

Annex 3 Financial Reports - DG CNECT - Financial Year 2015

Table 1 : Commitments

Table 2 : Payments

Table 3 : Commitments to be settled

Table 4 : Balance Sheet

Table 5 : Statement of Financial Performance

Table 6 : Average Payment Times

Table 7 : Income

Table 8 : Recovery of undue Payments

Table 9 : Ageing Balance of Recovery Orders

Table 10 : Waivers of Recovery Orders

Table 11 : Negotiated Procedures (excluding Building Contracts)

Table 12 : Summary of Procedures (excluding Building Contracts)

Table 13 : Building Contracts

Table 14 : Contracts declared Secret

Additional comments

1. Remarks Table 1 and Table 2 :

Should credits not expiring on 31/12/2015 (fund source C4 and R0) be excluded from the reports, the budget implementation percentages of DG CONNECT would be the following :

09.01 -> Execution without assigned revenue included is 99.99% for commitments

09.02 -> 99,86% for commitments and 98,52% for payments

09.03 -> 100% for commitments and 96,67% for payments

09.04 -> 100% for commitments and 99,97%for payments

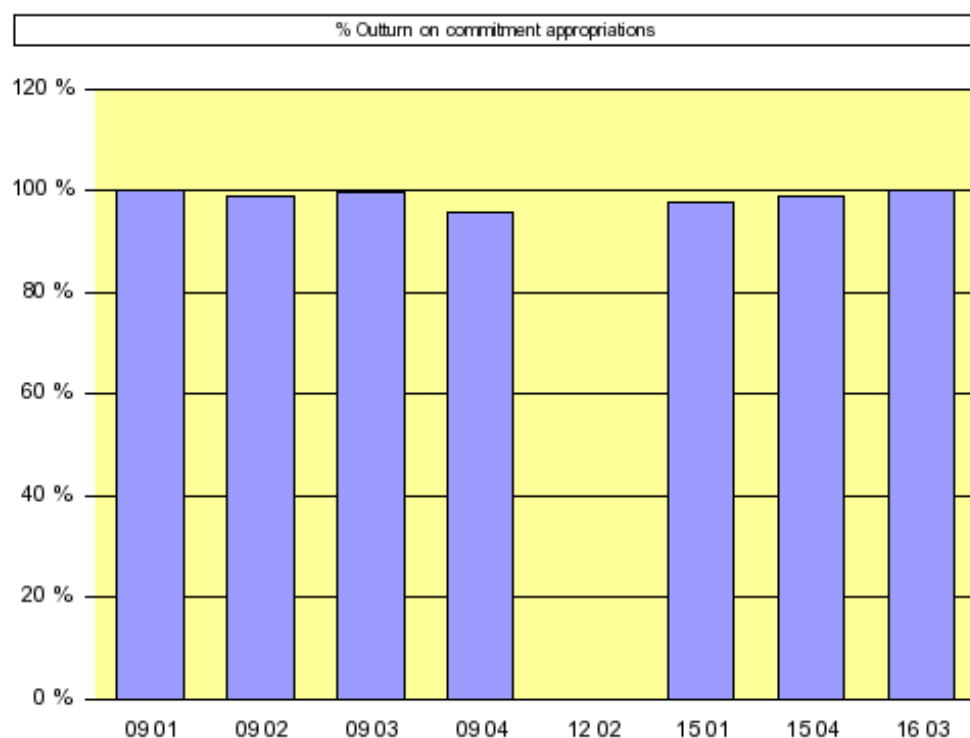
16.03 -> 100% for both commitments and payments

2. Remarks Table 4 and Table 5:

Please note that the Cut-off methodology of DG CONNECT has been updated in 2015.

| TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2015 (in Mio €) | | | | | |
|--|-------|---|--------------------------------------|------------------|----------|
| | | | Commitment appropriations authorised | Commitments made | % |
| | | | 1 | 2 | 3=2/1 |
| Title 09 Communications networks, content and technology | | | | | |
| 09 | 09 01 | Administrative expenditure of the 'Communications networks, content and technology' policy area | 69,71343587 | 69,6572413 | 99,92 % |
| | 09 02 | Regulatory framework for the Digital Agenda | 19,42870852 | 19,17597027 | 98,70 % |
| | 09 03 | Connecting Europe Facility (CEF) - Telecommunication networks | 47,76635809 | 47,54550232 | 99,54 % |
| | 09 04 | Horizon 2020 | 1421,955195 | 1359,731698 | 95,62 % |
| Total Title 09 | | | 1558,863697 | 1496,110412 | 95,97% |
| Title 15 Education and culture | | | | | |
| 15 | 15 01 | Administrative expenditure of the 'Education and culture' policy area | 10,27163595 | 10,0514806 | 97,86 % |
| | 15 04 | Creative Europe | 4,71139612 | 4,6592188 | 98,89 % |
| Total Title 15 | | | 14,98303207 | 14,7106994 | 98,18% |
| Title 16 Communication | | | | | |
| 16 | 16 03 | Communication actions | 18,55073366 | 18,55 | 100,00 % |
| Total Title 16 | | | 18,55073366 | 18,55 | 100,00% |
| Total DG CNECT | | | 1592,397463 | 1529,371111 | 96,04 % |

* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g. internal



| TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2015 (in Mio €) | | | | | |
|---|-------|---|-------------------------------------|---------------|----------|
| Chapter | | | Payment appropriations authorised * | Payments made | % |
| | | | 1 | 2 | 3=2/1 |
| Title 09 Communications networks, content and technology | | | | | |
| 09 | 09 01 | Administrative expenditure of the 'Communications networks, content and technology' policy area | 79,88242777 | 70,41263066 | 88,15 % |
| | 09 02 | Regulatory framework for the Digital Agenda | 18,3602646 | 17,86665286 | 97,31 % |
| | 09 03 | Connecting Europe Facility (CEF) - Telecommunication networks | 18,52051804 | 17,68033385 | 95,46 % |
| | 09 04 | Horizon 2020 | 1698,924955 | 1571,889242 | 92,52 % |
| Total Title 09 | | | 1815,688165 | 1677,848859 | 92,41% |
| Title 12 Internal market and services | | | | | |
| 12 | 12 02 | A single market policy and free movement of services | 0,26985277 | 0,26985277 | 100,00 % |
| Total Title 12 | | | 0,26985277 | 0,26985277 | 100,00% |
| Title 15 Education and culture | | | | | |
| 15 | 15 01 | Administrative expenditure of the 'Education and culture' policy area | 10,64648014 | 9,53920995 | 89,60 % |
| | 15 04 | Creative Europe | 1,73052239 | 1,67912627 | 97,03 % |
| Total Title 15 | | | 12,37700253 | 11,21833622 | 90,64% |
| Title 16 Communication | | | | | |
| 16 | 16 03 | Communication actions | 26,883061 | 26,883061 | 100,00 % |
| Total Title 16 | | | 26,883061 | 26,883061 | 100,00% |
| Total DG CNECT | | | 1855,218082 | 1716,220109 | 92,51 % |

* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

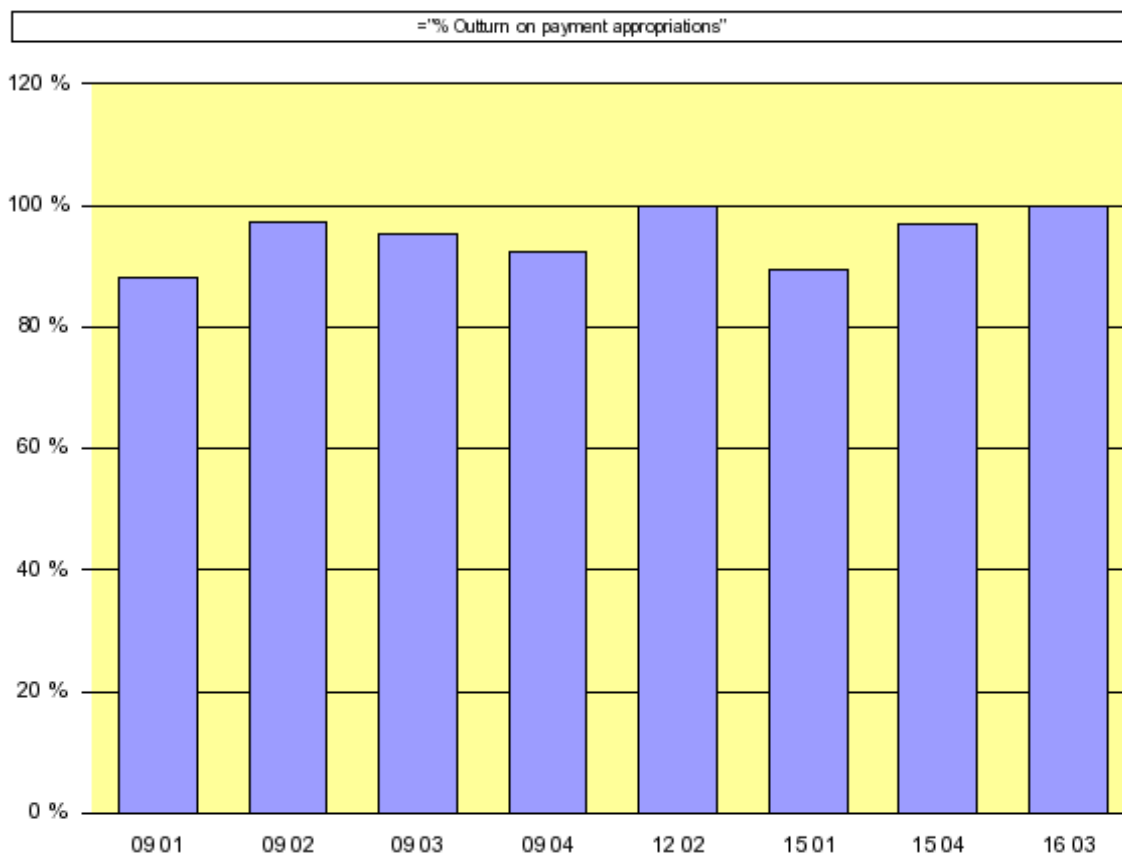


TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2015 (in Mio €)

| Chapter | | | 2015 Commitments to be settled | | | | Commitments to be settled from financial years previous to 2015 | Total of commitments to be settled at end of financial year 2015(incl corrections) | Total of commitments to be settled at end of financial year 2014(incl. corrections) |
|---|-------|---|--------------------------------|---------------|--------------------|-----------------|---|--|---|
| | | | Commitments 2015 | Payments 2015 | RAL 2015 | % to be settled | | | |
| | | | 1 | 2 | 3=1-2 | 4=1-2/1 | 5 | 6=3+5 | 7 |
| Title 09 : Communications networks, content and technology | | | | | | | | | |
| 09 | 09 01 | Administrative expenditure of the 'Communications networks, content and technology' policy area | 69,64613838 | 60,84 | 8,80197556 | 12,64 % | 0,00 | 8,80 | 10,17 |
| | 09 02 | Regulatory framework for the Digital Agenda | 19,17597027 | 14,55 | 4,62409128 | 24,11 % | 3,52 | 8,14 | 7,10 |
| | 09 03 | Connecting Europe Facility (CEF) - Telecommunication networks | 47,54550232 | 0,39 | 47,15168593 | 99,17 % | 53,77 | 100,92 | 71,91 |
| | 09 04 | Horizon 2020 | 1359,731698 | 410,65 | 949,079637 | 69,80 % | 1.791,81 | 2.740,89 | 2982,92 |
| Total Title 09 | | | 1496,099309 | 486,44 | 1009,65739 | 67,49% | 1849,09442 | 2858,75181 | 3072,087968 |
| Title 12 : Internal market and services | | | | | | | | | |
| 12 | 12 02 | A single market policy and free movement of services | 0 | 0,00 | 0 | #DIV/0 | 0,40 | 0,40 | 0,67 |
| Total Title 12 | | | 0 | 0,00 | 0 | #DIV/0 | 0,40109752 | 0,40109752 | 0,67095029 |
| Title 15 : Education and culture | | | | | | | | | |
| 15 | 15 01 | Administrative expenditure of the 'Education and culture' policy area | 10,0514806 | 9,17 | 0,88173073 | 8,77 % | 0,00 | 0,88 | 0,37 |
| | 15 04 | Creative Europe | 4,6592188 | 0,63 | 4,02691727 | 86,43 % | 1,25 | 5,27 | 2,30 |
| Total Title 15 | | | 14,7106994 | 9,80 | 4,908648 | 33,37% | 1,24642626 | 6,15507426 | 2,67124919 |
| Title 16 : Communication | | | | | | | | | |
| 16 | 16 03 | Communication actions | 18,55 | 0,09 | 18,46 | 99,51 % | 6,32 | 24,78 | 34,63 |
| Total Title 16 | | | 18,55 | 0,09 | 18,46 | 99,51% | 6,32247953 | 24,78247953 | 34,62637921 |
| Total DG CNECT | | | 1529,360008 | 496,33 | 1033,026038 | 67,55 % | 1857,064424 | 2890,090461 | 3110,056546 |

="Breakdown of Commitments remaining to be settled (in Mio EUR)"

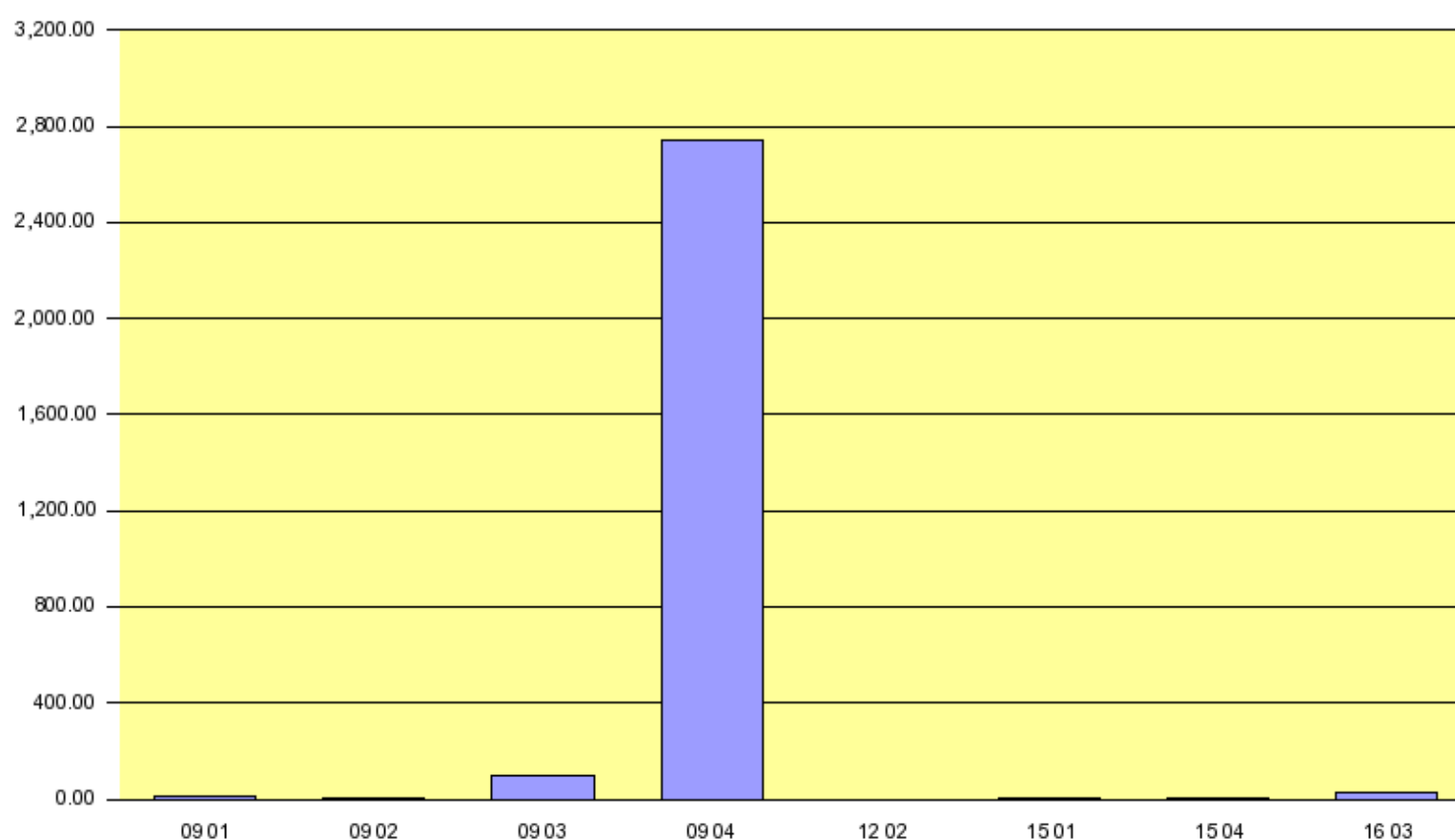


TABLE 4 : BALANCE SHEET

| BALANCE SHEET | 2015 | 2014 |
|---|-------------------------|-------------------------|
| A.I. NON CURRENT ASSETS | 194.667.137,10 | 211.754.759,91 |
| A.I.1. Intangible Assets | 5.360.322,99 | 6.253.710,15 |
| A.I.3. Invstmnts Acctnd For Using Equity Meth | - | - |
| A.I.4. Non-Current Financial Assets | 17.260.350,00 | 17.487.584,00 |
| A.I.5. LT Receivables | 0,31 | 1.110.447,69 |
| A.I.6. Non-Current Pre-Financing | 172.046.464,42 | 186.903.018,07 |
| A.I.7. OLD LT Pre-Financing | - | - |
| A.II. CURRENT ASSETS | 528.913.610,81 | 412.199.297,45 |
| A.II.2. Current Pre-Financing | 483.068.627,26 | 364.791.304,04 |
| A.II.3. Current Financial Assets | 116.908,46 | 117.293,46 |
| A.II.4. Exchange Receivables | 35.071.576,15 | 37.542.631,04 |
| A.II.5. Non-Exchange Receivables | 8.866.300,94 | 8.185.157,91 |
| A.II.7. Cash and Cash Equivalents | 1.790.198,00 | 1.562.911,00 |
| ASSETS | 723.580.747,91 | 623.954.057,36 |
| P.I. NET ASSETS/LIABILITIES | - 151.852,00 | - 26.071,00 |
| P.I.1. Reserves | 151.852,00 | 26.071,00 |
| P.III. CURRENT LIABILITIES | - 221.231.706,29 | - 180.432.302,50 |
| P.III.3. Short-term financial liabilities | - | - |
| P.III.4. Accounts Payable | 75.795.971,76 | 69.133.871,81 |
| P.III.5. Accrued charges and deferred income | 145.435.734,53 | 111.298.430,69 |
| LIABILITIES | - 221.383.558,29 | - 180.458.373,50 |
| | | |
| NET ASSETS (ASSETS less LIABILITIES) | 502.197.189,62 | 443.495.683,86 |
| | | |
| P.I.2. Accumulated Surplus / Deficit | 3.147.779.174,91 | 1.421.182.567,32 |
| | | |
| Non-allocated central (surplus)/deficit* | - 3.649.976.364,53 | - 1.864.678.251,18 |
| | | |
| TOTAL | 0,00 | 0,00 |

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still

TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE

| STATEMENT OF FINANCIAL PERFORMANCE | 2015 | 2014 |
|--|-------------------------|-------------------------|
| II.1 REVENUES | - 12.761.039,53 | - 18.124.813,51 |
| II.1.1. NON-EXCHANGE REVENUES | - 13.790.911,13 | - 16.349.571,70 |
| II.1.1.4. FINES | - 580.000,00 | - 3.000.000,00 |
| II.1.1.5. RECOVERY OF EXPENSES | - 12.759.117,04 | - 12.831.574,97 |
| II.1.1.6. OTHER NON-EXCHANGE REVENUES | - 451.794,09 | - 517.996,73 |
| II.1.2. EXCHANGE REVENUES | 1.029.871,60 | - 1.775.241,81 |
| II.1.2.1. FINANCIAL INCOME | - 316.236,51 | - 218.437,56 |
| II.1.2.2. OTHER EXCHANGE REVENUE | 1.346.108,11 | - 1.556.804,25 |
| II.2. EXPENSES | 1.572.303.952,49 | 1.744.721.421,10 |
| II.2. EXPENSES | 1.572.303.952,49 | 1.744.721.421,10 |
| II.2.10. OTHER EXPENSES | 21.025.180,92 | 16.461.293,66 |
| II.2.2. EXP IMPL BY COMMISS&EX.AGENC. (DM) | 1.372.171.863,61 | 1.639.067.309,18 |
| II.2.3. EXP IMPL BY OTH EU AGENC&BODIES (IM) | 13.118.684,26 | 13.129.660,97 |
| II.2.5. EXP IMPL BY OTHER ENTITIES (IM) | 21.304.050,58 | 1.024.222,84 |
| II.2.6. STAFF AND PENSION COSTS | - | 105.005,93 |
| II.2.8. FINANCE COSTS | 41.847,92 | 439.690,38 |
| II.2.9. SHARE NET DEFICIT JOINT VENT & ASSOC | 144.642.325,20 | 74.704.250,00 |
| STATEMENT OF FINANCIAL PERFORMANCE | 1.559.542.912,96 | 1.726.596.607,59 |

Remarks Table 4 and Table 5:

Please note that the Cut-off methodology of DG CONNECT has been updated in 2015.

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 6: AVERAGE PAYMENT TIMES FOR 2015 - DG CNECT

| Legal Times | | | | | | | |
|-----------------------------|--------------------------|-----------------------------------|------------|------------------------------|----------------------|------------|------------------------------|
| Maximum Payment Time (Days) | Total Number of Payments | Nbr of Payments within Time Limit | Percentage | Average Payment Times (Days) | Nbr of Late Payments | Percentage | Average Payment Times (Days) |
| 20 | 4 | 4 | 100,00 % | 17,5 | | | |
| 30 | 5630 | 5198 | 92,33 % | 15,78491728 | 432 | 7,67 % | 47,61111111 |
| 45 | 50 | 47 | 94,00 % | 28,0212766 | 3 | 6,00 % | 95 |
| 50 | 5 | 5 | 100,00 % | 27,6 | | | |
| 60 | 137 | 135 | 98,54 % | 28,37777778 | 2 | 1,46 % | 233,5 |
| 90 | 1243 | 1209 | 97,26 % | 61,11414392 | 34 | 2,74 % | 120,6764706 |
| 105 | 3 | 3 | 100,00 % | 76,33333333 | | | |
| 120 | 15 | 15 | 100,00 % | 27,33333333 | | | |
| 245 | 3 | 3 | 100,00 % | 151 | | | |

| | | | | | | | |
|---------------------------------|--------------------|-------------|----------------|--------------------|------------|---------------|--------------------|
| Total Number of Payments | 7090 | 6619 | 93,36 % | | 471 | 6,64 % | |
| Average Payment Time | 26,48913963 | | | 24,53316211 | | | 53,97664544 |

| Target Times | | | | | | | |
|----------------------------|--------------------------|------------------------------------|------------|------------------------------|----------------------|------------|------------------------------|
| Target Payment Time (Days) | Total Number of Payments | Nbr of Payments within Target Time | Percentage | Average Payment Times (Days) | Nbr of Late Payments | Percentage | Average Payment Times (Days) |
| 20 | 438 | 314 | 71,69 % | 7,847133758 | 124 | 28,31 % | 28,22580645 |
| 30 | 265 | 219 | 82,64 % | 16,05022831 | 46 | 17,36 % | 56,54347826 |
| 90 | 3 | 3 | 100,00 % | 76,33333333 | | | |

| | | | | | | | |
|---------------------------------|--------------------|------------|----------------|--------------------|------------|----------------|--------------------|
| Total Number of Payments | 706 | 536 | 75,92 % | | 170 | 24,08 % | |
| Average Payment Time | 17,43484419 | | | 11,58208955 | | | 35,88823529 |

| Suspensions | | | | | | | |
|------------------------------------|---------------------------------|------------------------------|-------------------|--------------------------|------------------------------|-------------------|-------------------|
| Average Report Approval Suspension | Average Payment Suspension Days | Number of Suspended Payments | % of Total Number | Total Number of Payments | Amount of Suspended Payments | % of Total Amount | Total Paid Amount |
| 0 | 39 | 2817 | 39,73 % | 7090 | 716.116.929,20 | 46,32 % | 1.545.909.433,34 |

| Late Interest paid in 2015 | | | |
|----------------------------|------------|--|-------------------|
| DG | GL Account | Description | Amount (Eur) |
| CNECT | 65010100 | Interest on late payment of charges New FR | 171 035,46 |
| | | | 171 035,46 |

| TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2015 | | | | | | | | |
|---|--|-------------------------------|----------------------|----------------------|--------------------------------|---------------------|----------------------|----------------------|
| Chapter | | Revenue and income recognized | | | Revenue and income cashed from | | | Outstanding balance |
| | | Current year RO | Carried over RO | Total | Current Year RO | Carried over RO | Total | |
| | | 1 | 2 | 3=1+2 | 4 | 5 | 6=4+5 | |
| 52 | REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST | 129.926,13 | 17.322,93 | 147.249,06 | 129.926,13 | 17.322,93 | 147.249,06 | - |
| 57 | OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION | 27.593,18 | 1.657,50 | 29.250,68 | 27.593,18 | - | 27.593,18 | 1.657,50 |
| 66 | OTHER CONTRIBUTIONS AND REFUNDS | 10.676.412,10 | 37.360.025,29 | 48.036.437,39 | 7.620.515,04 | 8.620.972,82 | 16.241.487,86 | 31.794.949,53 |
| 71 | FINES | 580.000,00 | - | 580.000,00 | - | - | - | 580.000,00 |
| 90 | MISCELLANEOUS REVENUE | 432.699,36 | 1.907.431,60 | 2.340.130,96 | 235.888,77 | 396.232,25 | 632.121,02 | 1.708.009,94 |
| Total DG CNECT | | 11.846.630,77 | 39.286.437,32 | 51.133.068,09 | 8.013.923,12 | 9.034.528,00 | 17.048.451,12 | 34.084.616,97 |

TABLE 8 : RECOVERY OF PAYMENTS
(Number of Recovery Contexts and corresponding Transaction Amount)

| INCOME BUDGET RECOVERY ORDERS ISSUED IN 2015 | Error | | Irregularity | | Total undue payments recovered | | Total transactions in recovery context(incl. non-qualified) | | % Qualified/Total RC | |
|--|--------------------------------|-----------------|--------------|---------------------|-----------------------------------|---------------------|--|----------------------|----------------------|---------------|
| | Year of Origin (commitment) | Nbr | RO Amount | Nbr | RO Amount | Nbr | RO Amount | Nbr | RO Amount | Nbr |
| 2004 | | | 2 | 7.375,89 | 2 | 7.375,89 | 3 | 9.854,43 | 66,67% | 74,85% |
| 2005 | | | 18 | 1.631.518,16 | 18 | 1.631.518,16 | 20 | 1.634.615,16 | 90,00% | 99,81% |
| 2006 | | | 13 | 518.781,26 | 13 | 518.781,26 | 16 | 536.838,84 | 81,25% | 96,64% |
| 2007 | | | 52 | 2.375.879,97 | 52 | 2.375.879,97 | 74 | 2.936.556,27 | 70,27% | 80,91% |
| 2008 | | | 50 | 1.227.175,32 | 50 | 1.227.175,32 | 56 | 1.316.494,98 | 89,29% | 93,22% |
| 2009 | | | 29 | 747.453,03 | 29 | 747.453,03 | 32 | 760.653,87 | 90,63% | 98,26% |
| 2010 | | | 38 | 609.088,29 | 38 | 609.088,29 | 63 | 1.852.722,37 | 60,32% | 32,88% |
| 2011 | | | 18 | 953.700,68 | 18 | 953.700,68 | 31 | 1.515.634,82 | 58,06% | 62,92% |
| 2012 | | | 2 | 21.082,00 | 2 | 21.082,00 | 8 | 365.777,45 | 25,00% | 5,76% |
| 2013 | | | | | | | 2 | 137.991,82 | | |
| 2014 | 1 | 900,00 | | | 1 | 900,00 | 8 | 1.314.023,39 | 12,50% | 0,07% |
| 2015 | 1 | 1.863,13 | | | 1 | 1.863,13 | 1 | 1.863,13 | 100,00% | 100,00% |
| No Link | | | 5 | 170.484,62 | 5 | 170.484,62 | 7 | 827.437,62 | 71,43% | 20,60% |
| Sub-Total | 2 | 2.763,13 | 227 | 8.262.539,22 | 229 | 8.265.302,35 | 321 | 13.210.464,15 | 71,34% | 62,57% |

| EXPENSES BUDGET | Error | | Irregularity | | OLAF Notified | | Total undue payments recovered | | Total transactions in recovery context(incl. non- qualified) | | % Qualified/Total RC | |
|--------------------------------|------------|---------------------|--------------|---------------------|---------------|--------|-----------------------------------|---------------------|--|----------------------|----------------------|---------------|
| | Nbr | Amount | Nbr | Amount | Nbr | Amount | Nbr | Amount | Nbr | Amount | Nbr | Amount |
| INCOME LINES IN INVOICES | | | 47 | 1.597.399,92 | | | 47 | 1.597.399,92 | 47 | 1.597.399,92 | 100,00% | 100,00% |
| NON ELIGIBLE IN COST CLAIMS | 75 | 2.781.293,76 | 56 | 4.374.405,98 | | | 131 | 7.155.699,74 | 702 | 56.602.977,26 | 18,66% | 12,64% |
| CREDIT NOTES | 28 | 228.856,65 | 1 | 503,63 | | | 29 | 229.360,28 | 41 | 429.962,78 | 70,73% | 53,34% |
| Sub-Total | 103 | 3.010.150,41 | 104 | 5.972.309,53 | | | 207 | 8.982.459,94 | 790 | 58.630.339,96 | 26,20% | 15,32% |

| | | | | | | | | | | | | |
|--------------------|------------|---------------------|------------|----------------------|--|--|------------|----------------------|-------------|----------------------|---------------|---------------|
| GRAND TOTAL | 105 | 3.012.913,54 | 331 | 14.234.848,75 | | | 436 | 17.247.762,29 | 1111 | 71.840.804,11 | 39,24% | 13,09% |
|--------------------|------------|---------------------|------------|----------------------|--|--|------------|----------------------|-------------|----------------------|---------------|---------------|

TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2015 FOR CNECT

| | Number at 01/01/2015 | Number at 31/12/2015 | Evolution | Open Amount (Eur) at 01/01/2015 | Open Amount (Eur) at 31/12/2015 | Evolution |
|------|-------------------------|-------------------------|-----------|------------------------------------|------------------------------------|-----------|
| 1999 | 2 | 1 | -50,00 % | 381.350,00 | 180.250,00 | -52,73 % |
| 2002 | 2 | 2 | 0,00 % | 737.418,19 | 737.418,19 | 0,00 % |
| 2003 | 1 | 1 | 0,00 % | 350.703,53 | 350.703,53 | 0,00 % |
| 2004 | 4 | 4 | 0,00 % | 459.123,69 | 459.123,69 | 0,00 % |
| 2005 | 2 | 2 | 0,00 % | 24.677,04 | 24.677,04 | 0,00 % |
| 2006 | 5 | 5 | 0,00 % | 504.054,93 | 504.054,93 | 0,00 % |
| 2007 | 2 | 1 | -50,00 % | 164.000,37 | 57.116,87 | -65,17 % |
| 2008 | 11 | 11 | 0,00 % | 1.666.296,61 | 1.661.042,66 | -0,32 % |
| 2009 | 29 | 28 | -3,45 % | 4.214.238,77 | 4.271.466,09 | 1,36 % |
| 2010 | 34 | 34 | 0,00 % | 3.707.909,09 | 3.707.909,09 | 0,00 % |
| 2011 | 63 | 63 | 0,00 % | 8.222.812,07 | 8.222.812,07 | 0,00 % |
| 2012 | 46 | 39 | -15,22 % | 2.775.378,07 | 2.160.595,69 | -22,15 % |
| 2013 | 57 | 36 | -36,84 % | 4.492.395,46 | 2.290.543,93 | -49,01 % |
| 2014 | 101 | 47 | -53,47 % | 11.586.079,50 | 5.624.195,54 | -51,46 % |
| 2015 | | 63 | | | 3.832.707,65 | |
| | 359 | 337 | -6,13 % | 39.286.437,32 | 34.084.616,97 | -13,24 % |

TABLE 10 : RECOVERY ORDER WAIVERS IN 2015 >= EUR 100.000

| | Waiver Central Key | Linked RO Central Key | RO Accepted Amount (Eur) | LE Account Group | Commission Decision | Comments |
|---|--------------------|-----------------------|--------------------------|-------------------|---------------------|----------|
| 1 | 3233150105 | 3241201547 | -402.671,24 | Private Companies | | |
| 2 | 3233150122 | 3241403657 | -121.329,00 | Private Companies | | |
| 3 | 3233150138 | 3241501079 | -461.484,95 | Private Companies | | |

| | |
|-----------------|--------------------|
| Total DG | -985.485,19 |
|-----------------|--------------------|

| | |
|-----------------------------|----------|
| Number of RO waivers | 3 |
|-----------------------------|----------|

Justifications:

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| |
|---|
| TABLE 11 : CENSUS OF NEGOTIATED PROCEDURES - DG CNECT - 2015 |
|---|

| |
|------------------------------------|
| Procurement > EUR 60,000 |
|------------------------------------|

| Negotiated Procedure Legal base | Number of Procedures | Amount (€) |
|--|-----------------------------|---------------------|
| Art. 134.1(a) | 1 | 3.000.000,00 |
| Total | 1, | 3.000.000,00 |

TABLE 12 : SUMMARY OF PROCEDURES OF DG CNECT EXCLUDING BUILDING CONTRACTS

| Internal Procedures > € 60,000 | | |
|--|--------------|----------------------|
| Procedure Type | Count | Amount (€) |
| Exceptional Negotiated Procedure without publication of a contract notice (Art. 134 RAP) | 1 | 3.000.000,00 |
| Open Procedure (Art. 122.2 IR) | 1 | 352.750,00 |
| Open Procedure (Art. 127.2 RAP) | 18 | 17.146.574,25 |
| TOTAL | 20 | 20.499.324,25 |

Additional comments

| |
|--|
| |
|--|

TABLE 13 : BUILDING CONTRACTS

| | |
|-----------------------------|--|
| Total number of contracts : | |
| Total amount : | |

| Legal base | Contract Number | Contractor Name | Description | Amount (€) |
|------------|-----------------|-----------------|-------------|------------|
| | | | | |

No data to be reported

TABLE 14 : CONTRACTS DECLARED SECRET

Total Number of Contracts :

Total amount :

| Legal base | Contract Number | Contractor Name | Type of contract | Description | Amount (€) |
|------------|-----------------|-----------------|------------------|-------------|------------|
| | | | | | |

No data to be reported

| Reference No of Annex 4 MP2015 | Title | Reason ¹ | Scope ² | Type of evaluation or other study | | |
|--|--|---------------------|---|-----------------------------------|---------------------|-------------------|
| | | | | Focus ³ | Author ⁴ | Type ⁵ |
| I. Evaluations finalised or cancelled in 2015 | | | | | | |
| a. evaluations finalised in 2015 | (2nd) Interim Assessment of the Future Internet Public-Private Partnership | L | The Second Interim Evaluation assesses the progress made within the Future Internet Public Private Partnership (FI-PPP) since 2012. The report covers the evolution from a research programme, FI-PPP, to an open platform and brand, FIWARE, that is aiming to be the basis of an innovation ecosystem. It addresses, in particular, the novel implementation measures being used under Phase 3 and the prospects for sustainability beyond the end of the programme in 2016 | R, P | I | E |
| b. Evaluations cancelled in 2015 | | | | | | |
| | | | | | | |

II. Other studies finalised or cancelled in 2015

| | | | | | | |
|------------------------------------|---|-----|--|------|---|---|
| a. other studies finalised in 2015 | High Performance Computing in the EU: progress on the implementation of the European HPC Strategy - SMART 2014/0021 - IAV | CWP | The study assesses progress against the Action Plan in the EC Communication, High Performance Computing (HPC): Europe's Place in a Global Race (February 2012) and provides recommendations regarding the strategy and its implementation. | R, P | M | R |
| b. other studies cancelled in 2015 | | | | | | |

| | | | | | |
|--|--|--|--|--|--|
| Implementation, monitoring and evaluation of measures under Directive 61/2014 to reduce the cost of high-speed broadband infrastructure deployment and facilitate cross sector cooperation on ICT infrastructure deployment - SMART 2015/0001 cancelled and re-launched with a new SMART number (2015/0066) | | | | | |
|--|--|--|--|--|--|

¹ L - legal act, LMFF - legal base of MFF instrument, FR - financial regulation, REFIT, CWP - 'evaluate first', O - other (please specify in Comments)

² specify what programme/regulatory measure/initiative/policy area etc. has been covered

³ P - prospective, R - retrospective, P/R - prospective and retrospective

⁴ E - external, I - internal, M - mixed (internal with external support)

⁵ FC – fitness check, E – expenditure programme/measure, R – regulatory measure (not recognised as a FC), C – communication activity, I – internal Commission activity, O – otl

| Associated DGs | Costs (EUR) | Comments | Reference | Cancelled |
|----------------|-------------|---|---|-----------|
| | | No SWD as the evaluation was completed before the Better Regulation package was adopted | http://bookshop.europa.eu/is-bin/INTERSHOP.enfinity/WFS/EU-Bookshop-Site/en_GB/-/EUR/ViewPublicationStart?PublicationKey=KK0115324 | |
| | | | | |
| | | | | |

| | | | | |
|--|---------|--|---|--|
| | 200.000 | | http://bookshop.europa.eu/en/high-performance-computing-in-the-eu-pbKK0415496/ | |
| | | | | |

| | | | | |
|--|--|--|--|--|
| | | | | |
|--|--|--|--|--|

her – please specify in the Comments

**AAR 2015 Year End
Sub-delegations given BY DG CNECT**

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|---|--------------------------|------------------|-----------------|-----------------------------|
| 09.04 | 09.040201 | CNECT/DIGIT | 29/4/2013 | illimited | Leadership in information and communications technology | appropriation authorised | 29/1/2016 | 1/2/2016 | F.4.+MM Ares(2016)491736 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|--|--------------------------|------------------|-----------------|------------------------|
| 09.01 | 09.010503 | CNECT/EMPL | 24/11/2015 | illimited | Other management expenditure for Research and Innovation programmes — Horizon 2020 | appropriation authorised | 5/2/2016 | 8/2/2016 | R3 Ares(2016)640599 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|---|--------------------------|------------------|-----------------|--------------------------------------|
| 09.04 | 09.040201 | CNECT/ESTAT | 12/12/2013 | illimited | Leadership in information and communications technology | appropriation authorised | 26/1/2016 | 26/1/2016 | DirF (LS+U01+MM) Ares(2016)407952 |
| 09.04 | 09.045301 | CNECT/ESTAT | 12/12/2013 | illimited | Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP) (2007 to 2013) | appropriation authorised | | | |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|---|--------------------------|------------------|-----------------|--------------------------------|
| 09.04 | 09.040201 | CNECT/GROW | 23/3/2015 | illimited | Leadership in information and communications technology | appropriation authorised | 8/1/2016 | 11/1/2016 | DirR4 (KE) Ares(2016)100614 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|---|---------|------------------|-----------------|--------------------------------|
| 09.04 | 09.040201 | CNECT/JUST | 6/8/2015 | illimited | Leadership in information and communications technology | 100.000 | 29/2/2016 | 29/2/2016 | F.1. + MM Ares(2016)1016432 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|--------------------------------------|-----------|--|--------------------------|------------------|-----------------|------------------------------------|
| 09.01 | 09.010503 | CNECT/OIB | 6/5/2013 confirmed on 16/12/14 | illimited | Other management expenditure for Research and Innovation programmes — Horizon 2020 | appropriation authorised | 1/2/2016 | 27/1/2016 | DirR2 (MCL+IC) Ares(2016)522470 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|---|--------------------------|------------------|-----------------|--|
| 09.04 | 09.045100 | CNECT/OP | 13/12/2013 | illimited | Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013) | appropriation authorised | 29/1/2016 | 1/2/2016 | DirF4 (LS)/DirD (DR)/U01 (MM+CV) Ares(2016)489282 |
| 09.04 | 09.045301 | CNECT/OP | 13/12/2013 | illimited | Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP) (2007 to 2013) | appropriation authorised | 29/1/2016 | 1/2/2016 | DirG5 (GK+MFD) Ares(2016)489398 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|--|--------------------------|------------------|-----------------|---|
| 09.01 | 09.010503 | CNECT/RTD | 14/4/2015 | illimited | Other management expenditure for Research and Innovation programmes — Horizon 2020 | appropriation authorised | 12/2/2016 | 15/2/2016 | F3 (MM+MM) + D3 (DR+CV) Ares(2016)761751 |
| 09.04 | 09.040302 | CNECT/RTD | 14/4/2015 | illimited | Fostering inclusive, innovative and reflective European societies | appropriation authorised | | | |
| 09.04 | 09.045100 | CNECT/RTD | 14/4/2015 | illimited | Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013) | appropriation authorised | | | |
| 09.04 | 09.040201 | CNECT/RTD | 14/4/2015 | illimited | Leadership in information and communications technology | appropriation authorised | | | |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|---|--------------------------|------------------|-----------------|---------------------------------------|
| 09.03 | 09.030300 | CNECT/SANTE | 7/7/2015 | illimited | Promoting interoper- ability, sustainable deployment, operation and upgrading of trans- European digital service infrastructures, as well as coordination at European level | appropriation authorised | 5/2/2016 | 8/2/2016 | A.4. + H1 (GC,CL) Ares(2016)638046 |

AAR 2015 Year-end
Sub-delegations given TO DG CNECT

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | DIR CNECT responsible |
|---------|-----------|-----------------|-------------------|-----------------------------------|---|---------|------------------|-----------------|------------------------|
| 16.01 | 16.010402 | COMM/CNECT | 27/3/2015 | COM=>31/12/15 PMT=>liquidation | Support expenditure for communication actions | 125.000 | 9/2/2016 | 26/1/2016 | G6 Ares(2016)699732 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | DIR CNECT responsible |
|---------|-----------|-----------------|-------------------|------------|--|--------------------------|------------------------|----------------------|---|
| 26.01 | 26.030101 | DIGIT/CNECT | 2/12/2015 | 31/12/2015 | Interoperability solutions for European public administrations, (ISA 2) | appropriation authorised | 28/1/2016 16/2/2016 | 1/2/2016 9/2/2016 | H3+H6 Ares(2016)468194 R3 Ares(2016)809692 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | DIR CNECT responsible |
|---------|-----------|-----------------|-------------------|-----------|---|--------------------------|------------------|-----------------|------------------------|
| 01.02 | 01.020100 | ECFIN/CNECT | 30/11/2015 | illimited | Coordination and surveillance of, and communication on, the economic and monetary union, including the euro | 400.000 CA 550.000 PA | 9/2/2016 | 1/2/2016 | G6 Ares(2016)699708 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | DIR CNECT responsible |
|---------|-----------|-----------------|-------------------|-----------|---|---------|------------------|-----------------|------------------------|
| 04.01 | 04.010401 | EMPL/CNECT | 10/3/2015 | illimited | Support expenditure for European Social Fund and non-operational technical assistance | 250.000 | 9/2/2016 | 1/2/2016 | G6 Ares(2016)694557 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | DIR CNECT responsible |
|---------|-----------|-----------------|-------------------|-----------|--|--------------------------|------------------|-----------------|---------------------------|
| 32.04 | 32.040301 | ENER/CNECT | 3/6/2014 | illimited | Social challenge: Making the transition to a reliable, sustainable and competitive energy system | appropriation authorised | 11/2/2016 | 2/2/2016 | H6+H5 Ares(2016)744319 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | DIR CNECT responsible |
|---------|-----------|-----------------|-------------------|-----------|--|--------------------------|------------------|-----------------|------------------------|
| 19.06 | 19.060100 | FPI/CNECT | 22/12/2014 | illimited | Information outreach on the Union's external relations | appropriation authorised | 9/2/2016 | 27/1/2016 | G6 Ares(2016)699723 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | DIR CNECT responsible |
|---------|-----------|-----------------|-------------------|-----------|--|---------|------------------|-----------------|------------------------|
| 12.02 | 12.020100 | GROW/CNECT | 29/1/2015 | illimited | Implementation and development of the single market for financial services | 200.000 | 25/1/2016 | 11/1/2016 | F4 Ares(2016)382493 |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|--|--------------------------|------------------|-----------------|------------------------|
| 13.03 | 13.036501 | REGIO/CNECT | 23/12/2014 | illimited | European Regional Development Fund (ERDF) — Operational technical assistance | appropriation authorised | 11/2/2016 | 5/2/2016 | G6 Ares(2016)734048 |
| 13.04 | 13.046101 | REGIO/CNECT | 23/12/2014 | illimited | Cohesion Fund — Operational technical assistance | appropriation authorised | | | |

| Chapter | Article | Fund Mgt Center | Date of signature | Period | Heading | Amount | Date DG's answer | Verified par R2 | Comments |
|---------|-----------|-----------------|-------------------|-----------|---|--------------------------|------------------|-----------------|----------------------------------|
| 08.02 | 08.020202 | RTD/CNECT | 27/2/2015 | illimited | Enhancing access to risk finance for investing in research and innovation | appropriation authorised | 29/2/2016 | 18/2/2016 | F2 (VP, SP) Ares(2016)1017200 |
| 08.02 | 08.020304 | RTD/CNECT | 27/2/2015 | illimited | Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless | appropriation authorised | 10/2/2016 | 20/1/2016 | H5 Ares(2016)721170 |