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DRAFT GENERAL BUDGET OF THE EUROPEAN UNION

Working Document Part III

financial year 2022

Bodies set up by the EU having
legal personality

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Budget

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DRAFT GENERAL BUDGET
of the European Union
for the financial year 2022

Working Document
Part III

**Draft General Budget
of the European Union
for the Financial Year 2022**

Working Document Part III

Bodies set up by the European Union having legal personality

Draft Budget Working Documents

The 2022 Draft Budget is accompanied by thirteen ‘Working Documents’, as follows:

Part I: Programme Statements of operational expenditure

Working Document I contains, pursuant to Article 41(3)(h) of the Financial Regulation, Programme Statements, which are presenting the 2021-2027 programmes and their performance framework, and provide details on the resources dedicated to each spending Programme for the period 2014-2020.

Part II: Human Resources of the EU institutions and executive agencies

Working Document II presents information on the human resources of the EU institutions and executive agencies, and in particular for the Commission, both for the establishment plans and for external personnel and across all headings of the multiannual financial framework. Moreover, pursuant to Article 41(3)(b) of the Financial Regulation, it provides a summary table for the period 2019 – 2022 which shows the number of full-time equivalents for each category of staff and the related appropriations for all institutions and bodies referred to in Article 70 of the Financial Regulation.

Part III: Bodies set up by the European Union having legal personality

Working Document III presents detailed information relating to all decentralised agencies and Joint Undertakings, with a transparent presentation of revenue, expenditure and staff levels of various Union bodies, pursuant to Article 41(3)(c) of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2022 Draft Budget, pursuant to Article 41(3)(f) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2021, information on assigned revenue (implementation in 2020 and estimation for 2022), and a progress report on outstanding commitments (RAL) and managing potentially abnormal RAL (PAR) for 2020, pursuant to Article 41(3)(d) of the Financial Regulation.

Part VI: Commission expenditure under the administrative heading of the multiannual financial framework

Working Document VI encompasses administrative expenditure to be implemented by the Commission under the administrative heading of the multiannual financial framework (heading 7) in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO), pursuant to Article 41(3)(e) of the Financial Regulation.

Part VII: Commission buildings

Working Document VII presents information on buildings under Section III - Commission, pursuant to Article 266(1) of the Financial Regulation.

Part VIII: Expenditure related to the external action of the European Union

Working Document VIII presents information on human resources and expenditure related to the external action of the European Union, pursuant to Article 41(10) and (11) of the Financial Regulation.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 41(3)(g) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 41(4) of the Financial Regulation.

Part XI: Budgetary Guarantees, Common Provisioning Fund and Contingent Liabilities

Working Document XII presents the implementation of Budgetary Guarantees, the Common Provisioning Fund and the assessment of the sustainability of the contingent liabilities arising from budgetary guarantees and financial assistance pursuant to Article 41(5) of the Financial Regulation.

Part XII: EU Trust funds

Working Document XII presents the activities supported by EU Trust Funds, their implementation and performance, pursuant to Article 41(6) of the Financial Regulation.

Part XIII: Payment schedules

Working Document XIII presents summary statements of the schedule of payments due in subsequent years to meet budgetary commitments entered into in previous years, pursuant to Article 41(3)(i) of the Financial Regulation.

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INTRODUCTION

1 GENERAL CONTEXT

The fourteenth edition of this Draft Budget (DB) Working Document aims at presenting key budgetary information for Bodies set up under the TFEU and the Euratom Treaty to respond to the requirements laid down in Article 41.3 (c) of Regulation (EU, Euratom) 2018/1046.

Section 2 of this introduction presents the main types of EU bodies, as used throughout this document. Subsequently, Part I of the Working Document provides detailed information on ‘decentralised agencies’, Part II on executive agencies and Part III on bodies having legal personality created in the field of research and innovation, i.e. the EIT and joint undertakings (including the European Cybersecurity Competence Centre or ‘ECCC’). Each Part contains a description of the main budgetary trends for the main types of bodies, as well as detailed budgetary financial statements for each EU body individually.

2 TYPES OF EU BODIES

This section presents the main types of EU bodies¹:

- Decentralised agencies (35 established decentralised agencies in DB 2022);
- Executive agencies (6 in 2022)
- EIT and joint undertakings (11 in DB 2022, including the ECCC) under TFEU;
- ITER Joint Undertaking (F4E) under the Euratom Treaty.

2.1 Decentralised agencies

2.1.1 Decentralised agencies: set-up

Legal bases of decentralised agencies

Most decentralised agencies are either created by the Council on the basis of Article 352 of the Treaty on the Functioning of the European Union, or by the Parliament and the Council (ordinary legislative procedure) on the basis of a specific Treaty provision. Currently, 35 decentralised agencies have been established on this basis, over a period of 40 years.

Furthermore, three decentralised agencies have been set up to carry out very specific technical, scientific and management tasks within the framework of the Common Foreign and Security Policy (CFSP). However, these three² agencies fall outside the scope of this Working Document, as these agencies (including their financing) are entirely inter-governmental.

Tasks

The tasks of decentralised agencies are diverse, inter alia:

- Some decentralised agencies have the power to adopt binding rules and/or individual decisions with direct effect (so-called 'regulatory' agencies, such as EUIPO, *European Union Intellectual Property Office*);
- Other decentralised agencies provide assistance to the Commission, and where necessary to the Member States, in the interest of the Union, in the form of technical or scientific opinions and/or inspection reports (e.g. EFSA, *European Food Safety Authority*); whereas
- Other decentralised agencies focus on networking between competent national authorities and on organising cooperation between them in the interest of the Union with a view to gathering, exchanging and comparing information and good practices (e.g. ENISA, *European Union Agency for Cybersecurity*).

Creation

Decentralised agencies were created to meet specific needs on a case-by-case basis, starting from 1975, and mostly in two large 'waves', following the Brussels European Councils of October 1993 and December 2003. The European Council also decides on the location ('seat') of the decentralised agencies, which are spread throughout the territory of the Union.

Governing structure

The decentralised agencies are governed by an Administrative Board, which as a rule is composed of representatives of all Member States, and which has the following key roles: defining the agency's operating guidelines within the legal framework established by the legislator; in particular, the Administrative Board is responsible for the adoption of the agency work programme and rules of procedure. Furthermore, the Board plays a central role in the adoption of the agency's budget, which is independent from the EU budget. As the decentralised agencies have a separate legal personality, their budgets are published separately in the EU Official Journal, under their own responsibility.

¹ This Working Document does not include information on administrative Offices (OP, OLAF, EPSO, PMO, OIB and OIL), as these do not have their own legal personality. More information on the Offices is given in DB Working Document VI (Administrative expenditure under Heading 7).

² European Defence Agency (EDA), European Union Institute for Security Studies (ISS) and European Union Satellite Centre (EUSC).

2.1.2 Decentralised agencies: budgetary and financial arrangements

Decentralised agencies in the EU budget

In addition to the information contained in the agency budgets, the EU budget also includes detailed information on decentralised agencies, such as the authorised EU contribution to the agencies and the agency establishment plans.

The EU contribution appears in the general budget, under the cluster concerned, on a dedicated budget line from operational appropriations. Accordingly, the amount of the EU contribution entered into the budget and the establishment plan are subject to the approval of the Budgetary Authority.

For decentralised agencies, since 2014, the dedicated agency budget line is no longer divided into two items, so as to reflect more clearly the unity of the EU contribution. Nonetheless, the structure of the agency budgets remains unchanged, in order to present the running costs of the agency (Titles 1 and 2) separately from the operational activities (Title 3).

Financing structure

Most decentralised agencies are funded entirely by contributions from the EU budget, as described above. Some agencies, however, depend fully or partially on other revenue, such as revenue received from industry (fees):

Partially self-financed agencies: *European Chemicals Agency (ECHA)*, *European Aviation Safety Agency (EASA)*, *European Medicines Agency (EMA)*, *European Railway Agency (ERA)* and the *Agency for the Cooperation of Energy Regulators (ACER)*;

- Fully self-financed agencies: *European Union Intellectual Property Office (EUIPO)*, *Community Plant Variety Office (CPVO)*, *Single Resolution Board (SRB)* and *Translation Centre for the Bodies of the European Union (CdT)*; and
- Agencies partially co-financed by national public authorities: *European Banking Authority (EBA)*, *European Insurance and Occupational Pensions Authority (EIOPA)* and *European Securities and Markets Authority (ESMA)*.

The financing structure as outlined above also has an impact on the annual budgetary procedure, in particular as regards three of the fully self-financed agencies: in the case of EUIPO, CPVO and SRB, the effective control over revenue, expenditure and staffing issues is exercised by their respective Boards, but not by Parliament and Council. As a consequence, this Working Document presents general information on EUIPO, CPVO and SRB in the overview tables for decentralised agencies; a less detailed budgetary financial statement is included for these self-financed bodies, reflecting the information received from these bodies.

Framework Financial Regulation

Article 70 of the General Financial Regulation³ lays down that the “Commission is empowered to adopt delegated acts in accordance with Article 269 of this Regulation to supplement this Regulation with a framework financial regulation for bodies which are set up under the TFEU and the Euratom Treaty and which have legal personality and receive contributions charged to the budget. The framework financial regulation shall be based on the principles and rules set out in this Regulation, taking into account the specificities of the bodies referred to in paragraph 1. The financial rules of the bodies referred to in paragraph 1 shall not depart from the framework financial regulation except where their specific needs so require and subject to the Commission’s prior consent”.

The Commission has revised the Framework Financial Regulation⁴, notably with a view to aligning the text with the new General Financial Regulation and to respond to certain new developments surrounding decentralised agencies. The revised version of the Framework Financial Regulation has entered into force on 1 January 2019.

Discharge procedure

The financing structure of agencies (whether or not they actually receive contributions from the EU budget) also has an impact on the discharge procedure applicable, as laid down in Article 70(4) of the General Financial Regulation: with the exception of three fully self-financed agencies⁵, all decentralised agencies are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

³ Regulation (EU, Euratom) No. 2018/1046 of 18 July 2018 on the financial rules applicable to the general budget of the Union.

⁴ Commission Delegated Regulation (EU) No 2019/715 of 18 December 2018, OJ L 122, 10.5.2019.

⁵ European Union Intellectual Property Office (EUIPO), Community Plant Variety Office (CPVO) and the Single Resolution Board (SRB) are subject to discharge of their governing boards only.

Single Programming Documents

Article 32 of the Framework Financial Regulation as revised in December 2018 requires agencies to prepare a ‘programming document’ containing multiannual and annual programming. This single programming document integrates previous documents such as annual work programmes, multiannual work programmes and the staff policy plan, with a view to providing a consolidated presentation of agency activities and resources needs. The Commission opinion on the single programming documents looks amongst others at the conformity of general staff policy with the Staff Regulations (including implementing rules), the Commission policy and the Commission guidelines, conformity with the multi-annual financial programming, justification of any additional posts on the basis of new tasks assigned by the legislative authority, workload indicators and past implementation, recruitment at basic grades and promotion rates.

Evaluations

In their joint statements of 13 July 2007, the European Parliament and the Council also requested the Commission to present information on ongoing and planned evaluations of decentralised agencies, each year at the October budgetary trilogue at the latest. The evaluation of decentralised agencies depends on the legal act applicable. Usually, the responsibility for these evaluations, which are often required every five years, lies with the agency's board. As a follow-up to the joint declaration of July 2007, information on agency evaluations has been included in the individual budgetary financial statements (Part I section 2.2.1).

Application of IIA point 27

Point 27 of the Inter-institutional Agreement of 16 December 2020 on budgetary discipline, cooperation in budgetary matters and on sound financial management foresees a procedure for the two arms of the budgetary authority (Parliament and Council) to assess the budgetary impact of the creation of new agencies and to arrive at a timely agreement on the financing of the agency, as proposed by the Commission.

- Firstly, the Commission shall systematically present any proposal for setting up a new agency to the first trilogue following the adoption of its proposal, and shall present the financial statement accompanying the draft legal act proposing the creation of the agency and shall illustrate the consequences thereof for the remaining period of the financial programming.
- Secondly, during the legislative process, the Commission shall assist the legislator in assessing the financial consequences of the amendments proposed. Those financial consequences should be considered during the relevant legislative trilogues;
- Thirdly, before the conclusion of the legislative process, the Commission shall present an updated financial statement taking into account potential modifications by the legislator; this final financial statement shall be placed on the agenda of the final legislative trilogue and formally endorsed by the legislator. It shall also be placed on the agenda of a subsequent budgetary trilogue (in urgent cases, in simplified form), in view of reaching an agreement on the financing;
- Fourthly, the agreement reached during a trilogue, taking into account the Commission’s budgetary assessment with regard to the content of the legislative process, shall be confirmed in a joint declaration. That agreement shall be subject to approval by the European Parliament and the Council, each in accordance with its own rules of procedure.

The same procedure would be applied to any amendment to a legal act concerning an agency in question which would have an impact on the resources of the agency in question. Should the tasks of an agency be modified substantially without an amendment to the legal act setting up the agency in question, the Commission shall inform the European Parliament and the Council by means of a revised financial statement, so as to allow the European Parliament and the Council to arrive at a timely agreement on the financing of the agency.

2.2 Executive agencies

Firstly, this section recalls the reasons for the setting up of executive agencies, as part of the major Commission reform of 1999 / 2000. The section then provides an overview of the use of executive agencies under the 2021 -2027 multiannual financial framework, on the basis of the ‘delegation package’ adopted by the Commission in February 2021 after having received the positive opinion of the Council’s committee on executive agencies and after transmission to the Budgetary Authority for comments. This section then ends by providing an overview of the executive agencies in terms of budgetary arrangements, staffing and impact on the human resources of the Commission. Individual budgetary financial statements for all executive agencies are presented in Part II section 2.

2.2.1 Executive agencies: context of creation

In December 1999, the Commission undertook a major review of its externalisation policy⁶, in order to correct the shortcomings previously detected due to the poor control of some technical administrative support offices (TAOs, also known under the French acronym of BATs). Essentially, the guidelines aimed to re-focus the Commission's attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, and to define acceptable forms of externalisation, including a new type of implementing bodies: executive agencies.

The principle of executive agencies was already laid down in the recasting of the General Financial Regulation adopted by the Council on 25 June 2002 (Articles 54 and 55 of Regulation (EC, Euratom) No. 1605/2002). Council Regulation (EC) No. 58/2003 of 19 December 2002 ('the Framework Regulation') lays down the statute of executive agencies to which the Commission, under its own control and responsibility, may entrust certain tasks relating to the management of Union programmes' (Article 1 of the Framework Regulation). Furthermore, executive agencies have a 'Standard Financial Regulation' which applies to their own operating budget (Commission Regulation (EC) No. 1653/2004, as last amended by Commission Regulation (EC) No. 651/2008 of 9 July 2008).

The fundamental features of executive agencies are autonomy and dependence:

- Autonomy, as these bodies have their own legal personality and hence the ability to adopt legal acts (such as agreements, contracts and individual decisions) themselves when managing projects; they have their own operating budget and are subject to a separate discharge in respect of its implementation.
- Dependence, as these structures are set up only to perform the tasks entrusted by the Commission,
 - In accordance with strict rules determined by the Commission, laying down the tasks, the conditions and the arrangements for performing them in such a way as to comply with the objectives set by the Commission, and the institutional restrictions in the performance of the tasks, in particular the absence of any margin of discretion implying political choices;
 - With managing organs appointed by the Commission (steering committee and director), and administered, at least in part, by Commission staff, given that positions of responsibility are to be filled by EU officials on secondment; and
 - Under the control and responsibility of the Commission.

2.2.2 Executive agencies: set-up and impact on staffing

All six executive agencies are established for a limited time (the delegation package adopted in February 2021 extended the lifetime to 31 December 2028) and are fully operational as shown in the table below:

Acronym	Name	Fully operational as from
EACEA	European Education and Culture Executive Agency	01/01/2006
EISMEA	European Innovation Council and SMEs Executive Agency	01/01/2006
CINEA	European Climate, Infrastructure and Environment Executive Agency	15/04/2008
REA	European Research Executive Agency	15/06/2009
ERCEA	European Research Council Executive Agency	15/07/2009
HaDEA	European Health and Digital Executive Agency	16/02/2021

⁶ Guidelines for the Commission's externalisation policy; Communication of Mrs Schreyer and Mr Kinnock, SEC (1999) 2051, 14 December 1999.

In this context, 'fully operational' is defined as 'full operational and accounting autonomy', in terms of both management of operational programmes and management of the operating budget of the executive agency. However, executive agencies are already operational before full autonomy is granted, for instance in the launch of the call for proposals. The time needed to plan and set up executive agencies is substantial, due to the need for drafting and getting the delegation instrument agreed, implementing internal control standards, putting in place robust financial circuits, recruiting temporary or contract agents and seconding officials. A key step in this regard is the appointment of the Director of the agency, after which the recruitment of agency personnel can start.

The Framework Regulation 58/2003 (Art. 25) also requires the Commission to draw up an external evaluation report on the first three years of operation of each executive agency. This evaluation, which has to include a cost-benefit analysis, is to be submitted to the steering committee of the executive agency, to the European Parliament, to the Council and to the Court of Auditors. The evaluation then has to be repeated every three years, under the same conditions. More details on external evaluations of executive agencies are given in the budgetary financial statements (section 6.2 of the individual budgetary statements of part II, section 2).

2.2.3 *Executive agencies: location and staffing*

In accordance with the Framework Regulation 58/2003 (Art. 5 § 1), executive agencies are located at the place where the Commission and its departments are located. In practice, this means that the executive agencies are located in Brussels.

Executive agency personnel consists of two main groups, with different characteristics and different recruitment procedures applicable:

- Temporary Agents, either Commission officials seconded to positions of responsibility, or external temporary agents recruited by the agency;
- Contract Agents and Seconded National Experts, recruited by the agency.

As foreseen in the Framework Regulation 58/2003, the creation of executive agencies has a two-fold impact on the Commission human resources:

- 'Freed' posts (Art. 13 § 6c): tasks transferred from Commission services to executive agencies free Commission resources.
- 'Frozen' posts (Art. 18 § 2b): in accordance with Article 38.c of the Staff Regulations, the posts of Commission officials seconded to executive agencies remain vacant in the Commission during their secondment (i.e., the posts are 'frozen'). A corresponding number of posts are without budgetary coverage in the establishment plan of the Commission. This generates a corresponding reduction of administrative appropriations in the Commission budget.

Essentially, the question whether and to what extent the creation of an executive agency leads to freed and frozen posts depends on whether the executive agency takes over the responsibility for implementing a programme from the Commission services; and whether the budget to be managed for a given programme grows significantly, as compared to the past.

2.2.4 *Executive agencies: 2021-2027 multiannual financial framework*

On 12 February 2021, the Commission adopted the decision⁷ establishing the new generation of executive agencies and the corresponding Commission delegating decisions setting out the major components of the mandate and tasks entrusted to them. The effective date of the delegation of tasks to the new generation of executive agencies was 1 April 2021. This delegation package reflects that, based on the successful experience in previous multiannual financial frameworks, the Commission has decided to make greater use of executive agencies for the implementation of the new EU programmes. The Commission has designed the portfolios of the six executive agencies to ensure a strong thematic focus and close alignment with the headline ambitions of the Commission. The new portfolios ensure that each of the executive agencies is of sufficient size to operate efficiently, and allow synergies between EU programmes to be exploited more fully.

The delegation package determined also the necessary staffing levels for each programme and executive agency. These staffing levels were based on the expected delegated budget that will be managed by each of executive agencies and

⁷ Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L 50, 15.2.2021, p. 9).

productivity targets. By ensuring consistency with the staffing levels of the delegation package, the Commission will monitor that these productivity targets and cost savings are reached, as presented in the cost-benefit analysis of the delegation package.

By adding up the programmes financed outside the EU budget and delegated to the executive agencies (i.e. including the Innovation Fund, the Renewable Energy Financing Mechanism and the Just Transition Mechanism 3rd Pillar), the delegation package results in an expected increase of the budget managed by executive agencies in 2021-2027 of 49% in constant prices compared to 2014-2020. The corresponding increase of staff is planned to be 29% to 3 429 FTEs in 2027. The proposed change in staffing for 2022 and the corresponding reduction in reduction of human resources in the Commission is highlighted in section part II, section 1 and in the individual budgetary financial statements of part II.

2.3 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

2.3.1 The European Institute of Innovation and Technology (EIT)

Legal base of EIT

The draft budget request for the EIT is consistent with the budgetary envelope set out in the Horizon Europe programme⁸ and the overall financial programming of Horizon Europe. The legal basis to continue operating the EIT is provided in the EIT Regulation and a new Strategic Innovation Agenda for the EIT⁹.

Tasks

In short, the task of EIT is to reinforce the innovation capacity of the Union and the Member States, by bringing together the best actors operating in the 'knowledge triangle' (higher education, research and innovation).

Creation

The EIT has been created in 2008 (Regulation 294/2008), adopted on the basis of Article 173 TFEU (ordinary legislative procedure).

Governing structure

In terms of budgetary and financial arrangements, EIT follows largely the example of the decentralised agencies as described in section 2.1.2 above. This applies in particular as regards:

- The inclusion of information in the EU budget;
- The budget structure: one article, plus (unlike the decentralised agencies) one article for completion;
- The application of the Framework Financial Regulation (albeit with some derogations, in view of the special character of EIT);
- The single programming document;
- The discharge procedure;
- The principle of periodic evaluations.

EIT has become financially autonomous in June 2011.

2.3.2 Joint undertakings (JU)

Legal bases/ creation

Essentially, joint undertakings are set up to attract public and private knowledge and capital, as public-private partnerships and public-public partnerships with Member States in key areas where research and development could contribute to Europe's wider competitiveness goals and where traditional instruments are not adequate. The bodies will lead to the formation of wide partnerships that will involve a large number of players from industry, including notably SMEs, the research community and wider society.

⁸ Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013

⁹ At the time of finalising this Working Document, the European Parliament and the Council had reached an agreement on the EIT Regulation and the Strategic Innovation Agenda, but both were still pending publication in the Official Journal.

The legal bases for the first generation of joint undertakings and joint technology initiatives were adopted in 2007 and 2008, and were amended in May 2014 (BBI, IMI2, Clean Sky2, FCH2 and ECSEL) and June 2014 (SESAR2 and S2R).

On 23 February 2021, the Commission tabled its main proposal¹⁰ for the new generation of joint undertakings under Horizon Europe. The proposal – still being negotiated at the time of presenting the 2022 Draft Budget – covers nine institutionalised European partnerships based on Article 187 TFEU, some of which are building on existing joint undertakings:

1. **Innovative Health Initiative** (predecessor: IMI2), to create an EU-wide health research and innovation ecosystem that facilitates the translation of scientific knowledge into tangible innovations, from prevention to disease management. It will contribute to reaching the objectives of Europe's Beating Cancer Plan, the new Industrial Strategy for Europe and the Pharmaceutical Strategy for Europe;
2. **Global Health EDCTP3** (new), to deliver new solutions for reducing the burden of infectious diseases in sub-Saharan Africa, and strengthen research capacities to prepare and respond to re-emerging infectious diseases in sub-Saharan Africa and across the world;
3. **Key Digital Technologies** (predecessor: ECSEL), to support the digital transformation of all economic and societal sectors and the European Green Deal, as well as support research and innovation towards the next generation of microprocessors, and help boost competitiveness and Europe's technological sovereignty;
4. **Smart Networks and Services** (new), to support technological sovereignty for smart networks and services in line with the new industrial strategy for Europe, the new EU Cybersecurity Strategy and the 5G Toolbox. It aims to help resolve societal challenges and to enable the digital and green transition, as well as support technologies that will contribute to the economic recovery;
5. **Single European Sky ATM Research 3** (predecessor: SESAR2), to accelerate the technological transformation of air traffic management in Europe, aligning it to the digital age, to make the European airspace the most efficient and environmentally friendly and to support the competitiveness and recovery of Europe's aviation sector post-COVID19;
6. **Clean Aviation** (predecessor: Clean Sky2), to develop the next generation of ultra-efficient low-carbon aircraft, with novel power sources, engines, and systems, improving competitiveness and employment in the aviation sector that will be especially important for the recovery;
7. **Europe's Rail** (predecessor: Shift2Rail), to speed up the development and deployment of innovative technologies, especially digital and automation ones, to achieve the radical transformation of the rail system and deliver on the European Green Deal objectives. By improving competitiveness, it will support European technological leadership in rail;
8. **Clean Hydrogen** (predecessor: FCH2), to accelerate the development and deployment of a European value chain for clean hydrogen technologies. It will focus on producing, distributing and storing clean hydrogen and, on supplying sectors that are hard to decarbonise, such as heavy industries and heavy-duty transport applications;
9. **Circular Bio-based Europe** (predecessor: BBI), to develop and expand the sustainable sourcing and conversion of biomass into bio-based products and to support the deployment of bio-based innovation at regional level with the active involvement of local actors and with a view to reviving rural, coastal and peripheral regions.

These nine joint undertakings to be established under Horizon Europe only come in addition to two entities that may receive a contribution from other programmes of the EU budget:

10. **EuroHPC JU** was created in 2018 to provide a framework for acquisition and access to an integrated world-class pre-exascale supercomputing and data infrastructure in the Union and support the development of latest High Performance Computing and Data Infrastructure technologies and its applications. The joint undertaking became financially autonomous in September 2020. The Commission's proposal¹¹ to continue the EuroHPC JU activities under the 2021-2027 programmes (Horizon Europe, Digital Europe Programme and the Connecting Europe Facility) was still being negotiated at the time of presenting the 2022 Draft Budget.
11. **European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)** was proposed by the Commission in September 2018¹² to stimulate the European cybersecurity technological and industrial ecosystem to coordinate and pool relevant resources in the EU (including contributions from the EU budget under

¹⁰ COM(2021) 87 final.

¹¹ COM(2020) 569 final.

¹² COM(2018)630.

the Digital Europe Programme and Horizon Europe). At the time of presenting the 2022 Draft Budget, the co-legislators had reached a political agreement on the legal basis of the ECCC and the latter was still pending publication in the Official Journal. The ECCC shall adopt its specific financial rules in accordance with Article 70 of the Financial Regulation.

As mentioned above, none of the negotiations on the legal basis of the joint undertakings under Horizon Europe listed above was fully concluded at the time of presenting the 2022 Draft Budget. For this reason, the levels of appropriations and human resources proposed for 2022 are based, as a principle, on the estimates provided in the legislative financial statements accompanying the Commission proposals. When relevant (i.e. when the joint undertaking succeeds to an existing entity), the appropriations and staff for 2022 also provide for the completion of the activities launched under Horizon 2020.

In addition to the information contained in the budgets of the joint undertakings, this Working Document also includes detailed information on these bodies, such as their authorised establishment plans.

Financing structure

The joint undertakings are typically funded by contributions from the EU budget on the one hand and public / private parties on the other (the latter both in appropriations and in kind). This concerns both the operational expenditure and the running costs of the bodies, such as staff and other administrative expenditure.

Model Financial Regulation and Framework Financial Regulation

The Model Financial Regulation applies to bodies established under Article 71 of the Financial Regulation i.e. all the above-mentioned joint undertakings except the European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)¹³.

The Framework Financial Regulation applies to bodies established under Article 70 of the Financial Regulation¹⁴ (i.e. only to the ECCC in the list above). This means that 'the financial rules of [the ECCC] may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'.

Discharge procedure

The bodies established under Article 70 of the Financial Regulation are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

The bodies established under Article 71 of the Financial Regulation are also subject to a discharge procedure as provided for in their respective founding Regulations.

Single Programming Documents

Single Programming Documents are drawn up for the bodies established under Article 70 of the Financial Regulation. They contain multiannual and annual programming. The multiannual programme shall set up overall strategic programming including objectives, expected results and performance indicators and resource programming, including multiannual budget and staff.

Evaluations

The evaluation of the joint undertakings depends on the legal act applicable, bearing in mind also that they are set up for a limited period (typically until 2031, with the exception of ITER – F4E, see 2.2). Information on evaluations of joint undertakings is included in the individual budgetary financial statements (Part II section 2.2).

2.4 Bodies having legal personality created in the field of research and innovation under the Euratom Treaty

2.4.1 Fusion4Energy (F4E)

Tasks

Fusion for Energy (F4E) is the Joint Undertaking to implement an international agreement on the development of Fusion Energy.

Fusion is expected to play an important role in Europe's future energy landscape as a virtually inexhaustible climate-friendly energy source. The ITER project aims at key scientific breakthroughs in the development of fusion.

¹³ While Sesar2 has been established under article 70 of the Financial Regulation, while its successor Single European Sky ATM Research 3 JU falls under article 71.

¹⁴ The same article of the Financial Regulation applies to decentralised agencies.

European participation in ITER project is based on the ITER Agreement signed in November 2006 between seven global partners, Euratom, the United States, the Russian Federation, Japan, China, South Korea and India. ITER represents a unique first-of-a-kind project developed through international scientific collaboration, which allows for the synergies and mobilisation of financial resources that would not be possible for a single state.

The overall architecture of the ITER project calls for contracting parties to operate through Domestic Agencies. Europe is the Host Party of the project according to the ITER Agreement. The establishment of a Fusion for Energy Joint Undertaking under Chapter 5 of the Euratom Treaty was considered in 2007 as the most appropriate solution to operate this role of Domestic Agency and to discharge the Euratom obligations towards the ITER Organization pursuant to the conclusion of the ITER Agreement.

Legal bases/creation

The legal basis for the Fusion for Energy JU has been adopted on 16 March 2007. It establishes the JU for a period of 35 years starting from 19 April 2007. The amendment proposed by the Commission in June 2018¹⁵ to provide for the funding under MFF 2021-2027 was adopted on 22 February 2021¹⁶.

Financing structure

The funding of F4E comes from Euratom contribution, French contribution and Members contributions.

Framework Financial Regulation and Model Financial Regulation

F4E is a body established under Article 70 of the Financial Regulation and the Framework Financial Regulation therefore applies to it. This means that 'the financial rules of F4E may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'.

Discharge procedure

In accordance with Article 70(4) of the Financial Regulation, F4E is subject to discharge for the budget implementation by the European Parliament on the recommendation of the Council.

Single Programming Document

Single Programming Documents are drawn up for F4E as it is a body established under Article 70 of the Financial Regulation.

Evaluations

For the period of funding 2021-2027, an interim evaluation is foreseen to take place no later than 2024, and a final evaluation no later than four years after end of funding period. Information on evaluations of F4E JU is included in the individual budgetary financial statements (Part II section 2.2).

¹⁵ Proposal for a Council Decision amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it COM(2018) 445 of 7 June 2018.

¹⁶ Council Decision (Euratom) 2021/281 of 22 February 2021 amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it

2.5 Types of EU bodies: comparison table

	Decentralised agencies	Joint undertakings and EIT	Executive agencies
Number of EU bodies included in DB 2021	– 35 established decentralised agencies	12 joint undertakings (of which 2 established under Art.70 FR and 10 under Art.71) + EIT	6 executive agencies
Legal base	Dedicated basic acts – currently, 35 decentralised agencies established by Council (Art. 352 TFEU) or Parliament and Council (ordinary legislative procedure)	Dedicated basic acts – Euratom: ITER – F4E – Council Decision / Regulation (Art. 187, 188 TFEU): IMI3, FCH3, Clean Sky3, KDT, BBI2, Shift2Rail2, SESAR3, SNS, GlobalHealth and EuroHPC – Ordinary legislative procedure (Art. 173 TFEU): EIT, ECCC	Basic act for the operational programme whose implementation they support Commission decision in order to delegate the implementation of all or part of an EU programme(s)
Financial Regulation	Framework Financial Regulation for the bodies referred to in Article 70 of the General Financial Regulation	Framework Financial Regulation for the bodies established under Article 70 of the Financial Regulation (ECCC, F4E, EIT); Model Financial Regulation for the bodies established under Article 71 of the Financial Regulation	Standard Financial Regulation for Executive Agencies
Tasks	– Power to adopt binding rules and/or individual decisions with direct legal effect – Provide assistance to the Commission and where necessary to the Member States in the interest of the Union in the form of technical or scientific opinions and/or inspections reports – Focus on networking between national competent authorities and on organising between them in the interest of the Union with a view of gathering, exchanging and comparing information and good practices	– Efficient execution of EU research, technological development and demonstration programmes – Reinforce innovation capacity of the Union and the Member States	Tasks in the management of EU programmes – executive and operational tasks, not involving 'political choices'
Transfer of Commission tasks	In general, no	Partially yes (but pooling with other actors)	Yes (certain implementation tasks), often combined with growing programmes
Procedure for creation			Reference in the explanatory memorandum of the proposal for the basic act of the programme to be managed
Preparatory actions	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Cost-benefit analysis prior to Commission decision covering, in accordance with Art 3§1 of Regulation 58/2003, factors such as identification of tasks justifying outsourcing, cost of coordination & checks, impact on HR, possible savings within the general budgetary framework, efficiency and flexibility in the implementation of tasks outsourced, simplification of the procedures used, proximity of outsourced activities to final beneficiaries, visibility of the Union as promoter of the EU programme concerned and the need to maintain an adequate level of know-how inside the Commission
Involvement of the Budgetary Authority	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 27	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 27	Needs approval from the Committee for Executive Agencies (CREA) and prior information of the budget authority (code of conduct convened with the Parliament as revised in 2007)
Budget – financing structure	Most decentralised agencies: EU funded Exception: EMA, EASA, ECHA, ERA and ACER: combination of EU contributions and self-financing (fees) EUIPO, CPVO, SRB and CdT: fully self-financed (fees) EBA, EIOPA and ESMA: partially co-financed by national public authorities	Joint undertakings: funding from Horizon Europe (plus CEF and Digital Europe for EuroHPC, and Digital Europe for ECCC) together with other external sources (partially in kind) F4E JU is funded from ITER programme (there is also members contributions and ITER Host State contribution) EIT: funding from Horizon Europe	Fully subsidised as a part of the financial envelope (administrative expenditure) of the programmes delegated to the executive agency.

PART I – DECENTRALISED AGENCIES

1 MAIN BUDGETARY TRENDS

This section on 'main budgetary trends' starts with a historical table (section 1.1) showing the evolution, over the period 2014 - 2020, of decentralised agency budget contributions and staff (authorised establishment plans). The historical table is followed by a method for determining the EU contribution to the agencies, taking into account agency assigned revenues and cancellation of appropriations in the budgets of the agencies (section 1.2) and the presentation of the EU contribution and staffing level for 2022 by heading (section 1.4.3). Finally, this part I presents overview tables on Commission budget implementation for decentralised agencies in 2020 (section 1.4) and on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2020, section 1.5).

1.1 Historical tables –2014 - 2020

Tables below show, by financial framework heading, the historical evolution of the decentralised agencies over the period 2014 - 2020, in terms of EU budget contribution to and authorised staff levels of the agencies. These historical tables should be seen as a complement to the overview tables in 1.4 below, which show the EU contribution and staff levels for the years 2021 and 2022.

1.1.1 Historical table 2014-2020: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
European Aviation Safety Agency <i>Authorised establishment plan</i>	02 10 01 (06 02 02)	Köln	2002	34,236 685	36,370 679	36,370 676	35,985 678	36,915 680	37,643 680	37,954 680
European Maritime Safety Agency Of which anti-pollution measures <i>Authorised establishment plan</i>	02 10 02 (06 02 03) (06 02 03 02)	Lisbon	2002	50,453 19,675 210	52,656 20,600 207	53,379 21,600 202	72,359 22,800 212	79,686 24,675 212	78,632 25,050 212	72,026 26,100 212
European Union Agency for Railways <i>Authorised establishment plan</i>	02 10 03 (06 02 04)	Lille Valenciennes	2004	25,007 140	25,613 137	26,000 135	30,000 139	28,135 148	26,500 148	27,440 148
European Union Agency for Network and Information Security (ENISA) <i>Authorised establishment plan</i>	02 10 04 (09 02 03)	Athens	2004	8,821 48	9,156 48	10,120 48	10,322 48	10,529 47	15,910 59	20,535 69
Body of European Regulators for Electronic Communications (BEREC) — Office <i>Authorised establishment plan</i>	02 10 05 (09 02 04)	Riga	2009	4,163 16	4,017 15	4,246 15	4,246 14	4,331 14	5,701 16	7,117 16
Agency for the Cooperation of Energy Regulators (ACER) <i>Authorised establishment plan</i>	02 10 06 (32 02 10)	Ljubljana	2009	10,880 54	11,266 54	15,873 69	13,272 68	13,562 67	16,147 67	16,277 71
European Chemicals Agency — Chemicals legislation <i>Authorised establishment plan</i>	03 10 01 01 (02 03 03)	Helsinki	2006	p.m. 441	p.m. 431	72,805 420	69,490 410	30,517 404	62,880 404	58,827 404
European Chemicals Agency — Activities in the field of biocides legislation <i>Authorised establishment plan</i>	03 10 01 02 (17 04 07)	Helsinki	2011	5,064 48	5,789 47	0,780 39	3,940 44	2,226 47	5,122 50	6,430 52
European Banking Authority (EBA) <i>Authorised establishment plan</i>	03 10 02 (12 02 04)	Paris	2010	13,000 111	12,606 120	14,678 127	14,543 134	15,100 145	19,888 155	17,554 154
European Insurance and Occupational Pensions Authority (EIOPA) <i>Authorised establishment plan</i>	03 10 03 (12 02 05)	Frankfurt	2010	8,866 87	8,206 90	8,257 93	8,946 101	9,365 112	12,443 124	10,447 127
European Securities and Markets Authority (ESMA) <i>Authorised establishment plan</i>	03 10 04 (12 02 06)	Paris	2010	12,618 133	9,703 137	10,203 140	11,020 150	11,768 156	27,282 210	16,633 226
European Union Agency for the Space Programme <i>Authorised establishment plan</i>	04 10 01 (02 05 11)	Prague	2004	24,587 96	26,840 102	28,350 113	27,847 116	31,538 128	32,859 139	34,602 150
Total decentralised agencies – heading 1 <i>Authorised establishment plan</i>				197,695 2 069	202,222 2 067	281,061 2 077	301,969 2 114	273,673 2 160	341,007 2 264	325,842 2 309

1.1.2 Historical table 2014-2020: Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
European Centre for Disease Prevention and Control <i>Authorised establishment plan</i>	06 10 01 (17 03 10)	Stockholm	2004	56,766 194	56,766 190	56,766 186	56,766 182	56,766 180	57,833 180	59,121 180
European Food Safety Authority <i>Authorised establishment plan</i>	06 10 02	Parma	2002	77,333 344	77,333 337	77,333 330	77,333 323	77,333 319	78,102 320	100,018 345
Union contribution to the European Medicines Agency Of which special contribution for orphan medicinal products <i>Authorised establishment plan</i>	06 10 03 01 (17 03 12) 14 10 03 02 (17 03 12 02)	Amsterdam	1993	39,230 6,000 599	33,015 6,800 599	16,524 9,972 602	28,892 13,687 596	32,000 13,105 591	48,801 14,000 591	43,853 10,989 596
European Foundation for the Improvement of Living and Working Conditions <i>Authorised establishment plan</i>	07 10 01 (04 03 11)	Dublin	1975	20,371 99	20,371 97	20,371 95	20,371 93	20,371 91	20,779 91	21,053 91
European Agency for Safety and Health at Work <i>Authorised establishment plan</i>	07 10 02 (04 03 12)	Bilbao	1994	14,095 43	14,679 42	14,679 41	14,679 40	14,973 40	15,273 40	15,507 40
European Centre for the Development of Vocational Training (Cedefop) <i>Authorised establishment plan</i>	07 10 03 (04 03 13)	Thessaloniki	1975	17,434 98	17,434 96	17,434 94	17,434 92	17,434 91	17,434 91	17,815 91
European Union Agency for Fundamental Rights (FRA) <i>Authorised establishment plan</i>	07 10 04 (33 02 06)	Vienna	2007	21,229 75	21,229 73	21,359 74	22,567 72	22,180 72	22,088 72	23,157 72
European Institute for Gender Equality (EIGE) <i>Authorised establishment plan</i>	07 10 05 (33 02 07)	Vilnius	2006	7,340 29	7,628 29	7,628 28	7,628 27	7,781 27	7,937 27	7,667 27
European Training Foundation (ETF) <i>Authorised establishment plan</i>	07 10 06 (04 03 14)	Turin	1990	20,144 94	20,144 92	20,145 90	20,144 88	20,144 86	20,546 86	20,937 86
The European Union's Judicial Cooperation Unit (Eurojust) <i>Authorised establishment plan</i>	07 10 07 (33 03 04)	The Hague	2002	33,665 209	32,994 205	43,540 203	48,379 208	38,607 209	38,136 208	41,340 207
European Public Prosecutor's Office (EPPO) <i>Authorised establishment plan</i>	07 10 08 (33 03 05)	Luxembourg	2019						4,911 37	11,672 58
European Labour Authority (ELA) <i>Authorised establishment plan</i>	07 10 09 (04 03 15)	Bratislava	2019						2,125 16	12,557 20
Total decentralised agencies – heading 2 <i>Authorised establishment plan</i>				307,607 <i>1 784</i>	301,593 <i>1 760</i>	295,779 <i>1 743</i>	314,193 <i>1 721</i>	307,599 <i>1 706</i>	333,965 <i>1 759</i>	374,697 <i>1 813</i>

1.1.3 Historical table 2014-2020: Decentralised agencies of Heading 3 - Natural Resources and Environment

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
European Fisheries Control Agency <i>Authorised establishment plan</i>	08 10 01 (11 06 64)	Vigo	2005	9,217 53	9,217 52	9,217 51	17,113 61	16,813 61	16,747 61	16,737 61
European Chemicals Agency — Environmental directives and International conventions <i>Authorised establishment plan</i>	09 10 01 (07 02 05)	Helsinki	2012	1,297 6	1,222 6	1,151 6	1,183 6	1,096 7	1,564 7	3,034 7
European Environment Agency <i>Authorised establishment plan</i>	09 10 02 (07 02 06)	Copenhagen	1990	36,309 135	36,309 133	36,309 130	36,309 127	37,724 124	39,734 124	41,718 130
Total decentralised agencies – heading 3 <i>Authorised establishment plan</i>				46,823 194	46,748 191	46,677 187	54,605 194	55,634 192	58,045 192	61,489 198

1.1.4 Historical table 2014-2020: Decentralised agencies of Heading 4 - Migration and Border Management

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
European Asylum Support Office (EASO) <i>Authorised establishment plan</i>	10 10 01 (18 03 02)	Valletta	2010	14,656 51	15,586 89	19,440 91	69,206 155	91,971 214	96,686 284	118,327 366
European Border and Coast Guard Agency (Frontex) <i>Authorised establishment plan</i>	11 10 01 (18 02 03)	Warsaw	2004	82,910 152	133,528 227	238,686 225	281,267 352	298,286 418	329,609 859	322,901 1050
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') <i>Authorised establishment plan</i>	11 10 02 (18 02 07)	Tallin - Strasbourg	2011	59,380 120	67,599 120	80,022 118	153,334 131	200,666 136	296,692 172	239,198 202
Total decentralised agencies – heading 4 <i>Authorised establishment plan</i>				156,946 323	216,713 436	338,148 434	503,807 638	590,923 768	722,987 1 315	680,426 1 618

1.1.5 Historical table 2014-2020: Decentralised agencies of Heading 5 – Security and Defence

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
European Union Agency for Law Enforcement Cooperation (Europol) <i>Authorised establishment plan</i>	12 10 01 (18 02 04)	The Hague	1995	84,290 450	94,860 483	102,242 505	114,624 550	122,246 576	138,305 591	147,964 615
European Union agency for law enforcement training (CEPOL) <i>Authorised establishment plan</i>	12 10 02 (18 02 05)	Budapest	2005	8,305 27	8,471 27	8,641 28	9,280 31	9,217 32	9,293 32	7,489 33
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i>	12 10 03 (18 06 02)	Lisbon	1993	14,794 82	14,794 80	14,794 79	15,136 77	15,446 76	15,287 76	16,226 76
Total decentralised agencies – heading 5 <i>Authorised establishment plan</i>				107,389 559	118,125 590	125,677 612	139,040 658	146,909 684	162,885 699	171,679 724

1.1.6 Historical table 2014-2020: Decentralised agencies of Heading 7 – Administration

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
Translation Centre for the Bodies of the European Union <i>Authorised establishment plan</i>	20 10 01 (31 01 10)	Luxembourg	1994	p.m. 203	p.m. 200	p.m. 197	p.m. 195	p.m. 193	p.m. 193	p.m. 193
Total decentralised agencies – heading 7 <i>Authorised establishment plan</i>				p.m. 203	p.m. 200	p.m. 197	p.m. 195	p.m. 193	p.m. 193	p.m. 193

Historical table 2014-2020: Fully self-financed decentralised agencies

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
European Union Intellectual Property Office (EUIPO) <i>Authorised establishment plan</i>	(12 03 01)	Alicante	1993	p.m. 844	p.m. 827	p.m. 810	p.m. 792	p.m. 775	p.m. 775	p.m. 774
Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i>	(17 04 05)	Angers	1994	p.m. 47	p.m. 46	p.m. 45	p.m. 44	p.m. 44	p.m. 43	p.m. 43
Single Resolution Board (SRB) <i>Authorised establishment plan</i>	(12 02 07)	Brussels	2014	p.m. 122	3,300 122	p.m. 230	p.m. 350	p.m. 350	p.m. 400	p.m. 400
Total decentralised agencies - self-financed agencies <i>Authorised establishment plan</i>				p.m. 891	3,300 995	p.m. 1 085	p.m. 1 186	p.m. 1 169	p.m. 1 218	p.m. 1 217

1.1.7 Historical table 2014-2020: Total decentralised agencies

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan						
				2014	2015	2016	2017	2018	2019	2020
Total decentralised agencies <i>Authorised establishment plan</i>				816,460 6 023	888,701 6 239	1 087,342 6 335	1 313,614 6 706	1 374,726 6 872	1 618,889 7 640	1 614,133 8 072
Total decentralised agencies (excl. Fully self-financed agencies) <i>Authorised establishment plan</i>				816,460 5 132	885,401 5 244	1 087,342 5 250	1 313,614 5 520	1 374,726 5 703	1 618,889 6 422	1 614,133 6 855

1.2 Determining the EU contribution: cancellation of appropriations and assigned revenue

In the 2022 draft budget, the Commission continues to deduct assigned revenues stemming from the recovery of decentralised agency surpluses for the year 2020 from the 2022 EU contribution to the agencies in question, so as to adjust the need for ‘fresh appropriations’ entered in the 2022 DB accordingly¹⁷.

Moreover, when assessing the decentralised agency’s needs for the financial year 2022, the Commission has taken into account the cancellation of commitments and payments (including on payment appropriations carried over from 2019) in 2020. In doing so, the Commission responds to the requirements of the Framework Financial Regulation, as revised in 2018¹⁸.

The question whether the cancellation of agency appropriations for the year 2020 was relatively large, has been assessed as follows:

- For under-execution of commitment appropriations of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2020 surplus).
- For cancellation of payment appropriations (including payment appropriations carried over from 2019) of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2020 surplus).
- For both under-execution of commitment appropriations and cancellation of payment appropriations (including payment appropriations carried over from 2019) of more than 5 %, a reduction of 4 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2020 surplus).

Based on this assessment, penalties could be applied as follows:

- 2 % reduction for under-execution of commitment appropriations of more than 5 %
- 2 % reduction for cancellation of payment appropriations of more than 5 %
- 4 % reduction for both under-execution of commitment appropriations and cancellation of payment appropriations of more than 5 %

At the end of 2020, the execution rate for the commitment appropriations of 2 decentralised agencies (Eu-LISA and CEPOL) was below 95%. In relation to the cancellation rate of payment appropriations, 2 decentralised agencies had a cancellation rate of more than 5% (EASO and CEPOL). Following the specific circumstances of the COVID-19 pandemic and the impact thereof on the activities of these agencies, the Commission proposes not to apply a penalty in DB 2022.

1.3 EU contribution and staffing level for 2022

The draft budget request for decentralised agencies is based on a thorough assessment of the resources’ needs of each of the agencies, both for the EU contribution and staffing levels.

Against the backdrop of the indicative amounts for the agencies by policy cluster in the 2021-2027 multiannual financial framework, the draft budget takes into account new or ongoing proposals to extend the mandates of certain agencies, as set out below. The reinforcements requested for specific agencies reflect the decisions of the European Parliament and the Council as legislator, typically to pool tasks at Union level that were previously performed by the Member States, resulting in better coordination and harmonisation.

The proposed level of the EU contribution is EUR 2 476,8 million, of which 2 419,2 million covered by 2022 appropriations. The proposed level of establishment plan posts, excluding fully self-financed agencies is 7 433. Overall, this exercise results in a EUR 396,2 million increase in expenditure compared to the 2021 authorised budget, and an increase by 469 posts in the establishment plans for all (fully or partially) EU-financed decentralised agencies.

The total staffing of all the decentralised agencies combined (excluding the CdT and the fully fee financed agencies i.e. EUIPO, SRB, CVPO) foreseen for 2022 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents¹⁹</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2021	2022	2021	2022	2021	2022	2021	2022
6.964	7.433	2.667	2.975	646	657	10.277	11.065

The estimated breakdown in terms of the EU contribution and staff posts is shown in detail in the tables below:

¹⁷ As foreseen in Art Article 17 paragraph 1 of the Commission delegated Regulation (EU) 2019/715

¹⁸ OJ L 122, 10.5.2019.

¹⁹ The estimated overall number of contract agents is based on the information received from the decentralised agencies, as presented in more detail in the individual budgetary financial statements.

1.3.1 DB 2022 - Decentralised agencies of Heading 1 – Single Market, Innovation and Digital

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget				Draft Budget (DB)				Variation	
				2021				2022				2022 / 2021	
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Foreseen EU contribution			EU contribution	DB / Budget
Total EU contribution	Of which budget	Of which assigned revenues	Total EU contribution		Of which DB	Of which assigned revenues							
European Union Aviation Safety Agency <i>Authorised establishment plan</i>	02 10 01	Köln	2002	213,012	38,900 680	38,900	0,000	214,498	39,678 680	37,325	2,353	2,0%	-4,0%
European Maritime Safety Agency <i>Authorised establishment plan</i>	02 10 02	Lisbon	2002	85,179	82,620 212	80,334	2,286	86,845	84,272 212	82,697	1,576	2,0%	2,9%
European Union Agency for Railways <i>Authorised establishment plan</i>	02 10 03	Lille	2004	29,590	25,763 151	25,704	0,059	30,884	26,278 154	26,164	0,114	2,0%	1,8%
European Union Agency for Cybersecurity (ENISA) <i>Authorised establishment plan</i>	02 10 04	Athens	2004	23,473	22,248 76	21,669	0,579	24,218	23,633 82	22,893	0,740	6,2%	5,7%
Body of European Regulators for Electronic Communications (BEREC) — Office <i>Authorised establishment plan</i>	02 10 05	Riga	2009	7,341	7,283 16	7,250	0,032	7,489	7,428 16	7,338	0,091	2,0%	1,2%
Agency for the Cooperation of Energy Regulators (ACER) <i>Authorised establishment plan</i>	02 10 06	Ljubljana	2009	23,643	14,434 71	14,236	0,198	24,842	14,800 72	14,507	0,293	2,5%	1,9%
European Chemicals Agency — Chemicals legislation <i>Authorised establishment plan</i>	03 10 01 01	Helsinki	2006	93,426	63,615 404	62,261	1,354	96,944	66,722 404	64,146	2,576	4,9%	3,0%
European Chemicals Agency — Activities in the field of biocides legislation <i>Authorised establishment plan</i>	03 10 01 02	Helsinki	2012	13,248	10,348 52	10,213	0,135	11,919	8,100 52	8,100	0,000	-21,7%	-20,7%
European Banking Authority (EBA) <i>Authorised establishment plan</i>	03 10 02	Paris	2010	49,193	18,507 162	17,819	0,687	54,252	18,686 183	18,336	0,350	1,0%	2,9%
European Insurance and Occupational Pensions Authority (EIOPA) <i>Authorised establishment plan</i>	03 10 03	Frankfurt	2010	32,885	12,141 138	12,141	0,000	35,805	12,932 144	12,852	0,080	6,5%	5,9%
European Securities and Markets Authority (ESMA) <i>Authorised establishment plan</i>	03 10 04	Paris	2010	61,559	19,082 250	19,004	0,078	72,326	17,599 243	16,301	1,298	-7,8%	-14,2%
European Union Agency for the Space Programme <i>Authorised establishment plan</i>	04 10 01	Prague	2004	37,008	36,107 191	35,900	0,207	70,061	68,345 231	68,301	0,045	89,3%	90,3%
Total decentralised agencies – heading 1 <i>Authorised establishment plan</i>				669,559	351,048 2 403	345,431	5,617	730,083	388,475 2 473	378,961	9,514	10,7%	9,7%

Main developments in 2022:

– European Network and Information Security Agency (ENISA)

As compared to 2021, the transformation of ENISA into the Cybersecurity Agency requires 3 additional posts in 2022, in line with the Legislative Financial Statement linked to Regulation (EU) 2019/881 of the European Parliament and of the Council on ENISA, the “EU Cybersecurity Agency” and repealing Regulation (EU) 526/2013 and on Information and Communication Technology cybersecurity certification (“Cybersecurity Act”). The Commission’s proposal for Draft Budget 2022 also includes 3 additional posts in 2022 to cope with the additional tasks of the agency under the Commission proposal for the NIS Directive Review (COM(2020)823). The EU contribution for ENISA is reinforced with EUR 775 000 following the transformation of ENISA into the Cybersecurity Agency and with EUR 610 000 following the Commission Proposal for the NIS Directive Review (COM(2020)823).

– Agency for the Cooperation of Energy Regulators (ACER)

In 2022, the agency will continue to collect fees and charges to cover for the costs for ACER tasks under Regulation 1227/2011 (REMIT) whereby the Agency monitors the wholesale energy markets in the Union, draw up, publish maintain and update lists of standard contracts and organised market places, established procedures, standards and electronic formats based on established industry standards, develops technical and organisation requirements for submitting data and assesses whether reporting parties comply with the requirements. The fees and charges allow for an increase in the total budget of the Agency without increasing the EU Contribution. Following the additional tasks from the planned revised Regulation on guidelines for trans-European energy infrastructure (TEN-E) as described in Commission proposal COM(2020)824, the Commission proposes one additional Establishment Plan post for the agency compared to 2021.

– European Banking Authority – EBA

In line with the proposals for a Regulation on Markets in Crypto-assets and on Digital Operational Resilience for the financial sector tasks, the agency will require in 2022 additional resources to start supervising issuers of significant asset-referenced and e-money tokens and information and communication technologies’ third-party providers. In view of its new tasks, the Commission proposes to reinforce the number of establishment plan posts of the agency with 21 posts to a total of 183 posts in Budget 2022. The financial impact of the increased staffing will be fully covered by fees levied from the supervised entities and ICT providers.

– European Insurance and Occupational Pensions Authority – EIOPA

The proposal for a Regulation on Digital Operational Resilience for the financial sector tasks, pending final decision by the co-legislators, will allow EIOPA to contribute to the implementation of the EU strategy for mitigating cyber-attacks and other risks to participants in the financial system. To perform tasks in this area, the agency will require in 2022 additional staff. The Commission therefore proposes to reinforce the number of establishment plan posts of the agency with 6 additional posts to a total of 144 posts in the Budget 2022. The financial impact of the increased staffing will be fully covered by fees levied from the supervised ICT providers.

– European Securities and Markets Authority – ESMA

In line with the proposals for a Regulation on Markets in Crypto-assets and on Digital Operational Resilience for the financial sector tasks, the agency will require 8 establishment plan posts in 2022 to start supervising issuers of significant asset-referenced and e-money tokens and information and communication technologies’ third-party providers. The agency however intends to fill some of the posts foreseen in the Legislative Financial Statements for the ESAs Review and EMIR 2.2 more slowly than initially planned. As a result, the agency plans about 19 establishment posts less (i.e. a total of 243 in the Budget 2022) than the number of posts that could have been allocated for 2022. Due to the delays in the implementation of the ESAs Review, the agency also requests a slightly lower EU contribution than envisaged in the Union financial programming for 2021-2027.

– **European Union Agency for the Space Programme - EUSPA**

Following the adoption of Regulation (EU) 2021/696 of 28 April 2021 establishing the Union Space Programme and the European Union Agency for the Space Programme, the agency's governance has changed and its scope was increased to include new core and delegated tasks. New core tasks include tasks related to security accreditation for the whole EU space programme, operational security tasks for Galileo and EGNOS, new market and communications tasks for Copernicus. New delegated tasks include full scope of Galileo exploitation, GOVSATCOM activities and other activities delegated to the Agency. In order to meet the Space programme objectives, the number of establishment posts will increase in 2022 to the level of 231 establishment posts in 2022 (increase of 40 posts in 2022). The EU contribution will also further increase in 2022 about EUR 12 million in comparison to 2021 in order to pursue the Space programme tasks. The total EU contribution in 2022 will be EUR 68,3 million.

– **European Chemicals Agency (ECHA) - Biocides**

The level of the EU contribution has been increased by EUR 809 000 for the Biocides part of the agency in order to compensate for lower fees planned to be levied.

1.3.2 DB 2022 - Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2021				Draft Budget (DB) 2022				Variation 2022 / 2021	
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Foreseen EU contribution			EU contribution	DB / Budget
					Total EU contribution	Of which budget	Of which assigned revenues		Total EU contribution	Of which DB	Of which assigned revenues		
European Centre for Disease Prevention and Control <i>Authorised establishment plan</i>	06 10 01	Stockholm	2004	139,995	139,995	138,526	1,469	85,773	83,600	80,529	3,071	-40,3%	-41,9%
					208				215			7	
European Food Safety Authority <i>Authorised establishment plan</i>	06 10 02	Parma	2002	125,800	125,800	125,371	0,429	146,212	146,212	145,861	0,351	16,2%	16,3%
					380				405			25	
European Medicines Agency Special contribution for orphan medicinal products <i>Authorised establishment plan</i>	06 10 03 01 06 10 03 02	Amsterdam	2002	385,919	39,990	39,990	0,000	397,547	30,550	26,182	4,368	-23,6%	-34,5%
				14,000	14,000	14,000	0,000	14,000	14,000	14,000	0,000	0,0%	0,0%
					657				662			5	
European Foundation for the Improvement of Living and Working Conditions <i>Authorised establishment plan</i>	07 10 01	Dublin	1975	21,820	21,600	21,600	0,000	22,270	22,051	21,778	0,274	2,1%	0,8%
					91				91			0	
European Agency for Safety and Health at Work <i>Authorised establishment plan</i>	07 10 02	Bilbao	1994	16,114	15,600	15,347	0,253	16,411	15,912	15,660	0,252	2,0%	2,0%
					40				40			0	
European Centre for the Development of Vocational Training (Cedefop) <i>Authorised establishment plan</i>	07 10 03	Thessaloniki	1975	18,489	18,000	17,805	0,195	18,859	18,360	18,233	0,127	2,0%	2,4%
					91				91			0	
European Union Agency for Fundamental Rights (FRA) <i>Authorised establishment plan</i>	07 10 04	Vienna	2007	24,294	23,921	23,750	0,171	24,295	23,748	23,634	0,114	-0,7%	-0,5%
					72				72			0	
European Institute for Gender Equality (EIGE) <i>Authorised establishment plan</i>	07 10 05	Vilnius	2006	9,068	9,068	8,927	0,141	8,258	8,256	7,983	0,273	-9,0%	-10,6%
					27				27			0	
European Training Foundation (ETF) <i>Authorised establishment plan</i>	07 10 06	Turin	1990	21,300	21,300	21,053	0,247	21,726	21,726	21,379	0,347	2,0%	1,5%
					86				86			0	
European Union Agency for Criminal Justice Cooperation (Eurojust) <i>Authorised establishment plan</i>	07 10 07	The Hague	2002	43,798	43,798	42,845	0,953	45,604	45,604	45,424	0,180	4,1%	6,0%
					207				205			-2	
European Public Prosecutor's Office (EPPO) <i>Authorised establishment plan</i>	07 10 08	Luxembourg	2019	44,953	44,953	44,953	0,000	45,852	45,852	45,852	0,000	2,0%	2,0%
					95				95			0	
European Labour Authority (ELA) <i>Authorised establishment plan</i>	07 10 09	Brussels (Bratislava)	2019	24,220	24,220	24,220	0,000	34,690	34,690	34,690	0,000	43,2%	43,2%
					38				57			19	
Total decentralised agencies – heading 2 <i>Authorised establishment plan</i>				889,768	542,244	538,385	3,859	881,497	510,561	501,203	9,358	-5,8%	-6,9%
					1 992				2 046			54	

Main developments in 2022:

– European Centre for Disease Prevention and Control – ECDC

In 2022, following the Commission's adoption of the Health Union Package on 11 November 2020, the ECDC will receive an additional EUR 23.4 million and 24 new staff (55 staff in total so far for the health package). Also for 2022, part of the 2 years staff reinforcement granted to ECDC in 2020 stays (10 contract agents). Comparing to 2021, the EU contribution in total decreases for ECDC, since the 2021 figures depict an exceptional year for the health agencies, with a EUR 106 million one-off reinforcement for ECDC to finance genome sequencing. This shows that in case of health-related emergency, the necessary resources are rapidly allocated to the health agencies.

– European Food Safety Authority – EFSA

2022 will be the first year when the full needs for the General Food Law revision (The Transparency Regulation) are taken into account. Accordingly, with the Legislative Financial Statement linked to that revision, the EU contribution increases for EFSA in 2022 by EUR 18.8 million and the staff by 25 posts and 6 contract agents.

– European Medicines Agency – EMA

In 2022, following the Commission's adoption of the Health Union Package on 11 November 2020, the EMA will receive an additional EUR 22.1 million and 7 new staff members (36 staff in total so far from the health package). Also for 2022, the 2 years staff reinforcement granted to EMA in 2021 stays (additional 40 posts). That increased workload (e.g. vaccine authorisations) was fee-financed. However, the Commission recalls that in general the EU contribution to EMA has a decreasing profile in the new MFF 2021-2027 due to savings resulting from the agency's move to Amsterdam (lower country coefficient).

– European Public Prosecutor's Office – EPPO

For 2022, the Commission proposes to wait for the start of the EPPO's operations on 1 June 2021 before re-assessing the workload of the EPPO. It may result in a proposed adjustment of the EPPO's staff in the autumn amending letter to the DB. Therefore, stable resources compared to 2021 are proposed, both for the EU contribution at EUR 45.8 million and staff (95 posts and 35 contract agents).

– European Labour Authority - ELA

For 2022, an EU contribution of EUR 34.7 million is proposed. Compared with the Legislative Financial Statement attached to the Regulation (EU) 2019/1149 of the European Parliament and of the Council of 20 June 2019 establishing a European Labour Authority, this request takes into consideration the decision to locate the seat of the Agency in Slovakia, including the impact of the correction coefficient, as well as the 10 years free of charge rental arrangement with the Slovak authorities. It represents the best adjustment to the Agency's real needs. The EU contribution will cover the costs related to staff (57 posts, 15 contract agents and 60 SNEs) and the start-up of the operational activities.

1.3.3 DB 2022 - Decentralised agencies of Heading 3 – Natural Resources and Environment

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget				Draft Budget (DB)				Variation	
				2021				2022				2022 / 2021	
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Foreseen EU contribution			EU contribution	DB / Budget
Total EU contribution	Of which budget	Of which assigned revenues	Total EU contribution		Of which DB	Of which assigned revenues							
European Fisheries Control Agency <i>Authorised establishment plan</i>	08 10 01	Vigo	2005	19,000	19,000 65	18,741	0,259	29,340	29,340 77	28,739	0,601	54,4% 12	53,3%
European Chemicals Agency - Environmental directives and International conventions <i>Authorised establishment plan</i>	09 10 01	Helsinki	2012	5,607	5,607 11	5,582	0,025	4,727	4,727 11	4,700	0,027	-15,7% 0	-15,8%
European Environment Agency <i>Authorised establishment plan</i>	09 10 02	Copenhagen	1990	51,371	45,398 140	45,180	0,218	55,891	49,683 150	49,448	0,235	9,4% 10	9,4%
Total decentralised agencies – heading 3 <i>Authorised establishment plan</i>				75,978	70,005 216	69,503	0,503	89,958	83,750 238	82,887	0,863	19,6% 22	19,3%

Main developments in 2022:

– **European Fisheries Control Agency (EFCA)**

For 2022, EFCA is reinforced resulting from the Implementation of the EU-UK Trade and Cooperation Agreement and as presented in the Legislative Financial Statement linked to the revision of the fisheries control system. The EU contribution is proposed at EUR 29.3 million and staff at 93 (77 posts, 11 contract agents and 5 seconded national experts). The reinforcement will help the agency to fulfil its control tasks and increase cooperation between Member States.

– **European Chemicals Agency (ECHA) - Environmental directives and International conventions**

Following the 8th Environment Action Programme, the mandate of the agency and mainly its part dealing with “Environmental directives and International conventions” has increased as of 2021. The agency is establishing a new monitoring and reporting framework which requires 1 TA and 1 CA post for the period 2021-2027. In 2022, the increase in the EU contribution to the agency to cover the increased mandate will be EUR 0.3 million, offset by a compensating reduction of the LIFE programme. The Waste Framework Directive No 2018/851 requires ECHA to develop a new database, for which the agency continues to redeploy 8 contract agents from the ECHA-Chemicals part to ECHA-Environmental directives and International conventions.

– **European Environment Agency (EEA)**

As part of the European Green Deal, two initiatives led to an increased mandate for the agency: the 8th Environment Action Programme and the European Climate law. Following the 8th Environment Action Programme the agency’s staff has been reinforced with 9 establishment plan posts and 6 CA posts already in 2021. The political agreement on the Climate law reached in April 2021 encompasses a new role for the agency. It will set up a new European Scientific Advisory Board on Climate Change within its administrative structure and serve as a secretariat for this Board, including designating the Board’s scientific experts who will provide independent scientific advice. In view of this new role, the agency will be reinforced with 10 additional establishment plan posts and 6 additional CA posts to a total of 150 establishment posts, 86 CA and 20 SNE posts (*SNE posts are not being reinforced*) in Budget 2022. In view of the two proposals, the agency’s financial resources will be increased by EUR 6.6 million in total in 2022, offset by a compensating reduction of the LIFE programme.

1.3.4 DB 2022 - Decentralised agencies of Heading 4 – Migration and Border Management

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2021			Draft Budget (DB) 2022			Variation 2022 / 2021			
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Foreseen EU contribution		EU contribution	DB / Budget		
					Total EU contribution	Of which budget		Of which assigned revenues	Total EU contribution			Of which DB	Of which assigned revenues
European Asylum Support Office (EASO) <i>Authorised establishment plan</i>	10 10 01	Valletta	2010	142,114	142,114 366	137,811	4,304	171,400	171,400 366	153,281	18,119	20,6% 0	11,2%
European Border and Coast Guard Agency (Frontex) <i>Authorised establishment plan</i>	11 10 01	Warsaw	2004	543.522	514.157 1050	505.950	8.207	823.962	769.703 1300	757.794	11.909	49.7%	49.8%
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA') <i>Authorised establishment plan</i>	11 10 02	Tallinn Strasbourg Sankt Johann im Pongau	2011	229.978	229.978 213	228.320	1.658	332.740	332.740 215	329.130	3.611	44,7%	44,2%
Total decentralised agencies – heading 4 <i>Authorised establishment plan</i>				915,614	886,249 1 629	872,081	14,168	1 328,103	1 273,844 1 881	1 240,205	33,639	43,7%	42,2%

Main developments in 2022:

– **European Border and Coast Guard Agency – FRONTEX**

The transformation of Frontex into the European Border and Coast Guard Agency started in 2020 continues in 2022. The proposed EU contribution to the Agency will increase from EUR 514.2 million in 2021 to EUR 769.7 million in 2022. The proposal is based on an increase in staffing of the agency, including the posts required for the creation of a standing corps of border guards (up to 1 300 Establishment plan posts). The proposed level of funding for the agency ensures the agency's core capacity to deliver on the number of border guards (including the build-up of the Standing Corps) and ensures an important capacity to support Member States with returns.

– **European Union Agency for the operational management of large-scale IT systems in the area of freedom, security and justice – eu-LISA**

Eu-LISA receives new tasks under its revised founding Regulation, the Entry-Exit System, ETIAS, Interoperability, the SIS II package and the proposal for Eurodac (COM 2016/468) and Dublin II (COM 2016/270).

The Commission proposes 215 posts, an increase of 2 compared to 2021, as set out in the legislative financial statement annexed to the Commission proposal on a computerised system for communication in cross-border civil and criminal proceedings (e-CODEX system) COM(2020)712. The increase in the EU contribution of EUR 102.8 million (in commitment appropriations) as compared to the 2021 budget reflects the annual profile of the development of the large-scale IT systems, in particular for the Entry/Exit System, ETIAS and the strengthened interoperability of the systems. The draft Budget for 2022 also includes the impact of two Commission proposals, EUR 1 660 000 for the SIS Police amendment COM(2020)791) and EUR 53 000 for the e-CODEX system.

The Draft Budget 2022 for eu-LISA also includes the impact of an agreement between Frontex and eu-LISA on a temporary transfer (3 years from 2022 to end 2024) of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA and the appropriations to finance these CAs. The agreement is neutral to the Draft Budget as the totals foreseen in the Legislative Financial Statement for the ETIAS system remain unchanged.

1.3.5 DB 2022 - Decentralised agency of Heading 5 – Security and Defence

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget				Draft Budget (DB)				Variation	
				2021				2022				2022 / 2021	
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Foreseen EU contribution			EU contribution	DB / Budget
Total EU contribution	Of which budget	Of which assigned revenues	Total EU contribution		Of which DB	Of which assigned revenues							
European Union Agency for Law Enforcement Cooperation (Europol) <i>Authorised establishment plan</i>	12 10 01	The Hague	1995	172,964	172,964	170,601	2,364	192,381	192,381	189,031	3,349	11,2%	10,8%
					615				686			71	
European Union agency for law enforcement training (CEPOL) <i>Authorised establishment plan</i>	12 10 02	Budapest	2005	10,632	10,632	10,420	0,213	10,845	10,845	10,072	0,773	2,0%	-3,3%
					33				33			0	
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i>	12 10 03	Lisbon	1993	17,380	16,614	16,594	0,021	17,728	16,947	16,839	0,108	2,0%	1,5%
					76				76			0	
Total decentralised agencies – heading 5 <i>Authorised establishment plan</i>				200,976	200,211	197,614	2,597	220,954	220,172	215,942	4,230	10,0%	9,3%
					724				795			71	

Main developments in 2022:

– **European Police Office – EUROPOL**

The proposed EU contribution includes an increase of EUR 15.9 million, on top of the inflation correction, compared to 2021, bringing the proposed EU contribution for 2022 to EUR 192.4 million. The increase is based on the legislative financial statement annexed to the Commission proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU)2016/794, as regards Europol's cooperation with private parties, the processing of personal data by Europol in support of criminal investigations and Europol's role on research and innovation. The Commission proposal also entails an increase of 71 establishment plan posts for the agency compared to 2021 (686 establishment plan posts compared to 615 in 2021).

1.3.6 DB 2022 - Decentralised agency of Heading 7 – Administration

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2021			Draft Budget (DB) 2022			Variation 2022 / 2021			
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Foreseen EU contribution		EU contribution	DB / Budget		
					Total EU contribution	Of which budget		Of which assigned revenues	Total EU contribution			Of which DB	Of which assigned revenues
Translation Centre for the Bodies of the European Union <i>Authorised establishment plan</i>	20 10 01	Luxembourg	1994	46,809	0,000	0,000	0,000	45,323	0,000	0,000	0,000	0,0%	0,0%
					193				193			0	
Total decentralised agencies – heading 7 <i>Authorised establishment plan</i>				46,809	0,000	0,000	0,000	45,323	0,000	0,000	0,000	0,0%	0,0%
					193				193			0	

1.3.7 DB 2022 - Fully self-financed decentralised agencies

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2021			Draft Budget (DB) 2022			Variation 2022 / 2021			
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Foreseen EU contribution		EU contribution	DB / Budget		
					Total EU contribution	Of which budget		Of which assigned revenues	Total EU contribution			Of which DB	Of which assigned revenues
European Union Intellectual Property Office (EUIPO) <i>Authorised establishment plan</i>		Alicante	1993	282,575	0,000	0,000	0,000	294,446	0,000	0,000	0,000	0,0%	0,0%
					925				925			0	
Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i>		Angers	1994	19,323	0,000	0,000	0,000	20,270	0,000	0,000	0,000	0,0%	0,0%
					45				48			3	
Single Resolution Board (SRB) <i>Authorised establishment plan</i>		Brussels	2014	9693,339	0,000	0,000	0,000	11546,690	0,000	0,000	0,000	0,0%	0,0%
					450				450			0	
Total self-financed decentralised agencies <i>Authorised establishment plan</i>				9995,237	0,000	0,000	0,000	11861,406	0,000	0,000	0,000	0,0%	0,0%
					1420				1423			3	

1.3.8 DB 2022 - Total of decentralised agencies

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2021				Draft Budget (DB) 2022				Variation 2022 / 2021	
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Foreseen EU contribution			EU contribution	DB / Budget
					Total EU contribution	Of which budget	Of which assigned revenues		Total EU contribution	Of which DB	Of which assigned revenues		
Total decentralised agencies <i>Authorised establishment plan</i>				2973,5	2023,0 8.577	1966,0	57,0	15063,6	2419,2 9.049	2362,2	57,0	19,6% 472	20,2%
Of which agencies with EU contribution (excl. EUIPO, CPVO, SRB) <i>Authorised establishment plan</i>				2673,7	2023,0 7.157	1966,0	57,0	3202,2	2419,2 7.626	2362,2	57,0	19,6% 469	20,2%
Of which fully self-financed (EUIPO, CPVO, SRB) <i>Authorised establishment plan</i>				299,8	0,000 1420	0,000	0,000	11861,4	0,000 1423	0,000	0,000	0,0% 3	0,0%

1.4 Table Commission budget implementation for decentralised agencies 2020

1.4.1 Budget implementation 2020: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

(in million EUR)

Name of the decentralised agency	Budget line		Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	From 2021	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Union Aviation Safety Agency	06 02 02	02 10 01	38,884	38,884	38,884	37,954	0,930	38,884	37,954	0,930	0,000	0,000	0,000	0,000
European Maritime Safety Agency	06 02 03 01	02 10 02	82,140	83,261	79,854	72,026	7,828	80,975	73,115	7,860	2,286	2,286	0,000	0,000
European Maritime Safety Agency — Anti-pollution measures	06 02 03 02		28,292	28,292	28,232	27,440	0,792	28,232	27,440	0,792	0,059	0,059	0,000	0,000
European Union Agency for Railways	06 02 04	02 10 03	21,728	21,728	21,149	20,535	0,614	21,149	20,535	0,614	0,579	0,579	0,000	0,000
European Union Agency for Cybersecurity (ENISA)	09 02 03	02 10 04	7,173	7,173	7,140	7,117	0,023	7,140	7,117	0,023	0,032	0,032	0,000	0,000
Body of European Regulators for Electronic Communications (BEREC) — Office	09 02 04	02 10 05	17,496	17,496	17,297	16,278	1,019	17,297	16,707	0,591	0,198	0,198	0,000	0,000
Agency for the Cooperation of Energy Regulators (ACER)	32 02 10	02 10 06	38,884	38,884	38,884	37,954	0,930	38,884	37,954	0,930	0,000	0,000	0,000	0,000
European Chemicals Agency — Chemicals legislation	02 03 03	03 10 01 01	64,674	64,674	63,321	58,828	4,493	63,321	58,828	4,493	1,354	1,354	0,000	0,000
European Chemicals Agency — Activities in the field of biocides legislation	17 04 07	03 10 01 02	7,301	7,301	7,166	6,431	0,735	7,166	6,431	0,735	0,135	0,135	0,000	0,000
European Banking Authority (EBA)	12 02 04	03 10 02	18,841	18,841	17,814	17,555	0,259	17,814	17,555	0,259	1,027	1,027	0,000	0,000
European Insurance and Occupational Pensions Authority (EIOPA)	12 02 05	03 10 03	10,552	10,552	10,552	10,448	0,104	10,552	10,448	0,104	0,000	0,000	0,000	0,000
European Securities and Markets Authority (ESMA)	12 02 06	03 10 04	17,158	17,158	16,963	16,634	0,329	16,963	16,634	0,329	0,195	0,195	0,000	0,000
European Union Agency for the Space Programme	02 05 11	04 10 01	35,657	35,657	35,449	34,603	0,847	35,449	34,603	0,847	0,207	0,207	0,000	0,000
Total decentralised agencies – heading 1			355,182	354,220	323,660	307,975	15,685	324,843	309,187	15,656	10,858	10,858	20,664	18,520

1.4.2 Budget implementation 2020: Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

(in million EUR)

Name of the decentralised agency	Budget line		Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	From 2021	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Centre for Disease Prevention and Control	17 03 10	06 10 01	63,960	63,960	62,491	59,122	3,369	62,490	59,121	3,369	1,469	1,469	0,000	0,001
European Food Safety Authority	17 03 11	06 10 02	103,421	99,278	102,992	100,019	2,973	98,848	95,973	2,876	0,429	0,429	0,000	0,000
European Medicines Agency	17 03 12 01	06 10 03 01	47,506	47,506	47,506	32,864	14,643	47,506	32,864	14,643	0,000	0,000	0,000	0,000
Special contribution for orphan medicinal products	17 03 12 02	06 10 03 02	11,374	11,374	11,374	10,989	0,385	11,374	10,989	0,385	0,000	0,000	0,000	0,000
European Foundation for the Improvement of Living and Working Conditions	04 03 11	07 10 01	21,19	21,19	21,19	21,05	0,14	21,19	21,05	0,14	0,00	0,00	0,00	0,00
European Agency for Safety and Health at Work	04 03 12	07 10 02	16,21	15,77	15,96	15,51	0,45	15,51	15,06	0,45	0,25	0,25	0,00	0,00
European Centre for the Development of Vocational Training (Cedefop)	04 03 13	07 10 03	17,84	16,76	17,84	17,82	0,02	16,74	16,74	0,00	0,00	0,02	0,00	0,00
European Union Agency for Fundamental Rights (FRA)	33 02 06	07 10 04	23,86	23,86	23,69	23,16	0,53	23,69	23,16	0,53	0,17	0,17	0,00	0,00
European Institute for Gender Equality (EIGE)	33 02 07	07 10 05	7,89	7,89	7,75	7,67	0,08	7,75	7,67	0,08	0,14	0,14	0,00	0,00
European Training Foundation (ETF)	04 03 14	07 10 06	21,20	20,65	20,94	20,94	0,00	20,40	20,38	0,02	0,27	0,25	0,00	0,00
European Union Agency for Criminal Justice Cooperation (Eurojust)	33 03 04	07 10 07	42,65	42,50	41,70	41,34	0,36	41,55	41,19	0,36	0,95	0,95	0,00	0,00
European Public Prosecutor's Office (EPPO)	33 03 05	07 10 08	11,67	11,67	11,67	11,67	0,00	9,71	9,71	0,00	0,00	0,00	0,00	1,96
European Labour Authority (ELA)	04 03 15	07 10 09	12,58	3,17	11,67	11,67	0,00	2,72	2,72	0,00	0,00	0,00	0,91	0,45
Total decentralised agencies – heading 2			401,368	385,586	396,772	373,810	22,962	379,487	356,625	22,862	3,685	3,687	0,911	2,411

1.4.3 Budget implementation 2020: Decentralised agencies of Heading 3 – Natural Resources and Environment

(in million EUR)

Name of the decentralised agency	Budget line		Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	From 2021	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Fisheries Control Agency	11 06 64	08 10 01	17,159	17,159	16,900	16,737	0,163	16,900	16,737	0,163	0,259	0,259	0,000	0,000
European Chemicals Agency - Environmental directives and International conventions	07 02 05	09 10 01	3,082	3,082	3,057	3,034	0,023	3,057	3,034	0,023	0,025	0,025	0,000	0,000
European Environment Agency	07 02 06	09 10 02	47,829	47,829	47,611	41,719	5,892	47,611	41,719	5,892	0,218	0,218	0,000	0,000
Total decentralised agencies – heading			68,071	68,071	67,568	61,490	6,078	67,568	61,490	6,078	0,503	0,503	0,000	0,000

1.4.4 Budget implementation 2020: Decentralised agency of Heading 4 – Migration and Border Management

(in million EUR)

Name of the decentralised agency	Budget line		Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	From 2021	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Asylum Support Office (EASO)	18 03 02	10 10 01	128,38	128,38	124,07	118,33	5,75	124,07	118,33	5,75	4,30	4,30	0,00	0,00
European Border and Coast Guard Agency (Frontex)	18 02 03	11 10 01	347,43	347,43	339,24	322,90	16,34	339,24	322,90	16,34	8,18	8,18	0,00	0,00
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')	18 02 07	11 10 02	241,78	235,04	240,12	239,20	0,92	233,38	232,46	0,92	1,66	1,66	0,00	0,00
Total decentralised agencies – heading 4			717,58	710,84	703,43	680,43	23,00	696,70	673,69	23,00	14,15	14,15	0,00	0,00

1.4.5 Budget implementation 2020: Decentralised agency of Heading 5 – Security and Defence

(in million EUR)

Name of the decentralised agency	Budget line		Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	From 2021	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Union Agency for Law Enforcement Cooperation (Europol)	18 02 04	12 10 01	151,435	151,435	149,072	147,965	1,107	149,072	147,965	1,107	2,364	2,364	0,000	0,000
European Union agency for law enforcement training (CEPOL)	18 02 05	12 10 02	8,042	8,042	7,829	7,490	0,339	7,829	7,490	0,339	0,213	0,213	0,000	0,000
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	18 06 02	12 10 03	16,309	16,309	16,289	16,266	0,022	16,289	16,266	0,022	0,021	0,021	0,000	0,000
Total decentralised agencies – heading 5			175,79	175,79	173,19	171,72	1,47	173,19	171,72	1,47	2,60	2,60	0,00	0,00

1.4.6 Budget implementation 2020: Decentralised agency of Heading 7 – Administration

(in million EUR)

Name of the decentralised agency	Budget line		Authorised appropriations 2019		Commitments made 2019			Payments made 2019			Carry-over of assigned revenue to 2020		Appropriations cancelled 2019	
	Up to 2020	From 2021	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Translation Centre for the Bodies of the European Union	31 01 10	20 10 01	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000
Total decentralised agencies – heading 7			0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000

1.4.7 Budget implementation 2020: Decentralised agencies – Total

(in million EUR)

Name of the decentralised agency	Budget line		Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	From 2021	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Total decentralised agencies			1712,698	1691,302	1684,783	1613,297	71,486	1661,885	1590,895	70,990	27,003	27,005	0,911	2,411

The budget implementation tables for decentralised agencies should be read as follows:

Authorised appropriations include voted budget appropriations as well as assigned revenues (both EFTA credits and recovery of agency surpluses from previous years);

Authorised appropriations 2020 minus commitments (or payments) made in 2020 minus carry-over of assigned revenue to 2021 leads to appropriations cancelled (on the Commission side);

The carry-over of assigned revenue to 2021 (stemming from the recovery of agency surpluses for the financial year 2020) has been taken into account when calculating the EU contribution for 2022 (see also tables 1.4 and DB 2021 Working Document III).

All budget implementation 2020 data in this document are based on provisional accounting closure (April 2021).

1.5 Table decentralised agency staffing 2020

1.5.1 Staffing 2020: Decentralised agencies of Heading 1 - Single Market, Innovation and Digital

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
European Union Aviation Safety Agency	2002	680	639	106	88	24	15	810	742
European Maritime Safety Agency	2002	212	207	33	30	18	14	263	251
European Union Agency for Railways	2004	148	144	36	29	4	1	188	174
European Union Agency for Cybersecurity (ENISA)	2004	69	62	30	29	12	8	111	99
Body of European Regulators for Electronic Communications (BEREC) — Office	2009	16	14	13	20	6	9	35	43
Agency for the Cooperation of Energy Regulators (ACER)	2009	71	71	33	30	4	4	108	105
European Chemicals Agency — Chemicals legislation	2006	404	394	102	103	13	2	519	499
European Chemicals Agency — Activities in the field of biocides legislation	2012	52	50	15	14	2	1	69	65
European Banking Authority (EBA)	2010	154	151	47	46	17	15	218	212
European Insurance and Occupational Pensions Authority (EIOPA)	2010	127	127	39	29	27	19	193	175
European Securities and Markets Authority (ESMA)	2010	226	172	73	68	32	10	331	250
European Union Agency for the Space Programme	2004	150	150	34	62	2	7	186	219
Total decentralised agencies – heading 1		2 309	2 181	561	548	161	105	3 031	2 834

1.5.2 *Staffing 2020: Decentralised agencies of Heading 2 - Cohesion, Resilience and Values*

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
European Centre for Disease Prevention and Control	2004	180	173	120	112	5	3	305	288
European Food Safety Authority	2002	354	345	139	122	15	14	508	481
European Medicines Agency	1993	596	596	213	199	45	28	854	823
European Foundation for the Improvement of Living and Working Conditions	1975	91	87	13	11	1	0	105	98
European Agency for Safety and Health at Work	1994	40	39	25	24	0	0	65	63
European Centre for the Development of Vocational Training (Cedefop)	1975	91	88	25	21	4	3	120	112
European Union Agency for Fundamental Rights (FRA)	2007	72	70	32	30	9	5	113	105
European Institute for Gender Equality (EIGE)	2006	27	27	10	12	5	2	42	41
European Training Foundation (ETF)	1990	86	85	42	41	0	0	128	126
European Union Agency for Criminal Justice Cooperation (Eurojust)	2002	207	205	16	18	21	19	244	242
European Public Prosecutor's Office (EPPO)	2017	58	55	5	7	0	0	63	62
European Labour Authority (ELA)	2019	20	15	15	8	30	18	65	23
Total decentralised agencies – heading 2		1822	1785	655	605	135	92	2612	2482

1.5.3 *Staffing 2020: Decentralised agencies of Heading 3 – Natural Resources and Environment*

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
European Fisheries Control Agency	2005	61	60	5	10	4	6	70	76
European Chemicals Agency - Environmental directives and International conventions	2012	7	7	2	2			9	9
European Environment Agency	1990	130	126	72	71	20	12	222	209
Total decentralised agencies – heading 3		198	193	79	83	24	18	301	294

1.5.4 *Staffing 2020: Decentralised agency of Heading 4 – Migration and Border Management*

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
European Asylum Support Office (EASO)	2010	366	306	123	61	11	8	500	375
European Border and Coast Guard Agency (Frontex)	2004	1050	662	730	372	220	184	2 000	1 218
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')	2011	202	181	111	83	11	10	324	274
Total decentralised agencies – heading 4		1 618	1 149	964	516	242	202	2 824	1 867

1.5.5 *Staffing 2020: Decentralised agency of Heading 5 – Security and Defence*

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
European Union Agency for Law Enforcement Cooperation (Europol)	1995	615	609	188	191	71	53	874	853
European Union Agency for Law Enforcement Training (CEPOL)	2005	33	31	19	17	3	6	55	54
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	1993	76	73	34	34	1	1	111	108
Total decentralised agencies – heading 5		724	713	241	242	75	60	1 040	1 015

1.5.6 *Staffing 2020: Decentralised agency of Heading 7 – Administration*

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
Translation Centre for the Bodies of the European Union	1994	193	185	28	19	0	0	221	204
Total decentralised agencies – heading 7		193	185	28	19	0	0	221	204

1.5.7 *Staffing 2020: Self-financed decentralised agencies*

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
European Union Intellectual Property Office (EUIPO)	1993	925	848	202	193	68	53	1 195	1 094
Community Plant Variety Office (CPVO)	1994	43	44	5	4	0	0	48	48
Single Resolution Board (SRB)	2014	400	372	0	0	35	19	435	391
Total decentralised agencies		1 368	1 264	207	197	103	72	1 678	1 533

1.5.8 *Staffing 2020: Decentralised agencies – Total*

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
Total decentralised agencies		8 232	7 470	2 735	2 210	740	549	11 707	10 589
<i>Total decentralised agencies (excl. fully self-financed agencies)</i>		6 864	6 206	2 528	2 013	637	477	10 029	9 056

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

The individual budgetary financial statements include, by agency, information on the set-up of the agency, the EU contribution to and the budget of the agency (revenue and expenditure), the estimated surplus of 2020, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1 Decentralised agencies of Heading 1 – Single Market, Innovation and Digital

2.1.1 European Union Aviation Safety Agency – EASA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
BASIC REGULATION: Regulation (EU) No 2018/1139 of the European Parliament and of the Council on common rules in the field of civil aviation and establishing a European Union Aviation Safety Agency, and amending Regulations (EC) No 2111/2005, (EC) No 1008/2008, (EU) No 996/2010, (EU) No 376/2014 and Directives 2014/30/EU of the European Parliament and of the Council, and repealing Regulations (EC) No 552/2004 and (EC) No 216/2008 of the European Parliament and of the Council and Council Regulation (EEC) No 3922/91	04 July 2018 11 September 2018 (Entry into force)	The Regulation consolidates the scope of EU competence to cover the full spectrum of the aviation landscape and reinforce the European aviation system as a whole. EASA's mandate continues to cover aviation safety management, airworthiness and environmental protection, aircrew, air operations, aerodromes, air traffic management (ATM) and air navigation services (ANS), and aircraft used by a third-country operators into, within or out of the EU. In addition, new technical areas are added, like unmanned aircraft, ground handling and aviation security (specifically cybersecurity). EASA's role is also reinforced in areas, such as environmental protection, research and development, or international cooperation. Furthermore, the Regulation provides new tools, such as a possibility for some products and activities that would be normally excluded from the scope of the Regulation to be included (opt-ins) and for some products that would normally in the scope to be excluded from it (opt-out). It also allows the transfer of competent authority responsibilities between MS or from MS to EASA.
Regulation (EC) No 2111/2005 on the establishment of a Community list of air carriers subject to an operating ban within the Community and on informing air transport passengers of the identity of the operating air carrier.	14 December 2005 16 January 2006 (Entry into force)	EASA shall communicate to the Commission all information that may be relevant in the context of updating the Community list as well as bring the Community list to the attention of passengers.
Regulation (EU) No 996/2010 of the European Parliament and of the Council. On the investigation and prevention of accident and incidents in civil aviation,	20 October 2010 02 December 2010 (Entry into force)	EASA participates in safety investigations and in cooperation with the competent authorities of the Member States in the exchange and analysis of information within the occurrence reporting systems
Regulation EU No 376/2014 of the European Parliament and of the Council. On the reporting, analysis and follow-up of occurrences in civil aviation	03 April 2014 15 November 2015 (Applicability date)	EASA is involved in the exchange, evaluation, processing or analysis of occurrences that have a significant role to play in the identification of safety hazards and safety deficiencies. EASA shall establish mandatory and voluntary reporting systems to facilitate the collection of details of occurrences. The Agency and the Commission should provide technical support for the interoperability of the Aircraft Incident Reporting Systems (ECCAIRS) and with the ADREP taxonomy

1.2 Seat

EASA is located in Cologne, Germany

1.3 Budget Line

02 10 01 : European Union Aviation Safety Agency (EASA)

1.4 Human Resources overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

1.4.1 Human Resources overview EASA

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	566	532	93,99%	566	566
Assistants (AST)	114	107	93,86%	112	112
Assistants/Secretaries (AST/SC)				2	2
ESTABLISHMENT PLAN POSTS	680	639	93,97%	680	680
Contract Agents (CA)	106	88	83,02%	106	105
Seconded National Experts (SNE)	24	15	62,50%	24	24
TOTAL STAFF	810	742	91,60%	810	809

1.4.2 Human Resources overview (Fees and charges)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	383	362	94,52%	383	383
Assistants (AST)	71	70	98,59%	70	70
Assistants/Secretaries (AST/SC)				1	1
ESTABLISHMENT PLAN POSTS	454	432	95,15%	454	454
Contract Agents (CA)	62	52	83,87%	62	62
Seconded National Experts (SNE)					
TOTAL STAFF	516	484	93,80%	516	516

1.4.3 Human Resources overview (EU Contribution)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	183	170	92,90%	183	183
Assistants (AST)	43	37	86,05%	42	42
Assistants/Secretaries (AST/SC)				1	1
ESTABLISHMENT PLAN POSTS	226	207	91,59%	226	226
Contract Agents (CA)	44	36	81,82%	44	43
Seconded National Experts (SNE)	24	15	62,50%	24	24
TOTAL STAFF	294	258	87,76%	294	293

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	38 900 000	39 678 000
Other Revenue	174 112 000	174 820 000
TOTAL REVENUES	213 012 000	214 498 000

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)	p.m.	p.m.
Additional EU funding stemming from contribution agreements (FFR Art.7)	p.m.	p.m.
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview EASA

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	96 698 000	96 698 000	96 667 000	96 667 000
Title 2 - Infrastructure and operating expenditure	23 230 000	23 230 000	23 518 000	23 518 000
Title 3 - Operational expenditure	93084000	93085000	94313000	94313000
TOTAL EXPENDITURE	213 012 000	213 013 000	214 498 000	214 498 000

1.5.2.2 Expenditure Overview (Fees and charges)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	67 687 000	67 687 000	67 493 000	67 493 000
Title 2 - Infrastructure and operating expenditure	15 523 000	15 523 000	15 667 000	15 667 000
Title 3 - Operational expenditure	87 872 000	87 872 000	88 531 000	88 531 000
TOTAL EXPENDITURE	171 082 000	171 082 000	171 691 000	171 691 000

1.5.2.3 Expenditure Overview (EU Contribution)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	29 011 000	29 011 000	29 174 000	29 174 000
Title 2 - Infrastructure and operating expenditure	7 707 000	7 707 000	7 851 000	7 851 000
Title 3 - Operational expenditure	5 212 000	5 213 000	5 782 000	5 782 000
TOTAL EXPENDITURE	41 930 000	41 931 000	42 807 000	42 807 000

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts EASA

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		25		2		25		25		25
AD 13		33		5		33		33		33
AD 12		66		16		66		66		66
AD 11		88		45		88		88		88
AD 10		110		74		110		110		110
AD 9		120		129		120		120		120
AD 8		78		116		78		78		78
AD 7		32		70		32		32		32
AD 6		11		49		11		11		11
AD 5		2		25		2		2		2
AD TOTAL		566		532		566		566		566
AST 11										
AST 10										
AST 9		1				1		1		1
AST 8		3		1		3		3		3
AST 7		11		1		11		11		11
AST 6		27		14		27		27		27
AST 5		30		37		28		28		28
AST 4		25		30		25		25		25
AST 3		15		18		15		15		15
AST 2		2		6		2		2		2
AST 1										
AST TOTAL		114		107		112		112		112
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3						1		1		1
AST/SC 2						1		1		1
AST/SC 1										
AST/SC TOTAL						2		2		2
TOTAL		680		639		680		680		680
GRAND TOTAL		680		639		680		680		680

2.1.2 Establishment plan posts (Fees and charges)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		15		1		15		15		15
AD 13		24		3		24		24		24
AD 12		48		12		48		48		48
AD 11		69		37		69		69		69
AD 10		73		45		73		73		73
AD 9		67		76		67		67		67
AD 8		52		83		52		52		52
AD 7		25		54		25		25		25
AD 6		8		33		8		8		8
AD 5		1		17		1		1		1
AD TOTAL		383		362		383		383		383
AST 11										
AST 10										
AST 9		1				1		1		1
AST 8		1		1		1		1		1
AST 7		11				11		11		11
AST 6		10		6		10		10		10
AST 5		20		27		19		19		19
AST 4		17		18		17		17		17
AST 3		10		15		10		10		10
AST 2		1		3		1		1		1
AST 1										
AST TOTAL		71		70		70		70		70
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3						1		1		1
AST/SC 2										
AST/SC 1										
AST/SC TOTAL						1		1		1
TOTAL		454		432		454		454		454
GRAND TOTAL	454		432		454		454		454	

2.1.3 Establishment plan posts (EU Contribution)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		10		1		10		10		10
AD 13		9		2		9		9		9
AD 12		18		4		18		18		18
AD 11		19		8		19		19		19
AD 10		37		29		37		37		37
AD 9		53		53		53		53		53
AD 8		26		33		26		26		26
AD 7		7		16		7		7		7
AD 6		3		16		3		3		3
AD 5		1		8		1		1		1
AD TOTAL		183		170		183		183		183
AST 11										
AST 10										
AST 9										
AST 8		2				2		2		2
AST 7				1						
AST 6		17		8		17		17		17
AST 5		10		10		9		9		9
AST 4		8		12		8		8		8
AST 3		5		3		5		5		5
AST 2		1		3		1		1		1
AST 1										
AST TOTAL		43		37		42		42		42
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2						1		1		1
AST/SC 1										
AST/SC TOTAL						1		1		1
TOTAL		226		207		226		226		226
GRAND TOTAL	226		207		226		226		226	

2.2 External personnel

2.2.1 External personnel EASA

2.2.1.1 Contract Agents EASA

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	36	28	36	36
Function Group III	68	60	68	68
Function Group II	2		2	1
Function Group I				
TOTAL	106	88	106	105

2.2.1.2 Seconded National Experts EASA

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	24	15	24	24

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	21	11	21	21
Function Group III	40	41	40	40
Function Group II	1		1	1
Function Group I				
TOTAL	62	52	62	62

2.2.2.2 Seconded National Experts (Fees and charges)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	15	17	15	15
Function Group III	28	19	28	28
Function Group II	1		1	
Function Group I				
TOTAL	44	36	44	43

2.2.3.2 Seconded National Experts (EU Contribution)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	24	15	24	24

3 Financial Resources

3.1 Revenues EASA

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	106 504 271	109 791 000	111 686 000	111 686 000	1,73%
2 EU CONTRIBUTION	37 954 000	38 900 000	39 678 000	39 678 000	2,00%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>			2 352 620	2 352 620	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 362 574	2 649 000	2 648 000	2 648 000	-0,04%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>	2 362 574	2 649 000	2 648 000	2 648 000	-0,04%
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	559 873	510 000	515 000	515 000	0,98%
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	492 396	450 000	450 000	450 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES	51 502 000	60 712 000	59 521 000	59 521 000	-1,96%
TOTAL	199 375 114	213 012 000	214 498 000	214 498 000	0,70%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	3 202 050	p.m.	p.m.	p.m.	
Additional EU funding stemming from contribution agreements (FFR Art.7)	22 093 255	p.m.	p.m.	p.m.	
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	25 295 305	p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Expenditure EASA

3.2.1.1 Commitment appropriations EASA

EXPENDITURE	Commitment appropriations EASA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	97 156 000	96 698 000	96 667 000	96 667 000	-0,03%
Salaries & allowances	82 743 472	82 801 000	82 867 000	82 867 000	0,08%
- Of which establishment plan posts	76 198 873	76 521 000	76 394 000	76 394 000	-0,17%
- Of which external personnel	6 544 599	6 280 000	6 473 000	6 473 000	3,07%
Expenditure relating to Staff recruitment	814 059	582 000	348 000	348 000	-40,21%
Employer's pension contributions	8 532 649	8 000 000	8 200 000	8 200 000	2,50%
Mission expenses					
Socio-medical infrastructure	186 619	250 000	270 000	270 000	8,00%
Training	138 207	600 000	600 000	600 000	0,00%
External Services	59 057	100 000	100 000	100 000	0,00%
Receptions, events and representation	50 733	83 000	88 000	88 000	6,02%
Social welfare	4 631 204	4 282 000	4 194 000	4 194 000	-2,06%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	19 272 816	23 230 000	23 518 000	23 518 000	1,24%
Rental of buildings and associated costs	9 583 652	10 005 000	10 185 000	10 185 000	1,80%
Information, communication technology and data processing	8 664 736	11 944 000	12 001 000	12 001 000	0,48%
Movable property and associated costs	132 054	201 000	201 000	201 000	0,00%
Current administrative expenditure	779 925	905 000	955 000	955 000	5,52%
Postage / Telecommunications	112 449	175 000	176 000	176 000	0,57%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	39 313 183	93 084 000	94 313 000	94 313 000	1,32%
Certification activities	18 125 910	22 810 000	23 977 000	23 977 000	5,12%
Standardisation activities	105 167	97 000	112 000	112 000	15,46%
Development data base	972 045	1 319 000	1 978 000	1 978 000	49,96%
Communication and publication	213 301	469 000	425 000	425 000	-9,38%
Meeting expenses	147 381	595 000	862 000	862 000	44,87%
Translations and interpretation costs	11 469				
Rulemaking activities	1 549 006	964 000	1 254 000	1 254 000	30,08%
Mission,entertainment and representation expenditure	1 318 726	4 998 000	5 851 000	5 851 000	17,07%

EXPENDITURE	Commitment appropriations EASA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Technical training	308 852	715 000	715 000	715 000	0,00%
ED activities	1 711 599	5 396 000	4 242 000	4 242 000	-21,39%
Special Operations Programmes	14 849 727				
Other expenditure		55 721 000	54 897 000	54 897 000	-1,48%
TOTAL	155 741 999	213 012 000	214 498 000	214 498 000	0,70%

3.2.1.2 Payment appropriations EASA

EXPENDITURE	Payment appropriations EASA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	96 862 890	96 698 000	96 667 000	96 667 000	-0,03%
Salaries & allowances	82 530 171	82 801 000	82 867 000	82 867 000	0,08%
- Of which establishment plan posts	75 996 501	76 521 000	76 394 000	76 394 000	-0,17%
- Of which external personnel	6 533 670	6 280 000	6 473 000	6 473 000	3,07%
Expenditure relating to Staff recruitment	777 782	582 000	348 000	348 000	-40,21%
Employer's pension contributions	8 532 649	8 000 000	8 200 000	8 200 000	2,50%
Mission expenses					
Socio-medical infrastructure	175 378	250 000	270 000	270 000	8,00%
Training	106 258	600 000	600 000	600 000	0,00%
External Services	58 716	100 000	100 000	100 000	0,00%
Receptions, events and representation	50 732	83 000	88 000	88 000	6,02%
Social welfare	4 631 204	4 282 000	4 194 000	4 194 000	-2,06%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	15 473 425	23 230 000	23 518 000	23 518 000	1,24%
Rental of buildings and associated costs	8 962 997	10 005 000	10 185 000	10 185 000	1,80%
Information, communication technology and data processing	5 753 293	11 944 000	12 001 000	12 001 000	0,48%
Movable property and associated costs	110 054	201 000	201 000	201 000	0,00%
Current administrative expenditure	547 626	905 000	955 000	955 000	5,52%
Postage / Telecommunications	99 455	175 000	176 000	176 000	0,57%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	20 021 527	93 085 000	94 313 000	94 313 000	1,32%
Certification activities	11 414 904	22 810 000	23 977 000	23 977 000	5,12%
Standardisation activities	68 630	97 000	112 000	112 000	15,46%

EXPENDITURE	Payment appropriations EASA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Development data base	606 837	1 319 000	1 978 000	1 978 000	49,96%
Communication and publication	98 852	469 000	425 000	425 000	-9,38%
Meeting expenses	66 748	595 000	862 000	862 000	44,87%
Translations and interpretation costs	2 585				
Rulemaking activities		964 000	1 254 000	1 254 000	30,08%
Mission,entertainment and representation expenditure	1 263 751	4 998 000	5 851 000	5 851 000	17,07%
Technical training	223 140	715 000	715 000	715 000	0,00%
ED activities	165 927	5 397 000	4 242 000	4 242 000	-21,40%
Special Operations Programmes	6 110 153				
Other expenditure		55 721 000	54 897 000	54 897 000	-1,48%
TOTAL	132 357 842	213 013 000	214 498 000	214 498 000	0,70%

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	68 152 580	67 687 000	67 493 000	67 493 000	-0,29%
Salaries & allowances	55 800 131	55 743 000	55 546 000	55 546 000	-0,35%
- Of which establishment plan posts	52 311 961	52 181 000	51 917 000	51 917 000	-0,51%
- Of which external personnel	3 488 170	3 562 000	3 629 000	3 629 000	1,88%
Expenditure relating to Staff recruitment	484 465	388 000	232 000	232 000	-40,21%
Employer's pension contributions	8 532 649	8 000 000	8 200 000	8 200 000	2,50%
Mission expenses					
Socio-medical infrastructure	123 040	167 000	180 000	180 000	7,78%
Training	91 154	400 000	400 000	400 000	0,00%
External Services	38 844	67 000	67 000	67 000	0,00%
Receptions, events and representation	33 502	64 000	69 000	69 000	7,81%
Social welfare	3 048 795	2 858 000	2 799 000	2 799 000	-2,06%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	12 691 946	15 523 000	15 667 000	15 667 000	0,93%
Rental of buildings and associated costs	6 394 333	6 713 000	6 792 000	6 792 000	1,18%
Information, communication technology and data processing	5 677 809	7 985 000	8 017 000	8 017 000	0,40%
Movable property and associated costs	87 086	139 000	139 000	139 000	0,00%
Current administrative expenditure	458 557	570 000	602 000	602 000	5,61%
Postage / Telecommunications	74 161	116 000	117 000	117 000	0,86%
Meeting expenses					
Running costs in connection with operational					

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	36 327 398	87 872 000	88 531 000	88 531 000	0,75%
Certification activities	18 093 613	22 810 000	23 977 000	23 977 000	5,12%
Standardisation activities	64 047				
Development data base	613 864	646 000	1 065 000	1 065 000	64,86%
Communication and publication	150 246	337 000	306 000	306 000	-9,20%
Meeting expenses	116 537	307 000	438 000	438 000	42,67%
Translations and interpretation costs	7 923				
Rulemaking activities	151 186				
Mission,entertainment and representation expenditure	1 084 264	3 740 000	4 453 000	4 453 000	19,06%
Technical training	195 991	455 000	455 000	455 000	0,00%
ED activites	1 000 000	3 856 000	2 940 000	2 940 000	-23,76%
Special Operations Programmes	14 849 727				
Other expenditure		55 721 000	54 897 000	54 897 000	-1,48%
TOTAL	117 171 924	171 082 000	171 691 000	171 691 000	0,36%

3.2.2.2 Payment appropriations (Fees and charges)

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	67 955 994	67 687 000	67 493 000	67 493 000	-0,29%
Salaries & allowances	55 659 794	55 743 000	55 546 000	55 546 000	-0,35%
- Of which establishment plan posts	52 178 927	52 181 000	51 917 000	51 917 000	-0,51%
- Of which external personnel	3 480 867	3 562 000	3 629 000	3 629 000	1,88%
Expenditure relating to Staff recruitment	456 923	388 000	232 000	232 000	-40,21%
Employer's pension contributions	8 532 649	8 000 000	8 200 000	8 200 000	2,50%
Mission expenses					
Socio-medical infrastructure	115 629	167 000	180 000	180 000	7,78%
Training	70 082	400 000	400 000	400 000	0,00%
External Services	38 620	67 000	67 000	67 000	0,00%
Receptions, events and representation	33 502	64 000	69 000	69 000	7,81%
Social welfare	3 048 795	2 858 000	2 799 000	2 799 000	-2,06%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	10 218 583	15 523 000	15 667 000	15 667 000	0,93%
Rental of buildings and associated costs	5 984 238	6 713 000	6 792 000	6 792 000	1,18%

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Information, communication technology and data processing	3 773 795	7 985 000	8 017 000	8 017 000	0,40%
Movable property and associated costs	72 591	139 000	139 000	139 000	0,00%
Current administrative expenditure	322 368	570 000	602 000	602 000	5,61%
Postage / Telecommunications	65 591	116 000	117 000	117 000	0,86%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	19 239 863	87 872 000	88 531 000	88 531 000	0,75%
Certification activities	11 398 617	22 810 000	23 977 000	23 977 000	5,12%
Standardisation activities	40 789				
Development data base	385 197	646 000	1 065 000	1 065 000	64,86%
Communication and publication	69 630	337 000	306 000	306 000	-9,20%
Meeting expenses	52 971	307 000	438 000	438 000	42,67%
Translations and interpretation costs	1 786				
Rulemaking activities					
Mission,entertainment and representation expenditure	1 039 063	3 740 000	4 453 000	4 453 000	19,06%
Technical training	141 657	455 000	455 000	455 000	0,00%
ED activites		3 856 000	2 940 000	2 940 000	-23,76%
Special Operations Programmes	6 110 153				
Other expenditure		55 721 000	54 897 000	54 897 000	-1,48%
TOTAL	97 414 440	171 082 000	171 691 000	171 691 000	0,36%

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	29 003 420	29 011 000	29 174 000	29 174 000	0,56%
Salaries & allowances	26 943 341	27 058 000	27 321 000	27 321 000	0,97%
- Of which establishment plan posts	23 886 912	24 340 000	24 477 000	24 477 000	0,56%
- Of which external personnel	3 056 429	2 718 000	2 844 000	2 844 000	4,64%
Expenditure relating to Staff recruitment	329 594	194 000	116 000	116 000	-40,21%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	63 579	83 000	90 000	90 000	8,43%

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Training	47 053	200 000	200 000	200 000	0,00%
External Services	20 213	33 000	33 000	33 000	0,00%
Receptions, events and representation	17 231	19 000	19 000	19 000	0,00%
Social welfare	1 582 409	1 424 000	1 395 000	1 395 000	-2,04%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 580 870	7 707 000	7 851 000	7 851 000	1,87%
Rental of buildings and associated costs	3 189 319	3 292 000	3 393 000	3 393 000	3,07%
Information, communication technology and data processing	2 986 927	3 959 000	3 984 000	3 984 000	0,63%
Movable property and associated costs	44 968	62 000	62 000	62 000	0,00%
Current administrative expenditure	321 368	335 000	353 000	353 000	5,37%
Postage / Telecommunications	38 288	59 000	59 000	59 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 985 785	5 212 000	5 782 000	5 782 000	10,94%
Certification activities	32 297				
Standardisation activities	41 120	97 000	112 000	112 000	15,46%
Development data base	358 181	673 000	913 000	913 000	35,66%
Communication and publication	63 055	132 000	119 000	119 000	-9,85%
Meeting expenses	30 844	288 000	424 000	424 000	47,22%
Translations and interpretation costs	3 546				
Rulemaking activities	1 397 820	964 000	1 254 000	1 254 000	30,08%
Mission,entertainment and representation expenditure	234 462	1 258 000	1 398 000	1 398 000	11,13%
Technical training	112 861	260 000	260 000	260 000	0,00%
ED activities	711 599	1 540 000	1 302 000	1 302 000	-15,45%
Special Operations Programmes					
Other expenditure					
TOTAL	38 570 075	41 930 000	42 807 000	42 807 000	2,09%

3.2.3.2 Payment appropriations (EU Contribution)

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	28 906 896	29 011 000	29 174 000	29 174 000	0,56%
Salaries & allowances	26 870 377	27 058 000	27 321 000	27 321 000	0,97%

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
<i>- Of which establishment plan posts</i>	23 817 574	24 340 000	24 477 000	24 477 000	0,56%
<i>- Of which external personnel</i>	3 052 803	2 718 000	2 844 000	2 844 000	4,64%
Expenditure relating to Staff recruitment	320 859	194 000	116 000	116 000	-40,21%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	59 749	83 000	90 000	90 000	8,43%
Training	36 176	200 000	200 000	200 000	0,00%
External Services	20 096	33 000	33 000	33 000	0,00%
Receptions, events and representation	17 230	19 000	19 000	19 000	0,00%
Social welfare	1 582 409	1 424 000	1 395 000	1 395 000	-2,04%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 254 842	7 707 000	7 851 000	7 851 000	1,87%
Rental of buildings and associated costs	2 978 759	3 292 000	3 393 000	3 393 000	3,07%
Information, communication technology and data processing	1 979 498	3 959 000	3 984 000	3 984 000	0,63%
Movable property and associated costs	37 463	62 000	62 000	62 000	0,00%
Current administrative expenditure	225 258	335 000	353 000	353 000	5,37%
Postage / Telecommunications	33 864	59 000	59 000	59 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	781 664	5 213 000	5 782 000	5 782 000	10,92%
Certification activities	16 287				
Standardisation activities	27 841	97 000	112 000	112 000	15,46%
Development data base	221 640	673 000	913 000	913 000	35,66%
Communication and publication	29 222	132 000	119 000	119 000	-9,85%
Meeting expenses	13 777	288 000	424 000	424 000	47,22%
Translations and interpretation costs	799				
Rulemaking activities		964 000	1 254 000	1 254 000	30,08%
Mission,entertainment and representation expenditure	224 688	1 258 000	1 398 000	1 398 000	11,13%
Technical training	81 483	260 000	260 000	260 000	0,00%
ED activities	165 927	1 541 000	1 302 000	1 302 000	-15,51%
Special Operations Programmes					
Other expenditure					
TOTAL	34 943 402	41 931 000	42 807 000	42 807 000	2,09%

3.3 Budget Outturn

The provisional budget outturn for the EU Contribution reports a surplus of EUR 3,2 m, of which EUR 0,85 m is proposed to be used to offset the remaining negative balance from 2016.

The provisional budget outturn for Fees & Charges, reports a carry-over of EUR 9,4 m into the budgetary reserve which together with the result of previous years is carried forward amounting to EUR 60,9 m in total.

The positive budget outturn result reflects the Agency's efforts to contain costs in light of the COVID-19 crisis whereby savings across the Agency of EUR 26,6 m were realised.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the stable staffing (Establishment Plan posts, Contract Agents and Seconded National Experts) for 2022.

Financial Resources

The Commission proposes an EU contribution of EUR 39 678 000 million, or stability in real terms compared the budget of 2021. The proposed EU contribution for 2022 is in line with the figures programmed following the new Basic Regulation, including indexation.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

The number of Fees and Charges post requested for 2022 is 454, hence identical to the 2020 and 2021 authorised Budget. The expected increase in certification workload as well as increase in competencies linked with the new basic regulation will be addressed by transforming the way of work, digitalisation and prioritization.

4.1.1.2 Number of staff requested (EU Contribution)

The Agency is asking for 226 posts in the 2022 EU contribution part, which is in line with the approved posts for 2021. EASA intends to offset any additional workload by implementing efficiency measures, and negative priorities. Title 1 is expected to remain stable with a slight increase of EUR 169 000 compared to 2021 budget, as per an increasing vacancy rate that will practically offset the anticipated salary adjustments.

4.1.2 Vacancy rate as of end 2020

4.1.2.1 Vacancy rate as of end 2020 (Fees and charges)

Temporary Agents post ended the year with 4,9% vacancy rate in the Fees and Charges segment.

4.1.2.2 Vacancy rate as of end 2020 (EU Contribution)

Temporary Agents post ended the year 2020 with 8,4% vacancy rate in the EU Contribution segment. As per the Covid-19 pandemic, and in order to cope with a number of uncertainties/variables in the revenue forecast in the short and medium term, EASA adopted a conservative approach to expenditure management (including T1 Staff). In addition, 2020 has been particularly challenging for running the recruitment process.

In total, across the two segments, the Agency had 39 temporary agent posts unoccupied, compared to an establishment plan of 680 approved posts. This represents an Agency vacancy rate of 5,7%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The salaries included in the DB2022 were calculated based on the assumption of a +1,05% salary adjustment (0,60% Career Development/Promotion, 1,00% Purchasing Power and Cost of Living, 1,25% Seniority-related salary adjustments and -10,20% Vacancy rate (only TA, offer letters excluded)).

4.1.4.2 Salary assumption for calculating salary line (% applied) (EU Contribution)

The salaries included in the DB2022 were calculated based on the assumption of a +1,05% salary adjustment (0,60% Career Development/Promotion, 1,00% Purchasing Power and Cost of Living, 1,25% Seniority-related salary adjustments and -10,20% Vacancy rate (only TA, offer letters excluded)).

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

99,4%.

4.1.5.2 Correction coefficient used (EU Contribution)

99,4%

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

Not applicable

4.1.6.2 Exchange rate used (if applicable) (EU Contribution)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charged)

The Title 1 trend shows a slight decrease of the costs from 2021 to 2022 for approx. EUR -0,19m, driven by an increasing vacancy rate (from 8,4% to 10.2%) partly offset by the positive salary evolution of the staff, as detailed at paragraph 4.1.4.1.

4.2.1.2 Title 1 (EU Contribution)

Title 1 for EU Contribution is planned to increase in EUR 0,17m from 2021 Budget to 2022 draft Budget. This is due to the positive salary evolution of the staff (career Development/Promotion, Purchasing Power and Cost of Living, Seniority-related salary adjustment), partly offset by an increasing vacancy rate (from 8,4% to 10.2%).

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

The slight increase of EUR 0,14m in costs compared to Budget 2021 is mainly a result of increasing costs such as the actualisation of the building rent as well as the increase in ICT-costs following the effort to digitalise and improve processes of the Agency.

4.2.2.2 Title 2 (EU Contribution)

The increase of EUR 0,14m in costs compared to Budget 2021 are driven by the actualisation of the building rent and the digitalisation efforts, increasing ICT-costs.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

Operational expenses are decreasing by EUR 1,4m compared to Budget 2021. This is mainly driven by the increase in Certification activities linked with the NAA Outsourcing EUR +1,1m and IT Developments EUR 0,4m.

4.2.3.2 Title 3 (EU Contribution)

The increase of EUR 0,57m in Operational Expenses is driven by IT developments EUR 0,24m and the increase of Rulemaking Activities (EUR 0,29 m) linked mainly with the focus on Environmental activities and regulation of new technologies such as Drones/RPAs and electric propulsion.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

Not Applicable.

4.3.2 Ad hoc grants and delegation agreements (EU Contributions)

The Agency is currently managing an increasing number of projects / assignments on behalf of the European Commission (and occasionally other bodies), for which it receives specific funding (often handled as "earmarked funds"), in the field of international technical cooperation, safety intelligence, research and environmental protection.

In line with the known needs of the European Commission to date, the following list provides an indicative planning of on-going and planned assignments for the period 2021-2022 as well as an indication on the projects that goes beyond.

As of April 2021, the Agency estimates the following impact of ad hoc grants and delegation agreements (including agreements under negotiation and still to be signed)

- Grant Agreements: EUR 1,750m and 0 FTE
- Contribution Agreements: EUR 28,869m and 22 FTE
- Delegation Agreements: /
- Collaboration Agreements: EUR 0,320m and 2 FTE

All of which results in EUR 30,939 m and 23 FTE for 2022. The impact of Ad hoc grants and delegation agreements in 2021 is estimated at EUR 33,066 m and 25 FTE.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Neu Direktion Köln	Konrad-Adenauer-Ufer 3, D-50668 Cologne	22 077	881	22 958	6 812 706	Rental Period from 01.07.2016-30.06.2036 with two months handover period May and June 2016	Every two year rental is reviewed and based on the German Consumer Price Index. Next update in 2021	No	

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
2	Brussels Office	Avenue de Conrtenbergh 100, Brussels	540		540	234	Rental 01.03.2012-28.02.2030	Early brake clause foreseen for Feb. 2027	No	
TOTAL			22 617	881	23 498	6 812 940				

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

In the absence of a European School in Cologne, EASA signed service contracts with 6 international schools in the Cologne area. In school year 2020/2021 EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed and is recovering from the staff members concerned monthly 2% from their monthly net remuneration as a contribution towards their children education fees.

5.3 Evaluation

The Management Board agreed in December 2017 to commission an independent external evaluation of EASA, according to Art. 62 of its Basic Regulation. The evaluation was launched in 2018 and an independent consultant ('BearingPoint') was tasked to evaluate more specifically the efficiency and robustness of EASA's SPD process, including by way of benchmarking this process against the practice applied by other Agencies. The final evaluation report concluded very positively and was endorsed by the MB in its meeting of December 2018. With the implementation of the report's recommendations confirmed by the PAR-AG, the MB finally closed this project in its meeting of June 2019.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Brussels	12 months VAT free for certain types of purchases (electrical goods, furniture, car etc.) based on the same agreement between the EC and the Belgium Minister of Foreign Affairs	Access to the crèche / kindergarten, garderie post-scolaire and European Schools of the EU institutions
In the absence of a European School in Cologne, EASA signed service contracts with international schools in the Cologne area	In the absence of a European School in Cologne, EASA signed service contracts with 6 international schools in the Cologne area. In school year 2020/2021 EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed and is recovering from the staff members concerned monthly 2% from their monthly net remuneration as a contribution towards their children education fees.	
Agreement between EASA & KVB (the company offering public transport services in the Cologne area)	EASA staff members can benefit (as any other employees working for a company located in the Cologne area) from a cheaper price for the public transport season ticket. The cost of the season ticket is deducted on a monthly basis from the EASA employees' salaries who have subscribe via EASA for the public transport season ticket	
FWC between EASA and a relocation company	EASA staff members can benefit from the services of a relocation company (once for 20hrs) to help them finding an accommodation and settling in the Cologne area.	

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation 1406/2002	27/06/2002	EMSA shall ensure a high level of maritime safety and security, prevention of pollution and response to pollution by ships within the Union; technical and scientific assistance to the Member States and the Commission, and a high level of expertise in order to implement legislation in the above-mentioned fields.
Regulation 1644/2003	22/07/2003	Amendments refer to the right of access to documents, budget implementation and control.
Regulation 724/2004	31/03/2004	Maritime security (limited to ship, relevant companies and Recognised Security Organisations) and response to pollution by ships have been included within the mandate of the Agency. A specific new task, "to support with additional means in a cost efficient way the national pollution response actions in case of accidental or deliberate pollution caused by ships, upon request" has been added.
Regulation 100/2013, amending Regulation 1406/2002	15/01/2013	The mandate of EMSA is enlarged with the prevention and response to marine pollution caused by oil and gas installations. The Agency shall cooperate with the Member States and the Commission, by providing them with operational assistance in the relevant fields of activities. EMSA shall contribute to the overall efficiency of maritime traffic and maritime transport to facilitate the establishment of a European maritime transport space without barriers. The tasks are divided in core and ancillary.
Regulation 911/2014 replacing Regulation 2038/2006	23/07/2014	The regulation determines EU financial contribution to the EMSA budget for the implementation of the tasks assigned to it in the field of response to marine pollution caused by ships and oil and gas installations
Regulation 2016/1625 amending Regulation (EC) 1406/2002	14/09/2016	The regulation improves the cooperation and coordination between the EU agencies in order to enhance synergies between their services, to provide more efficient and cost effective multipurpose services to national authorities in view of coastguard functions.

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

02 10 02 : European Maritime Safety Agency (EMSA)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	152	149	98,03%	152	152
Assistants (AST)	60	58	96,67%	60	60
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	212	207	97,64%	212	212
Contract Agents (CA)	33	30	90,91%	33	33
Seconded National Experts (SNE)	18	14	77,78%	18	18
TOTAL STAFF	263	251	95,44%	263	263

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues EMSA

REVENUES	2021		2022	
	Revenues estimated by the agency		Budget Forecast	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
EU contribution	82 620 000	82 620 000	84 272 400	84 272 400
Other Revenue	2 559 015	2 559 015	2 572 808	2 572 808
TOTAL REVENUES	85 179 015	85 179 015	86 845 208	86 845 208

1.5.1.2 Additional EU funding: contribution, grant and service level agreements EMSA

REVENUES	2021		2022	
	Revenues estimated by the agency		Budget Forecast	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Additional EU funding stemming from grant agreements (FFR Art.7)				
Additional EU funding stemming from contribution agreements (FFR Art.7)	2 900 000	2 900 000	2 000 000	2 000 000
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	10 100 000	10 100 000	10 100 000	10 100 000
TOTAL REVENUES	13 000 000	13 000 000	12 100 000	12 100 000

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	28 877 000	28 877 000	29 363 000	29 363 000
Title 2 - Infrastructure and operating expenditure	4 687 620	4 687 620	4 981 330	4 981 330
Title 3 - Operational expenditure	51 614 395	51 614 395	52 500 878	52 500 878
Title 4 - Project Financed Actions				
TOTAL EXPENDITURE	85 179 015	85 179 015	86 845 208	86 845 208

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		2		3		2		3		3
AD 13	1	4	1	2	1	5	1	6	1	6
AD 12	1	10		9	1	12	1	17	1	17
AD 11		17	1	13		20		20		20
AD 10	1	20		25	1	30	1	28	1	28
AD 9		40		34		35		35		35
AD 8		31	1	23		24		24		24
AD 7		18		13		15		11		11
AD 6		3		14		3		4		4
AD 5		3		10		2				
AD TOTAL	3	149	3	146	3	149	3	149	3	149
AST 11										
AST 10		1				1		1		1
AST 9				1				2		2
AST 8		3		2		3		7		7
AST 7		8		8		13		15		15
AST 6		20		15		22		19		19
AST 5		18		19		15		13		13
AST 4		10		9		6		3		3
AST 3				2						
AST 2				1						
AST 1				1						
AST TOTAL		60		58		60		60		60
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	3	209	3	204	3	209	3	209	3	209
GRAND TOTAL	212		207		212		212		212	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	11	9	11	11
Function Group III	3	3	3	3
Function Group II	17	16	17	17
Function Group I	2	2	2	2
TOTAL	33	30	33	33

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	18	14	18	18

3 Financial Resources

3.1 Revenues

3.1.1 General Revenues

3.1.1.1 Commitment appropriations

REVENUES	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	190 300	300 000	250 000	250 000	-16,67%
2 EU CONTRIBUTION	77 218 894	82 620 000	84 300 000	84 272 400	2,00%
- Of which assigned revenues deriving from previous years' surpluses	6 029 204	2 286 114	1 575 800	1 575 800	-31,07%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 744 147	2 169 015	2 233 553	2 232 808	2,94%
- Of which EEA/EFTA (excl. Switzerland)	1 744 147	2 169 015	2 233 553	2 232 808	2,94%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	50 147	90 000	90 000	90 000	0,00%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	30 774 265				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	109 977 753	85 179 015	86 873 553	86 845 208	1,96%

3.1.1.2 Payment appropriations

REVENUES	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	190 300	300 000	250 000	250 000	-16,67%
2 EU CONTRIBUTION	76 075 027	82 620 000	84 300 000	84 272 400	2,00%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	6 029 204	2 286 114	1 575 800	1 575 800	-31,07%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 716 123	2 169 015	2 233 553	2 232 808	2,94%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>	1 716 123	2 169 015	2 233 553	2 232 808	2,94%
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	24 000	90 000	90 000	90 000	0,00%
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	20 002 211				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	98 007 661	85 179 015	86 873 553	86 845 208	1,96%

3.1.2 Additional EU funding: contribution, grant and service level agreements

3.1.2.1 Commitment appropriations

REVENUES	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	15 773 511				
Additional EU funding stemming from contribution agreements (FFR Art.7)	5 160 388	2 900 000	2 000 000	2 000 000	-31,03%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	8 945 676	10 100 000	10 100 000	10 100 000	0,00%
TOTAL	29 879 575	13 000 000	12 100 000	12 100 000	-6,92%

3.1.2.2 Payment appropriations

REVENUES	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	10 942 771				
Additional EU funding stemming from contribution agreements (FFR Art.7)	2 768 168	2 900 000	2 000 000	2 000 000	-31,03%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	5 768 612	10 100 000	10 100 000	10 100 000	0,00%
TOTAL	19 479 551	13 000 000	12 100 000	12 100 000	-6,92%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	27 349 777	28 877 000	29 383 000	29 363 000	1,68%
Salaries & allowances	25 233 610	27 012 000	27 507 000	27 507 000	1,83%
- Of which establishment plan posts	22 693 592	24 186 000	24 623 000	24 623 000	1,81%
- Of which external personnel	2 540 018	2 826 000	2 884 000	2 884 000	2,05%
Expenditure relating to Staff recruitment	386 464	438 000	375 000	375 000	-14,38%
Employer's pension contributions					
Mission expenses	24 200	95 000	97 000	77 000	-18,95%
Socio-medical infrastructure	59 732	35 000	86 000	86 000	145,71%
Training	199 020	240 000	240 000	240 000	0,00%
External Services	274 938	324 000	330 000	330 000	1,85%
Receptions, events and representation	4 813	33 000	34 000	34 000	3,03%
Social welfare	1 167 000	700 000	714 000	714 000	2,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 270 496	4 687 620	4 989 675	4 981 330	6,27%
Rental of buildings and associated costs	3 707 213	3 664 935	3 813 796	3 805 451	3,83%
Information, communication technology and data processing	914 258	688 277	748 581	748 581	8,76%
Movable property and associated costs	426 060	36 000	98 000	98 000	172,22%
Current administrative expenditure	120 320	108 500	110 000	110 000	1,38%
Postage / Telecommunications	91 156	78 908	84 298	84 298	6,83%
Meeting expenses	11 489	111 000	135 000	135 000	21,62%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	46 583 217	51 614 395	52 500 878	52 500 878	1,72%
Sustainability	24 828 484	21 232 000	24 244 988	24 244 988	14,19%
Surveillance	10 039 703	13 456 488	13 033 548	13 033 548	-3,14%
Safety and Security	1 779 688	3 098 000	3 025 000	3 025 000	-2,36%
Digitalisation and Simplification	8 694 099	11 616 352	10 026 787	10 026 787	-13,68%
Technical and Operational Assistance	941 085	1 724 000	1 702 000	1 702 000	-1,28%
Strategic support	300 158	487 555	468 555	468 555	-3,90%
Title 4 - Project Financed Actions	30 774 263				
Maritime Information Services	2 917 691				
Assistance to Candidate and ENP Countries	2 294 810				
Surveillance SLAs	8 945 676				
CleanSeaNet Services to Third Parties	594				

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
COPERNICUS	15 721 398				
EQUASIS	604 615				
THETIS Modules	255 973				
Miscellaneous	33 506				
TOTAL	109 977 753	85 179 015	86 873 553	86 845 208	1,96%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	27 010 861	28 877 000	29 383 000	29 363 000	1,68%
Salaries & allowances	25 201 261	27 012 000	27 507 000	27 507 000	1,83%
- <i>Of which establishment plan posts</i>	22 693 007	24 186 000	24 623 000	24 623 000	1,81%
- <i>Of which external personnel</i>	2 508 254	2 826 000	2 884 000	2 884 000	2,05%
Expenditure relating to Staff recruitment	286 019	438 000	375 000	375 000	-14,38%
Employer's pension contributions					
Mission expenses	20 057	95 000	97 000	77 000	-18,95%
Socio-medical infrastructure	26 089	35 000	86 000	86 000	145,71%
Training	120 030	240 000	240 000	240 000	0,00%
External Services	206 525	324 000	330 000	330 000	1,85%
Receptions, events and representation	3 207	33 000	34 000	34 000	3,03%
Social welfare	1 147 673	700 000	714 000	714 000	2,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 724 005	4 687 620	4 989 675	4 981 330	6,27%
Rental of buildings and associated costs	3 134 256	3 664 935	3 813 796	3 805 451	3,83%
Information, communication technology and data processing	426 627	688 277	748 581	748 581	8,76%
Movable property and associated costs	37 705	36 000	98 000	98 000	172,22%
Current administrative expenditure	80 448	108 500	110 000	110 000	1,38%
Postage / Telecommunications	39 480	78 908	84 298	84 298	6,83%
Meeting expenses	5 489	111 000	135 000	135 000	21,62%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	47 270 583	51 614 395	52 500 878	52 500 878	1,72%
Sustainability	23 786 298	23 509 920	24 556 910	24 556 910	4,45%
Surveillance	11 776 101	13 841 413	12 772 113	12 772 113	-7,73%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Safety and Security	1 852 472	2 035 765	3 164 000	3 164 000	55,42%
Digitalisation and Simplification	8 692 222	9 766 280	9 631 980	9 631 980	-1,38%
Technical and Operational Assistance	856 095	1 972 487	1 909 320	1 909 320	-3,20%
Strategic support	307 395	488 530	466 555	466 555	-4,50%
Title 4 - Project Financed Actions	20 002 212				
Maritime Information Services	1 456 798				
Assistance to Candidate and ENP Countries	1 361 864				
Surveillance SLAs	5 768 612				
CleanSeaNet Services to Third Parties	536				
COPERNICUS	10 892 276				
EQUASIS	399 674				
THETIS Modules	94 352				
Miscellaneous	28 100				
TOTAL	98 007 661	85 179 015	86 873 553	86 845 208	1,96%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 575 799,69.

4 Justification of needs

Commission Assessment

Human Resources

The Commission supports the Agency's request for stable Establishment Plan posts (212), Contract Agents (33) and Seconded National Experts (18) for 2022. This represents stabilisation since 2018.

Financial Resources

An EU contribution of EUR 84 272 400 will enable the Agency to fulfil its mandate. EMSA has a fundamental role in the maritime transport domain to ensure the free movement of people and goods, promote the highest safety and security standards in the context of increasing traffic and more complex security threats, and support the sector's contribution to the protection of the marine environment and decarbonisation of transport as well as to the European Green Deal and its ambitious objectives.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency requests for 2022 an establishment plan of 212 posts and foresees 33 Contract Agents (CAs) and 18 Seconded National Experts (SNEs) to be financed by the EU contribution. All figures represent stabilisation since 2018.

The establishment plan posts requested and external staff foreseen will enable the Agency to carry out the specific actions planned for 2022 as outlined in the draft EMSA Programming Document 2022-2024 endorsed by the EMSA Administrative Board on 17 January 2021 and thereby perform the tasks assigned to it under Regulation (EC) No 1406/2002, as amended by Regulation (EU) No 2016/1625 of 14 September 2016.

4.1.2 Vacancy rate as of end 2020

Against an establishment plan of 212 posts, the occupation rate at the end of 2020 was 97,64%. The vacancy rate at the end of 2020 was therefore 2,36%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Due mainly to consecutive increases in the coefficient corrector and annual adjustments since 2016, no standard abatement can be applied to 2022.

4.1.4 Salary assumption for calculating salary line (% applied)

The increase in annual adjustment for 2020 (increase of 0,5%) and correction coefficient impact (from 88,6% to 91,1%) have been considered. Overall, this impact results in an increase of 3,32%. In addition, annual adjustments for 2021 and 2022 (retroactive from July 2022) and variations in the correction coefficient will affect the appropriations needed.

4.1.5 Correction coefficient used

91,1% weighting for Portugal at 01.2020.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The requested amount under this title is needed in order primarily to cover the costs of salaries and allowances of statutory staff (permanent and temporary agents). In addition, the request covers non statutory staff (national experts, contract agents and interim staff). Title 1 also covers trainings (languages, specific training, personal and professional development) and social measures (e.g. ensuring access to multilingual tuition).

Title 1 has increased compared to 2021 (1,75 % of both commitment and payment appropriations) due to the annual adjustment of salaries and the recent upward trend of the correction coefficient for Portugal.

4.2.2 Title 2

The main entry in Title 2 is the rental and associated costs for the Agency's premises, with EUR 3 813 796 allocated to the relevant chapter under this title (chapter 2.0). EUR 90 000 will be covered with miscellaneous revenue (rental of the EMSA Conference Centre to the EMCDDA).

The overall increase in Title 2 compared to 2021 (+6,44% of both commitment and payment appropriations) is due to building related expenses including indexation, ICT expenses and in particular continued implementation of COVID-19 related hygiene and safety requirements.

4.2.3 Title 3

This title supports the major projects and operational services operated and delivered by the Agency for the EU maritime sector under the headings of Sustainability, Safety & Security, Surveillance, Digitalisation & Simplification and Technical & Operational Assistance, as well as Strategic Support relevant to the aforementioned areas.

Compared to 2021, the request represents overall stability, with an increase in both commitment and payment appropriations of 1,72% in line with the increase of the overall budget from 2021.

The requested budget is crucial to ensure that this support and these services are in step with evolving priorities and technological developments, notably in relation to decarbonisation and the Agency's role in supporting the maritime sector's contribution to the climate neutral ambition, to migration and security and to the Agency's specific role in contributing to more efficient and cost-effective multipurpose services to national authorities carrying out coastguard functions.

- **Sustainability:** The Agency is reinforcing its technical contribution in the development and implementation of the different initiatives linked to the Green Deal for Europe, mainly as regards the contribution of shipping to a decarbonized transport sector, the reduction of the impact of shipping on the biodiversity and the actions against the degradation of the oceans due to waste from ships and marine litter.
- **Safety & Security:** The Agency continues to build further its role as centre of excellence for a safe and secure EU maritime sector. In this framework, the Agency continues to build expertise and support the development, implementation and enforcement of safety standards providing technical support to the Commission and the Member States on the work carried out at EU and IMO level.
- **Surveillance:** EMSA's earth observation, RPAS and Satellite communication and AIS services provide Member States complementary tools to complete their maritime picture for security, safety and sustainability purposes, at EU level in a cost-effective manner.
- **Digitalisation & Simplification:** This Chapter covers the growing depth, breadth and demand for EMSA's state of the art integrated maritime services, which are in fact being delivered to more users exercising an ever wider range of maritime functions, as functionalities are added to reflect the needs of Member States and EU Agencies.
- **Technical & Operational Assistance:** The Agency also contributes to the continuous evolution of maritime safety standards and supports the ongoing assessment and development of legislation.
- **Strategic Support:** The Agency manages stakeholder engagement activities including one major event per year for key actors in a selected high priority area and delivers targeted information on product and service developments to relevant user communities.

The Agency's mandate related to the European cooperation on coast guard functions is implemented across the Chapters listed above. Under "Surveillance", the Agency will continue to deliver multipurpose RPAS operations for the benefit of the user communities of the three Agencies, EMSA, EFCA and Frontex, as well as relevant SAT-COM services according to expected needs and intends to continue enhancing the coverage of its SAT-AIS services. Under "Technical & Operational Assistance" the Agency will keep up the capacity building effort of national authorities by further developing the EMSA Academy. Under "Digitalisation & Simplification" the Agency will be delivering more information sharing capabilities through the High Performance IMS rolled out in 2021 following the Agency's investment in cloud technologies as well as the development of the new RPAS Data centre, called SurvSeaNet. The Agency expects to execute EUR 16,63m in commitment appropriations and EUR 17,02m in payment appropriations in Title 3 "Operational Expenditure" for these tasks.

4.2.4 Title 4

Title 4 covers project financing earmarked for specific actions entered as p.m. (pro memoria).

See point 4.3 for further information.

4.3 Ad hoc grants and delegation agreements

	Date of signature	Duration	Counterpart	Short Description		2022
Contribution agreements						
IPA II	December 2019	Until December 2022	DG NEAR	Preparatory measures for the future participation of relevant IPA II beneficiaries in EMSA	Amount	n/a
					Number of CA	n/a
					Number of SNE	n/a
Copernicus Maritime Surveillance ¹	December 2015	Until December 2020	DG DEFIS	Implementation of Copernicus Maritime Surveillance Services	Amount	not known
					Number of CA	not known
					Number of	n/a

¹ The Contribution Agreement with DG-DEFIS, for the implementation of Copernicus Maritime Surveillance for the period 2021-2027 is currently being negotiated, including the source of financing for the related staff.

					SNE		
Grant agreements							
SAFEMED IV EuroMed Maritime Safety Project ²	December 2016	Until 31 March 2021	DG NEAR	Award of a financial contribution by the Contracting Authority to finance the implementation of the action entitled: SAFEMED IV, Euromed Maritime Safety Project	Amount	not known	
		Extended until end 2021			Number of CA	not known	
					Number of SNE	not known	
BCSea Maritime Safety, Security and Environmental Protection in B&CS Regions ³	December 2016	Until 31 January 2021	DG NEAR	Award of a financial contribution by the Contracting Authority to finance the implementation of the action entitled: Maritime Safety, security and marine environmental protection in the Black and Caspian Sea Regions	Amount	not known	
		Extended until end 2021			Number of CA	not known	
					Number of SNE	not known	
CISE Transitional Phase	April 2019	Until October 2022	DG MARE	Ad hoc Grant agreement for the implementation of the action "Setting up and enabling the transitional phase to CISE operations"	Amount	n/a	
						Number of CA	4
						Number of SNE	0
CISE Transition	December 2020	Until December 2023	DG MARE	Grant agreement for the Common Information Sharing Environment (CISE) transition	Amount	2 000 000	
						Number of CA	3
						Number of SNE	1
Service Level Agreements							
FRONTEX SLA	May 2016	Indefinite	FRONTEX	Service Level Agreement between the European Border and Coast Guard Agency (EBCGA) and the European Maritime Safety Agency (EMSA) for the provision of surveillance tools and services in support of Frontex activities, including for the implementation of the EUROSUR framework.	Amount	10 000 000	
						Number of CA	9
						Number of SNE	n/a
EFCA SLA	June 2015	Indefinite	EFCA	Service Level Agreement between the European Fisheries Control Agency (EFCA) and the European Maritime Safety Agency for the provision and cooperation on maritime surveillance services	Amount	100 000	
						Number of CA	n/a
						Number of SNE	n/a
Other project financed actions⁴							
THETIS-EU	March 2018	December	DG	Cooperation Agreement for the support	Amount	n/a	

² The Agency expects to continue its work in this area under a future grant agreement, including 2 CA and 0,5 SNE expected (SAEFEMED and BCSea share 1 SNE).

³ The Agency expects to continue its work in this area under a future grant agreement, including 2 CA and 0,5 SNE expected (SAEFEMED and BCSea share 1 SNE).

⁴ Other project financed actions includes legal instruments that have been concluded before 1 July 2019 (entry into force of the current EMSA Financial Regulation).

		2021	ENV	of the implementation of Directive (EU) 2016/802 of the European Parliament and of the Council of 11 May 2016 relating to a reduction in the sulphur content of certain liquid fuels, and technical assistance actions relevant to the Energy Community	Number of CA	n/a
					Number of SNE	n/a
THETIS-MRV	March 2016	March 2022	DG CLIMA	Support for the implementation of Regulation on the monitoring, reporting and verification of emissions of carbon dioxide, from maritime transport and amending Directive 2009/16/EC on Port State Control and relevant technical Assistance	Amount	n/a
					Number of CA	n/a
					Number of SNE	n/a
EQUASIS	May 2000	No end date	EQUASIS members	MOU on the establishment of the Equasis information system	Amount	450 000
					Number of CA	n/a
					Number of SNE	n/a
Total					Amount	12 550 000
					Number of CA	16
					Number of SNE	1

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	EMSA HQ	Lisbon	10 180	486,84	10 666,84	1 958 784	as of 01/05/2009 for a period of 25 years	Lease Agreement	N/A	
2	Conference Centre (shared with EMCDDA)	Lisbon	2 116,26		2 116,26	326 345	as of 01/05/2009 for a period of 25 years	Lease Agreement	N/A	
3	Palacete (shared with EMCDDA)	Lisbon	1 933,9		1 933,9	87 764		Service Level Agreement with EMCDDA	N/A	
4	Underground parking (101 spots)	Lisbon				156 196	as of 01/05/2009 for a period of 25 years	Lease agreement	N/A	
5	External parking (49 spots)	Lisbon						Lease agreement	N/A	
TOTAL			14 230,16	486,84	14 717	2 529 089				

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

External parking (49 spots), Lisbon: Current lease agreement with APL temporarily suspended. Contacts with Camara Municipal de Lisboa.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European schools

Not Applicable to EMSA, as there are no European Schools in Lisbon. The Agency is actively exploring with the competent Portuguese authorities the possibility of setting up an Accredited European School in Lisbon.

5.3 Evaluation

The last evaluation from May 2017 concluded that by operating at EU level, EMSA is providing significant added value and has become an important and respected player in the maritime community. The agency's efficiency has increased, demonstrated by a lower share of overhead expenditure, the accomplished new tasks without additional resources and also due to the performance management system used by the Agency. The cost effectiveness of the Agency's activities is assessed positively. As a result, the evaluation concludes that EMSA's services and products are cost-effective and that EMSA provides value for money within the context of the EU maritime sector and within all areas of work. The full evaluation report is available on the agency's website: <http://emsa.europa.eu/who-are-we/admin-board/evaluation-activities.html>

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In July 2004, the Protocol between the Government of the Portuguese Republic and EMSA was signed covering the relations between the Agency and Portugal as its host State.</p> <p>Privileges granted: exemption from direct taxes; exemption from purchase tax on goods and services;</p> <p>exemption from customs duties and from any taxes on imports and exports; exemption from any duties and any import restrictions on vehicles of official use; exemption from road tax for vehicles under "special registration" (diplomatic plates); exemption from taxation on fuel and lubricants; replacement of official vehicles every 5 years; special status of the Agency Headquarters (Similar to Diplomatic mission); security staff with special authorisation to carry firearms; exemption from any charges for Visas and other authorisations for EMSA guests.</p>	<p>Comparable category of the members of diplomatic corps in Portugal; exemption from national taxes on earning, salaries and respective payments paid by the Agency; immunity from jurisdiction as regards acts carried out by the staff member in official capacity; exemption from purchase tax on goods and services (VAT); exemption from customs duties and from any taxes on imports and exports (duty-free); exemption from any duties and any import restrictions on vehicles of official/private use; exemption from road tax for vehicles under "special registration" (diplomatic plates); exemption from taxation on fuel and lubricants; replacement of official/private vehicles once after 4 years.</p>	<p>Providing access to schools in the mother tongue of the staff member is an issue that the Agency has taken seriously and therefore in 2005 the Agency has adopted a social measure "multilingual tuition for children of EMSA staff" in Lisbon.</p> <p>Under this social measure EMSA currently assists in providing access to English, French, German, Spanish and Swedish schools. The Agency covers the school fees through direct agreements between the Agency and the relevant schools. This social measure is taken upon the Agency's own initiative and is paid by the Agency budget (no involvement of the hosting State).</p> <p>This social measure is not intended to replace the educational allowance, as provided by the Staff Regulations.</p> <p>Educational allowances are duly determined and paid when due.</p>

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 881/2004 (repealed)	29/04/2004	The Agency has to contribute, at technical level, to the implementation of the EU legislation for improving the competitive position of the rail sector by reinforcing the interoperability between railways systems and at developing a common approach in terms of safety of the European railways in order to contribute to the creation of a European rail open space, without borders and offering a high level of safety.
Regulation (EC) No 1335/2008 amending regulation 881/2004	16/12/2008	New tasks allocated to the Agency: overseeing the mutual recognition of vehicle authorisation (cross acceptance) including the specification of the parameters to be checked for authorisation to place vehicles in service and a full classification of the equivalence or otherwise of the national rules of the Member States used for vehicle authorisation; providing technical opinions on the equivalence of national rules, refusals of authorisation to place into service, urgent modifications to the TSIs and the conformity of projects with TSIs; recommending a system of certification of the Entity in Charge of Maintenance for railway vehicles; make recommendations on the mechanisms for implementing the Train Drivers (licensing) Directive; drawing up specifications for registers of vehicles, vehicle types, and infrastructure; managing the European Rail Traffic Management System (ERTMS) change control, version management and test specifications. Evaluating the certification process of ERTMS, the costs and benefits of centralising verification and testing at community level and maintaining consistency between Notified Bodies involved in ERTMS
Regulation (EC) No 454/2011 (Telematic applications for passenger services (TAP TSI)) Regulation (EC) No 1335/2008 amending regulation 881/2004 Regulation (EC) No 1371/2007)	05/05/2011 16/12/2008 23/10/2007	New or permanent tasks allocated to the Agency: ensure the development of new technical specifications for advanced ticketing (ticket on departure and manifest on list) and for intermodal timetables and fares; monitor the development and implementation of TAP target system (according to delivered master plans) and liaisons with EU member states and smaller railways/ticket vendors; publish reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions and to inform EC about these changes by managing a web based registry which contains above changes; assess the compliance of IT solutions developed for the future TAF target system.
Regulation (EC) No 328/2012 amending regulation 62/2006 (Telematic applications for freight services (TAF TSI)) Regulation (EC) No 1335/2008 amending regulation 881/2004	17/04/2012 16/12/2008	New or permanent tasks allocated to the Agency: review of Regulation 328/2012 in order to ensure that the data provision needs of professional freight customers are met appropriately; monitor the development and implementation of TAF target system and liaisons with EU member states and smaller railways/professional freight customers; publish various reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions which are mandatory annexes to the Regulation 328/2012 and to inform EC about these changes, management of a web based registry which contains above changes; assess the compliance of IT solutions developed for the future TAF target system.
Council Regulation establishing the Shift2Rail joint	Regulation adopted on	The Agency receives observer status on the Governing Board and shall contribute to the definition and implementation of the S2R Master Plan by performing advisory tasks: (a) Proposing possible amendments to the S2R

undertaking	16/06/2014	Master Plan and to the annual work plans, (...) (b) Proposing (...) technical standards for research, development and validation activities with the view to guaranteeing the interoperability and safety results; (c) Reviewing the common developments for the future system and contributing to defining target systems in regulatory requirements and (d) Reviewing project activities and results (...).
Regulation (EU) N° 2016/796	11/05/2016	ERA shall contribute to the further development and functioning of a single European railway area without frontiers, by guaranteeing a high level of railway safety and interoperability, while improving the competitive position of the railway sector; contribute, on technical matters, to the implementation of EU legislation by developing a common approach to safety and the level of interoperability on the Union rail system; follow the development of national railway rules in order to support the performance of national authorities acting in the fields of railway safety and interoperability and to promote the optimisation of procedures; perform the role of Union authority responsible for issuing authorisations for the placing on the market of railway vehicles and vehicle types and for issuing single safety certificates for railway undertakings; take full account of the process of enlargement of the Union and of the specific constraints relating to rail links with third countries
Regulation (EU) N° 2016/796 Directive (EU) 2016/798 on railway safety (Recast) Directive (EU) 2016/797 on the interoperability of the rail system within the European Union (recast)	11/05/2016 11/05/2016 11/05/2016	ERA shall issue single EU-wide safety certificates to railway undertakings and vehicle authorisations for operation in more than one country to train manufacturers, in cooperation with National Safety Authorities (NSAs) after a three year transition period, sign cooperation agreements with all NSAs based on a cooperation arrangement framework subject to adoption by the Agency's Management Board; develop a common information and communication platform with a virtual One-Stop-Shop functionality in cooperation with NSAs, on the basis of a draft prepared by the Agency, taking into account the results of a cost-benefit analysis, and will be adopted by the Agency's Management Board; grant pre-approval for European Rail Traffic Management System (ERTMS) infrastructure before this infrastructure being authorised by the NSA, in order to enable seamless cross-border traffic on the European continent; facilitate cooperation between the NSAs, the national investigating bodies and representative bodies from the railway sector acting at Union level in order to promote good practice, the exchange of relevant information and the collection of railway-related data, and to monitor the overall safety performance of the Union rail system.
Regulation (EU) N° 2016/796 Regulation (EC) No 1371/2007	11/05/2016 23/10/2007	The agency shall act as the system authority to ensure the coordinated development of telematics applications in the Union, in accordance with relevant TSIs; define, publish and apply the procedure for managing requests for changes to specifications for telematics applications; set up, maintain and update a register of requests for changes; develop and maintain the technical tools for managing the different versions of specifications for telematics applications and to ensure backward compatibility; assist the Commission in the monitoring of deployment of specifications for telematics applications in accordance with relevant TSIs.

1.2 Seat

Valenciennes and Lille, France.

1.3 Budget Line

02 10 03 : European Union Agency for Railways (ERA)

1.4 Human Resources overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

1.4.1 Human Resources overview ERA

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	113	110	97,35%	116	119
Assistants (AST)	35	34	97,14%	35	35
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	148	144	97,30%	151	154
Contract Agents (CA)	36	29	80,56%	36	36
Seconded National Experts (SNE)	4	1	25,00%	4	4
TOTAL STAFF	188	174	92,55%	191	194

1.4.2 Human Resources overview (Fees and charges)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)		9		12	15
Assistants (AST)					
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS		9		12	15
Contract Agents (CA)		3		3	3
Seconded National Experts (SNE)					
TOTAL STAFF		12		15	18

1.4.3 Human Resources overview (EU Contribution)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	113	101	89,38%	104	104
Assistants (AST)	35	34	97,14%	35	35
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	148	135	91,22%	139	139
Contract Agents (CA)	36	26	72,22%	33	33
Seconded National Experts (SNE)	4	1	25,00%	4	4
TOTAL STAFF	188	162	86,17%	176	176

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	25 763 160	26 278 423
Other Revenue	3 826 749	4 605 703
TOTAL REVENUES	29 589 909	30 884 126

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)	473 295	479 298
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		
TOTAL REVENUES	473 295	479 298

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview ERA

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	22 920 659	22 920 659	25 935 030	25 935 030
Title 2 - Infrastructure and operating expenditure	2 122 000	2 122 000	2 523 000	2 523 000
Title 3 - Operational expenditure	4547250	4547250	2426096	2426096
TOTAL EXPENDITURE	29 589 909	29 589 909	30 884 126	30 884 126

1.5.2.2 Expenditure Overview (Fees and charges)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	2 122 750	2 122 750	2 889 270	2 889 270
Title 2 - Infrastructure and operating expenditure				
Title 3 - Operational expenditure	1 000 000	1 000 000	1 000 000	1 000 000
TOTAL EXPENDITURE	3 122 750	3 122 750	3 889 270	3 889 270

1.5.2.3 Expenditure Overview (EU Contribution)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	20 797 909	20 797 909	23 045 760	23 045 760
Title 2 - Infrastructure and operating expenditure	2 122 000	2 122 000	2 523 000	2 523 000
Title 3 - Operational expenditure	3 547 250	3 547 250	1 426 096	1 426 096
TOTAL EXPENDITURE	26 467 159	26 467 159	26 994 856	26 994 856

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts ERA

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13										
AD 12		4		1		4		4		4
AD 11		8		5		10		10		10
AD 10		20		15		19		19		19
AD 9		34		22		29		29		29
AD 8		25		27		21		21		21
AD 7		12		17		15		15		15
AD 6		9		20		17		20		20
AD 5				2						
AD TOTAL		113		110		116		119		119
AST 11										
AST 10										
AST 9		2		2		2		2		2
AST 8		6				5		5		5
AST 7		6		4		5		5		5
AST 6		5		7		6		6		6
AST 5		9		5		8		8		8
AST 4		7		7		9		9		9
AST 3				9						
AST 2										
AST 1										
AST TOTAL		35		34		35		35		35
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		148		144		151		154		154
GRAND TOTAL		148		144		151		154		154

2.1.2 Establishment plan posts (Fees and charges)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13										
AD 12										
AD 11										
AD 10										
AD 9										
AD 8										
AD 7										
AD 6				9		12		15		15
AD 5										
AD TOTAL				9		12		15		15
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL				9		12		15		15
GRAND TOTAL				9		12		15		15

2.1.3 Establishment plan posts (EU Contribution)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13										
AD 12		4		1		4		4		4
AD 11		8		5		10		10		10
AD 10		20		15		19		19		19
AD 9		34		22		29		29		29
AD 8		25		27		21		21		21
AD 7		12		17		15		15		15
AD 6		9		11		5		5		5
AD 5				2						
AD TOTAL		113		101		104		104		104
AST 11										
AST 10										
AST 9		2		2		2		2		2
AST 8		6				5		5		5
AST 7		6		4		5		5		5
AST 6		5		7		6		6		6
AST 5		9		5		8		8		8
AST 4		7		7		9		9		9
AST 3				9						
AST 2										
AST 1										
AST TOTAL		35		34		35		35		35
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		148		135		139		139		139
GRAND TOTAL	148		135		139		139		139	

2.2 External personnel

2.2.1 External personnel ERA

2.2.1.1 Contract Agents ERA

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	15	15	15	16
Function Group III	8	4	8	12
Function Group II	10	7	10	8
Function Group I	3	3	3	
TOTAL	36	29	36	36

2.2.1.2 Seconded National Experts ERA

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	4	1	4	4

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV		3	3	3
Function Group III				
Function Group II				
Function Group I				
TOTAL		3	3	3

2.2.2.2 Seconded National Experts (Fees and charges)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	15	12	12	13
Function Group III	8	4	8	12
Function Group II	10	7	10	8
Function Group I	3	3	3	
TOTAL	36	26	33	33

2.2.3.2 Seconded National Experts (EU Contribution)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	4	1	4	4

3 Financial Resources

3.1 Revenues ERA

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	1 957 889	3 122 750	3 889 270	3 889 270	24,55%
2 EU CONTRIBUTION	27 560 000	25 763 160	31 413 651	26 278 423	2,00%
- Of which assigned revenues deriving from previous years' surpluses	119 879	59 486	114 225	114 225	92,02%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	672 283	693 999	845 085	706 433	1,79%
- Of which EEA/EFTA (excl. Switzerland)	672 283	693 999	845 085	706 433	1,79%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	120 835	10 000	10 000	10 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	30 311 007	29 589 909	36 158 006	30 884 126	4,37%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	450 000	473 295	479 298	479 298	1,27%
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	450 000	473 295	479 298	479 298	1,27%

3.2 Expenditure

3.2.1 Expenditure ERA

3.2.1.1 Commitment appropriations ERA

EXPENDITURE	Commitment appropriations ERA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	24 386 855	22 920 659	25 935 030	25 935 030	13,15%
Salaries & allowances	23 260 457	22 030 909	24 891 760	24 891 760	12,99%
- Of which establishment plan posts	20 658 905	19 420 709	21 874 160	21 874 160	12,63%

EXPENDITURE	Commitment appropriations ERA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
<i>- Of which external personnel</i>	2 601 552	2 610 200	3 017 600	3 017 600	15,61%
Expenditure relating to Staff recruitment	43 865	70 000	80 000	80 000	14,29%
Employer's pension contributions	724 614	396 750	540 270	540 270	36,17%
Mission expenses	6 000	46 000	50 000	50 000	8,70%
Socio-medical infrastructure	28 118	57 000	60 000	60 000	5,26%
Training	122 346	120 000	150 000	150 000	25,00%
External Services	201 255	177 000	140 000	140 000	-20,90%
Receptions, events and representation	200	3 000	3 000	3 000	0,00%
Social welfare		20 000	20 000	20 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 254 068	2 122 000	2 523 000	2 523 000	18,90%
Rental of buildings and associated costs	1 184 727	1 045 000	1 286 000	1 286 000	23,06%
Information, communication technology and data processing	852 649	755 000	895 000	895 000	18,54%
Movable property and associated costs	31 880	90 000	75 000	75 000	-16,67%
Current administrative expenditure	33 305	7 000	12 000	12 000	71,43%
Postage / Telecommunications	127 718	185 000	215 000	215 000	16,22%
Meeting expenses	532	5 000	5 000	5 000	0,00%
Running costs in connection with operational activities					
Information and publishing	4 124	5 000	5 000	5 000	0,00%
Studies					
Other infrastructure and operating expenditure	19 133	30 000	30 000	30 000	0,00%
Title 3 - Operational expenditure	6 938 307	4 547 250	7 699 976	2 426 096	-46,65%
Contributing to shaping target reference and global reference for the EU rail system	556 014	173 563	4 655 576	1 426 096	721,66%
Developing the harmonised regulatory SERA technical framework	326 584	772 448			-100%
Implementing the harmonised regulatory SERA technical framework (under 4RP)	3 576 308	1 979 882	1 000 000	1 000 000	-49,49%
Monitoring, evaluating and reporting	137 700	230 517			-100%
Delivering efficient and effective services	236 568	257 905			-100%
Other Activities	2 105 133	1 132 935	2 044 400		-100%
TOTAL	33 579 230	29 589 909	36 158 006	30 884 126	4,37%

3.2.1.2 Payment appropriations ERA

EXPENDITURE	Payment appropriations ERA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	21 932 096	22 920 659	25 935 030	25 935 030	13,15%
Salaries & allowances	21 384 570	22 030 909	24 891 760	24 891 760	12,99%
- Of which establishment plan posts	19 047 058	19 420 709	21 874 160	21 874 160	12,63%
- Of which external personnel	2 337 512	2 610 200	3 017 600	3 017 600	15,61%
Expenditure relating to Staff recruitment	43 865	70 000	80 000	80 000	14,29%
Employer's pension contributions	176 024	396 750	540 270	540 270	36,17%
Mission expenses	5 233	46 000	50 000	50 000	8,70%
Socio-medical infrastructure	27 770	57 000	60 000	60 000	5,26%
Training	108 221	120 000	150 000	150 000	25,00%
External Services	186 213	177 000	140 000	140 000	-20,90%
Receptions, events and representation	200	3 000	3 000	3 000	0,00%
Social welfare		20 000	20 000	20 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 867 605	2 122 000	2 523 000	2 523 000	18,90%
Rental of buildings and associated costs	1 015 475	1 045 000	1 286 000	1 286 000	23,06%
Information, communication technology and data processing	639 786	755 000	895 000	895 000	18,54%
Movable property and associated costs	31 774	90 000	75 000	75 000	-16,67%
Current administrative expenditure	32 433	7 000	12 000	12 000	71,43%
Postage / Telecommunications	125 890	185 000	215 000	215 000	16,22%
Meeting expenses	532	5 000	5 000	5 000	0,00%
Running costs in connection with operational activities					
Information and publishing	4 124	5 000	5 000	5 000	0,00%
Studies					
Other infrastructure and operating expenditure	17 591	30 000	30 000	30 000	0,00%
Title 3 - Operational expenditure	3 201 082	4 547 250	7 699 976	2 426 096	-46,65%
Contributing to shaping target reference and global reference for the EU rail system	75 229	173 563	4 655 576	1 426 096	721,66%
Developing the harmonised regulatory SERA technical framework	105 322	772 448			-100%
Implementing the harmonised regulatory SERA technical framework (under 4RP)	1 336 958	1 979 882	1 000 000	1 000 000	-49,49%
Monitoring, evaluating and reporting	120 436	230 517			-100%
Delivering efficient and effective services	202 263	257 905			-100%
Other Activities	1 360 874	1 132 935	2 044 400		-100%
TOTAL	27 000 783	29 589 909	36 158 006	30 884 126	4,37%

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 875 109	2 122 750	2 889 270	2 889 270	36,11%
Salaries & allowances	3 150 495	1 726 000	2 349 000	2 349 000	36,10%
- Of which establishment plan posts	2 709 426	1 536 000	2 130 600	2 130 600	38,71%
- Of which external personnel	441 069	190 000	218 400	218 400	14,95%
Expenditure relating to Staff recruitment					
Employer's pension contributions	724 614	396 750	540 270	540 270	36,17%
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure					
Rental of buildings and associated costs					
Information, communication technology and data processing					
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 476 803	1 000 000	1 000 000	1 000 000	0,00%
Contributing to shaping target reference and global reference for the EU rail system					
Developing the harmonised regulatory SERA technical framework					
Implementing the harmonised regulatory SERA technical framework (under 4RP)	1 476 803	1 000 000	1 000 000	1 000 000	0,00%
Monitoring, evaluating and reporting					
Delivering efficient and effective services					
Other Activities					
TOTAL	5 351 912	3 122 750	3 889 270	3 889 270	24,55%

3.2.2.2 Payment appropriations (Fees and charges)

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	1 452 279	2 122 750	2 889 270	2 889 270	36,11%
Salaries & allowances	1 276 255	1 726 000	2 349 000	2 349 000	36,10%
- Of which establishment plan posts	1 097 579	1 536 000	2 130 600	2 130 600	38,71%
- Of which external personnel	178 676	190 000	218 400	218 400	14,95%
Expenditure relating to Staff recruitment					
Employer's pension contributions	176 024	396 750	540 270	540 270	36,17%
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure					
Rental of buildings and associated costs					
Information, communication technology and data processing					
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	341 744	1 000 000	1 000 000	1 000 000	0,00%
Contributing to shaping target reference and global reference for the EU rail system					
Developing the harmonised regulatory SERA technical framework					
Implementing the harmonised regulatory SERA technical framework (under 4RP)	341 744	1 000 000	1 000 000	1 000 000	0,00%
Monitoring, evaluating and reporting					
Delivering efficient and effective services					
Other Activities					
TOTAL	1 794 023	3 122 750	3 889 270	3 889 270	24,55%

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	20 511 746	20 797 909	23 045 760	23 045 760	10,81%
Salaries & allowances	20 109 962	20 304 909	22 542 760	22 542 760	11,02%
- Of which establishment plan posts	17 949 479	17 884 709	19 743 560	19 743 560	10,39%
- Of which external personnel	2 160 483	2 420 200	2 799 200	2 799 200	15,66%
Expenditure relating to Staff recruitment	43 865	70 000	80 000	80 000	14,29%
Employer's pension contributions					
Mission expenses	6 000	46 000	50 000	50 000	8,70%
Socio-medical infrastructure	28 118	57 000	60 000	60 000	5,26%
Training	122 346	120 000	150 000	150 000	25,00%
External Services	201 255	177 000	140 000	140 000	-20,90%
Receptions, events and representation	200	3 000	3 000	3 000	0,00%
Social welfare		20 000	20 000	20 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 254 068	2 122 000	2 523 000	2 523 000	18,90%
Rental of buildings and associated costs	1 184 727	1 045 000	1 286 000	1 286 000	23,06%
Information, communication technology and data processing	852 649	755 000	895 000	895 000	18,54%
Movable property and associated costs	31 880	90 000	75 000	75 000	-16,67%
Current administrative expenditure	33 305	7 000	12 000	12 000	71,43%
Postage / Telecommunications	127 718	185 000	215 000	215 000	16,22%
Meeting expenses	532	5 000	5 000	5 000	0,00%
Running costs in connection with operational activities					
Information and publishing	4 124	5 000	5 000	5 000	0,00%
Studies					
Other infrastructure and operating expenditure	19 133	30 000	30 000	30 000	0,00%
Title 3 - Operational expenditure	5 461 504	3 547 250	6 699 976	1 426 096	-59,80%
Contributing to shaping target reference and global reference for the EU rail system	556 014	173 563	4 655 576	1 426 096	721,66%
Developing the harmonised regulatory SERA technical framework	326 584	772 448			-100%
Implementing the harmonised regulatory SERA technical framework (under 4RP)	2 099 505	979 882			-100%
Monitoring, evaluating and reporting	137 700	230 517			-100%
Delivering efficient and effective services	236 568	257 905			-100%
Other Activities	2 105 133	1 132 935	2 044 400		-100%
TOTAL	28 227 318	26 467 159	32 268 736	26 994 856	1,99%

3.2.3.2 Payment appropriations (EU Contribution)

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	20 479 817	20 797 909	23 045 760	23 045 760	10,81%
Salaries & allowances	20 108 315	20 304 909	22 542 760	22 542 760	11,02%
- Of which establishment plan posts	17 949 479	17 884 709	19 743 560	19 743 560	10,39%
- Of which external personnel	2 158 836	2 420 200	2 799 200	2 799 200	15,66%
Expenditure relating to Staff recruitment	43 865	70 000	80 000	80 000	14,29%
Employer's pension contributions					
Mission expenses	5 233	46 000	50 000	50 000	8,70%
Socio-medical infrastructure	27 770	57 000	60 000	60 000	5,26%
Training	108 221	120 000	150 000	150 000	25,00%
External Services	186 213	177 000	140 000	140 000	-20,90%
Receptions, events and representation	200	3 000	3 000	3 000	0,00%
Social welfare		20 000	20 000	20 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 867 605	2 122 000	2 523 000	2 523 000	18,90%
Rental of buildings and associated costs	1 015 475	1 045 000	1 286 000	1 286 000	23,06%
Information, communication technology and data processing	639 786	755 000	895 000	895 000	18,54%
Movable property and associated costs	31 774	90 000	75 000	75 000	-16,67%
Current administrative expenditure	32 433	7 000	12 000	12 000	71,43%
Postage / Telecommunications	125 890	185 000	215 000	215 000	16,22%
Meeting expenses	532	5 000	5 000	5 000	0,00%
Running costs in connection with operational activities					
Information and publishing	4 124	5 000	5 000	5 000	0,00%
Studies					
Other infrastructure and operating expenditure	17 591	30 000	30 000	30 000	0,00%
Title 3 - Operational expenditure	2 859 338	3 547 250	6 699 976	1 426 096	-59,80%
Contributing to shaping target reference and global reference for the EU rail system	75 229	173 563	4 655 576	1 426 096	721,66%
Developing the harmonised regulatory SERA technical framework	105 322	772 448			-100%
Implementing the harmonised regulatory SERA technical framework (under 4RP)	995 214	979 882			-100%
Monitoring, evaluating and reporting	120 436	230 517			-100%
Delivering efficient and effective services	202 263	257 905			-100%
Other Activities	1 360 874	1 132 935	2 044 400		-100%
TOTAL	25 206 760	26 467 159	32 268 736	26 994 856	1,99%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 114 224,6

4 Justification of needs

Commission assessment

Human Resources

The Commission notes ERA is requesting 3 new posts for 2022 (all fee financed) and supports the request. The Commission underlines that as of 31 October 2020 ERA is delivering decisions to the whole EU and faces unpredictable increase of applications which have implications on human resources and the delivery of the tasks.

Financial Resources

The Commission notes that Fees&Charges expenditure is planned to be used for the staff salaries and ‘Implementing the harmonised regulatory SERA technical framework (under 4RP)’. As a consequence, there is no expenditure planned for OSS maintenance (ERA estimate EUR 0,7 m), OSS being the main and only tool to manage the national and ERA applications.

The Commission raises its concerns regarding the F&CHs forecast and the annual revenue estimates which are deemed too high, in particular as regards commitment appropriations. It is unbalanced compared to the amounts ERA can or will bill. In this regard, the Commission notes Article 5(6) of Commission Implementing Regulation (EU) 2018/764 on the fees and charges payable to the European Union Agency. The provision enables ERA to invoice interim amounts every 6 months which should be applied to overcome the issue.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

15 Temporary agents and 3 Contract agents.

The following explanations have been provided in 2020 as regards the need for additional resources for the F&C related activities. These have been taken into account and an increase of the establishment plan was agreed by the EC as follows: 3 TAs in 2021 covered from F&C. Another 3 TAs are requested for DB 2022.

ERA considers the 3 additional TA are required due to reality demonstrating that the actual work for VA and SSC, is not in line with the assumptions of the Impact Assessment accompanying the 4th Railway Package Technical Pillar.

By June 2019, a part of the Member States have transposed the new legislation and the Agency has thus effectively started to deliver work for issuing Vehicle Authorisations (VA) and Single Safety Certificates (SSC). By June 2020 all the Member States will have transposed, and the Agency will therefore have to deal with all the VA and SSC requests for all Member States, both those for area of operation covering more than one Member States, and any domestic applications which the applicants decide to submit to the Agency.

It is important to note that the related administrative/support tasks are expected to be covered by efficiency improvement measures. The additional administrative work associated with billing and developing the support systems to sustain a charging regime have already been covered by efficiency savings following the Agency reorganization.

The estimated number of applications for 2021-2024 shows that the need for the 6 additional FTEs (3 in 2021 and 3 in 2022) will persist/increase.

No of applications (forecast)	2021	2022	2023	2024
SSC (including pre-engagement)	40	41	42	42
VA (including pre-engagement)	140	150	160	170
VA Conformity to type	600	650	700	750

It is important to underline that all the costs related to the 12 FTEs, as well to the 6 additional FTEs needed, would be entirely covered by fees and charges levied by the Agency and would therefore not impact the EC grant.

4.1.1.2 Number of staff requested (UE Contribution)

139 Temporary agents, 33 Contract agents, 4 Seconded National Experts.

4.1.2 Vacancy rate as of end 2020

4.1.2.1 Vacancy rate as of end 2020 (Fees and charges)

0%

4.1.2.2 Vacancy rate as of end 2020 (UE Contribution)

2,9% for Temporary agents; 21,21% for Contract agents; 75% for Seconded National Experts.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

Not applicable

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (UE Contribution)

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

15 TA: 15* EUR 142 040 year = EUR 2 130 600, by adding the pension contribution of 23% we get to EUR 2 620 638.

3 CA: 3* EUR 72800 year = EUR 2 184 000, by adding the pension contribution of 23% we get to EUR 2 686 320.

TOTAL COST = EUR 2 889 270.

4.1.4.2 Salary assumption for calculating salary line (% applied) (UE Contribution)

Temporary agents - Average annual cost, based on the projections starting with 2021 actuals and including assumptions related to steps, reclassification, turnover, annual travel costs, indexation = EUR 142 040 year

Proposed budget in Title 1 for TA= 139 x EUR 142 040 = EUR 19 743 560

Contract agents - Average annual cost, based on the projections starting with 2021 actuals and including assumptions related to steps, reclassification, turnover, annual travel costs, indexation = EUR 72 800 year

Proposed budget in Title 1 for CA = 33 x EUR 72.800 = EUR 2 402 400.

The following numbers are also included in the Title 1 calculation for salaries in addition to TAs and CAs:

Budget for an average of 6 trainees/year = EUR 91.200, budget for 4 SNE/year= 4 x EUR 76 400 = EUR 305 600

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

120,50

4.1.5.2 Correction coefficient used (EU Contribution)

120,50

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

N/A

4.1.6.2 Exchange rate used (if applicable) (UE Contribution)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charges)

15 TA: 15* EUR 142 040 year = EUR 2 130 600, by adding the pension contribution of 23% we get to EUR 2 620 638.

3 CA: 3* EUR 72 800 year = EUR 218 400, by adding the pension contribution of 23% we get to EUR 268 632.

TOTAL COST Title 1 (F&C) = EUR 2 889 270.

4.2.1.2 Title 1 (EU Contribution)

Title 1 (EU Contribution) = EUR 23 045 760. The largest share of this amount is represented by the salaries, as follows:

139 TAs (EUR 19 743 560)

33 CAs, 6 trainees and 4 SNEs (EUR 2 799 200)

The other categories of expenditure include: Expenditure relating to Staff recruitment (EUR 80 000); Mission expenses (EUR 50 000); Socio-medical infrastructure (EUR 60 000); Training (EUR 150 000); External Services (EUR 140 000); Receptions, events and representation (EUR 3 000); Social welfare (EUR 20 000).

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

Overhead part included in the Fees and Charges hourly rate shall be earmarked for expenditures in T2.

4.2.2.2 Title 2 (EU Contribution)

EUR 2 523 000

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

EUR 1 000 000 for the reimbursement of external experts for the OSS applications.

4.2.3.2 Title 3 (EU Contribution)

EUR 6 699 976. The requested amount in Title 3 is based on the impact of the budget 2021 on the Agency's operations (including setting negative priorities, such as a reduced pace in the development of the TSI 2022 package).

The Agency is committed to deliver on its obligations as an Authority (Vehicle Authorisation, Safety Certification and ERTMS trackside approval). The rationale for the 2022 request is based on the Agency's plans to proceed with the OSS enhancements, OSS maintenance, a comprehensive Data and digitalisation programme, increased IT security and expenditure cuts rolling from 2019.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

N/A

4.3.2 Ad hoc grants and delegation agreements (UE Contributions)

Additional EU funding stemming from grant agreements (FFR Art.7): EUR 479 298

This is linked to the IPA and EuMedRail grants received from the EC.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	ERA HQ	Valenciennes, 120 rue Marc Lefrang	5 250		5 250	455 103	1 year with tacit renewal		No	
2	Rental of 90 parking places from SAEM Valenciennes	Valenciennes		540	540	24 083	1 year with tacit renewal		No	
3	ERA meeting premises	299, Boulevard de Leeds – Lille	603		603	112 501	Contract will end on 31/12/2023.		No	
4	Rental of 1 parking place from Espace International	Boulevard de Leeds, Lille		6	6	2 626	Contract will end on 31/12/2023.		No	
TOTAL			5 853	546	6 399	594 313				

5.1.2 Current building(s) Other comments

ERA started using the current building in Valenciennes in 2009. This building was designed to accommodate about 160 headcount, where the layout of the offices privileged the use of the rooms by single occupants.

Due to the new tasks in relation to the certification and authorisation's activities requiring the recruitment of additional staff, the building was fitted in order to accommodate 251 desks. The higher number of staff (desks) in the building will have an impact on the maintenance costs.

In addition to the number of staff, a significant number of meetings will be organised in Valenciennes that will fully exploit all the facilities and technical services (e.g. HVAC systems) for which part of them are close to the end of operational life (12 years). The Agency estimates that about EUR 150 000 per year will be necessary to ensure the reliability of the technical installations at ERA headquarters..

5.1.3 Building projects in the planning phase

The Agency and the local French Authorities started the discussions to define the requirements related to the construction of a new building. Currently, the retained option is for a 300 posts building with the possibility to add a second building of 200 posts. The Agency is also considering the integration of building green certification to the project (Certification HQE -"Haute Qualité Environnementale"). In 2020, the Agency has managed to complete the phases 2 and 4 of the Study aiming at completing the technical file. This technical file will be required for elaborating both, the technical and financial dossier to be formally address to the EU Budgetary Authority for the financing of a new building. This technical file will also be the base for the selection of architects in the Phase 3 of the Study.

It is expected that the Agency will submit a request to the Budget Authority during the year 2021.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

French Authorities opened a European School in Lille.

5.3 Evaluation

According Article 82 of Regulation (2016) 796, an evaluation of ERA has to be carried out no later than 16 June 2020 and every 5 years thereafter, with the purpose to assess, in particular, the impact, effectiveness and efficiency of the Agency and its working practices, taking into account all relevant work by the Court of Auditors and the views and recommendations of relevant stakeholders, including national safety authorities, representatives of the railway sector, social partners and consumer organisations. By 16 June 2023 the Commission shall assess the functioning of the dual system for vehicle authorisation and safety certification, the one-stop shop related thereto and the harmonised implementation of ERTMS in the Union and shall forward the evaluation report together with its conclusions thereon to the European Parliament, the Council and the Management Board. The findings of the evaluation shall be made public.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
AGREED	SEAT AGREEMENT signed in 2019 but needs a ratification by the French Parliament before it enters into force.	
VAT EXEMPTION	DIPLOMATIC STATUS FOR DIRECTOR ONLY	
	PROTOCOL OF PRIVILEGES AND IMMUNITIES APPLICABLE TO STAFF	

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 713/2009 of the European Parliament and of the Council establishing an Agency for the Cooperation of Energy Regulators Regulation (EU) 2019/942 of the European Parliament and of the Council establishing a European Union Agency for the Cooperation of Energy Regulators	13 July 2009, 05 June 2019	The Agency assists the National Regulatory Authorities (NRAs) at EU level with their regulatory tasks in the Member States and coordinates their action; participates in the creation of European network rules; issues opinions on network-related tasks performed by Transmission System Operators (TSOs); can take binding individual decisions on terms and conditions for access and operational security for cross border infrastructure; advise the EU institutions; monitors and reports developments in the energy markets.
Directive 2009/72/EC of the European Parliament and of the Council on common rules for the internal market in electricity, repealing Directive 2003/54/EC Regulation (EU) 2019/943 of the European Parliament and of the Council on the internal market for electricity	13 July 2009, 05 June 2019	ACER shall cooperate with NRAs and TSOs to ensure the compatibility of the regulatory frameworks across the Union with the aim of creating a competitive internal market in electricity; exercise the tasks conferred on the NRAs upon their joint request and in the absence of agreement between them; and provide its opinion to regulatory authorities or to the Commission.
Directive 2009/73/EC concerning common rules for the internal market in natural gas and repealing Directive 2003/55/EC	13 July 2009	ACER shall cooperate with NRAs and TSOs to ensure the compatibility of the regulatory frameworks across EU with the aim of creating an internal market in natural gas; exercise the tasks conferred on the NRAs upon their joint request and in the absence of agreement between them; and provide its opinion to the regulatory authority or to the Commission
Regulation (EC) No 714/2009 on conditions for access to the network for cross-border exchanges in electricity and repealing Regulation (EC) No 1228/2003 Regulation (EU) 2019/943 of the European Parliament and of the Council on the internal market for electricity	13 July 2009, 05 June 2019	The Agency issues opinions to the Commission on the draft statutes, list of members and draft rules of procedure of the European network of transmission system operators for electricity (ENTSO-E); submits and recommends for adoption by the Commission framework guidelines for the development of network codes; provide opinions to ENTSO-E on network codes; review the national ten-year network development plans; monitor the execution of ENTSO-E's tasks and the network codes implementation.
Regulation (EC) No 715/2009 on conditions for access to the natural gas transmission networks and repealing Regulation (EC) No 1775/2005	13 July 2009	The Agency issues opinions to the Commission on the draft statutes, list of members and draft rules of procedure of the European network of transmission system operators for gas (ENTSOG); submits and recommends for adoption by the Commission framework guidelines for the development of network codes; provide opinions to ENTSOG on network codes; monitor the execution of ENTSOG's tasks and the network codes implementation.
Regulation (EU) No 838/2010 on guidelines relating to the inter-transmission system operator compensation	23 September 2010	The Agency shall formulate a proposal to the Commission on the annual cross-border infrastructure compensation sum for the Inter-TSO Compensation (ITC) mechanism and report to the Commission; verify the criteria for the valuation of losses at national level; monitor the

mechanism and a common regulatory approach to transmission charging		appropriateness of the ranges of allowable transmission charges and provide its opinion to the Commission
Regulation (EU) No 1227/2011 on wholesale energy market integrity and transparency (REMIT)	25 October 2011	The Agency shall monitor wholesale energy markets to detect market manipulation, insider trading; collect records of transactions and orders to trade reported by market participants; establish and maintain a central European register of energy market participants; assess the operation and transparency of market places and ways of trading; cooperate with the NRAs on the implementation of REMIT across EU and cooperate with ESMA, national financial and competition authorities.
Regulation (EU) No 347/2013 on guidelines for trans-European energy infrastructure and repealing Decision 1364/2006/EC and amending Regulation (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009	17 April 2013	The Agency shall assist NRAs in the evaluation of the Projects of Common Interest (PCIs); issue opinion on the draft PCI lists, on the methodologies, for a harmonised energy system-wide Cost-Benefit Analysis at Union-wide level proposed by ENTSOs; participate in the monitoring of PCIs implementation; and facilitate the sharing of good practices and providing recommendations on incentives and common methodology to evaluate the incurred higher risks of investments in electricity and gas transmission.
Regulation (EU) No 543/2013 on submission and publication of data in electricity markets, amending Annex I to Regulation (EC) No 714/2009	14 June 2013	The Agency shall provide an opinion on the proposal concerning the operation of the central information transparency platform and on any update of the manual of procedures developed by ENTSO-E.
Regulation (EU) 2017/1938 concerning measures to safeguard the security of gas supply	25 October 2017	The Agency shall take decisions on CBCA; issue opinions on proposals or exemption requests and on the elements of coordinated decisions; participate in consultations on the establishment of permanent bi-directional capacity at interconnection points; and participate in the Gas Coordination Group.
Commission Implementing Regulation No 1348/2014 on data reporting implementing Art. 8(2) of Regulation No 1227/2011 on wholesale energy market integrity and transparency	17 December 2014	The Agency shall monitor the wholesale energy markets in the Union; draw up, publish, maintain and update lists of standard contracts and organised market places; establish procedures, standards and electronic formats based on established industry standards for reporting of information; develop technical and organisational requirements for submitting data; and assess whether reporting parties comply with the requirements.
Commission Regulation (EU) No 312/2014 establishing a Network Code on Gas Balancing of Transmission Networks	26 March 2014	The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring.
Commission Regulation (EU) 2015/703 establishing a network code on interoperability and data exchange rules	30 April 2015	The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring.
Commission Decision (EU) 2015/715 amending Annex I to Regulation (EC) No 715/2009 on conditions for access to the natural gas transmission networks	30 April 2015	The Agency shall monitor the implementation of, the compliance with and the effects of the provisions in the Network Code and publish the results of such monitoring; and publish an annual report on Congestion at Interconnection Points.
Commission Regulation (EU) 2015/1222 establishing guideline on capacity allocation and congestion management	24 July 2015,	The Agency shall take decisions in the absence of agreement between NRAs on the common terms and conditions or methodologies; monitor NEMO's progress in establishing and performing the MCO functions and report to the Commission; issue an opinion on the proposals for terms and conditions or methodologies; assess the efficiency of current bidding zone configuration and draft a market report; and organise the involvement of stakeholders

Commission Regulation (EU) 2016/631 establishing a network code on requirements for grid connection of generators	14 April 2016	The Agency shall: organise stakeholder involvement regarding the requirements for grid connection of power-generating facilities; produce a list of information to be communicated by ENTSO-Electricity to the Agency; and monitor the procedure of granting derogations
Commission Regulation (EU) 2016/1388 establishing a network code on demand connection	17 August 2016	The Agency shall organise stakeholder involvement on the requirements for the grid connection of transmission-connected demand and distribution facilities, distribution systems and demand units; monitor the procedure of granting derogations with the cooperation of NRAs; and produce a list of information to be communicated by ENTSO-E to the Agency.
Commission Regulation (EU) 2016/1447 establishing a network code on requirements for grid connection of high voltage direct current systems and direct current-connected power park modules	26 August 2016	The Agency shall organise stakeholder involvement on the requirements for grid connection of HVDC systems and DC-connected power park modules; draw up a list of information to be communicated by ENTSO-E to the Agency; and monitor the procedure of granting derogations with the cooperation of the regulatory authorities or relevant authorities of the Member State.
Commission Regulation (EU) 2016/1719 establishing a guideline on forward capacity allocation	26 September 2016	The Agency shall issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding forward capacity allocation; and draw up a list of information to be communicated by ENTSO-E.
Commission Regulation (EU) 2017/459 establishing a network code on capacity allocation mechanisms in gas transmission systems and repealing Regulation (EU) No 984/2013	16 March 2017	The Agency shall publish implementation monitoring reports, covering both compliance and effects monitoring; decide on the use of a single capacity booking platform in cases where the TSOs and NRAs involved cannot agree; and publish a report on conditional capacities; publish an Opinion on ENTSOG's report and proposed format for General Terms and Conditions.
Commission Regulation (EU) 2017/460 establishing a network code on harmonised transmission tariff structures for gas	16 March 2017	The Agency shall publish implementation monitoring reports, covering both compliance and implementation monitoring; review national reference price methodologies; publish a report on allowed revenues; and publish a recommendation on tariff multipliers.
Commission Regulation (EU) 2017/1485 establishing a guideline on electricity transmission system operation	2 August 2017	The Agency shall issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding system operation; and draw up a list of information to be communicated by ENTSO-E.
Commission Regulation (EU) 2017/2195 establishing a guideline on electricity balancing	23 November 2017	The Agency shall issue opinions on the participation of Switzerland in the European platforms for the exchange of standard products for balancing energy; on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs; organise stakeholder involvement regarding balancing; draw up a list of information to be communicated by ENTSO-E
Commission Regulation (EU) 2017/2196 establishing a network code on emergency and restoration	24 November 2017	The Agency shall organise stakeholder involvement regarding the implementation of this Regulation and system operation; produce lists of information to be communicated by ENTSO-Electricity to the Agency; issue an opinion on the proposals for terms and conditions or methodologies and adopt a decision in the absence of agreement among NRAs
Regulation (EU) 2019/941 of the European Parliament and of the Council On risk-preparedness in the electricity sector and repealing directive 2005/89/EC	5 June 2019	The Agency shall: <ul style="list-style-type: none"> - coordinate national actions related to risk preparedness with regulatory oversight over the RCC (Regional Coordination Centres), amend or approving changes of a proposal for a methodology for assessing short-term adequacy - seasonal adequacy, week-ahead to intraday adequacy submitted by ENTSO-E.

		<ul style="list-style-type: none"> - amend or approve the proposal for a methodology for identifying the most relevant electricity crisis scenarios in a regional context submitted by ENTSO-E. - monitor the security of supply measures and reporting regularly to the ECG. <p>The Agency shall monitor the performance of Regional Coordination Centres with the NRAs and ENTSO-E, through submitted data resulting from their continuous monitoring; issue a decision on the configuration of system operation regions based on proposal from ENTSO-E.</p>
Regulation (EU) 2019/943 of the European Parliament and of the Council on the internal market for electricity	05 June 2019	<p>The Agency shall:</p> <ul style="list-style-type: none"> - amend or approve technical parameters developed by ENTSO-E for an efficient participation of cross-border capacities in capacity mechanisms and other technical features of capacity mechanisms. - amend or approve draft methodologies for calculating: the value of lost load; the "cost of new entry" for generation, or demand response; and the reliability standard expressed as "expected energy not served" and the "loss of load expectation". - amend or approve changes of a proposal for a methodology for assessing short-term adequacy - seasonal adequacy, week-ahead to intraday adequacy submitted by ENTSO-E. <p>The Agency shall publish Best practice report on the transmission and distribution tariff methodologies every two years. The Agency shall publish Opinion on technical guidance for the calculation of CO2 emission limits.</p>
Directive (EU) 2019/944 of the European Parliament and of the Council on common rules for the internal market for electricity and amending directive 2012/27/EU	05 June 2019	<p>The Agency, in close cooperation with the national regulatory authorities and the ENTSO for Electricity, shall monitor and analyse the performance of Regional Coordination Centres.</p> <p>The Agency shall support DSOs to submit to the Commission and to the Agency the draft statutes, a list of registered members, the draft rules of procedure, including the rules of procedures on the consultation with ENTSO-E and other stakeholders and the financing rules, of the EU DSO entity to be established. Issuing an opinion on the draft statutes, list of members, draft rules of procedures, DSO annual work programme and other relevant documents. Issuing a decision for DSO to provide any relevant information necessary for carrying out monitoring pursuant. In its decisions, the Agency shall make a reference to the legal basis under which the information is requested, the time limit within which the information is to be provided, and the purpose of the request.</p>
Commission Decision (EU) 2020/2152 of 17 December 2020 on fees due to the European Union Agency for the Cooperation of Energy Regulators for collecting, handling, processing and analysing of information reported under Regulation (EU) No 1227/2011 of the European Parliament and of the Council		<p>Fees are introduced to improve the Agency's funding and to cover the costs related to monitoring of the wholesale energy market in order to ensure, in close collaboration with the national regulatory authorities and other national authorities, their effective oversight.</p>

1.2 Seat

Ljubljana / Slovenia

1.3 Budget Line

02 10 06 : European Union Agency for the Cooperation of Energy Regulators (ACER)

1.4 Human Resources overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

1.4.1 Human Resources Overview ACER

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	59	59	100,00%	59	60
Assistants (AST)	12	12	100,00%	12	12
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	71	71	100,00%	71	72
Contract Agents (CA)	33	30	90,91%	36	36
Seconded National Experts (SNE)	4	4	100,00%	4	4
TOTAL STAFF	108	105	97,22%	111	112

1.4.2 Human Resources Overview (Fees and charges)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)					
Assistants (AST)					
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS					
Contract Agents (CA)					
Seconded National Experts (SNE)					
TOTAL STAFF					

1.4.3 Human Resources Overview (EU Contribution)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	59	59	100,00%	59	60
Assistants (AST)	12	12	100,00%	12	12
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	71	71	100,00%	71	72
Contract Agents (CA)	33	30	90,91%	36	36
Seconded National Experts (SNE)	4	4	100,00%	4	4
TOTAL STAFF	108	105	97,22%	111	112

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ACER

1.5.1.1 Revenues Overview ACER

1.5.1.1.1 General revenues ACER

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 434 363	14 800 050
Other Revenue	9 208 372	10 041 857
TOTAL REVENUES	23 642 735	24 841 907

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ACER

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.1.2 Expenditure Overview ACER

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	12 009 458	12 009 458	12 588 690	12 588 690
Title 2 - Infrastructure and operating expenditure	4 304 260	4 304 260	3 700 887	3 700 887
Title 3 - Operational expenditure	7329017	7329017	8552330	8552330
TOTAL EXPENDITURE	23 642 735	23 642 735	24 841 907	24 841 907

1.5.2 Financial Resources Overview (Fees and charges)

1.5.2.1 Revenues Overview (Fees and charges)

1.5.2.1.1 General revenues (Fees and charges)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution		
Other Revenue	8 824 000	9 650 169
TOTAL REVENUES	8 824 000	9 650 169

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees and charges)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2.2 Expenditure Overview (Fees and charges)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 102 814	3 102 814	3 464 143	3 464 143
Title 2 - Infrastructure and operating expenditure	333 861	333 861	225 528	225 528
Title 3 - Operational expenditure	5 387 325	5 387 325	5 960 498	5 960 498
TOTAL EXPENDITURE	8 824 000	8 824 000	9 650 169	9 650 169

1.5.3 Financial Resources Overview (EU Contribution)

1.5.3.1 Revenues Overview (EU Contribution)

1.5.3.1.1 General revenues (EU Contribution)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 434 363	14 800 050
Other Revenue	384 372	391 688
TOTAL REVENUES	14 818 735	15 191 738

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (EU Contribution)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.3.2 Expenditure Overview (EU Contribution)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	8 906 644	8 906 644	9 124 547	9 124 547
Title 2 - Infrastructure and operating expenditure	3 970 399	3 970 399	3 475 359	3 475 359
Title 3 - Operational expenditure	1 941 692	1 941 692	2 591 832	2 591 832
TOTAL EXPENDITURE	14 818 735	14 818 735	15 191 738	15 191 738

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts ACER

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13		3				4		4		4
AD 12		3		4		3		3		3
AD 11		5		3		4		4		4
AD 10		3		4		3		7		3
AD 9		8		7		12		10		12
AD 8		8		10		9		9		9
AD 7		8		10		12		14		13
AD 6		14		11		10		8		10
AD 5		6		9		1		6		1
AD TOTAL		59		59		59		66		60
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		1				2		3		2
AST 5		2		3		4		5		4
AST 4		6		2		3		4		3
AST 3		3		7		3				3
AST 2										
AST 1										
AST TOTAL		12		12		12		12		12
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		71		71		71		78		72
GRAND TOTAL		71		71		71		78		72

2.1.2 Establishment plan posts (Fees and charges)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13										
AD 12										
AD 11										
AD 10										
AD 9										
AD 8										
AD 7										
AD 6										
AD 5								4		
AD TOTAL								4		
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL								4		
GRAND TOTAL								4		

2.1.3 Establishment plan posts (EU Contribution)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13		3				4		4		4
AD 12		3		4		3		3		3
AD 11		5		3		4		4		4
AD 10		3		4		3		7		3
AD 9		8		7		12		10		12
AD 8		8		10		9		9		9
AD 7		8		10		12		14		13
AD 6		14		11		10		8		10
AD 5		6		9		1		2		1
AD TOTAL		59		59		59		62		60
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		1				2		3		2
AST 5		2		3		4		5		4
AST 4		6		2		3		4		3
AST 3		3		7		3				3
AST 2										
AST 1										
AST TOTAL		12		12		12		12		12
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		71		71		71		74		72
GRAND TOTAL		71		71		71		74		72

2.2 External personnel

2.2.1 External personnel ACER

2.2.1.1 Contract Agents ACER

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	28	26	31	31
Function Group III	5	4	5	5
Function Group II				
Function Group I				
TOTAL	33	30	36	36

2.2.1.2 Seconded National Experts ACER

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	4	4	4	4

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV				
Function Group III				
Function Group II				
Function Group I				
TOTAL				

2.2.2.2 Seconded National Experts (Fees and charges)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	28	26	31	31
Function Group III	5	4	5	5
Function Group II				
Function Group I				
TOTAL	33	30	36	36

2.2.3.2 Seconded National Experts (EU Contribution)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	4	4	4	4

3 Financial Resources

3.1 Financial Resources ACER

3.1.1 Revenues ACER

3.1.1.1 General revenues ACER

REVENUES	General revenues ACER				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES		8 824 000	9 650 169	9 650 169	9,36%
2 EU CONTRIBUTION	17 101 758	14 434 363	14 800 050	14 800 050	2,53%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	293 657	198 348	293 103	293 103	47,77%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		384 372	391 688	391 688	1,90%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>		384 372	391 688	391 688	1,90%
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	17 101 758	23 642 735	24 841 907	24 841 907	5,07%

3.1.1.2 Additional EU funding: contribution, grant and service level agreements ACER

REVENUES	Additional EU funding: contribution, grant and service level agreements ACER				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.1.2 Expenditure ACER

3.1.2.1 Commitment appropriations ACER

EXPENDITURE	Commitment appropriations ACER				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	10 045 253	12 009 458	12 961 046	12 588 690	4,82%
Salaries & allowances	9 040 988	10 520 284	11 304 910	10 932 554	3,92%
<i>- Of which establishment plan posts</i>	7 158 613	8 042 164	8 819 714	8 414 033	4,62%
<i>- Of which external personnel</i>	1 882 375	2 478 120	2 485 196	2 518 521	1,63%
Expenditure relating to Staff recruitment	16 319	72 000	67 200	67 200	-6,67%
Employer's pension contributions					
Mission expenses	21 599	135 000	125 200	125 200	-7,26%

EXPENDITURE	Commitment appropriations ACER				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	12 558	66 500	81 660	81 660	22,80%
Training	210 696	273 984	338 176	338 176	23,43%
External Services	499 338	648 190	747 200	747 200	15,27%
Receptions, events and representation	14 057	16 500	16 500	16 500	0,00%
Social welfare	73 499	27 000	33 000	33 000	22,22%
Other Staff related expenditure	156 199	250 000	247 200	247 200	-1,12%
Title 2 - Infrastructure and operating expenditure	3 298 580	4 304 260	3 700 887	3 700 887	-14,02%
Rental of buildings and associated costs	1 117 483	1 290 300	1 322 228	1 322 228	2,47%
Information, communication technology and data processing	623 475	962 000	555 500	555 500	-42,26%
Movable property and associated costs	115 586	160 600	140 303	140 303	-12,64%
Current administrative expenditure	167 992	209 200	230 150	230 150	10,01%
Postage / Telecommunications	48 355	104 600	75 800	75 800	-27,53%
Meeting expenses	793 825	1 212 560	1 131 906	1 131 906	-6,65%
Running costs in connection with operational activities	104 819	365 000	245 000	245 000	-32,88%
Information and publishing					
Studies	327 045				
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 757 925	7 329 017	8 179 974	8 552 330	16,69%
Meetings	41 781	262 250	161 500	161 500	-38,42%
REMIT operations	3 278 539	4 630 075	5 340 000	5 712 356	23,38%
Translation expenses	310 381	513 600	667 272	667 272	29,92%
Website, information and publication	127 224	332 200	240 200	240 200	-27,69%
Expert consultations		1 590 892	1 771 002	1 771 002	11,32%
TOTAL	17 101 758	23 642 735	24 841 907	24 841 907	5,07%

3.1.2.2 Payment appropriations ACER

EXPENDITURE	Payment appropriations ACER				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 782 663	12 009 458	12 961 046	12 588 690	4,82%
Salaries & allowances	8 998 605	10 520 284	11 304 910	10 932 554	3,92%
- Of which establishment plan posts	7 116 229	8 042 164	8 819 714	8 414 033	4,62%
- Of which external personnel	1 882 376	2 478 120	2 485 196	2 518 521	1,63%
Expenditure relating to Staff recruitment	15 319	72 000	67 200	67 200	-6,67%
Employer's pension contributions					
Mission expenses	17 151	135 000	125 200	125 200	-7,26%
Socio-medical infrastructure	5 950	66 501	81 660	81 660	22,80%
Training	103 609	273 984	338 176	338 176	23,43%
External Services	482 892	648 190	747 200	747 200	15,27%
Receptions, events and representation	7 963	16 500	16 500	16 500	0,00%
Social welfare	1 386	27 000	33 000	33 000	22,22%
Other Staff related expenditure	149 788	249 999	247 200	247 200	-1,12%
Title 2 - Infrastructure and operating expenditure	1 838 755	4 304 260	3 700 887	3 700 887	-14,02%

EXPENDITURE	Payment appropriations ACER				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Rental of buildings and associated costs	1 059 227	1 290 300	1 322 228	1 322 228	2,47%
Information, communication technology and data processing	126 359	962 000	555 500	555 500	-42,26%
Movable property and associated costs	109 054	160 600	140 303	140 303	-12,64%
Current administrative expenditure	112 666	209 200	230 150	230 150	10,01%
Postage / Telecommunications	32 816	104 600	75 800	75 800	-27,53%
Meeting expenses	191 833	1 212 560	1 131 906	1 131 906	-6,65%
Running costs in connection with operational activities	56 134	365 000	245 000	245 000	-32,88%
Information and publishing					
Studies	150 666				
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 401 398	7 329 017	8 179 974	8 552 330	16,69%
Meetings	58 399	262 250	161 500	161 500	-38,42%
REMIT operations	2 142 975	4 630 075	5 340 000	5 712 356	23,38%
Translation expenses	191 171	513 600	667 272	667 272	29,92%
Website, information and publication	8 853	332 200	240 200	240 200	-27,69%
Expert consultations		1 590 892	1 771 002	1 771 002	11,32%
TOTAL	14 022 816	23 642 735	24 841 907	24 841 907	5,07%

3.2 Financial Resources (Fees and charges)

3.2.1 Revenues (Fees and charges)

3.2.1.1 General revenues (Fees and charges)

REVENUES	General revenues (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES		8 824 000	9 650 169	9 650 169	9,36%
2 EU CONTRIBUTION					
<i>- Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL		8 824 000	9 650 169	9 650 169	9,36%

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees and charges)

REVENUES	Additional EU funding: contribution, grant and service level agreements (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure		3 102 814	3 464 143	3 464 143	11,65%
Salaries & allowances		2 922 945	3 335 215	3 335 215	14,10%
- Of which establishment plan posts		2 106 115	2 477 984	2 477 984	17,66%
- Of which external personnel		816 830	857 231	857 231	4,95%
Expenditure relating to Staff recruitment		14 400			-100%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure		20 012	25 589	25 589	27,87%
Training		57 135	87 343	87 343	52,87%
External Services					
Receptions, events and representation		4 965	5 332	5 332	7,39%
Social welfare		8 125	10 664	10 664	31,25%
Other Staff related expenditure		75 232			-100%
Title 2 - Infrastructure and operating expenditure		333 861	225 528	225 528	-32,45%
Rental of buildings and associated costs		333 861	225 528	225 528	-32,45%
Information, communication technology and data processing					
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure		5 387 325	5 960 498	5 960 498	10,64%
Meetings		57 250	57 000	57 000	-0,44%
REMIT operations		4 630 075	5 340 000	5 340 000	15,33%
Translation expenses					
Website, information and publication					
Expert consultations		700 000	563 498	563 498	-19,50%

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
TOTAL		8 824 000	9 650 169	9 650 169	9,36%

3.2.2.2 Payment appropriations (Fees and charges)

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure		3 102 814	3 464 143	3 464 143	11,65%
Salaries & allowances		2 922 945	3 335 215	3 335 215	14,10%
- Of which establishment plan posts		2 106 115	2 477 984	2 477 984	17,66%
- Of which external personnel		816 830	857 231	857 231	4,95%
Expenditure relating to Staff recruitment		14 400			-100%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure		20 013	25 589	25 589	27,86%
Training		57 135	87 343	87 343	52,87%
External Services					
Receptions, events and representation		4 965	5 332	5 332	7,39%
Social welfare		8 125	10 664	10 664	31,25%
Other Staff related expenditure		75 231			-100%
Title 2 - Infrastructure and operating expenditure		333 861	225 528	225 528	-32,45%
Rental of buildings and associated costs		333 861	225 528	225 528	-32,45%
Information, communication technology and data processing					
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure		5 387 325	5 960 498	5 960 498	10,64%
Meetings		57 250	57 000	57 000	-0,44%
REMIT operations		4 630 075	5 340 000	5 340 000	15,33%
Translation expenses					
Website, information and publication					
Expert consultations		700 000	563 498	563 498	-19,50%
TOTAL		8 824 000	9 650 169	9 650 169	9,36%

3.2.3 Budget Outturn (Fees and charges)

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): n/a

3.3 Financial Resources (EU Contribution)

3.3.1 Revenues (EU Contribution)

3.3.1.1 General revenues (EU Contribution)

REVENUES	General revenues (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	17 101 758	14 434 363	14 800 050	14 800 050	2,53%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	293 657	198 348	293 103	293 103	47,77%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		384 372	391 688	391 688	1,90%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>		384 372	391 688	391 688	1,90%
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	17 101 758	14 818 735	15 191 738	15 191 738	2,52%

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (EU Contribution)

REVENUES	Additional EU funding: contribution, grant and service level agreements (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.3.2 Expenditure (EU Contribution)

3.3.2.1 Commitment appropriations (EU Contribution)

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	10 045 253	8 906 644	9 496 903	9 124 547	2,45%
Salaries & allowances	9 040 988	7 597 339	7 969 695	7 597 339	0,00%
<i>- Of which establishment plan posts</i>	7 158 613	5 936 049	6 341 730	5 936 049	0,00%
<i>- Of which external personnel</i>	1 882 375	1 661 290	1 627 965	1 661 290	0,00%
Expenditure relating to Staff recruitment	16 319	57 600	67 200	67 200	16,67%
Employer's pension contributions					
Mission expenses	21 599	135 000	125 200	125 200	-7,26%
Socio-medical infrastructure	12 558	46 488	56 071	56 071	20,61%

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Training	210 696	216 849	250 833	250 833	15,67%
External Services	499 338	648 190	747 200	747 200	15,27%
Receptions, events and representation	14 057	11 535	11 168	11 168	-3,18%
Social welfare	73 499	18 875	22 336	22 336	18,34%
Other Staff related expenditure	156 199	174 768	247 200	247 200	41,44%
Title 2 - Infrastructure and operating expenditure	3 298 580	3 970 399	3 475 359	3 475 359	-12,47%
Rental of buildings and associated costs	1 117 483	956 439	1 096 700	1 096 700	14,66%
Information, communication technology and data processing	623 475	962 000	555 500	555 500	-42,26%
Movable property and associated costs	115 586	160 600	140 303	140 303	-12,64%
Current administrative expenditure	167 992	209 200	230 150	230 150	10,01%
Postage / Telecommunications	48 355	104 600	75 800	75 800	-27,53%
Meeting expenses	793 825	1 212 560	1 131 906	1 131 906	-6,65%
Running costs in connection with operational activities	104 819	365 000	245 000	245 000	-32,88%
Information and publishing					
Studies	327 045				
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 757 925	1 941 692	2 219 476	2 591 832	33,48%
Meetings	41 781	205 000	104 500	104 500	-49,02%
REMIT operations	3 278 539			372 356	100%
Translation expenses	310 381	513 600	667 272	667 272	29,92%
Website, information and publication	127 224	332 200	240 200	240 200	-27,69%
Expert consultations		890 892	1 207 504	1 207 504	35,54%
TOTAL	17 101 758	14 818 735	15 191 738	15 191 738	2,52%

3.3.2.2 Payment appropriations (EU Contribution)

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 782 663	8 906 644	9 496 903	9 124 547	2,45%
Salaries & allowances	8 998 605	7 597 339	7 969 695	7 597 339	0,00%
- Of which establishment plan posts	7 116 229	5 936 049	6 341 730	5 936 049	0,00%
- Of which external personnel	1 882 376	1 661 290	1 627 965	1 661 290	0,00%
Expenditure relating to Staff recruitment	15 319	57 600	67 200	67 200	16,67%
Employer's pension contributions					
Mission expenses	17 151	135 000	125 200	125 200	-7,26%
Socio-medical infrastructure	5 950	46 488	56 071	56 071	20,61%
Training	103 609	216 849	250 833	250 833	15,67%
External Services	482 892	648 190	747 200	747 200	15,27%
Receptions, events and representation	7 963	11 535	11 168	11 168	-3,18%
Social welfare	1 386	18 875	22 336	22 336	18,34%
Other Staff related expenditure	149 788	174 768	247 200	247 200	41,44%
Title 2 - Infrastructure and operating expenditure	1 838 755	3 970 399	3 475 359	3 475 359	-12,47%
Rental of buildings and associated costs	1 059 227	956 439	1 096 700	1 096 700	14,66%

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Information, communication technology and data processing	126 359	962 000	555 500	555 500	-42,26%
Movable property and associated costs	109 054	160 600	140 303	140 303	-12,64%
Current administrative expenditure	112 666	209 200	230 150	230 150	10,01%
Postage / Telecommunications	32 816	104 600	75 800	75 800	-27,53%
Meeting expenses	191 833	1 212 560	1 131 906	1 131 906	-6,65%
Running costs in connection with operational activities	56 134	365 000	245 000	245 000	-32,88%
Information and publishing					
Studies	150 666				
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 401 398	1 941 692	2 219 476	2 591 832	33,48%
Meetings	58 399	205 000	104 500	104 500	-49,02%
REMIT operations	2 142 975			372 356	100%
Translation expenses	191 171	513 600	667 272	667 272	29,92%
Website, information and publication	8 853	332 200	240 200	240 200	-27,69%
Expert consultations		890 892	1 207 504	1 207 504	35,54%
TOTAL	14 022 816	14 818 735	15 191 738	15 191 738	2,52%

3.3.3 Budget Outturn (EU Contribution)

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 293 103,84

4 Justification of needs

Commission assessment

Human Resources

The Commission notes that the income from fees allows ACER to mitigate the current understaffing in the departments implementing Regulation (EU) 1227/2001 (REMIT).

The Commission supports 1 additional TA to implement additional tasks from the planned revised Regulation on guidelines for trans-European energy infrastructure (TEN-E) as outlined in the Legislative Financial Statement accompanying the Commission's proposal of 15.12.2020 (COM(2020) 824 final).

The Commission does not support at this stage the further requested increase of 6 TA, 2 CA and 1 SNE. This requested increase in human resources will be the subject of a Commission assessment of ACER needs to be issued in 2021. This assessment will be supported by the Commission opinion on the Work Programme of ACER for 2022, and could translate in higher proposed needs in the future which may result in a proposed adjustment of ACER staff in the autumn amending letter to the DB. .

Financial Resources

The Commission proposes an EU Contribution in line with the financial programming for 2022 as outlined in the EU Budget for 2021.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

In order to meet the service level expectation of the reporting parties from which the agency is receiving income the agency requests four temporary agents (AD 5), two contract agents (FGIV) and one SNEs.

The 4 additional TA posts (2 monitoring officers and 2 conduct officers) are requested to build-up to the resources required to perform market surveillance and case coordination fulfilling the Agency's mandate under Article 7 and 16 of REMIT. The Agency aims to expand the market surveillance coverage to an appropriate level. Currently ACER follows

up actively only approximately 30% of these cases (+250) due to the limited number (6) of case handlers. This risks inconsistent application by NRAs of market abuse provisions, which in turn risks diminishing the overall effectiveness of REMIT as a regulatory framework.

ACER also requests two additional CA posts (CA FGIV) for information and cybersecurity activities to manage the additional information security workload due to the handling of sensitive information under REMIT.

One additional SNE is required for REMIT transaction reporting guidance and data quality analysis to meet the expectation of improved services by the registered reporting parties and market participants.

4.1.1.2 Number of staff requested (EU Contribution)

For the implementation of its tasks, the Agency requests three additional temporary agents (1x AD 5 and 2x AD7) and three SNEs for the year 2022. Their tasks and involvement in the Agency's activities are summarised below.

- One AD 5 is required for infrastructure area to conduct extensive consultations, publish the Framework Guidelines for the joint scenarios to be developed by the ENTSOs and regularly update them; assess the guidelines for the inclusion of projects in the TYNP and publish the report on Unit Investment Costs.
- Two AD 7 posts are required to support the legal workload faced from the various decisions, which the Agency has been entrusted to take and that relate to essential features, which are at the core of the internal electricity market.
- The support of two SNEs is required in the area of cybersecurity as this goes beyond the usual work performed by ACER staff so far. Given the novelty of cybersecurity for ACER, dedicated Network Codes (NC) will have to be developed and adopted in the next couple of years for both areas, cybersecurity and demand side flexibility. ACER will play an important role in monitoring their effective implementation, identifying possible shortcomings and the effects of these NCs on the IEM.
- In the light of the Trade and Collaboration Agreement between UK and EU, one SNE post is requested to support the Agency. The tasks will mostly be in the a) the drafting of opinions on TSO proposals of technical procedures, b) ensuring the cooperation on network development and Security of Supply, and c) ensuring coordinated monitoring of REMIT-related topics.

4.1.2 Vacancy rate as of end 2020

4.1.2.1 Vacancy rate as of end 2020 (Fees and charges)

N/A

4.1.2.2 Vacancy rate as of end 2020 (EU Contribution)

At the end of 2020 the vacancy rate for the Agency's temporary agents was 0,00% with all posts occupied.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

For the 2022 budgetary year it is estimated that all recruitment procedures started during 2021 would be finalised and the occupancy rate would be 100% at the beginning of the year.

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (EU Contribution)

For the 2022 budgetary year it is estimated that all recruitment procedures started during 2021 would be finalised and the occupancy rate would be 100% at the beginning of the year.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The Agency used for the budgetary year 2022 a 2,5% increase in salaries due to indexation and correction coefficient for Slovenia.

4.1.4.2 Salary assumption for calculating salary line (% applied) (EU Contribution)

The Agency used for the budgetary year 2022 a 2,5% increase in salaries due to indexation and correction coefficient for Slovenia.

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

Correction coefficient for Slovenia is at 86,10%.

4.1.5.2 Correction coefficient used (EU Contribution)

Correction coefficient for Slovenia is at 86,10%.

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

N/A

4.1.6.2 Exchange rate used (if applicable) (EU Contribution)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charges)

The Agency will cover from fees: the REMIT related IT expenditure, and related expenditure with staff employed within the Market Integrity and Transparency and Market Surveillance and Conduct departments.

As indicated in detail under point 4.1.1.1, additional Temporary and Contract staff posts are requested to ensure the smooth delivery of these tasks. These additional posts will lead to a 11,65% increase in the staff related expenditure under Title 1 for the year 2022.

4.2.1.2 Title 1 (EU Contribution)

Compared to 2021, there is a 6,63% increase in financial resources of Title 1 to be covered by the EU Contribution due to the additional human resources requested to ensure the smooth operations of the Agency.

The expenditure from the services of temporary workers is expected to increase due the requested three additional TA posts and due to reclassification of the categories offered based on the analysis of actual worked performed by these workers.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

There is a -32,45% decrease within the needed resources within Title 2 mainly due to a lower allocated share of the premises costs towards the new resources requested to be covered by fees and the expected indexation of the rental price.

4.2.2.2 Title 2 (EU Contribution)

There is an overall -12,47% decrease in the financial resources under Title 2 as compared to 2021 mainly due to the fact that completion phase of IT infrastructure replacement and the reclassification of expenditures related to expert consultations. The decrease is offset by some extent by increased costs for the Agency's Board of Appeal in assessing all the expected appeals on the Agency's decisions.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

There is an increase of 10,64% increase within Title 3 mainly due to investment in the REMIT IT infrastructure and software investments. These investments are partly offset by a lower need for expert consultations than in previous years.

4.2.3.2 Title 3 (EU Contribution)

There is an increase of 14,31% in the expenditure within Title 3 mainly due to specific common IT projects of the Electricity and Gas departments and due to the transfer of expenditure related to experts from Title 2.

The cost of translations are expected to increase for the year 2022. These translation costs are based on the requirement to have the Agency's opinions translated in EU official languages for which a waiver may not be obtained.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

N/A

4.3.2 Ad hoc grants and delegation agreements (EU Contribution)

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	TR3, multi-tenant office building	Irg Republike 3, 1000 Ljubljana, Slovenia	3 247,69	679,29	3 926,98	753 222	01/02/2019 31/01/2024	Rent	Not applicable	
TOTAL			3 247,69	679,29	3 926,98	753 222				

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

The annual rent includes also the rent for 66 parking spaces as follows: rent for office premises amounts to EUR 656 434 and parking amounts to EUR 137 944,80 per year.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

As established in the Seat Agreement (Article 13) between the Slovenian Government and the Agency, the Government shall establish a European School within the public school network in Slovenia.

In 2018, the Slovenian Government set up the Accredited European School of Ljubljana (AES) with entry grades at the P1 and P2 levels (corresponding to Grade 1 and 2 of Elementary School) and the school became operational as of 3 September 2018. The accreditation process was finalized in April 2019. Since for the time-being the AES only offers classes at the P1-P5 levels, the Agency's staff members whose children are not eligible for those classes, are obliged to send their children to international schools. To address this, the Administrative Board adopted Decision AB no 5/2018 of 7 June 2018 establishing measures to support the staff of the Agency with regard to kindergarten and school fees. In line with the decision, the Agency provides financial support to aforementioned staff members, until the AES offers a complete education cycle at pupil's level. The Agency covers kindergarten and school fees for each eligible child, exceeding the sum of the allowances received by staff members, as per the Internal Commission Directive (Conclusion No 257/09 revised) and under the provisions of the Staff Regulations. Financial contribution, granted by the Agency, is paid directly to the schools and kindergarten on the basis of concluded service agreements..

5.3 Evaluation

The Agency has so far been subject to one external evaluation from the Commission, as foreseen in the Founding Regulation (FR), in 2014. The recast FR foresees that by 5 July 2024, and every five years thereafter, the Commission, with the assistance of an independent external expert, shall carry out an evaluation to assess ACER's performance in relation to its objectives, mandate and tasks.

The Agency has an internal monitoring system based on a 'traffic lights' approach through which Key Performance Indicators - KPIs (see below) are monitored. Specific tasks are monitored at the department level, unless they are included in the KPIs. The traffic lights system ensures that the results achieved and deadlines met in relation to the objectives are monitored on a quarterly basis at the coordination and management meetings, with measures taken in case the objectives are at risk of not being met. The absorption of the Agency's budget is monitored through monthly reports providing an overview of both commitments and payments.

In September 2020, Trinomics B.V. published its study 'Budget and staffing needs at the Agency for the Cooperation of Energy Regulators (ACER)' that has been performed on the Agency's resources at the request of the Industry Research and Energy (ITRE) committee from the European Parliament. Through the study the role, organisational structure, tasks and financial and human resources of the Agency were analysed. The study concluded that the Agency's responsibilities

have substantially increased while there is a structural gap between the financial and human resources requested by the Agency and the resources allowed by the budgetary authorities.

5.4 Privileges and immunities

The Protocol on the Privileges and Immunities of the European Union governs the status of the European Institutions and their staff in relation to the Member States. Thus, the protocol applies in full to the Agency and its staff.

The relations between the Agency and its host country, as well as particular rules and privileges applicable to Agency staff in Slovenia, are governed by the Seat Agreement signed between the Slovenian Government and the Agency for the Cooperation of Energy Regulators, in Ljubljana on 26 November 2010.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<ul style="list-style-type: none"> - Inviolability of premises and archives - Facilitations for communication Security - Direct exemption from taxes 	<ul style="list-style-type: none"> - Staff immunity from Slovenian jurisdiction regarding acts carried out in the official capacity - Exemption from national taxes on salaries and wages - Staff exemption from import taxes and duties on personal effects in the first year - Exemption from social security contribution towards Slovenian schemes - Director and Heads of Departments holding Diplomatic status 	<p>The government of Slovenia established a European School of Ljubljana (ESL) with two language sections – EN and SI for P1 and P2 grades. The Agency will cover the school fees to the ESL once a financing agreement is signed with the European Commission after the accreditation of the ESL.</p>

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>The Agency was established by Regulation (EC) No 1211/2009 of the European Parliament (EP) and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office.</p> <p>Regulation (EC) No 2018/1971 of the European Parliament and of the Council of 11 December 2018 establishing the BEREC and the Agency for Support for BEREC (OJ L 321, 17.12.2018, p. 1) repealed Regulation (EC) No 1211/2009 and strengthen the mandate of the Agency. To reflect the transformation of the support Office established in 2009 into a fully fledged decentralised Agency the legislator has decided that the new official name of the Office should be ‘Agency for Support for BEREC’. The designation ‘BEREC Office’ should be used as the Agency’s short name.</p>	<p>25/11/2009</p> <p>11/12/2018</p>	<p>According to Articles 5, 39, 40, 41 and 46 of the founding Regulation, the Agency has the following tasks: to provide professional and administrative support to BEREC, especially in fulfilling its regulatory tasks as defined in Article 4 of the Regulation; to collect and ensure exchange of information from NRAs, EC and other competent bodies (including in a standard reporting format) in relation to the regulatory tasks assigned to BEREC; to produce regular draft reports on specific aspects of the European e-communications market, such as roaming and benchmarking reports; to reuse any relevant existing publicly available information to avoid duplication of reporting obligations by applying appropriate means; to disseminate regulatory best practices among NRAs; to assist BEREC in establishing and maintaining registries and databases, such as for general authorisations, numbering resources, etc.; to establish and manage an information and communication system with at least the following functions:</p> <ul style="list-style-type: none"> a) a common platform for the exchange of information, between BEREC, the EC and NRAs; b) a dedicated interface for requests for information and notification of those requests identified in Article 40; c) a platform for early identification of the need for coordination between NRAs. <ul style="list-style-type: none"> • to assist in the work of the Board of Regulators (BoR, incl. by content-related support; to assist in setting up BEREC working groups (WGs), incl. by contributing to the regulatory work of those groups; to adopt and apply security rules equivalent to the EC’s Decisions (EU, Euratom) 2015/443 and (EU, Euratom) 2015/444 for protecting EU classified information and sensitive non-classified information. to ensure compliance with Regulation No 1 determining the languages to be used by the European Economic Community; to carry out other tasks assigned to it by the founding Regulation or by other legal acts of the Union.

1.2 Seat

Riga, Latvia

1.3 Budget Line

02 10 05 : Body of European Regulators for Electronic Communications (BEREC) — Office

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	13	11	84,62%	13	13
Assistants (AST)	3	3	100,00%	3	3
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	16	14	87,50%	16	16
Contract Agents (CA)	13	20	153,85%	13	13
Seconded National Experts (SNE)	6	9	150,00%	6	6
TOTAL STAFF	35	43	122,86%	35	35

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	7 282 800	7 428 456
Other Revenue	58 557	60 600
TOTAL REVENUES	7 341 357	7 489 056

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 710 725	3 710 715	3 839 546	3 839 546
Title 2 - Infrastructure and operating expenditure	1 099 882	1 099 882	1 156 510	1 156 510
Title 3 - Operational expenditure	2 530 750	2 530 750	2 493 000	2 493 000
TOTAL EXPENDITURE	7 341 357	7 341 347	7 489 056	7 489 056

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12								1		1
AD 11		1				1		1		1
AD 10		2		2		2		2		2
AD 9		2		1		2		3		3
AD 8		2		2		2		2		2
AD 7		2				2		1		1
AD 6		2		5		2		2		2
AD 5		1				1				
AD TOTAL		13		11		13		13		13
AST 11										
AST 10										
AST 9										
AST 8										
AST 7								1		1
AST 6		1				1		1		1
AST 5		1		1		1		1		1
AST 4		1		2		1				
AST 3										
AST 2										
AST 1										
AST TOTAL		3		3		3		3		3
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		16		14		16		16		16
GRAND TOTAL		16		14		16		16		16

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	10	11	10	10
Function Group III	2	5	2	2
Function Group II	1	4	1	1
Function Group I				
TOTAL	13	20	13	13

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	6	9	6	6

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	7 140 403	7 282 800	7 779 645	7 428 456	2,00%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	23 402	32 419		90 774	180,00%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	93 251	58 557	60 600	60 600	3,49%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>	93 251	58 557	60 600	60 600	3,49%
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	7 233 654	7 341 357	7 840 245	7 489 056	2,01%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 398 646	3 710 725	3 909 245	3 839 546	3,47%
Salaries & allowances	2 402 389	2 911 925	2 970 433	2 970 434	2,01%
- Of which establishment plan posts	1 386 604	1 524 525	1 555 015	1 555 016	2,00%
- Of which external personnel	1 015 785	1 387 400	1 415 418	1 415 418	2,02%
Expenditure relating to Staff recruitment	23 557	20 000	20 400	20 400	2,00%
Employer's pension contributions					
Mission expenses	43 470	60 000	185 000	115 300	92,17%
Socio-medical infrastructure	7 734	11 000	11 220	11 220	2,00%
Training	44 315	83 000	84 660	84 660	2,00%
External Services	872 501	600 164	608 532	608 532	1,39%
Receptions, events and representation	4 680	24 636	29 000	29 000	17,71%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 187 265	1 099 882	1 438 000	1 156 510	5,15%
Rental of buildings and associated costs	206 478	222 300	540 500	370 800	66,80%
Information, communication technology and data processing	484 801	434 972	445 000	445 000	2,31%
Movable property and associated costs	22 439	47 500	157 500	57 500	21,05%
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses	5 740	3 000	3 000	3 000	0,00%
Running costs in connection with operational activities					
Information and publishing	18 438	171 000	35 000	35 000	-79,53%
Studies					
Other infrastructure and operating expenditure	449 369	221 110	257 000	245 210	10,90%
Title 3 - Operational expenditure	2 580 683	2 530 750	2 493 000	2 493 000	-1,49%
Support to implementation of BEREC WP	478 030	483 250	493 000	493 000	2,02%
Other support activities to BEREC and NRAs	2 102 653	2 047 500	2 000 000	2 000 000	-2,32%
TOTAL	7 166 594	7 341 357	7 840 245	7 489 056	2,01%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 398 646	3 710 715	3 909 246	3 839 546	3,47%
Salaries & allowances	2 402 389	2 911 925	2 970 434	2 970 434	2,01%
- Of which establishment plan posts	1 386 604	1 524 525	1 555 016	1 555 016	2,00%
- Of which external personnel	1 015 785	1 387 400	1 415 418	1 415 418	2,02%
Expenditure relating to Staff recruitment	23 557	20 000	20 400	20 400	2,00%
Employer's pension contributions					
Mission expenses	43 470	60 000	185 000	115 300	92,17%
Socio-medical infrastructure	7 734	11 000	11 220	11 220	2,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Training	44 315	83 000	84 660	84 660	2,00%
External Services	872 501	600 154	608 532	608 532	1,40%
Receptions, events and representation	4 680	24 636	29 000	29 000	17,71%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 187 265	1 099 882	1 438 000	1 156 510	5,15%
Rental of buildings and associated costs	206 478	222 300	540 500	370 800	66,80%
Information, communication technology and data processing	484 801	434 972	445 000	445 000	2,31%
Movable property and associated costs	22 439	47 500	157 500	57 500	21,05%
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses	5 740	3 000	3 000	3 000	0,00%
Running costs in connection with operational activities					
Information and publishing	18 438	171 000	35 000	35 000	-79,53%
Studies					
Other infrastructure and operating expenditure	449 369	221 110	257 000	245 210	10,90%
Title 3 - Operational expenditure	2 580 683	2 530 750	2 493 000	2 493 000	-1,49%
Support to implementation of BEREC WP	478 030	483 250	493 000	493 000	2,02%
Other support activities to BEREC and NRAs	2 102 653	2 047 500	2 000 000	2 000 000	-2,32%
TOTAL	7 166 594	7 341 347	7 840 246	7 489 056	2,01%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 90 773,99.

4 Justification of needs

Commission assessment

Human Resources

The Commission does not support the agency's request for additional CA and SNEs. The Commission proposes stable staffing compared to the Voted Budget of 2021 (16 TA, 13 CA and 6 SNE).

Financial Resources

BEREC office is requesting a considerable unjustified increase of the EU contribution compared to the amount foreseen in the financial programming. The Commission proposes the EU contribution in line with the financial programming for 2022.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

According to the founding Regulation (EU) 2018/1971, which entered into force in December 2018, the BEREC Office received new tasks, which were implemented by the end of 2020 with the exception of those related to processing of classified information. Although no new tasks are expected to be assigned to the Agency in the period 2022-2024, a growth of existing tasks is expected in several areas.

Since 2019 the BEREC Office operates with 16 TA posts in the establishment plan which the Agency considers below the number of posts that are actually needed. The missing capacities are covered by using external staff (CAs, SNEs) and structural service providers. The Agency requests to maintain the total number of staff determined by the MB for 2020 and 2021 (namely: 16 establishment plan posts, 22 contract agents and 9 seconded national experts)

4.1.2 Vacancy rate as of end 2020

Administrators (AD): 15,4%; Assistants (AST): 0%; ESTABLISHMENT PLAN POSTS: 12,5%; Contract Agents (CA): 9,1%; Seconded National Experts (SNE): 0%.
TOTAL STAFF: 8,5%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

N/A

4.1.5 Correction coefficient used

77,5% (as of 01.07.2020)

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The amounts requested in Title 1 are calculated taking into account the staff numbers for 2021 (including associated costs as per total estimated number of staff) and the planned number of trainees in 2022. The potential impact of indexation and changes in the correction coefficient, adjustments of the automatic step, possible reclassifications and inflations rates /indexation of prices for external services (including those provided by the Commission Services) is taken into account. The BEREC Office expects to work in fully staffed situation and will use interim staff mainly for addressing heavy workload or temporary replacement of staff absence for long period of time. The increase in the chapter assumes that there might be an increase in use of multi-lingual tuition for the children of the staff.

4.2.2 Title 2

In 2022, the expenditures related to the building in Title 2 will rise considering the non-compliance of the current BEREC Office premises with the safety and security rules of the European Commission. Evidence of this gap was highlighted by an EC Security Report done by an inspector coming from European Commission – DG HR – Security and dated June 2020. The building owner (a state-owned company) will do the necessary a significant investments, which will increase the rental price. Since the renovation project is expected to last 3 to 5 years, the BEREC Office is also considering the possibility to rent offices on the private market. Consequently, the expenditures related to movable property will rise.

4.2.3 Title 3

The appropriations under Title 3 will be used for the operational activities of the BEREC Office. The operational activities consist of

- i. assisting in setting-up and supporting the activities of the BEREC Working Groups;
- ii. covering the expenditure for the Expert Networking Group on Sustainability and the work of the experts from the participating NRAs in this work stream;
- iii. procuring and managing the services related to subscription to regulatory databases related to telecommunications and digital economy, providing the training courses for NRA experts on regulatory issues and other topics relevant to BEREC activities, provision of language services necessary for BEREC work, maintenance of information sharing portal, on-line platform for public consultations, other necessary tools required to support the work of WGs as well as procurement and financing of studies specified in the BEREC Work Programme and other projects required for BEREC work.

Other operational activities include

- i. the organisation and supporting of meetings of the Board of Regulators (BoR), the Management Board of the BEREC Office (MB), and respectively their Chair and Chairperson and Vice-Chairs and Deputy Chairpersons, the Contact Network (CN) and BEREC Office Advisory Group (BAG) including reimbursement of the meeting participants;

- ii. providing IT support, licenses, hardware and business continuity provision to BEREC, as the co-legislators have now entrusted explicitly BEREC Office with the task to establish and manage a multiple information and communications system;
- iii. The BEREC Office will continue to support BEREC with numerous communications activities that ensure high level of transparency and easy access to appropriate, objective and reliable information relevant to the public and any interested parties. The BEREC Office will do it by supporting the implementation of the BEREC External Communications strategy through its annual communications plans. Moreover, by organizing stakeholder events and public debriefings, producing publications, audio-visual and digital content, developing new website design and content and, being active with publications and engagement on the official social media accounts. The BEREC Office will also introduce a multilingual policy that will trigger planning and execution of translations in EU languages for multiple information items.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA (in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	building	14 Zigfrida Annas Meierovica boulevard, Riga, Latvia	1 062,2	53,8	1 116	74 509	Signed on 01/08/2011, effective until 31/07/2028 (with possibility of renewal).	Lease agreement amendment with State owned joint-stock company Valsts nekustamie īpašumi	Not applicable	
TOTAL			1 062,2	53,8	1 116	74 509				

5.1.2 Current building(s) Other comments

The BEREC Office premises are located at 14 Zigfrida Annas Meierovica boulevard, Riga, Latvia, on part of 1st, 2nd and 3.5 floor. The building used by the Agency is shared with several other tenants. The BEREC Office rents its premises in accordance with the Memorandum of Understanding with the Government of the Republic of Latvia, signed on 30 September 2011, which was further detailed in a lease agreement. The infrastructure of the current historical building is outdated and the premises are not compliant with the security rules.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

Currently there is no European School in Latvia and no measures are put in place by the Host Member State to facilitate European schooling for children of the BEREC Office staff. The BEREC Office has adopted temporary provisions to support the children of the staff to attend international schools in Latvia providing tuition in English, French or German until the establishment of an accredited European School. Since 2010 negotiations are ongoing with the host State on exploring possibilities of establishing an accredited European School within the public school network in Latvia or within the private school network in accordance with the Convention defining the Statute of the European Schools and with the programmes of European schools.

The BEREC Office signed a new Headquarters agreement with the Host Member State on 21 December 2020, in which the Government of Latvia aims at ensuring accreditation of one school as European School in Latvia in 2022/2023. As the Latvian Parliament has not ratified the new Headquarters agreement yet, the BEREC Office has planned for the provision of multilingual tuition for the children of the staff for 2022.

5.3 Evaluation

Evaluations concluded in previous years (2016: an EC evaluation containing, among others, a screening of the BEREC regulation) have concluded that the work carried out by BEREC and supported by the BEREC Office is relevant and has an impact on stakeholders. However, there are questions about the adequacy of the current governance structure. In particular, it is emphasised that the organisational structure of the BEREC Office is not in line with the Common Approach (CA) agreed between the EP, the Council and the EC. The role of the BEREC Office, which is limited to administrative and professional support, and its size (only 27 FTE posts), creates some inefficiency, which the BEREC office offsets by employing more staff. The Office has to comply with the same resource-intensive procedures as much bigger Agencies and the professional support it provides to BEREC is still rather limited, as identified through experience and pointed out in the Evaluation Study. As pointed out in the Evaluation Study, it is also suggested that the BEREC Office could be better used, especially when supporting EWGs in their everyday work (see also comments under "effectiveness" and "coherence" of the EC SWD).

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In accordance with Art.23 of Regulation 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office, the Protocol on the privileges and immunities of the European Communities shall apply to the Office. In order to ensure practice implementation of the afore-mentioned Protocol on 24 February 2011 the BEREC Office and the Government of the Republic of Latvia signed a Seat Agreement, which further details the right and obligation of the BEREC Office staff and host Member State.		
Inviolability and Immunity		According to the Seat Agreement the Latvian Government has undertaken the responsibility jointly with the BEREC Office to find the best solution possible for schooling for the children of the BEREC Office staff. Currently there is no accredited European School in Latvia. The Latvian Government has committed itself to facilitate access to the existing private and public international schools for the children of the BEREC Office staff in English, French and German. In the absence of European schools in Riga the BEREC Office supports the provision of multilingual tuition for the children of the BEREC Office staff. Currently the BEREC Office has in place 3 agreements with Latvian schools with an international dimension.
The seat of the BEREC Office, irrespective of ownership, is inviolable	The BEREC Office staff is covered under the Protocol on the Privileges and Immunities of the EU and enjoy immunity from legal proceedings in respect of acts performed by them in their official capacity.	
The BEREC seat is exempt from search, requisition, confiscation or expropriation.	Together with their spouses and dependents of their families, not be subject to immigration restrictions or to formalities for the registration of aliens.	
The property and assets of BEREC Office cannot be subject to any administrative or legal measure of constraint, whether by executive, administrative, judicial or legislative action without the authorisation of the Court of Justice of the EU.	The Director and the members of his/her family, who have not been nationals or permanent residents of Latvia before being employed by the BEREC Office, are accorded with the privileges and immunities, exemptions and facilities accorded to the heads of diplomatic missions and the members of their family in accordance with the Vienna Convention on Diplomatic Relations of 18 April 1961.	
Communications and transmission of all BEREC Office documents are treated in the same way as documents and communications of diplomatic missions.		
Exemption from taxes, duties, national, regional and municipal charges.		
The Agency is exempt from:	BEREC Office staff are exempt from:	
- all direct taxes on its assets, revenues and other property	Salaries, wages, emoluments, SNEs' allowances, retirement, invalidity and survivor's pensions paid by the BEREC Office or by the EU are exempted from national taxes.	
- all national, regional and municipal excise duties, sales charges and other taxes for substantial purchases for official use (e.g. for any amount above LVL 125); exemption from VAT, excise duty and other indirect taxes is provided by means of a tax refund.	The VAT included in the price of articles listed in an annex to the Seat Agreement, including 1 vehicle for personal use, acquired by the BEREC Office staff in the Latvia within 1 year from taking up their post in Latvia is reimbursed to the staff and SNEs provided that the single article price is not less than LVL 35. This rule is not applicable for nationals or permanent residents of Latvia.	
- imports and exports customs duties, taxes, prohibitions or restrictions on goods for official use, including vehicle and spare parts.	BEREC Office staff has the right to import free of duty and without prohibitions or restrictions, in respect of initial establishment, within 2 years of taking up their appointments within the BEREC Office and in maximum 2 shipments, furniture and personal effects, including vehicles purchased under market conditions. BEREC Office staff is entitled to export, after the date of cessation of functions at the BEREC Office, without prohibitions or restrictions, personal and household effects, including vehicles they use and which are in their possession.	
- vehicle exploitation tax for BEREC Office official vehicles registered under a special series.	The Director is exempt from vehicle exploitation tax.	

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 460/2004 establishing the European Network and Information Security Agency	10/03/2004	This first regulation, establishing ENISA, the European Network and Information Security Agency, has been repealed by Regulation 526/2013.
Regulation (EU) No 526/2013 of the European Parliament and of the Council, concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004, OJ L165/41 of 18.6.2013	21/05/2013	The European Union Agency for Network and Information Security (ENISA) has been established in order to contribute to network and information security within the Union; to raise awareness of network and information security and to develop and promote a culture, of network and information security in society for the benefit of citizens, consumers, enterprises and public sector organisations in the Union, thus contributing to the establishment and proper functioning of the internal market.
Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April 2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013 (Cybersecurity Act)	07/06/2019	<p>ENISA shall carry out the tasks assigned to it under this Regulation for the purpose of achieving a high common level of cybersecurity across the Union, including by actively supporting Member States, Union institutions, bodies, offices and agencies in improving cybersecurity. ENISA shall act as a reference point for advice and expertise on cybersecurity for Union institutions, bodies, offices and agencies as well as for other relevant Union stakeholders.</p> <p>ENISA shall contribute to reducing the fragmentation of the internal market by carrying out the tasks assigned to it under this Regulation.</p> <p>ENISA shall carry out the tasks assigned to it by Union legal acts that set out measures for approximating Member State laws, regulations and administrative provisions which are related to cybersecurity.</p>

1.2 Seat

Athens, Greece

1.3 Budget Line

02 10 04 : European Union Agency for Cybersecurity (ENISA)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	51	47	92,16%	57	63
Assistants (AST)	18	15	83,33%	19	19
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	69	62	89,86%	76	82
Contract Agents (CA)	30	29	96,67%	30	32
Seconded National Experts (SNE)	12	8	66,67%	12	12
TOTAL STAFF	111	99	89,19%	118	126

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	22 248 000	23 633 000
Other Revenue	1 225 060	585 060
TOTAL REVENUES	23 473 060	24 218 060

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	10 775 409	10 775 409	11 747 231	11 747 231
Title 2 - Infrastructure and operating expenditure	3 547 651	3 547 651	3 257 960	3 257 960
Title 3 - Operational expenditure	9 150 000	9 150 000	9 212 869	9 212 869
TOTAL EXPENDITURE	23 473 060	23 473 060	24 218 060	24 218 060

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13						1		2		2
AD 12		6		6		5		4		4
AD 11						2		2		2
AD 10		5		3		3		4		4
AD 9		12		7		12		11		11
AD 8		21		10		21		22		22
AD 7		3		11		8		8		8
AD 6		3		9		4		6		9
AD 5										
AD TOTAL		51		47		57		60		63
AST 11										
AST 10										
AST 9										
AST 8						1		2		2
AST 7		4		3		4		3		3
AST 6		8		1		8		8		8
AST 5		5		5		5		5		5
AST 4		1		3		1		1		1
AST 3				2						
AST 2				1						
AST 1										
AST TOTAL		18		15		19		19		19
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		69		62		76		79		82
GRAND TOTAL		69		62		76		79		82

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	28	20	28	29
Function Group III	2	8	2	3
Function Group II				
Function Group I		1		
TOTAL	30	29	30	32

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	12	8	12	12

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	20 646 000	22 248 000	23 023 000	23 633 000	6,23%
- Of which assigned revenues deriving from previous years' surpluses	110 505	579 113		739 560	27,71%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	503 120	585 060	585 060	585 060	0,00%
- Of which EEA/EFTA (excl. Switzerland)	503 120	585 060	585 060	585 060	0,00%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	533 764	640 000			-100%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 682 884	23 473 060	23 608 060	24 218 060	3,17%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	10 586 451	10 775 409	11 137 231	11 747 231	9,02%
Salaries & allowances	6 682 168	8 810 319	9 129 864	9 739 864	10,55%
- Of which establishment plan posts	5 100 870	6 453 819	6 722 667	7 172 667	11,14%
- Of which external personnel	1 581 298	2 356 500	2 407 197	2 567 197	8,94%
Expenditure relating to Staff recruitment	423 139	410 087	418 910	418 910	2,15%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	37 689	53 882	55 041	55 041	2,15%
Training	284 358	280 182	286 210	286 210	2,15%
External Services	2 541 332	470 939	481 071	481 071	2,15%
Receptions, events and representation					
Social welfare	617 765	750 000	766 135	766 135	2,15%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 865 823	3 547 651	3 257 960	3 257 960	-8,17%
Rental of buildings and associated costs	916 651	1 404 608	689 501	689 501	-50,91%
Information, communication technology and data processing	2 796 105	1 245 347	1 671 504	1 671 504	34,22%
Movable property and associated costs	39 919	89 000	70 861	70 861	-20,38%
Current administrative expenditure	93 148	788 696	805 664	805 664	2,15%
Postage / Telecommunications	20 000	20 000	20 430	20 430	2,15%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 673 138	9 150 000	9 212 869	9 212 869	0,69%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Activities related to meetings and missions	243 662	650 000	760 000	760 000	16,92%
Horizontal Operational Activities	1 809 091				
Core Operational Activities	4 620 385	8 500 000	8 452 869	8 452 869	-0,55%
TOTAL	21 125 412	23 473 060	23 608 060	24 218 060	3,17%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	10 586 451	10 775 409	11 137 231	11 747 231	9,02%
Salaries & allowances	6 682 168	8 810 319	9 129 864	9 739 864	10,55%
- Of which establishment plan posts	5 100 870	6 453 819	6 722 667	7 172 667	11,14%
- Of which external personnel	1 581 298	2 356 500	2 407 197	2 567 197	8,94%
Expenditure relating to Staff recruitment	423 139	410 087	418 910	418 910	2,15%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	37 689	53 882	55 041	55 041	2,15%
Training	284 358	280 182	286 210	286 210	2,15%
External Services	2 541 332	470 939	481 071	481 071	2,15%
Receptions, events and representation					
Social welfare	617 765	750 000	766 135	766 135	2,15%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 865 823	3 547 651	3 257 960	3 257 960	-8,17%
Rental of buildings and associated costs	916 651	1 404 608	689 501	689 501	-50,91%
Information, communication technology and data processing	2 796 105	1 245 347	1 671 504	1 671 504	34,22%
Movable property and associated costs	39 919	89 000	70 861	70 861	-20,38%
Current administrative expenditure	93 148	788 696	805 664	805 664	2,15%
Postage / Telecommunications	20 000	20 000	20 430	20 430	2,15%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 673 138	9 150 000	9 212 869	9 212 869	0,69%
Activities related to meetings and missions	243 662	650 000	760 000	760 000	16,92%
Horizontal Operational Activities	1 809 091				
Core Operational Activities	4 620 385	8 500 000	8 452 869	8 452 869	-0,55%
TOTAL	21 125 412	23 473 060	23 608 060	24 218 060	3,17%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 739 560.

4 Justification of needs

Commission assessment

Human Resources

In relation to total budget (financial and staff), the Agency's budget request did not take into account the impact of the LFS attached to NIS Directive Review (COM 2020/823). The Commission proposal incorporates the impact of the NIS Directive Review (3 TAs and 2 CAs) to cover additional tasks foreseen in the Directive.

The Commission proposal is therefore in line with the applicable LFSs. The request made by ENISA for 6 additional SNEs is not supported by the Commission.

Financial Resources

The Commission proposes to increase the financial resources of ENISA with EUR 611 000 in line with the LFS annexed to the NIS Directive Review; to allow the Agency to cover the additional tasks stipulated in said NIS directive review (total EU Contribution increases to EUR 23 633 000 as foreseen in the financial programming). The additional EUR 611 000 will be placed in reserves until the final adoption of the Commission proposal COM 2020/823. Otherwise the financial resources are in line with the LFS of the Proposal COM(2017)0477.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In accordance with the Proposal for a Regulation of the European Parliament and of the Council on ENISA, the "EU Cybersecurity Agency", and repealing Regulation (EU) 526/2013, and on Information and Communication Technology cybersecurity certification ("Cybersecurity Act") as submitted by the Commission on 13 September 2017, COM(2017) 477 final the number of TAs requested for 2022 is 79.

4.1.2 Vacancy rate as of end 2020

Establishment plan: 10,14%. 62 Posts were filled out of 69 authorised (including offer letters sent and accepted);

Overall (Establishment plan + CAs + SNEs): 10,81%. 99 posts filled out of 111 authorised (including offer letters sent and accepted)

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The figures from the Proposal for a Regulation of the European Parliament and of the Council on ENISA, the "EU Cybersecurity Agency", and repealing Regulation (EU) 526/2013, and on Information and Communication Technology cybersecurity certification ("Cybersecurity Act") as submitted by the Commission on 13 September 2017, COM(2017) 477 final were taken as basis for establishing draft budget 2022. Adjustments were made to reflect the current challenges, staffing situation and foreseen future spending in the agency.

4.1.5 Correction coefficient used

At present the correction coefficient is 81,40%

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 is intended to cover the costs related to the staff of the Agency, such as, staff in active employment (salaries and allowances), recruitment expenditure, socio-medical services, training and temporary assistance. Title 1 covers the annual salaries of the Establishment plan posts as well as the yearly salary adjustments, the effect of the country coefficient corrector for Greece, the career development and maturity of staff (reclassifications and steps awarded), expenditures related to other social welfare measures. The increase in number of staff will have an impact on the training expenditure in view of the new tasks that ENISA has been entrusted with its new mandate, and in order to keep the knowledge of the staff up-to-date in a very volatile and rapidly changing environment.

4.2.2 Title 2

Title 2 covers the costs related to infrastructure and operating expenditure such as rental of buildings and associated costs, movable property and associated costs, current administrative expenditure, consultancy services for corporate activities and corporate ICT expenditure. In 2021 ENISA will relocate to a new headquarters building in Athens, Greece, for which additional running costs are foreseen. In 2020 ENISA put forward a proposal to open a local office in Brussels, for which the agency already included the necessary budget for establishment and maintenance. Major ICT investments have been made in 2019, however the increase in the number of staff will provide grounds for the increase in investments in equipment (PC and workstations/furniture).

Starting 2021 all consultancy services for corporate activities have been centralised under a single budget line in Title 2.

Starting 2021 ENISA has separated budgeting for corporate and operational ICT, therefore Title 2 now carries only corporate ICT costs.

Since 2013 the Greek Government was granting a subsidy covering the hosting needs of ENISA in Athens and Heraklion, for a maximum annual amount of EUR 640 000. According to the new rental contract conditions, the payments will be directly made by the Hellenic Authorities to the owners of the new building.

4.2.3 Title 3

Title 3 appropriations are intended to finance the operational activities of ENISA as defined in its annual work programme and as foreseen in the Cybersecurity Act (Regulation (EU) 2019/881 of the European Parliament and of the Council of 17 April 2019 on ENISA (the European Union Agency for Cybersecurity) and on information and communications technology cybersecurity certification and repealing Regulation (EU) No 526/2013). New and redefined tasks in the CSA as well as new strategic approach towards internal working methods will result in a significant increase in appropriations allocated to the core operational activities. Detailed information on activities is presented in the Single Programming Document 2022-2024.

Starting 2021 ENISA changed its budget structure and in Title 3 two chapters – outreach and meetings, and core operational activities – have been created. Costs under previous “Horizontal Operational Activities” have been reviewed and assigned to one of the two mentioned chapters in order to have better visibility and management of these costs.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Athens Office	Maroussi	2 036		2 036	316 444	Contract ends 30/06/2021	Rent	Rent is fully covered by Hellenic Authorities	
2	Athens Office East Wing	Maroussi	374		374	121 776	Contract ends 30/06/2021	Rent	Rent is fully covered by Hellenic Authorities	
3	Heraklion Office	Heraklion	706		706				0	
4	Athens Office	Chalandri	4 498	2 617	7 115		01/01/2021-28/02/2030; 01/07/2021 actual start of ENISA operating from the new headquarters	Lease	Rent is fully covered by Hellenic Authorities	
TOTAL			7 614	2 617	10 231	438 220				

5.1.2 Current building(s) Other comments

In July 2021 ENISA will relocate to a new headquarters building in Athens, Greece. Present rental contract for premises in Maroussi will end on 30/06/2021. New lease agreement between the lessor, ENISA and Hellenic Authorities has been signed on 31/12/2020. The rent will be paid directly to the lessor by Hellenic Authorities.

In 2020 ENISA put forward a proposal to open a local office in Brussels in accordance with CSA Art 20 (5). In accordance with Art. 20 (5) ENISA is currently going through the required approval process.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

A public school of European Education, type 2, was founded in 2005 by the Greek government in Heraklion, Crete for the children of ENISA staff, which are currently 5. There is no European School operating in Athens. Schooling requirements of the staff are met by service level agreements that have been concluded with 12 international schools, providing education to 38 children of ENISA staff.

5.3 Evaluation

External consultant are contracted to carry annual ex-post evaluation of operational activities. The scope of the evaluation focusses on ENISA's operational activities. The overall aim of the annual evaluations is to evaluate the effectiveness, efficiency, and coherence and relevance. The analysis confirmed the overall success of the delivery of ENISA's demanding work programme for 2019 despite the changing circumstances the Agency had to accommodate in 2019 following the signing of the new Cybersecurity Act and the handover from Prof. Dr. Udo Helmbrecht to Juhana Lepassaar, the newly appointed Executive Director. Stakeholders consulted generally agree that the EU Agency for Cybersecurity is the only entity that could possibly achieve such results, is seen as a key enabler of knowledge, experience and expertise and allowing the creation of a strong cybersecurity community. The report therefore concludes positively, acknowledging the added value of ENISA's activities for the whole EU.

ENISA uses an internal monitoring system supporting the project management function. Moreover, ENISA implemented a mid-term review procedure and regular weekly management team meetings. ENISA expects to undertake a study to upgrade the use of the electronic tool in the internal project management and overall delivery of the Agency Work Programme.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In accordance with Art. 23 of Regulation (EU) No 2019/881 of the European Parliament and of the Council of 17 April 2019, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.</p> <p>The Greek Government and ENISA signed a Seat Agreement on 13 November 2018, which was ratified by Greek Law 4627/2019 on 25 September 2019 and is applicable to ENISA and its staff.</p>	<p>In accordance with Article 35 of Regulation (EU) No 2019/881 of the European Parliament and of the Council of 17 April 2019, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.</p> <p>The Greek Government and ENISA signed a Seat Agreement the 13 November 2018, which was ratified by Greek Law 4627/2019 on the 25 September 2019 and is applicable to ENISA and its staff.</p>	<p>A public School of European Education, Type 2, was founded in 2005 by the Greek government in Heraklion, Crete for the children of the staff of ENISA.</p> <p>There is no European School operating in Athens.</p>

1 Overview**1.1 Creation/modification (legal base)**

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1093/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision N 716/2009/EC and repealing Commission Decision 2009/78/EC	24/11/2010	The European Union decided to establish the European Banking Authority (EBA) with the objectives of preventing regulatory arbitrage, guaranteeing a level playing field, strengthening international supervisory coordination, promoting supervisory convergence and providing advice to the EU institutions in the areas of banking, payments and e-money regulation as well as on issues related to corporate governance, auditing and financial reporting.
Regulation (EU) No 1022/2013 of the European Parliament and of the Council of 22 October 2013 amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority) as regards the conferral of specific tasks on the European Central Bank pursuant to Council Regulation (EU) No 1024/2013.	22/10/2013	Added: together with the compilation of a European supervisory handbook.
Regulation (EU) 2019/2175 of the European Parliament and of the Council of 18 December 2019, amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority), Regulation (EU) No 1094/2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority), Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds.	18/12/2019	Modifications in the mandate and governance in order to ensure stronger and more integrated supervision across the EU.
Proposal for a Regulation of the European Parliament and of the Council on Markets in Crypto-assets, and amending Directive (EU)2019/1937	COM(2020)593	<p>As set out in the EC’s legislative proposal, the EBA would be responsible for supervising issuers of ‘significant’ asset-referenced and e-money tokens, and other issuers of such tokens who are not significant but voluntarily submit to EU-level supervision. Supervision tasks would include:</p> <ul style="list-style-type: none"> - assessment and monitoring of issuers against requirements established in MiCA, including governance, capital, liquidity, reserve and redemption arrangements, and the assessment of qualifying holdings (off-site and onsite supervision); - establishment and chairing of supervisory colleges; - engagement with ECB, other relevant central banks, NCAs and third country authorities over supervision of the issuer and wider ecosystem for distribution of crypto-assets. <p>For these purposes an extensive range of supervisory and disciplinary powers are proposed.</p> <p>Additionally, the EBA would be responsible for a large number of technical standards under MiCA particularising regulatory requirements for issuers and for other aspects of MiCA, including relating to the authorisation of issuers, reserve arrangements, and the functioning of supervisory colleges.</p>

<p>Proposal for a Regulation of the European Parliament and of the Council on Digital Operational Resilience for the financial sector and amending Regulations (EC) No 1060/2009; (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014</p>	<p>Com(2020)595</p>	<p>Under the proposal for DORA, the EBA would be mandated with an extensive set of tasks and powers, including:</p> <ul style="list-style-type: none"> • Development of policy work on ICT risk management, incident reporting, advanced cyber testing and ICT third party risk management (this includes the development of more than 10 sets of draft technical standards, one set of guidelines and three joint reports – almost all should be delivered jointly by the ESAs); • Receipt, transmission, assessment and report on major ICT-related incidents; • Development of crisis-management and contingency exercises involving cyber-attack scenarios; • Cooperation with structures and authorities established by the NIS Directive; • Oversight of critical ICT third-party providers (CTPPs) which will include: assessment of CTPPs against ICT risk management requirements, conduct of general offsite and on-site supervision (with the support of relevant competent authorities), address recommendations to CTPPs, request CTPPs to specify actions taken or remedies implemented to address recommendations, impose a periodic penalty payment to compel the CTPP to comply with requirements.
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1.2 Seat

Paris, France.

1.3 Budget Line

03 10 02 : European Banking Authority (EBA)

1.4 Human Resource Overview EBA

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	143	143	100,00%	151	171
Assistants (AST)	11	8	72,73%	11	12
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	154	151	98,05%	162	183
Contract Agents (CA)	47	46	97,87%	50	50
Seconded National Experts (SNE)	17	15	88,24%	19	19
TOTAL STAFF	218	212	97,25%	231	252

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview EBA

1.5.1.1 Revenues Overview EBA

1.5.1.1.1 General revenues EBA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	18 506 940	18 685 999
Other Revenue	30 686 555	35 566 437
TOTAL REVENUES	49 193 495	54 252 436

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements EBA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		
TOTAL REVENUES		

1.5.1.2 Expenditure Overview EBA

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	32 189 044	32 189 044	35 534 679	35 534 679
Title 2 - Infrastructure and operating expenditure	8 876 242	8 876 242	8 468 618	8 468 618
Title 3 - Operational expenditure	8128209	8128209	10249139	10249139
TOTAL EXPENDITURE	49 193 495	49 193 495	54 252 436	54 252 436

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

REVENUES	2021		2022	
	Revenues estimated by the agency		Budget Forecast	
EU contribution				
Other Revenue				4 537 737
TOTAL REVENUES				4 537 737

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

REVENUES	2021		2022	
	Revenues estimated by the agency		Budget Forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)				
Additional EU funding stemming from contribution agreements (FFR Art.7)				
Additional EU funding stemming from service level agreements (FFR Art. 43.2)				
TOTAL REVENUES				

1.5.2.2 Expenditure Overview (Fees)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure			2 717 151	2 717 151
Title 2 - Infrastructure and operating expenditure			220 586	220 586
Title 3 - Operational expenditure			1 600 000	1 600 000
TOTAL EXPENDITURE			4 537 737	4 537 737

1.5.3 Financial Resources Overview (UE and NCA)

1.5.3.1 Revenues Overview (UE and NCA)

1.5.3.1.1 General revenues (UE and NCA)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	18 506 940	18 685 999
Other Revenue	30 686 555	31 028 700
TOTAL REVENUES	49 193 495	49 714 699

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		
TOTAL REVENUES		

1.5.3.2 Expenditure Overview (UE and NCA)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	32 189 044	32 189 044	32 817 528	32 817 528
Title 2 - Infrastructure and operating expenditure	8 876 242	8 876 242	8 248 032	8 248 032
Title 3 - Operational expenditure	8 128 209	8 128 209	8 649 139	8 649 139
TOTAL EXPENDITURE	49 193 495	49 193 495	49 714 699	49 714 699

2 Human Resources

2.1 Establishment plan posts EBA

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16		1				1		1		1
AD 15		1		1		1		1		1
AD 14		6		3		6		6		5
AD 13		2		1		2		2		2
AD 12		8		7		8		8		8
AD 11		12		5		12		12		12
AD 10		12		15		12		14		12
AD 9		22		21		22		22		22
AD 8		26		26		26		31		26
AD 7		19		30		21		36		30
AD 6		20		30		20		23		20
AD 5		14		4		20		24		32
AD TOTAL		143		143		151		180		171
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		3		1		3		3		3
AST 5		4		2		4		4		4
AST 4		2		2		2		2		2
AST 3		1		2		1		1		1
AST 2		1		1		1		2		2
AST 1										
AST TOTAL		11		8		11		12		12
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		154		151		162		192		183
GRAND TOTAL		154		151		162		192		183

2.2 External personnel EBA

2.2.1 Contract Agents EBA

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	30	38	32	32
Function Group III	17	8	18	16
Function Group II				2
Function Group I				
TOTAL	47	46	50	50

2.2.2 Seconded National Experts EBA

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	17	15	19	19

3 Financial Resources

3.1 Financial Resources EBA

3.1.1 Revenues EBA

3.1.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES			4 537 737	4 537 737	100%
2 EU CONTRIBUTION	17 660 140	18 506 940	18 685 999	18 685 999	0,97%
- Of which assigned revenues deriving from previous years' surpluses	102 422	687 472	350 023	350 023	-49,09%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	802 643	921 518	931 793	931 793	1,12%
- Of which EEA/EFTA (excl. Switzerland)	802 643	921 518	931 793	931 793	1,12%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	28 253 039	29 765 037	30 096 907	30 096 907	1,11%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	46 715 822	49 193 495	54 252 436	54 252 436	10,28%

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.1.2 Expenditure EBA

3.1.2.1 Commitment appropriations EBA

EXPENDITURE	Commitment appropriations EBA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	28 078 383	32 189 044	35 534 679	35 534 679	10,39%
Salaries & allowances	24 757 979	27 735 252	30 905 080	30 905 080	11,43%
- Of which establishment plan posts	20 308 440	22 287 870	25 124 383	25 124 383	12,73%
- Of which external personnel	4 449 539	5 447 382	5 780 697	5 780 697	6,12%
Expenditure relating to Staff recruitment	247 201	673 499	485 419	485 419	-27,93%
Employer's pension contributions	1 844 233	2 066 689	2 389 053	2 389 053	15,60%
Mission expenses	15 617	83 784	56 780	56 780	-32,23%
Socio-medical infrastructure	719 568	788 566	857 876	857 876	8,79%
Training	341 469	572 769	571 716	571 716	-0,18%
External Services	137 871	160 535	160 805	160 805	0,17%
Receptions, events and representation	14 445	107 950	107 950	107 950	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	14 084 249	8 876 242	8 468 618	8 468 618	-4,59%
Rental of buildings and associated costs	9 192 338	3 302 980	3 572 810	3 572 810	8,17%
Information, communication technology and data processing	3 655 758	4 399 066	3 687 729	3 687 729	-16,17%
Movable property and associated costs					
Current administrative expenditure	522 311	654 853	716 340	716 340	9,39%
Postage / Telecommunications	181 300	123 600	126 000	126 000	1,94%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing	532 542	395 743	365 739	365 739	-7,58%
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Commitment appropriations EBA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	4 163 869	8 128 209	10 249 139	10 249 139	26,09%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication	1 749 819	3 548 045	5 652 343	5 652 343	59,31%
Collection of information, developing and maintenance of a central European database					
IT solution assessment	2 414 050	4 580 164	4 596 796	4 596 796	0,36%
TOTAL	46 326 501	49 193 495	54 252 436	54 252 436	10,28%

3.1.2.2 Payment appropriations EBA

EXPENDITURE	Payment appropriations EBA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	28 078 383	32 189 044	35 534 679	35 534 679	10,39%
Salaries & allowances	24 757 979	27 735 252	30 905 080	30 905 080	11,43%
- Of which establishment plan posts	20 308 440	22 287 870	25 124 383	25 124 383	12,73%
- Of which external personnel	4 449 539	5 447 382	5 780 697	5 780 697	6,12%
Expenditure relating to Staff recruitment	247 201	673 499	485 419	485 419	-27,93%
Employer's pension contributions	1 844 233	2 066 689	2 389 053	2 389 053	15,60%
Mission expenses	15 617	83 784	56 780	56 780	-32,23%
Socio-medical infrastructure	719 568	788 566	857 876	857 876	8,79%
Training	341 469	572 769	571 716	571 716	-0,18%
External Services	137 871	160 535	160 805	160 805	0,17%
Receptions, events and representation	14 445	107 950	107 950	107 950	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	14 084 249	8 876 242	8 468 618	8 468 618	-4,59%
Rental of buildings and associated costs	9 192 338	3 302 980	3 572 810	3 572 810	8,17%
Information, communication technology and data processing	3 655 758	4 399 066	3 687 729	3 687 729	-16,17%
Movable property and associated costs					
Current administrative expenditure	522 311	654 853	716 340	716 340	9,39%
Postage / Telecommunications	181 300	123 600	126 000	126 000	1,94%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing	532 542	395 743	365 739	365 739	-7,58%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 163 869	8 128 209	10 249 139	10 249 139	26,09%
General Operat. Cost: seminar for NSA,	1 749 819	3 548 045	5 652 343	5 652 343	59,31%

EXPENDITURE	Payment appropriations EBA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
meetings, missions, consultation services, publication					
Collection of information, developing and maintenance of a central European database					
IT solution assessment	2 414 050	4 580 164	4 596 796	4 596 796	0,36%
TOTAL	46 326 501	49 193 495	54 252 436	54 252 436	10,28%

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

REVENUES	General revenues (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES			4 537 737	4 537 737	100%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL			4 537 737	4 537 737	100%

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

REVENUES	Additional EU funding: contribution, grant and service level agreements (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

EXPENDITURE	Commitment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure			2 717 151	2 717 151	100%
Salaries & allowances			2 153 433	2 153 433	100%
- Of which establishment plan posts			2 153 433	1 750 640	100%
- Of which external personnel				402 793	100%
Expenditure relating to Staff recruitment			268 275	268 275	100%
Employer's pension contributions			257 123	257 123	100%
Mission expenses			4 606	4 606	100%
Socio-medical infrastructure			24 265	24 265	100%
Training			9 449	9 449	100%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure			220 586	220 586	100%
Rental of buildings and associated costs			9 977	9 977	100%
Information, communication technology and data processing			196 929	196 929	100%
Movable property and associated costs					
Current administrative expenditure			2 880	2 880	100%
Postage / Telecommunications			10 800	10 800	100%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure			1 600 000	1 600 000	100%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication			1 100 000	1 100 000	100%
Collection of information, developing and maintenance of a central European database					
IT solution assessment			500 000	500 000	100%
TOTAL			4 537 737	4 537 737	100%

3.2.2.2 Payment appropriations (Fees)

EXPENDITURE	Payment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure			2 717 151	2 717 151	100%
Salaries & allowances			2 153 433	2 153 433	100%
- Of which establishment plan posts			2 153 433	1 750 640	100%
- Of which external personnel				402 793	100%
Expenditure relating to Staff recruitment			268 275	268 275	100%
Employer's pension contributions			257 123	257 123	100%
Mission expenses			4 606	4 606	100%
Socio-medical infrastructure			24 265	24 265	100%
Training			9 449	9 449	100%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure			220 586	220 586	100%
Rental of buildings and associated costs			9 977	9 977	100%
Information, communication technology and data processing			196 929	196 929	100%
Movable property and associated costs					
Current administrative expenditure			2 880	2 880	100%
Postage / Telecommunications			10 800	10 800	100%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure			1 600 000	1 600 000	100%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication			1 100 000	1 100 000	100%
Collection of information, developing and maintenance of a central European database					
IT solution assessment			500 000	500 000	100%
TOTAL			4 537 737	4 537 737	100%

3.2.3 Budget Outturn (Fees)

The 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0

3.3 Financial Resources (UE and NCA)

3.3.1 Revenues (UE and NCA)

3.3.1.1 General revenues (UE and NCA)

REVENUES	General revenues (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	17 660 140	18 506 940	18 685 999	18 685 999	0,97%
- Of which assigned revenues deriving from previous years' surpluses	102 422	687 472	350 023	350 023	-49,09%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	802 643	921 518	931 793	931 793	1,12%
- Of which EEA/EFTA (excl. Switzerland)	802 643	921 518	931 793	931 793	1,12%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	28 253 039	29 765 037	30 096 907	30 096 907	1,11%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	46 715 822	49 193 495	49 714 699	49 714 699	1,06%

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

REVENUES	Additional EU funding: contribution, grant and service level agreements (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.3.2 Expenditure (UE and NCA)

3.3.2.1 Commitment appropriations (UE and NCA)

EXPENDITURE	Commitment appropriations (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	28 078 383	32 189 044	32 817 528	32 817 528	1,95%
Salaries & allowances	24 757 979	27 735 252	28 751 647	28 751 647	3,66%
- Of which establishment plan posts	20 308 440	22 287 870	22 970 950	23 373 743	4,87%
- Of which external personnel	4 449 539	5 447 382	5 780 697	5 377 904	-1,28%
Expenditure relating to Staff recruitment	247 201	673 499	217 144	217 144	-67,76%
Employer's pension contributions	1 844 233	2 066 689	2 131 930	2 131 930	3,16%
Mission expenses	15 617	83 784	52 174	52 174	-37,73%
Socio-medical infrastructure	719 568	788 566	833 611	833 611	5,71%
Training	341 469	572 769	562 267	562 267	-1,83%
External Services	137 871	160 535	160 805	160 805	0,17%
Receptions, events and representation	14 445	107 950	107 950	107 950	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	14 084 249	8 876 242	8 248 032	8 248 032	-7,08%
Rental of buildings and associated costs	9 192 338	3 302 980	3 562 833	3 562 833	7,87%
Information, communication technology and data processing	3 655 758	4 399 066	3 490 800	3 490 800	-20,65%
Movable property and associated costs					
Current administrative expenditure	522 311	654 853	713 460	713 460	8,95%
Postage / Telecommunications	181 300	123 600	115 200	115 200	-6,80%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing	532 542	395 743	365 739	365 739	-7,58%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 163 869	8 128 209	8 649 139	8 649 139	6,41%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication	1 749 819	3 548 045	4 552 343	4 552 343	28,31%
Collection of information, developing and maintenance of a central European database					
IT solution assessment	2 414 050	4 580 164	4 096 796	4 096 796	-10,55%
TOTAL	46 326 501	49 193 495	49 714 699	49 714 699	1,06%

3.3.2.2 Payment appropriations (UE and NCA)

EXPENDITURE	Payment appropriations (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	28 078 383	32 189 044	32 817 528	32 817 528	1,95%
Salaries & allowances	24 757 979	27 735 252	28 751 647	28 751 647	3,66%
- Of which establishment plan posts	20 308 440	22 287 870	22 970 950	23 373 743	4,87%
- Of which external personnel	4 449 539	5 447 382	5 780 697	5 377 904	-1,28%
Expenditure relating to Staff recruitment	247 201	673 499	217 144	217 144	-67,76%
Employer's pension contributions	1 844 233	2 066 689	2 131 930	2 131 930	3,16%
Mission expenses	15 617	83 784	52 174	52 174	-37,73%
Socio-medical infrastructure	719 568	788 566	833 611	833 611	5,71%
Training	341 469	572 769	562 267	562 267	-1,83%
External Services	137 871	160 535	160 805	160 805	0,17%
Receptions, events and representation	14 445	107 950	107 950	107 950	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	14 084 249	8 876 242	8 248 032	8 248 032	-7,08%
Rental of buildings and associated costs	9 192 338	3 302 980	3 562 833	3 562 833	7,87%
Information, communication technology and data processing	3 655 758	4 399 066	3 490 800	3 490 800	-20,65%
Movable property and associated costs					
Current administrative expenditure	522 311	654 853	713 460	713 460	8,95%
Postage / Telecommunications	181 300	123 600	115 200	115 200	-6,80%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing	532 542	395 743	365 739	365 739	-7,58%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 163 869	8 128 209	8 649 139	8 649 139	6,41%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication	1 749 819	3 548 045	4 552 343	4 552 343	28,31%
Collection of information, developing and maintenance of a central European database					
IT solution assessment	2 414 050	4 580 164	4 096 796	4 096 796	-10,55%
TOTAL	46 326 501	49 193 495	49 714 699	49 714 699	1,06%

3.3.3 Budget Outturn (UE and NCA)

The 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 350 023.

4 Justification of needs

Commission assessment

Human Resources

The Commission requests 183 Establishment Plan posts for EBA in 2022.

The additional 21 posts on top the 162 posts provided for in the adopted budget 2021 are for the implementation of the new direct supervision tasks EBA would take on as of 2022:

- 15 AD5s are foreseen in the Legislative Financial Statement for the new fee funded direct supervision tasks set out in the Commission's proposal for a Regulation on Markets in Crypto-assets (MICA) adopted by the College of Commissioners
- 6 posts (5 AD5s and 1AST) are foreseen in the Legislative Financial Statement for the new fee funded direct supervision tasks set out in the Commission's proposal for a Regulation on the Digital Operational Resilience of the financial sector (DORA) adopted by the College of Commissioners.

The Commission is currently not in a position to support EBA's request for yet another 9 AD posts for the implementation of the new fee funded direct supervision tasks on the Digital Operational Resilience of the financial sector (DORA). These posts are not foreseen in the LFS for the Regulation.

The Commission supports EBA's request for 50 Contract Agent posts and 19 Seconded National Expert (SNE) posts for EBA in 2022.

The Commission notes that EBA has requested one additional SNE post on top of those authorised under the 2021 budget. However, in line with the stable staffing requirement in the Budget Circular for 2022 the Commission is not in a position to support this request.

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget. A Union contribution to EBA of EUR 18 685 999 (of which EUR 18 335 976 is fresh credits) is requested for 2022. It reflects the following amounts:

- * EUR 16 643 279 representing the 2021 Union Contribution for the existing 2014-2020 tasks with 2% indexation;
- * EUR 1 477 000 (indirect supervision) and EUR 529 000 (anti-money laundering) for the costs of the implementation by EBA of the revised tasks resulting from the ESAs review;
- * EUR 36 720 for the implementation by EBA of the tasks required for the establishment of a framework to facilitate sustainable finance with 2% indexation.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EBA is requesting a total of 262 staff in 2022: 192 Temporary agents (including 30 posts for MiCA & DORA); 50 contract agent posts and 20 SNE posts. Compared to 2021, further to its strategy for efficiency gains and reallocation of staff, the agency needs a total increase of 31 FTEs: 15 TAs for MICA, 15 TAs for DORA (9 posts more than in the LFS for DORA), impacting the 2022 budget for half a year, and 1 additional SNE.

EBA conducted a technical assessment of the human resources required for the LFS (see EBA Single Programming Document 2022), which showed that the estimated number of 15 staff under MiCA should meet its needs, but the LFS estimate under DORA to be insufficient. EBA anticipates a need to prepare for the oversight of 4-5 CTPPs which are likely to be major cloud providers (e.g. Google, Amazon, Microsoft, IBM). Preparing for the full range of oversight tasks to be carried out, the Agency foresees that at least another 9 TAs, in addition to the 6 TAs provided for in the LFS estimation, would be necessary for DORA for 2022.

The LFS foresees new staff being recruited in AD5 (except for one AST), however, for the authority to be credible in MiCA and DORA, given the level of specialisation required for some of the tasks, the EBA sees the need to recruit staff

in a range of grades, with varying levels of experience and expertise, including experienced staff with highly specialist skills: Heads of Unit (AD10) will be required to oversee the implementation of both LFS; senior staff with specialist crypto-asset, ICT, cybersecurity, cloud services, innovative technologies, and supervision expertise will be needed to effectively supervise and challenge the highly sophisticated institutions likely to be subject to supervision/oversight (e.g., Libra Association, Google, Amazon etc.); specialised junior members will be needed to cover the abovementioned areas and work alongside more senior staff. As such, EBA foresees a need for one-third of the staff allocation to be at more senior grades (AD7-9) and the remaining two-thirds to be at more junior grades (AD5-6). It will be important for EBA to retain flexibility (as per the legislative financial statement) to recruit additional staff as needed considering its supervisory and oversight workload that may rise over time depending on the matters requiring supervision/oversight attention and the number of supervised/overseen entities.

Lastly, in 2022 EBA foresees bottlenecks in the following areas: i) timely delivery of potential new mandates stemming from the finalisation of Basel III reforms; ii) sustainable finance tasks deriving from Commission's Renewed Sustainable Finance Strategy and on the potential new EU regime for green finance; iii) tasks driven by the legislative and non-legislative initiatives of the Capital Markets Union action plan; and iv) work towards relieving the sequels of the covid-19 crisis. EBA is requesting an additional SNE post that will be allocated to one of these areas.

	2020 (actual)	2021 (estimate)	2022 (planned)
TAs			
• Fee funded	•		30
• Funded by EU/NCAs	154	162	162
Total TAs	154	162	192
CAs			
• Fee funded	•		
• Funded by EU/NCAs	47	50	50
Total CAs	47	50	50
SNEs			
• Fee funded			
• Funded by EU/NCAs	17	19	20
Total SNSs	17	19	20

4.1.2 Vacancy rate as of end 2020

Against an establishment plan of 154 Temporary Agent posts, the occupation rate at the end of 2020 was 98%. The filled posts include one temporary agent offer that was made by 31 December 2020. The vacancy rate at the end of 2020 was therefore 2%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The EBA does not apply the standard abatement. For 2022, the EBA expects:

- 98.2 % occupancy rate for TA positions. This is based on starting the year with 162 positions of the 2021 establishment plan filled, then recruiting the +30 positions over the year according to the recruitment plan;
- 99.0 % occupancy rate for CA positions, as we are not recruiting any CA;
- 97.5 % occupancy rate for SNE positions.

4.1.4 Salary assumption for calculating salary line (% applied)

The cost of the TA & CA posts is based on the actual costs for existing staff at the end of 2020, adjusted for the projected changes in the correction coefficient and expatriate allowances, for a normal level of reclassifications and step increases, and for 0.5 % indexation.

The budget projections include the posts proposed for the EBA in the Legislative Financial Statements for MiCA and DORA. On top of these, they include 9 extra TA for DORA, and 1 extra SNE.

EBA must fund 60 % of the employer's pension contributions from its budget, except in the case of the MiCA and DORA staff where the full amount of the employer's pension goes through the EBA budget but is funded by industry fees.

4.1.5 Correction coefficient used

The salary correction coefficient applied is 120.5 for the whole year.

4.1.6 Exchange rate used (if applicable)

The exchange rates applied are those of 30 September 2020, these being the reference rates as per the advice given in the Commission's Budget Circular for 2022, received on 19 January 2021. Note that with the departure of the EBA from London and the divestment of the London premises in 2020, the EBA expects almost no GBP expenditure in 2022.

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

The MiCA and DORA staff (30 temporary agents) will be recruited over the course of the year, with a budgetary impact of 15 FTE. The full cost of the employer's pension contribution for these staff will impact the budget (contrasting with the staff funded by the EU/NCA, where only the NCA element appears in the EBA budget).

4.2.1.2 Title 1 (UE and NCA)

The following assumptions drive the Title 1 expenditure:

- Additional staff will be recruited over the course of 2022 and will not impact the budget as full-time equivalents (FTEs);
- The occupancy rate for staff posts (TA, contract agent and SNE) is calculated as 99%;
- Pension contribution percentage of 10.1%, following the increase in 2020 from 9.7%, however only 60 % of the cost hitting the EBA budget, and this funded fully by the NCA;
- The drop in the budget for recruitment reflects a return to normal levels, whereas the 2021 budget still included amounts relating to the relocation of staff from London to Paris, where the staff members' relocation was delayed by the COVID-19 pandemic;
- Administrative mission costs will remain below pre-COVID levels, due to a reduced volume of missions. This is also linked to the greenhouse gas emission reductions targeted by the EBA's eco-management and audit scheme (EMAS) implementation;
- For the socio-medical contribution chapter, the accreditation of the European School in Paris La Défense will result in a lower cost of the EBA education contribution, although the education contribution will continue to be paid in some cases as not all classes will be available at the European School;
- The increase in the training budget is driven in particular by planned training on project management, linked to the agency's implementation of the PM2 methodology, and by the implementation of the 360° performance appraisal system, which will be initiated in 2021;

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

Title 2 costs funded by fees comprises habillage for MiCA and DORA staff.

4.2.2.2 Title 2 (UE and NCA)

In 2022, the EBA will no longer be liable for any costs relating to the previous offices in Canary Wharf, as the lease has been terminated in 2020.

The French government's contribution will continue to reduce the costs of the Paris building, by approximately EUR 575 000 per annum. The EBA will receive the contribution as external assigned revenue, so the budget tables above

do not include the expenditure that is covered by this revenue, as the relevant appropriations will be created upon receipt of the French government contribution.

Building costs are estimated to increase by 8%, due to: a) a 50% increase in business rates (communicated by landlord); b) indexation of rent, utilities and maintenance costs.

IT costs will continue to be driven by the EBA's IT strategy, in particular the continued implementation of the collaboration platform and the ongoing cloudification.

The increase in current administrative expenditure is driven by the cost of consultancy on data protection compliance and issues.

Telecommunications costs will remain below 2020 levels. This will be driven by a move towards using the EBA's collaboration platform for online meetings, for which the cost is significantly lower than using an external provider.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

Title 3 costs funded by fees comprises missions and meeting costs, and translation costs, in line with the proposed LFS.

4.2.3.2 Title 3 (UE and NCA)

The Chapter 31 general operating costs budget includes the costs of: developing and running training for external entities; EBA-hosted meetings (Banking Stakeholder Group, BoS, sub-groups and working groups); and EBA business travel, with budgeting for a more normal, post-COVID-19 level of physical meetings and travel in 2022. This chapter also includes subscriptions to data services, such as Moody's and Bloomberg, as well as ad hoc data required for some consumer initiatives and FinTech work, and capital market data. Operational consulting is included in this chapter and in 2022 is expected to include work on the cost of compliance, statistical analyses on the EUCLID data, FinTech knowledge hub work, mystery shopping consultancy for consumer protection and other policy-related consulting. To date, EBA has had relatively low levels of spend on consultancy. This is changing as EBA workload increases without a commensurate increase in establishment plan.

The Chapter 32 information technology (IT) budget includes amounts for development work on the AML system, EUCLID (including investment firms), Pillar 3 disclosures, fit and proper assessment information, identity management, data analytics (SSBI), the collaboration platform and signposting. IT costs will also include ongoing support of these and other operational systems, and related software licenses. The reduction in the budget compared to 2021 reflects in particular the AML database development costs that are budgeted at EUR 1 326 000 in 2021 (as per the AML legislative financial statement), whereas only ongoing maintenance costs are budgeted in 2022.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Tour Europlaza	20 Avenue André Prothin, 92400 Courbevoie	5 336		5 336	2 541 457	9 term lease with a break option after 6 year (conditional)	Lease Contract	Financial contribution from French State	
TOTAL			5 336		5 336	2 541 457				

5.1.2 Current building(s) Other comments

The EUR 2 541 457 amount for rent covers rental of the office and car parking spaces.

Paris offices at La Défense

Total sq. m. on all floors	3,995m ²
Shared areas including lift banks	1,341m ²
TOTAL	5,336m ²
Reception / Lobby	184m ²
Meeting rooms - Visitors	527m ²
Internal meeting rooms	417m ²
Storage / Print rooms/ Corridors	1,183m ²
Break out area visitors	202m ²
Break out area staff	65m ²
Open Plan (including individual offices)	1,416m ²

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 European schools

A Type II European School opened in Courbevoie in September 2019. The accreditation agreement was signed on 19 June 2020 with retroactive effect to 01/09/2019. The EBA is concluding the “Mandate and Service Agreement” with the Commission, and will then pay an EU financial contribution towards EBA staff pupils attending the school.

5.3 Evaluation

In September 2017, the EC submitted a package of proposals to strengthen the European System of Financial Supervision (ESFS). After adoption by the co-legislators, these proposals entered into force in January 2020. The main findings of the latest evaluation note that the ESAs are pivotal in ensuring that the banking and insurance sector as well as financial markets across the EU are well regulated, strong and stable. However, more needs to be done to enhance regulatory and supervisory convergence within the Single Market to help financial markets to work more effectively and to address new challenges.

The review of the ESAs covered different areas, as it provided new and/or refined tasks for the EBA, introduced new accountability and transparency requirements and resulted in some amendments to existing structures within the organisation.

As a result, the EBA has complemented its set-up and embarked on several initiatives. It set up a new AML Standing Committee and expanded the team working on AML-related issues. Moreover, the EBA oversaw the establishment of the world’s first AML /CFT colleges, whilst pressing ahead with mandates and drafting key Guidelines for AML and prudential supervisors.

In order to take into account the specific differences prevailing in the sector, the EBA established an Advisory Committee on Proportionality (ACP) in May 2020. The main task of the ACP is to assess the draft work programme of the EBA for the coming year, to advise the BoS on the work programme and where necessary make recommendations to the BoS on how the work programme should be improved to accommodate a more proportionate approach.

Finally, the EBA has identified, in cooperation with the BoS, several strategic supervisory priorities that apply Union-wide to promote consistent, efficient and effective supervisory practices.

To increase its accountability and transparency, since January 2020 the EBA has been submitting the minutes of the meetings of the BoS to the EU Parliament with a personal message from the EBA's Chair. To provide more transparency with regard to its Work Programme, the EBA is developing a regular publication that provides an insight into the ongoing regulatory work and planned adoption of technical standards.

To strengthen the periodical reviews of some or all of the activities of competent authorities and consistency in supervisory outcomes, the EBA has established a review committee and adopted a two-year work plan of upcoming peer reviews in June 2020. Lastly, the EBA slightly changed the format of the Single Programming Document so that it provides more information regarding priorities, task and resource allocation, and efficiency gains.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>EBA enjoys the privileges stipulated in the Protocol on the Privileges and Immunities of the European Communities.</p> <p>Furthermore, the draft Agreement between the French Government and the Agency stipulates the following:</p> <ul style="list-style-type: none"> - The Authority, its assets, income and other property are exempt from all direct taxes; - the French Government shall take appropriate measures to remit or refund the amount of indirect duties and sales taxes included in the prices of real estate or movable property and services where the Commission or the Authority carries out, for the official use of the Authority, significant purchases the price of which includes duties and taxes of this kind. The articles so acquired shall not be assigned for consideration or free of charge in the territory of the French Republic, unless it is on the conditions approved by the Government; - The Authority shall be exempt from all customs duties, prohibitions and restrictions on imports and exports in respect of articles intended for its official use. The articles so imported shall not be assigned for consideration or free of charge in the territory of the French Republic, unless it is on conditions approved by the Government; - The Authority is exempt from any customs duties and prohibitions and restrictions on imports and exports in respect of its publications; - The Authority has three license plates for service vehicles in the Preferred CD Series. 	<p>Articles 12 to 16 of the Protocol on the Privileges and Immunities of the European Communities are applicable to the staff of the Agency. This includes:</p> <ol style="list-style-type: none"> 1) Immunity from jurisdiction as regards acts carried out by them in their official capacity; 2) Exemption from regulations restricting immigration and formalities for the registration of foreigners; 3) Right to import household effects from their last country of residence or from the country of which they are nationals free of customs duty and VAT. <p>Furthermore, the draft Agreement between the French Government and the Agency stipulates the following:</p> <ul style="list-style-type: none"> -The Government shall issue to each staff member (other than those of French nationality and those who are permanent residents in France), after having been informed of their appointment, a special residence permit of the FI category which identifies them as a staff member of the Authority. The Government shall facilitate the issue of residence permits and work permits to members of the family of staff of the Authority and seconded national experts (other than those of French nationality and those who are permanent residents of France); - Staff members have the right to import duty-free motor vehicles for personal use acquired in the State of their last residence or in the State of which they are nationals on the conditions of the latter's internal market and to re-export them in subject, in both cases, to the conditions deemed necessary by the Government; -Staff member may purchase a VAT free motor vehicle within 12 months of first entry in the French territory; -Staff members who, because of only in the exercise of their functions in the service of the Authority, establish their residence in the territory of the French Republic, are exempt from inheritance tax on movable property in France. 	<p>An Accredited European School was created by the French State in La Défense, Paris. The EBA is in contact with DG HR with regards to concluding the Mandate and Service Agreement in order to pay an EU financial contribution towards the Accredited European School La Défense. The Accredited European School then grants free of charge priority enrolment for the children of EBA staff.</p> <p>For children who do not attend this school, education allowances are determined and paid when due.</p>

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No. 1094/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision 716/2009/EC and repealing Commission Decision 2009/79/EC	24/11/2010	The Authority shall act within the powers conferred by this Regulation and within the scope of Directive 2009/138/EC with the exception of Title IV thereof, of Directives 2002/92/EC, 2003/41/EC, 2002/87/EC, 64/225/EEC, 73/239/EEC, 73/240/EEC, 76/580/EEC, 78/473/EEC, 84/641/EEC, 87/344/EEC, 88/357/EEC, 92/49/EEC, 98/78/EC, 2001/17/EC, 2002/83/EC, 2005/68/EC and, to the extent that those acts apply to insurance undertakings, reinsurance undertakings, institutions for occupational retirement provision and insurance intermediaries, within the relevant parts of Directives 2005/60/EC and 2002/65/EC, including all directives, regulations, and decisions based on those acts, and of any further legally binding Union act which confers tasks on the Authority
Regulation (EU) 2019/2175 of the European Parliament and of the Council of 18 December 2019, amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority), Regulation (EU) No 1094/2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority), Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds.	18/12/2019	Modifications in the mandate and governance in order to ensure stronger and more integrated supervision across the EU.
Proposal for a Regulation of the European Parliament and of the Council on Digital Operational Resilience for the financial sector and amending Regulations (EC) No 1060/2009; (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014. Ref. Com(2020)595	24/09/2020	This proposal is part of the Digital finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks arising from it. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to consumers and businesses.

1.2 Seat

Frankfurt am Main, Germany

1.3 Budget Line

03 10 03 : European Insurance and Occupational Pensions Authority (EIOPA)

1.4 Human Resource Overview EIOPA

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	113	113	100,00%	124	129
Assistants (AST)	14	14	100,00%	14	15
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	127	127	100,00%	138	144
Contract Agents (CA)	39	29	74,36%	42	42
Seconded National Experts (SNE)	27	19	70,37%	29	29
TOTAL STAFF	193	175	90,67%	209	215

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview EIOPA

1.5.1.1 Revenues Overview EIOPA

1.5.1.1.1 General revenues EIOPA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	12 140 600	12 932 000
Other Revenue	20 744 705	22 873 000
TOTAL REVENUES	32 885 305	35 805 000

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements EIOPA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		
TOTAL REVENUES		

1.5.1.2 Expenditure Overview EIOPA

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	23 266 327	23 266 327	26 005 000	26 005 000
Title 2 - Infrastructure and operating expenditure	3 643 000	3 643 000	3 584 000	3 584 000
Title 3 - Operational expenditure	5975978	5975978	6216000	6216000
TOTAL EXPENDITURE	32 885 305	32 885 305	35 805 000	35 805 000

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

REVENUES	2021		2022	
	Revenues estimated by the agency		Budget Forecast	
EU contribution				
Other Revenue				1 305 000
TOTAL REVENUES				1 305 000

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

REVENUES	2021		2022	
	Revenues estimated by the agency		Budget Forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)				
Additional EU funding stemming from contribution agreements (FFR Art.7)				
Additional EU funding stemming from service level agreements (FFR Art. 43.2)				
TOTAL REVENUES				

1.5.2.2 Expenditure Overview (Fees)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure			430 000	430 000
Title 2 - Infrastructure and operating expenditure			75 000	75 000
Title 3 - Operational expenditure			800 000	800 000
TOTAL EXPENDITURE			1 305 000	1 305 000

1.5.3 Financial Resources Overview (UE and NCA)

1.5.3.1 Revenues Overview (UE and NCA)

1.5.3.1.1 General revenues (UE and NCA)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	12 140 600	12 932 000
Other Revenue	20 744 705	21 568 000
TOTAL REVENUES	32 885 305	34 500 000

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		
TOTAL REVENUES		

1.5.3.2 Expenditure Overview (UE and NCA)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	23 266 327	23 266 327	25 575 000	25 575 000
Title 2 - Infrastructure and operating expenditure	3 643 000	3 643 000	3 509 000	3 509 000
Title 3 - Operational expenditure	5 975 978	5 975 978	5 416 000	5 416 000
TOTAL EXPENDITURE	32 885 305	32 885 305	34 500 000	34 500 000

2 Human Resources

2.1 Establishment plan posts EIOPA

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16		1		1		1		1		1
AD 15		1				1		1		
AD 14		1		1		1		2		1
AD 13		4		1		4		7		3
AD 12		11		4		10		17		5
AD 11		14		3		13		16		6
AD 10		14		12		14		18		12
AD 9		19		12		19		21		18
AD 8		15		8		15		16		15
AD 7		15		21		15		13		23
AD 6		7		27		20		9		25
AD 5		11		23		11		8		20
AD TOTAL		113		113		124		129		129
AST 11		1				1		1		
AST 10		1				1		1		
AST 9		2				2		4		
AST 8		3				3		4		
AST 7		3				3		2		2
AST 6		2		3		2		1		3
AST 5		2		4		2		2		7
AST 4				6						3
AST 3				1						
AST 2										
AST 1										
AST TOTAL		14		14		14		15		15
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		127		127		138		144		144
GRAND TOTAL		127		127		138		144		144

2.2 External personnel EIOPA

2.2.1 Contract Agents EIOPA

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	12	7	19	19
Function Group III	19	21	22	22
Function Group II	8	1	1	1
Function Group I				
TOTAL	39	29	42	42

2.2.2 Seconded National Experts EIOPA

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	27	19	29	29

3 Financial Resources

3.1 Financial Resources EIOPA

3.1.1 Revenues EIOPA

3.1.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES			1 305 000	1 305 000	100%
2 EU CONTRIBUTION	10 489 363	12 140 600	12 932 000	12 932 000	6,52%
- Of which assigned revenues deriving from previous years' surpluses	41 697		79 768	79 768	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	483 178	617 859	642 583	642 583	4,00%
- Of which EEA/EFTA (excl. Switzerland)	483 178	617 859	642 583	642 583	4,00%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	17 007 857	19 956 846	20 755 417	20 755 417	4,00%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	406 000	170 000	170 000	170 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	28 386 398	32 885 305	35 805 000	35 805 000	8,88%

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.1.2 Expenditure EIOPA

3.1.2.1 Commitment appropriations EIOPA

EXPENDITURE	Commitment appropriations EIOPA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 100 776	23 266 327	26 005 000	26 005 000	11,77%
Salaries & allowances	15 539 695	19 733 127	22 229 800	22 229 800	12,65%
- Of which establishment plan posts	12 497 665	15 675 000	17 510 000	17 510 000	11,71%
- Of which external personnel	3 042 030	4 058 127	4 719 800	4 719 800	16,30%
Expenditure relating to Staff recruitment	237 472	380 000	275 000	275 000	-27,63%
Employer's pension contributions	1 310 000	1 800 000	2 040 000	2 040 000	13,33%
Mission expenses	8 431	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	129 155	121 200	121 200	121 200	0,00%
Training	150 633	235 000	235 000	235 000	0,00%
External Services	1 721 902	200 200	270 000	270 000	34,87%
Receptions, events and representation	3 488	6 000	4 000	4 000	-33,33%
Social welfare		690 800	730 000	730 000	5,67%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 054 839	3 643 000	3 584 000	3 584 000	-1,62%
Rental of buildings and associated costs	2 720 434	2 825 000	2 740 000	2 740 000	-3,01%
Information, communication technology and data processing	706 252	236 000	315 000	315 000	33,47%
Movable property and associated costs	172 831	120 000	120 000	120 000	0,00%
Current administrative expenditure	122 038	104 000	109 000	109 000	4,81%
Postage / Telecommunications	290 241	295 000	238 000	238 000	-19,32%
Meeting expenses	3 433	26 000	25 000	25 000	-3,85%
Running costs in connection with operational activities					
Information and publishing	39 610	37 000	37 000	37 000	0,00%
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Commitment appropriations EIOPA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	5 230 783	5 975 978	6 216 000	6 216 000	4,02%
TOTAL	28 386 398	32 885 305	35 805 000	35 805 000	8,88%

3.1.2.2 Payment appropriations EIOPA

EXPENDITURE	Payment appropriations EIOPA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 100 776	23 266 327	26 005 000	26 005 000	11,77%
Salaries & allowances	15 539 695	19 733 127	22 229 800	22 229 800	12,65%
- Of which establishment plan posts	12 497 665	15 675 000	17 510 000	17 510 000	11,71%
- Of which external personnel	3 042 030	4 058 127	4 719 800	4 719 800	16,30%
Expenditure relating to Staff recruitment	237 472	380 000	275 000	275 000	-27,63%
Employer's pension contributions	1 310 000	1 800 000	2 040 000	2 040 000	13,33%
Mission expenses	8 431	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	129 155	121 200	121 200	121 200	0,00%
Training	150 633	235 000	235 000	235 000	0,00%
External Services	1 721 902	200 200	270 000	270 000	34,87%
Receptions, events and representation	3 488	6 000	4 000	4 000	-33,33%
Social welfare		690 800	730 000	730 000	5,67%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 054 839	3 643 000	3 584 000	3 584 000	-1,62%
Rental of buildings and associated costs	2 720 434	2 825 000	2 740 000	2 740 000	-3,01%
Information, communication technology and data processing	706 252	236 000	315 000	315 000	33,47%
Movable property and associated costs	172 831	120 000	120 000	120 000	0,00%
Current administrative expenditure	122 038	104 000	109 000	109 000	4,81%
Postage / Telecommunications	290 241	295 000	238 000	238 000	-19,32%
Meeting expenses	3 433	26 000	25 000	25 000	-3,85%
Running costs in connection with operational activities					
Information and publishing	39 610	37 000	37 000	37 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 230 783	5 975 978	6 216 000	6 216 000	4,02%
TOTAL	28 386 398	32 885 305	35 805 000	35 805 000	8,88%

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

REVENUES	General revenues (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES			1 305 000	1 305 000	100%
2 EU CONTRIBUTION					
<i>- Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL			1 305 000	1 305 000	100%

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

REVENUES	Additional EU funding: contribution, grant and service level agreements (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

EXPENDITURE	Commitment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure			430 000	430 000	100%
Salaries & allowances			390 000	390 000	100%
- Of which establishment plan posts			390 000	390 000	100%
- Of which external personnel					
Expenditure relating to Staff recruitment					
Employer's pension contributions			40 000	40 000	100%
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure			75 000	75 000	100%
Rental of buildings and associated costs					
Information, communication technology and data processing			75 000	75 000	100%
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure			800 000	800 000	100%
TOTAL			1 305 000	1 305 000	100%

3.2.2.2 Payment appropriations (Fees)

EXPENDITURE	Payment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure			430 000	430 000	100%
Salaries & allowances			390 000	390 000	100%
- <i>Of which establishment plan posts</i>			390 000	390 000	100%
- <i>Of which external personnel</i>					
Expenditure relating to Staff recruitment					
Employer's pension contributions			40 000	40 000	100%
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure			75 000	75 000	100%
Rental of buildings and associated costs					
Information, communication technology and data processing			75 000	75 000	100%
Movable property and associated costs					
Current administrative expenditure					
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure			800 000	800 000	100%
TOTAL			1 305 000	1 305 000	100%

3.2.3 Budget Outturn (Fees)

N/A

3.3 Financial Resources (UE and NCA)

3.3.1 Revenues (UE and NCA)

3.3.1.1 General revenues (UE and NCA)

REVENUES	General revenues (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	10 489 363	12 140 600	12 932 000	12 932 000	6,52%
- Of which assigned revenues deriving from previous years' surpluses	41 697		79 768	79 768	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	483 178	617 859	642 583	642 583	4,00%
- Of which EEA/EFTA (excl. Switzerland)	483 178	617 859	642 583	642 583	4,00%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	17 007 857	19 956 846	20 755 417	20 755 417	4,00%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	406 000	170 000	170 000	170 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	28 386 398	32 885 305	34 500 000	34 500 000	4,91%

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE and NCA)

REVENUES	Additional EU funding: contribution, grant and service level agreements (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.3.2 Expenditure (UE and NCA)

3.3.2.1 Commitment appropriations (UE and NCA)

EXPENDITURE	Commitment appropriations (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 100 776	23 266 327	25 575 000	25 575 000	9,92%
Salaries & allowances	15 539 695	19 733 127	21 839 800	21 839 800	10,68%
- Of which establishment plan posts	12 497 665	15 675 000	17 120 000	17 120 000	9,22%
- Of which external personnel	3 042 030	4 058 127	4 719 800	4 719 800	16,30%

EXPENDITURE	Commitment appropriations (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	237 472	380 000	275 000	275 000	-27,63%
Employer's pension contributions	1 310 000	1 800 000	2 000 000	2 000 000	11,11%
Mission expenses	8 431	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	129 155	121 200	121 200	121 200	0,00%
Training	150 633	235 000	235 000	235 000	0,00%
External Services	1 721 902	200 200	270 000	270 000	34,87%
Receptions, events and representation	3 488	6 000	4 000	4 000	-33,33%
Social welfare		690 800	730 000	730 000	5,67%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 054 839	3 643 000	3 509 000	3 509 000	-3,68%
Rental of buildings and associated costs	2 720 434	2 825 000	2 740 000	2 740 000	-3,01%
Information, communication technology and data processing	706 252	236 000	240 000	240 000	1,69%
Movable property and associated costs	172 831	120 000	120 000	120 000	0,00%
Current administrative expenditure	122 038	104 000	109 000	109 000	4,81%
Postage / Telecommunications	290 241	295 000	238 000	238 000	-19,32%
Meeting expenses	3 433	26 000	25 000	25 000	-3,85%
Running costs in connection with operational activities					
Information and publishing	39 610	37 000	37 000	37 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 230 783	5 975 978	5 416 000	5 416 000	-9,37%
TOTAL	28 386 398	32 885 305	34 500 000	34 500 000	4,91%

3.3.2.2 Payment appropriations (UE and NCA)

EXPENDITURE	Payment appropriations (UE and NCA)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 100 776	23 266 327	25 575 000	25 575 000	9,92%
Salaries & allowances	15 539 695	19 733 127	21 839 800	21 839 800	10,68%
- Of which establishment plan posts	12 497 665	15 675 000	17 120 000	17 120 000	9,22%
- Of which external personnel	3 042 030	4 058 127	4 719 800	4 719 800	16,30%
Expenditure relating to Staff recruitment	237 472	380 000	275 000	275 000	-27,63%
Employer's pension contributions	1 310 000	1 800 000	2 000 000	2 000 000	11,11%
Mission expenses	8 431	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	129 155	121 200	121 200	121 200	0,00%
Training	150 633	235 000	235 000	235 000	0,00%
External Services	1 721 902	200 200	270 000	270 000	34,87%

EXPENDITURE	Payment appropriations (UE and NCA)				VAR 2022/2021 (%)
	Executed Budget 2020	Budget 2021	Draft Budget 2022		
			Agency request	Budget forecast	
Receptions, events and representation	3 488	6 000	4 000	4 000	-33,33%
Social welfare		690 800	730 000	730 000	5,67%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 054 839	3 643 000	3 509 000	3 509 000	-3,68%
Rental of buildings and associated costs	2 720 434	2 825 000	2 740 000	2 740 000	-3,01%
Information, communication technology and data processing	706 252	236 000	240 000	240 000	1,69%
Movable property and associated costs	172 831	120 000	120 000	120 000	0,00%
Current administrative expenditure	122 038	104 000	109 000	109 000	4,81%
Postage / Telecommunications	290 241	295 000	238 000	238 000	-19,32%
Meeting expenses	3 433	26 000	25 000	25 000	-3,85%
Running costs in connection with operational activities					
Information and publishing	39 610	37 000	37 000	37 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 230 783	5 975 978	5 416 000	5 416 000	-9,37%
TOTAL	28 386 398	32 885 305	34 500 000	34 500 000	4,91%

3.3.3 Budget Outturn (UE and NCA)

The EIOPA budget outturn 2020 is EUR 212 781 of which EUR 79 768 corresponds to the Union share.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports EIOPA's request for 144 Establishment Plan posts, 42 Contract Agent posts and 29 Seconded National Expert posts for 2022.

The additional 6 Establishment Plan posts (5 AD 5 and 1 AST) on top the 138 posts provided for in the adopted budget 2021 are foreseen in the Legislative Financial Statement for the new fee funded direct supervision tasks set out in the Commission's proposal for a Regulation on the Digital Operational Resilience of the financial sector (DORA) adopted by the College of Commissioners. These posts are for the implementation of the new direct supervision tasks EIOPA would take on.

In addition to the numbers of CAs shown in the tables 1.4 Human Resources Overview and table 2.2.1 Contract Agents, EIOPA had been allowed one additional Contract Agent (Function Group III) post for the period from June 2020 to January 2022 and another two Contract Agents FG IV posts are now allowed for the period from June 2021 to June 2022. The FG III post was needed for the implementation of a Training Programme funded by DG REFORM (previously the SRSS). EIOPA's administrative agreement with DG REFORM has been extended until the end of 2022, hence the Authority requests to keep the additional CA post in 2022. The two FG IV posts are needed for the implementation of three new administrative agreements with DG REFORM (two with Greece and one with Portugal). These post would be over and above the maximum number of external staff specified in the Agency Instructions of the Budgetary Circular for 2022 (i.e. the level agreed during the 2021 budget increased where appropriate for changes documented in Legislative Financial Statements).

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget. A Union contribution to EIOPA of EUR 12 932 000 (of which 12 852 232 is fresh credits) is requested for 2022. It reflects the following amounts:

- * EUR 10 330 132 representing the 2021 Union Contribution for the existing 2014-2020 tasks with 2% indexation;
- * EUR 2 308 000 for the costs of the implementation by EIOPA of the revised tasks resulting from the ESAs review;
- * EUR 32 640 for the implementation by EIOPA of the tasks required for the establishment of a framework to facilitate sustainable finance with 2% indexation;
- * EUR 261 140 for the tasks required for the Pan-European Pension Product with 2% indexation.

NB: the total Union contribution to EIOPA for 2022 based on the above detailed amount would be precisely EUR 12 931 912. It is however, rounded up to EUR 12 932 000 as the difference of EUR 88 is considered insignificant.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The digital operational resilience act (DORA) legislative proposal, pending final decision by the co-legislators, will allow EIOPA to contribute to the implementation of the EU strategy for mitigating cyber-attacks and other risks to participants in the financial system as well as introduction of an EU-wide Oversight Framework of critical ICT third-party service providers.

According to the European Commission's estimations, which do not necessarily reflect the outcome of EIOPA's assessment of the actual resources needed to implement the DORA legislative proposal, EIOPA will require at least 6 FTEs (5 AD5 and 1 AST5).

EIOPA continually seeks efficiencies in its processes to allow for redeployment of staff. The limitation on this is, however, that staff available for redeployment do not possess the skills and experience necessary to provide authoritative guidance and coordination of IT and data service provider oversight. In fact, since 2017 considerable effort has been invested in shifting EIOPA's workforce from regulatory tasks to tasks improving the quality and consistency of supervision in the insurance and pensions market – from 33.75 FTE in 2017 to 65.5 FTE in 2022. These staff members are focused on EIOPA's mandated supervisory roles, including ensuring the efficient functioning of supervisory platforms for national supervisors, internal model assessments, monitoring equivalence decisions related to third countries and participating in colleges of supervisors.

However, an analysis of the skills required for the realisation of the DORA implementation tasks led to the conclusion that the deployment of already present staff is limited and EIOPA must therefore source new talents with highly specialised skills and experience in the area of ICT. The oversight of third-party providers will require a specific profile that combines experience in supervision to understand the impact of the services provided in the insurance, pensions and intermediaries industry and ICT specific knowledge. It is noted that the current legislative proposal foresees entry level staff; should this remain the case, redeployment will be needed to mitigate the risk of underperformance. This will require additional resources to support the Joint Committee tasks, such as for the designation of critical ICT third parties and the appointment of a Lead Overseer, and to ensure the proper monitoring and follow-up of cross-sectorial issues. Resources in the area of fee collection would also be required. Additionally, the new staff would be responsible for setting up processes and training for internal staff and NCAs in order for EIOPA to be able to support the NCAs in this area of high specialisation and in general on ICT supervision of the financial sector.

In addition, EIOPA has secured an administrative agreement with the DG Reform in 2020. This agreement will cover also the costs for an additional short-term Contract Agent for a period of two years. Therefore, an additional short-term contract agent was requested in 2020 for a period of two years (2021 and end date 2022) and remains in post until 2022.

The staff figures for the period 2020-2022 are as below:

	2020 (actual)	2021 (estimate)	2022 (planned)
TAs			
Fee funded	0	0	6
Funded by EU/NCAs	127	138	138
Total TAs	127	138	144
CAs			
Fee funded	0	0	0
Funded by EU/NCAs	29	42	42
Total CAs	29	42	42
SNEs			
Fee funded	0	0	0
Funded by EU/NCAs	19	29	29
Total SNEs	19	29	29

4.1.2 Vacancy rate as of end 2020

The fulfilment of the Establishment Plan in 2020 was 100%, with no open vacancies at the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

One single average per category has been used based on historical data.

98% of the establishment plan has been considered for AD staff the first half year and 100% for the remainder of the year.

The correction coefficient (1%) and salaries increase (2%) and effect of reclassification (2%) have been set to take effect for existing staff for six months for AD, AST and CA;

The correction coefficient increase for SNEs is assumed as 2% to take effect on the whole year.

New recruits work on average 6 months.

4.1.5 Correction coefficient used

The coefficient correction applicable as of July 2020 is 101.9 %. A stable increase of 1% for the correction coefficient has been anticipated for the year 2022.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees)

The European Commission proposal for DORA foresees the collection of fees for the amount of EUR 430,000 for title 1 to cover both the staff related (EUR 390,000) and pension costs (EUR 40,000). The amount will be required to assume EIOPA's new tasks and powers related to DORA. The additional funding will be used to recruit the additional staff foreseen for 2022 (five AD5 and one AST5).

4.2.1.2 Title 1 (UE and NCA)

The Board of Supervisors adopts the EIOPA budget as a part of the SPD after it is approved by the EU's Budgetary Authority (Council of the European Union and European Parliament).

For the EIOPA budget the European Union grants EIOPA a subsidy of 40%. The remaining 60% are contributions from the Member States, which are calculated according to the weighting of votes set out in Article 3(3) of the Protocol (No 36) on transitional provisions²⁴ and EFTA contributions calculated in accordance to the weighting factor²⁵ applicable for the EFTA states.

Since 2016 the Member States and EFTA states pay the employer's contributions to the EU pension scheme in accordance with Article 83a of the Staff regulations²⁶. Any surplus (n-2, i.e. from two years before) will be set against the contributions due according to the above model and therefore reduces the relevant contributions.

EIOPA will receive additional revenue through multi-annual administrative agreements with DG REFORM through the Technical Support Instrument (for 2022 EUR 170,000). The funds will be used to cover expenditure such as missions, workshops, studies and other costs relating to the TSI projects. These projects cover the topics 'Targeted training on insurance supervision' and 'Support of supervision on market conduct in the insurance sector', which will finish in 2022. The purpose of the agreement is to enhance the supervisory capacity in Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Finland, Greece, Italy, Lithuania, Malta, Poland, Portugal, Romania, Slovenia, Slovakia, Spain and Sweden in view of reinforcing high-quality and effective supervision, protection of policyholders and beneficiaries and financial stability.

Title 1:

EIOPA planned the resources according to adopted Multi-Financial-Framework 2021-2027. The annual indexation, correction co-efficient and salary increase are considered. The increase of approximately 16% from 2021 to 2022 on the budget lines for salaries and allowances for external staff (SNEs, Contract Agents and Trainees) is largely due to the increase of the average salary of the Contract Agents (FGIII and FGIV), and due to the applied assumption that all CAs posts will be filled in in 2022. Similarly, costs for SNEs slightly increased due to the projected correction coefficient and allowance adjustments. In addition, it is assumed that the SNE posts will be filled-in at 90% in 2022 (while 80% occupancy rate was considered in previous years).

4.2.2 Title 2

4.2.2.1 Title 2 (Fees)

The European Commission proposal for DORA foresees the collection of fees for the amount of EUR 75,000 for title 2 to cover hardware and software related to the new staff. EIOPA will receive additional funds in the form of fees required to assume its new tasks and powers. The additional funding will be used to fulfil the specific requirements in the new legislative decisions.

²⁴https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2012.112.01.0006.01.ENG#L_2012112EN.01001001

²⁵<https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ%3AL%3A2017%3A046%3ATO>

4.2.2.2 Title 2 (UE and NCA)

Title 2 represents to a large extend fixed running costs. The budget will cover the EIOPA task related costs (including ESAs review, PEPP, sustainable finance, etc). There is a noticeable increase in the costs foreseen under the chapter “Information, communication technology and data processing” mostly due the need to support hardware purchase, administrative software as well as telecommunications and audio-video conferencing costs related to the teleworking regime.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees)

The European Commission proposal for DORA foresees the collection of fees for the amount of EUR 800,000 for title 3 to cover one off ICT related expenditure and the maintenance of the tools to support the reporting requirements and the on-site supervision (EUR 450,000); and additional translations of documentation (EUR 350,000).

4.2.3.2 Title 3 (UE and NCA)

Title III covers operational costs. The budget will cover costs for running and new projects of EIOPA.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Westhafen Tower	Westhafen Platz 1, Frankfurt am Main, Germany, per 1.1.2018, 5 floors, add half-floor in 08	4 664	46	4 710	1 807 644	Rental contract with fixed duration until 30.06.2028	The contract defines the price per square metre, which is subject to indexation.	N/A	
TOTAL			4 664	46	4 710	1 807 644				

5.1.2 Current building(s) Other comments

EIOPA’s initial contract with the landlord (Mann Management) foresaw a dedicated 10-year contract for every half floor. The average rental fee per square metre, adjusted after indexation in line with the German consumer price index was EUR 30.15/month/m² (indexed until September 2018, i.e. the latest official indexation).

At the end of June 2019 EIOPA signed a twelfth amendment to the contract. This has led to a number of new lease conditions applicable as of 1 July 2019:

- All contract end dates for the rented (half-)floors are merged into one, i.e. until June 2028;
- 2 rent-free months, respectively in July 2023 and 2024;
- New (lower) rental fee as of 1 July 2019: EUR 29.30/month/m²; on average EUR 28.76/month/m² calculated over the total contract term, subject to indexation;
- Financial contributions for office space works for the next years, worth 300,000 EUR in total;
- Re-confirmation of the exit clause of the contract entitling EIOPA to an early termination in case it is closed down or its seat is transferred to a location outside Germany. In addition an early termination right in case the

landlord cannot offer sufficient office space in the event EIOPA needs to expand. In such circumstances EIOPA has the right to terminate the contract under the condition of compensation payments;

- The right of having an additional half floor via pre-booking without additional costs and keeping on hold until the actual need may arise.

5.1.3 Building projects in the planning phase

The implementation of adjustments in the context of the recently conducted ESAs review will result in an increase of EIOPA staff and require the provision of additional workstations and the improvement of existing office facilities. To accommodate the need for an enhanced office space utilisation, more workplaces as well as improved meeting and break-out spaces, EIOPA has launched the Workplace Strategy 2020-2022 project. The project also addresses COVID-19 related requirements. Depending on the extent of the office space re-modelling, all infrastructure and interior fitting works, layout changes, furniture replacements, additional furnishings and staff relocations will be executed gradually within the timeframe 2020-2022.

5.1.4 Building projects submitted to the European Parliament and the Council

No new building project was submitted to the European Parliament and the Council (information on building policy provided on 1 June 2019 according to Article 266 of the Regulation (EU, Euratom) 2018/104).

5.2 European schools

EIOPA's staff members have access to the European School in Frankfurt for their children. EIOPA has signed in 2019 an agreement with the Directorate General for Human Resources and is paying contribution towards the school fees since the school year 2019/2020.

5.3 Evaluation

An annual report of the activities of the Authority will be submitted to the Budgetary Authority before 15th of June every year, in line with Art. 43.5 of EIOPA's Founding Regulation and Art. 102 Approval of the final accounts of EIOPA's Financial Regulation.

EIOPA's founding regulation Article 81 stipulates that the Commission shall conduct an evaluation and publish "a general report on the experience acquired as a result of the operation of EIOPA and the procedures laid down in the Regulation. That report shall evaluate, inter alia, (a) the effectiveness and convergence in supervisory practices reached by competent authorities, (b) the functioning of the colleges of supervisors; (c) the progress achieved towards convergence in the fields of crisis prevention, management and resolution, including Union funding mechanisms; (d) the role of the Authority as regards systemic risk; (e) the application of the safeguard clause established in Article 38; (f) the application of the binding mediation role established in Article 19; and the functioning of the Joint Committee".

The first review after the 2019 changes will be published by 31 December 2021, and every three years thereafter.

In addition, EIOPA has developed an internal strategy for performance monitoring and evaluation. The strategy addresses strategic, operational and individual performance levels

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
EIOPA benefits from the privileges granted by the Protocol of Privileges and Immunities to EU organisations and institutions (mainly from the VAT exemption of supplier invoices).	Headquarter agreement signed on 18 October 2011 with the Government of the German Federal Republic.	

1 Overview**1.1 Creation/modification (legal base)**

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority).	24/11/2010	Establishment of ESMA as an EU Authority that contributes to safeguarding the stability of the EU's financial system by ensuring the integrity, transparency, efficiency and orderly functioning of securities markets, as well as enhancing investor protection.
Regulation (EU) N° 513/2011 on credit rating agencies.	11/05/2011	Grant ESMA competence for the direct supervision of Credit Rating Agencies in the EU.
Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR).	04/07/2012	Grants ESMA competence for the direct supervision of Trade Repositories in the EU.
Directive 2014/65/EU on markets in financial instruments.	15/05/2014	MiFID II
Regulation (EU) No 600/2014 on markets in financial instruments.	15/05/2014	MiFIR
Directive 2014/91/EU on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS).	23/07/2014	UCITS Directive
Regulation (EU) 2015/2365 on transparency of securities financing transactions.	25/11/2015	Extend ESMA competence for the direct supervision of trade repositories in the EU
Commission Delegated Regulation (EU) 2017/653 on key information documents for packaged retail and insurance-based investment products (PRIIPs).	08/03/2017	PRIIPs delegated regulation
Regulation (EU) 2017/1129 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market.	14/06/2017	Prospectus Regulation
Regulation (EU) 2017/1131 for money market funds (MMFs) established, managed or marketed in the Union.	14/06/2017	Money Market Funds
Regulation (EU) 2017/2402 laying down a specific framework for simple, transparent and standardised securitisation.	12/12/2017	Grants ESMA competence for the direct supervision of Securitisation Repositories in the EU.
Regulation (EU) 2019/1156 on facilitating cross-border distribution of collective investment undertakings and amending Regulations (EU) No 345/2013, (EU) No 346/2013 and (EU) No 1286/2014	20/06/2019	An increased cross-border investment flow. Consumers have access to safe and reliable insurance, pension and UCITS products and services, both nationally and across borders.
Regulation (EU) 2019/2099 amending Regulation (EU) No 648/2012 as regards the procedures and authorities involved for the authorisation of CCPs and requirements for the recognition of third-country CCPs	23/10/2019	Enhanced supervision of CCPs at EU level. More involvement of central banks of issue in the supervision of CCPs. Better ability of the EU to monitor, identify and mitigate third-country CCP risks.
Regulation (EU) 2019/2033 on the prudential requirements of investment firms and amending Regulations (EU) No 1093/2010, (EU) No 575/2013, (EU) No 600/2014 and (EU) No 806/2014	27/11/2019	IFR - Uniform prudential requirements which apply to investment firms authorised and supervised under Directive 2014/65/EU and Directive (EU) 2019/2034
Regulation (EU) 2019/2175 amending Regulation (EU) No 1095/2010 establishing the European Securities and Markets Authority, Regulation (EU) No 600/2014 on markets in financial instruments, Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds, and Regulation (EU) 2015/847 on information accompanying transfers of funds.	27/12/2019	ESAs Review – Enhanced supervisory convergence and new direct supervisory mandates for Data Service Providers and critical Benchmarks.

Regulation (EU) 2020/1303 of 14 July 2020 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council with regard to the criteria that ESMA should take into account to determine whether a central counterparty established in a third country is systemically important or likely to become systemically important for the financial stability of the Union or of one or more of its Member States	14/07/2020	Criteria that ESMA should take into account to determine whether a TC-CCP is systemically important or likely to become systemically important
Regulation (EU) 2020/1304 of 14 July 2020 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council with regard to the minimum elements to be assessed by ESMA when assessing third-country CCPs' requests for comparable compliance and the modalities and conditions of that assessment	14/07/2020	Minimum elements to be assessed by ESMA when assessing TC-CCPs
Regulation (EU) 2020/1406 of 2 October 2020 laying down implementing technical standards with regard to procedures and forms for exchange of information and cooperation between competent authorities, ESMA, the Commission and other entities under Articles 24(2) and 25 of Regulation (EU) No 596/2014 of the European Parliament and of the Council on market abuse	02/10/2020	ITS with regard to procedures and forms for exchange of information and cooperation
Proposal for a Regulation of the European Parliament and of the Council on Markets in Crypto-assets, and amending Directive (EU)2019/1937, Ref. COM(2020)593 final	24/09/2020	This proposal is part of the Digital Finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to European consumers and businesses.
Proposal for a Regulation of the European Parliament and of the Council on a pilot regime for market infrastructures based on distributed ledger technology, Ref. Com(2020)594 final	24/09/2020	This proposal is part of the Digital finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to European consumers and businesses. In addition to this proposal, the package also includes a proposal for a pilot regime on distributed ledger technology (DLT) market infrastructures
Proposal for a Regulation of the European Parliament and of the Council on Digital Operational Resilience for the financial sector and amending Regulations (EC) No 1060/2009; (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014, Ref. COM(2020)595 final	24/09/2020	This proposal is part of the Digital finance package, a package of measures to further enable and support the potential of digital finance in terms of innovation and competition while mitigating the risks arising from it. It is in line with the Commission priorities to make Europe fit for the digital age and to build a future-ready economy that works for the people. The digital finance package includes a new Strategy on digital finance for the EU financial sector with the aim to ensure that the EU embraces the digital revolution and drives it with innovative European firms in the lead, making the benefits of digital finance available to consumers and businesses.

1.2 Seat

Paris, France.

1.3 Budget Line

03 10 04 : European Securities and Markets Authority (ESMA)

1.4 Human Resource Overview ESMA

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	214	164	76,64%	238	233
Assistants (AST)	12	8	66,67%	12	10
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	226	172	76,11%	250	243
Contract Agents (CA)	73	68	93,15%	83	97
Seconded National Experts (SNE)	32	10	31,25%	33	15
TOTAL STAFF	331	250	75,53%	366	355

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview ESMA

1.5.1.1 Revenues Overview ESMA

1.5.1.1.1 General revenues ESMA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	18 071 139	17 599 233
Other Revenue	43 488 037	54 726 646
TOTAL REVENUES	61 559 176	72 325 879

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ESMA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.1.2 Expenditure Overview ESMA

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	39 669 176	39 669 176	47 172 879	47 172 879
Title 2 - Infrastructure and operating expenditure	7 825 000	7 825 000	8 423 000	8 423 000
Title 3 - Operational expenditure	11575000	11575000	16330000	16330000
Title 4 - Delegated tasks	2490000	2490000	400000	400000
TOTAL EXPENDITURE	61 559 176	61 559 176	72 325 879	72 325 879

1.5.2 Financial Resources Overview (Fees)

1.5.2.1 Revenues Overview (Fees)

1.5.2.1.1 General revenues (Fees)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	17 087 867	26 138 873

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2.2 Expenditure Overview (Fees)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	12 085 390	12 085 390	17 807 472	17 807 472
Title 2 - Infrastructure and operating expenditure	2 037 505	2 037 505	2 810 909	2 810 909
Title 3 - Operational expenditure	2 964 972	2 964 972	5 520 492	5 520 492
Title 4 - Delegated tasks				
TOTAL EXPENDITURE	17 087 867	17 087 867	26 138 873	26 138 873

1.5.3 Financial Resources Overview (UE, NCA, NCA for delegated tasks)

1.5.3.1 Revenues Overview (UE, NCA, NCA for delegated tasks)

1.5.3.1.1 General revenues (UE, NCA, NCA for delegated tasks)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	18 071 139	17 599 233
Other Revenue	26 400 170	28 587 773
TOTAL REVENUES	44 471 309	46 187 006

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.3.2 Expenditure Overview (UE, NCA, NCA for delegated tasks)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	27 583 786	27 583 786	29 365 407	29 365 407
Title 2 - Infrastructure and operating expenditure	5 787 495	5 787 495	5 612 091	5 612 091
Title 3 - Operational expenditure	8 610 028	8 610 028	10 809 508	10 809 508
Title 4 - Delegated tasks	2 490 000	2 490 000	400 000	400 000
TOTAL EXPENDITURE	44 471 309	44 471 309	46 187 006	46 187 006

2 Human Resources

2.1 Establishment plan posts ESMA

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16		2		2		1		1		1
AD 15		3		3		3		3		3
AD 14						1		1		1
AD 13		3				3		5		1
AD 12		7		5		10		13		5
AD 11		14		5		15		18		7
AD 10		17		10		24		30		17
AD 9		39		38		42		45		36
AD 8		30		25		40		43		29
AD 7		57		27		45		34		42
AD 6		10		18		17		23		42
AD 5		32		31		37		17		49
AD TOTAL		214		164		238		233		233
AST 11										
AST 10										
AST 9										
AST 8		2				2		2		
AST 7		3				3		3		
AST 6		3				3		3		2
AST 5		3		5		3				5
AST 4		1		2		1		1		1
AST 3										
AST 2										
AST 1				1				1		2
AST TOTAL		12		8		12		10		10
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		226		172		250		243		243
GRAND TOTAL		226		172		250		243		243

2.2 External personnel ESMA

2.2.1 Contract Agents ESMA

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	41	45	66	66
Function Group III	32	23	17	28
Function Group II				3
Function Group I				
TOTAL	73	68	83	97

2.2.2 Seconded National Experts ESMA

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	32	10	33	15

3 Financial Resources

3.1 Financial Resources ESMA

3.1.1 Revenues ESMA

3.1.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	14 565 782	17 087 867	26 138 873	26 138 873	52,97%
2 EU CONTRIBUTION	15 511 872	18 071 139	17 599 233	17 599 233	-2,61%
- Of which assigned revenues deriving from previous years' surpluses		77 858	1 298 280	1 298 280	1567,50%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	596 845	741 073	848 462	848 462	14,49%
- Of which EEA/EFTA (excl. Switzerland)	596 845	741 073	848 462	848 462	14,49%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	21 008 916	23 936 648	27 405 311	27 405 311	14,49%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS	1 904 357	1 722 449	334 000	334 000	-80,61%
TOTAL	53 587 772	61 559 176	72 325 879	72 325 879	17,49%

3.1.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.1.2 Expenditure ESMA

3.1.2.1 Commitment appropriations ESMA

EXPENDITURE	Commitment appropriations ESMA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	30 667 679	39 669 176	47 172 879	47 172 879	18,92%
Salaries & allowances	26 983 595	34 849 689	41 451 756	41 451 756	18,94%
- Of which establishment plan posts	26 969 535	34 839 689	41 393 756	41 393 756	18,81%
- Of which external personnel	14 060	10 000	58 000	58 000	480,00%
Expenditure relating to Staff recruitment	459 547	450 000	400 000	400 000	-11,11%
Employer's pension contributions	2 435 290	3 064 487	3 715 123	3 715 123	21,23%
Mission expenses					
Socio-medical infrastructure	542 399	999 000	1 127 000	1 127 000	12,81%
Training	245 726	300 000	472 000	472 000	57,33%
External Services					
Receptions, events and representation	1 122	6 000	7 000	7 000	16,67%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	7 824 764	7 825 000	8 423 000	8 423 000	7,64%
Rental of buildings and associated costs	6 296 816	6 160 000	6 710 000	6 710 000	8,93%
Information, communication technology and data processing	521 617	450 000	450 000	450 000	0,00%
Movable property and associated costs					
Current administrative expenditure	1 006 331	1 215 000	1 263 000	1 263 000	3,95%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Commitment appropriations ESMA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	12 438 915	11 575 000	16 330 000	16 330 000	41,08%
Training for a common supervisory culture		25 000	50 000	50 000	100,00%
Collection of information : IT projects	10 844 080	9 780 000	13 430 000	13 430 000	37,32%
Legal advice	49 259	120 000	160 000	160 000	33,33%
Access to data for Economic Research	599 811	600 000	670 000	670 000	11,67%
Mission expenses, travel and incidental expenses	66 927	400 000	700 000	700 000	75,00%
Communications	773 189	260 000	790 000	790 000	203,85%
Meeting expenses	103 572	360 000	500 000	500 000	38,89%
Services on operational matters	2 077	30 000	30 000	30 000	0,00%
Title 4 - Delegated tasks	2 656 414	2 490 000	400 000	400 000	-83,94%
TOTAL	53 587 772	61 559 176	72 325 879	72 325 879	17,49%

3.1.2.2 Payment appropriations ESMA

EXPENDITURE	Payment appropriations ESMA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	30 667 679	39 669 176	47 172 879	47 172 879	18,92%
Salaries & allowances	26 983 595	34 849 689	41 451 756	41 451 756	18,94%
- Of which establishment plan posts	26 969 535	34 839 689	41 393 756	41 393 756	18,81%
- Of which external personnel	14 060	10 000	58 000	58 000	480,00%
Expenditure relating to Staff recruitment	459 547	450 000	400 000	400 000	-11,11%
Employer's pension contributions	2 435 290	3 064 487	3 715 123	3 715 123	21,23%
Mission expenses					
Socio-medical infrastructure	542 399	999 000	1 127 000	1 127 000	12,81%
Training	245 726	300 000	472 000	472 000	57,33%
External Services					
Receptions, events and representation	1 122	6 000	7 000	7 000	16,67%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	7 824 764	7 825 000	8 423 000	8 423 000	7,64%
Rental of buildings and associated costs	6 296 816	6 160 000	6 710 000	6 710 000	8,93%
Information, communication technology and data processing	521 617	450 000	450 000	450 000	0,00%
Movable property and associated costs					
Current administrative expenditure	1 006 331	1 215 000	1 263 000	1 263 000	3,95%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					

EXPENDITURE	Payment appropriations ESMA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	12 438 915	11 575 000	16 330 000	16 330 000	41,08%
Training for a common supervisory culture		25 000	50 000	50 000	100,00%
Collection of information : IT projects	10 844 080	9 780 000	13 430 000	13 430 000	37,32%
Legal advice	49 259	120 000	160 000	160 000	33,33%
Access to data for Economic Research	599 811	600 000	670 000	670 000	11,67%
Mission expenses, travel and incidental expenses	66 927	400 000	700 000	700 000	75,00%
Communications	773 189	260 000	790 000	790 000	203,85%
Meeting expenses	103 572	360 000	500 000	500 000	38,89%
Services on operational matters	2 077	30 000	30 000	30 000	0,00%
Title 4 - Delegated tasks	2 656 414	2 490 000	400 000	400 000	-83,94%
TOTAL	53 587 772	61 559 176	72 325 879	72 325 879	17,49%

3.2 Financial Resources (Fees)

3.2.1 Revenues (Fees)

3.2.1.1 General revenues (Fees)

REVENUES	General revenues (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	14 565 782	17 087 867	26 138 873	26 138 873	52,97%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS					
TOTAL	14 565 782	17 087 867	26 138 873	26 138 873	52,97%

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (Fees)

REVENUES	Additional EU funding: contribution, grant and service level agreements (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2.2 Expenditure (Fees)

3.2.2.1 Commitment appropriations (Fees)

EXPENDITURE	Commitment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	8 770 491	12 085 390	17 807 472	17 807 472	47,35%
Salaries & allowances	7 716 996	10 106 293	15 307 480	15 307 480	51,46%
- Of which establishment plan posts	7 712 976	10 102 199	15 296 462	15 296 462	51,42%
- Of which external personnel	4 020	4 094	11 018	11 018	169,13%
Expenditure relating to Staff recruitment	131 384	157 522	141 236	141 236	-10,34%
Employer's pension contributions	696 465	1 476 497	1 845 210	1 845 210	24,97%
Mission expenses					
Socio-medical infrastructure	155 072	225 361	361 011	361 011	60,19%
Training	70 253	118 142	150 063	150 063	27,02%
External Services					
Receptions, events and representation	321	1 575	2 472	2 472	56,95%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 237 100	2 037 505	2 810 909	2 810 909	37,96%
Rental of buildings and associated costs	1 800 260	1 653 777	2 246 753	2 246 753	35,86%
Information, communication technology and data processing	149 130	113 792	147 850	147 850	29,93%
Movable property and associated costs					
Current administrative expenditure	287 710	269 936	416 306	416 306	54,22%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 558 191	2 964 972	5 520 492	5 520 492	86,19%

EXPENDITURE	Commitment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Training for a common supervisory culture		6 739	16 428	16 428	143,78%
Collection of information : IT projects	3 100 322	2 630 830	4 348 910	4 348 910	65,31%
Legal advice	14 083	53 738	34 498	34 498	-35,80%
Access to data for Economic Research	171 486				
Mission expenses, travel and incidental expenses	19 134	107 265	456 871	456 871	325,93%
Communications	221 055	69 913	478 952	478 952	585,07%
Meeting expenses	31 517	96 487	184 833	184 833	91,56%
Services on operational matters	594				
Title 4 - Delegated tasks					
TOTAL	14 565 782	17 087 867	26 138 873	26 138 873	52,97%

3.2.2.2 Payment appropriations (Fees)

EXPENDITURE	Payment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	8 770 491	12 085 390	17 807 472	17 807 472	47,35%
Salaries & allowances	7 716 996	10 106 293	15 307 480	15 307 480	51,46%
- Of which establishment plan posts	7 712 976	10 102 199	15 296 462	15 296 462	51,42%
- Of which external personnel	4 020	4 094	11 018	11 018	169,13%
Expenditure relating to Staff recruitment	131 384	157 522	141 236	141 236	-10,34%
Employer's pension contributions	696 465	1 476 497	1 845 210	1 845 210	24,97%
Mission expenses					
Socio-medical infrastructure	155 072	225 361	361 011	361 011	60,19%
Training	70 253	118 142	150 063	150 063	27,02%
External Services					
Receptions, events and representation	321	1 575	2 472	2 472	56,95%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 237 100	2 037 505	2 810 909	2 810 909	37,96%
Rental of buildings and associated costs	1 800 260	1 653 777	2 246 753	2 246 753	35,86%
Information, communication technology and data processing	149 130	113 792	147 850	147 850	29,93%
Movable property and associated costs					
Current administrative expenditure	287 710	269 936	416 306	416 306	54,22%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					

EXPENDITURE	Payment appropriations (Fees)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 558 191	2 964 972	5 520 492	5 520 492	86,19%
Training for a common supervisory culture		6 739	16 428	16 428	143,78%
Collection of information : IT projects	3 100 322	2 630 830	4 348 910	4 348 910	65,31%
Legal advice	14 083	53 738	34 498	34 498	-35,80%
Access to data for Economic Research	171 486				
Mission expenses, travel and incidental expenses	19 134	107 265	456 871	456 871	325,93%
Communications	221 055	69 913	478 952	478 952	585,07%
Meeting expenses	31 517	96 487	184 833	184 833	91,56%
Services on operational matters	594				
Title 4 - Delegated tasks					
TOTAL	14 565 782	17 087 867	26 138 873	26 138 873	52,97%

3.2.3 Budget Outturn (Fees)

The 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1,298,280

3.3 Financial Resources (UE, NCA, NCA for delegated tasks)

3.3.1 Revenues (UE, NCA, NCA for delegated tasks)

3.3.1.1 General revenues (UE, NCA, NCA for delegated tasks)

REVENUES	General revenues (UE, NCA, NCA for delegated tasks)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	15 511 872	18 071 139	17 599 233	17 599 233	-2,61%
- Of which assigned revenues deriving from previous years' surpluses		77 858	1 298 280	1 298 280	1567,50%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	596 845	741 073	848 462	848 462	14,49%
- Of which EEA/EFTA (excl. Switzerland)	596 845	741 073	848 462	848 462	14,49%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	21 008 916	23 936 648	27 405 311	27 405 311	14,49%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS	1 904 357	1 722 449	334 000	334 000	-80,61%
TOTAL	39 021 990	44 471 309	46 187 006	46 187 006	3,86%

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks)

REVENUES	Additional EU funding: contribution, grant and service level agreements (UE, NCA, NCA for delegated tasks)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.3.2 Expenditure (UE, NCA, NCA for delegated tasks)

3.3.2.1 Commitment appropriations (UE, NCA, NCA for delegated tasks)

EXPENDITURE	Commitment appropriations (UE, NCA, NCA for delegated tasks)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	21 897 188	27 583 786	29 365 407	29 365 407	6,46%
Salaries & allowances	19 266 599	24 743 396	26 144 276	26 144 276	5,66%
- Of which establishment plan posts	19 256 559	24 737 490	26 097 294	26 097 294	5,50%
- Of which external personnel	10 040	5 906	46 982	46 982	695,50%
Expenditure relating to Staff recruitment	328 163	292 478	258 764	258 764	-11,53%
Employer's pension contributions	1 738 825	1 587 990	1 869 913	1 869 913	17,75%
Mission expenses					
Socio-medical infrastructure	387 327	773 639	765 989	765 989	-0,99%
Training	175 473	181 858	321 937	321 937	77,03%
External Services					
Receptions, events and representation	801	4 425	4 528	4 528	2,33%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 587 664	5 787 495	5 612 091	5 612 091	-3,03%
Rental of buildings and associated costs	4 496 556	4 506 223	4 463 247	4 463 247	-0,95%
Information, communication technology and data processing	372 487	336 208	302 150	302 150	-10,13%
Movable property and associated costs					
Current administrative expenditure	718 621	945 064	846 694	846 694	-10,41%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					

EXPENDITURE	Commitment appropriations (UE, NCA, NCA for delegated tasks)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 880 724	8 610 028	10 809 508	10 809 508	25,55%
Training for a common supervisory culture		18 261	33 572	33 572	83,85%
Collection of information : IT projects	7 743 758	7 149 170	9 081 090	9 081 090	27,02%
Legal advice	35 176	66 262	125 502	125 502	89,40%
Access to data for Economic Research	428 325	600 000	670 000	670 000	11,67%
Mission expenses, travel and incidental expenses	47 793	292 735	243 129	243 129	-16,95%
Communications	552 134	190 087	311 048	311 048	63,63%
Meeting expenses	72 055	263 513	315 167	315 167	19,60%
Services on operational matters	1 483	30 000	30 000	30 000	0,00%
Title 4 - Delegated tasks	2 656 414	2 490 000	400 000	400 000	-83,94%
TOTAL	39 021 990	44 471 309	46 187 006	46 187 006	3,86%

3.3.2.2 Payment appropriations (UE, NCA, NCA for delegated tasks)

EXPENDITURE	Payment appropriations (UE, NCA, NCA for delegated tasks)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	21 897 188	27 583 786	29 365 407	29 365 407	6,46%
Salaries & allowances	19 266 599	24 743 396	26 144 276	26 144 276	5,66%
- Of which establishment plan posts	19 256 559	24 737 490	26 097 294	26 097 294	5,50%
- Of which external personnel	10 040	5 906	46 982	46 982	695,50%
Expenditure relating to Staff recruitment	328 163	292 478	258 764	258 764	-11,53%
Employer's pension contributions	1 738 825	1 587 990	1 869 913	1 869 913	17,75%
Mission expenses					
Socio-medical infrastructure	387 327	773 639	765 989	765 989	-0,99%
Training	175 473	181 858	321 937	321 937	77,03%
External Services					
Receptions, events and representation	801	4 425	4 528	4 528	2,33%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 587 664	5 787 495	5 612 091	5 612 091	-3,03%
Rental of buildings and associated costs	4 496 556	4 506 223	4 463 247	4 463 247	-0,95%
Information, communication technology and data processing	372 487	336 208	302 150	302 150	-10,13%
Movable property and associated costs					
Current administrative expenditure	718 621	945 064	846 694	846 694	-10,41%
Postage / Telecommunications					
Meeting expenses					

EXPENDITURE	Payment appropriations (UE, NCA, NCA for delegated tasks)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 880 724	8 610 028	10 809 508	10 809 508	25,55%
Training for a common supervisory culture		18 261	33 572	33 572	83,85%
Collection of information : IT projects	7 743 758	7 149 170	9 081 090	9 081 090	27,02%
Legal advice	35 176	66 262	125 502	125 502	89,40%
Access to data for Economic Research	428 325	600 000	670 000	670 000	11,67%
Mission expenses, travel and incidental expenses	47 793	292 735	243 129	243 129	-16,95%
Communications	552 134	190 087	311 048	311 048	63,63%
Meeting expenses	72 055	263 513	315 167	315 167	19,60%
Services on operational matters	1 483	30 000	30 000	30 000	0,00%
Title 4 - Delegated tasks	2 656 414	2 490 000	400 000	400 000	-83,94%
TOTAL	39 021 990	44 471 309	46 187 006	46 187 006	3,86%

3.3.3 Budget Outturn (UE, NCA, NCA for delegated tasks)

The 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1,298,280

4 Justification of needs

Commission assessment

Human Resources

The Commission supports ESMA's request for 243 Establishment Plan posts for 2022. The Commission notes that ESMA's request exceeds the 235 posts indicated in ESMA's SPD for 2022 as ESMA has additionally requested the posts foreseen in the two new Legislative Financial Statements adopted by the College of Commissioners in 2020:

* 2 AD foreseen in the Legislative Financial Statement for the new fee funded direct supervision tasks set out in the Commission's proposal for a Regulation on Markets in Crypto-assets (MICA)

* 6 posts (5ADs and 1AST) foreseen in the Legislative Financial Statement for the new fee funded direct supervision tasks set out in the Commission's proposal for a Regulation on the Digital Operational Resilience of the financial sector (DORA).

The Commission also notes that ESMA has requested 19 posts less than the total number of posts (i.e. 262) that could have been allocated to it for 2022.

That is because ESMA intends to fill slower than initially planned some of the posts foreseen in the Legislative Financial Statements for the ESAs Review and EMIR 2.2.

The Commission notes that the abovementioned 19 TA posts might be requested by ESMA in subsequent years.

The Commission notes that ESMA has requested 101 Contract Agent posts and 15 Seconded National Experts (SNEs) which is a total of 116 posts. However, in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget the Commission can only support 82 Contract Agent posts for ESMA in 2022. In comparison with the CA posts allocated to ESMA for 2021 this represents a decrease of 1 CA post that was previously

foreseen in the Legislative Financial Statement to the Commission's legislative proposal on sovereign bond-backed securities. The timing of the adoption of the regulation is not yet known and would have to be re-estimated once negotiations advance.

However, given that ESMA requested 19 TA posts less than it could have requested, the Commission agrees to temporarily increase the number of CA from the 82 posts to 97. These additional 15 CA posts would only be possible to keep in the future years if the total number of TA and CA posts combined remains within the limit.

The Commission supports ESMA's request for 15 Seconded National Experts (SNEs) posts. The Commission notes that based on the SNEs posts available to ESMA for the 2014-2020 MFF and the adopted Legislative Financial Statements, ESMA could have requested 35 SNEs. The Commission notes that the remaining 20 SNE posts might be requested by ESMA in subsequent years.

Financial Resources

The Commission supports ESMA's request for a Union subsidy of EUR 17 599 233 for ESMA (of which EUR 16 300 953 is fresh credits). The Commission notes that ESMA has requested EUR 269 957 less than the maximum 2022 Union contribution that could have been made available to ESMA according to the Union financial programming for 2021-2027.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The staffing figures proposed in this document for 2022 are within the LFSs staff ceilings of the confirmed mandates, including the staffing foreseen in the proposals for DORA and MICA:

Please consider that ESMA continues to experience difficulties in the recruitment of SNEs (the situation is getting worse with the Brexit). Therefore, ESMA proposes a ratio CAs/SNEs in favour of an increased number of CAs, having similar costs than SNEs and, in any case, they are both non statutory staff, not part of the establishment plan. In addition, it is important to consider that the increased ratio of CAs versus SNEs is mainly related to fee-funded mandates (e.g. direct supervision of Third Countries CCPs under EMIR 2.2 and direct supervision of Data Reporting Service Providers under the ESAs Review).

4.1.2 Vacancy rate as of end 2020

There are 44 vacant posts on the establishment plan at the end of 2020; the selection procedures are on-going. There is a 5.6% turnover rate.

4.1.3 Standard abatement ('abatement forfaitaire') applied

A linear phase-in for the budgeted salary costs for the requested new staff has been applied. Average date of entry into service is 01/07.

4.1.4 Salary assumption for calculating salary line (% applied)

Salary increases due to inflation have been assumed to be 2.0% in July 2021 and 2.0% July 2022. The overall vacancy rate has been calculated with average entry into service of the new staff from 1st July 2022.

4.1.5 Correction coefficient used

The correction coefficient for France has been increased from 117.7% to 120.50% in the end of 2020.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

The financial resources presented for the period 2022-2024 take into account all ESMA's original mandates and all new mandates entered into force on 31 January 2021: EMIR 2.2., the ESAs' Review as well as the Regulations on Cross-Border Funds Distribution and the European Crowdfunding Service Providers (ECSP), the direct supervision of the Trade Repositories (TRs) under transparency of securities financing transactions (SFTR), the securitisation repositories (SR) and the proposal under discussion for digital finance package (Digital Operational Resilience Act (DORA) and Markets in Crypto-assets (MiCA)). Conversely, the resources for Investment Firms Framework (IFR). In case this task is confirmed in the course of 2021, ESMA will integrate the relevant resources in the future budgets, work programmes and programming documents.

Overall, ESMA's proposal 2022-2024 staff and budget stays within the ceilings framed by the relevant Legislative Financial Statements and by the 2021-2027 Multiannual Financial Framework and ESMA's establishment plan.

Furthermore, in the context of the difficult situation of the Covid-19 pandemic, in this proposal for the 2022-2024 SPD, ESMA has aimed at reducing further the level of 2022 budget compared to the projections as presented in the 2021-2023 SPD. This reduction has required an effort in terms of savings through efficiency gains, given the significant new responsibilities ESMA has been asked to take on in 2022-2023.

ESMA's budget includes the following components:

The fee-related budget including direct supervisory fees from the following entities: Credit Rating Agencies (CRAs), Trade Repositories (TRs), TRs under transparency of securities financing transactions (SFTRs), Securitisation Repositories (SRs), Third-Countries Central Counterparties (CCPs) under EMIR 2.2, Benchmarks, Data Reporting Service Providers (DRSPs) and Digital Operational Resilience Act (DORA).

The National Competent Authorities (NCAs) contributions and EU subsidy funding ESMA's core budget based on the 60/40 ratio.

The contributions from NCAs and other external entities for ESMA's IT delegated project TRACE (external assigned revenue).

According to the Staff Regulations, ESMA, as an Authority partly financed from the general budget of the EU, pays the part of the employer's pension contributions corresponding to the proportion of its non-EU funded (e.g. staff funded by NCAs contributions and fees). ESMA's Activity Based Budgeting model calculates that for 2022 this proportion is at 74.7% and represents a cost equal to EUR 3,715,123, added to the Title 1; this amount will not be funded by the EU subsidy.

On the expenditure side, the temporary workers budget line has been increased to a total of EUR 58 000, whereas ESMA uses this to cover for staff on long-term leaves (e.g. maternity, etc.). The higher the overall number of staff, the higher the possibilities for long-term absences.

4.2.2 Title 2

Despite the need to furnish the additional staff with ICT material and to reinforce high compliance with Internal Control Standards on physical and cyber security, business continuity and document management, overall the Title 2 budget in 2022 is stable versus 2021 and 2020.

4.2.3 Title 3

In 2022 the main IT needs are linked to the development and execution of the additional mandates from the ESAs' Review and CMU tasks. The total cost for IT projects (fees and general expenses) equal to EUR 13,180,000. This total amount includes the expenditure for the development of new IT systems and for the maintenance of more than 30 systems that have been built previously further to mandates received from Level 1 legislations.

Finally, Title 3 also includes some increases across trainings, communications and translations, meetings with stakeholders and data management costs to account for the projected significant increase in the Authority's staff base and tasks. The substantial increase for missions and translations stem from the amounts foreseen in the proposals for DORA, where the LFS foresees EUR 200 000 for missions and EUR 350 000 for translations.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	iBox	201-203 rue de Bercy, 75012 Paris - France	7 969	1 115	9 084	4 774 616	Lease expiring on 10 October 2028	Lease	None	
TOTAL			7 969	1 115	9 084	4 774 616				

5.1.2 Current building(s) Other comments

The annual rent is the contractual amount for the rent in 2020. Each year a nationally set rate of inflation is applied. ESMA rents in addition 4 archive rooms and 28 car parking places, 5 motorcycle places and 35 bicycle places.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European schools

Following the move from EBA to France, the French government decided to set up an accredited European school in Courbevoie as from September 2019, with a gradual opening of the different school levels and language sections in the future.

For the school year 2019/2020 only the English language section is fully available for the primary school level, the accreditation for the primary school level is expected for April 2020. The secondary level for the English language section is planned to be fully available from school year 2021/2022 with a planned accreditation in 2022.

Uncertainties remain regarding whether and when other language sections will be fully available for all school levels in the accredited European school.

Under those circumstances and in line with the practice of several EU agencies, ESMA decided to continue to provide schooling support and pre-schooling to its staff members.

Under the schooling support programme only the annual registration and annual tuition fees which exceed the education allowance are reimbursed up to a ceiling based on the average costs of multilingual schools in the Paris area.

The pre-school support is limited to a maximum amount of €3,000 per school year per child.

5.3 Evaluation

The first evaluation of ESMA as a component of the European System of Financial Supervisors was submitted to the Budgetary Authority by 08.08.2014 [COM (2014) 509 final].

In 2017 the Commission began the second review. This led to a legislative proposal to grant ESMA additional mandates, as explained in detail in the 2021-2023 multi-annual work programme and the 2021 detailed annual work programme above.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
ESMA benefits from the privileges granted by the Protocol (No 7) on the Privileges and Immunities of the European Union. The French Parliament endorsed ESMA's Headquarters agreement on 24 May 2019. ESMA Headquarters agreement entered into force in 2019.	None, beyond the provisions included in the Protocol (no 7) on the Privileges and Immunities of the European Union.	None.

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Regulation (EC) No. 1907/2006 of 18 December 2006, concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency</p> <p>Regulation (EC) No. 1272/2008 of 16 December 2008 on classification, labelling and packaging of substances and mixtures</p>	<p>18/12/2006</p> <p>16/12/2008</p>	<ul style="list-style-type: none"> - Manage and carry out technical, scientific and administrative aspects of REACH and CLP Regulations - The REACH and CLP processes are designed to ensure a high level of protection of human health and the environment, including the promotion of alternative methods for assessment of hazards of substances, as well as the free circulation of substances on the internal market while enhancing competitiveness and innovation - Provide the Member States and the institutions of the Union with the best possible scientific and technical advice on questions relating to chemicals which fall under REACH or CLP - Manage IT based guidance documents, tools and data bases - Support national helpdesk and run a helpdesk for registrants - Make information on chemicals publicly accessible - Develop a poison centre notification portal. <p>The Agency, established on 1 June 2007, manages the registration, evaluation, authorisation and restriction processes for chemical substances as well as the classification and labelling of substances and mixtures. These REACH processes are designed to provide additional information on chemicals, to ensure their safe use, and to ensure competitiveness and harmonisation of the European chemical industry.</p> <p>In its decision-making, the Agency takes the best available scientific and technical data and socio-economic information into account. It also provides information on chemicals, technical and scientific advice.</p>
<p>Regulation (EU) No 528/2012 of the European Parliament and the Council of 22 May 2012 concerning the making available on the market and use of biocidal products</p>	<p>22/05/2012</p>	<ul style="list-style-type: none"> - Manage and carry out technical, scientific, and administrative aspects of the Biocidal Products Regulation - The purpose of the Biocides Regulation is to improve the functioning of the internal market through the harmonisation of the rules on the placing on the market and use of biocidal products, whilst ensuring a high level of protection of both human and animal health and the environment. The provisions of the Regulation are underpinned by the precautionary principle, the aim of which is to safeguard the health of humans, animals and the environment. - Establish and maintain the Register for Biocidal Products - Coordinate and manage the processing and evaluation of the applications covered by the Regulation (including active substance approval, Union authorisation, data sharing, technical equivalence, alternative suppliers) - Provide guidance, support national helpdesks and assist and advise application (through the ECHA Helpdesk) - Make information on biocides publicly accessible. <p>Under the Biocidal Products Regulation, adopted in 2012, ECHA is responsible for specific tasks with regard to applications for active substance approval and Union authorisation and other related tasks such as data sharing inquiries. The Biocidal products Committee has been established within the Agency to provide opinions to the Commission on scientific and technical matters relating to applications under the Regulation.</p>

<p>Regulation (EU) No 649/2012 of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals (PIC-Regulation)</p>	<p>04/07/2012</p>	<ul style="list-style-type: none"> - Manage and carry out technical, scientific, and administrative aspects related to export and import of dangerous chemicals under the PIC Regulation - The objectives of the PIC Regulation are to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, and to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the environment from potential harm. Through its provisions it contributes to the environmentally sound use of hazardous chemicals. - Manage the tasks related to and the cooperation with Member States on export notifications and explicit import consents - Manage guidance documents and IT tools - Make information publicly available <p>The recast PIC Regulation, adopted in 2012, further adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to export and import of dangerous chemicals.</p>
<p>Regulation (EU) No 2019/1021 of the European Parliament and of the Council of 20 June 2019 on persistent organic pollutants (POPs-Regulation)</p>	<p>20/06/2019</p>	<ul style="list-style-type: none"> - Support the Commission and the Member States in fulfilling their obligations under the POPs-Regulation. - The objective of the POPs-Regulation is to implement international obligations of the Union and the Member States for eliminating Persistent Organic Pollutants in order to protect human health and the environment from these substances subject to the Stockholm Convention. Through its provisions the Regulation ensures the elimination of hazardous chemicals or, in exceptional cases, their environmentally sound use. - Carry out certain technical, scientific, and administrative tasks allocated in the proposal to ECHA related to the identification of new POPs, enforcement and reporting on the implementation of the Regulation. - Make information on POPs publicly available. <p>The recast of the POPs-Regulation also adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to persistent organic pollutants.</p>
<p>Directive (EU) 2018/851 of the European Parliament and of the Council of 30 May 2018 amending Directive 2008/98/EC on waste. (Waste Framework Directive)</p>	<p>30/05/2018</p>	<ul style="list-style-type: none"> - Develop and manage a new database (Article 9) under the Waste Framework Directive. - The objective of the revised Waste Framework Directive is to achieve more recycling of waste and contribute to the creation of a circular economy. The objective of the database is for ECHA to ensure that the information about the presence of substances of very high concern is available throughout the whole lifecycle of products and materials, including at the waste stage. - Establish and maintain a database of articles containing Candidate List substances and facilitate the submissions by article suppliers - Provide access to the database to waste operators and consumers. - Provide support to duty holders. <p>Under the proposed recast of the Drinking Water Directive the Agency shall make assessments of starting substances for materials in contact with drinking water.</p>
<p>Directive (EU) 2020/2184 of the European Parliament and of the Council of 16 December 2020 on the quality of water intended for human consumption (recast) (Drinking Water Directive)</p>	<p>16/12/2020</p>	<ul style="list-style-type: none"> - The Agency shall, on the basis of national positive lists of starting substances and compositions, recommend a compiled list to the Commission for the purpose of establishing the first European Positive list. The European positive lists shall contain the only substances, compositions or constituents that are authorised to be used for manufacturing of final materials or products in contact with water intended for human consumption, including, where appropriate, conditions for the

		<p>use of these materials and migration limits.</p> <p>- The Agency shall review and deliver an opinion on all the substances, compositions and constituents on the first European positive lists by 15 years after its adoption.</p> <p>- For the purposes of updating the European positive list the Agency shall deliver opinions on the inclusion or removal of substances and compositions.</p>
<p>Proposal for a Decision of the European Parliament and of the Council on a General Union Environment Action Programme to 2030</p> <p>COM(2020)652 of 14.10.2020</p> <p>(8th Environmental Action Programme)</p>	<p>14/10/2020</p>	<p>-The Agency shall support, together with the European Environment Agency (EEA) the achievement of the objectives of the 8th environment Action Programme by establishing a new monitoring and reporting framework.</p> <p>-The Agency aims to use existing monitoring tools and indicators, mainly based on European statistics and data from the EEA</p>

1.2 Seat

Helsinki, Finland

1.3 Budget Line

03 10 01 01 : European Chemicals Agency — Chemicals legislation

03 10 01 02 : European Chemicals Agency — Activities in the field of biocides legislation

09 10 01 : European Chemicals Agency – Environmental directives and international conventions

1.4 Human Resources overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

1.4.1 Human Resources Overview ECHA

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	347	345	99,42%	358	358
Assistants (AST)	116	106	91,38%	109	109
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	463	451	97,41%	467	467
Contract Agents (CA)	119	119	100,00%	122	122
Seconded National Experts (SNE)	15	3	20,00%	15	15
TOTAL STAFF	597	573	95,98%	604	604

1.4.2 Human Resources Overview (REACH / CLP)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	303	303	100,00%	310	310
Assistants (AST)	101	91	90,10%	94	94
Assistants/Secretaries (AST/SC)					

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
ESTABLISHMENT PLAN POSTS	404	394	97,52%	404	404
Contract Agents (CA)	102	103	100,98%	94	94
Seconded National Experts (SNE)	13	2	15,38%	13	13
TOTAL STAFF	519	499	96,15%	511	511

1.4.3 Human Resources Overview (BIOCIDES)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	43	41	95,35%	43	43
Assistants (AST)	9	9	100,00%	9	9
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	52	50	96,15%	52	52
Contract Agents (CA)	15	14	93,33%	15	15
Seconded National Experts (SNE)	2	1	50,00%	2	2
TOTAL STAFF	69	65	94,20%	69	69

1.4.4 Human Resources Overview (Environmental directives and International conventions)

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	1	1	100,00%	5	5
Assistants (AST)	6	6	100,00%	6	6
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	7	7	100,00%	11	11
Contract Agents (CA)	2	2	100,00%	13	13
Seconded National Experts (SNE)					
TOTAL STAFF	9	9	100,00%	24	24

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ECHA

1.5.1.1 Revenues Overview ECHA

1.5.1.1.1 General revenues ECHA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	79 569 824	79 549 055
Other Revenue	32 711 427	34 040 663
TOTAL REVENUES	112 281 251	113 589 718

1.5.1.1.2 Additional EU funding: contribution, grant and service level agreements ECHA

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	771 836	
TOTAL REVENUES	771 836	

1.5.1.2 Expenditure Overview ECHA

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	75 274 991	75 274 991	78 374 800	78 374 800
Title 2 - Infrastructure and operating expenditure	14 823 572	14 823 572	15 396 000	15 396 000
Title 3 - Operational expenditure	15 180 494	15 599 496	16 362 918	16 140 918
Title 4 - Operational expenditure	2 433 576	2 433 576	1 741 000	1 741 000
Title 5 - Operational expenditure	2 949 616	2 949 616	1 937 000	1 937 000
Title 6 - Other tasks	771 836	771 836		
Title 9 - Operational expenditure	1 200 000	1 200 000		
TOTAL EXPENDITURE	112 634 085	113 053 087	113 811 718	113 589 718

1.5.2 Financial Resources Overview (REACH / CLP)

1.5.2.1 Revenues Overview (REACH / CLP)

1.5.2.1.1 General revenues (REACH / CLP)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	63 614 564	66 722 055
Other Revenue	29 811 663	30 221 663
TOTAL REVENUES	93 426 227	96 943 718

1.5.2.1.2 Additional EU funding: contribution, grant and service level agreements (REACH / CLP)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	771 836	
TOTAL REVENUES	771 836	

1.5.2.2 Expenditure Overview (REACH / CLP)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	65 196 822	65 196 822	67 691 000	67 691 000
Title 2 - Infrastructure and operating expenditure	12 629 909	12 629 909	13 111 800	13 111 800
Title 3 - Operational expenditure	15 180 494	15 599 496	16 362 918	16 140 918
Title 6 - Other tasks	771 836	771 836		
TOTAL EXPENDITURE	93 779 061	94 198 063	97 165 718	96 943 718

1.5.3 Financial Resources Overview (BIOCIDES)

1.5.3.1 Revenues Overview (BIOCIDES)

1.5.3.1.1 General revenues (BIOCIDES)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	10 348 160	8 100 000
Other Revenue	2 899 764	3 819 000
TOTAL REVENUES	13 247 924	11 919 000

1.5.3.1.2 Additional EU funding: contribution, grant and service level agreements (BIOCIDES)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.3.2 Expenditure Overview (BIOCIDES)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	7 909 603	7 909 603	8 405 000	8 405 000
Title 2 - Infrastructure and operating expenditure	1 704 745	1 704 745	1 773 000	1 773 000
Title 4 - Operational expenditure	2 433 576	2 433 576	1 741 000	1 741 000
Title 9 - Operational expenditure	1 200 000	1 200 000		
TOTAL EXPENDITURE	13 247 924	13 247 924	11 919 000	11 919 000

1.5.4 Financial Resources Overview (Environmental directives and International conventions)

1.5.4.1 Revenues Overview (Environmental directives and International conventions)

1.5.4.1.1 General revenues (Environmental directives and International conventions)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	5 607 100	4 727 000
Other Revenue	0	0
TOTAL REVENUES	5 607 100	4 727 000

1.5.4.1.2 Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions)

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.4.2 Expenditure Overview (Environmental directives and International conventions)

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	2 168 566	2 168 566	2 278 800	2 278 800
Title 2 - Infrastructure and operating expenditure	488 918	488 918	511 200	511 200
Title 5 - Operational expenditure	2 949 616	2 949 616	1 937 000	1 937 000
TOTAL EXPENDITURE	5 607 100	5 607 100	4 727 000	4 727 000

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts ECHA

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		6		5		6		6		6
AD 13		16		7		16		14		14
AD 12		21		8		21		14		14
AD 11		32		22		32		31		31
AD 10		46		37		46		46		46
AD 9		66		48		65		71		71
AD 8		64		59		62		61		61
AD 7		57		86		62		63		63
AD 6		26		57		35		35		35
AD 5		13		16		13		17		17
AD TOTAL		347		345		358		358		358
AST 11										
AST 10										
AST 9		4				4		5		5
AST 8		8		4		8		8		8
AST 7		15		6		12		13		13
AST 6		22		14		20		19		19
AST 5		27		26		23		24		24
AST 4		26		21		25		22		22
AST 3		13		12		13		13		13
AST 2		1		23		4		5		5
AST 1										
AST TOTAL		116		106		109		109		109
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		463		451		467		467		467
GRAND TOTAL		463		451		467		467		467

2.1.2 Establishment plan posts (REACH / CLP)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		6		5		6		6		6
AD 13		15		7		15		13		13
AD 12		19		6		19		12		12
AD 11		30		21		30		30		30
AD 10		41		34		41		41		41
AD 9		56		45		55		60		60
AD 8		52		53		52		52		52
AD 7		51		71		53		53		53
AD 6		21		46		27		27		27
AD 5		12		15		12		16		16
AD TOTAL		303		303		310		310		310
AST 11										
AST 10										
AST 9		4				4		5		5
AST 8		8		4		8		8		8
AST 7		12		6		9		10		10
AST 6		21		14		19		18		18
AST 5		23		23		19		20		20
AST 4		21		17		20		17		17
AST 3		11		9		11		11		11
AST 2		1		18		4		5		5
AST 1										
AST TOTAL		101		91		94		94		94
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		404		394		404		404		404
GRAND TOTAL	404		394		404		404		404	

2.1.3 Establishment plan posts (BIOCIDES)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13		1				1		1		1
AD 12		2		2		2		2		2
AD 11		2		1		2		1		1
AD 10		5		3		5		5		5
AD 9		10		3		10		10		10
AD 8		11		5		9		9		9
AD 7		6		15		8		9		9
AD 6		5		11		5		5		5
AD 5		1		1		1		1		1
AD TOTAL		43		41		43		43		43
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		1				1		1		1
AST 6		1				1		1		1
AST 5		3		2		3		3		3
AST 4		3		4		3		3		3
AST 3		1				1		1		1
AST 2				3						
AST 1										
AST TOTAL		9		9		9		9		9
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		52		50		52		52		52
GRAND TOTAL	52		50		52		52		52	

2.1.4 Establishment plan posts (Environmental directives and International conventions)

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13										
AD 12										
AD 11										
AD 10										
AD 9								1		1
AD 8		1		1		1				
AD 7						1		1		1
AD 6						3		3		3
AD 5										
AD TOTAL		1		1		5		5		5
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		2				2		2		2
AST 6										
AST 5		1		1		1		1		1
AST 4		2				2		2		2
AST 3		1		3		1		1		1
AST 2				2						
AST 1										
AST TOTAL		6		6		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		7		7		11		11		11
GRAND TOTAL		7		7		11		11		11

2.2 External personnel

2.2.1 External personnel ECHA

2.2.1.1 Contract Agents ECHA

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	29	31	42	42
Function Group III	70	56	60	60
Function Group II	20	32	20	20
Function Group I				
TOTAL	119	119	122	122

2.2.1.2 Seconded National Experts ECHA

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	15	3	15	15

2.2.2 External personnel (REACH /CLP)

2.2.2.1 Contract Agents (REACH /CLP)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	20	25	24	24
Function Group III	64	52	52	52
Function Group II	18	26	18	18
Function Group I				
TOTAL	102	103	94	94

2.2.2.2 Seconded National Experts (REACH /CLP)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	13	2	13	13

2.2.3 External personnel (BIOCIDES)

2.2.3.1 Contract Agents (BIOCIDES)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	7	5	7	7
Function Group III	6	4	6	6
Function Group II	2	5	2	2
Function Group I				
TOTAL	15	14	15	15

2.2.3.2 Seconded National Experts (BIOCIDES)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	2	1	2	2

2.2.4 External personnel (Environmental directives and International conventions)

2.2.4.1 Contract Agents (Environmental directives and International conventions)

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	2	1	11	11
Function Group III			2	2
Function Group II		1		
Function Group I				
TOTAL	2	2	13	13

2.2.4.2 Seconded National Experts (Environmental directives and International conventions)

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Financial Resources ECHA

3.1.1 Revenues ECHA

3.1.1.1 General revenues ECHA

REVENUES	General revenues ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	32 292 704	30 382 277	31 798 555	31 798 555	4,66%
2 EU CONTRIBUTION	71 944 520	79 569 824	79 549 055	79 549 055	-0,03%
- Of which assigned revenues deriving from previous years' surpluses	3 651 680	1 513 862	26 935	2 602 551	71,91%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 850 992	2 329 150	2 242 108	2 242 108	-3,74%
- Of which EEA/EFTA (excl. Switzerland)	1 850 992	2 329 150	2 242 108	2 242 108	-3,74%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	284 492				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	106 372 708	112 281 251	113 589 718	113 589 718	1,17%

3.1.1.2 Additional EU funding: contribution, grant and service level agreements ECHA

REVENUES	Additional EU funding: contribution, grant and service level agreements ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)	2 541 750				
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	1 305 505	771 836			-100%
TOTAL	3 847 255	771 836			-100%

3.1.2 Expenditure ECHA

3.1.2.1 Commitment appropriations ECHA

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	73 013 484	75 274 991	78 374 800	78 374 800	4,12%
Salaries & allowances	64 100 319	68 139 236	70 675 800	70 675 800	3,72%
- Of which establishment plan posts	56 392 574	60 148 236	61 823 443	61 823 443	2,79%
- Of which external personnel	7 707 745	7 991 000	8 852 357	8 852 357	10,78%
Expenditure relating to Staff recruitment	794 534	723 525	740 000	740 000	2,28%
Employer's pension contributions	3 209 165	2 470 000	2 631 000	2 631 000	6,52%
Mission expenses	7 084	31 000	33 000	33 000	6,45%
Socio-medical infrastructure	1 723 114	1 939 729	2 081 000	2 081 000	7,28%
Training	358 620	563 000	775 000	775 000	37,66%
External Services	2 820 648	1 408 501	1 439 000	1 439 000	2,17%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	13 288 895	14 823 572	15 396 000	15 396 000	3,86%
Rental of buildings and associated costs	6 652 159	7 450 952	7 664 000	7 664 000	2,86%
Information, communication technology and data processing	6 012 422	6 767 801	6 907 000	6 907 000	2,06%
Movable property and associated costs	297 820	343 000	400 000	400 000	16,62%
Current administrative expenditure	323 282	252 117	413 000	413 000	63,81%
Postage / Telecommunications					
Meeting expenses	3 212	9 702	12 000	12 000	23,69%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	14 485 892	15 180 494	16 362 918	16 362 918	7,79%

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Registration, data-sharing and dissemination	63 820	97 000	200 000	200 000	106,19%
Evaluation		85 500	86 000	86 000	0,58%
Risk Management	182 776	504 000	698 000	698 000	38,49%
Classification and labelling	2 607	40 000	40 000	40 000	0,00%
Advice and assistance through guidance and helpdesk	60 853	49 250	140 000	140 000	184,26%
Scientific IT tools	9 714 661	9 134 611	9 329 918	9 329 918	2,14%
Scientific and technical advice to EU institutions	259 880	360 000	410 000	410 000	13,89%
Committees and Forum	172 401	727 292	800 000	800 000	10,00%
Board of appeal	62 999	64 000	76 000	76 000	18,75%
Communications including translations	1 732 858	1 890 352	2 046 000	2 046 000	8,23%
International cooperation	1 143	30 000	30 000	30 000	0,00%
Management Board and management of the Agency	656 147	746 489	900 000	900 000	20,56%
Missions	56 150	208 000	235 000	235 000	12,98%
External training					
Refunds					
Substance evaluation and Rapporteurs (Differentiated appropriations)	740 192	644 000	872 000	872 000	35,40%
Cooperation with international organisations for IT programmes (Differentiated appropriations)	779 405	600 000	500 000	500 000	-16,67%
Title 4 - Operational expenditure	1 321 733	2 433 576	1 741 000	1 741 000	-28,46%
Substances, products and technical equivalence		169 736			-100%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, data-sharing and dissemination					
Advice and assistance through guidance and helpdesk	600	24 750	20 000	20 000	-19,19%
Scientific IT tools	1 184 439	1 792 064	1 382 000	1 382 000	-22,88%
Scientific and technical advice to EU institutions					
Biocidal products Committee and Forum	37 489	193 600	169 000	169 000	-12,71%
Board of appeal	7 350	11 500	12 000	12 000	4,35%
Communications including translations	51 487	116 647	75 000	75 000	-35,70%
Management Board and management of the Agency	27 761	85 279	72 000	72 000	-15,57%
Missions	12 607	40 000	11 000	11 000	-72,50%
External training					
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian					
Title 5 - Operational expenditure	2 013 652	2 949 616	1 937 000	1 937 000	-34,33%
Studies and consultants		110 000	100 000	100 000	-9,09%

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Advice and assistance through guidance and helpdesk					
Scientific IT tools	1 833 082	2 661 620	1 662 000	1 662 000	-37,56%
Meetings with authorities and expert groups		68 000	68 000	68 000	0,00%
Communications including translations	179 932	58 010	63 000	63 000	8,60%
Management Board and management of the Agency		17 586	19 000	19 000	8,04%
Missions	638	34 400	25 000	25 000	-27,33%
External training					
Title 6 - Other tasks	4 900 128	771 836			-100%
IPA programme	499 013				
EUON	843 336				
EUCLEF	1 415 045				
Occupational exposure limits	304 601				
Further development of IUCLID (as co-investments from third parties)	1 838 133	771 836			-100%
Title 9 - Operational expenditure		1 200 000			-100%
Appropriation for a Negative budget result prior year BIOCID		1 200 000			-100%
TOTAL	109 023 784	112 634 085	113 811 718	113 811 718	1,05%

3.1.2.2 Payment appropriations ECHA

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	73 013 484	75 274 991	78 374 800	78 374 800	4,12%
Salaries & allowances	64 100 319	68 139 236	70 675 800	70 675 800	3,72%
- Of which establishment plan posts	56 392 574	60 148 236	61 823 443	61 823 443	2,79%
- Of which external personnel	7 707 745	7 991 000	8 852 357	8 852 357	10,78%
Expenditure relating to Staff recruitment	794 534	723 525	740 000	740 000	2,28%
Employer's pension contributions	3 209 165	2 470 000	2 631 000	2 631 000	6,52%
Mission expenses	7 084	31 000	33 000	33 000	6,45%
Socio-medical infrastructure	1 723 114	1 939 729	2 081 000	2 081 000	7,28%
Training	358 620	563 000	775 000	775 000	37,66%
External Services	2 820 648	1 408 501	1 439 000	1 439 000	2,17%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	13 288 895	14 823 572	15 396 000	15 396 000	3,86%
Rental of buildings and associated costs	6 652 159	7 450 952	7 664 000	7 664 000	2,86%
Information, communication technology and	6 012 422	6 767 801	6 907 000	6 907 000	2,06%

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
data processing					
Movable property and associated costs	297 820	343 000	400 000	400 000	16,62%
Current administrative expenditure	323 282	252 117	413 000	413 000	63,81%
Postage / Telecommunications					
Meeting expenses	3 212	9 702	12 000	12 000	23,69%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	14 750 692	15 599 496	16 140 918	16 140 918	3,47%
Registration, datasharing and dissemination	63 820	97 000	200 000	200 000	106,19%
Evaluation		85 500	86 000	86 000	0,58%
Risk Management	182 776	504 000	698 000	698 000	38,49%
Classification and labelling	2 607	40 000	40 000	40 000	0,00%
Advice and assistance through guidance and helpdesk	60 853	49 250	140 000	140 000	184,26%
Scientific IT tools	9 714 661	9 134 611	9 329 918	9 329 918	2,14%
Scientific and technical advice to EU institutions	259 880	360 000	410 000	410 000	13,89%
Committees and Forum	172 401	727 292	800 000	800 000	10,00%
Board of appeal	62 999	64 000	76 000	76 000	18,75%
Communications including translations	1 732 858	1 890 352	2 046 000	2 046 000	8,23%
International cooperation	1 143	30 000	30 000	30 000	0,00%
Management Board and management of the Agency	656 147	746 489	900 000	900 000	20,56%
Missions	56 150	208 000	235 000	235 000	12,98%
External training					
Refunds					
Substance evaluation and Rapporteurs (Differentiated appropriations)	1 112 001	922 002	650 000	650 000	-29,50%
Cooperation with international organisations for IT programmes (Differentiated appropriations)	672 396	741 000	500 000	500 000	-32,52%
Title 4 - Operational expenditure	1 321 733	2 433 576	1 741 000	1 741 000	-28,46%
Substances, products and technical equivalence		169 736			-100%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	600	24 750	20 000	20 000	-19,19%
Scientific IT tools	1 184 439	1 792 064	1 382 000	1 382 000	-22,88%
Scientific and technical advice to EU institutions					
Biocidal products Committee and Forum	37 489	193 600	169 000	169 000	-12,71%

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Board of appeal	7 350	11 500	12 000	12 000	4,35%
Communications including translations	51 487	116 647	75 000	75 000	-35,70%
Management Board and management of the Agency	27 761	85 279	72 000	72 000	-15,57%
Missions	12 607	40 000	11 000	11 000	-72,50%
External training					
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian					
Title 5 - Operational expenditure	2 013 652	2 949 616	1 937 000	1 937 000	-34,33%
Studies and consultants		110 000	100 000	100 000	-9,09%
Advice and assistance through guidance and helpdesk	1 833 082	2 661 620	1 662 000	1 662 000	-37,56%
Scientific IT tools					
Meetings with authorities and expert groups		68 000	68 000	68 000	0,00%
Communications including translations	179 932	58 010	63 000	63 000	8,60%
Management Board and management of the Agency		17 586	19 000	19 000	8,04%
Missions	638	34 400	25 000	25 000	-27,33%
External training					
Title 6 - Other tasks	3 324 767	771 836			-100%
IPA programme	237 777				
EUON	588 810				
EUCLEF	1 011 851				
Occupational exposure limits	223 962				
Further development of IUCLID (as co-investments from third parties)	1 262 367	771 836			-100%
Title 9 - Operational expenditure		1 200 000			-100%
Appropriation for a Negative budget result prior year BIOCIDES		1 200 000			-100%
TOTAL	107 713 223	113 053 087	113 589 718	113 589 718	0,47%

3.2 Financial Resources (REACH / CLP)

3.2.1 Revenues (REACH / CLP)

3.2.1.1 General revenues (REACH / CLP)

REVENUES	General revenues (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	29 743 629	28 130 616	28 489 709	28 489 709	1,28%
2 EU CONTRIBUTION	61 879 520	63 614 564	66 722 055	66 722 055	4,88%
- Of which assigned revenues deriving from previous years' surpluses	3 051 863	1 353 559		2 575 616	90,28%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 441 278	1 681 047	1 731 954	1 731 954	3,03%

REVENUES	General revenues (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
- Of which EEA/EFTA (excl. Switzerland)	1 441 278	1 681 047	1 731 954	1 731 954	3,03%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	259 056				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	93 323 483	93 426 227	96 943 718	96 943 718	3,76%

3.2.1.2 Additional EU funding: contribution, grant and service level agreements (REACH / CLP)

REVENUES	Additional EU funding: contribution, grant and service level agreements (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)	2 541 750				
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	1 305 505	771 836			-100%
TOTAL	3 847 255	771 836			-100%

3.2.2 Expenditure (REACH / CLP)

3.2.2.1 Commitment appropriations (REACH / CLP)

EXPENDITURE	Commitment appropriations (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	64 509 577	65 196 822	67 691 000	67 691 000	3,83%
Salaries & allowances	56 699 483	58 838 000	60 947 000	60 947 000	3,58%
- Of which establishment plan posts	49 995 809	52 678 000	54 103 800	54 103 800	2,71%
- Of which external personnel	6 703 674	6 160 000	6 843 200	6 843 200	11,09%
Expenditure relating to Staff recruitment	759 136	630 560	644 000	644 000	2,13%
Employer's pension contributions	2 850 245	2 270 000	2 316 000	2 316 000	2,03%
Mission expenses	6 415	26 412	27 000	27 000	2,23%
Socio-medical infrastructure	1 499 114	1 652 648	1 771 000	1 771 000	7,16%
Training	316 379	490 800	671 000	671 000	36,72%
External Services	2 378 805	1 288 402	1 315 000	1 315 000	2,06%
Receptions, events and representation					
Social welfare					

EXPENDITURE	Commitment appropriations (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	11 567 260	12 629 909	13 111 800	13 111 800	3,82%
Rental of buildings and associated costs	5 790 716	6 348 210	6 529 000	6 529 000	2,85%
Information, communication technology and data processing	5 230 807	5 766 165	5 883 000	5 883 000	2,03%
Movable property and associated costs	258 770	292 236	340 800	340 800	16,62%
Current administrative expenditure	284 132	214 801	350 000	350 000	62,94%
Postage / Telecommunications					
Meeting expenses	2 835	8 497	9 000	9 000	5,92%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	14 485 892	15 180 494	16 362 918	16 362 918	7,79%
Registration, data-sharing and dissemination	63 820	97 000	200 000	200 000	106,19%
Evaluation		85 500	86 000	86 000	0,58%
Risk Management	182 776	504 000	698 000	698 000	38,49%
Classification and labelling	2 607	40 000	40 000	40 000	0,00%
Advice and assistance through guidance and helpdesk	60 853	49 250	140 000	140 000	184,26%
Scientific IT tools	9 714 661	9 134 611	9 329 918	9 329 918	2,14%
Scientific and technical advice to EU institutions	259 880	360 000	410 000	410 000	13,89%
Committees and Forum	172 401	727 292	800 000	800 000	10,00%
Board of appeal	62 999	64 000	76 000	76 000	18,75%
Communications including translations	1 732 858	1 890 352	2 046 000	2 046 000	8,23%
International cooperation	1 143	30 000	30 000	30 000	0,00%
Management Board and management of the Agency	656 147	746 489	900 000	900 000	20,56%
Missions	56 150	208 000	235 000	235 000	12,98%
External training					
Refunds					
Substance evaluation and Rapporteurs (Differentiated appropriations)	740 192	644 000	872 000	872 000	35,40%
Cooperation with international organisations for IT programmes (Differentiated appropriations)	779 405	600 000	500 000	500 000	-16,67%
Title 6 - Other tasks	4 900 128	771 836			-100%
IPA programme	499 013				
EUON	843 336				
EUCLEF	1 415 045				
Occupational exposure limits	304 601				

EXPENDITURE	Commitment appropriations (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Further development of IUCLID (as co-investments from third parties)	1 838 133	771 836			-100%
TOTAL	95 462 857	93 779 061	97 165 718	97 165 718	3,61%

3.2.2.2 Payment appropriations (REACH / CLP)

EXPENDITURE	Payment appropriations (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	64 509 577	65 196 822	67 691 000	67 691 000	3,83%
Salaries & allowances	56 699 483	58 838 000	60 947 000	60 947 000	3,58%
- Of which establishment plan posts	49 995 809	52 678 000	54 103 800	54 103 800	2,71%
- Of which external personnel	6 703 674	6 160 000	6 843 200	6 843 200	11,09%
Expenditure relating to Staff recruitment	759 136	630 560	644 000	644 000	2,13%
Employer's pension contributions	2 850 245	2 270 000	2 316 000	2 316 000	2,03%
Mission expenses	6 415	26 412	27 000	27 000	2,23%
Socio-medical infrastructure	1 499 114	1 652 648	1 771 000	1 771 000	7,16%
Training	316 379	490 800	671 000	671 000	36,72%
External Services	2 378 805	1 288 402	1 315 000	1 315 000	2,06%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	11 567 260	12 629 909	13 111 800	13 111 800	3,82%
Rental of buildings and associated costs	5 790 716	6 348 210	6 529 000	6 529 000	2,85%
Information, communication technology and data processing	5 230 807	5 766 165	5 883 000	5 883 000	2,03%
Movable property and associated costs	258 770	292 236	340 800	340 800	16,62%
Current administrative expenditure	284 132	214 801	350 000	350 000	62,94%
Postage / Telecommunications					
Meeting expenses	2 835	8 497	9 000	9 000	5,92%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	14 750 692	15 599 496	16 140 918	16 140 918	3,47%
Registration, datasharing and dissemination	63 820	97 000	200 000	200 000	106,19%
Evaluation		85 500	86 000	86 000	0,58%
Risk Management	182 776	504 000	698 000	698 000	38,49%
Classification and labelling	2 607	40 000	40 000	40 000	0,00%
Advice and assistance through guidance and	60 853	49 250	140 000	140 000	184,26%

EXPENDITURE	Payment appropriations (REACH / CLP)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
helpdesk					
Scientific IT tools	9 714 661	9 134 611	9 329 918	9 329 918	2,14%
Scientific and technical advice to EU institutions	259 880	360 000	410 000	410 000	13,89%
Committees and Forum	172 401	727 292	800 000	800 000	10,00%
Board of appeal	62 999	64 000	76 000	76 000	18,75%
Communications including translations	1 732 858	1 890 352	2 046 000	2 046 000	8,23%
International cooperation	1 143	30 000	30 000	30 000	0,00%
Management Board and management of the Agency	656 147	746 489	900 000	900 000	20,56%
Missions	56 150	208 000	235 000	235 000	12,98%
External training					
Refunds					
Substance evaluation and Rapporteurs (Differentiated appropriations)	1 112 001	922 002	650 000	650 000	-29,50%
Cooperation with international organisations for IT programmes (Differentiated appropriations)	672 396	741 000	500 000	500 000	-32,52%
Title 6 - Other tasks	3 324 767	771 836			-100%
IPA programme	237 777				
EUON	588 810				
EUCLEF	1 011 851				
Occupational exposure limits	223 962				
Further development of IUCLID (as co-investments from third parties)	1 262 367	771 836			-100%
TOTAL	94 152 296	94 198 063	96 943 718	96 943 718	2,91%

3.2.3 Budget Outturn (REACH / CLP)

The 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): 2 575 616.19 EUR

3.3 Financial Resources (BIOCIDES)

3.3.1 Revenues (BIOCIDES)

3.3.1.1 General revenues (BIOCIDES)

REVENUES	General revenues (BIOCIDES)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	2 549 075	2 251 661	3 308 846	3 308 846	46,95%
2 EU CONTRIBUTION	7 008 000	10 348 160	8 100 000	8 100 000	-21,73%
- Of which assigned revenues deriving from previous years' surpluses	577 292	134 997			-100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	409 714	648 103	510 154	510 154	-21,29%
- Of which EEA/EFTA (excl. Switzerland)	409 714	648 103	510 154	510 154	-21,29%

REVENUES	General revenues (BIOCIDES)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	23 288				
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	9 990 077	13 247 924	11 919 000	11 919 000	-10,03%

3.3.1.2 Additional EU funding: contribution, grant and service level agreements (BIOCIDES)

REVENUES	Additional EU funding: contribution, grant and service level agreements (BIOCIDES)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.3.2 Expenditure (BIOCIDES)

3.3.2.1 Commitment appropriations (BIOCIDES)

EXPENDITURE	Commitment appropriations (BIOCIDES)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	7 693 871	7 909 603	8 405 000	8 405 000	6,26%
Salaries & allowances	6 791 658	7 297 591	7 632 000	7 632 000	4,58%
<i>- Of which establishment plan posts</i>	5 845 984	6 302 591	6 528 643	6 528 643	3,59%
<i>- Of which external personnel</i>	945 674	995 000	1 103 357	1 103 357	10,89%
Expenditure relating to Staff recruitment	30 229	40 450	42 000	42 000	3,83%
Employer's pension contributions	358 920	200 000	315 000	315 000	57,50%
Mission expenses	585	3 565	4 000	4 000	12,20%
Socio-medical infrastructure	196 432	223 069	240 000	240 000	7,59%
Training	37 516	56 000	81 000	81 000	44,64%
External Services	278 531	88 928	91 000	91 000	2,33%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					

EXPENDITURE	Commitment appropriations (BIOCIDES)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 2 - Infrastructure and operating expenditure	1 509 258	1 704 745	1 773 000	1 773 000	4,00%
Rental of buildings and associated costs	754 907	856 860	882 000	882 000	2,93%
Information, communication technology and data processing	685 416	778 298	795 000	795 000	2,15%
Movable property and associated costs	34 241	39 445	46 000	46 000	16,62%
Current administrative expenditure	34 322	28 994	48 000	48 000	65,55%
Postage / Telecommunications					
Meeting expenses	372	1 148	2 000	2 000	74,22%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 4 - Operational expenditure	1 321 733	2 433 576	1 741 000	1 741 000	-28,46%
Substances, products and technical equivalence		169 736			-100%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	600	24 750	20 000	20 000	-19,19%
Scientific IT tools	1 184 439	1 792 064	1 382 000	1 382 000	-22,88%
Scientific and technical advice to EU institutions					
Biocidal products Committee and Forum	37 489	193 600	169 000	169 000	-12,71%
Board of appeal	7 350	11 500	12 000	12 000	4,35%
Communications including translations	51 487	116 647	75 000	75 000	-35,70%
Management Board and management of the Agency	27 761	85 279	72 000	72 000	-15,57%
Missions	12 607	40 000	11 000	11 000	-72,50%
External training					
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian					
Title 9 - Operational expenditure		1 200 000			-100%
Appropriation for a Negative budget result prior year BIOCIDE		1 200 000			-100%
TOTAL	10 524 862	13 247 924	11 919 000	11 919 000	-10,03%

3.3.2.2 Payment appropriations (BIOCIDES)

EXPENDITURE	Payment appropriations (BIOCIDES)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	7 693 871	7 909 603	8 405 000	8 405 000	6,26%
Salaries & allowances	6 791 658	7 297 591	7 632 000	7 632 000	4,58%
- Of which establishment plan posts	5 845 984	6 302 591	6 528 643	6 528 643	3,59%
- Of which external personnel	945 674	995 000	1 103 357	1 103 357	10,89%
Expenditure relating to Staff recruitment	30 229	40 450	42 000	42 000	3,83%
Employer's pension contributions	358 920	200 000	315 000	315 000	57,50%
Mission expenses	585	3 565	4 000	4 000	12,20%
Socio-medical infrastructure	196 432	223 069	240 000	240 000	7,59%
Training	37 516	56 000	81 000	81 000	44,64%
External Services	278 531	88 928	91 000	91 000	2,33%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 509 258	1 704 745	1 773 000	1 773 000	4,00%
Rental of buildings and associated costs	754 907	856 860	882 000	882 000	2,93%
Information, communication technology and data processing	685 416	778 298	795 000	795 000	2,15%
Movable property and associated costs	34 241	39 445	46 000	46 000	16,62%
Current administrative expenditure	34 322	28 994	48 000	48 000	65,55%
Postage / Telecommunications					
Meeting expenses	372	1 148	2 000	2 000	74,22%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 4 - Operational expenditure	1 321 733	2 433 576	1 741 000	1 741 000	-28,46%
Substances, products and technical equivalence		169 736			-100%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	600	24 750	20 000	20 000	-19,19%
Scientific IT tools	1 184 439	1 792 064	1 382 000	1 382 000	-22,88%
Scientific and technical advice to EU institutions					
Biocidal products Committee and Forum	37 489	193 600	169 000	169 000	-12,71%
Board of appeal	7 350	11 500	12 000	12 000	4,35%
Communications including translations	51 487	116 647	75 000	75 000	-35,70%
Management Board and management of the Agency	27 761	85 279	72 000	72 000	-15,57%

EXPENDITURE	Payment appropriations (BIOCIDES)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Missions	12 607	40 000	11 000	11 000	-72,50%
External training					
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian					
Title 9 - Operational expenditure		1 200 000			-100%
Appropriation for a Negative budget result prior year BIOCIDES		1 200 000			-100%
TOTAL	10 524 862	13 247 924	11 919 000	11 919 000	-10,03%

3.3.3 Budget Outturn (BIOCIDES)

The 2020 negative outturn is EUR 485 575.77. This estimated negative outturn is expected to be recovered in the year N+1 through an additional contribution by the EU Commission of EUR 469 068.84, and by the Swiss Confederation of EUR 16 506.93.

3.4 Financial Resources (Environmental directives and International conventions)

3.4.1 Revenues (Environmental directives and International conventions)

3.4.1.1 General revenues (Environmental directives and International conventions)

REVENUES	General revenues (Environmental directives and International conventions)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	3 057 000	5 607 100	4 727 000	4 727 000	-15,70%
- Of which assigned revenues deriving from previous years' surpluses	22 525	25 306	26 935	26 935	6,44%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	2 148				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	3 059 148	5 607 100	4 727 000	4 727 000	-15,70%

3.4.1.2 Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions)

REVENUES	Additional EU funding: contribution, grant and service level agreements (Environmental directives and International conventions)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.4.2 Expenditure (Environmental directives and International conventions)

3.4.2.1 Commitment appropriations (Environmental directives and International conventions)

EXPENDITURE	Commitment appropriations (Environmental directives and International conventions)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	810 036	2 168 566	2 278 800	2 278 800	5,08%
Salaries & allowances	609 178	2 003 645	2 096 800	2 096 800	4,65%
- Of which establishment plan posts	550 781	1 167 645	1 191 000	1 191 000	2,00%
- Of which external personnel	58 397	836 000	905 800	905 800	8,35%
Expenditure relating to Staff recruitment	5 169	52 515	54 000	54 000	2,83%
Employer's pension contributions					
Mission expenses	84	1 023	2 000	2 000	95,50%
Socio-medical infrastructure	27 568	64 012	70 000	70 000	9,35%
Training	4 725	16 200	23 000	23 000	41,98%
External Services	163 312	31 171	33 000	33 000	5,87%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	212 377	488 918	511 200	511 200	4,56%
Rental of buildings and associated costs	106 536	245 882	253 000	253 000	2,89%
Information, communication technology and data processing	96 199	223 338	229 000	229 000	2,54%
Movable property and associated costs	4 809	11 319	13 200	13 200	16,62%
Current administrative expenditure	4 828	8 322	15 000	15 000	80,25%
Postage / Telecommunications					
Meeting expenses	5	57	1 000	1 000	1654,39%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Commitment appropriations (Environmental directives and International conventions)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 5 - Operational expenditure	2 013 652	2 949 616	1 937 000	1 937 000	-34,33%
Studies and consultants		110 000	100 000	100 000	-9,09%
Advice and assistance through guidance and helpdesk					
Scientific IT tools	1 833 082	2 661 620	1 662 000	1 662 000	-37,56%
Meetings with authorities and expert groups		68 000	68 000	68 000	0,00%
Communications including translations	179 932	58 010	63 000	63 000	8,60%
Management Board and management of the Agency		17 586	19 000	19 000	8,04%
Missions	638	34 400	25 000	25 000	-27,33%
External training					
TOTAL	3 036 065	5 607 100	4 727 000	4 727 000	-15,70%

3.4.2.2 Payment appropriations (Environmental directives and International conventions)

EXPENDITURE	Payment appropriations (Environmental directives and International conventions)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	810 036	2 168 566	2 278 800	2 278 800	5,08%
Salaries & allowances	609 178	2 003 645	2 096 800	2 096 800	4,65%
- Of which establishment plan posts	550 781	1 167 645	1 191 000	1 191 000	2,00%
- Of which external personnel	58 397	836 000	905 800	905 800	8,35%
Expenditure relating to Staff recruitment	5 169	52 515	54 000	54 000	2,83%
Employer's pension contributions					
Mission expenses	84	1 023	2 000	2 000	95,50%
Socio-medical infrastructure	27 568	64 012	70 000	70 000	9,35%
Training	4 725	16 200	23 000	23 000	41,98%
External Services	163 312	31 171	33 000	33 000	5,87%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	212 377	488 918	511 200	511 200	4,56%
Rental of buildings and associated costs	106 536	245 882	253 000	253 000	2,89%
Information, communication technology and data processing	96 199	223 338	229 000	229 000	2,54%
Movable property and associated costs	4 809	11 319	13 200	13 200	16,62%
Current administrative expenditure	4 828	8 322	15 000	15 000	80,25%
Postage / Telecommunications					
Meeting expenses	5	57	1 000	1 000	1654,39%
Running costs in connection with operational activities					
Information and publishing					

EXPENDITURE	Payment appropriations (Environmental directives and International conventions)				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Studies					
Other infrastructure and operating expenditure					
Title 5 - Operational expenditure	2 013 652	2 949 616	1 937 000	1 937 000	-34,33%
Studies and consultants		110 000	100 000	100 000	-9,09%
Advice and assistance through guidance and helpdesk	1 833 082	2 661 620	1 662 000	1 662 000	-37,56%
Scientific IT tools					
Meetings with authorities and expert groups		68 000	68 000	68 000	0,00%
Communications including translations	179 932	58 010	63 000	63 000	8,60%
Management Board and management of the Agency		17 586	19 000	19 000	8,04%
Missions	638	34 400	25 000	25 000	-27,33%
External training					
TOTAL	3 036 065	5 607 100	4 727 000	4 727 000	-15,70%

3.4.3 Budget Outturn (Environmental directives and International conventions)

The 2020 surplus that will be reimbursed to the EU budget (as assigned revenue) is 26 935.97 EUR.

4 Justification of needs

Commission assessment

Human Resources

(REACH/CLP)

- Establishment Plan Posts : The total number of Temporary Agents remains stable (404).
- Contract Agents: The number of Contract Agents remains stable as well (94 CA) and includes a temporary redeployment from REACH/CLP to Environmental directives and International conventions to complete work on the Waster Framework Directive database (SCIP) agreed in the draft budget procedure in 2019. The redeployed posts will have to be gradually redeployed back to REACH/CLP in 2023 following the progress in the work on the SCIP database.
- Seconded National Experts: The number of Seconded National Experts remains stable (13).

(Environmental directives and International conventions)

The total number of temporary and contract agents is kept at the level of the 2021 voted budget.

(BIOCIDES)

The Commission welcomes the Agency's steady state regarding the number of posts for the Biocides activities, and supports the request of 52 TA, 15 CA and 2 SNEs. The Commission acknowledges the 4 additional contract agents which are "lent" from EFSA, in accordance with the SLA for IUCLID.

Financial Resources

(REACH/CLP)

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget.

(Environmental directives and International conventions)

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget. The overall amount of the 2022 budget request for the Environmental directives and International conventions is decreased, compared to the 2021 voted budget, and that is due to the fact that the major IT developments related to the new initiatives of the Waste Framework and Drinking Water Directives should be completed by 2022.

(BIOCIDES)

The Commission notes that the EU subsidy requested by the Agency amounts to 8.1 million EUR (an increase of 0.8 million EUR compared to 7.3 million EUR foreseen in the financial programming). As ECHA had a negative outturn in 2020, no amount is to be deducted from its 2022 EU contribution to calculate the fresh credits.

The Commission acknowledges that the total budget of ECHA Biocides is lower than the 2021 budget and is at the level of the 2019 budget. The estimated revenue from fees of 3.3 million EUR is not sufficient to cover the agency needs, therefore the Commission supports the Agency's request of 8.1 million EUR as EU subsidy for the Biocides activities.

The Commission takes note of the increased number of ECHA opinions foreseen in 2022, both for the approval and renewal of biocidal active substances, as well as for Union authorisations of biocidal products. A similar or increased number of BPC opinions is foreseen for advising the Commission on disagreements between Member States and the borderline between biocides and other regulatory systems.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (REACH / CLP)

ECHA's mandate and specific tasks under the four Regulations remain the core of its activities and, therefore, overall, ECHA needs to maintain the resources allocated to its regulatory tasks. ECHA intends to continue maintaining its low vacancy rate for all Regulations and implementing proactive human resource management practices to ensure a healthy level of staff turnover. ECHA will also continue to carefully monitor its establishment plan, intensify its work on competency mapping and career paths and ensure good forward planning with respect to recruitment, mobility, and promotions. This includes continuing to recruit at lower entry grades of profiles to maintain and build scientific competence, enhance overall organisational performance, motivation, and optimise the utilisation of ECHA's allocated human resources within the overall establishment plan. If ECHA cannot maintain its full REACH/CLP establishment plan, it will examine the use of additional flexibility measures to ensure that it has the necessary human resources in place to implement the programme of work over the 2022 period. Such flexibility measures will include modification of ECHA's establishment plan, in accordance with Article 38(1) and 38(2) of ECHA's Financial Regulation.

While the human resources request for REACH/CLP Contract Agents is 94 FTEs, the actual number is 102 FTEs. The difference stems from this allocation of 8 FTEs from REACH/CLP to the Environmental directives and International conventions budget line for the work related to the Waste Framework Directive. The allocation is temporary and the posts will be gradually redeployed back to REACH/CLP in 2023.

It is to be noted, that two new FTEs (CA) were allocated to ECHA to support the Partnership for Chemicals Risk Assessment (PARC) activities with effect from 01 June 2021. This decision is not accompanied by any additional budgetary resources and will, therefore, be covered from existing REACH resources.

4.1.1.2 Number of staff requested (BIOCIDES)

ECHA's mandate and specific tasks under the four Regulations remain the core of its activities and, therefore, overall, ECHA needs to maintain the resources allocated to its regulatory tasks. ECHA intends to continue maintaining its low

vacancy rate for all regulations and implementing proactive human resource policy and management practices as mentioned in the section above regarding REACH/CLP.

For Biocides regulation, ECHA requests to maintain its human resources with the same overall total as authorised for 2021.

4.1.1.3 Number of staff requested (Environmental directives and International conventions)

ECHA has undertaken a strategic analysis of its future direction, and potential new tasks, with its Management Board and key stakeholders and there are potential new tasks, or existing activity areas that require sufficient human resources.

For PIC and POPs ECHA requests to maintain its human resources with the same overall total as authorised for 2021. In 2020, work for the Waste Framework Directive was carried out by CAs recruited via REACH/CLP staffing plan posts. For 2021, 8 CAs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area. For 2022, ECHA requests to retain the same number of human resources for the work required under Article 9 of the Directive 2008/98/EC on waste (8 CAs). Following the progress of the work on the SCIP database, ECHA will gradually redeploy these resources back to REACH/CLP area starting in 2023. To carry out the tasks entrusted to ECHA under the Drinking Water Directive 98/83/EC, ECHA requests to maintain its human resources at the same level as authorised for 2021 (3 AD posts and 2 CA posts). To support the work under the 8th Environmental Action Programme, ECHA was granted 1 AD and 1 CA post for the period 2021-2027, both of which are included in the 2022 budget request.

Here below the breakdown of the posts for Environmental directives and International conventions:

	Posts for 2021		Posts for 2022	
	TA	CA	TA	CA
PIC	7	1	7	1
POPs		1		1
WFD		8*		8*
DWD	3	2	3	2
8th EAP	1	1	1	1
TOTAL	11	13	11	13

* As no staff allocation has been provisioned to cover the work on the Waste Framework Directive activities, 8 CA FTEs have been temporarily redeployed from REACH/CLP to the Environmental directives and International conventions budget area. With the progress of the work on the SCIP database, ECHA will gradually redeploy these resources back to REACH/CLP starting in 2023.

4.1.2 Vacancy rate as of end 2020

4.1.2.1 Vacancy rate as of end 2020 (REACH / CLP)

The vacancy rate of the establishment plan posts for REACH/CLP on 31 December 2020 was 2%. The overall vacancy rate of the establishment plan posts across all regulations on 31 December 2020 was 2.6%.

4.1.2.2 Vacancy rate as of end 2020 (BIOCIDES)

The vacancy rate of the establishment plan posts for Biocidal Products Regulation on 31 December 2020 was 4%.

4.1.2.3 Vacancy rate as of end 2020 (Environmental directives and International conventions)

The vacancy rate of the establishment plan posts for PIC/POPs regulations on 31 December 2020 was 0%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

2% yearly for all REACH/CLP, BIOCIDES and Environmental directives and International conventions.

4.1.5 Correction coefficient used

118.1 for all REACH/CLP, BIOCIDES and Environmental directives and International conventions.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (REACH /CLP)

For 2022, the needs for staff-related expenditure (Title 1) will amount to c. € 67.7 million (+4% from 2021). The increase stems mainly from the increase in the salaries and allowances, which represent 93.7% of the total Title 1 budget. Furthermore, ECHA requests a higher budget for trainings with the assumption that travel restriction related to COVID-19 pandemic will be released at the time.

4.2.1.2 Title 1 (BIOCIDES)

The total amount for staff-related expenditure under BPR in 2022 totals c. EUR 8.4 million, representing an increase of 6 % compared to 2021. The increase stems from several factors. In 2020 there were 3 frozen posts (2TAs and 1 CA) that had become vacant following staff departures, which however, were not filled due to shortage in BPR fees. It is expected that these posts will be filled in in the second half of 2021. Therefore, 2022 budget request considers full coverage of the above-mentioned posts. The increase also includes the general indexation of salaries and allowances (2%). In addition, the estimated level of BPR fees in 2022 is c. EUR 1 million higher compared to 2021. That leads to a higher amount needed to cover ECHA's share for Employer's Pension Contribution for BPR, as the share raises from 19% to 30% to reflect the increased proportion of fees of the total funding. Furthermore, ECHA requests slightly higher budget for trainings with the assumption that travel restriction related to COVID-19 pandemic will be released at the time.

4.2.1.3 Title 1 (Environmental directives and International conventions)

The total amount for staff-related expenditure under Environmental directives and International conventions (PIC, POPs, Waste Framework Directive, Drinking Water Directive and 8EAP) in 2022 totals c. EUR 2.3 million, representing an increase of 5% compared to 2021. The increase stems mainly from the increase in the salaries and allowances (2%), which represent 92% of the total Title 1 budget. In addition, due to the increased number of staff employed under the Drinking Water Directive and 8EAP, the share of the administrative common costs has increased (Medical services and fees for the European School). Furthermore, ECHA requests a higher budget for trainings with the assumption that travel restriction related to COVID-19 pandemic will be released at the time.

4.2.2 Title 2

4.2.2.1 Title 2 (REACH / CLP)

The Agency's overall Title 2 (infrastructure and operating expenditure) for 2022 amounts to c. EUR 15.4 million, representing an increase of 4% compared to 2021. The costs are split between the regulations based on the estimated average number of staff per regulation. The preliminary ratios for 2022 are REACH/CLP – c. 85%, Biocides - c. 11,5% and PIC, POPs, Waste Framework Directive, Drinking Water Directive and 8EAP - c. 3.5%. The main increase stems from a new budget item related to outsourcing of ECHA Information Desk. In addition, 2022 is the first full year when the parking rental cost is payable according to the lease agreement.

4.2.2.2 Title 2 (BIOCIDES)

Same comment as above.

4.2.2.3 Title 2 (Environmental directives and International conventions)

Same comment as above.

4.2.3 Operational Title

4.2.3.1 Title 3 (REACH / CLP)

The overall REACH/CLP operational expenditure for 2022 totals c. € 16,0 million, representing an increase of 8%. The Scientific IT tools (such as REACH-IT, IUCLID, Cloud Services for SMEs, CHESAR, BIDI, Dynamic Case, Portal Dashboard, ODYSSEY and other ECM Programme tools) remain by far the largest expenditure item, representing c. 57% of the overall operational expenditure. In addition, the costs related to the Data Management and Dissemination of REACH/CLP data fall under Title 3.

The expenditure related to running the Committees (Member State Committee, Committee for Risk Assessment and Committee for Socio-Economic Analysis), together with the amounts reserved to compensate the committees' rapporteurs, and for the substance evaluation total c. € 1,7 million and account for c. 10% of the operational expenditure.

In Title 3, ECHA has two differentiated budget lines. One is reserved for contracts with the Member States for Substance evaluation, Authorisation and Restriction and the other one for contracts related to the development and implementation of tools for the international co-operation in the fields of chemicals, including training, such as the QSAR-toolbox.

The amounts foreseen on these lines in 2022 are presented in the below table:

Budget line	Commitments Appropriations	Payment appropriations
Substance evaluation and Rapporteurs (Differentiated appropriations)	872 000	650 000
Cooperation with international organisations for IT programmes (Differentiated appropriations)	500 000	500 000
Total	1 372 000	1 150 000

4.2.3.2 Title 4 (BIOCIDES)

The overall Biocides operational expenditure for 2022 amounts to c. € 1.7 million, representing a decrease by 28%. The main item continues to be related to maintenance and development of the relevant IT tools (such as R4BP, SPC Editor and EUSES), representing c. 79% of the overall operational expenditure. Another significant expenditure item relates to the Biocidal Products Committee and its technical working groups, representing c. 10% of the overall operational expenditure. Through the Committee, ECHA continues delivering opinions for the European Commission to support decision making on biocidal active substances and products.

The 2022 budget hearings within the Commission resulted in reducing ECHA's initial EU subsidy request by € 0.85 million. DG SANTE requested ECHA to adjust its draft budget and therefore the income table in section 3.3.1 and the expenditure table in section 3.3.2 reflect the cuts. Accommodating such a sizable operational expenditure decrease may put a constraint on some of the BPR operations in 2022, as e.g. advancing IT projects with 2021 funding may not be possible.

4.2.3.3 Title 5 (Environmental directives and International conventions)

The overall operational expenditure for PIC, POPs, Waste Framework Directive, Drinking Water Directive and 8th Environmental Action Programme for 2022 amounts to c. € 2.0 million. That represents a decrease of 34% compared to 2021 and it mainly stems from the fact that major IT developments related to the new initiatives of Waste Framework and Drinking Water Directives should be completed by then. The operational expenditure is almost exclusively (c. 86%)

allocated to the maintenance of the IT tools related to the Waste Framework Directive database (SCIP), support of the export notifications, the import consents and related reporting and the POPs, as well as for maintaining the IT tools for the Drinking Water Directive. Other main elements are related to communication activities, particularly translating materials into 23 languages.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (REACH / CLP)

The negotiations for continuation of the below listed contribution agreements are annual. The amounts to be allocated to ECHA for implementing the contribution agreements in 2022 will be reflected in the DB 2022 once the amounts are available.

The Commission and ECHA signed, on 7 December 2016, a Delegation Agreement on the European Union Observatory for Nanomaterials and for a feasibility study on the European Union Chemical Legislation Finder.

Following the completion of the feasibility study, the Commission and ECHA signed, on 8 December 2017, a Delegation Agreement for the set-up of the European Union Chemical Legislation Finder.

ECHA also receives funding from the Instrument for Pre-accession Assistance (IPA) to provide capacity building for the EU candidate countries and potential candidates on the EU chemical legislation.

The Commission and ECHA have also signed a Service Level Agreement for providing opinions for Occupational Exposure Limits (OELs). During 2021, it is planned to negotiate amendments to this SLA in order to reflect the learnings and growing resource needs under this activity.

Here below the breakdown of the posts for Other tasks:

Other tasks	Posts for 2021		Posts for 2022	
	TA	CA	TA	CA
EUON (DG GROW)		3		3
OEL (DG EMPL)		4		4
IPA (DG NEAR)		1		1
TOTAL Other tasks	0	8	0	8

4.3.2 Ad hoc grants and delegation agreements (BIOCIDES)

ECHA signed a Service Level Agreement with EFSA (the European Food Safety Authority) for the development of IUCLID software for pesticides under which EFSA provides the financial coverage for 4 CA posts.

4.3.3 Ad hoc grants and delegation agreements (Environmental directives and International conventions)

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Telakkakatu 6	Telakkakatu 6	11 021	7 050	18 071	5 504 119	Lease contract until 22.01.2030		NO	
TOTAL			11 021	7 050	18 071	5 504 119				

5.1.2 Current building(s) Other comments

The lease agreement is subject to annual indexation. In addition, the cost for carparking / bike storage is subject to a charge from July 2021 onwards. The amount estimated for 2022 is c. € 5 678 268, assuming 1% indexation.

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

The European School of Helsinki (ESH) opened in September 2008 to provide education for the children of ECHA staff, following the enactment of the ESH Act on 1 January 2008 (the Act). The ESH is maintained by the State of Finland and it annually concludes an attainment contract with the Finnish National Agency for Education. It is organised on the basis of the educational structure of the European Schools, providing education based on the syllabi of the European Schools. It is an Accredited European School and is administered and funded by the Finnish Government, which receives EU subsidies, and own revenues generated through certain fees. Accreditation is subject to regular audit reviews, and the latest review in 2019 ensured the continuation of accreditation for another three years. The Act provides that the children of ECHA staff (Category I pupils) have an entitlement to a cost-free enrolment at ESH. In 2009, an amendment to the Act on European Schooling Helsinki was adopted to facilitate admission of Category II (non-ECHA) pupils to the School. Category II pupils may apply for enrolment in the ESH since 2010 and, presently, approximately 40 % of the pupils are of category II.

In reference to Commission's Decision C(2013)4886 of 01/08/2013 and additional written communication provided by the Commission in respect to allocation of costs related to Accredited European Schools, ECHA's 2022 draft budget includes the European School contribution amounts related to ECHA's staff pupils. More specifically, it is used to pay the EU subsidy for the European School Helsinki, following the conclusion of a Mandate and Service Agreement with the European Commission. Moreover, the draft budget also foresees funds to partially cover, pursuant to Article 1e of the Staff Regulations, costs for special educational needs, the school nurse and private day care services for dependent children within the meaning of the Staff Regulations who are too young to be admitted to municipality nurseries.

The availability of a high quality of education in Helsinki is a critical attraction and retention factor for ECHA and, in this respect, the ESH is a key stakeholder for ECHA. There is a clear requirement for the School's budget – and, specifically, the subsidy from the Finnish state - to be maintained at a sufficient level to continue ensuring the provision of a high quality of education at the School. Another element is to ensure that ESH students receive the necessary education, support and guidance to perform well in the European Baccalaureate. ECHA will, through its presence on the Administrative Board and interactions with other stakeholders, continue to represent the interests of ECHA staff. ECHA co-chairs the Sub-Network of EU Agencies on Accredited European Schools (SNAES) within the Network of the Heads of Administration to ensure coordination and mutual support among Agencies on this important topic.

5.3 Evaluation

In 2018, the Commission published a “General Report on the operation of REACH and review of certain elements - Conclusions and Actions” (COM(2018) 116 final – <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52018DC0116&from=EN>), concluding overall that REACH is addressing citizens' concerns about chemical safety and that REACH is found to be generally coherent with other EU legislation concerning chemicals and is delivering the international goals as intended. Regarding the Agency, it was concluded that ECHA has been instrumental in the implementation of REACH and has now built up a significant competence in chemicals management. To the extent that the review is indicating the need to improve efficiencies, the Agency has set out the measures in its Programming Document and Strategic Plan 2019-2023. ECHA follows up regularly on progress made in this regard and informs the Management Board accordingly.

Furthermore, in 2019, the Commission published its “Findings of the Fitness Check of the most relevant chemicals legislation (excluding REACH) and identified challenges, gaps and weaknesses” (COM(2019) 264 final - <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52019DC0264&from=EN>), concluding that overall, the EU framework of chemicals legislation is fit for purpose and delivers a high level of protection of people and the environment in balance with the needs of an efficiently functioning internal market and of a competitive and innovative chemicals industry. ECHA has analysed the outcome of this check with a view to identify where regulatory tasks of the Agency can be further consolidated and improved and where further synergies between existing tasks and possible new tasks could be sought.

5.4 Privileges and immunities

The privileges and immunities of staff and the Agency are contained in the respective Protocol to the EU Treaty. Moreover, further effect is given by the Seat Agreement signed between Finland and ECHA on 28 June 2007.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Inviolability	Immunity from jurisdiction regarding official capacity	Same access to day-care organised by municipalities as Finnish nationals
Facilitations for Communications	Exemption from registration requirements Duty free import of goods upon taking up services Reimbursement of VAT between 1 June 2007 and 31 May 2009 (no longer in place) Right to free export when leaving the service Exemption from taxes on EU salaries Exemption from national car tax once every three years Executive Director and Directors join diplomatic status Temporary residence permits to family members who are not EU / EEA nationals Issuance of personal cards through the Foreign Ministry Issuance of Finnish identity numbers	Access to Finnish school system
Assistance and Cooperation in Security Matters		Access to European Schooling through the European Schooling Helsinki
Exemption from all duties and taxes		

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 912/2010 of the European Parliament and of the Council setting up the European GNSS Agency, repealing Council Regulation (EC) No 1321/2004 on the establishment of structures for the management of the European satellite radio navigation programmes and amending Regulation (EC) No 683/2008 of the European Parliament and of the Council	22/09/2010	To bring the provisions of Council Regulation No 1321/2004 in line with those of Regulation (EC) n°683/2008. New task: the operation of the Galileo security centre. To set up the European GNSS Agency.
GNSS Regulation No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council.	20/12/2013	New governance for the exploitation phase of the GNSS programmes. GSA to become responsible for the exploitation of EGNOS and Galileo as from 01/01/2014. Preparatory actions were required in 2013.
Regulation (EU) No 512/2014 of the European Parliament and of the Council of 16 April 2014 amending Regulation (EU) No 912/2010 setting up the European GNSS Agency	20/05/2014	Exploitation of EGNOS and Galileo systems. With the provisions of initial services, the agency will progressively manage exploitation related activities including development activities and the operation of the first constellation of satellites. GSA will ensure coordination of all the tasks related to the exploitation of the systems such as maintenance, operations, service provision and implementation of future generation of systems, as well as supporting the definition of systems requirements for future systems by providing feedback on changing operational needs and users' requirements.
Regulation (EU) 2021/696 of the European Parliament and of the Council of 28 April 2021 establishing the Union Space Programme and the European Union Agency for the Space Programme and repealing Regulations (EU) No 912/2010, (EU) No 1285/2013 and (EU) No 377/2014 and Decision No 541/2014/EU	12/05/2021	Establishment of EU Space Programme Agency in place of GSA together with the change of governance and the increase of its scope to include new core and delegated tasks. New core tasks include tasks related to security accreditation for the whole EU space programme, operational security tasks for Galileo and EGNOS, new market and communications tasks for Copernicus. New delegated tasks include full scope of Galileo exploitation, GOVSATCOM activities and other activities delegated to the Agency.

1.2 Seat

Prague (the Czech Republic). The Agency also operates the Galileo Security Monitoring Centre (GSMC) and its back up in Paris (France) and Madrid (Spain) respectively, the GNSS Service Centre (GSC) in Madrid (Spain) and the Galileo Reference Centre (GRC) in Noordwijk (the Netherlands).

1.3 Budget Line

04 10 01 : European Union Agency for the Space Programme

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	147	146	99,32%	189	229
Assistants (AST)	3	4	133,33%	2	2
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	150	150	100,00%	191	231
Contract Agents (CA)	34	62	182,35%	34	34
Seconded National Experts (SNE)	2	7	350,00%	13	14
TOTAL STAFF	186	219	117,74%	238	279

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	36 107 280	68 345 460
Other Revenue	901 092	1 715 471
TOTAL REVENUES	37 008 372	70 060 931

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	1 245 532 684	298 373 932

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	25 637 872	25 637 872	36 302 788	36 302 788
Title 2 - Infrastructure and operating expenditure	6 450 500	6 450 500	13 408 143	13 408 143
Title 3 - Operational expenditure	4 920 000	4 920 000	20 350 000	20 350 000
TOTAL EXPENDITURE	37 008 372	37 008 372	70 060 931	70 060 931

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		3		2		5		6		6
AD 12		8				10		10		10
AD 11		9		7		12		17		17
AD 10		16		11		21		26		26
AD 9		27		20		36		45		45
AD 8		46		32		55		62		62
AD 7		27		46		34		44		44
AD 6		4		17		8		10		10
AD 5		6		10		7		8		8
AD TOTAL		147		146		189		229		229
AST 11										
AST 10										
AST 9										
AST 8										
AST 7						1		1		1
AST 6		1		1		1		1		1
AST 5		2		1						
AST 4				1						
AST 3				1						
AST 2										
AST 1										
AST TOTAL		3		4		2		2		2
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		150		150		191		231		231
GRAND TOTAL		150		150		191		231		231

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	23	50	23	22
Function Group III	7	11	7	11
Function Group II	4	1	4	1
Function Group I				
TOTAL	34	62	34	34

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	2	7	13	14

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	34 664 000	36 107 280	68 345 460	68 345 460	89,28%
- <i>Of which assigned revenues deriving from previous years' surpluses</i>	61 381	207 189	44 555	44 555	-78,50%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	785 479	901 092	1 715 471	1 715 471	90,38%
- <i>Of which EEA/EFTA (excl. Switzerland)</i>	785 479	901 092	1 715 471	1 715 471	90,38%
- <i>Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	35 449 479	37 008 372	70 060 931	70 060 931	89,31%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)	753 040 905	1 245 532 684	298 373 932	298 373 932	-76,04%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	753 040 905	1 245 532 684	298 373 932	298 373 932	-76,04%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	23 091 279	25 637 872	36 302 788	36 302 788	41,60%
Salaries & allowances	21 632 037	23 127 051	33 553 288	33 553 288	45,08%
- Of which establishment plan posts	16 990 602	18 250 885	29 587 824	29 587 824	62,12%
- Of which external personnel	4 641 435	4 876 166	3 965 464	3 965 464	-18,68%
Expenditure relating to Staff recruitment	51 714	50 000	100 000	100 000	100,00%
Employer's pension contributions					
Mission expenses	266 543	1 450 000	1 000 000	1 000 000	-31,03%
Socio-medical infrastructure	76 024	67 821	139 500	139 500	105,69%
Training	161 904	166 000	558 000	558 000	236,14%
External Services	561 960	325 000	325 000	325 000	0,00%
Receptions, events and representation	98	2 000	2 000	2 000	0,00%
Social welfare	340 999	450 000	625 000	625 000	38,89%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 988 225	6 450 500	13 408 143	13 408 143	107,86%
Rental of buildings and associated costs	2 752 954	2 955 692	3 585 070	3 585 070	21,29%
Information, communication technology and data processing	3 032 887	1 535 000	7 459 280	7 459 280	385,95%
Movable property and associated costs	6 800	100 000	134 640	134 640	34,64%
Current administrative expenditure	1 021 433	1 392 308	1 453 013	1 453 013	4,36%
Postage / Telecommunications	156 727	130 000	148 140	148 140	13,95%
Meeting expenses	6 909	65 000	153 000	153 000	135,38%
Running costs in connection with operational activities	10 515	272 500	475 000	475 000	74,31%
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 369 976	4 920 000	20 350 000	20 350 000	313,62%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Operations and studies	4 404 164	4 140 000	19 350 000	19 350 000	367,39%
Security accreditation expenditure	965 812	780 000	1 000 000	1 000 000	28,21%
Galileo Security Monitoring Centres (GSMCs)					
TOTAL	35 449 480	37 008 372	70 060 931	70 060 931	89,31%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	23 091 280	25 637 872	36 302 788	36 302 788	41,60%
Salaries & allowances	21 632 037	23 127 051	33 553 288	33 553 288	45,08%
- <i>Of which establishment plan posts</i>	<i>16 990 602</i>	<i>18 250 885</i>	<i>29 587 824</i>	<i>29 587 824</i>	<i>62,12%</i>
- <i>Of which external personnel</i>	<i>4 641 435</i>	<i>4 876 166</i>	<i>3 965 464</i>	<i>3 965 464</i>	<i>-18,68%</i>
Expenditure relating to Staff recruitment	51 714	50 000	100 000	100 000	100,00%
Employer's pension contributions					
Mission expenses	266 543	1 450 000	1 000 000	1 000 000	-31,03%
Socio-medical infrastructure	76 024	67 821	139 500	139 500	105,69%
Training	161 904	166 000	558 000	558 000	236,14%
External Services	561 960	325 000	325 000	325 000	0,00%
Receptions, events and representation	99	2 000	2 000	2 000	0,00%
Social welfare	340 999	450 000	625 000	625 000	38,89%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 988 225	6 450 500	13 408 143	13 408 143	107,86%
Rental of buildings and associated costs	2 752 954	2 955 692	3 585 070	3 585 070	21,29%
Information, communication technology and data processing	3 032 887	1 535 000	7 459 280	7 459 280	385,95%
Movable property and associated costs	6 800	100 000	134 640	134 640	34,64%
Current administrative expenditure	1 021 433	1 392 308	1 453 013	1 453 013	4,36%
Postage / Telecommunications	156 727	130 000	148 140	148 140	13,95%
Meeting expenses	6 909	65 000	153 000	153 000	135,38%
Running costs in connection with operational activities	10 515	272 500	475 000	475 000	74,31%
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 369 975	4 920 000	20 350 000	20 350 000	313,62%
Operations and studies	4 641 763	4 140 000	19 350 000	19 350 000	367,39%
Security accreditation expenditure	728 212	780 000	1 000 000	1 000 000	28,21%
Galileo Security Monitoring Centres (GSMCs)					
TOTAL	35 449 480	37 008 372	70 060 931	70 060 931	89,31%

3.3 Budget Outturn

The 2020 surplus that should be reimbursed to the EU budget (as assigned revenue) is: EUR 44 554,77.

4 Justification of needs

Commission assessment

Human Resources

The European Commission supports the request of EUSPA Agency for the year 2022. The figures presented in terms of FTE are in line with the Establishment Plan for the period 2022-2027 in agreement with the legislative financial statement in the frame of the Space Regulation.

The figures presented for 2021 incorporate the increase as include in amending budget 2/2021. An amending budget for the agency, that will take place in 2021, will approve the increase to 191 FTE and to 47 CA/SNEs for the year 2021.

Financial Resources

The European Commission supports the request of EUSPA Agency for the year 2022. The budgeted amounts are in line with the financial programming for the period 2022-2027 in agreement with the legislative financial statement of the Space Regulation.

The figures presented in column 2021 are in line with the current situation. An internal transfer will be done in 2021, to allocate the additional amounts to EUSPA in view of covering the additional personnel costs as per the increase of FTEs described in the HR paragraph.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The establishment plan for 2022 is in line with the revised legislative financial statement of the Space Regulation including additional resources for the Agency for undertaking new tasks and for the extension of existing tasks.

In 2022 Agency expects to continue to focus on undertaking its new activities, in particular related to security accreditation for the whole EU space programme, operational security tasks for Galileo and EGNOS, new market and communications tasks for Copernicus and new delegated tasks including the full scope of Galileo exploitation and GOVSATCOM.

The Agency is planning to grow by 40 Temporary Agents in 2022.

4.1.2 Vacancy rate as of end 2020

There was a 0% vacancy rate as of 31/12/2020. 150 out of 150 EP posts were filled, including 5 offers accepted by the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

Salary assumptions are based on average real costs of the GSA per grade and place of employment. Current correction coefficients for the place of living have been applied for the staff located in the Czech Republic, France, The Netherlands and Spain. Part of the staff costs are linked to the installation and one-off costs for all new staff, in accordance with the Staff Regulations of Officials of the European Union and Conditions of Employment of other Servants of the European Union. New recruited staff costs are reduced to 50% due to expected entry into service as of July 2022.

4.1.5 Correction coefficient used

The correction coefficient used to estimate the salary needs for 2021 is based on the current correction coefficients for the Czech Republic, France, the Netherlands and Spain which are 85,2 %, 120,5 %, 113,9 % and 94,2 % respectively.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Salary assumptions are based on average real costs per grade and staff. Multilingual tuition costs for children increase in proportion to the number of staff and are estimated based on a thorough analysis and extrapolation of present-day costs. It is noted that, with the certification of a European school in Paris, the tuition costs associated with staff based in Paris are expected to be reduced in the coming years.

Medical costs increase in proportion to new staff and the related increase of pre-recruitment medical checks.

Mission costs are reduced despite increasing staff figures and an increasing level of delegated activities for which no missions' budget is allocated. This is possible due to optimised mission management, with videoconferencing being used wherever appropriate stemming also from COVID-19 lessons learned and due to an effective advance planning of missions.

Training costs increase in 2022 in proportion to the increased staff and also due to the costs of planned cybersecurity trainings. Effective and financially efficient training methods have been continuously sought by the Agency, such as grouping training sessions for staff where appropriate and holding training on-site in order to avoid mission costs and taking advantage wherever possible of "in-house" training, passing on knowledge effectively between staff members.

A package of social measures for GSA staff based in Prague, as approved by the Agency's Administrative Board, were introduced in the second half of 2016, having limited impact on the budget. Nevertheless, the Executive Director decided to reduce them by half in 2019, and it is expected that this level will be maintained. The budgetary needs will therefore remain stable and increase only in proportion to the new staff, and remain subject to regular revision.

4.2.2 Title 2

In January 2015, the Agency took over the entire building in Prague, which it previously shared with the Czech Ministry of Finance. The rent was set at 25% of the market rate as of September 2017. Full 12 month rent cost is currently estimated at around EUR 220 000 for 2022 including exchange rate fluctuation and indexation.

Utility consumption costs are expected to increase in proportion to the number of additional staff and according to the inflation. Facility management, reception, hosting and security services for all sites are indexed and so will increase to a minor extent on an annual basis.

In addition, the Agency expects to start making a regular financial contribution for occupation of the re-developed GSMC building in St Germain en Laye that is expected to be available from Q3/2022.

The increase in the Data processing line is the result of the fact that it will no longer be partially financed under delegated budget under the new Space regulation, therefore full ICT costs are budgeted under core budget in 2022 and the fact that the ICT infrastructure of the EUSPA requires a complete Digital Transformation from the former GSA. The new needs are in particular in the area of business continuity (adding redundancy data center facilities, servers, storage and networks), 24x7 security and continuity of operations supporting the activities of the GSMC and the capability to work remotely with EU Classified information, across all sites and in scenarios like the COVID-19 pandemic.

The fact that the Agency has staff in 6 separate locations together with 1 unclassified and 2 classified ICT systems, coupled with the fact that it is a highly technical Agency, places a necessary budgetary burden upon the Agency in terms of administrative costs. However, new IT applications and videoconferencing have been set up by the Agency in order to limit rising costs as much as possible. The new needs in data processing, in particular in the processing of EUCI, require a new approach to the delivery and functionality of the Agency ICT systems, so the process of EUSPA Digital Transformation, started in 2020, will continue. These investments and their maintenance costs are reflected in the Data Processing and in the Telecommunications budgets.

The movable property budget increases in relation to the necessary purchase of furniture for newcomers combined with the replacement and maintenance of existing furniture.

An increase of the Meetings budget line reflects additional tasks under new Space regulation and a related number of working groups, workshops and meetings that the Agency will host.

Running costs in connection with operational activities contain the Security Accreditation Board administrative expenditure. This line also increases due to the fact that in 2022 the activities and missions are expected to increase due to the planned two launches during 2022 in comparison to one launch planned in 2021.

4.2.3 Title 3

The Title 3 operational core budget covers the Agency's core tasks as stipulated in the GNSS Regulation 1285/2013. In a common understanding reached for the new Regulation it is clear that the Agency's core tasks are expanded to also include security accreditation for the whole EU space programme, operational security for Galileo and EGNOS and new market and communications tasks for Copernicus. The Agency's proposal is based on its understanding of the complete set of Title 3 activities that will be required in 2022.

Market development applications, contribution to the commercialisation and awareness of Galileo and EGNOS, communication and promotion activities are in total expected to require a budget of approximately EUR 4 100 000 for this reporting period. The increasing tasks of the Agency will require intense communication and promotion activities towards industry and all GNSS stakeholders. These activities include sector specific event support and promotion of EGNOS and Galileo in aviation, high precision, agriculture, road, maritime, rail and surveying markets; market monitoring, web development, E-communication and publications, promotional events and video to represent and increase visibility of the programmes.

For the operation of the Galileo Security Monitoring Centre (GSMCs), operational support contracts will be required for 2022 for an estimated amount of EUR 2 500 000. These support contracts will be crucial in ensuring operational, technical and security activities supporting GSMC services for both GSMC sites (France and Spain). In more specific terms, the contracts will be necessary to cover the following essential actions:

- GSMC Operations Processes, including the support needed for the monitoring of the security of the Galileo System and the capability to react to all security incidents on a 24/7 basis;
- GSMC Operations Engineering Processes to support the progressive deployment of GSMC capabilities, including the design and documentation of all the operations procedures through testing phases and managing the technical processes lifecycle;
- Provision of quality management support services to the Agency, including in particular the ISO 9001 certificate renewal and maintenance and the GSMC product assurance capability.

Undertaking the new operational security task for Galileo and EGNOS as defined in Art 30 & 34(2) of the new Regulation will require a budget of EUR 12 750 000. The assignment of the responsibility for the operational security is interpreted as entrusting the Agency with the role of Information Assurance Operational Authority (IAOA) for each EU GNSS system, as defined in Council Decision 2013/488/EU. This role is related to both Galileo and EGNOS, therefore objectives are set up in order to undertake the relevant activities for both systems. It has to be noted that similar activities for the EU GNSS components have been already undertaken by the Agency in previous years, although under delegation from the Commission. The budget estimate is therefore based on this previous experience.

The Security Accreditation Board expenditure of EUR 1 000 000 will be used for support to and implementation of activities linked to the security accreditation of the EU space components (as detailed in Section III.02.01 of the SPD 2022), in particular support contracts. These support contracts are essential in order to provide the expected level of support to the Security Accreditation Board and its subordinate bodies, needed to perform the accreditation activities requested by the Regulation.

4.3 Ad hoc grants and delegation agreements

In 2022, in addition to its own operational core budget, the Agency expects to be operating the Financial Framework Partnership Agreement (FFPA) and the various Contribution Agreements associated with it. The Agency will ensure the management of the EGNSS Exploitation Programmes for EGNOS and Galileo. The Agency shall finalise preparatory action activities entrusted by the Commission under the GOVSATCOM Preparatory Action contribution agreement and will implement the activities entrusted by the Commission under the Space programme contribution agreement where the GOVSATCOM component is concerned.

The Agency will also manage ongoing projects under 4th and 5th Horizon 2020 calls.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	EUSPA HQ	Janovskeho 438/2, 17000 Prague 7	3 925	10 251	14 176	215 000	Indefinite	Host agreement	YES	
2	St. Germain en Laye, France GSMC	8, Avenue du President Kennedy, F-78102 Saint-Germain-en-Laye Cedex	665	192	857	40 000	until 31/12/2030	Host agreement	Partial support by MS	
3	Agency/CNES Toulouse EGNOS	CNES, 2 place Maurice Quentin 75 039 Paris	341		341	90 399	Indefinite	SLA	N/A	
4	EUSPA Brussels, BE OED Office/GSA remote office	BREY 178 and BREY 152, BRU, BE	21		21	18 000	Indefinite	SLA		
5	La Maranosa Spain	GSMC-ES Instituto Tecnológico "La Marañosa" (ITM) San Martin de la Vega	140	958	1 098		In-kind contribution by Spain. Valid 20 years from signature (automatic renewal for another 20 years)	Host Agreement	Yes	
6	Galileo Reference Centre (GRC), The Netherlands	Zwarteweg 53, NL-2201 AA Noordwijk, The Netherlands	520	930	1 450		20 years (minimum)	Host Agreement	Yes	
TOTAL			5 612	12 331	17 943	363 399				

5.1.2 Current building(s) Other comments

Prague, CZ

The Agency has to pay also all related admin costs, utilities, IT and infrastructure.

Utilities for 2022 are estimated at EUR 430 000, Facility and Logistics services are estimated at EUR 357 000.

St. Germain en Laye, FR

For the Galileo Security Monitoring Centre no actual rent is paid, however the Agency has to cover associated costs such as occupiers' charges (fix amount of EUR 40 000 per year), utilities (EUR 130 000), facility management (EUR 651 100), security guards, furniture and IT. GSMC FR short-term extension (+300 msq refurbishment) was delivered Q2/2020.

Agency/CNES Toulouse, FR

Rental fee = EUR 122,4 p.sq.m.

Utilities and services fee = EUR 142,7 p.sq.m.

GSMC backup site, Spain

An initial capability (E2 building) has been made available by Spanish authorities in Q4/2018.

5.1.3 Building projects in the planning phase

Prague, CZ - HQ building extension, use of datacentres of CZ government and occupation charge

New premises, current HQ extension or utilisation of CZ datacentres is under negotiation with the Czech government; no further details known at the moment.

St. Germain en Laye, FR

New building is expected to be available as of Q3/2022.

Occupational charges and utilities are budgeted as a proportion of the current building costs.

GSMC backup site, Spain

An initial capability (E2 building) has been made available by Spanish authorities in Q4/2018, pending provision of the final configuration (E6 building), scheduled in Q1/2021.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

Following the opening of the Galileo Reference Centre (GRC) in The Netherlands, the Agency entered into a Mandate Level agreement with the European Commission for use of the accredited European School in proximity to Noordwijk, The Netherlands - Rijnlands Lyceum.

A European school is now being established in Paris and full accreditation is expected in Q1/2021.

5.3 Evaluation

According to the art.102 of the new Space Programme Regulation, the next evaluation shall be finalised by 30 June 2024.

The main findings of the latest evaluation available are as follows:

The most recent evaluation of the GSA has been undertaken by the Commission and is contained within the ‘Interim Evaluation of Galileo and EGNOS programmes and evaluation of the European GNSS Agency’, SWD(2017) 346, published on 23 Oct 2017. The main findings are included in section 10 and are copied below:

“The GSA has successfully achieved important objectives for the progress of the Galileo and EGNOS programmes and for the development of European GNSS downstream markets through an effective implementation of both core and delegated tasks. Key achievements of the Agency include the implementation in particular of testing activities that were required for the declaration of Galileo Initial Services; the contribution to the transition to Galileo exploitation phase with the award of the Galileo Service Operator (GSOp) contract; the management of the EGNOS operation contract and other responsibilities having led to a continuous EGNOS service provision; the smooth implementation of FP7, Horizon 2020 and Fundamental Elements R&D projects and downstream market development through monitoring, communication and promotion activities. The Agency's results have been in line with expectations and have been delivered within budget limits. The results of the Agency have been appreciated by various stakeholders. The EU Member States representatives shared a rather positive opinion on GSA results, pointing out the successful contribution to Galileo and EGNOS programmes' implementation, a good implementation of EU rules in the activities, a transparent reporting on the Agency's activities and results, and a willingness to achieve the objectives despite difficulties. The representatives of the downstream industry also shared a positive feedback on the GSA: 79% of respondents to the questionnaire estimated that the Agency had a positive or very positive impact on market development in the evaluation period.

Processes implemented by the GSA are defined by the legal framework governing the Agency with which the GSA is compliant. This compliance allows a good level of monitoring and evaluation of the Agency activities and contributes

to the effective implementation of the programmes. However, this is at the cost of a substantial administrative burden, which may have an impact on the efficiency of both the Agency and its stakeholders. The Agency has also been proactive to improve the effectiveness and efficiency of its delivery process. For example, the GSA has been certified ISO-9001 for its quality management system. Nevertheless, the Agency faces some issues, in particular related to its capacity to hire appropriate profiles in terms of seniority and expertise. This is due to a low attractiveness (salaries, reputation, location) and leads to a need to outsource a part of GSA activities, which increases costs and slows down internal capabilities building. This issue has become more prominent with the growing responsibilities and budget of the Agency. Between 2014 and 2016 with the increasing responsibilities entrusted to the GSA, the total budget managed by the Agency has grown by 85.9%, and the GSA staff has increased by 22.1%.”

<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52017SC0346&from=EN>

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Prague: VAT exemption	Headquarters agreement granting VAT exemption up to 100,000 CZK p.a. (approx. 3,600 EUR) but not diplomatic status (with the exception of the Executive Director)	Local infrastructure is used for medical care and multilingual tuition for children of staff.
St. Germain en Laye	No special privileges	Local infrastructure is used for medical care and multilingual tuition for children of staff.
Toulouse	No special privileges	Local infrastructure is used for medical care.
Spain	No special privileges	Local infrastructure is used for medical care and multilingual tuition for children of staff.
Netherlands	<ul style="list-style-type: none"> - VAT can be claimed on purchases above EUR 225 - VAT exemption on fuel, - Exempt from car import taxes 	Local infrastructure is used for medical care; European school is available for children of staff.

2.2 Decentralised agencies of Heading 2 – Cohesion, Resilience and Values

2.2.1 European Food Safety Authority – EFSA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) N° 178/2002	28/01/2002	<p>EFSA is the cornerstone of the EU system of risk assessment for food and feed safety. Its scientific advice on existing and emerging risks underpins the policies and decisions of risk managers in the European Institutions and EU Member States with the objective of protecting consumer health. The Authority's most critical commitment is to provide objective, transparent and independent advice and clear communication grounded in the most up-to-date scientific methodologies, information and data available. The Authority is committed to the core standards of scientific excellence, openness, transparency, independence and responsiveness.</p> <p>EFSA brings together Europe's best available experts in risk assessment in the field of food and feed safety, who act in an independent capacity for an autonomous, self-governed organisation to provide the European EU, Member States and the European Parliament with scientific advice of the highest standard.</p> <p>EFSA works closely with national food safety agencies and in open consultation with its stakeholders, proactively seeking input, ensuring the transparency of its procedures and exchanging information with international partners. This puts EFSA in a strong position to assist risk managers in developing coordinated and international approaches based on comprehensive and current analysis.</p> <p>EFSA is a responsive and reliable source of support for decision makers. Through its Scientific Committee, Scientific Panels and Working Groups, the Authority undertakes to respond quickly and proactively to urgent issues and emerging risks and EFSA's programming will continue to evolve and adapt in line with the priorities and needs of risk managers.</p> <p>Communicating on risks associated with the food chain is a key part of EFSA's mandate. EFSA, in close cooperation with the European Commission, strives to ensure all interested parties receive timely, reliable, objective and meaningful information based on the results of its scientific work, in liaison with national food safety authorities and stakeholders, and taking account of the needs of different audiences.</p> <p>EFSA will continue working independently, openly and transparently to deliver the best possible scientific advice and therefore contribute to strengthening the European food and feed safety system.</p>
Regulation (EU) 2019/1381	20/06/2019	<p>The new Transparency Regulation adopted in April 2019 to significantly increase the transparency of the EU risk assessment in the food chain, revisit the governance of EFSA in order to ensure its long-term sustainability, improve the coherence of risk communication and enhance the quality and reliability of studies.</p> <p>The measures introduced with the Transparency Regulation have generated modifications to the EFSA's multiannual plan which now include concrete actions that ensure the appropriate implementation of the regulation with the final aim for a more robust, independent and transparent risk assessment process.</p>

1.2 Seat

Parma, Italy

1.3 Budget Line

06 10 02 : European Food Safety Authority

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	255	248	97,25%	284	312
Assistants (AST)	99	97	97,98%	96	93
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	354	345	97,46%	380	405
Contract Agents (CA)	139	122	87,77%	146	167
Seconded National Experts (SNE)	15	14	93,33%	15	15
TOTAL STAFF	508	481	94,69%	541	587

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	115 558 184	131 506 693
Other Revenue	3 062 426	3 488 732
TOTAL REVENUES	118 620 610	134 995 425

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	52 858 256	52 858 256	57 137 781	57 137 781
Title 2 - Infrastructure and operating expenditure	14 627 465	14 627 465	14 418 910	14 418 910
Title 3 - Operational expenditure	61 649 137	51 134 889	78 535 202	63 438 734
TOTAL EXPENDITURE	129 134 858	118 620 610	150 091 893	134 995 425

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14										
AD 13		4		3		4		5		5
AD 12		4		4		4		5		5
AD 11		8		5		10		11		11
AD 10		19		15		20		23		23
AD 9	1	40		37	1	43	1	47	1	47
AD 8	3	62	3	61	4	68	4	68	4	68
AD 7	1	59	2	55		68		77		77
AD 6		43		49		52		60		60
AD 5		10		13		9		12		10
AD TOTAL	5	250	5	243	5	279	5	309	5	307
AST 11										
AST 10										
AST 9										
AST 8		1				2		3		3
AST 7		4		3		4		4		4
AST 6		6		7		9		11		11
AST 5		21		18		21		23		23
AST 4		34		32		32		29		29
AST 3		22		23		17		14		14
AST 2		11		13		11		9		9
AST 1				1						
AST TOTAL		99		97		96		93		93
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	5	349	5	340	5	375	5	402	5	400
GRAND TOTAL	354		345		380		407		405	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	116	94	122	141
Function Group III	8	9	7	10
Function Group II	15	19	17	16
Function Group I				
TOTAL	139	122	146	167

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	15	14	15	15

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	96 415 013	115 558 184	131 506 693	131 506 693	13,80%
- Of which assigned revenues deriving from previous years' surpluses	442 464	429 375	351 351	351 351	-18,17%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 433 390	3 062 426	3 488 732	3 488 732	13,92%
- Of which EEA/EFTA (excl. Switzerland)	2 433 390	3 062 426	3 488 732	3 488 732	13,92%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	31 358				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)	31 358				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	98 879 761	118 620 610	134 995 425	134 995 425	13,80%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	47 579 857	52 858 256	57 137 781	57 137 781	8,10%
Salaries & allowances	41 670 890	45 376 256	49 847 256	49 847 256	9,85%
- Of which establishment plan posts	33 002 658	35 727 000	38 657 000	38 657 000	8,20%
- Of which external personnel	8 668 232	9 649 256	11 190 256	11 190 256	15,97%
Expenditure relating to Staff recruitment	375 382	375 000	375 000	375 000	0,00%
Employer's pension contributions					
Mission expenses	24 757	155 000	170 000	170 000	9,68%
Socio-medical infrastructure	234 501	238 000	225 000	225 000	-5,46%
Training	293 855	500 000	516 025	516 025	3,21%
External Services	3 218 307	4 414 000	4 114 500	4 114 500	-6,79%
Receptions, events and representation					
Social welfare	1 762 165	1 800 000	1 890 000	1 890 000	5,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	12 911 593	14 627 465	14 418 910	14 418 910	-1,43%
Rental of buildings and associated costs	5 379 298	6 963 715	7 572 110	7 572 110	8,74%
Information, communication technology and data processing	6 825 547	5 527 000	5 385 000	5 385 000	-2,57%
Movable property and associated costs	326 407	1 494 000	712 800	712 800	-52,29%
Current administrative expenditure	157 720	300 750	367 000	367 000	22,03%
Postage / Telecommunications	184 801	245 000	245 000	245 000	0,00%
Meeting expenses	30 320	80 000	120 000	120 000	50,00%
Running costs in connection with operational activities					
Information and publishing	7 500	17 000	17 000	17 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	42 509 038	61 649 137	78 535 202	78 535 202	27,39%
Regulated Products	5 248 726	5 764 100	7 568 739	7 568 739	31,31%
Risk Assessment	5 065 435	6 072 399	7 570 046	7 570 046	24,66%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Scientific Cooperation & Strategy	11 893 391	22 640 253	36 015 842	36 015 842	59,08%
Communication	2 771 271	5 454 200	6 812 200	6 812 200	24,90%
Operational support	17 530 215	21 718 185	20 568 375	20 568 375	-5,29%
TOTAL	103 000 488	129 134 858	150 091 893	150 091 893	16,23%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	46 912 799	52 858 256	57 137 781	57 137 781	8,10%
Salaries & allowances	41 670 364	45 376 256	49 847 256	49 847 256	9,85%
- Of which establishment plan posts	33 002 658	35 727 000	38 657 000	38 657 000	8,20%
- Of which external personnel	8 667 706	9 649 256	11 190 256	11 190 256	15,97%
Expenditure relating to Staff recruitment	361 781	375 000	375 000	375 000	0,00%
Employer's pension contributions					
Mission expenses	24 757	155 000	170 000	170 000	9,68%
Socio-medical infrastructure	206 587	238 000	225 000	225 000	-5,46%
Training	231 090	500 000	516 025	516 025	3,21%
External Services	2 683 375	4 414 000	4 114 500	4 114 500	-6,79%
Receptions, events and representation					
Social welfare	1 734 845	1 800 000	1 890 000	1 890 000	5,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	9 460 532	14 627 465	14 418 910	14 418 910	-1,43%
Rental of buildings and associated costs	4 748 057	6 963 715	7 572 110	7 572 110	8,74%
Information, communication technology and data processing	4 194 930	5 527 000	5 385 000	5 385 000	-2,57%
Movable property and associated costs	313 674	1 494 000	712 800	712 800	-52,29%
Current administrative expenditure	37 671	300 750	367 000	367 000	22,03%
Postage / Telecommunications	140 755	245 000	245 000	245 000	0,00%
Meeting expenses	17 945	80 000	120 000	120 000	50,00%
Running costs in connection with operational activities					
Information and publishing	7 500	17 000	17 000	17 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	31 048 911	51 134 889	63 438 734	63 438 734	24,06%
Regulated Products	5 160 618	5 764 100	7 568 739	7 568 739	31,31%
Risk Assessment	4 952 646	6 072 399	7 570 046	7 570 046	24,66%
Scientific Cooperation & Strategy	7 683 241	12 087 889	20 919 374	20 919 374	73,06%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Communication	2 255 439	5 454 200	6 812 200	6 812 200	24,90%
Operational support	10 996 967	21 756 301	20 568 375	20 568 375	-5,46%
TOTAL	87 422 242	118 620 610	134 995 425	134 995 425	13,80%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): 351 351,04 EUR

4 Justification of needs

Commission assessment

Human Resources

As regards staffing of EFSA, the Commission acknowledges that 2022 will be the first year when the full needs for the General Food Law revision (The Transparency Regulation) are taken into account. The increase of 25 Temporary agents and of 6 contract agents follow the reinforcement foreseen therein.

In addition, EFSA is requesting an increase of 30 contract agents for 5 years to cope with a temporary capacity shortage mainly to address the workload (cumulated backlogs, new tasks, volumes of requests higher than capacity) in the area of the safety assessment of regulated products.

As a result, a compromise solution was found for 15 CA for 3 years. Therefore the Commission supports 587 FTEs compared to 542 FTEs authorized for 2021: 405 temporary agents (+25 FTE compared to 2021), 167 contract agents (+21 FTE compared to 2021) and 15 ENDS (no change compared to 2021).

The Commission took note that EFSA will not recruit 100% of the authorised number of contract agents but will keep four posts free, as it has “lent” them to ECHA for its Biocides activities. As a result, ECHA is therefore allowed to have an excess of 4 contract agents above their ceiling whereas EFSA must recruit contract agents below its ceiling (4 contract agents less).

The 2020 CAs occupancy rate is calculated as 88% however the 4 posts lent to ECHA are included in the 2020 nominal capacity of 139 and therefore the real number of empty (and available) posts on 31/12 was 13. Also to be noted is that in 2020, 20 TA posts were filled with internal CAs (of which 17 on new Transparency Regulation posts) which have only partially been replaced. The Agency currently is employing 129 CAs in house.

Financial Resources

The Commission acknowledges that EFSA request for EU contribution corresponds to the ceiling set by the 2021- 2027 Multiannual Financial Framework (MFF). The net (i.e. after deduction of the 2020 budget outturn of 0.4 million EUR) EU contribution will amount to 145.9 million EUR.

Due to the adoption of the revised General Food Law and the expansion of its mandate, EFSA received additional budget to cover additional staff expenditures as well as increased operational expenditures. The Commission takes note that the budget increase is implemented gradually over 3 years until 2022, and will thereafter remain stable (in 2018 prices) until the end of Multiannual Financial Framework.

No additional budget is requested to cover salary cost stemming from the additionally approved 15 CAs.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In 2022, 31 of the additional posts deriving from the Transparency Regulation will be added to EFSA’s capacity, leading to the expected increase of +85 TAs and +21 CAs compared to the 2019 staff capacity.

Moreover, additional 30 CAs for the period of 5 years are requested to cope with a temporary capacity shortage mainly to address the workload (cumulated backlogs, new tasks, volumes of requests higher than capacity) in the area of the safety assessment of regulated products. In a medium/long-term perspective, the legal provisions and the financial resources

granted via the amendments to the general food law (“Transparency Regulation” (TR) will enable EFSA to close the current workload/capacity shortage. Specifically, this will be achieved via the development of the upgraded operating model of EFSA which will rely more on sourcing grant-financed preparatory work from Member States risk assessment organizations.

In addition, 2 TA are requested for the work within the Partnership for the Assessment of Risk from Chemicals (PARC).

The main benefits of this intervention would be: avoidance of creation of additional backlog, anticipation of the recovery of historically accumulated backlogs by approximately 3 years and time to develop and implement the co-creation business model with Member States expert organizations.

Without the additional CAs, EFSA will continue aiming at delivering on its core business in close alignment with DG SANTE priorities; nevertheless, the backlog of mandates is expected to increase over the next years, a recovery from the cumulated delays cannot be expected before 2028, and timeliness of scientific advice will be challenged in the critical areas.

The additional CAs are requested in particular for:

- Animal feed: 3 CAs for feed dossiers and guidance documents updated
- Food ingredients & packaging: 11 CAs for flavourings applications, food additives re-evaluation, food contact materials, enzymes and Art.29 mandates
- Nutrition: 7 CAs for novel foods, Art. 8 of the Reg. (EC) No 1925/2006, nutrient profile, and upper level mandates and guidance documents updates
- Pesticides Peer Review: 8 CAs for the renewal of the approval of active substances, definition of risk assessments groups, pesticides guidance documents update
- Human resources: 1 CA for talent recruitment, induction, management
- The number of SNEs is expected to remain unchanged at 16 (out of which one funded by the Pre-Accession Programme).

4.1.2 Vacancy rate as of end 2020

5.3% (94.7% occupancy rate, excluding accepted offer letters)

4.5% (95.5% occupancy rate, excluding accepted offer letters and not including in EFSA’s capacity 4 posts lent to ECHA to be returned in 2023)

4.1.3 Standard abatement ('abatement forfaitaire') applied

0

4.1.4 Salary assumption for calculating salary line (% applied)

The per-capita average increase in salaries corresponds to 1.5% considering estimated salary adjustments/correction coefficient, career, advancements in steps as well as the increased shared of FGIV within the CA staff, based on average annual occupancy rate of 93.7% (taking into account the hiring time on the new 178 TR posts; and a foreseen occupancy rate of 97% by year end).

4.1.5 Correction coefficient used

Incorporation of the 2020 reduction from 95.2% to 95.0% of the weighting coefficient for Italy.

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

The request for Title 1 of EUR 57.1 million shows an increase of EUR 4.3 million compared to previous year. The major part of the planned expenditure is allocated to staff salaries and allowances (EUR 49.8 million) and the growth in the Title stems mainly from an increase of this category and related social expenses growing slightly to EUR 1.9 million. The cost of additional 15 CAs' requested salaries and allowances is estimated at EUR 1.1 million. EUR 4.1 million (slight decrease compared to 2021), is requested for external, mainly interim workers, services due to expected projects' implementation related demand.

4.2.2 Title 2

The budget request for Title 2 is EUR 14,4 million and is slightly below the level of 2021. Approximately half of this budget (EUR 7.5 million) is allocated to building expenditures to cover the loan cost for the purchase of the EFSA premises, related services and supplies as well as building investments. The latter are part of projects aimed to implement new ways of working as well as adapting the infrastructures to the changes stemming from the TR (such as investments to adapt the Management Board meeting room to accommodate a higher number of its members). The cost of IT and related infrastructure is estimated at EUR 5.4 million is line with previous years. A substantial decrease of EUR 0.8 million (from EUR 1.5 million authorised in 2021 to EUR 0.7 million in 2022) is expected in investments to movable property as the biggest part of planned investment into the meeting rooms technology equipment should be finalised in 2021.

4.2.3 Title 3

In Title III, the largest increase is expected for the scientific grants & procurements mainly due to implementation of the provisions of Transparency regulation: new ways of engaging with MS/AF/Art.36 organisations and a possible new approach to capacity building; preparatory work sharing with MSs; development of verification studies and studies in relation to new scientific developments becoming available.

Budget in horizontal operational support (EUR 20.6 million) shows a slight decrease compared to 2021, mainly due to a decrease in operational IT systems as investment into projects stemming from TR implementation is expected to slow down after reaching its peak in 2020-2021. On the contrary, more budget is planned for prepaid expert travel (as the physical meetings are expected to resume) and operational development and control (for the support to the new strategy 2022-2027 implementation).

4.3 Ad hoc grants and delegation agreements

EFSA started in June 2019 a new action: "Preparatory measures for the participation of IPA (Instrument for the Pre-Accession Assistance for EU candidate countries or potential EU candidate countries) beneficiaries in the European Food Safety Authority 2019-2021" with a budget of EUR 500,000. DG NEAR made available additional EUR 250,000 to extend this work up to 31 May 2022 in December 2019. With the additional funds, EFSA intends to continue to involve IPA beneficiaries in its work and to provide opportunities to strengthen the capacities for risk assessment and communication through the promotion of networking and joint activities between EFSA, IPA and Member States.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	EFSA seat, Parma, office building	Parma, Italy	14 200	13 300	27 500	1 646 000	25 year	ending December 2036	N/A	21 443 000
2	EFSA representative office	Brussels, Belgium	36		36	36 000	1 year	renewable	N/A	
3	EU Agencies' Network Shared Services Office	Brussels, Belgium	54		54	45 333	4 years	Renewable	N/A	
TOTAL			14 290	13 300	27 590	1 727 333				21 443 000

5.1.2 Current building(s) Other comments

- EFSA seat was acquired on 19.12.2011.
- Capital value remaining due at 31.12.2020 EUR 20 500 652.
- Annual instalment is EUR 1 650 000

The annual rent of EU Agencies' Network is shared amongst the EUAN, each agency contributing proportionally.

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

EFSA considers schooling to be an essential part of its staff policy. For this purpose, a European School type II (Scuola per l'Europa) was established in 2004 and accredited in 2008 under the European Schools system. The school offers teaching up to baccalaureate level. In 2009 the Italian authorities commissioned the construction of a new building to host the school (the current facilities being in an unsatisfactory condition) through a project with a cost totalling EUR 35 million (to be paid by the Italian authorities). Following the suspension of work on the building in 2012 (due to financial difficulties with the construction company) the new building was completed in 2017 and, for the start of the new 2017-2018 school year, the school moved to the new facilities.

A contribution to the EU-accredited European School in Parma worth around EUR 1.7 million was paid from EFSA's 2020 budget for the 2020-2021 school year. The amount budgeted for 2020 onwards has been increased to cover the expected increases both in the annual school fees and in the number of pupils also deriving from the planned increase in the staff number in view of the implementation of the Transparency Regulation. For the school year 2020-2021, 188 pupils are enrolled at the beginning of the school year.

5.3 Evaluation

As a result of the third external evaluation of EFSA, delivered in 2018, EFSA will continue the implementation of the six Management Board recommendations:

MB recommendations:

- Enhance capacity for fit-for-purpose and responsive scientific advice, improving the planning and responsiveness of the Authority
- Enhance communication activities to strengthen EFSA's reputation by increasing proactivity of communication and communicating more collaboratively
- Secure long-term efficiency and sustainability of operations, enhancing efficiency of the advice production system and finding additional ways to utilise expertise
- Continue the transformation to an open science organisation, making evidence transparent and reusable, making the advice-development process accessible, enhancing dialogue with stakeholders and safeguarding and explaining the organisation's independence
- Invest in preparedness to cope with complex futures scanning emerging risks, co-developing adequate assessment methodologies, co-developing European capacity for future risk governance and co-influencing EU research funding priorities
- Collaborate, cooperate and co-design to meet integrated 'one health' protection targets, upgrading collaboration to a strategic level, utilising the opportunities of big data and sharing in an unlimited manner to tackle complexity and resource constraints

External evaluation of individual or clustered activities:

In 2018 and 2019, two additional external evaluations took place, one ex post (STEP 2018 project) and one mid-term (EFSA Strategy 2020 implementation).

- Pending follow-up actions identified by the ex post evaluation of the ‘STEP 2018’ project will be implemented in 2021 in the context of: i) the new EFSA organisational design and process architecture (centralisation of planning and monitoring roles) and ii) the new EFSA strategy and performance framework (streamlining of monitoring and reporting).

- EFSA already carried out a mid-term evaluation of the “EFSA Strategy 2020”, to take stock of progress made in EFSA’s current strategy implementation and identify lessons learned for the new strategy 2027. The results and conclusions of the evaluation fed in the preparation of the new strategy to be finalised in 2021.

The follow-up actions and recommendations from internal evaluations (ex-ante project charters and ex-post project closing reports) are captured in EFSA’s continuous Plan-Do-Check-Act cycle.

5.4 Privileges and immunities

<i>Agency privileges</i>	<i>Privileges granted to staff</i>	
	<i>Protocol of privileges and immunities/diplomatic status</i>	<i>Education /daycare</i>
In the seat agreement the Italian government committed to applying to the authority the privileges and immunities provided for in the Protocol on the Privileges and Immunities of the European Communities, signed in Brussels on 8 April 1965	The executive director of the authority and members of the senior management team, their spouses and dependent family members are granted the privileges and immunities, facilities and concessions that are granted by the Italian government to members of equivalent rank in the diplomatic corps in Italy	
The authority, its assets and funds, wherever they may be, are immune — during the performance of their official activities — from any form of legal proceedings and are not the subject of any administrative or legal measure of constraint	Staff are exempt from national taxes on salaries, wages and emoluments paid by the authority	
The premises and the buildings used by the authority, as well as the archives, are inviolable	Staff are immune from legal proceedings in respect of acts performed by them in the exercise of their official duties	
The authority, its funds, assets and income are, within the limits of their official activities, exempt from all the taxes and direct duties due to the state, regions, provinces and municipalities	Staff are, in respect of exchange regulations, accorded the same facilities as those accorded to officials of equal rank on foreign diplomatic missions in Italy and receive the same assistance with repatriation as is granted to diplomats in the event of international crises	
The authority is exempt from VAT for substantial purchases of goods and services relating to its official tasks and the exercise of its duties	Staff benefit, within a period of 2 years starting from the official move of the authority to its permanent seat or appointment by the authority, whichever is later, from a tax installation benefit — VAT exemption — on the purchase of furniture and other household goods necessary for their installation	
The authority is exempt from any customs duty, tax, prohibition or restriction on goods of any type imported or exported in the exercise of its own official activities The authority is exempt from taxes, duties and any other fees, as well as from any prohibition or restriction on importing vehicles intended ‘for official activities’ and on the relevant spare parts	Members of staff who are not permanent residents in Italy on taking up their functions with the authority, or staff members employed by the authority prior to the move to Parma, may acquire one motor vehicle duty and tax free during their period of residence in Italy; the vehicle is registered in a special series	

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 851/2004 of the European Parliament and of the Council	21/04/2004	<p>ECDC Vision:</p> <p>A future where all citizens of the EU enjoy the best protection from communicable diseases that the “state of the art” prevention and control measures allow, through the use of evidence based methods applied by Member States and the EU system in a mutually supportive manner.</p> <p>Article 3 of the Founding Regulation defines the mission, tasks and modes of operations of ECDC. The essence of this Article is:</p> <p>That ECDC’s current mission should concentrate on communicable diseases (and outbreaks of unknown origin).</p> <p>That ECDC should be a proactive centre of excellence as regards information and scientific knowledge on all aspects of communicable diseases that relate to their detection, prevention and control.</p> <p>That ECDC; last but not least, should be an agent of change , by actively supporting the whole EU system and its Member States in their efforts to strengthen their capacity to improve CD Prevention and Control.</p> <p>Further on the regulations stipulates that (article 3):</p> <p>‘In order to enhance the capacity of the EU and the Member States to protect human health through the prevention and control of human disease, the mission of the Centre shall be to identify, assess and communicate current and emerging threats to human health from communicable diseases. In the case of other outbreaks of illness of unknown origin which may spread within or to the EU, the Centre shall act on its own initiative until the source of the outbreak is known. In the case of an outbreak which clearly is not caused by a communicable disease, the Centre shall act only in cooperation with the competent authority upon request from that authority. In pursuing its mission the Centre shall take full account of the responsibilities of the Member States, the Commission and other EU agencies, and of the responsibilities of international organisations active within the field of public health, in order to ensure comprehensiveness, coherence and complementarity of action’.</p> <p>Within the field of its mission, the Centre shall:</p> <ul style="list-style-type: none"> - search for, collect, collate, evaluate and disseminate relevant scientific and technical data; - provide scientific opinions and scientific and technical assistance including training; - provide timely information to the Commission, the Member States, EU agencies and international organisations active within the field of public health; - coordinate the European networking of bodies operating in the fields within the Centre’s mission, including networks arising from public health activities supported by the Commission and operating the dedicated surveillance networks; - exchange information, expertise and best practices, and facilitate the development and implementation of joint actions.
COM/2008/741 SEC 2008 2792	13/11/2008	The report of the external evaluation confirms that ECDC succeeded in establishing itself as a centre of scientific excellence and that it brings added value in the prevention and control of communicable diseases.

1.2 Seat

Solna, Stockholm, Sweden

1.3 Budget Line

06 10 01 : European Centre for Disease Prevention and Control

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	126	120	95,24%	144	148
Assistants (AST)	51	50	98,04%	61	62
Assistants/Secretaries (AST/SC)	3	3	100,00%	3	5
ESTABLISHMENT PLAN POSTS	180	173	96,11%	208	215
Contract Agents (CA)	120	112	93,33%	138	130
Seconded National Experts (SNE)	5	3	60,00%	5	5
TOTAL STAFF	305	288	94,43%	351¹	350

(1) This figure contains the reinforcement from AB1/2020 (continuation), Health package, AB2/2021 (frontloading from Health package).

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	79 995 020 ¹	83 600 000
Other Revenue	2 120 000	2 173 000
TOTAL REVENUES	82 115 020	85 773 000

(1) The EU contribution for 2021 will be further increased to finance the COVID-19 related genome sequencing actions (HERA Incubator action) amounting to 106 million EUR

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)	3 000 000	7 000 573
TOTAL REVENUES	3 000 000	7 000 573

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	36 465 000	36 465 000	37 625 000	37 625 000
Title 2 - Infrastructure and operating expenditure	8 218 000	8 218 000	8 630 000	8 630 000
Title 3 - Operational expenditure	37 432 000	37 432 000	39 518 000	39 518 000
TOTAL EXPENDITURE	82 115 000	82 115 000	85 773 000	85 773 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		2		1		2		2		2
AD 13		3				3		3		3
AD 12		7		5		7		7		7
AD 11		8		6		8		8		8
AD 10		23		8		25		25		25
AD 9		24		14		24		24		24
AD 8		22		30		24		25		25
AD 7		26		14		29		29		29
AD 6		10		14		18		21		21
AD 5				28		3		3		3
AD TOTAL		126		120		144		148		148
AST 11										
AST 10		1				1		1		1
AST 9		2				2		2		2
AST 8		3		3		3		3		3
AST 7		11		3		11		11		11
AST 6		10		10		10		10		10
AST 5		15		11		15		15		15
AST 4		5		16		10		13		13
AST 3		4		3		7		7		7
AST 2				1		2				
AST 1				3						
AST TOTAL		51		50		61		62		62
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3		3				3		5		5
AST/SC 2										
AST/SC 1				3						
AST/SC TOTAL		3		3		3		5		5
TOTAL		180		173		208		215		215
GRAND TOTAL		180		173		208 ¹		215		215

(1) This figure contains the reinforcement from AB1/2020 (continuation), Health package, AB2/2021 (frontloading from Health package).

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	67	62	85	76
Function Group III	40	36	40	41
Function Group II	11	12	12	12
Function Group I	2	2	1	1
TOTAL	120	112	138¹	130

(1) This figure contains the reinforcement from AB1/2020 (continuation), Health package, AB2/2021 (frontloading from Health package).

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	5	3	5	5

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	58 991 486	79 995 020 ¹	83 600 000	83 600 000	4,51%
- Of which assigned revenues deriving from previous years' surpluses		1 469 306	3 071 478	3 071 478	109,04%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 481 590	2 120 000	2 173 000	2 173 000	2,50%
- Of which EEA/EFTA (excl. Switzerland)	1 481 590	2 120 000	2 173 000	2 173 000	2,50%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	60 473 076	82 115 020	85 773 000	85 773 000	4,45%

(1) The EU contribution for 2021 will be further increased by EUR 106 million through AB2/2021 and budgetary authority transfer to finance the COVID-19 related genome sequencing actions (HERA Incubator action).

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)	46 256	3 000 000	7 000 573	7 000 573	133,35%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	46 256	3 000 000	7 000 573	7 000 573	133,35%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	33 039 806	36 465 000	37 625 000	37 625 000	3,18%
Salaries & allowances	29 419 341	32 295 000	33 348 000	33 348 000	3,26%
- Of which establishment plan posts	22 482 367	23 825 000	24 158 000	24 158 000	1,40%
- Of which external personnel	6 936 974	8 470 000	9 190 000	9 190 000	8,50%
Expenditure relating to Staff recruitment	228 457	375 000	395 000	395 000	5,33%
Employer's pension contributions					
Mission expenses	92 948	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	151 213	160 000	180 000	180 000	12,50%
Training	430 574	500 000	500 000	500 000	0,00%
External Services	2 714 573	2 920 000	2 967 000	2 967 000	1,61%
Receptions, events and representation	2 700	15 000	35 000	35 000	133,33%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	8 247 810	8 218 000	8 630 000	8 630 000	5,01%
Rental of buildings and associated costs	4 379 049	4 445 000	4 500 000	4 500 000	1,24%
Information, communication technology and data processing	2 500 087	2 773 000	3 000 000	3 000 000	8,19%
Movable property and associated costs	297 987	123 000	150 000	150 000	21,95%
Current administrative expenditure	287 250	205 000	250 000	250 000	21,95%
Postage / Telecommunications	207 126	175 000	180 000	180 000	2,86%
Meeting expenses	576 311	497 000	550 000	550 000	10,66%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	19 185 460	37 432 000	39 518 000	39 518 000	5,57%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
TOTAL	60 473 076	82 115 000	85 773 000	85 773 000	4,45%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	33 039 806	36 465 000	37 625 000	37 625 000	3,18%
Salaries & allowances	29 419 341	32 295 000	33 348 000	33 348 000	3,26%
<i>- Of which establishment plan posts</i>	22 482 367	23 825 000	24 158 000	24 158 000	1,40%
<i>- Of which external personnel</i>	6 936 974	8 470 000	9 190 000	9 190 000	8,50%
Expenditure relating to Staff recruitment	228 457	375 000	395 000	395 000	5,33%
Employer's pension contributions					
Mission expenses	92 948	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	151 213	160 000	180 000	180 000	12,50%
Training	430 574	500 000	500 000	500 000	0,00%
External Services	2 714 573	2 920 000	2 967 000	2 967 000	1,61%
Receptions, events and representation	2 700	15 000	35 000	35 000	133,33%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	8 247 810	8 218 000	8 630 000	8 630 000	5,01%
Rental of buildings and associated costs	4 379 049	4 445 000	4 500 000	4 500 000	1,24%
Information, communication technology and data processing	2 500 087	2 773 000	3 000 000	3 000 000	8,19%
Movable property and associated costs	297 987	123 000	150 000	150 000	21,95%
Current administrative expenditure	287 250	205 000	250 000	250 000	21,95%
Postage / Telecommunications	207 126	175 000	180 000	180 000	2,86%
Meeting expenses	576 311	497 000	550 000	550 000	10,66%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	19 185 460	37 432 000	39 518 000	39 518 000	5,57%
TOTAL	60 473 076	82 115 000	85 773 000	85 773 000	4,45%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 3 071 478.28

4 Justification of needs

Commission assessment

Human Resources

The Commission acknowledges the agency request for 350 staff in 2022, compared to 351 in 2021. The request includes the reinforcement following the ECDC extended mandate (increase of 7 FTE in temporary agents and 2 contract agents). This request takes into consideration the increase already in 2021 of 10 FTE in temporary agents and a net decrease of 8 contract agents, based on the reinforcement of the ECDC budget for the genome sequencing actions and the planned decrease with 10 CA of the 20 additional CA received in 2020 as a 2 year COVID-19 reinforcement.

ECDC was granted 20 additional posts in late April 2020 due to the work on the COVID-19 pandemic. Recruitments were carried out as quickly as possible given the challenges posed by the pandemic. Up until end of 2020 a total of 13 of the 20 posts have been filled with starting dates between 09/2020 and 03/2021. In addition, there are ongoing recruitments for 2 posts. These are all operational posts directly linked to the Pandemic, such as mathematical modellers. The COVID-19 posts for which the recruitment did not yet start will not be carried out but the new posts will instead be used. The aim is to phase out the filled COVID-19 posts either by end of contract (latest mid-2023), via internal transfer or resignations.

Moreover, ECDC was granted 13 additional CA in 2021 to implement the objectives under the Health Union package. The reinforcement was needed in the international affairs team, in the response – outbreak assistance team and its secretariat, TESSy enlargement and EWRS scale-up, SoHo and laboratory network team amongst others. In 2021, it is also granted additional 5 CAs (FG IV) for the genome sequencing action.

Financial Resources

The Commission notes that the Centre's request is in line with the Commission instructions.

The 2020 outturn of 3.1 million EUR has to be deducted from the 2022 contribution, so the net contribution will amount €80.5 million. The contribution includes the reinforcement from the Health Union package of 23.4 million EUR.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In view of the ongoing COVID-19 pandemic and a potential expansion of the Centre's mandate, the workload will remain high in the years to come. Specific funding for 20 additional Contract Agents was granted to the Centre in 2020 for a period of two years to support the Centre in its work on COVID-19. Recruitments were carried out as quickly as possible given the challenges posed by the pandemic. Up until end of 2020 a total of 13 of the 20 posts have been filled with starting dates between 09/2020 and 03/2021. In addition, there are ongoing recruitments for 2 posts. These are all operational posts directly linked to the Pandemic, such as mathematical modellers. The COVID-19 posts for which the recruitment did not yet start will not be carried out but the new posts will instead be used. The aim is to phase out the filled COVID-19 posts either by end of contract (latest mid-2023), via internal transfer or resignations.

Furthermore, the Centre has received funding for several projects which include around 20 additional Contract Agents. The majority of these Contract Agent posts are operational posts (such as Scientific Officers). Considering both the workload linked to the pandemic as well as the work on externally funded projects, the Centre will see a growth in its staff population in the years to come. As a consequence, ECDC has in 2021 received 31 additional posts and following the Commission proposal for a new mandate it's proposed to further strengthen staff capacity with an additional 42 posts between 2022 to 2024 (73 posts in total between 2021 and 2024).

In total, ECDC requests 351 FTEs in 2022.

4.1.2 Vacancy rate as of end 2020

The average vacancy rate for Temporary Agent posts represents 3.3% (96.7% post occupied)

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget 2022 forecasts to accommodate the salaries and salary related costs of the implemented establishment plan and the Centre's contract staff. The budget for salary related expenditures increases by 3.1%. The correction coefficient applied to the salaries in Sweden, which is driven, to a large extent, by the fluctuations of the Swedish krona.

4.1.5 Correction coefficient used

The correction coefficient is applied to the salaries in Sweden, which is driven by the fluctuations of the Swedish krona.

4.1.6 Exchange rate used (if applicable)

The exchange rate SEK/EURO is applied by the Centre.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget 2022 forecasts to accommodate the salaries and salary related costs of the implemented establishment plan and the Centre's contract staff. The budget for salary related expenditures increases by 3.1%, compared to the amended 2021 budget, to match the reinforcement of ECDC with additional Temporary and Contract Agent staff posts. As it was observed in previous years, the impact on the budget line for the weightings applied to the remunerations, remains an unknown and unpredictable macro-economic part of the ECDC's budget planning and its execution. This is due to the correction coefficient applied to the salaries in Sweden, which is driven, to a large extent, by the fluctuations of the Swedish krona

4.2.2 Title 2

The total budget for Title II increases by 5% to cater for additional needs for infrastructure expenditure, due to the further reinforcement of the establishment plan of the Centre.

4.2.3 Title 3

The operational budget 2022, under Title III, increases by 5.5%, compared to the amended budget of 2021. It increases by 63% if compared to the initial budget of 2021 and is forecasted to reach EUR 39 518 000. This significant increase will be used to implement ECDC work programme activities through external procurements, grants and meetings.

4.3 Ad hoc grants and delegation agreements

There are three Contribution Agreements in place:

- 1) Contribution Agreement: EU for Health Security in Africa EDF/2019 CRIS 416-738 signed with DEVCO, staffing 8 CA for 48 months
- 2) Contribution Agreement IPA6 CN 2019/409-781 & CN 422-255 signed with DG NEAR Staffing, 2 CA for 60 months (there was an amendment that increased the staffing from 1 to 2 CA)
- 3) Contribution Agreement: EU Initiative on Health Security ENI/2020/415-420 DG NEAR Staffing, 11 CA for 63 months

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Gustav III boulevard 40 Hilton 3 building -Main Building	16973, Solna, Sweden	9 407	4 905	14 312		Contract start date 27/2/2018. Expiration date 27/2/33.	15-year contract with the option of 2 renewals of 5 years each. Termination notice period 12 months.	No financial support is provided by the Host Country.	
TOTAL			9 407	4 905	14 312					

5.1.2 Current building(s) Other comments

The rent cost projection without indexation for 2021 is 19,491,840 SEK per year. The same applies in 2022.

In 2022 there is no financial support provided by the Host Country.

5.1.3 Building projects in the planning phase

There is a building project put in operation in the spring 2018. The total surface is 9407 sqm. A new 15-year contract with the option of 2 renewals of 5 years each was signed. The contract start date is 27/2/2018 and the expiration date is 27/2/33

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

There are a number of alternatives regarding international schooling within the region where the Centre is situated (international schools, German, British, French, Finnish schools). There is no European school in Stockholm.

Public schools, whether Swedish or international, are free of charge. Private school fees are high; although national grants per student reduce fees. However, the private International School situated in the Stockholm City Centre charges very high fees and the double educational allowance only covers a minimal part of the fees of this school.

It should be noted that the seat agreement between the Centre and the Swedish government provides for the possibility to consider a European section or school.

5.3 Evaluation

External evaluation:

ECDC's Founding Regulation requires the Centre to organise external evaluations every five years to assess how well it is performing its mission.

In 2018, ECDC launched its third external evaluation. A steering group of the Management Board (MB), the External Evaluation Steering Committee (MEES), composed of representatives of the Member States, the European Commission, and the European Parliament prepared the terms of reference, approved by the MB in March 2018. The third external evaluation, conducted by Price Waterhouse Cooper (PwC) started in September 2018 and was finalised in October 2019. In November 2019, the MEES presented a set of draft recommendations to the Management Board for discussion and possible approval. The ECDC MB approved the recommendations in June 2020 and subsequently the report together with the recommendations was published on the ECDC website. The report was also shared with the EU Institutions. The accepted recommendations will be integrated in the ECDC 2021/2022 work programme.

Internal evaluations:

ECDC has had a procedure for the internal evaluation of its activities and outputs since 2015. Every year a number of ECDC's projects or products have been assessed. The following internal evaluations have taken place:

- 2015: ECDC assessment of the ECDC IT governance;
- 2016: evaluation on the deployment of ECDC experts in Africa;

- 2017: start of the evaluation of the ECDC Disease Programmes with the development of a common protocol for all Disease Programmes evaluations. The first two programmes to be evaluated were Influenza other Respiratory Viruses (IRV) in 2018 and Food and Waterborne Diseases (FWD) in 2019; – outsourced;
- 2018: evaluation of ECDC’s intranet;
- 2019: evaluation of the document management system - outsourced
- 2019: evaluation of ECDC Fellowship Programme - outsourced
- 2018-2020: EPHESUS (evaluation of the surveillance systems) – outsourced
- 2019-2020: TB programme – outsourced
- 2020: Strategic and performance analysis of ECDCs response to the COVID-19 pandemic - outsourced.

The scope of the procedure is described in the Internal Control Framework 12:

‘The Agency deploys control activities through corporate policies that establish what is expected and in procedures that put policies into action’,

and: ‘The impact assessment and evaluation of financial expenditure and other non-spending activities are performed in accordance with the guiding principles of the Commission’s better regulation guidelines, to assess the performance of EU interventions and analyse options and related impacts on new initiatives.’

All evaluations are linked to the activities in the Single Programming Document. Evaluations are generally conducted ex-post or mid-term. Evaluations should be carried out for interventions such as work programme activities, programmes, projects, processes, the work of disease networks and more generic functions performed by the Centre (e.g. preparedness, epidemic intelligence, procurement).

An annual evaluation plan and indicative three years multi-annual evaluation plan are approved by the Director, after consultation of the relevant internal stakeholders.

In addition, the Financial Regulation (art. 29(5)) requires regular ex-ante, interim or ex-post evaluations for certain interventions.

The multi-annual evaluation plan is drawn up taking into account the life cycle of the interventions, the operational and strategic needs of the Units, general requirements for evaluation, and any specific requirement for evaluation as set out in the legal base of the intervention.

All interventions addressed to external parties are periodically evaluated in proportion with the allocated resources and the expected impact.

The timing of evaluations must enable the results to be fed into decisions on the design, renewal, modification or suspension of activities.

The criteria applied to rank and select potential evaluation topics are: strategic or reputational impact, recurrent activities and programmes/projects with substantial annual budget, need for improvement or interest to be evaluated.

Stakeholder surveys:

In 2015, ECDC launched a first stakeholder survey targeted to members of the Management Board, Advisory Forum, Competent Bodies, National Focal Points, and relevant external stakeholders (EU institutions, relevant EU agencies, international organisations). The results of the survey were presented to the Management Board. In 2015 the corrective actions were included in a common action plan with the external evaluation. A second survey was done in 2016. The next stakeholder survey has been postponed to avoid duplication with the third external evaluation of ECDC and the external “Strategic and performance analysis of ECDC response to COVID-19 pandemic” performed in 2020, and therefore will take place in the end of 2021.

Monitoring of ECDC work programme implementation:

The implementation of the ECDC work programme is managed through a Management Information System, as well as dedicated dashboards reviewed monthly by the Director and Heads of Units. For all projects, quarterly meetings are organised with each Unit to ensure the follow up and escalation of risks and issues to the senior management and the Director when needed. An update of the progress of implementation of the work programme is given at each meeting of the Management Board.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency enjoys the privileges stipulated in the Protocol on the Privileges and Immunities of the European Union (Articles 1 to 4 of the Protocol)	<p>Articles 11 to 15 of the Protocol on the Privileges and Immunities of the European Union are applicable to the staff of the Centre.</p> <p>This includes:</p> <ul style="list-style-type: none"> - Immunity from jurisdiction as regards acts carried out by them in their official capacity. - Exemption from regulations restricting immigration and formalities for the registration of foreigners. - Right to import household effects from their last country of residence or from the country of which they are nationals <p>The Director of the Centre and the Deputy to the Director together with their families are granted the immunities and privileges accorded to heads of diplomatic missions and members of their families.</p>	<p>Family members of staff have access to day care/education in accordance with Swedish legislation.</p>

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks/ Functions
Regulation (EC) 726/2004 of the European Parliament and the Council of 31 March 2004 (replacing Council Regulation (EEC) No 2309/93 of 22 July 1993)	24/08/1993	Founding Regulation: In order to promote the protection of human and animal health and of consumers of medicinal products throughout the Community, and in order to promote the completion of the internal market through the adoption of uniform regulatory decisions based in scientific criteria concerning the placing on the market and the use of medicinal products, the objectives of the Agency shall be to provide the Member States and the institutions of the Community with the best possible scientific advice on any question relating to the evaluation of the quality, the safety, and the efficacy of medicinal products for human and veterinary use, which is referred to it in accordance with the provisions of the Community legislation relating to medicinal products.
Council Regulation (EC) No 297/95 of 10 February 1995	15/02/1995	Regulation on fees payable to the EMA laying down the fee levels and its implementation for centrally authorised medicinal products.
Regulation (EC) No 141/2000 of European Parliament and the Council of 16 December 1999	20/01/2000	Orphan Medicines Regulation laying down a Community procedure for the designation of medicinal products as orphan medicinal products and to provide incentives for the research, development and placing on the market of designated orphan medicinal products.
Directive 2001/82/EC of European Parliament and the Council	28/11/2001	Community code relating to veterinary medicinal products
Directive 2001/83/EC of European Parliament and the Council	28/11/2001	Community code relating to medicinal products for human use
Directive 2004/24/EC of the European Parliament and the Council of 31 March 2004	30/04/2004	Traditional herbal medicinal products Directive amending, as regards traditional herbal medicinal products, Directive 2001/83/EC on the Community code relating to medicinal products for human use
Commission Regulation (EC) No 2049/2005 of 15 December 2005	16/12/2005	SME Regulation laying down rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises
Regulation (EC) No 1901/2006 of the European Parliament and the Council of 12 December 2006	26/01/2007	Paediatrics Regulation laying down rules concerning the development of medicinal products for human use in order to meet the specific therapeutic needs of the paediatric population, without subjecting the paediatric population to unnecessary clinical or other trials and in compliance with Directive 2001/20/EC.
Commission Regulation 658/2007 of 14 June 2007	15/06/2007 applicable from 04/07/2007	Financial penalties for infringement of certain obligations in connection with marketing authorisations granted under Regulation (EC) No 726/2004.
Regulation (EC) No 1394/2007 of the European Parliament and the Council of 13 November 2007	30/12/2007	Advance Therapies Regulation laying down specific rules concerning the authorisation, supervision and pharmacovigilance of advanced therapy medicinal products.
Commission Regulation 1234/2008 of 24 November 2008	01/01/2009 applicable from 01/01/2010	Variations Regulation laying down provisions concerning the examination of variations to the terms of the following marketing authorisations for medicinal products for human use and veterinary medicinal products.
Regulation (EC) No 470/2009 of the European Parliament and the Council of 6 May 2009	16/06/2009 applicable from 05/07/2009	Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuff of animal origin.
Commission Regulation (EC) No 668/2009	24/07/2009	Implementing Regulation (EC) No 1349/2007 with regards to the evaluation and certification of quality and non-quality data relating to advanced therapies medicinal products [...] developed by SMEs.
Regulation (EU) No 1235/2010 of the European Parliament and the Council of 15 December 2010	01/01/2011 applicable from 02/07/2012	Pharmacovigilance Regulation amending Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use [...] and Regulation (EC) No 1394/2007 on advanced therapy medicinal products.
Regulation (EU) No 536/2014 of the European Parliament and the Council of 16 April 2014	Applicable not earlier than 28 May 2016	Regulation on Clinical trials on medicinal products for human use, and repealing Directive 2001/20/EC

Regulation (EU) No 658/2014 of the European Parliament and the Council of 15 May 2014	15/05/2014 applicable from 26/08/2014	Pharmacovigilance Fee Regulation laying down fees payable to the European Medicines Agency for the conduct of pharmacovigilance activities in respect of medicinal products for human use.
Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017	5 April 2017 applicable from 26 May 2020	Regulation of medical devices in the European Union, amending Directive 2001/83, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Directives 90/385 and 93/42/EEC.
Regulation (EU) 2017/746 of the European Parliament and of the Council of 5 April 2017	5 April 2017 Applicable from 22 May 2022	Regulation of in vitro diagnostic medical devices in the European Union, repealing Directive 98/79/EC and Commission Decision 2010/227/EU.
Regulation (EU)2019/6 of the European Parliament and of the Council of 11 December 2018	11/12/2018 applicable from 28 January 2022	Regulation of veterinary medicinal products in the European Union , repealing Directive 2001/82/EC.

1.2 Seat

6 Domenico Scarlattilaan, 1083 HS Amsterdam, The Netherlands

1.3 Budget Line

06 10 03 01 : Union contribution to the European Medicines Agency

06 10 03 02 : Special contribution for orphan medicinal products

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	395	395	100,00%	472	477
Assistants (AST)	201	201	100,00%	185	185
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	596	596	100,00%	657	662
Contract Agents (CA)	213	199	93,43%	211	223
Seconded National Experts (SNE)	45	28	62,22%	33	30
TOTAL STAFF	854	823	96,37%	901	915

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	55 448 000	44 550 000
Other Revenue	330 471 000	352 997 000
TOTAL REVENUES	385 919 000	397 547 000

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	128 126 000	128 126 000	134 349 000	134 349 000
Title 2 - Infrastructure and operating expenditure	56 175 000	56 175 000	54 735 000	54 735 000
Title 3 - Operational expenditure	201 618 000	201 618 000	208 463 000	208 463 000
TOTAL EXPENDITURE	385 919 000	385 919 000	397 547 000	397 547 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		3		3		3		3		3
AD 14		8		8		9		10		10
AD 13		12		12		13		13		13
AD 12		44		44		45		50		50
AD 11		47		47		51		52		52
AD 10		44		44		51		50		50
AD 9		46		46		55		62		62
AD 8		66		66		71		77		77
AD 7		76		76		94		97		97
AD 6		46		46		65		67		60
AD 5		3		3		15		13		3
AD TOTAL		395		395		472		494		477
AST 11		2		2		2		2		2
AST 10		7		7		7		7		7
AST 9		8		8		9		10		10
AST 8		19		19		10		13		13
AST 7		15		15		19		19		19
AST 6		15		15		20		26		26
AST 5		39		39		38		43		43
AST 4		52		52		46		42		42
AST 3		44		44		32		23		23
AST 2						2				
AST 1										
AST TOTAL		201		201		185		185		185

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		596		596		657		679		662
GRAND TOTAL	596		596		657		679		662	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	92	98	125 ¹	142
Function Group III	111	73	76	81
Function Group II	10	28	10	
Function Group I				
TOTAL	213	199	211	223

(1) Includes 8 contract agents in the frame of the European Health Union package

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	45	28	33	30

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	316 888 819	330 409 000	351 719 150	351 719 150	6,45%
2 EU CONTRIBUTION	58 880 754	55 448 000	44 550 000	44 550 000	-19,65%
- Of which assigned revenues deriving from previous years' surpluses	13 802 754			4 368 321	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)			1 202 850	1 202 850	100%

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
- Of which EEA/EFTA (excl. Switzerland)			1 202 850	1 202 850	100%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS		62 000	75 000	75 000	20,97%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	27 461 439				
7 CORRECTION OF BUDGETARY IMBALANCES	476 450				
TOTAL	403 707 462	385 919 000	397 547 000	397 547 000	3,01%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	114 634 112	128 126 000	134 349 000	134 349 000	4,86%
Salaries & allowances	104 979 006	112 009 000	117 679 000	117 679 000	5,06%
- Of which establishment plan posts	104 979 006	112 009 000	117 679 000	117 679 000	5,06%
- Of which external personnel					
Expenditure relating to Staff recruitment	199 235	300 000	300 000	300 000	0,00%
Employer's pension contributions					
Mission expenses	137 782	750 000	1 015 000	1 015 000	35,33%
Socio-medical infrastructure	1 695 264	2 734 000	2 644 000	2 644 000	-3,29%
Training	555 731	770 000	830 000	830 000	7,79%
External Services	7 000 918	11 438 000	11 741 000	11 741 000	2,65%
Receptions, events and representation	66 176	125 000	140 000	140 000	12,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	82 926 883	56 175 000	54 735 000	54 735 000	-2,56%
Rental of buildings and associated costs	41 541 362	15 397 000	15 606 000	15 606 000	1,36%
Information, communication technology and data processing	32 334 229	29 382 000	30 232 000	30 232 000	2,89%
Movable property and associated costs	1 221 641	648 000	660 000	660 000	1,85%
Current administrative expenditure	886 940	3 890 000	1 157 000	1 157 000	-70,26%
Postage / Telecommunications	35 404	60 000	61 000	61 000	1,67%
Meeting expenses	269 686	320 000	324 000	324 000	1,25%
Running costs in connection with operational activities	1 703 201	1 061 000	1 222 000	1 222 000	15,17%
Information and publishing	1 240 950	2 567 000	2 663 000	2 663 000	3,74%
Studies	3 693 470	2 850 000	2 810 000	2 810 000	-1,40%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	167 872 237	201 618 000	208 463 000	208 463 000	3,40%
Meetings	1 309 092	7 000 000	8 240 000	8 240 000	17,71%
Evaluation of medicines	133 570 796	134 042 000	144 773 000	144 773 000	8,01%
Translation expenses	5 046 746	5 184 000	5 533 000	5 533 000	6,73%
Studies and consultants	7 490 376	7 400 000	12 636 000	12 636 000	70,76%
Information and publication	20 455 227	47 992 000	37 281 000	37 281 000	-22,32%
TOTAL	365 433 232	385 919 000	397 547 000	397 547 000	3,01%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	114 634 112	128 126 000	134 349 000	134 349 000	4,86%
Salaries & allowances	104 979 006	112 009 000	117 679 000	117 679 000	5,06%
- Of which establishment plan posts	104 979 006	112 009 000	117 679 000	117 679 000	5,06%
- Of which external personnel					
Expenditure relating to Staff recruitment	199 235	300 000	300 000	300 000	0,00%
Employer's pension contributions					
Mission expenses	137 782	750 000	1 015 000	1 015 000	35,33%
Socio-medical infrastructure	1 695 264	2 734 000	2 644 000	2 644 000	-3,29%
Training	555 731	770 000	830 000	830 000	7,79%
External Services	7 000 918	11 438 000	11 741 000	11 741 000	2,65%
Receptions, events and representation	66 176	125 000	140 000	140 000	12,00%
Social welfare					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	82 926 883	56 175 000	54 735 000	54 735 000	-2,56%
Rental of buildings and associated costs	41 541 362	15 397 000	15 606 000	15 606 000	1,36%
Information, communication technology and data processing	32 334 229	29 382 000	30 232 000	30 232 000	2,89%
Movable property and associated costs	1 221 641	648 000	660 000	660 000	1,85%
Current administrative expenditure	886 940	3 890 000	1 157 000	1 157 000	-70,26%
Postage / Telecommunications	35 404	60 000	61 000	61 000	1,67%
Meeting expenses	269 686	320 000	324 000	324 000	1,25%
Running costs in connection with operational activities	1 703 201	1 061 000	1 222 000	1 222 000	15,17%
Information and publishing	1 240 950	2 567 000	2 663 000	2 663 000	3,74%
Studies	3 693 470	2 850 000	2 810 000	2 810 000	-1,40%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	167 872 237	201 618 000	208 463 000	208 463 000	3,40%
Meetings	1 309 092	7 000 000	8 240 000	8 240 000	17,71%
Evaluation of medicines	133 570 796	134 042 000	144 773 000	144 773 000	8,01%
Translation expenses	5 046 746	5 184 000	5 533 000	5 533 000	6,73%
Studies and consultants	7 490 376	7 400 000	12 636 000	12 636 000	70,76%
Information and publication	20 455 227	47 992 000	37 281 000	37 281 000	-22,32%
TOTAL	365 433 232	385 919 000	397 547 000	397 547 000	3,01%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): 4 368 320,96 EUR

4 Justification of needs

Commission assessment

Human Resources

The Commission can agree on 662 Temporary Agents. In this respect, the Agency has been granted a 2-year reinforcement of 40 TA due to COVID-19 (2021 and 2022), 2 additional temporary agents for the Health Union package as well as a frontloading of 3 additional temporary agents from the foreseen 2023 allocation under the Health Union package.

As regards contract agents and SNEs, the Agency is asking for a swap of 15 Seconded National Expert posts into 15 contract agent posts. The Commission agrees on this swap for a period of 5 years (2022-2026).

Moreover, in 2022 there is the phasing out of 5 contract agents as a result of the Brexit (40 contract agents recruited in 2019 on a 3-year contracts basis only) and there is a frontloading with 2 contract agents from the foreseen 2023 allocation under the Health Union package.

In conclusion, the Commission agrees on 223 Contract Agents and 30 Seconded National Expert for 2022, which includes the swap of 15 Seconded National Expert into contract agents for a period of 5 years (2022-2026).

Financial Resources

The EU contribution to EMA amounts to 40.2 million EUR for the DB 2022, being the amount foreseen under the MFF, including the reinforcement as per the Health Union package. This amount is net of the EMA outturn in 2020 at 4.4 million EUR.

The Commission took note that in 2022 the total revenue from fees will reach EUR 351 000 000 due to the continued increase in submissions of scientific applications.

Following the completion of the relocation process (which was supported by ad-hoc related EU funding) and the financing associated with the proposal for EMA mandate extension, the EU contributions are set to decrease in line with the new Multiannual Financial Framework.

The Commission took note that the draft budget 2022 does not include provisions for exceptional costs related to the Agency's former headquarters in London.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The adopted 2021 budget includes an increase of 40 fixed-term (2 years) TAs to cope with the extra workload linked to the response to the COVID-19 pandemic, but the Agency also needs to prepare for the subsequent post- authorisation work on vaccines and therapeutics. Pharmacovigilance activities in terms of the monitoring of the safety and efficacy of these medicines will also be of paramount importance. These activities and workload will extend far beyond a two-year horizon.

In 2022, the Agency requests: 11 additional TAs for workload linked to the growing product portfolio; 9 TAs and 2 CAs (3 TAs for Veterinary Medicines workload, 1 TA post to support data protection activities; 1 TA post to support the International activities; 1 TA and 2 CAs to support the go live phase of CTIS activities; 3 TAs to support preparation for ongoing DARWIN/Data Analytics activities). These, together with the 2 TA posts for the new mandate will bring the establishment plan total to 679.

Should the EC proposal to extend the EMA mandate be further amended, the currently included establishment plan would be modified to reflect the latest changes (at present the establishment plan includes as of 2021, 21 TAs and 8 CAs growing to a total of 30 TAs and 10 CAs in 2024).

Considering Contract Agent headcount, the FTE numbers are foreseen to fall from 226 in 2021 to 223 by 2022, partially reflecting the gradual termination of the 40 Brexit-related CA contracts (from 25 to 20). These were of three years' duration, authorised in 2019.

In conclusion, the Agency is requesting 22 additional temporary agent posts, a 3.3% increase in temporary agent posts (+14 posts) compared to the establishment plan authorised for 2021, taking the total establishment plan from 657 to 679 TA posts.

As for contract agents, the Agency will reduce the level approved for 2021 when considering the short-term CAs, meaning a total of 233 contract agent posts. These include 20 CAs employed on 3-year contracts for the purpose of ensuring a smooth transition and level of service delivery throughout the relocation period. The number of Seconded National Experts remains stable (30).

4.1.2 Vacancy rate as of end 2020

At the end of 2020 the Agency occupied 596 of 596 temporary agent posts in the establishment plan, resulting in a vacancy rate of 0%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

For 2021 the Agency expects 11 retirements resulting in a standard abatement rate of 1.7% (taking into account a total TA posts including COVID-19 posts of 657).

4.1.4 Salary assumption for calculating salary line (% applied)

In calculating the salary lines for 2022, the 1,5% increase in basic salaries is assumed.

4.1.5 Correction coefficient used

Duty station weighting (correction coefficient) for the Netherlands assumed represents 111,9 for the first half of the year, and 112,1 for the second half.

4.1.6 Exchange rate used (if applicable)

Infor-Euro rates for July 2020 have been applied as they were the most recent rates available at the time of establishment of the PDB.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title I figures for 2022 reflect an increase by EUR 6 223 000 (4,86%), mainly due to the 5,06% increase in the salaries and allowances chapter (+EUR 5 670 000). Salaries and allowances (chapter 11) account for EUR 5 670 000 of this increase, mainly driven by wage inflation, step change increases and additional TA posts as described above.

4.2.2 Title 2

Title II figures for 2022 show a reduction of EUR 1 440 000 (-2,56%) compared to 2021, reflecting a stabilisation of building and maintenance related expenditure after the impact of the Agency's relocations was seen, mainly in 2019 and 2020.

4.2.3 Title 3

The expenditure reflects an overall increase of EUR 12 956 000 (+7,35%) compared to 2021. The allocations allow for:

- increased payments of EUR 10 731 000 to National Competent Authorities (NCAs) for the evaluation of medicines in line with increasing workload;
- increased expenditure on scientific studies, in particular federated studies (+5 236 000);
- increased expenditure on delegate reimbursements for attending scientific meetings (+EUR 1 231 000), translations (+EUR 349 000);
- decreased expenditure on IT development (-EUR 4 600 000), reflecting a slower rate of expansion, but subject to further definition of IT needs under the extended mandate.

4.3 Ad hoc grants and delegation agreements

The Agency might receive a potential grant for IT project, 'ePI', amounting to EUR 1 500 000.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Multi-tenanted office building; EMA lease covers parts of the basement, ground and promenade levels	30 Churchill Place, London, E14 5EU, UK	18 448	8 002	26 450		25 years from 1 July 2014	lease		

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
2	EMA Building, purpose built, single occupant	Domenico Scarlattilaan 6 1083 HS Amsterdam The Netherlands	22 719	10 692	33 411	10 507 286	20 years from 1 January 2020	Lease	The Netherlands provides 3 mln. EUR for rent reductions of 150 000,00 for each year of the 20y lease	
TOTAL			41 167	18 694	59 861	10 507 286				

5.1.2 Current building(s) Other comments

Since July 2019 the Agency has sublet its former headquarters in London; the amounts paid to the Agency's landlord are matched by those of the sub-tenant to EMA and are managed under fund source CL.

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

The Agency's staff members who make use of European schools in general have their children enrolled at the European School in Bergen, NL (type 1 school) and at the European School in the Hague, NL (type 2 school).

5.3 Evaluation

The main findings of the latest evaluation available are as follows: The 2010 study provides firm evidence from an independent external consultant on very good performance of the Agency. Since its creation in 1993, the EMA has made considerable progress in maintaining an effective European authorisation system for human and veterinary medicinal products and gained great consideration from all stakeholders, at European as well as at international level. EMA opinions are considered of a very high quality from a scientific point of view and the Agency has become a leading actor in establishing international standards. EMA Secretariat together with 44 National Competent Authorities create an effective network providing for the most relevant experts.

The evaluation led to both strategic and operational recommendations to optimise the system and remove the possible barriers that prevent from an efficient and sustainable functioning of the Agency.

In 2017 the European Commission started preparing for the next evaluation and in 2018 it selected Ernst & Young to perform a study on the operation of centralised procedure (CP) and decentralised and mutual recognition procedures (MRP/DCP) for the authorisation and monitoring of medicinal products for human use during the period 2009-2017.

The contractor delivered its analysis to the European Commission in March 2020 with publication scheduled for February 2021 in a general Commission report ("Commission report on the experience acquired with the MA procedures") to the European Parliament and to the Council. However, even though the period covered by the EY report pre-dates the pandemic, SANTE management is now considering including wider consideration of the experience with COVID-19 in the Commission report, which would imply further postponement of the publication.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education day care
Agency has diplomatic status	Staff do not pay national taxes on their Union salary	European schools in The Hague and Bergen, both more than 50km from the Agency's new headquarters in Amsterdam
Within the scope of its official activities, the Agency, its assets, income and other property shall be exempt from all direct taxes, whether levied by national, provincial or local authorities.	Internationally recruited staff can buy tax-free vehicle(s)	Agency does not have a day care facility but provides financial contribution using the same rules as EC for nursery contribution to European kindergarten.
The Agency is exempt from a number of indirect taxes (further details to be consulted from the Seat Agreement between the Kingdom of the Netherlands and the European Medicines Agency).	Internationally recruited staff is exempt from certain taxations (further details to be consulted from the Seat Agreement between the Kingdom of the Netherlands and the European Medicines Agency).	Upon the Agency's relocation to Amsterdam, eligible staff with children (regardless of where they attend school) will receive the double education allowance per child, as the Agency's new permanent seat is more than 50km from the nearest European School
	Agency staff are covered by JSIS and exempt from contributions to Dutch social security and NHS, although issues of insufficient access to the Dutch health system for staff member's spouses and children continue to cause problems	

1 Overview**1.1 Creation/modification (legal base)**

Decision	Date	Mission/ Tasks / Functions
Regulation (EEC) no 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions	26/05/1975	Contribute to the planning and establishment of better living and working conditions through action designed to increase and disseminate knowledge likely to assist this development. It should deal with the following issues: Man at work; organisation of work and particularly job design; problems peculiar to certain categories of workers; long-term aspects of improvement to the environment; distribution of human activities in space and time
Extension of activities	1977	Launch of the European Industrial Relations Observatory (EIRO) on a proposal from the European Commission adopted by the Administrative Board
Extension of activities	2001	Launch of the European Monitoring Centre on Change (EMCC) on a proposal from the European Commission endorsed by the Presidency Conclusions of the Nice European Council and adopted by the Administrative Board
Modification to the Founding Regulation	2003	Amendments to various administrative procedures and adjustment to new Financial Regulation; Modification to governance provisions; adjustment to new Staff Regulations
Regulation (EU) 2019/127 of the European Parliament and the Council	16/01/2019	Eurofound shall enhance and disseminate knowledge, provide evidence and services for the purpose of policy making, including research-based conclusions, and shall facilitate knowledge sharing among and between Union and national actors. Eurofound's objective shall be to support the shaping and implementing policies concerning the improvement of living and working conditions, devising employment policies, and promoting the dialogue between management and labour.

1.2 Seat

Dublin, Ireland

1.3 Budget Line

07 10 01 : European Foundation for the improvement of living and working conditions (Eurofound)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	51	47	92,16%	51	51
Assistants (AST)	40	40	100,00%	40	40
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	91	87	95,60%	91	91
Contract Agents (CA)	13	11	84,62%	13	13
Seconded National Experts (SNE)	1		0,00%	1	1
TOTAL STAFF	105	98	93,33%	105	105

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	21 600 000	22 051 380
Other Revenue	220 000	218 620
TOTAL REVENUES	21 820 000	22 270 000

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 080 000	14 080 000	14 220 000	14 220 000
Title 2 - Infrastructure and operating expenditure	1 640 000	1 640 000	1 700 000	1 700 000
Title 3 - Operational expenditure	6 100 000	6 100 000	6 350 000	6 350 000
TOTAL EXPENDITURE	21 820 000	21 820 000	22 270 000	22 270 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1								
AD 14		1				2		2		2
AD 13	2	3	1	2		3		3		3
AD 12	1	8	1	6	2	8	2	8	2	8
AD 11	1	5		2	1	5	1	5	1	5
AD 10		6		2		6		6		6
AD 9	1	6	2	8		6		6		6
AD 8		8		7	1	8	1	8	1	8
AD 7		5		8		6		6		6
AD 6		2		4		2		2		2
AD 5		1		4		1		1		1
AD TOTAL	5	46	4	43	4	47	4	47	4	47
AST 11		1		2		2		1		1
AST 10		1				1		2		2
AST 9		7		5		7	2	7	2	7
AST 8	1	7		4	2	7	2	7	2	7
AST 7	2	5	2	8	2	5	2	5	2	5
AST 6	2	2	1	2	2	2		2		2
AST 5	1	5	1	6		5		5		5
AST 4		2		3		2		2		2
AST 3		2		3		1		1		1
AST 2		2		1		2		2		2
AST 1			1	1						
AST TOTAL	6	34	5	35	6	34	6	34	6	34
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	11	80	9	78	10	81	10	81	10	81
GRAND TOTAL	91		87		91		91		91	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	6	5	6	6
Function Group III	3	3	3	3
Function Group II	2	3	3	4
Function Group I	2		1	
TOTAL	13	11	13	13

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	1		1	1

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	21 195 000	21 600 000	22 051 380	22 051 380	2,09%
- Of which assigned revenues deriving from previous years' surpluses				273 570	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	1 407	18 000	18 620	18 620	3,44%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	505 973	202 000	200 000	200 000	-0,99%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 702 380	21 820 000	22 270 000	22 270 000	2,06%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	1 000 000				
TOTAL	1 000 000				

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	13 495 385	14 080 000	14 220 000	14 220 000	0,99%
Salaries & allowances	12 633 383	12 840 000	12 892 000	12 892 000	0,40%
- <i>Of which establishment plan posts</i>	<i>12 152 545</i>	<i>12 320 000</i>	<i>12 440 000</i>	<i>12 440 000</i>	<i>0,97%</i>
- <i>Of which external personnel</i>	<i>480 838</i>	<i>520 000</i>	<i>452 000</i>	<i>452 000</i>	<i>-13,08%</i>
Expenditure relating to Staff recruitment	139 303	198 000	207 000	207 000	4,55%
Employer's pension contributions					
Mission expenses	38 945	220 000	300 000	300 000	36,36%
Socio-medical infrastructure	97 291	202 000	271 000	271 000	34,16%
Training	121 358	140 000	160 000	160 000	14,29%
External Services	465 105	480 000	390 000	390 000	-18,75%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 603 151	1 640 000	1 700 000	1 700 000	3,66%
Rental of buildings and associated costs	592 424	727 000	763 000	763 000	4,95%
Information, communication technology and data processing	837 732	750 000	752 000	752 000	0,27%
Movable property and associated costs	117 272	99 000	100 000	100 000	1,01%
Current administrative expenditure	29 061	12 000	20 000	20 000	66,67%
Postage / Telecommunications	26 662	52 000	65 000	65 000	25,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 210 149	6 100 000	6 350 000	6 350 000	4,10%
TOTAL	22 308 685	21 820 000	22 270 000	22 270 000	2,06%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	13 495 385	14 080 000	14 220 000	14 220 000	0,99%
Salaries & allowances	12 633 383	12 840 000	12 892 000	12 892 000	0,40%
- <i>Of which establishment plan posts</i>	<i>12 152 545</i>	<i>12 320 000</i>	<i>12 440 000</i>	<i>12 440 000</i>	<i>0,97%</i>
- <i>Of which external personnel</i>	<i>480 838</i>	<i>520 000</i>	<i>452 000</i>	<i>452 000</i>	<i>-13,08%</i>
Expenditure relating to Staff recruitment	139 303	198 000	207 000	207 000	4,55%
Employer's pension contributions					
Mission expenses	38 945	220 000	300 000	300 000	36,36%
Socio-medical infrastructure	97 291	202 000	271 000	271 000	34,16%
Training	121 358	140 000	160 000	160 000	14,29%
External Services	465 105	480 000	390 000	390 000	-18,75%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 603 151	1 640 000	1 700 000	1 700 000	3,66%
Rental of buildings and associated costs	592 424	727 000	763 000	763 000	4,95%
Information, communication technology and data processing	837 732	750 000	752 000	752 000	0,27%
Movable property and associated costs	117 272	99 000	100 000	100 000	1,01%
Current administrative expenditure	29 061	12 000	20 000	20 000	66,67%
Postage / Telecommunications	26 662	52 000	65 000	65 000	25,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 210 149	6 100 000	6 350 000	6 350 000	4,10%
TOTAL	22 308 685	21 820 000	22 270 000	22 270 000	2,06%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 273,570.37

4 Justification of needs

Commission assessment

Human Resources

Following the Agency Instructions of the Budget Circular 2022, Eurofound proposes to maintain a stable number of establishment plan posts in 2022 compared to the budget 2021 (91 posts) which is fully supported by the Commission.

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Establishment plan posts: 91

Contract Agents: 14

Total: 105

4.1.2 Vacancy rate as of end 2020

Establishment plan: 96 % or 87 out of 91 posts filled

Contract Agents: 85 % or 11 out of 13 posts filled

Seconded National experts: 0 % or 0 out of 1 post filled

Total: 93 % or 98 out of 105 posts filled

4.1.3 Standard abatement ('abatement forfaitaire') applied

No abatement is applied in 2022 since it is planned to have all posts filled

4.1.4 Salary assumption for calculating salary line (% applied)

It is assumed that the method currently used will lead to an increase of about 1 %

4.1.5 Correction coefficient used

129.0 used in salary calculations in Title 1

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

It is assumed that the method currently used will lead to an increase of about 1 % of salaries and overall increase of title 1 by EUR 140,000.

4.2.2 Title 2

Title 2 appropriations are expected to increase by EUR 60,000 (3.7 % higher compared to 2021) due to increases foreseen in utility charges (electricity, gas, water, heating) and investments in IT infrastructure. Significant efforts are put into limiting the increase of administrative expenditure in order to maintain the available budget for core operational activities and staff resources

4.2.3 Title 3

General appropriations available for Title 3 will increase by EUR 250,000 (4.1% higher compared to 2021).

The title 3 increase will be mainly required to fund the preparation of the next European Working Condition Survey. This new survey will include questions on living and working conditions relevant to the aftermath of the Covid pandemic and will be done face-to-face.

In addition, the budget allocation to the activity 'Reacting to ad-hoc Information Requests' has been increased in comparison to 2021. These funds are reserved to respond to changing information needs that could not be foreseen at the time of the programme development and do respond to ad-hoc requests from policymakers. Eurofound reserves capacity to provide background papers, customised reports and short studies on request to its stakeholders.

4.3 Ad hoc grants and delegation agreements

n/a

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Main building and conference centre	Dublin	2 000	500	2 500		n/a	n/a	n/a	452 187
2	Loughlinstown House and grounds (historical, listed building)	Dublin	350	150	500		None; EUR 250 000 was paid in 2000 for a 99-year lease (from 2001 on)	99-year lease	n/a	
3	Brussels Liaison Office (BLO)	Brussels, 18, Avenue d'Auderghem	100		100	23 093	Lease agreement with annual termination clause	Lease agreement with annual termination clause	n/a	
TOTAL			2 450	650	3 100	23 093				452 187

5.1.2 Current building(s) Other comments

None.

5.1.3 Building projects in the planning phase

No new buildings or building extensions are planned. No change of surface area.

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 European Schools

There is one European School, Type II, providing education up to level 5, based in Dunshaughlin, Co. Meath. The school was primarily established for children of staff of the Food and Veterinary Office, a directorate of the Commission, in Grange, Co. Meath. However, it does not offer the European Baccalaureate degree and is also for logistical reasons not a viable option for Eurofound staff. No child of Eurofound staff is currently attending the school.

Eurofound has discussed the schooling question with the Irish Authorities, particularly in the context of the Irish Government's commitment in the Seat Agreement to be open to providing for the education of the children of Eurofound staff on a similar basis to what is provided for EU staff located elsewhere. Following these consultations, Eurofound staff were surveyed on their preferences. There was a strong preference for maintaining the level of choice that is currently available to them and continuing with payment of the double education allowance in respect of children attending second

level education. On the basis of this feedback Eurofound will not pursue the matter of an Accredited European School for the time being.

Eurofound together with the Commission's and Parliament's office in Ireland have established an arrangement for many years with a private school, St Andrew's College, Blackrock, which operates at both primary and secondary level. In its 'European Annex' the school provides additional tuition in children's mother tongues to the children of Eurofound staff, funded wholly by the Irish Government. It also prepares children for and allows them to sit the exams to get the International Baccalaureate (IB). This school is used by many of Eurofound's staff. In its admission policy the school grants a certain level of priority to children of Eurofound staff. However, depending on general demand the priority given to Eurofound children might not in every case secure a place in the school. This is particularly critical for newly recruited staff members' children who arrive in Ireland with little lead time and, possibly, during the academic year. Eurofound has established a regular dialogue with the school in order to inform them about staff developments and to create an understanding for the particular needs of Eurofound staff and their children.

5.3 Evaluation

Eurofound's 2021-2024 evaluation policy and evaluation programme consists of a modular approach to replace the conventional ex-ante / interim / ex-post evaluation approach at programme level, focusing in particular on the activity level of the new programme cycle. The evaluation programme defines the high-level plans over the four-year period.

Eurofound has long-standing expertise and experience in the area of Performance monitoring and Evaluation. This is also further enhanced through close involvement in the EU Agencies' sub-network Performance Development Network, and is taking account of recent developments and requirements for monitoring and evaluation for EU agencies.

Analysis and evaluation enable deeper understanding why and how Eurofound's information contributes to the policy development. Such analyses feed into reporting for accountability purposes, such as through the Consolidated Annual Activity Report (CAAR) as well as the development of the annual programmes. In addition, Eurofound recognises evaluation as a valuable systematic tool which provides evidence for better decision making, and a tool for organisational learning and continuous improvement.

An action plan was developed based on Commission Staff Working Document: Evaluation of the EU Commission Agencies working in the employment and social affairs policy field: EUROFOUND, CEDEFOP, ETF and EU-OSHA (2019) 159 Final (9.4.2019) - final version January 2020. Good progress has been made with the implementation of the action plan. Of the only Eurofound specific recommendation, the last action will be completed in time. Actions in response to recommendations addressed to all agencies are all on track addressing efficiency and reinforced cooperation. The 2020 action plans for 2021 with Cedefop, EIGE, ETF, FRA and EU-OSHA were reviewed and for 2021 newly established. In addition, the European Labour Authority (ELA) concluded a SLA to share the services of Eurofound's accounting officer. In relation to Eurofound's new work area of anticipating and managing the impact of the transition to a carbon-neutral economy it established contact with the European Environment Agency (EEA).

5.4 Privileges and immunities

The Irish government and Eurofound concluded a Seat Agreement and a related Memorandum of Understanding on 10 November 2015. It entered into effect on 19 July 2016. These documents replaced previous arrangements that were based on written correspondence between Eurofound and the services of the Irish government. The new Seat Agreement and Memorandum of Understanding are based on the Vienna Convention (Protocol on privileges and immunities), as well as guidelines and templates prepared by the Commission and the Agencies' Legal Network.

Agency privileges	Privileges granted to staff	
	Protocol on privileges and immunities/diplomatic status	Education/day care
<p>The Agency has diplomatic status.</p> <p>In common with other EU institutions, Eurofound is exempt from VAT.</p> <p>Eurofound meets the full cost of office furniture, security and infrastructure.</p> <p>There is no contribution by ministries and regional governments.</p>	<p>No staff member has diplomatic status, but senior staff are entitled to a Laisser-Passer document to facilitate travel; however, this privilege is currently not availed of. The Protocol on privileges and immunities is applicable to all staff recruited under the Staff Regulations. This entitles them and their families to enter Ireland without being subject to the usual immigration procedures, for staff to travel to and from work without hindrance, for staff to transfer residence to Ireland without payment of import duty on their effects and motor cars. Staff are exempt from national income tax on their earnings on the basis that they are liable to a tax for the benefit of the Communities on salaries, wages and emoluments paid to them by the Communities.</p> <p>There are no continuous VAT exemptions for staff, with the exception of the director.</p> <p>Staff recruited from outside Ireland may purchase up to two cars without payment of tax or import charges. They may also purchase household goods free of VAT during the first 24 months after moving to Ireland.</p>	<p>There is no access to subsidised day-care facilities for staff.</p> <p>Educational allowances are paid to staff in accordance with the provisions of the Staff Regulations.</p> <p>School fees are not paid directly by Eurofound. Staff sending their children to private schools may qualify for the non-flat-rate education allowance (the child must be at least six years of age) in accordance with the provisions of the Staff Regulations.</p>

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 2062/94	18/07/1994	Workers and employers need to be made aware of the risks that they face, and how to manage them. But health and safety bodies in the individual EU Member States cannot do this alone. That is why the European Agency for Safety and Health at Work was set up, in 1994.
Council Regulation (EC) No 1643/95	29/06/1995	Our mission is to make Europe's workplaces safer, healthier and more productive. We do this by bringing together and sharing knowledge and information, to promote a culture of risk prevention. Located in Bilbao, Spain, we have a dedicated staff of occupational safety and health (OSH), communication and administrative specialists. At the national level, we are represented through a network of focal points, which are usually the lead OSH bodies in the individual Member States. The Agency is a tripartite organisation, this means that we work with governments, employers and workers representatives. We are a single reference point for OSH information. We collect, analyse and disseminate technical, scientific and economic information on OSH risks. We share good practice, and communicate information in a variety of ways to reach workers and workplaces. Our awareness raising activities include our two-year Healthy Workplaces campaigns. We also provide information on our website, via our electronic newsletter OSH mail, and in a range of printed publications. We help to explain European legislation on OSH. And we look out for risks which may only be emerging, due to the fast pace of change in the workplace. Our European Risk Observatory aims to identify new and emerging risks. Initiatives such as the Agency's European Survey of Enterprises on New and Emerging Risks (ESENER) help to give an overview of safety and health at work in Europe, describe the trends and underlying factors, and anticipate changes in work and their likely consequences for safety and health. Additionally, The Observatory aims to stimulate debate and reflection among the Agency's stakeholders and to provide a platform for debate between policy-makers at various levels.
Council Regulation (EC) No 1654/2003	18/06/2003	Updating of the Agency's regulation in accordance with new EU financial and public document access provisions
Council Regulation (EC) No 1112/2005	24/06/2005	Modification of the Agency's government and management structures
European Parliament and Council Regulation (EU) 2019/126 repealing Council Regulation (EC) No 2062/94	16/01/2019	EU-OSHA's objective shall be to provide the Union institutions and bodies, the Member States, the social partners and other actors involved in the field of safety and health at work with relevant technical, scientific and economic information and qualified expertise in that field in order to improve the working environment as regards the protection of the safety and health of workers. To that end, EU-OSHA shall enhance and disseminate knowledge, provide evidence and services for the purpose of policy making, including research-based conclusions, and shall facilitate knowledge sharing among and between Union and national actors.

1.2 Seat

Santiago de Compostela 12, 5th floor - 48003 Bilbao, Spain

1.3 Budget Line

07 10 02 : European Agency for Safety and Health at Work (EU-OSHA)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	24	23	95,83%	24	24
Assistants (AST)	16	16	100,00%	16	16
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	40	39	97,50%	40	40
Contract Agents (CA)	25	24	96,00%	25	25
Seconded National Experts (SNE)					
TOTAL STAFF	65	63	96,92%	65	65

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	15 600 000	15 912 000
Other Revenue	514 100	499 491
TOTAL REVENUES	16 114 100	16 411 491

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	7 094 000	7 094 000	7 250 000	7 250 000
Title 2 - Infrastructure and operating expenditure	1 540 200	1 540 200	1 555 300	1 555 300
Title 3 - Operational expenditure	7 479 900	7 479 900	7 606 191	7 606 191
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	p.m.	p.m.	p.m.	p.m.
TOTAL EXPENDITURE	16 114 100	16 114 100	16 411 491	16 411 491

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		2		2		2
AD 13		1		1						
AD 12		2		1		3		3		3
AD 11		2		2		2		3		3
AD 10		4		2		3		4		4
AD 9		7		10		9		7		7
AD 8		4		2		2		2		2
AD 7		2		1		2		2		2
AD 6				2						
AD 5										
AD TOTAL		24		23		24		24		24
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8										
AST 7		2		1		3		3		3
AST 6		6		7		6		7		7
AST 5		4		3		3		4		4
AST 4		3		4		3		1		1
AST 3										
AST 2										
AST 1										
AST TOTAL		16		16		16		16		16
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		40		39		40		40		40
GRAND TOTAL		40		39		40		40		40

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	3	3	3	5
Function Group III	14	12	14	13
Function Group II	8	9	8	7
Function Group I				
TOTAL	25	24	25	25

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	15 144 229	15 600 000	15 912 000	15 912 000	2,00%
- Of which assigned revenues deriving from previous years' surpluses	71 928	252 232	252 176	252 175	-0,02%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	369 271	414 000	422 800	399 391	-3,53%
- Of which EEA/EFTA (excl. Switzerland)	369 271	414 000	422 800	399 391	-3,53%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	100 100	100 100	100 100	100 100	0,00%
5 ADMINISTRATIVE OPERATIONS	5 144				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)	46				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	15 618 744	16 114 100	16 434 900	16 411 491	1,85%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)		p.m.	p.m.	p.m.	
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL		p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 765 155	7 094 000	7 250 000	7 250 000	2,20%
Salaries & allowances	6 031 086	6 554 525	6 744 000	6 744 000	2,89%
- Of which establishment plan posts	4 621 150	4 848 000	5 026 000	5 026 000	3,67%
- Of which external personnel	1 409 936	1 706 525	1 718 000	1 718 000	0,67%
Expenditure relating to Staff recruitment	25 080	100 725	67 000	67 000	-33,48%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	18 429	27 000	27 000	27 000	0,00%
Training	314 329	120 000	120 000	120 000	0,00%
External Services	341 231	261 750	262 000	262 000	0,10%
Receptions, events and representation					
Social welfare	35 000	30 000	30 000	30 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 563 296	1 540 200	1 555 300	1 555 300	0,98%
Rental of buildings and associated costs	592 962	632 200	637 300	637 300	0,81%
Information, communication technology and data processing	785 281	725 000	730 000	730 000	0,69%
Movable property and associated costs	12 511	37 000	35 000	35 000	-5,41%
Current administrative expenditure	59 704	64 000	70 500	70 500	10,16%
Postage / Telecommunications	112 838	82 000	82 500	82 500	0,61%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 215 421	7 479 900	7 629 600	7 606 191	1,69%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Priority areas & operational activities	7 155 905	7 404 300	7 497 600	7 474 191	0,94%
Support to operational activities	59 516	75 600	132 000	132 000	74,60%
Communication, Campaigning and Promotion					
Networking and Coordination					
Prevention and Research					
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	92 672	p.m.	p.m.	p.m.	
IPA II 2016 programme	7 320	p.m.	p.m.	p.m.	
Older workers					
ENPI					
New IPA II programme					
IPA II 2018 programme	85 352	p.m.	p.m.	p.m.	
TOTAL	15 636 544	16 114 100	16 434 900	16 411 491	1,85%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 317 484	7 094 000	7 250 000	7 250 000	2,20%
Salaries & allowances	6 031 086	6 554 525	6 744 000	6 744 000	2,89%
- Of which establishment plan posts	4 621 150	4 848 000	5 026 000	5 026 000	3,67%
- Of which external personnel	1 409 936	1 706 525	1 718 000	1 718 000	0,67%
Expenditure relating to Staff recruitment	17 211	100 725	67 000	67 000	-33,48%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	4 710	27 000	27 000	27 000	0,00%
Training	55 245	30 000	30 000	30 000	0,00%
External Services	185 170	120 000	120 000	120 000	0,00%
Receptions, events and representation					
Social welfare	24 062	261 750	262 000	262 000	0,10%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	954 015	1 540 200	1 555 300	1 555 300	0,98%
Rental of buildings and associated costs	490 027	632 200	637 300	637 300	0,81%
Information, communication technology and data processing	405 669	725 000	730 000	730 000	0,69%
Movable property and associated costs	8 000	37 000	35 000	35 000	-5,41%
Current administrative expenditure	2 831	64 000	70 500	70 500	10,16%
Postage / Telecommunications	47 488	82 000	82 500	82 500	0,61%
Meeting expenses					
Running costs in connection with operational					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 708 284	7 479 900	7 629 600	7 606 191	1,69%
Priority areas & operational activities	2 648 768	7 404 300	7 497 600	7 474 191	0,94%
Support to operational activities	59 516	75 600	132 000	132 000	74,60%
Communication, Campaigning and Promotion					
Networking and Coordination					
Prevention and Research					
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	86 266	p.m.	p.m.	p.m.	
IPA II 2016 programme	7 320	p.m.	p.m.	p.m.	
Older workers					
ENPI					
New IPA II programme					
IPA II 2018 programme	78 946	p.m.	p.m.	p.m.	
TOTAL	10 066 049	16 114 100	16 434 900	16 411 491	1,85%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 252,175.61

4 Justification of needs

Commission assessment

Human Resources

EU-OSHA proposes to maintain a stable number of establishment plan posts in 2022 compared to the budget 2021 (40 posts) which is fully supported by the Commission.

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency proposes to maintain in 2022 the number of establishment posts at 40 Temporary Agents and stabilizes the external staff at 25 contract agents. 65 FTE is to be seen as minimum human capital for the Agency to be able to continue and deliver its mandate and mission, meet its modernisation, infrastructure needs and seeking increased efficiency through shared services and internal re-organisation of its finance & procurement administration.

On purpose and in the view of implementing the new structure through a wider coordination and advisory cell, project management skills shall be needed to implement effectively the new structure. EU-OSHA therefore asks for 1 more CA FGIV in replacement of 1 CA FGIII resulting in 2022 in 5 CA FGIV, 13 FGIII & 7 FGII. The total contract agents will remain at 25 FTE.

4.1.2 Vacancy rate as of end 2020

The general vacancy rate at the end of 2020 stood at 97% since 39 of the 40 Establishment Posts were occupied at 31/12/2020 and 1 Contract agent position (out of 25) non occupied.

Regarding the unoccupied TA post, selection procedure was finalized in 2020, offer letter sent on 18/11/2020 for the successful candidate to take duty on 16/02/2021 (HR manager position).

4.1.3 Standard abatement ('abatement forfaitaire') applied

No abatement is applied since it is planned to have all posts filled and no further cuts in staff population.

4.1.4 Salary assumption for calculating salary line (% applied)

The Title 1 is proposed at EUR 7,250,000 and covers:

- an Establishment Plan of 40 Temporary Agents and the employment of 25 contract agents full time equivalent;
- a minimal indexation linked to annual reclassification exercise and increase in steps;
- the assumption that there will be a total 2,0 % yearly adaptation of salary and Spanish weighting factor estimated at 95,0% in 2022 (94,2% for the period July 2020 – June 2021).

Compared to 2021, it represents an increase of EUR 156,000.

4.1.5 Correction coefficient used

Estimated weighting factor 2021: 95% (minus 5% compared with Brussels).

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Title 1 is proposed at EUR 7 250 000 in order to cover an Establishment Plan of 40 Temporary Agents and the employment of 25 contract agents full time equivalent and respective promotion (increase in steps) and reclassification exercise.

The assumption is based on:

- yearly adaptation of basic salary of 2,0%;
- Spanish weighting factor evaluated at 95,0% for the period July 2021 – June 2022 and 95,0% for the period July 2022 – December 2022;
- other costs & related services (e.g. learning & development policy, shared services DG HR/PMO, outsourcing of accounting function to DG BUDG), support (interim staff), specific recruitment/installation related cost, social expenditure and traineeships.

4.2.2 Title 2

The total appropriations for the Title 2 of the expenditure is proposed at EUR 1 555 300. Compared to 2021, it represents an increase of EUR 15 100 basically for anticipating ICT policy implementation and index price increase for building, facilities running costs.

4.2.3 Title 3

The appropriations for Title 3 finance the operational expenditure on the activities defined in EU-OSHA's draft Single Programming Document 2022-2024. The activities fall within six strategic priority areas defined in the Agency's Multi-annual Strategic Programme. Key expenditures in 2022 are the Healthy Workplaces Campaign on Musculoskeletal Disorders and the awareness raising actions in relation to the mission of EU-OSHA. Within the research area, the main expenditures are for the OSH Overview on Digitalisation, and the Workers' Exposure Survey.

The total appropriations requested for the title 3 of the agency's expenditure is EUR 7 629 600.

4.3 Ad hoc grants and delegation agreements

EU-OSHA signed end of 2019 a new contribution agreement IPA/2019/412-828 - "Preparatory measures for the future participation of IPA II beneficiaries in the network of the European Agency for Safety and Health at Work". The objective of the action is to ensure that the IPA II beneficiaries have the capacity to participate effectively in the network and activities of EU-OSHA by supporting the approximation to and adoption of the EU acquis. It is in line with the set objectives of Component 2 of the Action 4 of the Multi Country Action Programme 2018. It corresponds as well with the dedication of the Commission to support the Candidate Countries in their efforts to align their OSH legislation with EU law, as stated in the EU Strategic Framework on Health and Safety at Work. The proposed action is for an operational period 17/12/19 – 16/12/2022, has a total budget EUR 399 584 and foresees the employment of a contract agent FGIV for the management of the project.

The contribution agreement IPA/2019/412-828 is to be seen as the continuation of previous similar initiatives ensuring sustainability. It is the fifth intervention in a row of IPA funded actions (contracts: 2009/205-943; 2011/273-995; 2015/367-495 and 2017/390-035). The project was completed in 2020 (final report) and is expected to be closed by DG NEAR in 2021.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Miribilla Building	c/ Santiago de Compostela 12 E-48003 Bilbao - SPAIN	1 018	1 367	2 385	300 000	8 years and afterwards automatic renewal on a yearly basis	Renting contract	100 100	
2	Multiburo - NCI SA	Square de Meeus 38/40 B-1000 Brussels - BELGIUM	30		30	61 000	Renewal on a yearly basis	Renting contract		
TOTAL			1 048	1 367	2 415	361 000				

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

The Agency moved to new premises in 2014 and has no new building plan for the future.

5.2 European Schools

Schooling is a key factor in fostering multilingualism and enabling EU-OSHA to attract and retain qualified staff members. There is no European school at the Agency's seat, Bilbao. Consequently, the Agency reimburses the education allowance in line with the provisions of the Staff Regulations. No extra allowance is allocated to its staff in this regard.

In addition to local schools in Spanish and Basque language, international schools are located within the city and its outskirts; these help the Agency to attract a more diverse workforce although the offer is still relatively limited. The Agency is committed to continue fostering its multilingual environment and providing a multicultural education for the children of its staff. The Agency is currently studying and looking to implement possible measures and actions.

5.3 Evaluation

In April 2019 the Commission published its Staff Working document on the evaluation of EU-OSHA, Eurofound, ETF and Cedefop.^[1] The evaluation had been commissioned by the Commission. The conclusions in both the evaluation and the SWD about the performance of EU-OSHA are very encouraging on all evaluation questions (effectiveness, efficiency, coherence, relevance and added value). However, the SWD also includes a number of recommendations for further improvement. Most of these recommendations are common to the four agencies covering effectiveness, efficiency, governance, reinforced cooperation between the agencies, and policy support for Member States and the EU. Four recommendations are specifically targeted at EU-OSHA dealing with the balance of different areas of core activities, reaching SMEs and collaboration with the ILO and national OSH research institutes.

EU-OSHA's Management Board adopted an action plan for the follow-up on the evaluation in January 2020. At the beginning of 2021, the action plan is almost fully implemented. Some of the main actions have been the development of a strategic approach to MSEs, adoption of a social media policy and piloting a three year campaign cycle in order to reallocate resources to the communication of research work. The outstanding actions relate to the development of a quality procedure for knowledge development (foreseen to be finalised in Q2 2021), clarify relations between focal points and Management Board members (to be decided at June 2021 Management Board meeting), and decide on the future use of virtual meetings (to be decided at the June 2021 Management Board meeting).

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency receives an annual subsidy of EUR 100 100 from local and national authority	Annual contribution of local authorities from kingdom of Spain (EUR 60 100) and Basque Regional Government (EUR 40 000)	
Seat agreement	A seat agreement has been signed with the Kingdom of Spain on 31 March 2014 foresees a maximum EUR 100 000 (but actually EUR 60 100) per year as a contribution to rent related expenditure. The Agency's staff is accredited with the Spanish Ministry of Foreign Affairs with non-diplomatic status with the exception of the Executive Director.	
VAT Exemption	Non Spanish staff members are entitled to VAT exemptions for purchases of goods and purchase of one new car during the first year of service.	

^[1] Commission Staff Working Document, "Evaluation of the EU Commission Agencies working in the employment and social affairs policy field: Eurofound, Cedefop, ETF and EU-OSHA", 9 April 2019 (SWD (2019)159 final)

1 Overview**1.1 Creation/modification (legal base)**

Decision	Date	Mission / Tasks / Functions
Regulation 337/75	10 February 1975	Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training
Regulation 1946/93	30 June 1993	Council Regulation (EEC) No 1946/93 of 30 June 1993 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1131/94	16 May 1994	Council Regulation (EC) No 1131/94 of 16 May 1994 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 251/95	6 February 1995	Council Regulation (EC) No 251/95 of 6 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 354/95	20 February 1995	Council Regulation (EC) No 354/95 of 20 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1655/2003	18 June 2003	Council Regulation (EC) No 1655/2003 of 18 June 2003 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training and repealing Regulation (EEC) No 1416/76
Regulation 2051/2004	25 October 2004	Council Regulation (EC) No 2051/2004 of 25 October 2004 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 2019/128	16 January 2019	Regulation (EU) 2019/128 of the European Parliament and of the Council of 16 January 2019, establishing a European Centre for the Development of Vocational Training (Cedefop) and repealing Council Regulation (EC) no 337/75

1.2 Seat

Thessaloniki - Greece

1.3 Budget Line

07 10 03 : European Centre for the Development of Vocational Training (Cedefop)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	49	46	93,88%	49	49
Assistants (AST)	42	42	100,00%	42	42
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	91	88	96,70%	91	91
Contract Agents (CA)	25	21	84,00%	25	25
Seconded National Experts (SNE)	4	3	75,00%	4	4
TOTAL STAFF	120	112	93,33%	120	120

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	18 000 000	18 360 000
Other Revenue	488 800	499 440
TOTAL REVENUES	18 488 800	18 859 440

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	11 117 600	11 117 600	11 642 240	11 642 240
Title 2 - Infrastructure and operating expenditure	1 850 200	1 850 200	1 824 200	1 824 200
Title 3 - Operational expenditure	5 521 000	5 521 000	5 393 000	5 393 000
TOTAL EXPENDITURE	18 488 800	18 488 800	18 859 440	18 859 440

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		2		2		3		4		4
AD 12	3	9	3	9	3	10	3	10	3	10
AD 11		8		7		8		9		9
AD 10		9		8		9		9		9
AD 9		6		6		7		7		7
AD 8		5		5		4		2		2
AD 7		4		4		3		2		2
AD 6		1						1		1
AD 5										
AD TOTAL	3	46	3	43	3	46	3	46	3	46
AST 11		1		1		1		1		1
AST 10	1	1	1	1	1	1	1	2	1	2
AST 9	2	3	2	3	2	4	3	5	3	5
AST 8	1	3	1	3	2	4	1	6	1	6
AST 7	3	10	3	10	1	11		10		10
AST 6		8		8		8		8		8
AST 5		6		6		6		4		4
AST 4		3		3		1		1		1
AST 3										
AST 2										
AST 1										
AST TOTAL	7	35	7	35	6	36	5	37	5	37
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	10	81	10	78	9	82	8	83	8	83
GRAND TOTAL	91		88		91		91		91	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	5	3	5	6
Function Group III	6	3	6	5
Function Group II	11	12	11	11
Function Group I	3	3	3	3
TOTAL	25	21	25	25

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	4	3	4	4

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	16 738 000	18 000 000	18 400 000	18 360 000	2,00%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	22 510	195 379	127 001	127 001	-35,00%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	429 890	478 800	489 440	489 440	2,22%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>	429 890	478 800	489 440	489 440	2,22%
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	10 000	10 000	10 000	10 000	0,00%
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	17 177 890	18 488 800	18 899 440	18 859 440	2,00%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	10 646 375	11 117 600	11 642 240	11 642 240	4,72%
Salaries & allowances	9 829 207	10 185 858	10 774 998	10 774 998	5,78%
- Of which establishment plan posts	8 495 044	8 842 343	9 197 281	9 197 281	4,01%
- Of which external personnel	1 334 163	1 343 515	1 577 717	1 577 717	17,43%
Expenditure relating to Staff recruitment	213 497	210 000	210 000	210 000	0,00%
Employer's pension contributions					
Mission expenses	8 860	50 000	80 000	80 000	60,00%
Socio-medical infrastructure	194 397	217 000	199 000	199 000	-8,29%
Training	114 843	120 000	120 000	120 000	0,00%
External Services	278 574	322 742	242 742	242 742	-24,79%
Receptions, events and representation	6 997	12 000	15 500	15 500	29,17%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 880 148	1 850 200	1 824 200	1 824 200	-1,41%
Rental of buildings and associated costs	562 742	648 000	648 000	648 000	0,00%
Information, communication technology and data processing	856 682	794 700	728 700	728 700	-8,31%
Movable property and associated costs	2 910	15 000	15 000	15 000	0,00%
Current administrative expenditure	118 975	213 000	253 000	253 000	18,78%
Postage / Telecommunications	338 788	175 500	172 500	172 500	-1,71%
Meeting expenses	51	4 000	7 000	7 000	75,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 751 267	5 521 000	5 433 000	5 393 000	-2,32%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Transversal activities	171 496	330 000	380 000	370 000	12,12%
Research and Policy Analysis	1 666 231	1 983 000	1 225 000	1 225 000	-38,22%
Enhanced Cooperation in VET and LLL	3 068 812	2 588 000	3 220 000	3 190 000	23,26%
Information, Communications and Dissemination	844 728	620 000	608 000	608 000	-1,94%
TOTAL	18 277 790	18 488 800	18 899 440	18 859 440	2,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	10 539 467	11 117 600	11 642 240	11 642 240	4,72%
Salaries & allowances	9 829 207	10 185 858	10 774 998	10 774 998	5,78%
- Of which establishment plan posts	8 495 044	8 842 343	9 197 281	9 197 281	4,01%
- Of which external personnel	1 334 163	1 343 515	1 577 717	1 577 717	17,43%
Expenditure relating to Staff recruitment	233 981	210 000	210 000	210 000	0,00%
Employer's pension contributions					
Mission expenses	18 755	50 000	80 000	80 000	60,00%
Socio-medical infrastructure	176 561	217 000	199 000	199 000	-8,29%
Training	76 002	120 000	120 000	120 000	0,00%
External Services	196 215	322 742	242 742	242 742	-24,79%
Receptions, events and representation	8 746	12 000	15 500	15 500	29,17%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 543 351	1 850 200	1 824 200	1 824 200	-1,41%
Rental of buildings and associated costs	514 978	648 000	648 000	648 000	0,00%
Information, communication technology and data processing	802 160	794 700	728 700	728 700	-8,31%
Movable property and associated costs	2 865	15 000	15 000	15 000	0,00%
Current administrative expenditure	98 244	213 000	253 000	253 000	18,78%
Postage / Telecommunications	124 955	175 500	172 500	172 500	-1,71%
Meeting expenses	149	4 000	7 000	7 000	75,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 571 515	5 521 000	5 433 000	5 393 000	-2,32%
Transversal activities	181 782	330 000	380 000	370 000	12,12%
Research and Policy Analysis	1 515 069	1 983 000	1 225 000	1 225 000	-38,22%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Enhanced Cooperation in VET and LLL	2 237 895	2 588 000	3 220 000	3 190 000	23,26%
Information, Communications and Dissemination	636 769	620 000	608 000	608 000	-1,94%
TOTAL	16 654 333	18 488 800	18 899 440	18 859 440	2,00%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 127 001.

4 Justification of needs

Commission assessment

Human Resources

Cedefop proposes to maintain a stable number of establishment plan posts in 2022 compared to the budget 2021 (91 posts) which is fully supported by the Commission.

Financial Resources

The agency based its request for financial resources on the indicative annual breakdown of expenditure (current prices) of the so-called “Fiche no. 68” while the Commission aligned it to the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget. As a result, this adjustment to the figures of Fiche no. 68 represents a decrease around 0,0022% (EUR 40 000). For DB2022, the general principle remains a 2% indexation based on the 2021 voted budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Cedefop’s request for the year 2022 is in line with a stable staffing assumption. However, this restricts the ability of the Agency to fulfil its mandate.

Cedefop’s establishment plan foresees 91 posts for 2022, complemented by 4 SNE and 25 CA posts.

4.1.2 Vacancy rate as of end 2020

88 out of 91 establishment plan posts were filled in as of 31/12/2020. This represents a vacancy rate of 3%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Based on past experience, historical turn-over rate and recruitment plans in order to replace staff departing, an abatement rate of 1% was applied to the budget estimate on Title 1 costs.

4.1.4 Salary assumption for calculating salary line (% applied)

For salary projections, Cedefop has used as a basis an increase ratio of 2% which accounts for the annual pay update for 2021 and should be compared to the ratio of salary indexation in effect as of July 2020, affected by the pandemic crisis, which was 0,3%, as well as foreseeable increases in step and the cost of a projected number of promotions. The Agency foresaw also the replacement of staff retiring with new staff recruited at entry levels. In 2022, one member of the staff is planned to retire, compared to 6 in 2021. The salary differential resulting from the variance in indexation rates (0,3% in 2020 vs. 2% in 2021) and retirements in 2021, dictated the establishment of the estimated amount for salaries (Chapter 11) with an increase of 5,78% compared to budget 2021. The trend fades away in 2023 and 2024.

4.1.5 Correction coefficient used

The evolution of the weighting factor has become increasingly unpredictable in recent years and is no longer a reliable guide. For the purposes of salary projections, Cedefop used the weighting factor in place in December 2020 (i.e. 81,4%).

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1, the estimate for 2022 is based on the provisions for the establishment plan and its occupation. It takes into account new recruitment in middle management, turnover and retirements, and the staff resources needed for the implementation of Cedefop's activities, thus representing an increase of 4,72% in 2022 compared to 2021. Salary and allowance costs are calculated based on the December 2020 salaries, increased by salary indexation impact, and include estimates for staff career progression (automatic step increase, promotion and reclassification exercises). However, the increase does not include any likely change in the weighting factor for Greece having an impact on salaries and allowances. Natural increases in staff costs imply an increasing pressure on the overall budget given that any cap imposed by the MFF needs to be respected and most staff costs are inelastic. In consequence, Cedefop will have to absorb any increase in staff expenditure by refocusing and further reducing its activities and deliverables (negative priorities) in Titles 2 and 3.

4.2.2 Title 2

For the year 2022, budget in Title 2 is planned to decrease by about -1,41% in comparison to 2020. This amount includes investments in new technologies, aiming at implementing Cedefop's new ICT strategy, aligning the IT landscape of the Agency with other EU institutions, deploying modern IT solutions for collaboration and knowledge sharing both internally and with external stakeholders and creating efficiency improvements through digitisation of all processes and smart working. Such investments in digitalisation are expected to be recouped in the following years and to generate higher efficiency and productivity enhancements.

4.2.3 Title 3

For Title 3, there is a slight decrease of budget by -1,59% in 2022, compared to 2021. The trend for the following years will be reversed. To keep its operational budget stable in real terms, the Agency will need to crop on the efficiency gains created and apply a staff policy which will maintain a balance between attractiveness as an employer and savings in staff costs whenever possible.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Cedefop building	Thessaloniki	4 550	5 015,28	9 565,28				Building granted by host country	1 661 624
2	Cedefop office Liaison	Brussels	25,6	17,12	42,72	17 326		SLA with OIB		
TOTAL			4 575,6	5 032,4	9 608	17 326				1 661 624

5.1.2 Current building(s) Other comments

The total surface of Thessaloniki office is 9,565.28 m². It is a four-storey building, set in its own grounds on the outskirts of the city of Thessaloniki, and bears a net Book Value of EUR 1,66 million as per 2020 provisional annual accounts.

The Agency rents an office space of 25,60 m² in the Commission, Rue de Spa 3 (SPA3 building). The lease is governed by an SLA signed with OIB.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 European Schools

There is no European School in Thessaloniki. In its absence, Cedefop implements specific policies to support schooling of staff's children.

5.3 Evaluation

The last periodic external evaluation of Cedefop required by the financial rules was completed in 2018. Carried out by DG Employment, the evaluation assessed Cedefop individually as well as in a cross-cutting perspective vis-à-vis the other Agencies falling under the remit of DG Employment i.e. Eurofound, ETF and EU-OSHA. Cedefop is following up on resulting recommendations. The resulting Commission's Staff Working Document (SWD (2019)159) was published on 9 April 2019. The outcomes of the cross-agency evaluation were generally positive while also highlighting areas for improvement. It confirmed the good quality of the Agencies' work despite budgetary and HR constraints, their alignment to the EU agenda and the uniqueness of their outputs/ services. Potential overlaps at the level of the Agencies' mandate did not occur in practice. It was also clear that there would be no overlaps with the recently established European Labour Authority (ELA).

Cedefop's action plan as a follow-up to the Commission's SWD was discussed with its Management Board and transmitted to DG EMPL on 11 October 2019 and became final on 7 November 2019. DG EMPL welcomed in particular the actions, including precise deadlines, addressing the Cedefop-specific recommendations, as well as the cooperation with the other Agencies concerned.

The progress made in implementing the agreed actions was discussed with the Extended Executive Board at its meeting on 29-30 June 2020 and with the Management Board at its meeting on 8-9 October 2020. One recommendation with two actions were fully implemented, and their closure agreed by DG EMPL on 5 August 2020. The implementation of the remaining recommendations is progressing and the next state of play of each recommendation and action will be discussed with the Executive Board at its meeting on 24 June 2021.

In accordance with Article 29.5 of Cedefop's Financial Rules (FR) and Art. 9 of its implementing rules (IR), Cedefop undertakes both ex-ante and ex-post evaluations in line with guidance provided by the Commission of all programmes and activities which entail significant spending.

Cedefop closely follows-up the evaluations and audits recommendations and keeps its Management Board and Executive Board regularly informed on the follow-up given and the status of the recommendations. Follow-up action plans give a comprehensive view of the recommendations, actions envisaged, responsibilities, deadlines and status of implementation.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities of the European Union	Education / day care
The Agency has the status of a diplomatic mission in Greece – only the two official cars and the private cars of the Director and Deputy Director can obtain a diplomatic car plate; yearly VAT exemption is only granted to the Agency (in line with the protocol) and not to staff members.	As regards VAT exemption for staff, the Protocol on privileges and immunities is applicable to EU staff, except for those who were resident in Greece before employment in the Agency. VAT and customs duties exemption applies to such staff during one year from their taking up functions at Cedefop, as provided for in the headquarters Agreement.	Nothing provided by the state of the host country.

1 Overview**1.1 Creation/modification (legal base)**

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 168/2007	15/02/2007	<p>Collect, record, analyse and disseminate relevant, objective, reliable and comparable information and data, including results from research and monitoring communicated to it by Member States, Union institutions as well as bodies, offices and agencies of the Union, research centres, national bodies, non-governmental organisations, third countries and international organisations and in particular by the competent bodies of the Council of Europe;</p> <p>Develop methods and standards to improve the comparability, objectivity and reliability of data at European level, in cooperation with the Commission and the Member States;</p> <p>Carry out, cooperate with or encourage scientific research and surveys, preparatory studies and feasibility studies, including, where appropriate and compatible with its priorities and its annual work programme, at the request of the European Parliament, the Council or the Commission;</p> <p>Formulate and publish conclusions and opinions on specific thematic topics, for the Union institutions and the Member States when implementing Community law, either on its own initiative or at the request of the European Parliament, the Council or the Commission;</p> <p>Publish an annual report on fundamental rights issues covered by the areas of the Agency's activity, also highlighting examples of good practice;</p> <p>Publish thematic reports based on its analysis, research and surveys;</p> <p>Develop a communication strategy and promote dialogue with civil society, in order to raise public awareness of fundamental rights and actively inform about its work.</p>
COUNCIL DECISION (EU) 2017/2269 of 7 December 2017 establishing a Multiannual Framework for the European Union Agency for Fundamental Rights for 2018–2022	07/12/2017	<p>The Agency shall, in accordance with Article 3 of Regulation (EC) No 168/2007, carry out the tasks defined in Article 4(1) of Regulation (EC) No 168/2007 within following thematic areas as these are defined in the Council Decision (EU) 2017/2269 of 7 December 2017 establishing a Multiannual Framework for the European Union Agency for Fundamental Rights:</p> <ul style="list-style-type: none"> a. victims of crime and access to justice; b. equality and discrimination based on any ground such as sex, race, colour, ethnic or social origin, genetic features, language, religion or belief, political or any other opinion, membership of a national minority, property, birth, disability, age or sexual orientation, or on the grounds of nationality; c. information society and, in particular, respect for private life and protection of personal data; d. judicial cooperation, except in criminal matters; e. migration, borders, asylum and integration of refugees and migrants; f. racism, xenophobia and related intolerance; g. rights of the child; h. integration and social inclusion of Roma.

1.2 Seat

Vienna, Austria

1.3 Budget Line

07 10 04 : European Union Agency for Fundamental Rights (FRA)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	48	46	95,83%	48	48
Assistants (AST)	24	24	100,00%	24	24
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	72	70	97,22%	72	72
Contract Agents (CA)	32	30	93,75%	32	32
Seconded National Experts (SNE)	9	5	55,56%	9	9
TOTAL STAFF	113	105	92,92%	113	113

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	23 920 698	23 748 170
Other Revenue	373 000	547 000
TOTAL REVENUES	24 293 698	24 295 170

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 670 000	14 670 000	14 837 170	14 837 170
Title 2 - Infrastructure and operating expenditure	2 292 553	2 292 553	2 559 000	2 559 000
Title 3 - Operational expenditure	7 333 698	7 333 698	6 899 000	6 899 000
Title 4 - Other operational expenditure				
TOTAL EXPENDITURE	24 296 251	24 296 251	24 295 170	24 295 170

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		3		1		4		4		4
AD 13		3		2		3		3		3
AD 12		2		3		4		1		1
AD 11		5		2		7		6		6
AD 10		10		6		12		11		11
AD 9		11		8		9		9		9
AD 8		8		16		5		10		10
AD 7		2		4		3		3		3
AD 6		3		3				2		
AD 5										
AD TOTAL		48		46		48		50		48
AST 11										
AST 10		4				4		3		3
AST 9		2		3		3		3		3
AST 8		3		3		4		3		3
AST 7		7		5		5		5		5
AST 6		6		6		6		7		7
AST 5		2		4		2		3		3
AST 4				3						
AST 3										
AST 2										
AST 1										
AST TOTAL		24		24		24		24		24
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		72		70		72		74		72
GRAND TOTAL		72		70		72		74		72

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	20	16	20	20
Function Group III	10	10	10	10
Function Group II	2	4	2	2
Function Group I				
TOTAL	32	30	32	32

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	9	5	9	9

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	23 326 000	23 920 698	24 119 000	23 748 170	-0,72%
- Of which assigned revenues deriving from previous years' surpluses	168 288	171 003	113 780	113 780	-33,46%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	641 314	373 000	547 000	547 000	46,65%
- Of which EEA/EFTA (excl. Switzerland)	276 314				
- Of which candidate countries	365 000	373 000	547 000	547 000	46,65%
4 OTHER CONTRIBUTIONS	200 000				
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	24 167 314	24 293 698	24 666 000	24 295 170	0,01%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	13 388 913	14 670 000	15 208 000	14 837 170	1,14%
Salaries & allowances	12 075 613	12 600 000	13 037 000	12 666 170	0,53%
- Of which establishment plan posts	9 202 571	9 519 000	9 795 000	9 609 585	0,95%
- Of which external personnel	2 873 042	3 081 000	3 242 000	3 056 585	-0,79%
Expenditure relating to Staff recruitment		150 000	113 000	113 000	-24,67%
Employer's pension contributions					
Mission expenses	12 090	50 000	75 000	75 000	50,00%
Socio-medical infrastructure	70 137	75 000	75 000	75 000	0,00%
Training	87 563	175 000	200 000	200 000	14,29%
External Services	61 576	90 000	95 000	95 000	5,56%
Receptions, events and representation	1 090	3 000	3 000	3 000	0,00%
Social welfare	1 034 998	1 462 000	1 535 000	1 535 000	4,99%
Other Staff related expenditure	45 846	65 000	75 000	75 000	15,38%
Title 2 - Infrastructure and operating expenditure	2 203 574	2 292 553	2 559 000	2 559 000	11,62%
Rental of buildings and associated costs	1 423 999	1 336 000	1 551 000	1 551 000	16,09%
Information, communication technology and data processing	585 937	699 000	706 000	706 000	1,00%
Movable property and associated costs	11 581	55 000	97 000	97 000	76,36%
Current administrative expenditure	37 884	52 553	50 000	50 000	-4,86%
Postage / Telecommunications	111 161	119 000	124 000	124 000	4,20%
Meeting expenses	3 176	13 000	13 000	13 000	0,00%
Running costs in connection with operational activities					
Information and publishing		2 000	2 000	2 000	0,00%
Studies	29 836	16 000	16 000	16 000	0,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 342 513	7 333 698	6 899 000	6 899 000	-5,93%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Fair and equal societies	3 801 577	3 005 000	1 545 500	1 545 500	-48,57%
Just, digital and secure societies	1 051 570	561 000	1 603 500	1 603 500	185,83%
Migration and asylum	941 474	710 000	885 000	885 000	24,65%
Supporting human rights protection systems	646 380	869 000	1 269 000	1 269 000	46,03%
Communicating rights	1 417 526	1 425 000	1 430 000	1 430 000	0,35%
Justice					
Horizontal operational activities	483 986				
Bodies of the Agency and consultation mechanisms					
Reserve for Title 3		763 698	166 000	166 000	-78,26%
Title 4 - Other operational expenditure	227 567				
Cooperation Agreements	227 567				
TOTAL	24 162 567	24 296 251	24 666 000	24 295 170	-0,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	13 304 016	14 670 000	15 208 000	14 837 170	1,14%
Salaries & allowances	12 075 613	12 600 000	13 037 000	12 666 170	0,53%
- Of which establishment plan posts	9 202 571	9 519 000	9 795 000	9 609 585	0,95%
- Of which external personnel	2 873 042	3 081 000	3 242 000	3 056 585	-0,79%
Expenditure relating to Staff recruitment		150 000	113 000	113 000	-24,67%
Employer's pension contributions					
Mission expenses	12 090	50 000	75 000	75 000	50,00%
Socio-medical infrastructure	44 852	75 000	75 000	75 000	0,00%
Training	83 748	175 000	200 000	200 000	14,29%
External Services	61 576	90 000	95 000	95 000	5,56%
Receptions, events and representation	1 090	3 000	3 000	3 000	0,00%
Social welfare	1 006 340	1 462 000	1 535 000	1 535 000	4,99%
Other Staff related expenditure	18 707	65 000	75 000	75 000	15,38%
Title 2 - Infrastructure and operating expenditure	2 077 534	2 292 553	2 559 000	2 559 000	11,62%
Rental of buildings and associated costs	1 392 075	1 336 000	1 551 000	1 551 000	16,09%
Information, communication technology and data processing	546 607	699 000	706 000	706 000	1,00%
Movable property and associated costs	3 122	55 000	97 000	97 000	76,36%
Current administrative expenditure	37 884	52 553	50 000	50 000	-4,86%
Postage / Telecommunications	80 972	119 000	124 000	124 000	4,20%
Meeting expenses	3 176	13 000	13 000	13 000	0,00%
Running costs in connection with operational					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
activities					
Information and publishing		2 000	2 000	2 000	0,00%
Studies	13 698	16 000	16 000	16 000	0,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 647 545	7 333 698	6 899 000	6 899 000	-5,93%
Fair and equal societies	272 217	3 005 000	1 545 500	1 545 500	-48,57%
Just, digital and secure societies	206 715	561 000	1 603 500	1 603 500	185,83%
Migration and asylum	77 370	710 000	885 000	885 000	24,65%
Supporting human rights protection systems	272 395	869 000	1 269 000	1 269 000	46,03%
Communicating rights	424 787	1 425 000	1 430 000	1 430 000	0,35%
Justice					
Horizontal operational activities	394 061				
Bodies of the Agency and consultation mechanisms					
Reserve for Title 3		763 698	166 000	166 000	-78,26%
Title 4 - Other operational expenditure	117 688				
Cooperation Agreements	117 688				
TOTAL	17 146 783	24 296 251	24 666 000	24 295 170	-0,00%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): 113,780.19 EUR

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the status quo with 2021, that is, 72 temporary agents and 32 contract agents.

Financial Resources

The Commission supports 2% indexation based on the 2021 first draft budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Establishment plan posts

One AD 6 Project manager – with a strong skillset encompassing data analysis and related technical skills in data management and manipulation, who should have the required understanding and experience to build and maintain the data architecture of a data research project. The Project Manager should be able to run projects having as their aim the assessment of fundamental rights implications of various uses of data; for example, with respect to AI related research and industry applications across the EU. The proposed grade for this post is in line with the tasks to be undertaken.

One AD 6 Project manager - this post would allow the Agency to align its human resources capacity in the area of migration and asylum to the increased demand for fundamental rights expertise required by the two JHA agencies, Frontex and EASO, in light of their revised mandates. FRA would also have the possibility to continue with its temporary

deployment of FRA experts to EU Members States most affected by new arrivals. The proposed grade for this post is in line with the tasks to be undertaken.

Contract agents

One CA FG IV Project officer – this post would allow the agency to build-up the necessary capacity to mainstream its work in relation to AI within different fundamental rights field. FRA needs to have the necessary skillsets related to digital innovations – which increasingly encompasses all areas of the Agency’s data collection and research.

One CA FG IV Project officer – interoperability and EU IT systems – the post should allow FRA to increase its capacity to address fundamental rights compliance in the implementation of the new architecture for interoperability among EU IT systems.

4.1.2 Vacancy rate as of end 2020

97.2% (70 posts out of 72 were occupied)

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

0.7% increase was applied on the current salary grid.

1.2% July 2021-June 2022

1.4% July 2022- December 2022

4.1.5 Correction coefficient used

The current correction coefficient was used (107.9%).

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title I amounts to €15,208,000, which corresponds to a 3.7% increase compared to 2021 final budget. This increase refers mainly to the increase of the salaries and the additional posts that have been estimated. The remainder of Title I is estimated based on actual needs taking into consideration, where appropriate, the 2020 executed budget, the total number of staff and their allowances.

4.2.2 Title 2

In 2022, the Agency expects to receive from the Austrian Authorities, on a yearly basis, the amount of €120,000 for the rent subsidy. Since this contribution is based on an exchange of letters and not on a legal basis (e.g. seat agreement), the Agency budgets the full rent cost for each year. The subsidy is only entered in the year's budget once it is cashed. However, it is used in the next financial year. For example the 2020 rent subsidy has been introduced in the 2020 budget in the form of an amending budget and has been carried over for consumption in 2021.

Similarly, as soon as the Agency receives from the Austrian Authorities the rent subsidy for 2021, a reduction of C1 appropriations under the rent's budget line in 2022 will take place. The equivalent of C1 appropriations will be transferred to Title III. Therefore, the 2022 budget, at this stage, foresees the full rent costs.

This is the main reason for the difference under Title II where an increase of 11.6% compared to 2021 is shown. However, Title II is expected to remain at 2021 level provided that the 2021 rent subsidy will be cashed.

4.2.3 Title 3

The Agency prepared its draft Annual Work Programme (AWP) for 2022 after consulting its wide range of stakeholders (Council of Europe, National Human Rights Institutions, Scientific Committee, Equality Bodies, Ombudsperson, National Liaison Officers, Fundamental Rights Platform, International organisation and relevant EU Agencies). Based on this AWP the estimate of expenditure under Title III amounts to € 6,899,000 representing 28 % of the overall budget for the financial year 2022 which, within the relevant areas of work, will be used to implement the following activities:

A) Fair and Equal Societies

In 2022, FRA will publish further results from the Roma Survey 2020 as well as the preliminary findings from the EU Survey on Immigrants and Descendants of Immigrants and will continue to disseminate findings related to the area of ‘Equality and Non-Discrimination’. The Agency will also launch two new projects on Ageing in digital societies: enablers and barriers to older persons exercising their rights and Social rights in the digital age.

The Agency will further develop the evidence base on Roma integration to provide technical assistance on Roma inclusion to EU institutions and Member States, and to build the capacities of the Roma communities through participatory engagement methods.

In the area of ‘Racism, xenophobia and related intolerance’, the Agency included a new project as 1st Priority, ‘Third FRA survey on discrimination and hate crime against Jews’.

B) Just, Digital and Secure Societies

In the area of ‘Victims of crime and access to justice’, working in partnership with EIGE and the European Commission, FRA will initiate the data collection to fill a gap in the on-going Eurostat data collection on violence against women.

In addition, the project on Business and Human Rights: Consumer Protection and the Environment follows-up on FRA’s past research in the area of business and human rights, which focused on ways to improve access to justice for victims of business related abuses of human rights.

In the area of ‘Judicial cooperation, except in criminal matters’, the Agency will finalize its research on the procedural rights of children suspected or accused of crime. FRA will update its current database and extend its scope by adding new elements (where possible), such as healthcare in prison (including provisions related to COVID-19) and elements of detention related to possible discrimination.

The Agency’s work on Capacity building and technical assistance to prevent unlawful profiling will continue in 2022 to collect and disseminate good practices, promoting fair policing and building on their existing training manual, and guide on preventing unlawful profiling.

C) Migration and Asylum

In the area of ‘Migration, borders, asylum and integration of refugees and migrants’, FRA will provide fundamental rights expertise to EU institutions and agencies and EU Member States in the context of the Pact.

In 2022, FRA will continue its projects on ‘Providing fundamental rights expertise to address operational challenges in the field of asylum, borders and migration’ and on ‘The fundamental rights situation of long-term residents in the EU’.

D) Supporting Human Rights Systems

In the area of ‘Supporting human rights systems across all MAF areas’, FRA’s work focuses on providing tools for more systematic human rights work. Specific projects in this area include EU Fundamental Rights Information System – EFRIS that provides direct access to the assessments made by monitoring mechanisms and offers comparison across the EU; the Fundamental Rights Platform and FRA’s work on the application of the EU Charter of fundamental rights.

The Agency will also continue its cooperation with policy-makers at EU and Member State level to ensure that its evidence base can inform policy and legislative developments relevant for fundamental rights.

E) Communicating Rights

In 2022 FRA will continue building on the expertise gained through its Human Rights Communicators Network.

In the area of ‘Projects and activities covering all MAF areas’, the Agency will continue to produce its Annual Reports and to produce and disseminate a number of information products, including awareness-raising material, and disseminate it to the Agency’s stakeholders.

In 2022, the Agency will further promote and expand its online toolkits, to build capacity among its stakeholders, raise awareness and effectively promote rights.

4.3 Ad hoc grants and delegation agreements

In December of 2017 the Agency signed an agreement with the Financial Mechanism Office (FMO) of the EEA and Norway Grants by which the Grants undertook to contribute up to € 1,500,000 towards FRA's expenses in carrying out activities in the role of International Partner Organisation.

This role is articulated under three Programmes, under two of which the Agency already started cooperation with the Czech Republic ("Human rights, Roma Inclusion and Domestic and Gender-based Violence") and Greece ("Roma Inclusion and Empowerment") respectively. The Programmes are envisaged to end on 31 December 2024. The Agency receives the Grants' contribution through half-yearly disbursements. Under this cooperation, the annual allocation the Agency expects to receive during 2022 is € 214,286. The Agency has employed 3 contract agents paid through the programme, and it is expecting to extend the pool with 2 new contract agents by 2022.

On 11 June 2019, the Agency signed a Project Partnership Agreement with the National Statistical Institute of Bulgaria ("Novel Approaches to Generating Data on hard-to-reach populations at risk of violation of their rights" funded under the EEA/Norwegian Financial Mechanism Programme under call BGLD-3.001, Programme "Local Development, Poverty Reduction and Enhanced Inclusion of Vulnerable Groups"). The duration of the project is until the end of 2021. The total budget of the project is € 997,708, of which the cost of components FRA implements is € 248,111. FRA receives the funding in advance payments agreed in the Project Partnership Agreement. So far, FRA received two instalments of total value € 136,461.05. The Agency will receive € 86,838.85 in 2021 and € 24,811.10 in 2022 as final payment to settle accounts.

Other two projects with the Greek Ombudsman as the project promoter are planned for agreement in 2021. They will have a possible total financial impact of €1,248,111, from which €274,811 in 2022.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	FRA building	Schwarzenbergplatz 11 AT-1040 Vienna Austria	3 704	1 842	5 546	856 724	Lease agreement for an initial period of 10 years with the provision for an indefinite period.		Based on a letter of intent received from the Austrian authorities subsidise 120,000 EUR.	
TOTAL			3 704	1 842	5 546	856 724				

5.1.2 Current building(s) Other comments

It should be noted that the offices are accommodated in an old building which was renovated in 2008 keeping its original layout and does not provide any luxurious modern amenities. In addition, the price per m² for the net office space (i.e. 3704 m²) is on the lower end of the average prices in central Vienna areas.

5.1.3 Building projects in the planning phase

Considering the age of the building facilities, the Agency initiated discussions with the building owners regarding a possible modernisation of the facilities that will be primarily funded by the building owner. In exchange, the Agency foresees that it will agree to occupy the current premises for a number of years and after that there will be an applicable exit clause. This approach follows the same principle of the lease agreement signed in 2007 where following the requested reimbursement costs, the Agency agreed to occupy the premises for a period of 10 years. The discussions are ongoing and a decision is expected this year and hence it is currently premature at present to foresee any such building works.

5.1.4 Building projects submitted to the European Parliament and the Council

Regarding the abovementioned project and in accordance with the Financial Regulation, the Agency requested the opinion of the budget committees of the Council of the European Union and of the European Parliament. The responses

of both committees were positive mentioning that it was considered that the project does not constitute a building project likely to have significant financial implications within the meaning of Article 266(5) of the Financial Regulation”. Therefore, they agreed with the Agency’s assessment that the Agency does not have to present this building project following the provisions of Article 266(3) of the Financial Regulation. Hence allowing the Agency to proceed with the signature of the lease agreement amendment expected early 2021. The delay in reaching an agreement was due to the SARS-CoV-2 pandemic and based on which the building owner had to postpone all building projects as they could not plan the expected project duration.

5.2 European Schools

N/A

5.3 Evaluation

Evaluation activities focus on measurement at the level of short-term, long term and aspirational impact of the projects. Depending on the stage of the project’s life cycle the evaluation activities are conducted, Ex-ante and Retrospective evaluations are performed.

- Based on the Financial Rules of the Agency, an ex-ante evaluation supporting the preparation of programmes and activities shall be based on evidence, if available, on the performance of related programmes or activities. It shall identify and analyse the issues to be addressed, the added value of Union involvement, objectives, expected effects of different options and monitoring and evaluation arrangements.

More specifically, following the previous FRA’s Implementing Rules to the Financial Rules, which provided specific guidance on the evaluations to be made, proposals for programmes, projects or activities occasioning budget expenditure or changes to the work programme for which the overall estimated expenditure exceeds 5% of the average operational expenditure of the preceding 3 years, shall be subject of an ex-ante evaluation.

- Retrospective evaluations assess the performance of the programme or activity, including aspects such as effectiveness, efficiency, coherence, relevance and EU added value. These evaluations shall be based on the information generated by the monitoring arrangements and indicators established for the action concerned. They shall be undertaken periodically and in sufficient time for the findings to be taken into account in ex-ante evaluations or impact assessments that support the preparation of related programmes and activities.

More specifically, following the previous FRA’s Implementing Rules to the Financial Rules, which provided specific guidance on the evaluations to be made, programmes, projects or activities, including pilot projects and preparatory actions, where the resources mobilised of the estimated expenditure exceeds 10% of the average annual operational expenditure of the preceding 3 years, shall be the subject of an interim and/or ex post evaluation in terms of the human and financial resources allocated and the results obtained.

An evaluation plan for year 2022 will be prepared in 2021 and will be included in the Programming Document 2022 – 2024 expected to be adopted by FRA Management Board in December 2021.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency is recognised as an international organisation.	The Director, the Heads of Unit, the Heads of Sector and two designated senior staff members with grades AD9 or above, have been granted diplomatic status. They can claim VAT reimbursement and register tax free vehicles every two years.	In the absence of a European School and a European Sector In Austria the Agency has adopted two social measures: one regarding financial support to nursery schools and day care centres, and another one to Multi-lingual tuition for children of the Agency staff In Vienna.
The Agency enjoys VAT exemption.	The Agency’s staff members (the remaining Temporary Agents and all Contract Agents) can register a tax free vehicle every four years. This excludes Austrian nationals.	-
No other privileges are offered to the Agency.	The Agency has been granted access to the UN Commissary, where staff members can purchase certain tax free items up to a limit based on their annual salary in accordance with the UN conditions.	-

1 Overview**1.1 Creation/modification (legal base)**

Decision	Date	Mission / Tasks / Functions
Regulation (EC)1922/2006 of the European Parliament and of the Council on establishing a European Institute for Gender Equality	20/12/2006	<p>The Institute's mission is to become the European competence centre on gender equality issues.</p> <p>The Institute has been designed to contribute to and strengthen the promotion of gender equality, including gender mainstreaming in all EU policies and the resulting national policies, and the fight against discrimination based on sex, and to raise EU citizens' awareness of gender equality by providing technical assistance to the EU institutions and the authorities of the Member States, which cannot be sufficiently achieved by the Member States and can therefore, by reason of the scale of the action, be better achieved at EU level, the EU may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary to achieve those objectives.</p> <p>The Institute shall collect, analyse and disseminate relevant objective, comparable and reliable information as regards gender equality, including results from research and best practice. The Institute shall set up and coordinate a European Network on Gender Equality, involving the centres, bodies, organisations and experts dealing with gender equality and gender mainstreaming.</p> <p>The Institute shall make information on gender mainstreaming available to public and private organisations; and provide information to the EU Institutions on gender equality and gender mainstreaming in the accession and candidate countries.</p>

1.2 Seat

Vilnius, Lithuania

1.3 Budget Line

07 10 05 : European Institute for Gender Equality (EIGE)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	21	21	100,00%	21	21
Assistants (AST)	6	6	100,00%	6	6
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	27	27	100,00%	27	27
Contract Agents (CA)	10	12	120,00%	10	10
Seconded National Experts (SNE)	5	2	40,00%	5	5
TOTAL STAFF	42	41	97,62%	42	42

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	9 067 878	8 257 920
Other Revenue	0	0
TOTAL REVENUES	9 067 878	8 257 920

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 714 663	3 714 663	3 818 000	3 818 000
Title 2 - Infrastructure and operating expenditure	1 525 708	1 525 708	1 130 420	1 130 420
Title 3 - Operational expenditure	3 827 507	3 827 507	3 309 500	3 309 500
TOTAL EXPENDITURE	9 067 878	9 067 878	8 257 920	8 257 920

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		2		1		2		2		2
AD 11		2		1		2		2		2
AD 10		4		2		4		4		4
AD 9		2		1		2		2		2
AD 8		4		2		4		4		4
AD 7		3		8		3		3		3
AD 6		3		1		3		3		3
AD 5				4						
AD TOTAL		21		21		21		21		21
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8		1				1		1		1
AST 7		2				2		2		2
AST 6		2		3		2		2		2
AST 5				1						
AST 4				1						
AST 3										
AST 2										
AST 1										
AST TOTAL		6		6		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		27		27		27		27		27
GRAND TOTAL		27		27		27		27		27

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	3	3	3	3
Function Group III	5	7	5	5
Function Group II	2	2	2	2
Function Group I				
TOTAL	10	12	10	10

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	5	2	5	5

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	7 749 900	9 067 878	8 257 920	8 257 920	-8,93%
- <i>Of which assigned revenues deriving from previous years' surpluses</i>	82 095	141 250	274 827	274 827	94,57%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- <i>Of which EEA/EFTA (excl. Switzerland)</i>					
- <i>Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	7 749 900	9 067 878	8 257 920	8 257 920	-8,93%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)	145 078				
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	145 078				

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 580 928	3 714 663	3 818 000	3 818 000	2,78%
Salaries & allowances	3 285 866	3 270 763	3 364 000	3 364 000	2,85%
- Of which establishment plan posts	2 465 993	2 445 583	2 544 000	2 544 000	4,02%
- Of which external personnel	819 873	825 180	820 000	820 000	-0,63%
Expenditure relating to Staff recruitment	2 400	84 000	31 500	31 500	-62,50%
Employer's pension contributions					
Mission expenses	4 184	30 000	41 500	41 500	38,33%
Socio-medical infrastructure	103 642	108 000	120 000	120 000	11,11%
Training	42 822	66 400	65 000	65 000	-2,11%
External Services	141 500	147 000	187 000	187 000	27,21%
Receptions, events and representation	514	8 500	9 000	9 000	5,88%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 150 202	1 525 708	1 130 420	1 130 420	-25,91%
Rental of buildings and associated costs	539 420	634 800	578 500	578 500	-8,87%
Information, communication technology and data processing	432 264	265 000	255 000	255 000	-3,77%
Movable property and associated costs	1 200	7 000	7 000	7 000	0,00%
Current administrative expenditure	122 402	460 018	110 700	110 700	-75,94%
Postage / Telecommunications					
Meeting expenses	35 574	136 890	139 560	139 560	1,95%
Running costs in connection with operational activities		1 000			-100%
Information and publishing	19 342	21 000	39 660	39 660	88,86%
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	3 040 697	3 827 507	3 309 500	3 309 500	-13,53%
Translations and interpretation costs	108 279	229 500	120 000	120 000	-47,71%
Operational Missions	10 902	160 000	145 000	145 000	-9,38%
Comparable and reliable data and indicators on GE	2 305 613	2 584 000	2 240 000	2 240 000	-13,31%
Implementing GE and mainstreaming					
Resource and Documentation Centre					
Awareness raising, networking and communication	496 087	854 007	804 500	804 500	-5,80%
Effective organisation and bodies of EIGE	119 816				
TOTAL	7 771 827	9 067 878	8 257 920	8 257 920	-8,93%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 580 929	3 714 663	3 818 000	3 818 000	2,78%
Salaries & allowances	3 285 866	3 270 763	3 364 000	3 364 000	2,85%
- Of which establishment plan posts	2 465 993	2 445 583	2 544 000	2 544 000	4,02%
- Of which external personnel	819 873	825 180	820 000	820 000	-0,63%
Expenditure relating to Staff recruitment	2 400	84 000	31 500	31 500	-62,50%
Employer's pension contributions					
Mission expenses	4 184	30 000	41 500	41 500	38,33%
Socio-medical infrastructure	103 643	108 000	120 000	120 000	11,11%
Training	42 822	66 400	65 000	65 000	-2,11%
External Services	141 500	147 000	187 000	187 000	27,21%
Receptions, events and representation	514	8 500	9 000	9 000	5,88%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 150 202	1 525 708	1 130 420	1 130 420	-25,91%
Rental of buildings and associated costs	539 420	634 800	578 500	578 500	-8,87%
Information, communication technology and data processing	432 264	265 000	255 000	255 000	-3,77%
Movable property and associated costs	1 200	7 000	7 000	7 000	0,00%
Current administrative expenditure	122 402	460 018	110 700	110 700	-75,94%
Postage / Telecommunications					
Meeting expenses	35 574	136 890	139 560	139 560	1,95%
Running costs in connection with operational activities		1 000			-100%
Information and publishing	19 342	21 000	39 660	39 660	88,86%
Studies					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 040 697	3 827 507	3 309 500	3 309 500	-13,53%
Translations and interpretation costs	108 279	229 500	120 000	120 000	-47,71%
Operational Missions	10 902	160 000	145 000	145 000	-9,38%
Comparable and reliable data and indicators on GE	2 305 613	2 584 000	2 240 000	2 240 000	-13,31%
Implementing GE and mainstreaming					
Resource and Documentation Centre					
Awareness raising, networking and communication	496 087	854 007	804 500	804 500	-5,80%
Effective organisation and bodies of EIGE	119 816				
TOTAL	7 771 828	9 067 878	8 257 920	8 257 920	-8,93%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 274,826.91.

4 Justification of needs

Commission assessment

With regard to financial resources, the Commission supports the budgetary request made by EIGE for the DB 2022 (total amount: EUR 8 257 920). The overall allocation request is in line with the general principle of a 2% indexation based on the 2021 voted budget (excluding the one-off increase agreed in conciliation for EIGE's budget 2021). Moreover, the repartition of the overall allocation between the different titles reflects a distribution similar to that of previous years.

With regard to human resources, the Commission takes note that the Agency requests the conversion of two SNE posts to two contract agent (FG IV) posts, plus two additional contract agent posts (FG IV). However, the Commission proposes to keep the general line towards all decentralised agencies and does not agree to this request.

EIGE justification

The funds requested within the 2022 draft budget are to cover the activities defined by the 2022 Single Programming Document (SPD 2022-2024). As the findings of EIGE's External Evaluation (2015) showed, the Institute has established itself as the main source of information on gender equality in the EU, and is succeeding in adding value at European level by providing unique evidence for policy makers. EIGE has developed tools to do this and will continue making them responsive to the needs of its diverse stakeholders.

For the first time, EIGE will adopt a new approach to its work programme and focus its research on three priority topics during the planning period. These reflect the Commission's Political Guidelines and the priorities of the EU's Gender Equality Strategy 2020 – 2025. They include gender-based violence, an economy that works for people and the European Green Deal.

In 2022, EIGE will continue supporting the Presidencies of the Council of the European Union to monitor the EU's international gender equality commitments. EIGE will tackle the socio-economic consequences of the COVID-19 pandemic, which have affected the lives of all EU citizens and created new challenges for gender equality. EIGE's Gender Equality Index, which has become the EU's benchmarking and monitoring tool for gender equality, will take an in-depth look at some of these repercussions, including the effects on work-life balance.

EIGE's work on gender mainstreaming will focus on developing practical resources and tools to help policymakers integrate a gender perspective in all policy areas, at any stage of the policy-making cycle. Work will begin on developing

a gender-responsive monitoring and evaluation tool. EIGE will continue to provide the EU with the latest sex-disaggregated data and gender statistics for a range of policy areas through its Gender Statistics Database.

EIGE has established itself as a primary source of information and tools to support the EU Member States with their response to violence against women. In 2022, EIGE will continue its work to collect comparable data on intimate partner violence and femicide. With growing concern for digital forms of violence, EIGE will also improve comparability of data on cyber violence across the EU.

EIGE will keep spreading the message of gender equality throughout Europe and beyond by communicating research findings in a way that is clear, timely and most useful for policymakers.

Central to the delivery of its work programme is an effective administrative structure and in 2022, the Administration Unit of EIGE will continue to operate to the highest standards.

Human Resources

At the end of 2020, EIGE's establishment plan was operating at full occupancy. Having reduced the number of Temporary Agent posts from 30 to 27 in the period 2015-2017, no further reductions are envisaged for the 2022-2024 period and no increase in posts is requested.

The requested appropriations in Title I relate to staff expenditure as foreseen in the Establishment Plan as well as external personnel, training, missions of an administrative nature and other staff related costs. The appropriations and the Establishment plan take into account career development possibilities for staff (in step and in grade) in line with the Staff Regulations and the Conditions of Employment of Other Servants (CEOS).

Financial Resources

The total EU contribution is EUR 8 257 920 in 2022, of which EUR 3 818 000 in Title I covering all staff related costs, EUR 1 130 420 in Title II covering main items as building rental expenses, ICT expenses as well as Management Board and Experts' Forum meeting expenses, and EUR 3 309 500 in Title III covering operational expenditure..

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

As indicated above, EIGE's Establishment Plan maintains the level of 27 posts as in previous years and no additional posts are requested. The draft SPD 2022-2024 and draft budget 2022 indicates a justified request for the conversion of two SNE posts to two contract agent (FG IV) posts and two contract agent posts (FGIV).

The chronic difficulty for Member States to second experts is not in dispute. Nonetheless EIGE's request to convert two SNE posts into two contract agent posts (FG IV) plus two other contract agent posts (FGIV) has sought to address the long-term impact of contract staff on the budget. EIGE's request is made in the context of the following:

- EIGE has employed 49 contract agents since 2009. In the ten year period from 2010 to 2020, 15 (30%) of these resigned to join other EU institutions and agencies.
- A further 14 (29%) who also left EIGE were not engaged with any other EU institution or agency. At the time of their departure, none had a ten-year employment record leading to EU pension rights.
- As at 31 December 2020, 14 contract agents²⁷ had an employment contract with EIGE and of these, only one is on an indefinite contract.
- Separately, between 2010 and 2020, there were six contract agents engaged by EIGE who were subsequently offered posts as temporary agents after open selection procedures. Of these, two left to join other EU institutions/agencies. As at 31 December 2020, four remain employed at EIGE and of these, only one has an indefinite contract.
- Together with the new posts requested, the total number of contract agent posts equates to 52% of EIGE's establishment plan. This is still significantly below the 75% threshold defined under CEOS, Article 3a.2.

²⁷ Of these two are on short term maternity cover contracts, while two others are financed under the IPA programme.

4.1.2 Vacancy rate as of end 2020

The Institute's Establishment Plan was at 100% occupancy by the end of 2020. The Establishment Plan is projected at 96-100 % level for 2021.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The following assumptions were made for the 2022 – 2024 period.

- An Establishment Plan of 27 posts, 14 Contract Agent posts, 3 SNE positions and 8 trainee posts.
- A country coefficient of 76.6 % and an inflation rate of 1 %.
- Staff whose contracts terminated/not extended entitled to: double resettlement allowance (2 basic salaries), travel out and removal costs reimbursement.
- New staff members (TAs and CAs) are expected to have the following average profile: expatriate, married, with 0.5 children, receiving education allowance.
- A natural turnover of 10 % for TAs and 10 % for CAs projected (entitled to installation allowance (single basic salary taken), daily allowances for seven months and removal costs reimbursement).
- Appropriations for two contract agent posts financed under the IPA programme are subject to availability of funds.

4.1.5 Correction coefficient used

76.6

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Appropriations under Title 1 are intended to cover staff expenditure as foreseen in the Establishment Plan for 2022, as well as external personnel, training and missions of administrative nature. At the level of 100 % occupancy of the Establishment Plan, this Title also takes into account career development possibilities for staff (in step and in grade). Title 1 also covers socio-medical expenses as well as services provided by third parties related to staff.

4.2.2 Title 2

Appropriations under Title 2 are intended to cover rent and relevant premises services, IT, movable property, current administrative expenditure and some other operations' related services. The external meeting expenses are intended mainly to cover the cost of organisation of meetings for the Management Board (with relevant interpretation services) and the Experts' Forum.

4.2.3 Title 3

Appropriations under Title 3 are intended to cover preparation and implementation activities of the Single Programming Document 2022-2024, as well as translations and operational missions required for the performance of the activities of the Institute, under its mandate. The implementation of the SPD 2022-2024 is to be performed by carrying out research and data collection, update and development of tools and methods for gender mainstreaming, communicating gender equality and supporting the key stakeholders. These activities will focus on thematic priorities in line with the Commission's Political Guidelines and the priorities of the EU Gender Equality Strategy 2020 – 2025.

4.3 Ad hoc grants and delegation agreements

A Grant Agreement for Pillar Assessed Organisations (PA Grant Agreement) (No 2017/389-613) for implementation of the action “EIGEs’ cooperation with the EU candidate and potential candidate countries 2017-2019: improved monitoring of gender equality progress” was signed between DG NEAR and EIGE on 21/11/2017. Total eligible costs of the action were EUR 200 000. The agreed pre-financing rate was 100 %. Salary for a Contract Agent at FG III for 16 months was included in the budget under this agreement. The project was fully implemented by 31/12/2018 and duly reported by 30/06/2019.

A new Contribution Agreement (2018/402-854) for implementation of the action “Increased capacity of EU candidate countries and potential candidates to measure and monitor impact of gender equality policies (2018-2021)” was signed between DG NEAR and EIGE on 20/12/2018. The initial implementation period of the action was planned for 30 months starting 01/01/2019. Total eligible costs of the action were EUR 550 000.

On 20/12/2019, EIGE and DG NEAR signed the extension of this contract for 18 months and with an additional budget of EUR 428.950,30. Both parties agreed on the Addendum No 1 to Contribution Agreement Contract No 2018/402-854 (CRIS 2019/414-064) for the implementation of the action “Increased capacity of EU candidate countries and potential candidates to measure and monitor impact of gender equality policies (2018-2022)”. The total implementation period of the action, after addendum, is 48 months, from 01/01/2019 to 31/12/2022. Total eligible costs of the action are EUR 928.950,30. The agreed pre-financing rate is 100 %. Salaries for a Contract Agent at FG III for 48 months and a Contract Agent at FG II for 42 months are budgeted under this agreement.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	The House of EU	Gedimino pr. 16, Vilnius, Lithuania	1 780,79	271,34	2 052,13	410 000	Lease contract for 5+5 years	Lease	Host country granted the premises for the first 2 years	
TOTAL			1 780,79	271,34	2 052,13	410 000				

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

N/A

5.3 Evaluation

Last external evaluation covering the period from early 2010 when EIGE gained its administrative and financial independence to late 2014 when the evaluation field work started has been submitted to the European Parliament and the Council by the European Commission in December 2015. The final report is also available on EIGE's website, following this link: <http://eige.europa.eu/about-eige/documents-registry/external-evaluation-european-institute-gender-equality>.

EIGE's External Evaluation 2020 (Reg. 1922/2006, Art. 20) has been budgeted under the Budget 2019 and during the meeting in November 2018 EIGE presented its initial assessment giving arguments to postpone the Evaluation: early stage of EIGE's establishment; the fact that the Institute has not finalised measures planned and implemented to meet the recommendations of the recent Evaluation and on the principle of proportionality. In June 2019, a formal decision was adopted by the Management Board to postpone the evaluation indicatively to the year 2022.

5.4 Privileges and immunities

Accreditation status as per agreement drawn up between EIGE and the Government of the Republic of Lithuania and signed in Vilnius on 24/10/2012.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Immunity and inviolability Exemptions from all direct taxes	Immunity from jurisdiction as regards acts carried out in official capacity. Exemption from regulations restricting immigration and formalities for registration of foreigners. Exemption from national taxes on salaries, wages and emoluments paid by EIGE. VAT exemption during first year of services (for non-Lithuanian residents). The Director and management staff accorded the privileges and immunities accorded to the staff of diplomatic missions in accordance with Vienna convention of diplomatic relations of 18 April 1961	Same rights and access to preschool and school education as for the nationals of the Republic of Lithuania.

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No. 1360/90	07/05/1990	<p>Provide assistance in the definition of training needs and priorities</p> <p>Act as a clearing house to provide information on current initiatives and future needs in the training field, and provide a framework through which offers of assistance can be channelled</p> <p>On the basis of (a) and (b) above:</p> <p>Examine the scope for joint ventures of training assistance</p> <p>Fund the design and the preparation of such projects;</p> <p>Implement, at the request of the Commission or of the eligible countries in cooperation with the governing board, vocational training programmes;</p> <p>For activities and projects which are funded by the Foundation: arrange for the appropriate public and/or private bodies with a proven training record and the necessary expertise to design, prepare, implement and/or manage projects on a flexible, decentralized basis;</p> <p>In collaboration with the Commission, assist in the monitoring and evaluation of the overall effectiveness of training assistance to the eligible countries;</p> <p>Disseminate information and encourage exchanges of experience, with a focus on the countries of Central and Eastern Europe designated as eligible for economic aid by the Council in Regulation (EEC) No 3906/89 or in any subsequent relevant legal act.</p>
Council Regulation (EC) No.2063/90	27/07/1994	Addition to ETF's geographic scope to include the independent States of the former Soviet Union and Mongolia which are the beneficiaries of the programme to assist economic reform and recovery under Regulation (Euratom, EEC) No 2053/93 or in any subsequent relevant legal act.
Council Regulation (EC) No.1572/98	17/07/1998	Addition to ETF's geographic scope to include the Mediterranean non-member countries and territories which are the beneficiaries of the financial and technical measures to accompany the reform of their economic and social structures pursuant to Regulation (EC) No 1488/96 or any subsequent relevant legal act.
Council Regulation (EC) No. 2666 /2000	05/12/2000	Addition to ETF's geographic scope to include Albania, Bosnia-Herzegovina; Croatia; the Federal Republic of Yugoslavia, the Former Yugoslav Republic of Macedonia
Council Regulation (EC) No. 1648/2003	18/06/2003	Amending Regulation (EEC) No 1360/90 establishing a European Training Foundation, in order to adapt it to the new Financial regulations of ETF
Council Regulation (EC) No 1339/2008	16/12/2008	<p>Recast of Council Regulation (EC) No 1339/2008 establishing a European Training Foundation.</p> <p>Recasting of ETF Regulation in line with external assistance instruments, greater flexibility in geographic scope, and human capital development.</p> <p>The ETF's functions under the recast regulation are to:</p> <ul style="list-style-type: none"> - provide information, policy analyses and advice on human capital development issues in partner countries; - promote knowledge and analysis of skills needs in national and local labour markets; - support relevant stakeholders in partner countries in building capacity in human capital development; - facilitate the exchange of information and experience among donors engaged in human capital development reform in partner countries; - support the delivery of EU assistance to partner countries in the field of human capital development; - disseminate information and encourage networking and the exchange of experience and good practice between the EU and partner countries and amongst partner countries in human capital development issues; - contribute, at the Commission's request, to the analysis of the overall effectiveness of training assistance to the partner countries

1.2 Seat

Torino, Italy

1.3 Budget Line

07 10 06 : European Training Foundation (ETF)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	56	56	100,00%	57	58
Assistants (AST)	30	29	96,67%	29	28
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	86	85	98,84%	86	86
Contract Agents (CA)	42	41	97,62%	42	42
Seconded National Experts (SNE)					
TOTAL STAFF	128	126	98,44%	128	128

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	21 300 000	21 726 000
Other Revenue	0	0
TOTAL REVENUES	21 300 000	21 726 000

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	102 739	

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 540 000	14 540 000	14 863 000	14 863 000
Title 2 - Infrastructure and operating expenditure	2 193 000	2 193 000	2 024 000	2 024 000
Title 3 - Operational expenditure	4 669 739	4 669 739	4 839 000	4 839 000
TOTAL EXPENDITURE	21 402 739	21 402 739	21 726 000	21 726 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15								1		1
AD 14		1		1		1				
AD 13		5		2		5		5		5
AD 12		10		5		10		11		11
AD 11		10		10		10		10		10
AD 10		9		12		9		10		10
AD 9		13		13		13		12		12
AD 8		6		6		6		5		5
AD 7		1		6		3		4		4
AD 6		1		1						
AD 5										
AD TOTAL		56		56		57		58		58
AST 11		1		1		1				
AST 10		3				3		3		3
AST 9		10		11		13		13		13
AST 8		10		5		6		6		6
AST 7		4		2		4		4		4
AST 6		1		3		1		2		2
AST 5		1		4		1				
AST 4				3						
AST 3										
AST 2										
AST 1										
AST TOTAL		30		29		29		28		28
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		86		85		86		86		86
GRAND TOTAL		86		85		86		86		86

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	13	12	14	15
Function Group III	24	20	23	24
Function Group II	5	9	5	3
Function Group I				
TOTAL	42	41	42	42

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	20 400 000	21 300 000	21 726 000	21 726 000	2,00%
- Of which assigned revenues deriving from previous years' surpluses	20 101	246 713	347 203	347 202	40,73%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	20 400 000	21 300 000	21 726 000	21 726 000	2,00%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from contribution agreements (FFR Art.7)		102 739			-100%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL		102 739			-100%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	14 023 148	14 540 000	14 863 000	14 863 000	2,22%
Salaries & allowances	13 336 127	13 944 000	14 223 000	14 223 000	2,00%
- Of which establishment plan posts	10 945 264	11 430 000	11 659 000	11 659 000	2,00%
- Of which external personnel	2 390 863	2 514 000	2 564 000	2 564 000	1,99%
Expenditure relating to Staff recruitment	32 780	7 000	9 000	9 000	28,57%
Employer's pension contributions					
Mission expenses	5 350	65 000	65 000	65 000	0,00%
Socio-medical infrastructure	47 030	25 000	25 000	25 000	0,00%
Training	89 049	210 000	210 000	210 000	0,00%
External Services	441 673	258 000	300 000	300 000	16,28%
Receptions, events and representation		4 000	4 000	4 000	0,00%
Social welfare	71 139	27 000	27 000	27 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 260 698	2 193 000	2 024 000	2 024 000	-7,71%
Rental of buildings and associated costs	975 694	757 600	706 800	706 800	-6,71%
Information, communication technology and data processing	1 214 028	1 261 200	1 143 000	1 143 000	-9,37%
Movable property and associated costs	14 267				
Current administrative expenditure	41 499	66 000	66 000	66 000	0,00%
Postage / Telecommunications	2 250	8 200	8 200	8 200	0,00%
Meeting expenses	12 960	100 000	100 000	100 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 647 008	4 669 739	4 839 000	4 839 000	3,62%
Communication	591 010	375 000	375 000	375 000	0,00%
Corporate Performance and Stakeholders	242 831	247 000	247 000	247 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Operational projects	3 745 806	3 412 739	3 582 000	3 582 000	4,96%
Operational Missions	67 361	635 000	635 000	635 000	0,00%
TOTAL	20 930 854	21 402 739	21 726 000	21 726 000	1,51%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	14 023 148	14 540 000	14 863 000	14 863 000	2,22%
Salaries & allowances	13 336 127	13 944 000	14 223 000	14 223 000	2,00%
- Of which establishment plan posts	10 945 264	11 430 000	11 659 000	11 659 000	2,00%
- Of which external personnel	2 390 863	2 514 000	2 564 000	2 564 000	1,99%
Expenditure relating to Staff recruitment	32 780	7 000	9 000	9 000	28,57%
Employer's pension contributions					
Mission expenses	5 350	65 000	65 000	65 000	0,00%
Socio-medical infrastructure	47 030	25 000	25 000	25 000	0,00%
Training	89 049	210 000	210 000	210 000	0,00%
External Services	441 673	258 000	300 000	300 000	16,28%
Receptions, events and representation		4 000	4 000	4 000	0,00%
Social welfare	71 139	27 000	27 000	27 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 260 698	2 193 000	2 024 000	2 024 000	-7,71%
Rental of buildings and associated costs	975 694	757 600	706 800	706 800	-6,71%
Information, communication technology and data processing	1 214 028	1 261 200	1 143 000	1 143 000	-9,37%
Movable property and associated costs	14 267	66 000	66 000	66 000	0,00%
Current administrative expenditure	41 499	8 200	8 200	8 200	0,00%
Postage / Telecommunications	2 250	100 000	100 000	100 000	0,00%
Meeting expenses	12 960				
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 820 054	4 669 739	4 839 000	4 839 000	3,62%
Communication	445 932	375 000	375 000	375 000	0,00%
Corporate Performance and Stakeholders	206 732	247 000	247 000	247 000	0,00%
Operational projects	3 057 713	3 412 739	3 582 000	3 582 000	4,96%
Operational Missions	109 677	635 000	635 000	635 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
TOTAL	20 103 900	21 402 739	21 726 000	21 726 000	1,51%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 347,202.75

4 Justification of needs

Commission assessment

Human Resources

ETF proposes to maintain a stable number of establishment plan posts in 2022 compared to the budget 2021 (86 posts).

As regards contract agents, COM rejected a structural increase of the recommended level of contract agents by 2, but agreed on understanding that agency can temporarily overshoot the recommended level of contract agents during the duration of long term sickness absences.

Financial Resources

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The ETF staff request is in line with the SPD 2021-2023 (Annual Work Programme 2021) adopted by the ETF Governing Board in November 2020 and the Budget Circular 2022. This means 86 temporary agent establishment plan posts, plus at least one and possibly two additional temporary agents to offset part-time work as per art. 38.2 of the ETF Financial Regulation;

For contract agents, the ETF intends to offset long-term part times, recurrent parental leave amongst the same population (Contract Agents administrative officers) that are usually offset by interim workers, by 2 additional Contract Agents instead (passing from 42 authorised to 44). Considering the evolution of the Italian legislation and its related restrictions for the use of interim workers, stable offsetting of the reduced working time of Contract Agents time is becoming very difficult. Having therefore 2 long-term Contract Agents would be the alternative, ensuring a stable and sustainable solution.

In addition, the ETF employs 1 local agent

4.1.2 Vacancy rate as of end 2020

The vacancy rate was 1% end 2020 (85 post occupied out of 86 posts authorized).

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The Agency's salary budget request is in line with the one envisaged in the SPD 2021-2023. The main assumptions are:

- An annual salary increase linked to the estimated salary adaptation of 2 % and a small decrease in the weighting factor;
- An upward impact on salary costs linked to the automatic increase in step and the annual reclassification exercise;

- Savings deriving from staff turnover and working conditions;
- Savings deriving from the retirement of senior staff replaced by more junior staff.

4.1.5 Correction coefficient used

The correction coefficient is expected to slightly decrease from the current 95%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The forecast for expenditure on Title 1 Staff expenditure is based on the following assumptions: a cumulative salary adaptation in line with the Commission's assumption of +2% in 2021 and in subsequent years, and a small decrease in the weighting factor. In addition, an upward impact on salary costs of the automatic increase in step and the annual reclassification exercise and savings deriving from staff turnover and the retirement of senior staff replaced by more junior staff, affect the Title 1 forecast. Overall, the expectation is that there will be a net increase of 2.2% in staff expenditure compared to the 2021 budget.

As a centre of expertise, the ETF relies fully on its staff to fulfil its core mission. Human resource management and development is therefore key to ensuring a supporting environment to enable successful implementation of the objectives of the ETF work programme as well as ensuring full compliance with the regulatory framework (i.e. Staff Regulations and their implementing rules). In line with the ETF Human Resources Strategy 2021-2027 adopted in 2020 the priority areas gravitate around:

- Attracting and Deploying, which refers to the strategic importance of recruitment and integration into the ETF.
- Managing and Retaining, which focuses on clarity of performance objectives, managing by results and providing career opportunities for staff to continuously make use of their competences, skills and capabilities.
- Growing and Developing, which embraces the modalities of learning and thus developing.
- Caring for people and valuing diversity, which fosters the respect for diversity and the centrality of well-being of staff so they can perform at their best:

During the next multi-annual period, the new HR strategy will be deployed with the implementation of HR initiatives around key people management processes. The new HR strategy should put ETF in a position to deliver in the context of the new structure and ETF strategy. In addition, as a transversal continuous objective to increase efficiency, the ETF will increase further the digitalisation of HR processes, making best use of the Commission's and other relevant software.

4.2.2 Title 2

The forecast for expenditure on Title 2 - Infrastructure, is estimated at 2.0m€, a decrease of 8% compared to 2021. This mainly covers expenditure on facilities and ICT. The sizeable improvements made to the premises in recent years should help contain maintenance costs beyond 2021. Additionally, in response to the demands arising out of the COVID 19 crisis for updated equipment to allow for remote interactions, the ICT expenses have been increased in 2020. They are expected to remain at a similar level also in 2021 and 2022 to optimise to the full extent the ETF digitalisation project, focusing however on software developments and capacity development, as hardware investments have been consistent over 2020. The expenditure for infrastructure is expected to further decrease in 2023-2024 linked to the improvements already introduced in the area of facilities, but could face stability or even an increase due to additional measures in response to COVID-19 (extensive and repeated cleaning, health & safety equipment, etc). The ETF environmental certification (EMAS) project has seen important investments in 2020, including also a number of renovation works for a healthier and more environmentally friendly building and facilities. In future years however, lower maintenance costs are expected.

4.2.3 Title 3

The assumptions concerning staff costs has led the ETF to revise the budget forecast for Title 3 Operational activities and look for ways to increase efficiency in delivering activities. The experience of 2020 linked to the COVID-19 crisis has forced the ETF to re-consider the modalities used to deliver its activities. In the coming years there is a possibility for a different breakdown between the different actions i.e. missions/studies. The resources available for Title 3 are expected to increase for the period 2022-2024 (+5.6% from 2021 to 2022), allowing the ETF to best fulfil its mandate. As per consolidated practice, savings in Title 1 will be assigned to operational activities and, if necessary, to infrastructure improvements.

As part of the future programming phase the ETF is in discussion with EC services to assess whether additional EU requests for actions might require appropriate dedicated funds and additional budget resources options.

4.3 Ad hoc grants and delegation agreements

In 2021 the ETF will have received EUR 102,739 as a contribution from DG INTPA for a project "Delivery of VET training seminars and study", contributing to enhancing performance and effectiveness within the area of Vocational Education and Training(VET) by strengthening the capacity of all EU Delegations and Headquarter staff and supporting DG INTPA in reflecting on future orientations of VET policies in support of the growth and jobs pillar of DG INTPA's international partnership programme. No other contributions are planned for 2021 or 2022, but the ETF is in discussion with EC services to assess whether additional EU requests for actions might require appropriate dedicated funds and additional budget resources options.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Villa Gualino	Turin, Italy	2 175	3 825	6 000	1	Rental is covered by a Convention for 30y till 2027 and 3 year service contracts, current exp. 2021	No		
TOTAL			2 175	3 825	6 000	1				

5.1.2 Current building(s) Other comments

Not applicable.

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

There is no European school in Turin.

Due to the absence of a European School in Turin, and the fact that there are several international schools but which are extremely expensive, the ETF covers up to 50% of the cost above the ceiling foreseen in the Staff Regulations. This is done with the scope to support the ETF's capacity to attract and retain staff as a social support measure.

5.3 Evaluation

The main findings of the latest evaluations available are as follows:

The wider evaluation of the 4 Agencies under DG EMPL – 'Evaluation of the EU Agencies under the remit of DG

Employment : EUROFOUND, CEDEFOP, ETF and EU-OSHA’ <https://europa.eu/!fx48HN>, was concluded with the Commission Staff Working Document adopted on 09/04/2019. An action plan has been drafted for all recommendations and progress will be reported to the Governing Board and in the Annual Activity Report 2019.

The overall conclusions reflect that in the evaluation period the 4 agencies have:

- operated overall effectively;
- delivered the planned outputs;
- achieved the specific objectives laid down in their work programmes; and
- contributed to the general objectives, particularly by providing in most cases timely contributions that fed into EU policymaking.

More specifically about the ETF:

- the ETF contribution “to partner country developments has been especially strong in respect of governance, systems and policy-making” in the partner countries;
- the ETF is relevant for stakeholders in partner countries and
- the key issues hampering the impact of the ETF were the varied capacity of partner countries to absorb ETF interventions, as well as sustainability of subsequent policy reforms.

In 2020, the ETF commissioned two external evaluations i) Evaluation of the ETF actions on the reform of qualifications systems in partner countries and ii) Evaluation of the ETF networks.

The main findings were that the ETF activities in supporting the reform of qualifications systems had a ‘high impact’ in developing the mechanisms, frameworks and processes of partner countries to undertake qualifications systems reforms.

Additionally, through the use of networks in the delivery of its activities the ETF was very effectively able to support stakeholders through building communities of practice and bringing positive changes directly to partner countries through co-creation of knowledge as well creating opportunities to learn from EU member states practices. Feedback from the stakeholders surveyed were that over 95% had gained knowledge that would be useful in the future and 86% had already put that knowledge into practice demonstrating that the knowledge sharing was highly relevant and useful.

The added value of the work of the ETF is that it is widely recognized as a knowledge source in the development of Human Capital reforms. This is due to the fact that the ETF is able, more than other organisations, to combine in-depth understanding of the national context, the national needs and EU priorities and to connect stakeholders within countries and regions.

5.4 Privileges and immunities

<i>Agency privileges</i>	<i>Privileges granted to staff</i>	
	<i>Protocol of privileges and immunities / diplomatic status</i>	<i>Education / day care</i>
<p>* Local premises have been provided by local authorities on the basis of a convention that provides for the following main essential points: ETF to participate in the costs of refurbishing the premises to be used, ETF to pay a symbolic rent, ETF to participate in the costs of maintenance of the building</p> <p>* General support is provided by Italy against unauthorized access or other forms of disturbances to the premises.</p> <p>*ETF is authorized to install and operate communications systems.</p> <p>* ETF official communication is not subject to any restrictions or to confidentiality breaches.</p>	<p>*Staff immune from legal proceedings for acts performed in the exercise of their official duties.</p> <p>* Staff exempted from taxes on salaries and emoluments paid by ETF.</p> <p>* Staff, spouses and dependent members of family not subject to immigration restrictions or registration formalities for foreigners.</p> <p>* For currency exchange staff has the same privileges as officials of equal rank in the diplomatic missions.</p> <p>* Staff, spouses and dependent members of family receive assistance for repatriation in the event of international crises.</p> <p>* Staff who is neither permanently resident in Italy at the time of their appointment, nor Italian, may, duty-free and without</p>	None

<p>*The Protocol of privileges and immunities is applicable to ETF.</p> <p>* ETF, its assets and funds may not be subject to administrative or legal measures of constraints.</p> <p>*Premises (including temporary premises) and buildings are inviolable, except in case of emergencies/ fire.</p> <p>* ETF, its assets and funds are exempt from taxes or direct duties, except for taxes on public services.</p> <p>* ETF is exempt from the payment of VAT for purchases of goods or service. The value of the exemption is established in the law applicable to international organizations in Italy.</p> <p>* ETF imports and exports are exempted from customs duties, taxes, prohibitions or restrictions.</p> <p>* Goods transported as hand baggage are treated as diplomatic luggage.</p> <p>* ETF vehicles are exempted from any taxes, duties or fees or from any import prohibitions/ restrictions.</p> <p>* ETF exempted from payment social security and health insurance contributions on salaries paid to staff, except for local agents²⁸.</p>	<p>prohibitions and restrictions, import from the country of their last residence or the country of which they are nationals, when they first take up their post, for a period of one year from their appointment for a maximum of two shipments, their own furniture and personal effects, including a vehicle bought at the conditions of that country, which will be registered in special series.</p> <p>* Staff may export, in the year following the date of cessation of their duties, without prohibitions and restrictions, their own furniture and personal effects, including vehicles.</p> <p>* The ETF Director, spouse and dependent members of family, is granted privileges and immunities, facilities and concessions granted by the Italy to members of equivalent rank in the diplomatic corps in Italy.</p> <p>* Staff, who is neither permanently resident in Italy at the time of their appointment nor Italian, may, when they first take up their post, for a period of one year from their appointment purchase a motor vehicle free from taxes and charges.</p> <p>* Italy issues a special identity card to staff, spouses and dependent members of family to prove that the holder is ETF staff and enjoys privileges and immunities.</p>	
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1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
2002/187/JHA (OJ: L 63/1 06/03/2002)	28/02/2002	Eurojust's tasks comprise the coordination of multilateral investigations and prosecutions and improving and facilitating judicial cooperation in criminal matters.
2003/659/JHA (OJL 245/44 29/09/2003)	18/06/2003	Amending Decision 2002/187/JHA on some specific provisions regarding the adoption of the budget. Tasks and objectives remain the same.
2009/426/JHA (OJL 138/14 04/06/2009)	16/12/2008	Amending Decision 2002/187/JHA on the strengthening of Eurojust. Important changes are made, including on tasks and objectives of Eurojust.
2018/1727 (OJL 295/138 21/11/2018)	14/11/2018	Regulation (EU) 2018/1727 of the European Parliament and of the Council of 14 November 2018 on the European Union Agency for Criminal Justice Cooperation (Eurojust), and replacing and repealing Council Decision 2002/187/JHA

1.2 Seat

The Hague, The Netherlands

1.3 Budget Line

07 10 07 : European Union Agency for Criminal Justice Cooperation (Eurojust)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	100	86	86,00%	100	99
Assistants (AST)	107	119	111,21%	107	106
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	207	205	99,03%	207	205
Contract Agents (CA)	16	18	112,50%	16	16
Seconded National Experts (SNE)	21	19	90,48%	21	21
TOTAL STAFF	244	242	99,18%	244	242

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	43 797 699	45 603 522
Other Revenue	0	0
TOTAL REVENUES	43 797 699	45 603 522

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)	p.m.	p.m.
Additional EU funding stemming from contribution agreements (FFR Art.7)	p.m.	p.m.
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	p.m.	p.m.
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	23 665 115	23 665 115	23 940 222	23 940 222
Title 2 - Infrastructure and operating expenditure	8 030 853	8 030 853	8 492 000	8 492 000
Title 3 - Operational expenditure	12 101 731	12 101 731	13 171 300	12 974 622
TOTAL EXPENDITURE	43 797 699	43 797 699	45 603 522	45 406 844

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1				1		1		1
AD 12		1				1		2		2
AD 11		5		3		5		7		7
AD 10		12		4		12		14		14
AD 9		22		21		22		23		23
AD 8		21		15		21		25		24
AD 7		29		13		29		20		20
AD 6		2		16		2		4		4
AD 5		6		13		6		3		3
AD TOTAL		100		86		100		100		99
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8								1		1
AST 7		1		1		1		1		1
AST 6		5		8		5		17		17
AST 5		52		27		52		54		53
AST 4		48		35		48		33		33
AST 3				31						
AST 2				15						
AST 1				1						
AST TOTAL		107		119		107		107		106
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		207		205		207		207		205
GRAND TOTAL		207		205		207		207		205

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	4	4	4	4
Function Group III	8	10	8	10
Function Group II	4	4	4	2
Function Group I				
TOTAL	16	18	16	16

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	21	19	21	21

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	41 546 678	43 797 699	45 603 522	45 603 522	4,12%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	359 504	952 693	179 945	179 945	-81,11%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	851 499	p.m.	p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS	189 097	p.m.	p.m.	p.m.	
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	42 587 274	43 797 699	45 603 522	45 603 522	4,12%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)		p.m.	p.m.	p.m.	
Additional EU funding stemming from contribution agreements (FFR Art.7)	5 000 000	p.m.	p.m.	p.m.	
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		p.m.	p.m.	p.m.	
TOTAL	5 000 000	p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 319 533	23 665 115	24 136 900	23 940 222	1,16%
Salaries & allowances	21 570 245	22 639 197	23 005 500	22 808 822	0,75%
- Of which establishment plan posts	21 570 245	22 549 197	22 914 500	22 717 822	0,75%
- Of which external personnel		90 000	91 000	91 000	1,11%
Expenditure relating to Staff recruitment	79 928	83 245	155 200	155 200	86,44%
Employer's pension contributions					
Mission expenses	13 508	110 700	110 700	110 700	0,00%
Socio-medical infrastructure	116 584	150 785	180 200	180 200	19,51%
Training	327 904	355 000	355 500	355 500	0,14%
External Services	199 748	288 720	292 300	292 300	1,24%
Receptions, events and representation					
Social welfare	11 616	37 468	37 500	37 500	0,09%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	9 276 596	8 030 853	8 492 000	8 492 000	5,74%
Rental of buildings and associated costs	6 127 867	6 062 279	6 131 100	6 131 100	1,14%
Information, communication technology and data processing	2 942 127	1 733 033	2 120 500	2 120 500	22,36%
Movable property and associated costs	104 607	99 090	101 100	101 100	2,03%
Current administrative expenditure	41 824	61 018	62 300	62 300	2,10%
Postage / Telecommunications	60 171	75 433	77 000	77 000	2,08%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	11 252 242	12 101 731	13 171 300	13 171 300	8,84%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Meetings, seminars, training and representation	1 169 434	3 144 955	3 148 800	3 148 800	0,12%
Operational and experts missions	1 315 996	2 148 922	2 158 400	2 158 400	0,44%
Public relations and publications	577 613	724 642	816 000	816 000	12,61%
Data and documentation expenditure	5 883 711	2 842 150	3 796 500	3 796 500	33,58%
Transaltion case work	325 972	744 192	744 000	744 000	-0,03%
EJN projects, meetings and representation expenses	434 855	435 000	435 000	435 000	0,00%
JSB meetings and representation expenses					
JITs grants, meetings and other expenses	1 493 401	2 000 870	2 008 000	2 008 000	0,36%
Genocide meetings and other expenses	51 260	61 000	64 600	64 600	5,90%
TOTAL	42 848 371	43 797 699	45 800 200	45 603 522	4,12%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 320 136	23 665 115	24 136 900	23 940 222	1,16%
Salaries & allowances	21 570 245	22 639 197	23 005 500	22 808 822	0,75%
- Of which establishment plan posts	21 570 245	22 549 197	22 914 500	22 717 822	0,75%
- Of which external personnel		90 000	91 000	91 000	1,11%
Expenditure relating to Staff recruitment	99 090	83 245	155 200	155 200	86,44%
Employer's pension contributions					
Mission expenses	13 508	110 700	110 700	110 700	0,00%
Socio-medical infrastructure	140 325	150 785	180 200	180 200	19,51%
Training	295 926	355 000	355 500	355 500	0,14%
External Services	189 426	288 720	292 300	292 300	1,24%
Receptions, events and representation					
Social welfare	11 616	37 468	37 500	37 500	0,09%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	8 007 342	8 030 853	8 492 000	8 492 000	5,74%
Rental of buildings and associated costs	5 755 443	6 062 279	6 131 100	6 131 100	1,14%
Information, communication technology and data processing	2 022 827	1 733 033	2 120 500	2 120 500	22,36%
Movable property and associated costs	121 109	99 090	101 100	101 100	2,03%
Current administrative expenditure	43 117	61 018	62 300	62 300	2,10%
Postage / Telecommunications	64 846	75 433	77 000	77 000	2,08%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	9 549 215	12 101 731	12 974 622	12 974 622	7,21%
Meetings, seminars, training and representation	1 252 754	3 144 955	3 148 800	3 148 800	0,12%
Operational and experts missions	1 325 785	2 148 922	2 158 400	2 158 400	0,44%
Public relations and publications	561 143	724 642	816 000	816 000	12,61%
Data and documentation expenditure	4 509 083	2 842 150	3 796 500	3 796 500	33,58%
Transaltion case work	292 389	744 192	744 000	744 000	-0,03%
EJN projects, meetings and representation expenses	265 965	435 000	435 000	435 000	0,00%
JSB meetings and representation expenses	122				
JITs grants, meetings and other expenses	1 318 740	2 000 870	1 811 322	1 811 322	-9,47%
Genocide meetings and other expenses	23 234	61 000	64 600	64 600	5,90%
TOTAL	39 876 693	43 797 699	45 603 522	45 406 844	3,67%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 179 944,72

4 Justification of needs

Commission assessment

Human Resources

In accordance with the guidelines, Eurojust has decided to maintain the same level of temporary staff without increasing the posts requested. However, Eurojust has asked for some changes in the grades due to the normal career of current job holders and to fulfill the requirements of grade for specific post which could be offered in the future. The Commission reminds Eurojust should reduce 2 temporary agents as foreseen and agreed in the EPPO LFS.

Eurojust has also requested an important increase of Contract Agents. The agency needs these positions to cover the operative workload increase. They will be covered using Denmark's financial contribution. As these are short term contract positions they do not have a long-term impact on the budget but allow staff adjustments in the light of changing operational needs. The Commission supports this idea but asks Eurojust to reduce the level of new contract agents using Denmark's financial contribution to 8 new CAs instead of the 14 foreseen by the agency.

Financial Resources

Eurojust's budget request amounts to EUR 45 800 200. In accordance with the Eurojust Regulation and the agency's SPD the budget will be allocated mainly to operational work. The budget request represents an excess of EUR 500 200 over the ceiling for 2022 under the MFF 2021-2027. Eurojust wishes to maintain and enhance the efficiencies gained in 2021 thanks to ICT-related development. However, the increase is needed to be able to maintain this high-grade of efficiency beyond 2021. Eurojust will use it to continue reinforcing the ICT infrastructure budget and to perform the maintenance of the investment done in previous years.

The Commission supports this request, but assess that total EU contribution of EUR 45 603 522 will meet well agency's need.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Despite the consistent increase of Eurojust's operational work, no additional human resources are requested in 2022 in line with Commission's draft MFF programming. The additional workload will be absorbed through Eurojust's strategy for efficiency gains and by applying negative priorities to certain operational work areas.

Eurojust human resources for 2022 will remain stable at the 2021 level with 207 temporary staff posts, 16 contract staff FTE funded through the regular EU subsidy and 21 SNEs. To mitigate the risk and impact of these human resource constraints on the implementation of the Annual Work Programme 2022, Eurojust plans to recruit 14 additional contract staff FTE using Denmark's financial contribution for 2022. From an activity perspective, in 2022 Eurojust plans to allocate in 2022 78% of its human resources to support activities that are required to fulfil the agency's mandate and legal or statutory requirements.

Concerning non-statutory post-holders (National Desks, Denmark's representatives, Liaison Prosecutors from third States), their numbers are expected to remain stable at 106.

Moreover, in consultation with the Commission, Eurojust is currently assessing the impact on its core operational tasks from certain major JHA developments. These may influence the complexity and volume of Eurojust's existing tasks so fundamentally that these tasks may need to be redefined and/or re-scoped, as presented in Section II-2.2.2 of the draft SPD 2022-2024. Hence, it may be necessary to strengthen and safeguard Eurojust's key role, contribution and added-value in these developments, by entrusting it with sufficient financial and human resources, as part of a revised founding regulation and accompanying legal financial statement

The total of Eurojust statutory and non-statutory staff in 2022 will amount to:

1. Temporary staff: 207
2. Contract staff (CA): 30
3. SNE: 21
4. Non-statutory staff: 106

TOTAL: 364

The abovementioned statutory staff resources are required to implement the Annual Work Programme 2022 and do not factor in the resources foreseen by the Commission as Eurojust's 2022 contribution to the EPPO. Despite the identified need for additional staff to support Eurojust's expected growth in the operational work, Eurojust requests for 2022 no additional establishment plan posts over the limits set in Commission's draft MFF programming, pending the Commission assessment and possible related legal financial statement. For 2022 Eurojust expects to have 30 CA that will be recruited already in 2021; yet, the DB 2022 estimate includes a budgetary provision only for 16 CA, in anticipation of covering the other 14 through Denmark's financial contribution for 2022.

4.1.2 Vacancy rate as of end 2020

On 31 December 2020, 205 temporary staff posts were filled, leading to a vacancy rate of 1% compared to 1,9% in 2019. Offer letters are counted as filled posts.

4.1.3 Standard abatement ('abatement forfaitaire') applied

A 2% vacancy rate is applied to account for turnover and part time incumbency of posts.

4.1.4 Salary assumption for calculating salary line (% applied)

The percentage applied is 98%, meaning that a vacancy rate of 2% is used for estimating the salary costs for 2022.

4.1.5 Correction coefficient used

A 12,4% correction coefficient is used for 2022, which is less than the current correction coefficient of 13,9% and incorporates any indexation adjustment as well

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

General explanation from Eurojust on DB 2022 request:

Eurojust's DB 2022 estimate amounts to EUR 45 800 200 and contributes to the agency's draft Multi-Annual Strategy (MAS) 2022-2024, by allocating the 68,7% of the agency's resources to its core tasks and priorities, under MAS objectives 1-Casework (46,4%), 2-Cooperation (10,2%) and 3-Digitalisation (12,1%).

As in prior years, more than two thirds of the DB 2022 estimate (71.8%) relate to fixed cost elements that Eurojust cannot adjust. Besides "marginal" inflationary increases of EUR 33 K across a number of areas, the net increase of EUR 2 M (4,6%) compared to the 2021 budget principally relates to Eurojust's:

- Statutory obligations (↑ EUR 536 K), concerning: (a) Indexation adjustments in the remuneration of existing temporary/contract staff; (b) The European School subsidy; (c) The building rent, maintenance and security; (d) The staff recruitment and medical services;

- Business continuity and external communication strategies (↑ EUR 1 433 K), through: (e) Upgrading the ICT infrastructure for business continuity and longer-term efficiencies; (f) Enhancing existing ICT operational tools and operational data reporting (concerning projects/activities not in scope of Commission's Communication on the Digitalisation of Justice); and (g) Reinforcing corporate communication initiatives to promote the agency's results and thus the added-value of operational services, tools and products to target audiences.

The DB 2022 estimate is fully in line with the 2022 assumptions for the workload drivers and the premise that, as of this year, operational work will have resumed in full and growth will continue at pre-COVID-19 levels. To cover this growth, this DB estimate:

- Understates staff costs by approximately EUR 1 M, assuming that Eurojust will cover the costs of up to 14 additional contract staff under Denmark's 2022 financial contribution; and

- Assumes that Eurojust will maintain – to the extent possible – some of the efficiency gains identified from its COVID-19 response, most notably by further reducing – through the more regular use of video conferencing – the average costs of coordination meetings and other Eurojust meetings, including any ad hoc ones linked to the EU policy cycle.

Lastly, Eurojust's DB 2022 request retains the EUR 500 K reinforcement for the ICT infrastructure budget, which the budgetary authority authorised in the 2021 budget process in excess of the draft MFF programming. This is part of the multi-annual investments commenced in 2021 for enhancing the video-conferencing and audio-visual infrastructure, in order to ensure business continuity and more efficient support to operational work in the context of the Covid-19 pandemic, Eurojust's cooperation strategy with third States and EU security initiatives. As a result, this DB 2022 request exceeds by EUR 500 K the draft Commission MFF programming for 2022 (EUR 45,3 M).

Title 1: EUR 24 136 900 in both Commitment and Payment appropriations

Title 1 "significant" increases (over 2020 budget)	EUR 468 K
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<p>1. Salaries and allowances</p> <p>This increase consists of:</p> <p>(a) The annual statutory remuneration adjustments for the existing 207 temporary and 16 contract staff (↑ EUR 295 K);</p> <p>(b) An estimated increase in the European School subsidy (↑ EUR 70 K), to cover indexation adjustments and a forecasted growth in the number of children registrations.</p> <p>Staff salaries are estimated in line with Eurojust’s average salary methodology that still results in more modest estimates compared to that used by the Commission (using Commission’s average salary figures, Eurojust’s staff cost estimates for 2022 would be increased by approximately 35%), by:</p> <p>(a) Using as basis the 2021 salary estimates per post type (i.e. AD, AST and FG);</p> <p>(b) Applying a vacancy rate of 2% as per the approved Eurojust Management Board assumption;</p> <p>(c) Assuming a lower indexation and correction coefficient adjustment (0.9%) due to the COVID-19 impact on the EU economy; and</p> <p>(d) Including expected step changes and possible reclassifications.</p> <p>Notwithstanding this increase, Eurojust understates the total resources under Salaries and allowances by approximately EUR 1 M, expecting to receive this amount through Denmark’s financial contribution for 2022. More specifically, with this additional amount, the agency expects to cover the full costs for up to 14 additional contract staff in excess of the 16 covered by prior years’ budgets. Eurojust plans to recruit these additional contract staff already in 2021, in order to cover the shortfall in its human resources due to the 2021 budget process outcome and be able to implement its AWP’s in 2021-2022.</p>	<p>EUR 366 K</p> <p>(↑1,6%)</p>
<p>2. Expenditure related to staff recruitment</p> <p>This increase is primarily due to the additional CdT translation services (EUR 56 K) needed to publish the full text of vacancy announcements in all 24 official EU languages in the EPSO web portal, as per ECA’s observation. The 2022 estimate also includes the assessment centres for the selection of Eurojust’s new AD and a more extensive use of LinkedIn services to attract higher quality applicants.</p>	<p>EUR 72 K</p> <p>(↑86%)</p>
<p>3. Socio-medical infrastructure</p> <p>This increase aims to reinstate prior years’ reductions and reinforce medical services, by covering the annual medical checks of all staff as per Eurojust’s statutory obligation.</p>	<p>EUR 29 K</p> <p>(↑20%)</p>

4.2.2 Title 2

EUR 8 492 000 in both Commitment and Payment appropriations

<p>Title 2 “significant” increases</p>	<p>EUR 456 K</p>
<p>4. Rental of building and associated costs</p> <p>This increase consists of indexation and inflationary adjustments related to:</p> <p>(a) Building and ground rent (↑ EUR 17 K), based on Eurojust’s lease agreement with the host state and the estimated Consumer Price Index in the Netherlands (to be confirmed in Q3 2021);</p> <p>(b) Maintenance, cleaning and utilities (↑ EUR 34 K), based on the service level agreement with the host state and the Consumer Price Index estimates (to be confirmed in Q3 2021) and increased utility costs (expected to be partly covered by recovered C5 funds in 2021); and</p> <p>(c) Security management (↑ EUR 18 K), assuming for 2022 similar contractual and cost arrangements to the current ones (in view of a new procurement procedure and framework contract to be signed in 2021).</p>	<p>EUR 69 K</p> <p>(↑1%)</p>
<p>5. Information management and data processing expenditure</p> <p>This increase primarily concerns the replacement of components/assets of the administration’s computer infrastructure (↑ EUR 385 K), including e.g. storage, servers, networking equipment etc.</p>	<p>EUR 387 K</p> <p>(↑22%)</p>

The planned replacements are based on a holistic review and analysis of the current infrastructure and follow a multi-annual technical roadmap and not a typical 4-year financial depreciation cycle. Together with the replacements under Title 3 (see item 7) with which they are interrelated and interdependent, they are necessary to ensure business continuity and enable longer-term efficiencies in the infrastructure's maintenance and support. For each infrastructure component, the estimates include the costs for analysing the technical requirements and designing the specifications, as well as identifying, purchasing and implementing the right technical solutions. Thus, they cover both the purchase and the external consultancy required to bring highly specialised technical knowledge in the analysis, design and deployment phases. Per infrastructure component, the purchase costs are estimated based on the initial purchase value of the existing component and an inflationary adjustment for the intervening years as per adopted assumptions.

In addition, the DB 2022 estimate retains the additional amount of EUR 500 K that the budgetary authority granted to Eurojust in the 2021 budget process for reinforcing its ICT and audio-visual infrastructure, as per COM's clarification and in view of the new needs emerging from the COVID-19 crisis as well as other external developments.

The unexpected pandemic, beginning in 2020, has shown that the pandemic's legacy will lead to a significant growth in operational meetings taking place through remote or even 'hybrid' modalities, further increasing the need for Eurojust to rely on up-to-date, state-of-the-art, secure and data-protection-compliant video-conferencing equipment and audio-visual systems.

Although the agency has already an infrastructure capable of catering for its basic requirements, Eurojust will need to make extraordinary investments in order to increase the capacity of the video-conferencing set-up and improve simultaneous interpretation that is critical for the correct functioning of operational and case-related judicial coordination meetings and centres.

Furthermore, Eurojust's new strategy for cooperation with third States will generate even more demand for remote coordination meetings able to ensure secure exchange of sensitive operational data in criminal cases with partners outside of the EU. In this context, Eurojust is fully supportive of the recent EU security initiative, which will promote common security standards and infrastructure for EU institutions, bodies and agencies.

This is a crucial need to ensure that Eurojust can fulfil its mandate, which was identified in 2020, will be partially accommodated in 2021, but continues to be a priority for 2022.

4.2.3 Title 3

EUR 13 171 300 in Commitment appropriations and EUR 12 974 622 in Payment appropriations

Title 3 "significant" increases (over 2021 budget)	EUR 1 M
<p>6. Public relations and publications</p> <p>This increase is necessary to implement a more extensive, targeted and impactful set of corporate communication actions under Eurojust's external communication strategy. These aim to raise awareness on the agency's operational successes and services among the EU citizens and other external stakeholders and mainly concern:</p> <p>(a) The production of more audio-visual material for the social media and other external communication channels;</p> <p>(b) The expansion of copywriting and editorial services to a wider set of corporate communication products; and</p> <p>(c) New communication products such as operational activities'/services' simulations.</p>	EUR 91 K (↑13%)
<p>7. Data processing and documentation expenditure</p> <p>This increase primarily concerns:</p> <p>(a) The replacement of components/assets of the National Desks' computer infrastructure (↑ EUR 578 K), based on the methodology, approach and objectives presented under Title 2 (see item 5);</p> <p>(b) Increased ICT operational project investments (↑ EUR 370 K), in order to:</p>	EUR 954 K (↑34%)

- (i) Reinforce the onsite development team to deliver the annual work plan of Eurojust’s Core ICT Projects Board, concerning the ongoing maintenance of existing tools such as the Case Management System (CMS), the Case Information Form (CIF) and the JIT tools, where this is not planned to be externally funded in the framework of Commission’s Digitalisation of Justice actions (↑ EUR 220 K); and
- (ii) Improve operational data reporting, through integrating multiple data sources and introducing a central data warehouse and reporting solution (↑ EUR 150 K).

4.3 Ad hoc grants and delegation agreements

In accordance with FFR Art. 7 and 43.2, Eurojust plans to implement operational projects with external funding received based on corresponding grant, contribution and/or service level agreements. Eurojust will treat this funding as external assigned revenue and implement this expenditure under budget title 4 (Operational projects expenditure). Annex XI of the agency’s draft SPD 2022-2024 provide an overview and high-level plan for the ongoing and expected agreements. Furthermore, the draft SPD summarises their scope and link to Eurojust’s mandate and objectives (refer to cooperation objective 2.3.1 and digitalisation objective 3.1.1 in the Annual Work Programme 2022) and provides further information on their financial and human resources (refer to respective Annexes III and IV).

More specifically, in 2022 Eurojust will or may implement externally funded agreements related to the:

- (a) EuroMed Justice programme (ongoing), with an amount of EUR 1 672 309 and 6,5 FTE of contract staff provisionally estimated for 2022 (i.e. these resource estimates may need to be revised as follow up to the inception phase of the programme);
- (b) SIRIUS project (ongoing), with an amount of EUR 382 530 and 4 FTE of contract staff estimated for 2022;
- (c) EUIPO enhanced cooperation actions (ongoing), with an amount of EUR 187 500 and 2 FTE of contract staff estimated for 2022;
- (d) Digitalisation of Justice actions (expected to be initiated in 2021, as follow up to Commission’s Digital Criminal Justice study and Digitalisation of Justice Communication); and
- (e) IPA III Western Balkans project (subject to further consultation with the Commission).

Regarding (d) and (e), the scope, timeframes, modalities and resource impact of the agency’s involvement in the respective externally funded projects/actions are not yet known.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Eurojust Building (office and parking)	Johan de Wittlaan 9 2517 JR The Hague The Netherlands	20 231	8 277	28 508	2 832 000	20 years as of date of delivery (24 March 2017)	Lease contract	Estimated rent per year for the Host State is EUR 5 527 028, so EUR 2 695 028 savings for Eurojust	
TOTAL			20 231	8 277	28 508	2 832 000				

5.1.2 Current building(s) Other comments

Eurojust’s lease agreement with the host state is based on a ‘build-and-maintain’ concept, as approved by the Commission. Therefore, in addition to the above annual rent (calculated as per Annex IV of the lease agreement), Eurojust also incurs an annual fee and/or costs with the host state for:

- (a) maintenance services that consist of (i) the service level agreement for preventive and corrective maintenance, including

replacements (estimated at EUR 675 000 for 2022); and (ii) any additional work due to changes to the building upon Eurojust's request or damages caused by Eurojust (estimated at EUR 120 500 for 2022); and

(b) utilities which are estimated at EUR 378 000 for 2022, excluding the energy tax for which Eurojust is exempt.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

Agreement in place with the European School(s) of:	The Hague			
Contribution agreements with Commission on type I European schools	Yes		No	√
Contribution agreements with Commission on type II European schools	Yes	√	No	
Number of service contracts in place with international schools:	N/A			
Description of any other solutions or actions in place:	International education facilities in the Hague include the International school of the Hague as well as the American, British, French and German schools.			

5.3 Evaluation

The College of Eurojust commissioned an independent external evaluation of the implementation of the 2008 Council Decision on Eurojust and the activities carried out by the agency. The evaluation was conducted by an external consulting firm from September 2014 to June 2015 and resulted in several recommendations for Eurojust's consideration and implementation. These were all implemented by the end of 2018.

Following the entry into force of the EJR as of 12 December 2019 and as foreseen in Article 69, by 13 December 2024 the Commission is expected to undertake an evaluation of the implementation and impact of the EJR, and the effectiveness and efficiency of Eurojust and its working practices.

6 5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities/diplomatic status	Education/day care
<p>The privileges and immunities of the agency are based on the Seat Agreement between Eurojust and the Netherlands, the Agreement on Privileges and Immunities between Eurojust and the Netherlands and Protocol No.7 annexed to the EU Treaty.</p> <p>Within the scope of its official activities, Eurojust is exempt, inter alia, from: import taxes and duties, motor vehicle tax, tax on passenger motor vehicles and motorcycles, value-added tax paid on goods and services supplied on a recurring basis or involving considerable expenditure, excise duties included in the price of alcoholic beverages and hydrocarbons such as fuel oils and motor fuels, real property transfer</p>	<p>The privileges and immunities of Eurojust post-holders are based on the Seat Agreement between Eurojust and the Netherlands, the Agreement on Privileges and Immunities between Eurojust and the Netherlands and Protocol No.7 annexed to the EU Treaty. Two different regimes apply:</p> <p>National Members, Deputies and Assistants as well as the Administrative Director and Heads of Units/Services and their family members are granted 'AO' status by the Host State and benefit from certain VAT exemptions and, exemption from excise duties for alcoholic beverages, tobacco and fuel.</p> <p>'AO' status also provides for exemption from</p>	<p>Eurojust staff receive education allowances for school fees. Staff has to pay for the education of its children.</p> <p>As a courtesy of the Host State, Eurojust post-holders may request the Dutch subsidy for the reimbursement of a percentage of day care and after-school care costs of accredited centres.</p> <p>Eurojust reimburses in</p>

<p>tax, insurance tax, energy tax and, tax on water mains.</p> <p>The Host State authorities shall ensure that the Headquarters is supplied with electricity, water, sewerage, gas, post, telephone, telegraph, local transportation, drainage, collection of refuse, fire protection and snow removal from public streets.</p> <p>The Dutch Government shall permit Eurojust to communicate freely without the need for special permission and to dispatch and receive official correspondence by courier or in sealed bags which shall have the same privileges and immunities as diplomatic couriers and bags.</p>	<p>tax on cars and motorcycles (BPM) and road tax (MRB) for two cars registered on the post-holder's name at the same time.</p> <p>Eurojust post-holders are exempted from VAT for the purchase of cars. Eurojust staff members are exempted from Dutch income tax and from all compulsory contributions to the social security organisations of the Netherlands.</p> <p>Additional exemptions include duties in relation to water authority charges, municipal tax on second homes, dog licences and tax for installations on public land or water.</p>	<p>full the tuition fees of staff whose children are studying at the European School in The Hague.</p>
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1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EU) 2017/1939 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ('the EPPO')	12 October 2017	The EPPO shall be responsible for investigating, prosecuting and bringing to judgment the perpetrators of, and accomplices to, criminal offences affecting the financial interests of the Union which are provided for in Directive (EU) 2017/1371 and determined by this Regulation. In that respect the EPPO shall undertake investigations, and carry out acts of prosecution and exercise the functions of prosecutor in the competent courts of the Member States, until the case has been finally disposed of.

1.2 Seat

Luxembourg, Grand Duchy of Luxembourg

1.3 Budget Line

07 10 08 : European Public Prosecutor's Office (EPPO)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	46	44	95,65%	68	68
Assistants (AST)	9	11	122,22%	23	23
Assistants/Secretaries (AST/SC)	3		0,00%	4	4
ESTABLISHMENT PLAN POSTS	58	55	94,83%	95	95
Contract Agents (CA)	5	7	140,00%	35	35
Seconded National Experts (SNE)					
TOTAL STAFF	63	62	98,41%	130	130

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	44 952 790	45 851 846
Other Revenue	0	0
TOTAL REVENUES	44 952 790	45 851 846

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	19 670 500	19 670 500	20 063 910	20 063 910
Title 2 - Infrastructure and operating expenditure	3 400 000	3 400 000	3 468 000	3 468 000
Title 3 - Operational expenditure	21 882 290	21 882 290	22 319 936	22 319 936
TOTAL EXPENDITURE	44 952 790	44 952 790	45 851 846	45 851 846

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1				1		1		1
AD 13		22		22		22		22		22
AD 12								2		
AD 11		1		1		1		3		1
AD 10		3				5		7		5
AD 9		3		6		5		7		5
AD 8		2		1		2		7		2
AD 7	2	7		7		12		42		12
AD 6		3		5		14		32		14
AD 5		1		1		5		3		5
AD TOTAL	2	44		44		68		127		68
AST 11										
AST 10										
AST 9						1		1		1
AST 8						1		1		1
AST 7										
AST 6								2		
AST 5		4		1		4		8		4
AST 4	2			3		5		15		5
AST 3		3		7		12		13		12
AST 2										
AST 1										
AST TOTAL	2	7		11		23		40		23
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3								1		
AST/SC 2		3				4		3		4
AST/SC 1										
AST/SC TOTAL		3				4		4		4
TOTAL	4	54		55		95		171		95
GRAND TOTAL	58		55		95		171		95	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV		3	20	20
Function Group III		1	12	12
Function Group II	5	3	3	3
Function Group I				
TOTAL	5	7	35	35

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	11 672 000	44 952 790	65 636 286	45 851 846	2,00%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	11 672 000	44 952 790	65 636 286	45 851 846	2,00%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 435 797	19 670 500	35 983 100	20 063 910	2,00%
Salaries & allowances	4 105 471	17 050 000	32 422 000	17 391 000	2,00%
- Of which establishment plan posts	3 925 776	14 250 000	25 992 000	14 535 000	2,00%
- Of which external personnel	179 695	2 800 000	6 430 000	2 856 000	2,00%
Expenditure relating to Staff recruitment	692 054	852 500	1 621 100	869 550	2,00%
Employer's pension contributions					
Mission expenses	65 000	30 000	50 000	30 600	2,00%
Socio-medical infrastructure	162 285	580 000	1 100 000	591 600	2,00%
Training	1 342	150 000	150 000	153 000	2,00%
External Services	159 645	698 000	330 000	711 960	2,00%
Receptions, events and representation		10 000	10 000	10 200	2,00%
Social welfare					
Other Staff related expenditure	250 000	300 000	300 000	306 000	2,00%
Title 2 - Infrastructure and operating expenditure	2 592 562	3 400 000	3 948 186	3 468 000	2,00%
Rental of buildings and associated costs	353 826	1 350 000	1 450 000	1 377 000	2,00%
Information, communication technology and data processing	1 065 442	1 050 000	1 928 186	1 071 000	2,00%
Movable property and associated costs	1 156 194	880 000	450 000	897 600	2,00%
Current administrative expenditure	17 100	35 000	35 000	35 700	2,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing		35 000	35 000	35 700	2,00%
Studies					
Other infrastructure and operating expenditure		50 000	50 000	51 000	2,00%
Title 3 - Operational expenditure	3 643 641	21 882 290	25 705 000	22 319 936	2,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
TOTAL	11 672 000	44 952 790	65 636 286	45 851 846	2,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 435 797	19 670 500	35 983 100	20 063 910	2,00%
Salaries & allowances	4 105 471	17 050 000	32 422 000	17 391 000	2,00%
<i>- Of which establishment plan posts</i>	3 925 776	14 250 000	25 992 000	14 535 000	2,00%
<i>- Of which external personnel</i>	179 695	2 800 000	6 430 000	2 856 000	2,00%
Expenditure relating to Staff recruitment	692 054	852 500	1 621 100	869 550	2,00%
Employer's pension contributions					
Mission expenses	65 000	30 000	50 000	30 600	2,00%
Socio-medical infrastructure	162 285	580 000	1 100 000	591 600	2,00%
Training	1 342	150 000	150 000	153 000	2,00%
External Services	159 645	698 000	330 000	711 960	2,00%
Receptions, events and representation		10 000	10 000	10 200	2,00%
Social welfare					
Other Staff related expenditure	250 000	300 000	300 000	306 000	2,00%
Title 2 - Infrastructure and operating expenditure	1 634 881	3 400 000	3 948 186	3 468 000	2,00%
Rental of buildings and associated costs	353 826	1 350 000	1 450 000	1 377 000	2,00%
Information, communication technology and data processing	1 065 442	1 050 000	1 928 186	1 071 000	2,00%
Movable property and associated costs	198 513	880 000	450 000	897 600	2,00%
Current administrative expenditure	17 100	35 000	35 000	35 700	2,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing		35 000	35 000	35 700	2,00%
Studies					
Other infrastructure and operating expenditure		50 000	50 000	51 000	2,00%
Title 3 - Operational expenditure	2 643 641	21 882 290	25 705 000	22 319 936	2,00%
TOTAL	9 714 319	44 952 790	65 636 286	45 851 846	2,00%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR

4 Justification of needs

Commission assessment

Human and Financial Resources

The Commission proposes to wait for the start of the EPPO's operations before re-assessing the workload of the EPPO, which may result in an adjustment of the EPPO's staff in the autumn amending letter to the DB. Pending its final assessment, the Commission intends to set 1 June 2021 as the starting date of the EPPO's operations.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EPPO needs 248 staff in 2022. The request is consistent with EPPO's original and so far unmet request of 219 staff for 2021 (at the time expected to be its first full year of operations) and the additional need of 29 staff identified once the College of the EPPO was appointed (September 2020 instead of November-December 2019, as initially planned).

The Commission expects the EPPO to start operations on 1 March 2021. In any case, 2022 will be the first full year of EPPO operations. The EPPO therefore reiterates its request to have the staff requested originally for 2021, corrected in light of the decisions of the College of the EPPO with regard to the number of permanent chambers and the division of work between European Prosecutors. This will allow the EPPO to exert its mandatory competence in line with the EPPO regulation.

4.1.2 Vacancy rate as of end 2020

The vacancy rate on 31.12.2020 was at 11 %, that is 62 out of the 70 posts were filled. However, a number of contracts were already signed in 2020 but staff was not able to start before 1 January 2021. On this date the quote was subsequently more than fulfilled with 71 staff.

Moreover, out of the 130 posts allocated under the 2021 budget, 112 posts are already filled (date 25 January 2021) or the corresponding contracts/offers signed.

For the remaining 18 posts recruitment procedures are either ongoing or selection procedures launched.

4.1.3 Standard abatement ('abatement forfaitaire') applied

During the start-up phase of the EPPO all posts should be occupied instantly.

4.1.4 Salary assumption for calculating salary line (% applied)

For 2022, salaries for establishment plan staff, contract staff and SNEs are calculated based on the respective 2021 average cost for staff as applicable in the Commission.

4.1.5 Correction coefficient used

Not applicable

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1 the budget request includes salaries and allowances for 248 staff (171 TA, 29 SNE & 48 CA) based on the calculations explained in section 4.1.4 above. It also includes one off costs for expenditure concerning the recruitment of some of these staff, as well as costs for missions of EPPO administrative staff, training, medical service costs, costs related to the European Schools as well as other external services including interim staff.

4.2.2 Title 2

Title 2 includes the utility costs and security ('gardiennage') for EPPO's premises in Tour B (that is offered free of charge by the Luxembourgish authorities) in the Luxembourg Kirchberg plateau. Other costs under this title include furniture and administrative ICT related costs.

4.2.3 Title 3

Title 3 includes EPPO's operational expenditure. This comprises of costs relating to EPPO Case Management System (CMS) and other operational ICT costs. It also covers costs for exceptionally costly investigation measures as per Article 91.6 of the EPPO regulation and other costs related to investigation activities. In addition, it includes salaries of 140 European Delegated Prosecutors (EDPs) at the decentralised level and operational missions' costs. Finally it includes costs for close protection services and for translation.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
TOTAL										

5.1.2 Current building(s) Other comments

The EPPO has moved to its permanent premises in Tower B in the Luxembourg Kirchberg plateau in January 2021. The building is provided free of charge by the Luxembourgish authorities. EPPO still needs to pay utility and other costs related to the usage of the building.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 European Schools

The annual contribution for attendance in the 2 European Schools of Luxembourg is around 10.000 euros per child. 800.000 have been budgeted for this expense in 2022 and an additional 184.000 euros has been budgeted to cover for the transport costs of children of EPPO staff to the European Schools.

5.3 Evaluation

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	Diplomatic status granted for the European Chief Prosecutor and all 22 European Prosecutors.	

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) 2019/1149 of the European Parliament and of the Council of 20 June 2019 establishing a European Labour Authority, amending Regulations (EC) No 883/2004, (EU) No 492/2011, and (EU) 2016/589 and repealing Decision (EU) 2016/344	11 July 2019	<p>The objective of the Authority is to contribute to ensuring fair labour mobility across the Union and assist Member States and the Commission in the coordination of social security systems within the Union.</p> <p>To this end, the Authority shall facilitate access to information on rights and obligations regarding labour mobility across the Union as well as to relevant services; facilitate and enhance cooperation between Member States in the enforcement of relevant Union law across the Union, including facilitating concerted and joint inspections; mediate and facilitate a solution in cases of cross-border disputes between Member States; and support cooperation between Member States in tackling undeclared work.</p>

1.2 Seat

On 13 June 2019, the Decision (EU) 2019/1199 on the location of the seat of the ELA - Bratislava, Slovakia - was taken by common accord between the Representatives of the Governments of the Member States. It is assumed in this draft budget request for 2022 that the ELA will be fully established in Bratislava and operate from this location during the full year.

1.3 Budget Line

07 10 09 : European Labour Authority (ELA)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	14	12	85,71%	27	40
Assistants (AST)	6	3	50,00%	11	17
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	20	15	75,00%	38	57
Contract Agents (CA)	15	8	53,33%	15	15
Seconded National Experts (SNE)	30	18	60,00%	45	60
TOTAL STAFF	65	41	63,08%	98	132

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	24 219 500	34 689 842
Other Revenue	0	0
TOTAL REVENUES	24 219 500	34 689 842

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	8 628 000	8 628 000	12 932 842	13 482 842
Title 2 - Infrastructure and operating expenditure	1 435 000	1 435 000	3 772 000	3 872 000
Title 3 - Operational expenditure	14 156 500	14 156 500	17 985 000	9 042 500
TOTAL EXPENDITURE	24 219 500	24 219 500	34 689 842	26 397 342

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11								1		1
AD 10				1		6		5		5
AD 9		4								
AD 8								5		5
AD 7		4		3		13		14		14
AD 6								8		8
AD 5		5		7		7		6		6
AD TOTAL		14		12		27		40		40
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4		2				2		7		7
AST 3		2		3		8		10		10
AST 2		2				1				
AST 1										
AST TOTAL		6		3		11		17		17
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		20		15		38		57		57
GRAND TOTAL		20		15		38		57		57

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	7	4	7	7
Function Group III	8	4	8	8
Function Group II				
Function Group I				
TOTAL	15	8	15	15

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	30	18	45	60

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	12 306 910	24 219 500	35 439 842	34 689 842	43,23%
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)			p.m.	p.m.	
- Of which EEA/EFTA (excl. Switzerland)			p.m.	p.m.	
- Of which candidate countries			p.m.	p.m.	
4 OTHER CONTRIBUTIONS			p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS			p.m.	p.m.	
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT			p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES			p.m.	p.m.	
TOTAL	12 306 910	24 219 500	35 439 842	34 689 842	43,23%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 065 289	8 628 000	13 482 842	12 932 842	49,89%
Salaries & allowances	1 515 269	7 222 000	10 192 000	10 192 000	41,12%
- Of which establishment plan posts	757 635	4 162 000	5 844 000	5 844 000	40,41%
- Of which external personnel	757 634	3 060 000	4 348 000	4 348 000	42,09%
Expenditure relating to Staff recruitment	551 000	400 000	508 441	508 441	27,11%
Employer's pension contributions					
Mission expenses	50 000	90 000	158 400	158 400	76,00%
Socio-medical infrastructure	125 634	370 000	1 550 457	1 000 457	170,39%
Training	396 431	100 000	516 300	516 300	416,30%
External Services	426 005	416 000	505 764	505 764	21,58%
Receptions, events and representation	950	10 000	51 480	51 480	414,80%
Social welfare		20 000			-100%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 253 588	1 435 000	3 872 000	3 772 000	162,86%
Rental of buildings and associated costs	1 101 975		195 000	195 000	100%
Information, communication technology and data processing	842 291	400 000	1 997 000	1 997 000	399,25%
Movable property and associated costs	1 016 069	250 000	220 000	220 000	-12,00%
Current administrative expenditure	10 000	25 000	210 000	210 000	740,00%
Postage / Telecommunications		25 000	100 000	100 000	300,00%
Meeting expenses	54 205	300 000	370 000	370 000	23,33%
Running costs in connection with operational activities					
Information and publishing	99 048	400 000	580 000	480 000	20,00%
Studies					
Other infrastructure and operating expenditure	130 000	35 000	200 000	200 000	471,43%
Title 3 - Operational expenditure	5 988 032	14 156 500	18 085 000	17 985 000	27,04%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Task 1: Access to information and coordination of EURES	5 508 832				
Task 2: Cooperation and exchange of information between Member States					
Task 3: Concerted and joint inspections	294 200				
Task 4: Analyses and risk assessment	110 000				
Task 5: Capacity Building					
Task 6: Support to Member States in tackling undeclared work	75 000				
Task 7: Mediation disputes between Member States					
Communication activities on operational tasks					
Pillar I: Facilitating access to information and services		8 980 400	11 220 000	11 120 000	23,83%
Pillar II: Enhancing and supporting cooperation and joint action		3 100 000	3 700 000	3 700 000	19,35%
Pillar III: Mediation and facilitating solutions in cross border disputes		225 000	850 000	850 000	277,78%
Pillar IV: Increasing capacity and knowledge		1 215 000	1 465 000	1 465 000	20,58%
Pillar V: Horizontal activities - Enabling European Labour Authority		636 100	850 000	850 000	33,63%
TOTAL	12 306 909	24 219 500	35 439 842	34 689 842	43,23%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	1 786 642	8 628 000	13 482 842	13 482 842	56,27%
Salaries & allowances	1 384 481	7 222 000	10 192 000	10 192 000	41,12%
- Of which establishment plan posts	692 241	4 162 000	5 844 000	5 844 000	40,41%
- Of which external personnel	692 240	3 060 000	4 348 000	4 348 000	42,09%
Expenditure relating to Staff recruitment	7 926	400 000	508 441	508 441	27,11%
Employer's pension contributions					
Mission expenses	7 588	90 000	158 400	158 400	76,00%
Socio-medical infrastructure	50 307	370 000	1 550 457	1 550 457	319,04%
Training	67 082	100 000	516 300	516 300	416,30%
External Services	268 497	416 000	505 764	505 764	21,58%
Receptions, events and representation	761	10 000	51 480	51 480	414,80%
Social welfare					
Other Staff related expenditure		20 000			-100%
Title 2 - Infrastructure and operating expenditure	737 857	1 435 000	3 872 000	3 872 000	169,83%
Rental of buildings and associated costs	257 807		195 000	195 000	100%
Information, communication technology and data processing	420 406	400 000	1 997 000	1 997 000	399,25%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Movable property and associated costs		250 000	220 000	220 000	-12,00%
Current administrative expenditure	2 437	25 000	210 000	210 000	740,00%
Postage / Telecommunications		25 000	100 000	100 000	300,00%
Meeting expenses	48 587	300 000	370 000	370 000	23,33%
Running costs in connection with operational activities					
Information and publishing	8 620	400 000	580 000	580 000	45,00%
Studies					
Other infrastructure and operating expenditure		35 000	200 000	200 000	471,43%
Title 3 - Operational expenditure	195 064	14 156 500	9 042 500	9 042 500	-36,12%
Task 1: Access to information and coordination of EURES	104 899				
Task 2: Cooperation and exchange of information between Member States					
Task 3: Concerted and joint inspections	34 255				
Task 4: Analyses and risk assessment					
Task 5: Capacity Building					
Task 6: Support to Member States in tackling undeclared work	55 910				
Task 7: Mediation disputes between Member States					
Communication activities on operational tasks					
Pillar I: Facilitating access to information and services		8 980 400	5 610 000	5 610 000	-37,53%
Pillar II: Enhancing and supporting cooperation and joint action		3 100 000	1 850 000	1 850 000	-40,32%
Pillar III: Mediation and facilitating solutions in cross border disputes		225 000	425 000	425 000	88,89%
Pillar IV: Increasing capacity and knowledge		1 215 000	732 500	732 500	-39,71%
Pillar V: Horizontal activities - Enabling European Labour Authority		636 100	425 000	425 000	-33,19%
TOTAL	2 719 563	24 219 500	26 397 342	26 397 342	8,99%

3.3 Budget Outturn

Not applicable.

4 Justification of needs

Commission assessment

Human Resources

The agency's request follows the related legislative financial statement (LFS) in terms of staff for 2022 (57 TA, 15 CA and 60 SNE) which is fully supported by the Commission.

Financial Resources

The agency's request is lower than the EU contribution foreseen in the financial programming for the period 2022-2027 due to the fact that ELA adjusted carefully Titles 1 and 2 as much as possible to their real needs, applying the correction coefficient in Bratislava. The Commission supports the agency request, but assess that a slightly lower commitments than requested (- EUR 750.000) will meet well the agency's need, also taking into account the 10 years free of charge rental arrangement with the Slovak authorities.

As regards payment appropriations, ELA is requesting lower than the level of commitment appropriations. This is justified, among other things, by the fact that ELA has not yet reached its cruising speed which is only scheduled for 2024. In addition, their approach to differentiate commitment appropriations from payment appropriations at the level of their operational expenditure (Title 3) is acceptable and well founded in terms of sound financial management.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of staff requested in 2022 corresponds to ELA needs to have sufficient staff qualified to take over the tasks described in its Founding Regulation. The total number of staff by the point of reaching cruising speed by 2024 will remain the same as the one indicated in the Legislative Financial Statement accompanying the Founding Regulation.

The grading of the posts specified in the ELA Budget for 2022 was established with a view to attract qualified and diverse workforce able to fulfil ELA's mandate.

4.1.2 Vacancy rate as of end 2020

Recruitment was a key priority in 2020, therefore the Authority continued successfully the selection and recruitment of staff in the area of labour mobility and social security, and also professionals in human resources, finance, procurement, communication, ICT and other horizontal areas enabling the functioning of the Authority as in line with the authorised establishment plan and budget envelope 2020.

In parallel, the selection process to recruit the Executive Director was ongoing, with the successful candidate appointed, who took up duties on 16/12/2020.

The Authority welcomed a significant growth of its capacity, i.e. 43 new staff members by December 2020, incl. 18 National Liaison Officers (NLOs). As at 31 December 2020, the Authority employed 25 staff (Temporary Agents and Contract Agents) and 18 National Liaison Officers.

In its start-up phase, the ELA's occupancy rate by 31/12/2020 reached 80 % for establishment plan posts (93 % for AD staff, 50 % for AST), and 60 % for external staff (CA and SNEs). Several recruitment procedures were started in 2020 resulting with filling additional 3 establishment plan posts on 01/01/2021, 7 National Liaison Officers (NLOs) posts by 01/02/2021 and further 3 posts (1 AD and 2 CAs) by 01/03/2021.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

The calculation of the salary lines for 2021 was made on the basis of

- a) the requested staff as detailed in section 2 above, assuming that the staff will take up duty progressively throughout the year,
- b) the average FTE costs to be used for cost recovery of services provided by DG BUDG, and
- c) the correction coefficient of Slovakia (80,6%).

4.1.5 Correction coefficient used

80,6% for Slovakia.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The appropriations requested for the staff expenditure amount to EUR 13 482 842 which is below the amount foreseen at the Legislative Financial Statement. It mainly covers the salaries and allowances of the staff expected to be employed by the Authority in 2022 in accordance with the Legislative Financial Statement (57 temporary agents, 15 contract agents, 60 seconded national experts), with a budget of approximately EUR 10,2 million. Recruitment will continue to be a high priority in 2022 which explains the level of the recruitment costs, that include among others the travel expenses to be reimbursed for interviewed candidates, the daily subsistence and installation allowances and the removal expenses. Other sources of staff expenditure relate to missions and trainings.

4.2.2 Title 2

The infrastructure and operating expenditure is estimated to EUR 3 872 000 which is below the one foreseen at the Legislative Financial Statement. It mainly relates to ICT equipment and services needed to ensure the effective administration of the Authority at the permanent seat location; the organisation of the meetings of its governing bodies; external communication activities and translation / publication charges and other operating expenditure. The European Labour Authority is a newly established Decentralised Agency and as such the first years of its operations has high administrative costs so as to establish and ensure smooth business continuity after its financial autonomy. Unfortunately this has not been taken in consideration by DG BUDG last year and applied a budget cut up to 50% of title II for 2021 which resulted in a current deficit of approximately EUR 700.000, this will have an impact on our operational activities in 2021. Thus the title II of 2022 is being carefully planned and any budget cuts should be avoided.

4.2.3 Title 3

The operational expenditure is estimated to EUR 18 085 000. The amount is in accordance with the Legislative Financial Statement of the Authority. The Authority's operational activities are described in the Single Programming Document 2022-2024 that give effect to its tasks as provided for by the Regulation (EU) 2019/1149.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Landererova 12 (L12)	Landererova 12 (L12)	3 437	1 282	4 719	12	20 years	Sublease	SK is covering rental costs during the first 10 years	
TOTAL			3 437	1 282	4 719	12				

5.1.2 Current building(s) Other comments

On 13 June 2019, the Decision (EU) 2019/1199 on the location of the seat of the ELA - Bratislava, Slovakia - was taken by common accord between the Representatives of the Governments of the Member States. The Authority is temporarily hosted within Commission premises until the move to the seat location. In this budgetary statement for 2022, no rental costs for the building are included as it is assumed that the staff of the ELA will be moved to Bratislava in 2021.

5.1.3 Building projects in the planning phase

The offer submitted by the government of the Slovak Republic included the proposal of a building and a financial contribution to partially cover the rental cost and some associated charges of the building. The negotiations of the Sublease agreement are ongoing and the Authority is expected to move to Bratislava within 2021.

5.1.4 Building projects submitted to the European Parliament and the Council

In 2020, ELA received the approval of the Budgetary Authority for its future headquarters in Bratislava at Landererova 12. The sublease agreement for the office space (4 floors) within this building is expected to be signed early 2021 for a duration of 20 years. In line with the offer submitted by the Slovak government for the seat selection, the rental costs for the first ten years will be paid by Slovakia with ELA paying a symbolic amount of 1 EUR per month for the basic standard of the office. Further charges may be added due to additional fit-out requirements of ELA.

5.2 European Schools

In the absence of a European school in Bratislava, the education fees in international schools will be covered by the Authority by entering into bilateral agreements with those schools. This is in line with best practice in other Decentralised Agencies of the EU facing a similar situation. The Executive Director has adopted a decision to that effect.

5.3 Evaluation

Not applicable.

5.4 Privileges and immunities

The Protocol on the Privileges and Immunities of the European Union shall apply to the Authority and its staff.

2.3 Decentralised agencies of Heading 3 – Natural Resources and Environment

2.3.1 European Fisheries Control Agency – EFCA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) 2019/473 of the European Parliament and of the Council.	19 March 2019	<ul style="list-style-type: none"> • to coordinate control and inspection by Member States relating to the control and inspection obligations of the EU; • to coordinate the deployment of the national means of control and inspection pooled by the Member States concerned in accordance with this Regulation; • to assist Member States in reporting information on fishing activities and control and inspection activities to the Commission and third parties; • in the field of its competences, to assist Member States to fulfil their tasks and obligations under the rules of the Common Fisheries Policy; • to assist Member States and the Commission in harmonising the application of the Common Fisheries Policy throughout the EU; • to contribute to the work of Member States and the Commission on research into and development of control and inspection techniques; • to contribute to the coordination of inspector training and the exchange of experience between Member States; • to coordinate the operations to combat illegal, unreported and unregulated fishing in conformity with EU rules; • to assist in the uniform implementation of the control system of the common fisheries policy, including in particular: <ol style="list-style-type: none"> 1) Organisation of operational coordination of control activities by Member States for the implementation of specific control and inspection programmes, control programmes related to illegal, unreported and unregulated (IUU) fishing and international control and inspection programmes 2) Inspections as necessary to fulfil the Agency's tasks • to cooperate with European Border and Coast Guard Agency, and the European Maritime Safety Agency, each within its mandate, to support the national authorities carrying out coast guard functions, by providing services, information, equipment and training as well as by coordinating multipurpose operations. In addition, among other competences: <ol style="list-style-type: none"> 1) Operational coordination by the Agency shall cover control of all activities covered by the Common Fisheries Policy; 2) Officials of the Agency may be assigned in international waters as Union inspectors; 3) The Agency may acquire, rent or charter the equipment that is necessary for the implementation of the joint deployment plans; 4) The Agency shall, where appropriate: <ol style="list-style-type: none"> (a) issue manuals on harmonised standards of inspections; (b) develop guidance material reflecting the best practices in the field of control of the Common Fisheries Policy, including on the training of control officials, and update this on a regular basis; (c) provide the Commission with the necessary technical and administrative support to carry out its tasks; 5) With due regard to the different legal systems in the individual Member States, the Agency shall facilitate cooperation between Member States and between them and the Commission in the development of harmonised standards for control in accordance with EU legislation and

		<p>taking into account best practices in Member States and agreed international standards;</p> <p>6) Upon a notification by the Commission or of its own initiative the Agency shall set up an Emergency Unit, where a situation involving direct, indirect or potential serious risk to the Common Fisheries Policy is identified, and the risk cannot be prevented, eliminated, or reduced by existing means or cannot adequately be managed;</p> <p>7) The Agency shall contribute to the implementation of the EU Integrated Maritime Policy, and in particular conclude administrative agreements with other bodies in matters covered by Regulation (EU) 2019/473 of the European Parliament and of the Council after approval by the Administrative Board.</p> <p>8) The Agency shall, in cooperation with the European Border and Coast Guard Agency and the European Maritime Safety Agency, support national authorities carrying out coast guard functions at national and Union level and, where appropriate, at international level by:</p> <p>(a) sharing, fusing and analysing information available in ship reporting systems and other information systems hosted by or accessible to those agencies, in accordance with their respective legal bases and without prejudice to the ownership of data by Member States;</p> <p>(b) providing surveillance and communication services based on state-of-the-art technology, including space-based and ground infrastructure and sensors mounted on any kind of platform;</p> <p>(c) building capacity by drawing up guidelines and recommendations and by establishing best practices as well as by providing training and exchange of staff;</p> <p>(d) enhancing the exchange of information and cooperation on coast guard functions including by analysing operational challenges and emerging risks in the maritime domain;</p> <p>(e) sharing capacity by planning and implementing multipurpose operations and by sharing assets and other capabilities, to the extent that these activities are coordinated by those agencies and are agreed to by the competent authorities of the Member States concerned.</p>
Commission Decision 2009/988/EU	18 December 2009	<p>By this Decision, the Commission designated the EFCA as the body to carry out certain tasks under the IUU Regulation. These tasks are as follows:</p> <ul style="list-style-type: none"> • transmit notifications, with copy to the Commission, on denials of landing or transshipment authorisations by third country vessels to flag State(s) and, if appropriate copies of these notifications, to Regional Fisheries Management Organisations in accordance with Article 11(3) of Regulation (EC) No 1005/2008; • upon request from the Commission, provide for the conduct of on-the-spot audits, alone or in cooperation with the Commission, to verify the effective implementation of agreed cooperation arrangements with third countries in accordance with Article 20(4), second subparagraph (c) of Regulation (EC) No 1005/2008; • communicate to Member States and flag States, with copy to the Commission, additional information submitted by the Member States to the Commission which is relevant for the establishment of the European Union IUU vessel list in accordance with Article 25(2) of Regulation (EC) No 1005/2008; • transmit sightings reports to all Member States, with copy to the Commission, and, if appropriate, to the Executive Secretary of the relevant Regional Fisheries Management Organisation in accordance with Article 48(4) of Regulation (EC) No 1005/2008; • transmit to the Executive Secretary of the relevant Regional Fisheries Management Organisation, with copy to the Commission, information from a Member State in response to a sighting report on one of its vessels from a contracting party to that Regional Fisheries Management Organisation in accordance with Article 48(5) of Regulation (EC) No 1005/2008.
Regulation (EU) No 1380/2013 of the European Parliament and of the Council	11 December 2013	<p>This regulation adopted the new Common Fisheries Policy. As regards the Agency:</p>

		<ul style="list-style-type: none"> the Union shall, including through the Agency, cooperate with third countries and international organisations dealing with fisheries, including Regional Fisheries Management Organisations, to strengthen compliance with measures, especially those to combat IUU fishing, in order to ensure that measures adopted by such international organisations are strictly adhered to. Control and enforcement of the CFP shall in particular be based on and shall include cooperation and coordination between Member States, the Commission and the Agency; <p>The Agency may assist the expert group on compliance meetings as an observer.</p>
Regulation (EU) 2019/833 of the European Parliament and of the Council laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, amending Regulation 2016/1627 and repealing Council Regulations (EC) No 2115/2005 and (EC) No 1386/2007	20 May 2019	This Regulation empowers the Agency to coordinate the surveillance and inspection activities for the EU in the NAFO Regulatory Area and to draw up, in cooperation with the Member States a plan for the EU participation in the international Scheme of control (art. 28).
Commission Implementing Regulation (EU) 433/2012	23 May 2012	<p>This Regulation, in line with the Regulation (EU) 1236/2010 of the European Parliament and the Council, and in relation with the fishing activities in the NEAFC Regulatory Area, designs the Agency to:</p> <ul style="list-style-type: none"> Coordinate the surveillance and inspection activities of the EU in the area; Prepare a plan in cooperation with Member States for the EU participation in the International Scheme of control; Receive and prepare reports on inspection and infringement in the NEAFC area.

1.2 Seat

Vigo, Spain

1.3 Budget Line

08 10 01 : European Fisheries Control Agency

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	31	31	100,00%	34	41
Assistants (AST)	30	29	96,67%	31	36
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	61	60	98,36%	65	77
Contract Agents (CA)	5	10	200,00%	7	11
Seconded National Experts (SNE)	4	6	150,00%	4	5
TOTAL STAFF	70	76	108,57%	76	93

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	19 000 000	29 340 000
Other Revenue	0	0
TOTAL REVENUES	19 000 000	29 340 000

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	621 000	245 000

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	9 108 000	9 108 000	11 185 000	11 185 000
Title 2 - Infrastructure and operating expenditure	1 707 000	1 707 000	1 880 000	1 880 000
Title 3 - Operational expenditure	8 806 000	8 806 000	16 275 000	16 275 000
TOTAL EXPENDITURE	19 621 000	19 621 000	29 340 000	29 340 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		2		2		2		2		2
AD 13		1		1		1		1		1
AD 12		2		2		2		2		2
AD 11						2		3		3
AD 10		7		7		7		8		8
AD 9		5		5		5		5		5
AD 8		13		12		11		11		11
AD 7				1		3		8		8
AD 6										
AD 5										
AD TOTAL		31		31		34		41		41
AST 11										
AST 10		7		6		6		6		6
AST 9		3		3		3		3		3
AST 8		3		3		3		3		3
AST 7		8		8		8		8		8
AST 6		2		2		2		2		2
AST 5		7		7		7		7		7
AST 4						2		7		7
AST 3										
AST 2										
AST 1										
AST TOTAL		30		29		31		36		36
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		61		60		65		77		77
GRAND TOTAL		61		60		65		77		77

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	1	1	1	1
Function Group III	3	8	5	9
Function Group II	1	1	1	1
Function Group I				
TOTAL	5	10	7	11

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	4	6	4	5

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	16 900 000	19 000 000	29 340 000	29 340 000	54,42%
- Of which assigned revenues deriving from previous years' surpluses	162 945	258 957	601 130	601 130	132,14%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	3 685				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	16 903 685	19 000 000	29 340 000	29 340 000	54,42%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	793 025	621 000	245 000	245 000	-60,55%
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	793 025	621 000	245 000	245 000	-60,55%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	8 411 123	9 108 000	11 185 000	11 185 000	22,80%
Salaries & allowances	8 285 120	8 728 000	10 531 820	10 531 820	20,67%
- Of which establishment plan posts	7 125 057	7 500 000	9 152 190	9 152 190	22,03%
- Of which external personnel	1 160 063	1 228 000	1 379 630	1 379 630	12,35%
Expenditure relating to Staff recruitment	23 699	170 000	403 000	403 000	137,06%
Employer's pension contributions					
Mission expenses	3 091	70 000	71 000	71 000	1,43%
Socio-medical infrastructure	15 208	28 000	38 180	38 180	36,36%
Training	73 005	110 000	139 000	139 000	26,36%
External Services					
Receptions, events and representation		2 000	2 000	2 000	0,00%
Social welfare	11 000				
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 806 942	1 707 000	1 880 000	1 880 000	10,13%
Rental of buildings and associated costs	379 364	407 000	415 000	415 000	1,97%
Information, communication technology and data processing	815 293	645 000	736 000	736 000	14,11%
Movable property and associated costs	140 536	53 000	110 000	110 000	107,55%
Current administrative expenditure	26 965	30 000	61 000	61 000	103,33%
Postage / Telecommunications	68 860	65 000	70 000	70 000	7,69%
Meeting expenses	7 000	72 000	74 000	74 000	2,78%
Running costs in connection with operational activities	299 568	380 000	357 000	357 000	-6,05%
Information and publishing	69 356	55 000	57 000	57 000	3,64%
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	6 592 661	8 806 000	16 275 000	16 275 000	84,82%
TOTAL	16 810 726	19 621 000	29 340 000	29 340 000	49,53%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	8 365 705	9 108 000	11 185 000	11 185 000	22,80%
Salaries & allowances	8 264 912	8 728 000	10 531 820	10 531 820	20,67%
- Of which establishment plan posts	7 114 795	7 500 000	9 152 190	9 152 190	22,03%
- Of which external personnel	1 150 117	1 228 000	1 379 630	1 379 630	12,35%
Expenditure relating to Staff recruitment	23 699	170 000	403 000	403 000	137,06%
Employer's pension contributions					
Mission expenses	3 001	70 000	71 000	71 000	1,43%
Socio-medical infrastructure	13 783	28 000	38 180	38 180	36,36%
Training	56 632	110 000	139 000	139 000	26,36%
External Services					
Receptions, events and representation		2 000	2 000	2 000	0,00%
Social welfare	3 678				
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 268 682	1 707 000	1 880 000	1 880 000	10,13%
Rental of buildings and associated costs	341 851	407 000	415 000	415 000	1,97%
Information, communication technology and data processing	480 140	645 000	736 000	736 000	14,11%
Movable property and associated costs	95 812	53 000	110 000	110 000	107,55%
Current administrative expenditure	16 575	30 000	61 000	61 000	103,33%
Postage / Telecommunications	55 785	65 000	70 000	70 000	7,69%
Meeting expenses	7 000	72 000	74 000	74 000	2,78%
Running costs in connection with operational activities	242 021	380 000	357 000	357 000	-6,05%
Information and publishing	29 498	55 000	57 000	57 000	3,64%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 444 912	8 806 000	16 275 000	16 275 000	84,82%
TOTAL	14 079 299	19 621 000	29 340 000	29 340 000	49,53%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 601,130.

4 Justification of needs

Commission assessment

Human Resources

For 2022, EFCA is reinforced resulting from the Implementation of the EU-UK Trade and Cooperation Agreement and as presented in the Legislative Financial Statement linked to Revision of the fisheries control system. The Commission supports EFCA request of 16 additional establishment plan posts, 6 contract agent positions and 1 SNE. The reinforcement will help the agency to fulfil its control tasks and increase cooperation between Member States. The staff numbers provided for 2021 also already include the impact in 2021 of these activities (4 establishment plan posts and 2 contract agents).

Financial Resources

This request (EU contribution of EUR 29 340 000) represents an 73% increase overall in respect of 2021 budget, and it is composed of the following:

- EUR 17 340 000 in conformity with a 2% indexation.
- EUR 12 000 000 added in relation to the implementation of the EU-UK Trade and Cooperation Agreement (see point 4.2.3 for further details), from which 79% will be dedicated to operational expenditure, and 21% is earmarked for staff and administrative costs.

This increase in budget will also impact future years, covering at least the entire MFF 2021-2027.

It is to be noted that the 2021 budget was re-enforced by the additional EUR 2 000 000 on top of the originally foreseen Union contribution of EUR 17 000 000, through AB2/2021. A further reinforcement in 2021 of EUR 2 000 000 will follow through a Commission autonomous transfer from the European Maritime Fisheries and Aquaculture Fund.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EFCA requests an increase of 16 posts (9 AD and 7 AST) for the Establishment plan for 2022.

EFCA requests 6 additional contract agent positions and 1 seconded national expert position, to cover the needs linked to the implementation of the EU-UK Trade and Cooperation Agreement.

4.1.2 Vacancy rate as of end 2020

On 31/12/2020 the vacancy rate for Temporary Agents was 2%.

On 31/12/2020 the number of Contract Agents was 10. In addition, there were 3 CAs funded by the PESCAO project.

On 31/12/2019 the number of SNE was 6. In addition, there were 2 SNE funded by the PESCAO project.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimations on salary expenditures are based on the current staffing situation and entitlement status, as well as the new staff requested and estimated entitlement status extrapolated for the future period, and the recruitment plan aiming for full occupancy.

4.1.5 Correction coefficient used

A 2% correction coefficient is used for the estimation of staff expenditure in 2022.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

There is an overall increase of 26% in Title I in respect of 2021 budget. This is mainly due to the:

- increase of 23 new staff requested in the framework of the implementation of the EU-UK Trade and Cooperation Agreement.
- impact of annual salary adjustments linked to the annual indexation and the country coefficient applicable in Spain (+2%).

The staff expenditure (Title I) for 2022 is estimated taking into consideration a full occupation of the current staff and 85% average implementation rate of the new staff requested, with the corresponding step increases and estimated reclassifications. It also includes the recruitment and installation costs, increase in socio medical and training costs linked to the recruitment of the 23 new staff.

4.2.2 Title 2

In the case of Title II, an increase of 16% can be noted in respect of 2021 budget due to the resources needed to deliver the tools and material to incorporate the new staff (computers, furniture, other building services) and the increase generated in other services (licenses, utilities, etc.).

4.2.3 Title 3

The operational budget proposal represents an increase of 133% in respect of 2021. This increase is due to the additional resources requested for the Implementation of the EU-UK Trade and Cooperation Agreement (EUR 9 350 000 for Title 3).

With the creation of a new maritime border with the UK, the EU is faced to novel challenges as regards fisheries control. All elements available to date point towards the direction that the EU faces a situation where the current resources are insufficient to meet the control demands posed by the UK becoming a third country.

Therefore, the budget increase would cover the following main objectives:

1. Developing a single data base and operating a coordination and monitoring centre in the Agency, providing the necessary information to the Member States authorities and to the Commission based upon an access to data protocol and, where empowered, to third country authorities without prejudice of integrating the data provided with other agencies in the framework of the European Cooperation on Coast Guard.
2. Allowing the chartering of 2 OPV and aerial surveillance means. The current EFCA's budget allows only for the chartering of one OPV with an operational area and EU international obligations and commitments covering the Black Sea, the entire Mediterranean, the Baltic Sea and the whole Atlantic, up to Greenland. This OPV does not have the additional capacity to patrol the waters adjoining the UK. According to a risk analysis performed by EFCA and the Member States in 2020, there is a need for two additional OPVs and dedicated air surveillance capacity at EU level. This may be through manned aircraft, dedicated satellite surveillance or the use of long-range drones – all of which are considered to be in shortage. EFCA has estimated the operation of two vessels during 455 days in total, as well as 195 days of air surveillance each year. The additional budget will also cover the missions of staff and inspectors, and additional legal and IT operational costs.

The budget structure of Title III has not changed in respect of 2021. EFCA has 4 strategic areas for 2022 and

therefore the relevant expenditure will be reflected accordingly in the operational budget.

4.3 Ad hoc grants and delegation agreements

EFCA has a grant agreement related to the support to the Project Improved regional fisheries governance in Western Africa (PESCAO), adopted by the Commission by the Decision C (2017) 2951 of 28 April 2017, to be implemented from 2018 to 2022. The project includes a component aiming to improve the fight against Illegal, Unregulated and Unreported (IUU) fishing activities in Western Africa, in which EFCA provides technical assistance.

EFCA is continuously looking for opportunities to be able to provide support to the fight against IUU fishing and promote fisheries control, including in the international dimension, but additional resources for that activities are needed. EFCA is in that respect preparing in cooperation with the Commission different Projects and activities to be financed through grant agreements under, i.e., the EMFF, the Blue Economy initiatives.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Edificio Odriozola	Av. García Barbón, 4 - 36201 Vigo (Pontevedra) Spain	3 850	350	4 200	52 680		Rent paid directly by Spanish Authorities	Spain	
TOTAL			3 850	350	4 200	52 680				

5.1.2 Current building(s) Other comments

EFCA is using 7 floors (1st to 7th) of the building, the main entrance on the ground floor and 23 parking spaces. The main surface of the ground floor is occupied by a private bank.

The rent and building related costs from the owner of the building:

- EUR 52 680/year for a yearly renewable 7th floor rent agreement + security arrangement on the basement for the duration of the occupation of the building by EFCA;
- EUR 10 500/year for a yearly renewable building general maintenance agreement.

The Host Member State confirmed in 2017 the agreement to use an additional floor (500 m²) of the building currently occupied by the agency, without any additional rent costs for EFCA.

5.1.3 Building projects in the planning phase

Building projects are limited to punctual adaptation of the workplace to the evolution of the needs and according to possible improvement of the environmental efficiency where possible.

No structural projects are expected and office surfaces are expected to remain stable.

5.1.4 Building projects submitted to the European Parliament and the Council

No building projects with significant financial implication are foreseen.

It is to be noted that the agreement between the Spanish Government and the owner of the building where the Agency is established will come to an end on 31/12/2022.

5.2 European Schools

N/A

5.3 Evaluation

In line with Article 48 of the Founding Regulation of the Agency, every five years the Administrative Board shall commission an independent external evaluation of the implementation of EFCA's Founding Regulation. Each evaluation shall assess the impact of the Founding Regulation, the utility, relevance and effectiveness of the Agency and its working practices and the extent to which it contributes to the achievement of a high level of compliance with rules made under the common fisheries policy.

The first five-year external independent evaluation of the Agency for the period 2007-2011, showed an overall positive assessment of the governance and performance of EFCA. It indicated that on the whole, governance arrangements had worked well and pointed out that considering the Agency's limited resources, its operation in the politically sensitive environment of fisheries policy, and current Member State budget constraints, performance against the evaluation criteria of relevance, and effectiveness, impact and sustainability, can be considered promising. The Evaluation also commended the Agency for its administrative efficiency.

The second five-year external independent evaluation of the Agency for the period 2012-2016 was presented in June 2017, and, as stated in the report: "this evaluation reviewed the implementation of the Regulation during 2012-2016 against the evaluation criteria of follow-up on the last evaluation, relevance, coherence, utility, added value, efficiency, effectiveness, impact, sustainability and gender balance. Data collected with the help of extensive desk research, interviews with some 60 stakeholders, five case studies (focusing on specific EFCA activities) and five surveys of key stakeholders confirm EFCA's positive performance across all evaluation criteria. This is mostly explained with EFCA successfully operationalising a role of 'honest broker' between the MS, EC and industry, allowing EFCA to achieve objectives in terms of MS cooperation and compliance, thus contributing to the level-playing field and the sustainable exploitation of living aquatic resources. EFCA's strong performance was supported by adequate governance arrangements and working practices, with commendable efficiency efforts, e.g. use of e-administration".

The Administrative Board issued recommendations to the European Commission regarding changes to the Founding Regulation, the Agency and its working practices. Both the evaluation findings and recommendations were made public: https://www.efca.europa.eu/en/library?f%5B0%5D=field_library_type%3A69.

The recommendations issued by the Administrative Board are being taken into consideration in the multiannual and annual work programmes of the Agency. In that respect, a roadmap has been prepared by ECFA to effectively monitor and follow up the Administrative Board recommendations. The Administrative Board is reported on the state of play of the recommendations at each bi-annual Administrative Board meeting.

The terms of reference of the third five-year external independent evaluation of the Agency covering the period 2017-2021 will be presented to the Administrative Board meeting of 21 April 2021 for discussion and after agreement of the Administrative Board, the work to be carried out will be outsourced to an external consultant.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exempted (invoices >300€)	VAT exempted for vehicle purchases, special ID cards	N/A

2.3.2 European Environment Agency – EEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EEC) No. 1210/90	07/05/1990	Establishment of the European Environment Agency and the European environment information and observation network - established the objective of the EEA, 10 tasks to be carried out to achieve the objective, and priority areas of work.
Council Regulation 933/1999	29/04/1999	Following a first evaluation of the Agency as required in the Founding Regulation: the objective of the EEA was revised to include reference to sustainable development, some of the tasks were revised slightly, three new tasks were added, one priority area of work was added, enabling the management board to be extended to representatives on non-EU countries, the election of a bureau delegated to take executive decisions on behalf of the management board was enabled, an article listing possible further tasks for the Agency was deleted, and requirements for two further evaluations of the Agency were specified.
Regulation (EC) No 1641/2003 of the European Parliament and of the Council (L 245 1 29.9.2003)	22/07/2003	Revised Article 6 to indicate that Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents shall apply to documents held by the Agency.
Proposal for a Regulation of the European Parliament and of the Council establishing the framework for achieving climate neutrality and amending Regulation (EU) 2018/1999, COM/2020(80) (European Climate Law)		Establishing a new European Scientific Advisory Board on Climate Change within the EEA to consider the latest scientific findings, provide scientific advice and issue reports, contribute to the exchange of independent scientific knowledge, identify actions and opportunities, and raise awareness.
Proposal for a Decision of the European Parliament and of the Council on a General Union Environment Action Programme to 2030, COM(2020)652 (8th Environmental Action Programme)	14/10/2020	The Agency shall support, together with the European Chemicals Agency (ECHA) the achievement of the objectives of the 8th Environment Action Programme by establishing a new monitoring and reporting framework.

1.2 Seat

Copenhagen, Denmark

1.3 Budget Line

09 10 02 : European Environment Agency

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	67	68	101,49%	77	90
Assistants (AST)	63	58	92,06%	63	60
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	130	126	96,92%	140	150
Contract Agents (CA)	72	71	98,61%	80	86
Seconded National Experts (SNE)	20	12	60,00%	20	20
TOTAL STAFF	222	209	94,14%	240	256

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	45 398 000	49 682 736
Other Revenue	5 973 223	6 208 227
TOTAL REVENUES	51 371 223	55 890 963

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)	631 000	
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	370 000	620 000
TOTAL REVENUES	1 001 000	620 000

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	30 540 743	30 540 743	33 888 963	33 888 963
Title 2 - Infrastructure and operating expenditure	5 027 030	5 027 030	5 380 000	5 380 000
Title 3 - Operational expenditure	15 803 450	15 803 450	16 622 000	16 622 000
TOTAL EXPENDITURE	51 371 223	51 371 223	55 890 963	55 890 963

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		2				2		2		2
AD 13	1	6		1	1	6	1	6	1	6
AD 12		16	1	6		16		16		16
AD 11		10		9		10		10		10
AD 10		11		13		11		11		11
AD 9		9		9		9		9		9
AD 8		4		8		4		6		6
AD 7		3		10		6		11		11
AD 6		1		10		8		23		17
AD 5		3				3				
AD TOTAL	1	66	1	67	1	76	1	95	1	89
AST 11		2			1	2		2		2
AST 10	1	5	1	3	2	5	1	5	1	5
AST 9	2	12		4		12	2	11	2	11
AST 8		11	1	6		11		11		11
AST 7		11		9		11		11		11
AST 6		11		9		11		10		10
AST 5		7		9		7		7		7
AST 4		1		10		1				
AST 3				5						
AST 2				1						
AST 1										
AST TOTAL	3	60	2	56	3	60	3	57	3	57
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	4	126	3	123	4	136	4	152	4	146
GRAND TOTAL	130		126		140		156		150	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	53	52	62	64
Function Group III	11	12	11	11
Function Group II	8	7	7	11
Function Group I				
TOTAL	72	71	80	86

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	20	12	20	20

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	41 972 000	45 398 000	51 192 516	49 682 736	9,44%
- <i>Of which assigned revenues deriving from previous years' surpluses</i>	253 218	218 261	235 162	235 162	7,74%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	4 149 110	4 346 853	4 350 501	4 368 134	0,49%
- <i>Of which EEA/EFTA (excl. Switzerland)</i>	1 022 110	1 219 853	1 223 501	1 241 134	1,74%
- <i>Of which candidate countries</i>	3 127 000	3 127 000	3 127 000	3 127 000	0,00%
4 OTHER CONTRIBUTIONS	1 489 957	1 626 370	1 896 019	1 840 093	13,14%
5 ADMINISTRATIVE OPERATIONS					
- <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	47 611 067	51 371 223	57 439 036	55 890 963	8,80%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	1 275 000				
Additional EU funding stemming from contribution agreements (FFR Art.7)	14 343 000	631 000			-100%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		370 000	620 000	620 000	67,57%
TOTAL	15 618 000	1 001 000	620 000	620 000	-38,06%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	26 254 737	30 540 743	34 692 036	33 888 963	10,96%
Salaries & allowances	25 187 548	28 761 743	32 591 036	31 830 021	10,67%
- Of which establishment plan posts	18 246 457	20 456 743	23 628 880	22 938 865	12,13%
- Of which external personnel	6 941 091	8 305 000	8 962 156	8 891 156	7,06%
Expenditure relating to Staff recruitment	198 688	540 000	837 000	812 942	50,54%
Employer's pension contributions					
Mission expenses	92 015	300 000	240 000	240 000	-20,00%
Socio-medical infrastructure	352 134	385 000	415 000	409 000	6,23%
Training	410 352	540 000	595 000	583 000	7,96%
External Services					
Receptions, events and representation					
Social welfare	14 000	14 000	14 000	14 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 900 811	5 027 030	5 500 000	5 380 000	7,02%
Rental of buildings and associated costs	3 669 255	3 671 500	4 066 000	3 970 000	8,13%
Information, communication technology and data processing					
Movable property and associated costs	541 547	439 530	501 000	477 000	8,53%
Current administrative expenditure	628 452	767 000	781 000	781 000	1,83%
Postage / Telecommunications	570	9 000	9 000	9 000	0,00%
Meeting expenses					
Running costs in connection with operational activities	51 022	110 000	112 000	112 000	1,82%
Information and publishing					
Studies					
Other infrastructure and operating expenditure	9 965	30 000	31 000	31 000	3,33%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	16 455 517	15 803 450	17 247 000	16 622 000	5,18%
Resources	14 565 300	13 833 450	15 043 000	14 613 000	5,64%
Strategic Actions	1 890 217	1 970 000	2 204 000	2 009 000	1,98%
TOTAL	47 611 065	51 371 223	57 439 036	55 890 963	8,80%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	26 254 737	30 540 743	32 170 620	33 888 963	10,96%
Salaries & allowances	25 187 548	28 761 743	30 293 620	31 830 021	10,67%
- Of which establishment plan posts	18 246 457	20 456 743	21 651 620	22 938 865	12,13%
- Of which external personnel	6 941 091	8 305 000	8 642 000	8 891 156	7,06%
Expenditure relating to Staff recruitment	198 688	540 000	655 000	812 942	50,54%
Employer's pension contributions					
Mission expenses	92 015	300 000	240 000	240 000	-20,00%
Socio-medical infrastructure	352 134	385 000	401 000	409 000	6,23%
Training	410 352	540 000	567 000	583 000	7,96%
External Services					
Receptions, events and representation					
Social welfare	14 000	14 000	14 000	14 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 900 811	5 027 030	5 252 000	5 380 000	7,02%
Rental of buildings and associated costs	3 669 255	3 671 500	3 874 000	3 970 000	8,13%
Information, communication technology and data processing					
Movable property and associated costs	541 547	439 530	445 000	477 000	8,53%
Current administrative expenditure	628 452	767 000	781 000	781 000	1,83%
Postage / Telecommunications	570	9 000	9 000	9 000	0,00%
Meeting expenses					
Running costs in connection with operational activities	51 022	110 000	112 000	112 000	1,82%
Information and publishing					
Studies					
Other infrastructure and operating expenditure	9 965	30 000	31 000	31 000	3,33%
Title 3 - Operational expenditure	16 455 517	15 803 450	16 745 000	16 622 000	5,18%
Resources	14 565 300	13 833 450	14 541 000	14 613 000	5,64%
Strategic Actions	1 890 217	1 970 000	2 204 000	2 009 000	1,98%
TOTAL	47 611 065	51 371 223	54 167 620	55 890 963	8,80%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 235 162.

4 Justification of needs

Commission assessment

Human Resources:

Additional posts in the 2022 request include 10 TA, 5 CA FGIV and 1 CA FGII for the European Climate Law.

The EEA has also requested the conversion of one contract agent to a temporary agent under the 2022 budget. The conversion was indicated in the baseline of the 8th EAP, but it was not factored in / reflected in the amounts and posts indicated in financial fiche supporting the 8th EAP proposal; hence this request has not been accepted.

Finally, one TA post is requested to be converted from AST to AD in 2022, reflecting a reduction in routine administrative tasks being replaced by an increased demand to deliver tasks of greater complexity.

Financial resources:

Financial resources requested are in line with the technical update of the financial programming for 2022-2027 following the adoption of the 2021 budget, taking into account the recent LFS of the Climate Law for which a political agreement has been reached in April 2021.

The positive budgetary result for 2020, to be reimbursed to the Commission is EUR 235 162.

The net requested EU contribution is therefore: EUR 49 447 354.

In addition, the EEA receives contributions to its budget from the EFTA agreement and Switzerland, which is in proportion to the EU subvention, and Turkey, which is a fixed amount. This is considered external revenue, for a total amount of EUR 6 208 227.

Upcoming legislative proposals and additional needs from 2022-2023:

The below potential needs have not been agreed and should be detailed in a financial statement that will accompany the envisaged legislative proposals.

Seveso Directive (2 TA, 1 CA): The Commission requested as a priority that the EEA takes on new core responsibilities, previously performed by the JRC, to integrate the reporting under Directive 2012/18/EU on the control of major-accident hazards involving dangerous substances, the e-SPIRS platform under the ‘Seveso Directive’, into the EU Registry on Industrial Sites managed by EEA.

Water Reuse Regulation (1 TA) and Sewage Sludge Directive (1 TA): The European Commission has set out significant additional tasks, commencing in 2022, relating to data flows for the upcoming legislation.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The details of the new tasks for which the EEA has requested additional posts is as follows:

Seveso Directive (2 TA, 1 CA): The Commission requested as a priority that the EEA take on new core responsibilities, previously performed by the JRC, to integrate the reporting under Directive 2012/18/EU on the control of major-accident hazards involving dangerous substances, the e-SPIRS platform under the ‘Seveso Directive’, into the EU Registry on Industrial Sites managed by EEA.

European Climate Law (2 CA): The Commission proposal, which seeks to set in law the EU’s climate neutrality objective set out in the European Green Deal, foresees additional support from EEA to support the monitoring, evaluation and reporting on progress towards climate neutrality and adaptation goals under the regulation.

These posts, plus a considerable additional reinforcement, have been integrated in the baseline of 2022, with the adoption of the Climate Law legislation.

Drinking Water Directive recast (1 TA): The EEA's role is foreseen to access data submitted by Member States and provide the Commission with overviews of the Directive's implementation at Union level.

Water Reuse Regulation (1 TA) and Sewage Sludge Directive (1 TA): The European Commission has set out significant additional tasks, commencing in 2022, relating to data flows for the upcoming legislation.

The 8th EAP legislation (Article 1.4.2) specifies that three CA posts allocated to the EEA to deliver tasks set out in Art. 42 of Regulation (EU) 2018/1999 on the Governance of the Energy Union and Climate Action be changed to TA posts, to account fully and in a permanent basis for the respective additional tasks added to the EEA. The establishment table has therefore been updated for the conversion of one post in 2022 and the other two in 2023, given that the contracts of the currently employed contract agents terminate in these years. The conversion is from CA FGIV to ADS6.

Finally, one TA post is requested to be converted from AST to AD in 2022, reflecting a reduction in routine administrative tasks being replaced by an increased demand to deliver tasks of greater complexity.

4.1.2 Vacancy rate as of end 2020

The EEA experienced a very busy and successful year with recruitments, despite the challenges of the Covid-19 pandemic. In response to the restrictions of movement imposed under the pandemic, the EEA switched to online recruiting and virtual interviews, supported by the implementation of a recruitment platform, Systal. It was therefore able to complete 36 selection procedures in 2020, resulting in low vacancy rates at year end: 3.85% (4 posts) for temporary agents/officials and 4.23% (3 posts) for contract agents.

Selection procedures are already in progress for the vacancies and are expected to be completed in early 2021.

In contrast, the low placement of seconded national experts (12 out of 20 posts filled) has been caused by difficulties in Member State institutions seconding their experts to the EEA under the Covid-19 restrictions. This is expected to be resolved as restrictions hopefully reduce during 2021.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The EEA has applied the rates as stipulated in the Budget Circular and added average cost for staff in Copenhagen on additional posts.

4.1.5 Correction coefficient used

Weighting factor unchanged compared to 2021

4.1.6 Exchange rate used (if applicable)

DKK exchange rate budgeted as unchanged

4.2 Financial Resources - Agency request

4.2.1 Title 1

The requested Title 1 budget for the EEA's existing establishment plan and contract staff has been increased by the 2% inflator, which is in line with expected development of staff expenditure in Copenhagen.

The budget requested for the additional posts requested for the new tasks outlined in section 4.1.1 has been calculated using the EEA's standard costing model, which was developed in accordance to principles specified in a costing model guideline issued by DG BUDG, and which calculates the average staff cost per grade, taking into account Copenhagen rates and all components of Title 1 expenditure. The additional temporary agent posts have been budgeted at entry level (ADS6) and the contract agents at FGIII or FGIV, depending on the nature of the new tasks to be performed.

A breakdown of the increase in the EU subvention contribution to Title 1 expenditure is as follows:

2% inflator	EUR 540,000
European Climate Law	EUR 601,516
Seveso Directive	EUR 360,000
DWD Recast	EUR 135,000
Water Reuse Regulation	EUR 135,000
Sewage Sludge Directive	EUR 135,000

The budget for mission expenditure has been reduced by 20% in accordance with the guidance in the budget circular.

4.2.2 Title 2

The budget for Title 2 reflects the expenditure needed to run the EEA's essential office facilities, and staff IT and telephony services and equipment. To leverage the benefits of shared services, the EEA increasingly makes use of personnel, finance, procurement and IT security systems and services provided by the Commission, which comprise a significant portion of the Title 2 budget. The budget also covers the EEA's governance structures, primarily its Management Board and Scientific Committee.

The increase in the EU subvention contribution to Title 2 expenditure includes the 2% inflator (EUR 88,000) and an increase in the variable Title 2 expenditure that would be needed for the additional posts requested for the new tasks outlined in section 4.1.1, which have been calculated using the EEA's standard costing model (EUR 448,000).

4.2.3 Title 3

The EEA's Chapter 33 Resources budget comprises expenditure for its European Topic Centres (ETC), its IT infrastructure and development to deliver its legislative data flows, systems and reporting obligations, its communication expenditure, publications and outreach, expert meetings supporting in particular the European Environment Information and Observation Network (Eionet) and the EEAcademy. Substantially the largest portion of the Resources budget is for the grant agreements with seven ETCs, comprising consortia of Member State institutions, which collaborate with the EEA in delivering its work programme tasks.

The EEA's Chapter 35 Areas of Work budget comprises expenditure on direct actions under the EEA's strategy for 2021-30. These actions focus particularly on the EEA's tasks to support the Commission's priorities under the European Green Deal and the 8th EAP: protecting biodiversity, mitigating and adapting to climate change, supporting zero pollution initiatives and human health, promoting the circular economy and developing sustainability.

(Under the EEA's previous strategy for 2014-20, this budget was in Chapter 34 Strategic Actions.)

The increase requested in the Title 3 budget for 2022 comprises the application of the 2% inflator to the 2021 budget, and the budget needed for IT development and technical support to implement the legislative data flows for the new legal instruments outlined in section 4.1.1.

A breakdown of the increase in the EU subvention contribution to Title 3 expenditure is as follows:

2% inflator	EUR 280,000
Climate law	EUR 502,000
Seveso Directive	EUR 502,000
DWD Recast	EUR 110,000
Water Reuse Regulation	EUR 120,000
Sewage Sludge Directive	EUR 120,000

4.3 Ad hoc grants and delegation agreements

The EEA has been entering into grant, contribution and service level agreements with the Commission for many years. Although they are often initiated as short-term tasks for the Agency, they have been repetitively renewed and increasingly cover long-term EEA activities, forming a substantial portion of the EEA's operational expenditure.

The agreements that are in force at the time of writing are:

Copernicus Delegation Agreement (DG DEFIS, 6 CAs): The agreement, to provide pan-European and Local Component Land Services and In-situ Coordination, commenced in 2014, with a total budget of EUR 87 million, and it concludes at the end of 2021. Negotiations are in progress with DG DEFIS to enter into a new contribution agreement to continue tasks from 2021 to 2027, with an indicative budget of EUR 120 million and including a staff allocation of 15 CAs.

EuroGEO SLA (DG RTD, 2 CAs): The agreement for 2022-2024 and a budget of EUR 1.5 million, covers a project 'Mainstreaming GEOSS data sharing and management principles in support of Europe's environment' under the Horizon 2020 programme.

IPA 2020 (DG NEAR, 1 CA): The two-year grant agreement, for cooperation with Western Balkan countries under the Instrument for Pre-Accession (IPA) and with a budget of EUR 1.3 million, commenced in February 2020. This work has been continuing via short-term agreements for a considerable period and a replacement agreement is anticipated in 2022.

HBM4EU (DG RTD, 2 CAs): Human Biomonitoring for Europe is a Horizon 2020 project, which commenced in 2017 and continue to the end of 2021, in which EEA participates as a partner in a consortium led by the German

Environment Agency. The EEA's budget is approximately EUR 1.4 million. The continuation of this activity under a new agreement commencing at the beginning of 2022 is currently under discussion.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Down-town (centrally located late 19th century building	Kongens Nytorv	4 576	2 624	7 200	2 100 000	Commercial lease agreement valid until one of the parties denounces it			
2	Part of a down-town (centrally located) late 19th century building	Kongens Nytorv	1 436	1 304	2 740	700 000	Commercial lease agreement valid until one of the parties denounces it			
TOTAL			6 012	3 928	9 940	2 800 000				

5.1.2 Current building(s) Other comments

EEA has signed an amendment to the lease contract setting the notice period to 18 months. If further space saving projects are to be done (i.e. turning small offices in to open plan) the notice period is likely to be further increased as a demand from the owner.

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 European Schools

The European school has now fully opened the primary and lower secondary schools with the foreseen three language sections of Danish, English and French. They are experiencing some difficulties in filling the French section but are accommodating this by also including a Danish/French mix in the classes. In this respect it is noteworthy that the Danish and English sections are completely overs-subscribed each year. The school has also opened the first classes in upper secondary in the current academic year as planned. The whole school will be fully opened with an expected pupil population of approximately 1000 students by autumn 2022 and they expect to award the first European Baccalaureates in summer 2023. The contribution agreement for the payment of category 1 students was finalised between DG HR, the school authorities and the Agency in 2020. The attendance of children of Agency staff at the school is also growing considerably.

5.3 Evaluation

Between 2016 and 2018 the European Commission carried out an evaluation of the EEA and European Environment Information and Observation Network (EIONET) including also the Founding Regulation. The evaluation covers the period mid-2012 until end-2016 and takes into account the previous evaluations of the agency (such as that from April 2013). The evaluation follows the Better Regulation Guidelines and thus includes analysis of five main themes: effectiveness, efficiency, relevance, coherence and EU added value.

The overall conclusion of the evaluation is that the EEA and EIONET fulfil the main objectives set by the Founding Regulation. The evaluation assessed that the EEA and EIONET continue to be relevant, and provide EU value added by implementing the multi-annual work programmes in a largely effective, efficient and coherent way.

The evaluation recognised the EIONET is a unique network of environment and climate expertise that provides essential expertise in many areas and contributes e.g. through the European Topic Centres to the efficiency of the EEA's work. There was margin for improvement in the information on EIONET activities, the clarification of the role, better visibility of the added value of the various EIONET components and of the interaction mechanism with the EEA and the Commission.

EEA and EIONET were seen as essential data providers both for the Commission and also for member countries. While data collection are seen as working efficiently in all climate and many environmental fields, they have not yet fully benefitted from new technologies, such as Copernicus. The evaluation identified that these additional requests demonstrate recognition that EEA and its network provide unique value for money.

The evaluation further concluded that EEA has proven to be reliable in providing the necessary information to support EU policy making and had adapted very well to new EU policy developments. The evaluation also noted that these accomplishments had been achieved against a backdrop of decreasing staff resources and increasing demand from the Commission to support new legislation on both environment and climate sides as well as support for Copernicus.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency has diplomatic status	Since 1 st April 2017 Agency staff have the option to be registered either with Danish Protocol or with a full CPR in the Danish system. Senior management have full diplomatic status	Agency statutory staff receive education allowances in line with the Staff regulations
The Agency has exemption from VAT. The Agency pays the VAT on Danish invoices then claims it back from the Danish Protocol.	Externally recruited Agency staff can buy one car free of VAT and registration tax within a period of one year as from the date of taking up duties	No specific privileges. Agency staff have access to day care facilities within Denmark but this can be problematic for those staff who wish to or need to register via the Protocol Dept. rather than via the normal immigration services (thus obtaining a full 'CPR' number).

2.3.3 *European Chemicals Agency – ECHA*

The information on the Environmental directives and International conventions strand of ECHA is presented in paragraph 2.1.10.

2.4 Decentralised agencies of Heading 4 – Migration and Border Management

2.4.1 European Asylum Support Office – EASO

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 439/2010 of the European Parliament and of the Council establishing a European Asylum Support Office	19 May 2010	EASO's mission is to contribute to the implementation of the CEAS by enhancing practical cooperation, stimulating information exchange, ensuring convergence in the assessment of protection needs in the Member States, and providing operational and technical assistance to Member States subject to pressure on their asylum and reception systems. EASO's main tasks are: <ul style="list-style-type: none"> - providing practical and technical support to Member States and the EU Institutions; - providing operational support to Member States that have specific needs and to Member States subject to particular pressure on their asylum and reception systems caused by sudden and extra-ordinary situations of arrivals on their territory; and - providing scientific input for EU policymaking and legislation in all areas having a direct or indirect impact on asylum and migration.

1.2 Seat

Valetta (Malta)

1.3 Budget Line

10 10 01 : European Asylum Support Office (EASO)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget (1)	Draft Budget Request
Administrators (AD)	231	184	79,65%	231	231
Assistants (AST)	135	122	90,37%	135	135
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	366	306	83,61%	366	366
Contract Agents (CA)	123	61	49,59%	181	123
Seconded National Experts (SNE)	11	8	72,73%	11	11
TOTAL STAFF	500	375	75,00%	558	500

- (1) 2021 CA include a temporary increase of 58 CA (45 FG IV, 8 FG III and 5 FG II) to replace 58 interim workers for a maximum of 1 year. There is no impact on the appropriations foreseen for the agency in 2021. See also Amending Letter to DB 2021.

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	142 114 334	171 400 000
Other Revenue	0	0
TOTAL REVENUES	142 114 334	171 400 000

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	44 192 783	44 192 783	47 344 917	47 344 917
Title 2 - Infrastructure and operating expenditure	15 032 961	15 032 961	15 149 434	15 149 434
Title 3 - Operational expenditure	82 888 590	82 888 590	108 905 649	108 905 649
TOTAL EXPENDITURE	142 114 334	142 114 334	171 400 000	171 400 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14										
AD 13		3				3		3		3
AD 12		5		3		5		5		5
AD 11		3		3		3		3		3
AD 10		16		5		16		16		16
AD 9		22		17		22		22		22
AD 8		58		44		58		58		58
AD 7		70		62		70		70		70
AD 6		30		25		35		30		30
AD 5		23		24		18		23		23
AD TOTAL		231		184		231		231		231
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		4		3		4		4		4
AST 5		18		16		18		18		18
AST 4		49		44		49		49		49
AST 3		55		50		55		55		55
AST 2		9		9		9		9		9
AST 1										
AST TOTAL		135		122		135		135		135
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		366		306		366		366		366
GRAND TOTAL		366		306		366		366		366

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate ⁽¹⁾	Draft Budget 2022 estimate
Function Group IV	64	34	109	64
Function Group III	49	15	57	48
Function Group II	10	12	15	11
Function Group I				
TOTAL	123	61	181	123

(1) 2021 CA include a temporary increase of 58 CA (45 FG IV, 8 FG III and 5 FG II) to replace 58 interim workers for a maximum of 1 year. There is no impact on the appropriations foreseen for the agency in 2021. See also amending Letter I to DB 2021.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	11	8	11	11

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	124 073 000	142 114 334	171 400 000	171 400 000	20,61%
- Of which assigned revenues deriving from previous years' surpluses		4 303 620		18 118 795	321,01%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 332 493	p.m.	p.m.	p.m.	
- Of which EEA/EFTA (excl. Switzerland)	2 332 493	p.m.	p.m.	p.m.	
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	4 581 118	p.m.	p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS		p.m.	p.m.	p.m.	
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	130 986 611	142 114 334	171 400 000	171 400 000	20,61%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements			
	Executed Budget	Budget 2021	Draft Budget 2022	VAR

	2020		Agency request	Budget forecast	2022/2021 (%)
Additional EU funding stemming from grant agreements (FFR Art.7)		p.m.	p.m.	p.m.	
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL		p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	31 068 444	44 192 783	49 541 717	47 344 917	7,13%
Salaries & allowances	26 024 910	38 479 850	42 834 417	40 817 617	6,08%
- Of which establishment plan posts	21 700 349	30 527 900	34 867 617	34 867 617	14,22%
- Of which external personnel	4 324 561	7 951 950	7 966 800	5 950 000	-25,18%
Expenditure relating to Staff recruitment	214 030	242 730	190 000	190 000	-21,72%
Employer's pension contributions					
Mission expenses	109 613	170 000	630 000	450 000	164,71%
Socio-medical infrastructure	1 608 597	1 933 803	2 393 300	2 393 300	23,76%
Training	645 974	751 900	1 064 000	1 064 000	41,51%
External Services	2 459 935	2 605 000	2 420 000	2 420 000	-7,10%
Receptions, events and representation	5 385	9 500	10 000	10 000	5,26%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	13 442 932	15 032 961	15 149 434	15 149 434	0,77%
Rental of buildings and associated costs	5 742 237	7 640 000	7 249 780	7 249 780	-5,11%
Information, communication technology and data processing	6 151 845	5 402 401	5 533 004	5 533 004	2,42%
Movable property and associated costs					
Current administrative expenditure	1 548 850	1 990 560	2 366 650	2 366 650	18,89%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	73 533 608	82 888 590	106 708 849	108 905 649	31,39%
Information, Analysis and Knowledge Development	1 316 529	3 375 000	3 888 000	3 888 000	15,20%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Support for MS practical cooperation	4 442 423	8 808 536	12 930 142	12 930 142	46,79%
Operational support	67 556 145	70 111 575	89 340 707	91 537 507	30,56%
Cooperation with civil society and stakeholders	218 511	593 479	400 000	400 000	-32,60%
EUAA monitoring of application of the CEAS			100 000	100 000	100%
Protection of fundamental rights			50 000	50 000	100%
TOTAL	118 044 984	142 114 334	171 400 000	171 400 000	20,61%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	29 881 137	44 192 783	49 541 717	47 344 917	7,13%
Salaries & allowances	26 024 910	38 479 850	42 834 417	40 817 617	6,08%
- Of which establishment plan posts	21 700 349	30 527 900	34 867 617	34 867 617	14,22%
- Of which external personnel	4 324 561	7 951 950	7 966 800	5 950 000	-25,18%
Expenditure relating to Staff recruitment	160 484	242 730	190 000	190 000	-21,72%
Employer's pension contributions					
Mission expenses	86 613	170 000	630 000	450 000	164,71%
Socio-medical infrastructure	1 502 630	1 933 803	2 393 300	2 393 300	23,76%
Training	297 227	751 900	1 064 000	1 064 000	41,51%
External Services	1 803 888	2 605 000	2 420 000	2 420 000	-7,10%
Receptions, events and representation	5 385	9 500	10 000	10 000	5,26%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	7 903 171	15 032 961	15 149 434	15 149 434	0,77%
Rental of buildings and associated costs	4 059 213	7 640 000	7 249 780	7 249 780	-5,11%
Information, communication technology and data processing	2 715 342	5 402 401	5 533 004	5 533 004	2,42%
Movable property and associated costs					
Current administrative expenditure	1 128 616	1 990 560	2 366 650	2 366 650	18,89%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	62 602 122	82 888 590	106 708 849	108 905 649	31,39%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Information, Analysis and Knowledge Development	1 312 174	3 375 000	3 888 000	3 888 000	15,20%
Support for MS practical cooperation	4 289 288	8 808 536	12 930 142	12 930 142	46,79%
Operational support	56 901 845	70 111 575	89 340 707	91 537 507	30,56%
Cooperation with civil society and stakeholders	98 815	593 479	400 000	400 000	-32,60%
EUAA monitoring of application of the CEAS			100 000	100 000	100%
Protection of fundamental rights			50 000	50 000	100%
TOTAL	100 386 430	142 114 334	171 400 000	171 400 000	20,61%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): 18 118 795,6 EUR.

4 Justification of needs

Commission assessment

Human Resources

At a total of 500, the establishment plan and external personnel remain stable in 2022. In 2020, the Commission accepted EASO's request to temporarily increase the number of contract agents (to be deployed as asylum support workers in Cyprus and Italy during 2021) for a duration of one year. This increase of 58 CA posts is thus not reflected in the Agency's budget request for 2022.

Financial Resources

At EUR 171,4 million, the Agency's request is in line with the programmed EU contribution for 2022. The increase, compared to previous programming, reflects the expected adoption of the EUAA Regulation in the amount of EUR 55 million annually over the 2021-2027 period. The Agency has been implementing preparatory actions for the new mandate.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Number of temporary agent staff requested for 2022 is 366 (together with a planned number of contract agents and SNEs of 134 FTEs), which represents no growth, compared to 2021, in terms of temporary agents and a decrease of almost 50% in the contract agents' FTEs. The reduction in contract agent staff in 2022 is part of a specific one-year contingency plan to cover for immediate short-term needs in Cyprus and Italy, to perform operational tasks in support of national authorities.

In terms of efficiency gains, the Agency continues to identify and implement improvements to its systems and procedures to further increase efficiency in its operations and administrative activities. In particular, in 2020, the Agency has fully digitalised its financial and procurement processes, by using a reliable tool shared across other EU agencies. Moreover, a large part of HR and logistic processes has also been digitalised and automatized. In addition, an internal re-organisation within EASO was concluded in order to simplify structures and processes as much as possible.

The Agency is developing and continuously improving the quality management system and the internal control processes, aiming at enhanced organisational performance and further efficiency gains. The Agency's work on internal processes and procedures, identifying improvement opportunities and applying process re-engineering and optimisation, will further enhance the effectiveness and efficiency of the Agency's administrative and operational activities.

4.1.2 Vacancy rate as of end 2020

The vacancy as of end of 2020 for total staff is 27,07%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

N/A

4.1.5 Correction coefficient used

94,7%

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget and staffing for 2022 is aligned with the outcome of negotiations on the Multiannual Financial Framework 2021-2027.

4.2.2 Title 2

The expenditure in Title 2 'Infrastructure and operating expenses' in 2022 is also aligned with the needs of the Agency in terms of coping with a multi-location office structure and essential ICT costs to ensure a smooth management of our complex multi-locational configuration.

4.2.3 Title 3

EASO's growth is mostly on its operations within Member States (+29% growth in 2022 compared to 2021). The key operational expense on Title 3 of the Agency's budget is the operational and technical assistance provided to Member States under particular pressure, delivered in line with the agreed Operating Plans. Specific operational support for the implementation of the Common European Asylum System (CEAS) and operations in the hotspots will be maintained, together with specific support for short-term emergency operations. The main part of the budget is intended for the deployment of asylum support teams in field operations. The resources engaged by the Agency include Member State experts and individual experts nominated by Member States, as well as interpreters, cultural mediators, temporary caseworkers and temporary support staff engaged from service providers by means of framework contracts.

4.3 Ad hoc grants and delegation agreements

The Agency will continue delivering capacity building support actions to key Third Countries' asylum and reception systems, defined and based on a project management approach, in line with the EASO Operations Manual. In particular, the Agency will continue to provide support to Turkey, the Western Balkan (WB) and Middle East and North Africa (MENA) Region. This will be implemented through the Agency's own budget, including EU+ countries' earmarked contributions for project initiatives as well as through the implementation of the Instrument for Pre-Accession Assistance (IPA) Programme, in partnership with Frontex, International Organisation for Migration (IOM) and the United Nations High Commissioner for Refugees (UNHCR).

- IPA II Programme (phase I) "Regional Support to Protection-Sensitive Migration Management in the Western Balkans and Turkey" is a multi-agency initiative that started in January 2016 and ended in June 2019.

On 20 December 2018, EASO signed together with DG NEAR the Grant Contract for the implementation of the IPA project phase II (July 2019- June 2021) which is now under implementation with a total budget of EUR 1 475 000 and 5 CA posts (filled as of 31.12.2019: 1 FG IV, 1 FG III and 1 FG II).

- EASO Regional Pilot capacity building project to enhance North-South and South-South knowledge exchanges and cooperation on asylum and reception policies and processes, to be implemented within the framework of the

Regional Development and Protection Programme (RDPP) for North Africa (NA) Phase V.

Funded by Czech Republic and Denmark voluntary and ear-marked contributions to EASO Regional Pilot Project in support of North Africa/RDPP NA (approx. budget of EUR 1 100 000). Duration: 36 months (implementation period) + 3 months (reporting period), starting in Q4 2020. Staff: 5 CAs.

- Enhanced protection space for asylum seekers and refugees in Egypt in line with CEAS and EU standards grant agreement. Funded by Denmark voluntary and ear-marked contributions to the EASO Cooperation Roadmap with Egypt (approx. budget of EUR 282 000). Duration 24 months as of Q1 2021. Staff: Under assessment.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	EASO premises	Xatt l-Ghassara tal-Gheneb (Winemakers Wharf), Valletta Harbour, Malta	9 208	3 082	12 290	2 069 356	19 June 2011 – 11.10.2027, possible extension mutual consent of 3 years each	Administrative agreement		
2	Operational office in Rome, Italy	Via Novembre, Rome	690		690	239 185	March 2016 – 30 April 2022 (Level 4) and until 31.07.2023 (Level 3)			
3	Operational office in Athens, Greece	Office on Keranis building, Athens	1 000		1 000		December 2020 for 5 years renewable	Administrative agreement		
4	Operational office in Nicosia, Cyprus	4th floor, of Cronos Court, 66 Archbishop Makariou III Avenue, Nicosia, Cyprus	570		570	90 000	12 months non-renewable			
5	Liaison office in Brussels, Belgium	Office on Avenue d'Auderghem, Brussels	42		42	9 857				
TOTAL			11 510	3 082	14 592	2 408 398				

5.1.2 Current building(s) Other comments

Headquarters in Malta

The principal building project in the execution phase relates to the Agency's headquarters extension in Valletta Harbour, Malta. In view of the expanding role of the Agency and increase in staff, the Agency's building strategy was to acquire additional office space within its current location in close collaboration with Transport Malta and the Government of Malta, which are the co-owners of the building.

The Agency's staff has increased significantly and is expected to continue to increase in the coming years in order for EASO to fulfil its tasks. Following approvals by the Council and the European Parliament granted in July 2016, the Agency moved ahead to take over the whole complex located in Xatt l-Ghassara tal-Gheneb (Winemakers Wharf) in Valletta Harbour.

The tenant owners, Transport Malta (TM), have vacated the complex and the lease agreement was signed on 12 October 2018. Several compound related amendments to common spaces will continue in the coming years. Due to COVID-19, the further refurbishment all building blocks A, B and C, have incurred significant delay. It is therefore expected that these will extend well into 2021.

EASO also makes use of operational offices in Italy, Greece and Cyprus.

- **Italy:** The tender procedure for the lease of the Operational Office in Rome has been subject to an observation by the European Parliament, and the Agency was called to regularise the procedure (para 31 of the European Parliament resolution concerning the implementation of the budget of EASO for the financial year 2017). The European Parliament is currently planning to build/lease a “House of Europe” to gather all EU institutions represented in Italy, with a time span of 2-3 year for completion. EASO has officially express interest in the project and is being considered for it.
- **Greece:** On 28 January 2020, the European Asylum Support Office (EASO) and the Greek government signed a Seat Agreement for the Hosting of the EASO Operational Office in Greece. Upon conclusion of the Seat Agreement, office space in a shared facility (referred to as Keranis building) was offered to EASO by the Greek authorities. Offices are being offered to EASO on the fifth floor of the same building, to be occupied as of December 2020. It is envisaged that EASO will have 60 workstations in the allocated office space, with initial grace period for office rental, upon contribution to building and facilities set-up.
- **Cyprus:** As a result of the enhanced operational and technical support EASO provides to the Cypriot authorities, and the proportionally growing special needs, a market prospecting notice and a procurement procedure were published in 2020 in view to establish an EASO Operational Office in Nicosia, Cyprus. EASO is seeking to lease office space of minimum 900 sqm. Any lease contract shall be available for at least 7 years with the possibility of automatic extension thereafter with period of 2 years each. Lease negotiations are on-going as a contractor and an appropriate building have been identified. The new office is expected to be operational in April 2021.

EASO also makes use of other mobile and fixed office space in operational locations (non-administrative appropriations).

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

The Agency needs to attract, engage and retain staff of the highest standards of ability, efficiency and integrity, recruited on the broadest possible geographical basis from among nationals of EU Member States and associate countries. Statutory staff members of EU institutions, including some EU agencies, enjoy free access to European schools under the condition that they have a contract of at least one year. It is crucial that the Agency has a solid ground in guaranteeing appropriate, cost-free education to all staff members’ children. In this respect, the Management Board adopted in August 2016 two Decisions regarding the pre-school and school costs reimbursement enabling the Agency to conclude service contracts with childcare facilities and private/international schools not only in Malta but also in other places of assignment. Pre-school and school attendance is therefore considered as cost-free for children of the Agency’s statutory staff.

At the end of December 2020, the Agency had 23 service level agreements in place with education establishments offering pre-school care and 8 agreements with primary and secondary schools in Malta. In addition, 19 agreements are in place in places of employment outside Malta, namely in Italy, Cyprus, Greece, Belgium and Poland.

5.3 Evaluation

The next five-year evaluation would have been due in 2019. The need for this evaluation has been addressed by the Commission when proposing a revised Regulation for EASO (the EUAA Regulation proposal).

Since 2019, six independent external evaluations have been implemented on EASO operational interventions in Italy (OP2018 and OP2019), Greece (OP2018 and OP2019) and Cyprus (Special Support Plan period 2014-2018; OP 2019). The 2014-2018 evaluations were carried out by individual experts, whereas the 2019 evaluations were performed by the company Bearing Point. The overall aim of these evaluations was to determine the relevance effectiveness and efficiency of the operational activities performed by the Agency. The evaluations provided recommendations to support EASO internal decision-making and to improve operations and future interventions. In general, the recommendations related to lessons learned and good practices in each country of EASO activities.

A new sector has been created through the reorganisation in the Executive Office, to deal with Quality Management and Evaluations. EASO is planning to reinforce its commitment to pursue further improvements in the organisational performance by implementing horizontal evaluations into its quality assurance system. Evaluations will be carried out to assess the effectiveness and the impact across the range of the Agency's activities and deliverables.

5.4 Privileges and immunities

The Seat Agreement between the Government of Malta and the former EASO was signed in 2011. The agreement describes the privileges and immunities that the Agency's statutory staff benefit from. The main advantages are VAT-exempted purchases, the details of which are available in the document published in the following link:

<https://www.easo.europa.eu/sites/default/files/EASO%20SEAT%20AGREEMENT%20EN%20and%20MT.pdf>

The Seat Agreement continues to apply to the EUAA.

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) 2020/493 of the European Parliament and of the Council of 30 March 2020 on the False and Authentic Documents Online (FADO) system and repealing Council Joint Action 98/700/JHA	30/03/2020	This regulation constitutes the necessary new legal basis for governing the FADO system. It sets the responsibilities for the European Border and Coast Guard Agency. In the performance of its task in accordance with Article 79 of Regulation (EU) 2019/1896, the Agency shall ensure the proper and reliable functioning of the FADO system and provide support to the competent Member State authorities in the detection of false documents. The Agency shall be responsible for entering in the FADO system in a timely and efficient manner the information obtained and shall guarantee the uniformity and quality of that information.
Regulation (EU) 2019/1896 of the European Parliament and of the Council of 13 November 2019 on the European Border and Coast Guard and repealing Regulations (EU) No 1052/2013 and (EU) 2016/1624	13/11/2019	This regulation establishes a European Border and Coast Guard to ensure European integrated border management at the external borders with a view to managing those borders efficiently in full compliance with fundamental rights and to increasing the efficiency of the Union return policy. The Regulation is also a founding Regulation for the European Border and Coast Guard Agency.
Regulation (EU) 2018/1240 of the European Parliament and of the Council of 12 September 2018 establishing a European Travel Information and Authorisation System (ETIAS) and amending Regulations (EU) No 1077/2011, (EU) No 515/2014, (EU) 2016/399, (EU) 2016/1624 and (EU) 2017/2226 (OJ L 236, 19.9.2018, p. 1)	12/09/2018	This Regulation establishes a European Travel Information and Authorisation System (ETIAS) within which the ETIAS Central Unit will be part of Frontex. The ETIAS Central Unit should be responsible for verifying, in cases where the automated application process has reported a hit, whether the applicant's personal data correspond to the personal data of the person having triggered that hit. Where a hit is confirmed or where doubts remain, the ETIAS Central Unit should initiate the manual processing of the application. It should also carry out regular audits of the processing of applications and of the implementation of the ETIAS screening rules. It should furthermore be responsible for fulfilling a number of support tasks such as ensuring the necessary notifications are sent and providing information and support. It should be operational 24 hours a day, 7 days a week.
Regulation (EU) No 656/2014 establishing the rules for the surveillance of the external sea borders in the context of operational cooperation coordinated by Frontex	15/05/2014	In accordance with this Regulation: - Frontex must establish its operational plans for sea operations. -new elements for Frontex coordinated joint operations at sea, such as on the protection of fundamental rights, on interception, disembarkation and on SAR have been included.

Reference acts

Regulation (EU) 2019/817 of the European Parliament and of the Council of 20 May 2019 on establishing a framework for interoperability between EU information systems in the field of borders and visa and amending Regulations (EC) No 767/2008, (EU) 2016/399, (EU) 2017/2226, (EU) 2018/1240, (EU) 2018/1726 and (EU) 2018/1861 of the European Parliament and of the Council and Council Decisions 2004/512/EC and 2008/633/JHA

1.2 Seat

Warsaw, Poland.

1.3 Budget Line

11 10 01 : European Border and Coast Guard Agency (Frontex)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	525	407	77,52%	525	650
Assistants (AST)	525	255	48,57%	525	650
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	1050	662	63,05%	1050	1300
Contract Agents (CA)	730	372	50,96%	730	964
Seconded National Experts (SNE)	220	184	83,64%	220	220
TOTAL STAFF	2000	1218	60,90%	2000	2484

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	505 949 620	769 703 142
Other Revenue	37 572 000	54 259 000
TOTAL REVENUES	543 521 620	823 962 142

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)	3 783 866	1 228 683
Additional EU funding stemming from contribution agreements (FFR Art.7)	5 786 000	9 000 000
TOTAL REVENUES	9 569 866	10 228 683

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	122 155 303	122 155 303	184 786 718	184 786 718
Title 2 - Infrastructure and operating expenditure	50 921 848	50 921 848	49 733 586	49 733 586
Title 3 - Operational expenditure	370 444 469	370 444 469	589 441 838	589 441 838
Title 4 - Earmarked Expenditure				
TOTAL EXPENDITURE	543 521 620	543 521 620	823 962 142	823 962 142

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		3		2		6		6
AD 13		11		6		15		19		15
AD 12		24		20		25		36		29
AD 11		35		28		33		44		38
AD 10		21		16		23		27		29
AD 9		34		21		58		86		64
AD 8		174		105		170		212		220
AD 7		122		153		106		147		156
AD 6		64		39		58		46		58
AD 5		38		15		34		26		34
AD TOTAL		525		407		525		650		650
AST 11										
AST 10										
AST 9				4		2		6		6
AST 8		5		9		6		8		10
AST 7		11		13		9		9		11
AST 6		16		5		18		18		18
AST 5		27		12		35		82		92
AST 4		463		208		453		527		511
AST 3		3		4		2				2
AST 2										
AST 1										
AST TOTAL		525		255		525		650		650
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		1050		662		1050		1300		1300
GRAND TOTAL		1050		662		1050		1300		1300

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	334	257	587	821
Function Group III	364	87	100	100
Function Group II	15	9	25	25
Function Group I	17	19	18	18
TOTAL	730	372	730	964

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	220	184	220	220

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	339 240 654	505 949 620	769 703 142	769 703 142	52,13%
- Of which assigned revenues deriving from previous years' surpluses				11 909 435	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	24 968 000	37 572 000	54 259 000	54 259 000	44,41%
- Of which EEA/EFTA (excl. Switzerland)	24 968 000	37 572 000	54 259 000	54 259 000	44,41%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	364 208 654	543 521 620	823 962 142	823 962 142	51,60%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	1 669 025	3 783 866	1 228 683	1 228 683	-67,53%
Additional EU funding stemming from contribution agreements (FFR Art.7)	1 023 000	5 786 000	9 000 000	9 000 000	55,55%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	2 692 025	9 569 866	10 228 683	10 228 683	6,88%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	75 780 297	122 155 303	184 786 718	184 786 718	51,27%
Salaries & allowances	67 833 634	109 136 553	169 492 968	169 492 968	55,30%
- Of which establishment plan posts	67 833 634	109 136 553	107 025 693	107 025 693	-1,93%
- Of which external personnel			62 467 275	62 467 275	100%
Expenditure relating to Staff recruitment	1 729 797	2 000 000	3 000 000	3 000 000	50,00%
Employer's pension contributions					
Mission expenses	204 205	1 045 000	1 567 500	1 567 500	50,00%
Socio-medical infrastructure	1 154 400	350 000	1 000 000	1 000 000	185,71%
Training					
External Services					
Receptions, events and representation		3 850 000	3 946 250	3 946 250	2,50%
Social welfare	1 420 000	520 000	780 000	780 000	50,00%
Other Staff related expenditure	3 438 261	5 253 750	5 000 000	5 000 000	-4,83%
Title 2 - Infrastructure and operating expenditure	32 959 979	50 921 848	49 733 586	49 733 586	-2,33%
Rental of buildings and associated costs	11 497 170	22 267 520	16 024 000	21 424 000	-3,79%
Information, communication technology and data processing	17 398 044	20 800 000	19 760 000	19 760 000	-5,00%
Movable property and associated costs	109 104	452 000	6 000 000	600 000	32,74%
Current administrative expenditure	3 037 764	5 270 528	5 349 586	5 349 586	1,50%
Postage / Telecommunications		501 600	800 000	800 000	59,49%
Meeting expenses	430 811	1 630 200	1 800 000	1 800 000	10,42%
Running costs in connection with operational activities					
Information and publishing	487 086				
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	251 943 000	370 444 469	589 441 838	589 441 838	59,12%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
European Standing Corps	130 327 926	142 378 516	227 525 612	227 525 612	59,80%
Agency equipment (own and MS)	39 613 741	121 890 702	150 326 041	150 326 041	23,33%
Return activities	33 000 000	50 000 000	112 300 000	112 300 000	124,60%
Information and data analytics	15 850 658	12 380 000	18 898 000	18 898 000	52,65%
Fundamental rights activities	84 521	1 279 000	2 000 000	2 000 000	56,37%
Strengthening capacities	21 007 700	4 452 500	10 000 000	10 000 000	124,59%
Special projects	11 882 490	19 301 871	40 000 000	40 000 000	107,23%
Horizontal operational support	175 964	13 563 200	16 094 000	16 094 000	18,66%
Operational reserve (art.115(14))	-	5 198 680	12 298 185	12 298 185	136,56%
Title 4 - Earmarked Expenditure					
External Relations					
Copernicus					
TOTAL	360 683 276	543 521 620	823 962 142	823 962 142	51,60%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	71 855 626	122 155 303	184 786 718	184 786 718	51,27%
Salaries & allowances	67 800 455	109 136 553	169 492 968	169 492 968	55,30%
- Of which establishment plan posts	67 800 455	109 136 553	107 025 693	107 025 693	-1,93%
- Of which external personnel			62 467 275	62 467 275	100%
Expenditure relating to Staff recruitment	1 354 180	2 000 000	3 000 000	3 000 000	50,00%
Employer's pension contributions					
Mission expenses	147 831	1 045 000	1 567 500	1 567 500	50,00%
Socio-medical infrastructure	98 057	350 000	1 000 000	1 000 000	185,71%
Training					
External Services					
Receptions, events and representation		3 850 000	3 946 250	3 946 250	2,50%
Social welfare	4 899	520 000	780 000	780 000	50,00%
Other Staff related expenditure	2 450 204	5 253 750	5 000 000	5 000 000	-4,83%
Title 2 - Infrastructure and operating expenditure	20 572 984	50 921 848	49 733 586	49 733 586	-2,33%
Rental of buildings and associated costs	9 521 953	22 267 520	16 024 000	16 024 000	-28,04%
Information, communication technology and data processing	8 745 763	20 800 000	19 760 000	19 760 000	-5,00%
Movable property and associated costs	58 023	452 000	6 000 000	6 000 000	1227,43%
Current administrative expenditure	1 623 275	5 270 528	5 349 586	5 349 586	1,50%
Postage / Telecommunications		501 600	800 000	800 000	59,49%
Meeting expenses	428 763	1 630 200	1 800 000	1 800 000	10,42%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Running costs in connection with operational activities					
Information and publishing	195 207				
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	109 200 024	370 444 469	589 441 838	589 441 838	59,12%
European Standing Corps	63 240 939	142 378 516	227 525 612	227 525 612	59,80%
Agency equipment (own and MS)	13 602 295	121 890 702	150 326 041	150 326 041	23,33%
Return activities	20 321 117	50 000 000	112 300 000	112 300 000	124,60%
Information and data analytics	4 383 859	12 380 000	18 898 000	18 898 000	52,65%
Fundamental rights activities	34 986	1 279 000	2 000 000	2 000 000	56,37%
Strengthening capacities	4 833 658	4 452 500	10 000 000	10 000 000	124,59%
Special projects	2 698 035	19 301 871	40 000 000	40 000 000	107,23%
Horizontal operational support	85 135	13 563 200	16 094 000	16 094 000	18,66%
Operational reserve (art.115(14))	-	5 198 680	12 298 185	12 298 185	136,56%
Title 4 - Earmarked Expenditure					
External Relations					
Copernicus					
TOTAL	201 628 634	543 521 620	823 962 142	823 962 142	51,60%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 11 909 434,68.

4 Justification of needs

Commission assessment

Human Resources

The human resources are in line with the revised LFS of April 2019. This LFS also includes the posts foreseen in the LFS of the Commission Proposal (COM(2016)731) of the ETIAS Regulation (EU) 2018/1240.

In details the staff requested for 2022 is:

- 650 Temporary Agents in AD function group
- 650 Temporary Agents in AST function group
- 964 Contract Agents*
- 220 Seconded National Experts

The Commission has noted that the reclassification of existing staff proposed by the Agency is excessive. The current proposal of the Commission includes the expected upgrades to the Establishment plan according to the Staff Regulations reclassification rates, calculated by DG HR.

* An agreement was reached between Frontex and eu-LISA on a transfer of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA. This transfer will be temporary (3 years from 2022 to end 2024) and neutral (the total contract agents foreseen in the Legislative Financial Statement remain unchanged). It will allow eu-LISA to timely deliver on the development of ETIAS and Frontex to also deliver on its part in the implementation of the system, as foreseen. These posts will be returned to Frontex in 2025

Financial Resources

The Commission supports the Agency's financial resources request of EUR 769 703 142. This represents EUR 1 027 398 decrease of appropriations compared to the programmed levels for 2022. The difference is transferred from Frontex to eu-LISA and it is necessary to cover the costs of the transferred 21 Contract Agent (FG IV) posts supported by the Commission as described above.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Frontex is requesting for the year 2022 the overall total number of 2 484 staff (as foreseen in the Legislative Financial Statement COM(2018)631 final for the setting up of the European Border and Coast Guard Standing Corps during the period 2020-2027) less the 21 Contract Agent (FG IV) posts which are transferred temporarily to eu-LISA for ETIAS carrier support (3 years from 2022 to end 2024)

In details the staff requested for 2022 is:

- 650 Temporary Agents in AD function group
- 650 Temporary Agents in AST function group
- 964 Contract Agents
- 220 Seconded National Experts.

4.1.2 Vacancy rate as of end 2020

Vacancy rate on 31 December 2020: 37% for temporary staff (338 vacant posts out of 1 050 allocated posts); 49% for contract staff (358 vacant posts out of 730 posts); and 16% for seconded national expert (36 vacant posts out of 220 posts). During the year, Frontex has filled 147 posts as outcome of specific recruitment procedures, plus 425 posts of Standing Corps staff category 1.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for salary lines is calculated based on the average expenditure per staff per month and on the number of agent's man-months worked in the organisation. The baseline for calculation is year n-1 budget expenditure (2020) corrected by annual adjustments foreseen for years n & n+1 (2% annual update of remuneration of EU servants) and adjusted with the correction coefficient for Warsaw (70,9% in 2021) plus the additional cost for foreseen reclassification of the staff.

4.1.5 Correction coefficient used

Current cc 2021 is 70,9 %.

4.1.6 Exchange rate used (if applicable)

Current exchange rate 2021 is 4,466.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The amount will cover staff related expenditure with the assumption of lower occupancy rate of Standing Corps in 2021 than indicated in Annex 1 of EBCG 2.0. 522 Standing Corps Category 1 are envisaged to be employed during 2021.

4.2.2 Title 2

The level of expenditure at similar level as in previous years.

4.2.3 Title 3

The scope of operations is foreseen to increase significantly, especially in return, which in 2020-2021 have been severely impacted by COVID-19 related restrictions.

4.3 Ad hoc grants and delegation agreements

- Grant agreement “Strengthening of Africa – Frontex Intelligence Community (AFIC) in the fight against organised crime and the smuggling of migrants” signed with EC (DG DEVCO) on the 10 August 2017; budget of up to EUR 4,0m for three years starting in September 2017, further extended till end of February 2022.
- Grant agreement “IPA II (Phase 2) (Regional Support to Protection-Sensitive Migration Management in the Western Balkans and Turkey – Phase II) total budget EUR 3,4m, implementation period of 24 months from 1 July 2019 to 30 June 2021.
- Grant agreement “EU4BS” (enhance border security in the Southern Neighbourhood, by fostering bilateral and regional co-operation) signed on the 1 December 2018; total budget of EUR 4 m, implementation period of 36 months from 1 December 2018 until 31 November 2021.
- Delegation Agreement on “Copernicus 2015-2020” with the European Commission, represented by DG GROW (currently DG DEFIS), signed on 10 November 2015, entrusting Frontex the service component implementation tasks, in line with Regulation (EU) 377/2014 establishing the Copernicus Programme. The indicative budget amounts to EUR 47,6m, implemented until 31 December 2020. The agreement has been further extended for year 2021 with total budget increased up to EUR 53,6m.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Warsaw Spire, office space (HQ)	Warsaw	20 473	696	21 169	7 512 129	Until 31/12/2024	Lease agreement	N/A	
2	Wronia 31, office space (HQ)	Warsaw	4 128	135	4 263	1 370 706	Until 31/12/2024	Lease agreement	N/A	
3	CCE, office space (FLO)	Brussels	151		151	44 506	Until 31/12/2020	Lease agreement	n/A	
4	HCG tower, office space (EURTF)	Piraeus	460	275	735		N/A	N/A Memorandum of Understanding, Seat Agreement	Greece	
5	Part of building, office space (EURTF)	Catania	550	50	600		Until 21/11/2021	Free Loan Agreement	Municipality of Catania	
6	Delegation of EU to Turkey, office space (FLO)	Ankara	17	10	27	16 224	N/A	Administrative Arrangement	N/A	
7	Delegation of the EU to the Republic of Niger, office Space (FLO)	Niamey	60	12	72	31 584	N/A	Administrative Arrangement	N/A	
8	Delegation of the European Union to the Republic of Serbia, office space (FLO)	Belgrade	26	4	30	9 780	N/A	Administrative Arrangement	N/A	
9	Office space (FLO)	Budapest	12		12		N/A	Memorandum of Understanding	Hungary	
10	Office space (FLO)	Stockholm	9		9		N/A	Memorandum of Understanding	Sweden	

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
11	Office space (FLO)	Bratislava	17		17		N/A	Memorandum of Understanding	Slovakia	
12	Office Space (FLO)	Potsdam	22		22		N/A	Cooperation agreement	Germany	
13	Office Space (FLO)	Paris	15		15		N/A	Administrative arrangement	France	
14	Office Space (FLO)	Rome	11		11		N/A	Memorandum of understanding	Italy	
15	Office Space (FLO)	Riga	13		13		N/A	Memorandum of understanding	Latvia	
16	Delegation of the European Union to Senegal, office space (FLO)	Dakar	24	19	43	14 100		Administrative Arrangement		
17	Delegation of the European Union to Albania, office space (FLO)	Tirana	13	10	23	12 126		Administrative Arrangement		
18	Office space (FLO)	Sofia	16		16	3 650		Memorandum of Understanding Financial arrangement	Bulgaria	
19	Office space (FLO)	Madrid	20		20			Memorandum of Understanding	Spain	
TOTAL			26 037	1 211	27 248	9 014 805				

5.1.2 Current building(s) Other comments

In January 2021 Frontex leases for its headquarters a total of 25 432,31 square meters in two buildings: building B of the Warsaw Spire complex and the neighbouring building Wronia 31. Both are leased under long-term contracts and located in Warsaw, Poland. Additional premises are made available to Brussels Liaison Office, EURTF Catania and Frontex liaisons officers (FLOs) in several EU Member States and non-EU countries. Some of the premises have been provided free of charge by the hosting authorities.

Further enhancement of the Agency's mandate and scope of operations and necessary staff growth which will have an impact on the office space, resulting in increased expenditure for building-related appropriations.

5.1.3 Building projects in the planning phase

Frontex together with CEPOL, EASO and eu-LISA works on establishing a common office space in Brussels which will host the liaison offices of the four JHA agencies. The purpose is to benefit from shared facilities and services as well as to foster the networking effect by grouping a few liaison offices in the same premises.

5.1.4 Building projects submitted to the European Parliament and the Council

ETIAS CU premises / Alternative Office Facility (AOF)

Based on the Frontex Corporate Real Estate Strategy 2019-2024 with Addendum Frontex will introduce a building file to the Budgetary Authority at the latest beginning 2021. As of 2022, the new ETIAS CU premises will be in use. Between 2022 and 2024 the same premises will serve as Alternative Office Facility in case of Business Continuity mode. Following the CU's move into the Permanent Premises in 2024, the vacated building is to remain the Agency's AOF as well as serve as a Training Centre and debriefing space for standing corps Category 1 staff.

New Headquarters 2024

Following the transfer to the Agency of the plot of land on Raclawicka Street 132b, a Governance Framework and the Frontex Corporate Real Estate Strategy were established and validated by the Project Board. The preliminary building parameters (footprint, height, surface) were ascertained and validated by the Project Board, as were the performance and functional requirements. Moreover, the most suitable contractual approach (Design & Build) and tendering modality (Competitive Dialogue) were determined and validated. Following appropriate administrative proceedings, TF BUILD obtained in Q3 2020 approval from the PL authorities for the Public Purpose Investment zoning conditions.

Based on all of the above aspects, and after informing the Budgetary Authority, a tender will be launched in Q1 2021 to procure the design and construction of the new HQ, with the tendering and negotiations to be completed by Q1 2022. Once the Building File has been approved by the Budgetary Authority, the construction phase is set to last throughout 2022, 2023 and 2024. During the same period an external support contract will be implemented to survey the quality of the construction of the new HQ. It is foreseen that the construction cost will be financed through a loan from EIB (validated by the Project Board).

5.2 European Schools

The Headquarter Agreement from 1st November 2017 between Frontex and Republic of Poland foresees that the Polish government shall ensure the establishment of a European school or accredited European school in Warsaw with a view to provide multilingual European oriented schooling for all children of Frontex staff. The accreditation process launched by the Ministry of Education is in its final stage and is planned to be completed in late spring / early summer 2021.

As of September 2021 Frontex will reimburse the cost of schooling of Frontex staff children in the accredited European School Warsaw. There are around 30 Frontex pupils enrolled for the school year 2020/2021. According to provisional declarations from staff for the school year 2021/2022 there may be up to 300-400 pupils.

5.3 Evaluation

Since Frontex was set up, two external evaluations have been undertaken, one in 2009 and one in 2015. Both have centred on stakeholder and staff views of the efficiency and effectiveness of the agency rather than on quantitative data on performance. Overall, both evaluations concluded that Frontex had successfully achieved its remit. The 2015 evaluation, covering the period from 2008 to 2014, concluded that overall Frontex was operating effectively and highlighted its coordinating role in Joint Operations and Returns. The findings of the last evaluation report were made public: http://frontex.europa.eu/assets/Publications/General/Final_Report_on_External_Evaluation_of_Frontex.pdf

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	<p>Irrespective of nationality enjoy privileges and immunities as defined in Protocol 7</p> <p>Expatriate privileges (Polish citizens and Polish permanent residents are excluded):</p> <p>All staff members:</p> <p>Value-added tax (VAT) reimbursement when purchasing a motor vehicle (maximum 1 vehicle every 3 years)</p> <p>New staff members:</p> <p>Tax and duty free transfer of resettlement property to Poland</p> <p>Installation incentive – VAT reimbursement (up to a threshold) for purchasing furniture and household articles in Poland (valid 12 months during the first 2 years of employment)</p> <p>Specified group of staff (annex II HQ agreement):</p> <p>Diplomatic status in Poland – full scope of immunities and privileges is applicable (Polish citizens and Polish permanent residents are excluded) from the diplomatic status following international custom)</p>	

HQ Agreement privileges are equally applicable to all expatriate staff members - to statutory staff (TAs, CAs) and SNEs - regardless of their grading or type of performed function. Without prejudice to the provisions of this Agreement, Protocol No 7, and other relevant European Union law, the Agency and its staff shall respect the law of the Republic of Poland.

2.4.3 European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice – EU-LISA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) 2018/1726 of the European Parliament and of the Council on the European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA), and amending Regulation (EC) No 1987/2006 and Council Decision 2007/533/JHA and repealing Regulation (EU) No 1077/2011	14 November 2018 (adoption) 11 December 2018 (entry into force)	Operational management of the SIS II, VIS and Eurodac large-scale IT systems; supervision, security and coordination of relations between the Member States and the provider; monitoring, reporting and statistics related to these systems; training on the technical use of these systems and training of experts on the technical aspects of SIS II in the framework of Schengen evaluation; preparation, development or operational management of new large-scale information systems (EES, ETIAS, DubliNet, ECRIS-TCN and the ECRIS reference implementation) and training on the technical use of these systems; monitoring of research; developing the necessary actions to enable interoperability between large-scale IT systems; carrying out of pilot projects, budget implementation tasks for proof of concept, testing activities and any other support to Member States and the Commission.

1.2 Seat

The seat of the Agency is in Tallinn, Estonia. The development and operational management of IT systems are carried out in Strasbourg, France (technical site). A backup site is installed in Sankt Johann im Pongau, Austria.

1.3 Budget Line

11 10 02 : European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom, Security and Justice ('eu-LISA')

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	149	134	89,93%	160	162
Assistants (AST)	53	47	88,68%	53	53
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	202	181	89,60%	213	215
Contract Agents (CA)	111	83	74,77%	132	169
Seconded National Experts (SNE)	11	10	90,91%	11	11
TOTAL STAFF	324	274	84,57%	356	395

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	221 653 000	309 608 265
Other Revenue	0	0
TOTAL REVENUES	221 653 000	309 608 265

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	40 844 000	40 844 000	46 178 219	46 178 219
Title 2 - Infrastructure and operating expenditure	15 714 000	15 714 000	24 808 501	24 808 501
Title 3 - Operational expenditure	173 420 000	165 095 000	261 753 678	238 621 545
TOTAL EXPENDITURE	229 978 000	221 653 000	332 740 398	309 608 265

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		3		2		3		3		3
AD 12		4		3		4		4		4
AD 11		5		2		7		9		9
AD 10		8		11		10		11		11
AD 9		16		13		16		19		19
AD 8		17		18		26		32		32
AD 7		32		32		23		17		15
AD 6		15		11		26		36		36
AD 5		47		40		43		47		31
AD TOTAL		149		134		160		180		162
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8		2		2		3		3		3
AST 7		4		4		4		4		4
AST 6		9		9		12		12		12
AST 5		12		11		12		12		12
AST 4		12		9		10		10		10
AST 3		13		11		11		11		11
AST 2										
AST 1										
AST TOTAL		53		47		53		53		53
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		202		181		213		233		215
GRAND TOTAL		202		181		213		233		215

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	71	56	80	110
Function Group III	38	27	50	58
Function Group II	2		2	1
Function Group I				
TOTAL	111	83	132	169

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	11	10	11	11

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	233 384 343	221 653 000	309 608 265	309 608 265	39,68%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	920 267	1 657 575	3 610 513	3 610 513	117,82%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	4 009 151				
<i>- Of which EEA/EFTA (excl. Switzerland)</i>	4 009 151				
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	20 235				
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	237 413 729	221 653 000	309 608 265	309 608 265	39,68%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	27 322 963	40 844 000	46 178 219	46 178 219	13,06%
Salaries & allowances	25 252 091	35 344 000	41 626 399	41 626 399	17,78%
- Of which establishment plan posts	19 755 251	22 329 567	26 982 000	26 982 000	20,84%
- Of which external personnel	5 496 840	13 014 433	14 644 399	14 644 399	12,52%
Expenditure relating to Staff recruitment	81 062	926 000	1 059 446	1 059 446	14,41%
Employer's pension contributions					
Mission expenses	144 873	600 000	660 000	660 000	10,00%
Socio-medical infrastructure	1 167 798	2 541 000	2 232 374	2 232 374	-12,15%
Training	677 139	1 433 000	600 000	600 000	-58,13%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	17 216 629	15 714 000	24 808 501	24 808 501	57,88%
Rental of buildings and associated costs	2 221 664	2 070 000	3 920 000	3 920 000	89,37%
Information, communication technology and data processing	3 130 504	2 900 000	4 100 000	4 100 000	41,38%
Movable property and associated costs	507 456	289 000	289 000	289 000	0,00%
Current administrative expenditure	680 513	1 033 100	1 857 450	1 857 450	79,79%
Postage / Telecommunications	15 000	36 000	40 000	40 000	11,11%
Meeting expenses	9 294	520 400	709 000	709 000	36,24%
Running costs in connection with operational activities					
Information and publishing	724 151	1 735 000	548 000	548 000	-68,41%
Studies					
Other infrastructure and operating expenditure	9 928 047	7 130 500	13 345 051	13 345 051	87,15%
Title 3 - Operational expenditure	140 757 361	173 420 000	261 753 678	261 753 678	50,94%
Infrastructure	59 143 372	74 962 000	103 160 000	103 160 000	37,62%
Applications	74 214 886	85 611 000	148 925 806	148 925 806	73,96%
Operational support activities	7 399 103	12 847 000	9 667 872	9 667 872	-24,75%
Support to MS and EC					
TOTAL	185 296 953	229 978 000	332 740 398	332 740 398	44,68%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	26 781 876	40 844 000	46 178 219	46 178 219	13,06%
Salaries & allowances	25 252 091	35 344 000	41 626 399	41 626 399	17,78%
- Of which establishment plan posts	19 755 251	22 329 567	26 982 000	26 982 000	20,84%
- Of which external personnel	5 496 840	13 014 433	14 644 399	14 644 399	12,52%
Expenditure relating to Staff recruitment	68 336	926 000	1 059 446	1 059 446	14,41%
Employer's pension contributions					
Mission expenses	144 873	600 000	660 000	660 000	10,00%
Socio-medical infrastructure	1 086 114	2 541 000	2 232 374	2 232 374	-12,15%
Training	230 462	1 433 000	600 000	600 000	-58,13%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	7 000 063	15 714 000	24 808 501	24 808 501	57,88%
Rental of buildings and associated costs	1 200 015	2 070 000	3 920 000	3 920 000	89,37%
Information, communication technology and data processing	1 461 587	2 900 000	4 100 000	4 100 000	41,38%
Movable property and associated costs	42 449	289 000	289 000	289 000	0,00%
Current administrative expenditure	478 978	1 033 100	1 857 450	1 857 450	79,79%
Postage / Telecommunications	9 562	36 000	40 000	40 000	11,11%
Meeting expenses	9 294	520 400	709 000	709 000	36,24%
Running costs in connection with operational activities					
Information and publishing	406 795	1 735 000	548 000	548 000	-68,41%
Studies					
Other infrastructure and operating expenditure	3 391 383	7 130 500	13 345 051	13 345 051	87,15%
Title 3 - Operational expenditure	190 306 026	165 095 000	238 621 545	238 621 545	44,54%
Infrastructure	38 535 606	68 170 000	90 631 950	90 631 950	32,95%
Applications	145 787 739	85 810 000	138 321 723	138 321 723	61,20%
Operational support activities	5 982 681	11 115 000	9 667 872	9 667 872	-13,02%
Support to MS and EC					
TOTAL	224 087 965	221 653 000	309 608 265	309 608 265	39,68%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 3 610 513,48

4 Justification of needs

Commission assessment

Human Resources

The Agency has requested 64 additional posts, which include 17,5 Temporary Agents, 43,5 Contract Agents and 3 Seconded National Experts. The Commission does not support the request for 43 additional posts beyond the programmed numbers for 2022, which include 17,5 Temporary Agents, 22,5 Contract Agents and 3 Seconded National Experts.

The Commission supports the Agency's human resources request for 21 additional Contract Agents. These posts are required for the crucial development and use of IT tools enabling eu-LISA to register carriers, test and certify their

connection to the EES (Entry Exit System)/ ETIAS (European Travel Information and Authorisation System) and to deliver the necessary technical helpdesk support to them when boarding passengers bound for the EU in accordance with the requirements stipulated in relevant regulations/ acts of Union law. These resources were not foreseen in the Legislative Financial Statements to the EES and ETIAS Regulations, but reflect a deeper understanding now gained about how the carrier aspects in the Legislative Financial Statements need to be implemented. An agreement was reached between Frontex and eu-LISA on a transfer of 21 Contract Agents (FG IV) for ETIAS carrier support from Frontex to eu-LISA. This transfer will be temporary (3 years from 2022 to end 2024) and neutral as the total number of contract agents foreseen in the Legislative Financial Statement remains unchanged. This transfer will allow eu-LISA to timely deliver on the development of ETIAS. These posts will be returned to Frontex in 2025. The transfer will not cover all the needs identified by the Agency, and eu-LISA therefore may need to externalise a number of functions.

Financial Resources

The Commission supports the Agency's financial resources request of EUR 332 740 398, this represents EUR 1 027 398 additional appropriations compared to the programmed levels for 2022, which will be transferred from the Frontex budget. These appropriations are necessary to cover the costs of the transferred 21 Contract Agent posts supported by the Commission as described above.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The staff planning for 2022 includes additional staff for the tasks deriving from the new adopted regulations, on the large-scale IT systems and interoperability between those systems, which have an impact on the work of the Agency and envisage further increase of the staff number in the coming years (e.g. on ETIAS and Interoperability between the European systems), and from the Commission proposals for the Regulations awaiting their adoption (e.g. on the SIS, VIS and Eurodac recasts and e-CODEX). These resources cover mainly the direct operational posts and the necessary resources for contract management.

eu-LISA developed in cooperation with Frontex and DG HOME a business case for the EES and ETIAS carriers support (CAC) that will be implemented in 2022. Under the project, eu-LISA will make available a registration form on a public website allowing carriers to register to EES/ETIAS carrier gateway, and a ticketing tool to the ETIAS Central Unit, which as well provides access to the register of carriers. Furthermore, eu-LISA will establish and deliver multiple supporting elements, like the authentication scheme, testing environments for carriers, support for carriers testing, etc. eu-LISA will also be responsible for the security of all these services and for providing technical support to carriers through ETIAS Central Unit. The delivery of the above components, and their 24/7 operation will require additional human resources as indicated in the staff planning. It has been agreed that Frontex will make temporarily available to eu-LISA 21 CA FG IV posts for the period 1.1.2022 – 31.12.2024 for this purpose, with a corresponding decrease in Frontex.

In addition, the Agency identified a number of posts, which cannot be outsourced and which have not been included in the Commission proposals for Regulations. Therefore, eu-LISA requests additional staff (43 posts in total) necessary to fulfil the tasks of the Agency in the years 2022-2024. Profiles and justification for the corresponding additional staff are described in the draft SPD 2022-2024.

In 2022, eu-LISA plans to increase the number of the SNEs from 11 to 14 posts in order to assure cooperation with the Members States on the tasks of the shared interest, regarding policy implementation, security and project management.

An overview of the staff plan is summarized in a table:

2022 staff planning elements	TA	CA	SNE	Total
Baseline HR establishment plan (COM (2013) 519 final) and LFS for new eu-LISA Regulation (COM(2017) 352 final)	113	30	9	152
New eu-LISA Regulation– adopted	23	27	2	52
The EES Regulation – adopted	32			32
Regulation on ETIAS – adopted	7	35		42
Regulation on SIS II Borders/LE and LFS SIS II returns - adopted		4		4
LFS for Interoperability of European Systems - adopted	32	36		68
LFS ECRIS - adopted		5		5

LFS for SIS Police recast		2		2
LFS e-CODEX - recruitment subject to adoption of the respective legal instrument		2		2
LFS EURODAC – recruitment subject to adoption of the respective legal instrument	2			2
LFS VIS - recruitment subject to adoption of the respective legal instrument	6	7		13
SPD 2022-2024 – additional staff necessary to perform the activities planned by the Agency	17,5	22,5	3	43
EES-ETIAS Carriers support (CAC) proposal – subject to adoption		21		21
Total	232,5 rounded to 233	191,5	14	438,5

4.1.2 Vacancy rate as of end 2020

The vacancy rate, calculated at the end of 2020, was 10.2% including the issued job offers (6,93% for TA, 16,22% for CA and 9,09% for SNE) and 15,4% for the staff in service (10,4% for TA, 25,23% for CA and 9,09% for SNE).

The main reason for such a level of vacancy rate was the impact of the COVID pandemic. Another main contributing factor was that the Human Resources Unit (HRU) was not sufficiently staffed to recruit a hundred additional staff. It is planned that in 2021 and 2022, additional short-term posts can be allocated to the HRU and that use of the established reserve will contribute positively to the recruitment process. Staff turnover in 2020 slightly increased in comparison to 2019 and reached 3,7%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable to eu-LISA.

4.1.4 Salary assumption for calculating salary line (% applied)

The calculation of the salary costs for the establishment plan posts are based on the PMO's data on the temporary and contract staff deployed, basic salary for grades and steps in 2020, correction coefficients in 2020, actual allowances paid in 2020 (family, expatriation, school, annual leave etc.) and expected reclassifications. The salary costs for external personnel are based on PMO's standard salary calculations and the data on entitlements for allowances in 2020.

4.1.5 Correction coefficient used

As of 1 July 2020, eu-LISA applied the following correction coefficient on the salaries 82,3% for posts in Tallinn, 120,5% for posts in Strasbourg and 100% for posts in Brussels.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Total expenditures in Title 1 amount to EUR 46,178 million out of which EUR 5,534 are subject to the adoption of new Regulations giving new tasks to the Agency: EUR 1,376 million are subject to the adoptions of the Regulation of the European Parliament and of the Council amending Regulation (EC) No 767/2008, Regulation (EC) No 810/2009, Regulation (EU) 2017/2226 Regulation (EU) 2016/399, Regulation XX/2018[Interoperability Regulation] and Decision 2004/512/EC and repealing Council Decision 2008/633/JHA. EUR 0.053 million are subject to the adoption of the proposal for a Regulation of the European Parliament and of the Council on a computerised system for communication in cross-border civil and criminal proceedings (e-CODEX system) and amending Regulation (EU) 2018/1726. EUR 0,160 million are subject to the adoption of the proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU) 2018/1862 on the establishment operation and use of the Schengen Information System (SIS) in the field of police cooperation and judicial cooperation in criminal matters as regards the entry of alerts by Europol. EUR 1,027 million stem from the implementation of Articles 45 and 46 of the ETIAS Regulation and Article 13 of the EES Regulation, and the EES and ETIAS implementing acts.

Staff costs in the approved establishment plan in Title 1 have been calculated taking into account actual costs and as regards approved external personnel the calculations are based on standard salary calculations provided by PMO and historical data concerning entitlements for allowances from 2020.

During 2022 additional posts are planned as per the continuation of the tasks assigned to eu-LISA. Therefore in addition to the normal turnover expenditures a budget for the new recruitments are foreseen amounting to a total of EUR 1,059 million which includes: pre-recruitment travel expenses pre-recruitment medical check-up assessment centre services translation and publication of vacancy notices online testing and interviewing services and/or travel reimbursement for interviews as applicable.

PAYMENT APPROPRIATIONS

The Agency aligns its requests of payment appropriations for Title 1 (non-differentiated appropriations) to the total of the commitment appropriations in anticipation of the revision of the estimates by the European Commission amounting to EUR 46,178 million. As for the Commitment appropriations, part of these appropriations are dependent on the adoption of new Regulations.

4.2.2 Title 2

Total expenditures in Title 2 amount to EUR 24,808 million.

Approximately EUR 3,920 million will be used for running costs of the Agency's premises; EUR 3,850 million for premises in Tallinn (Headquarters) and Strasbourg (operational site) and EUR 0,070 million for in Brussels (current Liaison Office).

EUR 4,1 million is requested mostly to cover costs of further development and maintenance of corporate IT infrastructure.

EUR 0,289 million is requested for Movable property and associated costs including furniture and other technical equipment this amount includes EUR 0,019 million for the purchasing of books reading materials ISO standards and relevant subscription to periodicals/academic journals as part of maintenance of the eu-LISA library at all Agency sites.

EUR 1,857 million is requested to cover the eu-LISA Administrative Expenditures.

In relation to the other infrastructure and operating expenditures (EUR 9,085), the agency distinguishes between two subdivisions in its budget structure: external support services and corporate security needs (EUR 4,260 million)

PAYMENT APPROPRIATIONS

The Agency aligns its requests of payment appropriations for Title 2 (non-differentiated appropriations) to the total of the commitment appropriations in anticipation of the revision of the estimates by the European Commission amounting to EUR 24,808 million.

There are no conditional payment appropriations under Title 2.

4.2.3 Title 3

COMMITMENT APPROPRIATIONS

In 2022 3 eu-LISA aims to ensure 24x7 availability and stability of the systems under its management. At the same time the Agency will continue to increase the value added by the systems and services provided to the Member States through their evolutions. EUR 30,428 million are conditional upon the adoption of new Regulations:

- EUR 28,928 million are subject to the adoptions of the Regulation of the European Parliament and of the Council amending Regulation (EC) No 767/2008, Regulation (EC) No 810/2009, Regulation (EU) 2017/2226 Regulation (EU) 2016/399, Regulation XX/2018 [Interoperability Regulation] and Decision 2004/512/EC and repealing Council Decision 2008/633/JHA.
- EUR 1,5 million are subject to the adoption of the proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU) 2018/1862 on the establishment operation and use of the Schengen Information System (SIS) in the field of police cooperation and judicial cooperation in criminal matters as regards the entry of alerts by Europol.

PAYMENT APPROPRIATIONS

Title 3 comprises differentiated appropriations; the Agency takes into account the payment appropriations indicated in the proposals for the newly assigned tasks subject to the adoption of the respective legal basis.

- EUR 28,928 million are subject to the adoptions of the Regulation of the European Parliament and of the Council amending Regulation (EC) No 767/2008 Regulation (EC) No 810/2009 Regulation (EU) 2017/2226 Regulation (EU) 2016/399 Regulation XX/2018 [Interoperability Regulation] and Decision 2004/512/EC and repealing Council Decision 2008/633/JHA
- EUR 1,5 million are subject to the adoption of the proposal for a regulation of the European Parliament and of the Council amending Regulation (EU) 2018/1862 on the establishment operation and use of the Schengen Information System (SIS) in the field of police cooperation and judicial cooperation in criminal matters as regards the entry of alerts by Europol.

4.3 Ad hoc grants and delegation agreements

Based on information currently available to the Agency no grants or delegation agreements are foreseen in 2022.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Headquarters	eu-LISA, Vesilennuki 5, Tallinn, Estonia	1 161,4	3 235,9	4 397,3		N/A	N/A	EE built and transferred new HQ for Agency in a non-exchange transaction	8 132 095
2	Operational Site	eu-LISA,18 Rue de la Faisanderie 67100 Strasbourg, France	2 155	5 687	7 842		N/A	N/A	FR granted entire premises for 1 EUR in 29 May 2013	18 000 713
3	Back-up Site	Austrian Central Federal Back-Up Center in Sankt Johann im Pongau, Austria	223	403	626	591 328	indefinite	Indefinite Operational Agreement with Republic of Austria		
4	eu-LISA liaison office	20 avenue d'Auderghem, 1040 Brussels.	98		98	20 433		Rent Agreement	N/A	
5	eu-LISA temporary office space	PLATON building 4 Rue Jean Sapidus 67400 Illkirch-Grafenstaden Strasbourg France	2 074,4		2 074,4	541 107	6+3 years	Rent	N/A	
TOTAL			5 711,8	9 325,9	15 037,7	1 152 868				26 132 808

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

A. Second extension of the operational site in Strasbourg

The Agency plays a crucial role in the sustainable functioning of the Schengen Area and its contribution to successful delivery of political and operational priorities in Justice and Home Affairs domain will continue to grow in the coming

years. The second extension of the operational (technical) site of the Agency in Strasbourg is directly linked to this step change and represents an indispensable and crucial project that will convey various benefits.

Following the preliminary information to the Budgetary Authority regarding eu-LISA's future building project which was sent on 2 April 2019 and the intention of the French state to support the Agency with the particular project eu-LISA worked on the conclusion of an agreement with the French Authorities and the completion of the procurement process for the acquisition of programmer's services.

In the framework of the engagement of the Agency with the French Ministry of Interior a pre-feasibility study was produced by the French Ministry experts.

The conclusion of the study is a significantly revised project plan which also entails a revised distribution of the necessary financial resources throughout the project's lifespan. In particular the foreseen acceptance of the new building has been moved from April 2023 to April 2026. The Agency submitted in November 2020 a comprehensive update letter to the Budgetary Authority in order to inform about the latest updates regarding the project in question and the pursued "temporary solutions" to address in the time between the needs for office space and for Data Centre capacity.

B. Temporary solutions

To mitigate in a cost-efficient manner the capacity issues that the second extension project aims to solve, the Agency has pursued the lease of temporary space for office use and the setup of an on-site temporary infrastructure for the extension of the primary Data Centre (DC) in Strasbourg. Both projects fall below the threshold of significant financial implications referred in Article 266 of the EU Financial Regulation. For the sake of transparency, the Agency submitted in November 2020 a comprehensive update letter to the Budgetary Authority, as requested by the Commission.

B.1. Temporary Office Building

The negotiated procedure for the lease of temporary office space has been concluded and the contract signature occurred on 30 June 2020. After the lease contract signature the property owner has requested five (5) months to implement the building layout requested by the Agency.

It is foreseen that the Agency will be able to relocate the first workers into the interim temporary building starting from July 2021.

B.2. Temporary Data Centre

The envisaged solution is a Data Centre extension achieved through a modular solution equipped with all required infrastructure for its autonomous and redundant operation. The operation of the temporary Data Centre is to be understood as a temporary implementation with its duration depending on the one hand on the date when the second extension project will be finalised and on the other hand enabling an easier and more resilient operation of the current large-scale IT systems during all those planned and required extensions.

The technical specifications have been finalised and DG DIGIT (owner of the Framework Contract through which the solution will be procured) has provided recommendations for all reopening documents which were taken into account and were addressed accordingly by the Agency.

Taking into account the complexity of the dossier and possible contingencies and downside risks the contract signature took place in September 2020. Following this the temporary Data Centre should be delivered installed and be operational in Q2 2021.

5.2 European Schools

In 2014 eu-LISA signed with the Commission the Mandate and Service Agreement for the financial contribution of the Agency to the European Schools in Tallinn and Strasbourg. The Agency's contribution is expected to increase for the school years 2021/2022 and 2022/2023 depending on the number of children of the additional staff joining in the years 2021 and 2022.

Since 2014 eu-LISA pays a nursery allowance to its staff for covering the expenses for a daily care of small children younger than 4 years for whom the European Schools cannot provide its care.

5.3 Evaluation

The last evaluation report from April 2016 identified a possible need for a revision or an extension of the tasks entrusted to eu-LISA in the establishing regulation. The evaluation underlined the need for additional staffing in case the Agency will be entrusted with additional tasks. Analysis of the Agency's procurement and outsourcing activities found that the balance between internal and external engaged resources leans heavily towards outside resources. Link to online documents made public: http://ec.europa.eu/smart_regulation/roadmaps/docs/2016_home_003_evaluation_eu-lisa_en.pdf. The next evaluation will follow four years later.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>ESTONIA: eu-LISA's statutory staff in Tallinn provided they are not permanent residents of Estonia before taking up their appointment in the Agency will receive reimbursement from the Estonian government of a sum equal to the VAT paid for the purchase of goods in Estonia (limited to a specific list of goods) during a period of 1 year from taking up duties at the Agency in Tallinn to facilitate their establishment in Estonia.</p> <p>eu-LISA's statutory staff in Tallinn and family members forming part of their household are exempt from all compulsory contributions to the Estonian social security scheme insofar as they are covered by the scheme of social security benefits of officials and other servants of the Union</p>	<p>Headquarters Agreement between eu-LISA and the Republic of Estonia Article 12</p>	<p>European School functioning as of September 2013</p>
<p>FRANCE: eu-LISA's statutory staff in Strasbourg and family members forming part of their household are exempt from all compulsory contributions to the French social security scheme insofar as they are covered by the scheme of social security benefits of officials and other servants of the Union. To the extent that they are covered by the social security scheme of their state of origin seconded experts are also exempt from all compulsory contributions to the French social security scheme</p>	<p>Agreement on the technical site of the European Union Agency for the Operational Management of Large-Scale IT Systems in the Area of Freedom Security and Justice between eu-LISA and the Government of France Article 13</p>	<p>European School in Strasbourg</p>
<p>AUSTRIA: in accordance with EU protocol of privileges and immunities</p>	<p>n/a (no permanent staff)</p>	<p>n/a (no permanent staff)</p>

2.5 Decentralised agencies of Heading 5 –Security and Defence

2.5.1 European Union Agency for Law Enforcement Cooperation – EUROPOL

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Regulation (EU) 2016/794 of the European Parliament and of the Council on the European Union Agency for Law Enforcement Cooperation (Europol) and replacing and repealing Council Decisions 2009/371/JHA, 2009/934/JHA, 2009/935/JHA, 2009/936/JHA and 2009/968/JHA</p>	<p>Entry into force 01/05/2017</p>	<p>Europol shall support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating serious crime affecting two or more Member States, terrorism and forms of crime which affect a common interest covered by a Union policy.</p> <p>Europol's shall also (a) collect, store, process, analyse and exchange information, including criminal intelligence; (b) notify the Member States, via the national units established or designated without delay of any information and connections between criminal offences concerning them; (c) coordinate, organise and implement investigative and operational actions to support and strengthen actions by the competent authorities of the Member States, that are carried out: (i) jointly with the competent authorities of the Member States; or (ii) in the context of joint investigation teams and, where appropriate, in liaison with Eurojust; (d) participate in joint investigation teams, as well as propose that they be set up; (e) provide information and analytical support to Member States in connection with major international events; (f) prepare threat assessments, strategic and operational analyses and general situation reports; (g) develop, share and promote specialist knowledge of crime prevention methods, investigative procedures and technical and forensic methods, and provide advice to Member States; (h) support Member States' cross-border information exchange activities, operations and investigations, as well as joint investigation teams, including by providing operational, technical and financial support; (i) provide specialised training and assist Member States in organising training, in coordination with the European Union Agency for Law Enforcement Training (CEPOL); (j) cooperate with the Union bodies established on the basis of Title V of the TFEU and with OLAF, in particular through exchanges of information and by providing them with analytical support in the areas that fall within their competence; (k) provide information and support to EU crisis management structures and missions established on the basis of the TEU; (l) develop Union centres of specialised expertise for combating certain types of crime, in particular the European Cybercrime Centre; (m) support Member States' actions in preventing and combating forms of crime.</p> <p>Europol shall provide strategic analyses and threat assessments to assist the Council and the Commission in laying down strategic and operational priorities of the Union for fighting crime and assisting in their operational implementation; provide strategic analyses and threat assessments to assist the efficient and effective use of the resources available at national and Union level for operational activities and the support of those activities; act as the Central Office for combating euro counterfeiting in accordance with Council Decision 2005/511/JHA. Europol shall also encourage the coordination of measures carried out to fight euro counterfeiting by the competent authorities of the Member States or in the context of joint investigation teams, where appropriate in liaison with Union bodies and the authorities of third countries; and shall not apply coercive measures in carrying out its tasks.”</p>

1.2 Seat

The Hague (Den Haag), the Netherlands.

1.3 Budget Line

12 10 01 : European Union Agency for Law Enforcement Cooperation (Europol)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	583	589	101,03%	583	654
Assistants (AST)	32	20	62,50%	32	32
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	615	609	99,02%	615	686
Contract Agents (CA)	188	191	101,60%	191	235
Seconded National Experts (SNE)	71	53	74,65%	71	71
TOTAL STAFF	874	853	97,60%	877	992

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	172 964 254	192 380 773
Other Revenue	0	0
TOTAL REVENUES	172 964 254	192 380 773

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)	1 448 021	
Additional EU funding stemming from contribution agreements (FFR Art.7)	927 425	
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	1 520 000	
TOTAL REVENUES	3 895 446	

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	92 331 754	92 331 754	101 611 000	101 611 000
Title 2 - Infrastructure and operating expenditure	12 594 000	12 594 000	14 530 000	14 530 000
Title 3 - Operational expenditure	68 038 500	68 038 500	76 239 773	76 239 773
TOTAL EXPENDITURE	172 964 254	172 964 254	192 380 773	192 380 773

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		3		3		3		3		3
AD 13		5				5		1		1
AD 12		11		7		11		10		10
AD 11		14		5		10		8		8
AD 10		25		15		23		18		18
AD 9		50		34		51		43		43
AD 8		84		68		85		83		83
AD 7		148		171		152		193		193
AD 6		211		276		211		299		285
AD 5		31		9		31		9		9
AD TOTAL		583		589		583		668		654
AST 11										
AST 10										
AST 9										
AST 8		1				2		1		2
AST 7		5		2		5		2		5
AST 6		6		2		6		4		6
AST 5		7		4		7		4		7
AST 4		7		7		6		4		6
AST 3		3		1		3		1		3
AST 2		3		4		3		2		3
AST 1										
AST TOTAL		32		20		32		18		32
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		615		609		615		686		686
GRAND TOTAL		615		609		615		686		686

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	37	40	37	59
Function Group III	106	102	98	118
Function Group II	45	49	56	58
Function Group I				
TOTAL	188	191	191	235

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	71	53	71	71

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	149 071 567	172 964 254	192 380 411	192 380 773	11,23%
- Of which assigned revenues deriving from previous years' surpluses	1 106 807	2 363 548	3 349 469	3 349 469	41,71%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		p.m.	p.m.	p.m.	
- Of which EEA/EFTA (excl. Switzerland)		p.m.	p.m.	p.m.	
- Of which candidate countries		p.m.	p.m.	p.m.	
4 OTHER CONTRIBUTIONS	2 965 556	p.m.	p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS		p.m.	p.m.	p.m.	
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)		p.m.	p.m.	p.m.	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT		p.m.	p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES		p.m.	p.m.	p.m.	
TOTAL	152 037 123	172 964 254	192 380 411	192 380 773	11,23%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	768 376	1 448 021	723 983		-100%
Additional EU funding stemming from contribution agreements (FFR Art.7)	14 844	927 425	1 406 785		-100%
Additional EU funding stemming from service level agreements (FFR Art. 43.2)	806 860	1 520 000	1 520 000		-100%
TOTAL	1 590 080	3 895 446	3 650 768		-100%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	85 819 036	92 331 754	101 611 000	101 611 000	10,05%
Salaries & allowances	79 028 116	83 973 254	92 291 000	92 291 000	9,91%
- Of which establishment plan posts	66 756 865	69 973 254	76 205 000	76 205 000	8,91%
- Of which external personnel	12 271 251	14 000 000	16 086 000	16 086 000	14,90%
Expenditure relating to Staff recruitment					
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	989 179	1 052 000	1 164 000	1 164 000	10,65%
Training	109 526	130 000	150 000	150 000	15,38%
External Services					
Receptions, events and representation	51 807	74 500	106 000	106 000	42,28%
Social welfare					
Other Staff related expenditure	5 640 408	7 102 000	7 900 000	7 900 000	11,24%
Title 2 - Infrastructure and operating expenditure	10 563 884	12 594 000	14 530 000	14 530 000	15,37%
Rental of buildings and associated costs	6 820 915	7 851 000	9 545 000	9 545 000	21,58%
Information, communication technology and data processing	1 619 981	1 780 000	1 911 500	1 911 500	7,39%
Movable property and associated costs	865 120	1 026 000	848 000	848 000	-17,35%
Current administrative expenditure	327 998	457 000	508 500	508 500	11,27%
Postage / Telecommunications	836 400	953 000	1 041 000	1 041 000	9,23%
Meeting expenses	93 470	527 000	676 000	676 000	28,27%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	51 852 054	68 038 500	76 239 411	76 239 773	12,05%
Operations	11 134 411	22 723 500	25 979 000	25 979 000	14,33%
Operational information technology	33 510 102	35 070 000	40 441 411	40 441 773	15,32%
Telecommunication costs for operational activities	903 706	1 150 000	1 265 000	1 265 000	10,00%
Seconded National Experts (Operational)	3 839 749	4 235 000	4 414 000	4 414 000	4,23%
ATLAS	2 399 625	3 500 000	2 720 000	2 720 000	-22,29%
EPCC		300 000	300 000	300 000	0,00%
Heads of Europol national Units	18 873	60 000	120 000	120 000	100,00%
Operational expenditure related to subsidies and grants					
Operational expenditure related to research and development projects					
Decryption platform	45 588	1 000 000	1 000 000	1 000 000	0,00%
TOTAL	148 234 974	172 964 254	192 380 411	192 380 773	11,23%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations
-------------	------------------------

	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	85 819 036	92 331 754	101 611 000	101 611 000	10,05%
Salaries & allowances	79 028 116	83 973 254	92 291 000	92 291 000	9,91%
- Of which establishment plan posts	66 756 865	69 973 254	76 205 000	76 205 000	8,91%
- Of which external personnel	12 271 251	14 000 000	16 086 000	16 086 000	14,90%
Expenditure relating to Staff recruitment					
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure	989 179	1 052 000	1 164 000	1 164 000	10,65%
Training	109 526	130 000	150 000	150 000	15,38%
External Services					
Receptions, events and representation	51 807	74 500	106 000	106 000	42,28%
Social welfare					
Other Staff related expenditure	5 640 408	7 102 000	7 900 000	7 900 000	11,24%
Title 2 - Infrastructure and operating expenditure	10 563 884	12 594 000	14 530 000	14 530 000	15,37%
Rental of buildings and associated costs	6 820 915	7 851 000	9 545 000	9 545 000	21,58%
Information, communication technology and data processing	1 619 981	1 780 000	1 911 500	1 911 500	7,39%
Movable property and associated costs	865 120	1 026 000	848 000	848 000	-17,35%
Current administrative expenditure	327 998	457 000	508 500	508 500	11,27%
Postage / Telecommunications	836 400	953 000	1 041 000	1 041 000	9,23%
Meeting expenses	93 470	527 000	676 000	676 000	28,27%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	51 852 054	68 038 500	76 239 411	76 239 773	12,05%
Operations	10 568 480	22 723 500	25 979 000	25 979 000	14,33%
Operational information technology	33 510 102	35 070 000	40 441 411	40 441 773	15,32%
Telecommunication costs for operational activities	903 706	1 150 000	1 265 000	1 265 000	10,00%
Seconded National Experts (Operational)	3 839 749	4 235 000	4 414 000	4 414 000	4,23%
ATLAS	2 965 556	3 500 000	2 720 000	2 720 000	-22,29%
EPCC		300 000	300 000	300 000	0,00%
Heads of Europol national Units	18 873	60 000	120 000	120 000	100,00%
Operational expenditure related to subsidies and grants					
Operational expenditure related to research and development projects					
Decryption platform	45 588	1 000 000	1 000 000	1 000 000	0,00%
TOTAL	148 234 974	172 964 254	192 380 411	192 380 773	11,23%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 3 349 469.

4 Justification of needs

Commission assessment

Human Resources

The human resources are in line with the LFS accompanying the proposal to revise Europol's Regulation (COM(2020) 796) and the programming for the MFF 2021-2027.

Financial Resources

The financial resources are in line with the LFS accompanying the proposal to revise Europol's Regulation (COM(2020) 796) and the programming for the MFF 2021-2027.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Europol's role in the security landscape of the EU has been recognised over the last few years and, as a result, the Agency was entrusted with several important functions such as the European Cybercrime Centre, the European Migrant Smuggling Centre, the European Internet Referral Unit within the European Counter-Terrorism Centre and most recently, the Innovation Lab.

In December 2020, the European Commission put forward a proposal for amending the Europol Regulation (COM(2020)796, which also addresses the need for Europol to be reinforced with an appropriate level of human and financial resources. The Agency's staff request in line with the LFS attached to the Commission proposal:

- **Temporary agents:** 686 in 2022 (+ 71 TA posts compared to 2021)
- **Contract agents:** 235
- **Seconded National Experts:** 71 (stability compared to 2021)

Efficiency gains

Europol continues to strive to achieve efficiency gains. In 2020, the results of the job screening exercise showed a slight increase in the percentage of operational jobs and a decrease in the percentage of administrative/coordination jobs.

Staff financed with ad hoc grants:

For 2022 Europol will continue having a number of Contract Agents (CAs) and SNEs directly funded via ad hoc Grants, Contribution Agreements or SLAs.

- 10 CAs following diverse Grant Agreements (H2020 Project GRACE: 3 CAs; H2020 Project INFINITY: 2 CAs; H2020 Project AIDA: 5 CAs),
- 9 CAs following diverse Contribution Agreements (Eastern Partnership (EaP) EMPACT: 2 CAs and 7 CAs via SIRIUS II),
- 3 CAs and 3 SNEs following different SLAs (CEPOL (new SLA expected as of 2021) 3 CAs; European Central Bank: 3 SNEs (currently still under negotiation).

4.1.2 Vacancy rate as of end 2020

Total Europol vacancy rate: 6,6% (61/921)

Individual vacancy rate per category (excluding posts funded by grants): TA: 1% (6/615), CA: 17,9% (42/235), SNE: 18,3% (13/71).

4.1.3 Standard abatement ('abatement forfaitaire') applied

No vacancy rate has been applied.

4.1.4 Salary assumption for calculating salary line (% applied)

2020: +0,7%; 2021: +0,0%; 2022: +2%

4.1.5 Correction coefficient used

113,9% weighting coefficient for the Netherlands for 2020 and 2021 (current applicable coefficient).

4.1.6 Exchange rate used (if applicable)

N/A.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Staff expenditure amounts to EUR 101 611 000 and represents 52,8% of the total budget. It reflects a 10% or EUR 9 272 246 increase compared to 2021 which is mainly due to the additional staff, salary and weighting increases.

The direct salary and allowances related budget (including recruitment expenditure and relocation allowances) for TAs and CAs (Chapter 11 – Staff in active employment) comes to EUR 92 291 000, an increase of 10% or EUR 8 317 746 compared to the year 2021.

The budget for Socio-medical infrastructure (Chapter 13) and Training (Chapter 14) is EUR 132 000 higher than the budget 2021 (amounting to EUR 1 182 000), while the budget for other staff related expenditure (Chapter 15) is envisaged to increase by 11% to EUR 7 900 000. The increase of EUR 798 000 compared to 2020 is foreseen to cover higher costs for external security officers, as a consequence of taking into use of the secondary Temporary Satellite Building, consultancy services related to the mid and long term housing measures as part of the Strategic Housing Roadmap and additional fees for the European School due to higher numbers of enrolled pupils.

4.2.2 Title 2

The budget for administrative activities comes to EUR 14 530 000 and represents 7,6% of the total Europol final draft estimate. The increase for administrative expenditure compared to 2020 amounts to EUR 1 936 000.

An increase of EUR 1 694 000 or 22% for Rental of buildings and associated costs (Chapter 20), compared to the Budget 2020, is due to the project related to the establishment of a second Temporary Satellite Building and the investments related to it. In addition, there are also higher building-related running costs for the implementation of the service level agreement with the Host State (contractual maintenance to retain the current service environment and business continuity of the headquarters and involved infrastructure).

The budget for administrative ICT (Chapter 21) amounts to EUR 1 911 500 and represents an increase of 7% or EUR 131 500 compared to 2020. The budget is envisaged to cover activities related to nGAGE (next generation Administrative and Governance Environments). In addition, this Chapter covers outsourced helpdesk services.

An amount of EUR 2 397 500 is foreseen for the continuation of other governance, administrative and telecommunication expenditure (Chapter 22 – 24). These Chapters include open source and database subscriptions, legal expenses, administrative expertise, uniforms, furniture, car fleet, office supplies, postal and courier services and it is kept just below the 2021 cost.

For the budget for activities of the Management Board and its Working Groups under Chapter 25 there is an increase of 28,3% or EUR 149 000 which follows from the planning of four MB meetings for two full days and an increase in costs for the external MB meeting.

4.2.3 Title 3

The budget for Operational activities adds up to EUR 76 239 411 and represents nearly 39,6% of the total budget. The increase for operational activities compared to 2021 amounts to EUR 8 200 911.

A main increase is foreseen for Chapter 30 – Operations. An increase of EUR 2 475 500 is foreseen to further enhance the support to MS investigations. This additional budget will enable Europol to further develop MS support in areas highlighted in the Europol Strategy 2020+. Europol's existing capabilities will be strengthened in areas such as OTF/HVT (Operational Task Force/ High Value Targets), investigation support, forensics support, Economic and Financial crime investigation support. The additional budget also aims at covering the activities of ATLAS, EMPACT and the continuation of security checks at hotspots. Assuming full recovery after the Covid-19 pandemic, a significant part of the budget (EUR 8 510 000) will be used to support Member States in operational and strategic meetings, missions and training.

The budget for operational ICT services and programmes under Title 3 (Chapters 31 and 32 together) comes to EUR 41 706 411, which is an increase of EUR 5 486 411 compared to 2021. The budget will be used to continue the multi-annual delivery of ICT and IM capabilities.

Part of the operational expenditure is intended to cover the allowances for 71 Seconded National Experts under Chapter 33, amounting to EUR 4 414 000.

The budget under Chapter 34 and 35 for high level external stakeholder meetings (HENU and Europol Police Chiefs Convention) amounts to EUR 420 000.

An amount of EUR 1 000 000 is envisaged in the 2022 budget, under Chapter 38 - Decryption platform, to cover for the operational running costs including electricity and further increase in capacity.

4.3 Ad hoc grants and delegation agreements

General information	Date of signature	Total amount	Duration	Counterpart	Short description	N+1 (2022)	N+1 (2022)	N+1 (2022)
Total Agreements						Number of CAs 25 Number of SNEs 10	Amount CA 3 650 768	Amount PA 3 650 768

Grant Agreements

General information	Date of signature	Total amount	Duration	Counterpart	Short description	N+1 (2022)	N+1 (2022)	N+1 (2022)
Grant Agreements								
1. H2020 - GRACE 883341 - part of consortium coordinated by Vicom, ES	18/05/2020 (starting date 1/6/2020)	6,823,512.50 for the consortium of which 702,550 for Europol	36 months	European Commission Research Executive Agency	Global Response Against Child Exploitation based on big-data technologies supported by advanced AI powered algorithms	Number of CAs 3	Amount CA 234 183	Amount PA 234 183
2. H2020 - INFINITY 883293 - part of consortium coordinated by Airbus, FR	11/05/2020 (starting date 1/6/2020)	6,866,503.75 for the consortium of which 533,600 for Europol	36 months	European Commission Research Executive Agency	To become a flagship project that revolutionises how LEAs view, analyse and share information to combat crime and terrorism	Number of CAs 2	Amount CA 177 867	Amount PA 177 867
3. H2020 - AIDA 883596 - part of consortium coordinated by Ingenieria Informatica, IT	20/05/2020 (starting date 1/9/2020)	7,690,272.50 for the consortium of which 935,800 for Europol	24 months	European Commission Research Executive Agency	Artificial Intelligence and advanced Data Analytics for Law Enforcement Agencies	Number of CAs 5	Amount CA 311 933	Amount PA 311 933
Total Grant Agreements						Number of CAs 10	Amount CA 723 983	Amount PA 723 983

Contribution Agreements

General information	Date of signature	Total amount	Duration	Counterpart	Short description	N+1 (2022)	N+1 (2022)	N+1 (2022)
Contribution Agreements								
1. EaP EMPACT ENI/2020 / 416-376	11/06/2020 (starting date 1/7/2020)	2,500,000	48 months	European Commission DG Near	Fighting organised crime in the EaP region	Number of CAs 2	Amount CA 625 000	Amount PA 625 000
2. SIRIUS II New Agreement	21/12/2020 (starting date 1/1/2021)	3,491,891.5 (2,226,456 Europol, 1,265,435.50 Eurojust)	42 months (staff for year 1 still in SIRIUS I)	European Commission Service for Foreign Policy Instruments	International Digital Cooperation - Cross border access to electronic evidence	Number of CAs 7	Amount CA 781 785	Amount PA 781 785
Total Contribution Agreements						Number of CAs 9	Amount CA 1 406 785	Amount PA 1 406 785

Service Level Agreements

General information	Date of signature	Total amount	Duration	Counterpart	Short description	N+1 (2022)	N+1 (2022)	N+1 (2022)
Service Level Agreements								
1. EUIPO - IP Crime	07/11/2019 (starting date 1/1/2020)	maximum 3,800,000	48 months	The European Union Intellectual Property Office (EUIPO)	To support law enforcement authorities preventing crime related to Intellectual Property Rights	Number of CAs 3 Number of SNEs 6	Amount CA 950 000	Amount PA 950 000
2. EUIPO - Fraud	13/08/2019 (starting date 1/1/2020)	No amount specified, 80,000 in year 1 and 2	48 months	The European Union Intellectual Property Office	Preventing fraud against users of the European Union Intellectual Property Systems	Number of CAs 0 Number of SNEs	Amount CA 80 000	Amount PA 80 000

				(EUIPO)		1		
3. The European Union Agency for Law Enforcement Training	05/08/2020	880,000	Maximum duration until 1/9/2024	The European Union Agency for Law Enforcement Training	EUROMED POLICE V (Contract No. ENI/2020/414-940), WB PaCT (Contract No. 2019/ 413-822) and TOPCOP (Contract No. ENI/2020/415-941) projects	Number of CAs 3 Number of SNEs 0	Amount CA 240 000	Amount PA 240 000
4. ECB -Euro counterfeiting New SLA expected		250,000	24 months, renewable for another 24 months	European Central Bank	Searches and investigations into counterfeiting online	Number of CAs 0 Number of SNEs 3	Amount CA 250 000	Amount PA 250 000
Total Service Level Agreements						Number of CAs 6 Number of SNEs 10	Amount CA 1 520 000	Amount PA 1 520 000
Total Agreements						Number of CAs 25 Number of SNEs 10	Amount CA 3 650 768	Amount PA 3 650 768

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Europol Headquarters	Eisenhowerlaan 73, 2517 KK, The Hague Netherlands	10 000	22 500	32 500		Lease Agreement is valid for 20 years and was signed on 1 March 2011.	Lease agreement	Host State support by providing and maintaining the accommodation.	
2	Europol / Temporary Satellite Building	Jan Willem Frisolaan 13, 2517JS The Hague	1 900	700	2 600		Lease Agreement is valid for 3+1 years and was signed on 6 September 2019.	Lease agreement	Host State support by providing and maintaining the accommodation.	
TOTAL			11 900	23 200	35 100					

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

Europol is facing a shortage of workplaces, meeting facilities and data centre capacity in its headquarters. Therefore, Europol and the Host State, represented by the Ministry of Justice and Security, have developed a Strategic Housing Roadmap (SHR) for the period 2016 – 2031, (expiration of the first term Lease Agreement).

In regards to the implementation of the Mid-Term Housing Solution (HQ1), Europol will optimise the utilisation of the current office environment, providing additional meeting spaces and preparing for flexible usage of the workplaces. The teleworking experience, stemming from the COVID-19 measures, will be taken into consideration. The start of the Preliminary Design process is scheduled for the period Q1 – Q2/2021. According to the Host State's planning, the reinforcement programme of the Plank Floor Slabs will be combined with the implementation of Mid-Term Housing Solution. A separate project will be initiated by the Host State to start the replacement of the LON in 2021.

The development of Temporary Satellite Building II (TSBII) is essential to create move space in the HQ for the implementation of the Mid-Term Housing Solution and strengthening process of the Plank Floor Slabs. Both TSB I and II buildings should be used for a minimum period of five years, until the accomplishment of the Long-Term Housing Measures (HQ2). The Host State is investigating alternative office buildings in the vicinity of the HQ.

For the implementation of the SHR Long-Term Housing Measures (HQ2), the Host State successfully finalised the acquisition of office building Churchillplein 1 in The Hague (HQ2) in 2019. The Definition Phase of the development of the new office building is in progress and the Host State is investigating the expansion possibilities of the building and grounds (m2). The implementation of the Long-Term Housing Measures for HQ2 is forecasted to be completed in 2027. In view of Article 88 of the Financial Regulation (FR) applicable to Europol, it is envisaged to request approval from the European Parliament and the Council for these housing initiatives in the near future, once the Host State and Europol have identified the exact scope of costs.

In addition, the Agreement with the Republic of Austria for the Europol Data Recovery Site in Austria will expire on 31 July 2021 and the negotiation process to extend the current lease agreement is foreseen in January 2021 in order to support the business continuity of Europol's data storage capacity.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

The establishment of the European School of The Hague was agreed in 2011 and it opened its doors in school year 2012/2013. The Commission partially subsidises the school fees for the European School in The Hague (ESH) based on a mutual Mandate and Service agreement. The expenditure for the European School will continue to rise with the staff growth. Based on the current number of pupils and the assumption that most of the children of the new staff will be registered in ESH, a total budget of EUR 3 758 000 is anticipated for the school year 2022/2023.

5.3 Evaluation

Internal monitoring & evaluation

Europol management monitors the implementation status of all planned actions, projects and indicators on a quarterly basis, to assess the overall progress and to take corrective actions where needed. This is done via the Consolidated Annual Activity Report (CAAR) and the Europol Internal Control Framework (ICF).

External monitoring & evaluation

The **Internal Audit Service (IAS)**, supported by the IAC and Europol, performs a risk assessment on Europol's governance, administrative and support process areas at regular intervals, with a view to identifying the overall risk profile of key administrative processes outside the core business area. The **European Data Protection Supervisor (EDPS)** holds regular meetings with the Data Protection Function (DPF) of Europol; the DPF facilitates the EDPS' annual and other inspection activities. The **European Court of Auditors (ECA)** conducts annual audits on Europol's implementation of each financial year, including the annual accounts presented to the discharge authority, as well as performance and ad-hoc audits engagements with respect to the mandate of Europol.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
According to Article 63 Europol Regulation the Protocol on Privileges and Immunities of the European Union ("Protocol No. 7" ²⁹ to the Treaty on European Union and the Treaty on the Functioning of the European Union) applies to Europol. The Protocol is supplemented by the	According to Article 63(1) Europol Regulation the following legal acts apply to Europol's staff (including the Executive Director and the Deputy Executive Directors): - Protocol on Privileges and Immunities of the European Union ("Protocol No. 7" to the Treaty on European Union and the Treaty on the Functioning of the EU) - Regulation (Euratom, ECSC, EEC) No. 549/69 of 25 March 1969 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on the Privileges and Immunities of the Communities apply (as	Europol staff members can benefit from the ordinary rules regarding tax benefits linked to day care expenses

Agency privileges	Privileges granted to staff	
Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol (see Art. 70 Europol Regulation).	last amended by Regulation (EC) No. 371/2009 of 27 November 2008) Protocol and Regulation are supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol (see Art. 70 Europol Regulation), which is itself supplemented regarding staff privileges and immunities by a number of <i>Notes Verbales</i> some specific to Europol and others directed towards all international and EU organisations in The Netherlands. The most significant of these is an exchange of <i>Notes Verbales</i> of 25 October 2007.	just as any other residents of the Netherlands.

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
REGULATION (EU) 2015/2219 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 November 2015 on the European Union Agency for Law Enforcement Training (CEPOL) and replacing and repealing Council Decision 2005/681/JHA	01/07/2016	<p>The new legal basis presents several key tasks for CEPOL:</p> <ul style="list-style-type: none"> •A wider target group of “law enforcement officials”. •Coordination and implementation, addressing the European dimension of serious and organised crime, terrorism, public order, and CSDP preparedness. • Assessment of EU initiatives in defined fields, building the capacity of third countries, multiannual strategic training needs assessments, promotion of mutual recognition of training for EU Member States. •CEPOL’s remit in the area of research relevant for training and external relations has been extended. •CEPOL is a fully fledged EU agency in terms of legal construction, tasked inter alia to bring together a network of law enforcement training institutes. CEPOL Secretariat becomes staff of the agency. •The Governing Board becomes a Management Board (with the Commission as a voting member), gaining powers of Appointing Authority and competences in determining CEPOL’s internal organisation. •The Executive Director shall make proposals to the Management Board, and implement both the budget and the work programme(s) and the European Commission is included in the appointment mechanism.

1.2 Seat

Budapest, Hungary

1.3 Budget Line

12 10 02 : European Union Agency for Law Enforcement Training (CEPOL)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	23	23	100,00%	23	23
Assistants (AST)	10	8	80,00%	10	10
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	33	31	93,94%	33	33
Contract Agents (CA)	19	17	89,47%	19	20
Seconded National Experts (SNE)	3	6	200,00%	4	4
TOTAL STAFF	55	54	98,18%	56	57

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	10 632 382	10 845 030
Other Revenue	0	0
TOTAL REVENUES	10 632 382	10 845 030

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	p.m.	

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	4 731 000	4 731 000	4 811 810	4 811 810
Title 2 - Infrastructure and operating expenditure	491 500	491 500	496 710	496 710
Title 3 - Operational expenditure	5 409 882	5 498 724	5 536 510	5 536 510
Title 4 - Operational expenditure				
TOTAL EXPENDITURE	10 632 382	10 721 224	10 845 030	10 845 030

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 1 6										
AD 15										
AD 14		1		1		1		1		1
AD 13								1		1
AD 12		2		1		1		1		1
AD 11		1		1		4		3		3
AD 10		1		3						
AD 9		1				1		1		1
AD 8				1				3		3
AD 7		5		3		5		7		5
AD 6		7		9		6		7		5
AD 5		5		4		5		4		3
AD TOTAL		23		23		23		28		23
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		1						1		1
AST 5		3		3		4		5		5
AST 4		5		2		5		4		4
AST 3		1		3		1				
AST 2										
AST 1										
AST TOTAL		10		8		10		10		10
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		33		31		33		38		33
GRAND TOTAL		33		31		33		38		33

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	4	7	4	8
Function Group III	15	10	15	12
Function Group II				
Function Group I				
TOTAL	19	17	19	20

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	3	6	4	4

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	7 829 250	10 632 382	11 532 000	10 845 030	2,00%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>	197 631	212 578	772 772	772 772	263,52%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	130 000				
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	7 959 250	10 632 382	11 532 000	10 845 030	2,00%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)	17 033 516	p.m.	p.m.		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	17 033 516	p.m.	p.m.		

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	4 346 601	4 731 000	5 196 000	4 811 810	1,71%
Salaries & allowances	3 589 032	3 927 000	4 375 000	3 996 500	1,77%
- Of which establishment plan posts	2 370 000	2 622 000	3 060 620	2 741 832	4,57%
- Of which external personnel	1 219 032	1 305 000	1 314 380	1 254 668	-3,86%
Expenditure relating to Staff recruitment	91 700	9 000	9 000	9 000	0,00%
Employer's pension contributions					
Mission expenses	3 900	30 000	30 000	30 000	0,00%
Socio-medical infrastructure	368 858	434 500	441 000	441 000	1,50%
Training	60 609	87 000	87 000	87 000	0,00%
External Services	229 524	233 000	243 000	237 660	2,00%
Receptions, events and representation	997	3 000	3 000	3 000	0,00%
Social welfare	1 981	7 500	8 000	7 650	2,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	625 449	491 500	506 000	496 710	1,06%
Rental of buildings and associated costs	26 692	45 000	51 000	45 900	2,00%
Information, communication technology and data processing	575 799	388 000	392 000	392 000	1,03%
Movable property and associated costs	2 802	15 500	20 000	15 810	2,00%
Current administrative expenditure	16 550	33 000	33 000	33 000	0,00%
Postage / Telecommunications	3 606	10 000	10 000	10 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 207 072	5 409 882	5 830 000	5 536 510	2,34%
Bodies and organs	152 382	372 000	372 000	372 000	0,00%
Courses and seminars	1 183 244	4 327 882	4 708 000	4 440 310	2,60%
Other programme activities	871 446	710 000	750 000	724 200	2,00%
Evaluation					
Missions					
Other operational activities					
Title 4 - Operational expenditure					
Expenditure relating to persons working for CT MENA project					
Travel					
Equipment and supplies					
Local office					
Other costs, services					
Other					
Indirect costs					
Provision for contingency reserve					
Taxes					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
TOTAL	7 179 122	10 632 382	11 532 000	10 845 030	2,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	4 194 800	4 731 000	5 196 000	4 811 810	1,71%
Salaries & allowances	3 589 032	3 927 000	4 375 000	3 996 500	1,77%
- Of which establishment plan posts	2 370 000	2 622 000	3 060 620	2 741 832	4,57%
- Of which external personnel	1 219 032	1 305 000	1 314 380	1 254 668	-3,86%
Expenditure relating to Staff recruitment	215	9 000	9 000	9 000	0,00%
Employer's pension contributions					
Mission expenses	3 900	30 000	30 000	30 000	0,00%
Socio-medical infrastructure	365 210	434 500	441 000	441 000	1,50%
Training	30 242	87 000	87 000	87 000	0,00%
External Services	203 223	233 000	243 000	237 660	2,00%
Receptions, events and representation	997	3 000	3 000	3 000	0,00%
Social welfare	1 981	7 500	8 000	7 650	2,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	313 216	491 500	506 000	496 710	1,06%
Rental of buildings and associated costs	26 246	45 000	51 000	45 900	2,00%
Information, communication technology and data processing	268 127	388 000	392 000	392 000	1,03%
Movable property and associated costs	2 477	15 500	20 000	15 810	2,00%
Current administrative expenditure	13 716	33 000	33 000	33 000	0,00%
Postage / Telecommunications	2 650	10 000	10 000	10 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 341 794	5 498 724	5 830 000	5 536 510	0,69%
Bodies and organs	25 678	372 000	372 000	372 000	0,00%
Courses and seminars	825 089	4 416 724	4 708 000	4 440 310	0,53%
Other programme activities	491 027	710 000	750 000	724 200	2,00%
Evaluation					
Missions					
Other operational activities					
Title 4 - Operational expenditure					
Expenditure relating to persons working for CT MENA project					
Travel					
Equipment and supplies					
Local office					
Other costs, services					

EXPENDITURE	Payment appropriations				VAR 2022/2021 (%)
	Executed Budget 2020	Budget 2021	Draft Budget 2022		
			Agency request	Budget forecast	
Other					
Indirect costs					
Provision for contingency reserve					
Taxes					
TOTAL	5 849 810	10 721 224	11 532 000	10 845 030	1,15%

3.3 Budget Outturn

The calculated 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): 772 772 EUR.

4 Justification of needs

Commission assessment

Human Resources

The establishment plan currently includes 33 authorised posts of which the Agency filled in 31 at the end of 2020. The proposed number of external staff includes 20 CAs and 4 SNEs.

The Commission does not support the Agency's request for 5 additional TAs and 2 additional SNEs for 2022 in absence of an applicable legislative financial statement.

Financial Resources

The EU contribution programmed for CEPOL for 2022 amounts to EUR 10,84 million. In the absence of any applicable legislative financial statement, the Commission does not support any funding in addition to the programming at this stage.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

CEPOL intends to implement effectively its mandate. The agency therefore pursues the necessary resources for full engagement with the all law enforcement sectors, implement its research agenda, and ensure quality, transferability and mobility of CEPOL training and education through standardisation and certification. The agency considers it suffers, across all departments, from a lack of human resources, making it difficult for CEPOL to evolve and fully implement its mandate.

Due to COVID-19 pandemic CEPOL re-focussed in delivering increasing online trainings for which the agency requests a reinforcement in staff for 2022. CEPOL will also hold the JHA chairmanship in 2022. This task will also have temporary impact on Human Resource allocation. CEPOL seeks the approval of 5 additional posts as of 2022 (2 post allocated to CEPOL Cybercrime Academy; 1 post allocated to Analysis and 1 to e-Learning; 1 post allocated to ICT).

4.1.2 Vacancy rate as of end 2020

On 31 December 2020, there were 2 vacant positions, which translates to a 6% vacancy rate at 31 December 2020. The recruitment procedures are expected to be finalised in the first quarter of 2021.

4.1.3 Standard abatement ('abatement forfaitaire') applied

CEPOL foresees no abatement in 2022, the Agency is currently under-resourced and standard abatement within the normal range is associated with efficiencies achieved through the implementation of mature processes.

4.1.4 Salary assumption for calculating salary line (% applied)

2022 salaries are calculated on the assumption that all authorised establishment plan posts will be filled and considering the individual family and personal circumstances of the employees, 0,9% increase of staff entitlements, automatic step-increases for staff and the correction coefficient for Hungary at the end of 2020.

4.1.5 Correction coefficient used

The correction coefficient for Hungary at the end of 2020 was 71,9 %. Flexibility is foreseen for the exchange rate fluctuations of Hungarian currency that may affect both the correction coefficient and the actual salary payments.

4.1.6 Exchange rate used (if applicable)

N/A - The budget is established in euro.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 is covering costs related to staff. The increased budget is related to the requested 5 additional posts for the year 2022. CEPOL considers an increase in staff is needed to fulfil the Agency's mandate and to ensure direct and timely delivery of EU level training to tackle the most pressing European security priorities.

4.2.2 Title 2

The 2022 budget request for Infrastructure and Operating expenditure amounts to EUR 506 000 (approximately 3% increase compared to the 2021) The Title 2 budget is relatively low and it is on the average annual range for this expenditure. As the CEPOL Headquarters are offered free of charge by the Host Country, the increase is linked to the staff increase requested.

Main expenditure items are the rental of liaison office in Brussels, IT investments and maintenance, office equipment's and current administrative expenses.

4.2.3 Title 3

The CEPOL legal mandate envisages CEPOL to assume a coordination and implementation role in EU law enforcement training and requests it to fully align its activities to the relevant political priorities articulated at Union level. Training is identified as a key supporting action for European Security. The work programme 2022 will continue to support all key priority areas deriving from the Agendas on Security and Migration in line with the principles of the Law Enforcement Training Scheme.

The 2022 operational budget request shows an increase of 420 118,00 EUR compared to the 2021 budget. The operational activities performed by CEPOL up to 2021 must be reinforced and complemented due to the following reasons:

- Need to address a significant training demand in the area of cybercrime , electronic evidence and cybersecurity. The CEPOL Cyber Academy established in 2019 – that operates in close cooperation with EC3, ENISA, ECTEG and other relevant partners - needs to be reinforced to meet the huge training demands of the Member States.
- The volume and scope of training on serious organised crime shall be expanded.
- The volume and scope of training on counter-terrorism shall be expanded.
- Training on information exchange and specialist law enforcement techniques, with the increase of the use of SIS, travel intelligence, Prüm, operational agencies - tools designed to support cross border cooperation calls for significant increase in raising knowledge on these tools and supporting specialist networks.
- The legal mandate of CEPOL envisages training provision for law enforcement agencies other than police as well. The expansion of target group - that can be estimated at 0.5 million officials in the EU – needs further enlargement of the portfolio (e.g. for customs law enforcement)
- In line with the resource forecast for CEPOL, the Agency is aiming to the next years to operate in a “hybrid business model” that will be based on three pillars: (i) Activities implemented by CEPOL; (ii) Specific Areas to be covered by selected CKCs in the format of the new business model; and (iii) Activities to be implemented by Framework Partner via Grants.
- Training needs assessment and training coordination at EU level.
- Development of EU training standards, Certification of training and trainers.
- Language training to facilitate cross border cooperation inside the EU and with the Candidate + ENP countries
- Research (Grants) in the area of Law Enforcement education.
- External aspects of Internal security, particularly capacity building in ENP countries and CSDP mission training.
- Development of training environment, e.g. technologies, methodologies: enforcing the technological solutions for education and learning particularly in the area of interactive online learning solutions.

It is important to emphasise that CEPOL must be provided with adequate financial and human resources for the implementation of its mandated tasks as well as addressing the ever growing demand from the law enforcement community to provide more and international training and capacity building activities.

Between 2012-2019 the agency outreach has steadily grown due to the increasing online training components. However the growth of the number of the trained officers had been stopped in 2020 partially due to COVID-19 pandemic, but mainly due to the fact that the agency reached its operative limits.

4.3 Ad hoc grants and delegation agreements

- Delegation Agreement on the EU/MENA Counter Terrorism Training Partnership 2 (CEPOL CT2) project signed with the European Commission Service for Foreign Policy Instruments (FPI) on 21 December 2017. The project had a budget of EUR 6 444 698 EUR and a duration of 36 months and is financed under the Instrument contributing to Stability and Peace (IcSP). The implementation period of the project ended on the 31st of December 2020 and the final report is under preparation.
- Grant Agreement on the Financial Investigation In-Service Training Programme, Western Balkan (CEPOL FI) project with Directorate-General of Neighbourhood and Enlargement Negotiation (DG NEAR) on 21 December 2017. The EU-MENA Counterterrorism Training Partnership 2 The project had a budget of EUR 2 500 000 EUR and a duration of 24 months and was financed under the Instrument of Pre-accession Assistance (IPA 2). During 2019 the project duration has been extended by 3 months and was closed on 31 March 2020.

CEPOL has been engaged in dialogues with respective Commission Services concerning the implementation of new projects in the European Southern and Eastern Neighbourhood Policy areas, and the Western Balkans, financed by Contribution Agreements. As a result of this, CEPOL signed also the following 4 contribution agreements starting in 2020:

- Enhancing Information Exchange and Criminal Justice Response to Terrorism in the Middle East and North Africa “CT INFLOW” project with a budget of EUR 7 500 000, duration of 48 months and starting date of 1 April 2020. The project is financed via the Instrument contributing to Stability and Peace (IcSP) and recently transferred to FPI.
- EUROMED Police project with a budget of EUR 7 000 000, duration of 48 months, and starting date of 1 April 2020. The project is funded by European Union under the European Neighbourhood Instrument (ENI).
- Training and Operational Partnership against Organised Crime (TOPCOP) project with a budget of EUR 6 000 000, duration of 48 months and starting date of 1 July 2020. The project is funded by European Neighbourhood Instrument, managed by DG NEAR.
- Western Balkans Project against Crime and Terrorism (WB PaCT) project, with a budget of EUR 3 000 000, duration of 36 months and starting date 19 October 2020. The project is funded by the Instrument of Pre-Accession II managed by DG NEAR.

Human resource planning for the projects, not part of the Agencies’ Staff Establishment plan and financed from externally assigned revenue dedicated for the implementation of the corresponding projects:

Human Resources	2020	2021	2022	2023
	Envisaged FTE	Envisaged FTE	Envisaged FTE	Envisaged FTE
CT INFLOW (IFS/2019/410-531)	12	12	12	12
Contract Agents (CA)	11	11	11	11
Seconded National Experts (SNE)	1	1	1	1
EUROMED Police (ENI/2020/414-940)	13	13	13	13
Contract Agents (CA)	13	13	13	13
Seconded National Experts (SNE)	0	0	0	0
TOPCOP (ENI/2020/415-941)	10	10	10	10
Contract Agents (CA)	10	10	10	10
Seconded National Experts (SNE)	0	0	0	0
WB PaCT (2019/413-822)	6	6	6	6
Contract Agents (CA)	6	6	6	6
Seconded National Experts (SNE)	0	0	0	0
TOTAL	41	41	41	41

Budget overview of the project:

EXTERNALLY FINANCED PROJECT ACTIVITIES			
EXPENDITURE	Commitment appropriations		
	Executed Budget 2019 (EUR)	Budget 2020 (EUR)	Opening balance 2021 (EUR)
51 EU/MENA Counter-terrorism Training Partnership 2 project (CT 2)	3 427 356	2 641 735	42 379
52_0 Financial investigation in-service training programme Western Balkan project (FI)	1 782 327	290 490	-
52_1 Western Balkans Project against Crime and Terrorism project (WB PaCT)	-	3 000 000	2 727 752
53 Enhancing Information Exchange and Criminal Justice Responses to Terrorism in the Middle East and North Africa project (CT INFLOW)	-	3 750 000	3 372 641
54 Enhancing operational capacities of the South Partner Countries to fight serious and organised crime and strengthening strategic cooperation project (EUROMED Police)	-	6 960 542	6 261 757
55 Training and Operational Partnership against Organised Crime project (TOPCOP)	-	6 000 000	5 426 112

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	CEPOL Headquarters	1066 Budapest, Ó utca 27, Hungary	978	1 145	2 123		10 years free of charge as from 1 September 2014.	Free of charge	Host country provides: accommodation, utility, maintenance, security and reception services.	
2	Project Office	1062, Budapest, Aradi street 8-10	1 036	54	1 090	252 460	Until 31 May 2023	Rental paid by externally financed projects		
3	CEPOL Cybercrime Academy	1126 Budapest, Böszörményi út 27	80		80			Free of charge	Offered by host country	
4	CEPOL Liaison Office	1040 Brussels, Avenue de la Joyeuse Entrée 17-20	48		48	12 497	Until 31 December 2021. Possible extension is subject to CEPOL MB Decision.	Commercially rented office		
TOTAL			2 142	1 199	3 341	264 957				

5.1.2 Current building(s) Other comments

Buildings 2, 3 and 4 under point 5.1.1 are shown for transparency reason. Those premisses are not formal Cepol buildings but rented/used offices.

5.1.3 Building projects in the planning phase

CEPOL's staff has reached the maximum number originally foreseen for the building. The current building has been designed for 53 staff members, current staffing levels (including projects that are actively implemented) are over 76. CEPOL is already renting additional office spaces outside Headquarters or the Capacity Building Projects, using the budget reserved for those projects. In 2018 CEPOL has made a request to the Hungarian authorities to explore the possibilities for a new building for CEPOL. The Hungarian authorities are positively considering this request but a decision has not been taken yet.

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 European Schools

There are no European School or European sections in a national school in Budapest. This situation is not foreseen to change in the coming years. Consequently, it is difficult to promote geographical balance among the staff of the Agency not being able to provide schooling for the children of staff in a different language than Hungarian. Therefore CEPOL's Management Board decided that CEPOL shall pay the school fees (registration and attendance fees, school transportation costs). As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations.

5.3 Evaluation

The last CEPOL evaluation from 2015 confirmed the relevance of its activities and that the Agency was able to respond and adapt to emerging priority areas. Due to the dynamic changes in the security environment, CEPOL's mandate set by Decision 2005/681/JHA became outdated and has been replaced by the new CEPOL Regulation (2015) 2219 which will allow to adapt the strategic objectives and to improve even more the relevance of CEPOL's activities; amongst others, through the Strategic Training Needs Analysis (STNA) and the Training Needs Analysis (TNA). The evaluation report was made public: <https://www.cepola.europa.eu/sites/default/files/five-year-evaluation-report-2011-2015.pdf> Next evaluation foreseen by Regulation (EU) 2015/2219 of the European Parliament and of the Council should be carried out by 1 of July 2021.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
CEPOL can request the reimbursement of incurred VAT in line with the HQ agreement signed and the applicable Hungarian regulations	CEPOL staff, with the exception of Hungarian nationals are issued a special identity card similar to those issued for members of diplomatic corps of the Member States of the EU in Hungary. CEPOL Staff is entitled to enjoy the privileges and immunities, exemptions and facilities granted by Hungary to members of the diplomatic corps of the Member States of the European Union in Hungary. The Protocol of privileges and immunities applies to the Director of CEPOL and the staff of its Secretariat, with the exception of staff seconded from the Member States and Hungarian nationals. Staff is entitled to reimbursement of VAT in accordance with the relevant rules foreseen for resident officials of international organizations in Hungary, up to 300.000 HUF of value of VAT/year during the first 2 years of employment in Hungary. Staff – with the exception of Hungarian nationals – are entitled to import from their last country of residence or from the country of which they are nationals, free of duty and without prohibitions or restrictions, within 12 months from the date of establishment of normal place of residence in the customs territory of the European Union, furniture and personal effect, including motor vehicles, that shall be registered under diplomatic plates.	There is no European School in Budapest or at a reasonable distance from Budapest. Also, there is no European section in a national school. On the basis of current information, this situation is not foreseen to change in the coming years. CEPOL Governing Board decided that CEPOL shall pay the school fees. As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations. The costs covered by CEPOL shall be: - the registration and attendance fees - the school transportation costs. All other costs are excluded, in conformity with Commission Decision C (2004)131-53-2004 on general implementing provisions for the grant of the education allowance.

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EEC) 302/93	08/02/1993	This regulation establishing the EMCDDA, was repealed by Regulation (EC) No 1920/2006.
Recast Regulation (EC) 1920/2006 on the European Monitoring Centre for Drugs and Drug Addiction (recast)	12/12/2006	<p>The EMCDDA’s objective is to provide the EU and its Member States with factual, objective, reliable and comparable information at European level on drugs, drug addiction and their consequences. To achieve its objective, the Centre shall perform the following tasks: a) collection and analysis of existing data; b) improvement of data-comparison methods; c) dissemination of data; d) cooperation with European and international bodies and organisations and with third countries; e) identification of new developments and changing trends.</p> <p>The recast Regulation stipulates that the Centre shall focus on the following priority areas: monitoring the state of the drugs problem and emerging trends; monitoring the solutions applied to drug related problems; assessing the risks of new psychoactive substances and maintaining a rapid information system; and developing tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies.</p> <p>The EMCDDA's tasks were extended through the recast Regulation. The collection, registration and analysis work must also cover data on emerging trends in poly drug use, including the combined use of licit and illicit psychoactive substances, but also information on best practices in the Member States and the exchange of such practices among them. The EMCDDA was also required to develop tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies and strategies. The scope of the Centre's technical assistance was extended to certain non-Community countries such as the candidates for EU accession or the countries of the Western Balkans. Lastly, the recast Regulation introduced several organisational changes, such as the reference to the Vice-Chairperson of the Management Board and the Executive Committee, a new composition and selection procedure for the Scientific Committee.</p> <p>Furthermore, a new piece of legislation (Regulation (EU) 2017/2101 of the European Parliament and of the Council of 15 November 2017 amending Regulation EC No 1920/2006) was adopted on 24 October 2017, replacing Council Decision 2005/387/JHA as of 23 November 2018. The 2005 legal instrument set out well-defined and tight deadlines for all the tasks covered therein; the deadlines imposed by the new regulation are even stricter and the times allowed have been reduced by more than half, i.e. to two weeks for collecting data from the Reitox national focal points, to five weeks for drafting the initial report and to six weeks for preparing a requested risk assessment.</p> <p>The new regulation foresees the inclusion of a few other concrete new tasks, additional information and new working procedures in the operation of the EWS and risk assessments.</p>

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

12 10 03 : European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3)..

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	51	49	96,08%	51	51
Assistants (AST)	25	24	96,00%	25	25
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	76	73	96,05%	76	76
Contract Agents (CA)	34	34	100,00%	34	34
Seconded National Experts (SNE)	1	1	100,00%	1	1
TOTAL STAFF	111	108	97,30%	111	111

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	16 614 372	16 946 659
Other Revenue	765 200	781 345
TOTAL REVENUES	17 379 572	17 728 004

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	1 595 219	

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	12 187 037	12 187 037	12 440 550	12 440 550
Title 2 - Infrastructure and operating expenditure	2 136 500	2 136 500	2 211 559	2 211 559
Title 3 - Operational expenditure	4 651 254	4 651 254	3 075 895	3 075 895
TOTAL EXPENDITURE	18 974 791	18 974 791	17 728 004	17 728 004

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		3		1		1		1
AD 13	1	3	1	5	1	3	1	3	1	3
AD 12	3	9	2	7	3	9	3	8	3	8
AD 11	1	10		5	1	10	1	9	1	9
AD 10	1	11	1	9	1	11		10		10
AD 9		8	1	8		8		8		8
AD 8		1		2		1		5		5
AD 7		1		4		1		1		1
AD 6										
AD 5										
AD TOTAL	6	45	5	44	6	45	5	46	5	46
AST 11	1	1		1	1	1		1		1
AST 10		2				2		2		2
AST 9	1	6		4	1	6	1	6	1	6
AST 8	2	6		1	2	6	1	5	1	5
AST 7		5		5		5		6		6
AST 6		1	1	8		1		3		3
AST 5				1						
AST 4				1						
AST 3			1							
AST 2				1						
AST 1										
AST TOTAL	4	21	2	22	4	21	2	23	2	23
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	10	66	7	66	10	66	7	69	7	69
GRAND TOTAL	76		73		76		76		76	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	8	8	8	8
Function Group III	9	8	9	9
Function Group II	14	15	14	14
Function Group I	3	3	3	3
TOTAL	34	34	34	34

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	1	1	1	1

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	16 288 600	16 614 372	18 468 120	16 946 659	2,00%
- Of which assigned revenues deriving from previous years' surpluses	22 251	20 639	108 036	108 036	423,46%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	732 483	765 200	857 975	781 345	2,11%
- Of which EEA/EFTA (excl. Switzerland)	440 839	467 723	527 307	478 000	2,20%
- Of which candidate countries	291 644	297 477	330 668	303 345	1,97%
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	17 021 083	17 379 572	19 326 095	17 728 004	2,00%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)	1 007 367	1 595 219			-100%
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	1 007 367	1 595 219			-100%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 093 153	12 187 037	12 601 522	12 440 550	2,08%
Salaries & allowances	11 037 946	12 119 537	12 487 522	12 380 550	2,15%
- Of which establishment plan posts	9 470 589	10 373 899	10 656 464	10 600 000	2,18%
- Of which external personnel	1 567 357	1 745 638	1 831 058	1 780 550	2,00%
Expenditure relating to Staff recruitment	2 895	22 500	14 000	14 000	-37,78%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure					
Training	52 312	45 000	100 000	46 000	2,22%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 495 921	2 136 500	2 321 570	2 211 559	3,51%
Rental of buildings and associated costs	1 287 821	1 447 955	1 491 021	1 491 021	2,97%
Information, communication technology and data processing	1 092 476	537 645	613 199	576 200	7,17%
Movable property and associated costs	55 526	50 500	111 000	51 510	2,00%
Current administrative expenditure	28 391	29 900	34 350	30 498	2,00%
Postage / Telecommunications	22 489	54 000	45 500	45 500	-15,74%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	9 218	16 500	26 500	16 830	2,00%
Title 3 - Operational expenditure	4 577 056	4 651 254	4 403 004	3 075 895	-33,87%
Information and Publishing	413 885	376 250	706 714	383 775	2,00%
Studies	804 906	339 364	890 000	346 150	2,00%
REITOX	2 083 806	2 063 000	2 063 000	2 063 000	0,00%
Mission expenses	45 592	91 000	238 610	92 820	2,00%
Meeting expenses	82 859	183 921	501 180	187 600	2,00%
Receptions and events	925	2 500	3 500	2 550	2,00%
Expenditure IPA and ENP1 projects	1 145 083	1 595 219			-100%
Expenditure related to IPA projects	276 914				
Expenditure related to ENP1 projects	868 169	1 595 219			-100%
TOTAL	18 166 130	18 974 791	19 326 096	17 728 004	-6,57%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 054 791	12 187 037	12 601 522	12 440 550	2,08%
Salaries & allowances	11 011 050	12 119 537	12 487 522	12 380 550	2,15%
- Of which establishment plan posts	9 470 474	10 373 899	10 656 464	10 600 000	2,18%
- Of which external personnel	1 540 576	1 745 638	1 831 058	1 780 550	2,00%
Expenditure relating to Staff recruitment	2 892	22 500	14 000	14 000	-37,78%
Employer's pension contributions					
Mission expenses					
Socio-medical infrastructure					
Training	40 849	45 000	100 000	46 000	2,22%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 726 987	2 136 500	2 321 570	2 211 559	3,51%
Rental of buildings and associated costs	1 209 884	1 447 955	1 491 021	1 491 021	2,97%
Information, communication technology and data processing	424 544	537 645	613 199	576 200	7,17%
Movable property and associated costs	40 277	50 500	111 000	51 510	2,00%
Current administrative expenditure	28 090	29 900	34 350	30 498	2,00%
Postage / Telecommunications	19 217	54 000	45 500	45 500	-15,74%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	4 975	16 500	26 500	16 830	2,00%
Title 3 - Operational expenditure	4 165 607	4 651 254	4 403 004	3 075 895	-33,87%
Information and Publishing	353 204	376 250	706 714	383 775	2,00%
Studies	639 293	339 364	890 000	346 150	2,00%
REITOX	2 140 391	2 063 000	2 063 000	2 063 000	0,00%
Mission expenses	77 364	91 000	238 610	92 820	2,00%
Meeting expenses	131 780	183 921	501 180	187 600	2,00%
Receptions and events	844	2 500	3 500	2 550	2,00%
Expenditure IPA and ENP1 projects	822 731	1 595 219			-100%
Expenditure related to IPA projects	199 423				
Expenditure related to ENP1 projects	623 308	1 595 219			-100%
TOTAL	16 947 385	18 974 791	19 326 096	17 728 004	-6,57%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 108 036.

4 Justification of needs

Commission assessment

Human Resources

The Commission supports a stable number of staff for all categories funded by the EU contribution (establishment posts, Seconded National Experts, contract agents).

Financial Resources

The Commission proposes an EU contribution of EUR 16 946 659 in compliance with the programming of the MFF 2021-2027. Overall, this amount corresponds to the 2021 EU contribution with a 2% indexation.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

No additional staff is requested for 2022.

4.1.2 Vacancy rate as of end 2020

4%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

In line with 2022 Budget circular and an estimate for correction factor in Portugal.

4.1.5 Correction coefficient used

91,1%

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The amount of the EU 2022 subsidy requested by the EMCDDA aims at providing the resources required to deliver on the Agency's mandate and mission, via the effective and full implementation of its 2022 work programme. These resources will allow the EMCDDA not only to cope with the estimated 2022 automatic increase of its staff-related expenditure and running costs, but also to ensure the sustainability of its operations. This comes at a time when the EMCDDA is requested to provide more support to the EU and its Member States in order to address the challenges associated to the increased availability of drugs in the EU, including the effects of the on-going COVID-19 pandemic.

Title 1 appropriations will be used to cover the required remuneration costs for all staff in post and the estimated supplementary expenditure needed to meet the automatic and compulsory adjustments required in 2022. The appropriations will allow to fully deploy the allocated human resources.

4.2.2 Title 2

Title 2 appropriations will be used to finance the essential running costs for the renting and functioning of the EMCDDA premises and infrastructures, as well as for the administrative and IT support services and supplies required for this functioning, pursuant to the conditions resulting from the applicable legislation and contracts in force. This includes the minimum investments needed for the essential maintenance and updating of the critical equipment and infrastructure supporting the EMCDDA operations and ensuring the effectiveness and security of the latter.

4.2.3 Title 3

In the period 2014-2020 the EU budget contribution to the EMCDDA was reduced by about 5% (in 2014, compared to 2013) and the EMCDDA authorised establishment plan was reduced by 10% (72,95% of this plan encompassing posts for operational tasks). The Agency implemented multiple measures to allow the EMCDDA to ensure the essential implementation of its work programmes.

Title 3 ensures the effective execution of planned operational activities and projects aimed at implementing the EMCDDA 2022 work programme, in accordance with the relevant level and order of priority. This will encompass activities required for the development of the EMCDDA data sources and for the proper addressing of the most recent developments of the drug phenomenon, including the effects on the latter of the on-going Covid-19 pandemic.

One of the main actions will be to ensure the maximum possible EMCDDA 2022 co-financing to the Reitox national focal points (NFP) at the same level as in 2021.

4.3 Ad hoc grants and delegation agreements

No new Ad hoc grants and delegation agreements in 2022.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Cais do Sodré, Lisbon, office building, rented	Lisbon, Portugal	5 846	674	6 520	1 015 149	Rental for 25 years with option to buy	Office building	The Host country supported the installation by providing the office furniture for the headquarters.	
TOTAL			5 846	674	6 520	1 015 149				

5.1.2 Current building(s) Other comments

N.A.

5.1.3 Building projects in the planning phase

No new building projects have been planned.

5.1.4 Building projects submitted to the European Parliament and the Council

No new building projects have been submitted.

5.2 European Schools

Not applicable to EMCDDA, as there is no European school in Lisbon.

5.3 Evaluation

In line with Article 23 of the EMCDDA founding Regulation recast, the European Commission shall carry out an external evaluation of the agency every six years and forward the evaluation report to the European Parliament, the Council and the Management Board of the EMCDDA. The previous evaluation was completed in mid-2012. The fourth external evaluation of the agency was completed in November 2018. It covered the last two multi-annual work programmes of the EMCDDA, namely 2013-2015 and 2016-2018.

The main findings of the last evaluation were that EMCDDA appropriately addressed the objectives, tasks and priorities set out in its Regulation, as well as the EU Drugs Strategy, its Action Plans and the European Agenda on Security. The EMCDDA is well recognised and highly regarded as a centre for excellence in providing information on the drug phenomenon, not only in Europe but internationally. The EMCDDA has used the available human and financial resources efficiently to deliver the outputs, outcomes and impacts set out in its work programmes. The evaluation also found a high degree of coherence between the regulatory framework and the objectives and activities set out in the three-year EMCDDA Strategies and annual work programmes.

The objectives and activities of the Centre are coherent with the EU Drugs Strategy and the EU Action Plans on Drugs, and complement those of the European Commission and other EU Agencies. The EMCDDA has an excellent reputation as the main source of comprehensive, scientific and reliable EU-level drug-related information, and is used by national, EU and international stakeholders, especially policy makers, researchers and practitioners.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>The Portuguese Government granted the EMCDDA with diplomatic status by means of the conclusion of a seat agreement on 26th June 1996 (Protocol between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon). Through this Agreement, which entered into force in May 1998, the Portuguese Government applies the Protocol on the Privileges and Immunities of the European Communities to the EMCDDA, exempting the agency from payment of all national, regional or municipal rates and taxes as regards the fixed assets it owns or rents, as well as from customs duties and from any other taxes, prohibitions or restrictions on goods of any kind which it imports or exports in the exercise of its official business (VAT, etc.)</p>	<p>Protocol on the Privileges and Immunities of the European Communities is applicable to EMCDDA staff. The Protocol concluded between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon, grants the EMCDDA staff the privileges and immunities, exemptions and facilities recognised by the Portuguese State to members of a comparable category of the diplomatic corps in Portugal. As a consequence EMCDDA staff is entitled to purchase furniture and /or household aids VAT free. This exemption does not cover expenditure for food supplies and beverages, property works, including materials, water; gas; electricity, food and beverages services; hotels or similar services, fixed line telephone services. Limited exemption is granted from the payment of the Portuguese tax and VAT on the purchase and registration of vehicles.</p>	<p>There is no European or accredited school that can be attended free of charge in the area where the EMCDDA has its seat. As per the Memorandum of Understanding signed in 2004 by the Portuguese Government, the EMCDDA and EMSA concerning the common premises of the two agencies in Lisbon, the Portuguese Government committed itself to do its utmost (jointly with EMSA and EMCDDA) to find the best possible solution for providing schooling for the children of EMSA and EMCDDA staff. In this context it agreed to pursue either the establishment of a European School in Lisbon or the signature of partial agreements between the European School Board and the main international schools in the Lisbon area. However, difficulties have been encountered for the implementation of this solution. In December 2019 the Board of Governors of the European Schools gave its approval to the creation of an Accredited European School in Lisbon. The EMCDDA is providing in synergy with EMSA, its cooperation for the successful implementation of this initiative by the national authorities in charge of this implementation</p>

2.6 Decentralised agencies of Heading 7 – Administration

2.6.1 Translation Centre for the Bodies of the European Union – CDT

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No. 1645/2003	18/06/2003	Rational response to the translation needs of a large number of European agencies and offices
Council Regulation (EC) No. 2610/95	30/10/1995	The active participation of the Centre in inter institutional cooperation
Council Regulation (EC) No. 2965/94	28/11/1994	To meet the translation needs of the other decentralised Community Agencies

1.2 Seat

Luxembourg

1.3 Budget Line

20 10 01 : Translation Centre for the Bodies of the European Union

1.4 Human Resources Overview

The table below presents the total number of posts in the establishment plan, whereas the number of Contract Agents and SNE exclude external personnel financed under ad-hoc grants and delegation agreements (see section 4.3).

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	135	130	96,30%	135	135
Assistants (AST)	56	53	94,64%	56	56
Assistants/Secretaries (AST/SC)	2	2	100,00%	2	2
ESTABLISHMENT PLAN POSTS	193	185	95,85%	193	193
Contract Agents (CA)	28	19	67,86%	28	28
Seconded National Experts (SNE)					
TOTAL STAFF	221	204	92,31%	221	221

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	46 809 300	

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	27 571 500	27 571 500		
Title 2 - Infrastructure and operating expenditure	8 279 600	8 279 600		
Title 3 - Operational expenditure	10 958 200	10 958 200		
Title 10 - Reserves				
TOTAL EXPENDITURE	46 809 300	46 809 300		

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14	1	1	1		1	1	1	1	1	1
AD 13	1		1		1		2		2	
AD 12	16	11	9	3	16	12	16	13	13	6
AD 11	8	5	3	5	10	5	10	6	7	6
AD 10	8	5	4	6	7	5	8	5	8	6
AD 9	5	13	6	6	5	15	6	18	7	18
AD 8		21	6	16		22		22	6	22
AD 7	5	26	7	20	5	28	3	25	1	20
AD 6	1	8	4	19		2				11
AD 5				14				2		
AD TOTAL	45	90	41	89	45	90	46	92	45	90
AST 11										
AST 10	1				1		1		1	
AST 9	3	1	4		3	2	3	2	3	2
AST 8	1	2	1	3	1	1	1	1	1	1
AST 7		4		1	1	5	1	7	1	5
AST 6	1	7	1	8		9		10		10
AST 5	1	20		15	1 (2)	19		19		17
AST 4		12		10		12		11		11
AST 3		3		10		1				4
AST 2										
AST 1										
AST TOTAL	7	49	6	47	7	49	6	50	6	50
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3		1		1		1		1		1
AST/SC 2		1		1		1		1		1
AST/SC 1										
AST/SC TOTAL		2		2		2		2		2
TOTAL	52	141	47	138	52	141	52	144	51	142
GRAND TOTAL	193		185		193		196		193	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020 (1)	2021 estimate	Draft Budget 2022 estimate
Function Group IV	14	9	14	14
Function Group III	9	7	9	14
Function Group II	5	3	5	
Function Group I				
TOTAL	28	19	28	28

(1) *Expressed in FTEs

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	42 949 640	44 228 100	43 393 100		-100%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	628 653	629 900	634 300		-100%
5 ADMINISTRATIVE OPERATIONS	371 022	642 150	102 400		-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES		1 309 150	1 193 600		-100%
TOTAL	43 949 315	46 809 300	45 323 400		-100%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	24 430 427	27 571 500	27 840 200		-100%
Salaries & allowances	20 433 869	23 085 200	23 195 500		-100%
- Of which establishment plan posts	19 141 095	21 159 600	21 250 600		-100%
- Of which external personnel	1 292 774	1 925 600	1 944 900		-100%
Expenditure relating to Staff recruitment	167 059	162 200	210 500		-100%
Employer's pension contributions	3 133 287	3 306 400	3 313 500		-100%
Mission expenses	8 146	98 500	102 400		-100%
Socio-medical infrastructure	544 000	652 900	746 100		-100%
Training	87 487	177 200	180 700		-100%
External Services					
Receptions, events and representation		2 500	2 500		-100%
Social welfare	56 579	86 600	89 000		-100%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 725 502	8 279 600	7 500 000		-100%
Rental of buildings and associated costs	3 051 148	3 018 000	2 499 700		-100%
Information, communication technology and data processing	3 124 150	4 528 600	4 252 800		-100%
Movable property and associated costs	39 148	62 400	51 100		-100%
Current administrative expenditure	202 725	211 400	221 200		-100%
Postage / Telecommunications	173 731	257 700	280 700		-100%
Meeting expenses	9 527	24 000	17 000		-100%
Running costs in connection with operational activities	27 859	57 500	57 500		-100%
Information and publishing	25 264	20 000	20 000		-100%
Studies	71 950	100 000	100 000		-100%
Other infrastructure and operating expenditure					

EXPENDITURE	Commitment appropriations				VAR 2022/2021 (%)
	Executed Budget 2020	Budget 2021	Draft Budget 2022		
			Agency request	Budget forecast	
Title 3 - Operational expenditure	10 961 390	10 958 200	9 983 200		-100%
External translation services	10 073 213	10 220 000	9 310 000		-100%
Expenditure relating to interinstitutional cooperation	705 012	670 700	673 200		-100%
Expenditure linked to the e-Cdt programme	183 165	67 500			-100%
Title 10 - Reserves					
TOTAL	42 117 319	46 809 300	45 323 400		-100%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				VAR 2022/2021 (%)
	Executed Budget 2020	Budget 2021	Draft Budget 2022		
			Agency request	Budget forecast	
Title 1 - Staff expenditure	24 430 427	27 571 500	27 840 200		-100%
Salaries & allowances	20 433 869	23 085 200	23 195 500		-100%
- <i>Of which establishment plan posts</i>	<i>19 141 095</i>	<i>21 159 600</i>	<i>21 250 600</i>		<i>-100%</i>
- <i>Of which external personnel</i>	<i>1 292 774</i>	<i>1 925 600</i>	<i>1 944 900</i>		<i>-100%</i>
Expenditure relating to Staff recruitment	167 059	162 200	210 500		-100%
Employer's pension contributions	3 133 287	3 306 400	3 313 500		-100%
Mission expenses	8 146	98 500	102 400		-100%
Socio-medical infrastructure	544 000	652 900	746 100		-100%
Training	87 487	177 200	180 700		-100%
External Services					
Receptions, events and representation		2 500	2 500		-100%
Social welfare	56 579	86 600	89 000		-100%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 725 502	8 279 600	7 500 000		-100%
Rental of buildings and associated costs	3 051 148	3 018 000	2 499 700		-100%
Information, communication technology and data processing	3 124 150	4 528 600	4 252 800		-100%
Movable property and associated costs	39 148	62 400	51 100		-100%
Current administrative expenditure	202 725	211 400	221 200		-100%
Postage / Telecommunications	173 731	257 700	280 700		-100%
Meeting expenses	9 527	24 000	17 000		-100%
Running costs in connection with operational activities	27 859	57 500	57 500		-100%
Information and publishing	25 264	20 000	20 000		-100%
Studies	71 950	100 000	100 000		-100%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	10 961 390	10 958 200	9 983 200		-100%
External translation services	10 073 213	10 220 000	9 310 000		-100%

EXPENDITURE	Payment appropriations				VAR 2022/2021 (%)
	Executed Budget 2020	Budget 2021	Draft Budget 2022		
			Agency request	Budget forecast	
Expenditure relating to interinstitutional cooperation	705 012	670 700	673 200		-100%
Expenditure linked to the e-Cdt programme	183 165	67 500			-100%
Title 10 - Reserves					
TOTAL	42 117 319	46 809 300	45 323 400		-100%

3.3 Budget Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR N/A

4 Justification of needs

Commission assessment

Human Resources

The Commission does not support the request for an additional 3 posts due to the overall need to maintain stable staffing under the current MFF.

In addition, the legislative financial statement (LFS) accompanying the Council Regulation 2015/2264 on the phasing out of the derogation for translation into Irish did not include any staffing request for the CDT. The Commission has strictly followed this LFS in the allocation of additional posts for the Irish language, not only for the Commission, but also for all institutions.

Financial Resources

The CdT is self-financed and does not require any contribution from the Commission section of the budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

At the end of 2020, the Translation Centre (CdT) employed 185 staff, namely 47 officials and 138 temporary staff. Following a decision by the budgetary authority, the establishment plan 2020 remained at the level of 193 posts. In order to achieve cost efficiency gains, the CdT proactively started optimising its structure from 2009 and progressively decreased its establishment plan posts from 233 posts in 2009 to 193 posts in 2018. There were no reduction in the establishment plan posts in 2019 and 2020. The CdT has fully complied with the Communication from the Commission to the Parliament and the Council (COM (519)2013) on Programming of human and financial resources for decentralised agencies 2014-2020. For the future the CdT has to retain its critical mass of 193 establishment plan posts to function efficiently and thus be able to react promptly to clients' requests and fulfil its mission to provide high-quality linguistic services to its stakeholders. The planned number of contract staff for 2020 has been reduced to 28 following the request of the Commission.

In the next years (2022-2024) the CdT will face a certain stability in terms of staff resources, after the addition as of 2022 of three additional staff members for the creation of the Irish language translation group, which will also rely on the support of existing resources. Over the past number of years, the CdT modified its establishment plan by redeploying posts, either permanently or temporarily, and by reducing the number of establishment plan posts. In particular, the CdT has internally redeployed resources to its core business insofar as possible. Reshuffling the staff within the organisation in order to gain better efficiency and cope with the growth of existing tasks will be also considered. In this respect one existing Irish language translator, who is currently integrated into the English language group, will be redeployed from the English to the newly created Irish language group.

4.1.2 Vacancy rate as of end 2019

The vacancy rate for officials and temporary staff for 2020 stood at 4.15%

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The Centre's budget includes the possibility for annual salary adjustments, estimated at 1% per year.

4.1.5 Correction coefficient used

Not applicable

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for Title 1, 'Staff', in 2022 is EUR 27 840 200, 1.0% higher (EUR 268 700) than that for 2021. The Centre's budget provides for possible annual salary adjustments, estimated at 1% per annum, as well as biennial step advancements and promotions. The budget 2022 is based on an establishment plan of 196 posts, including three additional posts for the Irish language group. The budget also includes the Centre's financial contribution to the European Schools. The full contribution is estimated at around EUR 360 000, which will be made from 2022 onwards. The budget also takes into account factors such as the turnover ratio and staff work patterns. The estimates for 2023 and 2024 follow the same assumptions with an establishment plan of 196 posts, and reach EUR 28 156 300 and EUR 28 482 900, respectively.

4.2.2 Title 2

The budget for Title 2, 'Buildings, equipment and miscellaneous operating expenditure', is EUR 7 500 000 in 2022. It has decreased by 9.4% compared with 2021. The decrease is mainly due to the new negotiation related to the reduction of the surface of the building and the plan to increase the teleworking.

The expenditure in Title 2 includes expenditure for the hosting of a data centre for ERA. In conformity with the principle of universality, the revenue received from ERA is recorded as revenue, as opposed to offsetting the expenditure.

In 2023 and 2024, the Centre estimates that expenditure in Title 2 will reach EUR 7 136 100 and EUR 7 216 900, respectively. This reflects IT investments which are required in order to respond to clients' needs through the implementation of the actions set out in this programming document, the increase of the teleworking, the new negotiation related to the reduction of the surface of the building and includes a limited margin for indexations of various goods and services.

4.2.3 Title 3

The budget for Title 3, 'Operational expenditure', covers the outsourcing of translation services and technical services relating to language services and interinstitutional cooperation. It amounts to EUR 9 983 200 in 2022, which is lower in comparison with the 2021 budget. It is EUR 978 189 less (- 8.9%) than the 2020 outturn. In 2023 and 2024, the Centre estimates that expenditure in Title 3 will reach EUR 9 052 000 and EUR 8 806 200, respectively.

The budget for external translation services constitutes the main part of the title's budget, namely 92.7% in 2022. The actual cost of these services depends on a number of factors, such as languages combinations of client's demands, changes in the ranking of suppliers under existing framework contracts and the prices agreed under future framework contracts, which also depend on economic developments in the Member States. The budget is estimated at

EUR 9 250 000 in 2022, EUR 8 315 000 in 2023 and EUR 8 060 000 in 2024. The amounts are in line with the forecast volumes and with the Centre's expectation that the investment in translation technologies will have a positive impact on the expenditure for external translation services. Clearly, real expenditure will heavily depend on the actual demand from the Centre's clients and the actual impact of translation technologies.

The forecast for interinstitutional cooperation in 2022 is EUR 673 200, that is 0.4% higher than in 2021, reflecting the plans for the IATE terminology database and the forecasts provided for the other interinstitutional tools. In 2023 and 2024, forecast expenditure reaches EUR 677 000 and EUR 686 200. To a large extent, these amounts are matched by the revenue the Centre receives for its services within the context of interinstitutional cooperation.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Bâtiment HELIOS	12E, rue Guillaume Kroll L-1882 Luxembourg Luxembourg	5 745	1 568	7 313	1 951 687	Until October 2021 (the end of the rental contract)	Rental contract for office space and parking space Fixed term lease	Subvention from the Luxembourg government of EUR 243 250 up to 2020	
TOTAL			5 745	1 568	7 313	1 951 687				

5.1.2 Current building(s) Other comments

Not applicable

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 European Schools

The staff members of the Centre have access to the two European schools in Luxembourg. The Centre agreed on an SLA with DG HR and will start paying a financial contribution for the schooling of children in the European schools I and II in Luxembourg on a pro-rata basis as from 2020.

5.3 Evaluation

The Centre's performance monitoring system is based on the alignment of the business objectives stated in the Centre's Strategy. In accordance with standard operating procedures, the Centre's management tracks the Centre's progress on the implementation of its work programmes and multi-year initiatives by conducting quarterly performance reviews of

department dashboards, the mid-term Strategy review, the Centre's scorecard, and the Centre's risk register. The Centre's management also assesses its internal control system on an annual basis. The IT Steering Committee screens, approves and monitors improvement projects on a monthly basis. Budget analyses are reported to the Centre's Director on a monthly basis. In line with its Financial Regulation, the Centre undertakes ex ante and ex post evaluations of programmes and activities that entail significant spending. The Director reports on current developments and all issues of importance to the management board and in particular, in the form of an Annual Activity Report. The latter mirrors the structure of the Centre's work programme and provides all the necessary indicators to facilitate the management board's oversight duties. The Centre is audited on an annual basis by the Court of Auditors and by the Commission's Internal Audit Service.

A study on the Centre as the linguistic shared service provider for the EU agencies and bodies was undertaken by external consultants during 2017 and 2018 and it comprised an evaluation of the Centre. The Study contains 35 recommendations that will form the basis of the Centre's transformation plan to be implemented in 2019-2020 with a view to deploying new services in the context of the relevant business model in 2021.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In July 2014, the Centre signed a headquarters agreement with the host country, the Grand Duchy of Luxembourg. The Centre, its property, funding and assets enjoy immunity in Luxembourg from every form of judicial process. The Centre's premises are inviolable.</p> <p>Within the scope of its official activities, the Centre, its assets, income, property and its operations and transactions authorised by Regulation (EC) No 2965/94 are exempt from all forms of taxation, present and future</p>	<p>Every 36 months, officials and other servants (temporary and contract staff) may purchase a car with a temporary exemption of VAT in the Grand Duchy of Luxembourg or in another EU Member State. The staff member must have a contract with the Centre (or an Institutions in Luxembourg) of at least six months and live in Luxembourg. The exemption is granted subject to reimbursement of the VAT relating to the selling of the previous car purchase with temporary VAT exemption. If the staff member leaves the Centre or moves abroad, he/she will have to reimburse VAT on the car.</p>	<p>The Centre's staff benefit from all the facilities available to staff of the other institutions located in Luxembourg, namely: the European Parliament nurseries, private nurseries, after-school childcare and the Study Centre managed by the European Commission's Office for Infrastructure and Logistics (OIL), the European Schools, international schools, the French secondary school, the Luxembourg state nursery and primary schools, Luxembourg secondary schools and training centres and the University of Luxembourg.</p> <p>The Centre applies all the provisions laid down in the Staff Regulations (annex VII) and in the general implementing rules regarding the education allowance. The education allowance is paid by the Centre and is granted to officials, temporary and contract staff, and to other beneficiaries legally entitled to this allowance.</p>

2.7 Fully self-financed decentralised agencies

The individual budgetary financial statements of the fully self-financed decentralised agencies are included for information purposes only and reflect the views and information as submitted by these agencies and adopted by the Agencies' Budget Committees.

2.7.1 Single Resolution Board – SRB

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 806/2014 on the Single Resolution Mechanism	15/07/2015	The Board will carry out specified tasks concerning the preparation for the resolution of credit institutions and their actual resolution where they are failing or likely to fail.

1.2 Seat

Brussels Belgium

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	325	303	93,23%	370	370
Assistants (AST)	51	45	88,24%	51	51
Assistants/Secretaries (AST/SC)	24	24	100,00%	29	29
ESTABLISHMENT PLAN POSTS	400	372	93,00%	450	450
Contract Agents (CA)					
Seconded National Experts (SNE)	35	19	54,29%	35	35
TOTAL STAFF	435	391	89,89%	485	485

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	9 693 339 325	11 546 690 212

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	56 780 000	56 780 000	58 600 000	58 600 000
Title 2 - Infrastructure and operating expenditure	16 650 000	16 650 000	17 425 000	17 425 000
Title 3 - Operational expenditure	51 840 000	45 570 000	49 728 250	46 275 000
Title 4 - Single Resolution Fund	9 574 339 325	9 574 339 325	11 424 390 212	11 424 390 212
TOTAL EXPENDITURE	9 699 609 325	9 693 339 325	11 550 143 462	11 546 690 212

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13		6				6		6		6
AD 12		9		4		9		9		9
AD 11		13		6		13		13		13
AD 10		17		8		17		19		19
AD 9		55		28		55		57		57
AD 8		65		55		75		75		75
AD 7		65		50		70		71		71
AD 6		66		87		80		77		77
AD 5		29		65		45		43		43
AD TOTAL		325		303		370		370		370
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		1				2		3		3
AST 5		7		3		7		8		8
AST 4		24		18		28		27		27
AST 3		14		21		10		9		9
AST 2		3		3		4		4		4
AST 1		2								
AST TOTAL		51		45		51		51		51
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3		12				12		12		12
AST/SC 2		9		11		9		9		9
AST/SC 1		3		13		8		8		8
AST/SC TOTAL		24		24		29		29		29
TOTAL		400		372		450		450		450
GRAND TOTAL		400		372		450		450		450

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV				
Function Group III				
Function Group II				
Function Group I				
TOTAL				

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	35	19	35	35

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	67 331 926	119 000 000	122 300 000	122 300 000	2,77%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	8 618 869 152	9 574 339 325	11 424 390 212	11 424 390 212	19,32%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	8 686 201 078	9 693 339 325	11 546 690 212	11 546 690 212	19,12%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	46 267 593	56 780 000	58 600 000	58 600 000	3,21%
Salaries & allowances	37 164 384	45 726 000	46 437 000	46 437 000	1,55%
- Of which establishment plan posts	35 956 367	43 826 000	44 812 000	44 812 000	2,25%
- Of which external personnel	1 208 017	1 900 000	1 625 000	1 625 000	-14,47%
Expenditure relating to Staff recruitment	890 219	1 150 000	1 180 000	1 180 000	2,61%
Employer's pension contributions	5 250 975	6 020 000	6 563 000	6 563 000	9,02%
Mission expenses	1 160	20 000	10 000	10 000	-50,00%
Socio-medical infrastructure	1 102 387	1 675 000	1 720 000	1 720 000	2,69%
Training	233 808	625 500	735 000	735 000	17,51%
External Services	1 623 660	1 562 500	1 954 000	1 954 000	25,06%
Receptions, events and representation	1 000	1 000	1 000	1 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	12 481 234	16 650 000	17 425 000	17 425 000	4,65%
Rental of buildings and associated costs	4 965 024	7 648 500	7 475 000	7 475 000	-2,27%
Information, communication technology and data processing	4 640 025	5 400 000	6 200 000	6 200 000	14,81%
Movable property and associated costs	988 998	1 383 500	1 400 000	1 400 000	1,19%
Current administrative expenditure	1 223 730	1 360 000	1 400 000	1 400 000	2,94%
Postage / Telecommunications	663 457	858 000	950 000	950 000	10,72%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	22 884 524	51 840 000	49 728 250	49 728 250	-4,07%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Chapter 30 - SRB operations					
Plenary and executive sessions of the Board					
Appeal panel					
Communication, publication, translation					
Operational mission expenses					
Operation meetings expenses					
IT tools					
Support activities to the Fund					
Studies and consultancy					
Other operation expenditure					
Crisis contingency					
Chapter 31 - SRB operations	12 848 351	21 790 000	19 678 250	19 678 250	-9,69%
Governance	34 552	125 000	125 000	125 000	0,00%
Support activities to the Fund	3 082 493	7 020 000	4 335 000	4 335 000	-38,25%
Resolution readiness		480 000	530 000	530 000	10,42%
Resolution Framework	209 800	50 000	50 000	50 000	0,00%
Communications	1 021 390	2 550 000	2 550 000	2 550 000	0,00%
Missions	193 938	1 100 000	1 100 000	1 100 000	0,00%
Operational ICT	8 306 178	10 465 000	10 988 250	10 988 250	5,00%
Chapter 32 - Contingencies	10 036 173	30 050 000	30 050 000	30 050 000	0,00%
Appeal panel	213 333	1 000 000	1 000 000	1 000 000	0,00%
Communications during crisis		1 000 000	1 000 000	1 000 000	0,00%
Contingency for the Fund		3 000 000	3 000 000	3 000 000	0,00%
Legal and litigation	5 321 840	10 000 000	10 000 000	10 000 000	0,00%
Consultancy and advice	4 501 000	15 050 000	15 050 000	15 050 000	0,00%
Title 4 - Single Resolution Fund	148 211 667	9 574 339 325	11 424 390 212	11 424 390 212	19,32%
Usage of the Fund within Resolution Schemes					
Investments		9 414 783 805	11 143 964 093	11 143 964 093	18,37%
Investments returns	148 206 280	149 402 816	279 850 119	279 850 119	87,31%
Interest paid on loans in accordance with SRM Article 72(1)					
Interest paid on loans in accordance with SRM Articles 73 and 74					
Bank and other financial charges	5 387	5 700	6 000	6 000	5,26%
Commitment fees on bridge financing arrangements		10 147 004	570 000	570 000	-94,38%
Negative budget result from the previous year					
Refunds					
Other operating expenditures					
TOTAL	229 845 018	9 699 609 325	11 550 143 462	11 550 143 462	19,08%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	45 900 148	56 780 000	58 600 000	58 600 000	3,21%
Salaries & allowances	37 164 382	45 726 000	46 437 000	46 437 000	1,55%
- Of which establishment plan posts	35 956 365	43 826 000	44 812 000	44 812 000	2,25%
- Of which external personnel	1 208 017	1 900 000	1 625 000	1 625 000	-14,47%
Expenditure relating to Staff recruitment	880 479	1 150 000	1 180 000	1 180 000	2,61%
Employer's pension contributions	5 250 971	6 020 000	6 563 000	6 563 000	9,02%
Mission expenses	1 160	20 000	10 000	10 000	-50,00%
Socio-medical infrastructure	979 103	1 675 000	1 720 000	1 720 000	2,69%
Training	216 282	625 500	735 000	735 000	17,51%
External Services	1 407 771	1 562 500	1 954 000	1 954 000	25,06%
Receptions, events and representation		1 000	1 000	1 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	10 442 984	16 650 000	17 425 000	17 425 000	4,65%
Rental of buildings and associated costs	4 855 805	7 648 500	7 475 000	7 475 000	-2,27%
Information, communication technology and data processing	3 849 433	5 400 000	6 200 000	6 200 000	14,81%
Movable property and associated costs	570 046	1 383 500	1 400 000	1 400 000	1,19%
Current administrative expenditure	717 957	1 360 000	1 400 000	1 400 000	2,94%
Postage / Telecommunications	449 743	858 000	950 000	950 000	10,72%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	14 139 162	45 570 000	46 275 000	46 275 000	1,55%
Chapter 30 - SRB operations					
Plenary and executive sessions of the Board					
Appeal panel					
Communication, publication, translation					
Operational mission expenses					
Operation meetings expenses					
IT tools					
Support activities to the Fund					
Studies and consultancy					
Other operation expenditure					
Crisis contingency					
Chapter 31 - SRB operations	11 873 130	15 520 000	16 225 000	16 225 000	4,54%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Governance	26 052	125 000	125 000	125 000	0,00%
Support activities to the Fund	2 489 741	4 819 000	5 175 000	5 175 000	7,39%
Resolution readiness	65 235	330 000	370 000	370 000	12,12%
Resolution Framework	70 860	50 000	50 000	50 000	0,00%
Communications	992 652	1 770 500	1 770 500	1 770 500	0,00%
Missions	143 938	1 100 000	1 100 000	1 100 000	0,00%
Operational ICT	8 084 652	7 325 500	7 634 500	7 634 500	4,22%
Chapter 32 - Contingencies	2 266 032	30 050 000	30 050 000	30 050 000	0,00%
Appeal panel	135 986	1 000 000	1 000 000	1 000 000	0,00%
Communications during crisis		1 000 000	1 000 000	1 000 000	0,00%
Contingency for the Fund		3 000 000	3 000 000	3 000 000	0,00%
Legal and litigation	1 644 016	10 000 000	10 000 000	10 000 000	0,00%
Consultancy and advice	486 030	15 050 000	15 050 000	15 050 000	0,00%
Title 4 - Single Resolution Fund	96 384 465	9 574 339 325	11 424 390 212	11 424 390 212	19,32%
Usage of the Fund within Resolution Schemes					
Investments		9 414 783 805	11 143 964 093	11 143 964 093	18,37%
Investments returns	96 380 255	149 402 816	279 850 119	279 850 119	87,31%
Interest paid on loans in accordance with SRM Article 72(1)					
Interest paid on loans in accordance with SRM Articles 73 and 74					
Bank and other financial charges	4 210	5 700	6 000	6 000	5,26%
Commitment fees on bridge financing arrangements		10 147 004	570 000	570 000	-94,38%
Negative budget result from the previous year					
Refunds					
Other operating expenditures					
TOTAL	166 866 759	9 693 339 325	11 546 690 212	11 546 690 212	19,12%

3.3 Budget Outturn

First estimate of the 2020 budget outturn that should reduce the contributions to be levied for 2022: EUR 45 387 680

4 Justification of needs

Commission assessment

Human Resources

The Commission takes note of the SRB's staffing at 450 Temporary Agent posts and 35 Seconded National Expert posts.

Financial Resources

The Commission takes note of SRB's 2022 draft budget consisting of a total of EUR 11 546 690 212 of commitment and payment appropriations.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of SRB temporary staff foreseen in the establishment plan 2022 will be 450.

4.1.2 Vacancy rate as of end 2020

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Treurenberg (T-22)	Rue Treurenberg 22 1000 Brussels Belgium	4 064	5 748	9 812	2 947 333	15 years (until 2031)	Usufruct	n/a	
TOTAL			4 064	5 748	9 812	2 947 333				

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European Schools

In reference to Commission's Decision C(2013) 4886 of 01/08/2013 and the additional agreement signed between SRB and the European Commission on 27/5/2015 in respect to the allocation of costs related to the European Schools, SRB's 2021 draft budget includes the European School contribution amounts related to SRB's staff pupils.

5.3 Evaluation

In accordance with the SRM Article 94, by 31 December 2018, and every three years thereafter, the Commission shall publish a report on the application of the SRM.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Protocol No 7 on the Privileges and Immunities of the European Union annexed to the TEU and to the TFEU shall apply to the Board and its staff		

2.7.2 Community Plant Variety Office – CPVO

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation 2100/94	27/07/1994	EU Plant Variety Rights

1.2 Seat

Angers, France

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	15	17	113,33%	17	23
Assistants (AST)	28	27	96,43%	28	25
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	43	44	102,33%	45	48
Contract Agents (CA)	5	4	80,00%	5	6
Seconded National Experts (SNE)					1
TOTAL STAFF	48	48	100,00%	50	55

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	19 323 000	20 269 500

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	8 787 000	8 787 000	9 097 500	9 097 500
Title 2 - Infrastructure and operating expenditure	1 916 000	1 916 000	1 747 000	1 747 000
Title 3 - Operational expenditure	9 335 000	8 620 000	10 320 000	9 425 000
TOTAL EXPENDITURE	20 038 000	19 323 000	21 164 500	20 269 500

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1				
AD 14	1		1		1		1	1	1	1
AD 13	1	1			1	1	1	2	1	2
AD 12		1	1	2		1				
AD 11	1				1		1		1	
AD 10	2	1	2	1	3	1	1	3	1	3
AD 9		1		1		2		2		2
AD 8		1		2		1				
AD 7		2		1		3		5		5
AD 6		2		5		1		6		6
AD 5										
AD TOTAL	5	10	4	13	6	11	4	19	4	19
AST 11								1		1
AST 10	1	3		3	1	3	1	2	1	2
AST 9	1	4	1	3		4		4		4
AST 8		2		2		2	1	2	1	2
AST 7	2	5	2	5	2	7		8		8
AST 6		5		7		4		2		2
AST 5		3		3		4		3		3
AST 4		2		1		1		1		1
AST 3										
AST 2										
AST 1										
AST TOTAL	4	24	3	24	3	25	2	23	2	23
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	9	34	7	37	9	36	6	42	6	42
GRAND TOTAL	43		44		45		48		48	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	5	3	4	4
Function Group III		1	1	2
Function Group II				
Function Group I				
TOTAL	5	4	5	6

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				1

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	18 158 286	18 910 000	19 780 000	19 780 000	4,60%
2 EU CONTRIBUTION					
<i>- Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
<i>- Of which EEA/EFTA (excl. Switzerland)</i>					
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	42 377	150 000	70 000	70 000	-53,33%
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES	337 397	263 000	419 500	419 500	59,51%
TOTAL	18 538 060	19 323 000	20 269 500	20 269 500	4,90%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	7 619 870	8 787 000	9 097 500	9 097 500	3,53%
Salaries & allowances	6 265 376	7 070 000	7 325 000	7 325 000	3,61%
- Of which establishment plan posts	5 811 130	6 660 000	6 810 000	6 810 000	2,25%
- Of which external personnel	454 246	410 000	515 000	515 000	25,61%
Expenditure relating to Staff recruitment	70 116	75 000	40 000	40 000	-46,67%
Employer's pension contributions	830 675	854 000	1 091 000	1 091 000	27,75%
Mission expenses	38 989	250 000	150 000	150 000	-40,00%
Socio-medical infrastructure	18 627	25 000	17 000	17 000	-32,00%
Training	54 443	110 000	117 000	117 000	6,36%
External Services	84 267	80 000	50 000	50 000	-37,50%
Receptions, events and representation	1 838	5 000	5 000	5 000	0,00%
Social welfare	9 610	40 000	41 500	41 500	3,75%
Other Staff related expenditure	245 929	278 000	261 000	261 000	-6,12%
Title 2 - Infrastructure and operating expenditure	1 250 039	1 916 000	1 747 000	1 747 000	-8,82%
Rental of buildings and associated costs	410 935	356 000	392 000	392 000	10,11%
Information, communication technology and data processing	651 472	800 000	800 000	800 000	0,00%
Movable property and associated costs	17 797	55 000	34 000	34 000	-38,18%
Current administrative expenditure	26 321	58 000	41 000	41 000	-29,31%
Postage / Telecommunications	61 717	92 000	76 000	76 000	-17,39%
Meeting expenses	14 610	345 000	254 000	254 000	-26,38%
Running costs in connection with operational activities					
Information and publishing					
Studies	67 187	210 000	150 000	150 000	-28,57%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	9 340 310	9 335 000	10 320 000	10 320 000	10,55%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
TOTAL	18 210 219	20 038 000	21 164 500	21 164 500	5,62%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	7 619 870	8 787 000	9 097 500	9 097 500	3,53%
Salaries & allowances	6 265 376	7 070 000	7 325 000	7 325 000	3,61%
- <i>Of which establishment plan posts</i>	5 811 130	6 660 000	6 810 000	6 810 000	2,25%
- <i>Of which external personnel</i>	454 246	410 000	515 000	515 000	25,61%
Expenditure relating to Staff recruitment	70 116	75 000	40 000	40 000	-46,67%
Employer's pension contributions	830 675	854 000	1 091 000	1 091 000	27,75%
Mission expenses	38 989	250 000	150 000	150 000	-40,00%
Socio-medical infrastructure	18 627	25 000	17 000	17 000	-32,00%
Training	54 443	110 000	117 000	117 000	6,36%
External Services	84 267	80 000	50 000	50 000	-37,50%
Receptions, events and representation	1 838	5 000	5 000	5 000	0,00%
Social welfare	9 610	40 000	41 500	41 500	3,75%
Other Staff related expenditure	245 929	278 000	261 000	261 000	-6,12%
Title 2 - Infrastructure and operating expenditure	1 250 039	1 916 000	1 747 000	1 747 000	-8,82%
Rental of buildings and associated costs	410 935	356 000	392 000	392 000	10,11%
Information, communication technology and data processing	651 472	800 000	800 000	800 000	0,00%
Movable property and associated costs	17 797	55 000	34 000	34 000	-38,18%
Current administrative expenditure	26 321	58 000	41 000	41 000	-29,31%
Postage / Telecommunications	61 717	92 000	76 000	76 000	-17,39%
Meeting expenses	14 610	345 000	254 000	254 000	-26,38%
Running costs in connection with operational activities					
Information and publishing					
Studies	67 187	210 000	150 000	150 000	-28,57%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	9 668 150	8 620 000	9 425 000	9 425 000	9,34%
TOTAL	18 538 059	19 323 000	20 269 500	20 269 500	4,90%

3.3 Budget Outturn

N/a

4 Justification of needs

Commission assessment

Human Resources

The Commission acknowledges that CPVO applies a very prudent approach to management of human and financial resources, with all amounts approved in advance by the Administrative Council.

The Administrative Council of the CPVO is the Budgetary Authority, and decides on the establishment plan. For 2022 the CPVO proposes to increase the total FTEs from 52 to 55 FTE, an increase of 3 Temporary Agents due to increased workload (i.e the increased number of titles in force and debit notes processed, the significant backlog of IT work to be carried out, for which a unit has now been put in place).

The CPVO foresees the replacement of the contract agent posts by temporary agents (AD level) as of 2024. For the moment, the Agency's policy on contract agents is to offer 3-year contracts – shorter than for TA, but attractive for recruitment.

Financial Resources

The CPVO is a self-financed, demand-driven agency which receives no money from the European Union General Budget, so no EU subsidy is being paid.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Prior to the outbreak of COVID-19, the CPVO foresaw that staff increase to 48 staff in 2021 and 51 in 2022, with a progressive reduction in contract agents following contract expiry. This was to allow the CPVO to face the upcoming challenges posed by a wave of retirements in the coming 4-6 years. The uncertainty brought about by COVID, incited the CPVO to put the increase in the establishment plan on hold for 2021 and to review the evolution of the demand for new applications and the maintenance of titles in force before making a request to increase. As it can be seen in the present document, the COVID pandemic did not significantly affect applications in 2020, with stable levels recorded among the highest when compared with previous years. In this regard, the projections for 2022 will be for an increase of 3 posts with a further increase of 3 projected for 2023.

Significant increases in workload can be seen through the number of titles in force and debit notes processed. Increases in expectations in communication and international cooperation have recently been seen, a reason being the digital transformation that the CPVO/EU is going through.

4.1.2 Vacancy rate as of end 2020

n/a

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

n/a

4.1.5 Correction coefficient used

n/a

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - Agency request

4.2.1 Title 1

n/a

4.2.2 Title 2

n/a

4.2.3 Title 3

n/a

4.3 Ad hoc grants and delegation agreements

n/a

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Immeuble HBM	3 Boulevard Foch, 49000 Angers			930				France Owner CPVO	:
2	Immeuble Foch 9	9 boulevard Foch, 49000 Angers			660				France Owner CPVO	:
3	Co-propriété MB-2	3bis Boulevard Foch, 49000 Angers			216				France Owner CPVO	:
4	Co-propriété MB-RdC	3 bis Boulevard Foch, 49000 Angers			60,7				France Owner CPVO	:
TOTAL					1 866,7					

5.1.2 Current building(s) Other comments

n/a

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

n/a

5.2 European Schools

Due to the location of the CPVO, there is limited accessibility and a lack of European or international schools.

5.3 Evaluation

The CPVO organized regularly and at least every six years, an evaluation of its activities.

An evaluation was organized in 2016-2017. The next ex post evaluation will be launched in 2021.

5.4 Privileges and immunities

The Basic Regulation of the CPVO refers to the Protocol on Privileges and Immunities of the European Communities. The CPVO does not have a Seat Agreement with the French Government. The CPVO has made numerous attempts to secure a Seat Agreement with the French Government. Unfortunately, such efforts have not resulted in any meaningful interaction or engagement from the French Government. The CPVO will continue to seek such an agreement.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>Regulation (EU) 2017/1001 of the European Parliament and of the Council of 14 June 2017 on the European Union trade mark, OJ L 154, 16.6.2017, p. 1–99</p>	<p>OJ L 154, 16.6.2017, p. 1–99</p>	<p>The Office was established in 1994 to manage the EU Trade Mark, created through Council Regulation (EC) No 40/94, and, from 2003, the Registered Community Design (RCD), created by Council Regulation (EC) No 6/2002 in order to improve the creation of a single market and to harmonise the protection of industrial property within the European Union.</p> <p>Its initial founding Regulation was revised for the last time by Regulation (EU) 2017/1001 (EUTMR) of the European Parliament and of the Council.</p> <p>The Office carries out examination, registration, opposition and cancellation procedures for EUTMs and examination, registration and invalidity procedures for RCDs. All decisions adversely affecting a party to proceedings can be appealed to the Boards of Appeal of the Office. In order to further support IP rights holders, the Office engages in a wide range of cooperation and convergence activities with other IP offices.</p> <p>In recent years, the Office has been entrusted with new tasks. It houses the European Observatory on Infringements of Intellectual Property Rights.</p> <p>It acts, on behalf of the Commission, as Implementing Agency for EU-funded projects in China, Latin America, ASEAN region, Caribbean, Georgia and Pan-Africa.</p> <p>EU trade marks and designs are industrial property titles which enjoy uniform protection throughout the entire area of the EU.</p> <p>On 23 March 2016, the name of the Office became EUIPO, the European Union Intellectual Property Office (Article 2 of Regulation (EU) 2017/1001).</p> <p>The tasks of EUIPO are defined in Article 151 of Regulation (EU) 2017/1001 as follows:</p> <ul style="list-style-type: none"> - <u>Trade marks</u>: administration and promotion of the EU trade mark system established in Regulation (EU) 2017/1001 - <u>Community Designs</u>: administration and promotion of the European Union design system established in Council Regulation (EC) No 6/2002 - <u>Cooperation and convergence activities</u>: promoting convergence of practices and tools in the fields of trade marks and designs in cooperation with the central industrial property offices in the Member States, including the Benelux Office for Intellectual Property.

		<p>- <u>Enforcement of intellectual property rights according to Regulation (EU) No 386/2012</u>: through the European Observatory on Infringements of Intellectual Property Rights, the Office also covers matters relating to the enforcement of intellectual property rights, fighting against piracy and counterfeiting and aims to help businesses, especially small businesses, access and use intellectual property rights more effectively.</p> <p>- <u>Trade secrets</u>: By 9 June 2021, the EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, shall prepare an initial report on the litigation trends regarding the unlawful acquisition, use or disclosure of trade secrets pursuant to the application of the Directive (EU) 2016/943. In addition, in order to review whether those measures fulfil their intended objective, the EUIPO will assist the Commission in examining the application of the Directive (EU) 2016/943 and the effectiveness of the national measures taken.</p> <p>- <u>Orphan works</u>: creation of a single online database for the Union containing such information and for making it available to the public at large in a transparent manner.</p> <p>- <u>Out-of-commerce works</u>: the establishment and management of a public single online portal for the out-of-commerce works.</p> <p>The Office also cooperates with institutions, authorities, bodies, industrial property offices, international and non- governmental organisations in relation to the tasks conferred on it in Article 151 paragraph 1 of Regulation (EU) 2017/1001.</p> <p>The Office may provide voluntary mediation services for the purpose of assisting parties in reaching a friendly settlement.</p>
Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No 6/2002 of 12 December 2001 on Community designs	OJ L 003 05.01.2002 p. 1–24	<p>A unified system for obtaining a Community design to which uniform protection is given with uniform effect throughout the entire territory of the EU would further the objectives of the EU as laid down in the Treaty on the Functioning of the European Union.</p> <p>This Regulation extended the scope of the Office to Community Designs. The first Community Design applications were filed in 2003.</p>
Decision	Date	Mission / Tasks / Functions
Regulation (EU) No 386/2012 of the European Parliament and of the Council of 19 April 2012 on entrusting the Office for Harmonization in the Internal Market (Trade Marks and Designs) with tasks related to the enforcement of intellectual property rights, including the assembling of public and private-sector representatives as a European Observatory on Infringements of Intellectual Property Rights	OJ L 129, 16.5.2012, p. 1–6	<p>Under Regulation (EU) No 386/2012, the Office is entrusted with certain tasks and activities, financed by making use of its own budgetary means, aimed at facilitating and supporting the activities of national authorities, the private sector and the Union institutions in the fight against, including the prevention of intellectual property rights infringement.</p> <p>The European Observatory on Infringements of Intellectual Property Rights was entrusted fully to the EUIPO on 5 June 2012 and covers matters relating to the enforcement of intellectual property rights, fighting against piracy and counterfeiting and aims to help businesses, especially small businesses, access and use intellectual property rights more effectively.</p>

Regulation	Date	Mission / Tasks / Functions
Regulation (EU) No 608/2013 of the European Parliament and of the Council of 12 June 2013 concerning customs enforcement of intellectual property rights and repealing Council Regulation (EC) No 1383/2003	OJ L 181, 29.6.2013, p. 15–34	<p>The EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, has the task to collect, analyse and disseminate relevant objective, comparable and reliable data regarding customs enforcement of intellectual property rights.</p> <p>This Regulation was complemented by Implementing Regulation (EU) No 1352/2013 establishing the forms provided for in Regulation (EU) No 608/2013 of the European Parliament and of the Council concerning customs enforcement of intellectual property rights, as amended by Commission Implementing Regulation (EU) 2020/1209 of 13 August 2020.</p>
Decision	Date	Mission / Tasks / Functions
Directive 2012/28/EU of the European Parliament and of the Council of 25 October 2012 on certain permitted uses of orphan works	OJ L 299, 27.10.2012, p. 5–12	<p>The Office manages the Orphan Works Database designed to make provision for the creation of a single online database for the Union containing such information and for making it available to the public at large in a transparent manner and thus allowing Europe's cultural heritage to be more accessible.</p> <p>Since the Observatory's tasks include providing mechanisms which help to improve the online exchange of relevant information between the Member States' authorities concerned and fostering cooperation between those authorities, it is therefore appropriate to rely on the Office to establish and manage the European database containing information related to orphan works referred to in this Directive.</p>
Decision	Date	Mission / Tasks / Functions
Directive (EU) 2016/943 of the European Parliament and of the Council of 8 June 2016 on the protection of undisclosed know-how and business information (trade secrets) against their unlawful acquisition, use and disclosure	OJ L 157, 15.6.2016 p. 1-18	By 9 June 2021, the EUIPO, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, shall prepare an initial report on the litigation trends regarding the unlawful acquisition, use or disclosure of trade secrets pursuant to the application of the Directive (EU) 2016/943. In addition, in order to review whether those measures fulfil their intended objective, the EUIPO will assist the Commission in examining the application of this Directive and the effectiveness of the national measures taken.
Decision	Date	Mission / Tasks / Functions
Directive (EU) 2019/790 of the European Parliament and of the Council of 17 April 2019 on copyright and related rights in the Digital Single Market and amending Directives 96/9/EC and 2001/29/EC	OJ L 130, 17.05.2019, p. 92–125	The EUIPO is responsible for establishing and managing a public single online portal for the out-of-commerce works. The portal shall be made available to the public by the deadline of transposition of the Directive into the national legislation, which is 7 June 2021. Once established, the portal shall be used for mass digitisation projects implemented by the cultural heritage institutions and will become the central European transparency (publicity) measure for the out-of-commerce works.
Regulation	Date	Mission / Tasks / Functions
Regulation (EU) 2019/517 of the European Parliament and of the Council of 19 March 2019 on the implementation and functioning of the .eu top-level domain name and amending and repealing Regulation (EC) No 733/2002 and repealing Commission Regulation (EC) No 874/2004	OJ L 91, 29.3.2019, p. 25–35	<p>The EUIPO shall cooperate with the Registry for .eu domain names with a view to combating the speculative and abusive registrations of domain names, including cybersquatting, and providing simple administrative procedures, in particular for small and medium-sized enterprises (SMEs).</p> <p>Furthermore, in order to implement this Regulation, Commission Implementing Regulation (EU) 2020/857 of 17 June 2020 laying down the principles to be included in the contract between the European Commission and the .eu top-level domain Registry in accordance with Regulation (EU) 2019/517 of the European Parliament and of the Council was adopted.</p>

1.2 Seat

Alicante, Spain

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	400	351	87,75%	408	432
Assistants (AST)	525	497	94,67%	517	493
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	925	848	91,68%	925	925
Contract Agents (CA)	202	193	95,54%	210	212
Seconded National Experts (SNE)	68	53	77,94%	69	70
TOTAL STAFF	1195	1094	91,55%	1204	1207

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	282 574 722	294 446 038

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	126 418 986	126 418 986	134 667 030	134 667 030
Title 2 - Infrastructure and operating expenditure	67 264 695	67 264 695	72 376 228	72 376 228
Title 3 - Operational expenditure	54 172 496	54 172 496	56 385 828	56 385 828
Title 4 - Contribution to the EU policies				
Title 5 - Offsetting to Member States				
Title 10 - Other expenditure				
TOTAL EXPENDITURE	247 856 177	247 856 177	263 429 086	263 429 086

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16		1				1		1		1
AD 15	1	3		2	1	2	1	2	1	2
AD 14	17	6	16	4	20	8	22	9	22	9
AD 13	22	9	20	4	25	10	26	12	26	12
AD 12	27	20	20	19	21	22	20	21	20	21
AD 11	9	14	7	3	11	8	13	9	13	9
AD 10	15	13	11	10	17	12	18	12	18	12
AD 9	20	14	18	11	19	15	24	16	24	16
AD 8	23	15	19	14	26	13	33	19	33	19
AD 7	35	18	36	14	40	30	33	33	33	33
AD 6	59	59	44	76	48	59	33	75	33	75
AD 5			3							
AD TOTAL	228	172	194	157	228	180	223	209	223	209
AST 11	7		5		9		10		10	
AST 10	19	1	22		19	2	20	2	20	2
AST 9	65	9	55	6	67	8	71	10	71	10
AST 8	51	9	41	3	51	9	54	11	54	11
AST 7	69	14	74	14	68	18	61	19	61	19
AST 6	49	25	49	15	43	20	42	22	42	22
AST 5	30	28	27	33	37	28	42	28	42	28
AST 4	42	21	43	12	43	24	37	26	37	26
AST 3	56	30	46	48	40	31	23	15	23	15
AST 2			1	3						
AST 1										
AST TOTAL	388	137	363	134	377	140	360	133	360	133
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	616	309	557	291	605	320	583	342	583	342
GRAND TOTAL	925		848		925		925		925	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	89	98	105	107
Function Group III	101	90	100	100
Function Group II	7	1		
Function Group I	5	4	5	5
TOTAL	202	193	210	212

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	68	53	69	70

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	277 558 393	282 574 722	294 446 038	294 446 038	4,20%
2 EU CONTRIBUTION					
- <i>Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- <i>Of which EEA/EFTA (excl. Switzerland)</i>					
- <i>Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- <i>Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	277 558 393	282 574 722	294 446 038	294 446 038	4,20%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)	5 539 607	p.m.	p.m.	p.m.	
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL	5 539 607	p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	125 663 712	126 418 986	134 667 030	134 667 030	6,52%
Salaries & allowances	98 603 710	104 141 643	110 613 958	110 613 958	6,21%
- Of which establishment plan posts	91 912 476	97 352 224	103 280 650	103 280 650	6,09%
- Of which external personnel	6 691 234	6 789 419	7 333 308	7 333 308	8,01%
Expenditure relating to Staff recruitment	736 992	1 011 280	1 021 825	1 021 825	1,04%
Employer's pension contributions	15 098 205	16 096 377	17 102 414	17 102 414	6,25%
Mission expenses	253 350	1 360 325	1 837 700	1 837 700	35,09%
Socio-medical infrastructure	540 645	597 967	544 653	544 653	-8,92%
Training	870 911	1 283 664	1 525 647	1 525 647	18,85%
External Services					
Receptions, events and representation	1 700	18 200	18 400	18 400	1,10%
Social welfare	8 315 179	564 541	609 541	609 541	7,97%
Other Staff related expenditure	1 243 020	1 344 989	1 392 892	1 392 892	3,56%
Title 2 - Infrastructure and operating expenditure	56 553 021	67 264 695	72 376 228	72 376 228	7,60%
Rental of buildings and associated costs	9 413 816	13 056 614	12 904 982	12 904 982	-1,16%
Information, communication technology and data processing	29 664 907	34 181 534	38 080 979	38 080 979	11,41%
Movable property and associated costs	2 804 017	2 910 704	3 157 853	3 157 853	8,49%
Current administrative expenditure	7 992 107	8 862 642	9 210 368	9 210 368	3,92%
Postage / Telecommunications	1 244 210	1 401 900	1 405 407	1 405 407	0,25%
Meeting expenses	1 798 702	4 376 906	5 003 215	5 003 215	14,31%
Running costs in connection with operational activities					
Information and publishing					
Studies	3 635 262	2 474 395	2 613 424	2 613 424	5,62%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	52 052 754	54 172 496	56 385 828	56 385 828	4,09%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Observatory	4 851 483	6 458 818	5 926 988	5 926 988	-8,23%
EU Cooperation	30 361 933	30 889 869	33 014 481	33 014 481	6,88%
Communication, promotion and integration	781 687	1 342 252	1 554 250	1 554 250	15,79%
Expenditure regarding the community trademark and design registration procedure	16 057 651	15 481 557	15 890 109	15 890 109	2,64%
Title 4 - Contribution to the EU policies					
Title 5 - Offsetting to Member States					
Title 10 - Other expenditure					
TOTAL	234 269 487	247 856 177	263 429 086	263 429 086	6,28%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	125 663 712	126 418 986	134 667 030	134 667 030	6,52%
Salaries & allowances	98 603 710	104 141 643	110 613 958	110 613 958	6,21%
- Of which establishment plan posts	91 912 476	97 352 224	103 280 650	103 280 650	6,09%
- Of which external personnel	6 691 234	6 789 419	7 333 308	7 333 308	8,01%
Expenditure relating to Staff recruitment	736 992	1 011 280	1 021 825	1 021 825	1,04%
Employer's pension contributions	15 098 205	16 096 377	17 102 414	17 102 414	6,25%
Mission expenses	253 350	1 360 325	1 837 700	1 837 700	35,09%
Socio-medical infrastructure	540 645	597 967	544 653	544 653	-8,92%
Training	870 911	1 283 664	1 525 647	1 525 647	18,85%
External Services					
Receptions, events and representation	1 700	18 200	18 400	18 400	1,10%
Social welfare	8 315 179	564 541	609 541	609 541	7,97%
Other Staff related expenditure	1 243 020	1 344 989	1 392 892	1 392 892	3,56%
Title 2 - Infrastructure and operating expenditure	56 553 021	67 264 695	72 376 228	72 376 228	7,60%
Rental of buildings and associated costs	9 413 816	13 056 614	12 904 982	12 904 982	-1,16%
Information, communication technology and data processing	29 664 907	34 181 534	38 080 979	38 080 979	11,41%
Movable property and associated costs	2 804 018	2 910 704	3 157 853	3 157 853	8,49%
Current administrative expenditure	7 992 107	8 862 642	9 210 368	9 210 368	3,92%
Postage / Telecommunications	1 244 210	1 401 900	1 405 407	1 405 407	0,25%
Meeting expenses	1 798 701	4 376 906	5 003 215	5 003 215	14,31%
Running costs in connection with operational activities					
Information and publishing					
Studies	3 635 262	2 474 395	2 613 424	2 613 424	5,62%
Other infrastructure and operating expenditure					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	52 052 754	54 172 496	56 385 828	56 385 828	4,09%
Observatory	4 851 483	6 458 818	5 926 988	5 926 988	-8,23%
EU Cooperation	30 361 933	30 889 869	33 014 481	33 014 481	6,88%
Communication, promotion and integration	781 687	1 342 252	1 554 250	1 554 250	15,79%
Expenditure regarding the community trademark and design registration procedure	16 057 651	15 481 557	15 890 109	15 890 109	2,64%
Title 4 - Contribution to the EU policies					
Title 5 - Offsetting to Member States					
Title 10 - Other expenditure					
TOTAL	234 269 487	247 856 177	263 429 086	263 429 086	6,28%

3.3 Budget Outturn

Not applicable.

4 Justification of needs

Commission assessment

Human Resources

Financial Resources

4.1 Human Resources - Agency Request

4.2 Financial Resources - Agency request

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA (in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
TOTAL										

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.2 European Schools

5.3 Evaluation

Not finalised yet.

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

PART II – EXECUTIVE AGENCIES

1 MAIN BUDGETARY TRENDS: EU CONTRIBUTION AND STAFFING LEVEL FOR 2022

In line with the Commission's 'delegation package'³⁰, the Commission will continue to make intensive use of executive agencies in the management of spending programmes in 2022.

The EU budget contribution to cover the running costs of the six executive agencies in the 2022 draft budget (EUR 350,2 million) is fully consistent with the amounts foreseen in the final version of the delegation package. The table below shows by executive agency the requested appropriations for 2022, as compared to the amounts included in the 2021 budget. The effective date of delegation of 1 April 2021 created some savings in 2021, amounting to EUR 8,3 million, which in particular concerned HaDEA. The increased EU contribution requested for 2022 is mainly due to the full year effect of delegation, the requested staff increase for 2022 and the salary adjustment.

EU budget contribution to executive agencies in million EUR	Draft budget (DB)		Budget		Difference		Difference	
	2022		2021		2022 - 2021		2022 / 2021	
	(1)		(2)		(1 - 2)		(1 / 2)	
	CA	PA	CA	PA	CA	PA	CA	PA
CINEA	48,7	48,7	47,7	47,7	1,0	1,0	2,2%	2,2%
HaDEA	48,5	48,5	41,2	41,2	7,3	7,3	17,7%	17,7%
REA	97,0	97,0	91,8	91,8	5,2	5,2	5,6%	5,6%
ERCEA	54,8	54,8	54,2	54,2	0,6	0,6	1,1%	1,1%
EISMEA	43,5	43,5	42,3	42,3	1,2	1,2	2,7%	2,7%
EACEA	57,8	57,8	53,4	53,4	4,4	4,4	8,2%	8,2%
Total	350,2	350,2	330,6	330,6	19,6	19,6	5,9%	5,9%

The main change reflected for the 2022 Draft Budget compared to the delegation package relates to a revision of the Innovation Fund staffing levels. Due to changes in carbon price, the size of the Innovation Fund supported by the EU Emissions Trading System will increase substantially, as compared to the assumption for the budget to be delegated to CINEA in the cost-benefit analysis of the Innovation Fund. Therefore, the CINEA establishment plan will reflect 8 additional posts to manage the implementation of the additional budget. This change does not impact the EU contribution to the agency in the 2022 Draft Budget as compared to the delegation package.

As a consequence, the proposed number of staff in the executive agencies increases to 3 151 FTE in 2022 (+ 66 FTE compared to 2021, of which 33 temporary agents and 33 contract agents), including establishment plan posts financed outside the EU budget (+12 temporary agents posts compared to 2021). Overall, the total staff increase is in line with the staff numbers foreseen in the specific financial statements accompanying the Commission's delegation decisions as well as the Communication to the Commission on the delegation of tasks to executive agencies. More details on the staffing levels by executive agency are shown in the table below:

Staffing levels in executive agencies	Staffing levels for 2021 (including AB 1/2021)		Staffing levels requested in DB 2022 (as per 'delegation package')	
	Establishment plan posts (*)	Contract agents (FTE)	Establishment plan posts (*)	Contract agents (FTE)
CINEA	129	344	142	346
HaDEA	95	268	105	286
REA	212	636	215	645
ERCEA	132	390	131	385
EISMEA	118	278	121	270
EACEA	121	362	126	379
Total	807	2 278	840	2 311
Grand Total	3 085		3 151	

**including posts in the establishment plans financed from NGEU and programmes outside the EU budget, as follows: for 2021, 14 posts in CINEA, 5 posts in HaDEA and 8 posts in EISMEA; for 2022, 26 posts in CINEA, 10 posts HADEA and 14 posts in EISMEA.*

³⁰ Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L 50, 15.2.2021, p. 9).

The necessary additional staff increase in the executive agencies following the delegation of tasks is compensated by a reduction of human resources in the Commission. The increase in the staffing levels and related administrative expenditure in the executive agencies in 2022 is compensated by a reduction of 27 FTE in the Commission (of which 26 ‘freed’ posts and 1 frozen post in the establishment plans). The total number of ‘freed’ and ‘frozen’ posts is shown in the table below:

‘Freed’ and ‘frozen’ posts: ensuring budgetary neutrality	Total number of freed and frozen posts in 2021 (including AB 1/2021)		Total number of freed and frozen posts in 2022	
	Establishment plan posts	Contract agents (FTE)	Establishment plan posts	Contract agents (FTE)
<i>Total ‘freed’</i>	38	68	26	
<i>Total ‘frozen’</i>	64		1	
<i>Total</i>	102	68	27	
<i>Grand Total</i>	170		27	

2 INDIVIDUAL BUDGETARY STATEMENTS

The individual budgetary financial statements for executive agencies include information on the set-up of the executive agency, the operational programmes managed by it, the EU contribution to and the operating budget of the executive agency (revenue and expenditure), the establishment plan, contract agents and Seconded National Experts, freed and frozen posts, buildings, and evaluations.

2.1 European Climate, Infrastructure and Environment Executive Agency (“CINEA”)

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
CINEA Commission Implementing decision (EU) 2021/173	12/02/2021	Establishment of the European Climate, Infrastructure and Environment Executive Agency

1.2 Seat

Decision	Date	Mission/ Tasks / Functions
TEN-T EA Commission decision 2007/60/EC of 26/10/2006	OJ L32 of 06/02/2007	Management of EU operational programmes in the field of trans-European transport networks
TEN-T EA Commission decision 2008/593/EC of 11/07/2008	OJ L190 of 18/07/2008	Extension of mandate to the management of TEN-T 2007 - 2013 programmes
INEA Commission decision 2013/801/EU of 23/12/2013	OJ L352 of 24/12/2013	Establishment of the Innovation and Networks Executive Agency

1.3 Budget Line

01 01 01 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from "Horizon Europe"

02 01 21 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "Connecting Europe Facility — Transport"

02 01 22 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "Connecting Europe Facility — Energy"

02 01 40 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "Renewable Energy Financing Mechanism"

05 01 02 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "Cohesion Fund"

08 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "European Maritime and Fisheries Fund"

09 01 01 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "Programme for Environment and Climate Action (LIFE)"

09 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "Public sector loan facility under the Just Transition Mechanism"

13 01 03 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from "Military Mobility"

16 01 02 74 : European Climate, Infrastructure and Environment Executive Agency — Contribution from the "Innovation Fund"

1.4 Human Resources Overview

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget (1)	Draft Budget Request (1)
Temporary Agents (Officials seconded by the Commission)	23	23	100	32	33
Temporary Agents (recruited by the executive agency)	55	54	98.2	97	109
Total Establishment plan posts	78	77	98.7	129	142
Contract Agents	239	236	98.7	344	346
Seconded National Experts					
TOTAL STAFF	317	313	98.7	473	488

(1) Posts stemming from C1 funds only

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	50 589 000	58 151 000
2. EEA/EFTA contribution (excl. Switzerland)	321 000	404 000
3. Participation of candidate countries and/or third countries	810 000	1 010 000
TOTAL	51 720 000	59 565 000

1.5.2 Expenditure Overview

Expenditure (1)	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	42 303 500	42 303 500	47 950 000	47 950 000
Title 2 - Infrastructure and operating expenditure	5 806 500	5 806 500	7 220 000	7 220 000
Title 3 - Programme support expenditure	3 610 000	3 610 000	4 395 000	4 395 000
TOTAL EXPENDITURE	51 720 000	51 720 000	59 565 000	59 565 000

(1) Includes costs linked to all programmes mandated to the Agency in 2021 and 2022, including EEA/EFTA contributions

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2022, the executive agency is in the 2nd year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme

Horizon Europe - Pillar II, cluster 5: Climate, Energy and Mobility	X		
Connecting Europe Facility — Transport (including Military Mobility and the Cohesion Fund contribution)	X		
Connecting Europe Facility — Energy	X		
European Maritime, Fisheries and Aquaculture Fund and compulsory contributions to Regional Fisheries Management Organisations (RFMOs) and other international organisations	X		
LIFE – Nature and biodiversity; Circular economy and quality of life; Climate change mitigation and adaptation; Clean energy transition	X		
Public sector loan facility under the Just Transition Mechanism	X		
Renewable Energy Financing Mechanism	X		
Next Generation EU (NGEU)	X		
Innovation Fund		X	
Connecting Europe Facility – Transport (Legacy)			X
Connecting Europe Facility – Energy (legacy)			X
Horizon 2020 - Part III: Societal challenges 3: Secure, clean and efficient energy			X
Horizon 2020 -Part III: Societal challenges 4: Smart, green and integrated transport			X
Horizon 2020 - Part III: Societal challenges 5: Climate action, Environment, resource efficiency and raw materials			X
LIFE: Climate Action and Environment			X
European Maritime and Fisheries Fund			X

2.2 Operational appropriations managed

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Connecting Europe Facility (CEF)						
02 03 01 - Connecting Europe Facility (CEF) — Transport						
Operational Budget			1 772 331 878	45 758 512	1 750 762 023	860 500 000
<i>Of which managed by the executive agency</i>			1 762 000 000		1 740 000 000	850 000 000
02 03 02 - Connecting Europe Facility (CEF) — Energy						
Operational Budget			783 149 971	53 200 000	795 674 488	245 580 000

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>			776 427 000		791 803 000	245 000 000
Cohesion Fund (CF)						
05 03 03 - Connecting Europe Facility (CEF) — Transport — Cohesion Fund (CF) allocation						
Operational Budget			1 435 892 000	40 000 000	1 487 773 834	841 200 000
<i>Of which managed by the executive agency</i>			1 427 000 000		1 479 000 000	835 000 000
Completion of Connecting Europe Facility (CEF)						
02 03 99 01 - Completion of previous "Connecting Europe Facility (CEF) — Transport" programmes (prior to 2021)						
Operational Budget	2 561 814 261	1 166 110 461	p.m.	1 369 600 000	p.m.	1 018 500 000
<i>Of which managed by the executive agency</i>	2 536 972 493	1 142 299 371		1 350 000 000		1 000 000 000
02 03 99 02 - Completion of previous "Connecting Europe Facility (CEF) — Energy" programmes (prior to 2021)						
Operational Budget	1 279 504 786	388 498 158	p.m.	386 390 800	p.m.	300 000 000
<i>Of which managed by the executive agency</i>	1 279 910 328	387 023 619		385 000 000		300 000 000
Completion of Contribution from CF to CEF						
05 03 99 03 - Completion of the "Connecting Europe Facility (CEF) — Cohesion Fund (CF) allocation" (2014-2020)						
Operational Budget	1 774 406 625	1 495 609 390	-	1 203 600 000	p.m.	1 003 700 000
<i>Of which managed by the executive agency</i>	1 774 406 625	1 495 609 390		1 200 000 000		1 000 000 000
Completion of European Maritime and Fisheries Fund (EMFF)						
08 04 99 02 - Completion of the European Fisheries Fund (EFF) and of the European Maritime and Fisheries Fund (EMFF) — Operational expenditure under direct management (prior to 2021)						
Operational Budget	90 389 563	72 005 847	p.m.	61 700 000	p.m.	45 055 400
<i>Of which managed by the executive agency</i>				49 907 183		32 606 050
Completion of Previous Framework Programme for Research and Innovation						

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
01 02 99 01 - Completion of previous research programmes (prior to 2021)						
Operational Budget	12 492 627 613	10 728 717 135	p.m.	8 006 405 601	p.m.	4 604 820 470
<i>Of which managed by the executive agency</i>				864 667 181		331 624 338
Completion of Programme for the Environment and Climate Action (LIFE)						
09 02 99 01 - Completion of previous programmes in the field of environment and climate action (LIFE) (prior to 2021)						
Operational Budget	579 020 838	406 521 655	p.m.	328 000 000	p.m.	296 500 000
<i>Of which managed by the executive agency</i>	1 156 443 894	888 768 355		254 500 000		222 000 000
European Maritime, Fisheries and Aquaculture Fund (EMFF)						
08 04 02 - EMFF — Operational expenditure under direct and indirect management						
Operational Budget			99 107 185	17 942 309	95 785 954	55 687 237
<i>Of which managed by the executive agency</i>			38 461 500	3 300 600	34 585 000	8 843 800
Horizon Europe – the Framework Programme for Research and Innovation						
01 02 02 50 - Cluster Climate, Energy and Mobility (C1)						
Operational Budget						
<i>Of which managed by the executive agency</i>			1 062 450 000	0	1 123 950 000	519 790 000
01 02 02 50 - Cluster Climate, Energy and Mobility (NGEU)						
Operational Budget						
<i>Of which managed by the executive agency</i>			428 500 000	0	425 750 000	688 800 000
Innovation Fund						
16 03 01 - Innovation Fund (IF) — operational expenditure						
Operational Budget	0	0	1 325 000 000	17 000 000	1 375 000 000	1 375 000 000
<i>Of which managed by the executive agency</i>			1 325 000 000	17 000 000	1 375 000 000	267 000 000

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Programme for the Environment and Climate Action (LIFE)						
09 02 01 - Nature and biodiversity						
Operational Budget			274 720 400	7 297 067	265 601 888	79 910 000
<i>Of which managed by the executive agency</i>			234 832 053	645 000	229 568 780	75 908 032
09 02 02 - Circular economy and quality of life						
Operational Budget			178 933 566	11 040 188	169 866 127	54 900 500
<i>Of which managed by the executive agency</i>			155 905 829	450 000	147 627 338	47 610 697
09 02 03 - Climate change mitigation and adaptation						
Operational Budget			128 975 334	2 438 284	120 050 994	40 803 484
<i>Of which managed by the executive agency</i>			111 025 334	365 000	105 258 602	34 790 600
09 02 04 - Clean energy transition						
Operational Budget			135 247 937	2 068 280	128 996 883	32 890 000
<i>Of which managed by the executive agency</i>			114 360 000		115 750 000	32 130 000
Military mobility 2021-2027						
13 04 01 - Military mobility						
Operational Budget			225 398 198	15 000 000	230 067 893	96 500 000
<i>Of which managed by the executive agency</i>			224 301 000		228 309 000	95 000 000
Public sector loan facility under the Just Transition Mechanism (JTM)						
09 04 01 - Public sector loan facility under the Just Transition Mechanism (JTM)						
Operational Budget			p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
02 20 03 04 - Renewable Energy Financing Mechanism						
Operational Budget			p.m.	p.m.	p.m.	p.m.

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>						
08 05 02 – Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)						
Operational Budget						
<i>Of which managed by the executive agency</i>						

3 Human Resources

3.1 Staffing when created extended

Source: CINEA 2021-2027 Specific Financial Statement - including posts stemming from C1 and non-C1 funds (excluding EEA/EFTA and third countries contributions). This includes the increase of IF posts stemming from the increase of the carbon pricing.

Staff	2021	2022	2023	2024	2025	2026	2027
Temporary Agents (Officials seconded by the Commission)	32	33	33	33	33	33	33
Temporary Agents (recruited by the agency)	97	109	110	113	114	117	119
Executive Agency's total Establishment Plan Posts	129	142	143	146	147	150	152
Contract Agents (*)	393	414	421	427	431	437	441
Seconded National Experts (SNE)							
Total staff of the Executive Agency	522	556	564	573	578	587	593

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget (1)	Draft Budget Request (2)
AD 16				
AD 15			1	1
AD 14	7	7	9	9
AD 13	9	9	12	12
AD 12	5	5	18	18
AD 11	5	5	19	19
AD 10	5	5	12	12
AD 9	9	9	17	20
AD 8	12	12	15	18
AD 7	10	10	11	16
AD 6	2	2	2	2

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget (1)	Draft Budget Request (2)
AD 5	3	2		2
AD TOTAL	67	66	116	129
AST 11				
AST 10				
AST 9				
AST 8				1
AST 7	1	1	2	2
AST 6	2	2	3	4
AST 5	3	3	4	3
AST 4	3	3	3	2
AST 3	2	2	1	1
AST 2				
AST 1				
AST TOTAL	11	11	13	13
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	78	77	129	142
Of which Officials seconded by the commission	23	23	32	33

(1) Including establishment plan posts for the Innovation Fund (8), for the Just Transition Mechanism (1), the Renewable Energy Financing Mechanism (1), and the Next Generation EU (4).

(2) Including establishment plan posts for the Innovation Fund (16), for the Just Transition Mechanism (2), the Renewable Energy Financing Mechanism (1), and the Next Generation EU (7).

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	135	125	215	216
Function Group III	72	82	94	95
Function Group II	32	29	35	35
Function Group I				
TOTAL	239	236	344	346

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries, the Innovation Fund, the Renewable Energy Financing Mechanism, the Just Transition Mechanism and Next Generation EU:

Human Resources financed outside the EU budget	Foreseen in 2020	Recruited as of 31/12/2020	Foreseen in 2021	Foreseen 2022
Financed from participation of candidate countries and/or third countries	5	5	15(1)	15(1)
Financed from the Innovation Fund	10	6	29	36
Financed from the Renewable Energy Financing Mechanism (REFM)			3	4
Financed from the Just Transition Mechanism (JTM) Pillar III			4	7
Financed from the Next Generation EU (NGEU)			13	21

(1) Financed from Horizon Europe

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3.2.5 Use of interim staff by the agency

Interim agency staff ('intérimaires')	Recruited as of 31/12/20	2021 estimate	Draft Budget 2022 estimate
Total (FTE)	3.03(1)	6.60(2)	10.54(3)
Total (appropriations)	160 176.53	415 002.91	681 806.07

(1) Total working days contracted: 666.5 days / 220 days = 3.03 FTE

(2) Total number of days to be contracted: 1 452 days / 220 days = 6.60 FTE

(3) Total number of days to be contracted: 2 319 days / 220 days = 10.54 FTE

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the number of posts and external staff that will be freed, in the form of a net reduction or a frozen post.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Heading 7	8			2
Horizon Europe programme *	188		129	

Targeted reductions in other areas *	180			26
Total	376		129	28

*these numbers refer to the total number of reductions that the Commission will implement to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally for all executive agencies as a precise split by executive agency cannot be presented.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Total				

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2020	In 2021	In 2022
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2021	Number of posts frozen in 2022
DG CLIMA	3	3	3
DG ENER	3	3	3
DG ENV	4	4	4
DG MOVE	11	11	11
DG MARE	4	4	4
DG RTD	7	7	7
Total	32	32	32

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution(1) to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 01/02/2021)	2021	2022	2023	2024	2025	2026	2027
	54 813 656	57 888 962	60 302 895	62 938 695	65 390 812	67 548 270	69 872 100
Agency contribution as voted by the Budgetary Authority(1)							
Initial Budget (EUR-27)(1)	26 912 000						
Budget after transfers and / or amending budgets (EUR-27)(3)	55 070 097						
Operating budget of the agency							
Initial Budget (EUR-27)(1)	26 912 000						
Budget after transfers and / or amending budgets (EUR-27)(2)	51 720 000						

(1) Includes C1 and non-C1 funds; excludes external assigned revenues stemming from the participation of third countries in Horizon Europe.

(2) Includes C1 and non-C1 funds; based on the amended budget adopted by CINEA's steering committee in March 2021 with the increase of posts for the Innovation Fund, including the delegation of new programmes to the Agency and the external assigned revenues stemming from the participation of third countries in Horizon Europe.

(3) Based on the Specific Financial Statement with the increase of posts for the Innovation Fund; excludes external assigned revenues stemming from the participation of third countries in Horizon Europe.

4.2 Revenues

REVENUES		2020	2021	2022	VAR 2022/2021 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
01 01 01 74	HORIZONEU		12 185 000	13 032 000	6,95%
02 01 21 74	CEF_21		7 276 000	7 197 000	1,13%
02 01 22 74	CEF_21		2 926 000	2 963 000	-1,26%
	CEF_TELECOM(1)		1 012 500	-	-
02 01 40 74	REFM		320 000	535 000	6,72%
05 01 02 74	CF_21_27		6 474 000	6 457 000	-0,26%
08 01 03 74	EMFAF		3 123 500	3 960 000	26,78%
09 01 01 74	LIFE_2021		10 098 000	13 395 000	32,65%
09 01 03 74	PSLF_JTM		296 000	944 000	218,92%
13 01 03 74	MM		964 000	962 000	-0,21%
	NGEU		810 000	2 846 000	251,36%
16 01 02 74	IF		5 304 000	5 860 000	10,48%
Sub Total			50 589 000	58 151 000	14,95%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				
01 01 01 74	HORIZONEU		301 000	384 000	27,57%
09 01 01 74	LIFE_2021		20 000	20 000	-
Sub Total			321 000	404 000	25,86%
3. Participation of candidate countries and/or third countries					

Budget Line	Programme			
01 01 01 74	HORIZONEU		810 000	1 010 000
Sub Total			810 000	1 010 000
TOTAL REVENUES			51 720 000	59 565 000
				24,69%
				24.69%
				15,17%

(1) Up to 31/03/2021 before transfer to HaDEA.

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	25 770 419	42 303 500	47 950 000	13,35%
Remunerations, Allowances and Charges	24 333 921	39 070 000	43 900 000	12,36%
- <i>Of which establishment plan posts</i>	<i>9 510 687</i>	<i>14 780 000</i>	<i>17 730 000</i>	<i>19,96%</i>
- <i>Of which external personnel</i>	<i>14 823 234</i>	<i>24 290 000</i>	<i>26 170 000</i>	<i>7,74%</i>
Professional Development and Social expenditure	1 436 498	3 233 500	4 050 000	25,25%
Title 2 - Infrastructure and operating expenditure	4 310 683	5 806 500	7 220 000	24,34%
Building expenditure	3 067 953	3 126 000	4 140 000	32,44%
ICT expenditure	1 073 242	1 737 000	2 020 000	16,29%
Movable Property and Current Operating expenditure	169 488	943 500	1 060 000	12,35%
Title 3 - Programme support expenditure	830 568	3 610 000	4 395 000	21,75%
Programme Management expenditure	830 568	3 610 000	4 395 000	21,75%
Common Support Services expenditure				
TOTAL	30 911 670	51 720 000	59 565 000	15,17%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	25 358 779	42 303 500	47 950 000	13,35%
Remunerations, Allowances and Charges	24 263 548	39 070 000	43 900 000	12,36%
- <i>Of which establishment plan posts</i>	<i>9 510 687</i>	<i>14 780 000</i>	<i>17 730 000</i>	<i>19,96%</i>
- <i>Of which external personnel</i>	<i>14 752 861</i>	<i>24 290 000</i>	<i>26 170 000</i>	<i>7,74%</i>
Professional Development and Social expenditure	1 095 231	3 233 500	4 050 000	25,25%
Title 2 - Infrastructure and operating expenditure	3 664 366	5 806 500	7 220 000	24,34%
Building expenditure	2 747 212	3 126 000	4 140 000	32,44%
ICT expenditure	830 528	1 737 000	2 020 000	16,29%
Movable Property and Current Operating expenditure	86 626	943 500	1 060 000	12,35%
Title 3 - Programme support expenditure	427 421	3 610 000	4 395 000	21,75%
Programme Management expenditure	427 421	3 610 000	4 395 000	21,75%
Common Support Services expenditure				
TOTAL	29 450 566	51 720 000	59 565 000	15,17%

4.4 Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as general revenue): EUR 405 964

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2022 is 571 (including posts stemming from C1, non-C1 funds, EEA/EFTA and third countries contributions) as well as comprising the additional posts requested by DG CLIMA on the Innovation Fund (+21 posts) following an increase of the IF subsidy due to a higher carbon pricing on the markets. The number of staff is based on the assessment following the CBA, and aims at covering the staffing needs with a view to the performance of tasks Delegating powers to the Executive Agency for European Climate, Infrastructure and Environment linked to the implementation of Union programmes in the field of transport and energy infrastructure, environment, nature and biodiversity, climate, research and innovation, maritime, aquaculture and fisheries, comprising, in particular, implementation of appropriations entered in the general budget of the Union and those stemming from external assigned revenue. The staff numbers also include those staff required to manage the legacy of programmes.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The expected association of the UK and of any other Associated Country to Horizon Europe in 2022 will further increase the Agency's budget, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents. The numbers of CAs included in this agency statement are therefore to be considered as indicative and reflects what the agency has indicated (15 contract agents).

5.1.3 Salary assumption for calculating salary lines (% applied)

The staff expenditure budget for 2022 covers the salary and allowances for temporary and contract staff authorised for 2022 (i.e. 556 staff + 15 staff financed by EEA/EFTA and third countries receipts). The staff expenditure budget has been calculated based on the average wage cost and allowances by function group and grade. The baseline for the calculations is the projected salaries for December 2021, including yearly indexation 2022 at a rate of 1%. An increase in salaries has also been budgeted for selected staff that may benefit from a reclassification to a higher grade, as well as from the automatic advancement to a higher step. An indexation rate of 1% for the adjustment of salaries as of mid-2022 has been taken into account.

5.1.4 Vacancy rate as of end 2020

At the end of 2020, the vacancy rate was 5.7% of the total staff under the 2020 Budget (both temporary and contract agents). i.e. 313 staff recruited out of 332 authorised (including Contract Agents financed by EEA/EFTA and third countries receipts).

5.1.5 Standard abatement('abatement forfaitaire')

A vacancy rate of 5% has been incorporated in the salary calculations for Temporary Agents while a vacancy rate of 6% was applied for Contract Agents.

5.2 Financial Resources

5.2.1 Title 1

The calculations regarding Temporary Agents (TAs) and Contract Agents (CAs) are based on the number of staff agreed in the Specific Financial Statement (SFS) as well as the yearly average staff cost taking into account a 1% inflation and a

vacancy rate of 5% for TAs and 6% for CAs. In addition, 15 posts stemming from the EEA/EFTA and third countries contributions (including the forecasted UK contribution to Horizon Europe) have been taken into account.

5.2.2 Title 2

The increase of costs in Title 2 is mainly due to the potential increase of the surface rented by the Agency (+2,000 m²) to accommodate the additional staff stemming from the delegation of several additional programmes. This leads to an increase of the building charges, IT equipment and services and furniture. The increase also includes the fitting out of the new surfaces as well as the shifting and remodelling of the common areas (i.e. larger cafeteria, additional meeting rooms, etc.) to accommodate a higher number of staff and activities.

5.2.3 Title 3

The missions budget increases to take into account a higher number of staff and it includes a 10% cut as contribution to the Commission's Greening policy. Additional audit expenditures are foreseen to cover external audits of new programmes (mainly LIFE), on top of audits foreseen for CEF. The cost of operational IT also rises due to the need of IT consultants to manage the LIFE databases.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	W910	Brussels	12 213	615	12 828	2 560 000	4 years until 31/12/2024	Usufruct contract	Not applicable	
TOTAL			12 213	615	12 828	2 560 000				

6.1.2 Current Building(s) Other comments

The usufruct has been extended for another 4 years from 01/01/2021 in the light of the new mandate of the Agency under the 2021-2027 MFF.

6.1.3 Building projects in the planning phase

The office space takes into account the surface rented in 2021 (10 213 m² that already includes a newly rented floor to accommodate the staff transferred to CINEA in April) and an additional 2 000 m² for the supplementary staff stemming from the new programmes mandated to the Agency. A request has already been submitted to the "Comité immobilier" to seek approval of this additional surface.

6.1.4 Building projects submitted to the European Parliament and the Council

6.2 Evaluation

In accordance with Article 25(1) of the Framework Regulation, in 2020, the Commission finalised an external evaluation report and produced a staff working document (SWD) on the operation of INEA encompassing the period 2014-2016. It assessed INEA's implementation of the parts of the EU funding programmes (Connecting Europe Facility, Horizon 2020 and the Trans-European Transport Network (TEN-T) and Marco Polo legacy programmes) which have been entrusted to it. The report and SWD can be found here: https://ec.europa.eu/transport/facts-fundings/evaluations/reports-year_en.

The next periodical evaluation will cover the operations of the Agency over the period 2016- early 2021.

2.2 European Health and Digital Executive Agency (“HaDEA”)

1. Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU</p>	<p>12 February 2021</p>	<p>The European Health and Digital Executive Agency is established from 16 February 2021 until 31 December 2028.</p> <p>The European Health and Digital Executive Agency shall be entrusted with the implementation of the following (parts of) Union programmes:</p> <ul style="list-style-type: none"> (a) EU4Health programme; (b) Horizon Europe: Pillar II, Cluster 1: Health; (c) Single Market Programme: Food safety: health for humans, animals and plants along the food chain and better training for safer food; (d) Digital Europe Programme; (e) Connecting Europe Facility: Digital; (f) Horizon Europe: Pillar II, Cluster 4: Digital, industry and space. 3. <p>As well as with the implementation of the legacy of the following (parts of) Union programmes:</p> <ul style="list-style-type: none"> (a) Horizon 2020: Part III: Societal Challenge 1: Health, demographic change and well-being, which under the 2014-2020 MFF was implemented by the Commission; (b) Connecting Europe Facility: Telecom, which under 2014-2020 MFF was implemented by the Innovation and Networks Executive Agency and by the Commission; (c) Horizon 2020: Part II: specific objective ‘Leadership in enabling and industrial technologies (LEIT)’ - ICT, NMBP, space, which under the 2014-2020 MFF was implemented by the Executive Agency for Small and Medium-sized Enterprises, the executive agency established by Commission Implementing Decision 2013/778/EU (‘the Research Executive Agency’) and by the Commission; (d) Horizon 2020: Part III: Societal challenge 5: Climate action, Environment, resource efficiency and raw materials, which under the 2014-2020 MFF was implemented by the Executive Agency for Small and Medium-sized Enterprises and by the Commission; (e) FP7: The theme ‘space’ of the specific programme cooperation of the Seventh Framework Programme, which under the 2014-2020 MFF was implemented by the Research Executive Agency; (f) the Common financial framework in the area of food and feed safety including Better training for safer food, which under the 2014-2020 MFF was implemented by the executive agency established by Commission Implementing Decision 2013/770/EU (the Consumers, Health, Agriculture and Food Executive Agency); (g) the third Programme for the Union’s action in the field of health (2014-2020), which under the 2014-2020 MFF was implemented by the Consumers, Health, Agriculture and Food Executive Agency and by the Commission. <p>The agency shall be responsible for the following tasks related to the implementation of the parts of the Union programmes and activities delegated to them:</p> <ul style="list-style-type: none"> (a) managing some or all stages of programme implementation and some or all phases in the lifetime of specific projects, on the basis of the work programmes adopted by the Commission; (b) managing pilot projects and preparatory actions within the meaning of Article 58(2) of Regulation (EU, Euratom) 2018/1046, if applicable;

		(c) providing general administrative and logistical support services, if applicable; (d) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programmes and activities; (e) providing information regarding the programme implementation to support the Commission in its policy making tasks;
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1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 73 : European Health and Digital Executive Agency — Contribution from Horizon Europe

02 01 23 73 : European Health and Digital Executive Agency — Contribution from the Connecting Europe Facility — Digital

02 01 30 73 : European Health and Digital Executive Agency — Contribution from the Digital Europe programme

03 01 01 73 : European Health and Digital Executive Agency — Contribution from the Single Market Programme

06 01 05 73 : European Health and Digital Executive Agency — Contribution from the EU4Health programme

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)				42	42
Temporary Agents (recruited by the executive agency)				53	63
Total Establishment plan posts				95	105
Contract Agents				268	286
Seconded National Experts					
TOTAL STAFF				363	391

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES ³¹	2021	2022
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	35 038 498 ³²	48 450 013 ³³
2. EEA/EFTA contribution (excl. Switzerland)	686 590	1 099 348
3. Participation of candidate countries and/or third countries	0	0
TOTAL	35 725 088	49 549 361

³¹ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3) without resulting in the revenues to exceed the overall expected expenditure of the agency.

³² The amount does not include contributions from NGEU

³³ The amount does not include contributions from NGEU

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	24 913 091	24 913 091	33 009 590	33 009 590
Title 2 - Infrastructure and operating expenditure	5 078 100	5 078 100	6 309 654	6 309 654
Title 3 - Programme support expenditure	5 733 897	5 733 897	10 230 117	10 230 117
TOTAL EXPENDITURE	35 725 088	35 725 088	49 549 361	49 549 361

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2022, the executive agency is in the second year of its operational lifetime and manages the following programmes

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
EU4Health programme	X		
Horizon Europe: Pillar II, Cluster 1: Health	X		
Single Market Programme: Food safety: health for humans, animals and plants along the food chain and better training for safer food	X		
Digital Europe Programme	X		
Connecting Europe Facility: Digital	X		
Horizon Europe: Pillar II, Cluster 4: Digital, industry and space	X		
Horizon 2020: Part III: Societal Challenge 1: Health, demographic change and well-being			X
Connecting Europe Facility: Telecom			X
Horizon 2020: Part II: specific objective 'Leadership in enabling and industrial technologies (LEIT)' - ICT, NMBP, space			X
Horizon 2020: Part III: Societal challenge 5: Climate action, Environment, resource efficiency and raw materials			X
FP7: The theme 'space' of the specific programme cooperation of the Seventh Framework Programme			X
the Common financial framework in the area of food and feed safety including Better training for safer food			X
the third Programme for the Union's action in the field of health (2014-2020)			X

2.2 Operational appropriations managed

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Connecting Europe Facility (CEF)						
02 03 03 01 - Connecting Europe Facility (CEF) — Digital						
Operational Budget			273 003 108	7 799 769	277 220 439	164 183 100
<i>Of which managed by the executive agency</i>			223 643 000		228 082 000	
Completion of Connecting Europe Facility (CEF)						
02 03 99 03 - Completion of previous Connecting Europe Facility (CEF) — ICT activities (prior to 2021)						
Operational Budget	150 620 337	147 603 286	p.m.	195 000 286	p.m.	57 159 935
<i>Of which managed by the executive agency</i>				115 940 000		57 000 000
Completion of Food and Feed						
03 02 99 02 - Completion of previous measures in food and feed safety, animal health, animal welfare and plant health (prior to 2021)						
Operational Budget	244 576 200	230 664 307	p.m.	227 685 366	-	50 000 000
<i>Of which managed by the executive agency</i>				180 000 000		35 000 000
Completion of Previous Framework Programme for Research and Innovation						
01 02 99 01 - Completion of previous research programmes (prior to 2021)						
Operational Budget	12 492 627 613	10 728 717 135	p.m.	8 006 405 601	p.m.	4 604 820 470
<i>Of which managed by the executive agency</i>				1 057 861 000		647 522 000
Completion of previous public health programmes						
06 06 99 01 - Completion of previous public health programmes (prior to 2021)						
Operational Budget	66 603 800	54 553 728	p.m.	51 550 930	p.m.	18 000 000
<i>Of which managed by the executive agency</i>				43 817 500		15 300 000

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Digital Europe Programme						
02 04 01 10 - Cybersecurity						
Operational Budget			p.m.	p.m.	120 000 000	115 772 894
<i>Of which managed by the executive agency</i>						
02 04 02 10 - High-Performance Computing						
Operational Budget			317 407 046	23 642 700	61 512 954	88 857 300
<i>Of which managed by the executive agency</i>						
02 04 03 - Artificial intelligence						
Operational Budget			318 323 274	23 711 034	332 511 489	294 811 860
<i>Of which managed by the executive agency</i>			0	0	0	0
02 04 04 - Skills						
Operational Budget			83 591 442	6 227 106	92 948 068	57 000 000
<i>Of which managed by the executive agency</i>			75 650 000		85 549 000	
02 04 05 01 - Deployment						
Operational Budget			133 051 260	4 576 193	143 241 850	163 973 807
<i>Of which managed by the executive agency</i>			21 095 000		23 855 000	
EU4Health Programme						
06 06 01 - EU4Health Programme						
Operational Budget			311 684 898	60 549 168	764 213 775	310 800 000
<i>Of which managed by the executive agency</i>			267 846 000	51 466 000	649 581 709	264 180 000
Horizon Europe – the Framework Programme for Research and Innovation						
01 02 02 10 - Cluster 'Health'						
Operational Budget			866 476 221	58 461 973	571 730 809	248 972 336

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>			551 624 000 ³⁴		518 769 000 ³⁵	
01 02 02 40 - Cluster 'Digital, Industry and Space'						
Operational Budget			1 693 456 363	168 847 223	1 264 161 905	1 133 029 778
<i>Of which managed by the executive agency</i>			743 200 000 ³⁶		765 902 000 ³⁷	
Single Market Programme, including COSME, ISA2, ESP, consumer involvement in fin. Services, financial reporting, Health, Food and Feed, consumer programme						
03 02 06 - Contributing to a high level of health and welfare for humans, animals and plants						
Operational Budget			220 933 919	18 922 379	225 814 000	170 000 000
<i>Of which managed by the executive agency</i>			178 435 000	5 000 000	182 620 000	125 000 000
TOTAL operational budget managed by the agency			2 061 493 000³⁸		2 454 358 709³⁹	

3 Human Resources

3.1 Staffing when created extended

Source: The Specific Financial Statement including staff financed from all sources

Staff	2021	2022	2023	2024	2025	2026	2027
Temporary Agents (Officials seconded by the Commission)							
Temporary Agents (recruited by the agency)	95,3	105,2	110,2	115,2	118,3	122,3	126,3
Executive Agency's total Establishment Plan Posts	95,3	105,2	110,2	115,2	118,3	122,3	126,3
Contract Agents (*)	285,9	315,7	330,7	345,7	354,8	366,7	378,8
Seconded National Experts (SNE)							
Total staff of the Executive Agency	381,2	420,9	440,9	460,9	473,1	489	505,1

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

³⁴ These are only C1 credits (NGEU not included)

³⁵ These are only C1 credits (NGEU not included)

³⁶ These are only C1 credits (NGEU not included)

³⁷ These are only C1 credits (NGEU not included)

³⁸ These amounts are indicative and subject to decision/discussions between the parent DGs and the executive agencies

³⁹ These amounts are indicative and subject to decision/discussions between the parent DGs and the executive agencies

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 16				
AD 15				
AD 14			4	4
AD 13			6	6
AD 12			7	7
AD 11			2	2
AD 10			5	5
AD 9			3	3
AD 8			8	8
AD 7			11	13
AD 6			25	25
AD 5			15	23
AD TOTAL			86	96
AST 11			1	1
AST 10			1	1
AST 9				
AST 8				
AST 7			1	1
AST 6			1	1
AST 5			3	3
AST 4			2	2
AST 3				
AST 2				
AST 1				
AST TOTAL			9	9
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL			95	105
Of which Officials seconded by the commission			42	42

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV			138	146
Function Group III			89	99
Function Group II			37	36
Function Group I			4	5
TOTAL			268	286

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2020	Recruited as of 31/12/2020	Foreseen in 2021	Foreseen 2022
Financed from EDF				
Financed from NGEU			18 ⁴⁰	30
Financed from participation of candidate countries and/or third countries				

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the number of posts and external staff that will be freed, in the form of a net reduction or a frozen post.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Heading 7	15		12	
Horizon Europe programme *	188		129	
Targeted reductions in other areas *	180			26
Total				

⁴⁰ The staff financed by NGEU revenue has been kept despite the fact that the NGEU funds have not been included in the Revenue side

*these numbers refer to the total number of reductions that the Commission will implement to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally for all executive agencies as a precise split by executive agency cannot be presented.

3.3.1.2 At extension of the mandate of the executive agency

Not applicable

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2020	In 2021	In 2022
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

It must be noted that these numbers are included in the total number of staff to be freed, as presented in section 3.3.1.1 above.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2021	Number of posts frozen in 2022
DG SANTE	10	10	10
DG CNECT	8	8	8
DG DEFIS	4	4	4
DG GROW	3	3	3
DG RTD	17	17	17
Total	42	42	42

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2021	2022	2023	2024	2025	2026	2027
		45 465 000	48 450 000	51 476 000	54 455 000	57 691 000	61 035 000
Agency contribution as voted by the Budgetary Authority							
Initial Budget (EUR-27)	28 269 997 ⁴¹	48 450 013 ⁴²					

⁴¹ The voted budget presented does not include the 6Xs line.

⁴² The amount does not include contributions from NGEU (3.94 mln EUR)

Budget after transfers and / or amending budgets (EUR-27)	35 038 498 ^{43,44}					
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4.2 Revenues

REVENUES ⁴⁵		2020	2021	2022	VAR 2022/2021 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
01 01 01 73	HORIZONEU	0	13 160 823 ⁴⁶	20 459 000	17,87%
02 01 23 73	CEF_21	0	3 373 103 ⁴⁷	4 642 000	9,67%
02 01 30 73	DIGITALEU		4 616 377 ⁴⁸	5 140 000	11,34%
03 01 01 73	SINGLEMKT	0	2 474 075 ⁴⁹	2 888 000	6,86%
06 01 05 73	EU4HEALTH	0	11 414 120 ⁵⁰	15 321 013	24,82%
Sub Total		0	35 038 498	48 450 013	17,65%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
01 01 01 73	HORIZONEU		350 078	544 209	55,45%
02 01 23 73	CEF_21		6 409	8 820	37,62%
02 01 30 73	DIGITALEU		124 642	138 780	11,34%
03 01 01 73	SINGLEMKT		0	0	
06 01 05 73	EU4HEALTH		205 461	407 539	98,35%
Sub Total			686 590	1 099 348	60,12%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
01 01 01 73	HORIZONEU				
Sub Total					
TOTAL REVENUES		0	35 725 088	49 549 361	18,34%

4.3 Expenditure

⁴³ The budget reflects that the agency is operational for 9 months instead of the 12 months assumed in the SFS.

⁴⁴ The amount does not include contributions from NGEU (1.84 mln EUR)

⁴⁵ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3) without resulting in the revenues to exceed the overall expected expenditure of the agency.

⁴⁶ The amount does not include contributions from NGEU (1.84 mln EUR)

⁴⁷ The amounts that will be transferred from the 6Xs line are not included

⁴⁸ The amounts that will be transferred from the 6Xs line are not included

⁴⁹ This includes 0.97 mln EUR which will be transferred from CHAFEA line (unpaid contribution to CHAFEA)

⁵⁰ This includes 3.7 mln EUR which will be transferred from CHAFEA line (unpaid contribution to CHAFEA)

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure		24 913 091	33 009 590	32,50%
Remunerations, Allowances and Charges		23 238 091	31 030 131	33,53%
- <i>Of which establishment plan posts</i>		<i>8 020 141</i>	<i>11 532 182</i>	<i>43,79%</i>
- <i>Of which external personnel</i>		<i>15 217 950</i>	<i>19 497 949</i>	<i>28,12%</i>
Professional Development and Social expenditure		1 675 000	1 979 459	18,18%
Title 2 - Infrastructure and operating expenditure		5 078 100	6 309 654	24,25%
Building expenditure		3 040 000	4 427 465	45,64%
ICT expenditure		1 795 100	1 657 102	-7,69%
Movable Property and Current Operating expenditure		243 000	225 087	-7,37%
Title 3 - Programme support expenditure		5 733 897	10 230 117	78,41%
Programme Management expenditure		5 733 897	10 230 117	78,41%
Common Support Services expenditure				
TOTAL		35 725 088	49 549 361	38,70%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure		24 913 091	33 009 590	32,50%
Remunerations, Allowances and Charges		23 238 091	31 030 131	33,53%
- <i>Of which establishment plan posts</i>		<i>8 020 141</i>	<i>11 532 182</i>	<i>43,79%</i>
- <i>Of which external personnel</i>		<i>15 217 950</i>	<i>19 497 949</i>	<i>28,12%</i>
Professional Development and Social expenditure		1 675 000	1 979 459	18,18%
Title 2 - Infrastructure and operating expenditure		5 078 100	6 309 654	24,25%
Building expenditure		3 040 000	4 427 465	45,64%
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Title 3 - Programme support expenditure		5 733 897	10 230 117	78,41%
Programme Management expenditure		5 733 897	10 230 117	78,41%
Common Support Services expenditure				
TOTAL		35 725 088	49 549 361	38,70%

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The number of staff for HADEA financed on C1 credits in 2022 shall be 381, of which 286 contract agents and 95 temporary agents of which 42 seconded officials. The staff financed on NGEU credits (Horizon Europe) in 2022 is 40 FTEs (of which 10 Temporary Agents; 30 Contract Agents). This staffing is fully in line with the delegation package that was adopted in February 2021, and the productivity targets that were required as part of the delegation package.

Therefore, the level of staff programmed for HaDEA is 429 staff in 2022.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

In line with the table 3.2.3 and section 5.1.1, 30 additional contract agents will be financed from appropriations accruing from the NGEU contributions.

The expected association of the UK and of any other Associated Country to Union programmes (and notably the associations to Horizon Europe) will further increase the Agency's budget in 2022, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agents of the agency will be based on the association agreements effectively signed, their terms of participation, the distribution of budget to be managed, and the final approach taken for the calculation of the additional contract agents.

5.1.3 Salary assumption for calculating salary lines (% applied)

The staff expenditure budget for 2022 covers the salary for temporary and contract agents authorized for 2022 as well as the salary costs for the additional agents financed through the NGEU and Third countries contributions. An average rate has been calculated for all agents based on the 2020 and 2021 (first trimester) real costs (in EASME). The calculation takes into account the reclassification exercise, the vacancy rate, an indexation rate of 2% for the second half of 2022.

5.1.4 Vacancy rate as of end 2020⁵¹

The Agency started on 01/04/2021 with 273 staff of which contract 211 agents and 62 temporary agents. This amounts to 72 % of 381 staff foreseen in total by the establishment plan for 2021 (this includes the posts financed by the NGEU contributions). This means that 75 posts for contract agents have to be filled by the end of 2021 and 33 posts of temporary agents of which 17⁵² are seconded posts. DG SANTE and the Agency have launched several procedures and expects to fill in the open positions by the end of the year.

5.1.5 Standard abatement ('abatement forfaitaire')

The standard abatement applied takes into account the time to recruit as well as possible turnover plus part time and full time absences (part time work, time credits and parental leave). The HR KPI is 92 % of posts filled by the end of 2021 and 95% by the end of 2022. The Agency will face a huge challenge in recruiting such a large number of staff.

5.2 Financial Resources

5.2.1 Title 1

The amount of expenditures in Title I for 2022 differs from the adopted SFS for HaDEA as NGEU funds have been excluded. It now stands at EUR 33 million. The amount in the Professional Development and Social expenditure (EUR 2 million) includes costs for interim agents and trainees, trainings, staff entitlements, recruitment expenditure, etc.

5.2.2 Title 2

The amount of expenditures in Title II for 2022 (EUR 6.3 million) is not in line with the adopted SFS for HaDEA as NGEU funds have not been included. It includes the rent in Covent Garden and associated charges, purchase of hardware and software, the services provided by DG DIGIT, DG BUDG and DG SG, purchase of furniture, possible internal moves, insurances.

5.2.3 Title 3

The amount of expenditures in Title III is also excluding the assigned revenues to be received from NGEU and stands at EUR 10.2 million. It includes the costs for meetings, missions, audits, communication services (campaigns, events, digital services including audio-visual equipment purchases, etc), IT expenses (eGrants: EUR 7 million and OMEGA tool: EUR 0.6 million).

⁵¹ Vacancy rate is provided on 01/04/2021 (HaDEA did not exist in 2020)

⁵² The occupation rate and number of posts to fill will vary throughout the year (for ex: 3 seconded officials are already confirmed to join HaDEA on 16/04/2021 and 16/05/2021, it leads us to 14 seconded posts to fill after 16/05/2021)

6 Other information

6.1 Buildings

6.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
	Covent Garden (COV2) Place Rogier 16, 1210 Brussels									
	TOTAL									

6.1.2 Current Building(s) Other comments

In 2021 HaDEA will be headquartered in the Covent Garden building (COV2) in Brussels. Additionally, 86 members of staff will be hosted in the Orban (ORBN) and Champ de Mars (CDMA) buildings. All three buildings are rented and managed by the OIB. Rent and charges are covered by an SLA with the OIB and will be paid directly to the OIB. The exact area and costs are currently being calculated by the OIB.

On top of this, HaDEA staff in Luxembourg may use offices in the E Wing of the Drosbach building (DRB E) until the end of August 2021. HaDEA will pay EUR 70 772.56 to the OIL (that is part of the rent of the E wing, the rest is shared between REA and EISMEA).

6.2 Evaluation

Nothing to mention specifically at this stage (e.g.in terms of past evaluations that are specific to the agency), since HADEA is a new agency.

2.3 European Research Executive Agency (“REA”)

1. Overview

1.1 Creation/modification (legal base)

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission Decision 2008/46/EC of 14/12/2007	OJ L11 of 15/01/2008	Creation of the Agency managing certain areas of the People, Capacities and Cooperation Specific EU Programmes within the framework of the 7th Framework Programme for RTD (Decision 1982/2006/EC) in application of Council Regulation (EC) No 58/2003.
Commission Decision 2013/778/EU of 13/12/2013 establishing Research Executive Agency and repealing Decision 2008/46/EC	OJ L 346, 20/12/2013	<p>Establishing the Research Executive Agency and repealing Decision 2008/46/EC.</p> <p>1 The Agency is entrusted within the Specific Programme Implementing Horizon 2020 (H2020), with implementing parts of Part I "Excellent science"; Part II "Industrial leadership"; Part III "Societal challenges"; Part III a "Spreading excellence and widening participation"; Part III b "Science with and for society".</p> <p>2 The Agency is entrusted, within the framework of the Seventh Framework Programme (FP7), with implementing the legacy of parts of: the activities "Research for SMEs" and "Research for SME associations" of the Capacities Specific Programme; the "Space" and "Security" themes of the Cooperation Specific Programme; the People Specific Programme.</p> <p>3 The Agency is responsible for the following tasks related to implementing parts of the above-mentioned programmes:</p> <p>(a) managing some stages of programme implementation and some phases in the lifetime of specific projects on the basis of the relevant work programmes adopted by the Commission, where the Commission has empowered it to do so in the instrument of delegation;</p> <p>(b) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programme, where empowered by the Commission in the instrument of delegation;</p> <p>(c) providing support in programme implementation where empowered by the Commission in the instrument of delegation</p> <p>4 The Agency is responsible for providing administrative and logistical support services as defined in the instrument of delegation.</p>
Commission Implementing Decision establishing the Research Executive Agency (mandate for 2014-2024) (C(2013)8909 of 13 December 2013) which received a positive opinion of the CEA on 22 November 2013, as last amended by the Commission Decision		Third parties' legal validation and the preparation of viability assessment, in response to the obligation of the Single Electronic Data Interchange Area – SEDIA (based on Article 95, §2 of the Financial Regulation of 2012 which became Article 147, §1 of the Financial Regulation of 2018)

C(2017)4900 of 14 July 2017		The delegation to the Research Executive Agency of projects generating EU classified information.
Commission Decision C(2019)3353 of 30 April 2019		The Agency shall be responsible for implementing part of the corresponding operational appropriations entered in the general budget of the Union for Horizon 2020 – Future and Emerging Technologies (FET) (only the part “FET Open”) with the exception of the appropriations relating to the Enhanced European Innovation Council Pilot (‘the Enhanced EIC Pilot’) under the framework of Horizon 2020 for the years 2019 and 2020.
Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU	OJ L50, 15.2.2021, p.9	<p>Establishing the European Research Executive Agency (REA) and repealing Decision 2013/778/EU.</p> <p>The Agency is responsible for implementing the following Union programmes or parts thereof:</p> <p>Horizon Europe: Pillar I:</p> <p>Marie Skłodowska-Curie Actions (MSCA) and Research Infrastructures ;</p> <p>Horizon Europe: Pillar II:</p> <p>Cluster 2: ‘Culture, creativity and inclusive society’;</p> <p>Cluster 3: ‘Civil Security for Society’ and</p> <p>Cluster 6 : ‘Food, Bioeconomy, Natural Resources, Agriculture and Environment’ ;</p> <p>Horizon Europe: Part ‘Widening participation and strengthening the European Research Area’:</p> <p>Widening Participation and Spreading Excellence;</p> <p>Reforming and Enhancing the European R&I System;</p> <p>Information provision and promotion measures concerning agricultural products ;</p> <p>Research Programme of the Research Fund for Coal and Steel ;</p> <p>The legacy activities of the following parts of the Horizon 2020 Specific Programme – The Framework Programme for Research and Innovation (2014-2020) :</p> <p>Part I ‘Excellent science’, the specific objectives:</p> <p>‘Marie Skłodowska-Curie Actions’ and</p> <p>‘Research Infrastructures’;</p> <p>Part III ‘Societal Challenges’, the specific objectives:</p> <p>‘Societal Challenge’ 2: Food security, sustainable agriculture and forestry, marine, maritime and inland water research and the bio-economy;</p> <p>‘Societal Challenge’ 5: Climate action, Environment, resource efficiency and raw materials;</p> <p>‘Societal Challenge 6’: Europe in a changing World - Inclusive, innovative and reflective societies;</p> <p>‘Societal Challenge’ 7: Secure Societies - Protecting freedom and security of Europe and its citizens";</p> <p>Part IV ‘Spreading Excellence and Widening Participation’;</p> <p>Part V ‘Science with and for society’.</p> <p>The legacy activities of the following parts of the 7th Framework Programme for RTD (Decision 1982/2006/EC) (2007-2013) :</p> <p>The legacy of the activities ‘Research for SMEs’ and ‘Research for SME associations’ of the Capacities Specific Programme of the Seventh Framework Programme ;</p> <p>The legacy of the ‘Security’ themes of the Cooperation Specific Programme of the Seventh Framework Programme;</p>

		<p>The legacy of the People Specific Programme of the Seventh Framework Programme ;</p> <p>The legacy of Information provision and promotion measures concerning agricultural products under the multi annual financial framework 2014-2020 ,</p> <p>The legacy of the Research Programme of the Research Fund for Coal and Steel.</p>
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1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 72 : European Research Executive Agency — Contribution from Horizon Europe

08 01 01 72 : European Research Executive Agency — Contribution from the European Agricultural Guarantee Fund

20 03 14 72 : European Research Executive Agency — Contribution for the implementation of the research programme for coal and steel and non-research programmes

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	42	39	92,86%	55	55
Temporary Agents (recruited by the executive agency)	150	146	97,34%	157	160
Total Establishment plan posts	192	185	96,35%	212	215
Contract Agents	593	593	100%	636	645
Seconded National Experts					
TOTAL STAFF	785	778	99,11%	848	860

1.5 Financial Resources Overview

1.5.1 Revenues Overview⁵³

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	88 276 992	96 989 904
2. EEA/EFTA contribution (excl. Switzerland)	2 319 128	2 252 934

⁵³ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3 and 5.1.2) without resulting in the revenues to exceed the overall expected expenditure of the agency.

3. Participation of candidate countries and/or third countries	0	1 304 817
TOTAL	90 596 120	100 547 655

The numbers for 2021 are based on REA budget lines of the 2021 EU General Budget (and other revenue) for REA including new mandate, adopted by the REA Steering Committee of 24/03/2021 [REA / SC(2021) 2.3.2].

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	68 231 515	68 231 515	75 034 885	75 034 885
Title 2 - Infrastructure and operating expenditure	13 110 030	13 110 030	14 408 240	14 408 240
Title 3 - Programme support expenditure	9 254 575	9 254 575	11 104 530	11 104 530
TOTAL EXPENDITURE	90 596 120	90 596 120	100 547 655	100 547 655

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2022, the executive agency is in its 14th year for the 2007-2013 mandate – FP7, the 9th year for the 2014-2020 mandate – Horizon 2020 and the 2nd year for the 2021-2027 mandate – Horizon Europe, Promotion of Agricultural Products and Research Programme of the Research Fund for Coal and Steel. It manages the following programmes.

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Cooperation" Specific Programme / Security research 01 02 99 01			X
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "People" Specific Programme 01 02 99 01			X
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Capacities" Specific Programme / Capacities - Research for the benefit of small and medium-sized enterprises (SMEs) 01 02 99 01			X
Horizon 2020 "Excellent science – Marie Skłodowska-Curie actions – Generating new skills and innovation" 01 02 99 01		X	

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Horizon 2020 "Societal challenges 2: Improving food security, developing sustainable agriculture and forestry, marine and maritime and inland water research, and the bioeconomy" 01 02 99 01		X	
Horizon 2020 "Societal challenges 6: Europe in a changing world – inclusive, innovative and reflective Societies" 01 02 99 01		X	
Horizon 2020 "Societal challenges 7: Secure societies – Protecting freedom and security of Europe and its citizens" 01 02 99 01		X	
Horizon 2020 "Spreading excellence and widening participation – Exploiting the potential of Europe's talent pool" 01 02 99 01		X	
Horizon 2020 "Science with and for society" 01 02 99 01		X	
Contracting and Paying of Expert Evaluators for all Horizon 2020, except the ERC programme 01 02 99 01		X	
Horizon 2020 "Societal challenges – Fostering secure societies" – Classified Projects 01 02 99 01		X	
Third parties' legal validation and the preparation of viability assessment, in response to the obligation of the Single Electronic Data Interchange Area – SEDIA (based on Article 95 (2) of the Financial Regulation of 2012 and on Article 114 (1) of the Financial Regulation of 2018)		X	
Horizon Europe "Pillar I: 'Marie Skłodowska-Curie Actions (MSCA)'" 01 02 01 02	X		
Horizon 2020 "Part I 'Excellent science', the specific objective: 'Research Infrastructures'" 01 02 99 01	X		
Horizon Europe "Pillar I: 'Research Infrastructures'" 01 02 01 03	X		
Horizon Europe "Pillar II: Cluster 2: 'Culture, creativity and inclusive society'" 01 02 02 20	X		

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Horizon Europe "Pillar II : Cluster 3: 'Civil Security for Society'" 01 02 02 30	X		
Horizon 2020 "Part III 'Societal Challenges', the specific objective: 'Societal Challenge' 5: Climate action, Environment, resource efficiency and raw materials" 01 02 99 01	X		
Horizon Europe "Pillar II : Cluster 6 : 'Food, Bioeconomy, Natural Resources, Agriculture and Environment'" 01 02 02 60	X		
Horizon Europe "Part 'Widening participation and strengthening the European Research Area': Widening Participation and Spreading Excellence" 01 02 04 01	X		
Horizon Europe "Part 'Widening participation and strengthening the European Research Area': Reforming and Enhancing the European R&I System" 01 02 04 02	X		
Contracting and Paying of Expert Evaluators for all Horizon Europe, except the ERC programme 01 02 05	X		
The legacy of Information provision and promotion measures concerning agricultural products under the multi annual financial framework 2014-2020 08 02 03 03	X		
Information provision and promotion measures concerning agricultural products, the new programme under the MFF 2021-2027 08 02 03 03	X		
The legacy of the Research Programme of the Research Fund for Coal and Steel. 01 20 03 01 and 01 20 03 02	X		
Research Programme of the Research Fund for Coal and Steel, the new programme under the MFF 2021-2027 01 20 03 01 and 01 20 03 02	X		

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Horizon Europe cross-cutting actions on "Cluster Health" 01 02 02 10, "Cluster Digital, Industry and Space" 01 02 02 40, "Cluster Climate, Energy and Mobility" 01 02 02 50 as well as on European Research Council, 01 02 01 01, European Innovation Council, 01 02 03 01, and European Innovation Ecosystems, 01 02 03 02.	X		
Research Pilot projects and Preparatory actions 01 20 01 and 01 20 02			

2.2 Operational appropriations managed

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of European Agricultural Guarantee Fund (EAGF)						
Completion of Previous Framework Programme for Research and Innovation						
01 02 99 01 - Completion of previous research programmes (prior to 2021)						
Operational Budget	12 492 627 613	10 728 717 135	p.m.	8 006 405 601	p.m.	4 605 557 369
<i>Of which managed by the executive agency</i>	2 731 136 626	2 176 707 860		1 698 357 397		638 153 125
European Agricultural Guarantee Fund (EAGF)						
08 02 03 03 - Promotion of agricultural products — Multi-programmes and actions implemented by the Commission under direct management						
Operational Budget	100 900 000	60 969 923	96 900 000	99 587 234	96 900 000	109 434 447

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>			96 900 000	99 587 234	96 900 000	109 434 447
Horizon Europe – the Framework Programme for Research and Innovation						
01 02 01 02 - Marie Skłodowska-Curie Actions						
Operational Budget			770 337 666	134 772 346	847 934 717	373 700 613
<i>Of which managed by the executive agency</i>			783 641 522 ⁵⁴	0	849 645 944	374 502 106
01 02 01 03 - Research infrastructures						
Operational Budget			271 883 882	4 813 754	305 433 485	192 186 924
<i>Of which managed by the executive agency</i>			253 341 126	321 449	185 771 445	162 857 421
01 02 02 20 - Cluster ‘Culture, Creativity and Inclusive Society’						
Operational Budget			317 197 862	19 899 964	258 071 012	113 149 231
<i>Of which managed by the executive agency</i>			154 100 915		257 636 381	103 835 268
01 02 02 30 - Cluster ‘Civil Security for Society’						
Operational Budget			p.m.	p.m.	202 756 055	178 056 054
<i>Of which managed by the executive agency</i>			160 592 888	608 805	133 615 227	115 455 300
01 02 02 60 - Cluster ‘Food, Bioeconomy, Natural Resources, Agriculture and Environment’						
Operational Budget			1 132 849 508	21 841 347	1 003 750 348	921 360 948
<i>Of which managed by the executive agency</i>			950 976 187	409 117	876 549 233	835 115 841
01 02 04 01 - Widening participation and spreading excellence						
Operational Budget			357 216 621	102 586 337	379 744 528	241 934 541
<i>Of which managed by the executive agency</i>			344 243 132	79 810 377	342 246 511	238 167 268
01 02 04 02 - Reforming and enhancing the European R&I system						
Operational Budget			45 313 980	3 151 490	83 177 114	91 764 076

⁵⁴ The reason for this delegated budget being higher is that the voted budget did not yet reflect the final budgetary allocation that was agreed on Horizon Europe: this budgetary alignment is done through Commission internal transfers that are not yet reflected in the operational budget. The same is relevant for cluster 3

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>					65 287 401	75 924 660
01 02 05 - Horizontal operational activities						
Operational Budget			152 210 469	70 343 975	161 663 030	147 117 092
<i>Of which managed by the executive agency</i>			60 000 000	60 000 000	70 000 000	65 000 000
TOTAL operational budget managed by the agency	2 731 136 626	2 176 707 860	2 803 795 770	1 939 094 379	2 877 652 142	2 712 916 737

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L50, 15.2.2021, p.9)

Staff	2021	2022	2023	2024	2025	2026	2027
Temporary Agents (Officials seconded by the Commission)	55	55	55	55	55	55	55
Temporary Agents (recruited by the agency)	157	160	163	167	170	173	176
Executive Agency's total Establishment Plan Posts	212	215	218	222	225	228	231
Contract Agents (*)	636	645	655	665	674	684	694
Seconded National Experts (SNE)	-	-	-	-	-	-	-
Total staff of the Executive Agency	848	860	873	886	899	912	925

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 16				
AD 15				
AD 14		6	15	16

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 13		10	14	15
AD 12		9	30	31
AD 11		8	30	30
AD 10		17	32	35
AD 9		42	44	34
AD 8		22	26	28
AD 7		33	7	9
AD 6		23	4	7
AD 5		3		
AD TOTAL		173	202	205
AST 11				
AST 10		1	1	1
AST 9		1	3	4
AST 8		1	4	4
AST 7		1	2	1
AST 6		6		
AST 5		2		
AST 4				
AST 3				
AST 2				
AST 1				
AST TOTAL		12	10	10
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL		185	212	215
Of which Officials seconded by the commission		39	55	55

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV		232	286	303
Function Group III		226	255	258
Function Group II		129	92	81
Function Group I		6	3	3
TOTAL		593	636	645

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2020	Recruited as of 31/12/2020	Foreseen in 2021	Foreseen 2022 (*)
Financed from EDF				
Financed from participation of candidate countries and/or third countries	37	3	37	37

The expected association of the UK and of any other Associated Country to Horizon Europe in 2022 will further increase the Agency's budget, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents.

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the number of posts and external staff that will be freed, in the form of a net reduction or a frozen post.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Heading 7	21		9	7
Horizon Europe*	188		129	
Targeted reductions in other areas *	180			26
Total	389		138	33

*these numbers refer to the total number of reductions that the Commission will implement to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally for all executive agencies as a precise split by executive agency cannot be presented.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Total				

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2020	In 2021	In 2022
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement. It must be noted that these numbers are included in the total number of staff to be freed, as presented in section 3.3.1.1 above.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2021	Number of posts frozen in 2022
DG RTD	41	41	41
DG AGRI	4	4	4
DG EAC	6	6	6
DG CNECT	2	2	2
DG HOME	2	2	2
Total	55	55	55

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency as foreseen in the Specific financial statement accompanying the Commission Implementing Decision (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU (OJ L50, 15.2.2021, p.9)	2021	2022	2023	2024	2025	2026	2027
	93.346	96.989	100.300	103.663	107.160	110.762	114.403
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-27)	93.346	96.989					
Budget after transfers and / or amending budgets (EUR-27)	88.276						

4.2 Revenues⁵⁵

REVENUES		2020	2021	2022	VAR 2022/2021 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
01 01 01 72	HORIZONEU	0	25 500 000	91 211 904	7,86%
08 01 01 72	EAGF	0	2 648 119	3 684 000	4,01%
20 03 14 72	Coal and steel and non-research SEDIA		1 638 663	2 094 000	-43,61%
01 01 01 62	H2020 completion	73 714 915	56 415 210		
20 03 14 62	Non-research prog.	2 034 000	2 075 000		
Sub Total		75 748 915	88 276 992	96 989 904	5,63%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
01 01 01 72	HORIZONEU	1 776 529	2 319 128	2 252 934	0,16%
Sub Total		1 776 529	2 319 128	2 252 934	0,16%
3. Participation of candidate countries and/or third countries					

⁵⁵ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3 and 5.1.2) without resulting in the revenues to exceed the overall expected expenditure of the agency.

Budget Line	Programme				
01 01 01 72	HORIZONEU	1 683 412.79	p.m.	1 304 817	100%
Sub Total				1 304 817	100%
TOTAL REVENUES		79 208 856.79	90 596 120	100 547 655	6,89%

The EFTA/EEA of 2021 is 2.66% for Horizon Europe.

The EFTA/EEA of 2022 is 2.47% for Horizon Europe.

“Subsidy to REA” budget lines of the 2021 EU General Budget (and other revenue) for REA is with the new mandate of the Agency as adopted by the REA Steering Committee of 24/03/2021 [REA / SC(2021) 2.3.2].

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	60 490 292	68 231 515	75 034 885	9,97%
Remunerations, Allowances and Charges	57 725 905	65 045 645	71 533 350	9,97%
- <i>Of which establishment plan posts</i>	<i>22 900 071</i>	<i>25 004 635</i>	<i>28 591 175</i>	<i>14,34%</i>
- <i>Of which external personnel</i>	<i>34 825 834</i>	<i>40 041 010</i>	<i>42 942 175</i>	<i>7,25%</i>
Professional Development and Social expenditure	2 764 387	3 185 870	3 501 535	9,91%
Title 2 - Infrastructure and operating expenditure	11 717 096	13 110 030	14 408 240	9,90%
Building expenditure	8 087 153	8 068 235	8 955 140	10,99%
ICT expenditure	3 141 336	4 567 595	4 881 745	6,88%
Movable Property and Current Operating expenditure	488 607	474 200	571 355	20,49%
Title 3 - Programme support expenditure	7 137 528	9 254 575	11 104 530	19,99%
Programme Management expenditure	801 176	2 112 920	2 132 635	0,93%
Common Support Services expenditure	6 336 352	7 141 655	8 971 895	25,63%
TOTAL	79 344 916	90 596 120	100 547 655	10,98%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	60 082 231	68 231 515	75 034 885	9,97%
Remunerations, Allowances and Charges	57 627 043	65 045 645	71 533 350	9,97%
- <i>Of which establishment plan posts</i>	<i>22 900 071</i>	<i>25 004 635</i>	<i>28 591 175</i>	<i>14,34%</i>
- <i>Of which external personnel</i>	<i>34 726 972</i>	<i>40 041 010</i>	<i>42 942 175</i>	<i>7,25%</i>
Professional Development and Social expenditure	2 455 188	3 185 870	3 501 535	9,91%
Title 2 - Infrastructure and operating expenditure	8 850 186	13 110 030	14 408 240	9,90%
Building expenditure	6 094 531	8 068 235	8 955 140	10,99%
ICT expenditure	2 505 595	4 567 595	4 881 745	6,88%
Movable Property and Current Operating expenditure	250 060	474 200	571 355	20,49%
Title 3 - Programme support expenditure	2 549 742	9 254 575	11 104 530	19,99%

Programme Management expenditure	481 136	2 112 920	2 132 635	0,93%
Common Support Services expenditure	2 068 606	7 141 655	8 971 895	25,63%
TOTAL	71 482 159	90 596 120	100 547 655	10,98%

4.4 Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as general revenue): EUR 688 975.04.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

In line with the Specific Financial Statement (SFS) for REA's new mandate of 2021-2027, adopted the 12/02/2021, the total number of staff requested by the Agency for 2022 and financed by the EU General Budget is 860. This represents an increase of 12 staff (1,4%) compared to the 2021 allocation (i.e. 848 staff).

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

Similarly to 2020 and to 2021, the staff expenditure for 2022 also includes 37 contract agents financed from appropriations accruing from contributions from Associated Countries.

The expected association of the UK and of any other Associated Country to Horizon Europe in 2022 will further increase the Agency's budget, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents.

5.1.3 Salary assumption for calculating salary lines (% applied)

The Agency's budget for 2022 covers a full year salary for temporary and contract staff (including those financed by Third Country contributions) that will be in place at 31.12.2021, which is expected to be the authorised staff for 2022 (i.e. 897 staff, 860 as provided by the SFS and 37 funded by the Associated Countries), minus expected vacancies at year-end.

Therefore, an average vacancy rate of 3% on the entire staff (based on head count) to be authorised for REA for 2022 has been taken into account.

5.1.4 Vacancy rate as of end 2020

On 31 December 2020, the vacancy rate of REA was 5.0% of the total authorised staff under the 2020 Budget (both temporary and contract staff), in head count.

5.1.5 Standard abatement('abatement forfaitaire')

The occupation rate throughout 2022 is estimated at % (see 5.1.3), meaning that the expected vacancy rate for 2022 would be % in Full Time Equivalent (FTE). This estimate accommodates a turnover rate of staff in line with the usually observed rate at the Agency.

5.2 Financial Resources

5.2.1 Title 1

Important note: the comparisons are made against the REA 2021 Amended Administrative Budget, as adopted by the REA Steering Committee of 24/03/2021 [REA / SC(2021) 2.3.2].

The increase in the budget for Title 1 by + 10% (+ EUR 6 803 370) is due to:

Chapter 11 (+ 10% representing an increase by + EUR 6 487 705) and Chapter 12 (+ 9.9% representing an increase by + EUR 315 665) and it reflects the annual increase in salary expenditure (see 5.1.3.) and related expenditure.

The increase of salaries is mainly due to a 5,5% increase of the FTEs, a 3,0% of salaries indexation for half a year (i.e. +1,5% for a full year). The average increase of salaries, the reclassification impacts as well as the automatic increase of the salaries steps count for the rest of the salaries increase.

5.2.2 Title 2

Important note: the comparisons are made against the REA 2021 Amended Administrative Budget, as adopted by the REA Steering Committee of 24/03/2021 [REA / SC(2021) 2.3.2], which thus reflects the late entry into force of the delegation package (April 1 2021). The increase in the budget for Title 2 by + 9.9% (+ EUR 1 298 210) is due to:

Chapter 21 (+ 11% representing an increase by + EUR 886 905), which is mainly due to the increase of the REA usufruct instalment (rent) and to the increase of market prices for the building expenses via contractors and via Service-Level Agreements (SLAs), e.g. with OIB and with HR.DS.

Chapter 22 (+ 6.9% representing an increase by + EUR 314 150), which is mainly due to the increase of the Memorandum of Understanding (MoU) with DIGIT, as well as the foreseen migration of REA's IT applications to DIGIT.

5.2.3 Title 3

Important note: the comparisons are made against the REA 2021 Amended Administrative Budget, as adopted by the REA Steering Committee of 24/03/2021 [REA / SC(2021) 2.3.2].

Title 3 is increased by + 20% (+ EUR 1 849 955), which in line with the increase of REA's activity based on its new mandate, mostly reflected by the increase of Chapter 32 (+25.6% representing an increase by +EUR 1 830 240).

6 Other information

6.1 Buildings

6.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Covent Garden	Brussels	15 890	699	16 589	3 955 632	24.09.2008 to 23.09.2023	Usufruct convention	No	
TOTAL			15 890	699	16 589	3 955 632				

6.1.2 Current Building(s) Other comments

As of 2014, the European Commission's Office for Infrastructure and Logistics (OIB) provides REA additional office space, paid back by the Agency via the Service Level Agreement (SLA) with OIB. This additional space, not covered by the usufruct contract referred to above, is provided in order to accommodate staff increases resulting from REA's extended mandate for the periods 2014-2020 and 2021-2027. As such, the Agency's needs for office space become an integral part of the Commission's middle and long term real estate planning.

Additional floors rented out to REA, which are under the European Commission's Usufruct contract, are paid by REA via its Service Level Agreement (SLA) with OIB. In 2019, OIB has made available one floor in COV2 previously occupied by DG RTD and redistributed the space among the executive agencies. In 2021 and 2022, further exchanges of space in the building will be done to house the executive agencies EASME, ERCEA, REA and, for some time, also HaDEA.

6.2 Evaluation

In accordance with the requirements of the Council Regulation (EC) No 58/2003, the Commission carried out the evaluation of the agency's performance over the period July 2015-July 2018, undertaken by an external consultant contracted by DG RTD in its capacity as lead parent Directorate-General of the Agency. The main objective of the study was to assess the effectiveness, efficiency and coherence of the implementation of parts of European Union programmes by the Agency. It covered the H2020 actions and projects funded during the reference period, the remaining FP7 actions delegated to the Agency under its first mandate, as well as administrative and logistical support services provided by REA to other Commission services. The results were presented to the REA Steering Committee of 18 February 2019.

Overall, the assessment was positive and confirmed that the delegation of the programmes to the Agency was justified in terms of cost-savings and value added.

The main conclusions of the analysis of the third external evaluation of REA can be summarised as follows:

- The Agency operated according to the legal framework.
- REA was effective in achieving its objectives set out in the AWP between 2015 and 2018, and continuously improved its operations even though it was already achieving a high level of overall effectiveness. The Agency was also flexible and effective in addressing the key changes that occurred during the evaluation period such as the implementation of SEDIA. Concerning the lessons learned during the 2015-2018 period, the growing prevalence of actions with policy interest required REA and its parent DGs to formalise the specific modalities and procedures for their implementation.
- REA was efficient in managing the delegated programmes and achieved very good results in terms of most KPIs. Compared to the previous three-year period, the Agency's performance further improved during 2015-2018. REA consistently achieved all of its targets on budget execution, the quality evaluation process, the timely conclusion of the grant agreements and the provision of efficient support services to its clients and other stakeholders. With regard to the legality and regularity of the FP7 operations, the estimated residual multiannual error rates remained in a similar range over 2014-2018, in line with the general trend for the programme. The Agency coped well with the increased workload following the expansion of its mandate in 2017. The levels of stakeholders' satisfaction increased compared to the previous survey.
- The Agency has stepped up its HR management with the adoption of its 2016 HR Action Plan and through a comprehensive multi-annual HR strategy. The adoption of specific HR monitoring and reporting measures allows REA to effectively track its progress, particularly in the areas of career development opportunities and mobility, work recognition and equal opportunities and internal communication.
- REA demonstrated flexibility in implementing its programming remit and additional responsibilities.
- REA has strengthened policy feedback (PFB), although there is still a need to better define the policy-making needs of the parent DGs. The recent IAS consultancy engagement about policy feedback (draft report discussed in the CIC executive committee meeting of 17.01.20) confirmed that DGs need to better define the PFB and their relating needs and that REA developed many good practices, which should benefit to the whole R&I Family.
- The Executive Agency scenario was a cost-effective solution as compared to the in-house scenario and generated substantial savings. The Cost Benefits Analysis (CBA) exercise found that the actual savings of the Agency scenario were EUR 105 million during the period 2015-2018. These savings were higher than the initial estimates in the SFS (EUR 80 million), primarily due to a higher share of lower cost contract staff (CAs) employed within REA and the lower overall number of staff.

The evaluation resulted in an action plan, which was discussed with all REA Parent DGs and presented to the REA Steering Committee in October 2020. The majority of recommendations are already implemented, and the remaining actions will be addressed during 2021.

2.4 European Research Council Executive Agency (“ERCEA”)

1. Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Commission decision 2008/37EC of 14/12/2007	OJ L9 of 12/01/2008	Creation of the agency managing the Ideas Specific Programme in the framework of the 7th Framework Programme for research
Commission implementing decision C(2013)9048 of 17/12/2013	OJ L346 of 20/12/2013	Establishing the European Research Council Executive Agency and repealing Decision 2008/37/EC
Commission Implementing Decision (EU) C/2021/953 of 12/02/2021	OJ L 50/9 of 15/02/2021	Establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU - BUDG - adoptée: 12/02/2021 10:04:39
Commission decision C/2021/950 of 12/02/21		COMMISSION DECISION delegating powers to the European Research Council Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of frontier research comprising, in particular, implementation of appropriations entered in the general budget of the Union - BUDG - adoptée: 12/02/2021 10:04:45

1.2 Seat

Brussels, Belgium

1.3 Budget Line

01 01 01 71 : European Research Council Executive Agency — Contribution from Horizon Europe

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	20	20		20	20
Temporary Agents (recruited by the executive agency)	113	108		112	111
Total Establishment plan posts	133	128		132	131
Contract Agents	369	372		374	370
Seconded National Experts	27	15		16	16
TOTAL STAFF	529	515	97,4%	522	517

1.5 Financial Resources Overview

1.5.1 Revenues Overview⁵⁶

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	54 217 000	54 792 000
2. EEA/EFTA contribution (excl.Switzerland)	1 442 172	1 457 467
3. Participation of candidate countries and/or third countries	1 358 214	1 284 912
TOTAL	57 017 386	57 534 379

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	44 926 621	44 926 621	45 515 879	45 515 879
Title 2 - Infrastructure and operating expenditure	9 637 465	9 637 465	9 704 050	9 704 050
Title 3 - Programme support expenditure	2 453 300	2 453 300	2 314 450	2 314 450
TOTAL EXPENDITURE	57 017 386	57 017 386	57 534 379	57 534 379

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2022, the executive agency is in the 15th year of its operational lifetime and manages the following programmes

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
FP7-IDEAS Specific Programme			X
H2020-Excellent Science		X	
Horizon Europe	X		

2.2 Operational appropriations managed

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations

⁵⁶ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3 and 5.1.2) without resulting in the revenues to exceed the overall expected expenditure of the agency.

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Previous Framework Programme for Research and Innovation						
01 02 99 01 - Completion of previous research programmes (prior to 2021)						
Operational Budget	12 492 627 613	10 728 717 135	p.m.	8 006 405 601	p.m.	4 605 557 369
<i>Of which managed by the executive agency</i>	2 227 560 675	2 087 902 215		1 779 439 108		1 180 020 785
Horizon Europe – the Framework Programme for Research and Innovation						
01 02 01 01 - European Research Council						
Operational Budget			1 894 517 764	9 839 026	2 084 994 377	747 922 579
<i>Of which managed by the executive agency</i>			1 847 150 310 ⁵⁷	9 839 026	2 084 994 377	747 922 579
01 02 01 02 - Marie Skłodowska-Curie Actions						
Operational Budget			770 337 666	134 772 346	847 934 717	373 700 613
<i>Of which managed by the executive agency</i>						
01 02 01 03 - Research infrastructures						
Operational Budget			271 883 882	4 813 754	305 433 485	192 186 924
<i>Of which managed by the executive agency</i>						
01 02 02 10 - Cluster 'Health'						
Operational Budget			866 476 221	58 461 973	571 730 809	248 972 336
<i>Of which managed by the executive agency</i>						
01 02 02 20 - Cluster 'Culture, Creativity and Inclusive Society'						
Operational Budget			317 197 862	19 899 964	258 071 012	113 149 231
<i>Of which managed by the executive agency</i>						
01 02 02 30 - Cluster 'Civil Security for Society'						
Operational Budget			p.m.	p.m.	202 756 055	178 056 054

⁵⁷ Amount for 2021-2022 that is delegated excludes EFTA. The 2021 delegated amount is adjusted to reflect the budgetary allocation agreed in Horizon Europe which was not yet reflected in the voted budget (this alignment was done through Commission internal transfers in 2021)

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>						
01 02 02 40 - Cluster 'Digital, Industry and Space'						
Operational Budget			1 693 456 363	168 847 223	1 264 161 905	1 133 029 778
<i>Of which managed by the executive agency</i>						
01 02 02 50 - Cluster 'Climate, Energy and Mobility'						
Operational Budget			1 693 456 363	24 898 340	1 281 577 680	630 134 825
<i>Of which managed by the executive agency</i>						
01 02 02 60 - Cluster 'Food, Bioeconomy, Natural Resources, Agriculture and Environment'						
Operational Budget			1 132 849 508	21 841 347	1 003 750 348	921 360 948
<i>Of which managed by the executive agency</i>						
01 02 02 70 - Non-nuclear direct actions of the Joint Research Centre						
Operational Budget			31 698 079	11 621 170	31 867 011	26 400 000
<i>Of which managed by the executive agency</i>						
01 02 03 01 - European Innovation Council						
Operational Budget			1 127 031 608	192 208 852	1 147 747 786	899 010 000
<i>Of which managed by the executive agency</i>						
01 02 03 02 - European innovation ecosystems						
Operational Budget			56 642 475	16 994 537	66 362 616	23 055 310
<i>Of which managed by the executive agency</i>						
01 02 03 03 - European Institute of Innovation and Technology (EIT)						
Operational Budget			350 008 827	242 163 302	384 247 983	352 736 567
<i>Of which managed by the executive agency</i>						
01 02 04 01 - Widening participation and spreading excellence						
Operational Budget			357 216 621	102 586 337	379 744 528	241 934 541
<i>Of which managed by the executive agency</i>						

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
01 02 04 02 - Reforming and enhancing the European R&I system						
Operational Budget			45 313 980	3 151 490	83 177 114	91 764 076
<i>Of which managed by the executive agency</i>						
01 02 05 - Horizontal operational activities						
Operational Budget			152 210 469	70 343 975	161 663 030	147 117 092
<i>Of which managed by the executive agency</i>						
TOTAL operational budget managed by the agency	2 227 560 675	2 087 902 215	1 847 150 310	1 789 278 134	2 084 994 377	1 927 943 364

3 Human Resources

3.1 Staffing when created extended

Source:

Staff	2021	2022	2023	2024	2025	2026	2027
Temporary Agents (Officials seconded by the Commission)	20	20	20	20	20	20	20
Temporary Agents (recruited by the agency)	112	111	109	108	107	107	104
Executive Agency's total Establishment Plan Posts	132	131	129	128	127	127	124
Contract Agents (*)	374	370	382	377	372	366	364
Seconded National Experts (SNE)	16	16					
Total staff of the Executive Agency	522	517	511	505	499	493	488

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 16				
AD 15				
AD 14		6	11	13

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 13		7	6	4
AD 12		1		2
AD 11		4	18	30
AD 10		37	41	36
AD 9		32	18	9
AD 8		10	15	14
AD 7		14	14	23
AD 6		17	9	
AD 5				
AD TOTAL		128	132	131
AST 11				
AST 10				
AST 9				
AST 8				
AST 7				
AST 6				
AST 5				
AST 4				
AST 3				
AST 2				
AST 1				
AST TOTAL				
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL		128	132	131
Of which Officials seconded by the commission		20	20	20

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	141	144	150	151
Function Group III	153	141	149	147
Function Group II	73	85	73	70
Function Group I	2	2	2	2
TOTAL	369	372	374	370

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2020	Recruited as of 31/12/2020	Foreseen in 2021	Foreseen 2022
Financed from EDF				
Financed from participation of candidate countries and/or third countries	9	0	9	9

The expected association of the UK and of any other Associated Country to Horizon Europe in 2022 will further increase the Agency's budget, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents.

3.2.4 Seconded National Experts

Seconded National Experts	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	15	16	16

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the number of posts and external staff that will be freed, in the form of a net reduction or a frozen post.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Horizon Europe programme *	188		129	
Targeted reductions in other areas *	180			26
Total	368		129	26

*these numbers refer to the total number of reductions that the Commission will do to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally as they cannot be split by each executive agency.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Total				

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2020	In 2021	In 2022
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

It must be noted that these numbers are included in the total number of staff to be freed, as presented in section 3.3.1.1 above.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2021	Number of posts frozen in 2022
DG RTD	20	20	20
Total	20	20	20

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the delegation package adopted on 12 February 2021)	2021	2022	2023	2024	2025	2026	2027
	54,217	54,792	55,302	55,873	56,446	57,098	57,536
Agency contribution as voted by the Budgetary Authority							
Initial Budget (EUR-27)	51,319	54,792					
Budget after transfers and / or amending budgets (EUR-27)	54,217						

4.2 Revenues⁵⁸

REVENUES		2020	2021	2022	VAR 2022/2021 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
01 01 01 71	HORIZONEU	0	54 217 000	54 792 000	1,06%
Sub Total		0	54 217 000	54 792 000	1,06%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
01 01 01 71	HORIZONEU		1 442 172	1 457 467	1,06%
Sub Total			1 442 172	1 457 467	1,06%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
01 01 01 71	HORIZONEU		1 358 214	1 284 912	-5,40%
Sub Total			1 358 214	1 284 912	-5,40%
TOTAL REVENUES		0	57 017 386	57 534 379	0,91%

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	42 086 158	44 926 621	45 515 879	1,31%
Remunerations, Allowances and Charges	39 947 620	42 640 000	43 394 396	1,77%
- Of which establishment plan posts	17 460 000	18 200 000	19 170 000	5,33%
- Of which external personnel	22 487 620	24 440 000	24 224 396	-0,88%
Professional Development and Social expenditure	2 138 538	2 286 621	2 121 483	-7,22%
Title 2 - Infrastructure and operating expenditure	8 631 523	9 637 465	9 704 050	0,69%
Building expenditure	6 350 171	6 566 855	6 800 570	3,56%
ICT expenditure	2 051 450	2 767 480	2 640 200	-4,60%
Movable Property and Current Operating expenditure	229 902	303 130	263 280	-13,15%
Title 3 - Programme support expenditure	1 460 814	2 453 300	2 314 450	-5,66%
Programme Management expenditure	1 460 814	2 453 300	2 314 450	-5,66%

⁵⁸ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3 and 5.1.2) without resulting in the revenues to exceed the overall expected expenditure of the agency.

Common Support Services expenditure				
TOTAL	52 178 495	57 017 386	57 534 379	0,91%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	42 086 158	44 926 621	45 515 879	1,31%
Remunerations, Allowances and Charges	39 947 620	42 640 000	43 394 396	1,77%
- Of which establishment plan posts	17 460 000	18 200 000	19 170 000	5,33%
- Of which external personnel	22 487 620	24 440 000	24 224 396	-0,88%
Professional Development and Social expenditure	2 138 538	2 286 621	2 121 483	-7,22%
Title 2 - Infrastructure and operating expenditure	8 631 523	9 637 465	9 704 050	0,69%
Building expenditure	6 350 171	6 566 855	6 800 570	3,56%
ICT expenditure	2 051 450	2 767 480	2 640 200	-4,60%
Movable Property and Current Operating expenditure	229 902	303 130	263 280	-13,15%
Title 3 - Programme support expenditure	1 460 814	2 453 300	2 314 450	-5,66%
Programme Management expenditure	1 460 814	2 453 300	2 314 450	-5,66%
Common Support Services expenditure				
TOTAL	52 178 495	57 017 386	57 534 379	0,91%

4.4 Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as general revenue): EUR 531 076,19.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

By the end of 2022 the ERCEA expects to have 131 temporary agents – 20 seconded TA (TA-S) and 111 external TA (TA-E), 369 contract agents (CA) and 16 Seconded National Experts (SNE). These figures are subject to the new staffing plan of Horizon Europe in line with the Specific financial statement accompanying the mandate extension under Horizon Europe.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

For the moment nine contract agents are foreseen to be financed under third country contributions and EFTA credits. The expected association of the UK and of any other Associated Country to Horizon Europe in 2022 will further increase the Agency's budget, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents.

The expected amount of operational budget received from these third country contributions (including EFTA) will be substantial in the case of the ERCEA. A very moderate first estimation of the operational credits that will be received is

close to 17,66% of the total operational budget in constant prices. However, taking into account the historical data of the funding participation from the UK and the associated countries (like Israel, Switzerland, ...), a much higher rate is to be expected. Therefore, the nine contract agents foreseen are expected to further increase once the third country agreements are signed, following a consistent approach among the executive agencies that reflects the relative increase in the operational budget and associated workload from the third country and EFTA contributions to the ERC programme. This revision of staff and the relevant budget needed will have to occur quickly to support the additional workload in 2022 (since an important part of the additional workload is particularly linked to the publication and evaluation of the call for proposals).

Moreover, a relevant estimation of interim staff has been foreseen for 2022 in line with the expected needs.

5.1.3 Salary assumption for calculating salary lines (% applied)

The salary calculation was made on an assumption of a salary adjustment of 0.7% for 2021 and 0,7% for 2022.

5.1.4 Vacancy rate as of end 2020

A vacancy rate of 0,4% was taken into account.

5.1.5 Standard abatement('abatement forfaitaire')

The staffing figures are in line with the recently communicated Specific Financial Statement that accompanied the delegation package adopted on 12 February 2021.

5.2 Financial Resources

5.2.1 Title 1

The background calculation for DB2022 follows the same pattern as in previous years. The salary forecast for 2022 has been calculated on the basis of salaries paid in February 2021. In addition, the following elements are taken into account:

- 2021 and 2022 salary indexation of 0.7% respectively;
- 2021 and 2022 reclassification exercises as well as step increase in 2022;
- Recruitments 2021 and 2022
- An occupancy rate of 99,6%
- Travel allowances have been calculated based on 2022 staff in place.

The expected number of SNEs in 2022 is 16 and the number of FTEs interims on average is 15. The budget of those categories remains close to the recent execution.

Moreover, any recent updates in pricing for the services provided by DG HR and PMO have been taken into consideration for establishing their budget requirements. Still, a level of prudence has been applied to estimate the expenses for training, mobility and transportation, and internal meetings.

5.2.2 Title 2

Title II increases by 2,4% compared to the Budget 2021. Apart from the fixed established needs on the rent and relevant expenditure, the rest of the budget was calculated with high level of prudence especially to the expenditure expected to be affected by the COVID 19 outreach. It is considered that at least partially in 2022 the impact of the COVID 19 in the Agency's operations will continue. The budget for the building chapter was calculated based on the yearly indexation of the rent of the building, as well as the yearly indexation of the services provided by OIB and DG HR. Still an additional optimization compared to the requested amount has been made on the OIB maintenance cost.

The budget foreseen for ICT overall is proportionally increased when compared to the previous years. With the new DIGIT SLA and the additional extended services it provides (including logistics and infrastructure), the budget needed is increased substantially.

As every year, an update of the SLAs increases is presented in the FIFI in order to point out the constant and sometimes unexpected increases of the SLAs. Below is the recent situation of the yearly SLA amounts payable and predicted, based on pre-information notices and past experience.

	2017	2018	2019	2020	2021	2022
SLA HR (included DS)	699.287,07	961.006,03	933.819,74	1.064.480,68	1.084.378,00	1.080.160,00
SLA OIB	1.692.533,69	2.240.281,11	2.573.846,64	2.292.705,60	2.512.309,82	2.617.700,00
SLA PMO	346.661,89	359.610,00	328.500,00	366.381,10	359.000,00	350.000,00
SLA DIGIT	981.690,25	989.560,25	1.015.816,86	1.281.233,80	1.700.000,00	1.700.000,00
Amount increase HR		261.718,96	-27.186,29	130.660,94	19.897,32	-4.218,00
% HR		37,43%	-2,83%	13,99%	1,87%	-0,39%
Amount increase OIB		547.747,42	333.565,53	-281.141,04	219.604,22	105.390,18
% OIB		32,36%	14,89%	-10,92%	9,58%	4,19%
Amount increase PMO		12.948,11	-31.110,00	37.881,10	-7.381,10	-9.000
% PMO		3,74%	-8,65%	11,53%	-2,01%	-2,51%
Amount increase DIGIT		7.870,00	26.265,61	265.416,94	418.766,20	0,00
% DIGIT		0,80%	2,65%	26,13%	32,68%	0,00%

5.2.3 Title 3

The major impact of COVID 19, that continues to be considerable in 2022, affects this title. Below is the table with the requested amount and the proposed budget with the relevant reduction.

	Requested	Budgeted	Reduction
3111 Experts, studies, representation and external meeting expenses	401.500,00	176.000,00	56%
3121 Missions and related costs	568.400,00	288.350,00	49%
3141 Expenses of Information, Publications and Communication	552.388,00	392.400,00	29%
3151 Expenses of translation	65.200,00	50.200,00	23%
3171 Operational related IT costs	1.528.000,00	1.407.500,00	8%

The budget was prepared in consideration that the COVID 19 impact on our operations will continue to exist at least partly in 2022 and thus, together with the greening initiative of the Commission, the activities that require physical presence are affected accordingly.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Covent Garden (COV2)	Place Rogier, 16 BE - 1210 ST JOSSE-TEN-NOODE	14 028	1 298	15 326	3 868 285	15 years	Usufruct contract	No	
TOTAL			14 028	1 298	15 326	3 868 285				

6.1.2 Current Building(s) Other comments

The plan that was approved by the three Agencies and OIB does not foresee any change this year.

6.1.3 Building projects in the planning phase

Since 21/09/2020, with an amendment of the Usufruct Contract, an additional floor (19th) in COV2 is occupied by ERCEA, replacing REA staff.

6.2 Evaluation

In the last quarter of 2018, DG RTD initiated the third 3-year evaluation of operations of ERCEA, in line with the obligations set in Article 25(1) of Council Regulation (EC) No 58/2003 laying down the statute for executive agencies. The evaluation covered the period from mid-July 2015 to mid-July 2018 and focused on questions of effectiveness, efficiency and coherence of the Agency. The final evaluation results were adopted by the Commission, transmitted to the European Parliament, the Council and the Court of Auditors and then officially published in 2020. The action plan on the recommendations and areas for attention were discussed and finally approved in December 2020.

Overall, the evaluation found that the delegation of the ERC programme to the ERCEA was fully justified with major cost savings generated and value added. During the reference period, the actual savings of the Agency scenario compared to the management by the Commission services (in-house scenario) were higher than the 2013 estimates. The ERCEA was effective in achieving its objectives relating to the programming mandate and in supporting the ScC with high-quality and timely support. Both ScC members and external stakeholders were highly satisfied with the Agency's performance. The ERCEA was found to be one of the most efficient and cost-effective Executive Agencies in the 2015-2018 period, building on its strong performance in 2012-2015.

2.5 European Innovation Council and SMEs Executive Agency (“EISMEA”)

1. Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021	OJ L 50/9 of 15/02/2021	establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU
COMMISSION DECISION C(2021)949 of 12 February 2021		delegating powers to the European Innovation Council and SMEs Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of Innovative Europe, Single Market and Interregional Innovation Investments comprising, in particular, implementation of appropriations entered in the general budget of the Union

1.2 Seat

Brussels

1.3 Budget Line

01 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from Horizon Europe

03 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from the Single Market Programme

05 01 01 76 : European Innovation Council and SMEs Executive Agency — Contribution from interregional innovation investments

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)		27		38	38
Temporary Agents (recruited by the executive agency)		81		80	83
Total Establishment plan posts		108		118	121
Contract Agents		372		276	268
Seconded National Experts				2	2
TOTAL STAFF		480		396	391

1.5 Financial Resources Overview

1.5.1 Revenues Overview⁵⁹

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	45 380 265	43 499 000
2. EEA/EFTA contribution (excl.Switzerland)	1 083 415	1 134 144
3. Participation of candidate countries and/or third countries	410 000	410 000
TOTAL excluding NGEU	43 500 153	44 645 204
NGEU	3 691 625	6 148 000
TOTAL including NGEU	50 565 365	51 191 144

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	35 483 951	35 483 951	40 792 767	40 792 767
Title 2 - Infrastructure and operating expenditure	8 198 600	8 198 600	7 841 677	7 841 677
Title 3 - Programme support expenditure	2 527 000	2 527 000	2 556 700	2 556 700
TOTAL EXPENDITURE	46 209 551	46 209 551	51 191 144	51 191 144

Note that the expenditure included above for 2021 was based on the Administrative Budget adopted by the Steering Committee in December 2020. This will be subject still to an amending budget to be adopted by the steering committee in July 2021 to reflect the changes introduced in the general budget 2021 for EISMEA and the late entry into force of the delegation package. This results in the expenditure above for 2021 to exceed the revenues (while there is no excess expected after the amending budget).

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2022, the executive agency is in the 2nd year of its operational lifetime and manages the following programmes

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Horizon Europe, Pillar III:			
- European Innovation Council (EIC)	X		
- European Innovation Ecosystems (EIE)	X		
Single Market Programme:			
- Consumers	X		
- COSME pillar	X		

⁵⁹ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3 and 5.1.2) without resulting in the revenues to exceed the overall expected expenditure of the agency.

- Internal Market and support to Standardisation	X		
European Regional Development Fund			
- Interregional Innovation Investments	X		
Legacy of:			
- Horizon 2020 – FET			X
- Horizon 2020 – Access to Risk Finance and Innovation in SMEs			X
- Horizon 2020 – FTI			X
- Horizon 2020 – SME Instrument			X
- COSME Programme			X
- Parts of the Internal Market and support to Standardisation activities			X
- Consumers Programme			X

2.2 Operational appropriations managed

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Consumer Programme						
03 02 99 03 - Completion of previous activities and programmes in the field of consumers (prior to 2021)						
Operational Budget	27 100 000	26 907 176	p.m.	19 500 000	p.m.	7 905 000
<i>Of which managed by the executive agency</i>	19 063 174	17 367 458		12 095 424		4 441 091
Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME)						
03 02 99 01 - Completion of previous programmes in the field of small and medium-sized enterprises, including the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (prior to 2021)						
Operational Budget	136 528 626	141 218 691	p.m.	62 200 000	p.m.	68 100 000
<i>Of which managed by the executive agency</i>	126 738 363	132 914 218		47 880 716		61 258 154
Completion of Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) - Financial instruments						
02 02 99 01 - Completion of previous programmes in the field of small and medium-sized enterprises, including the Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (prior to 2021) — Financial instruments						
Operational Budget	269 154 539	333 946 270	p.m.	244 750 000	p.m.	159 700 000
<i>Of which managed by the executive agency</i>				73 028		170 399

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Food and Feed						
Completion of Specific activities in the field of financial reporting and auditing						
03 02 99 04 - Completion of previous programmes related to standardisation, financial reporting and services, auditing and statistics (prior to 2021)						
Operational Budget	107 317 222	90 602 774	p.m.	78 072 328	p.m.	44 300 000
<i>Of which managed by the executive agency</i>				9 500 000		11 514 362
03 02 99 05 - Completion of previous activities in the field of internal market and financial services (prior to 2021)						
Operational Budget	42 408 007	40 630 742	p.m.	27 181 985	p.m.	9 779 000
<i>Of which managed by the executive agency</i>						
Completion of Previous Framework Programme for Research and Innovation						
01 02 99 01 - Completion of previous research programmes (prior to 2021)						
Operational Budget	12 492 627 613	10 728 717 135	p.m.	8 006 405 601	p.m.	4 605 557 369
<i>Of which managed by the executive agency</i>				774 588 465		361 431 846
Completion of Enhancing consumers involvement in EU policy making in the field of financial services						
European Regional Development Fund (ERDF)						
05 02 01 - ERDF — Operational expenditure						
Operational Budget			29 064 448 802	1 181 530 830	30 010 356 313	2 237 309 303
<i>Of which managed by the executive agency</i>			75 800 571		77 316 583	54 121 608
Horizon Europe – the Framework Programme for Research and Innovation						
01 02 03 01 - European Innovation Council						
Operational Budget			1 127 031 608	192 208 852	1 147 747 786	899 010 000

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>			1 119 780 000	51 009 500	1 142 901 348	899 010 000
01 02 03 02 - European innovation ecosystems						
Operational Budget			56 642 475	16 994 537	66 362 616	23 055 310
<i>Of which managed by the executive agency</i>			56 642 475	6 515 275	66 362 616	23 046 330
Single Market Programme, including COSME, ISA2, ESP, consumer involvement in fin. Services, financial reporting, Health, Food and Feed, consumer programme						
03 02 01 01 - Operation and development of the internal market of goods and services						
Operational Budget			34 534 719	8 730 000	28 406 000	23 000 000
<i>Of which managed by the executive agency</i>						
03 02 01 07 - Market surveillance						
Operational Budget			10 559 000	2 670 000	14 208 000	6 320 000
<i>Of which managed by the executive agency</i>			7 196 982	2 434 275	10 355 404	4 420 000
03 02 02 - Improving the competitiveness of enterprises, particularly SMEs, and supporting their access to markets						
Operational Budget			117 443 450	26 315 500	121 450 000	67 600 000
<i>Of which managed by the executive agency</i>			103 344 693	26 315 500	112 658 228	47 884 615
03 02 03 01 - European standardisation						
Operational Budget			21 458 109	12 500 000	21 676 000	10 500 000
<i>Of which managed by the executive agency</i>			16 093 600	4 593 864	16 018 500	7 875 000
03 02 04 01 - Ensuring high level of consumer protection and product safety						
Operational Budget			23 174 531	7 130 585	23 500 000	17 459 000
<i>Of which managed by the executive agency</i>			16 669 426	4 269 718	18 257 059	14 320 351
TOTAL operational budget managed by the agency	145 801 537	150 281 676	1 395 527 747	939 275 765	1 443 869 738	1 489 493 756

3 Human Resources

3.1 Staffing when created extended

Source: Specific Financial Statement for European Innovation council and Small and Medium-sized enterprises Executive Agency (including staff financed on NGEU)

Staff	2021	2022	2023	2024	2025	2026	2027
Temporary Agents (Officials seconded by the Commission)	38	38	38	38	38	38	38
Temporary Agents (recruited by the agency)	80	83	78	74	67	62	56
Executive Agency's total Establishment Plan Posts (*)	118	121	116	112	105	100	94
Contract Agents (*)	296,7	302,1	291,8	281,3	264,4	250,7	237,1
Seconded National Experts (SNE)							
Total staff of the Executive Agency	414,7	422,8	408,2	393,5	369,5	350,2	331,0

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 16				
AD 15			1	1
AD 14		4	7	8
AD 13		3	7	7
AD 12		6	17	19
AD 11		4	13	12
AD 10		12	9	9
AD 9		4	12	9
AD 8		7	12	11
AD 7		8	18	20
AD 6		26	5	5
AD 5		22	5	9
AD TOTAL		96	106	110
AST 11				
AST 10				
AST 9				1
AST 8			1	
AST 7				
AST 6		1	3	3
AST 5		8	3	2
AST 4		2	2	2

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AST 3		1		
AST 2				
AST 1				
AST TOTAL		12	9	8
AST/SC 6				
AST/SC 5				
AST/SC 4				1
AST/SC 3			2	1
AST/SC 2			1	1
AST/SC 1				
AST/SC TOTAL			3	3
TOTAL		108	118	121
Of which Officials seconded by the commission		27	38	38

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV		203	140	136
Function Group III		132	108	105
Function Group II		35	27	26
Function Group I		2	1	1
TOTAL		372	276	268

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2020	Recruited as of 31/12/2020	Foreseen in 2021	Foreseen 2022
Financed from EDF				
Financed from NGEU			19	32
Financed from participation of candidate countries and/or third countries	5	5	5	5

The Human resources financed from NGEU are: 19 Contract Agents (CAs) 2021 and 32 CAs in 2022.

In addition, both for 2021 and 2022, pending the signature of the agreements with candidate countries and/or third countries, 5 Contract Agents are financed from participation of candidate countries and/or third countries. The expected association of the UK and of any other Associated Country to Horizon Europe in 2022 will further increase the Agency's budget, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope

with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents.

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL			2	2

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the number of posts and external staff that will be freed, in the form of a net reduction or a frozen post.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Heading 7	10		7	2
Horizon Europe programme *	188		129	
Targeted reductions in other areas *	180			26
Total	378		129	26

*these numbers refer to the total number of reductions that the Commission will implement to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally for all executive agencies as a precise split by executive agency cannot be presented.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Total				

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2020	In 2021	In 2022
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement. It must be noted that these numbers are included in the total number of staff to be freed, as presented in section 3.3.1.1 above.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2021	Number of posts frozen in 2022
DG RTD	24	24	24
DG REGIO	2	2	2
DG GROW	7	7	7
DG CNECT	4	4	4
DG JUST	1	1	1
Total	38	38	38

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate – NGEU excluded)	2021	2022	2023	2024	2025	2026	2027
	43 633 000	43 499 000	43 274 000	43 052 000	42 761 000	42 482 000	42 190 000
Agency contribution as voted by the Budgetary Authority							
Initial Budget (EUR-27)	43 633 000	43 499 000					
Budget after transfers and / or amending budgets (EUR-27)	45 380 265						

4.2 Revenues⁶⁰

REVENUES		2020	2021	2022	VAR 2022/2021 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency (excluding NGEU)					
Budget Line	Programme				
01 01 01 76	HORIZONEU	0	29 108 558	30 084 000	3,35%
03 01 01 76	SINGLEMKT		12 462 485	12 368 000	-0,76%
05 01 01 76	ERDF_21		775 697	1 047 000	34,98%
Other (including financing of legacy programmes in first three months)		48 371 922	3 033 525	/	
Sub Total		48 371 922	45 380 265	43 499 000	2,72%

⁶⁰ Note that there may be some discrepancies with the budget remarks regarding the amounts included as revenues in the agency statements for the contribution of candidate countries and other non-EU countries to the administrative budget. The current non-EU revenues cover the additional expenditure of the executive agency related to the execution of 3rd country contribution (while noting that this expenditure does not yet include hiring additional contract agencies related to 3rd country contributions: see section 3.2.3 and 5.1.2) without resulting in the revenues to exceed the overall expected expenditure of the agency.

2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
01 01 01 76	HORIZONEU/H2020		796 473	800 208	0,47%
03 01 01 76	SINGLEMKT/COSME		286 942	333 936	16,38%
Sub Total			1 083 415	1 134 144	8,47%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
01 01 01 76	HORIZONEU		410 000	410 000	
Sub Total					
TOTAL REVENUES excluding NGEU		0	46 873 680	45 043 144	-3,91%
NGEU		0	3 691 625	6 148 000	
TOTAL REVENUES including NGEU		0	50 565 305	51 191 144	1,24%

4.3 Expenditure

4.3.1 Commitment appropriations

Note that the expenditure included below is based on the Administrative Budget adopted by the Steering Committee in December 2020. This will be subject still to an amending budget to be adopted by the steering committee in July 2021 to reflect the changes introduced in the general budget 2021 for EISMEA and the late entry into force of the delegation package.

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	39 425 942	35 483 951	40 792 767	14,96%
Remunerations, Allowances and Charges	37 088 039	33 468 186	38 586 467	15,29%
- Of which establishment plan posts	12 634 525	12 366 000	17 104 067	38,32%
- Of which external personnel	24 453 514	21 102 186	21 482 400	1,80%
Professional Development and Social expenditure	2 337 903	2 015 765	2 206 300	9,45%
Title 2 - Infrastructure and operating expenditure	7 331 886	8 198 600	7 841 677	-4,35%
Building expenditure	5 163 406	5 300 000	4 887 547	-7,78%
ICT expenditure	1 989 036	2 632 000	2 709 000	2,93%
Movable Property and Current Operating expenditure	179 444	266 600	245 130	-8,05%
Title 3 - Programme support expenditure	1 618 886	2 527 000	2 556 700	1,18%
Programme Management expenditure	1 618 886	2 527 000	2 556 700	1,18%
Common Support Services expenditure				
TOTAL	48 376 714	46 209 551	51 191 144	10,78%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	39 425 942	35 483 951	40 792 767	14,96%
Remunerations, Allowances and Charges	37 088 039	33 468 186	38 586 467	15,29%
- <i>Of which establishment plan posts</i>	12 634 525	12 366 000	17 104 067	38,32%
- <i>Of which external personnel</i>	24 453 514	21 102 186	21 482 400	1,80%
Professional Development and Social expenditure	2 337 903	2 015 765	2 206 300	9,45%
Title 2 - Infrastructure and operating expenditure	7 331 886	8 198 600	7 841 677	-4,35%
Building expenditure	5 163 406	5 300 000	4 887 547	-7,78%
ICT expenditure	1 989 036	2 632 000	2 709 000	2,93%
Movable Property and Current Operating expenditure	179 444	266 600	245 130	-8,05%
Title 3 - Programme support expenditure	1 618 886	2 527 000	2 556 700	1,18%
Programme Management expenditure	1 618 886	2 527 000	2 556 700	1,18%
Common Support Services expenditure				
TOTAL	48 376 714	46 209 551	51 191 144	10,78%

4.4 Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as general revenue): EUR 2 304 765

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

In line with the Specific Financial Statement, the European Innovation council and Small and Medium-sized enterprises Executive Agency (EISMEA) will count a total of 377 FTEs financed on C1 credits in 2022: 107 Temporary Agents (of which 39 are seconded officials), 268 Contract Agents and 2 Seconded National Experts.

The staff financed on NGEU credits in 2022 is 46 FTEs (of which 14 Temporary Agents; 32 Contract Agents (CAs).

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

In line with the table 3.2.3, the number of contracts agents financed in 2022 from NGEU is 32 CAs (Horizon Europe). The expected association of the UK and of any other Associated Country to Horizon Europe in 2022 will further increase the Agency's budget, which in turn will increase the number of proposals to evaluate and grants to sign by the Agency. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents.

5.1.3 Salary assumption for calculating salary lines (% applied)

The calculation method applied to estimate the remunerations, allowances and charges is based on staffing levels as foreseen in the EISMEA Specific Financial Statement on the following assumptions: (i) for staff members already employed in EASME and staying in EISMEA (~ 55% of the total staff allocated for 2022), estimating expenses based on the real expenditures incurred during the first months of 2021; (ii) calculating and applying an average cost for all other incoming staff members ; (ii) anticipating that all recruitments planned both in 2021 and the few additional staff for 2022

will be present as from 01/01/2022; (iv) estimating the impact of the 2021 and 2022 reclassification exercise ; (iv) applying an index of 2% for 2021 and 2% for half 2022 and (v) estimating a vacancy rate, the impact of the parental leaves, part-time work and unpaid leaves based on historical behaviours.

5.1.4 Vacancy rate as of end 2020

The Agency continued growing in 2020 and counted 480 staff on 31/12/2020: 27 Seconded Officials, 81 Temporary Agents, 372 Contract Agents (and 5 additional CAs financed from R0 credits).

Overall, this amounts to 95% of 511 staff foreseen in total by the establishment plan for 2020 and represents a growth of 2,3% reached within 12 months compared to 472 by the end of 2019. Out of 385 posts for contract agents, 13 remained unfilled by the end of 2020. For what concerns temporary agents, 18 posts remained unfilled at the end of the year of which 6 were seconded posts. Due to the reorganisation of Agencies' portfolios, the recruitment of these seconded posts could not be completed by end of 2020, as the posts of responsibilities were not yet defined. For the remaining 12 temporary agents posts recruitment procedures were ongoing at the end of 2020 and with the expected arrival of the selected candidates in early 2021. The COVID-19 confinement had a significant impact on the organisation of the recruitment procedures which had to be adapted to fully virtual mode, including remote interviewing and remote testing.

5.1.5 Standard abatement('abatement forfaitaire')

The standard abatement applied takes into account the time to recruit as well as possible turnover, part time and full time absences (part time work, time credits and parental leave). The HR KPI is 98% of posts filled by the end of the year. The recruitment for these posts will be planned in advance so that new staff arrives early in the year. Gaps in filling posts might occur due to the reorganisation. The occupation rate will vary throughout the year and will reach the HR KPI of 98% posts filled by the end of the year. Therefore, the standard abatement is set at the level of 99%.

5.2 Financial Resources

5.2.1 Title 1

Compared to 2021, the increase in the budget for Title 1 by + 19,38% (+ EUR 6 877 849) is due to:

- Chapter 11 (+ 19,98% representing an increase by EUR 6 687 314). Compared to the Budget 2021, the increase is essentially explained by the particularly low amount in 2021 which is linked to the start of the Agency only on April 1 and the number of recruitments still to be performed in 2021 (the recruitment of ~25% of the TAs allocated to EISMEA for 2021 is expected to take place mainly in the second half of the year) which limits the expenses during the year 2021. Additionally, the increase is linked to the assumption that all recruitments planned both in 2021 and the few additional staff for 2022 will be present as from 01/01/2022 (which was not the case for the year 2021 given the delay in recruitment); the increased proportion of high grades (including the EIC programme managers) in the number of posts in the establishment plans compared to the past years, and the applicable indexation rate. Finally, it must be also noted that the budget 2021 will be subject to a revision in the course of June 2021.

- Chapter 12 (+ 9,45% representing an increase by EUR 190 535): the amount for the year 2022 is based on a 'normal' year whereas the year 2021 was characterised by a reduced training activity due to the health crisis.

5.2.2 Title 2

Compared to 2021, the decrease in the budget for Title 2 by - 4,35% (- EUR 356 923) is linked to the Building expenditure that is decreased to reflect the staff reduction in 2022 compared to 2021.

5.2.3 Title 3

Compared to 2021, the budget for Title 3 remains relatively stable with a slight increase by +1,18% (+ EUR 29 700).

6 Other information

6.1 Buildings

6.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Covent Garden (COV2)	Place Rogier 16 1210 Brussels	6	124	130	1 309 654	15 years	Usufruct convention from 30/06/2010 until 29/06/2025	NO	
TOTAL			6	124	130	1 309 654				

6.1.2 Current Building(s) Other comments

In addition, EASME uses additional floors in COV2 that falls under the European Commission's Usufruct and EASME pays back its contribution via its Service Level Agreement (SLA) with OIB.

6.2 Evaluation

The most recent evaluation of the agency, covering the period January 2014-December 2016 was commissioned by DG GROW and conducted by Valdani Vicari & Associati and Deloitte and delivered in April 2019. The Agency's Steering Committee adopted a follow-up action plan on 13 October 2020 taking into account this report as well as the following reports from the European Commission: Evaluation of the Executive Agency for Small and Medium-sized Enterprises (EASME), Commission Staff Working Document, SWD(2020)76 final; Evaluation of the Consumers, Health, Agriculture and Food Executive Agency, the Executive Agency for Small and Medium-Size Enterprises, the Innovation and Networks Executive Agency, the Education, Audio-visual and Culture Executive Agency, the Research Executive Agency and the European Research Council Executive Agency, Report from the Commission to the European Parliament, the Council and the Court of Auditors, COM(2020) 184 final.

The main conclusions of the EASME's evaluation can be summarised as follows:

Effectiveness

Overall, EASME was evaluated as being effective, obtaining good results for (almost all) key performance indicators. It has been effective in allowing the Commission to focus on institutional tasks by implementing the programmes that have been delegated. In general, EASME effectively and efficiently implemented the programmes entrusted to it and beneficiaries appreciated the customer orientation of EASME staff. Through concerted actions and making use of synergies between programmes, the Agency succeeded in raising the visibility of the EU as promoter of the programmes concerned.

Efficiency

The Agency has been cost-effective and has allowed realising higher cost savings than initially forecasted by the Commission. While the Agency's overall performance was assessed as effective, its diverse portfolio of programmes resulted in a high workload and the need to coordinate with a large number of parent DGs. There was nevertheless a high potential for synergies between the various programmes managed by the Agency due to their thematic affinities. There was a good level of cooperation with the parent DGs, materialised by a good work between staff of the Agency and of the parent DGs. However, there was a lack of structured feedback for certain programmes. EASME had performed several simplifications, notably with common IT tools.

At the level of Human resources, the staff survey demonstrated an overall level of satisfaction that is above the Commission results. However, surveyed staff pointed out difficulties in career development.

In accordance with the Single Establishment Act, the evaluation on the operation of each agency referred to in Article 25 of Regulation (EC) No 58/2003 should occur in a coordinated way for the remaining period of the 2014-2020 mandates of the executive agencies which is not yet evaluated.

2.6 European Education and Culture Executive Agency (“EACEA”)

1. Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>COMMISSION IMPLEMENTING DECISION (EU) 2021/173 of 12 February 2021 establishing the European Climate, Infrastructure and Environment Executive Agency, the European Health and Digital Executive Agency, the European Research Executive Agency, the European Innovation Council and SMEs Executive Agency, the European Research Council Executive Agency, and the European Education and Culture Executive Agency and repealing Implementing Decisions 2013/801/EU, 2013/771/EU, 2013/778/EU, 2013/779/EU, 2013/776/EU and 2013/770/EU</p>	<p>12 February 2021</p>	<p>The European Education and Culture Executive Agency is established from 1 April 2021 until 31 December 2028. The European Education and Culture Executive Agency shall be entrusted with the implementation of the following (parts of) Union programmes:</p> <p>Creative Europe; Erasmus; European Solidarity Corps; Citizens, Equality, Rights and Values; Neighbourhood, development and international cooperation instrument (NDICI); Instrument for pre-accession assistance (IPA III).</p> <p>As well as with the implementation of the legacy of the following (parts of) Union programmes:</p> <p>Creative Europe Erasmus+ European Solidarity Corps Europe for Citizens EU Aid Volunteers the Instrument for Pre-accession Assistance (IPA II) the European Neighbourhood Instrument the Instrument for Development Cooperation the Partnership Instrument for cooperation with third the 10th and 11th European Development Funds the following programmes which were previously entrusted to the Education, Audio-visual and Culture Executive Agency in the period 2000-2013: the programme of cooperation with the United States of America in the field of higher education and vocational education and training (2006-2013); (ii) the agreement between the European Community and the Government of Canada establishing a cooperation framework in the fields of higher education, training and youth (2006-2013); (iii) the action programme in the field of lifelong learning (2007-2013); (iv) the ‘Culture’ programme (2007-2013); (v) the ‘Europe for Citizens’ programme to promote active European citizenship (2007-2013); (vi) the ‘Youth in Action’ programme (2007-2013); (vii) the support programme for the European audiovisual sector (MEDIA 2007) (2007-2013); (viii) the Erasmus Mundus (II) action programme 2009-2013 for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries; (ix) the audiovisual cooperation programme with professionals from third countries (MEDIA Mundus) (2011- 2013); (x) projects in the field of higher education eligible for funding under the provisions on aid for economic cooperation with the developing countries in Asia ; (xi) projects in the fields of higher education and youth eligible for funding under the provisions of the Instrument for Pre-Accession Assistance (IPA); (xii) projects in the field of</p>

		primary, secondary and higher education and youth eligible for funding under the provisions of the European Neighbourhood and Partnership Instrument; (xiii) projects in the field of higher education eligible for funding under the provisions of the financing instrument for development cooperation; (xiv) projects in the field of higher education and youth eligible for funding under the provisions of the financing instrument for cooperation with industrialised and other high-income countries and territories.
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1.2 Seat

Brussels

1.3 Budget Line

07 01 02 75 : European Education and Culture Executive Agency — Contribution from Erasmus+

07 01 03 75 : European Education and Culture Executive Agency — Contribution from the European Solidarity Corps

07 01 04 75 : European Education and Culture Executive Agency — Contribution from Creative Europe

07 01 05 75 : European Education and Culture Executive Agency — Contribution from Rights and Values

14 01 01 75 : European Education and Culture Executive Agency — Contribution from the Neighbourhood, Development and International Cooperation Instrument

15 01 01 75 : European Education and Culture Executive Agency — contribution from IPA

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)		29		34	34
Temporary Agents (recruited by the executive agency)		69		87	92
Total Establishment plan posts		98		121	126
Contract Agents		317		362	379
Seconded National Experts					
TOTAL STAFF		415		483	505

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	53 379 078	57 765 886

2. EEA/EFTA contribution (excl.Switzerland)	1 255 586	1 344 848
3. Participation of candidate countries and/or third countries	2 834 658	1 448 396
TOTAL	57 469 322	60 559 130

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	40 226 814	40 226 814	47 284 144	47 284 144
Title 2 - Infrastructure and operating expenditure	8 186 500	8 186 500	8 858 100	8 858 100
Title 3 - Programme support expenditure	5 870 000	5 870 000	4 530 000	4 530 000
TOTAL EXPENDITURE	54 283 314	54 283 314	60 672 244	60 672 244

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2022, the executive agency is in the 17th year of its operational lifetime and manages the following programmes

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Erasmus+	X		
Creative Europe	X		
European Solidarity Corps	X		
Citizens, Equality, Rights and Values	X		
Neighbourhood, Development and International Cooperation Instrument (NDICI)	X		
Instrument for Pre-accession assistance (IPA III)	X		
Erasmus+			X
Creative Europe			X
European Solidarity Corps			X
Europe for Citizens			X
EU Aid Volunteers			X
European Neighbourhood Instrument (ENI)			X
Development Cooperation Instrument (DCI)			X
Partnership Instrument (PI)			X
Instrument for Pre-Accession Assistance (IPA II)			X
Pan-African Programme			X

2.2 Operational appropriations managed

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Creative Europe Programme						
07 05 99 01 - Completion of previous actions and programmes related to media, culture and language (prior to 2021)						
Operational Budget	207 726 325	174 843 648	p.m.	88 256 725	p.m.	72 679 328
<i>Of which managed by the executive agency</i>	205 384 755	170 210 049		82 335 419		68 420 990
07 05 99 02 - Completion of previous measures concerning digital content, and audiovisual and other media industries (2014 to 2020)						
Operational Budget	1 148 000	727 550	p.m.	819 500	p.m.	320 500
<i>Of which managed by the executive agency</i>						
Completion of Development Cooperation Instrument (DCI)						
14 02 99 02 - Completion of previous development cooperation instruments (prior to 2021)						
Operational Budget	3 114 989 459	2 874 193 617	p.m.	2 501 419 000	p.m.	2 052 623 677
<i>Of which managed by the executive agency (1)</i>	62 975 512	82 701 594		66 817 062		28 340 866
Completion of the Union Programme for Education, Training, Youth and Sport (Erasmus+)						
07 03 99 01 - Completion of previous Erasmus programmes (prior to 2021)						
Operational Budget	2 846 917 300	2 709 978 370	p.m.	373 408 000	p.m.	312 133 002
<i>Of which managed by the executive agency</i>	578 977 606	459 141 047		220 188 126		204 279 457
Completion of European Neighbourhood Instrument (ENI)						
14 02 99 01 - Completion of previous actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2021)						
Operational Budget	2 792 504 331	2 552 691 956	p.m.	2 386 617 319	p.m.	1 464 063 032
<i>Of which managed by the executive agency</i>	45 024 371	49 266 987		36 837 524		11 988 500
Completion of European Solidarity Corps (ESC)						

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
07 04 99 01 - Completion of the European Solidarity Corps (2018 to 2020)						
Operational Budget	162 187 779	122 575 396	p.m.	24 325 725	p.m.	13 173 773
<i>Of which managed by the executive agency</i>	6 840 000	6 255 325		3 635 137		16 173 773
Completion of EU Aid Volunteers initiative (EUAV)						
07 04 99 02 - Completion of the EU Aid Volunteers initiative — Strengthening the Union’s capacity to respond to humanitarian crises (2014 to 2020)						
Operational Budget	2 442 902	3 407 498	p.m.	11 602 404	p.m.	3 044 463
<i>Of which managed by the executive agency</i>	2 442 902	2 912 775		4 812 355		3 044 463
Completion IPAII						
15 02 99 01 - Completion of previous instruments for pre-accession assistance (prior to 2021)						
Operational Budget	1 561 930 685	1 648 535 763	p.m.	1 817 057 815	p.m.	1 734 568 865
<i>Of which managed by the executive agency</i>	22 644 830	18 617 666		19 212 823		2 813 546
Completion of Partnership instrument for cooperation with third countries (PI)						
14 02 99 03 - Completion of relations with third countries under the Partnership Instrument and the financing instrument for cooperation with industrialised countries (prior to 2021)						
Operational Budget	158 145 000	124 055 343	p.m.	133 201 130	p.m.	101 635 232
<i>Of which managed by the executive agency</i>		3 350 215		1 551 423		1 226 158
Completion of Rights, Equality and Citizenship programme						
07 06 99 01 - Completion of previous Europe for Citizens programmes and European citizens’ initiatives (prior to 2021)						
Operational Budget	35 243 563	22 950 721	p.m.	14 871 337	p.m.	11 818 496
<i>Of which managed by the executive agency</i>	34 099 035	21 979 318		14 871 337		11 818 496
Creative Europe						
07 05 01 – Culture						

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget			94 679 904	48 155 879	125 597 589	125 000 000
<i>Of which managed by the executive agency</i>			89 070 043	1 903 500	125 000 000	78 895 029
07 05 02 – Media						
Operational Budget			167 489 652	71 819 978	220 518 209	158 239 044
<i>Of which managed by the executive agency</i>			156 896 689	44 860 736	209 897 311	93 833 306
07 05 03 - Cross-sectorial strands						
Operational Budget			26 971 139	10 248 669	34 037 298	23 130 332
<i>Of which managed by the executive agency</i>			25 567 564	10 248 669	31 880 607	14 623 308
Erasmus+						
07 03 01 01 - Promoting learning mobility of individuals, as well as cooperation, inclusion, excellence, creativity and innovation at the level of organisations and policies in the field of education and training — Indirect management						
Operational Budget			1 755 470 446	1 468 151 286	2 331 521 972	2 220 525 000
<i>Of which managed by the executive agency</i>						
07 03 01 02 - Promoting learning mobility of individuals, as well as cooperation, inclusion, excellence, creativity and innovation at the level of organisations and policies in the field of education and training — Direct management						
Operational Budget			542 824 138	295 331 144	573 655 911	325 725 000
<i>Of which managed by the executive agency</i>			357 307 474	114 905 643	551 074 601	235 068 511
07 03 02 - Promoting non-formal learning mobility and active participation among young people, as well as cooperation, inclusion, creativity and innovation at the level of organisations and policies in the field of youth						
Operational Budget			272 637 560	199 878 603	346 973 114	310 000 000
<i>Of which managed by the executive agency</i>			17 559 899	5 295 623	23 588 041	10 928 914
07 03 03 - Promoting learning mobility of sport coaches and staff, as well as cooperation, inclusion, creativity and innovation at the level of sport organisations and sport policies						
Operational Budget			48 805 483	27 914 525	64 216 157	55 000 000
<i>Of which managed by the executive agency</i>			47 678 231	4 741 000	60 739 822	33 123 717
European Solidarity Corps (ESC)						

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
07 04 01 - European Solidarity Corps						
Operational Budget			129 127 673	84 098 975	131 710 226	93 000 000
<i>Of which managed by the executive agency</i>			21 200 000	250 000	40 812 574	10 699 226
Pre-Accession Assistance (IPA III)						
15 02 01 02 - Erasmus+ — contribution from IPA III						
Operational Budget			3 500 000	1 026 000	62 400 000	35 500 000
<i>Of which managed by the executive agency</i>					32 448 000	10 145 813
Neighbourhood, Development and International Cooperation Instrument - Global Europe						
14 02 01 50 - Erasmus+ — NDICI — Global Europe contribution						
Operational Budget			20 000 000	4 302 000	296 666 667	160 000 000
<i>Of which managed by the executive agency</i>			18 540 000	4 302 000	154 266 667	36 024 909
Citizens, Equality, Rights and Values Programme						
07 06 01 - Promote equality and rights						
Operational Budget			35 409 000	10 622 790	39 860 945	33 800 229
<i>Of which managed by the executive agency</i>						
07 06 02 - Promote citizens engagement and participation in the democratic life of the Union						
Operational Budget			33 655 983	10 296 393	39 671 295	22 387 480
<i>Of which managed by the executive agency</i>			12 110 135	3 359 000	35 392 102	19 172 761
07 06 03 – Daphne						
Operational Budget			20 444 304	6 244 139	29 581 401	14 515 044
<i>Of which managed by the executive agency</i>						
07 06 04 - Protection and promotion of Union values						
Operational Budget			500 000	161 667	91 787 552	60 970 543

	Executed budget 2020		Budget 2021		Draft Budget 2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>			500 000	161 667	82 900 000	55 960 000
TOTAL operational budget managed by the agency	958 389 011	814 434 976	746 430 035	640 289 044	1 347 999 725	946 581 743

(1) For 14 02 99 01, the Erasmus part is: EUR 125.556.986,61; for 14 02 99 02, the Erasmus part: EUR 166.849.571,93; for 14 02 99 03, the Erasmus part: EUR 4.197.110,22, for 15 02 99 01, the Erasmus part: EUR 66.784.610,60.

3 Human Resources

3.1 Staffing when created extended

Source:

Staff	2021	2022	2023	2024	2025	2026	2027
Temporary Agents (Officials seconded by the Commission)	34	34	34	34	34	34	34
Temporary Agents (recruited by the agency)	86,8	92,2	97,6	103	108,4	113,9	119,3
Executive Agency's total Establishment Plan Posts	120,8	126,2	131,6	137	142,4	147,9	153,3
Contract Agents (*)	362,3	378,5	394,8	411,1	427,3	443,6	459,9
Seconded National Experts (SNE)							
Total staff of the Executive Agency	483	504,7	526,4	548,1	569,8	591,5	613,1

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 16				
AD 15			1	1
AD 14		9	9	14
AD 13		5	13	10
AD 12		10	25	18
AD 11		14	14	17
AD 10		14	14	21
AD 9		9	8	10
AD 8		6	6	6
AD 7		2	4	3
AD 6		4	3	1

Function group and grade	2020		2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Draft Budget Request
AD 5		2		
AD TOTAL		75	97	101
AST 11		1	1	1
AST 10			1	3
AST 9		2	6	1
AST 8			3	1
AST 7		2	6	11
AST 6		9	5	6
AST 5		7	2	2
AST 4		2		
AST 3				
AST 2				
AST 1				
AST TOTAL		23	24	25
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL		98	121	126
Of which Officials seconded by the commission		29	34	34

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV		94	124	125
Function Group III		157	170	185
Function Group II		62	64	65
Function Group I		4	4	4
TOTAL		317	362	379

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2020	Recruited as of 31/12/2020	Foreseen in 2021	Foreseen 2022
Financed from EDF	1	1		

Financed from participation of candidate countries and/or third countries	16	16	17	17
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3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the number of posts and external staff that will be freed, in the form of a net reduction or a frozen post.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
DG EAC	4			1
Targeted reductions in other areas *	180			26
Total	184			27

*these numbers refer to the total number of reductions that the Commission will implement to offset the increase of staff in the executive agencies following the delegation of tasks. These numbers are presented globally for all executive agencies as a precise split by executive agency cannot be presented.

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

It must be noted that these numbers are included in the total number of staff to be freed, as presented in section 3.3.1.1 above.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2020	Staff to be freed in 2021	Staff to be freed in 2022
Total				

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency

	By end 2020	In 2021	In 2022
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agencies executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2021	Number of posts frozen in 2022
DG EAC	30	30	30
DG CNECT	2	2	2
DG JUST	2	2	2
Total	34	34	34

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the Specific Financial Statements as included in the Commission proposal submitted to the Committee for Executive Agencies and to the Budgetary Authority on 10 December 2020 ⁶¹)	2021	2022	2023	2024	2025	2026	2027
	54,255	57,766	61,407	65,181	69,094	73,150	77,354
Agency contribution as voted by the Budgetary Authority							
Initial Budget (EUR-27)	50,803						
Budget after transfers and / or amending budgets (EUR-27)							
Operating budget of the agency							
Initial Budget (EUR-27)	50,803						
Budget after transfers and / or amending budgets (EUR-27)							

4.2 Revenues

REVENUES	2020	2021	2022	VAR 2022/2021 (%)
	Executed Budget	Budget (1)	Draft Budget	

⁶¹ As foreseen in the Communication to the Commission C(2020)7876, which was based on the political agreement at the European Council of 17-21 July 2020, an update was needed following the political agreement reached on 10 November 2020 between the European Parliament and the Council.

1. EU contribution to the executive agency					
Budget Line	Programme				
07 01 02 75	ERASMUS_PLUS		27 039 348	26 839 969	-0,74%
07 01 03 75	ESC_2021	0	1 620 000	1 565 966	-3,34%
07 01 04 75	CREATIVEEU	0	14 240 865	15 314 886	7,54%
07 01 05 75	RIGHTS_2021	0	5 369 865	6 501 000	21,06%
14 01 01 75	NDICI	0	4 474 000	6 144 641	37,34%
15 01 01 75	IPAI	0	635 000	1 399 424	120,38%
Sub Total		0	53 379 078	57 765 886	8,22%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				
07 01 02 75	ERASMUS_PLUS		730 062	724 680	-0,74%
07 01 03 75	ESC_2021		3 078	2 975	-3,35%
07 01 04 75	CREATIVEEU		384 503	413 505	7,54%
07 01 05 75	RIGHTS_2021				
14 01 01 75	NDICI		120 798	165 915	37,35%
15 01 01 75	IPAI		17 145	37 773	120,31%
Sub Total			1 255 586	1 344 848	7,11%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
07 01 02 75	ERASMUS_PLUS		2 528 087	1 304 232	-48,41%
07 01 03 75	ESC_2021		82 341	41 474	-49,63%
07 01 04 75	CREATIVEEU		205 380	102 690	-50,00%
07 01 05 75	RIGHTS_2021		18 850		-100%
15 01 01 75	IPAI				
Sub Total			2 834 658	1 448 396	-48,90%
TOTAL REVENUES		0	57 469 322	60 559 130	5,38%

(1) For 2021, revenues also include EUR 190.000 from the EDF budget, and EUR 113.000 for 2022; Other small differences are due to rounding.

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	35 971 057	40 226 814	47 284 144	17,54%
Remunerations, Allowances and Charges	34 294 529	38 256 814	45 260 144	18,31%
<i>- Of which establishment plan posts</i>	<i>12 856 435</i>	<i>14 910 050</i>	<i>18 760 184</i>	<i>25,82%</i>

- Of which external personnel	21 438 094	23 346 764	26 499 960	13,51%
Professional Development and Social expenditure	1 676 528	1 970 000	2 024 000	2,74%
Title 2 - Infrastructure and operating expenditure	7 846 653	8 186 500	8 858 100	8,20%
Building expenditure	4 940 000	5 090 000	5 197 600	2,11%
ICT expenditure	2 685 033	2 370 000	2 885 000	21,73%
Movable Property and Current Operating expenditure	221 620	726 500	775 500	6,74%
Title 3 - Programme support expenditure	6 139 886	5 870 000	4 530 000	-22,83%
Programme Management expenditure	6 139 886	5 870 000	4 530 000	-22,83%
Common Support Services expenditure				
TOTAL	49 957 596	54 283 314	60 672 244	11,77%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2020	Budget 2021	Draft Budget 2022	VAR 2022/2021 (%)
Title 1 - Staff expenditure	35 673 807	40 226 814	47 284 144	17,54%
Remunerations, Allowances and Charges	34 046 337	38 256 814	45 260 144	18,31%
- Of which establishment plan posts	12 856 435	14 910 050	18 760 184	25,82%
- Of which external personnel	21 189 902	23 346 764	26 499 960	13,51%
Professional Development and Social expenditure	1 627 470	1 970 000	2 024 000	2,74%
Title 2 - Infrastructure and operating expenditure	7 930 130	8 186 500	8 858 100	8,20%
Building expenditure	4 927 678	5 090 000	5 197 600	2,11%
ICT expenditure	2 739 656	2 370 000	2 885 000	21,73%
Movable Property and Current Operating expenditure	262 796	726 500	775 500	6,74%
Title 3 - Programme support expenditure	7 978 297	5 870 000	4 530 000	-22,83%
Programme Management expenditure	7 978 297	5 870 000	4 530 000	-22,83%
Common Support Services expenditure				
TOTAL	51 582 234	54 283 314	60 672 244	11,77%

4.4 Outturn

First estimate of the 2020 surplus that should be reimbursed to the EU budget (as general revenue): EUR 743.341,78

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The number of staff requested for 2022 is in line with the Financial Statement accompanying the mandate – Commission Decision C(2021) 951 which received a positive opinion of the CEA on 2 February 2021.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

17 contract agents are financed for 2022 on credits from the participation of candidate countries and/or third countries for the programmes: 16 for Erasmus+ and 1 for Creative Europe.

5.1.3 Salary assumption for calculating salary lines (% applied)

Salaries for 2022 have been calculated on the basis of January 2021 average costs per FTE multiplied by the number of FTE foreseen for 2022. The calculation takes into account the effect of the promotion exercise and a vacancy rate of around 5 % for temporary agents and 4 % for contract agents, and an indexation rate of around 1 % for 2021 and of around 2 % for 6 months of 2022.

5.1.4 Vacancy rate as of end 2020

On 31 December 2020, the vacancy rate amounted to 5 % of the total authorised staff (both for contract and temporary agents).

5.1.5 Standard abatement('abatement forfaitaire')

The calculation takes into account the effect of the promotion exercise as well as an indexation rate of around 1 % for 2021 and of around 2 % for 6 months in 2022. A vacancy rate of 5 % for temporary agents and of 4 % for contract agents are taken into consideration.

5.2 Financial Resources

5.2.1 Title 1

Compared with 2021, Title I increases by 18 % to mainly reflect the staff increase in 2022 (+14 AT and + 44 AC funded by C1 credits). In 2021, the authorized C1 staff amounts to 447 while it would be 505 in 2022 (+13 %). The 2022 level of staff is compliant with the applicable SFS (C(2020) 7886 final of 11.11.2020). Other reasons for this increase are the structure of the establishment plan, the promotion exercise, as well as an indexation rate (1 % for 2021 and 2 % for 6 months in 2022). EACEA has taken 5 % (AT) and 4 % (AC) as estimated vacancy rates. The number of posts funded by R0 credits (17 AC) remains stable.

5.2.2 Title 2

Compared with 2021, Title II increases by 8 %. While chapters 21 (buildings charges) and 23 (movables and other operating costs) are slightly on the rise, the IT chapter (22) increase reaches 22 %. This is mainly due to DG DIGIT SLA model costs linked to the level of staff.

5.2.3 Title 3

Against 2021, Title III is lower by 23 %. The decrease chiefly comes from the IT line reduction (3161). e-Grants fees will be paid directly by the Commission lines and the local financial IT tools will require less expenditure since they will be both in maintenance mode.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	J-59	Brussels	4 184	4 762	8 946	1 887 475	31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year)	Commission building put at disposal of the Agency on the basis of an SLA with OIB		
2	SPA2	Brussels	2 373	2 628	5 001	1 009 728	31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year)	Commission building put at disposal of the Agency on the basis of an SLA with OIB		
3	J-70	Brussels	362	345	707	206 208	31/12/2019 (SLA tacitly renewed at each expiry date for a period of one year)	Commission building put at disposal of the Agency on the basis of an SLA with OIB		
TOTAL			6 919	7 735	14 654	3 103 411				

6.1.2 Current Building(s) Other comments

All buildings are subject to annual indexation. For J-70 the amount is the one communicated by OIB for the space in J-70 01.

6.2 Evaluation

The 4th evaluation of the agency has been submitted to the European Parliament and the Council by 29 April 2020.

The main findings of the latest evaluation available are as follows:

The results of the evaluation of EACEA operations during 2015-2017 period confirm that the delegation of programme implementation to EACEA is considerably more cost effective than keeping these tasks in the parent DGs. The retrospective cost-benefit analysis found that the actual savings gained by using the Executive Agency were EUR 57.1 million.

While highlighting the overall good performance of the Agency, pointed out areas where there is still room for improvement such as:

- strengthening the Agency and its functioning; ensuring that the experience gained and lessons learnt contribute to making EACEA even more resilient;
- reviewing the experience of the 2018 evaluation and selection processes;
- updating the existing supervisory arrangements between EACEA and its parent DGs;
- exploring further opportunities to improve EACEA's reporting of programme results and policy feedback to its parent DGs;
- reviewing the organisational structure of EACEA, taking into account the organisational characteristics of the Agency, and the possibility of introducing new departments.

All info on the evaluation of the agency available on EACEA-Net IntraComm page:

<https://myintracomm.ec.europa.eu/dg/eacea/eacea/about/Pages/evaluation.aspx>

PART III – BODIES CREATED UNDER RESEARCH AND INNOVATION

1 MAIN BUDGETARY TRENDS

Chapter 1.1. presents the 'main budgetary trends' for bodies created in the field of research and innovation under the articles of the Treaty on the Functioning of the European Union.

Section 1.1.1 presents the budgetary and staffing highlights for 2022 for the EIT, including as well a table on Commission budget implementation for EIT in 2020, which is followed by a table on staffing (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2020).

Section 1.1.2 presents the budgetary and staffing highlights for 2022 for the 10 joint undertakings (JU) proposed by the Commission and whose creation under TFEU was imminent at the time of presenting the 2022 Draft Budget as well as the European Cybersecurity Industrial, Technology and Research Competence Centre. Furthermore, this section presents an overview table on the Commission budget implementation of these bodies when they had a predecessor JU in 2020, which is followed by an overview table on staffing (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2020).

Chapter 1.2. presents the budgetary and staffing highlights for F4E, the Joint Undertaking created under the Euratom Treaty for the ITER project.

1.1 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

1.1.1 *European Institute of Innovation and Technology (EIT)*

The European Institute of Innovation and Technology (EIT) will continue to operate by providing grants to its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations (the so-called knowledge triangle). The EIT contributes to Europe's priorities such as the new Green Deal and channels innovations through eight KICs intervening in different areas: climate, digitisation, renewable energy, health, sustainable raw material, food, manufacturing, and urban mobility. The proposal for the 2022 budget for the EIT will exceed the level for the 2021 budget, in line with the overall financial programming and consistent with the overall budgetary envelope agreed for EIT in the Horizon Europe programme.

The draft budget request for the EIT is in line with the Commission proposal for a Regulation on the EIT, extending its mandate to 2021-2027. More details can be found in its individual budgetary statement in section 2.

1.1.2 *Budgetary and staffing highlights 2021: Joint Undertakings under TFEU*

At the time of presenting the 2022 Draft Budget, the negotiations on the legal basis of the new generation of joint undertakings under Horizon Europe were not fully concluded for any of them. For this reason, the levels of appropriations and human resources proposed for 2022 are based, as a principle, on the estimates provided in the legislative financial statements accompanying the Commission proposals. When relevant (i.e. when the joint undertaking succeeds to an existing entity), the appropriations and staff for 2022 also provide for the completion of the activities launched under Horizon 2020.

Besides, for the same reasons, the budgetary statements of the joint undertakings also reflect, as a principle, the amounts provided in the legislative financial statements accompanying the initial Commission proposals. It is indeed only once the negotiations on the creation of these bodies are concluded that the corresponding amounts will be made available to them. The point of reference for 2021/2022 staff tables were the staff numbers proposed in the legislative and financial statements.

As presented in more detail in the individual budgetary statements (section 2.1), the total staffing of the joint undertakings at the time of presenting the 2022 Draft Budget would be as follows⁶²:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2021	2022	2021	2022	2021	2022	2021	2022
188	221	89	111	12	11	288	341

⁶² Figures exclude F4E which is presented separately

Overview – TFEU Joint Undertakings and EIT 2022 / 2021

(commitment appropriations, in million EUR, rounded figures at current prices)

Name	Draft budget 2022	Budget 2021	Difference 2022 / 2021
	Of which EU contribution	Of which EU contribution	
Horizon Europe¹			
BBI	86,3	40	115,8 %
<i>establishment plan posts</i>	<i>37</i>	<i>37</i>	<i>0</i>
Circular Bio-based Europe	178,5	71,1	151,1 %
<i>establishment plan posts</i>	<i>13</i>	<i>13</i>	<i>0</i>
Clean Aviation Joint Undertaking	150,6	229,9	-34,5 %
<i>establishment plan posts</i>	<i>36</i>	<i>36</i>	<i>0</i>
Key Digital Technologies Joint Undertaking	250,0	210	19 %
<i>establishment plan posts</i>	<i>14</i>	<i>14</i>	<i>0</i>
European High Performance Computing Joint Undertaking	419		
<i>establishment plan posts</i>	<i>22</i>	<i>4</i>	<i>18</i>
Clean Hydrogen Joint Undertaking	150,0	150	0,0 %
<i>establishment plan posts</i>	<i>27</i>	<i>24</i>	<i>3</i>
Innovative Health Initiative Joint Undertaking	150,9	73	24,1 %
<i>establishment plan posts</i>	<i>39</i>	<i>39</i>	<i>0</i>
Europe's Rail Joint Undertaking	90,6	73	24,1 %
<i>establishment plan posts</i>	<i>10</i>	<i>10</i>	<i>0</i>
Global Health EDCTP3 Joint Undertaking	68,1	33,3	104,5 %
<i>establishment plan posts</i>	<i>17</i>	<i>6</i>	<i>11</i>
Smart Networks and Services Joint Undertaking²	121,9	121,1	0,7 %
<i>establishment plan posts</i>	<i>6</i>	<i>5</i>	<i>1</i>
Subtotal	1665,9	1351,4	66 %
<i>establishment plan posts</i>	<i>221</i>	<i>188</i>	<i>33</i>
Digital Europe Programme			
Cyber Security Centre (ECCC)³	151,3	235,1	-36 %
<i>establishment plan posts</i>	<i>10</i>	<i>10</i>	<i>0</i>
Subtotal	151,3	235,1	-36 %
<i>establishment plan posts</i>	<i>10</i>	<i>10</i>	<i>0</i>
TOTAL	1817,2	1236,5	47 %
<i>establishment plan posts</i>	<i>231</i>	<i>198</i>	<i>33</i>

1. The EU contribution to the Horizon Europe bodies in 2021 is based on the amounts indicated in the legislative financial statement accompanying the Commission proposal for the creation of the new generation of bodies.

2. The EuroHPC joint undertaking is financed from Horizon Europe, the Digital Europe programme and the Connecting Europe Facility.

3. The ECCC receives appropriations from the Digital Europe Programme. The Horizon Europe contribution is to be determined.

Table EU budget implementation for TFEU Joint Undertakings 2020

(in million EUR)

Joint Undertakings TFEU	Budget line	Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)													
Support expenditure	06 03 07 31	16,729	3,347	16,729	16,335	0,394	3,347	3,268	0,079	0,000	0,000	0,000	0,000
Operational expenditure	06 03 07 32	106,942	108,365	106,942	104,424	2,517	108,365	105,796	2,569	0,000	0,000	0,000	0,000
Total SESAR		123,671	111,712	123,671	120,760	2,911	111,712	109,064	2,648	0,000	0,000	0,000	0,000
Shift2Rail Joint Undertaking (S2R)													
Support expenditure	06 03 07 33	5,318	1,056	5,318	5,192	0,125	1,056	1,031	0,025	0,000	0,000	0,000	0,000
Operational expenditure	06 03 07 34	76,232	74,652	76,232	74,431	1,801	74,652	72,888	1,764	0,000	0,000	0,000	0,000
Total S2R		81,550	75,708	81,550	79,623	1,926	75,708	73,919	1,789	0,000	0,000	0,000	0,000
Innovative Medicines Initiative Joint Undertaking (IMI2)													
Support expenditure	08 02 07 31	27,868	5,591	27,868	27,212	0,656	5,591	5,460	0,131	0,000	0,000	0,085	0,000
Operational expenditure	08 02 07 32	249,315	213,847	249,315	243,448	5,867	213,847	209,520	4,326	0,000	0,000	0,000	0,000
Total IMI2		277,183	219,438	277,183	270,660	6,523	219,438	214,980	4,458	0,000	0,000	0,085	0,000
Bio-Based Industries Joint Undertaking (BBI)													
Support expenditure	08 02 07 33	8,821	2,359	8,821	8,614	0,208	2,359	2,304	0,055	0,000	0,000	0,000	0,000
Operational expenditure	08 02 07 34	66,892	185,887	66,892	65,318	1,574	185,887	181,512	4,375	0,000	0,000	0,000	0,000
Total BBI		75,714	188,246	75,714	73,932	1,782	188,246	183,816	4,430	0,000	0,000	0,000	0,000
Clean Sky 2 Joint Undertaking (Clean Sky2)													
Support expenditure	08 02 07 35	20,496	4,262	20,496	20,014	0,482	4,262	4,162	0,100	0,000	0,000	0,000	0,000
Operational expenditure	08 02 07 36	290,904	307,116	290,904	284,058	6,846	307,116	299,889	7,227	0,000	0,000	0,000	0,000
Total Clean Sky2		311,400	311,378	311,400	304,072	7,328	311,378	304,050	7,328	0,000	0,000	0,000	0,000
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)													
Support expenditure	08 02 07 37	12,667	2,382	12,667	12,369	0,298	2,382	2,326	0,056	0,000	0,000	0,000	0,000
Operational expenditure	08 02 07 38	81,510	76,128	81,510	79,592	1,919	76,128	74,336	1,792	0,000	0,000	0,000	0,000
Total FCH2		94,177	78,510	94,177	91,961	2,217	78,510	76,662	1,848	0,000	0,000	0,000	0,000
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)													
Support expenditure	09 04 07 31	6,995	1,971	6,995	6,830	0,165	1,971	1,925	0,046	0,000	0,000	0,000	0,000
Operational expenditure	09 04 07 32	203,895	189,459	203,895	199,097	4,798	189,459	185,000	4,459	0,000	0,000	0,000	0,000
Total ECSEL		210,890	191,430	210,890	205,927	4,963	191,430	186,925	4,505	0,000	0,000	0,000	0,000
European High Performance Computing Joint Undertaking (EuroHPC)													
Support expenditure	09 04 07 33	10,914	3,046	9,310	9,129	0,181	3,111	3,036	0,075	0,000	0,000	0,000	0,000
Operational expenditure	09 03 05 31	59,963	67,947	59,980	58,569	1,412	67,947	66,348	1,599	0,000	0,000	0,000	0,000

Operational expenditure	09 04 07 34	216,647	121,005	218,318	213,141	5,177	116,482	113,847	2,635	0,000	0,000	0,000	0,000
Total EuroHPC		287,524	191,998	287,608	280,838	6,770	187,540	183,231	4,308	0,000	0,000	0,000	0,000
Total Joint undertakings TFEU		1.462,108	1.368,418	1.462,192	1.427,772	34,420	1.363,960	1.332,647	31,313	0,000	0,000	0,085	0,000

Table TFEU Joint Undertakings staffing overview 2020

Joint undertakings TFEU	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	BUDGET 2020	Actually filled 31/12/2020
Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)	2014	39	34	0	1	3	3	42	38
Shift2Rail Joint Undertaking (S2R)	2014	5	5	16	16	3	2	24	23
Innovative Medicines Initiative Joint Undertaking (IMI2)	2014	39	37	15	15	2	1	56	53
Bio-Based Industries Joint Undertaking (BBI)	2014	13	13	10	10	0	0	23	23
Clean Sky 2 Joint Undertaking (Clean Sky2)	2014	36	36	6	6	2	1	44	43
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)	2014	24	24	3	3	2	2	29	29
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)	2014	14	14	16	16	1	0	31	30
European High Performance Computing Joint Undertaking (EuroHPC)	2019	4	4	10	7	1	0	15	11
Total Joint undertakings TFEU		174	167	76	74	14	9	264	250

1.2 Fusion for energy (F4E)

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2022 for F4E in text and tables. Furthermore, this section presents a table on Commission budget implementation for F4E in 2020, which is followed by a table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2020).

Budgetary and staffing highlights 2022: F4E

The foreseen EU contribution to F4E somewhat decreases from EUR 857,6 million in 2021 to EUR 704,0 million in the 2022 DB in line with the programme's profile. The staffing level of F4E is stable compared to 2010.

As presented in more detail in the individual budgetary financial statement (section 2.2), the total staffing of F4E foreseen for 2022 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2021	2022	2021	2022	2021	2022	2021	2022
280	280	170	170	3	7	453	457

F4E overview table 2022 / 2021

Name	Draft budget 2021	Budget 2020	Difference 2021 / 2020
	Of which EU contribution	Of which EU contribution	
European Joint Undertaking for ITER - Fusion for Energy (F4E)	857,6	704,0	-17,91 %
<i>establishment plan posts</i>	280	280	0%

(in million EUR)

Table Euratom budget implementation for F4E 2020

(in million EUR)

European Joint Undertaking for ITER – Fusion for Energy (F4E)	Budget line	Authorised appropriations 2020		Commitments made 2020			Payments made 2020			Carry-over of assigned revenue to 2021		Appropriations cancelled 2020	
	Up to 2020	Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Support expenditure	32 05 01 01	54,670	54,670	53,846	52,782	1,064	53,846	52,782	1,064	0,824	0,824	0,000	0,000
Operational expenditure	32 05 01 02	308,361	386,552	386,362	308,109	0,252	386,552	386,300	0,252	0,000	0,000	0,000	0,000
Completion expenditure	32 05 51	0,000	193,195	0,000	0,000	0,000	193,195	193,195	0,000	0,000	0,000	0,000	0,000
Total F4E		363,031	634,417	440,208	360,891	1,316	633,593	632,277	1,316	0,824	0,824	0,000	0,000

Table F4E staffing overview 2020

Name	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Authorised 2020	Actually filled 31/12/2020	Budget 2020	Actually filled 31/12/2020
European Joint Undertaking for ITER – Fusion for Energy (F4E)	2007	283	275	170	160	3	2	453	437

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1 Bodies having legal personality created in the field of research and innovation under Article 173 and 187/188 TFEU

2.1.1 European Institute of Innovation and Technology – EIT

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
REGULATION (EC) No 294/2008 establishing the European Institute of Innovation and Technology	11 March 2008	The EIT's mission is to contribute to sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union in order to address major challenges faced by European society. It shall do this by promoting synergies and cooperation among, and integrating, higher education, research and innovation of the highest standards, including by fostering entrepreneurship.
REGULATION (EU) No 1292/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology	11 December 2013	
DECISION No 1312/2013/EU OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on the Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT): the contribution of the EIT to a more innovative Europe	11 December 2013	The SIA (Strategic Innovation Agenda) defines the priority fields and the long- term strategy of the EIT and include an assessment of its economic impact and capacity to generate best innovation added-value.
REGULATION (EU) No 1291/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC	11 December 2013	The financial contribution from Horizon 2020 to the EIT is implemented in accordance with the Regulation of the European Parliament and of the Council establishing the Horizon 2020 as well as the Regulation laying down the rules for the participation and dissemination in "Horizon 2020". The EIT funding to the KICs will cover "KIC added value activities".
Proposal for a REGULATION of the European Parliament and of the Council establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination. COM(2018) 435 final	7 June 2018	Horizon Europe will strengthen the Union's scientific and technological bases in order to help tackle the major global challenges of our time and contribute to achieving the Sustainable Development Goals (SDGs). At the same time, the programme will boost the Union's competitiveness, including that of its industries. Horizon Europe will help deliver on the Union's strategic priorities and support the development and implementation of Union policies. In a swiftly changing world, Europe's success increasingly depends on its ability to transform excellent scientific results into innovation that have a real beneficial impact on our economy and quality of life, and create new markets with more skilled jobs
Proposal for a REGULATION of the European Parliament and of the Council on the European Institute of Innovation and	11 July 2019	See “reasons for and objectives” of the proposal : The overall mission of the European Institute of Innovation and Technology (EIT) is to boost sustainable European economic growth and competitiveness by

Technology (recast) COM(2019)331 final ¹		reinforcing the innovation capacity of the Member States and the Union. In particular, the EIT reinforces the Union's innovation capacity and addresses societal challenges through the integration of the knowledge triangle of higher education, research and innovation. The EIT operates through its Knowledge and Innovation Communities (KICs): large-scale European partnerships which address specific societal challenges by bringing together education, research and business organisations. The EIT provides grants to the KICs, monitors their activities, supports cross-KIC collaboration and disseminates results and good practices.
Proposal for a DECISION of the European Parliament and of the Council on the Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT) 2021-2027: Boosting the Innovation Talent and Capacity of Europe COM(2019) 330 final ²	11 July 2019	The Strategic Innovation Agenda (SIA) lays down the priority fields and the long-term strategy and financial needs for the EIT. For the period 2021-2027, the SIA should ensure alignment with the objectives of Horizon Europe as well as the strategic multiannual planning, monitoring and other requirements of that programme, and foster synergies with other relevant programmes. It also outlines the planned higher education, research and innovation activities and the respective budget breakdown. It sets the time schedule for the selection and designation of new KICs for the next programming period.

1.2 Seat

Budapest, Hungary

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	40	38	95,00%	40	40
Assistants (AST)	5	5	100,00%	5	5
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	45	43	95,56%	45	45
Contract Agents (CA)	23	22	95,65%	23	23
Seconded National Experts (SNE)	2	1	50,00%	2	2

¹ Provisional political agreement reached on 28 January 2021.

² Provisional political agreement reached on 28 January 2021.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
TOTAL STAFF	70	66	94,29%	70	70

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	347 450 672	384 247 983
Other Revenue	22 208 427	67 858 194
TOTAL REVENUES	369 659 099	452 106 177

1.5.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
Additional EU funding stemming from grant agreements (FFR Art.7)		
Additional EU funding stemming from contribution agreements (FFR Art.7)		
Additional EU funding stemming from service level agreements (FFR Art. 43.2)		
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 515 830	6 515 830	7 496 900	7 496 900
Title 2 - Infrastructure and operating expenditure	888 750	888 750	990 000	990 000
Title 3 - Operational expenditure	360 804 520	396 870 460	443 619 277	411 269 657
Title 4 - Unused Appropriations not required in current Year				
TOTAL EXPENDITURE	368 209 100	404 275 040	452 106 177	419 756 557

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		1				1		1		1
AD 11		2				2		2		2
AD 10		7		1		9		9		9
AD 9		9		10		9		10		10
AD 8		10		13		10		20		10
AD 7		7		6		7		6		6
AD 6		3		7		1		1		1
AD 5										
AD TOTAL		40		38		40		50		40
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		3		1		3		3		3
AST 4		1		2		1		1		1
AST 3		1		2		1		1		1
AST 2										
AST 1										
AST TOTAL		5		5		5		5		5
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		45		43		45		55		45
GRAND TOTAL		45		43		45		55		45

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	10	9	10	10
Function Group III	7	11	13	13
Function Group II	6	2		
Function Group I				
TOTAL	23	22	23	23

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	2	1	2	2

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	496 794 064	347 450 672	384 247 983	384 247 983	10,59%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	49 556 404	9 381 168	67 858 194	67 858 194	623,34%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>	11 969 948	9 381 168	10 220 996	10 220 996	8,95%
<i>- Of which candidate countries</i>	37 586 456	<i>p.m.</i>	57 637 198	57 637 198	100%
4 OTHER CONTRIBUTIONS	3 419 216	3 385 178			-100%
5 ADMINISTRATIVE OPERATIONS	17 455	-			
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 61)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES	75 300 884	9 442 081			-100%
TOTAL	625 088 023	369 659 099	452 106 177	452 106 177	22,30%

3.1.2 Additional EU funding: contribution, grant and service level agreements

REVENUES	Additional EU funding: contribution, grant and service level agreements
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	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Additional EU funding stemming from grant agreements (FFR Art.7)					
Additional EU funding stemming from contribution agreements (FFR Art.7)					
Additional EU funding stemming from service level agreements (FFR Art. 43.2)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 603 803	6 515 830	8 275 000	7 496 900	15,06%
Salaries & allowances	5 094 200	5 090 000	6 245 000	5 466 900	7,40%
- Of which establishment plan posts	4 084 200	4 080 000	5 000 000	4 406 400	8,00%
- Of which external personnel	1 010 000	1 010 000	1 245 000	1 060 500	5,00%
Expenditure relating to Staff recruitment	5 100	6 600	15 000	15 000	127,27%
Mission expenses	51 022	50 000	165 000	165 000	230,00%
Socio-medical infrastructure	426 431	408 000	460 000	460 000	12,75%
Training	40 500	40 000	70 000	70 000	75,00%
External Services	981 850	907 230	1 300 000	1 300 000	43,29%
Receptions, events and representation	2 000	2 000	2 000	2 000	0,00%
Social welfare	2 700	12 000	18 000	18 000	50,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	881 472	888 750	990 000	990 000	11,39%
Rental of buildings and associated costs	154 802	161 550	210 000	210 000	29,99%
Information, communication technology and data processing	392 920	293 700	335 000	335 000	14,06%
Movable property and associated costs	5 500	11 000	12 000	12 000	9,09%
Current administrative expenditure	28 250	73 450	93 000	93 000	26,62%
Postage / Telecommunications	47 000	62 500	68 000	68 000	8,80%
Meeting expenses	251 000	269 500	270 000	270 000	0,19%
Running costs in connection with operational activities					
Information and publishing	2 000	17 050	2 000	2 000	-88,27%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	607 628 504	360 804 520	442 841 177	443 619 277	22,95%
KICs and knowledge triangle integration	605 541 904	358 335 020	439 586 177	440 364 277	22,89%
EIT cross-cutting activities	2 086 600	2 449 500	3 200 000	3 200 000	30,64%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Supporting the innovation capacity of higher education		20 000	55 000	55 000	175,00%
Title 4 - Unused Appropriations not required in current Year					
TOTAL	615 113 779	368 209 100	452 106 177	452 106 177	22,79%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 603 803	6 515 830	8 275 000	7 496 900	15,06%
Salaries & allowances	5 094 200	5 090 000	6 245 000	5 466 900	7,40%
- Of which establishment plan posts	4 084 200	4 080 000	5 000 000	4 406 400	8,00%
- Of which external personnel	1 010 000	1 010 000	1 245 000	1 060 500	5,00%
Expenditure relating to Staff recruitment	5 100	6 600	15 000	15 000	127,27%
Mission expenses	51 022	50 000	165 000	165 000	230,00%
Socio-medical infrastructure	426 431	408 000	460 000	460 000	12,75%
Training	40 500	40 000	70 000	70 000	75,00%
External Services	981 850	907 230	1 300 000	1 300 000	43,29%
Receptions, events and representation	2 000	2 000	2 000	2 000	0,00%
Social welfare	2 700	12 000	18 000	18 000	50,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	881 472	888 750	990 000	990 000	11,39%
Rental of buildings and associated costs	154 802	161 550	210 000	210 000	29,99%
Information, communication technology and data processing	392 920	293 700	335 000	335 000	14,06%
Movable property and associated costs	5 500	11 000	12 000	12 000	9,09%
Current administrative expenditure	28 250	73 450	93 000	93 000	26,62%
Postage / Telecommunications	47 000	62 500	68 000	68 000	8,80%
Meeting expenses	251 000	269 500	270 000	270 000	0,19%
Running costs in connection with operational activities					
Information and publishing	2 000	17 050	2 000	2 000	-88,27%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	552 183 056	396 870 460	410 491 557	411 269 657	3,63%
KICs and knowledge triangle integration	550 527 756	393 264 260	407 736 557	408 514 657	3,88%
EIT cross-cutting activities	1 655 300	3 586 200	2 700 000	2 700 000	-24,71%
Supporting the innovation capacity of higher education		20 000	55 000	55 000	175,00%
Title 4 - Unused Appropriations not required in current Year					

EXPENDITURE	Payment appropriations				VAR 2022/2021 (%)
	Executed Budget 2020	Budget 2021	Draft Budget 2022		
			Agency request	Budget forecast	
TOTAL	559 668 331	404 275 040	419 756 557	419 756 557	3,83%

3.3 Budget Outturn

First estimate of the 2020 surplus that remains within the EIT: EUR 1 659 693.58

4 Justification of needs

Commission assessment

Human Resources

It is to be noted that the EIT is requesting 15 additional posts (10 TA, 5 CA), in excess of its current Establishment Plan hereto capped at 2020 level. The request is driven by significantly increased workload arising from its new mandate and strategic objectives for the 2021-2027 period, including the challenge of managing and growing the eight existing KICs and the start-up of two new KICs, as well as running critical new activities including new actions for higher education institutions, while establishing new cross-KIC activities and fostering more effective synergies across innovation communities, all identified as high priority. The workload assessment is further justified based on the EIT's budget increase of 25% and by Horizon Europe's and Financial Regulation's combined compliance requirements.

The Commission has the EIT's request under careful consideration, with respect to potential impacts of the new resource gap on the successful implementation as from the start of the new MFF of the EIT's ambitious strategic objectives as well as on the continued efficiency and regularity of EIT's operations under the new Framework Programme. The 2022 DB request and the proposed establishment plan does not reflect the substantial increase in posts that EIT is requesting. This reflects the overall constraints in which the Commission and its agencies are operating i.e. it demonstrates the commitment that the Commission and agencies are implementing more and more policy work with a stable staffing. However, the Commission also notes that there is a substantial increase in third country contributions expected. The expected association of the UK and of any other Associated Country to Horizon Europe will further increase the Agency's budget and the associated workload. When the association agreements are signed and the additional budget is known, the Agency will, in consultation with the Commission's services, consider hiring additional contract agents financed from the third country contributions to cope with this additional workload. The actual number of contract agent of the Agency for 2022 will be based on the effectively signed association agreements, their terms of participation, the distribution of Horizon Europe components and the final approach taken for the calculation of the additional contract agents.

Financial Resources

The EIT was established in 2008 as an independent EU body, and its mission is to facilitate technological innovation to foster Europe's global competitiveness. The EIT contributes to Europe's priorities such as the new Green Deal and channels innovations based on technological and social progress to the areas where they can advance society and benefit citizens, through eight Knowledge and Innovation Communities (KICs) intervening in different areas : climate, digitisation, renewable energy, health, sustainable raw material, food, manufacturing, and urban mobility.

The EIT delivers on Europe's commitment to the UN's Sustainable Development Goals and contributes to the objectives of Horizon Europe. The EIT will continue to increase the impact of KICs and the knowledge triangle integration (education, research, innovation), will support the innovation and entrepreneurship capacity of higher education, and create synergies and provide complementarities with other EU programmes and instruments through cross-cutting activities. The EIT will continue to increase its communications activities by implementing the EIT's Communications Strategy, through the 2022 Stakeholder Forum and EIT Awards. The EIT will continue to support the development of the EIT Alumni Community. The EIT will also implement its Impact Framework (2021-2027) through data collection and

impact assessment methodology. According to the Strategic Innovation Agenda for 2021-2027, the eight existing EIT KICs will be extended with two additional EIT KICs between 2021 and 2027.

Due to the fact that the additional human resources requested by the EIT are not reflected in the Draft Budget request, the amounts under title 1 have been revised in the column 'budget forecast'. The amounts under title 3 have been revised accordingly i.e. the reduction in title 1 expenses is used to increase the title 3 expenses in the column 'budget forecast'.

4.1 Human Resources - EIT request

4.1.1 Number of staff requested

The EIT requests the approval of 15 additional posts (10 TA, 5 CA) as from 2022 in order to be able to effectively carry out the new tasks and to manage the growth of existing tasks. No post is requested for administrative functions.

In terms of profiles, the additional staff will be recruited with a direct link to the EIT's strategic objectives set out in the EIT Strategic Innovation Agenda 2021-2027 as outlined below:

Specific Objective No 1: Increase the impact of KICs and knowledge triangle integration

Managing the eight existing KICs and launching two new KICs in 2021-2027 with an overall budget increase of 25%, ensuring compliance with the Horizon Europe regulations.

Facilitating shared services towards the KICs and exchanges of experiences and good practices between KICs

Increasing the regional impact of KICs

Launching two new KICs, the first one already in 2022

Nine posts are required to support specifically the above-mentioned tasks:

Title	Type/Grade	Nr posts
KIC Programme Officers	TA/AD8 grade	3
Grant Assurance Officers	TA/AD8 grade	2
KIC Programme Assistants and Grant Assurance Assistants	CA/FGIII	4

Specific Objective No 2: Increase the innovation capacity of the higher education sector by promoting institutional change in higher education institutions (HEIs)

Supporting the innovation capacity of higher education by involving 450 additional Higher Education Institutions in the EIT and KIC activities to reach 30 000 students by the end of 2027

Managing the increased related budget of EUR 400 million;

Three posts are required to support specifically the above-mentioned tasks:

Title	Type/Grade	Nr posts
Education Project Officers	TA/AD8 grade	2
Education Project Support Officer	CA/FGIV	1

Specific Objective No 3: Increase the regional outreach of the EIT in order to address regional disparities in innovation capacity across the EU

Reinforcing the EIT's communication and visibility as well as increasing the frequency of interactions with the Member States and EC services

Disseminating and sharing good practices with stakeholders

Increasing the impact of its activities through international cooperation

Creating synergies and providing complementarities with other EU programmes or instruments, including by reinforcing its support to KICs in their planning and implementing activities

Three posts are required to support specifically the above-mentioned tasks:

Title	Type/Grade	Nr posts
Communication and Dissemination Officer	TA/AD8 grade	1
Synergies/Stakeholder Relations Officers	TA/AD8 grade	2

4.1.2 Vacancy rate as of end 2020

At the end of 2020, 65 posts (43 temporary agents and 22 contract agents) were filled. Altogether 5 new staff members took up duty at the EIT and 5 left in 2020, taking the total number of staff to 65. Compared to the 66 at the end of 2019, this corresponds to a decrease of 1,5% from 2019 to 2020.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The salary costs are estimated based on the assumption that all the employees will be recruited by the end of 2022. The standard abatement applied is 2%

4.1.4 Salary assumption for calculating salary line (% applied)

Estimates of the cost of human resources are based on actual staff costs at the EIT and the salary categories defined by the Staff Regulations. To ensure adequacy to the cost of life in the host country, the correction coefficient in force in Hungary applies to the salaries. The budget appropriations covering staff expenditure for year 2022 are based on the estimate of a total of 55 temporary agents (50 AD and 5 AST) and 28 contract agents and 2 SNEs.

The results of the appraisal and promotion for Temporary Agents and Contractual Agents have been taken also into account, in the assumption that the necessary steps are implemented by the EIT.

4.1.5 Correction coefficient used

The correction coefficient rate applied is the one established for year 2021, namely 71.9%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - EIT Request

4.2.1 Title 1

The overall Title 1 staff expenditure is foreseen to increase by 27%. The increase is mainly because the COVID crisis is expected to be over by the end of 2021 that affected significantly staff expenditures, like missions, recruitments, trainings and social expenditures.

The estimation of staff expenditure was based on the figures indicated Section 4.1.1. The request of 15 additional posts resulted in an increase, 23% (from EUR 5 090 000 to EUR 6 245 000) in the salaries and staff related costs.

4.2.2 Title 2

The overall Title 2 infrastructure and operating expenditure is foreseen to increase by 11%.

No major expenditures are foreseen in 2022 for IT-related investments due to replacement of end-of-life IT hardware and change in technology in 2019-2020.

The EIT will adapt to after COVID working modalities that might resulted in increase of redesign, renovation and refurbishment cost of its current office premises and maintenance costs as well as current administrative expense.

4.2.3 Title 3

The EIT will continue to enhance its role as a smart investor in innovation by refining and implementing its strategic planning and performance evaluation framework, maintaining rigorous good governance and increasingly focusing attention to financial sustainability of its innovation communities and their gradual transition to financial independence from the EIT. The EIT will facilitate shared services towards the KICs and exchanges of experiences and good practices between KICs and foster collaboration between them (cross-KIC activities) on both thematic and horizontal topics, such as the reinforcement of the EIT Label.

The EIT will further increase its regional impact through an enhanced openness towards potential partners and stakeholders and a better articulated regional strategy of KICs, including links to the relevant Smart Specialisation Strategies.

In order to contribute to addressing new and emerging global challenges, the EIT will launch the Call for Proposals for the new KIC in the field of Cultural and Creative Industries either at the end of 2021 or in the first half of 2022, depending on the final EIT Legislative Package.

The budget for increasing impact of KICs and knowledge triangle integration will slightly increase (8%). The amount for KIC grants will be still far from the amount awarded in 2020. 2022 is planned to be the first year of the multiannual KIC grant cycle.

EIT continues to award annual grant to the eight designated KICs and the two foreseen new KICs in 2022. The EIT used to provide a pre-financing rate of 70% for the grants. The current MFF proposal grants only appr. EUR 405.536.557 for covering pre-financing of 2022 grants and payment of the final balance of 2021 grants. This could result in a low pre-financing (appr. 62%) payment.

KICs grants estimations	CA	PA	PA
		2021	2022
grants awarded in 2021	358,335,020	231,508,120	126,826,900
grants to be awarded in 2022	437,586,177		278.709.657
TOTAL			405.536.557

EIT cross-cutting activities

Building on its broad scope of action and distinctive role, the EIT will create synergies and provide complementarities with other EU programmes and instruments, such as the European Innovation Council, by reinforcing its support to KICs in their planning and implementing activities.

To further increase its visibility, the EIT will continue to focus its communications activities in 2022 on increasing the level of awareness of the EIT Community's activities and achievements among external stakeholders by implementing the EIT's Communications Strategy, increasing transparency and openness of the EIT. The 2022 Stakeholder Forum and EIT Awards will be organised to increase opportunities for targeted communication opportunities and interaction and exchange of ideas with the broader innovation ecosystems in Europe and other stakeholders, showcasing EIT success.

The EIT will also continue to support the development of the EIT Alumni Community as the growing number of EIT alumni, which are the future innovators and entrepreneurs of Europe are a major multiplier to achieve EIT impact and visibility.

In 2022, the EIT will continue focus its overall strategy and processes to analyse and assess results and impacts of all EIT Community activities pursuing the EIT's culture of lessons learnt and evaluation, which leads to constant improvements. At same time, the EIT will implement its Impact Framework (2021 – 2027) through data collection and impact assessment methodology. In addition, EIT will pursue to improve gender balance in diverse EIT governance bodies and among beneficiaries. This work will be performed in close collaboration with the KICs and key EIT stakeholders.

The above mentioned will result an estimated increase of expense by 31%.

Supporting the innovation capacity of higher education

The EIT will implement activities through the KICs in an open and targeted way which will aim at increasing the innovation and entrepreneurship capacity of higher education in order to integrate a wider number of Higher Education Institutions (HEIs) in innovation value chains and ecosystems. These activities will complement the intervention of the EIT on education as core part of the Knowledge Triangle Integration activities of KICs, in particular through making them more open and accessible to non-partners of the KICs. The specific details of the implementation and delivery mechanism process were developed in 2021, will be fine-tuned in 2022-2024 and will be subject to monitoring and evaluation during this pilot phase before further upscaling. The impact is an increase from EUR 20 000 to EUR 55 000 compared to 2021.

4.2.4 Title 4

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Infopark, Building E	Budapest	2 309	497	2 806		20 years (30.11.2029)		The Hungarian Government covers the rental fee of the EIT premises during 20 years (Host Agreement)	
2	Infopark, Building E	Budapest	114	35	149	31 154	6 years but can be extended until 11.30.2029		N/A	
3	EIT Liaison Office	Brussels	59	11	70	24 800	9 years until 30.09.2027		N/A	
TOTAL			2 482	543	3 025	55 954				

5.1.2 Current building(s) Other comments

Not applicable.

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 European schools

Not applicable.

5.3 Evaluation

An evaluation of the EIT was completed by the Commission in 2017.

In its Opinion, the Commission clearly recognises the achievements of the EIT and its Innovation Communities highlighted in the EIT Mid-term Evaluation Report (https://ec.europa.eu/education/sites/education/files/2017-eit-interim-evaluation_en.pdf), namely:

- The EIT/KICs model is highly relevant and now starts to deliver concrete results
- The EIT and its Innovation Communities add value beyond national initiatives, building new types of cooperation links and facilitating cross-border interactions
- The EIT educational programmes attract high calibre students
- The co-location centre model has been instrumental for widening the Innovation Communities' geographical scope to EU's moderate innovation performers
- The EIT and its Innovation Communities fit well within the EU innovation landscape by providing support to innovation through the Knowledge Triangle Integration
- The EIT Innovation Communities adopted diversified efforts and strategies to achieve financial sustainability

The Commission also recognised the importance of the role of the EIT in supporting the Innovation Communities to implement their innovation model.

At the same time, the document indicates certain areas where there is room for improvement, e.g.:

- Integration of the EIT graduates in innovation and entrepreneurship activities of the Innovation Communities is under-exploited: education activities did not generate so far a significant number of student-led start-ups
- Further efforts are needed for the Innovation Communities to become fully integrated into the local innovation ecosystems

- Impact is mainly limited to the partners, graduates and start-ups that have directly cooperated with the EIT Innovation Communities, and less clear evidence is found on the systemic impacts of the EIT
- The potential of the EIT and its Innovation Communities to contribute to EU policy-making in specific fields has not been utilised at its best
- Synergies existing at implementation level between the EIT and other EU innovation policy initiatives and instruments are not sufficiently reflected at programming level; the EIT should work in close coordination with research and innovation activities and the financial instruments of H2020 and COSME
- Achieving fully self-financing after 15 years may be challenging for the EIT Innovation Communities

The Commission's review of the EIT acknowledges the uniqueness of the EIT model among the EU and Member States' innovation support initiatives in tackling relevant societal challenges through the Knowledge Triangle Integration. The Commission also highlights the following:

- The EIT and its Innovation Communities contributed to H2020 objectives on "societal challenges" and on "Leadership in enabling and industrial technologies"
- EIT Innovation Communities brought solutions closer to the market and paved the way for industrial and commercial implementation in areas of societal challenges
- The EIT Innovation Communities have been successful in involving the diversity of actors in the knowledge triangle, thus contributing to reduce the fragmentation in their sectoral ecosystems
- The flexibility of the EIT model is suitable and allows for testing potential new initiatives in the area of innovation support
- The EIT Innovation Communities' management costs have been significantly lowered over time, following the EIT's efforts to limit their weight on the respective accounts
- The cross-innovation community interactions, through the active support of the EIT, have been steadily increasing

Finally, the Opinion re-affirms the recommendations of the independent evaluation and commits the Commission, together with EIT and its Innovation Communities to:

- Measure and report more extensively on activities and achievements related to EU policy priorities in thematic areas
- Further improve and review the system for monitoring to better measure and capture results and impact
- Reduce the administrative burden for the EIT and its EIT Innovation Communities
- Explore way to further extent the multi-annuity of the EIT grant agreements

5.4 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010éviCLXVI.törvény, MagyarKözlöny, 2010évi197szám), which enters in force on 22 January 2011	According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010éviCLXVI.törvény, MagyarKözlöny, 2010évi197szám), which enters in force on 22 January 2011	The EIT has signed cooperation agreements with international schools and kindergartens and school bus transport companies in Budapest

2.1.2 Innovative Health Initiative Joint Undertaking - IMI3

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Regulation N° of ...of ... 2021 establishing the Horizon Europe Joint Undertakings - Specific part on Innovative Health (OJ L .../..)</p>	<p>...</p>	<p>General objectives (long-term objectives) of the initiative:</p> <ul style="list-style-type: none"> - contribute towards the creation of a Union-wide health research and innovation ecosystem that facilitates translation of scientific knowledge into innovations, notably by launching at least 30 large-scale, cross-sectoral projects, focussing on health innovations; - foster the development of safe, effective, people-centred and cost-effective innovations that respond to strategic unmet public health needs, by exhibiting, in at least five examples, the feasibility of integrating health care products or services, with demonstrated suitability for uptake by health care systems. The related projects should address the prevention, diagnosis, treatment and/or management of diseases affecting the Union population, including contribution to Europe's Beating Cancer Plan; - drive cross-sectoral health innovation for a globally competitive European health industry, and contribute to reaching the objectives of the new Industrial Strategy for Europe and the Pharmaceutical Strategy for Europe <p>Specific objectives of the initiative:</p> <ul style="list-style-type: none"> - contribute towards a better understanding of the determinants of health and priority disease areas; - integrate fragmented health research and innovation efforts bringing together health industry sectors and other stakeholders, focussing on unmet public health needs, to enable the development of tools, data, platforms, technologies and processes for improved prediction, prevention, interception, diagnosis, treatment and management of diseases, meeting the needs of end-users; - demonstrate the feasibility of people-centred, integrated health care solutions; - exploit the full potential of digitalisation and data exchange in health care; - enable the development of new and improved methodologies and models for a comprehensive assessment of the added value of innovative and integrated health care solutions
<p>Approval by Council Regulation N° 73/2008 of 20/12/2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines(OJ L 30/38)</p>	<p>20/12/2007</p>	<p>The IMI Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the Theme 'Health' of the Specific Programme Cooperation implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produces more effective and safer innovative medicines. In particular it shall: support 'pre-competitive pharmaceutical research and development' in the Member States and countries associated with the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process; support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines (hereinafter referred to as 'Research Activities'), notably by awarding grants following competitive calls for proposals;</p> <p>ensure complementarity with other activities of the Seventh Framework Programme; be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Members States</p>

		and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors; promote the involvement of small and medium-sized enterprises (SME) in its activities, in line with the objectives of the Seventh Framework Programme.
Council Regulation N° 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (OJL 169/54)	06/05/2014	<p>For IMI2 as presented in the Commission proposal:</p> <p>The IMI 2 Joint Undertaking shall have the following objectives:</p> <p>to contribute to the implementation of Regulation (EU) No .../2013/EU the Horizon 2020 Framework Programme, in particular part ... of Decision (EU) No .../2013/EU the Specific Programme implementing the Horizon 2020 Framework Programme, and in particular to improving European citizens' health and wellbeing.</p> <p>to contribute to the objectives of the Joint Technology Initiative on Innovative Medicines, in particular to:</p> <p>i) increase the success rate in clinical trials of priority medicines identified by the World Health Organisation;</p> <p>ii) reduce the time to reach clinical proof of concept in medicine development, such as for immunological, respiratory, neurological and neurodegenerative diseases;</p> <p>iii) develop new therapies for diseases for which there is a high unmet need, such as Alzheimer's disease and limited market incentives, such as antimicrobial resistance;</p> <p>iv) develop diagnostic and treatment biomarkers for diseases clearly linked to clinical relevance and approved by regulators;</p> <p>v) reduce the failure rate of vaccine candidates in phase III clinical trials through new biomarkers for initial efficacy and safety checks;</p> <p>vi) improve the current drug development process by providing support for the development of tools, standards and approaches to assess efficacy, safety and quality of regulated health products.</p>

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 11 : Cluster Health — Innovative Health Initiative joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	33	32	96,97%	33	33
Assistants (AST)	6	5	83,33%	6	6
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	39	37	94,87%	39	39
Contract Agents (CA)	15	15	100,00%	15	15
Seconded National Experts (SNE)	2	1	50,00%	2	1

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
TOTAL STAFF	56	53	94,64%	56	55

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	104 538 211	154 615 955
Other Revenue	7 221 789	8 654 045
TOTAL REVENUES	111 760 000	163 270 000

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 048 000	6 048 000	6 464 000	6 464 000
Title 2 - Infrastructure and operating expenditure	3 052 000	3 052 000	2 816 000	2 816 000
Title 3 - Operational expenditure	102 660 000	198 000 000	153 990 000	236 900 000
TOTAL EXPENDITURE	111 760 000	207 100 000	163 270 000	246 180 000

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		2		1		2		2		2
AD 11		2		2		2		2		2
AD 10		1		1		1		1		1
AD 9		7		5		7		7		7
AD 8		6		5		6		6		6

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 7		2		4		2		3		3
AD 6		8		5		11		10		10
AD 5		4		8		1		1		1
AD TOTAL		33		32		33		33		33
AST 11										
AST 10										
AST 9										
AST 8		1		1		1		1		1
AST 7										
AST 6										
AST 5										
AST 4		4		3		4		4		4
AST 3				1						
AST 2		1				1		1		1
AST 1										
AST TOTAL		6		5		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		39		37		39		39		39
GRAND TOTAL	39		37		39		39		39	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	3	3	3	3
Function Group III	11	11	11	11
Function Group II	1	1	1	1
Function Group I				
TOTAL	15	15	15	15

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	2	1	2 (1)	1

The number of SNEs shall be reduced to 1 as soon as new legal basis is adopted.

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	247 650 879	104 538 211	154 615 955	154 615 955	47,90%
- Of which Administrative (Title 1 and Title 2)	4 202 909	4 538 211 (2)	4 615 955	4 615 955 (3)	1,71%
- Of which Operational (Title 3)	243 447 970	100 000 000	150 000 000	150 000 000	50,00%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) (1)	5 968 386	2 671 789	4 014 045	4 014 045	50,24%
- Of which EEA/EFTA (excl. Switzerland)	5 968 386	2 671 789	4 014 045	4 014 045	50,24%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	4 304 200	4 550 000	4 640 000	4 640 000	1,98%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	14 916 517				
From year N-1	14 916 517				
- Of which Administrative					
- Of which Operational	14 916 517				
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL	272 839 982	111 760 000	163 270 000	163 270 000	46,09%

(1) EFTA coefficient used 2.41% in 2020 and 2.66% in 2021 and 2022

(2) The revised budget compared with the initial approved one, is pending approval, in line with the draft LFS for the new program Horizon Europe, expected to start during 2021. The EC contribution for administrative costs consists of EUR 4,095,000 H2020 contribution + EUR 443,211 HE contribution (without EFTA %).

(3) The EC contribution for administrative costs consists of EUR 3,712,000 H2020 contribution + EUR 903,955 HE contribution (without EFTA %).

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2008-2019 executed	2020	2021	2022	TOTAL
FINANCIAL CONTRIBUTIONS FROM EU (contribution to administrative costs and operational costs that includes cost claims and pre-financing)	1,348,496,637	225,737,576	185,150,000	241,540,000	2,000,924,213
FINANCIAL CONTRIBUTIONS FROM INDUSTRY (contribution to administrative costs)	34,252,446	4,162,569	4,550,000	4,640,000	47,605,015
FINANCIAL CONTRIBUTIONS FROM ASSOCIATED PARTNERS AND OTHER MEMBERS (contribution to operational costs)	8,862,442	2,401,059	0	0	11,263,501
MISCELLANEOUS (recoveries of administrative and operational costs, ex post audits)	3,287,394	1,132,993	50,000	50,000	4,520,387
IN KIND CONTRIBUTIONS FROM INDUSTRY (validated)	891,178,435	190,369,749	200,000,000	200,000,000	1,481,548,184

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 397 963	6 048 000	6 464 000	6 464 000	6,88%
Salaries & allowances	5 067 357	5 651 000	6 032 000	6 032 000	6,74%
- <i>Of which establishment plan posts</i>	4 204 145	4 652 000	5 014 000	5 014 000	7,78%
- <i>Of which external personnel</i>	863 212	999 000	1 018 000	1 018 000	1,90%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Expenditure relating to Staff recruitment	7 167	10 000	5 000	5 000	-50,00%
Mission expenses	5 176	50 000	80 000	80 000	60,00%
Socio-medical infrastructure	113 833	122 000	132 000	132 000	8,20%
Training	86 532	80 000	80 000	80 000	0,00%
External Services	111 266	125 000	125 000	125 000	0,00%
Receptions, events and representation	6 632	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 210 436	3 052 000	2 816 000	2 816 000	-7,73%
Rental of buildings and associated costs	653 572	650 000	660 000	660 000	1,54%
Information, communication technology and data processing	1 004 903	1 079 000	1 009 000	1 009 000	-6,49%
Movable property and associated costs		5 000	5 000	5 000	0,00%
Current administrative expenditure	93 377	127 000	124 000	124 000	-2,36%
Postage / Telecommunications	59 620	38 000	38 000	38 000	0,00%
Meeting expenses	13 549	28 000	70 000	70 000	150,00%
Running costs in connection with operational activities	177 625	140 000	200 000	200 000	42,86%
Information and publishing	77 604	366 000	300 000	300 000	-18,03%
Studies	345 356	419 000	410 000	410 000	-2,15%
Other infrastructure and operating expenditure	784 830	200 000			-100%
Title 3 - Operational expenditure	264 231 583	102 660 000	153 990 000	153 990 000	50,00%
TOTAL	272 839 982	111 760 000	163 270 000	163 270 000	46,09%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 416 371	6 048 000	6 464 000	6 464 000	6,88%
Salaries & allowances	5 067 357	5 651 000	6 032 000	6 032 000	6,74%
- Of which establishment plan posts	4 204 145	4 652 000	5 014 000	5 014 000	7,78%
- Of which external personnel	863 212	999 000	1 018 000	1 018 000	1,90%
Expenditure relating to Staff recruitment	5 167	10 000	5 000	5 000	-50,00%
Mission expenses	7 894	50 000	80 000	80 000	60,00%
Socio-medical infrastructure	113 878	122 000	132 000	132 000	8,20%
Training	51 721	80 000	80 000	80 000	0,00%
External Services	162 261	125 000	125 000	125 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Receptions, events and representation	8 093	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 368 952	3 052 000	2 816 000	2 816 000	-7,73%
Rental of buildings and associated costs	737 601	650 000	660 000	660 000	1,54%
Information, communication technology and data processing	1 032 638	1 079 000	1 009 000	1 009 000	-6,49%
Movable property and associated costs		5 000	5 000	5 000	0,00%
Current administrative expenditure	131 399	127 000	124 000	124 000	-2,36%
Postage / Telecommunications	36 209	38 000	38 000	38 000	0,00%
Meeting expenses	23 618	28 000	70 000	70 000	150,00%
Running costs in connection with operational activities	114 802	140 000	200 000	200 000	42,86%
Information and publishing	40 124	366 000	300 000	300 000	-18,03%
Studies	439 327	419 000	410 000	410 000	-2,15%
Other infrastructure and operating expenditure	813 234	200 000			-100%
Title 3 - Operational expenditure	225 726 192	198 000 000	236 900 000	236 900 000	19,65%
TOTAL	234 511 515	207 100 000	246 180 000	246 180 000	18,87%

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within the joint undertaking: 3,847,222 EUR in payment appropriations, to be carried over to 2021, with the following breakdown:

EUR 2,537,921 unused payment appropriations of operational activities to be carried over from 2020 to 2021.

EUR 1,309,301 payment appropriations of administrative commitments of 2020 to be carried forward to 2021.

4 Justification of needs

Commission assessment

Human Resources

Agreement reached on the HR as requested by the JU.

Financial Resources

The Financial Resources are aligned with LFS.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In 2022, the total number of staff will be 55, out of which 39 temporary agents, 15 contract agents and 1 SNE. The IMI staff will be assigned to implement the research agenda of IMI2 JU and reinforce its project management tasks as well as ensuring the transition to the new program Horizon Europe.

4.1.2 Vacancy rate as of end 2020

At the end of 2020, the overall vacancy rate was 5.35 %. The vacancy rate for temporary agents was 5.13 %, for contract agents was 100% and for seconded national experts was 50%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

The Joint Undertaking applies the following average costs per year (in EUR):

Year	2021	2022
TA	123,500	126,000
CA	62,200	63,500

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Title 1 will mostly cover salaries of staff, missions and socio-medical structure including training of staff. The budget of staff related expenditure includes 2% promotions and indexations. The staff expenditure and socio-medical expenditure increased in line with prices indexation of external services provided. The missions' expenditure increased due to expected higher number of missions during 2022, as 2021 was budgeted in the context of COVID-19.

4.2.2 Title 2

Title 2 will mostly cover building rent and associated costs, IT, office, communication, workshops, experts, meetings and audits related expenditure. The budget of Title 2 will slightly reduce in 2022 compared to 2021, mainly due to IT expenditure. In previous years, some commitments were made for a longer period, in line with N+3 rule. There is an increase in 2022 compared with 2021 related to meetings and running costs in connection with operational activities, as the 2021 budget has been planned at lower level, due to COVID-19 context. The expenditure related to Calls evaluations experts will be reflected in Title 3. IMI will continue to execute its budget applying principles of sound financial management; in case some areas will require additional budget, this will be covered by efficiency gains obtained in other areas within Title 2.

4.2.3 Title 3

Based on the available information, 2022 draft preliminary budget foreseen operational expenditure related to Horizon Europe' Calls of EUR 154.050.000 and evaluations experts' costs of EUR 900.000. The payment appropriations will be consumed by payments for FP7, H2020 and Horizon Europe projects.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	White Atrium	Brussels	1 598	206	1 804	450 000	The same as other JTI occupying the building.	none		
TOTAL			1 598	206	1 804	450 000				

5.1.2 Current building(s) Other comments

The surface area indicated relates to the space currently occupied by the IMI JU office. In addition to the space to be used exclusively by IMI JU office, the IMI JU has access to 733,4 m² meeting room facilities. These facilities are shared with the other JUs (ESCEL, CleanSky, FCH and BBI) housed in the same building.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

2022 is the year when the IMI2 programme will undergo its final evaluation by a group of independent experts.

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
A host agreement has been signed in 2012	As stated in article 8 of the Council Regulation setting up the IMI JU (73/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the IMI JU and its staff.	

2.1.3 Global Health EDCTP3 Joint Undertaking - GH

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>Council Regulation N° of ...of ... 2021 establishing the Horizon Europe Joint Undertakings - Specific part on Global Health EDCTP3 (OJ L .../..)</p>	<p>...</p>	<p>General objectives (long-term objectives) of the initiative:</p> <ul style="list-style-type: none"> • To reduce the socio-economic burden of infectious diseases in sub-Saharan Africa through the development and uptake of new or improved health technologies against infectious diseases; • To increase health security in sub-Saharan Africa and globally by strengthening the R&I-based capacities for preparedness and response to control infectious diseases. <p>Specific objectives of the initiative:</p> <ol style="list-style-type: none"> 1. Advance the development and use of new or improved health technologies for tackling infectious diseases by supporting the conduct of clinical trials in sub-Saharan Africa. 2. Facilitate better alignment of R&I funders around a common strategic research and innovation agenda to increase the cost-effectiveness of European public investments. 3. Strengthen research and innovation capacity and the national health research systems in sub-Saharan Africa for tackling infectious diseases. 4. Strengthen capacity in sub-Saharan Africa for epidemic preparedness through effective and rapid research response to develop essential diagnostics, vaccines and therapeutics for early detection and control of (re-)emerging diseases of epidemic potential. <p>The initiative is expected to start operating in the second or third quarter of 2021 (depending on the adoption date of the Single Basic Act by the Council). The Joint Undertakings are set up to operate until 31 December 2027, with their last calls launched at the latest by 31 December 2027. In line with the the criteria for European Partnerships in the Annex III of the Horizon Europe Regulation, the Single Basic Act includes specific provisions for their evaluation, phasing-out and renewal. This includes an obligation for the Governing Board to submit a plan for the phasing out of the Joint Undertaking from Horizon Europe funding and an assessment of the most effective policy intervention mode for any future action as part of periodic reviews and evaluations.</p>

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 12 : Cluster Health — Global Health EDCTP3 joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)				5	15
Assistants (AST)				1	2
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS				6	17
Contract Agents (CA)				1	6
Seconded National Experts (SNE)					
TOTAL STAFF				7	23

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	32 472 238	68 135 000
Other Revenue	1 199 762	4 013 988
TOTAL REVENUES	33 672 000	72 148 988

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	442 000	442 000	2 810 000	2 810 000
Title 2 - Infrastructure and operating expenditure	230 000	230 000	1 460 000	1 460 000
Title 3 - Operational expenditure	33 000 000		66 000 000	29 000 000
TOTAL EXPENDITURE	33 672 000	672 000	70 270 000	33 270 000

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020		2021	2022	
	Authorised Budget	Actually filled as of 31/12/2020	Authorised Budget	Request of the Agency	Draft Budget Request

	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14						1		1		1
AD 13										
AD 12								2		2
AD 11								1		1
AD 10										
AD 9										
AD 8						1		3		3
AD 7						1		4		4
AD 6						1		3		3
AD 5						1		1		1
AD TOTAL						5		15		15
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4						1		1		1
AST 3								1		1
AST 2										
AST 1										
AST TOTAL						1		2		2
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL						6		17		17
GRAND TOTAL						6		17		17

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV			1	3
Function Group III				3
Function Group II				
Function Group I				
TOTAL			1	6

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION		32 472 238	68 503 595	68 135 000	109,83%
- Of which Administrative (Title 1 and Title 2)		327 294	2 135 000	2 135 000	552,32%
- Of which Operational (Title 3)		32 144 944	66 368 595	66 000 000	105,32%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		863 762	1 822 196	1 822 196	110,96%
- Of which EEA/EFTA (excl. Switzerland)		863 762	1 822 196	1 822 196 (2)	110,96%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS		336 000	2 191 792	2 191 792 (1)	552,32%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
From year N-1					
- Of which Administrative					
- Of which Operational					
From year N-2					
- Of which Administrative					
- Of which Operational					

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL		33 672 000	72 517 583	72 148 988	114,27%

(1) The surplus coming from EFTA compare to the amount in the legal financial statement accompanying the SBA, will be transferred to Cluster 1'

(2) EFTA 2021 and 2022 estimated at 2,66%

3.2 Financial contributions from EU budget and the other partners and in kind contributions

Global Health EDCTP3 Contributions (in EUR million)	Year 2021	Year 2022	TOTAL
Financial contributions from EU (contribution to administrative costs and operational costs that includes cost claims and pre-financing)	33.336.000,0	68.135.000,0	101.471.000,0
Financial contribution to the administrative costs of JU covered by Members other than the Union (contribution to administrative costs)	336.000,0	2.135.000,0	2.471.000,0
In kind contributions by the Contributing Partners (Philantropiess+Industry)	20.000.000,0	50.000.000,0	70.000.000,0
In kind contributions to operational activities by a Private Member - the EDCTP Association (of which Participating States are constituent entities)	50.000.000,0	50.000.000,0	100.000.000,0
TOTAL appropriations co-financed	103.672.000,0	170.270.000,0	273.942.000,0

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure		442 000	2 810 000	2 810 000	535,75%
Salaries & allowances		417 248	2 652 640	2 652 640	535,75%
- Of which establishment plan posts		398 548	2 309 640	2 309 640	479,51%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
<i>- Of which external personnel</i>		18 700	343 000	343 000	1734,22%
Expenditure relating to Staff recruitment					
Mission expenses		24 752	157 360	157 360	535,75%
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		230 000	1 460 000	1 460 000	534,78%
Rental of buildings and associated costs		52 419	332 749	332 749	534,79%
Information, communication technology and data processing		87 017	552 363	552 363	534,78%
Movable property and associated costs		403	2 560	2 560	535,24%
Current administrative expenditure		10 242	65 014	65 014	534,78%
Postage / Telecommunications		3 065	19 453	19 453	534,68%
Meeting expenses		2 258	14 334	14 334	534,81%
Running costs in connection with operational activities		11 290	71 669	71 669	534,80%
Information and publishing		29 516	187 363	187 363	534,78%
Studies		33 790	214 495	214 495	534,79%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure		33 000 000	66 000 000	66 000 000	100,00%
TOTAL		33 672 000	70 270 000	70 270 000	108,69%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure		442 000	2 810 000	2 810 000	535,75%
Salaries & allowances		417 248	2 652 640	2 652 640	535,75%
<i>- Of which establishment plan posts</i>		398 548	2 309 640	2 309 640	479,51%
<i>- Of which external personnel</i>		18 700	343 000	343 000	1734,22%
Expenditure relating to Staff recruitment					
Mission expenses		24 752	157 360	157 360	535,75%
Socio-medical infrastructure					
Training					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		230 000	1 460 000	1 460 000	534,78%
Rental of buildings and associated costs		52 419	332 749	332 749	534,79%
Information, communication technology and data processing		87 017	552 363	552 363	534,78%
Movable property and associated costs		403	2 560	2 560	535,24%
Current administrative expenditure		10 242	65 014	65 014	534,78%
Postage / Telecommunications		3 065	19 453	19 453	534,68%
Meeting expenses		2 258	14 334	14 334	534,81%
Running costs in connection with operational activities		11 290	71 669	71 669	534,80%
Information and publishing		29 516	187 363	187 363	534,78%
Studies		33 790	214 495	214 495	534,79%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure			29 000 000	29 000 000	100%
TOTAL		672 000	33 270 000	33 270 000	4850,89%

3.4 Budget Outturn

Not applicable: new Joint Undertaking

4 Justification of needs

Commission assessment

Human Resources

Human resources agreed as per JU request.

Financial Resources

Financial resources aligned with LFS.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In 2021, the total number of staff will be 7, out of which 6 temporary agents, and 1 contract agent. The staff will be recruited most likely in the 4th quarter of the year, meaning after the approval of the JU by the Council Regulation

In 2022, the total number of staff will be 23, out of which 17 temporary agents, and 6 contract agents. The staff will be assigned to implement the research agenda of the GH EDCTP3 JU and reinforce its project management tasks as well as ensuring the transition to the new program Horizon Europe.

4.1.2 Vacancy rate as of end 2020

Not applicable. New Joint Undertaking.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The Joint Undertaking applies the following average costs per year (in EUR):

Year	2021	2022
TA	127,500	130,050
CA	56,100	57,222

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Title 1 will mostly cover salaries of staff, missions and socio-medical structure including training of staff. The budget of staff related expenditure includes 2% promotions and indexations. The staff expenditure and socio-medical expenditure increased in line with prices indexation of external services provided. The missions' expenditure increased due to expected higher number of missions during 2022, as 2021 was budgeted in the context of COVID-19.

4.2.2 Title 2

Title 2 will mostly cover building rent and associated costs, IT, office, communication, workshops, experts, meetings and audits related expenditure. The budget of Title 2 will slightly reduce in 2022 compared to 2021, mainly due to IT expenditure. In previous years, some commitments were made for a longer period, in line with N+3 rule. There is an increase in 2022 compared with 2021 related to meetings and running costs in connection with operational activities, as the 2021 budget has been planned at lower level, due to COVID-19 context. Starting with 2021, the expenditure related to Calls evaluations experts will be reflected in Title 3. The EDCTP3 JU will continue to execute its budget applying principles of sound financial management; in case some areas will require additional budget, this will be covered by efficiency gains obtained in other areas within Title 2.

4.2.3 Title 3

Based on the available information, 2021 draft preliminary budget foreseen operational expenditure related to Horizon Europe' Calls of EUR 33.000.000 and no evaluations experts' costs. No payment appropriations needed for the first year of this new EDCTP3 JU.

Based on the available information, 2022 draft preliminary budget foreseen operational expenditure related to Horizon Europe' Calls of EUR 65.625.000 and evaluations experts' costs of EUR 375.000. The payment appropriations will be consumed mainly by pre-financing payments.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
TOTAL										

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

For 2021 no evaluations planned since EDCTP3 is a new JU, that will be legally approved only in the 4th quarter of 2021.

For 2022, the JU will organise its first evaluations applying the Horizon Europe rules. External experts will be invited to participate to the evaluations of the proposals received. They will be paid applying the Horizon Europe rates related to fees for expert evaluators.

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
A host agreement will have to be signed in 2021	As stated in article XX of the Council Regulation setting up the EDCTP3 GH JU (XX/2021 of XX/XX/2021) the Protocol on the Privileges and Immunities of the European Communities shall apply to the EDCTP3 GH JU and its staff.	

6 Budget Outturn

N/A

6.1 Calculation budget outturn

Budget outturn	2018	2019	2020
Revenue actually received (+)			
Payments made (-)			
Carryover of appropriations (-)			
Cancellation of appropriations carried over (+)			
Exchange rate differences (+/-)			
TOTAL			

6.2 Cancellation of appropriations

6.2.1 Cancellation of commitment appropriations

6.2.2 Cancellation of payment appropriations for the year

6.2.3 Cancellation of payment appropriations carried over

6.3 Justification

6.3.1 Budget outturn

6.3.2 Cancellation appropriations

2.1.4 European High Performance Computing Joint Undertaking - EUROHPC2

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>Council Regulation (EU) 2018/1488 of 28 September 2018 establishing the European High Performance Computing Joint Undertaking</p>	<p>28.09.2018</p>	<p>The Joint Undertaking shall have the following overall objectives:</p> <p>(a) to provide scientists, industry and the public sector from the Union or an Associated Country to Horizon 2020 with latest High Performance Computing and Data Infrastructure and support the development of its technologies and its applications across a wide range of fields.</p> <p>(b) to provide a framework for acquisition of an integrated world-class pre-exascale supercomputing and data infrastructure in the Union;</p> <p>(c) to provide Union level coordination and adequate financial resources to support the development and acquisition of such infrastructure, which will be accessible to users from the public and private sector primarily for research and innovation purposes;</p> <p>(d) to support the development of an integrated High Performance Computing ecosystem in the Union covering all scientific and industrial value chain segments notably hardware, software, applications, services, engineering, interconnections, know-how and skills.</p> <p>and</p> <p>The main expected outcomes for the proposed EuroHPC Joint Undertaking in the next decade would include:</p>
<p>and</p> <p>Proposal for a COUNCIL REGULATION on establishing the European High Performance Computing Joint Undertaking { COM(2020) 569 final }</p>	<p>and</p> <p>18.9.2020</p>	<p>– A federated, secure and hyper-connected European HPC and data infrastructure with mid-range supercomputers and at least two top class exascale and two top class post-exascale systems (at least one of each category built with European technology);</p> <p>– Hybrid computing infrastructures integrating advanced computing systems – notably quantum simulators and quantum computers – in HPC infrastructures;</p> <p>– A secure cloud-based HPC and data infrastructure for European private users;</p> <p>– HPC-powered capacities and services based on European public data spaces for scientists, industry and the public sector;</p> <p>– Next generation technology building blocks (hardware and software) and their integration into innovative HPC architectures for exascale and post-exascale systems;</p> <p>– Centres of Excellence in HPC applications and industrialisation of HPC software, with novel algorithms, codes and tools optimised for future generations of supercomputers;</p> <p>– Large-scale industrial pilot test-beds and platforms for HPC and data applications and services in key industrial sectors;</p> <p>– National HPC Competence Centres, ensuring a wide coverage of HPC in the EU, with specific services and resources for industrial innovation (including SMEs);</p> <p>– A significant increase for Europe’s workforce in HPC skills and know-how;</p> <p>– Reinforced data storage, processing capacities, and new services, in areas of public interest across the Member States.</p>

1.2 Seat

The seat of EuroHPC Joint Undertaking is Luxembourg.

1.3 Budget line

01 02 02 41 : Cluster 'Digital, Industry and Space' — European High-Performance Computing Joint Undertaking (EuroHPC)

02 03 03 02 : European High-Performance Computing joint undertaking (EuroHPC)

02 04 02 11 : High-Performance Computing joint undertaking (EuroHPC)

02 04 99 02 : Completion of the European High-Performance Computing Joint Undertaking (EuroHPC) under the previous programme Connecting Europe Facility (CEF) — ICT (prior to 2021)

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	4	4	100,00%	4	21
Assistants (AST)					1
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	4	4	100,00%	4	22
Contract Agents (CA)	10	7	70,00%	11	25
Seconded National Experts (SNE)	1		0,00%	1	
TOTAL STAFF	15	11	73,33%	16	47

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution		420 771 343
Other Revenue		421 264 391
TOTAL REVENUES		842 035 734

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	1 679 880	1 679 880	5 754 000	5 754 000
Title 2 - Infrastructure and operating expenditure	1 404 600	1 354 600	2 688 330	2 688 330
Title 3 - Operational expenditure		286 754 549	833 593 404	509 347 315
TOTAL EXPENDITURE	3 084 480	289 789 029	842 035 734	517 789 645

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										1
AD 11										
AD 10		1		1		1		1		2
AD 9										
AD 8		2		2		2		2		16
AD 7										
AD 6										1
AD 5										
AD TOTAL		4		4		4		4		21
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										1
AST 3										
AST 2										
AST 1										
AST TOTAL										1
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC TOTAL										
TOTAL		4		4		4		4		22
GRAND TOTAL	4		4		4		4		22	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	4	2	5	9
Function Group III	4	3	4	13
Function Group II	2	2	2	3
Function Group I				
TOTAL	10	7	11	25

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	1		1	

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021 (1)	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	124 176 000		4 410 883	420 771 343	100%
- Of which Administrative (Title 1 and Title 2)	2 370 000		4 410 883	5 175 362 (2)	100%
- Of which Operational (Title 3)	121 806 000			415 595 981	100%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)			127 062	11 264 391	100%
- Of which EEA/EFTA (excl. Switzerland)			127 062	11 264 391 (3)	100%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	16 565 000			410 000 000	100%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021 (1)	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
From year N-1					
- Of which Administrative					
- Of which Operational					
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL	140 741 000		4 537 945	842 035 734	100%

(1) The EU contribution to the JU running costs related to the completion activities for the year was included in the EU budget in 2020.

(2) Legacy: EUR 1 750 343, Digital Europe Programme: EUR 2 156 019, Horizon Europe: EUR 1 269 000

(3) EFTA percentage of 2.66% for Horizon Europe and 2,7% for Digital Europe Programme was assumed at the time of drafting this financial statement.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

In accordance with the provisions of the legal framework applicable to the EuroHPC JU, the contributors to the budget of the JU are:

- the European Union covering administrative and operational costs, the ceiling of which is defined in Article 5 of the proposal for a Council Regulation [COM(2020) 569 final].
- in accordance with Article 7, the Participating States shall make a total contribution at least equal to the amount of the Union contribution referred to in Article 5, including the contribution for administrative costs.

The Participating States' contributions are subject to the availability of the appropriations provided by Participating States.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	315 000	1 679 880	1 999 615	5 754 000	242,52%
Salaries & allowances	287 000	1 404 880	1 644 615	5 394 000	283,95%
- Of which establishment plan posts	184 000	552 000	633 360	3 344 000	505,80%
- Of which external personnel	103 000	852 880	1 011 255	2 050 000	140,36%
Expenditure relating to Staff recruitment	3 000	5 000	5 000	10 000	100,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Mission expenses	8 000	70 000	100 000	100 000	42,86%
Socio-medical infrastructure	17 000	200 000	250 000	250 000	25,00%
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	306 000	1 404 600	2 538 330	2 688 330	91,39%
Rental of buildings and associated costs	111 000	84 600	141 330	191 330	126,16%
Information, communication technology and data processing	99 000	250 000	262 500	312 500	25,00%
Movable property and associated costs		20 000	21 000	21 000	5,00%
Current administrative expenditure	44 000	200 000	1 200 000	1 200 000	500,00%
Postage / Telecommunications	6 000	10 000	10 500	10 500	5,00%
Meeting expenses	6 000	330 000	367 500	367 500	11,36%
Running costs in connection with operational activities	10 000	150 000	157 500	157 500	5,00%
Information and publishing	10 000	10 000	10 500	60 500	505,00%
Studies					
Other infrastructure and operating expenditure	20 000	350 000	367 500	367 500	5,00%
Title 3 - Operational expenditure	486 435 000			833 593 404	100%
TOTAL	487 056 000	3 084 480	4 537 945	842 035 734	27199,11 %

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	289 000	1 679 880	1 999 615	5 754 000	242,52%
Salaries & allowances	287 000	1 404 880	1 644 615	5 394 000	283,95%
- Of which establishment plan posts	184 000	552 000	633 360	3 344 000	505,80%
- Of which external personnel	103 000	852 880	1 011 255	2 050 000	140,36%
Expenditure relating to Staff recruitment		5 000	5 000	10 000	100,00%
Mission expenses	2 000	70 000	100 000	100 000	42,86%
Socio-medical infrastructure		200 000	250 000	250 000	25,00%
Training					
External Services					
Receptions, events and representation					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	94 000	1 354 600	2 538 330	2 688 330	98,46%
Rental of buildings and associated costs		84 600	141 330	191 330	126,16%
Information, communication technology and data processing	47 000	250 000	262 500	312 500	25,00%
Movable property and associated costs		20 000	21 000	21 000	5,00%
Current administrative expenditure	28 000	200 000	1 200 000	1 200 000	500,00%
Postage / Telecommunications		10 000	10 500	10 500	5,00%
Meeting expenses		280 000	367 500	367 500	31,25%
Running costs in connection with operational activities		150 000	157 500	157 500	5,00%
Information and publishing		10 000	10 500	60 500	505,00%
Studies					
Other infrastructure and operating expenditure	19 000	350 000	367 500	367 500	5,00%
Title 3 - Operational expenditure	40 371 000	286 754 549	96 626 762	509 347 315	77,62%
TOTAL	40 754 000	289 789 029	101 164 707	517 789 645	78,68%

3.4 Budget Outturn

The budget revenue of the EuroHPC JU amounted to EUR 140 741 000 in 2020. The majority of the budget came from EU contributions (EUR 124 176 000).

First estimate of the 2020 surplus that remains within the joint undertaking: EUR 99.987 000

The JU plans to spend the majority of outturn to funding procurements and calls already signed in 2021. Surplus funds in the administrative budget will be used to fund studies, events (COVID permitting) and the JU's legal activities in 2021.

Once the new Regulation is adopted, the JU will assess its financial situation. When required, additional revenues will be made by the JU from the Commission in 2021.

4 Justification of needs

Commission assessment

Human Resources

The human resources requested for 2022 are in line with the LFS provided with the proposal for a Council Regulation on establishing the European High Performance Computing Joint Undertaking [COM(2020)569 Final].

Financial Resources

For 2022, the EuroHPC JU has only requested sufficient funds to operate under the current Regulation and complete projects funded under legacy programmes – CEF and Horizon 2020.

The provisions of JU operational expenses under new MFF programmes have been reflected in the columns 'Budget Forecast'.

The 2021 budget reflects only the voted budget. The amounts of the LFS of EUROHPC 2 (DEP, CEF and HE) are not reflected in the 2021 budget. This is due to the fact that as the legislative proposal is still under discussion, the evaluation of the actual needs of EUROHPC 2 can not be assessed correctly at this stage. This will be done when the legal base and work programme will be adopted.

N.B.: Amounts of 2021 are presented for the information.

The budget for 2021 and 2022 does not reflect the potential budgetary impact of third country contributions.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

47 posts in total, in accordance with the LFS of the proposal for a Council Regulation on establishing the European High Performance Computing Joint Undertaking [COM(2020)569 Final]. This prevision for 2022 includes the 16 posts foreseen by Council Regulation (EU) 2018/1488 (in force currently).

4.1.2 Vacancy rate as of end 2020

At the end of 2020, EuroHPC JU filled 11 out of 15 posts. Under the current Council Regulation (EU) 2018/1488, there are 16 posts foreseen in total.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the total average cost.

The EuroHPC Joint Undertaking became autonomous in September 2020. The recruitment of the staff is progressive, expected to reach the 57 foreseen posts in 2023.

4.1.5 Correction coefficient used

None, the JU is located in Luxembourg. However, due to the high price of living in Luxembourg, this causes difficulty to attract competent staff.

4.1.6 Exchange rate used (if applicable)

Not Applicable

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

With the establishment of its workforce, the EuroHPC JU will continue the recruitment of new staff and cover the salaries, social security and other related allowances. As new staff will be employed gradually over 2021–2023, the JU will have to cover the expenditure arising from the search for suitable candidates (publishing vacancies) and subsequent administration costs of the recruitment of new staff members (installation, resettlement and daily subsistence allowances, removal and travel expenses). As part of its duties, the JU staff will have to travel to various conferences, meetings and workshops related to the activities of the JU and to the actions funded. Finally, the JU will have to cover the costs of the annual medical check-up of staff and associated analyses required, complementary health insurance, schooling allowances and trainings.

Title 1 is covered by the EU and Participating States' contributions. The EU share will be covered by legacy (Horizon 2020) and the new programmes of the 2021-2027 MFF – Horizon Europe and Digital Europe Programme. The Participating States' contributions are in line with the LFS and cover 55% of 11 salaries and allowances.

4.2.2 Title 2

The JU will have to ensure that the working conditions of its staff comply with the standards of the EU institutions. To allow its staff to perform its work, the JU will need to be equipped with state-of-the-art office equipment and networking facilities, allowing using the standard IT toolchain of the EU programmes and institutions. As part of the activities of the JU, some meetings (like community workshops) are likely to require big rooms that will have to be rented. There will also be the costs of office supplies, correspondence and telecommunications, as well as the running costs related to the operational activities – auditing, legal assistance, communication; the costs related to the evaluation, selection and review of projects.

Title 2 is covered by the EU and Participating States' contributions. The EU share will be covered by legacy (Horizon 2020) and the new programmes of the 2021-2027 MFF – Horizon Europe and Digital Europe Programme. The Participating States' contributions are in line with the LFS.

4.2.3 Title 3

The main purpose of the Joint Undertaking is the indirect implementation of the EU budget in the field of High Performance and Quantum Computing.

In 2022, the EuroHPC Joint Undertaking will continue implementing the R&I actions in the context of Horizon 2020 and CEF from the previous MFF as well the programmes from the new MFF. The EuroHPC JU will launch the following infrastructure-related calls for:

- Acquisition of exascale supercomputer
- European quantum computers, interconnected with HPC machines as accelerators
- Secure service platforms for user industries
- Large scale test-beds HPC with BigData/AI/Cloud
- Deployment Demonstrators for adaptation and deployment of industrial/sectorial HPC tools, codes & software environments
- Education (Curricula development) - Short Term trainings/Traineeships

Also, the EuroHPC JU foresees to launch the following calls in the context of the Research & Innovation Agenda:

- European initiative on open RISC-V: ecosystem for the low power high-end GPP processor and accelerator
- Developing the European software stack: Software and algorithms, programming models and tools, developing the European software for exascale and post exascale performances
- Emerging Computing Architectures

Title 3 is covered is covered by the EU and Participating States' contributions. The EU share will be covered by legacy (Horizon 2020) and the new programmes of the 2021-2027 MFF – Horizon Europe and Digital Europe Programme. The Participating States' contributions are in line with the LFS.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Drosbach Building	12E rue Guillaume Kroll L-1882 Luxembourg	775		775	18 600	Until 2026	Hosting Agreement	Luxembourg	
TOTAL			775		775	18 600				

5.1.2 Current building(s) Other comments

The Luxembourg Authorities have provided the JU offices for free. The rent covers running costs.

5.1.3 Building projects in the planning phase

None

5.1.4 Building projects submitted to the European Parliament and the Council

None

5.2 Evaluation

None

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
None	As stated in the article 18 of the Council Regulation (EU) 2018/1488 setting up the EuroHPC Joint Undertaking, the Protocol on the Privileges and Immunities of the European Communities applies to the EuroHPC Joint Undertaking and its staff.	None

2.1.5 Key Digital Technologies Joint Undertaking - KDT

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Regulation (EC) No. 74/2008 on the establishment of ARTEMIS Joint Undertaking to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30/52, 04/02/2008).</p> <p>Council Regulation 72/2008 setting up the ENIAC Joint Undertaking (OJ L 30/21, 04/02/2008)</p>	<p>20/12/2007</p> <p>20/12/2007</p>	<p>The ARTEMIS JTI on Embedded Computing Systems will contribute to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ARTEMIS JTI will define and implement a "Research Agenda" for the development of key technologies for embedded computing systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications. The ARTEMIS JTI will address the design, development and deployment of ubiquitous, interoperable and cost-effective, powerful, safe and secure electronic and software systems, delivering reference designs and architectures that offer common architectural approaches for given ranges of applications, middleware that allows seamless connectivity and interoperability and integrated system design methods and tools for rapid development and prototyping. The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p> <p>The ENIAC JTI on Nanoelectronics contributes to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ENIAC JTI defines and implements a "Research Agenda" for the development of key technologies for nanoelectronics based systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications. The ENIAC JTI addresses the design, development and deployment of nanoelectronics components in key lead markets as well as addresses all supporting technologies, processes, design tools, equipment, materials and manufacturing along the whole food chain to produce and design these components and integrate them in larger systems. The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p>
<p>Council Regulation 561/2014 of 6 May 2014 setting up the ECSEL Joint Undertaking (OJ L 169/152)</p>	<p>06/05/2014</p>	<p>The ECSEL Joint Undertaking shall have the following objectives:</p> <p>(a) to contribute to the implementation of Regulation (EU) No 1291/2013 establishing the Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) and in particular part II of Decision No 2013/743/EU of the Council of 3 December 2013 establishing the Specific Programme implementing Horizon 2020 (2014-2020);</p> <p>(b) to contribute to the development of a strong and globally competitive electronics components and systems industry in the Union;</p> <p>(c) to ensure the availability of electronic components and systems for key markets and for addressing societal challenges, aiming at keeping Europe at the forefront of technology development, bridging the gap between research and exploitation, strengthening innovation capabilities and creating economic and employment growth in the Union;</p> <p>(d) to align strategies with Member States to attract private investment and contribute to the effectiveness of public support by avoiding unnecessary duplication and fragmentation of efforts, and easing participation for actors involved in research and innovation;</p> <p>(e) to maintain and grow semiconductor and smart system manufacturing capability in Europe, including leadership in manufacturing equipment and materials processing;</p> <p>(f) to secure and strengthen a commanding position in design and systems engineering including embedded technologies;</p> <p>(g) to provide access for all stakeholders to a world-class infrastructure for</p>

		the design and manufacture of electronic components and embedded/cyber-physical and smart systems; (h) to build a dynamic ecosystem involving Small and Medium-Sized Enterprises (SMEs), thereby strengthening existing clusters and nurturing the creation of new clusters in promising new areas.
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1.2 Seat

Brussels

1.3 Budget line

01 02 02 42 : Cluster Digital, Industry and Space — Key Digital Technologies joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	14	14	100,00%	14	14
Assistants (AST)					
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	14	14	100,00%	14	14
Contract Agents (CA)	16	14	87,50%	16	16
Seconded National Experts (SNE)	1		0,00%		
TOTAL STAFF	31	28	90,32%	30	30

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	211 806 500	251 522 040
Other Revenue	215 586 000	256 650 000
TOTAL REVENUES	427 392 500	508 172 040

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 448 274	3 448 274	3 262 662	3 262 662
Title 2 - Infrastructure and operating expenditure	976 504	976 504	1 335 713	1 335 713

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 3 - Operational expenditure	422 967 722	114 446 912	503 573 665	216 505 577
TOTAL EXPENDITURE	427 392 500	118 871 690	508 172 040	221 103 952

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1				1		1		1
AD 12		3		4		3		3		3
AD 11		1				1		1		1
AD 10		2		3		2		3		3
AD 9		5		5		5		5		5
AD 8		1		1		1				
AD 7										
AD 6										
AD 5										
AD TOTAL		14		14		14		14		14
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		14		14		14		14		14
GRAND TOTAL	14		14		14		14		14	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	5	2	5	5
Function Group III	10	8	10	10
Function Group II	1	4	1	1
Function Group I				
TOTAL	16	14	16	16

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	1			

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	201 342 169	211 806 500	1 465 000	251 522 040	18,75%
- Of which Administrative (Title 1 and Title 2)	2 245 000	2 424 343 (1)	1 465 000	2 450 673 (2)	1,09%
- Of which Operational (Title 3)	199 097 169	209 382 157 (3)		249 071 367 (4)	18,96%

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
@- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	4 844 634	5 586 000	35 307	6 650 000	19,05%
- Of which EEA/EFTA (excl. Switzerland)	4 844 634	5 586 000 (5)	35 307	6 650 000 (5)	19,05%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	2 858 608	210 000 000	3 420 493	250 000 000	19,05%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	8 698 441				
From year N-1	5 773 833				
- Of which Administrative					
- Of which Operational	5 773 833				
From year N-2	1 534 484				
- Of which Administrative	125 000				
- Of which Operational	1 409 484				
From year N-3	1 390 124				
- Of which Administrative	125 000				
- Of which Operational	1 265 124				
TOTAL	217 743 852	427 392 500	4 920 800	508 172 040	18,90%

- (1) EUR 1 854 040 from legacy of Ecsel and EUR 570 303 from LFS of KDT.
(2) EUR 1 522 040 from legacy of Ecsel and EUR 928 633 from LFS of KDT.
(3) EUR 208 016 000 from LFS of KDT and surplus C1 support as a result of the use of frontloading.
(4) EUR 247 877 000 from LFS of KDT and surplus C1 support as a result of the use of frontloading.
(5) EFTA percentage of 2.66% was assumed at the time of drafting this financial statement.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

The revenues for 2021 and 2022 is based on the LFS and includes part of the legacy.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 292 589	3 448 274	3 600 000	3 262 662	-5,38%
Salaries & allowances	3 209 372	3 261 000	3 464 000	3 030 654	-7,06%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
<i>- Of which establishment plan posts</i>	2 000 000	3 261 000	2 134 000	1 917 327	-41,20%
<i>- Of which external personnel</i>	1 209 372		1 330 000	1 113 327	100%
Expenditure relating to Staff recruitment		17 708	10 000	20 936	18,23%
Mission expenses	8 730	77 758	56 000	96 536	24,15%
Socio-medical infrastructure	74 487	91 808	70 000	114 536	24,76%
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 512 977	976 504	1 320 800	1 335 713	36,79%
Rental of buildings and associated costs	530 000	435 687	550 800	534 902	22,77%
Information, communication technology and data processing	296 625	162 127	300 000	306 902	89,30%
Movable property and associated costs		8 065		12 102	50,06%
Current administrative expenditure	84 971	93 565	80 000	124 902	33,49%
Postage / Telecommunications	13 950	19 465	15 000	24 897	27,91%
Meeting expenses	13 848	36 265	24 000	47 902	32,09%
Running costs in connection with operational activities	446 480		216 000		
Information and publishing	76 272	150 565	80 000	192 804	28,05%
Studies		70 765		91 302	29,02%
Other infrastructure and operating expenditure	50 831		55 000		
Title 3 - Operational expenditure	212 606 226	422 967 722		503 573 665	19,06%
TOTAL	217 411 792	427 392 500	4 920 800	508 172 040	18,90%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 251 179	3 448 274	3 600 000	3 262 662	-5,38%
Salaries & allowances	3 178 809	3 261 000	3 464 000	3 030 654	-7,06%
<i>- Of which establishment plan posts</i>	2 000 000	3 261 000	2 134 000	1 917 327	-41,20%
<i>- Of which external personnel</i>	1 178 809		1 330 000	1 113 327	100%
Expenditure relating to Staff recruitment	186	17 708	10 000	20 936	18,23%
Mission expenses	14 222	77 758	56 000	96 536	24,15%
Socio-medical infrastructure	57 962	91 808	70 000	114 536	24,76%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 587 175	976 504	1 320 800	1 335 713	36,79%
Rental of buildings and associated costs	522 211	435 687	550 800	534 902	22,77%
Information, communication technology and data processing	296 618	162 127	300 000	306 902	89,30%
Movable property and associated costs	848	8 065		12 102	50,06%
Current administrative expenditure	77 336	93 565	80 000	124 902	33,49%
Postage / Telecommunications	9 674	19 465	15 000	24 897	27,91%
Meeting expenses	14 269	36 265	24 000	47 902	32,09%
Running costs in connection with operational activities	480 399		216 000		
Information and publishing	137 209	150 565	80 000	192 804	28,05%
Studies		70 765		91 302	29,02%
Other infrastructure and operating expenditure	48 611		55 000		
Title 3 - Operational expenditure	186 459 113	114 446 912	99 500 895	216 505 577	89,18%
TOTAL	191 297 467	118 871 690	104 421 695	221 103 952	86,00%

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within ECSEL joint undertaking: EUR 4 165 000

(As provided by DG BUDG in the context of the preparation of the Annual Accounts 2020).

4 Justification of needs

Commission assessment

Human Resources:

The request for 2021 and 2022 is based on the LFS.

Financial Resources

The request for 2021 and 2022 is based on the LFS and includes part of the legacy. This is the best estimate at this stage (the basic act of the KDT is not yet adopted) and this estimation does not prejudice the actual needs of ECSEL/KDT, which will depend on the date of the adoption and entry into force of the new legislation.

The budget for 2021 and 2022 does not reflect the potential budgetary impact of third country contributions.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

ECSEL JU is not requesting any new post.

4.1.2 Vacancy rate as of end 2020

The vacancy rate at the end of 2020 is 9,68% [3 out of 31: 1 SNE (non-successful recruitment) and 2 CA (retirement)].

A recruitment procedure for the filling of CA posts was launched in 2020 and is to be finalised in Q1 2021.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the actual staff costs at ECSEL JU. The budget appropriations covering staff expenditure for year 2022 are based on the estimate (average Full Time Equivalents on a yearly basis) of 14 temporary agents and 16 contract agents. Remuneration and social costs are estimated in accordance with the EU staff regulations and rules applicable, including pension rights.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

TITLE 1 - STAFF EXPENDITURE

A-1100 – Staff salaries and allowances

This appropriation is intended to cover the cost of remuneration of temporary and contract staff in accordance with the Staff Regulations. It also covers the costs of the employer's social security contributions in accordance with the applicable Staff Regulations, as well the cost of non-statutory staff like interim and trainees who are contracted for a short period. The increase entered already in budget 2020 was for the one part justified by the new contribution agreement signed with DG HR concerning the financing by ECSEL JU of the schooling fees of its staff's children attending European Schools and for the other part by the staff seniority. Salaries are expected to remain at the same level in 2022 as recruitments will be limited to the filling of vacant posts. For 2022, an increase of +2% annual indexation is applied.

A-1200 – Recruitment and transfer

This chapter covers the recruitment costs for new staff as well as some expenditure foreseen in the relevant provisions of the Staff Regulations. In 2022 recruitments will be limited to the filling of vacant posts based on the hereby approved staff establishment plan.

A-1300 – Mission expenses

The missions' appropriation is intended to cover expenditure on transport, payment of daily mission allowances and ancillary or exceptional expenses incurred by staff in interest of the service, in accordance with the Staff Regulations. In the context of winding-up of Horizon 2020 and thus ECSEL JU, staff missions for 2022 are expected to decrease by 20% following the completion of projects prior to Call 2017.

A-1400 – Socio-medical infrastructure & training

This appropriation relates to costs of the annual medical check-up of staff members and the complementary health insurance. Under this chapter are also covered the costs for the staff training and the HR tools under the SLA with DG HR. In 2022, these costs are expected to remain stable.

4.2.2 Title 2

TITLE 2 - ADMINISTRATIVE EXPENDITURE

A-2000 – Rental of buildings and associated costs

The JU is operating since 2011 in its premises located in the White Atrium building, 60 Avenue de la Toison d'Or in Brussels. This office location is shared with five other JUs in order to minimise maintenance costs and share a number of expenses, such as security and safety of staff and installations, cleaning and maintenance. Common space shared by JUs in that location has been augmented from the end of year 2014 to offer more possibilities for meetings. In 2022, the rental costs are estimated to remain at the same level as in 2021. The slight increase of 2% provides for the yearly indexation.

A-2100 – IT and technical support costs

This chapter covers the cost for IT infrastructure, service management contract and equipment of ECSEL JU, as well the SLAs costs related to services provided by the Commission, such as ABAC and ARES costs, DIGIT procurement fees. During 2022 costs are expected to remain at the same levels.

A-2200 – Movable property and associated costs

This chapter relates to purchase of furniture, office equipment and archiving facilities. In the context of the winding-up of Horizon 2020 and thus ECSEL JU, no needs are expected in 2022.

A-2300 – Current administrative expenditure

Under this appropriation are covered the costs related to office supplies and translations and as of 2019, the costs for Accounting Services under the SLA signed in 2018 with DG BUDG are included as well. In 2022, these costs are estimated to remain at the same level as in 2021.

A-2400 – Postage and telecommunications

This chapter relates to the costs for internet connections and telecommunications services (including for replacement and upgrade) which are expected to remain stable in 2022.

A-2500 – Meetings and representation costs

The chapter covers the costs of meetings that are taking place inside or outside of the JU premises. Under this chapter are also covered the costs of the Governing Board and the Public Authorities Board meetings. The expected needs in 2022 shall cover for GB and PAB physical meetings, as well as logistics for project reviews which are expected to decrease by 20% following the completion of projects prior to Call 2017.

A-2600 – Evaluations experts’ costs

Under this appropriation are covered all the costs related to the selection and evaluation of projects, as well as the costs for the remuneration of evaluation experts for the calls launched under H2020 programme. In the context of the winding-up of Horizon 2020 and thus ECSEL JU, no evaluations are expected to take place in 2022.

A-2602 – Reviews experts’ costs

This chapter covers the costs of reviews for the projects selected under both H2020 and FP7 programmes. In the context of the winding-up of Horizon 2020 a decrease of 20% following the completion of projects prior to Call 2017 is expected.

A-2700 – Innovation activities

As of 2018, activities related to innovation are now included in the chapter 28.

A- 2800 – Communication activities

In the context of the winding-up of Horizon 2020 and thus ECSEL JU, communication activities in 2022 are expected to decrease and shall cover a limited number of ECSEL JU events and publications. More detailed information shall be provided in ECSEL Annual Work Plan for 2022.

A-2900 – Audit and legal costs

This appropriation covers external and internal audits and consultancy needs, legal assistance and related costs for actions initiated under FP7 as well as under H2020. In 2022, a new external auditors contract for the audit of ECSEL JU annual accounts for years 2022 and 2023 is expected to be awarded following a public procurement procedure.

4.2.3 Title 3

Title 3 OPERATIONAL EXPENDITURE

B-3100 – 7th Framework Programme (FP7) projects

This shall exclusively cover costs related to payments of FP7 projects.

B-3200 – Horizon 2020 projects

This shall exclusively cover costs related to payments for H2020 projects and studies by public procurement related to operational activities in line with ECSEL Annual Work Plan.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	White Atrium	Brussels	1 538	32	1 570	530 000	Until 2024	Usufruit	BE	
TOTAL			1 538	32	1 570	530 000				

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

N/A

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The ENIAC JU and ARTEMIS JU are granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities, and have signed with Belgium an Headquarters agreement.	As stated in the article 8 of the Council Regulation setting up the ENIAC (72/2008 of 20/12/2007) and the Artemis JU (74/2008 of 20/12/2007), the Protocol on the Privileges and Immunities of the European Communities applies to the ENIAC JU and the ARTEMIS JU and their staff. On 2 February 2012, a Headquarters agreement has been signed with Belgium, in accordance with the provisions of Article 17 of Council Regulation setting up the ENIAC (72/2008 of 20/12/2007).	N/A

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
European Institutional Partnership for Smart Networks and Services (Draft proposal)		<p>The objectives on the initiative include:</p> <ul style="list-style-type: none"> - the consolidation of European industrial capabilities in the connectivity domain and for future generation of systems (6G) in a context of fierce competition from Asia and the US; - the support to the emergence of alternative suppliers, as called for in the cybersecurity toolbox communication; - the development of European technological capabilities in related domains (connected devices and service platforms) where European industry is less prominent but which are vital to ensure a secure and autonomous European supply chain; - the support to the Green Deal initiative, through innovative technologies enabling very low energy connectivity platforms and very low energy digital use cases running on top of these platforms. - the deployment of connectivity platforms for strategic use cases (automotive) paving the way towards future 6G systems.

1.2 Seat

PM

1.3 Budget line

01 02 02 43 : Cluster Digital, Industry and Space — Smart Networks and Services joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)				5	6
Assistants (AST)					
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS				5	6
Contract Agents (CA)				9	10
Seconded National Experts (SNE)					
TOTAL STAFF				14	16

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	121 128 000	121 929 000
Other Revenue	3 605 004	3 811 148
TOTAL REVENUES	124 733 004	125 740 148

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	1 288 106	1 288 106	1 559 135	1 559 135
Title 2 - Infrastructure and operating expenditure	670 725	670 725	757 002	757 002
Title 3 - Operational expenditure	122 774 173		123 424 011	167 336 827
TOTAL EXPENDITURE	124 733 004	1 958 831	125 740 148	169 652 964

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14								1		1
AD 13						1				
AD 12								1		2
AD 11						1				
AD 10						1				
AD 9						2				
AD 8								4		3
AD 7										
AD 6										
AD 5										
AD TOTAL						5		6		6

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL						5		6		6
GRAND TOTAL						5		6		6

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV			4	5
Function Group III			4	4
Function Group II			1	1
Function Group I				
TOTAL			9	10

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION		121 128 000		121 929 000	0,66%
- Of which Administrative (Title 1 and Title 2)		1 535 000		1 703 000	10,94%
- Of which Operational (Title 3)		119 593 000		120 226 000	0,53%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		3 222 004		3 243 311	0,66%
- Of which EEA/EFTA (excl. Switzerland)		3 222 004 (1)		3 243 311 (1)	0,66%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS		383 000		567 837	48,26%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
From year N-1					
- Of which Administrative					
- Of which Operational					
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL		124 733 004		125 740 148	0,81%

(1) EFTA percentage of 2.66% was assumed at the time of drafting this financial statement.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

For 2022:

Financial contribution to the administrative costs of JU covered by the Private members: EUR 567 837

In kind contributions by the Private members/Associated Partners: EUR 53 950 000

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure		1 288 106		1 559 135	21,04%
Salaries & allowances		1 171 010		1 420 534	21,31%
- Of which establishment plan posts		648 010		792 795	22,34%
- Of which external personnel		523 000		627 739	20,03%
Expenditure relating to Staff recruitment		117 096		138 601	18,37%
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		670 725		757 002	12,86%
Rental of buildings and associated costs		336 450		398 415	18,42%
Information, communication technology and data processing		157 440		139 257	-11,55%
Movable property and associated costs		4 573		5 412	18,35%
Current administrative expenditure		76 222		90 257	18,41%
Postage / Telecommunications		13 720		16 237	18,35%
Meeting expenses		27 440		42 475	54,79%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure		54 880		64 949	18,35%
Title 3 - Operational expenditure		122 774 173		123 424 011	0,53%
TOTAL		124 733 004		125 740 148	0,81%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure		1 288 106		1 559 135	21,04%
Salaries & allowances		1 171 010		1 420 534	21,31%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
- Of which establishment plan posts		648 010		792 795	22,34%
- Of which external personnel		523 000		627 739	20,03%
Expenditure relating to Staff recruitment		117 096		138 601	18,37%
Mission expenses					
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		670 725		757 002	12,86%
Rental of buildings and associated costs		336 450		398 415	18,42%
Information, communication technology and data processing		157 440		139 257	-11,55%
Movable property and associated costs		4 573		5 412	18,35%
Current administrative expenditure		76 222		90 257	18,41%
Postage / Telecommunications		13 720		16 237	18,35%
Meeting expenses		27 440		42 475	54,79%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure		54 880		64 949	18,35%
Title 3 - Operational expenditure				167 336 827	100%
TOTAL		1 958 831		169 652 964	8560,93%

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within the joint undertaking: EUR

4 Justification of needs

Commission assessment

Human Resources

The 2021 and 2022 establishment plan posts reflect the LFS.

Financial Resources

The financial resources reflect only the LFS and are not based on the exact needs of the JU (legal basis not adopted).

For 2021:

TOTAL appropriations from EU budget	Commitments	121 128 000
	Payments	1 535 000

Financial contribution to the administrative costs of JU covered by Members other than the Union: 383 000

EU contribution to Titles 1 and 2: 75 %

Private contribution to Titles 1 and 2: 25 %.

For 2022:

TOTAL appropriations from EU budget	Commitments	121 929 000
	Payments	164 704 000

Financial contribution to the administrative costs of JU covered by Members other than the Union: 567 837

In kind contributions to operational activities by the Private members/Associated Partners (Art 22.3.d SBA): 53 950 000

EU contribution to Titles 1 and 2: 75 %

Private contribution to Titles 1 and 2: 25 %.

The budget for 2021 and 2022 does not reflect the potential budgetary impact of third country contribution.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2020

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
TOTAL										

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Regulation (EC) 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64/1, 2.3.2007)</p>	<p>27/02/2007</p>	<p>Established under Article 171 of the Treaty, the Joint Undertaking's aim is to ensure the modernisation of the European air traffic management system by coordinating and concentrating all relevant research and development efforts in the Union. It shall be responsible for the execution of the ATM Master Plan and in particular for carrying out the following tasks:</p> <ul style="list-style-type: none"> - organising and coordinating the activities of the development phase of the SESAR project in accordance with the ATM Master Plan, by combining and managing under a single structure public and private sector funding, - ensuring the necessary funding for the activities of the Development phase of the SESAR Project in accordance with the ATM Master Plan, - ensuring the involvement of the stakeholders of the air traffic management sector in Europe, in particular: air navigation service providers, airspace users, professional staff associations, airports, and manufacturing industry; as well as the relevant scientific institutions or the relevant scientific community, - organising the technical work of research and development, validation and study, to be carried out under its authority while avoiding fragmentation of such activities, - ensuring the supervision of activities related to the development of common products duly identified in the ATM Master Plan and if necessary, to organise specific invitations to tender.
<p>Council Regulation (EC) 1361/2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352/12, 31.12.2008)</p>	<p>16/12/2008</p>	<p>The main changes introduced by this regulation concern the alignment of the SESAR Joint Undertaking (SESAR JU) to the new legal model of the other Joint Undertaking. In particular as of 01.01.2009 the SESAR JU is under the Protocol of Privileges and Immunities of the Community; this implies that the SESAR JU will be VAT exempted as of 16.02.2008 with an important positive effect on the resources available for the research programme</p>
<p>Council Regulation Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024.</p>	<p>16/06/2014</p>	<p>Established under Article 187 of the Treaty on the Functioning of the European Union, the Council Regulation 721/2014 extends the SESAR JU to the 2024 and defines the Union contribution within the EU "Horizon 2020" Framework Programme, entrusts it to launch a new programme to address the remaining elements of Master Plan step 2, those of step 3 and with Exploratory Research to go beyond this timeframe and concept.</p> <p>The proposal (COM (2013) 503) for the extension of the SESAR JU beyond 2016 was adopted on 16 June 2014.</p>

<p>Council Regulation N° of ...of ... 2021 establishing the Horizon Europe Joint Undertakings - Specific part on Single European Sky ATM Research Joint Undertaking (OJ L .../..)</p>	<p>...</p>	<p>General objectives (long-term objectives) of the initiative:</p> <ul style="list-style-type: none"> - reinforce the Union's open technological autonomy in electronic components and systems to support future needs of vertical industries and the economy at large. The overall target is to contribute towards doubling the value of the design and production of electronic components and systems in Europe by 2030, in line with the weight of the Union in products and services; - establish Union scientific excellence and innovation leadership in emerging components and systems technologies with the active involvement of SMEs. SMEs should represent at least one third of the total number of participants in indirect actions and at least 20% of public funding should go to them; - ensure that components and systems technologies address Europe's societal and environmental challenges. The target is to align with the Union policy on energy efficiency and contribute towards the reduction of energy consumption by 32.5% in 2030. <p>Specific objectives of the initiative:</p> <ul style="list-style-type: none"> - establish design and production capabilities in Europe for strategic application areas; - launch a balanced portfolio of large and small projects supporting the fast transfer of technologies from the research to the industrial environment; - build a dynamic Union-wide ecosystem based on digital value-chains with simplified access to newcomers; - enhance component technologies that guarantee security, trust and energy efficiency for critical infrastructures and sectors in Europe; - foster mobilization of national resources and ensure a coherent alignment of Union and national research and innovation programmes in the field of electronic components and systems; - establish coherence between the Strategic Research and Innovation Agenda of the initiative and EU policies so that electronics components and systems technologies contribute efficiently.
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1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 51 : Cluster Climate, Energy and Mobility — Single European Sky ATM Research 3 joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	33	30	90,91%	31	31
Assistants (AST)	6	4	66,67%	6	6

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	39	34	87,18%	37	37
Contract Agents (CA)		1		1	1
Seconded National Experts (SNE)	3	3	100,00%	2	2
TOTAL STAFF	42	38	90,48%	40	40

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	38 963 569	86 280 927
Other Revenue	22 418 657	17 675 491
TOTAL REVENUES	61 382 226	103 956 418

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 644 386	5 644 386	6 480 432	6 480 432
Title 2 - Infrastructure and operating expenditure	3 180 287	3 180 287	3 206 567	3 206 567
Title 3 - Operational expenditure	42 520 000	57 960 808	89 276 000	114 898 937
Title 4 - Unused Appropriations not required in current Year	10 037 553		4 993 419	
TOTAL EXPENDITURE	61 382 226	66 785 481	103 956 418	124 585 936

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14										
AD 13						1		2		2

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 12		5		3		4		3		3
AD 11		3		2		3		4		4
AD 10		2		3		2		2		2
AD 9		5		4		6		7		7
AD 8		7		6		7		7		7
AD 7		6		6		4		4		4
AD 6		4		5		3		1		1
AD 5										
AD TOTAL		33		30		31		31		31
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8										
AST 7		1				1		1		1
AST 6										
AST 5		1				2		3		3
AST 4		2		2		1				
AST 3		1		1		1		1		1
AST 2										
AST 1										
AST TOTAL		6		4		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		39		34		37		37		37
GRAND TOTAL		39		34		37		37		37

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
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Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV		1	1	1
Function Group III				
Function Group II				
Function Group I				
TOTAL		1	1	1

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	3	3	2	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021 (1)	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request (1)	Budget forecast (1)	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	120 759 815	38 963 569	86 247 322	86 280 927	121,44%
- Of which Administrative (Title 1 and Title 2)	16 335 451				
- Of which Operational (Title 3)	104 424 364	38 963 569	86 247 322	86 280 927	121,44%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries) (2)	2 911 185	1 036 431	2 328 678	2 295 073	121,44%
- Of which EEA/EFTA (excl. Switzerland)	2 911 185	1 036 431	2 328 678	2 295 073	121,44%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	2 355 005	4 287 011	5 934 007	5 934 007	38,42%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	27 465 116	17 095 215	9 446 411	9 446 411	-44,74%
From year N-1	27 465 116	13 383 403	6 100 560	6 100 560	-54,42%
- Of which Administrative	2 775 924	13 383 403	5 400 560	5 400 560	-59,65%
- Of which Operational	24 689 192		700 000	700 000	100%
From year N-2		3 711 812	3 345 851	3 345 851	-9,86%
- Of which Administrative		1 191 812	3 345 851	3 345 851	180,74%
- Of which Operational		2 520 000			-100%
From year N-3					

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021 (1)	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request (1)	Budget forecast (1)	
- Of which Administrative					
- Of which Operational					
TOTAL	153 491 121	61 382 226	103 956 418	103 956 418	69,36%

(1) The revenue does not include potential contributions from associated countries.

(2) for 2021 and 2022 EFTA estimated at a rate 2,66%

3.2 Financial contributions from EU budget and the other partners and in kind contributions

SESAR 2020 - FINANCIAL CONTRIBUTIONS OVERVIEW	2020	2021	2022
	Executed	Estimated by the Joint Undertaking	As requested by the Joint Undertaking
1. FINANCIAL CONTRIBUTION FROM EU (EFTA included) (1)	117.711.961	0	0
<i>Assigned revenue</i>	6.000.000		
<i>H2020</i>	111.711.961		
2. CONTRIBUTIONS FROM INDUSTRY	47.557.406	65.187.011	65.187.011
<i>of which financial contribution</i>	1.319.005	3.087.011	3.087.011
<i>of which contribution in kind (2)</i>	46.238.401	62.100.000	62.100.000
3 OTHER MEMBERS CONTRIBUTIONS (EUROCONTROL)	61.717.139	106.279.364	102.936.528
<i>of which financial contribution</i>	1.137.244	1.200.000	2.846.996
<i>of which contribution in kind</i>	60.579.895	105.079.364	100.089.532
TOTAL CONTRIBUTIONS	226.986.506	171.466.375	168.123.539
<i>of which to SESAR2020</i>	220.986.506	171.466.375	168.123.539
<i>of which Assigned revenue</i>	6.000.000	0	0
(1) EFTA rate for 2020: 2,41%			
(2) out of which EUR 11.097.958 not yet validated (2020 figure)			

In accordance with Article 4 of the SESAR JU constituent act and the Statutes annexed to it, all revenue of the SESAR JU shall come from contributions from its Members and can be financial or in kind.

1. Financial Contribution from EU

As the last year to request the remaining EU entitlements (EUR 585 million less the funds already requested) was 2020 ⁽¹⁾, no additional EU Contribution is requested in 2022.

2. Contributions from Industry (Members)

All SESAR JU Members other than the European Commission (representing the EU) and EUROCONTROL contribute to the SESAR JU as follows:

⁽¹⁾ Article 4(3) of the amended SESAR JU constituent act stipulates that 'All Union financial contributions to the Joint Undertaking shall cease upon expiry of the 2014-2020 financial framework unless otherwise decided by the Council on the basis of a Commission proposal'. The expiry of the 2014-2020 financial framework is the 31 December 2020.

- Financial contributions: 5 % of each Member’s contribution shall be in cash in order to finance the running costs of the Joint Undertaking (i.e. staff, infrastructure and operating expenditure),
- In-kind contributions, consisting of the operational activities carried out by the stakeholder Members for developing, both jointly and under the SESAR JU’s supervision, the next generation of the ATM system in Europe.

3. Other Members Contribution (EUROCONTROL)

As a Founding Member, pursuant to Article 9.2 (b) of the SESAR JU Statutes and the SESAR JU-EUROCONTROL Agreement, EUROCONTROL shall contribute in-kind and in cash to the SESAR JU running costs and work programme ⁽²⁾ through a set of activities under the authority of the SESAR JU, which may include the following:

- Through research, development and validation activities under the SESAR 2020 Programme, subject to the award of grants (in-kind contribution),
- Through external coordination and adaptation of the relevant EUROCONTROL consultation mechanisms (in-kind contribution),
- Through detachment of the Programme Management Unit (PMU) (in-kind contribution),
- Through the provision of ICT support to the SESAR JU in accordance with Schedule 4 of this agreement (in-kind and cash contribution),
- Upon request of the SESAR JU, through the execution of other ATM-related studies necessary to support SESAR JU in the achievement of its objectives, complementary to the SESAR 2020 Programme (in-kind contribution),
- The cash contribution to the SESAR JU running costs should be transferred to the SJU according to a time schedule duly justified by the actual needs of the SJU.

Before the SESAR JU Administrative Board accepted the accession of 19 stakeholder Members other than the Union, the value and the utility of the in-kind contributions that were offered by each Candidate Member for carrying out the tasks of the JU were assessed. Following that assessment, the contribution of each Member was contractually established (as estimates) in a unique Membership Agreement signed by the SESAR JU and all Members (excluding EUROCONTROL which signed a specific SESAR JU-EUROCONTROL Agreement).

These are as follows:

(EUR)

Members	Estimated total net Contribution ⁽⁴⁴⁾	of which financial contribution	of which net in-kind contribution
European Union	585.000.000,00	585.000.000,00	
EUROCONTROL	492.256.781,00	25.000.000,00	467.256.781,00
Other Members in total	325.838.461,65	18.466.058,00	307.372.403,65
AIRBUS	26.761.006,00	1.667.271,00	25.093.735,00
AT-ONE Consortium	12.495.693,00	627.887,00	11.867.806,00
B4 Consortium	2.382.455,00	397.076,00	1.985.379,00
COOPANS Consortium	9.275.779,00	599.718,00	8.676.061,00
DASSAULT Aviation	5.247.567,00	290.928,00	4.956.639,00
DFS	8.483.712,00	672.725,00	7.810.987,00

⁽²⁾ In accordance with Schedule 2 of SESAR JU-EUROCONTROL Agreement, the EUROCONTROL financial contribution shall comprise: ‘(a) An estimated amount of EUR 25 million (corresponding to 5 % of the total contribution of EUROCONTROL), as a contribution for the SJU’s running costs. This amount shall consist of: i) an estimated amount of EUR 10 million (ten-million-EURO) for IT support provided in accordance with the terms and conditions in Schedule 4, ii) the remaining estimated amount of EUR 15 million (fifteen-million-EURO) cash contribution to be transferred to the SESAR JU according to a time schedule duly justified by the actual needs of the SJU.’ Therefore, the level of cash contribution is highly dependent on the level of actuals EUROCONTROL Gross In-Kind Contribution, and can fluctuate up or down.

Members	Estimated total net Contribution (€ ³)	of which financial contribution	of which net in-kind contribution
DSNA	9.831.224,62	641.023,00	9.190.201,62
ENAIRE	16.452.269,00	834.917,00	15.617.352,00
ENAV	8.143.260,00	643.950,00	7.499.310,00
FREQUENTIS Consortium	6.885.998,00	475.866,00	6.410.132,00
HONEYWELL AEROSPACE	15.324.183,38	777.926,00	14.546.257,38
INDRA	21.534.500,00	1.656.500,00	19.878.000,00
Leonardo	48.127.619,53	2.291.791,00	45.835.828,53
NATMIG Consortium	10.472.227,00	511.804,00	9.960.423,00
NATS	9.363.535,00	655.208,00	8.708.327,00
SEAC2020 Consortium	3.485.625,00	222.625,00	3.263.000,00
SKYGUIDE	1.637.165,12	263.860,00	1.373.305,12
THALES AIR SYSTEMS	75.585.799,00	3.599.324,00	71.986.475,00
THALES AVIONICS	34.348.844,00	1.635.659,00	32.713.185,00
TOTAL	1.403.095.242,65	628.466.058,00	774.629.184,65

This overall budget was broken down into annual commitment and payment instalments over the lifetime of the SESAR 2020 Programme. Due to the COVID-19 crisis, the Administrative Board of the SESAR JU decided to reduce the financial contribution of the Members other than the EU and EUROCONTROL by 50% in 2020 and by 20% in 2021. In 2022, a global assessment of the financial contributions paid so far against the actual in-kind contributions and the financial contributions will be adjusted accordingly for the remaining years.

Outside these financial contributions included in table: "FINANCIAL CONTRIBUTIONS OVERVIEW", the SESAR JU has been provided:

- under delegation agreement EC/SESAR JU ref. MOVE/E3/DA/2016-669/SI2.743803 signed on 6 December 2016 ⁽³⁾, with EUR 500.000 in assigned revenue to organise a call for proposals for a geo-fencing demonstration,
- under delegation agreement EC/SESAR JU ref. MOVE/E3/DA/2017-477/SI2.766828 signed on 10 November 2017, with an additional EUR 800 000 in assigned revenue from the European Commission to procure a study to develop a proposal for the future architecture of European airspace,
- under delegation agreement EC/SESAR JU ref. MOVE/E3/DA/2017-564/si2.771010 signed on 13 December 2016, with an additional EUR 10 million in assigned revenue from the European Commission from the CEF funds to organise a call for proposals on U-space demonstrations.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 454 684	5 644 386	6 480 432	6 480 432	14,81%
Salaries & allowances	5 191 487	5 259 846	5 880 201	5 880 201	11,79%
<i>- Of which establishment plan posts</i>	4 472 237	4 798 730	5 199 000	5 199 000	8,34%
<i>- Of which external personnel</i>	719 250	461 116	681 201	681 201	47,73%

⁽³⁾ In accordance with Articles 54(2)(a) and 58(1)(c)(iv) of the Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union (EU Financial Regulation) (OJ L 193, 30.7.2018, p. 1).

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Expenditure relating to Staff recruitment	5 000	5 000	5 100	5 100	2,00%
Mission expenses	110 000	200 000	363 000	363 000	81,50%
Socio-medical infrastructure					
Training	28 197	50 000	100 000	100 000	100,00%
External Services	90 000	93 840	95 717	95 717	2,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	30 000	35 700	36 414	36 414	2,00%
Title 2 - Infrastructure and operating expenditure	3 022 095	3 180 287	3 206 567	3 206 567	0,83%
Rental of buildings and associated costs	839 152	856 295	889 730	889 730	3,90%
Information, communication technology and data processing	1 516 264	1 638 840	1 542 062	1 542 062	-5,91%
Movable property and associated costs	5 675	2 562	2 613	2 613	1,99%
Current administrative expenditure	256 605	272 390	246 758	246 758	-9,41%
Postage / Telecommunications					
Meeting expenses	4 400	10 200	10 404	10 404	2,00%
Running costs in connection with operational activities					
Information and publishing	399 999	400 000	515 000	515 000	28,75%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	131 630 938	42 520 000	89 276 000	89 276 000	109,96%
Studies/Development conducted by the SJU	54 676 580	42 520 000	89 276 000	89 276 000	109,96%
Studies/Development conducted by the Members	76 954 358				
Title 4 - Unused Appropriations not required in current Year	13 383 404	10 037 553	4 993 419	4 993 419	-50,25%
- Of which Administrative	13 383 404	10 037 553	4 993 419	4 993 419	-50,25%
- Of which Operational					
TOTAL	153 491 121	61 382 226	103 956 418	103 956 418	69,36%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 192 405	5 644 386	6 480 432	6 480 432	14,81%
Salaries & allowances	5 016 317	5 259 846	5 880 201	5 880 201	11,79%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
<i>- Of which establishment plan posts</i>	4 366 068	4 798 730	5 199 000	5 199 000	8,34%
<i>- Of which external personnel</i>	650 249	461 116	681 201	681 201	47,73%
Expenditure relating to Staff recruitment	664	5 000	5 100	5 100	2,00%
Mission expenses	71 676	200 000	363 000	363 000	81,50%
Socio-medical infrastructure					
Training	14 706	50 000	100 000	100 000	100,00%
External Services	72 777	93 840	95 717	95 717	2,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	16 265	35 700	36 414	36 414	2,00%
Title 2 - Infrastructure and operating expenditure	2 945 854	3 180 287	3 206 567	3 206 567	0,83%
Rental of buildings and associated costs	795 303	856 295	889 730	889 730	3,90%
Information, communication technology and data processing	1 552 779	1 638 840	1 542 062	1 542 062	-5,91%
Movable property and associated costs	2 012	2 562	2 613	2 613	1,99%
Current administrative expenditure	201 320	272 390	246 758	246 758	-9,41%
Postage / Telecommunications					
Meeting expenses	1 145	10 200	10 404	10 404	2,00%
Running costs in connection with operational activities					
Information and publishing	393 295	400 000	515 000	515 000	28,75%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	113 068 863	57 960 808	114 898 937	114 898 937	98,24%
Studies/Development conducted by the SJU	38 674 164	50 974 415	89 620 835	89 620 835	75,82%
Studies/Development conducted by the Members	74 394 699	6 986 393	25 278 102	25 278 102	261,82%
Title 4 - Unused Appropriations not required in current Year					
<i>- Of which Administrative</i>					
<i>- Of which Operational</i>					
TOTAL	121 207 122	66 785 481	124 585 936	124 585 936	86,55%

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within the joint undertaking: EUR 22.084.613 (EUR 292.513 for SESAR 1 and EUR 21.792.101 for SESAR 2020):

<i>all figures in EUR</i>	2020	2019
REVENUE RECEIVED FOR THE YEAR		
Contribution from the European Union SESAR1	(23.897.454)	
Contribution from the European Union SESAR2020	117.711.961	114.136.182
Contribution from Eurocontrol	(3.641.583)	1.848.266
Contributions from other Members	(495.202)	2.729.585
Other sources of contribution and revenue SESAR1	81.564	915.510
Other sources of contribution and revenue SESAR2020	3.292.059	5.148.227
TOTAL REVENUE (1)	93.051.345	124.777.771
TOTAL PAYMENTS MADE FOR THE YEAR		
Staff Expenditure SESAR2020	(5.192.405)	(5.325.094)
Administrative Expenditure SESAR1	0	0
Administrative Expenditure SESAR2020	(2.945.854)	(2.448.741)
Operational Expenditure SESAR1	(4.128)	(885.282)
Operational Expenditure SESAR2020	(113.064.735)	(142.845.562)
TOTAL EXPENDITURE (2)	(121.207.122)	(151.504.679)
BUDGET SURPLUS of the year (3)=(1)-(2) SESAR1	(30.397.149)	30.228
BUDGET SURPLUS of the year (3)=(1)-(2) SESAR2020	2.241.372	(26.757.136)
Total Budget Surplus previous year (4) SESAR1	30.689.661	30.659.434
Total Budget Surplus previous year (4) SESAR2020	19.550.729	46.307.865
NEW TOTAL BUDGET SURPLUS (5)=(3)+(4) SESAR1	292.513	30.689.661
NEW TOTAL BUDGET SURPLUS (6)=(3)+(4) SESAR2020	21.792.101	19.550.729
TOTAL BUDGET OUTTURN (7)=(5)+(6)	22.084.613	50.240.390

In view of the very exceptional circumstances due to the COVID-19, the decision of an anticipated reimbursement of the remaining cash of the SESAR 1 Programme was submitted to the Administrative Board of the SESAR JU on the 7/05/2020, and the decision was adopted on the 8/10/2020 based on a positive opinion given by the Commission on the same day.

Based on this decision, it was requested from two Members to pay the agreed complement that they still owed in the general balance between Members (other than the EU and EUROCONTROL). The debit notes were sent to them on 21/10/2020 and were cashed on December 2020.

The SESAR JU reimbursed the EU through two separated payment for the share corresponding to the TEN-T programme and the share corresponding to FP7.

The bi-lateral agreements foreseen in the ADB decision to be signed with all Members other than the EU were sent to the Members on 23/11/2020. Payments could start upon their signatures. EUROCONTROL and all the other Members (other than the EU and EUROCONTROL) that had signed their bilateral agreements (all but three) were paid on 29/12/2020.

On 31/12/2020, all payments but three were done, for an amount of EUR 30.474.585,55 (out of EUR 30.767.098,22, i.e. 99%):

	Due amount	Paid amount	%
EU	23.897.454,25	23.897.454,25	100%
Eurocontrol	4.778.826,05	4.778.826,05	100%
Other Members	2.090.817,92	1.798.305,25	86%
TOTAL	30.767.098,22	30.474.585,55	99%

4 Justification of needs

Commission assessment

Human Resources

The Commission supports the request made by the joint undertaking, which is in line with the programming and is stable compared to 2021.

The vacancy rate of 4.8% in 2020 (two FTEs) is normal for the size of the entity, in particular considering that this year marks a transition period between the long-term Union budget cycles.

The projected evolution of staff and costs is in line with our forecasts.

As an observation, once the new SESAR 3 Joint Undertaking will replace the current joint undertaking, the number of FTEs in the staff establishment plan will be reduced from 38 TAs, 1CA, 3 SNEs to 37 TAs, 1 CA, and 2 SNEs, in line with the agreement reached between Commission Services on rationalising the staff of the JUs.

Financial Resources

The planned appropriations are adequate to cover the JU's activities, as outlined in the draft work programme. All Horizon 2020 funds have been committed in the years leading to 2020. For the legacy H2020 budget, the 2022 Budget includes the relevant level of payment appropriations to cover the needs of currently on-going projects launched in 2020, which are due to be finalised by the end of 2022 or early 2023 at the latest.

Regarding the administrative expenditure, the current planning for 2022 continues to rely on H2020 administrative budget. Our intention is to continue to make use of H2020 appropriations to cover the administrative costs of the new joint undertaking once it is established, to maximise budget execution on H2020 and to reduce costs with administration in HE.

Therefore, the 2022 commitment appropriations requested are only operational. The 2021 CAs (40m) together with the 2022 CAs (86,28m) will fund two calls for proposals launched hopefully already in 2021, one for exploratory research and the other one for industrial research.

The first call on exploratory research targets academia and research centres and seeks to perform scientific studies, generate new scientific knowledge and reinforce EU scientific capabilities in particular among the next generation aviation professionals that showed a high level of interest for the digital transformation of aviation infrastructure.

The second call on industrial research aims to deliver the first batch of SESAR 3 solutions supporting high levels of automation and sustainability in line with the vision outlined in the European ATM Master Plan.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The 2022 Staff establishment plan (SEP) takes into account the numbers of the draft Legislative Financial Statement for 2021-2027 with 40 positions: 37 temporary agents, 1 Contract Agent and 2 Seconded National Experts.

Pending the adoption of the basic act for the successor of the SJU, it is assumed that, during 2022, there will be a need to ensure the transfer of rights and obligations from the SJU to its successor, and eventually to execute simultaneously actions under two different legal frameworks: H2020 and Horizon Europe. Would it be the case, this would come with a high degree of complexity of the administrative tasks.. It must be noticed that, during the transition from the FP7-TEN-T legal framework to the H2020 one, the SJU was authorised in 2014 to have in its staff establishment plan two additional contractual agents FGIV, to reinforce the administrative activity of the Joint Undertaking.

4.1.2 Vacancy rate as of end 2020

The vacancy rate at the end of 2020 is of 4.8%. It corresponds to 4 vacant positions, out of which 2 positions have been published in 2020 (recruitment planned Q/2 2021) and have been filled with interim staff until the recruitments take place.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salaries are based on the 2020 actual salaries and assumptions concerning annual step increases, reclassifications, very low vacancy rate as well as an estimated increase related to the costs of life. A 4% increase on 2020 figures was calculated in order to cover all these costs.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The salary calculation for the 2022 commitment appropriation under title I is calculated with an assumption of 37 TA at an average cost of EUR 152 000 each and 2 SNE at EUR 82 000 each - while the SJU has only one CA estimated at EUR 85 201. Missions and training expenses would reach higher levels than in 2020 and 2021 in anticipation of a "back-to-normal" situation.

4.2.2 Title 2

Usual increase of the expenses related to the building, while IT expenses have a slight decrease according to some figures provided by EUROCONTROL. The increase of communication expenses in commitment corresponds to some new procurements to be launched with the new JU.

4.2.3 Title 3

SESAR2020:

The commitment appropriations only relate to some limited procurements of cooperation with key actors of the sector and to experts.

The payment appropriation mainly covers the on-going projects still signed under H2020.

SESAR 3:

In commitment appropriation, EUR 40Mio in 2021 and EUR 88,6Mio for 2022 as foreseen in the LFS;

In payment appropriation, EUR 20Mio for 2021 and EUR 63,6Mio for 2022 as foreseen in the LFS.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Cortenbergh 100	Avenue de Cortenbergh 100 B - 1000 Bruxelles	1 765	63	1 828	427 925	9 years lease contract, started 01.03.2016			
TOTAL			1 765	63	1 828	427 925				

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

The last two evaluations of the SESAR Joint Undertaking took place in 2017. One concerned the closure of the SESAR 1 Programme (Final Evaluation of SESAR 1: 2007-2016), while the second focused on the ongoing research activities under the SESAR 2020 Programme (Interim Evaluation of SESAR 2020: 2014-2020).

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption as of 16.10.2008, Administrative Agreement with the Belgian Authorities since 30.03.2009	Protocol of Privileges and Immunities applicable to staff with regard to VAT.	

2.1.8 Clean Aviation Joint Undertaking - CLEANSKY3

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Approval by Council Regulation N°- 71/2008 of 20/12/2007- setting up the Clean Sky Joint Undertaking (OJ L 30/1, 04/02/2008)	20/12/2007	<p>The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular Theme 7, Transport (including Aeronautics) of the Specific Programme Cooperation. The objectives of the Clean Sky Joint Undertaking shall be the following:</p> <ul style="list-style-type: none"> - accelerating in the EU the development, validation and demonstration of clean Air Transport technologies for earliest possible deployment; - ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of Air Transport; - creating a radically innovative Air Transport System based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts; - accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.
Council Regulation N° 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169/77)	06/05/2014	<p>The Clean Sky 2 Joint Undertaking shall contribute to the implementation of the Framework Programme for Research and Innovation (2014-2020) (“Horizon 2020”), and in particular the Smart, Green and Integrated Transport Challenge under Part III — Societal Challenges.</p> <p>The Clean Sky 2 Joint Undertaking shall have the following objectives:</p> <ul style="list-style-type: none"> - to contribute to the finalisation of research activities initiated under Regulation (EC) No 71/2008; - to contribute to improving the environmental impact of aeronautical technologies, including those relating to small aviation, as well as to developing a strong and globally competitive aeronautical industry and supply chain in Europe. <p>This can be realised through speeding up the development of cleaner air transport technologies for earliest possible deployment, and in particular the integration, demonstration and validation of technologies capable of:</p> <ul style="list-style-type: none"> - increasing aircraft fuel efficiency, thus reducing CO 2 emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014; - reducing aircraft NO x and noise emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014.

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 52 : Cluster Climate, Energy and Mobility — Clean Aviation joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	32	32	100,00%	32	32
Assistants (AST)	4	4	100,00%	4	4
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	36	36	100,00%	36	36
Contract Agents (CA)	6	6	100,00%	6	6
Seconded National Experts (SNE)	2	1	50,00%	2	2
TOTAL STAFF	44	43	97,73%	44	44

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	223 967 465	150 583 000
Other Revenue	153 637 799	57 820 593
TOTAL REVENUES	377 605 264	208 403 593

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 030 539	6 030 539	6 891 559	6 891 559
Title 2 - Infrastructure and operating expenditure	3 400 428	3 400 428	4 879 375	4 879 375
Title 3 - Operational expenditure	320 627 298	80 632 982	183 237 680	319 421 638
TOTAL EXPENDITURE	330 058 265	90 063 949	195 008 614	331 192 572

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12						2		2		2
AD 11		2		2		1		2		2

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 10		4		4		3		5		5
AD 9		10		10		10		8		8
AD 8		3		3		3		4		4
AD 7		3		3		3		4		4
AD 6		9		9		9		6		6
AD 5										
AD TOTAL		32		32		32		32		32
AST 11										
AST 10										
AST 9										
AST 8						1		1		1
AST 7		1		1						
AST 6						2		2		2
AST 5		3		3		1		1		1
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL		4		4		4		4		4
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		36		36		36		36		36
GRAND TOTAL	36		36		36		36		36	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	1	1	1	3
Function Group III	5	5	5	3
Function Group II				

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group I				
TOTAL	6	6	6	6

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	2	1	2	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021(1)	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	304 071 920	223 967 465	150 583 000	150 583 000	-32,77%
- Of which Administrative (Title 1 and Title 2)	20 013 668	372 102 (2)	1 440 000	1 440 000 (3)	286,99%
- Of which Operational (Title 3)	284 058 252	223 595 363	149 143 000	149 143 000	-33,30%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	7 328 133	5 957 535 (4)	4 005 508	4 005 508 (4)	-32,77%
- Of which EEA/EFTA (excl. Switzerland)	7 328 133	5 957 535	4 005 508	4 005 508	-32,77%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	4 261 950	4 715 484 (5)	5 885 467	5 885 467 (6)	24,81%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	8 235 099	142 964 780	47 929 618	47 929 618	-66,47%
From year N-1	8 235 099	142 964 780	47 929 618	47 929 618	-66,47%
- Of which Administrative	5 081 973	21 753 006	17 802 142	17 802 142	-18,16%
- Of which Operational	3 153 126	121 211 774	30 127 476	30 127 476	-75,14%
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL	323 897 102	377 605 264	208 403 593	208 403 593	-44,81%

- (1) The total budget planned for 2021 in the Legal Financial Statement is EUR 229 925 000. However, the EFTA contribution related to Horizon Europe is not foreseen in 2021. As the EFTA contribution has to be shown, the EU contribution has been decreased accordingly to match with the 2021 budget from the Legal Financial Statement (to EUR 223 967 465, EFTA excluded).
- (2) In addition, the use of frontloading equals EUR 4 333 484.
- (3) In addition, the use of frontloading equals EUR 4 407 163.
- (4) EFTA percentage of 2.66% was assumed at the time of drafting this financial statement.
- (5) Members contributions of EUR 382 619. The additional EUR 4 333 484 are Members contributions matching the frontloading.
- (6) Members contributions of EUR 1 478 304. The additional EUR 4 407 163 are Members contributions matching the frontloading.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

CLEAN SKY 2 / H2020

In 2020, the JU has received the last commitment appropriations for the H2020 programme which will be used until the end of the programme.

The total amount of EU contribution (EFTA included) received in 2020 is EUR 311 400 053, of which EUR 20 495 997 for administrative expenditure and EUR 290 904 056 for operational expenditure. The EFTA contribution is calculated with a rate of 2.41% for 2020.

The Other contributions of EUR 4 333 483 for 2021 and EUR 4 407 163 for the year 2022 represent the contribution of Members to the administrative budget of the CS2JU. This amount covers 50% of the administrative costs of the CSJU as included in Title 1 and 2.

In 2021, the JU will receive only contributions from Members (EUR 4 333 483) and will consume the unused appropriations from previous year, i.e. 142.964.780 €.

The commitment appropriations not consumed in 2021 will be used for the commitments of the period 2022-2024 and are shown in 2022 under "Unused appropriations from the previous year".

In 2022, the JU will receive only contributions from Members (EUR 4 407 163).

The table below gives a detailed overview of the financial contributions for H2020 programme.

Financial contribution overview H2020	2020	2021	2022
	Executed	Estimated by the Joint undertaking	As requested by the Joint undertaking
1. Financial contribution from EU	31 140 053	0	0
2. Contribution from Industry	133 187 174	157 234 187	115 811 562
Of which financial contribution in cash	4 261 950	3 950 864	3 566 838
Of which contribution in-kind (1)	128 925 224	153 283 323	112 244 724
	164 327 227	157 234 187	115 811 562

(1): 2020 data based on members' declarations for IKOP only as per CSJU provisional financial accounts 2020; 2021 and 2022 data based on ratio of 85% (certified In-kind contributions as observed on cumulative figures to date / validated JU contribution).

CLEAN AVIATION

2021: The total amount of EU contribution (EFTA excluded) requested for 2021 is EUR 223 967 465, of which EUR 372 102 for administrative expenditure and EUR 223 595 363 for operational expenditure.

The EFTA contribution is calculated with a rate of 2.66% for 2021: EUR 5 957 535.

The EFTA contribution (2.66%) related to Horizon Europe is not foreseen in 2021. If it is not received, the difference will be compensated by the EU budget so that the JU is ensured to receive the full amount planned in the LFS for 2021 (EUR 229 925 000).

2022: The total amount of EU contribution (EFTA excluded) requested for 2022 is EUR 150 583 000, of which EUR 1 440 000 for administrative expenditure and EUR 149 143 000 for operational expenditure.

The EFTA contribution is calculated with a rate of 2.66% for 2022: EUR 4 005 508

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 399 015	6 030 539	6 891 559	6 891 559	14,28%
Salaries & allowances	4 990 589	5 552 381	6 346 125	6 346 125	14,30%
- Of which establishment plan posts	4 542 281	5 080 952	5 820 000	5 820 000	14,55%
- Of which external personnel	448 308	471 429	526 125	526 125	11,60%
Expenditure relating to Staff recruitment	244 000	104 762	117 000	117 000	11,68%
Mission expenses	100 000	214 286	251 000	251 000	17,13%
Socio-medical infrastructure	64 426	115 238	128 700	128 700	11,68%
Training					
External Services					
Receptions, events and representation		43 872	48 734	48 734	11,08%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 441 870	3 400 428	4 879 375	4 879 375	43,49%
Rental of buildings and associated costs	570 000	597 143	676 425	676 425	13,28%
Information, communication technology and data processing	464 789	371 429	475 000	475 000	27,88%
Movable property and associated costs	3 466	10 476	11 692	11 692	11,61%
Current administrative expenditure	57 126	62 857	70 150	70 150	11,60%
Postage / Telecommunications	40 000	52 381	58 458	58 458	11,60%
Meeting expenses	50 000	317 143	360 000	360 000	13,51%
Running costs in connection with operational activities					
Information and publishing	589 632	788 571	818 359	818 359	3,78%
Studies	666 857	1 200 428	2 409 291	2 409 291	100,70%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	240 623 761	320 627 298	183 237 680	183 237 680	-42,85%
Clean Sky 1					
Clean Sky 2	240 623 761	320 627 298	183 237 680	183 237 680	-42,85%
TOTAL	248 464 646	330 058 265	195 008 614	195 008 614	-40,92%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 138 797	6 030 539	6 891 559	6 891 559	14,28%
Salaries & allowances	4 816 487	5 552 381	6 346 125	6 346 125	14,30%
- <i>Of which establishment plan posts</i>	4 542 281	5 080 952	5 820 000	5 820 000	14,55%
- <i>Of which external personnel</i>	274 206	471 429	526 125	526 125	11,60%
Expenditure relating to Staff recruitment	190 112	104 762	117 000	117 000	11,68%
Mission expenses	85 014	214 286	251 000	251 000	17,13%
Socio-medical infrastructure	46 184	115 238	128 700	128 700	11,68%
Training					
External Services					
Receptions, events and representation	1 000	43 872	48 734	48 734	11,08%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 088 118	3 400 428	4 879 375	4 879 375	43,49%
Rental of buildings and associated costs	576 176	597 143	676 425	676 425	13,28%
Information, communication technology and data processing	443 000	371 429	475 000	475 000	27,88%
Movable property and associated costs		10 476	11 692	11 692	11,61%
Current administrative expenditure	28 063	62 857	70 150	70 150	11,60%
Postage / Telecommunications	31 847	52 381	58 458	58 458	11,60%
Meeting expenses	23 323	317 143	360 000	360 000	13,51%
Running costs in connection with operational activities					
Information and publishing	468 107	788 571	818 359	818 359	3,78%
Studies	517 602	1 200 428	2 409 291	2 409 291	100,70%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	272 389 099	80 632 982	319 421 638	319 421 638	296,14%
Clean Sky 1					
Clean Sky 2	272 389 099	80 632 982	319 421 638	319 421 638	296,14%
TOTAL	279 616 014	90 063 949	331 192 572	331 192 572	267,73%

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within the joint undertaking: EUR 77 165 975.

4 Justification of needs

Commission assessment

Human Resources

Financial Resources

The budget for 2021 and 2022 does not reflect the potential budgetary impact of third country contributions.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The JU requests some changes in the Establishment Plan for 2022 in order to proceed with the reclassification exercise for 2022. The Establishment Plan of year 2021 does not allow the reclassifications of some staff who have a long career in Clean Sky and who deserve recognition of their effort and engagement.

The JU wishes to guarantee homogeneity in the grading, without adding new TA posts.

In particular, the JU requests to move 2 AD9 to 2 AD10 and 1 AD6 to 1 AD11 to have the possibility for reclassification of managers, and 2 AD6 to AD7 and AD8.

Always considering the Contractual Agents career progression, the JU requests to have 3 FG III and 3 FG IV.

4.1.2 Vacancy rate as of end 2020

End of 2020, the vacancy rate was 2% which corresponds to 1 vacant SNE post.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The salary envelope for 2022 includes an estimated annual indexation of 1.5% compared to 2021.

4.1.5 Correction coefficient used

Belgium, 100%.

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The JU has 44 statutory staff planned in its establishment plan (see section 4.1) and allocated to complete the work plan. The increase of 2022 commitment appropriations compared to 2021 will be used to cover expenses related to staff such as reclassification exercise, schools fees and salary index.

4.2.2 Title 2

Title 2 expenses remain in total at the same level as in 2021 in order to cope with the high workload expected in the mature phase of the CS2 programme.

4.2.3 Title 3

The Title 3 corresponds to the operational expenditure of the Clean Sky 2 and Clean Aviation programmes.

Clean Sky 2:

In 2022, the commitment appropriations will include an amount of EUR 30 127 476 to cover the GAM activities 2023. No commitment will be made for Calls for proposals (CfPs) since the last CfP 11 was launched in 2020.

The payment appropriations for 2022 will amount to EUR 142 235 189 and will be used for the payment of the costs claims of the GAMs 2021 (EUR 84.98 million) and interim and final payments of the GAPs portfolio (EUR 60.26 million).

Clean Aviation:

In 2022, the commitment appropriations will include an amount of EUR 149 143 000 to cover the Flagships projects and the complementary projects.

The payment appropriations for 2022 will amount to EUR 123 686 000 and will be used for the payment of the pre-financing of the 1st Flagships projects and complementary projects portfolio.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	White Atrium	ToisonD'Or 56-60, 1060 Brussels	1 667,5		1 667,5	530 000	Until 2024		Belgium	
TOTAL			1 667,5		1 667,5	530 000				

5.1.2 Current building(s) Other comments

Not applicable

5.1.3 Building projects in the planning phase

Not applicable.

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

The Interim Evaluation report of the Clean Sky 2 Joint Undertaking (2014-2016) operating under Horizon 2020 published in 2017 concluded that Clean Sky has achieved widespread recognition around the world for the unprecedented level of collaboration of its research participants in a focused and coherent research programme that significantly reduces the fragmentation of other funding instruments. The Interim Evaluation main recommendations aimed at improving the current functioning of the JU have been fully addressed and implemented on the basis of the action plan which was adopted by the Governing Board in April 2018. The Commission shall conduct a final evaluation of the Clean Sky 2 Joint Undertaking after the closure of the Horizon 2020 programme, the results of which will be presented to the European Parliament and to the Council.

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Clean Sky 2 Joint Undertaking signed with the Belgian authorities the host agreement on the 25th of July 2014; therefore the Protocol on the Privileges and Immunities applies directly.	As stated in the article 18 of the Council Regulation setting up the Clean Sky 2 Joint Undertaking (558/2014 of 06/05/2014) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Sky JU and its staff	The European Schools will provide for Clean Sky needs

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>COUNCIL REGULATION establishing the Joint Undertakings under Horizon Europe, Specific part on Europe's Rail Joint Undertaking</p>	<p>...</p>	<p>General Objectives</p> <p>(a) contribute towards the achievement of the Single European Railway Area;</p> <p>(b) ensure a fast transition to more attractive, user-friendly, competitive, affordable, efficient and sustainable European rail system, integrated into the wider mobility system;</p> <p>(c) support the development of a strong and globally competitive European rail industry.</p> <p>Specific Objectives</p> <p>(a) deliver an integrated European railway network by design, eliminating barriers to interoperability and providing solutions for full integration, covering traffic management, vehicles, infrastructure and services, and providing the best answer to the needs of passengers and businesses, accelerating uptake of innovative solutions to support the Single European Railway Area, while increasing capacity and reliability and decreasing costs of railway transport;</p> <p>(b) deliver a sustainable and resilient rail system: by developing a zero-emission, silent rail system and climate resilient infrastructure, applying circular economy to the rail sector, piloting the use of innovative processes, technologies, designs and materials in the full life-cycle of rail systems and developing other innovative solutions to guided surface transport;</p> <p>(c) develop through its System Pillar a unified operational concept and a functional system architecture for integrated European rail traffic management, command, control and signalling systems, including automated train operation which shall ensure that research and innovation is targeted on commonly agreed and shared customer requirements and operational needs is open to evolution;</p> <p>(d) perform research and innovation activities related to rail freight and intermodal transport services to deliver a competitive green rail freight fully integrated into the logistic value chain, with automation and digitalisation of freight rail at the core;</p> <p>(e) develop demonstration projects in interested member states including those that do not currently have a rail system established within their territory;</p> <p>(f) contribute to the development of a strong and globally competitive European rail industry</p>
<p>Council Regulation No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking</p>	<p>16/06/2014</p>	<p>The S2R Joint Undertaking shall have the following as general objectives:</p> <p>(a) to contribute to the implementation of Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Horizon 2020 Framework Programme and in particular part of the Smart, Green and Integrated Transport Challenge under the Societal Challenges pillar of Decision No 743/2013 EU of the Council of 03 December 2013 establishing the Horizon 2020 Specific Programme.</p> <p>(b) to contribute to the achievement of the Single European Railway Area, to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, and to a modal shift from road and air to rail, through a comprehensive and co-ordinated approach addressing the research and innovation needs of the rail system and its users. This approach shall cover rolling stock, infrastructure and traffic management for the market segments of freight and of long-distance, regional, local and urban passenger traffic, as well as intermodal links between rail and other modes, providing users with an integrated end-to-end solution for their rail travel and transport needs – from transaction support to en-route assistance.</p>

		<p>(c) to develop – and ensure the effective and efficient implementation of – a strategic Master Plan (the "S2R Master Plan"), as referred to in clause 1(4) of The Statutes contained in Annex I.</p> <p>(d) to act as a central reference point on rail-related research and innovation actions funded at Union level, ensuring coordination among projects and providing all stakeholders with relevant information.</p> <p>(e) to actively promote the participation and close involvement of all relevant stakeholders from the full rail value chain and from outside the traditional rail industry, in particular: manufacturers of railway equipment (both rolling stock and train control systems) and their supply chain, infrastructure managers, railway operators (both passenger and freight), rail vehicle leasing companies, certifying agencies, professional staff associations, user associations (both passenger and freight), as well as the relevant scientific institutions or the relevant scientific community. The involvement of small and medium sized enterprises (SMEs), as defined in Commission Recommendation 2003/361/EC20, shall be encouraged.</p> <p>2. More specifically, the S2R Joint Undertaking shall seek to develop, integrate, demonstrate and validate innovative technologies and solutions that uphold the strictest safety standards and the value of which can be measured against the following key performance indicators, inter alia:</p> <p>(a) a 50% reduction of the life-cycle cost of the railway transport system, through a reduction of the costs of developing, maintaining, operating and renewing infrastructure and rolling stock, as well as through increased energy efficiency;</p> <p>(b) a 100% increase in the capacity of the railway transport system, to meet increased demand for passenger and freight railway services;</p> <p>(c) a 50% increase in the reliability and punctuality of rail services;</p> <p>(d) the removal of remaining technical obstacles holding back the rail sector in terms of interoperability and efficiency, in particular by endeavouring to close points which remain open in Technical Specifications for Interoperability (TSIs) due to lack of technological solutions and by ensuring that all systems and solutions developed by the S2R Joint Undertaking are fully interoperable;</p> <p>(e) the reduction of negative externalities linked to railway transport, in particular noise, vibrations, emissions and other environmental impacts.</p>
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1.2 Seat

Brussels

1.3 Budget line

01 02 02 53 : Cluster Climate, Energy and Mobility — Europe's Rail joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	5	5	100,00%	10	10
Assistants (AST)					
Assistants/Secretaries (AST/SC)					

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
ESTABLISHMENT PLAN POSTS	5	5	100,00%	10	10
Contract Agents (CA)	16	16	100,00%	17	17
Seconded National Experts (SNE)	3	2	66,67%	2	2
TOTAL STAFF	24	23	95,83%	29	29

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	71 108 513	90 590 298
Other Revenue	13 210 727	84 473 277
TOTAL REVENUES	84 319 240	175 063 575

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	2 609 000	2 609 000	3 632 000	3 632 000
Title 2 - Infrastructure and operating expenditure	1 075 000	1 075 000	1 082 000	1 082 000
Title 3 - Operational expenditure	3 260 000	64 339 751	163 618 987	153 131 811
Title 4 - Unused Appropriations not required in current Year	77 375 240	14 735 296	6 730 588	5 526 950
TOTAL EXPENDITURE	84 319 240	82 759 047	175 063 575	163 372 761

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15						1		1		1
AD 14		1		1						
AD 13										
AD 12										
AD 11										

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 10						2		2		2
AD 9		2		2		1		1		1
AD 8		1		1		1		1		1
AD 7		1		1						
AD 6						4		4		4
AD 5						1		1		1
AD TOTAL		5		5		10		10		10
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		5		5		10		10		10
GRAND TOTAL	5		5		10		10		10	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	12	12	15	15
Function Group III	3	3	1	1

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group II	1	1	1	1
Function Group I				
TOTAL	16	16	17	17

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	3	2	2	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021 (9)	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request (9)	Budget forecast (9)	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	79 913 101	71 108 513	90 555 015	90 590 298	27,40%
- Of which Administrative (Title 1 and Title 2)	5 192 446	1 137 736 (1)	2 295 034 (4)	2 295 928	101,80%
- Of which Operational (Title 3)	74 720 655	69 970 777 (2)	88 259 981 (5)	88 294 370	26,19%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 926 483	1 891 487	2 444 985	2 409 702	27,40%
- Of which EEA/EFTA (excl. Switzerland)	1 926 483	1 891 487	2 444 985	2 409 702	27,40%
- Of which candidate countries					
4 MISCELLANEOUS REVENUE	1 706 098	2 405 777 (3)	3 594 777 (6)	3 594 777	49,42%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	6 165 180	8 913 463	78 468 798	78 468 798	780,34%
From year N-1	6 165 180	8 913 463	78 468 798	78 468 798	780,34%
- Of which Administrative	552 103	5 603 034	5 492 811 (7)	5 492 811	-1,97%
- Of which Operational	5 613 077	3 310 429	72 975 987 (8)	72 975 987	2104,43%
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL	89 710 862	84 319 240	175 063 575	175 063 575	107,62%

- (1) Europe's Rail JU only
- (2) Europe's Rail JU only
- (3) 1.237.777 S2R and 1.168.000 Europe's Rail JU
- (4) Europe's Rail JU only
- (5) Europe's Rail JU only
- (6) 1.237.777 S2R and 2.357.000 Europe's Rail JU
- (7) 3.156.811 S2R and 2.336.000 Europe's Rail JU
- (8) 1.143.987 S2R and 71.832.000 Europe's Rail JU
- (9) The revenue does not include potential contributions from associated countries.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

CONTRIBUTIONS OVERVIEW	2020	2021	2022
CONTRIBUTIONS FROM THE UNION (incl EFTA)	81,839,584	73,000,000	93,000,000
Title 1 and Title 2 (financial)	5,317,621	1,168,000	2,357,000
Title 3 (financial)	76,521,963	71,832,000	90,643,000
CONTRIBUTIONS FROM MEMBERS OTHER THAN THE UNION	70,056,896	2,405,777	166,069,777
Title 1 and Title 2 (financial)	1,706,000	2,405,777	3,594,777
Title 3 (in-kind)	68,350,896	0	162,475,000
TOTAL CONTRIBUTIONS	151,896,480	75,405,777	259,069,777

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 426 802	2 609 000	3 632 000	3 632 000	39,21%
Salaries & allowances	2 179 951	2 289 000	3 118 000	3 118 000	36,22%
- Of which establishment plan posts	728 079	777 000	1 638 000	1 638 000	110,81%
- Of which external personnel	1 451 872	1 512 000	1 480 000	1 480 000	-2,12%
Expenditure relating to Staff recruitment					
Mission expenses	25 009	90 000	150 000	150 000	66,67%
Socio-medical infrastructure					
Training	24 485	20 000	50 000	50 000	150,00%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	197 357	210 000	314 000	314 000	49,52%
Title 2 - Infrastructure and operating expenditure	1 147 410	1 075 000	1 082 000	1 082 000	0,65%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Rental of buildings and associated costs	316 438	335 000	345 000	345 000	2,99%
Information, communication technology and data processing	207 938	150 000	150 000	150 000	0,00%
Movable property and associated costs		10 000	10 000	10 000	0,00%
Current administrative expenditure	31 241	15 000	15 000	15 000	0,00%
Postage / Telecommunications	27 000	15 000	15 000	15 000	0,00%
Meeting expenses	22 000	50 000	50 000	50 000	0,00%
Running costs in connection with operational activities	69 689	50 000	50 000	50 000	0,00%
Information and publishing	319 104	300 000	300 000	300 000	0,00%
Studies					
Other infrastructure and operating expenditure	154 000	150 000	147 000	147 000	-2,00%
Title 3 - Operational expenditure	80 504 893	3 260 000	163 618 987	163 618 987	4918,99%
Title 4 - Unused Appropriations not required in current Year	5 631 757	77 375 240	6 730 588	6 730 588	-91,30%
- Of which Administrative	5 301 611	5 492 811	6 730 588	6 730 588	22,53%
- Of which Operational	330 146	71 882 429			-100%
TOTAL	89 710 862	84 319 240	175 063 575	175 063 575	107,62%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 392 832	2 609 000	3 632 000	3 632 000	39,21%
Salaries & allowances	2 144 913	2 289 000	3 118 000	3 118 000	36,22%
- Of which establishment plan posts	728 079	777 000	1 638 000	1 638 000	110,81%
- Of which external personnel	1 416 834	1 512 000	1 480 000	1 480 000	-2,12%
Expenditure relating to Staff recruitment					
Mission expenses	30 585	90 000	150 000	150 000	66,67%
Socio-medical infrastructure					
Training	15 073	20 000	50 000	50 000	150,00%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	202 261	210 000	314 000	314 000	49,52%
Title 2 - Infrastructure and operating expenditure	1 033 651	1 075 000	1 082 000	1 082 000	0,65%
Rental of buildings and associated costs	308 125	335 000	345 000	345 000	2,99%
Information, communication technology and	236 187	150 000	150 000	150 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
data processing					
Movable property and associated costs		10 000	10 000	10 000	0,00%
Current administrative expenditure	30 352	15 000	15 000	15 000	0,00%
Postage / Telecommunications	21 864	15 000	15 000	15 000	0,00%
Meeting expenses	6 739	50 000	50 000	50 000	0,00%
Running costs in connection with operational activities	32 786	50 000	50 000	50 000	0,00%
Information and publishing	236 378	300 000	300 000	300 000	0,00%
Studies	161 220	150 000	147 000	147 000	-2,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	57 656 646	64 339 751	153 131 811	153 131 811	138,00%
Title 4 - Unused Appropriations not required in current Year	19 205 657	14 735 296	5 526 950	5 526 950	-62,49%
- Of which Administrative	657 896	2 903 296	5 526 950	5 526 950	90,37%
- Of which Operational	18 547 761	11 832 000			-100%
TOTAL	80 288 786	82 759 047	163 372 761	163 372 761	97,41%

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within the joint undertaking: EUR 15 853 470. Such a large amount depends largely to the non-payment of the pre-financing of a call 2020 project which had not been signed due to an important correction to be addressed in the technical description of the work (EUR 6.5 million). This grant agreement is expected to be signed, and the corresponding pre-financing paid in Q1 2021. In addition, EUR 4 million in payment appropriations of the budget 2020 has been transferred to the Budget 2021 in accordance with the decision taken during the last Governing Board of the year. Finally, the balance amount of the unused payment appropriations is used to cover the operational payments during the first quarter of 2021.

4 Justification of needs

Commission assessment

The request for 2022 is necessary to allow the JU to conduct the remaining and closing activities of S2R JU and launch the operational activities and the first call for proposals under Europe's Rail JU. The JU's research activities will help rail rapidly benefit from the opportunities offered by new technologies and digitalisation. They will contribute to increased capacity, flexibility, de-carbonization, reducing costs and hence, providing better and more attractive services for passengers and businesses alike. This will lead to a more competitive rail sector and to modal shift, delivering on the Commission's top political priorities.

Human Resources

No additional staff is requested for S2R JU. The changes in the establishment plan are in line with the Legislative Financial Statement of Europe's Rail Joint Undertaking.

Financial Resources

S2R JU has committed nearly all operational budget by the end of 2020. An amendment of the Annual Work Plan and Budget 2021 is currently being prepared to be discussed and adopted by the GB by written procedure. If approved, the unused appropriations for the running costs of S2R JU amounting at EUR 3.2 million will be transferred to Title 3 for operational activities. This amount will be used for funding activities that are very relevant from a policy perspective, namely a call for proposals to support the definition of the European solution under the European Digital Automatic Coupler Delivery Programme (EDDP), with activities between mid-2021 and 2022. The application of DAC technology

is vital to optimize operations, revitalising single wagon freight and thus making rail freight cheaper and more flexible. Many Member States are asking for this, but (given the completely pan European nature of the single wagon system) it can only be delivered at EU level – and all rail stakeholders together with the EC decided that the S2R JU would be the right framework to build upon such an ambition, therefore creating under S2R JU coordination, the EDDP, open to all interested entities across Europe. It will not only increase efficiency thanks to automation processes, but it will also ensure sufficient energy supply for telematics applications, as well as safe data communication throughout the entire train. In the context of S2R IP5 (Freight), DAC is part of the overall concept of digital rail freight and a key element for better and optimised convoy formation, gateway for comprehensive automation and digitalisation of rail freight transport. DAC delivery is a key priority for the Commission in the near future and is closely linked to the setting up of the successor of S2R JU, as it embeds two milestones of the future Partnership, notably freight and digitalisation. The EDDP is working on the basis of a very ambitious schedule, aiming to automatic coupling of all freight wagons (450.000 – 500.000) in Europe at the latest by 2030. For this reason, it is essential to keep momentum and a high level of commitment from stakeholders and continue to support this initiative.

A second call will support the preparation and launch of the activities of the future System Pillar of Europe's Rail Joint Undertaking. The System Pillar as defined in the specific part of Europe's Rail JU in the proposal for the Single Basic Act for JUs under Horizon Europe, will act to provide the sector with the governance and budget to deliver a coordinated and harmonised approach to the evolution of Europe's rail system – in terms of operating concept, functional system architecture, and associated standards and specifications. Outputs are expected and required quickly from the sector, and starting the work under the current S2R JU, before the final adoption of the Single Basic Act, will support faster delivery, and a more effective start to the work of Europe's Rail JU.

The 2022 Budget includes the adequate level of payment appropriations so S2R JU can meet its payment obligations, including in the first months of 2023 and launch the activities under Europe's Rail JU.

In addition, the estimated expenditure will cover the necessary administrative expenses (such as salaries and allowances, housing, administrative and IT support) for Europe's Rail JU.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The staff establishment plan is established in accordance with the LFS annexed to the Commission proposal for a Council Regulation establishing the European partnerships under Horizon Europe, adopted on 23 February 2021, which includes 10 TAs, 17 CAs and 2 SNEs positions.

In 2022, as agreed with the EC relevant services, the additional FGIV position of Accounting Correspondent, recruited on an exceptional basis for the year 2020 and 2021, is not further needed as the long term sick leave ended in 2021.

4.1.2 Vacancy rate as of end 2020

0% Vacancy rate in terms of total number of staff

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

The budget for the salary costs in 2022 is updated in accordance with Single Basic Act establishing the Joint Undertakings under Horizon Europe and the Legislative Financial Statement for Europe's Rail JU.

4.1.5 Correction coefficient used

n/a

4.1.6 Exchange rate used (if applicable)

n/a

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The estimated expenditure under Title 1 amounts to EUR 3 632 000. This represents 77 % of the Europe's Rail JU Administrative budget for 2022.

A majority of this amount covers the salaries and allowances of the JU staff. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the Other Members. With particular regard to the salaries, it is in accordance with the Legislative Financial Statement and establishment plan of Europe's Rail JU (10 TAs, 17 CAs and 2 SNEs).

Title 1 is built under the assumption that Europe's Rail be fully staffed (recruitments initiated in 2021 and finalised in 2022).

4.2.2 Title 2

The estimated expenditure under Title 2 amounts to EUR 1 082 000. This represents 23% of the Europe's Rail JU Administrative budget for 2022.

The required budget resources are needed to cover the costs for the year 2022 on housing, administrative and IT support services, supplies, meetings' organization, communications and events activities, and audits supporting the JU operations. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the Other Members (this being valid for Title 1 as well).

4.2.3 Title 3

In accordance with its plans to launch the operational activities and the first call of Europe's Rail Programme at the end of 2021 with award at the beginning of 2022, the EUR 72 million of commitment appropriations to be used in 2021 will probably be allocated to 2022 activities. This would depend on the adoption of the Council Regulation establishing the Europe's Rail JU. Should that be confirmed, the JU would have a total of EUR 162.5 million in commitment appropriations for its first multi-annual call. In any case this will not have an impact of the operational activities as the JU intends to implement the multi-annuality by instalments as indicated in the aforementioned Commission proposal. EUR 1 143 987 in terms of commitment appropriations are coming from unused appropriations from previous years of the S2R Programme, that the JU re-activated in accordance with the Financial Rule Art 6§5; these appropriations are used to implement framework contracts and to contract experts to ensure the adequate implementation and monitoring of the R&I.

The request of payment appropriations of EUR 153.1 million is subdivided accordingly:

- EUR 43.6 million towards operational budget that will allow Europe's Rail JU to meet its payment obligations for the remaining and closing activities of the S2R Programme, including the initial months in 2023 (this could be the case till 2024 included).

- EUR 109.5 million for the operational budget under the new Europe's Rail Programme. The majority of the payment appropriations are aiming at covering the pre-financing payments that are planned in 2022 for the first call of Europe's Rail JU.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	White Atrium building, 2nd Floor	Avenue de la Toison d'Or 56-60 B1060 Brussels Belgium	918		918	223 150	Until 31.12.2024			
TOTAL			918		918	223 150				

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

n/a

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

The Interim Evaluation of the JU included some recommendations that were implemented without delay, while few others were designed for consideration in view of the Union next Multi-Annual Financial Framework.

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Shift2Rail JU is granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities	As stated in the article 8 of the Council Regulation setting up the Shift2Rail JU (642/2014 of 16 June 2014), the Protocol on the Privileges and Immunities of the European Union, annexed to the Treaty on European Union the TFEU shall apply to the S2R Joint Undertaking and its staff.	

2.1.10 Clean Hydrogen Joint Undertaking – FCH3

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Commission proposal for a Council Regulation establishing the Joint Undertaking under Horizon Europe COM(2021)87/final</p>	<p>23/02/2021</p>	<p>The general objectives of the proposed Clean Hydrogen Joint Undertaking will be:</p> <ul style="list-style-type: none"> • to contribute to the objectives set out in the 2030 Climate Target Plan, and the European Green Deal, by raising the EU's ambition on reducing greenhouse gas emissions to at least 55% below 1990 levels by 2030, and climate neutrality by 2050; • to contribute to the implementation of the 2020 European Commission's Hydrogen Strategy for a climate neutral Europe; • to strengthen the competitiveness of the Union clean hydrogen value chain, with a view to supporting, notably the SMEs involved, accelerating the market entry of innovative competitive clean solutions; • to stimulate clean hydrogen production, distribution, storage and end use applications. <p>The Clean Hydrogen Joint Undertaking shall also have the following specific objectives:</p> <ul style="list-style-type: none"> • improve through research and innovation the cost-effectiveness, reliability, quantity and quality of clean hydrogen solutions, including production, distribution, storage and end uses developed in the Union such as more efficient and cheaper hydrogen electrolysers and cheaper transport and industrial applications; • strengthen the knowledge and capacity of scientific and industrial actors along the Union's hydrogen value chain; • carry out demonstrations of clean hydrogen solutions with the view to local, regional and Union-wide deployment, addressing renewable production, distribution, storage, and use for transport and energy-intensive industries as well as other applications; • increase public and private awareness, acceptance, and uptake of clean hydrogen solutions, in particular through cooperation with other European partnerships under Horizon Europe
<p>Council Regulation No 559/2014 of 6 May 2014 setting up the FCH 2 Joint Undertaking (OJ L 169/108)</p> <p>Regulation repeals Council Regulation No 521/2008 of 30 May 2008 setting up the FCH Joint Undertaking under FP7 (OJ L 153/1, 12/06/2008). Ongoing actions were transferred to FCH 2 JU</p>	<p>06/05/2014</p>	<p>The FCH Joint Undertaking shall contribute to the objectives of the Joint Technology Initiative on Fuel Cells and Hydrogen, through the development of a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union.</p> <p>It shall, in particular:</p> <ul style="list-style-type: none"> -reduce the production cost of fuel cell systems to be used in transport applications, while increasing their lifetime to levels competitive with conventional technologies, -increase the electrical efficiency and the durability of the different fuel cells used for power production, while reducing costs, to levels competitive with conventional technologies, -increase the energy efficiency of production of hydrogen from water electrolysis while reducing capital costs, so that the combination of the hydrogen and the fuel cell system is competitive with the alternatives available in the marketplace, and -demonstrate on a large scale the feasibility of using hydrogen to support integration of renewable energy sources into the energy systems, including through its use as a competitive energy storage medium for electricity produced from renewable energy sources.

1.2 Seat

Brussels, Belgium

1.3 Budget line

01 02 02 54 : Cluster Climate, Energy and Mobility — Clean Hydrogen joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	15	15	100,00%	15	17
Assistants (AST)	9	9	100,00%	9	10
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	24	24	100,00%	24	27
Contract Agents (CA)	3	3	100,00%	3	2
Seconded National Experts (SNE)	2	2	100,00%	2	2
TOTAL STAFF	29	29	100,00%	29	31

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	146 113 384	146 113 384
Other Revenue	8 029 366	9 067 716
TOTAL REVENUES	154 142 750	155 181 100

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 814 800	3 814 800	4 029 000	4 029 000
Title 2 - Infrastructure and operating expenditure	1 777 200	1 777 200	3 357 200	3 357 200
Title 3 - Operational expenditure	151 200 000	64 450 445	151 488 000	122 486 732
TOTAL EXPENDITURE	156 792 000	70 042 445	158 874 200	129 872 932

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12						2		2		2
AD 11		2		2						
AD 10										
AD 9		4		4		5		5		5
AD 8		4		4		3		4		4
AD 7		1		1		2		2		2
AD 6		3		3		2		3		3
AD 5										
AD TOTAL		15		15		15		17		17
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8		1		1		1		1		1
AST 7		1		1		1		1		1
AST 6		1		1		1		1		1
AST 5		1		1		2		2		2
AST 4		4		4		3		3		3
AST 3								1		1
AST 2										
AST 1										
AST TOTAL		9		9		9		10		10
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC										

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
TOTAL										
TOTAL		24		24		24		27		27
GRAND TOTAL	24		24		24		27		27	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	1	1	1	1
Function Group III	1	1	1	
Function Group II	1	1	1	1
Function Group I				
TOTAL	3	3	3	2

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	2	2	2	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	91 961 153	146 113 384	150 000 000	150 000 000	2,66%
- Of which Administrative (Title 1 and Title 2)	12 369 076 (6)	(9)	(10)	(10)	11,11%
- Of which Operational (Title 3)	79 592 077	146 113 384 (7)	150 000 000 (8)	150 000 000	2,66%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 216 264	3 886 616	3 990 000	3 990 000	2,66%
- Of which EEA/EFTA (excl. Switzerland) (1)	2 216 264	3 886 616	3 886 616	3 886 616	0,00%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	2 381 733 (2)	2 649 250 (3)	3 693 100 (4)	3 693 100	39,40%

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	3 863 870 (5)				
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	14 134 705	1 493 500	1 488 000	1 488 000	-0,37%
From year N-1	13 208 719	1 243 500	1 488 000	1 488 000	19,66%
<i>- Of which Administrative</i>	424 410	43 500			-100,00%
<i>- Of which Operational</i>	12 784 309	1 200 000	1 488 000	1 488 000	24,00%
From year N-2	925 986	250 000			-100,00%
<i>- Of which Administrative</i>	662 380	250 000			-100,00%
<i>- Of which Operational</i>	263 606				
From year N-3					
<i>- Of which Administrative</i>					
<i>- Of which Operational</i>					
TOTAL	114 557 725	154 142 750	159 171 100	159 171 100	3,26%

(1) EFTA rate used: 2.41% for 2020, 2.66% for 2021 and 2022 (for 2022 same rate as 2021 is assumed as EFTA is not known at the time of preparing estimates for 2022)

(2) Of which: EUR 2 048 290 from Hydrogen Europe and EUR 333 443 from Hydrogen Europe Research

(3) Of which: EUR 2 278 355 from Hydrogen Europe and EUR 370 895 from Hydrogen Europe Research

(4) Of which: EUR 3 176 066 from Hydrogen Europe and EUR 517 034 from Hydrogen Europe Research

(5) Internal assigned revenues

(6) The frontloaded amount in 2020 to cover for H2020 administrative expenses until 2024 is EUR 12 667 171 (incl. EFTA). The breakdown of annual instalments is as follows: 2020: EUR 2 381 733, 2021: EUR 2 649 250, 2022: EUR 3 693 100, balance for 2023 and 2024: EUR 3 943 088

(7) The amount refers to commitment appropriations for operational needs in 2021 (mainly for the call 2021) that will be financed by Horizon Europe budget

(8) The amount refers to commitment appropriations for operational needs in 2022 (mainly for the call 2022) that will be financed by Horizon Europe budget

(9) In addition an amount of EUR 2 649 250 from the frontloaded amount in 2020 (EUR 12 667 171) will be made available in 2021

(10) In addition an amount of EUR 3 693 100 from the frontloaded amount in 2020 (EUR 12 667 171) is requested for 2022

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS AND IN-KIND CONTRIBUTIONS OVERVIEW	2020	2021	2022
	Executed		Estimated by the joint undertaking

1 FINANCIAL CONTRIBUTION FROM EU	83,030,920	64,615,208	126,179,832 ¹
2 CONTRIBUTIONS FROM INDUSTRY	174,046,291	2,278,355	3,176,066
of which financial contribution for administrative costs (in cash)	2,048,290	2,278,355	3,176,066
of which contribution in kind for operational activities (IKOP)	14,983,824 ²	-	-
of which contribution in kind for additional activities (IKAA)	157,014,177 ³	-	p.m.
3 CONTRIBUTIONS FROM RESEARCH	61,839,098	370,895	517,034
of which financial contribution (in cash)	333,443	370,895	517,034
of which contribution in kind for operational activities (IKOP)	-	-	-
of which contribution in kind for additional activities (IKAA)	61,505,655 Error! Bookmark not defined.	-	p.m.
TOTAL CONTRIBUTIONS	318,916,308	67,264,458	129,872,932

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 331 229	3 814 800	4 029 000	4 029 000	5,61%
Salaries & allowances	3 065 964	3 306 500	3 788 300	3 788 300	14,57%
- <i>Of which establishment plan posts</i>	2 784 095	2 957 258	3 492 369	3 492 369	18,09%
- <i>Of which external personnel</i>	281 869	349 242	295 931	295 931	-15,26%
Expenditure relating to Staff recruitment	576	5 000	5 000	5 000	0,00%
Mission expenses	21 522	130 000	130 000	130 000	0,00%
Socio-medical infrastructure	8 011	12 000	11 000	11 000	-8,33%
Training	14 699	31 000	31 000	31 000	0,00%
External Services	215 925	325 300	58 700	58 700	-81,96%

¹ Includes cash contribution of EUR 36 179 832 under H2020 for completion of FCH 2 JU and EUR 90 000 000 for contribution to Clean Hydrogen JU

² Corresponds to committed IKOP from signed projects of call 2020 as calculated based on memberships

³ Refers to planned submissions for the period January-December 2020 as submitted to GB in January 2021. Certification is expected by 30/06/2021

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Receptions, events and representation	4 532	5 000	5 000	5 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 721 609	1 777 200	3 357 200	3 357 200	88,90%
Rental of buildings and associated costs	345 462	356 000	400 000	400 000	12,36%
Information, communication technology and data processing	411 491	388 000	397 000	397 000	2,32%
Movable property and associated costs		10 000	10 000	10 000	0,00%
Current administrative expenditure	7 937	9 300	10 000	10 000	7,53%
Postage / Telecommunications	22 038	13 000	15 000	15 000	15,38%
Meeting expenses	14 962	50 000	50 000	50 000	0,00%
Running costs in connection with operational activities	148 087	67 000	1 040 000	1 040 000	1452,24%
Information and publishing	524 705	660 000	800 000	800 000	21,21%
Studies		100 000	300 000	300 000	200,00%
Other infrastructure and operating expenditure	246 927	123 900	335 200	335 200	170,54%
Title 3 - Operational expenditure	93 347 310	151 200 000	151 488 000	151 488 000	0,19%
TOTAL	98 400 148	156 792 000	158 874 200	158 874 200	1,33%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 317 131	3 814 800	4 029 000	4 029 000	5,61%
Salaries & allowances	3 061 067	3 306 500	3 788 300	3 788 300	14,57%
- Of which establishment plan posts	2 779 198	2 957 258	3 492 369	3 492 369	18,09%
- Of which external personnel	281 869	349 242	295 931	295 931	-15,26%
Expenditure relating to Staff recruitment	576	5 000	5 000	5 000	0,00%
Mission expenses	31 038	130 000	130 000	130 000	0,00%
Socio-medical infrastructure	7 986	12 000	11 000	11 000	-8,33%
Training	17 233	31 000	31 000	31 000	0,00%
External Services	195 750	325 300	58 700	58 700	-81,96%
Receptions, events and representation	3 481	5 000	5 000	5 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 594 382	1 777 200	3 357 200	3 357 200	88,90%
Rental of buildings and associated costs	341 859	356 000	400 000	400 000	12,36%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Information, communication technology and data processing	382 205	388 000	397 000	397 000	2,32%
Movable property and associated costs		10 000	10 000	10 000	0,00%
Current administrative expenditure	5 622	9 300	10 000	10 000	7,53%
Postage / Telecommunications	12 082	13 000	15 000	15 000	15,38%
Meeting expenses	18 227	50 000	50 000	50 000	0,00%
Running costs in connection with operational activities	209 952	67 000	1 040 000	1 040 000	1452,24%
Information and publishing	371 568	660 000	800 000	800 000	21,21%
Studies		100 000	300 000	300 000	200,00%
Other infrastructure and operating expenditure	252 867	123 900	335 200	335 200	170,54%
Title 3 - Operational expenditure	93 991 438	64 450 445	122 486 732	122 486 732	90,05%
TOTAL	98 902 951	70 042 445	129 872 932	129 872 932	85,42%

3.4 Budget Outturn

The budget outturn for 2020 shows a deficit of EUR 9 626 482

4 Justification of needs

Commission assessment

Human Resources

The staff numbers are aligned with LFS. There will be 1 CA FGII instead of 1 CA FG III initially requested.

Financial Resources

The amount of credits is aligned with LFS.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The FCH 2 JU staff establishment plan approved for 2021 foresees a total number of 24 Temporary Agents (TA) and 3 Contract Agents (CA). In addition staff resources include 2 Seconded National Experts (SNE).

As for 2022, the establishment plan for the new Clean Hydrogen Partnership includes 2 additional staff with 27 TAs, 2 CAs and 2 SNEs.

4.1.2 Vacancy rate as of end 2020

0% based on the establishment plan posts (TA) and contract agents (CA)

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salary assumption was based on detailed computation of each employee step and grade in 2022 taking into account the Staff Establishment Plan, the step advancements, the provision for reclassifications as per the Staff Establishment Plan and applying annual increase rate of 1.5% to 2021 salary scale figures.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The total appropriations for Title 1 in 2022 will increase by 5.6% compared to 2021. This is due to:

- An increase in salaries and allowances by 15%, as the result of the increase by 18% of costs linked to staff establishment plan posts. This increase is due to the fact that in 2022 there will be 3 additional TAs. On the other side, the costs linked to external personnel will decrease by 15% as there will be 1 less CA in 2022.
- External services in 2022 will decrease by 82% compared to 2021, as there is no provision for interims.
- All other budget lines remain at the same level as in 2021, since no change or evolution is expected.

4.2.2 Title 2

Appropriations for Title 2 in 2022 will increase by 88.9% compared to 2021 due mainly to an increase in the provision for running costs in connection with operational activities. Under this budget line in 2022, there will be an additional provision of EUR 215 000 for audits (audits on annual accounts for which the commitment is biannual as well as ex-post audits for H2020). In addition, there is a provision for service providers in the field of technology and policy monitoring.

The budget lines for studies, besides the commitment for the annual commitment for the maintenance of E-HRS platform (100k) includes also a provision for a study on benchmarking of public acceptance (200k).

The budget line for other infrastructure and operating expenses includes expert contracts and meetings and will increase by including a provision for evaluators of call 2021 (EUR 211 300).

Rental of building costs and building charges will increase by 12%, reflecting the need for additional office space and the associated costs linked to it.

Information and publishing costs will also increase as the adoption of the new Partnership is expected to create additional prospects in order to communicate the new requirements and strategy.

Other budget lines (IT, current administrative expenses, postage and telecommunications) are increased by the annual 2% indexation based on the 2021 voted budget or remain stable (movable property and meeting expenses).

4.2.3 Title 3

Title 3, corresponds to the operational expenditure with the following breakdown:

FP7 Programme: There will be no payment appropriations in 2022 as no payment is foreseen.

H2020 Programme: The 2022 commitment appropriations relate to reactivations of unused appropriations. They will be used to finance the work with JRC and the 2nd specific contract for a procurement study for which the framework contract was signed in 2020. The payment appropriations will decrease by 49% as fewer reports are expected in 2022 (55).

Horizon Europe: The amount shown as commitment appropriations for 2022 refers to call 2022. The amount shown as payment appropriations in 2022 refers to the pre-financing of call 2021.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	White Atrium	Brussels, Belgium	1 136,36	17,98	1 154,34	245 442	End date: 16.11.2025	Long term lease	None	
TOTAL			1 136,36	17,98	1 154,34	245 442				

5.1.2 Current building(s) Other comments

Fuel Cells and Hydrogen Joint Undertaking moved to the current building on 13 January 2011.

The end date according to the lease contract is 16/11/2025 but with possibility to terminate it on 31/12/2024.

FCH JU is not the owner of the building.

5.1.3 Building projects in the planning phase

Not applicable

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

As stated in Article 11 of the Council Regulation setting up Fuel Cells and Hydrogen 2 Joint Undertaking (559/2014 of 06/05/2014), by 30 June 2017 the Commission shall carry out, with the assistance of independent experts, an interim evaluation of the FCH2 Joint Undertaking.

On 06 October 2017, the European Commission published the interim evaluation of the FCH 2 JU, according to which the FCH 2 JU continues to demonstrate the strengths commended in the Second Interim Evaluation of the Fuel Cell & Hydrogen Joint Undertaking; it has further reinforced a Community of industry and research bodies around a common long-term research agenda.

A significant progress against FCH 2 JU principle objectives is observed: contribute to the implementation of Horizon 2020 and contribute to the objectives of the Joint Technology Initiative on Fuel Cells and Hydrogen, through the development of a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union.

The JU has successfully adopted the procedures and tools of H2020 and the implementation of the PPP has been successful in most relevant aspects. FCH 2 JU has an explicit EU added-value although the challenges of delivering improved coordination between Member States' FCH research and innovation support remain.

One of the biggest achievements is that the reported levels of IKAA already exceeded the threshold set in the Council Regulation.

According to art. 171 of the Commission proposal for a Council Regulation establishing the JU, the Commission will carry out an interim evaluation in accordance with Horizon Europe regulation, in order to examine how the JU fulfils its mission and objectives.

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The FCH 2 JU signed with the Belgian authorities the host agreement on the 24th of April 2015; therefore the Protocol on the Privileges and Immunities applies directly.	As stated in the article 18 of the Council Regulation setting up the Fuel Cells and Hydrogen 2 Joint Undertaking (559/2014 of 06/05/2014) the Protocol on the Privileges and Immunities of the European Communities shall apply to the FCH JU and its staff	

Bio-Based Industries Joint Undertaking - BBI

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation n° (EU) 2018/121 of 23.01.2018 amending Council Regulation No 560/2014 of 6 May 2014 establishing the Bio-Based Industries Joint Undertaking (OJ 169/130)	06/05/2014	This Regulation sets up the Bio-based Industries Joint Undertaking (BBI JU) under Article 187 of the Treaty on the Functioning of the European Union (TFEU) for the implementation of the Joint Technology Initiative on Bio-based Industries (BBI JTI).

1.2 Seat

White atrium

TO/56, 1049

Brussels, Belgium

1.3 Budget line

01 02 02 61 : Cluster Food, Bioeconomy, Natural Resources, Agriculture and Environment — Circular Bio-based Europe joint undertaking

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	10	10	100,00%	10	10
Assistants (AST)	3	3	100,00%	3	3
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	13	13	100,00%	13	13
Contract Agents (CA)	10	10	100,00%	10	16
Seconded National Experts (SNE)					
TOTAL STAFF	23	23	100,00%	23	29

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	71 600 472	177 006 281
Other Revenue	5 086 548	7 423 015
TOTAL REVENUES	76 687 020	184 429 296

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 542 967	3 542 967	3 551 515	3 551 515
Title 2 - Infrastructure and operating expenditure	2 344 063	2 331 733	1 877 781	1 877 781
Title 3 - Operational expenditure	70 800 000	170 710 945	179 000 000	108 998 300
TOTAL EXPENDITURE	76 687 030	176 585 645	184 429 296	114 427 596

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		2		1		1		2		2
AD 11				1		1				
AD 10										
AD 9						2		3		3
AD 8		4		4		3		4		4
AD 7		3		3		2				
AD 6										
AD 5										
AD TOTAL		10		10		10		10		10
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		2		1		1		2		2
AST 4				1		1				
AST 3		1				1		1		1
AST 2				1						

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 1										
AST TOTAL		3		3		3		3		3
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		13		13		13		13		13
GRAND TOTAL	13		13		13		13		13	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	5	5	5 (1)	10 (2)
Function Group III	5	5	5	6
Function Group II				
Function Group I				
TOTAL	10	10	10	16

(1) Additional staff to be reflected for CBE as per the Single Basic Act for the Joint Undertaking for 2021: 3 additional CA FGIV and 1 additional CA FGIII so 14 in total.

(2) Additional staff for CBE as reflected in the Single Basic Act for the Joint Undertaking: as for 2021 - 3 additional CA FGIV and 1 additional CA FGIII, and for 2022 2 additional CA FGIV.

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	5	5	5	10
Function Group III	5	5	5	6
Function Group II				
Function Group I				
TOTAL	10	10	10	16

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	67 621 939	71 600 472	180 656 413	180 656 413	152,31%
- Of which Administrative (Title 1 and Title 2) (2)	2 303 898	2 634 955	2 656 413	2 656 413	0,81%
- Of which Operational (Title 3) (3)	65 318 041	68 965 517	178 000 000	178 000 000	158,10%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 929 263	1 843 033	4 747 833	4 708 367	157,61%
- Of which EEA/EFTA (excl. Switzerland) (1)	1 929 263	1 843 033	4 747 833	4 708 367	157,61%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	2 641 316	2 643 515	2 742 003	2 714 648	3,73%
5 ADMINISTRATIVE OPERATIONS (4)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	39 239 947	600 000			-100,00%
From year N-1	24 797 825	600 000			-100,00%
- Of which Administrative (5)	386 583	600 000			-100,00%
- Of which Operational	24 411 242				
From year N-2	13 839 248				
- Of which Administrative	1 062 598				
- Of which Operational	12 776 650				
From year N-3	602 874				
- Of which Administrative					
- Of which Operational	602 874				
TOTAL	111 432 465	76 687 020	188 146 249	188 146 249	145,34%

(1) For 2021 and 2022 the EFTA estimated rate is 2.66%

(2) BBI/CBE split 2021: EUR 2 213 186 from the amount frontloaded in 2020 and EUR 321 499. BBI/CBE split 2022: EUR 2 166 413 from the amount frontloaded in 2020 and EUR 490 000.

(3) All operational CA for 2021 and 2022 are for CBE

(4) 2020 additional revenue from recoveries during the year (EUR 283 k relating to operational costs and EUR 35 k for administrative costs)

(5) BBI budget only

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW (in €)	2020	2021	2022
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU	69 420 683	73 443 515	185 476 803
2. CONTRIBUTIONS FROM INDUSTRY (BIC)			
of which financial contribution to administration (cash)	2 641 316	2 643 515	2 742 003
of which financial contribution to operational costs			
of which contribution (in kind and additional activities) (1)	180 931 005	165 934 042	235 482 257
3 OTHER MEMBERS CONTRIBUTIONS			
TOTAL CONTRIBUTIONS	252 993 004	242 021 072	423 701 063

1) For BBI JU the breakdown for IKOP and IKAA per year, as follows:

2020: IKOP realised, EUR 44 541 005 - IKAA certified, EUR 136 390 000

2021: IKOP foreseen, EUR 29 314 426 - IKAA foreseen, EUR 136 619 616

2022: IKOP foreseen, EUR 21 283 751 - IKAA foreseen, EUR 136 619 616

For CBE the breakdown for IKOP and IKAA:

2022: IKOP foreseen, EUR 2 578 890 - IKAA foreseen, EUR 75 000 000

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 816 778	3 542 967	3 551 515	3 551 515	0,24%
Salaries & allowances	2 515 235	3 009 730	3 104 773	3 104 773	3,16%
- Of which establishment plan posts	2 185 500	2 678 764	2 868 493	2 868 493	7,08%
- Of which external personnel	329 735	330 966	236 280	236 280	-28,61%
Expenditure relating to Staff recruitment	20 000	102 806	102 564	102 564	-0,24%
Mission expenses	12 000	92 820	79 767	79 767	-14,06%
Socio-medical infrastructure	169 210	229 328	178 088	178 088	-22,34%
Training	80 450	98 283	76 323	76 323	-22,34%
External Services					
Receptions, events and representation	1 883	10 000	10 000	10 000	0,00%
Social welfare	18 000				
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 515 297	2 344 063	1 932 491	1 932 491	-17,56%
Rental of buildings and associated costs	317 652	396 525	425 558	425 558	7,32%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Information, communication technology and data processing	696 290	390 020	360 967	360 967	-7,45%
Movable property and associated costs	5 207	5 990	1 451	1 451	-75,78%
Current administrative expenditure	23 637	39 712	41 905	41 905	5,52%
Postage / Telecommunications	13 559	22 301	23 838	23 838	6,89%
Meeting expenses	5 075	154 009	107 785	107 785	-30,01%
Running costs in connection with operational activities	990 879				
Information and publishing	180 918	672 033	505 547	505 547	-24,77%
Studies	137 000	288 028	142 354	142 354	-50,58%
Other infrastructure and operating expenditure	145 080	375 445	323 086	323 086	-13,95%
Title 3 - Operational expenditure	104 683 894	70 800 000	182 734 800	182 734 800	158,10%
Previous years' calls (1)	104 683 894	70 800 000	182 734 800	182 734 800	158,10%
Addition to call 2015.2					
Call 2016					
Call 2017					
Call 2018					
Call 2019					
Call 2020					
TOTAL	110 015 969	76 687 030	188 218 806	188 218 806	145,44%

(1) 2021 and 2022 CA for CBE only. Includes EUR 1 000 000 for expert-evaluators for the CBE calls.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 674 206	3 542 967	3 551 515	3 551 515	0,24%
Salaries & allowances	2 486 530	3 009 730	3 104 773	3 104 773	3,16%
- Of which establishment plan posts	2 166 906	2 678 764	2 868 493	2 868 493	7,08%
- Of which external personnel	319 624	330 966	236 280	236 280	-28,61%
Expenditure relating to Staff recruitment	15 153	102 806	102 564	102 564	-0,24%
Mission expenses	8 770	92 820	79 767	79 767	-14,06%
Socio-medical infrastructure	11 957	229 328	178 088	178 088	-22,34%
Training	65 473	98 283	76 323	76 323	-22,34%
External Services					
Receptions, events and representation	2 048	10 000	10 000	10 000	0,00%
Social welfare	84 275				
Other Staff related expenditure					

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 2 - Infrastructure and operating expenditure	2 549 528	2 331 733	1 877 781	1 877 781	-19,47%
Rental of buildings and associated costs	314 225	396 525	425 558	425 558	7,32%
Information, communication technology and data processing	342 218	390 020	360 967	360 967	-7,45%
Movable property and associated costs	3 507	5 990	1 451	1 451	-75,78%
Current administrative expenditure	20 751	39 712	41 905	41 905	5,52%
Postage / Telecommunications	6 686	22 301	23 838	23 838	6,89%
Meeting expenses	3 974	154 010	107 785	107 785	-30,01%
Running costs in connection with operational activities	990 880				
Information and publishing	666 930	672 032	505 547	505 547	-24,77%
Studies	62 100	288 028	142 354	142 354	-50,58%
Other infrastructure and operating expenditure	138 257	363 115	268 376	268 376	-26,09%
Title 3 - Operational expenditure	160 854 026	170 710 945	107 223 880	108 998 300	-36,15%
Previous years' calls (1)(2)	160 854 026	170 710 945	107 223 880	108 998 300	-36,15%
Addition to call 2015.2					
Call 2016					
Call 2017					
Call 2018					
Call 2019					
Call 2020					
TOTAL	166 077 760	176 585 645	112 653 176	114 427 596	-35,20%

(1) Total operational PA includes prior year (C2 reactivations): 2020: EUR 37 790 766, 2021: EUR 46 881 708, 2022: EUR 25 000 000. For CBE 2021 and 2022 include EUR 1 000 000 for expert evaluators of the CBE calls.

(2) For 2022: EU contribution BBI EUR 38 603 357, EFTA EUR 1 026 849, reactivations EUR 25 000 000, EU contribution CBE EUR 41 490 039, EFTA EUR 1 103 635

3.4 Budget Outturn

In €	Outturn 2020					
	Commitment appropriations (amended budget after transfers)	Committed	% committed	Payment appropriations (amended budget after transfers)	Paid	% paid
Title 1 - Staff expenditure	3 523 461	2 816 777	79.94%	3 590 776	2 674 206	74.47%
Title 2 - Other admin exp. (1)	3 243 857	2 515 299	77.54%	3 383 955	2 549 527	75.34%
Title 3 -Operational costs	104 965 849	104 683 894	99.73%	189 657 231	160 854 026	84.81%
Totals	111 733 167	110 015 970	98.46%	196 631 962	166 077 759	84.46%

1) Of this total, EUR 990 880 in commitment and payment appropriations (amount retained by RTD for co-delegation to REA) was deemed to be executed by REA for the payment of expert-evaluators for the BBI JU's call for proposals. The actual execution, communicated by REA at the beginning of 2021, was EUR 896 815. This changes the actual execution

of Title 2 to: commitment appropriations: 74.64%, and payment appropriations 72.56%. (Total execution shows a small change of commitments: 98.37% and payments: 84.41%).

4 Justification of needs

Commission assessment

Human Resources

Financial Resources

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

13 TAs and 16 CAs have been requested and approved (10 for BBI and 6 for CBE)

4.1.2 Vacancy rate as of end 2020

At the end of 2020 13 TAs and 10 CAs were in place out of a total establishment plan of 13 TAs and 10 CAs.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

1) For the HR expenditure for the single year 2022 (BBI and CBE combined):

For both TAs and CAs the computation was made taking into account the respective grade/step of each staff member, as well as potential reclassification. For the 13 TAs this gives a total of EUR 1 713 000 (which corresponds to an average cost per TA of EUR 131 769 per year). For the 10 CAs for BBI the total is EUR 774 000 (which corresponds to an average cost per CA of EUR 77 400 per year). For the 6 CAs for CBE the total is EUR 429 710 (which corresponds to an average cost per CA of EUR 71 680 per year).

The salary budget line also includes an amount of EUR 400 000 for interim staff and interns (4 trainees and 6 interim staff).

Related to the BBI salaries, there are also the following costs:

- EUR 40 000 for the annual transportation of staff from home to the office and back
- EUR 20 000 for the annual administrative fee to PMO
- EUR 58 000 for the annual fee to DG BUDG for the services of the EC accounting officer

2) For the HR expenditure for the years beyond 2020 (which was BBI only) (2021-24):

Headcount:

Full SEP	2020	2021*	2022**	2023	2024
TAs	13	13	13	13	13
CAs	10	14	16	16	16

Comparison headcount with BBI (2020)

* 4 extra CA for CBE

** 6 extra CA for CBE

Title 1 budget: BBI + CBE

Title Chapter	Heading	Budget 2021 CA and PA (in €)	Budget 2022 CA and PA (in €)	Budget 2023 CA and PA (in €)	Budget 2024 CA and PA (in €)
1	Staff Expenditure	3 542 967	3 551 515	3 166 890	3 129 674
1 1	Staff in active employment	3 009 730	3 104 773	2 846 461	2 763 554
1 2	Staff recruitment / Miscellaneous expenditure	102 806	102 564	59 320	76 114
1 3	Mission and duty travels	92 819	79 767	62 299	74 308
1 4	Other staff costs (socio-medical structure)	327 611	254 412	198 810	215 699
1 5	Entertainment and representation expenses	10 000	10 000	0	0

Title 1 budget: BBI

Title Chapter	Heading	Budget 2021 CA and PA (in €)	Budget 2022 CA and PA (in €)	Budget 2023 CA and PA (in €)	Budget 2024 CA and PA (in €)
1	Staff Expenditure	3 183 466	3 010 080	2 286 400	1 649 200
1 1	Staff in active employment	2 705 966	2 649 280	2 106 400	1 519 200
1 2	Staff recruitment / Miscellaneous expenditure	87 200	78 500	20 000	10 000
1 3	Mission and duty travels	80 000	60 000	30 000	20 000
1 4	Other staff costs (socio-medical structure)	300 300	212 300	130 000	100 000
1 5	Entertainment and representation expenses	10 000	10 000	0	0

Title 1 budget: CBE

Title Chapter	Heading	Budget 2021 CA and PA (in €)	Budget 2022 CA and PA (in €)	Budget 2023 CA and PA (in €)	Budget 2024 CA and PA (in €)
1	Staff Expenditure	359 501	541 435	880 490	1 480 474
1 1	Staff in active employment	303 764	455 493	740 061	1 244 354
1 2	Staff recruitment / Miscellaneous expenditure	15 606	24 064	39 320	66 114
1 3	Mission and duty travels	12 819	19 767	32 299	54 308
1 4	Other staff costs (socio-medical structure)	27 311	42 112	68 810	115 699
1 5	Entertainment and representation expenses	0	0	0	0

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The main cost in Title 1 is staff in active employment. The budget for the single year 2022 assumes the full level of BBI staff as well as extra staff for CBE and a certain number of promotions.

For the years beyond 2022: see details on the expected evolution of the staff resources and the related costs under point 4.1.4 above.

Title 1 budget 2022

Title Chapter	Heading	BBI		CBE		BBI+CBE	
		CAs	PAs	CAs	PAs	CAs	PAs
1	Staff Expenditure	3 010 080	3 010 080	541 435	541 435	3 551 515	3 551 515
1 1	Staff in active employment	2 649 280	2 649 280	455 493	455 493	3 104 773	3 104 773
1 2	Staff recruitment / Miscellaneous expenditure	78 500	78 500	24 064	24 064	102 564	102 564
1 3	Mission and duty travels	60 000	60 000	19 767	19 767	79 767	79 767
1 4	Other staff costs (socio-medical structure)	212 300	212 300	42 112	42 112	254 412	254 412
1 5	Entertainment and representation expenses	10 000	10 000	0	0	10 000	10 000

4.2.2 Title 2

The main costs under Title 2 are:

- building rental and associated charges (2022 estimated costs based on invoices received in late 2021 + indexation): EUR 425 558 (BBI EUR 342 000, CBE EUR 83 558)

- communication costs (includes the Stakeholder Forum and other events, corporate website costs, PR/brochures, social media campaigns, newsletter tool etc.): EUR 505 547 (BBI EUR 324 000, CBE EUR 105 547)

- IT costs (includes ABAC Assets, Contracts and Workflow annual fees, hardware and software inventory purchases, maintenance contracts, troubleshooting, upgrades, the use of SYSPER and ARES tools): EUR 360 967 (BBI EUR 295 000, CBE EUR 65 967)

- expert reviewers for reviews of ongoing projects: RUT 268 376 (BBI EUR 220 000, CBE EUR 48 376)

Title 2 budget 2022

Title Chapter	Heading	BBI		CBE		BBI+CBE	
		CAs	PAs	CAs	PAs	CAs	PAs
2	Other administrative expenditure	1 438 000	1 438 000	439 781	439 781	1 877 781	1 877 781
2 0	Rental of buildings and associated costs	342 000	342 000	83 558	83 558	425 558	425 558
2 1	Administrative information technology	295 000	295 000	65 967	65 967	360 967	360 967
2 2	Movable property and associated costs	0	0	1 451	1 451	1 451	1 451
2 3	Current administrative expenditure	35 000	35 000	6 905	6 905	41 905	41 905
2 4	Telecommunications and postal charges	19 000	19 000	4 838	4 838	23 838	23 838
2 5	Expenditure on formal meetings	77 000	77 000	30 785	30 785	107 785	107 785
2 6	External communication, information, publicity	400 000	400 000	105 547	105 547	505 547	505 547
2 7	Service contracts	50 000	50 000	92 354	92 354	142 354	142 354
2 8	Experts contracts and evaluations	0	0	0	0	0	0
2 9	Expert reviewers	220 000	220 000	48 376	48 376	268 376	268 376

4.2.3 Title 3

Operational expenditure. For BBI JU there are no more calls for proposals from 2021-2024. The requested payment appropriations will be used to pay the interim or final payments for the Calls of 2016 to 2020. For CBE the first call is due to be launched at end 2021. This means that the first payment appropriations will be requested in 2022 - for the prefinancing of the first call.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	White Atrium TO 56	Avenue de la Toison d'Or (56-60) B-1060 Brussels Belgium	892,5	250	1 142,5	339 500	2015-2024	"Uso fructo" rental	Belgium	
TOTAL			892,5	250	1 142,5	339 500				

5.1.2 Current building(s) Other comments

N/A

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

N/A

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT Exemption	BE	

2.1.11 European Cybersecurity Industrial, Technology and Research Competence Centre (ECCC)

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>COM(2018) 630 final</p> <p>Proposal for a</p> <p>REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL</p> <p>establishing the European Cybersecurity Industrial, Technology and Research Competence Centre and the Network of National Coordination Centres</p>		<p>The Cybersecurity competence centre has the following mission and tasks:</p> <p>Mission of the Centre and the Network</p> <ol style="list-style-type: none"> 1. The Competence Centre and the Network shall help the Union to: (a) retain and develop the cybersecurity technological and industrial capacities necessary to secure its Digital Single Market; (b) increase the competitiveness of the Union's cybersecurity industry and turn cybersecurity into competitive advantage of other Union industries. 2. The Competence Centre shall undertake its tasks, where appropriate, in collaboration with the Network of National Coordination Centres and a Cybersecurity Competence Community. <p>The Competence Centre shall have the following objectives and related tasks:</p> <ol style="list-style-type: none"> 1. facilitate and help coordinate the work of the National Coordination Centres Network ('the Network') referred to in Article 6 and the Cybersecurity Competence Community referred to in Article 8; 2. contribute to the implementation of the cybersecurity part of the Digital Europe Programme established by Regulation No XXX26 and in particular actions related to Article 6 of Regulation (EU) No XXX [Digital Europe Programme] and of the Horizon Europe Programme established by Regulation No XXX27 and in particular Section 2.2.6 of Pillar II of Annex I. of Decision No XXX on establishing the specific programme implementing Horizon Europe – the Framework Programme for Research and Innovation[ref. number of the Specific Programme]. and of other Union programmes when provided for in legal acts of the Union]; 3. enhance cybersecurity capabilities, knowledge and infrastructures at the service of industries, the public sector and research communities, by carrying out the following tasks: (a) having regard to the state-of-the-art cybersecurity industrial and research infrastructures and related services , acquiring, upgrading, operating and making available such infrastructures and related services to a wide range of users across the Union from industry including SMEs, the public sector and the research and scientific community; (b) having regard to the state-of-the-art cybersecurity industrial and research infrastructures and related services, providing support to other entities, including financially, to acquiring, upgrading, operating and making available such infrastructures and related services to a wide range of users across the Union from industry including SMEs, the public sector and the research and scientific community; (c) providing cybersecurity knowledge and technical assistance to industry and public authorities, in particular by supporting actions aimed at facilitating access to the expertise available in the Network and the Cybersecurity Competence Community; 4. contribute to the wide deployment of state-of-the-art cyber security products and solutions across the economy, by carrying out the following tasks: (a) stimulating cybersecurity research, development and the uptake of Union cybersecurity products and solutions by public authorities and user industries; (b) assisting public authorities, demand side industries and other users in adopting and integrating the latest cyber security solutions; (c) supporting in particular public authorities in organising their public procurement, or carrying out procurement of state-of-the-art cybersecurity products and solutions on behalf of public authorities; (d) providing financial support and technical assistance to cybersecurity start-ups and SMEs to connect to potential markets and to attract investment; 5. improve the understanding of cybersecurity and contribute to reducing skills gaps in the Union related to cybersecurity by carrying out the following tasks: (a) supporting further development of cybersecurity skills , where appropriate together with relevant EU agencies and bodies

		<p>including ENISA.</p> <p>6. contribute to the reinforcement of cybersecurity research and development in the Union by: (a) providing financial support to cybersecurity research efforts based on a common, continuously evaluated and improved multiannual strategic, industrial, technology and research agenda; (b) support large-scale research and demonstration projects in next generation cybersecurity technological capabilities, in collaboration with the industry and the Network; (c) support research and innovation for standardisation in cybersecurity technology</p> <p>7. enhance cooperation between the civil and defence spheres with regard to dual use technologies and applications in cybersecurity, by carrying out the following tasks: (a) supporting Member States and industrial and research stakeholders with regard to research, development and deployment; (b) contributing to cooperation between Member States by supporting education, training and exercises ; (c) bringing together stakeholders, to foster synergies between civil and defence cyber security research and markets;</p> <p>8. enhance synergies between the civil and defence dimensions of cybersecurity in relation to the European Defence Fund by carrying out the following tasks: (a) providing advice, sharing expertise and facilitating collaboration among relevant stakeholders; (b) managing multinational cyber defence projects, when requested by Member States, and thus acting as a project manager within the meaning of Regulation XXX [Regulation establishing the European Defence Fund].</p>
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1.2 Seat

Bucharest, Romania

1.3 Budget line

01 02 02 31 : Cluster ‘Civil Security for Society’ — European Cybersecurity Industrial, Technology and Research Competence

02 04 01 11 : European Cybersecurity Industrial, Technology and Research Competence Centre

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)				10	10
Assistants (AST)					
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS				10	10
Contract Agents (CA)				26	26
Seconded National Experts (SNE)				1	1
TOTAL STAFF				37	37

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021	2022
	Revenues estimated by the agency	Budget Forecast
EU contribution	140 641 791	151 311 791
Other Revenue	3 797 328	4 085 418
TOTAL REVENUES	144 439 119	155 397 209

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	136 310	136 310	855 000	855 000
Title 2 - Infrastructure and operating expenditure	31 091	31 091	545 000	545 000
Title 3 - Operational expenditure	144 271 718	16 937 323	153 997 209	153 875 193
TOTAL EXPENDITURE	144 439 119	17 104 724	155 397 209	155 275 193

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request (1)	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14						1		1		1
AD 13										
AD 12								1		2
AD 11								1		2
AD 10								1		
AD 9						5		5		
AD 8						1		1		3
AD 7						1				2
AD 6						1				
AD 5						1				
AD TOTAL						10		10		10
AST 11										
AST 10										
AST 9										

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request (1)	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL						10		10		10
GRAND TOTAL						10		10		10

(1) (1) For the recruitments of AD TA2f, Art 53 CEOS applies. Article 53 CEOS states that the total number of engagements of TA2f at grades AD 9 to AD 12 in an agency cannot exceed 20 % of the total number of engagements of temporary staff to the function group AD, calculated over a five-year rolling period.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV			20	20
Function Group III			2	2
Function Group II			4	4
Function Group I				
TOTAL			26	26

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL			1	1

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION		140 641 791	151 302 288	151 311 791	7,59%
- Of which Administrative (Title 1 and Title 2)		163 000	1 400 000	1 363 193	736,31%
- Of which Operational (Title 3)		140 478 791	149 902 288	149 948 598	6,74%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		3 797 328	4 085 162	4 085 418	7,59%
- Of which EEA/EFTA (excl. Switzerland)		3 797 328 (1)	4 085 162	4 085 418 (1)	7,59%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
From year N-1					
- Of which Administrative					
- Of which Operational					
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL		144 439 119	155 387 450	155 397 209	7,59%

(1) EFTA percentage of 2.7% was assumed at the time of drafting this financial statement.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

At current state of play only the EU contribution from the Digital Europe Programme is considered as revenue. Additional contributions from MS (financial or in-kind) will be known at a later stage towards end of 2021 and beginning of 2022.

Contribution of Horizon Europe is not yet known.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure		136 310	855 000	855 000	527,25%
Salaries & allowances		83 000	615 000	615 000	640,96%
- Of which establishment plan posts		62 000	404 000	404 000	551,61%
- Of which external personnel		21 000	211 000	211 000	904,76%
Expenditure relating to Staff recruitment		20 000	60 000	60 000	200,00%
Mission expenses		23 310	100 000	100 000	329,00%
Socio-medical infrastructure		5 000	50 000	50 000	900,00%
Training		5 000	30 000	30 000	500,00%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		31 091	545 000	545 000	1652,92%
Rental of buildings and associated costs					
Information, communication technology and data processing			110 000	110 000	100%
Movable property and associated costs			20 000	20 000	100%
Current administrative expenditure		31 091	45 000	45 000	44,74%
Postage / Telecommunications			80 000	80 000	100%
Meeting expenses					
Running costs in connection with operational activities			20 000	20 000	100%
Information and publishing			200 000	200 000	100%
Studies					
Other infrastructure and operating expenditure			70 000	70 000	100%
Title 3 - Operational expenditure		144 271 718	153 987 450	153 997 209	6,74%
TOTAL		144 439 119	155 387 450	155 397 209	7,59%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure		136 310	855 000	855 000	527,25%
Salaries & allowances		83 000	615 000	615 000	640,96%
- Of which establishment plan posts		62 000	404 000	404 000	551,61%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
<i>- Of which external personnel</i>		21 000	211 000	211 000	904,76%
Expenditure relating to Staff recruitment		20 000	60 000	60 000	200,00%
Mission expenses		23 310	100 000	100 000	329,00%
Socio-medical infrastructure		5 000	50 000	50 000	900,00%
Training		5 000	30 000	30 000	500,00%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure		31 091	545 000	545 000	1652,92%
Rental of buildings and associated costs					
Information, communication technology and data processing			110 000	110 000	100%
Movable property and associated costs			20 000	20 000	100%
Current administrative expenditure		31 091	45 000	45 000	44,74%
Postage / Telecommunications			80 000	80 000	100%
Meeting expenses					
Running costs in connection with operational activities			20 000	20 000	100%
Information and publishing			200 000	200 000	100%
Studies					
Other infrastructure and operating expenditure			70 000	70 000	100%
Title 3 - Operational expenditure		16 937 323	153 987 450	153 875 193	808,50%
TOTAL		17 104 724	155 387 450	155 275 193	807,79%

3.4 Budget Outturn

First estimate of the 2020 surplus that remains within the joint undertaking: EUR 0

4 Justification of needs

Commission assessment

Human Resources

The 2021 Establishment Plan posts are external staff planning are in line with the budget for 2021.

The human resources requested reflect the legislative financial statement of the future Regulation of the European Parliament and of the Council establishing the European Cybersecurity Industrial, Technology and Research Competence Centre and the Network of National Coordination Centre (COM 2018(630)).

The repartition of TA by grades has been agreed by DG HR.

Experienced and specialised staff is needed because the Competence Centre should perform a dual role, undertaking strategic and specific tasks in the area of cybersecurity industry, technology and research as laid down in the Regulation and managing cybersecurity-related funding from several programmes at the same time, in particular from the Horizon Europe Programme and the Digital Europe Programme, and possibly even from other Union programmes as well. A

major strategic task of the Centre is the developing and monitoring of the implementation of a comprehensive and sustainable industrial, technology and research strategy for cybersecurity (the "Agenda"). The Centre should define strategic recommendations for research, innovation and deployment in cybersecurity in accordance with Union law and setting out strategic priorities for the Competence Centre's activities. The Centre should provide technical assistance by providing knowledge or facilitating access to expertise in the field of cybersecurity research, technology and industry, facilitating networking, raising awareness, promoting cooperation. The Competence Centre's task of providing cybersecurity knowledge and technical assistance to industries or public sector should refer to both ICT products, processes and services and to all other technological products and processes in which cybersecurity is to be embedded. Therefore, cybersecurity and programming specialists will be needed for the strategic and technological expertise in the Centre. As the building and physical infrastructure will not be available in 2021, the staff will be recruited in Brussels and then in 2022 moved to Bucharest.

Financial Resources

The Budget 2021 corresponds to the latest estimate.

The budget for 2022 is in line with the financial programming.

The budget for 2021 and 2022 does not reflect the potential budgetary impact of third country contributions.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

37 FTEs are estimated for 2022, out of those the Commission plans to recruit 4-10 FTEs already in 2021.

4.1.2 Vacancy rate as of end 2020

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

	Base Salary	Number	Base x 12 months x Number of staff
AD14	14258,41	1	171.100,92 €
AD12	12011,1	1	144.133,20 €
AD11	10964,11	1	131.569,32 €
AD10	9988,15	1	119.857,80 €
AD9	9087,33	5	545.239,80 €
AD8	8261,05	1	99.132,60 €
Total (No coefficient)			1.211.033,64 €
Plus travel costs (10 x families of 4 / 1000km)			22.280,40 €
TOTAL SALARY COST FOR TEMP AGENTS			1.233.314,04 €

Contract Agents (grade / step)

IV 13/1 3256.02 x 12 x 26= 1 015 878,24

Travel 2 228.04 x 26 = 57 929.04

Total Salary Costs for Contract Agents: EUR 1 073 807,28

Seconded National Expert: EUR 86 000

The Commission plans to recruit 4-10 staff before the end of 2021 in Brussels. As average costs are calculated 3 CAs, 3 ADs with 2 months of salary costs in 2021, with 100% correction coefficient. 3 ADs: 62 000 €, 3 CAs: 21 000 €)

In 2022 calculated 9 months with 6 posts (3 ADs and 3 CAs like in 2021) with 100% correction coefficient in Brussels, and approx. 1 month with 37 posts with 66,6% correction coefficient in Bucharest.

9 months 6 posts:

3 ADs: 279 000 €

3 CAs: 95 000 €

Approx. 1 month 37 posts:

10 ADs: 125 000 €

26 CAs: 110 000 €

1 SNE: 6 000 €

NB. The grades of the establishment plan have been slightly modified by DG HR. The calculation beside does not reflect this change.

4.1.5 Correction coefficient used

As the setup of the Centre will be launched from Brussels at the beginning, staff will be recruited in Brussels (100% correction coefficient) and to be moved in 2022 when the building and necessary infrastructure will be in place in Bucharest. There the correction coefficient will be 66,6 %.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Salaries and allowances

This appropriation will cover the cost of remuneration of temporary and contractual staff in accordance with the Staff Regulations. Under this chapter, the costs of the employer's social security contributions in accordance with the applicable Staff Regulations are also covered.

Expenditure relating to staff recruitment

This appropriation is intended to cover the recruitment costs for staff as well as expenditure foreseen in the relevant provisions of the Staff Regulations, e.g. installation allowances for staff changing residence after taking up duties and the daily subsistence allowances due to staff able to prove that they were obliged to change their place of residence after taking up duties.

Missions expenses

The missions' appropriation is intended to cover expenditure on transport, the payment of daily mission allowances and the ancillary or exceptional expenses incurred by the staff in the interest of the service in accordance with the Staff Regulations.

Socio-medical infrastructure

This appropriation is intended to cover the costs of the medical check-up of staff and associated analyses required, complementary health insurance and schooling allowances.

4.2.2 Title 2

Information communication technology and data processing

This appropriation is intended to cover the installation of the IT infrastructure at the ECCC premises (PCs, networking equipment, software), as well as cybersecurity services, maintenance and IT helpdesk which will be outsourced.

Movable Property

This chapter relates to the initial purchase of furniture and office equipment for the offices of the ECCC.

Current Administrative expenditure

The appropriations are to cover legal costs, insurance and stationery, as well as financial costs (e.g. interest due in case of late payments).

Postage and Telecommunications

These appropriations relate to the costs for internet access as well as other telecom equipment (phones) as needed.

Innovation

These appropriations will cover expenses related to the participation in events that ensure the promotion of innovation, in particular targeting industry and SMEs.

Communication

Activities related to communication and publishing, and in particular:

- Communication material for conferences, info days and workshops,
- Website development and consolidation
- General public relations (PR)

Audits

This provision is for external and internal audits needs, legal assistance and other costs.

4.2.3 Title 3

In 2021 and 2022, the ECCC will launch calls for proposals in the context of the Digital Europe Programme in the area of Cybersecurity.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
TOTAL										

5.1.2 Current building(s) Other comments

5.1.3 Building projects in the planning phase

5.1.4 Building projects submitted to the European Parliament and the Council

5.2 Evaluation

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

2.2 Fusion for Energy (F4E)

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Decision (EURATOM) n° 198/2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90/58)	27/03/2007	The European Joint Undertaking for ITER and the Development of Fusion Energy (F4E) is established in Barcelona, Spain, for a period of 35 years starting on 19 April 2007 with the main tasks of provision: (a) EURATOM contribution to ITER International Fusion Energy Organization and to the Broader Approach activities with Japan for the rapid realisation of fusion energy; (b) programme activities for DEMO construction (demonstration fusion reactor) incl. International Fusion Materials Irradiation Facility (IFMIF); (c) components, equipment, materials and other resources (incl. financial and human resources) to ITER Organisation and to Broader Approach; F4E is supervising the preparation of ITER project site in Cadarache (France) and the coordination of scientific and technological research and development activities in support of EURATOM contribution to ITER Organisation and to the Broader approach with Japan
Council Decision (EURATOM) n° 791/2013 amending Decision n° 2007/198 (OJ L 349/100)	13/12/2013	This Council decision sets the EURATOM contribution for ITER activities carried out by the Joint Undertaking for the period 2014-2020.
Council Decision (EURATOM) n° 2015/224 amending Decision n° 2007/198 (OJ L 37/8)	10/02/2015	The F4E legal base was amended in order to improve the management and the governance of the Joint Undertaking and to take into account the amendments to the EURATOM Treaty introduced by the Lisbon Treaty. The reference to the provisions on the Court of Justice was updated. Administration and Management Committee, Procurement and Contracts Committee and Bureau to assist the Governing Board were created.
Council Decision (EURATOM) n° 2021/281 amending Decision n° 2007/198 (OJ L 62/41)	22/02/2021	This Council decision sets the EURATOM contribution for ITER activities carried out by the Joint Undertaking for the period 2021-2027 at EUR 5.614.000.000 (in current prices).

1.2 Seat

Barcelona, Spain

1.3 Budget line

01 04 01 : Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — and the Development of Fusion Energy

1.4 Human Resources Overview

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget, whereas the number of Contract Agents / SNE only includes those financed under programmes financed within the EU Budget.

Human Resources	2020			2021	2022
	Authorised Budget	Actually filled as of 31/12/2020	Occupancy rate(%)	Authorised Budget	Draft Budget Request
Administrators (AD)	243	231	95,06%	243	238
Assistants (AST)	37	44	118,92%	37	42
Assistants/Secretaries (AST/SC)					
ESTABLISHMENT PLAN POSTS	280	275	98,21%	280	280
Contract Agents (CA)	170	160	94,12%	170	170
Seconded National Experts (SNE)	3	2	66,67%	3	7
TOTAL STAFF	453	437	96,47%	453	457

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2021		2022	
	Revenues estimated by the agency		Budget Forecast	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
EU contribution	857 567 197	607 211 868	703 990 455	689 954 958
Other Revenue	216 200 000	165 600 000	167 690 000	180 500 000
TOTAL REVENUES	1 073 767 197	772 811 868	871 680 455	870 454 958

1.5.2 Expenditure Overview

Expenditure	2021		2022	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	54 775 000	54 775 000	57 347 992	57 347 992
Title 2 - Infrastructure and operating expenditure	8 533 000	8 533 000	8 703 800	8 703 800
Title 3 - Operational expenditure	800 759 197	550 403 868	644 638 663	630 603 166
Title 4 - Earmarked Expenditure	209 700 000	159 100 000	160 990 000	173 800 000
TOTAL EXPENDITURE	1 073 767 197	772 811 868	871 680 455	870 454 958

2 Human Resources

2.1 Establishment plan posts

The table below presents the number of posts in the establishment plans, including the posts assigned to programmes financed outside the EU budget.

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										

Function group and grade	2020				2021		2022			
	Authorised Budget		Actually filled as of 31/12/2020		Authorised Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 15		1		1		1		1		1
AD 14	5	3	2		5	3	3	1	3	1
AD 13	14	9	7	6	13	10	7	5	7	5
AD 12	15	21	10	15	14	21	10	23	10	23
AD 11	2	27	5	19	2	29	3	22	3	22
AD 10		31		30		33	5	37	5	37
AD 9		41	10	60		42	6	54	6	54
AD 8	1	33	1	24	1	33	1	29	1	29
AD 7	2	21		20	2	21	2	20	2	20
AD 6	1	16	1	20	1	12		9		9
AD 5										
AD TOTAL	40	203	36	195	38	205	37	201	37	201
AST 11	5		1		6		1		1	
AST 10	1		1				3		3	
AST 9	4		2		4	1	1	1	1	1
AST 8	1	2	2		1	2		2		2
AST 7		4	1	2		5	1	6	1	6
AST 6		9		9		9	1	8	1	8
AST 5		9	3	7		8	2	12	2	12
AST 4		2	2	6		1	1	2	1	2
AST 3			1	7			1		1	
AST 2										
AST 1										
AST TOTAL	11	26	13	31	11	26	11	31	11	31
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	51	229	49	226	49	231	48	232	48	232
GRAND TOTAL	280		275		280		280		280	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
Function Group IV	97	95	97	97
Function Group III	50	53	50	50
Function Group II	23	12	23	23
Function Group I				
TOTAL	170	160	170	170

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2020	Recruited as of 31/12/2020	2021 estimate	Draft Budget 2022 estimate
TOTAL	3	2	3	7

3 Financial Resources

3.1 Revenues

3.1.1 Revenues Commitment Appropriations

REVENUES COMMITMENT APPROPRIATIONS	Revenues Commitment Appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	780 781 879	857 567 197	703 990 455	703 990 455	-17,91%
- Of which Administrative (Title 1 and Title 2)	52 781 500	62 483 826	65 043 221	65 043 221	4,10%
- Of which Operational (Title 3)	336 239 356	794 259 197	637 938 663	637 938 663	-19,68%
- Of which recovery from previous years administrative	1 064 484	824 174	1 008 571	1 008 571	22,37%
- Of which recovery from previous years operational	390 696 539				
3 THIRD PARTIES CONTRIBUTION	89 163 634	191 200 000	150 500 000	150 500 000	-21,29%
- Of which ITER Host State contribution	83 063 634	184 700 000	143 800 000	143 800 000	-22,14%
- Of which Membership contribution	6 100 000	6 500 000	6 700 000	6 700 000	3,08%
4 MISCELLANEOUS REVENUE	1 710 300				
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	14 013 256	25 000 000	17 190 000	17 190 000	-31,24%
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
From year N-1					
- Of which Administrative					

REVENUES COMMITMENT APPROPRIATIONS	Revenues Commitment Appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
- Of which Operational					
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					
- Of which Administrative					
- Of which Operational					
TOTAL	885 669 069	1 073 767 197	871 680 455	871 680 455	-18,82%

The revenue tables do not take into account potential contributions from third countries.

3.1.2 Revenues Payment Appropriations

REVENUES PAYMENT APPROPRIATIONS	Revenues Payment Appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	638 135 119	607 211 868	689 954 958	689 954 958	13,63%
- Of which Administrative (Title 1 and Title 2)	52 781 500	62 483 826	65 043 221	65 043 221	4,10%
- Of which Operational (Title 3)	579 495 500	543 903 868	623 767 915	623 767 915	14,68%
- Of which recovery from previous years administrative	5 605 868	824 174	1 008 571	1 008 571	22,37%
- Of which recovery from previous years operational	252 251		135 251	135 251	100%
3 THIRD PARTIES CONTRIBUTION	156 100 000	135 600 000	155 500 000	155 500 000	14,68%
- Of which ITER Host State contribution	150 000 000	129 100 000	148 800 000	148 800 000	15,26%
- Of which Membership contribution	6 100 000	6 500 000	6 700 000	6 700 000	3,08%
4 MISCELLANEOUS REVENUE	928 008				
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	21 295 757	30 000 000	25 000 000	25 000 000	-16,67%
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
From year N-1					
- Of which Administrative					
- Of which Operational					
From year N-2					
- Of which Administrative					
- Of which Operational					
From year N-3					

REVENUES PAYMENT APPROPRIATIONS	Revenues Payment Appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
- Of which Administrative					
- Of which Operational					
TOTAL	816 458 884	772 811 868	870 454 958	870 454 958	12,63%

3.2 Financial contributions from EU budget and the other partners and in kind contributions

The F4E budget is composed of contributions from EURATOM, France as ITER Host State, and the F4E Members (EURATOM members and Switzerland). F4E receives additional contributions from ITER Organisation (IO) for the implementation of the changes in the ITER procurement at IO request (mainly from IO's Reserve Fund). The source of F4E revenue is almost constant from one year to the other. For 2020, the revenues in payment appropriations to F4E budget were the EURATOM (78.2 %) and ITER Host State (18.4 %), F4E members (0.7 %), ITER Reserve Fund (2.6 %) and miscellaneous revenue (0.1 %). There is no in-kind contribution to the F4E Budget, except the premises hosting the Joint Undertaking provided by Spain free of charge. For the year 2020, this service in-kind amounts to EUR 2.9 million.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	51 882 161	54 775 000	57 347 992	57 347 992	4,70%
Salaries & allowances	47 187 713	49 366 000	50 888 372	50 888 372	3,08%
- Of which establishment plan posts	35 897 721	37 198 000	38 981 810	38 981 810	4,80%
- Of which external personnel	11 289 992	12 168 000	11 906 562	11 906 562	-2,15%
Expenditure relating to Staff recruitment	692 910	685 000	814 000	814 000	18,83%
Mission expenses	225 819	800 000	582 000	582 000	-27,25%
Socio-medical infrastructure	343 200	350 000	504 000	504 000	44,00%
Training	639 238	689 000	676 620	676 620	-1,80%
External Services			550 000	550 000	100%
Receptions, events and representation	500	10 000	10 000	10 000	0,00%
Social welfare	46 000	47 000	46 000	46 000	-2,13%
Other Staff related expenditure	2 746 781	2 828 000	3 277 000	3 277 000	15,88%
Title 2 - Infrastructure and operating expenditure	7 341 252	8 533 000	8 703 800	8 703 800	2,00%
Rental of buildings and associated costs	1 426 164	1 460 000	1 499 000	1 499 000	2,67%
Information, communication technology and data processing	3 609 616	3 960 000	3 935 000	3 935 000	-0,63%
Movable property and associated costs	234 200	369 000	375 000	375 000	1,63%
Current administrative expenditure	1 196 481	1 438 000	1 616 000	1 616 000	12,38%
Postage / Telecommunications	448 200	531 000	547 000	547 000	3,01%
Meeting expenses	146 950	469 000	443 000	443 000	-5,54%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Running costs in connection with operational activities					
Information and publishing	46 000	40 000	38 000	38 000	-5,00%
Studies					
Other infrastructure and operating expenditure	233 641	266 000	250 800	250 800	-5,71%
Title 3 - Operational expenditure	729 335 604	800 759 197	644 638 663	644 638 663	-19,50%
ITER construction including site preparation	691 126 558	737 284 197	577 228 663	577 228 663	-21,71%
Technology for ITER and DEMO	800 134	6 875 000	7 910 000	7 910 000	15,05%
Technology for Broader approach	19 469 855	41 600 000	42 500 000	42 500 000	2,16%
Technology for Broader DONES					
External Support Activities			14 000 000	14 000 000	100%
Other operational Expenditure	17 939 057	15 000 000	3 000 000	3 000 000	-80,00%
Title 4 - Earmarked Expenditure	96 790 003	209 700 000	160 990 000	160 990 000	-23,23%
ITER construction -from ITER host state contribution	83 063 634	184 700 000	143 800 000	143 800 000	-22,14%
IO Reserve Fund and Undistributed Budget	13 726 369	25 000 000	17 190 000	17 190 000	-31,24%
Other Earmarked Expenditure					
TOTAL	885 349 020	1 073 767 197	871 680 455	871 680 455	-18,82%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	51 858 421	54 775 000	57 347 992	57 347 992	4,70%
Salaries & allowances	47 132 140	49 366 000	50 888 372	50 888 372	3,08%
- Of which establishment plan posts	35 897 721	37 198 000	38 981 810	38 981 810	4,80%
- Of which external personnel	11 234 419	12 168 000	11 906 562	11 906 562	-2,15%
Expenditure relating to Staff recruitment	706 182	685 000	814 000	814 000	18,83%
Mission expenses	653 219	800 000	582 000	582 000	-27,25%
Socio-medical infrastructure	343 200	350 000	504 000	504 000	44,00%
Training	439 085	689 000	676 620	676 620	-1,80%
External Services			550 000	550 000	100%
Receptions, events and representation	932	10 000	10 000	10 000	0,00%
Social welfare	46 000	47 000	46 000	46 000	-2,13%
Other Staff related expenditure	2 537 663	2 828 000	3 277 000	3 277 000	15,88%
Title 2 - Infrastructure and operating expenditure	7 437 213	8 533 000	8 703 800	8 703 800	2,00%
Rental of buildings and associated costs	1 483 947	1 460 000	1 499 000	1 499 000	2,67%

EXPENDITURE	Payment appropriations				
	Executed Budget 2020	Budget 2021	Draft Budget 2022		VAR 2022/2021 (%)
			Joint undertaking request	Budget forecast	
Information, communication technology and data processing	3 814 437	3 960 000	3 935 000	3 935 000	-0,63%
Movable property and associated costs	139 582	369 000	375 000	375 000	1,63%
Current administrative expenditure	1 199 775	1 438 000	1 616 000	1 616 000	12,38%
Postage / Telecommunications	381 078	531 000	547 000	547 000	3,01%
Meeting expenses	199 275	469 000	443 000	443 000	-5,54%
Running costs in connection with operational activities					
Information and publishing	46 000	40 000	38 000	38 000	-5,00%
Studies					
Other infrastructure and operating expenditure	173 119	266 000	250 800	250 800	-5,71%
Title 3 - Operational expenditure	581 365 167	550 403 868	630 603 166	630 603 166	14,57%
ITER construction including site preparation	551 861 783	519 803 868	589 603 166	589 603 166	13,43%
Technology for ITER and DEMO	1 623 503	4 100 000	7 000 000	7 000 000	70,73%
Technology for Broader approach	16 338 816	11 500 000	13 000 000	13 000 000	13,04%
Technology for Broader DONES					
External Support Activities			15 000 000	15 000 000	100%
Other operational Expenditure	11 541 065	15 000 000	6 000 000	6 000 000	-60,00%
Title 4 - Earmarked Expenditure	159 685 610	159 100 000	173 800 000	173 800 000	9,24%
ITER construction -from ITER host state contribution	150 000 000	129 100 000	148 800 000	148 800 000	15,26%
IO Reserve Fund and Undistributed Budget	9 685 610	30 000 000	25 000 000	25 000 000	-16,67%
Other Earmarked Expenditure					
TOTAL	800 346 411	772 811 868	870 454 958	870 454 958	12,63%

3.4 Budget Outturn

The first estimate of the 2020 surplus that remains within the joint undertaking amounts to EUR 1 143 821.

4 Justification of needs

Commission assessment

Human Resources

For DB2022, F4E requests 280 establishments plan posts, 170 contract agent posts and 7 seconded national experts (SNEs) posts, in total 457 positions. Compared to 2021, the number are identical except for SNEs that increase from 3 to 7. Four of the seven SNEs are cost neutral.

The detailed establishment plan for 2022 corresponds with the budget 2021 and the grades distribution is adjusted to reflect the actually filled in posts as at 31 December 2020. One permanent AD post is converted a temporary AD post and 5 short-term temporary posts AD6 are converted into AST5 posts.

Further information on Human Resources is provided in section 4.1.1.

Financial Resources

The Commission reviewed the amounts requested for the project needs in 2022, for commitment and payment appropriations (both revenue and expenditure). The requested overall financial resources reflects the Council decision that sets the EURATOM contribution for ITER activities carried out by the Joint Undertaking for the period 2021-2027 at EUR 5 614 000 000 (in current prices).

Title 1 of EUR 57.3 million (increase by EUR 2.5 million, 4.70 % to budget 2021) reflects the requested detailed establishment plan. It should be noted that the current 2021 forecast for Salaries and allowances is higher than budget 2021 due to salaries increases in 2020 of 3.5 % including the increase of the Spain correction coefficient of 2.8%.

For Title 2, the average increase is limited to 2% compared to budget 2021 with the variations comprehensively justified in section 4.2.2.

Title 3 & title 4 amount in commitments to EUR 805.6 million, which is a decrease of 20.3 % compared to budget 2021. For payments, the DB2022 is EUR 804.4 million, an increase of 13.4% compared to budget 2021. Explanations are given in detail in section 4.2.3 and 4.2.4 below.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

For DB 2022 F4E requests 457 positions, of which 280 establishment plan posts (48 permanent and 232 temporary posts) and 177 external staff positions (170 contract agents (CA) and 7 seconded national experts (SNE)). The staff figures for 2022 are within the limits set by the Legislative Financial Statement (LFS) to the Commission Proposal 445 (2018) on F4E budget under MFF 2021-2027. For 2022, F4E foresees the following cost neutral conversions:

- 1 permanent AD post to be converted into temporary AD post
- 5 short-term temporary posts AD6 to be converted into AST5 posts.

The number of SNE will increase from 3 to 7 in 2022 as agreed with the Commission in 2020. The four additional SNE positions are cost neutral and will be counted as in-kind Euratom contribution to Broader Approach.

4.1.2 Vacancy rate as of end 2020

The vacancy rate was 3,33% at the end of 2020, based on the actually filled establishment plan and external staff.

Out of 280 establishment plan posts, 5 remained vacant (1,79 %) and out of 173 external staff, 11 positions were not filled (6,36 %).

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The average annual costs for salaries for 2022 are estimated at EUR 135 100 for officials and temporary agents and EUR 66 500 for contract agents based on the staff costs from 2021 to 2022. The indirect costs related to recruitment (selection, medical visit, removal, etc.) are not included in the average staff costs. The annual salary adjustment for 2022 is set at 3 % that will be later adjusted by the official EU salary update and the change in the Spanish correction coefficient by the end of 2021. The vacancy rate applied for the calculation of the salaries in 2022 amounts to 2,5%

4.1.5 Correction coefficient used

The correction coefficient for Spain increased from 91.6 % to 94.2 % at the end of 2020.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Under Title 1, the expenditure for Salaries and allowances marks an increase of 4.7 % in draft budget 2022 compared to the adopted 2021 budget due to the annual salary adjustment of 2 % and other factors (allowances, promotions, advancements in step). The salary costs for 2021 for the establishment plan are based on 0.9% indexation for 2020, while the figure for 2022 includes the final salary increase for 2020 of 3.6%, therefore the actual increase in 2022 compared to 2021 is higher.

The Salary expenses for external personnel decrease by 2.15 % as the costs related to interims were moved to the new chapter External services. The expenditure related to staff recruitment is 18.8 % higher in 2022 compared to 2021 due to the increased removal expenses compared to 2020, following anticipated staff resignations and retirements in the second half of 2022. In addition, an increase of the travel expenses, daily and installation/re-installation allowances is estimated due to higher recruitments in 2022 with respect to 2021 on top of the higher number of resignations and retirements. The missions expenses are expected to decrease by 27.3 % in 2022 compared to 2021, as more meetings will be organised online after the COVID crisis. The 44 % increase in the costs for the Socio-medical infrastructure is linked to the new framework contract for Medical Services Lot 2 (medical advice) and due to the transition from the old to the new budgetary structure. The 15.9 % increase in Other staff related expenditure is due to the higher schooling costs cost in 2022 with respect to 2021.

4.2.2 Title 2

Title 2 expenses will increase by 2 % in 2022 compared to 2021 linked to various items under this chapter. The building's rental and associated costs in 2022 are calculated based on 2 % increase on the actual execution in 2020. The ICT costs will be maintained almost at same level as the certification in the area of Business Continuity and IT Security that started in 2021, will continue in 2022. The slight increase in the movable property is linked to the purchase of one new service car in 2022 and the replacement of telecommunication equipment in Aula Mar that was postponed to 2022. The current administrative expenditure will be 12.4 % higher in 2022, linked to a four years contract for technology brokerage services and a call for market experts for on-demand pre-procurement activities and also due to the increased costs for the internal audit services of F4E. The postage and telecommunications will increase by 3 % due to the outsourcing of unified telecommunications that were moved from chapter "ICT and data processing" within Title 2. The meeting expenses will decrease by 5.5 % as more meetings will be organised online.

4.2.3 Title 3

The F4E operational budget 2022 is based on the preliminary Work Programme 2022 on the main activities to be carried out in 2022 for delivering the EU obligations to ITER and Broader Approach, the revised EURATOM contribution to F4E budget for 2022, the contributions from the ITER Host state and the F4E members for 2022.

The F4E operational activities are covered mainly under two budget chapters: ITER construction including the site preparation (under Title 3) and ITER Host State (IHS) contribution for the ITER construction that complements the first chapter for the ITER construction (under Title 4). The biggest part of F4E operational budget for 2022 will be spent for the provision of the Cash Contribution to ITER Organisation due for year 2023, followed by In Vessel, Antennas, Neutral Beam, Remote handling, Cryoplant and Fuel cycle, Broader Approach, Buildings and Power supplies for the ITER site in Cadarache.

The activities foreseen for 2022 are outlined as follows indicating whether they are required for ITER First Plasma (FP) or not (non-FP):

Magnets (FP): All major contracts have been signed and manufacturing activities are underway for the two remaining main components (i.e. TF Coils and PF Coils). In 2022, the production of all 10 TF Coils shall be completed. In the PF Coils Workshop, the last 2 Coils (PF#3 & #4) will be in full production to be delivered in the following years.

Vacuum Vessel (FP): All major contracts have been signed and manufacturing activities are underway. All five sectors are planned to be delivered before the end of 2022. Contracts for the transportation to Cadarache of the remaining sectors will be signed.

In-Vessel (non-FP) [Blanket System and Divertor]:

Blanket System: the series production of the Blanket First Wall panels will proceed. F4E will award task orders for the procurement of Beryllium and CuCrZr materials for the first wall panels series production, as well as a contract for the procurement of Standard Parts, scope of the Work Package 07 of the First Wall procurement arrangement. Regarding the Blanket Cooling Manifold project, F4E will award the contract for the first 30 degree sector pipe bundles. F4E will use support from external resources to follow-up activities.

Divertor Systems: F4E will sign framework contracts for Stage 1 of the series production. Regarding the Divertor Rails project, F4E will advance in the final Technical Specifications for the procurement of the Divertor Rails. Regarding the Divertor Cassettes project, F4E will continue manufacturing Cassette Bodies and will continue to deliver.

Remote Handling (partly FP): Design activities will continue in preliminary and final design phases depending on the system. Divertor Remote Handling systems (DRHS) will further develop the final design by already running task orders and new contracts, supported in some areas by laboratory tests. Cask & Plug RH systems (CPRHS) will continue final design development and moving towards manufacturing of FP components. Non-FP cask systems gradually moving from preliminary design to final design with existing and new contracts. Neutral Beam Remote Handling systems (NBRHS) FP components will continue final design development including prototyping and moving towards manufacturing of FP components. For the remaining items of NBRHS, the transition from preliminary design to final design will be the main area of activities through new and existing contracts, supported in some areas by laboratory tests. In-Vessel Viewing systems (IVVS) will focus on final design activities, validated by prototyping, by continuing and signing new task orders. Complementary RH technology activities will be implemented (design and tests) aiming at manufacturing of first components (e.g. rad hard cameras and electronics) to be integrated in the RH systems.

Cryoplant (FP) and Fuel Cycle (Partly FP): For Vacuum Pumping (Partly FP), contracts of Torus and Cryostat Cryopumping System, Front-end Cryopump Distribution system, Leak Detection and Localization systems will focus on manufacturing and assembly. 1st contract for Neutral Beam Front-end Cryopump distribution will be signed. Signature of the Procurement for manufacture for Heating Neutral Beam and Diagnostic Neutral Beam Cryopumps is planned. In the area of Tritium Plant and REMS (Partly FP), tendering activities for Water Detritiation system will start, signature for Hydrogen Isotope Separation system is planned, contract for 1st plasma activities of REMS (Radiation and Environmental Monitoring Systems) will continue and contract for final Design and procurement of REMS Tokamak complex will be signed. In the area of Cryoplant (FP), commissioning for LN2 Plant and Auxiliary Systems components will take place.

Antennas and Plasma Engineering (partly FP): In the area of Electron Cyclotron Upper Launchers (ECUL), task order 2 for Integrator Framework including the scope for the manufacturing and assembly of ex-vessel systems will be signed in 2022. Task order for the manufacturing of the isolation valves will also be signed in 2022. In addition, contracts and task orders for support to manufacturing and industrial inspection will be signed. Regarding the EC Control scope, the EC plant Control System (ECPC) will start operation for the integrated commissioning of the ITER gyrotrons and Task orders to support ECPC operation and to design the EC Launcher local controller will be signed.

Neutral Beam Heating and EC Power Supplies and Sources (non-FP): F4E plans to sign contracts for NBI-1&2 tooling phase II, Vessels, Passive Magnetic Shield, and Absolute Valve. In the area of the Neutral Beam Test Facility (NBTF), F4E will sign specific contracts for PRIMA assembly as well as for MITICA CODAS and safety. To meet the schedule, F4E may need to sign extensions, modifications or amendments to existing contracts, some of them being triggered by Project Change Requests (PCRs). Sets of HV power supplies for the EC system will be delivered during the year and installation and commissioning on ITER site completed for the first sets.

Diagnostics (partly FP): Manufacturing activities for several Diagnostic components and systems, most of them essential for first plasma will continue and some first plasma components of the Magnetics diagnostic and the Tokamak electrical services will be delivered in 2022. F4E will launch or sign additional contracts for the manufacturing of the remaining first plasma subsystems, including supporting platforms for the in-vessel bolometer cameras, different components (in-port and ex-port) for the wide-angle viewing system, bolometer platforms and front-end components (waveguides, mirrors and horns) for the collective Thomson scattering system. The launch of a framework contract for the manufacturing of components required for subsequent plasma phases is also foreseen in 2022, as well as a framework contract for the manufacture of port structures, and the integration of the different diagnostics in the ports, assembly and testing. Design for less mature Diagnostics systems will progress mainly under on-going design framework contracts or grants. Signature of specific contracts for the finalization of design of the core-plasma Thomson scattering and the bolometers are also foreseen in 2022.

Test Blanket Modules (TBM) (non-FP, non-in-kind contribution): The activities for the preliminary designs of the two TBM Sets, Ancillary Systems, Safety Studies and Accidental Analyses will continue in view of the Preliminary Design Review. Analogously the activities aimed to prove the feasibility of the fabrication and assembly processes of the TBM-sets will continue. As in the previous years, support and transversal activities such as the consultancy of an Agreed Notified Body, the storage, handling and, when needed, transportation of steel materials will proceed. When needed, Task

Orders will be signed to guarantee the continuity of the progress of the preliminary design of the projects. Some activities might be executed under the ITER TBM Project Team Funding scheme. The collaboration with EUROfusion is expected to continue. The need to procure additional EUROFER will be evaluated at the beginning of the year and, eventually, to this end, a supply contract will be put in place.

Site, Buildings and Power Supplies: The focus in 2022 will be to complete the last finishing works in the Diagnostic building (B74), to advance the construction of the Tritium Building civil works (B14) up to the roof and to deliver it painted until level L3, to deliver the Control building (B71 Non PIC part), the Fast Discharge Resistor building (B75), and to complete the installation of HVAC, Electrical & Handling Equipment in the Heating building (B15).

Load centers LC01, LC04, LC08, LC09, LC15, LC16, MV04 and MV06 will be operational. The preliminary design for the Hot Cell Complex will be progressed. Task orders for Completion and Final Fitting works contracts, and for engineering consultancy services are also planned as well as commitments for the ITER site security services, Site Cooperation Agreement, ITER Host agreement and Health & Safety joint contract with ITER Organization. Changes and exercise of options to the ongoing services and construction contracts in relation with PCRs, input data delays, and re-allocation of scope between contracts will be implemented through amendments to the ongoing contracts.

Technical Support Activities includes all Engineering unit domains: System Design-Mechanical Engineering, CAD Data Management, Material-Manufacturing Technologies and Processes, Analysis and Codes, Metrology, I&C-CODAC, other Domestic Agencies' components Transportation and Engineering activities. Technical Support Activities also includes Project Management (Quality Assurance and Quality Control inspectors for manufacturing activities), System Engineering, Nuclear Safety, CE Marking Assessment, PM tools, Planning, Risk and Cost), Office of the Chief Engineer, Procurement and Commercial support services activities as well provisions for processes definition and documentation management support, policy insurance and ICT, logistic, missions, and legal support to operational activities. F4E also plans renewal of existing and new Framework contracts. In addition, amendments, indexation and release of options of existing contracts in all areas will need to take place. Quality control inspection services will be necessary for the majority of the areas through available framework contracts.

The Broader Approach (BA) activities in 2022 will be based on the Project Plans set by the BA Steering Committee:

•Satellite Tokamak Programme (STP, or JT-60SA): The implementation of activities for the Operation/Enhancement phase of the project will continue. These activities include the procurement of critical spare parts and engineering services for EU already supplied systems and components, and selected machine enhancements and diagnostics in collaboration with EUROfusion (including maintenance and assistance to on-site assembly and commissioning). Critical contracts for fabrication of the JT-60SA Actively Cooled Divertor will also be launched in 2022. The activities under the responsibility of F4E are carried out through grants, task orders of existing/new framework contracts or existing/new supply and service contracts. F4E will be continuously supported by experts, and on-site health and safety services to ensure safe operations, funded respectively by F4E through expert contracts and specific contracts.

•IFMIF/EVEDA: In 2022 the LIPAc (Linear IFMIF Prototype Accelerator) operation at Rokkasho will focus on demonstrating the expected performances of the accelerator and on the availability of the subsystems required for beam operations towards high duty cycle. Additional contracts will have to be placed for demonstrating the operation and for optimizing the maintainability of the accelerator and subsequently the beam availability. Activities for the preparation of the LIPAc accelerator in its final configuration for the forthcoming operation phases will continue in 2022. F4E will be continuously supported by experts, and on-site health and safety services to ensure safe operations, funded respectively by F4E through expert contracts and specific contracts.

•IFERC: The IFERC project comprises three activities, CSC (Computer Simulation Centre), REC (Remote experimentation Centre and DEMO design and R&D): CSC objective is to provide high power computer (HPC) resources for JA and EU scientists in order to advance simulation studies for ITER, JT-60SA and fusion reactors in general (e.g. DEMO). CSC will foster collaboration research projects between JA and EU by sharing computer resources and by further jointly developing state-of-the-art models. REC activities focus on the implementation of the remote collaboration tools agreed with JT-60SA, ITER, and the IFMIF-EVEDA LIPAc accelerator. The collaboration under the ITER BA agreement will continue to advance test technologies for remote experiments and data transfer, including remote CODAC application testing, remote data access, live data viewing for ITER, fast data transfer, and secure remote connection. In the DEMO design activities, priority will be given to activities directly relevant for ITER and JT-60SA exploitation, such as plasma scenario development, divertor and power exhaust, breeding blanket and tritium extraction and removal. The objective of activities in fusion materials R&D will be to continue to support ITER in issues related to Tritium retention in first wall materials, and to contribute to the materials database for future reactors such as DEMO, which will be in part validated in a future IFMIF type installation. All activities will be performed in collaboration with EUROfusion.

Other Operational Expenditure: Reallocation of the costs for extra 750 m² (three office modules for external experts) from Title 2 to Title 3 (chapter “Other expenditure”) as from budget 2020.

4.2.4 Title 4

F4E created this title as from 2021 to host all the appropriations accruing from third parties to specific item of expenditure (coming from ITER Host State, France; ITER Organisation and other Domestic Agencies). The chapter for ITER Host State complements the chapter from Title 3 for ITER construction including the site preparation. The chapter for IO Reserve Fund and Undistributed budget is an estimation of the tasks implemented by F4E based on revenue from ITER Organisation, in respect of goods, services or work supplied at their request, including actions originating from the IO Reserve Fund and from IO Undistributed budget. The figure for payments in 2021 has been updated according to the recent F4E forecast and decreased by EUR 4.8 million, from EUR 29.8 million to EUR 25 million compared to the Commission Proposal from June 2020.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

#	Building Name and type	Location	SURFACE AREA(in m ²)			RENTAL CONTRACT			Host country (grant or support)	Building present value(€)
			Office space	non-office	Total	RENT (€/year)	Duration	Type		
1	Torre Diagonal Litoral B3, Josep Pla 2	Barcelona	9 000		9 000		until 2042	long-term rental lease agreement	Rent paid by Host country Spain	
2	Torre Diagonal Litoral B3, three additional modules	Josep Pla 2, Barcelona		750	750	220 000	until 2022 or 2024, depending on the floors	short-term lease agreement	rent paid by F4E directly to the building's owner	
TOTAL			9 000	750	9 750	220 000				

5.1.2 Current building(s) Other comments

According to the 2007 Host agreement with the Kingdom of Spain (Host State), the F4E seat is set in Barcelona. Spain provides the premises free of charge. A long-term agreement was concluded in May 2016 until 2042, between Spain, the building’s owner and F4E. Spain together with the building’s owner offered to cover the refurbishment works of ca. EUR 1.5 million for an extension of approximately 1 000 m² in the same building for offices/meeting rooms/main reception and a cafeteria. The works of the 12th floor are expected to start in 2021. F4E shall cover the annual expenditure for the additional office space and the operation of the cafeteria. F4E covers the costs for three additional office modules (750 m²) to accommodate the external experts from services contracts working on F4E site as the office area made available via the 2007 Host agreement was not sufficient to host them. F4E launched an official request to the Spanish Authorities to cover these new expenses. A working group including F4E and Spanish Authorities was created during the second semester of 2020 to discuss the refurbishment of the remaining floor and the rental cost of the additional modules. Discussions are delayed due to the Covid-19 crisis and a final decision is expected during 2021.

5.1.3 Building projects in the planning phase

N/A

5.1.4 Building projects submitted to the European Parliament and the Council

N/A

5.2 Evaluation

In accordance with Article 5c introduced with the last amendment 281 (2021) to the Council Decision 2007/198 laying down the EURATOM financing for ITER under the MFF period 2021-2027, the Commission shall carry out regularly evaluations about the implementation of the Decision, in particular an interim evaluation not later than 2024 and a final evaluation no later than four years after the end of the period 2021-2027. The Commission shall communicate the conclusions of the evaluations accompanied by its observations, to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions.

The last evaluation report on the European contribution to ITER through F4E during the period 2014-2017 was published in 2019: <https://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2019:0147:FIN:EN:PDF>

5.3 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Privileges provided by the Host State and concluded in the seat agreement: - VAT exemptions; - Building free of charge	- Diplomatic status only for the Director and the person appointed to replace him in his absence; - The PPI applies to all staff; - VAT reimbursements during the first year on goods and furniture; - Purchase of one motor vehicle without taxes; - Exemption of import tax registration for vehicles (if done through the Spanish Ministry of Foreign Affairs);	No privileges granted regarding education/day care

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