



EUROPEAN COMMISSION
DIRECTORATE-GENERAL FOR BUDGET

The Director-General
Authorising officer by delegation

Brussels, 31 October 2023

Application for Membership to the European Union Primary Dealer Network

ADMISSION DECISION

Having regard to the Treaty on the Functioning of the European Union,

Having regard to the Treaty establishing the European Atomic Energy Community,

Having regard to Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union¹ (hereinafter 'Financial Regulation'),

Having regard to Commission Implementing Decision (EU) 2023/1602 of 31 July 2023 on the primary dealer network and the definition of eligibility criteria for lead and co-lead mandates for syndicated transactions for the purposes of the borrowing activities by the Commission on behalf of the Union and of the European Atomic Energy Community², and in particular Article 15(1) thereof,

Whereas:

- (1) UBS EUROPE SE has submitted an application for membership to the European Union primary dealer network in response to the call for applications.
- (2) Following examination of the submitted application form, documents and information, UBS EUROPE SE was found to fulfil the eligibility requirements under Article 4 of Commission Implementing Decision (EU, Euratom) 2023/1602. It should therefore be admitted as member of the European Union primary dealer network.
- (3) Following adoption of this decision, UBS EUROPE SE will be contacted in order to obtain updated information to determine its standing with respect to Articles 135 to 144 of the Financial Regulation governing situations of exclusion from European public procurement contracts. Given the application of Article 17(2) of Decision (EU, Euratom) 2023/1602, a declaration of honour and supporting documentation will be requested.

I HAVE DECIDED AS FOLLOWS:

¹ OJ L 193, 30.7.2018, p. 1.

² OJ L 131, 16.4.2021, p. 170.

Article 1

UBS EUROPE SE shall be admitted as member of the European Union primary dealer network.

Article 2

This decision is addressed to:

UBS EUROPE SE – Bockenheimer Landstraße 2-4, 60306 Frankfurt am Main, Germany.

Electronically signed

Stéphanie RISO
Directorate General for Budget
Authorising Officer by delegation