUR 7 199 340.

4.3 Ad hoc grants and delegation agreements

EU-OSHA signed end of 2019 the contribution agreement IPA/2019/412-828 - "Preparatory measures for the future participation of IPA II beneficiaries in the network of the European Agency for Safety and Health at Work". The objective of the action is to ensure that the IPA II beneficiaries have the capacity to participate effectively in the network and activities of EU-OSHA by supporting the approximation to and adoption of the EU acquis. It is in line with the set objectives of Component 2 of the Action 4 of the Multi Country Action Programme 2018. It corresponds as well with the dedication of the Commission to support the Candidate Countries in their efforts to align their OSH legislation with EU law, as stated in the EU Strategic Framework on Health and Safety at Work. The proposed action is for an operational period 17/12/19 – 16/12/2022, has a total budget EUR 399 584 and finances the employment of a contract agent FGIV for the management of the project. The contribution agreement IPA/2019/412-828 is to be seen as the continuation of previous similar initiatives ensuring sustainability. It is the fifth intervention in a row of IPA funded actions (contracts: 2009/205-943; 2011/273-995; 2015/367-495 and 2017/390-035).

The project 2017/390-035 was completed in 2020 (final report) and is expected to be closed by DGNEAR in 2022 returning EUR 7 271 to the European commission.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-----------------------------------------------------|----------------------------------|------------|--------------|-----------------|------------------------------------------------------------|------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Miribilla Building | c/ Santiago de Compostela 12 E-48003 Bilbao - SPAIN | 2 360 | 387 | 2 747 | 350 000 | 8 years and afterwards automatic renewal on a yearly basis | Renting contract | 100 100 | |
| 2 | Multiburo - NCI SA | Square de Meeus 38/40 B-1000 Brussels - BELGIUM | 30 | | 30 | 51 000 | Renewal on a yearly basis | Renting contract | | |
| TOTAL | | | 2 390 | 387 | 2 777 | 401 000 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

Schooling is a key factor in fostering multilingualism and enabling EU-OSHA to attract and retain qualified staff members. There is no European school at the Agency's seat, Bilbao. Consequently, the Agency reimburses pays the education allowances in line with the provisions of the Staff Regulations. No extra allowance is allocated to its staff in this regard.

In addition to local schools in Spanish and Basque language, international schools are located within the city and its outskirts; these help the Agency to attract a more diverse workforce although the offer is still relatively limited. The Agency is committed to continue fostering its multilingual environment and providing a multicultural education for the children of its staff. The Agency continues to look at possible measures and actions similar to what is being done in other agencies (i.e. additional financial support as social measure to compensate for extremely high cost of international schools), although the number of its staff and new recruits limits very much the options available.

5.3 Evaluation

In April 2019, the Commission published its Staff Working Document (SWD)¹ on the evaluation of the four agencies (EU-OSHA, Eurofound, ETF and Cedefop) in the policy field of DG EMPL. The conclusions on EU-OSHA are very positive and the good performance of EU-OSHA is recognised throughout the evaluation and the SWD. However, the SWD includes a number of recommendations – most of them directed at the four agencies in common, and a few directly targeted at the individual agencies. The Management Board of EU-OSHA adopted the following action plan in January 2020 which was amended in June 2020. The action plan has been fully implemented. The detailed status as of December 2021 is included in the draft Single Programming Document 2023-2025.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency receives an annual subsidy of EUR 100 100 from local and national authorities | Annual contribution of local authorities from kingdom of Spain (EUR 60 100) and Basque Regional Government (EUR 40 000) | |
| Seat agreement | <p>A seat agreement has been signed with the Kingdom of Spain on 31 March 2014 foresees a maximum EUR 100 000 (but actually EUR 60 100) per year as a contribution to rent related expenditure.</p> <p>The Agency's staff is accredited with the Spanish Ministry of Foreign Affairs with non-diplomatic status with the exception of the Executive Director.</p> | |
| VAT Exemption | Non Spanish staff members are entitled to VAT exemptions for purchases of goods and purchase of one new car during the first year of service. | |

1 Overview**1.1 Creation/modification (legal base)**

| Decision | Date | Mission / Tasks / Functions |
|----------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation 337/75 | 10 February 1975 | Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training |
| Regulation 1946/93 | 30 June 1993 | Council Regulation (EEC) No 1946/93 of 30 June 1993 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 1131/94 | 16 May 1994 | Council Regulation (EC) No 1131/94 of 16 May 1994 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 251/95 | 6 February 1995 | Council Regulation (EC) No 251/95 of 6 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 354/95 | 20 February 1995 | Council Regulation (EC) No 354/95 of 20 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 1655/2003 | 18 June 2003 | Council Regulation (EC) No 1655/2003 of 18 June 2003 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training and repealing Regulation (EEC) No 1416/76 |
| Regulation 2051/2004 | 25 October 2004 | Council Regulation (EC) No 2051/2004 of 25 October 2004 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training |
| Regulation 2019/128 | 16 January 2019 | Regulation (EU) 2019/128 of the European Parliament and of the Council of 16 January 2019, establishing a European Centre for the Development of Vocational Training (Cedefop) and repealing Council Regulation (EC) no 337/75 |

1.2 Seat

Thessaloniki - Greece

1.3 Budget Line

07 10 03 : European Centre for the Development of Vocational Training (Cedefop)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 49 | 48 | 97,96% | 49 | 49 |
| Assistants (AST) | 42 | 40 | 95,24% | 42 | 42 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 91 | 88 | 96,70% | 91 | 91 |
| Contract Agents (CA) | 25 | 18 | 72,00% | 25 | 25 |
| Seconded National Experts (SNE) | 4 | 3 | 75,00% | 4 | 4 |
| TOTAL STAFF | 120 | 109 | 90,83% | 120 | 120 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 18 360 000 | 19 077 326 |
| Other Revenue | 474 420 | 483 020 |
| TOTAL REVENUES | 18 834 420 | 19 560 346 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 11 679 320 | 11 679 320 | 12 322 046 | 12 322 046 |
| Title 2 - Infrastructure and operating expenditure | 1 849 600 | 1 849 600 | 1 984 100 | 1 984 100 |
| Title 3 - Operational expenditure | 5 305 500 | 5 305 500 | 5 254 200 | 5 254 200 |
| TOTAL EXPENDITURE | 18 834 420 | 18 834 420 | 19 560 346 | 19 560 346 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 3 | | 3 | | 4 | | 4 | | 4 |
| AD 12 | 3 | 10 | 3 | 10 | 3 | 10 | 2 | 11 | 2 | 11 |
| AD 11 | | 8 | | 8 | | 9 | | 9 | | 9 |
| AD 10 | | 9 | | 9 | | 9 | | 9 | | 9 |
| AD 9 | | 7 | | 6 | | 7 | | 7 | | 7 |
| AD 8 | | 4 | | 4 | | 2 | | 2 | | 2 |
| AD 7 | | 3 | | 3 | | 2 | | 2 | | 2 |
| AD 6 | | | | | | 1 | | 1 | | 1 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | 3 | 46 | 3 | 45 | 3 | 46 | 2 | 47 | 2 | 47 |
| AST 11 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AST 10 | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 3 | 1 | 3 |
| AST 9 | 2 | 4 | 2 | 4 | 3 | 5 | 3 | 5 | 3 | 5 |
| AST 8 | 2 | 4 | 2 | 4 | 1 | 6 | 2 | 5 | 2 | 5 |
| AST 7 | 1 | 11 | 1 | 9 | | 10 | | 9 | | 9 |
| AST 6 | | 8 | | 8 | | 8 | | 8 | | 8 |
| AST 5 | | 6 | | 6 | | 4 | | 3 | | 3 |
| AST 4 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 6 | 36 | 6 | 34 | 5 | 37 | 6 | 36 | 6 | 36 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 9 | 82 | 9 | 79 | 8 | 83 | 8 | 83 | 8 | 83 |
| GRAND TOTAL | 91 | | 88 | | 91 | | 91 | | 91 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 5 | 5 | 6 | 6 |
| Function Group III | 6 | 1 | 5 | 5 |
| Function Group II | 11 | 9 | 11 | 11 |
| Function Group I | 3 | 3 | 3 | 3 |
| TOTAL | 25 | 18 | 25 | 25 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 3 | 4 | 4 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 18 000 000 | 18 360 000 | 18 727 200 | 19 077 326 | 3,91% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 195 379 | 127 001 | 193 956 | 193 956 | 52,72% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 491 900 | 464 420 | 473 020 | 473 020 | 1,85% |
| <i>- Of which EEA/EFYA (excl. Switzerland)</i> | 491 900 | 464 420 | 473 020 | 473 020 | 1,85% |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 10 000 | 10 000 | 10 000 | 10 000 | 0,00% |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 18 501 900 | 18 834 420 | 19 210 220 | 19 560 346 | 3,85% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 11 208 560 | 11 679 320 | 11 971 920 | 12 322 046 | 5,50% |
| Salaries & allowances | 10 430 375 | 10 829 820 | 11 083 420 | 11 433 546 | 5,57% |
| - <i>Of which establishment plan posts</i> | 9 072 563 | 9 451 782 | 9 639 749 | 9 989 875 | 5,69% |
| - <i>Of which external personnel</i> | 1 357 812 | 1 378 038 | 1 443 671 | 1 443 671 | 4,76% |
| Expenditure relating to Staff recruitment | 158 355 | 175 000 | 175 000 | 175 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 601 | 35 000 | 35 000 | 35 000 | 0,00% |
| Socio-medical infrastructure | 181 325 | 199 000 | 218 000 | 218 000 | 9,55% |
| Training | 96 754 | 100 000 | 120 000 | 120 000 | 20,00% |
| External Services | 332 180 | 325 000 | 325 000 | 325 000 | 0,00% |
| Receptions, events and representation | 7 970 | 15 500 | 15 500 | 15 500 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 851 578 | 1 849 600 | 1 984 100 | 1 984 100 | 7,27% |
| Rental of buildings and associated costs | 602 958 | 661 000 | 751 000 | 751 000 | 13,62% |
| Information, communication technology and data processing | 915 037 | 747 600 | 782 600 | 782 600 | 4,68% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 12 424 | 14 000 | 14 000 | 14 000 | 0,00% |
| Current administrative expenditure | 169 338 | 247 500 | 259 000 | 259 000 | 4,65% |
| Postage / Telecommunications | 151 621 | 175 500 | 172 500 | 172 500 | -1,71% |
| Meeting expenses | 200 | 4 000 | 5 000 | 5 000 | 25,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 441 762 | 5 305 500 | 5 254 200 | 5 254 200 | -0,97% |
| Transversal activities | 199 542 | 391 000 | 353 000 | 353 000 | -9,72% |
| Research and Policy Analysis | 2 359 503 | 839 000 | 459 900 | 459 900 | -45,18% |
| Enhanced Cooperation in VET and LLL | 2 246 852 | 3 465 500 | 3 847 300 | 3 847 300 | 11,02% |
| Information, Communications and Dissemination | 635 865 | 610 000 | 594 000 | 594 000 | -2,62% |
| TOTAL | 18 501 900 | 18 834 420 | 19 210 220 | 19 560 346 | 3,85% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 11 208 560 | 11 679 320 | 11 971 920 | 12 322 046 | 5,50% |
| Salaries & allowances | 10 430 375 | 10 829 820 | 11 083 420 | 11 433 546 | 5,57% |
| - <i>Of which establishment plan posts</i> | <i>9 072 563</i> | <i>9 451 782</i> | <i>9 639 749</i> | <i>9 989 875</i> | <i>5,69%</i> |
| - <i>Of which external personnel</i> | <i>1 357 812</i> | <i>1 378 038</i> | <i>1 443 671</i> | <i>1 443 671</i> | <i>4,76%</i> |
| Expenditure relating to Staff recruitment | 158 355 | 175 000 | 175 000 | 175 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 601 | 35 000 | 35 000 | 35 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 181 325 | 199 000 | 218 000 | 218 000 | 9,55% |
| Training | 96 754 | 100 000 | 120 000 | 120 000 | 20,00% |
| External Services | 332 180 | 325 000 | 325 000 | 325 000 | 0,00% |
| Receptions, events and representation | 7 970 | 15 500 | 15 500 | 15 500 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 851 578 | 1 849 600 | 1 984 100 | 1 984 100 | 7,27% |
| Rental of buildings and associated costs | 602 958 | 661 000 | 751 000 | 751 000 | 13,62% |
| Information, communication technology and data processing | 915 037 | 747 600 | 782 600 | 782 600 | 4,68% |
| Movable property and associated costs | 12 424 | 14 000 | 14 000 | 14 000 | 0,00% |
| Current administrative expenditure | 169 338 | 247 500 | 259 000 | 259 000 | 4,65% |
| Postage / Telecommunications | 151 621 | 175 500 | 172 500 | 172 500 | -1,71% |
| Meeting expenses | 200 | 4 000 | 5 000 | 5 000 | 25,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 5 315 164 | 5 305 500 | 5 254 200 | 5 254 200 | -0,97% |
| Transversal activities | 202 706 | 391 000 | 353 000 | 353 000 | -9,72% |
| Research and Policy Analysis | 1 578 210 | 839 000 | 459 900 | 459 900 | -45,18% |
| Enhanced Cooperation in VET and LLL | 2 729 520 | 3 465 500 | 3 847 300 | 3 847 300 | 11,02% |
| Information, Communications and Dissemination | 804 728 | 610 000 | 594 000 | 594 000 | -2,62% |
| TOTAL | 18 375 302 | 18 834 420 | 19 210 220 | 19 560 346 | 3,85% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 193 957

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2023, Cedefop proposes to maintain a stable number of establishment plan posts in 2023 compared to the budget 2022 (91 posts) which is fully supported by the Commission.

Financial Resources

Financial resources requested by the Agency are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2023 budget.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation (EUR 350 126). It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Cedefop's establishment plan foresees 91 posts for 2023.

Cedefop's request for the year 2023 is in line with the Commission's Working Document on Decentralised Agencies for the MFF 2021-27. However, these ceilings restrict the ability of the Agency to fulfil its mandate.

The human resources planning for 2023 includes 4 SNE and 25 Contract Agents.

4.1.2 Vacancy rate as of end 2021

88 out of 91 establishment plan posts were filled in as of 31/12/2021. This represents a vacancy rate of 3%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Based on past experience, historical turn-over rate and recruitment plans to replace staff departing, an abatement rate of 2% was applied to the budget estimate on Title 1 costs.

4.1.4 Salary assumption for calculating salary line (% applied)

For the purposes of salary projections, Cedefop has used as a basis an increase ratio of 2% which accounts for the annual pay update for 2022 and should be compared to the ratio of salary indexation in effect as of July 2021. The 2021 salary indexation with an increase in inflation of +1.9 and an increase of the weighting factor for Greece of +3.8 has had an impact on the 2023 budget projections, which had to be increased significantly. The Agency foresaw also the replacement of staff retiring with new staff recruited at entry levels. In 2023, 3 staff members are planned to retire compared to 4 in 2022.

4.1.5 Correction coefficient used

The evolution of the weighting factor has become increasingly unpredictable in recent years and experience is no longer a reliable guide. This illustrates the vulnerability of budgetary planning and management to external factors which are outside Cedefop's control. For the purposes of salary projections, Cedefop used the weighting factor in place in December 2021 (i.e. 85,2%).

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1, the estimate for 2023 is based on the provisions for the establishment plan and its occupation. It takes into account new recruitments, turnover and retirements, and the staff resources needed for the implementation of Cedefop's activities, thus representing an increase of 2,51% in 2023 compared to 2022. Salary and allowance costs are calculated based on the December 2021 salaries, increased by salary indexation impact, and include estimates for staff career progression (automatic step increase, promotion and reclassification exercises). However, the increase does not include any likely change in the weighting factor for Greece having an impact on salaries and allowances. Natural increases in staff costs imply an increasing pressure on the overall budget given that any cap imposed by the MFF needs to be respected and most staff costs are inelastic. In consequence, Cedefop will have to absorb any increase in staff expenditure by refocusing and further reducing its activities and deliverables (negative priorities) in Titles 2 and 3.

4.2.2 Title 2

For the year 2023, budget in Title 2 is planned to increase by about 7,27% in comparison to 2022. This amount includes investments in new technologies, aiming at implementing Cedefop's new ICT strategy, aligning the IT landscape of the Agency with other EU institutions, deploying modern IT solutions for collaboration and knowledge sharing both internally and with external stakeholders and creating efficiency improvements through digitisation of all processes and smart working. Such investments in digitalisation are expected to be recouped in the following years and to generate higher efficiency and productivity enhancements. At the same time, an investment in photovoltaic collectors is planned in order to implement a key strategic objective of the Agency, aiming at reducing the energy consumption and emissions' footprints.

4.2.3 Title 3

For Title 3, there is a slight decrease of budget by -0,97% in 2023, compared to 2022. The trend for the following years will be reversed. To keep its operational budget stable in real terms, the Agency will need to crop on the efficiency gains created and apply a staff policy which will maintain a balance between attractiveness as an employer and savings in staff costs whenever possible. In addition, the Agency's effort is focused in using any underspending in other Titles to fund core operational projects, by applying rigorous budget execution monitoring and transferring such amounts to Title 3 appropriations during the year.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|------------------|---------------------|----------------|--------------|-----------------|----------|--------------|----------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Cedefop building | Thessaloniki | 4 550 | 5 015,28 | 9 565,28 | | | | Building granted by host country | 1 419 652 |
| 2 | Cedefop office | Liaison Brussels | 25,6 | 17,12 | 42,72 | 17 326 | | SLA with OIB | | |
| TOTAL | | | 4 575,6 | 5 032,4 | 9 608 | 17 326 | | | | 1 419 652 |

5.1.2 Current building(s) Other comments

The total surface of Thessaloniki office is 9 565,28 m2. It is a four-storey building, set in its own grounds on the outskirts of the city of Thessaloniki, and bears a net Book Value of EUR 1,42 million as per 2021 provisional annual accounts. The Agency rents an office space of 25,60 m2 in the European Commission, Rue de Spa 3 (SPA3 building). The lease is governed by an SLA signed with OIB.

5.1.3 Building projects in the planning phase

N/AI

5.1.4 Building projects submitted to the European Parliament and the Council

N/AI

5.2 European Schools

There is no European School in Thessaloniki. In its absence, Cedefop implements specific policies to support schooling of staff's children

5.3 Evaluation

The last periodic external evaluation of Cedefop required by the financial regulation was completed in 2018. Carried out by DG Employment, the evaluation assessed Cedefop individually as well as in a cross-cutting perspective vis-à-vis the other Agencies falling under the remit of DG Employment i.e. Eurofound, ETF and EU-OSHA. Cedefop is following up on resulting recommendations. The resulting Commission's Staff Working Document (SWD (2019)159) was published on 9 April 2019. The outcomes of the cross-agency evaluation were generally positive while also highlighting areas for improvement. It confirmed the good quality of the Agencies' work despite budgetary and HR constraints, their alignment to the EU agenda and the uniqueness of their outputs/ services. Potential overlaps at the level of the Agencies' mandate did not occur in practice. It was also clear that there would be no overlaps with the recently established European Labour Authority (ELA).

Cedefop's action plan as a follow-up to the Commission's SWD was discussed with its Management Board and transmitted to DG EMPL on 11 October 2019 and became final on 7 November 2019. DG EMPL welcomed in particular the actions, including precise deadlines, addressing the Cedefop-specific recommendations, as well as the cooperation with the other Agencies concerned.

The progress made in implementing the agreed actions was discussed with the Extended Executive Board at its meetings on 29-30 June 2020 and 24 June 2021 and with the Management Board at its meetings on 8-9 October 2020 and 7-8 October 2021. One recommendation with two actions were fully implemented, and their closure agreed by DG EMPL on 5 August 2020. The implementation of the remaining recommendations is progressing and the two pending actions are expected to be implemented by end 2022.

In accordance with Article 29.1 of Cedefop's Financial Regulation (FR), Cedefop undertakes both ex-ante and ex-post evaluations of all programmes and activities which entail significant spending. Cedefop follows the European Commission's Guidelines for Evaluation and applies evaluation requirements and EU guidelines in a multi-annual perspective to implement a coherent set of evaluation and control activities. The latter are reflected in Cedefop's revised Evaluation Policy which was adopted by the Executive Director on 14 November 2019. Outcomes of evaluations and controls are presented regularly to the Executive Board, Management Board, the European Commission (in line with Art. 11 paragraph 5 point (k) of Cedefop's Regulation), in the CAAR and the Annual Report. A consolidated action plan – including actions envisaged, responsibilities, deadlines and status of implementation – is prepared and monitored by the Internal Control Coordinator and made available to all staff on the Agency's Intranet.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| | Protocol of privileges and immunities of the European Union | Education / day care |
| The Agency has the status of a diplomatic mission in Greece – only the two official cars and the private cars of the Director and Deputy Director can obtain a diplomatic car plate; yearly VAT exemption is only granted to the Agency (in line with the protocol) and not to staff members. | As regards VAT exemption for staff, the Protocol on privileges and immunities is applicable to EU staff, except for those who were resident in Greece before employment in the Agency. VAT and customs duties exemption applies to such staff during one year from their taking up functions at Cedefop, as provided for in the headquarters Agreement. | Nothing provided by the state of the host country. |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EC) No 168/2007 | 15/02/2007 | <p>Collect, record, analyse and disseminate relevant, objective, reliable and comparable information and data, including results from research and monitoring communicated to it by Member States, Union institutions as well as bodies, offices and agencies of the Union, research centres, national bodies, non-governmental organisations, third countries and international organisations and in particular by the competent bodies of the Council of Europe;</p> <p>Develop methods and standards to improve the comparability, objectivity and reliability of data at European level, in cooperation with the Commission and the Member States;</p> <p>Carry out, cooperate with or encourage scientific research and surveys, preparatory studies and feasibility studies, including, where appropriate and compatible with its priorities and its annual work programme, at the request of the European Parliament, the Council or the Commission;</p> <p>Formulate and publish conclusions and opinions on specific thematic topics, for the Union institutions and the Member States when implementing Community law, either on its own initiative or at the request of the European Parliament, the Council or the Commission;</p> <p>Publish an annual report on fundamental rights issues covered by the areas of the Agency's activity, also highlighting examples of good practice;</p> <p>Publish thematic reports based on its analysis, research and surveys;</p> <p>Develop a communication strategy and promote dialogue with civil society, in order to raise public awareness of fundamental rights and actively inform about its work.</p> |
| COUNCIL DECISION (EU) 2017/2269 of 7 December 2017 establishing a Multiannual Framework for the European Union Agency for Fundamental Rights for 2018–2022 | 07/12/2017 | <p>The Agency shall, in accordance with Article 3 of Regulation (EC) No 168/2007, carry out the tasks defined in Article 4(1) of Regulation (EC) No 168/2007 within following thematic areas as these are defined in the Council Decision (EU) 2017/2269 of 7 December 2017 establishing a Multiannual Framework for the European Union Agency for Fundamental Rights:</p> <ul style="list-style-type: none"> a. victims of crime and access to justice; b. equality and discrimination based on any ground such as sex, race, colour, ethnic or social origin, genetic features, language, religion or belief, political or any other opinion, membership of a national minority, property, birth, disability, age or sexual orientation, or on the grounds of nationality; c. information society and, in particular, respect for private life and protection of personal data; d. judicial cooperation, except in criminal matters; e. migration, borders, asylum and integration of refugees and migrants; f. racism, xenophobia and related intolerance; g. rights of the child; h. integration and social inclusion of Roma. |
| Disclaimer | 2022 | <p>Note that the Council of the European Union agreed in 2021 to revise the founding regulation (see the proposal of the European Commission in this regard as presented on 5.6.2020, COM/2020/225 final). Besides technical adaptations the text of the new regulation explicitly includes the former third pillar in the agency's mandate and removes the need for the Council to adopt every five year a Multiannual framework. The new regulation is expected to enter into force in the course of 2022. With its the entry into force there will be no longer any Council decision establishing a 5 year multiannual framework for the agency (the current MAF is the third Council decision in this regard and runs from 2018 to 2022).</p> |

1.2 Seat

Vienna, Austria

1.3 Budget Line

07 10 04 : European Union Agency for Fundamental Rights (FRA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 48 | 44 | 91,67% | 48 | 48 |
| Assistants (AST) | 24 | 23 | 95,83% | 24 | 24 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 72 | 67 | 93,06% | 72 | 72 |
| Contract Agents (CA) | 32 | 29 | 90,62% | 32 | 34 |
| Seconded National Experts (SNE) | 9 | 6 | 66,67% | 9 | 9 |
| TOTAL STAFF | 113 | 102 | 90,27% | 113 | 115 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 23 748 170 | 24 660 395 |
| Other Revenue | 547 000 | 558 000 |
| TOTAL REVENUES | 24 295 170 | 25 218 395 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 14 735 000 | 14 735 000 | 15 348 395 | 15 348 395 |
| Title 2 - Infrastructure and operating expenditure | 2 451 000 | 2 451 000 | 2 616 000 | 2 616 000 |
| Title 3 - Operational expenditure | 7 109 170 | 7 109 170 | 7 254 000 | 7 254 000 |
| Title 4 - Other operational expenditure | | | | |

| Expenditure | 2022 | | 2023 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 24 295 170 | 24 295 170 | 25 218 395 | 25 218 395 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 4 | | 1 | | 4 | | 3 | | 3 |
| AD 13 | | 3 | | 2 | | 3 | | 3 | | 3 |
| AD 12 | | 4 | | 3 | | 1 | | 1 | | 1 |
| AD 11 | | 7 | | 4 | | 6 | | 6 | | 6 |
| AD 10 | | 12 | | 5 | | 11 | | 12 | | 12 |
| AD 9 | | 9 | | 9 | | 9 | | 10 | | 10 |
| AD 8 | | 5 | | 12 | | 10 | | 9 | | 9 |
| AD 7 | | 3 | | 5 | | 3 | | 1 | | 1 |
| AD 6 | | | | 2 | | | | 9 | | 1 |
| AD 5 | | | | | | | | 2 | | 1 |
| AD TOTAL | | 48 | | 44 | | 48 | | 57 | | 48 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 4 | | | | 3 | | 4 | | 4 |
| AST 9 | | 3 | | 4 | | 3 | | 2 | | 2 |
| AST 8 | | 4 | | 2 | | 3 | | 3 | | 3 |
| AST 7 | | 5 | | 5 | | 5 | | 6 | | 6 |
| AST 6 | | 6 | | 8 | | 7 | | 7 | | 7 |
| AST 5 | | 2 | | 1 | | 3 | | 2 | | 2 |
| AST 4 | | | | 3 | | | | | | |
| AST 3 | | | | | | | | 1 | | |
| AST 2 | | | | | | | | 2 | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 24 | | 23 | | 24 | | 27 | | 24 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 72 | | 67 | | 72 | | 84 | | 72 |
| GRAND TOTAL | 72 | | 67 | | 72 | | 84 | | 72 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 20 | 16 | 20 | 21 |
| Function Group III | 10 | 10 | 10 | 11 |
| Function Group II | 2 | 3 | 2 | 2 |
| Function Group I | | | | |
| TOTAL | 32 | 29 | 32 | 34 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 9 | 6 | 9 | 9 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 23 920 698 | 23 748 170 | 24 223 000 | 24 660 395 | 3,84% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 171 003 | 113 780 | 85 270 | 85 270 | -25,06% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 566 982 | 547 000 | 558 000 | 558 000 | 2,01% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 193 982 | | | | |

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| - Of which candidate countries | 373 000 | 547 000 | 558 000 | 558 000 | 2,01% |
| 4 OTHER CONTRIBUTIONS | 193 925 | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 24 681 605 | 24 295 170 | 24 781 000 | 25 218 395 | 3,80% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 13 456 221 | 14 735 000 | 15 074 000 | 15 348 395 | 4,16% |
| Salaries & allowances | 12 002 497 | 12 628 000 | 12 843 000 | 13 142 395 | 4,07% |
| - Of which establishment plan posts | 9 289 068 | 9 367 000 | 9 537 000 | 9 729 925 | 3,87% |
| - Of which external personnel | 2 713 429 | 3 261 000 | 3 306 000 | 3 412 470 | 4,64% |
| Expenditure relating to Staff recruitment | 47 718 | 215 000 | 255 000 | 230 000 | 6,98% |
| Employer's pension contributions | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | 6 844 | 97 000 | 100 000 | 100 000 | 3,09% |
| Socio-medical infrastructure | 31 503 | 75 000 | 75 000 | 75 000 | 0,00% |
| Training | 233 340 | 225 000 | 235 000 | 235 000 | 4,44% |
| External Services | 62 267 | 133 000 | 145 000 | 145 000 | 9,02% |
| Receptions, events and representation | 918 | 3 000 | 3 000 | 3 000 | 0,00% |
| Social welfare | 1 051 670 | 1 289 000 | 1 348 000 | 1 348 000 | 4,58% |
| Other Staff related expenditure | 19 464 | 70 000 | 70 000 | 70 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 2 178 142 | 2 451 000 | 2 616 000 | 2 616 000 | 6,73% |
| Rental of buildings and associated costs | 1 309 407 | 1 391 000 | 1 571 000 | 1 571 000 | 12,94% |
| Information, communication technology and data processing | 654 602 | 718 000 | 739 000 | 739 000 | 2,92% |
| Movable property and associated costs | 1 500 | 67 000 | 32 000 | 32 000 | -52,24% |
| Current administrative expenditure | 38 801 | 85 000 | 75 000 | 75 000 | -11,76% |
| Postage / Telecommunications | 123 926 | 140 000 | 149 000 | 149 000 | 6,43% |
| Meeting expenses | 6 322 | 13 000 | 14 000 | 14 000 | 7,69% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 1 025 | 2 000 | 2 000 | 2 000 | 0,00% |
| Studies | 42 559 | 35 000 | 34 000 | 34 000 | -2,86% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 9 052 238 | 7 109 170 | 7 091 000 | 7 254 000 | 2,04% |
| Fair and equal societies | 3 550 711 | 1 558 670 | 2 186 000 | 2 349 000 | 50,71% |
| Just, digital and secure societies | 1 335 832 | 1 818 500 | 1 365 000 | 1 365 000 | -24,94% |
| Migration and asylum | 1 076 656 | 885 000 | 800 000 | 800 000 | -9,60% |
| Supporting human rights protection systems | 1 209 182 | 1 437 000 | 1 310 000 | 1 310 000 | -8,84% |
| Communicating rights | 1 879 857 | 1 410 000 | 1 430 000 | 1 430 000 | 1,42% |
| Justice | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Horizontal operational activities | | | | | |
| Bodies of the Agency and consultation mechanisms | | | | | |
| Reserve for Title 3 | | | | | |
| Title 4 - Other operational expenditure | 121 135 | | | | |
| Cooperation Agreements | 121 135 | | | | |
| TOTAL | 24 807 736 | 24 295 170 | 24 781 000 | 25 218 395 | 3,80% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|----------------------------------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 13 266 154 | 14 735 000 | 15 074 000 | 15 348 395 | 4,16% |
| Salaries & allowances | 12 002 497 | 12 628 000 | 12 843 000 | 13 142 395 | 4,07% |
| - <i>Of which establishment plan posts</i> | 9 289 068 | 9 367 000 | 9 537 000 | 9 729 925 | 3,87% |
| - <i>Of which external personnel</i> | 2 713 429 | 3 261 000 | 3 306 000 | 3 412 470 | 4,64% |
| Expenditure relating to Staff recruitment | 16 703 | 215 000 | 255 000 | 230 000 | 6,98% |
| Employer's pension contributions | | | | | |
| Mission expenses | 6 844 | 97 000 | 100 000 | 100 000 | 3,09% |
| Socio-medical infrastructure | 30 755 | 75 000 | 75 000 | 75 000 | 0,00% |
| Training | 79 876 | 225 000 | 235 000 | 235 000 | 4,44% |
| External Services | 62 267 | 133 000 | 145 000 | 145 000 | 9,02% |
| Receptions, events and representation | 918 | 3 000 | 3 000 | 3 000 | 0,00% |
| Social welfare | 1 051 670 | 1 289 000 | 1 348 000 | 1 348 000 | 4,58% |
| Other Staff related expenditure | 14 624 | 70 000 | 70 000 | 70 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 1 917 326 | 2 451 000 | 2 616 000 | 2 616 000 | 6,73% |
| Rental of buildings and associated costs | 1 290 993 | 1 391 000 | 1 571 000 | 1 571 000 | 12,94% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Information, communication technology and data processing | 492 577 | 718 000 | 739 000 | 739 000 | 2,92% |
| Movable property and associated costs | 1 445 | 67 000 | 32 000 | 32 000 | -52,24% |
| Current administrative expenditure | 36 568 | 85 000 | 75 000 | 75 000 | -11,76% |
| Postage / Telecommunications | 72 496 | 140 000 | 149 000 | 149 000 | 6,43% |
| Meeting expenses | 6 322 | 13 000 | 14 000 | 14 000 | 7,69% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 1 025 | 2 000 | 2 000 | 2 000 | 0,00% |
| Studies | 15 900 | 35 000 | 34 000 | 34 000 | -2,86% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 380 815 | 7 109 170 | 7 091 000 | 7 254 000 | 2,04% |
| Fair and equal societies | 306 481 | 1 558 670 | 2 186 000 | 2 349 000 | 50,71% |
| Just, digital and secure societies | 279 509 | 1 818 500 | 1 365 000 | 1 365 000 | -24,94% |
| Migration and asylum | 172 135 | 885 000 | 800 000 | 800 000 | -9,60% |
| Supporting human rights protection systems | 303 563 | 1 437 000 | 1 310 000 | 1 310 000 | -8,84% |
| Communicating rights | 1 319 127 | 1 410 000 | 1 430 000 | 1 430 000 | 1,42% |
| Justice | | | | | |
| Horizontal operational activities | | | | | |
| Bodies of the Agency and consultation mechanisms | | | | | |
| Reserve for Title 3 | | | | | |
| Title 4 - Other operational expenditure | 390 085 | | | | |
| Cooperation Agreements | 390 085 | | | | |
| TOTAL | 17 954 380 | 24 295 170 | 24 781 000 | 25 218 395 | 3,80% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): 85,270.10 EUR

4 Justification of needs

Commission assessment

Human Resources

The Commission supports a moderate increase with regard to the status quo in 2022, that is, 72 temporary agents and 34 contract agents (an increase of 2 CAs – 1 FGIV and 1 FGIII).

The additional posts are linked to:

- One CA – for quantitative research data analysis encompassing all fundamental rights areas to support increasing demands for FRA to produce survey data at more regular intervals to EU institutions and Member States.
- One CA Project officer in the area of rule of law. For ensuring the follow-up to the Commission's Annual Rule of Law Report, at the request of Commissioner Reynders, FRA, together with JUST, is developing a concept for national level dialogues with civil society on the basis of the Commission's Report. These dialogues will be carried out with partners at national level (in particular, Commission Representations). The Commission's annual Rule of Law Report is a central instrument of the Commission's Rule of Law toolbox; ensuring proper follow-up to the Report at national level will be even more important in light of the recommendations to be included in future editions of the Report and also responds to clear demands from civil society. FRA will support these dialogues by providing outreach via its different fundamental rights relevant networks, cooperation and dialogues with civil society through its civil society network, expert speakers from FRA; data from relevant FRA research and its expertise on how to host participatory meetings.

Financial Resources

The Commission supports an indexation based on the 2022 voted budget. A stagnation would not allow the Agency to respond to increasing demands for data and information on the situation of fundamental rights in the Member States in areas such as migration, artificial intelligence, digitalisation, access to justice and procedural rights, in particular.

The proposed EU contribution for 2023 includes an increase (EUR 437 261) for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Three AD Project Managers, One AST Project Assistant and Two CA Project Officers – with skills on law enforcement, information security, and criminal justice for providing relevant support in the area of Police and Judicial Cooperation in Criminal Matters.

Through adoption of the new amendment of the FRA's Founding Regulation, it is expected that the Agency will be extensively requested to undertake new tasks under the new Regulation. Increased calls for the Agency to provide a fundamental right 'impact assessment' in areas relating to policing, judicial cooperation, criminal law and AI systems, requires FRA to have relevant experts in the field of digital tools for the investigation of and responses to crime. FRA assessed the impact of the amended Regulation vis-à-vis its in-house capacity to meet these new demands in areas of work in which it has had thus far only limited involvement. It is therefore imperative that the Agency is offered the possibility to increase its operational capacity by hiring profiles covering areas such as law enforcement, information security, and criminal justice.

Two AD Project managers and one CA Project Officer – with data science skills for new work on Artificial Intelligence and Fundamental Rights – encompassing all areas of the Agency's work.

Given the increased focus on AI related research and industry applications in the EU, alongside a new regulatory framework on AI and a new Digital Services Act – all of which have fundamental rights implications that go beyond data protection and privacy – the Agency is increasingly called on to provide its fundamental rights expertise and assessment; drawing on its published research work, for example on facial recognition and on discrimination in data supported decision-making, as well as its long-standing work with eu-LISA on biometric data collection and its applications. In order for the Agency to be relevant and fit for purpose for the digital age – it is essential that staff with the appropriate skillset are engaged to be able to develop and assess information and data relating to the Agency's planned work in this field. In the absence of staff with the required skills, the Agency will not be in a position to extend its research to encompass AI and related digital innovations as they affect all areas of its work – from asylum and migration, through to the rights of the child and hate crime.

Two AD Project managers and One AST project assistant – for quantitative research data analysis encompassing all fundamental rights areas to support increasing demands for FRA to produce survey data at more regular intervals to EU institutions and Member States.

The Agency's surveys provide unique, comparable data for the EU on experiences of discrimination in different areas of life, crime victimisation (including online and offline hate crime), reporting of incidents, and experiences of police stops and other services – data which is not collected by Eurostat. Alongside the Agency's surveys on Roma, other FRA surveys that are repeated at regular intervals include: the survey on discrimination and hate crime against Jews; the survey on immigrants and descendants of immigrants; and the LGBTI survey. During any given year the Agency is running – in parallel – four surveys at different stages. In the absence of an increase in positions to undertake survey research of a statistical nature, the Agency is envisaging having to discontinue one of its surveys in the coming period. This will mean – for one of the four surveys that FRA currently undertakes at regular intervals – that the EU does not have data on a specific population group with respect to their experience of fundamental rights in practice.

One CA Project Officer – with skills, knowledge, and expertise in the area of biometrics and data protection to support fundamental rights compliance within the new framework for interoperability between EU information systems. The post should bring in new skillsets in the area of technology, biometrics and law, particularly data protection, and will provide essential support for the Agency's research on the use of data and algorithms in the context of EU IT systems, with the aim to identify potential fundamental rights benefits as well as risk, such as discriminatory profiling.

The presence of the Agency as a member of the High Level Expert Group on information systems and interoperability, together with EDPS, is a clear indicator that the Agency is increasingly playing an important role in this area. Moreover, the Agency is also a Member of the ETIAS Fundamental Rights Guidance Board. FRA's work on preventing unlawful profiling, alongside projects on biometrics, surveillance, and artificial intelligence, provides a good basis for the Agency to ensure fundamental rights compliance within the framework. In order for FRA to provide the adequate opinions on the compliance of the interoperability framework against the fundamental rights, it is necessary that additional staff with appropriate skills in biometrics are engaged to be able to assess the information contained.

One AD Project manager – with skills in innovation and technology for law enforcement and border management purposes.

Once the establishment of the Steering Group for the EU Innovation Hub for Internal Security, to which the Agency should be associated, will be concluded, the Agency will be expected to provide substantive input to the work of the Steering Group and to further specific projects undertaken by different Agencies who are part of the Innovation Hub. Here, the Agency will be called on to provide expert input – supported by evidence – for large-scale research and operational projects, involving complex technical and legal issues. Adequate resources are needed for the FRA to meet expectations of the EU Institutions and other partners to look horizontally at 'fundamental rights compliance' with respect to the work of the Hub, and to provide fundamental rights assessments/input, especially with respect to large-scale and technically complex projects for which the Agency does not already have available research findings and expertise. For the Agency to engage at the level of detail needed with respect to specific projects, it is necessary to deploy additional staff resources with the necessary technical skills.

One CA FG Project officer – to be deployed in the hotspots (Greece and Italy) and represent FRA's ad hoc presence.

FRA currently provides targeted fundamental rights support on the ground in Greece and valuable input to the Commission and other EU Agencies - namely FRONTEX and EASO - as well as to the Greek authorities and is in the process of developing practical guidance and initiating training for key actors to ensure fundamental rights compliance. FRA staff who are deployed on mission to Greece currently provide expert advice with respect to: child protection (focusing on unaccompanied minors); the identification of vulnerable people; and the respect of procedural safeguards in asylum, detention, and return proceedings. These activities could be conducted also in other Member States, where needs are emerging, like Spain, Cyprus, Malta and Italy, to ensure the respect of fundamental rights, but the agency does not have currently enough human resources to cover this.

One AD project manager and One AST project assistant in the area of asylum and migration for supporting systematic training for a pool of forced return monitors and providing fundamental rights assistance and capacity-building support to FRONTEX.

In 2022 and beyond, the intensive work of FRA in the area of migration and asylum will continue. As also in the past, the working arrangements with FRONTEX, EASO and eu-LISA will enable these partners to benefit from FRA's fundamental rights expertise. In particular, the significantly increased size of FRONTEX and its expanded mandate in areas, where it is likely to encounter new fundamental rights-related challenges, as well as specific role for FRA in FRONTEX founding regulation, has already triggered more requests to FRA for support and need for even closer and more intensive cooperation. Notably FRA supports EBCGA in the establishment and requirement of Fundamental Rights Monitors, by developing monitoring methodologies, tools and trainings. Moreover, FRA already supports the training of a pool of forced return monitors to support FRONTEX in ensuring the participation of well-trained monitors in FRONTEX's joint forced return operations, as required by Article 8.6 of the Return Directive (2008/115/EC).

4.1.2 Vacancy rate as of end 2021

93.1% (67 posts out of 72 were occupied)

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

0.95% increase was applied on the current salary grid.

1.9% July 2021-June 2022

1.75% July 2022- December 2022

4.1.5 Correction coefficient used

The current correction coefficient was used (109.6%).

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title I amounts to €15,074,000, which corresponds to a 2.3% increase compared to 2022 final budget. This increase refers mainly to the increase of the salaries and the additional posts that have been estimated. The remainder of Title I is estimated based on actual needs taking into consideration, where appropriate, the 2021 executed budget, the total number of staff and their allowances.

4.2.2 Title 2

In 2023, the Agency expects to receive from the Austrian Authorities, on a yearly basis, the amount of €60,000 for the rent subsidy. Since this contribution is based on an exchange of letters and not on a legal basis (e.g. seat agreement), the Agency budgets the full rent cost for each year. The subsidy is only entered in the year's budget once it is cashed. However, it is used in the next financial year. For example, the 2020 rent subsidy was introduced in the 2020 budget in the form of an amending budget and was carried over for consumption in 2021.

Similarly, as soon as the Agency receives from the Austrian Authorities the rent subsidy for 2023, a reduction of C1 appropriations under the rent's budget line in 2023 will take place. The equivalent of C1 appropriations will be transferred to Title III. Therefore, the 2023 budget, at this stage, foresees the full rent costs.

This is the main reason for the difference under Title II where an increase of 11.6% compared to 2022 is shown. However, Title II is expected to remain at 2022 levels provided that the 2023 rent subsidy will be cashed.

4.2.3 Title 3

The Agency prepared its draft Annual Work Programme (AWP) for 2023 after having consulted its wide range of stakeholders (Council of Europe, National Human Rights Institutions, Scientific Committee, Equality Bodies, Ombudsperson, National Liaison Officers, Fundamental Rights Platform, International organisation and relevant EU Agencies). Based on this AWP the estimate of expenditure under Title III amounts to € 7,091,000 representing 29 % of the overall budget for the financial year 2023 which, within the relevant areas of work, will be used to implement the following activities:

A) Fair and Equal Societies

FRA's large-scale survey data collection will form a central pillar of the Agency's work in 2023 – both with respect to new survey research and the roll-out and reanalysis of findings from existing FRA surveys. Survey data continues to be collected by FRA in the absence of EU-wide and Member State data collection on specific groups in society and their experiences of fundamental rights in practice.

The Agency will have preliminary results ready from its EU Survey on Immigrants and Descendants of Immigrants as well as it will finalise and produce results from its third survey on antisemitism, which will build on FRA's previous surveys to provide data on trends over time with respect to the manifestation of antisemitism. As in previous years, the Agency will publish its annual data update on reporting on antisemitism, based on administrative and related sources at Member State level, which serves to complement FRA's survey data collection on antisemitism.

2023 also sees the Agency preparing for the next round of its surveys on Roma and on Immigrants and Descendants of Immigrants, with the bulk of fieldwork planned for 2024.

FRA will continue its close engagement with the EU High Level group on Non-discrimination, Equality and Diversity and will continue to support the Commission and the Member States in their efforts to implement the EU Strategy on the Rights of the Child, the Child Guarantee and other relevant policy instruments.

As requested in the Anti-Racism Action Plan, FRA will develop a capacity-building tool on good practices on fair policing, based upon the practices collected in 2022 on promoting anti-racism in policing.

B) Just, Digital and Secure Societies

With respect to the programme area ‘Just, Digital and Secure Societies’, the Agency’s data continues to fill a ‘knowledge gap’ that serves to inform the European Commission, and other key actors, about the implementation of law in practice with respect to both duty bearers and rights holders. For example, the Agency will continue its work on updating and extending the EU specific database on detention conditions with new data collection in 2023.

With respect to its long-standing work on victims of crime, in 2023 the Agency will continue to disseminate results from its extensive research on provision in practice for different groups of crime victims in the EU – in view of obligations under the Victims’ Rights Directive – which will be supported by evidence on specific groups’ experiences of crime victimisation, and reporting to the police and other services, from FRA’s survey research. FRA’s research findings and expertise will continue to inform the work of the Commission’s Victims’ Rights Platform (depending on the duration of its mandate), as established under the Victims’ Rights Strategy. FRA will continue to support the implementation of the Procedural Safeguards Directive through targeted technical assistance and capacity building activities regarding procedural safeguards for children as suspects or accused persons.

Following on from FRA’s first survey on Violence against Women, in 2023 FRA will continue its joint project with EIGE to fill the data gap in the on-going Eurostat data collection on violence against women, which is not covering all Member States. The joint FRA-EIGE project aims to ensure that data on violence against women is available for all EU Member States, which – in turn – should allow for a comparison of trends between the first round of FRA’s published data in 2014 and the current round in 2024.

Building further on the Agency’s work in the field of AI, findings from FRA’s project on online content moderation will be rolled out in 2023.

C) Migration and Asylum

FRA’s work in the area of migration will support a fundamental rights compliant implementation of the Pact on Asylum and Migration. Measures at borders to increase efficiency, particularly in the processing asylum claims and returns, raise significant fundamental rights risks. FRA will continue to stay responsive to fundamental rights challenges, such as the ones at the external borders of the EU.

FRA will provide fundamental rights expertise to EU institutions and agencies as well as EU Member States. This will cover key upstream activities in Brussels and agencies’ headquarters as well as expert advice provided at EU Member State level, including through temporary field deployments. FRA’s targeted fundamental rights assistance and expertise will pay particular attention to border management, access to asylum, the use of large-scale IT systems, exploitation of irregular migrants, as well as to children in migration (for example, on guardianship). FRA will also continue to support Schengen evaluations with its fundamental rights expertise. The Agency will publish the results of the project on the fundamental rights situation of long-term residents in the EU.

FRA will base its input on past research findings, complementing it with targeted new research and analysis where necessary. Identifying and addressing the specific needs of people at heightened risk of fundamental rights violations will remain at the core of FRA’s work.

D) Supporting Human Rights Systems

Under the ‘Supporting human rights systems’ programme, FRA conducts research, cooperates with international and national human rights bodies, and provides assistance to mechanisms and structures that reinforce rights compliance in the EU. In 2023, the Agency will initiate a new project in this area by mapping and tracking developments regarding the fundamental rights structure in Member States, building on past FRA work regarding the situation of statutory bodies with a human rights and equality remit.

In addition, FRA will further develop its European Union Fundamental Rights Information System (EFRIS), a searchable interface on international human rights instruments and monitoring mechanisms. FRA will maintain the Fundamental Rights Platform as an important forum for engagement with a wide range of civil society actors. FRA will continue supporting civil society work to protect and promote fundamental rights, through capacity-building and awareness-raising activities, and by releasing an annual update on civic space in the EU.

FRA will continue its targeted capacity-building on the EU Charter of Fundamental Rights, including by promoting the use of its online Charter learning and training material and updating its Charterpedia tool.

Building on research and good practice collection in 2022, the Agency will in 2023 provide assistance to national bodies involved in monitoring the fundamental rights compliance of EU funds. Finally, FRA will contribute to promoting and monitoring the rights of persons with disabilities as a member of the EU Framework required by Article 33 (2) of the Convention on the Rights of Persons with Disabilities.

E) Communicating Rights

Under the ‘Communicating rights’ programme, the Agency will further establish its smart way of communicating to maximise the effect of its findings, including its recommendations. To do so, it will draw on key FRA deliverables that report on the fundamental rights situation in the EU and its Member States, including in particular its annual Fundamental Rights Report. FRA will also continue to intensify its convening power to create a safe and inclusive space for dialogue on pressing human rights issues, bringing together key human rights actors and a truly diverse range of voices from across politics, civil society, businesses, trade unions, the arts and sports. The Agency will organise in 2023 for the fourth time its Fundamental Rights Forum as a two-day hybrid event in Vienna, Austria. As the Fundamental Rights Forum 2021, the Forum in 2023 will again be hybrid and reach out online. It will bring together a great number of participants in-person and online, ranging from grass-root practitioners, including a significant role for young people, to leading European policy- and lawmakers. The Forum provides a unique space for dialogue on the pressing human rights challenges in the European Union. Human rights take centre stage to continue building a vision of hope. Across all ages, sector and experiences, the Forum will host intense debates and workshops, capacity building, masterclasses and skills and knowledge exchange – in short, it will be the human rights marketplace of valuable new ideas, tools, connections and experiences in 2023.

4.3 Ad hoc grants and delegation agreements

The grants detailed below are not EU funded.

In December of 2017 the Agency signed an agreement with the Financial Mechanism Office (FMO) of the EEA and Norway Grants by which the Grants undertook to contribute up to € 1,500,000 towards FRA’s expenses in carrying out activities in the role of International Partner Organisation.

This role is articulated under three Programmes, under two of which the Agency already started cooperation with the Czech Republic (“Human rights, Roma Inclusion and Domestic and Gender-based Violence”) and Greece (“Roma Inclusion and Empowerment”) respectively. The Programmes are envisaged to end on 31 December 2024. The Agency receives the Grants’ contribution through half-yearly disbursements. Under this cooperation, the annual allocation the Agency expects to receive during 2023 is € 214,286. The Agency has currently employed 3 contract agents paid through the programme.

On 15 November 2021, the Agency signed a Project Partnership Agreement with the Greek Ombudsman (Promoter of the project “Greek Ombudsman actions for strengthening good governance, accountability and combating maladministration in the public sector” funded under the EEA Grants 2014-2021 Programme F “Good Governance, Accountable Institutions, Transparency”). As a project partner, FRA will contribute to build the human capacities of the Greek Ombudsman in the field of fundamental rights, including regarding the Greek Ombudsman’s cooperation with public services/authorities and civil society in regions across Greece, through advice and technical assistance, the building of the capacity of Ombudsman personnel, including through workshops/trainings, as well as increasing awareness about the preventive role of the Ombudsman in combating exclusion. This will be done by drawing on the work of the Agency and having a particular focus on the EU dimension and relevant good practice examples from across the EU. The total maximum eligible costs of the project relating to the project partnership agreement are € 702,000 out of which FRA implements € 294,028. FRA will receive the funding in advance payments, as agreed in the Project Partnership Agreement from which €191,118.20 correspond to 2022 and € 14,701.4 to 2023.

Another project with the Greek Ombudsman as the project promoter in the field of migration and asylum is planned for agreement in 2022. It will have a possible total financial impact of €1,247,200, from which €482,840 in 2022.

Also, a project with the Romanian Prosecutor’s Office as the project promoter in the field of hate crime and children victims of crime is planned for agreement in 2022. It will have a possible total financial impact of €799,995, of which €427,563 in 2022 and €231,217 in 2023.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------------------------------------------|----------------------------------|--------------|--------------|-----------------|------------------------------------------------------------------------------------------------|------|-------------------------------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | FRA building | Schwarzenbergplatz 11 AT-1040 Vienna Austria | 3 704 | 1 842 | 5 546 | 903 843 | Lease agreement for an initial period of 10 years with the provision for an indefinite period. | | Based on a letter of intent received from the Austrian authorities subsidise 120,000 EUR. | |
| TOTAL | | | 3 704 | 1 842 | 5 546 | 903 843 | | | | |

5.1.2 Current building(s) Other comments

It should be noted that the offices are accommodated in an old building which was renovated in 2008 keeping its original layout and does not provide any luxurious modern amenities. In addition, the price per m² for the net office space (i.e. 3704 m²) is on the lower end of the average prices in central Vienna areas.

5.1.3 Building projects in the planning phase

Considering the age of the building facilities, the Agency initiated discussions with the building owners regarding a possible modernisation of the facilities that will be primarily funded by the building owner. In exchange, the Agency foresees that it will agree to occupy the current premises for a number of years and after that there will be an applicable exit clause. This approach follows the same principle of the lease agreement signed in 2007 where following the requested reimbursement costs, the Agency agreed to occupy the premises for a period of 10 years. The discussions with the owner did not progress in 2021 as the Agency could not commit to the changes proposed by the building owner. Therefore, some minor building changes will be taking place to ensure compliance with modified local fire prevention regulations. The costs will be borne by the building owner.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

N/A

5.3 Evaluation

Evaluation activities focus on measurement at the level of short-term, long term and aspirational impact of the projects. Depending on the stage of the project's life cycle the evaluation activities are conducted, Ex-ante and Retrospective evaluations are performed.

Based on the Financial Rules of the Agency, projects and activities that entail significant spending shall be subject to ex-ante and retrospective evaluations ("evaluation"), which shall be proportionate to the objectives and expenditure.

- Ex-ante evaluations supporting the preparation of programmes and activities shall be based on evidence, if available, on the performance of related programmes or activities and shall identify and analyse the issues to be addressed, the added value of Union involvement, objectives, expected effects of different options and monitoring and evaluation arrangements.
- Retrospective evaluations shall assess the performance of the programme or activity, including aspects such as effectiveness, efficiency, coherence, relevance and EU added value. Retrospective evaluations shall be based on the information generated by the monitoring arrangements and indicators established for the action concerned. They shall be undertaken periodically and in sufficient time for the findings to be taken into account in ex-ante evaluations or impact assessments that support the preparation of related programmes and activities.

An evaluation plan for year 2023 will be prepared in 2022 and will be included in the Programming Document 2023 – 2025 expected to be adopted by FRA Management Board in December 2022.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency is recognised as an international organisation. | The Director, the Heads of Unit, the Heads of Sector and two designated senior staff members with grades AD9 or above, have been granted diplomatic status. They can claim VAT reimbursement and register tax free vehicles every two years. | In the absence of a European School and a European Sector In Austria the Agency has adopted two social measures: one regarding financial support to nursery schools and day care centres, and another one to Multi-lingual tuition for children of the Agency staff In Vienna. |
| The Agency enjoys VAT exemption. | The Agency's staff members (the remaining Temporary Agents and all Contract Agents) can register a tax free vehicle every four years. This excludes Austrian nationals. | - |
| No other privileges are offered to the Agency. | The Agency has been granted access to the UN Commissary, where staff members can purchase certain tax free items up to a limit based on their annual salary in accordance with the UN conditions. | - |

1 Overview**1.1 Creation/modification (legal base)**

| Decision | Date | Mission / Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EC)1922/2006 of the European Parliament and of the Council on establishing a European Institute for Gender Equality | 20/12/2006 | <p>The Institute's mission is to become the European competence centre on gender equality issues.</p> <p>The Institute has been designed to contribute to and strengthen the promotion of gender equality, including gender mainstreaming in all EU policies and the resulting national policies, and the fight against discrimination based on sex, and to raise EU citizens' awareness of gender equality by providing technical assistance to the EU institutions and the authorities of the Member States, which cannot be sufficiently achieved by the Member States and can therefore, by reason of the scale of the action, be better achieved at EU level, the EU may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary to achieve those objectives.</p> <p>The Institute shall collect, analyse and disseminate relevant objective, comparable and reliable information as regards gender equality, including results from research and best practice. The Institute shall set up and coordinate a European Network on Gender Equality, involving the centres, bodies, organisations and experts dealing with gender equality and gender mainstreaming.</p> <p>The Institute shall make information on gender mainstreaming available to public and private organisations; and provide information to the EU Institutions on gender equality and gender mainstreaming in the accession and candidate countries.</p> |

1.2 Seat

Vilnius, Lithuania

1.3 Budget Line

07 10 05 : European Institute for Gender Equality (EIGE)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 21 | 20 | 95,24% | 21 | 21 |
| Assistants (AST) | 6 | 6 | 100,00% | 6 | 6 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 27 | 26 | 96,30% | 27 | 27 |
| Contract Agents (CA) | 10 | 10 | 100,00% | 10 | 10 |
| Seconded National Experts (SNE) | 5 | 4 | 80,00% | 5 | 5 |
| TOTAL STAFF | 42 | 40 | 95,24% | 42 | 42 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 8 257 920 | 8 716 394 |
| Other Revenue | | |
| TOTAL REVENUES | 8 257 920 | 8 716 394 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 818 000 | 3 818 000 | 4 271 190 | 4 271 190 |
| Title 2 - Infrastructure and operating expenditure | 1 130 420 | 1 130 420 | 1 235 500 | 1 235 500 |
| Title 3 - Operational expenditure | 3 309 500 | 3 309 500 | 3 209 704 | 3 209 704 |
| TOTAL EXPENDITURE | 8 257 920 | 8 257 920 | 8 716 394 | 8 716 394 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | 1 | | 1 |
| AD 12 | | 2 | | 1 | | 2 | | | | |
| AD 11 | | 2 | | | | 2 | | 3 | | 3 |
| AD 10 | | 4 | | 3 | | 4 | | 1 | | 1 |
| AD 9 | | 2 | | 1 | | 2 | | 3 | | 3 |
| AD 8 | | 4 | | 4 | | 4 | | 5 | | 5 |
| AD 7 | | 3 | | 5 | | 3 | | 4 | | 4 |
| AD 6 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AD 5 | | | | 2 | | | | | | |
| AD TOTAL | | 21 | | 20 | | 21 | | 21 | | 21 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | 1 | | | | 1 | | | | |
| AST 7 | | 2 | | | | 2 | | 3 | | 3 |
| AST 6 | | 2 | | 3 | | 2 | | 1 | | 1 |
| AST 5 | | | | 1 | | | | 1 | | 1 |
| AST 4 | | | | 1 | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 27 | | 26 | | 27 | | 27 | | 27 |
| GRAND TOTAL | | 27 | | 26 | | 27 | | 27 | | 27 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 3 | 3 | 3 |
| Function Group III | 5 | 5 | 5 | 5 |
| Function Group II | 2 | 2 | 2 | 2 |
| Function Group I | | | | |
| TOTAL | 10 | 10 | 10 | 10 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 5 | 4 | 5 | 5 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 8 692 878 | 8 257 920 | 8 592 000 | 8 716 394 | 5,55% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 141 250 | 274 827 | 122 337 | 122 337 | -55,49% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 8 692 878 | 8 257 920 | 8 592 000 | 8 716 394 | 5,55% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 173 509 | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 173 509 | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 3 773 881 | 3 818 000 | 4 146 796 | 4 271 190 | 11,87% |
| Salaries & allowances | 3 389 116 | 3 399 000 | 3 862 334 | 3 986 728 | 17,29% |
| - <i>Of which establishment plan posts</i> | 2 581 943 | 2 589 000 | 2 697 912 | 2 784 804 | 7,56% |
| - <i>Of which external personnel</i> | 807 173 | 810 000 | 1 164 422 | 1 201 924 | 48,39% |
| Expenditure relating to Staff recruitment | 17 146 | 14 500 | 13 500 | 13 500 | -6,90% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 077 | 41 500 | 25 000 | 25 000 | -39,76% |
| Socio-medical infrastructure | 115 968 | 120 000 | 123 562 | 123 562 | 2,97% |
| Training | 51 858 | 65 000 | 65 000 | 65 000 | 0,00% |
| External Services | 189 098 | 169 000 | 48 400 | 48 400 | -71,36% |
| Receptions, events and representation | 9 618 | 9 000 | 9 000 | 9 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 942 436 | 1 130 420 | 1 235 500 | 1 235 500 | 9,30% |
| Rental of buildings and associated costs | 529 933 | 612 600 | 569 600 | 569 600 | -7,02% |
| Information, communication technology and data processing | 220 098 | 222 000 | 330 000 | 330 000 | 48,65% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 998 | 2 000 | 7 000 | 7 000 | 250,00% |
| Current administrative expenditure | 148 533 | 132 700 | 165 700 | 165 700 | 24,87% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 39 117 | 139 560 | 143 200 | 143 200 | 2,61% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 3 757 | 21 560 | 20 000 | 20 000 | -7,24% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 662 622 | 3 309 500 | 3 209 704 | 3 209 704 | -3,02% |
| Translations | 42 044 | 88 500 | 51 204 | 51 204 | -42,14% |
| Operational Missions | 14 519 | 135 000 | 100 000 | 100 000 | -25,93% |
| Research, statistics and indices | 445 617 | 480 000 | 450 000 | 450 000 | -6,25% |
| Gender Based Violence | 343 675 | 640 000 | 733 500 | 733 500 | 14,61% |
| Implementing Gender Mainstreaming | 393 283 | 1 025 000 | 960 000 | 960 000 | -6,34% |
| Stakeholders and Communication | 324 180 | 941 000 | 915 000 | 915 000 | -2,76% |
| Effective organisation and Bodies of EIGE | 99 304 | | | | |
| External assigned expenses | | | | | |
| TOTAL | 6 378 939 | 8 257 920 | 8 592 000 | 8 716 394 | 5,55% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 3 773 881 | 3 818 000 | 4 146 796 | 4 271 190 | 11,87% |
| Salaries & allowances | 3 389 116 | 3 399 000 | 3 862 334 | 3 986 728 | 17,29% |
| - Of which establishment plan posts | 2 581 943 | 2 589 000 | 2 697 912 | 2 784 804 | 7,56% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which external personnel</i> | 807 173 | 810 000 | 1 164 422 | 1 201 924 | 48,39% |
| Expenditure relating to Staff recruitment | 17 146 | 14 500 | 13 500 | 13 500 | -6,90% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 077 | 41 500 | 25 000 | 25 000 | -39,76% |
| Socio-medical infrastructure | 115 968 | 120 000 | 123 562 | 123 562 | 2,97% |
| Training | 51 858 | 65 000 | 65 000 | 65 000 | 0,00% |
| External Services | 189 098 | 169 000 | 48 400 | 48 400 | -71,36% |
| Receptions, events and representation | 9 618 | 9 000 | 9 000 | 9 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 942 436 | 1 130 420 | 1 235 500 | 1 235 500 | 9,30% |
| Rental of buildings and associated costs | 529 933 | 612 600 | 569 600 | 569 600 | -7,02% |
| Information, communication technology and data processing | 220 098 | 222 000 | 330 000 | 330 000 | 48,65% |
| Movable property and associated costs | 998 | 2 000 | 7 000 | 7 000 | 250,00% |
| Current administrative expenditure | 148 533 | 132 700 | 165 700 | 165 700 | 24,87% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 39 117 | 139 560 | 143 200 | 143 200 | 2,61% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 3 757 | 21 560 | 20 000 | 20 000 | -7,24% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 662 622 | 3 309 500 | 3 209 704 | 3 209 704 | -3,02% |
| Translations | 42 044 | 88 500 | 51 204 | 51 204 | -42,14% |
| Operational Missions | 14 519 | 135 000 | 100 000 | 100 000 | -25,93% |
| Research, statistics and indices | 445 617 | 480 000 | 450 000 | 450 000 | -6,25% |

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------------|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Gender Based Violence | 343 675 | 640 000 | 733 500 | 733 500 | 14,61% |
| Implementing Gender Mainstreaming | 393 283 | 1 025 000 | 960 000 | 960 000 | -6,34% |
| Stakeholders and Communication | 324 180 | 941 000 | 915 000 | 915 000 | -2,76% |
| Effective organisation and Bodies of EIGE | 99 304 | | | | |
| External assigned expenses | | | | | |
| TOTAL | 6 378 939 | 8 257 920 | 8 592 000 | 8 716 394 | 5,55% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 122,337.24

4 Justification of needs

Commission assessment

Human Resources

The Commission is not currently in a position to support the Agency's DB2023 request in terms of external staff but recognizes the challenges that EIGE has to overcome in the near future linked to the replacement of interim workers as well as responding to an increase in requests related to technical assistance.

Financial Resources

The Commission supports the financial request, which is in line with the amounts foreseen in the MFF while accounting for indexation.

The proposed EU contribution for 2023 includes an increase (EUR 124 394) for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Based on the following considerations (see also section 2 of draft SPD 2023-2025), EIGE puts forward a request for 3 CAs in FG IV, 2 CAs in FG III, and 3 CAs FG II that would be deployed towards operational and support activities:

- A sharp rise in requests for technical support from stakeholders and growth in scope of certain operational activities increased the workload of staff beyond acceptable levels (14 out of 42 operational staff work on an average 1.35 FTEs per head);
- Several services and project portfolios do not have back-up arrangements due to understaffing posing risks for business continuity;
- The reduction in the Establishment Plan from 30 to 27 in the period 2014-2017;
- Difficulty in recruitment of SNEs (average occupancy rate for SNE posts has been 64% since 2011);
- The CJEU ruling of 11.11 2021 in the Case C-948/19 affects the Agency's capability to engage and make use of interim workforce.
- Manpower Lit. decided not to accept the extension of the contract with EIGE situation which resulted in the

discontinuation of the employment of interim agents as of January 2022. To address the sudden disruption of administrative support, EIGE launched the recruitment procedure for 3 CA FG II posts in January 2022. These contracts are for one year (renewable) and included in the budget request.

4.1.2 Vacancy rate as of end 2021

4%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The following assumptions were made for the 2023 period.

- An Establishment Plan of 27 posts, 18 Contract Agent posts, 5 SNE positions and 8 trainee posts.
- A country coefficient of 80.1 % and an inflation rate of 2%.
- Staff whose contracts terminated/not extended entitled to: double resettlement allowance (2 basic salaries), travel out and removal costs reimbursement.
- New staff members (TAs and CAs) are expected to have the following average profile: expatriate, married, with 0.5 children, receiving education allowance.
- A natural turnover of 10% for TAs and 10% for CAs projected (entitled to installation allowance (single basic salary taken), daily allowances for seven months and removal costs reimbursement).
- Appropriations for two ca posts financed under the IPA programme are subject to availability of the contribution funds and are not funded under EIGE's budget.

4.1.5 Correction coefficient used

80.1%

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The total EU contribution is EUR 8 257 920 in 2022, of which EUR 3 818 000 in Title I covering all staff related costs, EUR 1 130 420 in Title II covering main items as building rental expenses, ICT expenses as well as Management Board and Experts' Forum meeting expenses, and EUR 3 309 500 in Title III covering operational expenditure.

Following the document Agency Instructions for the Budget Circular for 2023, EIGE's draft budget for 2023 amounts EUR 8 592 000.

The general allocation between Titles (major appropriations allocated to Titles I and III, smallest to Title II) follows the trend of 2022.

Appropriations under Title 1 are intended to cover staff expenditure as foreseen in the Establishment Plan for 2023, as well as external personnel, training and missions of administrative nature. This Title also takes into account career development possibilities for staff (in step and in grade). Title 1 also covers socio-medical expenses as well as services provided by third parties related to staff.

4.2.2 Title 2

Appropriations under Title 2 are intended to cover rent and relevant premises services, IT, movable property, current administrative expenditure and some other operations' related services. The external meeting expenses are intended mainly to cover the cost of organisation of meetings for the Management Board (with relevant interpretation services) and the Experts' Forum.

4.2.3 Title 3

Appropriations under Title 3 are intended to cover preparation and implementation activities of the Single Programming Document 2023-2025, as well as translations and operational missions required for the performance of the activities of the Institute, under its mandate. The implementation of the SPD 2023-2025 is to be performed by carrying out research and data collection, update and development of tools and methods for gender

mainstreaming, communicating gender equality and supporting the key stakeholders. These activities will focus on thematic priorities in line with the Commission’s Political Guidelines and the priorities of the EU Gender Equality Strategy 2020 – 2025.

4.3 Ad hoc grants and delegation agreements

A Grant Agreement for Pillar Assessed Organisations (PA Grant Agreement) (No 2017/389-613) for implementation of the action “EIGEs’ cooperation with the EU candidate and potential candidate countries 2017-2019: improved monitoring of gender equality progress” was signed between DG NEAR and EIGE on 21/11/2017. Total eligible costs of the action were EUR 200 000. The agreed pre-financing rate was 100 %. Salary for a Contract Agent at FG III for 16 months was included in the budget under this agreement. The project was fully implemented by 31/12/2018 and duly reported by 30/06/2019.

A new Contribution Agreement (2018/402-854) for implementation of the action “Increased capacity of EU candidate countries and potential candidates to measure and monitor impact of gender equality policies (2018-2021)” was signed between DG NEAR and EIGE on 20/12/2018. The initial implementation period of the action was planned for 30 months starting 01/01/2019. Total eligible costs of the action were EUR 550 000.

On 20/12/2019, EIGE and DG NEAR signed the extension of this contract for 18 months and with an additional budget of EUR 428.950,30. Both parties agreed on the Addendum No 1 to Contribution Agreement Contract No 2018/402-854 (CRIS 2019/414-064) for the implementation of the action “Increased capacity of EU candidate countries and potential candidates to measure and monitor impact of gender equality policies (2018-2022)”. The total implementation period of the action, after addendum, is 48 months, from 01/01/2019 to 31/12/2022. Total eligible costs of the action are EUR 928.950,30. The agreed pre-financing rate is 100 %. Salaries for a Contract Agent at FG III for 48 months and a Contract Agent at FG II for 42 months are budgeted under this agreement.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-----------------------------------------------------------|----------------------------------|---------------|-----------------|-----------------|------------------------------|-------|---------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | The House of EU | Gedimino pr. 16, Vilnius, Lithuania | 1 780,79 | 271,34 | 2 052,13 | 410 000 | Lease contract for 5+5 years | Lease | Host country granted the premises for the first 2 years | |
| 2 | EIGE Liaison Office | 17-21 avenue de la Joyeuse Entrée, 1040 Brussels, Belgium | 27,8 | | 27,8 | 6 446 | 6 months | | | |
| TOTAL | | | 1 808,59 | 271,34 | 2 079,93 | 416 446 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

N/A

5.3 Evaluation

Last external evaluation covering the period from early 2010 when EIGE gained its administrative and financial

independence to late 2014 when the evaluation field work started has been submitted to the European Parliament and the Council by the European Commission in December 2015. The final report is also available on EIGE's website, following this link: <http://eige.europa.eu/about-eige/documents-registry/external-evaluation-european-institute-gender-equality>.

EIGE's External Evaluation 2020 (Reg. 1922/2006, Art. 20) has been budgeted under the Budget 2019 and during the meeting in November 2018 EIGE presented its initial assessment giving arguments to postpone the Evaluation: early stage of EIGE's establishment; the fact that the Institute has not finalised measures planned and implemented to meet the recommendations of the recent Evaluation and on the principle of proportionality. In June 2019, a formal decision was adopted by the Management Board to postpone the evaluation indicatively to the year 2022.

5.4 Privileges and immunities

Accreditation status as per agreement drawn up between EIGE and the Government of the Republic of Lithuania and signed in Vilnius on 24/10/2012.

| Agency privileges | Privileges granted to staff | |
|----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Immunity and inviolability Exemptions from all direct taxes | Immunity from jurisdiction as regards acts carried out in official capacity. Exemption from regulations restricting immigration and formalities for registration of foreigners. Exemption from national taxes on salaries, wages and emoluments paid by EIGE. VAT exemption during first year of services (for non-Lithuanian residents). The Director and management staff accorded the privileges and immunities accorded to the staff of diplomatic missions in accordance with Vienna convention of diplomatic relations of 18 April 1961 | Same rights and access to preschool and school education as for the nationals of the Republic of Lithuania. |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|----------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EC) No. 1360/90 | 07/05/1990 | <p>Provide assistance in the definition of training needs and priorities</p> <p>Act as a clearing house to provide information on current initiatives and future needs in the training field, and provide a framework through which offers of assistance can be channelled</p> <p>On the basis of (a) and (b) above:</p> <p>Examine the scope for joint ventures of training assistance Fund the design and the preparation of such projects;</p> <p>Implement, at the request of the Commission or of the eligible countries in cooperation with the governing board, vocational training programmes;</p> <p>For activities and projects which are funded by the Foundation: arrange for the appropriate public and/or private bodies with a proven training record and the necessary expertise to design, prepare, implement and/or manage projects on a flexible, decentralized basis;</p> <p>In collaboration with the Commission, assist in the monitoring and evaluation of the overall effectiveness of training assistance to the eligible countries;</p> <p>Disseminate information and encourage exchanges of experience, with a focus on the countries of Central and Eastern Europe designated as eligible for economic aid by the Council in Regulation (EEC) No 3906/89 or in any subsequent relevant legal act.</p> |
| Council Regulation (EC) No.2063/90 | 27/07/1994 | Addition to ETF's geographic scope to include the independent States of the former Soviet Union and Mongolia which are the beneficiaries of the programme to assist economic reform and recovery under Regulation (Euratom, EEC) No 2053/93 or in any subsequent relevant legal act. |
| Council Regulation (EC) No.1572/98 | 17/07/1998 | Addition to ETF's geographic scope to include the Mediterranean non-member countries and territories which are the beneficiaries of the financial and technical measures to accompany the reform of their economic and social structures pursuant to Regulation (EC) No 1488/96 or any subsequent relevant legal act. |
| Council Regulation (EC) No. 2666 /2000 | 05/12/2000 | Addition to ETF's geographic scope to include Albania, Bosnia-Herzegovina; Croatia; the Federal Republic of Yugoslavia, the Former Yugoslav Republic of Macedonia |
| Council Regulation (EC) No. 1648/2003 | 18/06/2003 | Amending Regulation (EEC) No 1360/90 establishing a European Training Foundation, in order to adapt it to the new Financial regulations of ETF |
| Council Regulation (EC) No 1339/2008 | 16/12/2008 | <p>Recast of Council Regulation (EC) No 1339/2008 establishing a European Training Foundation.</p> <p>Recasting of ETF Regulation in line with external assistance instruments, greater flexibility in geographic scope, and human capital development.</p> <p>The ETF's functions under the recast regulation are to:</p> <ul style="list-style-type: none"> - provide information, policy analyses and advice on human capital development issues in partner countries; - promote knowledge and analysis of skills needs in national and local labour markets; - support relevant stakeholders in partner countries in building capacity in human capital development; - facilitate the exchange of information and experience among donors engaged in human capital development reform in partner countries; - support the delivery of EU assistance to partner countries in the field of human capital development; - disseminate information and encourage networking and the exchange of experience and good practice between the EU and partner countries and amongst partner countries in human capital development issues; - contribute, at the Commission's request, to the analysis of the overall effectiveness of training assistance to the partner countries |

1.2 Seat

Torino, Italy

1.3 Budget Line

07 10 06 : European Training Foundation (ETF)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 57 | 59 | 103,51% | 58 | 59 |
| Assistants (AST) | 29 | 29 | 100,00% | 28 | 27 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 86 | 88 | 102,33% | 86 | 86 |
| Contract Agents (CA) | 42 | 42 | 100,00% | 42 | 42 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 128 | 130 | 101,56% | 128 | 128 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 21 726 000 | 22 627 956 |
| Other Revenue | | |
| TOTAL REVENUES | 21 726 000 | 22 627 956 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 188 000 | 2 000 000 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 026 000 | 52 000 |
| TOTAL REVENUES | 1 214 000 | 2 052 000 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 14 833 000 | 14 833 000 | 16 048 636 | 16 048 636 |
| Title 2 - Infrastructure and operating expenditure | 2 054 000 | 2 054 000 | 2 340 300 | 2 340 300 |
| Title 3 - Operational expenditure | 4 839 000 | 4 839 000 | 4 239 020 | 4 239 020 |
| TOTAL EXPENDITURE | 21 726 000 | 21 726 000 | 22 627 956 | 22 627 956 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | 1 | | | | |
| AD 14 | | 1 | | 1 | | | | 1 | | 1 |
| AD 13 | | 5 | | 2 | | 5 | | 5 | | 5 |
| AD 12 | | 10 | | 7 | | 11 | | 12 | | 12 |
| AD 11 | | 10 | | 8 | | 10 | | 10 | | 10 |
| AD 10 | | 9 | | 14 | | 10 | | 10 | | 10 |
| AD 9 | | 13 | | 12 | | 12 | | 12 | | 12 |
| AD 8 | | 6 | | 6 | | 5 | | 5 | | 5 |
| AD 7 | | 3 | | 8 | | 4 | | 4 | | 4 |
| AD 6 | | | | 1 | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 57 | | 59 | | 58 | | 59 | | 59 |
| AST 11 | | 1 | | 1 | | | | | | |
| AST 10 | | 3 | | 1 | | 3 | | 2 | | 2 |
| AST 9 | | 13 | | 13 | | 13 | | 13 | | 13 |
| AST 8 | | 6 | | 2 | | 6 | | 6 | | 6 |
| AST 7 | | 4 | | 2 | | 4 | | 4 | | 4 |
| AST 6 | | 1 | | 5 | | 2 | | 2 | | 2 |
| AST 5 | | 1 | | 4 | | | | | | |
| AST 4 | | | | 1 | | | | | | |
| AST 3 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 29 | | 29 | | 28 | | 27 | | 27 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 86 | | 88 | | 86 | | 86 | | 86 |
| GRAND TOTAL | 86 | | 88 | | 86 | | 86 | | 86 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 14 | 15 | 15 | 14 |
| Function Group III | 23 | 21 | 24 | 24 |
| Function Group II | 5 | 6 | 3 | 4 |
| Function Group I | | | | |
| TOTAL | 42 | 42 | 42 | 42 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 2 EU CONTRIBUTION | 21 000 000 | 21 726 000 | 22 160 520 | 22 627 956 | 4,15% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 246 713 | 347 203 | 93 863 | 93 863 | -72,97% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 21 000 000 | 21 726 000 | 22 160 520 | 22 627 956 | 4,15% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 102 739 | 188 000 | 2 000 000 | 2 000 000 | 963,83% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | 1 026 000 | 52 000 | 52 000 | -94,93% |
| TOTAL | 102 739 | 1 214 000 | 2 052 000 | 2 052 000 | 69,03% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 788 257 | 14 833 000 | 15 581 200 | 16 048 636 | 8,20% |
| Salaries & allowances | 14 072 974 | 14 193 000 | 14 899 700 | 15 367 136 | 8,27% |
| - <i>Of which establishment plan posts</i> | <i>11 414 605</i> | <i>11 659 000</i> | <i>12 036 000</i> | <i>12 503 436</i> | <i>7,24%</i> |
| - <i>Of which external personnel</i> | <i>2 658 369</i> | <i>2 534 000</i> | <i>2 863 700</i> | <i>2 863 700</i> | <i>13,01%</i> |
| Expenditure relating to Staff recruitment | 29 630 | 9 000 | 9 000 | 9 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 4 495 | 65 000 | 50 000 | 50 000 | -23,08% |
| Socio-medical infrastructure | 40 818 | 25 000 | 45 000 | 45 000 | 80,00% |
| Training | 141 739 | 210 000 | 200 000 | 200 000 | -4,76% |
| External Services | 383 017 | 300 000 | 288 000 | 288 000 | -4,00% |
| Receptions, events and representation | 39 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 115 545 | 27 000 | 85 500 | 85 500 | 216,67% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 025 127 | 2 054 000 | 2 340 300 | 2 340 300 | 13,94% |
| Rental of buildings and associated costs | 685 368 | 706 800 | 867 600 | 867 600 | 22,75% |
| Information, communication technology and data processing | 1 212 136 | 1 143 000 | 1 307 000 | 1 307 000 | 14,35% |
| Movable property and associated costs | 9 861 | | 10 000 | 10 000 | 100% |
| Current administrative expenditure | 36 122 | 66 000 | 50 500 | 50 500 | -23,48% |
| Postage / Telecommunications | 610 | 8 200 | 5 200 | 5 200 | -36,59% |
| Meeting expenses | 81 030 | 130 000 | 100 000 | 100 000 | -23,08% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 569 589 | 4 839 000 | 4 239 020 | 4 239 020 | -12,40% |
| Communication | 378 951 | 375 000 | 375 000 | 375 000 | 0,00% |
| Corporate Performance and Stakeholders | 142 000 | 247 000 | 248 000 | 248 000 | 0,40% |
| Operational projects | 4 034 638 | 3 817 000 | 3 216 020 | 3 216 020 | -15,74% |
| Operational Missions | 14 000 | 400 000 | 400 000 | 400 000 | 0,00% |
| TOTAL | 21 382 973 | 21 726 000 | 22 160 520 | 22 627 956 | 4,15% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 14 788 257 | 14 833 000 | 15 581 200 | 16 048 636 | 8,20% |
| Salaries & allowances | 14 072 974 | 14 193 000 | 14 899 700 | 15 367 136 | 8,27% |
| - <i>Of which establishment plan posts</i> | <i>11 414 605</i> | <i>11 659 000</i> | <i>12 036 000</i> | <i>12 503 436</i> | <i>7,24%</i> |
| - <i>Of which external personnel</i> | <i>2 658 369</i> | <i>2 534 000</i> | <i>2 863 700</i> | <i>2 863 700</i> | <i>13,01%</i> |
| Expenditure relating to Staff recruitment | 29 630 | 9 000 | 9 000 | 9 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 4 495 | 65 000 | 50 000 | 50 000 | -23,08% |
| Socio-medical infrastructure | 40 818 | 25 000 | 45 000 | 45 000 | 80,00% |
| Training | 141 739 | 210 000 | 200 000 | 200 000 | -4,76% |
| External Services | 383 017 | 300 000 | 288 000 | 288 000 | -4,00% |
| Receptions, events and representation | 39 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | 115 545 | 27 000 | 85 500 | 85 500 | 216,67% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 025 127 | 2 054 000 | 2 340 300 | 2 340 300 | 13,94% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Rental of buildings and associated costs | 685 368 | 706 800 | 867 600 | 867 600 | 22,75% |
| Information, communication technology and data processing | 1 212 136 | 1 143 000 | 1 307 000 | 1 307 000 | 14,35% |
| Movable property and associated costs | 9 861 | | 10 000 | 10 000 | 100% |
| Current administrative expenditure | 36 122 | 66 000 | 50 500 | 50 500 | -23,48% |
| Postage / Telecommunications | 610 | 8 200 | 5 200 | 5 200 | -36,59% |
| Meeting expenses | 81 030 | 130 000 | 100 000 | 100 000 | -23,08% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 261 719 | 4 839 000 | 4 239 020 | 4 239 020 | -12,40% |
| Communication | 443 654 | 375 000 | 375 000 | 375 000 | 0,00% |
| Corporate Performance and Stakeholders | 183 425 | 247 000 | 248 000 | 248 000 | 0,40% |
| Operational projects | 3 631 270 | 3 817 000 | 3 216 020 | 3 216 020 | -15,74% |
| Operational Missions | 3 370 | 400 000 | 400 000 | 400 000 | 0,00% |
| TOTAL | 21 075 103 | 21 726 000 | 22 160 520 | 22 627 956 | 4,15% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 93 864

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2023, ETF proposes to maintain a stable number of establishment plan posts in 2023 compared to the budget 2022 (86 posts) which is fully supported by the Commission.

Financial Resources

Financial resources requested by the Agency are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2023 budget.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation (EUR 467 436). It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

During the period 2023-25, the ETF's total staff population will remain stable at 86. Considering the evolution of the Italian legislation and its related restrictions for the use of interim workers, offsetting of the unplanned staff shortages is becoming very difficult. A possible solution, recommended by DG HR, is to offer short-term employment contracts to contract agents and ETF may resort to this in the future. The ETF's HCD experts are a scarce and valued resource on which the agency relies for its added value. All efforts will therefore continue to ensure that the proportion of staff allocated to operational activities is above 70%. With this in mind, between 2023 and 2025 a number of Temporary Agents will retire, and some of those holding an AST contract may be replaced with AD grades. As of 2018, on the basis of article 38.2 of the ETF Financial Regulation, the ETF uses the opportunity to "offset the effects of part-time work". At the end of 2021, all posts have been filled in reaching 86 + 2 TA (corresponding to 2 FTE of part time work).

As regards external personnel, the total number remains stable in 2023 at 43, including one Local Agent and additional potential short-term Contract Agents as abovementioned. No Seconded National Expert is envisaged during the period 2023-25. Finally, to deliver on additional services or new tasks, additional external staff is financed from contribution or service-level agreements negotiated.

4.1.2 Vacancy rate as of end 2021

The vacancy rate was 0% at the end of 2021, all posts having been filled in.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

The main assumptions are:

- An annual salary increase linked to the estimated salary adaptation of 2 % and no decrease in the weighting factor;
- An upward impact on salary costs linked to the automatic increase in step and the annual reclassification exercise;
- Low turnover, aiming a complete establishment plan;
- Minor savings deriving from working conditions;
- Minor savings deriving from the retirement of senior staff replaced by more junior staff.

4.1.5 Correction coefficient used

The correction coefficient is expected to remain stable at the current 95.2%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The forecast for expenditure on Title 1 - Staff expenditure, is based on the following assumptions: a cumulative salary adaptation in line with the Commission's assumptions²⁹ (+2% in 2023 and in subsequent years) and a no decrease in the weighting factor. In addition, an upward impact on salary costs due to the automatic increase in step and the annual reclassification exercise on the one hand, a complete establishment plan and savings deriving from the retirement of senior staff replaced by more junior staff on the other hand, both affecting the Title 1 forecast. In addition, the ETF has initiated early 2021 to offer up to 10 traineeships per year, as well as an additional medical adviser, both initiatives are expected to continue in 2023 and beyond. Overall, the expectation is that there will be a net increase of 5% in staff expenditure compared to the 2022 budget. This increase might appear steep, but it is due mainly to the fact that the 2022 budget it refers to was under-resourced, as it was built on the assumption of only 1% increase from 2021 as a net effect of the salary adaptation (+2%) and weighting factor (-1%) while the actual net effect from December 2021 was +2.1%, did not take into considerations the social initiatives (trainees and increased medical support) and assumed a higher number of

²⁹ Budget Circular for 2023 – Agency Instructions (15 Dec 2021)

posts not filled in throughout the year (which was not the case, the ETF ensured a very high occupancy rate across 2021, with expectations to maintain it also in 2022/2023).

4.2.2 Title 2

The forecast for expenditure on Title 2 - infrastructure, is estimated at €2.3 million, an increase of 14% compared to 2022. This mainly covers expenditure on facilities and IT. While improvements made to the premises in recent years allow some cost continuity, at the same time they must be adjusted with the increase in the cost of utilities (gas, electricity). An additional expected increase in the cost of premises is linked to mandatory electrical works performed by the Regione Piemonte (owner of the building), part of which will have to be borne by the ETF, as well as a consultancy for assessing the opportunities at the end of the rental contract (2027). The IT expenditure is expected to increase mainly due to the compulsory implementation of a new cybersecurity framework agreed at the level of all the EU agencies, and planned digitalisation improvements in support of operational activities. Regular IT expenses are expected to remain at similar levels to those in 2022. The ETF environmental certification (EMAS) project has seen significant investment in 2020, including renovations for a healthier and more environmentally friendly building and facilities, contributing to lower maintenance costs.

4.2.3 Title 3

As staff and infrastructure costs are expected to increase, this will lead the ETF to revise the budget forecast for Title 3 - operational activities, and look for ways to increase efficiency in delivering activities. The experience of 2020, 2021 and 2022 linked to COVID-19 has forced the ETF to re-consider the modalities used to deliver its activities. In the coming years there is a possibility for a different breakdown between the different actions, such as missions/services. While absorbing a reduction in 2023 (-12%), the resources available for Title 3 are expected to recover by 2025 assuming that Italy's coefficient stays stable in spite of inflationary pressures in the country, as well as that other Title 1 related costs do not increase above current inflation assumptions (e.g. social costs, medical support, trainees, etc.). However, it is important to highlight that recovery is fragile, inflation is at risk and, in general, this would still mean that the annual subsidy increase is mostly absorbed by Title 1. Currently this reduction is compensated by the additional EU funding, guaranteeing an overall increase in the operational funds corresponding to EUR 1m in 2022 and EUR 2m in 2023, thus allowing the ETF to better fulfil its mandate. As per consolidated practice, savings in Title 1 will be assigned to operational activities and, if necessary, to infrastructure improvements.

4.3 Ad hoc grants and delegation agreements

GIZ (ACQF) 81243223 – (2019 - 2023) – 188 000€ – reimbursement of ETF activities for expertise and missions for ACQF;

ETF DG EMPL COVES – (2021 - 2023) – 1 000 000€ – DG EMPL for implementation of activities in the area of “The international Dimension of centres of Vocational Excellence: Building a strong international cooperation dimension on Vocational Excellence”;

Service level agreement with EU-OSHA for sharing of accounting services - estimated start mid 2022 - 52 000€/year;

The implementation of the DARYA project for Central Asia on behalf of DG INTPA (estimated at a yearly EUR 2m for 5 years, starting in 2023), and the ongoing discussions around ACQF2 with African Union represent other possible opportunities to be formalised in the course of 2022.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|--------------|----------------------------------|--------------|--------------|-----------------|----------------------------------------------------------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Villa Gualino | Turin, Italy | 2 175 | 3 825 | 6 000 | 1 | Rent is covered by a Convention complemented by detailed service contracts | | No | |
| TOTAL | | | 2 175 | 3 825 | 6 000 | 1 | | | | |

5.1.2 Current building(s) Other comments

Not applicable.

5.1.3 Building projects in the planning phase

The ETF does not have any building projects in the planning phase.

5.1.4 Building projects submitted to the European Parliament and the Council

The ETF does not have any building projects submitted to the European Parliament and the Council.

5.2 European Schools

There is no European school in Turin. Due to the absence of a European School in Turin, and the fact that there are several international schools but which are extremely expensive, the ETF covers up to 50% of the cost above the ceiling foreseen in the Staff Regulations. This is done with the scope to support the ETF's capacity to attract and retain staff as a social support measure.

5.3 Evaluation

Evaluations in the ETF are integrated into the overall performance management system of the Agency and are commissioned either by the ETF or by the European Commission. ETFs evaluation practices are based on the principles of the European Commission, 'Better Regulation Guidelines and Toolkit':

- Capture the results of ETF's interventions and evidence of impact and added value;
- Improve the ETF's long-term capacity to achieve results and impact by identifying and disseminating the lessons learned from experience;
- Identify opportunities to adapt ETF's interventions according to achieved impact and country development stage (for example to scale up ETF work and/or put in place an exit strategy).

As an Agency of the European Commission, the ETF is subject to regular (4 year) evaluation by the Commission in consultation with the Governing Board of the implementation of the ETF Regulation. The Commission presents the results of the evaluation to the European Parliament, Council and European Economic and Social Committee following which the ETF formulates an action plan to remedy any problems or implement any recommendations. The action plan is entered into the ETF Improvement Plan with regular report to the Commission and Governing Board.

ETF commissioned evaluations mainly focus on assessing the extent to which the ETF's activities have achieved planned results, their added value and impact. According to the ETF Financial Regulation³⁰, retrospective evaluations shall be undertaken periodically and in sufficient time for the findings to be taken into account in ex-ante evaluations or impact assessments that support the preparation of related programme activities.

5.4 Privileges and immunities

| <i>Agency privileges</i> | <i>Privileges granted to staff</i> | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| | <i>Protocol of privileges and immunities / diplomatic status</i> | <i>Education / day care</i> |
| * Local premises have been provided by local authorities on the basis of a convention that provides for the following main essential points: ETF to participate in the costs of refurbishing the premises to be used, ETF to pay a symbolic rent, ETF to participate in the costs of maintenance of the building * General support is provided by Italy against unauthorized access or other forms of | *Staff immune from legal proceedings for acts performed in the exercise of their official duties. * Staff exempted from taxes on salaries and emoluments paid by ETF. * Staff, spouses and dependent members of family not subject to immigration restrictions or registration formalities for foreigners. * For currency exchange staff has the same privileges as officials of equal rank in the diplomatic missions. | None |

³⁰ Financial Regulation of the European Training Foundation, Turin, 15 June 2019 (GB-19-DEC-008)

| | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <p>disturbances to the premises.</p> <p>*ETF is authorized to install and operate communications systems.</p> <p>* ETF official communication is not subject to any restrictions or to confidentiality breaches.</p> <p>*The Protocol of privileges and immunities is applicable to ETF.</p> <p>* ETF, its assets and funds may not be subject to administrative or legal measures of constraints.</p> <p>*Premises (including temporary premises) and buildings are inviolable, except in case of emergencies/ fire.</p> <p>* ETF, its assets and funds are exempt from taxes or direct duties, except for taxes on public services.</p> <p>* ETF is exempt from the payment of VAT for purchases of goods or service. The value of the exemption is established in the law applicable to international organizations in Italy.</p> <p>* ETF imports and exports are exempted from customs duties, taxes, prohibitions or restrictions.</p> <p>* Goods transported as hand baggage are treated as diplomatic luggage.</p> <p>* ETF vehicles are exempted from any taxes, duties or fees or from any import prohibitions/ restrictions.</p> <p>* ETF exempted from payment social security and health insurance contributions on salaries paid to staff, except for local agents³¹.</p> | <p>* Staff, spouses and dependent members of family receive assistance for repatriation in the event of international crises.</p> <p>* Staff who is neither permanently resident in Italy at the time of their appointment, nor Italian, may, duty-free and without prohibitions and restrictions, import from the country of their last residence or the country of which they are nationals, when they first take up their post, for a period of one year from their appointment for a maximum of two shipments, their own furniture and personal effects, including a vehicle bought at the conditions of that country, which will be registered in special series.</p> <p>* Staff may export, in the year following the date of cessation of their duties, without prohibitions and restrictions, their own furniture and personal effects, including vehicles.</p> <p>* The ETF Director, spouse and dependent members of family, is granted privileges and immunities, facilities and concessions granted by the Italy to members of equivalent rank in the diplomatic corps in Italy.</p> <p>* Staff, who is neither permanently resident in Italy at the time of their appointment nor Italian, may, when they first take up their post, for a period of one year from their appointment purchase a motor vehicle free from taxes and charges.</p> <p>* Italy issues a special identity card to staff, spouses and dependent members of family to prove that the holder is ETF staff and enjoys privileges and immunities.</p> | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

³¹ In relation to the health pandemic there are ongoing difficulties in clarifying the health insurance coverage for ETF staff and access to the Italian national health service. This concerns questions about coverage, procedure and services, in particular in case of procedures which are only administered by the Italian national health service (e.g. vaccinations).

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|------------------------------------------|------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2002/187/JHA (OJ: L 63/1 06/03/2002) | 28/02/2002 | Eurojust's tasks comprise the coordination of multilateral investigations and prosecutions and improving and facilitating judicial cooperation in criminal matters. |
| 2003/659/JHA (OJ L 245/44 29/09/2003) | 18/06/2003 | Amending Decision 2002/187/JHA on some specific provisions regarding the adoption of the budget. Tasks and objectives remain the same. |
| 2009/426/JHA (OJ L 138/14 04/06/2009) | 16/12/2008 | Amending Decision 2002/187/JHA on the strengthening of Eurojust. Important changes are made, including on tasks and objectives of Eurojust. |
| 2018/1727 (OJ L 295/138 21/11/2018) | 14/11/2018 | Regulation (EU) 2018/1727 of the European Parliament and of the Council of 14 November 2018 on the European Union Agency for Criminal Justice Cooperation (Eurojust), and replacing and repealing Council Decision 2002/187/JHA |

1.2 Seat

The Hague, The Netherlands

1.3 Budget Line

07 10 07 : European Union Agency for Criminal Justice Cooperation (Eurojust)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 100 | 92 | 92,00% | 104 | 125 |
| Assistants (AST) | 107 | 115 | 107,48% | 106 | 107 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 207 | 207 | 100,00% | 210 | 232 |
| Contract Agents (CA) | 16 | 17 | 106,25% | 16 | 18 |
| Seconded National Experts (SNE) | 21 | 17 | 80,95% | 21 | 24 |
| TOTAL STAFF | 244 | 241 | 98,77% | 247 | 274 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 45 786 844 | 57 803 111 |
| Other Revenue | p.m. | |
| TOTAL REVENUES | 45 786 844 | 57 803 111 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | p.m. | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | p.m. | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | p.m. | |
| TOTAL REVENUES | p.m. | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 24 313 422 | 24 313 422 | 29 741 211 | 29 741 211 |
| Title 2 - Infrastructure and operating expenditure | 10 990 700 | 10 990 700 | 11 812 500 | 11 812 500 |
| Title 3 - Operational expenditure | 10 679 400 | 10 482 722 | 11 216 400 | 16 249 400 |
| Title 4 - Expenditures related to operational projects based on agreements | p.m. | p.m. | p.m. | p.m. |
| TOTAL EXPENDITURE | 45 983 522 | 45 786 844 | 52 770 111 | 57 803 111 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | 2022 | 2023 | |
|--------------------------|-------------------|----------------------------------|-------------------|-----------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Request of the Agency | Draft Budget Request |

| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
|--------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 1 | | | | 1 | | 1 | | 1 |
| AD 12 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AD 11 | | 5 | | 3 | | 7 | | 7 | | 7 |
| AD 10 | | 12 | | 6 | | 14 | | 14 | | 14 |
| AD 9 | | 22 | | 17 | | 23 | | 23 | | 23 |
| AD 8 | | 21 | | 18 | | 24 | | 24 | | 24 |
| AD 7 | | 29 | | 13 | | 20 | | 23 | | 26 |
| AD 6 | | 2 | | 13 | | 4 | | 4 | | 4 |
| AD 5 | | 6 | | 20 | | 8 | | 20 | | 23 |
| AD TOTAL | | 100 | | 92 | | 104 | | 119 | | 125 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | | | | | 1 | | 1 | | 1 |
| AST 7 | | 1 | | 2 | | 1 | | 1 | | 1 |
| AST 6 | | 5 | | 9 | | 17 | | 17 | | 17 |
| AST 5 | | 52 | | 28 | | 53 | | 53 | | 53 |
| AST 4 | | 48 | | 39 | | 33 | | 33 | | 34 |
| AST 3 | | | | 27 | | | | | | |
| AST 2 | | | | 9 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 107 | | 115 | | 106 | | 106 | | 107 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 207 | | 207 | | 210 | | 225 | | 232 |
| GRAND TOTAL | 207 | | 207 | | 210 | | 225 | | 232 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|-----------------|-----------------|----------------------------|---------------|----------------------------|
|-----------------|-----------------|----------------------------|---------------|----------------------------|

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 4 | 4 | 4 | 6 |
| Function Group III | 8 | 9 | 10 | 10 |
| Function Group II | 4 | 4 | 2 | 2 |
| Function Group I | | | | |
| TOTAL | 16 | 17 | 16 | 18 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 21 | 17 | 21 | 24 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 43 797 699 | 45 786 844 | 55 619 300 | 57 803 111 | 26,24% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 952 693 | 179 944 | 297 652 | 297 652 | 65,41% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 1 010 733 | p.m. | p.m. | | |
| 5 ADMINISTRATIVE OPERATIONS | 347 417 | p.m. | p.m. | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | p.m. | p.m. | p.m. | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | p.m. | p.m. | p.m. | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | p.m. | p.m. | p.m. | | |
| TOTAL | 45 155 849 | 45 786 844 | 55 619 300 | 57 803 111 | 26,24% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | p.m. | p.m. | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 323 679 | p.m. | p.m. | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 187 300 | p.m. | p.m. | | |
| TOTAL | 510 979 | p.m. | p.m. | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 24 065 271 | 24 313 422 | 28 067 400 | 29 741 211 | 22,32% |
| Salaries & allowances | 23 210 225 | 23 188 822 | 26 855 500 | 28 529 311 | 23,03% |
| - <i>Of which establishment plan posts</i> | 23 210 225 | 23 097 822 | 26 674 000 | 27 888 012 | 20,74% |
| - <i>Of which external personnel</i> | | 91 000 | 181 500 | 641 299 | 604,72% |
| Expenditure relating to Staff recruitment | 112 469 | 155 200 | 171 900 | 171 900 | 10,76% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 670 | 110 700 | 90 000 | 90 000 | -18,70% |
| Socio-medical infrastructure | 121 020 | 182 700 | 241 500 | 241 500 | 32,18% |
| Training | 343 164 | 355 500 | 369 600 | 369 600 | 3,97% |
| External Services | 275 977 | 285 500 | 303 900 | 303 900 | 6,44% |
| Receptions, events and representation | | | | | |
| Social welfare | 746 | 35 000 | 35 000 | 35 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 9 096 790 | 10 990 700 | 11 812 500 | 11 812 500 | 7,48% |
| Rental of buildings and associated costs | 6 337 343 | 6 131 100 | 6 406 500 | 6 406 500 | 4,49% |
| Information, communication technology and data processing | 2 644 033 | 4 520 500 | 5 068 500 | 5 068 500 | 12,12% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 24 929 | 88 300 | 89 100 | 89 100 | 0,91% |
| Current administrative expenditure | 28 086 | 62 300 | 56 800 | 56 800 | -8,83% |
| Postage / Telecommunications | 62 399 | 188 500 | 191 600 | 191 600 | 1,64% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 21 144 676 | 10 679 400 | 10 706 400 | 11 216 400 | 5,03% |
| Meetings, trainings and representation expenses | 1 627 175 | 3 148 800 | 3 198 300 | 3 198 300 | 1,57% |
| Operational and experts missions | 1 264 412 | 2 158 400 | 2 126 700 | 2 126 700 | -1,47% |
| Public relations and publications | 814 842 | 816 000 | 809 200 | 809 200 | -0,83% |
| Data processing and documentation expenditure | 15 455 082 | 1 297 800 | 1 318 000 | 1 828 000 | 40,85% |
| Translation of documents | 309 625 | 750 800 | 750 000 | 750 000 | -0,11% |
| EJN projects, meetings and other expenses | 430 060 | 435 000 | 435 000 | 435 000 | 0,00% |
| Joint Investigation Team (JIT) meetings and other expenses | 1 179 592 | 2 008 000 | 2 002 000 | 2 002 000 | -0,30% |
| Meetings on genocide and other expenses | 63 888 | 64 600 | 67 200 | 67 200 | 4,02% |
| Title 4 - Expenditures related to operational projects based on agreements | 3 099 716 | p.m. | p.m. | p.m. | |
| TOTAL | 57 406 453 | 45 983 522 | 50 586 300 | 52 770 111 | 14,76% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 24 016 918 | 24 313 422 | 28 067 400 | 29 741 211 | 22,32% |
| Salaries & allowances | 23 210 225 | 23 188 822 | 26 855 500 | 28 529 311 | 23,03% |

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which establishment plan posts</i> | 23 210 225 | 23 097 822 | 26 674 000 | 27 888 012 | 20,74% |
| <i>- Of which external personnel</i> | | 91 000 | 181 500 | 641 299 | 604,72% |
| Expenditure relating to Staff recruitment | 112 469 | 155 200 | 171 900 | 171 900 | 10,76% |
| Employer's pension contributions | | | | | |
| Mission expenses | 1 670 | 110 700 | 90 000 | 90 000 | -18,70% |
| Socio-medical infrastructure | 90 618 | 182 700 | 241 500 | 241 500 | 32,18% |
| Training | 345 904 | 355 500 | 369 600 | 369 600 | 3,97% |
| External Services | 255 286 | 285 500 | 303 900 | 303 900 | 6,44% |
| Receptions, events and representation | | | | | |
| Social welfare | 746 | 35 000 | 35 000 | 35 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 9 163 061 | 10 990 700 | 11 812 500 | 11 812 500 | 7,48% |
| Rental of buildings and associated costs | 6 171 160 | 6 131 100 | 6 406 500 | 6 406 500 | 4,49% |
| Information, communication technology and data processing | 2 865 546 | 4 520 500 | 5 068 500 | 5 068 500 | 12,12% |
| Movable property and associated costs | 58 449 | 88 300 | 89 100 | 89 100 | 0,91% |
| Current administrative expenditure | 23 892 | 62 300 | 56 800 | 56 800 | -8,83% |
| Postage / Telecommunications | 44 014 | 188 500 | 191 600 | 191 600 | 1,64% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 10 844 133 | 10 482 722 | 15 739 400 | 16 249 400 | 55,01% |
| Meetings, trainings and representation expenses | 1 243 598 | 3 148 800 | 3 198 300 | 3 198 300 | 1,57% |
| Operational and experts missions | 1 248 073 | 2 158 400 | 2 126 700 | 2 126 700 | -1,47% |

| EXPENDITURE | Payment appropriations | | | | |
|----------------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Public relations and publications | 654 502 | 816 000 | 809 200 | 809 200 | -0,83% |
| Data processing and documentation expenditure | 5 814 786 | 1 297 800 | 6 543 000 | 7 053 000 | 443,46% |
| Translation of documents | 324 935 | 750 800 | 750 000 | 750 000 | -0,11% |
| EJN projects, meetings and other expenses | 415 761 | 435 000 | 435 000 | 435 000 | 0,00% |
| Joint Investigation Team (JIT) meetings and other expenses | 1 071 829 | 1 811 322 | 1 810 000 | 1 810 000 | -0,07% |
| Meetings on genocide and other expenses | 70 649 | 64 600 | 67 200 | 67 200 | 4,02% |
| Title 4 - Expenditures related to operational projects based on agreements | 1 104 792 | p.m. | p.m. | p.m. | |
| TOTAL | 45 128 904 | 45 786 844 | 55 619 300 | 57 803 111 | 26,24% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): 297 652,57 EUR

4 Justification of needs

Commission assessment

Human Resources

Eurojust is a key agency in the security union area and needs additional human resources to cope with an ever increasing workload and other operational challenges. During the last years, the agency has dealt with increases of two digits in its workload in number of cases. In addition, new challenges as the negotiation of agreements with 13 third countries and the implementation of the Digitalisation of Criminal Justice (DCJ) package that is being discussed in the Council, will add additional workload to the agency in the next years. The MFF 2021-2027 did not take into consideration these challenges, and consequently the MFF 2021-2027 does not include additional staff for the agency during the period, even more, the LFS on the EPPO includes some cuts on staff in Eurojust to allocate those staff to the EPPO.

Eurojust prepared in 2021 an assessment of the needs of the agency in terms of staff for the next years to absorb the increasing casework, foster the operational cooperation with partners and third countries and launch the DCJ program. This assessment highlighted a need of additional 66 staff in the upcoming years to be able to fulfil all the tasks allocated to the agency.

The DCJ package, adopted in December 2021 by the Commission, includes a proposal of the regulation to improve the digital information exchange in terrorism cases (CTR proposal). This proposal (LFS) includes the specific provisions to develop a new Case Management System (CMS) in Eurojust to replace the current and outdated one. The total amount of the cost of this proposal for the period of the current MFF is EUR 33.7 M divided in 4 years (2024-2027). It also includes an allocation of additional 25 FTEs to develop, install and maintain both, the CMS and the Counter-Terrorism Register (CTR).

Anticipating the additional human resources planned under the LFS Eurojust has included for 2023 a request for 21 additional posts (15 TAs and 6 CAs). 17 out of 21 staff requested are related to digitalisation and cooperation tasks, 11 TAs frontloaded from the LFS of the CTR proposal, and 5 CAs on top to generic digitalisation issues. These posts include 3 AD7 Programme Managers, 5 AD5 Business Analysts, 1 AD5 Data Protection Officer, 1 AD5 ICT Security Officer, 1 AD5 Legal Officer, 2 FGIV Project Managers, 2 FGIV ICT Officers, 1 FGIV Data Management Specialist, and 1 FGIV Accounting and Budget Officer.

In 2021, the Commission approved an amendment of the Eurojust budget of EUR 9.5 M linked to the CTR proposal. It was considered as an advanced allocation of resources from the proposal due to the urgency to renew the CMS. This allocation represents the total cost for year 2024 and almost the 65% of the cost for year 2025 in the proposal, and will let the agency initiating the project in advance.

In terms of staff, the CTR proposal includes 13 TAs to be recruited in 2024 and additional 5 TAs to be recruited in 2025. Taking into consideration that the allocation of costs and staff in the proposal has been done based on a deep analysis of the needs to implement the project, this allocation of EUR 9.5M will correspond with all the AD staff for year 2024 (11 TAs).

In addition, Eurojust requests additional 4 posts requested, including 3 AD5 Judicial Cooperation Officers and 1 AD5 Legal Officers that will be dedicated, *inter alia*, to ensure the new role of Eurojust as ECRIS-TCN contact point for third countries and international organisations. These posts will also support the Commission in the negotiations with 13 third countries for an agreement on collaboration with Eurojust. Finally, they will help Eurojust to maintain its current levels of support to a continuously increasing case workload.

Based on these considerations, the Commission supports the request of up to 11 TAs as a frontload of the staff foreseen in the CTR proposal (LFS), to start the development and implementation of the new CMS as soon as possible.

On top of that, following the Commission proposal for an amending Regulation (EU) 2018/1727, as regards the storage, analysis and preservation of evidence relating to genocide, crimes against humanity and war crimes at the European Union Agency for Criminal Justice Cooperation (Eurojust), the Commission supports the request of 11 additional Temporary Agents (3 AD7, 7 AD5 and 1 AST4), 2 additional Contractual Agents (FGIV) and 3 additional SNEs. For the budgetary impact, see point “Financial Resources”

Financial Resources

Eurojust’s DB 2023 estimate amounts to EUR 50 586 300, in commitment appropriations, and contributes to the agency's draft Multi-Annual Strategy (MAS) 2022-2024, by allocating the 71.4% of the agency’s resources to its core tasks and priorities, under MAS objectives 1-Casework (46.4%), 2-Cooperation (10.8%) and 3-Digitalisation (14.3%).

The DB 2023 estimate exceeds by EUR 3.2 M the amount in the MFF for year 2023 (EUR 47.4 M).

The Commission only supports 11 TAs frontloaded from the CTR proposal which is added to the programmed amount for Eurojust in 2023.

On top of that, following the Commission proposal for an amending Regulation (EU) 2018/1727, as regards the storage, analysis and preservation of evidence relating to genocide, crimes against humanity and war crimes at the European Union Agency for Criminal Justice Cooperation (Eurojust), the Commission supports the request of an additional budget of EUR 2.714 million (EUR 2.204 million under Title 1 – Staff expenditure and EUR 0.51 million under Title 3 – operational expenditure).

The proposed EU contribution for 2023 includes also an increase (EUR 791 811) for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

As a consequence, the total level of commitment appropriations supported by the Commission in 2023 for Eurojust is EUR 52 770 111 while the level of payment appropriations supported is EUR 57 803 111.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The total of Eurojust statutory and non-statutory staff in 2023 will amount to:

- Temporary staff: 225
- Contract staff (CA): 36 (of which 22 under the EU contribution and – maximum – 14 under Denmark’s contribution)
- SNE: 21
- Non-statutory staff: 108

Total: 390

The draft Establishment Plan 2023 includes 225 temporary staff, including 15 new temporary staff post requests. Eurojust’s Draft Budget estimate includes also a budgetary provision for 6 additional contract staff in 2023.

These 21 additional staff are necessary for Eurojust to maintain its current levels of support to key activities and priorities impacted by resource shortfalls and negative priorities in 2022 and to support new projects/tasks in 2023 as follows:

- 16 posts (including 3 AD7 Programme Managers, 5 AD5 Business Analysts, 1 AD5 Data Protection Officer, 1 AD5 ICT Security Officer, 2 FGIV Project Managers, 2 FGIV ICT Officers, 1 FGIV Data Management Specialist, and 1 FGIV Accounting and Budget Officer) are requested in relation to the implementation of Eurojust's new CMS under the Digital Criminal Justice (DCJ) programme/projects. Ten of these posts are considered frontloading of the posts Eurojust will receive in 2024 in relation to the amendment of the Eurojust Regulation as regards the digital information exchange in terrorism cases³².

- 5 posts (including 3 AD5 Judicial Cooperation Officers and 2 AD5 Legal Officers) are requested to ensure that Eurojust fulfils in its new role as ECRIS-TCN contact point for third countries and international organisations, effectively supports the Commission in the implementation of the four-year external cooperation strategy and maintains its current levels of support to a continuously increasing case workload. One of these posts is considered frontloading of the posts Eurojust will receive in 2024 in relation to the amendment of the Eurojust Regulation as regards the digital information exchange in terrorism cases³³.

Overall, Eurojust is requesting the frontloading of 11 posts (i.e. 10 temporary staff linked to digitalisation and 1 temporary staff linked to cooperation) foreseen under Commission's proposal to amend the Eurojust Regulation. These posts are needed earlier to ensure a proper and timely implementation of the actions foreseen in relation with the implementation of Eurojust's new CMS, for which the work has already started in 2021. In addition, early provision of these posts will ensure implementation of the EUR 9,5 M received in 2021.

Notwithstanding the above resource requests and in line with the agency's HR resource outlook, the draft Establishment Plan 2023 has a residual gap of 15 FTE for implementing in full the agency activities and objectives for 2023 that will be absorbed by applying negative priorities to certain operational work areas.

More detailed justifications for Eurojust's 2023 human resource estimates can be found in the agency's Draft Budget general guidelines, provided as Annex V of Eurojust's College Decision 2022-01 of 25 January 2022.

4.1.2 Vacancy rate as of end 2021

On 31 December 2021, 207 temporary staff posts were filled, leading to a vacancy rate of 0% compared to 1% in 2020. Offer letters are counted as filled posts.

4.1.3 Standard abatement ('abatement forfaitaire') applied

A 2% vacancy rate is applied to account for turnover and part-time incumbency of posts.

4.1.4 Salary assumption for calculating salary line (% applied)

The percentage applied is 98%, meaning that a vacancy rate of 2% is used for estimating the salary costs for 2023.

4.1.5 Correction coefficient used

A 11,3% correction coefficient is used for 2023 (2% correction coefficient increase from 2022). Eurojust also incorporated a 0.8% indexation adjustment.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

General explanation from Eurojust on DB 2023 request, covering all budget titles:

Eurojust's Draft Budget 2023 estimate reflects the agency's priorities set in its Multi-Annual Strategy (MAS) 2022-2024 and its workload projections, built upon the overarching assumption that as of 2023 operational work growth will resume in full and continue at the pre-pandemic levels. It amounts to EUR 50 586 300 and contributes to the MAS 2022-2024, by allocating the 71,4% of the agency's human and financial resources to its core tasks and priorities, under MAS objectives 1-Casework (46,4%), 2-Cooperation (10,8%) and 3-Digitalisation (14,3%).

The net increase of EUR 4,6 M (10%) over the 2022 budget is mainly due to Eurojust's:

³² COM(2021) 757 final

³³ COM(2021) 757 final

- Digitalisation plans (↑ EUR 2,2 M), through recruiting 16 additional staff to support the DCJ programme/projects and the new CMS development process launched in 2021 with the transfer of EUR 9,5 M commitment appropriations to Eurojust; and upgrading the ICT infrastructure to ensure business continuity and enable longer-term efficiencies;
- Business continuity and contractual obligations (↑ EUR 1,8 M), concerning indexation and inflation adjustments in the existing staff remuneration; the European School subsidy and the building rent, maintenance and security; as well as other staff services (including recruitment, training, medical and Pay Master Office services);
- Operational work growth (↑ EUR 690 K), through recruiting 5 additional staff to support key operational priorities related to cooperation and casework and including Eurojust's new tasks stemming from the ECRIS-TCN Regulation; covering an expected increase in the number of coordination meetings following the COVID-19 crisis; and expanding national desks' access to additional case-law journals and legal databases.

At EUR 50,6 M, the Draft Budget 2023 request exceeds by EUR 3,2 M Commission's initial MFF programming for 2023 (EUR 47,4 M). This primarily reflects the costs of the additional staff granted by the budgetary authority in the Establishment Plan 2022 and Eurojust's 21 new staff requests for 2023. Furthermore, Eurojust's 2023 estimate deviates by EUR 142 K from the MFF programming in other non-staff costs. This residual deviation relates to activities/services initiated after the establishment of Commission's initial programming for 2023, including most notably the video-conferencing/audio-visual infrastructure, the paid internship programme and the EMAS certification. Eurojust has absorbed most of the respective additional costs through efficiencies in its 2022 budget and 2023 estimates.

To limit this deviation while accommodating the expected workload growth and new tasks, for 2023, Eurojust:

- Plans to cover, as in 2021-2022, certain high priority operational needs, by recruiting up to 14 additional contract staff under Denmark's 2023 financial contribution (~EUR 1 M);
- Maintains some of the efficiency gains identified during the COVID-19 pandemic, most notably by reducing administrative and operational missions and by organising online a minimum number of coordination meetings (30%) and network secretariats' meetings; and
- Deprioritises the reinforcement or enhancement of specific operational services as detailed in the Annual Work Programme 2023, retaining the residual staff constraints in a number of areas.

Last but not least, as in prior years, more than two thirds of the Draft Budget 2023 estimate (69%) relate to fixed cost elements that Eurojust cannot adjust, while 79% of its human resources support activities that are required to fulfil Eurojust's mandate and legal or statutory requirements.

Further justifications for Eurojust's 2023 financial resource estimates can be found in the agency's Draft Budget general guidelines, provided as Annex V of Eurojust's College Decision 2022-01 of 25 January 2022.

EUR 28 067 400 in both Commitment and Payment appropriations (1)

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| Title 1 "significant" increases (over 2022 budget) | EUR 3,8 M |
| <p>1. Salaries and allowances (Chapter 11)</p> <p>This increase is due to:</p> <ul style="list-style-type: none"> - the additional costs for 15 new temporary (↑ 1 860 K) and 6 new contract staff (↑ 414 K) as per the detailed justifications provided in Annex VI of Eurojust's Draft Budget 2023 general guidelines; - the annual statutory remuneration adjustments for the 210 temporary (↑ EUR 1 065 K) and 16 contract staff (↑ EUR 32 K) authorised in the Establishment Plan 2022; - the additional costs for filling 4 AD posts currently occupied by AST post holders, to align further with the authorised Establishment Plan (↑ EUR 128 K); - an estimated increase in the European School subsidy (↑ EUR 77 K), to cover costs that are totally outside Eurojust's control and are driven by indexation adjustments and a forecasted growth in children registrations; and - a new paid internships programme (EUR 90 K), providing a grant-based remuneration for interns, as per the European Parliament's and Ombudsman's general recommendations and best practices of other EU bodies. <p>Eurojust estimates its staff salaries in line with its methodology that still results in more modest estimates compared to that followed by the Commission, by using:</p> | <p>EUR 3,7 M</p> <p>(↑16%)</p> |

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| <ul style="list-style-type: none"> - As basis the agency's 2022 salary estimates per post type (i.e. AD, AST and FG) (significantly lower than the Commission ones); - A vacancy rate of 2% and a combined indexation and correction coefficient adjustment of 2,8%, as per Eurojust's Management Board assumptions (significantly lower than the Commission projections for 2023); and - A provision for expected step changes and possible reclassifications. | |
| <p>2. Socio-medical infrastructure (Chapter 14)</p> <p>Whilst partly offset by certain efficiency gains, this net increase reflects new contractual schemes and prices, mainly for the Eurojust doctor services (↑ EUR 29 K), the canteen services (↑ EUR 26 K) and the annual medical checks (↑ EUR 15 K) provided to all staff as per the agency's statutory obligations.</p> | <p>EUR 59 K (↑32%)</p> |
| <p>3. Expenditure related to staff recruitment (Chapter 12)</p> <p>This increase primarily reflects higher estimated costs for LinkedIn services (↑ EUR 7 K), entry/exit travel (↑ EUR 6 K) and assessment centres (↑ EUR 4 K).</p> | <p>EUR 17 K (↑11%)</p> |
| <p>4. External services (Chapter 15)</p> <p>This increase primarily reflects the higher COM SLA costs for PMO services.</p> | <p>EUR 18 K (↑7%)</p> |
| <p>5. Training and development for staff (Chapter 18)</p> <p>Despite efficiency gains through an increased number of online trainings, this net increase reflects the staff engagement survey costs (EUR 38 K) that are not included for 2022 and the higher costs of the COM training SLA (↑ EUR 21 K).</p> | <p>EUR 14 K (↑4%)</p> |

(1) Notwithstanding this increase, Eurojust understates the total resources under Salaries and allowances by approximately EUR 1 M, expecting to receive this amount through Denmark's financial contribution for 2023. With this, as in 2021-2022, Eurojust aims to cover the full costs for up to 14 additional contract staff in excess of the 16 covered by prior year budgets, in order to cover certain high priority operational needs.

4.2.2 Title 2

EUR 11 812 500 in both Commitment and Payment appropriations

| | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Title 2 "significant" increases (over 2022 budget) | EUR 823 K |
| <p>6. Information management and data processing expenditure (Chapter 21)</p> <p>Further to a 'marginal' increase in ICT organisational projects, this increase primarily concerns the replacement of components/assets of the agency's computer infrastructure (↑ EUR 544 K), including e.g. storage, servers, networking equipment etc. The planned replacements are based on a holistic review and analysis of the current infrastructure and follow a multi-annual technical roadmap and not a typical 4-year financial depreciation cycle. They are necessary to ensure business continuity and enable longer-term efficiencies in the infrastructure's maintenance and support.</p> <p>For each infrastructure component, the estimates include the costs for analysing the technical requirements and designing the specifications, as well as identifying, purchasing and implementing the right technical solutions. Thus, they cover both the purchase and the external consultancy required to bring highly specialised technical knowledge in the analysis, design and deployment phases.</p> | <p>EUR 548 K (↑12%)</p> |
| <p>7. Rental of building and associated costs (Chapter 20)</p> <p>This increase relates to:</p> <ul style="list-style-type: none"> - Building and ground rent (↑ EUR 68 K), reflecting indexation adjustments based on Eurojust's lease agreement with the host state and the estimated Consumer Price Index in the Netherlands; - Maintenance, cleaning and utilities (↑ EUR 126 K), reflecting inflationary and indexation adjustments (↑ EUR 45 K), primarily based on the SLA with the host state and the Consumer Price Index estimates, as well as consultancy costs for the EMAS certification (EUR 81 K); and - Security management (↑ EUR 80 K), reflecting higher prices as per the new contract scheme not factored | <p>EUR 275 K (↑4%)</p> |

| | |
|---------------------------------------------------------------------------------------------------------------------------------|--|
| in the 2022 budget (↑ 60 K EUR), as well as extra funds for the new technical surveillance counter measure services (EUR 20 K). | |
|---------------------------------------------------------------------------------------------------------------------------------|--|

4.2.3 Title 3

EUR 10 706 400 in Commitment appropriations and EUR 15 739 400 in Payment appropriations (1)

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Title 3 “significant” increases (over 2022 budget) | EUR 70 K |
| 8. Meetings, trainings and representation expenses (Chapter 30) Besides other inflationary adjustments, this increase is primarily due to the expected growth in the number of coordination meetings and centres (↑ EUR 39 K). Eurojust expects to offset most of the budgetary impact, through capitalising on the lessons learnt from the COVID-19 pandemic and thus organising a minimum percentage of online meetings (30%). The planned investments in the agency’s computer infrastructure are essential for retaining these COVID-19 efficiencies. | EUR 50 K (↑2%) |
| 9. Data processing and documentation expenditure (Chapter 33) This increase concerns the library costs needed to expand the national desks’ access to additional law journals and legal databases, as per demand and changing operational work requirements. | EUR 20 K ↑2%) |

(1) Title 3 and total payment appropriations exceed the commitment ones by EUR 5 033 000, since Eurojust uses differentiated appropriations for the DCJ programme/projects and Joint Investigation Team (JIT) grants.

4.3 Ad hoc grants and delegation agreements

In accordance with FFR Articles 7 and 43.2, Eurojust plans to implement operational projects with external funding received based on corresponding grant, contribution and/or service level agreements. Eurojust will treat this funding as external assigned revenue and implement this expenditure under budget title 4 (Operational projects expenditure).

Eurojust’s draft Single Programming Document 2023-2025 provides an overview and high-level plan for the ongoing and expected agreements (refer to Annex XI), summarises their scope and link to the agency’s mandate and objectives (refer to objective 2.3.1 of the Annual Work Programme 2023) and provides further information on their financial and human resources (refer to respective Annexes III and IV).

More specifically, in 2023 Eurojust will or may implement externally funded agreements related to the:

- EuroMed Justice programme (ongoing), with a total amount of EUR 5 000 000 for 2020-2023 and an amount of EUR 888 088 and 6,5 FTE of contract staff estimated for 2023;
- SIRIUS project (ongoing), with a total amount of EUR 1 265 436 for 2021-2024 and an amount of EUR 402 362 and 4 FTE of contract staff estimated for 2023;
- EUIPO enhanced cooperation actions (ongoing), with a total amount of EUR 750 000 for 2021-2024 and an amount of EUR 265 725 and 2 FTE of contract staff estimated for 2023; and
- IPA III Western Balkans project (expected to be initiated in 2022), with a total amount up to EUR 6 M for 2022-2025 but the estimated resources for 2023 not yet known (subject to further consultation with the Commission).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|----------|---------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| | | | | | | | | | | |

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|----------------------------------------|-------------------------------------------------------|---------------------|--------------|---------------|------------------|-------------------------------------------------|----------------|---------------------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Eurojust Building (office and parking) | Johan de Wittlaan 9 2517 JR The Hague The Netherlands | 20 231 | 8 277 | 28 508 | 2 900 500 | 20 years as of date of delivery (24 March 2017) | Lease contract | Estimated rent per year for the Host State is twice the amount paid by Eurojust | |
| TOTAL | | | 20 231 | 8 277 | 28 508 | 2 900 500 | | | | |

5.1.2 Current building(s) Other comments

Eurojust's lease agreement with the host state is based on a 'build-and-maintain' concept, as approved by the Commission. Therefore, in addition to the annual rent (calculated as per Annex IV of the lease agreement), Eurojust also incurs an annual fee and/or costs with the host state for:

- Maintenance services that consist of (i) the service level agreement for preventive and corrective maintenance, including replacements (estimated at EUR 715 000 for 2023); and (ii) any additional work due to changes to the building upon Eurojust's request or damages caused by Eurojust (estimated at EUR 138 500 for 2023); and

- Utilities which are estimated at EUR 369 300 for 2023, excluding the energy tax for which Eurojust is exempt.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

| Agreement in place with the European School(s) of: | The Hague | | | |
|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----|---|
| Contribution agreements with Commission on type I European schools | Yes | | No | √ |
| Contribution agreements with Commission on type II European schools | Yes | √ | No | |
| Number of service contracts in place with international schools: | N/A | | | |
| Description of any other solutions or actions in place: | International education facilities in the Hague include the International school of the Hague as well as the American, British, French and German schools. | | | |

5.3 Evaluation

Following the entry into force of the EJR as of 12 December 2019 and as foreseen in Article 69, by 13 December 2024 the Commission is expected to undertake an evaluation of the implementation and impact of the EJR, and the effectiveness and efficiency of Eurojust and its working practices.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------|---------------------------------------------|
| | Protocol of privileges and immunities/diplomatic status | Education/day care |
| The privileges and immunities of the agency are based on the Seat Agreement between | The privileges and immunities of Eurojust post-holders are based on the Seat | Eurojust staff receive education allowances |

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Eurojust and the Netherlands, the Agreement on Privileges and Immunities between Eurojust and the Netherlands and Protocol No.7 annexed to the EU Treaty. Within the scope of its official activities, Eurojust is exempt, inter alia, from: import taxes and duties, motor vehicle tax, tax on passenger motor vehicles and motorcycles, value-added tax paid on goods and services supplied on a recurring basis or involving considerable expenditure, excise duties included in the price of alcoholic beverages and hydrocarbons such as fuel oils and motor fuels, real property transfer tax, insurance tax, energy tax and, tax on water mains.</p> <p>The Host State authorities shall ensure that the Headquarters is supplied with electricity, water, sewerage, gas, post, telephone, telegraph, local transportation, drainage, collection of refuse, fire protection and snow removal from public streets.</p> <p>The Dutch Government shall permit Eurojust to communicate freely without the need for special permission and to dispatch and receive official correspondence by courier or in sealed bags which shall have the same privileges and immunities as diplomatic couriers and bags.</p> | <p>Agreement between Eurojust and the Netherlands, the Agreement on Privileges and Immunities between Eurojust and the Netherlands and Protocol No.7 annexed to the EU Treaty. Two different regimes apply:</p> <p>National Members, Deputies and Assistants as well as the Administrative Director and Heads of Units/Services and their family members are granted “AO” status by the Host State and benefit from certain VAT exemptions and, exemption from excise duties for alcoholic beverages, tobacco and fuel.</p> <p>“AO” status also provides for exemption from tax on cars and motorcycles (BPM) and road tax (MRB) for two cars registered on the post-holder’s name at the same time.</p> <p>Eurojust post-holders are exempted from VAT for the purchase of cars. Eurojust staff members are exempted from Dutch income tax and from all compulsory contributions to the social security organisations of the Netherlands.</p> <p>Additional exemptions include duties in relation to water authority charges, municipal tax on second homes, dog licences and tax for installations on public land or water.</p> | <p>for school fees. Staff has to pay for the education of its children.</p> <p>As a courtesy of the Host State, Eurojust post-holders may request the Dutch subsidy for the reimbursement of a percentage of day care and after-school care costs of accredited centres.</p> <p>Eurojust reimburses in full the tuition fees of staff whose children are studying at the European School in The Hague.</p> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

2.2.11 European Public Prosecutor's Office – EPPO

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EU) 2017/1939 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ('the EPPO') | 12 October 2017 | The EPPO shall be responsible for investigating, prosecuting and bringing to judgment the perpetrators of, and accomplices to, criminal offences affecting the financial interests of the Union which are provided for in Directive (EU) 2017/1371 and determined by this Regulation. In that respect the EPPO shall undertake investigations, and carry out acts of prosecution and exercise the functions of prosecutor in the competent courts of the Member States, until the case has been finally disposed of. |

1.2 Seat

Luxembourg, Grand Duchy of Luxembourg

1.3 Budget Line

07 10 08 : European Public Prosecutor's Office (EPPO)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 68 | 64 | 94,12% | 127 | 127 |
| Assistants (AST) | 23 | 23 | 100,00% | 40 | 40 |
| Assistants/Secretaries (AST/SC) | 4 | 4 | 100,00% | 4 | 4 |
| ESTABLISHMENT PLAN POSTS | 95 | 91 | 95,79% | 171 | 171 |
| Contract Agents (CA) | 35 | 31 | 88,57% | 48 | 48 |
| Seconded National Experts (SNE) | | | | 29 | 29 |
| TOTAL STAFF | 130 | 122 | 93,85% | 248 | 248 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 57 101 846 | 62 996 393 |
| Other Revenue | | |
| TOTAL REVENUES | 57 101 846 | 62 996 393 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | | | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 25 800 000 | 25 800 000 | 31 844 527 | 31 844 527 |
| Title 2 - Infrastructure and operating expenditure | 6 280 000 | 6 280 000 | 6 130 000 | 6 130 000 |
| Title 3 - Operational expenditure | 25 021 846 | 25 021 846 | 25 021 866 | 25 021 866 |
| TOTAL EXPENDITURE | 57 101 846 | 57 101 846 | 62 996 393 | 62 996 393 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 22 | | 22 | | 22 | | 24 | | 22 |
| AD 12 | | | | | | 2 | | 2 | | 2 |
| AD 11 | | 1 | | 1 | | 3 | | 1 | | 1 |
| AD 10 | | 5 | | 4 | | 7 | | 8 | | 7 |
| AD 9 | | 5 | | 5 | | 7 | | 7 | | 7 |
| AD 8 | | 2 | | 2 | | 7 | | 14 | | 7 |
| AD 7 | | 12 | | 11 | | 42 | | 38 | | 38 |
| AD 6 | | 14 | | 13 | | 32 | | 32 | | 32 |
| AD 5 | | 5 | | 4 | | 3 | | 19 | | 9 |
| AD | | 68 | | 64 | | 127 | | 147 | | 127 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | | | | | | | | | |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | 2 | | 3 | | 3 |
| AST 5 | | 4 | | 4 | | 8 | | 13 | | 9 |
| AST 4 | | 5 | | 5 | | 15 | | 19 | | 15 |
| AST 3 | | 12 | | 12 | | 13 | | 11 | | 11 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 23 | | 23 | | 40 | | 48 | | 40 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | 1 | | 1 | | 1 |
| AST/SC 2 | | 4 | | 4 | | 3 | | 3 | | 3 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 4 | | 4 | | 4 | | 4 | | 4 |
| TOTAL | | 95 | | 91 | | 171 | | 199 | | 171 |
| GRAND TOTAL | | 95 | | 91 | | 171 | | 199 | | 171 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 20 | 17 | 27 | 27 |
| Function Group III | 12 | 11 | 18 | 18 |
| Function Group II | 3 | 3 | 3 | 3 |
| Function Group I | | | | |
| TOTAL | 35 | 31 | 48 | 48 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | 29 | 29 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 27 168 229 | 57 101 846 | 67 318 100 | 62 996 393 | 10,32% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | 895 299 | 100% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 858 | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 27 169 087 | 57 101 846 | 67 318 100 | 62 996 393 | 10,32% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 602 483 | 25 800 000 | 32 598 100 | 31 844 527 | 23,43% |
| Salaries & allowances | 6 714 009 | 22 300 000 | 28 518 100 | 27 764 527 | 24,50% |
| - <i>Of which establishment plan posts</i> | 5 612 937 | 18 000 000 | 24 031 500 | 23 122 866 | 28,46% |
| - <i>Of which external personnel</i> | 1 101 072 | 4 300 000 | 4 486 600 | 4 641 661 | 7,95% |
| Expenditure relating to Staff recruitment | 311 939 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 8 915 | 50 000 | 50 000 | 50 000 | 0,00% |
| Socio-medical infrastructure | 356 928 | 650 000 | 1 230 000 | 1 230 000 | 89,23% |
| Training | 66 975 | 150 000 | 150 000 | 150 000 | 0,00% |
| External Services | 923 798 | 1 430 000 | 1 430 000 | 1 430 000 | 0,00% |
| Receptions, events and representation | 2 919 | 20 000 | 20 000 | 20 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 217 000 | 200 000 | 200 000 | 200 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 3 961 052 | 6 280 000 | 7 130 000 | 6 130 000 | -2,39% |
| Rental of buildings and associated costs | 2 094 690 | 2 950 000 | 2 750 000 | 2 750 000 | -6,78% |
| Information, communication technology and data processing | 873 161 | 2 550 000 | 2 900 000 | 2 600 000 | 1,96% |
| Movable property and associated costs | 912 549 | 630 000 | 1 330 000 | 630 000 | 0,00% |
| Current administrative expenditure | 30 652 | 100 000 | 100 000 | 100 000 | 0,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | 50 000 | 50 000 | 50 000 | 50 000 | 0,00% |
| Title 3 - Operational expenditure | 12 781 410 | 25 021 846 | 27 590 000 | 25 021 866 | 0,00% |
| TOTAL | 25 344 945 | 57 101 846 | 67 318 100 | 62 996 393 | 10,32% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 896 361 | 25 800 000 | 32 598 100 | 31 844 527 | 23,43% |
| Salaries & allowances | 6 714 009 | 22 300 000 | 28 518 100 | 27 764 527 | 24,50% |
| - <i>Of which establishment plan posts</i> | 5 612 937 | 18 000 000 | 24 031 500 | 23 122 866 | 28,46% |
| - <i>Of which external personnel</i> | 1 101 072 | 4 300 000 | 4 486 600 | 4 641 661 | 7,95% |
| Expenditure relating to Staff recruitment | 287 836 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 7 092 | 50 000 | 50 000 | 50 000 | 0,00% |
| Socio-medical infrastructure | 18 928 | 650 000 | 1 230 000 | 1 230 000 | 89,23% |
| Training | | 150 000 | 150 000 | 150 000 | 0,00% |
| External Services | 748 409 | 1 430 000 | 1 430 000 | 1 430 000 | 0,00% |
| Receptions, events and representation | 702 | 20 000 | 20 000 | 20 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 119 385 | 200 000 | 200 000 | 200 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 1 386 181 | 6 280 000 | 7 130 000 | 6 130 000 | -2,39% |
| Rental of buildings and associated costs | 595 354 | 2 950 000 | 2 750 000 | 2 750 000 | -6,78% |
| Information, communication technology and data processing | 424 710 | 2 550 000 | 2 900 000 | 2 600 000 | 1,96% |
| Movable property and associated costs | 359 823 | 630 000 | 1 330 000 | 630 000 | 0,00% |
| Current administrative expenditure | 4 697 | 100 000 | 100 000 | 100 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|---------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 1 597 | 50 000 | 50 000 | 50 000 | 0,00% |
| Title 3 - Operational expenditure | 9 259 592 | 25 021 846 | 27 590 000 | 25 021 866 | 0,00% |
| TOTAL | 18 542 134 | 57 101 846 | 67 318 100 | 62 996 393 | 10,32% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): 895.299 euros

4 Justification of needs

Commission assessment

Human Resources

The Commission is not currently in a position to support the Agency's DB2023 request in terms of staff conversion and additional financial resources on top of the programming amount but recognizes the challenges that EPPO has to overcome in the near future such as handling an increasing number of complex cases and ensuring they can reach national courts as soon as possible.

Financial Resources

The Commission is not currently in a position to support the Agency's DB2023 request in terms of staff conversion and additional financial resources on top of the programming amount for 2023 after accounting for indexation.

The proposed EU contribution for 2023 includes an increase (EUR 927 510) for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EPPO's needs in 2023 remain stable compared to 2022 in absolute numbers at 248 central office staff. Nevertheless, a conversion of 28 posts compared to 2022 from Contract agents to Temporary agents is requested based on the identified operational needs of 2023. In addition, certain upgrades in grades are requested in the establishment plan posts to allow for reclassification in 2023.

4.1.2 Vacancy rate as of end 2021

The vacancy rate on 31.12.2021 was 6%, that is 122 out of the 130 posts were filled. This results from the turnover and the difficulty in some positions to attract qualified candidates and, if identified, to convince them to accept a deployment in Luxembourg.

4.1.3 Standard abatement ('abatement forfaitaire') applied

During the first years of the operation of EPPO all posts are essential and solely the lack of attractiveness of the employment conditions offered in job markets affected by the pandemic lead to minimal number of vacancies.

4.1.4 Salary assumption for calculating salary line (% applied)

For 2023, the assumptions to calculate salaries both for establishment plan staff (Temporary Agents), contract staff and SNEs was Annex 2 of DG BUDG A.5 note of 30/11/2021 (Ares(2021)7378761) with 2022 average costs for staff without habillage (129.900 euros per year for Temporary Agents, 60.800 euros per year for SNEs and 80.100 euros per year for contract staff (FG IV).

4.1.5 Correction coefficient used

Not applicable

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1 the budget request includes salaries and allowances for 248 staff (199 TA, 29 SNE & 20 CA) based on the calculations explained in section 4.1.4 above. It also includes one off costs for expenditure concerning the recruitment of some of these staff, as well as costs for missions of EPPO administrative staff, training, medical service costs, costs related to the European Schools as well as other external services including interim staff.

4.2.2 Title 2

Title 2 includes the utility costs and security ('gardiennage') for EPPO's premises in Tour B building (premises offered rent free by the Luxembourgish authorities) in the Luxembourg Kirchberg plateau. Other costs under this title include furniture and administrative ICT related costs.

4.2.3 Title 3

Title 3 includes EPPO's operational expenditure. This comprises of costs relating to EPPO Case Management System (CMS) and other operational ICT costs. It also covers costs for exceptionally costly investigation measures as per Article 91.6 of the EPPO regulation and other costs related to investigation activities. In addition, it includes salaries of 140 European Delegated Prosecutors (EDPs) at the decentralised level and operational missions' costs. Finally it includes costs for close protection services and for translation.

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-----------------------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Tour B | Kirchberg, Luxembourg | | | | | | | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

The EPPO has moved to its permanent premises in Tower B (and its annex) in the Luxembourg Kirchberg plateau since January 2021. The building is provided rent free by the Luxembourgish authorities. EPPO still is liable to pay utility and other costs related to the usage of the building.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 European Schools

The annual contribution for attendance in the 2 European Schools of Luxembourg is around 10.000 euros per child. 800.000 have been budgeted for this expense in 2023.

5.3 Evaluation

Not applicable

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-------------------|----------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | Diplomatic status granted for the European Chief Prosecutor and all 22 European Prosecutors. | |

1 Overview**1.1 Creation/modification (legal base)**

| Decision | Date | Mission / Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) 2019/1149 of the European Parliament and of the Council of 20 June 2019 establishing a European Labour Authority, amending Regulations (EC) No 883/2004, (EU) No 492/2011, and (EU) 2016/589 and repealing Decision (EU) 2016/344 | 11 July 2019 | <p>The objective of the Authority is to contribute to ensuring fair labour mobility across the Union and assist Member States and the Commission in the coordination of social security systems within the Union.</p> <p>To this end, the Authority shall facilitate access to information on rights and obligations regarding labour mobility across the Union as well as to relevant services; facilitate and enhance cooperation between Member States in the enforcement of relevant Union law across the Union, including facilitating concerted and joint inspections; mediate and facilitate a solution in cases of cross-border disputes between Member States; and support cooperation between Member States in tackling undeclared work.</p> |

1.2 Seat

On 13 June 2019, the Decision (EU) 2019/1199 on the location of the seat of the ELA - Bratislava, Slovakia - was taken by common accord between the Representatives of the Governments of the Member States. It is assumed in this draft budget request for 2023 that the ELA will be fully established in Bratislava and operate from this location during the full year.

1.3 Budget Line

07 10 09 : European Labour Authority (ELA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|--------------------------|-----------------------------------------|--------------------------|--------------------------|-----------------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 27 | 25 | 92,59% | 40 | 52 |
| Assistants (AST) | 11 | 7 | 63,64% | 17 | 15 |
| Assistants/Secretaries (AST/SC) | | | | | 2 |
| ESTABLISHMENT PLAN POSTS | 38 | 32 | 84,21% | 57 | 69 |
| Contract Agents (CA) | 15 | 11 | 73,33% | 15 | 15 |
| Seconded National Experts (SNE) | 45 | 41 | 91,11% | 60 | 60 |
| TOTAL STAFF | 98 | 84 | 85,71% | 132 | 144 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 34 689 842 | 39 973 330 |
| Other Revenue | | |
| TOTAL REVENUES | 34 689 842 | 39 973 330 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 12 982 842 | 12 982 842 | 13 916 330 | 13 916 330 |
| Title 2 - Infrastructure and operating expenditure | 3 772 000 | 3 772 000 | 5 615 000 | 5 615 000 |
| Title 3 - Operational expenditure | 17 935 000 | 8 967 500 | 20 442 000 | 10 221 000 |
| TOTAL EXPENDITURE | 34 689 842 | 25 722 342 | 39 973 330 | 29 752 330 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | 1 | | 1 | | 3 | | 3 |
| AD 10 | | 6 | | 4 | | 5 | | 3 | | 3 |
| AD 9 | | | | | | | | 1 | | 1 |
| AD 8 | | | | 1 | | 5 | | 14 | | 14 |
| AD 7 | | 13 | | 9 | | 14 | | 10 | | 10 |
| AD 6 | | | | | | 8 | | 18 | | 18 |
| AD 5 | | 7 | | 9 | | 6 | | 2 | | 2 |
| AD TOTAL | | 27 | | 25 | | 40 | | 52 | | 52 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | 1 | | 1 |
| AST 4 | | 2 | | 2 | | 7 | | 6 | | 6 |
| AST 3 | | 8 | | 5 | | 10 | | 8 | | 8 |
| AST 2 | | 1 | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 11 | | 7 | | 17 | | 15 | | 15 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | 2 | | 2 |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | 2 | | 2 |
| TOTAL | | 38 | | 32 | | 57 | | 69 | | 69 |
| GRAND TOTAL | | 38 | | 32 | | 57 | | 69 | | 69 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 7 | 4 | 7 | 9 |
| Function Group III | 8 | 7 | 8 | 6 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 15 | 11 | 15 | 15 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 45 | 41 | 60 | 60 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 23 202 552 | 34 689 842 | 39 568 000 | 39 973 330 | 15,23% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | | | 538 216 | 100% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 23 202 552 | 34 689 842 | 39 568 000 | 39 973 330 | 15,23% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 210 380 | 12 982 842 | 13 511 000 | 13 916 330 | 7,19% |
| Salaries & allowances | 5 276 000 | 10 192 000 | 10 255 000 | 10 660 330 | 4,60% |
| - <i>Of which establishment plan posts</i> | 2 655 000 | 5 844 000 | 6 000 000 | 6 405 330 | 9,61% |
| - <i>Of which external personnel</i> | 2 621 000 | 4 348 000 | 4 255 000 | 4 255 000 | -2,14% |
| Expenditure relating to Staff recruitment | 643 000 | 508 441 | 533 000 | 533 000 | 4,83% |
| Employer's pension contributions | | | | | |
| Mission expenses | 90 000 | 158 400 | 160 000 | 160 000 | 1,01% |
| Socio-medical infrastructure | 1 350 000 | 1 050 457 | 1 380 000 | 1 380 000 | 31,37% |
| Training | 199 980 | 516 300 | 328 000 | 328 000 | -36,47% |
| External Services | 630 646 | 505 764 | 775 000 | 775 000 | 53,23% |
| Receptions, events and representation | 15 000 | 51 480 | 80 000 | 80 000 | 55,40% |
| Social welfare | | | | | |
| Other Staff related expenditure | 5 754 | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 754 498 | 3 772 000 | 5 615 000 | 5 615 000 | 48,86% |
| Rental of buildings and associated costs | 375 898 | 195 000 | 356 500 | 356 500 | 82,82% |
| Information, communication technology and data processing | 1 162 230 | 1 997 000 | 2 417 000 | 2 417 000 | 21,03% |

| EXPENDITURE | Commitment appropriations | | | | |
|----------------------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 332 872 | 220 000 | 70 000 | 70 000 | -68,18% |
| Current administrative expenditure | 378 256 | 210 000 | 611 000 | 611 000 | 190,95% |
| Postage / Telecommunications | 8 660 | 100 000 | 30 000 | 30 000 | -70,00% |
| Meeting expenses | 69 498 | 370 000 | 265 000 | 265 000 | -28,38% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 323 519 | 480 000 | 630 000 | 630 000 | 31,25% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 103 565 | 200 000 | 1 235 500 | 1 235 500 | 517,75% |
| Title 3 - Operational expenditure | 12 237 674 | 17 935 000 | 20 442 000 | 20 442 000 | 13,98% |
| Task 1: Access to information and coordination of EURES | | | | | |
| Task 2: Cooperation and exchange of information between Member States | | | | | |
| Task 3: Concerted and joint inspections | | | | | |
| Task 4: Analyses and risk assessment | | | | | |
| Task 5: Capacity Building | | | | | |
| Task 6: Support to Member States in tackling undeclared work | | | | | |
| Task 7: Mediation disputes between Member States | | | | | |
| Communication activities on operational tasks | | | | | |
| Pillar I: Facilitating access to information and services | 9 030 400 | 11 020 000 | 12 678 600 | 12 678 600 | 15,05% |
| Pillar II: Enhancing and supporting cooperation and joint action | 2 205 174 | 3 550 000 | 3 750 000 | 3 750 000 | 5,63% |
| Pillar III: Mediation and facilitating solutions in cross border disputes | 616 100 | 2 515 000 | 3 000 000 | 3 000 000 | 19,28% |
| Pillar IV: Increasing capacity and knowledge | | | | | |
| Pillar V: Horizontal activities - Enabling European Labour Authority | 386 000 | 850 000 | 1 013 400 | 1 013 400 | 19,22% |
| TOTAL | 23 202 552 | 34 689 842 | 39 568 000 | 39 973 330 | 15,23% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 5 677 536 | 12 982 842 | 13 511 000 | 13 916 330 | 7,19% |
| Salaries & allowances | 4 645 315 | 10 192 000 | 10 255 000 | 10 660 330 | 4,60% |
| <i>- Of which establishment plan posts</i> | <i>2 414 207</i> | <i>5 844 000</i> | <i>6 000 000</i> | <i>6 405 330</i> | <i>9,61%</i> |
| <i>- Of which external personnel</i> | <i>2 231 108</i> | <i>4 348 000</i> | <i>4 255 000</i> | <i>4 255 000</i> | <i>-2,14%</i> |
| Expenditure relating to Staff recruitment | 134 344 | 508 441 | 533 000 | 533 000 | 4,83% |
| Employer's pension contributions | | | | | |
| Mission expenses | 34 851 | 158 400 | 160 000 | 160 000 | 1,01% |
| Socio-medical infrastructure | 394 788 | 1 050 457 | 1 380 000 | 1 380 000 | 31,37% |
| Training | 5 500 | 516 300 | 328 000 | 328 000 | -36,47% |
| External Services | 460 996 | 505 764 | 775 000 | 775 000 | 53,23% |
| Receptions, events and representation | 988 | 51 480 | 80 000 | 80 000 | 55,40% |
| Social welfare | | | | | |
| Other Staff related expenditure | 754 | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 016 858 | 3 772 000 | 5 615 000 | 5 615 000 | 48,86% |
| Rental of buildings and associated costs | 338 308 | 195 000 | 356 500 | 356 500 | 82,82% |
| Information, communication technology and data processing | 304 444 | 1 997 000 | 2 417 000 | 2 417 000 | 21,03% |
| Movable property and associated costs | 67 078 | 220 000 | 70 000 | 70 000 | -68,18% |
| Current administrative expenditure | 254 996 | 210 000 | 611 000 | 611 000 | 190,95% |
| Postage / Telecommunications | | 100 000 | 30 000 | 30 000 | -70,00% |
| Meeting expenses | 14 148 | 370 000 | 265 000 | 265 000 | -28,38% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 23 034 | 480 000 | 630 000 | 630 000 | 31,25% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 14 850 | 200 000 | 1 235 500 | 1 235 500 | 517,75% |

| EXPENDITURE | Payment appropriations | | | | |
|----------------------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 2 832 904 | 8 967 500 | 10 221 000 | 10 221 000 | 13,98% |
| Task 1: Access to information and coordination of EURES | | | | | |
| Task 2: Cooperation and exchange of information between Member States | | | | | |
| Task 3: Concerted and joint inspections | | | | | |
| Task 4: Analyses and risk assessment | | | | | |
| Task 5: Capacity Building | | | | | |
| Task 6: Support to Member States in tackling undeclared work | | | | | |
| Task 7: Mediation disputes between Member States | | | | | |
| Communication activities on operational tasks | | | | | |
| Pillar I: Facilitating access to information and services | 2 446 382 | 5 510 000 | 6 339 300 | 6 339 300 | 15,05% |
| Pillar II: Enhancing and supporting cooperation and joint action | 296 043 | 1 775 000 | 1 875 000 | 1 875 000 | 5,63% |
| Pillar III: Mediation and facilitating solutions in cross border disputes | 15 779 | 1 257 500 | 1 500 000 | 1 500 000 | 19,28% |
| Pillar IV: Increasing capacity and knowledge | | | | | |
| Pillar V: Horizontal activities - Enabling European Labour Authority | 74 700 | 425 000 | 506 700 | 506 700 | 19,22% |
| TOTAL | 9 527 298 | 25 722 342 | 29 347 000 | 29 752 330 | 15,67% |

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): 538 218 EUR

4 Justification of needs

Commission assessment

Human Resources

The agency's request follows the related legislative financial statement (LFS) in terms of staff for 2023 (69 TA, 15 CA and 60 SNE) which is fully supported by the Commission.

Financial Resources

The agency's request is lower than the EU contribution foreseen in the financial programming for the period 2023-2027 due to the fact that ELA adjusted carefully Titles 1 and 2 as much as possible to their real needs (move to Bratislava in 2021 and fundamental start-up needs), and the fact that they also applied the correction coefficient in Bratislava.

As regards payment appropriations, ELA is requesting lower than the level of commitment appropriations. This is justified, among other things, by the fact that ELA has not yet reached its cruising speed which is only scheduled for 2024. In addition, their approach to differentiate commitment appropriations from payment appropriations at the level of their operational expenditure (Title 3) seems quite acceptable and well founded in terms of sound financial management.

The Commission supports the agency request.

However, the proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation (EUR 405 330). It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

For 2023, the total number of staff will remain the same as the one indicated in the Legislative Financial Statement accompanying the founding Regulation, as well as the overall budget planned under Title 1.

By end of 2023, ELA is expected to reach its full capacity of 144 staff, with a breakdown of 69 Temporary Agents, 15 Contract Agents and 60 Seconded National Experts (incl. 27 National Liaison Officers)

4.1.2 Vacancy rate as of end 2021

Recruitment was a key priority in 2021, and ELA continued successfully the selection and recruitment of staff enabling the functioning of the Authority as in line with the authorised establishment plan and budget envelope 2021.

In 2021, the Authority welcomed and onboarded a significant number of its capacity, i.e. 41 new staff members. On 31 December 2021, ELA employed 43 staff (Temporary Agents and Contract Agents) and 41 Seconded National Experts, incl. 27 National Liaison Officers. The table below shows the staff breakdown of filled posts by contract type and function group as of 31 December 2021.

| Statutory staff and SNE | | | |
|---------------------------------------|--------------------------------------------|-----------------------------------|------------------|
| Staff | Year N-1 (2021) | | |
| ESTABLISHMENT PLAN POSTS | Authorised Budget | Foreseen filled as of 31/12/2021* | Occupancy rate % |
| Administrators (AD) | 27 | 25 | 93% |
| Assistants (AST) | 11 | 7 | 64% |
| Assistants/Secretaries (AST/SC) | 0 | 0 | - |
| TOTAL ESTABLISHMENT PLAN POSTS | 38 | 32 | 84% |
| EXTERNAL STAFF | FTE corresponding to the authorised budget | Foreseen FTE as of 31/12/2021* | Execution Rate % |
| Contract Agents (CA) | 15 | 11 | 73% |
| Seconded National Experts (SNE) | 45 | 41 | 91% |
| TOTAL EXTERNAL STAFF | 60 | 52 | 87% |
| TOTAL STAFF | 98 | 84 | 86% |

Most of the 2021 posts are expected to be filled in by Q1 2022.

Overall, the vacancy rate at the end of 2021 stood at 14 %

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

The calculation of the salary lines in Title I of the Authority's budget 2021 was made on the basis of:

a) the requested staff as detailed in section 2 above, assuming that the staff will take up duty progressively throughout the year,

and

b) the correction coefficient of Slovakia (79%).

4.1.5 Correction coefficient used

79% for Slovakia (minus 21 % compared to Brussels).

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The appropriations requested for the staff expenditure amount to EUR 13 511 000 It mainly covers:

- the salaries and allowances of the staff expected to be employed by the Authority in 2023 (an Establishment Plan of 69 Temporary Agents, the employment of 15 contract agents and 60 Seconded National Experts.
- allowances and cost linked to recruitment, e.g., daily subsistence and installation allowances, removal expenses, etc.
- estimation linked to annual reclassification exercise and increase in steps;
- the assumption that there will be a 2 % yearly adaptation of salary and Slovak weighting factor evaluated at 80% in 2023;
- estimation for the early childhood centres and schooling costs of staff;
- other staff related costs & related services (e.g. training, integration related costs, PMO) and support (interim staff).

4.2.2 Title 2

The infrastructure and operating expenditure are estimated to EUR 5 615 000. It mainly relates to the ICT services and equipment, Communication, Meeting Expenses and other services needed to ensure the effective administration of the Authority at its permanent seat location.

4.2.3 Title 3

The operational expenditure is estimated to EUR 20 442 000. It is composed of the appropriations related to the Authority's operational activities in Enforcement, Cooperation, Information and Governance.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------------------|----------------------------------|--------------|--------------|-----------------|----------|----------|-------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Landererova 12 (L12) | Landererova 12 (L12) | 3 437 | 1 282 | 4 719 | 12 | 20 years | Sublease | SK is covering rental costs during the first 10 years | |
| TOTAL | | | 3 437 | 1 282 | 4 719 | 12 | | | | |

5.1.2 Current building(s) Other comments

On 13 June 2019, the Decision (EU) 2019/1199 on the location of the seat of the ELA - Bratislava, Slovakia - was taken by common accord between the Representatives of the Governments of the Member States. In this budgetary statement for 2023, no rental costs for the building are included for ELA's headquarter in Bratislava.

EUR 104 000 is foreseen to cover the expected liaison office rent in Brussels and potential additional parking spot requirements in the headquarter.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 European Schools

In the absence of a European school in Bratislava, the education fees in international pre-schools and schools will be covered by the Authority by entering into bilateral agreements with those schools. This is in line with best practice in other Decentralised Agencies of the EU facing a similar situation. The Management Board has adopted decisions to that effect.

5.3 Evaluation

Not applicable.

5.4 Privileges and immunities

The Protocol on the Privileges and Immunities of the European Union and the Headquarters' Agreement with Slovakia shall apply to the Authority and its staff.

2.3 Decentralised agencies of Heading 3 – Natural Resources and Environment

2.3.1 European Fisheries Control Agency – EFCA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-------------------------------------------------------------------------|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) 2019/473 of the European Parliament and of the Council. | 19 March 2019 | <ul style="list-style-type: none"> • to coordinate control and inspection by Member States relating to the control and inspection obligations of the EU; • to coordinate the deployment of the national means of control and inspection pooled by the Member States concerned in accordance with this Regulation; • to assist Member States in reporting information on fishing activities and control and inspection activities to the Commission and third parties; • in the field of its competences, to assist Member States to fulfil their tasks and obligations under the rules of the Common Fisheries Policy; • to assist Member States and the Commission in harmonising the application of the Common Fisheries Policy throughout the EU; • to contribute to the work of Member States and the Commission on research into and development of control and inspection techniques; • to contribute to the coordination of inspector training and the exchange of experience between Member States; • to coordinate the operations to combat illegal, unreported and unregulated fishing in conformity with EU rules; • to assist in the uniform implementation of the control system of the common fisheries policy, including in particular: <ol style="list-style-type: none"> 1) Organisation of operational coordination of control activities by Member States for the implementation of specific control and inspection programmes, control programmes related to illegal, unreported and unregulated (IUU) fishing and international control and inspection programmes 2) Inspections as necessary to fulfil the Agency’s tasks • to cooperate with European Border and Coast Guard Agency, and the European Maritime Safety Agency, each within its mandate, to support the national authorities carrying out coast guard functions, by providing services, information, equipment and training as well as by coordinating multipurpose operations. <p>In addition, among other competences:</p> <ol style="list-style-type: none"> 1) Operational coordination by the Agency shall cover control of all activities covered by the Common Fisheries Policy; 2) Officials of the Agency may be assigned in international waters as Union inspectors; 3) The Agency may acquire, rent or charter the equipment that is necessary for the implementation of the joint deployment plans; 4) The Agency shall, where appropriate: <ol style="list-style-type: none"> (a) issue manuals on harmonised standards of inspections; (b) develop guidance material reflecting the best practices in the field of control of the Common Fisheries Policy, including on the training of control officials, and update this on a regular basis; (c) provide the Commission with the necessary technical and administrative support to carry out its tasks; 5) With due regard to the different legal systems in the individual Member States, the Agency shall facilitate cooperation between Member States and between them and the Commission in the development of harmonised standards for control in accordance with EU legislation and taking into account best practices in Member States and agreed international standards; 6) Upon a notification by the Commission or of its own initiative the Agency shall set up an Emergency Unit, where a situation involving direct, indirect or potential serious risk to the Common Fisheries Policy is identified, and the risk cannot be prevented, eliminated, or reduced by existing means or cannot adequately be managed; 7) The Agency shall contribute to the implementation of the EU Integrated Maritime Policy, and in particular conclude administrative agreements with other bodies in matters covered by Regulation (EU) 2019/473 of the European Parliament and of the Council after approval by the Administrative Board. 8) The Agency shall, in cooperation with the European Border and Coast Guard Agency and the European Maritime Safety Agency, support national |

| | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | <p>authorities carrying out coast guard functions at national and Union level and, where appropriate, at international level by:</p> <p>(a) sharing, fusing and analysing information available in ship reporting systems and other information systems hosted by or accessible to those agencies, in accordance with their respective legal bases and without prejudice to the ownership of data by Member States;</p> <p>(b) providing surveillance and communication services based on state-of-the-art technology, including space-based and ground infrastructure and sensors mounted on any kind of platform;</p> <p>(c) building capacity by drawing up guidelines and recommendations and by establishing best practices as well as by providing training and exchange of staff;</p> <p>(d) enhancing the exchange of information and cooperation on coast guard functions including by analysing operational challenges and emerging risks in the maritime domain;</p> <p>(e) sharing capacity by planning and implementing multipurpose operations and by sharing assets and other capabilities, to the extent that these activities are coordinated by those agencies and are agreed to by the competent authorities of the Member States concerned.</p> |
| Commission Decision 2009/988/EU | 18 December 2009 | <p>By this Decision, the Commission designated the EFCA as the body to carry out certain tasks under the IUU Regulation. These tasks are as follows:</p> <ul style="list-style-type: none"> • transmit notifications, with copy to the Commission, on denials of landing or transshipment authorisations by third country vessels to flag State(s) and, if appropriate copies of these notifications, to Regional Fisheries Management Organisations in accordance with Article 11(3) of Regulation (EC) No 1005/2008; • upon request from the Commission, provide for the conduct of on-the-spot audits, alone or in cooperation with the Commission, to verify the effective implementation of agreed cooperation arrangements with third countries in accordance with Article 20(4), second subparagraph (c) of Regulation (EC) No 1005/2008; • communicate to Member States and flag States, with copy to the Commission, additional information submitted by the Member States to the Commission which is relevant for the establishment of the European Union IUU vessel list in accordance with Article 25(2) of Regulation (EC) No 1005/2008; • transmit sightings reports to all Member States, with copy to the Commission, and, if appropriate, to the Executive Secretary of the relevant Regional Fisheries Management Organisation in accordance with Article 48(4) of Regulation (EC) No 1005/2008; • transmit to the Executive Secretary of the relevant Regional Fisheries Management Organisation, with copy to the Commission, information from a Member State in response to a sighting report on one of its vessels from a contracting party to that Regional Fisheries Management Organisation in accordance with Article 48(5) of Regulation (EC) No 1005/2008. |
| Regulation (EU) No 1380/2013 of the European Parliament and of the Council | 11 December 2013 | <p>This regulation adopted the new Common Fisheries Policy. As regards the Agency:</p> <ul style="list-style-type: none"> • the Union shall, including through the Agency, cooperate with third countries and international organisations dealing with fisheries, including Regional Fisheries Management Organisations, to strengthen compliance with measures, especially those to combat IUU fishing, in order to ensure that measures adopted by such international organisations are strictly adhered to. • Control and enforcement of the CFP shall in particular be based on and shall include cooperation and coordination between Member States, the Commission and the Agency; <p>The Agency may assist the expert group on compliance meetings as an observer.</p> |
| Regulation (EU) 2019/833 of the European Parliament and of the Council laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, amending Regulation 2016/1627 and repealing Council Regulations (EC) No 2115/2005 and (EC) No 1386/2007 | 20 May 2019 | <p>This Regulation empowers the Agency to coordinate the surveillance and inspection activities for the EU in the NAFO Regulatory Area and to draw up, in cooperation with the Member States a plan for the EU participation in the international Scheme of control (art. 28).</p> |
| Commission Implementing Regulation (EU) 433/2012 | 23 May 2012 | <p>This Regulation, in line with the Regulation (EU) 1236/2010 of the European Parliament and the Council, and in relation with the fishing activities in the NEAFC Regulatory Area, designs the Agency to:</p> |

Part I – Decentralised agencies

| | | |
|--|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | <ul style="list-style-type: none"> • Coordinate the surveillance and inspection activities of the EU in the area; • Prepare a plan in cooperation with Member States for the EU participation in the International Scheme of control; • Receive and prepare reports on inspection and infringement in the NEAFC area. |
|--|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Vigo, Spain

1.3 Budget Line

08 10 01 : European Fisheries Control Agency

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 34 | 34 | 100,00% | 41 | 42 |
| Assistants (AST) | 31 | 31 | 100,00% | 36 | 35 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 65 | 65 | 100,00% | 77 | 77 |
| Contract Agents (CA) | 7 | 9 | 128,57% | 11 | 11 |
| Seconded National Experts (SNE) | 4 | 5 | 125,00% | 5 | 5 |
| TOTAL STAFF | 76 | 79 | 103,95% | 93 | 93 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 29 340 000 | 30 097 954 |
| Other Revenue | | |
| TOTAL REVENUES | 29 340 000 | 30 097 954 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|-------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 6 392 397 | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |

| | | |
|------------------------------------------------------------------------------|------------------|--|
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 6 392 397 | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 11 040 000 | 11 040 000 | 11 712 954 | 11 712 954 |
| Title 2 - Infrastructure and operating expenditure | 1 880 000 | 1 880 000 | 1 835 000 | 1 835 000 |
| Title 3 - Operational expenditure | 16 420 000 | 16 420 000 | 16 550 000 | 16 550 000 |
| TOTAL EXPENDITURE | 29 340 000 | 29 340 000 | 30 097 954 | 30 097 954 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 13 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 12 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 11 | | 2 | | 2 | | 3 | | 4 | | 4 |
| AD 10 | | 7 | | 7 | | 8 | | 8 | | 8 |
| AD 9 | | 5 | | 5 | | 5 | | 11 | | 11 |
| AD 8 | | 11 | | 11 | | 11 | | 4 | | 4 |
| AD 7 | | 3 | | 3 | | 8 | | 9 | | 9 |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 34 | | 34 | | 41 | | 42 | | 42 |
| AST 11 | | | | | | | | | | |
| AST 10 | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST 9 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AST 8 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AST 7 | | 8 | | 8 | | 8 | | 7 | | 7 |
| AST 6 | | 2 | | 2 | | 2 | | 2 | | 2 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 5 | | 7 | | 7 | | 7 | | 7 | | 7 |
| AST 4 | | 2 | | 2 | | 7 | | 7 | | 7 |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 31 | | 31 | | 36 | | 35 | | 35 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 65 | | 65 | | 77 | | 77 | | 77 |
| GRAND TOTAL | 65 | | 65 | | 77 | | 77 | | 77 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | 1 | 1 | 1 |
| Function Group III | 5 | 7 | 9 | 9 |
| Function Group II | 1 | 1 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 7 | 9 | 11 | 11 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 5 | 5 | 5 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | |
|----------|------------------|-------------|-------------------|-----|
| | Executed Budget | Budget 2022 | Draft Budget 2023 | VAR |

| | 2021 | | Agency request | Budget forecast | 2023/2022 (%) |
|------------------------------------------------------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|---------------|
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 21 000 000 | 29 340 000 | 29 757 000 | 30 097 954 | 2,58% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 258 957 | 601 130 | 562 667 | 562 667 | -6,40% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 8 361 | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 21 008 361 | 29 340 000 | 29 757 000 | 30 097 954 | 2,58% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 522 178 | 6 392 397 | | | -100% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 522 178 | 6 392 397 | | | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------|---------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 818 299 | 11 040 000 | 11 372 000 | 11 712 954 | 6,10% |
| Salaries & allowances | 8 551 745 | 10 443 000 | 10 827 000 | 11 167 954 | 6,94% |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which establishment plan posts</i> | 7 474 794 | 9 503 000 | 9 847 000 | 10 187 954 | 7,21% |
| <i>- Of which external personnel</i> | 1 076 951 | 940 000 | 980 000 | 980 000 | 4,26% |
| Expenditure relating to Staff recruitment | 100 714 | 350 000 | 280 000 | 280 000 | -20,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 5 628 | 70 000 | 73 000 | 73 000 | 4,29% |
| Socio-medical infrastructure | 39 558 | 38 000 | 43 000 | 43 000 | 13,16% |
| Training | 117 988 | 135 000 | 145 000 | 145 000 | 7,41% |
| External Services | | | | | |
| Receptions, events and representation | | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 2 666 | 2 000 | 2 000 | 2 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 707 319 | 1 880 000 | 1 835 000 | 1 835 000 | -2,39% |
| Rental of buildings and associated costs | 358 254 | 415 000 | 415 000 | 415 000 | 0,00% |
| Information, communication technology and data processing | 751 367 | 735 000 | 735 000 | 735 000 | 0,00% |
| Movable property and associated costs | 56 841 | 110 000 | 55 000 | 55 000 | -50,00% |
| Current administrative expenditure | 28 295 | 30 000 | 30 000 | 30 000 | 0,00% |
| Postage / Telecommunications | 63 970 | 80 000 | 80 000 | 80 000 | 0,00% |
| Meeting expenses | 11 408 | 75 000 | 75 000 | 75 000 | 0,00% |
| Running costs in connection with operational activities | 336 529 | 345 000 | 345 000 | 345 000 | 0,00% |
| Information and publishing | 100 655 | 90 000 | 100 000 | 100 000 | 11,11% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 10 870 661 | 16 420 000 | 16 550 000 | 16 550 000 | 0,79% |
| TOTAL | 21 396 279 | 29 340 000 | 29 757 000 | 30 097 954 | 2,58% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 8 640 470 | 11 040 000 | 11 372 000 | 11 712 954 | 6,10% |
| Salaries & allowances | 8 480 336 | 10 443 000 | 10 827 000 | 11 167 954 | 6,94% |
| <i>- Of which establishment plan posts</i> | <i>7 467 787</i> | <i>9 503 000</i> | <i>9 847 000</i> | <i>10 187 954</i> | <i>7,21%</i> |
| <i>- Of which external personnel</i> | <i>1 012 549</i> | <i>940 000</i> | <i>980 000</i> | <i>980 000</i> | <i>4,26%</i> |
| Expenditure relating to Staff recruitment | 98 764 | 350 000 | 280 000 | 280 000 | -20,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 3 187 | 70 000 | 73 000 | 73 000 | 4,29% |
| Socio-medical infrastructure | 29 093 | 38 000 | 43 000 | 43 000 | 13,16% |
| Training | 26 424 | 135 000 | 145 000 | 145 000 | 7,41% |
| External Services | | | | | |
| Receptions, events and representation | | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 2 666 | 2 000 | 2 000 | 2 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 119 666 | 1 880 000 | 1 835 000 | 1 835 000 | -2,39% |
| Rental of buildings and associated costs | 320 588 | 415 000 | 415 000 | 415 000 | 0,00% |
| Information, communication technology and data processing | 454 625 | 735 000 | 735 000 | 735 000 | 0,00% |
| Movable property and associated costs | 18 185 | 110 000 | 55 000 | 55 000 | -50,00% |
| Current administrative expenditure | 12 961 | 30 000 | 30 000 | 30 000 | 0,00% |
| Postage / Telecommunications | 53 749 | 80 000 | 80 000 | 80 000 | 0,00% |
| Meeting expenses | 11 408 | 75 000 | 75 000 | 75 000 | 0,00% |
| Running costs in connection with operational activities | 210 316 | 345 000 | 345 000 | 345 000 | 0,00% |
| Information and publishing | 37 834 | 90 000 | 100 000 | 100 000 | 11,11% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | VAR 2023/2022 (%) |
|-----------------------------------|-------------------------|-------------------|-------------------|-------------------|-------------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | |
| | | | Agency request | Budget forecast | |
| Title 3 - Operational expenditure | 6 423 066 | 16 420 000 | 16 550 000 | 16 550 000 | 0,79% |
| TOTAL | 16 183 202 | 29 340 000 | 29 757 000 | 30 097 954 | 2,58% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 562 667

4 Justification of needs

Commission assessment

Human Resources

In line with the latest update of the MFF 2021-2027, EFCA requests 77 establishment plan posts for 2023.

Financial Resources

This Agency's request (EU contribution of EUR 29 757 000) is in line with the MFF 2021-2027, which provides the breakdown of the amounts envisaged for each decentralised agency for the period 2021-2027.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation (EUR 341 154). It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EFCA requests a total of 77 posts (42 AD and 35 AST) for the Establishment plan for 2023.

EFCA requests 11 contract agent positions and 5 seconded national expert positions.

4.1.2 Vacancy rate as of end 2021

On 31/12/2021 the vacancy rate for Temporary Agents was 0%. This includes job offers sent before 31 December 2021.

On 31/12/2021 the number of Contract Agents was 9. In addition, there were 2 CAs funded by the PESCAO project.

On 31/12/2021 the number of Seconded National Experts was 5. In addition, there was 1 SNE funded by the PESCAO project.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimations on salary expenditures are based on the current staffing situation and entitlement status extrapolated for the future period, and the recruitment plan aiming for full occupancy.

4.1.5 Correction coefficient used

A 2% correction coefficient is used for the estimation of staff expenditure in 2023.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The staff expenditure (Title I) has been estimated taking into consideration a full occupation of the 77 posts under the draft establishment plan for 2023, 16³⁴ external staff positions, and the corresponding step increases and estimated reclassifications. In addition, the salary indexation percentage has been applied following the latest figures provided by EUROSTAT.

4.2.2 Title 2

In the case of Title II, a decrease of 2.4% can be noted in respect of 2022 budget. The five-year evaluation of EFCA was contracted in 2022 and increased the expenditure in Title II above the standard needs in this title. This is no longer the case in 2023, where the administrative budget is estimated based on the annual routine expenditure of the agency (rental, ICT, external services, etc.). EFCA continues to streamline in its administrative expenditure in general within the expected activity growth environment.

4.2.3 Title 3

The operational budget will increase by 0.8% in 2023 in respect of 2022 budget. The increase is mainly aimed at covering the potential price adjustments of existing contracts. EFCA has 4 strategic areas for 2023 and the relevant expenditure will be reflected accordingly in the operational budget structure.

EFCA will continue to implement the assigned revenue received in 2022 and carried over to 2023 for the implementation of the programs of the next 3-4 years. The draft figures of the Budget 2023 have been indicated in Annex III.

4.3 Ad hoc grants and delegation agreements

EFCA has a grant agreement related to the support to the Project Improved regional fisheries governance in Western Africa (PESCAO), adopted by the Commission by the Decision C (2017) 2951 of 28 April 2017, to be implemented from 2018 to 2023³⁵. The project includes a component aiming to improve the fight against Illegal, Unregulated and Unreported (IUU) fishing activities in Western Africa, in which EFCA provides technical assistance.

EFCA is implementing from 2022 the following three additional projects, financed in the frame of contribution agreements under the European Maritime, Fisheries and Aquaculture Fund (EMFAF)³⁶, that will start on 1 February 2022:

- Analysis for the weighing process of fisheries products in the Member States and strategy
- Mediterranean virtual regional training academy on fisheries control and inspection
- Implementation of the results of the Coast Guard qualifications Network and the CGF handbook

EFCA is continuously looking for opportunities to be able to provide support to the fight against IUU fishing and promote fisheries control, including in the international dimension, but additional resources for these activities are needed. EFCA is in that respect ready to work in cooperation with the Commission in different projects and activities to be financed, including through grant agreements under, i.e., the EMFAF, the Neighbourhood and the Blue Economy initiatives.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |

³⁴ This figure does not include the staff covered by the Assigned Revenue (15 contract agents and 3 SNE)

³⁵ Extended up to 31 December 2023, Ref. Ares(2020)7694386 – 17.12.2020.

³⁶ European Maritime, Fisheries and Aquaculture Fund

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|------------------------------------------------------|---------------------|------------|--------------|-----------------|----------|----------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Edificio Odriozola | Av. García Barbón, 4 - 36201 Vigo (Pontevedra) Spain | 3 850 | 350 | 4 200 | 52 680 | | Rent is paid directly by Spanish Authorities | Spain | |
| TOTAL | | | 3 850 | 350 | 4 200 | 52 680 | | | | |

5.1.2 Current building(s) Other comments

EFCA is using 7 floors (1st to 7th) of the building, the main entrance on the ground floor and 23 parking spaces. The main surface of the ground floor is occupied by a private bank. The rent and building related costs from the owner of the building:

- EUR 52 680/year for a yearly renewable 7th floor rent agreement + security arrangement on the basement for the duration of the occupation of the building by EFCA;
- EUR 10 500/year for a yearly renewable building general maintenance agreement.

The Host Member State confirmed in 2017 the agreement to use an additional floor (500 m2) of the building currently occupied by the agency, without any additional rent costs for EFCA.

5.1.3 Building projects in the planning phase

The office space is expected to increase in 2022 to incorporate the new staff resources provided in relation to the TCA agreement with the UK. The Spanish Authorities are currently negotiating with the owner of the building the possibility to include the ground floor of the building in the already running rental contract, which is coming up for renewal at the end of 2022. If an agreement is reached, EFCA will require to adapt this space to merge it into the rest of the building during 2022. The cost of this adaptation is still to be estimated.

Further than that, other building projects are limited to punctual adaptation of the workplace to the evolution of the needs and according to possible improvement of the environmental efficiency where possible.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

N/A

5.3 Evaluation

Regarding the third five-year external independent evaluation of the Agency for the period 2017-2021 the Evaluation Steering Committee was set up in March 2021 and the mandate was presented to the Administrative Board meeting of 21 April 2021 for discussion. The terms of reference were adopted by the Administrative Board in its meeting of 4 June 2021 and the contract has been signed. A Kick-off meeting between the new contractor and the Evaluation Steering Committee was held on 26 November 2021.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-------------------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| VAT exempted (invoices >300€) | VAT exempted for vehicle purchases, special ID cards | N/A |

2.3.2 European Environment Agency – EEA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EEC) No. 401/2009 | 23/04/2009 | Council Regulation (EEC) replacing No 1210/90 of 7 May 1990 on the establishment of the European Environment Agency and the European Environment Information and Observation Network, and in particular Article 12(5). |
| Council Regulation 933/1999 | 29/04/1999 | Following a first evaluation of the Agency as required in the Founding Regulation: the objective of the EEA was revised to include reference to sustainable development, some of the tasks were revised slightly, three new tasks were added, one priority area of work was added, enabling the management board to be extended to representatives on non-EU countries, the election of a bureau delegated to take executive decisions on behalf of the management board was enabled, an article listing possible further tasks for the Agency was deleted, and requirements for two further evaluations of the Agency were specified. |
| Regulation (EC) No 1641/2003 of the European Parliament and of the Council (L 245 1 29.9.2003) | 22/07/2003 | Revised Article 6 to indicate that Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents shall apply to documents held by the Agency. |
| European Climate Law Regulation (EU) 2021/1119 of the European Parliament and of the Council establishing the framework for achieving climate neutrality and amending Regulations (EC) No 401/2009 and (EU) 2018/1999 | 30/06/2021 | Establishing a new European Scientific Advisory Board on Climate Change within the EEA to consider the latest scientific findings, provide scientific advice and issue reports, contribute to the exchange of independent scientific knowledge, identify actions and opportunities, and raise awareness. |
| 8th Environmental Action Programme Decision (EU) 2022/591 of the European Parliament and of the Council on a General Union Environment Action Programme to 2030 | 6/04/2022 | The Agency shall support, together with the European Chemicals Agency (ECHA) the achievement of the objectives of the 8th Environment Action Programme by establishing a new monitoring and reporting framework. |
| Land Use, Land Use Change and Forestry (LULUCF) Regulation Proposal for a Regulation of the European Parliament and of the Council amending Regulations (EU) 2018/841 as regards the scope, simplifying the compliance rules, setting out the targets of the Member States for 2030 and committing to the collective achievement of climate neutrality by 2035 in the land use, forestry and agriculture sector, and (EU) 2018/1999 as regards improvement in monitoring, reporting, tracking of progress and review COM (2021) 554 | 14/07/2021 | The EEA shall set up a thorough monitoring, reporting and verification system, to support the implementation of the LULUCF Regulation. |

1.2 Seat

Copenhagen, Denmark

1.3 Budget Line

09 10 02 : European Environment Agency

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 77 | 79 | 102,60% | 90 | 95 |
| Assistants (AST) | 63 | 60 | 95,24% | 60 | 60 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 140 | 139 | 99,29% | 150 | 155 |
| Contract Agents (CA) | 80 | 79 | 98,75% | 86 | 87 |
| Seconded National Experts (SNE) | 20 | 18 | 90,00% | 20 | 20 |
| TOTAL STAFF | 240 | 236 | 98,33% | 256 | 262 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 49 682 516 | 51 555 060 |
| Other Revenue | 6 154 505 | 6 270 733 |
| TOTAL REVENUES | 55 837 021 | 57 825 793 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 357 000 | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 27 969 000 | 20 014 000 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 710 000 | 1 374 000 |
| TOTAL REVENUES | 30 036 000 | 21 388 000 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 32 784 614 | 32 784 614 | 34 312 793 | 34 312 793 |
| Title 2 - Infrastructure and operating expenditure | 5 274 550 | 5 274 550 | 5 380 000 | 5 380 000 |
| Title 3 - Operational expenditure | 17 777 857 | 17 777 857 | 18 133 000 | 18 133 000 |
| TOTAL EXPENDITURE | 55 837 021 | 55 837 021 | 57 825 793 | 57 825 793 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 2 | | | | 2 | | 2 | | 2 |
| AD 13 | 1 | 6 | | 2 | 1 | 6 | 1 | 6 | 1 | 6 |
| AD 12 | | 16 | 1 | 5 | | 16 | | 16 | | 16 |
| AD 11 | | 10 | | 9 | | 10 | | 10 | | 10 |
| AD 10 | | 11 | | 17 | | 11 | | 11 | | 11 |
| AD 9 | | 9 | | 8 | | 9 | | 9 | | 9 |
| AD 8 | | 4 | | 6 | | 6 | | 6 | | 6 |
| AD 7 | | 6 | | 15 | | 11 | | 11 | | 11 |
| AD 6 | | 8 | | 15 | | 17 | | 26 | | 22 |
| AD 5 | | 3 | | | | | | | | |
| AD TOTAL | 1 | 76 | 1 | 78 | 1 | 89 | 1 | 98 | 1 | 94 |
| AST 11 | 1 | 2 | | | | 2 | | 2 | | 2 |
| AST 10 | 2 | 5 | 1 | 3 | 1 | 5 | 1 | 5 | 1 | 5 |
| AST 9 | | 12 | | 4 | 2 | 11 | 2 | 11 | 2 | 11 |
| AST 8 | | 11 | 1 | 7 | | 11 | | 11 | | 11 |
| AST 7 | | 11 | | 8 | | 11 | | 11 | | 11 |
| AST 6 | | 11 | | 10 | | 10 | | 10 | | 10 |
| AST 5 | | 7 | | 9 | | 7 | | 7 | | 7 |
| AST 4 | | 1 | | 12 | | | | | | |
| AST 3 | | | | 4 | | | | 1 | | |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 3 | 60 | 2 | 58 | 3 | 57 | 3 | 58 | 3 | 57 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | | | | | | | | | |
| TOTAL | 4 | 136 | 3 | 136 | 4 | 146 | 4 | 156 | 4 | 151 |
| GRAND TOTAL | 140 | | 139 | | 150 | | 160 | | 155 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 62 | 60 | 64 | 65 |
| Function Group III | 11 | 11 | 11 | 11 |
| Function Group II | 7 | 8 | 11 | 11 |
| Function Group I | | | | |
| TOTAL | 80 | 79 | 86 | 87 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 20 | 18 | 20 | 20 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|--------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 45 398 000 | 49 682 516 | 51 927 646 | 51 555 060 | 3,77% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 218 261 | 235 161 | 171 623 | 171 623 | -27,02% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 4 346 853 | 4 314 412 | 4 368 071 | 4 394 330 | 1,85% |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 1 219 853 | 1 187 412 | 1 241 071 | 1 267 330 | 6,73% |
| - <i>Of which candidate countries</i> | 3 127 000 | 3 127 000 | 3 127 000 | 3 127 000 | 0,00% |
| 4 OTHER CONTRIBUTIONS | 1 673 324 | 1 840 093 | 1 923 246 | 1 876 403 | 1,97% |

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 51 418 177 | 55 837 021 | 58 218 963 | 57 825 793 | 3,56% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 265 000 | 357 000 | | | -100% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 12 631 000 | 27 969 000 | 20 014 000 | 20 014 000 | -28,44% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 553 000 | 1 710 000 | 1 374 000 | 1 374 000 | -19,65% |
| TOTAL | 13 449 000 | 30 036 000 | 21 388 000 | 21 388 000 | -28,79% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 28 875 767 | 32 784 614 | 34 555 963 | 34 312 793 | 4,66% |
| Salaries & allowances | 27 774 031 | 30 641 614 | 32 369 963 | 32 126 793 | 4,85% |
| <i>- Of which establishment plan posts</i> | <i>19 841 049</i> | <i>21 731 387</i> | <i>23 169 963</i> | <i>22 926 793</i> | <i>5,50%</i> |
| <i>- Of which external personnel</i> | <i>7 932 982</i> | <i>8 910 227</i> | <i>9 200 000</i> | <i>9 200 000</i> | <i>3,25%</i> |
| Expenditure relating to Staff recruitment | 299 151 | 880 000 | 898 000 | 898 000 | 2,05% |
| Employer's pension contributions | | | | | |
| Mission expenses | 54 000 | 300 000 | 306 000 | 306 000 | 2,00% |
| Socio-medical infrastructure | 351 874 | 385 000 | 393 000 | 393 000 | 2,08% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Training | 396 711 | 564 000 | 575 000 | 575 000 | 1,95% |
| External Services | | 14 000 | 14 000 | 14 000 | 0,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 585 886 | 5 274 550 | 5 530 000 | 5 380 000 | 2,00% |
| Rental of buildings and associated costs | 3 755 545 | 3 911 550 | 3 990 000 | 3 990 000 | 2,01% |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | 934 270 | 321 000 | 478 000 | 328 000 | 2,18% |
| Current administrative expenditure | 763 141 | 816 000 | 832 000 | 832 000 | 1,96% |
| Postage / Telecommunications | 3 643 | 6 000 | 6 000 | 6 000 | 0,00% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | 46 387 | 180 000 | 183 000 | 183 000 | 1,67% |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 82 900 | 40 000 | 41 000 | 41 000 | 2,50% |
| Title 3 - Operational expenditure | 16 956 523 | 17 777 857 | 18 133 000 | 18 133 000 | 2,00% |
| Resources | 14 941 491 | 15 643 357 | 15 956 000 | 15 956 000 | 2,00% |
| Strategic Actions | 2 015 032 | 2 134 500 | 2 177 000 | 2 177 000 | 1,99% |
| TOTAL | 51 418 176 | 55 837 021 | 58 218 963 | 57 825 793 | 3,56% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 28 875 767 | 32 784 614 | 34 555 963 | 34 312 793 | 4,66% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Salaries & allowances | 27 774 031 | 30 641 614 | 32 369 963 | 32 126 793 | 4,85% |
| - <i>Of which establishment plan posts</i> | 19 841 049 | 21 731 387 | 23 169 963 | 22 926 793 | 5,50% |
| - <i>Of which external personnel</i> | 7 932 982 | 8 910 227 | 9 200 000 | 9 200 000 | 3,25% |
| Expenditure relating to Staff recruitment | 299 151 | 880 000 | 898 000 | 898 000 | 2,05% |
| Employer's pension contributions | | | | | |
| Mission expenses | 54 000 | 300 000 | 306 000 | 306 000 | 2,00% |
| Socio-medical infrastructure | 351 874 | 385 000 | 393 000 | 393 000 | 2,08% |
| Training | 396 711 | 564 000 | 575 000 | 575 000 | 1,95% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | 14 000 | 14 000 | 14 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 5 585 886 | 5 274 550 | 5 530 000 | 5 380 000 | 2,00% |
| Rental of buildings and associated costs | 3 755 545 | 3 911 550 | 3 990 000 | 3 990 000 | 2,01% |
| Information, communication technology and data processing | | | | | |
| Movable property and associated costs | 934 270 | 321 000 | 478 000 | 328 000 | 2,18% |
| Current administrative expenditure | 763 141 | 816 000 | 832 000 | 832 000 | 1,96% |
| Postage / Telecommunications | 3 643 | 6 000 | 6 000 | 6 000 | 0,00% |
| Meeting expenses | 46 387 | 180 000 | 183 000 | 183 000 | 1,67% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 82 900 | 40 000 | 41 000 | 41 000 | 2,50% |
| Title 3 - Operational expenditure | 16 956 523 | 17 777 857 | 18 133 000 | 18 133 000 | 2,00% |
| Resources | 14 941 491 | 15 643 357 | 15 956 000 | 15 956 000 | 2,00% |

| EXPENDITURE | Payment appropriations | | | | |
|-------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Strategic Actions | 2 015 032 | 2 134 500 | 2 177 000 | 2 177 000 | 1,99% |
| TOTAL | 51 418 176 | 55 837 021 | 58 218 963 | 57 825 793 | 3,56% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue) is EUR 171.623.

4 Justification of needs

Commission assessment

Human Resources

The establishment plan (temporary agents (TA) & fonctionnaires), contract agents (CA) and seconded national experts (SNE) comprise the posts that were granted in the MFF, and additional posts that have been/are planned to be given to the EEA to deliver new tasks under the following legislative acts:

- The 8th Environmental Action Programme (EAP), giving the EEA several new tasks (9 TA and 6 CA posts starting in 2021).
- The European Climate Law, establishing European Scientific Advisory Board on Climate Change, for which the EEA will hosts the secretariat (10 TA and 4 CA starting in 2022), and support to the Commission's assessments of progress towards climate-neutrality and on adaptation (2 CA posts starting in 2022).
- The Land Use, Land Use Change and Forestry (LULUCF) Regulation, which the EEA will support with a monitoring, reporting and verification system (in 2023, the recruitments of 4 TA and 1 CA shall start and are thus reflected in the HR figures, with budgetary impact from 2024 on).

The post for the shared service office (SSO) is also approved and included in the establishment plan (financed by agencies network, no budget impact) (1 TA – cf. section 4.1.1 for additional details).

The Agency Request for 2023 includes a request for additional support posts, which is elaborated in section 4.1.1 below. This request is not accepted by the Commission and is therefore not reflected in the “budget forecast”.

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2023-2027 following the adoption of the 2022 budget. The draft budget request for 2023 has been prepared in accordance with the Budget Circular 2023 guidelines:

- The application of a 2% inflationary increase to the EU subvention to deliver the existing work programme
- Additional resources for new tasks specified in legislative acts.

Finally, the proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

The breakdown of the EU contribution, without the additional salary increase due to high inflation, is as follows (increase from 2022 budget in brackets):

| | | |
|-------------------------|----------------|---------------|
| Existing Work Programme | EUR 43,916,325 | (EUR 861,100) |
| 8 th EAP | EUR 3,287,000 | (EUR 51,000) |
| European Climate Law | EUR 3,459,546 | (EUR 68,030) |

The Commission supports a Union contribution to EEA of EUR 51 555 060 (of which EUR 51 383 437 is fresh credits) for 2023.

The Agency request for an additional EU contribution of EUR 1 265 000 for 6 additional support posts detailed in section 4.1.1 is not supported by the Commission.

The EEA receives, in addition to its EU subvention, contributions to its budget from the EFTA agreement and Switzerland, which increase in proportion to the EU subvention, and Turkey, which is a fixed amount.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Agency Request for additional support staff:

From 2018 to 2022, the EEA has been given 47 new posts to deliver new tasks defined by legislative acts. All these posts are for the dedicated operational and thematic experts delivering the new tasks; no posts were allocated to the horizontal activities to support these new tasks (HR, legal, procurement, finance, IT, communications, governance). This, and the push for efficiency gains under the austerity measures of the MFF 2014-20, has resulted in the proportion of horizontal staff dropping from 23% of total staff in 2016 to only 14% in 2022; this is an effective 40% reduction, which is placing an increasing and unacceptable strain on support staff.

Going forward, this situation will exacerbate, caused by firstly, increasing complexities e.g. cyber security, secondly, further increases in operational staff to deliver additional new tasks, and thirdly, an increasing portfolio of project financed actions.

The EEA has therefore an urgent need in particular for horizontal expertise as listed below:

- Legal expert: currently, the only expertise in legal and procurement is the head of group; reinforcement is essential to respond to data protection legislation and increasing legislative and contractual complexities.
- Finance expert: again, the only finance expertise currently is the head of group and reinforcement is needed to deliver analysis and reporting for budget cycles, assessments and project financed actions.
- IT expert: to deal with the increasing complexity of cyber security and large databases managed by the EEA.
- Communications expert: in order to respond to requests from Parliament and other institutions to deliver more outreach to countries and stakeholders.
- Network expert: given the new EEA strategy to further develop the Eionet and country networks.
- HR officer: to boost recruitment capacity and deliver personnel support to the increasing staff.
- Procurement officer: to deliver the increased procurement for the EEA's new tasks.

The Agency Request therefore includes a request for four TA AD6, one TA AST3 and one CA FGIII post. The post for Legal expert (AD6) is anticipated to be included in the finance fiche for the Nature Restoration Law, which is currently being drafted.

Request for EUAN SSO post:

The EU Agencies' Network (EUAN) runs a Shared Support Office (SSO) to coordinate the activities of the network and ensure continuity supporting the rotating chairs. The SSO's effectiveness in providing coordinated responses to requests from the EU Institutions has resulted in the EU institutions increasingly using this channel to engage with the decentralised agencies and joint undertakings. The reinforcement of the SSO with an additional TA AD6 post is therefore needed, and the EEA in its current role as chair of the EUAN has requested it accordingly in its Agency Request.

The SSO's costs are charged to all EUAN members via Service Level Agreements; the request is therefore a "zero cost" addition to the EEA's establishment table.

4.1.2 Vacancy rate as of end 2021

In 2021 the EEA again experienced a very challenging recruitment year: a high demand to fill both existing vacancies and the new 8th EAP posts, the continuing restrictions under the Covid-19 pandemic and a shortage of recruitment staff. As a result of the austerity measure reductions in the MFF 2014-20, the EEA currently has only two recruitment officers.

The EEA responded to these challenges firstly, in engaging three full-time interims and redeploying 50% a secretary from one of the EEA's operational departments. Secondly, it continued with virtual recruitment procedures, using its new

recruitment system Systal, a practice had already been well received by candidates and EEA recruiting managers in 2020. Thirdly, it switched from procedures for individual posts to broad based procedures to generate large reserve lists from which vacancies could be filled.

As a result of these efforts, 2021 was also a very successful recruitment year: more than 1,200 applications were processed and high occupancy was achieved by year end. The EEA has achieved a 100% occupancy rate among AD positions (0 posts vacant), a 98.5% rate for ASTs (only 1 official AST post remained empty), in total an occupancy rate of 99.3% of the establishment plan posts. Further, 98.8% of the CA posts (only 1 vacant) and 18 SNE positions were filled at year-end (2 vacant positions).

Selection procedures are already in progress for the vacancies 2022 (additional new tasks) and the remaining vacant posts of 2021 and are expected to be completed in early 2022.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The EEA has applied a 2% inflationary increase from 2022 to 2023, as stipulated in the Budget Circular 2023.

4.1.5 Correction coefficient used

The correction coefficient for remuneration for Denmark from 1 July 2021 is 1.342. This has been assumed unchanged for the 2023 budget.

4.1.6 Exchange rate used (if applicable)

DKK exchange rate budgeted as unchanged.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The salaries and allowances budget in Title 1 reflects inflationary increases: the 1.9% rappel and the increase in the correction coefficient for Denmark from 1.313 to 1.342 at the end of 2021. The 2023 Budget Forecast for Title 1 of EUR 34,555,963 is a net 1.9% increase; this may need to be revisited given the aforementioned inflationary increases, with any increase needing an offsetting reduction in Title 2 or 3.

The Agency Request includes a further increase in salaries and allowances for the 6 additional support posts detailed in section 4.1.1. The budget was calculated using the Title 1 rates given by DG BUDG for finance fiches, with an adjustment for the Denmark correction coefficient.

The budget proposed for other Title 1 expenditure reflects a 2% inflationary increase from 2022 in accordance with the Budget Circular guidelines. The mission budget of EUR 306,000 is at half the level of the budget pre the Covid-19 pandemic.

4.2.2 Title 2

The budget for Title 2 reflects the expenditure needed to run the EEA's essential office facilities, and staff IT and telephony services and equipment. To leverage the benefits of shared services, the EEA increasingly makes use of personnel, finance, procurement and IT security systems and services provided by the Commission, which comprise a significant portion of the Title 2 budget. The budget also covers the EEA's governance structures, primarily its Management Board and Scientific Committee.

The Budget Forecast for 2023 reflects a 2% inflationary increase in adherence to the guidelines in the Budget Circular 2023.

In the Agency Request an additional EUR 150,000 has been requested, reflecting the purchase and operating costs for equipment, furniture and premises for the additional 6 posts requested in section 4.1.1.

4.2.3 Title 3

The EEA's Chapter 33 Resources budget comprises expenditure for its European Topic Centres (ETC), its IT infrastructure and development to deliver its legislative data flows, systems and reporting obligations, its communication expenditure, publications and outreach, expert meetings supporting in particular the European Environment Information and Observation Network (Eionet).

Substantially the largest portion of the Resources budget is for the grant agreements with seven European Topic Centres (ETCs), comprising consortia of Member State institutions, which collaborate with the EEA in delivering its work programme tasks.

The EEA's Chapter 35 Areas of Work budget comprises expenditure on direct actions under the EEA's strategy for 2021-30. These actions focus particularly on the EEA's tasks to support the Commission's priorities under the European Green Deal and the 8th EAP: protecting biodiversity, mitigating and adapting to climate change, supporting zero pollution initiatives and human health, promoting the circular economy and developing sustainability.

(Under the EEA's previous strategy for 2014-20, this budget was in Chapter 34 Strategic Actions.)

Both the Budget Forecast and the Agency Request for 2023 reflect a 2% inflationary increase in adherence to the guidelines in the Budget Circular 2023.

4.3 Ad hoc grants and delegation agreements

The EEA has been entering into grant, contribution and service level agreements with the Commission for many years. Although they are often initiated as short-term tasks for the Agency, they have been repetitively renewed and increasingly cover long-term EEA activities, forming a substantial portion of the EEA's operational expenditure.

In the forecasted revenue in Table 1.5.1.2 *Additional EU funding: grant, contribution and service level agreements* is for the agreements that are in force at the time of writing as follows:

Copernicus CA (DG DEFIS, 12 CAs): The agreement, to provide pan-European and Local Component Land Services and In-situ Coordination, commenced in November 2021, with a total budget of EUR 135 million, and concludes at the end of 2028.

IPA 2020 CA (DG NEAR, 1 CA): The two-year grant agreement, for cooperation with Western Balkan countries under the Instrument for Pre-Accession (IPA) and with a budget of EUR 1.3 million, commenced in February 2020 and ends in July 2022. This work has been continuing via short-term agreements for a considerable period and a replacement agreement is under negotiation.

EuroGEO SLA (DG RTD, 2 CAs): The agreement for 2022-2024 and a budget of EUR 1.5 million, covers a project 'Mainstreaming GEOSS data sharing and management principles in support of Europe's environment' under the Horizon 2020 programme.

European Climate and Health Observatory SLA (DG SANTE, 1 CA): A four-year agreement, which commenced in August 2021, with a budget of EUR 1.5 million to support the health content developments and maintain the platform of the European Climate and Health Observatory.

Regional & Urban Environmental Indicators SLA (DG REGIO, 2 CAs): A three-year agreement with the Directorate-General for Regional and Urban Policy (DG REGIO) to support the implementation of regional and urban environmental indicators and analysis, addressing regional and urban land use, and various aspects of air pollution in Europe. The SLA commenced at the beginning of 2022, with a budget of EUR 1.3 million.

HBM4EU (DG RTD, 2 CAs): Human Biomonitoring for Europe is a Horizon 2020 project, which commenced in 2017 and continue to July 2022, in which EEA participates as a partner in a consortium led by the German Environment Agency. The EEA's budget is EUR 2 million. The continuation of this activity under a new agreement commencing mid 2022 is currently under negotiation.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--------------------------------------------------------------------|------------------|----------------------------------|--------------|--------------|------------------|------------------------------------------------------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Down-town (centrally located late 19th century building) | Kongens Nytorv 6 | 4 576 | 2 624 | 7 200 | 2 000 000 | Commercial lease agreement valid until one of the parties denounces it | | | |
| 2 | Part of a down-town (centrally located late 19th century building) | Kongens Nytorv 8 | 1 436 | 1 304 | 2 740 | 700 000 | Commercial lease agreement valid until one of the parties denounces it | | | |
| TOTAL | | | 6 012 | 3 928 | 9 940 | 2 700 000 | | | | |

5.1.2 Current building(s) Other comments

EEA has signed an amendment to the lease contract for the building located at Kongens Nytorv 6 setting the notice period to 18 months. EEA is undergoing a refurbishment project aiming at optimising the leased space to offer an efficient working environment better suited to its mission and the anticipated growing staffing numbers. With the transformation into more open office solutions, the notice period is likely to be further increased as a demand from the owner.

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 European Schools

The European school has now fully opened the primary and lower secondary schools with the foreseen three language sections of Danish, English and French. They are experiencing some difficulties in filling the French section but are accommodating this by also including a Danish/French mix in the classes. In this respect it is noteworthy that the Danish and English sections are completely overs-subscribed each year. The school has also opened the first classes in upper secondary in the current academic year as planned. The whole school will be fully opened with an expected pupil population of approximately 1000 students by autumn 2022 and they expect to award the first European Baccalaureates in summer 2023. The contribution agreement for the payment of category 1 students was finalised between DG HR, the school authorities and the Agency in 2020. The attendance of children of Agency staff at the school is also growing considerably.

5.3 Evaluation

Between 2016 and 2018 the European Commission carried out an evaluation of the EEA/Eionet including also the Founding Regulation. The evaluation covers the period mid-2012 until end-2016 and takes into account the previous evaluations of the agency (such as that from April 2013). The evaluation follows the Better Regulation Guidelines and thus includes analysis of five main themes: effectiveness, efficiency, relevance, coherence and European added value.

The overall conclusion of the evaluation is that the EEA and EIONET fulfil the main objectives set by the Founding Regulation. The evaluation assessed that the EEA and EIONET continue to be relevant, and provide EU value added by implementing the multi-annual work programmes in a largely effective, efficient and coherent way.

The evaluation recognised the EIONET is a unique network of environment and climate expertise that provides essential expertise in many areas and contributes e.g. through the European Topic Centres to the efficiency of the EEA's work. There was margin for improvement in the information on EIONET activities, the clarification of the role and better visibility of the value added of the various EIONET components and how these interact with the EEA and the Commission services, notably in the area of reporting.

EEA and EIONET were seen as essential data providers both for the Commission and also for member countries. While data collection are seen as working efficiently in all climate and many environmental fields, they did not always yet fully benefit from new technologies, such as Copernicus. The evaluation identified that these additional requests demonstrate recognition that EEA and its network provide unique value for money.

The evaluation further concluded that EEA has proven to be reliable in providing the necessary information to support EU policy making and had adapted very well to new EU policy developments. The evaluation also noted that these accomplishments had been achieved against a backdrop of decreasing staff resources and increasing demand from the Commission to support new legislation on both environment and climate sides as well as support for Copernicus.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-----------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Agency has diplomatic status. | Since 1 st April 2017 Agency staff have the option to be registered either with Danish Protocol or with a full CPR in the Danish system. Senior management have full diplomatic status. | Agency statutory staff receive education allowances in line with the Staff regulations. |
| The Agency has exemption from VAT. The Agency pays the VAT on Danish invoices then claims it back from the Danish Protocol. | Externally recruited Agency staff can buy one car free of VAT and registration tax within a period of one year as from the date of taking up duties. | No specific privileges. Agency staff have access to day care facilities within Denmark but this can be problematic for those staff who wish to or need to register via the Protocol Dept. rather than via the normal immigration services (thus obtaining a full 'CPR' number). |

2.3.3 *European Chemicals Agency – ECHA*

The information on the Environmental directives and International conventions strand of ECHA is presented in paragraph 2.1.10.

2.4 Decentralised agencies of Heading 4 – Migration and Border Management

2.4.1 European Union Agency for Asylum – EUAA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) No 439/2010 of the European Parliament and of the Council establishing a European Asylum Support Office | 19 May 2010 | EASO's mission is to contribute to the implementation of the CEAS by enhancing practical cooperation, stimulating information exchange, ensuring convergence in the assessment of protection needs in the Member States, and providing operational and technical assistance to Member States subject to pressure on their asylum and reception systems. EASO's main tasks are: - providing practical and technical support to Member States and the EU Institutions; - providing operational support to Member States that have specific needs and to Member States subject to particular pressure on their asylum and reception systems caused by sudden and extra-ordinary situations of arrivals on their territory; and - providing scientific input for EU policymaking and legislation in all areas having a direct or indirect impact on asylum and migration. |
| Regulation (EU) 2021/2303 of the European Parliament and of the Council on the European Union Agency for Asylum and repealing Regulation (EU) No 439/2010 | 15 December 2021 | According to the tasks in Article 2 of the EUAA Regulation, the Agency should support Member States in the field of asylum and reception and on the implementation of the CEAS. This shall be done by facilitating, coordinating and strengthening practical cooperation and information exchange among Member States, with support from Liaison Officers. Information on relevant Third Countries, countries of origin and the safe country concept will be shared through reports, common analyses, guidance notes and thematic European networks. The Agency should deliver effective operational and technical assistance to Member States, in particular those whose asylum systems are under disproportionate pressure. This should include the relocation/transfer of applicants/beneficiaries of international protection within the Union, the deployment of asylum support teams and experts from the asylum reserve pool to complement the asylum intervention pool. The acquisition and deployment of necessary technical equipment should facilitate this. The Agency should develop Operational standards, indicators, guidelines and best practices in regard to the implementation of all instruments of Union law on asylum. The Agency should monitor the operational and technical application of the CEAS to enhance European asylum and reception systems. The agency should train Member State officials and implement a European asylum curriculum to assist Member States when carrying out CEAS-related tasks and obligations under Regulation (EU) No 604/2013. The Agency should provide resettlement and third country support, facilitated by Liaison Officers deployed to third countries, as well as engaging in communication activities in the relevant areas. |

1.2 Seat

Valletta (Malta)

1.3 Budget Line

10 10 01 : European Union Agency for Asylum (EUAA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 231 | 202 | 87,45% | 236 | 236 |
| Assistants (AST) | 135 | 115 | 85,19% | 135 | 135 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 366 | 317 | 86,61% | 371 | 371 |
| Contract Agents (CA) | 181 | 158 | 87,29% | 123 | 213 |
| Seconded National Experts (SNE) | 11 | 6 | 54,55% | 11 | 11 |
| TOTAL STAFF | 558 | 481 | 86,20% | 505 | 595 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 171 780 000 | 177 135 127 |
| Other Revenue | p.m. | p.m. |
| TOTAL REVENUES | 171 780 000 | 177 135 127 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | p.m. | p.m. |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | p.m. | p.m. |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 49 921 717 | 49 921 717 | 52 857 080 | 52 857 080 |
| Title 2 - Infrastructure and operating expenditure | 15 149 434 | 15 149 434 | 17 396 332 | 17 396 332 |
| Title 3 - Operational expenditure | 106 708 849 | 106 708 849 | 106 881 715 | 106 881 715 |
| TOTAL EXPENDITURE | 171 780 000 | 171 780 000 | 177 135 127 | 177 135 127 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | | | | | | | | | |
| AD 13 | | 3 | | | | 3 | | 3 | | 3 |
| AD 12 | | 5 | | 4 | | 5 | | 7 | | 5 |
| AD 11 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AD 10 | | 16 | | 7 | | 16 | | 16 | | 17 |
| AD 9 | | 22 | | 19 | | 23 | | 23 | | 23 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 8 | | 58 | | 53 | | 59 | | 73 | | 70 |
| AD 7 | | 70 | | 69 | | 68 | | 72 | | 68 |
| AD 6 | | 35 | | 28 | | 30 | | 38 | | 26 |
| AD 5 | | 18 | | 20 | | 28 | | 30 | | 20 |
| AD TOTAL | | 231 | | 202 | | 236 | | 266 | | 236 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | 4 | | 4 | | 4 | | 4 | | 5 |
| AST 5 | | 18 | | 17 | | 29 | | 29 | | 30 |
| AST 4 | | 49 | | 43 | | 59 | | 60 | | 60 |
| AST 3 | | 55 | | 42 | | 34 | | 41 | | 33 |
| AST 2 | | 9 | | 9 | | 9 | | 8 | | 7 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 135 | | 115 | | 135 | | 142 | | 135 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 366 | | 317 | | 371 | | 408 | | 371 |
| GRAND TOTAL | | 366 | | 317 | | 371 | | 408 | | 371 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 109 | 97 | 64 | 154 |
| Function Group III | 57 | 49 | 48 | 43 |
| Function Group II | 15 | 12 | 11 | 16 |
| Function Group I | | | | |
| TOTAL | 181 | 158 | 123 | 213 |

2.2.2 Seconded National Experts

| • Seconded National Experts | • Authorised 2021 | • Recruited as of 31/12/2021 | • 2022 estimate | • Draft Budget 2023 estimate |
|-----------------------------|-------------------|------------------------------|-----------------|------------------------------|
| TOTAL | 11 | 6 | 11 | 11 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 142 114 334 | 171 780 000 | 174 828 000 | 177 135 127 | 3,12% |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 4 303 620 | 18 118 795 | | 7 965 840 | -100% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 3 124 122 | p.m. | p.m. | p.m. | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | 3 124 122 | p.m. | p.m. | p.m. | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 7 505 072 | p.m. | p.m. | p.m. | |
| 5 ADMINISTRATIVE OPERATIONS | | p.m. | p.m. | p.m. | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 152 743 528 | 171 780 000 | 174 828 000 | 177 135 127 | 3,12% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | p.m. | p.m. | p.m. | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | p.m. | p.m. | p.m. | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 40 896 519 | 49 921 717 | 50 549 953 | 52 857 080 | 5,88% |
| Salaries & allowances | 34 959 630 | 41 567 717 | 41 509 965 | 43 817 092 | 5,41% |
| - <i>Of which establishment plan posts</i> | 27 274 185 | 32 353 717 | 33 659 740 | 34 427 340 | 6,41% |
| - <i>Of which external personnel</i> | 7 685 445 | 9 214 000 | 7 850 225 | 9 389 752 | 1,91% |
| Expenditure relating to Staff recruitment | 168 244 | 190 000 | 224 845 | 224 845 | 18,34% |
| Employer's pension contributions | | | | | |
| Mission expenses | 150 500 | 1 600 000 | 1 632 000 | 1 632 000 | 2,00% |
| Socio-medical infrastructure | 2 393 232 | 3 070 000 | 3 437 856 | 3 437 856 | 11,98% |
| Training | 687 607 | 1 064 000 | 1 194 287 | 1 194 287 | 12,25% |
| External Services | 2 535 478 | 2 420 000 | 2 541 000 | 2 541 000 | 5,00% |
| Receptions, events and representation | 1 828 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 14 119 837 | 15 149 434 | 17 396 332 | 17 396 332 | 14,83% |
| Rental of buildings and associated costs | 6 073 339 | 7 249 780 | 8 505 120 | 8 505 120 | 17,32% |
| Information, communication technology and data processing | 6 527 284 | 5 533 004 | 6 237 804 | 6 237 804 | 12,74% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 1 519 214 | 2 366 650 | 2 653 408 | 2 653 408 | 12,12% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 82 367 505 | 106 708 849 | 106 881 715 | 106 881 715 | 0,16% |
| Information, Analysis and Knowledge Development | 1 970 063 | 3 888 000 | 3 609 000 | 3 609 000 | -7,18% |
| Support for MS practical cooperation | 7 570 736 | 12 930 142 | 12 975 235 | 12 975 235 | 0,35% |
| Operational support | 72 557 982 | 89 340 707 | 89 545 980 | 89 545 980 | 0,23% |
| Cooperation with civil society and stakeholders | 268 724 | 400 000 | 400 000 | 400 000 | 0,00% |
| EUAA monitoring of application of the CEAS | | 100 000 | 300 000 | 300 000 | 200,00% |
| Protection of fundamental rights | | 50 000 | 51 500 | 51 500 | 3,00% |
| TOTAL | 137 383 861 | 171 780 000 | 174 828 000 | 177 135 127 | 3,12% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 39 361 650 | 49 921 717 | 50 549 953 | 52 857 080 | 5,88% |
| Salaries & allowances | 34 959 630 | 41 567 717 | 41 509 965 | 43 817 092 | 5,41% |
| - <i>Of which establishment plan posts</i> | 27 274 185 | 32 353 717 | 33 659 740 | 34 427 340 | 6,41% |

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which external personnel</i> | 7 685 445 | 9 214 000 | 7 850 225 | 9 389 752 | 1,91% |
| Expenditure relating to Staff recruitment | 107 144 | 190 000 | 224 845 | 224 845 | 18,34% |
| Employer's pension contributions | | | | | |
| Mission expenses | 125 484 | 1 600 000 | 1 632 000 | 1 632 000 | 2,00% |
| Socio-medical infrastructure | 1 923 805 | 3 070 000 | 3 437 856 | 3 437 856 | 11,98% |
| Training | 411 592 | 1 064 000 | 1 194 287 | 1 194 287 | 12,25% |
| External Services | 1 832 167 | 2 420 000 | 2 541 000 | 2 541 000 | 5,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 1 828 | 10 000 | 10 000 | 10 000 | 0,00% |
| Title 2 - Infrastructure and operating expenditure | 9 672 778 | 15 149 434 | 17 396 332 | 17 396 332 | 14,83% |
| Rental of buildings and associated costs | 4 862 342 | 7 249 780 | 8 505 120 | 8 505 120 | 17,32% |
| Information, communication technology and data processing | 4 128 181 | 5 533 004 | 6 237 804 | 6 237 804 | 12,74% |
| Movable property and associated costs | | | | | |
| Current administrative expenditure | 682 255 | 2 366 650 | 2 653 408 | 2 653 408 | 12,12% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 80 154 656 | 106 708 849 | 106 881 715 | 106 881 715 | 0,16% |
| Information, Analysis and Knowledge Development | 1 248 125 | 3 888 000 | 3 609 000 | 3 609 000 | -7,18% |
| Support for MS practical cooperation | 6 738 517 | 12 930 142 | 12 975 235 | 12 975 235 | 0,35% |
| Operational support | 71 854 202 | 89 340 707 | 89 545 980 | 89 545 980 | 0,23% |
| Cooperation with civil society and stakeholders | 313 812 | 400 000 | 400 000 | 400 000 | 0,00% |
| EUAA monitoring of application of the CEAS | | 100 000 | 300 000 | 300 000 | 200,00% |
| Protection of fundamental rights | | 50 000 | 51 500 | 51 500 | 3,00% |
| TOTAL | 129 189 084 | 171 780 000 | 174 828 000 | 177 135 127 | 3,12% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 7 965 840,38.

4 Justification of needs

Commission assessment

Human Resources

The agency has requested 37 additional staff (30 AD, 7 AST) to cover new functions foreseen by the new Regulation (in force since January 2022) and to meet growing operational needs in existing tasks and functions. The Commission does not support additional staff beyond the currently authorised establishment plan. Taking in account the impact of the Ukraine crisis, the Commission supports 90 additional CA for a period of up to 2 years to reinforce staff deployed to Member States affected by the Ukraine crisis.

Financial Resources

The Agency's request for financial resources is in line with the financial programming, following the addition of 5 TAs to the establishment plan in 2022, and the accompanying full-year average staff costs for the 5 TAs in 2023.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Number of temporary agent staff requested for 2023 is 408 (together with a planned number of contract agents and SNEs of 134 FTEs), which represents a growth of 11.47% compared to 2022 in terms of temporary agents (+37 staff).

The request by the EUAA Management Board to increase the establishment plan with additional 37 posts is made to support

- key new posts required under the new EUAA regulation including Liaison Officers and the development of the Fundamental Rights function;
- the new EUAA operational deployment system;
- The deployment of Agency staff to manage increased operations within Asylum Support Teams (AST) and Migration Management Support Teams (MMST) as a part of new cascade system according to EUAA Regulation.
- New monitoring role to be performed by the Agency as from 2024 requires preparatory work.

On-going discussions in the overall level of required resources for the Agency continue in 2022, at the level of the Management Board and the Commission.

In terms of efficiency gains, The Agency will continue to implement improvements to its systems and procedures to further increase efficiency. Simplifying processes, while ensuring compliance with the applicable rules and internal controls remains the key objective.

By improving its quality management system and internal controls, and by re-engineering, optimising and automating key processes such as HR self-services, the Agency will enhance organisational performance and generate further efficiency gains. Automation and digitalisation will also be achieved through collaboration with partner institutions and stakeholders such as the Directorate-General for Human Resources and Security (DG HR) and the Directorate-General for Informatics (DIGIT).

4.1.2 Vacancy rate as of end 2021

The vacancy as of end of 2021 for total staff is 15.4%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

94%

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for 2023 is aligned with the outcome of negotiations on the Multiannual Financial Framework 2021-2027. In terms of staffing, the request includes 37 new posts required to fulfil the new mandate of the EUAA.

4.2.2 Title 2

The expenditure in Title 2 'Infrastructure and operating expenses' in 2023 is also aligned with the needs of the Agency in terms of coping with a multi-location office structure and essential ICT costs to ensure a smooth management of our complex multi-locational configuration.

4.2.3 Title 3

The evolution of the operational expenditure in Title 3 shows a steady increase of operational needs in the coming years (+0.87% growth in 2023 compared to 2022).

The key operational expense on Title 3 of the Agency's budget is the operational and technical assistance provided to Member States under particular pressure, delivered in line with the agreed Operating Plans. Specific operational support for the implementation of the Common European Asylum System (CEAS) and operations in the hotspots will be maintained, together with specific support for short-term emergency operations. The main part of budget is foreseen for the deployment of asylum support teams in field operations. The operational expenditure of EUAA is strictly dependent on the request for support from Member States, it is therefore characterised by a certain degree of volatility.

4.3 Ad hoc grants and delegation agreements

The Agency will continue delivering capacity building support actions to key Third Countries' asylum and reception systems, defined and based on a project management approach. In particular, the Agency will continue to provide support to Turkey, the Western Balkan (WB) and Middle East and North Africa (MENA) Region. This will be implemented through the Agency's own budget, including EU+ countries' earmarked contributions for project initiatives as well as through the implementation of the Instrument for Pre-Accession Assistance (IPA) Programme, in partnership with Frontex, International Organisation for Migration (IOM) and the United Nations High Commissioner for Refugees (UNHCR).

- IPA II Programme (phase II) "Regional Support to Protection-Sensitive Migration Management in the Western Balkans and Turkey (July 2019- December 2021) with a total budget of €1,475,000 and 5 CA posts (filled as of 31.12.2021: 3 FG IV, 1 FG III and 1 FG II). The Agency is currently discussing funding possibilities under the IPA III financial instrument in view of the further support to the Western Balkans for the period of 48 months and a total budget of €6M.
- EUAA Regional Pilot capacity building project to enhance North-South and South-South knowledge exchanges and cooperation on asylum and reception policies and processes, to be implemented within the framework of the Regional Development and Protection Programme (RDPP) for North Africa (NA) Phase V. Funded by Czech Republic and Denmark voluntary and ear-marked contributions to EASO Regional Pilot Project in support of North Africa/RDPP NA (approx. budget of €1,100,000). Duration: 36 months (implementation period) + 3 months (reporting period), starting in Q4 2020. Staff: 5 CA posts (filled as of 31.12.2021: 2 FG IV and 1 FG III).
- Enhanced protection space for asylum seekers and refugees in Egypt in line with CEAS and EU standards grant agreement. Funded by Denmark voluntary and ear-marked contributions to the EASO Cooperation Roadmap with Egypt (approx. budget of €282,000). Duration 24 months as of Q1 2021. Staff: Under assessment.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|--------------------------------------|------------------------------------------------------------------------|----------------------------------|------------|--------|-----------------|---------------------------------------------------------------------|--------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | EUAA premises | Xatt 1-Ghassara tal-Gheneb (Winemakers Wharf), Valletta Harbour, Malta | 9 208 | 3 082 | 12 290 | 2 116 752 | Until 05.02.2027, possible extension mutual consent of 3 years each | Administrative agreement | | |
| 2 | Operational office in Rome, Italy | Via IV Novembre, Rome | 690 | | 690 | 273 961 | March 2016 – 30 April 2022 (Level 4) and until 31.07.2023 (Level 3) | 6-year lease, renewable | | |
| 3 | Operational office in Athens, Greece | Office on Keranis building, Athens | 1 108 | | 1 108 | | Until 31.12.2025 (extendable for the period of 3 years) | Administrative agreement | | |

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|---------------------------------------------------|--------------------------------------------------------------------------------|---------------------|--------------|------------------|------------------|------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 4 | Operational office in Nicosia, Cyprus | 4th floor, of Cronos Court, 66 Archbishop Makariou III Avenue, Nicosia, Cyprus | 267 | | 267 | 42 000 | until 17.07.2022 | | | |
| 5 | Operational office in Nicosia, Cyprus | 10 Strovolos Avenue, 2011 Strovolos, Nicosia | 1 371 | | 1 371 | 252 000 | until 23.05.2028 | | | |
| 6 | Liaison office in Brussels, Belgium | Office on Avenue d'Auderghem, Brussels | 42 | | 42 | 9 240 | Until 30.06.2022 | | | |
| 7 | Operational sub-office in Lesvos, Pagani Building | Operational sub-office: Mytilini, Lesvos, Greece | 599,65 | | 599,65 | 45 600 | until 31.03.2023 | | | |
| TOTAL | | | 13 285,65 | 3 082 | 16 367,65 | 2 739 553 | | | | |

5.1.2 Current building(s) Other comments

Headquarters in Malta

The principal building project in the execution phase relates to the Agency's headquarters extension in Valletta Harbour, Malta. In view of the expanding role of the Agency and increase in staff, the Agency's building strategy was to acquire additional office space within its current location in close collaboration with Transport Malta and the Government of Malta, which are the co-owners of the building. The Agency's staff has increased significantly and is expected to continue to increase in the coming years in order for EUAA to fulfil its tasks. Following approvals by the Council and the European Parliament granted in July 2016, the Agency moved ahead to take over the whole complex located in Xatt l-Ghassara tal-Gheneb (Winemakers Wharf) in Valletta Harbour.

The tenant owners, Transport Malta (TM), have vacated the complex and the lease agreement was signed on 12 October 2018. Several compound related amendments to common spaces will continue in the coming years. Due to COVID-19, the further refurbishment all building blocks A, B and C, have incurred significant delay. It is therefore expected that these will extend well into 2022.

EUAA also makes use of operational offices in Italy, Greece and Cyprus.

- **Italy:** The tender procedure for the lease of the Operational Office in Rome has been subject to an observation by the European Court of Auditors and the Agency was called, in the framework of the discharge procedure, to regularise the rental contract.
- **Greece:** On 28 January 2020, the European Asylum Support Office (EASO) and the Greek government signed a Seat Agreement for the Hosting of the EASO Operational Office in Greece. Upon conclusion of the Seat Agreement, office space in a shared facility (referred to as Keranis building) was offered to EASO by the Greek authorities. Offices are being offered to EASO on the fifth floor of the same building, to be occupied as of December 2020. It is envisaged that EASO will have 60 workstations in the allocated office space, with initial grace period for office rental, upon contribution to building and facilities set-up.
- **Cyprus:** As a result of the enhanced operational and technical support the EUAA provides to the Cypriot authorities, and the proportionally growing special needs, EASO has signed a lease contract for office space of more than 1300 sqm. The new office is operational as of May 2021.

The EUAA also makes use of other mobile and fixed office space in operational locations (non-administrative appropriations).

5.1.3 Building projects in the planning phase

Malta:

The Agency is currently prospecting the market to rent an operational office for in-country operations in Malta. This facility will also serve as a temporary spill-over location for the Agency for the coming period when parts of the headquarters (HQ) are under refurbishment. The building is expected to be operational in Q4/2022.

Italy:

The Agency is currently prospecting the market to lease a new Country Office in Rome, Italy. A removal from the current location is expected in the second half of 2022.

The Agency may consider to open offices in other Member States.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

In Malta and other places of the Agency's work assignment, such as Italy, Cyprus, Spain, Greece and Poland, there are no European Schools or accredited European Schools of type I and II. The Agency strives to attract, engage and retain the staff of the highest standards, recruited on the broadest possible geographical basis in all its work locations. To do so, the Agency took the necessary social measures such as the decisions to foster a non-fees paying education approach, for all Agency staff and their dependent children, in all affected locations.

The Agency's staff members, serving in all geographical locations, and regardless their nationality, are free to choose both, the pre-primary or primary and secondary educational establishments, which suits the best to their children needs. The Agency's administration undertakes all legal steps in establishing the Service Level Agreement with chosen school. The Agency is fully covering all eligible education costs.

The Agency has in total 17 Service Level Agreements in place with schools, providing primary and secondary education, which are either fully international or not primarily international in their character.

5.3 Evaluation

Requirements for conducting evaluations are laid down in the Agency's Founding and Financial Regulations, and form part of the Internal Control Framework. As provided in the Agency's Financial Regulation (Article 29), any programmes and activities that entail significant spending shall be subject to ex ante and retrospective evaluations.

A dedicated function within the Quality Management and Evaluation Sector of the Executive Office is tasked with supporting the Evaluation Advisory Group, coordinating implementation of the evaluation plan, reporting and following up on implementation of management responses to evaluations. The Sector also provides resources for management and oversight of evaluations, and coordination of initiatives agreed by the Group.

Evaluations are conducted in line with the European Commission's Better Regulation Guidelines as implemented in the Agency's evaluation framework that establishes the criteria for identification of interventions subject to evaluation and lays down the common approach to be followed, thus assuring unbiased evaluations and the required quality assurance.

5.4 Privileges and immunities

The Seat Agreement between the Government of Malta and EASO was signed in 2011. The agreement describes the privileges and immunities that the Agency's statutory staff benefit from. The main advantages are VAT-exempted purchases, the details of which are available in the document published in the following link:

<https://euaa.europa.eu/sites/default/files/EASO%20SEAT%20AGREEMENT%20EN%20and%20MT.pdf>

The Seat Agreement continues to apply to the EUAA.

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) 2020/493 of the European Parliament and of the Council of 30 March 2020 on the False and Authentic Documents Online (FADO) system and repealing Council Joint Action 98/700/JHA | 30/03/2020 | This regulation constitutes the necessary new legal basis for governing the FADO system. It sets the responsibilities for the European Border and Coast Guard Agency. In the performance of its task in accordance with Article 79 of Regulation (EU) 2019/1896, the Agency shall ensure the proper and reliable functioning of the FADO system and provide support to the competent Member State authorities in the detection of false documents. The Agency shall be responsible for entering in the FADO system in a timely and efficient manner the information obtained and shall guarantee the uniformity and quality of that information. |
| Regulation (EU) 2019/1896 of the European Parliament and of the Council of 13 November 2019 on the European Border and Coast Guard and repealing Regulations (EU) No 1052/2013 and (EU) 2016/1624 | 13/11/2019 | This regulation establishes a European Border and Coast Guard to ensure European integrated border management at the external borders with a view to managing those borders efficiently in full compliance with fundamental rights and to increasing the efficiency of the Union return policy. The Regulation is also a founding Regulation for the European Border and Coast Guard Agency. |
| Regulation (EU) 2018/1240 of the European Parliament and of the Council of 12 September 2018 establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 1077/2011, (EU) No 515/2014, (EU) 2016/399, (EU) 2016/1624 and (EU) 2017/2226 (OJ L 236, 19.9.2018, p. 1) | 12/09/2018 | This Regulation establishes a European Travel Information and Authorisation System (ETIAS) within which the ETIAS Central Unit will be part of Frontex. The ETIAS Central Unit should be responsible for verifying, in cases where the automated application process has reported a hit, whether the applicant's personal data correspond to the personal data of the person having triggered that hit. Where a hit is confirmed or where doubts remain, the ETIAS Central Unit should initiate the manual processing of the application. It should also carry out regular audits of the processing of applications and of the implementation of the ETIAS screening rules. It should furthermore be responsible for fulfilling a number of support tasks such as ensuring the necessary notifications are sent and providing information and support. It should be operational 24 hours a day, 7 days a week. |
| Regulation (EU) No 656/2014 establishing the rules for the surveillance of the external sea borders in the context of operational cooperation coordinated by Frontex | 15/05/2014 | In accordance with this Regulation: Frontex must establish its operational plans for sea operations. -new elements for Frontex coordinated joint operations at sea, such as on the protection of fundamental rights, on interception, disembarkation and on SAR have been included. |
| Regulation (EU) 2019/817 of the European Parliament and of the Council of 20 May 2019 on establishing a framework for interoperability between EU information systems in the field of borders and visa and amending Regulations (EC) No 767/2008, (EU) 2016/399, (EU) 2017/2226, (EU) 2018/1240, (EU) 2018/1726 and (EU) 2018/1861 of the European Parliament and of the Council and Council | 20/05/2019 | The regulation set rules for interoperability between EU information systems for security, border and migration management, with the aim to address the structural shortcomings related to those systems that impede the work of national authorities and to ensure that border guards, customs authorities, police officers and judicial authorities have the necessary information at their disposal. The interoperability components should cover the EES, VIS, ETIAS, Eurodac, SIS, and ECRIS-TCN. They should also cover Europol data, but only to the extent of enabling Europol data to be queried simultaneously with those EU information systems. |

| | | |
|----------------------------------------|--|--|
| Decisions 2004/512/EC and 2008/633/JHA | | |
|----------------------------------------|--|--|

1.2 Seat

Warsaw, Poland.

1.3 Budget Line

11 10 01 : European Border and Coast Guard Agency (Frontex)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 525 | 429 | 81,71% | 650 | 650 |
| Assistants (AST) | 525 | 432 | 82,29% | 650 | 650 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 1050 | 861 | 82,00% | 1300 | 1300 |
| Contract Agents (CA) | 730 | 489 | 66,99% | 964 | 979 |
| Seconded National Experts (SNE) | 220 | 186 | 84,55% | 220 | 220 |
| TOTAL STAFF | 2000 | 1536 | 76,80% | 2484 | 2499 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 704 703 142 | 838 936 752 |
| Other Revenue | 49 672 000 | 60 078 000 |
| TOTAL REVENUES | 754 375 142 | 899 014 752 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 1 229 000 | 2 668 000 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 9 000 000 | 9 000 000 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | 10 229 000 | 11 668 000 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 179 320 000 | 179 320 000 | 197 556 372 | 197 556 372 |

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 2 - Infrastructure and operating expenditure | 55 704 500 | 55 704 500 | 55 983 607 | 55 983 607 |
| Title 3 - Operational expenditure | 519 350 642 | 519 350 642 | 645 474 773 | 645 474 773 |
| Title 4 - Earmarked Expenditure | | | | |
| TOTAL EXPENDITURE | 754 375 142 | 754 375 142 | 899 014 752 | 899 014 752 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 2 | | 2 | | 6 | | 8 | | 6 |
| AD 13 | | 15 | | 7 | | 15 | | 16 | | 15 |
| AD 12 | | 25 | | 18 | | 29 | | 33 | | 31 |
| AD 11 | | 33 | | 28 | | 38 | | 36 | | 36 |
| AD 10 | | 23 | | 18 | | 29 | | 31 | | 29 |
| AD 9 | | 58 | | 28 | | 64 | | 94 | | 94 |
| AD 8 | | 170 | | 112 | | 220 | | 241 | | 248 |
| AD 7 | | 106 | | 161 | | 156 | | 113 | | 120 |
| AD 6 | | 58 | | 39 | | 58 | | 49 | | 50 |
| AD 5 | | 34 | | 15 | | 34 | | 28 | | 20 |
| AD TOTAL | | 525 | | 429 | | 650 | | 650 | | 650 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | 1 | | 1 |
| AST 9 | | 2 | | 3 | | 6 | | 8 | | 5 |
| AST 8 | | 6 | | 9 | | 10 | | 11 | | 11 |
| AST 7 | | 9 | | 13 | | 11 | | 8 | | 10 |
| AST 6 | | 18 | | 4 | | 18 | | 19 | | 18 |
| AST 5 | | 35 | | 8 | | 92 | | 221 | | 115 |
| AST 4 | | 453 | | 392 | | 511 | | 381 | | 489 |
| AST 3 | | 2 | | 3 | | 2 | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 525 | | 432 | | 650 | | 650 | | 650 |
| ASI/SC 6 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 1050 | | 861 | | 1300 | | 1300 | | 1300 |
| GRAND TOTAL | 1050 | | 861 | | 1300 | | 1300 | | 1300 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 587 | 368 | 821 | 836 |
| Function Group III | 100 | 94 | 100 | 100 |
| Function Group II | 25 | 6 | 25 | 25 |
| Function Group I | 18 | 21 | 18 | 18 |
| TOTAL | 730 | 489 | 964 | 979 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 220 | 186 | 220 | 220 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 499 610 042 | 704 703 142 | 838 936 752 | 838 936 752 | 19,05% |
| - Of which assigned revenues deriving from previous years' surpluses | 8 184 398 | 11 909 435 | 45 322 615 | 45 322 615 | 280,56% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 35 635 000 | 49 672 000 | 60 078 000 | 60 078 000 | 20,95% |
| - Of which EEA/EFTA (excl. Switzerland) | 35 635 000 | 49 672 000 | 60 078 000 | 60 078 000 | 20,95% |
| - Of which candidate countries | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |

| REVENUES | General revenues | | | | |
|----------------------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 535 245 042 | 754 375 142 | 899 014 752 | 899 014 752 | 19,17% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 927 247 | 1 229 000 | 2 668 000 | 2 668 000 | 117,09% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 10 945 000 | 9 000 000 | 9 000 000 | 9 000 000 | 0,00% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 11 872 247 | 10 229 000 | 11 668 000 | 11 668 000 | 14,07% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 109 535 016 | 179 320 000 | 197 556 372 | 197 556 372 | 10,17% |
| Salaries & allowances | 99 701 526 | 160 000 000 | 176 834 772 | 176 834 772 | 10,52% |
| - <i>Of which establishment plan posts</i> | 62 956 151 | 101 031 394 | 111 781 134 | 111 781 134 | 10,64% |
| - <i>Of which external personnel</i> | 36 745 375 | 58 968 606 | 65 053 638 | 65 053 638 | 10,32% |
| Expenditure relating to Staff recruitment | 1 330 586 | 2 200 000 | 2 328 000 | 2 328 000 | 5,82% |
| Employer's pension contributions | | | | | |
| Mission expenses | 275 524 | 1 400 000 | 1 440 000 | 1 440 000 | 2,86% |
| Socio-medical infrastructure | 830 232 | 1 600 000 | 1 708 800 | 1 708 800 | 6,80% |
| Training | | | | | |
| External Services | 1 114 659 | 3 070 000 | 4 608 000 | 4 608 000 | 50,10% |
| Receptions, events and representation | | | | | |
| Social welfare | 176 380 | 900 000 | 1 008 000 | 1 008 000 | 12,00% |
| Other Staff related expenditure | 6 106 109 | 10 150 000 | 9 628 800 | 9 628 800 | -5,13% |
| Title 2 - Infrastructure and operating expenditure | 39 375 257 | 55 704 500 | 55 983 607 | 55 983 607 | 0,50% |
| Rental of buildings and associated costs | 10 784 662 | 27 054 000 | 20 457 746 | 20 457 746 | -24,38% |
| Information, communication technology and data processing | 23 349 000 | 19 760 000 | 23 350 000 | 23 350 000 | 18,17% |
| Movable property and associated costs | 270 298 | 340 000 | 407 000 | 407 000 | 19,71% |
| Current administrative expenditure | 4 515 968 | 6 465 000 | 9 793 361 | 9 793 361 | 51,48% |
| Postage / Telecommunications | | 327 500 | | | -100% |
| Meeting expenses | 455 329 | 1 210 000 | 1 147 500 | 1 147 500 | -5,17% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | 548 000 | 828 000 | 828 000 | 51,09% |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 359 059 430 | 519 350 642 | 645 474 773 | 645 474 773 | 24,28% |
| European Standing Corps | 124 716 327 | 197 786 000 | 262 781 640 | 262 781 640 | 32,86% |
| Agency equipment (own and MS) | 130 947 918 | 152 341 621 | 190 384 369 | 190 384 369 | 24,97% |
| Return activities | 57 530 491 | 78 980 000 | 105 492 000 | 105 492 000 | 33,57% |
| Information and data analytics | 8 710 075 | 10 937 000 | 11 810 700 | 11 810 700 | 7,99% |
| Fundamental rights activities | 34 443 | 2 000 000 | 2 000 000 | 2 000 000 | 0,00% |
| Strengthening capacities | 3 458 210 | 8 225 100 | 9 415 989 | 9 415 989 | 14,48% |
| Digitalisation | 14 915 566 | 35 894 061 | 24 637 500 | 24 637 500 | -31,36% |
| Horizontal operational support | 18 746 400 | 26 231 067 | 26 512 125 | 26 512 125 | 1,07% |
| Operational reserve (art.115(14)) | | 6 955 793 | 12 440 450 | 12 440 450 | 78,85% |
| Title 4 - Earmarked Expenditure | | | | | |
| External Relations | | | | | |
| Copernicus | | | | | |
| TOTAL | 507 969 703 | 754 375 142 | 899 014 752 | 899 014 752 | 19,17% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 109 535 016 | 179 320 000 | 197 556 372 | 197 556 372 | 10,17% |
| Salaries & allowances | 99 701 526 | 160 000 000 | 176 834 772 | 176 834 772 | 10,52% |
| - Of which establishment plan posts | 62 956 151 | 101 031 394 | 111 781 134 | 111 781 134 | 10,64% |
| - Of which external personnel | 36 745 375 | 58 968 606 | 65 053 638 | 65 053 638 | 10,32% |
| Expenditure relating to Staff recruitment | 1 330 586 | 2 200 000 | 2 328 000 | 2 328 000 | 5,82% |
| Employer's pension contributions | | | | | |
| Mission expenses | 275 524 | 1 400 000 | 1 440 000 | 1 440 000 | 2,86% |
| Socio-medical infrastructure | 830 232 | 1 600 000 | 1 708 800 | 1 708 800 | 6,80% |
| Training | | | | | |
| External Services | 1 114 659 | 3 070 000 | 4 608 000 | 4 608 000 | 50,10% |
| Receptions, events and representation | | | | | |
| Social welfare | 176 380 | 900 000 | 1 008 000 | 1 008 000 | 12,00% |
| Other Staff related expenditure | 6 106 109 | 10 150 000 | 9 628 800 | 9 628 800 | -5,13% |
| Title 2 - Infrastructure and operating expenditure | 39 375 257 | 55 704 500 | 55 983 607 | 55 983 607 | 0,50% |
| Rental of buildings and associated costs | 10 784 662 | 27 054 000 | 20 457 746 | 20 457 746 | -24,38% |
| Information, communication technology and data processing | 23 349 000 | 19 760 000 | 23 350 000 | 23 350 000 | 18,17% |
| Movable property and associated costs | 270 298 | 340 000 | 407 000 | 407 000 | 19,71% |
| Current administrative expenditure | 4 515 968 | 6 465 000 | 9 793 361 | 9 793 361 | 51,48% |
| Postage / Telecommunications | | 327 500 | | | -100% |
| Meeting expenses | 455 329 | 1 210 000 | 1 147 500 | 1 147 500 | -5,17% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | 548 000 | 828 000 | 828 000 | 51,09% |
| Studies | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 359 059 430 | 519 350 642 | 645 474 773 | 645 474 773 | 24,28% |
| European Standing Corps | 124 716 327 | 197 786 000 | 262 781 640 | 262 781 640 | 32,86% |
| Agency equipment (own and MS) | 130 947 918 | 152 341 621 | 190 384 369 | 190 384 369 | 24,97% |
| Return activities | 57 530 491 | 78 980 000 | 105 492 000 | 105 492 000 | 33,57% |
| Information and data analytics | 8 710 075 | 10 937 000 | 11 810 700 | 11 810 700 | 7,99% |
| Fundamental rights activities | 34 443 | 2 000 000 | 2 000 000 | 2 000 000 | 0,00% |
| Strengthening capacities | 3 458 210 | 8 225 100 | 9 415 989 | 9 415 989 | 14,48% |
| Digitalisation | 14 915 566 | 35 894 061 | 24 637 500 | 24 637 500 | -31,36% |
| Horizontal operational support | 18 746 400 | 26 231 067 | 26 512 125 | 26 512 125 | 1,07% |
| Operational reserve (art.115(14)) | | 6 955 793 | 12 440 450 | 12 440 450 | 78,85% |
| Title 4 - Earmarked Expenditure | | | | | |
| External Relations | | | | | |
| Copernicus | | | | | |
| TOTAL | 507 969 703 | 754 375 142 | 899 014 752 | 899 014 752 | 19,17% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 45 322 615

4 Justification of needs

Commission assessment

Human Resources

The human resources are in line with the revised LFS of April 2019. This also includes the posts foreseen in the LFS of the Commission Proposal (COM(2016)731) of the ETIAS Regulation (EU) 2018/1240.

The Commission supports the agreement reached between Frontex and eu-LISA in 2021 on the transfer of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA. This transfer will be temporary (3 years from 2022 to end 2024) and neutral (the total contract agents foreseen in the Legislative Financial Statement remain unchanged).

Financial Resources

The programmed EU contribution for the European Border and Coast Guard Agency (Frontex) for 2023 is in accordance with the Financial Programming 2021-2027.

The EU contribution requested by the Agency for 2023 amounts to EUR 838 936 752. This amount takes into account a reduction of EUR 1 054 228 compared to the MFF 2021-2027 programming and covers the cost of 21 contract agents temporarily reassigned (2022-2024) to eu-LISA.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Frontex is requesting a total of 2 499 staff for 2023 (as foreseen in the Legislative Financial Statement COM(2018)631 for the setting up of the European Border and Coast Guard Standing Corps during the period 2020-2027):

- 650 Temporary Agents in AD function group
- 650 Temporary Agents in AST function group
- 979 Contract Agents (the number of CA staff requested does not include 21 posts temporary reallocated to EU-LISA for the delivery of services in the context of implementation of EES and ETIAS)
- 220 Seconded National Experts

4.1.2 Vacancy rate as of end 2021

Overall vacancy rate on 31 December 2021 is 23.2 %, as follows:

- for temporary staff: 18.0 %
- for contract staff: 33.0 %
- for seconded national expert: 15.5 %

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for salary lines is calculated based on the average expenditure per staff per month and on the number of agents' months worked in the organisation. The baseline for calculation is year n-1 budget expenditure (2021) corrected by annual adjustments foreseen for years n & n+1 (2% annual update of remuneration of EU servants) and adjusted with the correction coefficient for Poland plus the additional cost for foreseen reclassification of the staff.

4.1.5 Correction coefficient used

Current correction coefficient used (July 2021) is 70,6 %

4.1.6 Exchange rate used (if applicable)

Current exchange rate used (July 2021) is 4,5193

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 will cover all staff related expenditure with the assumption of a lower occupancy rate of the Standing Corps in 2023 than indicated in Annex 1 of EBCG 2.0.

4.2.2 Title 2

The level of expenditure is at similar level as in previous years.

4.2.3 Title 3

The scope of operations is foreseen to increase significantly, especially the scope of deployments of the standing corps, as well as the scope of return operations.

The European Standing Corps covers all expenditure related to training and deployment of Standing Corps categories 1, 2 and 3. It also covers subsidy and training compensation for Member States and Schengen Associated Countries deriving from Art. 61 EBCG 2019/1896 regulation.

The Agency's equipment category covers the acquisition and leasing of technical equipment as well as expenditure related to the deployment of MS/SAC technical equipment, while Return activities include pre-return, return, post-arrival/post return activities as well as providing return facilitation capacity.

The Data information system category covers the expenditure related to activities of: Information Fusion centre, including non-ICT component of EUROSUR, Frontex Situation Centre, risk analysis and vulnerability assessment.

The Special projects include all expenditure related to operational automation and information processing including build-up and maintenance of operational ICT. Special projects also include expenditure related to ETIAS.

The category on Fundamental rights activities covers the deployment costs of the fundamental rights monitors. It also includes the expenditures of the Fundamental Rights Office and Consultative Forum.

The category of Operational reserve is, as indicated in Art 115(14) of the EBCG 2019/1896 regulation, always at the level of at least 2 % of the allocation provided jointly for joint operations at the external borders and operational activities in the area of return. The operational reserve for 2023 is set at 2.66% of the allocation provided jointly for joint operations at the external borders and operational activities in the area of return. The level for 2023 is set slightly higher to ensure adequate response to growing demand for return operations facing the increasing migration pressure and to allow for rapid border interventions.

4.3 Ad hoc grants and delegation agreements

- Grant agreement "Strengthening of Africa – Frontex Intelligence Community (AFIC) in the fight against organised crime and the smuggling of migrants" signed with EC (DG DEVCO) on the 10 August 2017; budget of up to EUR 4 million for three years starting in September 2017, further extended till end of February 2022.

- Grant agreement ‘IPA II (Phase 2)’ (Regional Support to Protection-Sensitive Migration Management in the Western Balkans and Turkey – Phase II) total budget EUR 3.4 million, implementation period of 24 months from 1 July 2019 to 30 June 2021. The overall objective of the second phase of the regional protection sensitive migration management programme is to develop and operationalise a comprehensive migration management system in the IPA II beneficiaries focused on protection, resilience, and human rights promotion.
- Grant agreement ‘EU4BS’ (enhance border security in the Southern Neighbourhood, by fostering bilateral and regional co-operation) signed on the 1 December 2018; total initial budget of EUR 4 million, implementation period of 36 months from 1 December 2018 until 31 November 2021. The project has been extended till end of August 2023 with additional budget of EUR 2.5 million. The project contributes to building trust, understanding, structured partnerships, and exchange of experiences/practice between Southern Neighbourhood countries and Frontex in the broader integrated border management (IBM) domain.
- The Copernicus Contribution Agreement for Copernicus 2022-2028 signed between Frontex and DG DEFIS entered into force on 15 November 2021 in line with REGULATION (EU) 2021/696 establishing the Union Space Programme and the activities will start in 2022 providing a new portfolio of products for the Copernicus Border Surveillance Service. Frontex will receive EUR 67 million for the implementation period 2021-2028 and the delegated Copernicus staff to Frontex as of 1 January 2022 remains at 3 FGV positions.

The level of expected revenue from grant agreements in 2023 is EUR 2 668 000. This amount includes anticipated tranche in EU4BS of EUR 2 268 000 and a tranche for AFIC of ca. EUR 400 000.

The level of expected revenue from delegation agreements (Copernicus 2022-2028) in 2023 is estimated at EUR 9 000 000.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|----|--------------------------------------------------------------------------------|------------|----------------------------------|------------|--------|-----------------|------------------|-------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Warsaw Spire, office space (HQ) | Warsaw | 20 473 | 696 | 21 169 | 7 592 609 | Until 31/12/2024 | Lease agreement | N/A | |
| 2 | Wronia 31, office space (HQ) | Warsaw | 4 133 | 135 | 4 268 | 1 340 238 | Until 31/12/2024 | Lease agreement | N/A | |
| 3 | CCE, office space (FLO) | Brussels | 151 | | 151 | 44 206 | Until 31/08/2022 | Lease agreement | n/A | |
| 4 | HCG tower, office space (EURTF) | Piraeus | 460 | 275 | 735 | | N/A | N/A Memorandum of Understanding, Seat Agreement | Greece | |
| 5 | Part of building, office space (EURTF) | Catania | 550 | 50 | 600 | | Until 18/11/2024 | Free Loan Agreement | Municipality of Catania | |
| 6 | Delegation of EU to Turkey, office space (FLO) | Ankara | 17 | 10 | 27 | 14 591 | N/A | Administrative Arrangement | N/A | |
| 7 | Delegation of the EU to the Republic of Niger, office Space (FLO) | Niamey | 60 | 12 | 72 | 30 145 | N/A | Administrative Arrangement | N/A | |
| 8 | Delegation of the European Union to the Republic of Serbia, office space (FLO) | Belgrade | 26 | 4 | 30 | 14 588 | N/A | Administrative Arrangement | N/A | |
| 9 | Office space (FLO) | Budapest | 12 | | 12 | | N/A | Memorandum of Understanding | Hungary | |
| 10 | Office space (FLO) | Stockholm | 9 | | 9 | | N/A | Memorandum of Understanding | Sweden | |
| 11 | Office space (FLO) | Bratislava | 17 | | 17 | | N/A | Memorandum of Understanding | Slovakia | |
| 12 | Office Space (FLO) | Potsdam | 22 | | 22 | | N/A | Cooperation agreement | Germany | |
| 13 | Office Space (FLO) | Paris | 15 | | 15 | | N/A | Administrative arrangement | France | |

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-----------------------------------------------------------------|----------|---------------------|--------------|---------------|------------------|----------|--------------------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 14 | Office Space (FLO) | Rome | 11 | | 11 | | N/A | Memorandum of understanding | Italy | |
| 15 | Office Space (FLO) | Riga | 13 | | 13 | | N/A | Memorandum of understanding | Latvia | |
| 16 | Delegation of the European Union to Senegal, office space (FLO) | Dakar | 24 | 19 | 43 | 10 808 | | Administrative Arrangement | | |
| 17 | Delegation of the European Union to Albania, office space (FLO) | Tirana | 13 | 10 | 23 | 12 126 | | Administrative Arrangement | | |
| 18 | Office space (FLO) | Sofia | 16 | | 16 | 3 650 | | Memorandum of Understanding Financial arrangement | Bulgaria | |
| 19 | Office space (FLO) | Madrid | 20 | | 20 | | | Memorandum of Understanding | Spain | |
| 20 | Delegation of the European Union to Ukraine, office space (FLO) | Kyiv | 16 | | 16 | | N/A | Office Hosting Agreement (not yet in force as of 18/01/2022) | N/A | |
| TOTAL | | | 26 058 | 1 211 | 27 269 | 9 062 961 | | | | |

5.1.2 Current building(s) Other comments

In January 2022 Frontex leases a total of 25 437.63 square meters in two buildings as the Headquarters. Both are leased under long-term contracts and located in Warsaw, Poland. Additional premises are made available to the Brussels Liaison Office, EURTF Catania and to the Frontex liaisons officers (FLOs) in several EU Member States and non-EU countries. Some of the premises have been provided free of charge by the hosting authorities.

The Brussels Liaison Office is going to be relocated to a new Common Office Space, which will host the liaison offices of the four JHA agencies: Frontex, CEPOL, EASO and eu-LISA. The purpose is to benefit from shared facilities and services as well as to foster the networking effect by grouping a few liaison offices in the same premises.

The Free Loan Agreement for EURTF Catania was extended for the next 3 years in November 2021.

Frontex is exploring the temporary deployment of the Frontex Liaison Officer to EaP in Chisinau in Moldova until the situation in Ukraine would allow for her deployment to Kyiv. Discussions have already taken place to this end with the European Commission, the Agency's Management Board as well as with the EEAS as the hosting partner in the TC. As the FLO has a regional mandate already covering Moldova, it merely concerns a temporary relocation of deployment without any further changes to her mandate. As a result, the OHA for Kyiv has been put on hold. If the EEAS accepts our proposal to temporary host the FLO on their premises in Chisinau, a separate OHA will be concluded for this co-location.

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

An Early Information Note was presented in the EP Budgets Committee Meeting on 27.10.2021 and the Council was informed on 25.10.2021 in accordance with art 266(2) of the 2018 EU Financial Regulations on the launch of a tender for Design & Build contract for the Frontex Permanent Premises. The building of 70.000 square meters will be realised by the end of 2026 when the extended lease of the current headquarters comes to an end.

The current timeline estimates the finalisation of the procurement procedure and the request for approval by the Budgetary Authority on the draft contracts intended to be used for early 2023. This will include the request for approval to finance the building acquisition through a loan with the EIB.

5.2 European Schools

Following the Headquarter Agreement from 1st November 2017 between Frontex and the Republic of Poland, an accredited European school in Warsaw has been established with a view to provide multilingual European oriented

schooling for all children of Frontex staff. The school received accreditation on 24 June 2021, applicable with retroactive effect as of September 2020.

Frontex, will reimburse the cost of schooling of Frontex staff children in the accredited European School Warsaw.

5.3 Evaluation

Since Frontex was set up, two external evaluations have been undertaken, one in 2009 and one in 2015. Both have centred on stakeholder and staff views of the efficiency and effectiveness of the agency rather than on quantitative data on performance. Overall, both evaluations concluded that Frontex had successfully achieved its remit. The findings of the last evaluation report were made public:

http://frontex.europa.eu/assets/Publications/General/Final_Report_on_External_Evaluation_of_Frontex.pdf

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff |
|---------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status |
| All Staff members | Irrespective of nationality enjoy privileges and immunities as defined in Protocol 7 |
| All staff members | Irrespective of nationality tax and duty- free transfer of resettlement property to Poland |
| All Staff members | Expatriate privileges (Polish citizens and Polish permanent residents are excluded): |
| | Value-added tax (VAT) reimbursement when purchasing a motor-vehicle (maximum 1 vehicle every 3 years) |
| New Staff members | Expatriate privileges (Polish citizens and Polish permanent residents are excluded): |
| | Installation incentive - VAT reimbursement (up to a threshold) for purchasing furniture and household articles in Poland (valid 12 months during the first 2 years of employment) |
| Specified Group of staff | Diplomatic status in Poland |
| (Annex II HQ Agreement) | Full scope of the immunities and privileges is applicable (Polish citizens and Polish permanent residents are excluded from the diplomatic status following international custom) |

HQ Agreement privileges are equally applicable to all expatriate staff members - to statutory staff (TAs, CAs) and SNEs - regardless of their grading or type of performed function. Without prejudice to the provisions of this Agreement, Protocol No 7, and other relevant European Union law, the Agency and its staff shall respect the law of the Republic of Poland in line with Article 41 paragraph 1 of the Vienna Convention on Diplomatic Relations of 18 April 1961.

2.4.3 European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice – EU-LISA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) 2018/1726 of the European Parliament and of the Council on the European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA), and amending Regulation (EC) No 1987/2006 and Council Decision 2007/533/JHA and repealing Regulation (EU) No 1077/2011 | 14 November 2018 (adoption) 11 December 2018 (entry into force) | Operational management of the SIS II, VIS and Eurodac large-scale IT systems; supervision, security and coordination of relations between the Member States and the provider; monitoring, reporting and statistics related to these systems; training on the technical use of these systems and training of experts on the technical aspects of SIS II in the framework of Schengen evaluation; preparation, development or operational management of new large-scale information systems (EES, ETIAS, DubliNet, ECRIS-TCN and the ECRIS reference implementation) and training on the technical use of these systems; monitoring of research; developing the necessary actions to enable interoperability between large-scale IT systems; carrying out of pilot projects, budget implementation tasks for proof of concept, testing activities and any other support to Member States and the Commission. |

1.2 Seat

The seat of the Agency is in Tallinn, Estonia. The development and operational management of IT systems are carried out in Strasbourg, France (technical site). A backup site is installed in Sankt Johann im Pongau, Austria.

1.3 Budget Line

11 10 02 : European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom, Security and Justice ('eu-LISA')

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 160 | 144 | 90,00% | 162 | 163 |
| Assistants (AST) | 53 | 49 | 92,45% | 53 | 53 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 213 | 193 | 90,61% | 215 | 216 |
| Contract Agents (CA) | 132 | 107 | 81,06% | 169 | 166 |
| Seconded National Experts (SNE) | 11 | 10 | 90,91% | 11 | 11 |
| TOTAL STAFF | 356 | 310 | 87,08% | 395 | 393 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 296 508 265 | 326 480 736 |
| Other Revenue | | |
| TOTAL REVENUES | 296 508 265 | 326 480 736 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | | 2023 | |
|------------------------------------------------------------------------------|----------------------------------|--|-----------------|--|
| | Revenues estimated by the agency | | Budget Forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | |
| TOTAL REVENUES | | | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 45 802 219 | 45 802 219 | 47 777 040 | 47 777 040 |
| Title 2 - Infrastructure and operating expenditure | 24 070 442 | 24 070 442 | 30 208 100 | 30 208 100 |
| Title 3 - Operational expenditure | 249 767 737 | 226 635 604 | 183 746 128 | 248 495 596 |
| TOTAL EXPENDITURE | 319 640 398 | 296 508 265 | 261 731 268 | 326 480 736 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 3 | | 2 | | 3 | | 3 | | 3 |
| AD 12 | | 4 | | 3 | | 4 | | 4 | | 4 |
| AD 11 | | 7 | | 2 | | 9 | | 11 | | 11 |
| AD 10 | | 10 | | 11 | | 11 | | 12 | | 12 |
| AD 9 | | 16 | | 14 | | 19 | | 22 | | 22 |
| AD 8 | | 26 | | 19 | | 32 | | 38 | | 38 |
| AD 7 | | 23 | | 34 | | 15 | | 13 | | 8 |
| AD 6 | | 26 | | 14 | | 36 | | 46 | | 46 |
| AD 5 | | 43 | | 43 | | 31 | | 28 | | 17 |
| AD TOTAL | | 160 | | 144 | | 162 | | 179 | | 163 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 8 | | 3 | | 2 | | 3 | | 4 | | 4 |
| AST 7 | | 4 | | 5 | | 4 | | 6 | | 6 |
| AST 6 | | 12 | | 11 | | 12 | | 12 | | 12 |
| AST 5 | | 12 | | 10 | | 12 | | 11 | | 11 |
| AST 4 | | 10 | | 6 | | 10 | | 13 | | 13 |
| AST 3 | | 11 | | 14 | | 11 | | 6 | | 6 |
| AST 2 | | | | | | | | 4 | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 53 | | 49 | | 53 | | 57 | | 53 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 213 | | 193 | | 215 | | 236 | | 216 |
| GRAND TOTAL | | 213 | | 193 | | 215 | | 236 | | 216 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 80 | 64 | 110 | 108 |
| Function Group III | 50 | 41 | 58 | 57 |
| Function Group II | 2 | 2 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 132 | 107 | 169 | 166 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 11 | 10 | 11 | 11 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 249 826 549 | 296 508 265 | 331 617 400 | 326 480 736 | 10,11% |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | 1 657 575 | 3 610 513 | 3 075 730 | 3 075 730 | -14,81% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 3 842 666 | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | 3 842 666 | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 4 705 | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 253 673 920 | 296 508 265 | 331 617 400 | 326 480 736 | 10,11% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 33 435 894 | 45 802 219 | 46 387 000 | 47 777 040 | 4,31% |
| Salaries & allowances | 30 721 452 | 41 250 399 | 41 914 000 | 43 304 040 | 4,98% |
| - <i>Of which establishment plan posts</i> | 22 509 050 | 26 794 000 | 28 180 000 | 29 114 564 | 8,66% |
| - <i>Of which external personnel</i> | 8 212 402 | 14 456 399 | 13 734 000 | 14 189 476 | -1,85% |
| Expenditure relating to Staff recruitment | 81 057 | 1 059 446 | 494 000 | 494 000 | -53,37% |
| Employer's pension contributions | | | | | |
| Mission expenses | 124 642 | 660 000 | 660 000 | 660 000 | 0,00% |
| Socio-medical infrastructure | 1 702 324 | 2 232 374 | 2 522 000 | 2 522 000 | 12,97% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Training | 806 419 | 600 000 | 797 000 | 797 000 | 32,83% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 19 792 730 | 24 070 442 | 32 458 100 | 30 208 100 | 25,50% |
| Rental of buildings and associated costs | 2 896 619 | 3 920 000 | 7 575 000 | 5 325 000 | 35,84% |
| Information, communication technology and data processing | 2 855 792 | 4 100 000 | 8 213 500 | 8 213 500 | 100,33% |
| Movable property and associated costs | 77 383 | 289 000 | 389 000 | 389 000 | 34,60% |
| Current administrative expenditure | 907 625 | 1 857 450 | 2 444 900 | 2 444 900 | 31,63% |
| Postage / Telecommunications | 15 011 | 40 000 | 40 000 | 40 000 | 0,00% |
| Meeting expenses | 21 259 | 709 000 | 623 000 | 623 000 | -12,13% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 1 386 729 | 548 000 | 450 000 | 450 000 | -17,88% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 11 632 312 | 12 606 992 | 12 722 700 | 12 722 700 | 0,92% |
| Title 3 - Operational expenditure | 163 369 425 | 249 767 737 | 188 316 832 | 183 746 128 | -26,43% |
| Infrastructure | 61 494 319 | 101 980 000 | 95 698 061 | 91 127 357 | -10,64% |
| Applications | 94 096 246 | 136 741 806 | 80 735 444 | 80 735 444 | -40,96% |
| Operational support activities | 7 778 860 | 11 045 931 | 11 883 327 | 11 883 327 | 7,58% |
| Support to MS and EC | | | | | |
| TOTAL | 216 598 049 | 319 640 398 | 267 161 932 | 261 731 268 | -18,12% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 32 734 639 | 45 802 219 | 46 387 000 | 47 777 040 | 4,31% |
| Salaries & allowances | 30 721 452 | 41 250 399 | 41 914 000 | 43 304 040 | 4,98% |
| - Of which establishment plan posts | 22 509 050 | 26 794 000 | 28 180 000 | 29 114 564 | 8,66% |
| - Of which external personnel | 8 212 402 | 14 456 399 | 13 734 000 | 14 189 476 | -1,85% |
| Expenditure relating to Staff recruitment | 70 819 | 1 059 446 | 494 000 | 494 000 | -53,37% |
| Employer's pension contributions | | | | | |
| Mission expenses | 102 286 | 660 000 | 660 000 | 660 000 | 0,00% |
| Socio-medical infrastructure | 1 580 618 | 2 232 374 | 2 522 000 | 2 522 000 | 12,97% |
| Training | 259 464 | 600 000 | 797 000 | 797 000 | 32,83% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 8 688 737 | 24 070 442 | 32 458 100 | 30 208 100 | 25,50% |
| Rental of buildings and associated costs | 1 535 344 | 3 920 000 | 7 575 000 | 5 325 000 | 35,84% |
| Information, communication technology and data processing | 1 412 929 | 4 100 000 | 8 213 500 | 8 213 500 | 100,33% |

| EXPENDITURE | Payment appropriations | | | | |
|---------------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Movable property and associated costs | 27 993 | 289 000 | 389 000 | 389 000 | 34,60% |
| Current administrative expenditure | 732 028 | 1 857 450 | 2 444 900 | 2 444 900 | 31,63% |
| Postage / Telecommunications | 8 504 | 40 000 | 40 000 | 40 000 | 0,00% |
| Meeting expenses | 903 | 709 000 | 623 000 | 623 000 | -12,13% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 1 181 830 | 548 000 | 450 000 | 450 000 | -17,88% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 3 789 206 | 12 606 992 | 12 722 700 | 12 722 700 | 0,92% |
| Title 3 - Operational expenditure | 212 245 839 | 226 635 604 | 252 772 300 | 248 495 596 | 9,65% |
| Infrastructure | 40 875 619 | 90 631 951 | 119 914 400 | 115 637 696 | 27,59% |
| Applications | 165 516 037 | 127 389 643 | 120 974 500 | 120 974 500 | -5,04% |
| Operational support activities | 5 854 183 | 8 614 010 | 11 883 400 | 11 883 400 | 37,95% |
| Support to MS and EC | | | | | |
| TOTAL | 253 669 215 | 296 508 265 | 331 617 400 | 326 480 736 | 10,11% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 3 075 730.

4 Justification of needs

Commission assessment

Human Resources

eu-LISA has requested 34 additional posts (20 Temporary Agents, 13 Contract Agents and 1 SNE) beyond the programmed amount. The Commission does not support the request.

The Commission supports the agreement reached between Frontex and eu-LISA in 2021 on the transfer of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA. This transfer will be temporary (3 years from 2022 to end 2024) and neutral (the total contract agents foreseen in the Legislative Financial Statement remain unchanged).

Financial Resources

The Agency has requested EUR 267 161 932 in commitment appropriations.

The Commission supports a budget of EUR 261 731 268, which includes an increase of EUR 1 054 228 compared to the MFF 2021-2027 financial programming related to the transfer of 21 Contract Agents from Frontex. The proposed budget also includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The staff planning for 2023 includes additional staff for the tasks deriving from the adopted Regulations (reflecting staff number decrease for Interoperability) and Commission proposals for which the adoption of the respective regulations is still awaited (e.g. on EURODAC recast, e-CODEX). The planning also includes the staff “on loan” from Frontex to provide assistance to carriers services.

The Agency identified a number of posts, which cannot be outsourced and which have not been included in the Commission proposals. They amount to 34 posts (20 TA and, 13 CA and 1 SNE). The Agency considers these posts necessary to limit the dependency of eu-LISA on the external service providers and to limit a gap in the human resources between the available and necessary profiles to perform the mandated tasks in the growing organisation.

In particular, 12 posts are necessary for security purposes in view of increasing information and IT security challenges, the threat landscape, the upcoming entry of operation of EES, ETIAS and the interoperability amongst other relevant issues.

An overview of the staff plan is summarized in the following table:

| 2023 staff planning elements | TA | CA | SNE | Total |
|----------------------------------------------------------------------------------------------------------------|-----|-----|-----|-------|
| Baseline HR establishment plan (COM (2013) 519 final) and LFS for new eu-LISA Regulation (COM(2017) 352 final) | 113 | 30 | 9 | 152 |
| Changed eu-LISA Regulation– adopted | 23 | 27 | 2 | 52 |
| The EES Regulation – adopted | 32 | | | 32 |
| Regulation on ETIAS – adopted | 7 | 35 | | 42 |
| Regulation on SIS II Borders/LE and LFS SIS II returns - adopted | | 4 | | 4 |
| LFS for Interoperability of European Systems - adopted | 31 | 34 | | 65 |
| LFS ECRIS - adopted | | 5 | | 5 |
| LFS for SIS Police recast (foreseen for years 2021-2022) * | | 0 | | 0 |
| LFS e-CODEX - recruitment subject to adoption of the respective legal instrument | 2 | 3 | | 5 |
| LFS EURODAC – recruitment subject to adoption of the respective legal instrument | 2 | | | 2 |
| EES-ETIAS Carriers support (CAC) | | 21 | | 21 |
| LFS VIS - adopted | 6 | 7 | | 13 |
| SPD 2023-2025 – additional staff necessary to perform the activities planned by the Agency | 20 | 13 | 1 | 34 |
| Total | 236 | 179 | 12 | 427 |

(*) Commission Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU) 2018/1862 on the establishment, operation and use of the Schengen Information System (SIS) in the field of police cooperation and judicial cooperation in criminal matters as regards the entry of alerts by Europol (COM(2020)791) envisaged 2 CA posts in the years 2021 and 2022. The respective Regulation was not adopted to date and the respective posts were not authorized in 2021.

4.1.2 Vacancy rate as of end 2021

The vacancy rate, calculated at the end of 2021 was 12.92%, showing an improvement in comparison to 2020 despite the continued impact of the pandemic on the recruitment process. The improvement is due to the use of established reserve lists of successful candidates for the selections organized in 2020 and 2021.

The vacancy rates per category (including the issued job offers) are 7,51% for Temporary Agents, 3,03% for Contract Agents and 9,09% for Seconded National Experts, totalling at 8,71% over all categories.

The vacancy rates can be explained by the continues impact of the COVID pandemic, delays in the adoption of legal instruments, candidates refusing job offers due to the short-term nature, insufficient staffing of the Human Resources Unit (HRU); and a relatively high staff turnover (which increased to 5.5% in comparison to the previous year at 3,7%).

In 2022 additional short-term posts can be allocated to the HRU. Together with the use of the established reserve lists from the previous selections this will contribute positively to the recruitment process. The Agency will continue its efforts to procure medical service in Strasbourg, which could also facilitate the recruitment, depending on the pandemic situation in the EU.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable at eu-LISA.

4.1.4 Salary assumption for calculating salary line (% applied)

The calculation of the salary costs for the establishment plan posts are based on the PMO's data on the temporary staff deployed in Tallinn, Strasbourg and Brussels, basic salary for grades and steps in 2021 or the initial grades for vacant posts, correction coefficients in Strasbourg and Tallinn in 2021, actual allowances paid in 2021 (family, expatriation, school, annual leave etc.) and expected reclassifications.

The costs for external personnel (contract staff and SNEs) are based on PMO's standard salary and allowances calculations and the data on entitlements for allowances in 2021.

4.1.5 Correction coefficient used

eu-LISA applies as of 1 July 2021 a 86.3% correction coefficient in Tallinn, a 119,9% correction coefficient in Strasbourg and a 100% correction coefficient in Brussels.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Total expenditures in Title 1 amount to EUR 46.387m, out of which EUR 2.603m is conditional upon the adoption of the proposal for a regulation of the European Parliament and of the Council on a computerised system for communication in cross-border civil and criminal proceedings (e-CODEX system) and amending Regulation (EU) 2018/1726; and to the implementation of Articles 45 and 46 of the ETIAS Regulation and Article 13 of the EES Regulation, and the EES and ETIAS implementing acts.

Staff costs in the approved establishment plan in Title 1 have been calculated taking into account actual costs (using historical data from 2021 PMO salary calculations) of the TAs deployed in Tallinn and Strasbourg.

The level of the planned appropriations reflects the estimate of the 2022 reclassification exercise.

The major recruitment procedures linked to the development or the implementation of the existing tasks of the Agency as well as new tasks received by the Agency (e-CODEX, VIS recast, EURODAC recast, assistance to carriers), which envisage additional staff in 2023.

4.2.2 Title 2

Total expenditures in Title 2 amount to EUR 32.458 m out of which EUR 7.575, are required to cover all expenditures related to premises in all locations; EUR 8.213 m are required to cover costs of further development and maintenance of corporate IT infrastructure and EUR 5.104 m is required for ICT-Licenses, Maintenance, Hosting Fees and Services.

Another

EUR 0.389 m are required for movable property and associated costs, including furniture and other technical equipment.

EUR 2.445 m are required to cover the eu-LISA Administrative Expenditures.

The budget chapter on other infrastructure and operating expenditure includes two subdivisions in the Agency budget structure: external support services and Corporate Security.

4.2.3 Title 3

COMMITMENT APPROPRIATIONS

The 2023 Title 3 expenditure of eu-LISA aims to ensure 24x7 availability and stability of the systems under its management. At the same time, the Agency will continue to increase the value added by the systems and services provided to the Member States through their evolutions.

EUR 0.890m is subject to the adoption of the proposal for a regulation of the European Parliament and of the Council on a computerised system for communication in cross-border civil and criminal proceedings (e-CODEX system) and amending Regulation (EU) 2018/1726.

PAYMENT APPROPRIATIONS

Title 3 comprises differentiated appropriations; the Agency takes into account the payment appropriations indicated in the proposals for the newly assigned tasks subject to the adoption of the respective legal basis.

EUR 0.890 m are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council on a computerised system for communication in cross-border civil and criminal proceedings (e-CODEX system) and amending Regulation (EU) 2018/1726.

4.3 Ad hoc grants and delegation agreements

Based on information currently available to the Agency, no grants or delegation agreements are foreseen in 2023.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--------------------------------|----------------------------------------------------------------------------------|---------------------|----------------|-----------------|------------------|------------------|---------------------------------------------------------------|--------------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Headquarters | eu-LISA, Vesilennuki 5, Tallinn, Estonia | 1 161,4 | 3 235,9 | 4 397,3 | | N/A | N/A | EE built and transferred new HQ for Agency in a non-exchange transaction | 7 778 278 |
| 2 | Operational Site | eu-LISA, 18 Rue de la Faisanderie 67100 Strasbourg, France | 2 155 | 5 687 | 7 842 | | N/A | N/A | FR granted entire premises for 1 EUR in 29 May 2013 | 20 155 079 |
| 3 | Back-up Site | Austrian Central Federal Back-Up Center in Sankt Johann im Pongau, Austria | 223 | 403 | 626 | 591 328 | Indefinite | Indefinite Operational Agreement with the Republic of Austria | | |
| 4 | eu-LISA liaison office | 20 avenue d'Auderghem, 1040 Brussels. | 98 | | 98 | 20 433 | Until 30/06/2022 | Rent Agreement | N/A | |
| 5 | eu-LISA temporary office space | PLATON building 4 Rue Jean Sapidus 67400 Illkirch-Grafenstaden Strasbourg France | 2 074,4 | | 2 074,4 | 541 107 | 6+3 years | Rent | N/A | |
| TOTAL | | | 5 711,8 | 9 325,9 | 15 037,7 | 1 152 868 | | | | 27 933 357 |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

The second extension project (5.1.4) aims at addressing a continuously evolving set of infrastructural challenges, requiring its refinement and re-direction in order to achieve the required benefits. However, new initiatives as well as the evolution of existing and new systems in the Justice and Home Affairs domain pressure the Agency to substantially increase Data Centre computing capacities earlier than as originally planned for 2028. Therefore, the Agency proposed to address the mid-term Data Centre capacity increase needs on the current site with a modular solution, so called Modular Data Centre 2 (MDC2). This activity includes the procurement of the modules, the civil and electrical works, the appropriate location on-site and its protective security. The first module of the MDC2 shall get ideally into operations by the end of 2022.

The Agency intends to fund the **MDC2** project elements for the initial first three years 2022-2024 with revenue collected in accordance with article 46(3)(b) of the eu-LISA Regulation 2018/1726, due to the clear operational nature of the project component. For the remaining years of current MFF, the eu-LISA will continue to look for additional funding.

5.1.4 Building projects submitted to the European Parliament and the Council

A. Second extension of the operational site is Strasbourg:

Taking into consideration that in the coming years the Agency's pivotal role in the sustainable functioning of the Schengen Area, together with its substantial contribution to ensuring the efficient operation of the EU's JHA domain, the second extension of the operational site of in Strasbourg remains a high priority project that will deliver numerous benefits. The Agency plans to conclude the detailed design of the extension by the end of 2024 and present the construction project for approval, first to the Management Board and later to the Budgetary Authority, with a view to starting construction in the beginning of 2026. The Agency expects to complete the second extension of the Strasbourg site in 2028.

However, in view of the above (5.1.3), eu-LISA proposed the following way forward of the project:

- to design the Data Centre 2 (DC2) in a way to enable an easy and flexible upscaling of the DC2 capacities according to the needs;
- to include the new way of work emerging from the Covid-19 pandemic and its teleworking opportunities by rationalising the demand for office space;
- to phase the construction works on the new plot with extending the DC capacities in a first phase and build in a second phase the office buildings bringing some flexibility on the budget use.

The overall approach (MDC2 and 2nd extension) was presented to the Management Board of the Agency in March 2022. The Management Board took note of the status of the second extension of the Strasbourg site.

The Management Board asked the Agency to further discuss the budgetary needs of the project with the relevant services of the Commission and to prepare an update of the pre-information letter to be sent to the budgetary authority with regards to the evolution of the project.

B. Temporary solutions for the office space and data centre in Strasbourg:

To mitigate the office space capacity issues pending the second extension construction project in a cost-efficient manner, the Agency has leased premises in close proximity to the operational site to be used as temporary office space.

In addition, the Agency decided to build a temporary modular data centre at the existing technical site as an efficient and cost-effective solution for expanding the existing data centre until the new extension is ready for use. The modular solution is equipped with the requisite infrastructure for independent operation and was delivered ready for service by the contractor. The temporary data centre is in compliance with at least the same security, safety and fire extinction requirements as the current one.

The use of the modular data centre is a temporary solution whose duration will depend on the completion of the second extension project. However, this solution could also be used in the future to facilitate more flexible response during planned and required extensions in the operation of large-scale IT systems.

5.2 European Schools

In 2014, eu-LISA signed with the Commission the Mandate and Service Agreement for the financial contribution of the Agency to the European Schools in Tallinn and Strasbourg. Because of the growing number of the Agency's staff, the number of children in the European Schools in Tallinn and Strasbourg increased to 121 pupils in 2021. The Agency's contribution is expected to increase for the school years 2022/2023 and 2023/2024, depending on the number of children of the additional staff joining in the years 2022 and 2023.

Since 2014, eu-LISA pays a nursery allowance to its staff for covering the expenses for a daily care of small children younger than 4 years for whom the European Schools cannot provide its care.

5.3 Evaluation

The last evaluation report from April 2016 identified a possible need for a revision or an extension of the tasks entrusted to eu-LISA in the establishing regulation. Link to online documents made public: http://ec.europa.eu/smart-regulation/roadmaps/docs/2016_home_003_evaluation_eu-lisa_en.pdf. In accordance with Article 39 of eu-LISA's establishing Regulation, the Commission will complete a new evaluation of eu-LISA by December 2023. In 2022, the Agency underwent a benchmarking exercise to gain a comprehensive overview of the performance of all JHA information systems under its remit. The results of eu-LISA's own external evaluation of its operations, service management, security and business continuity will feed into the Commission's evaluation.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| ESTONIA: eu-LISA's statutory staff in Tallinn provided they are not permanent residents of Estonia before taking up their appointment in the Agency will receive reimbursement from the Estonian government of a sum equal to the VAT | Headquarters Agreement between eu-LISA and the Republic of Estonia Article 12 | European School functioning as of September 2013 |

| Agency privileges | Privileges granted to staff | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
| <p>paid for the purchase of goods in Estonia (limited to a specific list of goods) during a period of 1 year from taking up duties at the Agency in Tallinn to facilitate their establishment in Estonia.</p> <p>eu-LISA's statutory staff in Tallinn and family members forming part of their household are exempt from all compulsory contributions to the Estonian social security scheme insofar as they are covered by the scheme of social security benefits of officials and other servants of the Union</p> | | |
| <p>FRANCE: eu-LISA's statutory staff in Strasbourg and family members forming part of their household are exempt from all compulsory contributions to the French social security scheme insofar as they are covered by the scheme of social security benefits of officials and other servants of the Union. To the extent that they are covered by the social security scheme of their state of origin seconded experts are also exempt from all compulsory contributions to the French social security scheme</p> | <p>Agreement on the technical site of the European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom Security and Justice between eu-LISA and the Government of France Article 13</p> | <p>European School in Strasbourg</p> |
| <p>AUSTRIA: in accordance with EU protocol of privileges and immunities</p> | <p>n/a (no permanent staff)</p> | <p>n/a (no permanente staff)</p> |

2.5 Decentralised agencies of Heading 5 –Security and Defence

2.5.1 European Union Agency for Law Enforcement Cooperation – EUROPOL

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Regulation (EU) 2016/794 of the European Parliament and of the Council on the European Union Agency for Law Enforcement Cooperation (Europol) and replacing and repealing Council Decisions 2009/371/JHA, 2009/934/JHA, 2009/935/JHA, 2009/936/JHA and 2009/968/JHA</p> | <p>Entry into force 01/05/2017</p> | <p>Europol shall support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating serious crime affecting two or more Member States, terrorism and forms of crime which affect a common interest covered by a Union policy.</p> <p>Europol's shall also (a) collect, store, process, analyse and exchange information, including criminal intelligence; (b) notify the Member States, via the national units established or designated without delay of any information and connections between criminal offences concerning them; (c) coordinate, organise and implement investigative and operational actions to support and strengthen actions by the competent authorities of the Member States, that are carried out: (i) jointly with the competent authorities of the Member States; or (ii) in the context of joint investigation teams and, where appropriate, in liaison with Eurojust; (d) participate in joint investigation teams, as well as propose that they be set up; (e) provide information and analytical support to Member States in connection with major international events; (f) prepare threat assessments, strategic and operational analyses and general situation reports; (g) develop, share and promote specialist knowledge of crime prevention methods, investigative procedures and technical and forensic methods, and provide advice to Member States; (h) support Member States' cross-border information exchange activities, operations and investigations, as well as joint investigation teams, including by providing operational, technical and financial support; (i) provide specialised training and assist Member States in organising training, in coordination with the European Union Agency for Law Enforcement Training (CEPOL); (j) cooperate with the Union bodies established on the basis of Title V of the TFEU and with OLAF, in particular through exchanges of information and by providing them with analytical support in the areas that fall within their competence; (k) provide information and support to EU crisis management structures and missions established on the basis of the TEU; (l) develop Union centres of specialised expertise for combating certain types of crime, in particular the European Cybercrime Centre; (m) support Member States' actions in preventing and combating forms of crime.</p> <p>Europol shall provide strategic analyses and threat assessments to assist the Council and the Commission in laying down strategic and operational priorities of the Union for fighting crime and assisting in their operational implementation; provide strategic analyses and threat assessments to assist the efficient and effective use of the resources available at national and Union level for operational activities and the support of those activities; act as the Central Office for combating euro counterfeiting in accordance with Council Decision 2005/511/JHA. Europol shall also encourage the coordination of measures carried out to fight euro counterfeiting by the competent authorities of the Member States or in the context of joint investigation teams, where appropriate in liaison with Union bodies and the authorities of third countries; and shall not apply coercive measures in carrying out its tasks.”</p> |

1.2 Seat

The Hague (Den Haag), the Netherlands.

1.3 Budget Line

12 10 01 : European Union Agency for Law Enforcement Cooperation (Europol)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 583 | 596 | 102,23% | 654 | 693 |
| Assistants (AST) | 32 | 18 | 56,25% | 32 | 23 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 615 | 614 | 99,84% | 686 | 716 |
| Contract Agents (CA) | 191 | 214 | 112,04% | 235 | 235 |
| Seconded National Experts (SNE) | 71 | 59 | 83,10% | 71 | 121 |
| TOTAL STAFF | 877 | 887 | 101,14% | 992 | 1072 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 192 380 773 | 207 176 212 |
| Other Revenue | p.m. | p.m. |
| TOTAL REVENUES | 192 380 773 | 207 176 212 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|------------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 975 715 | 543 299 |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 1 406 785 | 1 422 835 |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 270 000 | 1 230 000 |
| TOTAL REVENUES | 3 652 500 | 3 196 134 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 103 065 273 | 103 065 273 | 112 305 712 | 112 305 712 |
| Title 2 - Infrastructure and operating expenditure | 14 653 500 | 14 653 500 | 17 014 500 | 17 014 500 |
| Title 3 - Operational expenditure | 74 662 000 | 74 662 000 | 77 856 000 | 74 179 200 |
| TOTAL EXPENDITURE | 192 380 773 | 192 380 773 | 207 176 212 | 203 499 412 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | 1 | | 1 |
| AD 15 | | 1 | | 1 | | 1 | | | | |
| AD 14 | | 3 | | 3 | | 3 | | 3 | | 3 |
| AD 13 | | 5 | | | | 1 | | 2 | | 2 |
| AD 12 | | 11 | | 8 | | 10 | | 11 | | 11 |
| AD 11 | | 10 | | 6 | | 8 | | 10 | | 10 |
| AD 10 | | 23 | | 18 | | 18 | | 21 | | 21 |
| AD 9 | | 51 | | 37 | | 43 | | 47 | | 47 |
| AD 8 | | 85 | | 64 | | 83 | | 92 | | 92 |
| AD 7 | | 152 | | 193 | | 193 | | 216 | | 216 |
| AD 6 | | 211 | | 258 | | 285 | | 282 | | 282 |
| AD 5 | | 31 | | 8 | | 9 | | 8 | | 8 |
| AD TOTAL | | 583 | | 596 | | 654 | | 693 | | 693 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 2 | | 1 | | 2 | | 1 | | 1 |
| AST 7 | | 5 | | 1 | | 5 | | 2 | | 2 |
| AST 6 | | 6 | | 2 | | 6 | | 5 | | 5 |
| AST 5 | | 7 | | 6 | | 7 | | 4 | | 4 |
| AST 4 | | 6 | | 4 | | 6 | | 3 | | 3 |
| AST 3 | | 3 | | 1 | | 3 | | 2 | | 2 |
| AST 2 | | 3 | | 3 | | 3 | | 6 | | 6 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 32 | | 18 | | 32 | | 23 | | 23 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 615 | | 614 | | 686 | | 716 | | 716 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| GRAND TOTAL | 615 | | 614 | | 686 | | 716 | | 716 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 37 | 55 | 59 | 59 |
| Function Group III | 98 | 108 | 118 | 118 |
| Function Group II | 56 | 51 | 58 | 58 |
| Function Group I | | | | |
| TOTAL | 191 | 214 | 235 | 235 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 71 | 59 | 71 | 121 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 168 964 254 | 192 380 773 | 203 905 172 | 207 176 212 | 7,69% |
| - Of which assigned revenues deriving from previous years' surpluses | 2 363 548 | 3 349 469 | 5 098 619 | 5 098 619 | 52,22% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | p.m. | p.m. | p.m. | |
| - Of which EEA/EFTA (excl. Switzerland) | | p.m. | p.m. | p.m. | |
| - Of which candidate countries | | p.m. | p.m. | p.m. | |
| 4 OTHER CONTRIBUTIONS | | p.m. | p.m. | p.m. | |
| 5 ADMINISTRATIVE OPERATIONS | | p.m. | p.m. | p.m. | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | p.m. | p.m. | p.m. | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | p.m. | p.m. | p.m. | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | p.m. | p.m. | p.m. | |
| TOTAL | 168 964 254 | 192 380 773 | 203 905 172 | 207 176 212 | 7,69% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 750 300 | 975 715 | 543 299 | 543 299 | -44,32% |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 472 908 | 1 406 785 | 1 422 835 | 1 422 835 | 1,14% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | 1 142 088 | 1 270 000 | 1 230 000 | 1 230 000 | -3,15% |
| TOTAL | 2 365 296 | 3 652 500 | 3 196 134 | 3 196 134 | -12,49% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 92 464 192 | 103 065 273 | 109 034 672 | 112 305 712 | 8,97% |
| Salaries & allowances | 85 390 397 | 94 581 073 | 99 176 672 | 102 447 712 | 8,32% |
| - Of which establishment plan posts | 71 596 794 | 78 158 073 | 82 443 672 | 85 162 825 | 8,96% |
| - Of which external personnel | 13 793 603 | 16 423 000 | 16 733 000 | 17 284 887 | 5,25% |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 906 254 | 989 000 | 1 058 000 | 1 058 000 | 6,98% |
| Training | 129 500 | 150 000 | 165 000 | 165 000 | 10,00% |
| External Services | -1 | | | | |
| Receptions, events and representation | 38 441 | 107 000 | 107 000 | 107 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 5 999 601 | 7 238 200 | 8 528 000 | 8 528 000 | 17,82% |
| Title 2 - Infrastructure and operating expenditure | 10 689 182 | 14 653 500 | 17 014 500 | 17 014 500 | 16,11% |
| Rental of buildings and associated costs | 6 525 136 | 7 964 000 | 11 509 000 | 11 509 000 | 44,51% |
| Information, communication technology and data processing | 1 647 876 | 2 234 000 | 1 593 000 | 1 593 000 | -28,69% |
| Movable property and associated costs | 971 193 | 1 728 000 | 1 001 000 | 1 001 000 | -42,07% |
| Current administrative expenditure | 377 122 | 646 500 | 674 500 | 674 500 | 4,33% |
| Postage / Telecommunications | 965 378 | 1 076 000 | 1 227 000 | 1 227 000 | 14,03% |
| Meeting expenses | 202 478 | 1 005 000 | 1 010 000 | 1 010 000 | 0,50% |
| Running costs in connection with operational activities | -1 | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 63 641 173 | 74 662 000 | 77 856 000 | 77 856 000 | 4,28% |
| Operations | 14 615 284 | 20 066 000 | 20 988 000 | 20 988 000 | 4,59% |
| Operational information technology | 39 746 688 | 41 255 000 | 44 125 400 | 44 125 400 | 6,96% |
| Telecommunication costs for operational activities | 1 051 080 | 2 306 000 | 1 080 600 | 1 080 600 | -53,14% |
| Seconded National Experts (Operational) | 3 544 677 | 6 662 000 | 6 185 000 | 6 185 000 | -7,16% |
| ATLAS | 3 500 000 | 2 720 000 | 3 734 000 | 3 734 000 | 37,28% |

| EXPENDITURE | Commitment appropriations | | | | |
|----------------------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| EPCC | 104 094 | 300 000 | 300 000 | 300 000 | 0,00% |
| Heads of Europol national Units | 23 600 | 170 000 | 170 000 | 170 000 | 0,00% |
| Operational expenditure related to subsidies and grants | | | | | |
| Operational expenditure related to research and development projects | | | | | |
| Decryption platform | 1 055 750 | 1 183 000 | 1 273 000 | 1 273 000 | 7,61% |
| TOTAL | 166 794 547 | 192 380 773 | 203 905 172 | 207 176 212 | 7,69% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 92 464 192 | 103 065 273 | 109 034 672 | 112 305 712 | 8,97% |
| Salaries & allowances | 85 390 397 | 94 581 073 | 99 176 672 | 102 447 712 | 8,32% |
| - Of which establishment plan posts | 71 596 794 | 78 158 073 | 82 443 672 | 85 162 825 | 8,96% |
| - Of which external personnel | 13 793 603 | 16 423 000 | 16 733 000 | 17 284 887 | 5,25% |
| Expenditure relating to Staff recruitment | | | | | |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | 906 254 | 989 000 | 1 058 000 | 1 058 000 | 6,98% |
| Training | 129 500 | 150 000 | 165 000 | 165 000 | 10,00% |
| External Services | -1 | | | | |
| Receptions, events and representation | 38 441 | 107 000 | 107 000 | 107 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 5 999 601 | 7 238 200 | 8 528 000 | 8 528 000 | 17,82% |
| Title 2 - Infrastructure and operating expenditure | 10 689 182 | 14 653 500 | 17 014 500 | 17 014 500 | 16,11% |
| Rental of buildings and associated costs | 6 525 136 | 7 964 000 | 11 509 000 | 11 509 000 | 44,51% |
| Information, communication technology and data processing | 1 647 876 | 2 234 000 | 1 593 000 | 1 593 000 | -28,69% |
| Movable property and associated costs | 971 193 | 1 728 000 | 1 001 000 | 1 001 000 | -42,07% |
| Current administrative expenditure | 377 122 | 646 500 | 674 500 | 674 500 | 4,33% |
| Postage / Telecommunications | 965 378 | 1 076 000 | 1 227 000 | 1 227 000 | 14,03% |
| Meeting expenses | 202 478 | 1 005 000 | 1 010 000 | 1 010 000 | 0,50% |
| Running costs in connection with operational activities | -1 | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 63 641 173 | 74 662 000 | 74 179 200 | 74 179 200 | -0,65% |
| Operations | 14 615 284 | 20 066 000 | 17 311 200 | 17 311 200 | -13,73% |
| Operational information technology | 39 746 688 | 41 255 000 | 44 125 400 | 44 125 400 | 6,96% |
| Telecommunication costs for operational activities | 1 051 080 | 2 306 000 | 1 080 600 | 1 080 600 | -53,14% |
| Seconded National Experts (Operational) | 3 544 677 | 6 662 000 | 6 185 000 | 6 185 000 | -7,16% |
| ATLAS | 3 500 000 | 2 720 000 | 3 734 000 | 3 734 000 | 37,28% |
| EPCC | 104 094 | 300 000 | 300 000 | 300 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|----------------------------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Heads of Europol national Units | 23 600 | 170 000 | 170 000 | 170 000 | 0,00% |
| Operational expenditure related to subsidies and grants | | | | | |
| Operational expenditure related to research and development projects | | | | | |
| Decryption platform | 1 055 750 | 1 183 000 | 1 273 000 | 1 273 000 | 7,61% |
| TOTAL | 166 794 547 | 192 380 773 | 200 228 372 | 203 499 412 | 5,78% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 5 098 619.

4 Justification of needs

Commission assessment

Human Resources

The staffing level reflected in the 2023 budget request is in line with the financial programming, notably reflecting the legislative financial statement accompanying the upcoming Regulation on the Agency's new and extended mandate.

Financial Resources

The financial resources requested for 2023 are in line with the financial programming, notably reflecting the legislative financial statement accompanying the upcoming Regulation on the Agency's new and extended mandate.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The human and financial resources for the years 2023-2025 are aligned with the Legislative Financial Statement put forward as part of the Commission's proposal for a recast of the Europol Regulation. In December 2020 the European Commission put forward a proposal for a Europol Regulation Recast ('COM(2020)796 FINAL) which includes a reinforcement of Europol with human and financial resources.

Temporary agents

Starting from the 2022 Establishment Plan of 686 posts, the net number of posts in 2023 is envisaged to increase with 30 Temporary Agent (TA) posts.

Contract Agents

The number of CAs in 2023-2025 is 235.

Seconded National Experts

The number of Seconded National Experts (SNEs) in 2023-2025 is foreseen to remain at the same level as in 2021, thus maintaining the number at 71. As of 2022, next to the existing SNE categories, an additional 50 SNE FTE are envisaged for short term deployments during the year (GE/OTF, costed, short term SNE), bringing the total SNE posts up to 121.

Efficiency Gains

Europol continues to implement multiple initiatives and practices to achieve efficiency gains such as the digitalisation of services, the nGage programme encompassing a set of administrative ICT solutions such as e-procurement, e-signature, new automated activity based budget reporting solution, etc; A robust monitoring of budget implementation; close monitoring of the vacancy rate; shared procurement procedures with other agencies; the use of Video conferencing capabilities; and green energy and long term replacement plans to reduce cost for maintenance and risk of equipment failure.

Staff financed with ad hoc grants:

For 2023 Europol will continue having a number of Contract Agents (CAs) and SNEs directly funded via ad hoc Grants, Contribution Agreements or SLAs:

- 12 CAs for Grant Agreements: H2020 Project GRACE: 3 CAs, H2020 Project INFINITY: 1 CAs, H2020 Project AIDA: 5 CAs and H2020 Project STARLIGHT: 3 CAs
- 9 CA for Contribution Agreements: Eastern Partnership (EaP) EMPACT: 2 CAs and SIRIUS II: 7 CAs
- 6 CA and 7 SNE under different SLAs: The European Union Agency for Law Enforcement Training: 3 CAs, the European Union Intellectual Property Office – IP Crime: 3 CAs and 6 SNEs, the European Union Intellectual Property Office – Fraud: 1 SNE.

4.1.2 Vacancy rate as of end 2021

Total Europol vacancy rate: 3.7% (34/921).

Individual vacancy rate per category (excluding posts funded by grants): TA: 0.2% (1/615) ; CA: 8.9% (21/235); SNE: 16.9% (12/71).

4.1.3 Standard abatement ('abatement forfaitaire') applied

No vacancy rate has been applied.

4.1.4 Salary assumption for calculating salary line (% applied)

2021: +1.9%

2022: +2%

2023: +2%

4.1.5 Correction coefficient used

111.4% for 2022 and 2023.

4.1.6 Exchange rate used (if applicable)

N.A.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Staff expenditure in 2023 amounts to EUR 109 034 672 and represents 53.5% of the total budget. It reflects a EUR 5 969 399 or 5.8% increase compared to 2022 which is mainly due to the additional staff envisaged for 2023 (+ 30 TAs), the full year effect of the new staff in 2022 (+71 TAs) and salary adjustments (2% salary increase plus the weighting coefficient for The Netherlands at the same level as 2022).

The staff and salary budget foresees the expenditure for overall 716 TAs, 235 CAs and 71 SNEs. For new staff the costs are calculated for part of the year only to take into account the time it takes to complete their recruitment.

The direct salary and allowances related budget (including recruitment expenditure and relocation allowances) for TAs and CAs (Chapter 11 – Staff in active employment) comes to EUR 99 176 672, an increase of 4.9% or EUR 4 595 599 compared to the year 2022. The budget for Socio-medical infrastructure (Chapter 13) and Training (Chapter 14) is 6.9% or EUR 84 000 higher than the budget 2022 (amounting to EUR 1 139 000), while the budget for other staff related expenditure (Chapter 15) is envisaged to increase by 17.8% or EUR 1 289 800 from the 2022 baseline of EUR 7 238 200. The increase is foreseen to cover higher costs for external security officers, as a consequence of taking extra support for the Temporary Satellite Building (TSB) 1, TSB 2 and the Headquarters (HQ). Moreover, additional consultancy services are planned for the continuation of the mid and long term housing measures as part of the Strategic Housing Roadmap, especially regarding the development of a new Hot Data Centre (HDC) Site. Additional fees for the European School are foreseen in 2023 due to a higher number of enrolled pupils.

4.2.2 Title 2

The budget for administrative activities comes to EUR 17 014 500 and represents 8.3% of the total Europol draft estimate. The increase for administrative expenditure compared to 2022 amounts to EUR 2 361 000 or 16.1%.

The increase compared to the Budget 2022 of EUR 3 545 000 or 44.5% for Rental of buildings and associated costs (Chapter 20) is mainly due to the development works for the HDC, for which the ICT infrastructure work related to the

data connectivity between the HQ and the HDC is required (EUR 2 900 000). Moreover, the remaining increase is due to higher building-related running costs for the implementation and entering into function of the second TSB.

The budget for administrative ICT (Chapter 21) amounts to EUR 1 593 000 and represents a decrease of 28.7% or EUR 641 000 compared to 2022. The budget is envisaged to cover corporate priorities for business enablement related to Corporate Governance as well as ICT infrastructure costs such as administrative hardware and software licenses management.

An amount of EUR 2 902 500 is foreseen for the continuation of other governance, administrative and telecommunication expenditure (Chapter 22 – 24). These Chapters include open source and database subscriptions, legal expenses, administrative expertise, uniforms, furniture, car fleet, office supplies, postal and courier services. The decrease in this Chapter of EUR 548 000 or 15.9% is mainly due to the implementation of the flex-ratio concept, with the purchase of extra lockers and furniture for the Open office concept planning to be completed in 2022.

4.2.3 Title 3

The budget for Operational activities adds up to EUR 77 856 000 and represents 38.2% of the total budget. The increase for operational activities compared to 2022 amounts to EUR 3 194 000 or 4.3%.

To continue the support to MS investigations, an increase of EUR 1 936 000 or 8.5% is foreseen for Chapter 30 – Operations, with a total budget of EUR 24 722 000 for 2023. This additional budget will enable Europol to further develop MS support in areas highlighted in the Europol Strategy 2020+. A budget of EUR 4 000 000 and EUR 3 500 000 respectively is foreseen for EMPACT grants and Deployments, with no change compared to 2022. An increase of EUR 500 000 is foreseen for the OTF/ HVT (Operational Task Force/ High Value Targets) activities, with a total budget of EUR 3 000 000 in 2023. An increase of EUR 1 014 000 is also envisaged for the ATLAS community, with a final budget of EUR 3 734 000 in 2023³⁷. Additional support to MS is also provided in operational and strategic meetings, missions and training.

From 2023 onwards, Europol plans to gradually introduce differentiated appropriations for the budget items that will be used to award grants. This will enable Europol to support grant beneficiaries (mainly law enforcement public bodies) with multi-annual funding and planning.

The budget for operational ICT services and programmes under Title 3 (Chapters 31 and 32 together) comes to EUR 45 206 000, which is an increase of EUR 1 645 000 compared to 2022. The budget will be used to continue the multi-annual delivery of ICT top strategic priorities.

An amount of EUR 6 185 000 is foreseen under Chapter 33 intended to cover for the allowances for 71 Seconded National Experts (EUR 4 185 000) as per the agreed annual staffing levels, as well as the dedicated support to MS investigations, achieved via the introduction of the Guest Experts concept (dedicated short-term Seconded National Experts, SNEs) (EUR 2 000 000). The short-term Seconded National Experts will be filled with MS' experts as per the rules on the secondment of Seconded National Experts adopted by the MB in October 2021 and in accordance with the corresponding Guest Experts concept (thus the short-term Guest Experts will not be deployed to perform tasks of the regular 71 Seconded National Experts).

The budget under Chapter 34 and 35 for high level external stakeholder meetings (HENU and the Europol Police Chiefs Convention, EPCC) amounts to EUR 470 000 as in 2022.

An amount of EUR 1 273 000 is envisaged in the 2023 budget, under Chapter 38 - Decryption platform, to cover for the operational running costs of the platform, mainly electricity, with a minor increase of EUR 90 000 or 7.6% over the previous year.

³⁷ The amount is conditional to Europol timely receiving ATLAS' work programme as approved by the Law Enforcement Working Party.

4.3 Ad hoc grants and delegation agreements

| | General information | | | | | Financial and HR Impacts | | | | | | |
|---------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------|------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------|------------|---------|------------|---------|---------|
| | Date of signature | Total amount | Duration | Counterpart | Short description | N+2 (2023) | | N+3 (2024) | | N+4 (2025) | | |
| Grant agreements | | | | | | | | | | | | |
| 3. H2020 - GRACE 883341 - part of consortium coordinated by Vicom, ES | 18/05/2020 (starting date 1/6/2020) | 6,823,512.50 for the consortium of which 702,550 for Europol | 42 months | European Commission Research Executive Agency | Global Response Against Child Exploitation based on big data technologies supported by advanced AI powered algorithms | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 184,001 | 184,001 | - | - | - | - |
| | | | | | | Number of CAs | 3 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| 4. H2020 - INFINITY 883293 - part of consortium coordinated by Airbus, FR | 11/05/2020 (starting date 1/6/2020) | 6,866,503.75 for the consortium of which 533,600 for Europol | 36 months | European Commission Research Executive Agency | To become a flagship project that revolutionises how LEAs view, analyse and share information to combat crime and terrorism | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 74,111 | 74,111 | - | - | - | - |
| | | | | | | Number of CAs | 1 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| 5. H2020 - AIDA 883596 - part of consortium coordinated by Ingegneria Informatica, IT | 20/05/2020 (starting date 1/9/2020) | 7,690,272.50 for the consortium of which 935,800 for Europol | 30 months | European Commission Research Executive Agency | Artificial Intelligence and advanced Data Analytics for Law Enforcement Agencies | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 62,387 | 62,387 | - | - | - | - |
| | | | | | | Number of CAs | 5 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| 6. H2020 - STARLIGHT 101021797 - part of consortium coordinated by CEA, FR | 05/05/2021 (starting date 1/10/2021) | 17,000,000 for the consortium of which 891,200 for Europol | 48 months | European Commission Research Executive Agency | Sustainable Autonomy and Resilience for LEAs using AI against High priority Threats | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 222,800 | 222,800 | 222,800 | 222,800 | 167,100 | 167,100 |
| | | | | | | Number of CAs | 3 | | 3 | | 3 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| | | | | | | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 543,299 | 543,299 | 222,800 | 222,800 | 167,100 | 167,100 |
| | | | | | | Number of CAs | 12 | | 3 | | 3 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| Total grant agreements | | | | | | | | | | | | |
| | | | | | | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 543,299 | 543,299 | 222,800 | 222,800 | 167,100 | 167,100 |
| | | | | | | Number of CAs | 12 | | 3 | | 3 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| Contribution agreements | | | | | | | | | | | | |
| 1. EaP EMPACT EN/2020 / 416-376 | 11/06/2020 (starting date 1/7/2020) | 2,500,000 | 48 months | European Commission DG Near | Fighting organised crime in the EaP region | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 625,000 | 625,000 | 312,500 | 312,500 | - | - |
| | | | | | | Number of CAs | 2 | | 2 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| 2. SIRIUS II New Agreement | 21/12/2020 (starting date 1/1/2021) | 3,491,891.50 (2,226,456 Europol, 1,265,435.50 Eurojust) | 42 months (staff for year 1 still in SIRIUS I) | European Commission Service for Foreign Policy Instruments | International Digital Cooperation - Cross border access to electronic evidence | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 797,835 | 797,835 | 344,412 | 344,412 | - | - |
| | | | | | | Number of CAs | 7 | | 7 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| | | | | | | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 1,422,835 | 1,422,835 | 656,912 | 656,912 | - | - |
| | | | | | | Number of CAs | 9 | | 9 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| Total contribution agreements | | | | | | | | | | | | |
| | | | | | | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 1,422,835 | 1,422,835 | 656,912 | 656,912 | - | - |
| | | | | | | Number of CAs | 9 | | 9 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| Service-level agreements | | | | | | | | | | | | |
| 1. EUIPO - IP Crime | 07/11/2019 (starting date 1/1/2020) | Maximum 3,800,000 | 48 months | The European Union Intellectual Property Office (EUIPO) | To support law enforcement authorities preventing crime related to Intellectual Property Rights | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 950,000 | 950,000 | - | - | - | - |
| | | | | | | Number of CAs | 3 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 6 | | 0 | | 0 | |
| 2. EUIPO - Fraud | 13/08/2019 (starting date 1/1/2020) | No amount specified, 80,000 in year 1 and 2 | 48 months | The European Union Intellectual Property Office (EUIPO) | Preventing fraud against users of the European Union Intellectual Property Systems | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 80,000 | 80,000 | - | - | - | - |
| | | | | | | Number of CAs | 0 | | 0 | | 0 | |
| | | | | | | Number of SNEs | 1 | | 0 | | 0 | |
| 3. The European Union Agency for Law Enforcement Training | 05/08/2020 | 880,000 | Maximum duration until 1/9/2024 | The European Union Agency for Law Enforcement Training | EUROMED POLICE V (Contract No. EN/2020/414-940), WB PaCT (Contract No. 2019/ 413-822) and TOPCOP (Contract No. EN/2020/415-941) projects | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 200,000 | 200,000 | 120,000 | 120,000 | - | - |
| | | | | | | Number of CAs | 3 | | 2 | | 0 | |
| | | | | | | Number of SNEs | 0 | | 0 | | 0 | |
| | | | | | | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 1,230,000 | 1,230,000 | 120,000 | 120,000 | - | - |
| | | | | | | Number of CAs | 6 | | 2 | | 0 | |
| | | | | | | Number of SNEs | 7 | | 0 | | 0 | |
| Total service-level agreements | | | | | | | | | | | | |
| | | | | | | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 1,230,000 | 1,230,000 | 120,000 | 120,000 | - | - |
| | | | | | | Number of CAs | 6 | | 2 | | 0 | |
| | | | | | | Number of SNEs | 7 | | 0 | | 0 | |
| TOTAL AGREEMENTS | | | | | | | | | | | | |
| | | | | | | Amount | CA | PA | CA | PA | CA | PA |
| | | | | | | | 3,196,134 | 3,196,134 | 999,712 | 999,712 | 167,100 | 167,100 |
| | | | | | | Number of CAs | 27 | | 14 | | 3 | |
| | | | | | | Number of SNEs | 7 | | 0 | | 0 | |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|----------------------------------------|---------------------------------------------------|----------------------------------|---------------|---------------|-----------------|----------------------------------------------------------------------------|-----------------|--------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Europol Headquarters | Eisenhowerlaan 73, 2517 KK, The Hague Netherlands | 10 000 | 22 500 | 32 500 | | Lease Agreement is valid for 20 years and was signed on 1 March 2011. | Lease agreement | Host State support by providing and maintaining the accommodation. | |
| 2 | Europol / Temporary Satellite Building | Jan Willem Frisolaan 13, 2517JS The Hague | 1 900 | 800 | 2 700 | | Lease Agreement is valid for 3+1 years and was signed on 6 September 2019. | Lease agreement | Host State support by providing and maintaining the accommodation. | |
| TOTAL | | | 11 900 | 23 300 | 35 200 | | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

Europol is facing a shortage of workplaces, meeting facilities and data centre capacity in its headquarters. Therefore, Europol and the Host State, represented by the Ministry of Justice and Security, have developed a Strategic Housing Roadmap (SHR) for the period 2016 – 2031, (expiration of the first term Lease Agreement).

In regards to the implementation of the Mid-Term Housing Solution (HQ1), Europol will optimise the utilisation of the current office environment, providing additional meeting spaces and preparing for flexible usage of the workplaces. The teleworking experience, stemming from the COVID-19 measures, will be taken into consideration. The start of the Preliminary Design process is scheduled for the period Q1 – Q2/2021. According to the Host State's planning, the reinforcement programme of the Plank Floor Slabs will be combined with the implementation of Mid-Term Housing Solution. A separate project will be initiated by the Host State to start the replacement of the LON in 2021.

The development of Temporary Satellite Building II (TSBII) is essential to create move space in the HQ for the implementation of the Mid-Term Housing Solution and strengthening process of the Plank Floor Slabs. Both TSB I and II buildings should be used for a minimum period of five years, until the accomplishment of the Long-Term Housing Measures (HQ2). The Host State is investigating alternative office buildings in the vicinity of the HQ.

For the implementation of the SHR Long-Term Housing Measures (HQ2), the Host State successfully finalised the acquisition of office building Churchillplein 1 in The Hague (HQ2) in 2019. The Definition Phase of the development of the new office building is in progress and the Host State is investigating the expansion possibilities of the building and grounds (m2). The implementation of the Long-Term Housing Measures for HQ2 is forecasted to be completed in 2027. In view of Article 88 of the Financial Regulation (FR) applicable to Europol, it is envisaged to request approval from the European Parliament and the Council for these housing initiatives in the near future, once the Host State and Europol have identified the exact scope of costs.

In addition, the Agreement with the Republic of Austria for the Europol Data Recovery Site in Austria will expire on 31 July 2021 and the negotiation process to extend the current lease agreement is foreseen in January 2021 in order to support the business continuity of Europol's data storage capacity.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

The establishment of the European School of The Hague was agreed in 2011 and it opened its doors in school year 2012/2013. The Commission partially subsidises the school fees for the European School in The Hague (ESH) based on a mutual Mandate and Service agreement. The expenditure for the European School will continue to rise with the staff growth. Based on the current number of pupils and the assumption that most of the children of the new staff will be registered in ESH, a total budget of EUR 4 244 000 is anticipated for the school year 2023/2024.

5.3 Evaluation

Internal monitoring & evaluation

Europol management monitors the implementation status of all planned actions, projects and indicators on a quarterly basis, to assess the overall progress and to take corrective actions where needed. This is done via the **Consolidated Annual Activity Report (CAAR)** and the **Europol Internal Control Framework (ICF)**.

External monitoring & evaluation

The **Internal Audit Service (IAS)**, supported by the IAC and Europol, performs a risk assessment on Europol's governance, administrative and support process areas at regular intervals, with a view to identifying the overall risk profile of key administrative processes outside the core business area. The **European Data Protection Supervisor (EDPS)** holds regular meetings with the Data Protection Function (DPF) of Europol; the DPF facilitates the EDPS' annual and other inspection activities. The **European Court of Auditors (ECA)** conducts annual audits on Europol's implementation of each financial year, including the annual accounts presented to the discharge authority, as well as performance and ad-hoc audits engagements with respect to the mandate of Europol.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>According to Article 63(1) Europol Regulation the Protocol on Privileges and Immunities of the European Union ("Protocol No. 7" to the Treaty on European Union and the Treaty on the Functioning of the European Union) applies to Europol.</p> <p>The Protocol is supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol (see Art. 70 Europol Regulation).</p> | <p>According to Article 63(1) Europol Regulation the following legal acts apply to Europol's staff (including the Executive Director and the Deputy Executive Directors):</p> <ul style="list-style-type: none"> - Protocol on Privileges and Immunities of the European Union ("Protocol No. 7" to the Treaty on European Union and the Treaty on the Functioning of the EU) - Regulation (Euratom, ECSC, EEC) No. 549/69 of 25 March 1969 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on the Privileges and Immunities of the Communities apply (as last amended by Regulation (EC) No. 371/2009 of 27 November 2008) <p>Protocol and Regulation are supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol (see Art. 70 Europol Regulation), which is itself supplemented regarding staff privileges and immunities by a number of <i>Notes Verbales</i> some specific to Europol and others directed towards all international and EU organisations in The Netherlands. The most significant of these is an exchange of <i>Notes Verbales</i> of 25 October 2007.</p> | <p>Europol staff members can benefit from the ordinary rules regarding tax benefits linked to day care expenses just as any other residents of the Netherlands.</p> |

Please note: Protocol No. 7 has been renumbered, compared to the Protocol on Privileges and Immunities of the European Communities. Its Article 15 empowers the European Parliament and the Council to determine acting by means of regulations the categories of officials and other servants of the Union to whom the provisions of Article 11, the second paragraph of Article 12, and Article 13 of this Protocol shall apply, in whole or in part. -Regulation No. 549/69 (see above) has not been amended following the entry into force of the new Protocol No. 7 (1 Dec. 2009), thus still contains the references to the old numbering.

2.5.2 European Union Agency for Law Enforcement Training – CEPOL

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| REGULATION (EU) 2015/2219 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 November 2015 on the European Union Agency for Law Enforcement Training (CEPOL) and replacing and repealing Council Decision 2005/681/JHA | 01/07/2016 | <p>The new legal basis presents several key tasks for CEPOL:</p> <ul style="list-style-type: none"> •A wider target group of “law enforcement officials”. •Coordination and implementation, addressing the European dimension of serious and organised crime, terrorism, public order, and CSDP preparedness. • Assessment of EU initiatives in defined fields, building the capacity of third countries, multiannual strategic training needs assessments, promotion of mutual recognition of training for EU Member States. •CEPOL’s remit in the area of research relevant for training and external relations has been extended. •CEPOL is a fully fledged EU agency in terms of legal construction, tasked inter alia to bring together a network of law enforcement training institutes. CEPOL Secretariat becomes staff of the agency. •The Governing Board becomes a Management Board (with the Commission as a voting member), gaining powers of Appointing Authority and competences in determining CEPOL’s internal organisation. •The Executive Director shall make proposals to the Management Board, and implement both the budget and the work programme(s) and the European Commission is included in the appointment mechanism. |

1.2 Seat

Budapest, Hungary

1.3 Budget Line

12 10 02 : European Union Agency for Law Enforcement Training (CEPOL)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|----------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 23 | 21 | 91,30% | 23 | 23 |
| Assistants (AST) | 10 | 12 | 120,00% | 10 | 10 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 33 | 33 | 100,00% | 33 | 33 |
| Contract Agents (CA) | 19 | 20 | 105,26% | 20 | 19 |
| Seconded National Experts (SNE) | 4 | 6 | 150,00% | 4 | 4 |
| TOTAL STAFF | 56 | 59 | 105,36% | 57 | 56 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 10 845 030 | 11 211 273 |
| Other Revenue | | |
| TOTAL REVENUES | 10 845 030 | 11 211 273 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|--------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant | | |

| | | |
|------------------------------------------------------------------------------|-------------|-------------|
| agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | p.m. | p.m. |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | p.m. | p.m. |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 4 880 500 | 4 880 500 | 5 220 675 | 5 220 675 |
| Title 2 - Infrastructure and operating expenditure | 495 500 | 495 500 | 505 410 | 505 410 |
| Title 3 - Operational expenditure | 5 469 030 | 5 469 030 | 5 578 410 | 5 578 410 |
| Title 4 - Operational expenditure | | | | |
| TOTAL EXPENDITURE | 10 845 030 | 10 845 030 | 11 304 495 | 11 304 495 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | 1 | | 1 | | 1 |
| AD 12 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 11 | | 4 | | 4 | | 3 | | 3 | | 3 |
| AD 10 | | | | | | | | | | |
| AD 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 8 | | | | | | 3 | | 7 | | 3 |
| AD 7 | | 5 | | 5 | | 5 | | 12 | | 5 |
| AD 6 | | 6 | | 5 | | 5 | | 7 | | 5 |
| AD 5 | | 5 | | 4 | | 3 | | 2 | | 3 |
| AD TOTAL | | 23 | | 21 | | 23 | | 35 | | 23 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | 1 | | 1 | | 1 | | 1 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 5 | | 4 | | 3 | | 5 | | 2 | | 5 |
| AST 4 | | 5 | | 4 | | 4 | | 4 | | 4 |
| AST 3 | | 1 | | 4 | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 10 | | 12 | | 10 | | 7 | | 10 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 33 | | 33 | | 33 | | 42 | | 33 |
| GRAND TOTAL | 33 | | 33 | | 33 | | 42 | | 33 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 4 | 8 | 8 | 7 |
| Function Group III | 15 | 12 | 12 | 12 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 19 | 20 | 20 | 19 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 4 | 6 | 4 | 4 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|---------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 9 832 382 | 10 845 030 | 12 300 000 | 11 211 273 | 3,38% |

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | 212 578 | 772 772 | 405 197 | 405 197 | -47,57% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 9 832 382 | 10 845 030 | 12 300 000 | 11 211 273 | 3,38% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | -1 578 964 | p.m. | p.m. | p.m. | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | -1 578 964 | p.m. | p.m. | p.m. | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 639 547 | 4 880 500 | 5 570 000 | 5 220 675 | 6,97% |
| Salaries & allowances | 3 968 536 | 4 125 000 | 4 720 000 | 4 450 065 | 7,88% |
| <i>- Of which establishment plan posts</i> | 2 678 536 | 2 809 490 | 3 384 360 | 3 027 003 | 7,74% |
| <i>- Of which external personnel</i> | 1 290 000 | 1 315 510 | 1 335 640 | 1 423 062 | 8,18% |
| Expenditure relating to Staff recruitment | 54 050 | 9 000 | 9 000 | 9 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 842 | 30 000 | 30 000 | 30 000 | 0,00% |
| Socio-medical infrastructure | 389 055 | 430 000 | 467 000 | 438 780 | 2,04% |
| Training | 48 019 | 50 000 | 87 000 | 56 760 | 13,52% |
| External Services | 175 646 | 221 000 | 246 000 | 225 420 | 2,00% |
| Receptions, events and representation | 1 371 | 8 000 | 3 000 | 3 000 | -62,50% |
| Social welfare | 2 028 | 7 500 | 8 000 | 7 650 | 2,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 719 008 | 495 500 | 520 000 | 505 410 | 2,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|-------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Rental of buildings and associated costs | 83 843 | 44 000 | 55 000 | 44 880 | 2,00% |
| Information, communication technology and data processing | 564 555 | 392 000 | 402 000 | 401 210 | 2,35% |
| Movable property and associated costs | 27 339 | 16 000 | 20 000 | 16 320 | 2,00% |
| Current administrative expenditure | 39 571 | 37 500 | 33 000 | 33 000 | -12,00% |
| Postage / Telecommunications | 3 700 | 6 000 | 10 000 | 10 000 | 66,67% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 4 157 527 | 5 469 030 | 6 210 000 | 5 578 410 | 2,00% |
| Bodies and organs | 211 332 | 417 000 | 372 000 | 334 166 | -19,86% |
| Courses and seminars | 3 188 965 | 4 183 500 | 5 088 000 | 4 570 524 | 9,25% |
| Other programme activities | 757 230 | 868 530 | 750 000 | 673 720 | -22,43% |
| Evaluation | | | | | |
| Missions | | | | | |
| Other operational activities | | | | | |
| Title 4 - Operational expenditure | | | | | |
| Expenditure relating to persons working for CT MENA project | | | | | |
| Travel | | | | | |
| Equipment and supplies | | | | | |
| Local office | | | | | |
| Other costs, services | | | | | |
| Other | | | | | |
| Indirect costs | | | | | |
| Provision for contingency reserve | | | | | |
| Taxes | | | | | |
| TOTAL | 9 516 082 | 10 845 030 | 12 300 000 | 11 304 495 | 4,24% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------------|------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 4 566 178 | 4 880 500 | 5 570 000 | 5 220 675 | 6,97% |
| Salaries & allowances | 3 968 081 | 4 125 000 | 4 720 000 | 4 450 065 | 7,88% |
| - Of which establishment plan posts | 2 678 536 | 2 809 490 | 3 384 360 | 3 027 003 | 7,74% |
| - Of which external personnel | 1 289 545 | 1 315 510 | 1 335 640 | 1 423 062 | 8,18% |
| Expenditure relating to Staff recruitment | 20 225 | 9 000 | 9 000 | 9 000 | 0,00% |
| Employer's pension contributions | | | | | |
| Mission expenses | 842 | 30 000 | 30 000 | 30 000 | 0,00% |
| Socio-medical infrastructure | 388 465 | 430 000 | 467 000 | 438 780 | 2,04% |
| Training | 35 128 | 50 000 | 87 000 | 56 760 | 13,52% |
| External Services | 150 038 | 221 000 | 246 000 | 225 420 | 2,00% |
| Receptions, events and representation | 1 371 | 8 000 | 3 000 | 3 000 | -62,50% |

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Social welfare | 2 028 | 7 500 | 8 000 | 7 650 | 2,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 486 643 | 495 500 | 520 000 | 505 410 | 2,00% |
| Rental of buildings and associated costs | 69 974 | 44 000 | 55 000 | 44 880 | 2,00% |
| Information, communication technology and data processing | 387 219 | 392 000 | 402 000 | 401 210 | 2,35% |
| Movable property and associated costs | 16 226 | 16 000 | 20 000 | 16 320 | 2,00% |
| Current administrative expenditure | 10 371 | 37 500 | 33 000 | 33 000 | -12,00% |
| Postage / Telecommunications | 2 853 | 6 000 | 10 000 | 10 000 | 66,67% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 2 052 170 | 5 469 030 | 6 210 000 | 5 578 410 | 2,00% |
| Bodies and organs | 33 454 | 417 000 | 372 000 | 334 166 | -19,86% |
| Courses and seminars | 1 661 600 | 4 183 500 | 5 088 000 | 4 570 524 | 9,25% |
| Other programme activities | 357 116 | 868 530 | 750 000 | 673 720 | -22,43% |
| Evaluation | | | | | |
| Missions | | | | | |
| Other operational activities | | | | | |
| Title 4 - Operational expenditure | | | | | |
| Expenditure relating to persons working for CT MENA project | | | | | |
| Travel | | | | | |
| Equipment and supplies | | | | | |
| Local office | | | | | |
| Other costs, services | | | | | |
| Other | | | | | |
| Indirect costs | | | | | |
| Provision for contingency reserve | | | | | |
| Taxes | | | | | |
| TOTAL | 7 104 991 | 10 845 030 | 12 300 000 | 11 304 495 | 4,24% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): 405 197 EUR.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports a constant Establishment plan in line with the programming authorised by the co-legislator for 2023.

Financial Resources

For 2023, the Commission supports an EU contribution in line with the financial programming for the MFF 2021-2027, including a 2% increase on the 2022 EU contribution.

The proposed EU contribution for 2023 includes an increase for salary expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

CEPOL aims to implement its mandate and therefore pursues the necessary resources for full engagement with all law enforcement sectors, implement its research agenda, and ensure quality, transferability and mobility of CEPOL training and education through standardisation and certification. The agency considers it suffers, across all departments, from a lack of human resources, making it difficult for CEPOL to evolve and fully implement its mandate.

Due to COVID-19 pandemic CEPOL re-focused in delivering increasing online trainings for which the agency requests a reinforcement in staff for 2023. Additionally, there is a strong need in most European Union Member States to benefit from law enforcement training on cybercrime, cyber forensics, artificial intelligence, big data etc. This can only be provided by CEPOL with significant strengthening of human (and budgetary) resources.

4.1.2 Vacancy rate as of end 2021

On 31 December 2021, there were 3 vacant positions, which translates to a 9% vacancy rate at 31 December 2021. The recruitment procedures has been launched in 2022 and are expected to be finalised in the first quarter of 2022.

4.1.3 Standard abatement ('abatement forfaitaire') applied

CEPOL foresees no abatement in 2023, the Agency is currently under-resourced and standard abatement within the normal range is associated with efficiencies achieved through the implementation of mature processes.

4.1.4 Salary assumption for calculating salary line (% applied)

2023 salaries are calculated on the assumption that all authorised establishment plan posts will be filled and considering the individual family and personal circumstances of the employees, 1.7% increase of staff entitlements, reclassification and automatic step-increases for staff and the correction coefficient for Hungary at the end of 2021.

4.1.5 Correction coefficient used

The correction coefficient for Hungary at the end of 2021 was 76,1 %. Flexibility is foreseen for the exchange rate fluctuations of Hungarian currency that may affect both the correction coefficient and the actual salary payments.

4.1.6 Exchange rate used (if applicable)

N/A - The budget is established in euro.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 is covering costs related to staff. The increased budget is related to the requested 9 additional posts for the year 2023. CEPOL considers an increase in staff is needed to fulfil the Agency's mandate and to ensure direct and timely delivery of EU level training to tackle the most pressing European security priorities.

4.2.2 Title 2

The 2022 budget request for Infrastructure and Operating expenditure amounts to EUR 520 000. The Title 2 budget is relatively low and below the average annual range for this expenditure. Main expenditure items are IT investments and maintenance, the rental of liaison office in Brussels, office equipment's and current administrative expenses. The CEPOL Headquarters are offered free of charge by the Host Country.

4.2.3 Title 3

The CEPOL legal mandate envisages CEPOL to assume a coordination and implementation role in EU law enforcement training and requests it to fully align its activities to the relevant political priorities articulated at Union level. Training is identified as a key supporting action for European Security. The work programme 2023 will continue to support all key priority areas deriving from the EU Security Union Strategy in line with the principles of the Law Enforcement Training Scheme.

The 2023 operational budget request shows an increase of 740 000 EUR compared to the 2022 budget. The operational activities performed by CEPOL up to 2022 must be reinforced and complemented due to the following reasons:

- Need to address a significant training demand in the area of cybercrime, artificial intelligence, big data, electronic evidence and cybersecurity. The CEPOL Cyber Academy established in 2019 needs to be reinforced to meet the huge training demands of the Member States.
- The volume and scope of training on serious organised crime shall be expanded.
- The volume and scope of training on counter-terrorism shall be expanded in line with the Counter-Terrorism Agenda for the EU.
- Training on interoperability, law enforcement information exchange and specialist law enforcement techniques, with the increase of the use of SIS, other large-scale IT systems, travel intelligence, Prüm, operational agencies - tools designed to support cross border cooperation calls for significant increase in raising knowledge on these tools and supporting specialist networks.
- The legal mandate of CEPOL envisages training provision for law enforcement agencies other than police as well. The expansion of target group - that can be estimated at 0.5 million officials in the EU – needs further enlargement of the portfolio (e.g. for customs law enforcement)
- In line with the resource forecast for CEPOL, the Agency is aiming to the next years to operate in a “hybrid business model” that will be based on three pillars: (i) Activities implemented by CEPOL; (ii) Specific Areas to be covered by selected CKCs; and (iii) Activities to be implemented by Framework Partner via Grants.
- Training needs assessment and training coordination at EU level.
- Development of EU training standards, Certification of training and trainers.
- Language training to facilitate cross border cooperation inside the EU and with the Candidate + ENP countries
- Research in the area of Law Enforcement education.
- External aspects of Internal security, particularly capacity building in ENP countries and CSDP mission training.
- Development of training environment, e.g. technologies, methodologies: enforcing the technological solutions for education and learning particularly in the area of interactive online learning solutions.

It is important to emphasise that CEPOL must be provided with adequate financial and human resources for the implementation of its mandated tasks as well as addressing the ever growing demand from the law enforcement community to provide more and international training and capacity building activities.

Between 2012-2019 the agency outreach has steadily grown due to the increasing online training components. However the growth of the number of the trained officials had been stopped in 2020 partially due to COVID-19 pandemic, but mainly due to the fact that the agency reached its operative limits.

4.3 Ad hoc grants and delegation agreements

CEPOL has been engaged in dialogues with respective Commission Services concerning the implementation of new projects in the European Southern and Eastern Neighbourhood Policy areas, and the Western Balkans, financed by Contribution Agreements. As a result of this, CEPOL signed the following 4 contribution agreements starting in 2020:

- Enhancing Information Exchange and Criminal Justice Response to Terrorism in the Middle East and North Africa “CT INFLOW” project with a budget of EUR 7 500 000, duration of 48 months and starting date of 1 April 2020. The project is financed via EU Service for Foreign Policy Instrument (FPI).
- EUROMED Police project with a budget of EUR 7 000 000, duration of 48 months, and starting date of 1 April 2020. The project is funded by European Union under the European Neighbourhood Instrument (ENI).
- Training and Operational Partnership against Organised Crime (TOPCOP) project with a budget of EUR 6 000 000, duration of 48 months and starting date of 1 July 2020. The project is funded by European Neighbourhood Instrument, managed by DG NEAR.
- Western Balkans Project against Crime and Terrorism (WB PaCT) project, with a budget of EUR 3,000,000, duration of 36 months and starting date 19 October 2020. The project is funded by the Instrument of Pre-Accession II managed by DG NEAR.

Human resource planning for the projects, not part of the Agencies’ Staff Establishment plan and financed from externally assigned revenue dedicated for the implementation of the corresponding projects:

| Human Resources | 2020 | 2021 | 2022 | 2023 |
|-----------------------------------|---------------|---------------|---------------|---------------|
| | Envisaged FTE | Envisaged FTE | Envisaged FTE | Envisaged FTE |
| CT INFLOW (IFS/2019/410-531) | 12 | 13 | 13 | 13 |
| Contract Agents (CA) | 11 | 12 | 12 | 12 |
| Seconded National Experts (SNE) | 1 | 1 | 1 | 1 |
| EUROMED Police (ENI/2020/414-940) | 13 | 13 | 13 | 13 |

| Human Resources | 2020 | 2021 | 2022 | 2023 |
|---------------------------------|---------------|---------------|---------------|---------------|
| | Envisaged FTE | Envisaged FTE | Envisaged FTE | Envisaged FTE |
| Contract Agents (CA) | 13 | 13 | 13 | 13 |
| Seconded National Experts (SNE) | 0 | 0 | 0 | 0 |
| TOPCOP (ENI/2020/415-941) | 10 | 10 | 10 | 10 |
| Contract Agents (CA) | 10 | 10 | 10 | 10 |
| Seconded National Experts (SNE) | 0 | 0 | 0 | 0 |
| WB PaCT (2019/413-822) | 6 | 6 | 6 | 6 |
| Contract Agents (CA) | 6 | 6 | 6 | 6 |
| Seconded National Experts (SNE) | 0 | 0 | 0 | 0 |
| TOTAL | 41 | 42 | 42 | 42 |

Budget overview of the project:

| EXTERNALLY FINANCED PROJECT ACTIVITIES | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------|----------------------------|
| EXPENDITURE | Commitment appropriations | | |
| | Implemented Budget 2020 (EUR) | Implemented Budget 2021 (EUR) | Opening balance 2022 (EUR) |
| 51 EU/MENA Counter-terrorism Training Partnership 2 project (CT 2) | 1 269 353 | 42 379 | - |
| 52_0 Financial investigation in-service training programme Western Balkan project (FI) | 290 490 | - | - |
| 52_1 Western Balkans Project against Crime and Terrorism project (WB PaCT) | 687 392 | 817 832 | 2 197 511 |
| 53 Enhancing Information Exchange and Criminal Justice Responses to Terrorism in the Middle East and North Africa project (CT INFLOW) | 1 246 659 | 1 346 395 | 2 440 629 |
| 54 Enhancing operational capacities of the South Partner Countries to fight serious and organised crime and strengthening strategic cooperation project (EUROMED Police) | 1 503 708 | 1 247 393 | 5 264 830 |
| 55 Training and Operational Partnership against Organised Crime project (TOPCOP) | 1 327 730 | 1 215 804 | 4 586 883 |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|--------------------------|--------------------------------------------------|----------------------------------|--------------|--------------|-----------------|---------------------------------------------------|---------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | CEPOL Headquarters | 1066 Budapest, Ó utca 27, Hungary | 978 | 1 145 | 2 123 | | 10 years free of charge as from 1 September 2014. | Free of charge | Host country provides: accommodation, utility, maintenance, security and reception services. | |
| 2 | Project Office | 1062, Budapest, Aradi street 8-10 | 1 036 | 54 | 1 090 | 252 460 | Until 31 May 2023 | Rental paid by externally financed projects | | |
| 3 | CEPOL Cybercrime Academy | 1126 Budapest, Böszörményi út 27 | 80 | | 80 | | | Free of charge | Offered by host country | |
| 4 | CEPOL Liaison Office | 1040 Brussels, Avenue de la Joyeuse Entrée 17-20 | 48 | | 48 | 12 497 | Until 31 August 2022. | Commercially rented office | | |
| TOTAL | | | 2 142 | 1 199 | 3 341 | 264 957 | | | | |

5.1.2 Current building(s) Other comments

Buildings 2, 3 and 4 under point 5.1.1 are shown for transparency reason. Those premisses are not formal Cepol buildings but rented/used offices.

5.1.3 Building projects in the planning phase

CEPOL's staff has reached the maximum number originally foreseen for the building. The current building has been designed for 53 staff members, current staffing levels (including projects that are actively implemented) are over 76. CEPOL is already renting additional office spaces outside Headquarters or the Capacity Building Projects, using the budget reserved for those projects. In 2018 CEPOL has made a request to the Hungarian authorities to explore the possibilities for a new building for CEPOL. The Hungarian authorities are positively considering this request, but it seems there are no real possibilities before 2024.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

There are no European School or European sections in a national school in Budapest. This situation is not foreseen to change in the coming years. Consequently, it is difficult to promote geographical balance among the staff of the Agency not being able to provide schooling for the children of staff in a different language than Hungarian. Therefore CEPOL's Management Board decided that CEPOL shall pay the school fees (registration and attendance fees, school transportation costs). As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations.

5.3 Evaluation

The last CEPOL evaluation from 2015 confirmed the relevance of its activities and that the Agency was able to respond and adapt to emerging priority areas. Due to the dynamic changes in the security environment, CEPOL's mandate set by Decision 2005/681/JHA became outdated and has been replaced by the new CEPOL Regulation (2015) 2219 which will allow to adapt the strategic objectives and to improve even more the relevance of CEPOL's activities; amongst others, through the Strategic Training Needs Analysis (STNA) and the Training Needs Analysis (TNA). The evaluation report was made public: <https://www.cepol.europa.eu/sites/default/files/five-year-evaluation-report-2011-2015.pdf> Next evaluation foreseen by Regulation (EU) 2015/2219 of the European Parliament and of the Council should be carried out by 1 of July 2021.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| CEPOL can request the reimbursement of incurred VAT in line with the HQ agreement signed and the applicable Hungarian regulations | <p>CEPOL staff, with the exception of Hungarian nationals are issued a special identity card similar to those issued for members of diplomatic corps of the Member States of the EU in Hungary. CEPOL Staff is entitled to enjoy the privileges and immunities, exemptions and facilities granted by Hungary to members of the diplomatic corps of the Member States of the European Union in Hungary. The Protocol of privileges and immunities applies to the Director of CEPOL and the staff of its Secretariat, with the exception of staff seconded from the Member States and Hungarian nationals. Staff is entitled to reimbursement of VAT in accordance with the relevant rules foreseen for resident officials of international organizations in Hungary, up to 300.000 HUF of value of VAT/year during the first 2 years of employment in Hungary. Staff – with the exception of Hungarian nationals – are entitled to import from their last country of residence or from the country of which they are nationals, free of duty and without prohibitions or restrictions, within 12 months from the date of establishment of normal place of residence in the customs territory of the European Union, furniture and personal effect, including motor vehicles, that shall be registered under diplomatic plates.</p> | <p>There is no European School in Budapest or at a reasonable distance from Budapest. Also, there is no European section in a national school. On the basis of current information, this situation is not foreseen to change in the coming years. CEPOL Governing Board decided that CEPOL shall pay the school fees. As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations. The costs covered by CEPOL shall be:</p> <ul style="list-style-type: none"> - the registration and attendance fees - the school transportation costs. <p>All other costs are excluded, in conformity with Commission Decision C (2004)131-53-2004 on general implementing provisions for the grant of the education allowance.</p> |

2.5.3 European Monitoring Centre for Drugs and Drug Addiction – EMCDDA

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|----------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EEC) 302/93 | 08/02/1993 | This regulation establishing the EMCDDA, was repealed by Regulation (EC) No 1920/2006. |
| Recast Regulation (EC) 1920/2006 on the European Monitoring Centre for Drugs and Drug Addiction (recast) | 12/12/2006 | <p>The EMCDDA's objective is to provide the EU and its Member States with factual, objective, reliable and comparable information at European level on drugs, drug addiction and their consequences. To achieve its objective, the Centre shall perform the following tasks: a) collection and analysis of existing data; b) improvement of data-comparison methods; c) dissemination of data; d) cooperation with European and international bodies and organisations and with third countries; e) identification of new developments and changing trends.</p> <p>The recast Regulation stipulates that the Centre shall focus on the following priority areas: monitoring the state of the drugs problem and emerging trends; monitoring the solutions applied to drug related problems; assessing the risks of new psychoactive substances and maintaining a rapid information system; and developing tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies.</p> <p>The EMCDDA's tasks were extended through the recast Regulation. The collection, registration and analysis work must also cover data on emerging trends in poly drug use, including the combined use of licit and illicit psychoactive substances, but also information on best practices in the Member States and the exchange of such practices among them. The EMCDDA was also required to develop tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies and strategies. The scope of the Centre's technical assistance was extended to certain non-Community countries such as the candidates for EU accession or the countries of the Western Balkans. Lastly, the recast Regulation introduced several organisational changes, such as the reference to the Vice-Chairperson of the Management Board and the Executive Committee, a new composition and selection procedure for the Scientific Committee.</p> <p>Furthermore, a new piece of legislation (Regulation (EU) 2017/2101 of the European Parliament and of the Council of 15 November 2017 amending Regulation EC No 1920/2006) was adopted on 24 October 2017, replacing Council Decision 2005/387/JHA as of 23 November 2018. The 2005 legal instrument set out well-defined and tight deadlines for all the tasks covered therein; the deadlines imposed by the new regulation are even stricter and the times allowed have been reduced by more than half, i.e. to two weeks for collecting data from the Reitox national focal points, to five weeks for drafting the initial report and to six weeks for preparing a requested risk assessment.</p> <p>The new regulation foresees the inclusion of a few other concrete new tasks, additional information and new working procedures in the operation of the EWS and risk assessments.</p> |

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

12 10 03 : European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 51 | 48 | 94,12% | 51 | 51 |
| Assistants (AST) | 25 | 25 | 100,00% | 25 | 25 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 76 | 73 | 96,05% | 76 | 76 |
| Contract Agents (CA) | 34 | 27 | 79,41% | 34 | 34 |
| Seconded National Experts (SNE) | 1 | 1 | 100,00% | 1 | 1 |
| TOTAL STAFF | 111 | 101 | 90,99% | 111 | 111 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 16 946 659 | 17 641 938 |
| Other Revenue | 796 070 | 804 256 |
| TOTAL REVENUES | 17 742 729 | 18 446 194 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 12 234 233 | 12 234 233 | 12 787 156 | 12 787 156 |
| Title 2 - Infrastructure and operating expenditure | 2 210 949 | 2 210 949 | 2 296 977 | 2 296 977 |
| Title 3 - Operational expenditure | 3 297 547 | 3 297 547 | 3 362 060 | 3 362 060 |
| TOTAL EXPENDITURE | 17 742 729 | 17 742 729 | 18 446 193 | 18 446 193 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | 1 | 3 | 1 | 4 | 1 | 3 | 1 | 3 | 1 | 3 |
| AD 12 | 3 | 9 | 1 | 5 | 3 | 8 | 3 | 8 | 3 | 8 |
| AD 11 | 1 | 10 | | 6 | 1 | 9 | 1 | 9 | 1 | 9 |
| AD 10 | 1 | 11 | 1 | 7 | | 10 | | 10 | | 10 |
| AD 9 | | 8 | 1 | 9 | | 8 | | 8 | | 8 |
| AD 8 | | 1 | | 6 | | 5 | | 5 | | 5 |
| AD 7 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 6 | | | | 5 | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | 6 | 45 | 4 | 44 | 5 | 46 | 5 | 46 | 5 | 46 |
| AST 11 | 1 | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 10 | | 2 | | | | 2 | | 2 | | 2 |
| AST 9 | 1 | 6 | | 4 | 1 | 6 | 1 | 6 | 1 | 6 |
| AST 8 | 2 | 6 | | 3 | 1 | 5 | 1 | 5 | 1 | 5 |
| AST 7 | | 5 | | 6 | | 6 | | 6 | | 6 |
| AST 6 | | 1 | 1 | 6 | | 3 | | 3 | | 3 |
| AST 5 | | | | 1 | | | | | | |
| AST 4 | | | | 1 | | | | | | |
| AST 3 | | | 1 | | | | | | | |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 4 | 21 | 2 | 23 | 2 | 23 | 2 | 23 | 2 | 23 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 10 | 66 | 6 | 67 | 7 | 69 | 7 | 69 | 7 | 69 |
| GRAND TOTAL | 76 | | 73 | | 76 | | 76 | | 76 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 8 | 3 | 8 | 8 |
| Function Group III | 9 | 12 | 9 | 10 |
| Function Group II | 14 | 11 | 14 | 14 |
| Function Group I | 3 | 1 | 3 | 2 |
| TOTAL | 34 | 27 | 34 | 34 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | 1 | 1 | 1 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 16 614 372 | 16 946 659 | 18 837 482 | 17 641 938 | 4,10% |
| - Of which assigned revenues deriving from previous years' surpluses | 20 629 | 108 036 | 113 655 | 113 655 | 5,20% |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | 765 200 | 796 070 | 876 461 | 804 256 | 1,03% |
| - Of which EEA/EFTA (excl. Switzerland) | 467 723 | 492 643 | 539 180 | 494 761 | 0,43% |
| - Of which candidate countries | 297 477 | 303 427 | 337 281 | 309 495 | 2,00% |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 17 379 572 | 17 742 729 | 19 713 943 | 18 446 194 | 3,96% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | 1 595 219 | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 1 595 219 | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 11 426 087 | 12 234 233 | 12 712 435 | 12 787 156 | 4,52% |
| Salaries & allowances | 11 396 095 | 12 174 733 | 12 598 435 | 12 726 492 | 4,53% |
| - <i>Of which establishment plan posts</i> | 9 804 162 | 10 401 648 | 10 842 303 | 10 919 771 | 4,98% |
| - <i>Of which external personnel</i> | 1 591 933 | 1 773 085 | 1 756 132 | 1 806 721 | 1,90% |
| Expenditure relating to Staff recruitment | 3 000 | 9 500 | 14 000 | 9 686 | 1,96% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | 26 992 | 50 000 | 100 000 | 50 978 | 1,96% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 428 514 | 2 210 949 | 2 379 025 | 2 296 977 | 3,89% |
| Rental of buildings and associated costs | 1 435 449 | 1 413 577 | 1 516 476 | 1 516 476 | 7,28% |
| Information, communication technology and data processing | 717 357 | 570 000 | 663 199 | 581 151 | 1,96% |
| Movable property and associated costs | 176 176 | 98 000 | 81 000 | 81 000 | -17,35% |
| Current administrative expenditure | 37 879 | 40 872 | 30 350 | 30 350 | -25,74% |
| Postage / Telecommunications | 47 425 | 73 500 | 59 000 | 59 000 | -19,73% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 14 228 | 15 000 | 29 000 | 29 000 | 93,33% |
| Title 3 - Operational expenditure | 5 895 617 | 3 297 547 | 4 622 483 | 3 362 060 | 1,96% |
| Information and Publishing | 411 792 | 440 000 | 816 193 | 448 608 | 1,96% |
| Studies | 823 109 | 790 000 | 1 000 000 | 805 456 | 1,96% |
| REITOX | 2 063 933 | 1 620 000 | 2 063 000 | 1 651 694 | 1,96% |
| Mission expenses | 14 586 | 119 192 | 238 610 | 121 524 | 1,96% |
| Meeting expenses | 210 951 | 325 855 | 501 180 | 332 230 | 1,96% |
| Receptions ans events | 600 | 2 500 | 3 500 | 2 548 | 1,92% |
| Expenditure IPA and ENP1 projects | 2 370 646 | | | | |
| Expenditure related to IPA projects | 576 908 | | | | |
| Expenditure related to ENP1 projects | 1 793 738 | | | | |
| TOTAL | 19 750 218 | 17 742 729 | 19 713 943 | 18 446 193 | 3,96% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 11 418 070 | 12 234 233 | 12 712 435 | 12 787 156 | 4,52% |
| Salaries & allowances | 11 393 658 | 12 174 733 | 12 598 435 | 12 726 492 | 4,53% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| - Of which establishment plan posts | 9 804 161 | 10 401 648 | 10 842 303 | 10 919 771 | 4,98% |
| - Of which external personnel | 1 589 497 | 1 773 085 | 1 756 132 | 1 806 721 | 1,90% |
| Expenditure relating to Staff recruitment | 1 796 | 9 500 | 14 000 | 9 686 | 1,96% |
| Employer's pension contributions | | | | | |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | 22 616 | 50 000 | 100 000 | 50 978 | 1,96% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 905 951 | 2 210 949 | 2 379 025 | 2 296 977 | 3,89% |
| Rental of buildings and associated costs | 1 314 021 | 1 413 577 | 1 516 476 | 1 516 476 | 7,28% |
| Information, communication technology and data processing | 488 398 | 570 000 | 663 199 | 581 151 | 1,96% |
| Movable property and associated costs | 28 322 | 98 000 | 81 000 | 81 000 | -17,35% |
| Current administrative expenditure | 37 512 | 40 872 | 30 350 | 30 350 | -25,74% |
| Postage / Telecommunications | 26 706 | 73 500 | 59 000 | 59 000 | -19,73% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 10 992 | 15 000 | 29 000 | 29 000 | 93,33% |
| Title 3 - Operational expenditure | 4 604 540 | 3 297 547 | 4 622 483 | 3 362 060 | 1,96% |
| Information and Publishing | 406 321 | 440 000 | 816 193 | 448 608 | 1,96% |
| Studies | 768 211 | 790 000 | 1 000 000 | 805 456 | 1,96% |
| REITOX | 2 070 423 | 1 620 000 | 2 063 000 | 1 651 694 | 1,96% |
| Mission expenses | 16 999 | 119 192 | 238 610 | 121 524 | 1,96% |
| Meeting expenses | 158 929 | 325 855 | 501 180 | 332 230 | 1,96% |
| Receptions ans events | 517 | 2 500 | 3 500 | 2 548 | 1,92% |
| Expenditure IPA and ENP1 projects | 1 183 140 | | | | |
| Expenditure related to IPA projects | 283 880 | | | | |
| Expenditure related to ENP1 projects | 899 260 | | | | |
| TOTAL | 17 928 561 | 17 742 729 | 19 713 943 | 18 446 193 | 3,96% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 113 655.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the agency request for stable staffing, maintaining 2021 authorised staff numbers.

Financial Resources

The Commission does not support any additions to the budget programmed for the 2023 period in the multiannual financial framework (2021-2027). The proposed EU contribution for 2023 does however include an increase for salary

expenditure due to high inflation. It is calculated based on Title 1 expenditure and proportionally to the share of the EU contribution in the overall revenue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

No additional staff is requested for 2023. The EMCDDA is going to deploy the available human resources by relying on 76 authorised posts in its establishment plan for 2023, i.e. the same number as in 2022.

4.1.2 Vacancy rate as of end 2021

9%

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

3% estimated 2023 annual adjustment of EU Staff remuneration in Portugal (salary increase plus increase of correction factor).

4.1.5 Correction coefficient used

91.4%

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

The amount of the EU 2023 subsidy requested by the EMCDDA aims to ensure the proper functioning of the EMCDDA and the effective and full implementation of its 2023 work programme. In particular, it should allow the EMCDDA to cope with the 2023 automatic increase of its staff-related expenditure and running costs, while ensuring the sustainability of its operations and its capacity to fully deliver on its mandate to the benefit of its national and EU stakeholders.

Title 1 appropriations will be used to cover the remuneration costs for all staff in post and the estimated supplementary expenditure needed to meet the automatic and compulsory adjustments required in 2023, in accordance with the applicable Staff Regulations. The appropriations will allow to fully deploy the allocated human resources; by relying on 76 authorised posts in the establishment plan for 2023 (the same number since 2018).

4.2.2 Title 2

Title 2 appropriations will cover the running costs for the renting and functioning of the EMCDDA premises and infrastructures, as well as for the essential administrative and IT support services and supplies required for this functioning. This includes the minimum investments needed for the essential maintenance and updating of the critical equipment and infrastructure supporting the EMCDDA operations and ensuring the effectiveness and security of the latter.

4.2.3 Title 3

Title 3 appropriations will cover the effective execution of planned operational activities and projects aimed at implementing the EMCDDA 2023 work programme, in accordance with the relevant level and order of priority. This will allow, in particular, to ensure the necessary support to policy and practice provided by the EMCDDA at EU and national level, the effective implementation of the Early Warning System on New Psychoactive Substances, the development of the EMCDDA data sources and the proper addressing of the most recent developments of the drug phenomenon, including the effects on the latter of the COVID-19 pandemic.

Title 3 will allow the agency to ensure the maximum possible EMCDDA 2023 co-financing (grants) to the Reitox national focal points (NFP) at the same level as in 2021, i.e. without the 25% reduction required for 2022 to cope with the 2022 budget constraints.

4.3 Ad hoc grants and delegation agreements

No new ad hoc grants and delegation agreements has been concluded for 2023.

At present the EMCDDA relies on ad hoc grants from the EU budget for the implementation of specific projects for technical assistance to Third Countries (IPA Pre-accession countries, European Neighbourhood Policy East and South countries and COPOLAD countries). These grants have been entered into the EMCDDA previous annual budgets as external assigned appropriations, in accordance with the applicable financial rules. By relying exclusively on these appropriations and for the purpose of the implementation of the aforementioned technical assistance projects, the EMCDDA has recruited the following additional contract agents for the limited duration of this implementation:

- 5 CAs funded by assigned appropriations for EU4MD technical assistance project
- 3 CAs funded by assigned appropriations for IPA7 technical assistance project
- 2 CAs funded by assigned appropriations for GEORGIA technical assistance project
- 2 CAs to be funded by assigned appropriations for the expected execution in 2022 of the COPOLAD technical assistance project

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------------------------------|------------------|----------------------------------|------------|--------------|------------------|----------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Cais do Sodré, Lisbon, office building, rented | Lisbon, Portugal | 5 846 | 674 | 6 520 | 1 011 473 | Rental for 25 years with option to buy | Office building | The Host country supported the installation by providing the office furniture for the headquarters. | |
| TOTAL | | | 5 846 | 674 | 6 520 | 1 011 473 | | | | |

5.1.2 Current building(s) Other comments

N.A.

5.1.3 Building projects in the planning phase

No new building projects have been planned.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

Not applicable to EMCDDA, as there is no European school in Lisbon.

5.3 Evaluation

In line with Article 23 of the EMCDDA founding Regulation recast, the European Commission shall carry out an external evaluation of the agency every six years and forward the evaluation report to the European Parliament, the Council and the Management Board of the EMCDDA. The previous evaluation was completed in mid-2012. The fourth external evaluation of the agency was completed in November 2018. It covered the last two multi-annual work programmes of the EMCDDA, namely 2013-2015 and 2016-2018.

The main findings of the last evaluation were that EMCDDA appropriately addressed the objectives, tasks and priorities set out in its Regulation, as well as the EU Drugs Strategy, its Action Plans and the European Agenda on Security. The EMCDDA is well recognised and highly regarded as a centre for excellence in providing information on the drug phenomenon, not only in Europe but internationally. The EMCDDA has used the available human and financial resources efficiently to deliver the outputs, outcomes and impacts set out in its work programmes. The evaluation also found a high degree of coherence between the regulatory framework and the objectives and activities set out in the three-year EMCDDA Strategies and annual work programmes.

The objectives and activities of the Centre are coherent with the EU Drugs Strategy and the EU Action Plans on Drugs, and complement those of the European Commission and other EU Agencies. The EMCDDA has an excellent reputation as the main source of comprehensive, scientific and reliable EU-level drug-related information, and is used by national, EU and international stakeholders, especially policy makers, researchers and practitioners.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>The Portuguese Government granted the EMCDDA with diplomatic status by means of the conclusion of a seat agreement on 26th June 1996 (Protocol between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon). Through this Agreement, which entered into force in May 1998, the Portuguese Government applies the Protocol on the Privileges and Immunities of the European Communities to the EMCDDA, exempting the agency from payment of all national, regional or municipal rates and taxes as regards the fixed assets it owns or rents, as well as from customs duties and from any other taxes, prohibitions or restrictions on goods of any kind which it imports or exports in the exercise of its official business (VAT, etc.)</p> | <p>Protocol on the Privileges and Immunities of the European Communities is applicable to EMCDDA staff. The Protocol concluded between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon, grants the EMCDDA staff the privileges and immunities, exemptions and facilities recognised by the Portuguese State to members of a comparable category of the diplomatic corps in Portugal. As a consequence EMCDDA staff is entitled to purchase furniture and /or household aids VAT free. This exemption does not cover expenditure for food supplies and beverages, property works, including materials, water, gas, electricity, food and beverages services, hotels or similar services, fixed line telephone services. Limited exemption is granted from the payment of the Portuguese tax and VAT on the purchase and registration of vehicles.</p> | <p>There is no European or accredited school that can be attended free of charge in the area where the EMCDDA has its seat. As per the Memorandum of Understanding signed in 2004 by the Portuguese Government, the EMCDDA and EMSA concerning the common premises of the two agencies in Lisbon, the Portuguese Government committed itself to do its utmost (jointly with EMSA and EMCDDA) to find the best possible solution for providing schooling for the children of EMSA and EMCDDA staff. In this context it agreed to pursue either the establishment of a European School in Lisbon or the signature of partial agreements between the European School Board and the main international schools in the Lisbon area. However, difficulties have been encountered for the implementation of this solution. In December 2019 the Board of Governors of the European Schools gave its approval to the creation of an Accredited European School in Lisbon. The EMCDDA is providing in synergy with EMSA, its cooperation for the successful implementation of this initiative by the national authorities in charge of this implementation</p> |

2.6 Decentralised agencies of Heading 7 – Administration

2.6.1 Translation Centre for the Bodies of the European Union – CDT

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------|------------|-----------------------------------------------------------------------------------------------|
| Council Regulation (EC) No. 1645/2003 | 18/06/2003 | Rational response to the translation needs of a large number of European agencies and offices |
| Council Regulation (EC) No. 2610/95 | 30/10/1995 | The active participation of the Centre in inter institutional cooperation |
| Council Regulation (EC) No. 2965/94 | 28/11/1994 | To meet the translation needs of the other decentralised Community Agencies |

1.2 Seat

Luxembourg

1.3 Budget Line

20 10 01 : Translation Centre for bodies of the European Union

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 138 | 132 | 95,65% | 135 | 138 |
| Assistants (AST) | 53 | 50 | 94,34% | 56 | 53 |
| Assistants/Secretaries (AST/SC) | 2 | 2 | 100,00% | 2 | 2 |
| ESTABLISHMENT PLAN POSTS | 193 | 184 | 95,34% | 193 | 193 |
| Contract Agents (CA) | 28 | 21 | 75,00% | 28 | 35 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 221 | 205 | 92,76% | 221 | 228 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 49 037 100 | 47 664 100 |
| TOTAL REVENUES | 49 037 100 | 47 664 100 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 28 768 200 | 28 768 200 | | |
| Title 2 - Infrastructure and operating expenditure | 8 273 600 | 8 273 600 | | |
| Title 3 - Operational expenditure | 11 069 300 | 11 069 300 | | |
| Title 10 - Reserves | 926 000 | 926 000 | | |
| TOTAL EXPENDITURE | 49 037 100 | 49 037 100 | | |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | 1 | 1 | 1 | | 1 | 1 | 1 | 1 | 1 | 1 |
| AD 13 | 1 | | 1 | | 1 | | 3 | | 3 | |
| AD 12 | 16 | 12 | 9 | 3 | 16 | 12 | 13 | 7 | 13 | 7 |
| AD 11 | 10 | 5 | 5 | 5 | 10 | 5 | 7 | 6 | 7 | 6 |
| AD 10 | 7 | 5 | 2 | 7 | 7 | 5 | 8 | 7 | 8 | 7 |
| AD 9 | 6 | 15 | 5 | 8 | 5 | 15 | 7 | 21 | 7 | 21 |
| AD 8 | | 23 | 9 | 17 | | 22 | 6 | 24 | 6 | 24 |
| AD 7 | 5 | 28 | 5 | 20 | 5 | 28 | 2 | 18 | 1 | 18 |
| AD 6 | | 3 | 4 | 15 | | 2 | | 8 | | 8 |
| AD 5 | | | | 16 | | | | 2 | | |
| AD TOTAL | 46 | 92 | 41 | 91 | 45 | 90 | 47 | 94 | 46 | 92 |
| AST 11 | | | | | | | | | | |
| AST 10 | 1 | | | | 1 | | 1 | | 1 | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 9 | 2 | 2 | 4 | 1 | 3 | 2 | 3 | 2 | 3 | 2 |
| AST 8 | 1 | 1 | 1 | 2 | 1 | 1 | | 1 | | 1 |
| AST 7 | 1 | 5 | 1 | 2 | 1 | 5 | 1 | 7 | 1 | 7 |
| AST 6 | | 8 | | 9 | | 9 | | 10 | | 10 |
| AST 5 | | 19 | | 12 | 1 | 19 | | 17 | | 17 |
| AST 4 | | 11 | | 10 | | 12 | | 10 | | 10 |
| AST 3 | | 2 | | 8 | | 1 | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 5 | 48 | 6 | 44 | 7 | 49 | 5 | 48 | 5 | 48 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AST/SC 2 | | 1 | | 1 | | 1 | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | 2 | | 2 | | 2 | | 2 | | 2 |
| TOTAL | 51 | 142 | 47 | 137 | 52 | 141 | 52 | 144 | 51 | 142 |
| GRAND TOTAL | 193 | | 184 | | 193 | | 196 | | 193 | |

(1) The difference between the 2021 establishment plan posts and the posts actually filled as of 31/12/2021 (for example AD12 posts) is the result of under occupation of the posts and the requirement to publish vacancies in line with Article 29 of the Staff Regulations and the provisions foreseen in the General Implementing Provisions for temporary staff under Article 2(f) of CEOS.

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 14 | 5 | 14 | 20 |
| Function Group III | 14 | 15 | 14 | 15 |
| Function Group II | | 1 | | |
| Function Group I | | | | |
| TOTAL | 28 | 21 | 28 | 35 |

(1) *Expressed in FTEs

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 45 069 367 | 48 101 600 | 46 701 500 | 46 701 500 | -2,91% |
| 2 EU CONTRIBUTION | | | | | |
| <i>- Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| <i>- Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| <i>- Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 632 642 | 663 100 | 694 100 | 694 100 | 4,68% |
| 5 ADMINISTRATIVE OPERATIONS | 858 682 | 272 400 | 268 500 | 268 500 | -1,43% |
| <i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 46 560 691 | 49 037 100 | 47 664 100 | 47 664 100 | -2,80% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|-------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 25 693 925 | 28 768 200 | 28 959 500 | | -100% |
| Salaries & allowances | 21 494 543 | 23 807 000 | 23 945 400 | | -100% |
| <i>- Of which establishment plan posts</i> | <i>19 997 664</i> | <i>21 813 200</i> | <i>21 921 600</i> | | -100% |
| <i>- Of which external personnel</i> | <i>1 496 879</i> | <i>1 993 800</i> | <i>2 023 800</i> | | -100% |
| Expenditure relating to Staff recruitment | 149 950 | 224 400 | 225 400 | | -100% |
| Employer's pension contributions | 3 336 261 | 3 548 400 | 3 593 200 | | -100% |
| Mission expenses | 500 | 76 600 | 80 400 | | -100% |
| Socio-medical infrastructure | 578 533 | 854 100 | 878 500 | | -100% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|-------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Training | 91 362 | 168 600 | 175 200 | | -100% |
| External Services | | | | | |
| Receptions, events and representation | 226 | 2 500 | 2 500 | | -100% |
| Social welfare | 42 550 | 86 600 | 58 900 | | -100% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 7 590 044 | 8 273 600 | 8 222 200 | | -100% |
| Rental of buildings and associated costs | 3 015 365 | 2 620 100 | 2 673 100 | | -100% |
| Information, communication technology and data processing | 4 123 719 | 4 936 700 | 4 809 800 | | -100% |
| Movable property and associated costs | 33 277 | 61 700 | 52 700 | | -100% |
| Current administrative expenditure | 198 150 | 243 000 | 249 900 | | -100% |
| Postage / Telecommunications | 182 933 | 212 600 | 238 200 | | -100% |
| Meeting expenses | | 22 000 | 21 000 | | -100% |
| Running costs in connection with operational activities | 25 132 | 57 500 | 57 500 | | -100% |
| Information and publishing | 11 468 | 20 000 | 20 000 | | -100% |
| Studies | | 100 000 | 100 000 | | -100% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 11 016 240 | 11 069 300 | 10 482 400 | | -100% |
| External translation services | 10 241 218 | 10 350 000 | 9 730 000 | | -100% |
| Expenditure relating to interinstitutional cooperation | 718 353 | 719 300 | 752 400 | | -100% |
| Expenditure linked to the e-Cdt programme | 56 669 | | | | |
| Title 10 - Reserves | | 926 000 | | | -100% |
| TOTAL | 44 300 209 | 49 037 100 | 47 664 100 | | -100% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 25 693 925 | 28 768 200 | 28 959 500 | | -100% |
| Salaries & allowances | 21 494 543 | 23 807 000 | 23 945 400 | | -100% |
| - Of which establishment plan posts | 19 997 664 | 21 813 200 | 21 921 600 | | -100% |
| - Of which external personnel | 1 496 879 | 1 993 800 | 2 023 800 | | -100% |
| Expenditure relating to Staff recruitment | 149 950 | 224 400 | 225 400 | | -100% |
| Employer's pension contributions | 3 336 261 | 3 548 400 | 3 593 200 | | -100% |
| Mission expenses | 500 | 76 600 | 80 400 | | -100% |
| Socio-medical infrastructure | 578 533 | 854 100 | 878 500 | | -100% |
| Training | 91 362 | 168 600 | 175 200 | | -100% |
| External Services | | | | | |
| Receptions, events and representation | 226 | 2 500 | 2 500 | | -100% |
| Social welfare | 42 550 | 86 600 | 58 900 | | -100% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 7 590 044 | 8 273 600 | 8 222 200 | | -100% |
| Rental of buildings and associated costs | 3 015 365 | 2 620 100 | 2 673 100 | | -100% |
| Information, communication technology and | 4 123 719 | 4 936 700 | 4 809 800 | | -100% |

| EXPENDITURE | Payment appropriations | | | | VAR 2023/2022 (%) |
|---------------------------------------------------------|-------------------------|-------------------|-------------------|-----------------|-------------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | |
| | | | Agency request | Budget forecast | |
| data processing | | | | | |
| Movable property and associated costs | 33 277 | 61 700 | 52 700 | | -100% |
| Current administrative expenditure | 198 150 | 243 000 | 249 900 | | -100% |
| Postage / Telecommunications | 182 933 | 212 600 | 238 200 | | -100% |
| Meeting expenses | | 22 000 | 21 000 | | -100% |
| Running costs in connection with operational activities | 25 132 | 57 500 | 57 500 | | -100% |
| Information and publishing | 11 468 | 20 000 | 20 000 | | -100% |
| Studies | | 100 000 | 100 000 | | -100% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 11 016 240 | 11 069 300 | 10 482 400 | | -100% |
| External translation services | 10 241 218 | 10 350 000 | 9 730 000 | | -100% |
| Expenditure relating to interinstitutional cooperation | 718 353 | 719 300 | 752 400 | | -100% |
| Expenditure linked to the e-Cdt programme | 56 669 | | | | |
| Title 10 - Reserves | | 926 000 | | | -100% |
| TOTAL | 44 300 209 | 49 037 100 | 47 664 100 | | -100% |

3.3 Budget Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR

4 Justification of needs

Commission assessment

Human Resources

As in 2022, for 2023 the agency has requested an additional 3 AD staff in relation to the phasing out of the Irish language derogation. The Commission does not support the request for an additional 3 posts. However, since the Agency has redeployed posts from other areas to reinforce the Irish language team, 2 contract agents are proposed to bridge the gap. In addition 5 FTE will be financed by an agreement with EPPO to carry out specialised translation work.

Financial Resources

The CdT is self-financed and does not require any contribution from the Commission section of the budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

At the end of 2021, the Translation Centre (CdT) employed 184 staff, namely 47 officials and 137 temporary staff. Following a decision by the budgetary authority, the 2021 establishment plan remained at the level of 193 posts. In order to achieve cost efficiency gains, the CdT proactively started optimising its structure from 2009 and progressively decreased its establishment plan posts from 233 posts in 2009 to 193 posts in 2018.

The CdT has fully complied with the Communication from the Commission to the Parliament and the Council (COM (519)2013) on Programming of human and financial resources for decentralised agencies 2014-2020. The Centre has optimised its working methods and implemented cost-efficiency measures through its business model, including a reorganisation of its structure, a reduction in the number of posts, a revision of the type of posts needed and the levels at which recruitment is undertaken. Taking all this into account, the Centre has reached a baseline in terms of the critical mass of staff required to function properly – any further staff reductions would undermine its activities.

With this in mind, in 2021 the Centre initialised the temporary redeployment of internal resources for the composition of the Irish-language translation group, as a provisional solution awaiting the positive outcome of the budgetary procedure, which is expected to satisfy the request for three additional resources for the Irish language team.

The planned number of contract staff for 2021 has remained stable at 28 FTEs. As for 2022, the CdT received a request from the European Public Prosecutor's Office (EPPO) for a confidential translation project. The Centre estimates that for

this project it would need five additional FTEs (4 contract staff in FG IV and one FG III) for six months and the corresponding appropriations for 2023, with the possibility of further extending these if the EPPO sends additional volumes within the same project. The additional resources will be devoted solely to the EPPO project.

In the next years (2023-2025) the CdT will face a certain stability in terms of staff resources, after the addition in 2023 of the three additional staff members for the creation of the Irish language translation group, which will also rely on the support of existing resources. The new Irish language group will be composed of one official (entry grade AD7) and two temporary staff members (entry grade AD 5). Reshuffling the staff within the organisation in order to gain better efficiency and cope with the growth of existing tasks was also considered. In this respect one existing Irish language translator, who was integrated into the English language group, was redeployed from the English to the newly created Irish language group.

It should be pointed out that, with the gradual phasing out of the Irish language derogation by 2022 and as a result of Council Regulation (EU, Euratom) 2015/2264 aimed at achieving a full Irish language regime from 1 January 2022, the Centre's remit has to all extents and purposes been enlarged, but without a corresponding allocation of additional resources (which was the case in the past), contrary to what a formal change of mandate would have entailed. The Centre now faces new obligations if it wishes to continue to meet the requirements of its clients and its broader mission. An important argument in favour of having a fully functional Irish language group at the Centre – without hindering the activity of other language groups or departments from which resources have been temporarily redeployed – is the shortage of freelance Irish translators, which will impact on the Centre's capacity to meet its clients' increasing requirements to translate into Irish from January 2022. The already limited market of Irish translators has recently shrunk due to the recruitment of Irish translators by all EU institutions and, therefore, it is essential for the Centre to have the critical mass of four Irish translators to perform in-house the main translation tasks required by its clients.

With this in mind, it is essential to take note of that fact that the Centre has now internally redeployed resources to its core business as far as is feasibly possible, and any further permanent reduction of posts in other language groups or other departments to create the Irish language group would seriously jeopardise the Centre's ability to deliver services to its clients. Therefore, a solution to this staffing crisis must be found as a matter of urgency so that the Centre can continue to function along its upgraded technological lines whilst being able to meet the demands for Irish-language translations as required by the Centre's clients.

4.1.2 Vacancy rate as of end 2021

The vacancy rate for officials and temporary staff for 2021 stood at 4.66%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The Centre's budget included the possibility for annual salary adjustments, estimated at 1% per year. The estimation was based on the latest information available at the time of the budget preparation. It is now clear that the impact of the 2022 salary update will have an impact on the salary expenditure in 2023. The relevant figures will be adapted at a later stage and will be self-financed by the Agency.

4.1.5 Correction coefficient used

Not applicable

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for Title 1, 'Staff', in 2023 is EUR 29 million, 0.66% higher (EUR 0.2 million) than for 2022. The Centre's budget provides for possible annual salary adjustments, as well as biennial step advancements and promotions. The 2023 budget is based on an establishment plan of 196 posts. The budget also includes the Centre's financial contribution to the European Schools. The full contribution is estimated at around EUR 520 000, which will be made from 2022 onwards. The budget also takes into account factors such as the turnover ratio and staff work patterns. The estimates for 2024 and

2025 follow the same assumptions with an establishment plan of 196 posts, reaching EUR 29.4 million and EUR 29.7 million respectively.

4.2.2 Title 2

The budget for Title 2, ‘Buildings, equipment and miscellaneous operating expenditure’, is EUR 8.2 million in 2023. This is a 0.62% decreased compared with 2022. This decrease is mainly due to the new negotiation related to the reduction in the building’s surface area; the plan to increase teleworking, and the implementation of the second data centre.

The expenditure in Title 2 includes expenditure for the hosting of a data centre for the ERA. In conformity with the principle of universality, the revenue received from the ERA is recorded as revenue, as opposed to offsetting the expenditure.

In 2024 and 2025, the Centre estimates that expenditure in Title 2 will reach EUR 7.7 million and EUR 7.9 million respectively. This reflects IT investments which are required in order to respond to clients’ needs through the implementation of the actions set out in this programming document, the increase in teleworking and the new negotiation related to the reduction in the building’s surface area, and includes a limited margin for indexations of various goods and services.

4.2.3 Title 3

The budget for Title 3, ‘Operational expenditure’, covers the outsourcing of translation services and technical services relating to language services and interinstitutional cooperation. It amounts to EUR 10.5 million in 2023, which is lower than the 2022 budget and EUR 0.5 million lower (4.8%) than the 2021 outturn. In 2024 and 2025, the Centre estimates that expenditure in Title 3 will reach EUR 9.7 million and EUR 9.4 million respectively.

The budget for external translation services constitutes the main part of the title’s budget, namely 92.8% in 2023. The actual cost of these services depends on a number of factors, such as the language combinations of clients’ requests, changes in the ranking of suppliers under existing framework contracts, and the prices agreed under future framework contracts, which also depend on economic developments in the Member States. The budget is estimated at EUR 9.7 million in 2023, EUR 8.9 million in 2024 and EUR 8.6 million in 2025. The amounts are in line with the forecast volumes and the Centre’s expectation that the investment in translation technologies will have a positive impact on the expenditure for external translation services. Clearly, real expenditure will heavily depend on the actual demand from the Centre’s clients and the actual impact of translation technologies. The forecast for interinstitutional cooperation in 2023 is EUR 0.8 million, which is 4.6% higher than in 2022, reflecting the plans for the IATE terminology database and the forecasts provided for the other interinstitutional tools. In 2024 and 2025, the forecast expenditure is EUR 0.8 million per annum. To a large extent, these amounts are matched by the revenue the Centre receives for its services within the context of interinstitutional cooperation.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-------------------------------------------------------|---------------------|-----------------|----------------|------------------|-----------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Bâtiment TECHNOPODIS | 12E, rue Guillaume Kroll L-1882 Luxembourg Luxembourg | 4 449,37 | 1 155,73 | 5 605,1 | 1 531 197 | Until October 2030 (the end of the rental contract) | Rental contract for office space and parking space Fixed term lease | Subvention from the Luxembourg government of EUR 170 000 up to 2030 | |
| TOTAL | | | 4 449,37 | 1 155,73 | 5 605,1 | 1 531 197 | | | | |

5.1.2 Current building(s) Other comments

Not applicable

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

The staff members of the Centre have access to the two European schools in Luxembourg. The Centre agreed on an SLA with DG HR and started paying a financial contribution for the schooling of children in the European schools I and II in Luxembourg on a pro-rata basis as from 2020. From 2022, the full contribution for the staff's children is paid by the Centre.

5.3 Evaluation

The Centre's performance monitoring system is based on the alignment of the business objectives stated in the Centre's Strategy. In accordance with standard operating procedures, the Centre's management tracks the Centre's progress on the implementation of its work programmes and multi-year initiatives by conducting quarterly performance reviews of department dashboards, the mid-term Strategy review, the Centre's scorecard, and the Centre's risk register. The Centre's management also assesses its internal control system on an annual basis. The IT Steering Committee screens, approves and monitors improvement projects on a monthly basis. Budget analyses are reported to the Centre's Director on a monthly basis. In line with its Financial Regulation, the Centre undertakes ex ante and ex post evaluations of programmes and activities that entail significant spending. The Director reports on current developments and all issues of importance to the management board and in particular, in the form of an Annual Activity Report. The latter mirrors the structure of the Centre's work programme and provides all the necessary indicators to facilitate the management board's oversight duties. The Centre is audited on an annual basis by the Court of Auditors and by the Commission's Internal Audit Service.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| <p>In July 2014, the Centre signed a headquarters agreement with the host country, the Grand Duchy of Luxembourg. The Centre, its property, funding and assets enjoy immunity in Luxembourg from every form of judicial process. The Centre's premises are inviolable.</p> <p>Within the scope of its official activities, the Centre, its assets, income, property and its operations and transactions authorised by Regulation (EC) No 2965/94 are exempt from all forms of taxation, present and future.</p> | <p>Every 36 months, officials and other servants (temporary and contract staff) may purchase a car with a temporary exemption of VAT in the Grand Duchy of Luxembourg or in another EU Member State. The staff member must have a contract with the Centre (or an Institution in Luxembourg) of at least six months and live in Luxembourg. The exemption is granted subject to reimbursement of the VAT relating to the selling of the previous car purchase with temporary VAT exemption. If the staff member leaves the Centre or moves abroad, he/she will have to reimburse VAT on the car.</p> | <p>The Centre's staff benefit from all the facilities available to staff of the other institutions located in Luxembourg, namely: the European Parliament nurseries, private nurseries, after-school childcare and the Study Centre managed by the European Commission's Office for Infrastructure and Logistics (OIL), the European Schools, international schools, the French secondary school, the Luxembourg state nursery and primary schools, Luxembourg secondary schools and training centres and the University of Luxembourg.</p> <p>The Centre applies all the provisions laid down in the Staff Regulations (annex VII) and in the general implementing rules regarding the education allowance. The education allowance is paid by the Centre and is granted to officials, temporary and contract staff, and to other beneficiaries legally entitled to this allowance.</p> |

2.7 Fully self-financed decentralised agencies

The individual budgetary financial statements of the fully self-financed decentralised agencies are included for information purposes only and reflect the views and information as submitted by these agencies and adopted by the Agencies' Budget Committees.

2.7.1 Single Resolution Board – SRB

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|----------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) No 806/2014 on the Single Resolution Mechanism | 15/07/2015 | The Board will carry out specified tasks concerning the preparation for the resolution of credit institutions and their actual resolution where they are failing or likely to fail. |

1.2 Seat

Brussels Belgium

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 370 | 302 | 81,62% | 370 | 375 |
| Assistants (AST) | 51 | 46 | 90,20% | 51 | 51 |
| Assistants/Secretaries (AST/SC) | 29 | 23 | 79,31% | 29 | 24 |
| ESTABLISHMENT PLAN POSTS | 450 | 371 | 82,44% | 450 | 450 |
| Contract Agents (CA) | | | | | |
| Seconded National Experts (SNE) | 35 | 22 | 62,86% | 35 | 35 |
| TOTAL STAFF | 485 | 393 | 81,03% | 485 | 485 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-----------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 11 331 234 868 | 13 604 500 000 |
| TOTAL REVENUES | 11 331 234 868 | 13 604 500 000 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 57 320 000 | 57 320 000 | 68 600 000 | 68 600 000 |
| Title 2 - Infrastructure and operating expenditure | 17 930 000 | 17 930 000 | 20 165 000 | 20 165 000 |
| Title 3 - Operational expenditure | 50 418 000 | 45 150 000 | 49 105 000 | 47 735 000 |
| Title 4 - Single Resolution Fund | 11 210 834 868 | 11 210 834 868 | 13 468 000 000 | 13 468 000 000 |
| TOTAL EXPENDITURE | 11 336 502 868 | 11 331 234 868 | 13 605 870 000 | 13 604 500 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | | | | | |
| AD 13 | | 6 | | | | 6 | | 2 | | 2 |
| AD 12 | | 9 | 5 | | | 9 | | 8 | | 8 |
| AD 11 | | 13 | 9 | | | 13 | | 9 | | 9 |
| AD 10 | | 17 | 7 | | | 19 | | 18 | | 18 |
| AD 9 | | 55 | 34 | | | 57 | | 54 | | 54 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 8 | | 75 | 59 | | | 75 | | 70 | | 70 |
| AD 7 | | 70 | 52 | | | 71 | | 70 | | 70 |
| AD 6 | | 80 | 83 | | | 77 | | 93 | | 93 |
| AD 5 | | 45 | 53 | | | 43 | | 51 | | 51 |
| AD TOTAL | | 370 | 302 | | | 370 | | 375 | | 375 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | 2 | | | | 3 | | 4 | | 4 |
| AST 5 | | 7 | 9 | | | 8 | | 14 | | 14 |
| AST 4 | | 28 | 20 | | | 27 | | 20 | | 20 |
| AST 3 | | 10 | 14 | | | 9 | | 11 | | 11 |
| AST 2 | | 4 | 3 | | | 4 | | 2 | | 2 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 51 | 46 | | | 51 | | 51 | | 51 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | 1 | | 1 |
| AST/SC 3 | | 12 | 2 | | | 12 | | 7 | | 7 |
| AST/SC 2 | | 9 | 11 | | | 9 | | 11 | | 11 |
| AST/SC 1 | | 8 | 10 | | | 8 | | 5 | | 5 |
| AST/SC TOTAL | | 29 | 23 | | | 29 | | 24 | | 24 |
| TOTAL | | 450 | 371 | | | 450 | | 450 | | 450 |
| GRAND TOTAL | | 450 | 371 | | | 450 | | 450 | | 450 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | | | | |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | | | | |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 35 | 22 | 35 | 35 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-----------------------|-----------------------|-----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 59 789 418 | 120 400 000 | 136 500 000 | 136 500 000 | 13,37% |
| 2 EU CONTRIBUTION | | | | | |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | 9 408 531 624 | 11 210 834 868 | 13 468 000 000 | 13 468 000 000 | 20,13% |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 9 468 321 042 | 11 331 234 868 | 13 604 500 000 | 13 604 500 000 | 20,06% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 50 460 189 | 57 320 000 | 68 600 000 | 68 600 000 | 19,68% |
| Salaries & allowances | 40 382 544 | 45 018 000 | 53 859 000 | 53 859 000 | 19,64% |
| - <i>Of which establishment plan posts</i> | 39 119 974 | 43 518 000 | 52 359 000 | 52 359 000 | 20,32% |
| - <i>Of which external personnel</i> | 1 262 570 | 1 500 000 | 1 500 000 | 1 500 000 | 0,00% |
| Expenditure relating to Staff recruitment | 433 273 | 1 181 000 | 1 370 000 | 1 370 000 | 16,00% |
| Employer's pension contributions | 5 586 008 | 6 600 000 | 8 350 000 | 8 350 000 | 26,52% |
| Mission expenses | | 10 000 | 10 000 | 10 000 | 0,00% |
| Socio-medical infrastructure | 1 614 837 | 1 775 000 | 2 000 000 | 2 000 000 | 12,68% |
| Training | 432 013 | 735 000 | 830 000 | 830 000 | 12,93% |
| External Services | 2 010 514 | 2 000 000 | 2 180 000 | 2 180 000 | 9,00% |
| Receptions, events and representation | 1 000 | 1 000 | 1 000 | 1 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 16 351 360 | 17 930 000 | 20 165 000 | 20 165 000 | 12,47% |
| Rental of buildings and associated costs | 8 032 599 | 7 215 000 | 7 385 000 | 7 385 000 | 2,36% |
| Information, communication technology and data processing | 5 656 333 | 7 475 000 | 9 380 000 | 9 380 000 | 25,48% |
| Movable property and associated costs | 1 007 430 | 1 105 000 | 1 200 000 | 1 200 000 | 8,60% |
| Current administrative expenditure | 1 175 928 | 1 165 000 | 1 200 000 | 1 200 000 | 3,00% |
| Postage / Telecommunications | 479 070 | 970 000 | 1 000 000 | 1 000 000 | 3,09% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 22 714 104 | 50 418 000 | 49 105 000 | 49 105 000 | -2,60% |
| Chapter 30 - SRB operations | | | | | |
| Plenary and executive sessions of the Board | | | | | |
| Appeal panel | | | | | |
| Communication, publication, translation | | | | | |
| Operational mission expenses | | | | | |
| Operation meetings expenses | | | | | |
| IT tools | | | | | |
| Support activities to the Fund | | | | | |
| Studies and consultancy | | | | | |
| Other operation expenditure | | | | | |
| Crisis contingency | | | | | |
| Chapter 31 - SRB operations | 17 243 354 | 20 368 000 | 19 055 000 | 19 055 000 | -6,45% |
| Governance | | 75 000 | 75 000 | 75 000 | 0,00% |
| Support activities to the Fund | 3 801 940 | 6 900 000 | 4 200 000 | 4 200 000 | -39,13% |
| Resolution readiness | 2 149 400 | 670 000 | 700 000 | 700 000 | 4,48% |
| Resolution Framework | 314 100 | 350 000 | 400 000 | 400 000 | 14,29% |
| Communications | 1 861 971 | 1 470 000 | 1 900 000 | 1 900 000 | 29,25% |
| Missions | 165 686 | 1 100 000 | 1 100 000 | 1 100 000 | 0,00% |
| Operational ICT | 8 950 257 | 9 803 000 | 10 680 000 | 10 680 000 | 8,95% |
| Chapter 32 - Contingencies | 5 470 750 | 30 050 000 | 30 050 000 | 30 050 000 | 0,00% |
| Appeal panel | 123 750 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Communications during crisis | | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Contingency for the Fund | | 3 000 000 | 3 000 000 | 3 000 000 | 0,00% |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-----------------------|-----------------------|-----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Legal and litigation | 5 347 000 | 10 000 000 | 10 000 000 | 10 000 000 | 0,00% |
| Consultancy and advice | | 15 050 000 | 15 050 000 | 15 050 000 | 0,00% |
| Title 4 - Single Resolution Fund | 175 590 830 | 11 210 834 868 | 13 468 000 000 | 13 468 000 000 | 20,13% |
| Usage of the Fund within Resolution Schemes | | | | | |
| Investments | | 10 946 857 102 | 13 230 750 111 | 13 230 750 111 | 20,86% |
| Investments returns | 175 583 862 | 263 402 766 | 236 673 889 | 236 673 889 | -10,15% |
| Interest paid on loans in accordance with SRM Article 72(1) | | | | | |
| Interest paid on loans in accordance with SRM Articles 73 and 74 | | | | | |
| Bank and other financial charges | 6 968 | 5 000 | 6 000 | 6 000 | 20,00% |
| Commitment fees on bridge financing arrangements | | 570 000 | 570 000 | 570 000 | 0,00% |
| Negative budget result from the previous year | | | | | |
| Refunds | | | | | |
| Other operating expenditures | | | | | |
| TOTAL | 265 116 483 | 11 336 502 868 | 13 605 870 000 | 13 605 870 000 | 20,02% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 49 470 208 | 57 320 000 | 68 600 000 | 68 600 000 | 19,68% |
| Salaries & allowances | 40 330 913 | 45 018 000 | 53 859 000 | 53 859 000 | 19,64% |
| - <i>Of which establishment plan posts</i> | 39 068 343 | 43 518 000 | 52 359 000 | 52 359 000 | 20,32% |
| - <i>Of which external personnel</i> | 1 262 570 | 1 500 000 | 1 500 000 | 1 500 000 | 0,00% |
| Expenditure relating to Staff recruitment | 423 371 | 1 181 000 | 1 370 000 | 1 370 000 | 16,00% |
| Employer's pension contributions | 5 586 008 | 6 600 000 | 8 350 000 | 8 350 000 | 26,52% |
| Mission expenses | | 10 000 | 10 000 | 10 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Socio-medical infrastructure | 1 306 638 | 1 775 000 | 2 000 000 | 2 000 000 | 12,68% |
| Training | 255 012 | 735 000 | 830 000 | 830 000 | 12,93% |
| External Services | 1 567 377 | 2 000 000 | 2 180 000 | 2 180 000 | 9,00% |
| Receptions, events and representation | 889 | 1 000 | 1 000 | 1 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 11 231 549 | 17 930 000 | 20 165 000 | 20 165 000 | 12,47% |
| Rental of buildings and associated costs | 5 028 668 | 7 215 000 | 7 385 000 | 7 385 000 | 2,36% |
| Information, communication technology and data processing | 4 517 976 | 7 475 000 | 9 380 000 | 9 380 000 | 25,48% |
| Movable property and associated costs | 531 438 | 1 105 000 | 1 200 000 | 1 200 000 | 8,60% |
| Current administrative expenditure | 886 265 | 1 165 000 | 1 200 000 | 1 200 000 | 3,00% |
| Postage / Telecommunications | 267 202 | 970 000 | 1 000 000 | 1 000 000 | 3,09% |
| Meeting expenses | | | | | |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 15 663 932 | 45 150 000 | 47 735 000 | 47 735 000 | 5,73% |
| Chapter 30 - SRB operations | | | | | |
| Plenary and executive sessions of the Board | | | | | |
| Appeal panel | | | | | |
| Communication, publication, translation | | | | | |
| Operational mission expenses | | | | | |
| Operation meetings expenses | | | | | |
| IT tools | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|-----------------------|-----------------------|-----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Support activities to the Fund | | | | | |
| Studies and consultancy | | | | | |
| Other operation expenditure | | | | | |
| Crisis contingency | | | | | |
| Chapter 31 - SRB operations | 12 886 029 | 15 100 000 | 17 685 000 | 17 685 000 | 17,12% |
| Governance | | 75 000 | 75 000 | 75 000 | 0,00% |
| Support activities to the Fund | 2 851 832 | 4 775 000 | 4 375 000 | 4 375 000 | -8,38% |
| Resolution readiness | 350 818 | 670 000 | 700 000 | 700 000 | 4,48% |
| Resolution Framework | 66 423 | 350 000 | 400 000 | 400 000 | 14,29% |
| Communications | 1 270 375 | 1 270 000 | 1 423 000 | 1 423 000 | 12,05% |
| Missions | 55 686 | 1 100 000 | 1 100 000 | 1 100 000 | 0,00% |
| Operational ICT | 8 290 895 | 6 860 000 | 9 612 000 | 9 612 000 | 40,12% |
| Chapter 32 - Contingencies | 2 777 903 | 30 050 000 | 30 050 000 | 30 050 000 | 0,00% |
| Appeal panel | 85 400 | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Communications during crisis | | 1 000 000 | 1 000 000 | 1 000 000 | 0,00% |
| Contingency for the Fund | | 3 000 000 | 3 000 000 | 3 000 000 | 0,00% |
| Legal and litigation | 1 821 706 | 10 000 000 | 10 000 000 | 10 000 000 | 0,00% |
| Consultancy and advice | 870 797 | 15 050 000 | 15 050 000 | 15 050 000 | 0,00% |
| Title 4 - Single Resolution Fund | 145 952 119 | 11 210 834 868 | 13 468 000 000 | 13 468 000 000 | 20,13% |
| Usage of the Fund within Resolution Schemes | | | | | |
| Investments | | 10 946 857 102 | 13 230 750 111 | 13 230 750 111 | 20,86% |
| Investments returns | 145 947 466 | 263 402 766 | 236 673 889 | 236 673 889 | -10,15% |
| Interest paid on loans in accordance with SRM Article 72(1) | | | | | |
| Interest paid on loans in accordance with SRM Articles 73 and 74 | | | | | |
| Bank and other financial charges | 4 653 | 5 000 | 6 000 | 6 000 | 20,00% |

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------------|------------------------|----------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Commitment fees on bridge financing arrangements | | 570 000 | 570 000 | 570 000 | 0,00% |
| Negative budget result from the previous year | | | | | |
| Refunds | | | | | |
| Other operating expenditures | | | | | |
| TOTAL | 222 317 808 | 11 331 234 868 | 13 604 500 000 | 13 604 500 000 | 20,06% |

3.3 Budget Outturn

The 2021 budget outturn surplus that should reduce the SRB administrative contributions to be levied in 2022 is EUR 36 822 987.

4 Justification of needs

Commission assessment

Human Resources

DG FISMA takes note of the SRB's request for 450 Temporary Agent posts and 35 Seconded National Expert posts.

Financial Resources

DG FISMA takes note of SRB's 2023 draft budget consisting of a total of EUR 13 605 870 000 of commitment and EUR 13 604 500 000 payment appropriations.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of SRB temporary staff foreseen in the establishment plan 2023 will be 450.

4.1.2 Vacancy rate as of end 2021

The vacancy rate at the end of 2021 was 17.5% (371 posts filled out of 450 posts).

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|------------------------------------------------|---------------------|--------------|--------------|------------------|-----------------------|----------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Treurenberg (1-22) | Rue Treurenberg 22 1000 Brussels Belgium | 4 064 | 5 748 | 9 812 | 2 947 333 | 15 years (until 2031) | Usufruct | n/a | |
| TOTAL | | | 4 064 | 5 748 | 9 812 | 2 947 333 | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

In reference to Commission's Decision C(2013) 4886 of 01/08/2013 and the additional agreement signed between SRB and the European Commission on 27/5/2015 in respect to the allocation of costs related to the European Schools, SRB's budget includes the European School contribution amounts related to SRB's staff pupils.

5.3 Evaluation

In accordance with the SRM Article 94, by 31 December 2018, and every three years thereafter, the Commission shall publish a report on the application of the SRM.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Protocol No 7 on the Privileges and Immunities of the European Union annexed to the TEU and to the TFEU shall apply to the Board and its staff | | |

2.7.2 Community Plant Variety Office – CPVO

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|----------------------------|------------|-----------------------------|
| Council Regulation 2100/94 | 27/07/1994 | EU Plant Variety Rights |

1.2 Seat

Angers, France

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 17 | 19 | 111,76% | 23 | 26 |
| Assistants (AST) | 28 | 25 | 89,29% | 25 | 25 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 45 | 44 | 97,78% | 48 | 51 |
| Contract Agents (CA) | 5 | 5 | 100,00% | 6 | 6 |
| Seconded National Experts (SNE) | | | | 1 | |
| TOTAL STAFF | 50 | 49 | 98,00% | 55 | 57 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 20 416 000 | 21 072 500 |
| TOTAL REVENUES | 20 416 000 | 21 072 500 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | | |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 9 316 000 | 9 316 000 | 9 661 000 | 9 661 000 |
| Title 2 - Infrastructure and operating expenditure | 1 725 000 | 1 725 000 | 1 651 500 | 1 651 500 |
| Title 3 - Operational expenditure | 10 130 000 | 9 375 000 | 9 630 000 | 9 760 000 |
| TOTAL EXPENDITURE | 21 171 000 | 20 416 000 | 20 942 500 | 21 072 500 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | | | | | |
| AD 14 | 1 | | 1 | | 1 | 1 | 2 | 1 | 2 | 1 |
| AD 13 | 1 | 1 | 1 | | 1 | 2 | | 1 | | 1 |
| AD 12 | | 1 | | 2 | | | | 1 | | 1 |
| AD 11 | 1 | | | | 1 | | 2 | 1 | 2 | 1 |
| AD 10 | 3 | 1 | 2 | 1 | 1 | 3 | | 2 | | 2 |
| AD 9 | | 2 | | 3 | | 2 | | 3 | | 3 |
| AD 8 | | 1 | | 1 | | | | 1 | | 1 |
| AD 7 | | 3 | | 5 | | 5 | | 5 | | 5 |
| AD 6 | | 1 | | 3 | | 6 | | 7 | | 7 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 5 | | | | | | | | | | |
| AD TOTAL | 6 | 11 | 4 | 15 | 4 | 19 | 4 | 22 | 4 | 22 |
| AST 11 | | | | | | 1 | | 1 | | 1 |
| AST 10 | 1 | 3 | | 2 | 1 | 2 | 1 | 2 | 1 | 2 |
| AST 9 | | 4 | 1 | 3 | | 4 | | 4 | | 4 |
| AST 8 | | 2 | | 2 | 1 | 2 | 1 | 3 | 1 | 3 |
| AST 7 | 2 | 7 | 1 | 8 | | 8 | | 9 | | 9 |
| AST 6 | | 4 | | 4 | | 2 | | | | |
| AST 5 | | 4 | | 3 | | 3 | | 4 | | 4 |
| AST 4 | | 1 | | 1 | | 1 | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 3 | 25 | 2 | 23 | 2 | 23 | 2 | 23 | 2 | 23 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 9 | 36 | 6 | 38 | 6 | 42 | 6 | 45 | 6 | 45 |
| GRAND TOTAL | 45 | | 44 | | 48 | | 51 | | 51 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 4 | 3 | 4 | 5 |
| Function Group III | 1 | 2 | 2 | 1 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 5 | 5 | 6 | 6 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | 1 | |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 19 204 210 | 19 780 000 | 20 060 000 | 20 060 000 | 1,42% |
| 2 EU CONTRIBUTION | | | | | |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 165 027 | 70 000 | 70 000 | 70 000 | 0,00% |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | -2 043 933 | 566 000 | 942 500 | 942 500 | 66,52% |
| TOTAL | 17 325 304 | 20 416 000 | 21 072 500 | 21 072 500 | 3,22% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | | | | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 758 195 | 9 316 000 | 9 661 000 | 9 661 000 | 3,70% |
| Salaries & allowances | 6 510 826 | 7 585 000 | 7 992 000 | 7 992 000 | 5,37% |
| <i>- Of which establishment plan posts</i> | <i>6 160 370</i> | <i>7 050 000</i> | <i>7 585 000</i> | <i>7 585 000</i> | <i>7,59%</i> |
| <i>- Of which external personnel</i> | <i>350 456</i> | <i>535 000</i> | <i>407 000</i> | <i>407 000</i> | <i>-23,93%</i> |
| Expenditure relating to Staff recruitment | 6 192 | 15 000 | 15 000 | 15 000 | 0,00% |
| Employer's pension contributions | 876 360 | 1 137 000 | 1 116 000 | 1 116 000 | -1,85% |
| Mission expenses | 12 964 | 100 000 | 100 000 | 100 000 | 0,00% |
| Socio-medical infrastructure | 16 137 | 22 000 | 25 000 | 25 000 | 13,64% |
| Training | 57 235 | 115 000 | 82 000 | 82 000 | -28,70% |
| External Services | 24 200 | 50 000 | 25 000 | 25 000 | -50,00% |
| Receptions, events and representation | 1 865 | 5 000 | 2 000 | 2 000 | -60,00% |
| Social welfare | 33 663 | 41 000 | 43 000 | 43 000 | 4,88% |
| Other Staff related expenditure | 218 753 | 246 000 | 261 000 | 261 000 | 6,10% |
| Title 2 - Infrastructure and operating expenditure | 1 483 277 | 1 725 000 | 1 651 500 | 1 651 500 | -4,26% |
| Rental of buildings and associated costs | 276 701 | 354 000 | 303 500 | 303 500 | -14,27% |
| Information, communication technology and data processing | 781 994 | 800 000 | 830 000 | 830 000 | 3,75% |
| Movable property and associated costs | 34 713 | 34 000 | 21 000 | 21 000 | -38,24% |
| Current administrative expenditure | 21 327 | 41 000 | 20 000 | 20 000 | -51,22% |
| Postage / Telecommunications | 57 755 | 92 000 | 62 000 | 62 000 | -32,61% |
| Meeting expenses | 45 572 | 254 000 | 200 000 | 200 000 | -21,26% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 265 215 | 150 000 | 215 000 | 215 000 | 43,33% |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------|---------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 680 565 | 10 130 000 | 9 630 000 | 9 630 000 | -4,94% |
| TOTAL | 17 922 037 | 21 171 000 | 20 942 500 | 20 942 500 | -1,08% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 7 758 195 | 9 316 000 | 9 661 000 | 9 661 000 | 3,70% |
| Salaries & allowances | 6 510 826 | 7 585 000 | 7 992 000 | 7 992 000 | 5,37% |
| - Of which establishment plan posts | 6 160 370 | 7 050 000 | 7 585 000 | 7 585 000 | 7,59% |
| - Of which external personnel | 350 456 | 535 000 | 407 000 | 407 000 | -23,93% |
| Expenditure relating to Staff recruitment | 6 192 | 15 000 | 15 000 | 15 000 | 0,00% |
| Employer's pension contributions | 876 360 | 1 137 000 | 1 116 000 | 1 116 000 | -1,85% |
| Mission expenses | 12 964 | 100 000 | 100 000 | 100 000 | 0,00% |
| Socio-medical infrastructure | 16 137 | 22 000 | 25 000 | 25 000 | 13,64% |
| Training | 57 235 | 115 000 | 82 000 | 82 000 | -28,70% |
| External Services | 24 200 | 50 000 | 25 000 | 25 000 | -50,00% |
| Receptions, events and representation | 1 865 | 5 000 | 2 000 | 2 000 | -60,00% |
| Social welfare | 33 663 | 41 000 | 43 000 | 43 000 | 4,88% |
| Other Staff related expenditure | 218 753 | 246 000 | 261 000 | 261 000 | 6,10% |
| Title 2 - Infrastructure and operating expenditure | 1 483 277 | 1 725 000 | 1 651 500 | 1 651 500 | -4,26% |
| Rental of buildings and associated costs | 276 702 | 354 000 | 303 500 | 303 500 | -14,27% |
| Information, communication technology and data processing | 781 994 | 800 000 | 830 000 | 830 000 | 3,75% |
| Movable property and associated costs | 34 713 | 34 000 | 21 000 | 21 000 | -38,24% |
| Current administrative expenditure | 21 327 | 41 000 | 20 000 | 20 000 | -51,22% |

| EXPENDITURE | Payment appropriations | | | | |
|---------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | 57 755 | 92 000 | 62 000 | 62 000 | -32,61% |
| Meeting expenses | 45 571 | 254 000 | 200 000 | 200 000 | -21,26% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 265 215 | 150 000 | 215 000 | 215 000 | 43,33% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 8 083 831 | 9 375 000 | 9 760 000 | 9 760 000 | 4,11% |
| TOTAL | 17 325 303 | 20 416 000 | 21 072 500 | 21 072 500 | 3,22% |

3.3 Budget Outturn

The 2021 surplus that should be reimbursed to the EU budget (as assigned revenue): Not applicable

4 Justification of needs

Commission assessment

Human Resources

The Commission acknowledges that the Administrative Council of the CPVO is the Budgetary Authority. For 2023 the CPVO proposes to increase the total FTEs from 55 to 57 FTE (an increase of 3 Temporary Agents and the reduction of the SNE post). The CPVO foresees the replacement of the contract agent posts by temporary agents (AD level) as of 2024 recruitment.

Financial Resources

The CPVO is a self-financed, demand-driven agency, which receives no funding from the European Union General Budget, so no EU subsidy is being paid.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2021

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|------------------------------------|----------------------------------|------------|----------------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Immeuble HBM | 3 Boulevard Foch, 49000 Angers | | | 930 | | | | France Owner CPVO | : |
| 2 | Immeuble Foch 9 | 9 boulevard Foch, 49000 Angers | | | 660 | | | | France Owner CPVO | : |
| 3 | Co-propriété MB-2 | 3bis Boulevard Foch, 49000 Angers | | | 216 | | | | France Owner CPVO | : |
| 4 | Co-propriété MB-RdC | 3 bis Boulevard Foch, 49000 Angers | | | 60,7 | | | | France Owner CPVO | : |
| TOTAL | | | | | 1 866,7 | | | | | |

5.1.2 Current building(s) Other comments

n/a

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

Due to the location of the CPVO, there is limited accessibility and a lack of European or international schools.

5.3 Evaluation

The CPVO organized regularly and at least every six years, an evaluation of its activities.

An evaluation was organized in 2016-2017. The ex post evaluation was launched in 2021.

5.4 Privileges and immunities

The Basic Regulation of the CPVO refers to the Protocol on Privileges and Immunities of the European Communities. The CPVO does not have a Seat Agreement with the French Government. The CPVO has made numerous attempts to secure a Seat Agreement with the French Government. Unfortunately, such efforts have not resulted in any meaningful interaction or engagement from the French Government. The CPVO will continue to seek such an agreement.

| Agency privileges | Privileges granted to staff | |
|-------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Regulation (EU) 2017/1001 of the European Parliament and of the Council of 14 June 2017 on the European Union trade mark, OJ L 154, 16.6.2017, p. 1–99</p> | <p>OJ L 154, 16.6.2017, p. 1–99</p> | <p>The Office was established in 1994 to manage the EU Trade Mark, created through Council Regulation (EC) No 40/94, and, from 2003, the Registered Community Design (RCD), created by Council Regulation (EC) No 6/2002 in order to improve the creation of a single market and to harmonise the protection of industrial property within the European Union.</p> <p>Its initial founding Regulation was revised for the last time by Regulation (EU) 2017/1001 (EUTMR) of the European Parliament and of the Council.</p> <p>The Office carries out examination, registration, opposition and cancellation procedures for EUTMs and examination, registration and invalidity procedures for RCDs. All decisions adversely affecting a party to proceedings can be appealed to the Boards of Appeal of the Office. In order to further support IP rights holders, the Office engages in a wide range of cooperation and convergence activities with other IP offices.</p> <p>In recent years, the Office has been entrusted with new tasks. It houses the European Observatory on Infringements of Intellectual Property Rights.</p> <p>It acts, on behalf of the Commission, as Implementing Agency for EU-funded projects in China, Latin America, ASEAN region, Caribbean, Georgia and Pan-Africa.</p> <p>EU trade marks and designs are industrial property titles which enjoy uniform protection throughout the entire area of the EU.</p> <p>On 23 March 2016, the name of the Office became EUIPO, the European Union Intellectual Property Office (Article 2 of Regulation (EU) 2017/1001).</p> <p>The tasks of EUIPO are defined in Article 151 of Regulation (EU) 2017/1001 as follows:</p> <ul style="list-style-type: none"> - Trade marks: administration and promotion of the EU trade mark system established in Regulation (EU) 2017/1001 - Community Designs: administration and promotion of the European Union design system established in Council Regulation (EC) No 6/2002 |

| | | |
|--|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | <ul style="list-style-type: none"> - <u>Cooperation and convergence activities</u>: promoting convergence of practices and tools in the fields of trade marks and designs in cooperation with the central industrial property offices in the Member States, including the Benelux Office for Intellectual Property. - <u>Enforcement of intellectual property rights according to Regulation (EU) No 386/2012</u>: through the European Observatory on Infringements of Intellectual Property Rights, the Office also covers matters relating to the enforcement of intellectual property rights, fighting against piracy and counterfeiting and aims to help businesses, especially small businesses, access and use intellectual property rights more effectively. - <u>Trade secrets</u>: By 9 June 2021, the EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, shall prepare an initial report on the litigation trends regarding the unlawful acquisition, use or disclosure of trade secrets pursuant to the application of the Directive (EU) 2016/943. In addition, in order to review whether those measures fulfil their intended objective, the EUIPO will assist the Commission in examining the application of the Directive (EU) 2016/943 and the effectiveness of the national measures taken. - <u>Orphan works</u>: creation of a single online database for the Union containing such information and for making it available to the public at large in a transparent manner. - <u>Out-of-commerce works</u>: the establishment and management of a public single online portal for the out-of-commerce works. <p>The Office also cooperates with institutions, authorities, bodies, industrial property offices, international and non-governmental organisations in relation to the tasks conferred on it in Article 151 paragraph 1 of Regulation (EU) 2017/1001.</p> <p>The Office may provide voluntary mediation services for the purpose of assisting parties in reaching a friendly settlement.</p> |
|--|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

| Decision | Date | Mission / Tasks / Functions |
|----------------------------------------------------------------------------|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EC) No 6/2002 of 12 December 2001 on Community designs | OJ L 003 05.01.2002 p. 1 –24 | <p>A unified system for obtaining a Community design to which uniform protection is given with uniform effect throughout the entire territory of the EU would further the objectives of the EU as laid down in the Treaty on the Functioning of the European Union.</p> <p>This Regulation extended the scope of the Office to Community Designs. The first Community Design applications were filed in 2003.</p> |

| Decision | Date | Mission / Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) No 386/2012 of the European Parliament and of the Council of 19 April 2012 on entrusting the Office for Harmonization in the Internal Market (Trade Marks and Designs) with tasks related to the enforcement of intellectual property rights, including the assembling of public and private-sector representatives as a European Observatory on Infringements of Intellectual Property Rights | OJ L 129, 16.5.2012, p. 1–6 | <p>Under Regulation (EU) No 386/2012, the Office is entrusted with certain tasks and activities, financed by making use of its own budgetary means, aimed at facilitating and supporting the activities of national authorities, the private sector and the Union institutions in the fight against, including the prevention of intellectual property rights infringement.</p> <p>The European Observatory on Infringements of Intellectual Property Rights was entrusted fully to the EUIPO on 5 June 2012 and covers matters relating to the enforcement of intellectual property rights, fighting against piracy and counterfeiting and aims to help businesses, especially small businesses, access and use intellectual property rights more effectively.</p> |

| Regulation | Date | Mission / Tasks / Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) No 608/2013 of the European Parliament and of the Council of 12 June 2013 concerning customs enforcement of intellectual property rights and repealing Council Regulation (EC) No 1383/2003 | OJ L 181, 29.6.2013, p. 15–34 | <p>The EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, has the task to collect, analyse and disseminate relevant objective, comparable and reliable data regarding customs enforcement of intellectual property rights.</p> <p>This Regulation was complemented by Implementing Regulation (EU) No 1352/2013 establishing the forms provided for in Regulation (EU) No 608/2013 of the European Parliament and of the Council concerning customs enforcement of intellectual property rights, as amended by Commission Implementing Regulation (EU) 2020/1209 of 13 August 2020.</p> |

| Decision | Date | Mission / Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Directive 2012/28/EU of the European Parliament and of the Council of 25 October 2012 on certain permitted uses of orphan works | OJ L 299, 27.10.2012, p. 5–12 | <p>The Office manages the Orphan Works Database designed to make provision for the creation of a single online database for the Union containing such information and for making it available to the public at large in a transparent manner and thus allowing Europe's cultural heritage to be more accessible.</p> <p>Since the Observatory's tasks include providing mechanisms which help to improve the online exchange of relevant information between the Member States' authorities concerned and fostering cooperation between those authorities, it is therefore appropriate to rely on the Office to establish and manage the European database containing information related to orphan works referred to in this Directive.</p> |

| Decision | Date | Mission / Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Directive (EU) 2016/943 of the European Parliament and of the Council of 8 June 2016 on the protection of undisclosed know-how and business information (trade secrets) against their unlawful acquisition, use and disclosure | OJ L 157, 15.6.2016 p. 1-18 | By 9 June 2021, the EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, shall prepare an initial report on the litigation trends regarding the unlawful acquisition, use or disclosure of trade secrets pursuant to the application of the Directive (EU) 2016/943. In addition, in order to review whether those measures fulfil their intended objective, the EUIPO will assist the Commission in examining the application of this Directive and the effectiveness of the national measures taken. |

| Decision | Date | Mission / Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Directive (EU) 2019/790 of the European Parliament and of the Council of 17 April 2019 on copyright and related rights in the Digital Single Market and amending Directives 96/9/EC and 2001/29/EC | OJ L 130, 17.05.2019, p. 92–125 | The EUIPO is responsible for establishing and managing a public single online portal for the out-of-commerce works. The portal shall be made available to the public by the deadline of transposition of the Directive into the national legislation, which is 7 June 2021. Once established, the portal shall be used for mass digitisation projects implemented by the cultural heritage institutions and will become the central European transparency (publicity) measure for the out-of-commerce works. |

| Regulation | Date | Mission / Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation (EU) 2019/517 of the European Parliament and of the Council of 19 March 2019 on the implementation and functioning of the .eu top-level domain name and amending and repealing Regulation (EC) No 733/2002 and repealing Commission Regulation (EC) No 874/2004 | OJ L 91, 29.3.2019, p. 25–35 | The EUIPO shall cooperate with the Registry for .eu domain names with a view to combating the speculative and abusive registrations of domain names, including cybersquatting, and providing simple administrative procedures, in particular for small and medium-sized enterprises (SMEs). Furthermore, in order to implement this Regulation, Commission Implementing Regulation (EU) 2020/857 of 17 June 2020 laying down the principles to be included in the contract between the European Commission and the .eu top-level domain Registry in accordance with Regulation (EU) 2019/517 of the European Parliament and of the Council was adopted. |

| Regulation | Date | Mission/Tasks/Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| REGULATION (EU) 2021/690 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing a programme for the internal market, competitiveness of enterprises, including small and medium-sized enterprises, the area of plants, animals, food and feed, and European statistics (Single Market Programme) and repealing Regulations (EU) No 99/2013, (EU) No 1287/2013, (EU) No 254/2014 | OJ L 153, 3.5.2021, p. 1–47 | As part of the Single Market Programme, according to paragraph 4.3 of Annex II to the COMMISSION IMPLEMENTING DECISION of 6.5.2021 on the financing of the Programme for Single Market, competitiveness of enterprises, including small and medium sized enterprises, and European Statistics and the adoption of the work programme for 2021-2024 (C(2021) 3046 final), amended by the COMMISSION IMPLEMENTING DECISION amending the Commission Implementing Decision C(2021) 3046 as regards the implementation of actions under the Single Market Programme (C(2021) 6940), the European |

| | | |
|----------------------|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| and (EU) No 652/2014 | | <p>Commission proposed a new action to introduce intellectual property vouchers for post-COVID-19 recovery and green and digital transitions to be implemented by the EUIPO.</p> <p>The action aims at improving the capacity of SMEs to leverage intellectual property as a part of their business growth plans. The action will do it by providing financial relief to SMEs on IP related costs.</p> |
|----------------------|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Alicante, Spain

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 427 | 396 | 92,74% | 674 | 674 |
| Assistants (AST) | 498 | 479 | 96,18% | 498 | 498 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 925 | 875 | 94,59% | 1172 | 1172 |
| Contract Agents (CA) | 210 | 210 | 100,00% | 237 | 239 |
| Seconded National Experts (SNE) | 69 | 68 | 98,55% | 72 | 74 |
| TOTAL STAFF | 1204 | 1153 | 95,76% | 1481 | 1485 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|-----------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | | |
| Other Revenue | 344 378 378 | 364 462 957 |
| TOTAL REVENUES | 344 378 378 | 364 462 957 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | p.m. | p.m. |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |
| TOTAL REVENUES | p.m. | p.m. |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 140 822 537 | 140 822 537 | 153 745 958 | 153 745 958 |
| Title 2 - Infrastructure and operating expenditure | 84 937 891 | 84 937 891 | 87 065 436 | 87 065 436 |
| Title 3 - Operational expenditure | 63 855 177 | 63 855 177 | 65 732 257 | 65 732 257 |
| Title 4 - Contribution to the EU policies | 30 789 678 | 30 789 678 | 22 235 158 | 22 235 158 |
| Title 5 - Offsetting to Member States | 13 877 920 | 13 877 920 | 16 017 491 | 16 017 491 |
| Title 10 - Other expenditure | 28 959 428 | 28 959 428 | 16 928 046 | 16 928 046 |
| TOTAL EXPENDITURE | 363 242 631 | 363 242 631 | 361 724 346 | 361 724 346 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | 1 | | | | 1 | | 1 | | 1 |
| AD 15 | 1 | 2 | | 1 | 1 | 2 | 1 | 2 | 1 | 2 |
| AD 14 | 20 | 8 | 16 | 3 | 24 | 12 | 24 | 12 | 24 | 12 |
| AD 13 | 25 | 10 | 24 | 5 | 24 | 10 | 24 | 10 | 24 | 10 |
| AD 12 | 21 | 22 | 16 | 19 | 22 | 22 | 22 | 22 | 22 | 22 |
| AD 11 | 11 | 8 | 6 | 6 | 10 | 10 | 10 | 10 | 10 | 10 |
| AD 10 | 17 | 12 | 10 | 12 | 16 | 14 | 16 | 14 | 16 | 14 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | 19 | 15 | 24 | 12 | 25 | 16 | 25 | 16 | 25 | 16 |
| AD 8 | 26 | 13 | 20 | 13 | 28 | 11 | 28 | 11 | 28 | 11 |
| AD 7 | 40 | 30 | 56 | 19 | 43 | 23 | 43 | 23 | 43 | 23 |
| AD 6 | 48 | 78 | 28 | 104 | 35 | 325 | 35 | 325 | 35 | 325 |
| AD 5 | | | 2 | | | | | | | |
| AD TOTAL | 228 | 199 | 202 | 194 | 228 | 446 | 228 | 446 | 228 | 446 |
| AST 11 | 9 | | 3 | | 9 | | 9 | | 9 | |
| AST 10 | 19 | 2 | 22 | 1 | 21 | 2 | 21 | 2 | 21 | 2 |
| AST 9 | 67 | 8 | 59 | 5 | 63 | 8 | 63 | 8 | 63 | 8 |
| AST 8 | 51 | 9 | 40 | 3 | 50 | 10 | 50 | 10 | 50 | 10 |
| AST 7 | 68 | 18 | 76 | 15 | 65 | 18 | 65 | 18 | 65 | 18 |
| AST 6 | 43 | 18 | 39 | 13 | 46 | 20 | 46 | 20 | 46 | 20 |
| AST 5 | 37 | 25 | 26 | 22 | 35 | 22 | 35 | 22 | 35 | 22 |
| AST 4 | 43 | 10 | 58 | 12 | 48 | 10 | 48 | 10 | 48 | 10 |
| AST 3 | 40 | 31 | 39 | 45 | 33 | 38 | 33 | 38 | 33 | 38 |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 377 | 121 | 362 | 117 | 370 | 128 | 370 | 128 | 370 | 128 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | 605 | 320 | 564 | 311 | 598 | 574 | 598 | 574 | 598 | 574 |
| GRAND TOTAL | 925 | | 875 | | 1172 | | 1172 | | 1172 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 105 | 158 | 162 | 164 |
| Function Group III | 100 | 47 | 70 | 70 |
| Function Group II | | | | |
| Function Group I | 5 | 5 | 5 | 5 |

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|-----------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 210 | 210 | 237 | 239 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 69 | 68 | 72 | 74 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | 303 156 188 | 344 378 378 | 364 462 957 | 364 462 957 | 5,83% |
| 2 EU CONTRIBUTION | | | | | |
| - <i>Of which assigned revenues deriving from previous years' surpluses</i> | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | | | | |
| - <i>Of which EEA/EFTA (excl. Switzerland)</i> | | | | | |
| - <i>Of which candidate countries</i> | | | | | |
| 4 OTHER CONTRIBUTIONS | | | | | |
| 5 ADMINISTRATIVE OPERATIONS | | | | | |
| - <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i> | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 303 156 188 | 344 378 378 | 364 462 957 | 364 462 957 | 5,83% |

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|-------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 6 981 521 | p.m. | p.m. | p.m. | |

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | 6 981 521 | p.m. | p.m. | p.m. | |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 128 548 160 | 140 822 537 | 153 745 958 | 153 745 958 | 9,18% |
| Salaries & allowances | 107 080 025 | 114 982 707 | 125 998 107 | 125 998 107 | 9,58% |
| - <i>Of which establishment plan posts</i> | 99 988 838 | 106 709 682 | 117 941 160 | 117 941 160 | 10,53% |
| - <i>Of which external personnel</i> | 7 091 187 | 8 273 025 | 8 056 947 | 8 056 947 | -2,61% |
| Expenditure relating to Staff recruitment | 969 976 | 2 313 606 | 2 391 916 | 2 391 916 | 3,38% |
| Employer's pension contributions | 16 500 596 | 18 339 775 | 20 139 732 | 20 139 732 | 9,81% |
| Mission expenses | 368 679 | 935 770 | 950 274 | 950 274 | 1,55% |
| Socio-medical infrastructure | 533 289 | 510 916 | 495 942 | 495 942 | -2,93% |
| Training | 1 182 878 | 1 519 521 | 1 466 829 | 1 466 829 | -3,47% |
| External Services | | | | | |
| Receptions, events and representation | 1 836 | 18 200 | 18 400 | 18 400 | 1,10% |
| Social welfare | 516 246 | 596 105 | 650 182 | 650 182 | 9,07% |
| Other Staff related expenditure | 1 394 635 | 1 605 937 | 1 634 576 | 1 634 576 | 1,78% |
| Title 2 - Infrastructure and operating expenditure | 71 368 094 | 84 937 891 | 87 065 436 | 87 065 436 | 2,50% |
| Rental of buildings and associated costs | 12 136 058 | 14 878 189 | 14 558 400 | 14 558 400 | -2,15% |
| Information, communication technology and data processing | 38 208 289 | 42 688 756 | 44 672 652 | 44 672 652 | 4,65% |
| Movable property and associated costs | 4 266 181 | 5 338 078 | 5 100 450 | 5 100 450 | -4,45% |
| Current administrative expenditure | 8 221 092 | 10 890 230 | 13 008 236 | 13 008 236 | 19,45% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Postage / Telecommunications | 1 297 671 | 1 339 900 | 1 341 497 | 1 341 497 | 0,12% |
| Meeting expenses | 3 165 212 | 4 095 298 | 2 963 167 | 2 963 167 | -27,64% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 4 073 591 | 5 707 440 | 5 421 034 | 5 421 034 | -5,02% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 51 298 570 | 63 855 177 | 65 732 257 | 65 732 257 | 2,94% |
| Observatory | 5 139 186 | 7 608 719 | 8 496 785 | 8 496 785 | 11,67% |
| EU Cooperation | 29 375 324 | 37 053 426 | 41 024 820 | 41 024 820 | 10,72% |
| Communication, promotion and integration | 1 695 301 | 2 682 950 | 1 881 100 | 1 881 100 | -29,89% |
| Expenditure regarding the community trademark and design registration procedure | 15 088 759 | 16 510 082 | 14 329 552 | 14 329 552 | -13,21% |
| Title 4 - Contribution to the EU policies | 17 373 492 | 30 789 678 | 22 235 158 | 22 235 158 | -27,78% |
| Title 5 - Offsetting to Member States | 12 731 606 | 13 877 920 | 16 017 491 | 16 017 491 | 15,42% |
| Title 10 - Other expenditure | 16 535 934 | 28 959 428 | 16 928 046 | 16 928 046 | -41,55% |
| TOTAL | 297 855 856 | 363 242 631 | 361 724 346 | 361 724 346 | -0,42% |

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 128 548 160 | 140 822 537 | 153 745 958 | 153 745 958 | 9,18% |
| Salaries & allowances | 107 080 025 | 114 982 707 | 125 998 107 | 125 998 107 | 9,58% |
| - <i>Of which establishment plan posts</i> | 99 988 838 | 106 709 682 | 117 941 160 | 117 941 160 | 10,53% |
| - <i>Of which external personnel</i> | 7 091 187 | 8 273 025 | 8 056 947 | 8 056 947 | -2,61% |
| Expenditure relating to Staff recruitment | 969 976 | 2 313 606 | 2 391 916 | 2 391 916 | 3,38% |
| Employer's pension contributions | 16 500 596 | 18 339 775 | 20 139 732 | 20 139 732 | 9,81% |

| EXPENDITURE | Payment appropriations | | | | |
|---------------------------------------------------------------------------------|------------------------|-------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Mission expenses | 368 679 | 935 770 | 950 274 | 950 274 | 1,55% |
| Socio-medical infrastructure | 533 289 | 510 916 | 495 942 | 495 942 | -2,93% |
| Training | 1 182 878 | 1 519 521 | 1 466 829 | 1 466 829 | -3,47% |
| External Services | | | | | |
| Receptions, events and representation | 1 836 | 18 200 | 18 400 | 18 400 | 1,10% |
| Social welfare | 516 246 | 596 105 | 650 182 | 650 182 | 9,07% |
| Other Staff related expenditure | 1 394 635 | 1 605 937 | 1 634 576 | 1 634 576 | 1,78% |
| Title 2 - Infrastructure and operating expenditure | 71 368 094 | 84 937 891 | 87 065 436 | 87 065 436 | 2,50% |
| Rental of buildings and associated costs | 12 136 058 | 14 878 189 | 14 558 400 | 14 558 400 | -2,15% |
| Information, communication technology and data processing | 38 208 289 | 42 688 756 | 44 672 652 | 44 672 652 | 4,65% |
| Movable property and associated costs | 4 266 181 | 5 338 078 | 5 100 450 | 5 100 450 | -4,45% |
| Current administrative expenditure | 8 221 092 | 10 890 230 | 13 008 236 | 13 008 236 | 19,45% |
| Postage / Telecommunications | 1 297 671 | 1 339 900 | 1 341 497 | 1 341 497 | 0,12% |
| Meeting expenses | 3 165 212 | 4 095 298 | 2 963 167 | 2 963 167 | -27,64% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | 4 073 591 | 5 707 440 | 5 421 034 | 5 421 034 | -5,02% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 51 298 570 | 63 855 177 | 65 732 257 | 65 732 257 | 2,94% |
| Observatory | 5 139 186 | 7 608 719 | 8 496 785 | 8 496 785 | 11,67% |
| EU Cooperation | 29 375 324 | 37 053 426 | 41 024 820 | 41 024 820 | 10,72% |
| Communication, promotion and integration | 1 695 301 | 2 682 950 | 1 881 100 | 1 881 100 | -29,89% |
| Expenditure regarding the community trademark and design registration procedure | 15 088 759 | 16 510 082 | 14 329 552 | 14 329 552 | -13,21% |
| Title 4 - Contribution to the EU policies | 17 373 492 | 30 789 678 | 22 235 158 | 22 235 158 | -27,78% |
| Title 5 - Offsetting to Member States | 12 731 606 | 13 877 920 | 16 017 491 | 16 017 491 | 15,42% |

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 10 - Other expenditure | 16 535 934 | 28 959 428 | 16 928 046 | 16 928 046 | -41,55% |
| TOTAL | 297 855 856 | 363 242 631 | 361 724 346 | 361 724 346 | -0,42% |

3.3 Budget Outturn

Not applicable.

4 Justification of needs

Commission assessment

Human Resources

Financial Resources

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2021

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

5.3 Evaluation

Not finalised yet.

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|-------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

PART II – EXECUTIVE AGENCIES

1 MAIN BUDGETARY TRENDS: EU CONTRIBUTION AND STAFFING LEVEL FOR 2023

In line with the Commission's 'delegation package'³⁸, the Commission will continue to make intensive use of executive agencies in the management of spending programmes in 2023.

In general, the EU budget contribution to cover the running costs of the six executive agencies in the 2023 draft budget (EUR 367,5 million) is consistent with the amounts foreseen in the final version of the delegation package, except for the expected impact of inflation on the salary updates in 2022 and 2023 (a 2 % annual adjustment was assumed in the delegation package).

The table below shows the requested appropriations for 2023 by agency, as compared to the amounts included in the 2022 budget. The increased contribution requested for 2023 is mainly due to the requested staff increase for 2023 and the salary adjustment (partially offset by a reduction in the programme support expenditure for the European Health and Digital Executive Agency).

| EU budget contribution to executive agencies (incl. NGEU) | Draft budget (DB), excl. NGEU appropriations or other contributions outside EU budget | | Budget excl. NGEU appropriations or other contributions outside EU budget | | Difference | | Difference | |
|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|--------------|---------------------------------------------------------------------------------------|--------------|-------------|-------------|-------------|-------------|
| | 2023 | | 2022 | | 2023 - 2022 | | 2023 / 2022 | |
| | (1) | | (2) | | (1 - 2) | | (1 / 2) | |
| | CA | PA | CA | PA | CA | PA | CA | PA |
| European Climate, Infrastructure and Environment Executive Agency (CINEA) | 53,0 | 53,0 | 48,7 | 48,7 | 4,3 | 4,3 | 8,8% | 8,8% |
| European Health and Digital Executive Agency (HaDEA) | 45,7 | 45,7 | 48,5 | 48,5 | -2,7 | -2,7 | -5,6% | -5,6% |
| European Research Executive Agency (REA) | 103,2 | 103,2 | 97,0 | 97,0 | 6,2 | 6,2 | 6,4% | 6,4% |
| European Research Council Executive Agency (ERCEA) | 58,4 | 58,4 | 54,8 | 54,8 | 3,6 | 3,6 | 6,6% | 6,6% |
| European Innovation Council and SMEs Executive Agency (EISMEA) | 43,8 | 43,8 | 43,5 | 43,5 | 0,3 | 0,3 | 0,8% | 0,8% |
| European Education and Culture Executive Agency (EACEA) | 63,2 | 63,2 | 57,8 | 57,8 | 5,5 | 5,5 | 9,5% | 9,5% |
| Total | 367,5 | 367,5 | 350,2 | 350,2 | 17,2 | 17,2 | 4,9% | 4,9% |

As in the 2022 budget, the main change reflected for the 2023 Draft Budget compared to the delegation package relates to a revision of the Innovation Fund staffing levels: due to carbon price changes, the volume of the Innovation Fund supported by the EU Emissions Trading System will increase substantially, as compared to assumption for the amount to be delegated in the cost-benefit analysis of the Innovation Fund. Therefore, the CINEA establishment plan will reflect eight additional posts to manage the implementation of the additional appropriations. This change does not impact the EU contribution to the agency in the 2023 Draft Budget, as compared to the delegation package. Moreover, the operational contribution of third countries to the EU programmes are generating additional workload in the executive agencies. In consequence, the staff levels are adjusted accordingly, including the number of the establishment plan posts, while all direct and indirect administrative costs incurred for this staff, including employer's contributions to pensions, are fully covered by the amounts resulting from the third country contributions.

As a consequence, the proposed number of staff in the executive agencies increases to 3 227 FTE in 2023 (+ 76 FTE compared to 2022, of which 36 temporary agents and 40 contract agents), including establishment plan posts financed outside the EU budget (+26 temporary agents posts compared to 2022). Overall, the total staff increase is in line with the staff numbers foreseen in the specific financial statements accompanying the Commission's delegation decisions as well

³⁸ Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L 50, 15.2.2021, p. 9).

as the Communication to the Commission on the delegation of tasks to executive agencies. More details on the staffing levels by executive agency are shown in the table below:

| Staffing levels in executive agencies | Staffing levels for 2022 | | Staffing levels requested in DB 2023 (as per 'delegation package') | |
|---------------------------------------------------------------------------|------------------------------|-----------------------|--------------------------------------------------------------------|-----------------------|
| | Establishment plan posts (*) | Contract agents (FTE) | Establishment plan posts (*) | Contract agents (FTE) |
| European Climate, Infrastructure and Environment Executive Agency (CINEA) | 142 | 346 | 146 | 354 |
| European Health and Digital Executive Agency (HaDEA) | 105 | 286 | 113 | 308 |
| European Research Executive Agency (REA) | 215 | 645 | 225 | 649 |
| European Research Council Executive Agency (ERCEA) | 131 | 385 | 137 | 382 |
| European Innovation Council and SMEs Executive Agency (EISMEA) | 121 | 270 | 120 | 263 |
| European Education and Culture Executive Agency (EACEA) | 126 | 379 | 135 | 395 |
| Total | 840 | 2 311 | 876 | 2351 |
| Grand Total | 3 151 | | 3227 | |

The necessary additional staff increase in the executive agencies following the delegation of tasks is compensated by a reduction of human resources in the Commission. The increase in the staffing levels and related administrative expenditure in the executive agencies in 2023 is compensated by a reduction of 41 FTE in the Commission ('freed' posts in the establishment plans). The total number of 'freed' and 'frozen' posts is shown in the table below:

| 'Freed' and 'frozen' posts: ensuring budgetary neutrality | Total number of freed and frozen posts in 2022 | | Total number of freed and frozen posts in 2023 | |
|-----------------------------------------------------------|------------------------------------------------|-----------------------|------------------------------------------------|-----------------------|
| | Establishment plan posts | Contract agents (FTE) | Establishment plan posts | Contract agents (FTE) |
| <i>Total 'freed'</i> | 26 | | 41 | |
| <i>Total 'frozen'</i> | 1 | | | |
| Total | 27 | | 41 | |
| Grand Total | 27 | | 41 | |

2 INDIVIDUAL BUDGETARY STATEMENTS

The individual budgetary financial statements for executive agencies include information on the set-up of the executive agency, the operational programmes managed by it, the EU contribution to and the operating budget of the executive agency (revenue and expenditure), the establishment plan, contract agents and Seconded National Experts, freed and frozen posts, buildings, and evaluations.

2.1 European Climate, Infrastructure and Environment Executive Agency (“CINEA”)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|------------------------------------------------------|------------|----------------------------------------------------------------------------------------|
| CINEA Commission Implementing decision (EU) 2021/173 | 12/02/2021 | Establishment of the European Climate, Infrastructure and Environment Executive Agency |

1.2 Seat

| Decision | Date | Mission/ Tasks / Functions |
|--------------------------------------------------------|-----------------------|-------------------------------------------------------------------------------------------|
| TEN-T EA Commission decision 2007/60/EC of 26/10/2006 | OJ L32 of 06/02/2007 | Management of EU operational programmes in the field of trans-European transport networks |
| TEN-T EA Commission decision 2008/593/EC of 11/07/2008 | OJ L190 of 18/07/2008 | Extension of mandate to the management of TEN-T 2007 - 2013 programmes |
| INEA Commission decision 2013/801/EU of 23/12/2013 | OJ L352 of 24/12/2013 | Establishment of the Innovation and Networks Executive Agency |

1.3 Budget Line

01 01 01 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from Horizon Europe

02 01 21 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Connecting Europe Facility — Transport

02 01 22 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Connecting Europe Facility — Energy

02 01 40 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the renewable energy financing mechanism

05 01 02 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Cohesion Fund

08 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the European Maritime, Fisheries and Aquaculture Fund

09 01 01 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Programme for Environment and Climate Action (LIFE)

09 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Public sector loan facility under the Just Transition Mechanism

13 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from Connecting Europe Facility (Transport) for military mobility

16 01 02 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the Innovation Fund

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 33 | 29 | 87,88% | 33 | 33 |
| Temporary Agents (recruited by the executive agency) | 96 | 85 | 88,54% | 109 | 113 |
| Total Establishment plan posts | 129 | 114 | 88,37% | 142 | 146 |
| Contract Agents | 344 | 319 | 92,73% | 346 | 354 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 473 | 433 | 91,54% | 488 | 500 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | 2023 |
|----------------------------------------------------------------|----------------------------------|-----------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 48 739 000 | 53 029 092 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 339 000 | 465 411 ³⁹ |
| 3. Participation of candidate countries and/or third countries | 200 000 | 522 817 |
| 4. Other revenues (NGEU, external assigned revenues) | 7 617 000 | 10 997 310 |
| TOTAL | 56 895 000 | 65 014 630 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 47 500 000 | 47 500 000 | 54 977 280 | 54 977 280 |
| Title 2 - Infrastructure and operating expenditure | 5 885 000 | 5 885 000 | 6 941 170 | 6 941 170 |
| Title 3 - Programme support expenditure | 3 510 000 | 3 510 000 | 3 096 180 | 3 096 180 |
| TOTAL EXPENDITURE | 56 895 000 | 56 895 000 | 65 014 630 | 65 014 630 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2023, the executive agency is in the 3rd year of its operational lifetime and manages the following programmes:

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|---------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------|
| Horizon Europe - Pillar II, cluster 5: Climate, Energy and Mobility | | X | |

³⁹ Based on EFTA rate as applicable in 2022 (given uncertainty on EFTA rates applicable in 2023 at the time of the preparation of the draft budget)

| | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---|---|
| Connecting Europe Facility — Transport (including Military Mobility and the Cohesion Fund contribution) | | X | |
| Connecting Europe Facility — Energy | | X | |
| European Maritime, Fisheries and Aquaculture Fund and compulsory contributions to Regional Fisheries Management Organisations (RFMOs) and other international organisations | | X | |
| LIFE - Nature and biodiversity; Circular economy and quality of life; Climate change mitigation and adaptation; Clean energy transition | | X | |
| Public sector loan facility under the Just Transition Mechanism | | X | |
| Renewable Energy Financing Mechanism | | X | |
| Next Generation EU (NGEU) | | X | |
| Innovation Fund | | X | |
| Connecting Europe Facility - Transport (Legacy) | | | X |
| Connecting Europe Facility - Energy (legacy) | | | X |
| Horizon 2020 - Part III: Societal challenges 3: Secure, clean and efficient energy | | | X |
| Horizon 2020 -Part III: Societal challenges 4: Smart, green and integrated transport | | | X |
| Horizon 2020 - Part III: Societal challenges 5: Climate action, Environment, resource efficiency and raw materials | | | X |
| LIFE: Climate Action and Environment | | | X |
| European Maritime and Fisheries Fund | | | X |

2.2 Operational appropriations managed

2.3

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|----------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Connecting Europe Facility (CEF) | | | | | | |
| 02 03 01 - Connecting Europe Facility (CEF) — Transport | | | | | | |
| Operational Budget | 1 774 329 833 | 919 040 | 1 748 962 023 | 858 700 000 | 1 783 276 397 | 974 000 000 |
| <i>Of which managed by the executive agency</i> | 1 751 856 549 | | 1 700 918 546 | 850 000 000 | 1 751 272 022 | 945 000 000 |
| 02 03 02 - Connecting Europe Facility (CEF) — Energy | | | | | | |
| Operational Budget | 783 149 971 | 29 700 | 795 674 488 | 245 580 000 | 810 736 219 | 246 000 000 |
| <i>Of which managed by the executive agency</i> | 781 894 427 | 29 700 | 795 000 000 | 245 000 000 | 809 547 500 | 245 000 000 |
| Cohesion Fund (CF) | | | | | | |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| 05 03 03 - Connecting Europe Facility (CEF) — Transport — Cohesion Fund (CF) allocation | | | | | | |
| Operational Budget | 1 435 886 003 | 4 400 000 | 1 487 773 834 | 841 200 000 | 1 541 210 307 | 906 000 000 |
| <i>Of which managed by the executive agency</i> | 1 427 708 519 | | 1 480 060 279 | 835 000 000 | 1 532 715 182 | 900 000 000 |
| Completion of Connecting Europe Facility (CEF) | | | | | | |
| 02 03 99 01 - Completion of previous Connecting Europe Facility (CEF) — Transport activities (prior to 2021) | | | | | | |
| Operational Budget | 0 | 1 365 766 725 | p.m. | 1 018 500 000 | p.m. | 939 000 000 |
| <i>Of which managed by the executive agency</i> | | 1 354 600 000 | | 1 000 000 000 | | 930 000 000 |
| 02 03 99 02 - Completion of previous Connecting Europe Facility (CEF) — Energy activities (prior to 2021) | | | | | | |
| Operational Budget | 0 | 496 389 142 | p.m. | 300 000 000 | p.m. | 448 000 000 |
| <i>Of which managed by the executive agency</i> | | 496 074 447 | | 300 000 000 | | 448 000 000 |
| Completion of Contribution from CF to CEF | | | | | | |
| 05 03 99 03 - Completion of the Connecting Europe Facility (CEF) — Cohesion Fund (CF) allocation (2014-2020) | | | | | | |
| Operational Budget | 0 | 1 011 300 000 | p.m. | 1 003 700 000 | p.m. | 901 500 000 |
| <i>Of which managed by the executive agency</i> | | 1 009 732 254 | | 1 000 000 000 | | 900 000 000 |
| Completion of European Maritime and Fisheries Fund (EMFF) | | | | | | |
| 08 04 99 02 - Completion of the European Fisheries Fund (EFF) and of the European Maritime and Fisheries Fund (EMFF) — Operational expenditure under direct management (prior to 2021) | | | | | | |
| Operational Budget | 0 | 60 339 861 | p.m. | 45 055 400 | p.m. | 28 573 868 |
| <i>Of which managed by the executive agency</i> | | 34 528 669 | | 25 000 000 | | 23 100 000 |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 7 680 124 174 | p.m. | 4 605 557 369 | p.m. | 3 147 625 008 |
| <i>Of which managed by the executive agency</i> | | 851 605 709 | | 361 131 098 | | 215 670 000 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Programme for the Environment and Climate Action (LIFE) | | | | | | |
| 09 02 99 01 - Completion of previous programmes in the field of environment and climate action (LIFE) (prior to 2021) | | | | | | |
| Operational Budget | 0 | 414 510 369 | p.m. | 296 500 000 | p.m. | 221 000 000 |
| <i>Of which managed by the executive agency</i> | | 334 277 813 | | 222 000 000 | p.m. | 195 000 000 |
| European Maritime, Fisheries and Aquaculture Fund (EMFAF) | | | | | | |
| 08 04 02 - EMFAF — Operational expenditure under direct and indirect management | | | | | | |
| Operational Budget | 95 144 695 | 5 018 422 | 91 785 953 | 55 687 237 | 94 207 693 | 51 500 000 |
| <i>Of which managed by the executive agency</i> | 37 947 793 | 153 315 | 34 300 000 | 9 553 400 | 36 330 000 | 15 500 000 |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 02 10 - Cluster ‘Health’ | | | | | | |
| Operational Budget | 625 814 164 | 66 771 692 | 606 730 809 | 248 972 336 | 532 865 153 | 160 643 110 |
| <i>Of which managed by the executive agency</i> | 4 480 950 | | 7 222 460 | 2 181 000 | 3 598 232 | 4 560 000 |
| 01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’ | | | | | | |
| Operational Budget | 168 097 344 | 217 627 | 258 071 012 | 113 149 231 | 262 177 510 | 217 653 889 |
| <i>Of which managed by the executive agency</i> | 5 808 348 | | 8 395 800 | 1 862 000 | 8 459 061 | 7 151 018 |
| 01 02 02 30 - Cluster ‘Civil Security for Society’ | | | | | | |
| Operational Budget | 238 315 257 | 184 864 | 202 756 055 | 178 056 054 | 162 543 713 | 164 186 519 |
| <i>Of which managed by the executive agency</i> | 9 596 375 | | 8 214 823 | 2 793 000 | 8 187 104 | 8 083 673 |
| 01 02 02 40 - Cluster ‘Digital, Industry and Space’ | | | | | | |
| Operational Budget | 1 284 451 797 | 41 886 423 | 1 272 161 905 | 1 133 029 778 | 1 048 145 474 | 971 525 897 |
| <i>Of which managed by the executive agency</i> | 45 418 680 | | 52 552 220 | 14 300 000 | 69 172 124 | 49 512 587 |
| 01 02 02 50 - Cluster ‘Climate, Energy and Mobility’ | | | | | | |
| Operational Budget | 1 210 434 615 | 1 058 560 | 1 290 577 680 | 630 134 825 | 1 095 807 398 | 524 088 847 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 1 203 622 058 | 125 000 | 1 215 017 680 | 506 000 000 | 1 071 807 398 | 506 379 790 |
| 01 02 02 60 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' | | | | | | |
| Operational Budget | 1 119 808 487 | 2 339 020 | 1 011 750 348 | 921 360 948 | 1 038 603 438 | 656 254 638 |
| <i>Of which managed by the executive agency</i> | 93 969 366 | | 88 417 988 | 29 960 000 | 32 656 102 | 78 238 452 |
| Innovation Fund | | | | | | |
| 16 03 01 - Innovation Fund (IF) — Operational expenditure | | | | | | |
| Operational Budget | 0 | 0 | p.m. | p.m. | p.m. | p.m. |
| <i>Of which managed by the executive agency</i> | | | | | | |
| Programme for the Environment and Climate Action (LIFE) | | | | | | |
| 09 02 01 - Nature and biodiversity | | | | | | |
| Operational Budget | 272 220 400 | 3 936 235 | 284 032 563 | 79 910 000 | 275 063 280 | 100 000 000 |
| <i>Of which managed by the executive agency</i> | 252 728 205 | 2 067 392 | 242 626 127 | 75 908 032 | 253 793 495 | 76 897 894 |
| 09 02 02 - Circular economy and quality of life | | | | | | |
| Operational Budget | 183 169 004 | 3 980 678 | 181 653 495 | 54 900 500 | 174 557 809 | 70 000 000 |
| <i>Of which managed by the executive agency</i> | 153 400 000 | 1 872 392 | 157 330 044 | 47 610 697 | 153 421 482 | 44 781 830 |
| 09 02 03 - Climate change mitigation and adaptation | | | | | | |
| Operational Budget | 128 975 334 | 2 343 506 | 128 381 585 | 40 803 484 | 122 404 528 | 47 000 000 |
| <i>Of which managed by the executive agency</i> | 112 303 377 | 365 000 | 123 458 602 | 34 790 600 | 105 999 539 | 31 481 338 |
| 09 02 04 - Clean energy transition | | | | | | |
| Operational Budget | 136 574 719 | 0 | 137 948 249 | 32 890 000 | 130 950 046 | 53 000 000 |
| <i>Of which managed by the executive agency</i> | 128 696 812 | | 106 680 000 | 32 000 000 | 102 850 000 | 38 004 000 |
| Military mobility 2021-2027 | | | | | | |
| 13 04 01 - Military mobility | | | | | | |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-----------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Operational Budget | 225 398 198 | 0 | 230 067 893 | 96 500 000 | 234 999 401 | 105 000 000 |
| <i>Of which managed by the executive agency</i> | 225 398 198 | | 230 067 893 | 95 000 000 | 234 999 401 | 105 000 000 |
| Public sector loan facility under the Just Transition Mechanism (JTM) | | | | | | |
| 09 04 01 - Public sector loan facility under the Just Transition Mechanism (JTM) | | | | | | |
| Operational Budget | 0 | 0 | p.m. | p.m. | 50 000 000 | p.m. |
| <i>Of which managed by the executive agency</i> | | | | | 50 000 000 | |
| TOTAL operational budget managed by the agency | 6 234 829 657 | 4 085 431 691 | 6 250 262 462 | 5 690 089 827 | 6 224 808 642 | 5 767 360 582 |

3 Human Resources

3.1 Staffing when created extended

Source: CINEA 2021-2027 Specific Financial Statement - including posts stemming from C1 and non-C1 funds (excluding EEA/EFTA and third countries contributions). This includes the increase of IF posts stemming from the increase of the carbon pricing.

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|
| Temporary Agents (Officials seconded by the Commission) | 32 | 33 | 33 | 33 | 33 | 33 | 33 |
| Temporary Agents (recruited by the agency) | 97 | 109 | 111 | 113 | 114 | 117 | 119 |
| Executive Agency's total Establishment Plan Posts | 129 | 142 | 144 | 146 | 147 | 150 | 152 |
| Contract Agents (*) | 393 | 414 | 421 | 427 | 431 | 437 | 441 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 522 | 556 | 565 | 573 | 578 | 587 | 593 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | 2022 | 2023 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | 1 | 1 | 1 | 1 |

| Function group and grade | 2021 | | 2022 | 2023 |
|------------------------------------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD 14 | 9 | 9 | 9 | 11 |
| AD 13 | 12 | 12 | 12 | 13 |
| AD 12 | 18 | 18 | 18 | 18 |
| AD 11 | 19 | 19 | 19 | 20 |
| AD 10 | 12 | 11 | 12 | 13 |
| AD 9 | 17 | 17 | 20 | 20 |
| AD 8 | 15 | 12 | 18 | 18 |
| AD 7 | 11 | 3 | 16 | 16 |
| AD 6 | 2 | | 2 | 3 |
| AD 5 | | | 2 | |
| AD TOTAL | 116 | 102 | 129 | 133 |
| AST 11 | | | | |
| AST 10 | | | | |
| AST 9 | | | | |
| AST 8 | | | 1 | 1 |
| AST 7 | 2 | 2 | 2 | 2 |
| AST 6 | 3 | 3 | 4 | 4 |
| AST 5 | 4 | 4 | 3 | 4 |
| AST 4 | 3 | 3 | 2 | 2 |
| AST 3 | 1 | | 1 | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 13 | 12 | 13 | 13 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 129 | 114 | 142 | 146 |
| Of which Officials seconded by the commission | 33 | 29 | 33 | 33 |

(1) Including establishment plan posts for the Innovation Fund (8), for the Just Transition Mechanism (1), the Renewable Energy Financing Mechanism (1), and the Next Generation EU (4).

(2) Including establishment plan posts for the Innovation Fund (16), for the Just Transition Mechanism (2), the Renewable Energy Financing Mechanism (1), and the Next Generation EU (7).

(3) Including establishment plan posts for the Innovation Fund (17), for the Just Transition Mechanism (3), the Renewable Energy Financing Mechanism (1), the Next Generation EU (6) and Horizon Europe third countries contribution (2)

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 215 | 191 | 216 | 224 |
| Function Group III | 94 | 90 | 95 | 95 |
| Function Group II | 35 | 38 | 35 | 35 |
| Function Group I | | | | |
| TOTAL | 344 | 319 | 346 | 354 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries, the Innovation Fund, the Renewable Energy Financing Mechanism, the Just Transition Mechanism and Next Generation EU:

| Human Resources financed outside the EU budget | Foreseen in 2021 | Recruited as of 31/12/2021 | Foreseen in 2022 | Foreseen 2023 |
|-------------------------------------------------------------------------------|------------------|----------------------------|------------------|---------------|
| Financed from participation of candidate countries and/or third countries (1) | 10 | 8 | 10 | 5 |
| Financed from the Innovation Fund (IF) | 29 | 19 | 36 | 39 |
| Financed from the Renewable Energy Financing Mechanism (REFM) | 3 | 3 | 4 | 5 |
| Financed from the Just Transition Mechanism (JTM) Pillar III | 4 | 3 | 7 | 10 |
| Financed from the Next Generation EU (NGEU) | 13 | 8 | 21 | 19 |

(1) Financed from Horizon Europe

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.2.5 Use of interim staff by the agency

Please insert in the table below the number of interim agency staff (FTE and corresponding appropriations) for each of the years, with a brief explanation of:

- the policy in place in the executive agency in relation to hiring interim staff, e.g. to temporarily replace temporary agents, contract agents and seconded national experts on long-term sick leave, maternity leave etc., as well as
- any major changes from year to year in the number of interim staff and the interim staff hiring policy.

| Interim agency staff ('interimaires') | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------------------|----------------------------|---------------|----------------------------|
| Total (FTE) | 5.5 | 8 | 6 |
| Total (appropriations) | 327,000 | 554,000 | 443,000 |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2020 | Staff to be freed in 2021 | Staff to be freed in 2022 |
|--------------|--------------------------------------------------|-------------------------|---------------------------|---------------------------|
| DG1 | | | | |
| DG2 | | | | |
| Total | | | | |

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2020 | Staff to be freed in 2021 | Staff to be freed in 2022 |
|--------------|---------------------------------------------------|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---------------------------------------------------------------------------------------------------------------|---------|---------|
| | By end 2020 | In 2021 | In 2022 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2021 | Number of posts frozen in 2022 |
|--------------|-------------------------------------------|--------------------------------|--------------------------------|
| | | | |
| Total | | | |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution(1) to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 01/02/2021) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|
| | | 54 813 656 | 57 888 962 | 60 302 895 | 62 938 695 | 65 390 812 | 67 548 270 |
| Agency contribution as voted by the Budgetary Authority (1) | | | | | | | |
| Initial Budget (EUR-27)(1) | | 60 055 765 | | | | | |
| Budget after transfers and / or amending budgets (EUR-27)(2) | 55 070 097 | | | | | | |

(1) Includes C1 and non-C1 funds; excludes external assigned revenues stemming from the participation of third countries in Horizon Europe.

(2) Based on the agency's Specific Financial Statement with the increase of posts for the Innovation Fund; excludes external assigned revenues stemming from the participation of third countries in Horizon Europe.

4.2 Revenues

| REVENUES | | 2021 | 2022 | 2023 | VAR 2023/2022 (%) |
|--------------------------------------------------------------------------------------|-----------|--------------------|-------------------|-------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget | |
| 1. EU contribution to the executive agency and NGEU/external assigned revenue | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 74 | HORIZONEU | 13 047 015 | 13 332 000 | 14 884 072 | +11,6% |
| 01 01 01 74 | NGEU | 1 369 000 | 2 920 000 | 2 760 400 | -5,5% |
| 02 01 21 74 | CEF_21 | 6 716 495 | 7 257 000 | 7 645 690 | +5,4% |
| 02 01 22 74 | CEF_21 | 2 380 673 | 2 963 000 | 3 156 950 | +6,5% |
| 02 01 40 74 | REFM | 280 000 | 512 000 | 653 020 | +27,5% |
| 05 01 02 74 | CF_21 | 6 474 000 | 6 457 000 | 6 629 080 | +2,7% |
| 08 01 03 74 | EMFAF | 3 982 382 | 4 071 000 | 4 498 010 | +10,5% |
| 09 01 01 74 | LIFE_2021 | 10 738 124 | 13 697 000 | 15 228 550 | +11,2% |
| 09 01 03 74 | PSLF_JTM | 53 000 | 860 000 | 1 447 150 | +68,3% |
| 13 01 03 74 | MM | 964 000 | 962 000 | 986 740 | +2,6% |
| 16 01 02 74 | IF | 3 144 000 | 5 104 000 | 6 136 740 | +20,2% |
| Sub Total | | 43528260 | 56 356 000 | 64 026 402 | +13,6% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 74 | HORIZONEU | 197000 | 320 000 | 443 781 | +38,7% |
| 09 01 01 74 | LIFE_2021 | | 19 000 | 21 630 | +13,8% |
| Sub Total | | 197 000 | 339 000 | 465 411 | +37,3% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 03 | HORIZONEU | 27 000 | 200 000 | 522 817 | |
| 02 01 21 74 | CEF_21 | | | | |
| 05 01 02 74 | CF_21 | | | | |

| | | | | | |
|----------------|-----------|------------|------------|------------|--------|
| 09 01 01 74 | LIFE_2021 | | | | |
| 13 01 03 74 | MM | | | | |
| Sub Total | | 27 000 | 200 000 | 522 817 | |
| TOTAL REVENUES | | 43 752 260 | 56 895 000 | 65 014 630 | +14,3% |

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|-----------------------------------------------------------|----------------------|-----------------|-----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 (1) | Draft Budget 2023 (2) | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 36 758 985 | 47 500 000 | 54 977 280 | 15,7% |
| Remunerations, Allowances and Charges | 34 599 913 | 44 800 000 | 52 183 920 | 16,5% |
| - <i>Of which establishment plan posts</i> | 13 103 081 | 17 000 000 | 20 181 820 | 18,7% |
| - <i>Of which external personnel</i> | 21 496 832 | 27 800 000 | 32 002 100 | 15,1% |
| Professional Development and Social expenditure | 2 159 072 | 2 700 000 | 2 793 360 | 3,5% |
| Title 2 - Infrastructure and operating expenditure | 4 983 100 | 5 885 000 | 6 941 170 | 17,9% |
| Building expenditure | 3 048 936 | 3 655 000 | 3 971 680 | 8,7% |
| ICT expenditure | 1 468 628 | 1 830 000 | 2 553 370 | 39,5% |
| Movable Property and Current Operating expenditure | 465 536 | 400 000 | 416 120 | 4,0% |
| Title 3 - Programme support expenditure | 2 010 175 | 3 510 000 | 3 096 180 | -11,8% |
| Programme Management expenditure | 2 010 175 | 3 510 000 | 3 096 180 | -11,8% |
| Common Support Services expenditure | | | | |
| TOTAL | 43 752 260 | 56 895 000 | 65 014 630 | 14,3% |

(1) Based on the approved initial budget 2022 by CINEA's Steering Committee on 16/12/2021 - includes 10 posts stemming from the participation of Third Countries in Horizon Europe

(2) Based on the approved draft budget 2023 by CINEA's Steering Committee on 28/02/2022 - includes 7 posts stemming from the participation of EEA/EFTA and Third Countries in Horizon Europe and 6 additional posts related to the Horizon Europe Missions. The main adjustment on the approved draft budget by the CINEA's steering committee includes a different assumption on the expected salary update in 2022 and 2023 (updated inflation parameter)

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|-----------------------------------------------------------|----------------------|-----------------|-----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 (1) | Draft Budget 2023 (2) | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 36 024 429 | Budget 2022 (1) | Draft Budget 2023 (2) | VAR 2023/2022 (%) |
| Remunerations, Allowances and Charges | 34 358 199 | 47 500 000 | 54 977 280 | 15,7% |
| - <i>Of which establishment plan posts</i> | | 44 800 000 | 52 183 920 | 16,5% |
| - <i>Of which external personnel</i> | | 17 000 000 | 20 181 820 | 18,7% |
| Professional Development and Social expenditure | 1 666 230 | 27 800 000 | 32 002 100 | 15,1% |
| Title 2 - Infrastructure and operating expenditure | 4 170 508 | 2 700 000 | 2 793 360 | 3,5% |
| Building expenditure | 2 648 829 | 5 885 000 | 6 941 170 | 17,9% |
| ICT expenditure | 1 269 885 | 3 655 000 | 3 971 680 | 8,7% |

| | | | | |
|-----------------------------------------------------------|-------------------|-------------------|-------------------|---------------|
| Movable Property and Current Operating expenditure | 251 794 | 1 830 000 | 2 553 370 | 39,5% |
| Title 3 - Programme support expenditure | 869 856 | 400 000 | 416 120 | 4,0% |
| Programme Management expenditure | 869 856 | 3 510 000 | 3 096 180 | -11,8% |
| Common Support Services expenditure | | | | |
| TOTAL | 41 064 793 | 56 895 000 | 65 014 630 | 14,3% |

(1) Based on the approved initial budget 2022 by CINEA's Steering Committee on 16/12/2021 - includes 10 posts stemming from the participation of Third Countries in Horizon Europe

(2) Based on the approved draft budget 2023 by CINEA's Steering Committee on 28/02/2022 - includes 7 posts stemming from the participation of EEA/EFTA and Third Countries in Horizon Europe and 6 additional posts related to the HE Missions. The main adjustment on the approved draft budget by the CINEA's steering committee includes a different assumption on inflation, which mainly impacts the expected salary update in 2022 and 2023 (updated inflation parameter)

4.4 Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as general revenue): EUR 2 029 809

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2023 is 578⁴⁰, i.e. 146 Temporary Agents and 432 Contract Agents. The

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The total number of contract agents to be financed from EEA/EFTA and third countries contributions is 5.

5.1.3 Salary assumption for calculating salary lines (% applied)

The staff expenditure budget covers the salary and allowances for temporary and contract staff authorised for 2023 (i.e. 571 staff + 7 staff financed by EEA/EFTA and HE third countries receipts).

The staff expenditure budget has been calculated based on the average wage cost and allowances by function group and grade. The baseline for the calculations is the salaries of December 2021 reflecting also updated expected parameters for the salary update in 2022 and 2023 (~inflation). An increase in salaries has also been budgeted for selected staff that may benefit from a reclassification to a higher grade, as well as from the automatic advancement to a higher step.

Finally, an average annual vacancy rate is also taken into consideration (see section 5.1.5).

5.1.4 Vacancy rate as of end 2021

At the end of 2021, the vacancy rate was 10.9% of the total staff under the 2021 Budget (both Temporary and Contract Agents), i.e. 474 staff recruited out of 532 authorised (including Contract Agents financed by EEA/EFTA and third countries receipts).

5.1.5 Standard abatement ('abatement forfaitaire')

An average annual vacancy rate of 4.3% for Temporary Agents and 6.0% for Contract Agents (respectively 2.7% for Temporary Agents and 4.6% for Contract Agents at year-end) has been taken into consideration.

⁴⁰ That number includes the 6 FTE's (i.e. 6 CAs) allocated to the Agency to manage the additional workload resulting from the delegation of HE Missions's related tasks. The reallocation of budget related to missions has also led to a corresponding decrease in contract agents for REA (so net impact across executive agencies is 0).

5.2 Financial Resources

5.2.1 Title 1

The budget requested for Title I is increasing by 15.7% compared to 2022. This is mainly due to the combined impact from the expected salary update (also in context of high inflation) and the increase in staffing.

The budget deemed necessary to cover interims has been kept low by considering an average of 6 FTEs for the whole year.

5.2.2 Title 2

The budget for Title II is increasing by 17.9% at EUR 6,941,170. That increase comes mainly from Chapter 21 – building expenditure, which increases by 8.70% at EUR 3,971,680 despite the fact that the office space remains the same as in 2021. This is a consequence of the inflation.

Chapter 22 – ICT expenditure is also increasing significantly due to the inclusion of CINEA in ITIC⁴¹.

5.2.3 Title 3

The budget for Title III is decreasing by 11.8% at EUR 3,096,180. The reduction is mainly due to the reduction of the budget for outsourced audits (that amount does not cover the costs of audits performed by CINEA's own audit managers) and the reduction of the budget for communication, events and other programme support expenditure, i.e. -42% at EUR 610,000. Some of the items foreseen in 2022 (i.e. mainly videos related to CINEA's new programmes) will not need to be reproduced in 2023, and therefore the communication expenses can be reduced in order to limit the overall increase of the budget.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|---------------------|------------|---------------|------------------|--------------------------|-------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | W910 | Brussels | 10 213 | 615 | 10 828 | 2 299 000 | 4 years until 31/12/2024 | Usufruct contract | Not applicable | |
| TOTAL | | | 10 213 | 615 | 10 828 | 2 299 000 | | | | |

6.1.2 Current Building(s) Other comments

The usufruct has been extended for another 4 years from 01/01/2021 in the light of the new mandate of the Agency under the 2021-2027 MFF.

6.1.3 Building projects in the planning phase

Not applicable.

⁴¹ ITIC (IT Infrastructure Consolidation) provides support and maintenance for the whole Office Automation environment (i.e. PCs, printers, mobile equipment, office automation servers, etc.) by DG DIGIT. It offers a single point of contact to the users for all problems related to the office IT infrastructure and applications.

6.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

6.2 Evaluation

In accordance with Article 25(1) of the Framework Regulation, in 2020, the Commission finalised an external evaluation report and produced a staff working document (SWD) on the operation of INEA (the predecessor of CINEA) encompassing the period 2014-2016. It assessed INEA's implementation of the parts of the EU funding programmes (Connecting Europe Facility, Horizon 2020 and the Trans-European Transport Network (TEN-T) and Marco Polo legacy programmes) which have been entrusted to it. The report and SWD can be found here: https://ec.europa.eu/transport/facts-fundings/evaluations/reports-year_en. The results have fed the Report from the Commission to the European Parliament, the Council and the Court of Auditors (COM(2020)184 and SWD(2020) 73-78) on the evaluation of Executive Agencies.

On that basis, the Commission has redesigned the portfolio of Executive Agencies for the period 2021-2027 (C(2021)946).

It is planned that the next periodical evaluation will cover the operations of INEA over the period 2016 – March 2021.

2.4 European Health and Digital Executive Agency (“HaDEA”)

1. Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU</p> | <p>12 February 2021</p> | <p>The European Health and Digital Executive Agency is established from 16 February 2021 until 31 December 2028.</p> <p>The European Health and Digital Executive Agency shall be entrusted with the implementation of the following (parts of) Union programmes:</p> <ul style="list-style-type: none"> (a) EU4Health programme; (b) Horizon Europe: Pillar II, Cluster 1: Health; (c) Single Market Programme: Food safety: health for humans, animals and plants along the food chain and better training for safer food; (d) Digital Europe Programme; (e) Connecting Europe Facility: Digital; (f) Horizon Europe: Pillar II, Cluster 4: Digital, industry and space. 3. <p>As well as with the implementation of the legacy of the following (parts of) Union programmes:</p> <ul style="list-style-type: none"> (a) Horizon 2020: Part III: Societal Challenge 1: Health, demographic change and well-being, which under the 2014-2020 MFF was implemented by the Commission; (b) Connecting Europe Facility: Telecom, which under 2014-2020 MFF was implemented by the Innovation and Networks Executive Agency and by the Commission; (c) Horizon 2020: Part II: specific objective ‘Leadership in enabling and industrial technologies (LEIT)’ - ICT, NMBP, space, which under the 2014-2020 MFF was implemented by the Executive Agency for Small and Medium-sized Enterprises, the executive agency established by Commission Implementing Decision 2013/778/EU (‘the Research Executive Agency’) and by the Commission; (d) Horizon 2020: Part III: Societal challenge 5: Climate action, Environment, resource efficiency and raw materials, which under the 2014-2020 MFF was implemented by the Executive Agency for Small and Medium-sized Enterprises and by the Commission; (e) FP7: The theme ‘space’ of the specific programme cooperation of the Seventh Framework Programme, which under the 2014-2020 MFF was implemented by the Research Executive Agency; (f) the Common financial framework in the area of food and feed safety including Better training for safer food, which under the 2014-2020 MFF was implemented by the executive agency established by Commission Implementing Decision 2013/770/EU (the Consumers, Health, Agriculture and Food Executive Agency); (g) the third Programme for the Union’s action in the field of health (2014-2020), which under the 2014-2020 MFF was implemented by the Consumers, Health, Agriculture and Food Executive Agency and by the Commission. <p>The agency shall be responsible for the following tasks related to the implementation of the parts of the Union programmes and activities delegated to them:</p> <ul style="list-style-type: none"> (a) managing some or all stages of programme implementation and some or all phases in the lifetime of specific projects, on the basis of the work programmes adopted by the Commission; (b) managing pilot projects and preparatory actions within the meaning of Article 58(2) of Regulation (EU, Euratom) 2018/1046, if applicable; (c) providing general administrative and logistical support services, if applicable; (d) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programmes and activities; (e) providing information regarding the programme implementation to support the Commission in its policy making tasks; |

1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 73 : European Health and Digital Executive Agency — Contribution from Horizon Europe

02 01 23 73 : European Health and Digital Executive Agency — Contribution from the Connecting Europe Facility — Digital

02 01 30 73 : European Health and Digital Executive Agency — Contribution from the Digital Europe programme

03 01 01 73 : European Health and Digital Executive Agency — Contribution from the Single Market Programme

06 01 05 73 : European Health and Digital Executive Agency — Contribution from the EU4Health programme

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 42 | 42 | 100,00% | 41 | 40 |
| Temporary Agents (recruited by the executive agency) | 53 | 30 | 56,60% | 64 | 70 |
| Total Establishment plan posts | 95 | 72 | 75,79% | 105 | 110 |
| Contract Agents | 268 | 236 | 88,06% | 286 | 308 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 363 | 308 | 84,85% | 391 | 418 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | 2023 |
|----------------------------------------------------------------|----------------------------------|--------------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 44 483 220 ⁴² | 49 443 874 ⁴³ |
| 2. EEA/EFTA contribution (excl.Switzerland) | 966 737 | 1 076 417 ⁴⁴ |
| 3. Participation of candidate countries and/or third countries | | 1 196 955 |
| TOTAL | 45 449 957 | 51 717 246 |

⁴² This includes the NGEU of EUR 3.944.000

⁴³ This includes the NGEU of EUR 3.730.660

⁴⁴ Based on EFTA rate as applicable in 2022 (given uncertainty on EFTA rates applicable in 2023 at the time of the preparation of the draft budget)

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 36 562 910 | 36 562 910 | 41 866 693 | 41 866 693 |
| Title 2 - Infrastructure and operating expenditure | 6 284 000 | 6 284 000 | 6 728 063 | 6 728 063 |
| Title 3 - Programme support expenditure | 2 603 047 | 2 603 047 | 3 122 490 | 3 122 490 |
| TOTAL EXPENDITURE | 45 449 957 | 45 449 957 | 51 717 246 | 51 717 246 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2023, the executive agency is in the second year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------|
| EU4Health programme | | X | |
| Horizon Europe: Pillar II, Cluster 1: Health | | X | |
| Single Market Programme: Food safety: health for humans, animals and plants along the food chain and better training for safer food | | X | |
| Digital Europe Programme | | X | |
| Connecting Europe Facility: Digital | | X | |
| Horizon Europe: Pillar II, Cluster 4: Digital, industry and space | | X | |
| Horizon 2020: Part III: Societal Challenge 1: Health, demographic change and well-being | | | X |
| Connecting Europe Facility: Telecom | | | X |
| Horizon 2020: Part II: specific objective 'Leadership in enabling and industrial technologies (LEIT)' - ICT, NMBP, space | | | X |
| Horizon 2020: Part III: Societal challenge 5: Climate action, Environment, resource efficiency and raw materials | | | X |
| FP7: The theme 'space' of the specific programme cooperation of the Seventh Framework Programme | | | X |
| the Common financial framework in the area of food and feed safety including Better training for safer food | | | X |
| the third Programme for the Union's action in the field of health (2014-2020) | | | X |

2.2 Operational appropriations managed

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Connecting Europe Facility (CEF) | | | | | | |
| 02 03 03 01 - Connecting Europe Facility (CEF) — Digital | | | | | | |
| Operational Budget | 174 394 517 | 0 | 277 220 439 | 164 183 100 | 283 791 894 | 147 646 530 |
| <i>Of which managed by the executive agency</i> | 170 100 000 | | 183 000 000 | 55 300 000 | 168 807 775 | 79 050 000 |
| Completion of Connecting Europe Facility (CEF) | | | | | | |
| 02 03 99 03 - Completion of previous Connecting Europe Facility (CEF) — ICT activities (prior to 2021) | | | | | | |
| Operational Budget | 0 | 154 683 675 | p.m. | 57 159 935 | p.m. | 26 973 840 |
| <i>Of which managed by the executive agency</i> | | 109 973 651 | | 57 159 935 (4) | | 21 925 555 |
| Completion of Consumer Programme | | | | | | |
| Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) | | | | | | |
| Completion of European statistical programme (ESP) | | | | | | |
| Completion of Food and Feed | | | | | | |
| 03 02 99 02 - Completion of previous measures in food and feed safety, animal health, animal welfare and plant health (prior to 2021) | | | | | | |
| Operational Budget | 0 | 154 951 299 | p.m. | 50 000 000 | p.m. | 20 000 000 |
| <i>Of which managed by the executive agency</i> | | 117 389 456 | | 20 902 861 | | 11 718 718 |
| Completion of European statistical programme (ESP) | | | | | | |
| Completion of Specific activities in the field of financial reporting and auditing | | | | | | |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 7 680 124 174 | p.m. | 4 605 557 369 | p.m. | 3 147 625 008 |
| <i>Of which managed by the executive agency</i> | | 939 105 533 | | 507 243 549 | | 426 607 139 |
| Completion of previous public health programmes | | | | | | |
| 06 06 99 01 - Completion of previous public health programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 47 060 498 | p.m. | 18 000 000 | p.m. | 24 500 000 |
| <i>Of which managed by the executive agency</i> | | 33 283 433 | | 18 000 000 (2) | | 14 852 651 |
| Completion of European statistical programme (ESP) | | | | | | |
| Completion of Enhancing consumers involvement in EU policy making in the field of financial services | | | | | | |
| Completion of Interoperability Solutions and common frameworks for European public administrations, businesses and citizens (ISA2) | | | | | | |
| Digital Europe Programme | | | | | | |
| 02 04 03 - Artificial intelligence | | | | | | |
| Operational Budget | 330 839 903 | 7 584 696 | 332 511 489 | 214 811 860 | 226 415 854 | 383 951 580 |
| <i>Of which managed by the executive agency</i> | | | 17 526 777 | 3 000 000 | 40 720 000 | 6 000 000 |
| 02 04 04 – Skills | | | | | | |
| Operational Budget | 83 433 031 | 0 | 92 948 068 | 49 000 000 | 66 931 984 | 71 481 090 |
| <i>Of which managed by the executive agency</i> | 72 000 000 | | 78 870 497 | 31 500 000 | 66 300 000 | 27 400 000 |
| 02 04 05 01 – Deployment | | | | | | |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-----------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Operational Budget | 134 380 311 | 945 495 | 143 241 850 | 124 973 807 | 138 849 615 | 118 924 456 |
| <i>Of which managed by the executive agency</i> | 30 000 000 | | 18 500 487 | 15 300 000 | 24 250 000 | 9 200 000 |
| 02 04 06 10 - Semiconductors – Chips Fund InvestEU | | | | | | |
| Operational Budget | | | | | 35 000 000 | 2 000 000 |
| <i>Of which managed by the executive agency</i> | | | | | | |
| 02 04 06 11 - Semiconductors – Chips Joint Undertaking | | | | | | |
| Operational Budget | | | | | 251 877 865 | 151 712 028 |
| <i>Of which managed by the executive agency</i> | | | | | | |
| EU4Health Programme | | | | | | |
| 06 06 01 - EU4Health Programme | | | | | | |
| Operational Budget | 318 042 462 | 2 112 575 | 815 213 775 | 310 800 000 | 708 052 338 | 651 000 000 |
| <i>Of which managed by the executive agency</i> | 258 507 160 | 1 024 975 | 771 935 000 | 68 120 000 | 637 247 104 | 256 362 080 |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 02 10 - Cluster ‘Health’ | | | | | | |
| Operational Budget | 625 814 164 | 66 771 692 | 606 730 809 | 248 972 336 | 532 694 372 | 160 643 110 |
| <i>Of which managed by the executive agency</i> | | 63 026 780 | 606 730 809 (1) | 108 124 904 | 497 195 953 | 107 663 488 |
| 01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’ | | | | | | |
| Operational Budget | 168 097 344 | 217 627 | 258 071 012 | 113 149 231 | 262 177 510 | 217 653 889 |
| <i>Of which managed by the executive agency</i> | 1 484 646 | | 2 565 497 | 1 448 762 | 2 669 196 | 3 055 742 |
| 01 02 02 40 - Cluster ‘Digital, Industry and Space’ | | | | | | |
| Operational Budget | 1 284 451 797 | 41 886 423 | 1 272 161 905 | 1 133 029 778 | 1 048 145 474 | 971 525 897 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | | 317 970 | 649 544 425 | 586 990 876 | 565 807 954 | 392 317 212 |
| Single Market Programme, including COSME, ISA2, ESP, consumer involvement in fin. Services, financial reporting, Health, Food and Feed, consumer programme | | | | | | |
| 03 02 06 - Contributing to a high level of health and welfare for humans, animals and plants | | | | | | |
| Operational Budget | 229 660 889 | 20 934 490 | 225 814 000 | 170 000 000 | 231 366 000 | 202 000 000 |
| <i>Of which managed by the executive agency</i> | 170 950 000 | 2 691 | 182 620 000 | 139 950 464 | 186 222 000 | 137 900 000 |
| TOTAL operational budget managed by the agency | 703 041 806 | 1 264 124 489 | 2 511 293 492 | 1 613 041 351 | 2 189 219 982 | 1 494 052 585 |

3 Human Resources

3.1 Staffing when created extended

Source: The Specific Financial Statement including staff financed from all sources

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|----------------------------------------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Temporary Agents (Officials seconded by the Commission) | | | | | | | |
| Temporary Agents (recruited by the agency) | 95,3 | 105,2 | 110,2 | 115,2 | 118,3 | 122,3 | 126,3 |
| Executive Agency's total Establishment Plan Posts | 95,3 | 105,2 | 110,2 | 115,2 | 118,3 | 122,3 | 126,3 |
| Contract Agents (*) | 285,9 | 315,7 | 330,7 | 345,7 | 354,8 | 366,7 | 378,8 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 381,2 | 420,9 | 440,9 | 460,9 | 473,1 | 489 | 505,1 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | 2022 | 2023 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | | | | |
| AD 14 | 4 | 4 | 6 | 9 |
| AD 13 | 6 | 7 | 6 | 6 |

| Function group and grade | 2021 | | 2022 | 2023 |
|------------------------------------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD 12 | 7 | 8 | 11 | 10 |
| AD 11 | 2 | 3 | 5 | 8 |
| AD 10 | 5 | 7 | 10 | 5 |
| AD 9 | 3 | 6 | 9 | 8 |
| AD 8 | 8 | 6 | 8 | 10 |
| AD 7 | 11 | 8 | 13 | 9 |
| AD 6 | 25 | 9 | 11 | 11 |
| AD 5 | 15 | 7 | 18 | 29 ⁴⁵ |
| AD TOTAL | 86 | 65 | 97 | 105 |
| AST 11 | 1 | | | 1 |
| AST 10 | 1 | | | |
| AST 9 | | | | |
| AST 8 | | | | |
| AST 7 | 1 | | 1 | 1 |
| AST 6 | 1 | 2 | 2 | 4 |
| AST 5 | 3 | 2 | 3 | 2 |
| AST 4 | 2 | 2 | 1 | |
| AST 3 | | 1 | 1 | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 9 | 7 | 8 | 8 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 95 | 72 | 105 | 113 |
| Of which Officials seconded by the commission | 42 | 42 | 41 | 40 (3) |

(1) Authorised budget 2021: Progressive arrival of seconded officials led to deviation from the authorised budget 2021. To align the establishment plan with the real situation, HaDEA applied in September 10% flexibility to grades below AD13 (within the limits of the maximum posts of temporary agents authorised under the 2021 budget). However, due to subsequent secondments of AD 13 officials at the end of 2021, one deviation at AD13 grade remained. DG BUDG and HaDEA Steering Committee were duly informed.

(2) These posts for 2022 are split between 95 posts on EU budget and 10 posts outside EU budget.

(3) These posts for 2023 are split between 101 posts on EU budget, 9 posts outside EU budget (NGEU) and 3 posts financed from Third Countries contribution

⁴⁵ 3 posts are financed by R0 credits

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 138 | 136 | 146 | 164 (1) |
| Function Group III | 89 | 72 | 99 | 112 |
| Function Group II | 37 | 27 | 36 | 31 |
| Function Group I | 4 | 1 | 5 | 1 |
| TOTAL | 268 | 236 | 286 | 308 |

(1) This includes the frontloading of 4 GF IV

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2021 | Recruited as of 31/12/2021 | Foreseen in 2022 | Foreseen 2023 |
|---------------------------------------------------------------------------|------------------|----------------------------|------------------|-----------------|
| Financed from EDF | | | | |
| Financed from NGEU | 18(1) | 16(2) | 30(3) | 27(4) |
| Financed from participation of candidate countries and/or third countries | | | 8 ⁴⁶ | 8 ⁴⁷ |

(1) 9 GF IV, 6 GF III, 3 GF II

(2) 9 GF IV, 4 GF III, 3 GFII

(3) 16 GF IV, 10 GFIII, 4 GFII

(4) 15 GF IV, 9 GF III, 3 GF II

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.2.5 Use of interim staff by the agency

Please insert in the table below the number of interim agency staff (FTE and corresponding appropriations) for each of the years, with a brief explanation of:

- the policy in place in the executive agency in relation to hiring interim staff, e.g. to temporarily replace temporary agents, contract agents and seconded national experts on long-term sick leave, maternity leave etc., as well as
- any major changes from year to year in the number of interim staff and the interim staff hiring policy.

| Interim agency staff ('intérimaires') | Recruited as of 31/12/2016 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------------------|----------------------------|---------------|----------------------------|
| Total (FTE) | | 9 | 9 |
| Total (appropriations) | | 550.000 | 550.000 |

⁴⁶ 8 GF IV

⁴⁷ 8 GF IV

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|--------------------------------------|--------------------------------------------------|-------------------------|---------------------------|---------------------------|
| Heading 7 | 40 | 14 | 10 | 3 |
| Horizon Europe Programme * | 146 | 81 | | 10 |
| Targeted reductions in other areas * | 180 | 10 | 26 | 28 |
| Total** | 366 | 105 | 36 | 41 |

*these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

**the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

*these numbers refer to the total number of reductions that the Commission will implement to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally for all executive agencies as a precise split by executive agency cannot be presented.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|--------------|---------------------------------------------------|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---------------------------------------------------------------------------------------------------------------|---------|---------|
| | By end 2021 | In 2022 | In 2023 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).
This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2021 | Number of posts frozen in 2022 |
|-----------|-------------------------------------------|--------------------------------|--------------------------------|
| DG SANTE | 10 | 10 | 10 |
| DG CNECT | 8 | 8 | 8 |
| DG DEFIS | 4 | 4 | 4 |
| DG GROW | 3 | 3 | 3 |
| DG RTD | 17 | 17 | 17 |
| Total | 42 | 42 | 42 |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|--------------------------|--------------------------|------------|------------|------------|------------|
| | 45465000 | 52 394 000 | 55 099 000 | 54 455 000 | 57 691 000 | 61 035 000 | 64 494 000 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 35 630 191 ⁴⁸ | 44 483 220 ⁴⁹ | 49 443 853 ⁵⁰ | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 26 256 791 ⁵¹ | | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27) | 35 630 191 | 44 483 220 | 49 443 853 | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 26 256 791 | | | | | | |

⁴⁸ The voted budget presented does not include the 6Xs line

⁴⁹ The amount includes contributions from EU (40.539 mln EUR) + NGEU (3.94 mln EUR)

⁵⁰ The amount includes contributions from NGEU (3.73 mln EUR)

⁵¹ The amount includes contributions from NGEU (1.84 mln EUR)

⁵¹ The budget reflects that the agency is operational for 9 months instead of the 12 months assumed in the SFS.

4.2 Revenues

| REVENUES | | 2021 | 2022 | 2023 | VAR 2023/2022 (%) |
|-----------------------------------------------------------------------|-----------|--------------------|--------------------------|--------------------------|-------------------------|
| | | Executed Budget | Budget ⁵² | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 73 | HORIZONEU | 14 688 616 | 21 952 934 ⁵³ | 21 194 227 ⁵⁴ | -3,5% |
| 02 01 23 73 | CEF_21 | 2 681 071 | 3 545 836 | 4 371 991 | 23,3% |
| 02 01 30 73 | DIGITALEU | 0 | 4 442 079 | 7 457 836 | 67,9% |
| 03 01 01 73 | SINGLEMKT | 1 708 851 | 1 870 174 | 1 613 014 | -13,8% |
| 06 01 05 73 | EU4HEALTH | 7 536 751 | 12 672 196 | 14 806 806 | 16,8% |
| Sub Total | | 26 615 289 | 44 483 220 | 49 443 874 | 11,2% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 73 | HORIZONEU | | 542 237 | 523 498 | -3,5% |
| 02 01 30 73 | DIGITALEU | | 111 496 | 187 191 | 67,9% |
| 06 01 05 73 | EU4HEALTH | | 313 003 | 365 728 | 16,8% |
| Sub Total | | | 966 737 | 1 076 417 | 11,3% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 73 | HORIZONEU | | | 1 196 955 | |
| Sub Total | | | | | |
| TOTAL REVENUES | | 26 615 289 | 45 449 957 | 51 717 246 | 13,8% |

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|--------------------------------------------------------|-------------------------|-------------------|------------------------------------|----------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 ⁵⁵ | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 19 070 884 | 36 562 910 | 41 866 693 | 14,5% |
| Remunerations, Allowances and Charges | 17 923 860 | 34 958 577 | 40 048 022 | 14,6% |
| - <i>Of which establishment plan posts</i> | | <i>13 540 057</i> | <i>14 627 059</i> | <i>8,0%</i> |
| - <i>Of which external personnel</i> | | <i>21 418 520</i> | <i>25 420 963</i> | <i>18,7%</i> |
| Professional Development and Social expenditure | 1 147 024 | 1 604 333 | 1 818 671 | 13,4% |

⁵² Note that the 2022 budget numbers included here is based on the latest administrative budget as adopted by HaDEA steerco

⁵³ The amount includes the NGEU of EUR 3.944.000

⁵⁴ The amount includes the NGEU of EUR 3.730.660

⁵⁵ Based on the draft administrative budget as adopted by the HaDEA steerco. The main adjustment on the approved draft budget by HaDEA's steering committee includes a different assumption on inflation, which mainly impacts the expected salary update in 2022 and 2023 (updated inflation parameter)

| | | | | |
|-----------------------------------------------------------|-------------------|-------------------|-------------------|---------------|
| Title 2 - Infrastructure and operating expenditure | 5 158 346 | 6 284 000 | 6 728 063 | 7,1% |
| Building expenditure | 3 026 931 | 4 138 000 | 4 517 065 | 9,2% |
| ICT expenditure | 1 759 770 | 1 916 000 | 2 016 740 | 5,3% |
| Movable Property and Current Operating expenditure | 371 645 | 230 000 | 194 258 | -15,5% |
| Title 3 - Programme support expenditure | 1 270 857 | 2 603 047 | 3 122 490 | 20,0% |
| Programme Management expenditure | 1 270 857 | 2 603 047 | 3 122 490 | 20,0% |
| Common Support Services expenditure | | | | |
| TOTAL | 25 500 087 | 45 449 957 | 51 717 246 | 13,8% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|-----------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 19 070 884 | 36 562 910 | 40 647 275 | 8,43% |
| Remunerations, Allowances and Charges | 17 923 860 | 34 958 577 | 40 048 022 | 14,6% |
| - <i>Of which establishment plan posts</i> | | <i>13 540 057</i> | <i>14 627 059</i> | <i>8,0%</i> |
| - <i>Of which external personnel</i> | | <i>21 418 520</i> | <i>25 420 963</i> | <i>18,7%</i> |
| Professional Development and Social expenditure | 1 147 024 | 1 604 333 | 1 818 671 | 13,4% |
| Title 2 - Infrastructure and operating expenditure | 5 158 346 | 6 284 000 | 6 728 063 | 7,1% |
| Building expenditure | 3 026 931 | 4 138 000 | 4 517 065 | 9,2% |
| ICT expenditure | 1 759 770 | 1 916 000 | 2 016 740 | 5,3% |
| Movable Property and Current Operating expenditure | 371 645 | 230 000 | 194 258 | -15,5% |
| Title 3 - Programme support expenditure | 1 270 857 | 2 603 047 | 3 122 469 | 20,0% |
| Programme Management expenditure | 1 270 857 | 2 603 047 | 3 122 469 | 20,0% |
| Common Support Services expenditure | | | | |
| TOTAL | 25 500 087 | 45 449 957 | 51 717 225 | 13,8% |

4.4 Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1.333 M (C1 appropriations = 1.273M + C8 appropriations = 44 K + Miscellaneous income = 16 K)

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

In line with the Specific Financial Statement

- the Health and Digital Executive Agency (HaDEA) will count a total of 405 FTEs financed on C1 credits in 2023 of which 304 are contract agents and 101 temporary agents (40 are seconded officials).
- additional staff is financed from other sources in 2023 (NGEU): 36 FTEs (9 TAs included in the table 3.2.1 Establishment Plan posts) and 27 CAs (included in the table 3.2.3 and split as follows: 15 GF IV, 9 GFIII, 3 GFII).

- Finally, 11 staff are financed via the contributions paid from third countries to Union programmes incl. EEA/EFTA members (3 AD5 + 8 GF IV).

Therefore, the adjusted level of the programming for HaDEA shall be of 452 staff in 2023.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

8 CA's (GF IV) are financed via contributions paid by potential candidate and third countries and via the participation of EEA/EFTA members in EU programmes.

5.1.3 Salary assumption for calculating salary lines (% applied)

The average cost for TA's and CA's is based on the real costs paid in January 2022 and takes into account recent parameters on what the expected salary adjustment would be in 2022 and 2023 (depending among others on inflation parameters).

This shows an increase of the average costs compared to the one used for the calculation of the 2022 salaries, which takes into account the expected indexation/salary adjustment. Additionally, the average basic salary of an AD6 is used for the recruitment of 33 TA's in 2022 and 5 TA's in 2023. This explains the main reason of the increases compared to 2022 (budget overestimated).

The budget takes also into account the reclassification exercise, the travel allowances and a reserve of 1%.

5.1.4 Vacancy rate as of end 2021

The Agency started on 01/04/2021 with 273 staff members in place and counted 324 staff on 31/12/2021: 35 Seconded Officials, 37 Temporary Agents, 252 Contract Agents.

Overall, this amounts to 85% of 381 staff foreseen in total by the specific financial statement for 2021 and represents a growth of 13% in 9-months time. Out of 286 posts for contract agents, 34 remained unfilled by the end of 2021. For what concerns temporary agents, 23 posts remained unfilled.

It is to be noted that HaDEA as a whole reached 85% of staff in place on 31/12/2021, however the filling rate of operational Units dealing with Cluster 4 approached 102% by end of 2021. These Units were reinforced with 8 posts of contract agents frontloaded from 2022 in accordance with the Final report of the Working Group Horizon Europe Cluster 4 on the repartition of staff and budget. This reinforcement will continue in 2022 (8 posts of contract agent frontloaded from 2023) and 2023 (4 posts of contract agent frontloaded from 2024).

5.2 Financial Resources

5.2.1 Title 1

The amount of expenditures in Title I for 2023 is higher than adopted in the SFS for HaDEA as HADEA received more staff coming from the Commission with higher grades (and also due to higher than expected salary adjustments related to inflation). It now stands at EUR 41.9 M.

5.2.2 Title 2

The amount of expenditures in Title II for 2023 (EUR 6.7 million) is in line with the adopted SFS for HaDEA. It includes the rent in Covent Garden and associated charges, purchase of hardware and software, the services provided by DG DIGIT, DG BUDG, DG HR and DG SG, purchase of furniture, possible internal moves, office supplies, insurances and a budget for potential court cases.

5.2.3 Title 3

The amount of expenditures in Title III stands at EUR 3.1M. It includes the costs for meetings, missions, audits, communication services (campaigns, events, digital services incl audio-visual equipment purchases, etc) and programme related IT expenses (recruitment of an IT consultant)

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---------------------------------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | COVENT GARDEN | PLACE ROGIER 16, 1000 BRUXELLES | | | | | | | | |
| TOTAL | | | | | | | | | | |

6.1.2 Current Building(s) Other comments

In 2021, HADEA received space in the Covent Garden building by OIB. The received m² falls under the European Commission's Usufruct and the Agency will pay its contribution via the Service Level Agreement (SLA with OIB). In addition, staff coming from the Commission stayed until the end of 2021 in the Commission's premises such as ORBAN and CDMA. As of 01/01/2022, all HADEA staff will work in COV2. The number of m² and the renting costs are not known for the moment as OIB is still analysing the current situation. The agency will be informed once the SLA with OIB is signed during the year.

6.2 Evaluation

Nothing to mention specifically at this stage (e.g.in terms of past evaluations that are specific to the agency), since HADEA is a new agency.

2.5 European Research Executive Agency (“REA”)

1. Overview

1.1 Creation/modification (legal base)

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Commission Decision 2008/46/EC of 14/12/2007 | OJ L11 of 15/01/2008 | Creation of the Agency managing certain areas of the People, Capacities and Cooperation Specific EU Programmes within the framework of the 7th Framework Programme for RTD (Decision 1982/2006/EC) in application of Council Regulation (EC) No 58/2003. |
| Commission Decision 2013/778/EU of 13/12/2013 establishing Research Executive Agency and repealing Decision 2008/46/EC | OJ L 346, 20/12/2013 | <p>Establishing the Research Executive Agency and repealing Decision 2008/46/EC.</p> <p>1 The Agency is entrusted within the Specific Programme Implementing Horizon 2020 (H2020), with implementing parts of Part I "Excellent science"; Part II "Industrial leadership"; Part III "Societal challenges"; Part III a "Spreading excellence and widening participation"; Part III b "Science with and for society".</p> <p>2 The Agency is entrusted, within the framework of the Seventh Framework Programme (FP7), with implementing the legacy of parts of: the activities "Research for SMEs" and "Research for SME associations" of the Capacities Specific Programme; the "Space" and "Security" themes of the Cooperation Specific Programme; the People Specific Programme.</p> <p>3 The Agency is responsible for the following tasks related to implementing parts of the above-mentioned programmes:</p> <p>(a) managing some stages of programme implementation and some phases in the lifetime of specific projects on the basis of the relevant work programmes adopted by the Commission, where the Commission has empowered it to do so in the instrument of delegation;</p> <p>(b) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programme, where empowered by the Commission in the instrument of delegation;</p> <p>(c) providing support in programme implementation where empowered by the Commission in the instrument of delegation</p> <p>4 The Agency is responsible for providing administrative and logistical support services as defined in the instrument of delegation.</p> |
| Commission Implementing Decision establishing the Research Executive Agency (mandate for 2014-2024) (C(2013)8909 of 13 December 2013) which received a positive opinion of the CEA on 22 November 2013, as last amended by the Commission Decision C(2017)4900 of 14 July 2017 | | <p>Third parties' legal validation and the preparation of viability assessment, in response to the obligation of the Single Electronic Data Interchange Area – SEDIA (based on Article 95, §2 of the Financial Regulation of 2012 which became Article 147, §1 of the Financial Regulation of 2018)</p> <p>The delegation to the Research Executive Agency of projects generating EU classified information.</p> |
| Commission Decision C(2019)3353 of 30 April 2019 | | The Agency shall be responsible for implementing part of the corresponding operational appropriations entered in the general budget of the Union for Horizon 2020 – Future and Emerging Technologies (FET) (only the part “FET Open”) with the exception of the appropriations relating to the Enhanced European Innovation Council Pilot (‘the Enhanced EIC Pilot’) under the framework of Horizon 2020 for the years 2019 and 2020. |
| Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs | OJ L50, 15.2.2021, p.9 | <p>Establishing the European Research Executive Agency (REA) and repealing Decision 2013/778/EU.</p> <p>The Agency is responsible for implementing the following Union programmes or parts thereof: Horizon Europe: Pillar I: Marie Skłodowska-Curie Actions (MSCA) and</p> |

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU</p> | <p>Research Infrastructures ; Horizon Europe: Pillar II: Cluster 2: ‘Culture, creativity and inclusive society’; Cluster 3: ‘Civil Security for Society’ and Cluster 6 : ‘Food, Bioeconomy, Natural Resources, Agriculture and Environment’ ; Horizon Europe: Part ‘Widening participation and strengthening the European Research Area’: Widening Participation and Spreading Excellence; Reforming and Enhancing the European R&I System; Information provision and promotion measures concerning agricultural products ; Research Programme of the Research Fund for Coal and Steel ; The legacy activities of the following parts of the Horizon 2020 Specific Programme – The Framework Programme for Research and Innovation (2014-2020) : Part I ‘Excellent science’, the specific objectives: ‘Marie Skłodowska-Curie Actions’ and ‘Research Infrastructures’; Part III ‘Societal Challenges’, the specific objectives: ‘Societal Challenge’ 2: Food security, sustainable agriculture and forestry, marine, maritime and inland water research and the bio-economy; ‘Societal Challenge’ 5: Climate action, Environment, resource efficiency and raw materials; ‘Societal Challenge 6’: Europe in a changing World - Inclusive, innovative and reflective societies; ‘Societal Challenge’ 7: Secure Societies - Protecting freedom and security of Europe and its citizens"; Part IV ‘Spreading Excellence and Widening Participation’; Part V ‘Science with and for society’. The legacy activities of the following parts of the 7th Framework Programme for RTD (Decision 1982/2006/EC) (2007-2013) : The legacy of the activities ‘Research for SMEs’ and ‘Research for SME associations’ of the Capacities Specific Programme of the Seventh Framework Programme ; The legacy of the ‘Security’ themes of the Cooperation Specific Programme of the Seventh Framework Programme; The legacy of the People Specific Programme of the Seventh Framework Programme ; The legacy of Information provision and promotion measures concerning agricultural products under the multi annual financial framework 2014-2020 , The legacy of the Research Programme of the Research Fund for Coal and Steel.</p> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 72 : European Research Executive Agency — Contribution from Horizon Europe

08 01 01 72 : European Research Executive Agency — Contribution from the European Agricultural Guarantee Fund (EAGF)

20 03 14 72 : European Research Executive Agency — Contribution for the implementation of the research programme for coal and steel and non-research programmes

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 55 | 46 | 83,64% | 55 | 55 |
| Temporary Agents (recruited by the executive agency) | 157 | 154 | 98,09% | 160 | 170(*) |
| Total Establishment plan posts | 212 | 200 | 94,34% | 215 | 225 |
| Contract Agents | 636 | 629 | 98,90% | 645 | 649 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 848 | 829 | 97,76% | 860 | 874 |

(*) The establishment plan posts for the year 2023 include 7 temporary agents that will be financed with contributions paid by (potential) candidate and third countries. This number of posts is based on the same methodology of calculation mentioned in the Section 3.2.3 'Contract Agents financed from external revenues'. Moreover, the salaries, the overhead costs (aka "habillage" costs) and the employer pension contribution of these 7 temporary agents as well as a share of the expenses of the Budget Title 3 are planned to be covered by the part of the subsidy that is financed by the EEA/EFTA contribution (excl. Switzerland) and the participation of candidate countries and/or third countries.

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | 2023 |
|----------------------------------------------------------------|----------------------------------|-------------------------|
| | Revenues estimated by the agency | Budget Forecast (*) |
| 1. EU contribution to the executive agency | 96 989 904 | 103 244 680 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 2 252 934 | 2 399 773 ⁵⁶ |
| 3. Participation of candidate countries and/or third countries | 1 304 817 | 2 128 575 |
| TOTAL | 100 547 655 | 107 773 028 |

(*) For the year 2023, the revenues to the REA subsidy from EFTA/EEA contributions (excl. Switzerland) and the participation of candidate countries and/or third countries are planned to finance the salaries, the overhead costs (aka "habillage" costs) and the employer pension contribution of the 19 contract agents and 7 temporary agents foreseen to cope with the additional workload coming from these two kinds of contributions, as well as part of the expenses of the Budget Title 3.

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 75 034 885 | 75 034 885 | 83 303 995 | 83 303 995 |
| Title 2 - Infrastructure and operating expenditure | 14 408 240 | 14 408 240 | 15 820 367 | 15 820 367 |
| Title 3 - Programme support expenditure | 11 104 530 | 11 104 530 | 8 648 665 | 8 648 665 |

⁵⁶ Based on EFTA rate as applicable in 2022 (given uncertainty on EFTA rates applicable in 2023 at the time of the preparation of the draft budget)

| Expenditure | 2022 | | 2023 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 100 547 655 | 100 547 655 | 107 773 028 | 107 773 028 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2023, the executive agency is in its 15th year for the 2007-2013 mandate – FP7, the 10th year for the 2014-2020 mandate – Horizon 2020 and the 3rd year for the 2021-2027 mandate – Horizon Europe, Promotion of Agricultural Products and Research Programme of the Research Fund for Coal and Steel. It manages the following programmes.

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------|
| The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Cooperation" Specific Programme / Security research 01 02 99 01 | | | X |
| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
| The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "People" Specific Programme 01 02 99 01 | | | X |
| The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Capacities" Specific Programme / Capacities - Research for the benefit of small and medium-sized enterprises (SMEs) 01 02 99 01 | | | X |
| Horizon 2020 "Excellent science – Marie Skłodowska-Curie actions – Generating new skills and innovation" 01 02 99 01 | | X | |
| Horizon 2020 "Societal challenges 2: Improving food security, developing sustainable agriculture and forestry, marine and maritime and inland water research, and the bioeconomy" 01 02 99 01 | | X | |
| Horizon 2020 "Societal challenges 6: Europe in a changing world – inclusive, innovative and reflective Societies" 01 02 99 01 | | X | |
| Horizon 2020 "Societal challenges 7: Secure societies – Protecting freedom and security of Europe and its citizens" 01 02 99 01 | | X | |

| | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------|
| Horizon 2020 "Spreading excellence and widening participation – Exploiting the potential of Europe's talent pool" 01 02 99 01 | | X | |
| Horizon 2020 "Science with and for society" 01 02 99 01 | | X | |
| Contracting and Paying of Expert Evaluators for all Horizon 2020, except the ERC programme 01 02 99 01 | | X | |
| Horizon 2020 "Societal challenges – Fostering secure societies" – Classified Projects 01 02 99 01 | | X | |
| Third parties' legal validation and the preparation of viability assessment, in response to the obligation of the Single Electronic Data Interchange Area – SEDIA (based on Article 95 (2) of the Financial Regulation of 2012 and on Article 114 (1) of the Financial Regulation of 2018) | | X | |
| Horizon Europe "Pillar I: 'Marie Skłodowska-Curie Actions (MSCA)'" 01 02 01 02 | | X | |
| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
| Horizon 2020 "Part I 'Excellent science', the specific objective: 'Research Infrastructures'" 01 02 99 01 | | X | |
| Horizon Europe "Pillar I: 'Research Infrastructures'" 01 02 01 03 | | X | |
| Horizon Europe "Pillar II: Cluster 2: 'Culture, creativity and inclusive society'" 01 02 02 20 | | X | |
| Horizon Europe "Pillar II: Cluster 3: 'Civil Security for Society'" 01 02 02 30 | | X | |
| Horizon 2020 "Part III 'Societal Challenges', the specific objective: 'Societal Challenge' 5: Climate action, Environment, resource efficiency and raw materials" 01 02 99 01 | | X | |
| Horizon Europe "Pillar II: Cluster 6: 'Food, Bioeconomy, Natural Resources, Agriculture and Environment'" 01 02 02 60 | | X | |
| Horizon Europe "Part 'Widening participation and strengthening the European Research Area': Widening Participation and Spreading Excellence" 01 02 04 01 | | X | |
| Horizon Europe "Part 'Widening participation and strengthening the European Research Area': Reforming and Enhancing the European R&I System" 01 02 04 02 | | X | |

| | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------|
| Contracting and Paying of Expert Evaluators for all Horizon Europe, except the ERC programme 01 02 05 | | X | |
| The legacy of Information provision and promotion measures concerning agricultural products under the multi annual financial framework 2014-2020 08 02 03 03 | | X | |
| Information provision and promotion measures concerning agricultural products, the new programme under the MFF 2021-2027 08 02 03 03 | | X | |
| The legacy of the Research Programme of the Research Fund for Coal and Steel. 01 20 03 01 and 01 20 03 02 | | X | |
| Research Programme of the Research Fund for Coal and Steel, the new programme under the MFF 2021-2027 01 20 03 01 and 01 20 03 02 | | X | |
| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
| Horizon Europe cross-cutting actions on “Cluster Health” 01 02 02 10, “Cluster Digital, Industry and Space” 01 02 02 40, “Cluster Climate, Energy and Mobility” 01 02 02 50 as well as on European Research Council, 01 02 01 01, European Innovation Council, 01 02 03 01, and European Innovation Ecosystems, 01 02 03 02, like Horizon Europe’s Mission “Soil, Health and Food”. | | X | |
| Research Pilot projects and Preparatory actions 01 20 01 and 01 20 02 | | | |

2.2 Operational appropriations managed

In C1 appropriations:

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|---------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of European Agricultural Guarantee Fund (EAGF) | | | | | | |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |

| | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------|---------------|---------------|---------------|---------------|---------------------------|---------------|
| Operational Budget | 0 | 7 680 124 174 | p.m. | 4 605 557 369 | p.m. | 3 147 625 008 |
| <i>Of which managed by the executive agency</i> | | 1 843 040 030 | p.m. | 638 153 125 | p.m. | 606 622 642 |
| European Agricultural Guarantee Fund (EAGF) | | | | | | |
| 08 02 03 03 - Promotion of agricultural products — Multi-programmes and actions implemented by the Commission under direct management | | | | | | |
| Operational Budget | 96 900 000 | 53 636 394 | 96 900 000 | 109 434 447 | 96 900 000 | 103 791 101 |
| <i>Of which managed by the executive agency</i> | 96 900 000 | 53 636 394 | 96 900 000 | 109 434 447 | 96 900 000 | 103 791 101 |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 01 02 - Marie Skłodowska-Curie Actions | | | | | | |
| Operational Budget | 796 620 896 | 218 110 | 847 934 717 | 373 700 613 | 861 364 917 | 602 437 939 |
| <i>Of which managed by the executive agency</i> | 796 280 346 | 60 000 | 847 934 717 | 373 700 613 | 860 428 057 | 601 787 939 |
| 01 02 01 03 - Research infrastructures | | | | | | |
| Operational Budget | 271 900 667 | 133 429 | 305 433 485 | 192 186 924 | 310 274 499 | 152 261 851 |
| <i>Of which managed by the executive agency</i> | 256 748 467 | 94 504 | 185 771 445 | 162 857 421 | 310 098 838 | 90 708 226 |
| 01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’ | | | | | | |
| Operational Budget | 168 097 344 | 217 627 | 258 071 012 | 113 149 231 | 262 177 510 | 217 653 889 |
| <i>Of which managed by the executive agency</i> | 160 216 079 | 102 000 | 257 636 381 | 103 835 268 | 263 373 621 ⁵⁷ | 206 694 351 |
| 01 02 02 30 - Cluster ‘Civil Security for Society’ | | | | | | |
| Operational Budget | 238 315 257 | 184 864 | 202 756 055 | 178 056 054 | 162 543 713 | 164 186 519 |
| <i>Of which managed by the executive agency</i> | 158 145 754 | 138 000 | 133 615 227 | 115 455 300 | 84 642 923 | 100 765 065 |
| 01 02 02 40 - Cluster ‘Digital, Industry and Space’ | | | | | | |
| Operational Budget | 1 284 451 797 | 41 886 423 | 1 272 161 905 | 1 133 029 778 | 1 048 145 474 | 991 309 467 |

⁵⁷ In Horizon Europe - Cluster 2, in addition to the C1 commitment appropriations there are C7 commitment appropriations to be included of EUR 15 462 324 in 2022 and of EUR 2 774 in 2023.

| | | | | | | | |
|-------------------------------------------------------------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|------------|
| <i>Of which managed by the executive agency</i> | 11 633 700.00 | | p.m. | p.m. | p.m. | 21 761 710 | 13 954 373 |
| 01 02 02 60 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' | | | | | | | |
| Operational Budget | 1 119 808 487 | 2 339 020 | 1 011 750 348 | 921 360 948 | 1 038 603 438 | 656 254 638 | |
| <i>Of which managed by the executive agency</i> | 1 004 290 603 | 1 620 000 | 876 549 233 | 835 115 841 | 1 002 336 862 | 574 907 109 | |
| 01 02 04 01 - Widening participation and spreading excellence | | | | | | | |
| Operational Budget | 365 988 545 | 82 666 659 | 379 744 528 | 241 934 541 | 381 359 275 | 250 577 864 | |
| <i>Of which managed by the executive agency</i> | 352 988 545 | 82 666 659 | 342 246 511 | 238 167 268 | 380 871 327 | 245 552 03 | |
| 01 02 04 02 - Reforming and enhancing the European R&I system | | | | | | | |
| Operational Budget | 78 922 160 | 1 576 170 | 83 177 114 | 91 764 076 | 49 836 459 | 52 697 830 | |
| <i>Of which managed by the executive agency</i> | 57 753 373 | 1 576 170 | 65 287 401 | 75 924 660 | 45 396 135 | 35 185 958 | |
| 01 02 05 - Horizontal operational activities | | | | | | | |
| Operational Budget | 115 251 410 | 28 791 996 | 161 663 030 | 147 117 092 | 165 118 749 | 117 784 243 | |
| <i>Of which managed by the executive agency</i> | 48 300 000 | 20 500 000 | 70 000 000 | 65 000 000 | 54 008 978 | 52 551 967 | |
| 20 01 00 - Research & Innovation - Pilot Project (PP) | | | | | | | |
| Operational Budget | | | | | | | |
| <i>Of which managed by the executive agency</i> | - | 364 482 | p.m. | p.m. | p.m. | p.m. | |
| TOTAL operational budget managed by the agency | 2 943 256 867 | 2 003 798 239 | 2 877 652 142 | 2 718 445 436 | 3 122 592 461 | 2 632 520 734 | |

For the year 2021, in addition to the execution in C1 appropriations shown in the above table, REA implemented C4 and C5 funds amounting to EUR 11 702 700 in commitment appropriations and EUR 15 864 418 in payment appropriations.

In E0 and R0 appropriations:

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of European Agricultural Guarantee Fund (EAGF) | | | | | | |
| | | | | | | |

| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------|-----------------|--------------|------------|---------------|
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 40 887 481 | 238 437 004 | 523 494 898.27* | 539 899 375* | p.m.** | 12 799 738 ** |
| European Agricultural Guarantee Fund (EAGF) | | | | | | |
| 08 02 03 03 - Promotion of agricultural products — Multi-programmes and actions implemented by the Commission under direct management | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | p.m. | p.m. | p.m. | p.m. |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 01 02 - Marie Skłodowska-Curie Actions | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 20 490 982 | 0 | 26 199 346 | 14 485 763 | 21 252 573 | 14 864 162 |
| 01 02 01 03 - Research infrastructures | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 7 232 111 | 124 896 | 10 608 812 | 7 811 622 | 7 659 441 | 2 240 493 |
| 01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’ | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 8 437 463 | 0 | 9 337 919 | 5 758 351 | 6 573 846 | 5 105 350 |
| 01 02 02 30 - Cluster ‘Civil Security for Society’ | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | 9 631 082 | 9 020 992 | 2 090 680 | 2 488 897 |
| 01 02 02 40 - Cluster ‘Digital, Industry and Space’ | | | | | | |
| Operational Budget | | | | | | |

| | | | | | | |
|------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|-------------------|-------------------|
| <i>Of which managed by the executive agency</i> | 0 | 0 | p.m. | p.m. | 537 514 | 344 673 |
| 01 02 02 43 - Cluster 'Digital, Industry and Space' — Smart Networks and Services Joint Undertaking | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | 400 000.00 | 400000 | p.m. | p.m. |
| 01 02 02 60 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment' | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 30 133 797 | 0 | 36 777 060 | 34 544 441 | 24 757 721 | 14 200 206 |
| 01 02 04 01 - Widening participation and spreading excellence | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 9 501 962 | 9 170 341 | 13 786 533 | 10 382 626 | 9 407 522 | 6 065 134 |
| 01 02 04 02 - Reforming and enhancing the European R&I system | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 1 205 352 | 23 830 | 4 427 872.03 | 4 639 970.03 | 1 121 285 | 869 093 |
| 01 02 05 - Horizontal operational activities | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 6 700 090 | 2 515 450 | 10 512 995 | 6 519 918 | 1 334 022 | 1 298 034 |
| 20 01 00 - Research & Innovation - Pilot Project (PP) | | | | | | |
| Operational Budget | | | | | | |
| <i>Of which managed by the executive agency</i> | 0 | 0 | p.m. | p.m. | p.m. | p.m. |
| TOTAL operational budget managed by the agency | 124 589 238 | 250 271 521 | 645 176 517 | 633 463 058 | 74 734 604 | 60 275 780 |

*The commitment and payment appropriations of the year 2022 for budget line 01 02 99 01 include the R0 credits that are on the budget line for covering the RAL existing at 31.12.2022, amounting to EUR 523 494 898.

** The commitment and payment appropriations of the year 2023 for budget line 01 02 99 01 will also include the R0 credits that will be carried over and carried forward on the budget line for covering the future RAL as at 31.12.2022.

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L50, 15.2.2021, p.9)

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|
| Temporary Agents (Officials seconded by the Commission) | 55 | 55 | 55 | 55 | 55 | 55 | 55 |
| Temporary Agents (recruited by the agency) | 157 | 160 | 163 | 167 | 170 | 173 | 176 |
| Executive Agency's total Establishment Plan Posts | 212 | 215 | 218 | 222 | 225 | 228 | 231 |
| Contract Agents (*) | 636 | 645 | 655 | 665 | 674 | 684 | 694 |
| Seconded National Experts (SNE) | - | - | - | - | - | - | - |
| Total staff of the Executive Agency | 848 | 860 | 873 | 886 | 899 | 912 | 925 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | 2022 | 2023 |
|--------------------------|-------------------|----------------------------------|-------------------|--------------------------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request ^[58] |
| AD 16 | | | | |
| AD 15 | | | | 1 |
| AD 14 | 15 | 10 | 16 | 15 |
| AD 13 | 14 | 6 | 15 | 15 |
| AD 12 | 30 | 8 | 31 | 38 |
| AD 11 | 30 | 10 | 30 | 30 |
| AD 10 | 32 | 21 | 35 | 35 |
| AD 9 | 44 | 37 | 34 | 35 |
| AD 8 | 26 | 23 | 28 | 31 |
| AD 7 | 7 | 22 | 9 | 8 |
| AD 6 | 4 | 42 | 7 | 7 |
| AD 5 | | 6 | | |
| AD TOTAL | 202 | 185 | 205 | 215 |
| AST 11 | | | | 1 |
| AST 10 | 1 | 1 | 1 | 1 |
| AST 9 | 3 | 2 | 4 | 4 |
| AST 8 | 4 | 3 | 4 | 4 |
| AST 7 | 2 | 3 | 1 | |
| AST 6 | | 5 | | |
| AST 5 | | 1 | | |
| AST 4 | | | | |
| AST 3 | | | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 10 | 15 | 10 | 10 |
| AST/SC 6 | | | | |

^[58] The establishment plan posts for the year 2023 include 7 additional temporary agents that will be financed with contributions paid by (potential) candidate and third countries. This number of posts is based on the same methodology of calculation mentioned in the Section 3.2.3 'Contract Agents financed from external revenues'. Moreover, the salaries, the overhead costs (aka "habillage" costs) and the employer pension contribution of these 7 temporary agents as well as a share of the expenses of the Budget Title 3 are planned to be covered by the part of the subsidy that is financed by the EEA/EFIA contribution (excl. Switzerland) and the participation of candidate countries and/or third countries.

| Function group and grade | 2021 | | 2022 | 2023 |
|------------------------------------------------------|-------------------|----------------------------------|-------------------|---------------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request [58] |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 212 | 200 | 215 | 225 |
| Of which Officials seconded by the commission | 55 | 46 | 55 | 55 |

The establishment plan accepts the following ‘ad personam’ appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.

The delegation of the 2021-2027 programmes to EAs will increase the number of positions of responsibility in the agencies. These positions are as a general rule occupied by Commission officials seconded in the interest of the service. The staff establishment plans of the agencies have been revised to reflect the current grade structure of the seconded officials. The Commission staff that will be seconded on a post of responsibility in the agencies is unknown at this stage. Therefore, the grade structure of the additional seconded officials is based on the average grade structure of the existing middle and senior management at the Commission.

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 286 | 270 | 303 | 316 |
| Function Group III | 255 | 269 | 258 | 261 |
| Function Group II | 92 | 83 | 81 | 70 |
| Function Group I | 3 | 7 | 3 | 2 |
| TOTAL | 636 | 629 | 645 | 649 |

For the year 2023, the number of contract agents provided by REA’s Specific Financial Statement (SFS) is decreased by 6 contract agents (i.e., a total of 649, instead of 655 contract agents for 2023), to incorporate the structural reduction of the amounts provided in the SFS of the Agency regarding Horizon Europe’s Mission “Soil Health and Food”. This reduction is nevertheless partially compensated by a structural increase of the budget to be managed by REA related to the Horizon Europe’s “European Social Innovation Catalyst fund”.

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2021 | Recruited as of 31/12/2021 | Foreseen in 2022 | Foreseen 2023 |
|---------------------------------------------------------------------------|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from participation of candidate countries and/or third countries | 37 | 37 | 37 | 19 |

The actual number of contract agent of the Agency for 2022 and 2023 will be based on the effectively signed association agreements, their terms of participation, the distribution of the additional budget across the Horizon Europe programme parts and the final approach taken for the calculation of the additional contract agents. The number of contract agents foreseen for REA for 2022 (37) is based on the previously authorised additional contract agents to cope with the additional workload coming from third country contributions (i.e. to cope with the workload coming from the appropriations accruing from contributions from non-European Economic Area third parties to research and technological development as well as from appropriations accruing from contributions from the European Free Trade Agreement / European Economic Area (EFTA/EEA) that are co-delegated to the Agency). This number can vary based on the above-mentioned calculation methodology. Based on the new methodology that will be put in place, this number could vary for 2022.

For the year 2023, the number of contract agents foreseen for REA (19) is based on the same methodology of calculation mentioned in the above paragraph and, therefore, it can vary.

For the year 2023, in addition to the number of temporary agents provided by REA's Specific Financial Statement (SFS), the establishment plan provides 7 additional temporary agents that will be financed with contributions paid by (potential) candidate and third countries. Therefore, in 2023 there will probably be a total of 26 posts financed with contributions paid by (potential) candidate and third countries, composed of 19 contract agents and 7 temporary agents, in line with the staff ratio of 25% temporary agents to 75% contract agents. These numbers can still vary using the methodology above, but not for the temporary agents that can be modified in the next Draft Budget

Moreover, the salaries, the overhead costs (aka "habillage" costs) and the employer pension contribution of these 19 contract agents and 7 temporary agents as well as part of the expenses of the Budget Title 3 are planned to be covered by the part of the subsidy that is financed by the EEA/EFTA contribution (excl. Switzerland) and the participation of candidate countries and/or third countries.

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.2.5 Use of interim staff by the agency

| Interim agency staff ('intérimaires') | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------------------|----------------------------|---------------|----------------------------|
| Total (FTE) | 9 | 12 | 12 |
| Total (appropriations) | 565 000 | 693 857 | 707 042 |

The Agency has limited recourse to interim agency staff, mainly to compensate for the impact of long-term sick leave, maternity leave or short term peak workloads.

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|---------------------------------------------|--------------------------------------------------|-------------------------|---------------------------|---------------------------|
| Heading 7 | 40 | 14 | 10 | 3 |
| Horizon Europe Programme * | 146 | 81 | | 10 |
| Targeted reductions in other areas * | 180 | 10 | 26 | 28 |
| Total** | 366 | 105 | 36 | 41 |

*these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

**the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|--------------|---------------------------------------------------|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---------------------------------------------------------------------------------------------------------------|---------|---------|
| | By end 2021 | In 2022 | In 2023 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2022 | Number of posts frozen in 2023 |
|-----------------|-------------------------------------------|--------------------------------|--------------------------------|
| DG RTD | 41 | 41 | 41 |
| DG AGRI | 4 | 4 | 4 |
| DG EAC | 6 | 6 | 6 |
| DG CNECT | 2 | 2 | 2 |
| DG HOME | 2 | 2 | 2 |
| Total | 55 | 55 | 55 |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution to the agency as foreseen in the Specific financial statement accompanying the Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L50, 15.2.2021, p.9) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|--------|---------|---------|---------|---------|---------|
| | 93.346 | 96.989 | 100.300 | 103.663 | 107.160 | 110.762 | 114.403 |
| Agency contribution as voted by the budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 93.346 | 96.989 | | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 91.817 | | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27) | 93.346 | 96.989 | | | | | |
| Budget after transfers and / or amending budgets(EUR-27) | 91.817 | | | | | | |

4.2 Revenues

| REVENUES | | 2021 | 2022 | 2023 | VAR 2023/2022 (%) |
|----------------------------------------------------|---------------------------------------|----------------------|-------------------|-------------------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget ⁵⁹ | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 72 | HORIZONEU | 54 406 325,25 | 91 211 904 | 97 156 810 | 6,5% |
| 08 01 01 72 | EAGF | 2 330 335,35 | 3 684 000 | 3 943 870 | 7,1% |
| 20 03 14 72 | Coal and steel and non-research SEDIA | 1 638 663,00 | 2 094 000 | 2 144 000 | 2,4% |
| 01 01 01 62 | H2020 completion | 25 500 000,00 | | | |
| 20 03 14 62 | Non-research prog. | 2 075 000,00 | | | |
| Sub Total | | 85 950 323,60 | 96 989 904 | 103 244 680 | 6,5% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 72 | HORIZONEU | 529 075,00 | 2 252 934 | 2 399 773 | 6,5% |
| 01 01 01 62 | H2020 completion | 1 960 817,00 | | | |
| Sub Total | | 2 489 892,00 | 2 252 934 | 2 399 773 | 6,5% |

⁵⁹ Based on the draft administrative budget as submitted by REA. The main change included by the Commission services compared to the budget submitted includes a different assumption on inflation, which mainly impacts the expected salary update in 2022 and 2023 (updated inflation parameter)

| 3. Participation of candidate countries and/or third countries | | | | | |
|----------------------------------------------------------------|------------------|----------------------|--------------------|--------------------|--------------|
| Budget Line | Programme | | | | |
| 01 01 01 72 | HORIZONEU | - | 1 304 817 | 2 128 575 | 63,1% |
| 01 01 01 62 | H2020 completion | - | | | |
| Sub Total | | - | 1 304 817 | 2 128 575 | 63,1% |
| TOTAL REVENUES | | 88 440 215,60 | 100 547 655 | 107 773 028 | 7,2% |

The EFTA/EEA of 2022 is 2.47% for Horizon Europe and 2.11% for Horizon 2020.

As the EFTA/EEA contribution for 2023 is not yet known, the percentage of 2022 for Horizon Europe is used as proxy for the Draft Budget 2023, waiting for the final rate by April or May 2022.

(*) The overall contribution requested from “Horizon Europe” framework-programme to REA’s Subsidy for the Draft Budget 2023 (EUR 94 327 000) includes contributions from non-Horizon Europe programmes to the Common administrative and logistical support service (that amounts to EUR 6 647 000 in 2023).

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|-----------------------------------------------------------|----------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 67 557 469 | 75 034 885 | 83 303 995 | 11,0% |
| Remunerations, Allowances and Charges | 64 616 148 | 71 533 350 | 79 437 895 | 11,1% |
| - <i>Of which establishment plan posts</i> | <i>24 523 017</i> | <i>28 591 175</i> | <i>31 028 529</i> | <i>8,5%</i> |
| - <i>Of which external personnel</i> | <i>40 093 131</i> | <i>42 942 175</i> | <i>48 409 367</i> | <i>12,7%</i> |
| Professional Development and Social expenditure | 2 941 321 | 3 501 535 | 3 866 100 | 10,4% |
| Title 2 - Infrastructure and operating expenditure | 13 849 732 | 14 408 240 | 15 820 367 | 9,8% |
| Building expenditure | 9 349 177 | 8 955 140 | 10 010 910 | 11,8% |
| ICT expenditure | 4 122 384 | 4 881 745 | 5 168 906 | 5,9% |
| Movable Property and Current Operating expenditure | 378 171 | 571 355 | 640 552 | 12,1% |
| Title 3 - Programme support expenditure | 6 959 587 | 11 104 530 | 8 648 665 | -21,5% |
| Programme Management expenditure | 1 284 437 | 2 132 635 | 2 231 904 | 4,7% |
| Common Support Services expenditure | 5 675 151 | 8 971 895 | 6 416 761 | -28,5% |
| TOTAL | 88 366 788 | 100 547 655 | 107 773 028 | 7,2% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|----------------------------------------------|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 67 174 101 | 75 034 885 | 83 303 995 | 11,0% |
| Remunerations, Allowances and Charges | 64 413 715 | 71 533 350 | 79 437 895 | 11,1% |

| | | | | |
|-----------------------------------------------------------|-------------------|--------------------|--------------------|---------------|
| - Of which establishment plan posts | 24 523 017 | 28 591 175 | 31 028 529 | 8,5% |
| - Of which external personnel | 39 890 698 | 42 942 175 | 48 409 367 | 12,7% |
| Professional Development and Social expenditure | 2 760 386 | 3 501 535 | 3 866 100 | 10,4% |
| Title 2 - Infrastructure and operating expenditure | 10 883 966 | 14 408 240 | 15 820 367 | 9,8% |
| Building expenditure | 6 974 148 | 8 955 140 | 10 010 910 | 11,8% |
| ICT expenditure | 3 690 611 | 4 881 745 | 5 168 906 | 5,9% |
| Movable Property and Current Operating expenditure | 219 207 | 571 355 | 640 552 | 12,1% |
| Title 3 - Programme support expenditure | 2 253 636 | 11 104 530 | 8 648 665 | -21,5% |
| Programme Management expenditure | 541 788 | 2 132 635 | 2 231 904 | 4,7% |
| Common Support Services expenditure | 1 711 848 | 8 971 895 | 6 416 761 | -28,5% |
| TOTAL | 80 311 703 | 100 547 655 | 107 773 028 | 7,2% |

4.4 Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as general revenue): EUR 409 027,69.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2023 to be financed by the EU General Budget is 867. This represents an increase of 7 staff (0,8%) compared to the 2022 authorised staff (to be funded by EU General Budget), but 6 contract agents less than in REA's Specific Financial Statement (SFS).

Indeed, for the year 2023, the number of contract agents provided by REA's Specific Financial Statement (SFS) is decreased by 6 contract agents (i.e., a total of 649, instead of 655 contract agents for 2023) to incorporate the structural reduction of the amounts provided in the SFS of the Agency regarding Horizon Europe's Mission "Soil Health and Food". This reduction is nevertheless partially compensated by a structural increase of the budget to be managed by REA related to the Horizon Europe's "European Social Innovation Catalyst fund".

For the year 2023, in addition to the staff provided by REA's Specific Financial Statement (SFS), the establishment plan includes 7 temporary agents posts and the staffing plan includes 19 contract agents that will be financed with contributions paid by (potential) candidate and third countries.

These additional numbers of posts are based on the same methodology of calculation mentioned in the Section 3.2.3 'Contract Agents financed from external revenues' and, therefore, they can vary. For the contract agents any variation can be considered in 2023 while for the temporary agents any variation will be considered in the following draft budget, only (i.e., the draft budget of 2024).

Therefore, the overall total number of staff requested by the Agency for 2023 is 893. This represents a decrease of 4 staff (-0,4%) compared to the 2022 authorised staff.

This variation is due to the following modifications between the voted budget 2022 and the draft budget 2023:

- Temporary agents to be financed by the EU General Budget, as also provided by the SFS: +3, to reach 218.
- Contract agents to be financed by the EU General Budget, as also provided by the SFS: +10, to reach 655 (as in REA's SFS).
- Contract agents to incorporate the structural reduction of the amounts provided in the SFS of the Agency regarding Horizon Europe's Mission "Soil Health and Food" and to include the structural increase of the budget to be managed by REA related to the Horizon Europe's "European Social Innovation Catalyst fund": -6, to finally reach 649 (lower than REA's SFS).

- Temporary agents to be financed by the EFTA/EEA and the Third Countries contributions to cope with the additional workload provided by these contributions, on top of the SFS: +7, to reach 7.
- Contract agents to be financed by the EFTA/EEA and the Third Countries contributions to cope with the additional workload provided by these contributions, on top of the SFS: –18, to reach 19.

The salaries, the overhead costs (aka “habillage” costs) and the employer pension contribution of the 7 temporary agents and the 19 contract agents as well as a share of the expenses of the Budget Title 3 are planned to be covered by the part of the subsidy that is financed by the EEA/EFTA contribution (excl. Switzerland) and the participation of candidate countries and/or third countries.

5.1.2 Number of contract agents financed from the participation of candidate countries and/or third countries

The expected association of the UK and of any other Associated Country to Horizon Europe will further increase the operational budget to be managed by the Agency, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission’s services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 and 2023 will be based on the effectively signed association agreements, their terms of participation, the distribution of additional budget across the various Horizon Europe programme parts and the final approach taken for the calculation of the additional contract agents.

The number of contract agents for REA is estimated to be 37 for 2022 and 19 for 2023. The decrease in the number of contract agents (-18) in the year 2023, compared with the year 2022, is partly compensated by the increase of 7 temporary agents posts that will be financed with contributions paid by (potential) candidate and third countries.

In total, there will be 26 posts financed with contributions paid by (potential) candidate and third countries, composed of 19 contract agents and 7 temporary agents, corresponding to the staff ratio of 25% temporary agents to 75% contract agents.

For the year 2023, the salaries, the overhead costs (aka “habillage” costs) and the employer pension contribution of the 7 temporary agents and the 19 contract agents requested to cope with the additional workload coming from third country contributions (including the EFTA/EEA contributions) as well as a share of the expenses of the Budget Title 3 are planned to be financed by the revenues from EEA/EFTA contribution (excl. Switzerland) and the participation of candidate countries and/or third countries.

5.1.3 Salary assumption for calculating salary lines (% applied)

The Agency's budget for 2023 covers a full year salary for temporary and contract staff (including those financed by Third Country contributions) that will be in place at 31.12.2022 and the staff that is expected to be authorised for 2023 (i.e., 893 staff in total of which, 867 to be funded by the EU General Budget (*6 less than the staff provided by the SFS*) and 26 to be funded by the Associated Countries) minus expected vacancies at year-end.

An average vacancy rate of 1.8% in Full Time Equivalent (FTE) on the entire staff to be authorised for REA for 2023 has been taken into account (see below – ‘*abatement forfaitaire*’).

This vacancy rate has been based on the fact that the agency will decrease staff from 2022 to 2023 while the staff will be already in place. The decrease of the staff will therefore be operated during the 12 months of 2023 using the turnover of the agency that seems to be very low.

5.1.4 Vacancy rate as of end 2021

On 31 December 2021, the vacancy rate of REA was 2.1% of the total authorised staff under the 2021 Budget (both temporary and contract staff), in head count.

5.1.5 Standard abatement('abatement forfaitaire')

The occupation rate throughout 2023 is estimated at 98.2% (see 5.1.3), meaning that the expected vacancy rate for 2023 would be 1.8% in Full Time Equivalent (FTE). This estimate accommodates a turnover rate of staff.

5.2 Financial Resources

5.2.1 Title 1

The increase in the budget for Title 1 by + 11% is due to mainly to the annual increase in salary expenditure and related expenditure (next to an 0.71% increase of the FTEs between 2022 and 2023).

The Chapter 11 also includes, for the first time, in the year 2023, indirect costs for the 26 posts financed with with contributions paid by (potential) candidate and third countries (composed of 19 contract agents and 7 temporary agents), which accounts for an increase of +0.5% (+ EUR 371 000).

5.2.2 Title 2

The increase in the budget for Title 2 by + 9.8% is essentially due to:

Chapter 21 (+ 11.8%), which is mainly due to the increase of the REA usufruct instalment (rent) and to the works foreseen to convert two floors of the REA premises into dynamic collaborative working space.

Chapter 22 (+ 5.9%), which is partially due to the increase of the Memorandum of Understanding (MoU) with DIGIT.

5.2.3 Title 3

Title 3 is decreased by – 21.5%, which is mainly due to savings of -66.7% on the budget for translations supporting multilingual communication by the REA Validation Service (- EUR 1 000 000). In addition to this, there is a reduction of for the usufruct of the COVE facilities due to a discount negotiated between OIB and the building owner from 2022 onward.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA (in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|-----------------------------------|------------|---------------|------------------|--------------------------|---------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Covent Garden | Brussels | 15 890 | 699 | 16 589 | 3 955 632 | 24.09.2008 to 23.09.2023 | Usufruct convention | No | |
| TOTAL | | | 15 890 | 699 | 16 589 | 3 955 632 | | | | |

6.1.2 Current Building(s) Other comments

As of 2014, the European Commission's Office for Infrastructure and Logistics (OIB) provides REA with additional office space, paid back by the Agency via the Service Level Agreement (SLA). This additional space, not covered by the usufruct contract referred to above, accommodates staff increases resulting from REA's extended mandate for the periods 2014-2020 and 2021-2027. As such, the Agency's needs for office space become an integral part of the Commission's middle and long-term real estate planning.

Additional floors rented out to REA, which are under the European Commission's Usufruct contract, are paid by REA via its Service Level Agreement (SLA) with OIB. In 2019, OIB has made available one floor in COV2 previously occupied by DG RTD and redistributed the space among the executive agencies. In 2021, further exchanges of space in the building

have been agreed to house the executive agencies EISMEA, ERCEA, REA and HaDEA. As from 2022, the agencies started desk-sharing, meaning a distribution of eight desks for 10 staff in order to accommodate staff from HaDEA and the growth of the agencies over 2022-2024 period.

6.2 Evaluation

In accordance with the requirements of the Council Regulation (EC) No 58/2003, the Commission carried out the evaluation of the agency's performance over the period July 2015-July 2018, undertaken by an external consultant contracted by DG RTD in its capacity as lead parent Directorate-General of the Agency. The main objective of the study was to assess the effectiveness, efficiency, economy, and coherence of the implementation of parts of European Union programmes by the Agency. It covered the H2020 actions and projects funded during the reference period, the remaining FP7 actions delegated to the Agency under its first mandate, as well as administrative and logistical support services provided by REA to other Commission services. The results were presented to the REA Steering Committee of 18 February 2019 and were forwarded to the European Parliament and the Council of the European Union.

Overall, the assessment was positive and confirmed that the delegation of the programmes to the Agency was justified in terms of cost-savings and value added. The evaluation resulted in an action plan presented to the REA Steering Committee in October 2020. All recommendations have been implemented.

As regards the period from July 2018 to 1 April 2021 (start of the new mandate of all the executive agencies), a new evaluation exercise will be launched soon by the Commission.

2.6 European Research Council Executive Agency (“ERCEA”)

1. Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|----------------------------------------------------------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Commission decision 2008/37EC of 14/12/2007 | OJ L9 of 12/01/2008 | Creation of the agency managing the Ideas Specific Programme in the framework of the 7th Framework Programme for research |
| Commission implementing decision C(2013)9048 of 17/12/2013 | OJ L346 of 20/12/2013 | Establishing the European Research Council Executive Agency and repealing Decision 2008/37/EC |
| Commission Implementing Decision (EU) C/2021/953 of 12/02/2021 | OJ L 50/9 of 15/02/2021 | Establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU - BUDG - adoptée: 12/02/2021 10:04:39 |
| Commission decision C/2021/950 of 12/02/21 | | COMMISSION DECISION delegating powers to the European Research Council Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of frontier research comprising, in particular, implementation of appropriations entered in the general budget of the Union - BUDG - adoptée: 12/02/2021 10:04:45 |

1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 71 : European Research Council Executive Agency — Contribution from Horizon Europe

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 20 | 17 | 85,00% | 20 | 20 |
| Temporary Agents (recruited by the executive agency) | 112 | 109 | 97,32% | 111 | 117 |
| Total Establishment plan posts | 132 | 126 | 95,45% | 131 | 137 |
| Contract Agents | 374 | 358 | 95,72% | 370 | 366 |
| Seconded National Experts | 16 | 16 | 100,00% | 16 | 16 |
| TOTAL STAFF | 522 | 500 | 95,79% | 517 | 519 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | 2023 |
|----------------------------------------------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 54 792 000 | 58 383 160 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 1 353 362 | 1 442 064 |
| 3. Participation of candidate countries and/or third countries | 1 284 912 | 2 503 325 |
| TOTAL | 57 430 274 | 62 328 550 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 46 305 169 | 46 305 169 | 51 699 068 | 51 699 068 |
| Title 2 - Infrastructure and operating expenditure | 9 298 605 | 9 298 605 | 9 238 570 | 9 238 570 |
| Title 3 - Programme support expenditure | 1 826 500 | 1 826 500 | 1 390 912 | 1 390 912 |
| TOTAL EXPENDITURE | 57 430 274 | 57 430 274 | 62 328 550 | 62 328 550 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2023, the executive agency is in the 16th year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|------------------------------|-------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------|
| FP7-IDEAS Specific Programme | | | X |
| H2020-Excellent Science | | X | |
| Horizon Europe | X | | |

2.2 Operational appropriations managed

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|---------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 7 680 124 174 | p.m. | 4 605 557 369 | p.m. | 3 147 625 008 |
| <i>Of which managed by the executive agency (1)</i> | | 1 638 618 854 | | 1 170 498 496 | | |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-----------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 01 01 - European Research Council | | | | | | |
| Operational Budget | 1 847 150 310 | 5 727 602 | 2 084 994 377 | 747 922 579 | 2 119 417 086 | 1 494 155 883 |
| <i>Of which managed by the executive agency (1)</i> | 1 847 122 410 | 5 711 177 | 2 084 994 377 | 741 201 507 | | |

(1) C1 only

3 Human Resources

3.1 Staffing when created extended

Source:

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|----------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|
| Temporary Agents (Officials seconded by the Commission) | 20 | 20 | 20 | 20 | 20 | 20 | 20 |
| Temporary Agents (recruited by the agency) | 112 | 111 | 109 | 108 | 107 | 107 | 104 |
| Executive Agency's total Establishment Plan Posts | 132 | 131 | 129 | 128 | 127 | 127 | 124 |
| Contract Agents (*) | 374 | 370 | 366 | 377 | 372 | 366 | 364 |
| Seconded National Experts (SNE) | 16 | 16 | 16 | | | | |
| Total staff of the Executive Agency | 522 | 517 | 511 | 505 | 499 | 493 | 488 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | 2022 | 2023 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | | | | |
| AD 14 | 11 | 9 | 13 | 12 |
| AD 13 | 6 | 6 | 4 | 2 |
| AD 12 | | | 2 | 2 |
| AD 11 | 18 | 6 | 30 | 30 |
| AD 10 | 41 | 41 | 36 | 33 |
| AD 9 | 18 | 25 | 9 | 8 |
| AD 8 | 15 | 12 | 14 | 16 |
| AD 7 | 14 | 13 | 23 | 22 (2) |
| AD 6 | 9 | 14 | | 12 (1) |
| AD 5 | | | | |

| Function group and grade | 2021 | | 2022 | 2023 |
|------------------------------------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD TOTAL | 132 | 126 | 131 | 137 |
| AST 11 | | | | |
| AST 10 | | | | |
| AST 9 | | | | |
| AST 8 | | | | |
| AST 7 | | | | |
| AST 6 | | | | |
| AST 5 | | | | |
| AST 4 | | | | |
| AST 3 | | | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | | | | |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 132 | 126 | 131 | 137 |
| Of which Officials seconded by the commission | 20 | 17 | 20 | 20 |

(1) Out of the 12 AD6 TA posts, 4 are to be funded by R0 credits

(2) Out of the 22 AD7 TA posts, 4 are to be funded by R0 credits

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 150 | 140 | 151 | 160 |
| Function Group III | 149 | 139 | 147 | 146 |
| Function Group II | 73 | 77 | 70 | 60 |
| Function Group I | 2 | 2 | 2 | |
| TOTAL | 374 | 358 | 370 | 366 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2021 | Recruited as of 31/12/2021 | Foreseen in 2022 | Foreseen 2023 |
|------------------------------------------------|------------------|----------------------------|------------------|---------------|
|------------------------------------------------|------------------|----------------------------|------------------|---------------|

| | | | | |
|----------------------------------------------------------------------------------|---|---|-----------------|------------------|
| Financed from EDF | | | | |
| Financed from participation of candidate countries and/or third countries | 9 | 0 | 9 ⁶⁰ | 23 ⁶¹ |

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|----------------------------------|------------------------|-----------------------------------|----------------------|-----------------------------------|
| TOTAL | 16 | 16 | 16 | 16 |

3.2.5 Use of interim staff by the agency

Please insert in the table below the number of interim agency staff (FTE and corresponding appropriations) for each of the years, with a brief explanation of:

- the policy in place in the executive agency in relation to hiring interim staff, e.g. to temporarily replace temporary agents, contract agents and seconded national experts on long-term sick leave, maternity leave etc., as well as
- any major changes from year to year in the number of interim staff and the interim staff hiring policy.

| Interim agency staff ('intérimaires') | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|----------------------------------------------|-----------------------------------|----------------------|-----------------------------------|
| Total (FTE) | 15 | 35 | 15 |
| Total (appropriations) | 651.500 | 1.000.000 | 640.000 |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|---------------------------------------------|---------------------------------------------------------|--------------------------------|----------------------------------|----------------------------------|
| Horizon Europe Programme * | 146 | 81 | | 10 |
| Targeted reductions in other areas * | 180 | 10 | 26 | 28 |
| Total | 326 | 91 | 26 | 38 |

*these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

⁶⁰ In 2022, the 9 CA posts could go up to 23 posts, if necessary, and providing that the Steering Committee approves the modification of the administrative budget.

⁶¹ For ERCEA, a total of 23 FTE contract agents financed by E0/R0 credits are currently estimated.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|--------------|---------------------------------------------------|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---------------------------------------------------------------------------------------------------------------|---------|---------|
| | By end 2021 | In 2022 | In 2023 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2021 | Number of posts frozen in 2022 |
|---------------|-------------------------------------------|--------------------------------|--------------------------------|
| DG RTD | 20 | 20 | 20 |
| Total | 20 | 20 | 20 |

4 Financial Resources

4.1 Indicative contribution table

| | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|--------|--------|--------|--------|--------|--------|
| Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the delegation package adopted on 12 February 2021) | 54,217 | 54,792 | 55,302 | 55,873 | 56,446 | 57,098 | 57,536 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 51,319 | 54,792 | 58,383 | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 54,217 | | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget: financed from EU-27 and other revenues (EFTA, 3rd country contributions) | 57,017 | 57,430 | | | | | |
| Budget after transfers and / or amending budgets: financed from EU-27 and other revenues (EFTA, 3rd country contributions) | | | | | | | |

4.2 Revenues

| REVENUES | | 2021 | 2022 | 2023 | VAR 2023/2022 (%) |
|-----------------------------------------------------------------------|------------------|--------------------|-------------------|-------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 71 | HORIZONEU | 53 968 422 | 54 792 000 | 58 383 160 | 6,6% |
| Sub Total | | 53 968 422 | 54 792 000 | 58 383 160 | 6,6% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 71 | HORIZONEU | 1 193 475 | 1 353 362 | 1 442 064 | 6,6% |
| Sub Total | | 1 193 475 | 1 353 362 | 1 442 064 | 6,6% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 71 | HORIZONEU | | 1 284 912 | 2 503 325 | 94,8% |
| Sub Total | | | 1 284 912 | 2 503 325 | 94,8% |
| TOTAL REVENUES | | | 57 430 274 | 62 328 550 | 8,5% |

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|-----------------------------------------------------------|-------------------------|-------------------|-------------------|----------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 43 689 175 | 46 305 169 | 51 699 068 | 11,6% |
| Remunerations, Allowances and Charges | 41 706 286 | 44 253 545 | 49 594 500 | 12,1% |
| - <i>Of which establishment plan posts</i> | <i>17 918 080</i> | <i>19 000 000</i> | <i>21 630 000</i> | <i>13,8%</i> |
| - <i>Of which external personnel</i> | <i>23 788 206</i> | <i>25 253 545</i> | <i>27 964 500</i> | <i>10,7%</i> |
| Professional Development and Social expenditure | 1 982 889 | 2 051 624 | 2 104 568 | 2,6% |
| Title 2 - Infrastructure and operating expenditure | 10 301 884 | 9 298 605 | 9 238 570 | -0,6% |
| Building expenditure | 7 097 804 | 6 314 045 | 6 548 673 | 3,7% |
| ICT expenditure | 2 981 240 | 2 744 800 | 2 409 479 | -12,2% |
| Movable Property and Current Operating expenditure | 222 840 | 239 760 | 280 418 | 17,0% |
| Title 3 - Programme support expenditure | 1 419 417 | 1 826 500 | 1 390 912 | -23,8% |
| Programme Management expenditure | 1 419 417 | 1 826 500 | 1 390 912 | -23,8% |
| Common Support Services expenditure | | | | |
| TOTAL | 55 410 476 | 57 430 274 | 62 328 550 | 8,5% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|-----------------------------------------------------------|----------------------|-------------------|---------------------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 ⁶² | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 43 132 282 | 46 305 169 | 51 699 068 | 11,6% |
| Remunerations, Allowances and Charges | 41 474 854 | 44 253 545 | 49 594 500 | 12,1% |
| - <i>Of which establishment plan posts</i> | <i>17 918 080</i> | <i>19 000 000</i> | <i>21 630 000</i> | <i>13,8%</i> |
| - <i>Of which external personnel</i> | <i>23 556 774</i> | <i>25 253 545</i> | <i>27 964 500</i> | <i>10,7%</i> |
| Professional Development and Social expenditure | 1 657 428 | 2 051 624 | 2 104 568 | 2,6% |
| Title 2 - Infrastructure and operating expenditure | 8 621 637 | 9 298 605 | 9 238 570 | -0,6% |
| Building expenditure | 5 918 426 | 6 314 045 | 6 548 673 | 3,7% |
| ICT expenditure | 2 588 827 | 2 744 800 | 2 409 479 | -12,2% |
| Movable Property and Current Operating expenditure | 114 384 | 239 760 | 280 418 | 17,0% |
| Title 3 - Programme support expenditure | 806 983 | 1 826 500 | 1 390 912 | -23,8% |
| Programme Management expenditure | 806 983 | 1 826 500 | 1 390 912 | -23,8% |
| Common Support Services expenditure | | | | |
| TOTAL | 52 560 902 | 57 430 274 | 62 328 550 | 8,5% |

4.4 Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as general revenue): EUR 442.715,65

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

By the end of 2023 the ERCEA expects to have 129 temporary agents, of which 20 seconded TA (TA-S) and 109 external TA (TA-E), 366 contract agents (CA) and 16 Seconded National Experts (SNE). These figures are based on the staffing plan of Horizon Europe in line with the Specific financial statement accompanying the mandate extension under Horizon Europe.

In relation to table 3.2.1. (Establishment posts), the forecast of grades in 2023 takes into account the situation of actually filled posts at end of 2021 and simulates two reclassification exercises (of 2022 and 2023) based on the potential eligible population reaching the average seniority in the grade, two promotion exercises in the Commission (for seconded officials), as well as, staff departures and replacements. The forecasted figures cannot be entirely exact given the nature of the reclassification/promotion exercise (based on merit) and instability of the population (staff turnover). The fact that the financial statement is prepared in n-1, consequently leads to deviations between the forecast of grades and the actual situation at the end of a given year.

⁶² The main adjustment on the draft budget submitted by ERCEA in the context of the draft budget procedure includes a different assumption on inflation, which mainly impacts the expected salary update in 2022 and 2023 (updated inflation parameter)

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

Furthermore, ERCEA foresees the recruitment of in total 31 (8 TAs and 23 CAs) posts as of the beginning of 2023 financed under third country contributions and EFTA credits. This figure will need to be adapted following the signature of the still pending association agreements.

5.1.3 Salary assumption for calculating salary lines (% applied)

The salary calculation submitted by the executive agency was made in line with the rates mentioned in the standing instructions for the Draft Budget 2023. This was updated afterwards by the Commission's services to include more updated inflation parameters.

5.1.4 Vacancy rate as of end 2021

A vacancy rate of 2.21% was taken into account for the budget presented for 2023. An updated vacancy rate of 2,09% is calculated for the 2022 budget.

5.1.5 Standard abatement ('abatement forfaitaire')

The staffing figures are in line with the recently communicated Specific Financial Statement that accompanied the delegation package adopted on 12 February 2021.

5.2 Financial Resources

5.2.1 Title 1

The background calculation for DB2023 follows the same pattern as in previous years. The salary forecast for 2023 has been calculated on the basis of salaries paid in January 2022. In addition, the following elements are taken into account:

- Salary indexation and vacancy rate (as mentioned above, see 5.1.3 and 5.1.4)
- 2022 and 2023 reclassification exercises as well as step increase in 2022
- Recruitments in 2022 and 2023
- Travel allowances have been calculated based on 2022 staff in place plus the expected recruitments until June 2023 when the actual payment of the allowances is made.

The expected number of SNEs in 2023 is 16 and the number of FTEs interims on average is 15. It should be noted, that the needs of interims have been considerably increased in B2022 in view of the anticipated recruitments of the 22 vacant posts needed to support the Agency's operations (C1 posts).

Moreover, the budget needs for the title took into account any recent updates in pricing for the services provided by DG HR and PMO and other. Still, a sizeable level of prudence has been applied for the expenses related to training, mobility and transportation, and internal meetings.

The calculation of both salary cost and other related expenditure in the title (costs for relevant SLAs, trainings and other) has been done with considerable prudence and in line with the expected staff reduction in B2023 compared to B2022.

5.2.2 Title 2

For Title 2, the main expenditure under this title relates to the building rent and related expenses under SLA OIB, HR Security and IT Sysper plus the IT needs of which most are covered and paid through the SLA with DIGIT. Thus, the expenditure is either fixed or depending on the indexation and pricing of other DGs and it is not subject to considerable economies and reductions by the Agency.

The execution of B2021 of T2 amounts to 10.301.883,43 (including a non-anticipated expenditure of 670.330,39 for the municipality tax for the years 2019, 2020 and 2021).

ERCEA made a considerable reduction of the ICT costs under this title, while taken an overall high prudence throughout the chapters. This amount is absolutely necessary for ERCEA to operate.

The 2023 budget for the building chapter was calculated based on the fixed rent amount of the building, as well as the yearly indexation of the services provided by OIB and DG HR and others. Still an additional optimization compared to the requested amount has been made in relation to the staff decrease where applicable.

The budget foreseen for ICT overall is highly decreased when compared to the previous years, contrary to the expressed needs from the relevant services in the Agency, as an additional attempt to reduce the overall needs that exceed the SFS amount for the title. With the new DIGIT SLA and the additional extended services it provides (including logistics and infrastructure), the budget remains substantially high but still quite prudent.

5.2.3 Title 3

For this title, the budget foreseen has been calculated with high prudence due to the expected impact of the pandemic still this year on expenditure requiring physical presence (missions, Experts, studies, representation and external meeting expenses, translation and communication activities).

As an additional attempt to reduce the surplus requested in subsidy, ERCEA readjusted its initial request of Operational IT costs by EUR 0.6 million. This decision resulted from properly allocating the IT costs related to the operational support related to the ERC programme to the operational budget.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-----------------------------------------------|----------------------------------|--------------|---------------|------------------|----------|-------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Covent Garden (COV2) | Place Rogier, 16 BE - 1210 ST JOSSE-TEN-NOODE | 14 028 | 1 298 | 15 326 | 3 868 285 | 15 years | Usufruct contract | No | |
| TOTAL | | | 14 028 | 1 298 | 15 326 | 3 868 285 | | | | |

6.1.2 Current Building(s) Other comments

The plan that was approved by the 3 Agencies and OIB does not foresee any change this year.

6.1.3 Building projects in the planning phase

Since 21/09/2020, with an amendment of the Usufruct Contract, an additional floor (19th) in COV2 is occupied by ERCEA, replacing REA staff. On the 11/10/2021, the Directors wrote a joint note (ERCEA-REA-EISMEA-HaDEA) to the attention of OIB in order to re-shuffle space to welcome HaDEA in Cov2. As a result, ERCEA has given up half of the 19th floor (equivalent of 43 desks), to be effective as of 01/01/2022.

6.1.4 Building projects submitted to the European Parliament and the Council

6.2 Evaluation

The Council Regulation laying down the statute for Executive Agencies requires an external evaluation of the operation of each executive agency every three years.

- Follow up of ERCEA Evaluation for the period 2015-2018

The evaluation results that were officially published in 2020, found that the delegation of the ERC programme to the ERCEA was fully justified with major cost savings generated and value added. The ERCEA was effective in achieving its objectives relating to the programming mandate and in supporting the ScC with high-quality and timely support. The evaluation action plan on the recommendations and areas for attention was discussed and finally approved in December 2020. Most recently, on 23 November 2021, a meeting was organized by DG RTD on the follow-up on Executive Agencies' action plans to give an update on the agencies' efficiency gains actions in programme management and take the opportunity to discuss other type of actions that would help agencies to achieve further efficiency gains (e.g. use of lump sums approach, find synergies and efficiencies beyond programme management, build accountability mechanisms of savings for a proper follow up of actions etc.).

- ERCEA Evaluation covering the period until 31 March 2021

On the 12th of October 2021, DG R&I launched the consultation on the tender specifications to select the contractor/consortium in charge of the evaluation of the triennial evaluation of all six Executive Agencies with one common procurement procedure. The aim is to sign the contract with the selected company and start the evaluation in April 2022 with a deadline to complete by end of 2022.

2.7 European Innovation Council and SMEs Executive Agency (“EISMEA”)

1. Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|--------------------------------------------------------------------|-------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 | OJ L 50/9 of 15/02/2021 | establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU |
| COMMISSION DECISION C(2021)949 of 12 February 2021 | | delegating powers to the European Innovation Council and SMEs Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of Innovative Europe, Single Market and Interregional Innovation Investments comprising, in particular, implementation of appropriations entered in the general budget of the Union |

1.2 Seat

Brussels

1.3 Budget Line

01 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from Horizon Europe

03 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from the Single Market Programme

05 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from interregional innovation investments

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 38 | 36 | 94,74% | 38 | 38 |
| Temporary Agents (recruited by the executive agency) | 80 | 63 | 78,75% | 83 | 82 |
| Total Establishment plan posts | 118 | 99 | 83,90% | 121 | 120 |
| Contract Agents | 276 | 245 | 88,77% | 268 | 261 |
| Seconded National Experts | 2 | 2 | 100,00% | 2 | 2 |
| TOTAL STAFF | 396 | 346 | 87,37% | 391 | 383 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | 2023 |
|---------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 37 700 000 | 43 843 794 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 1 085 000 | 1 205 657 |

| | | |
|-----------------------------------------------------------------------|-------------------|-------------------|
| 3. Participation of candidate countries and/or third countries | 410 000 | 1 684 951 |
| 4. NGEU | 6 148 000 | 5 967 820 |
| | 45 335 000 | 52 702 222 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 36 700 000 | 36 700 000 | 42 391 710 | 42 391 710 |
| Title 2 - Infrastructure and operating expenditure | 6 400 000 | 6 400 000 | 7 113 489 | 7 113 489 |
| Title 3 - Programme support expenditure | 2 235 000 | 2 235 000 | 3 197 023 | 3 197 023 |
| TOTAL EXPENDITURE | 45 335 000 | 45 335 000 | 52 702 222 | 52 702 222 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2023, the executive agency is in the 3rd year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------|
| Horizon Europe, Pillar III: | | | |
| European Innovation Council (EIC) | X | | |
| European Innovation Ecosystems (EIE) | X | | |
| Single Market Programme: | | | |
| Consumers | X | | |
| COSME pillar | X | | |
| Internal Market and support to Standardisation | X | | |
| European Regional Development Fund | | | |
| Interregional Innovation Investments | X | | |
| Legacy of: | | | |
| Horizon 2020 – FET | | | X |
| Horizon 2020 – Access to Risk Finance and Innovation in SMEs | | | X |
| Horizon 2020 – FTI | | | X |
| Horizon 2020 – SME Instrument | | | X |
| COSME Programme | | | X |
| Parts of the Internal Market and support to Standardisation activities | | | X |
| Consumers Programme | | | X |

2.2 Operational appropriations managed

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Consumer Programme | | | | | | |
| 03 02 99 03 - Completion of previous activities and programmes in the field of consumers (prior to 2021) | | | | | | |
| Operational Budget | 0 | 18 845 469 | p.m. | 7 905 000 | p.m. | 5 300 000 |
| <i>Of which managed by the executive agency</i> | | 12 115 250 | p.m. | 6 457 686 | | 2 718 686 |
| Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) | | | | | | |
| 03 02 99 01 - Completion of previous programmes in the field of small and medium-sized enterprises, including the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (prior to 2021) | | | | | | |
| Operational Budget | 0 | 54 274 678 | p.m. | 68 100 000 | p.m. | 26 100 000 |
| <i>Of which managed by the executive agency</i> | | 48 684 887 | p.m. | 53 458 141 | | 26 047 733 |
| Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) - Financial instruments | | | | | | |
| 02 02 99 01 - Completion of previous programmes in the field of small and medium-sized enterprises, including the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (prior to 2021) — Financial instruments | | | | | | |
| Operational Budget | 0 | 136 829 851 | p.m. | 159 700 000 | p.m. | 107 681 000 |
| <i>Of which managed by the executive agency</i> | | 75 000 | p.m. | 175 000 | | |
| Completion of European statistical programme (ESP) | | | | | | |
| 03 02 99 04 - Completion of previous programmes related to standardisation, financial reporting and services, auditing and statistics (prior to 2021) | | | | | | |
| Operational Budget | 0 | 67 249 598 | p.m. | 44 300 000 | p.m. | 30 502 300 |
| <i>Of which managed by the executive agency</i> | | 5 345 236 | p.m. | 7 967 248 | | 4 896 851 |
| Completion of Food and Feed | | | | | | |
| Completion of European statistical programme (ESP) | | | | | | |
| 03 02 99 04 - Completion of previous programmes related to standardisation, financial reporting and services, auditing and statistics (prior to 2021) | | | | | | |
| Operational Budget | 0 | 67 249 598 | p.m. | 44 300 000 | p.m. | 30 502 300 |
| <i>Of which managed by the executive agency</i> | | 5 345 236 | p.m. | 7 967 248 | | 4 896 851 |
| Completion of Specific activities in the field of financial reporting and auditing | | | | | | |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| 03 02 99 05 - Completion of previous activities in the field of internal market and financial services (prior to 2021) | | | | | | |
| Operational Budget | 0 | 32 664 229 | p.m. | 9 779 000 | p.m. | 1 420 000 |
| <i>Of which managed by the executive agency</i> | | | p.m. | | | |
| Completion of Previous Framework Programme for Research and Innovation | | | | | | |
| 01 02 99 01 - Completion of previous research programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 7 680 124 174 | p.m. | 4 605 557 369 | p.m. | 3 147 625 008 |
| <i>Of which managed by the executive agency</i> | | 870 708 151 | p.m. | 368 264 620 | | 61 656 088 |
| Completion of European statistical programme (ESP) | | | | | | |
| 03 02 99 04 - Completion of previous programmes related to standardisation, financial reporting and services, auditing and statistics (prior to 2021) | | | | | | |
| Operational Budget | 0 | 67 249 598 | p.m. | 44 300 000 | p.m. | 30 502 300 |
| <i>Of which managed by the executive agency</i> | | 5 345 236 | p.m. | 7 967 248 | | 4 896 851 |
| Completion of Enhancing consumers involvement in EU policy making in the field of financial services | | | | | | |
| European Regional Development Fund (ERDF) | | | | | | |
| 05 02 01 - ERDF — Operational expenditure | | | | | | |
| Operational Budget | 75 800 571 | 0 | 37 235 075 021 | 2 237 309 303 | 38 086 018 122 | 2 717 645 064 |
| <i>Of which managed by the executive agency</i> | 75 800 571 | | 77 316 583 | 53 060 400 | 78 862 915 | 54 121 608 |
| Horizon Europe – the Framework Programme for Research and Innovation | | | | | | |
| 01 02 03 01 - European Innovation Council | | | | | | |
| Operational Budget | 1 120 601 631 | 1 676 993 | 1 147 747 786 | 899 010 000 | 1 156 048 725 | 688 834 000 |
| <i>Of which managed by the executive agency</i> | 1 120 601 631 | 1 676 993 | 1 147 747 786 | 537 968 503 | 1 156 048 725 | 688 834 000 |
| 01 02 03 02 - European innovation ecosystems | | | | | | |
| Operational Budget | 56 614 320 | 266 666 | 66 362 616 | 23 055 310 | 67 415 000 | 44 955 069 |
| <i>Of which managed by the executive agency</i> | 56 614 320 | 266 666 | 66 362 616 | 23 055 310 | 67 415 000 | 44 955 069 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Single Market Programme, including COSME, ISA2, ESP, consumer involvement in fin. Services, financial reporting, Health, Food and Feed, consumer programme | | | | | | |
| 03 02 01 07 - Market surveillance | | | | | | |
| Operational Budget | 10 559 000 | 914 290 | 14 208 000 | 6 320 000 | 14 492 000 | 10 850 000 |
| <i>Of which managed by the executive agency</i> | 5 577 000 | | 4 877 573 | 2 788 500 | 14 492 000 | 3 110 000 |
| 03 02 02 - Improving the competitiveness of enterprises, particularly SMEs, and supporting their access to markets | | | | | | |
| Operational Budget | 119 770 038 | 14 581 870 | 151 450 000 | 67 600 000 | 126 673 000 | 132 358 500 |
| <i>Of which managed by the executive agency</i> | 110 190 461 | 10 645 720 | 135 215 810 | 67 355 098 | 117 406 000 | 117 363 274 |
| 03 02 03 01 - European standardisation | | | | | | |
| Operational Budget | 20 007 094 | 2 512 158 | 21 676 000 | 10 500 000 | 23 569 000 | 19 000 000 |
| <i>Of which managed by the executive agency</i> | 15 000 609 | | 16 076 000 | 10 500 000 | 16 702 000 | 12 517 272 |
| 03 02 04 01 - Ensuring high level of consumer protection and product safety | | | | | | |
| Operational Budget | 24 304 531 | 2 427 910 | 23 500 000 | 17 459 000 | 23 700 000 | 17 187 513 |
| <i>Of which managed by the executive agency</i> | 18 000 000 | 2 401 037 | 13 000 000 | 8 297 178 | 18 000 000 | 13 500 171 |
| TOTAL operational budget managed by the agency | 1 401 784 592 | 962 609 412 | 1 460 596 368 | 1 155 282 180 | 1 468 926 640 | 1 039 514 454 |

| | | | | | | |
|-----------------------------------------------------------------|--------------------|----------|--------------------|--------------------|--------------------|--------------------|
| 01 02 03 01 - European Innovation Council – NGEU credits | | | | | | |
| Operational Budget | 438 341 114 | 0 | 436 816 081 | 351 624 581 | 450 237 560 | 507 372 582 |
| <i>Of which managed by the executive agency</i> | 438 341 114 | 0 | 436 816 081 | 301 619 210 | 450 237 560 | 507 372 582 |
| TOTAL operational NGEU budget managed by the agency | 438 341 114 | 0 | 436 816 081 | 351 624 581 | 450 237 560 | 507 372 582 |

3 Human Resources

3.1 Staffing when created extended

Source: Specific Financial Statement for European Innovation council and Small and Medium-sized enterprises Executive Agency (including staff financed on NGEU)

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---------------------------------------------------------|------|------|------|------|------|------|------|
| Temporary Agents (Officials seconded by the Commission) | 38 | 38 | 38 | 38 | 38 | 38 | 38 |

| | | | | | | | |
|-------------------------------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Temporary Agents (recruited by the agency) | 80 | 83 | 78 | 74 | 67 | 62 | 56 |
| Executive Agency's total Establishment Plan Posts (*) | 118 | 121 | 116 | 112 | 105 | 100 | 94 |
| Contract Agents (*) | 296,7 | 302,1 | 291,8 | 281,3 | 264,4 | 250,7 | 237,1 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 414,7 | 422,8 | 408,2 | 393,5 | 369,5 | 350,2 | 331,0 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | 2022 | 2023 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | 1 | | 1 | 1 |
| AD 14 | 7 | 4 | 8 | 8 |
| AD 13 | 7 | 7 | 7 | 7 |
| AD 12 | 17 | 7 | 19 | 19 |
| AD 11 | 13 | 9 | 12 | 12 |
| AD 10 | 9 | 10 | 9 | 9 |
| AD 9 | 12 | 6 | 9 | 10 (1) |
| AD 8 | 12 | 3 | 11 | 10 |
| AD 7 | 18 | 15 | 20 | 19 (2) |
| AD 6 | 5 | 14 | 5 | 8 |
| AD 5 | 5 | 12 | 9 | 5 |
| AD TOTAL | 106 | 87 | 110 | 108 |
| AST 11 | | | | |
| AST 10 | | | | |
| AST 9 | | | 1 | 1 |
| AST 8 | 1 | 1 | | |
| AST 7 | | | | |
| AST 6 | 3 | 1 | 3 | 3 |
| AST 5 | 3 | 2 | 2 | 3 |
| AST 4 | 2 | 3 | 2 | 2 |
| AST 3 | | 3 | | 1 |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 9 | 10 | 8 | 10 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | 1 | |

| Function group and grade | 2021 | | 2022 | 2023 |
|-----------------------------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AST/SC 3 | 2 | | 1 | 1 |
| AST/SC 2 | 1 | 1 | 1 | 1 |
| AST/SC 1 | | 1 | | |
| AST/SC TOTAL | 3 | 2 | 3 | 2 |
| TOTAL | 118 | 99 | 121 | 120 |
| Of which Officials seconded by the commission | 38 | 36 | 38 | 38 |

(1) of which 2 financed from the participation of candidate countries and/or third countries.

(2) of which 2 financed from the participation of candidate countries and/or third countries.

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 140 | 118 | 136 | 132 |
| Function Group III | 108 | 99 | 105 | 102 |
| Function Group II | 27 | 27 | 26 | 26 |
| Function Group I | 1 | 1 | 1 | 1 |
| TOTAL | 276 | 245 | 268 | 261 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2021 | Recruited as of 31/12/2021 | Foreseen in 2022 | Foreseen 2023 |
|---------------------------------------------------------------------------|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from NGEU | 19 | 19 | 32 | 29 |
| Financed from participation of candidate countries and/or third countries | 5 | 5 | 5 (*) | 13 |

The Human resources financed from NGEU are: 32 Contract Agents (CAs) 2022 and 29 CAs in 2023.

In addition, for 2023 13 Contract Agents are financed from participation of candidate countries and/or third countries as well as from the participation of EEA/EFTA (European Economic Area of the European Free Trade Area) members in EU programmes.

(*) The number of contract agents financed from participation of candidate countries and/or third countries can be increased to 13 in 2022 following decision of the EISMEA Steering Committee

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 2 | 2 | 2 |

3.2.5 Use of interim staff by the agency

Please insert in the table below the number of interim agency staff (FTE and corresponding appropriations) for each of the years, with a brief explanation of:

- the policy in place in the executive agency in relation to hiring interim staff, e.g. to temporarily replace temporary agents, contract agents and seconded national experts on long-term sick leave, maternity leave etc., as well as
- any major changes from year to year in the number of interim staff and the interim staff hiring policy.

| Interim agency staff ('intérimaires') | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------------------|----------------------------|---------------|----------------------------|
| Total (FTE) | 17 | 15 | 15 |
| Total (appropriations) | 825 171 | 850 000 | 875 500 |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|---------------------------------------------|--------------------------------------------------|-------------------------|---------------------------|---------------------------|
| Heading 7 | 40 | 14 | 10 | 3 |
| Horizon Europe Programme * | 146 | 81 | | 10 |
| Targeted reductions in other areas * | 180 | 10 | 26 | 28 |
| Total** | 366 | 105 | 36 | 41 |

* these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

**the difference to the 426 FTE to be offset according to the Chapeau Communication represent posts frozen in 2021 and 2022: 42 posts on Horizon Europe and 18 posts on Heading 7

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|--------------|---------------------------------------------------|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---------------------------------------------------------------------------------------------------------------|---------|---------|
| | By end 2021 | In 2022 | In 2023 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2022 | Number of posts frozen in 2023 |
|-----------------|-------------------------------------------|--------------------------------|--------------------------------|
| DG RTD | 24 | 24 | 24 |
| DG REGIO | 2 | 2 | 2 |
| DG GROW | 7 | 7 | 7 |
| DG CNECT | 4 | 4 | 4 |
| DG JUST | 1 | 1 | 1 |
| Total | 38 | 38 | 38 |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate – NGEU excluded) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|-----------------------------------------------------------------------------------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|
| | 43 633 000 | 43 499 000 | 43 274 000 | 43 052 000 | 42 761 000 | 42 482 000 | 42 190 000 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 43 633 000 | 43 499 000 | 43 843 247 | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | | | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27) | 43 633 000 | 43 499 000 | | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | | | | | | | |

4.2 Revenues

| REVENUES | | 2021 | 2022 | 2023 | VAR 2023/2022 (%) |
|-----------------------------------------------------------------------|------------------|--------------------|----------------------|-------------------------------|-------------------------|
| | | Executed Budget | Budget ⁶³ | Draft Budget ⁶⁴ | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | 25 527 261 | 26 121 002 | 30 372 955 | 16,3% |
| 03 01 01 76 | SINGLEMKT | 12 462 485 | 10 666 341 | 12 273 076 | 15,1% |
| 05 01 01 76 | ERDF_21 | 653 380 | 904 621 | 1 197 763 | 32,4% |
| Sub Total | | 38 643 126 | 37 691 964 | 43 843 794 | 16,3% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | | 797 044 | 897 617 | 12,6% |
| 03 01 01 76 | SINGLEMKT | | 287 991 | 308 040 | 7,0% |
| Sub Total | | | 1 085 036 | 1 205 657 | 11,1% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | | 410 000 | 1 684 951 | 311% |
| Sub Total | | | 410 000 | 1 684 951 | 311% |
| 4. NGEU | | | | | |
| Budget Line | Programme | | | | |
| 01 01 01 76 | HORIZONEU | 3 691 625 | 6 148 000 | 5 967 820 | -2,9% |
| Sub Total | | 3 691 625 | 6 148 000 | 5 967 820 | -2,9% |
| TOTAL REVENUES | | 42 525 350 | 45 335 000 | 52 702 222 | 16,2% |

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|----------------------------------------------|-------------------------|-------------------|-------------------|----------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 33 873 266 | 36 700 000 | 42 391 710 | 15,5% |
| Remunerations, Allowances and Charges | 32 194 948 | 34 700 000 | 40 155 065 | 15,7% |
| <i>- Of which establishment plan posts</i> | <i>12 573 184</i> | <i>14 445 000</i> | <i>17 613 000</i> | <i>21,9%</i> |

⁶³ Based on budget as adopted by steerco in December 2021 and revised in March 2022

⁶⁴ Based on draft administrative budget as adopted by the EISMEA steerco. The main change included by the Commission's service to the draft budget of EISMEA includes a different assumption on inflation, which mainly impacts the expected salary update in 2022 and 2023 (updated inflation parameter)

| | | | | |
|-----------------------------------------------------------|-------------------|-------------------|-------------------|--------------|
| - <i>Of which external personnel</i> | 19 621 764 | 20 255 000 | 22 542 065 | 11,3% |
| Professional Development and Social expenditure | 1 678 318 | 2 000 000 | 2 236 645 | 11,8% |
| Title 2 - Infrastructure and operating expenditure | 7 575 434 | 6 400 000 | 7 113 489 | 11,1% |
| Building expenditure | 4 859 554 | 4 268 000 | 4 613 679 | 8,1% |
| ICT expenditure | 2 411 381 | 1 960 000 | 2 289 690 | 16,8% |
| Movable Property and Current Operating expenditure | 304 499 | 172 000 | 210 120 | 22,2% |
| Title 3 - Programme support expenditure | 1 076 650 | 2 235 000 | 3 197 023 | 43,0% |
| Programme Management expenditure | 1 076 650 | 2 235 000 | 3 197 023 | 43,0% |
| Common Support Services expenditure | | | | |
| TOTAL | 42 525 350 | 45 335 000 | 52 702 222 | 16,2% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|-----------------------------------------------------------|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 33 873 266 | 36 700 000 | 42 391 710 | 15,5% |
| Remunerations, Allowances and Charges | 32 194 948 | 34 700 000 | 40 155 065 | 15,7% |
| - <i>Of which establishment plan posts</i> | <i>12 573 184</i> | <i>14 445 000</i> | <i>17 613 000</i> | <i>21,9%</i> |
| - <i>Of which external personnel</i> | <i>19 621 764</i> | <i>20 255 000</i> | <i>22 542 065</i> | <i>11,3%</i> |
| Professional Development and Social expenditure | 1 678 318 | 2 000 000 | 2 236 645 | 11,8% |
| Title 2 - Infrastructure and operating expenditure | 7 575 434 | 6 400 000 | 7 113 489 | 11,1% |
| Building expenditure | 4 859 554 | 4 268 000 | 4 613 679 | 8,1% |
| ICT expenditure | 2 411 381 | 1 960 000 | 2 289 690 | 16,8% |
| Movable Property and Current Operating expenditure | 304 499 | 172 000 | 210 120 | 22,2% |
| Title 3 - Programme support expenditure | 1 076 650 | 2 235 000 | 3 196 476 | 43,0% |
| Programme Management expenditure | 1 076 650 | 2 235 000 | 3 197 023 | 43,0% |
| Common Support Services expenditure | | | | |
| TOTAL | 42 525 350 | 45 335 000 | 52 702 222 | 16,2% |

4.4 Outturn

First estimate of the 2021 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1 490 930, 58

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

In line with the Specific Financial Statement, the European Innovation Council and Small and Medium-sized Enterprises Executive Agency (EISMEA) will count a total of 367 FTEs financed on C1 credits in 2023: 120 Temporary Agents (of which 38 are seconded officials and 4 funded participation of candidate countries and/or third countries), 261 Contract Agents and 2 Seconded National Experts.

The staff financed on NGEU credits in 2023 is 41 FTEs (of which 12 Temporary Agents; 29 Contract Agents (CAs).

Therefore, the adjusted level of the programming for EISMEA shall be of 425 staff in 2023

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

In line with the table 3.2.3, the number of contracts agents financed in 2023 from NGEU is 29 CAs (Horizon Europe).

As foreseen in the EISMEA SFS (page 41), the number of contracts agents financed in 2023 from EFTA and Third countries is 13.

5.1.3 Salary assumption for calculating salary lines (% applied)

The calculation method applied to estimate the remunerations, allowances and charges is based on staffing levels as foreseen in the EISMEA Specific Financial Statement on the following assumptions: (i) use of the real expenditures for staff members already employed in EISMEA and calculation of an average cost for all incoming staff members ; (ii) consider the impact of reclassification exercises 2022 & 2023; (iii) apply the expected adjustment to salaries for 2022 and for 2023 (based on updated inflation parameters); (v) foresee a vacancy rate, the impact of the parental leaves, part-time work and unpaid leaves based on historical behaviours.

5.1.4 Vacancy rate as of end 2021

The Agency was established on 1st of April 2021 and had 60 posts to fill for implementing the new mandate.

On 31/12/2021, the occupational rate was 88%, corresponding to 370 staff composed of 269 CAs, 2 SNEs, 99 TA of which 36 seconded officials (on a total of 38 allowed for 2021).

5.2 Financial Resources

5.2.1 Title 1

Compared to 2022, the increase in the budget for Title 1 is essentially due to the staff expenditures which are expected to increase substantially compared to 2023. The primary driver is the expected salary updates that will be applicable in 2023.

In complement, there is an effect of increase linked to the evolution of the staff table:

- After the set-up of EISMEA on the 1st of April 2021, not all vacant positions were occupied by the end of 2021.
- Consequently, 2022 started with a relatively low number of staff, the staff will in the course of the year 2022 increase following (i) the ‘catch up exercise’ of vacant positions 2021 (mainly TA positions) and (ii) the planned staff growth of 2022.
- The year 2023 is expected to start with a high staff number and will only be gradually reduced in the course of 2023.

In conclusion, the average number of staff of 2023 will be above the level of 2022, which explains the remaining increase of the staff budget in 2023.

5.2.2 Title 2

Compared to 2022, the increase in the budget for Title 2 is essentially linked to the price indexation of Building expenditures, but also in ICT expenditures: this includes a provision of IT consultants for studies in particular for IT security, data management, Artificial Intelligence.

5.2.3 Title 3

Compared to 2022, the increase in budget for Title 3 is mainly due to an expected increase of audits to be carried out as well as on the assumption of a normalisation of activities in 2023 (post-Covid). The budget foresees notably increased needs for physical meetings with experts for certain programs (and in particular for the EIC).

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------------------------------|----------------------------------|------------|------------|------------------|----------|------------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Covent Garden (COV2) | Place Rogier 16 1210 Brussels | 6 | 124 | 130 | 1 309 654 | 15 years | Usufruct convention from 30/06/2010 until 29/06/2025 | NO | |
| TOTAL | | | 6 | 124 | 130 | 1 309 654 | | | | |

6.1.2 Current Building(s) Other comments

In addition, EISMEA uses additional floors in COV2 that falls under the European Commission's Usufruct and EISMEA pays back its contribution via its Service Level Agreement (SLA) with OIB.

6.2 Evaluation

The most recent evaluation of the agency, covering the period January 2014-December 2016 was commissioned by DG GROW and conducted by Valdani Vicari & Associati and Deloitte and delivered in April 2019. The Agency's Steering Committee adopted a follow-up action plan on 13 October 2020 taking into account this report as well as the following reports from the European Commission: Evaluation of the Executive Agency for Small and Medium-sized Enterprises (EASME), Commission Staff Working Document, SWD(2020)76 final; Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audio-visual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency, Report from the Commission to the European Parliament, the Council and the Court of Auditors, COM(2020) 184 final.

The main conclusions of the EASME's evaluation can be summarised as follows:

Effectiveness

Overall, EASME was evaluated as being effective, obtaining good results for (almost all) key performance indicators. It has been effective in allowing the Commission to focus on institutional tasks by implementing the programmes that have been delegated. In general, EASME effectively and efficiently implemented the programmes entrusted to it and beneficiaries appreciated the customer orientation of EASME staff. Through concerted actions and making use of synergies between programmes, the Agency succeeded in raising the visibility of the EU as promoter of the programmes concerned.

Efficiency

The Agency has been cost-effective and has allowed realising higher cost savings than initially forecasted by the Commission. While the Agency's overall performance was assessed as effective, its diverse portfolio of programmes resulted in a high workload and the need to coordinate with a large number of parent DGs. There was nevertheless a high potential for synergies between the various programmes managed by the Agency due to their thematic affinities. There was a good level of cooperation with the parent DGs, materialised by a good work between staff of the Agency and of the parent DGs. However, there was a lack of structured feedback for certain programmes. EASME had performed several simplifications, notably with common IT tools.

At the level of Human resources, the staff survey demonstrated an overall level of satisfaction that is above the Commission results. However, surveyed staff pointed out difficulties in career development.

In accordance with the Single Establishment Act, the evaluation on the operation of each agency referred to in Article 25 of Regulation (EC) No 58/2003 should occur in a coordinated way for the remaining period of the 2014-2020 mandates of the executive agencies which is not yet evaluated.

Evaluation covering the period until 31 March 2021

On the 12th of October 2021, DG R&I launched the consultation on the tender specifications to select the contractor/consortium in charge of the evaluation of the triennial evaluation of all six Executive Agencies with one common procurement procedure. The aim is to sign the contract with the selected company and start the evaluation in April 2022 with a deadline to complete by end of 2022.

2.8 European Education and Culture Executive Agency (“EACEA”)

1. Overview

| Decision | Date | Mission / Tasks / Functions |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU</p> | <p>12 February 2021</p> | <p>The European Education and Culture Executive Agency is established from 1 April 2021 until 31 December 2028. The European Education and Culture Executive Agency shall be entrusted with the implementation of the following (parts of) Union programmes:</p> <p>Creative Europe;</p> <p>Erasmus;</p> <p>European Solidarity Corps;</p> <p>Citizens, Equality, Rights and Values;</p> <p>Neighbourhood, development and international cooperation instrument (NDICI);</p> <p>Instrument for pre-accession assistance (IPA III).</p> <p>As well as with the implementation of the legacy of the following (parts of) Union programmes:</p> <p>Creative Europe</p> <p>Erasmus+</p> <p>European Solidarity Corps</p> <p>Europe for Citizens</p> <p>EU Aid Volunteers</p> <p>the Instrument for Pre-accession Assistance (IPA II)</p> <p>the European Neighbourhood Instrument</p> <p>the Instrument for Development Cooperation</p> <p>the Partnership Instrument for cooperation with third</p> <p>the 10th and 11th European Development Funds</p> <p>the following programmes which were previously entrusted to the Education, Audio-visual and Culture Executive Agency in the period 2000-2013: the programme of cooperation with the United States of America in the field of higher education and vocational education and training (2006-2013); (ii) the agreement between the European Community and the Government of Canada establishing a cooperation framework in the fields of higher education, training and youth (2006-2013); (iii) the action programme in the field of lifelong learning (2007-2013); (iv) the ‘Culture’ programme (2007-2013); (v) the ‘Europe for Citizens’ programme to promote active European citizenship (2007-2013); (vi) the ‘Youth in Action’ programme (2007-2013); (vii) the support programme for the European audiovisual sector (MEDIA 2007) (2007-2013); (viii) the Erasmus Mundus (II) action programme 2009-2013 for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries; (ix) the audiovisual cooperation programme with professionals from third countries (MEDIA Mundus) (2011- 2013); (x) projects in the field of higher education eligible for funding under the provisions on aid for economic cooperation with the developing countries in Asia ; (xi) projects in the fields of higher education and youth eligible for funding under the provisions of the Instrument for Pre-Accession Assistance (IPA); xii) projects in the field of primary, secondary and higher education and youth eligible for funding under the provisions of the European Neighbourhood</p> |

| | | |
|--|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | and Partnership Instrument; (xiii) projects in the field of higher education eligible for funding under the provisions of the financing instrument for development cooperation ; (xiv) projects in the field of higher education and youth eligible for funding under the provisions of the financing instrument for cooperation with industrialised and other high-income countries and territories |
|--|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Brussels

1.3 Budget Line

07 01 02 75 : European Education and Culture Executive Agency — Contribution from Erasmus

07 01 03 75 : European Education and Culture Executive Agency — Contribution from the European Solidarity Corps

07 01 04 75 : European Education and Culture Executive Agency — Contribution from Creative Europe

07 01 05 75 : European Education and Culture Executive Agency — Contribution from Rights and Values

14 01 01 75 : European Education and Culture Executive Agency — Contribution from the Neighbourhood, Development and International Cooperation Instrument

15 01 01 75 : European Education and Culture Executive Agency — contribution from IPA

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Temporary Agents (Officials seconded by the Commission) | 34 | | 0,00% | 34 | 34 |
| Temporary Agents (recruited by the executive agency) | 87 | 108 | 124,14% | 92 | 101 |
| Total Establishment plan posts | 121 | 108 | 89,26% | 126 | 135 |
| Contract Agents | 362 | 347 | 95,86% | 379 | 395 |
| Seconded National Experts | | | | | |
| TOTAL STAFF | 483 | 455 | 94,20% | 505 | 530 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | 2023 |
|----------------------------------------------------------------|----------------------------------|-------------------|
| | Revenues estimated by the agency | Budget Forecast |
| 1. EU contribution to the executive agency | 57 765 886 | 63.248.736 |
| 2. EEA/EFTA contribution (excl.Switzerland) | 1 344 848 | 1 366 742 |
| 3. Participation of candidate countries and/or third countries | 2 000 622 | 4 161 546 |
| TOTAL | 61 111 356 | 68 777 024 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 46 089 556 | 46 089 556 | 53 541 034 | 53 541 034 |
| Title 2 - Infrastructure and operating expenditure | 9 211 800 | 9 211 800 | 9 406 191 | 9 406 191 |
| Title 3 - Programme support expenditure | 5 810 000 | 5 810 000 | 5 829 800 | 5 829 800 |
| TOTAL EXPENDITURE | 61 111 356 | 61 111 356 | 68 777 024 | 68 777 024 |

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2023, the executive agency is in the 18th year of its operational lifetime and manages the following programmes

| Name of the EU programme | The executive agency is phasing in for this programme | The executive agency is fully operational for this programme | The executive agency is phasing out for this programme |
|-----------------------------------------------------------------------------|-------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------|
| Erasmus+ | | X | |
| Creative Europe | | X | |
| European Solidarity Corps | | X | |
| Citizens, Equality, Rights and Values | | X | |
| Neighbourhood, Development and International Cooperation Instrument (NDICI) | | X | |
| Instrument for Pre-accession assistance (IPA III) | | X | |
| Erasmus+ | | | X |
| Creative Europe | | | X |
| European Solidarity Corps | | | X |
| Europe for Citizens | | | X |
| EU Aid Volunteers | | | X |
| European Neighbourhood Instrument (ENI) | | | X |
| Development Cooperation Instrument (DCI) | | | X |
| Partnership Instrument (PI) | | | X |
| Instrument for Pre-Accession Assistance (IPA II) | | | X |
| Pan-African Programme | | | X |

2.2 Operational appropriations managed

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|---------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Creative Europe Programme | | | | | | |
| 07 05 99 01 - Completion of previous actions and programmes related to media, culture and language (prior to 2021) | | | | | | |
| Operational Budget | 0 | 73 488 444 | p.m. | 72 679 328 | p.m. | 31 508 113 |
| <i>Of which managed by the executive agency</i> | | 69 556 738 | | 26 489 558 | | 1 503 026 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Development Cooperation Instrument (DCI) | | | | | | |
| 14 02 99 02 - Completion of previous development cooperation instruments (prior to 2021) | | | | | | |
| Operational Budget | 0 | 2 206 552 396 | p.m. | 2 052 623 677 | p.m. | 1 307 466 000 |
| <i>Of which managed by the executive agency</i> | | 42 149 825 | | 47 238 072 | | 9 285 380 |
| Completion of the Union Programme for Education, Training, Youth and Sport (Erasmus+) | | | | | | |
| 07 03 99 01 - Completion of previous Erasmus programmes (prior to 2021) | | | | | | |
| Operational Budget | 0 | 194 085 530 | p.m. | 312 133 002 | p.m. | 142 626 000 |
| <i>Of which managed by the executive agency</i> | | 108 364 155 | p.m. | 205 361 084 | p.m. | 100 670 712 |
| Completion of European Neighbourhood Instrument (ENI) | | | | | | |
| 14 02 99 01 - Completion of previous actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2021) | | | | | | |
| Operational Budget | 0 | 1 741 625 356 | p.m. | 1 464 063 032 | p.m. | 1 200 600 779 |
| <i>Of which managed by the executive agency</i> | | 24 289 935 | | 22 976 730 | | 19 788 988 |
| Completion of European Solidarity Corps (ESC) | | | | | | |
| 07 04 99 01 - Completion of the European Solidarity Corps (2018 to 2020) | | | | | | |
| Operational Budget | 0 | 13 643 353 | p.m. | 13 173 773 | p.m. | 8 670 000 |
| <i>Of which managed by the executive agency</i> | | 3 709 701 | | 2 158 265 | | 43 965 |
| Completion of EU Aid Volunteers initiative (EUAV) | | | | | | |
| 07 04 99 02 - Completion of the EU Aid Volunteers initiative — Strengthening the Union’s capacity to respond to humanitarian crises (2014 to 2020) | | | | | | |
| Operational Budget | 0 | 3 905 254 | p.m. | 3 044 463 | p.m. | 2 550 000 |
| <i>Of which managed by the executive agency</i> | | 3 545 440 | p.m. | 3 044 463 | p.m. | 2 550 000 |
| Completion IPAll | | | | | | |
| 15 02 99 01 - Completion of previous instruments for pre-accession assistance (prior to 2021) | | | | | | |
| Operational Budget | 0 | 1 858 416 017 | p.m. | 1 734 568 865 | p.m. | 1 516 450 314 |
| <i>Of which managed by the executive agency</i> | | 15 330 033 | | 6 645 265 | | 5 657 232 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Completion of Partnership instrument for cooperation with third countries (PI) | | | | | | |
| 14 02 99 03 - Completion of relations with third countries under the Partnership Instrument and the financing instrument for cooperation with industrialised countries (prior to 2021) | | | | | | |
| Operational Budget | 0 | 111 567 717 | p.m. | 101 635 232 | p.m. | 70 594 072 |
| <i>Of which managed by the executive agency</i> | | 664 965 | | 1 480 606 | | 753 265 |
| Completion of Rights, Equality and Citizenship programme | | | | | | |
| 07 06 99 01 - Completion of previous Europe for Citizens programmes and European citizens' initiatives (prior to 2021) | | | | | | |
| Operational Budget | 0 | 14 789 280 | p.m. | 11 818 496 | p.m. | 6 811 879 |
| <i>Of which managed by the executive agency</i> | | 13 806 765 | p.m. | 10 543 219 | p.m. | 6 811 879 |
| Creative Europe | | | | | | |
| 07 05 01 – Culture | | | | | | |
| Operational Budget | 94 520 303 | 4 135 178 | 131 097 589 | 125 000 000 | 100 202 442 | 89 452 597 |
| <i>Of which managed by the executive agency</i> | 87 155 293 | 1 817 159 | 104 316 027 | 116 416 726 | 93 188 271 | 78 436 351 |
| 07 05 02 – Media | | | | | | |
| Operational Budget | 167 489 649 | 28 911 967 | 220 518 209 | 158 239 044 | 175 945 515 | 137 922 353 |
| <i>Of which managed by the executive agency</i> | 153 161 333 | 28 030 452 | 202 143 172 | 158 239 044 | 160 945 515 | 132 508 043 |
| 07 05 03 - Cross-sectorial strands | | | | | | |
| Operational Budget | 27 130 740 | 8 321 975 | 34 037 298 | 23 130 332 | 27 169 216 | 25 629 146 |
| <i>Of which managed by the executive agency</i> | 25 179 103 | 8 064 989 | 9 232 839 | 7 154 820 | 7 428 761 | 16 709 034 |
| Erasmus+ | | | | | | |
| 07 03 01 02 - Promoting learning mobility of individuals, as well as cooperation, inclusion, excellence, creativity and innovation at the level of organisations and policies in the field of education and training — Direct management | | | | | | |
| Operational Budget | 368 934 801 | 44 469 366 | 573 655 911 | 325 725 000 | 779 233 173 | 407 225 000 |
| <i>Of which managed by the executive agency</i> | 346 390 178 | 41 964 759 | 573 655 911 | 325 725 000 | 725 595 395 | 373 096 147 |
| 07 03 02 - Promoting non-formal learning mobility and active participation among young people, as well as cooperation, inclusion, creativity and innovation at the level of organisations and policies in the field of youth | | | | | | |
| Operational Budget | 272 637 560 | 170 700 624 | 351 400 945 | 313 415 755 | 365 693 409 | 335 000 000 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| <i>Of which managed by the executive agency</i> | 17 345 531 | 4 006 922 | 56 249 798 | 30 424 160 | 58 340 000 | 46 277 182 |
| 07 03 03 - Promoting learning mobility of sport coaches and staff, as well as cooperation, inclusion, creativity and innovation at the level of sport organisations and sport policies | | | | | | |
| Operational Budget | 48 805 483 | 4 347 529 | 65 035 672 | 55 632 197 | 67 681 394 | 55 000 000 |
| <i>Of which managed by the executive agency</i> | 44 862 346 | 3 942 978 | 59 935 672 | 55 632 197 | 54 881 394 | 45 130 035 |
| European Solidarity Corps (ESC) | | | | | | |
| 07 04 01 - European Solidarity Corps | | | | | | |
| Operational Budget | 129 127 673 | 72 306 836 | 134 710 226 | 93 000 000 | 134 344 431 | 104 000 000 |
| <i>Of which managed by the executive agency</i> | 12 735 368 | 523 467 | 19 360 000 | 13 003 737 | 18 888 827 | 15 065 313 |
| Pre-Accession Assistance (IPA III) | | | | | | |
| 15 02 01 02 - Erasmus+ — contribution from IPA III | | | | | | |
| Operational Budget | 3 499 141 | 0 | 60 200 000 | 35 500 000 | 62 400 000 | 42 250 000 |
| <i>Of which managed by the executive agency</i> | 3 499 141 | | 30 986 695 | 15 283 969 | 35 000 000 | 19 052 795 |
| Neighbourhood, Development and International Cooperation Instrument - Global Europe | | | | | | |
| 14 02 01 50 - Erasmus+ — NDICI — Global Europe contribution | | | | | | |
| Operational Budget | 20 000 000 | 133 333 | 296 666 667 | 160 000 000 | 296 666 667 | 210 000 000 |
| <i>Of which managed by the executive agency</i> | 20 000 000 | 133 333 | 130 720 517 | 45 790 812 | 150 366 667 | 78 010 904 |
| Citizens, Equality, Rights and Values Programme | | | | | | |
| 07 06 02 - Promote citizens engagement and participation in the democratic life of the Union | | | | | | |
| Operational Budget | 13 407 679 | 273 572 | 40 671 295 | 22 387 480 | 32 187 878 | 18 510 511 |
| <i>Of which managed by the executive agency</i> | 11 540 660 | 92 295 | 37 121 295 | 22 387 480 | 30 937 878 | 15 380 511 |
| 07 06 04 - Protection and promotion of Union values | | | | | | |
| Operational Budget | 31 247 608 | 623 529 | 92 287 552 | 60 970 543 | 108 798 094 | 54 387 002 |
| <i>Of which managed by the executive agency</i> | 24 520 348 | 623 529 | 82 900 000 | 54 040 385 | 100 600 000 | 46 031 319 |

| | Executed budget 2021 | | Budget 2022 | | Draft Budget 2023 | |
|-------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL operational budget managed by the agency | 746 389 301 | 370 617 440 | 1 306 621 926 | 1 170 035 592 | 1 436 172 708 | 1 012 762 081 |

3 Human Resources

3.1 Staffing when created extended

Source:

| Staff | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---------------------------------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Temporary Agents (Officials seconded by the Commission) | 34 | 34 | 34 | 34 | 34 | 34 | 34 |
| Temporary Agents (recruited by the agency) | 86,8 | 92,2 | 97,6 | 103 | 108,4 | 113,9 | 119,3 |
| Executive Agency's total Establishment Plan Posts | 120,8 | 126,2 | 131,6 | 137 | 142,4 | 147,9 | 153,3 |
| Contract Agents (*) | 362,3 | 378,5 | 394,8 | 411,1 | 427,3 | 443,6 | 459,9 |
| Seconded National Experts (SNE) | | | | | | | |
| Total staff of the Executive Agency | 483 | 504,7 | 526,4 | 548,1 | 569,8 | 591,5 | 613,1 |

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget (for 2023 4 temporary agents to be financed from contributions of third countries).

| Function group and grade | 2021 | | 2022 | 2023 |
|--------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AD 16 | | | | |
| AD 15 | 1 | | 1 | 1 |
| AD 14 | 9 | 9 | 14 | 17 |
| AD 13 | 13 | 7 | 10 | 6 |
| AD 12 | 25 | 12 | 18 | 19 |
| AD 11 | 14 | 16 | 17 | 16 |
| AD 10 | 14 | 17 | 22 | 13 |
| AD 9 | 8 | 5 | 10 | 14 |
| AD 8 | 6 | 8 | 6 | 12 |
| AD 7 | 4 | 5 | 3 | 9 |
| AD 6 | 3 | 4 | 1 | 4 |
| AD 5 | | 1 | | |
| AD TOTAL | 97 | 84 | 102 | 111 |
| AST 11 | 1 | 1 | 1 | 1 |
| AST 10 | 1 | | 2 | 2 |

| Function group and grade | 2021 | | 2022 | 2023 |
|------------------------------------------------------|-------------------|----------------------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Authorised Budget | Draft Budget Request |
| AST 9 | 6 | 1 | 1 | 1 |
| AST 8 | 3 | | 1 | 2 |
| AST 7 | 6 | 5 | 11 | 10 |
| AST 6 | 5 | 9 | 6 | 6 |
| AST 5 | 2 | 6 | 2 | 2 |
| AST 4 | | 2 | | |
| AST 3 | | | | |
| AST 2 | | | | |
| AST 1 | | | | |
| AST TOTAL | 24 | 24 | 24 | 24 |
| AST/SC 6 | | | | |
| AST/SC 5 | | | | |
| AST/SC 4 | | | | |
| AST/SC 3 | | | | |
| AST/SC 2 | | | | |
| AST/SC 1 | | | | |
| AST/SC TOTAL | | | | |
| TOTAL | 121 | 108 | 126 | 135 |
| Of which Officials seconded by the commission | 34 | | 34 | 34 |

3.2.2 Contract Agents financed from the EU budget contribution

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 124 | 101 | 125 | 150 |
| Function Group III | 170 | 186 | 185 | 185 |
| Function Group II | 64 | 56 | 65 | 56 |
| Function Group I | 4 | 4 | 4 | 4 |
| TOTAL | 362 | 347 | 379 | 395 |

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

| Human Resources financed outside the EU budget | Foreseen in 2021 | Recruited as of 31/12/2021 | Foreseen in 2022 | Foreseen 2023 |
|----------------------------------------------------------------------------------|------------------|----------------------------|------------------|---------------|
| Financed from EDF | | | | |
| Financed from participation of candidate countries and/or third countries | 17 | 17 | 17 | 13 |

3.2.4 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3.2.5 Use of interim staff by the agency

| Interim agency staff ('intérimaires') | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------------------|----------------------------|---------------|----------------------------|
| Total (FTE) | 44 | 34 | 33 |
| Total (appropriations) | | | |

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the number of posts and external staff that will be freed, in the form of a net reduction or a frozen post.

| Parent DG | Total staff to be freed (as planned at creation) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|---------------------------------------------|--------------------------------------------------|-------------------------|---------------------------|---------------------------|
| DG EAC | 4 | | 1 | 1 |
| Targeted reductions in other areas * | 180 | | 26 | 28 |
| Total | 184 | | 27 | 29 |

*these numbers refer to the total number of reductions that the Commission will implement to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally for all executive agencies as a precise split by executive agency cannot be presented.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.
It must be noted that these numbers are included in the total number of staff to be freed, as presented in section 3.3.1.1 above.

| Parent DG | Total staff to be freed (as planned at extension) | Staff freed by end 2021 | Staff to be freed in 2022 | Staff to be freed in 2023 |
|--------------|---------------------------------------------------|-------------------------|---------------------------|---------------------------|
| | | | | |
| Total | | | | |

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

| Parent DG | Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency | | |
|--------------|---------------------------------------------------------------------------------------------------------------|---------|---------|
| | By end 2021 | In 2022 | In 2023 |
| | | | |
| Total | | | |

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

| Parent DG | Number of posts to be frozen (as planned) | Number of posts frozen in 2022 | Number of posts frozen in 2023 |
|-----------------|-------------------------------------------|--------------------------------|--------------------------------|
| | | | |
| DG EAC | 30 | 30 | 30 |
| DG CNECT | 2 | 2 | 2 |
| DG JUST | 2 | 2 | 2 |
| Total | 34 | 34 | 34 |

4 Financial Resources

4.1 Indicative contribution table

| Indicative EU budget contribution to the agency (as foreseen in the Specific Financial Statements as included in the Commission proposal submitted to the Committee for Executive Agencies and to the Budgetary Authority on 10 December 2020 ⁶⁵) | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|--------|--------|--------|--------|--------|--------|
| | 54,255 | 57,766 | 61,407 | 65,181 | 69,094 | 73,150 | 77,354 |
| Agency contribution as voted by the Budgetary Authority | | | | | | | |
| Initial Budget (EUR-27) | 50,803 | 57,766 | | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 53,379 | | | | | | |
| Operating budget of the agency | | | | | | | |
| Initial Budget (EUR-27) | 50,803 | 57,766 | | | | | |
| Budget after transfers and / or amending budgets (EUR-27) | 52,812 | | | | | | |

⁶⁵ As foreseen in the Communication to the Commission C(2020)7876, which was based on the political agreement at the European Council of 17-21 July 2020, an update was needed following the political agreement reached on 10 November 2020 between the European Parliament and the Council.

4.2 Revenues

| REVENUES | | 2021 | 2022 | 2023 | VAR 2023/2022 (%) |
|-----------------------------------------------------------------------|--------------|--------------------|-------------------|-------------------------------|-------------------------|
| | | Executed Budget | Budget | Draft Budget ⁶⁶ | |
| 1. EU contribution to the executive agency | | | | | |
| Budget Line | Programme | | | | |
| 07 01 02 75 | ERASMUS_PLUS | 27 039 348 | 26 839 969 | 29 580 986 | 10,2% |
| 07 01 03 75 | ESC_2021 | 1 620 000 | 1 565 966 | 1 587 404 | 1,4% |
| 07 01 04 75 | CREATIVEEU | 14 240 865 | 15 314 886 | 16 791 005 | 9,6% |
| 07 01 05 75 | RIGHTS_2021 | 5 369 865 | 6 501 000 | 7 323 300 | 12,6% |
| 14 01 01 75 | NDICI | 4 474 000 | 6 144 641 | 6 488 340 | 5,6% |
| 15 01 01 75 | IPAIH | 635 000 | 1 399 424 | 1 477 701 | 5,6% |
| Sub Total | | 53 379 078 | 57 765 886 | 63 248 736 | 9,5% |
| 2. EEA/EFTA contribution (excl.Switzerland) | | | | | |
| Budget Line | Programme | | | | |
| 07 01 02 75 | ERASMUS_PLUS | 99 173 | 724 680 | 742 483 | 2,5% |
| 07 01 03 75 | ESC_2021 | 3 078 | 2 975 | 2 857 | -4,0% |
| 07 01 04 75 | CREATIVEEU | 384 503 | 413 505 | 421 454 | 1,9% |
| 14 01 01 75 | NDICI | | 165 915 | 162 857 | -1,8% |
| 15 01 01 75 | IPAIH | | 37 773 | 37 090 | -1,8% |
| Sub Total | | 486 754 | 1 344 848 | 1 366 742 | 1.6% |
| 3. Participation of candidate countries and/or third countries | | | | | |
| | | | | | |
| Sub Total | | | 2 000 622 | 4 161 546 | 108,0% |
| TOTAL REVENUES | | 53 865 832 | 60 998 356 | 68 777 024 | 12,5% |

4.3 Expenditure

4.3.1 Commitment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|----------------------------------------------|-------------------------|-------------------|-------------------|----------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 39 267 742 | 46 089 556 | 53 541 034 | 16,2% |
| Remunerations, Allowances and Charges | 37 369 160 | 43 670 556 | 51 329 624 | 17,5% |
| - <i>Of which establishment plan posts</i> | <i>14 748 694</i> | <i>17 140 671</i> | <i>20 146 916</i> | <i>17,5%</i> |
| - <i>Of which external personnel</i> | <i>22 620 466</i> | <i>26 529 885</i> | <i>31 182 707</i> | <i>17,5%</i> |

⁶⁶ Based on the draft administrative budget as adopted by the steerco. The main change compared to the draft administrative budget adopted by the steerco relates to more updated information on the expected salary update in 2022 and 2023 (updated inflation parameters)

| | | | | |
|----------------------------------------------------|-------------------|-------------------|-------------------|--------------|
| Professional Development and Social expenditure | 1 898 582 | 2 419 000 | 2 211 410 | -8,6% |
| Title 2 - Infrastructure and operating expenditure | 9 044 269 | 9 211 800 | 9 406 191 | 2,1% |
| Building expenditure | 5 649 044 | 5 530 000 | 6 004 900 | 8,6% |
| ICT expenditure | 2 711 585 | 2 620 000 | 2 405 050 | -8,2% |
| Movable Property and Current Operating expenditure | 683 640 | 1 061 800 | 996 241 | -6,2% |
| Title 3 - Programme support expenditure | 4 983 453 | 5 810 000 | 5 829 800 | 0,3% |
| Programme Management expenditure | 4 983 453 | 5 810 000 | 5 829 800 | 0,3% |
| Common Support Services expenditure | | | | |
| TOTAL | 53 295 464 | 61 111 356 | 68 777 024 | 12,5% |

4.3.2 Payment appropriations

| EXPENDITURE | EXPENDITURE | | | |
|----------------------------------------------------|----------------------|-------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | VAR 2023/2022 (%) |
| Title 1 - Staff expenditure | 38 718 268 | 46 089 556 | 53 541 034 | 16,2% |
| Remunerations, Allowances and Charges | 37 079 090 | 43 670 556 | 51 329 624 | 17,5% |
| - Of which establishment plan posts | 14 748 694 | 17 140 671 | 20 146 916 | 17,5% |
| - Of which external personnel | 22 330 396 | 26 529 885 | 31 182 707 | 17,5% |
| Professional Development and Social expenditure | 1 639 178 | 2 419 000 | 2 211 410 | -8,6% |
| Title 2 - Infrastructure and operating expenditure | 7 718 426 | 9 211 800 | 9 406 191 | 2,1% |
| Building expenditure | 4 567 541 | 5 530 000 | 6 004 900 | 8,6% |
| ICT expenditure | 2 541 356 | 2 620 000 | 2 405 050 | -8,2% |
| Movable Property and Current Operating expenditure | 609 529 | 1 061 800 | 996 241 | -6,2% |
| Title 3 - Programme support expenditure | 4 027 453 | 5 810 000 | 5 829 800 | 0,3% |
| Programme Management expenditure | 4 027 453 | 5 810 000 | 5 829 800 | 0,3% |
| Common Support Services expenditure | | | | |
| TOTAL | 50 464 147 | 61 111 356 | 68 777 024 | 12,5% |

4.4 Outturn

The 2021 surplus that should be reimbursed to the EU budget (as general revenue): 1.060.793,44 EUR

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The number of staff requested for 2023 is in line with the Financial Statement accompanying the mandate – Commission Decision C(2021) 951 which received a positive opinion of the CEA on 2 February 2021.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

13 contract agents could be financed for 2023 on credits from the participation of candidate countries and/or third countries for the programmes Erasmus+.

5.1.3 Salary assumption for calculating salary lines (% applied)

Salaries for 2023 have been calculated on the basis of January 2022 average costs per FTE multiplied by the number of FTE foreseen for 2023. The calculation takes into account the effect of the promotion exercise and a vacancy rate of around 3 % for temporary agents and 4% for contract agents. Also, the 2023 request takes into account updated inflation parameters that will have an impact on the 2022 and 2023 salary update.

5.1.4 Vacancy rate as of end 2021

On 31 December 2021, the vacancy rate amounted to 9% of the total authorised staff (both for contract and temporary agents).

5.2 Financial Resources

5.2.1 Title 1

Compared with 2022, Title I increases by 16,2 % to mainly reflect the staff increase in 2023 (+5 AT and + 16 AC funded by C1 credits) and the salary adjustment expected for 2022 and 2023. In 2022, the authorized C1 staff amounts to 505 while it would be 526 in 2023 (+4,15%). The 2023 level of staff is compliant with the applicable delegation (C(2021) 951 final of 12.02.2021). Other reasons for this increase are the structure of the establishment plan, the promotion exercise as well as an indexation rate. EACEA has taken 3 % (AT) and 4 % (AC) as estimated vacancy rates. The number of posts that could be potentially funded by R0 credits (4 AT + 13 AC) remains stable.

5.2.2 Title 2

Compared with 2022, Title II increases by 2,1 %. Chapter 21 (buildings charges) will increase (~inflation), which is partially offset by the two other chapters in title II, especially for the IT line 2211 (data center equipment) and the litigation line 2342 (the Agency intends to make less use of external lawyers).

5.2.3 Title 3

Against 2022, Title III is stable.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|----------|---------------------|------------|-------|-----------------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | J-59 | Brussels | 4 184 | 4 762 | 8 946 | 1 887 475 | 31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year) | Commission building put at disposal of the Agency on the basis of an SLA with OIB | | |
| 2 | SPA2 | Brussels | 2 373 | 2 628 | 5 001 | 1 009 728 | 31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year) | Commission building put at disposal of the Agency on the basis of an SLA with OIB | | |
| 3 | J-70 | Brussels | 362 | 345 | 707 | 206 208 | 31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year) | Commission building put at disposal of the Agency on the basis of an SLA with OIB | | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|--------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | 6 919 | 7 735 | 14 654 | 3 103 411 | | | | |

6.1.2 Current Building(s) Other comments

All buildings are subject to annual indexation. For J-70 the amount is the one communicated by OIB for the space in J-70 01.

6.1.3 Building projects in the planning phase

Unit B1 of EACEA Agency has moved from SPA2 to VM18 in early 2020. The agency does not have a contract for VM18. The renting is still on the SPA2 contract for facility. The occupancy of VM18 is counted on the SPA2 contract. The surface and the price taking into account for VM18 is based on the SPA2 contract.

As the rental lease is ending on 17 june 2024 for J-59, 30 october 2025 for SPA 2, a further phase will be probably the move of the Agency from J-59 to COVE to take place in 2024. The other option is a renewal of the rental lease . Discussion are taking place. The rental lease for J-70 is ending on 2027 where the Commission will be the owner of the building.

6.2 Evaluation

The latest evaluation - the fourth since the establishment of EACEA - covered the period 2015-2017 resulting in an evaluation report issued by an external contractor and endorsed by the Commission services. Following the evaluation process, an action plan was drawn up together with the parent DGs. The implementation of the action plan was closely followed by the Steering Committee up until all actions were fully implemented, thereby closing the action plan in October 2021.

The preparation of the fifth evaluation of the Agency, covering the period 2018 to end of March 2021, has started and this time around all Agencies will be evaluated together

All info on the evaluation of the agency available on EACEA-Net IntraComm page:

<https://myintracomm.ec.europa.eu/dg/eacea/eacea/about/Pages/evaluation.aspx>

PART III – BODIES CREATED UNDER RESEARCH AND INNOVATION

1 MAIN BUDGETARY TRENDS

Chapter 1.1. presents the 'main budgetary trends' for bodies created in the field of research and innovation under the articles of the Treaty on the Functioning of the European Union.

Section 1.1.1 presents the budgetary and staffing highlights for 2023 for the EIT, including as well a table on Commission budget implementation for EIT in 2021, which is followed by a table on staffing (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2021).

Section 1.1.2 presents the budgetary and staffing highlights for 2023 for the 9 joint undertakings (JU) established under the so-called 'Single Basic Act'⁶⁷ as well as EuroHPC and the European Cybersecurity Industrial, Technology and Research Competence Centre. Furthermore, this section presents an overview table on the Commission budget implementation of these bodies in 2021, which is followed by an overview table on staffing (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2021).

Chapter 1.2. presents the budgetary and staffing highlights for Fusion4Energy (F4E), the joint undertaking created under the Euratom Treaty for the ITER project.

1.1 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

1.1.1 *European Institute of Innovation and Technology (EIT)*

The European Institute of Innovation and Technology (EIT) will continue to operate by providing grants to its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations (the so-called knowledge triangle). The EIT contributes to Europe's priorities such as the new Green Deal and channels innovations through eight KICs intervening in different areas: climate, digitisation, renewable energy, health, sustainable raw material, food, manufacturing, and urban mobility. The proposal for the 2023 budget for the EIT will exceed the level for the 2022 budget, in line with the overall financial programming and consistent with the overall budgetary envelope agreed for EIT in the Horizon Europe programme.

The draft budget request for the EIT is in line with the Commission proposal for a Regulation on the EIT, extending its mandate to 2021-2027. More details can be found in its individual budgetary statement in section 2.

1.1.2 *Budgetary and staffing highlights 2021: Joint Undertakings under TFEU*

As a principle, the levels of appropriations and human resources proposed for 2023 are in line with the joint undertakings' respective legal basis and largely based on the estimates provided in the legislative financial statements accompanying the Commission proposals. The most significant deviation compared to the Single Basic Act affects the ex-Key Digital Technologies joint undertaking, which the Commission has proposed – in the context of the European Chips Act – to rename Chips joint undertaking and to significantly reinforce with a larger contribution from Horizon Europe and a new contribution from the Digital Europe Programme. Other deviations consist, for example, in frontloading the EU contribution to the Clean Hydrogen joint undertaking, and in aligning the EU contribution to the running costs of joint undertakings with the final provisions of the Single Basic Act. When relevant (i.e. when the joint undertaking succeeds to an existing entity), the appropriations and staff for 2022 also provide for the completion of the activities launched under Horizon 2020.

As presented in more detail in the individual budgetary statements (section 2.1), the total staffing of the joint undertakings at the time of presenting the 2023 Draft Budget is as follows⁶⁸:

| <i>Authorised establishment plan (posts)</i> | | <i>Contract Agents</i> | | <i>Seconded National Experts</i> | | <i>Total Staff (FTE)</i> | |
|----------------------------------------------|------|------------------------|------|----------------------------------|------|--------------------------|------|
| 2022 | 2023 | 2022 | 2023 | 2022 | 2023 | 2022 | 2023 |
| 231 | 244 | 140 | 148 | 10 | 8 | 381 | 400 |

⁶⁷ Council Regulation (EU) 2021/2085.

⁶⁸ Figures exclude F4E which is presented separately.

Overview – TFEU Joint Undertakings 2023 / 2022

(commitment appropriations, in million EUR, rounded figures at current prices)

| Name | Draft budget 2023 | Budget 2022 | Difference 2023 / 2022 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------|---------------------------|
| | EU contribution | EU contribution | |
| Horizon Europe¹ | | | |
| Single European Sky Air Traffic Management Research Joint Undertaking (SESAR) | 86,5 | 86,3 | 0,3 % |
| <i>establishment plan posts</i> | <i>37</i> | <i>37</i> | <i>0</i> |
| Circular Bio-based Europe Joint Undertaking | 147,8 | 178,5 | -17,2 % |
| <i>establishment plan posts</i> | <i>13</i> | <i>13</i> | <i>0</i> |
| Clean Aviation Joint Undertaking | 231,6 | 150,6 | 53,8 % |
| <i>establishment plan posts</i> | <i>35</i> | <i>36</i> | <i>-1</i> |
| Chips Joint Undertaking | 758,0 | 250,0 | 203,2 % |
| <i>establishment plan posts</i> | <i>17</i> | <i>14</i> | <i>3</i> |
| European High Performance Computing Joint Undertaking | 450,0 | 419,0 | 7,4 % |
| <i>establishment plan posts</i> | <i>27</i> | <i>22</i> | <i>5</i> |
| Clean Hydrogen Joint Undertaking | 195,2 | 150,0 | 30,1 % |
| <i>establishment plan posts</i> | <i>27</i> | <i>27</i> | <i>0</i> |
| Innovative Health Initiative Joint Undertaking | 201,4 | 150,9 | 33,4 % |
| <i>establishment plan posts</i> | <i>39</i> | <i>39</i> | <i>0</i> |
| Europe's Rail Joint Undertaking | 91,7 | 90,6 | 1,3 % |
| <i>establishment plan posts</i> | <i>10</i> | <i>10</i> | <i>0</i> |
| Global Health EDCTP3 Joint Undertaking | 133,7 | 68,1 | 96,2 % |
| <i>establishment plan posts</i> | <i>22</i> | <i>17</i> | <i>5</i> |
| Smart Networks and Services Joint Undertaking² | 131,2 | 121,9 | 7,6 % |
| <i>establishment plan posts</i> | <i>7</i> | <i>6</i> | <i>1</i> |
| Subtotal | 2427,1 | 1665,9 | 45,7% |
| <i>establishment plan posts</i> | <i>234</i> | <i>221</i> | <i>13</i> |
| Digital Europe Programme | | | |
| European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)³ | 179,1 | 151,3 | 18,3 % |
| <i>establishment plan posts</i> | <i>10</i> | <i>10</i> | <i>0</i> |
| Subtotal | 179,1 | 151,3 | 18,3 % |
| <i>establishment plan posts</i> | <i>10</i> | <i>10</i> | <i>0</i> |
| TOTAL | 2 606,2 | 1 817,2 | 43,4% |
| <i>establishment plan posts</i> | <i>244</i> | <i>231</i> | <i>13</i> |
| <p>1. The EU contribution to the Horizon Europe bodies in 2022 and 2023 is based on voted budget appropriations.</p> <p>2. The EuroHPC joint undertaking is financed from Horizon Europe, the Digital Europe programme and the Connecting Europe Facility.</p> <p>3. CYBER is financed from Horizon Europe and the Digital Europe programme.</p> | | | |

Table EU budget implementation for TFEU Joint Undertakings 2021

In EUR million

| Joint undertakings TFEU | Authorised appropriations 2021 | | Commitments made in 2021 | | | Payments made in 2021 | | | Carry over of assigned revenue to 2022 | | Appropriations cancelled 2021** | |
|-----------------------------------------------------------------|-----------------------------------|----------------|--------------------------|--------------------------|-----------------------------------|-----------------------|-----------------------------|-----------------------------------|-------------------------------------------|--------------|------------------------------------|---------------|
| | Commitments | Payments | Total | Of which voted budget | of which assigned revenues* | Total | Of which voted budget | of which assigned revenues* | Commitments | Payments | Commitments | Payments |
| Innovative Health Initiative | 100,455 | 194,795 | 100,455 | 100,455 | 0,000 | 194,340 | 194,340 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Global Health EDC/CP3 | 33,336 | 0,000 | 33,336 | 33,336 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| European High-Performance Computing Joint Undertaking (EuroHPC) | 369,547 | 166,257 | 369,367 | 369,367 | 0,000 | 116,092 | 115,381 | 0,710 | 0,000 | 0,000 | 0,000 | 0,000 |
| Chips/KDI | 210,000 | 167,268 | 210,000 | 210,000 | 0,000 | 167,268 | 167,268 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Smart Networks and Services | 121,128 | 0,000 | 121,128 | 121,128 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Single European Sky ATM Research | 40,000 | 61,287 | 0,000 | 0,000 | 0,000 | 41,287 | 40,767 | 0,520 | 0,000 | 0,000 | 40,000 | 20,000 |
| Clean Aviation | 229,925 | 84,966 | 229,925 | 229,925 | 0,000 | 84,966 | 84,966 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Europe's Rail | 73,081 | 54,537 | 0,000 | 0,000 | 0,000 | 41,454 | 41,193 | 0,261 | 0,000 | 0,000 | 72,998 | 13,000 |
| Clean Hydrogen | 150,000 | 46,965 | 150,000 | 150,000 | 0,000 | 46,965 | 46,965 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Circular Bio-based Europe | 71,224 | 124,954 | 71,130 | 71,130 | 0,000 | 124,838 | 124,838 | 0,000 | 0,000 | 0,000 | -0,116 | 0,000 |
| European Cybersecurity Competence Centre | 46,326 | 0,000 | 46,326 | 39,978 | 6,348 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Total | 1,445,022 | 901,028 | 1,331,667 | 1,325,319 | 6,348 | 817,209 | 815,718 | 1,492 | 0,000 | 0,000 | 112,882 | 33,000 |

* Excluding external assigned revenue

** The amounts in these columns were non-automatically carried over.

Table TFEU Joint Undertakings staffing overview 2021

| Joint undertakings TFEU | Year of creation | Establishment Plan Posts | | | | Contract Agents | | | | Seconded National Experts (END) | | | | Total personnel | | | |
|------------------------------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|-----------------|-----------------|----------------------------|------------------|------------------|---------------------------------|----------------------------|------------------|------------------|-----------------|----------------------------|-------------|-------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2022 | Authorised 2023 | Authorised 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Authorised 2021 | Actually filled 31/12/2021 | Recommended 2022 | Recommended 2023 | Budget 2021 | Actually filled 31/12/2021 | Budget 2022 | Budget 2023 |
| Circular Bio-based Europe | 2021 | 13 | 13 | 13 | 13 | 10 | 9 | 16 | 16 | | | | 0 | 23 | 22 | 29 | 29 |
| Chips Joint Undertaking | 2021 | 14 | 13 | 14 | 17 | 16 | 16 | 16 | 19 | | | | 1 | 30 | 29 | 30 | 37 |
| Clean Aviation Joint Undertaking | 2021 | 36 | 35 | 36 | 35 | 6 | 6 | 6 | 6 | 2 | 1 | 2 | | 44 | 42 | 44 | 41 |
| European Cybersecurity Industrial, Technology and Research Competence Centre | 2021 | 10 | | 10 | 10 | 26 | | 26 | 27 | 1 | | 1 | 1 | 37 | | 37 | 38 |
| European High Performance Computing Joint Undertaking | 2021 | 4 | 4 | 22 | 27 | 11 | 11 | 25 | 27 | 1 | | | | 16 | 15 | 47 | 54 |
| Clean Hydrogen Joint Undertaking | 2021 | 24 | 23 | 27 | 27 | 3 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 29 | 27 | 31 | 31 |
| Global Health EDC/TP3 Joint Undertaking | 2021 | 6 | | 17 | 22 | 1 | | 6 | 8 | | | | | 7 | | 23 | 30 |
| Innovative Health Initiative Joint Undertaking | 2021 | 39 | 36 | 39 | 39 | 15 | 13 | 15 | 15 | 2 | 1 | 1 | | 56 | 50 | 55 | 54 |
| Europe's Rail Joint Undertaking | 2021 | 10 | 5 | 10 | 10 | 17 | 17 | 17 | 17 | 2 | 1 | 2 | 2 | 29 | 23 | 29 | 29 |
| Single European Sky ATM Research Joint Undertaking | 2021 | 37 | 34 | 37 | 37 | 1 | 1 | 1 | 1 | 2 | 2 | 2 | 2 | 40 | 37 | 40 | 40 |
| Smart Networks and Services Joint Undertaking | 2021 | 5 | | 6 | 7 | 9 | | 10 | 10 | | | | | 14 | | 16 | 17 |
| Total Joint undertakings TFEU | | 198 | 163 | 231 | 244 | 115 | 75 | 140 | 148 | 12 | 7 | 10 | 8 | 325 | 245 | 381 | 400 |

1.2 Fusion for energy (F4E)

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2023 for F4E in text and tables. Furthermore, this section presents a table on Commission budget implementation for F4E in 2021, which is followed by a table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2021).

Budgetary and staffing highlights 2023: F4E

The foreseen EU contribution to F4E somewhat increases by 44% in line with non-linear profile of ITER programme.

In order to address increased workload, as compared to the levels indicated in the legislative financial statement accompanying the Council Decision, the Commission proposes to increase the number of establishment plan posts by 25, of which ten additional temporary agents and 15 existing contract agents transformed into temporary agents.

As presented in more detail in the individual budgetary financial statement (section 2.2), the total staffing of F4E foreseen for 2023 would be as follows:

| <i>Authorised establishment plan (posts)</i> | | <i>Contract Agents</i> | | <i>Seconded National Experts</i> | | <i>Total Staff (FTE)</i> | |
|----------------------------------------------|------|------------------------|------|----------------------------------|------|--------------------------|------|
| 2022 | 2023 | 2022 | 2023 | 2022 | 2023 | 2022 | 2023 |
| 280 | 305 | 170 | 155 | 7 | 7 | 457 | 467 |

F4E overview table 2023 / 2022

(in million EUR)

| Name | Draft budget 2023 | Budget 2022 | Difference 2023 / 2022 |
|---------------------------------------------------------------|--------------------------|--------------------------|---------------------------|
| | Of which EU contribution | Of which EU contribution | |
| European Joint Undertaking for ITER - Fusion for Energy (F4E) | 1 012,1 | 703,0 | 44,0 % |
| <i>establishment plan posts</i> | 305 | 280 | 25 |

Table Euratom budget implementation for F4E 2021

(in million EUR)

| European Joint Undertaking for ITER – Fusion for Energy (F4E) | Budget line | Authorised appropriations 2021 | | Commitments made 20211 | | | Payments made 2021 | | | Carry-over of assigned revenue to 2022 | | Appropriations cancelled 2021 | |
|---------------------------------------------------------------|-------------|--------------------------------|----------------|------------------------|-----------------------|----------------------------|--------------------|-----------------------|----------------------------|----------------------------------------|--------------|-------------------------------|--------------|
| | | Commitments | Payments | Total | Of which voted budget | Of which assigned revenues | Total | Of which voted budget | Of which assigned revenues | Commitments | Payments | Commitments | Payments |
| Support expenditure | | 63,308 | 66,844 | 63,308 | 62,484 | 0,824 | 62,484 | 62,484 | 4,360 | 1,008 | 1,143 | 0,000 | 0,000 |
| Operational expenditure | | 794,649 | 194,598 | 794,649 | 794,649 | 0,000 | 194,598 | 194,598 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Completion expenditure | | 0,000 | 349,695 | 0,000 | 0,000 | 0,000 | 349,695 | 349,695 | 0,000 | 0,000 | 0,000 | 0,000 | 0,000 |
| Total F4E | | 857,957 | 611,137 | 857,957 | 857,133 | 0,824 | 611,137 | 606,778 | 4,360 | 1,008 | 1,143 | 0,000 | 0,000 |

Table F4E staffing overview 2021

| Name | Year of creation | Establishment Plan Posts | | Contract Agents | | Seconded National Experts (END) | | Total personnel | |
|---------------------------------------------------------------|------------------|--------------------------|----------------------------|-----------------|----------------------------|---------------------------------|----------------------------|-----------------|----------------------------|
| | | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2021 | Actually filled 31/12/2021 | Authorised 2021 | Actually filled 31/12/2021 | Budget 2021 | Actually filled 31/12/2021 |
| European Joint Undertaking for ITER – Fusion for Energy (F4E) | 2007 | 280 | 273 | 170 | 166 | 3 | 2 | 453 | 441 |

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

2.1.1 European Institute of Innovation and Technology – EIT

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| REGULATION (EC) No 294/2008 establishing the European Institute of Innovation and Technology | 11 March 2008 | The overall mission of the European Institute of Innovation and Technology (EIT) is to boost sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union. In particular, the EIT reinforces the Union's innovation capacity and addresses societal challenges through the integration of the knowledge triangle of higher education, research and innovation. The EIT operates through its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations. The EIT provides grants to the KICs, monitors their activities, supports cross-KIC collaboration and disseminates results and good practices. |
| REGULATION (EU) 2021/819 of the European Parliament and of the Council on the European Institute of Innovation and Technology (recast) | 20 May 2021 | |
| DECISION (EU) 2021/820 of the European Parliament and of the Council on the Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT) 2021-2027: Boosting the Innovation Talent and Capacity of Europe | 20 May 2021 | The Strategic Innovation Agenda (SIA) lays down the priority fields and the long-term strategy and financial needs for the EIT. For the period 2021-2027, the SIA should ensure alignment with the objectives of Horizon Europe as well as the strategic multiannual planning, monitoring and other requirements of that programme, and foster synergies with other relevant programmes. It also outlines the planned higher education, research and innovation activities and the respective budget breakdown. It sets the time schedule for the selection and designation of new KICs for the next programming period. |
| Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013 | 28 April 2021 | The financial contribution from Horizon Europe to the EIT is implemented in accordance with the Regulation of the European Parliament and of the Council establishing the Horizon Europe. The EIT funding, primarily through its Knowledge and Innovation Communities (KICs) and by expanding its Regional Innovation Scheme, should aim to strengthen innovation ecosystems that tackle global challenges. |
| Council Decision (EU) 2021/764 of 10 May 2021 establishing the Specific Programme implementing Horizon Europe – the Framework Programme for Research and Innovation, and repealing Decision 2013/743/EU | 10 May 2021 | Horizon Europe will strengthen the Union's scientific and technological bases in order to help tackle the major global challenges of our time and contribute to achieving the Sustainable Development Goals (SDGs). At the same time, the programme will boost the Union's competitiveness, including that of its industries. Horizon Europe will help deliver on the Union's strategic priorities and support the development and implementation of Union policies. In a swiftly changing world, Europe's success increasingly depends on its ability to transform excellent scientific results into innovation that have a real beneficial impact on our economy and quality of life, and create new markets with more skilled jobs. Horizon Europe – the Framework Programme for Research and Innovation is to be implemented through specific programmes which define the detailed rules for their implementation, fix their duration and provide for the means deemed necessary. |

1.2 Seat

Budapest, Hungary

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 40 | 38 | 95,00% | 40 | 40 |
| Assistants (AST) | 5 | 4 | 80,00% | 5 | 5 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 45 | 42 | 93,33% | 45 | 45 |
| Contract Agents (CA) | 23 | 21 | 91,30% | 23 | 23 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 2 | 2 |
| TOTAL STAFF | 70 | 64 | 91,43% | 70 | 70 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

| REVENUES | 2022 | 2023 |
|---------------------------------------------------------|----------------------------------|--------------------|
| | Revenues estimated by the agency | Budget Forecast |
| EU contribution | 384 247 983 | 392 016 307 |
| Other Revenue (EEA/EFTA and other associated countries) | 29 490 925 | 14 382 803 |
| TOTAL REVENUES | 413 738 908 | 406 399 110 |

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | 2022 | 2023 |
|------------------------------------------------------------------------------|----------------------------------|-----------------|
| | Revenues estimated by the agency | Budget Forecast |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | 10 000 000 | |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | |

| | | |
|-----------------------|-------------------|--|
| TOTAL REVENUES | 10 000 000 | |
|-----------------------|-------------------|--|

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|---------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 6 848 400 | 6 848 400 | 6 999 840 | 6 999 840 |
| Title 2 - Infrastructure and operating expenditure | 1 067 530 | 1 067 530 | 1 015 457 | 1 015 457 |
| Title 3 - Operational expenditure | 415 822 978 | 432 261 088 | 398 383 813 | 359 461 438 |
| Title 4 - Unused Appropriations not required in current Year | | | | |
| TOTAL EXPENDITURE | 423 738 908 | 440 177 018 | 406 399 110 | 367 476 735 |

Remark: staff expenditure of EUR 200 000 for four years is an additional expense where fund stemming from the contribution agreement with the React-EU Programme for the European Battery Alliance Academy funding.

Title 3 expenditure includes European Battery Alliance Academy funding as follows: CA of EUR 10 000 000 in 2022.

The European Battery Alliance Academy contribution agreement is broken down in:

- Title 1, PA of 50 000 EUR in 2022 and 50 000 EUR in 2023.
- Title 3, PA of EUR 7 000 000 in 2022 and of EUR 2 000 000 in 2023.

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | 1 | | |
| AD 14 | | 1 | | 1 | | 1 | | | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 1 | | | | 1 | | 1 | | 1 |
| AD 11 | | 2 | | 1 | | 2 | | 4 | | 2 |
| AD 10 | | 9 | | 6 | | 9 | | 8 | | 9 |
| AD 9 | | 9 | | 6 | | 10 | | 14 | | 10 |
| AD 8 | | 10 | | 13 | | 10 | | 11 | | 10 |
| AD 7 | | 7 | | 5 | | 6 | | 5 | | 6 |
| AD 6 | | 1 | | 6 | | 1 | | 2 | | 1 |
| AD 5 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD TOTAL | | 40 | | 38 | | 40 | | 46 | | 40 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | 3 | | 1 | | 3 | | 3 | | 3 |
| AST 4 | | 1 | | 3 | | 1 | | 2 | | 2 |
| AST 3 | | 1 | | | | 1 | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 5 | | 4 | | 5 | | 5 | | 5 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 45 | | 42 | | 45 | | 51 | | 45 |
| GRAND TOTAL | 45 | | 42 | | 45 | | 51 | | 45 | |

2.2 External personnel

2.2.1 Contract Agents from EU budget (Horizon Europe)

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 10 | 9 | 10 | 10 |
| Function Group III | 13 | 11 | 13 | 13 |
| Function Group II | | 1 | | |
| Function Group I | | | | |
| TOTAL | 23 | 21 | 23 | 23 |

2.2.2 Contract Agents financed from other revenues/assigned revenue

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from additional EU funding stemming from the React-EU Programme for European Battery Alliance Academy funding:

| Human Resources financed from other revenues | Foreseen in 2021 | Recruited as of 31/12/2021 | Foreseen in 2022 | Foreseen in 2023 |
|---------------------------------------------------------------------------|------------------|----------------------------|------------------|------------------|
| Financed from contribution agreement with React-EU Programme | | | 1 | 1 |
| Financed from participation of candidate countries and/or third countries | | | 1 | 1 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 2 | 2 |

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

| REVENUES | General revenues | | | | |
|-----------------------------------------------------------------------------------------------------------|----------------------|-------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 1 REVENUE FROM FEES AND CHARGES | | | | | |
| 2 EU CONTRIBUTION | 397 871 765 | 384 247 983 | 392 016 307 | 392 016 307 | 2,02% |
| - Of which assigned revenues deriving from previous years' surpluses | | | | | |
| 3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) | | 29 490 925 | 14 382 803 | 14 382 803 | -51,23% |
| - Of which EEA/EFTA (excl. Switzerland) (2) | | 9 490 925 | 9 682 803 | 9 682 803 | 2,02% |
| - Of which candidate countries (1) | | 20 000 000 | 4 700 000 | 4 700 000 | -76,50% |
| 4 OTHER CONTRIBUTIONS (3) | 3 357 257 | | | | |
| 5 ADMINISTRATIVE OPERATIONS | 4 089 | | | | |
| - Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61) | | | | | |
| 6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |

| REVENUES | General revenues | | | | |
|---------------------------------------------|----------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| 7 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| TOTAL | 401 233 111 | 413 738 908 | 406 399 110 | 406 399 110 | -1,77% |

(1) Estimated indicative amounts (including carry-overs for 2022)

(2) EFTA: Estimated rate is 2.47% that is based on figure for 2021.

(3) Other contribution: Revenue from unused grants

3.1.2 Additional EU funding: contribution, grant and service level agreements

| REVENUES | Additional EU funding: contribution, grant and service level agreements | | | | |
|------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------|-------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Additional EU funding stemming from grant agreements (FFR Art.7) | | | | | |
| Additional EU funding stemming from contribution agreements (FFR Art.7) | | 10 000 000 | | | -100% |
| Additional EU funding stemming from service level agreements (FFR Art. 43.2) | | | | | |
| TOTAL | | 10 000 000 | | | -100% |

3.2 Expenditure

3.2.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-------------------------------------------|---------------------------|------------------|-------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 6 220 291 | 6 848 400 | 7 605 600 | 6 999 840 | 2,21% |
| Salaries & allowances | 4 716 131 | 5 125 000 | 5 740 000 | 5 248 000 | 2,40% |
| - Of which establishment plan posts | 3 783 194 | 4 095 000 | 4 625 000 | 4 201 000 | 2,59% |
| - Of which external personnel | 932 937 | 1 030 000 | 1 115 000 | 1 047 000 | 1,65% |
| Expenditure relating to Staff recruitment | 15 915 | 6 600 | 7 000 | 6 680 | 1,21% |
| Mission expenses | 7 089 | 71 000 | 75 000 | 71 800 | 1,13% |
| Socio-medical infrastructure (2) | 378 172 | 426 800 | 447 400 | 430 920 | 0,97% |
| Training | 34 947 | 52 000 | 55 200 | 52 640 | 1,23% |

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------------------|---------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| External Services | 1 039 813 | 1 140 000 | 1 254 000 | 1 162 800 | 2,00% |
| Receptions, events and representation | 1 674 | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 26 550 | 25 000 | 25 000 | 25 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 900 200 | 1 067 530 | 1 025 457 | 1 015 457 | -4,88% |
| Rental of buildings and associated costs | 132 469 | 228 000 | 161 075 | 161 075 | -29,35% |
| Information, communication technology and data processing | 377 230 | 398 530 | 415 457 | 405 457 | 1,74% |
| Movable property and associated costs | 7 997 | 11 000 | 11 600 | 11 600 | 5,45% |
| Current administrative expenditure | 152 174 | 67 500 | 70 875 | 70 875 | 5,00% |
| Postage / Telecommunications | 1 449 | 3 000 | 3 150 | 3 150 | 5,00% |
| Meeting expenses | 764 | 4 000 | 4 200 | 4 200 | 5,00% |
| Running costs in connection with operational activities (1) | 228 117 | 342 000 | 359 100 | 359 100 | 5,00% |
| Information and publishing | | | | | |
| Studies | | 13 500 | | | -100% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 346 304 500 | 415 822 978 | 397 768 053 | 398 383 813 | -4,19% |
| KICs and knowledge triangle integration (3) | 345 970 735 | 413 265 978 | 395 760 393 | 396 376 153 | -4,09% |
| EIT cross-cutting activities | 318 015 | 2 525 500 | 1 989 660 | 1 989 660 | -21,22% |
| Supporting the innovation capacity of higher education | 15 750 | 31 500 | 18 000 | 18 000 | -42,86% |
| Title 4 - Unused Appropriations not required in current Year | | | | | |
| TOTAL | 353 424 991 | 423 738 908 | 406 399 110 | 406 399 110 | -4,09% |

(1) Expenses related to EIT Governing Board like honoraria meeting expenses are indicated on line "Running costs in connection with operational activities".

(2) EIT has a slightly different budget structure. Social-medical infrastructure covers school and kindergarten fees as well as medical expenses.

(3) 2022 figure of KICs and knowledge triangle integration contains additional EUR 10 000 000 for the European Battery Alliance Academy funding, thereby including Title 1 and Title 2 for a total amount of EUR 200 000 for the four years.

3.2.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|---------------------------------------------------------------------|------------------------|--------------------|--------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Agency request | Budget forecast | |
| Title 1 - Staff expenditure | 5 714 311 | 6 848 400 | 7 605 600 | 6 999 840 | 2,21% |
| Salaries & allowances | 4 716 131 | 5 125 000 | 5 740 000 | 5 248 000 | 2,40% |
| - <i>Of which establishment plan posts</i> | 3 783 194 | 4 095 000 | 4 625 000 | 4 201 000 | 2,59% |
| - <i>Of which external personnel</i> | 932 937 | 1 030 000 | 1 115 000 | 1 047 000 | 1,65% |
| Expenditure relating to Staff recruitment | 15 915 | 6 600 | 7 000 | 6 680 | 1,21% |
| Mission expenses | 6 184 | 71 000 | 75 000 | 71 800 | 1,13% |
| Socio-medical infrastructure | 377 203 | 426 800 | 447 400 | 430 920 | 0,97% |
| Training | 28 344 | 52 000 | 55 200 | 52 640 | 1,23% |
| External Services | 542 581 | 1 140 000 | 1 254 000 | 1 162 800 | 2,00% |
| Receptions, events and representation | 1 674 | 2 000 | 2 000 | 2 000 | 0,00% |
| Social welfare | 26 279 | 25 000 | 25 000 | 25 000 | 0,00% |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 667 743 | 1 067 530 | 1 025 457 | 1 015 457 | -4,88% |
| Rental of buildings and associated costs | 69 306 | 228 000 | 161 075 | 161 075 | -29,35% |
| Information, communication technology and data processing | 281 836 | 398 530 | 415 457 | 405 457 | 1,74% |
| Movable property and associated costs | 869 | 11 000 | 11 600 | 11 600 | 5,45% |
| Current administrative expenditure | 86 834 | 67 500 | 70 875 | 70 875 | 5,00% |
| Postage / Telecommunications | 1 207 | 3 000 | 3 150 | 3 150 | 5,00% |
| Meeting expenses | 764 | 4 000 | 4 200 | 4 200 | 5,00% |
| Running costs in connection with operational activities | 226 927 | 342 000 | 359 100 | 359 100 | 5,00% |
| Information and publishing | | | | | |
| Studies | | 13 500 | | | -100% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 389 394 528 | 432 261 088 | 358 845 678 | 359 461 438 | -16,84% |
| KICs and knowledge triangle integration (1) | 387 040 798 | 430 995 322 | 356 172 661 | 356 788 421 | -17,22% |
| EIT cross-cutting activities | 2 353 730 | 1 227 516 | 2 651 160 | 2 651 160 | 115,98% |
| Supporting the innovation capacity of higher education | | 38 250 | 21 857 | 21 857 | -42,86% |
| Title 4 - Unused Appropriations not required in current Year | | | | | |
| TOTAL | 395 776 582 | 440 177 018 | 367 476 735 | 367 476 735 | -16,52% |

(1) 2022 and 2023 figures of KICs and knowledge triangle integration contains pre-financing payments (70% in 2022 and 20% in 2023) for the European Battery Alliance grant of EUR 10 000 000 stemming from the contribution agreement with the React-EU Programme.

3.3 Budget Outturn

The 2021 surplus that remains within the EIT: EUR 287 304.58

4 Justification of needs

Commission assessment

Human Resources

EIT requests 10 additional posts (6 TA AD, 2 CA, 2 SNE), in excess of its current Establishment Plan capped at 2020 levels. The request is driven by significantly increased workload arising from its new mandate and strategic objectives for the 2021-2027 period, for which the EIT flags growing difficulties to manage without a matching increase in the human resources.

The additional workload caused by new policy priorities comes on top of concerns of structural understaffing of the EIT, as supported by the findings of the ECA reports in previous years. Firstly, the 25% budget increase of the EIT combined with Horizon Europe's and Financial Regulation's compliance requirements naturally lead to additional work to ensure legality and regularity of transactions and compliance with the principles of sound financial management. The Commission also notes that a substantial increase in third country contributions might be expected in 2023, which will again increase the overall workload for the EIT.

Secondly, the increased budget comes along with new activities and a raise of expectations on deliverables, both in terms of quantity and quality. Highlight of new (critical) core activities:

- Launching of two new KICs on top of managing and growing the eight existing KICs. For the first new KIC, EIT Culture and Creativity, due to start operation in 2022, synergies and complementarities with the Creative Europe Programme, along with adjustments to new operation modalities, must be created.
- Preparing the transition of the three first wave KICs to new ways of active cooperation with the EIT through a Memorandum of Cooperation.
- Delivering on the newly adopted Strategy for European Universities where the EIT has some dedicated actions.
- Delivering on the EU Industrial Strategy, with two of the EIT KICs running an industrial alliance (EIT InnoEnergy for the European Battery Alliance and EIT RawMaterials for the European Raw Materials Alliance).
- In the foreseen Innovation Agenda, the EIT is to set up a new deep tech talent flagship initiative to target one million learners, to create a new EIT/EIC internship scheme and to scale up the Girls Go Circular initiative.
- Continue the implementation of the pilot action launched 2021 with 3 separate calls to increase the innovative capacities of Higher Education Institutions, but also assess the pilot and prepare for the implementation of a full initiative afterwards.
- Contribute to the implementation of the New European Bauhaus with a new innovation action.
- Provide guidance and support to KICs in the preparation of multi-annual EIT RIS strategies and in their implementation in accordance with the RIS Implementation Framework (2022-2027).
- Implement and deliver joint initiatives with the EIC following the signature a MoU signed in 2021.

Following unmet requests for additional posts for several years in a row, the EIT is working on a mitigation measure to respond to the increased needs of monitoring capacity for the KICs by redesigning its internal control system and transforming it into a KICs monitoring post. The EIT has also flagged its inability to pick-up additional relevant actions such as a Cooperation and Support Action for the EIT National Contact Points, as implemented already by the other Horizon Europe programmes, due to staffing limitations.

The Commission has the EIT's request under careful consideration, with respect to potential impacts on the successful implementation of the EIT's ambitious strategic objectives as well as on the continued efficiency and regularity of EIT's operations under the new Framework Programme. The 2023 DB request and the proposed establishment plan does not reflect the substantial increase in posts that EIT is requesting. This reflects the overall constraints in which the Commission and its agencies are operating i.e. it demonstrates the commitment that the Commission and agencies are implementing more and more policy work with a stable staffing. The main difference in staffing for the EIT relates to its

implementation stems from the work related to the contribution agreement with the React-EU Programme (one contract agent) and the implementation of third country credits (one contract agent)

Financial Resources

The EIT's mission is to facilitate technological innovation to foster Europe's global competitiveness. The EIT contributes to Europe's priorities such as the new Green Deal and channels innovations through eight Knowledge and Innovation Communities (KICs) intervening in different areas : climate, digitisation, renewable energy, health, sustainable raw material, food, manufacturing, and urban mobility. The EIT delivers on Europe's commitment to the UNSDG and contributes to the objectives of HE. The EIT will continue to increase the impact of KICs and the knowledge triangle integration, will support the innovation and entrepreneurship capacity of higher education, and create synergies with other EU programmes and instruments. The EIT will continue to increase its communications activities through the 2022 Stakeholder Forum and EIT Awards, the development of the EIT Alumni Community, implement its Impact Framework through data collection and impact assessment methodology. According to the SIA for 2021-2027, the eight existing EIT KICs will be extended with two additional EIT KICs between 2021 and 2027.

4.1 Human Resources - EIT request

4.1.1 Number of staff requested

The EIT requests the approval of 8 additional posts (6 TA, 2 CA) and 2 additional SNEs as from 2023 in order to be able to effectively carry out the new tasks and to manage the growth of existing tasks. No post is requested for administrative functions.

In terms of profiles, the additional staff will be recruited with a direct link to the EIT's strategic objectives set out in the EIT Strategic Innovation Agenda 2021-2027 as outlined below:

| Title | Type/Grade | Nr posts | Specific objective |
|-----------------------------------------------------------|--------------|----------|-------------------------------------------------------------------------------------------------------------------------------------------|
| KIC Programme Officers – KIC Strategic Supervision | TA/AD8 grade | 2 | Increase the impact of KICs and knowledge triangle integration |
| KIC Programme Officer – EIT Culture & Creative Industries | TA/AD6 grade | 1 | |
| Programme Assistant – Cross-KIC coordination | CA/FGIII | 1 | |
| Education Project Officer | TA/AD8 grade | 1 | Increase the innovation capacity of the higher education sector by promoting institutional change in higher education institutions (HEIs) |
| Education Project Officer | CA/FGIV | 1 | |
| Education Project Officer | SNE | 1 | |
| Programme Officer | TA/AD8 grade | 1 | Increase the regional outreach of the EIT in order to address regional disparities in innovation capacity across the EU |
| Stakeholder Relations Officer | TA/AD6 grade | 1 | |
| Stakeholder Relations Officer | SNE | 1 | |

The EIT will upgrade 2 existing posts to management positions, allowing to have two additional units and one existing unit to become a Department in line with the organisational chart, as presented in Annex I of the Draft SPD 2023-2025 adopted by the EIT Governing Board. This means that the EIT would have, besides the Director's Office, two Operational Departments with two units each as well as an administrative unit. Compared to the relatively minor budget increase that these upgrades will bring (i.e. additional management allowance but no higher grades in the establishment plan required), the EIT will significantly strengthen its management capacity, diversity and delivery as a result.

Moreover, the EIT will place up to 2 of its establishment plan posts in Brussels at the EIT Liaison Office. This will strengthen synergies with key stakeholders (e.g. European Commission, European Parliament) but also facilitate preparations for the EIT chairing the EU Agency Network (EUAN) in 2024.

The EUAN chairmanship by EIT will be managed in principle with existing staff but for logistical support and coordination of EUAN meetings and subnetworks interim support will be needed. The EIT foresees to hire 2 interim staff in 2023 and 3 additional ones in 2024 to manage this significant additional but temporary task that is due to be phased out by the end of 2024.

4.1.2 Vacancy rate as of end 2021

At the end of 2021, 63 posts (42 temporary agents and 21 contract agents) were filled. Altogether 6 new staff members took up duty at the EIT and 6 left in 2021, taking the total number of staff to 63. Compared to the 65 at the end of 2020, this corresponds to a decrease of 3.1% from 2020 to 2021.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The salary costs are estimated based on the assumption that all the employees will be recruited by the end of 2023. The standard abatement applied is 2%

4.1.4 Salary assumption for calculating salary line (% applied)

Estimates of the cost of human resources are based on actual staff costs at the EIT and the salary categories defined by the Staff Regulations. To ensure adequacy to the cost of life in the host country, the correction coefficient in force in Hungary applies to the salaries. The budget appropriations covering staff expenditure for year 2023 are based on the estimate of a total of 51 temporary agents (46 AD and 5 AST) and 26 contract agents and 4 SNEs taking into account that two of its establishment plan posts will be placed in Brussels at the EIT Liaison Office.

The results of the appraisal and promotion for Temporary Agents and Contractual Agents as well as the upgrading of two existing posts to management positions referred to in section 4.1.1 have been taken also into account, in the assumption that the necessary steps are implemented by the EIT.

4.1.5 Correction coefficient used

The correction coefficient rate applied for Budapest based staff is the one established for year 2022, namely 76,1%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - EIT Request

4.2.1 Title 1

The overall Title 1 staff expenditure is foreseen to increase by 11%. The increase is mainly because of the requested 8 additional posts (6 TAs and 2 CAs) as well as of the required additional 2 SNEs posts and the upgrading of two existing posts to management positions indicated in Section 4.1.1. This request resulted in an increase of 12% (from EUR 5 125 000 to EUR 5 740 000) in the salaries and affected the other expenses like recruitment, mission, and training too.

The increase of external services of 10% covers the foreseen additional two interim staff for logistical support and coordination of EUAN meetings and subnetworks referred to in Section 4.1.1. as well as the increase of outsourced services.

4.2.2 Title 2

The overall Title 2 infrastructure and operating expenditure is foreseen to decrease by 4%.

No major expenditures are foreseen in 2023 for IT-related investments due to replacement of end-of-life IT hardware and change in technology in 2021-2022.

In 2023 the EIT will continue adapting to after COVID working modalities that might resulted in increase of redesign, renovation and refurbishment cost of its current office premises and maintenance costs as well as current administrative expense.

High inflation, prices increase coming from COVID crisis are also considered in the estimated costs.

4.2.3 Title 3

In 2023 the EIT will continue the implementation of its SIA 2021 – 2027, putting into practice its overall strategy and processes to analyse and assess results and impacts of all EIT Community activities continuing the EIT's culture of lessons learnt and evaluation.

The EIT will encourage dynamic and open partnerships, its Knowledge and Innovation Communities (KICs), ready to take the lead in their respective thematic fields and turn the emerging needs of Europe's economy and society into opportunities for entrepreneurship. Following the “pilot” two-years grant cycle of 2021-2022, EIT intends to award two and three-years grants from 2023 onwards depending on which wave a KIC belongs to as well as three years grants for Cross-KIC activities 2023-2025 and three-years grants for Higher Education Institutions (HEI) initiative. Budgetary commitments will be made in annual instalments in line with EIT Regulation. The payment scheme for the three-years grant cycle include two prefinancing payments of 70% in 2023 and of 90% in 2024), one interim payment in 2025 up to 90% of the total grants for the three-years period and the final balance payment of 10% in 2026 as follows:

| Year | Commitments | Payments | | | | | Total paid |
|---------------------------------------|----------------|------------------------------------------------|------------------------------------------------|---------------------------------------|---------------------------------------|----------------|------------|
| | | 2023 (PF1) 70% of current annual instalment | 2024 (PF2) 90% of current annual instalment | 2025 (IP) 90% of three-years grant | 2026 (FB) 10% of three years grant | | |
| 2023 | 394.0 | 275.8 | | 118.2 | 0 | 394 | |
| 2024 | 407.5 | | 366.8 | 40.8 | 0 | 407.5 | |
| 2025 | 416.0 | | | 294.3 | 121.8 | 416.0 | |
| Total | 1 217.5 | 275.8 | 366.8 | 453.2 | 121.8 | 1 217.5 | |
| 2021-2022 | 736.5 | 76.5 | | | | | |
| Total estimated grant payments | | 352.3 | 366.8 | 453.2 | 121.8 | | |

Remark: in 2025 EIT pays interim payment up to 90% by fully consuming the RAL of 2023 and 2024.

In line with its legislation and the EIT Monitoring and Evaluation Strategy, the EIT will perform continuous monitoring and periodic evaluations of the output, results and impact of the EIT KICs, including their progress towards financial sustainability, as well as horizontal requirements such as cost-effectiveness of operations and openness to new members. These will then feed into important EIT Governing Board (GB) decisions on corrective measures in respect of the KICs when appropriate and on continuation of the EIT support and future collaboration with the KICs in line with the EIT GB – Supervision Framework of KIC.

4.3 Ad hoc grants and delegation agreements

Contribution Agreement between DG EMPL and EIT for financing the implementation of the European Batteries Alliance (EBA) Academy was concluded in 2021.

In 2017, the Commission launched the European Battery Alliance (EBA) to establish an innovative, sustainable and globally competitive European battery value chain. The industrial part of the EBA, with over 500 industrial members, is managed by one of the Knowledge and Innovation Communities (KICs) of the European Institute of Innovation and Technology (EIT). The objective of the action is to assist Member States in using REACT EU (and ESF+) to train both employed and unemployed people in the field of batteries to help bridge the gap of 800.000 workers by 2025.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|---|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|--------------|-----------------|-----------------------------------------------------------|------|-----------------------------------------------------------------------------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Infopark, Building E | Budapest | 2 309 | 497 | 2 806 | | 20 years (30.11.2029) | | The Hungarian Government covers the rental fee of the EIT premises during 20 years (Host Agreement) | |
| 2 | Infopark, Building E | Budapest | 114 | 35 | 149 | 31 154 | 6 years (until 2024) but can be extended until 30.11.2029 | | N/A | |
| 3 | EIT Liaison Office | Brussels | 59 | 11 | 70 | 24 800 | 9 years until 30.09.2027 | | N/A | |
| TOTAL | | | 2 482 | 543 | 3 025 | 55 954 | | | | |

5.1.2 Current building(s) Other comments

Not applicable.

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 European schools

Not applicable.

5.3 Evaluation

An evaluation of the EIT was completed by the Commission in 2017. The next evaluation will be launched in 2022.

In its Opinion, the Commission clearly recognises the achievements of the EIT and its Innovation Communities highlighted in the EIT Mid-term Evaluation Report (https://ec.europa.eu/education/sites/education/files/2017-eit-interim-evaluation_en.pdf), namely:

- The EIT/KICs model is highly relevant and now starts to deliver concrete results
- The EIT and its Innovation Communities add value beyond national initiatives, building new types of cooperation links and facilitating cross-border interactions
- The EIT educational programmes attract high calibre students
- The co-location centre model has been instrumental for widening the Innovation Communities' geographical scope to EU's moderate innovation performers
- The EIT and its Innovation Communities fit well within the EU innovation landscape by providing support to innovation through the Knowledge Triangle Integration
- The EIT Innovation Communities adopted diversified efforts and strategies to achieve financial sustainability

The Commission also recognised the importance of the role of the EIT in supporting the Innovation Communities to implement their innovation model.

At the same time, the document indicates certain areas where there is room for improvement, e.g.:

- Integration of the EIT graduates in innovation and entrepreneurship activities of the Innovation Communities is under-exploited: education activities did not generate so far a significant number of student-led start-ups
- Further efforts are needed for the Innovation Communities to become fully integrated into the local innovation ecosystems
- Impact is mainly limited to the partners, graduates and start-ups that have directly cooperated with the EIT Innovation Communities, and less clear evidence is found on the systemic impacts of the EIT
- The potential of the EIT and its Innovation Communities to contribute to EU policy-making in specific fields has not been utilised at its best
- Synergies existing at implementation level between the EIT and other EU innovation policy initiatives and instruments are not sufficiently reflected at programming level; the EIT should work in close coordination with research and innovation activities and the financial instruments of H2020 and COSME
- Achieving fully self-financing after 15 years may be challenging for the EIT Innovation Communities

The Commission's review of the EIT acknowledges the uniqueness of the EIT model among the EU and Member States' innovation support initiatives in tackling relevant societal challenges through the Knowledge Triangle Integration. The Commission also highlights the following:

- The EIT and its Innovation Communities contributed to H2020 objectives on "societal challenges" and on "Leadership in enabling and industrial technologies"
- EIT Innovation Communities brought solutions closer to the market and paved the way for industrial and commercial implementation in areas of societal challenges
- The EIT Innovation Communities have been successful in involving the diversity of actors in the knowledge triangle, thus contributing to reduce the fragmentation in their sectoral ecosystems
- The flexibility of the EIT model is suitable and allows for testing potential new initiatives in the area of innovation support
- The EIT Innovation Communities' management costs have been significantly lowered over time, following the EIT's efforts to limit their weight on the respective accounts
- The cross-innovation community interactions, through the active support of the EIT, have been steadily increasing

Finally, the Opinion re-affirms the recommendations of the independent evaluation and commits the Commission, together with EIT and its Innovation Communities to:

- Measure and report more extensively on activities and achievements related to EU policy priorities in thematic areas
- Further improve and review the system for monitoring to better measure and capture results and impact
- Reduce the administrative burden for the EIT and its EIT Innovation Communities
- Explore way to further extent the multi-annuity of the EIT grant agreements

5.4 Privileges and immunities

| Agency privileges | Privileges granted to staff | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010éviCLXVI.törvény, MagyarKözlöny, 2010évi197szám), which enters in force on 22 January 2011 | According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010éviCLXVI.törvény, MagyarKözlöny, 2010évi197szám), which enters in force on 22 January 2011 | The EIT has signed cooperation agreements with international schools and kindergartens and school bus transport companies in Budapest. |

2.1.2 Innovative Health Initiative Joint Undertaking - IMI3

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>COUNCIL REGULATION (EU) 2021/2085 of 19 November 2021 and published in the Official Journal on 30 November 2021 (date of entry into force of the Single Basic Act (SBA) establishing the Innovative Health Initiative Joint Undertaking (IHI JU)</p> | <p>30/11/2021</p> | <p>The Innovative Health Initiative Joint Undertaking (IHI JU) is a public-private partnership, based in Brussels, that is focused on funding health research and innovation.</p> <p>Under the Single Basic Act (Article 174.9), the Innovative Health Initiative Joint Undertaking shall be the legal and universal successor in respect of all contracts, including employment contracts and grant agreements, liabilities and acquired property of the Innovative Medicines Initiative 2 Joint Undertaking established by Council Regulation (EU) No 557/2014 of 6 May 2014, which it shall replace and succeed.</p> <p>IHI JU core goals are to translate health research and innovation into tangible benefits for patients and society, and ensure that Europe remains at the cutting edge of interdisciplinary, sustainable, patient-centric health research. IHI JU facilitates collaboration between the key players involved in health research, including universities, research centres, life sciences industries, small and medium-sized enterprises (SMEs), patient organisations, regulators, etc.</p> <p>The Strategic Research and Innovation Agenda (SRIA) details the areas IHI should work on and helps to guide IHI's decisions on which ideas should be turned into call topics. The SRIA also flags up potential synergies with other initiatives.</p> <p>The general IHI JU objectives set by the Single Basic Act are:</p> <ul style="list-style-type: none"> - turn health research and innovation into real benefits for patients and society; - deliver safe, effective health innovations that cover the entire spectrum of care – from prevention to diagnosis and treatment – particularly in areas where there is an unmet public health need; - make Europe's health industries globally competitive. <p>The legislation also includes the following specific objectives, which are described in more detail in the Strategic Research and Innovation Agenda (SRIA).</p> <ul style="list-style-type: none"> - Improve our understanding of the factors that affect our health and the development and treatment of certain diseases. - Integrate fragmented health research and innovation efforts by bringing together health industry sectors and other stakeholders. This will enable the development of tools, data, platforms, technologies and processes that will in turn facilitate the prevention, diagnosis, treatment and management of diseases, especially in areas where there is an unmet public health need. - Demonstrate the feasibility of integrated healthcare solutions that draw on various technologies from different sectors and address the needs of the people who will use them, such as patients and healthcare professionals. - Make better use of opportunities to gather health data and use it in research and care, all while respecting relevant privacy legislation. - Develop ways of assessing the value of innovative, integrated health care solutions to patients, carers, healthcare professionals and organisations, and other stakeholders. |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 11 : Cluster Health — Innovative Health Initiative joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 33 | 31 | 93,94% | 34 | 35 |
| Assistants (AST) | 6 | 5 | 83,33% | 5 | 4 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 39 | 36 | 92,31% | 39 | 39 |
| Contract Agents (CA) | 15 | 13 | 86,67% | 15 | 15 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 1 | |
| TOTAL STAFF | 56 | 50 | 89,29% | 55 | 54 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 154 640 000 | 225 573 177 | 204 715 651 | 206 300 057 |
| Other Revenue | 8 345 000 | 8 764 900 | 10 570 190 | 10 216 588 |
| TOTAL REVENUES | 162 985 000 | 234 338 077 | 215 285 841 | 216 516 645 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 6 464 000 | 6 464 000 | 6 488 000 | 6 488 000 |
| Title 2 - Infrastructure and operating expenditure | 2 816 000 | 2 816 000 | 3 012 000 | 3 012 000 |
| Title 3 - Operational expenditure | 153 705 000 | 150 000 000 | 204 940 000 | 210 000 000 |
| TOTAL EXPENDITURE | 162 985 000 | 159 280 000 | 214 440 000 | 219 500 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 11 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 10 | | 1 | | 2 | | 1 | | 1 | | 1 |
| AD 9 | | 7 | | 5 | | 7 | | 7 | | 7 |
| AD 8 | | 6 | | 4 | | 6 | | 6 | | 6 |
| AD 7 | | 2 | | 4 | | 3 | | 4 | | 4 |
| AD 6 | | 11 | | 6 | | 10 | | 9 | | 9 |
| AD 5 | | 1 | | 6 | | 2 | | 3 | | 3 |
| AD TOTAL | | 33 | | 31 | | 34 | | 35 | | 35 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | 4 | | 3 | | 4 | | 3 | | 3 |
| AST 3 | | | | 1 | | | | | | |
| AST 2 | | 1 | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 6 | | 5 | | 5 | | 4 | | 4 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 39 | | 36 | | 39 | | 39 | | 39 |
| GRAND TOTAL | | 39 | | 36 | | 39 | | 39 | | 39 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 3 | 3 | 3 | 4 |
| Function Group III | 11 | 9 | 11 | 11 |
| Function Group II | 1 | 1 | 1 | |
| Function Group I | | | | |
| TOTAL | 15 | 13 | 15 | 15 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 1 | |

3 Financial Resources⁶⁹

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 104 498 768 | 154 640 000 | 204 715 651 | 204 715 651 | 32,38% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 455 000 | 928 000 | 1 390 651 | 1 390 651 | 49,85% |
| - Of which frontloading (Title 1 and Title 2) | 4 043 768 | 3 712 000 | 3 325 000 | 3 325 000 | -10,43% |
| - Of which Operational (Title 3) | 100 000 000 | 150 000 000 | 200 000 000 | 200 000 000 | 33,33% |
| 2 THIRD PARTIES CONTRIBUTION | 99 861 | 3 705 000 | 4 974 349 | 5 820 190 | 57,09% |
| - Of which EEA/EFTA (excl. Switzerland) ⁷⁰ | 99 861 | 3 705 000 | 4 974 349 | 5 820 190 | 57,09% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 143 629 | 4 640 000 | 4 750 000 | 4 750 000 | 2,37% |
| - Of which Administrative (Title 1 and Title 2) | 4 143 629 | 4 640 000 | 4 750 000 | 4 750 000 | 2,37% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM | 41 945 | | | | |

⁶⁹ As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

⁷⁰ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| PREVIOUS YEARS | | | | | |
| - <i>Of which administrative</i> | 5 825 | | | | |
| - <i>Of which operational</i> | 36 120 | | | | |
| 7 OTHER | | | | | |
| TOTAL | 108 784 203 | 162 985 000 | 214 440 000 | 215 285 841 | 32,09% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|-------------------------------------------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 193 983 058 | 225 573 177 | 213 630 943 | 206 300 057 | -8,54% |
| - <i>Of which (fresh C1) Administrative (Title 1 and Title 2)</i> | 0 | 928 000 | 1 390 651 | 1 390 651 | 49,85% |
| - <i>Of which frontloading (Title 1 and Title 2)</i> | 3 738 058 | 3 712 000 | 3 325 000 | | -100% |
| - <i>Of which Operational (Title 3)</i> | 190 245 000 | 220 933 177 | 208 915 292 | 204 909 406 | -7,25% |
| 2 THIRD PARTIES CONTRIBUTION | 92 312 | 4 124 900 | 1 119 057 | 5 466 588 | 32,53% |
| - <i>Of which EEA/EFTA (excl. Switzerland) ⁷¹</i> | 92 312 | 4 124 900 | 1 119 057 | 5 466 588 | 32,53% |
| - <i>Of which third countries</i> | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 3 830 370 | 4 640 000 | 4 750 000 | 4 750 000 | 2,37% |
| - <i>Of which Administrative (Title 1 and Title 2)</i> | 3 830 370 | 4 640 000 | 4 750 000 | 4 750 000 | 2,37% |
| - <i>Of which Operational (Title 3)</i> | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 2 743 418 | | | | |
| - <i>Of which administrative</i> | 6 676 | | | | |
| - <i>Of which operational</i> | 2 736 742 | | | | |
| 7 OTHER | | | | | |
| TOTAL | 200 649 158 | 234 338 077 | 219 500 000 | 216 516 645 | -7,61% |

⁷¹ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| FINANCIAL CONTRIBUTIONS OVERVIEW | 2021 executed | 2022 | 2023 | TOTAL |
|------------------------------------------------------------------------------------------------------------------------------------------|---------------|-------------|-------------|-------------|
| FINANCIAL CONTRIBUTIONS FROM EU (contribution to administrative costs and operational costs that includes cost claims and pre-financing) | 202,095,000 | 241,540,000 | 214,750,000 | 658,385,000 |
| FINANCIAL CONTRIBUTIONS FROM INDUSTRY (contribution to administrative costs) | 4,071,688 | 4,640,000 | 4,750,000 | 13,461,688 |
| MISCELLANEOUS (recoveries of administrative and operational costs, ex post audits) | 279,232 | 250,000 | 250,000 | 779,232 |
| IN KIND CONTRIBUTIONS FROM INDUSTRY (validated) | 283,171,399 | 200,000,000 | 200,000,000 | 683,171,399 |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 523 795 | 6 464 000 | 6 488 000 | 6 488 000 | 0,37% |
| Salaries & allowances | 5 181 296 | 6 032 000 | 5 922 000 | 5 922 000 | -1,82% |
| - <i>Of which establishment plan posts</i> | 4 271 969 | 5 014 000 | 4 992 000 | 4 992 000 | -0,44% |
| - <i>Of which external personnel</i> | 909 327 | 1 018 000 | 930 000 | 930 000 | -8,64% |
| Expenditure relating to Staff recruitment | 5 744 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | | 80 000 | 144 000 | 144 000 | 80,00% |
| Socio-medical infrastructure | 131 012 | 132 000 | 152 000 | 152 000 | 15,15% |
| Training | 65 024 | 80 000 | 80 000 | 80 000 | 0,00% |
| External Services | 133 681 | 125 000 | 175 000 | 175 000 | 40,00% |
| Receptions, events and representation | 7 038 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 769 289 | 2 816 000 | 3 012 000 | 3 012 000 | 6,96% |
| Rental of buildings and associated costs | 641 095 | 660 000 | 698 000 | 698 000 | 5,76% |
| Information, communication technology and data processing | 1 056 169 | 1 009 000 | 1 090 000 | 1 090 000 | 8,03% |
| Movable property and associated costs | | 5 000 | 5 000 | 5 000 | 0,00% |
| Current administrative expenditure | 83 395 | 124 000 | 124 000 | 124 000 | 0,00% |
| Postage / Telecommunications | 33 996 | 38 000 | 40 000 | 40 000 | 5,26% |
| Meeting expenses | 17 254 | 70 000 | 80 000 | 80 000 | 14,29% |
| Running costs in connection with operational activities | 199 575 | 200 000 | 250 000 | 250 000 | 25,00% |
| Information and publishing | 158 261 | 300 000 | 300 000 | 300 000 | 0,00% |
| Studies | 510 379 | 410 000 | 425 000 | 425 000 | 3,66% |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Other infrastructure and operating expenditure | 69 165 | | | | |
| Title 3 - Operational expenditure | 36 120 | 153 705 000 | 204 940 000 | 204 940 000 | 33,33% |
| TOTAL | 8 329 204 | 162 985 000 | 214 440 000 | 214 440 000 | 31,57% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 477 948 | 6 464 000 | 6 488 000 | 6 488 000 | 0,37% |
| Salaries & allowances | 5 181 296 | 6 032 000 | 5 922 000 | 5 922 000 | -1,82% |
| - <i>Of which establishment plan posts</i> | <i>4 271 969</i> | <i>5 014 000</i> | <i>4 992 000</i> | <i>4 992 000</i> | <i>-0,44%</i> |
| - <i>Of which external personnel</i> | <i>909 327</i> | <i>1 018 000</i> | <i>930 000</i> | <i>930 000</i> | <i>-8,64%</i> |
| Expenditure relating to Staff recruitment | 3 687 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | | 80 000 | 144 000 | 144 000 | 80,00% |
| Socio-medical infrastructure | 116 913 | 132 000 | 152 000 | 152 000 | 15,15% |
| Training | 75 833 | 80 000 | 80 000 | 80 000 | 0,00% |
| External Services | 93 137 | 125 000 | 175 000 | 175 000 | 40,00% |
| Receptions, events and representation | 7 082 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 352 171 | 2 816 000 | 3 012 000 | 3 012 000 | 6,96% |
| Rental of buildings and associated costs | 626 554 | 660 000 | 698 000 | 698 000 | 5,76% |
| Information, communication technology and data processing | 872 634 | 1 009 000 | 1 090 000 | 1 090 000 | 8,03% |
| Movable property and associated costs | | 5 000 | 5 000 | 5 000 | 0,00% |
| Current administrative expenditure | 64 259 | 124 000 | 124 000 | 124 000 | 0,00% |
| Postage / Telecommunications | 22 637 | 38 000 | 40 000 | 40 000 | 5,26% |
| Meeting expenses | 254 | 70 000 | 80 000 | 80 000 | 14,29% |
| Running costs in connection with operational activities | 253 125 | 200 000 | 250 000 | 250 000 | 25,00% |
| Information and publishing | 94 792 | 300 000 | 300 000 | 300 000 | 0,00% |
| Studies | 332 506 | 410 000 | 425 000 | 425 000 | 3,66% |
| Other infrastructure and operating expenditure | 85 410 | | | | |
| Title 3 - Operational expenditure | 192 831 090 | 150 000 000 | 210 000 000 | 210 000 000 | 40,00% |
| TOTAL | 200 661 209 | 159 280 000 | 219 500 000 | 219 500 000 | 37,81% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR 9,481,978 in payment appropriations, to be carried over to 2022, with the following breakdown:

- EUR 7 950 873 unused payment appropriations of operational activities carried over from 2021 to 2022.
- EUR 1 531 105 payment appropriations of administrative commitments of 2021 to be carried forward to 2022.

4 Justification of needs

Commission assessment

Human Resources

The level of staff is aligned with the LFS accompanying the Single Basic Act.

Financial Resources

The level of appropriations is aligned with the LFS accompanying the Single Basic Act.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In 2023 the total number of staff will be 54, of which 39 temporary agents and 15 contract agents. Compared with 2022, there is less 1 SNE.

The IHI JU staff will be assigned to implement the research agenda of IHI JU and reinforce its project management tasks under the new program Horizon Europe.

4.1.2 Vacancy rate as of end 2021

At the end of 2021, the overall vacancy rate was 10.71 %. The vacancy rate for temporary agents was 7.7 %, for contract agents was 13.30 % and for seconded national experts was 50%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

The Joint Undertaking applies the following average costs per year (in EUR):

| Year | 2022 | 2023 |
|------|---------|---------|
| TA | 126,000 | 128,000 |
| CA | 63,500 | 62,000 |

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Title 1 will mostly cover salaries of staff, missions and socio-medical structure including training of staff. The budget of staff related expenditure includes 2% promotions and indexations. While the staff costs reduced with 1 SNE, the external staff increased due to increase in operational needs (e.g. foreseen accounting services to return from DG Budget to JU in Q4 of 2022). The socio-medical expenditure increased in line with operational needs and prices indexation of external services provided. The missions' expenditure increased due to expected higher number of missions during 2023, as 2022 was budgeted in the context of COVID-19.

4.2.2 Title 2

Title 2 will mostly cover building rent and associated costs, IT, office, communication, workshops, experts, meetings and audits related expenditure. The budget of Title 2 will increase in 2023 compared to 2022, mainly due to rent, IT and meetings expenditure, in line with operational needs and prices indexation. There is an increase in 2023 compared with 2022 in meetings and running costs in connection with operational activities, as 2022 budget has been planned at lower level, due to COVID-19 context. IHI JU will continue to execute its budget applying principles of sound financial management; in case some areas will require additional budget, this will be covered by efficiency gains obtained in other areas within Title 2.

4.2.3 Title 3

Based on the available information, 2023 draft preliminary budget foreseen operational expenditure relate to Horizon Europe Calls and evaluations experts' costs of EUR 204,940,000.

The payment appropriations will be consumed by payments for FP7 projects, H2020 projects and Horizon Europe projects.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|--------------|-----------------|-----------------------------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | Brussels | 1 598 | 206 | 1 804 | 480 000 | The same as other JTI occupying the building. | | none | |
| TOTAL | | | 1 598 | 206 | 1 804 | 480 000 | | | | |

5.1.2 Current building(s) Other comments

The surface area indicated relates to the space currently occupied by the IHI JU office. In addition to the space to be used exclusively by IHI JU office, the IHI JU has access to 733,4 m² meeting room facilities. These facilities are shared with the other JUs housed in the same building.

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| A host agreement has been signed in 2012 | As stated in article 8 of the Council Regulation setting up the IMI JU (73/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the IHI JU and its staff. | |

2.1.3 Global Health EDCTP3 Joint Undertaking - GH

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation N° 2085 of 19 November 2021 establishing the Horizon Europe Joint Undertakings - Specific part on Global Health EDCTP3 (OJ L 427/17) | ... | <p>General objectives (long-term objectives) of the initiative:</p> <ul style="list-style-type: none"> • To reduce the socio-economic burden of infectious diseases in sub-Saharan Africa through the development and uptake of new or improved health technologies against infectious diseases; • To increase health security in sub-Saharan Africa and globally by strengthening the R&I-based capacities for preparedness and response to control infectious diseases. <p>Specific objectives of the initiative:</p> <ol style="list-style-type: none"> 1. Advance the development and use of new or improved health technologies for tackling infectious diseases by supporting the conduct of clinical trials in sub-Saharan Africa. 2. Facilitate better alignment of R&I funders around a common strategic research and innovation agenda to increase the cost-effectiveness of European public investments. 3. Strengthen research and innovation capacity and the national health research systems in sub-Saharan Africa for tackling infectious diseases. 4. Strengthen capacity in sub-Saharan Africa for epidemic preparedness through effective and rapid research response to develop essential diagnostics, vaccines and therapeutics for early detection and control of (re-)emerging diseases of epidemic potential. <p>The initiative started operating in the first quarter of 2022 after the adoption date of the Single Basic Act by the Council. The Joint Undertakings are set up to operate for a period ending on 31 December 2031, with their last calls launched at the latest by 31 December 2027. In line with the criteria for European Partnerships in the Annex III of the Horizon Europe Regulation, the Single Basic Act includes specific provisions for their evaluation, phasing-out and renewal. This includes an obligation for the Governing Board to submit a plan for the phasing out of the Joint Undertaking from Horizon Europe funding and an assessment of the most effective policy intervention mode for any future action as part of periodic reviews and evaluations.</p> |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 12 : Cluster Health — Global Health EDCTP3 joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 5 | | 0,00% | 15 | 19 |
| Assistants (AST) | 1 | | 0,00% | 2 | 3 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 6 | | 0,00% | 17 | 22 |
| Contract Agents (CA) | 1 | | 0,00% | 6 | 8 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 7 | | 0,00% | 23 | 30 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 68 135 000 | 31 145 618 | 133 693 568 | 54 441 083 |
| Other Revenue | 1 682 935 | 99 401 | 3 863 744 | 1 573 347 |
| TOTAL REVENUES | 69 817 935 | 31 245 019 | 137 557 312 | 56 014 430 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 1 405 000 | 1 626 000 | 3 724 000 | 3 724 000 |
| Title 2 - Infrastructure and operating expenditure | 730 000 | 845 000 | 1 936 000 | 1 936 000 |
| Title 3 - Operational expenditure | 66 000 000 | 1 600 000 | 128 170 000 | 38 125 778 |
| TOTAL EXPENDITURE | 68 135 000 | 4 071 000 | 133 830 000 | 43 785 778 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | 2 | | 2 | | 2 |
| AD 11 | | | | | | 1 | | 1 | | 1 |
| AD 10 | | | | | | | | | | |
| AD 9 | | | | | | | | | | |
| AD 8 | | 1 | | | | 3 | | 5 | | 5 |
| AD 7 | | 1 | | | | 4 | | 4 | | 4 |
| AD 6 | | 1 | | | | 3 | | 5 | | 5 |
| AD 5 | | 1 | | | | 1 | | 1 | | 1 |
| AD TOTAL | | 5 | | | | 15 | | 19 | | 19 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | 1 | | 1 |
| AST 4 | | 1 | | | | 1 | | 1 | | 1 |
| AST 3 | | | | | | 1 | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 1 | | | | 2 | | 3 | | 3 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 6 | | | | 17 | | 22 | | 22 |
| GRAND TOTAL | | 6 | | | | 17 | | 22 | | 22 |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | | 3 | 4 |
| Function Group III | | | 3 | 4 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 1 | | 6 | 8 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources⁷²

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|-------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 33 336 000 | 68 135 000 | 133 693 568 | 133 693 568 | 96,22% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 336 000 | 2 135 000 | 5 523 568 | 5 523 568 | 158,72% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 33 000 000 | 66 000 000 | 128 170 000 | 128 170 000 | 94,20% |
| 2 THIRD PARTIES CONTRIBUTION | | 1 682 935 | 3 302 231 | 3 863 744 | 129,58% |
| - Of which EEA/EFTA (excl. Switzerland) ⁷³ | | 1 682 935 | 3 302 231 | 3 863 744 | 129,58% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 33 336 000 | 69 817 935 | 136 995 799 | 137 557 312 | 97,02% |

⁷² As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

⁷³ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 0 | 31 145 618 | 54 441 083 | 54 441 083 | 74,80% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 2 135 000 | 5 523 568 | 5 523 568 | 158,72% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 0 | 29 010 618 | 48 917 515 | 48 917 515 | 68,62% |
| 2 THIRD PARTIES CONTRIBUTION | | 99 401 | 1 344 695 | 1 573 347 | 1482,83% |
| - Of which EEA/EFTA (excl. Switzerland) ⁷⁴ | | 99 401 | 1 344 695 | 1 573 347 | 1482,83% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 0 | 31 245 019 | 55 785 778 | 56 014 430 | 79,27% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| FINANCIAL CONTRIBUTIONS OVERVIEW | 2021 | 2022 | 2023 | Total |
|-----------------------------------------------------|----------|---------------------------------------|---------------------------------------|-------------------|
| | Executed | As requested by the joint undertaking | As requested by the joint undertaking | |
| 1. FINANCIAL CONTRIBUTION FROM EU | 0 | 4.071.000 | 55.785.778 | 59.856.778 |
| 2. CONTRIBUTIONS FROM EDC/TP3 ASSOCIATION COUNTRIES | 0 | 0 | 0 | 0 |
| of which financial contribution | 0 | 0 | 0 | 0 |
| of which contribution in kind | 0 | 0 | 0 | 0 |
| 3 OTHER MEMBERS CONTRIBUTIONS | 0 | 0 | 0 | 0 |
| TOTAL CONTRIBUTIONS | 0 | 4.071.000 | 55.785.778 | 59.856.778 |

⁷⁴ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|-------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 221 000 | 1 405 000 | 3 724 000 | 3 724 000 | 165,05% |
| Salaries & allowances | 208 624 | 1 277 500 | 3 385 000 | 3 385 000 | 164,97% |
| - <i>Of which establishment plan posts</i> | <i>199 274</i> | <i>1 105 500</i> | <i>2 918 000</i> | <i>2 918 000</i> | <i>163,95%</i> |
| - <i>Of which external personnel</i> | <i>9 350</i> | <i>172 000</i> | <i>467 000</i> | <i>467 000</i> | <i>171,51%</i> |
| Expenditure relating to Staff recruitment | | 4 427 | 8 984 | 8 984 | 102,94% |
| Mission expenses | 12 376 | 23 611 | 86 247 | 86 247 | 265,28% |
| Socio-medical infrastructure | | 36 007 | 85 050 | 85 050 | 136,20% |
| Training | | 23 611 | 47 915 | 47 915 | 102,94% |
| External Services | | 36 892 | 104 814 | 104 814 | 184,11% |
| Receptions, events and representation | | 2 952 | 5 990 | 5 990 | 102,91% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 115 000 | 730 000 | 1 936 000 | 1 936 000 | 165,21% |
| Rental of buildings and associated costs | 26 210 | 171 094 | 448 648 | 448 648 | 162,22% |
| Information, communication technology and data processing | 43 508 | 261 566 | 700 611 | 700 611 | 167,85% |
| Movable property and associated costs | 202 | 1 296 | 3 214 | 3 214 | 147,99% |
| Current administrative expenditure | 5 121 | 32 145 | 79 703 | 79 703 | 147,95% |
| Postage / Telecommunications | 1 532 | 9 850 | 25 710 | 25 710 | 161,02% |
| Meeting expenses | 1 129 | 18 146 | 51 420 | 51 420 | 183,37% |
| Running costs in connection with operational activities | 5 645 | 51 847 | 160 691 | 160 691 | 209,93% |
| Information and publishing | 14 758 | 77 770 | 192 829 | 192 829 | 147,95% |
| Studies | 16 895 | 106 286 | 273 174 | 273 174 | 157,02% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 33 000 000 | 66 000 000 | 128 170 000 | 128 170 000 | 94,20% |
| TOTAL | 33 336 000 | 68 135 000 | 133 830 000 | 133 830 000 | 96,42% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | | 1 626 000 | 3 724 000 | 3 724 000 | 129,03% |
| Salaries & allowances | | 1 486 124 | 3 385 000 | 3 385 000 | 127,77% |
| - Of which establishment plan posts | | 1 304 774 | 2 918 000 | 2 918 000 | 123,64% |
| - Of which external personnel | | 181 350 | 467 000 | 467 000 | 157,51% |
| Expenditure relating to Staff recruitment | | 4 427 | 8 984 | 8 984 | 102,94% |
| Mission expenses | | 35 988 | 86 247 | 86 247 | 139,65% |
| Socio-medical infrastructure | | 36 007 | 85 050 | 85 050 | 136,20% |
| Training | | 23 611 | 47 915 | 47 915 | 102,94% |
| External Services | | 36 892 | 104 814 | 104 814 | 184,11% |
| Receptions, events and representation | | 2 951 | 5 990 | 5 990 | 102,98% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | 845 000 | 1 936 000 | 1 936 000 | 129,11% |
| Rental of buildings and associated costs | | 197 303 | 448 648 | 448 648 | 127,39% |
| Information, communication technology and data processing | | 305 074 | 700 611 | 700 611 | 129,65% |
| Movable property and associated costs | | 1 498 | 3 214 | 3 214 | 114,55% |
| Current administrative expenditure | | 37 266 | 79 703 | 79 703 | 113,88% |
| Postage / Telecommunications | | 11 383 | 25 710 | 25 710 | 125,86% |
| Meeting expenses | | 19 275 | 51 420 | 51 420 | 166,77% |
| Running costs in connection with operational activities | | 57 492 | 160 691 | 160 691 | 179,50% |
| Information and publishing | | 92 528 | 192 829 | 192 829 | 108,40% |
| Studies | | 123 181 | 273 174 | 273 174 | 121,77% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | 1 600 000 | 38 125 778 | 38 125 778 | 2282,86% |
| TOTAL | | 4 071 000 | 43 785 778 | 43 785 778 | 975,55% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR 0.

4 Justification of needs

Commission assessment

Human Resources

The requested staff resources are appropriate. They are in line with the plans for the build of staff foreseen in the Commission proposal. The staff to be recruited during 2022 is essential to establish the Joint Undertaking and ensure the launch and evaluation of the first round of calls for proposals. This is essential to executive the significant budget available. Recruitment of staff in 2022 is also essential to prepare for autonomy, planned for Q2/2023.

The four additional administrator positions, 1 assistant position and 1 contractual agent position that are requested for 2023 are needed to support the further build-up of the Joint Undertaking. Numerous activities will have to be carried out, from starting the first grants, launching the 2023 calls, concluding the organisational set-up and reporting for the first time and running the audit and control functions. Furthermore, the new entity needs to establish itself as a key player in the field of funding global health-related infectious disease research.

Financial Resources

The requested financial resources are necessary for the proper implementation. The large increase in administrative expenditure for 2023 compared to 2022 stems from the outcome of the negotiations on the Single Basic Act. The original plan had been that half of the administrative expenditure should be covered by contributions from the private partner. During the legislative process this provision of the underlying 2021/2085 Council Regulation was modified. Now the EU budget needs to cover all administrative expenditure. For the year 2022 is too late to modify the budget and with the delayed implementation, the fact that only 50% of the administrative budget originally foreseen is available, can be handled. For 2023 the full complement of administrative budget is needed to ensure the proper running of the Joint Undertaking.

As regards operational expenditure, the commitment appropriations reflect the original planning and the payment appropriations are needed to make the advance payments for the first grants.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In 2022, the total number of staff will be 23, out of which the interim Executive Director appointed by the Commission, 16 temporary agents, and 6 contract agents. The staff will be recruited most likely in the second half of the year.

In 2023, the total number of staff will be 30 , out of which 22 temporary agents, and 8 contract agents.

The staff will be assigned to implement the research agenda of the Global Health EDCTP3 JU and reinforce its project management tasks as well as ensuring the transition to the new program Horizon Europe.

4.1.2 Vacancy rate as of end 2021

Not applicable. New Joint Undertaking.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

The Joint Undertaking applies the following average costs per year (in EUR):

| Year | 2022 | 2023 |
|------|---------|---------|
| TA | 130,050 | 132,651 |
| CA | 57,222 | 58,366 |

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Title 1 will mostly cover salaries of staff, missions and socio-medical structure including training of staff. The budget of staff related expenditure includes 2% promotions and indexations. The staff expenditure and socio-medical expenditure increased in line with prices indexation of external services provided. The missions' expenditure increased due to expected higher number of missions during 2022, as 2021 was budgeted in the context of COVID-19.

4.2.2 Title 2

Title 2 will mostly cover building rent and associated costs, IT, office, communication, workshops, experts, meetings and audits related expenditure. The expenditure related to Calls evaluations experts will be reflected in Title 3. The Global Health EDCTP3 JU will execute its budget applying principles of sound financial management; in case some areas will require additional budget, this will be covered by efficiency gains obtained in other areas within Title 2.

4.2.3 Title 3

Based on the available information, 2021 budget and 2022 draft preliminary budget foreseen operational expenditure related to Horizon Europe' Calls of EUR 100.603.200 and evaluations experts' costs of EUR 450.000.. The payment appropriations will be consumed mainly by pre-financing payments of 2022 Calls for EUR 38.125.778

Based on the available information, 2023 draft preliminary budget foreseen operational expenditure related to Horizon Europe' Calls of EUR 128.170.000 and evaluations experts' costs of EUR 500.000. The payment appropriations will be consumed mainly by pre-financing payments of EUR 12.000.000 on 2023 Q1 Single Stage call of about 30 M€

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

Initial office space for the new Joint Undertaking is in the process of being secured. The offices will be located in the White Atrium Building in Brussels, where several other Joint Undertakings already have their seat. The lease in this building currently runs until 31 December 2024. Preparations are being undertaken to either get a new lease for the current location or to find other office space.

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

For 2022, the JU will organise its first evaluations applying the Horizon Europe rules. External experts will be invited to participate to the evaluations of the proposals received. They will be paid applying the Horizon Europe rates related to fees for expert evaluators.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|-------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| A host agreement will have to be signed in 2022 | As stated in article 39 of the Council Regulation setting up the EDCTP3 GH JU (2021/2085 of 19/11/2021) the Protocol on the Privileges and Immunities of the European Communities shall apply to the EDCTP3 GH JU and its staff. | Staff of the JU have the right to enrol children in the European schools in a high priority. |

2.1.4 European High Performance Computing Joint Undertaking - EUROHPC2

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EU) 2018/1488 of 28 September 2018 establishing the European High Performance Computing Joint Undertaking | 28.09.2018 | <p>The Joint Undertaking shall have the following overall objectives:</p> <p>(a) to provide scientists, industry and the public sector from the Union or an Associated Country to Horizon 2020 with latest High Performance Computing and Data Infrastructure and support the development of its technologies and its applications across a wide range of fields.</p> <p>(b) to provide a framework for acquisition of an integrated world-class pre-exascale supercomputing and data infrastructure in the Union;</p> <p>(c) to provide Union level coordination and adequate financial resources to support the development and acquisition of such infrastructure, which will be accessible to users from the public and private sector primarily for research and innovation purposes;</p> <p>(d) to support the development of an integrated High Performance Computing ecosystem in the Union covering all scientific and industrial value chain segments notably hardware, software, applications, services, engineering, interconnections, know-how and skills.</p> |
| and Council Regulation (EU) 2021/1173 of 13 July 2021 on establishing the European High Performance Computing Joint Undertaking and repealing Regulation (EU) 2018/1488 | and 13.07.2021 | <p>and</p> <p>The main expected outcomes for the proposed EuroHPC Joint Undertaking in the next decade would include:</p> <ul style="list-style-type: none"> – A federated, secure and hyper-connected European HPC and data infrastructure with mid-range supercomputers and at least two top class exascale and two top class post-exascale systems (at least one of each category built with European technology); – Hybrid computing infrastructures integrating advanced computing systems – notably quantum simulators and quantum computers – in HPC infrastructures; – A secure cloud-based HPC and data infrastructure for European private users; – HPC-powered capacities and services based on European public data spaces for scientists, industry and the public sector; – Next generation technology building blocks (hardware and software) and their integration into innovative HPC architectures for exascale and post-exascale systems; – Centres of Excellence in HPC applications and industrialisation of HPC software, with novel algorithms, codes and tools optimised for future generations of supercomputers; – Large-scale industrial pilot test-beds and platforms for HPC and data applications and services in key industrial sectors; – National HPC Competence Centres, ensuring a wide coverage of HPC in the EU, with specific services and resources for industrial innovation (including SMEs); – A significant increase for Europe’s workforce in HPC skills and know-how; – Reinforced data storage, processing capacities, and new services, in areas of public interest across the Member States. |

1.2 Seat

The seat of EuroHPC Joint Undertaking is Luxembourg.

1.3 Budget line

01 02 02 41 : Cluster 'Digital, Industry and Space' — European High-Performance Computing Joint Undertaking (EuroHPC)

02 03 03 02 : European High-Performance Computing joint undertaking (EuroHPC)

02 04 02 11 : High-Performance Computing joint undertaking (EuroHPC)

02 04 99 02 : Completion of the European High-Performance Computing Joint Undertaking (EuroHPC) under the previous programme Connecting Europe Facility (CEF) — ICT (prior to 2021)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 4 | 4 | 100,00% | 21 | 25 |
| Assistants (AST) | | | | 1 | 2 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 4 | 4 | 100,00% | 22 | 27 |
| Contract Agents (CA) | 11 | 11 | 100,00% | 25 | 27 |
| Seconded National Experts (SNE) | 1 | | 0,00% | | |
| TOTAL STAFF | 16 | 15 | 93,75% | 47 | 54 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 420 761 965 | 367 064 018 | 451 662 166 | 476 892 811 |
| Other Revenue | 880 989 590 | 255 035 778 | 261 245 311 | 220 010 261 |
| TOTAL REVENUES | 1 301 751 555 | 622 099 796 | 712 907 477 | 696 903 072 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 659 489 | 3 659 489 | 6 338 645 | 6 338 645 |
| Title 2 - Infrastructure and operating expenditure | 1 591 956 | 1 591 956 | 1 534 707 | 1 534 707 |
| Title 3 - Operational expenditure | 465 978 771 | 465 330 830 | 705 034 125 | 689 029 720 |

| Expenditure | 2022 | | 2023 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 471 230 216 | 470 582 275 | 712 907 477 | 696 903 072 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | 1 | | 1 | | 1 |
| AD 11 | | | | | | | | | | |
| AD 10 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AD 9 | | | | | | | | | | |
| AD 8 | | 2 | | 2 | | 16 | | 16 | | 16 |
| AD 7 | | | | | | | | | | |
| AD 6 | | | | | | 1 | | 5 | | 5 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 4 | | 4 | | 21 | | 25 | | 25 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | 1 | | 2 | | 2 |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | 1 | | 2 | | 2 |
| AST/SC 6 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 4 | | 4 | | 22 | | 27 | | 27 |
| GRAND TOTAL | 4 | | 4 | | 22 | | 27 | | 27 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 5 | 5 | 9 | 12 |
| Function Group III | 4 | 4 | 13 | 14 |
| Function Group II | 2 | 2 | 3 | 1 |
| Function Group I | | | | |
| TOTAL | 11 | 11 | 25 | 27 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | | | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 469 367 000 | 420 761 965 | 462 311 352 | 451 662 166 | 7,34% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 3 425 019 | 6 437 000 | 6 025 367 | 75,92% |
| - Of which frontloading (Title 1 and Title 2) | | 1 740 965 | 1 691 352 | 1 691 352 | -2,85% |
| - Of which Operational (Title 3) | 469 367 000 | 415 595 981 | 454 183 000 | 443 945 447 | 6,82% |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|----------------------------------------------------------|------------------------------------|----------------------|---------------------------|-------------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 2 THIRD PARTIES CONTRIBUTION | | | | 11 245 311 ¹ | 100% |
| - Of which EEA/EFTA (excl. Switzerland) | | | | 11 245 311 | 100% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | 250 000 000 | 250 000 000 | 100% |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | 250 000 000 | 250 000 000 | 100% |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 30 954 556 | 880 989 590 | | | -100% |
| - Of which administrative | 2 122 280 | 2 728 445 | | | -100% |
| - Of which operational | 28 832 276 | 878 261 145 | | | -100% |
| 7 OTHER | | | | | |
| TOTAL | 500 321 556 | 1 301 751 555 | 712 311 352 | 712 907 477 | -45,23% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 112 301 082 | 367 064 018 | 487 553 799 | 476 892 811 | 29,92% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 3 425 019 | 6 437 000 | 6 025 367 | 75,92% |
| - Of which frontloading (Title 1 and Title 2) | | 1 740 965 | 1 691 352 | 1 691 352 | -2,85% |
| - Of which Operational (Title 3) | 112 301 082 | 361 898 034 | 479 425 447 | 469 176 092 | 29,64% |
| 2 THIRD PARTIES CONTRIBUTION | | | | 10 353 764 | 100% |
| - Of which EEA/EFTA (excl. Switzerland) | | | | 10 353 764 | 100% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 3 284 694 | 71 404 569 | 209 656 497 | 209 656 497 | 193,62% |
| - Of which Administrative (Title 1 and Title 2) | 3 080 263 | | | | |
| - Of which Operational (Title 3) | 204 431 | 71 404 569 | 209 656 497 | 209 656 497 | 193,62% |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |

¹ As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|----------------------------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 140 734 214 | 183 631 209 | | | -100% |
| - <i>Of which administrative</i> | 2 179 061 | 2 975 493 | | | -100% |
| - <i>Of which operational</i> | 138 555 153 | 180 655 716 | | | -100% |
| 7 OTHER | | | | | |
| TOTAL | 256 319 990 | 622 099 796 | 697 210 296 | 696 903 072 | 12,02% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 1 840 903 | 3 659 489 | 6 593 645 | 6 338 645 | 73,21% |
| Salaries & allowances | 1 610 741 | 3 124 000 | 5 501 880 | 5 246 880 | 67,95% |
| - <i>Of which establishment plan posts</i> | 727 729 | 1 936 718 | 3 410 880 | 3 410 880 | 76,12% |
| - <i>Of which external personnel</i> | 883 012 | 1 187 282 | 2 091 000 | 1 836 000 | 54,64% |
| Expenditure relating to Staff recruitment | 2 500 | 2 872 | 5 000 | 5 000 | 74,09% |
| Mission expenses | 60 000 | 57 769 | 250 479 | 250 479 | 333,59% |
| Socio-medical infrastructure | 167 662 | 474 848 | 836 286 | 836 286 | 76,12% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 791 148 | 1 591 956 | 1 534 707 | 1 534 707 | -3,60% |
| Rental of buildings and associated costs | 90 674 | 110 806 | 150 000 | 150 000 | 35,37% |
| Information, communication technology and data processing | 165 378 | 180 980 | 400 000 | 400 000 | 121,02% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Movable property and associated costs | | 12 162 | 21 000 | 21 000 | 72,67% |
| Current administrative expenditure | 152 193 | 694 962 | 300 000 | 300 000 | -56,83% |
| Postage / Telecommunications | 11 183 | 6 081 | 20 000 | 20 000 | 228,89% |
| Meeting expenses | 10 000 | 212 832 | 300 000 | 300 000 | 40,96% |
| Running costs in connection with operational activities | 259 920 | 91 214 | 150 000 | 150 000 | 64,45% |
| Information and publishing | 10 000 | 35 038 | 60 500 | 60 500 | 72,67% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 91 800 | 247 881 | 133 207 | 133 207 | -46,26% |
| Title 3 - Operational expenditure | 9 647 178 | 465 978 771 | 704 183 000 | 705 034 125 | 51,30% |
| TOTAL | 12 279 229 | 471 230 216 | 712 311 352 | 712 907 477 | 51,29% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 1 611 645 | 3 659 489 | 6 593 645 | 6 338 645 | 73,21% |
| Salaries & allowances | 1 525 837 | 3 124 000 | 5 501 880 | 5 246 880 | 67,95% |
| - Of which establishment plan posts | 727 730 | 1 936 718 | 3 410 880 | 3 410 880 | 76,12% |
| - Of which external personnel | 798 107 | 1 187 282 | 2 091 000 | 1 836 000 | 54,64% |
| Expenditure relating to Staff recruitment | | 2 872 | 5 000 | 5 000 | 74,09% |
| Mission expenses | 3 532 | 57 769 | 250 479 | 250 479 | 333,59% |
| Socio-medical infrastructure | 82 276 | 474 848 | 836 286 | 836 286 | 76,12% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 672 185 | 1 591 956 | 1 534 707 | 1 534 707 | -3,60% |
| Rental of buildings and associated costs | 73 196 | 110 806 | 150 000 | 150 000 | 35,37% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Information, communication technology and data processing | 215 814 | 180 980 | 400 000 | 400 000 | 121,02% |
| Movable property and associated costs | 240 | 12 162 | 21 000 | 21 000 | 72,67% |
| Current administrative expenditure | 141 133 | 694 962 | 300 000 | 300 000 | -56,83% |
| Postage / Telecommunications | 12 641 | 6 081 | 20 000 | 20 000 | 228,89% |
| Meeting expenses | 2 718 | 212 832 | 300 000 | 300 000 | 40,96% |
| Running costs in connection with operational activities | 194 943 | 91 214 | 150 000 | 150 000 | 64,45% |
| Information and publishing | | 35 038 | 60 500 | 60 500 | 72,67% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 31 500 | 247 881 | 133 207 | 133 207 | -46,26% |
| Title 3 - Operational expenditure | 162 330 831 | 465 330 830 | 701 321 944 | 689 029 720 | 48,07% |
| TOTAL | 164 614 661 | 470 582 275 | 709 450 296 | 696 903 072 | 48,09% |

3.4 Budget Outturn

The budget revenue of the EuroHPC JU amounted to EUR 164.5 million in 2021. The majority of the budget comes from EU contributions (EUR 116.1 million).

4 Justification of needs

Commission assessment

Human Resources

The human resources requested for 2023 are in line with the LFS annexed to Proposal for a COUNCIL REGULATION on establishing the European High Performance Computing Joint Undertaking COM/2020/569 final.

The human resources requested for 2023 already include the potential reduction due to the impact of the Chips Act Proposal (which has been calculated at minus 3 FTE's from the staffing figures included in the LFS).

Financial Resources

The financial resources requested for 2023 in terms of commitment appropriations are in line with the LFS and already reflect the reduction linked to the Chips Act Proposal.

The payment appropriations requested are higher than the amount estimated in the LFS due to a more deep analysis of the JU needs. The requested payment appropriations already reflect the reduction linked to the Chips Act Proposal.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

54 posts in total, in accordance to the LFS annexed to the Council Regulation on establishing the European High Performance Computing Joint Undertaking (also taking into account the reduction of appropriations to be managed by the JU, given the expected redeployment to finance the Chips Act).

4.1.2 Vacancy rate as of end 2021

At the end of 2021, EuroHPC JU filled 15 posts (all establishment plan posts, except SNE as the post was not foreseen as of 2022). Under the current Council Regulation, there are 47 posts foreseen for 2022 and 54 posts foreseen for 2023.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the total average salary cost.

The EuroHPC Joint Undertaking became autonomous in September 2020. The recruitment of the staff is progressive, expected to reach the 54 foreseen posts in 2023.

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

With the establishment of its workforce, the EuroHPC JU will continue the recruitment of new staff and cover the salaries, social security and other related allowances. As new staff will be employed gradually over 2022–2023, the JU will have to cover the expenditure arising from the search for suitable candidates (publishing vacancies, dissemination) and subsequent administration costs of the recruitment of new staff members (installation, resettlement and daily subsistence allowances, removal and travel expenses). As part of its duties, the JU staff will have to travel to various conferences, meetings and workshops related to the activities of the JU and to the actions funded. The JU will have to cover the costs of the annual medical check-up of staff and associated analyses required, health insurance, schooling allowances and trainings.

4.2.2 Title 2

The JU will have to ensure that the working conditions of its staff comply with the standards rules of the EU institutions. To allow its staff to perform its work, the JU will need to be equipped with appropriate office equipment and networking facilities, allowing using the standard IT toolchain of the EU programmes and institutions. As part of the activities of the JU, some meetings (like community workshops) are likely to require big rooms that will have to be rented. There will be also the costs of office supplies, correspondence and telecommunications, as well as the running costs related to the operational activities – auditing, legal assistance, communication; the costs related to the evaluation, selection and review of actions. Also, as the Commission will no longer provide accounting services to EuroHPC JU, EuroHPC JU will be required from end 2022 to recruit/acquire its own accountant/accountancy services.

4.2.3 Title 3

The main purpose of the Joint Undertaking is the indirect implementation of the EU budget. The mission is to develop, deploy, extend and maintain in the Union an integrated world-class supercomputing and data infrastructure and to develop and support a highly competitive and innovative High Performance Computing ecosystem

In 2023, the EuroHPC Joint Undertaking will continue implementing the R&I actions in the context of Horizon 2020 and CEF from the previous MFF as well as the programmes under the new MFF. The EuroHPC JU foresees to launch the following infrastructure-related calls in 2023:

2nd HPC Exascale

upgrades for HPC 2nd call

Midrange HPC 2nd call (CEI and procurement)

Access and allocation of HPC time procurement

Competence Center Call (for HE accession countries)

HPC Training Activities

HPC technology call

Algorithm follow up call

Software application / industry call

Title 3 is covered by the EU and Participating States' national contributions and Private Partners (in-kind) contributions. The EU share comes from the legacy (H2020 / CEF1) and the new MFF : HE, DEP and CEF2 – Framework Programmes. The Participating States' total national contributions foreseen are indicated in the Council Regulation.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------------------------------------------------------------------------------|----------------------------------------------------|----------------------------------|------------|--------------|-----------------|------------|-------------------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Drosbach Building | 12E rue Guillaume Kroll L-1882 Luxembourg | 775 | | 775 | 18 600 | Until 2026 | Hosting Agreement | Luxembourg | |
| 2 | Drosbach Building (due to increased number of staff additional offices will be made available) | 12E rue Guillaume Kroll L-1882 Luxembourg (Wing B) | 775 | | 775 | 18 600 | Until 2026 | Hosting Agreement currently being updated | Luxembourg | |
| TOTAL | | | 1 550 | | 1 550 | 37 200 | | | | |

5.1.2 Current building(s) Other comments

The Luxembourg Authorities are providing the JU offices for free. The rent covers the running costs (electricity, water etc..). Due to the foreseen increased staff levels additional offices in the same wing will be made available. The Hosting agreement is currently being updated.

5.1.3 Building projects in the planning phase

None

5.2 Evaluation

None

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| None | As stated in the article 18 of the Council Regulation (EU) 2018/1488 setting up the EuroHPC Joint Undertaking, the Protocol on the Privileges and Immunities of the European Communities applies to the EuroHPC Joint Undertaking and its staff. | None |

2.1.5 Chips Joint Undertaking - CHIPS

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>COUNCIL REGULATION (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014</p> <p>Council Regulation 561/2014 of 6 May 2014 setting up the ECSEL Joint Undertaking (OJ L 169/152)</p> <p>Council Regulation 72/2008 setting up the ENIAC Joint Undertaking (OJ L 30/21, 04/02/2008)</p> <p>Council Regulation (EC) No. 74/2008 on the establishment of ARTEMIS Joint Undertaking to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30/52, 04/02/2008)</p> | <p>19/11/2021</p> | <p>The Chips (ex-Key Digital Technologies) Joint Undertaking is the successor of the ECSEL Joint Undertaking, which itself was the successor of both the ENIAC and ARTEMIS joint undertakings.</p> <p>The Chips (ex-Key Digital Technologies) Joint Undertaking shall have the following general objectives:</p> <ul style="list-style-type: none"> (a) reinforce the Union’s strategic autonomy in electronic components and systems to support future needs of vertical industries and the economy at large. The overall target is to contribute towards doubling the value of the design and production of electronic components and systems in Europe by 2030, in line with the weight of the Union in products and services; (b) establish Union scientific excellence and innovation leadership in emerging components and systems technologies, including in activities related to lower TRLs; and promote the active involvement of SMEs, which shall represent at least one third of the total number of participants in indirect actions and at least 20 % of public funding should go to them; (c) ensure that components and systems technologies address Europe’s societal and environmental challenges. The target is to align with the Union policy on energy efficiency and contribute towards the reduction of energy consumption by 32,5 % in 2030. <p>In addition to the objectives set out above, the Key Digital Technologies Joint Undertaking shall have the following specific objectives:</p> <ul style="list-style-type: none"> (a) support research and development for establishing design and production capabilities in Europe for strategic application areas; (b) launch a balanced portfolio of large and small projects supporting the fast transfer of technologies from the research to the industrial environment; (c) foster a dynamic Union-wide ecosystem based on digital value-chains with simplified access to newcomers; (d) support research and development for enhancing component technologies that guarantee security, trust and energy- efficiency for critical infrastructures and sectors in Europe; (e) foster mobilisation of national resources and ensure coordination of Union and national research and innovation programmes in the field of electronic components and systems; (f) establish coherence between the Strategic Research and Innovation Agenda of the Key Digital Technologies Joint Undertaking and Union policies so that electronics components and systems technologies contribute efficiently. |
| <p>Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing a framework of measures for strengthening Europe's semiconductor ecosystem (Chips Act) COM/2022/46 final</p> | <p>08/02/2022</p> | <p>1. The general objective of the Initiative is to support large-scale technological capacity building and innovation throughout the Union to enable development and deployment of cutting-edge and next generation semiconductor and quantum technologies that will reinforce the Union advanced design, systems integration and chips production capabilities, as well as contribute to the achievement of the twin digital and green transition.</p> <p>2. The Initiative shall have the following five operational objectives:</p> <ul style="list-style-type: none"> (a) building up advanced large-scale design capacities for integrated semiconductor technologies. This operational objective shall be achieved through: <ul style="list-style-type: none"> (1) building up an innovative virtual platform, available across the Union, integrating existing and new design facilities with extended libraries and Electronic Design Automation (EDA) tools; (2) upgrading the design capacity with ongoing innovative developments, such as processor architectures based on the open-source Reduced Instruction Set Computer Architecture (RISC-V); (3) enlarging the semiconductor ecosystem by integrating the vertical market sectors, contributing to the green, digital and innovation agendas of the Union. (b) enhancing existing and developing new advanced pilot lines. This operational objective shall be achieved through: |

| | |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>(1) strengthening technological capabilities in next generation chips production technologies, by integrating research and innovation activities and preparing the development of future technology nodes, including leading-edge nodes below two nanometres, Fully Depleted Silicon on Insulator (FD-SOI) at 10 nanometres and below, and 3D heterogeneous systems integration and advanced packaging;</p> <p>(2) supporting large scale innovation through access to new or existing pilot lines for experimentation, test, and validation of new design concepts integrating key functionalities, such as novel materials and architectures for power electronics fostering sustainable energy and electro mobility, lower energy consumption, security, higher levels of computing performance or integrating breakthrough technologies such as neuromorphic and embedded artificial intelligence (AI) chips, integrated photonics, graphene and other 2D material based technologies;</p> <p>(3) providing support to Integrated Production Facilities and Open EU Foundries through priority access to the new pilot lines.</p> <p>(c) building advanced technology and engineering capacities for accelerating the innovative development of quantum chips.</p> <p>(d) creating a network of competence centres across the Union, in order to</p> <p>(1) strengthen capacities and offer a wide range of expertise to the stakeholders, including end-user SMEs and start-ups, facilitating access to and effective use of the above capacities and facilities;</p> <p>(2) address the skills shortage, attracting and mobilising new talent and supporting the emergence of a suitably skilled workforce for strengthening the semiconductor sector, including via reskilling and upskilling of workers.</p> <p>(e) undertaking activities, to be described collectively as a ‘Chips Fund’ activities</p> <p>to facilitate access to debt financing and equity by start-ups, scale-ups and SMEs and other companies in the semiconductor value chain, through a blending facility under the InvestEU Fund and via the European Innovation Council, with a view to:</p> <p>(1) improving the leverage effect of the Union budget spending and achieving a higher multiplier effect in terms of attracting private-sector financing.</p> <p>(2) providing support to companies facing difficulties in accessing finance, and addressing the need to underpin the economic resilience of the Union and its Member States;</p> <p>(3) accelerating investment in the field of semiconductor manufacturing technologies and chip design and to leveraging funding from both the public and the private sectors, while increasing the security of supply for the whole semiconductor value chain.</p> |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Brussels

1.3 Budget line

01 02 02 42 : Cluster Digital, Industry and Space — Chips Joint Undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 14 | 13 | 92,86% | 14 | 17 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 14 | 13 | 92,86% | 14 | 17 |
| Contract Agents (CA) | 16 | 16 | 100,00% | 16 | 19 |
| Seconded National Experts (SNE) | | | | | 1 |
| TOTAL STAFF | 30 | 29 | 96,67% | 30 | 37 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 251 513 886 | 211 824 383 | 759 025 328 | 447 688 236 |
| Other Revenue | 9 199 544 | 7 953 686 | 26 206 238 | 106 693 409 |
| TOTAL REVENUES | 260 713 430 | 219 778 069 | 785 231 566 | 554 381 645 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 905 000 | 3 905 000 | 4 596 000 | 4 596 000 |
| Title 2 - Infrastructure and operating expenditure | 1 585 000 | 1 585 000 | 1 747 000 | 1 747 000 |
| Title 3 - Operational expenditure | 255 223 430 | 215 770 672 | 775 705 070 | 546 147 000 |
| TOTAL EXPENDITURE | 260 713 430 | 221 260 672 | 782 048 070 | 552 490 000 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | 1 | | 1 | | 1 | | 2 | | 1 |
| AD 12 | | 3 | | 2 | | 3 | | 2 | | 2 |
| AD 11 | | 1 | | | | 1 | | 1 | | 1 |
| AD 10 | | 2 | | 2 | | 3 | | 3 | | 3 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 9 | | 5 | | 6 | | 5 | | 4 | | 5 |
| AD 8 | | 1 | | 1 | | | | 1 | | 1 |
| AD 7 | | | | | | | | | | |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | 3 | | 3 |
| AD TOTAL | | 14 | | 13 | | 14 | | 17 | | 17 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 14 | | 13 | | 14 | | 17 | | 17 |
| GRAND TOTAL | 14 | | 13 | | 14 | | 17 | | 17 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 5 | 2 | 5 | 6 |
| Function Group III | 10 | 11 | 10 | 12 |
| Function Group II | 1 | 3 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 16 | 16 | 16 | 19 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | 1 |

3 Financial Resources⁷⁶

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 211 850 037 | 251 513 886 | 281 520 450 | 759 025 328 | 201,78% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 1 984 000 | 928 633 | | 1 066 386 | 14,83% |
| - Of which frontloading (Title 1 and Title 2) | 1 850 037 | 1 513 886 | 1 050 000 | 1 050 000 | -30,64% |
| - Of which Operational (Title 3) | 208 016 000 | 249 071 367 | 280 470 450 | 756 908 942 | 203,89% |
| 2 THIRD PARTIES CONTRIBUTION | | 6 175 000 | 6 927 620 | 22 006 238 | 256,38% |
| - Of which EEA/EFTA (excl. Switzerland) ⁷⁷ | | 6 175 000 | 6 927 620 | 22 006 238 | 256,38% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 539 963 | 2 449 000 | 2 625 000 | 2 625 000 | 7,19% |
| - Of which Administrative (Title 1 and Title 2) | 2 539 963 | 2 449 000 | 2 625 000 | 2 625 000 | 7,19% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 550 000 | 391 000 | | | -100% |
| - Of which administrative | 550 000 | 391 000 | | | -100% |
| - Of which operational | | | | | |
| 7 OTHER | | 184 544 | 1 575 000 | 1 575 000 | 753,46% |
| TOTAL | 214 940 000 | 260 713 430 | 292 648 070 | 785 231 566 | 201,19% |

⁷⁶ As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

⁷⁷ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47 % for Horizon Europe, and 2.51% for Digital Europe Programme.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 165 417 500 | 211 824 383 | 160 600 000 | 447 688 236 | 111,35% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 1 984 000 | 928 633 | | 1 066 386 | 14,83% |
| - Of which frontloading (Title 1 and Title 2) | | | 1 050 000 | 1 050 000 | 100% |
| - Of which Operational (Title 3) | 163 433 500 | 210 895 750 | 159 550 000 | 445 571 850 | 111,28% |
| 2 THIRD PARTIES CONTRIBUTION | | 4 914 423 | 3 896 065 | 12 939 474 | 163,30% |
| - Of which EEA/EFTA (excl. Switzerland) ⁷⁸ | | 4 914 423 | 3 896 065 | 12 939 474 | 163,30% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 539 963 | 2 449 000 | 2 625 000 | 2 625 000 | 7,19% |
| - Of which Administrative (Title 1 and Title 2) | 2 539 963 | 2 449 000 | 2 625 000 | 2 625 000 | 7,19% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 42 474 526 | 405 719 | 29 332 897 | 29 332 897 | 7129,86% |
| - Of which administrative | 886 617 | 391 000 | | | -100% |
| - Of which operational | 41 587 909 | 14 719 | 29 332 897 | 29 332 897 | 199185,94 % |
| 7 OTHER | | 184 544 | 61 796 038 | 61 796 038 | 33385,80% |
| TOTAL | 210 431 989 | 219 778 069 | 258 250 000 | 554 381 645 | 152,25% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| Third-party contributions (commitment appropriations) | Executed budget 2021 | Budget 2022 | Draft budget 2023 |
|--------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|
| Financial contribution to the administrative costs of JU covered by the Participant States | | | |
| Financial contributions to the administrative costs by the Private members | 2 539 963 | 2 449 000 | 2 625 000 |
| Financial contributions to operational costs by the Participating States ¹ | 33 010 427 | 33 010 427 | 33 010 427 |
| In-kind contributions to operational activities by the Private members ² | 268 829 696 | 268 829 696 | 268 829 696 |
| In-kind contributions to operational activities by Participating States | | | |
| TOTAL appropriations co-financed | 304 380 086 | 304 289 123 | 304 465 123 |

1) Indicative amount based on 2020 Report on Budgetary and Financial Management, pending the participating states declarations for the year 2021. Amounts paid by the JU participating states directly to their respective national participants to ECSEL projects and not cashed by the JU.

2) Indicative amount based on 2020 annual accounts and pending finalisation in the context of annual accounts 2021.

⁷⁸ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47 % for Horizon Europe, and 2.51% for Digital Europe Programme.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 319 340 | 3 905 000 | 4 596 000 | 4 596 000 | 17,70% |
| Salaries & allowances | 3 246 000 | 3 720 000 | 4 366 000 | 4 366 000 | 17,37% |
| - <i>Of which establishment plan posts</i> | 2 100 000 | 2 400 000 | 2 871 000 | 2 871 000 | 19,62% |
| - <i>Of which external personnel</i> | 1 146 000 | 1 320 000 | 1 495 000 | 1 495 000 | 13,26% |
| Expenditure relating to Staff recruitment | 1 000 | 5 000 | 30 000 | 30 000 | 500,00% |
| Mission expenses | 4 510 | 80 000 | 100 000 | 100 000 | 25,00% |
| Socio-medical infrastructure | 67 830 | 100 000 | 100 000 | 100 000 | 0,00% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 303 638 | 1 585 000 | 1 747 000 | 1 747 000 | 10,22% |
| Rental of buildings and associated costs | 540 000 | 600 000 | 736 800 | 736 800 | 22,80% |
| Information, communication technology and data processing | 277 574 | 380 000 | 365 682 | 365 682 | -3,77% |
| Movable property and associated costs | | 5 000 | 6 140 | 6 140 | 22,80% |
| Current administrative expenditure | 78 000 | 120 000 | 98 240 | 98 240 | -18,13% |
| Postage / Telecommunications | 15 000 | 20 000 | 24 560 | 24 560 | 22,80% |
| Meeting expenses | 7 471 | 20 000 | 24 560 | 24 560 | 22,80% |
| Running costs in connection with operational activities | 250 000 | 60 000 | 36 840 | 36 840 | -38,60% |
| Information and publishing | 110 500 | 320 000 | 392 960 | 392 960 | 22,80% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 25 093 | 60 000 | 61 218 | 61 218 | 2,03% |
| Title 3 - Operational expenditure | 208 025 951 | 255 223 430 | 775 705 070 | 775 705 070 | 203,93% |
| TOTAL | 212 648 929 | 260 713 430 | 782 048 070 | 782 048 070 | 199,96% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 238 231 | 3 905 000 | 4 596 000 | 4 596 000 | 17,70% |
| Salaries & allowances | 3 175 945 | 3 720 000 | 4 366 000 | 4 366 000 | 17,37% |
| - <i>Of which establishment plan posts</i> | 2 000 000 | 2 400 000 | 2 871 000 | 2 871 000 | 19,62% |
| - <i>Of which external personnel</i> | 1 175 945 | 1 320 000 | 1 495 000 | 1 495 000 | 13,26% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Expenditure relating to Staff recruitment | 226 | 5 000 | 30 000 | 30 000 | 500,00% |
| Mission expenses | 4 129 | 80 000 | 100 000 | 100 000 | 25,00% |
| Socio-medical infrastructure | 57 931 | 100 000 | 100 000 | 100 000 | 0,00% |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 222 378 | 1 585 000 | 1 747 000 | 1 747 000 | 10,22% |
| Rental of buildings and associated costs | 530 442 | 600 000 | 736 800 | 736 800 | 22,80% |
| Information, communication technology and data processing | 255 072 | 380 000 | 365 682 | 365 682 | -3,77% |
| Movable property and associated costs | | 5 000 | 6 140 | 6 140 | 22,80% |
| Current administrative expenditure | 74 251 | 120 000 | 98 240 | 98 240 | -18,13% |
| Postage / Telecommunications | 10 195 | 20 000 | 24 560 | 24 560 | 22,80% |
| Meeting expenses | 3 744 | 20 000 | 24 560 | 24 560 | 22,80% |
| Running costs in connection with operational activities | 215 720 | 60 000 | 36 840 | 36 840 | -38,60% |
| Information and publishing | 90 241 | 320 000 | 392 960 | 392 960 | 22,80% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 42 713 | 60 000 | 61 218 | 61 218 | 2,03% |
| Title 3 - Operational expenditure | 162 702 348 | 215 770 672 | 546 147 000 | 546 147 000 | 153,11% |
| TOTAL | 167 162 957 | 221 260 672 | 552 490 000 | 552 490 000 | 149,70% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR 3 685 000
(As provided by the JU Accountant-DG BUDG in the context of the preparation of the Annual Accounts 2021).

4 Justification of needs

Commission assessment

Human Resources

The human resources requested for 2023 have been aligned with the LFS of KDt and already contain the additional six FTEs included in the Chips Act proposal.

Financial Resources

The requested financial resources for 2023 are based on the LFS and already take into account the Chips Act proposal. The only difference is the requested payment appropriations that are a bit lower than what foreseen in the KDT LFS.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In view of the launching of the new CHIPS JU in 2023, the needs in human resources are estimated to be significantly higher during the first year(s).

In this sense, on top of 6 new posts foreseen, the JU hereby requests 2 additional new posts (1 TA and a CA), i.e. a total of 8 posts (4 TA and 4 CA).

4.1.2 Vacancy rate as of end 2021

The vacancy rate at the end of 2021 was 6,45% [2 out of 31: 1 SNE (non-successful recruitment) and 1 TA (retirement)].

During 2021 2 new CA were recruited. The SNE post remained open as of 31/12/2021.

A recruitment procedure for the filling of the ED post was launched in 2021 and will be finalised the Q4 of 2022

2 TAs retired, 1 was replaced and the 2nd replacement is in preparation.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the actual staff costs at KDT JU. The budget appropriations covering staff expenditure for year 2023 are based on the estimate (average Full Time Equivalents on a yearly basis) of 14 temporary agents and 16 contract agents. Remuneration and social costs are estimated in accordance with the EU staff regulations and rules applicable, including pension rights.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

TITLE 1 - STAFF EXPENDITURE

1100 – Staff salaries and allowances

This appropriation is intended to cover the cost of remuneration of temporary and contract staff in accordance with the Staff Regulations. It also covers the costs of the employer's social security contributions in accordance with the applicable Staff Regulations, as well the cost of non-statutory staff like interim and trainees who are contracted for a short period. Salaries are expected to remain at substantially the same level in 2023 as no new posts are foreseen, thus recruitments will be limited to the filling of any vacant posts.

1200 – Recruitment and transfer

This chapter covers the recruitment costs for new staff as well as some expenditure foreseen in the relevant provisions of the Staff Regulations. As mentioned above, there are no new posts foreseen for KDT JU, therefore during 2023 recruitments will be limited to the filling of vacant posts based on the hereby approved staff establishment plan.

1300 – Mission expenses

The missions' appropriation is intended to cover expenditure on transport, payment of daily mission allowances and ancillary or exceptional expenses incurred by staff in interest of the service, in accordance with the Staff Regulations. The amount hereby proposed is meant to cover mission needs in 2023 comparable to their levels prior to the pandemic.

1400 – Socio-medical infrastructure & training

This appropriation relates to costs of the annual medical check-up of staff members and the complementary health insurance. Under this chapter are also covered the costs for the staff training and the HR tools under the SLA with DG HR. In 2023, these costs are expected to be lower mainly due to less estimated staff training needs (higher needs in view of the new programme are expected in 2022) and less medical costs (in the assumption of a more normalised sanitary context).

4.2.2 Title 2

TITLE 2 - ADMINISTRATIVE EXPENDITURE

2000 – Rental of buildings and associated costs

The JU is operating since 2011 in its premises located in the White Atrium building, 60 Avenue de la Toison d'Or in Brussels. The office location, the meeting rooms and consequently a number of expenses, such as security and safety of staff and installations, cleaning and maintenance are shared with five other JUs. In 2023, the rental, security, cleaning and maintenance costs are estimated to remain substantially at the same level as in 2022 with a provision for the yearly indexation.

2100 – IT and technical support costs

This chapter covers the cost for IT infrastructure and technical support of KDT JU.

The costs are covering the main following topics, some of which are implemented in coordination with the other JUs in the context of the common annual IT work plan.

- Service management contract

- IT equipment of KDT JU in both hardware and software

- Costs related to services and tools provided by the European Commission under Service Level Agreements (SLAs): financial and accounting tools (ABAC and SAP), document and mail management tool ARES, fees for the procurement services provided by DIGIT, web hosting and support for KDT website and cybersecurity services by CERT-EU.

2200 – Movable property and associated costs

This chapter relates to purchase of furniture, office equipment and archiving facilities.

2300 – Current administrative expenditure

Under this appropriation are covered the costs related to office supplies and translations, as well as the costs for the provision of accounting services for which up until the publication of the current preliminary draft budget for year 2023 (January 2022) no concrete development is yet known.

2400 – Postage and telecommunications

This chapter relates to the costs for internet connections and telecommunications services (including for replacement and upgrade). The expected needs shall remain in the same levels.

A-2500 – Meetings and representation costs

The chapter covers the costs of meetings that are taking place inside or outside of the JU premises. Under this chapter are also covered the costs of the Governing Board and the Public Authorities Board meetings. The expected needs in 2023 shall cover for GB and PAB physical meetings, as well as logistics for project reviews and evaluations.

2600 – Meeting experts costs

Under this appropriation are covered all the costs related to experts-advisers (like scientific advisers, senior experts and observers for the evaluations). The estimated amount for 2023 intend to cover the costs for the observers participating in the Calls 2023 evaluations.

2800 – Communication activities

In 2023, the communication activities will be focussed on the branding, promotion and visibility of the new KDT JU as shall be detailed in the Work Programme for 2023 and approved by the Governing Board. In summary, it includes:

- Online and physical (“presential”) events, including a Brokerage, Technology Conference, Symposium 2023, and various Institutional events
- Design and production of supporting material, including both backwall, roll-ups, signage materials, flyers and brochures, stationary, merchandising items etc
- Website management and maintenance services contract
- Media partner services.

2900 – Audit and legal costs

This appropriation covers external and internal audits and consultancy needs, legal assistance and related costs where a minor increase is expected due to the award of the external auditors contract already in 2022 covering a two financial years period (financial years 2022 and 2023).

4.2.3 Title 3

Title 3 OPERATIONAL EXPENDITURE

3200 – ECSEL projects under Horizon 2020 programme

This shall exclusively cover costs related to payments for ECSEL projects under H2020 programme.

3300 – KDT projects under Horizon Europe programme

This shall exclusively cover costs related to commitments and payments for KDT projects under Horizon Europe programme and studies by public procurement related to operational activities in line with KDT Annual Work Programme and upon approval of the Governing Board.

As far as the Calls 2023 are concerned, the allocation of the appropriations shall be approved ahead of the final adoption of the budget for year 2023.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|--------------|-----------------|------------|----------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | Brussels | 1 538 | 32 | 1 570 | 600 000 | Until 2024 | Usufruit | BE | |
| TOTAL | | | 1 538 | 32 | 1 570 | 600 000 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

N/A

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The KDT JU and its staff are granted with the benefit of the provisions listed in the Protocol No 7 on the privileges and immunities of the European Union, annexed to the TEU and the TFEU and has signed with Belgium a Headquarters agreement. | <p>As stated in the article 39 of the Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings, the Protocol No 7 on the privileges and immunities of the European Union, annexed to the TEU and the TFEU, shall apply to the joint undertakings and their staff.</p> <p>On 2 February 2012, a Headquarters agreement has been signed with Belgium, in accordance with the provisions of Article 17 of Council Regulation setting up the ENIAC (72/2008 of 20/12/2007), the predecessor JU of KDT JU.</p> | N/A |

2.1.6 Smart Networks and Services Joint Undertaking - SNS

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|----------------------------------------------------------------------------------------------------------------|------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe | | <p>The objectives on the initiative include:</p> <ul style="list-style-type: none"> - the consolidation of European industrial capabilities in the connectivity domain and for future generation of systems (6G) in a context of fierce competition from Asia and the US; - the support to the emergence of alternative suppliers, as called for in the cybersecurity toolbox communication; - the development of European technological capabilities in related domains (connected devices and service platforms) where European industry is less prominent but which are vital to ensure a secure and autonomous European supply chain; - the support to the Green Deal initiative, through innovative technologies enabling very low energy connectivity platforms and very low energy digital use cases running on top of these platforms; - the deployment of connectivity platforms for strategic use cases (automotive) paving the way towards future 6G systems. |

1.2 Seat

Brussels

1.3 Budget line

01 02 02 43 : Cluster Digital, Industry and Space — Smart Networks and Services joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 5 | | 0,00% | 6 | 7 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 5 | | 0,00% | 6 | 7 |
| Contract Agents (CA) | 9 | | 0,00% | 10 | 10 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 14 | | 0,00% | 16 | 17 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 121 929 000 | 164 704 000 | 131 204 255 | 133 182 898 |
| Other Revenue | | 4 378 698 | 4 397 772 | 4 454 955 |
| TOTAL REVENUES | 121 929 000 | 169 082 698 | 135 602 027 | 137 637 853 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 1 306 961 | 1 306 960 | 2 199 247 | 2 199 247 |
| Title 2 - Infrastructure and operating expenditure | 748 612 | 748 613 | 830 597 | 830 597 |
| Title 3 - Operational expenditure | 123 195 582 | 167 027 125 | 132 021 125 | 134 048 641 |
| TOTAL EXPENDITURE | 125 251 155 | 169 082 698 | 135 050 969 | 137 078 485 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | | | | | 1 | | 1 | | 1 |
| AD 13 | | 1 | | | | | | | | |
| AD 12 | | | | | | 2 | | 1 | | 1 |
| AD 11 | | 1 | | | | | | | | |
| AD 10 | | 1 | | | | | | | | |
| AD 9 | | 2 | | | | | | | | |
| AD 8 | | | | | | 3 | | 5 | | 5 |
| AD 7 | | | | | | | | | | |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 5 | | | | 6 | | 7 | | 7 |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 5 | | | | 6 | | 7 | | 7 |
| GRAND TOTAL | 5 | | | | 6 | | 7 | | 7 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 4 | | 5 | 5 |
| Function Group III | 4 | | 4 | 4 |
| Function Group II | 1 | | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 9 | | 10 | 10 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources¹

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 121 128 000 | 121 929 000 | 131 204 255 | 131 204 255 | 7,61% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 1 703 000 | 2 365 448 | 2 365 448 | 38,90% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 121 128 000 | 120 226 000 | 128 838 807 | 128 838 807 | 7,16% |
| 2 THIRD PARTIES CONTRIBUTION | | | 3 240 745 | 3 791 803 | 100% |
| - Of which EEA/EFTA (excl. Switzerland) ² | | | 3 240 745 | 3 791 803 | 100% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | 605 969 | 605 969 | 100% |
| - Of which Administrative (Title 1 and Title 2) | | | 605 969 | 605 969 | 100% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 121 128 000 | 121 929 000 | 135 050 969 | 135 602 027 | 11,21% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 0 | 164 704 000 | 133 182 898 | 133 182 898 | -19,14% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 1 703 000 | 2 365 448 | 2 365 448 | 38,90% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 0 | 163 001 000 | 130 817 450 | 130 817 450 | -19,74% |

¹ As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

² Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|----------------------------------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 2 THIRD PARTIES CONTRIBUTION | | 4 068 189 | 3 289 618 | 3 848 986 | -5,39% |
| - Of which EEA/EFTA (excl. Switzerland) ³ | | 4 068 189 | 3 289 618 | 3 848 986 | -5,39% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | 310 509 | 605 969 | 605 969 | 95,15% |
| - Of which Administrative (Title 1 and Title 2) | | 310 509 | 605 969 | 605 969 | 95,15% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 0 | 169 082 698 | 137 078 485 | 137 637 853 | -18,60% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

For 2023:

Financial contribution to the administrative costs of JU covered by the Private members: 605 969 €

In kind contributions by the Private members/Associated Partners: tbd

EU contribution to title 1 and 2: 80 %

Private contribution to title 1 and 2: 20 %.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | | 1 306 961 | 2 199 247 | 2 199 247 | 68,27% |
| Salaries & allowances | | 1 188 146 | 1 999 315 | 1 999 315 | 68,27% |
| - Of which establishment plan posts | | 625 629 | 1 129 125 | 1 129 125 | 80,48% |
| - Of which external personnel | | 562 517 | 870 190 | 870 190 | 54,70% |
| Expenditure relating to Staff recruitment | | 118 815 | 199 932 | 199 932 | 68,27% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |

³ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | | 748 612 | 830 597 | 830 597 | 10,95% |
| Rental of buildings and associated costs | | 200 000 | 437 149 | 437 149 | 118,57% |
| Information, communication technology and data processing | | 331 714 | 152 795 | 152 795 | -53,94% |
| Movable property and associated costs | | 5 352 | 5 938 | 5 938 | 10,95% |
| Current administrative expenditure | | 89 257 | 99 032 | 99 032 | 10,95% |
| Postage / Telecommunications | | 16 057 | 17 816 | 17 816 | 10,95% |
| Meeting expenses | | 42 004 | 46 604 | 46 604 | 10,95% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | 64 228 | 71 263 | 71 263 | 10,95% |
| Title 3 - Operational expenditure | 121 128 000 | 123 195 582 | 132 021 125 | 132 021 125 | 7,16% |
| TOTAL | 121 128 000 | 125 251 155 | 135 050 969 | 135 050 969 | 7,82% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-------------------------------------------|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | | 1 306 960 | 2 199 247 | 2 199 247 | 68,27% |
| Salaries & allowances | | 1 188 145 | 1 999 315 | 1 999 315 | 68,27% |
| - Of which establishment plan posts | | 625 628 | 1 129 125 | 1 129 125 | 80,48% |
| - Of which external personnel | | 562 517 | 870 190 | 870 190 | 54,70% |
| Expenditure relating to Staff recruitment | | 118 815 | 199 932 | 199 932 | 68,27% |
| Mission expenses | | | | | |
| Socio-medical infrastructure | | | | | |
| Training | | | | | |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 2 - Infrastructure and operating expenditure | | 748 613 | 830 597 | 830 597 | 10,95% |
| Rental of buildings and associated costs | | 200 000 | 437 149 | 437 149 | 118,57% |
| Information, communication technology and data processing | | 331 714 | 152 795 | 152 795 | -53,94% |
| Movable property and associated costs | | 5 352 | 5 938 | 5 938 | 10,95% |
| Current administrative expenditure | | 89 257 | 99 032 | 99 032 | 10,95% |
| Postage / Telecommunications | | 16 057 | 17 816 | 17 816 | 10,95% |
| Meeting expenses | | 42 004 | 46 604 | 46 604 | 10,95% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | | | | | |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | 64 229 | 71 263 | 71 263 | 10,95% |
| Title 3 - Operational expenditure | | 167 027 125 | 134 048 641 | 134 048 641 | -19,74% |
| TOTAL | | 169 082 698 | 137 078 485 | 137 078 485 | -18,93% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR 0.

4 Justification of needs

Commission assessment

Note: The SNS joint undertaking is not yet autonomous. Until it reaches financial autonomy, all its operations will be implemented by the Commission on behalf of the joint undertaking. Consequently, the below sections that need to be filled in by the joint undertaking have no relevance at this stage.

Human Resources

The human and financial resources requested are in line with the LFS attached to the Proposal for a COUNCIL REGULATION establishing the Joint Undertakings under Horizon Europe COM/2021/87 final.

Financial Resources

The human and financial resources requested are in line with the LFS attached to the Proposal for a COUNCIL REGULATION establishing the Joint Undertakings under Horizon Europe COM/2021/87 final.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2021

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| | | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Regulation (EC) 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64/1, 2.3.2007) | 27/02/2007 | Established under Article 171 of the Treaty, the Joint Undertaking's aim is to ensure the modernisation of the European air traffic management system by coordinating and concentrating all relevant research and development efforts in the Union. It shall be responsible for the execution of the ATM Master Plan and in particular for carrying out the following tasks: - organising and coordinating the activities of the development phase of the SESAR project in accordance with the ATM Master Plan, by combining and managing under a single structure public and private sector funding, - ensuring the necessary funding for the activities of the Development phase of the SESAR Project in accordance with the ATM Master Plan, - ensuring the involvement of the stakeholders of the air traffic management sector in Europe, in particular: air navigation service providers, airspace users, professional staff associations, airports, and manufacturing industry; as well as the relevant scientific institutions or the relevant scientific community, - organising the technical work of research and development, validation and study, to be carried out under its authority while avoiding fragmentation of such activities, - ensuring the supervision of activities related to the development of common products duly identified in the ATM Master Plan and if necessary, to organise specific invitations to tender. |
| Council Regulation (EC) 1361/2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352/12, 31.12.2008) | 16/12/2008 | The main changes introduced by this regulation concern the alignment of the SESAR Joint Undertaking (SESAR JU) to the new legal model of the other Joint Undertaking. In particular as of 01.01.2009 the SESAR JU is under the Protocol of Privileges and Immunities of the Community; this implies that the SESAR JU will be VAT exempted as of 16.02.2008 with an important positive effect on the resources available for the research programme |
| Council Regulation Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024. | 16/06/2014 | Established under Article 187 of the Treaty on the Functioning of the European Union, the Council Regulation 721/2014 extends the SESAR JU to the 2024 and defines the Union contribution within the EU "Horizon 2020" Framework Programme, entrusts it to launch a new programme to address the remaining elements of Master Plan step 2, those of step 3 and with Exploratory Research to go beyond this timeframe and concept. The proposal (COM (2013) 503) for the extension of the SESAR JU beyond 2016 was adopted on 16 June 2014. |
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014 ("Single Basic Act") and in particular Recitals (30), Articles 16(2)(e) and 27 thereof. | 19/11/2021 | Under Article 188 of the Treaty on the Functioning of the European Union, the Council Regulation (EU) 2021/2085 establishes the Single European Sky ATM Research 3 Joint Undertaking (also referred to as "SESAR 3 JU"). In particular, SESAR 3 JU shall have the following objectives: <ul style="list-style-type: none"> - strengthen and integrate the Union's research and innovation capacity in the ATM sector, making it more resilient and scalable to fluctuations in traffic while enabling the seamless operation of all aircraft; - strengthen, through innovation, the competitiveness of manned and unmanned air transport in the Union, and ATM services' markets to support economic growth in the Union; - develop and accelerate the market uptake of innovative solutions to establish the Single European Sky airspace as the most efficient and environmentally friendly sky to fly in the world; - develop a research and innovation ecosystem covering the entire ATM and U-space airspace value chains allowing to build the Digital |

| | | |
|--|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | <p>European Sky defined in the European ATM Master plan, enabling the collaboration and coordination needed between air navigation services providers and airspace users to ensure a single harmonised Union ATM system for both manned and unmanned operations;</p> <ul style="list-style-type: none"> – develop and validate ATM solutions supporting high levels of automation; – develop and validate the technical architecture of the Digital European Sky; – support an accelerated market deployment of innovative solutions through demonstrators; – coordinate the prioritisation and planning for the Union’s ATM modernisation efforts, based on a consensus-led process among the ATM stakeholders; and – facilitate the development of standards for the industrialisation of SESAR solutions. |
|--|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 51 : Cluster Climate, Energy and Mobility — Single European Sky ATM Research 3 joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 31 | 28 | 90,32% | 31 | 31 |
| Assistants (AST) | 6 | 6 | 100,00% | 6 | 6 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 37 | 34 | 91,89% | 37 | 37 |
| Contract Agents (CA) | 1 | 1 | 100,00% | 1 | 1 |
| Seconded National Experts (SNE) | 2 | 2 | 100,00% | 2 | 2 |
| TOTAL STAFF | 40 | 37 | 92,50% | 40 | 40 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 126 280 927 | 135 166 728 | 86 511 174 | 88 246 348 |

| | | | | |
|-----------------------|--------------------|--------------------|-------------------|-------------------|
| Other Revenue | 11 793 865 | 8 266 309 | 12 011 055 | 8 341 157 |
| TOTAL REVENUES | 138 074 792 | 143 433 037 | 98 522 229 | 96 587 505 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|---------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 5 749 700 | 5 749 700 | 5 999 315 | 5 999 315 |
| Title 2 - Infrastructure and operating expenditure | 3 177 026 | 3 177 026 | 3 511 567 | 3 511 567 |
| Title 3 - Operational expenditure | 129 148 066 | 134 506 311 | 88 648 000 | 83 071 088 |
| Title 4 - Unused Appropriations not required in current Year | | | | 3 648 000 |
| TOTAL EXPENDITURE | 138 074 792 | 143 433 037 | 98 158 882 | 96 229 970 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | | | 1 | | | | |
| AD 14 | | | | | | | 1 | | | 1 |
| AD 13 | | 1 | | | | 2 | | 2 | | 2 |
| AD 12 | | 4 | | 4 | | 3 | | 3 | | 3 |
| AD 11 | | 3 | | 1 | | 4 | | 5 | | 5 |
| AD 10 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 9 | | 6 | | 6 | | 7 | | 8 | | 8 |
| AD 8 | | 7 | | 6 | | 7 | | 7 | | 7 |
| AD 7 | | 4 | | 6 | | 4 | | 3 | | 3 |
| AD 6 | | 3 | | 3 | | 1 | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 31 | | 28 | | 31 | | 31 | | 31 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 8 | | | | | | | | | | |
| AST 7 | | 1 | | | | 1 | | 1 | | 1 |
| AST 6 | | | | | | | | | | |
| AST 5 | | 2 | | 1 | | 3 | | 3 | | 3 |
| AST 4 | | 1 | | 2 | | | | 1 | | 1 |
| AST 3 | | 1 | | 1 | | 1 | | | | |
| AST 2 | | | | 1 | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 6 | | 6 | | 6 | | 6 | | 6 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 37 | | 34 | | 37 | | 37 | | 37 |
| GRAND TOTAL | 37 | | 34 | | 37 | | 37 | | 37 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | 1 | 1 | 1* |
| Function Group III | | | | |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 1 | 1 | 1 | 1 |

*) covering a vacant AD7 position with indefinite duration.

**) 2 additional contract agents might be added under a Contribution Agreement under negotiation. These posts would be covered by a funding programme.

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 2 | 2 | 2 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 0 | 126 280 927 | 86 511 174 | 86 511 174 | -31,49% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | | p.m. | |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 0 | 86 280 927 | 86 511 174 | 86 511 174 | 0,27% |
| - Of which C2 Administrative (Title 1 and Title 2) | | | | | |
| - Of which C2 Operational (Title 3) | | 40 000 000 | | | -100% |
| 2 THIRD PARTIES CONTRIBUTION | | 2 131 139 | 2 136 826 | 2 500 173 | 17,32% |
| - Of which EEA/EFTA (excl. Switzerland) | | 2 131 139 | 2 136 826 | 2 500 173 | 17,32% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 594 246 | 4 158 552 | 4 073 142 | 4 073 142 | -2,05% |
| - Of which Administrative (Title 1 and Title 2) | 2 594 246 | 4 158 552 | 4 073 142 | 4 073 142 | -2,05% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 5 841 424 | 5 504 174 | 5 437 740 | 5 437 740 | -1,21% |
| - Of which administrative | 5 163 382 | 4 768 174 | 5 437 740 | 5 437 740 | 14,04% |
| - Of which operational | 678 042 | 736 000 | | | -100% |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------|------------------------------------|--------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 7 OTHER | 956 863 | | | | |
| TOTAL | 9 392 533 | 138 074 792 | 98 158 882 | 98 522 229 | -28,65% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the ‘Joint Undertaking request’ columns in the “Revenue” and “Expenditure” tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 41 287 480 | 135 166 728 | 88 246 348 | 88 246 348 | -34,71% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | | p.m. | |
| - Of which frontloading (Title 1 and Title 2) | 3 326 672 | 3 244 911 | 3 572 618 | 3 572 618 | 10,10% |
| - Of which Operational (Title 3) | 37 440 351 | 111 921 817 | 84 673 730 | 84 673 730 | -24,35% |
| - Of which C2 Administrative (Title 1 and Title 2) | | | | | |
| - Of which C2 Operational (Title 3) | 520 457 [2] | 20 000 000 | | | -100% |
| 2 THIRD PARTIES CONTRIBUTION | | 2 652 962 | 2 120 740 | 2 478 275 | -6,58% |
| - Of which EEA/EFTA (excl. Switzerland) | | 2 652 962 | 2 120 740 | 2 478 275 | -6,58% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 594 246 | 4 158 552 | 4 073 142 | 4 073 142 | -2,05% |
| - Of which Administrative (Title 1 and Title 2) | 2 594 246 | 4 158 552 | 4 073 142 | 4 073 142 | -2,05% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 18 365 089 | 1 454 795 | 1 789 740 | 1 789 740 | 23,02% |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|---------------------------------|---------------------------------|--------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which administrative | 881 718 | 1 454 795 | 1 789 740 | 1 789 740 | 23,02% |
| - Of which operational | 17 483 371 | | | | |
| 7 OTHER | 884 963 | | | | |
| TOTAL | 63 131 778 | 143 433 037 | 96 229 970 | 96 587 505 | -32,66% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the ‘Joint Undertaking request’ columns in the “Revenue” and “Expenditure” tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

[2] Includes also other fund sources.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| SESAR 3 - FINANCIAL CONTRIBUTIONS OVERVIEW | 2021 | 2022 | 2023 |
|--------------------------------------------------------------|------------|------------------------------------|---------------------------------------|
| | | Estimated by the Joint Undertaking | As requested by the Joint Undertaking |
| | Executed | | |
| 1. FINANCIAL CONTRIBUTION FROM EU (EFTA included) (1) | 0 | 128.412.066 | 85.000.000 |
| <i>Horizon 2020</i> | | | |
| <i>Horizon Europe</i> | | 128.412.066 | 85.000.000 |
| 2. CONTRIBUTIONS FROM INDUSTRY | 33.087.406 | 42.678.576 | 51.841.330 |
| <i>of which financial contribution</i> | 2.110.408 | 3.106.452 | 3.000.000 |
| <i>of which reimbursement SESAR 1 Programme</i> | -292.513 | | |
| <i>of which contribution in kind</i> | 31.269.511 | 39.572.124 | 48.841.330 |
| 3 OTHER MEMBERS CONTRIBUTIONS (EUROCONTROL) | 45.303.043 | 61.480.998 | 61.408.618 |
| <i>of which financial contribution</i> | 776.351 | 1.052.100 | 1.073.142 |
| <i>of which contribution in kind</i> | 44.526.692 | 60.428.898 | 60.335.476 |
| TOTAL CONTRIBUTIONS | 78.390.449 | 360.983.706 | 283.249.948 |
| <i>of which to SESAR2020 Programme</i> | 78.682.962 | 232.571.640 | 198.249.948 |
| <i>of which to SESAR 3 Programme</i> | 0 | 128.412.066 | 85.000.000 |
| <i>of which to SESAR 1 Programme</i> | -292.513 | | |

(1) EFTA rate 2022 : 2,66% on 2021 appropriations and 2,47% on 2022 appropriations; EFTA rate 2023: 2,47%.

For the SESAR 2020 Programme

In accordance with Article 4 of the SESAR JU constituent act and the Statutes annexed to it, all revenue of the SESAR JU shall come from contributions from its Members and can be financial or in kind.

1. Financial Contribution from EU

The SESAR JU will continue to receive payment appropriations from the Horizon 2020 Programme over the next two years, allowing it to finalise the SESAR 2020 Programme.

2. Contributions from Industry (Members)

All SESAR JU Members other than the European Commission (representing the EU) and EUROCONTROL contribute to the SESAR JU through financial and in-kind contributions. The contribution of each Member was contractually established (as estimates) in a unique membership agreement signed by the SESAR JU and all Members

3. Other Member Contribution (EUROCONTROL)

As a Founding Member, pursuant to Article 9.2 (b) of the SESAR JU Statutes and the SESAR JU-EUROCONTROL Agreement, EUROCONTROL shall contribute in-kind and in cash to the SESAR JU running costs and work programme through a set of activities under the authority of the SESAR JU.

For the SESAR 3 Programme

In accordance with the Single Basic Act¹ establishing the SESAR 3 Joint Undertaking all revenue of the SESAR 3 JU shall come from contributions from its Members and can be financial or in kind.

1. Financial Contribution from EU

To cover both administrative and operational costs. The 2021 commitment and payment appropriations have been carried-forward to 2022 (EUR 40 000 000 in commitment appropriations and EUR 20 000 000 in payment appropriations).

2. Contributions from Industry (Members)²

The contributions of private members shall consist of financial contributions to the administrative costs and of in-kind contributions to operational activities and in-kind contributions to additional activities.

3. Other Member Contribution (EUROCONTROL)³

The contribution of EUROCONTROL shall consist of financial contributions to the administrative costs and of in-kind contributions to operational activities and in-kind contributions to additional activities.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 4 841 782 | 5 749 700 | 5 999 315 | 5 999 315 | 4,34% |
| Salaries & allowances | 4 698 524 | 5 364 700 | 5 606 615 | 5 606 615 | 4,51% |
| - <i>Of which establishment plan posts</i> | 4 292 997 | 4 700 000 | 4 928 621 | 4 928 621 | 4,86% |
| - <i>Of which external personnel</i> | 405 527 | 664 700 | 677 994 | 677 994 | 2,00% |
| Expenditure relating to Staff recruitment | 884 | 5 000 | 5 100 | 5 100 | 2,00% |
| Mission expenses | 13 293 | 200 000 | 204 000 | 204 000 | 2,00% |

¹ COUNCIL REGULATION (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014

² Requests to pay contributions from private members will not begin (normally) before 2024

³ Requests to pay contributions from EUROCONTROL will not begin (normally) before 2024

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------------------|---------------------------|--------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Socio-medical infrastructure | | | | | |
| Training | 16 336 | 40 000 | 40 800 | 40 800 | 2,00% |
| External Services | 77 788 | 90 000 | 91 800 | 91 800 | 2,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 34 957 | 50 000 | 51 000 | 51 000 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 2 915 846 | 3 177 026 | 3 511 567 | 3 511 567 | 10,53% |
| Rental of buildings and associated costs | 780 744 | 928 960 | 1 147 539 | 1 147 539 | 23,53% |
| Information, communication technology and data processing | 1 475 858 | 1 568 619 | 1 670 992 | 1 670 992 | 6,53% |
| Movable property and associated costs | 1 382 | 12 000 | 12 240 | 12 240 | 2,00% |
| Current administrative expenditure | 209 297 | 273 043 | 278 504 | 278 504 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 4 301 | 10 404 | 10 612 | 10 612 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 444 264 | 384 000 | 391 680 | 391 680 | 2,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 1 634 905 | 129 148 066 | 88 648 000 | 88 648 000 | -31,36% |
| Studies/Development conducted by the SJU | 1 610 293 | 26 228 500 | 20 567 566 | 20 567 566 | -21,58% |
| Studies/Development conducted by the Members | 24 612 | 102 919 566 | 68 080 434 | 68 080 434 | -33,85% |
| Title 4 - Unused Appropriations not required in current Year | | | | | |
| - <i>Of which Administrative</i> | | | | | |
| - <i>Of which Operational</i> | | | | | |
| TOTAL | 9 392 533 | 138 074 792 | 98 158 882 | 98 158 882 | -28,91% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 4 825 792 | 5 749 700 | 5 999 315 | 5 999 315 | 4,34% |
| Salaries & allowances | 4 711 120 | 5 364 700 | 5 606 615 | 5 606 615 | 4,51% |
| <i>- Of which establishment plan posts</i> | <i>4 292 997</i> | <i>4 700 000</i> | <i>4 928 621</i> | <i>4 928 621</i> | <i>4,86%</i> |
| <i>- Of which external personnel</i> | <i>418 123</i> | <i>664 700</i> | <i>677 994</i> | <i>677 994</i> | <i>2,00%</i> |
| Expenditure relating to Staff recruitment | 884 | 5 000 | 5 100 | 5 100 | 2,00% |
| Mission expenses | 13 924 | 200 000 | 204 000 | 204 000 | 2,00% |
| Socio-medical infrastructure | | | | | |
| Training | 17 061 | 40 000 | 40 800 | 40 800 | 2,00% |
| External Services | 67 788 | 90 000 | 91 800 | 91 800 | 2,00% |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 15 015 | 50 000 | 51 000 | 51 000 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 2 158 567 | 3 177 026 | 3 511 567 | 3 511 567 | 10,53% |
| Rental of buildings and associated costs | 732 093 | 928 960 | 1 147 539 | 1 147 539 | 23,53% |
| Information, communication technology and data processing | 1 087 157 | 1 568 619 | 1 670 992 | 1 670 992 | 6,53% |
| Movable property and associated costs | 1 382 | 12 000 | 12 240 | 12 240 | 2,00% |
| Current administrative expenditure | 154 049 | 273 043 | 278 504 | 278 504 | 2,00% |
| Postage / Telecommunications | | | | | |
| Meeting expenses | 4 301 | 10 404 | 10 612 | 10 612 | 2,00% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 179 585 | 384 000 | 391 680 | 391 680 | 2,00% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------------------------|------------------------|--------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 3 - Operational expenditure | 56 147 419 | 134 506 311 | 83 071 088 | 83 071 088 | -38,24% |
| Studies/Development conducted by the SJU | 19 703 504 | 39 273 813 | 28 578 072 | 28 578 072 | -27,23% |
| Studies/Development conducted by the Members | 36 443 915 | 95 232 498 | 54 493 016 | 54 493 016 | -42,78% |
| Title 4 - Unused Appropriations not required in current Year | | | 3 648 000 | 3 648 000 | 100% |
| - Of which Administrative | | | | | |
| - Of which Operational | | | 3 648 000 | 3 648 000 | 100% |
| TOTAL | 63 131 778 | 143 433 037 | 96 229 970 | 96 229 970 | -32,91% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR 592 641

SESAR 1 + SESAR 2020

| <i>all figures in EUR</i> | 2021 | 2020 |
|---------------------------------------------------------|------------------------|----------------------|
| REVENUE RECEIVED FOR THE YEAR | | |
| Contribution from the European Union SESAR1 | | (23.897.454) |
| Contribution from the European Union SESAR2020 | 41.287.480 | 117.711.961 |
| Contribution from Eurocontrol | 776.351 | (3.641.583) |
| Contributions from other Members | 1.834.376 | (495.202) |
| Other sources of contribution and revenue SESAR1 | 0 | 81.564 |
| Other sources of contribution and revenue SESAR2020 | 1.679.854 | 3.292.937 |
| TOTAL REVENUE (1) | 45.578.060,74 | 93.052.223 |
| TOTAL PAYMENTS MADE FOR THE YEAR | | |
| Staff Expenditure SESAR2020 | (4.825.792,06) | (5.192.405) |
| Administrative Expenditure SESAR1 | 0,00 | 0 |
| Administrative Expenditure SESAR2020 | (2.158.566,84) | (2.945.854) |
| Operational Expenditure SESAR1 | 0,00 | (4.128) |
| Operational Expenditure SESAR2020 | (56.147.419,14) | (113.064.735) |
| TOTAL EXPENDITURE (2) | (63.131.778,04) | (121.207.122) |
| EXCHANGE RATE DIFFERENCES | (0) | (3.990) |
| BUDGET SURPLUS of the year (3)=(1)-(2) SESAR1 | (292.513) | (30.397.149) |
| BUDGET SURPLUS of the year (3)=(1)-(2) SESAR2020 | (17.261.205) | 2.238.260 |
| Total Budget Surplus previous year (4) SESAR1 | 292.513 | 30.689.661 |
| Total Budget Surplus previous year (4) SESAR2020 | 17.853.446 | 15.615.186 |
| NEW TOTAL BUDGET SURPLUS (5)=(3)+(4) SESAR1 | 0 | 292.513 |
| NEW TOTAL BUDGET SURPLUS (6)=(3)+(4) SESAR2020 | 592.241 | 17.853.446 |
| TOTAL BUDGET OUTTURN (7)=(5)+(6) | 592.241 | 18.145.958 |

In view of the very exceptional circumstances due to the COVID-19, the decision of an anticipated reimbursement of the remaining cash of the SESAR 1 Programme was adopted in 2020.

On 31/12/2020, all payments but three were done.

Beginning of 2021, the three remaining reimbursements have been executed for a total of EUR 292 513. The SESAR 1 programme is therefore totally closed and the SESAR 1 budget outturn equals zero at the end of 2021.

4 Justification of needs

Commission assessment

The 2023 budget request provides for sufficient administrative and financial resources to allow the S3JU to carry out the activities stemming from the previous and the new programming period.

Human Resources

The Commission supports the request made by the joint undertaking. In details:

No additional staff is requested by the S3JU for 2023 in comparison to 2022 (40 positions in total). This is in line with the legislative financial statement (LFS).

The evolution in the staff costs in comparison to 2022 is compatible with the expectations/trends and remains within the boundaries set in the LFS.

In 2023 the S3JU staff will have to manage two work programmes, i.e. the final stage of the SESAR 2020 programme and the new Digital European Sky programme, with the respective portfolios of legal framework, activities and funding. This indeed represents an increased level complexity in the administrative tasks.

Financial Resources

The planned appropriations are adequate to cover the S3JU's activities, as outlined in the draft multi-annual work programme.

Administrative expenditure: no request for appropriations for running costs from Horizon Europe. The S3JU has sufficient appropriations received already from Horizon 2020 budget.

Operational expenditure:

Completion of H2020:

The 2023 budget request includes payment appropriations needed to cover the on-going SESAR 2020 projects (which are due to be finalised in 2023) and other operational activities.

Horizon Europe:

Due to the adoption of the Joint Undertakings' legal basis and the subsequent setting-up of the S3JU in the final quarter of 2021, the 2021 operational appropriations have been carried over to 2022, in accordance with Article 12(2)(b) and (c) of the Financial Regulation. The 2021 together with the 2022 commitment appropriations will cover the two first calls for proposals, one on exploratory research ("ER1"; total call amount EUR 23,75 million) and one on industrial research ("IR1"; total call amount EUR 171 million). In addition, the S3JU intends to launch a second call on exploratory research in the second part of 2023 ("ER2"; total call amount EUR 23,75 million).

The 2023 budget request includes an appropriate level of commitment appropriations to cover the 2nd instalment of IR1 call, the 1st instalment of the ER2 call, as well as other operational activities. This also corresponds to the LFS (EUR 88,648 million).

The 2023 budget request includes an appropriate level of payment appropriations so that the S3JU can meet its payment obligations (ER1 and IR1 pre-financings plus some payments linked to other operational activities) and stands ready to pay ER2 pre-financings in early 2024. This also corresponds to the LFS (EUR 73,648 million).

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The 2022 Staff establishment plan (SEP) takes into account the numbers of the Legislative Financial Statement for 2021-2027 with 40 positions: 37 temporary agents, 1 Contract Agent and 2 Seconded National Experts.

During 2022 and 2023, there will be a need to execute simultaneously actions under two different legal frameworks: H2020 and Horizon Europe and this will lead to a high degree of complexity of the administrative tasks.

4.1.2 Vacancy rate as of end 2021

The vacancy rate at the end of 2021 is of 7.5%. It corresponds to 3 vacant positions, out of which 2 positions have been published in 2021 (recruitment planned Q2 2022).

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salaries are based on the 2021 actual salaries and assumptions concerning annual step increases, reclassifications, very low vacancy rate as well as an estimated increase related to the costs of life. A 10% increase on 2021 figures was calculated in order to cover all these costs.

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The salary calculation for the 2023 commitment appropriation under title I is calculated with an assumption of 37 TA at an average cost of EUR 130 000 each and 2 SNE at EUR 59 670 each - while the SJU has only one CA estimated at EUR 84 150. Missions and training expenses would reach higher levels than in 2021 in anticipation of a "back-to-normal" situation.

4.2.2 Title 2

Usual increase of the expenses related to the building and IT expenses.

4.2.3 Title 3

SESAR2020:

Only payment appropriation that mainly covers the on-going projects under H2020.

SESAR 3:

In commitment appropriation, EUR 88,6 Mio in 2023 for:

- Exploratory research call 2: 1st instalment EUR 12,2 million
- Industrial research call 1: 2nd instalment EUR 70,7 million
- Other operational activities: EUR 5,7 million

In payment appropriation, EUR 73,6 Mio for 2023 for:

- Exploratory Research ER1 (Pre-financing): EUR 9,5 million
- Industrial Research 1 (second Pre-Financing): EUR 54,4 million

- Other operational activities: EUR 6,1 million
- Reserve to cover operational expenditure beginning of 2024: EUR 3,6 million

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------------------------------------------|----------------------------------|------------|--------------|-----------------|--------------------------------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Cortenbergh 100 | Avenue de Cortenbergh 100 B - 1000 Bruxelles | 1 765 | 63 | 1 828 | 427 925 | 9 years lease contract, started 01.03.2016 | | | |
| TOTAL | | | 1 765 | 63 | 1 828 | 427 925 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

The last two evaluations of the SESAR Joint Undertaking took place in 2017. One concerned the closure of the SESAR 1 Programme (Final Evaluation of SESAR 1: 2007-2016), while the second focused on the ongoing research activities under the SESAR 2020 Programme (Interim Evaluation of SESAR 2020: 2014-2020).

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| VAT exemption as of 16.10.2008, Administrative Agreement with the Belgian Authorities since 30.03.2009 | Protocol of Privileges and Immunities applicable to staff with regard to VAT. | |

2.1.8 Clean Aviation Joint Undertaking - CLEANSKY3

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Approval by Council Regulation N°- 71/2008 of 20/12/2007- setting up the Clean Sky Joint Undertaking (OJ L 30/1, 04/02/2008) | 20/12/2007 | <p>The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular Theme 7, Transport (including Aeronautics) of the Specific Programme Cooperation. The objectives of the Clean Sky Joint Undertaking shall be the following:</p> <ul style="list-style-type: none"> - accelerating in the EU the development, validation and demonstration of clean Air Transport technologies for earliest possible deployment; - ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of Air Transport; - creating a radically innovative Air Transport System based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts; - accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness. |
| Council Regulation N° 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169/77) | 06/05/2014 | <p>The Clean Sky 2 Joint Undertaking shall contribute to the implementation of the Framework Programme for Research and Innovation (2014-2020) (“Horizon 2020”), and in particular the Smart, Green and Integrated Transport Challenge under Part III — Societal Challenges.</p> <p>The Clean Sky 2 Joint Undertaking shall have the following objectives:</p> <ul style="list-style-type: none"> - to contribute to the finalisation of research activities initiated under Regulation (EC) No 71/2008; - to contribute to improving the environmental impact of aeronautical technologies, including those relating to small aviation, as well as to developing a strong and globally competitive aeronautical industry and supply chain in Europe. <p>This can be realised through speeding up the development of cleaner air transport technologies for earliest possible deployment, and in particular the integration, demonstration and validation of technologies capable of:</p> <ul style="list-style-type: none"> - increasing aircraft fuel efficiency, thus reducing CO₂ emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014; - reducing aircraft NO_x and noise emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014. |
| Council Regulation (EU) No. 2021/2085 of 19 November 2021 (OJ L427/17, 30.11.2021) establishing the Clean Aviation Joint Undertaking under Horizon Europe. | 19/11/2021 | <p>The initiative’s main objective is to contribute to reduce the ecological footprint by accelerating the development of climate neutral aviation technologies for earliest possible deployment, therefore significantly contributing to the achievement of the general goals of the European Green Deal, i.e.: a 50% to 55% emissions reduction by 2030, and climate neutrality by 2050 .</p> <p>The second general objective is to ensure that aeronautics-related R&I activity contributes to the global competitiveness of the EU aviation industry by accelerating and optimising the R&I process. Without a strong European supply chain, Europe has no leverage to pursue an ambitious environmental policy.</p> <p>The third objective would be to ensure that cleaner aviation remains safe, secure and efficient for the transportation of passengers and goods by air.</p> |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 52 : Cluster Climate, Energy and Mobility — Clean Aviation joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 32 | 31 | 96,88% | 32 | 32 |
| Assistants (AST) | 4 | 4 | 100,00% | 4 | 3 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 36 | 35 | 97,22% | 36 | 35 |
| Contract Agents (CA) | 6 | 6 | 100,00% | 6 | 6 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 2 | |
| TOTAL STAFF | 44 | 42 | 95,45% | 44 | 41 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 150 583 000 | 312 585 176 | 231 570 000 | 394 272 378 |
| Other Revenue | 29 226 379 | 96 623 902 | 35 830 913 | 11 347 699 |
| TOTAL REVENUES | 179 809 379 | 409 209 078 | 267 400 913 | 405 620 077 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 7 170 131 | 7 484 309 | 5 665 134 | 5 665 134 |
| Title 2 - Infrastructure and operating expenditure | 5 359 331 | 6 590 541 | 4 570 001 | 4 570 001 |
| Title 3 - Operational expenditure | 382 430 081 | 371 496 971 | 244 458 848 | 401 835 881 |

| Expenditure | 2022 | | 2023 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 394 959 543 | 385 571 821 | 254 693 983 | 412 071 016 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 11 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AD 10 | | 3 | | 3 | | 5 | | 5 | | 5 |
| AD 9 | | 10 | | 10 | | 8 | | 8 | | 8 |
| AD 8 | | 3 | | 3 | | 4 | | 4 | | 4 |
| AD 7 | | 3 | | 2 | | 4 | | 6 | | 6 |
| AD 6 | | 9 | | 9 | | 6 | | 4 | | 4 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 32 | | 31 | | 32 | | 32 | | 32 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | | | | | | | | | |
| AST 6 | | 2 | | 2 | | 2 | | 1 | | 1 |
| AST 5 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 4 | | 4 | | 4 | | 3 | | 3 |
| AST/SC 6 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 36 | | 35 | | 36 | | 35 | | 35 |
| GRAND TOTAL | 36 | | 35 | | 36 | | 35 | | 35 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | 1 | 3 | 3 |
| Function Group III | 5 | 5 | 3 | 3 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 6 | 6 | 6 | 6 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 2 | |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 229 925 000 | 150 583 000 | 231 570 000 | 231 570 000 | 53,78% |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|--------------------|---------------------------|------------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 382 000 | 1 440 000 | 2 027 000 | 2 027 000 | 40,76% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 229 543 000 | 149 143 000 | 229 543 000 | 229 543 000 | 53,91% |
| 2 THIRD PARTIES CONTRIBUTION | | 3 719 400 | 5 719 779 | 6 692 373 | 79,93% |
| - Of which EEA/EFTA (excl. Switzerland) | | 3 719 400 | 5 719 779 | 6 692 373 | 79,93% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 333 484 | 6 264 731 | 5 117 567 | 5 117 567 | -18,31% |
| - Of which Administrative (Title 1 and Title 2) | 4 333 484 | 6 264 731 | 5 117 567 | 5 117 567 | -18,31% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 177 015 753 | 249 167 248 | 24 020 973 | 24 020 973 | 24,83% |
| - Of which administrative | 17 419 523 | 18 890 554 | 14 101 391 | 14 101 391 | -23,81% |
| - Of which operational | 159 596 230 | 230 276 694 | 9 919 582 | 9 919 582 | 1252,01% |
| 7 OTHER | | | | | |
| TOTAL | 411 274 237 [4] | 409 734 379 | 266 428 319 | 267 400 913 [5] | 48,71% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

[2] For 2022 budget, and JU position for DB 2023 EFTA 2,47% is assumed.

[3] For CS2, the JU has received in 2020 the last Commitment appropriations of the H2020 programme which will be used until the end of the programme (2021-2024). The Commitment appropriations not consumed in 2020 will be used for the commitments of the periods 2021-2024 and are shown under "Unused appropriations from the previous year". These CA are not included in EU contribution 2021, 2022 and 2023.

[4] For Clean Aviation, the EU contribution was not transferred in 2021 and is actually transferred in budget 2022, knowing that the total budget planned in the LFS is EUR 229,925,000. This amount is included under row 6 unused appropriations from previous years in 2022 column.

[5] The difference of EUR 14 774 837 between the Revenue table and the Expenditure (Commitment appropriations) comes from the fact that the JU will not execute 100% of the 2022 budget. These credits represent EUR and are posted in Title 5 which cannot be shown in the present table.

[6] The reason for a difference of EUR 11 734 337 above the CA between the revenue and execution table is that these credits will not be executed in 2023 but in the following years.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 84 966 465 | 312 585 176 | 397 143 991 | 394 272 378 | 26,13% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 1 440 000 | 4 994 210 | 2 027 000 | 40,76% |
| - Of which frontloading (Title 1 and Title 2) | 4 333 484 | | | | |
| - Of which Operational (Title 3) | 80 632 981 | 311 145 176 | 392 149 781 | 392 245 378 | 26,07% |
| 2 THIRD PARTIES CONTRIBUTION | | 7 833 432 | 9 809 457 | 11 347 699 | 44,86% |
| - Of which EEA/EFTA (excl. Switzerland) | | 7 833 432 | 9 809 457 | 11 347 699 | 44,86% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 4 333 484 | 6 264 731 | 5 117 567 | | -100% |
| - Of which Administrative (Title 1 and Title 2) | 4 333 484 | 6 264 731 | 5 117 567 | | -100% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 100 146 507 | 82 525 739 | 6 320 862 | | -100% |
| - Of which administrative | 8 562 754 | 8 955 280 | 6 320 862 | | -100% |
| - Of which operational | 91 583 753 | 73 570 459 | | | -100% |
| 7 OTHER | | | | | |
| TOTAL | 189 446 456 | 409 209 078 | 418 391 877 | 405 620 077 | -0,88% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint

undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| Third-party contributions (commitment appropriations) | Executed budget 2021 | Budget 2022 | Draft budget 2023 |
|--------------------------------------------------------------------------------------------------------------|----------------------|-----------------|-------------------|
| Financial contribution to the administrative costs of JU covered by the Participant States / EU contribution | | 1,857,568 (1) | 2,077,067 (4) |
| Financial contributions to the administrative costs by the Private members | 4,333,484 | 6,264,731 (2) | 5,117,567 (5) |
| Financial contributions to operational costs by the Participating States / EU contribution | | 382,369,832 (3) | 235,212,712 (6) |
| In-kind contributions to operational activities by the Private members (2) | 104,565,951 (7) | 87,845,199 (8) | 34,980,855 (8) |
| In-kind contributions to operational activities by Participating States | | | |
| TOTAL appropriations co-financed | | | |

(1) Budget Admin 2022 EU, only for Clean Aviation (incl. total amounts foreseen in LFS for 2021 and 2022).

(2) Budget Admin 2022 Members, of which 1,857,568 for Clean Aviation and 4,407,163 for CS2.

(3) Budget Oper. 2022 EU, only for Clean Aviation.

(4) Budget Admin 2023 EU, only for Clean Aviation.

(5) Budget Admin 2023 Members, of which 2,077,067 for Clean Aviation and 3,040,500 for CS2.

(6) Budget Oper. 2023 EU, only for Clean Aviation.

(7) 2021 data based on members' declarations for IKOP only as per CSJU provisional financial accounts 2021.

(8) 2022 and 2023 data based on ratio of 80% (certified In-kind contributions as observed on cumulative figures to date / validated JU contribution).

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 532 932 | 7 170 131 | 5 665 134 | 5 665 134 | -20,99% |
| Salaries & allowances | 4 694 402 | 6 050 952 | 4 852 033 | 4 852 033 | -19,81% |
| - <i>Of which establishment plan posts</i> | 4 164 962 | 5 385 857 | 4 306 830 | 4 306 830 | -20,03% |
| - <i>Of which external personnel</i> | 529 440 | 665 095 | 545 203 | 545 203 | -18,03% |
| Expenditure relating to Staff recruitment | 135 000 | 121 762 | 82 877 | 82 877 | -31,94% |
| Mission expenses | 25 000 | 265 286 | 183 631 | 183 631 | -30,78% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Socio-medical infrastructure | 64 224 | 133 938 | 90 665 | 90 665 | -32,31% |
| Training | | | | | |
| External Services | 586 761 | 547 554 | 424 831 | 424 831 | -22,41% |
| Receptions, events and representation | 27 545 | 50 639 | 31 097 | 31 097 | -38,59% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 493 492 | 5 359 331 | 4 570 001 | 4 570 001 | -14,73% |
| Rental of buildings and associated costs | 600 000 | 703 568 | 624 013 | 624 013 | -11,31% |
| Information, communication technology and data processing | 451 966 | 496 429 | 376 458 | 376 458 | -24,17% |
| Movable property and associated costs | | 12 168 | 7 176 | 7 176 | -41,03% |
| Current administrative expenditure | 60 000 | 73 007 | 46 054 | 46 054 | -36,92% |
| Postage / Telecommunications | 35 000 | 60 839 | 37 878 | 37 878 | -37,74% |
| Meeting expenses | 62 303 | 377 143 | 277 166 | 277 166 | -26,51% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 857 485 | 846 930 | 580 540 | 580 540 | -31,45% |
| Studies | 426 738 | 2 789 247 | 2 620 716 | 2 620 716 | -6,04% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 155 262 747 | 382 430 081 | 244 458 848 | 244 458 848 | -36,08% |
| Clean Sky 1 | | 382 369 832 | 235 212 712 | 235 212 712 | -38,49% |
| Clean Sky 2 | 155 262 747 | 60 249 | 9 246 136 | 9 246 136 | 15246,54% |
| TOTAL | 163 289 171 | 394 959 543 | 254 693 983 | 254 693 983 | -35,51% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 5 443 434 | 7 484 309 | 5 665 134 | 5 665 134 | -24,31% |
| Salaries & allowances | 4 694 402 | 6 050 952 | 4 852 033 | 4 852 033 | -19,81% |
| <i>- Of which establishment plan posts</i> | <i>4 164 962</i> | <i>5 385 857</i> | <i>4 306 830</i> | <i>4 306 830</i> | <i>-20,03%</i> |
| <i>- Of which external personnel</i> | <i>529 440</i> | <i>665 095</i> | <i>545 203</i> | <i>545 203</i> | <i>-18,03%</i> |
| Expenditure relating to Staff recruitment | 120 560 | 142 081 | 82 877 | 82 877 | -41,67% |
| Mission expenses | 11 846 | 278 440 | 183 631 | 183 631 | -34,05% |
| Socio-medical infrastructure | 41 643 | 179 523 | 90 665 | 90 665 | -49,50% |
| Training | | | | | |
| External Services | 549 356 | 780 756 | 424 831 | 424 831 | -45,59% |
| Receptions, events and representation | 25 627 | 52 557 | 31 097 | 31 097 | -40,83% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 2 123 754 | 6 590 541 | 4 570 001 | 4 570 001 | -30,66% |
| Rental of buildings and associated costs | 586 808 | 716 760 | 624 013 | 624 013 | -12,94% |
| Information, communication technology and data processing | 491 554 | 822 320 | 376 458 | 376 458 | -54,22% |
| Movable property and associated costs | 3 466 | 12 168 | 7 176 | 7 176 | -41,03% |
| Current administrative expenditure | 8 361 | 127 109 | 46 054 | 46 054 | -63,77% |
| Postage / Telecommunications | 22 605 | 74 302 | 37 878 | 37 878 | -49,02% |
| Meeting expenses | 26 097 | 413 555 | 277 166 | 277 166 | -32,98% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 513 613 | 1 456 123 | 580 540 | 580 540 | -60,13% |
| Studies | 471 250 | 2 968 204 | 2 620 716 | 2 620 716 | -11,71% |
| Other infrastructure and operating expenditure | | | | | |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 3 - Operational expenditure | 99 080 539 | 371 496 971 | 401 835 881 | 401 835 881 | 8,17% |
| Clean Sky 1 | | 176 476 518 | 374 051 320 | 374 051 320 | 111,96% |
| Clean Sky 2 | 99 080 539 | 195 020 453 | 27 784 561 | 27 784 561 | -85,75% |
| TOTAL | 106 647 727 | 385 571 821 | 412 071 016 | 412 071 016 | 6,87% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR 82,401,978

4 Justification of needs

Commission assessment

Human Resources

Agreed staff numbers are in line with LFS.

Financial Resources

Agreed CA level in line with the financial programming.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The establishment plan for 2023 is aligned with the LFS with a decrease of 3 posts compared to 2022, from 44 to 41 staff in 2023. The JU considers that this staff reduction will have negative impact on JU operations considering that the two programmes will be managed in parallel until 2024.

The JU requests a modification of the Establishment Plan (compared to the initial Work Plan) due to the reclassification needs.

4.1.2 Vacancy rate as of end 2021

End of 2021, the vacancy rate was 5% which corresponds to 1 vacant AD7 and to 1 SNE post.

The AD7 selection has been launched end 2021.

The vacant SNE position cannot be filled since it is included in the reduction of posts foreseen as from 1/1/2023 (the duration of the mission would have been too short).

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

The salary envelope for 2023 includes an estimated annual indexation of 3% compared to 2022.

4.1.5 Correction coefficient used

Belgium, 100%.

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The staff expenditures include the decrease of staff foreseen in the LFS for 2023 and will be allocated pro rata to the two programmes running in parallel.

4.2.2 Title 2

The infrastructure expenditures will be allocated pro rata to the two programmes running in parallel.

4.2.3 Title 3

The total amount is planned for both programmes:

- Commitments:
 - o CS2: EUR 9.246.136 to cover the last increase of the GAMs until the end of the programme
 - o Clean Aviation: a total amount of EUR 235.712.212 in line with the LFS to cover the calls 1 and 2 (using multi annual instalments)
- Payments:
 - o CS2: EUR 27.784.561 related to the reimbursement of costs claims of ongoing GAPs and GAMs
 - o Clean Aviation: EUR 374.051.320 for the pre financing payments of calls 1 and 2

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|---------------------------------|----------------------------------|------------|----------------|-----------------|------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | ToisonD'Or 56-60, 1060 Brussels | 1 667,5 | | 1 667,5 | 530 000 | Until 2024 | | Belgium | |
| TOTAL | | | 1 667,5 | | 1 667,5 | 530 000 | | | | |

5.1.2 Current building(s) Other comments

Not applicable

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

The Commission shall carry out an interim and a final evaluation of each Joint Undertaking feeding into the Horizon Europe evaluations, as specified in Article 52 of the Horizon Europe Regulation and Article 171(3) of Council Regulation (EU) No. 2021/2085.

The Interim Evaluation report of the Clean Sky 2 Joint Undertaking (2014-2016) operating under Horizon 2020 published in 2017 concluded that Clean Sky has achieved widespread recognition around the world for the unprecedented level of collaboration of its research participants in a focused and coherent research programme that significantly reduces the fragmentation of other funding instruments. The Interim Evaluation main recommendations aimed at improving the current functioning of the JU have been fully addressed and implemented on the basis of the action plan which was adopted by the Governing Board in April 2018. The Commission shall conduct a final evaluation of the Clean Sky 2 Joint Undertaking after the closure of the Horizon 2020 programme, the results of which will be presented to the European Parliament and to the Council.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Clean Sky 2 Joint Undertaking signed with the Belgian authorities the host agreement on the 25th of July 2014; therefore the Protocol on the Privileges and Immunities applies directly. | As stated in the article 18 of the Council Regulation setting up the Clean Sky 2 Joint Undertaking (558/2014 of 06/05/2014) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Sky JU and its staff | The European Schools will provide for Clean Sky needs |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Council Regulation No 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe (incl Europe's Rail JU) and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014 (of 16 June 2014 establishing the Shift2Rail JU)</p> | <p>19/11/2021</p> | <p>The objective of Europe's Rail Joint Undertaking should be to deliver a high capacity integrated European railway network by eliminating barriers to interoperability and providing solutions for full integration, covering traffic management, vehicles, infrastructure and services, aiming to achieve faster uptake and deployment of projects and innovations. That should exploit the huge potential for digitalisation and automation to reduce rail's costs, increase its capacity and enhance its flexibility and reliability, and should be based upon a solid reference functional system architecture shared by the sector, in coordination with the European Union Agency for Railways.</p> <p>1. In addition to the objectives set out in Articles 4 and 5 (of the Council Regulation No 2021/2085 applicable to all Joint Undertakings under Horizon Europe), the Europe's Rail Joint Undertaking shall have the following general objectives:</p> <p>(a) contribute towards the achievement of the Single European Railway Area;</p> <p>(b) ensure a fast transition to more attractive, user-friendly, competitive, affordable, easy to maintain, efficient and sustainable European rail system, integrated into the wider mobility system;</p> <p>(c) support the development of a strong and globally competitive European rail industry.</p> <p>2. In addition to the objectives set out in paragraph 1, the Europe's Rail Joint Undertaking shall have the following specific objectives:</p> <p>(a) facilitate research and innovation activities to deliver an integrated European railway network by design, eliminating barriers to interoperability and providing solutions for full integration, covering traffic management, vehicles, infrastructure also including integration with national gauges, such as 1 520, 1 000 or 1 668 mm railway, and services, and providing the best answer to the needs of passengers and businesses, accelerating uptake of innovative solutions to support the Single European Railway Area, while increasing capacity and reliability and decreasing costs of railway transport;</p> <p>(b) deliver a sustainable and resilient rail system by developing a zero-emission, silent rail system and climate resilient infrastructure, applying circular economy to the rail sector, piloting the use of innovative processes, technologies, designs and materials in the full life-cycle of rail systems and developing other innovative solutions to guided surface transport;</p> <p>(c) develop through its System Pillar a unified operational concept and a functional, safe and secure system architecture, with due consideration of cyber-security aspects, focused on the European railway network to which Directive (EU) 2016/797 of the European Parliament and of the Council (39) applies, for integrated European rail traffic management, command, control and signalling systems, including automated train operation which shall ensure that research and innovation is targeted on commonly agreed and shared customer requirements and operational needs and is open to evolution;</p> <p>(d) facilitate research and innovation activities related to rail freight and intermodal transport services to deliver a competitive green rail freight fully integrated into the logistic value chain, with automation and digitalisation of freight rail at the core;</p> <p>(e) develop demonstration projects in interested Member States;</p> <p>(f) contribute to the development of a strong and globally competitive European rail industry;</p> <p>(g) enable, promote and exploit synergies with other Union policies, programmes, initiatives, instruments or funds in order to maximise its impact and added value.</p> |

1.2 Seat

Brussels

1.3 Budget line

01 02 02 53 : Cluster Climate, Energy and Mobility — Europe's Rail joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 10 | 5 | 50,00% | 10 | 10 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 10 | 5 | 50,00% | 10 | 10 |
| Contract Agents (CA) | 17 | 17 | 100,00% | 17 | 17 |
| Seconded National Experts (SNE) | 2 | 1 | 50,00% | 2 | 2 |
| TOTAL STAFF | 29 | 23 | 79,31% | 29 | 29 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 163 590 298 | 154 140 257 | 91 734 167 | 83 767 283 |
| Other Revenue | 6 989 363 | 26 206 591 | 9 609 204 | 11 681 584 |
| TOTAL REVENUES | 170 579 661 | 180 346 848 | 101 343 371 | 95 448 867 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 632 000 | 3 632 000 | 3 704 640 | 3 704 640 |
| Title 2 - Infrastructure and operating expenditure | 1 345 000 | 1 345 000 | 1 151 900 | 1 151 900 |

| Expenditure | 2022 | | 2023 | |
|---------------------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 3 - Operational expenditure - S2R | | 60 426 695 | | 13 532 625 |
| Title 4 - Operational expenditure - EU-RAIL | 162 307 241 | 109 294 285 | 91 596 000 | 71 596 000 |
| Title 5 - Unused Appropriations not required in current Year | 3 295 420 | 5 648 868 | 4 505 547 | 5 121 120 |
| TOTAL EXPENDITURE | 170 579 661 | 180 346 848 | 100 958 087 | 95 106 285 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | | | | | | | | | | |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | | | | | |
| AD 11 | | | | | | | | | | |
| AD 10 | | 2 | | 2 | | 2 | | 2 | | 2 |
| AD 9 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 7 | | | | | | | | | | |
| AD 6 | | 4 | | | | 4 | | 4 | | 4 |
| AD 5 | | 1 | | | | 1 | | 1 | | 1 |
| AD TOTAL | | 10 | | 5 | | 10 | | 10 | | 10 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 10 | | 5 | | 10 | | 10 | | 10 |
| GRAND TOTAL | 10 | | 5 | | 10 | | 10 | | 10 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 15 | 13 | 15 | 15 |
| Function Group III | 1 | 3 | 1 | 1 |
| Function Group II | 1 | 1 | 1 | 1 |
| Function Group I | | | | |
| TOTAL | 17 | 17 | 17 | 17 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 1 | 2 | 2 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 0 | 163 590 298 | 91 734 167 | 91 734 167 | -43,92% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 2 295 928 | 2 346 053 | 2 346 053 | 2,18% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 0 | 88 294 370 | 89 388 114 | 89 388 114 | 1,24% |
| - Of which C2 Administrative (Title 1 and Title 2) | | 1 168 000 | | | -100% |
| - Of which C2 Operational (Title 3) | | 71 832 000 | | | -100% |
| 2 THIRD PARTIES CONTRIBUTION | | 2 237 580 | 2 265 833 | 2 651 117 | 18,48% |
| - Of which EEA/EFTA (excl. Switzerland) | | 2 237 580 | 2 265 833 | 2 651 117 | 18,48% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 1 232 595 | 4 751 783 | 3 662 668 | 3 662 668 | -22,92% |
| - Of which Administrative (Title 1 and Title 2) | 1 232 595 | 4 751 783 | 3 662 668 | 3 662 668 | -22,92% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | 51 | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 12 392 080 | | 3 295 419 | 3 295 419 | 100% |
| - Of which administrative | 5 984 748 | | 3 295 419 | 3 295 419 | 100% |
| - Of which operational | 6 407 332 | | | | |
| 7 OTHER | | | | | |
| TOTAL | 13 624 726 | 170 579 661 | 100 958 087 | 101 343 371 | -40,59% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on

a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the “Joint undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|--------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 41 453 722 | 154 140 257 | 83 767 283 | 83 767 283 | -45,66% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 2 295 928 | 2 346 053 | 2 346 053 | 2,18% |
| - Of which frontloading (Title 1 and Title 2) | 1 232 595 | 1 205 705 | 1 232 659 | 1 232 659 | 2,24% |
| - Of which Operational (Title 3) | 39 960 385 | 137 638 624 | 80 188 571 | 80 188 571 | -41,74% |
| - Of which C2 Administrative (Title 1 and Title 2) | | 1 168 000 | | | -100% |
| - Of which C2 Operational (Title 3) [2] | 260 742 | 11 832 000 | | | -100% |
| 2 THIRD PARTIES CONTRIBUTION | | 3 328 732 | 2 027 468 | 2 370 050 | -28,80% |
| - Of which EEA/EFTA (excl. Switzerland) | | 3 328 732 | 2 027 468 | 2 370 050 | -28,80% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 1 232 595 | 4 751 783 | 3 662 668 | 3 662 668 | -22,92% |
| - Of which Administrative (Title 1 and Title 2) | 1 232 595 | 4 751 783 | 3 662 668 | 3 662 668 | -22,92% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | 51 | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 190 324 | 18 126 076 | 5 648 866 | 5 648 866 | -68,84% |
| - Of which administrative | 190 324 | 626 076 | 5 152 641 | 5 152 641 | 723,01% |
| - Of which operational | | 17 500 000 | 496 225 | 496 225 | -97,16% |
| 7 OTHER | | | | | |
| TOTAL | 42 876 692 | 180 346 848 | 95 106 285 | 95 448 867 | -47,07% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the ‘Joint Undertaking request’ columns in the “Revenue” and “Expenditure” tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the “Joint

undertaking request” and the “Budget Forecast” columns, and between the “Revenue” and the “Expenditure” tables are due to the subsequent automatic update of the EFTA coefficient in the “Revenue” tables only.

[2] Includes also other funds sources.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| CONTRIBUTIONS OVERVIEW | 2020 | 2021 | 2022 |
|--------------------------------------------------------|--------------------|------------------|--------------------|
| CONTRIBUTIONS FROM THE UNION (incl EFTA) | 81.839.584 | 0 | 165.827.878 |
| Title 1 and Title 2 (financial) | 5.317.621 | 0 | 3.520.637 |
| Title 3 (financial) | 76.521.963 | 0 | 0 |
| Title 4 (financial) | 0 | 0 | 162.307.241 |
| CONTRIBUTIONS FROM MEMBERS OTHER THAN THE UNION | 70.056.994 | 1.232.595 | 77.614.639 |
| Title 1 and Title 2 (financial) | 1.706.098 | 1.232.595 | 4.751.783 |
| Title 3 (in-kind) | 68.350.896 | 0 | 0 |
| Title 4 (in-kind) | 0 | 0 | 72.862.857 |
| TOTAL CONTRIBUTIONS | 151.896.578 | 1.232.595 | 243.442.518 |

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|--------------------------------------------------|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 417 000 | 3 632 000 | 3 704 640 | 3 704 640 | 2,00% |
| Salaries & allowances | 2 143 000 | 3 118 000 | 3 180 360 | 3 180 360 | 2,00% |
| - <i>Of which establishment plan posts</i> | 777 000 | 1 638 000 | 1 670 760 | 1 670 760 | 2,00% |
| - <i>Of which external personnel</i> | 1 366 000 | 1 480 000 | 1 509 600 | 1 509 600 | 2,00% |
| Expenditure relating to Staff recruitment | | | | | |
| Mission expenses | 46 000 | 150 000 | 153 000 | 153 000 | 2,00% |
| Socio-medical infrastructure | | | | | |
| Training | 18 500 | 50 000 | 51 000 | 51 000 | 2,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Social welfare | | | | | |
| Other Staff related expenditure | 209 500 | 314 000 | 320 280 | 320 280 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 1 643 532 | 1 345 000 | 1 151 900 | 1 151 900 | -14,36% |
| Rental of buildings and associated costs | 785 951 | 345 000 | 351 900 | 351 900 | 2,00% |
| Information, communication technology and data processing | 179 245 | 150 000 | 150 000 | 150 000 | 0,00% |
| Movable property and associated costs | | 10 000 | 10 000 | 10 000 | 0,00% |
| Current administrative expenditure | 10 000 | 15 000 | 15 000 | 15 000 | 0,00% |
| Postage / Telecommunications | 9 534 | 15 000 | 15 000 | 15 000 | 0,00% |
| Meeting expenses | 5 000 | 50 000 | 50 000 | 50 000 | 0,00% |
| Running costs in connection with operational activities | 164 008 | 50 000 | 100 000 | 100 000 | 100,00% |
| Information and publishing | 339 794 | 550 000 | 300 000 | 300 000 | -45,45% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 150 000 | 160 000 | 160 000 | 160 000 | 0,00% |
| Title 3 - Operational expenditure - S2R | 9 564 143 | | | | |
| Title 4 - Operational expenditure - EU-RAIL | | 162 307 241 | 91 596 000 | 91 596 000 | -43,57% |
| Title 5 - Unused Appropriations not required in current Year | 51 | 3 295 420 | 4 505 547 | 4 505 547 | 36,72% |
| - <i>Of which Administrative - S2R</i> | <i>51</i> | | | | |
| - <i>Of which Operational - S2R</i> | | | | | |
| - <i>Of which Administrative - EU-Rail</i> | | <i>3 295 420</i> | <i>4 505 547</i> | <i>4 505 547</i> | <i>36,72%</i> |
| - <i>Of which Operational - EU-Rail</i> | | | | | |
| TOTAL | 13 624 726 | 170 579 661 | 100 958 087 | 100 958 087 | -40,81% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 371 388 | 3 632 000 | 3 704 640 | 3 704 640 | 2,00% |
| Salaries & allowances | 2 110 046 | 3 118 000 | 3 180 360 | 3 180 360 | 2,00% |
| - Of which establishment plan posts | 764 334 | 1 638 000 | 1 670 760 | 1 670 760 | 2,00% |
| - Of which external personnel | 1 345 712 | 1 480 000 | 1 509 600 | 1 509 600 | 2,00% |
| Expenditure relating to Staff recruitment | | | | | |
| Mission expenses | 24 257 | 150 000 | 153 000 | 153 000 | 2,00% |
| Socio-medical infrastructure | | | | | |
| Training | 34 825 | 50 000 | 51 000 | 51 000 | 2,00% |
| External Services | | | | | |
| Receptions, events and representation | | | | | |
| Social welfare | | | | | |
| Other Staff related expenditure | 202 260 | 314 000 | 320 280 | 320 280 | 2,00% |
| Title 2 - Infrastructure and operating expenditure | 1 088 283 | 1 345 000 | 1 151 900 | 1 151 900 | -14,36% |
| Rental of buildings and associated costs | 311 133 | 345 000 | 351 900 | 351 900 | 2,00% |
| Information, communication technology and data processing | 219 065 | 150 000 | 150 000 | 150 000 | 0,00% |
| Movable property and associated costs | | 10 000 | 10 000 | 10 000 | 0,00% |
| Current administrative expenditure | 7 540 | 15 000 | 15 000 | 15 000 | 0,00% |
| Postage / Telecommunications | 12 872 | 15 000 | 15 000 | 15 000 | 0,00% |
| Meeting expenses | 1 213 | 50 000 | 50 000 | 50 000 | 0,00% |
| Running costs in connection with operational activities | 36 054 | 50 000 | 100 000 | 100 000 | 100,00% |
| Information and publishing | 375 407 | 550 000 | 300 000 | 300 000 | -45,45% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 124 999 | 160 000 | 160 000 | 160 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------------------------|------------------------|--------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 3 - Operational expenditure - S2R | 39 417 021 | 60 426 695 | 13 532 625 | 13 532 625 | -77,60% |
| Title 4 - Operational expenditure - EU-RAIL | | 109 294 285 | 71 596 000 | 71 596 000 | -34,49% |
| Title 5 - Unused Appropriations not required in current Year | | 5 648 868 | 5 121 120 | 5 121 120 | -9,34% |
| - Of which Administrative - S2R | | 1 241 649 | | | -100% |
| - Of which Operational - S2R | | 496 226 | | | -100% |
| - Of which Administrative - EU-Rail | | 3 910 993 | 5 121 120 | 5 121 120 | 30,94% |
| - Of which Operational - EU-Rail | | | | | |
| TOTAL | 42 876 692 | 180 346 848 | 95 106 285 | 95 106 285 | -47,26% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR -156 846. Such a large decrease compared to 2020 (EUR 16 million) means that there was not anymore delay in the grant award and grant signature outside the control of the JU and its members/beneficiaries.

4 Justification of needs

Commission assessment

The request for 2023 is necessary to allow the JU to continue the activities started in 2022 in relation to the calls launched and the projects awarded and signed, and in accordance with the multi-annual approach. The JU will monitor its operational activities and implement the multi-annuality by instalments as indicated in the SBA.

The calls will contribute towards the achievement of the Single European Railway Area; it will ensure increased capacity, flexibility, contribute towards reducing costs and hence, providing better and more attractive services for passengers and businesses alike. This will lead to a more competitive rail sector and to modal shift, delivering on the Commission's top political priorities.

Human Resources

The staff establishment plan submitted by EU-Rail JU is in accordance with the LFS annexed to the Council Regulation No 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe, which includes 10 TAs, 17 CAs and 2 SNEs positions.

Financial Resources

The 2023 budget request includes an appropriate level of commitment appropriations to cover the multi-annual 2022-2024 calls, as well as other operational activities.

The operational activities will support the preparation and launch of the future System Pillar of Europe's Rail JU. The System Pillar, as defined in the specific part of Europe's Rail JU in the Single Basic Act, will act to provide the sector with the governance and budget to deliver a coordinated and harmonized approach to the evolution of Europe's rail system – in terms of operating concept, functional system architecture, and associated standards and specifications.

The 2023 Budget includes the adequate level of payment appropriations so Europe's Rail JU can meet its payment obligations:

- the second pre-financing payment for the multi-annual 2022-2024 calls, the pre-financing payment of the System Pillar tender, interim payments towards the Exploratory Research and Industrial Research calls;

- payment obligations for the remaining and closing activities of the S2R Programme.

In addition, the estimated expenditure will cover for the year 2023 the necessary running costs.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The staff establishment plan is established in accordance with the LFS annexed to the Council Regulation No 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe, which includes 10 TAs, 17 CAs and 2 SNEs positions.

4.1.2 Vacancy rate as of end 2021

0% Vacancy rate in terms of total number of staff (applicable for S2R Programme Staff Establishment Plan). Given late adoption of SBA it was not possible to incorporate the foreseen staff increases.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for the salary cost in 2023 is updated in accordance with Single Basic Act establishing the Joint Undertakings under Horizon Europe and the Legislative Financial Statement for Europe's Rail JU, to which an indexation rate of 2% is applied.

4.1.5 Correction coefficient used

n/a

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The estimated expenditure under Title 1 amounts to EUR 3,704,640. This represents 76 % of the Europe's Rail JU Administrative budget for 2023.

A majority of this amount covers the Salaries & allowances of the JU staff. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the Other Members.

Title 1 is built under the assumption that Europe's Rail be fully staffed (recruitments finalised in 2022).

4.2.2 Title 2

The estimated expenditure under Title 2 amounts to EUR 1,151,900. This represents 24% of the Europe's Rail JU Administrative budget for 2023.

The required budget resources are needed to cover the costs for the year 2023 on housing, administrative and IT support services, supplies, meetings' organization, communications and events activities, and audits supporting the JU operations.

The financial needs of Title 1 and 2 are covered through financial contributions divided equally between the Union (including EFTA contribution) and the other members.

4.2.3 Title 3

In accordance with its plans to launch the operational activities and the first multi-annual call (2022-2024) of Europe's Rail Programme at the beginning of 2022, the EUR 91.6 million of 2023 CA will be allocated to the call activities. In fact, in accordance with the SBA (see legal basis of section 1.1), the JU will monitor its call operational activities and implement the multi-annuality by instalments as indicated in the aforementioned Council Regulation.

EUR 1 143 987 in terms of commitment appropriations are coming from unused appropriations from previous years of the S2R Programme, that the JU re-activated in accordance with the Financial Rule Art 6§5; these appropriations are used to implement framework contracts and to contract experts to ensure the adequate implementation and monitoring of the R&I.

The total payment appropriations of EUR 85.1 million is divided between EUR 82.1 million of requested contribution for the year 2023 (EUR 10.5 million from S2R Programme and EUR 71.6 million from EU-Rail Programme) and EUR 3 million coming from administrative budget (transfer to operational budget in accordance with a GB Decision in 2021) and unused appropriations).

- EUR 13.5 million (including unused appropriations that the JU will re-activate in accordance with the Financial Rule Art 6§5) towards operational budget that will allow Europe's Rail JU to meet its payment obligations for the remaining and closing activities of the S2R Programme, including the initial months in 2024 (this would be the last year).

- EUR 71,6 million for the operational budget under the new Europe's Rail Programme. The majority of the PA are aiming at covering the pre-financing payments (second instalment) that are planned in 2023 for the first call of Europe's Rail JU (launched, awarded and projects signed in 2022, with the first PF executed by the end of 2022, in accordance with the multi-annual approach).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|----------------------------------|-------------------------------------------------------|---------------------|------------|------------|-----------------|------------------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium building, 2nd Floor | Avenue de la Toison d'Or 56-60 B1060 Brussels Belgium | 918 | | 918 | 223 150 | Until 31.12.2024 | | | |
| TOTAL | | | 918 | | 918 | 223 150 | | | | |

5.1.2 Current building(s) Other comments

n/a

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 Evaluation

The interim evaluation of the Shift to Rail included some recommendations that were implemented without delay, while few others were designed for consideration in view of the Union next Multi-Annual Financial Framework.

The Commission shall carry out an interim and a final evaluation of each Joint Undertaking feeding into the Horizon Europe evaluations, as specified in Article 52 of the Horizon Europe Regulation

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The Europe's Rail JU is granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities | As stated in the article 39 of the Council Regulation setting up Joint Undertakings under Horizon Europe (2021/2085 of 19 November 2021), the Protocol N°7 on the privileges and immunities of the European Union, annexed to the Treaty on European Union and the TFEU, shall apply to the Joint Undertakings and their staff. | |

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe (OJ L 427/17)</p> <p>Regulation repeals Council Regulation No 559/2014 of 6 May 2014 setting up the FCH 2 Joint Undertaking (OJ L 169/108). Ongoing actions are transferred to Clean Hydrogen Joint Undertaking</p> | <p>23/02/2021</p> | <p>The general objectives of the proposed Clean Hydrogen Joint Undertaking will be:</p> <ul style="list-style-type: none"> • to contribute to the objectives set out in the 2030 Climate Target Plan, and the European Green Deal, by raising the EU's ambition on reducing greenhouse gas emissions to at least 55% below 1990 levels by 2030, and climate neutrality by 2050; • to contribute to the implementation of the 2020 European Commission's Hydrogen Strategy for a climate neutral Europe; • to strengthen the competitiveness of the Union clean hydrogen value chain, with a view to supporting, notably the SMEs involved, accelerating the market entry of innovative competitive clean solutions; • to stimulate clean hydrogen production, distribution, storage and end use applications. <p>The Clean Hydrogen Joint Undertaking shall also have the following specific objectives:</p> <ul style="list-style-type: none"> • improve through research and innovation the cost-effectiveness, reliability, quantity and quality of clean hydrogen solutions, including production, distribution, storage and end uses developed in the Union such as more efficient and cheaper hydrogen electrolysers and cheaper transport and industrial applications; • strengthen the knowledge and capacity of scientific and industrial actors along the Union's hydrogen value chain; • carry out demonstrations of clean hydrogen solutions with the view to local, regional and Union-wide deployment, addressing renewable production, distribution, storage, and use for transport and energy-intensive industries as well as other applications; • increase public and private awareness, acceptance, and uptake of clean hydrogen solutions, in particular through cooperation with other European partnerships under Horizon Europe |
| <p>Council Regulation No 559/2014 of 6 May 2014 setting up the FCH 2 Joint Undertaking (OJ L 169/108)</p> <p>Regulation repeals Council Regulation No 521/2008 of 30 May 2008 setting up the FCH Joint Undertaking under FP7 (OJ L 153/1, 12/06/2008). Ongoing actions were transferred to FCH 2 JU</p> | <p>06/05/2014</p> | <p>The FCH Joint Undertaking shall contribute to the objectives of the Joint Technology Initiative on Fuel Cells and Hydrogen, through the development of a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union.</p> <p>It shall, in particular:</p> <ul style="list-style-type: none"> -reduce the production cost of fuel cell systems to be used in transport applications, while increasing their lifetime to levels competitive with conventional technologies, -increase the electrical efficiency and the durability of the different fuel cells used for power production, while reducing costs, to levels competitive with conventional technologies, -increase the energy efficiency of production of hydrogen from water electrolysis while reducing capital costs, so that the combination of the hydrogen and the fuel cell system is competitive with the alternatives available in the marketplace, and -demonstrate on a large scale the feasibility of using hydrogen to support integration of renewable energy sources into the energy systems, including through its use as a competitive energy storage medium for electricity produced from renewable energy sources. |

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 54 : Cluster Climate, Energy and Mobility — Clean Hydrogen joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 15 | 14 | 93,33% | 17 | 17 |
| Assistants (AST) | 9 | 9 | 100,00% | 10 | 10 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 24 | 23 | 95,83% | 27 | 27 |
| Contract Agents (CA) | 3 | 2 | 66,67% | 2 | 2 |
| Seconded National Experts (SNE) | 2 | 2 | 100,00% | 2 | 2 |
| TOTAL STAFF | 29 | 27 | 93,10% | 31 | 31 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 153 440 000 | 119 313 006 | 199 077 180 | 276 852 500 |
| Other Revenue | 10 067 713 | 12 224 418 | 9 666 173 | 12 569 062 |
| TOTAL REVENUES | 163 507 713 | 131 537 424 | 208 743 353 | 289 421 562 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 3 958 000 | 3 958 000 | 4 314 000 | 4 314 000 |
| Title 2 - Infrastructure and operating expenditure | 4 131 000 | 4 131 000 | 3 609 600 | 3 609 600 |
| Title 3 - Operational expenditure | 305 418 713 | 109 731 254 | 153 705 000 | 252 290 213 |

| Expenditure | 2022 | | 2023 | |
|--------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| TOTAL EXPENDITURE | 313 507 713 | 117 820 254 | 161 628 600 | 260 213 813 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 2 | | 1 | | 2 | | 2 | | 2 |
| AD 11 | | | | | | | | | | |
| AD 10 | | | | | | | | 2 | | 2 |
| AD 9 | | 5 | | 4 | | 5 | | 3 | | 3 |
| AD 8 | | 3 | | 1 | | 4 | | 2 | | 2 |
| AD 7 | | 2 | | 2 | | 2 | | 5 | | 5 |
| AD 6 | | 2 | | 5 | | 3 | | 2 | | 2 |
| AD 5 | | | | | | | | 2 | | |
| AD TOTAL | | 15 | | 14 | | 17 | | 19 | | 17 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | 1 | | 1 | | 1 | | 2 | | 2 |
| AST 8 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 7 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AST 6 | | 1 | | | | 1 | | | | |
| AST 5 | | 2 | | 1 | | 2 | | 5 | | 5 |
| AST 4 | | 3 | | 5 | | 3 | | | | |
| AST 3 | | | | | | 1 | | 1 | | 1 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 9 | | 9 | | 10 | | 10 | | 10 |
| AST/SC 6 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 24 | | 23 | | 27 | | 29 | | 27 |
| GRAND TOTAL | 24 | | 23 | | 27 | | 29 | | 27 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 1 | | 1 | 1 |
| Function Group III | 1 | 1 | 1 | 1 |
| Function Group II | 1 | 1 | | |
| Function Group I | | | | |
| TOTAL | 3 | 2 | 2 | 2 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 2 | 2 | 2 | 2 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------|------------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 150 000 000 | 150 000 000 | 195 179 077 | 195 179 077 | 30,12% |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | p.m. | p.m. | |
| - Of which frontloading (Title 1 and Title 2) | | | | | 13,32% |
| - Of which Operational (Title 3) | 150 000 000 | 150 000 000 | 195 179 077 | 195 179 077 | 30,12% |
| 2 THIRD PARTIES CONTRIBUTION | | 3 705 000 | 4 820 923 | 5 640 675 | 52,24% |
| - Of which EEA/EFTA (excl. Switzerland) | | 3 705 000 | 4 820 923 | 5 640 675 | 52,24% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 649 250 | 3 440 000 | 3 898 103 | 3 898 103 | 13,32% |
| - Of which Administrative (Title 1 and Title 2) | 2 649 250 | 3 440 000 | 3 898 103 | 3 898 103 | 13,32% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 8 534 787 | 2 922 713 | 127 395 | 127 395 | -95,64% |
| - Of which administrative | 293 500 | 1 209 000 | 127 395 | 127 395 | -89,46% |
| - Of which operational | 8 241 287 | 1 713 713 | | | -100% |
| 7 OTHER | 2 015 423 | | | | |
| TOTAL | 163 199 460 | 160 067 713 | 204 025 498 | 204 845 250 | 27,97% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|---------------------------------|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 46 964 509 | 122 910 415 | 276 782 129 | 276.782.129 | 125,19% |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | p.m. | | p.m. | |
| - Of which frontloading (Title 1 and Title 2) | 2 469 250 | 3 597 409 | 3 335 403 | 3 335 403 | -7,29%% |
| - Of which Operational (Title 3) | 44 315 319 | 119 313 006 | 277 659 461 | 273 446 976 | 129,18% |
| 2 THIRD PARTIES CONTRIBUTION | | 2 909 014 | 5 352 585 | 7 734 659 | 301,10% |
| - Of which EEA/EFTA (excl. Switzerland) | | 2 909 014 | 5 352 585 | 7 734 659 | 301,10% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 649 250 | 3 440 000 | 3 405 524 | 3 405 524 | -1,00% |
| - Of which Administrative (Title 1 and Title 2) | 2 649 250 | 3 440 000 | 3 405 524 | 3 405 524 | -1,00% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 4 249 488 | 6 856 049 | 1 428 879 | 1 428 879 | -79,16% |
| - Of which administrative | 1 214 403 | 1 209 000 | 1 112 551 | 1 112 551 | -7,98% |
| - Of which operational | 3 035 085 | 5 647 049 | 316 328 | 316 328 | -94,40% |
| 7 OTHER | 2 015 423 | | | | |
| TOTAL | 53 229 480 | 136 115 478 | 291 251 973 | 289 351 191 | 112,06% |

[1] As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| EU and third-party contributions (commitment appropriations) | Executed budget 2021 | Budget 2022 | Draft budget 2023 |
|-------------------------------------------------------------------------------|----------------------|----------------|-------------------|
| Financial contribution to the administrative costs of JU covered by EU budget | 2,649,250.00 | 3,440,000.00 | 3,898,102.71 |
| Financial contribution to the operational costs of JU covered by EU budget | | 303,705,000.00 | 200,000,000.00 |

| | | | |
|----------------------------------------------------------------------------|--------------|----------------|----------------|
| TOTAL appropriations covered by EU budget | 2,649,250.00 | 307,145,000.00 | 203,898,102.71 |
| Financial contributions to the administrative costs by the Private members | 2,649,250.00 | 3,440,000.00 | 3,898,102.71 |
| In-kind contributions to operational activities by the Private members * | - | 520,765,000.00 | 52,000,000.00 |
| TOTAL appropriations co-financed | 2,649,250.00 | 524,205,000.00 | 55,898,102.71 |

* 2022 refers to planned activities and 2023 is based on preliminary information

** Certification is reported by 31/05 every year, according to art.11(2) of Council Regulation (EU) 2021/2085 of 19 November 2021

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 571 821 | 3 958 000 | 4 314 000 | 4 314 000 | 8,99% |
| Salaries & allowances | 3 196 693 | 3 587 134 | 4 162 398 | 4 162 398 | 16,04% |
| - <i>Of which establishment plan posts</i> | 2 885 242 | 3 318 185 | 3 842 170 | 3 842 170 | 15,79% |
| - <i>Of which external personnel</i> | 311 451 | 268 949 | 320 228 | 320 228 | 19,07% |
| Expenditure relating to Staff recruitment | 9 451 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | 11 000 | 60 000 | 60 000 | 60 000 | 0,00% |
| Socio-medical infrastructure | 3 460 | 14 000 | 12 000 | 12 000 | -14,29% |
| Training | 30 532 | 30 000 | 33 000 | 33 000 | 10,00% |
| External Services | 318 561 | 257 866 | 37 602 | 37 602 | -85,42% |
| Receptions, events and representation | 2 124 | 4 000 | 4 000 | 4 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 682 470 | 4 131 000 | 3 609 600 | 3 609 600 | -12,62% |
| Rental of buildings and associated costs | 353 580 | 855 000 | 372 000 | 372 000 | -56,49% |
| Information, communication technology and data processing | 458 180 | 520 000 | 415 000 | 415 000 | -20,19% |

| EXPENDITURE | Commitment appropriations | | | | |
|---------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Movable property and associated costs | 498 | 20 000 | 5 000 | 5 000 | -75,00% |
| Current administrative expenditure | 6 772 | 9 000 | 9 000 | 9 000 | 0,00% |
| Postage / Telecommunications | 10 961 | 10 000 | 12 000 | 12 000 | 20,00% |
| Meeting expenses | | 50 000 | 15 000 | 15 000 | -70,00% |
| Running costs in connection with operational activities | 156 453 | 977 000 | 1 624 600 | 1 624 600 | 66,28% |
| Information and publishing | 608 276 | 910 000 | 740 000 | 740 000 | -18,68% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 87 750 | 780 000 | 417 000 | 417 000 | -46,54% |
| Title 3 - Operational expenditure | 10 057 144 | 305 418 713 | 200 000 000 | 153 705 000 | -49,67% |
| TOTAL | 15 311 435 | 313 507 713 | 207 923 600 | 161 628 600 | -48,45% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|--------------------------------------------|------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 3 475 345 | 3 958 000 | 4 314 000 | 4 314 000 | 8,99% |
| Salaries & allowances | 3 137 180 | 3 587 134 | 4 162 398 | 4 162 398 | 16,04% |
| - <i>Of which establishment plan posts</i> | 2 825 729 | 3 318 185 | 3 842 170 | 3 842 170 | 15,79% |
| - <i>Of which external personnel</i> | 311 451 | 268 949 | 320 228 | 320 228 | 19,07% |
| Expenditure relating to Staff recruitment | 9 451 | 5 000 | 5 000 | 5 000 | 0,00% |
| Mission expenses | 5 826 | 60 000 | 60 000 | 60 000 | 0,00% |
| Socio-medical infrastructure | 3 485 | 14 000 | 12 000 | 12 000 | -14,29% |
| Training | 24 266 | 30 000 | 33 000 | 33 000 | 10,00% |
| External Services | 291 962 | 257 866 | 37 602 | 37 602 | -85,42% |
| Receptions, events and representation | 3 175 | 4 000 | 4 000 | 4 000 | 0,00% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Social welfare | | | | | |
| Other Staff related expenditure | | | | | |
| Title 2 - Infrastructure and operating expenditure | 1 620 837 | 4 131 000 | 3 609 600 | 3 609 600 | -12,62% |
| Rental of buildings and associated costs | 350 651 | 855 000 | 372 000 | 372 000 | -56,49% |
| Information, communication technology and data processing | 464 887 | 520 000 | 415 000 | 415 000 | -20,19% |
| Movable property and associated costs | | 20 000 | 5 000 | 5 000 | -75,00% |
| Current administrative expenditure | 5 782 | 9 000 | 9 000 | 9 000 | 0,00% |
| Postage / Telecommunications | 10 765 | 10 000 | 12 000 | 12 000 | 20,00% |
| Meeting expenses | | 50 000 | 15 000 | 15 000 | -70,00% |
| Running costs in connection with operational activities | 160 626 | 977 000 | 1 624 600 | 1 624 600 | 66,28% |
| Information and publishing | 537 226 | 910 000 | 740 000 | 740 000 | -18,68% |
| Studies | 90 900 | 780 000 | 417 000 | 417 000 | -46,54% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | 43 712 948 | 109 731 254 | 283 328 374 | 252 290 213 | 129,92% |
| TOTAL | 48 809 130 | 117 820 254 | 291 251 974 | 260 213 813 | 120,86% |

3.4 Budget Outturn

The budget outturn for 2021 shows a surplus of EUR 3 160 737

4 Justification of needs

Commission assessment

Human Resources

While the initial JU request included an increase by 2 AD5 and 1 SNE above the LFS levels as the outcome of the budgetary procedure the requested increase has not been granted. The proposed Human resources are in line with the Legislative and Financial Statement.

Financial Resources

Agreement reached on the CH credits request that includes a frontloading of some 45 million in CA. The frontloading is offset in the financial programming of the following years.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The Clean Hydrogen JU staff establishment plan approved for 2022 foresees a total number of 27 Temporary Agents (TA) and 2 Contract Agents (CA). In addition staff resources will include 3 Seconded National Experts (SNE).

As for 2023, the establishment plan for the new Clean Hydrogen Partnership includes 2 additional staff with 29 TAs, 2 CAs and 3 SNEs.

4.1.2 Vacancy rate as of end 2021

7% based on the establishment plan posts (TA) and contract agents (CA)

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salary assumption was based on detailed computation of each employee step and grade in 2023 taking into account the Staff Establishment Plan, the step advancements, the provision for reclassifications as per the Staff Establishment Plan and applying annual increase rate of 2% to 2022 salary scale figures.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The total appropriations for the Title will increase by 9% compared to 2022:

Staff costs will increase by 9%, owned to the impact of 2 additional AD5 posts (+6%), a 2% indexation to 2022 costs, a 4% increase due to reclassifications and step advancements as in Staff Establishment Plan and a decrease of 3% in interim costs. There is no provision for interims in 2023.

Sociomedical infrastructure and training combined will increase by 2% compared to 2022, assuming the re-opening of DG HR medical centre and that more trainings will be needed in the context of return to the office and new ways of working.

All other budget lines (missions, expenses related to recruitment and representational costs) will remain at 2022 levels.

4.2.2 Title 2

Appropriations for Title 2 will decrease by 13% compared to 2022 due mainly to the decrease in building charges and evaluators' costs. In more details:

Rent and building charges will decrease by 56% as only recurrent costs are provided for in the budget of 2023. For reference, there is a provision of EUR 500 000 in 2022 for the refurbishment of office space.

IT costs will decrease by 20% as certain provisions linked to H2forum and legacy of FCH website will be removed from 2023.

Communication costs will decrease by 19% since in 2022 there is a one-time cost for the construction of a modular exhibition stand (EUR 200 000). On the other side, the provision for media campaign will increase by EUR 25,000.

Expert contracts and meetings will decrease by 47% as less evaluators will be needed for a smaller call in 2023.

Meetings in general will cover only the reimbursement of SRG and SG members in case of physical meetings.

Movable property will also show a decrease since in 2022 the budget was higher due to new purchases associated with office refurbishment.

The only budget line that will increase is service contracts (+66% compared to 2022) as it is assumed that services in support of operational activities will be provided throughout the whole year. In contrast, the relevant 2022 provision covered only the last 4 months of that year, assuming that the contract will be signed in Q3 2022. It is estimated that the equivalent of 8 FTEs will be needed for the whole 2023 in the field of support to knowledge management, communication and project management.

4.2.3 Title 3

Title 3, corresponds to the operational expenditure with the following breakdown:

FP7 Programme: The interim and final payments of the last open FP7 project is anticipated in 2023.

H2020 Programme: The requested payment appropriations will increase by 96%. Despite the fewer H2020 payments foreseen in 2023 (53 instead of 62 in 2022), there will be many final ones as well as interim of demonstration projects that faced delays in previous years and may catch up by 2023. H2020 payment appropriations also include payments for studies and JRC.

Horizon Europe Programme: Commitment appropriations will be halved, since in 2022, the amount for the call includes a call of EUR 300,000,000. In 2023, there will be 1 call for an estimated amount of EUR 200,000,000 that will be launched in January. On the other side, payment appropriations will more than double since 2 pre-financings will be paid out in 2023: one for the 2nd part of the call launched in 2022 (estimated pre-financing at EUR 102,000,000) and the other in December 2023 for the call of 2023 (for an estimated amount of EUR 120,000,000). In comparison, one pre-financing for half of the call 2022 will be paid within 2022.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|-------------------|----------------------------------|--------------|-----------------|-----------------|----------------------|-----------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium | Brussels, Belgium | 1 136,36 | 17,98 | 1 154,34 | 247 607 | End date: 16.11.2025 | Long term lease | None | |
| TOTAL | | | 1 136,36 | 17,98 | 1 154,34 | 247 607 | | | | |

5.1.2 Current building(s) Other comments

Clean Hydrogen Joint Undertaking occupies this building since 13 January 2011.

The end date according to the lease contract is 16/11/2025.

Clean Hydrogen JU is not the owner of the building.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

Article 171(3) of the Council Regulation setting up Clean Hydrogen Joint Undertaking (2021/2085 of 19/11/2021), evaluations of the joint undertaking's operations shall be carried out in a timely manner to feed into the overall

interim and final evaluations of Horizon Europe and the related decision-making process on Horizon Europe, its successor and other initiatives relevant to research and innovation, referred to in Article 52 of the Horizon Europe Regulation.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| The JU signed with the Belgian authorities the host agreement on the 24th of April 2015; therefore the Protocol on the Privileges and Immunities applies directly. | As stated in the article 39 of the Council Regulation setting up the Clean Hydrogen Joint Undertaking (2021/2085 of 19/11/2021) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Hydrogen JU and its staff | |

2.1.11 Circular Bio-based Europe - CBE

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Regulation n° (EU) 2018/121 of 23.01.2018 amending Council Regulation No 560/2014 of 6 May 2014 establishing the Bio-Based Industries Joint Undertaking (OJ 169/130) | 06/05/2014 | This Regulation sets up the Bio-based Industries Joint Undertaking (BBI JU) under Article 187 of the Treaty on the Functioning of the European Union (TFEU) for the implementation of the Joint Technology Initiative on Bio-based Industries (BBI JTI). |
| Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014 | 19/11/2021 | This Regulation sets up the Circular Bio-based Europe (CBE JU) under Article 187 of the Treaty on the Functioning of the European Union (TFEU) to contribute to the general and specific objectives of the Horizon Europe Regulation and has the following general objectives: (a) accelerate the innovation process and development of bio-based innovative solutions; (b) accelerate market deployment of the existing mature and innovative bio-based solutions; (c) ensure a high level of environmental performance of bio-based industrial systems |

1.2 Seat

White atrium
TO/56, 1049
Brussels, Belgium

1.3 Budget line

01 02 02 61 : Cluster Food, Bioeconomy, Natural Resources, Agriculture and Environment — Circular Bio-based Europe joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 10 | 10 | 100,00% | 10 | 10 |
| Assistants (AST) | 3 | 3 | 100,00% | 3 | 3 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 13 | 13 | 100,00% | 13 | 13 |
| Contract Agents (CA) | 10 | 9 | 90,00% | 16 | 16 |
| Seconded National Experts (SNE) | | | | | |
| TOTAL STAFF | 23 | 22 | 95,65% | 29 | 29 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|----------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| | | | | |

| | | | | |
|------------------------|--------------------|--------------------|--------------------|--------------------|
| EU contribution | 180 978 458 | 83 237 447 | 149 336 742 | 98 101 942 |
| Other Revenue | 10 841 217 | 34 304 746 | 72 753 566 | 36 180 811 |
| TOTAL REVENUES | 191 819 675 | 117 542 193 | 222 090 308 | 134 282 753 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|-----------------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 4 185 733 | 4 185 733 | 3 180 900 | 3 180 900 |
| Title 2 - Infrastructure and operating expenditure | 1 918 318 | 1 918 318 | 2 313 751 | 2 313 751 |
| Title 3 - Operational expenditure | 254 809 016 | 69 926 247 | 216 012 855 | 128 391 412 |
| TOTAL EXPENDITURE | 260 913 067 | 76 030 298 | 221 507 506 | 133 886 063 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | 1 | | 1 | | 2 | | 2 | | 2 |
| AD 11 | | 1 | | 1 | | | | | | |
| AD 10 | | | | | | | | | | |
| AD 9 | | 2 | | 2 | | 3 | | 5 | | 5 |
| AD 8 | | 3 | | 3 | | 4 | | 2 | | 2 |
| AD 7 | | 2 | | 2 | | | | | | |
| AD 6 | | | | | | | | | | |
| AD 5 | | | | | | | | | | |
| AD TOTAL | | 10 | | 10 | | 10 | | 10 | | 10 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 6 | | | | | | | | | | |
| AST 5 | | 1 | | | | 2 | | 1 | | 1 |
| AST 4 | | 1 | | 2 | | | | 1 | | 1 |
| AST 3 | | 1 | | | | 1 | | | | |
| AST 2 | | | | 1 | | | | 1 | | 1 |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | 3 | | 3 | | 3 | | 3 | | 3 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 13 | | 13 | | 13 | | 13 | | 13 |
| GRAND TOTAL | 13 | | 13 | | 13 | | 13 | | 13 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 5 | 5 | 10 | 10 |
| Function Group III | 5 | 4 | 6 | 6 |
| Function Group II | | | | |
| Function Group I | | | | |
| TOTAL | 10 | 9 | 16 | 16 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | | | | |

3 Financial Resources¹

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 73 383 230 | 180 978 458 | 149 336 742 | 149 336 742 | -17,48% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 330 000 | 490 000 | 800 000 | 800 000 | 63,27% |
| - Of which frontloading (Title 1 and Title 2) | 2 253 230 | 2 488 458 | 1 536 742 | 1 536 742 | -38,25% |
| - Of which Operational (Title 3) | 70 800 000 | 178 000 000 | 147 000 000 | 147 000 000 | -17,42% |
| 2 THIRD PARTIES CONTRIBUTION | 54 303 | 6 176 775 | 3 688 618 | 4 271 420 | -30,85% |
| - Of which EEA/EFTA (excl. Switzerland) ² | 54 303 | 6 176 775 | 3 688 618 | 4 271 420 | -30,85% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 307 533 | 3 052 026 | 2 394 460 | 2 394 460 | -21,55% |
| - Of which Administrative (Title 1 and Title 2) | 2 307 533 | 3 052 026 | 2 394 460 | 2 394 460 | -21,55% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 600 000 | 1 612 416 | 66 087 686 | 66 087 686 | 3998,67% |
| - Of which administrative | 600 000 | 1 135 769 | 805 731 | 805 731 | -29,06% |
| - Of which operational | | 476 647 | 65 281 955 | 65 281 955 | 13596,08% |
| 7 OTHER | 125 775 | | | | |
| TOTAL | 76 470 841 | 191 819 675 | 221 507 506 | 222 090 308 | 15,78% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|------------------|---------------------------|------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 122 530 120 | 83 237 447 | 98 107 361 | 98 101 942 | 17,86% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 490 000 | 805 419 | 800 000 | 63,27% |
| - Of which frontloading (Title 1 and Title 2) | | 2 664 051 | 1 536 742 | 1 536 742 | -42,32% |

¹ As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

² Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|----------------------------------------------------------|---------------------------------|-------------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which Operational (Title 3) | 122 530 120 | 80 083 396 ³ | 95 765 200 | 95 765 200 | 19,58% |
| 2 THIRD PARTIES CONTRIBUTION | | 883 333 | 2 378 511 | 2 780 620 | 214,79% |
| - Of which EEA/EFTA (excl. Switzerland) ⁴ | | 883 333 | 2 378 511 | 2 780 620 | 214,79% |
| - Of which third countries | | | | | |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | 2 307 533 | 3 052 026 | 2 394 460 | 2 394 460 | -21,55% |
| - Of which Administrative (Title 1 and Title 2) | 2 307 533 | 3 052 026 | 2 394 460 | 2 394 460 | -21,55% |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | 47 481 709 | 30 369 387 | 31 005 731 | 31 005 731 | 2,10% |
| - Of which administrative | 600 000 | 1 566 182 | 1 005 731 | 1 005 731 | -35,78% |
| - Of which operational | 46 881 709 | 28 803 205 | 30 000 000 | 30 000 000 | 4,16% |
| 7 OTHER | 125 775 | | | | |
| TOTAL | 172 445 137 | 117 542 193 | 133 886 063 | 134 282 753 | 14,24% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

| Third-party contributions (commitment appropriations) | Executed budget 2021 | Budget 2022 | Draft budget 2023 |
|--------------------------------------------------------------------------------------------|----------------------|--------------------|--------------------|
| Financial contribution to the administrative costs of JU covered by the Participant States | | | |
| Financial contributions to the administrative costs by the Private members | 2 307 533 | 3 052 026 | 2 394 460 |
| Financial contributions to operational costs by the Participating States | | | |
| In-kind contributions to operational activities by the Private members (1) | 744 160 878 | 239 263 196 | 234 071 659 |
| In-kind contributions to operational activities by Participating States | | | |
| TOTAL appropriations co-financed | 746 468 411 | 242 315 222 | 236 466 199 |

(1) For BBI, breakdown of IKOP and IKAA by year, as follows:

2021: IKOP realised EUR 28 550 878, IKAA certified EUR 715 610 000

2022: IKOP foreseen EUR 19 725 839, IKAA certified EUR 219 537 357

2023: IKOP foreseen EUR 9 376 432, IKAA certified EUR 219 537 357

For CBE - IKOP of EUR 2 578 890 foreseen for 2023. No IKAA anticipated until at least 2025.

³ The Circular Bio-based Europe joint undertaking has revised its estimates for payment needs in 2022 to EUR 38 739 457 (excluding EFTA) due to a new call evaluation planning leading to a shift of pre-financing payment from 2022 to 2023. The remaining difference will be redeployed for other purposes.

⁴ Assumption used by the joint undertaking for the EFTA coefficient in 2023: 2.47%.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 955 525 | 4 185 733 | 3 180 900 | 3 180 900 | -24,01% |
| Salaries & allowances | 2 697 548 | 3 738 990 | 2 741 000 | 2 741 000 | -26,69% |
| - Of which establishment plan posts | 2 310 785 | 3 386 710 | 2 620 800 | 2 620 800 | -22,62% |
| - Of which external personnel | 386 763 | 352 280 | 120 200 | 120 200 | -65,88% |
| Expenditure relating to Staff recruitment | 30 783 | 102 564 | 76 000 | 76 000 | -25,90% |
| Mission expenses | 7 000 | 79 767 | 60 000 | 60 000 | -24,78% |
| Socio-medical infrastructure | 130 147 | 162 912 | 169 900 | 169 900 | 4,29% |
| Training | 82 900 | 74 500 | 95 000 | 95 000 | 27,52% |
| External Services | | | | | |
| Receptions, events and representation | 2 047 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 5 100 | 17 000 | 29 000 | 29 000 | 70,59% |
| Title 2 - Infrastructure and operating expenditure | 1 599 348 | 1 918 318 | 2 313 751 | 2 313 751 | 20,61% |
| Rental of buildings and associated costs | 339 350 | 466 095 | 408 628 | 408 628 | -12,33% |
| Information, communication technology and data processing | 412 671 | 360 967 | 401 000 | 401 000 | 11,09% |
| Movable property and associated costs | 5 440 | 1 451 | 4 000 | 4 000 | 175,67% |
| Current administrative expenditure | 17 884 | 41 905 | 33 000 | 33 000 | -21,25% |
| Postage / Telecommunications | 13 182 | 23 838 | 24 000 | 24 000 | 0,68% |
| Meeting expenses | | 107 785 | 78 400 | 78 400 | -27,26% |
| Running costs in connection with operational activities | 180 000 | 268 376 | 250 000 | 250 000 | -6,85% |
| Information and publishing | 465 840 | 505 547 | 664 000 | 664 000 | 31,34% |
| Studies | 164 981 | 142 354 | 450 723 | 450 723 | 216,62% |
| Other infrastructure and operating expenditure | | | | | |
| Title 3 - Operational expenditure | | 254 809 016 | 216 012 855 | 216 012 855 | -15,23% |
| Previous years' calls | | 253 196 600 | 150 630 900 | 150 630 900 | -40,51% |
| Current year's call | | | | | |
| Unused appropriations from previous years | | 1 612 416 | 65 381 955 | 65 381 955 | 3954,91% |
| - Of which Administrative | | 1 612 416 | 100 000 | 100 000 | -93,80% |
| - Of which Operational | | | 65 281 955 | 65 281 955 | 100% |
| TOTAL | 4 554 873 | 260 913 067 | 221 507 506 | 221 507 506 | -15,10% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 2 883 275 | 4 185 733 | 3 180 900 | 3 180 900 | -24,01% |
| Salaries & allowances | 2 697 537 | 3 738 990 | 2 741 000 | 2 741 000 | -26,69% |
| - Of which establishment plan posts | 2 286 605 | 3 386 710 | 2 620 800 | 2 620 800 | -22,62% |
| - Of which external personnel | 410 932 | 352 280 | 120 200 | 120 200 | -65,88% |
| Expenditure relating to Staff recruitment | 21 606 | 102 564 | 76 000 | 76 000 | -25,90% |
| Mission expenses | 1 394 | 79 767 | 60 000 | 60 000 | -24,78% |
| Socio-medical infrastructure | 106 879 | 162 912 | 169 900 | 169 900 | 4,29% |
| Training | 50 072 | 74 500 | 95 000 | 95 000 | 27,52% |
| External Services | | | | | |
| Receptions, events and representation | 2 047 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | | | | | |
| Other Staff related expenditure | 3 740 | 17 000 | 29 000 | 29 000 | 70,59% |
| Title 2 - Infrastructure and operating expenditure | 1 370 053 | 1 918 318 | 2 313 751 | 2 313 751 | 20,61% |
| Rental of buildings and associated costs | 311 054 | 466 095 | 408 628 | 408 628 | -12,33% |
| Information, communication technology and data processing | 528 854 | 360 967 | 401 000 | 401 000 | 11,09% |
| Movable property and associated costs | 1 700 | 1 451 | 4 000 | 4 000 | 175,67% |
| Current administrative expenditure | 7 940 | 41 905 | 33 000 | 33 000 | -21,25% |
| Postage / Telecommunications | 5 182 | 23 838 | 24 000 | 24 000 | 0,68% |
| Meeting expenses | | 107 785 | 78 400 | 78 400 | -27,26% |
| Running costs in connection with operational activities | | | | | |
| Information and publishing | 156 653 | 505 547 | 664 000 | 664 000 | 31,34% |
| Studies | 159 545 | 142 354 | 450 723 | 450 723 | 216,62% |
| Other infrastructure and operating expenditure | 199 125 | 268 376 | 250 000 | 250 000 | -6,85% |
| Title 3 - Operational expenditure | | 69 926 247 | 128 391 412 | 128 391 412 | 83,61% |
| Previous years' calls | | 39 556 860 | 98 091 412 | 98 091 412 | 147,98% |
| Current year's call | | | | | |
| Unused appropriations from previous years | | 30 369 387 | 30 300 000 | 30 300 000 | -0,23% |
| - Of which Administrative | | 1 566 182 | 300 000 | 300 000 | -80,85% |
| - Of which Operational | | 28 803 205 | 30 000 000 | 30 000 000 | 4,16% |
| TOTAL | 4 253 328 | 76 030 298 | 133 886 063 | 133 886 063 | 76,10% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking:

-Administrative: CA EUR 785 968, PA EUR 1 087 513

-Operational: PA EUR 48 589 502.

4 Justification of needs

Commission assessment

Human Resources

The Commission does not accept the JUs' request for two additional SNEs in 2023, as they were not foreseen in the LFS of the Single Basic Act.

Financial Resources

The Commission notes that the request of the Circular Bio-based Europe Joint Undertaking is in line with the Commission instructions.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

13 TAs and 16 CAs have been requested and approved (10 for BBI and 6 for CBE), as well as two SNEs.

4.1.2 Vacancy rate as of end 2021

At the end of 2021 13 TAs and 9 CAs were in place out of a total establishment plan of 13 TAs and 10 CAs.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

For the HR expenditure for the single year 2023 (BBI and CBE combined):

For both TAs and CAs the computation was made taking into account the respective grade/step of each staff member, as well as potential reclassification. For the 13 TAs this gives a total of EUR 1 549 800 (which corresponds to an average cost per TA of EUR 119 215 per year). For the 16 CAs the total is EUR 1,071,000 (corresponding to an average cost per CA of EUR 10 710 per year)

The salary budget line also includes an amount of EUR 32 000 for interns (4 at an average cost of EUR 8 000). The budget for interim staff has been moved to a Title 2 budget line.

Related to the CBE total salaries, there are also the following costs:

- EUR 40 000 for the annual transportation of staff from home to the office and back
- EUR 24 000 for the annual administrative fee to PMO
- EUR 58 000 for the annual fee to DG BUDG for the services of the EC accounting officer
- EUR 60 000 for installation, resettlement and daily subsistence allowances and removal and travel expenses

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The main cost in Title 1 is staff in active employment. The budget for the single year 2023 assumes the full level of BBI staff as well as the extra staff for CBE (recruited in 2022) and a certain number of promotions. The budget below includes fresh (C1) budget as well as reactivated unused budget from BBI. The budget split between BBI and CBE for 2022 is also included below.

Title 1 budget 2023

| Title Chapter | Heading | BBI | | CBE | | BBI+CBE | |
|---------------|-----------------------------------------------|-----------|-----------|---------|---------|-----------|-----------|
| | | CAs | PAs | CAs | PAs | CAs | PAs |
| 1 | Staff Expenditure | 2 338 825 | 2 338 825 | 842 575 | 842 575 | 3 180 900 | 3 180 900 |
| 1 1 | Staff in active employment | 2 038 854 | 2 038 852 | 702 146 | 702 146 | 2 741 000 | 2 741 000 |
| 1 2 | Staff recruitment / Miscellaneous expenditure | 36 680 | 36 680 | 39 320 | 39 320 | 76 000 | 76 000 |
| 1 3 | Mission and duty travels | 27 701 | 27 701 | 32 299 | 32 299 | 60 000 | 60 000 |
| 1 4 | Other staff costs (socio-medical structure) | 225 090 | 225 090 | 68 810 | 68 810 | 293 900 | 293 900 |
| 1 5 | Entertainment and representation expenses | 10 000 | 10 000 | 0 | 0 | 10 000 | 10 000 |

Title 1 budget 2022

| Title Chapter | Heading | BBI | | CBE | | BBI+CBE | |
|---------------|-----------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| | | CAs | PAs | CAs | PAs | CAs | PAs |
| 1 | Staff Expenditure | 3 010 080 | 3 010 080 | 1 175 653 | 1 175 653 | 4 185 733 | 4 185 733 |
| 1 1 | Staff in active employment | 2 649 280 | 2 649 280 | 1 089 710 | 1 089 710 | 3 738 990 | 3 738 990 |
| 1 2 | Staff recruitment / Miscellaneous expenditure | 78 500 | 78 500 | 24 064 | 24 064 | 102 564 | 102 564 |
| 1 3 | Mission and duty travels | 60 000 | 60 000 | 19 767 | 19 767 | 79 767 | 79 767 |
| 1 4 | Other staff costs (socio-medical structure) | 212 300 | 212 300 | 42 112 | 42 112 | 254 412 | 254 412 |
| 1 5 | Entertainment and representation expenses | 10 000 | 10 000 | 0 | 0 | 10 000 | 10 000 |

4.2.2 Title 2

The main costs under Title 2 (including both fresh appropriations for CBE/BBI and reactivated unused budget from BBI) are:

- building rental and associated charges (2023 estimated costs based on invoices received in early 2022 + indexation): EUR 408 628 (BBI EUR 233 125, CBE EUR 175 503 including charges and works)
- communication costs (includes promotional events, Info Day, corporate website costs, PR/brochures, social media campaigns, newsletter tool etc.): EUR 664 000 (BBI EUR 491 104, CBE 172 896)
- IT costs (includes ABAC Assets, Contracts and Workflow annual fees, hardware and software inventory purchases, maintenance contracts, troubleshooting, upgrades, the use of SYSPER and ARES tools): EUR 401 000 (BBI EUR 292 940, CBE EUR 108 060)
- expert reviewers for reviews of ongoing projects: EUR 250 000 (BBI EUR 170 756, CBE EUR 79 244)
- service contracts include studies and annual audit fees: EUR 450 723 (BBI 299 439 CBE 151 284)

Interim staff were moved to chapter 27 from Title 1.

Title 2 budget 2023

| Title Chapter | Heading | BBI | | CBE | | BBI+CBE | |
|---------------|------------------------------------------------|-----------|-----------|---------|---------|-----------|-----------|
| | | CAs | PAs | CAs | PAs | CAs | PAs |
| 2 | Other administrative expenditure | 1 383 969 | 1 383 969 | 679 782 | 679 782 | 2 313 751 | 2 313 751 |
| 2 0 | Rental of buildings and associated costs | 292 940 | 292 940 | 108 060 | 108 060 | 401 000 | 401 000 |
| 2 1 | Administrative information technology | 292 940 | 292 940 | 108 060 | 108 060 | 401 000 | 401 000 |
| 2 2 | Movable property and associated costs | 1 623 | 1 623 | 2 377 | 2 377 | 4 000 | 4 000 |
| 2 3 | Current administrative expenditure | 21 690 | 21 690 | 11 310 | 11 310 | 33 000 | 33 000 |
| 2 4 | Telecommunications and postal charges | 16 076 | 16 076 | 7 924 | 7 924 | 24 000 | 24 000 |
| 2 5 | Expenditure on formal meetings | 27 972 | 27 972 | 50 428 | 50 428 | 78 400 | 78 400 |
| 2 6 | External communication, information, publicity | 491 104 | 491 104 | 172 896 | 172 896 | 664 000 | 664 000 |
| 2 7 | Service contracts | 299 439 | 299 439 | 151 284 | 151 284 | 450 723 | 450 723 |
| 2 9 | Expert reviewers | 170 756 | 170 756 | 79 244 | 79 244 | 250 000 | 250 000 |

Title 2 budget 2022

| Title Chapter | Heading | BBI | | CBE | | BBI+CBE | |
|---------------|------------------------------------------------|-----------|-----------|---------|---------|---------|-----------|
| | | CAs | PAs | CAs | PAs | CAs | PAs |
| 2 | Other administrative expenditure | 1 429 766 | 1 429 766 | 488 552 | 488 552 | 4 185 | 1 918 318 |
| 2 0 | Rental of buildings and associated costs | 333 766 | 333 766 | 132 329 | 132 329 | 466 095 | 466 095 |
| 2 1 | Administrative information technology | 295 000 | 295 000 | 65 967 | 65 967 | 360 967 | 360 967 |
| 2 2 | Movable property and associated costs | 0 | 0 | 1 451 | 1 451 | 1 451 | 4 000 |
| 2 3 | Current administrative expenditure | 35 000 | 35 000 | 6 905 | 6 905 | 41 905 | 41 905 |
| 2 4 | Telecommunications and postal charges | 19 000 | 19 000 | 7 924 | 7 924 | 23 838 | 23 838 |
| 2 5 | Expenditure on formal meetings | 77 000 | 77 000 | 30 785 | 30 785 | 107 785 | 107 785 |
| 2 6 | External communication, information, publicity | 400 000 | 400 000 | 105 547 | 105 547 | 505 547 | 505 547 |
| 2 7 | Service contracts | 50 000 | 50 000 | 92 354 | 92 354 | 142 354 | 142 354 |
| 2 9 | Expert reviewers | 220 000 | 220 000 | 48 376 | 48 376 | 268 376 | 268 376 |

4.2.3 Title 3

Operational expenditure. For BBI JU there are no more calls for proposals following Call 2020. The requested payment appropriations will be used to pay the interim or final payments for the prior year ongoing calls. For CBE the first call is due to be launched in mid-2022. This means that the first payment appropriations will be requested in 2023 - for the prefinancing of the first call. The proposed planning of the CBE calls is as follows:

| LFS CBE | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Tot |
|------------------------|------|-------|-------|-------|-------|-------|-------|-------|
| | 70.8 | 178.0 | 147.0 | 147.0 | 146.0 | 146.0 | 141.7 | 976.5 |
| reactivations 2021 BBI | | | -0.3 | | | | | |
| | | | 146.7 | | | | | |
| EFTA | | 4.4 | 3.6 | 3.6 | | | | 11.7 |

| | | | | | | | | |
|------------------------|------|-------|-------|-------|-------|-------|-------|-------|
| TOTAL | | 182.4 | 150.3 | 150.6 | | | | 988.2 |
| year | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | |
| main CAs | 0 | 50.0 | 150.3 | 150.6 | 146.0 | 146.0 | 141.7 | |
| reactivations 2021 | | 70.8 | | | | | | |
| reactivations 2021 BBI | | | 0.3 | | | | | |
| reactivations 2022 | | | 65.0 | 63.0 | 4.4 | | | |
| reactivations 2023 | | | | | | | | |
| reactivations 2024 | | | | | | | | |
| reactivations 2025 | | | | | | | | |
| reactivations 2026 | | | | | | | | |
| Call amount | | 120.8 | 215.6 | 213.6 | 150.4 | 146.0 | 141.7 | 988.1 |

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------------------------------------------------------|----------------------------------|------------|----------------|-----------------|-----------|---------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | White Atrium TO 56 | Avenue de la Toison d'Or (56-60) B-1060 Brussels Belgium | 892,5 | 250 | 1 142,5 | 339 500 | 2015-2024 | "Uso fructo" rental | Belgium | |
| TOTAL | | | 892,5 | 250 | 1 142,5 | 339 500 | | | | |

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

N/A

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| VAT Exemption | BE | |

2.1.12 European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission / Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>REGULATION (EU) 2021/887 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 20 May 2021 establishing the European Cybersecurity Industrial, Technology and Research Competence Centre and the Network of National Coordination Centres</p> | | <p>According to articles 3, 4 and 5 of the Regulation the Competence Centre and the Network have the following mission, objectives and tasks:</p> <p>Mission of the Competence Centre and the Network (Article 3)</p> <p>1. The mission of the Competence Centre and the Network is to help the Union to:</p> <p>(a) strengthen its leadership and strategic autonomy in the area of cybersecurity by retaining and developing the Union's research, academic, societal, technological and industrial cybersecurity capacities and capabilities necessary to enhance trust and security, including the confidentiality, integrity and accessibility of data, in the Digital Single Market;</p> <p>(b) support Union technological capacities, capabilities and skills in relation to the resilience and reliability of the infrastructure of network and information systems, including critical infrastructure and commonly used hardware and software in the Union; and</p> <p>(c) increase the global competitiveness of the Union's cybersecurity industry, ensure high cybersecurity standards throughout the Union and turn cybersecurity into a competitive advantage for other Union industries.</p> <p>2. The Competence Centre and the Network shall undertake their tasks in collaboration with ENISA and the Community, as appropriate.</p> <p>3. The Competence Centre shall, in accordance with the legislative acts establishing the relevant programmes, in particular Horizon Europe and the Digital Europe Programme, use relevant Union financial resources in such a way as to contribute to the mission set out in paragraph 1.</p> <p>Objectives of the Competence Centre (Article 4)</p> <p>1. The Competence Centre shall have the overall objective of promoting research, innovation and deployment in the area of cybersecurity in order to fulfil the mission as set out in Article 3.</p> <p>2. The Competence Centre shall have the following specific objectives:</p> <p>(a) enhancing cybersecurity capacities, capabilities, knowledge and infrastructure for the benefit of industry, in particular SMEs, research communities, the public sector and civil society, as appropriate;</p> <p>(b) promoting cybersecurity resilience, the uptake of cybersecurity best practices, the principle of security by design, and the certification of the security of digital products and services, in a manner that complements the efforts of other public entities;</p> <p>(c) contributing to a strong European cybersecurity ecosystem which brings together all relevant stakeholders.</p> <p>3. The Competence Centre shall implement the specific objectives referred to in paragraph 2 by:</p> <p>(a) establishing strategic recommendations for research, innovation and deployment in cybersecurity in accordance with Union law and setting out strategic priorities for the Competence Centre's activities;</p> <p>(b) implementing actions under relevant Union funding programmes in accordance with the relevant work programmes and the Union legislative acts establishing those funding programmes;</p> <p>(c) fostering cooperation and coordination among the national coordination centres and with and within the Community; and</p> <p>(d) where relevant and appropriate, acquiring and operating ICT infrastructure and services where necessary to fulfil the tasks set out in Article 5 and in accordance with the respective work programmes set out in point (b) of Article 5(3).</p> <p>Tasks of the Competence Centre (Article 5)</p> <p>1. In order to fulfil its mission and objectives, the Competence Centre shall have the following tasks:</p> <p>(a) strategic tasks; and</p> <p>(b) implementation tasks.</p> <p>2. The strategic tasks referred to in point (a) of paragraph 1 shall consist of:</p> <p>(a) developing and monitoring the implementation of the Agenda;</p> <p>(b) through the Agenda and the multiannual work programme, while avoiding any duplication of activities with ENISA and taking into account the need to create synergies between cybersecurity and other parts of Horizon Europe and the Digital Europe Programme:</p> |

| | |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <p>(i) establishing priorities for the work of the Competence Centre in relation to:</p> <p>(1) the enhancement of cybersecurity research and innovation, covering the entire innovation cycle, and the deployment of that research and innovation;</p> <p>(2) the development of cybersecurity industrial, technological and research capacities, capabilities, and infrastructure;</p> <p>(3) the reinforcement of cybersecurity and technology skills and competence in industry, technology and research and at all relevant educational levels, supporting gender balance;</p> <p>(4) the deployment of cybersecurity products, services and processes;</p> <p>(5) support for the uptake by the market of cybersecurity products, services and processes contributing to the mission set out in Article 3;</p> <p>(6) support for the adoption and integration of state-of-the-art cybersecurity products, services and processes by public authorities at their request, by demand-side industries and by other users;</p> <p>(ii) supporting the cybersecurity industry, in particular SMEs, with a view to strengthening Union excellence, capacity and competitiveness with regard to cybersecurity, including with a view to connecting to potential markets and deployment opportunities, and to attracting investment; and</p> <p>(iii) providing support and technical assistance to cybersecurity start-ups, SMEs, microenterprises, associations, individual experts and civic technology projects;</p> <p>(c) ensuring synergies between and cooperation with relevant Union institutions, bodies, offices and agencies, in particular ENISA, while avoiding any duplication of activities with those Union institutions, bodies, offices and agencies;</p> <p>(d) coordinating national coordination centres through the Network and ensuring a regular exchange of expertise;</p> <p>(e) providing expert cybersecurity industrial, technology and research advice to Member States at their request, including with regard to the procurement and deployment of technologies;</p> <p>(f) facilitating collaboration and the sharing of expertise among all relevant stakeholders, in particular members of the Community;</p> <p>(g) attending Union, national and international conferences, fairs and forums related to the mission, objectives and tasks of the Competence Centre with the aim of sharing views and exchanging relevant best practices with other participants;</p> <p>(h) facilitating the use of results from research and innovation projects in actions related to the development of cybersecurity products, services and processes, while seeking to avoid the fragmentation and duplication of efforts and replicating good cybersecurity practices and cybersecurity products, services and processes, in particular those developed by SMEs and those using open source software.</p> <p>3. The implementation tasks referred to in point (b) of paragraph 1 shall consist of:</p> <p>(a) coordinating and administrating the work of the Network and the Community in order to fulfil the mission set out in Article 3, in particular by supporting cybersecurity start-ups, SMEs, microenterprises, associations and civic technology projects in the Union and facilitating their access to expertise, funding, investment and markets;</p> <p>(b) establishing and implementing the annual work programme, in accordance with the Agenda and the multiannual work programme, for the cybersecurity parts of:</p> <p>(i) the Digital Europe Programme, in particular actions related to Article 6 of Regulation (EU) 2021/694;</p> <p>(ii) joint actions receiving support under the provisions that relate to cybersecurity in Horizon Europe, in particular with regard to Section 3.1.3 of Pillar II of Annex I to Decision (EU) 2021/764, in accordance with the multiannual work programme and the strategic planning process of Horizon Europe; and</p> <p>(iii) other programmes where provided for in the relevant legislative acts of the Union;</p> <p>(c) supporting, where appropriate, the achievement of Specific Objective 4 – ‘Advanced Digital Skills’ as set out in Article 7 of Regulation (EU) 2021/694, in cooperation with European Digital Innovation Hubs;</p> <p>(d) providing expert advice on cybersecurity industry, technology and research to the Commission when the Commission prepares draft work programmes pursuant to Article 13 of Decision (EU) 2021/764;</p> <p>(e) carrying out or enabling the deployment of ICT infrastructure and facilitating the acquisition of such infrastructure, for the benefit of society, industry and the public sector, at the request of Member States, research</p> |
|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

| | | |
|--|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | <p>communities and operators of essential services, by means of, inter alia, contributions from Member States and Union funding for joint actions, in accordance with the Agenda, the annual work programme and the multiannual work programme;</p> <p>(f) raising awareness of the mission of the Competence Centre and the Network and of the objectives and tasks of the Competence Centre;</p> <p>(g) without prejudice to the civilian nature of projects to be financed from Horizon Europe, and in accordance with Regulations (EU) 2021/695 and (EU) 2021/694, enhancing synergies and coordination between the cybersecurity civilian and defence spheres, by facilitating the exchange of:</p> <p>(i) knowledge and information with regard to dual-use technologies and applications;</p> <p>(ii) results, requirements and best practices; and</p> <p>(iii) information with regard to the priorities of relevant Union programmes.</p> <p>4. The Competence Centre shall carry out the tasks set out in paragraph 1 in close cooperation with the Network.</p> <p>5. In accordance with Article 6 of Regulation (EU) 2021/695 and subject to a contribution agreement as defined in point (18) of Article 2 of the Financial Regulation, the Competence Centre may be entrusted with the implementation of the cybersecurity parts under Horizon Europe that are not co-funded by the Member States, in particular with regard to Section 3.1.3 of Pillar II of Annex I to Decision (EU) 2021/764.</p> |
|--|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1.2 Seat

Bucharest, Romania

1.3 Budget line

01 02 02 31 : Cluster 'Civil Security for Society' — European Cybersecurity Industrial, Technology and Research Competence

02 04 01 11 : European Cybersecurity Industrial, Technology and Research Competence Centre

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 10 | | 0,00% | 10 | 10 |
| Assistants (AST) | | | | | |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 10 | | 0,00% | 10 | 10 |
| Contract Agents (CA) | 26 | | 0,00% | 26 | 27 |
| Seconded National Experts (SNE) | 1 | | 0,00% | 1 | 1 |
| TOTAL STAFF | 37 | | 0,00% | 37 | 38 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 151 311 791 | 17 192 982 | 179 058 443 | 220 374 625 |

| | | | | |
|-----------------------|--------------------|-------------------|--------------------|--------------------|
| Other Revenue | | | 5 246 412 | 6 456 977 |
| TOTAL REVENUES | 151 311 791 | 17 192 982 | 184 304 855 | 226 831 602 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | | | 1 778 000 | 1 778 000 |
| Title 2 - Infrastructure and operating expenditure | | | 1 129 327 | 1 129 327 |
| Title 3 - Operational expenditure | | | 180 645 483 | 222 998 701 |
| TOTAL EXPENDITURE | | | 183 552 810 | 225 906 028 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | | | | | | | | | |
| AD 14 | | 1 | | | | 1 | | 1 | | 1 |
| AD 13 | | | | | | | | | | |
| AD 12 | | | | | | 2 | | 2 | | 2 |
| AD 11 | | | | | | 2 | | 2 | | 2 |
| AD 10 | | | | | | | | | | |
| AD 9 | | 5 | | | | | | | | |
| AD 8 | | 1 | | | | 3 | | 3 | | 3 |
| AD 7 | | 1 | | | | 2 | | 2 | | 2 |
| AD 6 | | 1 | | | | | | | | |
| AD 5 | | 1 | | | | | | | | |
| AD TOTAL | | 10 | | | | 10 | | 10 | | 10 |
| AST 11 | | | | | | | | | | |
| AST 10 | | | | | | | | | | |
| AST 9 | | | | | | | | | | |
| AST 8 | | | | | | | | | | |
| AST 7 | | | | | | | | | | |
| AST 6 | | | | | | | | | | |
| AST 5 | | | | | | | | | | |
| AST 4 | | | | | | | | | | |
| AST 3 | | | | | | | | | | |
| AST 2 | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AST 1 | | | | | | | | | | |
| AST TOTAL | | | | | | | | | | |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC TOTAL | | | | | | | | | | |
| TOTAL | | 10 | | | | 10 | | 10 | | 10 |
| GRAND TOTAL | 10 | | | | 10 | | 10 | | 10 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 20 | | 20 | 21 |
| Function Group III | 2 | | 2 | 2 |
| Function Group II | 4 | | 4 | 4 |
| Function Group I | | | | |
| TOTAL | 26 | | 26 | 27 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 1 | | 1 | 1 |

3 Financial Resources¹

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 39 977 556 | 151 311 791 | 179 058 443 | 179 058 443 | 18,34% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 50 000 | 1 363 193 | 2 836 140 | 2 836 140 | 108,05% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 39 927 556 | 149 948 598 | 176 222 303 | 176 222 303 | 17,52% |
| 2 THIRD PARTIES CONTRIBUTION | | | 4 494 367 | 5 246 412 | 100% |
| - Of which EEA/EFTA (excl. Switzerland) | | | 4 494 367 | 5 246 412 | 100% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 39 977 556 | 151 311 791 | 183 552 810 | 184 304 855 | 21,80% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|------------------------------------------------------------|---------------------------------|-------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 0 | 17 192 982 | 220 374 625 | 220 374 625 | 1181,77% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 0 | 706 094 | 2 836 140 | 2 836 140 | 301,67% |
| - Of which frontloading (Title 1 and Title 2) | | | | | |
| - Of which Operational (Title 3) | 0 | 16 486 888 | 217 538 485 | 217 538 485 | 1219,46% |
| 2 THIRD PARTIES CONTRIBUTION | | | 5 531 403 | 6 456 977 | 100% |
| - Of which EEA/EFTA (excl. Switzerland) | | | 5 531 403 | 6 456 977 | 100% |
| - Of which third countries | | | | | 100% |
| 3 IN CASH THIRD PARTY CONTRIBUTIONS | | | | | |
| - Of which Administrative (Title 1 and Title 2) | | | | | |

¹ As the exact percentages of the EFTA contribution for 2023 was not known at the time this financial fiche was prepared, the 'Joint Undertaking request' columns in the "Revenue" and "Expenditure" tables are based on a proxy, i.e. the EFTA coefficients applicable in 2022. The possible discrepancies between the "Joint undertaking request" and the "Budget Forecast" columns, and between the "Revenue" and the "Expenditure" tables are due to the subsequent automatic update of the EFTA coefficient in the "Revenue" tables only.

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|----------------------------------------------------------|---------------------------------|-------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which Operational (Title 3) | | | | | |
| 4 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | | | | | |
| 5 INTERESTS GENERATED | | | | | |
| 6 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which administrative | | | | | |
| - Of which operational | | | | | |
| 7 OTHER | | | | | |
| TOTAL | 0 | 17 192 982 | 225 906 028 | 226 831 602 | 1219,33% |

3.2 Financial contributions from EU budget and the other partners and in kind contributions

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------------------|---------------------------|-------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | | | 1 778 000 | 1 778 000 | 100% |
| Salaries & allowances | | | 1 280 000 | 1 280 000 | 100% |
| - Of which establishment plan posts | | | 840 000 | 840 000 | 100% |
| - Of which external personnel | | | 440 000 | 440 000 | 100% |
| Expenditure relating to Staff recruitment | | | 62 000 | 62 000 | 100% |
| Mission expenses | | | 208 000 | 208 000 | 100% |
| Socio-medical infrastructure | | | 42 000 | 42 000 | 100% |
| Training | | | 62 000 | 62 000 | 100% |
| External Services | | | 62 000 | 62 000 | 100% |
| Receptions, events and representation | | | 10 000 | 10 000 | 100% |
| Social welfare | | | 10 000 | 10 000 | 100% |
| Other Staff related expenditure | | | 42 000 | 42 000 | 100% |
| Title 2 - Infrastructure and operating expenditure | | | 1 129 327 | 1 129 327 | 100% |
| Rental of buildings and associated costs | | | 156 000 | 156 000 | 100% |
| Information, communication technology and data processing | | | 62 000 | 62 000 | 100% |
| Movable property and associated costs | | | 42 000 | 42 000 | 100% |
| Current administrative expenditure | | | 219 327 | 219 327 | 100% |
| Postage / Telecommunications | | | 42 000 | 42 000 | 100% |
| Meeting expenses | | | 42 000 | 42 000 | 100% |
| Running costs in connection with operational activities | | | 42 000 | 42 000 | 100% |
| Information and publishing | | | 100 000 | 100 000 | 100% |
| Studies | | | 124 000 | 124 000 | 100% |
| Other infrastructure and operating expenditure | | | 300 000 | 300 000 | 100% |
| Title 3 - Operational expenditure | | | 180 645 483 | 180 645 483 | 100% |
| TOTAL | | | 183 552 810 | 183 552 810 | 100% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|------------------------------------------------------------------|------------------------|-------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | | | 1 778 000 | 1 778 000 | 100% |
| Salaries & allowances | | | 1 280 000 | 1 280 000 | 100% |
| - <i>Of which establishment plan posts</i> | | | 840 000 | 840 000 | 100% |
| - <i>Of which external personnel</i> | | | 440 000 | 440 000 | 100% |
| Expenditure relating to Staff recruitment | | | 62 000 | 62 000 | 100% |
| Mission expenses | | | 208 000 | 208 000 | 100% |
| Socio-medical infrastructure | | | 42 000 | 42 000 | 100% |
| Training | | | 62 000 | 62 000 | 100% |
| External Services | | | 62 000 | 62 000 | 100% |
| Receptions, events and representation | | | 10 000 | 10 000 | 100% |
| Social welfare | | | 10 000 | 10 000 | 100% |
| Other Staff related expenditure | | | 42 000 | 42 000 | 100% |
| Title 2 - Infrastructure and operating expenditure | | | 1 129 327 | 1 129 327 | 100% |
| Rental of buildings and associated costs | | | 156 000 | 156 000 | 100% |
| Information, communication technology and data processing | | | 62 000 | 62 000 | 100% |
| Movable property and associated costs | | | 42 000 | 42 000 | 100% |
| Current administrative expenditure | | | 219 327 | 219 327 | 100% |
| Postage / Telecommunications | | | 42 000 | 42 000 | 100% |
| Meeting expenses | | | 42 000 | 42 000 | 100% |
| Running costs in connection with operational activities | | | 42 000 | 42 000 | 100% |
| Information and publishing | | | 100 000 | 100 000 | 100% |
| Studies | | | 124 000 | 124 000 | 100% |
| Other infrastructure and operating expenditure | | | 300 000 | 300 000 | 100% |
| Title 3 - Operational expenditure | | | 222 998 701 | 222 998 701 | 100% |
| TOTAL | | | 225 906 028 | 225 906 028 | 100% |

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within the joint undertaking: EUR

4 Justification of needs

Commission assessment

Human Resources

The human resources requested for 2023 are in line with the authorised number of staff in 2022 and with the LFS attached to the Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the European Cybersecurity Industrial, Technology and Research Competence Centre and the Network of National Coordination Centres. COM/2018/630 final.

The human resources requested for 2023 include the reduction due to the impact of the Chips Act Proposal and the general reduction of budget.

Financial Resources

The financial resources requested for 2023 are in line with the updated Financial Programming and already reflect the reduction linked to the Chips Act Proposal.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2021

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m ²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|------------------------|----------|----------------------------------|------------|-------|-----------------|----------|------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| TOTAL | | | | | | | | | | |

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|------------------------------|-----------------------------------------------------------|----------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |

| Joint undertaking privileges | Privileges granted to staff | |
|-------------------------------------|------------------------------------|--|
| | | |

2.2 Fusion for Energy (F4E)

1 Overview

1.1 Creation/modification (legal base)

| Decision | Date | Mission/ Tasks / Functions |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Council Decision (EURATOM) n° 198/2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90/58) | 27/03/2007 | The European Joint Undertaking for ITER and the Development of Fusion Energy (F4E) is established in Barcelona, Spain, for a period of 35 years starting on 19 April 2007 with the main tasks of provision: (a) EURATOM contribution to ITER International Fusion Energy Organization and to the Broader Approach activities with Japan for the rapid realisation of fusion energy; (b) programme activities for DEMO construction (demonstration fusion reactor) incl. International Fusion Materials Irradiation Facility (IFMIF); (c) components, equipment, materials and other resources (incl. financial and human resources) to ITER Organisation and to Broader Approach; F4E is supervising the preparation of ITER project site in Cadarache (France) and the coordination of scientific and technological research and development activities in support of EURATOM contribution to ITER Organisation and to the Broader approach with Japan |
| Council Decision (EURATOM) n° 791/2013 amending Decision n° 2007/198 (OJ L 349/100) | 13/12/2013 | This Council decision sets the EURATOM contribution for ITER activities carried out by the Joint Undertaking for the period 2014-2020. |
| Council Decision (EURATOM) n° 2015/224 amending Decision n° 2007/198 (OJ L 37/8) | 10/02/2015 | The F4E legal base was amended in order to improve the management and the governance of the Joint Undertaking and to take into account the amendments to the EURATOM Treaty introduced by the Lisbon Treaty. The reference to the provisions on the Court of Justice was updated. Administration and Management Committee, Procurement and Contracts Committee and Bureau to assist the Governing Board were created. |
| Council Decision (EURATOM) n° 2021/281 amending Decision n° 2007/198 (OJ L 62/41) | 22/02/2021 | This Council decision sets the EURATOM contribution for ITER activities carried out by the Joint Undertaking for the period 2021-2027 at EUR 5.614.000.000 (in current prices). |

1.2 Seat

Barcelona, Spain

1.3 Budget line

01 04 01 : Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — and the Development of Fusion Energy

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

| Human Resources | 2021 | | | 2022 | 2023 |
|-----------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| | | | | | |

| Human Resources | 2021 | | | 2022 | 2023 |
|---------------------------------|-------------------|----------------------------------|-------------------|-------------------|----------------------|
| | Authorised Budget | Actually filled as of 31/12/2021 | Occupancy rate(%) | Authorised Budget | Draft Budget Request |
| Administrators (AD) | 243 | 229 | 94,24% | 238 | 256 |
| Assistants (AST) | 37 | 44 | 118,92% | 42 | 49 |
| Assistants/Secretaries (AST/SC) | | | | | |
| ESTABLISHMENT PLAN POSTS | 280 | 273 | 97,50% | 280 | 305 |
| Contract Agents (CA) | 170 | 166 | 97,65% | 170 | 155 |
| Seconded National Experts (SNE) | 3 | 2 | 66,67% | 7 | 7 |
| TOTAL STAFF | 453 | 441 | 97,35% | 457 | 467 |

1.5 Financial Resources Overview

1.5.1 Revenues Overview

| REVENUES | 2022 | | 2023 | |
|-----------------------|----------------------------------|------------------------|---------------------------|------------------------|
| | Revenues estimated by the agency | | Budget Forecast | |
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| EU contribution | 703 990 456 | 661 824 958 | 1 012 855 385 | 719 883 574 |
| Other Revenue | 167 690 000 | 208 630 000 | 237 330 000 | 175 700 000 |
| TOTAL REVENUES | 871 680 456 | 870 454 958 | 1 250 185 385 | 895 583 574 |

1.5.2 Expenditure Overview

| Expenditure | 2022 | | 2023 | |
|----------------------------------------------------|---------------------------|------------------------|---------------------------|------------------------|
| | Commitment appropriations | Payment appropriations | Commitment appropriations | Payment appropriations |
| Title 1 - Staff expenditure | 57 347 992 | 57 347 992 | 62 658 400 | 62 658 400 |
| Title 2 - Infrastructure and operating expenditure | 8 703 800 | 8 703 800 | 10 715 890 | 10 715 890 |
| Title 3 - Operational expenditure | 644 638 664 | 630 603 166 | 946 781 095 | 653 809 284 |
| Title 4 - Earmarked Expenditure | 160 990 000 | 173 800 000 | 230 030 000 | 168 400 000 |
| TOTAL EXPENDITURE | 871 680 456 | 870 454 958 | 1 250 185 385 | 895 583 574 |

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| AD 16 | | | | | | | | | | |
| AD 15 | | 1 | | 1 | | 1 | | 1 | | 1 |
| AD 14 | 5 | 3 | 3 | | 3 | 1 | 4 | 3 | 4 | 3 |
| AD 13 | 13 | 10 | 6 | 4 | 7 | 5 | 7 | 9 | 7 | 9 |
| AD 12 | 14 | 21 | 10 | 20 | 10 | 23 | 11 | 24 | 11 | 24 |
| AD 11 | 2 | 29 | 4 | 16 | 3 | 22 | 3 | 24 | 3 | 24 |
| AD 10 | | 33 | 1 | 32 | 5 | 37 | 3 | 49 | 3 | 49 |
| AD 9 | | 42 | 8 | 63 | 6 | 54 | 4 | 50 | 4 | 50 |
| AD 8 | 1 | 33 | 1 | 18 | 1 | 29 | | 24 | | 24 |
| AD 7 | 2 | 21 | | 26 | 2 | 20 | 1 | 20 | 1 | 20 |
| AD 6 | 1 | 12 | 1 | 15 | | 9 | | 19 | | 19 |
| AD 5 | | | | | | | | | | |
| AD TOTAL | 38 | 205 | 34 | 195 | 37 | 201 | 33 | 223 | 33 | 223 |
| AST 11 | 6 | | 1 | | 1 | | 2 | | 2 | |
| AST 10 | | | 1 | | 3 | | 2 | | 2 | |
| AST 9 | 4 | 1 | 2 | | 1 | 1 | 2 | 1 | 2 | 1 |
| AST 8 | 1 | 2 | 2 | | | 2 | 1 | 3 | 1 | 3 |
| AST 7 | | 5 | 1 | 2 | 1 | 6 | 1 | 7 | 1 | 7 |
| AST 6 | | 9 | | 12 | 1 | 8 | | 9 | | 9 |
| AST 5 | | 8 | 4 | 5 | 2 | 12 | 3 | 11 | 3 | 11 |
| AST 4 | | 1 | 1 | 7 | 1 | 2 | 1 | | 1 | |
| AST 3 | | | 1 | 5 | 1 | | | 6 | | 6 |
| AST 2 | | | | | | | | | | |
| AST 1 | | | | | | | | | | |
| AST TOTAL | 11 | 26 | 13 | 31 | 11 | 31 | 12 | 37 | 12 | 37 |
| AST/SC 6 | | | | | | | | | | |
| AST/SC 5 | | | | | | | | | | |
| AST/SC 4 | | | | | | | | | | |
| AST/SC 3 | | | | | | | | | | |
| AST/SC 2 | | | | | | | | | | |
| AST/SC 1 | | | | | | | | | | |
| AST/SC | | | | | | | | | | |

| Function group and grade | 2021 | | | | 2022 | | 2023 | | | |
|--------------------------|-------------------|-----------------|----------------------------------|-----------------|-------------------|-----------------|-----------------------|-----------------|----------------------|-----------------|
| | Authorised Budget | | Actually filled as of 31/12/2021 | | Authorised Budget | | Request of the Agency | | Draft Budget Request | |
| | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts | Permanent posts | Temporary posts |
| TOTAL | | | | | | | | | | |
| TOTAL | 49 | 231 | 47 | 226 | 48 | 232 | 45 | 260 | 45 | 260 |
| GRAND TOTAL | 280 | | 273 | | 280 | | 305 | | 305 | |

2.2 External personnel

2.2.1 Contract Agents

| Contract agents | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|--------------------|-----------------|----------------------------|---------------|----------------------------|
| Function Group IV | 97 | 100 | 97 | 88 |
| Function Group III | 50 | 52 | 50 | 49 |
| Function Group II | 23 | 14 | 23 | 18 |
| Function Group I | | | | |
| TOTAL | 170 | 166 | 170 | 155 |

2.2.2 Seconded National Experts

| Seconded National Experts | Authorised 2021 | Recruited as of 31/12/2021 | 2022 estimate | Draft Budget 2023 estimate |
|---------------------------|-----------------|----------------------------|---------------|----------------------------|
| TOTAL | 3 | 2 | 7 | 7 |

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|------------------------------------------------------------|------------------------------------|------------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 857 957 19 | 703 990 456 | 1 012 855 385 | 1 012 855 385 | 43,87% |
| - Of which (fresh C1) Administrative (Title 1 and Title 2) | 62 483 826 | 65 043 221 | 65 347 477 | 65 347 477 | 0,47% |
| - Of which Operational (Title 3) | 794 649 197 | 637 938 664 | 946 781 095 | 946 781 095 | 48,41% |
| <i>recovery from the previous years Administrative</i> | <i>824 174</i> | <i>1 008 571</i> | <i>726 813</i> | <i>726 813</i> | <i>-27,94%</i> |
| <i>recovery from the previous years Operational</i> | | | | | |

| REVENUES COMMITMENT APPROPRIATIONS | Revenues Commitment Appropriations | | | | |
|----------------------------------------------------------|------------------------------------|--------------------|---------------------------|----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 2 THIRD PARTIES CONTRIBUTION | 191 309 132 | 150 500 000 | 219 800 000 | 219 800 000 | 46,05% |
| - <i>Of which third countries</i> | | | | | |
| - <i>Of which ITER Host State contribution</i> | 185 326 032 | 143 800 000 | 212 500 000 | 212 500 000 | 47,77% |
| - <i>Of which Membership contribution</i> | 5 983 100 | 6 700 000 | 7 300 000 | 7 300 000 | 8,96% |
| 3 MISCELLANEOUS REVENUE | 1 681 297 | | | | |
| 5 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 18 933 150 | 17 190 000 | 17 530 000 | 17 530 000 | 1,98% |
| 6 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| 7 INTERESTS GENERATED | | | | | |
| 8 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - <i>Of which Administrative</i> | | | | | |
| - <i>Of which Operational</i> | | | | | |
| TOTAL | 1 069 880 776 | 871 680 456 | 1 250 185 385 | 1 250 185 385 | 43,42% |

3.1.2 Revenues Payment Appropriations

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|-------------------------------------------------------------------|---------------------------------|--------------|---------------------------|-----------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| 1 EU CONTRIBUTION | 611 137 305 | 689 954 958 | 719 883 574 | 719 883 574 | 8,77% |
| - <i>Of which (fresh C1) Administrative (Title 1 and Title 2)</i> | 62 483 826 | 65 043 221 | 65 347 477 | 65 347 477 | 0,47% |
| - <i>Of which Operational (Title 3)</i> | 544 293 868 | 623 767 915* | 648 161 525 | 648 161 525 | 8,82% |
| - <i>recovery from the previous years Administrative</i> | 4 359 611 | 1 008 571 | 726 813 | 726 813 | -27,94% |
| - <i>recovery from the previous years Operational</i> | | 135 251 | 5 647 759 | 5 647 759 | 4075,76% |
| 2 THIRD PARTIES CONTRIBUTION | 135 083 100 | 155 500 000 | 150 700 000 | 150 700 000 | -17,93% |
| - <i>Of which third countries</i> | | | | 0 | -100,00% |
| - <i>Of which ITER Host State contribution</i> | 129 100 000 | 148 800 000 | 143 400 000 | 143 400 000 | -3,63% |

| REVENUES PAYMENT APPROPRIATIONS | Revenues Payment Appropriations | | | | |
|----------------------------------------------------------|---------------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| - Of which Membership contribution | 5 983 100 | 6 700 000 | 7 300 000 | 7 300 000 | 8,96% |
| 3 MISCELLANEOUS REVENUE | 1 681 297 | | | | |
| 5 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT | 16 929 156 | 25 000 000 | 25 000 000 | 25 000 000 | 0,00% |
| 6 CORRECTION OF BUDGETARY IMBALANCES | | | | | |
| 7 INTERESTS GENERATED | | | | | |
| 8 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS | | | | | |
| - Of which Administrative | | | | | |
| - Of which Operational | | | | | |
| TOTAL | 764 830 858 | 870 454 958 | 895 583 574 | 895 583 574 | 2,89% |

*Includes also other fund sources

3.2 Financial contributions from EU budget and the other partners and in kind contributions

The F4E budget is composed of contributions from EURATOM, France as ITER Host State, and the F4E Members (EURATOM members). F4E receives additional contributions from ITER Organisation (IO) for the implementation of the changes in the ITER procurement at IO request (mainly from IO's Reserve Fund). The source of F4E revenue is almost constant from one year to the other. For 2021, the revenues in payment appropriations to F4E budget were the EURATOM (79.9%) and ITER Host State (16.9%), F4E members (0.8%), ITER Organization (2.2%) and miscellaneous revenue (0.2%). There is no in-kind contribution to the F4E Budget, except the premises hosting the Joint Undertaking provided by Spain free of charge. For 2021, this service in-kind amounts to EUR 3.3 million.

3.3 Expenditure

3.3.1 Commitment appropriations

| EXPENDITURE | Commitment appropriations | | | | |
|-------------------------------------|---------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 54 043 446 | 57 347 992 | 62 658 400 | 62 658 400 | 9,26% |
| Salaries & allowances | 48 422 828 | 50 888 372 | 55 232 000 | 55 232 000 | 8,54% |
| - Of which establishment plan posts | 37 504 469 | 38 981 810 | 43 500 000 | 43 500 000 | 11,59% |
| - Of which external personnel | 10 918 359 | 11 906 562 | 11 732 000 | 11 732 000 | -1,47% |

| EXPENDITURE | Commitment appropriations | | | | |
|-----------------------------------------------------------|---------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Expenditure relating to Staff recruitment | 569 031 | 814 000 | 836 500 | 836 500 | 2,76% |
| Mission expenses | 250 771 | 582 000 | 647 000 | 647 000 | 11,17% |
| Socio-medical infrastructure | 522 000 | 504 000 | 531 900 | 531 900 | 5,54% |
| Training | 789 331 | 676 620 | 850 000 | 850 000 | 25,62% |
| External Services | 630 000 | 550 000 | 580 000 | 580 000 | 5,45% |
| Receptions, events and representation | 125 | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | 30 600 | 46 000 | 50 000 | 50 000 | 8,70% |
| Other Staff related expenditure | 2 828 760 | 3 277 000 | 3 921 000 | 3 921 000 | 19,65% |
| Title 2 - Infrastructure and operating expenditure | 8 209 225 | 8 703 800 | 10 715 890 | 10 715 890 | 23,12% |
| Rental of buildings and associated costs | 1 264 547 | 1 499 000 | 1 632 000 | 1 632 000 | 8,87% |
| Information, communication technology and data processing | 4 098 169 | 3 935 000 | 4 981 750 | 4 981 750 | 26,60% |
| Movable property and associated costs | 208 847 | 375 000 | 387 840 | 387 840 | 3,42% |
| Current administrative expenditure | 1 636 021 | 1 616 000 | 2 138 100 | 2 138 100 | 32,31% |
| Postage / Telecommunications | 393 800 | 547 000 | 738 500 | 738 500 | 35,01% |
| Meeting expenses | 347 594 | 443 000 | 533 000 | 533 000 | 20,32% |
| Running costs in connection with operational activities | 33 161 | | | | |
| Information and publishing | 14 500 | 38 000 | 38 700 | 38 700 | 1,84% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 212 586 | 250 800 | 266 000 | 266 000 | 6,06% |
| Title 3 - Operational expenditure | 801 969 407 | 644 638 664 | 946 781 095 | 946 781 095 | 46,87% |
| ITER construction including site preparation | 764 056 846 | 569 331 727 | 864 643 095 | 864 643 095 | 51,87% |
| Technology for ITER and DEMO | 5 394 624 | 4 339 492 | 11 838 000 | 11 838 000 | 172,80% |
| Technology for Broader approach | 10 061 286 | 41 009 373 | 43 300 000 | 43 300 000 | 5,59% |
| Technology for DONES | | | 2 000 000 | 2 000 000 | 100% |

| EXPENDITURE | Commitment appropriations | | | | |
|------------------------------------------------------|---------------------------|--------------------|---------------------------|----------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| External Support Activities | 16 951 293 | 24 156 472 | 20 000 000 | 20 000 000 | -17,21% |
| Other operational Expenditure | 5 505 358 | 5 801 600 | 5 000 000 | 5 000 000 | -13,82% |
| Title 4 - Earmarked Expenditure | 202 010 756 | 160 990 000 | 230 030 000 | 230 030 000 | 42,88% |
| ITER construction -from ITER host state contribution | 185 326 032 | 143 800 000 | 212 500 000 | 212 500 000 | 47,77% |
| Activities linked to ITER Organisation | 15 285 208 | 17 190 000 | 17 530 000 | 17 530 000 | 1,98% |
| Other Earmarked Expenditure | 1 399 516 | | | | |
| TOTAL | 1 066 232 834 | 871 680 456 | 1 250 185 385 | 1 250 185 385 | 43,42% |

3.3.2 Payment appropriations

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|-------------------|---------------------------|-------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Title 1 - Staff expenditure | 53 338 789 | 57 347 992 | 62 658 400 | 62 658 400 | 9,26% |
| Salaries & allowances | 48 511 549 | 50 888 372 | 55 232 000 | 55 232 000 | 8,54% |
| - Of which establishment plan posts | 37 504 469 | 38 981 810 | 43 500 000 | 43 500 000 | 11,59% |
| - Of which external personnel | 11 007 080 | 11 906 562 | 11 732 000 | 11 732 000 | -1,47% |
| Expenditure relating to Staff recruitment | 486 788 | 814 000 | 836 500 | 836 500 | 2,76% |
| Mission expenses | 146 398 | 582 000 | 647 000 | 647 000 | 11,17% |
| Socio-medical infrastructure | 466 229 | 504 000 | 531 900 | 531 900 | 5,54% |
| Training | 627 564 | 676 620 | 850 000 | 850 000 | 25,62% |
| External Services | 557 062 | 550 000 | 580 000 | 580 000 | 5,45% |
| Receptions, events and representation | | 10 000 | 10 000 | 10 000 | 0,00% |
| Social welfare | 33 581 | 46 000 | 50 000 | 50 000 | 8,70% |
| Other Staff related expenditure | 2 509 618 | 3 277 000 | 3 921 000 | 3 921 000 | 19,65% |
| Title 2 - Infrastructure and operating expenditure | 6 923 750 | 8 703 800 | 10 715 890 | 10 715 890 | 23,12% |

| EXPENDITURE | Payment appropriations | | | | |
|-----------------------------------------------------------|------------------------|--------------------|---------------------------|--------------------|-------------------|
| | Executed Budget 2021 | Budget 2022 | Draft Budget 2023 | | VAR 2023/2022 (%) |
| | | | Joint undertaking request | Budget forecast | |
| Rental of buildings and associated costs | 1 242 315 | 1 499 000 | 1 632 000 | 1 632 000 | 8,87% |
| Information, communication technology and data processing | 3 567 886 | 3 935 000 | 4 981 750 | 4 981 750 | 26,60% |
| Movable property and associated costs | 216 674 | 375 000 | 387 840 | 387 840 | 3,42% |
| Current administrative expenditure | 1 202 567 | 1 616 000 | 2 138 100 | 2 138 100 | 32,31% |
| Postage / Telecommunications | 302 596 | 547 000 | 738 500 | 738 500 | 35,01% |
| Meeting expenses | 188 862 | 443 000 | 533 000 | 533 000 | 20,32% |
| Running costs in connection with operational activities | 2 688 | | | | |
| Information and publishing | 7 209 | 38 000 | 38 700 | 38 700 | 1,84% |
| Studies | | | | | |
| Other infrastructure and operating expenditure | 192 953 | 250 800 | 266 000 | 266 000 | 6,06% |
| Title 3 - Operational expenditure | 545 993 874 | 630 603 166 | 653 809 284 | 653 809 284 | 3,68% |
| ITER construction including site preparation | 521 657 389 | 589 603 166 | 594 009 284 | 594 009 284 | 0,75% |
| Technology for ITER and DEMO | 3 486 161 | 7 000 000 | 8 300 000 | 8 300 000 | 18,57% |
| Technology for Broader approach | 7 408 183 | 13 000 000 | 31 000 000 | 31 000 000 | 138,46% |
| Technology for DONES | | | 500 000 | 500 000 | 100% |
| External Support Activities | 10 564 536 | 15 000 000 | 15 000 000 | 15 000 000 | 0,00% |
| Other operational Expenditure | 2 877 605 | 6 000 000 | 5 000 000 | 5 000 000 | -16,67% |
| Title 4 - Earmarked Expenditure | 138 991 439 | 173 800 000 | 168 400 000 | 168 400 000 | -3,11% |
| ITER construction -from ITER host state contribution | 127 715 100 | 148 800 000 | 143 400 000 | 143 400 000 | -3,63% |
| Activities linked to ITER Organisation | 10 613 351 | 25 000 000 | 25 000 000 | 25 000 000 | 0,00% |
| Other Earmarked Expenditure | 662 988 | | | | |
| TOTAL | 745 247 852 | 870 454 958 | 895 583 574 | 895 583 574 | 2,89% |

3.4 Budget Outturn

First estimate of the 2021 surplus that remains within the joint undertaking: EUR 6 374 572.42.

4 Justification of needs

Commission assessment

Human Resources

Agreement reached on the reinforcement in human resources.

Financial Resources

Agreement reached on the financial resources.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

For 2023, F4E requests 467 positions, of which 305 establishment plan posts (45 permanent and 260 temporary posts) and 162 external staff positions (155 contract agents (CA) and 7 seconded national experts (SNE)). Compared to 2022 and the LFS to Commission proposal 445 (2018), the establishment plan is reinforced by 25 extra TA posts (19 AD posts and 6 AST posts), of which 10 new TA posts and 15 TA posts from the conversion of CA positions that will decrease from 170 in 2022 to 155 in 2023. The SNE maintain same level (7) in 2023 and 2022 as agreed with the Commission in 2020. The request for staff reinforcement is reflected in the F4E Strategic Resource Plan 2021-2027, which shows a significant gap from 2022 onwards between the task volume and staff in place, especially for critical positions that shall be covered by establishment plan posts rather than by external service providers. The profile of the 10 additional TA is the following: 5 AD6 posts, 4 AD8 and 1 AD9 to meet the increased volume and new tasks related to new projects mainly for First Plasma and Vacuum Vessel activities. The other 15 TA posts coming from CA positions, include the conversion of 9 FGIV into AD6 and 6 FGIII into AST3, to align to their increased level of responsibility and workload as currently these CA do not perform support functions but work autonomously on complex technical and project management tasks. In addition to the above changes, the following cost neutral conversions are foreseen to take place in 2023: three permanent AD shall be transformed into TA posts following the departure of three officials and in line with F4E policy for conversion of vacant permanent posts into TA of an equivalent grade. For the purpose of the certification procedure, 2 AD slots in the establishment plan are currently reserved for the conversion of 2 permanent AST into AD posts. For 2023, F4E requests the conversion of 1 permanent AD post into 1 permanent AST post, as just one of the two slots is needed for the certification of AST into AD. Conversion of 5 FGII posts into FGIII, in line with the LFS to the Commission Proposal No 445 (2018) as from 2021.

4.1.2 Vacancy rate as of end 2021

The vacancy rate was 2,65% at the end of 2021, based on the actually filled establishment plan and external staff.

Out of 280 establishment plan posts, 7 remained vacant (2,50 %) and out of 173 external staff, 5 positions were not filled (2,89 %).

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The average annual costs for salaries for 2023 are estimated at EUR 145 400 for officials and temporary agents, EUR 73 700 for contract agents and EUR 133 000 for seconded national experts based on the staff costs variations from 2022 to 2023. The indirect costs related to recruitment (selection, medical visit, removal, etc.) are not included in the average staff costs. The annual salary adjustment for 2023 is set at 2% that will be later adjusted by the official EU salary update and the change in the Spanish correction coefficient by the end of 2022. The vacancy rate applied for the calculation of the salaries in 2023 amounts to 2.72%.

4.1.5 Correction coefficient used

The correction coefficient for Spain increased from 94.2 % to 96,3% at the end of 2021.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Under Title 1, salaries and allowances mark an increase of 8.5 % in draft budget 2023 compared to the adopted 2022 budget due to the foreseen 10 more TA posts with an estimated budget impact of EUR 4.34 million. Other factors explaining the increase are the annual salary adjustment of 2%, allowances, promotions, advancements in step. The salary costs for 2022 are based on 2 % indexation forecasted for 2021, while the figure for 2023 includes the final salary increase for 2021 of 4%, therefore the actual increase in 2023 compared to 2022 is higher. The salaries for external staff (CA and SNE) keep similar level in 2023 and 2022. The costs for the 4 SNEs (out of 7 authorised for 2022 and 2023) who are seconded in Japan for the Broader approach activities are budgetary neutral and shall be counted as in-kind Euratom contribution under the Broader approach Agreement. The expenditure related to staff recruitment is 2.8 % higher in view of the higher number of recruitments and associated travel expenses, daily and installation/re-installation allowances along with increased removal expenses, following staff resignations and retirements in 2023. The mission's expenses are expected to return in 2023 to their pre-pandemic levels from 2019, therefore compared to 2022 the increase is 11.2 %. The socio-medical infrastructure expenses will increase by 5.5 % in view of the higher volume of medical services provided by the medical adviser to F4E staff following the COVID sanitary crisis. The 25.6 % higher training expenses are foreseen for workshops in the area of the working culture, training courses for specific profiles (e.g. Commercial and Project Managers), Inclusion and Diversity trainings, the Tertiary Education scheme and Nuclear Safety training. The external services will increase by 5.5 % in 2023 to cover the extra resources needed for specific projects in addition to the minimum number of replacements for maternity leave and sick-leave during the year. The other staff related expenditure will increase by 19.7 % due to the higher International School costs in 2023 with respect to 2022, the increased number of new pupils for school year 2023-2024 and the indexation of annual fees for schools visited by children of F4E staff.

4.2.2 Title 2

Title 2 will increase by 23,1 % in 2023 compared to 2022 linked to various items. The increase in the building's rental and associated costs of 8,8 % shall cover the renovation of F4E office in Barcelona, that started in 2021 and will continue in 2023. In December 2021, the Host State (Spain) agreed that F4E shall pay EUR 840 000, corresponding to 20 % of the total refurbishment of the seven floors occupied. Depending of the actual advancement of the works and the number of floors refurbished each year, F4E will adapt the cost estimates for this chapter in the course of 2022.

ICT costs will increase by 26,6 % to cover the cost for cybersecurity: EUR 300 000 for replacement of the current firewall that will be out of support in June 2022 and for Self Learning Intrusion prevention system (IPS), to protect better F4E from cyber-attacks; migration of IT services to cloud: EUR 131 000, mainly from running parallel both cloud and on premise infrastructure, to be completed by 2023. Cost optimization and savings are expected after its finalization. The costs for movable property in 2023 will maintain similar level as in 2022 and foresee the purchase of one new service car and the replacement of telecommunication equipment in Aula Mar in Barcelona office that was postponed to 2022 due to COVID situation. The current administrative expenditure will be 32.3 % higher in 2023 mainly due to the increased expenses related to management consultancy services, mission insurances, different service level agreements (DG HR, EASA, EPSO, PMO). The postage and telecommunications costs are estimated to increase up to 35 % based on the F4E strategy on fixed and mobile telephony from 100% internet-based telephony using teams and providing mobile phones to all F4E staff estimated at EUR 200 000. Re-increase of meeting expenses by 20.3 % back to their pre-pandemic levels expecting that in 2023 more face-to-face meetings will be organised. Other infrastructure and operational expenditure will increase by 6 % due to the indexation of the costs paid for social activities from 2022 to 2023.

4.2.3 Title 3

The F4E operational budget is based on the preliminary 2023 Work Programme and activities for delivering the EU obligations to ITER project and the project with Japan named "Broader Approach" financed by the contributions from

EURATOM, the ITER Host state France (IHS) and F4E members. The F4E operational activities are covered mainly under two budget chapters: ITER construction including the site preparation (under Title 3) and ITER Host State contribution (under Title 4) that complements the first chapter for the ITER construction. The biggest part of F4E operational budget for 2023 will be spent for the Cash Contribution to ITER Organization partly due for year 2024, followed by the Buildings and Power supplies for ITER Site in Cadarache, In Vessel, Neutral Beam, Power supplies and Sources, Vacuum Vessel, Cryoplant and Fuel Cycle, Antennas and Magnets.

Broader Approach (BA) activities in 2023 will be based on the Project Plans to be agreed by the BA Steering Committee: Satellite Tokamak Programme, IFMIF/EVEDA, IFERC and DEMO design activities.

The chapter “External Support Activities” consists of expenditure linked to External Service providers (ESPs). ESPs count for some 40% of F4E’s overall workforce. They mostly work as engineering support to BIPS (ITER Site and Buildings) and other F4E activities listed above as well as on tasks for project performance management, quality assurance and nuclear safety. Currently, most technical profiles are managed by ESPs.

The chapter “Other Operational Expenditure” covers the costs for legal services and mission’s expenses directly in support of operational contracts together with ICT services used for ITER, BA and DEMO activities.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

| # | Building Name and type | Location | SURFACE AREA(in m²) | | | RENTAL CONTRACT | | | Host country (grant or support) | Building present value(€) |
|--------------|-----------------------------------------------------|------------------------|---------------------|------------|--------------|-----------------|--------------------------------------------------|----------------------------------|---------------------------------|---------------------------|
| | | | Office space | non-office | Total | RENT (€/year) | Duration | Type | | |
| 1 | Torre Diagonal Litoral B3, Josep Pla 2 | Barcelona | 9 000 | | 9 000 | | until 2042 | long-term rental lease agreement | Rent paid by Host country Spain | |
| 2 | Torre Diagonal Litoral B3, three additional modules | Josep Pla 2, Barcelona | 750 | | 750 | | until 2023 or the end of the refurbishment works | short-term lease agreement | Rent paid by Host country Spain | |
| TOTAL | | | 9 750 | | 9 750 | | | | | |

5.1.2 Current building(s) Other comments

The seat of F4E is set in Barcelona according to the 2007 Host agreement with Spain (Host State). In May 2016, a long-term agreement until 2042, for the free rental in Torre Diagonal Litoral B3, was concluded with the building’s owner and Spain, represented by the Ministry of Science and Innovation. Since 2016, discussions with Spain and the building’s owner are ongoing for the refurbishment of the premises occupied by F4E. The works on the 12th floor, fully financed by the Host State started at the end of 2021 and they are expected to be completed in the first half of 2022. The overall renovation of the remaining 7 floors, from 2022-2024, is estimated at EUR 4 200 000, of which Spain offered to cover 80 % and 20% shall be paid by F4E, amounting to EUR 840 000. By creating open-plan offices, F4E will reduce the occupied surface area and thus decrease the renting charges paid by Spain. The Spanish authorities also agreed to take charge of the rent as of January 2022 for the extra 750 m2 (three office modules for external experts) previously rented on a temporary basis by F4E. The joint undertaking covers the annual expenditure for the office space, including the ad-hoc expenditures generated by the refurbishment of the whole building (furniture, audio-video, security & safety, kitchen equipment) and the operation of the cafeteria.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

In accordance with Article 5c introduced with the last amendment 281 (2021) to the Council Decision (EURATOM) No.198 (2007) laying down the EURATOM financing for ITER under the MFF period 2021-2027, the Commission shall carry out regularly evaluations about the implementation of the Decision, in particular an interim evaluation not later than 2024 and a final evaluation no later than four years after the end of the period 2021-2027. The Commission shall communicate the conclusions of the evaluations accompanied by its observations, to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions. The last evaluation report on the European contribution to ITER through F4E during the period 2014-2017 was published in 2019: <https://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2019:0147:FIN:EN:PDF> In 2021, the European Commission completed a follow up study on the economic benefits of ITER and BA projects to EU industry, <https://data.europa.eu/doi/10.2833/51838>. The study aggregates data on grants and contracts for F4E Member States, entities from F4E, the ITER Organisation (IO), Voluntary Contributors and the European Commission, covering the period of 2008 to 2019. The report evaluates and analyses the added value created from these contracts and grants for a number of sectors in the EU through short-, medium- and long-term benefits. The study demonstrates that F4E spending on ITER project does not only deliver significant benefits to EU economies but also positively impacts other technological and industrial sectors.

5.3 Privileges and immunities

| Joint undertaking privileges | Privileges granted to staff | |
|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| | Protocol of privileges and immunities / diplomatic status | Education / day care |
| Privileges provided by the Host State and concluded in the seat agreement: - VAT exemptions; - Building free of charge | - Diplomatic status only for the Director and the person appointed to replace him in his absence; - The PPI applies to all staff; - VAT reimbursements during the first year on goods and furniture; - Purchase of one motor vehicle without taxes; - Exemption of import tax registration for vehicles (if done through the Spanish Ministry of Foreign Affairs); | No privileges granted regarding education/day care |

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